Building Optimization through a Parametric Design Platform: Using Sensitivity Analysis to Improve a Radial-Based Algorithm Performance

Nayara R. M. Sakiyama 1,2,*, Joyce C. Carlo 3, Leonardo Mazzaferro 4 and Harald Garrecht 1

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Abstract: Performance-based design using computational and parametric optimization is an effective strategy to solve the multiobjective problems typical of building design. In this sense, this study investigates the developing process of parametric modeling and optimization of a naturally ventilated house located in a region with well-defined seasons. Its purpose is to improve its thermal comfort during the cooling period by maximizing Natural Ventilation Effectiveness (NVE) and diminishing annual building energy demand, namely Total Cooling Loads (TCL) and Total Heating Loads (THL). Following a structured workflow, divided into (i) model setting, (ii) Sensitivity Analyses (SA), and (iii) Multiobjective Optimization (MOO), the process is straightforwardly implemented through a 3D parametric modeling platform. After building set up, the input variables number is firstly reduced with SA, and the last step runs with an innovative model-based optimization algorithm (RBFOpt), particularly appropriate for time-intensive performance simulations. The impact of design variables on the three-performance metrics is comprehensively discussed, with a direct relationship between NVE and TCL. MOO results indicate a great potential for natural ventilation and heating energy savings for the residential building set as a reference, showing an improvement between 14–87% and 26–34% for NVE and THL, respectively. The approach meets the current environmental demands related to reducing energy consumption and CO₂ emissions, which include passive design implementations, such as natural or hybrid ventilation. Moreover, the design solutions and building orientation, window-to-wall ratio, and envelope properties could be used as guidance in similar typologies and climates. Finally, the adopted framework configures a practical and replicable approach for studies aiming to develop high-performance buildings through MOO.

Keywords: parametric design; multiobjective optimization; natural ventilation; model-based algorithm; energy demand

1. Introduction

Passive design and building optimization are attractive alternatives considering the contemporary sustainable goals involving reducing energy consumption and CO₂ emissions [1]. The solutions include improving the building envelope, implementing passive strategies, and taking advantage of natural light and ventilation. They aim to produce a high-performance building that fulfills comfort and energy efficiency requirements [2–4]. Moreover, for the climates where natural ventilation is restricted to a period of the year, implementing mixed-mode or hybrid ventilation systems is a more sustainable solution [5]. Additionally, these goals are mostly conflicting, also defined as a multiobjective problem.
Thus, performance-oriented design through computational and parametric optimization is a way to solve them that is becoming increasingly popular [6–8].

Optimization methods applied to building performance simulation, or simple BSO (Building Simulation Optimization), are beneficial since many variables affect building performance, such as form, layout, envelope materials, orientation, and landscape design [9]. These variables are usually defined qualitatively and mainly considered in the conceptual design phase [10]. Consequently, designers lack sufficient information to make effective and appropriate decisions that lead to high-performance buildings, and the subject has been the focus of many investigations [11–13]. Furthermore, although optimizing the energy-efficient building design is encouraging, many applications demand skills that most architects are unfamiliar with, and a smooth connection with standard and parametric modeling programs is still missing [10].

In this sense, using the available tools must happen with parsimony. On the one hand, they are credited to assist the design and decision process, optimizing the final building performance with relatively low cost [14]. On the other hand, the tools also present limitations and may not accurately represent the study’s objective [15]. Therefore, the more structured the processes and the more substantiated the variables considered in the project, the greater the chances of finding optimal solutions.

Building Performance Optimization through Simulation and Parametric Design

BSO has been explored to find optimum alternatives among potential combinations of several parameters that involve passive design or responsive climate strategies. Tian et al. [16] studied the integration of optimization algorithms into simulation-based design processes and summarized the different procedures that can be applied within the technique (Table 1), which involve multiple steps, described in a tutorial form by Konak et al. [17]. A review of computational optimization methods applied to building performance design can be found in [6,18,19], which provide a classification of building optimization problems and algorithms.

| Optimization Procedures                      | Description                                                                 |
|---------------------------------------------|-----------------------------------------------------------------------------|
| Three-phase optimization                    | The optimization process occurs in three phases: preprocessing, running the optimization, and postprocessing. |
| Multitime design optimization               | Building performance simulation with optimization methods is applied at each stage of building design. |
| Sensitivity analyses and optimization       | Sensitivity analyses are used to narrow the variables range, determine the significant ones, and filter those with little impact on the objectives. Optimization is then conducted with a narrow variable range. |

Generally, the most investigated parameters are related to building envelope [20–22] and consider material properties, orientation, Window-to-Wall Ratio (WWR), shape, and internal space. The impact from different Heating, Ventilating, and Air Conditioning (HVAC) systems and controls are also explored, combined with the aforementioned items [23,24]. Some studies focused on improving natural ventilation, assessed different window positions, sizes, and shapes [25–27]; roof types [28]; and also testing ventilation controls to improve Phase Change Materials (PCM’s) efficiency [29–31].

Nevertheless, when addressing the BSO thematic from the architects’ perspective, Shi et al. [10] point out some limitations regarding its design practice application. The authors state that a common approach regarding the techniques or platforms used to perform the optimization combines energy models and an optimization algorithm, mostly implemented through GenOpt [32] and MatLab [33]. A more friendly and attractive option to integrate BSO into the design process would be by using the same program where the project is
developed, such as Building Information Modelling (BIM) [34,35], or parametric modeling platform [36–38]. In that context, Díaz et al. [39] explored some strategies and challenges and concluded that parametrization is crucial to integrating BSO into the construction industry. A review about integrating performance simulation in parametric 3D modeling is presented by Touloupaki and Theodosiou [40], which also proposed a methodology to accomplish design optimization in the project’s early stages. According to the authors, software such as Rhinoceros (Robert McNeel & Associates, Seattle, WA, USA) [41], Dynamo BIM (Autodesk, Inc., Mill Valley, CA, USA) [42], and GenerativeComponents (Bentley Systems, Exton, PA, USA) [43] have increased parametric 3D modeling application into design practice by nonprogrammers.

Predominant is the plugin for the Rhinoceros software, the Grasshopper [44]. Published studies that use the 3D parametric platform include building optimization concerning both its overall passive performance and energy efficiency [45,46], or more specific goals, such as solar radiation [47,48], natural ventilation [49,50], and daylighting [51–54]. Although growth in the application of parametric 3D modeling is noticed, the diffusion of a simplified approach to optimization tools is still timid. Given this gap, by failing to incorporate MOO into the design process, solutions for multiobjective problems may underperform rather than provide the best passive or low-energy alternatives.

