Ambipolar transition voltage spectroscopy: analytical results and experimental agreement

Ioan Băldea

Theoretische Chemie, Universität Heidelberg, Im Neuenheimer Feld 229, D-69120 Heidelberg, Germany

This work emphasizes that the transition voltages $V_t$ for both bias polarities $(V ≥ 0)$ should be used to properly determine the energy offset $ε_0$ of the molecular orbital closest to electrodes’ Fermi level and the bias asymmetry $γ$ in molecular junctions. Accurate analytical formulas are deduced to estimate $ε_0$ and $γ$ solely in terms of $V_t$. These estimates are validated against experiments, by showing that full experimental $I$-$V$-curves measured by Beebe et al [Phys. Rev. Lett. 97, 026801 (2006)] and Tan et al [Appl. Physy. Lett. 96, 013110 (2010)] for both bias polarities can be excellently reproduced.

PACS numbers: 85.65.+h, 85.35.Gv, 73.63.-b

I. INTRODUCTION

Transition voltage ($V_t$) spectroscopy (TVS) has been recently proposed to get insight into the energy offset $ε_0$ between the metal Fermi energy and the closest molecular orbital (HOMO or LUMO), which has long been recognized to be a key quantity for the charge transport in molecular devices. Due to its simplicity, it soon became very popular for interpreting molecular transport measurements. Within the initial proposal relying upon a tunneling barrier picture, the minimum of the Fowler-Nordheim (FN) plot $\ln(I/V^2)$ versus $1/V$ determined from $I$-$V$ measurements, has been associated to the point where the barrier tilted by the applied bias change from trapezoidal to triangular. This yields $eV_t = ε_0$. Later, TVS was interpreted within a coherent transport model based on a single level. In the (realistic) cases where the energy offset is sufficiently larger than the level broadening due to the couplings to electrodes, the relationship deduced within the latter model ($eV_t = 1.15ε_0$ for a symmetrical orbital location between electrodes) turned out to be not much different from the original “barrier shape” conjecture. With certain limitations, ab initio studies give microscopic support to the single-level model. A significant aspect in the TVS analysis is to properly account for the potential profile asymmetry. In the presence of this asymmetry, the FN-plots also become asymmetric, a fact which reflects itself in different magnitudes of the transition voltages $V_{t±} = ±V_t$ for both bias polarities $(V ≥ 0)$, as pointed out recently. It is the main aim of this paper to consider the ambipolar TVS in detail.

The remaining part of this paper is organized as follows. The general theoretical framework will be presented in Sect. II. In Sect. III accurate analytical formulas will be given enabling one to directly extract the quantities of physical interest from the transition voltages measured for positive and negative biases. The accuracy and the usefulness of these theoretical formulas will be illustrated in Sect. IV by showing that applications to experimental $I$-$V$-data yield an excellent agreement. Conclusions will be presented in Sect. V.

II. THEORETICAL FRAMEWORK

An applied bias $V$ affects the energy offset $ε_0 ≡ \varepsilon_{0}(V)|_{V=0} → \varepsilon_{0}(V)$. Most easily, as currently done in electrochemistry, this effect can be accounted for by means of a voltage division factor $γ = \varepsilon_{0}(V) - ε_{0}$, which specifies the bias at the location of the orbital’s “center of gravity”

$$ε_0(V) = \varepsilon_0 + γ eV. \quad (1)$$

With a potential origin as in Fig. I $γ$ ranges from $-1/2$ to $+1/2$. Eq. (1) corresponds to a potential that is flat across the molecule and entirely drops at contacts. The interfacial potential drops are

$$\delta V_s = V/2 - [\varepsilon_0(V) - ε_0/2] = (1/2 - γ)V, \quad δV = [\varepsilon_0(V) - ε_0]/ε + V/2 = (1/2 + γ)V. \quad (2)$$

A positive (negative) $γ$-value corresponds to a larger (smaller) potential drop at the positive electrode, or, alternatively, to a molecular orbital energy shifted upward (downward) by a positive bias.

