Continuous heart rate variability and electroencephalography monitoring in severe acute brain injury: a preliminary study

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Background: Decreases in heart rate variability have been shown to be associated with poor outcomes in severe acute brain injury. However, it is unknown whether the changes in heart rate variability precede neurological deterioration in such patients. We explored the changes in heart rate variability measured by electrocardiography in patients who had neurological deterioration following severe acute brain injury, and examined the relationship between heart rate variability and electroencephalography parameters.

Methods: Retrospective analysis of 25 patients who manifested neurological deterioration after severe acute brain injury and underwent simultaneous electroencephalography plus electrocardiography monitoring.

Results: Eighteen electroencephalography channels and one simultaneously recorded electrocardiography channel were segmented into epochs of 120-second duration and processed to compute 10 heart rate variability parameters and three quantitative electroencephalography parameters. Raw electroencephalography of the epochs was also assessed by standardized visual interpretation and categorized based on their background abnormalities and ictal-interictal continuum patterns. The heart rate variability and electroencephalography parameters showed consistent changes in the 2-day period before neurological deterioration commenced. Remarkably, the suppression ratio and background abnormality of the electroencephalography parameters had significant reverse correlations with all heart rate variability parameters.

Conclusions: We observed a significantly progressive decline in heart rate variability from the day before the neurological deterioration events in patients with severe acute brain injury were first observed.

Key Words: brain injuries; critical care; electrocardiography; electroencephalography

INTRODUCTION

Severe acute brain injury is commonly defined as an acute brain insult that causes a prolonged loss of consciousness (Glasgow Coma Scale [GCS] of 8 or less) and includes traumatic brain injury, stroke, meningoencephalitis, and hypoxic brain injury [1,2]. Although the etiologies of severe acute brain injury are diverse, any kind of brain injury can lead to secondary brain damage processes that result in seizures, brain ischemia, brain edema, and even brain...
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This was a retrospective study of adult patients who presented with neurological deterioration after severe acute brain injury.

Neurophysiological changes in injured brains can be captured by various monitoring techniques that measure intracranial pressure, cerebral perfusion pressure, cerebral blood flow, brain tissue oxygenation, and cerebral metabolism. However, these methods have limitations such as the risk of complications and the requirement for extensive health resources. Additionally, the benefits of some of the latest technologies are yet to be demonstrated [3]. Electroencephalography (EEG) provides a noninvasive, bedside measurement of electrocortical activity, and its changes reliably reflect real-time alterations in cerebral blood flow and metabolism [4]. Hence, continuous EEG monitoring to detect subclinical brain damage such as seizures and ischemia in comatose patients has become a cornerstone in critical care medicine [5,6].

Heart rate variability (HRV) is another noninvasive method that represents quantitative markers of the neuroautonomic regulation of heart rate, and this metric’s standard procedure, definition, and interpretation were established in 1996 [7]. Because HRV is a low-cost and accessible method that only requires standard hospital equipment for recording electrocardiography (ECG), it has been extensively applied to investigate autonomic nervous dysfunction in patients with severe illnesses, including trauma, heart failure, multiple organ failure syndrome, and sepsis [8-12]. Particularly, the loss of HRV in severe acute brain injury has been correlated with high patient morbidity and mortality [13]. Previous studies also showed that HRV is useful for predicting the risk of progression to brain death [14-16]. Nevertheless, it is unknown whether HRV changes occur prior to the observation of neurological deterioration in patients with severe acute brain injury, which may enable its use as a marker of early brain-heart network impairment. The object of this preliminary study was to examine the changes in HRV and EEG parameters before and after the onset of neurological deterioration in patients with severe acute brain injury.

**MATERIALS AND METHODS**

**Patients and Data Collection**

This was a retrospective study of adult patients who presented with neurological deterioration after severe acute brain injury and underwent simultaneous EEG plus ECG monitoring in intensive care units between January 1, 2018 and March 1, 2020 at Asan Medical Center, a 2,700-bed tertiary referral center in Seoul, Korea. This study was approved by the Institutional Review Board of Asan Medical Center (IRB No. 2020-0919), and the requirement for informed consent was waived due to the retrospective nature of the study. Severe acute brain injury was defined as brain injury with a decline in GCS score to less than 8 due to acute intracranial pathology. In our study, neurological deterioration was defined as an event with a newly developed loss of pupillary light reflex and radiological confirmation of progressive secondary brain damage, i.e., brain herniation. In order to minimize the influence of factors unrelated to brain dysfunction, we excluded individuals with a history of diabetic neuropathy, atrial flutter or fibrillation, ventricular ectopic beats, recent cardiopulmonary arrest, or use of antiarrhythmic medications.

