Insight into the Self-Assembly of Water-Soluble Perylene Bisimide Derivatives Through a Combined Computational and Experimental Approach

Emily R. Draper, Liam Wilbraham, Dave J. Adams, Matthew Wallace, Martijn Zwijnenburg

Submitted date: 29/04/2019 • Posted date: 30/04/2019
Licence: CC BY-NC-ND 4.0

Citation information: Draper, Emily R.; Wilbraham, Liam; Adams, Dave J.; Wallace, Matthew; Zwijnenburg, Martijn (2019): Insight into the Self-Assembly of Water-Soluble Perylene Bisimide Derivatives Through a Combined Computational and Experimental Approach. ChemRxiv. Preprint.

We use a combination of computational and experimental techniques to study the self-assembly and gelation of water-soluble perylene bisimides derivatised at the imide position with an amino acid. Specifically, we study the likely structure of self-assembled aggregates of the alanine-functionalised perylene bisimide (PBI-A) and the thermodynamics of their formation using density functional theory and predict the UV-vis spectra of such aggregates using time-dependent density functional theory. We compare these predictions to experiments in which we study the evolution of the UV-Vis and NMR spectra and rheology of alkaline PBI-A solutions when gradually decreasing the pH. Based on the combined computational and experimental results, we show that PBI-A self-assembles at all pH values but that aggregates grow in size upon protonation. Gelation is driven not by aggregate growth but reduction of the aggregation surface-charge and a decrease in the colloidal stability of the aggregation with respect to agglomeration.

File list (5)

- PBI_ala_theory_exp_ChemRxiv.pdf (910.94 KiB)
  - view on ChemRxiv • download file
- toc.png (171.40 KiB)
  - view on ChemRxiv • download file
- PBI_ala_theory_exp_ESI_ChemRxiv.pdf (1.47 MiB)
  - view on ChemRxiv • download file
- PBI_ala_theory_exp_comp_data.zip (50.56 KiB)
  - view on ChemRxiv • download file
- PBI_ala_theory_exp_exp_data.zip (7.38 MiB)
  - view on ChemRxiv • download file
Insight into the self-assembly of water-soluble perylene bisimide derivatives through a combined computational and experimental approach

Emily R. Draper,1# Liam Wilbraham,2 Dave J. Adams,1 Matthew Wallace,3 Martijn A. Zwijnenburg2*

1 School of Chemistry, University of Glasgow, Glasgow, G12 8QQ (UK).
2 Department of Chemistry, University College London, 20 Gordon Street, London, WC1H 0AJ (UK).
3 School of Pharmacy, University of East Anglia, Norwich Research Park, Norwich, NR4 7TJ (UK).

# corresponding author: emily.draper@glasgow.ac.uk
* corresponding author: m.zwijnenburg@ucl.ac.uk

We use a combination of computational and experimental techniques to study the self-assembly and gelation of water-soluble perylene bisimides derivatised at the imide position with an amino acid. Specifically, we study the likely structure of self-assembled aggregates of the alanine-functionalised perylene bisimide (PBI-A) and the thermodynamics of their formation using density functional theory and predict the UV-vis spectra of such aggregates using time-dependent density functional theory. We compare these predictions to experiments in which we study the evolution of the UV-Vis and NMR spectra and rheology of alkaline PBI-A solutions when gradually decreasing the pH. Based on the combined computational and experimental results, we show that PBI-A self-assembles at all pH values but that aggregates grow in size upon protonation. Hydrogel formation is driven not by aggregate growth but reduction of the aggregation surface-charge and a decrease in the colloidal stability of the aggregation with respect to agglomeration.
**Introduction**

Perylene bisimides (PBIs, or perylene diimides, PDIs) and related molecules, such as naphthalene diimides, form a fascinating class of compounds. When functionalised with suitable substituents, they can form gels, liquid crystals or be dried down to form amorphous thin films. The resulting materials can act as photoconductors, with very long charge carrier lifetimes even in the presence of air, as hydrogen evolution photocatalysts, and battery electrolytes, as well as materials that structural respond to electrochemical reduction or illumination. All these properties arise from the interplay between their propensity to self-assemble and their rich redox and photochemistry.

PBIs can be functionalised both at the imide nitrogen and at the bay carbon atoms, where the role of the functional groups is three-fold; to (i) improve the solubility of the PBI, (ii) directly modify the optical and redox properties of the PBI, and/or (iii) indirectly modify the optical and redox properties of the PBI by changing the structure in which it self-assembles. Typically, long and branched alkyl chains are used as functional groups to improve the solubility in organic solvents and ionisable groups or oligo(ethylene oxide) chains to make the PBIs more water-soluble. The alkyl-chain functionalised PBIs can form organogels upon lowering the solution temperature or increasing the PBI concentration. PBIs functionalised with oligo(ethylene glycol) groups can form hydrogels upon mixing with water and PBIs functionalised with ionisable groups can form hydrogels upon neutralisation of the charge. Both organic and water-soluble functionalised PBIs have also reported to be able to form columnar liquid crystalline systems.

PBIs derivatised with amino acids at the imide nitrogen positions can be easily prepared by reacting perylene-3,4,9,10-tetracarboxylic dianhydride with an amino acid at high temperature with imidazole, and are examples of PBIs functionalised with ionisable groups and the focus of this paper. Such amino acid functionalised PBIs are soluble in water at high pH, when the terminal carboxylic groups are deprotonated, and can form hydrogels upon lowering the pH and hence neutralisation of the carboxylic groups. Previous work has shown that the UV-Vis spectra and physical properties of the formed gel or dried down solution change with the exact amino acid group used.

The amino acid functionalised PBIs display relatively subtle changes in the UV-Vis spectrum upon a reduction in pH. The alkyl-chain functionalised PBIs in contrast show a quite dramatic change in the UV-Vis absorption and fluorescence spectrum upon decreasing the temperature of a hot solution. These changes in the optical properties, subtle or large, and the gelation or liquid crystal phase formation itself are linked to the growth of supramolecular aggregates and hence information about the structure of the aggregates formed is crucial to understand the properties of the formed material. As organogels and hydrogels – as well as the thin films formed from drying down the solutions and the xerogels formed by drying the gels – are typically amorphous, and the liquid crystalline system by definition has limited long-range order, theory must play an important role in extracting this information by linking (changes in) the spectral features to aggregate structure and vice versa.
The simplest approach to linking structures and spectra is based on a naïve use of Kasha’s exciton model. For example, the formation of J- or H-aggregates is often suggested based on the red shift or blue shift of the UV-Vis absorption spectrum. However, we and others have argued that this interpretation is problematic as PBI s are unlikely to assemble in structures resembling the textbook J- or H-aggregate and the electronic coupling between the molecules in the aggregates is likely more complicated than assumed by Kasha and co-workers. A more sophisticated approach is based on building actual structural models of possible aggregates, optimising their structures using, for example, density functional theory (DFT) and calculating their optical properties using time-dependent DFT (TD-DFT) or other excited state methods. There are a number of papers in which this approach is applied to derivatised PBIs that form organogels and/or liquid crystalline phases, in which the aggregates are typically modelled as a (face-to-face) stacked dimer, often of unsubstituted PBI rather than its functionalised counterpart present in the experiment. In our previous work on PBIs derivatised with amino acids, we also modelled dimers but including the substituents. Recently Segaline and co-workers computationally studied aggregates of up to seven units for a PBI functionalised with quaternary nitrogen groups.

**Scheme 1 Structure of PBI-A.**

Here, we employ the computational approach sketched out above in combination with experimental spectroscopy and rheology to understand the changes upon gelation of amino acid functionalised PBIs using PBI-A, PBI functionalised with alanine at the imide nitrogen position (see Scheme 1), as an example. In contrast to most previous work, as discussed above, we explicitly model PBI-A aggregates and consider aggregates beyond a stacked dimer. Specifically, we explore different packing motifs of PBI-A and study the evolution of the optical properties upon self-assembly by considering aggregate models containing up to four PBI-A molecules. We address the question of why the changes in the UV-Vis spectrum of PBI-A and other amino-acid derivatised PBIs is so much subtler than is the case for their alkyl-chain substituted equivalents. We compare the prediction from theory to time-dependent UV-Vis, NMR and rheology experiments. Using both theory and experiment, we propose a microscopic model for what happens during gelation. Using the case of PBI-A as an illustration, we also show the importance of explicitly modelling the functionalised PBIs rather than unsubstituted PBI, as well as aggregates beyond a dimer.

