Cosmology with Hu-Sawicki gravity in the Palatini formalism

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ABSTRACT

Cosmological models based on \( f(R) \)-gravity may exhibit a natural acceleration mechanism without introducing a dark energy component. We investigate cosmological consequences of the so-called Hu-Sawicki \( f(R) \) gravity in the Palatini formalism. We derived theoretical constraints on the model parameters and performed a statistical analysis to determine the parametric space allowed by current observational data. We find that this class of models is indistinguishable from the standard \( \Lambda \)CDM model at the background level. In contrast to previous results achieved with the metric approach, we show that these scenarios are able to produce the sequence of radiation-dominated, matter-dominated, and accelerating periods without need of dark energy.

Key words. gravitation – cosmological parameters – cosmology: observations – cosmology: theory – dark energy

1. Introduction

General relativity (GR) is a very well-tested and established theory of gravity. However, all successful tests performed so far do not account for the ultra-large scales corresponding to the low-curvature characteristics of the Hubble radius today. Therefore, it is in principle conceivable that the recent discovery of the late-time cosmic acceleration (Riess et al. 1998, 1999; Perlmutter et al. 1999; Spergel et al. 2003; Eisenstein et al. 2005) could be explained by modifying Einstein’s GR in the far-infrared regime. Although the diversity of approaches is the hallmark in this field (see, e.g., Bengoa & Ferraro 2009; Alves et al. 2010; Mukohyama 2010; Banados & Ferreira 2010; Trodden 2011; Basilakos et al. 2011; Clifton et al. 2012; Pereira & Aguiar 2011), the simplest possible theory is achieved by adding terms proportional to powers of the Ricci scalar \( R \) to the Einstein-Hilbert Lagrangian, the so-called \( f(R) \) gravity (see Sotiriou & Faraoni 2010; De Felice & Tsujikawa 2010; Nojiri & Odintsov 2011; Capozziello & De Laurentis 2011, for recent reviews).

In contrast to the standard general relativistic scenario, \( f(R) \) cosmology can naturally drive an accelerating cosmic expansion without introducing dark energy. However, the freedom in the choice of different functional forms of \( f(R) \) gives rise to the problem of how to constrain the many possible \( f(R) \) gravity theories. In this regard, much effort has been expended so far, mainly from the theoretical viewpoint (Cognola et al. 2009; Alves et al. 2009; Faraoni 2010; Harko 2010; Multamaki et al. 2010; Santos 2010; Pereira et al. 2010; Harko & Lobo 2010; Shamir 2010; Harko et al. 2011; Sharif & Kausar 2011a,b; Aktas et al. 2012). General principles such as the so-called energy conditions (Kung 1996; Santos et al. 2007; Atazadeh et al. 2009; Bertolami & Sequeira 2009; Santos et al. 2010a; Wu & Yu 2010; Garcia et al. 2011; Banijamali et al. 2012), nonlocal causal structure (Clifton & Barrow 2005; Rebouci & Santos 2009; Santos et al. 2010b; Rebouci & Santos 2010), and problems such as loop quantum cosmology (Cognola et al. 2005; Olmo & Sanchis-Alepuz 2011; Zhang & Ma 2011) have also been taken into account to clarify its subtleties. More recently, observational constraints from several cosmological data sets for testing the viability of these theories have also been explored (Koivisto 2006; Borowiec et al. 2006; Li & Chu 2006; Movahed et al. 2007; Li et al. 2007; Yang & Chen 2009; Li et al. 2009; Masui et al. 2010; Zhang et al. 2012).

An important aspect that is worth emphasizing concerns the two different variational approaches that may be followed when one works with \( f(R) \) gravity, namely, the metric and the Palatini formalisms. In the metric formalism the connections are defined a priori as the Christoffel symbols of the metric and the variation of the action is taken with respect to the metric, whereas in the Palatini variational approach the metric and the affine connections are treated as independent fields and the variation is taken with respect to both (for a review on \( f(R) \) theories in the Palatini approach see Olmo 2011). The result is that in the Palatini approach the connections depend on the metric and also on the particular \( f(R) \), while in the metric formalism the connections depend only on the metric. We have then that the same \( f(R) \) leads to different spacetime structures.

