A reminder of extinction reduces relapse in an animal model of voluntary behavior

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One experiment with rats explored whether an extinction-cue prevents the recovery of extinguished lever-pressing responses. Initially, rats were trained to perform one instrumental response (R1) for food in Context A, and a different instrumental response (R2) in Context B. Then, responses were extinguished each in the alternate context (R1 in Context B; R2 in Context A). For one group, extinction of both responses was conducted in the presence of an extinction-cue, whereas in a second group, the extinction-cue only accompanied extinction of RI. During a final test, we observed that returning the rats to the initial acquisition context renewed performance and that response recovery was attenuated in the presence of the cue that accompanied extinction of the response. The impact of the extinction-cue, however, was not transferred to the response that has been extinguished without the cue. Our results are consistent with the idea that extinction established an inhibitory cue-response association.

In parallel to Pavlovian extinction (e.g., Bouton and Woods 2008), recent research on instrumental extinction documented that the behavioral decline induced by reinforcer omission is rather context-dependent. For instance, Nakajima et al. (2000) trained rats to press a lever for food in Context A, followed by extinction of the response in a second Context B. Nakajima et al. (2000) found that returning subjects to the original context (Context A) during testing resulted in a recovery of lever-pressing behavior (i.e., ABA renewal). Moreover, renewal of instrumental behavior was also observed when acquisition, extinction, and test took place in three different contexts (i.e., ABC renewal, Todd 2013) or when acquisition and extinction were conducted in the same context, but testing occurred in a second one (i.e., AAB renewal; Bouton et al. 2011; Todd et al. 2012).

The evidence of ABA, AAB, and ABC renewal of instrumental behavior has rather troubling implications for behavior therapy. These phenomena indicate that even after a successful clinical intervention that eliminated an unwanted voluntary behavior, the probability of relapse (renewal) increases as the patient leaves the therapeutic setting (extinction context). Thus, the evaluation of experimental strategies that attenuate or prevent the recovery of extinguished instrumental behaviors might be beneficial for improving the long-term success of therapeutic interventions. One of these strategies involves the application of reminder cues. For instance, Willcocks and McNally (2014) reported a reduction in ABA renewal of alcohol seeking in rats when testing was conducted in the presence of a stimulus associated with extinction. In their experiment, animals were initially trained to nose poke for alcoholic beer in Context A. Then, extinction was conducted in Context B. Throughout those sessions, a 60-sec tone (extinction-cue) was presented at regular intervals noncontingent on the animal’s behavior. During a final test phase conducted in Context A, Willcocks and McNally observed that the presence of an extinction-cue reduced response recovery compared with a condition for which testing took place in the absence of the tone-stimulus.

Willcocks and McNally’s (2014) findings suggest that an extinction-cue is able in some way to inhibit instrumental responding, but their experiment was not designed to specify the nature of this inhibition. The aim of the present experiment was to investigate the mechanisms underlying the impact of extinction-cues on response recovery. According to one approach, an extinction-cue may act through a direct inhibitory association with the representation of the reinforcer (Rescorla and Wagner 1972). A second possibility proposes that an extinction-cue operates by regulating retrieval of entire response-reinforcer associations akin to a negative occasion setter (Bouton 1997).

According to a third possibility, an extinction-cue modulates behavior by directly inhibiting a specific response (Rescorla 1993).

Each of these three accounts is able to explain attenuation of response recovery induced by an extinction-cue. However, they differ in their predictions whether the behavioral impact of an extinction-cue transfers to other responses that were extinguished in the absence of the cue. According to the first account, the effectiveness of an extinction-cue should transfer completely to other responses having a learning history with the same outcome. The occasion-setting account also gives reason to expect that the impact of extinction-cues should transfer to other extinguished responses. However, in contrast to the first possibility, research on occasion-setting documented that the transfer of occasion-setters is generally incomplete (e.g., Schmajuk and Holland 1998; c.f., Holland 1991). According to the third account, the behavioral impact of an extinction-cue should be restricted to the response with which it was trained, and no transfer at all to other responses should occur. The purpose of the present experiment was to differentiate between the three accounts.

