Bulk superconductivity in undoped T’-La$_{1.9}$Y$_{0.1}$CuO$_4$ probed by muon spin rotation

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The Meissner effect has been directly demonstrated by depth-resolved muon spin rotation measurements in high-quality thin films of the T’-structured cuprate, T’-La$_{1.9}$Y$_{0.1}$CuO$_4$, to confirm bulk superconductivity ($T_c \approx 21$ K) in its undoped state. The gradual expulsion of an external magnetic field is observed over a depth range of ~100 nm in films with a thickness of 275(15) nm, from which the penetration depth is deduced to be 466(22) nm. Based on this result, we argue that the true ground state of the “parent” compound of the n-type cuprates is not a Mott insulator but a strongly correlated metal with colossal sensitivity to apical oxygen impurities.

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It seems now a canonical consensus in the physics of high-temperature superconductivity that the layered copper-oxide perovskites in their pristine state are Mott insulators. They are situated at the point of half-filling to the Cu $d_{x^2-y^2}$ band, where the band splits into upper and lower Hubbard bands (UHB/LHB) due to strong on-site Coulomb repulsion. Such band splitting freezes out charge degrees of freedom due to the fully occupied LHB (or, more precisely the charge-transfered band, CTB, consisting of oxygen $p$ orbitals above LHB [1, 2]), and consequently it induces localized spins which interact magnetically via superexchange interaction that eventually leads to three-dimensional antiferromagnetic (AFM) order as a ground state. Although such a picture based on the Hubbard model seems to capture most of the distinct properties of cuprates qualitatively, there are a number of subtleties that are subsided by this simplification.

One such subtle but not least essential feature lies in the structure of the CuO$_2$ planes which is slightly different between the hole-doped ($p$-type) and electron-doped ($n$-type) cuprates. The $p$-type compounds in the T-structure (close to that of K$_2$NiF$_4$, see Fig. 1a) has an apical oxygen as the Cu ions are six-fold coordinated, whereas the apical oxygen is absent in the $n$-type ones that crystalize into the so called T’-structure (Fig. 1b, space group $I4/mmm$) consisting of four-fold coordinated Cu ions. Practically, it has been known that the electronic properties of T’-structure cuprates are highly sensitive to off-stoichiometric oxygen impurities residing at the apical position [3, 4]. The removal of apical oxygen to the level of $\approx 0.04$ per formula unit is crucial to obtain a bulk superconducting sample. While the AFM order observed in the underdoped region is believed to be of the Mott insulating phase realized in the stoichio-
metric T’-structure cuprates, such attribution has been challenged by experiments on thin-film samples in which superconductivity is reported to occur even without carrier doping [5, 8]. Consideration on the Madelung energy associated with the apical oxygen implies that there might be no excitation gap between UHB and CTB in the truly stoichiometric T’-structured cuprates [5, 10], which has been partially supported by the recent theoretical investigations based on the local-density approximation combined with the dynamical mean field theory [11, 13]. These suggest the possibility that both AFM order and charge transfer gap are controlled by the apical oxygen impurities in $n$-type cuprates.

Here, we show by depth-resolved muon spin rotation ($\mu$SR) measurements that the superconductivity in the undoped thin films of T’-La$_{1.9}$Y$_{0.1}$CuO$_4$ (LYCO) is intrinsically of bulk nature, which is inferred from the gradual decrease of the measured local field with increasing depth in a manner predicted by the Meissner effect. The result strongly suggests that the apical oxygen impurities in the T’-structure cuprates serve as a “switch” of the electronic correlation, where superconductivity in the stoichiometric T’-structure emerges on the ground of a strongly correlated metal next to the Mott insulator.

High quality single-phase c-axis oriented thin-film samples of LYCO were grown by molecular beam epitaxy (MBE) on a $\langle 110 \rangle$ surface of YAlO$_3$ substrates with a thickness $2D = 275(15) \text{ nm}$, where La$^{3+}$ was partially substituted with the isovalent Y$^{3+}$ to stabilize the T’-structure. This is needed since the T’-structure $R_2$CuO$_4$ is unstable for $R = \text{La}$ due to its large ionic radius. The film surface was covered by a thin layer $[d_0 = 20(1) \text{ nm}]$ of silver at the end of the growth process. The details of the film growth and subsequent oxygen reduction procedure are reported elsewhere [5, 14]. The cation stoi-
chiometry was adjusted to designed values by means of electron impact emission spectroscopy (EIES) during film growth, and the composition stoichiometry was verified by inductively coupled plasma analysis (ICP). As shown in Fig. 1c, the obtained thin films exhibit superconductivity with \( T_c \approx 21 \) K. The films were investigated by x-ray diffraction, transmission electron microscope (TEM), and synchrotron radiation (SR) x-ray measurements (at KEK-PF) to assess their quality, and they were confirmed to be single phase with less than 2-3\% of impurities (see Fig. 1d-1e). We note that the possibility of unintentional carrier doping is highly unlikely, as is inferred from various evidences including unchanged \( a \)-axis length and shrinkage of the \( c \)-axis during the reduction process (see Supplementary Material [12] for more detail).

