MULTISPECTRAL OBSERVATIONS OF LUNAR OCCULTATIONS. I.
RESOLVING THE DUST SHELL AROUND AFGL 5440

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ABSTRACT

We present observations and modeling of a lunar occultation of the dust-enshrouded carbon star AFGL 5440. The observations were made over a continuous range of wavelengths from 1 to 4 μm with a high-speed spectrophotometer designed expressly for this purpose. We find that the occultation fringes cannot be fit by any single-size model. We use the DUSTY radiative transfer code to model a circumstellar shell and fit both the observed occultation light curves and the spectral energy distribution described in the literature. We find a strong constraint on the inner radius of the dust shell, \( T_{\text{max}} = 950 \pm 50 \) K, and optical depth at 5 μm of 0.5 ± 0.1. The observations are best fit by models with a density gradient of \( \rho \propto r^{-2} \) or the gradient derived by Ivezić & Elitzur for a radiatively driven hydrodynamic outflow. Our models cannot fit the observed \( IRAS \) 60 μm flux without assuming a substantial abundance of graphite or by assuming a substantially higher mass-loss rate in the past.

Subject headings: stars: AGB and post-AGB — stars: individual (AFGL 5440) — stars: mass loss — techniques: high angular resolution

1. INTRODUCTION

Mass loss from post-main-sequence stars provides a large fraction of the heavy-element abundance and solid particle content of the interstellar medium (e.g., Wallerstein & Knapp 1998; Ferrarotti & Gail 2006). The mechanism(s) of the mass loss during the asymptotic giant branch (AGB) phase of stellar evolution are thought to involve both radiation pressure and stellar pulsation (Suh 1997; Wallerstein & Knapp 1998; Schröder et al. 1998), but most details of this process are not well understood. Many uncertainties about these processes can be clarified by studies of the spatial structure of circumstellar mass-loss shells. For example, a number of molecular line studies of the extended envelopes around AGB stars have found strong evidence for periodic variations in mass-loss rates leading to the appearance of “rings” in the radial distribution of molecular emission lines (e.g., Fong et al. 2003; Olofsson et al. 1996). Very deep, sensitive imaging studies have also found similar phenomena in the dust around the most nearby extreme example of these objects, IRC +10216 (Mauron & Huggins 2000). In order to study the inner regions of these circumstellar shells, however, angular resolutions well under \( 1'' \) are required. For example, at a distance of 1 kpc, the dust evaporation radius around a \( 10^4 L_\odot \) star corresponds to an angular radius of 20 mas. Speckle interferometry and, more recently, adaptive optics observations have enabled resolutions of order \( 0.1'' \) (Hofmann et al. 2001; Biller et al. 2005), while lunar occultation observations and multi-aperture interferometry have pushed angular resolutions to the milliarcsecond level, e.g., reviews by Quirrenbach (2004) and Monnier (2003).

Until recently most interferometric observations have been made in typically one or two relatively broad bands. We present here observations of a lunar occultation of the star AFGL 5440 (aka OH 06.86−1.5, IRAS 18036−2344), made with a high-speed infrared spectrophotometer, pMIRAS (Harvey & Wilson 2003), developed as a prototype for a more ambitious instrument now nearing completion. This star has been classified as a carbon-rich AGB star on the basis of its \( IRAS \) Low-Resolution Spectrometer (LRS) spectrum (Zuckerman & Dyck 1986; Volk & Cohen 1989; Kwok et al. 1997). Groenewegen et al. (2002) have estimated the distance to be 2.25 kpc. Near-infrared through far-infrared photometry of the source has been summarized by Guglielmo et al. (1993) and more recently by Guandalini et al. (2006) including a combination of ground-based photometry, the \( IRAS \) values, and more recent Midcourse Space Experiment results. The reported distance and photometry imply a luminosity of \( 1.4 \times 10^4 L_\odot \).

