Chiral symmetry breaking and monopoles in gauge theories

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QCD monopoles are magnetically charged quasiparticles whose Bose-Einstein condensation (BEC) at \( T < T_c \) creates electric confinement and flux tubes. The “magnetic scenario” of QCD proposes that scattering on the non-condensed component of the monopole ensemble at \( T > T_c \) plays an important role in explaining the properties of strongly coupled quark-gluon plasma (sQGP) near the deconfinement temperature. In this paper, we study the phenomenon of chiral symmetry breaking and its relation to magnetic monopoles. Specifically, we study the eigenvalue spectrum of the Dirac operator in the basis of fermionic zero modes in an SU(2) monopole background. We find that as the temperature approaches the deconfinement temperature \( T_c \) from above, the eigenvalue spectrum has a finite density at \( \omega = 0 \), indicating the presence of a chiral condensate. In addition, we find the critical scaling of the eigenvalue gap to be consistent with that of the correlation length in the 3d Ising model and the BEC transition of monopoles on the lattice.

I. INTRODUCTION

The possible existence of magnetic monopoles in electrodynamics fascinated leading physicists in the 19th century. With the development of quantum mechanics, Dirac famously related the existence of monopoles with electric charge quantization [1]. Monopoles in quantum electrodynamics, however, were never found.

With the advent of non-Abelian gauge theories, classical solitons with magnetic charge were found by 't Hooft [2] and Polyakov [3] in the Georgi-Glashow model. Such monopoles play important role in all other theories with an adjoint scalar field, notably in theories with extended supersymmetry \( \mathcal{N} = 2, 4 \).

In theories without such scalars, e.g. pure gauge theories, one can use the same 't Hooft-Polyakov solution with the zeroth component of the gauge field \( A_0 \) acting as the “Higgs;” this option leads to the semiclassical theory of instanton-dyons. For a recent short review, see Ref. [4]. These objects, however, are pseudo-particles and not particles, existing only in the Euclidean formulation of the theory, which severely limits their phenomenological applications. We will not discuss this issue further and only remind the reader that, in the case of extended supersymmetry, the partition functions in terms of monopoles and instanton-dyons were shown to be equal, related by the so-called Poisson duality [5–7].

In spite of the monopole solution lacking in theories without scalars, Nambu [8], 't Hooft [9], and Mandelstam [10] proposed the “dual superconductor” model of the electric color confinement. In this model, the Bose-Einstein condensation (BEC) of monopoles at \( T \leq T_c \) expels electric field from the vacuum into confining flux tubes.

In lattice studies of gauge theories, monopoles have been identified via procedures including choosing specific gauges, such as Maximal Abelian Gauge. Their locations and paths were positively correlated with gauge-invariant observables, such as the action and square of the magnetic field [11]. The monopoles were found to create a magnetic current around the electric flux tube, stabilizing them [12, 13]. In the Landau gauge, while monopole-type singularities themselves are not present, the physical properties that they source, e.g. the magnetic displacement current, are still present and are gauge-invariant [14]. Furthermore, their motion and correlations were shown to be exactly as expected for a Coulomb plasma [15–17]. The deconfinement critical temperature \( T_c \) does coincide accurately with that of monopole BEC transition [16, 18, 19], and the BEC transition, along with the the magnetic charge, has been shown to be gauge independent [20–22].

The “magnetic scenario” of QCD [17, 23, 24] assumes the presence of non-condensed monopoles in quark-gluon plasma (QGP). Unlike quarks and gluons, which have vanishing densities at \( T \to T_c \), the monopole density has a peak near \( T_c \) and is dominant there. Monopole-gluon and monopole-quark scattering were shown to play a significant role in kinetic properties of QGP, such as the shear viscosity \( \eta \) [25] and the jet quenching parameter \( \hat{q} \) [26–28]. The non-condensed monopoles should also lead to electric flux tubes at \( T > T_c \) [24], which indeed were recently observed on the lattice [29]. Thus, there is a growing amount of phenomenological evidence suggesting magnetic monopoles do exist, not only as a confining condensate at \( T \leq T_c \), but also as non-condensed quasi-particles at \( T > T_c \).

