ABSTRACT

Objectives: to verify associations between presenteeism and safety culture among health workers. Methods: a descriptive, cross-sectional study with health workers from a teaching hospital in Rio Grande do Sul. Data collection took place through instruments of sample characterization, the Brazilian version of the Stanford Presenteeism Scale and the Safety Attitudes Questionnaire were analyzed using descriptive statistics and chi-square tests. Results: a total of 758 (48%) professionals participated; 330 (43.5%) presenteeism were identified, who evaluated the safety culture more negatively compared to non-presenteeism. The safety culture had a mean less than 75; associations between presenteeism and general safety culture were observed, and with the domains of teamwork climate, safety climate, stress recognition and working conditions. Conclusions: presenteeism was associated with a safety culture, which requires investment by hospital management, with consideration to the health of workers.

Descriptors: Presenteeism, Health Personnel, Occupational Health, Organizational Culture, Patient Safety.

RESUMO

Objetivos: verificar associações entre presenteísmo e cultura de segurança entre trabalhadores de saúde. Métodos: estudo descritivo, transversal, com trabalhadores de saúde de um hospital universitário do Rio Grande do Sul. Os dados coletados por meio de instrumentos de caracterização da amostra, a versão brasileira da Stanford Presenteeism Scale e o Questionário de Atitudes de Segurança, foram analisados por estatística descritiva e teste do qui-quadrado. Resultados: participaram 758 (48%) profissionais; identificaram-se 330 (43,3%) presenteístas, os quais avaliaram a cultura de segurança mais negativamente comparados aos não presenteístas. A cultura de segurança obteve média inferior a 75; observaram-se associações entre presenteísmo e cultura de segurança geral, e com os domínios clima de trabalho em equipe, clima de segurança, reconhecimento do estresse e condições de trabalho. Conclusões: o presenteísmo esteve associado à cultura de segurança, a qual necessita de investimento por parte da gerência hospitalar, com atenção à saúde dos trabalhadores.

Descritores: Presenteeism, Pessoal de Saúde, Saúde Laboral, Cultura Organizacional, Segurança do Paciente.

RESUMEN

Objetivos: verificar asociaciones entre el presentismo y la cultura de seguridad entre trabajadores de la salud. Métodos: estudio descriptivo, transversal, con trabajadores de la salud de un hospital universitario de Rio Grande do Sul. Se analizaron los datos recolectados mediante instrumentos para la caracterización de la muestra, la versión brasileña de la Escala de Presentismo Stanford-6 y el Cuestionario de Actitudes de Seguridad, mediante la estadística descritiva y la prueba de chi-cuadrado. Resultados: participaron 758 (48%) profesionales; se identificó el presentismo en 330 (43,5%), quienes evaluaron la cultura de seguridad de manera más negativa en comparación con los no presentistas. La cultura de seguridad promedió menos de 75 y hubo asociaciones entre el presentismo y la cultura de seguridad general, y con los dominios de trabajo en equipo, clima de seguridad, percepción del estrés y las condiciones de trabajo. Conclusiones: el presentismo se asoció a la cultura de seguridad, que requiere inversión por parte de la dirección hospitalaria, con atención a la salud de los trabajadores.

Descripciones: Presentismo, Personal de Salud, Salud Laboral, Cultura Organizacional, Seguridad del Paciente.
INTRODUCTION

The environments and relationships at work have a recognized influence on the workers’ health/illness process. Considering the hospital, its purpose and the complexity symbolized by this institution, added to the effects of social and economic policies that affect everyone, there is a scenario of characteristics associated with the illness of its workers. It is not uncommon for workers to show up at work with signs or symptoms of illness, a condition called presenteeism, a current phenomenon in many workplaces, which can have serious consequences for organizations. The evaluation of the costs of presenteeism is complex, since the worker is present in the workplace with the potential to spread diseases and compromise not only their own health, but also productivity. Therefore, the worker is considered responsible for losses greater than absenteeism, a condition in which the worker does not show up to work due to illness or other reasons, and is investigated to highlight its causes and, mainly, its repercussions on productivity, through a variety of scales.

