Infinitesimal topological generators and quasi non-archimedean topological groups

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Abstract

We show that connected separable locally compact groups are infinitesimally finitely generated, meaning that there is an integer $n$ such that every neighborhood of the identity contains $n$ elements generating a dense subgroup. We generalize a theorem of Schreier and Ulam by showing that any separable connected compact group is infinitesimally 2-generated.

Inspired by a result of Kechris, we introduce the notion of a quasi non-archimedean group. We observe that full groups are quasi non-archimedean, and that every continuous homomorphism from an infinitesimally finitely generated group into a quasi non-archimedean group is trivial. We prove that a locally compact group is quasi non-archimedean if and only if it is totally disconnected, and provide various examples which show that the picture is much richer for Polish groups. In particular, we get an example of a Polish group which is infinitesimally 1-generated but totally disconnected, strengthening Stevens’ negative answer to Problem 160 from the Scottish book.

1 Introduction

One of the simplest invariants one can come up with for a topological group $G$ is its topological rank $t(G)$, that is, the minimum number of elements needed to generate a dense subgroup of $G$. For this invariant to have a chance to be finite, one needs to assume that $G$ is separable since every finitely generated group is countable.

The oldest result in this topic is probably due to Kronecker in 1884 [Kro31], and says that an $n$-tuple $(a_1, ..., a_n)$ of real numbers projects down to a topological generator of $T^n = \mathbb{R}^n / \mathbb{Z}^n$ if and only if $(1, a_1, ..., a_n)$ is $\mathbb{Q}$-linearly independent. Since such $n$-tuples always exist, the topological rank of the compact connected abelian group $T^n$ is equal to one.

Using this result, it is then an amusing exercise to show that $t(\mathbb{R}^n) = n+1$. This means that as a topological group, $\mathbb{R}^n$ remembers its vector space dimension. In particular, we see that the topological rank sometimes contains useful information (another somehow similar instance of this phenomenon was recently discovered by the second-named author for full groups, see [LM14]).

In order to deal with general connected Lie groups, it is useful to introduce the following definition.

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Definition 1.1. The **infinitesimal rank** of a topological group $G$ is the minimum $n \in \mathbb{N} \cup \{+\infty\}$ such that every neighborhood of the identity in $G$ contains $n$ elements $g_1, \ldots, g_n$ which generate a dense subgroup of $G$. We denote it by $t_I(G)$.

One can easily show that $t_I(\mathbb{R}^n) = t(\mathbb{R}^n) = n + 1$. This is relevant for the study of the infinitesimal rank of real Lie groups because if we know that the Lie algebra of a connected Lie group $G$ is generated (as a Lie algebra) by $n$ elements, then using the fact that $t_I(\mathbb{R}) = 2$ we can deduce that $t_I(G) \leq 2n$ (see the well-known Lemma 1.2)

For various classes of connected Lie groups one can say more. In particular this is the situation for compact connected Lie groups, in which case a uniform result is useful in view of the important role of compact groups in the structure theory of locally compact groups. Auerbach showed that for every compact connected Lie group $G$, one has $t_I(G) \leq 2$ [Aue34]. Moreover, he could show that the set of pairs of topological generators of $G$ has full measure, which then led Schreier and Ulam to the following general result, for which we will include a short proof.

**Theorem 1.2** (Schreier-Ulam, [SU35]). Let $G$ be a connected compact metrisable group. Then almost every pair of elements of $G$ generates a dense subgroup of $G$. In particular, $t_I(G) = t(G) = 2$ for any non-abelian such $G$.

Note that there is a vast area between metrizable compact groups and separable ones; for instance $(\mathbb{T}^1)^\mathbb{R}$ is compact separable, but not metrizable. Conversely, being separable is a minimal assumption for a group to have finite topological rank. Going back to the $n$-torus, Kronecker’s result admits a far-reaching generalization due to Halmos and Samelson, which settles the abelian case.

**Theorem 1.3** (Halmos-Samelson, [HS42, Corollary]). The topological rank of every connected separable compact abelian group is equal to one.

Our first result is a generalization of Schreier and Ulam’s Theorem to the separable compact case. One of the difficulties is that we cannot use their Haar measure argument anymore.

**Theorem 1.4** (see Theorem 3.1). Let $G$ be a separable compact connected group. If $G$ is non-abelian, then $t_I(G) = 2$, and if $G$ is abelian, then $t_I(G) = 1$.

The fact that the topological rank $t(G) = 2$ was proved by Hoffmann and Morris [HM90, Theorem 4.13]. Note that it follows that $t_I(G) = t(G)$ for separable compact connected group.

It is natural to ask if the following stronger statement is true:

**Question 1.5.** Let $G$ be a separable compact connected group. Is the set of pairs in $G$ which topologically generate $G$ necessarily of full measure in $G \times G$?

Say that a topological group is **infinitesimally finitely generated** if it has finite infinitesimal rank. Using the previous result, and the solution to Hilbert’s fifth problem, we establish the following result, which was noted by Schreier and Ulam in the abelian case (see the last paragraph of [SU35]).

**Theorem 1.6** (see Theorem 4.1). Let $G$ be a separable locally compact group. Then $G$ is infinitesimally finitely generated if and only if $G$ is connected.
Let us now leave the realm of locally compact groups for a moment and discuss the class of \textbf{Polish groups}, i.e. separable topological groups whose topology admits a compatible metric. These groups abound in analysis, for instance the unitary group of a separable Hilbert space or the group of measure-preserving transformations of a standard probability space are Polish groups. Moreover, they form a robust class of groups, e.g. every countable product of Polish groups is Polish (see \cite{Gao09} for other properties of this flavour). Recall that a locally compact group is Polish if and only if it is second-countable.

It is not hard to show that Theorem 1.6 fails for Polish groups: for instance $\mathbb{R}^\mathbb{N}$ is connected but not topologically finitely generated, in particular it is not infinitesimally finitely generated. The question of the converse is more interesting, even for the following weaker property.

\textbf{Definition 1.7.} A topological group $G$ is \textbf{infinitesimally generated} if every neighbourhood of the identity generates $G$.

Clearly every connected group is infinitesimally generated, and every infinitesimally finitely generated group is infinitesimally generated. Moreover it follows from van Dantzig’s theorem that every infinitesimally generated locally compact group is connected.

\textbf{Question 1.8 (Mazur’s Problem 160 \cite{Mau81}).} Must an infinitesimally generated Polish group be connected?

In \cite{Ste86}, Stevens exhibited the first examples of infinitesimally generated Polish group which are totally disconnected. We show that her examples actually have infinitesimal rank 2 and then provide the following stronger negative answer to Question 1.8.

\textbf{Theorem 1.9 (see Theorem 5.15).} There exists a Polish group of infinitesimal rank 1 which is totally disconnected.

Let us now introduce the quasi non-archimedean property which is a strong negation of being infinitesimally finitely generated.

\textbf{Definition 1.10.} A topological group is \textbf{quasi non-archimedean} if for every neighborhood of the identity $U$ in $G$ and every $n \in \mathbb{N}$, there exists a neighborhood of the identity $V$ such that for every $g_1, \ldots, g_n \in V$, the group generated by $g_1, \ldots, g_n$ is contained in $U$.

\textbf{Remark.} If we switch the quantifiers and ask for a $V$ which works for every $n \in \mathbb{N}$, it is not hard to see that the definition then becomes that of a non-archimedean topological group (i.e. admitting a basis of neighborhoods of the identity made of open subgroups).

