Unveiling the phase diagram of a bond-alternating spin-$\frac{1}{2}$ $K$-$\Gamma$ chain

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The key to unraveling intriguing phenomena observed in various Kitaev materials lies in understanding the interplay of Kitaev ($K$) interaction and a symmetric off-diagonal $\Gamma$ interaction. To provide insight into the challenging problems, we study the quantum phase diagram of a bond-alternating spin-$\frac{1}{2}$ $K$-$\Gamma$ chain by density-matrix renormalization-group method where $g_x$ and $g_y$ are the bond strengths of the odd and even bonds, respectively. The phase diagram is dominated by even-Haldane ($g_y > g_x$) and odd-Haldane ($g_y < g_x$) phases where the former is topologically trivial while the latter is a symmetry-protected topological phase. Near the antiferromagnetic Kitaev limit, there are two gapped $A_s$ and $A_t$ phases characterized by distinct nonlocal string correlators. In contrast, the isotropic ferromagnetic (FM) Kitaev point serves as a multicritical point where two topological phase transitions meet. The remaining part of the phase diagram contains three symmetry-breaking magnetic phases. One is a sixfold degenerate FM$_{U_6}$ phase where all the spins are parallel to one of the $\pm \hat{x}$, $\pm \hat{y}$, and $\pm \hat{z}$ axes in a six-site spin-rotated basis, while the other two have more complex spin structures with all the three spin components being finite. Existence of a rank-two spin-nematic ordering in the latter is also discussed.

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I. INTRODUCTION

The enigmatic quantum spin liquid (QSL) has drawn a lot of attention ever since the seminal work of Anderson in 1973 [1]. In 2006, Kitaev proposed an exactly solvable spin-$\frac{1}{2}$ model on the honeycomb lattice and demonstrated that its ground state is an exotic QSL with emergent Majorana fermion excitations [2]. The past decade has witnessed a surge of interest in realization of the Kitaev honeycomb model on real materials with 4$d$ or 5$d$ magnetic ions, which includes iridates and $\alpha$-RuCl$_3$ (see Refs. [3–5] and referees therein). However, because of the inevitable non-Kitaev interactions, e.g., the Heisenberg interaction and a symmetric off-diagonal exchange $\Gamma$ interaction [6], these materials are shown to display magnetic orders at lowest temperatures [7–10]. Nevertheless, it is believed that the effective $K$-$\Gamma$ model is the dominant ingredient to describe $\alpha$-RuCl$_3$ [11].

From a theoretical point of view, although the quantum phase diagram of the $K$-$\Gamma$ model on a honeycomb lattice is elusive, several magnetically ordered phases and distinct QSLs are demonstrated to exist [6,12–16], indicating the strong quantum fluctuation enhanced by competing interactions. Given the notorious difficulty in two dimension, it is beneficial and constructive to reduce the dimensionality where many full-fledged analytical and numerical methods capable of addressing problems in one-dimensional (1D) quantum spin chains are available. Recently, the phase diagram of the isotropic $K$-$\Gamma$ chain has been studied by the density-matrix renormalization-group (DMRG) method and the non-Abelian bosonization technique [17,18]. It is shown that about 2/3 of the phase diagram is occupied by a gapless Luttinger liquid (LL). The ferromagnetic (FM) Kitaev limit is merely a transition point, while a critical segment near the antiferromagnetic (AFM) Kitaev limit is identified. Two symmetry-breaking phases termed the FM$_{U_6}$ phase and the $M_2$ phase (see Fig. 2 for the nomenclature of the magnetically ordered phases) are also reported. Later on, it is found that FM and AFM Heisenberg interactions could open up a wide region of the FM$_{U_6}$ phase and the LL, respectively [19]. However, to enlarge the territory of the puzzling $M_2$ phase is still unclear. Aligning with this effort, a two-leg $K$-$\Gamma$ ladder under a [111] magnetic field is also studied, revealing a rich phase diagram with several emergent phases [20].

Aside from the exotic phases and quantum criticality, quantum spin chains also provide an excellent platform for theoretical studies of various quantum phase transitions (QPTs) [21], of particular interest is the topological QPT that is beyond Landau’s paradigm. The topological QPT occurs between two different phases without any explicit symmetry
The Haldane phase is such an example of symmetry-protected topological (SPT) phase [26], which possesses a nonlocal string order parameter (SOP) due to a symmetry-breaking [22–25]. Dating back to 1992, Hida originally pointed out that the bond-alternating Heisenberg chain could host the Haldane phase due to the imbalance of the neighboring coupling intensities, leading to the formation of either total spin 0 or 1 out of the two spin-$\frac{1}{2}$ degrees of freedom [29]. Therefore, bond alternation is a practical route to legalize the validity of the SPT phase [22–25]. The Haldane phase is such an example [36].

Material [37], the model (1) owns a duality relation which could be seen by applying the spin rotation transformation ($S_x^{\alpha}$, $S_y^{\alpha}$, $S_z^{\alpha}$) $\to$ ($S_x^\beta$, $S_y^\beta$, $S_z^\beta$). This implies that each eigenvalue $E$ of $H$ satisfies the relation

$$E(g) = gE(1/g),$$

where $g \equiv g_x/g_y$ is the relative bond strength. On the other hand, by virtue of a global spin rotation around the $z$ axis by $\pi$, ($S_x^\alpha$, $S_y^\alpha$, $S_z^\alpha$) $\to$ ($S_y^\beta$, $-S_x^\beta$, $S_z^\beta$), the Kitaev interaction remains uninfluenced whereas the sign of the $\Gamma$ interaction is altered [17]. We thus instantly find that

$$E(K, \Gamma) = E(K, -\Gamma),$$

or, equivalently, $\theta \mapsto \pi - \theta$. These relations in Eq. (3) and Eq. (4) allow us to focus on the phase diagram primarily in the reduced parameter range $\theta \in [-\pi/2, \pi/2]$ and $g = g_x/g_y \in [0, 1]$ and then map out the whole phase diagram shown in Fig. 2.