While the central role of monopoles in the confinement-deconfinement transition was recognized long ago, their relation to another important non-perturbative aspect of QCD-like theories, chiral symmetry breaking, has attracted much less attention. It has been found on the lattice that, by decomposing the gauge fields into Abelian-
monopole, Abelian-plain, and non-Abelian components, the removal of the monopoles does indeed lead to removal of the quark condensate [30, 31].

In this paper, we address how chiral symmetry breaking and the generation of the non-zero quark condensate at $T < T_c$, can be explained in terms of this monopole model. The mechanism is based on the formation of bound states between quarks and monopoles. Like in condensed matter systems, in which “doping” of a material by atoms with an extra state leads to new set of states and alters its conductivity, the presence of monopoles radically affects the Dirac eigenvalue spectrum.

One obvious difficulty of the problem is the fact that a detailed understanding of the “lattice monopoles” is lacking; they are treated as effective objects whose parameters and behavior we can observe on the lattice and parameterize, but their microscopic structure has yet to be understood. In particular, the ’t Hooft-Polyakov monopole solution includes a chiral-symmetry-breaking scalar field, while we know that, in massless QCD-like theories, chiral symmetry is locally unbroken. We assume that the zero modes in question are chiral themselves, like they are in the instanton-dyon theory, and that chiral symmetry breaking can only be achieved by a spontaneous breaking of the symmetry.

The other difficulty of the problem is the important distinction between fermionic zero modes of (i) monopoles and (ii) instanton-dyons. The latter include the so-called L-type or twisted dyons, which possess 4-dimensional zero modes for antisymmetric fermions. Therefore, their collectivization naturally leads to chiral symmetry breaking, studied recently in Ref. [32], in a natural mechanism originally proposed for the instants; for a review, see Ref. [33]. As follows from Banks-Casher relation [34], the quark condensate is proportional to density of Dirac eigenstates at zero eigenvalue.

The monopoles also have fermionic zero modes [35], which are 3-dimensional. They are, therefore, simply a bound state of a fermion and a monopole. In theories with extended supersymmetries, such objects do exist, fulfilling an important general requirement that monopoles need to come in particular super-multiplets, with fermionic spin 1/2 for $\mathcal{N} = 2$, or spins 1/2 and 1 for $\mathcal{N} = 4$. The anti-periodic boundary conditions for fermions in Matsubara time implies certain time dependence of the quark fields, and (as we will discuss in detail below) the lowest 4-dimensional Dirac eigenvalues produced by quarks bound to monopoles are the values $\lambda = \pm \pi T$, not at zero.

This, however, is only true for a single monopole. In a monopole ensemble with non-zero density, the monopole-quark bound states are collectivized and Dirac eigenvalue spectrum is modified. The question is whether this effect can lead to a nonzero $\rho(\lambda = 0) \propto \langle \bar{q}q \rangle$, and if so, whether it happens at the temperature at which chiral symmetry breaking is observed. As we will show below, we find affirmative answers to both these questions. The phenomenological monopole model parameters are such that a non-zero quark condensate is generated by monopoles at $T \approx T_c$.

As a final introductory comment, we note that our approach is to take as inputs the empirical monopole density $n(T)$ and ensemble of paths from our previous study. Using them, we calculate the corresponding Dirac eigenvalue spectrum. The back-reaction of the fermions on monopole density and their motion is neglected. In this respect, our calculation is ideological similar to quenched lattice calculations, which also ignore quark back-reaction on the gauge fields.

II. FERMIONIC ZERO MODES OF SU(2) MONOPOLES

In this section, we will overview the calculation of the fermion-monopole zero modes in the Georgi-Glashow model. First we will remind the reader of the result found by Jackiw and Rebbi [35] for the fermionic zero modes, and then go on to compute the matrix element between two monopoles in the basis of zero modes.

