Operator-GENERIC Formulation of Thermodynamics of Irreversible Processes

Arbi Moses Badlyan    Christoph Zimmer

Preprint 7-2018
Operator GENERIC Formulation of Thermodynamics of Irreversible Processes

Arbi Moses Badlyan* Christoph Zimmer*

August 14, 2018

Abstract

Metriplectic systems are state space formulations that have become well-known under the acronym GENERIC. In this work we present a GENERIC based state space formulation in an operator setting that encodes a weak formulation of the field equations describing the dynamics of a homogeneous mixture of compressible heat-conducting Newtonian fluids consisting of reactive constituents. We discuss the mathematical model of the fluid mixture formulated in the framework of continuum thermodynamics. The fluid mixture is considered an open thermodynamic system that moves free of external body forces. As closure relations we use the linear constitutive equations of the phenomenological theory known as Thermodynamics of Irreversible Processes (TIP). The phenomenological coefficients of these linear constitutive equations satisfy the Onsager-Casimir reciprocal relations. We present the state space representation of the fluid mixture, formulated in the extended GENERIC framework for open systems, specified by a symmetric, mixture related dissipation bracket and a mixture related Poisson-bracket for which we prove the Jacobi-identity.

Keywords: GENERIC, thermodynamics of irreversible processes, Onsager-Casimir reciprocal relations, operator equation, weak formulation

AMS(MOS) subject classification: 35Q35, 37K05, 37L99

1 Introduction

Various physical phenomena are mathematically described as finite or infinite dimensional dynamical systems. The corresponding mathematical models often contain terms which can be identified as being part of some Hamiltonian system, whereas other terms can be related to dissipation phenomena, see e.g. [34, 35]. In the Hamiltonian formulation of classical mechanics the time evolution of dynamical quantities is typically expressed by means of Poisson brackets [1, 41]. The main objective of the bracket formulation is to obtain a representation in which the dynamical system is endowed with certain structural properties which in general are geometric by nature [55].

A double-bracket formalism for the description of dissipative dynamical systems containing both, aspects of generalized Hamiltonian and gradient flows, was presented in [54]. The dynamical systems described in this framework were named metriplectic systems (see also

*Institut für Mathematik, TU Berlin, Germany, {badlyan, zimmer}@math.tu-berlin.de.
For further details regarding gradient flows see e.g. [9]. The acronym GENERIC [28, 63, 66] stands for a state space formalism built around a rate equation, that in its original form is formulated for isolated systems, and represents the additive combination of a Hamiltonian flow and a gradient flow. The so-called 'General Equation for the Non-Equilibrium Reversible-Irreversible Coupling' (GENERIC) is based on the same geometric structures used to define metriplectic systems, cf. [12, p. 325]. This formalism is able to cover the dynamics of a large variety of physical systems [48, 49, 51, 65]. The double bracket formulation induced by GENERIC via duality pairing is a so called two-generator double-bracket formulation, where the dynamics of the system under consideration is described by means of two generating functionals, supplemented by two complimentary degeneracy conditions that are also called non-interacting conditions. In [64] the GENERIC framework has been extended to a formalism that can cover the dynamics of open non-equilibrium thermodynamical systems. In this extended framework for open systems the boundary contributions to the generalized brackets are incorporated by means of boundary variables in the spirit of Stokes-Dirac structures [80].

In this work we present an operator based formalism that is closely related to the extended GENERIC framework for open systems. We prove that this operator formalism is able to encode the weak-formulation of the field equations describing the dynamics of a homogeneous mixture of heat-conducting compressible Newtonian fluids consisting of reactive constituents. We present the functionals and operators for two distinct compositions of an abstract state variable that is related to a number of fields, which in our consideration are given by functions of time and implicit of space. These fields determine the macroscopic state of the fluid mixture. The two compositions of the state variable conform with two representations of hydrodynamics in form of dynamical systems modeled in the GENERIC framework. In the first case energy constitutes the thermodynamic potential, and in the second case entropy constitutes the thermodynamic potential. Note that the fields associated with the macroscopic state of the latter case correspond to the fields of classical hydrodynamics, see e.g. [66, Sec. II] for the one-component system, and for mixtures [46, p. 417 f.]. The corresponding field equations, given in form of differential balance laws which are complemented by material specific closure relations, are modeled in the framework of the phenomenological theory known as Thermodynamics of Irreversible Processes (TIP) [19, 23–25, 44, 46]. We formulate matrices by means of the phenomenological coefficients appearing in the linear constitutive relations of TIP, which are equipped with the Onsager-Casimir reciprocal relations [15, 61, 62]. According to the Onsager-Casimir reciprocal relations, the phenomenological coefficients related to volume variation and chemical reaction are coupled by an anti-symmetric relation [13, p. 1790]. In case the differential mixture related entropy balance is used to model the dissipation operator of the GENERIC formulation, this typically results in a skew-symmetric block contained in the dissipation operator. Since the dissipation bracket induced by this dissipation operator is required to be symmetric, the Onsager-Casimir reciprocal relations prevent the formulation of a proper GENERIC model of the mixture. The general solution to this problem such that all Onsager-Casimir reciprocal relations can be transformed into pure Onsager reciprocal relations [45] requires the reinterpretation of the entropy production and the introduction of the concept of parities for the thermodynamical quantities (for an application see e.g. [13]). In this context the classical notion of thermodynamic fluxes and thermodynamic forces is replaced by the notion of cofactors of positive and negative parity. Since the classical notion of thermodynamic forces and fluxed conforms with the system theoretic description of thermodynamic
systems, where fluxes and forces are related to efforts and flows (see e.g. [21, 22, 79]), we develop an alternative way to deal with the Onsager-Casimir reciprocal relations. This results in a dynamically equivalent model of the mixture in the GENERIC framework with a mixture related self-adjoint dissipation and a skew-adjoint operator. The anti-symmetric bracket induced via duality paring by this mixture related skew-adjoint operator is proven to constitute a Poisson bracket.

The paper is organized as follows. In Section 2 we introduce GENERIC in more detail and show how it can be rewritten as a collection of operator equations. For isolated systems this collection corresponds with the time evolution equation of the GENERIC formalism, cf. [66, Eq. (1)], and in case of open systems reflects a dynamical system that interacts with its environment in a system theoretic sense through boundary ports, see e.g. [14, 20, 43, 81, 82]. Section 3 is devoted to the continuum theoretical motivation of our results. Here the field equations and the mixture related constitutive relations of TIP are presented. The first and second law of thermodynamics for continuous media are motivated and related to the balance law representing the conservation of energy and the entropy balance for open thermodynamical systems. For the sake of overview, the most important formulas and statements are summarized in Section 3.7. The Operator-GENERIC formulations, describing the dynamics of the reactive fluid mixture for isolated and open systems, are presented in Section 4. Therein, we consider two cases, i.e. whether energy or entropy constitutes the thermodynamic potential. In Section 4.4 the dynamics of the fluid mixture is formulated in the classical GENERIC framework. Concluding remarks and an outlook are presented in Section 5.

Notation and conventions

List of frequently used symbols:

| Symbol | Name |
|--------|------|
| $\rho_\alpha$ | Field of the mass density of constituent $\alpha$ in the mixture, $\alpha = 1, \ldots, \nu$ |
| $\rho$ | Field of the mixture mass density, $\rho = \sum_{\alpha=1}^{\nu} \rho_\alpha$ |
| $M$ | Field of the mixture linear momentum density |
| $\mathbf{v}$ | Barycentric velocity field, $\mathbf{v} = \frac{M}{\left(\sum_{\alpha=1}^{\nu} \rho_\alpha\right)}$ |
| $T$ | Absolute temperature field of the mixture |
| $s$ | Field of the mixture entropy density |
| $\mu_\alpha$ | Chemical potential of constituent $\alpha$ in mixture |
| $\lambda$ | Bulk (or volume) viscosity coefficient |
| $\zeta$ | Shear (or dynamic) viscosity coefficient |
| $u$ | Field of the mixture internal energy density |
| $p$ | Thermodynamic equilibrium pressure |
| $T$ | Mixture Cauchy stress tensor |
| $T^d$ | Deviator of mixture Cauchy stress tensor |
| $\pi$ | Dynamic pressure |
| $\kappa$ | Heat conductivity coefficient |
| $q$ | Mixture heat flux vector (non-convective flux of internal energy) |
| $S$ | Viscosity (part of the) mixture stress tensor s.t. $T = -p\mathbf{I} + S$ |
| $\tau_\alpha$ | Mass production density (rate of growth of mass) of constituent $\alpha$ |
| $J_\alpha$ | Diffusion flux vector of constituent $\alpha$ in the mixture |
2 GENERIC and its Operator Formulation

In this section we introduce the GENERIC formulation in more detail. As mentioned in the introduction, GENERIC induces a double bracket formulation, in which the dynamics is split in two parts, a reversible and an irreversible one. The reversible part of the dynamics is described by the total energy functional (or Hamiltonian) \( H \) of the system as generator, mathematically described by means of a Poisson bracket \( \{ \cdot , \cdot \} \). The irreversible part is described by the entropy \( S \) of the system with the help of so called dissipation bracket \( [ \cdot , \cdot ] \).

To introduce the brackets, let \( \mathcal{Z} \) represent the ensemble of all macroscopic state variables of the thermodynamic system under consideration in their local representation such that at each fixed time parameter the state variable corresponds with an element of the Cartesian product of some normed spaces. Let the total energy \( H \) and the entropy \( S \) of the system under consideration be known and given as smooth real valued functions defined on \( \mathcal{Z} \), which we call state space. The Poisson bracket \( \{ \cdot , \cdot \} \) is a bilinear map of the form

\[
\{ \cdot , \cdot \} : C^\infty(\mathcal{Z}) \times C^\infty(\mathcal{Z}) \to C^\infty(\mathcal{Z}), \quad (A, B) \mapsto \{ A, B \}.
\]

The dissipation bracket \( [ \cdot , \cdot ] \) is a bilinear mapping defined analogously. The Poisson bracket \( \{ \cdot , \cdot \} \) by definition has the following properties

**Anti-symmetry:**

\[
\{ A, B \} = -\{ B, A \},
\]

**Leibniz rule:**

\[
\{ AB, C \} = A\{ B, C \} + B\{ A, C \},
\]

**Jacobi identity:**

\[
\{ A, \{ B, C \} \} + \{ B, \{ C, A \} \} + \{ C, \{ A, B \} \} = 0,
\]

with \( A, B, C \in C^\infty(\mathcal{Z}) \). The Jacobi identity reflects the time-structure invariance of the Poisson bracket, see e.g. [27] or [73, Sec. 1.2]. The Leibniz rule states that the Poisson bracket \( \{ \cdot , \cdot \} \) is a derivation in each argument [73].

The dissipation bracket \( [ \cdot , \cdot ] \) should also fulfill the Leibniz rule, cf. [63, p. 14 ff.]. Moreover, the dissipation bracket is required to be symmetric and non-negative. This results in the three properties

**Symmetry:**

\[
[ A, B ] = [ B, A ],
\]

**Leibniz rule:**

\[
[ AB, C ] = A[ B, C ] + B[ A, C ],
\]

**Non-Negativity:**

\[
[ A, A ] \geq 0,
\]

By the term **system** we mean a separable part of the physical universe which is defined by a set of macroscopic boundary conditions. By an **isolated system** we mean a system that is materially, mechanically, and adiabatically closed. As **materially closed** systems we define systems without exchange of matter with the environment. As **mechanically closed** systems we define systems without exchange of work with the environment, and as **adiabatically closed** systems we define systems enclosed in thermally isolated walls. If none of these conditions is fulfilled then we call the system under consideration **open**.
which the dissipation bracket has to satisfy.

The bracket-formalism of GENERIC for isolated systems requires that the Poisson and the dissipation bracket satisfy the following two non-interacting (or degeneracy) conditions

\[ \{ F, S \} = 0 \quad \text{and} \quad [F, H] = 0 \quad \text{for all } F \in \mathcal{C}^\infty(\mathcal{Z}). \]  

These conditions separate the reversible and the irreversible evolution, [67, p. 2]. The time evolution of an arbitrary smooth observable \( A \in \mathcal{C}^\infty(\mathcal{Z}) \) is given by

\[ \frac{dA}{dt} = \{ A, H \} + [A, S]. \]  

The bracket formalism of GENERIC, in case of an isolated system, leads to the following two consequences,

**Energy conservation**

\[ \frac{dH}{dt} = \{ H, H \} + [H, S] = 0, \]

**Entropy production**

\[ \frac{dS}{dt} = \{ S, H \} + [S, S] \geq 0, \]

which are direct implications of the brackets properties, and the non-interacting conditions (2.1) and (2.2). For further details see e.g. [12].

For systems confined to a time-independent domain \( \Omega \subset \mathbb{R}^d \), i.e. an open – in a topological sense – and connected set, with boundary \( \partial \Omega \), the GENERIC formalism was extended in [64] to open non-equilibrium thermodynamic systems that interact with their environment. To this end abstract Poisson and dissipation brackets were introduced. These abstract brackets, which we call *full brackets*, are split into bulk- and boundary contributions

\[ \{ A, B \} = \{ A, B \}_{\text{bulk}} + \{ A, B \}_{\text{boundary}}, \]
\[ [A, B] = [A, B]_{\text{bulk}} + [A, B]_{\text{boundary}}, \]

and it is shown that the proper time evolution equation for a sufficiently smooth observable \( A \) in case of an open system is of the form

\[ \frac{dA}{dt} = \{ A, H \}_{\text{bulk}} + [A, S]_{\text{bulk}}. \]

Considering open thermodynamic systems, results from continuum physics indicate that the total entropy of the system cannot be affected by any reversible dynamics, and that the total energy of the system is conserved under irreversible dynamics. It follows that for general open thermodynamic systems where the systems energy \( H \) and entropy \( S \) are known, the degeneracy conditions are given – in contrast to isolated systems and equation (2.1) – by

\[ \{ A, S \}_{\text{bulk}} = 0, \quad [A, H]_{\text{bulk}} = 0, \]

for every observable \( A \). Note that in general there can be reversible flux of entropy and irreversible flux of energy at the boundary [64]. So, the non-interaction conditions (2.5) are
in general not requested for the boundary contributions of the brackets and therefore also not for the full brackets. However, in [64, Sec. III] it is shown that for hydrodynamics the degeneracy is required for bulk and boundary contributions of both brackets.

The brackets that are constructed in this paper are written as integral expressions where we use the functional derivative \( \frac{\delta A}{\delta z} \) for observables \( A \). The functional derivative is defined by \( \frac{d}{dz} A(z + \varepsilon h) \big|_{\varepsilon=0} = \langle \frac{\delta A}{\delta z}, h \rangle \), where \( z + \varepsilon h \) is an element of the same space as \( z \) for small \( \varepsilon \). The functional derivative is unique if it exists and it can be determined by the partial functional derivatives of \( A \) with respect to functions associated with \( z \). For further details on the functional derivative, which is also called variational derivative or Fréchet-Volterra functional derivative, we refer to [2, Sec. 2.4 f.] and [30, 68].

With the functional derivative, the brackets can be written as

\[
\{A, B\}(z) = \int_{\Omega} \frac{\delta A}{\delta z} \mathfrak{J}(z) \frac{\delta B}{\delta z} \, dx, \quad \text{and} \quad [A, B](z) = \int_{\Omega} \frac{\delta A}{\delta z} \mathfrak{R}(z) \frac{\delta B}{\delta z} \, dx,
\]

where \( \mathfrak{J}(z) \) and \( \mathfrak{R}(z) \) are linear spatial-differential operators. Since it holds that, cf. [10, p. 224],

\[
\frac{\delta AB}{\delta z(x)} = B(z) \frac{\delta A}{\delta z(x)} + A(z) \frac{\delta B}{\delta z(x)},
\]

the expressions of the brackets given by (2.6) in combination with (2.7) allow us to prove easily the Leibniz rule. For the Poisson bracket we obtain

\[
\int_{\Omega} \frac{\delta AB}{\delta z} \frac{\delta C}{\delta z} \, dx = \int_{\Omega} \left( B \frac{\delta A}{\delta z} + A \frac{\delta B}{\delta z} \right) \mathfrak{J}(z) \frac{\delta C}{\delta z} \, dx = B \int_{\Omega} \frac{\delta A}{\delta z} \frac{\delta C}{\delta z} \, dx + A \int_{\Omega} \frac{\delta B}{\delta z} \frac{\delta C}{\delta z} \, dx,
\]

and an analogous formula holds for the dissipation bracket. In the following we will identify a special kind of brackets which fulfill the Jacobi identity. All Poisson brackets appearing in this paper have this form.

**Theorem 2.1.** Let the state space \( Z \) consists of sufficiently smooth enough functions which not necessarily vanish at the boundary. Suppose that \( Z \) is (re-)arranged such that \( Z \ni z = [\hat{Z}^T, M^T]^T = [\hat{z}_1, \ldots, \hat{z}_{\mu+1}, M^T]^T \), where \( z_\alpha, \alpha = 1, \ldots, \mu + 1, \) is a scalar field and \( M \) is a vector field with a \( d \)-dimensional coordinate representation. Suppose that there exist continuously differentiable functions \( f_\alpha : \mathbb{R}^{\mu+1} \to \mathbb{R}, \alpha = 1, \ldots, \mu + 1 \), and define the bracket \( \{\cdot, \cdot\} \) by

\[
\{A, B\}(z) := \int_{\Omega} - \sum_{a=1}^{\mu+1} \hat{z}_a \left[ \left( \frac{\delta A}{\delta \hat{M}} \cdot \nabla \right) \frac{\delta B}{\delta \hat{z}_a} - \left( \frac{\delta B}{\delta \hat{M}} \cdot \nabla \right) \frac{\delta A}{\delta \hat{z}_a} \right] - M \cdot \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta M} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta M} \right] + \sum_{a=1}^{\mu+1} \left[ \left( \frac{\delta A}{\delta \hat{M}} \cdot \nabla \right) \left( f_a(\hat{z}) \frac{\delta B}{\delta \hat{z}_a} \right) - \left( \frac{\delta B}{\delta \hat{M}} \cdot \nabla \right) \left( f_a(\hat{z}) \frac{\delta A}{\delta \hat{z}_a} \right) \right] \, dx.
\]

Then the bracket fulfills the Jacobi identity

\[
\{A, \{B, C\}\} + \{B, \{C, A\}\} + \{C, \{A, B\}\} = 0
\]

for all observables \( A, B, C \in C^\infty(Z) \).
The expression (2.6) can be used to write the GENERIC formulations (2.2) and (2.4) as operator equations. For this, let the state \( \mathbf{z} \) evolve in an open subset \( \mathcal{Z} \) of the space \( \mathcal{D}_z \), i.e., \( \mathbf{z} : \mathbb{I} \rightarrow \mathcal{Z} \) for a bounded time interval \( \mathbb{I} \). By the definition of the brackets (2.6) the space \( \mathcal{D}_z \) is a set of spatially dependent functions mapping from the domain \( \Omega \subset \mathbb{R}^d \) into \( \mathbb{R}^n \) with weak derivatives. We will denote by \( C^\infty(\Omega) \) the space of all infinitely differentiable functions and by \( W^{1,p}(\Omega) \), \( p \geq 1 \), the Sobolev space of all functions with a weak derivative where the function itself and its derivative are measurable and integrable up to the power of \( p \), i.e., elements of \( L^p(\Omega) \). For further details we refer to [3].

We will write \( W^{1,p}(\Omega)^n \) for the \( n \)-fold Cartesian product of \( W^{1,p}(\Omega) \) and \( W^{1,p}(\Omega)^n \) for its dual space, i.e., the space of all linear continuous functionals of \( W^{1,p}(\Omega)^n \), \( n \in \mathbb{N} \). The duality pairing of \( W^{1,p}(\Omega)^n \) and \( W^{1,p}(\Omega)^n \) is denoted by \( \langle \cdot, \cdot \rangle \). For \( \mathcal{F} \in L^2(\Omega)^n \) and for \( p \geq \frac{2d}{d-2} \) we define \( \langle v, \mathcal{F} \rangle = \int_\Omega \mathcal{F} \cdot v \, dx \) for all \( v \in W^{1,p}(\Omega)^n \). Note that we have used the Sobolev embedding into the space \( L^2(\Omega) \), [3, Lem. 5.12]. We will assume that \( \mathcal{D}_z \) is a closed subspace of \( W^{1,p}(\Omega)^n \) and \( z = [z_1, \ldots, z_n]^T \). Let \( \varphi_k = [\varphi_{k1}, \ldots, \varphi_{kn}]^T \in C^\infty(\Omega)^n \cap \mathcal{D}_z \) be arbitrary and define \( A_{\varphi_k}(z) = \int_\Omega \varphi_{ki} z_i \, dx, k = 1, 2 \). Then \( \frac{\delta A_{\varphi_k}}{\delta z} = \varphi_k \) and therefore \( \{A_{\varphi_1}, A_{\varphi_2}\} = \int_\Omega \varphi_1 \delta \varphi_2 dx \). Since \( C^\infty(\Omega) \cap W^{1,p}(\Omega) \) is dense in \( W^{1,p}(\Omega) \), [47], so is \( C^\infty(\Omega)^n \cap \mathcal{D}_z \) in \( \mathcal{D}_z \). This allows us to define \( \langle \varphi, \mathcal{J} \psi \rangle \) for \( \mathcal{J} \) as above, bounded operator \( \mathcal{J} : \mathcal{D}_z \rightarrow \mathcal{D}_z^* \) by \( \langle \varphi, \mathcal{J} \psi \rangle = \langle \varphi, \mathcal{J} \psi \rangle = \langle \varphi, \mathcal{J} \psi \rangle = \int_\Omega \varphi \delta \psi dx \) with \( \varphi, \psi \in \mathcal{D}_z \). Analogously we define the linear, bounded operator \( \mathcal{R} : \mathcal{D}_z \rightarrow \mathcal{D}_z^* \) with \( \mathcal{R} \).

Let \( \mathcal{V}_1 \) and \( \mathcal{V}_2 \) be two real, reflexive Banach spaces. The adjoint \( \mathcal{A}^* \) of a linear continuous operator \( \mathcal{A} : \mathcal{V}_1 \rightarrow \mathcal{V}_2^* \) is defined as the unique linear continuous operator mapping from \( \mathcal{V}_2 \) into \( \mathcal{V}_1^* \) which satisfies \( \langle v_1, \mathcal{A}^* v_2 \rangle_{\mathcal{V}_1, \mathcal{V}_1^*} = \langle v_2, \mathcal{A} v_1 \rangle_{\mathcal{V}_2, \mathcal{V}_2^*} \) for all \( v_1 \in \mathcal{V}_1, i = 1, 2 \). Note that, the symmetry of the dissipation bracket requires self-adjointness of the operator \( \mathcal{R} \), i.e. \( \mathcal{R}^* = \mathcal{R} \) or equivalently \( \langle \varphi, \mathcal{R} \psi \rangle = \langle \psi, \mathcal{R} \varphi \rangle \). The claim that \( \mathcal{R} \) is semi-elliptic, i.e. \( \langle \varphi, \mathcal{R} \varphi \rangle \geq 0 \), follows from the non-negativeness of the dissipation bracket. The anti-symmetry of the Poisson bracket instead translates to a skew-adjointness of \( \mathcal{J} \), i.e. \( \mathcal{J}^* = -\mathcal{J} \) or \( -\langle \varphi, \mathcal{J} \psi \rangle = \langle \psi, \mathcal{J} \varphi \rangle \). An equivalence property for a skew-adjoint operator is given in the following lemma.

**Lemma 2.2.** Let \( \mathcal{V} \) be a real, reflexive Banach space and \( \mathcal{A} : \mathcal{V} \rightarrow \mathcal{V}^* \) be linear and continuous. Then, \( \mathcal{A} \) is skew-adjoint if and only if \( \langle v, \mathcal{A} v \rangle_{\mathcal{V}, \mathcal{V}^*} = 0 \) for all \( v \in \mathcal{V} \).

**Proof.** Let \( \mathcal{A} \) be skew-adjoint and \( v \in \mathcal{V} \) arbitrary. Then we choose \( v_1 = v_2 = v \) and get
\[
0 = \langle (v_1 + v_2), \mathcal{A}(v_1 + v_2) \rangle_{\mathcal{V}, \mathcal{V}^*} = \langle v_1, \mathcal{A} v_1 \rangle_{\mathcal{V}, \mathcal{V}^*} - \langle v_2, \mathcal{A} v_2 \rangle_{\mathcal{V}, \mathcal{V}^*}.
\]
The other direction follows by
\[
0 = \langle v_1 + v_2, \mathcal{A} v_1 + \mathcal{A} v_2 \rangle_{\mathcal{V}, \mathcal{V}^*} - \langle v_1, \mathcal{A} v_1 \rangle_{\mathcal{V}, \mathcal{V}^*} - \langle v_2, \mathcal{A} v_2 \rangle_{\mathcal{V}, \mathcal{V}^*}.
\]

To derive operator expressions for the GENERIC formulations (2.2) and (2.4), we use again a density argument and get
\[
\langle \varphi, \dot{z} \rangle = \frac{dA_{\varphi}}{dt} = \{A_{\varphi}, H \} - \{A_{\varphi}, H \}_{\text{boundary}} + [A_{\varphi}, S] - [A_{\varphi}, S]_{\text{boundary}} \]
\[
= \langle \varphi, \mathcal{J} \frac{\delta H}{\delta z} + \mathcal{R} \frac{\delta S}{\delta z} + \mathcal{B} u \rangle
\]
for every \( \varphi \in \mathcal{D}_z \), where \( \mathcal{B} u \in \mathcal{D}_z^* \) describe the boundary contributions and \( u \) is a so-called port variable. Since the dual pairing is non-degenerate [83, p. 774], (2.9) can be written as
\[
\dot{z} = \mathcal{J}(z) \frac{\delta H}{\delta z}(z) + \mathcal{R}(z) \frac{\delta S}{\delta z}(z) + \mathcal{B}(z) u \quad \text{in} \quad \mathcal{D}_z^*.
\]
In addition to \( \mathbf{u} \) we introduce the two port variables \( \mathbf{y}_H \) and \( \mathbf{y}_S \). The port variable \( \mathbf{y}_H \) together with \( \mathbf{u} \) will then describe the change of the total energy \( H \) by the interaction with the environment. Under the assumption that \( \mathbf{u} \) is a function from the same bounded time interval \( \mathbb{I} \) as \( \mathbf{z} \) into a reflexive space \( \mathcal{D}_u \), the port variable \( \mathbf{y}_H \) is then given as \( \mathcal{B}^* \frac{\partial H}{\partial \mathbf{z}} \). The meaning and definition of \( \mathbf{y}_S \) is analogous for the entropy \( S \). Together with the evolution equation (2.10) we get the system

\[
\begin{align*}
\dot{z} &= J(z) \frac{\partial H}{\partial z}(z) + R(z) \frac{\partial S}{\partial z}(z) + \mathcal{B}(z) \mathbf{u} \quad \text{in } \mathcal{D}^*_z, \\
y_H &= \mathcal{B}^*(z) \frac{\partial H}{\partial z}(z) \quad \text{in } \mathcal{D}^*_u, \\
y_S &= \mathcal{B}^*(z) \frac{\partial S}{\partial z}(z) \quad \text{in } \mathcal{D}^*_u.
\end{align*}
\]

This is the general form of the operator equations which will describe the dynamics for open systems. Note that for an isolated system we have to restrict ourselves to a subspace \( \mathcal{D}_z \) which covers the properties of such systems. These restrictions lead to vanishing boundary contributions, i.e. \( \mathcal{B} \mathbf{u} = 0 \) in \( \mathcal{D}^*_u \), and finally to an operator equation

\[
\dot{z} = J(z) \frac{\partial H}{\partial z}(z) + R(z) \frac{\partial S}{\partial z}(z) \quad \text{in } \mathcal{D}^*_z.
\]

3 Continuum Thermodynamics

In this section we motivate the field equations and complementary closure relations that represent the mathematical model of the fluid mixture in the framework of classical continuum physics. Furthermore, we provide relations which can be used to verify the results obtained from the GENERIC based structured weak formulation of the field equations that we present in Section 4. We start this section by briefly recalling the notion of integral curves of vector fields defined on (possibly infinite-dimensional) manifolds [2, Ch. 3.1].

