Variation at FCGR2A and Functionally Related Genes Is Associated with the Response to Anti-TNF Therapy in Rheumatoid Arthritis

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Abstract

Objective

Anti-TNF therapies have been highly efficacious in the management of rheumatoid arthritis (RA), but 25–30% of patients do not show a significant clinical response. There is increasing evidence that genetic variation at the Fc receptor FCGR2A is associated with the response to anti-TNF therapy. We aimed to validate this genetic association in a patient cohort from the Spanish population, and also to identify new genes functionally related to FCGR2A that are also associated with anti-TNF response.

Methods

A total of 348 RA patients treated with an anti-TNF therapy were included and genotyped for FCGR2A polymorphism rs1081274. Response to therapy was determined at 12 weeks, and was tested for association globally and independently for each anti-TNF drug (infliximab, etanercept and adalimumab). Using gene expression profiles from macrophages obtained from synovial fluid of RA patients, we searched for genes highly correlated with FCGR2A expression. Tag SNPs were selected from each candidate gene and tested for association with the response to therapy.
Results

We found a significant association between FCGR2A and the response to adalimumab \((P=0.022)\). Analyzing the subset of anti-CCP positive RA patients (78%), we also found a significant association between FCGR2A and the response to infliximab \((P=0.035)\). DHX32 and RGS12 were the most consistently correlated genes with FCGR2A expression in RA synovial fluid macrophages \((P<0.001)\). We found a significant association between the genetic variation at DHX32 \((rs12356233, corrected \(P=0.019)\) and a nominally significant association between RGS12 and the response to adalimumab \((rs4690093, uncorrected \(P=0.040)\). In the anti-CCP positive group of patients, we also found a nominally significant association between RGS12 and the response to infliximab \((rs2857859, uncorrected \(P=0.042)\).

Conclusions

In the present study we have validated the FCGR2A association in an independent population, and we have identified new genes associated with the response to anti-TNF therapy in RA.

Introduction

The introduction of Tumor Necrosis Factor (TNF) inhibitors has revolutionized the treatment of rheumatoid arthritis (RA). In the clinical practice, anti-TNF alpha agents have made it possible to achieve a minimal inflammatory activity or even disease remission [1,2]. Despite their clear efficacy in RA management, there is a substantial group of patients who will fail to respond to this therapeutic approach [3]. The high costs of these therapies as well as the availability of alternative biologic therapies in RA, clearly increase the need to identify markers of response to anti-TNF agents [4].

Genetic variation has shown to influence many aspects of RA heterogeneity, including the response to anti-TNF therapy [5,6]. Genome-wide association studies (GWAS) are a powerful genetic analysis approach and have allowed the identification of new genomic regions associated with treatment response in RA [7,8]. Candidate-gene studies, although limited to the knowledge of the biological pathways associated to a particular disease or trait, have also been successful in identifying new candidate loci for the response to anti-TNF therapy [9]. One such candidate gene is FCGR2A, encoding an Fc receptor mainly expressed in macrophages and dendritic cells [10], and for which there is increasing evidence supporting its association to anti-TNF therapy in RA [11].

Fc receptors for IgG immunoglobulins (FCGRs) are expressed in different immune cells but predominantly in phagocytic cells like macrophages [12,13]. In these cells, FCGRs bind to extracellular IgG immunoglobulins, and this binding can lead to either cell activation or repression [13,14,15]. Consequently, the genetic variants that affect the activity of FCGRs [16,17,18,19], could also influence the efficacy of immunoglobulin-based therapies like anti-TNF agents. Infliximab, adalimumab and etanercept are the most commonly used anti-TNF agents in RA, and are characterized by having an IgG1 Fc portion that can bind to FCGRs. Therefore, variations in the Fc binding affinity between the different anti-TNF agents could also influence the response to these biological therapies [20,21,22].