Using a site-ordering cross decimation rotation with a periodicity of six sites, all the cross terms of $S_x^{\alpha}$, $S_y^{\alpha}$, $S_z^{\alpha}$ with $\alpha \neq \beta$ in Eq. (2) will vanish [17]. This $U_0$ transformation is given by

- sublattice 1 : ($x, y, z$) $\to$ ($\tilde{x}, \tilde{y}, \tilde{z}$),
- sublattice 2 : ($x, y, z$) $\to$ ($-\tilde{x}, -\tilde{y}, -\tilde{z}$),
- sublattice 3 : ($x, y, z$) $\to$ ($\tilde{y}, \tilde{x}, \tilde{z}$),
- sublattice 4 : ($x, y, z$) $\to$ ($-\tilde{y}, -\tilde{x}, -\tilde{z}$),
- sublattice 5 : ($x, y, z$) $\to$ ($\tilde{x}, \tilde{z}, \tilde{y}$),
- sublattice 6 : ($x, y, z$) $\to$ ($-\tilde{z}, -\tilde{y}, -\tilde{x}$),

where $\gamma = x(\tilde{x}), y(\tilde{y}), z(\tilde{z})$ denotes the spin component of $S^\gamma$ ($S^\gamma$). Under this transformation the original Hamiltonian acquires the following form [17]:

$$\tilde{H}_{1,j}^{(\gamma)}(\theta) = -KS_{x,j}S_{y,j} + \Gamma(S_{x,j}^\alpha S_{y,j}^\beta + S_{y,j}^\alpha S_{z,j}^\beta),$$

in which the bonds $\gamma = x$ (red), $\tilde{z}$ (blue), and $\tilde{y}$ (green) circle-circularly, as depicted in Fig. 1(b). $S = (S_x, S_y, S_z)$ is the spin operator in the rotated basis. Such a $U_0$ transformation does not alter the energy spectra (i.e., energy and its degeneracy) but simplifies the spin-spin correlation functions. Therefore, we will preferentially focus on the rotated Hamiltonian in Eq. (6) unless stated explicitly otherwise. The exceptions are Secs. IV and VI, where it is convenient to calculate the correlation functions in the original basis. In addition, combining Eq. (4), it is apparent that Eq. (6) has an SU(2) symmetric structure when $|K| = |\Gamma|$. Specifically, in the range

$$\theta \in (-\pi, \pi), K \approx \Gamma,$$

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III. EVEN-HALDANE–ODD-HALDANE TOPOLOGICAL QUANTUM PHASE TRANSITION

Straightforwardly, when $\theta = -\pi/4$, Eqs. (1) and (6) turn out to be a bond-alternating AFM Heisenberg chain [29,38–41]. It is well-established that there is a topological EH-OH transition at $g = 1$ with a central charge $c = 1$ [40]. For either $g < 1$ or $g > 1$, the ground state could be characterized by a SOP which is nonzero inside the phase but vanishes otherwise (see Sec. II in the Supplemental Material [36]). Specifically, the two phases could be distinguished by the even- and odd-SOPs which are defined as [29]

$$\mathcal{O}_c^{\alpha} = \lim_{|j-i| \to \infty} \mathcal{O}^{\alpha}(2i, 2j + 1),$$

and

$$\mathcal{O}_o^{\alpha} = \lim_{|j-i| \to \infty} \mathcal{O}^{\alpha}(2i - 1, 2j),$$

where

$$\mathcal{O}^{\alpha}(p, q) = -4 \left( \sum_{\rho < q} \prod_{p < \rho < q} e^{i \theta_{\rho} \frac{\pi}{2}} \right) s_{q}^{\alpha}.$$ 

Here, $\alpha = x, y, z$. The even-SOP $\mathcal{O}_c^{\alpha}$ is calculated from an even site $(2i)$ to an odd site $(2j + 1)$ while the odd-SOP $\mathcal{O}_o^{\alpha}$ is measured from an odd site $(2i - 1)$ to an even site $(2j)$. At the critical point $g = 1$, both SOPs are identical and decay as $\mathcal{O}_{c/o}^{\alpha} \propto L^{-1/4}$ [42]. From a topological perspective, the EH phase is trivial while the OH is a SPT phase which is isomorphic to the ground state of the spin-1 Heisenberg chain [24]. For the OH phase, its ground state is unique under PBC but has a fourfold degeneracy under OBC because of two edge spin $\frac{1}{2}$s. In addition, the degeneracy of the lowest-lying entanglement spectrum is twofold (fourfold) under OBC (PBC) [43].

As shown in Fig. 2, the EH and OH phases extend to a large region of the parameter space. To demonstrate it, we focus on the line of $\theta = 0$, which is the $\Gamma$-chain limit. We begin by studying a so-called bulk entanglement gap $\Delta S$ [44], which comes from the even-odd oscillation of the entanglement entropy $S_{1}(l) = -\text{Tr}(\rho_1 \ln \rho_1)$ where $\rho_1$ is the reduced density matrix of the subsystem with a contiguous spatial segment $l$ [45]. Depending on whether $l \gg 1$ is odd or even, $S_{1}(l)$ saturates to a constant value of $S_1$ and $S_2$, respectively. The bulk entanglement gap is thus defined as $\Delta S = S_2 - S_1$ [44]. Figure 3(a) shows $S_1$ (open symbols) and $S_2$ (fill symbols) for the chain length $L = 48, 96$, and 144. For these lengths chosen, $S_1$ corresponds to cut one strong valence bond consistently, while $S_2$ stands for cutting a strong valence bond zero time or twice when $g < 1$ or $g > 1$, respectively. This implies that the bulk entanglement gap $\Delta S/\ln 2$ tends to be $-1$ or $1$ in the limiting case where $g \to 0$ or $g \to \infty$, respectively [see Fig. 3(b)]. Near the critical point, $S_1 \simeq S_2$ and thus $\Delta S \simeq 0$. Moreover, defining $\delta = \frac{\pi}{2\sqrt{l}}$, the quantity scales as $\Delta S \sim \ln 2 - (1 - |\delta|)^2$ when away from criticality, whereas $\Delta S \sim -\delta \ln |\delta|$ when close to criticality [44]. Therefore, the value of $\Delta S$ is bounded to $\pm \ln 2$ and its sign $W = \text{sgn}(\Delta S)$ could be used to characterize the corresponding topological sector. The sign change (e.g., from $W = -1$ to 1) is a signal for the topological QPT.
FIG. 3. (a) Entanglement entropy $S_1$ (open symbols) and $S_2$ (filled symbols) of different cuts for the $g_x$-$g_y$ chain with $\theta = 0.00\pi$. The chain length $L$ is 48 (red circles), 96 (green triangles), and 144 (blue squares). (b) Bulk entanglement gap $\Delta S$ in the same region as in panel (a).