Our inspiration for the above definition comes from the following result of Kechris: every continuous homomorphism from an infinitesimally finitely generated group into a full group is trivial (see the paragraph just before Section (E) of Chapter 4 in \cite{Kec10}). We upgrade this by showing that every full group is quasi non-archimedean, and that any continuous homomorphism from an infinitesimally finitely generated group into a quasi non-archimedean group is trivial (see Proposition 5.5). For locally compact groups, we obtain the following characterisation.

\textbf{Theorem 1.11 (see Theorem 5.8).} Let $G$ be a separable locally compact group. Then $G$ is quasi non-archimedean if and only if $G$ is totally disconnected.
Note that full groups are connected and at the same time quasi non-archimedean Polish groups. Moreover, we show that every quasi non-archimedean Polish groups embeds into a connected quasi non-archimedean Polish group (see Proposition 5.4).

We also provide examples of totally disconnected Polish groups which are quasi non-archimedean, but not non-archimedean (see Corollary 5.12). On the other hand Theorem 1.9 ensures us that there are totally disconnected Polish groups which are not quasi non-archimedean.

The paper is organised as follows. In Section 2, we prove some basic results on topological generators. In Section 3, we show that separable connected compact groups are infinitesimally 2-generated. Section 4 is devoted to the proof that every connected separable locally compact group is infinitesimally finitely generated. In Section 5 we introduce quasi non-archimedean groups and study their basic properties. We also give numerous examples, and show that a separable locally compact group is totally disconnected if and only if it is quasi non-archimedean. Finally, a Polish group into which no non-discrete locally compact group can embed is built in Section 6, where we also ask three questions raised by this work.

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2 Basic results about topological generators

We collect some results which will serve us in the preceding sections.

**Proposition 2.1.** Let $G$ be a connected locally compact group. Suppose that $K$ is a profinite normal subgroup of $G$ such that $G/ K$ is infinitesimally finitely generated. Then $t_1(G) = t_1(G/ K)$. Moreover, if $g_1, \ldots, g_k$ topologically generate the group $G/ K$, and $\tilde{g}_1, \ldots, \tilde{g}_k$ are arbitrary respective lifts in $G$, then $g_1, \ldots, g_k$ topologically generate $G$.

The proof of Proposition 2.1 will rely on the following two lemmas:

**Lemma 2.2.** Let $H$ be a connected locally compact group and $f : H \to L$ a finite covering map. Let $\{l_1, \ldots, l_k\}$ be a topological generating set for $L$ and pick $h_i \in f^{-1}(l_i)$, $i = 1, \ldots, k$ arbitrarily. Then $h_1, \ldots, h_k$ topologically generate $H$.

**Proof.** Set $F = \langle h_1, \ldots, h_k \rangle$. Note that $f$, being a finite cover, is a closed map, and hence $f(F)$ is closed in $L$. Since $l_1, \ldots, l_k \in f(F)$ we have $f(F) = L$. Thus $\operatorname{Ker} f \cdot F = H$. Hence by the Baire category theorem $F$ has a non-empty interior. Since $H$ is connected, this implies that $F = H$. \hfill $\Box$

**Lemma 2.3.** Let $G$ be a (connected) locally compact group admitting a pro-finite normal subgroup $K \triangleleft G$ such that $L = G/ K$ is a Lie group. Then $G$ is an inverse limit $G = \varprojlim L_\alpha$ of finite (central) extensions $L_\alpha$ of $L$.

Although the Lemma holds without the assumption that $G$ is connected, since it significantly simplify the proof while being sufficient for our needs, we will prove it only under the connectedness assumption.
Proof. Given \( k \in K \), the image of the orbit map \( G \to K, g \mapsto gkg^{-1} \) is at the same time connected, since \( G \) is connected and the map is continuous, and totally disconnected as the image lies in \( K \). It follows that it is constant and \( k \) is central. Since \( k \) is arbitrary we deduce that \( K \) is central in \( G \). In particular every subgroup of \( K \) is normal in \( G \).

Let \( K_\alpha \) be a net of open subgroups in \( K \) with trivial intersection. Then \( K = \varprojlim K/K_\alpha \) and \( G = \varprojlim G/K_\alpha \). Set \( L_\alpha = G/K_\alpha \) and note that as \( K_\alpha \) is open in \( K \), it is of finite index there. Thus the map \( L_\alpha \to L \) is a finite covering.

Proof of Proposition 2.1. Let \( G \) and \( K \) be as in Proposition 2.1. By Lemma 2.3, \( G = \varprojlim \leftarrow L_\alpha \) is an inverse limit of finite covers \( L_\alpha \) of \( L = G/K \). Let \( \bar{g}_1, \ldots, \bar{g}_k \) be topological generators of \( L \), let \( g_1, \ldots, g_k \) be arbitrary lifts in \( G \) and denote by \( g_\alpha^i \) the projection of \( g_i \) in \( L_\alpha \), for every \( i, \alpha \). By Lemma 2.2, \( \langle g_\alpha^i : i = 1, \ldots, k \rangle \) is dense in \( L_\alpha \). That is, the group \( \langle g_i : i = 1, \ldots, k \rangle \) projects densely to all \( L_\alpha \). This implies that it is dense in \( G \).

3 Connected compact separable groups are infinitesimally 2-generated

Our aim in this section is to prove the following result. Recall that \( t(G) \) is the minimal number of topological generators of \( G \), while \( t_I(G) \) is the minimal \( n \in \mathbb{N} \) such that every neighborhood of the identity contains \( n \) elements which topologically generate \( G \).

Theorem 3.1. Let \( G \) be a separable compact connected group. Then \( t_I(G) = t(G) = 2 \) if \( G \) is nonabelian and \( t_I(G) = t(G) = 1 \) if \( G \) is abelian.

3.1 The metrizable case

The case where \( G \) is metrizable was proved by Schreier and Ulam [SU35]. Recall that a compact group is metrizable if and only if it is first countable. In that case one can show that almost every pair of elements in \( G \) (or a single element if \( G \) is abelian) topologically generates \( G \). Let us give a short argument for Theorem 1.2 in that case. First recall that by the Peter–Weyl theorem, \( G \) is an inverse limit of compact Lie groups and, being first countable, the limit is over a countable net. Since a countable intersection of full measured sets is of full measure, it is enough to prove the analog statement for compact connected Lie groups.

Note also that, in complete generality, a subgroup \( H \leq G \) is dense if and only if

- \( H \cap G' \) is dense in \( G' \), where \( G' \) is the commutator subgroup in \( G \), and
- \( HG' \) is dense in \( G \).

A connected compact Lie group \( G \) is reductive, hence an almost direct product of its commutator \( G' \) with its centre \( Z \). Moreover \( G' \) is connected and a finite cover of \( G/Z \) which is a semisimple group of adjoint type. In view of Lemma 2.2, we deduce:

Lemma 3.2. Let \( G \) be a connected compact Lie group and \( H \leq G \) a subgroup. Then \( H \) is dense in \( G \) if and only if both \( HG' \) and \( HZ(G) \) are dense in \( G \).

Therefore, for a pair \( (x, y) \in G^2 \) to generate a dense subgroup, it is sufficient if

- the projections of \( x, y \) to \( G/Z \) generate a dense subgroup in \( G/Z \), and
• the projection of $x$ to $G/G'$ generates a dense subgroup in $G/G'$.