A. Fermionic zero mode of a monopole

This section is introductory, summarizing known results from Refs. [35, 36] and presented for self-completeness of the paper. The Lagrangian of the Georgi-Glashow model (without fermions) is

$$\mathcal{L} = -\frac{1}{4} F_{\alpha\mu\nu} F_{\alpha\mu\nu} + \frac{1}{2} (D_{\mu}\phi)_a (D^\mu \phi)_a - \frac{1}{4} \lambda (\phi_a - v)^2$$

where

$$F_{\alpha\mu\nu} = \partial^\mu A^\nu_{\alpha} - \partial^\nu A^\mu_{\alpha} + g_{\alpha\beta\gamma} A^\mu_{\beta} A^\nu_{\gamma}$$

and

$$(D^\mu \phi)_a = \partial^\mu \phi_a + g_{\alpha\beta\gamma} A^\mu_{\beta} \phi_{\gamma}$$

The fermionic part considered by Jackiw and Rebbi is

$$\mathcal{L}_F = i \bar{\psi}_n \gamma^\mu (D_{\mu}\psi)_n - G g \bar{\psi}_n \tau^a n_m \psi_m \phi_a$$

with $G$ a constant, $\tau^a = \sigma^a / 2$, and

$$(D_{\mu}\psi)_n = \partial^\mu \psi_n - i g \tau^a_{nm} A^\mu_n \psi_m$$

The ’t Hooft-Polyakov monopole solution has the form

$$A^0_n = 0,$$

$$A^1_n = \frac{\epsilon^{\mu\nu\rho} \tilde{F}_n \phi(r)}{g},$$

$$\phi_a = \frac{\tilde{r}_a \phi(r)}{g}.$$
With this ansatz, the equations of motion from the pure-gauge Lagrangian are

\[
0 = \frac{2}{r^2} \frac{d}{dr} \left( r^2 \frac{dA}{dr} \right) - \frac{2}{r} \frac{dA}{dr} + \frac{2}{r^2} \frac{d}{dr} (rA) - \frac{6}{r^2} A - \frac{6g}{r} A^2 - 2g^2 A^3 = \phi \left( \frac{2g}{r} + 2g^2 A \right),
\]

\[
0 = \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d\phi}{dr} \right) - \frac{2}{r^2} \phi - \frac{4g}{r} A \phi - 2g^2 A^2 \phi - 2U'(|\phi|^2) \phi,
\]

and boundary conditions,

\[
\left. \left( r^2 \frac{dA}{dr} + 2rA \right) \right|_{r=0} = 0,
\]

\[
\left. \left( r^2 \frac{d\phi}{dr} \right) \right|_{r=0} = 0.
\]

This set of equations and boundary conditions, combined with single-valuedness of the fields at the origin give

\[ A(0) = \phi(0) = 0, \]

\[ A(r) \bigg|_{r \to \infty} = -\frac{1}{r}, \]

\[ \phi(r) \bigg|_{r \to \infty} = v, \]

with \( v \) a constant.

The Dirac equation for the fermion field is written in the form

\[
\left[ -i\vec{\alpha} \cdot \vec{\partial} \delta_{nm} + \frac{1}{2} A(r) \sigma^a_{nm}(\vec{\alpha} \times \vec{r})_a \right. \]

\[ + \frac{G\phi(r)}{2} \sigma^a_{nm} \hat{r} \beta \] \[
\left. \right] \psi_m = E \psi_n,
\]

where \( n, m = 1, 2 \) are the isospin indices, \( \sigma^a \) are the Pauli matrices, and

\[ \alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}, \quad \beta = -i \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}. \]

For clarity, in Appendix A we will discuss their representation of the Dirac matrices and the relation to fermion chirality.

In this representation of the Dirac matrices, the \( \alpha_i, \beta \) are non-diagonal, and the only diagonal term is the energy. For a zero mode \( E = 0 \), the problem is “chiral,” in the sense that the 4-spinor \( \psi \) splits into separate upper and lower components,

\[ \psi = \begin{pmatrix} \psi^+ \\ \psi^- \end{pmatrix}, \]

where \( \psi_{lm}^\pm \) has four components, with \( l \) corresponding to spin indices and \( m \) corresponding to isospin (The SU(2) color is called isospin in Georgi-Glashow model). These upper and lower components can be further written as two scalar and vector fields,

\[ \psi_{lm}^\pm = (g^\pm \delta_{lm} + \bar{g}^\pm \cdot \sigma \cdot n) \sigma_{nm}^2. \]

