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# Protocol for the economic evaluation of the China Salt Substitute and Stroke Study (SSaSS)

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Protocol for the economic evaluation of the China Salt Substitute and Stoke Study (SSaSS)

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ABSTRACT

Introduction

Cardiovascular diseases (CVD) are the leading causes of death and disability worldwide. Reducing dietary salt consumption is a potentially cost-effective way to reduce blood pressure and the burden of CVD. To date, economic evidence has focused on sodium reduction in food industry or processed food with blood pressure as the primary outcome. This study protocol describes the planned within trial economic evaluation of a low-sodium salt substitute intervention designed to reduce the risk of stroke in China.

Methods and analyses

The economic evaluation will be conducted alongside the Salt Substitute and Stroke Study (SSaSS): a five-year large scale, cluster randomised controlled trial. The outcomes of interest are quality of life measured using the EuroQol-5-Dimensions (EQ-5D-5L) and major adverse cardiovascular events. Costs will be estimated from a government perspective and will be sought from the routinely collected data available within the New Rural Cooperative Medical Scheme (NCMS). Cost-effectiveness and cost-utility analyses will be conducted, resulting in the incremental cost-effectiveness ratio expressed as cost per cardiovascular event averted and cost per quality-adjusted life year gained respectively.

Ethics and dissemination

The trial received ethics approval from the University of Sydney Ethics Committee (2013/888) and Peking University Institutional Review Board (IRB00001052-13069). Informed consent was obtained from each study participant. Findings of the economic evaluation will be published in a peer-reviewed journal and presented at international conferences.

Trial registration number

The trial was registered in the ClinicalTrials.gov database on March 12, 2014 (registration number: NCT02092090).
Article Summary

Strengths and limitations of this study

- This study will provide policymakers with valuable economic evidence based on a large-scale, cluster randomised controlled trial.
- First economic evaluation assessing the effects of salt substitution on morbidity and mortality of cardiovascular disease rather than blood pressure.
- Routinely collected data from the New Rural Cooperative Medical Scheme will be used in estimation of the medical costs.
- A potential limitation is the adherence to salt substitute observed in the trial may not reflect the real-world situation.
INTRODUCTION

Cardiovascular diseases (CVD) are the leading causes of death and disability worldwide, accounting for 17.8 million deaths and 35.7 million years lived with disability (YLDs) in 2017\(^1\)\(^2\). Currently, ischemic heart disease (IHD) and stroke are the top two causes of CVD related health lost globally and this ranking is predicted to remain the same by 2040\(^3\). Specifically, it was estimated that IHD and all types of stroke caused 8.9 and 6.2 million deaths; 5.3 and 18.7 million YLDs in 2017, respectively\(^1\)\(^2\). The global economic impact of CVD may be catastrophic in the absence of effective preventive interventions\(^3\) and finding cost-effective interventions, particularly in resource constrained low- and middle-income country settings is imperative to reduce the burden of CVD.

High blood pressure is the leading risk factor for CVD-related morbidity and mortality and is one of the most important modifiable risk factors for CVD prevention\(^4\). According to a recent systematic review and meta-analysis of large scale randomised controlled trials\(^5\), every 10 mmHg reduction in systolic blood pressure can reduce 20% relative risk for all major cardiovascular events and reduce corresponding 17% for coronary heart disease, 27% for stroke and 13% for all-cause mortality.

It was estimated that high dietary intake of sodium caused 3 million deaths and 70 million disability-adjusted life-years (DALYs) globally in 2017\(^6\). The positive effects of lowering dietary sodium intake on reduction of blood pressure are well documented\(^7\)\(^8\). It is anticipated that lowering sodium could likely prevent CVD through reducing blood pressure, but there has been no high-quality randomised clinical trial examining the direct effects of sodium reduction on vascular events and the association between them continues to be debated\(^9\)\(^10\). On the other hand, increasing potassium intake also reduces blood pressure and prior studies in rural China has proven that using salt substitutes can lower blood pressure markedly\(^11\)\(^12\). Therefore, the Salt Substitute and Stroke Study (SSaSS) is designed to investigate the joint effects of sodium reduction and potassium increase through a sodium-reduced, potassium-enriched salt substitute on the risk of stroke. To date, there are no economic evaluations that have been conducted alongside a trial that has been powered to detect changes in CVD events (fatal or non-fatal stroke, acute coronary syndrome) and CVD deaths. The economic evaluations that have so far been conducted have focused on sodium substitution in processed foods\(^13\)\(^14\), and have looked at reductions in blood pressure primarily\(^15\)\(^16\). The health economic effects of salt
substitution within daily home-cooking on prevention of CVD events remain unclear. However, a recent modelling study suggested the blood pressure lowering effects of such a nationwide salt substitution intervention could prevent around 460,000 cardiovascular disease (CVD) deaths each year in China, including 208,000 due to stroke and 175,000 due to heart disease. This paper presents the protocol for the economic evaluation of the SSaSS trial, which will address the question of whether using a sodium-reduced, potassium-enriched salt substitute is a cost-effective intervention for the prevention of fatal and nonfatal stroke, total major cardiovascular events and total mortality in a high-risk population, compared with the use of usual salt.