To further reveal the nature of phases at different topological sectors, we measure the even-SOP $O^e_z$ [see Eq. (7)] and odd-SOP $O^o_z$ [see Eq. (8)]. It is clearly shown in Fig. 4(a) that $O^e_z$ ($O^o_z$) is finite when $g < 1$ ($g > 1$) and is vanishingly small otherwise. The finite-size effects of $O^e_z$ and $O^o_z$ are very weak, except for a narrow window that is close to the critical region. As shown in the inset, both types of SOPs $O^e_z$ and $O^o_z$ decay algebraically as $L^{-\alpha}$ where the critical exponent $\alpha \approx 0.26$, which is fairly close to the value of $1/4$ at $\theta = -\pi/4$ [42]. For an infinite-size system, SOPs $O^e_z$ and $O^o_z$ scale as $\delta^{1/6}$ [29]. As a result, the critical exponent is given as $\beta = 1/12$ because $O \propto \delta^\beta$. Hence, this topological QPT belongs to the Gaussian universality class. We also calculate the excitation gap $\Delta_T$, which is defined as the energy difference between the first-excited state and the ground state. Figure 4(b) shows that $\Delta_T$ is very robust when $g \neq 1$. Near $g = 1$, it has a pronounced drop with size increased. As shown in the inset, $\Delta_T$ is zero when $L \to \infty$, showing that the ground state of the isotropic $\Gamma$ chain is critical. To extract the central charge $c$, we calculate the von Neumann entanglement entropy $S_L(L/2)$ for a series of chain length $L$ and the central charge is fit by $S_L = \frac{c}{3} \ln(L/\pi) + c'$. Our best fitting suggests that $c \simeq 0.997(5)$ (not shown), which is very close to 1 of the LL.

Figure 5(a) displays the energy density $w = E_g(L)/L$ for the isotropic $\Gamma$ chain under OBC (black triangles) and PBC (red circles). For the OBC case, $E_g/L$ decreases smoothly and saturates around $-0.30$ as $L$ increases. In contrast, it is not monotonically increasing but exhibits an oscillation with six-site periodicity for the PBC (see inset). As a comparison, we note that such an abnormal energy density behavior is absent in the isotropic Kitaev spin chain (see Fig. 1 in the Supplemental Material [36]). This phenomenon in the $\Gamma$ chain is striking and may be related to the unusual energy behavior of the $\Gamma$ model on the honeycomb lattice [15].
the total energy $E_g$ is calculated on a series of honeycomb clusters where OBC (PBC) is utilized on the $L_x$ ($L_y$) direction of cylinders. For any cylinder with fixed $L_x$, the energy density $E_g/N$ ($N = L_xL_y$) varies linearly with $1/L_x$. However, by increasing the circumference of the cylinders with $L_x/L_y = 2$, the energy density $E_g/N$ is no longer monotonic and exhibits a skew sawtooth behavior. To round off the calculation, we give an estimate of the ground-state energy per-site $e_g$ of the isotropic $\Gamma$ chain. We note that our $\Gamma$ chain contains both $x$ and $y$ bonds [see Eq. (1)], and there is no analytical solution so far. It is fundamentally different from a 2-bond $\Gamma$ chain which could be solved exactly via the Jordan-Wigner transformation [46]. At the quantum critical point, the finite-size scaling of the ground-state energy $E_g(L)$ is known to be [47,48]

$$E_g(L) = Le_g + e_b - \frac{\Delta_b}{L} + O(L^{-2}),$$

where $e_g$ is the average bulk energy per-site, $e_b$ is the size-independent surface energy which vanishes in the case of PBC, and $\Delta_b$ is the subleading correlation term. It is found that $\Delta_b = \pi e/6 (\pi c/24)$ for PBC (OBC) where $c$ is the central charge [47,48]. By definition we have $e_g = \lim_{L \to \infty} e_L$ where $e_L$ is the energy per-site of the chain with length $L$. For the energy obtained under the OBC, there are two ways to extrapolate it to the thermodynamic limit; one is $e_g^1 = E_g(L)/L$ and the other is $e_g^2 = (E_g(L) - E_g(L-2))/2$. It is easy to check that convergence speed of the latter is faster than the former. As shown in Fig. 5(b), the quadratic fittings of the two give that $e_g^1 = -0.299593 62$ and $e_g^2 = -0.299593 75$, yielding an estimate for the ground-state energy per-site in the thermodynamic limit of $e_g = -0.299593 75(1)$ with seven significant digits. Meanwhile, we also extrapolate the energy under PBC by using the solid points in Fig. 5(a) where $L$ is a multiple of six [see Fig. 5(c)]. Our result suggests that $e_g \approx -0.299594$, which is fairly consistent with the high-precision value revealed by the calculation under OBC.

