Value Encoding in Single Neurons in the Human Amygdala during Decision Making

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A growing consensus suggests that the brain makes simple choices by assigning values to the stimuli under consideration and then comparing these values to make a decision. However, the network involved in computing the values has not yet been fully characterized. Here, we investigated whether the human amygdala plays a role in the computation of stimulus values at the time of decision making. We recorded single neuron activity from the amygdala of awake patients while they made simple purchase decisions over food items. We found 16 amygdala neurons, located primarily in the basolateral nucleus that responded linearly to the values assigned to individual items.

Introduction

A growing consensus suggests that the brain makes simple choices by assigning values to the stimuli under consideration and then comparing them to make a decision (Montague and Berns, 2002; Rangel et al., 2008; Kable and Glimcher, 2009; Rushworth et al., 2009; Rangel and Hare, 2010). A critical question in the literature, to which a considerable amount of effort is being devoted, is to characterize all of the areas that contribute to the computation of the stimulus values at the time of choice. Monkey electrophysiology (Wallis and Miller, 2003; Padoa-Schioppa and Assad, 2006, 2008) and human functional magnetic resonance imaging (fMRI) studies (Paulus and Frank, 2003; Kable and Glimcher, 2007; Plassmann et al., 2007, 2010; Tom et al., 2007; Valentin et al., 2007; Hare et al., 2008, 2009, 2010; Levy et al., 2010) have shown that activity in the orbitofrontal cortex (OFC) is consistent with the encoding of stimulus value at the time of choice. However, since it is extremely unlikely that this is the only area involved in these computations, there is an ongoing research effort to identify other areas that either encode the necessary value signals or contribute to their computation.

An area of significant interest in this regard is the amygdala. This interest is motivated by several facts. First, monkey electrophysiology studies have shown that this area encodes the value of stimuli during pavlovian appetitive and aversive conditioning tasks (Paton et al., 2006; Belova et al., 2007, 2008; Salzman et al., 2007). Second, monkey lesion studies have also shown that normal amygdala activity is necessary to be able to reevaluate food stimuli after feeding to satiation (Izquierdo and Murray, 2007) and that it plays a role in updating the value of conditioned stimuli in reversal learning paradigms (Machado and Bachevalier, 2007; Murray and Izquierdo, 2007). Third, the anatomical connectivity of the amygdala in primates, which includes extensive bilateral connectivity with OFC, puts it in a privileged position to influence the computation of values (Stefanacci and Amaral, 2000, 2002; Ghoshghei and Barbas, 2002; Price, 2003; Ghoshghei et al., 2007). Fourth, lesions to the basolateral amygdala (BLA) subnucleus in rodents have been shown to greatly affect consummatory behavior related to pavlovian conditioning, which might be modulated by the underlying value of the stimuli (Holland et al., 2002; Balleine and Killcross, 2006). Work in rodents has also shown that the BLA plays a necessary role in the revaluation of stimuli that result from physiological changes such as feeding to satiation (Balleine et al., 2003). Pharmacological inactivation of the rodent BLA by microinfusion has also been shown to induce impairments in judgments based on the relative value attached to different courses of action (Ghods-Sharifi et al., 2009).

Here, we address this question in humans by recording single neuron activity from the amygdala of awake patients while they make simple purchase decisions over food items. The task provides a participant- and trial-specific behavioral measure of the value assigned to each food item at the time of decision, which we then compare with the responses in single amygdala units.

Materials and Methods

Participants. Three patient-participants with pharmacologically intractable epilepsy participated in the study (2 female, 1 male; ages 20, 23, and 38 years; ages of seizure onset 18, 15, and 35 years; full-scale IQ within normal range). Patients had depth electrodes implanted bilaterally in the amygdala as a part of a surgical treatment for their condition. Informed consent was obtained from each patient before participation after the nature and possible consequences of the studies were explained to them. The study was approved by the University of Iowa Institutional Review Board.

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Board. Patients did not incur additional risk by participating in these studies, and the decision to implant the electrodes, as well as their location, was driven solely by medical considerations.

