Research Article

Joint Optimization of Regular Charging Electric Bus Transit Network Schedule and Stationary Charger Deployment considering Partial Charging Policy and Time-of-Use Electricity Prices

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Electric buses (EBs) have been implemented worldwide and exhibited great potential for air pollution reduction and traffic noise control. In regular charging scenarios, the deployment of charging facilities and the operational scheduling of the transit system is crucial to bus transit system management. In this paper, we proposed a joint optimization model of regular charging electric bus transit network schedule and stationary charger deployment considering partial charging policy and time-of-use electricity prices. The objective of the model is to minimize the total investment cost of the transit system including the capital and maintenance cost of EBs and chargers, the power consumption cost, and time-related in-service cost. A solving procedure based on the improved adaptive genetic algorithm (AGA) is further designed and a transit network at inner Anting Town, Shanghai, with 8 individual bus routes and 867 daily service trips is adopted for the model validation. The validation results illustrated that the methodology considering the partial charging policy can arrange the charging schedule adaptive to the time-of-use electricity prices. Compared with the benchmark of single line separate scheduling, the proposed model can yield 3 million RMB investment saving by highly utilizing EBs and battery chargers.

1. Introduction

With the development of electric powertrain technologies, electric vehicles have been adopted worldwide. This new type of vehicle is environmentally friendly due to its low-level air pollution and noise generation and has been regarded as a potential alternative to conventional diesel automotive [1–6].

According to recent research, transit bus system is a major area for electric vehicle adoption. A significant number of public transit routes have been configured with electric buses (EBs) throughout the world. According to Wang et al. [7], over 16000 conventional diesel buses have been replaced by EB in Shenzhen, China, and the usage percentage of EB in its bus transit system has reached 100% at the end of 2017. Similarly, the US Environmental Protection Agency (EPA) has announced that the proportion of EBs in America’s transit bus market has increased rapidly from 2% in 2007 to nearly 20% in 2015. It is also predicted that full application of EB will potentially lead to a 50% decrease in fuel consumption in the foreseeable future [8].

Currently, there are mainly four means of EB charging strategies: regular charging, fast charging, battery exchange, and charging lanes [9–12]. Among these charging strategies, regular charging technology is relatively mature and safe and has been widely adopted in the bus transit system [13]. For
regular charging scenarios, the deployment of charging facilities is crucial to bus transit system management. On one hand, the construction and operation cost of charging facilities is relatively large, and thus the investment plan has to be strictly considered. On the other hand, the deployment of chargers would influence the bus transit system’s service quality due to the bus recharging process during daily operation [14]. To maintain the bus system’s service quality and minimize the investment cost for charging facilities and EBs, this study would jointly optimize the EB fleet operation schedule and charging facility deployment to reduce the overall investment and achieve high utilization of regular charging chargers and EBs.

2. Literature Review

There have been some exploratory studies on the EB vehicle scheduling problem and EB charging infrastructure planning separately.

2.1. Literature Review on EB Vehicle Scheduling Problem.

EB operation and charging scheduling belongs to the transit vehicle scheduling problem, which refers to the problem of investigating an optimal assignment of trips in a given transit timetable to the bus fleet. Transit management must design feasible and accurate plans for routes running and EBs charging when the EB penetration level is high. To operate the mixed bus fleet, Paul and Yamada [15] proposed a $k$-greedy algorithm-based approach to maximize the travel distance of the EBs in the bus fleet. Wei et al. [16] introduced a spatial-temporal optimization model to schedule the mixed bus fleet and identify the optimal infrastructure deployment strategies of the bus system.

The existing research on the operation scheduling of the 100% EB fleet can be categorized according to the battery charging strategies outlined in the background. Jang et al. [17, 18] optimized the locations of charging lanes and the battery size to minimize the total social cost for a single bus line. Several studies addressed the operation of EB under battery exchange mode under the predetermined charging time and full-charging assumption [19–21]. Wang et al. [8] developed a framework to optimize the location and capacity of the charging stations while at the same time generating EB recharging schedules under fast-charging rules. Liu and Ceder [12] proposed two different mathematical programming models and solution methods to solve the scheduling problem of fast-charging EBs with the adoption of a partial charging policy.

Compared with charging lanes, battery exchange, and fast charging, regular charging mode is the most adopted charging strategy but requires longer charging time and is more complicated in terms of scheduling operation and charging plans. The bus scheduling problem for regular charging mode was generally investigated by Li [22] in consideration of charging stations with limited capacity. Ke et al. [23] proposed an EB operation and battery charging simulation framework to minimize EB transportation system construction cost in Penghu, Taiwan. Considering charging and dispatching policies, Jiang et al. [24] developed a neighborhood search based heuristic to schedule an EB fleet on a trunk transport route with long travel distance and high service frequency. In the context of a single EB route level, Rogge et al. [14] provided a thorough and comprehensive framework for the cost-optimized planning of a mixed EB fleet of different EB types. As for the level of multidepot EB transit network, Wen et al. managed to develop an optimization model with the goal of minimizing the total EB fleet purchase and operation cost and proposed an adaptive large neighborhood search heuristic to solve the randomly generated numerical cases [25].