In this context, parametric 3D modeling and BSO-based process guided this research development. Both procedures are implemented within the design platform to facilitate optimization practice in the early design stages. The study aims to optimize the passive design of a residential building with hybrid ventilation located in a region with well-defined seasons (see Section 3). The problem can be characterized as multiobjective optimization (MOO) since the objectives include improve thermal comfort during the cooling period and reduce annual building energy demand. The focus is on natural ventilation use expansion and heating and cooling consumption minimization employing a parametric design platform.

Moreover, establishing a general approach that involves performance-based design is a secondary goal. Therefore, the proposed methodology is a practical strategy that supports high-efficiency building development. It contributes to spreading and encouraging the use of optimization tools when dealing with conflict objectives so that the designer can propose quantitatively based solutions. The workflow is universal, replicable, and can be implemented for any building and location. Moreover, this study’s results can be considered evidence that geometric aspects provided a greater solution diversity among the parameters investigated.

Finally, this paper’s contents are as follows: Section 2 provides the optimization framework adopted in the study, organized in three steps. The experimental house used as a reference case and its corresponding numerical model are presented in Section 3, while Section 4 summarizes the selected influential variables based on sensitivity analysis. Problem description, results’ presentation, and discussions are the Section 5 themes. At last, Section 6 closes the paper with the mains conclusions found, remarks, and future works.

2. Optimization Framework

Following the structure presented in [3], Figure 1 shows this study workflow, divided into three significant steps: model setting with objectives definition, sensitivity analysis, and multiobjective optimization (MOO), which are described in detail in the following sections. Differently from other similar procedures carried out through multiple programs, the approach uses a 3D parametric modeling platform. It allows performing passive design optimization with a single canvas, easing its application into practice.
2.1. Step 1: Model Setting for Multiobjective Optimization

First, an experimental house was parametrically modeled as a base case. With this approach, the modifiable variables are linked to specific architectural elements and forms, enabling direct visualization of these changes, significantly aiding complex geometry design [55]. Thus, different design alternatives can be easily tested in the initial phase, making it possible to find the best solution according to the established goals.

In this study, Rhinoceros was selected as a modeling tool and Grasshopper as a command platform. Honeybee (HB), a plug-in running inside Grasshopper, is used as an engine to perform the energy simulations through EnergyPlus (E+) and Open Studio. A detailed description of the model is provided in Section 3.
2.1.1. Optimization Objectives

- **Natural Ventilation Effectiveness (NVE)**

  The number of hours the outdoor airflows can be used to cool space is estimated through the performance metric Natural Ventilation Effectiveness (NVE) [56], expressed in Equation (1). It calculates the weighted averaged of hourly ratios ($\alpha_i$) between the available natural ventilation air changes per hour ($ACH_{\text{avai}}$) to the required ones ($ACH_{\text{req}}$) of zone $i$, where $n$ is the total number of considered building zones, equal to 4.

  \[
  NVE = \frac{\sum_{i=1}^{n} V_i \cdot \alpha_i}{\sum_{i=1}^{n} V_i}
  \]

  \[
  \alpha_i = \begin{cases} 
  1, & \text{if } ACH_{\text{avai}} \geq ACH_{\text{req}} \\
  1, & \text{if } ACH_{\text{req}} = 0 \\
  0, & \text{otherwise}
  \end{cases}
  \]

  ($ACH_{\text{avai}}$ corresponds to the hourly E+ output $AFN$ Zone Infiltration Air Change Rate calculated for the Living room and Bedrooms 1–3, while the $ACH_{\text{req}}$ is calculated through Equations (2) and (3).

  \[
  ACH_{\text{req/min}} = 3600 \times \frac{AF_{\text{req/min}}}{V}
  \]

  \[
  AF_{\text{req}} = \frac{q}{\rho c(T_{\text{conf}} - T_{\text{out}})}
  \]

  where $AF_{\text{req}}$ is the required airflow to offset cooling load, expressed in m$^3$/s, which is converted to $ACH$, considering the volume of the room ($V$); $q$ is the heat rate (kJ/s) calculated by the energy simulation (air-infiltration, solar radiation, heat gains from people, equipment, and light); $\rho$ is the density of air (kg/m$^3$), set as 1.27; and $c$ is the specific heat capacity of the air (KJ/kg °C), equal to 1050. $T_{\text{conf}}$ is used instead of $T_{\text{in}}$ because it is assumed that when natural ventilation cannot provide sufficient comfort, occupants rely on mechanical systems. ASHRAE Standard 55 [57] is used at the $T_{\text{conf}}$ calculations.

  Moreover, the minimum airflow ($AF_{\text{min}}$) in m$^3$/s is calculated by Equation (4) and converted to $ACH$ by Equation (2), following the design ventilation requirements for the breathing zone of occupiable spaces, according to ASHRAE 62.1 [58].

  \[
  AF_{\text{min}} = Q_p P + Q_a A
  \]

  where $Q_p$ is the outdoor airflow rate required per person, set as 2.5 L/s.person; $P$ is the zone population (the most extensive number of people expected to occupy the zone during typical usage); $Q_a$ is the outdoor airflow rate required per unit area, set as 0.3 L/s·m$^2$; and $A$ is the zone floor area. Minimum and required airflow is compared; therefore, if $ACH_{\text{min}}$ is greater, it is set as a reference, rather than $ACH_{\text{req}}$.

  In this study, as NVE is evaluated in the living room and bedrooms, the performance metric was measured through the weighted average among the four considered spaces.

- **Total Cooling Loads (TCL) and Total Heating Loads (THL)**

  The building energy demand was separately calculated as the sum of the cooling and heating loads (kWh) of the considered rooms (Living room, Bedrooms 1–3), expressed respectively by Equations (5) and (6), as:

  \[
  TCL = \sum_{i=1}^{n} E_{ci}
  \]

  \[
  THL = \sum_{i=1}^{n} E_{hi}
  \]

  where $E_{ci}$ and $E_{hi}$ are E+ outputs from the energy consumption due by the cooling coil and the heat pump for zone $i$ cooling and heating, respectively, and $n$ is the total number of considered zones, equal to 4.

  The cooling and heating loads were considered separately since they can be supplied by different energy matrices and present heterogeneous consumption. Therefore, evalu-
ating them together would lead to a limited understanding of their respective demands and solutions.