**Methodology**

**Ground-state optimisation**

The structures of the different aggregate models were optimised using the PBEh-3c and B97-3c DFT methods by Grimme and co-workers, as implemented in Turbomole 7.01 and 7.3 respectively. PBEh-3c and B97-3c were especially
developed to give accurate predictions of the geometries and thermochemistry of supramolecular systems for a minimal computational cost. Use of PBEh-3c and B97-3c allows us to study much larger systems than otherwise possible.

For selected structures, the energies were also calculated using single-point B3LYP+D3\textsuperscript{39-43} and ωB97XD\textsuperscript{44} DFT calculations, as well as (SCS/SOS-)RI-MP2\textsuperscript{45} and RI-RPA\textsuperscript{46} calculations, on the structures optimised using PBEh-3C. The additional DFT calculations used the triple-zeta def2-TZVP basis-set and were performed using Turbomole 7.01 (B3LYP+D3) and Gaussian16 (ωB97XD) respectively. The (SCS/SOS-)RI-MP2 and RI-RPA calculations used the def2-TZVPP\textsuperscript{47} basis-set, involved the RI approximation and in the case of RI-RPA also the RI-JK approximation, and were performed using Turbomole 7.3.

For all aggregate models the harmonic frequencies were calculated using PBEh-3c and for dimers and monomers also with B97-3c. These frequency calculations allowed us to verify that the stack models corresponded to minima on the PBEh-3c/B97-3c potential energy surfaces, as well calculate the non-electronic, i.e. rotational, translational and vibrational; contributions to the free energies of the models. The free-energy calculations typically made the quasi-RRHO approximation by Grimme,\textsuperscript{48} in which low frequency modes (ω < 100 cm\textsuperscript{-1}) are treated as rotations rather than vibrations when calculating the entropy (using ω\textsubscript{0} = 100 cm\textsuperscript{-1} and α = 4), and no frequency rescaling. Finally, all calculations, except stated otherwise, involve a implicit solvation model, COSMO\textsuperscript{49} (ε, 80) in the case of Turbomole 7.01/7.3 and SMD\textsuperscript{50} in the case of Gaussian16, to describe the aqueous environment of the aggregates.

**Optical property calculations**

UV-Vis spectra of the different aggregate models were predicted using time-dependent DFT (TD-DFT) single-point calculations on the structures optimised with PBEh-3c. These TD-DFT calculations, unless stated otherwise, were performed using the ωB97X\textsuperscript{51} functional, the 6-31G**\textsuperscript{52-53} basis-set and the SMD solvation model in Gaussian09. By necessity these calculations approximate the UV-Vis spectra by the vertical excitation spectrum, non-vertical vibronic effects have been argued to appear in the UV-Vis spectra of PBI aggregates\textsuperscript{31, 54} and can in principle be modelled,\textsuperscript{55} however such calculations would be computationally intractable for the size of aggregates studied here.

**Structural analysis**

To interpret the results and to compare them to what we would we expect based on Kasha’s exciton model, we need a set of parameters to describe the geometries of the different aggregates. As the transition dipole moment of the lowest excitation of an isolated PBI-A molecule is located along a vector that connects the two nitrogen atoms, i.e. the long axis of the molecule, a natural description of the geometry of PBI aggregates is in terms of four parameters per pair of molecules. There is (i) the angle between these vectors in two PBI molecules in the stack, (ii) the length of the vector connecting the centroids of the same two PBI molecules, and (iii) for each of the molecules, the angle between the vector connecting the two nitrogen atoms in one of the molecules and the vector connecting the centroids (see Fig. 1a). The angle (i) will be referred to as the “twist” angle and the angles in (iii) as the “slide” angles. For reference, the classical textbook structure of an H-aggregate has a twist angle of
zero and slide angles of ninety degrees, while a similar textbook structure of the J-aggregate has a twist angle of zero and slide angles of zero.

**Thermodynamic analysis**

The stability of the PBI-A aggregates relative to isolated PBI-A molecules in solution, as well as their thermodynamic propensity to form, are analysed in terms of their binding free energy $\Delta G_{b,n}$, defined as:

$$\Delta G_{b,n} = G_{agg,n} - n \times G_{free}$$  \hspace{1cm} (1)

where $G_{agg,n}$ is the free energy of an aggregate consisting of $n$ PBI-A molecules and $G_{free}$ that of a PBI-A molecule in solution. To compare the binding free energies between aggregates of different size, the binding energy can be normalised with respect to the number of molecules in an aggregate:

$$\Delta G_{b,norm} = \frac{\Delta G_{b,n}}{n-1}$$  \hspace{1cm} (2)

To understand the propensity of molecules to form larger aggregates, it is instructive to not only consider the binding free energy but also the free-energy change associated with the formation of an aggregate of $n$ PBI-A molecules from an aggregate of $n-1$ molecules and a free PBI-A molecule in solution $\Delta G_{step,n}$, defined as:

$$\Delta G_{step,n} = G_{agg,n} - G_{agg,n-1} - G_{free}$$  \hspace{1cm} (3)

$\Delta G_b$ is associated with the overall stability constant $\beta_n$ and $\Delta G_{step,n}$ with the step-wise equilibrium constant $K_n$. Obviously for the dimer $\beta_n$ and $K_n$ are the same but for larger aggregates they will be different. The step-wise equilibrium constants are important parameters for models that allow one to predict the degree of aggregation and the average length of aggregates in solution.

**Preparation of solutions**

PBI-A was prepared as described previously.\(^3\) Solutions were prepared in water by suspending the PBI-A in water and adding two molar equivalents of NaOH (using a 1M solution) to give a final solution concentration of PBI-A of 5 mg/mL. Gels were prepared by the addition of GdL\(^3\) (8 mg/mL).

**Spectroscopy**

UV–Vis absorption spectra were collected on a Cary 60 UV–Vis spectrophotometer (Agilent Technologies). The samples were measured in a 0.1 mm pathlength quartz cuvette (Hellma Analytics). Spectra were collected at a scan rate of 2 nm/s.

**Rheology**

Rheological measurements were performed using an Anton Paar Physica 301 rheometer. The time sweeps were performed using a 50 mm sandblasted plate, with a gap distance of 0.8 mm. The samples were prepared as discussed above and 2 mL transferred onto the plate immediately after the addition of GdL and the plate lowered on top. A strain of 0.5% and a frequency of 10 rad/s were maintained whilst $G'$ and $G''$ were recorded every 30 seconds until they had reached a plateau. The plate was flooded with oil surrounded the plate to ensure the sample did not dry out. A zero force of 0 N was maintained throughout the experiments. All measurements were recorded in triplicate. Viscosity
measurements were measured using a 75 mm cone at a set shear rate of 10 s⁻¹. The samples were loaded onto the plate as described above and viscosity measured every 30 seconds until the sample had started gelling (when data became very noisy). All measurements were conducted at 25°C.

**pH measurements**

A FC200 pH probe (HANNA instruments) with a 6 mm x 10 mm conical tip was used along with a pH turtle data logger for the pH measurements. The stated accuracy of the pH measurements is ±0.1. The pH was recorded every 30 seconds until a gel was formed. The samples were prepared in a 7 mL Sterlin vial as described above and the temperature maintained at 25 °C during the titration by using a circulating water bath.

**NMR**

5 mg/mL solutions of PBI-A were prepared in D₂O with two equivalents NaOD added. NMR analyses were performed at high pH prior to the addition of GdL (t = 0). An aliquot of this solution was then added to GdL as described above and transferred to a 5 mm NMR tube for analysis. A 75 μL glass capillary (New Era Enterprises) containing 30 mM 3-(trimethylsilyl)propionic-2,2,3,3-d₄ acid sodium salt (TSP) in D₂O was inserted into the sample to act as a chemical shift and integral reference. The time quoted below in the discussion of the changes in the NMR data with time corresponds to the time elapsed since the PBI-A solution was first added to the GdL.