Let \( \mathcal{N} \) be a differentiable manifold and let \( \mathcal{U} \subset \mathcal{N} \) be a local manifold. Assume that the tangent space \( T_p(\mathcal{N}) \) at each \( p \in \mathcal{U} \) is defined, and denote the set \( T(\mathcal{U}) = T(\mathcal{N})|_{\mathcal{U}} : = \bigcup_{p \in \mathcal{U}} T_p(\mathcal{N}) \) (disjoint union) as the tangent bundle restricted to \( \mathcal{U} \). Let \( \pi|_\mathcal{U} : T(\mathcal{U}) \to \mathcal{U} \) be the corresponding tangent bundle projection map, i.e., a surjective map with the property such that \( \pi|_\mathcal{U}(X(p)) = p \) for all \( X(p) \in T_p(\mathcal{N}) \) and all \( p \in \mathcal{U} \), where \( X(p) \in T_p(\mathcal{N}) \) is called tangent vector. A section of the tangent bundle \( T(\mathcal{U}) \) is a mapping \( \psi : \mathcal{U} \to T(\mathcal{U}) \) such that \( \pi|_\mathcal{U} \circ \psi = \text{id}_\mathcal{U} \). We denote by \( \Gamma(T\mathcal{U}) := \{ \psi : \mathcal{U} \to T(\mathcal{U}) \mid \pi|_\mathcal{U} \circ \psi = \text{id}_\mathcal{U} \} \) the set of all sections of the tangent bundle \( T(\mathcal{U}) \). The elements of \( \Gamma(T\mathcal{U}) \) are called vector field on \( \mathcal{U} \). For details we refer to [2].

Let \( \mathcal{I} \subset \mathbb{R} \) be an open interval considered as one-dimensional differentiable manifold. Then \((r,s) \in \mathcal{I} \times \mathbb{R} = T(\mathcal{I}) \) is a tangent vector to \( \mathcal{I} \). Let \( c : \mathcal{I} \to \mathcal{U} \) be a smooth curve. Its tangent \( Tc : T(\mathcal{I}) \to T(\mathcal{U}) \) is given by the vector \( Tc(r,s) = (c(r), (dc/dt)(r)s) \), which evaluated at \((r,1)\) becomes \( Tc(r,1) = (c(r), (dc/dt)(r)) \in T_{c(r)}(\mathcal{U}) \). The tangent vector to the curve on a manifold, understood as generalized directional derivative, is therefore given by \( Tc(\cdot,1) : \mathcal{I} \to T(\mathcal{U}) \). Under abuse of notation one often writes \((dc/dt)\) instead of \( Tc(\cdot,1) \). A curve \( c : \mathcal{I} \to \mathcal{U} \) is called integral curve of the vector field \( X \in \Gamma(T\mathcal{U}) \) at \( p \in \mathcal{U} \) with \( c(t_0) = p \) and \( t_0 \in \mathcal{I} \), if it is a solution of the equation [40, Ch. 1.6]

\[
Tc(t) = X(c(t)) \quad \text{for all } t \in \mathcal{I}.
\]
Recall that a reference frame from a mathematical point of view is characterized as time-
like future-pointing vector field defined on the spacetime manifold, see e.g. [69, Axiom 2.1].
Each integral curve of any given reference frame (vector field) is called an observer in the
terminology used in space-time theories [69, Def. 3.1]. For this consider Equation (3.1) and
note that, in case the underlying manifold \( \mathcal{N} \) is finite-dimensional, the coordinate repre-
sentation of Equation (3.1) will correspond to a system of ordinary differential equations. For
different initial conditions this ODE system will in general have different solutions, under
the assumption that existence and uniqueness conditions for solutions are satisfied. These
solutions will typically not be defined on the whole of the space-time domain but only on
sufficiently small spatial regions and sufficiently small time intervals. Therefore a reference
frame in Newtonian spacetime is also introduced as the infinite collection of observer con-
sidered as sparsed over the spacetime manifold. Briefly speaking, a reference frame should be
thought of as part of some mathematical apparatus that allows the (local) trivialization of
the spacetime manifold. This is required since the spacetime manifold, due to the struc-
ture of the underlying mathematical theory of Newtonian spacetime, consists of abstract
point called events, that cannot be characterized by the instant and the location of their
occurrence, cf. [69, p. 884] and [86, Ch. 9.2.1]. For further details and an introduction of
the mathematical structure of Newtonian spacetime see e.g. [50, Ch. 12] and [69].

We restrict our considerations to Newtonian spacetime and assume that a (globally de-
defined, Euclidean rigid) reference frame is always chosen. We call the mathematical model
of the physical space of our experience spatial manifold and assume that the spatial mani-
fold at each instance of time has the structure of a finite-dimensional Hilbert manifold, i.e.,
a manifold modeled over a Hilbert space [2, Sec. 3.1]. We express this manifold through the
pair \((\mathbb{E}^3, E)\), where \(\mathbb{E}^3\) is the three-dimensional Euclidean manifold and \(E\) is the three-
dimensional linear space called Euclidean space, a Hilbert space that has the additional
structure of a Lie algebra [86, Ch. 1], see [75, Sec. 6] and [60, Sec. I.2]. Recall that the \(d\)-
dimensional Euclidean manifold \(\mathbb{E}^d\) is a \(d\)-dimensional Riemannian manifold whose elements
are called points and whose tangent spaces are isomorphic to the \(d\)-dimensional Euclidean space \(E\). Furthermore, we assume that the spatial manifold at each instance of time is
endowed with a torsion- and curvature free metric compatible affine connection, for details we refer to [84, Ch. 74.18] and [86, Ch. 8.9 f.l]. The Euclidean manifold is a flat Riemann-
nian manifold that allows global parallel transport, see [86, p. 71] and [50, Ch. 11.5]. In
the literature related to continuum mechanics, the Euclidean manifold is sometimes called
Euclidean point space and the Euclidean space \(E\) is called translation space, see e.g. [38,
App. A.2.1] and [77, App. II.B]. The spatial manifold \((\mathbb{E}^d, E)\) can be identified with \(\mathbb{R}^d\)
[72, Sec. 2.2], in this case the \(d\)-dimensional real Euclidean space \(\mathbb{R}^d\) is considered an affine
space over itself whose elements represent both, points and (coordinate representations of)
vectors, and whose additive identity is considered as the origin of coordinates [36, Def. 1.14].

Let \(\mathcal{U} \subset \mathbb{R}^N\) be an open set and \((F, \|\cdot\|_F)\) be a Banach space over \(\mathbb{R}\). We write
clos(\(\mathcal{U}\)) or \(\overline{\mathcal{U}}\) for the closure of a set \(\mathcal{U}\). Recall that the support of an \(F\)-valued function
on \(\mathcal{U}\) is given by the set supp\((f) := \text{clos}\{u \in \mathcal{U} | f(u) \neq 0\} \subset \text{clos}(\mathcal{U})\). We denote by
\(C^\infty_c(\mathcal{U}, F) := \{f \in C^\infty(\mathcal{U}, F) | \text{supp}(f) \subset \subset \mathcal{U}\}\) the set of all smooth \(F\)-valued functions on
\(\mathcal{U}\), whose support is compactly contained in \(\mathcal{U}\). We denote \(\mathcal{D}(\mathcal{U}, F) := C^\infty_c(\mathcal{U}, F)\) and call
its elements \(F\)-valued test functions on \(\mathcal{U}\), and for the case \(F = \mathbb{R}\) write \(\mathcal{D}(\mathcal{U}) := \mathcal{D}(\mathcal{U}; \mathbb{R})\).
Following [4, Def. 2.2] we call any linear map \(T: \mathcal{D}(\mathcal{U}, F) \to \mathbb{R}\) a distribution on \(\mathcal{U}\) if for
every subset \(U \subset \subset \mathcal{U}\) there exists a constant \(A_U \geq 0\) and an order \(b_U \in \mathbb{N}\) such that
\(\|T(f)\| \leq A_U \|f\|_{C^b_U(\mathcal{U}, F)}\) for all \(f \in C^b_c(\mathcal{U}, F)\) with supp\((f) \subset U\). We denote by \(\mathcal{D}'(\mathcal{U}; F)\)
the set of all these distributions on \( \mathcal{U} \), see also [6, Ch. 5.17]. An alternative but equivalent definition of distributions is to define a topology on \( \mathcal{D}(\mathcal{U}, F) \) by means of a Fréchet metric, for details see [4, Ch. 12].

Let \( L^N \) denote the \( N \)-dimensional Lebesgue measure on \( \mathbb{R}^N \), and let \( N = d + 1 > 1 \). We consider a space-time domain \( \mathcal{U} \subset \mathbb{R} \times \mathbb{R}^d \) \( (d = 3 \) for the physical application) with coordinates \( (t, x) \in \mathcal{U} \). Let \( \Omega_t = \{ x \in \mathbb{R}^d \mid (t, x) \in \mathcal{U} \} \) be an open set in the \( d \)-dimensional real Euclidean space for each \( t \in \mathbb{R} \) with \( \{ t \} \times \Omega_t \subset \mathcal{U} \). For integrable functions \( f \in L^1_{\text{loc}}(\mathcal{U}) \) and test functions \( \phi \in \mathcal{D}(\mathcal{U}) \) we introduce the integral identity

\[
\int_{\mathcal{U}} f \phi \, dL^{d+1} = \int_{\mathbb{R}} \int_{\Omega_t} f(t, x) \phi(t, x) \, dx \, dt,
\]

which we use to simplify notation. For further details see [5]. Recall that locally integrable functions can be identified with (regular) distributions \( T_f \in \mathcal{D}'(\mathcal{U}) \) defined through the assignment \( T_f := (\varphi \mapsto \int_{\mathcal{U}} f \phi \, dL^{d+1}) \in \mathcal{D}'(\mathcal{U}) \) [4]. For each fixed \( t \in \mathbb{R} \) with \( \{ t \} \times \Omega_t \subset \mathcal{U} \) let \( [g_{ij}] \in L^1_{\text{loc}}(\Omega_t; \mathbb{R}^{d \times d}) \) be the coordinate representation of the Euclidean metric tensor, cf. [7, Ch. 7.10] and [8, Ch. 11.5], which in a global Euclidean rigid reference frame will be independent of the time parameter [69, Prop. 3.60]. Note that in Euclidean geometry, for any non-empty open and arcwise connected subset of \( \mathbb{R}^d \) the matrix \( [g_{ij}(x)] \in \mathbb{R}^{d \times d} \) exists and can be inverted with \( [g^{ij}(x)] := [g_{ij}(x)]^{-1} \), such that \( [g^{ik}(x)] [g_{kj}(x)] = [\delta^i_j] \) [86]. For vector valued locally integrable functions \( f \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^d) \) and vector valued test functions \( \Phi \in \mathcal{D}(\mathcal{U}; \mathbb{R}^d) \) we require their contraction \( f \cdot \Phi = \langle f, \Phi \rangle \) [74, Ch. 2.2] to hold

\[
\int_{\mathcal{U}} f \cdot \Phi \, dL^{d+1} = \int_{\mathbb{R}} \int_{\Omega_t} \sum_{k,l=1}^{d} g_{kl}(x) f^k(t, x) \varphi^l(t, x) \, dx \, dt = \int_{\mathcal{U}} \langle f, \Phi \rangle \, dL^{d+1},
\]

almost everywhere in a space-time domain \( \mathcal{U} \subset \mathbb{R} \times \mathbb{R}^d \). The identification of a vector valued integrable function with a (regular) distribution is via

\[
(\varphi \mapsto \int_{\mathcal{U}} f \cdot \Phi \, dL^{d+1}) \in \mathcal{D}'(\mathcal{U}; \mathbb{R}^d).
\]

For matrix valued locally integrable functions \( F \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}) \) and matrix valued test functions \( \Psi \in \mathcal{D}(\mathcal{U}; \mathbb{R}^{d \times d}) \) their double contraction \( F : \Psi = \langle F, \Psi \rangle \), cf. [40, Def. 4.12]

\[
\int_{\mathcal{U}} F : \Psi \, dL^{d+1} = \int_{\mathbb{R}} \int_{\Omega_t} \sum_{i,k,l,m=1}^{d} g_{mk}(x) g_{li}(x) F^{ik}(t, x) \varphi^{lm}(t, x) \, dx \, dt = \int_{\mathcal{U}} \langle F, \Psi \rangle \, dL^{d+1},
\]

is required to hold almost everywhere in \( \mathcal{U} \). The identification with a (regular) distribution is through the assignment

\[
(\Psi \mapsto \int_{\mathcal{U}} F : \Psi \, dL^{d+1}) \in \mathcal{D}'(\mathcal{U}; \mathbb{R}^{d \times d}).
\]

Note that \( A : B = \sum_{i,j=1}^{d} A^{ij} B_{ij} = \text{trace}(\sum_{j=1}^{d} A^{kj}(B^\top)_{ji}) = \text{tr}(A \cdot B^\top) \) for arbitrary \( A, B \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}) \). The divergence of a velocity vector field is related to the trace of the velocity gradient \( \nabla v \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}) \) via the family of identity relations

\[
\text{div}(v(t, \cdot)) = \sum_{i,j=1}^{d} \nabla v(t, \cdot)_{ij} g_{ij}(\cdot) = \text{trace}(\sum_{j=1}^{d} (\nabla v(t, \cdot))_{ij} g_{jk}(\cdot)) = \text{tr}(\nabla v(t, \cdot)).
\]

For the remainder of this section we will make no distinction in notation between the function \( f \) and the distribution \( T_f := (\phi \mapsto \int f \phi \, dx) \) and denote both by the same symbol.
3.1 Differential Balance Laws

In continuum mechanics and thermodynamics one postulates integral balance laws for a number of extensive quantities, which then are monitored through the fields of their densities [38, 56]. These fields typically correspond to macroscopic state variables and in this work are formulated in spatial (also called Eulerian) representation [17]. Their time evolution and spatial distribution is described by field equations, which in this work are given as partial differential equations representing differential balance laws, cf. [18].

Let $U \subset \mathbb{R} \times \mathbb{R}^d$ be a space-time domain and $v \in C^\infty(U; \mathbb{R}^d)$ be the spatial velocity vector field. In order to formulate a differential balance law for some real valued scalar field $\psi \in L^1_{\text{loc}}(U)$, suppose that an associated scalar $\sigma \psi \in L^1_{\text{loc}}(U)$ and a vector field $\Phi \psi \in L^1_{\text{loc}}(U; \mathbb{R}^d)$ are given. Then the evolution of $\psi$ is described by a partial differential equation of the form

$$\partial_t \psi + \text{div}(\psi v + \Phi \psi) = \sigma \psi$$

satisfied in $\mathcal{D}'(U)$, (in the sense of distributions), i.e., we require

$$\int_U (\psi \partial_t \varphi + (\psi v + \Phi \psi) : \nabla \varphi + \varphi \psi \sigma) \, dL^{d+1} = 0$$

to hold for all test functions $\varphi \in \mathcal{D}(U)$.

All scalar differential balance laws considered in this work have the form of (3.3) where $\psi$ is the field of some density, $(\psi v)$ is the convective flux, $\Phi \psi$ is the non-convective flux and $\sigma \psi$ is the source (or total production). The source term $\sigma \psi$ is split in two type of contributions, internal sources denoted $\psi \Sigma$ and called production density, and external sources $\psi P$, which we call influx density. External sources (e.g. gravitation, body forces or electromagnetic fields) are typically considered as known. In the absence of internal sources the balanced extensive quantity (e.g. total energy, mass and linear momentum) are conserved quantities. Therefore, we define $\psi \Sigma = \psi \Sigma + \psi P$ to distinguish between internal and external sources, i.e., between production densities and influx densities.

Note that the spatial velocity field $v \in C^\infty(U; \mathbb{R}^d)$ appearing in (3.3) is an independent quantity that needs to be prescribed. Therefore, for the description of a compressible fluid flow we need at least the balance of mass and the balance of linear momentum. The field of the mass density $\rho \in L^1_{\text{loc}}(U)$ is a non-negative scalar field governed by a differential balance law of the form (3.3) and the field of the linear momentum density $M \in L^1_{\text{loc}}(U; \mathbb{R}^d)$ is a vector field with $\rho v = M$. The corresponding non-convective flux is $T \in L^1_{\text{loc}}(U; \mathbb{R}^{d\times d}_{\text{sym}})$ and the external source is given by $b \in L^1_{\text{loc}}(U; \mathbb{R}^d)$. Then the evolution of $M$ is described by

$$\partial_t M + \text{div}(M \otimes v - T) = b$$

satisfied in $\mathcal{D}'(U, \mathbb{R}^d)$ (in the sense of distributions), i.e., we require

$$\int_U (M \cdot \partial_t \varphi + (M \otimes v - T) : \nabla \varphi + \varphi \cdot b) \, dL^{d+1} = 0$$

to hold for every test function $\varphi \in \mathcal{D}(U, \mathbb{R}^d)$.

3.2 Diffusion Model

We are interested in a homogeneous mixture of heat-conducting compressible Newtonian fluids consisting of a finite number $\nu \geq 2$ of reactive constituents, $\nu \in \mathbb{N}$. It is assumed
that the mixture moves free of external body forces. For the considered fluid mixture, the collection of field equations contains the differential balance equations for the fields $\rho_\alpha$, $\alpha = 1, \ldots, \nu$, of the constituent mass densities, the differential balance for the field $M$ of the mixture linear momentum density, for the field $u$ of the mixture internal energy density, and the field $s$ of the mixture entropy density. From now on and for the remainder of this work we will call these fields by the name of their densities.

Although chemical reactions may change the total amount of mass of certain constituents in the mixture, the related processes do not result in real production or destruction of matter, but rather have to be seen as exchange and interaction processes [76]. For this, we assume that the total mass of the whole mixture is conserved. Based on this assumption we define the mixture mass density $\rho$ as the sum of the constituent mass densities $\rho = \sum_{\alpha=1}^\nu \rho_\alpha$ and require that $0 < \rho$ holds almost everywhere in a space-time domain associated with the fluid flow. With this we define the barycentric velocity field by $v = M/(\sum_{\alpha=1}^\nu \rho_\alpha)$, cf. [32, p. 49].

We call the following collection of differential balance laws the diffusion model:

**Diffusion model**

\[
\begin{align*}
\partial_t \rho_\alpha + \text{div}(\rho_\alpha v + J_\alpha) &= \tau_\alpha, \quad (\alpha = 1, \ldots, \nu) \\
\partial_t M + \text{div}(M \otimes v - T) &= 0, \\
\partial_t u + \text{div}(uv + q) &= T : \nabla v, \\
\partial_t s + \text{div}(sv + s\Phi) &= \Sigma, 
\end{align*}
\]

(3.5a) to (3.5d)

where $T$ is the mixture stress tensor, $q$ is the mixture heat flux vector, $J_\alpha$ is the diffusion flux and $\tau_\alpha$ the density of mass production with respect to constituent $\alpha$ in the mixture. The non-convective entropy flux $s\Phi$ and the entropy production density $s\Sigma$ are discussed and specified in Section 3.3.

**Remark 3.1.** Note that the diffusion model (3.5) does not contain any influx terms, since the fluid mixture is assumed to move free of external body forces. Also, the influx of internal energy due to thermal radiation is neglected.

The density of mass production $\tau_\alpha$ appearing at the right-hand side of the differential mass balance (3.5a) can be expressed with respect to $n$ independent chemical reactions [57] as there are only as many independent mass productions as there are independent chemical reactions [76, p. 267], $n \in \mathbb{N}$. This is taken into account via

\[
\tau_\alpha = \sum_{k=1}^n \gamma_\alpha^k m_\alpha \Lambda^k, 
\]

(3.6)

where each of the $n$ independent chemical reactions has distinct stoichiometric coefficients $\gamma_\alpha^k$ and reaction rate densities $\Lambda^k$, $k = 1, \ldots, n$.

### 3.3 Thermodynamics of Irreversible Processes (TIP)

The diffusion model (3.5) as a collection of partial differential equations in its present form is not a closed system. Closure relations are required, which in continuum physics are typically given in form of constitutive relations and relate the constitutive quantities to the fields [38, 57, 78]. Constitutive quantities can, roughly speaking, be identified as those quantities that appear in the field equation but do not belong to the set of fields [13]. For the diffusion
model, constitutive equations for the mixture stress tensor $\mathbf{T}$, the mixture heat flux vector $\mathbf{q}$, the diffusion fluxes $\mathbf{J}_\alpha$, and the reaction rate densities $\Lambda_k$ are required. Complemented by proper constitutive relations, the system of partial differential equations (3.5) becomes formally well-posed, that is, there are as many equations as unknowns [40, Ch. 3].

In this section we specify the non-convective entropy flux $^s\Phi$ and the entropy production density $\Sigma$ that appear on the right-hand side of the field equation (3.5d). Also, the required closure relations given in form of linear constitutive equations are motivated and their relation to the second law of thermodynamics is explained. This is done by means of the phenomenological theory called Thermodynamics of Irreversible Processes (TIP), also known as Classical Irreversible Thermodynamics (CIT). The fundamental assumption made in TIP is the local equilibrium hypothesis, see e.g. [37, Ch. 2]. Consider a fluid particle as being a region of physical space, occupied by the fluid or parts of it at some fixed time instant, that from a microscopic perspective contains a large number of molecules but from a macroscopic perspective is point-like. The local equilibrium hypothesis may be interpreted as some local statistical homogenization processes such that at any time instant the fluid can locally, i.e. restricted to fluid particles, be considered as in thermodynamic equilibrium. The term local emphasizes that the equilibrium states of two distinct fluid particles will in general be related to different local equilibrium state variables [46, 63], resulting in interaction and exchange processes between the fluid particles. From the perspective of kinetic theory, the local equilibrium hypotheses may be considered as a situation where the probability distribution function takes the form of a local expression, where each local probability distribution function approximately is given by a local Maxwell-Boltzmann distribution, for details see e.g. [71, Ch. 9 f.]. In each region of local equilibrium, the local equations of state are assumed to have the same form as in global equilibrium. Consequently, one assumes that the Gibbs equation stays locally valid [37, Ch. 2.2]. The locally formulated Gibbs equation yields a way to derive a differential entropy balance without the requirement of postulating an entropy balance as done in other continuum thermodynamic theories, see e.g. the Clausius-Duhem Inequality [72, p. 76].

For the considered fluid mixture, the locally expressed Gibbs equation written with the differentials of the thermodynamic state variables takes the form [46]

$$ (3.7) \quad ds = \frac{1}{T} du - \sum_{\alpha=1}^{\nu} \frac{\mu_\alpha}{T} d\rho_\alpha, $$

where the absolute temperature $T$ and the chemical potentials $\mu_\alpha$ are accessible through the following identities

$$ (3.8) \quad \frac{1}{T} = \frac{\partial s}{\partial u}, \quad -\frac{\mu_\alpha}{T} = \frac{\partial s}{\partial \rho_\alpha}, $$

given as functions of the constituent mass densities and the mixture internal energy density

$$ T = T(\rho_1, \ldots, \rho_\nu, u), \quad \mu_\alpha = \mu_\alpha(\rho_1, \ldots, \rho_\nu, u), $$

respectively. The differentials appearing in the Gibbs equation (3.7) imply that the macroscopic state of the fluid mixture is specified by the thermodynamic state variables $(\rho_1, \ldots, \rho_\nu, u)$ plus the complementary state variable $\mathbf{M}$ [37, Ch. 2.4]. Thus we define the macroscopic state $\mathbf{z} \in \mathcal{Z}$ of the fluid mixture to be given by the following block-vector of unknown fields

$$ (3.9) \quad \mathbf{z} = [\rho_1 \ldots \rho_\nu \mathbf{M}^\top u]^\top. $$
Note that due to the local equilibrium hypothesis of TIP, which justifies the use of the Gibbs equation in its local form (3.7), the macroscopic state of the system will be determined in case the unknowns $(\rho_1, \ldots, \rho_\nu, M^\top)$ plus either the thermodynamic state variable $u$ (internal energy density) or $s$ (entropy density) are known. The case where the internal energy density $u$ is one of the independent state variables associated with the macroscopic state $z$, as reflected in relation (3.9), conforms with classical hydrodynamics in the sense that in classical hydrodynamics the internal energy density is one of the independent state variables and the entropy density is the thermodynamic potential field, see e.g. [66, Sec. II] for the one-component system and e.g. [46, p. 419 f.] for mixtures.