METHOD

The Salt Substitute and Stroke Study

SSaSS is a 5-year large-scale cluster randomised trial, which involves 20,996 individuals of 600 villages (5 provinces) in rural China. Full details of the design and baseline characteristics of the trial have been published separately. The trial started in July 2014 and the end-of-trial follow-up was completed in August 2020.

Study population

Based on a high prevalence of hypertension and stroke and home cooking dietary pattern, a total of 600 villages in 5 provinces (Liaoning, Shanxi, Hebei, Shaanxi and Ningxia) in north China were selected for the trial. Two counties from each province were selected based on the prior collaboration and their willingness to participate and sixty villages in each of the 10 counties were chosen. In each village, about 35 individuals at high risk of stroke with either (1) a history of stroke; or (2) aged 60 years or older with uncontrolled high blood pressure; and (3) contactable by telephone directly or through a nominated friend or relative, were recruited. Participants were ineligible based on any of the following conditions at baseline assessment: (1) participant or family member was taking potassium-sparing diuretic; (2) participant or family member was taking potassium supplement; (3) participant or family member had serious renal impairment; (4) participant or family member had other reason for concern about using salt substitute; (5) participant ate most meals outside the home; (6) participant was not expected to live longer than 6 months from the date of baseline assessment by the village doctor.
**Intervention and control**

After participant enrolment and completion of the baseline survey, randomization was conducted through stratification at the county level and a 1:1 allocation of villages to the sodium reduction program or control. Participants in the intervention villages were provided with reduced-sodium salt substitute for free to replace all use of regular salt at home among the whole family. Participants were also recommended to minimise the total amount of salt substitute used and provided oral, written booklets and other reminders (e.g. cooking apron) to promote the potential benefits of using salt substitute. In the control villages, participants continued their use of regular salt and were provided with advice about stroke prevention and salt reduction only at trial commencement.

**Economic analysis**

A within-trial economic evaluation of the SSaSS will be performed from a government perspective, considering costs and outcomes relevant to future policy making, e.g. subsidy for salt substitute. A cost-effectiveness analysis (CEA) and cost-utility analysis (CUA) will be conducted outlining the changes in outcomes and costs between the intervention and control conditions over the trial period. The resulting incremental cost-effectiveness ratio (ICER) will be expressed as cost per cardiovascular event averted for CEA and cost per quality-adjusted life year (QALY) gained for CUA. Cost and outcomes will be discounted using 5% annual rate following Chinese guidelines for pharmacoeconomic evaluations.

**Measure of outcome**

The primary measure of effectiveness for CEA will be stroke and other major adverse cardiac events averted. This information was collected every 6 months through face-to-face interview with participants in the first two years; then through routinely collected data from the health insurance registry as well as the mortality surveillance system. Additionally, all the events collected were adjudicated by an independent end-point event adjudication committee with using supplemental hospital records.

Health-related quality of life was measured using the EuroQol-5-Dimensions (EQ-5D-5L). The questionnaire comprises 5 dimensions: mobility, self-care, usual activities, pain/discomfort...
and anxiety/depression and each dimension is described at 5 levels: no, slight, moderate, severe and extreme problems. Alongside the process indicators survey, EQ-5D-5L surveys were completed by a subset of the trial population at baseline, 12-month, 24-month, 36-month, 48-month follow-up; and by all alive participants at end-of-trial (60-month) follow-up. Responses to the EQ-5D-5L will be scored using preference value sets developed for the Chinese population, which will convert the five responses into a single preference-based index value, where a score of 1 represents perfect health and 0 indicates the dead health state. For participants who did not take part in the survey at each measurement point, EQ-5D-5L values will be imputed using a matching process based on gender, age, province and history of disease. QALYs gained over the trial period will be estimated for each individual by calculating the area under the quality of life curve.

