A ROTATED CHARACTERISTIC DECOMPOSITION TECHNIQUE FOR HIGH-ORDER RECONSTRUCTIONS IN MULTI-DIMENSIONS

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ABSTRACT

When constructing high-order schemes for solving hyperbolic conservation laws, the corresponding high-order reconstructions are commonly performed in characteristic spaces to eliminate spurious oscillations as much as possible. For multi-dimensional finite volume (FV) schemes, we need to perform the characteristic decomposition several times in different normal directions of the target cell, which is very time-consuming. In this paper, we propose a rotated characteristic decomposition technique which requires only one-time decomposition for multi-dimensional reconstructions. The rotated direction depends only on the gradient of a specific physical quantity which is cheap to calculate. This technique not only reduces the computational cost remarkably, but also controls spurious oscillations effectively. We take a third-order weighted essentially non-oscillatory finite volume (WENO-FV) scheme for solving the Euler equations as an example to demonstrate the efficiency of the proposed technique.

Keywords characteristic decomposition · high-order schemes · hyperbolic conservation laws · WENO · finite volume

1 Introduction

The solution to a nonlinear hyperbolic conservation law may become discontinuous even when the initial conditions are sufficiently smooth. Therefore, the shock-capturing property is a desired feature of a numerical scheme for solving hyperbolic conservation laws. Usually, low-order schemes are more stable than high-order schemes for capturing discontinuities. However, in smooth regions, low-order schemes converge too slow, so they require a very fine mesh to achieve low-level errors. In many applications, discontinuities and sophisticated structures coexist, hence shock-capturing high-order schemes are preferred. In the development history of numerical schemes for solving hyperbolic conservation laws, the order of the numerical schemes gradually increased. Van Leer [Van Leer 1979] proposed a second-order monotonic upstream-centered scheme for conservation laws (MUSCL) which is an extension of the first-order Godunov scheme [Godunov 1959]. Colella and Woodward [Colella and Woodward 1984] proposed a third-order piecewise parabolic method (PPM) which was extended from MUSCL. Harten and his coworkers [Harten et al. 1987] proposed a third-order weighted essentially non-oscillatory (WENO) scheme [Harten et al. 1996].

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We consider the hyperbolic conservation law, equipped with certain initial conditions and boundary conditions, which

When solving a hyperbolic system by ENO/WENO schemes or DG schemes with non-linear limiters, it is necessary to carry out reconstructions in characteristic space to control spurious oscillations. 

A semi-discrete FV scheme for solving Eq. (1) can be written as

\[
\frac{dU_i}{dt} = -\frac{1}{\Omega_i} \sum_{j=1}^{J} |\partial \Omega_{i,j}| \sum_{k=1}^{K} \omega_k \hat{F}(x_k^G) \cdot n_{i,j},
\]

where \( \Omega_i \) is the discrete cell, \( \partial \Omega_{i,j} \) is the \( j \)-th edge of \( \Omega_i \), \( n_{i,j} \) is the unit outward normal vector of \( \partial \Omega_{i,j} \), \( x_k^G \) and \( \omega_k \) are the Gaussian quadrature points and weights applied for the computation of the numerical flux on \( \partial \Omega_{i,j} \). The numerical fluxes at the Gaussian quadrature points can be calculated by local Riemann solvers. Here, we use the local Lax–Friedrichs flux that is expressed as

\[
\hat{F}(x_k^G) = \frac{1}{2} \{ F^+(x_k^G) + F^-(x_k^G) - S_{i,j} [U^+(x_k^G) - U^-(x_k^G)] \}.
\]
where $S_{i,j}$ is the local upper bound for the eigenvalues of the Jacobian in the $n_{i,j}$ direction, $U^\pm (x_i^n)$ is interpolated from the target cell and the neighboring cell.

In order to get a $p$th-order scheme, we have to reconstruct a $(p - 1)$th-order polynomial $U_i(x)$ from cell averages to approximate the solution in every cell. A popular reconstruction method is WENO which is a nonlinearly convex combination of linear reconstructions on several local stencils. In this work, we take a two-dimensional third-order WENO-FV scheme on Cartesian meshes as an example to demonstrate the efficiency of the proposed technique. The WENO reconstructions proposed by Balsara et al. [Balsara et al. [2009]] is used for space reconstructions, and the third order TVD Runge–Kutta method [Shu and Osher [1988]] is adopted for time discretization. When constructing non-linear stencil weights, we use the smoothness measures to the second power and set $\epsilon = 10^{-40}$. The other settings exactly keep the same with those used by Balsara et al. [Balsara et al. [2009]].

