Determinants on COVID-19 Case Fatality Rates of Cities in China: A Logit-NB Hurdle Model Analysis

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Abstract

Background: The ongoing Coronavirus Disease 2019 (COVID-19), a global pandemic with high infectiousness and high mortality, has seriously threatened human health, life safety and caused enormous economic losses. This study investigates the influencing factors on the case fatality rate (CFR) of COVID-19 at the city level in China.

Methods: A logistic-negative binomial (Logit-NB) hurdle model is employed to examine the determinants on the probability of death and the value of CFR with COVID-19, based on confirmed cases and deaths by 13 March 2020 and 25 January 2021 at the city level in China and related environmental, demographic, and socioeconomic data.

Results: We found that the probability of death from COVID-19 will increase by 1% with 1 newly increased confirmed case and increase by 4% in response to a rise of 1 unit in the air quality index. CFR will feebly increase with the number of confirmed cases, with the estimator being 2.81E-05. As the number of doctors increases by 10,000, CFR will decrease by 0.18%. Each 1% increase in the humidity leads to a 0.02% decrease in CFR, and each 1-unit increase in the population density causes a 0.09% decline in CFR. The comparison between the two research periods confirms the robustness of the results.

Conclusions: The number of confirmed cases and the air quality are closely associated with the death probability, while the number of confirmed cases, the medical resources, the humidity, and the population density significantly affect the CFR. Furthermore, the air quality and population density stand out in the first wave of epidemic outbreak, while they become non-significant in the second wave.

Background

The ongoing coronavirus disease 2019 (COVID-19), as a rapidly spreading global pandemic, comes as a big blow to the world's economic and social development and has become a global health concern. Case fatality rate (CFR), known as the proportion of deaths from a kind of disease to the number of confirmed cases of this disease (the proportion of infected people who die), is an important indicator to measure the severity degree of the epidemic [1], as well as a reflection of the government capacity to prevent and control the epidemic [2]. Outbreaking in Wuhan, China, in January 2020, the virus rapidly spread through Hubei Province and the rest of China. It then became under control within 2 months, through stringent prevention and control measures taken by Chinese governments, such as lockdown, wearing face masks, self-quarantine, the detection and isolation of infected individuals, contact-tracing, social distancing, traffic restrictions, and community containment [3]. The virus COVID-19, however, is now affecting countries all over the world. As of 25 January 2021, 98,977,480 confirmed cases and 2,126,232 deaths worldwide, covering 224 countries and regions. Therefore, it is quite necessary to investigate determinants on COVID-19 CFRs of cities in China. China's experience in controlling the spread of the virus and reducing mortality can help inform other countries to better cope with the local epidemic outbreaks.
The fatality rate of COVID-19 is affected by multiple factors, including air pollution, climatic conditions, demographic characteristics, socioeconomic factors, and the controlling measures. Many scholars focus on the close association between air pollution and COVID-19 cases and mortality rates [4–10]. As an essential environmental factor, climatic conditions also influence the death rates of COVID-19 [11], mainly measured by temperature and air humidity [12–14]. Demographic characteristics have remarkable effects on the mortality of patients with COVID-19: age is the dominant factor; besides, gender, race, ethnicity, medical history (such as comorbidity and obesity), and neighborhood characteristics also play a significant role in determining the CFR [15–18]. The socioeconomic factors exert specific impacts on the COVID-19 spread, including income, unemployment, inequality, poverty, total population, population density, human mobility, medical resources [17, 19–21]. Finally, the government actions (such as containment measures, travel restrictions, and social distancing policies) prove to be effective in mitigating the spread of the disease and reducing the confirmed cases and deaths [22–24].

Scholars employ traditional statistical methods (such as multivariate and panel regression) to reveal the effects of demographic and clinical characteristics on the mortality of patients with COVID-19 [25–28]. At the regional level, scholars use GIS-based spatial analysis methods and spatial regression models to evaluate the impacts of environmental conditions, socioeconomic factors, demographic features (age, sexual, racial, and ethnic structure) on the spatial distribution of COVID-19 cases and deaths, based on the data of country level, state level, county level, or city level [16, 17, 20, 22, 29, 30].

