A Systematic Effective Operator Analysis
of Semi-Annihilating Dark Matter

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Abstract

Semi-annihilation is a generic feature of dark matter theories stabilised by symmetries larger than a $\mathbb{Z}_2$. It contributes to thermal freeze out, but is irrelevant for direct and collider searches. This allows semi-annihilating dark matter to avoid those limits in a natural way. We use an effective operator approach to make the first model-independent study of the associated phenomenology. We enumerate all possible operators that contribute to $2 \rightarrow 2$ semi-annihilation up to dimension 6, plus leading terms at dimension 7. We find that when the only light states charged under the dark symmetry are dark matter, the model space is highly constrained. Only fifteen operators exist, and just two for single-component dark sectors. If there can be additional light, unstable “dark partner” states the possible phenomenology greatly increases, at the cost of additional model dependence in the dark partner decay modes. We also derive the irreducible constraints on models with single-component dark matter from cosmic ray searches and astrophysical observations. We find that for semi-annihilation to electrons and light quarks, the thermal relic cross sections can be excluded for dark matter masses up to 100 GeV. However, significant model space for semi-annihilating dark matter remains.

1 Introduction

The existence of dark matter (DM) is perhaps the strongest single piece of evidence for the necessity of new physics. Cosmological measurements across a wide range of scales all point to the existence of a cold non-luminous component of matter in the Universe, with an energy density today about six times that of baryons. However, despite several decades of strenuous experimental effort, no unambiguous non-gravitational signal has been found. In the face of these null results, the microscopic properties of DM remain unknown.

In particular, a combination of direct [1–6], collider [7, 8], and indirect (cosmic ray) [9–11] searches are seriously constraining the parameter space of one of the most commonly studied theoretical frameworks, thermal relic DM. A key factor in the strength of these limits is the relation between rates at terrestrial experiments and the thermal relic density. This derives from the common assumption that DM is stabilised by the existence of an unbroken $\mathbb{Z}_2$ global symmetry, such as $R$-parity in supersymmetry, under which all Standard Model (SM) fields are even while the DM is odd. Such a symmetry forbids all processes with an odd number of external DM fields. If we restrict ourselves to $2 \rightarrow 2$ processes, the only possible DM–SM connection is the well-known

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Figure 1: Two types of dark sector processes, where $\chi$ ($V$) is a dark (visible) sector field. (Left): DM annihilation to/from, or scattering off, the SM; this is the only process possible when the dark matter is stabilised by a $Z_2$ symmetry. (Right): Semi-annihilation, a non-decay process with an odd number of external visible particles, generically possible when the stabilising symmetry is larger than $Z_2$.

Figure shown on the left of Fig. 1. Depending on the direction of time, this corresponds to DM annihilation to the SM (relevant for the relic density and indirect searches); DM scattering off the SM (relevant for direct searches); or DM production by SM annihilation (relevant for colliders). With all these processes deriving from the same diagrams, their rates will be related. The measured DM abundance lets us infer the annihilation cross section, and thereby a minimum sensitivity required to probe these models.

However, this situation is not generic [12–15]. If the DM is charged under any global symmetry other than $Z_2$, then processes with an odd number of dark sector fields can be allowed without inducing DM decay. If we again restrict ourselves to $2 \to 2$ processes, we have one new type of process, shown on the right of Fig. 1: $\chi_1\chi_2 \to \chi_3 V$, where $\chi_{1,2,3}$ are dark sector particles and $V$ an SM field. This “semi-annihilation” (SA) changes dark sector number by one, compared to two for regular annihilation. Most importantly, while SA can be very important for determining the relic density and for indirect detection experiments, it is essentially irrelevant for collider and direct searches. The rates at these experiments can be substantially reduced while still obtaining the correct thermal abundance. SA therefore represents a simple and generic extension of DM model space which has the potential to significantly weaken current bounds.

The natural question is then to what extent semi-annihilating dark matter (SADM) can be constrained by current and near future searches. To answer that we must first consider what the possible SA processes are, and what range of models can exist. While several previous works have explored SADM phenomenology [16–30], these studies have typically been done in the context of specific models constructed for the purpose. No systematic investigation has yet been attempted. This is in contrast to conventional models of annihilating dark matter, where model-independent studies have a long history [31–33]. Our goal in this paper is to exploit these tools to make a first step in addressing the model space, general phenomenology, and constraints for SADM.