2.1.2. Input Variables

As this study aims to find optimal passive design solutions for a residential building in a temperate climate in terms of NVE, TCL, and THL, the considered input variables for the reference building involve six variables categories: building orientation, Window-to-Wall Ratio (WWR), opaque and translucent envelope material properties, external window shading, natural ventilation, and HVAC system setpoints and AFN parameters (discharge coefficient and the fraction of glazed area operable). The finally predefined 32 input variables are presented in Table 2 with their respective description, lower and upper limits.

| Table 2. Input values and their ranges for sensitivity analysis. |
|---------------------------------------------------------------|
| **Category** | **Variable Description** | **Variable Name** | **Probability Density Function** | **Base-Case Value** | **Lower Limit** | **Upper Limit** |
| Building orientation | Building long axis azimuth (o) | x01_orient | Discrete | 345 | 0 | 315 |
| Building orientation | North WWR (%) | x02_glzRn | Continuous uniform | 3.5 | 3.5 | 75 |
| WWR | East WWR (%) | x03_glzRe | Continuous uniform | 13 | 3.5 | 75 |
| WWR | South WWR (%) | x04_glzRs | Continuous uniform | 34 | 3.5 | 75 |
| WWR | West WWR (%) | x05_glzRw | Continuous uniform | 10 | 3.5 | 75 |
| Material properties | Window U-value (W/m²K) | x06_windowU | Continuous uniform | 1.3 | 1.05 | 5.7 |
| Material properties | Window Solar Heat Gain Coefficient | x07_shgc | Continuous uniform | 0.3 | 0.21 | 0.81 |
| Material properties | Aerogel thickness (m) | x08_aeroT | Continuous uniform | 0.04 | 0.04 | 0.12 |
| Material properties | Roof thermal conductivity (W/mK) | x09_roofC | Continuous uniform | 0.055 | 0.03 | 0.15 |
| Window Shade | Opening multiplier factor | x10_shdOp | Continuous uniform | 0 | 0 | 1 |
| Window Shade | Fraction of the shade surface open to airflow | x11_shdAir | Continuous uniform | 0 | 0 | 0.8 |
| Window Shade | Shading control setpoint—Solar radiation on the window (W/m²) | x12_shdSp | Continuous uniform | 0 | 400 | 600 |
| SetPoint | Minimum indoor air temperature—AFN ventilation control strategy (°C) | x13_minAirT | Continuous uniform | 20 | 20 | 22 |
| SetPoint | Maximum indoor air temperature—AFN ventilation control strategy (°C) | x14_maxAirT | Continuous uniform | 27 | 25 | 28 |
| SetPoint | Heating setpoint (°C) | x15_setPoint | Continuous uniform | 19 | 18 | 19 |
| SetPoint | Cooling system operation (on-off) | x16_onOff | Discrete | False | True | False |
| AFN Parameter | Discharge coefficient | x17_disCoef | Continuous uniform | 0.6 | 0.33 | 0.84 |
| Ventilation area x window ratio | LWindE Window VWR | x18_LWIndE | Continuous uniform | 0.75 | 0.5 | 1 |
| Fraction of operable glazed area | LWindS1 Window VWR | x19_LWindS1 | Continuous uniform | 0.75 | 0.5 | 1 |
| | LPorteS2 Window VWR | x20_LPorteS2 | Continuous uniform | 0.75 | 0.5 | 1 |
| | LPorteW Window VWR | x21_LPorteW | Continuous uniform | 0.75 | 0.5 | 1 |
| | LDoorH Window VWR | x22_LDoorH | Continuous uniform | 0.75 | 0.5 | 1 |
| | LDoorC Window VWR | x23_LDoorC | Continuous uniform | 0.75 | 0.5 | 1 |
| | R1WIndN Window VWR | x24_R1WIndN | Continuous uniform | 0.75 | 0.5 | 1 |
| | R1WIndW Window VWR | x25_R1WIndW | Continuous uniform | 0.75 | 0.5 | 1 |
| | H2W1door Window VWR | x26_H2W1door | Continuous uniform | 0.75 | 0.5 | 1 |
| | R2WIndW Window VWR | x27_R2WIndW | Continuous uniform | 0.75 | 0.5 | 1 |
| | R2WIndS Window VWR | x28_R2WIndS | Continuous uniform | 0.75 | 0.5 | 1 |
| | R2Ndoor Window VWR | x29_R2Ndoor | Continuous uniform | 0.75 | 0.5 | 1 |
| | R3WIndE Window VWR | x30_R3WIndE | Continuous uniform | 0.75 | 0.5 | 1 |
| | R3WIndW Window VWR | x31_R3WIndW | Continuous uniform | 0.75 | 0.5 | 1 |
| | R3Sdoor Window VWR | x32_R3Sdoor | Continuous uniform | 0.75 | 0.5 | 1 |

Note: 1 The smaller of the angles, measured clockwise, between the true north and the long building axis. 2 Measured discharge coefficient values from different window types (Table 3). 3 VWR.

The probability density functions of input variables can affect sensitivity analyses and optimization results. Therefore, although the parameters are intrinsically discrete, they were considered to be continuously uniform, with exception to the building orientation, which is restricted to eight discrete values (from 0–315°, every 45°) and the cooling...
system operation, defined as true (1) or false (0)—on/off. The range limits of Window U-value and window Solar Heat Gain Coefficient (SHGC) were determined according to the values from the reference building and the available products in the building material market. Regarding the external walls’ thermal performance, the approach adopted was limited to the exterior render thickness, instead of considering a broad thermal conductivity range, as performed with the roof. In this sense, wall composition remains the same, and opaque envelope optimization involves finding the ideal aerogel-based exterior render thickness [59].

Mass flow through openings is directly affected by the wind pressure coefficient ($C_p$), discharge coefficient ($C_d$), and window-opening factor. Each operable opening area was considered individually at this stage to provide a more practical and detailed passive natural ventilation design solution, totaling 14 apertures or subvariables. Similarly, although the literature suggests that $C_d$ values range between 0.60–0.65 for sharp-edged openings [60–62], the value can vary depending on the opening porosity, shape, location in the façade, wind angle, and Reynolds number [63]. Therefore, a broader range was considered in the investigation, based on wind-tunnel and on-site assessments. Table 3 summarizes the measured discharge coefficients, literature sources, and respective window types. The $C_p$ values are automatically calculated by E+ using the surface average calculation method [64] implemented through the E+ AFN model.

Table 3. Discharge coefficients/window types for sensitivity analyses.

| Window Type          | Measured $C_d$ | Source |
|----------------------|----------------|--------|
| Side-hung casement   | 0.47–0.81      | [65]   |
| Bottom-hung casement |                |        |
| Tilted 45°           | 0.45           |        |
| Tilted 90°           | 0.71           |        |
| Holes (open)         | 0.64           |        |
| Holes (closed)       | 0.06           | [66]   |
| Flaps (open)         | 0.61           |        |
| Flaps (closed)       | 0.24           |        |
| Roof                 | 0.25           |        |

2.2. Step 2: Sensitivity Analyses—Reducing Input Variables Number

Before the optimization, Sensitivity Analyses (SA) were performed to identify the influential passive design variables in terms of the three objective functions previously described in Section 2.1. Implementing SA at the early stage of this study reduced the number of variables for the optimization procedure, improving the algorithm performance and making the process more effective. Tian et al. [67] reviewed sensitivity analysis methods in
building energy investigations, which can be local and global. The authors commented which options might be adequate concerning the research purpose, computational cost, number of input variables, and familiarity with the methods. While local SA evaluates the output variability changing one factor at a time, global SA ponders several factors simultaneously, allowing for the interaction between elements, the effect of factor range, and the shape of the factor probability density function to be considered.