The CFR of COVID-19 in China (4.79% on 25 January 2021) is more than double of the worldwide CFR (2.15% on 25 January 2021). One of reasons why China's CFR is so high may be that people knew little about the virus early in the epidemic. The studies on the determinants of China's COVID-19 mortality focus more on the individual level from patients' perspective in Wuhan of Hubei Province [28, 31–33]. There are relatively few studies at the city level in China, which mainly concern the impacts of air pollution, climatic factors, and medical resources [34–36]. It is significant to analyze the influence factors at the city level, as Chinese city governments have played an important role in taking timely measures to mitigate the spread of the epidemic. Besides environmental factors and medical factors, demographic characteristics and socioeconomic factors also need to be considered. Since China has successfully controlled the spread of the virus by 13 March 2020, the CFRs of COVID-19 in many cities outside Hubei Province are zero, and the zero-inflated models will better fit the data [37, 38]. This study employs a Logit-NB hurdle model to examine the determinants on COVID-19 CFRs of cities in China and provides evidence for responding to the public health crisis in the future. Specifically, we will address the following questions:

(1) Does the probability of death from COVID-19 and the CFR value belong to two different processes?

(2) If they are different processes, what are the respective determinants on them?

Methods
Data Collection

The data of COVID-19 cumulative confirmed cases and deaths are collected from the China National Health Commissions (CNHC, http://www.nhc.gov.cn) and the provincial Health Commissions by 13 March 2020 and 25 January 2021. The dataset covered 280 prefecture-level cities that have public data online. The case fatality rate (CFR -spring and CFR-2021) of COVID-19, as the dependent variable in this study, is measured by the number of deaths per 100 confirmed COVID-19 cases by 13 March 2020 and 25 January 2021, respectively. The cumulative CFR on 13 March 2020 and 25 January 2021, respectively, represents the first and second waves of COVID-19 spread. In the first wave of a massive disease outbreak, Chinese governments have no experiences in dealing with this epidemic, and it takes 2 months to control the spread of the virus; while in the second wave, Chinese governments have enough experiences in prevention and control measures, contributing to the rapid containment of sporadic outbreaks. The comparison of the confirmed cases and CFR between these 2 research periods can better reveal the influence factors on CFR in the whole process of responding to COVID-19 and the effect of disease controlling experiences. When the pandemic is still ongoing, the current CFR will not reflect the real situation because the infected people are likely to die in the future. Until 13 March 2020, however, the first wave of epidemic spread has been curbed in China, and the confirmed cases and deaths do not grow considerably. Between 13 March 2020 and 25 January 2021, the confirmed cases with COVID-19 have grown very slowly, not to mention the CFR. Therefore, the CFR is a reasonable indicator to measure the developing state of the epidemic. The CFR by 13 March 2020 varies significantly from city to city, with 65 non-zero CFR and 215 zero CFR. By 25 January 2021, the number of cities with non-zero CFR has increased to 68.

The spatial distribution of COVID-19 CFRs is shown in Fig. 1. As shown in Fig. 1a and Fig. 1b, the distribution at both times is similar. There exists significant spatial autocorrelation in CFR. The highest CFR values are mainly concentrated in Hubei Province cities, ranging from 2–7% on 13 March 2020. The spatial distribution of CFR in cities outside Hubei Province is relatively random and fluctuates considerably, ranging from 0 to 15%, due to a greater uncertainty of statistical inference caused by a smaller number of deaths. The confirmed cases in those cities are relatively smaller (even single digits), easily leading to extremely high CFR values (as shown in several spots of red color in Fig. 1). COVID-19 cases versus deaths in Hubei Province and other provinces are shown in Fig. 2. The slope in Fig. 2 represents the average CFR. The average CFR in Hubei Province has increased from 4.9–8.0%, while it decreased from 0.83–0.4% in other provinces. Cities in Hubei Province had much more cases and much higher CFR than other cities. There exists a significant linear relationship between the number of confirmed cases and death cases with a high value of $R^2$ in Hubei Province (Fig. 2a), illustrating that the CFR of each city in Hubei Province is consistent. The scatterplot of cities outside Hubei Province presents a roughly linear relationship, whereas a great disturbance on CFR emerges, resulting from the death cases ranging from 0 to 6.