These differences also extend to the observational aspects. For instance, we note that some cosmological models based on a power-law functional form in the metric formulation fail in reproducing the standard matter-dominated era followed by an acceleration phase (Amendola et al. 2007; see, however, Capozziello et al. 2006), whereas in the Palatini approach, some analyses have shown that these theories admit the three post-inflationary phases of the standard cosmological model (Amarzguioui et al. 2006; Fay et al. 2007). Nevertheless, we do not yet clearly comprehend the properties of the Palatini formulation of \( f(R) \) gravity in other scenarios, and questions such as the Newtonian limit (Meng & Wang 2004; Dominguez & Barraco 2004; Sotiriou 2006) and the Cauchy problem (Lanahan-Tremblay & Faraoni 2007; Capozziello & Vignolo 2009a; Faraoni 2009) are still contentious (see, however, Capozziello & Vignolo 2009c,b). Another problem of the Palatini \( f(R) \) formulation concerns surface singularities of...
static spherically symmetric objects with polytropic equation of state (Barausse et al. 2008a,b,c; Olmo 2011). This problem has been reexamined in Kainulainen et al. (2007); Olmo (2008), where the authors showed that the singularities may have more to do with peculiarities of the polytropic equation of state used (e.g. its natural regime of validity) and the \( f(R) \) model chosen.

Although it is mathematically simpler than the metric formulation, the Palatini approach has received little attention from the point of view of cosmological tests. Following early works in this direction (Amarzguioui et al. 2006; Fay et al. 2007; Santos et al. 2008; Carvalho et al. 2008; Campista et al. 2011), we explore in this paper the cosmological consequences of a class of modified \( f(R) \) gravity, recently proposed by Hu & Sawicki (2007), in the Palatini formulation. Among a number of \( f(R) \) models discussed in the literature, this is designed to possess a chameleon mechanism that allows one to evade solar system constraints. The cosmological scenario that arises from this formalism of this model has been shown to satisfy the conditions needed to produce a cosmologically viable expansion history. To test the observational viability of this class of models in the Palatini approach, we used different types of observational data, namely: type Ia supernovae (SNe Ia) observations from the Union2.1 sample (Suzuki et al. 2012); estimates of the expansion rate at \( z \neq 0 \), as discussed in Stern et al. (2010); current measurements of the product of the cosmic microwave background (CMB) acoustic scale \( \ell_A \) with the position of the baryon acoustic oscillations (BAO) peak (Blake et al. 2011b), dubbed the CMB/BAO ratio; and measurements of the gas mass fraction in galaxy clusters (Ettori et al. 2009). We show that for a sub-sample of model parameters, the so-called Hu–Sawicki scenarios in the Palatini approach are indistinguishable from the standard \( \Lambda \)CDM model and are able to produce the sequence of radiation-dominated, matter-dominated, and accelerating periods without need of dark energy.

The organization of the paper is the following: in Sect. 2 we summarize both the Palatini formalism and the Hu–Sawicki gravity. We describe in Sect. 3 the \( H(z) \) and SNe Ia observational data used to perform our statistical analysis. In Sect. 4, we show the results from our analysis, including data from the gas mass fraction of galaxy clusters and the CMB/BAO ratio. Finally, Sect. 5 contains a summary of our conclusions.

### 2. The Palatini approach

The modified Einstein–Hilbert action that defines an \( f(R) \) gravity is given by

\[
S = \frac{1}{2\kappa^2} \int d^4 x \sqrt{-\tilde{g}} f(R) + S_m, \tag{1}
\]

where \( \kappa^2 = 8\pi G \), \( g \) is the determinant of the metric tensor and \( S_m \) is the standard action for the matter fields.