\( \mu \)SR measurements using low-energy muon, which are now routinely used for studies of superconductivity [10-19], have been performed at the Paul Scherrer Institut, Switzerland [20, 21]. A muon beam with an energy \( (E_{\mu}) \) ranging from 4 to 23 keV was transported to sample position with an initial muon polarization \( \vec{P}_{\mu}(0) \) perpendicular to the beam direction \( \hat{z} \) [with \( \vec{P}_{\mu}(0) \parallel \hat{z} \)]. For each \( \mu \)SR measurement, a mosaic of four mostly identical \( 1.26 \times 1.26 \) cm\(^2\) thin films was used (see Fig. 1c for the resistivity of each film) to cover beam spot size of \( 2.5 \times 2.5 \) cm\(^2\). During measurements for the Meissner effect, a weak magnetic field \( (B_0 \equiv \mu_0 H \approx 7 \) mT\) was applied parallel to the sample surface \( \vec{H} \parallel \hat{y} \) after zero-field cooling down to \( \approx 5 \) K. Implanted muons probed the local transverse field (TF) which was modulated by the Meissner effect below \( T_c \).

For TF condition, \( \vec{P}_\mu(t) \) rotates around the internal field \( \mathbf{B} = B(z) (\parallel \hat{H}) \) so that the time evolution of an implanted muon polarization would be described by \( G_\nu(t) = \cos(\gamma_\nu Bt + \phi) \), where \( \gamma_\nu = 2\pi \times 135.53 \) MHz/T is the muon gyromagnetic ratio, and \( \phi \) is the initial phase determined by the direction of \( \vec{P}_\mu(0) \) relative to the \( \hat{x} \) axis. Provided a model for \( B(z) \), one can simulate the time evolution of the \( \mu \)SR spectra by a convolution of \( B(z) \) and the muon depth distribution \( n(z, E_\mu) \) calculated by a Monte Carlo code TRIM.SP [22].

\[
G_x(t) = [\bar{n}(E_\mu)]^{-1} \int_0^\infty \cos[\gamma_\mu B(z)t + \phi] \cdot n(z, E_\mu) dz, \tag{1}
\]

and it is approximated by

\[
\bar{G}_x(t) = e^{-\frac{1}{2}s^2t^2 - \sigma^2t^2} \cos(\gamma_\mu Bt + \phi), \tag{2}
\]

where \( \bar{n}(E_\mu) = \int n(z, E_\mu) dz, \sigma \) and \( \sigma \) denote the depolarization due to the random local fields from nuclear magnetic moments and that due to the variation of \( B(z) \), respectively, and \( B = \int B(z)n(z, E_\mu)dz/\bar{n}(E_\mu) \) is the average field. Although \( n(z, E_\mu) \) is not symmetric with respect to \( z \), the Gaussian approximation turns out to be quite satisfactory to reproduce the data.

We have found that there exists a signal component with an enhanced depolarization below \( \approx 80 \) K, suggesting that mesoscopic, highly disordered magnetic domains develop with decreasing temperature. Moreover, it has been inferred from the preliminary analysis that the mean field \( B_m \) originating from the magnetism is considerably smaller than \( B(z) \). Assuming that superconductivity occurs in the non-magnetic domains (with a volume fraction \( f_p \)) and that the depolarization in the magnetic domain is described by

\[
G_m^{TF}(t) \simeq e^{-\frac{1}{2}A^2t^2} \cos(\gamma_\mu B_m t + \phi), \tag{3}
\]

we analyzed the \( \mu \)SR spectra [i.e., time-dependent positron asymmetry, \( A(t) \approx \hat{x} \cdot \vec{P}_\mu(t) \)] by curve fits using a form

\[
A(t) = A_0[f_p \bar{G}_x(t) + (1 - f_p)G_m^{TF}(t)], \tag{4}
\]

with \( A_0, \bar{G}, B_m, f_p, \sigma, \) and \( \Lambda \) as free parameters, where \( A_0 \) is the initial asymmetry and \( \Lambda \) is the depolarization rate in the magnetic domains. \( \sigma \) was determined by the analysis of the ZF-\( \mu \)SR data: see below.)