In addition to the observations of AFGL 5440, we also discuss observations that we have made of two “calibration” stars in order to understand the limitations of our observation/analysis process. These objects are cool stars with no detectable circumstellar dust shell based on their near-infrared and \( IRAS \) colors, IRC +00233 (M7) and HD 155292 (K2).

Our observations cover the entire 1−4 μm spectral region with a resolving power that varies from ~20 at the shortest wavelengths to ~100 at the long end. Our time resolution of 8 ms permits an effective angular resolution of a couple milliarcseconds, with the exact resolution being a strong function of the signal-to-noise ratio (S/N) as discussed later. The broad wavelength coverage allows us to observe simultaneously the Fresnel fringe pattern of the obscured central star at the shorter wavelengths together with the circumstellar dust emission from the warmest part of the dust distribution. In § 2 we describe the details of the observations and instrumental parameters and the basic data reduction process. Then in § 3 we describe various ways we have modeled the star+shell in order to determine the limits on the circumstellar shell structure placed by our observations. Finally, in § 4 we summarize the implications of these results for the mass loss of this object.

2. OBSERVATIONS AND DATA REDUCTION

The observations of AFGL 5440 were made during an immersion occultation event on 2001 August 28 at approximately 02:44:00 UT. The elevation of the Moon at the time of the event was 36°, and the Sun was 17° below the horizon. The sky conditions were not completely photometric, but cloud cover was minimal and intermittent. The position angle of the occultation event on the lunar limb was 54°, and the lunar phase was 0.72. We used the pMIRAS instrument on the McDonald Observatory 2.7 m
telescope. The details of the instrument have been described by Harvey & Wilson (2003), but we summarize the most important characteristics here. The instrument is essentially a long/width slit, high-speed spectrophotometer using a NaCl prism to disperse the light from the slit, which is then imaged onto a 32 × 100 pixel portion of a 256² InSb array detector. The slit width is chosen to be the minimum acceptable in order to minimize the background on the detector within the limitations imposed by the seeing conditions. For these observations the slit width was set at about 5" for the typical seeing of 1.5". The detector is read out every 8 ms, with photon integration occurring over essentially the full 8 ms time. Therefore, the Fresnel occultation fringe pattern is averaged over this 8 ms time (as well as over the 2.7 m telescope aperture). Because we use a refractive dispersive element, the dispersion/ spectral resolution is not equal at all wavelengths; the highest dispersion is at the longest wavelengths, a feature that minimizes the background photon count at those wavelengths. On average, over the 1–4 μm wave band covered by the instrument, 1 pixel corresponds roughly to λ/Δλ = 100. Because of uncompensated seeing effects and the lower spectral dispersion at shorter wavelengths, the true resolving power at the shortest wavelengths is R ~ 20. In the spatial direction the plate scale is 0.4 arcsec pixel⁻¹. The instrument is read-noise-limited shortward of 2.5 μm and background-limited longward of 3 μm. A typical observation consists of taking 5000 frames at a time roughly centered on the occultation event. This is accomplished by using a buffer that holds the most recent 5000 frames and terminating the data acquisition a few seconds after the event is observed on a real-time display. This is an important feature, since the predicted times of occultation events are often in error by as much as 10 s due to irregularities in the lunar limb as well as imperfect stellar astrometry. Our observations of the occultation event of the comparison star, IRC +00233, were obtained during an immersion event on 2001 June 29, and those of HD 155292 were obtained during an immersion event on 2001 August 26.