**Stimuli.** Patient–participants made purchase decisions on 50 different sweet and salty junk foods (e.g., candy bars and chips). The stimulus set was taken from a previous related fMRI study (Plassmann et al., 2007) and was constructed to consist of foods that are familiar to most participants, and that range from neutral to highly appetitive. The foods were presented to the participants using color pictures depicting both the package and a sample of the food (Fig. 1A) (size 2 × 3 inches; 72 dpi resolution). The stimuli were presented on a standard liquid crystal display monitor in the participants’ room in the University of Iowa Hospital and Clinics Clinical Research Center.

**Behavioral task.** Patients participated in two identical sessions, consisting of 50 trials each, which were run back to back. In each trial, they had to make a purchase decision regarding the food stimuli described above. Stimuli were shown in random order and were not repeated within sessions. Participants were endowed with $3 in bidding money and on every trial had to decide to bid for the right to eat the food shown in that trial at the end of the experiment. In each trial, they were allowed to bid between $0 and $3. The timeline of events is shown in Figure 1A. Stimuli were shown for 1 s before participants could enter their bid. The trial ended as soon as the bid was recorded. Trials were separated by a 1 s intertrial interval. Participants entered their bid either through a key press (PT156, possible bids $0, $1, $2, or $3), or a continuous graphic slider manipulated by the mouse wheel (PT173 and PT175). The bids for the first session for PT173 were entered by key press.

At the end of the experiment, one of the trials was selected at random and implemented, which is a common procedure in behavioral economics. Importantly, the food item for the selected trial was sold to the participant using a Becker–DeGroot–Marshack (BDM) auction mechanism (Becker et al., 1964). The rules of a BDM are as follows. Let \( b \) denote the participant’s bid for the selected trial, and let \( n \) be a random number drawn between $0 and $3 from a known distribution (in our case, $0, $1, $2, and $3 were chosen with equal probability). If \( b \geq n \), the participant gets the item, but he only pays a price equal to \( n \). In contrast, if \( b < n \), the participant does not get the item but also he does not have to pay anything. The participant keeps all unspent funds.

Note several important features of the task. First, the unique optimal strategy in a BDM auction is to bid the true valuation for each food, a fact that was explained to the participants during the experimental instruction period. This is useful because it provides us with a trial-by-trial measure of the subjective value that the brain assigns to each of the stimuli during the decision. Second, since only one of the decision trials was implemented, participants did not need to worry about spreading his $3 across the different food items. Furthermore, since they did not know which trial would count, and every decision had a positive probability of being selected, their best strategy was to treat every trial as if it were the only one. Third, because participants were restricted to bid on the $0–$3 range, and PT156 could only
bid in discrete amounts, their bids are only an approximation about their true underlying value for the foods at the time of choice.

Neurophysiological recordings. As part of their clinical treatment, patients were implanted with multicontact recording grids over the perisylvian cortex, as well as hybrid depth electrodes (HDEs) in Heschl’s gyrus and either unilateral or bilateral amygdala. The patient’s electrocorticograms were monitored continuously for seizure activity for 2 weeks following implantation. A modified HDE containing 14 high-impedance microwires and 4 low-impedance macrocontact recording sites (Fig. 2A) was also inserted into the nonpathological amygdala (Howard et al., 1996). The electrode used in PT175 had an extra microwire (which in the figures below is referred to as “contact 15”). The HDEs have been developed as part of a collaborative arrangement between the University of Iowa and Radionics Inc. and are currently manufactured by AD-TECH. They have the same external physical characteristics as standard clinical depth electrodes, but have been internally modified to enable the simultaneous recording of local field potentials and single-unit or multi-unit activity (Howard et al., 1996). Research recordings were obtained simultaneously from the grid and depth electrodes while the patient-participants were reclining in a bed or sitting upright in a chair in the human electrophysiological recording facility at the University of Iowa General Clinical Research Center.