**IV. EXTENDED QUANTUM COMPASS MODEL**

In the absence of the $\Gamma$ interaction, Eqs. (1) and (2) are reduced to the 1D Kitaev spin chain, which is also known as the 1D QCM in some other contexts [31,32]. The QCM could be solved exactly by Jordan-Wigner transformation, and its dispersion relation is almost the same as that of the transverse-field Ising model. There is a topological QPT between the gapped $A_x$ and $A_y$ phases at $g = 1$ [2]. However, because of intermediate symmetries, the ground state of the QCM possesses a huge number of degeneracy $2^{N/2-1}$ ($2^{N/2}$) under PBC (OBC) where $N$ is the total number of sites [31,49]. Equivalently, the QCM could be rewritten as a Majorana fermion chain complemented by $N$ decoupled Majorana fermions. Since each Majorana fermion has $\sqrt{2}$ degrees of freedom, the redundant Majorana fermions thus contribute a ground-state degeneracy of $O(2^{N/2})$ [50]. These degenerate ground states are vulnerable and can be totally lifted by an infinitesimal transverse field [51]. The entanglement [52–55], energy dynamics [56], and the dissipative behavior [37] of the QCM have been studied over the years.

**FIG. 6.** Kitaev-type SOP $O_k^\theta$ of the $g_{x\mp y}, K-\Gamma$ chain for $\theta = 0.48\pi$ with chain length $L = 48$ (red circles) and 72 (green triangles). The inset shows the behavior of $O_k^\theta$ at the isotropic point $g = 1$. Values at $\theta = 0.50\pi$ (blue squares) and $\theta = 0.48\pi$ (red cross) are shown for several chain lengths $L = 48, 72, 96, 144$, and 216. The solid line is the correlation function defined in Eq. (13).

Using the spin duality transformation, the topological $A_x$ and $A_y$ phases, respectively, are shown to possess nonlocal string correlators [50],

$$O_k^\theta(2r) = \lim_{r \to \infty} \left( \prod_{k=1}^{2r} \sigma_k^x \right)$$

and

$$O_k^\theta(2r) = \lim_{r \to \infty} \left( \prod_{k=2}^{2r+1} \sigma_k^y \right),$$

where $\sigma_k^x$ and $\sigma_k^y$ are Pauli matrices, i.e., twice the spin-1/2 operators in the original basis. Here, the nonlocal SOPs are defined in the original basis embedded in Eq. (2). Generalization of nonlocal SOPs to a two-leg Kitaev ladder has been discussed in a recent work [58]. At the critical point $g = 1$, these Kitaev-type SOPs vanish in an algebraic behavior at long-distance limit $n \gg 1$ [37],

$$O_k^\theta(n) = e^{i \theta} 2^{1/12} A^{-3/2} n^{-1/4} (1 - \frac{1}{64} n^{-2} + \cdots),$$

where $A \simeq 1.2824$. For infinite-size case, they obey a scaling law and $O_k^\theta \sim (1 - g^2)^{1/3}$ when $g \to 1$.

Hereafter we show numerically that the topological $A_x$ and $A_y$ phases are extended when $\theta$ is slightly deviated from $\pi/2$ (AFM Kitaev point). We demonstrate this by calculating the SOPs shown in Eqs. (11) and (12) for $\theta = 0.48\pi$ with $2r = L/2$. As presented in Fig. 6, the SOPs change smoothly and are very robust in each corresponding phase, showing the validity of them in this region. When $g = 1$, the two have the same value due to the self-dual relation and they decrease visibly as $L$ grows. To measure how the SOPs vary at this point, we calculate $O_k^\theta$ for $L$ up to 216 sites and the results are shown in the inset. The values at $\theta = 0.50\pi$ are also shown for comparison. As revealed by Eq. (13), the leading term of $O_k^\theta$ is $\sim 1/L^{1/3}$, so its decay ratio is not very rapid for modest chain length $L$ as shown by the solid line in the inset. However, it is constructive to note that $O_k^\theta$ at $\theta = 0.48\pi$ and $0.50\pi$ are very
close but the curve of $\theta = 0.48\pi$ is shifted downward slightly
when compared with the latter. For $\theta = 0.50\pi$ it is known that $O_L^3$
vanishes at $g = 1$ when $L \to \infty$ [50]. So it is reasonable
for us to believe that it will also go to zero ultimately for
$\theta = 0.48\pi$. As a result, $g = 1$ is still inferred as the critical
point for the $A_x-A_y$ transition.

To confirm the criticality at $\theta = 0.48\pi$, we now turn to
calculate the central charge. The central charge is usually
extracted from the coefficient of the logarithmic correlation
in the entanglement entropy [45]. However, this method is
not optimal for the critical Kitaev phase because of the
macroscopic ground-state degeneracy [31]. As a result, it is
challenging to get a minimally entangled state which is essen-
tial for a reliable estimate of the central charge. The practical
way to handle this problem is by the energy scaling as shown
in Eq. (10). For the PBC, the central charge is given by the
following formula:

$$c_L \simeq \frac{6}{\pi}[L e_g - E_g(L)]L,$$

(14)

where $e_g$ is the only relevant parameter. Following a similar
procedure illustrated in Fig. 5(b), we find $e_g \approx -0.159\,109\,2$
for $\theta = 0.48\pi$, which is only slightly larger than that of
$-1/(2\pi) = -0.159\,154\,9 \cdots$ for $\theta = \pi/2$. We have also
calculated the ground-state energy $E_g(L)$ for a series of chain
length $L$ ranging from 24 to 144. The fitting central charge
via Eq. (14) is shown in Fig. 7. It can be found that the central
charge is very close to $\frac{1}{2}$ and suffers from a tiny
finite-size effect. Therefore, we draw the conclusion that the
central charge $c = \frac{1}{2}$ and the transition belongs to the same
universality class as that at $\theta = \pi/2$ [59,60], confirming
the existence of an extended region of $A_x$ and $A_y$ phases and
the critical transition line between them.

