3-Methyladenine-enhanced susceptibility to sorafenib in hepatocellular carcinoma cells by inhibiting autophagy

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Introduction

Primary liver cancer or hepatocellular carcinoma (HCC) is considered to be one of the greatest leading causes of cancer death worldwide due to its high recurrence rate and poor prognosis [1–3]. Despite development of its therapy, HCC remains one of the most difficult cancers to treat. What’s worse, HCC is often diagnosed at advanced stages and unresectable, resulting in the loss of optimal opportunity for effective treatment for many patients [4]. Moreover, traditional systemic chemotherapy does not significantly improve the survival rate [5–7]. Regardless of the treatment strategy applied, the prognosis of patients with advanced HCC remains poor [8]. Sorafenib, a multikinase inhibitor, is the first molecular targeted drug approved by Food and Drug Administration for HCC treatment and has shown some survival benefit in patients with advanced HCC. In the initial phase II study mentioned in ‘The National Comprehensive Cancer Network (NCCN) Guidelines Insights: Hepatobiliary Cancers, version 2. 2019’ [9], recovery of liver function was a marker of response to sorafenib treatment; similarly, another large, double-blinded, placebo-controlled phase III clinical study in ‘Hepatocellular carcinoma: European Society for Medical Oncology (ESMO) Clinical Practice Guidelines for diagnosis, treatment and follow-up’ [10] also showed that sorafenib could increase patient survival. However, the resistance to sorafenib has become a major bottleneck in the treatment of advanced HCC [11,12].

Autophagy is a conservative life process characterized by self-digestion and metabolism, by which cells eliminate and recycle their own damaged proteins and organelles to cope with environmental changes and maintain homeostasis [13,14]. It is also crucial for the normal physiological function of liver and recovery of disease [15]. On the other hand, as an effector of the immune system, autophagy has been proved to be closely related to immune response by involving in innate and acquired immune response.
processes [16]. The immune system has dual characters, which can either suppress or promote the development of tumor. Therefore, autophagy plays a complex role in the development of tumors [17]. Notably, autophagy can either promote tumor cells death at early stages or represent a protective mechanism against apoptotic cell death under starvation, as well as contribute to resistance against therapy-induced apoptosis in cancer cells [18]. At present, some progresses have been made in studies on the role of autophagy in pathogenesis and treatment of HCC, but the mechanism of autophagy in chemotherapy has not been illuminated [19]. There are three types of autophagy, including microautophagy, macroautophagy and chaperone-mediated autophagy [20]. The difference between these autophagic processes is the substrates delivered to the lysosomes. Macroautophagy is the process during which damaged organelles are first enclosed in double-membrane vesicles (also known as autophagosomes) and then fused with lysosomes to become autophagolysosomes [21]. The autophagy we talk about in general is macroautophagy. The autophagic process can be mainly divided into four steps: (a) formation of the phagophore to wrap the damaged material; (b) elongation and closure of the phagophore followed by autophagosome generation; (c) fusion of autophagosomes and lysosomes to form autolysosomes and (d) content degradation and recycling [22]. To date, over 30 types of autophagy-related proteins (ATGs) have been found to participate in the regulation of autophagy when triggered under stressful conditions, such as starvation, hypoxia and cytotoxicity. For example, autophagosome formation is controlled by the ATG12 and light chain 3 (LC3) conjugation systems. Microtubule-associated protein 1-LC3 (also known as ATG8) is cleaved by protease ATG4 into LC3-I, which is then converted into LC3-II by conjugating with phosphatidylethanolamine [23]. In this process, LC3-II is translocated from the cytoplasm to the autophagosome membrane. LC3-II is considered an important marker for autophagosomes, whereas p62 protein plays an important role in the degradation and recycling of autophagosome [24].