2.2. Literature Review on EB Charging Infrastructure Planning.

EB charging infrastructure planning varies with EB’s charging strategies similarly. Charging lane EB system mainly pursues an optimal location and length of charging lanes [26, 27], whereas EB system with station-based charging modes generally requires optimization of charging station sitting and charging facility scheduling. Among the station-based charging modes, the fast-charging mode is usually linked to the distributed deployment format of the fast-charging station, which was investigated at the transit network level by Wei et al. [16]. Yan et al. [28] proposed a charging sequence generating strategy for a single fast-charging station. Centralized charging station deployment format is generally used for battery swapping and regular charging modes [11, 29]. Assuming the battery swapping duration is constant, several optimization methods for swapping station deployment and switching battery schedule were proposed in recent studies [30–32]. Meanwhile, the methods of these works can not be implemented directly to the infrastructure planning of regular charging EB system due to the regular charging EBs’ flexible charging policy with relatively long and variable charging duration.

Most existing studies related to regular charging EB charging infrastructure planning concentrate mainly on the charging schedule optimization for charging stations, with known EB fleet size and operation schedule. Leou and Hung [33] presented a charging scheduling model for a centralized depot for a small centralized depot considering the variable daytime electricity prices. Houbbadi et al. [34] developed a multiobjective depot charging strategy optimization model aiming at reducing charging cost as well as extending battery lifetime and tested their solving procedure with a case study of one specific EB operating on a single line scenario. Wang et al. [7] presented a real-time charging scheduling method based on the Markov decision process for large-scale EB fleet to reduce the charging and operation cost. The real-world streaming data of 16359 EBs in Shenzhen was used to validate their method. Also grounding the practical case on EB transit network in Shenzhen, Lin et al. [35] focused on the deployment of the centralized charging station for EB transit system, introducing the connection of power grid to the problem of charging infrastructure planning.

2.3. Research Gaps, Contributions, and Organization of the Study.

It has been addressed that the operation of electric
vehicle fleets and the planning of charging systems are highly coupled together [36]. According to the literature review, however, the method for optimizing regular charging EB schedule and charging infrastructure deployment jointly is underexplored. Seldom researchers have tried to investigate such methods. Rogge et al. [14] adopted joint optimization at the level of bus line and optimized the bus fleet schedule based on the preconfigured blocks of service trips. But the quality of blocks had a considerable impact on that of the solution. Liu and Ceder [12] optimized the total number of buses and battery chargers for the fast-charging EB system with multiple lines. Meanwhile, their optimization objectives did not contain the operational cost. In this paper, we propose a joint optimization methodology of regular charging EB transit network schedule and stationary charger deployment. The methodology takes into account the range limitation of travel distance and state of charge (SOC) as constraints. Moreover, partial charging policy and time-of-use electricity prices are considered in the optimization method to enhance the model’s practical applicability [12, 37].

The rest of this work is structured as follows. Section 3 details a formal description of the regular charging EB stationary charger deployment and multidepot vehicle scheduling problem (SCD-MDVSP) with a small illustrative example, followed by the mathematical formulation in consistence with the problem statement in Section 4. Section 5 provides the solution approach of the improved adaptive genetic algorithm. Subsequently, a case study involving 8 individual bus routes in Anting Town, Shanghai, China, is conducted for model validation and result analysis is in Section 6. Section 7 finally summarizes our current work and discusses the possible directions for future research.

3. Description of the SCD-MDVSP

The goal of this section is to facilitate the understanding of the SCD-MDVSP, including input conditions, the modeling scenario, and a feasible solution. A simple numerical example, which is based on a transit network with 3 lines, is used to illustrate the SCD-MDVSP.

In this numerical example, there are 5 terminal stations related to the 3 transit lines. Considering space and power management constraints, 2 terminal stations are selected as charging stations, while other terminal stations are ordinary terminal stations. It is assumed that the battery chargers can only be installed in the candidate charging stations. Figure 1 shows the transit network topology and the operating duration between any two stations.

The SOC-related parameters of EB set in the numerical example are listed in Table 1, which indicates the charging and discharging characteristics of EB. In the modeling scenario, after completing their service missions, EBs would return to their original departing charging stations and get fully charged at night and depart with the permitted maximum SOC the next day.

The timetables for the 15 service trips on the 3 lines are presented in Table 2. Each service trip in the timetables should be covered exactly once per day, and multiline trips can be assigned to one EB only if the sequence of trips and charging arrangements is feasible in respect of time and SOC range constraints.

Given the input data above, the objective of SCD-MDVSP is to minimize the overall construction and operation cost of the EB transit system, including EB fleet purchase cost, battery charger deployment cost, power consumption cost, and time-related operation cost. To achieve this goal, there are 4 key points in the joint optimization framework of EB scheduling and charger deploying, including the EB fleet size, the assignment of service trips to EBs, the start time and duration of EBs’ charging tasks, and the allocation of the number of stationary chargers in the charging stations. Figure 2 illustrates a feasible solution to the SCD-MDVSP.

As shown in Figure 2(a), the EB fleet schedule in SCD-MDVSP can be established as a flowchart pattern, comprising of charging stations, trips, and charging tasks as nodes [13, 38], while black arrows indicate the sequence of EB missions as arcs. There are 2 EBs (bus 1 and bus 2) belonging to charging station 1; meanwhile, 1 EB (bus 3) and 1 charger (charger 3) belong to charging station 2. The operation and charging schedules of bus 1, bus 2, and bus 3 are $D_1 \rightarrow s_1 \rightarrow c_1 \rightarrow s_6 \rightarrow s_7 \rightarrow s_{11} \rightarrow c_4 \rightarrow s_{14} \rightarrow D_1$, $D_1 \rightarrow s_2 \rightarrow s_5 \rightarrow c_3 \rightarrow s_9 \rightarrow s_{12} \rightarrow s_{13} \rightarrow D_1$, and $D_2 \rightarrow s_3 \rightarrow s_4 \rightarrow c_2 \rightarrow s_9 \rightarrow s_{10} \rightarrow c_5 \rightarrow s_{15} \rightarrow D_2$, respectively. Similarly, each charger’s battery charger arrangement can also be isolated as a flowchart pattern (see Figure 2(b)), which is a circle starting from the charging station where the charger is installed, serializing the charging tasks executed by the same charger chronologically and ending up with the same charging station. There are 2 chargers (charger 1 and charger 2) installed in charging station 1 and 1 charger (charger 3) installed in charging station 2. The charging task arrangement of charger 1, charger 2, and charger 3 is $D_1 \rightarrow c_3 \rightarrow c_5 \rightarrow D_1$, $D_1 \rightarrow c_2 \rightarrow D_1$, and $D_2 \rightarrow c_1 \rightarrow c_4 \rightarrow D_2$, respectively. The flowchart patterns of EB fleet schedule and battery charger arrangement are the basis for the SCD-MDVSP modeling in Section 4.