In the wide-band limit, wherein the transmission is Lorentzian, the current through a single level (Newns-Anderson model) can be expressed analytically as (see, e. g., Refs. [14,22])

$$I = N \frac{2e}{h} \frac{Γ^2}{Γ_a Δ} (\arctan \frac{Γ_+}{Γ_a} - \arctan \frac{Γ_-}{Γ_a}). \quad (3)$$

Here, $N$ is the (effective) number of molecules contributing to the current, $Δ = ε_0(V) ± εV/2$. $Γ_a = (Γ_+ + Γ_-)/2$ and $Γ_a = \sqrt{Γ_a Γ_+ γ_+} γ_-$ being the level broadenings due to molecule-electrode couplings. In usual cases of interest, $Γ_a ≪ |ε_0|$ and voltages not much higher than $V_t$ the arguments of the inverse trigonometric functions of Eq. (3) are large, and one can approximate

$$I \simeq N \frac{2e}{h} \frac{Γ^2}{g Δ} \frac{eV}{(ε_0 + γ eV)^2 - (εV/2)^2}. \quad (4)$$

The comparison with the results based on the exact Eq. (3) shows that Eq. (4) very accurately describes the FN-transition; see Fig. 2.
FIG. 1: Schematic representation of a HOMO-mediated conduction (the HOMO much closer to the Fermi level than the LUMO, \( \varepsilon_h \ll \varepsilon_l \)) in a setup characterized by asymmetric electrodes [asymmetric voltage division \( \gamma \)] and asymmetric molecule-electrode couplings \( \Gamma_a \) and \( \Gamma_l \). See the main text for details.

III. USEFUL ANALYTICAL RESULTS FOR TRANSITION VOLTAGE SPECTROSCOPY

Eq. (4) can be used to deduce simple analytical expressions of the relevant quantities within the (realistic) assumption of a level broadening sufficiently smaller than the energy offset, which are exact to \( \mathcal{O} (\Gamma_a/\varepsilon_0)^2 \). By imposing \( \partial \ln(I/V^2)/\partial(1/V) = 0 \), one obtains the transition voltages \( V_{t1,2} \) as

\[
\begin{align*}
\chi_{t1} & \equiv \varepsilon_0/V_{t1} = -2\gamma + \sqrt{\gamma^2 + 3/4}, \\
\chi_{t2} & \equiv \varepsilon_0/V_{t2} = -2\gamma - \sqrt{\gamma^2 + 3/4}.
\end{align*}
\tag{5}
\]

Because \(-1/2 \leq \gamma \leq 1/2 \), it is easy to show that \( \chi_{t1} > 0 \) and \( \chi_{t2} < 0 \). Therefore, the signs of \( V_{t1} \) and \( V_{t2} \) are opposite. Denoting by \( V_{t+} (> 0) \) and \( V_{t-} (< 0) \) the transition voltage for positive and negative polarities, \( V_{t+} \equiv V_{t1} \) and \( V_{t-} \equiv V_{t2} \) for LUMO-mediated transport (\( \varepsilon_0 > 0 \)), while for HOMO-mediated transport (\( \varepsilon_0 \equiv -\varepsilon_h < 0 \)) \( V_{t+} \equiv V_{t2} \) and \( V_{t-} \equiv V_{t1} \). In the HOMO case, \( V_{t+} < |V_{t-}| \) for \( \gamma > 0 \), whereas \( V_{t+} > |V_{t-}| \) for \( \gamma < 0 \). For \( \gamma = 0 \), the result \( |V_{t+}/\varepsilon_0| = 2/\sqrt{3} = 1.15 \) for symmetric cases is recovered.

Concerning the signs in general, it is worth noting that, according to Eq. (1), a redefinition of the bias polarity \( (V \rightarrow -V) \) yields a sign change in the division potential factor \( (\gamma \rightarrow -\gamma) \). Therefore, the discussion can be restricted to the range, e. g., \( 0 \leq \gamma \leq 1/2 \).