We were able to record from 7 neurons in PT156 (right amygdala), 14 neurons in PT173 (left amygdala), and 30 neurons in PT 175 (15 in left, 15 in right). Due to technical problems with the recording equipment, we were only able to record single-unit activity in the HDE in one experimental session for each patient (with a total of 50 trials per participant).

Structural MRI. We acquired T1-weighted structural MRI scans within 1 month before the implantation surgery, as well as 1 d before the electrode removal surgery. The data were acquired in a Siemens 3.0 tesla scanner (scanning parameters: contiguous non-overlapping coronal scans with 1.0 mm slice thickness; in-plane resolution 0.781 × 0.781 × 1.0 mm).

Stereotactic atlas-based localization of the electrode contact sites. The localization of the recording sites for the HDE was done with references to the atlas of Mai et al. (2008), which provided a detailed histological demarcation of medial temporal lobe structures, including subnuclei in the amygdala. We used a nonlinear brain-morphing method (Oya et al., 2009) to project the subnuclei of the amygdala onto the MRI volumes of individual participants. The depth electrodes created localized artifacts on the postimplantation MRI images that are readily identified (Fig. 2, compare B, C). The center of each artifact was manually selected to identify the location of each electrode. This location information was used to manually trace the location of the electrode sites in the preimplantation MRI image. Results of the electrode localization are shown in Figure 3.

Sorting of single-unit activity. Action potentials (spikes) for single units or multiple units were identified from the continuous high-bandwidth, high-impedance recordings from the HDE sampled at 12207 Hz. A typical example of this recording over an interval of 5 s is shown in supplemental Fig. S1A (available at www.jneurosci.org as supplemental material). Candidate spikes were selected by amplitude thresholding, decomposed using wavelet analysis, and clustered using the algorithm developed by Quiroga et al. (2004, 2005). The wavelet analysis was based on a Haar wavelet that decomposed the candidate spikes into a nine-dimensional feature space. Spikes were clustered with similar shapes to the same unit using a stochastic nonparametric superparamagnetic approach (Domany, 1999). A single temperature parameter was titrated manually following automatic clustering. Generally, a single cluster dominated in terms of cluster size for each unit, and it was this dominant cluster that was used for further analysis. Examples are shown in supplemental Fig. S1B (available at www.jneurosci.org as supplemental material).

Basic analysis of single-unit activity. We carried out the following basic analyses for each neuron. First, we constructed raster plots of single-unit activity in each trial, aligned to the beginning of the trial and sorted by the measured bid value for the shown item. Second, we constructed a peristimulus time histogram (PSTH) of spike activity, as a function of bin

Figure 3. Estimated anatomical location within the amygdala of the three groups of microwire recording sites: 1–6, 7–10, and 11–14 (circles). The spatial groups are color coded according to the sign of the statistically significant associations between the units identified by those contacts and the measured bids. In all cases, the sign of the weights were consistent within each group. The ratio of the number of neurons that covaried with bids to the total number of recorded neurons in each spatial group is shown in red brackets.
The stochastic spike time process is completely characterized by its conditional intensity function, which is defined by the following:

$$\lambda'(t \mid H_t) = \lim_{\Delta t \to 0} \frac{P(\Delta N(t) = 1 \mid H_t)}{\Delta t},$$

(1)

where $H_t$ denotes the spiking history as of time $t$ (Daley and Vere-Jones, 2003). Note that the quantity $\lambda'(t \mid H_t)\Delta t$ approximates the spiking probability over the time interval $t - \Delta t$ to $t$.