All NMR experiments were performed on a Bruker Avance II 400 MHz wide bore spectrometer operating at 400.20 MHz for ¹H. The temperature of the samples was maintained at 298±0.5 K, the variation in the temperature being less than 0.1 K. ¹H integrals were recorded in 65536 points with a 30 degree pulse in two scans with a relaxation delay of 40 s and signal acquisition time of 4.1 s. ²³Na spectra were recorded with a 33 μs pulse (π/2) and a signal acquisition time of 0.3 s. 6144 data points were collected in 512 scans giving a total acquisition time of 4 minutes. See Figs. S1 and S2 for example ¹H and ²³Na NMR spectra.

The ¹H integrals discussed are normalised to the value measured with two equivalents NaOD in the absence of GdL. ²³Na spectra were processed with 16384 points and an exponential line broadening factor of 3.0. RQC were extracted by Lorentzian deconvolution of the spectra. Example ¹H and ²³Na spectra are provided in the Supporting Information. See refs. 56-57 for an in-depth discussion of the use of ¹H integrals and ²³Na RQC to probe gelation.

**Results and Discussion**

**Aggregate structures**

During our manual exploration of the potential energy surface of neutral protonated PBI-A aggregates, we found three main classes of structures, all face-to-face stacked helices (see Fig. 1b-h). Two, I and II, are based on a PBI-A conformer where both alanine groups are orientated in the same direction and one, III, on a conformer in which the two alanine groups are rotated by ~180° relative to one another. In class I aggregates, there are hydrogen bonds between the carboxylic acid protons and carbonyl oxygen atoms of adjacent PBI-A molecules in the aggregate. Class II and III aggregates lack these intra-aggregate
hydrogen bonds. The different aggregates also display other differences in how they are stacked (see Table S1). The class I aggregates have twist angle of \( \sim 30^\circ \) and \( 90^\circ \) slides angles, while the class II and III aggregates have twist and slide angles of \( \sim 50^\circ \) and \( \sim 110^\circ \) respectively. Finally, on average the distance between the centroids of adjacent molecules is slightly shorter in the class II and III than in the class I structures. All structures we encountered in our exploration, finally, were right-handed helices but we have no reason to believe that left-handed helices would be less likely and experimental samples are likely to be a mixture.

Interestingly, the core structure of the class I aggregates is similar to that reported in the literature for unsubstituted PBI.\textsuperscript{32-34} We hypothesize that the reason that the class II aggregates have such a different core structure than aggregates of unsubstituted PBI, while naively both have similar intermolecular interactions (\textit{i.e.} no hydrogen bonds) is related to the fact that PBI-A has a dipole while unsubstituted PBI has none, as well as an increased quadrupole.

In the case of aggregates where all of the carboxylic acid groups of the PBI-A molecule are deprotonated, i.e. the likely (de)protonation state at high pH, we only found two classes of structures. These doubly-deprotonated aggregate structures, IV and V, are very similar to the type II and III structures, respectively, observed for the neutral aggregate, with twist and slide angles of \( \sim 50^\circ \) and \( \sim 110^\circ \) respectively. The similarity between II and IV and III and V is, perhaps, not surprising as all lack the hydrogen bonds present in I.

\[\text{Fig. 1 a)} \text{ definition of the centroid distance (R), twist angle (TA) and slide angles (SA); b)} \text{ class I dimer; c)} \text{ class II dimer; d)} \text{ class III dimer; e)} \text{ class IV dimer; f)} \text{ class V dimer; g)} \text{ class I tetramer; f)} \text{ class II tetramer.}\]
**Predicted binding (free) energies**

Focussing first on the neutral aggregates, the PBEh-3c calculations predict that class I-III aggregates all have a normalised binding energy of ~90 kJ/mol with respect to isolated PBI-A molecules, which reduces to ~40 kJ/mol for the binding free energy (see Table 1 and Table S2-S9 for the underlying data). The B97-3c calculations predict a similar but slightly more negative normalised binding energies of ~100 kJ/mol, which again reduces to ~45 kJ/mol when including free-energy effects. PBEh-3c predicts that class II aggregates are consistently more stable than class I and III aggregates by 5-10 kJ/mol in terms of binding (free) energy, while B97-3c predicts that class I aggregates are more stable than their class II and III counterparts by a similar amount. As a test, we ran ωB97XD, B3LYP+D3, MP2 and RI-RPA single-point calculations on the PBEh-3c optimised geometries of dimers of I and II. ωB97XD and B3LYP+D3 calculations gave a similar energy ranking as B97-3c, as did (SCS/SOS-)MP2/def2-TZVPP, while RI-RPA/def2-TZVPP predicted a similar ranking as PBEh-3c (see Table S10). Irrespective of what is the correct picture, all methods agree that the differences in free energy between the class I-III aggregate are very small and thus that in experiment very likely a mixture of aggregates is present.

*Table 1* Normalised binding (free) energies, step-wise addition free energy changes and step-wise equilibrium constants for aggregates of different size of the different aggregates classes, as predicted using PBEh-3c (P) and B97-3c (B). See table S2-S9 for the underlying data.

|   | n | ΔUₐₙ₉₉ | ΔGₐₙ₉₉ | ΔGₙ₉₉ | βₙ |
|---|---|---|---|---|---|
| I | 2 | -87 | -36 | -36 | 2.0x10⁶ | 4.5x10⁸ |
|   | 3 | -84 | -29 | -22 | 6.4x10³ |   |
| II| 2 | -90 | -41 | -41 | 1.9x10⁷ | 2.9x10⁷ |
|   | 3 | -91 | -40 | -38 | 4.6x10⁶ |   |
| III| 2 | -85 | -37 | -37 | 3.5x10⁶ | 1.5x10⁷ |
|   | 3 | -86 | -35 | -32 | 4.9x10⁵ |   |
| IV| 2 | -79 | -33 | -33 | 5.5x10⁵ | 2.1x10⁵ |
|   | 3 | -73 | -25 | -16 | 7.7x10² |   |
| V | 2 | -83 | -38 | -38 | 4.4x10⁶ | 3.3x10⁵ |
|   | 3 | -72 | -24 | -11 | 7.4x10¹ |   |

Both PBEh-3c and B97-3c predict that doubly-deprotonated aggregate structures IV and V are less stable than (most of) their neutral counterparts, with normalised binding (free) energies relative to isolated doubly deprotonated PBI-A molecules of ~ -75 kJ/mol (PBEh-3c, ~ 30 kJ/mol, when including free energy effects) and ~ -80 kJ/mol (B97-3c, ~ -30 kJ/mol, when including free energy effects) respectively. Class V aggregates are predicted to be more stable than class IV aggregates by both PBEh-3c and B97-3c. However, the differences are
small and thus again in experiment likely a mixture of aggregate types is present. The models for the doubly-deprotonated aggregate structures do not contain the solvated (Na+) counter-ions present in experiment. Inclusion of solvated ions in static calculations is inherently fraught with problems. We suspect that their absence most likely does not significantly influence the predicted binding (free) energies.

**Predicted aggregate size and degree of aggregation**

The fact that the doubly-deprotonated class IV and V aggregates have less negative normalised binding free energies than the neutral class I-III aggregates suggest that aggregates at high pH will be likely shorter than at low/neutral pH and that perhaps more PBI-A is present as free molecules. Use of a simple isodesmic model,\textsuperscript{58-59} which assumes that the step-wise equilibrium constants are the same for all aggregates sizes including the dimer (i.e. $\beta_2 = \beta_3 = \beta_n$), PBI-A concentration of 5 mg/mL (0.001 mol/L) and dimer equilibrium constants based on the binding energies mentioned above, suggest number average aggregate sizes of 100s to 1000s for neutral PBI-A and in between 10 to 200 for deprotonated PBI-A (see Table 2). Even at high pH, more than 99.9% of all PBI-A is predicted to be part of an aggregate using this isodesmic model.