Carrying out the partial wave analysis (see Appendix of Ref. [35]) and finding the zero energy solution gives

\[ g^+(r) = 0, \]

\[ g^-(r) = c^- \times \exp \left( \int_0^r dr' \left[ \frac{1}{2} G \phi(r') \right] \right), \]

\[ g^+(r) = c^+ \times \exp \left( \int_0^r dr' \left[ \frac{1}{2} G \phi(r') \right] \right), \]

The \( g^- \) solution is un-normalizable, so it is set to zero (\( c^- = 0 \)). This gives the spinors

\[ \psi_{lm}^- = 0, \]

\[ \psi_{lm}^+ = N \exp \left( \int_0^r dr' \left[ \frac{1}{2} G \phi(r') \right] \right) \times (s_i^+ s_m^+ - s_i^- s_m^-), \]

where \( s_i^\pm \) are the eigenvectors of \( \sigma \), and \( N \) is a normalization.

The extension of the SU(2) ’t Hooft-Polyakov monopole solution to SU(3) – with the same Georgi-Glashow-like Lagrangian – is discussed by A. Sinha [37]. In QCD, the \( A_0 \) field plays the role of the scalar (Higgs) field in the Georgi-Glashow model. For the purposes of this work, and for simplicity, we will study only the SU(2) case.

### B. Quark hopping matrix

Recognizing fermionic binding to monopoles, we now proceed to description of their dynamics in the presence of ensembles of monopoles. The basis of the description is assumed to be the set of zero modes described in the previous section. The Dirac operator is written as a matrix in this basis, so that \( i - j \) element is related to “hopping” between them. Such an approach originated from the “instanton liquid” model [33]. The matrix elements of the “hopping matrix”

\[ T = \begin{pmatrix} 0 & iT^i_{ji} \\ iT_{ij} & 0 \end{pmatrix} \]

where the \( T_{ij} \)s are defined as the matrix element,

\[ T_{ij} \equiv \langle i | -i \hat{D} | j \rangle, \]
between the zero modes located on monopoles \( i \) and antimonopoles \( j \). In the SU(2) case we are considering, this is equivalent to

\[
T_{ij} = \langle \psi_i | x \rangle \langle x - i \not{D} | y \rangle \langle y | \psi_j \rangle
\]

\[
= \int d^3x \psi^\dagger_{ik}(x - x_i)(-i \not{D})\psi_{lm}(x - x_j)
\]

\[
= \int d^3x \psi^\dagger_{ik}(x - x_i)\left[-i(\vec{\alpha} \cdot \vec{\partial} + \vec{\alpha} \cdot \vec{\partial} - \vec{\alpha} \cdot \vec{\partial})\delta_{nm}
+ \frac{1}{2}(A(x - x_i) + A(x - x_j))\sigma_{nm}^a(\vec{\alpha} \times \vec{r})_a
+ G(\phi(x - x_i) + \phi(x - x_j))\sigma_{nm}^a\tilde{\epsilon}_a\beta\right] \psi_{lm}(x - x_j)
\]

\[
= \int \sum_m d^3x \psi^\dagger_{km}(x - x_i)[-i\vec{\alpha} \cdot \vec{\partial}]^{kl}\psi_{lm}(x - x_j)
\]

where \( \psi \)s are zero modes with origin at \( x_{i,j} \), the locations of the two monopoles, \( n, m \) are the isospin/color indices, and we have used the fact that applying the Dirac operator to these wavefunctions gives zero.

