INTRODUCTION
The prevalence of diabetes is high and is projected to continue increasing. In 2019, the International Diabetes Federation estimated that 240 million people were living with the disease (a prevalence of 9.6%): this will rise to 356 million (11.1% prevalence) in 2045 [1]. Moreover, this prevalence strongly increases with age, estimated that 240 million people were living with the disease (a prevalence of 9.6%): this will rise to 356 million (11.1% prevalence) in 2045 [1]. Moreover, this prevalence strongly increases with age, reaching over 20% in elderly subjects [2, 3]. Diabetes is pernicious: chronic hyperglycaemia affects cardiovascular, renal and neural systems. The visual system is no exception, where the disease is associated with damage of many ocular tissues, particularly the retina, but also the crystalline lens: a large proportion of people with diabetes will experience accelerated cataract development and require cataract surgery more frequently and at an earlier age compared with non-diabetics [4].

Diabetic macular oedema (DMO) is one frequent complication in people with diabetes: around 11% of all people with diabetes in Europe develop it [5]. Hyperglycaemia damages the retinal vasculature and degrades the blood–retinal barrier, leading to fluid leaking from the vessels into the neurosensory retina, resulting in oedema and vision loss [6]. A recent large prospective clinical study performed by our group (the Diabetes and CATaract Study – DICAT I) demonstrated that 20.4% of all subjects undergoing cataract surgery are diabetic [7].

Cataract surgery in people with diabetes can be associated with the development or worsening of macular oedema, secondary to an increase in inflammatory mediators in the aqueous and vitreous after phacoemulsification. The risk of worsening of preoperative DMO after surgery, thereby reducing or even eliminating the visual benefits of cataract extraction is well known, and has prompted the use of prophylactic therapeutic measures [8, 9]. The risk of post-cataract surgery macular oedema development in diabetic eyes without preoperative maculopathy was unclear until a British retrospective database study [10] that employed an electronic medical record dataset containing over...
80,000 subjects finally demonstrated that such eyes have a significantly increased relative risk of developing macular oedema after cataract surgery compared with normal eyes, and that this risk increases with the level of retinopathy; the relative risk was 1.8% in eyes without any form of retinopathy, but this rose to 6.6% in eyes with advanced retinopathy [10].

The impact of cataract surgery on the retinae of diabetic eyes has been characterised in both eyes with full-blown DMO and those with normal maculae, but no reports exist of its impact on eyes that display only the initial signs of DMO. This form of minimal oedema, usually called ‘pre-clinical’ or ‘non-significant’ DMO, was recently termed ‘Early DMO’ (E-DMO) in a recent optical coherence tomography (OCT)-based morphologic classification by an international panel of experts (the European School for Advanced Studies in Ophthalmology [ESASO] DME Classification; see Methods for definition) [11]. In DICAT I, E-DMO was by far the most frequent type of DMO observed in diabetic patients undergoing cataract surgery, being present in 20.9% of enrolled patients [7].

To better characterise how cataract surgery and the associated increase in inflammatory mediators affects the retina in eyes with preoperative E-DMO, we performed the prospective Diabetes and CATaract Study II (DICAT II).

METHODS

DICAT II was a prospective, comparative, multicentre, observational study that initially involved 19 Italian clinical sites, but the COVID-19 pandemic interrupted the follow-up of most study participants in 12 of these sites, which were excluded from the data analysis. Six centres began recruitment before the start of the pandemic and were able to complete the study with a very limited loss to follow-up, producing the statistically relevant data reported here.

The study protocol was approved by the Ethical Committee of each participating site and was aligned with the tenets of the Declaration of Helsinki. Each participant gave written informed consent to participate in the study. The DICAT II Study is listed on Clinicaltrials.gov with accession number NCT04096131.

Study population

Eligible study participants aged ≥55 years and had type 1 or type 2 diabetes with spectral-domain (SD)-OCT evidence of E-DMO according to the ESASO morphologic classification (see Study design for definition). Any level of diabetic retinopathy, even if laser-treated ≥1 year before, was admitted and recorded according to ESASO classification [11].

Study groups

Group 1 (treatment group) consisted of eyes with E-DMO scheduled for cataract surgery. Group 2 (control group) comprised eyes with E-DMO and clear media and/or pseudophakia (non-complicated cataract surgery performed at least 1 year before), or cataract surgery scheduled after the end of follow-up. Some study participants with bilateral E-DMO were able to participate in both groups: one eye was scheduled for cataract surgery (Group 1); the other eye served as control (Group 2).