**Table 2** Number average aggregate size (N) and degree of aggregation values ($\alpha_{agg}$) for the different aggregates classes, as predicted using PBEh-3c and B97-3c.

|        | PBEh-3c | B97-3c |
|--------|---------|--------|
|        | N | $\alpha_{agg}$ | N | $\alpha_{agg}$ |
| I      | 138 | 0.9999 | 2051 | 1.0000 |
| II     | 419 | 1.0000 | 516  | 1.0000 |
| III    | 157 | 1.0000 | 328  | 1.0000 |
| IV     | 72  | 0.9998 | 45   | 0.9995 |
| V      | 204 | 1.0000 | 56   | 0.9997 |

Comparing the stepwise free energies for the dimer and trimer, however, suggests that the isodesmic model is probably too simple to describe PBI-A aggregation. While the normalised binding free energies of the dimer and trimer are very similar in all cases, the stepwise free energy of the trimer ($\Delta G_{\text{step,3}}$) is typically significantly smaller than that of the dimer ($\Delta G_{\text{step,2}}$). The approximation that the stepwise equilibrium constants are all similar, therefore, seems too crude and, as also previous observed for alkyl-chain substituted PBIs,\textsuperscript{16} a modified isodesmic model that can describe the anticooperative aggregation is required. Such models exist, e.g. the $\beta_2 - \beta_3 (K_2-K)^{60}$ model, where $\beta_2 \neq \beta_3 = \beta_n$, however, since for reasons of computational tractability we cannot calculate free energies of aggregates beyond the trimer, it is impossible to say which of the possible modified isodesmic models is most appropriate. The fact that for all aggregates $\beta_2 > \beta_3$ suggests that the number average aggregate sizes predicted by the simple isodesmic model are probably upper boundary values.
Predicted optical spectra

Figs. 2a-c show the TD-$\omega$B97X predicted evolution of the spectra of neutral class I, II and III aggregates with the number of molecules in aggregates, as calculated on the PBEh-3c optimised structures. In all cases, the intensities of an aggregate of $n$ molecules are multiplied by $1/n$ to correct for the presence of more molecules. Using this correction, perhaps the most prominent observation is that the absorption intensity relative to isolated molecules in solution is predicted to decrease with increasing number of molecules in either aggregate. A similar decrease in intensity with increasing aggregate size was previously observed by Nogueira et al.\textsuperscript{61} in calculations on polynucleotides.

When considering the shape of the spectra of the aggregates it is instructive to start with the dimer. In the case of the class I aggregates, the long wavelength ($\lambda > 300$ nm) spectrum of the dimer displays essentially three peaks; a weak peak at $\lambda \sim 475$ nm, to the red of the absorption peak of the isolated molecule ($\lambda \sim 435$ nm), and to the blue, a strong peak at $\lambda \sim 423$ nm and a weaker peak at $\lambda \sim 375$ nm. Increasing the number of molecules in the aggregate, results in the slow merger of all three peaks, where the “475 nm” peak shifts progressively to the blue and the “375 nm” peak to the red, suggesting that in the large aggregate limit the class I aggregates will display one significantly broadened peak slightly blue-shifted relative to that of an isolated molecule.

![Fig. 2 TD-$\omega$B97X predicted spectra of a) class I; b) class II; c) class III; d) class IV; and class V aggregates of different size.](image)

In the case of the class II and III dimer, the long wavelength spectrum displays one main peak at essentially the same wavelength as the isolated molecule but with a red-shifted shoulder and a weak blue-shifted peak at $\lambda \sim 370$ nm. Increasing the number of molecules in the aggregate, results, in contrast to the case of I, not in a further merger of peaks. The $\lambda \sim 370$ nm peak stays fixed, the
main peak shifts slightly around, to red for odd-membered aggregates and to the blue for the even-membered case, while the red-shifted shoulder becomes more pronounced. Extrapolation the spectra to the large aggregate limit for class II and III aggregates is complicated due to the circular shifts of the main peak but most likely they will look quite similar to the dimer but with a more pronounced red-shifted shoulder. Fig. 2d-e shows the equivalent spectra for the class IV and V doubly-deprotonated aggregates. Clearly, also for these aggregates a reduction in absorption intensity with aggregate size is predicted. The shape of the predicted spectra is very similar to that of the structurally related class II and III neutral aggregates.

Overall, the calculations suggest that the main effect to be expected as a result of aggregation, be it for neutral or deprotonated PBI-A molecules, is a reduction in the absorption intensity, possibly together with the formation of a red-shifted shoulder.

Comparison with experimental spectra

Next, we compare the results of the calculations with time-resolved experiments. Here, starting from a solution of PBI-A and two equivalents of sodium hydroxide (Na₂PBI-A), the pH is lowered by addition of glucono-\( \delta \)-lactone (GdL), which hydrolyses to gluconic acid,⁶²-⁶³ and we study the change in the optical and mechanical properties as well as NMR spectra. The change in the pH is smooth bar a plateau from 60-150 minutes, see Fig. 3a, which can be linked to the half-way and equivalence points for the first protonation of PBI-A and thus the formation of significant amounts of singly-deprotonated PBI-A.

![Fig. 3 a) Change in pH, b) UV-Vis spectra, c) G’ and G”, d) viscosity, and e) \(^{23}\text{Na} \) RQC and \(^{1}\text{H} \) methyl integral after addition of GdL. In the case of the UV-Vis spectra, the initial spectrum before GdL addition is shown in black, the first spectrum after GdL addition at 2 minutes in dark blue, and all the subsequent spectra in increasing lighter shades of blue.]
We will start with the change in the absorption spectrum. Fig. 3b shows the time evolution of the experimental UV-Vis spectrum of a PBI-A solution after addition of GdL. Initially, minutes after addition of the GdL, the spectrum sharpens considerably and the shoulder at ~550 nm becomes more pronounced before the spectrum appears to dim and broaden. Overall, the main peak does not shift relative to before the addition of the GdL but a distinct new shoulder at ~600 nm appears. Another distinct change upon the addition of GdL is the clear gradual reduction in peak intensity with time.

Comparing the experimental spectra with the predictions discussed above, the first thing one notices is that the latter is blue-shifted by ~50 nm relative to the former. Such a blue shift is a well-known artefact of the use of range-separated functionals such as ωB97X, which avoid issues with the description of charge-transfer states, but at the expense of slightly blue shifting the overall spectrum. Indeed, in previous work we have often applied a rigid ad-hoc shift to correct for this, though we do not do that here. The second and more interesting observation is that, if we assume that the evolution of the experimental UV-Vis spectrum after addition of GdL is due to aggregation, experiment and our calculations agree on both the absence of large shifts in the spectrum upon aggregation and the decrease in absorption intensity. The initial sharpening of the spectrum then can be explained by the fact that addition of GdL temporarily drives the system away from equilibrium, resulting in dissolution of the aggregates before they reform, and a momentarily increased concentration of monomeric species.

**Rheology**

Figs. 3c and 3d shows the time evolution of the sample’s storage and loss moduli (G’ and G” respectively) and viscosity η. Based on these data, the sample behaves like a non-viscous Newtonian liquid up to 50 minutes after addition of the GdL (η < 1 Pa.S), a viscous non-Newtonian liquid (η > 1 Pa.S, G’ increase from ~0.1 to ~10) from 50-250 minutes before gelling (G’ jumps from ~10 to ~1000, and G” dominates significantly over G”). The gelation thus appears to occur on a slower time-scale than the changes in the sample’s UV-Vis spectrum. The largest changes in the UV-Vis spectrum occur when the samples is still a liquid.

**NMR**

Fig. 3e shows the time evolution of the integral of the methyl peak in the ¹H NMR spectrum and the ²³Na residual quadrupolar coupling (RQC) splitting. Focussing first on the former, the methyl integral decreases slowly from 1 to ~0.9 in the first 150 minutes, before dropping at an increased rate and becoming negligible at approximately 450 minutes. The decrease of the ¹H methyl integral with time is the result of an increasing fraction of the PBI-A being concentrated in NMR silent aggregates that have ceased rotating on the NMR time-scale because they are too large and/or become part of a solid phase, be it a gel or be it a precipitate. The ²³Na RQC splitting is unobservable until 180 minutes, afterwards it rises to a maximum at ~400 minutes before slowly decreasing again. For ²³Na RQC splitting to occur, aggregates should become sufficiently large to align with the magnetic field. The absence of observable ²³Na RQC splitting before 180 minutes suggest that at that time the aggregates are too small for this to occur. Similarly the increase of the ²³Na RQC splitting from 180 to 400 minutes suggest that with
time an increasing amount of PBI-A is tied up in aggregates large enough for $^{23}$Na RQC splitting to be observable. Finally, the decrease in $^{23}$Na RQC splitting after 400 minutes can be explained by the increased protonation of PBI-A and reduction of the PBI-A net charge with time. Both NMR experiments thus suggest that assembly into aggregates that are sufficiently large for significant changes to the NMR spectra to occur happens on a slower time-scale than the changes in the sample’s UV-vis spectrum.