To illustrate the accuracy of the transition voltages \( V_{t+} \) expressed by the above analytical formulas, a comparison with the transition voltages deduced from the exact Eq. (3) is presented in Fig. 3. As visible there, the exact results are rapidly approached for small \( \Gamma_a/\varepsilon_0 \to 0 \). Graphical results obtained by means of Eq. (5) are presented in Fig. 4 for values \( 0 \leq \gamma \leq 1/2 \). In the opposite case \( -1/2 \leq \gamma < 0 \) they can be deduced by symmetry \( V_{t\pm} (-\gamma) = \pm V_{t\mp} (\gamma) \).

An important consequence of Eq. (5) is that both the voltage division factor \( \gamma \) and the energy offset \( \varepsilon_0 \) can be...
Note that it is only a small range \( V > V_t \) (if at all\textsuperscript{16} that can be sampled in experiments. The situation can be further improved by using the minimum \( V = V_{\pm}(\kappa) \) of a generalized FN-plot \( \ln(I/V^\kappa) \) vs. \( 1/V \) \((1 < \kappa \leq 2)\textsuperscript{20}\). General analytical expressions valid for arbitrary \( \kappa \) can also be deduced

\[
\chi_{\kappa,2}(\kappa) \equiv \frac{\varepsilon_0}{V_{\kappa,2}(\kappa)} = \frac{1}{\kappa - 1} \left(-\kappa\gamma \pm \sqrt{\kappa^2 - 1} \right),
\]

\[
|\varepsilon_0| = \frac{\kappa(\kappa + 1)}{\kappa^2 - 1} \frac{e |V_{\kappa,+}(\kappa) V_{\kappa,-}(\kappa)|}{\sqrt{V_{\kappa,+}^2(\kappa) + 2 \kappa^2 + 1 |V_{\kappa,+}(\kappa) V_{\kappa,-}(\kappa)| + V_{\kappa,-}^2(\kappa)}},
\]

\[
\gamma = \frac{\text{sign} \varepsilon_0}{2} \frac{V_{\kappa,+}(\kappa) + V_{\kappa,-}(\kappa)}{\sqrt{V_{\kappa,+}^2(\kappa) + 2 \kappa^2 + 1 |V_{\kappa,+}(\kappa) V_{\kappa,-}(\kappa)| + V_{\kappa,-}^2(\kappa)}},
\]

The assignment \( V_{\kappa,2}(\kappa) \rightarrow V_{\pm}(\kappa) \) is the same as discussed above for \( \kappa = 2 \). The usage of \( \kappa \)-values smaller than 2 results in lower transition voltages \( V_{\pm}(\kappa) \textsuperscript{20} \) which can easier be sampled experimentally. On the other side, being smaller they are more affected by the relatively large \((>0.1)\textsuperscript{14,12}\) experimental errors.

From a pragmatic standpoint, Eqs. (6) and (7) as well as the more general Eqs. (9) and (10) represent the core of this paper: they enable one to determine the quantities of physical interest \( \varepsilon_0 \) and \( \gamma \) from the transition voltages \( V_{\pm} \) measured for both bias polarities.

**IV. DISCUSSION OF EXPERIMENTAL DATA**

The above analytical results hold in general for the transport mediated by a single level whose energy offset is sufficiently larger than its electrode-induced broadening.

I will next apply these results to the experimental data of Refs. \textsuperscript{1} and \textsuperscript{12} obtained for the HOMO-mediated transport through molecular junctions in CP-AFM (conducting probe-atomic force microscopy)\textsuperscript{12} and CW (crossed-wire)\textsuperscript{2} setups. For a concrete comparison with experiment, it is obviously important to correctly assign, out of the two transition voltages measured for opposite bias polarities in experiment, which is \( V_{\pm} \) and which is \( V_{\pm} \). Therefore, before entering into details, I note that the positive and negative biases have been chosen as the ones utilized in the experiments of Refs. \textsuperscript{1}, \textsuperscript{12} discussed below.

Table \textsuperscript{1} collects the experimental data for \( V_{\pm} \) from Refs. \textsuperscript{1} and \textsuperscript{12}. They have been used to compute the values of \( \varepsilon_h \) and \( \gamma \) from Eqs. (6) and (7), which are also given in Table \textsuperscript{1}.