3-Methyladenine (3-MA), a commonly used specific autophagy inhibitor, can block the formation of autophagic vesicles by acting on phosphoinositol 3 phosphate kinase (PI3K). It has been widely used as a pharmacological tool in the autophagy studies because it can enhance chemotherapeutic effects of anticancer drugs. However, it is not clear whether these effects of 3-MA on chemotherapy efficacy act through its inhibition of autophagy. Sheng et al.’s study [25] showed that 3-MA reduced the viability of Hela cells (a human cervix carcinoma cell line) dose-dependently but did not affect the basic autophagy responses, and they concluded that 3-MA itself could induce cell death and apoptosis with no involvement from autophagy. However, Mishima et al. [26,27] had confirmed that by blocking autophagy, the sensitivity of chemotherapy drugs could be enhanced, which could be considered as a new treatment strategy for chronic myelogenous leukemia or laryngeal cancer.

In order to clarify the role of autophagy in sorafenib-acquired drug resistance to HepG2, we simultaneously treated HepG2 with sorafenib alone or in combination with 3-MA. First, the effect of autophagy regulation on HepG2 cell proliferation was detected by cell counting kit (CCK-8) cell viability assay. Subsequently, apoptosis staining and flow cytometry were used to observe the impact of regulating autophagy on HepG2 cell apoptosis. Furthermore, the formation of autophagosomes in each group was observed by transmission electron microscopy and indirect immunofluorescence staining, and apoptosis and autophagy-related proteins were detected by western blotting. Our research aims to explore the mechanism by which 3-MA enhances sorafenib sensitivity to HepG2 cells by inhibiting autophagy.

Material and methods

Antibodies and reagents

Cell viability

CCK-8 (Sigma-Aldrich, St. Louis, Missouri, USA).

Cell apoptosis

Hoechst 33342 (Sigma-Aldrich, St. Louis, Missouri, USA), Annexin V-fluorescein isothiocyanate (FITC)/propidium iodide (PI) apoptosis kit (Keygen, Nanjing, China).

Immunofluorescence

AAV-GFP-LC3 (HANBIO, Shanghai, China).

Western blotting

LC3A/B antibody (Cell Signaling Technology, Beverly, Massachusetts, USA), anti-p62 antibody (Sigma-Aldrich St. Louis, Missouri, USA), Beclin-1 antibody (Abcam Trading (Shanghai) Company, China), Bcl-2 antibody (Abcam Trading (Shanghai) Company, China), Caspase-3/Cleaved-Caspase-3 antibody (Cell Signaling Technology, Beverly, Massachusetts, USA), β-Actin antibody (Cell Signaling Technology, Beverly, Massachusetts, USA), IgG Fc horseradish peroxidase (HRP) secondary antibody (Abcam Trading (Shanghai) Company, China).

Cell culture

Human hepatocarcinoma cells HepG2 (ATCC Cat# HB-8065, RRID:CVCL_0027) were inoculated in Dulbecco’s modified eagle medium high-sugar medium containing 10% fetal bovine serum, 1% penicillin/streptomycin and continuously cultured in a saturated humidity, 37 °C and 5% CO₂ incubator. HepG2 cells were digested by 0.25% trypsin and subcultured, whereas the cells confluence rose up to 80–90%.

Cell grouping and viability assay by cell counting kit 8

HepG2 cells in the logarithmic growth stage were inoculated with a density of 5000 cells/pore in 96-well plates,
and then incubated overnight in a saturated humidity, 37 °C and 5% CO₂ incubator. Then, they were grouped by two schemes: in one scheme, sorafenib of gradient concentration (2.5, 5, 10 and 20 µM) was added, whereas the isometric medium was added as a control group. Cells were sequentially incubated for a different time period (12, 24 and 48h). Then, the liquid in the 96-well plates were replaced with fresh medium, followed by the addition of 10 µl CCK8 for 4 h. Then, the absorbance data [optical density (OD) value] at 450 nm were collected for cell viability analysis by a microplate reader. The OD value measured in each pore is subtracted from the original OD value (blank control, without cells). IC50, also known as half maximal inhibitory concentration, refers to the concentration when a certain drug induces tumor cell apoptosis by 50% in the apoptosis experiment, and the drug concentration when the ratio of apoptotic cells to the total number of cells is equal to 50%. Cell inhibition = 1− ODdrug− ODblank × ODcontrol− ODblank ×100%. Then, IC50 of sorafenib in different concentrations at different time points were calculated. In the other scheme, the 50% inhibitory concentration after 24 hours of Sorafenib treatment in HepG2 cells was calculated and then the cells were divided into four groups: IC5024h sorafenib, 5 mM 3-MA, IC5024h sorafenib+5 mM 3-MA were added respectively, where dimethyl sulfoxide (DMSO) was used as a control. The results was from at least triplicate independent experiments and statistical analysis of data was performed using one-way factorial analysis of variance (ANOVA) to demonstrate statistical differences between groups.