**Discussion**

The combination of experimental UV-vis spectroscopy and (TD-)DFT calculations suggests that already in aqueous solution of Na$_2$PBI-A, PBI-A self-assembles in the form of face-to-face stacked helices and that a lowering of the pH merely results in an increase of the aggregate size. This combined with fact that gelation occurs at a much longer time-scale than the largest changes in the UV-vis spectrum suggests that the observed gelation is not simply due to the formation of aggregation and perhaps not even linked to them growing especially large, although depending on the exact computational set-up neutral aggregates are predicted to be 4-40x larger than their doubly-deprotonated counterparts. An alternative explanation for the gelation, akin to that previously proposed by a number of us for the case of the gelation of a functionalised dipptide,$^{56}$ is that reduction in the surface-charge of the aggregates upon protonation makes them increasingly colloidally unstable with respect to agglomeration into a gel (see Fig. 4). Here, the reduction in surface-charge of the aggregates reduces the electrical double-layer that stabilises aggregates in the doubly-deprotonated state against agglomeration. This explanation is supported by the fact that the point in time where the $^1$H methyl integral starts to significantly decrease and the $^{23}$Na RQC splitting becomes observable, both of which require (a fraction of) aggregates to not display molecular rotation on the NMR time-scale, roughly coincides with what we assume to be the half-way and equivalence points for the first protonation of PBI-A and thus a significant reduction in the surface-charge relative to aggregates formed doubly-deprotonated PBI.

![Fig. 4 Cartoon description of the proposed gelation process, showing (a), the short aggregates present at high pH before GdL addition, (b), the initial dissolution of aggregates shortly after GdL addition, and, (c) to (e), the increase of aggregate size, reduction of aggregate surface charge and agglomeration of aggregate with increasing time and lower pH.](image)

The more subtle changes in the UV-Vis spectra of amino acid functionalised PBIs upon lowering the pH relative to those observed for alkyl-chain functionalised PBIs upon lowering the temperature is probably due to a combination of two factors. Firstly, as discussed above, even at high pH the amino acid functionalised PBIs self assemble into aggregates and the effect of lowering the pH is only in a
transition from short to longer aggregates. In contrast, if alkyl-chain functionalised PBI s at high-temperature are truly monomeric, the self assembly triggered by temperature lowering for these systems is a true molecule to aggregate transition. It would not be surprising if such a more drastic change in the molecular environment would also result in a more drastic change in the UV-Vis spectrum. Secondly, our TD-DFT calculations suggest that for type of aggregate geometries predicted to occur for PBI-A, the change in spectra upon self-assembly are inherently relatively modest.

The calculations also provide insight into the likely structure of thin films formed by drying aqueous solution of Na₂PBI-A. As the PBI-A in the initial dilute solutions of Na₂PBI-A is predicted to already be aggregated, and as concentrating the solution during the drying will drive the equilibrium to longer aggregates, the structure of the thin films on the molecular scale will be very similar to that of the gels but denser. This prediction of a similar structure but different density is inline with the fact that experimentally thin films have similar optical properties as dried gels but much better photoconductivity.

From a computational point of view, finding the many different classes of aggregates that lie low in (free) energy is especially challenging. Here we found such structures by hand but for more complicated molecules, e.g. PBI functionalised with L-DOPA at the imide position, which has two additional hydroxyl groups that can hydrogen bond per L-DOPA substituent, a more systematic computational approach might be beneficial. An alternative might be sampling structures from molecular dynamics (MD), a strategy recently employed by Segalina et al., but this might require many more TD-DFT calculations. The barriers between the different classes of aggregates also should be low enough for switching between them to be observable during a MD run.

**Conclusions**

Using a combination of DFT and TD-DFT calculations, experimental UV-vis and NMR spectroscopy and experimental rheology measurements, we studied the self-assembly and gelation of perylene bisimide functionalised with the amino acid alanine at the imide position (PBI-A). The DFT calculations predict that both fully-deprotonated and protonated PBI-A molecules self-assemble in helical aggregates but that the expected size of the aggregates is 4–40 times larger in the latter case. TD-DFT calculations predict that because aggregates are predicted to be formed in both cases and because their predicted structures are very similar, lowering of the pH should result in only minor changes in the shape of the UV-Vis spectrum of PBI-A solutions but a significant reduction in intensity. Experiments where the pH was gradually lowered through the hydrolysis of glucono-δ-lactone agree with that prediction. Gelation is found to occur on a much longer time-scale than the most significant changes in the UV-vis intensities, suggesting that gelation upon pH reduction is not primarily the result of aggregate growth. Using pH and NMR data we propose that instead gelation occurs because protonation reduces the surface charge on the aggregates, decreasing their colloidal stability, resulting in their agglomeration into a hydrogel. Thin films formed by drying fully-deprotonated PBI-A solutions, finally, are predicted to be similar in structure to the hydrogels but denser.
Acknowledgements

The authors kindly acknowledge Dr. Gerit-Jan Brandenburg, Dr. Tim Gould, Dr. Mike Porter and Dr. Felix Plasser for useful discussion. D.J.A. thanks the UK Engineering and Physical Sciences Research Council (EPSRC) for a Fellowship (EP/L021978/1), E.R.D. the Leverhulme Trust for funding (ECF-2017-223) and the University of Glasgow for a LKAS leadership Fellowship, and M.A.Z and L.W. the EPSRC for funding (EP/N004884/1). MW thanks The Royal Commission for the Exhibition of 1851 for a Research Fellowship. Dr Jonathan Iggo (University of Liverpool) is thanked for the use of his NMR spectrometer. The NMR spectrometer was funded by the EPSRC (EP/C005643/1 and EP/K039687/1). Computer time on ARCHER, the UK supercomputer, provided by the UK Materials Chemistry Consortium (EP/L000202/1) is kindly acknowledged.

Supporting information

Calculated total (free) energies; predicted twist angle, slide angle and centroid distances, example NMR spectra, DFT optimised structures, TD-DFT predicted excitation energies and oscillator values underlying the spectra in Fig. 2 and the experimental data underlying Fig. 3 are available online.

References

1. Chen, Z. J.; Stepanenko, V.; Dehm, V.; Prins, P.; Siebbeles, L. D. A.; Seibt, J.; Marquetand, P.; Engel, V.; Wurthner, F., Photoluminescence and Conductivity of Self-Assembled Pi-Pi Stacks of Perylene Bisimide Dyes. Chemistry-a European Journal 2007, 13, 436-449.
2. Roy, S.; Maiti, D. K.; Panigrahi, S.; Basak, D.; Banerjee, A., A New Hydrogel from an Amino Acid-Based Perylene Bisimide and Its Semiconducting, Photo-Switching Behaviour. Rsc Advances 2012, 2, 11053-11060.
3. Draper, E. R.; Walsh, J. J.; McDonald, T. O.; Zwijnenburg, M. A.; Cameron, P. J.; Cowan, A. J.; Adams, D. J., Air-Stable Photoconductive Films Formed from Perylene Bisimide Gelators. Journal of Materials Chemistry C 2014, 2, 5570-5575.
4. Walsh, J. J.; Lee, J. R.; Draper, E. R.; King, S. M.; Jackel, F.; Zwijnenburg, M. A.; Adams, D. J.; Cowan, A. J., Controlling Visible Light Driven Photoconductivity in Self-Assembled Perylene Bisimide Structures. Journal of Physical Chemistry C 2016, 120, 18479-18486.
5. Draper, E. R.; Greeves, B. J.; Barrow, M.; Schweins, R.; Zwijnenburg, M. A.; Adams, D. J., Ph-Directed Aggregation to Control Photoconductivity in Self-Assembled Perylene Bisimides. Chem 2017, 2, 716-731.
6. Draper, E. R.; Archibald, L. J.; Nolan, M. C.; Schweins, R.; Zwijnenburg, M. A.; Sproules, S.; Adams, D. J., Controlling Photoconductivity in Pbi Films by Supramolecular Assembly. Chemistry-a European Journal 2018, 24, 4006-4010.
7. Weingarten, A. S.; Kazantzsev, R. V.; Palmer, L. C.; McClendon, M.; Koltonow, A. R.; Samuel, A. P. S.; Kiebala, D. J.; Wsielewski, M. R.; Stupp, S. I., Self-Assembling Hydrogel Scaffolds for Photocatalytic Hydrogen Production. Nature Chemistry 2014, 6, 964-970.
8. Nolan, M. C.; Walsh, J. J.; Mears, L. L. E.; Draper, E. R.; Wallace, M.; Barrow, M.; Dietrich, B.; King, S. M.; Cowan, A. J.; Adams, D. J., Ph Dependent Photocatalytic Hydrogen Evolution by Self-Assembled Perylene Bisimides. Journal of Materials Chemistry A 2017, 5, 7555-7563.
9. Weigarten, A. S.; Dannenhoffer, A. J.; Kazantsev, R. V.; Sai, H.; Huang, D. X.; Stupp, S. I., Chromophore Dipole Directs Morphology and Photocatalytic Hydrogen Generation. *Journal of the American Chemical Society* **2018**, *140*, 4965-4968.