The data reduction process consists of several typical steps. Because the spectrum is being observed with much higher time resolution than the seeing timescale, the spectrum moves around by several pixels over the course of an occultation event. Therefore, to construct a light curve with minimal spectral blurring, the images must be shifted to correspond to the same wavelength/pixel scale. This is done with a simple cross-correlation algorithm that works well for the high S/N data discussed here. The spectrum is also not perfectly aligned with the X/Y axes of the detector, so we take out this tilt as well during the processing to simplify later steps. Because we use a “long slit,” ~10", we can use the sky measurements on either side of the stellar spectrum to provide an accurate and high time resolution sky subtraction. For the data discussed here we produce a weighted average in the spectral direction that is 2 pixels wide and sum the pixels in the spatial direction that have detectable signal. We have experimented with more elaborate photometric extraction schemes, but this technique appears to produce S/N as high as any more complex algorithms. We have also experimented with various flat-fielding methods but have found only a small improvement in S/N with these methods. The end result of the data reduction process is a sequence of ~100 light curves for which we extract a few hundred frames centered on the occultation event. The frames that are taken well before the Fresnel fringe pattern of the occultation becomes evident are used to estimate the noise level in the data, due both to read noise, background photon statistics, and the often nonnegligible amount of seeing/scintillation noise caused by the atmosphere.

Because the exact location of the spectrum on the detector varies both due to seeing and between observing runs after adjustments to the instrument, we perform the wavelength calibration by fitting the NaCl dispersion function to the observed positions of the J, H, K, and L atmospheric transmission maxima in the actual data for each occultation event. The accuracy of this calibration is probably good to ±0.01 μm throughout the 1–4 μm region that is observed. Because of variable instrumental efficiency depending on the placement of the star image on the input slit, and the common occurrence of nonphotometric sky conditions during occultation events, we specifically do not attempt to derive a flux calibration for our data. We have, however, compared the relative signal from AFGL 5440 to that of relatively well characterized stars observed on the same night, and conclude that the stellar magnitudes in the published literature for AFGL 5440 are consistent with values that we would have derived from our signal strengths to within ±30%.

3. SOURCE MODELING

The fringe pattern of a lunar occultation event is a convolution of the pattern for a point source over several parameters that all act to blur the fringes. These parameters include: the telescope aperture, the integration time of the detector, the wavelength bandwidth of the observation, and, most importantly, the source size/structure. In order to extract the source size or more detailed properties of the spatial structure, the typical procedure is to model the combination of all the above “blurring” parameters with various possible source models to find the best fit to the data (e.g., Nather & McCants 1970; Richichi et al. 1995). An additional uncertainty in the observations is the basic frequency of the fringe pattern, i.e., the speed of the lunar shadow. Although this parameter is calculated by the software that we use to predict occultation events, small uncertainties in the shape of the lunar limb (roughness due to craters, etc.) can produce differences in the predicted shadow speed up to several tens of percent. Therefore, this parameter must also be fit in addition to the parameters that blur the fringes.

We began our modeling process by assuming a uniform disk as the simplest possible model with which to try fitting the data for the observed objects: AFGL 5440, IRC +00233, and HD 155292. We use a simple χ²-test for the best model, allowing one or more parameters to vary during the process. Typically we first allow both the size and lunar shadow velocity to vary until we find an approximate fit to both. This fit can be done either individually at each wavelength or globally using the entire wave band.

3.1. Comparison Stars

For IRC +00233 and HD 155292 we assumed that a single source size was likely to be appropriate for the entire wavelength range within our observational uncertainties, and we fit the observations globally for source size and lunar velocity. Rough estimates of the angular sizes of these two stars can be derived simply by assuming that they are blackbodies of the effective temperatures given by their spectral types. Using the empirical relation between angular size and B–K color from van Belle (1999) for IRC +00233 (B = 11.06, K = 1.95), we would expect an angular size of 3.5 mas; the star is, however, likely to be mildly variable, so the size at the time of our observations might have been different by ±20%. For HD 155292 (B = 11.0, K = 4.9) a similar calculation gives an angular size of 0.6 mas. Since typical departures from a uniform disk model are at the level
of 10%–30% (e.g., Thompson et al. 2003; Scholz 2003), the resolution required to detect them reliably for even IRC +00233 is below 1 mas. Based on tests we have done with model data, this is beyond the capabilities of our current data set, which is limited by both spectral resolution and S/N to accuracies of order $\lesssim 2$ mas.