The design of the present experiment is shown in Table 1. To control associative values of responses and contexts, we equated histories of reinforcement and nonreinforcement using a procedure similar to Todd (2013). Initially, all rats were trained to perform one instrumental response (R1; pressing the left or the right lever) for food in Context A, and a second response (R2;
Experimentally naïve Wistar rats weighting in average 260.3 g were used. They were individually housed in methacrylate cages (21 × 24 × 46 cm, H × W × D) inside a room maintained on a 12–12 h light–dark cycle. All subjects were maintained with ad libitum access to water but were food-deprived to 83% of their initial body weights throughout the experiment.

Eight identical chambers manufactured by MED Associates (model ENV-008) measuring 29 × 22 × 24 cm, (H × W × D) were used. Each chamber was enclosed in a sound attenuating chamber. The side walls and ceiling were made of clear acrylic plastic, while the front and rear walls were made of stainless steel. The floor of the chamber consisted of sixteen 0.5-cm diameter stainless steel rods spaced 1.5 cm apart. A recessed 5 cm × 5 cm food magazine in which 45 mg Noyes A/I pellets could be delivered was centered on the front wall. Each chamber had two retractable levers, they were positioned to the right and to the left of the food tray. These levers were 4.8 cm long and positioned 6.8 cm above the floor. A 28 Vdc bulb was placed 4.2 cm above the lever which served as a general house light. A 3000 Hz tone, (80 dB) delivered through a 8.2 cm speaker mounted to the ceiling of the sound attenuation chamber was used as an extinction-cue. The chambers were connected to a PC that controlled and recorded the events.

The chambers were set up to provide two different sets of contextual cues. Four chambers provided one context consisted of vinegar scent provided with a dish containing 5 mL of white vinegar placed outside each chamber near the front wall. The floor consisted of sixteen 0.5 cm diameter stainless steel rods spaced 1.5 cm apart. Four additional chambers provided another context where a sandpaper sheet covered the floor, and odor was provided by 5mL of Windex placed outside each chamber. Scents were refreshed daily. Contexts were counterbalanced as A and B across rats.

Sessions were conducted on successive days at the same time each day. Prior to acquisition, half of the rats were first exposed to Context A, and then Context B. For the other half the opposite was true. Sessions were separated by 1 h. During those sessions food pellets were delivered approximately 65 times at a variable time (VT) 30-sec schedule. No levers were presented. Each session lasted 30 min.

**Acquisition.** For 6 d, rats were trained in two daily sessions to press two levers for food on a variable interval (VI) 30-sec schedule. Only one lever was available in a particular context. Contexts and responses were fully counterbalanced. R1 was trained in Context A, while R2 in Context B. Sessions were separated by 3 h. Each session lasted 30 min.

**Extinction.** Rats received two daily extinction sessions for 4 d. R1 was extinguished in Context B, while R2 was extinguished in Context A. In each of the extinction sessions, rats in Group EC received approximately 65 presentations of a 5-sec tone which was produced by a VT 30 sec (extinction-cue). The tone was presented for the extinction of both responses. Rats in Group NEC, only received the presentations of the tone during extinction of R1. Sessions were separated by 3 h. Each session lasted 30 min.

### Table 1. Experimental Design

| Group | Acquisition | Extinction | Test |
|-------|-------------|------------|------|
|       |             |            | Extinction condition | Renewal condition |
| EC    | A: R1–O B: R2–O | B: X.R1- A: X.R2- | A: X.R1- | B: R2- |
| NEC   | A: R1–O B: R2–O | B: X.R1- A: X.R2- | A: X.R1- | B: X.R2- |

Rats in Group EC received presentations of a tone for both responses during extinction. For Group NEC, only one response underwent extinction in the presence of the tone. R1 and R2 refer to pressing the left or the right lever, respectively. “R1–O” and “R2–O” means that pressing the lever was reinforced. “R1-” and “R2-” means that pressing the lever was not reinforced. “X” means that rats received brief presentations of a tone during the session.