10. Frischmann, P. D.; Gerber, L. C. H.; Doris, S. E.; Tsai, E. Y.; Fan, F. Y.; Qu, X. H.; Jain, A.; Persson, K. A.; Chiang, Y. M.; Helms, B. A., Supramolecular Perylene Bisimide-Polysulfide Gel Networks as Nanostructured Redox Mediators in Dissolved Polysulfide Lithium-Sulfur Batteries. *Chemistry of Materials* **2015**, *27*, 6765-6770.

11. Schlosser, F.; Moos, M.; Lambert, C.; Wurthner, F., Redox-Switchable Intramolecular Pi-Pi-Stacking of Perylene Bisimide Dyes in a Cyclophane. *Advanced Materials* **2013**, *25*, 410-414.

12. Draper, E. R.; Schweins, R.; Akhtar, R.; Groves, P.; Chechik, V.; Zwijnenburg, M. A.; Adams, D. J., Reversible Photoreduction as a Trigger for Photoresponsive Gels. *Chemistry of Materials* **2016**, *28*, 6336-6341.

13. Li, X. Q.; Zhang, X.; Ghosh, S.; Wurthner, F., Highly Fluorescent Lyotropic Mesophases and Organogels Based on J-Aggregates of Core-Twisted Perylene Bisimide Dyes. *Chemistry-a European Journal* **2008**, *14*, 8074-8078.

14. Ghosh, S.; Li, X. Q.; Stepanenko, V.; Wurthner, F., Control of H- and J-Type Pi Stacking by Peripheral Alkyl Chains and Self-Sorting Phenomena in Perylene Bisimide Homo- and Heteroaggregates. *Chemistry-a European Journal* **2008**, *14*, 11343-11357.

15. Wurthner, F.; Bauer, C.; Stepanenko, V.; Yagai, S., A Black Perylene Bisimide Super Gelator with an Unexpected J-Type Absorption Band. *Advanced Materials* **2008**, *20*, 1695-+

16. Wehner, M.; Röhr, M. I. S.; Bühler, M.; Stepanenko, V.; Wagner, W.; Würthner, F., Supramolecular Polymorphism in One-Dimensional Self-Assembly by Kinetic Pathway Control. *Journal of the American Chemical Society* **2019**.

17. Gorl, D.; Soberats, B.; Herbst, S.; Stepanenko, V.; Wurthner, F., Perylene Bisimide Hydrogels and Lyotropic Liquid Crystals with Temperature-Responsive Color Change. *Chemical Science* **2016**, *7*, 6786-6790.

18. Grande, V.; Soberats, B.; Herbst, S.; Stepanenko, V.; Wurthner, F., Hydrogen-Bonded Perylene Bisimide J-Aggregate Aqua Material. *Chemical Science* **2018**, *9*.

19. Draper, E. R.; Eden, E. G. B.; McDonald, T. O.; Adams, D. J., Spatially Resolved Multicomponent Gels. *Nature Chemistry* **2015**, *7*, 849-853.

20. Draper, E. R.; Dietrich, B.-Adams, D. J., Self-Assembly, Self-Sorting, and Electronic Properties of a Diketopyrrolopyrrole Hydrogelator. *Chemical Communications* **2017**, *53*, 1868-1871.

21. Draper, E. R.; Lee, J. R.; Wallace, M.; Jackel, F.; Cowan, A. J.; Adams, D. J., Self-Sorted Photoconductive Xerogels. *Chemical Science* **2016**, *7*, 6499-6505.

22. Cross, E. R.; Sproules, S.; Schweins, R.; Draper, E. R.; Adams, D. J., Controlled Tuning of the Properties in Optoelectronic Self-Sorted Gels. *Journal of the American Chemical Society* **2018**, *140*, 8667-8670.

23. Benning, S.; Kitzerow, H. S.; Bock, H.; Achard, M. F., Fluorescent Columnar Liquid Crystalline 3,4,9,10-Tetra-(N-Alkoxy carbonyl)-Perylenes. *Liquid Crystals* **2000**, *27*, 901-906.
Crystallinity, and Molecular Orientation. *Journal of Physical Chemistry B* **2002**, *106*, 1307-1315.

25. van Herrikhuyzen, J.; Syamakumari, A.; Schenning, A.; Meijer, E. W., Synthesis of N-Type Perylene Bisimide Derivatives and Their Orthogonal Self-Assembly with P-Type Oligo(P-Phenylene Vinylene)S. *Journal of the American Chemical Society* **2004**, *126*, 10021-10027.

26. Chen, Z. J.; Baumeister, U.; Tschierske, C.; Wurthner, F., Effect of Core Twisting on Self-Assembly and Optical Properties of Perylene Bisimide Dyes in Solution and Columnar Liquid Crystalline Phases. *Chemistry-a European Journal* **2007**, *13*, 450-465.

27. Wicklein, A.; Lang, A.; Muth, M.; Thelakkat, M., Swallow-Tail Substituted Liquid Crystalline Perylene Bisimides: Synthesis and Thermotropic Properties. *Journal of the American Chemical Society* **2009**, *131*, 14442-14453.

28. Herbst, S.; Soberats, B.; Leowanawat, P.; Stolte, M.; Lehmann, M.; Wurthner, F., Self-Assembly of Multi-Stranded Perylene Dye J-Aggregates in Columnar Liquid-Crystalline Phases. *Nature Communications* **2018**, *9*.

29. Herbst, S.; Soberats, B.; Leowanawat, P.; Lehmann, M.; Wurthner, F., A columnar Liquid-Crystal Phase Formed by Hydrogen-Bonded Perylene Bisimide J-Aggregates. *Angewandte Chemie-International Edition* **2017**, *56*, 2162-2165.

30. Kasha, M.; Rawls, H.; El-Bayoumi, M. A., The Exciton Model in Molecular Spectroscopy. *Pure and Applied Chemistry* **1965**, *11*, 371-392.

31. Hestand, N. J.; Spano, F. C., Interference between Coulombic and Ct-Mediated Couplings in Molecular Aggregates: H- to J-Aggregate Transformation in Perylene-Based Pi-Stacks. *Journal of Chemical Physics* **2015**, *143*.

32. Zhao, H. M.; Pfister, J.; Settels, V.; Renz, M.; Kaupp, M.; Dehm, V. C.; Wurthner, F.; Fink, R. F.; Engels, B., Understanding Ground- and Excited-State Properties of Perylene Tetracarboxylic Acid Bisimide Crystals by Means of Quantum Chemical Computations. *Journal of the American Chemical Society* **2009**, *131*, 15660-15668.

33. Settels, V.; Liu, W. L.; Pflaum, J.; Fink, R. F.; Engels, B., Comparison of the Electronic Structure of Different Perylene-Based Dye-Aggregates. *Journal of Computational Chemistry* **2012**, *33*, 1544-1553.

34. Casanova, D., Theoretical Investigations of the Perylene Electronic Structure: Monomer, Dimers, and Excimers. *International Journal of Quantum Chemistry* **2015**, *115*, 442-452.

35. Walter, C.; Kramer, V.; Engels, B., On the Applicability of Time-Dependent Density Functional Theory (Tddft) and Semiempirical Methods to the Computation of Excited-State Potential Energy Surfaces of Perylene-Based Dye-Aggregates. *International Journal of Quantum Chemistry* **2017**, *117*.