### Apoptosis staining by Hoechst 33342
HepG2 cells at the logarithm growth stage were inoculated with a density of 5 × 10⁶ cells/well in 6-well plates. In total 15 µM sorafenib dissolved in 1 µl DMSO, 5 mM 3-MA, 5 mM 3-MA were added respectively, where dimethyl sulfoxide (DMSO) was used as a control. The results was from at least triplicate independent experiments and statistical analysis of data was performed using one-way factorial analysis of variance (ANOVA) to demonstrate statistical differences between groups.

### Flow cytometry
HepG2 cells were seeded into 6-well plates at a density of 1 × 10⁶ cells/well and cultured for 24 h followed by treatment with: 15 µM sorafenib, 5 mM 3-MA, 15 µM sorafenib + 5 mM 3-MA or DMSO for 24 h. After washing with PBS for three times, each group of cells were digested with 0.25% trypsin without EDTA, and washed twice with pre-chilled PBS. Then, cells were centrifuged at 3000 × g for 5 min, and the pellet was resuspended in 1× binding buffer at a density of about 1.0 × 10⁶ cells per mL. Of the sample solution, 100 µL was transferred to a 5 mL culture tube, and then added with 5 µL of Annexin V-FITC and 5 µL of PI. The mixed solution was gently vortexed and incubated for 15 min at room temperature (25 °C) in the dark according to the manufacturer’s instructions. A 400 µL of 1× binding buffer was added to each sample tube for resuspension, and the samples were analyzed by flow cytometry (BD FACS Calibur) within 1 h. At least 10,000 events were recorded and represented as dot plots. The experiment was repeated for three times and statistical differences between groups were performed by one-way factorial ANOVA.

### Immunofluorescence and transmission electron microscopy
HepG2 cells in 6-wells plate were transfected with AAV-GFP-LC3 for 24 h, and then washed with PBS and treated with 15 µM sorafenib, 5 mM 3-MA, 15 µM sorafenib + 5 mM 3-MA or DMSO for 6 h. Cells were fixed with 4% paraformaldehyde for 10 min at room temperature followed by washing with PBS for three times. Then, the formation of autophagosomes was observed by the fluorescence microscope and images were captured. After being treated with different drugs, HepG2 cells were collected and fixed with 0.25% glutaraldehyde overnight at 4 °C. The formation of autophagosomes was observed by transmission electron microscopy after dehydration, staining, embedding and sectioning according to the standard procedure. Images were performed at least triplicate independent experiments.

### SDS-PAGE and western blotting
HepG2 cells in 6-wells plate were treated with: 15 µM sorafenib, 5 mM 3-MA, 15 µM sorafenib + 5 mM 3-MA or DMSO for 24 h, and then harvested after digestion with 0.25% trypsin. The cell precipitate was washed with PBS and lysed on ice for 30 min with vortexing at 5-min intervals. After centrifugating at 12,000 rpm for 30 min at 4 °C, the supernatant was collected for detection of protein concentration. Samples were boiled for 5 min in the presence of 5 × SDS-PAGE loading buffer. Equal amounts of 50 µg protein were run on 8–12% SDS-PAGE gels and transferred onto a polyvinylidene fluoride membrane. The membrane was blocked for 2 h at room temperature in 5% W/V skim milk powder in TBS/Tween 20 (0.1%). Rabbit anti-mouse Bel-2, Caspase-3/Cleaved-Caspase-3,
Beclin-1, LC3, p62 antibodies were added to the membrane at 1:1000 dilution and incubated overnight at 4 °C. Meanwhile, β-actin was used as the internal control for protein expression. After washing with tris buffered saline tween, the membrane was probed with appropriate HRP-conjugated goat anti-rabbit IgG for 2 h at 37 °C. Finally, the membrane was visualized using the enhanced chemiluminescence purchased from Thermo Fisher Scientific Company. Densitometric analysis of protein expression was carried out using the Ultra-sensitive multifunctional imager (Amersham Imager 600, GE, USA). Then, the relative quantitative method