The deduced mean local field \( \bar{B} \) vs peak implantation depth \( d_p \) for \( \mu \)0H \( \approx 7 \) mT is shown in Fig. 2a, where one can observe a clear decrease of \( \bar{B} \) at 5 K with increasing depth over a distance of \( \approx 100 \) nm underneath the Ag layer. This magnetic field gradient is completely absent at 25 K \( (> T_c) \). Since the magnetic penetration depth \( \lambda \) \((\approx \lambda_{ab})\) is estimated to be of the same order as the film thickness \( (2D) \), the external field penetrates from both sides into the film where

\[
B(z) = B_0 \frac{\cosh[(D - z + d_0)/\lambda]}{\cosh(D/\lambda)} \quad (z \geq d_0). \tag{5}
\]
A curve fit of the data in Fig. 2b with this form yields $\lambda = 466(22)$ nm and $B_0 = 7.14(2)$ mT. Alternatively, one can perform curve fits using the time spectra given by Eqs. (3), (1), and (3) instead of $G_z(t)$ (i.e., without resorting to $B$ as an intermediate parameter), which yields $\lambda = 465(5)$ nm. ($D$ and $d_0$ are fixed for both cases). These values are in excellent agreement with each other, and are in line with earlier measurements on $T'\text{-La}_{2-x}\text{Ce}_x\text{CuO}_4$ as they suggest a similar value of $\lambda$ for $x \rightarrow 0$. It should be noted that the presence of magnetic domain near the surface might weaken the shielding current, so that the “local $\lambda$” might be at variance from the above mentioned value. However, the observed depth profile of $B$ is well reproduced by Eq. (3), suggesting that the model provides reasonable description of the Meissner effect with $\lambda$ as a mean value averaged over $20 \leq d_p \leq 120$ nm.

These analyses also yield the depth profile of the non-magnetic volume fraction $f_p$ which is shown in Fig. 2b. It exhibits a gradual increase with an increasing $d_p$, being convex upward against $d_p$. Considering the depth resolution limited by $n(z, E\mu)$, we point out a remaining possibility that the actual depth profile of $f_p$ may be sharper than that observed in Fig. 2b near the surface, although the relatively small fraction of muon stopping in the LYCO film against that in Ag layer at low $E\mu$ makes it difficult to assess the thickness of the magnetic domain in detail.

The microscopic detail of the magnetic domain is inferred from depth-resolved ZF-$\mu$SR measurements, where the presence of a weak and highly disordered magnetism is identified near the sample surface. Figure 3b shows ZF-$\mu$SR time spectra at 5 K obtained with a variety of mean implantation depth $d_0$, where the amplitude of a strongly damped oscillation exhibits a monotonic decrease with increasing $d_0$. Curve fit analysis using $A(t) = A_0 [f_p G_z(t) + (1-f_p) G_{ZF}(t)]$, with $G_z(t) \approx e^{-\lambda^2 t}$ and $G_{ZF}(t) = \frac{1}{\tau} e^{-\lambda_1^2 t} + \frac{1}{\tau_2} e^{-\lambda_2^2 t}$ (i.e., an approximation of the Kubo-Toyabe function with $\lambda_{\parallel,\perp}$ denoting the longitudinal and transverse depolarization rates due to the weak magnetism, $\lambda_\perp \approx \lambda$) reproduces data, yielding parameter values that are consistent with those obtained from the TF data (details are found in the Supplementary Material [14]).

We also performed additional $\mu$SR measurements on thin-film samples of (i) $T'\text{-Eu}_2\text{CuO}_4$ (ECO, $\sim 35 \pm 25$ nm thick, with 10 nm Au cap layer) prepared by the metal-organic decomposition (MOD) technique $B$ and (ii) $T'\text{-structured La}_2\text{CuO}_4$ ($T'\text{-LCO}$, $\sim 120 \pm 5$ nm thick) grown by MBE (see Supplement Material [14]) to obtain clues for the weak magnetism in LYCO from their magnetic properties. As shown in Fig. 3b, $f_p$ in the over-reduced sample (in which CuO$_2$ planes presumably accompany small amount of oxygen vacancies) is totally non-magnetic. Meanwhile, the behavior of $f_p$ in $T'\text{-LCO}$ is qualitatively similar to that in reduced ECO and LYCO, suggesting that the weak magnetism may come from the apical oxygen with a local structure closer to that of $T'\text{-LCO}$.