4. Mathematical Formulation

4.1. Mathematical Notations. To better illustrate the proposed model, the notations of parameters and variables relevant to the SCD-MDVSP are presented in Table 3.

4.2. Mathematical Model. Given a set of timetabled bus trips and a set of charging stations, the SCD-MDVSP model is to minimize the total cost by exploring for an assignment of bus trips to EBs and an allocation of battery chargers to the charging stations. The objective function is defined in 4.2.1 and module constraints are formulated based on the flowchart patterns of EB fleet schedule and battery charger arrangement presented in Section 3. Specifically, the EB fleet schedule flowchart can be divided into two subparts: trip-related vehicle schedule and charging-related vehicle schedule. And the constraints of the two subparts are
Table 1: SOC-related parameters of EB.

| Parameters                                      | Settings |
|-------------------------------------------------|----------|
| Total battery capacity                          | 200 kWh  |
| Permitted maximum SOC                           | 180 kWh  |
| Permitted minimum SOC                           | 30 kWh   |
| Charging power of a battery charger             | 40 kW    |
| Power consumption rate during EB running        | 20 kW    |

Table 2: Timetables of the transit lines.

| Line ID  | Direction  | Departure time | Arrival time | Service trip ID¹ |
|----------|------------|----------------|--------------|------------------|
| Line 1   | D₁ → D₂    | 5:00           | 7:00         | 1                |
|          |            | 13:00          | 15:00        | 8                |
|          |            | 14:30          | 16:30        | 9                |
|          |            | 9:00           | 11:00        | 6                |
|          | D₂ → D₁    | 15:30          | 17:30        | 10               |
|          |            | 20:00          | 22:00        | 14               |
| Line 2   | D₁ → T₁    | 5:00           | 8:00         | 2                |
|          |            | 11:30          | 14:30        | 7                |
|          |            | 7:30           | 10:30        | 4                |
|          | T₁ → D₁    | 8:30           | 11:30        | 5                |
|          |            | 19:00          | 22:00        | 13               |
| Line 3   | T₂ → T₃    | 15:30          | 16:30        | 11               |
|          |            | 20:30          | 21:30        | 15               |
|          | T₃ → T₂    | 5:30           | 6:30         | 3                |
|          |            | 17:00          | 18:00        | 12               |

¹Service trip ID numbers are in the order of the service trips’ departure time.
Figure 2: A feasible solution to the SCD-MDVSP. (a) EB fleet schedule and (b) battery charger arrangement.
Table 3: Notations of the SCD-MDVSP model.

| Sets/indices | Description |
|--------------|-------------|
| $S$          | Set of service trips |
| $C$          | Set of service trips |
| $D$          | Set of charging stations |
| $N$          | Set of charging stations |
| $i,j$        | Indices of service trips, $i,j \in S$ |
| $p,q$        | Indices of charging tasks, $p,q \in C$ |
| $d$          | Indices of charging stations, $d \in D$ |
| $n$          | Indices of pricing intervals of time-of-use electricity prices, $n \in N$ |

| Parameters | Description |
|------------|-------------|
| $a_i$      | Starting time of service trip $i \in S$ |
| $t_i$      | Duration of service trip $i \in S$ |
| $e_i$      | Power consumption of service trip $i \in S$ |
| $t_{ij}$   | Duration of the deadhead to implement the shift from service trip $i \in S$ to service trip $j \in S$ without charging midway |
| $e_{ij}$   | Power consumption for the deadhead to implement the shift from service trip $i \in S$ to service trip $j \in S$ without charging midway |
| $t_{di}$   | Duration of the deadhead to implement the service trip $i \in S$ after the EB pulling out of the charging station $d \in D$ without charging midway |
| $e_{di}$   | Power consumption for the deadhead to implement the service trip $i \in S$ after the EB pulling out of the charging station $d \in D$ without charging midway |
| $t_{id}^n$ | Duration of the deadhead to pull in the charging station $d \in D$ after finishing the service trip $i \in S$ without charging midway |
| $e_{id}^n$ | Power consumption for the deadhead to pull in the charging station $d \in D$ after finishing the service trip $i \in S$ without charging midway |
| $\tilde{t}_{ip}$ | Duration of the deadhead to execute charging task $p \in C$ after service trip $i \in S$ |
| $\tilde{e}_{ip}$ | Power consumption for the deadhead to execute charging task $p \in C$ after service trip $i \in S$ without charging midway |
| $\tilde{t}_{pi}$ | Duration of the deadhead to execute service trip $i \in S$ after charging task $p \in C$ |
| $\tilde{e}_{pi}$ | Power consumption for the deadhead to execute service trip $i \in S$ after charging task $p \in C$ |
| $\tilde{t}_{dp}$ | Duration of the deadhead to execute charging task $p \in C$ after the EB pulling out of the charging station $d \in D$ |
| $\tilde{e}_{dp}$ | Power consumption for the deadhead to execute charging task $p \in C$ after the EB pulling out of the charging station $d \in D$ |
| $\tilde{t}_{pd}$ | Duration of the deadhead to pull in the charging station $d \in D$ after the charging task $p \in C$ |
| $\tilde{e}_{pd}$ | Power consumption for the deadhead to pull in the charging station $d \in D$ after the charging task $p \in C$ |
| $m_p$      | Power recharged during charging task $p \in C$ |
| $t_n$      | Duration of pricing interval $n \in N$ |
| $f_n$      | Electricity price in pricing interval $n \in N$ |
| $c_h$      | Capital and maintenance cost per a bus |
| $c_t$      | Time-related operational cost for a bus |
| $c_c$      | Purchasing and maintenance cost per charger |