### 2.2 The rotated characteristic decomposition technique for the two-dimensional Euler equations

We consider the two-dimensional Euler equations, namely

$$\frac{\partial U}{\partial t} + \frac{\partial F_1}{\partial x_1} + \frac{\partial F_2}{\partial x_2} = 0,$$

with

$$U = \begin{bmatrix} \rho \\ \rho u \\ \rho v \\ \rho e \end{bmatrix}, F_1 = \begin{bmatrix} \rho u \\ \rho u^2 + p \\ (\rho e + p)u \\ \rho u^2 + p \end{bmatrix}, F_2 = \begin{bmatrix} \rho v \\ \rho uv \\ (\rho e + p)v \\ \rho uv + p \end{bmatrix},$$

where $\rho$ is the density, $u$ and $v$ are respectively velocity component in $x_1$ and $x_2$ directions, $p$ is the pressure, $e$ is the specific total energy. For ideal gases, we have

$$e = \frac{p}{(\gamma - 1)\rho} + \frac{1}{2}(u^2 + v^2).$$

The Jacobian matrix corresponding to $F_1$ is

$$A(U) = \frac{\partial F_1}{\partial U} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ \frac{\gamma - 1}{2}K - u^2 & (3 - \gamma)u & 1 & 0 \\ -uv & v & 0 & u \\ (\frac{\gamma - 1}{2}K - H)u & H - (\gamma - 1)u^2 & (1 - \gamma)uv & \gamma u \end{bmatrix},$$

where $K = u^2 + v^2$, and $H = e + \frac{p}{\rho}$. The eigenvalues of $A(U)$ are

$$\lambda_1 = u - a, \quad \lambda_2 = \lambda_3 = u, \quad \lambda_3 = u + a,$$

of which the corresponding right eigenvectors are

$$r_1 = \begin{bmatrix} 1 \\ u - a \\ v \\ H - au \end{bmatrix}, r_2 = \begin{bmatrix} 1 \\ u \\ v \\ \frac{K}{2} \end{bmatrix}, r_3 = \begin{bmatrix} 0 \\ 0 \\ 1 \\ v \end{bmatrix}, r_4 = \begin{bmatrix} 1 \\ u + a \\ v \\ H + au \end{bmatrix},$$

where $a = \sqrt{\frac{\gamma p}{\rho}}$ is the sound speed. Define the right eigenvector matrix as $R = [r_1, r_2, r_3, r_4]$, then the Jacobian matrix can be written as $A(U) = RAR^{-1}$, where $A$ is the diagonal matrix with $A_{kk} = \lambda_k$, the left eigenvector matrix $R^{-1}$ is expressed as

$$R^{-1} = \frac{\gamma - 1}{2a^2} \begin{bmatrix} \frac{a u}{\gamma - 1} + \frac{K}{2} & -\frac{a u}{\gamma - 1} - u & -v & 1 \\ \frac{\gamma - 1}{2a^2} - K & 2u & 2v & -2 \\ -\frac{2a^2}{2a^2} & 0 & 2a^2 & 0 \\ -\frac{a u}{\gamma - 1} + \frac{K}{2} & \frac{\gamma - 1}{2} - u & -v & 1 \end{bmatrix}.$$

Once we get the flux and its eigenstructure in $x_1$ direction, we can use the rotational invariance property of the Euler equations to calculate the flux and the corresponding eigenstructure in an arbitrary direction, $n = (n_1, n_2) = (\cos \theta, \sin \theta)$, as [Toro [2013]]

$$F(U, \theta) = \cos \theta F_1 + \sin \theta F_2 = T^{-1} F_1 (TU),$$

for $a^2 = \frac{\gamma - 1}{\gamma + 1}$.
When we apply WENO schemes to solve the Euler equations, we have to perform all the reconstructions in characteristic space to eliminate spurious oscillations as much as possible. In standard WENO approaches, before we calculate the flux in \( n_{i,j} = (\cos \theta_{i,j}, \sin \theta_{i,j}) \) direction in Eq. (2), we need the following three major steps in advance:

**Step 1.** Project the cell averages \( \bar{U}_m \) within the reconstruction stencils of the target cell \( \Omega_i \) to local characteristic space, i.e., \( \bar{U}^{(c)}_{m,j} = \mathbf{R}^{-1}(\bar{U}_i, \theta_{i,j}) \bar{U}_m \);

**Step 2.** Perform WENO reconstruction based on \( \bar{U}^{(c)}_{m,j} \), i.e., \( \bar{U}^{(c)}_{i,j}(x) = WENOREC \left( \bar{U}^{(c)}_{m,j} \right) \);

**Step 3.** Transform \( U^{(c)}_{i,j}(x) \) back to physical space, i.e., \( U_{i,j}(x) = \mathbf{R}(\bar{U}_i, \theta_{i,j}) U^{(c)}_{i,j}(x) \).