It is easy to check that both conditions are satisfied with Haar probability 1 (cf. [Gel08 Lem. 1.4 and Lem. 1.10]).

### 3.2 Proof of Theorem 3.1 in the general case

Let us first deal with abelian groups. By the Halmos–Samelson theorem, whenever $G$ is connected compact separable abelian, one has $t(G) = 1$. From their result, we deduce the following consequence.

**Lemma 3.3.** Let $G$ be a compact connected separable abelian group. Then $t_I(G) = 1$.

**Proof.** By the Halmos–Samelson theorem, we may and do pick $g \in G$ such that $\langle g \rangle$ is dense in $G$. Let $U$ be a neighborhood of the identity in $G$, and fix $n \in \mathbb{Z} \setminus \{0\}$ such that $g^n \in U$. Then since $\langle g^n \rangle$ has finite index in $\langle g \rangle$, its closure $\overline{\langle g^n \rangle}$ has finite index in $\overline{\langle g \rangle} = G$. Since $G$ is connected, we must have $\overline{\langle g^n \rangle} = G$.

Now suppose that $G$ is any connected compact group. By the Peter–Weil theorem $G = \varprojlim G_\alpha$ is an inverse limit of compact connected Lie groups $G_\alpha$.

Observe that a surjective map $f : G_1 \twoheadrightarrow G_2$ between groups always satisfies $f(G_1') = G_2'$ and $f(Z(G_1)) \subset Z(G_2)$, while for reductive Lie groups we also have $f(Z(G_1)) = Z(G_2)$. Since every connected compact Lie group is reductive, hence the product of its centre and its commutator, we deduce that the same hold for general compact connected groups, i.e.

$$Z(G) = \varprojlim Z(G_\alpha), \quad G' = \varprojlim G'_\alpha \text{ and } G = Z(G)G'.$$

Moreover, since the $G'_\alpha$ are semisimple, and in particular perfect, $G'$ is also perfect, i.e. $G' = G''$. It follows that if $\Gamma \leq G'$ is dense, then $\Gamma'$ is dense in $G'$. Hence we have:

**Claim.** Suppose that $a, b \in G'$ topologically generate $G'$, and $h \in Z(G)$ is an element whose image mod $G'$ topologically generates $G/G'$. Then $ah$ and $b$ topologically generate $G$.

Suppose from now on that $G$ is separable. Then every quotient of $G$ is also separable. Now $G/G'$ is connected and abelian, so we have $t_I(G'/G') = 1$ by Lemma 3.3.

Since $Z(G)$ surjects onto $G/G'$, every identity neighbourhood in $G$ contains a central element $h$ whose image in $G'/G'$ generate a dense subgroup. Thus we are left to show that $t_I(G') = 2$. The centre of $G'$ is totally disconnected since it can be written as $Z(G') = \varprojlim Z(G'_\alpha)$, and every $G'_\alpha$ has finite center. In view of Proposition 2.1 we may thus suppose that $G'$ is center-free. In order to simplify notations, let us suppose below that $G$ itself is center-free. Note that:

**Lemma 3.4.** A center-free connected compact group is a direct product of simple Lie groups.

Thus, $G$ is of the form $G = \prod_{\alpha \in I} S_\alpha$ with $S_\alpha$ being connected adjoint simple Lie group.

**Lemma 3.5.** The group $G = \prod_{\alpha \in I} S_\alpha$ is separable (if and only if $\text{Card}(I) \leq 2^{\aleph_0}$.}
Let us explain the ‘only if’ side. The other direction will follow once we show that \( \text{Card}(I) \leq 2^{\aleph_0} \) implies that \( G \) has a two generated dense subgroup. Suppose by way of contradiction that \( \text{Card}(I) > 2^{\aleph_0} \). Since there are only countably many isomorphism types of (compact adjoint) simple Lie groups, we deduce that there is some compact simple Lie group \( S \) and a cardinality \( \kappa > 2^{\aleph_0} \) such that \( G \) admits a factor isomorphic to \( S^\kappa \). However by the cardinals version of the pigeon hole principal, if \( D \subset S^\kappa \) is a countable subset, there must be two factors \( S_1, S_2 \) of \( S^\kappa \) such that the projection of \( D \) to \( S_1 \times S_2 \) lies in the diagonal. In particular, \( D \) cannot be dense, confirming the desired contradiction.

Thus, we may suppose below that \( \text{Card}(I) \leq 2^{\aleph_0} \).

**Definition 3.6.** Let \( S_1, S_2 \) be two groups, \( F \) a set and \( f_i : F \to S_i, \ i = 1, 2 \) two maps. We shall say that the maps \( f_1 \) and \( f_2 \) are isomorphically related if there is an isomorphism \( \phi : S_1 \to S_2 \) such that the following diagram is commutative

\[
\begin{array}{ccc}
F & \xrightarrow{f_1} & S_1 \\
\downarrow{\phi} & & \downarrow{\phi} \\
S_2 & \xleftarrow{f_2} & \\
\end{array}
\]

**Lemma 3.7.** Let \( \prod_{i=1}^k S_i \ (k \geq 2) \) be a product of simple groups and let \( R < \prod_{i=1}^k S_i \) be a proper subgroup that projects onto every \( S_i \). Then there are two factors \( S_i, S_j, \ i \neq j \) such that the restrictions of the quotient maps \( R \to S_i \) and \( R \to S_j \) are isomorphically related.

**Proof.** For a subset \( J \subset \{1, \ldots, k\} \) let us denote \( S_J = \prod_{i \in J} S_i \) and \( R_J = \text{Proj}_{S_J}(R) \). Let \( J \subset \{1, \ldots, k\} \) be a minimal subset such that \( R_J \) is a proper subgroup of \( S_J \). By our assumption \( J \) exists and satisfies \(|J| > 1\). We claim that \(|J| = 2\). To see this, we may reorder the indices so that \( J = \{1, \ldots, j\} \) and suppose by way of contradiction that \( j \geq 3 \).

This, together with the minimality of \( J \), implies that for every \( g \in S_1 \) and every \( 1 < i \leq j \) there is an element in \( R_J \) whose first coordinate is \( g \) and whose \( i \)’th coordinate is \( 1 \). However, multiplying commutators of elements as above, forcing that at each coordinate \( 1 < i \leq j \) at least one of the elements we use is trivial, and using the fact that \( S_1 \) is perfect, we deduce that \( S_1 \leq R_J \). In the same way we get that \( S_i \leq R_J \) for all \( i \in J \) contradicting the assumption that \( R_J \) is proper in \( S_J \).

Thus \( J = \{1, 2\} \). Moreover, as \( S_i \) is simple and normal, and \( R_J \) projects onto \( S_i \), while \( R_J \) is proper in \( S_j \), it follows that \( R_J \cap S_i \) is trivial, for \( i = 1, 2 \). Therefore, the restriction of the projection from \( R_J \) to \( S_i \) is an isomorphism, for \( i = 1, 2 \), hence the maps \( R \to S_1 \) and \( R \to S_2 \) are isomorphically related.