Statistical analysis
Since the numbers of deaths in 215 out of 280 Chinese cities are zero in 2010, there exists an obvious zero-inflation problem in the regression of the CFR. However, extant studies on the CFR often ignored the zero-inflation problem, which led to statistical biases [37, 38]. There are two reasons for the zero-inflation problem:

(1) The epidemic in China was under control. 84% of the cases occurred in Hubei Province until 13 March 2020. The average confirmed cases inside and outside Hubei Province were 5916.6 and 46.3, respectively. In contrast, there were fewer cases distributed in other regions. The slope in Fig. 2b represents the CFR, which is 0.83%. Hence, the average deaths in those cities were 46.3*0.83%=0.38. The average number of deaths was less than 1, resulting in no deaths in most cities.

(2) Some cities did not have the medical conditions to receive critically ill patients. Many critically ill patients were sent to surrounding cities with better medical resources.

A hurdle model is employed in this research to deal with the zero-inflation problem. It is a two-part model that specifies one process for zero counts and another process for positive counts. The first part we used is a binary logistic model, which estimates the probability of attaining non-zero CFR predictors. The second part we used is a truncated negative binomial regression model, which estimates the predictors of the non-zero CFR values. The truncated negative binomial regression model will be better to explain the CFR, considering that the overdispersion problem may happen in a Poisson model. Therefore, the Logit-NB hurdle model employed in this study is demonstrated as follows:

The logistic part:

\[
\begin{align*}
\Pr(Y_1 = 0) &= \frac{1}{1 + e^{\beta x_i}} \\
\Pr(Y_1 = 1) &= \frac{e^{\beta x_i}}{1 + e^{\beta x_i}}
\end{align*}
\]  

(1)

The negative binomial part:

\[
\Pr(Y_2 = y_i | Y_2 > 0) = \frac{\Gamma(y_i + \alpha^{-1})}{\Gamma(y_i + 1) \Gamma(\alpha^{-1})} \left( \frac{1}{1 + \alpha e^{\beta x_i}} \right)^{\alpha^{-1}} \left( \frac{\alpha e^{\beta x_i}}{1 + \alpha e^{\beta x_i}} \right)^{y_i}
\]

(2)

where \(Y_1\) signifies the probability of whether there is a death case in a city, assigned as 1 when the answer is yes, otherwise 0; \(Y_2\) denotes the CFR; \(y_i\) is the dependent variable of city \(i\), \(x_i\) is the explanatory variable vector of city \(i\), \(\alpha\) and \(\beta\) are parameters. Various medical, environmental, demographic, and socioeconomic factors were compiled and considered explanatory variables in Table 1.

Based on the extant literature, the influence factors on the CFR with COVID-19 consist of medical factors, environmental factors, demographic characteristics, and socioeconomic factors. The medical factors include the number of confirmed COVID-19 cases and the number of doctors. The former indicator is gathered from the National Health Commission and the Provincial Health Commissions, closely
associated with the CFR shown in Fig. 2. The latter indicator is a good proxy to assess the healthcare capacity (medical resource availability and accessibility), explaining different mortality rates in different regions [39]. The environmental factors are composed of air quality (or pollution) and climatic conditions. AQI represents air quality, and climatic conditions are measured by humidity and temperature. Demographic characteristics consist of age, ethnicity, gender structures, the proportion of the population with non-agricultural hukou and population density, directly connected to the COVID-19 mortality [18]. Socioeconomic factors incorporate GDP per capita, the percentage of unemployment, and the insurance coverage, which reflect people's socioeconomic status that will influence their health outcomes [20].