To analyze the relationship between the design (or input) parameters on the optimization objective (or output), the “sdo.analyze” function from Simulink® Design Optimization™ was implemented in a MatLAB script using the Partial Ranked Correlation (PRC). The global method was chosen because it analyzes how a model parameter and the outputs are correlated, adjusting to remove the other parameters’ effect. First, a sample of 607 cases with raw values (0–1) was generated using the Sobol sampling method. The sample size was defined following the recommendation in [68], which states that the minimum sample size should be 15–19 times the variables number considered in the analysis. Sobol quasirandom sequences are drawn from the probability distributions specified for the parameters, using Equation (7):

\[
\text{Var}_v = L_{\text{low}} + (L_{\text{up}} - L_{\text{low}}) \times x
\]

where \( \text{Var}_v \) is the variable value; \( x \) is the raw value, varying from 0 to 1; \( L_{\text{low}} \) is lower limit; and \( L_{\text{up}} \) is the upper limit of the variable range. Sampling-based on Sobol’ sequences provides a highly systematic space-filling with low discrepancy, showing a better performance than other sample techniques [69].

The 607 cases were run using Colibri components, a tool that performs parametric simulations distributed with TT Toolbox [70]. A slider controls the case changes, and the resulting impact of each run is recorded into a .csv file. Regarding the simulation time, the 607 runs took around 21 h (≈2 min for simulation) using an Intel i7-7500U Core laptop, up to 3.2 GHz.

2.3. Step 3: Multiobjective Optimization (MOO) with Model-Based Algorithm

Instead of using an evolutionary MOO algorithm, such as HypE [71], SPEA [72], or NSGA-II [73], commonly employed in similar investigations [11,17], the last step uses a Radial Basis Function Multiobjective Optimization (RBFMOpt). This machine-learning-related MOO algorithm won the “Two Objectives Expensive” track of the Black Box Optimization Competition (BBOCOMP) 2019 [74]. Moreover, a benchmark study presented in [75,76] showed its good performance compared to other algorithms, finding reasonable solutions with fewer simulations. It has proven efficient and robust, while genetic algorithms perform poorly [77], and therefore was chosen to run this study optimization phase.

In single-objective optimization, the goal is to find a single point, e.g., a design solution, that best answers a sole performance metric or a project requirement. On the other hand, MOO aims to find the best solution points according to multiple conflicting objectives. These points or optimal solutions are non-dominated, meaning that one point’s objectives cannot be improved without harming the others. When connected, the points form a curve, which illustrates the optimization results, known as the Pareto surface or front. According to Ciftcioglu and Bittermann (2009) the Pareto ranking is this solution surface in a multidimensional solution space formed by several criteria, representing the objectives. Although the solutions are diverse on this surface, they are assumed to be valid in an identical way. Thus, the Pareto front graph supports designers’ decision-making since it allows visualization of the trade-off among objectives [78]. In that light, the RBFMOpt’s goal, also known as a model-based method, is to find the nondominated points in the Pareto front. The algorithm builds and iteratively refines surrogate models of the unknown objective function that predict simulation results [79] and approximates the set of points in the solution surface by iterating over a series of weights based on a Halton sequence [80]. Wortmann and Natanian [79] explain that RBFMOpt exploits this property by resuming RBFOpt and feeding it at each iteration with all known points, reweighted
according to the up-to-date set of weights. Hence, with every iteration, the initial RBFOpt surrogate model becomes increasingly accurate, leading to more effective optimization cycles. With this approach, model-based optimization is considered an attractive alternative for performance-oriented design [81] because it is seen as particularly effective for optimizing problems with complex associations between variables and objectives and time-expensive simulations [82]. Aimed for BSO Practitioners, the RBFOpt Algorithm Is Available in Grasshopper via the Plug-in Opossum [83].

3. Base-Case Building Model

The base-case building (Figure 2) comprises one of the experimental houses from the INCAS platform facility [84,85], developed by the French National Institute for Solar Energy—INES facility located in Bourget-du-Lac, France (45°38′38.5″ N, 5°52′27.4″ E, altitude 270 m). The region is characterized by warm summers (mean and max. temp of 20 and 34 °C), cold and wet winters (mean and min. temp. of 5 and −4 °C), and partly cloudy year-round (Köppen: temperate climate, warm summer—Cfb [86]). The reference building, also known as I-MA house, is a low-energy two-story rectangular construction (7.5 × 8.5 m), with an opaque envelope made of cavity bricks (42 cm thick) covered with an aerogel-based exterior insulating rendering. The building is taken as a base case in this study because one of its purposes is to assist numerical validations, being equipped with numerous sensors and featuring a simple design, which favors simulation process and verification. Moreover, it is a passive building [87], whose design represents a single-family residence typically found in the region. Passive Houses have been expanding in the market, as they present themselves as a solution to the challenges related to energy efficiency [88–90]. In this sense, the base building is also representative and characterizes a movement, at least in Europe, to build under strict energy standards.

![Figure 2. I-MA house: base-case building.](image)

Based on a one-week monitoring campaign (19–25 August 2014), an E+ numerical model was created, calibrated, and used to perform annual energy and natural ventilation assessments in [91,92], which also provide a detailed description of the measurement equipment, experimental protocol, construction, and the climatic data recorded on-site and used at the simulation. Moreover, the complete settings of the E+ model, including the occupancy schedule and the .idf file, are available in the Data in Brief article [93].

Model creation within the optimization framework begins by importing the .idf file available in [93] using the Honeybee component HB_Import idf. The base-case building geometry is translated into the 3D modeling parametric platform (Rhino + Grasshopper) through HB-Energy components. Figure 3 shows the nine thermal zones modeled with the HB Model: three on the ground (Living room, Cellar, Hall 1), four on the first floor (Bedrooms 1–3, Hall 2), and an attic and a staircase zone. Natural ventilation can be used as a cooling strategy in all zones; therefore, the openings, either windows or external/internal
doors, were modeled as *AirflowNetwork* (AFN) objects. However, just the Living room and the Bedrooms have HVAC system, modeled with the *HB Apply Setpoint Values* component that uses the E+ *Ideal Loads Air System*.

![Thermal zones of the building simulation model.](image)

Figure 3. Thermal zones of the building simulation model.

The external openings, either windows or doors, were assumed to have parametrically adjustable dimensions. Their size varies in a window-to-wall-ratio function using the *HB Apertures by Ratio* component, which depends on façade orientation (*HB Façade Parameters*). Moreover, external shading devices were modeled with the *HB Window Construction Shade* component, controlled based on the solar radiation incidence on the window, with a parametric setpoint varying from 400–600 W/m².