| Intermediate variables |
|------------------------|
| $l_i$                  | EB’s current SOC at the completion of the service trip $i \in S$, which can be calculated as (21) |
| $t^n_p$                | Charging duration of the charging task $p \in C$ in the pricing interval $n \in N$ |

| Decision variables |
|---------------------|
| $x_{ij}$            | Equals 1 if the EB’s next service trip is $j \in S$ after finishing the service trip $i \in S$ (regardless of whether or not the EB goes for charging between the two service trips), 0 otherwise |
| $y_{pq}$            | Equals 1 if charging task $p \in C$ takes place after charging task $q \in C$ and the two charging tasks are completed by the same charger, 0 otherwise |
| $z_{ip}$            | Equals 1 if the EB travels to execute the charging task $p \in C$ after finishing the service trip $i \in S$, 0 otherwise |
| $\tilde{z}_{pi}$    | Equals 1 if the EB travels to execute the service trip $i \in S$ after finishing the charging task $p \in C$, 0 otherwise |
| $u_{di}$            | Equals 1 if the EB pulls out of the charging station $d \in D$ to execute the service trip $i \in S$ (regardless of whether or not the EB goes for charging midway), 0 otherwise |
| $\tilde{u}_{id}$    | Equals 1 if the EB pulls out of the charging station $d \in D$ after finishing the service trip $i \in S$ (regardless of whether or not the EB goes for charging midway), 0 otherwise |
| $v_{dp}$            | Equals 1 if the EB pulls out of the charging station $d \in D$ to execute the charging task $p \in C$, 0 otherwise |
| $\tilde{v}_{pd}$    | Equals 1 if the EB pulls out of the charging station $d \in D$ after finishing the charging task $p \in C$, 0 otherwise |
| $w_{dp}$            | Equals 1 if the charging task $p \in C$ is executed by the charger installed in the charging station $d \in D$ and is the earliest of all the charging tasks executed by the same charger throughout the day |
| $\tilde{w}_{pd}$    | Equals 1 if the charging task $p \in C$ is executed by the charger installed in the charging station $d \in D$ and is the latest of all the charging tasks executed by the same charger throughout the day |
| $\tilde{\alpha}_p$  | Starting time of charging task $p \in C$ |
| $\tilde{\beta}_p$   | Duration of the charging task $p \in C$ |

Charging and discharging functions

$F(l_i, t^n_p)$: Charging function with $l_i$ (SOC at the start of charging) and $t^n_p$ as independent variables and $\tilde{e}_p$ as a dependent variable

$G(l_i, t^n_p)$: Discharging function with $l_i$ (SOC at the start of charging) and $t^n_p$ (representing $t_{ip}, t_{dp}, t_{ip}', t_{dp}', t_{ip''}, t_{dp''}, t_{dp''}, \tilde{t}_{dp''}$) as independent variables and $e_{\epsilon}$ (representing $e_{\epsilon_1}, e_{\epsilon_2}, e_{\epsilon_3}, e_{\epsilon_4}, e_{\epsilon_5}, e_{\epsilon_6}, e_{\epsilon_7}, e_{\epsilon_8}, \tilde{e}_{\epsilon_8}$) as a dependent variable.
discussed in 4.2.2 and 4.2.4, respectively. Besides, the constraints of the battery charger arrangement flowchart are shown in 4.2.3. Moreover, the energy level constraints and supplement parts (intermediate variable calculation and charging and discharging functions) are detailed in 4.2.5, 4.2.6, and 4.2.7.

4.2.1. Objective Function

\[
\min Z = c_b \sum_d \sum_i u_{di} + c_c \sum_d \sum_p w_{dp} + \sum_n \sum_p \bar{f}_n t_p^n
\]

\[
+ c_s \left\{ \sum_d \sum_i u_{di} \left[ \sum_p z_{pi} \left( \bar{t}_{dp} + \bar{t}_p + \bar{t}_{pd} \right) + \left( 1 - \sum_p z_{pi} \right) \bar{t}_{di} \right] \right. \\
+ \sum_{i,j,i \neq j} x_{ij} \left[ \sum_p z_{ip} \left( \bar{t}_{ip} + \bar{t}_p + \bar{t}_{pd} \right) + \left( 1 - \sum_p z_{ip} \right) t_{ij} \right] \\
+ \sum_d \sum_i \bar{u}_{id} \left[ \sum_p z_{ip} \left( \bar{t}_{ip} + \bar{t}_p + \bar{t}_{pd} \right) + \left( 1 - \sum_p z_{ip} \right) t_{id} \right] \right\}.
\]