We used a generalized linear model (GLM) to estimate the conditional intensity as a function of different sets of covariates (McCullagh and Nelder, 1989; Berman and Turner, 1992). We binned the data into $K$ time increments of $\Delta t = 50$ ms and assume that this bin size is small enough so that the covariates and the parameters of the spiking process are approximately constant within the bin. We assume the number of spikes that arrive in the $k$th bin is distributed as a Poisson process. This gives rise to the likelihood function over the entire recording session, as follows:

$$P(\Delta N_{1:k} \mid \theta) = \prod_{k=1}^{K} \hat{\lambda}(t_k \mid \theta, H_k)^{\Delta N_k} \exp\left(-\hat{\lambda}(t_k \mid \theta, H_k)\right) / \Delta N_k!,$$

(2)

where $\theta$ is a vector of parameters characterizing the conditional intensity function, $t_k$ is the upper bound for the $k$th time increment, and $\Delta N_k$ denotes the number of spikes in the $k$th time interval. We then estimated the parameters of the model using standard maximum likelihood methods (Dobson, 2002).

We considered two natural alternative models of the conditional intensity function. (To simplify the discussion below, the time index $k$ is dropped, and the subscript $t$ is assumed to be a discrete index.)

**Model 1.** In this model, the conditional intensity function only depends on the value of the item being evaluated in that trial, as measured by the bid. This implies the following:

$$\hat{\lambda}(t) = \exp[\mu + \beta b],$$

(3)

where $b$ is a variable that takes the value of the bid of the item being shown during the evaluation and response periods, and is zero otherwise (formalized as a boxcar). $\beta$ is the weighting of the revealed value for the $j$th neuron over the entire session of bidding. For log-linear models, the parameter estimates are often interpreted on the exponential scale (Dobson, 2002), so that for each $\$1$ increase in bid the discharge rate would be predicted to increase by a factor of $e^{\beta}$. We compared the fit of the two models using the deviance statistic, which for a model $M$ is defined by the following:

$$D(M) = -2[\ell(\theta_{\text{max}}, \Delta N_{1:k}) - \ell(\hat{\theta}, \Delta N_{1:k})],$$

(5)

where $\ell(\theta_{\text{max}}, \Delta N_{1:k})$ is the maximum log-likelihood for a saturated model of the conditional intensity function that contains as many parameters as data points, and $\ell(\hat{\theta}, \Delta N_{1:k})$ is log-likelihood for the estimated model of interest. This measure is useful because if model $M_j$ is nested within $M_k$, then their relative fit can be compared using the difference in the following deviance:

$$\Delta D = D(M_j) - D(M_k),$$

(6)
which has a sampling distribution that is well approximated by a $\chi^2$ with degrees of freedom equal to the difference in the number of parameters between the two models (Dobson, 1983).

**Results**

**Behavioral results**

We measured the reliability of the bid data by comparing the bids in the first session to the bids in the second session (Fig. 1B). We found no significant effect of the lagged bid values, indicating that each current bid was independent of prior bids.

**Contact localization**

We used a flexible nonlinear atlas-to-patient morphing technique to identify the location of the contacts for each electrode (for details, see Materials and Methods). All of the microwire contacts were localized to specific amygdala subnuclei, although the distal group of microwires for the right PT175 implanted electrode made contact with the adjacent entorhinal cortex. The subnuclei locations for each contact are described in detail in Figure 3.

**Basic single-unit results**

We were able to isolate 51 units across the three participants. For each of these units, we carried out the following analysis. First, we constructed raster plots for three representative neurons, one from each participant, shown in Figure 4. They provide a complete description of spike activity in every recorded trial, sorted by bid value. Second, for every trial we computed total spike activity in 50 ms non-overlapping bins aligned to the beginning of the trial. The bottom figure in each panel provides a stacked PSTH conditioned on the to-be-revealed bid value. The sum of the stacked bars is equal to the standard PSTH of total counts in each bin. Third, for each time bin we estimated a log-linear regression of spike counts on bids. As shown in the figure, in all of the neurons we found that total spike counts were associated with bids (positively or negatively) in some time intervals before the request for bid (identified by the gray line).

**Point process GLM analysis**

One limitation of the previous analyses is that, given the small number of trials that can be collected from single units with these human patients, and the randomness in the spike processes, there is a significant amount of noise in the data within each time bin. One way of addressing this limitation is to estimate a generalized linear model of the spike process, a method that has been used previously to identify the variables driving the dynamics of spiking activity of single neurons. We compared two simple models of spike activity. In model 1, the local spiking probability depended linearly on the value of the item bid shown at the time. In model 2, the local spiking probability depended linearly on the value, and also on the last 200 ms of spiking activity. These models were estimated independently for each unit (for details, see Materials and Methods).