Measure of cost and resource use

Costs in both trial arms will be estimated from a government perspective, using a combination of trial and administratively linked data records. The salt substitute was provided free of charge for each participant’s household in the trial, however, in consideration of the real-world setting, the cost of salt substitute will be incorporated into the analysis. Costs of the salt substitute will be calculated according to market prices and mean population daily salt usage amount. Healthcare costs associated with inpatient and outpatient services will be collected using data from the New Rural Cooperative Medical Scheme (NCMS). The NCMS is the universal health insurance programme for rural residents in China and began in 2003. In 2012, its coverage reached 98% of rural population and the average reimbursement rate for inpatient and outpatient service was 55% and 50%, respectively. Each participant’s aggregate service use cost will be valued from the total of instances of service use over the duration of the trial period.

Sensitivity analyses

Sensitivity analyses will be undertaken to explore the impact of changes on different parameters to the robustness of the cost-effectiveness results. Changes in the cost of the salt substitute, discount rate (0-8%), health care costs and health-related quality of life will be explored using one-way sensitivity analysis. Bootstrapping at an individual level will be conducted to estimate the joint uncertainty in the distribution of costs and effectiveness and depicted in a cost-effectiveness plane.
Approvals

The SSaSS trial received ethics approval from the University of Sydney Ethics Committee (2013/888) and Peking University Institutional Review Board (IRB00001052-13069). The trial was registered in the ClinicalTrials.gov database on March 12, 2014 (registration number: NCT02092090).

DISCUSSION

This study will evaluate the cost-effectiveness of salt reduction via the use of a salt substitute alongside a large cluster randomised trial in China. SSaSS is so far the biggest trial to look at the effects of salt reduction on the risk of cardiovascular disease around the world and the results will address the gap of scientific evidence significantly. Existing economic evaluation studies of salt substitution primarily focused on the blood pressure effects, while, to the best of our knowledge, this is the first economic evaluation assessing the effects of salt substitution on morbidity and mortality of cardiovascular disease. Furthermore, routinely collected data from the NCMS that effectively provides longitudinal records for individuals will be used in estimation of the medical costs.

A potential limitation is that the increased compliance in using salt substitute observed in the trial may not reflect the counterparts in a real-world setting. In addition, most of quality of life data were collected from a random subset (10% of clusters) of trial population during the 4 mid-term follow-ups. Nevertheless, imputation strategy will be adopted for participants without the data. These limitations might potentially increase the uncertainty around the effect size found in the trial.

Overall, this project has the potential to determine whether the use of a sodium-reduced, potassium-enriched salt substitute in daily life can be cost effective in the prevention of stroke and major cardiovascular diseases for a high-risk population. Evidence of cost-effectiveness can be used to advocate for a relevant and effective salt subsidy policy and the promotion of this new salt reduction strategy to other countries or areas with similar public health issues.
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Data Monitoring Committee: Professor Sir Rory Collins (Chair), Professor Zhengming Chen, Professor Jonathan Emberson, Professor Peter Sandercock, Professor Paul Whelton, and Ms Sandrine Stepien.

FOOTNOTES

Authors’ contributions

KL, TL and LS conceived the protocol. MT and BN provided guidance from the trial perspective. All authors commented on the drafting of the protocol and approved the final manuscript.

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Competing interests

None declared. Between 24 months and 48 months, salt substitute in SSaSS has been provided by Jiangsu Sinokone Technology Co. Ltd for free. The company does not participate in any aspect of the study design or implementation.
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ABSTRACT

Introduction

Cardiovascular diseases (CVD) are the leading causes of death and disability worldwide. Reducing dietary salt consumption is a potentially cost-effective way to reduce blood pressure and the burden of CVD. To date, economic evidence has focused on sodium reduction in food industry or processed food with blood pressure as the primary outcome. This study protocol describes the planned within trial economic evaluation of a low-sodium salt substitute intervention designed to reduce the risk of stroke in China.