Study design

Participants in Group 1 were followed for 4 months before surgery (baseline, 2–4 months) and a further 4 months after surgery (1–2 weeks, 1–2–3–4 months) for a total of 8 months. Group 2 participants were followed for 8 months at 2-month intervals (baseline and 2–4–6–8 months).

In patients with bilateral DMO, the evaluation of both eyes followed the schedule of Group 1. Patients in this analysis were enrolled from November 2019 to April 2020.

Definition of Early DMO

E-DMO was defined as follows: small intraretinal cysts associated with well-recognisable and normal retinal segmentation (inner retinal layers, ellipsoid zone, external limiting membrane), with increases in central subfield thickness (CST) <30% of maximum normal values. The intraretinal cysts are defined as round, minimally reflective spaces within the neurosensory retina, located in the outer nuclear layer, inner nuclear layer or ganglion cell layer. Cyst size was graded considering the dimension of the largest intraretinal cyst identifiable on the scan.

The ESASO Classification [11] standard figure number 1 was used for case selection by each participating centre (Fig. 1). The OCT images of each eye were sent to the coordinating centre and the presence of E-DMO was confirmed before that eye could be admitted to the study.

Identification of eligible subjects

All patients eligible for Group 1 and a proportion of patients included in Group 2 were identified during regular preoperative cataract examinations, with the remainder of Group 2 patients being enrolled during routine OCT screening of diabetic patients. All patients underwent an OCT scan according to the protocol listed below.

Inclusion criteria

1. Patients with cataract and E-DMO in just one eye (Group 1).
2. Patients with bilateral cataract and bilateral E-DMO. Both eyes were enrolled: one eye received surgery after 4 months of follow-up (Group 1), the other after the end of follow-up (Group 2).
3. Patients with bilateral E-DMO and cataract in just one eye. Both eyes were enrolled. If the eye with E-DMO and no cataract was pseudophakic, it was eligible in Group 2 only if surgery was performed at least 12 months prior to enrolment.
4. Patients with cataract and no E-DMO in the eye scheduled for surgery but E-DMO in the other eye. Only the eye with E-DMO was enrolled in Group 2.

Fig. 1 The ESASO Classification standard. Early DMO (E-DMO) is defined as small intraretinal cysts associated with well-recognisable and normal retinal segmentation (inner retinal layers, ellipsoid zone and external limiting membrane) and with an increase in central subfield thickness (CST) less than 30% of maximum normal values. A–C represent three levels of progression still considered E-DMO.
indicated in the 500-µm-radius ring centred to the foveola, and the machine, were used to provide quantitative measurement of the CST retinal structure. Normative retinal ETDRS maps, available in every SD-OCT modality, centred to the foveola. The presence of E-DMO was judged from the scan crossing the foveola with the worse morphology or, in the case of media opacities, with the best visibility of the retinal structure. Normative retinal ETDRS maps, available in every SD-OCT machine, were used to provide quantitative measurement of the CST indicated in the 500-µm-radius ring centred to the foveola, and the evaluation of thickening less than 30% of maximum normal levels that defined E-DMO. The OCT machines used by each centre, plus their nomograms, are listed in Supplementary Table 1. Each centre used just one OCT brand for all observations.

### Clinical significance
Changes in CST <10 µm and BCVA <5 letters were considered to be either an artefact or part of the normal fluctuation. Any change below these values was not considered to be clinically significant and therefore not reported in the results.

### Data collection
A specific database was illustrated and distributed to all centres. Collected data were sent to the coordinating centre on a monthly basis.

### Surgery and medications
The topical, local or systemic use of nonsteroidal anti-inflammatory drugs (NSAIDs) was not permitted during the period before cataract surgery and throughout the study. Preoperative therapy was standard of care for cataract surgery; iodopovidone eye drops and/or topical antibiotics were used at the investigator’s discretion. Cataract surgery was conducted by phacoemulsification according to the surgeon’s usual routine. The postoperative therapy was identical in all centres: an association of topical steroid and antibiotic drops four times a day for 2 weeks, reduced to twice a day for 1 week and once a day the third week.

### Study participant withdrawal
A clinically significant worsening of E-DMO, defined as an increase in CST of ≥50 µm on OCT imaging compared to last preoperative values in Group 1 or baseline values in Group 2, with or without BCVA loss, prompted the withdrawal from the study, to allow a therapeutic regimen established at the investigator’s discretion. In the case of a patient with both eyes under investigation, the second eye continued to receive the scheduled follow-up.