The following demographic and clinical data were retrieved: age, sex, primary diagnosis, GCS score at the start of continuous EEG monitoring, use of sedative agents, inotropic agents, and anti-seizure drugs, presence of clinical seizures, imaging data including brain computed tomography and/or magnetic resonance imaging of the brain, and survival status at hospital discharge. Neurophysiological data from 18 EEG channels using the standard international 10–20 system and one channel of simultaneously-acquired ECG (obtained as part of the EEG tracing) was used for analysis. The data was segmented in 120-second epochs and epochs with artifacts were excluded from the analysis.

**EEG Parameters**

Changes in the EEG during secondary brain damage were as-

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**KEY MESSAGES**

- We examined the changes in heart rate variability (HRV) associated with continuous electroencephalography (EEG) parameters before and after neurological deterioration to examine whether HRV is capable of predicting secondary brain injury early-on.
- In patients who showed in-hospital mortality after severe acute brain injury, HRV parameters showed significant declines from the day before the neurological deterioration events commenced.
- Correlation analysis showed a significant association between HRV and EEG parameters, which may support the brain-heart network hypothesis.
sessed by standardized visual interpretation and quantitative analysis techniques. Each artifact-free 120-second epoch was visually reviewed using the Standardized Critical Care EEG Terminology of the American Clinical Neurophysiology Society [17] without information on quantitative spectral analysis results. The background activity was categorized as (1) predominant alpha to theta activity or normal/rudimentary N2 sleep transients (K complexes and/or sleep spindles), (2) predominant delta activity and absent N2 sleep transients, and (3) burst-attenuation, burst-suppression, or suppression [18]. Ictal-interictal continuum (IIC) patterns were classified based on their potential associations with ictal events or neuronal injury according to a previous study [19]. EEG classifications are summarized in Table 1.

To calculate the quantitative EEG parameters, artifact-free epochs of 120-second duration were first cleaned using commercial artifact reduction technology (blind source separation) provided as part of Persyst v13 software (Persyst Development Corp., Prescott, AZ, USA) to reduce non-cerebral artifacts in the scalp EEG data. Epochs with ictal events were excluded from quantitative analysis. We then generated quantitative EEG parameters using standard processing engines and exported the mean parameter values for each epoch. To analyze band frequency power, the engine calculated power values (µV^2) with a frequency resolution of 0.5 Hz by fast Fourier transform, and the spectra were divided into four broad frequency bands (delta = 1.0–4.0 Hz, theta = 4.0–8.0 Hz, alpha = 8.0–13.0 Hz, and beta = 13.0–32.0 Hz). The alpha/delta band power ratio (ADR) was subsequently calculated. Other quantitative EEG parameters included the asymmetry index (the sum of the absolute differences in power at each pair of homologous electrodes) and the suppression ratio (the percentage of time during which EEG amplitude is “suppressed,” defined as a ≥ 0.5 s < 3 µV amplitude).

**HRV Parameters**

Among the artifact-free, ictal-free EEG/ECG epochs, those with weak R-wave amplitudes were excluded from the HRV analysis. Next, the R-wave peaks were captured by an automated detection algorithm in the Kubios software (Biosignal Medical Group, Kuopio, Finland). The captured R-to-R intervals were once more manually inspected for arrhythmia or artifacts. The R-to-R intervals obtained through this process are called the “normal-normal (NN) interval”.

Using the NN intervals, linear and non-linear HRV analysis were performed. Linear time-domain methods included standard deviations of the normal-normal intervals (SDNN) and the root mean square of successive NN interval differences (RMSSD). Linear frequency domain analysis using fast Fourier transformation quantified very low frequency power (VLF: ≤ 0.04 Hz), low frequency power (LF: 0.04–0.15 Hz), high frequency power (HF: 0.15–0.4 Hz), and the LF/HF ratio. The VLF, LF, and HF power were log-transformed in statistical analysis because of their skewed distributions. Non-linear HRV analysis included the standard deviations along the transverse axis (SD1) and longitudinal axis of the Poincaré plots (SD2), SD2/SD1 ratio, and the approximate entropy (ApEn). For all HRV parameters, we used 300-second ECG time series that were updated every 10 seconds, and the mean values of 120-second epochs were calculated.