**Remark 3.2.** If the mixture entropy density is chosen to be one of the independent state variables, the macroscopic state $z \in Z$ associated with the unknown fields will be given by the following block vector

$$z = \begin{bmatrix} \rho_1 & \cdots & \rho_\nu & M^\top & s \end{bmatrix}^\top.$$  

Here the internal energy density $u = u(\rho_1, \ldots, \rho_\nu, s)$ will constitute the thermodynamic potential field. In Section 3.7 the formulas for the latter case are presented and in Section 4 its operator formulation in the framework of GENERIC is discussed.

We proceed to discuss the case where the state is of the form (3.9). A further important relation that holds under the assumption of local equilibrium is the following relation according to which the thermodynamic equilibrium pressure $p$ is determined through the thermodynamic constitutive relation [11, Ch. 4.3 f.]

$$p = -u + Ts + \sum_{\alpha=1}^{\nu} \rho_\alpha \mu_\alpha.$$  

The chemical potentials $\mu_\alpha$ and the absolute temperature $T$ appearing in (3.11) are specified through (3.8).

Next, we motivate the differential balance law for the entropy density by specifying the form of the non-convective entropy flux $*\Phi$ as well as the form of the entropy production density $*\Sigma$ appearing in (3.5d) with respect to the fluid mixture modeled in the theoretical framework of TIP. For this, we recall the notion of the spatial material time derivative for scalar fields. Let the scalar field $f \in L^1_{\text{loc}}(U)$ and the barycentric velocity $v \in C^\infty(U; \mathbb{R}^d)$ be given. Following [33] and restricted to a fixed observation point, the spatial material time derivative $\frac{\delta f}{\delta t}$ becomes

$$\frac{\delta f}{\delta t} = \frac{df}{dt} + v \cdot \nabla f,$$

where $\frac{d}{dt} = \frac{\partial}{\partial t} \big|_{x=\text{const}}$ in case of a fixed observation point. With the notion of the spatial material time derivative Equation (3.5a) and (3.5c) are rewritten and we obtain:

**partial mass balance**

$$\frac{\delta \rho_\alpha}{\delta t} = -\rho_\alpha \text{div}(v) - \text{div}(J_\alpha) + \tau_\alpha, \quad (\alpha = 1, \ldots, \nu)$$

**mixture internal energy balance**

$$\frac{\delta u}{\delta t} = -u \text{div}(v) - \text{div}(q) + T : \nabla v.$$
Following [37, p. 41] we assume that the Gibbs relation stays valid if expressed with material time derivatives such that

\[
\frac{\delta s}{\delta t} = \frac{1}{T} \frac{\delta u}{\delta t} - \sum_{\alpha=1}^{\nu} \frac{\mu_\alpha}{T} \frac{\delta \rho_\alpha}{\delta t}.
\]

(3.14)

Inserting (3.13a) and (3.13b) into (3.14) results in

\[
\frac{\delta s}{\delta t} = \frac{1}{T} (-u + \sum_{\alpha=1}^{\nu} \mu_\alpha \rho_\alpha) \text{div}(v) - \frac{1}{T} \text{div}(q) + \frac{1}{T} T : \nabla v + \sum_{\alpha=1}^{\nu} \frac{\mu_\alpha}{T} (\text{div} J_\alpha - \tau_\alpha).
\]

(3.15)

For the next step of reformulation, the following two identities are required

\[
\text{div} \left( \frac{1}{T} q \right) = \nabla \left( \frac{1}{T} \right) \cdot q + \frac{1}{T} \text{div} \left( q \right),
\]

(3.16)

\[
\sum_{\alpha=1}^{\nu} \text{div} \left( \frac{1}{T} J_\alpha \mu_\alpha \right) = \frac{1}{T} \sum_{\alpha=1}^{\nu} \text{div}(J_\alpha) \mu_\alpha + \sum_{\alpha=1}^{\nu} J_\alpha \cdot \nabla \left( \frac{\mu_\alpha}{T} \right).
\]

(3.17)

Now we are able to formulate the differential entropy balance law out of (3.15). For this we use relation (3.12) for the spatial material time-derivative, the identity relations (3.16) and (3.17), and the thermodynamic constitutive relation (3.11), that holds under the local equilibrium assumption and relates the local thermodynamic equilibrium pressure \( p \) to the thermodynamic potential field \( s = s(\rho_1, \ldots, \rho_\nu, u) \) and the local thermodynamic state variables \( (\rho_1, \ldots, \rho_\nu, u) \). By means of these relations Equation (3.15) is transformed into the following form

\[
\partial_t s + \text{div}(sv) + \text{div} \left\{ \frac{1}{T} \left[ q - \sum_{\alpha=1}^{\nu} J_\alpha \mu_\alpha \right] \right\} = \frac{1}{T} T : \nabla v + \frac{p}{T} \text{div}(v) + q \cdot \nabla \left( \frac{1}{T} \right) - \sum_{\alpha=1}^{\nu} J_\alpha \cdot \nabla \left( \frac{\mu_\alpha}{T} \right) - \frac{1}{T} \sum_{\alpha=1}^{\nu} \tau_\alpha \mu_\alpha.
\]

(3.18)

Based on results from kinetic theory of gases [57] the expression in the argument of the divergence operator on the left-hand side of Equation (3.18) is identified as the spatial representation of the non-convective entropy flux in the framework of the phenomenological theory of TIP, \( ^*\Phi = ^*\Phi_{\text{TIP}} \), given by

\[
^*\Phi_{\text{TIP}} = \frac{1}{T} \left[ q - \sum_{\alpha=1}^{\nu} J_\alpha \mu_\alpha \right].
\]

(3.19)

Since the density of supply rate of internal energy through thermal radiation (influx of internal energy) has been neglected in (3.5), the right-hand side of Equation (3.18) has to be the spatial representation of the entropy production density, \( ^*\Sigma = ^*\Sigma_{\text{TIP}} \), given by

\[
^*\Sigma_{\text{TIP}} = \frac{1}{T} T : \nabla v + \frac{p}{T} \text{div}(v) + q \cdot \nabla \left( \frac{1}{T} \right) - \sum_{\alpha=1}^{\nu} J_\alpha \cdot \nabla \left( \frac{\mu_\alpha}{T} \right) - \frac{1}{T} \sum_{\alpha=1}^{\nu} \tau_\alpha \mu_\alpha.
\]

(3.20)
3.4 Closure Relations

In the following, the right-hand side of the entropy production density (3.20) is manipulated via a tensor decomposition rule, resulting in an expression of the entropy production density which then is given as a sum with summands containing products of so called thermodynamic fluxes and thermodynamic forces. For this we consider the velocity gradient \( \nabla \mathbf{v} \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{3 \times 3}) \) and assume that it can be decomposed into a symmetric and a skew-symmetric part, \( \nabla \mathbf{v} = \mathbf{D} + \mathbf{W} \) with

\[
\mathbf{D} := \frac{1}{2}(\nabla \mathbf{v} + \nabla \mathbf{v}^\top), \quad \text{(symmetric part)}
\]

\[
\mathbf{W} := \frac{1}{2}(\nabla \mathbf{v} - \nabla \mathbf{v}^\top), \quad \text{(skew-symmetric part)}
\]

Furthermore, we require the notion of the deviator of the coordinate representation of a second order tensor field, which in our consideration is a locally integrable matrix valued function defined on the space-time domain \( \mathcal{U} \subset \mathbb{R} \times \mathbb{R}^d \). We denote the deviator of an arbitrary \( \mathbf{A} \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}) \) by \( \mathbf{A}^d \) and define it through the relation

\[
\mathbf{A}^d = \mathbf{A} - \frac{1}{\text{tr}(\mathbf{I})} \text{tr}(\mathbf{A}) \mathbf{I},
\]

required to hold almost everywhere on \( \mathcal{U} \), where \( \mathbf{I} \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}_{\text{sym}}) \) is the identity, cf. [2, p. 342]. Note that the deviator is trace-free, i.e. \( \text{tr}(\mathbf{A}^d) = 0 \). Using decomposition rule (3.22) we express the mixture stress tensor, which in our consideration equals \( \mathbf{T} \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{3 \times 3}_{\text{sym}}) \), and the velocity gradient \( \nabla \mathbf{v} \) through their deviatoric part and obtain

\[
\mathbf{T} = \mathbf{T}^d + \frac{1}{3} \text{tr}(\mathbf{T}) \mathbf{I},
\]

\[
\nabla \mathbf{v} = (\nabla \mathbf{v})^d + \frac{1}{3} \text{div}(\mathbf{v}) \mathbf{I},
\]

where in (3.24) we have used relation (3.2) for the divergence, i.e. \( \text{div}(\mathbf{v}) = \text{tr}(\nabla \mathbf{v}) \).

One can show that the operation of double contraction for two arbitrary matrix valued locally integrable mappings \( \mathbf{A}, \mathbf{B} \in L^1_{\text{loc}}(\mathcal{U}; \mathbb{R}^{d \times d}) \) satisfies the relation, cf. [74, p. 31]

\[
\mathbf{A} : \mathbf{B} = \frac{1}{2}(\mathbf{A} + \mathbf{A}^\top) : \frac{1}{2}(\mathbf{B} + \mathbf{B}^\top) + \frac{1}{2}(\mathbf{A} - \mathbf{A}^\top) : \frac{1}{2}(\mathbf{B} - \mathbf{B}^\top).
\]

Next we formulate the deviator of the symmetric velocity gradient \( \mathbf{D} \) (3.21a) and in order to conform with the notation used in classical continuum mechanics denote it by

\[
\mathbf{L}^d = \frac{1}{2}(\nabla \mathbf{v} + \nabla \mathbf{v}^\top) - \frac{1}{3} \text{div}(\mathbf{v}) \mathbf{I}.
\]

Since the mixture stress tensor is a symmetric tensor field, its double contraction with the velocity gradient gives

\[
\mathbf{T} : \nabla \mathbf{v} = \mathbf{T}^d : \mathbf{L}^d + \frac{1}{3} \text{tr}(\mathbf{T}) \text{div}(\mathbf{v}).
\]

Relation (3.27) can be proven with the help of relation (3.25) in combination with (3.26).
For continuum thermodynamical systems the second law of thermodynamics has been used to deduce that the entropy production density has to be nonnegative for all thermodynamic processes, \( \Sigma \geq 0 \) [19, Ch. III]. This statement is assumed to hold also for thermodynamic systems that do not satisfy the local equilibrium hypothesis of TIP, as is discussed in more detail in Section 3.6. Using (3.27) the entropy production density \( \Sigma_{TIP} \) (3.20) is rewritten and results in a form which is given as a sum. Its summands contain products of thermodynamic fluxes and thermodynamic forces, cf. [58, p. 174],

\[
\Sigma_{TIP} = \frac{1}{T} \mathbf{T}^d : \mathbf{L}^d - \frac{1}{T} \pi \text{div}(\mathbf{v}) + \mathbf{q} \cdot \nabla \left( \frac{1}{T} \right) - \sum_{\alpha=1}^{\nu} \mathbf{J}_\alpha \cdot \nabla \left( \frac{\mu_\alpha}{T} \right) - \frac{1}{T} \sum_{k=1}^{n} \left( \sum_{\alpha=1}^{\nu} \mu_\alpha \gamma_\alpha^k m_\alpha \right) A^k \geq 0.
\]

The term \( \pi \) appearing in (3.28) is called dynamic pressure, defined by the relation

\[
\pi = \frac{1}{3} \text{tr}(\mathbf{T}) + p,
\]

where \( p \) is the thermodynamic equilibrium pressure (3.11). The products appearing on the right-hand side of (3.28) are interpreted as follows [57, p. 81]:

**Thermodynamic Fluxes**

- Mixture heat flux vector, \( \mathbf{q} \);
- Mixture stress tensor deviator, \( \mathbf{T}^d \);
- Dynamic pressure, \( -\pi \);
- Diffusion flux vector, \( \mathbf{J}_\alpha \);
- Reaction rate density, \( A^k \);

**Thermodynamic Forces**

- Reciprocal temperature gradient, \( \nabla \left( \frac{1}{T} \right) \);
- Barycentric velocity gradient deviator, \( \mathbf{L}^d \);
- Divergence of barycentric velocity, \( \text{div}(\mathbf{v}) \);
- Gradient of chemical potential, \( \nabla \left( \frac{\mu_\alpha}{T} \right) \);
- Chemical affinity, \( \left( \sum_{\alpha=1}^{\nu} \mu_\alpha \gamma_\alpha^k m_\alpha \right) \).

In case of isotropic fluid mixtures the following constitutive relations, defining the thermodynamic fluxes to be homogeneous linear functions of the thermodynamic forces [13, p. 1789 f.], guarantee the non-negativity of the entropy production density \( \Sigma_{TIP} \) [58, p. 175]:

**Mixture stress tensor deviator**

\[
\mathbf{T}^d = 2\zeta \left[ \frac{1}{2} (\nabla \mathbf{v} + \nabla \mathbf{v}^\top) - \frac{1}{3} \text{div}(\mathbf{v}) \mathbf{I} \right];
\]

**Mixture heat flux vector**

\[
\mathbf{q} = \kappa T^2 \nabla \left( \frac{1}{T} \right) - \sum_{\beta=1}^{\nu} B_\beta \nabla \left( \frac{\mu_\beta}{T} \right);
\]
Diffusion fluxes

\[(3.30c)\quad \mathbf{J}_\alpha = B_\alpha \nabla \left( \frac{1}{T} \right) - \sum_{\beta=1}^{\nu} B_{\alpha\beta} \nabla \left( \frac{\mu_\beta}{T} \right) ;\]

Reaction rate densities

\[(3.30d)\quad \Lambda^k = - \sum_{b=1}^{n} L^{kb} \left( \sum_{\alpha=1}^{\nu} \mu_\alpha \gamma^b_\alpha m_\alpha \right) + L^k \text{div}(\mathbf{v}) ;\]

Dynamic pressure

\[(3.30e)\quad -\pi = \sum_{b=1}^{n} L^b \left( \sum_{\alpha=1}^{\nu} \mu_\alpha \gamma^b_\alpha m_\alpha \right) + \lambda \text{div}(\mathbf{v}) .\]

Constitutive relations (3.30b) and (3.30c) are generalizations of the laws of Fourier and Fick. The non-convective transport of internal energy caused by the gradients of the chemical potentials in (3.30b) is known as the Dufour-effect. The influence of the temperature gradient on the diffusion flux is called thermal diffusion. The phenomenological coefficients $B_\beta$, $B_{\alpha\beta}$ and $\kappa T^2$ are transport coefficients with respect to heat conduction and diffusion, while $L^b$ is interpreted as chemical viscosity \[57\]. The phenomenological coefficients $L^{kb}$ and $L^k$ appearing at the right-hand side of constitutive relation (3.30d) for the reaction rate densities $\Lambda^k$ and at the right-hand side of constitutive relation (3.30e), specifying the dynamic pressure ($-\pi$), are used to define the coefficients

\[(3.31)\quad \mathbb{L}_\alpha := \sum_{k=1}^{n} \gamma^k_\alpha m_\alpha L^k, \quad \mathbb{L}_{\alpha\beta} := \sum_{k=1}^{n} \sum_{b=1}^{n} \gamma^k_\alpha m_\alpha L^{kb} \gamma^b_\beta m_\beta ,\]

where the summation at the right-hand sides of (3.31) is over the number of independent chemical reactions. Formulated for $\alpha, \beta = 1, \ldots, \nu$ the coefficients (3.31) constitute the following two matrices which contain the phenomenological coefficients of the linear combinations where the thermodynamic fluxes are expressed as linear combination of the thermodynamic forces, see right-hand side of (3.30a) to (3.30e):

\[(3.32)\quad \begin{bmatrix} \kappa T^2 & B_1 & \cdots & B_\nu \\ B_1 & B_{1,1} & \cdots & B_{1,\nu} \\ \vdots & \vdots & \ddots & \vdots \\ B_\nu & B_{\nu,1} & \cdots & B_{\nu,\nu} \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} \lambda & -\mathbb{L}_1 & \cdots & -\mathbb{L}_\nu \\ -\mathbb{L}_1 & \mathbb{L}_{1,1} & \cdots & \mathbb{L}_{1,\nu} \\ \vdots & \vdots & \ddots & \vdots \\ -\mathbb{L}_\nu & \mathbb{L}_{\nu,1} & \cdots & \mathbb{L}_{\nu,\nu} \end{bmatrix} .\]

In order for the constitutive relations (3.30a) to (3.30e) to satisfy the inequality given by the entropy production density (3.28), i.e., to guarantee a non-negative entropy production density such that the resulting mathematical model does not violate the second law of thermodynamics, both matrices (3.32) have to be positive semi-definite and furthermore $\zeta \geq 0$, cf. \[57, 58\]. Note that the first matrix of (3.32) is symmetric and the submatrix of the second matrix specified by $\mathbb{L}_{\alpha\beta}$ is also symmetric, cf. \[13, p. 1790\]. Also note that the symmetry properties of these matrices reflect the Onsager-Casimir reciprocal relations which the phenomenological coefficients of the constitutive relations satisfy, see e.g. \[13, 45\].
Mixture Stress Tensor

In order to derive the closure relation for the mixture stress tensor $\mathbf{T}$ we combine Equation (3.23) with (3.29) and obtain the following relation for the mixture stress tensor

$$(3.33) \quad \mathbf{T} = \mathbf{T}^d - (\pi + p) \mathbf{I}. $$

The constitutive relation for the dynamic pressure $\pi$ specified by (3.30e) can be written in the following alternative form $-\pi = \sum_{\alpha=1}^{\nu} \mathbb{L}_\alpha \mu_\alpha + \lambda \text{div} (\mathbf{v})$. In combination with the constitutive equation for the mixture stress tensor deviator $\mathbf{T}^d$, which in (3.30a) is specified with respect to the three dimensional physical space, relation (3.33) results in the following constitutive equation for the stress tensor of the mixture

$$(3.34) \quad \mathbf{T} = (-p + \sum_{\alpha=1}^{\nu} \mathbb{L}_\alpha \mu_\alpha) \mathbf{I} + \lambda \text{div} (\mathbf{v}) \mathbf{I} + 2\zeta \left[ \frac{1}{2} (\nabla \mathbf{v} + (\nabla \mathbf{v})^\top) - \frac{1}{3} \text{div} (\mathbf{v}) \mathbf{I} \right],$$

where we once again have used the relation $\mathbf{v} = \frac{\mathbf{M}}{\sum_{\alpha=1}^{\nu} \rho_\alpha}$. We denote the viscosity part of the stress tensor of the mixture (3.34) by $\mathbf{S}$ such that (3.34) can be written as

$Mixture stress tensor

$$\mathbf{T} = (-p + \sum_{\alpha=1}^{\nu} \mathbb{L}_\alpha \mu_\alpha) \mathbf{I} + \mathbf{S},$$

$$\mathbf{S} = (\lambda - \frac{2\zeta}{3}) \text{div} (\mathbf{v}) \mathbf{I} + \zeta (\nabla \mathbf{v} + (\nabla \mathbf{v})^\top).$$

3.5 First Law of Thermodynamics and Energy Balance

In the following we reinterpret the energy balance and the entropy balance of classical continuum mechanics in order to show their relation to the first- and the second law of thermodynamics, respectively. For the sake of simplicity we follow [13] and assume that the differential balance laws for the mixture are of the same form as the corresponding differential balances of the one-component system, cf. [76, p. 119 f.]. We start this section by briefly recalling the notion of an integral balance law in spatial representation. For a rigorous development of the theory of balance laws based on first principles see e.g. [29].

Let $\Omega \subset \mathbb{R}^d$ be a domain and let $\mathcal{U} \subset \mathbb{R} \times \Omega$ be an open set containing $\{\lambda\} \times \Omega$, with $\lambda \in \mathbb{R}$. Let $\mathbf{v} \in C^k(\mathcal{U};\mathbb{R}^d)$ be a given time-dependent spatial velocity vector field, $k \geq 1$. The collection of all maps $\chi_{t,\lambda}$ defined by the requirement that for each $\lambda$ and $x \in \Omega$ the map $t \mapsto \chi_{t,\lambda}(x) := \chi(t; \lambda, x)$ is an integral curve of $\mathbf{v}$ starting at $x$ at time $t = \lambda$, i.e.

$$\frac{d\chi_{t,\lambda}(x)}{dt} = \mathbf{v}(t, \chi_{t,\lambda}(x)) \quad \text{with} \quad \chi_{\lambda,\lambda}(x) = x,$$

is called time-dependent flow or evolution operator of $\mathbf{v}$ [2, Ch. 4 f.]. Usually, one writes $\chi_t = \chi_{t,0}$ and considers $x \in \Omega$ as the position of some fluid particle at initial time $\lambda = 0$.

Let $\mathcal{I}$ be a time interval containing the initial time (here $\lambda = 0$) for which the flow of $\mathbf{v}$ starting at $\lambda$ is defined. By an admissible subset we mean a bounded measurable set for which the notion of a boundary is defined, see e.g. [16]. Let $\mathcal{V} \subset \Omega$ be an admissible subset. Denote by $\chi_t(\mathcal{V}) := \{\chi(t; x) \in \mathbb{R}^d \mid \text{for some } x \in \mathcal{V}\}$ its image under the flow of $\mathbf{v}$ at time
parameter \( t \in \mathbb{I} \), and let \( \partial \chi_t(V) \) denote its boundary. In classical continuum physics, a general integral balance law in spatial description is a family of integral identities, cf. [17]

\[
\int_{\chi_t(V)} \psi \, dv \bigg|_{t_1}^{t_2} = -\int_{t_1}^{t_2} \oint_{\partial \chi_t(V)} \mathbf{F} \cdot \mathbf{n} \, da dt + \int_{t_1}^{t_2} \int_{\chi_t(V)} \sigma \, dv dt,
\]

required to hold for any admissible subset \( V \) of \( \Omega \) and any subinterval \((t_1, t_2)\) of \( \mathbb{I} \) with \( t_1 \leq t_2 \). The scalar function \( \psi(t, \cdot) \) is the volume-specific (i.e. per unit volume) density of some extensive observable, \( \sigma(t, \cdot) \) represents the rate of total production per unit volume, the vector field \( \mathbf{F}(t, \cdot) \) is the total flux and \( \mathbf{n} \) is the outer normal vector to \( \partial \chi_t(V) \).

Note that in literature related to classical continuum mechanics the general integral balance is typically presented in a slightly different form, namely as the following family of time-dependent integral identities [38, 40, 56]

\[
\frac{d}{dt} \int_{\chi_t(V)} \psi \, dv = -\oint_{\partial \chi_t(V)} \mathbf{F} \cdot \mathbf{n} \, da + \int_{\chi_t(V)} \sigma \, dv,
\]

where the left-hand side is required to be a \( t \)-differentiable mapping, \( t \mapsto \int_{\chi_t(V)} \psi(t, \cdot) \, dv \). Also note that the vector field \( \mathbf{F}(t, \cdot) \) appearing in (3.36) is the non-convective flux, and hence differs from the total flux vector field \( \mathbf{F}(t, \cdot) \) of (3.35).

Suppose that the conditions for the validity of the transport theorem are satisfied, cf. [8, Thm. 2.11 & Rem. 2.15] and [2, 56]. Then the left hand-side of (3.36) holds

\[
\frac{d}{dt} \int_{\chi_t(V)} \psi(t, \cdot) \, dv = \int_{\chi_t(V)} \left[ \partial_t \psi(t, \cdot) + \text{div} \left( \psi(t, \cdot) \mathbf{v}(t, \cdot) \right) \right] \, dv \quad \text{for } t \in \mathbb{R}.
\]

Let the boundary of \( \chi_t(V) \) move with the velocity \( \mathbf{v} \). Neglecting jump discontinuities on singular surfaces and under the assumption that the fields are regular enough such that the divergence theorem [40, p. 124 f.] (or the Gauss-Green theorem [16]) can be applied, the right-hand side of (3.37) is rewritten and we obtain

\[
\frac{d}{dt} \int_{\chi_t(V)} \psi \, dv = \int_{\chi_t(V)} \partial_t \psi \, dv + \oint_{\partial \chi_t(V)} \left( \psi \mathbf{v} \right) \cdot \mathbf{n} \, da,
\]

where the term \( \left( \psi \mathbf{v} \right)(t, \cdot) \) is the convective flux, see Section 3.1. By means of (3.38) integral balance (3.36) becomes

\[
\int_{\chi_t(V)} \partial_t \psi \, dv = -\oint_{\partial \chi_t(V)} \left( \psi \mathbf{v} + \mathbf{F} \right) \cdot \mathbf{n} \, da + \int_{\chi_t(V)} \sigma \, dv,
\]

where the relation to the total flux in (3.35) is given by \( \mathbf{F} = (\psi \mathbf{v} + \mathbf{F}) \). On the other hand, by means of the transport theorem (3.37) integral balance (3.36) can be reformulated into

\[
\int_{\chi_t(V)} \left( \partial_t \psi + \text{div} \left( \psi \mathbf{v} + \mathbf{F} \right) - \sigma \right) \, dv = 0,
\]
required to hold for all admissible subsets $V$ of $\Omega$ and all $t \in \mathbb{I}$. From this follows the partial differential equation

$$\partial_t \psi + \text{div} \left( \psi \mathbf{v} + \mathbf{F} \right) - \sigma = 0,$$

if singular surfaces are not taken into account. Now we are ready to connect the integral total energy balance in spatial representation with the first law of thermodynamics. For this we introduce the quantities:

- $e$ internal energy per unit mass
- $r$ influx of internal energy per unit mass
- $f$ influx of linear momentum per unit mass (mass-specific body forces)

The mass-specific internal energy $e(t, \cdot)$ is related to the volume-specific internal energy density $u(t, \cdot)$ via the mass density, $\rho e = u$. Similarly, the mass-specific body forces $f(t, \cdot)$ are related to the influx density of linear momentum $b(t, \cdot)$ (3.4) via $\rho f = b$.

Formulated for the total energy, integral balance (3.36) takes the form

$$\frac{d}{dt} \int_{\chi_i(V)} \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \, dv = - \int_{\partial \chi_i(V)} \left( \mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v} \right) \cdot \mathbf{n} \, da + \int_{\chi_i(V)} \rho (f \cdot \mathbf{v} + r) \, dv,$$

where $(\rho f \cdot \mathbf{v})(t, \cdot)$ is called the influx of kinetic energy and the term $(\rho r)(t, \cdot)$ is called influx of internal energy. These terms describe the rate of change of kinetic and internal energy through the influx of mechanical and non-mechanical power, respectively.