### Statistical analysis
Data were reported by means of descriptive statistics. Quantitative variables were summarised by means and standard deviations; qualitative items with absolute counts and percentages. Student’s t-test was used to assess differences in mean values, unpaired if referred to independent groups, and paired when considering bilateral eyes. Association between

### Exclusion criteria
1. Past ocular surgery of any kind (except for cataract surgery performed at least 1 year before in Group 2) or ocular pathologies other than diabetic retinopathy.
2. Macular oedema considered by the investigator to be due to a cause other than diabetes.
3. OCT examination evidencing vitreoretinal interface abnormalities (e.g., taut posterior hyaloid or epiretinal membrane) being considered as the primary or a contributing cause of DMO.
4. Any ocular pathology or ocular condition other than diabetes that, in the opinion of the investigator, might affect macular oedema.
5. History of any therapy (anti-vascular endothelial growth factor (VEGF) treatment, focal/grid macular photocoagulation, intravitreal or peribulbar corticosteroids) for DMO or diabetic retinopathy in the past 12 months.
6. History of YAG capsulotomy performed within 2 months before enrolment.
7. Aphakia.
8. Evidence of glaucoma or ocular hypertension under topical medication.

### Scheduling
SD-OCT scans (described below) were performed at each visit in both Groups. Age, gender and diabetes duration were recorded at baseline. Glycemia and glycate haemoglobin values were recorded at baseline and at 2 months after surgery. Best-corrected visual acuity (BCVA) was measured by Early Treatment Diabetic Retinopathy Study (ETDRS) charts [12] at baseline in both groups and at each visit for Group 1, but only at month 6 for Group 2 (except in the case of dropout, see below). All visits had to be performed within 1 week pre or post the scheduled time.

### Method of acquisition of OCT images
All the SD-OCT images were acquired using a 9-mm-length high-resolution scan using the ‘radial scan’ modality, centred to the foveola. The presence of E-DMO was judged from the scan crossing the foveola with the worse morphology or, in the case of media opacities, with the best visibility of the retinal structure. Normative retinal ETDRS maps, available in every SD-OCT machine, were used to provide quantitative measurement of the CST indicated in the 500-µm-radius ring centred to the foveola, and the
we intended to enrol 300 eyes, based on the hypothesis of detecting a
≥20 µm worsening on OCT in 40% of eyes in Group 1. However, statistically
relevant differences in this measure were detected before 300 eyes were
enrolled, so recruitment was halted at that point.

RESULTS
A total of 78 eyes, from 78 patients were enrolled in Group 1, and
65 eyes of 65 patients in Group 2 (35 eyes were phakic, 30 were
pseudophakic). Both eyes were enrolled in 16 patients due to the
presence of bilateral E-DMO. In these patients, one eye was
scheduled for cataract extraction (Group 1), and the contralateral
was used as control (Group 2). In Group 1, six eyes were lost to
follow-up, three at the first postoperative month, and three at the
second month postoperatively. In Group 2, four eyes were lost to
follow-up, two at month 4, and two at month 8.

Baseline characteristics
Participants’ demographics, diabetic and ocular comorbidities
(Table 1) were similar in both groups, other than mean BCVA,
which was lower in Group 1 thanks to the presence of cataract
(32.4 ± 5.7 letters in Group 1 vs 48.0 ± 5.5 letters in Group 2, p <
0.0001). Mean age was 72.7 ± 5.1 in Group 1 and 68.7 ± 8.1 in
Group 2. Diabetes duration was 12.8 ± 7.2 (median:12; range:
1–35) in Group 1 and 16.1 ± 11.0 (median:14; range: 2–52) in
Group 2. Insulin use was 33.3% of patients in Group 1 and 40.8% in
Group 2. Glycaemic values were 129.3 ± 31.3 and glycated
haemoglobin values were 51.9 ± 11.3 in Group 1 and 127.8 ±
32.6 and 53.9 ± 8.5 in Group 2. Mean CST was 291.6 ± 44.5 in
Group 1 and 295.1 ± 29.8 in Group 2. Other than VA, no
differences in any other baseline parameters were statistically
significant.

Follow-up
In both groups, 6-month glycaemic values were not significantly
different from those measured at baseline, suggesting that
glycaemic fluctuations did not influence the results.

The differences in terms of CST and BCVA worsening between
Group 1 and 2 are summarised in Table 2.

| Eyes (%) | Group 1 | Group 2 | Difference (p values) |
|----------|---------|---------|----------------------|
| Eyes (%) | 78      | 65      | 0.453                |
| Lost to follow-up | 6   | 4   | 0.453                |
| ≥10 µm | 80.7% (63 eyes) | 44.6% (29 eyes) | <0.0001 |
| ≥50 µm | 65.45% (51 eyes) | 15.4% (10 eyes) | <0.0001 |
| ≥100 µm | 26.9% (21 eyes) | 3.07% (2 eyes) | <0.0001 |

- A linear logistic model with a multivariate approach was used to evaluate independent covariates
- associated with worsening. Time on study was analysed with the
Kaplan–Meier method and differences between the two curves were
assessed by the log-rank test.