V. THE SYMMETRY-BREAKING PHASES

A. Degeneracy and spin patterns

Like the AFM case shown in Sec. III, Eqs. (1) and (6) could
be reduced to the bond-alternating FM Heisenberg chain when
$\theta = \pi/4$ (i.e., $K = \Gamma$). For this model its ground-state energy
$E_g = -(1 + g)KL/8$ with a $(L + 1)$-fold ground-state degen-
eracy [61]. Although it is inherently gapless, the system is not
conformally invariant. Specially, when $g = 1$ it is shown that
there is an effective central charge $c_{eff} = 3/2$ [62]. Around
the isotropic SU(2) FM point by tuning $\theta$ along the line of
g = 1, there is an $O_6 \to D_4$ symmetry-breaking phase which
has sixfold degenerate ground states along the $\pm x$, $\pm y$, and
$\pm z$ spin directions [17]. In addition, the local magnetization,
say $\langle S^z \rangle$, shows a three-site periodicity where two of them are

$$\langle \hat{S}_1 \rangle = a z^2, \ \langle \hat{S}_2 \rangle = a x^2, \ \langle \hat{S}_3 \rangle = a x^2,$$

(15)
in which $a$ and $c$ are the strengths of the local magne-
tization. With the $U_6$ transformation shown in Eq. (5) in
mind, it is easy to check that spins in the original basis are
$(|S_x^1\rangle, |S_x^2\rangle, |S_x^3\rangle)$), respectively. When
$\theta = \pi/4$, away from this line, the interplay of bond
anisotropy and competing interactions would enhance quantum
fluctuations, giving rise to new type of magnetic
orderings. It is shown in Fig. 2 that there are three distinct
collinear FM phases while the other two are dubbed $M_1$ and
$M_2$ phases.

To begin with, by reducing the strength of $g$ along the line
of $\theta = 0.30\pi$, we find that the FM phase could survive until $g \approx \sqrt{3}/3$ where the ground-state degeneracy changes from sixlet
to octuplet. Figure 8 show the first $(L + 2)$ energy levels $E_u$
($\nu = 0 \to 25$) of a 24-site chain at $g = 0.80$ (red circles) and
0.50 (green triangles). One can readily recognize that there is a
energy step at the sixth (eighth) energy level for $g = 0.80$
(0.50). The energy barrier is $\approx 10^{-3}$, which is several orders
less than the energy splitting within the degenerate ground
states. The energy step at the 24th energy level is extremely
steep, which is a reminiscence of the $(L + 1)$-fold degeneracy
at the SU(2) FM line of $\theta = \pi/4$. We have checked the
ground-state degeneracies under spin chains of $L = 48$ and
72 as well, and the results remain unchanged in the DMRG
calculation with up to $m = 4000$ states kept.

We then study magnetization distributions of the symmetry-breaking phases. For the FM phase, the spin
ordering is very similar to what is shown in Eq. (15) but with
a six-site periodicity, see Fig. 9(a). It is observed that
\[
\langle S_{i}^{x} \rangle = \langle S_{i}^{y} \rangle = \langle S_{i}^{z} \rangle = (c, b, b; c, a, a). \tag{16}
\]
where \(a, b, \text{ and } c\) are the magnitudes of the spin orderings along the \(z\) direction and \(a, b, c \leq S\). There is a slight difference between \(a\) and \(b\) when \(g \neq 1\). That is, \(b < a\) or \(a > b\) when \(g < 1\) (\(g > 1\)). They are equal at the isotropic case, consistent with the group-theoretical argument \cite{17}. As can be seen from Fig. 9(a), \(c\) is the smallest value of the three, albeit its difference to the penultimate value (it is \(b\) when \(g < 1\)) becomes negligible as \(g\) is decreased. For the \(M_{1}\) phase shown in Fig. 9(b), the \(c\) component of the magnetization still shows the pattern in Eq. (16), except that \(c\) and \(b\) are very close in value but are visibly smaller than \(a\). Most importantly, the \(x\) and \(y\) components of the spins in the \(M_{1}\) phase also become nonzero and shows the permutation relation within each even and odd sublattice. Following the \(\eta\) notation of Rousochatzakis and Perkins \cite{63}, we find that
\[
\langle S_{1} \rangle = \left( \frac{\eta_{a}}{\eta_{b}}, \frac{\eta_{b}}{\eta_{c}}, \frac{\eta_{c}}{\eta_{a}} \right), \quad \langle S_{3} \rangle = \left( \frac{\eta_{c}}{\eta_{a}}, \frac{\eta_{a}}{\eta_{b}}, \frac{\eta_{b}}{\eta_{c}} \right), \quad \langle S_{5} \rangle = \left( \frac{\eta_{b}}{\eta_{c}}, \frac{\eta_{c}}{\eta_{a}}, \frac{\eta_{a}}{\eta_{b}} \right). \tag{17}
\]
and
\[
\langle S_{2} \rangle = \left( \frac{\eta_{a}}{\eta_{b}}, \frac{\eta_{b}}{\eta_{c}}, \frac{\eta_{c}}{\eta_{a}} \right), \quad \langle S_{4} \rangle = \left( \frac{\eta_{c}}{\eta_{a}}, \frac{\eta_{a}}{\eta_{b}}, \frac{\eta_{b}}{\eta_{c}} \right), \quad \langle S_{6} \rangle = \left( \frac{\eta_{b}}{\eta_{c}}, \frac{\eta_{c}}{\eta_{a}}, \frac{\eta_{a}}{\eta_{b}} \right). \tag{18}
\]
Here, \(a, b, c (\geq 0)\) are the intensities of the magnetization, while \(\eta_{a}, \eta_{b}, \eta_{c} (\equiv \pm 1)\) are the Ising variables. It is worth noting that \(a, b, \text{ and } c\) in the \(M_{1}\) phase satisfy the restriction \(a^{2} + b^{2} + c^{2} \leq S\), and it is quite different from these in the FM\(\eta_{6}\) phase [see Eq. (16)]. All the three \(\eta\) are free to choose either 1 or \(-1\), accounting for the eightfold degeneracy of the \(M_{1}\) phase shown in Fig. 8. In addition, by applying the inversion \(U_{b}\) transformation, the spins in the original basis have the following relation:
\[
\langle S_{i}^{x} \rangle = \langle S_{i}^{y} \rangle = \langle S_{i}^{z} \rangle = (a, b, c) \quad \text{for} \quad 1 \leq i \leq L.
\]
E. Rousochatzakis and Perkins \cite{63}, we find that
\[
\langle S_{r}^{x} \rangle = \langle S_{r}^{y} \rangle = \langle S_{r}^{z} \rangle = (a, b, c; a, b, c). \tag{19}
\]
It is easy to check that \(\chi_{135} = \chi_{246} \equiv \chi_{0}\) and
\[
\chi_{0} = \eta_{1} \eta_{2} \eta_{3} (a^{3} + b^{3} + c^{3} - 3abc) = \frac{n}{2} (a + b + c) [(a - b)^{2} + (b - c)^{2} + (c - a)^{2}], \tag{20}
\]
with \(n \equiv \eta_{1} \eta_{2} \eta_{3}\). Equation (20) suggests that, as long as \(a, b, \text{ and } c\) are not all the same, which always holds as observed from Fig. 9(b), \(\chi_{0}\) will be nonzero, in line with the noncoplanar pattern of the \(M_{1}\) phase.