3-Methyladenine (3-MA) promoted the inhibitory effect of sorafenib on HepG2 cell proliferation. (a) HepG2 cells were treated with gradient concentrations of sorafenib (2.5, 5, 10, 20 µM) for 12, 24 and 48h, whereas cells treated with isopyknic DMSO were used as controls. (b) Four groups of HepG2 cells were respectively treated with 15 µM sorafenib, 5 mM 3-MA, 15 µM sorafenib + 5 mM 3-MA and DMSO for 24 h. HepG2 cell viability was detected by cell counting kit 8. The results were obtained from at least triplicate independent experiments. Values were shown as mean ± SEM (n=5), *P value <0.05, **P value <0.01. DMSO, dimethyl sulfoxide.

3-Methyladenine (3-MA) sensitized sorafenib-induced apoptosis of HepG2 cells. (a) Flow cytometry analysis on the apoptosis rate of HepG2 cells was detected by Annexin V-FITC/PI assay. Representative dot plots and similar results were obtained in three independent experiments. HepG2 cells in early apoptosis (FITC+/PI-) were indicated in the lower right quadrant, and late apoptosis (FITC+/PI+) were indicated in the upper right quadrant. (b) The apoptosis rate of HepG2 cells in 3-MA, sorafenib and sorafenib + 3-MA group. HepG2 cells treated with isopyknic DMSO were used as controls. (c) 3-MA sensitized sorafenib to induce apoptosis of HepG2 cells on Hoechst33342 staining. Dark blue nucleus indicates normal cells, whereas bright blue belongs to apoptotic cells. (×200) (d) Expression of apoptosis-related proteins Caspase-3/Cleaved-caspase-3 and Bcl-2 was detected by western blotting. β-actin was used as an internal control. All indicators were performed and analyzed for at least triplicate independent experiments. Values were shown as mean ± SEM (n=5), * P value <0.05. DMSO, dimethyl sulfoxide; FITC, fluorescein isothiocyanate; PI, propidium iodide.
was used to analyze the gray value of each protein. All antibodies in western blot were purchased from Cell Signaling Technology. All indicators were performed for at least triplicate independent experiments and statistically significance comparison between multiple groups was analyzed using one-way ANOVA.

**Statistics**

All statistical analyses were performed by the statistical product and service solutions software (version 19.0, RRID:SCR_002865), Image J (version 1.2.4, RRID:SCR_003070) and Adobe Illustrator (version CS5, RRID:SCR_010279, and results were expressed as mean ± SEM. All dataset passed the D’Agostino and Pearson normality test before running Student’s t test. The comparison between two groups was evaluated by Student’s t test, and the comparison between multiple groups was performed by Tukey’s test using one-way ANOVA. A value of P<0.05 was considered statistically significant.

**Results**

**Effects of sorafenib/3-Methyladenine viability of HepG2 cells**

In this study, human HCC cells HepG2 were treated with gradient concentration of sorafenib at different time points. We found that treatment with low-dose sorafenib for 12 h had not much effect on cell viability until the concentration reached 20 µM. After treatment with sorafenib for 24 h, its effect on cell viability was NS in the low-dose groups. But this was significantly decreased when the concentration reached 10 µM. In those groups treated with different concentrations of sorafenib for 48 h, cell viability was significantly decreased from 5 µM. IC50 at different time points was calculated: IC50, 12h (36.6 ± 2.05) µM, IC50, 24h (13.47 ± 1.99) µM, IC50, 48h (10.21 ± 1.84)µM as shown in Fig. 1a. In order to prevent the effect of high concentration of sorafenib itself, such as toxic effects, treatment time or other interference factors, rather than its pharmacological effect, 15 µM was selected as the concentration for subsequent experiments and the treatment time was 24h.