**Figure 1.** Possible associative structures and predictions according to the three different accounts. Cue, R, and O stands for extinction-cue, response, and outcome, respectively.
Text. Rats received a single 10 min test session for both responses in both the extinction and renewal contexts. Each session was separated by 60 min. There was only one lever available during each session of test and no reinforcers were delivered. The order of testing responses and contexts was fully counterbalanced across rats. For Group EC, R1 was tested in the presence of the extinction-cue in both contexts, whereas R2 was tested with the extinction-cue only in the extinction context. Rats in Group NEC received testing of R1 in the presence of the extinction-cue in both contexts, while testing of R2 occurred with the extinction-cue only in the renewal context.

Mean responses per minute were compared using analyses of variance (ANOVA). The rejection criterion was set at \( P = 0.05 \), and effect sizes were reported using partial eta-squared (\( \eta^2_p \)).

Figure 2 shows the mean responses per minute during the six sessions of acquisition (left panel) and the four sessions of extinction (right panel).

A 2 (Group) × 2 (Response) × 6 (Session) ANOVA conducted with the acquisition data confirmed that both responses were acquired similarly by all rats and that responding increased as acquisition progressed. The analysis found a significant main effect of session, \( F_{(5,300)} = 197.32 \), mean square error (MSe) = 15.4, \( P = 0.001 \), \( \eta^2_p = 0.76 \), and, unexpectedly, a Group × Session interaction, \( F_{(5,300)} = 2.46 \), MSe = 15.4, \( P = 0.03 \), \( \eta^2_p = 0.03 \). Most important, the main effect of response and all related interactions including this factor did not reach significance, all \( F_s < 1 \), showing that there was no difference in acquisition between R1 and R2. The main effect of group was also not significant, \( F_{(1,60)} = 1.61 \), MSe = 202.9, \( P = 0.20 \).

An exploration of the Group × Session interaction revealed that rats in Group EC showed higher levels of lever pressing only in Session 5, \( F_{(1,60)} = 4.01 \), MSe = 63.09, \( P = 0.04 \).

A 2 (Group) × 2 (Response) × 4 (Session) ANOVA conducted with the data of extinction found a significant main effect of session, \( F_{(3,180)} = 157.97 \), MSe = 1.68, \( P = 0.001 \), \( \eta^2_p = 0.72 \). Most important, the main effect of response and all related interactions including this factor did not reach significance, largest \( F_{(1,180)} = 1.25 \), MSe = 1.68, \( P = 0.29 \), indicating that extinction proceeded similarly for R1 and R2. The main effect of group was also not significant, \( F_{(1,60)} = 1.52 \), MSe = 6.18, \( P = 0.22 \), but we found an unexpected Group × Session interaction, \( F_{(1,180)} = 3.62 \), MSe = 1.68, \( P = 0.01 \), \( \eta^2_p = 0.05 \). However, subsequent analyses on the level of individual sessions showed no differences between groups, largest \( F_{(1,60)} = 2.76 \), MSe = 6.60, \( P = 0.10 \).

Figure 3 shows the mean responses per minute for R1 and R2 in both the extinction and renewal contexts during testing. A 2 (Group) × 2 (Response) × 2 (Context) ANOVA conducted with the test data found a significant main effect of context, \( F_{(1,60)} = 158.38 \), MSe = 10.36, \( P = 0.001 \), \( \eta^2_p = 0.72 \), confirming that responding was higher in the renewal context compared with the extinction context. The analysis also revealed a significant main effect of response, \( F_{(1,60)} = 7.74 \), MSe = 11.50, \( P = 0.007 \), \( \eta^2_p = 0.11 \), and a Response × Context interaction \( F_{(1,60)} = 12.73 \), MSe = 10.36, \( P = 0.01 \), \( \eta^2_p = 0.17 \), showing that the strength of response recovery was higher for R2 than R1. However, the difference in context-dependency between R1 and R2 was equal across the two groups as indicated by the absence of a Group × Response × Context interaction, \( F < 1 \). The main effect of group and the remaining two-way interactions including this factor were also not significant, all \( Fs < 1 \).

