Training Parsers on Partial Trees:  
A Cross-language Comparison

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Abstract

We present a study that compares data-driven dependency parsers obtained by means of annotation projection between language pairs of varying structural similarity. We show how the partial dependency trees projected from English to Dutch, Italian and German can be exploited to train parsers for the target languages. We evaluate the parsers against manual gold standard annotations and find that the projected parsers substantially outperform our heuristic baseline by 9–25% UAS, which corresponds to a 21–43% reduction in error rate. A comparative error analysis focuses on how the projected target language parsers handle subjects, which is especially interesting for Italian as an instance of a pro-drop language. For Dutch, we further present experiments with German as an alternative source language. In both source languages, we contrast standard baseline parsers with parsers that are enhanced with the predictions from large-scale LFG grammars through a technique of parser stacking, and show that improvements of the source language parser can directly lead to similar improvements of the projected target language parser.

1 Introduction

Annotation projection on parallel corpora has received considerable attention in NLP, as it can reduce the resource bottleneck for lesser studied languages. For syntactic parsing, dependency structures can in principle be projected in a straightforward way given a word alignment, even if there are structural differences between the source language (SL) and target language (TL). For instance, word order differences (within verbal phrases or in the relative order of adjectives and nouns) cause no harm under perfect word alignment. More critical are cases where single tokens in one language correspond to syntactic combinations in the other language.

In order to assess the practical usefulness of this approach under realistic circumstances, i.e., with automatic parser output as the projection source and a statistical word alignment, systematic comparisons are needed. We present a study that compares a particular projection approach, combined with a strategy for exploiting partially labeled sentences, for three languages of varying structural similarity with the SL: We project English dependency parses to Dutch, Italian, and German (Section 2).

Projected trees tend to be incomplete in the sense that edges may be missing, due to missing word alignments or non-parallelism of the translations. We use fMalt (Spreyer and Kuhn, 2009) to train TL parsers despite this fragmentation. fMalt is a variation of MaltParser (Nivre et al., 2006) modified to handle incomplete training data (Section 3).

We evaluate the parsers against gold standard treebank data and find that the projected parsers reduce the baseline error by 21–43% (Section 4). The error analysis focuses on how the projected TL parsers handle subjects by comparing their distribution at various stages of the projection and parsing procedure (Section 5).

For Dutch, we further present experiments with German as an alternative source language. In both SLs, we contrast standard baseline parsers with parsers that are enhanced with the predictions from large-scale LFG grammars through a technique of parser stacking, inspired by the work on combining dependency parsers in Nivre and McDonald (2008). Our experiments show that improvements thus obtained in the source parser can directly lead to similar improvements of the projected TL parser (Section 6).

We compare our approach to related work in Section 7 and conclude in Section 8.

2 Projection of Dependency Trees

Most state-of-the-art parsers for natural languages are data-driven and depend on labeled training data, but manual treebank creation is expensive. It can be avoided by labeling the data automatically using annotation projection (Yarowsky et al., 2001): Given a dependency parser for SL, and a word-aligned parallel corpus of SL and TL, we parse the SL portion of the corpus and copy (or project) the dependencies to the corresponding (i.e., aligned) TL elements. This is illustrated in Figure 1 with English as the SL and Dutch, German and Italian as TLs. The links between SL and TL indicate the word alignment. We project the English trees to the TL by postulating edges between TL words (e.g., de and notulen in Figure 1a) if there is an edge between their respective English counterparts (the and minutes).

Annotation projection assumes direct correspondence (Hwa et al., 2005), which holds in many cases (e.g., Figure 1a), but not in general: non-parallelism between SL and TL
expressions causes errors or gaps in the target annotations. In Figure 1b, for instance, the possessive relation between minutes and sitting is expressed analytically with a preposition in English, but by way of genitive case marking on the NP der Sitzung in German. As a result, the projected structure is fragmented. The automatic alignment constitutes a further error source, as witnessed in Figure 1c: The nominal compound processo verbale (lit.: spoken proceedings) is misaligned under the intersective alignment, with the modifier verbale rather than the head processo aligned to the English minutes. This results not only in a fragmented, but an incorrect parse.