Further, I will use these values of \( \varepsilon_h \) and \( \gamma \) to reproduce the available \( I-V \)-data for anthracenethiol- and terphenylthiol-based junctions measured in Refs. \textsuperscript{1} and \textsuperscript{12}, respectively. To this aim, I will employ Eq. (4), which represents a very good approximation of the exact
Eq. 3 for biases not too much larger than the transition voltages. The prefactor $N_T^2$ in Eq. 4 can be determined from the experimental linear conductance ($N^{1/2}I_g = 0.051$ eV and $N^{1/2}I_g = 0.124$ eV, respectively). The theoretical curves obtained in this manner are plotted against the experimental ones in Figs. 4a and b. The agreement is excellent, and this demonstrates the remarkable accuracy of the present approach based on Eqs. 4 and 13.

Table I shows that for the molecular junctions of Refs. 1 and 12 the energy offset is, in fact, very close to the estimate of the barrier-shape conjecture if the transition voltage for positive biases is employed, $eV_{t+} = \varepsilon_h$. As visible in Fig. 4b, this does not hold in general but only for potential division factors close to $\gamma = 0.067$.

Noteworthy, all the cases presented in Table I are characterized by small positive $\gamma$-values, revealing that the potential drop at the soft contact (e.g., AFM-tip) is slightly larger, $\delta V_i \gtrsim \delta V_s$ [cf. Eq. (2)]. So, even a (very) small difference in the interfacial potential drops causes a significant polarity dependence of the transition voltage. This suggests that, more than the active molecule (which can differ, see Table I), the contacts are important for the $V_{t+}$-asymmetry and calls for a systematic experimental investigation on the role of the contact groups (thiol, amine, etc).

The $\gamma$-values of Table I are significantly smaller than those estimated via DFT calculations ($\gamma \approx 0.2 - 0.3$)\textsuperscript{18} and that of $\gamma = 0.25$ claimed\textsuperscript{28} to be appropriate for the experiments of Refs. 1 and 12. With the value $\gamma = 0.25$, Eq. (3) yields HOMO-offsets $\varepsilon_h = 0.87$ eV (for $V_{t+} = 0.62$ V) and $\varepsilon_h = 0.97$ eV (for $V_{t+} = 0.69$ V) for the $I$-$V$-curves shown in Refs. 1 and 12, respectively. The very small difference (much smaller than the experimental inaccuracies) between the above values and those given in Ref. 28 ($\varepsilon_h \approx 0.85$ eV and 1.0 eV), deduced by assuming a Lorentzian transmission [the key assumption underlying Eq. (3)]\textsuperscript{28}, demonstrates again the high accuracy of the present estimates based on Eq. (3).

While the $\varepsilon_h$-estimates ($\varepsilon_h = 0.62$ eV and $\varepsilon_h = 0.69$ eV deduced in the present paper versus $\varepsilon_h = 0.85$ eV and $\varepsilon_h = 1.0$ eV of Ref. 28) cannot be directly checked against experiment, there is a conclusive test to decide which potential division factor ($\gamma \approx 0.07$ or $\gamma = 0.25$) is correct. Namely, for $\gamma = 0.25$, the transition voltages for negative bias are $V_{t-} = -2.17$ V and $V_{t-} = -2.42$ V, and they clearly disagree with the experimental data of Refs. 1, which completely disagree with experiments for negative voltages. See the main text for details.

**TABLE I:** Experimental values of $V_{t+}$ for anthracene- and terphenyl (TP)-based molecules and platforms from Refs. 1 (a) and 12 (b), and values of $\varepsilon_h$ and $\gamma$ calculated via Eqs. 6 and 13. See the main text for details.

| Molecule, platform | $V_{t+}$ (V) | $V_{t-}$ (V) | $\varepsilon_h$ (eV) | $\gamma$ |
|-------------------|--------------|--------------|--------------------|--------|
| Anth-SH, CP-AFM   | 0.62         | -0.85        | 0.62               | 0.068  |
| Anth-SH, CW a     | 0.57         | -0.85        | 0.59               | 0.086  |
| TP-SH, CP-AFM a   | 0.67         | -0.82        | 0.64               | 0.044  |
| TP-SH, CP-AFM b   | 0.69         | -0.85        | 0.69               | 0.069  |
| TP-SH, CW a       | 0.66         | -0.92        | 0.67               | 0.071  |