Assembling together isomorphic factors of \( G \), we may decompose \( G \) as a direct product

\[ G = \prod_{n \in \mathbb{N}} G_n \]

where different \( n \)'s correspond to non-isomorphic simple Lie factors \( S_n \), and each \( G_n \) is of the form \( G_n = S_{I_n}^L \) with \(|I_n| \leq 2^{\aleph_0} \). For \( x \in G \) we shall denote by \( x_n^\alpha, \ \alpha \in I_n \) its corresponding coordinates.

Two elements \( x, y \in G \) generate a dense subgroup if and only if for any finite set of indices \( F = \{(n_i, \alpha_i)\} \) the projections of \( x \) and \( y \) generate a dense subgroup in \( \prod_{F} S_{I_n}^{\alpha_i} \).

In view of Lemma 3.7 we have:

**Corollary 3.8.** Two elements \( x, y \in G \) generate a dense subgroup in \( G \) if and only if

- \( \langle x_n^\alpha, y_n^\alpha \rangle \) is dense in \( S_n^\alpha \) for every \( n \in \mathbb{N} \) and every \( \alpha \in I_n \), and

- For every \( n, m \in \mathbb{N} \) and \( \alpha \in I_n, \beta \in I_m \) the (projection) maps \( \{x, y\} \to S_n^\alpha, \{x, y\} \to S_m^\beta \) are not isomorphically related.
Recall that a topological group is infinitesimally finitely generated if it admits a dense finitely generated subgroup. Similarly, we say that $G$ is topologically finitely generated if $t(G) < \infty$, that is, if $G$ admits a dense finitely generated subgroup.

Last but not least, a topological group is infinitesimally generated if it is generated by every neighborhood of the identity.

Note that if a group is infinitesimally finitely generated, then it is also topologically finitely generated and infinitesimally generated. Our main goal in this section is to prove the following result.

**Theorem 4.1.** Let $G$ be a separable connected locally compact group. Then $G$ is infinitesimally finitely generated.

As already noted, the separability condition is necessary for a group to be topologically finitely generated. The connectedness assumption is also necessary (for local generation) since otherwise $G/G^\circ$, the group of connected components, is non-trivial and by the van Dantzig’s theorem admits a base of identity neighbourhood consisting of open compact subgroups. In particular, if $O \leq G/G^\circ$ is a proper open subgroup, its pre-image in $G$ cannot contain a topological generating set.

Let us start by dealing with the nicest connected locally compact groups: Lie groups. For these, one can use the Lie algebra to produce topological generators. The following lemma is well known, but we include a proof for the reader’s convenience.

**Lemma 4.2 (Folklore).** Let $G$ be a connected Lie group, and let $\mathfrak{g}$ be its Lie algebra. Suppose that $\mathfrak{g}$ is generated as a Lie algebra by $X_1, ..., X_n$. Then every neighborhood of...
the identity in $G$ contains $2n$ elements $g_1, \ldots, g_{2n}$ which generate a dense subgroup in $G$. In particular, $G$ is infinitesimally finitely generated.

Proof. Let $V$ be a neighborhood of the identity in $G$. Let $U$ be a small enough neighborhood of 0 in $\mathfrak{g}$ such that $\exp : U \to G$ is a homeomorphism onto its image, and $\exp(U) \subseteq V$. Fix $2n$ elements $Y_1, \ldots, Y_{2n}$ of $U$ such that for every $i \in \{1, \ldots, n\}$, $\{Y_{2i}, Y_{2i+1}\}$ generates a dense subgroup of $\mathbb{R}X_i$.

For all $i \in \{1, \ldots, 2n\}$, let $g_i = \exp(Y_i)$, we will show that these elements topologically generate $G$. Let $H$ be the closed subgroup generated by the set $\{g_i\}_{i=1}^{2n}$. Note that for all $i \in \{1, \ldots, n\}$, the group $H$ contains $\exp(\mathbb{R}X_i)$ since the restriction of the exponential map to $\mathbb{R}X_i$ is a continuous group homomorphism and $\mathbb{R}X_i$ is topologically generated by $Y_{2i}$ and $Y_{2i+1}$ which are mapped to $g_{2i} \in H$ and $g_{2i+1} \in H$.

Furthermore, $H$ is a Lie group by Cartan’s theorem, and since $H$ contains every $\exp(\mathbb{R}X_i)$ the Lie algebra $\mathfrak{h}$ of $H$ contains every $X_i$, so $\mathfrak{h} = \mathfrak{g}$. Because $G$ is connected, we get that $G = H$.

We deduce from the above lemma that for any connected Lie group, $t_1(G) \leq 2\dim(G)$. Better bounds on $t_1(G)$ can be deduced from the analysis in [BG03, BGSS06, Cel08].

Let now $G$ be a general connected locally compact group. Recall the celebrated Gleason-Yamabe theorem (cf. [Kap71, Page 137]):

**Theorem 4.3. (Gleason–Yamabe)** Let $G$ be a connected locally compact group. Then there is a compact normal subgroup $K \triangleleft G$ such that $G/K$ is a Lie group.

Since $G$ is connected, $G/K$ is a connected Lie group and hence $t_1(G/K)$ is finite. However, $K$ may not be connected.

**Example 4.4.** (The solenoid) For every $n$, let $T_n$ be a copy of the circle group $\{z \in \mathbb{C} : |z| = 1\}$, and whenever $m$ divides $n$ let $f_{n,m} : T_n \to T_m$ be the $n/m$ sheeted cover $f_{n,m}(z) = z^{n/m}$. Let $T = \varprojlim T_n$ be the inverse limit group. Then $T$ is connected, abelian and locally compact, but admits no connected co-Lie subgroups.

(2) Similarly, as $\text{SL}_2(\mathbb{R})$ is homotopic to a circle, we can define, for every $n \in \mathbb{N}$, $G_n$ as the $n$ sheeted cover of $\text{SL}_2(\mathbb{R})$. Then whenever $m$ divides $n$ there is a canonical covering morphisms $\psi_{n,m} : G_n \to G_m$, and we may let $G$ be the inverse limit $G = \varprojlim G_n$. Then $G$ is a connected locally compact group which admits no nontrivial connected compact normal subgroups.

In order to prove Theorem 4.1, we need one last elementary lemma.

**Lemma 4.5.** Let $G$ be a topological group and $N$ a normal subgroup. Then $t_1(G) \leq t_1(N) + t_1(G/N)$. In particular if $G/N$ and $N$ are infinitesimally finitely generated then so is $G$.

Proof of Theorem 4.1. Let $G$ be a connected separable locally compact group. Let $K \triangleleft G$ be a compact normal subgroup such that $G/K$ is a Lie group (see Theorem 4.3), and let $K^\circ$ be its identity connected component. Then $K^\circ$ is characteristic in $K$ and therefore normal in $G$. Being a closed subgroup of a locally compact separable group, $K^\circ$ is separable [CL77]. Let $H = G/K^\circ$ and $K^t = K/K^\circ$. By the isomorphism theorem, $G/K \cong H/K^t$. Note that $K^t$ is a pro-finite group, hence by Proposition 2.1 $H$ is infinitesimally finitely generated. By Theorem 3.1 $K^\circ$ is infinitesimally finitely generated, hence, by Lemma 4.5, $G$ is infinitesimally finitely generated.
5 Quasi non-archimedean groups

A topological group is non-archimedean if it has a basis of neighborhoods of the identity made of open subgroups. Equivalently, every neighborhood of the identity $V$ contains a smaller neighborhood of the identity $U$ such that the group generated by $U$ is contained in $V$, which is the same as requiring that for every $n \in \mathbb{N}$ and $g_1, \ldots, g_n \in U$, the group generated by $g_1, \ldots, g_n$ is contained in $V$. The definition that follows is obtained by switching two quantifiers in the above condition.