Considered for many reasons, presenteeism is not only related to health, but also the commitment evidenced by the degree of satisfaction and motivation with the work. It varies according to sex, management support and encouragement to treat health problems, among other individual and organizational factors, such as fear of unemployment, for example. It is common among health and education workers, and in these areas, the fear of overloading colleagues and spoiling their work if they do not show up, are among the most prevalent reasons for presenteeism. The phenomenon is worrying when it comes to hospital institutions, because the impact on productivity that results in patient care, can put you at risk and compromise their safety.

Patient safety emerges as a worldwide movement in health, a new paradigm that focuses on patients, in the face of evidence that they suffer damage resulting from failures in health services. The World Health Organization (WHO) has founded an international alliance to address this issue, calling on countries to devote attention, coordinate, publicize and accelerate improvements on this subject, establishing safer health care. In Brazil, this movement is consolidated in the launching of specific legislation in 2013, establishing the National Patient Safety Program (PNSP) and the creation of the Patient Safety Centers (NSPs), with the general objective of contributing to the qualification of care in health. Both address the safety culture, defined as the product of values, attitudes, perceptions and skills of individuals and groups, determinants of behavior and safety commitment, where guilt and punishment are substituted by conducting failures as an opportunity for learning and improvement.

A specific focus is the assessment of the safety climate, the quantifiable part of this culture, by the professionals’ perception of safety attitudes in their workplace. The assessment of the management’s behavior, the way the teams respond, the communication, the response of failures and the professionals’ perceptions of safety, points out the needed improvements to reach positive levels in the safety culture. Since professionals are subject to lapses and failures that are impossible to fully control, work systems and processes must be more secure, providing support to the worker. The working conditions, activity and health status of the health professional can contribute to a higher frequency of incidents related to the provision of care in health services. Worker’s health and safety culture are essential in the result of the quality of care and patient safety. Some authors identify the two sides of the same coin as being the relationship that combines these two dimensions, and point out the difficulty of working on incident prevention without involving the systemic view of the organization and the work development. The worker is also a victim when an event reaches the patient and, in this sense, cultural changes are essential, safeguarding everyone.

Only two articles from Croatia were found that assessed the association of safety and presenteeism culture, one with nurses from a general hospital and the other with doctors and nurses from two hospitals. Therefore, an association study of these two constructs between health professionals in the national scenario is justified.

OBJECTIVES

To verify associations between presenteeism and safety culture among health workers.

METHODS

Ethical aspects

Ethical and legal guidelines were met, the authorization was obtained from the institution and a positive opinion was obtained from the local Research Ethics Committee on December 19th, 2017. Under Resolution 466/2012 of the National Health Council/MS, the research objectives, the criteria and the method of participation, the possible risks and benefits, and the guarantee of confidentiality were presented. Those who agreed to participate in the study signed the Informed Consent Form (ICF).

Design, period, and study scenario

A cross-sectional descriptive study, product from a master’s dissertation, was carried out from March to July 2018, at a teaching hospital in Rio Grande do Sul, Brazil. This is a public hospital, a regional referral in health, serves medium and high complexity and works in teaching, research, and extension. The investigated units were: surgery and post-anesthetic recovery unit, material and sterilization center; clinical, surgical, oncological, pediatric, psychosocial, gynecological and obstetric inpatient units; pediatric, neonatal, adult and coronary intensive care units; emergency room; diagnostic services; outpatient clinics and hemotherapy; pharmacy and administrative places where health professionals worked.

Population, sample, inclusion, and exclusion criteria

There were 1,579 health professionals in the hospital at the time and the sample size determination for finite population, with an error of 0.05 and 95% confidence, estimated the minimum of 310 participants in the sample. However, as many participants as...
possible were invited. This was a convenience sampling, with the inclusion of 758 (48%) participants, according to the following criteria: being a health professional (social worker, biomedical, biologist, nurse, pharmacist, physiotherapist, speech therapist, doctor, nutritionist, psychologist, occupational therapist, nursing technician, nursing assistant; laboratory, radiology and pharmacy technician; health assistant), having a minimum working time of four weeks in the unit and a minimum workload of 20 hours per week, enough for the worker to be familiar with the culture of local security and able to evaluate it\(^\text{19-20}\). Those who were on any type of leave during the data collection period were excluded.