**Statistical Analysis**

Clinical and neurophysiological parameters were summarized using proportions for discrete variables and mean ± standard deviation or median (interquartile range [IQR]) for continuous variables as appropriate. Subjects were divided into non-survivors and survivors according to their survival status at hospital discharge. We used Fisher’s exact tests for categorical data, the Wilcoxon two-sample test for ordinal data, and Stu-

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**Table 1. Electroencephalography classification for analysis**

| Feature                  | Abnormality          | Description                                                                 |
|--------------------------|----------------------|----------------------------------------------------------------------------|
| Background               | I. normal/mild       | Predominant alpha to theta activity, normal or rudimentary N2 sleep transients (K complexes and/or sleep spindles) |
|                          | II. moderate         | Predominant delta activity and absent N2 sleep transients                   |
|                          | III. severe          | Burst-attenuation, burst-suppression, or suppression                        |
| Ictal-interictal continuum| I. normal            | None                                                                        |
|                          | II. mild             | GRDA                                                                        |
|                          | III. moderate        | Slower frequency (< 1.5 Hz) LRDA or GPDs                                   |
|                          | IV. severe           | Faster frequency (≥ 1.5 Hz) LRDA or GPDs, LPDs or ESz                       |

GRDA: generalized rhythmic delta activity; LRDA: lateralized rhythmic delta activity; GPD: generalized periodic discharge; LPD: lateralized periodic discharge; ESz: electrographic seizure.
dent t-tests for continuous data to compare the clinical and neurophysiological characteristics between the two groups. Kruskal-Wallis tests with post-hoc Dunn’s pairwise tests were used to assess the differences in the neurophysiologic parameters between the 24-hour blocks obtained before and after neurological deterioration. The correlations between the EEG parameters and the HRV parameters were measured using Spearman’s correlation coefficient, \( r_s \), which can take values between –1 and +1. According to the rule of thumb, the strength of a correlation was considered according to the following guide for the absolute value of \( r_s \): 0.10–0.39 (weak), 0.40–0.69 (moderate), 0.70–0.89 (strong), and 0.90–1.00 (very strong) [20]. Two-tailed P-values < 0.01 were considered statistically significant. All statistical analyses were performed using R version 3.6.2 (R Foundation, Vienna, Austria) or GraphPad Prism, version 8.3.1 (GraphPad, La Jolla, CA, USA).

**RESULTS**

**Patient Characteristics**

A total of 25 patients were eligible for analysis, and their clinical characteristics are summarized in Table 2. The patients had a mean age of 49 years (range, 20–76 years) and 40% were men. The median GCS score at the start of EEG/ECG monitoring was 3 (IQR, 3–6). In-hospital mortality occurred in 16 patients (64%) (non-survivors), all of which were due to clinical brain death or withdrawal of care due to severe intractable brain injury. The median Glasgow Outcome Scale at discharge among the survivors was 3 (IQR, 3–4.75).

There were no significant differences between the non-survivors and survivors with respect to the use of remifentanil, dexmedetomidine, propofol, midazolam, ketamine, or epinephrine during the monitoring period. The use of norepi-
nephrine was more common in the non-survivor group (81%; 13/16) compared with the survivor group (11%; 1/9) \((P = 0.003)\). Hyperammonemia was the most common etiology, followed by meningoencephalitis and stroke. A cardiac function test was undergone by 19 (76%) out of 25 patients, three of whom showed mild to moderate left ventricular dysfunction (left ventricular ejection fraction 30–49%). The following cardiovascular agents were administered during the period in which the data were collected; calcium channel blocker (8 cases), beta blocker (5 cases), amiodarone (2 cases), digoxin (1 case), adenosine (1 case), and drugs associated with QT prolongation including amiodarone (4 cases). Our analysis included a total of 619 hours of EEG/ECG recording, and we found that the two groups did not differ significantly on the mean duration of EEG/ECG monitoring \((28.1 \pm 16.1\) hours in non-survivors vs. \(17.3 \pm 15.9\) hours in survivors, \(P = 0.119)\). After the onset of neurological deterioration, all patients underwent medical and/or surgical treatments for increased intracranial pressure according to their individual needs.