**Definition 5.1.** Say a topological group $G$ is quasi non-archimedean if for all $n \in \mathbb{N}$ and all neighborhood of the identity $V \subseteq G$, there exists a neighborhood of the identity $U \subseteq V$ such that for all $g_1, \ldots, g_n \in U$, the group generated by $g_1, \ldots, g_n$ is contained in $V$.

Clearly every non-archimedean group is also quasi non-archimedean. Let us give right away the motivating example for this definition. We fix a standard probability space $(X, \mu)$, that is, a probability space which is isomorphic to the interval $[0,1]$ with its Borel $\sigma$-algebra and the Lebesgue-measure.

A Borel bijection $T$ of $X$ is called a non-singular automorphism if for all measurable $A \subseteq X$, one has $\mu(A) = 0$ if and only if $\mu(T^{-1}(A)) = 0$. The group of all these automorphisms is denoted by $\text{Aut}^*(X, \mu)$, two such automorphisms being identified if they coincide on a full measure set. We then define the uniform metric $d_u$ on $\text{Aut}^*(X, \mu)$ by:

$$d_u(T,U) = \mu(\{x \in X : T(x) \neq U(x)\}).$$

This is a complete metric, though far from being separable (e.g. the group $\mathbb{S}^1$ acts freely on itself, yielding an uncountable discrete subgroup of $(\text{Aut}^*(X, \mu), d_u)$). But among closed subgroups of $(\text{Aut}^*(X, \mu), d_u)$, full groups are separable. Full groups are invariants of orbit equivalence attached to nonsingular actions of countable groups on $(X, \mu)$: given a non-singular action of a countable group $\Gamma$ on $(X, \mu)$, its full group $[\mathcal{R}_\Gamma]$ is the group of all $T \in \text{Aut}^*(X, \mu)$ such that for every $x \in X$, $T(x) \in \Gamma \cdot x$.

Since every subgroup of a quasi non-archimedean group is quasi non-archimedean for the induced topology, the following result implies that full groups are quasi non-archimedean.

**Theorem 5.2** (Kechris). $\text{Aut}^*(X, \mu)$ is quasi non-archimedean for the uniform metric.

*Proof.* Define the support of $T \in \text{Aut}^*(X, \mu)$ to be the set of all $x \in X$ such that $T(x) \neq x$. Note that $d_u(\text{id}_X, T)$ is precisely the measure of the support of $T$.

Let $\epsilon > 0$ and $n \in \mathbb{N}$, and consider the open ball $U := B_{d_u}(\text{id}_X, \epsilon)$. Suppose that $g_1, \ldots, g_n$ belong to $V := B_{d_u}(\text{id}_X, \epsilon/n)$, and let $A$ be the reunion of their supports. By assumption, $A$ has measure less than $\epsilon$. Then the group generated by $g_1, \ldots, g_n$ is contained in the group of elements supported in $A$, which is itself a subset of $U = B_{d_u}(\text{id}_X, \epsilon)$. □

The following proposition shows that the class of quasi non-archimedean groups satisfies basically the same closure properties as the class of non-archimedean groups.

**Proposition 5.3.** The class of quasi non-archimedean groups is closed under taking subgroups (with the induced topology), products and quotients. □
The next proposition highlights the main difference between non-archimedean and quasi non-archimedean groups. From now on, we will restrict ourselves to the narrower but well-behaved class of Polish groups, that is, separable groups whose topology admits a compatible complete metric, e.g. full groups for the uniform topology, the group $\text{Aut}^*(X, \mu)$ endowed with the weak topology, or the unitary group of a separable Hilbert space endowed with the strong operator topology. Let us point out that a locally compact group is Polish if and only if it is second-countable (see [Kec95, Thm. 5.3]).

Recall that if $G$ is a Polish group and $(X, \mu)$ is a standard (non-atomic) probability space, then the group $L^0(X, \mu, G)$ of measurable maps from $X$ to $G$ is a Polish group for the topology of convergence in measure, two such maps being identified if they coincide on a full measure set. A basis of neighborhoods of the identity for this topology is given by the sets

$$\tilde{U}_\epsilon = \{ f \in L^0(X, \mu, G) : \mu(\{ x \in X : f(x) \not\in U \}) < \epsilon \},$$

where $U$ is an open neighborhood of the identity in $G$ and $\epsilon > 0$. The Polish group $L^0(X, \mu, G)$ enjoys the two following nice properties (see e.g. [Kec10, Chap. 19]).

- $G$ embeds into $L^0(X, \mu, G)$ via constant maps.
- $L^0(X, \mu, G)$ is connected, in fact contractible.

**Proposition 5.4.** Let $G$ be a quasi non-archimedean Polish group. Then $L^0(X, \mu, G)$ is quasi non-archimedean. In particular any quasi non-archimedean Polish group embeds in a connected quasi non-archimedean Polish group.

**Proof.** Let $\tilde{U}_\epsilon = \{ f : \mu(\{ x \in X : f(x) \not\in U \}) < \epsilon \}$ be a basic neighborhood of the identity in $L^0(X, \mu, G)$. Let $n \in \mathbb{N}$ and $V$ be a corresponding neighborhood of the identity witnessing that $G$ is quasi non-archimedean. Consider the following open neighborhood of the identity in $L^0(X, \mu, G)$:

$$\bar{V}_{\epsilon/n} = \{ f : \mu(\{ x \in X : f(x) \not\in V \}) < \epsilon/n \}.$$

Then if we let $f_1, ..., f_n \in \bar{V}_{\epsilon/n}$, the reunion of the sets $\{ x \in X : f_i(x) \not\in V \}$ has measure less than $\epsilon$. By the definition of $V$ and $U$ the group generated by $f_1, ..., f_n$ is a subset of $\tilde{U}_\epsilon$.

**Remark.** Since non-archimedean groups are totally disconnected, the above proposition implies there are a lot more quasi non-archimedean groups than the non-archimedean ones.

The following proposition is inspired by Section (D) of Chapter 4 in [Kec10], where it is shown that any continuous homomorphism from an infinitesimally finitely generated group into a full group is trivial.

**Proposition 5.5.** Any continuous homomorphism from an infinitesimally finitely generated group into a quasi non-archimedean group is trivial.

**Proof.** Let $\varphi : G \to H$ be such a morphism, let $V$ be any neighborhood of the identity in $H$, and let $n = t_I(G)$. Then there is a neighborhood of the identity $U$ in $H$ such that any subgroup of $H$ generated by $n$ elements of $U$ is contained in $V$. Since $\varphi$ is continuous, $\varphi^{-1}(U)$ is a neighborhood of the identity in $G$, and because $t_I(G) = n$ we may find $g_1, ..., g_n \in \varphi^{-1}(U)$ which generate a dense subgroup in $G$. Then the closure of $\varphi(G)$ coincides with the closure of the group generated by $\varphi(g_1), ..., \varphi(g_n) \in U$, which by assumption is contained in $V$. So $\varphi(G)$ is contained in the closure of any neighborhood of the identity in $H$, and since $H$ is Hausdorff this means that $\varphi$ is trivial.
Corollary 5.6. The only topological group which is both infinitesimally finitely generated and quasi non-archimedean is the trivial group.