Regarding the specific data sources, the number of doctors, the population density, GDP capita, the percentage of unemployment and the percentage of employees joining the urban basic medical care system are collected from China City Statistical Yearbook 2019, which records the newest available data of cities in 2018. The AQI daily observation data is acquired from the Ministry of Ecology and Environment of the People's Republic of China, and the average daily values of each city during the 2 research periods (from 1 January 2020 to 13 March 2020, and from 1 January 2020 to 1 January 2021) are calculated. Similarly, the average humidity (%) and the average temperature (Celsius) during the research period are gathered from the China Meteorological Administration. The average age of residents, the proportion of ethnic minorities, the percentage of males, and the proportion of the population with non-agricultural hukou derive from the Sixth National Population Census of China, which records the demographic data of 2010 and is the latest data available in public because China conducts national population census every ten years. All data that support the findings of this study are secondary data, and no human participants are involved.
| Category          | Variable name | Description                                                                 | Data sources                                                                 |
|-------------------|---------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------|
| Medical factors   | Cases-spring  | Cumulative number of confirmed COVID-19 cases by 13 March 2020              | National Health Commission and the Provincial Health Commissions               |
|                   | Cases-2021    | Cumulative number of confirmed COVID-19 cases by 25 January 2021            | National Health Commission and the Provincial Health Commissions               |
|                   | Doctors       | Number of doctors (10,000 doctors)                                          | China City Statistical Yearbook 2019                                         |
| Environmental factors | AQI-spring | Average Air Quality Index from 1 January 2020 to 13 March 2020             | Ministry of Ecology and Environment of People's Republic of China             |
|                   | AQI-2020      | Average Air Quality Index in 2020                                          | Ministry of Ecology and Environment of People's Republic of China             |
|                   | Humidity-spring | Average humidity from 1 January 2020 to 13 March 2020 (%)                   | China Meteorological Administration                                          |
|                   | Humidity-2020 | Average humidity in 2020 (%)                                                | China Meteorological Administration                                          |
|                   | Temperature-spring | Average temperature from 1 January 2020 to 13 March 2020 (Celsius)       | China Meteorological Administration                                          |
|                   | Temperature-2020 | Average temperature in 2020 (Celsius)                                       | China Meteorological Administration                                          |
| Demographic characteristics | Age      | Average age of residents                                                    | Sixth National Population Census of China                                   |
|                   | Ethnicity     | Proportion of ethnic minorities (%)                                         | Sixth National Population Census of China                                   |
|                   | Gender        | Percentage of males (%)                                                     | Sixth National Population Census of China                                   |
|                   | Urban         | Proportion of the population with non-agricultural hukou (%)               | Sixth National Population Census of China                                   |
|                   | Density       | Population density (100 people/km²)                                        | China city statistical yearbook 2019                                         |
| Socioeconomic factors | Insurance | Percentage of employees joining the urban basic medical care system (%)   | China city statistical yearbook 2019                                         |
### Results

To test the multicollinearity in the regression model, we calculated the variance inflation factor (VIF) and found that each variable's VIF value is less than 3, indicating that there is no multicollinearity in our model. A series of control variables have been incorporated into the model, and the dependent variable is lagged from the independent and control variables, thus reducing the possible endogeneity problem to some extent. The descriptive statistical analysis of all variables is shown in Table 2. In both parts of the Logit-NB hurdle models, we established the CFR models in 2020 and 2021 as the dependent variables. The Cases, AQI, Humidity, and Temperature variables are different in the 2 research periods while others are constant.

| Category   | Variable name | Description             | Data sources                      |
|------------|---------------|-------------------------|-----------------------------------|
|            | Unemployment  | Percentage of Unemployment (%) | China city statistical yearbook 2019 |
|            | GDP           | GDP per capita          | China city statistical yearbook 2019 |
Table 2
Descriptive statistical analysis

| Variables   | Mean | Min  | Max   | SD   |
|-------------|------|------|-------|------|
| CFR-spring  | 0.81 | 0.00 | 14.29 | 1.99 |
| Cases-spring| 276.91| 0.00 | 49995.00| 3000.03|
| CFR-2021    | 0.83 | 0.00 | 16.67 | 2.16 |
| Cases-2021  | 299.74| 0.00 | 50355.00| 3023.17|
| Doctors     | 1.20 | 0.10 | 10.94 | 1.21 |
| AQI-spring  | 57.23| 23.24| 105.52| 17.73|
| Humidity-spring| 66.14| 31.66| 90.60| 14.16|
| Temperature-spring| 7.13| -7.82| 23.48| 6.58|
| AQI-2020    | 56.62| 23.73| 99.94 | 16.18|
| Humidity-2020| 65.49| 30.15| 85.46| 13.10|
| Temperature-2020| 16.56| 2.01| 27.89| 5.30|
| Age         | 35.87| 29.92| 43.13 | 2.48 |
| Ethnicity   | 92.20| 11.89| 99.99 | 16.07|
| Gender      | 51.38| 47.27| 99.10 | 3.00 |
| Urban       | 30.44| 8.21 | 86.23 | 15.46|
| Density     | 4.40 | 0.06 | 25.42 | 3.43 |
| Insurance   | 0.73 | 0.17 | 10.32 | 0.65 |
| Unemployment| 2.38 | 0.19 | 12.42 | 1.45 |
| GDP per capita| 5.34 | 1.19 | 16.74 | 2.99 |