Subsequently, cells were treated with 15 µM sorafenib, 5 mM 3-MA or 15 µM sorafenib + 5 mM 3-MA for 24 h, and cells treated with isometric DMSO were set as the control group. As shown in Fig. 1b, 3-MA had only slightly inhibit HepG2 cell proliferation (12.24 ± 4.75)%, whereas sorafenib significantly inhibited HepG2 cell proliferation (54.72 ± 9.43)% when compared with cells treated with DMSO (4.51 ± 1.76)% (P<0.05). Interestingly, the inhibitory effect on cell proliferation was significantly increased to (79.16 ± 11.38)% (P<0.05) after sorafenib was combined with 3-MA, which was 25% higher than the sorafenib alone group.

**3-MA sensitized sorafenib-induced apoptosis of HepG2 cells**

The apoptosis rate of HepG2 cells in each group was detected by the Annexin V-FITC/PI apoptosis detection kit. As shown in Fig. 2a and b, 3-MA treatment had no significant effect on the apoptosis of HepG2 cells (12.57 ± 3.91)%, whereas sorafenib enhanced the apoptosis (23.06 ± 7.52)%. The effect on HepG2 cell apoptosis was significantly increased to (45.28 ± 10.66) % after sorafenib was combined with 3-MA, which was 22%
higher than the sorafenib alone group. Therefore, our results suggested that 3-MA could increase the sensitivity of sorafenib-induced cell apoptosis, with the majority of apoptosis cells in early apoptosis.

Hoechst33342 staining results showed that there were more apoptotic cells in the sorafenib + 3-MA group than in the other groups, which suggested that autophagy inhibitor 3-MA could significantly enhance the sensitivity of sorafenib-induced HepG2 cell apoptosis as shown in Fig. 2c.

In order to clarify the mechanism of autophagy in sorafenib-induced apoptosis of HepG2 cells, we further analyzed the expression of apoptosis-related proteins in each group. As shown in Fig. 2d, cleaved-caspase-3 in the sorafenib treatment group was increased, whereas the apoptosis suppressor factor Bcl-2 was decreased when compared with the DMSO control group. Interestingly, these two proteins were found to be further enhanced in the sorafenib + 3-MA group.

3-MA increased the sensitivity of sorafenib in HepG2 by regulating the expression of autophagy-related proteins and the formation of autophagosomes.

HepG2 cells were first transfected with AAV-LC3-GFP for 24h followed by treatment with DMSO, 3-MA, sorafenib, sorafenib + 3-MA. We found that high brightness dotted autophagosomes appeared around the nuclei after treatment with sorafenib, indicating that sorafenib could induce the autophagy activity of HepG2 cells, whereas this was significantly inhibited by 3-MA when combined with sorafenib in the sorafenib + 3-MA group as shown in Fig. 3a.

After different treatments, HepG2 cells were processed according to the standard procedure and the formation of autophagosomes in each group was observed by transmission electron microscope. We found that there were more autophagosomes in the sorafenib group than in the DMSO group, which supported that sorafenib could enhance the autophagy activity in HepG2 cells. However, there was a significant decrease after treatment with sorafenib + 3-MA, suggesting that 3-MA successfully inhibited the formation of autophagosomes induced by sorafenib as shown in Fig. 3b.

HepG2 cells in different groups were harvested and proteins were extracted for further analysis. Western blotting was performed to detect the expression of autophagy-related proteins. We found that treatment with sorafenib significantly upregulated the expression of LC3 II (a marker protein of autophagy) as well as Beclin-1, an autophagy promoter. On the contrary, the expression of autophagy substrate p62 was decreased significantly in the sorafenib group. However, when sorafenib and 3-MA were combined, the above trends in all protein expression levels were successfully reversed as shown in Fig. 3c. These results further suggested that 3-MA could effectively inhibit the enhanced autophagy activity of HepG2 cells induced by sorafenib.