Note that, we need to repeat \( N \) times the above steps on a target cell with \( N \) different normal directions. For example, on two-dimensional Cartesian meshes, we need to execute the above steps in \( x_1 \) and \( x_2 \) respectively.

In order to improve the efficiency, we propose a rotated characteristic decomposition technique which contains the following steps:

**Step 1.** Determine the rotated direction as \( \hat{n}_i = (\cos \hat{\theta}_i, \sin \hat{\theta}_i) \) with

\[
\cos \hat{\theta}_i = \frac{\rho_{x_1,i} + \epsilon}{\sqrt{(\rho_{x_1,i} + \epsilon)^2 + (\rho_{x_2,i} + \epsilon)^2}}, \quad \sin \hat{\theta}_i = \frac{\rho_{x_2,i} + \epsilon}{\sqrt{(\rho_{x_1,i} + \epsilon)^2 + (\rho_{x_2,i} + \epsilon)^2}},
\]

where the spatial derivatives \( \rho_{x_1,i} \) and \( \rho_{x_2,i} \) are calculated by central differences, and \( \epsilon = 10^{-40} \) is a small number used to avoid singularity;

**Step 2.** Project the cell averages \( \bar{U}_m \) within the reconstruction stencils of the target cell \( \Omega_i \) to local characteristic space, i.e., \( \bar{U}^{(c)}_m = \mathbf{R}^{-1}(\bar{U}_i, \hat{\theta}_i) \bar{U}_m \);

**Step 3.** Perform WENO reconstruction based on \( \bar{U}^{(c)}_m \), i.e., \( \bar{U}^{(c)}_i(x) = WENOREC \left( \bar{U}^{(c)}_m \right) \);

**Step 4.** Transform \( U^{(c)}_i(x) \) back to physical space, i.e., \( U_i(x) = \mathbf{R}(\bar{U}_i, \hat{\theta}_i) U^{(c)}_i(x) \).

At the first glance, the rotated characteristic decomposition technique requires one more step than the standard technique. However, the additional step to determine the rotated direction is very cheap, and the rotated characteristic decomposition technique always requires only one-time characteristic decomposition regardless of the number of the normal directions of the target cell. Therefore, the rotated characteristic decomposition technique can significantly reduce the computational cost comparing to the standard technique. Furthermore, spurious oscillations usually appear in the direction of shocks and contact discontinuities which can be detected by the density gradient, so we can effectively control the spurious oscillations by performing characteristic decomposition in the direction of the density gradient.

### 3 Numerical examples

We serially run all the simulations on a laptop equipped with Intel(R) Core(TM)i7-4720HQ CPU@2.60GHz. In all simulations, we set \( CFL = 0.8 \) and \( \gamma = 1.4 \). In this section, we respectively denote no characteristic decomposition as NCD, standard characteristic decomposition as SCD, and rotated characteristic decomposition as RCD for short. We note that, we perform RCD in the density gradient direction and the total energy gradient direction respectively, but no difference was observed. Therefore, we show only the results of RCD in the density gradient direction.

#### 3.1 Isentropic vortex evolution

This case is used to demonstrate the effect of the RCD technique on smooth flows. The computational domain is \([−5, 5] \times [−5, 5]\) of which all boundaries are periodic. Initially, the mean flow \((\rho = 1, u = 1 \text{ and } (u, v) = (1, 1))\) is disturbed by an isentropic vortex which is described by

\[
\begin{align*}
\delta u &= \frac{\psi}{2\pi}e^{0.5(1-r^2)}(-y, x), \\
\delta v &= \frac{(\gamma - 1)\psi^2}{8\gamma\pi^2}e^{(1-r^2)}, \\
\delta T &= -\frac{(\gamma - 1)\psi^2}{8\gamma\pi^2}e^{(1-r^2)}, \\
\delta S &= 0.
\end{align*}
\]