Methods and analyses

The economic evaluation will be conducted alongside the Salt Substitute and Stroke Study (SSaSS): a five-year large scale, cluster randomised controlled trial. The outcomes of interest are quality of life measured using the EuroQol-5-Dimensions (EQ-5D-5L) and major adverse cardiovascular events. Costs will be estimated from a healthcare system perspective and will be sought from the routinely collected data available within the New Rural Cooperative Medical Scheme (NCMS). Cost-effectiveness and cost-utility analyses will be conducted, resulting in the incremental cost-effectiveness ratio expressed as cost per cardiovascular event averted and cost per quality-adjusted life year gained respectively.

Ethics and dissemination

The trial received ethics approval from the University of Sydney Ethics Committee (2013/888) and Peking University Institutional Review Board (IRB00001052-13069). Informed consent was obtained from each study participant. Findings of the economic evaluation will be published in a peer-reviewed journal and presented at international conferences.

Trial registration number

The trial was registered in the ClinicalTrials.gov database on March 12, 2014 (registration number: NCT02092090).
1 Article Summary

2 Strengths and limitations of this study

• This study will provide policymakers with valuable economic evidence based on a large-scale, cluster randomised controlled trial.

• First economic evaluation assessing the effects of salt substitution on morbidity and mortality of cardiovascular disease rather than blood pressure.

• Routinely collected data from the New Rural Cooperative Medical Scheme will be used in estimation of the medical costs.

• A potential limitation is the adherence to salt substitute observed in the trial may not reflect the real-world situation.
INTRODUCTION

Cardiovascular diseases (CVD) are the leading causes of death and disability worldwide, accounting for 17.8 million deaths and 35.7 million years lived with disability (YLDs) in 2017. Currently, ischemic heart disease (IHD) and stroke are the top two causes of CVD related health loss globally and this ranking is predicted to remain the same by 2040. Specifically, it was estimated that IHD and all types of stroke caused 8.9 and 6.2 million deaths; 5.3 and 18.7 million YLDs in 2017, respectively. The global economic impact of CVD may be catastrophic in the absence of effective preventive interventions and finding cost-effective interventions, particularly in resource constrained low- and middle-income country settings is imperative to reduce the burden of CVD.

High blood pressure is the leading risk factor for CVD-related morbidity and mortality and is one of the most important modifiable risk factors for CVD prevention. According to a recent systematic review and meta-analysis of large scale randomised controlled trials, every 10 mmHg reduction in systolic blood pressure can reduce 20% relative risk for all major cardiovascular events and reduce corresponding 17% for coronary heart disease, 27% for stroke and 13% for all-cause mortality.

It was estimated that high dietary intake of sodium caused 3 million deaths and 70 million disability-adjusted life-years (DALYs) globally in 2017. The positive effects of lowering dietary sodium intake on reduction of blood pressure are well documented. It is anticipated that lowering sodium could likely prevent CVD through reducing blood pressure, but there has been no high-quality randomised clinical trial examining the direct effects of sodium reduction on vascular events and the association between them continues to be debated. On the other hand, increasing potassium intake also reduces blood pressure and prior studies in rural China have proven that using salt substitutes can lower blood pressure markedly. Therefore, the Salt Substitute and Stroke Study (SSaSS) is designed to investigate the joint effects of sodium reduction and potassium increase through a sodium-reduced, potassium-enriched salt substitute on the risk of stroke. To date, there are no economic evaluations that have been conducted alongside a trial that has been powered to detect changes in CVD events (fatal or non-fatal stroke, acute coronary syndrome) and CVD deaths. The economic evaluations that have so far been conducted have focused on sodium substitution in...
processed foods, and have looked at reductions in blood pressure primarily. The health economic effects of salt substitution within daily home-cooking on prevention of CVD events remain unclear. However, a recent modelling study suggested the blood pressure lowering effects of such a nationwide salt substitution intervention could prevent around 460,000 cardiovascular disease (CVD) deaths each year in China, including 208,000 due to stroke and 175,000 due to heart disease. This paper presents the protocol for the economic evaluation of the SSaSS trial, which will address the question of whether using a sodium-reduced, potassium-enriched salt substitute is a cost-effective intervention for the prevention of fatal and nonfatal stroke, total major cardiovascular events and total mortality in a high-risk population, compared with the use of usual salt.