Openings operation regarding natural ventilation and building’s heating/cooling system was established, configuring a hybrid behavior. Ventilation control depends on occupancy and was based on a temperature setpoint, which was parametrized and varied from 20–22 °C. The living room apertures can be operated only when the room is occupied, whereas the bedrooms’ windows are operable whenever someone is in the house. Regarding the heating system control, its activation happens if the occupied room’s operative temperature is below 18–19 °C (parameterized value) so that the indoor temperature is maintained at 21 °C. Once in operation, the heater is only turned off when the occupancy in the room is null, and whenever the windows are open, mechanical ventilation cannot happen, as well as the contrary.

Moreover, an extra operational condition was considered to turn on the cooling system in the conditioned spaces when natural ventilation is insufficient to maintain the indoor temperature within the established parameterized limited (25–28 °C). This hybrid behavior was modeled through the *Energy Management System* (EMS) object [94] by adding additional strings to the *HB Model to OpenStudio Model* component. The controls developed in the EMS are presented in [93].

4. Selection of the Influential Variables and Sensitivity Analyses Results

The relation between the design parameters on the outputs was analyzed through the Partial Ranked Correlation so that the most influential variable could be determined. With this approach, the performance of the radial-based algorithm can be improved, and the search for optimal solutions is therefore enhanced.

Figure 4 shows the calculated PRC of the 32 variables for the three objectives (NVE, TCL, and THL), sorting from the largest to the smallest absolute value. The higher the PRC absolute value, the more influential variable. The PRC positive/negative value indicates the proportional/inverse relationship between an input parameter and the outputs.
Figure 4. Cont.
Figure 4. Sensitivity rankings of PRC for NVE (a), THL (b), and TCL (c) of the reference building.

The figures show that the order and magnitude of the input variables slightly diverge between the three objectives. Based on the PRC analysis, half of the top 10 influential variables for the three objectives overlapped. Table 4 shows the sensitivity analysis results straightforwardly, illustrating the relationships between each input variable and the three goals (i.e., positive or negative) and each input variable’s sensitivity ranking.

Based on these results and aiming to reduce the input variables number, Figure 5 shows the PRC’s sum for the three objectives, sorting the numbers according to their absolute value and finding a new arrangement index.

Figure 5. Sensitivity rankings of the PRC sum for NVE, TCL, and THL of the reference building.
Table 4. Results of sensitivity analysis and locally-improvements for the remaining less influential variables.

| Variable Description                                      | Variable Name | PCR for NVE (Ranking) | PCR for TCL (Ranking) | PCR for THL (Ranking) | Locally-Improved Solution |
|-----------------------------------------------------------|---------------|-----------------------|-----------------------|-----------------------|---------------------------|
| Building long axis azimuth (°)                            | x01_orient    | Negative (10)         | Positive (13)         | Positive (04)         |                           |
| North WWR (%)                                             | x02_glzRn     | Positive (11)         | Positive (09)         | Positive (09)         |                           |
| East WWR (%)                                              | x03_glzRe     | Positive (05)         | Positive (04)         | Positive (20)         |                           |
| South WWR (%)                                             | x04_glzRs     | Positive (09)         | Positive (03)         | Negative (06)         |                           |
| West WWR (%)                                              | x05_glzRw     | Positive (08)         | Positive (11)         | Negative (18)         |                           |
| Window U-value (W/m²K)                                    | x06_windowU   | Negative (02)         | Positive (32)         | Positive (02)         |                           |
| Window Solar Heat Gain Coefficient                        | x07_shgc      | Positive (04)         | Positive (10)         | Negative (05)         |                           |
| Roof thermal conductivity (W/mK)                          | x08_aeroT     | Positive (12)         | Negative (14)         | Negative (03)         |                           |
| Opening multiplier factor                                 | x09_roofC     | Negative (14)         | Negative (28)         | Positive (28)         | HB E+ default             |
| Fraction of the shade surface open to airflow             | x10_shdOp     | Positive (13)         | Positive (23)         | Negative (07)         |                           |
| Shading control setpoint—Solar radiation on the window (W/m²) | x11_shdAir   | Positive (31)         | Negative (30)         | Negative (12)         | minimum                   |
| Minimum indoor air temperature—AFN ventilation control strategy (°C) | x12_shdSp   | Positive (07)         | Positive (05)         | Negative (11)         |                           |
| Maximum indoor air temperature—AFN ventilation control strategy (°C) | x13_minAirT | Negative (01)         | Positive (07)         | Positive (32)         |                           |
| Heating setpoint (°C)                                     | x14_maxAirT   | Positive (06)         | Negative (02)         | Negative (21)         |                           |
| Cooling system operation (on-off)                         | x15_setPoint  | Positive (15)         | Negative (25)         | Positive (01)         |                           |
| Discharge coefficient                                     | x16_onOff     | Negative (3)          | Positive (01)         | Negative (30)         |                           |
| LWindE Window VWR                                         | x17_disCoef   | Positive (28)         | Positive (19)         | Negative (31)         | minimum                   |
| LwindS1 Window VWR                                        | x18_LWindE    | Positive (30)         | Positive (18)         | Negative (22)         | base-case                 |
| LPorteS2 Window VWR                                       | x19_LwindS1   | Positive (20)         | Negative (31)         | Negative (15)         | base-case                 |
| LPorteW Window VWR                                        | x20_LPorteS2  | Positive (17)         | Negative (22)         | Negative (19)         | base-case                 |
| LPorteS Window VWR                                        | x21_LPorteW   | Positive (19)         | Negative (15)         | Negative (14)         | base-case                 |
| LDoorH Window VWR                                         | x22_LDoorH    | Positive (24)         | Positive (24)         | Positive (16)         | base-case                 |
| LDoorC Window VWR                                         | x23_LDoorC    | Positive (27)         | Negative (06)         | Positive (08)         | base-case                 |
| R1WindN Window VWR                                        | x24_R1WindN   | Positive (22)         | Negative (17)         | Positive (23)         | base-case                 |
| R1WindW Window VWR                                        | x25_R1WindW   | Positive (16)         | Negative (27)         | Positive (13)         | base-case                 |
| H2W1door Window VWR                                       | x26_H2W1door  | Positive (21)         | Positive (20)         | Negative (17)         | base-case                 |
| R2WindW Window VWR                                        | x27_R2WindW   | Negative (32)         | Negative (12)         | Negative (29)         | base-case                 |
| R2WindS Window VWR                                        | x28_R2WindS   | Positive (26)         | Positive (26)         | Positive (27)         | base-case                 |
| R2Ndoor Window VWR                                        | x29_R2Ndoor   | Positive (23)         | Negative (21)         | Positive (25)         | base-case                 |
| R3WindE Window VWR                                        | x30_R3WindE   | Positive (25)         | Positive (29)         | Negative (24)         | base-case                 |
| R3SWindow Window VWR                                       | x31_R3SWind   | Positive (18)         | Negative (08)         | Negative (10)         | base-case                 |
| R3Sdoor Window VWR                                        | x32_R3Sdoor   | Negative (29)         | Negative (16)         | Positive (26)         | base-case                 |

Note: The bold items are less influential variables and are supposed to be locally improved.