Corollary 5.7. Every continuous homomorphism from a connected separable locally compact group into \( (\text{Aut}^*(X, \mu), d_u) \) is trivial.

Proof. Since every connected separable locally compact group is infinitesimally finitely generated by Theorem 4.1 and \( \text{Aut}^*(X, \mu) \) is quasi non-archimedean by Theorem 5.2, the previous proposition readily applies.

As a consequence of the previous proposition and Theorem 1.6, we have the following interesting characterizations of connectedness and total disconnectedness for locally compact separable groups.

Theorem 5.8. Let \( G \) be a locally compact separable group. Then the following hold:

1. \( G \) is connected if and only if \( G \) is infinitesimally finitely generated.

2. \( G \) is totally disconnected if and only if \( G \) is quasi non-archimedean.

Proof. If \( G \) is not connected but infinitesimally finitely generated, then \( G/G^0 \) must also be infinitesimally finitely generated, which is impossible by van Dantzig’s theorem. The converse is provided by Theorem 1.6.

If \( G \) is totally disconnected, then \( G \) is non-archimedean by van Dantzig’s theorem. But this implies that \( G \) is quasi non-archimedean. For the converse, suppose \( G \) is quasi non-archimedean. Then \( G^0 \) also is, but then by (1) and Proposition 5.5 it must be trivial.

Remark. As was pointed out by Caprace and Cornulier, one can prove (2) more directly. Indeed, if \( G^0 \) is non-trivial then it admits a non-trivial one-parameter subgroup which is in particular infinitesimally 2-generated, contradicting Proposition 5.5. This actually gives a proof that a locally compact group is quasi non-archimedean if and only if it is totally disconnected, regardless of its separability.

Let us now give an example of a totally disconnected Polish group which is quasi non-archimedean, but not non-archimedean. This class of examples was introduced by Tsankov [Tsa06, Sec. 5], using work of Solecki [Sol99]. We denote by \( \mathcal{S}_\infty \) the group of all permutations of the integers, equipped with its Polish topology of pointwise convergence. Recall that every non-archimedean Polish group arises as a closed subgroup of \( \mathcal{S}_\infty \) (see [BK96, Thm. 1.5.1]). Here, the groups that we will consider are subgroups of \( \mathcal{S}_\infty \), but equipped with a Polish topology which refines the topology of pointwise convergence.

Definition 5.9. A lower semi-continuous submeasure on \( \mathbb{N} \) is a function \( \lambda : \mathcal{P}(\mathbb{N}) \to [0, +\infty] \) such that the following hold:

- \( \lambda(\emptyset) = 0; \)
- for all \( n \in \mathbb{N} \), we have \( 0 < \lambda(\{n\}) < +\infty; \)
- for all \( A \subseteq B \subseteq \mathbb{N} \), we have \( \lambda(A) \leq \lambda(B); \)
- (subadditivity) for all \( A, B \subseteq \mathbb{N} \), we have \( \lambda(A \cup B) \leq \lambda(A) + \lambda(B); \)
- (lower semi-continuity) for every increasing sequence \( (A_k)_{k \in \mathbb{N}} \) of subsets of \( \mathbb{N} \), we have \( \lambda(\bigcup_{k \in \mathbb{N}} A_k) = \lim_{k \in \mathbb{N}} \lambda(A_k). \)
We associate to every lower semi-continuous submeasure $\lambda$ on $\mathbb{N}$ a subgroup of $\mathfrak{S}_\infty$, denoted by $\mathfrak{S}_\lambda$, defined by

$$\mathfrak{S}_\lambda = \{ \sigma \in \mathfrak{S}_\infty : \lambda(\text{supp } \sigma \setminus \{0, \ldots, n\}) \to 0 \ [n \to +\infty] \},$$

where $\text{supp } \sigma = \{ n \in \mathbb{N} : \sigma(n) \neq n \}$. Note that since $\mu(\{0, \ldots, n\}) < +\infty$ for every $n \in \mathbb{N}$, the support of every $\sigma \in \mathfrak{S}_\lambda$ has finite measure. Also, if $\lambda$ is actually a measure, then $\mathfrak{S}_\lambda = \mathfrak{S}_\infty$; furthermore if $\lambda$ is a probability measure then $\mathfrak{S}_\lambda = \mathfrak{S}_\infty$.

The group $\mathfrak{S}_\lambda$ is equipped with a natural left-invariant metric $d_\lambda$ analogous to the uniform metric on $\text{Aut}^*(X, \mu)$ defined by

$$d_\lambda(\sigma, \sigma') = \lambda(\{ n \in \mathbb{N} : \sigma(n) \neq \sigma'(n) \}).$$

Note that the condition $\lambda(\text{supp } \sigma \setminus \{0, \ldots, n\}) \to 0$ ensures that the countable group of permutations of finite support is dense in $\mathfrak{S}_\lambda$ which is thus separable. It is a theorem of Tsankov that $\mathfrak{S}_\lambda$ is actually a Polish group. The following result is a straightforward adaptation of Theorem 5.2, replacing $d_u$ by $d_\lambda$.

**Proposition 5.10.** Let $\lambda$ be a lower semi-continuous submeasure on $\mathbb{N}$. Then $\mathfrak{S}_\lambda$ is quasi non-archimedean.

It is easily checked that the topology of $\mathfrak{S}_\lambda$ refines the topology induced by $\mathfrak{S}_\infty$, so that $\mathfrak{S}_\lambda$ is always totally disconnected, and that the open subgroups of $\mathfrak{S}_\lambda$ separate points from the identity (in particular, $\mathfrak{S}_\lambda$ is not locally generated). The following example shows that it can furthermore fail to be non-archimedean. Note that this is just a particular case of a more general phenomenon: one can actually characterize when the topology fails to be zero-dimensional (see [Tsa06, Thm. 5.3]; the example below is taken from [Mal15, Cor. 4]).

**Example 5.11.** Consider the measure $\lambda$ on $\mathbb{N}$ defined by

$$\lambda(A) = \sum_{n \in A} \frac{1}{n}.$$ 

Then $\mathfrak{S}_\lambda$ is not a non-archimedean group. Indeed, if we fix $\epsilon > 0$ and $N \in \mathbb{N}$, we can find a finite family $(A_i)_{i=1}^N$ of disjoint subsets of $\mathbb{N}$ such that for every $i \in \{1, \ldots, N\}$,

$$\frac{\epsilon}{2} < \lambda(A_i) < \epsilon. \quad \text{For all } i \in \{1, \ldots, N\}, \text{ let } \sigma_i \text{ be a permutation whose support is equal to } A_i \text{. Then } \sigma = \prod_{i=1}^N \sigma_i \text{ is at distance at least } N\epsilon/2 \text{ from the identity, so that the ball of radius } \epsilon \text{ around the identity generates a group which contains elements arbitrarily far away from the identity.}$$

**Corollary 5.12.** There exists a totally disconnected Polish group which is quasi non-archimedean, but not non-archimedean.

Let us now give examples of totally disconnected Polish group which are infinitesimally finitely generated. To do this, we will upgrade a result of Stevens [Ste86] who showed the existence of totally disconnected infinitesimally generated Polish groups: we will show that her examples are actually infinitesimally finitely generated. This will be a consequence of the following general statement, which also implies the well-known fact that $\mathbb{R}$ has a dense $G_\delta$ of pairs of topological generators.