Note that we neither attempt to amend imperfect correspondences, nor do we discard fragmented parses. This is in contrast to related approaches to annotation projection which resort to heuristics to enforce coherence in the projected structures (cf. Section 7). We show in Section 3 that even partial annotations constitute valuable training data. Moreover, the absence of language-specific patches facilitates the analysis of the plain projections from a cross-linguistic perspective. We use Europarl as the parallel corpus, word-aligned with GIZA++ (Och and Ney, 2003). The TL texts were lemmatized and POS-tagged with TreeTagger (Schmid, 1994). The English text was processed with TreeTagger and then parsed with the baseline MaltParser of Øvrelid et al. (2009), which is trained on the dependency-converted WSJ part of the Penn Treebank (Marcus et al., 1993). The parser achieves 92% UAS (89% LAS) when gold POS-tags are used in training and testing, and 91% (88%) with automatically assigned tags.

Table 1 provides summary statistics that describe the amount of fragmentation in the projected dependencies. Contrary to our expectations, the degree of fragmentation is hardly correlated with the increasing distance of the TLs from English: The Italian and German trees are more connected than the Dutch trees. While the growth of fragment size (words/frag) is explained by its correlation with sentence length, the simultaneous decrease in the number of fragments per sentence is astonishing: It means that more edges can be projected to Italian than to the more similar Dutch or German. We conjecture that this may be due to more literal translations, which in turn allow for better alignments. As it stands, however, these are purely quantitative properties which do not guarantee qualitative equivalents.

### 3 Parsing with Fragments

Our parser, fMalt, is a variant of the transition-based MaltParser (Nivre et al., 2006). Transition-based parsers construct trees in a stepwise fashion: At each point, the locally optimal parser action (transition) \( t^* \) is determined on the basis of the current configuration \( c \) (previous transitions plus local features):

\[
   t^* = \arg \max_{t \in T} s(c, t)
\]

where \( T \) is the set of possible transitions. MaltParser implements an incremental, deterministic parsing algorithm and learns the transition scores \( s \) by means of support vector machines (SVMs).

What distinguishes fMalt from the original MaltParser is that it demotes unattached words to serve as mere context rather than headed nodes. It achieves this by eliminating their effect on the margin learned by the SVMs. Since MaltParser scores local decisions, this amounts to suppressing SVM training instances for those words. That is, fragment roots provide context, but no indication of where they are attached themselves. Thus, fMalt can be trained on arbitrarily sparse dependencies. But we do want to exclude uninformative analyses, so we limit the admissible fragmentation to three fragments per sentence and discard sentences that exceed this threshold.

### 4 Experimental Results

We evaluate fMalt against excerpts from manually annotated treebanks of the three languages. For Dutch and Ger-

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1. As the asymmetry of the IBM translation models only allows for 1–n alignments for a given language pair, we followed standard practice and computed alignments in both directions (SL—TL and TL—SL) which were then intersected.
2. See also Section 6.
3. This parameter was fixed after preliminary tests on automatically labeled development data for Dutch. It was then assumed for German and Italian without further tuning. The same is true for various fMalt parameters (cf. Spreyer and Kuhn (2009)).
4. We replaced the gold POS tags in the test data by the tags assigned automatically by the TreeTagger. This was done in order to
man, these are the test sets used in the CoNLL shared tasks (Buchholz and Marsi, 2006): 5,000 words each from the Dutch Alpino Treebank (van der Beek et al., 2002) and the German Tiger Treebank (Brants et al., 2002) For Italian, we use 6,000 words of newspaper text from the Turin University Treebank\(^5\). The data sets are largely comparable in terms of size and genre (newspaper, out-of-domain). However, a comparison of parser accuracies across languages can only be tentative since the annotation schemes are not immediately compatible. For evaluation purposes, we eliminated some obvious differences,\(^6\) but some errors can still be traced back to such discrepancies (see Section 5).

4.1 Baselines

Along with the unlabeled attachment scores (UAS) of fMalt trained on 100,000 words, Table 3 reports the scores obtained by two heuristic baselines. The first strategy is entirely unsupervised and simply attaches each word to the preceding word (Italian), the following word (Dutch), or the root node (German).

The second baseline attaches words on the basis of their POS tag. The attachment direction for a given tag is estimated from a small set of 10 annotated sentences (excluded from the test set for their evaluation); alternatively, the direction could be provided by a native speaker. If a tag has no gold standard tags are available.

Baseline schemes. Again, the supervised baseline \(\text{baseline}_{\text{pos}}\) outperforms both left- and right-attachment (not shown). It is probably an artifact of the flat Tiger annotation scheme. Again, the supervised \(\text{baseline}_{\text{pos}}\) provides a considerable improvement (+32.08%) over \(\text{baseline}_{\text{unsup}}\), but clearly lags behind fMalt (\(\Delta 22.46\%\)). Baseline \(\text{baseline}_{\text{unsup}}\) for Dutch (right-attachment) suggests an intermediate stage between head-initial and head-final constructions (type or token). Accordingly, \(\text{baseline}_{\text{pos}}\) achieves further improvement (+13.89%), but is still outperformed by fMalt by \(\Delta 25.06\%\).