\( \psi \) is a function of radius:

\[
\psi = \frac{1}{4\pi} \left( \frac{\gamma - 1}{\gamma + 1} \right) (\gamma - 1)^{\frac{1}{2}} \left( 1 - \frac{1}{\gamma} \right) (1 - r^2)^{\frac{\gamma - 1}{2}}.
\]
Table 1: The density errors, convergence rates, and computational costs of the third-order WENO-FV scheme for the isentropic vortex evolution problem.

|                  | WENO-FV with NCD | WENO-FV with SCD | WENO-FV with RCD |
|------------------|------------------|------------------|------------------|
| cell number      |                  |                  |                  |
|                  | $L_1$ Order $L_\infty$ order CPU time (s) | $L_1$ Order $L_\infty$ order CPU time (s) | $L_1$ Order $L_\infty$ order CPU time (s) |
| $50 \times 50$   | 4.94E-4 - 7.74E-3 - 0.8 | 4.99E-4 - 7.78E-3 - 2 | 5.01E-4 - 7.72E-3 - 1.2 |
| $100 \times 100$ | 6.89E-5 2.84 9.32E-4 3.05 5.8 | 6.87E-5 2.86 9.14E-4 3.09 16 | 6.87E-5 2.87 9.17E-4 3.07 9 |
| $150 \times 150$ | 2.13E-5 2.90 2.34E-4 3.41 19 | 2.12E-5 2.90 2.29E-4 3.41 52 | 2.12E-5 2.90 2.31E-4 3.40 30 |
| $200 \times 200$ | 9.51E-6 2.80 1.00E-4 2.96 45 | 9.49E-6 2.85 1.01E-4 2.88 70 | 9.49E-6 2.80 1.01E-4 2.85 70 |

where $r^2 = x^2 + y^2$, $S = p/\rho^\gamma$ is the entropy, $T = p/\rho$ is the temperature, and $\psi$ is the vortex strength. In our computations, we set $\psi = 5$.

The exact solution of this problem can be portrayed by the vortex moving at the mean velocity. We use the third-order WENO scheme with different characteristic decomposition techniques to compute this problem to $t = 2$. Table 1 shows the corresponding density errors, convergence rates, and computational costs. As we can see, the RCD technique has no effect on the accuracy of the scheme, but it significantly reduces CPU times as compared with the SCD technique.

### 3.2 Double Mach reflection problem

This problem was proposed by Woodward and Colella [Woodward and Colella [1984]]. The computational domain is $[0, 4] \times [0, 1]$. At $t = 0$, an oblique Mach 10 shock is inclined at an angle of $60^\circ$ to the horizontal direction. The pre-shock and post-shock states are given by

\[
(\rho, u, v, p) = \begin{cases} 
(1.4, 0, 0, 1) & \text{if } x > \frac{1}{6} + \frac{\sqrt{3}}{18}, \\
(8.25\sin(60^\circ), -8.25\cos(60^\circ), 116.5) & \text{otherwise.} 
\end{cases}
\] (12)

The post-shock states are imposed on the left boundary, and non-reflective boundary conditions are applied to the right boundary. On the top, the time-dependent boundary conditions are determined by the exact motion of the oblique shock. On the bottom, outflow conditions are imposed for $x \leq \frac{1}{6}$, and reflective boundary conditions are imposed for $x > \frac{1}{6}$.

This problem is equivalent to a horizontally moving Mach 10 shock reflected by a $60^\circ$ wedge. Under current condition, a double Mach reflection will occur. We run this problem by the third-order WENO-FV scheme with 1920 $\times$ 480 cells up to $t = 28$. The CPU times of WENO-FV with NCD, SCD, and RCD are 18925s, 54032s, and 32179s, respectively. Fig. 1 shows the entire view of the density contours, and Fig. 2 shows the corresponding enlarged view. As we expected, the WENO-FV with NCD cannot control spurious oscillations effectively. The RCD technique can control oscillations well with a significantly less CPU time than the SCD technique. Meanwhile, the RCD and NCD techniques achieve similar resolutions for the complex slip line instability, as shown by Fig. 2.