**Study protocol**

The research protocol comprised a self-applied questionnaire with sociodemographic and labor variables (age, sex, higher education, position, unit of activity, work regimen), the Brazilian version of the Stanford Presenteeism Scale (SPS-6)\(^\text{5,21}\) to assess presenteeism and the Safety Attitudes Questionnaire (SAQ) to assess the safety culture\(^\text{19-20}\).

Before data collection, a pre-test was conducted among the research group members to assess the general understanding and the completion time of the instrument brochure. Subsequently, the training of the data collection team was carried out, composed of five master’s students from the Graduate Nursing Program, each directing a group composed of a scientific initiation scholarship student and a member of the research group. All received the Collector’s Manual, project documents and authorizations, and materials necessary for the research. There were demonstrations of procedures, discussion, and adjustment of conduct.

The team showed up in the field, inviting health professionals to participate in the research; handing out the instrument to be filled out by the participant, clarifying doubts, collecting the signature on the ICF and picking up the completed instrument. Many professionals went to work with health problems and, even so, the great majority evaluated that their productivity was maintained.

The institutional security culture was less than ideal. Despite being satisfied with the work, sector and performance team, the professionals signaled that there should be greater contact and communication with management, improvements in working conditions and the general safety culture.

The SPS-6 was filled out by those who declared to show up at work in the last 30 days with a health problem or with signs or symptoms of illness\(^\text{5,21}\). The most common approach to assessing presenteeism, the worker assesses the impairment of his/her physical, mental and interpersonal activities at work\(^\text{21}\). With six items assessed on a five-point Likert scale, it describes how health status affected or not affected work in two dimensions: ‘completing work’ (CW = items 2, 5 and 6) and ‘avoided distraction’ (AD = items 1, 3 and 4, reverse)\(^\text{19}\).

The SAQ was chosen to assess the safety culture for its psychometric properties (Cronbach’s alpha 0.7 to 0.8), history of application in several international studies\(^\text{19-20}\), and ability to provide information about what influences the safety climate and what needs to be improved in the institution\(^\text{20}\). It comprises 41 items and six domains: Teamwork climate, Safety climate, Job satisfaction, Stress recognition, Perception of management (of the unit and the hospital) and Working conditions. Each item follows the five-point Likert scale, from strongly disagree to strongly agree, including a ‘not applicable’ option; items 2, 11 and 36 are reverse\(^\text{19-20}\).

**Analysis of results and statistics**

The data were double and independently entered in Microsoft Excel\(^\text{®}\), version 7.0. After checking and correcting errors and inconsistencies, they were exported and analyzed using the PASW Statistic\(^\text{®}\) program (Predictive Analytics Software, from SPSS Inc., Chicago, USA), version 18.0 for Windows, using descriptive statistics: for qualitative variables, distribution of relative and absolute frequency; for quantitative variables, position and dispersion measures (mean and standard deviation - SD or median and interquartile range - IIQ), according to the normality of data, and the Kolmogorov-Smirnov test for verification. The IQ was calculated from the difference between the 75th and the 25th percentile.

Some variables such as position and work unit were regrouped, the first according to professional performance and the second according to similarities in the work process. The SPS-6 and SAQ analysis followed the recommendations of the authors and translators.

The SPS-6 analysis is done separately for the two dimensions, by direct score and by the sum of the scale global score after adjusting the AD reverse score\(^\text{5}\). The scores added range from 6 to 30; a score ≤18 indicates a reduction in performance and >18 represents a greater ability to concentrate and perform work\(^\text{5,21}\). High values in the SPS-6 and the CW total score, and a lower value in the AD, indicate better worker status and less impact of presenteeism at work.

For the SAQ analysis, the option “does not apply” should be disregarded and questions 2, 11 and 36 have scores reversed before the analysis. The final score ranges from 0 to 100; a value ≥ 75 indicates a positive perception for the safety culture, assessed by the total number of SAQ items and for each dimension, separately\(^\text{19-20}\).

In verifying the association between presenteeism and safety culture, a bivariate analysis was performed using the chi-square test, considering significant the associations with \(p < 0.05\).