(1)

Objective (1) is to minimize the total cost of constructing and operating the EB system, which consists of the purchase and maintenance cost of EBs and chargers, the energy consumption cost, and time-related in-service cost, such as wages of bus drivers. The time-related in-service cost is proportional to the sum of the pull-out time, the duration of operating and shifting the service trips, and the pull-in time of all the EBs. Specifically, \( \sum_p z_{ip} (\bar{t}_{ip} + \bar{t}_p + \bar{t}_{pd}) + \left( 1 - \sum_p z_{ip} \right) t_{ij} \) represents that if the bus implements any charging task during the shift from service trip \( i \) to service trip \( j \) (\( \sum_p z_{ip} = 1 \)), the shift duration is \( (\bar{t}_{ip} + \bar{t}_p + \bar{t}_{pd}) \); otherwise, \( (1 - \sum_p z_{ip} = 1) \), the shift duration is \( t_{ij} \).

4.2.2. Vehicle Scheduling Constraints

\[
\sum_i u_{di} - \sum_i \bar{u}_{id} = 0, \quad \forall d,
\]

(2)

\[
\sum_j x_{ij} + \sum_d \bar{u}_{id} = 1, \quad \forall i, i \neq j.
\]

(3)

\[
\left( \sum_j x_{ji} + \sum_d u_{di} \right) - \left( \sum_j x_{ij} + \sum_d \bar{u}_{id} \right) = 0, \quad \forall i, i \neq j.
\]

(4)

\[
a_i + t_i + \sum_p z_{ip} \left( \bar{t}_{ip} + \bar{t}_p + \bar{t}_{pd} \right) + \left( 1 - \sum_p z_{ip} \right) t_{ij} \leq a_j + M (1 - x_{ij}), \quad \forall i, j, i \neq j.
\]

(5)

\[
u_{di} \in \{0, 1\}, \quad \forall d, i,
\]

(6)

\[
\bar{u}_{id} \in \{0, 1\}, \quad \forall d, i
\]

(7)

\[
x_{ij} \in \{0, 1\}, \quad \forall i, j, i \neq j.
\]

(8)

Constraint (2) shows that the number of pull-out EBs is equal to that of pull-in EBs in order to maintain the same daily operational plan. Constraint (3) ensures that each service trip is executed by exactly one bus. Equation (4) illustrates that after finishing a service trip, the bus should perform another operational task (representing service trip, pull-out from the charging station, or pull-in to the charging station). Constraint (5) indicates that the service trips carried out by the same bus do not overlap in timeline. Constraints (6)–(8) define the binary decision variables \( u_{di} \), \( \bar{u}_{id} \), and \( x_{ij} \).

4.2.3. Charger Scheduling Constraints

\[
\sum_p w_{dp} - \sum_p \bar{w}_{pd} = 0, \quad \forall d,
\]

(9)

\[
\sum_q y_{pq} + \sum_q \bar{w}_{pd} = 1, \quad \forall p, p \neq q,
\]

(10)

\[
\left( \sum_q y_{aq} + \sum_q w_{dp} \right) - \left( \sum_q y_{pq} + \sum_q \bar{w}_{pd} \right) = 0, \quad \forall p, p \neq q,
\]

(11)

\[
a_p + t_p \leq a_q + M (1 - y_{pq}), \quad \forall p, q, p \neq q.
\]

(12)

\[
w_{dp} \in \{0, 1\}, \quad \forall d, p,
\]

(13)

\[
\bar{w}_{pd} \in \{0, 1\}, \quad \forall d, p,
\]

(14)

\[
y_{pq} \in \{0, 1\}, \quad \forall p, q, p \neq q
\]

(15)

\[
a_p > 0, \quad \forall p,
\]

(16)

\[
t_p > 0, \quad \forall p
\]

(17)
Constraint (9) indicates that a complete charging schedule for a battery charger should be a close loop that starts and ends at the same charging station as presented in the battery charger arrangement flowchart. Equation (10) ensures that each charging task is performed exactly once per day. Equation (11) shows the flow constraint of each charging task in the flowchart of the battery charger arrangement. Constraint (12) ensures that the charging tasks performed by the same charger do not overlap in timeline. Constraints (13)–(17) define the range of decision variables \( w_{dp}, \bar{w}_{dp}, y_{pq}, \bar{a}_p, \) and \( \bar{t}_p \).

4.2.4. Vehicle Scheduling and Charger Scheduling Cross Constraints

\[
\sum_i z_{ip} + \sum_d v_{dp} = 1, \quad \forall p, \quad (18)
\]

\[
\left( \sum_i z_{ip} + \sum_d v_{dp} \right) - \left( \sum_i \bar{z}_{pi} + \sum_d \bar{v}_{pd} \right) = 0, \quad \forall p, \quad (19)
\]

\[
a_p + t_p + \bar{t}_p \leq a_i + M \left( 1 - \bar{z}_{pi} \right), \quad \forall i, p, \quad (20)
\]

\[
z_{ip} \in \{0, 1\}, \quad \forall i, p, \quad (21)
\]

\[
\bar{z}_{pi} \in \{0, 1\}, \quad \forall i, p, \quad (22)
\]

\[
v_{dp} \in \{0, 1\}, \quad \forall d, p, \quad (23)
\]

\[
\bar{v}_{pd} \in \{0, 1\}, \quad \forall d, p. \quad (24)
\]

Constraint (18) ensures that only one EB can be served per charging task. Equation (19) enforces that each charging task is performed between two consecutive operational tasks. Constraint (20) represents that the bus should not be late for the next service trip after being charged. Constraints (21)–(24) define the binary decision variables \( z_{ip}, \bar{z}_{pi}, v_{dp}, \) and \( \bar{v}_{pd} \).