The operator between the wavefunctions is

\[
i\vec{\alpha} \cdot \vec{\partial} = i
\begin{pmatrix}
0 & 0 & 0 & 1 \\
0 & 0 & 1 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0
\end{pmatrix}
\partial_x + i
\begin{pmatrix}
0 & 0 & 0 & -i \\
0 & 0 & i & 0 \\
i & 0 & 0 & 0 \\
0 & i & 0 & 0
\end{pmatrix}
\partial_y
\]

\[
+ i
\begin{pmatrix}
0 & 0 & 1 & 0 \\
0 & 0 & 0 & -1 \\
1 & 0 & 0 & 0 \\
0 & -1 & 0 & 0
\end{pmatrix}
\partial_2 .
\]

In the case where the original wavefunction only has an upper component, the resulting vector after applying this operator has only the lower component. Therefore, the matrix elements of the “hopping matrix” \( T_{ij} \) are zero unless the fermionic zero modes have opposite chirality. So, a left-handed fermion zero mode has non-zero overlap with a right-handed zero mode, and vice versa. To get the opposite chirality, we need to change the sign of the couplings. In the case of an SU(2) antimonopole, only the lower spinor survives, with the same wavefunction (without spinors) with tildes. We will call these zero modes \( \xi \).

This allows us to split the previous equation into two equations,

\[
T_{ij} = \int d^3x \xi^\dagger(x - x_i)[-i\vec{\alpha} \cdot \vec{\partial}]\psi(x - x_j) ,
\]

\[
T_{ji} = \int d^3x \psi^\dagger(x - x_i)[-i\vec{\alpha} \cdot \vec{\partial}]\xi(x - x_j) ,
\]

where we use the appropriate one depending on whether \( i(j) \) is a location of a monopole(antimonopole).

For the matrix element between a right-handed monopole zero mode and a left-handed anti-monopole zero mode,

\[
T_{ij} = \int d^3x \sum_m \xi^\dagger_{km}(\vec{x} - \vec{x}_i)[-i\vec{\alpha} \cdot \vec{\partial}]^{kl}\psi_{lm}(\vec{x} - \vec{x}_j) ,
\]

where \( m \) is the traced-over isospin/color index, and \( k,l \) are the spin indices (which are all convoluted). The operator is,

\[
[-i\vec{\partial} \cdot \vec{\partial}] = \left( -i\partial_x - i\partial_y \
- i\partial_x + i\partial_y \right) .
\]

For simplicity, we will treat each isospin/color case separately, so the only indices left are spin indices. We will denote the spatial-only part of the wavefunction (without spinors) with tildes.

1. \( m=1 \):

We will write \( \tilde{\xi}_{k1} \) as \( \tilde{\xi}_a \) and \( \psi_{11} \) as \( \tilde{\psi}a \). The wavefunction has spinors

\[
a_i \equiv s_i^+ s_i^- - s_i^- s_i^+ = \left( \begin{array}{c} 0 \\ -1 \end{array} \right) ,
\]

\[
a_i^\dagger \equiv s_i^+ s_i^- - s_i^- s_i^+ = \left( \begin{array}{c} 1 \\ 0 \end{array} \right) ,
\]

and so

\[
\tilde{\xi}a_i^\dagger[-i\vec{\alpha} \cdot \vec{\partial}]^{kl}\psi = \tilde{\xi}(i\partial_x + \partial_y)\tilde{\psi} .
\]

2. \( m=2 \):

The wavefunction has spinors

\[
b_i \equiv s_i^+ s_i^- - s_i^- s_i^+ = \left( \begin{array}{c} 1 \\ 0 \end{array} \right) ,
\]

\[
b_i^\dagger \equiv s_i^+ s_i^- - s_i^- s_i^+ = \left( \begin{array}{c} 0 \\ -1 \end{array} \right) ,
\]

and so

\[
\tilde{\xi}b_i^\dagger[-i\vec{\alpha} \cdot \vec{\partial}]^{kl}\tilde{\psi} = \tilde{\xi}(i\partial_x - \partial_y)\tilde{\psi} .
\]

Combining the two cases,

\[
\sum_m \xi^\dagger_{km}[-i\vec{\alpha} \cdot \vec{\partial}]^{kl}\psi_{lm} = 2i\tilde{\xi}\partial_x \tilde{\psi} ,
\]

which then yields that,

\[
T_{ij} = 2i \int d^3x \tilde{\xi}(\vec{x} - \vec{x}_i)\partial_x \tilde{\psi}(\vec{x} - \vec{x}_j) .
\]