Discussion

HCC is a malignant liver tumor with high incidence. Many patients are already at advanced stages when diagnosed with HCC and, therefore, unfortunately miss the optimum opportunity for surgery and have poor response of systemic chemotherapy [28–30]. Sorafenib is the most commonly used molecular targeted drug that can be taken orally to treat advanced HCC. As a multitarget antitumor drug, it can target serine/threonine kinase and receptor lysine kinase in tumor cells or blood vessels to induce apoptosis thus inhibiting tumor growth [31,32]. Several clinical studies in the guidelines NCCN/ESMO have demonstrated that sorafenib can improve the survival rate in patients with advanced HCC [9,10]. In this study, we verified through CCK8 assay that HepG2 cell proliferation could be inhibited by sorafenib. Interestingly, this effect was significantly enhanced when sorafenib was combined with 3-MA. As an effector of cell apoptosis, Caspase-3 can be activated by upstream initiators. The activated Caspase-3 (Cleaved-Caspase-3) acts on specific downstream signaling molecules, causing a series of biological morphological changes in cells and ultimately inducing cell apoptosis [33,34]. Bel-2 is the first protein that has been proved to reduce cell apoptosis. It maintains cell membrane permeability by adjusting the opening and closing of permeability transition holes on the mitochondrial membrane and interferes with the release of cytochrome C to block the activation of upstream caspase protease, thereby inhibiting cell apoptosis [35]. Previous studies have confirmed that the apoptosis of tumor cells is closely related to the changes of Bel-2 and Caspase-3 [36–38]. We confirmed that the expression of Bel-2 in HepG2 cells was significantly lower in the sorafenib treatment group compared with the control group, whereas cleaved-caspase-3 had the completely opposite trend, suggesting that sorafenib could inhibit tumor growth by promoting HepG2 cell apoptosis.

However, sorafenib resistance has been frequently reported in recent years [39]. At advanced stage of tumor, rapid proliferation of tumor cells requires abundant nutrients under hypoxia and metabolic stress, especially for solid tumors with low vascularization [40–43]. Therefore, autophagy plays an important role in tumor cell survival and recurrence after chemotherapy. Tumor cells can activate autophagy in the event of cell damage during radiation therapy and chemotherapy, eliminate the damaged proteins and organelles to store energy, and maintain cell survival against chemotherapy [17,44]. To verify this idea, we treated HepG2 cells with sorafenib and found that the formation of autophagosomes were significantly increased, whereas the expressions of autophagy initiation proteins Beclin-1 and mature marker proteins LC3 II were significantly increased by western blotting. All data above supported that sorafenib could enhance the
autophagy activity of HepG2 cells, which may be one of the mechanisms for its acquired resistance to HepG2 cells.

Considering that autophagy improves the acquired resistance of HepG2 cells to sorafenib, its inhibition may be a potential treatment for eliminating resistance. 3-MA is an inhibitor of PI3K, which can specifically block the formation of autophagosomes during autophagy [45–47]. In this study, by treating HepG2 cells with sorafenib + 3-MA, we found that autophagosomes were decreased and the cell proliferation inhibition level and apoptosis level were both significantly higher than in the sorafenib or 3-MA group alone, indicating that 3-MA may reduce the acquired resistance of sorafenib by inhibiting the formation of autophagosomes. Further western blotting results were consistent with these results, therefore supporting our conclusion above.

Conclusion
With the development of molecular targeted therapy, new tumor therapy modalities of biochemotherapy have received increasing attention [48]. After the positive effect of sorafenib in the treatment of HCC was verified, how to increase the efficacy of sorafenib and reduce its resistance has remained a hot topic in current researches. Given the close relationship between autophagy and tumor genesis and development, as well as its crucial role in the mechanism of drug resistance, we concluded that regulating autophagy to increase the sensitivity of antitumor drugs would be a new breakthrough in antitumor therapy in the future [49]. The limitation of this study was that we only confirmed that 3-MA could reduce the acquired resistance of sorafenib to certain extent by inhibiting the autophagy activity, and neither deeper mechanism nor an intrinsic resistance mechanism of HCC cells to sorafenib were explored, which is what we plan to investigate further in the future.

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Conflicts of interest
There are no conflicts of interest.

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