The first part of the hurdle model is a binary logistic model, with regression results displayed in Table 3. The binary logistic model explains whether a city has a death case with COVID-19. Model 1 represents the model using the CFR by 13 March 2020 (CFR-spring), and Model 2 represents the model using the CFR by 25 January 2021 (CFR-2021). We used the average AQI, humidity, and temperature from 1 January 2020 to 13 March 2020 for Model 1 (AQI-spring, Humidity-spring, and Temperature-spring), and the yearly average AQI, humidity, and temperature in 2020 for Model 2 (AQI-2020, Humidity-2020, and Temperature-2020). The value of $R^2$ in Model 1 is 0.32, indicating that the binary logistic model has specific explanatory power for whether a city has a death case. Among the explanatory variables, the regression coefficients of the number of confirmed cases (Cases-spring) and the air quality index (AQI-spring) are significant, while other variables are not significant. The estimator of Cases-spring is 1.01, meaning that the new odds will be 1.01 times the original odds, with the number of confirmed cases increasing by 1.
The probability of death's appearance will increase by 1% with 1 newly increased confirmed case. It indicates that the mortality rate for each new case in a city is 1%, which is near to the CFR of 0.83% in Fig. 2b. The air quality index exerts positive impacts on the appearance of death: the new odds of death will increase by 4% compared with the original odds, in response to a rise of 1 unit in the air quality index. It indicates that air quality worsening (air pollution) will increase the mortality risk, consistent with the extant research [40, 41]. In the logistic part of the hurdle model, the regression result of Model 2 is very similar to that of Model 1, which proves our results' robustness. The only difference between 2 models is that the air quality is not significant in Model 2.
Table 3
First part: Regression estimates of the logistic model

| Explanatory variable     | Odds ratio | z-value | Odds ratio | z-value |
|--------------------------|------------|---------|------------|---------|
| Cases-spring             | 1.01***    | 3.16    |            |         |
| Cases-2021               | 1.01***    | 3.18    |            |         |
| Doctors                  | 1.38       | 1.33    | 1.59       | 1.85    |
| AQI-spring               | 1.04**     | 2.21    |            |         |
| Humidity-spring          | 1.03       | 1.67    |            |         |
| Temperature-spring       | 0.99       | -0.12   |            |         |
| AQI-2020                 |            |         | 1.02       | 1.03    |
| Humidity-2020            |            |         | 1.01       | 0.63    |
| Temperature-2020         |            |         | 0.99       | -0.29   |
| Age                      | 0.83       | -1.84   | 0.83       | -1.79   |
| Ethnic                   | 0.99       | -0.53   | 1.00       | -0.2    |
| Gender                   | 0.80       | -0.84   | 0.81       | -0.86   |
| Urban                    | 1.00       | 0.2     | 1.00       | 0.26    |
| Density                  | 0.97       | -0.36   | 0.97       | -0.39   |
| Insurance                | 1.06       | 0.25    | 0.97       | -0.11   |
| Unemployment             | 1.02       | 0.14    | 1.05       | 0.35    |
| GDP per capita           | 1.05       | 0.67    | 1.06       | 0.71    |
| Intercept                | 1.11       | 0.03    | 2.37       | 0.25    |
| $R^2$                    | 0.32       |         | 0.27       |         |
| Number of observations   | 280        |         | 280        |         |

Note: **p < 0.05; ***p < 0.01

The second part of the hurdle model is a negative binomial model, which is demonstrated in Table 4. The negative binomial model explains the non-zero CFRs of COVID-19. Among all variables, the medical factors are important determinants of CFR-spring, with the estimators of the number of confirmed cases (Cases-spring) and the number of doctors (Doctors) statistically significant. Among environmental factors, the impact of humidity is significant. The population density (Density) is the only significant variable among demographic variables in Model 1. The socioeconomic factors are non-significant. The
estimator of Cases-spring is 0.00003, signifying that the CFR will feebly increase with the number of confirmed cases. As the number of doctors increases by 10,000, the CFR will decrease by 0.18%, demonstrating that better medical resources will reduce the mortality risks. Humidity-spring negatively influences death rates: each 1% increase in Humidity-spring leads to a 0.02% decrease in CFR-spring. Therefore, under all other factors being equal, the CFR-spring will be relatively lower in the southeastern region. The population density (Density) exerts negative impacts on CFR-spring. This can be attributed to the fact that the larger cities with higher population density usually have better healthcare systems at higher density locations [42], and it is more convenient to obtain timely detection and treatment for the infected people. Model 2 is similar to Model 1, except that Density is significant in Model 1 while non-significant in Model 2. The results confirm their robustness. The absolute values of estimators of Cases, Doctors, and Humidity in Model 2 are all larger than those in Model 1, implying that the accumulated experience in response to the public health crisis does have specific effects on reducing CFR.
Table 4
Second part: Negative binomial regression estimates for cities with non-zero CFR