For the matrix element between a left-handed antimonopole zero mode and a right-handed monopole zero mode, we get, similarly,

\[
T_{ji} = 2i \int d^3x \tilde{\psi}(\vec{x} - \vec{x}_i)\partial_x \tilde{\xi}(\vec{x} - \vec{x}_j) .
\]

The full solutions to the equations of motion for \( A(r) \) and \( \phi(r) \) are well behaved at the origin, so we must use
those in lieu of only considering asymptotics. The only analytic solution to the equations of motion is in the case where $\lambda = 0$; this solution is known as the Bogomolnyi-Prasad-Sommerfeld (BPS) monopole \[38, 39\]. In this case,
\[
H(\zeta) = \zeta \coth(\zeta) - 1,
\]
\[
K(\zeta) = \frac{\zeta}{\sinh(\zeta)},
\]
where $\zeta = gvr$. In terms of these functions, our gauge fields are,
\[
A_0^0 = 0,
\]
\[
A_\alpha^0 = \epsilon^{\alpha ij} r_j \left(1 - K(\zeta)\right),
\]
\[
\phi_\alpha = \frac{r_\alpha}{gvr^2} H(\zeta).
\]

This leads to the identification with our earlier notation,
\[
A(r) = \frac{1 - K(gvr)}{r},
\]
\[
\phi(r) = \frac{H(gvr)}{r}.
\]

For the monopole zero mode we get that, up to normalization,
\[
\psi = \frac{1}{2} (gvr)^{\frac{x}{2} + 1} \coth\left(\frac{gvr}{2}\right) \sinh^{-\frac{x}{2}} (gvr).
\]

Then,
\[
\partial_x \psi = -\left(\frac{x}{4r^2}\right) (gvr)^{\frac{x}{2} + 1} \coth\left(\frac{1}{2} gvr\right) \sinh^{-\frac{x}{2} - 1} (gvr)
\]
\[
\times (-G + 2) \sinh (gvr) + gGvr \cosh (gvr) + 2gvr,
\]
and similarly for the anti-monopole zero mode wave function. Putting these solutions into the hopping matrix element equation for monopole-to-antimonopole, we get
\[
T_{ij}(r_0) = 2i \int d^3 x \tilde{\xi}(|r - r_0|) \partial_x \tilde{\psi}(r)
\]
\[
= 2i N^2 \int d^3 x (2 - \frac{x}{8r^2}) (gvr)^{G+2} r^{G+2} |r - r_0|^{G+2}
\]
\[
\times \coth\left(\frac{1}{2} gvr\right) \sinh^{-\frac{x}{2} - 1} (gvr)
\]
\[
\times \coth\left(\frac{1}{2} gvr|r - r_0|^{-1} \sinh^{-\frac{x}{2}} (gvr|r - r_0|)
\]
\[
\times (-G + 2) \sinh (gvr) + gGvr \cosh (gvr) + 2gvr.
\]

The combination $gvr$ is dimensionless, as is $x/r$, so the integrand has dimension [energy] (1/r). The parameter $v$, in the BPS limit, is determined by the mass of the monopole, and $g$ is taken to be the same as in the strong coupling constant.

As an instructive exercise, taking $G, g, v = 1$, we can evaluate this integral. The result is seen in Fig. 1. The first thing to note is that the result of integral is symmetric around the $x$-axis, and therefore only dependent on the combination $(y_0^2 + z_0^2)$. So, for example, taking $r_0 = (x_0, 2, 3)$ yields the same result as $r_0 = (x_0, \sqrt{13}, 0)$, $r_0 = (x_0, 3, 2)$, etc. In addition, the function is odd under reflection from $x \rightarrow -x$. Therefore, we can evaluate the integral with $z_0 = 0$, $y_0 \geq 0$, and $x_0 \geq 0$, without loss of generality.

III. DIRAC EIGENVALUES FOR MONOPOLE-ANTIMONOPOLE ENSEMBLES

A. The monopole ensembles

We will use the monopole configurations found in our previous path-integral study of monopole Bose-Einstein condensation \[19\], and study the effects of density and temperature on the eigenvalue spectrum of the Dirac operator for BPS monopoles.