Figure 10 shows the \((a, b, c)\) components of the magnetization along the line of \(\theta = 0.30\pi\). In the wide region of \(0.5778 \lesssim g \lesssim 1.731\), the ground state is the FM\(\eta_{6}\) phase where all the spins point along the \(z\) direction with an almost-saturated moment. The three species \(a, b, \text{ and } c\) are totally different as long as \(g \neq 1\). The \(M_{1}\) phase takes over when \(0.43 < g < 0.5778\), and magnitudes of the magnetization are suppressed approximately to \(3/4\) (for \(a\)) or \(1/2\) (for \(b, c\)) of the saturated value.

For the \(M_{2}\) phase, the local magnetization is fragile and we thus extract their values by calculating the spin-spin correlation functions defined as
\[
C_{\alpha \beta \gamma \delta}^{\nu} (l) = [S_{\alpha} S_{\delta}], \tag{21}
\]
where \(\alpha = x, y, \text{ and } z\) and \(\nu\) is the reference site. For simplicity we first consider the isotropic case \((g = 1)\) which shows a three-site periodicity in the rotated basis. The correlators \(C_{\alpha \beta \gamma \delta}^{x/y/z} \text{ at } (g = 1.00, \theta = 0.42\pi)\) are calculated based on a 48-site chain, see Fig. 11. These values are very stable when the site distance \(l\) is larger than 10, and we estimate the local magnetization as \(S_{\alpha}^{\nu} = (C_{\alpha \beta \gamma \delta}^{x} (L/2))^{1/2}\) with \(L = 48\). The local magnetization \((S_{\alpha}^{\nu}) = ([S_{\alpha}^{x}, S_{\alpha}^{y}, S_{\alpha}^{z}])^{T}\) within the three-site
both $M$ (that one shown in Eqs. (17) and (18). It should be noted that is doubled and there is a same magnetization distribution to $g$ when away from the isotropic line where $g = 1$), while for

\begin{align*}
\langle \hat{S}_i \rangle, \langle \hat{S}_j \rangle, \langle \hat{S}_k \rangle &= \left( \begin{array}{ccc}
0.214 & 0.214 & 0.214 \\
0.103 & 0.103 & 0.103 \\
0.214 & 0.214 & 0.214 \\
\end{array} \right).
\end{align*}

When away from the isotropic line where $g = 1$, the unit cell is doubled and there is a same magnetization distribution to that one shown in Eqs. (17) and (18). It should be noted that both $M_1$ and $M_2$ phases are eightfold degenerate and their difference lies in the relative values among $a, b,$ and $c$. For $M_1$ phase we have $c \approx b < a$ ($g < 1$) or $c \approx a < b$ ($g > 1$), while for $M_2$ phase $c$ is much smaller than $a, b$.

**B. Magnetic orderings of $M_1$ and $M_2$ phases**

This section is devoted to study the transitions to $M_1$ and $M_2$ phases. We begin by considering the transitions to $M_1$ phase along the path of $g = 0.5$. The SOPs of the Haldane-type $O_H = O^x$ [via Eq. (6)] and the Kitaev-type $O_K = O^x$ [via Eq. (2)] are plotted in Fig. 12(a). At $\theta_H \approx 0.215\pi$ and $\theta_K \approx 0.383\pi$, the two SOPs are discontinuous, indicating of first-order transitions between the EH ($A_1$) phase and the intermediate $M_1$ phase. The order parameter $O_{M_1}$ is shown in Fig. 12(b). Here, only the $c$ flavor of $\langle S_j \rangle$ is chosen for the sake of brevity. We find that it is very robust with a negligible finite-size effects. In addition, there is also a nonvanishing correlation of a rank-two spin-nematic (SN) ordering defined in Eq. (23) (not shown). Notably, when crossing the line of $\theta = \pi/4$ where the ground state is the SU(2) FM phase, $O_{M_1}$ has a discontinuity because of the inherent difference of the spin orientations.