Figure 5A summarizes the results by describing the sign and magnitude with which the local spiking activity is related to the bids. For PT156, five of the seven neurons that we were able to isolate in the right amygdala exhibited a positive and significant responsivity to the bids. For PT173, 6 of the 14 neurons that were isolated exhibited a negative and significant responsivity to the values. For PT175 1 of 15 neurons isolated in left amygdala and 1 of the 15 neurons isolated in right amygdala were positively and significantly responsive to values, and 3 of the 15 units isolated in right amygdala were negatively responsive.

Our analyses also allowed us to compare the fit of the two models of the spike process. As described in the Materials and Methods section, when two models are nested their relative fits can be compared using the difference in their deviance statistics. Figure 5B summarizes the model comparison analysis by plotting
the difference in deviations between model 1 and model 2 for each isolated unit. Note that large numbers of this statistic indicate that the more complicated model 2, which includes the local history of activity, provides a better fit to the spike data while accounting for the increase in parameters. In almost all of the units that exhibited a significant association with the bids, model 2 provided a significantly better fit of the spike data. This finding is important because it shows that the spike process that these amygdala units exhibit is subject to dynamic forces that are not fully captured by the value of the stimulus alone but are conditionally dependent on the intrinsic spiking history over the course of the trial.

Figure 6 provides two alternative ways of evaluating the GLM expression. Figure 6A shows how three different variables change as a function of time, as follows: (1) the raw spike counts zero-phase filtered with a 128-tap finite impulse response (FIR) filter with a 1 Hz low-pass cutoff; (2) the spike rates predicted by the estimated model 1; and (3) the spike rates predicted by the estimated model 2. Model 1 (red) expresses the conditional intensity (instantaneous rate) as the exponential of the log-linear relationship of the spiking activity and bid covariate, and consequently reveals graphically the degree to which the bid value predicts the rate. The temporal clustering of intrinsic spike events for this neuron can be observed as large modulations in predicted rate by model 2 (black) exceeding that of the extrinsic bid alone fit of model 1 (red). The general improvement of the predicted spike rate is characterized by the observed large differences in deviance between model 1 and model 2. Although the filtered raw spike counts (gray) can be seen to smoothly covary with the parametric conditional intensity, it fails to capture the fine detail revealed using the Poisson GLM, which formally takes into account the covariates of interest and provides a principled method for hypothesis testing.

An alternative way of evaluating the expression of the estimated GLM is to plot the predictions and confidence intervals of the models as a function of the value of the item shown in the trial. Figure 6B compares the 95% confidence envelope of the predicted spike rates with bootstrapped means of actual mean spike activity for the trials in each value category. (We obtained 20 bootstrapped means by drawing random samples from each bid category with replacement.) The figure shows that the assumption of a linear relationship between the behaviorally measured values and the spiking activity provides a general good description of the data.

Spatial location of value-related activity

Figure 3 provides a description of the localization of the value-related activity within amygdala subnuclei. The figure shows the location of contact groups 1–6 (in the most medial-anterior section), 7–10 in the medial section, and 11–14 in the more lateral posterior section. Figure 3 provides a color-coded summary of the significance and sign of the association with bids. The ratios of the number of neurons that covaried significantly with the bid value to the total number of recorded neurons in each spatial group are shown in red brackets. Note that of the 16 neurons that covaried significantly with bids, 11 were localized inside or at the boundary of the BLA subnucleus.