We introduce the following terminologies:

- $\mathcal{R}(t) = \int_{\chi_i(V)} \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} \, dv$, (kinetic energy)
- $\mathcal{E}(t) = \int_{\chi_i(V)} \rho e \, dv$, (internal energy)
- $\mathcal{Q}(t) = \int_{\partial \chi_i(V)} - \mathbf{q} \cdot \mathbf{n} \, da + \int_{\chi_i(V)} \rho r \, dv$, (non-mechanical power)
- $\mathcal{W}(t) = \int_{\partial \chi_i(V)} (\mathbf{T}^\top \cdot \mathbf{v}) \cdot \mathbf{n} \, da + \int_{\chi_i(V)} \rho f \cdot \mathbf{v} \, dv$, (mechanical power)

which we use to write the integral total energy balance (3.39) in form of the first law of thermodynamics, see e.g. [40, Ch. 2.3] and [76]

$$\frac{d}{dt} (\mathcal{E} + \mathcal{R}) = \mathcal{Q} + \mathcal{W}.$$ 

With the help of the transport-theorem (3.37) the left hand-side of (3.39) is transformed into the following family of integral identities

$$\frac{d}{dt} \int_{\chi_i(V)} \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \, dv = \int_{\chi_i(V)} \partial_t (\rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v})) \, dv + \int_{\partial \chi_i(V)} \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \mathbf{v} \cdot \mathbf{n} \, da. \tag{3.40}$$
Due to notational convenience we denote the total energy density by \( \mathcal{E} = \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \).

Then with (3.40) total energy balance (3.39) is rewritten into

\[
\int_{\chi_t(V)} \partial_t \mathcal{E} \, dv = - \oint_{\partial \chi_t(V)} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) \cdot \mathbf{n} \, da + \int_{\chi_t(V)} \rho (\mathbf{f} \cdot \mathbf{v} + r) \, dv.
\]

On the other hand we have the family of integral identities

\[
\int_{\chi_t(V)} \left( \partial_t \mathcal{E} + \text{div} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) \right) \, dv = 0,
\]

required to hold for all admissible subsets \( V \) of \( \Omega \) and all \( t \in \mathbb{I} \). Hence it follows that

\[
(3.41) \quad \partial_t \mathcal{E} + \text{div} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) - \rho (\mathbf{f} \cdot \mathbf{v} + r) = 0.
\]

Next we integrate (3.41) with respect to an admissible domain \( V \) that is fixed in time and by means of the divergence theorem (or Gauss-Green theorem) obtain

\[
(3.42) \quad \int_V \partial_t \mathcal{E} \, dx = - \int_{\partial V} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) \cdot \mathbf{n} \, dA + \int_V \rho (\mathbf{f} \cdot \mathbf{v} + r) \, dx.
\]

We use the generalized spatial material time derivative [33] that relates the partial time derivative with the total time derivative. Note that this relation, that we mentioned in Section 3.3, reflects a special case of the Lie derivative of a time-dependent tensor field with respect to a time-dependent vector field, see [40, p. 95 f.]. In case of the total energy density and restricted to a fixed observation point (think of an observer sitting on \( V \)), the generalized spatial material time derivative allows us to express the integrand of the integral at the left hand side of (3.42) by means of the total time derivative such that

\[
\int_V \frac{d\mathcal{E}}{dt} \, dx = - \int_{\partial V} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) \cdot \mathbf{n} \, dA + \int_V \rho (\mathbf{f} \cdot \mathbf{v} + r) \, dx.
\]

The total time derivative can be pulled out of the integral in accordance to the integral transformation rule [33, p. 22] such that

\[
(3.43) \quad \frac{d}{dt} \int_V \mathcal{E} \, dx = - \int_{\partial V} \left( \mathcal{E} \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right) \cdot \mathbf{n} \, dA + \int_V \rho (\mathbf{f} \cdot \mathbf{v} + r) \, dx.
\]

Using definition \( \mathcal{E} = \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \) we rewrite (3.43) into the equivalent form

\[
\frac{d}{dt} \int_V \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \, dx = - \int_{\partial V} \left[ \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \mathbf{v} + \mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v} \right] \cdot \mathbf{n} \, dA + \int_V \rho (\mathbf{f} \cdot \mathbf{v} + r) \, dx,
\]

which in case of neglected influx terms simplifies to

\[
(3.44) \quad \frac{d}{dt} \int_V \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \, dx = - \int_{\partial V} \left[ \rho (e + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}) \mathbf{v} + \mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v} \right] \cdot \mathbf{n} \, dA.
\]

Using the relation between the spatial linear momentum density and the spatial velocity, \( \mathbf{M} = \rho \mathbf{v} \), as well as the relation between mass-specific and volumetric internal energy, \( \rho e = u \), we rewrite integral total energy balance (3.44) and obtain the following equivalent total energy balance with neglected influx terms

\[
(3.45) \quad \frac{d}{dt} \int_V \left( \frac{\mathbf{M} \cdot \mathbf{v}}{2} + u \right) \, dx = - \int_{\partial V} \left[ \left( \frac{\mathbf{M} \cdot \mathbf{v}}{2} + u \right) \mathbf{v} + (\mathbf{q} - \mathbf{T}^\top \cdot \mathbf{v}) \right] \cdot \mathbf{n} \, dA,
\]

which is in accordance to Equation (38) in [64].
3.6 Second Law of Thermodynamics and Entropy Balance

As mentioned in Section 3.4, the linear closure relations of TIP guarantee a non-negative entropy production density $\Sigma$. In [19, Ch. III] it has been shown that the local mathematical representation of the second law of thermodynamics has the form of the differential balance law (3.5d), with a non-negative entropy production density $\Sigma \geq 0$. Hence, the constitutive relations of TIP are in accordance with the second law of thermodynamics. However, this statement is restricted to the local equilibrium hypothesis of TIP. A generalization can be achieved through the entropy principle used in different continuum thermodynamic theories [13, 38, 39, 56, 57]. The entropy principle is also supported by the kinetic theory of gases [70]. These continuum theories in contrast to the phenomenological theory of TIP are not based on the local equilibrium hypothesis. In these theories the differential entropy balance law is postulated and the so called entropy principle is exploited. According to this principle, the entropy production density $\Sigma$ has to become non-negative for every thermodynamic process [38, 39, 56]. For the measure theoretical foundations of the second law of thermodynamics and a generalization of the Clausius-Duhem inequality see e.g. [42]. It has been shown that the linear closure relations of TIP can be obtained in the framework of the constitutive theory of these thermodynamic continuum theories, where they represent a special case [13, Sec. 13 f.].

Motivated by the entropy principle and under the assumption that the balance laws of the mixture are of the same form as the corresponding balance laws of the single-body system, we consider the differential entropy balance equation (3.5d) and as before formulate the integral with respect to an admissible bounded domain that does not change in time

$$\frac{d}{dt} \int_V s \, dx = -\int_{\partial V} (s \mathbf{v} + \Phi) \cdot \mathbf{n} \, dA + \int_V \Sigma \, dx.$$

(3.46)

In accordance with the entropy principle we require the entropy production density appearing at the right-hand side of the integral entropy balance (3.46) to becomes nonnegative, i.e. $\Sigma \geq 0$ for all thermodynamic processes, from which we obtain the inequality

$$\frac{d}{dt} \int_V s \, dx \geq -\int_{\partial V} (s \mathbf{v} + \Phi) \cdot \mathbf{n} \, dA.$$

(3.47)

In case of the fluid mixture considered in this work, the non-convective flux and the entropy production density, derived in the framework of the phenomenological theory of TIP, are of the form $\Phi = \Phi^{\text{TIP}}$ and $\Sigma = \Sigma^{\text{TIP}}$ given by (3.19) and (3.20), respectively. With these relations, the non-convective flux term and the entropy production density of the general entropy balance inequality (3.47) are specified, resulting in

$$\frac{d}{dt} \int_V s \, dx \geq -\int_{\partial V} \left[ s \mathbf{v} + \frac{1}{T} \left( \mathbf{q} - \sum_{\alpha=1}^{\nu} \mathbf{J}_{\alpha} \mu_{\alpha} \right) \right] \cdot \mathbf{n} \, dA.$$

(3.48)

In view of the preceding considerations we conclude that a proper mathematical model of the fluid mixture in the Operator-GENERIC framework has to reflect the first law of thermodynamics in form of the energy balance (3.45) and the second law of thermodynamics in form of the balance inequality (3.47), which for isolated systems reflect energy conservation (2.3a) and entropy production (2.3b), respectively.
3.7 Summary of Important Formulas

In the following we summarize the relations for the two different compositions of the state variable considered in this work, distinguished in form of two cases. For these cases, the state evolution equations maybe different but the closure relations for the mixture stress tensor $\mathbf{T}$, the heat flux vector $\mathbf{q}$, the diffusion fluxes $\mathbf{J}_\alpha$, and the reaction rate density $\Lambda^k$ with respect to the $k$-th reaction are always given by the constitutive equations (3.34), (3.30b), (3.30c), and (3.30d), respectively. We start with the first case where the (internal) energy of the system constitutes the thermodynamic potential, followed by the second case where the entropy of the system constitutes the thermodynamic potential.

Energy as Thermodynamic Potential

Consider the entropy density $s$ as one of the independent state variables. The evolution of the macroscopic state $\mathbf{z} \in \mathcal{Z}$ is described through the time evolution and spatial distribution of the state variables associated with $\mathbf{z}$ through relation (3.10). The corresponding governing equations, which we call state evolution equations, are given by the following field equations:

\begin{align}
\frac{\partial \rho_\alpha}{\partial t} &= -\text{div}(\rho_\alpha \mathbf{v} + \mathbf{J}_\alpha) + \tau_\alpha, \quad (\alpha = 1, \ldots, \nu) \\
\frac{\partial \mathbf{M}}{\partial t} &= -\text{div}(\mathbf{M} \otimes \mathbf{v} - \mathbf{T}) \\
\frac{\partial s}{\partial t} &= -\text{div}(s \mathbf{v} + s^\Phi \text{TIP}) + s^\Sigma \text{TIP},
\end{align}

\begin{align}
^\Phi \text{TIP} &= \frac{1}{T} \left[ \mathbf{q} - \sum_{\alpha=1}^{\nu} \mathbf{J}_\alpha \mu_\alpha \right], \\
^\Sigma \text{TIP} &= \mathbf{q} \cdot \nabla \left( \frac{1}{T} \right) + \frac{1}{T} \left( \mathbf{T} : \nabla \mathbf{v} + p \text{div} \mathbf{v} \right) - \sum_{\alpha=1}^{\nu} \left( \mathbf{J}_\alpha \cdot \nabla \left( \frac{\mu_\alpha}{T} \right) + \tau_\alpha \frac{\mu_\alpha}{T} \right) \geq 0.
\end{align}

Note that the barycentric velocity is given by the relation $\mathbf{v} = \mathbf{M} / \sum_{\alpha=1}^{\nu} \rho_\alpha$, and the mass production densities $\tau_\alpha$ by (3.6). The internal energy density $u = u(\rho_1, \ldots, \rho_\nu, s)$ is a thermodynamic constitutive relation and has to be specified with respect to the concrete problem under consideration. Then the absolute temperature field $T$ and the chemical potentials $\mu_\alpha$ become accessible through the following identity relations

\begin{align}
T &= \frac{\partial u}{\partial s}, \quad \mu_\alpha = \frac{\partial u}{\partial \rho_\alpha},
\end{align}

with $\alpha = 1, \ldots, \nu$. The thermodynamic constitutive relation for the thermodynamic equilibrium pressure $p$ (3.11) then takes the form

\begin{align}
p = -u + \left( \frac{\partial u}{\partial s} \right) s + \sum_{\alpha=1}^{\nu} \left( \frac{\partial u}{\partial \rho_\alpha} \right) \rho_\alpha.
\end{align}

Entropy as Thermodynamic Potential

Consider the internal energy density $u$ as one of the independent state variables. The evolution of the macroscopic state $\mathbf{z} \in \mathcal{Z}$ is described through the evolution of the state variables
associated with \( z \) through relation (3.9). The corresponding state evolution equations are:

**State Evolution Equations**

\[
\begin{align*}
\partial_t \rho_\alpha &= - \text{div} (\rho_\alpha \mathbf{v} + \mathbf{J}_\alpha) + \tau_\alpha, \quad (\alpha = 1, \ldots, \nu) \\
\partial_t \mathbf{M} &= - \text{div} (\mathbf{M} \otimes \mathbf{v} - \mathbf{T}), \\
\partial_t \mathbf{u} &= - \text{div} (\mathbf{u} \mathbf{v} + \mathbf{q}) + \mathbf{T} : \nabla \mathbf{v}.
\end{align*}
\]

The entropy density \( s = s(\rho_1, \ldots, \rho_\nu, \mathbf{u}) \) is a thermodynamic constitutive relation and has to be specified with respect to the concrete problem under consideration. Then the absolute temperature field \( T \) and the chemical potentials \( \mu_\alpha \) become accessibly through relations (3.8). The constitutive relation for the thermodynamic equilibrium pressure \( p \) (3.11) takes the form, cf. [26, Eq. 29],

\[
p = -u + \left( \frac{\partial s}{\partial u} \right)^{-1} \left[ s - \sum_{\alpha=1}^{\nu} \left( \frac{\partial s}{\partial \rho_\alpha} \right) \rho_\alpha \right].
\]

## 4 TIP as Operator-GENERIC Formulation

In Section 3 we presented the field equations and closure relations for the fluid mixture consisting of \( \nu \in \mathbb{N}, \nu \geq 2 \) constituents. In this section we introduce the weak formulations of the corresponding differential balance equations and show that in the operator setting they are encoded in the Operator-GENERIC formulation of the mixture mentioned in Section 2. We will consider the two cases summarized in Section 3.7, viz. the case where the energy of the system constitutes the thermodynamic potential, and the case where entropy represents the thermodynamic potential. The evolution equations that we are looking for are given by (2.12) and (2.10) depending on whether we consider isolated or open systems, respectively. The associated operator \( \mathcal{J} \) and \( \mathcal{R} \) should satisfy the properties stated in Section 2.

We start with the case where energy constitutes the thermodynamic potential. To derive the weak formulation of the corresponding partial differential equations (3.49) we have to treat time and space separately [85, Ch. 23.1]. Let \( \mathbb{I} \) be the considered time interval and \( \Omega \) be a time-independent, bounded domain with Lipschitz boundary [83, p. 232]. Then the state variable

\[
\mathbf{z} = [\rho_1 \ldots \rho_\nu \quad \mathbf{M}^\top \quad s]^\top
\]

maps from \( \mathbb{I} \) into the open subset

\[
\mathcal{Z} := \{ \mathbf{z} \in \mathcal{D}_\mathbf{z} \mid \sum_{\alpha=1}^{\nu} \rho_\alpha \geq \varrho \text{ allmost everywhere for a } \varrho > 0 \}
\]

of the space \( \mathcal{D}_\mathbf{z} \) which will be a Cartesian product of \( W^{1,3}(\Omega) \) and its subspaces which will be defined later. The space \( \mathcal{D}_\mathbf{z} \) contains implicitly the dependence on the spatial coordinates. Note that, \( \mathcal{Z} \) is equal to the set of all \( \mathcal{D}_\mathbf{z} \)-function with \( \rho = \sum_{\alpha=1}^{\nu} \rho_\alpha \) be almost everywhere greater or equal zero and its reciprocal be an element of \( L^\infty(\Omega) \). Furthermore, the subspaces of the space \( W^{1,3}(\Omega) \) which define \( \mathcal{D}_\mathbf{z} \) are chosen such that \( \mathbf{v} = \mathbf{M}/\rho \) and its derivative given by \( \nabla \mathbf{v} = (\rho \nabla \mathbf{M} - \mathbf{M} \otimes \nabla \rho)/\rho^2 \) are component-wise elements of \( L^p(\Omega) \) for every \( p < 3 \) by the
continuous embedding of $W^{1,3}(\Omega)$ into $L^q(\Omega)$, $1 \leq q < \infty$, \cite[Cor. 5.13]{3}. Therefore, $v, v \cdot v$, and $M \cdot v$ are also $W^{1,3}$ functions, if one assumes the densities $\rho_\alpha$ and the momentum $M$ to be slightly more regular, such that they are elements of $W^{1,3+\varepsilon}(\Omega)$ with arbitrary $\varepsilon > 0$. This will be of importance, since the functional derivative of the energy and entropy have to be slightly more regular, such that they are elements of $W^{1,3+\varepsilon}(\Omega)$ with arbitrary $\varepsilon > 0$. Out of the same reason, we choose $s \in W^{1,3}(\Omega)$, since the gradient of $\mu_\alpha = \mu_\alpha(\rho_1, \ldots, \rho_\alpha, s)$ and therefore $\nabla s$ should be in the same space as the gradient of $\rho_\alpha$. To derive the weak formulation as well as to get rid of the second derivatives, which are hidden in the derivatives of $q, J_\alpha$, and $T$, cf. (3.30), we multiply the equations of (3.49) with an arbitrary test function

$$\varphi = [\varphi_{\rho_1}, \ldots, \varphi_{\rho_\nu}, \varphi_M^T, \varphi_s]_T \in [C^\infty(\Omega) \cap W^{1,3}(\Omega)]^{\nu+4}.$$  

Using then integration by parts we obtain

\begin{alignat}{3}
&\langle \varphi_{\rho_\alpha}, \partial_t \rho_\alpha \rangle = \int_\Omega (\rho_\alpha v + J_\alpha) \cdot \nabla \varphi_{\rho_\alpha} + \tau_\alpha \varphi_{\rho_\alpha} \, dx - \int_{\partial \Omega} n \cdot (\rho_\alpha v + J_\alpha) \varphi_{\rho_\alpha} \, dA, \\
&\langle \varphi_M, \partial_t M \rangle = \int_\Omega (M \otimes v) : \nabla \varphi_M + \varphi_M \cdot \nabla \left( -p + \sum_{\alpha=1}^\nu \mathbb{L}_\alpha \mu_\alpha \right) + S : \nabla \varphi_M \, dx \\
&\quad - \int_{\partial \Omega} n \cdot (M \otimes v - S) \cdot \varphi_M \, dA, \\
&\langle \varphi_s, \partial_t s \rangle = \int_\Omega s v \cdot \nabla \varphi_s + q \cdot \nabla \left( \frac{\varphi_s}{T} \right) + S : \nabla \varphi_s \\
&\quad - \sum_{\alpha=1}^\nu \left( J_\alpha \cdot \nabla \left( \frac{\mu_\alpha \varphi_s}{T} \right) + \left( \tau_\alpha - \mathbb{L}_\alpha \text{div}(v) \right) \left( \frac{\mu_\alpha \varphi_s}{T} \right) \right) \, dx \\
&\quad - \int_{\partial \Omega} n \cdot \left( s v \varphi_s + \left( \frac{\varphi_s}{T} \right) \left[ q - \sum_{\alpha=1}^\nu J_\alpha \mu_\alpha \right] \right) \, dA,
\end{alignat}

with $\alpha = 1, \ldots, \nu$. Equation (4.3a) corresponds to (3.49a) as well as (4.3b) to (3.49b) and (4.3c) to (3.49c). Note that in (4.3c) the identity relations (3.16) and (3.17) have been used. We require that (4.3) is satisfied almost everywhere on $I$, where the time derivative of $z$ is understood in the weak sense, i.e., $z(t) \in D_z^\varepsilon$ for almost every $t \in I$ and $\|z\|_{D_z^\varepsilon}$ is at least an element of $L^1_{\text{loc}}(I)$, see \cite[Ch. 23.5]{85}. Note that in general the weak formulation is the sum of all equations of (4.3). But, since the test function $\varphi$ can be chosen arbitrarily, one can vary one entry of $\varphi$ while setting the other ones to zero.

Since $C^\infty(\Omega) \cap W^{1,3}(\Omega)$ is dense in $W^{1,3}(\Omega)$, see \cite[Th. 3.17]{3}, system (4.3) is also satisfied for arbitrary $\varphi \in W^{1,3}(\Omega)$ if the coefficient functions behave well in $z$. Therefore, one can reinterpret the weak formulation as an operator equation stated in the dual space of $D_z^\varepsilon$ tested with an arbitrary $\varphi \in D_z^\varepsilon$. For further details we refer to \cite[Ch. 23.1]{85}.

The aim is now to develop GENERIC formulations (2.12) and (2.11) such that among other things they encode the weak formulation for an open and isolated system, respectively. However, in both cases we need an energy functional $H$ for the Hamiltonian part and a total entropy functional $S$ for the dissipative part. Note that the internal energy $u$ is here a thermodynamic potential and the entropy $s$ a state variable. For the case that the roles of $u$ and $s$ are interchanged we refer to Section 4.3.

We choose $H$ as the physical energy function over the domain $\Omega$ of a fluid mixture given
by the sum of kinetic and internal energy,

\[
H(z) = \int_\Omega \frac{M(x) \cdot M(x)}{2} \sum_{\alpha=1}^{\nu} \rho_\alpha(x) \, dx + \int_\Omega u(\rho_1, \ldots, \rho_\nu, s)(x) \, dx,
\]

and the total entropy functional \( S \) is chosen as spatial integral of the entropy

\[
S(z) = \int_\Omega s(x) \, dx.
\]

The functional derivative of \( H \) and \( S \) can be calculated with the help of (3.50) such that

\[
\frac{\delta H}{\delta z} = \begin{bmatrix} -\frac{v \cdot v}{2} + \mu_1 & \cdots & -\frac{v \cdot v}{2} + \mu_\nu \end{bmatrix}^T \quad \text{and} \quad \frac{\delta S}{\delta z} = \begin{bmatrix} 0 & \cdots & 0 & 0 \end{bmatrix}^T,
\]

where we again have used \( v = M / \sum_{\alpha=1}^{\nu} \rho_\alpha \).

4.1 Isolated Systems

We consider at first the case of an isolated system such that there is no interaction between the system and its environment, i.e., convective and non-convective fluxes through the boundary \( \partial \Omega \) are assumed to be zero. In this situation, the normal components of the barycentric velocity \( v \), the non-convective heat flux \( q \), the diffusion fluxes \( J_\alpha \), and the viscosity part of the stress tensor \( S \) have to vanish at the boundary. Note that if \( v \cdot n \) vanishes at the boundary, then also the product of \( v \) and an arbitrary \( W^{1,3} \)-function, especially \( M = \sum_{\alpha=1}^{\nu} \rho_\alpha v \). Consequently, we choose \( M \in W^{1,3}_N(\Omega) := \{ \phi \in W^{1,3}(\Omega)^3 \mid \phi \cdot n |_{\partial \Omega} = 0 \} \) such that the boundary condition for the mixture linear momentum is fulfilled automatically. As underlying space for the unknowns associated with \( z \) where \( z(t) \in Z \) almost every time we choose

\[
D_z := W^{1,3}(\Omega) \times W^{1,3}_N(\Omega) \times W^{1,3}(\Omega).
\]

Note that under the condition of smooth data, \( \frac{\delta H}{\delta z} \) and \( \frac{\delta S}{\delta z} \) are also elements of \( D_z \).

For the description of the dynamics for an isolated system we have to define the linear operators \( J^{(E)} \) as well as \( R^{(E)} \). We assume that the coefficients appearing in the operators \( J^{(E)}(z) \) and \( R^{(E)}(z) \) behave well in \( z \in D_z \) such that both operators map continuously from \( W^{1,3}(\Omega)^{\nu+4} \) in its dual space. Note that, \( D_z \) is a subspace of \( W^{1,3}(\Omega)^{\nu+4} \) and therefore \( J^{(E)}(z), R^{(E)}(z) \) are defined in a more general setting. The operator \( J^{(E)}(z) \) associated to the conservative part is given by

\[
J^{(E)}(z) = \begin{bmatrix}
0 & \cdots & 0 & J_{\rho_1,M}^{(E)} & 0 \\
\vdots & \ddots & \vdots & \vdots & \vdots \\
0 & \cdots & 0 & J_{\rho_\nu,M}^{(E)} & 0 \\
J_{M,\rho_1}^{(E)} & \cdots & J_{M,\rho_\nu}^{(E)} & J_{M,M}^{(E)} & J_{M,s}^{(E)} \\
0 & \cdots & 0 & J_{s,M}^{(E)} & 0
\end{bmatrix},
\]
where the components of $J^{(E)}(z)$ are defined by

\begin{align}
\langle \varphi_{\rho_{\alpha}}; J^{(E)}_{\rho_{\alpha}} \psi_{\sigma} \rangle &= -\langle \psi_{\sigma}; J^{(E)}_{\rho_{\alpha}} \varphi_{\rho_{\alpha}} \rangle = \int_{\Omega} \rho_{\alpha} (\psi_{\sigma} \cdot \nabla) \varphi_{\rho_{\alpha}} - (\psi_{\rho_{\alpha}} \cdot \nabla) (\varphi_{\rho_{\alpha}} \chi_{\alpha}) dx, \\
\langle \varphi_{\rho_{\alpha}}; J^{(E)}_{\rho_{\alpha}} \psi_{\sigma} \rangle &= -\langle \psi_{\sigma}; J^{(E)}_{\rho_{\alpha}} \varphi_{\rho_{\alpha}} \rangle = \int_{\Omega} \rho_{\alpha} (\psi_{\sigma} \cdot \nabla) \varphi_{\rho_{\alpha}} - (\psi_{\rho_{\alpha}} \cdot \nabla) (\varphi_{\rho_{\alpha}} \chi_{\alpha}) dx, \\
\langle \varphi_{\sigma}; J^{(E)}_{\sigma} \psi_{\rho_{\alpha}} \rangle &= -\langle \psi_{\rho_{\alpha}}; J^{(E)}_{\sigma} \varphi_{\sigma} \rangle = \int_{\Omega} \rho_{\sigma} (\psi_{\rho_{\alpha}} \cdot \nabla) \varphi_{\sigma} - (\psi_{\sigma} \cdot \nabla) (\varphi_{\sigma} \chi_{\rho_{\alpha}}) dx,
\end{align}

with $\alpha = 1, \ldots, \nu$ and $\varphi, \psi \in W^{1,3}(\Omega)^{\nu+4}$. The functions $\chi_{\alpha} = \chi_{\alpha}(\rho_{1}, \ldots, \rho_{\nu}, s)$, $\alpha = 1, \ldots, \nu$, are defined in (3.31) and are assumed to behave well in $z$ such that $\chi_{\alpha} \in W^{1,p}(\Omega)$, $p > 1$, which would lead to $(\psi_{\alpha} \cdot \nabla) (\varphi_{\rho_{\alpha}} \chi_{\alpha}) \in L^{q}(\Omega)$ with $q > 1$, cf. [3, Lem. 5.12]. To show that the Hamiltonian part can be described by $J^{(E)}$, we prove its skew-adjointness and also the non-interacting condition in the following lemma.