**Figure 1.** Changes in electroencephalography parameters over 2 days before (D–2 to D0) and after (D0 to D+2) neurological deterioration onset. (A) Suppression ratio (B) Asymmetry index. (C) Alpha/delta band power ratio. Values are presented as mean ± standard deviation and were compared by Dunn’s pairwise tests after Kruskal-Wallis tests. *Statistically significant \((P < 0.01)\) differences compared with the value from the day before. Note that the monitoring was not conducted in D+2 in the non-survivors.

**Figure 2.** Changes in heart rate variability parameters over 2 days before (D–2 to D0) and after (D0 to D+2) neurological deterioration onset. (A) Standard deviations of the normal-normal intervals (SDNN). (B) Root mean square of successive normal-normal interval differences (RMSSD). (C) Log (VLF [very low frequency power]). (D) Low frequency/high frequency power ratio (LF/HF ratio). (E) Ratio of standard deviations along the transverse and longitudinal axis of the Poincaré plots (SD2/SD1 ratio). (F) Approximate entropy (ApEn). Values are presented as mean ± standard deviation and were compared by Dunn’s pairwise tests after Kruskal-Wallis tests. *Statistically significant \((P < 0.01)\) differences compared with the value from the day before. Note that the monitoring was not conducted in D+2 in the non-survivors.
Neurophysiological Changes during Neurological Deterioration

Figures 1 and 2 show the neurophysiological changes that occurred during the 2 days prior to the onset of neurological deterioration (D–2 to D0) and during the 2 days following deterioration onset (D0 to D+2); EEG/ECG data on D+2 were limited in non-survivors and are thus not shown. In both non-survivors and survivors, the suppression ratio and the asymmetry index progressively increased from D–2 and peaked on D0. The ADR showed different patterns between the two groups, as non-survivors showed an overall decline and a significant decrease on D+1 compared with D0, and survivors showed an overall increasing pattern and a significant increase on D+1 compared with D0. The time-domain HRV parameters (SDNN and RMSSD) showed decreasing patterns in both groups, except the significant increase on D+1 in non-survivors. The non-survivors showed a continuous decreasing trend in their VLF power, whereas the survivors did not show significant changes from D–2 to D+1 and then showed a significant increase on D+2. In the survivors, the ratios of LF/HF and SD2/SD1 showed similar patterns of significant increases after the lowest point on D0. ApEn showed a continuous decrease in the non-survivors, while that in the survivors recovered after D0.

Correlation between HRV and EEG Parameters

A total of 18,178 epochs (4,721 from survivors and 13,457 from non-survivors) of simultaneous EEG/HRV measurements were included in the correlation analysis. Figure 3 shows the heatmap of Spearman's correlation coefficient results. We found a significant negative dependence between the suppression ratio and overall HRV parameters. The strength of correlation was the highest between the suppression ratio and the VLF power ($r_s = -0.692$, $P < 0.001$). We also found weak negative

Figure 3. Heatmap of the correlation matrix between the electroencephalography and heart rate variability parameters. Results of the Spearman correlation $r_s$ (above the diagonal) and respective $P$-values for each correlation (below the diagonal). Darker shades of blue (value of 1.0) and red (value of −1.0) represent stronger correlation coefficients. IIC: ictal-interictal continuum; SDNN: standard deviations of the normal-normal intervals; RMSSD: root mean square of successive normal-normal interval differences; VLF: very low frequency power; LF: low frequency power; HF: high frequency power; SD2: standard deviations along the longitudinal axis of the Poincaré plots; SD1: standard deviations along the transverse axis of the Poincaré plots; ApEn: approximate entropy.
correlations between the asymmetry index and HRV parameters except for the LF/HF ratio and ApEn (\( r_c = -0.103 \) to \(-0.354, P < 0.001 \)). ADR and time-domain HRV parameters were moderately correlated (SDNN: \( r_c = -0.468, P < 0.001 \); RMSSD: \( r_c = -0.502, P < 0.001 \)), while ADR and VLF power were weakly correlated (\( r_c = -0.326, P < 0.001 \)). ADR had weak but significant positive correlations with LF/HF (\( r_c = 0.169, P < 0.001 \)) and SD2/SD1 ratio (\( r_c = 0.232, P < 0.001 \)).