Note that both baselines construct only local dependencies, so their performance also reflects the degree of non-locality in the three languages.

### 5 Analysis

Table 4 shows error rates (ER) per word class. The fMalt parsers outperform both baselines across all classes, even those that the baseline is already handling well, such as determiners. This confirms that the parsers indeed learn more than just the simplest attachments. Looking at individual classes, we first notice a comparatively low ER for determiners. This is especially clear in Dutch (7% ER), and suggests it is no coincidence that the attach-right baseline strategy performs best here. It might be ex-

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5 http://www.di.unito.it/~tutreeb

6 Specifically, we performed ad hoc tree transformations to obtain unified analyses of NPs (nominal head rather than DP), PPs (embedded NP rather than flat), coordination (right-branching) and subordination (subordinate clause headed by complementizer rather than verb). In contrast to the transformations reported in Hwa et al. (2005), the changes we make to the trees are mere reformulations of the structure that is already encoded in the original trees. We do not build additional structure.

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| lang. | baseline\(_{\text{unsup}}\) | baseline\(_{\text{pos}}\) | fMalt |
|-------|-----------------|-----------------|-------|
| nl    | 9.45            | 4.31            | 2.20  |
| de    | 9.07            | 4.06            | 2.23  |
| it    | 9.85            | 4.44            | 2.22  |

Table 2: Fragmentation in the training samples.

| lang. | baseline\(_{\text{unsup}}\) | baseline\(_{\text{pos}}\) | fMalt |
|-------|-----------------|-----------------|-------|
| nl    | 27.63           | 41.52           | 66.58 |
| de    | 7.13            | 39.21           | 61.67 |
| it    | 50.06           | 57.72           | 66.40 |

Table 3: UAS of baselines and fMalt.

| lang. | baseline\(_{\text{unsup}}\) | baseline\(_{\text{pos}}\) | fMalt |
|-------|-----------------|-----------------|-------|
| nl    | 77.44           | 75.92           | 33.46 |
| verb  | 93.03           | 58.50           | 38.45 |
| prep  | 100.00          | 38.18           | 34.44 |
| det   | 27.23           | 27.23           | 7.44  |
| adj   | 31.70           | 31.29           | 27.38 |
| adv   | 70.56           | 70.83           | 59.39 |
| comp  | 98.18           | 98.15           | 63.27 |
| other | 100.00          | 98.03           | 67.89 |
| de    | 97.58           | 68.77           | 35.47 |
| verb  | 57.90           | 66.16           | 34.19 |
| prep  | 98.96           | 67.96           | 47.79 |
| det   | 100.00          | 35.64           | 23.98 |
| adj   | 99.54           | 30.28           | 20.66 |
| adv   | 100.00          | 61.98           | 61.20 |
| comp  | 95.07           | 54.59           | 43.60 |
| other | 96.53           | 81.29           | 69.38 |
| it    | 46.34           | 46.03           | 34.65 |
| verb  | 48.07           | 47.98           | 35.55 |
| prep  | 34.63           | 35.05           | 30.53 |
| det   | 95.52           | 18.84           | 13.29 |
| adj   | 31.36           | 31.67           | 29.19 |
| adv   | 73.38           | 73.36           | 52.59 |
| comp  | 78.30           | 65.71           | 54.06 |
| other | 69.08           | 68.97           | 68.60 |

Table 4: Error rates across word classes.
explained by an interaction of a predominance of determiners in the test set and their consistent NP-initial realization. Similarly, adjectives are attached with relative accuracy in all languages because there is little variation in their positioning with respect to the head (left in Dutch and German; right in Italian).

The projection of the subject dependency relation from English to Italian is an interesting test case for the ability to deal with syntactic differences that go along with a (moderate) divergence in the token distribution. As is well-known, Italian is a pro-drop language, i.e., pronominal subjects can be left unrealized. Under perfect alignment, projecting from English to Italian is unproblematic, since the English pronominal subject has no Italian correspondence, so the subject arc is correctly deleted in the projected dependency tree. However, statistical word alignment is known to be error-prone for closed class words such as pronouns. So the English pronoun is occasionally incorrectly aligned with other material.

A further complication comes from the fact that overt Italian subjects can appear in two alternative positions: the standard preverbal position and the sentence-final position. So the English pronoun is occasionally incorrectly aligned with other material.