36. Segalina, A.; Assfeld, X.; Monari, A.; Pastore, M., Computational Modeling of Exciton Localization in Self-Assembled Perylene Helices: Effects of Thermal Motion and Aggregate Size. *The Journal of Physical Chemistry C* **2019**, *123*, 6427-6437.

37. Grimme, S.; Brandenburg, J. G.; Bannwarth, C.; Hansen, A., Consistent Structures and Interactions by Density Functional Theory with Small Atomic Orbital Basis Sets. *Journal of Chemical Physics* **2015**, *143*. 
38. Brandenburg, J. G.; Bannwarth, C.; Hansen, A.; Grimme, S., B97-3c: A Revised Low-Cost Variant of the B97-D Density Functional Method. *Journal of Chemical Physics* **2018**, *148*.
39. Vosko, S. H.; Wilk, L.; Nusair, M., Accurate Spin-Dependent Electron Liquid Correlation Energies for Local Spin-Density Calculations - a Critical Analysis. *Canadian Journal of Physics* **1980**, *58*, 1200-1211.
40. Lee, C. T.; Yang, W. T.; Parr, R. G., Development of the Colle-Salvetti Correlation-Energy Formula into a Functional of the Electron-Density. *Physical Review B* **1988**, *37*, 785-789.
41. Becke, A. D., Density-Functional Thermochemistry .3. The Role of Exact Exchange. *Journal of Chemical Physics* **1993**, *98*, 5648-5652.
42. Stephens, P. J.; Devlin, F. J.; Chabalowski, C. F.; Frisch, M. J., Ab-Initio Calculation of Vibrational Absorption and Circular-Dichroism Spectra Using Density-Functional Force-Fields. *Journal of Physical Chemistry* **1994**, *98*, 11623-11627.
43. Grimme, S.; Antony, J.; Ehrlich, S.; Krieg, H., A Consistent and Accurate Ab Initio Parametrization of Density Functional Dispersion Correction (Dft-D) for the 94 Elements H-Pu. *Journal of Chemical Physics* **2010**, *132*.
44. Chai, J. D.; Head-Gordon, M., Long-Range Corrected Hybrid Density Functionals with Damped Atom-Atom Dispersion Corrections. *Physical Chemistry Chemical Physics* **2008**, *10*, 6615-6620.
45. Hattig, C.; Hellweg, A.; Kohn, A., Distributed Memory Parallel Implementation of Energies and Gradients for Second-Order Moller-Plesset Perturbation Theory with the Resolution-of-the-Identity Approximation. *Physical Chemistry Chemical Physics* **2006**, *8*, 1159-1169.
46. Eshuis, H.; Bates, J. E.; Furche, F., Electron Correlation Methods Based on the Random Phase Approximation. *Theoretical Chemistry Accounts* **2012**, *131*.
47. Weigend, F.; Ahlrichs, R., Balanced Basis Sets of Split Valence, Triple Zeta Valence and Quadruple Zeta Valence Quality for H to Rn: Design and Assessment of Accuracy. *Physical Chemistry Chemical Physics* **2005**, *7*, 3297-3305.
48. Grimme, S., Supramolecular Binding Thermodynamics by Dispersion-Corrected Density Functional Theory. *Chemistry-a European Journal* **2012**, *18*, 9955-9964.
49. Klamt, A.; Schuermann, G., Cosmo - a New Approach to Dielectric Screening in Solvents with Explicit Expressions for the Screening Energy and Its Gradient. *Journal of the Chemical Society-Perkin Transactions 2* **1993**, *799*-805.
50. Marenich, A. V.; Cramer, C. J.; Truhlar, D. G., Universal Solvation Model Based on Solute Electron Density and on a Continuum Model of the Solvent Defined by the Bulk Dielectric Constant and Atomic Surface Tensions. *Journal of Physical Chemistry B* **2009**, *113*, 6378-6396.
51. Chai, J. D.; Head-Gordon, M., Systematic Optimization of Long-Range Corrected Hybrid Density Functionals. *Journal of Chemical Physics* **2008**, *128*.
52. Hehre, W. J.; Lathan, W. A., Self-Consistent Molecular-Orbital Methods .14. Extended Gaussian-Type Bases for Molecular-Orbital Studies of Organic-Molecules - Inclusion of Second Row Elements. *Journal of Chemical Physics* **1972**, *56*, 5255-.
53. Hariharan, P. C.; Pople, J. A., The Influence of Polarization Functions on Molecular Orbital Hydrogenation Energies. *Theoretica chimica acta* **1973**, *28*, 213-222.
54. Akimoto, S.; Ohmori, A.; Yamazaki, I., Dimer Formation and Excitation Relaxation of Perylene in Langmuir-Blodgett Films. *Journal of Physical Chemistry B* 1997, 101, 3753-3758.

55. Clark, A. E.; Qin, C. Y.; Li, A. D. Q., Beyond Exciton Theory: A Time-Dependent Dft and Franck-Condon Study of Perylene Diimide and Its Chromophoric Dimer. *Journal of the American Chemical Society* 2007, 129, 7586-7595.

56. Wallace, M.; Iggo, J. A.; Adams, D. J., Using Solution State Nmr Spectroscopy to Probe Nmr Invisible Gelators. *Soft Matter* 2015, 11, 7739-7747.

57. Wallace, M.; Iggo, J. A.; Adams, D. J., Probing the Surface Chemistry of Self-Assembled Peptide Hydrogels Using Solution-State Nmr Spectroscopy. *Soft Matter* 2017, 13, 1716-1727.

58. Martin, R. B., Comparisons of Indefinite Self-Association Models. *Chemical Reviews* 1996, 96, 3043-3064.

59. Chen, Z. J.; Lohr, A.; Saha-Moller, C. R.; Wurthner, F., Self-Assembled Pi-Stacks of Functional Dyes in Solution: Structural and Thermodynamic Features. *Chemical Society Reviews* 2009, 38, 564-584.

60. Gershberg, J.; Fennel, F.; Rehm, T. H.; Lochbrunner, S.; Wurthner, F., Anti-Cooperative Supramolecular Polymerization: A New K-2-K Model Applied to the Self-Assembly of Perylene Bisimide Dye Proceeding Via Well-Defined Hydrogen-Bonded Dimers. *Chemical Science* 2016, 7, 1729-1737.

61. Nogueira, J. J.; Plasser, F.; Gonzalez, L., Electronic Delocalization, Charge Transfer and Hypochromism in the Uv Absorption Spectrum of Polyadenine Unravelled by Multiscale Computations and Quantitative Wavefunction Analysis. *Chemical Science* 2017, 8, 5682-5691.

62. Pocker, Y.; Green, E., Hydrolysis of D-Glucos-delta-Lactone .1. General Acid-Base Catalysis, Solvent Deuterium-Isotope Effects, and Transition-State Characterization. *Journal of the American Chemical Society* 1973, 95, 113-119.

63. Adams, D. J.; Butler, M. F.; Frith, W. J.; Kirkland, M.; Mullen, L.; Sanderson, P., A New Method for Maintaining Homogeneity During Liquid-Hydrogel Transitions Using Low Molecular Weight Hydrogelators. *Soft Matter* 2009, 5, 1856-1862.

64. Laurent, A. D.; Jacquemin, D., Td-Dft Benchmarks: A Review. *International Journal of Quantum Chemistry* 2013, 113, 2019-2039.

65. Zwijnenburg, M. A.; Cheng, G.; McDonald, T. O.; Jelfs, K. E.; Jiang, J. X.; Ren, S. J.; Hasell, T.; Blanc, F.; Cooper, A. I.; Adams, D. J., Shedding Light on Structure-Property Relationships for Conjugated Microporous Polymers: The Importance of Rings and Strain. *Macromolecules* 2013, 46, 7696-7704.
Supporting information for:

Insight into the self-assembly of water-soluble perylene bisimide derivatives through a combined computational and experimental approach

Emily R. Draper,¹# Liam Wilbraham,² Dave J. Adams,¹ Matthew Wallace,³ Martijn A. Zwijnenburg²*

¹ School of Chemistry, University of Glasgow, Glasgow, G12 8QQ (UK).
² Department of Chemistry, University College London, 20 Gordon Street, London, WC1H 0AJ (UK).
³ School of Pharmacy, University of East Anglia, Norwich Research Park, Norwich, NR4 7TJ (UK).