Lastly, the influential variables regarding all objectives were screened out to be analyzed in the next optimization step, keeping the balance between the three considered goals. The finally selected parameters are listed in Table 5, and the locally improved values are established for the less influential parameters. All 12 remained variables presented a PRC absolute result bigger than 0.1. The number of parameters could be drastically reduced because many had a low impact on objective functions, such as all those related to Ventilation area x Window Ratio (VWR). Adopting a unique value for such parameters makes the optimization problem more effective.
Table 5. Input variables and their ranges for multiobjective optimization.

| Variable Description | Variable Name | Probability Density Function | Base-Case Value | Locally Improved Values | Lower Limit | Upper Limit |
|----------------------|---------------|-------------------------------|-----------------|-------------------------|-------------|-------------|
| Building long axis azimuth (o) | x01_orient     | Discrete                      | 345             | 0                       | 315         | 315         |
| North WWR (%)        | x02_glzRn     | Continuous uniform            | 3.5             | 3.5                     | 75          | 75          |
| East WWR (%)         | x03_glzRe     | Continuous uniform            | 13              | 3.5                     | 75          | 75          |
| South WWR (%)        | x04_glzRs     | Continuous uniform            | 34              | 3.5                     | 75          | 75          |
| West WWR (%)         | x05_glzRw     | Continuous uniform            | 10              | 3.5                     | 75          | 75          |
| Window U-value (W/m²K) | x06_windowU   | Continuous uniform            | 1.3             | 1.05                    | 5.7         | 5.7         |
| Window Solar Heat Gain Coefficient | x07_shgc     | Continuous uniform            | 0.3             | 0.21                    | 0.81        | 0.81        |
| Aerogel thickness (m) | x08_aeroT     | Continuous uniform            | 0.04            | 0.04                    | 0.12        | 0.12        |
| Roof thermal conductivity (W/mK) | x09_roofC    | Continuous uniform            | 0.055           | 0.055                   |             |             |
| Opening multiplier factor | x10_shdOp    | Continuous uniform            | 0               | 0                       |             |             |
| Fraction of the shade surface open to airflow (permeability) | x11_shdAir    | Continuous uniform            | 0               | 0                       |             |             |
| Shading control setpoint—Solar radiation on the window (W/m²) | x12_shdSp    | Continuous uniform            | 0               | 400                     | 600         | 600         |
| Minimum indoor air temperature—AFN ventilation control strategy (°C) | x13_minAirT  | Continuous uniform            | 20              | 20                      | 22          | 22          |
| Maximum indoor air temperature—AFN ventilation control strategy (°C) | x14_maxAirT  | Continuous uniform            | 27              | 25                      | 28          | 28          |
| Heating setpoint (°C) | x15_setPoint  | Continuous uniform            | 19              | 18                      | 19          | 19          |
| Cooling system operation (on-off) | x16_onOff    | Discrete                      | False           | True                    |             |             |
| Discharge coefficient | x17_disCoef   | Continuous uniform            | 0.5             | 0.6                     |             |             |
| LWIndE Window VWR     | x18_LWIndE    | Continuous uniform            | 0.75            | 0.75                    |             |             |
| LWIndS1 Window VWR    | x19_LWIndS1   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| LPorteS2 Window VWR   | x20_LPorteS2  | Continuous uniform            | 0.75            | 0.75                    |             |             |
| LPorteW Window VWR    | x21_LPorteW   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| LDoorH Window VWR     | x22_LDoorH    | Continuous uniform            | 0.75            | 0.75                    |             |             |
| LDoorC Window VWR     | x23_LDoorC    | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R1WindN Window VWR    | x24_R1WindN   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R1WindW Window VWR    | x25_R1WindW   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| H2W1door Window VWR   | x26_H2W1door  | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R2WindW Window VWR    | x27_R2WindW   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R2WindS Window VWR    | x28_R2WindS   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R2Ndoor Window VWR    | x29_R2Ndoor   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R3WindE Window VWR    | x30_R3WindE   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R3WindW Window VWR    | x31_R3WindW   | Continuous uniform            | 0.75            | 0.75                    |             |             |
| R3Sdoor Window VWR    | x32_R3Sdoor   | Continuous uniform            | 0.75            | 0.75                    |             |             |

5. MOO through RBFOpt

In this step, the goal was to find the optimal values of the 12 selected influential passive design parameters for maximizing cooling ventilation (NVE) while minimizing the building energy demand (THL and TCL).

Although more than forty parameters control the RBFOpt, the Opossum’s graphical user interface reduces its complexity into three tabs that afford increasing the control levels and offers presets based on intensive testing with mathematical test functions [76]. Table 6 shows the adopted settings within Opossum in the optimization runs (Run 1, Run 2, and Run 3). Most of them were left as default, following the predetermined plug-in configurations.

Each variable is modeled in the Grasshopper canvas with a number slider, floating the value according to the predetermined lower and upper limits. Opossum saves each interaction run in a single log file, and after performing all function evaluations, it summarizes the results in a table, which makes it easy to revisit all optimization outcomes by double-clicking the entries in it. Among the results is the hypervolume from each simulation, which measures the objective space’s volume dominated by the set of points, e.g., design solutions [75]. Within MOO, the hypervolume must be maximized as all objectives are to be minimized. The goal is to dominate as much of the objective space as possible since, mathematically, if one set is better than the other, it spans a larger hypervolume [95].
Table 6. Adopted settings with the Opossum optimization process.

| Configuration                      | Description                                                                 | Input Data |
|------------------------------------|-----------------------------------------------------------------------------|------------|
| Optimization type                  | One is limited to either minimize or maximize the objective functions.      | Minimize   |
| Algorithm                          | If controversial, the one to be adjusted is multiplied by $-1$.               | RBFOpt     |
| Max iterations                     | Algorithm chosen from those available in the plug-in                        | 500        |
| Number of optimizations runs       | Stop the simulation if the iterations exceed this number                     | 3          |
| Number of cycles                   | Number of times the simulations will be repeated                            | 6          |
|                                    | Determines how long the algorithm spends optimizing each set of weights      |            |

The former procedures resulted in Figure 6, which shows a 3D representation of the three optimization objectives results. Each run generated respectively 268, 147, and 168 cases, totaling 588 function evaluations or model regenerations. It took about 20 h to run them all, with the same laptop configurations mentioned in item 0. The Pareto front is depicted in Figure 7, where the best solutions found in each run can be observed at the curve formed at the lower-left corner.