Table 6: Proportion of subjects at various stages.

| data set  | en   | nl   | de   | it   |
|-----------|------|------|------|------|
| original  | 8.39 |      |      |      |
| projected | 7.47 | 7.19 | 5.50 |      |
| training  | 12.04| 12.58| 8.87 |      |
| application (test) | 6.81 | 8.03 | 3.96 |      |
| gold (test) | 6.04 | 7.96 | 6.14 |      |

Table 5: Precision and recall for subjects.

| source language | precision | recall |
|-----------------|-----------|-------|
| original        | 7.30      | 7.21  |
| projected       | 7.47      | 7.19  |
| training        | 12.04     | 12.58 |
| application (test) | 6.81 | 8.03 | 3.96 |      |
| gold (test)     | 6.04      | 7.96  | 6.14 |

Table 7: UAS of source language parsers, on automatically assigned POS tags.

Table 6: Proportion of subjects at various stages.

6 Impact of Source Parser Quality

So far, we have varied only the target language. But the choice of the source language is at least as important, because it determines the range and quality of resources that can form the basis of projection. In this section, we present experiments with German as an alternative source language, and we investigate the extent to which improvements of the SL parser carry over to the projected TL parser.

Like the English parser used in the experiments above, the German parser is a MaltParser, trained on the German Tiger Treebank (Brants et al., 2002). The performance of both parsers is given in Table 7 (labeled ‘baseline’). Note that the parsers are trained and tested with POS tags assigned automatically by the TreeTagger, since that is the setting we face when parsing the Europarl source data.

The performance of these enhanced parsers is shown in Table 7 (labeled ‘combined’). For both languages, the improvement is significant (en: p<0.01; de: p<<0.01) according to Dan Bikel’s randomized parsing evaluation comparator.7 Section 6.1 describes the combined source parsers in more detail. We then present projection experiments based on these source parsers in section 6.2.

7http://www.cis.upenn.edu/~dbikel/software.html#comparator.
6.1 Improved SL Parsers: Parser Stacking

We boost the performance of our source parsers by means of a technique of parser stacking very similar to the work on combination of dependency parsers in Nivre and McDonald (2008). Parser stacking enables one parser to learn from the output of another parser, in addition to the gold standard treebank annotations. In our case, the data-driven parser is supplemented by the output of a large-scale LFG grammar. In order to include the additional information in the training data, the treebank employed for training of the data-driven baseline parser is parsed with the XLE platform (Crouch et al., 2008), and the output f-structures (shown on the left of Figure 3) are subsequently converted to dependency structures, so that we have two parallel versions of the treebank – one gold standard and one with LFG-annotation (right side of Figure 3, bottom resp. top). We extend the gold standard treebank with the additional information from the corresponding LFG analysis and train the data-driven parser on the enhanced data set. For a detailed description, the reader is referred to Øvrelid et al. (2010).

Treebank representation. Table 8 shows the enhanced treebank version of the example sentence Ich halte das damalige Verhalten für richtig.

Another difference (not evident from the example) arises from the treatment of auxiliary verbs. The treebank annotations always treat the finite verb as the matrix verb, hence the lexical verb in an auxiliary construction is a dependent of the auxiliary. This stands in sharp contrast to the analysis of the grammars, which do not represent the auxiliary explicitly, but rather encode its contribution in the form of features of the main verb. Subjects and certain modifiers are consequently attached to different nodes in the two annotation schemes. There is of course also a difference in quality, since the grammar output has not been manually corrected. It is thus bound to contain errors, which will certainly add noise to the training data provided for the data-driven parser. That being said, we may also expect that the errors made by the two parsers are qualitatively different due to the fundamental differences in the parser – the grammar-driven parser will typically suffer from missing rules or lexical entries, whereas the data-driven parser will be constrained by the types of structures found in the training data.

Feature model. Finally, in order for the data-driven parser to make use of the grammar-driven analyses both during learning and parsing, we make some modifications to the standard feature model. We extend the feature model of the baseline parsers using the technique employed in Nivre and McDonald (2008) which allows us to add the predictions of another parser as features for the current parser. In this case we want to add the dependency structure proposed by the LFG grammar as a feature for our data-driven parser. The extended treebank representation (Table 8) readily allows us to refer to the head (xhead) and dependency relation (xdep). Thus, in each parse configuration, we add the proposed dependency relation for the token on top of the stack and for the next input token as features for the parser. We furthermore add a feature which indicates whether there is an arc between these two tokens in the dependency structure (Left, Right, or None). In order to incorporate further information supplied by the
LFG grammars we extend the feature models with an additional, static attribute which reads the range of additional linguistic features (FEATS). In addition, the German model refers to the POS tag assigned by the grammar (XPOS).