**METHOD**

**The Salt Substitute and Stroke Study**

SSaSS is a 5-year large-scale cluster randomised trial, which involves 20,996 individuals of 600 villages (5 provinces) in rural China. Full details of the design and baseline characteristics of the trial have been published separately. The trial started in July 2014 and the end-of-trial follow-up was completed in August 2020.

**Study population**

Based on a high prevalence of hypertension and stroke and home cooking dietary pattern, a total of 600 villages in 5 provinces (Liaoning, Shanxi, Hebei, Shaanxi and Ningxia) in north China were selected for the trial. Two counties from each province were selected based on the prior collaboration and their willingness to participate and sixty villages in each of the 10 counties were chosen. In each village, about 35 individuals at high risk of stroke with either (1) a history of stroke; or (2) aged 60 years or older with uncontrolled high blood pressure; and (3) contactable by telephone directly or through a nominated friend or relative, were recruited. Participants were ineligible based on any of the following conditions at baseline assessment: (1) participant or family member was taking potassium-sparing diuretic; (2) participant or family member was taking potassium supplement; (3) participant or family member had serious renal impairment; (4) participant or family member had other reason for concern about using salt substitute; (5) participant ate most meals outside the home; (6) participant was not expected to live longer than 6 months from the date of baseline assessment by the
Intervention and control

After participant enrolment and completion of the baseline survey, randomisation was conducted through stratification at the county level and a 1:1 allocation of villages to the salt substitution program or control. Participants in the intervention villages were provided with reduced-sodium salt substitute for free to replace all use of regular salt at home among the whole family. Participants were also recommended to minimise the total amount of salt substitute used and provided oral, written booklets and other reminders (e.g. cooking apron) to promote the potential benefits of using salt substitute. In the control villages, participants continued their use of regular salt and were provided with advice about stroke prevention and salt reduction only at trial commencement.

Economic analysis

A within-trial economic evaluation of the SSaSS will be performed from a healthcare system perspective, considering costs and outcomes relevant to future policy making, e.g. subsidy for salt substitute. A cost-effectiveness analysis (CEA) and cost-utility analysis (CUA) will be conducted outlining the changes in outcomes and costs between the intervention and control conditions over the trial period. The resulting incremental cost-effectiveness ratio (ICER) will be expressed as cost per cardiovascular event averted for CEA and cost per quality-adjusted life year (QALY) gained for CUA. Cost and outcomes will be discounted using 5% annual rate following Chinese guidelines for pharmacoeconomic evaluations.

Measure of outcome

The primary measure of effectiveness for CEA will be stroke and other major adverse cardiac events averted. This information was collected every 6 months through face-to-face interview with participants in the first two years; then through routinely collected data from the health insurance registry as well as the mortality surveillance system. Additionally, all the events collected were adjudicated by an independent end-point event adjudication committee with support from medical records.
Health-related quality of life was measured using the EuroQol-5-Dimensions (EQ-5D-5L). The questionnaire comprises 5 dimensions: mobility, self-care, usual activities, pain/discomfort and anxiety/depression and each dimension is described at 5 levels: no, slight, moderate, severe and extreme problems. Alongside the process indicators survey, EQ-5D-5L surveys were completed by a subset of the trial population at baseline, 12-month, 24-month, 36-month, 48-month follow-up; and by all alive participants at end-of-trial (60-month) follow-up. Responses to the EQ-5D-5L will be scored using preference value sets developed for the Chinese population, which will convert the five responses into a single preference-based index value, where a score of 1 represents perfect health and 0 indicates the dead health state. For participants who did not take part in the survey at each measurement point, EQ-5D-5L values will be imputed using a matching process based on gender, age, province and history of disease. QALYs gained over the trial period will be estimated for each individual by calculating the area under the quality of life curve.