Figure 6. 3D representation of the multiobjective optimization results for the I-MA house.

Figure 7. Pareto solutions on a 2D representation.
The hypervolume calculated in each of the three runs is the metric used to find the optimized solution. In this regard, Figure 8 groups the three runs according to the hypervolume generated, which shows stability (convergence) of the results between 100 and 150 interactions. The numbers in the graph correspond to the NVE, TCL, and THL results of the three runs’ optimal solutions, which are also summarized in Table 7.

**Figure 8.** Hypervolume calculated by the RBFOpt algorithm vs. function evaluations and optimal solutions for each run.

Moreover, Figure 9 allows a comparison of these results variability over the runs, presenting their normalized values, while Figure 10 illustrates normalized values, but of the parameters of RBFOpt solutions according to the ranges.

**Figure 9.** Comparison of the variability of the results in the three runs.
Table 7. Range of optimization variables in base-case and RBFOpt solutions and their respective objective functions results.

| Selected Influential Variables | Variable Name | Base-Case | Ranges       | Run 1 | Run 2 | Run 3 |
|-------------------------------|----------------|-----------|--------------|------|------|------|
| Building long axis azimuth (°) | x01_orient     | 345       | (0.315)      | 315  | 90   | 90   |
| North WWR (%)                 | x02_glzRn      | 3.5       | (5.75)       | 17   | 57   | 15   |
| East WWR (%)                  | x03_glzRe      | 13        | (5.75)       | 5    | 62   | 64   |
| South WWR (%)                 | x04_glzRs      | 34        | (5.75)       | 7    | 7    | 34   |
| West WWR (%)                  | x05_glzRw      | 10        | (5.75)       | 5    | 12   | 42   |
| Window U-value (W/m²·K)       | x06_windowU    | 1.3       | (1.05, 5.7)  | 1.09 | 1.08 | 1.06 |
| Window Solar Heat Gain Coefficient | x07_shgc     | 0.3       | (0.21, 0.81) | 0.39 | 0.30 | 0.70 |
| Aerogel thickness (m)         | x08_aeroT      | 0.04      | (0.04, 0.12) | 0.12 | 0.10 | 0.11 |
| Wall U-value (W/m²·K)         |                | 0.71      | (0.71, 0.26) | 0.26 | 0.31 | 0.28 |
| Shading control setpoint—Solar radiation on the window (W/m²) | x12_shdSp | 0       | (400, 600)  | 590 | 490 | 520 |
| Minimum indoor air temperature—AFN ventilation control strategy (°C) | x13_minAirT | 20       | (20, 22)    | 20.3 | 20  | 20  |
| Maximum indoor air temperature—AFN ventilation control strategy (°C) | x14_maxAirT | 27       | (25, 28)    | 28  | 28  | 28  |
| Heating setpoint (°C)         | x15_setPoint   | 19        | (18, 19)    | 18   | 18   | 18   |

NVE  | 585 | 665 | 933 | 1096 |
THL  | 11.238 | 7.924 | 8.359 | 7.455 |
TCL  | 10  | 4   | 26  | 78   |

Figure 10. Comparison between solution variables.

Additionally, Figure 11 shows the respective optimized geometry generated for the three runs’ selected solutions (Figure 11b–d) and the base case (Figure 11a). The images are automatically generated with the Honeybee component HB Visualize by Type and show the changes related to building orientation and openings size. Thus, the significant WWR variations that were firstly numerically attested are also visualized.
6. Discussion

A fast convergence can be noted regarding the number of runs presented in Figure 8 (less than 150 interactions). Finding optimal solutions with few simulations is one of the 
RBFMOpt algorithm advantages pointed in benchmark studies [75,76] when compared 
to other algorithms. Observing the object function results individually in Figure 8, the total 
heat loads (THL) present a slight variation in all runs, ranging from 7455 to 8359 kWh. 
Regarding the objectives related to natural ventilation, NVE, and total cooling loads (TCL), 
the values show more significant variability, ranging from 665 to 1096 h/year for NVE and 
from 4 to 78 kWh for TCL. Simultaneously, there is a direct relationship between these two 
results, where the greater the number of NVE hours, the greater the energy consumption 
due to cooling (TCL). By contrast, such association is not observed among the heating loads 
and other objectives.

When analyzing each of the variable outcomes for the optimal alternatives, the building 
long axis azimuth (\( \theta_0 \)) presents for runs 2 and 3 \( \theta = 90^\circ \), while Run 1 has \( \theta = 315^\circ \), 
representing a minor difference regarding the base case. On the other hand, in all solutions, 
the long axis changes so that the façades are more exposed. The base case has a north–south 
orientation, where the south façade is the one with the most significant solar incidence 
and the one with the most extensive opening area. When observing the three optimized 
solutions, solar distribution is more balanced among the façades, which also have different 
WWR. Thus, it is evident that with a heterogeneous distribution of the glazed areas, the 

Figure 11. E+ geometry models of the (a) base case and (b–d) optimized solutions (b) Run 1, 
(c) Run 2, and (d) Run 3.

In sum, when comparing all runs to the base case, an improvement between 14–87% 
and 26–34% occurs in NVE and THL, respectively. As for TCL, a reduction of 60% concerning 
the reference is restricted to Run 1, with an increase of 160% for Run 2 and 680% in 
Run 3. Nevertheless, cooling consumption presents nearly negligible values. Thus, turning 
on the air conditioning system can be disregarded, meaning that the I-MA building can 
rely on cooling natural ventilation during the warm seasons.

Figure 11. E+ geometry models of the (a) base case and (b–d) optimized solutions (b) Run 1, 
(c) Run 2, and (d) Run 3.
proposed implantation in the optimized solutions enhances the solar gains through the different building translucent facades.

On the other hand, compared to the base-case values (Figure 9), the optimized solutions show a clear improvement in NVE and THL of all runs and for TCL in Run 1. The NVE-bars show an increasing behavior for all runs, but the THL-bars show a decreasing one. As for the TCL, on the other hand, the bars only diminish in run 1.

Besides showing the objective results for each run, Table 6 also outlines the scope of the optimization variables from both base case and optimal solutions. Figure 10 presents the solution variables, except for the building orientation, within a box plot graph with normalized values centered on median 0, allowing for a direct results’ correlation.

Some parameters show a low variation, while others present a high range. Wortmann [76] comments in this regard that repeated runs of stochastic algorithms for identical settings and problems can have very different results. In this sense, parameters related to the temperature and shading setpoints, aerogel thickness, and window U-Value present more cohesive results, while geometric variables are more dispersed. Therefore, it can be seen that concerning the physical properties, the values remain within a narrow range. On the other hand, the variables related to the building shape and form welcomed more alternatives, presenting a wider variance. As a result, during the early design stages, the variables associated with the physical properties could be restricted to a known range, focusing the search for optimized solutions on the geometric aspects.