FCGR2A (CD32A) SNP rs1801274 is a nonsynonymous polymorphism that leads to an amino acid change at position 131 of the Fc receptor (i.e. R131H). This change in the protein
sequence has shown to have important implications in the binding of the receptor to different IgG subclasses [23,24]. Consequently, rs1801274 is a strong candidate for influencing the response to IgG-based treatments, like anti-TNF agents. There is increasing evidence that variation at this SNP is associated with a differential response to anti-TNF therapy in RA [11,25]. Importantly, there is recent evidence that the association between FCGR2A and the clinical response in RA could be dependent on the type of anti-TNF agent, with a significant association in patients treated with infliximab [25,26] and a lack of association on etanercept-treated patients [26,27]. Despite the increasing evidence of a strong and differential genetic background associated with patients positive for anti-cyclic citrullinated protein antibodies (anti-CCP, ~70–80% of patients) [28,29,30], very few pharmacogenetic studies in RA have evaluated testing for association in this subgroup of patients. If confirmed, this drug specific associations would be of major relevance for RA. First, it would allow the identification of biological pathways that are specifically targeted by each anti-TNF agent, and secondly, it could lead to the development of new and more specific therapies and finally improve treatment personalization in RA.

The first objective of this study was to validate the association between FCGR2A and the clinical response to the main anti-TNF agents infliximab, adalimumab and etanercept. Next, we hypothesized that patients positive for anti-CCP antibodies could show stronger genetic associations to drug response. Also, we hypothesized that analyzing the gene expression correlation of FCGR2A in a crucial cell type in RA, synovial fluid macrophage, we could identify new candidate genes associated with anti-TNF response. Using a cohort of well-characterized RA patients we have been able to validate and further characterize FCGR2A association, as well as identify new candidate genes for anti-TNF response in RA.

Materials and Methods
Study population
A total of 348 RA patients that had received an anti-TNF therapy (infliximab, etanercept or adalimumab) as their first biological treatment, were included in the present study. This patient cohort was collected as part of the Immune-Mediated Inflammatory Disease Consortium (IMIDC) [9], which includes a network of rheumatology departments from 12 university hospitals from Spain. All patients fulfilled the 1987 American College of Rheumatology classification criteria for RA [31] and had >2 years of follow-up since diagnosis. All recruited individuals had an erosive disease defined as 1 ≥ erosions in, at least, 2 joint groups in hands and/or feet. Only RA patients naïve to biologic therapies were included in this study. Patients were Caucasian European born in Spain and with all four grandparents also born in Spain.

Informed consent was obtained from all participants and protocols were reviewed and approved by local institutional review boards. The present study was conducted according to the Declaration of Helsinki principles.

The response to anti-TNF treatment was measured at week 12 following the EULAR treatment response criteria [32]. For all patients, the DAS28 activity score [33] was measured at baseline and after 12 weeks of anti-TNF treatment. According to the change in the DAS28 score and the endpoint DAS28, patients were categorized into good, moderate and none responders. As described previously, EULAR good and moderate responders were combined into a single anti-TNF responder group [9].

FCGR2A correlated genes in synovial RA macrophages
The NCBI Gene Expression Omnibus database of microarray data [34] was used to identify previous studies analyzing the gene expression profiles of macrophages obtained from synovial fluid from rheumatoid arthritis patients. Using the terms "rheumatoid arthritis + macrophage
we found three different datasets (GSE49604, GSE11575 and GSE10500). From these, we selected the gene expression profiles from RA CD14+ synovial macrophages obtained by positive selection (i.e. GSE49604 and GSE10500). The gene expression profiles from RA macrophages generated by \textit{in vitro} differentiation of blood monocytes (GSE11575 dataset) was not considered in this study. The selected gene expression data was processed and analyzed using the R statistical software \cite{35} and the Bioconductor repository packages (\url{www.bioconductor.org}). The details on dataset selection, data preprocessing and correlation analyses are given in the Supporting Information (S1 Protocol). The correlation between \textit{FCGR2A} gene expression and the genes expressed in synovial fluid macrophages was analyzed using the statistical test based on Pearson's product moment correlation. A significance threshold of $\alpha = 0.001$ was considered to select the genes most strongly correlated with \textit{FCGR2A}.