Discussion

The results presented here provide evidence consistent with the hypothesis that single neuron activity in the human amygdala might play a role in either encoding or computing stimulus values at the time of choice. The results also suggest that a significant fraction of amygdala neurons might participate in these computations, since 16 of 51 recorded units exhibited responses that were linearly associated with the behavioral bids, and that the BLA subnucleus might play an especially important role, since 11 of the 16 neurons were located or bordered there. We found similar proportions of neurons exhibiting positive and negative associations with the measured values (9 of 16 were negative), which suggests that the amygdala might have detectors for both the appetitiveness and the aversiveness of stimuli.

These results are interesting for several reasons. First, to the best of our knowledge, they provide the first direct demonstration that single units in the human amygdala respond in a manner compatible with the computation of stimulus values at the time of decision making. Second, while most animal recordings are based on highly learned tasks, our participants performed a novel bidding task for which they had no previous practice and used relatively novel stimuli. This suggests that the amygdala’s involvement in value computations at the time of choice is not restricted to highly practiced and familiar environments. Third, the results suggest that the amygdala plays a role in valuation even in humans, despite the expanded and specialized role of the frontal cortex in this species.
Our results are consistent with findings from the previous literature about the role of the amygdala in valuation in nondecision contexts. In particular, previous monkey electrophysiology studies have shown that this area encodes the value of both stimuli during pavlovian appetitive and aversive conditioning tasks (Paton et al., 2006; Belova et al., 2007, 2008; Salzman et al., 2007). Interestingly, these previous studies also found a combination of positive and negative associations with the value of the stimuli, with a small excess of negative coding. If robust, these findings would suggest that the amygdala is involved in both types of coding, with a small dominance of negative responses, which helps to reconcile our findings with the large literature on amygdala responses to fear-conditioned stimuli (LeDoux, 2000; Morrison and Salzman, 2010). Together, this growing body of literature suggests that the amygdala is likely to play a critical role in the computation of stimulus values across a large range of decision and perceptual tasks.

We found that activity in the amygdala units was heavily influenced by recent spiking activity. Past intrinsic spiking activity, as characterized by the GLM analysis, may reveal collective dynamics important to the encoding of value (Truccolo et al., 2010). It is unlikely that the intrinsic activity modeled by the spiking history in the GLM is due only to random background activity. If that were the case, the variance would largely be accounted for by the GLM constant or intercept. These higher order modulations may reflect reciprocal streams of information to and from the OFC or the ventral striatum. Further experimentation and analysis will be required to investigate the interactions with other brain areas implicated in the computation of value.

One natural question is what is the precise role that the amygdala signals found here play in the computation of values at the time of decision making. Although at this stage we can only speculate, several pieces of evidence suggest that the amygdala might influence decision making in the class of tasks studied here by influencing the stimulus value signals computed in the OFC. First, the OFC shares many reciprocal connections with the amygdala (McDonald, 1998; Baxter and Murray, 2002; Price, 2003; Wistinastan et al., 2004; Schoenbaum and Roesch, 2005; Roy et al., 2009; Murray and Wise, 2010). Second, a recent fMRI study of decision making in patients with amygdala damage found that they exhibited impaired value processing in the ventromedial prefrontal cortex (Hampton et al., 2007). Converging evidence from the rodent literature has shown that OFC neurons encoding expected outcome value are greatly reduced in BLA-lesioned rats, along with observed deficits in goal-directed behavior (Schoenbaum et al., 2003).

Our experimental design and methodology have some limitations that should be addressed in future studies. First, because only neutral-to-appetitive stimuli were used, the value of their stimuli and the arousal and attentional responses that they generate are very highly correlated. As a result, although our results are consistent with the presence of value signals in these units, and this is consistent with the previous literature involving this area of the amygdala in valuation in nonhuman primates (Morrison and Salzman, 2010), we cannot rule out the possibility that the identified units respond to arousal or attention instead of value. Second, due to the difficulties, ethics, and limitations of carrying out single-unit recordings in human patients, we were only able to record single-unit activity in 50 trials per participant. Since activity in single units is known to be noisy, this is likely to have introduced a false-negative bias in our analyses, which suggests that our results should put a lower bound on the fraction of amygdala units that are likely to be involved in value-related computations.

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