In the Palatini variational approach the fundamental idea is to regard the torsion-free connection \( \Gamma_{\mu\nu}^\rho \), as well as the metric \( g_{\mu\nu} \) entering the action above, as two independent fields. The field equations in this approach are

\[
f_{R} R_{\mu\nu} + \frac{1}{2} g_{\mu\nu} = \kappa^2 T_{\mu\nu}, \tag{2}
\]

and

\[
\tilde{\nabla}_\mu \left( \sqrt{-\tilde{g}} f R_{\mu\nu} \right) = 0, \tag{3}
\]

where \( f_R = df/dR \) and \( \tilde{\nabla} \) represent covariant derivatives. From the above equations, we obtain the connections

\[
\Gamma_{\mu\nu}^\rho = \left[ \frac{g_{\mu\sigma} \nabla_\nu g^{\sigma\rho} - g_{\nu\sigma} \nabla_\mu g^{\sigma\rho}}{2g_{\rho\rho}} \right] + \frac{1}{2f_R} \left( \delta^\rho_\mu \partial_\nu g_{\rho\sigma} + \delta^\rho_\nu \partial_\mu g_{\rho\sigma} - \delta^\rho_\sigma (\partial_\mu g_{\rho\nu} + \partial_\nu g_{\rho\mu}) \right) f_R, \tag{4}
\]

where \( \left[ \frac{g_{\mu\sigma} \nabla_\nu g^{\sigma\rho} - g_{\nu\sigma} \nabla_\mu g^{\sigma\rho}}{2g_{\rho\rho}} \right] \) are the Christoffel symbols of the metric. As mentioned above, the connections, and therefore the gravitational fields, are described not only by the metric \( g_{\mu\nu} \) but also by the proposed \( f(R) \) theory. As a cosmological model we consider a homogeneous isotropic Universe described by the Friedmann-Lemaître-Robertson-Walker (FLRW) flat geometry, \( g_{\mu\nu} = \text{diag}(-1, a^2, a^2, a^2) \), where \( a(t) \) is the cosmological scale factor, and as the source of curvature a perfect-fluid with energy density \( \rho \) and pressure \( p \), whose energy-momentum tensor is given by \( T_{\mu\nu} = \text{diag}(-\rho, p, p, p) \).

The generalized Friedmann equation, obtained from Eqs. (2)–(4), can be written in terms of the redshift \( z \) as

\[
H^2 = \frac{3\Omega_m(1+z)^3 + 6\Omega_k(1+z)^2 + f(R)/H^2_0}{6f_R^2}, \tag{5}
\]

where

\[
x = 1 + \frac{9 f_{R0}}{2} \frac{H^2_0 \Omega_{m0}(1+z)^3}{R_{R0} - R}, \tag{6}
\]

and \( \Omega_{m0} \) and \( \Omega_k \) stand for the present-day values of the matter- and radiation-density parameters. The trace of Eq. (2) results in

\[
R_{R0} - 2f = -3H^2_0 \Omega_{m0}(1+z)^3, \tag{7}
\]

which we will consider in order to restrict the parameters of the given \( f(R) \) theory.

#### 2.1. The Hu–Sawicki gravity model

In this paper we are interested in the \( f(R) \) modified gravity model proposed by Hu & Sawicki (2007)

\[
f(R) = R - m^2 \left( \frac{\kappa^2 R}{m^2} \right)^n + 1, \tag{8}
\]

For \( n = 1 \), the above equation can be rewritten as (Schmidt et al. 2009)

\[
f(R) = R - \frac{2\Lambda}{\kappa^2 + 1}, \tag{9}
\]

where \( \Lambda = m^2 c^2 / 2c^2 \) and \( \mu^2 = m^2 / c^2 \). In the regime \( R \gg \mu^2 \), this model is practically indistinguishable from the \( \Lambda \)CDM scenario. We have verified that the parameter \( n \) is completely unconstrained by current data. Thus, without loss of generality and following Schmidt et al. (2009), we focus our analyses on the case \( n = 1 \).

This model is intended to explain the current acceleration of the universe without considering a cosmological constant term. Cosmological and astrophysical constraints on the Hu–Sawicki gravity scenario in the metric formalism have been examined in a number of papers (see, e.g., Oyaizu et al. 2008; Martinelli 2009; Martinelli et al. 2009; Lombriser et al. 2012; Ferraro et al. 2011; Gil-Marín et al. 2011; Li & Hu 2011). However, there is