4.2.5. Energy Level Constraints

\[
l_i - \sum_p z_{ip} \bar{e}_{ip} \geq \text{SOC}_{\text{min}}, \quad \forall i, \quad (25)
\]

\[
l_i + \epsilon_i + \sum_p \bar{z}_{pi} \bar{e}_{pi} \leq \text{SOC}_{\text{max}}, \quad \forall i, \quad (26)
\]

\[
\text{SOC}_{\text{max}} - v_{dp} \bar{e}_{dp} \geq \text{SOC}_{\text{min}}, \quad \forall d, p, \quad (27)
\]

\[
l_i - \bar{u}_{id} \left[ \sum_p z_{ip} (\bar{e}_{ip} - \bar{e}_{ip}) + \left( 1 - \sum_p \bar{z}_{ip} \right) \epsilon_{ip} \right] \geq \text{SOC}_{\text{min}}, \quad \forall i, d. \quad (28)
\]

The start and end of a charging task are the moments when the EB’s SOC reaches the minimum and maximum values, respectively, during the daily operation. Constraints (25)–(28) limit the residual power of buses between SOC_{min} and SOC_{max} at the start and end of any charging task. Constraint (29) ensures that the SOC of buses is more than SOC_{min} when the buses pull in the charging stations.

4.2.6. Intermediate Variable Calculation

\[
l_i = \begin{cases} \text{SOC}_{\text{max}} - \sum_d u_{di} \left[ (1 - v_{dp} \bar{z}_{pi}) \epsilon_{di} + v_{dp} \bar{z}_{pi} (\bar{e}_{dp} + \bar{e}_{pi} - \epsilon_{p}) \right], & \text{if } \exists u_{di} = 1, \forall d, \\ \sum_j x_{pi} l_j + \sum_p z_{jp} (\bar{e}_{jp} - \bar{e}_{jp} - \bar{e}_{pi}) - \left( 1 - \sum_p z_{jp} \right) \epsilon_{ij} - \epsilon_i, & \forall i, i \neq j, \text{ otherwise}. \end{cases} \quad (30)
\]

\[
d^p_i = \begin{cases} 0, & a_p \geq m_n + t_n \text{ or } a_p + t_p \leq m, \\ t_n, & a_p \leq m_n \text{ and } a_p + t_p \geq m_n + t_n, \\ t_p, & a_p + t_p > m_n \text{ and } a_p + t_p < m_n + t_n \text{ and } a_p \geq m_n, \quad \forall p, n. \\ m_n + t_n - a_p, & a_p > m_n \text{ and } a_p + t_p > m_n + t_n, \end{cases} \quad (31)
\]

Equation (30) defines the calculation of the intermediate variables \( l_i \). If the service trip \( i \) is the first service trip for a particular bus, \( l_i \) can be calculated as the top branch of equation (30). Otherwise, \( l_i \) can be calculated as the bottom branch. Equation (31) defines the calculation method for dividing the whole charging task into several charging segments according to the electricity tariff periods.
4.2.7. Charging and Discharging Functions

\[ T_p = F(\bar{t}_p) = f \cdot \bar{t}_p, \quad \forall p, \tag{32} \]
\[ e_r = G(t_r) = g \cdot t_r, \quad \forall r. \tag{33} \]

In this paper, it is assumed that the charging function \( F(l_0, \bar{t}_p) \) and the discharging functions \( G(l_0, t_r) \) are proportional functions, which means they are independent from \( l_0 \) and can be rewritten as \( F(\bar{t}_p) \) and \( G(t_r) \). Since only EB's average discharging power under daily operational conditions is available, the discharging power under any driving condition is set to the same value. Let \( f \) and \( g \) be the charging and discharging power individually. Therefore, the charging and discharging functions are illustrated as (32) and (33).

5. Solving Procedure

According to the model formulation, the EB scheduling and charger deploying mutually influence each other. Besides, the consideration of the partial charging policy also increases the complexity of the optimization formulation [39]. In order to improve the solving efficiency and results reliability, the adaptive genetic algorithm (AGA) is implemented in the solving procedure. The AGA was proposed by Srinivas and Patnaik [40]. This method inherits the natural selection process core of the genetic algorithm and improves the population diversity and the capability to convergence compared with the ordinary genetic algorithm. In order to implement AGA into the proposed SCD-MDVSP, some special designs in AGA chromosome encoding and adaptive operator formulating process are proposed. The detailed steps of the solving procedure are presented in:

Step 1: AGA initialization. Set up the number of service trips the EB fleet serves (S), population size (P), maximum generation (G), and the independent variables of the probability function for the crossover (\( P_{c1} \), \( P_{c2} \), and \( k_c \)) and mutation process (\( P_{m1} \), \( P_{m2} \), and \( k_m \)).

Step 2: initial solution. In the first generation (\( g = 0 \)), a feasible random initialization is implemented. Service trips in the order of starting time are assigned randomly to the buses with several previous trips and enough SOC rather than a new bus. A coding example of a chromosome based on the feasible solution of the illustrative example in Section 3 is shown in Figure 2 is illustrated in Figure 3. It is assumed that the EB departs from the charging station closest to the starting station of its first service trip. The operating and charging schedules of EBs during the transit system’s operating hours are represented in the chromosome. Specifically, to improve the utilization rate of EBs, it is assumed that EBs are recharged as long as possible when they are arranged to be charged in the charging stations. Thus, the starting time of the charging task is the moment the EB arrives at the charging station, and the duration of the charging task is the longer of the full-charge duration and the idling duration between service trips. Subsequently, the minimum number of chargers required for each charging station can be calculated from the daytime charging tasks. After a day of operations, EBs return to their original departing charging stations for night-time charging during EB’s nonservice time, the arrangements of which for each charging station are generated by the heuristic random search algorithm. For the night-time charging demand of EBs in each charging station, the algorithm randomly assigns EBs to feasible chargers in order of EBs’ arrival time at the charging station from late to early. If no feasible charger is available, an additional charger will be added.