**DNA collection, SNP selection and genotyping**

Whole blood samples were obtained from 348 RA patients and genomic DNA was extracted using the Chemagic Magnetic Separation Module I (Perkin Elmer, US). The \textit{FCGR2A} polymorphism previously associated with the response to anti-TNF therapy, SNP rs1801274 (\textit{FCGR2A-R131H}), was analyzed in the cohort of RA patients using the TaqMan Real-Time PCR platform (Life Technologies, US) with the C\_\_9077561\_\_20 predesigned assay. Tag SNPs for \textit{DHX32} and \textit{RGS12} genes were selected using the genetic information from the Caucasian European cohort (CEU) sequenced in the 1,000 Genomes Project (1KGP) \cite{36}. Briefly, the dense SNP genotype generated from the 1KGP data from the sequences of the two genes +/- 5 kb was downloaded. Using the Haploview genetic analysis tool (v4.2) \cite{37} we identified the most relevant haplotype blocks in each gene and we selected the corresponding tagSNPs. Additional details on the haplotype analysis and tagSNP selection are given in the Supplementary Material (S1 Protocol). For \textit{DHX32} association analysis we selected tagSNP rs12356233 (chromosome 10, pb 127,534,930), and for \textit{RGS12} locus we selected tagSNP rs2857859 (pb 3,322,140) and rs4690093 (pb 3,412,196). Genotyping was performed with the TaqMan RT-PCR technology (Life Technologies, US) using predesigned assays C\_\_31490226\_\_30, C\_\_26934339\_\_10 and C\_\_11283507\_\_10 for rs12356233, rs2857859 and rs4690093 genotyping, respectively.

For all Taqman analyses RT-PCR thermal conditions were as follows: 50°C for 2 min and 95°C for 10 min, followed by 40 cycles of 92°C for 15 s and 60°C for 1 min. The PCR assay and point fluorescent readings were performed using an ABI PRISM 7900HT sequence detection system (Life Technologies, USA). Genotyping error was determined by re-genotyping 20% of the patients (<1% genotyping error).

**Statistical analysis**

The association of the \textit{FCGR2A} SNP rs1801274 with treatment response was performed using Fisher's exact test. Given that the association between \textit{DHX3} and \textit{RGS12} SNPs and response to anti-TNF therapy was novel, we used the allelic chi-square test \cite{38}. All statistical tests were performed using the R statistical software version 3.0.1 (\url{www.R-project.org}).

**Results**

**Study population**

A total of 348 RA patients treated with anti-TNF agents were included. The patients had a mean (±SD) age at diagnosis of 43.5 years (±12.6), and an average disease duration of 10.2 years (±8.3). From these, 126 patients had been treated with infliximab, 95 with adalimumab...
and 127 with etanercept. The complete clinical features of the patient cohort are shown in Table 1.

**Association of FCGR2A with anti-TNF response**

Comparing FCGR2A genotype frequencies between the global cohort of anti-TNF therapy responders and non-responders, we found no significant association \( (P = 0.15, \text{Table 2}) \). When analyzing the association within each different anti-TNF agent, we found a statistically significant association between FCGR2A and the clinical response to adalimumab \( (P = 0.022, \text{Table 2}) \). In infliximab-treated patients, we did not observe a statistically significant association \( (P = 0.11) \), while in etanercept-treated patients we found no evidence of association with FCGR2A SNP \( (P = 0.96) \).