---

1A topology is zero-dimensional if it has a basis made of clopen sets.
Theorem 5.13. Let $G$ be an abelian Polish group which contains the group $\mathbb{Z}[1/2]$ of dyadic rationals as a dense subgroup, and assume furthermore that in the topology induced by $G$ on $\mathbb{Z}[1/2]$, we have $\frac{1}{2^n} \to 0 \ [n \to +\infty]$. Then for every $g_0 \in \mathbb{Z}[1/2]$, the set of $h \in G$ such that $\langle g, h \rangle$ generate a dense subgroup of $G$ is dense.

In particular there is a dense $G_\delta$ set of couples of topological generators of $G$ in $G^2$ and so $G$ is infinitesimally finitely generated with infinitesimal rank at most 2.

Proof. Let $g_0 \in \mathbb{Z}[1/2]$. In order for a couple $(g_0, h) \in G^2$ to generate a dense subgroup of $G$, it suffices for the closed subgroup they generate to contain $\frac{1}{2^n}$ for every $n \in \mathbb{N}$, for the group $\mathbb{Z}[1/2]$ is dense in $G$. So the set $T := \{ h \in G^2 : \overline{\langle g_0, h \rangle} = G \}$ may be written as a countable intersection $T = \bigcap_{n \in \mathbb{N}} T_n$, where

$$T_n := \left\{ h \in G : \frac{1}{2^n} \in \langle g_0, h \rangle \right\}.$$

Since $G$ is Polish the set $T_n$ is $G_\delta$, so we only need to show that $T_n$ is dense. To this end, fix $\epsilon > 0$, $n \in \mathbb{N}$, and let $h_0 \in \mathbb{Z}[1/2]$. We want to find $h \in T_n$ such that $d(h, h_0) < \epsilon$, where $d$ is a fixed compatible metric on $G$.

Write $g_0 = \frac{k_1}{2^m}$ and $h_0 = \frac{k_2}{2^m}$, where $k_1, k_2 \in \mathbb{Z}$ and $m \in \mathbb{N}$. We will find $\beta \in \mathbb{N}$ such that for all $N \in \mathbb{N}$, if $h = h_0 + \frac{\beta}{2^{m+N}}$, then $\langle g_0, h \rangle$ contains $\frac{1}{2^n}$ as soon as $N + m > n$. The group $\langle g_0, h \rangle$ contains $\frac{1}{2^n}$ if and only if we can find $u, v \in \mathbb{Z}$ such that $ug_0 + vh = \frac{1}{2^n}$. This condition can be rewritten as

$$2^N k_1 u + (2^N k_2 + \beta) v = 1.$$ 

So we want to find $\beta \in \mathbb{N}$ such that for all $N \in \mathbb{N}$ we have that $2^N k_1$ and $2^N k_2 + \beta$ are relatively prime. Let us furthermore ask that $\beta$ is odd, so that we only have to make sure that every odd prime divisor of $k_1$ does not divide $2^N k_2 + \beta$.

Let $p_1, \ldots, p_l$ list the odd primes which divide both $k_1$ and $k_2$, while $p_{l+1}, \ldots, p_r$ are the odd primes which divide $k_1$ but not $k_2$. Then it is easily checked that $\beta = (2 + p_1 \cdots p_l) p_{l+1} \cdots p_r$ works: for all $i \leq k$ we have that $\beta$ is invertible modulo $p_i$ and $p_i$ divides $k_2$ so that $2^N k_2 + \beta$ is not divisible by $p_i$, while for $k < i \leq l$, $\beta$ is null modulo $p_i$ while $2^N k_2$ is invertible so that $2^N k_2 + \beta$ is not divisible by $p_i$.

But then, since $\frac{1}{2^{m+N}}$ tends to zero as $N$ tends to $+\infty$, we also have $\frac{\beta}{2^{m+N}} \to 0 \ [N \to +\infty]$. Then, as explained before, the group generated by $g := g_0$ and $h := h_0 + \frac{\beta}{2^{m+N}}$ contains $\frac{1}{2^n}$, so that $\langle g, h \rangle \in T_n$, while $0 = d(g, g_0) < \epsilon$ and $d(h, h_0) < \epsilon$ if $N$ was chosen large enough.

So every $T_n$ is a dense subset of $G$, which ends the proof since this furthermore shows that the set of couples generating a dense subgroup of $G$ is dense in $G^2$ and this set has to be a $G_\delta$.

Let us now apply the previous theorem and describe Steven’s examples of Polish groups which are infinitesimally finitely generated but totally disconnected. These groups arise as a Polishable subgroups of the real line, constructed by taking a completion of the dyadic rationals with respect to a well chosen norm which makes $2^{-n}$ have much bigger norm than usually.

We fix a biinfinite sequence of positive real number $(r_i)_{i \in \mathbb{Z}}$ such that $r_i \to 0 \ [i \to +\infty]$ and for all $i \in \mathbb{Z}$, we have $r_{i+1} \leq r_i \leq 2r_{i+1}$. Then one can define the following group
norm\(\|\cdot\|\) on the ring \(\mathbb{Z}[1/2]\) of dyadic rationals: for every \(x \in \mathbb{Z}[1/2]\),

\[
\|x\| := \inf \left\{ \sum_{i=-n}^{n} |a_i| r_i : x = \sum_{i=-n}^{n} a_i 2^{-i}, a_i \in \mathbb{Z}, n \in \mathbb{N} \right\}.
\]

It is easy to check that this defines a group norm on \(\mathbb{Z}[1/2]\) which refines the usual norm. Using the fact that \(r_i \leq 2r_{i+1}\), one can easily show that for all \(x \in \mathbb{Z}[1/2]\),

\[
\|x\| = \inf \left\{ \sum_{i=-n}^{n} |a_i| r_i : x = \sum_{i=-n}^{n} a_i 2^{-i}, a_i \in \{-1, 0, 1\}, n \in \mathbb{N} \right\}.
\]

In particular, we see that for all \(n \in \mathbb{N}\), we have \(\|2^{-n}\| = r_n\) so that \(2^{-n} \to 0\) as \(n \to +\infty\). Let \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) denote the completion of \(\mathbb{Z}[1/2]\) with respect to this norm. Since this norm refines the usual norm, \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) is a subgroup of \(\mathbb{R}\). Stevens explicitly described the elements of \(\mathbb{R}\) belonging to \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) and showed that the group \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) is infinitesimally generated \([\text{Ste86}, \text{Thm. 2.1 (ii)}]\), and we see that Theorem 5.13 strengthens this because it implies that \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) is infinitesimally 2-generated.

To obtain totally disconnected examples, we need another result of Stevens stating that the following are equivalent (see \([\text{Ste86}, \text{Thm. 2.2}]\)):

(i) \(\sum_{i \in \mathbb{N}} r_i = +\infty\),
(ii) \(\|\cdot\|\) is not equivalent to \(|\cdot|\) when restricted to \(\mathbb{Z}[1/2]\),
(iii) \(\mathbb{Q} \cap \overline{\mathbb{Z}[1/2]}^{\|\cdot\|} = \mathbb{Z}[1/2]\),
(iv) \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) is totally disconnected,

Remark. Note that every subgroup \(G\) of \(\mathbb{R}\) which is not equal to \(\mathbb{R}\) has to be totally disconnected for the induced topology, since its complement is dense in \(\mathbb{R}\) so that the sets of the form \([r, +\infty[\) for \(r \in \mathbb{R} \setminus G\) are clopen in \(G\). In particular, if \(G\) is a proper subgroup of \(\mathbb{R}\) equipped with a topology which refines the usual topology of \(\mathbb{R}\) then \(G\) is totally disconnected. So conditions (ii) and condition (iii) clearly imply condition (iv).