Step 3: fitness function and selection. There are considerable differences in magnitude between the individual’s total cost and the range of them. If we take the total cost as the fitness of individual, the selection operation will be ineffective. Therefore, the fitness function is defined as (34) in this paper, where \( TC_{\text{reci}} \) represents the reciprocal of total cost and \( r \) is a minimal positive number:

\[ f = \frac{TC_{\text{reci}} - TC_{\text{reci, min}} + r}{TC_{\text{reci, max}} - TC_{\text{reci, min}} + r}. \tag{34} \]

Step 4: crossover and mutation. Both crossover and mutation are operated within the range of feasible solutions. To prevent premature convergence and enhance search efficiency, improved adaptive operators are presented as (35) and (36), which can ensure the crossover probability increases while mutation probability decreases with the evolution of the population. Let \( f_{\text{max}} \) and \( f_{\text{avg}} \) represent the maximum fitness and average fitness in the population. \( f \) and \( f' \) are defined as the individual’s fitness before mutation and crossover, respectively. \( k_c \), \( P_{c1} \), and \( P_{c2} \) indicate the change rate and initial maximum and minimum probability of crossover, while \( k_m \), \( P_{m1} \), and \( P_{m2} \) define the change rate and initial maximum and minimum probability of mutation. The maximum and minimum probabilities of crossover and mutation in generations 0 and G are shown in Table 4. Once the crossover and mutation operators have finished, \( g = g + 1 \). If \( g < G \), return to Step 3. Otherwise, terminate the AGA process:
To improve the solving efficiency, the line-based optimal solution is implemented as the initial solution in the solving process. This result is also considered as a benchmark to check the model’s reliability and effectiveness. The AGA parameter settings for the EB transit network optimization are presented in Table 7.

The SCD-MDVSP considering partial charging policy and time-of-use electricity prices can be well solved by the proposed methodology. According to the solving procedure, 67 EBs and 20 chargers are adopted to meet the operational and charging demands of the EB transit system. The total investment over 3 years is 140,579,264.92 RMB, which consists of bus fleet purchasing cost (100,500,000 RMB, 71.5%), stationary charger deploying cost (9,000,000 RMB, 6.4%), power consumption cost (12,400,390.92 RMB, 8.8%), and time-related operational cost (18,678,875 RMB, 13.3%). For the EBs’ operation results, each bus operates 12.94 service trips, serves 1.45 lines, and travels 219.32 km on average per day.

As shown in Figure 6(a), the charging scheduling scheme shows an obvious staggered charging pattern. Under the predetermined time-varying electric price, the charging demand mainly occurs during the off-peak (51.2%) and flat (41.2%) periods while the electricity consumed during the peak periods only accounts for 7.6%. Specifically, Figure 6(b) demonstrates the flexibility of the charging scheduling scheme. During low price hours, the number of EBs being charged is higher and the average charging duration is longer than those during high tariff hours. The EBs’ charging duration during the service time and nonservice time is 22.35 min and 111.51 min, respectively, which indicates that the proposed methodology can improve the utilization of EBs by scheduling long time charging tasks for nonservice time.
Table 4: Maximum and minimum probabilities of crossover and mutation in generations 0 and G.

|     | P          | Generation 0 | Generation G          |
|-----|------------|--------------|-----------------------|
|     | \(P_c(f_{\text{max}})\) | \(P_{c2}\)     | \(-k_c \cdot P_{c1} + (1 + k_c) \cdot P_{c2}\) |
|     | \(P_c(f_{\text{avg}})\) | \(P_{c1}\)     | \((1 - k_c) \cdot P_{c1} + k_c \cdot P_{c2}\) |
|     | \(P_m(f_{\text{max}})\) | \(P_{m2}\)     | \(k_m \cdot P_{m1} + (1 - k_m) \cdot P_{m2}\) |
|     | \(P_m(f_{\text{avg}})\) | \(P_{m1}\)     | \((1 + k_m) \cdot P_{m1} - k_m \cdot P_{m2}\) |

Note: "odd-even" gene locus represents that bus \(b\) deadheads to charging station \(c\) \((c = 0\) indicates no charging) after operating service trip \(t\).