# corresponding author: emily.draper@glasgow.ac.uk
* corresponding author: m.zwijnenburg@ucl.ac.uk
**Table S1** Twist angle (TA), slide angle (SA) and centroid distance (R, in Å) ranges for the different aggregates optimised using PBEh-3c.

|    | TA          | SA          | R     |
|----|-------------|-------------|-------|
| I  | 2           | 34°         | 90°   | 3.39 |
|    | 3           | 32-36°      | 90°   | 3.27-3.47 |
|    | 4           | 32-36°      | 90°   | 3.22-3.47 |
| II | 2           | 49°         | 72-73°| 3.20 |
|    | 3           | 48°         | 71-73°| 3.26-3.31 |
|    | 4           | 48-49°      | 71-74°| 3.31-3.35 |
| III| 2           | 47°         | 69°   | 3.31 |
|    | 3           | 47-48°      | 68-69°/77-78°| 3.22-3.38 |
| IV | 2           | 52°         | 71-73°| 3.03 |
|    | 2           | 50°         | 69°   | 3.21 |

**Table S2** PBEh-3c Total energies (U) and the combined vibrational, rotational and translational contribution to the free energies (G_{vrt}) as calculated with the RRHO and q-RRHO approximation for PBI-A and class I-III aggregates. All values in eV.

|    | U              | G_{vrt} |
|----|----------------|---------|
|    | RRHO           | q-RRHO  |
| PBI-A | -50656.204936 | 10.7920 | 10.8551 |
| I    | -101313.308695 | 22.1085 | 22.3185 |
|    | -151970.362215 | 33.5140 | 33.8797 |
|    | -202627.390958 |         |         |
| II   | -101313.341804 | 22.0577 | 22.2947 |
|    | -151970.495910 | 33.3269 | 33.7836 |
|    | -202627.656129 |         |         |
| III  | -101313.286751 | 22.0302 | 22.2824 |
|    | -151970.391919 | 33.3269 | 33.7836 |
|    | -202627.501794 |         |         |

**Table S3** PBEh-3c Total energies (U) and the combined vibrational, rotational and translational contribution to the free energies (G_{vrt}) as calculated with the RRHO and q-RRHO approximation for doubly-deprotonated PBI-A and class IV-V aggregates. All values in eV.

|    | U              | G_{vrt} |
|----|----------------|---------|
|    | RRHO           | q-RRHO  |
| PBI-A | -50630.650373 | 10.0922 | 10.1596 |
| IV   | -101262.121476 | 20.6448 | 20.8828 |
|    | -151893.468827 | 31.1819 | 31.6511 |
| V    | -101262.160961 | 20.6134 | 20.8687 |
|    | -151893.450194 | 31.1755 | 31.6392 |
Table S4  B97-3c Total energies (U) and the combined vibrational, rotational and translational contribution to the free energies (G_{trv}) as calculated with the RRHO and q-RRHO approximation for PBI-A and class I-III aggregates. All values in eV.

|       |       | RRHO | q-RRHO |
|-------|-------|------|--------|
| PBI-A | 1     | -50740.716812 | 9.8996 | 10.0133 |
| I     | 2     | -101482.574120 | 20.4581 | 20.7379 |
|       | 3     | -152224.418722 |       |        |
|       | 4     | -202966.246776 |       |        |
| II    | 2     | -101482.477680 | 20.3830 | 20.7124 |
|       | 3     | -152224.293111 |       |        |
|       | 4     | -202966.094526 |       |        |
| III   | 2     | -101482.434746 | 20.3452 | 20.6867 |
|       | 3     | -152224.200039 |       |        |
|       | 4     | -202627.501794 |       |        |

Table S5  B97-3c Total energies (U) and the combined vibrational, rotational and translational contribution to the free energies (G_{trv}) as calculated with the RRHO and q-RRHO approximation for doubly-deprotonated PBI-A and class IV-V aggregates. All values in eV.

|       |       | RRHO | q-RRHO |
|-------|-------|------|--------|
| PBI-A | 1     | -50715.307837 | 9.2499 | 9.3527 |
| IV    | 2     | -101431.501151 | 19.0338 | 19.3583 |
|       | 3     | -152147.592910 |       |        |
| V     | 2     | -101431.492677 | 19.0154 | 19.3389 |
|       | 3     |       |        |

Table S6  PB Eh-3c Free energy values in the gas phase (G_{gas}, 1 bar) and solution (G_{solution}, 1 mol/L) as calculated with the RRHO and q-RRHO approximation for PBI-A and class I-III aggregates. All values in eV.

|       |       | G_{gas} | G_{solution} |
|-------|-------|---------|--------------|
|       | RRHO | q-RRHO  | RRHO         | q-RRHO |
| PBI-A | -50645.412896 | -50645.349777 | -50645.330451 | -50645.267333 |
| I     | 2     | -101291.200181 | -101290.990097 | -101291.117737 | -101290.907652 |
|       | 3     | -151936.848197 | -151936.482441 | -151936.765753 | -151936.399996 |
| II    | 2     | -101291.284075 | -101291.047147 | -101291.201630 | -101290.964702 |
|       | 3     | -151937.182338 | -151936.708378 | -151937.099893 | -151936.625934 |
| III   | 2     | -101291.256591 | -101291.004324 | -101291.174146 | -101290.921879 |
|       | 3     | -151937.064977 | -151936.608325 | -151936.982532 | -151936.525881 |
**Table S7** PBEh-3c Free energy values in the gas phase ($G_{\text{gas}}$, 1 bar) and solution ($G_{\text{solution}}$, 1 mol/L) as calculated with the RRHO and q-RRHO approximation for doubly-deprotonated PBI-A and class IV-V aggregates. All values in eV.

|       | $G_{\text{gas}}$                      | $G_{\text{solution}}$                |
|-------|--------------------------------------|--------------------------------------|
|       | RRHO                                 | q-RRHO                               |
|       | RRHO                                 | q-RRHO                               |
| PBI-A | -50620.558130                        | -50620.490783                        |
| IV    | -101241.476712                       | -101241.238644                       |
|       | -101241.394268                       | -101241.156200                       |
| V     | -151862.286882                       | -151861.817690                       |

**Table S8** B97-3c Free energy values in the gas phase ($G_{\text{gas}}$, 1 bar) and solution ($G_{\text{solution}}$, 1 mol/L) as calculated with the RRHO and q-RRHO approximation for PBI-A and class I-III aggregates. All values in eV.

|       | $G_{\text{gas}}$                      | $G_{\text{solution}}$                |
|-------|--------------------------------------|--------------------------------------|
|       | RRHO                                 | q-RRHO                               |
|       | RRHO                                 | q-RRHO                               |
| PBI-A | -50730.817242                        | -50730.703473                        |
| I     | -101462.116017                       | -101461.836181                       |
| II    | -101462.094719                       | -101461.765237                       |
| III   | -101462.089511                       | -101461.748007                       |

**Table S9** B97-3c Free energy values in the gas phase ($G_{\text{gas}}$, 1 bar) and solution ($G_{\text{solution}}$, 1 mol/L) as calculated with the RRHO and q-RRHO approximation for doubly-deprotonated PBI-A and class IV-V aggregates. All values in eV.

|       | $G_{\text{gas}}$                      | $G_{\text{solution}}$                |
|-------|--------------------------------------|--------------------------------------|
|       | RRHO                                 | q-RRHO                               |
|       | RRHO                                 | q-RRHO                               |
| PBI-A | -50706.057902                        | -50705.955088                        |
| IV    | -101412.467311                       | -101412.142805                       |
| V     | -101412.477286                       | -101412.153816                       |

**Table S10** Energy difference between the class I and class II dimer calculated using alternative methods than PBEh-3c and B97-3c. All values in kJ/mol.

|       | MP2       | MP2/SCS    | MP2/SOS    | ωB97XD     | RPA       |
|-------|-----------|------------|------------|------------|-----------|
|       | -18       | -10        | -6         | -20        | +4        |
**Figure S1** Example $^{23}$Na NMR spectra

**Figure S2** Example $^1$H NMR spectra
| File Name                                      | Size     | Link                                      |
|-----------------------------------------------|----------|-------------------------------------------|
| PBI_ala_theory_exp_comp_data.zip              | 50.56 KiB| view on ChemRxiv - download file          |
| PBI_ala_theory_exp_exp_data.zip               | 7.38 MiB | view on ChemRxiv - download file          |