**Lemma 4.1.** The operator $J^{(E)}(z)$ from (4.8) and (4.9) is skew-adjoint on $W^{1,3}(\Omega)^{\nu+4}$. Furthermore, it satisfies the non-interacting condition

\begin{align}
J^{(E)}(z) \frac{\delta S}{\delta z}(z) = 0.
\end{align}

**Proof.** Since the bilinear form associated with $J^{(E)}(z)$ can be written as

\begin{align}
\langle \varphi, J^{(E)} \psi \rangle &= \int_{\Omega} \varphi_{\rho_{\alpha}} \cdot \chi_{\alpha} (\psi_{\rho_{\alpha}} - (\psi_{\sigma} \cdot \nabla) \varphi_{\rho_{\alpha}}) + \sum_{\alpha=1}^{\nu} \chi_{\alpha} (\psi_{\rho_{\alpha}} \cdot \nabla) (\varphi_{\rho_{\alpha}} \chi_{\alpha}) dx, \\
&= \int_{\Omega} \rho_{\alpha} (\psi_{\sigma} \cdot \nabla) \varphi_{\rho_{\alpha}} - (\psi_{\rho_{\alpha}} \cdot \nabla) (\varphi_{\rho_{\alpha}} \chi_{\alpha}) dx,
\end{align}

the first statement follows by Lemma 2.2 and the second by the form of $\frac{\delta S}{\delta z}$ given in (4.6). $\square$

The Hamiltonian part $J^{(E)}(z) \frac{\delta H}{\delta z}$ describes the reversible dynamics or in different words the lossless transformation (conservation) of energy, whereas the dissipative part $R^{(E)}(z)$ given by

\begin{align}
R^{(E)}(z) = \begin{bmatrix}
R^{(E)}_{\rho_{1}, \rho_{1}} & \cdots & R^{(E)}_{\rho_{1}, \rho_{\nu}} \\
\vdots & \ddots & \vdots \\
R^{(E)}_{\rho_{\nu}, \rho_{1}} & \cdots & R^{(E)}_{\rho_{\nu}, \rho_{\nu}}
\end{bmatrix}
\end{align}

reflects the irreversible dynamics. Here the non-zero components of $R^{(E)}(z)$ are given by

\begin{align}
\langle \varphi_{\rho_{\alpha}}; R^{(E)}_{\rho_{\alpha}, \rho_{\beta}} \psi_{\rho_{\beta}} \rangle &= \int_{\Omega} T L_{\alpha \beta} \varphi_{\rho_{\alpha}} \psi_{\rho_{\beta}} + B_{\alpha \beta} \nabla \varphi_{\rho_{\alpha}} \cdot \nabla \psi_{\rho_{\beta}} dx, \\
\langle \varphi_{\rho_{\alpha}}; R^{(E)}_{\rho_{\alpha}, \sigma} \psi_{\rho_{\beta}} \rangle &= \int_{\Omega} -\sum_{\beta=1}^{\nu} L_{\alpha \beta} \mu_{\beta} \varphi_{\rho_{\alpha}} \psi_{\sigma} + \nabla \varphi_{\rho_{\alpha}} \cdot B_{\alpha \beta} \left( \frac{1}{T} \psi_{\sigma} - \sum_{\beta=1}^{\nu} B_{\alpha \beta} \nabla \left( \frac{\mu_{\beta}}{T} \psi_{\sigma} \right) \right) dx.
\end{align}
Lemma 4.2. The operator $R^{(E)}(z)$ from (4.11) and (4.12) is self-adjoint and semi-elliptic in $W^{1,3}(\Omega)^{\nu+1}$. Furthermore, the non-interacting condition (4.13) is satisfied.

Proof. By the definition of $R^{(E)}$ its associated bilinear form is given by

$$(4.14) \quad \langle \varphi, R^{(E)}(z) \psi \rangle = \int_{\Omega} \frac{\zeta T}{2} \text{tr} \left[ (\nabla \varphi_M + \nabla \varphi_M^\top) \cdot (\nabla \psi_M + \nabla \psi_M^\top) \right] \left( \nabla \psi_M + \nabla \psi_M^\top - \frac{1}{T} (\nabla \psi_M + \nabla \psi_M^\top) \psi_s \right) \left( \nabla \psi_M + \nabla \psi_M^\top - \frac{1}{T} (\nabla \psi_M + \nabla \psi_M^\top) \psi_s \right) \psi_s \psi_s \psi_s$$

$$+ T \left( \lambda - \frac{2\zeta}{3} \right) \left( \text{div}(\varphi_M) - \frac{1}{T} \text{div}(\psi_M) \psi_s \right) \left( \text{div}(\psi_M) - \frac{1}{T} \text{div}(\psi_M) \psi_s \right)$$

$$+ \sum_{\alpha=1}^{\nu} \int_{\Omega} \frac{1}{T} \left( \nabla \varphi_M - \frac{\mu_\alpha}{T} \varphi_s \right) \left( \nabla \psi_M - \frac{\mu_\alpha}{T} \psi_s \right) \left( \nabla \psi_M - \frac{\mu_\alpha}{T} \psi_s \right) \cdot \delta_{\text{kron}} I_3$$

where $\otimes_{\text{kron}}$ is the Kronecker product of two matrices, [31, Def. 4.2.1] and the term with $\otimes$
should be read as
\[
\nabla \left( \frac{1}{T} \varphi_s \right) \cdot \left( \kappa T^2 \nabla \left( \frac{1}{T} \psi_s \right) + \sum_{\beta=1}^{\nu} B_{\beta} \nabla \left( \psi_{\rho_{\beta}} - \frac{\mu_{\beta}}{T} \psi_s \right) \right) \\
+ \sum_{\alpha=1}^{\nu} \nabla \left( \psi_{\rho_{\alpha}} - \frac{\mu_{\alpha}}{T} \psi_s \right) \cdot \left( B_{\alpha} \nabla \left( \frac{1}{T} \psi_s \right) + \sum_{\beta=1}^{\nu} B_{\alpha,\beta} \nabla \left( \psi_{\rho_{\beta}} - \frac{\mu_{\beta}}{T} \psi_s \right) \right).
\]

The self-adjointness can be seen directly by the symmetry of \( B_{\alpha\beta} \) and \( \mathbb{L}_{\alpha\beta} \), see p. 18 f. For the semi-ellipticity we note that the matrices appearing in the third and fourth summand of the integrand are positive semi-definite, [46, p. 425 f.], and that \( \lambda \) as well as \( T \) are positive. For the parts with \( \zeta \geq 0 \) as prefactor we use that the trace is independent of the choice of basis. Therefore, we may choose Cartesian coordinates. Then the terms with \( \zeta \) are in sum non-negative, since by the Cauchy-Schwarz inequality it holds that \( \sqrt{\sum_{i=1}^{3} A_{ii}} \leq \sqrt{\sum_{i=1}^{3} 1} \sqrt{\sum_{i=1}^{3} A_{ii}^2} \) for every \( A \in \mathbb{R}^{3 \times 3} \), and therefore,

\[
\frac{1}{2} \text{trace}[(A + A^T)^2] - \frac{2}{3} \left( \sum_{i=1}^{3} A_{ii} \right)^2 = \frac{1}{2} \left( \sum_{i,k=1}^{3} A_{ik} + A_{ki} \right)^2 + 2 \sum_{i=1}^{3} A_{ii}^2 - \frac{2}{3} \left( \sum_{i=1}^{3} A_{ii} \right)^2 \\
\geq \frac{1}{2} \left( \sum_{i,k=1}^{3} A_{ik} + A_{ki} \right)^2.
\]

Hence, the integrand of (4.14) is non-negative almost everywhere and thus \( \langle \varphi, \mathcal{R}^{(E)}(z) \varphi \rangle \geq 0 \). The non-interaction condition (4.13) follows by \( \sum_{\nu=1}^{\nu} B_{\beta} = \sum_{\beta=1}^{\nu} B_{\alpha\beta} = \sum_{\beta=1}^{\nu} \mathbb{L}_{\alpha\beta} = 0 \) for \( \alpha = 1, \ldots, \nu \), see [46, p. 427 f.], and a straight-forward calculation.

We can now prove the main result for isolated systems of reactive fluid mixtures.

**Theorem 4.3 (Isolated system of fluid mixture).** Let the vector of unknowns \( z(t) \in \mathcal{Z} \subset \mathcal{D}_z \) be smooth enough such that the functional derivatives \( \frac{\delta H}{\delta z}(z) \) and \( \frac{\delta S}{\delta z}(z) \) are elements of \( \mathcal{D}_z \) for almost every time. Assume that the coefficients of the linear operator \( J^{(E)}(z) \) and \( R^{(E)}(z) \) given in (4.8) and (4.11), respectively, behave well in \( z \), such that \( \mathbb{L}_z \in \mathcal{W}^{1,1+\varepsilon} \), \( \mathbb{L}_{\alpha\beta} \in \mathcal{L}^{3+\varepsilon}(\Omega) \), \( \lambda, \kappa, \zeta, B_{\alpha}, B_{\alpha\beta} \), with an \( \varepsilon > 0 \) uniformly in time, \( \alpha, \beta = 1, \ldots, \nu \). Suppose that the barycentric velocity \( \mathbf{v} \), the non-convective heat flux \( \mathbf{q} \), the diffusion fluxes \( \mathbf{j}_\alpha \), and the viscosity part of the stress tensor \( \mathbb{S} \) vanish at the boundary \( \partial \Omega \) in normal direction.

Then the GENERIC formulation (2.12) encodes the weak formulation (4.3), where the operator \( J = J^{(E)} \) is skew-adjoint and \( R = R^{(E)} \) is self-adjoint and semi-elliptic. Furthermore, both non-interaction conditions (4.10) and (4.13) are satisfied, the system is energy preserving, and the second law of thermodynamics is fulfilled, i.e., \( \frac{d}{dt} H(z) = 0 \) and \( \frac{d}{dt} S(z) \geq 0 \).

**Proof.** Let us first consider the Hamiltonian part. Since \( \sum_{\alpha=1}^{\nu} \rho_{\alpha} = 0 \), [46, p. 427] as well as \( \sum_{\alpha=1}^{\nu} \rho_{\alpha} (\varphi \mathbf{M} \cdot \nabla) \frac{\mathbf{v} \cdot \nabla}{2} = \sum_{\alpha=1}^{\nu} \rho_{\alpha} \mathbf{v} \cdot (\varphi \mathbf{M} \cdot \nabla) \mathbf{v} = \mathbf{M} \cdot (\varphi \mathbf{M} \cdot \nabla) \mathbf{v} \), we get

\[
\langle \varphi, J^{(E)} \frac{\delta H}{\delta z} \rangle
\]
\[
\begin{align*}
= \int_\Omega - \sum_{\alpha=1}^{\nu} \rho_\alpha \left[ (\varphi_M \cdot \nabla) \left( -\frac{\mathbf{v} \cdot \mathbf{v}}{2} + \mu_\alpha \right) - (\mathbf{v} \cdot \nabla) \varphi_{\rho_\alpha} \right] + \sum_{\alpha=1}^{\nu} (\varphi_M \cdot \nabla) \left( -\frac{\mathbf{v} \cdot \mathbf{v}}{2} + \mu_\alpha \right) I_\alpha \\
- \sum_{\alpha=1}^{\nu} \left( \mathbf{v} \cdot \nabla \right) (\varphi_{\rho_\alpha} I_\alpha) - \mathbf{M} \cdot \left[ (\varphi_M \cdot \nabla) \mathbf{v} - (\mathbf{v} \cdot \nabla) \varphi_M \right] - s \left[ (\varphi_M \cdot \nabla) T - (\mathbf{v} \cdot \nabla) \varphi_s \right] dx \\
= \int_\Omega - \sum_{\alpha=1}^{\nu} \rho_\alpha \left[ (\varphi_M \cdot \nabla) \mu_\alpha - (\mathbf{v} \cdot \nabla) \varphi_{\rho_\alpha} \right] + \sum_{\alpha=1}^{\nu} \left[ (\varphi_M \cdot \nabla) (\mu_\alpha I_\alpha) - (\mathbf{v} \cdot \nabla) (\varphi_{\rho_\alpha} I_\alpha) \right] \\
+ \mathbf{M} \cdot (\mathbf{v} \cdot \nabla) \varphi_M - s [(\varphi_M \cdot \nabla) T - (\mathbf{v} \cdot \nabla) \varphi_s] dx.
\end{align*}
\]

For the dissipation part we use the fact that \(2 \text{tr} [\mathbf{A} \cdot (\mathbf{B} + \mathbf{B}^\top)] = \text{tr} [(\mathbf{A} + \mathbf{A}^\top) \cdot (\mathbf{B} + \mathbf{B}^\top)]\), see (3.25). With the definition of \(\mathbf{T}^d\), \(\mathbf{q}, \mathbf{J}_\alpha, \tau_\alpha\), and \(-\pi\) in (3.6) and (3.30), we then have

\[
\langle \varphi, R^{(E)} \frac{\delta S}{\delta \mathbf{z}} \rangle = \int_\Omega - \frac{\zeta}{2} \text{tr} \left[ \left( \nabla \varphi_M - \frac{1}{T} (\nabla \varphi_s) \right) \cdot (\nabla \mathbf{v} + \nabla \mathbf{v}^\top) \right] - \left( \lambda - \frac{2\zeta}{3} \right) \left( \text{div}(\varphi_M) - \frac{1}{T} \text{div}(\varphi_s) \right) \text{div}(\mathbf{v}) \\
+ \left[ \nabla \left( \frac{1}{T} \varphi_s \right) \right] \otimes \begin{bmatrix} \kappa T^2 & B_1 & \cdots & B_\nu \\
B_1 & B_{1,1} & \cdots & B_{1,\nu} \\
\vdots & \vdots & \ddots & \vdots \\
B_\nu & B_{\nu,1} & \cdots & B_{\nu,\nu} \end{bmatrix} \otimes_{\text{kron}} I_3 \left[ \begin{bmatrix} \nabla \left( \frac{1}{T} \varphi_s \right) \\
\nabla \left( -\frac{\mu_\alpha}{T} \varphi_s \right) \\
\vdots \\
\nabla \left( -\frac{\mu_\nu}{T} \varphi_s \right) \end{bmatrix} \right] \\
- \sum_{\alpha,\beta=1}^{\nu} \left( \varphi_{\rho_\alpha} - \frac{\mu_\alpha}{T} \varphi_s \right) L_{\alpha\beta} \mu_\beta + \sum_{\beta=1}^{\nu} L_{\beta\alpha} \mu_\beta \text{div}(\mathbf{v}) \frac{\varphi_s}{T} + \sum_{\alpha=1}^{\nu} L_{\alpha} \text{div}(\mathbf{v}) \frac{-\mu_\alpha}{T} \varphi_s dx \\
= \int_\Omega \text{tr} \left[ (\mathbf{T}^d - \pi \mathbf{I}) \cdot (\frac{\varphi_s}{T} \nabla \mathbf{v}) - \mathbf{T}^d \cdot \nabla \varphi_M \right] + \mathbf{q} \cdot \nabla \left( \frac{1}{T} \varphi_s \right) + \sum_{\alpha=1}^{\nu} \mathbf{J}_\alpha \left( \nabla \varphi_{\rho_\alpha} - \nabla \left( \frac{\mu_\alpha}{T} \varphi_s \right) \right) \\
+ \sum_{\alpha=1}^{\nu} \tau_\alpha \frac{-\mu_\alpha}{T} \varphi_s - \lambda \text{div}(\mathbf{v}) \text{div}(\varphi_M) - \sum_{\alpha,\beta=1}^{\nu} \varphi_{\rho_\alpha} L_{\alpha\beta} \mu_\beta dx.
\]

If we use that \(\mathbf{v}\) and \(\varphi_M\) vanish at the boundary in normal direction, by the boundary conditions and by the choice of \(\mathcal{D}_\mathbf{z}\), respectively, we get by partial integration that

\[
(4.15) \quad \int_\Omega (\varphi_M \cdot \nabla) \left( \sum_{\alpha=1}^{\nu} \mu_\alpha I_\alpha \right) - (\mathbf{v} \cdot \nabla) \left( \sum_{\alpha=1}^{\nu} \varphi_{\rho_\alpha} I_\alpha \right) - \lambda \text{div}(\mathbf{v}) \text{div}(\varphi_M) - \sum_{\alpha,\beta=1}^{\nu} \varphi_{\rho_\alpha} L_{\alpha\beta} \mu_\beta dx \\
= \int_\Omega - \sum_{\alpha,\beta=1}^{\nu} L_{\alpha\beta} \mu_\beta \varphi_{\rho_\alpha} + \sum_{\alpha=1}^{\nu} L_{\alpha} \text{div}(\mathbf{v}) \varphi_{\rho_\alpha} - \mu_\alpha \text{div}(\varphi_M)) - \lambda \text{div}(\mathbf{v}) \text{div}(\varphi_M) dx \\
= \int_\Omega \sum_{\alpha=1}^{\nu} \tau_\alpha \varphi_{\rho_\alpha} - \text{tr}(\pi \mathbf{I} \cdot \nabla \varphi_M) dx.
\]

By these calculations, the operator equation tested with \(\varphi\) equals the weak formulation (4.3) without the boundary terms. Since the boundary integrals are zero by the assumptions on \(\mathbf{v}, \mathbf{q}, \mathbf{J}_\alpha,\) and \(\mathbf{S}\), this shows the equality of the weak formulation and the operator equation for isolated systems.
The properties of \( \mathcal{J}^{(E)}(z) \) and \( \mathcal{R}^{(E)}(z) \) are proven in Lemma 4.1 and 4.2, respectively. Since \( \mathcal{J}^{(E)}(z) \) is skew-adjoint and \( \mathcal{R}^{(E)}(z) \) is self-adjoint and semi-elliptic, it follows with the non-interaction conditions (4.10) and (4.13) that

\[
\frac{d}{dt} H = \frac{\delta H}{\delta z}, z = \frac{\delta H}{\delta z}, \mathcal{J}^{(E)} \frac{\delta H}{\delta z} = 0 \quad \text{and} \quad \frac{d}{dt} S = \frac{\delta S}{\delta z}, z = \frac{\delta S}{\delta z}, \mathcal{R}^{(E)} \frac{\delta S}{\delta z} \geq 0.
\]

\( \square \)

**Remark 4.4.** We point out that the term \( \int_{\Omega} \sum_{\alpha=1}^{\nu} [\varphi M \cdot \nabla] (\psi_{\alpha} L_{\alpha}) - (\psi M \cdot \nabla) (\varphi_{\alpha} L_{\alpha}) \) dx from the Poisson bracket (4.8) has neither an effect on the total energy nor on the entropy. Therefore, it could be shifted from the operator \( \mathcal{J}^{(E)} \) to the dissipation operator \( \mathcal{R}^{(E)} \) via the additional term

\[
\langle \varphi, \mathcal{R}_{\text{add}}^{(E)} \psi \rangle = \int_{\Omega} T \sum_{\beta=1}^{\mu} \left( \text{div}(\varphi M) - \frac{1}{T} \text{div}(v) \varphi_{s} \right) L_{\beta} \left( \psi_{\beta} - \frac{\mu_{\beta}}{T} \psi_{s} \right)
- T \sum_{\alpha=1}^{\nu} \left( \varphi_{\alpha} - \frac{\mu_{\alpha}}{T} \varphi_{s} \right) L_{\alpha} \left( \text{div}(\psi M) - \frac{1}{T} \text{div}(v) \psi_{s} \right) dx,
\]

which may seem natural since this term is connected to the reaction rate densities \( \Lambda^{k} \) and the dynamic pressure (\( -\pi \)) which are both thermodynamic fluxes. Note that this additional term vanishes if evaluated at \( \frac{\delta H}{\delta z} \), and if evaluated at \( \frac{\delta S}{\delta z} \) it gives \( \int_{\Omega} \sum_{\alpha=1}^{\nu} [(\varphi M \cdot \nabla) (\mu_{\alpha} L_{\alpha}) - (v \cdot \nabla) (\varphi_{\alpha} L_{\alpha})] dx \) under integration by parts. However, if one would add this term to \( \mathcal{R}^{(E)} \), then the operator \( \hat{\mathcal{R}}^{(E)} := \mathcal{R}^{(E)} + \mathcal{R}_{\text{add}}^{(E)} \) would be semi-elliptic but no longer self-adjoint.

Furthermore, at equilibrium, the new dissipation operator \( \hat{\mathcal{R}}^{(E)} \) would couple the mass densities and the entropy density with the momentum density, which have different parities. This violates the Onsager-Casimir reciprocal relation, cf. [67, Ch. II].

**Remark 4.5** (Euler equations of fluid dynamics for reactive mixture). The operator equation (2.12) allows us to define an analogue of the Euler equations of fluid dynamics for reactive fluid mixtures, i.e., a description of reactive fluids with a constant total entropy, by neglecting the operator \( \mathcal{R}^{(E)} \). Using the equivalence of the operator equation and the weak formulation, under the assumption of a smooth solution and integration by parts we obtain

**Hamiltonian part of full dynamics**

\[
\partial t \rho_{\alpha} + \text{div} (\rho_{\alpha} v) = L_{\alpha} \text{div} (v), \quad (\alpha = 1, \ldots, \nu)
\]

\[
\partial_t M + \text{div} (M \otimes v) = -\nabla \left( p - \sum_{\alpha=1}^{\nu} L_{\alpha} \mu_{\alpha} \right),
\]

\[
\partial_t s + \text{div}(sv) = 0,
\]

where we have used \( v = M/(\sum_{\alpha=1}^{\nu} \rho_{\alpha}) \) and \( \nabla p = s \nabla T + \sum_{\alpha=1}^{\nu} \rho_{\alpha} \nabla \mu_{\alpha} \) in combination with the Gibbs equation (3.7) and the thermodynamic constitutive equation (3.11).

### 4.2 Open Systems

In Section 4.1 we made the restrictions that the barycentric velocity \( v \), the non-convective heat flux \( q \), the diffusion fluxes \( J_{\alpha} \), and the viscosity part of the stress tensor \( S \) as well as the linear momentum density \( M \) should vanish at the boundary in normal direction. These
restrictions led us to the desired operator differential equation (2.12). In the case of non-vanishing boundary terms, this kind of description is no longer valid, since the environment can for example influence the total energy. Therefore, we add an input-port variable $u$ and two output-port variables $y_H$, $y_S$ to describe the interaction of the system with its environment. For the space $\mathcal{D}_z$ we have to keep in mind that we now consider an open system. The necessary boundary conditions that the linear momentum density $\mathbf{M}$ had to satisfy in case of an isolated system is dropped in the following considerations of an open system. Hence we now choose

$$(4.17) \quad \mathcal{D}_z := W^{1,3}(\Omega)^{r+4}$$

as the underlying space for the unknowns associated with $z$ where $z(t) \in \mathcal{Z} \subset \mathcal{D}_z$ almost every time with $\mathcal{Z}$ given in (4.2). The operators $\mathcal{J}^E$ and $\mathcal{R}^E$ are the same as in Section 4.1. We define the operator $\mathcal{B}^E(z)[\cdot] : \mathcal{D}_u \to \mathcal{D}_z^*$ via the pairing

$$\langle \varphi, \mathcal{B}^E(z)u \rangle := \int_{\partial \Omega} \sum_{\alpha=1}^\nu \varphi_{\rho_{\alpha}}((L_{\alpha} - \rho_{\alpha})u_2 - u_{3+\alpha}) + \varphi_M \cdot (u_{[\nu+4,2r+6]} - Mu_2 - nu_1) - \varphi_s (su_2 + \frac{1}{T}u_3 - \sum_{\alpha=1}^\nu \mu_{\alpha} u_{3+\alpha}) dA.$$  

For the continuity of $\mathcal{B}^E(z)[\cdot]$ we have to find an appropriate space $\mathcal{D}_u$ for $u$. We notice that the normal vector $n$ is bounded almost everywhere on $\partial\Omega$ and that the restriction of an arbitrary $W^{1,3}(\Omega)$-function onto the boundary is an element of $L^q(\partial\Omega)$ for every $q \in [1, \infty)$, [59, Ch. 2, Th. 4.6]. Furthermore, we assume again that the terms $L_{\alpha}$ behave well in $z$ such that $L_{\alpha} \in W^{1,p}(\Omega)$ with $p > 1$, see Section 4.1. It is well known, that then $L_{\alpha}|_{\partial\Omega} \in L^{\hat{p}}(\partial\Omega)$ for every $\hat{p} \leq \frac{2p}{3-p}$, see [59, Ch. 2, Th. 4.2]. Therefore, an appropriate space for $u$ is given by

$$(4.19) \quad \mathcal{D}_u := L^{p_1}(\partial\Omega) \times L^{p_2}(\partial\Omega) \times L^{p_3}(\partial\Omega) \times L^{p_4}(\partial\Omega)^{\nu} \times L^{p_5}(\partial\Omega)^{3},$$

where $p_2 > \frac{2p}{3(p-1)}$, $p_1, p_3, p_4, p_5 > 1$. In addition, $p_1$ is bounded by $p_1 < \frac{2p}{3-p}$ such that the $u_1$, which is later chosen as $\sum_{\beta=1}^\nu \mu_{\beta}|_{\partial\Omega}$, lives in $L^{p_1}(\partial\Omega)$. The adjoint operator of $\mathcal{B}^E(z)[\cdot]$ is again defined as the linear operator $\mathcal{B}^E(z)^*[\cdot] : \mathcal{D}_z \to \mathcal{D}_u^*$ which fulfills

$$\langle \varphi, \mathcal{B}^E(z)^*[u] \rangle = \langle u, \mathcal{B}^E(z)\varphi \rangle.$$ 

Note that, $\mathcal{D}_u^*$ can be identified with

$$\mathcal{D}_u^* \cong L^{q_1}(\partial\Omega) \times L^{q_2}(\partial\Omega) \times L^{q_3}(\partial\Omega) \times L^{q_4}(\partial\Omega)^{\nu} \times L^{q_5}(\partial\Omega)^{3}$$

where $q_i = \frac{p_i}{p_i-1}$, $i = 1, \ldots, 5$.