**Measure of cost and resource use**

Costs in both trial arms will be estimated from a healthcare system perspective, using a combination of trial and administratively linked data records. The salt substitute was provided free of charge for each participant’s household in the trial, however, in consideration of the real-world setting, the cost of salt substitute will be incorporated into the analysis. Costs of the salt substitute will be calculated according to market prices and mean population daily salt usage amount. Healthcare costs associated with inpatient and outpatient services will be collected using data from the New Rural Cooperative Medical Scheme (NCMS). The NCMS is the universal health insurance programme for rural residents in China and began in 2003. In 2012, its coverage reached 98% of the rural population and the average reimbursement rate for inpatient and outpatient service was 55% and 50%, respectively. Routinely collected data from the NCMS provides detailed information such as diagnosis, reimbursement and out-of-pocket payment for both inpatient and outpatient services at all levels. Each participant’s aggregate service use cost of relevant outcomes will be valued from the total of instances of service use over the duration of the trial period.

**Sensitivity analyses**

Sensitivity analyses will be undertaken to explore the impact of changes on different
parameters to the robustness of the cost-effectiveness results. Changes in the cost of the salt
substitute, discount rate (0-8%) \(^{20}\), health care costs and health-related quality of life will be
explored using one-way sensitivity analysis. Bootstrapping at an individual level will be
conducted to estimate the joint uncertainty in the distribution of costs and effectiveness and
depicted in a cost-effectiveness plane. A cost-effectiveness acceptability curve \(^{24}\) will be
presented to show the probability of cost-effectiveness of the bootstrapped samples for a
range of willingness-to-pay values.

Patient and public involvement

No patient involved.

Approvals

The SSaSS trial received ethics approval from the University of Sydney Ethics Committee
(2013/888) and Peking University Institutional Review Board (IRB00001052-13069). The trial
was registered in the ClinicalTrials.gov database on March 12, 2014 (registration number:
NCT02092090).

DISCUSSION

This study will evaluate the cost-effectiveness of salt reduction via the use of a salt substitute
alongside a large cluster randomised trial in China. SSaSS is so far the biggest trial to look at
the effects of salt reduction on the risk of cardiovascular disease around the world and the
results will address the gap of scientific evidence significantly. Existing economic evaluation
studies of salt substitution primarily focused on the blood pressure effects, while, to the best
of our knowledge, this is the first economic evaluation assessing the effects of salt substitution
on morbidity and mortality of cardiovascular disease. Furthermore, routinely collected data
from the NCMS that effectively provides longitudinal records for individuals will be used in
estimation of the medical costs.

A potential limitation is that the increased compliance in using salt substitute observed in the
trial may not reflect the counterparts in a real-world setting. Also, NCMS does not reach 100%
coverage and participants may drop out from the NCMS. However, the missing events can be complemented by the face-to-face follow up. In addition, most of quality of life data were collected from a random subset (10% of clusters) of trial population during the 4 mid-term follow-ups. Nevertheless, the collected data will be compared with utility values from different sources of literature, which will inform the imputation strategy for participants without the data. These limitations might potentially increase the uncertainty around the effect size found in the trial.

Overall, this project has the potential to determine whether the use of a sodium-reduced, potassium-enriched salt substitute in daily life can be cost effective in the prevention of stroke and major cardiovascular diseases for a high-risk population. Evidence of cost-effectiveness can be used to advocate for a relevant and effective salt subsidy policy and the promotion of this new salt reduction strategy to other countries or areas with similar public health issues.

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Endpoint Adjudication Committee: Dr Weiping Sun, Dr Junyan Liu, Dr Fang Liu, Dr Yun Jiang, Dr Rong Hu, Dr Yong Peng, Dr Jiehui Shan and Dr Jie Yu.

Data Monitoring Committee: Professor Sir Rory Collins (Chair), Professor Zhengming Chen, Professor Jonathan Emberson, Professor Peter Sandercock, Professor Paul Whelton, and Ms Sandrine Stepien.

FOOTNOTES

Contributorship statement

KL, TL and LS conceived the protocol for economic evaluation. MT and BN provided guidance from the trial perspective. KL wrote the first draft of the manuscript. MT, BN, YW, NL, PE, LY and DL contributed to conceptualisation and funding acquisition of the trial. LH, JY, YL, XY, XZ and KL contributed to data curation of the trial. MT, ZH, JShi, XF, JZ, YZhang, RZ, BZ, ZL, JSun, YZhao and YY contributed to local implementation, investigation and supervision of the trial.
All authors commented on the further drafts and approved the final version.

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**Competing interests**

None declared. Between 24 months and 48 months, salt substitute in SSaSS has been provided by Jiangsu Sinokone Technology Co. Ltd for free. The company does not participate in any aspect of the study design or implementation.
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