Regarding the qualitative EEG ordinal parameters, background severity grade was negatively correlated with all HRV parameters, with \( r_c \) values ranging from \(-0.377 \) for ApEn (\( P < 0.001 \)) to \(-0.704 \) for VLF power (\( P < 0.001 \)). On the contrary, IIC severity was positively correlated with SDNN (\( r_c = 0.172, P < 0.001 \)), RMSSD (\( r_c = 0.184, P < 0.001 \)), VLF power (\( r_c = 0.155, P < 0.001 \)), and ApEn (\( r_c = 0.221, P < 0.001 \)), although the associations were relatively weaker than those between other EEG parameters and HRV parameters.

**DISCUSSION**

Through this exploratory analysis, we showed that HRV and EEG parameters undergo significant and consistent changes in the 2-day period prior to neurological deterioration onset. After neurological deterioration onset with the loss of pupillary light reflexes, all patients received medical or surgical management for increased intracranial pressure, which may have impacted the recovery of some neurophysiological parameters after D0 especially in the survivors. Further study is required to determine if the HRV analysis could predict imminent neurological deterioration onset in patients with severe acute brain injury. We also found significant correlations between HRV and the EEG parameters, which is in line with previous studies on sepsis-associated encephalopathy [10] and hypoxic-ischemic brain injury in neonates [21,22].

In recent years, the recording and monitoring of continuous EEG in patients with critical illness has attracted much attention because of its noninvasiveness, and robust, predictive characterization of brain function. Nevertheless, while the detection of nonconvulsive seizures using EEG monitoring has been established as a standard in critical care [5,23], there is no sufficient evidence that EEG monitoring is significantly beneficial for the real-time identification of brain injury progression in high-risk patients. To this end, some studies have focused on using continuous EEG monitoring for delayed cerebral ischemia detection after subarachnoid hemorrhage [24-27]. As such, the identification of impending neurological deterioration using continuous EEG monitoring has been emerging as an important method in neurocritical care.

The integration of multimodality data with continuous EEG may be useful not only for the recognition of EEG artifacts but also for the complementary interpretation of brain function by correlation with multiple physiologic statuses in severe acute brain injury patients. This method may produce a higher degree of accuracy for detecting the evolution of brain injury than using only EEG monitoring in critically ill patients. In order to obtain time-synchronized physiologic data with EEG, transducers can be connected to the EEG machine, which is the general way to record ECG. Transmitting physiological data from the monitoring devices to the EEG machine via cables or post hoc integration of data from the devices and the EEG machine can be alternative methods. In our study, one-channel ECG waveforms retrospectively obtained from continuous EEG recordings were of high quality and were used to analyze HRV.

HRV reflects the interaction between the sympathetic and parasympathetic nervous systems that regulate cardiovascular functions. Because HRV is noninvasive and easy to calculate using ECG parameters (ECG is a universal procedure in intensive care units), it is widely used to evaluate critically ill patient autonomic function [9]. Methods for analyzing HRV include linear time-domain analyses (SDNN and RMSSD), linear frequency-domain analyses (VLF, LF, and HF power), and the more recent non-linear analyses (SD2, SD1, and ApEn) [7,28]. In our study, neurological deterioration led to an overall decrease in HRV parameters in patients with severe acute brain injury. Notably, in non-survivors, the declines in SDNN, RMSSD, VLF power, and ApEn were significant from the day before the clinical events were observed. Also, whereas ApEn values significantly recovered after D0 in survivors, the ApEn values dramatically decreased during the monitoring period in non-survivors. Considering our preliminary results and a prior report that non-linear methods can capture the changes in HRV that cannot be readily detected in linear methods [28], it is necessary to standardize and validate the use of non-linear methods along with linear methods in HRV monitoring.