**RESULTS**

A total of 758 (48%) health professionals participated, whose characterization showed: age from 20 to 70 years, median 40 (IIQ = 15); the prevalence of females, 595 (78.5%), and with graduate level, 439 (58%); the working time in the institution was a median of 54 months (IIQ = 151). Other labor variables described in Table 1 show the profile of the study participants.

The presenteeism was self-declared by 330 (43.5%) workers, with a mean score of 20.9 (SD 4.7) on the global score of the SPS-6, ranging from 7 to 30. The CW dimension had a mean of 12.5 (SD 2.7). In AD, the mean was 9.6 (SD 3.5). The points varied from 3 to 15 in both dimensions. The dichotomization of the scale showed that 104 (31.5%) indicated reduced productivity, corresponding to 13.7% of the total study participants (N=758).
The safety culture evaluation obtained a mean score of 65.7 (SD 13.3) in the total of items. The mean of the SAQ dimensions ranged from 49.9 to 83.4; medians from 50 to 90 (Table 2).

Table 2 – Descriptive analysis of the Safety Attitudes Questionnaire and its dimensions, Rio Grande do Sul, Brazil, 2018

| Variables                                      | n (%)         | Mean (SD) | Median (IQ)** |
|------------------------------------------------|---------------|-----------|---------------|
| Total SAQ (41 items)                           | 509 (67.2)    | 65.7 (13.3)| 67.1 (19.5)   |
| Teamwork climate                               | 668 (88.1)    | 72.0 (16.9)| 75.0 (20.8)   |
| Safety climate                                 | 700 (92.3)    | 67.6 (17.5)| 67.9 (25.0)   |
| Job satisfaction                               | 741 (97.8)    | 83.4 (16.8)| 90.0 (15.0)   |
| Stress recognition                             | 652 (86.0)    | 71.8 (24.3)| 75.0 (34.4)   |
| Perception of Management                       |               |           |               |
| Unit                                           | 690 (91.0)    | 60.6 (20.0)| 62.5 (29.2)   |
| Hospital                                       | 693 (91.4)    | 49.9 (21.7)| 50.0 (30.0)   |
| Working conditions                             | 703 (92.7)    | 60.7 (24.5)| 66.7 (41.7)   |

Note: *Safety Attitudes Questionnaire; †Standard Deviation; **Interquartile Range.

The prevalence of presenteeism was high, 330 (43.5%) professionals came to work with some health problem. However, most assessed that they managed to meet productivity goals. The loss of productivity was a reality for 13.7%, pointing to a risk to the quality of care, which must be managed. The impact of this situation on patient protection should be considered to support improvements in quality and safety culture. Our finding is lower than that found in the SPS-6 validation study in Brazil, which was 56.2%, with only nursing staff. In another study with New Zealand doctors and dentists, the prevalence of presenteeism was 88%. Presenteeism is repeated in health services, working, and being contaminated with infectious diseases can result in major problems, given the possibility of outbreaks in the workplace. In a context of an emerging health crisis, involving high-spread disease and serious cases, such as the current Covid-19 pandemic, presenteeism takes a special focus given the urgency of measures to control it.

DISCUSSION

In the evaluation of health professionals at this teaching hospital, an association of presenteeism with the safety culture was found. The prevalence of presenteeism was 43.5% and the general safety culture had an average score of 65.7. The SPS-6 and SAQ are used internationally to assess the respective constructs, however, no studies that used these two instruments together to assess this association was found.

The prevalence of presenteeism was high, 330 (43.5%) professionals came to work with some health problem. However, most assessed that they managed to meet productivity goals. The loss of productivity was a reality for 13.7%, pointing to a risk to the quality of care, which must be managed. The impact of this situation on patient protection should be considered to support improvements in quality and safety culture. Our finding is lower than that found in the SPS-6 validation study in Brazil, which was 56.2%, with only nursing staff. In another study with New Zealand doctors and dentists, the prevalence of presenteeism was 88%.