RA patients that are positive for anti-cyclic citrullinated protein antibodies (anti-CCP), have shown to have a differential and much stronger genetic background compared to anti-CCP negative patients [28,29,30]. Based on this observation, we explored the FCGR2A association with the response to anti-TNF therapy in the anti-CCP positive group of patients only (78% in our cohort) (Table 3). Analyzing each treatment separately, we found that FCGR2A SNP was significantly associated to the response of both adalimumab and infliximab \( (P = 0.047 \text{ and } P = 0.035, \text{respectively}) \). By contrast, we found no association between FCGR2A and the clinical response to etanercept \( (P = 1) \).

**Identification and analysis of FCGR2A functionally-related genes**

We identified two microarray-based studies in RA analyzing the transcriptome of synovial macrophages (GSE49604 and GSE1050, \( n = 8 \) samples each). In both studies, synovial macrophages were isolated from the synovial fluid by positive CD14+ selection. In each study we found one gene showing a consistent correlation with FCGR2A \( (P < 0.001) \). In GSE49604 study we found DEAH (Asp-Glu-Ala-His) box polypeptide 32 gene \( (DHX32) \) levels to be strongly associated to FCGR2A gene expression, while in GSE1050 RA study we found regulator of G-
protein signaling 12 gene (RGS12) to correlate significantly with FCGR2A levels (S1 Fig). DH32 was found to positively correlate with FCGR2A (average r² = 0.93) and RGS12 to correlate negatively with FCGR2A expression (average r² = -0.96).

For each functionally-related gene we selected tagSNPs and performed an association test with the clinical response to anti-TNF therapy in the RA patient cohort (Table 4). Analyzing

### Table 2. Genotype frequencies of the FCGR2A polymorphism rs1801274 according to the clinical response at week 12.

| Anti-TNF agent | Genotype | Responders n(%) | Non-responders n(%) | P-valuea | OR(95%CI) |
|----------------|----------|-----------------|---------------------|----------|-----------|
| All (n = 348)  | AA       | 67 (25.7)       | 25 (28.7)           | -        | -         |
|                | AG       | 143 (54.8)      | 38 (43.7)           | -        | -         |
|                | GG       | 51 (19.5)       | 24 (27.6)           | 0.15     | 1.1(0.78–1.56) |
| Infliximab (n = 126) | AA       | 23 (26.1)       | 16 (42.1)           | -        | -         |
|                | AG       | 49 (55.7)       | 14 (36.8)           | -        | -         |
|                | GG       | 16 (18.2)       | 8 (21.1)            | 0.11     | 0.76(0.44–1.32) |
| Adalimumab (n = 95) | AA       | 21 (27.6)       | 3 (15.8)            | -        | -         |
|                | AG       | 40 (52.6)       | 6 (31.6)            | -        | -         |
|                | GG       | 15 (19.7)       | 10 (52.6)           | 0.022    | 2.54(1.19–5.4) |
| Etanercept (n = 127) | AA       | 23 (23.7)       | 6 (20)              | -        | -         |
|                | AG       | 54 (55.7)       | 18 (60)             | -        | -         |
|                | GG       | 20 (20.6)       | 6 (20)              | 0.96     | 1.06(0.6–1.9) |

aFisher’s exact test; OR: Odds ratio using allele G as reference.

doi:10.1371/journal.pone.0122088.t002

### Table 3. Genotype frequencies of the FCGR2A polymorphism rs1801274 in anti-CCP positive RA patients according to the clinical response at week 12.

| Anti-TNF agent | Genotype | Responders n(%) | Non-responders n(%) | P-valuea | OR (95%CI) |
|----------------|----------|-----------------|---------------------|----------|-----------|
| All (n = 261)  | AA       | 52 (26.8)       | 21 (31.3)           | -        | -         |
|                | AG       | 104 (53.6)      | 26 (38.8)           | -        | -         |
|                | GG       | 38 (19.6)       | 20 (29.9)           | 0.079    | 1.12(0.76–1.66) |
| Infliximab (n = 87) | AA       | 15 (24.6)       | 13 (50)             | -        | -         |
|                | AG       | 36 (59)         | 8 (30.8)            | -        | -         |
|                | GG       | 10 (16.4)       | 5 (19.2)            | 0.035    | 0.62(0.32–1.22) |
| Adalimumab (n = 79) | AA       | 18 (30)         | 3 (15.8)            | -        | -         |
|                | AG       | 29 (48.3)       | 6 (31.6)            | -        | -         |
|                | GG       | 13 (21.7)       | 10 (52.6)           | 0.047    | 2.56(1.18–5.54) |
| Etanercept (n = 95) | AA       | 19 (26)         | 5 (22.7)            | -        | -         |
|                | AG       | 39 (53.4)       | 12 (54.5)           | -        | -         |
|                | GG       | 15 (20.5)       | 5 (22.7)            | 1        | 1.12(0.57–2.19) |