With considerable discrepancies, the four WWRs (x02, x03, x04, and x05) vary significantly in all runs, where Run 1 presents the closest values to the base case, which is reflected in the objective function’s outputs. Similarly, bigger WWRs lead to a higher NVE and TCL, which is the Run 3 case. On the other hand, the same Run also presents the lowest heating consumption (THL). That is because the Window U-values (x06) remained within small values, allowing solar entry with low thermal losses in periods without radiation. In high Window U-values, oversized windows would negatively impact heating loads since the opaque/isolated surfaces would decrease, and the losses due to a low-performance glass could surpass solar gains.

Moreover, concerning the insulating thickness (x08), its value in Run 3 was not the largest one found, at 11 cm, while Run 1 remains with the maximum range, 12 cm, and still had a greater THL. In this sense, the aerogel layer’s optimized values were left between 10 and 12 cm, more than double compared to the base case. When converting the corresponding thickness values (cm) to Wall U-values (W/m²K), the wall thermal transmittance varies from 0.71–0.26 W/m²K, for an aerogel thickness of 4–12 cm, respectively. The Wall U-Value found in Run 2 and 3 correspond to 0.31 W/m²K and 0.28 W/m²K. Therefore, when relating the Walls and Windows U-values for the three solutions, an inversely proportional relationship is perceived in Run 1, where the transparent surface presents the worst performance and the opaque surface the best. However, this is not the case for Run 2 or 3, although both values are close. Nonetheless, a relationship between the different envelope systems (opaque vs. translucid) is observed, which seeks to compensate each other to achieve the desired building performance.

No clear relationship was observed between the SHGC (x07) and Window U-value (x06) variables. The Window U-value shows a slight variance while SHGC presents a broader range. Run 3 has both the best Window U-value and the worst SHGC, which might indicate compensation, but it is not the case with the other two solutions.

Furthermore, Table 6 results show that the setpoint temperatures are at their limit and that the minimum (x13) and maximal (x14) optimized air temperatures for natural ventilation represent their respective lowest and highest possible values. Likewise, the heating setpoint (x15) stays within its lower limit. This low variance and even constancy are also observed in Figure 10, showing that the temperature range for the window’s operation resulted in a good NV performance (20–28 °C). On the other hand, setting a higher upper limit, bis 31 °C for instance, might also be acceptable and could be considered, as exposed in studies in hot and humid climates [96–98].
Concerning the shading control setpoint (x12), a stochastic relationship is also found when analyzing the optimization variables and the objectives outputs. Nonetheless, the variables interval among the solutions remains within a smaller dimension (490–590 W/m²) than the initial range (400–600 W/m²). Thus, the search space is limited, easing local parameter improvement.

As a final comment, the optimized configurations of Figure 11 could be replicable in similar buildings under the same climatic conditions or used as a starting point when performing analogous studies. In this way, local improvements can be implemented by restricting the variables number with reference values, thus preoptimizing the solutions.

Lastly, designing on a parametric platform makes it easier to handle the numerous geometric variables of a project, which is one of the challenges when proposing high-performance solutions. Therefore, the approach is an uncomplicated way to deal mainly with the building shape and experiment with various alternatives to find the one that best fits the proposed objectives.

7. Conclusions

This study uses parameterization, simulation, sensitivity analyses, and optimization tools applied to a residential building, set as a reference case. An optimization framework is introduced, employing a parametric 3D modeling platform and a radial-based algorithm. Moreover, the paper presents a brief review of performance-based design combined with building simulation and optimization. It evidenced the use of the same program in project development as a user-friendly alternative to integrate the concept of Multiobjective Optimization (MOO) into the design process.

Sensitivity Analysis (SA) was performed before the optimization step in an addendum to the one-software approach, given the variables under investigation. Thus, the algorithm performance could be improved, enhancing the search for the optimal solutions to build.

Among the main conclusions concerning the SA and optimization outcomes, it is highlighted that:

- The influential variables identified in SA analyses depended on the objective considered, showing different ranks among the three established metrics: Natural Ventilation Effectiveness (NVE), Total Heating Loads (THL), and Total Cooling Loads (TCL). However, an overall perspective identified those with the most impact as the setpoint temperatures that regulated natural ventilation or mechanical systems activation. On the other hand, although considered relevant for openings design, the parameter about the ventilation area provided smaller contributions. Therefore, together with the less influential variables, it was locally improved;

- MOO results showed a direct relationship between the size of the windows, NVE, and TCL, which does not apply to THL. The building envelope’s thermal transmittance showed a more significant impact for the heating loads (THL), and all optimized solutions had low U-values for both opaque and transparent surfaces. Given these differences, the complexity of multiobjective problems is evidenced, and the optimization stage can be considered an ally when developing high-performance buildings;

- The model-based algorithm (RBFMOpt) used at the optimization step showed performance consistent with that presented in the benchmark studies, converging with a low number of interactions. Therefore, its application in time-intense simulation investigations is endorsed;

- Findings concerning the physical and geometric variables range could be applied in similar studies, limiting the parameters related to material properties and increasing those about geometry. The optimization results showed that the geometric aspects provided a greater solution diversity among the variables investigated in this work.

- Regarding the framework adopted in this research, the following aspects can be stressed.

- Modeling through a 3D parametric platform allows for the manipulating of numerous variables, being especially effective when dealing with geometric parameters;
• Performing all processes through a single software reduces uncertainties and provides a more straightforward workflow, which facilitates control and implementation;
• The approach is suitable for early project stages because architects and designers would be more receptive and better instrumented to apply MOO, encouraging passive strategies implementation when using such tools.

Finally, future research could include testing different algorithms to evaluate their performance and differences between the optimized solutions. Such investigations can support studies and applications related to performance-based design, helping to consolidate the approach.

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Abbreviations
AFN, Airflow Network; BBCOMP, Black Box Optimization Competition; BIM, Building Information Modelling; BSO, Building Simulation Optimization; EMS, Energy Management System; E+, EnergyPlus; HB, Honeybee; HVAC, Heating, Ventilating, and Air Conditioning; INES, French National Institute for Solar Energy; MOO, multiobjective optimization; NVE, Natural Ventilation Effectiveness; PCM’s, Phase Change Materials; PRC, Partial Ranked Correlation; RBFMOpt, Radial Basis Function Multiobjective Optimization; SA, Sensitivity Analysis; SHGC, Solar Heat Gain Coefficient; TCL, Total Cooling Loads; THL, Total Heating Loads; VWR, Ventilation area × Window Ratio; WWR, Window-to-Wall Ratio.

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