The following theorem proves that the description of the weak formulation (4.3) in its operator setting can be written in the form of (2.11a).

**Theorem 4.6** (Open system of fluid mixture). Let the vector of unknowns $z(t) \in \mathcal{Z} \subset \mathcal{D}_z$ be smooth enough such that the functional derivatives $\frac{\delta H}{\delta z}(z)$ and $\frac{\delta S}{\delta z}(z)$ are elements of $\mathcal{D}_z$ at almost every point. Suppose that the coefficient function in the linear operators $\mathcal{J} = \mathcal{J}^E$, $\mathcal{R} = \mathcal{R}^E$, and $\mathcal{B} = \mathcal{B}^E$ given in (4.8), (4.11), and (4.18) behave well in $z$, such that $L_{\alpha} \in W^{1,1+\varepsilon}(\Omega)$, $L_{\alpha\beta} \in L^{1+\varepsilon}(\Omega)$, and $\lambda, \kappa, \zeta, B_{\alpha}, B_{\alpha}\beta \in L^{3+\varepsilon}(\Omega)$ with an $\varepsilon > 0$ uniformly in time, $\alpha, \beta = 1, \ldots, \nu$. Then the representation of the weak formulation (4.3) as operator equation is given by (2.11a) and the corresponding port $u$ is specified through

$$\mathbf{u} = [\sum_{\beta=1}^\nu L_{\beta\rho}|_{\partial\Omega} \mathbf{v}|_{\partial\Omega} \cdot \mathbf{n} \mathbf{q}|_{\partial\Omega} \cdot \mathbf{n} \mathbf{J}_1|_{\partial\Omega} \cdot \mathbf{n} \ldots \mathbf{J}_\nu|_{\partial\Omega} \cdot \mathbf{n} (\mathbf{S}|_{\partial\Omega} \cdot \mathbf{n})^\top].$$

The operator $\mathcal{J}^E(z)$ is skew-adjoint, $\mathcal{R}^E(z)$ is self-adjoint and semi-elliptic, and satisfies the non-interaction conditions (4.10) and (4.13), respectively.
Proof. By the proof of Theorem 4.3 it is enough to show that \( B^{(E)}(z)u \) describes the boundary terms of the weak formulation (4.3) which are left out in \( J^{(E)}\frac{\delta H}{\delta z} + R^{(E)}\frac{\delta S}{\delta z} \). Recall that the partial integration step at (4.15) resulted in boundary terms of the form

\[
\int_{\partial \Omega} \sum_{\alpha=1}^{\nu} (\mathbb{L}_\alpha \mu_\alpha \varphi_M \cdot n - \varphi_{\rho_\alpha} \mathbb{I}_\alpha v \cdot n) \, dA,
\]

which were created by \( J^{(E)}\frac{\delta H}{\delta z} + R^{(E)}\frac{\delta S}{\delta z} \) or more accurately by \( J^{(E)}\frac{\delta H}{\delta z} \). In case of an isolated system these boundary terms vanish by assumption, whereas for an open system these boundary contributions do not vanish and have to be considered as well. Using definition (4.20) for the port \( u \) in (4.18) we obtain

\[
\langle \varphi, B^{(E)}(z)u \rangle + \int_{\partial \Omega} \sum_{\alpha=1}^{\nu} (\mathbb{L}_\alpha \mu_\alpha \varphi_M \cdot n - \varphi_{\rho_\alpha} \mathbb{I}_\alpha v \cdot n) \, dA
\]

\[
= \int_{\partial \Omega} \left[ - \sum_{\alpha=1}^{\nu} \varphi_{\rho_\alpha} (\rho_\alpha v + J_\alpha) + \varphi_M \cdot S - (\varphi_M \cdot M)v - \varphi_s \left( sv + \frac{1}{T} q - \sum_{\alpha=1}^{\nu} \frac{\mu_\alpha}{T} J_\alpha \right) \right] \cdot n \, dA. \tag{4.21}
\]

For an isolated thermodynamic system whose state space representation in the operator setting is given by (2.12), the total energy is conserved and the entropy can only increase as shown in Theorem 4.3 in accordance with the second law of thermodynamics. This corresponds to the properties of the GENERIC formalism for isolated systems as stated in Section 2. Since for open thermodynamic systems convective and non-convective transport over the boundary have to be taken into account, the statements related to the conservation of energy and the production of entropy for isolated systems have to be replaced by the more general statements. These are motivated by the conservation laws of continuum mechanics and thermodynamics, in particular by the first and second law of thermodynamics written for open systems in the sense of (3.45) and (3.48), respectively. Expressed with the total energy given by the Hamiltonian \( H \) and the entropy functional \( S \) with respect to the time-independent domain \( \Omega \) considered in this section, these two balance laws become

**Energy conservation**

\[
\frac{d}{dt} H(z) = - \int_{\partial \Omega} \left[ \left( \frac{M \cdot v}{2} + u \right) v + \left( q - T^\top \cdot v \right) \right] \cdot n \, dA, \tag{4.21a}
\]

**Entropy Inequality**

\[
\frac{d}{dt} S(z) \geq - \int_{\partial \Omega} \left[ sv + \frac{1}{T} q - \sum_{\alpha=1}^{\nu} \frac{\mu_\alpha}{T} J_\alpha \right] \cdot n \, dA, \tag{4.21b}
\]

with \( v = M / (\sum_{\alpha=1}^{\nu} \rho_\alpha) \).

These two important properties are encoded in the bracket formulation induced by the system of operator equations (2.11). The interaction of the system with its environment is described through ports, given by the combined input port \( u \) and the output ports \( y_H \) and \( y_S \) of the operator equation. The pairing between combined input port and output port \( y_H \) represents the interaction of the system with the environment related to the change of the Hamiltonian, whereas the pairing between the combined input port and output port \( y_S \) describes the interaction of the system with the environment related to the change of the total entropy. Since the mathematical expression for the change of the total energy is obtained when the time-evolution equation (2.4) is evaluated with the Hamiltonian \( H \), the change of the total energy should only depend on the combined input port \( u \) and \( y_H \). Analogously, the change of the total entropy is obtained if the time-evolution equation is
evaluated with the entropy functional $S$. Due to the considerations made in Section 3.5, we expect the change of the entropy $S$ to be bounded by the duality pairing of combined input port $u$ and $y_S$. Hence the pairing of the combined input $u$ and the output ports $y_H$ (w.r.t. the Hamiltonian part) and $y_S$ (w.r.t. the entropic part) should result in an expression that is in accordance with the first and the second law of thermodynamics, respectively. More precisely, the result of the pairing of combined input $u$ and the output port $y_H$ and $y_S$ should have the form of the right-hand side of (4.21a) and (4.21b), respectively.

**Corollary 4.7.** Under the assumptions of Theorem 4.6, the total energy $H$ and total entropy $S$ satisfy the balance relation

$$\frac{d}{dt} H(z) = \langle y_H, u \rangle \quad \text{and} \quad \frac{d}{dt} S(z) \geq \langle y_S, u \rangle.$$

**Proof.** Since $J^{(E)}$ is skew-adjoint and the non-interaction condition (4.10) is satisfied, it holds that

$$\frac{dH}{dt} = \left\langle \frac{\delta H}{\delta z} \delta z, \frac{d}{dt} z \right\rangle = \left\langle \frac{\delta H}{\delta z}, J^{(E)} \frac{\delta H}{\delta z} + R^{(E)} \frac{\delta S}{\delta z} + B^{(E)} u \right\rangle = \left\langle \frac{\delta H}{\delta z}^T B^{(E)} u \right\rangle = \langle B^{(E)*} \frac{\delta H}{\delta z}, u \rangle = \langle y_H, u \rangle.$$

The proof for the time evolution of the total entropy follows analogously by the semi-ellipticness of $R^{(E)}$ and non-interaction condition (4.13).

The ports $y_H$ and $y_S$ from Corollary 4.7 can be calculated explicitly by the equations (2.11b) and (2.11c). With the functional derivatives (4.6) we get

$$y_H = \left[ -v \big|_{\partial \Omega} \cdot n \left( \sum_{\alpha=1}^{\nu} \mu_\alpha (L_\alpha - \rho_\alpha) - \frac{M \cdot v}{2} - Ts \right) \big|_{\partial \Omega} - 1 \frac{v \cdot v}{2} \big|_{\partial \Omega} \cdots \frac{v \cdot v}{2} \big|_{\partial \Omega} \right]^T.$$

We mention that $y_H$ is the conjugate variable of $u$, i.e., the pairing $\langle y_H, u \rangle$ between these two has the physical dimension of power. The associated energy balance has the form

$$\frac{d}{dt} H = \langle y_H, u \rangle$$

$$= \int_{\partial \Omega} \left[ \left( \sum_{\beta=1}^{\nu} L_\beta \mu_\beta - \sum_{\alpha=1}^{\nu} (L_\alpha \mu_\alpha + \mu_\alpha \rho_\alpha) + \frac{M \cdot v}{2} + Ts \right) v + q - \sum_{\alpha=1}^{\nu} J_\alpha \frac{v \cdot v}{2} - v \cdot S \right] \cdot n \, dx$$

$$= - \int_{\partial \Omega} \left[ \left( \frac{M \cdot v}{2} + a \right) v + q - v \cdot (S - pI) \right] \cdot n \, dx,$$

where we have used equation (3.11) and $\sum_{\alpha=1}^{\nu} J_\alpha = 0$, which follows from $\sum_{\alpha=1}^{\nu} B_\alpha = \sum_{\alpha=1}^{\nu} B_{\alpha\beta} = 0$. Taking into account that the influx of internal energy is neglected in our operator formulation, the mixture related energy balance (4.23) has the form of the integral total energy balance (4.21a) for open systems, cf. [64, p. 6]. For the change of the total entropy we get as port

$$y_S = \left[ 0 \quad -s \big|_{\partial \Omega} \quad -\frac{1}{T} \big|_{\partial \Omega} \quad \frac{\mu_1}{T} \big|_{\partial \Omega} \cdots \frac{\mu_{\nu}}{T} \big|_{\partial \Omega} \quad 0^T \right]^T.$$
Note that the pairing \( \langle y_S, u \rangle \) has the physical unit of Joule per Kelvin second and it gives the correct lower bound for the temporal change of the entropy, cf. [64, p. 6], by

\[
\frac{d}{dt} S \geq \langle y_S, u \rangle = - \int_{\partial \Omega} \left[ sv + \frac{1}{T} \left( q - \sum_{\alpha=1}^{\nu} J_{\alpha} \mu_\alpha \right) \right] \cdot \mathbf{n} \, dA,
\]

which is in accordance to (4.21b).

### 4.3 Formulation with Entropy as Thermodynamic Potential

In the previous Sections 4.1 and 4.2 we have investigated the case where the entropy density \( s \) is an independent state variable and energy is the thermodynamic potential. In this subsection we consider the case where the internal energy density \( u \) is amongst the independent state variables associated with \( z \) and where entropy constitutes the thermodynamic potential. The state evolution equations given as a collection of field equations describing time evolution and spatial distribution of the fields associated with the state \( z \) through

(4.25)

\[ z = [\rho_1 \ldots \rho_\nu \mathbf{M}^T u]^T, \]

are summarized in (3.52). Again, \( z \) maps from a bounded time interval \( I \) into the open subset \( Z \) of the space \( D_z \), where \( Z \) is defined in (4.2) and \( D_z \) in (4.7) or (4.17) depending on whether an isolated or an open system is considered. The energy functional \( H \) for this case is given by

(4.26)

\[
H(z) = \int_{\Omega} \frac{\mathbf{M}(x) \cdot \mathbf{M}(x)}{2} \, dx + \int_{\Omega} u(x) \, dx,
\]

and the entropy functional has the form

(4.27)

\[
S(z) = \int_{\Omega} s(\rho_1, \ldots, \rho_\nu, u)(x) \, dx.
\]

Note that in this case entropy is the thermodynamic potential and the internal energy density \( u \) is chosen to be one of the independent functions associated with the state \( z \) through (4.25). Therefore the definition of the physical energy (4.26) and the total entropy (4.27) differ from the case before, see (4.4) and (4.5). The corresponding functional derivatives are given

(4.28)

\[
\frac{\delta H}{\delta z} = \left[ -\frac{\mathbf{v} \cdot \mathbf{v}}{2} \ldots -\frac{\mathbf{v} \cdot \mathbf{v}}{2} \mathbf{v}^T 1 \right]^T \quad \text{and} \quad \frac{\delta S}{\delta z} = \left[ -\frac{\mu_1}{T} \ldots -\frac{\mu_\nu}{T} \mathbf{0}^T \frac{1}{T} \right]^T,
\]

where we have used the relations in (3.8) to replace the directional derivatives appearing in \( \frac{\delta S}{\delta z} \). The transformations required to obtain the operator formulation associated to the differential balance laws (3.52) are analogous to the steps performed in Section 4.1 and 4.2. Therefore in the remainder of this section we skip the details in case they are analogous to the steps done in the subsections before.

Let \( z \) be smooth enough, then the weak formulation of (3.52) is given by

(4.29a)

\[
\langle \varphi_{\rho_\alpha}, \partial_t \rho_\alpha \rangle = \int_{\Omega} (\rho_\alpha \mathbf{v} + J_\alpha) \cdot \nabla \varphi_{\rho_\alpha} + \tau_\alpha \varphi_{\rho_\alpha} - \int_{\partial \Omega} \mathbf{n} \cdot (\rho_\alpha \mathbf{v} + J_\alpha) \varphi_{\rho_\alpha} \, dA,
\]
where \( \varphi \) is an arbitrary element of \( W^{1,3}(\Omega)^{\nu+4} \).

Again we are aiming for a skew-adjoint operator \( \mathcal{J}^{(S)}(z) : W^{1,3}(\Omega)^{\nu+4} \to W^{1,3}(\Omega)^{(\nu+4)^*} \) and a self-adjoint, semi-elliptic operator \( \mathcal{R}^{(S)}(z) : W^{1,3}(\Omega)^{\nu+4} \to W^{1,3}(\Omega)^{(\nu+4)^*} \) such that the weak formulation for an isolated system can be obtained by an operator equation of the form (2.12). These operators should also satisfy non-interaction conditions equal to (4.10) and (4.13). The operator \( \mathcal{J}^{(S)} \) is given by

\[
(4.30) \quad \mathcal{J}^{(S)}(z) = \begin{bmatrix}
0 & \ldots & 0 & \mathcal{J}_{p1,M}^{(S)} & 0 \\
\vdots & \ddots & \vdots & \vdots & \vdots \\
0 & \ldots & 0 & \mathcal{J}_{M,p1}^{(S)} & \mathcal{J}_{M,M}^{(S)} \\
\mathcal{J}_{M,p1}^{(S)} & \ldots & \mathcal{J}_{M,p1}^{(S)} & \mathcal{J}_{M,M}^{(S)} & \mathcal{J}_{M,M}^{(S)} \\
0 & \ldots & 0 & \mathcal{J}_{M,M}^{(S)} & \mathcal{J}_{M,M}^{(S)} \\
\end{bmatrix}
\]

where the single blocks in the operator are given by

\[
\langle \varphi_{\rho_a}, \mathcal{J}_{\rho_0,M}^{(S)} \psi_M \rangle = -\langle \psi_M, \mathcal{J}_{M,\rho_0}^{(S)} \varphi_{\rho_a} \rangle = \int_\Omega \rho_a (\psi_M \cdot \nabla) \varphi_{\rho_a} - (\psi_M \cdot \nabla) (\varphi_{\rho_a} L_{\alpha} \varphi_{\rho_a}) dx,
\]

\[
\langle \varphi_M, \mathcal{J}_{M,M}^{(S)} \psi_M \rangle = -\langle \psi_M, \mathcal{J}_{M,M}^{(S)} \varphi_M \rangle = \int_\Omega M \cdot [(\psi_M \cdot \nabla) \varphi_M - (\varphi_M \cdot \nabla) \psi_M] dx,
\]

\[
\langle \varphi_u, \mathcal{J}_{u,M}^{(S)} \psi_M \rangle = -\langle \psi_M, \mathcal{J}_{u,M}^{(S)} \varphi_u \rangle = \int_\Omega u (\psi_M \cdot \nabla) \varphi_u + (\psi_M \cdot \nabla) (\varphi_u (p - \sum_{\beta=1}^\nu L_{\beta} \mu_{\beta})] dx
\]

with \( \varphi, \psi \in W^{1,3}(\Omega)^{\nu+4} \). The dissipation operator \( \mathcal{R}^{(S)} \) which is defined via

\[
(4.31) \quad \mathcal{R}^{(S)}(z) = \begin{bmatrix}
\mathcal{R}_{p1,p1}^{(S)} & \ldots & \mathcal{R}_{p1,p1}^{(S)} & 0 & \mathcal{R}_{p1,p1}^{(S)} \\
\vdots & \ddots & \vdots & \vdots & \vdots \\
\mathcal{R}_{p0,p0}^{(S)} & \ldots & \mathcal{R}_{p0,p0}^{(S)} & 0 & \mathcal{R}_{p0,p0}^{(S)} \\
\mathcal{R}_{a1,p1}^{(S)} & \ldots & \mathcal{R}_{a1,p1}^{(S)} & 0 & \mathcal{R}_{a1,p1}^{(S)} \\
0 & \ldots & 0 & \mathcal{R}_{a1,p1}^{(S)} & \mathcal{R}_{a1,p1}^{(S)} \\
\end{bmatrix}
\]

\[
\langle \varphi_{\rho_a}, \mathcal{R}_{\rho_0,\rho_0}^{(S)} \psi_{\rho_0} \rangle = \int_\Omega L_{\alpha \beta} \varphi_{\rho_a} \psi_{\rho_0} + B_{\alpha \beta} \nabla \varphi_{\rho_a} \cdot \nabla \psi_{\rho_0} dx,
\]

\[
\langle \varphi_{\rho_a}, \mathcal{R}_{\rho_0,\rho_0}^{(S)} \psi_{\rho_0} \rangle = \langle \psi_u, \mathcal{R}_{a1,a1}^{(S)} \varphi_{\rho_a} \rangle = \int_\Omega B_{\alpha} \nabla \varphi_{\rho_a} \cdot \nabla \psi_u dx,
\]

38
and self-adjoint, semi-elliptic on defined via (4.33). Finally, the non-interaction condition of Lemma 4.2. Finally, the non-interaction condition of an open system ports later together with the proof for an open system. As we saw before, for the description of Lemma 4.8. Then the next lemma shows that the operators defined in (4.30) and (4.31) satisfy the asserted properties.

Lemma 4.8. Let \( z(t) \in Z \subset \mathcal{D}_z \) be smooth enough such that \( \frac{\delta H}{\delta z}(z) \) and \( \frac{\delta S}{\delta z}(z) \) are elements of \( \mathcal{D}_z \) almost everywhere in time and let the coefficients in the operator \( \mathcal{J}^{(S)}(z) \) and \( \mathcal{R}^{(S)}(z) \) defined via (4.30) and (4.31) behave well in \( z \). Then \( \mathcal{J}^{(S)}(z) \) is skew-adjoint and \( \mathcal{R}^{(S)}(z) \) is self-adjoint, semi-elliptic on \( W^{1,3}(\Omega)^{\nu+4} \) for almost every time point. Furthermore, \( \mathcal{J}^{(S)}(z) \) and \( \mathcal{R}^{(S)}(z) \) satisfy the non-interaction conditions

\[
\mathcal{J}^{(S)}(z) \frac{\delta S}{\delta z}(z) = 0 \quad \text{and} \quad \mathcal{R}^{(S)}(z) \frac{\delta H}{\delta z}(z) = 0.
\]

Proof. The skew-adjointness of \( \mathcal{J}^{(S)} \) and the self-adjointness of \( \mathcal{R}^{(S)} \) are obvious. The proof that \( \mathcal{R}^{(S)} \) is semi-elliptic and that \( \mathcal{R}^{(S)} \frac{\delta H}{\delta z} = 0 \) holds can be done analogously to the proof of Lemma 4.2. Finally, the non-interaction condition of \( \mathcal{J}^{(S)} \) follows by

\[
\begin{align*}
\langle \varphi, \mathcal{J}^{(S)} \frac{\delta S}{\delta z} \rangle &= \int_{\Omega} \varphi_M \cdot \sum_{\alpha=1}^{\nu} \left( \rho_{\alpha} \nabla \frac{\mu_{\alpha}}{T} - \nabla \left( \frac{\mu_{\alpha}}{T} \mathbb{L}_{\alpha} \right) - u \nabla \frac{1}{T} \left( p - \sum_{\beta=1}^{\nu} \mu_{\beta} \mathbb{L}_{\beta} \right) \right) \, dx \\
&= \int_{\Omega} \varphi_M \cdot \left[ - \sum_{\alpha=1}^{\nu} \frac{\mu_{\alpha}}{T} \nabla \rho_{\alpha} + \frac{1}{T} \nabla u - \nabla s \right] \, dx \overset{(3.11)}{=} 0.
\end{align*}
\]

The proof that \( \mathcal{J}^{(S)} \) and \( \mathcal{R}^{(S)} \) describe the dynamics of an isolated system will be given later together with the proof for an open system. As we saw before, for the description of an open system ports \( u, y_H, \) and \( y_S \) have been introduced. The linear operator \( \mathcal{B}^{(S)}(z)[\cdot] : \mathcal{D}_u \to \mathcal{D}_z \) which specifies the influence of \( u \) on the time evolution of the state \( z \) is given by

\[
\langle \varphi, \mathcal{B}^{(S)}(z) u \rangle := \int_{\partial\Omega} \sum_{\alpha=1}^{\nu} \varphi_{\rho_{\alpha}} ((\mathbb{L}_{\alpha} - \rho_{\alpha}) u_2 - u_{2+\alpha}) + \varphi_M \cdot (u_{3+4:6} - \mathbb{M} u_2 - \mathbb{M} u_1) \\
- \varphi_u \left( u + \sum_{\beta=1}^{\nu} \mu_{\beta} \mathbb{L}_{\beta} u_2 + u_3 \right) \, dA,
\]

39
where $\mathcal{D}_u$ is defined as in (4.19). With the definition of $\mathcal{B}^{(S)}$, we can show the connection between the weak formulation and the operator equations.

**Theorem 4.9** (Systems with entropy as thermodynamic potential). Let the vector of unknowns $z$ contain the internal energy $u$ and suppose that exactly one of the following assumption is satisfied.

i. The set $\mathcal{D}_x$ is defined as in (4.7) and the barycentric velocity $v$, the non-convective heat flux $q$, the diffusion fluxes $J_\alpha$, as well as viscosity stress tensor $S$ vanish at the boundary $\partial \Omega$ in normal direction.

ii. The set $\mathcal{D}_x$ is defined as in (4.17).

Let $z(t) \in Z \subset \mathcal{D}_x$ be smooth enough such that the functional derivatives $\frac{\delta H}{\partial z}(z)$ and $\frac{\delta S}{\partial z}(z)$ are elements of $\mathcal{D}_x$ at almost every time-point. Assume that the coefficients appearing in the linear operators $J(z) = J^{(S)}(z)$, $\mathcal{R}(z) = \mathcal{R}^{(S)}(z)$ behave well in $z$ such that $\mathbb{L}_{\alpha, \beta} \in W^{1,1+\varepsilon}(\Omega)$, $\mathbb{L}_{\alpha \beta} \in L^{1+\varepsilon}(\Omega)$, and $\lambda, \kappa, \zeta, \mathcal{B}_\alpha, \mathcal{B}_{\alpha \beta} \in L^{3+\varepsilon}(\Omega)$ with an $\varepsilon > 0$ uniformly in time, $\alpha, \beta = 1, \ldots, \nu$.

If the system is isolated, i.e. assumption i. is satisfied, then the weak formulation (4.29) in its operator formulation is given by (2.12) and the system is energy preserving and the second law of thermodynamics is fulfilled, i.e., $\frac{d}{dt} H(z) = 0$ and $\frac{d}{dt} S(z) \geq 0$.

If the system is open, i.e. assumption ii. is satisfied, then the operator equation (2.11a) encodes the weak formulation (4.29), where $u$ is given by (4.20) and $\mathcal{B} = \mathcal{B}^{(S)}$. Furthermore, the time evolution of the total energy $H$ and the total entropy $S$ fulfill $\frac{d}{dt} H(z) = \langle y_H, u \rangle$ and $\frac{d}{dt} S(z) \geq \langle y_S, u \rangle$, where the ports $y$ are equal to $y_H = \mathcal{B}^{(S)*}(z) \frac{\delta H}{\delta z}(z)$ and $y_S = \mathcal{B}^{(S)*}(z) \frac{\delta S}{\delta z}(z)$.