Although presenteeism is repeated in health services, working, and being contaminated with infectious diseases can result in major problems, given the possibility of outbreaks in the workplace. In a context of an emerging health crisis, involving high-spread disease and serious cases, such as the current Covid-19 pandemic, presenteeism takes a special focus given the urgency of measures to control it. The recommendations of the WHO and the Ministry of Health advise that health service workers who present Flu Syndrome or Severe Acute Respiratory Syndrome, or who have...
close home contacts in these conditions, are immediately released from work and meet specific criteria for clinical or laboratory control to return to work activities. Respiratory infections prevention and control demand educational measures, not only to the observation of the main signs and symptoms, but also for health professionals to adhere to precautions and recommendations.

In the face of a pandemic, health professionals confront great risks, difficulties, and overload and it is essential to support health care measures for these workers. Numerous factors have been identified as important in the decision to follow regulations to control respiratory infections in health services, including the recommendation itself and the way it is communicated. Still: the support of managers, the culture of the workplace, training, physical space, access and confidence in personal protective equipment, the desire to provide good patient care, and the participation of all workers and units of the institution interfere in the effectiveness of preventive measures. Awareness of these factors is an important way to prevent the spread of diseases by health professionals, which must be well described and communicated in all health services for patients and workers' safety.

The safety culture averaged less than 75 points, in agreement with national and international studies. Studies with SAQ in different regions of Brazil show similar results. In a hospital institution in Ceará, with characteristics similar to the hospital in this study, the means were very close to the total SAQ (65 in that and 65.7 in this). A teaching hospital in Minas Gerais had averages in the total SAQ and dimensions below 70, except Job satisfaction, and the Perception of management obtained the lowest average. In the Federal District, surgical center professionals pointed to even lower averages, except for Stress recognition, and no domain greater than 75. In a nursing sample from two hospitals in the state of Rio Grande do Sul, the safety culture was assessed with higher averages and a positive score for Teamwork climate, Job satisfaction and Working conditions. The safety culture has a lot to enhance to provide greater protection for patients.

The low averages for the Perception of management stand out, corroborated in the studies above mentioned. This domain assesses the support and performance of management, and its communication the team's in the safety culture, and generally has the lowest averages in the SAQ, especially regarding hospital management. On the other hand, Job Satisfaction, the only domain positively evaluated in this study, is also presented in others with the highest average. This domain can be a support to improve the safety culture, as a satisfied worker can self-dedicate to improve patient care and attention, in alignment with management actions. An alignment between management and staff must bring together the desire of top management and what the staff expects from the institution, and not overlap, but a joint path towards the safety culture.

In the analysis of the associations between the two constructs, it was observed, descriptively, that most of the presenteeists evaluated the safety climate with averages lower than 75, except for the dimensions Job satisfaction and Stress recognition, indicating that the professionals liked their work and recognized stressors, even under unfavorable health conditions. The association with presenteeism was significant ($p < 0.05$) for the total SAQ and dimensions, except for Job satisfaction and Perception of management, both in the unit and in the hospital. The presenteeists tended to assess the security climate more negatively than non-presenteeists.

The low perception of the safety culture in concerning management is a piece of evidence observed in research with SAQ, not only locally, but nationally and internationally. So it was also observed with the application of another instrument to evaluate this culture, the Hospital Survey On Patient Safety Culture (HSOPSC), in a comparative study between Brazilian and Portuguese nurses, where the "Management support for patient safety" was the dimension with the greatest significant difference, and the most worrying in the professionals' perception.

It seemed that the management of this teaching hospital did not actively play a role in the dissemination of the safety culture or, if it did, the worker was not aware of it, indicating a disparity on this path. Another possible explanation for this result is that the safety culture is a subject under construction and evolution in the institutions, and the management of hospitals is still strongly influenced by the biomedical model of health care, centered on the symbol of the doctor, and the safety culture is focused on the patient, aimed at controlling work processes and conditions, for safe multi-professional care. Another issue to be mentioned is the cut in health financing, with cutbacks that have repercussions on working conditions, credited to management deficiencies and inequalities, on the part of professionals. Any of these hypotheses are based on the distance between the two areas, with poor communication, and has a negative influence on the safety culture assessment.