### Parser Accuracy

As mentioned above, the combined parsers produce significantly more accurate analyses. The English parser is improved by 0.21%, the German one by 1.14% UAS (Table 7). In the following, we assess the impact of these improvements on the projected parsers.

#### 6.2 Experiments

For each source language (English and German), we parsed sentences with both the baseline parser and the improved combined parser, and then projected the resulting parse trees to Dutch. Table 9 shows the UAS of Dutch fMalt parsers trained on 50,000 words of the respective projections. Comparing first the SLs, irrespective of the particular parser used, we find that we obtain better results with the German source parsers than with the English ones, despite the fact that the latter achieves a substantially higher attachment score (cf. Table 7). This apparent discrepancy can be explained by the relation of SL and TL translations, which may simply be closer between German and Dutch. In addition, it is well known that German is generally tough to parse (Kübler et al., 2006), but error-prone attachment decisions may be resolved or eliminated in the Dutch translations. We conclude from our results that “closeness” of SL and TL translations is likely to outweigh potential performance deficits of the particular SL parser. However, when the SL is fixed we see that performance improvements of the source parser can carry over to the projected TL parser. While the difference between fMalt projected from the baseline vs. the combined parser is not significant\(^8\) for English (\(\Delta0.01%\) UAS), we observe a significant (\(p<0.05\)) difference of \(\Delta0.71%\) for German.

### 7 Related Work

Annotation projection has been applied to many different NLP tasks. On the word or phrase level, these include morphological analysis, part-of-speech tagging and NP-bracketing (Yarowsky et al., 2001), temporal analysis (Spreyer and Frank, 2008), semantic lexicon induction (Padó and Lapata, 2005), or semantic role labeling (Padó and Lapata, 2006). In the word level tasks, labels can technically be introduced in isolation, without reference to the rest of the annotation. This means that unreliable data points can be discarded by aggressive filters, and conversely, that gaps in the projection (e.g., due to missing alignments) do not affect the wellformedness of other projected material in the same sentence. The annotation of NP brackets, temporal expressions or semantic roles, on the other hand, is more constrained in that the target structures may encompass multiple words, and the plain projections are typically completed to form contiguous spans.

On the sentence level, Hwa et al. (2005) were the first to project dependency trees from English to Spanish and Chinese. They identify unreliable target parses (as a whole) on the basis of the number of unaligned or over-aligned words. In addition, they manipulate the trees by inserting extra nodes to accommodate for non-isomorphic sentences. Systematic non-parallelisms between source and target language are then addressed by hand-crafted rules in

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\(^8\)A cross-validation scheme is not applicable with a monolingual test set. Further complication arises from the fact that the underlying source language parsers differ not only in terms of accuracy, but indirectly lead to projected training sets that do not necessarily contain the same sentences. This is because different parse trees may be fragmented differently when projected to the target language, and fragmentation is the criterion for the training data selection. We therefore perform significance testing using the t-test over the results of training on 10 random samples from the respective training data. We report the mean of these results.
a post-projection step. These rules correct projected dependencies and introduce new ones so as to build a connected tree. The manually designed transformations account for an enormous increase in the unlabeled f-score of the direct projections, from 33.9 to 65.7 for Spanish and from 26.3 to 52.4 for Chinese. But they need to be designed anew for every target language, which is time-consuming and, more importantly, requires knowledge of that language. By contrast, our approach of training directly on fragmented dependency trees allows us to remain agnostic about dependencies that cannot be transferred reliably from the source language. While fMalt itself is not capable of inducing structure that has never been observed in the training examples, it should be straightforward to combine fMalt with machine learning schemes of various degrees of supervision, allowing them to be recovered under projection.

8 Conclusion

We have shown that training on tree fragments is an inexpensive and effective way to obtain parsers for a diverse range of languages. Analysis of the annotations projected from English reveals that the distance between SL and TL has little influence on fragmentation. Of course, this depends crucially on the word alignment, which ideally encapsulates language-specific traits such as word order differences, allowing them to be recovered under projection. We have also demonstrated that improvements of the source language parser, e.g., by means of parser stacking, directly carry over to the projected target language parser. This means that projection approaches can benefit immediately from current advances in monolingual dependency parsing. Although the projected parsers cannot close the gap to state-of-the-art supervised treebank parsers in terms of accuracy, they clearly outperform the POS-based baseline. In other words, while parser projection cannot fully replace manual annotation, it does provide a cheap and efficient basis for manual or (semi-)automatic correction. This, in turn, promotes substantial speed-ups for the creation of large-scale annotated resources.

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