The charging station number \(c\) is shown as a negative number to distinguish it from the service trip number \(b\).
Table 5: Operational details of the 8 transit lines.

| Details                  | Line 1     | Line 2     | Line 3     | Line 4     | Line 5     | Line 6     | Line 7     | Line 8     |
|--------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Service time             | 05:05–23:20 | 05:00–19:00 | 06:00–20:00 | 05:30–19:00 | 06:30–19:00 | 05:35–22:30 | 05:15–19:30 | 05:20–20:00 |
| Length of route          | 15 km      | 18.7 km     | 9.5 km      | 18 km       | 11.9 km     | 7.9 km      | 14.6 km     | 15.5 km     |
| Servicing duration       | 49 min     | 52 min      | 34 min      | 54 min      | 42 min      | 30 min      | 53 min      | 50 min      |
| Number of stops          | 26         | 28          | 18          | 27          | 15          | 14          | 20          | 16          |
| Number of service trips  | 206        | 126         | 97          | 66          | 48          | 168         | 66          | 90          |
| Average interval         | 11 min     | 14 min      | 17 min      | 25 min      | 33 min      | 12 min      | 27 min      | 20 min      |
| Terminal station 1 (the nearest charging station) | 1 (1) | 1 (1) | 6 (1) | 2 (2) | 9 (5) | 2 (1) | 3 (3) | 4 (4) |
| Terminal station 2 (1 nearest charging station) | 4 (4) | 8 (4) | 7 (1) | 10 (5) | 11 (5) | 12 (5) | 5 (5) | 5 (5) |

Table 6: Parameter settings for the case study.

| Notations | Meaning                                      | Settings                      |
|-----------|----------------------------------------------|-------------------------------|
| $E$        | Total battery capacity                       | 324 kWh                       |
| $R_{SOC_{max}}$ | Ratio of the permitted maximum SOC to total battery capacity | 0.95                           |
| $R_{SOC_{min}}$ | Ratio of the permitted minimum SOC to total battery capacity | 0.25                           |
| $f$        | Charging power of the charger                | 1.8 kWh/min                   |
| $g$        | Power consumption rate during operation      | 0.65 kWh/min                  |
| $c_b$      | Purchasing and maintenance conversion cost of an EB | 1,500,000 RMB                |
| $c_c$      | Purchasing and maintenance conversion cost per charger | 450,000 RMB                  |
| $c_t$      | Time-related operational cost for an EB       | 25 RMB/h                      |

Figure 5: Time-of-use electricity prices in Shanghai.

Table 7: AGA parameter settings for the single line separate optimizations.

| Notations | Meaning                                      | Settings                      |
|-----------|----------------------------------------------|-------------------------------|
| $P$       | Population size                              | 1000                          |
| $G$       | Maximum generation                           | 2000                          |
| $r$       | Minimum positive number in fitness function | 0.01                          |
| $P_{c1}$  | Initial maximum crossover probability        | 0.95                          |
| $P_{c2}$  | Initial minimum crossover probability        | 0.85                          |
| $k_c$     | Generation related variation rate of crossover probability | 1                             |
| $P_{m1}$  | Initial maximum mutation probability         | 0.1                           |
| $P_{m2}$  | Initial minimum mutation probability         | 0.05                          |
| $k_m$     | Generation related variation rate of mutation probability | 0.2                           |
Table 8: Comparison of EB fleet size and charger deployment results.

| Optimization scenario | Number of EBs | Number of chargers | Charging station no. |
|-----------------------|---------------|--------------------|----------------------|
|                       |               |                    | 1  | 2  | 3  | 4  | 5  |
| Benchmark             | 68            | 25                 | 7  | 6  | 1  | 6  | 5  |
| Proposed model        | 67            | 20                 | 6  | 5  | 1  | 5  | 3  |
Table 9: Comparison of total cost and 4 components of the total cost.  

| Optimization scenario | EB fleet investment | Charger investment | Power consumption | Time-related cost | Total cost  |
|-----------------------|--------------------|--------------------|-------------------|------------------|------------|
| Benchmark             | 102,000,000        | 11,250,000         | 11,958,399        | 18,387,331       | 143,595,730 |
| Proposed model        | 100,500,000        | 9,000,000          | 12,373,517        | 18,643,288       | 140,516,805 |

1Unit: RMB.

Figure 7: Difference in EB daily travel duration between the results of transit network scheduling optimization and single line separate scheduling optimization.

Figure 8: The average daily charging duration of the battery chargers in each charging station under transit network scheduling and single line separate scheduling.
7. Conclusions and Future Research

EB transit system has been regarded as a promising means of transportation for reducing traffic congestion, air pollution, and traffic which cities suffered. To promote the electrification of the public transport system, progress has been made in EB scheduling problem and charging infrastructure planning. Unfortunately, most of the previous research has not considered the joint optimization of these two aspects especially at the transit network level. To fill this gap, we introduce a mathematical model for the SCD-MDVSP of the regular charging EB transit network. The objective of this model is to minimize the overall construction and operation cost of an EB transit system considering partial charging policy and time-varying electric prices. Accordingly, a solving procedure based on the improved AGA is proposed. The proposed model and solving procedure are applied to the inner Anting Town bus transit network with 8 individual bus routes and 867 daily service trips as a case study. The results validated the effectiveness of the approach and illustrated that the methodology considering the partial charging policy can arrange the charging schedule adaptive to the time-of-use electricity prices. Moreover, it can be drawn from the comparison between the model results and line-based optimization results that EB scheduling and charger deploying at the transit network level can improve the utilization of both EB and stationary chargers and make the charging tasks of chargers more evenly allocated. Since the modeling scenario is well suited to the reality, the proposed methodology can provide practical support for developing the EB transit system planning.

Similar to other methodological studies, this study has its own limitations. First, the model does not consider the volatility of bus travel time. Second, the EB battery charging and discharging characteristics vary with weather and seasons, so the EB scheduling plan may need to be adjusted accordingly. Third, due to the integer property of most decision variables and several complicated constraints, the mathematical model is nonconvex, which makes it hard for the proposed solving procedure to reach the global optimal solution. Meanwhile, the convergence speed and searching efficiency of the AGA are not so satisfactory that the computational cost will increase rapidly with the growth of the bus network. Therefore, an innovative algorithm offering higher computational efficiency and large-scale network adaptability would be a direction for future studies.

Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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