So suppose further that \(\sum_{i \in \mathbb{N}} r_i = +\infty\) (e.g. take \(r_i = 1\) if \(i \leq 0\) and \(r_i = \frac{1}{i}\) otherwise). Then we see that \(\overline{\mathbb{Z}[1/2]}^{\|\cdot\|}\) is a totally disconnected Polish group which has infinitesimal rank at most 2. Moreover since this group is a subgroup of the real line endowed with a finer topology (see \([\text{Ste86}, \text{Thm. 2.1}]\) it cannot be monothetic, so its infinitesimal rank is actually equal to 2.

Corollary 5.14. There exists a totally disconnected Polish group which has infinitesimal rank 2, in particular there is a totally disconnected Polish group which is not quasi non-archimedean.

Remark. Note that one can see directly that Stevens’ groups are not quasi non-archimedean, even for \(n = 1\). Indeed, if \(U\) is a neighborhood of 0 not containing 1, then if \(V\) is another neighborhood of 0 there is some \(N \in \mathbb{N}\) such that \(1/2^N \in V\), but the group generated by \(1/2^N\) contains 1 hence it is not a subset of \(U\).

\(^2\)A norm on an abelian group is a function \(|\cdot| : G \to [0, +\infty)\) such that for any \(x, y \in G\), \(|x + y| \leq |x| + |y|\), and \(|x| = |-x|\).
We know that while the group of the reals has infinitesimal rank 2, its quotient \( \mathbb{S}^1 = \mathbb{R}/\mathbb{Z} \) has infinitesimal rank 1. The same is true of Stevens’ examples, which is going to yield the following result.

**Theorem 5.15.** There exists a totally disconnected Polish group which has infinitesimal rank 1.

**Proof.** Let \( G \) be a totally disconnected Polish group obtained by Stevens’ construction from a sequence ; then \( G \) is a proper subgroup of \( \mathbb{R} \) containing \( \mathbb{Z}[1/2] \) as a dense subgroup. Observe that \( \mathbb{Z} \) is a discrete subgroup of \( G \) and we may thus form the Polish group \( \hat{G} := G/\mathbb{Z} \).

The group \( \hat{G} \) is a proper dense subgroup of \( \mathbb{S}^1 = \mathbb{R}/\mathbb{Z} \), so \( \mathbb{S}^1 \setminus \hat{G} \) is thus dense in \( \mathbb{S}^1 \). Let \( A = p(\{0,1/2\}) \) where \( p : \mathbb{R} \to \mathbb{R}/\mathbb{Z} \) is the usual projection, then for all \( g \in \mathbb{S}^1 \setminus \hat{G} \) the set \( (g + A) \cap \hat{G} \) is clopen in \( \hat{G} \). Moreover since \( \mathbb{S}^1 \setminus \hat{G} \) is dense in \( \mathbb{S}^1 \) the family of sets \( ((g + A) \cap \hat{G})_{g \in \mathbb{S}^1 \setminus \hat{G}} \) separates points in \( \hat{G} \), so \( \hat{G} \) is totally disconnected.

Furthermore, we have by Theorem 5.13 that there is a dense \( G_\delta \) of \( h \in G \) such that the group generated by \( 1 \) and \( h \) is dense in \( G \). Since \( 1 \in \mathbb{Z} \) and \( \hat{G} = G/\mathbb{Z} \) we conclude that there is a dense \( G_\delta \) of \( h \in \hat{G} \) which generate a dense subgroup in \( \hat{G} \), in particular \( \hat{G} \) has infinitesimal rank 1.

We don’t know an example of a totally disconnected Polish group which is infinitesimally generated and quasi non-archimedean. Moreover, we want to stress out that all the examples we know of Polish groups which are quasi non-archimedean actually fail the property even for \( n = 1 \), so it would be very interesting to have examples having a “non-QNA rank” greater than 1.

### 6 Further remarks and questions

Let us point out how one can easily build Polish groups into which no non-discrete locally compact group can embed.

**Lemma 6.1.** Let \( \Gamma \) be a countable discrete group without elements of finite order. Then every monothetic subgroup of \( L^0(X,\mu,\Gamma) \) is infinite discrete. In particular, no nontrivial compact group embeds into \( L^0(X,\mu,\Gamma) \).

**Proof.** Given \( 1 \neq f \in L^0(X,\mu,\Gamma) \), find \( A \subseteq X \) non-null and \( \gamma \in \Gamma \setminus \{1\} \) such that \( f|_A \) is constant equal to \( \gamma \). By assumption, for all \( n \in \mathbb{Z} \setminus \{0\} \), the support of \( f^n \) contains \( A \), and so \( \langle f \rangle \) is discrete. \( \square \)

**Theorem 6.2.** Let \( \Gamma \) be a countable discrete group without elements of finite order, and let \( G \) be a separable locally compact group. Then every continuous morphism \( G \to L^0(X,\mu,\Gamma) \) factors through a discrete group.

**Proof.** Let \( G^0 \) be the connected component of the identity. Because \( L^0(X,\mu,\Gamma) \) is quasi non-archimedean, \( \pi \) factors through \( G/G^0 \) by Proposition 5.5 and Theorem 1.6. Then by van Dantzig’s theorem and the previous lemma, the kernel of the later map contains an open subgroup of \( G/G^0 \), hence it factors through a discrete group. \( \square \)

**Question 6.3.** Is there a Polish group without any locally compact closed subgroup?
The group $L^0(X, \mu, G)$ was originally introduced to show that every Polish group embeds into a connected group, and we saw that being quasi non-archimedean is somehow opposite to being connected. Because $L^0(X, \mu, G)$ can be quasi non-archimedean, one may ask whether every Polish group embeds into a connected not quasi non-archimedean group. The isometry group of the Urysohn space answers this question — it is universal for Polish groups, connected (see [Mel06, Mel10] for stronger versions of these results as well as background on the Urysohn space) and cannot be quasi non-archimedean by universality.

However, the same question can be asked replacing not quasi non-archimedean by infinitesimally finitely generated. It seems to be open whether the isometry group of the Urysohn space is infinitesimally finitely generated (it is topologically 2-generated by a result of Solecki, see [Sol05]).

**Question 6.4.** Is the isometry group of the Urysohn space infinitesimally 2-generated?

Let us end this paper by mentioning a question related to ample generics. A Polish group $G$ has **ample generics** if the diagonal conjugacy action of $G$ onto $G^n$ has a comeager orbit for every $n \in \mathbb{N}$ (see [KR07]). It has been recently discovered that there exists Polish groups with ample generics which are not non-archimedean (see [KLM15] and [Mal15]). These examples arise either as full groups or as groups of the form $S_\lambda$, which are quasi non-archimedean groups by Theorem 5.2 and Proposition 5.10. This motivates the following question.

**Question 6.5.** Is there a Polish group which has ample generics, but which is not quasi non-archimedean?

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