Our results are in line with prior studies that reported decreased HRV in patients with sepsis [10], trauma [12], and other critical illnesses [29-31]. In severe acute brain injury, many studies have documented the association between HRV and clinical outcomes, including intracranial hemorrhage [32], subarachnoid hemorrhage [20], traumatic brain injury [13,14,33-35], ischemic stroke [36], brain metastasis [37], multiple etiologies of acute brain injury [38], neurosurgical pa-
tients [39], and brain death [15,16,40–42]. Thus, autonomic dysfunction represented by HRV seems to occur in severely brain-injured patients and is also related to poor outcomes. Despite such findings, whether HRV monitoring can be used for real-time identification of secondary brain damage remains unknown. As such, in addition to evaluating HRV changes over time in patients with neurological deterioration, we conducted a correlation analysis between HRV and the EEG parameters that reflect the degree of brain damage. We found that the suppression ratio was negatively correlated with all HRV parameters. Qualitative EEG background grade was also negatively correlated with all HRV parameters, suggesting that the worse the EEG background, the lower the HRV. The ADR was negatively correlated with HRV parameters except for LH/HF ratio, SD2/SD1 ratio, and ApEn. Also, the suppression ratio and the ADR were positively correlated, suggesting that ADR may increase after the suppression process of EEG activity has already begun. However, as decreased ADR is known to be correlated with delayed cerebral ischemia after subarachnoid hemorrhage [24–27], interpretation of these results should be withheld until confirmation by further studies that investigate different stages of secondary brain damage, from regional ischemia to impending brain death.

There is accumulating evidence that the IIC plays a role in secondary brain damage [43–45]. We used standardized terminology to describe the IIC patterns and evaluated the correlation between IIC and HRV in patients with severe acute brain injury. The severity of IIC was positively correlated with most of the linear and non-linear HRV parameters, even though the associations were relatively weaker than those between other EEG parameters and HRV parameters. Considering prior studies that showed increased HRV in epileptic seizures [46], even though the IIC patterns do not satisfy the definition of an electrographic seizure, these patterns may have a similar association with the autonomic nervous system as ictal events in severe acute brain injury. However, it is important to note that an observed correlation does not necessarily indicate that the relationship between IIC and HRV is causal.

There are some limitations to our study, including a small sample size and a retrospective design that creates a probable selection bias. In particular, since there was no specific indication or priority for the use of limited EEG monitoring devices, the monitoring in patients with severe acute brain injury during the study period was performed at the intensivists’ discretion. Also, no samples have been enriched enough to further investigate other cofactors that decrease HRV, such as type of brain herniation and damage etiology. Nevertheless, the notable impact of neurological deterioration on the neurophysiological parameters allowed for generating statistically significant results despite the small number of cases. Second, as our data were gathered in a real-world setting, the patients had been treated with multiple drugs including sedatives and inotropic agents. Particularly, the prevalence of the use of noradrenaline significantly differed between the non-survivors and the survivors, which might have additionally influenced the HRV. Third, the patients experienced relatively severe neurological deterioration, which was defined as loss of pupillary light reflexes and radiological confirmation of progressive secondary brain damage. Therefore, our conclusions on HRV and EEG monitoring may have limited generalizability in patients with other degrees of brain injury severity. As ECG and EEG monitoring are both widely used in patients with brain damage, we expect that large-scale studies on patients with varying severity of brain diseases are going to be conducted in the near future. Fourth, it is unclear why LH/HF ratio, SD2/SD1 ratio, and ApEn showed different behaviors with certain EEG parameters in the correlation analysis. Although the HRV reliably reflects overall autonomic nervous function, it is unclear how the HRV parameters are able to explain the balance between sympathetic and parasympathetic activities. In particular, the LF/HF ratio and the SD2/SD1 ratio have been commonly used in many studies as markers of sympathetic activity, but the empirical evidence for such usage is lacking [47]. Therefore, we could not test the usefulness of HRV monitoring as a method for reflecting increased sympathetic activity following the increased intracranial pressure, particularly based on the “selfish brain” hypothesis [48].

In conclusion, we report that HRV parameters were associated with significant and progressive decreases in the 2-day period prior to neurological deterioration onset after severe acute brain injury. Combining HRV with EEG monitoring may facilitate timely detection of secondary brain damage progression before irreversible brain injury occurs.

**CONFLICT OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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Conceptualization: all authors. Data curation: HL, SBJ. Formal analysis: HL. Methodology: all authors. Visualization & writing–original draft: HL. Writing–review & editing: all authors.

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