The best-fit size for IRC +00233 is between 4.5 and 5.0 mas. Figure 1 shows observed and model light curves for a model assuming a 4.5 mas uniform disk for a subset of the wavelengths observed. Figure 2 shows the $\chi^2$ and S/N as a function of wavelength over the entire observed band for this model. Both figures show that this model provides a very good fit to the observations except for a small range of wavelengths around 1.7 $\mu$m where a substantially larger size would provide a better fit. We do not have a good explanation for this discrepancy; it may be due to some systematic noise effect or to a real difference in the stellar photosphere in that region. For comparison Schmidtke et al. (1986) observed this same star in an occultation event using narrowband filters near 1.6 and 2.2 $\mu$m. They found a size at those wavelengths of $\lesssim 3$ mas, similar to but smaller than our calculated blackbody size. For HD 155292 all uniform disk models with a size of less than about 3 mas were able to fit the data reasonably well (Figs. 3 and 4). Since the blackbody size of the star is less than a milliarcsecond, this is consistent with the expected uncertainties in our data and modeling. The S/N for this star was low enough that we had no effective narrowband information beyond 2.5 $\mu$m, and at the shorter wavelengths some periodic electronic pickup had a nonnegligible effect on the observed fringe patterns as well. Note that for both these comparison stars there are wavelengths with reasonable S/N, as shown in Figures 1 and 3 where there is a less than adequate fit, so these are difficult to explain solely as due to telluric atmospheric absorption effects.

![Figure 1](image1.png)

**Fig. 1.**—Plots of observed light curves (plus signs) vs. computed model light curves for the 4.5 mas uniform disk model of IRC +00233 at a sampling of the range of wavelengths observed between 1 and 4 $\mu$m. In each panel the lower curve of triangles shows the difference of observed minus model.

![Figure 2](image2.png)

**Fig. 2.**—Plots of the $\chi^2$ (solid line) for the model fit of the 4.5 mas uniform disk to the observed light curve for IRC +00233 over the range of wavelengths observed between 1 and 4 $\mu$m. The observed S/N is also shown (dot-dashed line) as a function of wavelength for comparison.
For AFGL 5440 a quick glance at the observed light curves (Fig. 5) indicated that a single source size was unlikely to fit over the entire 1–4 μm bandwidth (Harvey & Wilson 2003). This suggests that we are seeing the combination of the emission from the central star and a circumstellar shell of material due to mass loss from the star. To demonstrate the poor fit with a single size uniform disk, we show in Figures 5 and 6 the results of trying to fit the data with one example uniform disk, 11 mas. As can be seen in the plots of χ² as well as the observed versus model light curves, the 11 mas disk provides a passable fit in the midrange of wavelengths, 2.5–3.2 μm, but produces fringes that are too sharp at the longer wavelengths and too broadened at the shortest wavelengths.

This result motivated us to pursue a full radiative transfer model for the object that could be used to compare both the size constraints provided by our occultation data and the spectral energy distribution (Guandalini et al. 2006), which contains important and different information about the relative amount of dust at different temperatures. The DUSTY code (Ivezić et al. 1999) was originally created, in fact, for modeling the emission from AGB stars surrounded by mass-loss shells. Its output includes model source images as well as the total energy distribution, so it is ideal for our purposes. Our approach to using the code was to choose a particular combination of input parameters and then vary the dust optical depth to find the best fit to the energy distribution for those parameters. We then used the output source images that were computed as a function of wavelength to calculate expected occultation fringes for the model and compared those to the observed fringes.

Since AFGL 5440 has been classified as a carbon-rich star, we assumed a carbon-rich dust composition, typically some combination of amorphous carbon, silicon carbide, and graphite as the major constituents. The other critical parameters for the models are the dust temperature at the inner radius and the radial density gradient. The outer radius of the dust shell makes essentially no difference to the observed characteristics at \( \lambda < 60 \mu m \), as long as it is at least 100 times the inner radius. The goal of our modeling was to find some reasonable
fit to our occultation data and the rough spectral energy distribution for the circumstellar dust shell; we have not attempted to extract details of the stellar photosphere or attempt a thorough examination of all possible dust size/composition models, since our data do not bear directly on those issues for reasons of wavelength coverage and spectral resolution. In particular, we did not try to find any better than superficial agreement with the IRAS LRS data.