On the other hand, the institution's professionals were satisfied with their job, which favored a safer climate. The dimension Job satisfaction, the only one that obtained a positive average (83.4) for safety culture, represents the positive view of the workplace: it evaluates the interest and pride in the work, the feeling of integration and the area/unit team's state of mind. The association of this satisfaction with the stability of the employment affiliation is considered a factor of dependability and quality of life at work. As well as the importance and prestige of the hospital, a reference in the high complexity and 100% Unified Health System ( SUS), essential to the right to health of more than one million people in the area covered.

The Teamwork Climate domain appears with an average of 72, closer to the positive, and credited to the increase in personnel that has occurred in the last five years in various professional categories and specialties. A new management model came to standardize the staff, exchanging previous hires with precarious bonds for professionals with public contracts, with greater stability. Standardizing bonds with health professionals is an important quality element in work and care.

A study that evaluated presenteeism and safety culture among nurses in a hospital in Croatia using SPS-6 and HSOPSC, respectively, found no association between the two constructs. All presenteeists scored above 18 on SPS-6, and the worst assessment on HSOPSC was about the ‘non-punitive response to error’. Another Croatian study evaluated this association with medical and nursing workers from two hospitals and the lower performance at work was associated with a greater culture of
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patient safety\(^{17}\). The culture of patient safety was high, except for the dimensions of personal and non-punitive response to an error in both hospitals\(^{16-17}\), and hospital management support for patient safety in one of the hospitals\(^{17}\). Both studies showed that the punishment culture still stands out in institutions. This aspect has a direct relationship with the administrative conduct in risk management and evaluation of safety incidents, as it emphasizes the organizational culture in which the safety culture is inserted.

A large study involving 31 U.S. hospitals found an increase in safety culture scores in places where most of the team participated in rounds with the supervisor, the Leadership Walk Rounds, with even better results when the supervisors gave feedback to the teams. Also, they were significantly associated with better assessments of the safety culture, greater engagement of the workforce and less wear and tear, which was credited to a certain control over the quality of care that these conversations trigger to workers, reducing perceptions of burnout\(^{10}\). Such results emphasize the importance of approaching management to improve worker health and safety culture.

The SAQ result must be analyzed in the organization according to its concept, values and mission, as a managerial tool for decision-making in the planning and development of more positive environments for quality patient care. Although below expectations, considering the multiple actions of the NSP in investing patient safety in this hospital, the safety climate showed a small increase in the average over four years, showing that cultural changes demand time and continuous work in this direction.

Given the small number of studies that directly investigated the association of the two themes, greater comparisons were not possible. These results will assist in future discussions, since research that evaluated the interface between worker health and patient safety\(^{13-15,30}\) confirms the relevance of this and other studies. It is recommended to intensify the investigation, especially at the units/sectors level, among the teams of specific units, to better understand the changeability of these results within the organization, since there can be great variation in the security climate between two sectors within the same hospital\(^{10}\). What makes space or team have a better security climate than another? It is possible to bring in best practices and stimulate this better climate in the institution.

**Limitations of the study**

The low participation by the multi-professional category, except for nursing, was a limitation of the study. There were difficulties in accessing and adherence by medical professionals, especially from open units. Low medical adherence was found in an assessment of the safety culture in other hospitals\(^{24}\).

The lost productivity must be better understood and adjusted to health care, with a definition of what a low performance means, both quantitatively and qualitatively, considering that in a hospital, the professionals of a team help each other in the performance of care.

**Contributions to the Health area**

This study allowed to intensify the understanding of the association of the constructs presenteeism and safety culture. The focus on the interface between worker health and patient safety guides improvements for the organization, by granting a close look at the professional. This implies, by personal opinion and group climate, how one feels at work and sees the institution concerning patient safety. It is a diagnosis that contributes to people and risk management, enabling to plan improvements for quality of life at work and quality of care, aiming at the safety of everyone.

**CONCLUSIONS**

The study found significant associations between presenteeism and general safety culture, and the following SAQ domains: Teamwork climate, Safety climate, Stress recognition and Working conditions. Those who worked with compromised health rated the general safety culture more negatively in this teaching hospital. Many professionals went to work with health problems and, even so, the majority evaluated that their productivity was preserved.

The institutional safety culture was less than the ideal. Despite being satisfied with the work, unit and team, the professionals pointed out that there should be greater contact and communication with management, improvements in working conditions and the general safety culture.

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