**Proof.** The assertions can be proven following the steps of the proofs of Theorem 4.3, 4.6, and Corollary 4.7 under the consideration of

\[
\langle \varphi, J^{(S)} \frac{\delta H}{\delta z} \rangle = \int_\Omega \sum_{\alpha=1}^{\nu} \rho_\alpha [(v \cdot \nabla) - \mathbb{L}_\alpha \text{div}(v)] \varphi_{p_\alpha} + M \cdot (v \cdot \nabla) \varphi_M - (\varphi_M \cdot \nabla)p
\]

\[\quad - \sum_{\beta=1}^{\nu} \text{div}(\varphi_M) \mu_\beta \mathbb{L}_\beta + u(v \cdot \nabla) \varphi_u + (v \cdot \nabla)(p \varphi_u) + \sum_{\beta=1}^{\nu} \text{div}(v) \mu_\beta \mathbb{L}_\beta \varphi_u dx
\]

\[\quad + \int_{\partial \Omega} \sum_{\beta=1}^{\nu} [(\varphi_M \cdot n) \mu_\beta \mathbb{L}_\beta - (v \cdot n) (\mu_\beta \mathbb{L}_\beta) \varphi_u] - \sum_{\alpha=1}^{\nu} (v \cdot n) (\varphi_{p_\alpha} \mathbb{L}_\alpha) dA,
\]

where we have used integration by parts. \hfill \Box

As for the system with the entropy as state variable it is possible to express the ports $y_H = \mathcal{B}^{(S)*}\frac{\delta H}{\delta z}$ and $y_S = \mathcal{B}^{(S)*}\frac{\delta S}{\delta z}$ explicitly. This will again result in the expressions (4.22) and (4.24). Therefore, the pairing $\langle y_H, u \rangle$ and $\langle y_S, u \rangle$ will be the same as in 4.2 and thus equal to the change of the total energy and also justify the introduction of a lower bound for the change of entropy, respectively.
4.4 GENERIC Formulation

In the previous Sections 4.1-4.3 we have investigated the description of the dynamic of an reactive fluid mixture by the theory of operator equations. Depending on the choice of thermodynamic potential, we have defined a skew- and a self-adjoint operator $J$ and $R$ for an isolated system as well as an operator $B$ describing the connection between the system and its environment. These operators are now used to define the Poisson and dissipation bracket as well as the boundary contribution of the GENERIC formalism (2.4). Instead of test functions as in the operator formulation, the GENERIC formulation works with test observables or rather their functional derivatives. Therefore, the brackets are easy to define by formally replacing the test functions by functionals. This approach is well-defined if the functional derivatives are smooth enough, cf. Section 2. However, in general, the resulting expression is unbounded and should be understood in a distributional sense, see e.g. [28, 66]. Starting with the case where energy constitutes the thermodynamic potential, we obtain the Poisson bracket

$$\{ A, B \}^{(E)} = \int_R \sum_{\alpha=1}^{\nu} \rho_\alpha \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta \rho_\alpha} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta \rho_\alpha} \right]$$

$$+ \sum_{\alpha=1}^{\nu} \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \left( \frac{\delta B}{\delta \rho_\alpha} L_\alpha \right) - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \left( \frac{\delta A}{\delta \rho_\alpha} L_\alpha \right) \right]$$

$$- M \cdot \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta M} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta M} \right] - s \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta s} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta s} \right] dx,$$

where the formal expression $\{ A, B \}^{(E)} = \langle \hat{\Omega}, J^{(E)} \frac{\delta B}{\delta x} \rangle$ has been used. For the case where entropy constitutes the thermodynamic potential and the internal energy density $\nu$ represents an independent state variable, we define

$$\{ A, B \}^{(S)} = \int_R \sum_{\alpha=1}^{\nu} \rho_\alpha \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta \rho_\alpha} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta \rho_\alpha} \right]$$

$$+ \sum_{\alpha=1}^{\nu} \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \left( \frac{\delta B}{\delta \rho_\alpha} L_\alpha \right) - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \left( \frac{\delta A}{\delta \rho_\alpha} L_\alpha \right) \right]$$

$$- M \cdot \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta M} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta M} \right] - u \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta u} - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \frac{\delta A}{\delta u} \right]$$

$$- \left[ \left( \frac{\delta A}{\delta M} \cdot \nabla \right) \frac{\delta B}{\delta u} \left( p - \sum_{\beta=1}^{\nu} \mu_\beta \tilde{b}_\beta \right) - \left( \frac{\delta B}{\delta M} \cdot \nabla \right) \left( \frac{\delta A}{\delta u} (p - \sum_{\beta=1}^{\nu} \mu_\beta \tilde{b}_\beta) \right) \right] dx.$$
is fulfilled.

Proof. The anti-symmetry and the non-interaction condition can be proven in the same way as the skew-symmetry and the non-interaction condition of $J^{(E)}$ and $J^{(S)}$. The Leibniz rule was shown in Section 2. Finally, the Jacobi identity follows by Theorem 2.1 with $\bar{z}_\gamma = \rho_\gamma$, $f_\gamma = L_\gamma$, $\gamma = 1, \ldots, \nu$, and $\bar{z}_{\nu+1} = s$, $f_{\nu+1} = 0$ for the case with the entropy $s$ as state variable or $\bar{z}_{\nu+1} = u$, $f_{\nu+1} = \sum_{\beta=1}^\nu \mu_\beta H_\beta - p$ for the internal energy $u$ as state variable. 

As for the Poisson bracket, the operator $R$ allows us to define the dissipation bracket of the GENERIC formulation. For this, we define

$$[A, B]^{(E)} = \int_{\Omega} \frac{\zeta T}{2} \left[ \nabla \frac{\delta A}{\delta M} + \nabla \frac{\delta A^T}{\delta M} - D \frac{1}{T} \frac{\delta A}{\delta s} \right] : \left[ \nabla \frac{\delta B}{\delta M} + \nabla \frac{\delta B^T}{\delta M} - D \frac{1}{T} \frac{\delta B}{\delta s} \right] \nabla \frac{\delta A}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{1}{T} \frac{\delta B}{\delta s} \nabla \frac{\delta A}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{1}{T} \frac{\delta B}{\delta s}$$

for the case where energy constitutes the thermodynamic potential, and

$$[A, B]^{(S)} = \int_{\Omega} \frac{\zeta T}{2} \left[ \nabla \frac{\delta A}{\delta M} + \nabla \frac{\delta A^T}{\delta M} - D \frac{1}{\delta u} \frac{\delta A}{\delta u} \right] : \left[ \nabla \frac{\delta B}{\delta M} + \nabla \frac{\delta B^T}{\delta M} - \frac{1}{2} \frac{\delta B}{\delta u} \frac{\delta B}{\delta u} \right] \nabla \frac{\delta A}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{1}{\delta u} \frac{\delta B}{\delta u} \nabla \frac{\delta A}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{1}{\delta u} \frac{\delta B}{\delta u}$$

in case entropy constitutes the thermodynamic potential. In the Sections 4.1 and 4.3 we showed that the associated operators $R^{(E)}$ and $R^{(S)}$ inducing the dissipation brackets above are self-adjoint, semi-elliptic, and satisfy the non-interaction conditions (4.13) and (4.32). Therefore, the brackets $[\cdot, \cdot]^{(E)}$ and $[\cdot, \cdot]^{(S)}$ are symmetric, non-negative and fulfill the degeneracy (or non-interacting) condition

$$[A, H]^{(E)} = [A, H]^{(S)} = 0 \quad \text{for all } A \in C^\infty(\mathcal{Z}).$$
The Leibniz rule follows, since the associated operators are linear spatial-differential operators, see Section 2. We summarize all properties of the dissipation brackets in the following lemma.

**Lemma 4.11.** In case energy constitutes the thermodynamic potential, let the dissipation bracket be defined as in (4.36). If entropy constitutes the thermodynamic potential, then choose the dissipation bracket defined by (4.37). Then the bracket is symmetric as well as non-negative and satisfies the Leibniz rule as well as the non-interaction condition (4.38).

As stated in Section 2, the Poisson bracket and dissipation bracket should be enough to describe the evolution of every observable for isolated systems. These observables have to depend upon the state variable $z$ and only implicitly on time.

**Theorem 4.12 (GENERIC for isolated systems of fluid mixture).** Suppose the system of fluid mixture is isolated, i.e., the barycentric velocity $v$, the non-convective heat flux $q$, the diffusion fluxes $J_\alpha$, and the viscosity part of the stress tensor $S$ vanish at the boundary $\partial \Omega$ in normal direction. Let the Poisson bracket be given by (4.34) and the dissipation bracket by (4.36), if the entropy $s$ is a state variable, and by (4.35) and (4.37), if the internal energy $u$ is a state variable.

Then the evolution for every smooth observable $A$ depending on the state $z$ is given by the GENERIC formulation (2.2).

**Proof.** This follows by $\frac{dA}{dt} = \langle \frac{\delta A}{\delta z}, \dot{z} \rangle$ and Theorems 4.3 and 4.9, respectively.

Theorem 4.12 shows that for reactive fluid mixtures the dynamics of every observable in an isolated system can be described with the GENERIC formulation (2.2). For the formulation of open systems we have to consider the dynamics determined by the bulk related contribution of Poisson and dissipation brackets, or in case the full brackets are used, subtract the contribution of the boundary brackets from the full brackets, see (2.4). In Sections 4.2 and 4.3 we proved that the boundary contribution is given by $\mathcal{B}u$. Therefore, we look for associated boundary brackets which satisfy

$$\big\langle \frac{\delta A}{\delta z}, \mathcal{B}u \big\rangle = -\{A, H\}_{\text{boundary}} - \{A, S\}_{\text{boundary}},$$

for every observable $A$ with smooth enough functional derivatives. In addition, the boundary brackets have to fulfill the non-interaction conditions, since the full brackets satisfy it by Lemma 4.10 and 4.11 and the GENERIC formulation for open system claims that the bulk contribution does so. Therefore, for the case where the entropy density $s$ is a state variable and the energy functional contains the thermodynamic potential we define

$$\{A, B\}_{(E)}^{(\text{boundary})} = \int_{\partial \Omega} \zeta T \frac{\delta A}{\delta M} \cdot \left( \nabla \frac{\delta B}{\delta M} + \nabla \frac{\delta B}{\delta M}^\top - D T \frac{\delta B}{\delta s} \right) \cdot n dA,$$

$$\{A, B\}_{(E)}^{(\text{boundary})} = \int_{\partial \Omega} \frac{\delta A}{\delta M} \cdot n T \left( \lambda - \frac{2}{3} \right) \left( \nabla T \frac{\delta B}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{\delta B}{\delta s} \right) + \left( \frac{\delta A}{\delta M} \cdot n \right) T \left( \lambda - \frac{2}{3} \right) \left( \nabla \frac{\delta B}{\delta M} - \frac{1}{2} \text{tr}(D) \frac{\delta B}{\delta s} \right).$$
In case the internal energy density $u$ is a state variable and entropy constitutes the thermodynamic potential, we define the boundary contributions to be given by

$$(4.41) \quad \{A, B\}_{\text{boundary}}^{(S)} = \int_{\partial \Omega} \left( \sum_{\alpha=1}^{\nu} \frac{\delta A}{\delta \rho_\alpha} (\rho_\alpha - L_\alpha) + \frac{\delta A}{\delta M} \cdot \mathbf{M} + \frac{\delta A}{\delta u} \left( u + p - \sum_{\beta=1}^{\nu} \mu_\beta L_\beta \right) \right) \frac{\delta B}{\delta M} \cdot \mathbf{n} dA,$$

$$(4.42) \quad [A, B]_{\text{boundary}}^{(S)} = \int_{\partial \Omega} \zeta T \frac{\delta A}{\delta M} \cdot \mathbf{n} \left( \nabla \left( \frac{\delta B}{\delta M} \right) + \nabla \left( \frac{\delta B}{\delta M} \right)^\top - \mathbf{D} \frac{\delta B}{\delta u} \right) \cdot \mathbf{n} dA.$$

With these boundary contributions we finally can prove that the dynamics of the considered fluid mixture modeled as open system can be described by the GENERIC formulation (2.4).

**Theorem 4.13 (GENERIC for open systems of fluid mixture).** Let one of the following assumptions be satisfied.

i. The entropy density $s$ is a state variable and energy constitutes the thermodynamical potential. The Poisson bracket is given by (4.34) and the dissipation bracket by (4.36) with boundary contribution (4.39) and (4.40), respectively.

ii. The internal energy density $u$ is a state variable and entropy constitutes the thermodynamical potential. The Poisson bracket is given by (4.35) and the dissipation bracket by (4.37) with boundary contribution (4.41) and (4.42), respectively.

Then the Poisson bracket is anti-symmetric, satisfies the Leibniz rule and the Jacobi identity. The dissipation bracket is symmetric, non-negative and satisfies the Leibniz rule as well. The bulk contributions of both brackets, which are defined as

$$\{A, B\}_{\text{bulk}} = \{A, B\} - \{A, B\}_{\text{boundary}} \quad \text{and} \quad [A, B]_{\text{bulk}} = [A, B] - [A, B]_{\text{boundary}},$$

fulfill the associated non-interaction conditions (2.5). Furthermore, the evolution for every observable $A$ depending only on the state $\mathbf{z}$ is given by the GENERIC formulation (2.4).
Proof. The properties of the Poisson bracket and the dissipation bracket are shown in Lemmas 4.10 and 4.11. Since these lemmas prove also the non-interaction condition for the whole bracket, it is enough to show that $\{\cdot, S\}_{\text{boundary}}$ and $[\cdot, H]_{\text{boundary}}$ vanish. For the Poisson brackets this follows immediately by $\frac{\delta S}{\delta M} = 0$ and for the dissipation brackets one uses again $\sum_{\beta=1}^{\nu} B_\beta = 0, \alpha = 1, \ldots, \nu$, and a straightforward calculation. For the evolution equation we notice that under assumption i. it holds that

$$\{A, H\}_{\text{boundary}}^{(E)} + [A, S]_{\text{boundary}}^{(E)} = \int_{\partial\Omega} \left( \sum_{\alpha=1}^{\nu} \frac{\delta A}{\delta \rho_\alpha} (\rho_\alpha - \mathbb{I}_{\alpha}) + \frac{\delta A}{\delta M} \cdot M + \frac{\delta A}{\delta s} s \right) n \cdot v - \zeta \frac{\delta A}{\delta M} \cdot D \cdot n - \frac{\lambda}{3} \frac{\delta A}{\delta M} \cdot \nabla \left[ \frac{1}{T} \nabla \left( \frac{1}{T} \right) + \sum_{\beta=1}^{\nu} B_\beta \nabla \left( - \frac{\mu_\beta}{T} \right) \right] \cdot n$$

$$+ \sum_{\alpha=1}^{\nu} \left( \frac{\delta A}{\delta \rho_\alpha} - \frac{\mu_\alpha}{T} \frac{\delta A}{\delta s} \right) \mathbb{I}_{\alpha} \cdot n - \frac{\delta A}{\delta M} \cdot T^d \cdot n + \frac{\delta A}{\delta s} q \cdot n$$

$$+ \sum_{\alpha=1}^{\nu} \left( \frac{\delta A}{\delta \rho_\alpha} - \frac{\mu_\alpha}{T} \frac{\delta A}{\delta s} \right) J_\alpha \cdot n - \frac{\delta A}{\delta M} \cdot (-\pi I) \cdot n + \sum_{\beta=1}^{\nu} \mathbb{I}_{\beta} \mu_\beta \frac{\delta A}{\delta M} \cdot n dA = -\left( \frac{\delta A}{\delta z}, B^{(E)} u \right),$$

where we used the definitions of the constitutive relations, $B^{(E)}$, and $u$ in (4.18) and (4.20). Analogously, under assumption ii. and with $B^{(S)}$ given by (4.33) one proves that

$$\{A, H\}_{\text{boundary}}^{(S)} + [A, S]_{\text{boundary}}^{(S)} = -\left( \frac{\delta A}{\delta z}, B^{(S)} u \right).$$

The description of the evolution for an observable $A$ by formulation (2.4) follows then by Theorems 4.6, 4.9, and 4.12.

Remark 4.14. Lemma 4.10 and 4.11 as well as the proof of Theorem 4.13 show that not only the bulk contributions fulfill the non-interaction conditions (2.5) but also the whole brackets and therefore also the boundary contributions. This property was shown before for hydro-dynamical systems in [64] and is extended here to homogeneous mixtures of heat-conducting Newtonian fluids consisting of a finite number of reactive constituents.

5 Conclusions

In the first part of this work we introduced operator based state space representations of abstract nonlinear dissipative dynamical systems which interact with their environment in a system theoretic sense. This was achieved through the reinterpretation of the GENERIC framework for open non-equilibrium thermodynamic systems. Any of these Operator-

GENERIC formulations (2.11) and (2.12) is a combination of a generalized Hamiltonian system and a gradient system. As concrete example for an Operator-GENERIC formulation we considered homogeneous mixtures of heat-conducting compressible Newtonian fluids consisting of reactive constituents. Therefore we motivated the differential balance laws and
complementary closure relations that represent the mathematical model of the fluid mixture in the framework of classical continuum physics. As closure relations we chose the constitutive equations of TIP. We presented the Operator GENERIC formulations for fluid mixtures in an operator setting for the cases whether energy or entropy represents the thermodynamic potential. We proved that these Operator GENERIC formulations encode a weak formulation of the field equations in an operator setting. We presented a new mixture related Poisson bracket and a dissipation bracket such that the Onsager-Casimir reciprocal relations could be fully incorporated.

In future work we plan to investigate the relation between the operators of the Operator GENERIC formulations with underlying Dirac structures. Furthermore, Lie-Poisson integrators and structure preserving discretization methods will be in focus.

Acknowledgments

The authors were supported by the Einstein Foundation Berlin through the project "Model reduction for complex transport-dominated phenomena and reactive flows". In addition, the first author is supported by the German Federal Ministry of Education and Research (BMBF) via BMBF-project "Verbundprojekt 05M2018 - EiFer: Energieeffizienz durch intelligente Fernwärmenetze - Teilprojekt 3: Regelung von gekoppelten port-Hamiltonischen Wärme-Stom-Systemen", and the second author by the DFG Collaborative Research Center 910 through the project "Control of self-organizing nonlinear systems: Theoretical methods and concepts of application". The authors would like to thank Christopher Beattie, Volker Mehrmann, Robert Altmann, and Philipp Schulze for helpful discussions.

References

[1] R. Abraham and J. E. Marsden. Foundations of Mechanics. Addison-Wesley Publishing Company, Inc., Redwood City, CA, second edition, 1987.

[2] R. Abraham, J. E. Marsden, and T. Ratiu. Manifolds, Tensor Analysis, and Applications. Springer, New York, second edition, 1988.

[3] R. A. Adams. Sobolev Spaces. Academic Press, New York-London, 1975.

[4] H. W. Alt. Distributions. http://www-m6.ma.tum.de/~alt/alt-distributions.pdf. Accessed 07/07/2018, Version: 20161020.

[5] H. W. Alt. Lectures on mathematical continuum mechanics. http://www-m6.ma.tum.de/~alt/alt-continuum.pdf. Accessed 07/07/2018, Version: 2017116.

[6] H. W. Alt. Linear Functional Analysis: An Application-Oriented Introduction. Springer London, London, 2016.

[7] H. Amann and J. Escher. Analysis II. Birkhäuser Basel, 2008. Translated from the German.

[8] H. Amann and J. Escher. Analysis III. Birkhäuser Basel, 2009. Translated from the German.
[9] L. Ambrosio, N. Gigli, and G. Savaré. *Gradient Flows: in Metric Spaces and in the Space of Probability Measures*. Birkhäuser, Basel, second edition, 2008.

[10] V. I. Averbukh and O. G. Smolyanov. The theory of differentiation in linear topological spaces. *Russ. Math. Surv.* 22(6):201–258, 1967.

[11] A. N. Beris and B. J. Edwards. *Thermodynamics of Flowing Systems: with Internal Microstructure*. Oxford University Press, Oxford, 1994.

[12] A. M. Bloch, P. J. Morrison, and T. S. Ratiu. Gradient flows in the normal and Kähler metrics and triple bracket generated metriplectic systems. In *Recent Trends in Dynamical Systems: Proceedings of a Conference in Honor of Jürgen Scheurle*, pages 371–415. Springer, Basel, 2013.

[13] D. Bothe and W. Dreyer. Continuum thermodynamics of chemically reacting fluid mixtures. *Acta Mech.*, 226(6):1757–1805, 2015.

[14] C. I. Byrnes, A. Isidori, and J. C. Willems. Passivity, feedback equivalence, and the global stabilization of minimum phase nonlinear systems. *IEEE Trans. Automat. Contr.*, 36(11):1228–1240, 1991.

[15] H. B. G. Casimir. On Onsager’s principle of microscopic reversibility. *Rev. Mod. Phys.*, 17:343–350, 1945.

[16] G.-Q. Chen, W. P. Ziemer, and M. Torres. Gauss-Green theorem for weakly differentiable vector fields, sets of finite perimeter, and balance laws. *Commun. Pure. Appl. Math.*, 62(2):242–304, 2009.

[17] C. M. Dafermos. Equivalence of referential and spatial field equations in continuum physics. In *Nonlinear Hyperbolic Problems: Theoretical, Applied, and Computational Aspects*, pages 179–183. Vieweg+Teubner Verlag, Braunschweig/Wiesbaden, 1993.

[18] C. M. Dafermos. Introduction to continuum physics. In *Hyperbolic Conservation Laws in Continuum Physics*, pages 25–51. Springer, Berlin Heidelberg, 2016.

[19] S. R. de Groot and P. Mazur. *Non-Equilibrium Thermodynamics*. Dover Publications, Mineola, NY, 1984.

[20] V. Duindam, A. Macchelli, S. Stramigioli, and H. Bruyninckx. *Modeling and Control of Complex Physical Systems: the Port-Hamiltonian Approach*. Springer, Berlin Heidelberg, 2009.

[21] D. Eberard, B. M. Maschke, and A. J. van der Schaft. Port contact systems for irreversible thermodynamical systems. In *Decision and Control, 2005 and 2005 European Control Conference. 44th IEEE Conference on*, pages 5977–5982, 2005.

[22] D. Eberard, B. M. Maschke, and A. J. van der Schaft. An extension of Hamiltonian systems to the thermodynamic phase space: Towards a geometry of nonreversible processes. *Rep. Math. Phys.*, 60(2):175–198, 2007.

[23] C. Eckart. The thermodynamics of irreversible processes. I. The simple fluid. *Phys. Rev.*, 58:267–269, 1940.
[24] C. Eckart. The thermodynamics of irreversible processes. II. Fluid mixtures. Phys. Rev., 58:269–275, 1940.

[25] C. Eckart. The thermodynamics of irreversible processes. III. Relativistic theory of the simple fluid. Phys. Rev., 58:919–924, 1940.

[26] B. J. Edwards. An analysis of single and double generator thermodynamic formalisms for the macroscopic description of complex fluids. J. Non-Equil. Thermodyn., 23(4):301–333, 1998.

[27] B. J. Edwards and H. C. Öttinger. Time-structure invariance criteria for closure approximations. Phys. Rev. E, 56:4097–4103, 1997.

[28] M. Grmela and H. C. Öttinger. Dynamics and thermodynamics of complex fluids. I. Development of a general formalism. Phys. Rev. E, 56(6):6620–6632, 1997.

[29] M. E. Gurtin, W. O. Williams, and W. P. Ziemer. Geometric Measure Theory and the Axioms of Continuum Thermodynamics, pages 379–400. Springer Berlin Heidelberg, Berlin, Heidelberg, 1987.

[30] E. P. Hamilton and M. Z. Nashed. Global and local variational derivatives and integral representations of Gâteaux differentials. J. Funct. Anal., 49(1):128–144, 1982.

[31] R. A. Horn and C. R. Johnson. Topics in Matrix Analysis. Cambridge University Press, Cambridge, 1991.

[32] K. Hutter. Solid-Fluid Mixtures of Frictional Materials in Geophysical and Geotechnical Context. Springer, Berlin Heidelberg, 2009.

[33] E. A. Ivanova, E. N. Vilchevskaya, and W. H. Müller. Time Derivatives in Material and Spatial Description—What Are the Differences and Why Do They Concern Us?, pages 3–28. Springer, Singapore, 2016.

[34] A. N. Kaufman. Dissipative Hamiltonian systems: A unifying principle. Phys. Lett. A, 100(8):419–422, 1984.

[35] A. N. Kaufman and P. J. Morrison. Algebraic structure of the plasma quasilinear equations. Phys. Lett. A, 88(8):405–406, 1982.

[36] A. I. Kostrikin and Y. I. Manin. Linear Algebra and Geometry. CRC Press, Boca Raton, FL, 1997.

[37] G. Lebon, D. Jou, and J. Casas-Vázquez. Understanding Non-equilibrium Thermodynamics: Foundations, Applications, Frontiers. Springer, Berlin Heidelberg, 2008.

[38] I.-S. Liu. Continuum Mechanics. Springer, Berlin Heidelberg, 2002.

[39] I.-S. Liu and I. Müller. Extended thermodynamics of classical and degenerate ideal gases. Arch. Ration. Mech. Anal., 83(4):285–332, 1983.

[40] J. E. Marsden and T. J. R. Hughes. Mathematical Foundations of Elasticity. Dover Publications, Mineola, NY, 1994.
1. J. E. Marsden and T. S. Ratiu. *Introduction to Mechanics and Symmetry: A Basic Exposition of Classical Mechanical Systems*. Springer, New York, 1999.

2. A. Marzocchi and A. Musesti. On the measure-theoretic foundations of the second law of thermodynamics. *Math. Models Methods Appl. Sci.*, 12(05):721–736, 2002.

3. B. M. Maschke and A. J. van der Schaft. Interconnection of systems: the network paradigm. In *Decision and Control, 1996., Proceedings of the 35th IEEE Conference on*, pages 207–212, 1996.

4. J. Meixner. Zur Thermodynamik der Thermodiffusion. *Ann. Phys.*, 431(5):333–356, 1941. In German.

5. J. Meixner. Consistency of the Onsager-Casimir reciprocal relations. *Adv. Mol. Relax. Int. Pr.*, 5(4):319–331, 1973.

6. J. Meixner and H. G. Reik. Thermodynamik der irreversiblen Prozesse. In *Handbuch der Physik, Bd. 3/2*, pages 413–523. Springer, Berlin Göttingen Heidelberg, 1959. In German.

7. N. G. Meyers and J. Serrin. $H = W$. *Proc. Natl. Acad. Sci. U.S.A.*, 51:1055–1056, 1964.

8. A. Mielke. Formulation of thermoelastic dissipative material behavior using GENERIC. *Continuum Mech. Therm.*, 23(3):233–256, 2011.

9. A. Mielke. On thermodynamical couplings of quantum mechanics and macroscopic systems. In *Mathematical Results in Quantum Mechanics: Proceedings of the QMath12 Conference*, pages 331–348, 2015.

10. C. W. Misner, K. S. Thorne, and J. A. Wheeler. *Gravitation*. Princeton University Press, 2017.

11. M. Mittnenzweig and A. Mielke. An entropic gradient structure for Lindblad equations and couplings of quantum systems to macroscopic models. *J. Stat. Phys.*, 167(2):205–233, 2017.

12. P. J. Morrison. Poisson brackets for fluids and plasmas. *AIP Conference Proceedings*, 88(1):13–46, 1982.

13. P. J. Morrison. Bracket formulation for irreversible classical fields. *Phys. Lett. A*, 100(8):423–427, 1984.

14. P. J. Morrison. A paradigm for joined Hamiltonian and dissipative systems. *Physica D*, 18(1-3):410–419, 1986.

15. P. J. Morrison. Thoughts on brackets and dissipation: Old and new. *J. Phys. Conf. Ser.*, 169(1): 012006, 2009.

16. I. Müller. *Thermodynamics*. Pitman, London, 1985.

17. I. Müller and T. Ruggeri. *Rational Extended Thermodynamics*. Springer, New York, second edition, 1998.
[58] I. Müller and W. Weiss. Thermodynamics of irreversible processes — past and present. *Eur. Phys. J. H*, 37(2):139–236, 2012.