To constrain the values of $g$ and $v$, we can use the mass of the monopole. In the BPS limit, the mass is given by
\[
M = \frac{4\pi v}{g}.
\]

The mass of the monopole was studied in Ref. \[18\] through lattice simulations of SU(2) pure-gauge theory, which shows a mass of around $2T_c$ at $T = T_c$, and then rapidly grows as temperature increases. The density of monopoles in SU(2) gauge theories was studied in Ref. \[15\], and was parameterized by
\[
\frac{\rho}{T_c^3} \left(\frac{T}{T_c}\right) = \frac{0.557(T/T_c)^3}{\log(2.69(T/T_c))^2}.
\]
B. The quantization procedure

We will evaluate the evolution matrix $U$, defined as time-ordered integral of the hopping matrix in the previous section over the Matsubara periodic time $\tau \in [0, \beta]$. This matrix will then be diagonalized to find the eigenvalues for the fermion states. Because each eigenstate is still fermionic, each is required to fulfill the fermionic values for the fermion states. This defines quantization of the Dirac eigenvalues by,

$$\lambda_i + \omega_{i,n} = \left(n + \frac{1}{2}\right) \frac{2\pi}{\beta},$$

where $\lambda_i$s are the eigenvalues of the hopping matrix $T$.

For monopoles that move in Euclidean time, we must integrate over the Matsubara circle to find the fermion frequencies,

$$U = \oint_{\beta} d\tau e^{iH\tau} = -\mathbb{1}.$$  \hfill (29)

This can be approximated by

$$-\mathbb{1} \approx e^{iH_m \Delta \tau} \cdots e^{iH_2 \Delta \tau} e^{iH_1 \Delta \tau} \approx (1 + iH_m \Delta \tau - \cdots) \cdots (1 + iH_1 \Delta \tau - \cdots)$$

for $m$ time slices. We diagonalize the resulting matrix on the right-hand side and solve to find the quantity $\lambda + \omega$.

C. Dirac eigenvalue spectra and chiral symmetry breaking

For simplicity, we will work in units of $T_c$ (i.e. $T_c = 1$) when doing this calculation (for mass and temperature, for example), and units of length will be defined by the density of monopoles $r \sim \rho^{-1/3}$ in units of $1/T_c$.

Before we begin, to estimate what the effects of temperature will be on our results, we can evaluate the integral in Eq. (25) with different values of the parameters, corresponding to the range of temperatures we will simulate. We will take $G = g = \sqrt{4\pi/\beta} -$ as would be the case in QCD – and $v$ from the monopole mass, taken from Ref. [18]. The results for $T/T_c = 1, 1.05, 1.1$, and $1.2$ are seen in Fig. 2 (a), (b), (c), and (d), respectively.

Compared to the $g = G = v = 1$ case, the range of the zero-mode hopping is significantly smaller – $\sim$10 units of

FIG. 2: Evaluation of $\text{Im}(T_{ij}(r_0))$ for $r_0$ in the $xy$ plane for different temperatures.
The Banks-Casher relation [34] makes the connection between the density of eigenvalues at \( \omega = 0 \) and the magnitude of the chiral condensate. In studying the monopole contribution to the chiral condensate, it is important to note that we can only approach the critical temperature \( T_c \) from above, as we do not have any lattice data on monopole density, mass, or correlations below \( T_c \). As a result, we will primarily focus on the gap in eigenvalue spectrum around \( \omega = 0 \) as a proxy for the chiral transition.

The first, and most important, thing to notice is that when \( T = T_c \), the eigenvalue distribution has a finite density at \( \omega = 0 \) (see Fig. 4(a)), which indicates the nonzero value of the chiral condensate; there is no gap in the spectrum present at \( T = T_c \). (A small dip seen around zero is a consequence of finite size of the system, well known and studied on the lattice and in topological models. It should be essentially ignored in extrapolation to zero.)