Planned comparisons confirmed for each group that responding in the renewal context was stronger for R2 than for R1, Group EC: \( t_{(60)} = 5.96 \), \( P = 0.01 \); Group NEC: \( t_{(60)} = 4.28 \), \( P = 0.04 \). And, the response level of R2 in the renewal context did not differ between the groups, \( t_{(60)} = 0.006 \), \( P = 0.93 \).

One experiment with rats investigated the effects of an extinction-cue on renewal of voluntary responses. Response recovery of an extinguished instrumental response was attenuated when testing was conducted in the presence of an extinction-cue that accompanied extinction of the response. In addition, we found that response recovery was independent of the presence or absence of a cue that accompanied extinction of another response.

The impact of extinction-cues on instrumental behavior has previously been demonstrated by Willcocks and McNally (2014) for alcohol seeking in rats. The present study extends their finding to instrumental learning with food reinforcers. Moreover, our results extend the generality of other reports that documented the effectiveness of extinction-cues in different fields of Pavlovian conditioning, including appetitive Pavlovian conditioning in rats (Brooks and Bouton 1994), human fear conditioning (Dibbets et al. 2008), exposure treatments with social drinkers (Collins and Brandon 2002), and with arachnophobic patients (Mystkowski et al. 2006).

Our finding that an extinction-cue did not transfer its modulatory impact to other responses is inconsistent with the idea that extinction-cues act by inhibitory cue–outcome associations (Rescorla et al. 2006). In the present experiment, both of the two responses were trained with the same food reinforcer. Therefore, an extinction-cue that directly inhibits the representation of the outcome should have influenced the performances of both responses in the same way. The absence of transfer is also challenging the idea that extinction-cues influence behavior by regulating the retrieval of response-reinforcer connections akin to occasion setters (Bouton 1997). Research on occasion setting revealed ample evidence that the behavioral impact of negative occasion setters transfers across suitable targets (e.g., Holland and Coldwell 1993; Todd 2013). In the present study, both responses shared a history of extinction. Even though one of the responses underwent extinction in the absence of the extinction-cue, the history of extinction should have made this response a suitable target for the modulatory impact of the extinction-cue. In contrast, the inhibitory cue-response account (Rescorla 1993; Todd 2013)
For instance, animals in Group EC received a higher number of extinction-cue presentations during the extinction phase than those in Group NEC. The number of extinction-cue presentations was also not matched across groups for testing in the renewal context. Procedural group differences as those might cause differences in processing of the extinction-cue in terms of habituation or sensitization. In the present study, there is no evidence for such group differences in nonassociative processing of the extinction cue as, for instance, performance of R1 in the renewal context was equal between groups, $t(60) = 0.08, P = 0.77$. Nevertheless, it will be necessary to demonstrate the generality of the present findings in future research that controls for aspects of habituation/sensitization. Furthermore, both of the present groups differed in the number of responses related to the extinction-cue (two responses in Group EC; one response in Group NEC). It is possible that the number of related responses influences a cue’s capability to transfer its modulatory impact to another response. Future research will be required to investigate possible factors determining modulatory transfer of extinction-cues.

Besides their theoretical implications, our findings are promising to therapists, because they strongly suggest that the use of extinction-cue strategies in clinical settings could be effective in thwarting relapse of voluntary unhealthy behaviors such as drug abuse and self-injury. However, the present results also indicate some important restrictions, because they imply that the patient would learn to inhibit a specific problematic behavior only under specific cues which would weaken the degree of transfer of such treatments.

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