| Explanatory variable   | Model 1: CFR-2020 |   |   | Model 2: CFR-2021 |   |   |
|------------------------|-------------------|---|---|-------------------|---|---|
|                        | Coefficient       | z-value | Coefficient      | z-value |
| Cases-spring           | 0.00003**         | 2.5     |               |         |
| Cases-2021             |                   |         | 0.00005***     | 4.27   |
| Doctors                | -0.18**           | -2.38   | -0.39***       | -3.89   |
| AQI-spring             | 0.01              | -0.49   |               |         |
| Humidity-spring        | -0.02**           | -2.5    |               |         |
| Temperature-spring     | -0.02             | -0.96   |               |         |
| AQI-2020               |                   |         | -0.01          | -1.16   |
| Humidity-2020          |                   |         | -0.04***       | -3.42   |
| Temperature-2020       |                   |         | 0.01           | 0.50    |
| Age                    | -0.01             | -0.22   | 0.04           | 0.79    |
| Ethnic                 | -0.01             | -0.91   | -0.01          | -1.33   |
| Gender                 | -0.08             | -0.65   | -0.05          | -0.34   |
| Urban                  | 0.001             | -0.1    | 0.00           | -0.15   |
| Density                | -0.09**           | -2.1    | -0.06          | -1.14   |
| Insurance              | 0.35              | 1.12    | -0.01          | -0.04   |
| Unemployment           | 0.06              | 0.67    | 0.05           | 0.55    |
| GDP per capita         | -0.02             | -0.55   | -0.02          | -0.48   |
| Intercept              | 8.55              | 1.18    | 6.84           | 0.87    |
| R²                     | 0.14              |         | 0.16           |         |
| Number of observations | 65                |         | 68             |         |

Note: **p < 0.05; ***p < 0.01

Discussion

Given the enormous damages to human society caused by the spread of COVID-19, robust scientific evidence will significantly contribute to the epidemic responses, especially the successful disease
prevention and control experiences in China. Therefore, it is crucial to clarify the influence factors that significantly affect the CFR with COVID-19 by conducting a multi-city study in China.

In this study, a Logit-NB hurdle model is employed to deal with the zero-inflation problem since nearly 3 quarters of cities have zero-value CFR, which dramatically reduces the estimation bias and improves the explanatory power and goodness of fit of the model. The Logit-NB hurdle model also reflects the 2 different CFR determinations: whether there is a death from COVID-19 in a city and how high the non-zero value of CFR in a city is. During these 2 different processes, the influence factors are different. The application of the Logit-NB hurdle model in CFR research with COVID-19 will provide methodological guidance for epidemic response.

Regarding the determinants, the number of confirmed cases is the only significant variable in both 2 parts of the Logit-NB hurdle model, which is much in evidence since it is the denominator of CFR. In the first process of the Logit-NB hurdle model, the air quality impacts death probability, while the medical resources, the humidity, and the population density matter in the second process of the Logit-NB hurdle model. As it is known to all, air quality plays a vital role in the spread of COVID-19 because aerosol is a potential transmission route for COVID-19, embodied in the level of airborne PM pollution [34]. The air quality has affected the mortality probability in the last year due to its direct effects on the confirmed cases with COVID-19. The medical resources and the humidity are both crucial factors in determining CFR in different cities. The timely supply of medical resources (including medical staff and facilities) is the key to controlling the 2 waves of outbreaks effectively in China. As an important climatic factor, humidity has significant negative influences on CFR, which follows the previous literature results [40]. However, the socioeconomic factors and demographic characteristics do not affect the death probability and CFR, except for the population density. The fact that people in China all enjoy free medical treatment services for COVID-19 significantly contributes to reducing CFR, no matter which levels of cities they are in and which kinds of groups they belong to.