\footnote{As shown in Schmidt et al. (2009), this is equivalent to have \( |f_{\text{red}}| \sim 1 \), where the subscript 0 denotes present-day quantities. See also Table 1 for some estimates of this quantity.}
a complete lack of studies on the mechanism of this proposal in the Palatini approach. Irrespective of the formalism adopted, the model (8) must satisfy certain viability conditions, for example, the positivity of the effective gravitational coupling, which requires \( \kappa^2 / f_R > 0 \) (to avoid anti-gravity). For the current epoch, this condition implies

\[
f_{R0} = \frac{3 + 2 (\frac{m}{R_0})^2}{1 + 2 (\frac{m}{R_0})^2} c_2 > 0, \tag{10}\]

which corresponds to the following bounds for the parameter \( c_2 \):

\[
c_2 < -\frac{1}{2} \frac{m^2}{R_0} \quad \text{or} \quad c_2 > -\frac{3}{2} \frac{m^2}{R_0}, \tag{11}\]

### 3. Observational constraints

To perform the observational tests discussed below, we solved Eq. (5) by taking the following steps: i) we first set \( z = 0 \) and computed \( c_1 \) (in terms of \( c_2 \) and \( R_0 \)) from Eq. (7); ii) we combined this result with Eq. (5) to obtain a solution for \( R_0 \); iii) the value of \( R_0 \) was then used as initial condition to solve Eq. (7) by using a fourth-order Runge-Kutta method and, finally, to obtain the function \( H(z) \), as given by Eq. (5).

Figure 1 shows the evolution of the Hubble parameter and the predicted distance modulus \( \mu(z) = 5 \log[d_L(z)/\text{Mpc}] + 25 \), where \( d_L = (1 + z) \int_0^z \frac{dz'}{H(z')} \) stands for the luminosity distance, as a function of redshift for some best-fit values for \( \Omega_{m0} \) and \( c_2 \) obtained in our analyses (see Table 1). For the sake of comparison, the standard \( \Lambda \)CDM prediction with \( \Omega_{m0} = 0.26 \) is also shown (dashed line).

### 3.1. Expansion rate

To test the observational viability of the \( f(R) \) scenario described by Eq. (8), we firstly used current measurements of the expansion rate at \( z \neq 0 \). Currently, this test is based on the fact that luminous red galaxies (LRGs) can provide us with direct measurements of \( H(z) \) (Jimenez & Loeb 2002; see also Zhang & Ma 2010, for a recent review on \( H(z) \) measurements from different techniques). This can be done by calculating the derivative of cosmic time with respect to redshift, i.e., \( H(z) = -\frac{\Delta z}{\Delta t} \).

This method was first presented in Jimenez & Loeb (2002) and consists of measuring the age difference between two red galaxies at different redshifts to obtain the rate \( \Delta z / \Delta t \). By using a recently released sample from the Gemini Deep Survey (GDDS) (McCarthy et al. 2004) and archival data (Dunlop et al. 1996; Spinrad et al. 1997; Nolan et al. 2001), Stern et al. (2010) have calculated 11 \( H(z) \) data points over the redshift range \( 0.1 \leq z \leq 1.75 \).

We estimated the free parameters \( \mathbf{P} \) by using a \( \chi^2 \) statistics, i.e.,

\[
\chi^2_{H} = \sum_{i=1}^{N_H} \frac{|H_{th}^i(z_i)|^2 - H_{obs}^i(z_i)|^2}{\sigma_i^2}, \tag{12}\]

where \( H_{th}^i(z_i) \) is the theoretical Hubble parameter at redshift \( z_i \) and \( \sigma_i \) is the uncertainty for each of the \( N_H = 11 \) determinations of \( H(z) \) given in Stern et al. (2010). In our analyses, the Hubble constant \( H_0 \) was considered as a nuisance parameter and we marginalized over it.

#### 3.2. SNe Ia

The predicted distance modulus for a supernova at redshift \( z \), given a set of parameters \( \mathbf{P} \), is \( \mu_{th}(z_i | \mathbf{P}) = 5 \log D_L(z_i, \mathbf{P}) + \mu_0 \), where \( D_L = H_0 d_L \) is the Hubble-free luminosity distance, \( \mu_0 = 42.38 - 5 \log_{10} h \) and \( h \) stands for the Hubble constant \( H_0 \) in units of \( 100 \text{ km s}^{-1} \text{ Mpc}^{-1} \). In our analysis, we used the latest Union2.1 sample which includes 580 SNe Ia over the redshift range \( 0.015 \leq z \leq 1.414 \). To include the effect of systematic errors into our analyses, we followed Suzuki et al. (2012) and estimated the best fit and error bars to the set of parameters \( \mathbf{P} \) by using a \( \chi^2 \) statistics, with

\[
\chi^2_{\text{SNe}} = \sum_{i=1}^{580} \left( \mu_{th}^i - \mu_{obs}^i \right) (C^{-1})_{ij} \left( \mu_{th}^j - \mu_{obs}^j \right), \tag{13}\]

where \( C \) is a \( 580 \times 580 \) covariance matrix (Suzuki et al. 2012). In the same way as we did for the analysis involving \( H(z) \) data, we also marginalized over \( H_0 \).
4. Results