aFisher’s exact test; ANTI-CCP: anti-citrullinated protein antibodies; OR: Odds ratio using allele G as reference.

doi:10.1371/journal.pone.0122088.t003
all anti-TNF agents together, we found no association between \textit{DHX32} or \textit{RGS12} SNPs with the clinical response at week 12. However, when analyzing each treatment separately, we found a significant association between \textit{DHX32} SNP rs12356233 with the response to adalimumab (corrected $P = 0.019$). We also found a nominally significant association between \textit{RGS12} SNP rs4690093 and the response to adalimumab (uncorrected $P = 0.040$), but this was no longer significant after multiple test correction. When analyzing the anti-CCP positive group of RA patients (Table 5), rs12356233 was still still significantly associated with adalimumab response (corrected $P = 0.028$), and \textit{RGS12} SNP rs4690093 was also associated at the nominal level only ($P = 0.044$). Importantly, in this group of RA patients we also found a nominally significant association between \textit{RGS12} SNP rs2857859 and the response to infliximab (uncorrected $P = 0.042$). This association was not significant after multiple test correction.

**Discussion**

Anti-TNF agents have been a major success in RA treatment, significantly improving the prognosis of many patients. There is, however, a group of RA patients that does not respond significantly to this therapeutic approach. Consequently, there is a major need to identify biomarkers that can help predict anti-TNF response and therefore guide anti-TNF therapy. In the present study we have used a cohort of RA patients from the Spanish population to validate the association between FCGR2A and anti-TNF agents infliximab, adalimumab and etanercept. Also, we have identified the genes most strongly correlated with FCGR2A expression in synovial fluid macrophages from RA studies, and we have found an association between these new, functionally-related genes with the response to anti-TNF therapy.

In our patient cohort we have found, for the first time, that FCGR2A is significantly associated with the response to infliximab in anti-CCP positive RA patients. Anti-CCP antibodies are

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known to bind to citrullinated antigens expressed in the synovial joint like filaggrin, and lead to the formation of immune complexes which are powerful activators of the immune response [39]. FCGR2A receptor has been shown to be key in the internalization of immune complexes by phagocytic cells and consequently, could influence citrullinated peptide presentation by HLA proteins and the subsequent activation of autoreactive T cells [40]. In this context, differences in the affinity of FCGR2A for citrullinated immune complexes could be counteracting the beneficial effect of ADCC by inducing a major response to citrullinated autoantigens. The results of this study, therefore, support the importance of anti-CCP antibodies in the FCGR2A-mediated response to anti-TNF agents.

The genetic analysis of genes functionally related to FCGR2A in synovial macrophages from RA patients, has lead to the identification of two new genes, DHX32 and RGS12 with the response to anti-TNF therapy. DHX32 gene encodes for a putative RNA helicase, and has been associated with lymphocyte differentiation and activation [41,42,43,44]. Importantly, RNA helicases have also shown to be important in innate immunity inactivation of viral RNA [45], and there is an increasing evidence that deregulation in this pathogen-sensing pathways could contribute to the development of autoimmunity, including RA [46,47,48,49]. Together, these results support the contribution of innate immunity in the differential response to anti-TNF therapies.