Meanwhile, it is appealing to know how the flux density $\langle \hat{W}_p \rangle$

\[ \hat{W}_p = 2^6 \hat{S}_1^x \hat{S}_2^x \hat{S}_3^x \hat{S}_4^x \hat{S}_5^x \hat{S}_6^x, \]  

(22)
evolves in each different phase. Similar to the two-dimensional counterpart [2], the quantity in Eq. (22) is the product of spin operators on consecutive overhanging bonds within the six-site unit cell [see Fig. 1(b)]. In Fig. 12(c), we plot the flux density $\langle \hat{W}_p \rangle$ versus $\theta$ in the whole region of $\theta \in [0, \pi/2]$. It is clearly shown that $\langle \hat{W}_p \rangle$ is zero in the EH phase. In the $M_1$ phase, $\langle \hat{W}_p \rangle$ starts from a nonzero value and goes up with the increasing of $\theta$ except for $\theta = \pi/4$ where $\langle \hat{W}_p \rangle \approx 0$. In the $A_1$ phase, however, $\langle \hat{W}_p \rangle$ decreases from 0.17 or so and does not stop dropping until $\theta \approx 0.50$ where $\langle \hat{W}_p \rangle < 0$. Without doubt, the flux density $\langle \hat{W}_p \rangle$ shows a crucial difference among the three distinct phases. The jump and kink are excellent probes for phase transitions involved.

We now turn to the transition around the $M_2$ phase. It is shown in the isotropic $K$-$\Gamma$ chain that there is an intermediate phase when $0.40\pi \lesssim \theta \lesssim 0.466\pi$ [18]. This phase is now recognized as the $M_2$ phase with a nonzero magnetization, and it could survive against small anisotropy where $|g - 1| \ll 1$.\[ \hat{W}_p = 2^6 \hat{S}_1^x \hat{S}_2^x \hat{S}_3^x \hat{S}_4^x \hat{S}_5^x \hat{S}_6^x, \]
and the results are summarized in Fig. 13(b). Deep into the length function in the long-distance limit is known as [18]

\[ \text{OSN order to infinite-size system.} \]

(b) Order parameter could be safely obtained via Eq. (23).

Figure 13 shows the order parameter \( O_{SN} \) for \( \theta = 0.42\pi \). To check for the finite-size effect, we consider the isotropic case \( g = 1 \) and calculate the correlation function in Eq. (23) with \( i = 0 \) and \( j = 0, 1, 2, \ldots, L/6 \), see Fig. 13(a). It is found that the correlators saturate to a finite value after several times of oscillation. In what follows we shall define \( O_{SN} \equiv O_{SN}(i = 0, j = L/6) \). The inset shows the extrapolation of the SN order parameter for chain length \( L = 48, 72, 96, 144 \), and 192, from which we can clearly find that \( O_{SN} \) is very robust against \( L \). We then extend the calculation of \( O_{SN} \) for \( 0.8 \leq g \leq 1.2 \) and the results are summarized in Fig. 13(b). Deep into the \( M_2 \) phase, \( O_{SN} \) is very stable, although there is a modest suppression near the boundaries. The transitions between the \( M_2 \) phase and the collinear FM \( \mu_b \) phase are accompanied by the jumps of \( O_{SN} \), from which the transition points are determined as \( g \approx 0.945 \) and 1.065, respectively. It is worth mentioning that the transition points satisfy the self-dual relation shown in Eq. (3) since they are related as \( 0.945 \approx 1/1.065 \).

Empirically, the ground-state energy per-site of the \( FM_{\mu_b} \) phase is given by

\[ e_g^{FM_{\mu_b}} = -\frac{1}{6}K(a^2 + gb^2) + 2c\Gamma(b + ga), \]  

where \( a, b, c \) are almost saturated [see Figs. 9(a) and 10]. For example, at the hidden SU(2) FM point where \( K = \Gamma \) and \( g = 1 \), we have \( a = b = c = 1/2 \) and the energy inferred from Eq. (24) is \(-K/4\), consistent with the analytical result [61]. For the \( M_2 \) (and also \( M_1 \)) phase, the energy displays a very similar form except that the prefactor \( (1/6) \) in Eq. (24) should be \( 1/2 \). In addition, \( (a, b, c) \) is subject to the constraint \( M = (a^2 + b^2 + c^2)^{1/2} \leq S \). However, the total magnetization \( M \) of the \( M_2 \) phase is far from saturated, and it is only 0.320 with \( (a, b, c) = (0.214, 0.214, 0.103) \) at \( g = 1.00, \theta = 0.42\pi \). By adding the bond alternation with \( g \neq 1 \), there is a slight enhancement of \( M \), lowering the ground-state energy and thus opening a finite region of \( M_2 \) phase.

VI. TRANSITIONS AROUND THE FERROMAGNETIC KITAEV LIMIT

So far, we have mainly concentrated on the right panel of the phase diagram shown in Fig. 2. Phases in the left panel could be obtained from the right part after a mirror operation. However, little is known about the transition types of the adjacent phases near the axis of symmetry. After an inspection of the first-order energy derivative \( \partial e_g/\partial \theta \) along the line of \( g = 1 \), Yang et al. claimed that the transition at the FM Kitaev point is of first order [17]. A variational Monte Carlo study, amazingly, suggests that the \( Z_2 \) QSL at that point could survive up to a small \( \Gamma \) interaction [64]. Herein, we find that the FM Kitaev point is a confluence point of two transition lines, i.e., the \( A_x-A_y \) and the LL-LL’ transition lines. It is thus a multicritical point which accounts for the difficulty in determining the nature of transition (for an extended discussion, see Sec. III in the Supplemental Material [36]). By virtue of an efficient bond-reversal method [65], we argue in the following that the aforesaid topological QPT between the two LLS is continuous. Nevertheless, away from the symmetric line of \( g = 1 \), transitions at \( \theta = -\pi/2 \) are of first order without closing the gap at the transition points.