In Fig. 2 (left) we show the first results of our statistical analyses. Contours corresponding to $\Delta \chi^2 = 2.3$ and $\Delta \chi^2 = 6.17$ in the $\Omega_{m0} \times c_2$ plane are shown for the $f(R)$ parameter $c_2$ given above. From this analysis, we clearly see that neither the current $H(z)$ and SNe Ia measurements alone nor a combination of them ($\chi^2 = \chi^2_{\text{SNe}} + \chi^2_{\text{BAO}}$) can place tight constraints on the values of the $f(R)$ parameter $c_2$. We also observed that other cosmological observables seem to be unable to provide orthogonal contours to those shown in Fig. 2 (left), which in principle would lead to tighter bounds on $c_2$ from a joint analysis. Figure 2 (right) shows the results obtained when we combine the $f(R)$ parameter $c_2$ and the BAO peak, as given in Blake et al. (2011b), and the 57 measurements of the gas mass fraction in X-ray luminous galaxy clusters discussed in Ettori et al. (2009) (we refer the reader to Allen et al. (2002); Lima et al. (2003); Blake et al. (2011a) for more details on these cosmological tests). For this joint analysis, the best-fit values are $\Omega_{m0} = 0.30$ and $c_2 = -0.25$, with the reduced $\chi^2_f \equiv \chi^2_{\text{min}}/\nu \approx 1.01$ ($\nu$ is defined as degrees of freedom), which is very close to the value found for the standard LCDM model, $\chi^2_f \approx 1.001$. This result clearly shows that these scenarios are indistinguishable from each other at the background level, which coincides with the analysis performed in the metric formalism and are discussed in Martinelli et al. (2012) (although the expansion histories for both metric and Palatini formalisms are completely different). It is worth mentioning that in our analysis we considered both positive and negative values of the $f(R)$ parameter $c_2$, in agreement with the constraint (11). Note also that for $c_2 = 0$, the cosmological scenario discussed above reduces to the Einstein-de Sitter model, which is ruled out by current data. We use the joint best-fit value discussed above, as well as the others shown in Table 1, to discuss some cosmological consequences of this class of $f(R)$ cosmologies in the next section.

4.1. Cosmological consequences

Two important quantities directly related to the expansion rate of the Universe and its derivatives are the deceleration parameter

$$q(z) = \frac{(1 + z) H'(z)}{H(z)} - 1$$

and the effective equation of state (EoS)

$$w_{\text{eff}} = -1 + \frac{2 (1 + z)}{3} \frac{H'(z)}{H(z)},$$

where a prime denotes differentiation with respect to $z$ and $H(z)$ is given by Eq. (5). For all sets of best-fit values displayed in Table 1, we show $q(z)$ and $w_{\text{eff}}(z)$ as a function of the redshift in Fig. 3. Similarly to the analyses presented in Sect. 3, we have included a component of radiation ($\Omega_{\text{rad}} = 5 \times 10^{-5}$) to plot these curves. As can be seen from Fig. 3 (left), for some combinations of parameters the cosmic evolution is well-behaved, with a past cosmic deceleration and a current accelerating phase starting at $z \approx 1$, which seems to agree well with current kinematic analyses (see, e.g., Pires et al. 2010).
For all best-fit combinations shown in Table 1, Fig. 3 (right) shows that the Universe went through the last three phases of cosmological evolution, i.e., a radiation-dominated ($w_{\text{eff}} = 1/3$), a matter-dominated ($w_{\text{eff}} = 0$), and a late-time accelerating phase ($w_{\text{eff}} < 0$), which is similar to what happens in the standard $\Lambda$CDM cosmology. From these results, it is also clear that the arguments of Amendola et al. (2007) about the behavior of $w_{\text{eff}}$ in the metric approach (we refer the reader to Capozziello et al. (2006) for a different conclusion) seems not to apply to the Palatini formalism, at least for the class of models discussed in this paper and the interval of parameters $\Omega_{\text{rad}}$ and $c_2$ given by our statistical analysis — the same also happens to power-law (Amarzguioui et al. 2006; Fay et al. 2007; Santos et al. 2008; Carvalho et al. 2008) and exponential (Campista et al. 2011) $f(R)$ gravities in the Palatini formalism. Moreover, in contrast to exponential-type $f(R)$ models (see, e.g., Campista et al. 2011), we have not found solutions of transient cosmic acceleration in which the large-scale modification of gravity will drive the Universe to a new matter-dominated era in the future.