Considering the total thickness of LYCO film ($\sim 275$ nm), the lower bound for the net volume fraction of the
magnetic phase is estimated to be 30/275 - 50/275 \sim 11-18\%.
Meanwhile, it is inferred from the SR x-ray analyses that the T'-structure phase is absent with an upper bound of 2-3\%.
This is also confirmed from the current \mu SR result, where the observed transition temperature for the weak magnetism is not higher than \sim 80 \text{ K} which is far below the Néel temperature (\sim 300 \text{ K}) of the T-La_2CuO_4 [24].
Thus one of the few remaining possibilities for the origin of the weak magnetism is trace amount of oxygen at the apical position, which is plausible in view of the difficulty to control the stoichiometry with the relevant degree of precision.

Here, we make a conjecture that may explain the observed bulk superconductivity of T'-La_{1.9}Y_{0.1}CuO_{4+\delta} including the origin of the near surface magnetism, where \delta denotes the off-stoichiometric apical oxygen content.
Based on the bulk metallicity in the present samples, we presume that the true ground state of the ideal compound (\delta = 0) is a metal in which UHB overlaps with CTB to yield the Fermi level situated in the overlapping region, as is depicted schematically in Fig. 4a [25].
The moderate carrier density inferred from \mu SR (as superfluid density) suggests that the overlap is rather marginal, making it sensitive to perturbation. The situation resembles a semimetal (e.g., bismuth), where UHB and CTB serves as electron and hole bands, respectively.

Now, introduction of an oxygen atom at the apical position might pull up the UHB level over a local domain of \textit{m} unit cells around it, so that the increase of \delta above a critical content \delta_c \sim (2m)^{-1} would eventually lead to the departure of UHB from CTB with a small gap in-between to yield the bulk Mott insulating state with AFM order (Fig. 4b). Possibility that the apical oxygen leads to carrier doping is unlikely, as is inferred from the SR x-ray analyses of as-grown T'-films (i.e., \textit{E}_F is unchanged). A recent report on a bulk crystal of T'-La_{2}CuO_{4} grown by alkaline hydroxide flux technique suggests that \delta \sim 0.018 (estimated by x-ray Rietveld analysis) in its as-grown state is sufficient to induce AFM order over the entire volume of the sample [26].

A detailed study on the magnetism of T'-PrLa_{1-x}Ce_xCuO_4 (PLCCO, which is obtained in bulk single crystal) as a function of oxygen deficiency revealed a remarkably sharp transition of the electronic state from bulk AFM order to a non-magnetic state at lower Ce content \textit{x} \sim 1\% or less. Such “colossal” sensitivity of the ground state to apical oxygen might be related to the bistable character suggested by recent theory [12, 13].

The observed weak magnetism may be then understood as due to staggered moments induced around the trace amount of apical oxygen impurities (0 < \delta < \delta_c), which would mutually interact via RKKY interaction to undergo a spin-glass-like magnetic order as we observed in the near-surface region. A similar situation is suggested from a detailed study of oxygen reduction effects in PLCCO [27]. We point out that the onset temperature of weak magnetism in the superconducting samples of LYCO and ECO films is distinctly broad and lower than the Néel temperature of any T' -structured cuprates (\sim 115 \text{ K}) at their as-grown state. The observed tendency of segregation to the near-surface region may reflect the gradient of the apical oxygen concentration occurred during the post-growth process.

Finally, we stress that the bulk metallicity of stoichiometric T'-structured cuprates can be reconciled with the vast knowledge of \textit{n}-type cuprates accumulated over the past decades [28], as it would have been mostly concerned with the magnetism of apical oxygen-induced Mott insulating phase and associated superconductivity upon electron doping to it.

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FIG. 4: (Color online) A schematic diagram of band structures of La_2CuO_4+\delta with a) stoichiometric T'-structure (\delta = 0), b) T'-structure with off-stoichiometric apical oxygen for \delta \geq \delta_c, and with c) T'-structure, where UHB and LHB refer to the upper and lower Hubbard bands, and CTB to the charge transfer band. Both b) and c) take antiferromagnetic order as the ground state, while a) remains to be paramagnetic metal.
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