[59] J. Nečas. *Direct Methods in the Theory of Elliptic Equations*. Springer, Heidelberg, 2012.

[60] W. Noll. Lectures on the foundations of continuum mechanics and thermodynamics. *Arch. Ration. Mech. Anal.*, 52(1):62–92, 1973.

[61] L. Onsager. Reciprocal relations in irreversible processes. I. *Phys. Rev.*, 37:405–426, 1931.

[62] L. Onsager. Reciprocal relations in irreversible processes. II. *Phys. Rev.*, 38:2265–2279, 1931.

[63] H. C. Öttinger. *Beyond Equilibrium Thermodynamics*. John Wiley & Sons, Hoboken, NJ, 2005.

[64] H. C. Öttinger. Nonequilibrium thermodynamics for open systems. *Phys. Rev. E*, 73(3):036126, 2006.

[65] H. C. Öttinger. The geometry and thermodynamics of dissipative quantum systems. *Europhys Lett.*, 94(1):10006, 2011.

[66] H. C. Öttinger and M. Grmela. Dynamics and thermodynamics of complex fluids. II. Illustrations of a general formalism. *Phys. Rev. E*, 56(6):6633 – 6655, 1997.

[67] M. Pavelka, V. Klika, and M. Grmela. Time reversal in nonequilibrium thermodynamics. *Phys. Rev. E*, 90:062131, 2014.

[68] W. F. Pfeffer. On the continuity of the volterra variational derivative. *J. Funct. Anal.*, 71(1):195–197, 1987.

[69] W. A. Rodrigues, Q. A. G. de Souza, and Y. Bozhkov. The mathematical structure of Newtonian spacetime: Classical dynamics and gravitation. *Found. Phys.*, 25(6):871–924, 1995.

[70] T. Ruggeri. The entropy principle from continuum mechanics to hyperbolic systems of balance laws: The modern theory of extended thermodynamics. *Entropy*, 10(3):319–333, 2008.

[71] F. Schwabl. *Statistische Mechanik*. Springer, Berlin Heidelberg, 2006. In German.

[72] M. Šilhavý. *The Mechanics and Thermodynamics of Continuous Media*. Springer, Berlin Heidelberg, 1997.

[73] Y. B. Suris. *The Problem of Integrable Discretization: Hamiltonian Approach*. Birkhäuser, Basel, 2003.

[74] Y. R. Talpaert. *Tensor Analysis and Continuum Mechanics*. Springer Netherlands, Dordrecht, 2002.
Appendix

Proof of the Jacobi Identity, Theorem 2.1

In this section we prove the Jacobi identity for brackets of the general form (2.8). We assume that the set $Z$ where the state lives is an open subset of $D_z$ which is a closed subspace of $[W^{1,p}(\Omega)]^n$ (not necessarily with zero boundaries). Further, $z$ should be smooth enough. Note that, $Z$ as an open subset of a linear space is a manifold with a trivial bundle. For the readability of the proof, we adopt in the following the summation convention for coordinate representations on physical space. This means that, if an index appears twice (and only twice) in the same term, once as a subscript and once as a superscript it implies summation of that term over all the values of the index. The corresponding summation symbol $\sum$ is omitted.
Before we prove Theorem 2.1 we have to calculate formulas for the partial functional derivatives and their spatial derivatives when they are acting on elements of $W^{1,p}(\Omega)$. This can be done by the following Lemma.

**Lemma A.1.** Let the functions $F, G \in C^\infty(Z)$ be given by

$$F(z) = \int_{\Omega} a_k(x, z(x))g^{kl}(x)\nabla_\ell \chi(x, z(x)) \, dx,$$

$$G(z) = \int_{\Omega} A_{ik}(x, z(x))g^{kl}(x)\nabla_\ell (g^{ij}(x)a_j(x, z(x))) \, dx,$$

where $\chi(\cdot, z(\cdot)), a_j(\cdot, z(\cdot))$, and $A_{ik}(\cdot, z(\cdot))$ are coordinate representations of a scalar, a covector and a two-covariant tensor field, respectively, which map the domain $\Omega$ into the real numbers and depend smoothly enough on $x$. Let the mappings of $Z$ to these fields be smooth enough as well, and $\alpha = 1, \ldots, \mu + 1$ be arbitrary but fixed. Then for every $\phi \in W^{1,p}(\Omega) \setminus \{0\}$ and $h \in W^{1,p}(\Omega)^d \setminus \{0\}$, such that the vector with $\phi$ in the $\alpha^{th}$ position and otherwise zero-function, as well as $[0, \ldots, 0, h]$ are elements of $\mathcal{D}_z$, it holds that

\begin{align}
(A.1a) \quad & \int_{\Omega} \phi \frac{\delta F}{\delta \phi} \, dx = \int_{\Omega} \phi \frac{\partial a_k}{\partial \phi} g^{kl} \nabla_\ell \chi + a_k g^{kl} \nabla_\ell (\phi \frac{\partial \chi}{\partial \phi}) \, dx, \\
(A.1b) \quad & \int_{\Omega} \phi \frac{\delta G}{\delta M_\ell} \, dx = \int_{\Omega} \phi \frac{\partial A_{ik}}{\partial M_\ell} g^{kl} \nabla_\ell (g^{ij} a_j) + A_{ik} g^{kl} \nabla_\ell (\phi g^{ij} \frac{\partial a_j}{\partial \phi}) \, dx, \\
(A.1c) \quad & \int_{\Omega} h_{p_{\beta} g^{pq}} \frac{\delta F}{\delta M_\ell} \, dx = \int_{\Omega} h_{p_{\beta} g^{pq}} \frac{\partial a_k}{\partial M_\ell} g^{kl} \nabla_\ell \chi + a_k g^{kl} \nabla_\ell (h_{p_{\beta} g^{pq}} \frac{\partial \chi}{\partial \phi}) \, dx, \\
(A.1d) \quad & \int_{\Omega} h_{p_{\beta} g^{pq}} \frac{\delta G}{\delta M_\ell} \, dx = \int_{\Omega} h_{p_{\beta} g^{pq}} \frac{\partial A_{ik}}{\partial M_\ell} g^{kl} \nabla_\ell (g^{ij} a_j) + A_{ik} g^{kl} \nabla_\ell (h_{p_{\beta} g^{pq}} g^{ij} \frac{\partial a_j}{\partial \phi}) \, dx.
\end{align}

Furthermore, for the spatial version of the functional derivatives of $F$ and $G$ we have

\begin{align}
& \int_{\Omega} \phi M_{p_{\beta} g^{pq}} \nabla_q \frac{\delta F}{\delta \phi} \, dx \\
= & \int_{\Omega} \phi M_{p_{\beta} g^{pq}} \nabla_q \left( \frac{\partial a_k}{\partial \phi} g^{kl} \nabla_\ell \chi + a_k g^{kl} \nabla_\ell (\phi \frac{\partial \chi}{\partial \phi}) \right) + \nabla_\ell (\phi M_{p_{\beta} g^{pq}}) \nabla_q (a_k g^{kl} \frac{\partial \chi}{\partial \phi}) \, dx, \\
& \int_{\Omega} \phi M_{p_{\beta} g^{pq}} \nabla_q \frac{\delta G}{\delta M_\ell} \, dx \\
= & \int_{\Omega} \phi M_{p_{\beta} g^{pq}} \nabla_q \left( \frac{\partial A_{ik}}{\partial M_\ell} g^{kl} \nabla_\ell (g^{ij} a_j) + A_{ik} g^{kl} \nabla_\ell (\phi g^{ij} \frac{\partial a_j}{\partial \phi}) \right) + \nabla_\ell (\phi M_{p_{\beta} g^{pq}}) \nabla_q (A_{ik} g^{kl} \frac{\partial \chi}{\partial \phi}) \, dx, \\
& \int_{\Omega} h_{s_{\beta} M_{p_{\beta} g^{pq}}} \nabla_q \frac{\delta F}{\delta M_\ell} \, dx \\
= & \int_{\Omega} h_{s_{\beta} M_{p_{\beta} g^{pq}}} \nabla_q \left( \frac{\partial a_k}{\partial \phi} g^{kl} \nabla_\ell \chi + g^{st} a_k g^{kl} \nabla_\ell \frac{\partial \chi}{\partial \phi} \right) + \nabla_\ell (h_{s_{\beta} M_{p_{\beta} g^{pq}}} M_{p_{\beta}}) \nabla_q (g^{st} a_k g^{kl} \frac{\partial \chi}{\partial \phi}) \, dx, \\
& \int_{\Omega} h_{s_{\beta} M_{p_{\beta} g^{pq}}} \nabla_q \frac{\delta G}{\delta M_\ell} \, dx \\
= & \int_{\Omega} h_{s_{\beta} M_{p_{\beta} g^{pq}}} \nabla_q \left( \frac{\partial A_{ik}}{\partial M_\ell} g^{kl} \nabla_\ell (g^{ij} a_j) + g^{st} A_{ik} g^{kl} \nabla_\ell (g^{ij} \frac{\partial a_j}{\partial \phi}) \right) + \nabla_\ell (h_{s_{\beta} M_{p_{\beta} g^{pq}}} M_{p_{\beta}}) \nabla_q (g^{st} A_{ik} g^{kl} \frac{\partial \chi}{\partial \phi}) \, dx.
\end{align}
Proof. We will only prove the first equation for the integral equations without and with the spatial version of the functional derivatives. The other equation follows with analogous arguments. The first equality follows by the definition of the functional derivative via
\[
\int_{\Omega} \phi \frac{\delta F}{\delta z} \, dx
\]
\[
= \lim_{\varepsilon \to 0} \frac{1}{\varepsilon} \{ F(\tilde{z}_1, \ldots, \tilde{z}_{\alpha-1}, \tilde{z}_\alpha + \varepsilon \phi, z_{\alpha+1}, \ldots, z_{\mu+1}, M) - F(z) \}
\]
\[
= \lim_{\varepsilon \to 0} \frac{1}{\varepsilon} \int_{\Omega} \{ a_k g^{k\ell} \nabla_\ell \chi + \varepsilon \left( \partial_k a_k g^{k\ell} \nabla_\ell \chi + a_k g^{k\ell} \nabla_\ell \left( \phi \frac{\partial \chi}{\partial z_\alpha} \right) \right) + O(\varepsilon^2) - a_k g^{k\ell} \nabla_\ell \chi \} \, dx
\]
\[
= \int_{\Omega} \phi \frac{\partial a_k}{\partial z_\alpha} g^{k\ell} \nabla_\ell \chi + a_k g^{k\ell} \nabla_\ell \left( \phi \frac{\partial \chi}{\partial z_\alpha} \right) \, dx,
\]
where we have made use of the smoothness of \( a \) and \( b \) and that \( z = [0, \ldots, 0, \varepsilon \phi, 0, \ldots, 0] \) is an element of \( D_a \) for small enough \( \varepsilon > 0 \). For the first equation with a covariant derivative, let \( \phi \in C^\infty(\Omega) \cap W^{1,p}(\Omega) \). By a formal calculation we get
\[
\int_{\Omega} \phi M p g^{pq} \nabla_q \frac{\delta F}{\delta z_\alpha} \, dx
\]
\[
= \int_{\Omega} \nabla_q \left( \phi M p g^{pq} \frac{\delta F}{\delta z_\alpha} \right) - \nabla_q (\phi M p g^{pq}) \frac{\delta F}{\delta z_\alpha} \, dx
\]
\[
(A.2)
\]
\[
= \int_{\Omega} \nabla_q \left( \phi M p g^{pq} \frac{\partial a_k}{\partial z_\alpha} g^{k\ell} \nabla_\ell \chi + a_k g^{k\ell} \nabla_\ell \left( \phi \frac{\partial \chi}{\partial z_\alpha} \right) \right)
\]
\[
- \nabla_q (\phi M p g^{pq}) \frac{\partial a_k}{\partial z_\alpha} g^{k\ell} \nabla_\ell \chi - a_k g^{k\ell} \nabla_\ell \left( \nabla_q (\phi M p g^{pq}) \frac{\partial \chi}{\partial z_\alpha} \right) \, dx
\]
\[
= \int_{\Omega} \phi M p g^{pq} \nabla_q \left( \frac{\partial a_k}{\partial z_\alpha} g^{k\ell} \nabla_\ell \chi + a_k g^{k\ell} \nabla_\ell \left( \phi \frac{\partial \chi}{\partial z_\alpha} \right) \right) + \nabla_\ell (\phi M p g^{pq}) \nabla_q \left( a_k g^{k\ell} \frac{\partial \chi}{\partial z_\alpha} \right) \, dx.
\]
Note that the second and third line is well-defined by the smoothness of \( \phi \) and \( z \). Since \( C^\infty(\Omega) \cap W^{1,p}(\Omega) \) is dense in \( W^{1,p}(\Omega) \) and since the last line is well-defined for \( \phi \in W^{1,p}(\Omega) \) if \( z \) is smooth enough, the integral equality \((A.2)\) can be extended to \( W^{1,p}(\Omega) \).

Proof of Theorem 2.1. Let us define the brackets
\[
\{ A, B \}_1 := \int_{\Omega} M_i \left[ \frac{\delta A}{\delta M_k} g^{k\ell} \nabla_\ell (g_{ij} \frac{\delta B}{\delta M_j}) - \frac{\delta B}{\delta M_k} g^{k\ell} \nabla_\ell (g_{ij} \frac{\delta A}{\delta M_j}) \right] \, dx,
\]
\[
\{ A, B \}_2 := \int_{\Omega} \sum_{\alpha=1}^{\mu+1} z_\alpha \left[ \frac{\delta A}{\delta M_k} g^{k\ell} \nabla_\ell (\delta B / \delta z_\alpha) - \frac{\delta B}{\delta M_k} g^{k\ell} \nabla_\ell (\delta A / \delta z_\alpha) \right] \, dx,
\]
\[
\{ A, B \}_3 := \int_{\Omega} \sum_{\alpha=1}^{\mu+1} \left[ \frac{\delta A}{\delta M_k} g^{k\ell} \nabla_\ell (f_a \frac{\delta B}{\delta z_\alpha}) - \frac{\delta B}{\delta M_k} g^{k\ell} \nabla_\ell (f_a \frac{\delta A}{\delta z_\alpha}) \right] \, dx.
\]
Then, it obviously holds that \( \{ \cdot, \cdot \} = -\{ \cdot, \cdot \}_1 - \{ \cdot, \cdot \}_2 + \{ \cdot, \cdot \}_3 \) for the bracket defined in \((2.8)\). For the proof we consider all possible combinations of the brackets and number the terms which arise from applying Lemma A.1 in these integral equations. Then, these terms will be split into subterms and we will show which combination of subterms sum up to zero under cyclic summation. Note that, a single term can annul itself under cyclic summation. If two terms only vanish together, we write "together with". At first, let us consider the bracket \( \{\cdot,\cdot\}_1 \) for which
\[
\{ A, \{ B, C \}_1 \}_1
\]
\[
\begin{align*}
&= \int \Omega M_s \delta A_g^{p q} g^{q t} x g^{s t} M_s \delta M_p \delta M_t \int \Omega \left[ \frac{\delta B}{\delta M_k} g^{k t} \nabla_t (g^{i j} \delta C g^{i j} \delta M_j) - \frac{\delta C}{\delta M_k} g^{k t} \nabla_t (g^{i j} \delta B g^{i j} \delta M_j) \right] dx' + M_s \nabla_t \left( g^{q t} M_s \delta A g^{p t} \right) \left[ \frac{\delta B}{\delta M_k} g^{k t} \nabla_t (g^{i j} \delta C g^{i j} \delta M_j) - \frac{\delta C}{\delta M_k} g^{k t} \nabla_t (g^{i j} \delta B g^{i j} \delta M_j) \right] (1).
\end{align*}
\]

Then the cyclic sum of (1.a); (1.b) together with (5); (2) together with (7); (3.a); (3.b) together with (4.a); (4.b); as well as (6) vanish. If we consider \( \{A, \{B, C\}_2\} \) then terms equivalent to the ones from \( \{A, \{B, C\}_1\} \) will arise with the exception of (5), since \( 5 \frac{\delta B}{\delta M_k} = 0 \). Therefore, only the term \( \int \Omega \sum_{s=1}^{k+1} \frac{\delta A_g^{p q} g^{k t} \nabla_t (g^{i j} \delta C_g^{i j} \delta M_j)}{\delta M_k} - \frac{\delta C}{\delta M_k} g^{k t} \nabla_t (g^{i j} \delta B_g^{i j} \delta M_j) \) dx, which is equivalent to (1.4) from above, will not vanish under cyclic summation of \( \{A, \{B, C\}_2\} \). This term will vanish under cyclic summation with a term of \( \{A, \{B, C\}_1\} + \{A, \{B, C\}_2\} \),
to be more precise with a part of $-\int_{\Omega} \sum_{a=1}^{\mu+1} \frac{\delta^2 B}{\delta \delta_{\alpha} \delta_{\beta}} \nabla f(\delta A, \delta C) \delta C \nabla (f_{\beta} \frac{\delta B}{\delta \delta_{\alpha} \delta_{\beta}}) \, dx$. The remaining terms of $\{A, \{B, C\}\}_2 + \{A, \{B, C\}\}_1$ will also cyclic sum up to zero. To prove this, the splitting of the subterms is similar to these of $\{A, \{B, C\\}_1 \}. \quad $In the end, $\{A, \{B, C\\}_2 + \{A, \{B, C\\}_1\}$ vanish under cyclic summation.

For the third part of the bracket we derive

\[
\{A, \{B, C\}\}_3 \quad \begin{align*}
\int_{\Omega} \sum_{\alpha, \beta=1}^{\mu+1} & \frac{\delta A}{\delta \delta_{\alpha} \delta_{\beta}} g^{k p q} \nabla f(\alpha, \beta) g^{k t} \nabla (f_{\beta} \frac{\delta C}{\delta \delta_{\alpha} \delta_{\beta}}) - \frac{\delta^2 C}{\delta \delta_{\alpha} \delta_{\beta} \delta_{\alpha} \delta_{\beta}} g^{k t} \nabla (f_{\beta} \frac{\delta B}{\delta \delta_{\alpha} \delta_{\beta} \delta_{\alpha} \delta_{\beta}}) \end{align*} \]
Then under cyclic summation the terms (1) together with (6); (2.1); (2.2) together with (3.1); (3.2); (3.3) together with (4.1); (4.2); and (5) vanish. For mixed terms with \{·,·,·\}_3 we observe, that

\[
\{A, \{B,C\}_3\}_2 + \{A, \{B,C\}_2\}_3
\]

\[
= \int \sum_{\alpha=1}^{\mu+1} \sum_{\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\alpha} \right) \int \sum_{\gamma=1}^{\mu+1} \frac{\delta B}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta C}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)
\]

\[
- \sum_{\alpha=1}^{\mu+1} \sum_{\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) \int \sum_{\gamma=1}^{\mu+1} \frac{\delta B}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta C}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)
\]

\[
+ \int \sum_{\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) \int \sum_{\alpha=1}^{\mu+1} \frac{\delta B}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta C}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)
\]

\[
- \sum_{\beta=1}^{\mu+1} \sum_{\alpha=1}^{\mu+1} \frac{\delta A}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\alpha} \right) \int \sum_{\gamma=1}^{\mu+1} \frac{\delta B}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\alpha} \right) - \frac{\delta C}{\delta M_\beta} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\alpha} \right) dx' \left( \frac{\delta}{\delta z_\beta} \right)
\]

\[
= \int \sum_{\alpha,\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta^2 B}{\delta M_\alpha \delta z_\alpha} \right) {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)(1)
\]

\[
+ \sum_{\alpha,\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta B}{\delta M_\alpha \delta z_\alpha} \right) {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)(2)
\]

\[
+ \sum_{\alpha,\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta B}{\delta M_\alpha \delta z_\alpha} \right) {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) - \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)(3)
\]

\[
- \sum_{\alpha,\beta=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) \int \sum_{\gamma=1}^{\mu+1} \frac{\delta B}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta^2 B}{\delta M_\alpha \delta z_\alpha} \right) - \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)(4)
\]

\[
- \sum_{\alpha,\beta=1}^{\mu+1} \frac{\delta B}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) \int \sum_{\gamma=1}^{\mu+1} \frac{\delta A}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} \right) - \frac{\delta^2 C}{\delta M_\alpha \delta z_\alpha} {g_p}^{\mu} {g_q}^{\nu} \left( \frac{\delta}{\delta z_\beta} \right) dx' \left( \frac{\delta}{\delta z_\alpha} \right)(5)
\]

56
\[
\begin{align*}
\sum_{\alpha, \beta=1}^{\mu+1} & \frac{\delta A}{\delta M_p} g^{pq} \nabla_q \left( f_\beta \frac{\delta g}{\delta M_k} g^{kl} \nabla_k \frac{\delta C}{\delta M_\alpha \delta z_\beta} - \frac{\delta C}{\delta M_k} g^{kl} \nabla_k \frac{\delta B}{\delta z_\alpha} \right) \\
\sum_{\alpha, \beta=1}^{\mu+1} & \frac{\delta A}{\delta M_p} g^{pq} \nabla_q \left( f_\beta \frac{\delta g}{\delta M_k} g^{kl} \nabla_k \frac{\delta C}{\delta M_\alpha \delta z_\beta} - \frac{\delta C}{\delta M_k} g^{kl} \nabla_k \frac{\delta B}{\delta z_\alpha} \right)
\end{align*}
\]
\[ + \sum_{\alpha, \beta = 1}^{\mu+1} \delta A \frac{\delta B}{\delta M_p} g^{pq} \nabla_{q} \left( \partial_{z} \right) g^{k \ell} \left[ \partial_{\frac{\delta^2 B}{\delta M_k}} \frac{\delta C}{\delta M_k} \right] \nabla_{q} \left( \partial_{\frac{\delta^2 B}{\delta M_k}} \frac{\delta C}{\delta M_k} \right) \]
\[ - \sum_{\alpha,\beta=1}^{\mu+1} \tilde{z}_\alpha \nabla_q (f_\beta \frac{\delta A}{\delta z_\beta}) g^{qp} \left[ \frac{\delta^2 B}{\delta M_p \delta M_q} g^{kl} \nabla_\ell \frac{\delta C}{\delta z_\beta} - \frac{\delta^2 C}{\delta M_p \delta M_q} g^{kl} \nabla_\ell \frac{\delta B}{\delta z_\beta} \right] \] (11)

\[ - \sum_{\alpha,\beta=1}^{\mu+1} \tilde{z}_\alpha \frac{\delta B}{\delta M_k} g^{kl} \nabla_\ell \left( \frac{\delta^2 C}{\delta z_\alpha \delta M_p} g^{pq} \nabla_q (f_\beta) \right) - \frac{\delta C}{\delta M_k} g^{kl} \nabla_\ell \left( \frac{\delta^2 B}{\delta z_\alpha \delta M_p} g^{pq} \nabla_q (f_\beta \frac{\delta A}{\delta z_\beta}) \right) \] (12) dx.

Then under cyclic summation all terms vanish except of (3.c.i) and (6.c). This can be seen if one considers \( \sum_{\alpha=1}^{\mu+1} \frac{\delta f_\beta}{\delta z_\alpha} \nabla_\ell \tilde{z}_\alpha = \nabla_\ell f_\beta \) and the combination (1) together with (12); (2.a); (2.b) together with (3.a); (3.b); (3.c.ii) together with (6.a); (3.c.iii); (3.d.i) together with (9.a); (3.d.ii) together with (8.a); (4) together with (11); (5) together with (7); (6.b); (8.b); (8.c) together with (9.d); (9.b) together with (10.a); (9.c); and (10.b). If one does the same expansion for \( \{A, \{B, C\}_3\}_1 + \{A, \{B, C\}_1\}_3 \), one additional term

\[ \int \left[ - \sum_{\alpha,\beta=1}^{\mu+1} \nabla_q (f_\beta \frac{\delta A}{\delta z_\beta}) g^{qp} \left[ \frac{\delta B}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta C}{\delta z_\beta} - \frac{\delta C}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta B}{\delta z_\beta} \right] \right] \] (13) dx

\[ = \int \left[ - \sum_{\beta=1}^{\mu+1} \frac{\delta A}{\delta z_\beta} \nabla_q (f_\beta) g^{qp} \left[ \frac{\delta B}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta C}{\delta z_\beta} - \frac{\delta C}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta B}{\delta z_\beta} \right] \right] \] (13.a)

\[ - \sum_{\beta=1}^{\mu+1} f_\beta \nabla_q \left( \frac{\delta A}{\delta z_\beta} \right) g^{qp} \left[ \frac{\delta B}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta C}{\delta z_\beta} - \frac{\delta C}{\delta M_p} g^{kl} \nabla_\ell \frac{\delta B}{\delta z_\beta} \right] \] (13.b) dx

appears, while the terms (3.c.*) and (6.a) do not appear, since \( f_\alpha \) and \( \tilde{z}_\alpha \) are independent of \( \mathbf{M} \). Note, that term (13) was not a part of \( \{A, \{B, C\}_3\}_2 + \{A, \{B, C\}_2\}_3 \), since \( \frac{\partial \mathbf{M}_k}{\partial \xi_\alpha} = 0 \). However, under the cyclic summation as for \( \{\cdot, \{\cdot, \cdot\}_2\}_3 + \{\cdot, \cdot\}_1 \}_{1} \) all terms of \( \{\cdot, \{\cdot, \cdot\}_3\}_1 + \{\cdot, \cdot\}_1 \}_{3} \) vanish unless (13). Finally, we notice that (13.a) together with (3.c.i) as well as (6.c) together with (13.b) annul each other in a cyclic sum. Therefore, the Jacobi identity follows with the previous calculations and

\[ \{A, \{B, C\}\} = \{A, \{B, C\}_1\}_1 + \{A, \{B, C\}_2\}_2 + \{A, \{B, C\}_2\}_1 + \{A, \{B, C\}_1\}_2 \]

\[ + \{A, \{B, C\}_3\}_3 - \sum_{i=1}^{2} \{\{A, \{B, C\}_3\}_1 + \{A, \{B, C\}_3\}_3 \}. \]

\[ \square \]