5. Conclusions

$f(R)$-gravity provides an alternative way to explain the current cosmic acceleration with no need of invoking either the existence of an extra spatial dimension or an exotic component of dark energy. Among a number of $f(R)$ models discussed in the literature, the so-called Hu-Sawicki scenarios are designed to posses a chameleon mechanism that allows one to evade solar system constraints. Although the cosmological scenario that arises from the metric formalism of this model has been shown to satisfy the conditions needed to produce a cosmologically viable expansion history, its Palatini version had not yet been investigated in this paper and the interval of parameters $\Omega_{\text{rad}}$ and $c_2$ given by our statistical analysis — the same also happens to power-law (Amarzguioui et al. 2006; Fay et al. 2007; Santos et al. 2008; Carvalho et al. 2008) and exponential (Campista et al. 2011) $f(R)$ gravities in the Palatini formalism. Moreover, in contrast to exponential-type $f(R)$ models (see, e.g., Campista et al. 2011), we have not found solutions of transient cosmic acceleration in which the large-scale modification of gravity will drive the Universe to a new matter-dominated era in the future.

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For all best-fit combinations shown in Table 1, Fig. 3 (right) shows that the Universe went through the last three phases of cosmological evolution, i.e., a radiation-dominated ($w_{\text{eff}} = 1/3$), a matter-dominated ($w_{\text{eff}} = 0$), and a late-time accelerating phase ($w_{\text{eff}} < 0$), which is similar to what happens in the standard $\Lambda$CDM cosmology. From these results, it is also clear that the arguments of Amendola et al. (2007) about the behavior of $w_{\text{eff}}$ in the metric approach (we refer the reader to Capozziello et al. (2006) for a different conclusion) seems not to apply to the Palatini formalism, at least for the class of models discussed in this paper and the interval of parameters $\Omega_{\text{rad}}$ and $c_2$ given by our statistical analysis — the same also happens to power-law (Amarzguioui et al. 2006; Fay et al. 2007; Santos et al. 2008; Carvalho et al. 2008) and exponential (Campista et al. 2011) $f(R)$ gravities in the Palatini formalism. Moreover, in contrast to exponential-type $f(R)$ models (see, e.g., Campista et al. 2011), we have not found solutions of transient cosmic acceleration in which the large-scale modification of gravity will drive the Universe to a new matter-dominated era in the future.

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In this paper, we discussed several cosmological consequences of this class of models in the Palatini formalism. We performed consistency checks and tested the observational viability of these scenarios by using one of the latest SNe Ia data, the so-called Union2.1 sample, measurements of the expansion rate $H(z)$ at intermediary and high-$z$, estimates of the CMB/BAO ratio, and current observations of the gas mass fraction in X-ray luminous galaxy clusters. We found a good agreement between these observations and the theoretical predictions of the model, with the reduced $\chi^2_{\text{min}}/v \approx 1$ for the analyses performed (see Table 1). For the past cosmic evolution predicted by this class of models, we showed that for a subsample of model parameters, the so-called Hu-Sawicki scenarios in the Palatini approach are indistinguishable from the standard $\Lambda$CDM model and are able to produce the sequence of radiation-dominated, matter-dominated, and accelerating periods without need of dark energy.

It is worth mentioning that all the analyses performed here test the model proposed at the background level. To check its complete observational viability, one should also study the effects of cosmological perturbations in this class of $f(R)$ scenarios. We currently work on this important task and will publish it in a future communication.

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