RGS12 encodes for a member of the 'regulator of G protein signaling' gene family although its biological still role remains to be characterized. Transcriptomic analysis on mouse monocyte progenitor cells activated with Receptor Activator of NF-kappa-B (RANKL) have shown a high induction of RGS12 gene [50]. RGS12 was shown to be highly and specifically expressed in human osteoclasts, and the inhibition of RGS12 in mouse monocyte cultures impaired RANKL-mediated differentiation to osteoclasts. These results suggest that RGS12 could participate in the macrophage to osteoclast transition, and therefore contribute to bone erosion and disease activity in RA.

Like most genetic studies for drug response, the present study has limitations. Issues like the uncertainty on the true genetic model underlying FCGR2A association, the definition of clinical response or the presence of environmental or genetic interactions could have reduced the statistical power of the study. For example, analyzing only those patients with a more extreme clinical response (i.e. EULAR good vs. none responders), only the FCGR2A association with adalimumab in all patients is still significant (S1 and S2 Tables). In this case, discarding the EULAR moderate group of patients, which is also the most frequent type of response (i.e. >40% of anti-TNF treated patients), clearly reduces the statistical power to identify the genetic associations. Also, from the observed genotype FCGR2A frequencies, there is no obvious gene dosage effect. However, in a complex trait like anti-TNF response there is likely to be a polygenic component, with multiple risk genes of moderate to low effect size where genetic models are more difficult to characterize. The presence of interaction effects can also limit our ability to fully characterize the present pharmacogenetic association. In anti-CCP positive RA patients, smoking has shown to strongly interact with HLA-DRB1 genotypes in the risk to develop the disease [51]. In our cohort of anti-CCP positive RA patients we did not find a significant interaction between FCGR2A and smoking status (data not shown). Finally, the identification of new anti-TNF response genes using gene expression profiles is clearly subject to isolating the relevant cell type where the gene is expressed as well as the methodological approach used to characterize the gene expression profiles. However, the association of genetic variants in DHX32 and RGS12 loci associated with anti-TNF response, strongly supports the role of these two new genes in RA pathophysiology.

In the present study, we confirm the association between FCGR2A and the response to anti-TNF therapy. Also, using transcriptomic data from synovial fluid macrophages from RA
studies, we have identified two genes, DHX32 and RGS12, strongly correlated with FCGR2A expression. Analyzing variants in these two new candidate genes, we have found new genetic associations with treatment response. The results of this study demonstrate a complex genetic basis for anti-TNF response and are an important advance in the understanding of the molecular mechanisms associated with the response to these therapies.

Supporting Information

S1 Fig. Significantly correlated genes with FCGR2A in synovial macrophages. Plots of the two probes measuring FCGR2A expression in SMPA and SMPB microarray studies with respect to the most significantly correlated genes (P < 0.001, DHX32 in SMPA and RGS12 in SMPB). The red line depicts the linear regression model of each gene against FCGR2A expression.

(TIFF)

S1 Protocol. Includes the detailed description of the synovial macrophage microarray dataset selection, data preprocessing and association analysis. It also includes details on the methodology used to select tagSNPs for each gene, DHX32 and RGS12, functionally associated with FCGR2A.

(DOCX)

S1 Table. FCGR2A polymorphism frequencies according to the EULAR extreme clinical response.

(DOCX)

S2 Table. FCGR2A polymorphism frequencies in anti-CCP positive RA patients according to the EULAR clinical extreme response.

(DOCX)

Acknowledgments

We thank the patients and clinical specialists collaborating in the IMID Consortium for participation.

Author Contributions

Conceived and designed the experiments: GAP JT AFN AJ SM. Performed the experiments: GAP AA MLL RT. Analyzed the data: GAP AA AJ SM. Contributed reagents/materials/analysis tools: JT AFN FB IGA JDC JM MA BFG AO HC AE RT. Wrote the paper: GAP JT AFN FB IGA JDC JM MA BFG AO HC AE AJ SM.

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