We explored more than 200 models to understand the effect of varying the input parameters on the quality of the fit. Basically

Fig. 5.—Same as Fig. 1, but for the 11 mas uniform disk model for AFGL 5440.

Fig. 6.—Same as Fig. 2, but for the 11 mas uniform disk to the observed light curve for AFGL 5440.

Fig. 7.—Plot of the observed spectral energy distribution of AFGL 5440 vs. that predicted by model 194, the best-fit model. The diamonds show the values from Guandalini et al. (2006) and Guglielmo et al. (1993). The gray line indicates the IRAS LRS spectrum.
all the models that provided an approximate fit to the occultation observations and the spectral energy distribution had several features in common. First, the dust temperature at the inner radius of the dust shell was of order 950 ± 50 K. Models with a maximum dust temperature below 900 K did not have enough hot dust to fit the occultation fringes at the longer wavelengths, while models with hotter inner edges had even more difficulty than the best-fit model in reproducing the energy distribution longward of 10 μm. Second, the radial density gradient of models...
with a reasonable fit was close to $r^{-2}$ (or to DUSTY’s calculation of the gradient appropriate for a radiatively driven wind, which approximates an $r^{-2}$ distribution for large radii). Models with a density gradient of $r^{-1.8}$ came closer to producing the IRAS 60 $\mu$m flux, but did not fit the shape of the 5–20 $\mu$m energy distribution well. Models with a density gradient of $r^{-2.2}$ can fit the energy distribution out to 20 $\mu$m and also provide a good fit to the occultation results, but have substantially worse fits to the IRAS 60 $\mu$m flux than our best-fit models. The optical depth for best fit at the fiducial wavelength of 5 $\mu$m was typically in the range 0.3–0.6. Finally, the dust composition that provided the best fit included a small amount of SiC together with comparable amounts of amorphous carbon and graphite. Other carbon-rich compositions produced reasonable fits, except at the longest wavelengths or in the 11 $\mu$m SiC feature. Note that there is a range of optical properties for different forms of amorphous carbon (Andersen et al. 1999) that we did not explore. We experimented with two grain size distributions, the MRN slope (Mathis et al. 1977), and another, the KMH shape (Kim et al. 1994), used by Ivezic & Elitzur (1996) for models of IRC +10216, which has a smoother fallow on each end. We found that we could obtain reasonably good fits with either distribution. Figures 7 and 8 show the fits to the spectral energy distribution and to the occultation light curves for the best-fit model. Figure 9 shows the quality of the fit versus wavelength, and Figure 10 illustrates the spatial profiles of this best-fit model. Figures 11 and 12 likewise show for comparison the fits for a model discussed below that does not use graphite. Finally, Figures 13 and 14 show results for a third model
that fits the energy distribution but gives a poor fit to the occultation results because of a lack of enough warm dust close to the star. The properties of these three models are listed in Table 1.