**FIG. 5:** The experimental $I$-$V$-curves measured in CP-AFM setup by Beebe et al\textsuperscript{1} and Tan et al\textsuperscript{12,30} for anthracene- and terphenyl-based junctions, respectively plotted along with the theoretical curves computed via Eq. (3) with the $\varepsilon_h$- and $\gamma$-values of Table I. Also shown are the theoretical curves deduced by using the value $\gamma = 0.25$ given in Ref. 18, which completely disagree with experiments for negative voltages. See the main text for details.
prefactor in Eq. (1) to reproduce the experimental linear conductance, the agreement with experiments for positive biases is also good; see Figs. 3a and b. However, as visible there, there is a complete disagreement for negative biases.

Although the DFT inability to solve level alignment problems is well known, it has been claimed that DFT-estimates of the ratio $\varepsilon_0/V_t$ could be trusted. The DFT-values of $\gamma(\sim 0.2 - 0.3)$ are based just on the fact that the ratio $\varepsilon_0/V_t$ is determined by the value of $\gamma$. The above analysis reveals a further limitation of the DFT-based approaches to molecular transport, raising serious doubts on its reliability for TVS studies.

One should finally note that the above quantity $\varepsilon_h$ is to be understood as the HOMO-offset characterizing a molecule linked to two (non-biased) electrodes. Therefore, it is not surprising that the present values ($\varepsilon_h = 0.62$ eV and $\varepsilon_h = 0.69$ eV for anthracenethiol- and terphenylthiol-based junctions) deduced from transport data can differ from the ultraviolet photoelectron spectroscopy (UPS) data. Indeed, they do differ: UPS measurements on anthracene- and terphenyl-thiol on gold yielded $\varepsilon_h^{UPS} \approx 1.7$ eV and 1.8 eV. Partly, the difference between $\varepsilon_h$ and $\varepsilon_h^{UPS}$ can be attributed to image effects, but I do not address this issue here.

V. CONCLUSION

Although the role of the asymmetric interfacial potential drops has already been noted in the original TVS paper and considered more recently, a direct quantitative analysis of full $I$-$V$-curves measured in experiments has not been attempted in previous studies. The present paper shows that, by resorting to ambipolar TVS, it is possible to determine not only the energy offset $\varepsilon_0$ (at which the original TVS aimed), but also the potential division factor $\gamma$ without a notably increased effort: the only quantities to be determined experimentally are the transition voltages $V_{t,\pm}$ for both bias polarities. For quantitative estimates, simple, very accurate analytical formulas have been deduced, which have been excellently validated against available experimental data. The excellent agreement found in the present paper gives a strong support to the correctness of the Lorentzian transmission [the key assumption underlying Eqs. (3) and (4)] and rules out possible higher-order contributions ($\sim V^2, V^3$, etc) to the RHS of Eq. (1) for the molecular junctions investigated in Refs. 1 and 12.

The present analysis has emphasized the need to include both bias polarities in order to obtain correct $\varepsilon_0$- and $\gamma$-estimates. In this context, a specification for the discussion of experimental data is helpful. According to a result of previous work, the ratio between the energy offset and the transition voltage $|\varepsilon_0|/V_t$ varies from 0.86 to 2. The present paper reconfirms this result with an important specification: the aforementioned range refers to ratio $|\varepsilon_0|/V_{t,min}$, which corresponds to the transition voltage of the smallest magnitude $V_{t,min} \equiv \min (V_{t+}, -V_{t-})$. The ratio $|\varepsilon_0|/V_{t,max}$, which corresponds to the transition voltage of the largest magnitude $V_{t,max} \equiv \max (V_{t+}, -V_{t-})$, ranges from 0 to 0.866 [cf. Eq. (3) and Fig. 3].

Acknowledgments

The author thank Horst Köppel for valuable discussions and to Pramod Reddy for providing him the raw experimental data used in Fig. 3. Financial support for this work provided by the Deutsche Forschungsgemeinschaft (DFG) is gratefully acknowledged.