The differences in terms of CST and BCVA worsening between
Group 1 and 2 were summarised in Table 2.
CST worsened by 30–49 µm in 3 eyes (3.4%) and a by 10–29 µm in 9 eyes (11.5%), but no significant BCVA changes were noted in either of these subgroups.

The negative impact of surgery on CST was evident from the first postoperative week, peaked during the first month and then rapidly decreased afterward. Among the 51 eyes that worsened ≥50 µm, 40 eyes (78.4%) were withdrawn during the first month: 11 eyes (21.6%) at week 1, 16 eyes (31.4%) at week 2 and 13 eyes (25.5%) at month 1. After the first postoperative month, this number dramatically decreased with just 8 eyes (15.7%) withdrawn at month 2, 3 eyes (5.9%) at month 3 and no eyes withdrew at month 4 (Fig. 2C). Compared with the group as a whole, eyes with CST increases of >50 µm had higher glycaemic values (137.3 ± 31.2 vs 115 ± 28.8, p = 0.0008) and glycated haemoglobin (54 ± 11.4 vs 46.0 ± 7.2, p = 0.004) at baseline. No correlation was found with age, duration of diabetes or insulin intake or the level of diabetic retinopathy, due to the small number of eyes present in each stage.

**Group 2**

During the 8 months of follow-up, E-DMO worsening was observed even in the non-operated group, but in fewer eyes and with a far lower impact on CST and visual function (Fig. 2A, B). A CST worsening of ≥10 µm was observed in 29/65 eyes (44.6%) (compared with 63/78 eyes (80.7%) of Group 1 (p < 0.0001)), and just 10 eyes (15.4%) were withdrawn because of CST worsening ≥50 µm (compared with 51 eyes (65.4%) in Group 1 (p < 0.0001)). Moreover, mean CST worsening of Group 2 was lower compared to Group 1 (38.6 ± 30.4 µm vs 85.5 ± 55.3 µm, p < 0.0001) with a lower BCVA loss (−2.6 ± 3.5 letters vs −8.2 ± 6.2 letters, p < 0.0001). The greatest CST worsening observed was 252 µm in Group 1, and 125 µm in Group 2, and the greatest BCVA loss was 39 in Group 1 and 11 letters in Group 2. No relationship between baseline and diabetic parameters and CST worsening of ≥50 µm was evident in Group 2.

**Bilateral patients**

The negative influence of cataract surgery was particularly evident in the 16 patients followed for bilateral E-DMO, in which one eye was operated on at the fourth month, and the other eye followed without intervention. Because some Group 2 eyes already had cataract with decreased VA were listed for surgery after the end of the study, the only significant parameter to evaluate the impact of surgery in this subgroup is worsening of CST. In 14 patients (87.5%) the operated eye worsened more than the non-operated eye of ≥10 µm. Among the 16 operated eyes, 13 (81.2%) worsened ≥50 µm and were withdrawn from the study compared with 4 eyes (25%) in the control group (p = 0.001). Three patients worsened in both eyes; one patient worsened just in the non-operated eye.

**DISCUSSION**

Cataract develops faster and earlier in people with diabetes, compared with those with normal glycemia [4]. As a consequence, cataract surgery is a very frequently performed procedure in people with diabetes. A recent epidemiologic study, DICAT I, found that 27% of patients undergoing cataract surgery were diabetic [7]. The presence of diabetes is a negative prognostic factor for cataract surgery independently of preoperative macular impairment. Even in diabetic eyes without DMO, the risk ratio of developing oedema compared to non-diabetics rises from 1.8 in subjects without any sign of retinopathy to 10.3 in the presence of advanced stages [10]. Topical NSAID use during the first months postoperatively reduces this risk [13]. According to DICAT I, 25% of diabetic subjects undergoing cataract surgery have preoperative DMO [7]; this confers a high risk of macular worsening, potentially eliminating the vision benefits of cataract extraction [8, 10]. Intravitreal steroid or anti-VEGF therapy immediately before or even at the end of cataract surgery is very effective in reducing this complication [14, 15]. However, in clinical practice, the presence of DMO is considered to be ‘clinically relevant’ and the object of therapeutic attention only when a consistent increase in macular thickness, associated with alterations in retinal structure, is evident at OCT examination. The presence of one or a few microcysts often positioned at the foveal side and associated with minimal thickening (so-called non-clinically significant oedema, or pre-clinical oedema) is not normally of interest.