Here we illustrate how to use the bond-reversal method to determine the transition type around the FM Kitaev point. By tuning \( \Gamma \) from negative to positive, the ground-state energy \( e_g \) must be symmetric with respect to the \( \Gamma = 0 \) line (i.e., \( \theta = -\pi/2 \)) due to the symmetry relation of Eq. (4). When \( \theta \) is slightly away from \(-\pi/2 \), the sign of the \( \Gamma \) interaction is changed, and local expectations of \( \Gamma_x = \langle S_x^i S_x^j + S_y^i S_y^j \rangle \) and \( \Gamma_y = \langle S_x^i S_y^j - S_y^i S_x^j \rangle \) in the original basis must be reversed. In this regard, we thus define the difference of bond strength (DBS) \( D \) as

\[ D = \frac{1}{2}(\Gamma_x + \Gamma_y). \]
FIG. 14. (a) DBS $D$ of the $g_x$-$g_y$ $K$-$\Gamma$ chain for $g = 1.0$ with chain length $L = 24$ (red circles), $48$ (green triangles) and $72$ (blue squares). Inset shows a zoom-in of the DBS near the FM Kitaev limit with $\Gamma > 0$. (b) DBS $D$ for $g = 0.5$ (red circles), $1.5$ (green triangles) and $2.0$ (blue squares) with chain length $L = 24$.

The DBS $D$ is a sensitive probe for a first-order QPT because it has a jump at the transition point. Oppositely, there is a continuous QPT if $D$ is smoothly changed [65]. We note in passing that, physically, $D$ is equivalent to the first-order derivative of ground-state energy $\partial E_\theta/\partial \theta$ when $g = 1$. However, the energy derivative depends on the increment $\delta \theta$ which may cause artificial oscillation. In this sense, the DBS $D$ is obviously superior and is more reliable.

Figure 14(a) shows the DBS $D$ of the LL-LL’ transition when $g = 1.0$. It is rather smooth without any jump in a wide region of $-0.55\pi \leq \theta \leq -0.45\pi$. The size-dependent behavior is insignificant except for a narrow slit near the FM Kitaev point. As can be seen from the inset of Fig. 14(a), the DBS $D$ shows a well-controlled scaling behavior for different chain length $L$ and does not have a jump although its slope becomes sharp as $L$ increases, indicative of a multicritical behavior. We recall that such a multicritical point is analogous to the one sharp as $L$ state is occupied by gapped EH or OH phase. The DBS $D$ shows a zoom-in of the DBS near the FM Kitaev limit with $\Gamma > 0$. Given that there is an emergent SU(2) symmetry at this $\Gamma$ limit [17], we conjecture that the versatile Bethe ansatz may be capable to give an exact solution of the isotropic $\Gamma$ chain.

In closing, our work demonstrates the essential role played by the bond alternation in enriching the underlying phase diagram. The bond alternation is a relevant perturbation to either open up the energy gap or rearrange the distribution of magnetization, leaving the possibility for the emergence of novel phases. Our study also highlights the richness of Kitaev systems with AFM exchange interaction. Although the $K$-$\Gamma$ model is widely recognized as a cornerstone to describe candidate Kitaev materials like $\alpha$-RuCl$_3$, much less attention has been paid to $K > 0$ as Kitaev interaction is likely negative in these materials. A theoretical proposal for the AFM Kitaev interaction in $f$-electron-based magnets has been proposed [69]. Our study thus corroborates a new direction to hunt for exotic phases in a less explored area.

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VII. SUMMARY AND DISCUSSION

We have numerically studied the phases and phase transitions in a bond-alternating spin-$\frac{1}{2}$ $K$-$\Gamma$ chain, which is an excellent platform to reveal many aspects of one-dimensional quantum magnetism. By calculating various conventional symmetry-breaking order parameters and nonlocal SOPs, we unveil a rich quantum phase diagram which contains seven different phases. Near the AFM Kitaev spin chain limit, there is a critical segment with a macroscopic ground-state degeneracy. It is unstable against bond alternation, resulting in two gapped disordered $A_x$ and $A_y$ phases characterized by nonlocal SOPs. The $A_x-A_y$ topological QPT falls in the Ising universality class with a central charge $c = \frac{1}{2}$. On the other hand, starting from the FM Kitaev spin chain limit by increasing the $\Gamma$ interaction, there are EH and OH phases in the inner circle ($g < 1$) and outer circle ($g < 1$), respectively. The EH-OH transition is determined by the SOPs which vanish algebraically at the transition boundary. It could also be captured by the entanglement gap which undergoes a sign change when crossing the critical point. This transition belongs to the Gaussian universality class with a central charge $c = 1$, identical to that of the bond-alternating spin-$\frac{1}{2}$ AFM Heisenberg chain. The FM Kitaev point is recognized as a multicritical point converging several different phases. In addition, there are also three distinct magnetically ordered states, named FM$_{\text{odd}}$, $M_1$, and $M_2$ phases, in the presence of AFM Kitaev interaction. The FM$_{\text{odd}}$ phase has a sixfold degeneracy and is situated in a wide region around the isotropic line of $g = 1$. The $M_1$ and $M_2$ phases are highly spatially modulated and could have a rank-two spin-nematic ordering.

The isotropic $\Gamma$ chain is conformally invariant with a central charge $c = 1$. While its ground-state energy smoothly varies with the chain length under OBC, it surprisingly shows an unconventional six-site periodicity under PBC. We remark that this phenomenon has a profound relation to the abnormal energy scaling in two-dimensional honeycomb lattice [15]. Given that there is an emergent SU(2) symmetry at this $\Gamma$ limit [17], we conjecture that the versatile Bethe ansatz may be capable to give an exact solution of the isotropic $\Gamma$ chain.

In closing, our work demonstrates the essential role played by the bond alternation in enriching the underlying phase diagram. The bond alternation is a relevant perturbation to either open up the energy gap or rearrange the distribution of magnetization, leaving the possibility for the emergence of novel phases. Our study also highlights the richness of Kitaev systems with AFM exchange interaction. Although the $K$-$\Gamma$ model is widely recognized as a cornerstone to describe candidate Kitaev materials like $\alpha$-RuCl$_3$, much less attention has been paid to $K > 0$ as Kitaev interaction is likely negative in these materials. A theoretical proposal for the AFM Kitaev interaction in $f$-electron-based magnets has been proposed [69]. Our study thus corroborates a new direction to hunt for exotic phases in a less explored area.
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