Furthermore, one can see the onset of non-zero density at zero eigenvalue by looking at the smallest eigenvalue in each configuration. Fig. 5 shows the mean smallest eigenvalue as a function of temperature. We fit the data with the function

\[
\langle \omega_{\text{min}} \rangle = A(T - T_c)^\nu,
\]

where \( \langle \ldots \rangle \) indicates an average over configurations. The fit parameters were found to be \( A = 6.29 \) and \( \nu = .60 \). We note that this exponent \( \nu \) is compatible with the critical exponent found from the diverging correlation length at the deconfinement temperature, which for the 3d Ising model is \( \nu \approx .63 \), and is also consistent with the monopole BEC transition found in [18]. This agreement was in fact not expected, because of quenched nature of the calculation, but has been observed nevertheless.

### IV. SUMMARY

In qualitative terms, the mechanism of chiral symmetry breaking based on monopoles is as follows. A single monopole (or anti-monopole) generates additional quark and antiquark bound states. At high temperatures, the monopoles have large mass and the probability of hopping is therefore low. The 4d Dirac operator eigenvalues are well localized near the fermionic Matsubara frequencies \( 2\pi T(n + 1/2) \). Using the condensed matter analogy, one may say that a matter is an insulator.

However, as \( T \) decreases toward \( T_c \), the amplitudes of quark “hopping” from one monopole to an antimonopole (and vice versa) grow. Eventually, at some critical density, quarks become “collectivized” and are able to travel very far from their original locations. The physics of the mechanism is similar to insulator-metal transition in condensed matter under pressure.

Technically, the central point is the distinction between the evolution operator and quantization of the fermionic states on one hand, and the Dirac operator and its eigenvalues.
Quantitatively, we found that not only the mechanism works in principle, but that a noticeable quark condensate does appear at $T \approx T_c$, practically simultaneously with the deconfinement phase transition, seen by the BEC of monopoles. This observation is consistent with what has been observed in quenched lattice calculations.

Finally, let us comment on the dependence of chiral symmetry breaking on the fermion periodicity phase. We have not studied it in this work, but note that for periodic quarks, the Matsubara frequencies shift to bosonic set $2\pi T n$, including $n = 0$. Therefore the monopoles would produce a non-zero quark condensate at any density. This comment implies that the chiral transition is in general some function of the periodicity phase, and its coincidence with deconfinement only happens for the anti-periodic quarks we studied.

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Appendix A: Gamma matrices and chirality of the monopole zero modes

The representation of the Dirac matrices used by Jackiw and Rebbi and mentioned in the text correspond to the definition

$$
\gamma_4 = \beta, \quad \gamma_4 \gamma_5 = -i\tilde{\alpha},
$$

with the representation of the gamma matrices

$$
\gamma_4 = -i \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \gamma_i = \begin{pmatrix} -\sigma_i & 0 \\ 0 & \sigma_i \end{pmatrix}, \quad \gamma_5 = i \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.
$$
Standard definition of fermion chirality (left and right polarizations) is related to projectors \((1 \pm \gamma_5)/2\), and so only the last Weyl representation, in which \(\gamma_5\) is diagonal, is really chiral. The zero modes of pure gauge solitons, such as instantons and instanton-dyons, are chiral in this standard sense.

The 2-component zero modes found by Jackiw and Rebbi are often called “chiral” in literature, but they are not chiral in the standard sense, as seen already from the fact that in their representation \(\gamma_5\) is not diagonal. Furthermore, as seen directly from the Lagrangian of the Georgi-Glashow model, fermions interact with a scalar field, and this vertex mixes the left and right polarizations, explicitly breaking chiral symmetry.

In pure gauge theory with massless fermions (which we discuss), the SU\((N_f)\) chiral symmetry is exact. So, when the lattice monopoles – whatever their microscopic structure may be – have fermionic bound states, those should belong to the representation of the standard chiral symmetry, rather than the one with the quotation marks. So, while we use the ’t Hooft-Polyakov monopole and its Jackiw-Rebbi zero modes as an example, we do not expect it correctly reproduce their chiral properties. We assume that the zero modes of monopoles in gauge theories without scalars (such as QCD) are truly chiral in the usual sense.

---

Note that this form is different both from the standard Dirac representation

\[
\gamma_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \gamma_i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},
\]

and the Weyl one, in which

\[
\gamma_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \gamma_i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}.
\]

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