The air quality and population density exert significant impacts on the mortality risk and CFR in the first wave of epidemic outbreak, while they are non-significant in the second wave. The underlying reason is complicated, and we tried to figure it out from the newly increased confirmed cases and death cases between 13 March 2020 and 25 January 2021. We found the death cases are mainly concentrated in Hubei Province and very few in other provinces (only single digits). There are 360 newly increased confirmed cases in Hubei Province from 13 March 2020 to 25 January 2021, while 1435 newly increased deaths emerge during the same period. It indicates that most of the new death cases on 25 January 2021, resulting from the confirmed cases on 13 March 2020. In other words, the emergence of COVID-19 deaths in the second research period lags behind the confirmed cases. The critical patients with COVID-19 in the first period are mainly affected by this acute respiratory disease and more sensitive to the air quality, so the worsening of air quality will directly increase the mortality risk. However, the critical patients in the second period have been suffering from COVID-19 for some time and maybe die from other comorbidities that are less influenced by the air quality. Regarding the population density, in the initial stage of the epidemic outbreak, when there is a lack of experience in responding to the epidemic,
the cities with higher population density usually are larger cities where people have better access to medical resources, thus leading to a relatively lower CFR. During the second period, cities in China have accumulated enough experience and are better prepared for the next outbreak with necessary medical resources, making the population density not important anymore.

The main findings of this study have certain policy implications: To begin with, given the importance of the number of confirmed cases in determining CFR, the proposal of “flatten the curve” \[43\] is still vital to help save lives and decrease CFR, by taking lockdown and social distancing measures to reduce the number of infected people in countries most affected by COVID-19, when limited by the current medical resources. Considering the 2 different processes in the Logit-NB hurdle model, the governments should attach importance to the air quality when preventing the emergence of death from this disease and emphasize the availability and accessibility of medical resources when the aim is to reduce the mortality rate. Finally, the estimators in Model 2 have shown greater effects than those in Model 1, signifying the accumulated experiences’ influence. The containment policies in China, including the immediate lockdown, community containment, self-quarantine, contact-tracing, as well as the free detection and medical treatment of this infectious disease for all residents, prove to be very effective in controlling the spread of the virus and reducing the CFR \[44\], which can also provide valuable references for other countries in the fight against the pandemic.

Despite its methodological contributions and practical implications, there still exist some deficiencies in this study. The dependent variable reflects the confirmed cases and CFR on 13 March 2020 and 25 January 2021, while some explanatory variables only reflect the annual average limited by the data accessibility. What is more, among explanatory variables, the city-level socioeconomic data from China City Statistical Yearbook 2019 records the situation of 2018. The Sixth National Population Census's demographic data records the conditions of 2010, which are all the most recent data available publicly in China.

**Conclusion**

Despite these limitations, this study discovered whether there emerges a death case and how high the CFR is proving to be 2 different processes. The death probability determinants are the number of confirmed cases and the air quality, while the determinants on the CFR include the number of confirmed cases, the medical resources, the humidity, and the population density. Besides, the air quality and population density have marked effects on the death probability and CFR in the first wave of epidemic outbreak, while they are not significant in the second wave. This study contributes to the growing literature on determinants of CFR with COVID-19 and has significant practical implications.

**Abbreviations**

COVID-19: Coronavirus disease 2019; PM: Particulate matter; CFR: Case fatality rate; Logit-NB: logistic-negative binomial
Declarations

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Author’s contributions

YH contributed to idea formulation, study design, methodology, data collection and analysis; LX contributed to data interpretation and manuscript writing; GH contributed to discussion, review and editing of writing; ZZ contributed to the collection of literature and data. HH contributed to the data collection. All authors reviewed and provided input to the writing, editing, and finalization of the paper. The authors read and approved the final manuscript.

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Availability of data and materials

The data that support the findings of this study are secondary data and no human participants are involved. The data are available with the identifier(s) at the private link: https://figshare.com/s/1d536f0639eb36c0cf0a

Ethical approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no conflict of interest.
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Figures
Figure 1

COVID-19 CFRs at the city level Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.
Figure 2

Scatterplot of COVID-19 deaths against cases at the city level