* Also at NILPR, ISS, POB MG-23, RO 077125, Bucharest, Romania

1 J. M. Beebe, B. Kim, J. W. Gadzuk, C. D. Frisbie, and J. G. Kushmerick, Phys. Rev. Lett. 97, 026801 (2006).
2 F. Zahid, M. Paulsson, and S. Datta, Electrical conduction through molecules, in Advanced Semiconductors and Organic Nano-Techniques, edited by H. Morkoc, volume 3, Academic Press, 2003.
3 S. Ho Choi, B. Kim, and C. D. Frisbie, Science 320, 1482 (2008).
4 J. M. Beebe, B. Kim, C. D. Frisbie, and J. G. Kushmerick, ACS Nano 2, 827 (2008).
5 P.-W. Chiu and S. Roth, Appl. Phys. Lett. 92, 042107 (2008).
6 H. Song et al., Nature 462, 1039 (2009).
7 G. Wang, T.-W. Kim, G. Jo, and T. Lee, J. Amer. Chem. Soc. 131, 5980 (2009).
8 B. Kim, J. M. Beebe, Y. Jun, X.-Y. Zhu, and C. D. Frisbie, J. Amer. Chem. Soc. 128, 4970 (2006).
9 S. H. Choi et al., J. Amer. Chem. Soc. 132, 4358 (2010).
10 S. H. Choi and C. D. Frisbie, J. Amer. Chem. Soc. 132, 16191 (2010).
11 H. Song, Y. Kim, H. Jeong, M. A. Reed, and T. Lee, J. Phys. Chem. C 114, 20431 (2010).
12 A. Tan, S. Sadat, and P. Reddy, 96, 013110 (2010).
13 G. Wang et al., J. Phys. Chem. C 115, 17979 (2011).
14 M. C. Lennartz, N. Atodiresei, V. Caciuc, and S. Karthaeuser, J. Phys. Chem. C 115, 15025 (2011).
15 E. H. Huisman, C. M. Guedon, B. J. van Wees, and S. J. van der Molen, Nano Letters 9, 3909 (2009).
16 I. Báldi, Chem. Phys. Lett. 377, 15 (2010).
17 M. Araidai and M. Tsukada, Phys. Rev. B 81, 235114 (2010).
18 J. Chen, T. Markussen, and K. S. Thygesen, Phys. Rev. B 82, 121412 (2010).
19 F. Mirjani, J. M. Thijssen, and S. J. van der Molen, Phys. Rev. B 84, 115402 (2011).
20 A. M. Kuznetsov and J. Ulstrup, J. Phys. Chem. A 104, 11531 (2000).
21 J. Zhang et al., J. Phys. Chem. B 106, 1131 (2002).
As already noted, with few exceptions, molecular junctions cannot withstand too large voltages and only a small range $V \gtrsim V_t$ can be sampled in experiments. Eq. (5) yields expressions different from those given without deduction in Ref. 19. For LUMO-mediated transport, $(\varepsilon_0 > 0)$, Ref. 13 gives $|V_{t-}|/V_{t+} = (1 - 2\gamma)/(1 + 2\gamma)$, which differs from the result $|V_{t-}|/V_{t+} = (\sqrt{3/4 + \gamma^2} - 2\gamma)/(\sqrt{3/4 + \gamma^2} + 2\gamma)$ derived from Eq. (3). Notice that the quantity denoted by $\eta$ in Ref. 13 is related to the presently used $\gamma$ (in the standard notation of electrochemistry) by $\eta = 1/2 + \gamma$.

The present method permits an upper bound ($N \geq 1$) estimate of the geometrical average $\Gamma_g = \sqrt{\Gamma_s \Gamma_t}$ but not of the individual electrodes’ hybridizations $\Gamma_{s,t}$; presumably, the coupling to the soft contact is smaller $\Gamma_t < \Gamma_s$. The arithmetic average $\Gamma_a = (\Gamma_s + \Gamma_t)/2$ could be deduced by using the exact Eq. (3) instead of Eq. (4), but the large experimental inaccuracies ($\delta V_t > 0.1$) preclude reliable evaluations.

The author thanks P. Reddy for providing him the raw experimental data of his group used in Fig. 5b.