4. DISCUSSION AND SUMMARY

Model dust shells have been computed for a number of carbon-rich AGB stars by various authors. Le Bertre et al. (1995) and Le Bertre (1997) found that the energy distributions for nearly two dozen carbon-rich stars could be modeled with very similar dust shell parameters. They found a common value of maximum dust temperature of order 950 K with shell density gradient of $\rho \propto r^{-2}$ for spherically symmetric shells. The best-fit dust property implied a dust emissivity, $\epsilon \propto \lambda^{-1.3}$, consistent with that expected for an amorphous carbon-rich dust composition, as also found by Jura (2004). These conclusions were enhanced by their ability to fit the energy distributions over a range of phases of the observed variability of many of the stars. On the other hand, Suh (1997) suggested that a "superwind" phase of mass loss could improve model fits to the energy distributions for a number of carbon-rich AGB dust shells by enhancing the emission shortward of $30\mu m$ because of a high rate of mass loss in recent times, e.g., at small radii where most of the emission would be due to hotter dust. Ivezi´c & Elitzur (1996) used a self-consistent radiatively driven hydrodynamic model for the density distribution around IRC +10216 and were able to fit both the spectral energy distribution and the near-infrared angular visibility data from speckle observations. Interestingly they derived an inner
The dust shell temperature substantially lower than ours and most other studies, \( \sim 750 \) K. Winters et al. (1997) computed a full time-dependent model of periodic outflow from AFGL 3068 and were able to produce a good fit to the energy distribution and observed light curves. Virtually all of these modeling efforts used dust emissivities appropriate for some form of amorphous carbon (usually including SiC), but with no graphite (see also Lorenz-Martins 2001), contrary to our best model fit above. The model of Ivezic & Elitzur (1996) used a grain size distribution that included substantially larger grains than most earlier models.

Our lunar occultation observations add constraints to these results most directly in defining the amount of dust in the innermost region around AFGL 5440, since our longest observed wavelength is \( 4 \mu m \). Basically, our data imply that the density of grains emitting in the \( 3-4 \mu m \) spectral region must be sufficiently large to produce the substantial fringe “blurring” observed in our light curves. Graphically, this amount of emission is illustrated in Figure 10 showing the source profiles for the best-fit model. For any given assumed dust emissivity, this constraint then implies a fairly narrow range of optical depth and ratio of near-infrared to mid-infrared dust emission. Thus, we constrain the dust density gradient in the innermost part of the circumstellar shell. Finally, as mentioned above, the fact that we were unable to find a satisfactory fit to the data with any model having a maximum dust temperature lower than \( 900 \) K is a strong constraint on the location of the inner edge of the dust shell. This result follows from the fact that dust cooler than \( 900 \) K cannot emit sufficiently to produce the required amount of \( 3-4 \mu m \) emission. Note that this temperature is nearly a factor of 2 below the expected condensation temperatures for dust around these stars (Egan & Leung 1995). The physical value of this inner radius for AFGL 5440 for the dust properties of the best-fit model is \( 3.7 \times 10^{14} \) cm, or an angular radius at the assumed distance of \( 2.25 \) kpc of 11 mas. For reference the angular diameter of the central star is of order 3 mas, based on a blackbody approximation for its likely photospheric temperature of \( 2500 \pm 300 \) K and 2 \( \mu m \) magnitude.

Since our modeling is the first of which we are aware for this particular star over the entire infrared wavelength region, we also discuss here the constraints on the circumstellar cloud properties implied by the overall energy distribution. As mentioned above, our best-fit model used roughly equal amounts of amorphous carbon and graphite in addition to the small amount of SiC required to fit the 11 \( \mu m \) feature seen in the IRAS LRS spectrum. This result is contrary to the large amount of evidence against substantial amounts of graphite in stars like AFGL 5440. The factor that drove us to include graphite was its relatively flat emissivity versus wavelength dependence between 10 and 50 \( \mu m \) that enabled us to fit the IRAS 60 \( \mu m \) flux. Models with only amorphous carbon and SiC failed to fit that flux by factors of 3 or more. Figures 11 and 12 discussed above show the energy distribution and model light curves for the best-fitting model that uses only amorphous carbon and SiC, and that uses the radiatively driven hydrodynamic density gradient of Ivezic & Elitzur (1996) as computed by DUSTY. The light curves fit the observed occultation data nearly as well as those for the best-fit model.