### 3.3 Shock reflection on a plate

This is a steady-state shock reflection problem which was proposed by Yee et al. [Yee et al. [1985]]. The computational domain is $[0, 4] \times [0, 1]$ which is initialized by $(\rho, u, v, p) = (1, 2.9, 0, 1/\gamma)$. Non-reflective boundary conditions are imposed on the right, and reflective boundary conditions are imposed on the bottom. On the left and top, we impose
the following Dirichlet boundary conditions

\[
(\rho, u, v, p) = \begin{cases} 
(1, 2.9, 0, 1/\gamma) & \text{on the left,} \\
(1.69997, 2.61934, -0.50632, 1.52819) & \text{on the top.}
\end{cases}
\] (13)

We calculate this problem by the third-order WENO-FV scheme with 200 × 50 cells up to \( t = 15 \). The CPU times of WENO-FV with NCD, SCD, and RCD are 220s, 598s, and 358s, respectively. Fig. 3 shows the density contours, and Fig. 4 shows the corresponding density profiles along the line \( y = 0.5 \). Again, obvious oscillations are observed near the shocks calculated by the WENO-FV scheme with NCD. It is interesting to observe that RCD controls spurious oscillations better than SCD. In Fig. 4(b) slight oscillations can also be observed in the density profile along the line \( y = 0.5 \) derived by the WENO-FV scheme with SCD. In contrast, oscillations are invisible in the density profile derived by the WENO-FV scheme with RCD. In order to confirm the embedded mechanism, we additionally perform the characteristic decomposition in \( x \) direction (xCd) and \( y \) direction (yCD). We observe that yCD achieves better results than xCD, but slight oscillations near the reflected shock still exist as shown by Fig. 4(e). Since \( y \) direction is closer to the normal direction of the shock than \( x \) direction, we conclude that the good outcome of RCD comes from the fact that the shock is oblique with respect to the grid and RCD works in the direction normal to it. Furthermore, we compare the maximum residual histories of the third-order WENO-FV scheme with different characteristic decomposition techniques in Fig. 5. Here, the maximum residual is calculated by

\[
R_{\text{max}} = \max(R_1^n, R_2^n, R_3^n, R_4^n)
\]

where \( R_k^n = \max \left| U_k^n - U_k^{n-1} \right| \) (\( k = 1 \) to 4) for all cells. As we can see from Fig. 5, yCD has the smallest residuals among all the techniques, and RCD comes second. In addition, yCD and RCD obviously have smaller residual oscillations than the other three techniques. We note that, the residuals cannot achieve machine zero regardless of the characteristic decomposition technique. This is caused by the WENO reconstruction technique. If we want to reduce the residuals to machine zero, we have to use other technique, see for example the work of Zhu and Shu [2017].
Figure 2: The enlarged view of the density contours of the double Mach reflection problem at \( t = 0.28 \) calculated by the third-order WENO-FV scheme with different characteristic decomposition techniques. The mesh size is 1/480 and the density contours contain 50 equidistant contours from 2 to 22.

4 Conclusions

We propose a rotated characteristic decomposition technique for high-order reconstructions in multi-dimensions. The third-order WENO-FV scheme with the proposed technique for the Euler equations can eliminate spurious effectively with about 40\% less CPU times than the standard characteristic decomposition technique. For the steady shock reflection problem, the rotated characteristic decomposition technique achieves a even better performance than the standard characteristic decomposition technique does. The proposed technique can apply to other hyperbolic systems and other numerical methods relying on reconstructions. It is also easy to extend to three-dimensional and unstructured computations. Moreover, since the rotated characteristic decomposition technique requires only one-time characteristic decomposition regardless the number of normal directions of the mesh, it can save more CPU times for the three-dimensional and unstructured computations than the current Cartesian computations in two dimensions. If we want to further reduce the computational cost, we can employ the current approach in addition to the existing ones that only perform characteristic decomposition near discontinuities [Ren et al. 2003, Puppo 2003, Puppo and Semplice 2011, Li and Qiu 2010, Peng et al. 2019].

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Figure 3: The density contours of the steady shock reflection on a plate at $t = 15$ calculated by the third-order WENO-FV scheme with different characteristic decomposition techniques. The mesh size is 1/50 and the density contours contain 30 equidistant contours from 1.1 to 2.7.
Figure 4: The density profiles of the steady shock reflection on a plate along the line $y = 0.5$ at $t = 15$ calculated by the third-order WENO-FV scheme with different characteristic decomposition techniques.
Figure 5: The maximum residual histories of the steady shock reflection calculated by the third-order WENO-FV scheme with different characteristic decomposition techniques.
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