The ESASO OCT DMO classification [11] describes four progressive stages of DMO, according to the presence and grading of seven OCT biomarkers, with E-DMO representing the first manifestation of the disease (Fig. 1). This study demonstrates that E-DMO slowly worsens in less than 1 year, and that this worsening may become clinically relevant in over 10% of cases. Cataract surgery dramatically worsens this course in the vast majority of cases: in this study, 4 months after surgery, 51 eyes (65.4%) experienced CST worsening ≥50 µm with a visual loss >10 letters, which necessitated these patients being immediately withdrawn from the study, and started on appropriate therapy, per investigator’s choice (Table 2). Even forms of E-DMO with just one to two microcysts (such as those depicted in Fig. 1A) may develop a clinically significant worsening (Fig. 3). The highly negative effect of E-DMO was particularly obvious in the limited number of 16 bilateral patients, where systemic conditions could not confound the comparison: in 14 patients (87.5%) the operated eye worsened more than the non-operated eye of at least 10 µm, and in 13 patients (81.2%) the operated eye was withdrawn for CST worsening >50 µm. These results demonstrate that the negative impact of cataract surgery on E-DMO occurs very rapidly, lasts about 1 month, with the effects declining rapidly after the second month (Fig. 2C). Higher baseline glycaemic values were a risk factor for oedema worsening after surgery.

As noted earlier, topical NSAID use was avoided throughout the study, even though these agents may reduce the risk of developing postoperative macular oedema in both diabetic and non-diabetic eyes with normal preoperative maculae. No benefit...
of NSAID use has previously been demonstrated in the presence of preoperative oedema, but their use may have had some effect even in eyes with preoperative E-DMO, and this would generate a non-measurable bias in the incidence and/or clinical relevance of E-DMO worsening. To avoid this potential bias, we decided to avoid their use.

It is impossible to compare our results with those of previous similar studies, because as a recent editorial pointed out ‘real-world data on the outcomes of cataract surgery with co-existent DME is currently lacking’ [16]. A number of descriptions of worsening DMO after cataract surgery exist, but this is largely old literature [8, 9], and few trials used OCT for precise CST measurement. Given the recent coining of the term, no trials have previously examined eyes with E-DMO. In one prospective multicentre trial, the DRCR network studied 266 diabetic eyes with non-centre-involving DMO undergoing cataract surgery, and observed that centre-involving DMO developed in 11.5% of eyes [17]. In another smaller prospective monocentre trial involving patients with diabetes who underwent cataract surgery, Chen et al. found worsening of DMO in 13/20 (65%) eyes enrolled [18]. Another feasibility trial conducted by the DRCR network that involved 63 eyes failed to generate conclusive results due to a lack of standardisation of DMO management among the involved centres [19]. This study has a number of limitations. The COVID-19 pandemic restricted study enrolment; a more complete enrolment may have revealed greater insight. The follow-up period, particularly in patients in Group 2, although long enough for evaluating the study hypothesis, was not sufficiently long enough to properly evaluate the natural course of the disease in these patients. For this reason, we plan to further follow these eyes for a total period of 18 months. This study not only reinforces the importance of close pre- and postoperative monitoring of the maculae of patients with diabetes undergoing cataract surgery, but also focuses attention for the first time on what was previously considered an insignificant form of the disease, E-DMO, and highlights how even this minimal oedema may be dramatically worsened by cataract surgery.

Supplementary information is available on Eye’s website.

Summary

What was known before

- Around one in five people who undergo cataract surgery have diabetes.
- If a person has DMO, cataract surgery can increase intraocular inflammatory mediators and exacerbate the oedema, worsening vision.
- It is unclear whether cataract surgery is associated with this effect in eyes with ‘subclinical’ or ‘early’ DMO.

What this study adds

- This study shows that the presence of early DMO prior to cataract surgery is associated with worsening of macular oedema and vision loss.
- Close monitoring of the retina of diabetic patients before and after cataract surgery is warranted, even in patients with minimal oedema.

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AUTHOR CONTRIBUTIONS

GP and GDM conceived and designed the presented study. VA, AA, SC, PC, CC, RDA, FF, MN, MRP and CP performed the data collection. GP, GDM, DG, EF and EG performed the analysis. GP and GDM wrote the manuscript. FB, GA, GM, LM, SS and DT provided critical review of the manuscript.

COMPETING INTERESTS

The authors declare no competing interests.