Clearly, however, the IRAS 60 \( \mu m \) flux cannot be fit by any similar model without graphite, and substantial modifications would have to be made to the assumed density law in the outer regions or to the dust emissivity in order to come close to fitting the 60 \( \mu m \) flux. Explaining this problem is beyond the scope of our study, but the fact that there is abundant evidence for non-constant outflow from carbon stars (Fong et al. 2003; Mauron & Huggins 2000) provides a convenient (if ad hoc) explanation. If the mass-loss rate were greater in the past, then the amount of dust at radii appropriate for emission at 60 \( \mu m \) might well be larger than a simple extrapolation from the dust responsible for the 3–20 \( \mu m \) emission. This would describe the opposite situation from that proposed by (Suh 1997), who suggested higher mass-loss rates in the recent past to explain observations of a number of other similar carbon stars. The radial location of dust emitting strongly at 60 \( \mu m \) is of order 1” to a few arcseconds from the star. For an assumed distance of 2.25 kpc and its measured outflow velocity of 22 km s\(^{-1}\) (Groenewegen et al. 2002), this would correspond to a time of order 500 yr to a couple thousand years in the past for the proposed higher mass-loss rate. This timescale is comparable to the period of fluctuation seen by Mauron & Huggins (2000) for IRC +10216.

The fact that we have constrained the absolute value and radial dependence of the dust density with our observations means that we have constrained the recent dust mass-loss rate for AFGL 5440 as well. The dust mass-loss rate implied by our derived optical depth and radial density dependence is \( M_{\text{dust}} = 6.5 \times 10^{-8} \) M\(_{\odot}\) yr\(^{-1}\) for the optical constants of amorphous carbon used by DUSTY (Ivezic et al. 1999). Groenewegen et al. (2002) computed dust and gas mass-loss rates individually for AFGL 5440 on the basis of the IRAS 60 \( \mu m \) flux for the dust, and millimeter CO observations for the gas. They derived a gas mass-loss rate of \( 3.1 \times 10^{-5} \) M\(_{\odot}\) yr\(^{-1}\) and dust mass-loss rate of \( 5.1 \times 10^{-8} \) M\(_{\odot}\) yr\(^{-1}\), implying a gas-to-dust mass ratio of 600. Interestingly, their dust mass-loss rate is slightly lower than the value we have derived in spite of their using the 60 \( \mu m \) IRAS flux for normalization. This result reinforces the overall uncertainties in model assumptions and absolute dust opacities, particularly at longer infrared wavelengths. In any case, a dust mass-loss rate of order \( (5-10) \times 10^{-8} \) M\(_{\odot}\) yr\(^{-1}\) and gas mass-loss rate a few hundred times larger are consistent with the data.

In summary, our observations have separately resolved the stellar photosphere and the inner edge of the circumstellar dust shell around AFGL 5440. We have strongly constrained the inner radius of the dust shell surrounding the star as well as the near-infrared optical depth. Our constraints together with the

### Table 1

| Model   | \( T_{\text{max}} \) (K) | \( \rho \propto r^{-N} \) | \( \tau \) (5 \( \mu m \)) | Amorph C (%) | Graphite (%) | SiC (%) | Size Dist. |
|---------|----------------|----------------|----------------|--------------|-------------|--------|------------|
| 194..... | 950             | -2.0           | 0.41             | 40           | 50          | 10     | MRN\(^a\) |
| 200..... | 950             | -2.0           | 0.55             | 95           | 0           | 5      | KMH\(^b\) |
| 206..... | 850             | rad-flow\(^c\) | 0.45             | 95           | 0           | 5      | KMH\(^b\) |

\(^a\) Mathis et al. (1977) with \( d_{\text{min}} = 0.005 \mu m; d_{\text{max}} = 0.25 \mu m.\)

\(^b\) Kim et al. (1994) with \( d_{\text{min}} = 0.005 \mu m; d_{\text{max}} = 0.2 \mu m.\)

\(^c\) Radially driven outflow computed by DUSTY as described by Ivezic & Elitzur (1996).
spectral energy distribution suggest a dust density gradient consistent with that expected for radiatively driven mass loss, with the exception that the far-infrared flux may imply a recent decrease in mass-loss rate from the time when the far-infrared-emitting dust was ejected.

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