Modern model-independent studies of DM fall into two broad categories [34]: higher-dimension operators in an effective field theory (EFT) [35–38], and renormalisable simplified models [39–43]. The former has several advantages for our purposes. The most immediate are its the relative simplicity and direct connection to the processes of interest. Once we specify the external states, it is straightforward to construct all possible operators up to a given dimension. Additionally, SADM is immune to the main phenomenological drawback, the breakdown of the EFT at high energies $E > \Lambda$, where $\Lambda$ is the UV cut-off. This is a particular concern for collider studies, due to the high centre-of-mass energies involved [44]. However, SA is only relevant for thermal freeze-out and indirect searches, which involve non-relativistic processes at approximately a single energy scale $\sqrt{s} \sim 2m$. This makes it much more likely that the EFT will remain phenomenologically valid. Finally, we note that $2 \to 2$ SA as in Fig. 1 will normally derive from four-field operators, three of which will be charged under $D$. Any renormalisable UV completion will involve intermediate states.
also charged under $D$, which therefore must be more massive than the DM. This also increases our belief in the validity of the EFT. For all these reasons, we consider here higher-dimension operators and defer the construction of general renormalisable models to future work.

The irreducible constraints on SADM will come from indirect searches looking for the (decay products of the) visible state produced when two DM particles semi-annihilate today. Once we have constructed the effective operators, we can use flux limits from current and near-future telescopes to place lower bounds on the UV scale $\Lambda$. We can compare these limits to the scales needed for the same operator to give the correct thermal relic density; if we can exclude this latter value, additional (semi-)annihilation channels are needed. These are expected to exist in a complete model; however, annihilation will bring additional limits while having multiple comparable semi-annihilation channels represents a model-building challenge. As such, excluding the thermal relic cross section for a given SA operator disfavours it as a significant DM–SM coupling. We show that this is already possible in some channels, but significant model space remains.

The outline of this paper is as follows. We begin in Section 2 by defining our assumptions, notation and strategy for enumerating the possible effective operators that lead to SA. We then proceed to construct them in Section 3 for models where all light dark sector states are stable, and in Section 4 when there can be additional unstable light states. For simplicity we assume in these sections that the DM are total gauge singlets. We also outline some generic constraints these models must obey. Current and future bounds for these operators from indirect searches are given in Section 5, and we end by giving our conclusions in Section 6. A few additional details are deferred to the appendices. Some useful tensor symmetry properties are reviewed in Appendix A. Additional effective operators are listed in Appendix B for potentially interesting terms at dimension 7 and 8, and in Appendix C for DM with SM gauge charges. Last in Appendix D we prove that scalar DM semi-annihilating to photons or gluons in our formalism is $p$-wave suppressed.

2 Methodology and Notation

Our framework for SADM is based on the following set of assumptions:

- Dark matter is one or more stable electrically-neutral colour-singlet scalar and/or fermion particles, which together saturate the observed relic density.
- DM stability is enforced by a global symmetry $D$ larger than $Z_2$, under which all SM fields are singlets.
- There may be additional light unstable scalar and/or fermion particles, charged under both $D$ and the SM gauge group, relevant to SA processes.
- We assume that the SA interactions between the dark matter and visible sector can be well approximated by generally non-renormalizable effective contact operators.

We denote the fields charged under $D$ as the dark sector, even if they have SM gauge charges. We call unstable dark sector fields “dark partners”, for reasons that will later become apparent.

The restriction to new particles of spin 0 and $1/2$ is primarily done to avoid complications inherent in higher spin states. Note, however, that as the spin of the dark sector fields increase, the dimension of the effective operators that couple to the SM will also increase, making them less relevant. Taking the DM to be exactly stable avoids constraints from its decay; as discussed in the Introduction, SA then requires $D \neq Z_2$. Exact DM stability can persist even in the presence of quantum gravity if $D$ is the residual subgroup of a gauge symmetry broken at high energies [19, 45, 47].
Our assumptions then lead us to a low-energy Lagrangian of the form

\[ \mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{Dark}} + \sum_{d=4}^{\infty} \sum_i \frac{c_i^d}{\Lambda^{d-4}} \mathcal{O}_i^d, \]

where \( \mathcal{L}_{\text{SM}} \) is the usual SM Lagrangian; \( \mathcal{L}_{\text{Dark}} \) is the renormalisable dark-sector Lagrangian; \( \Lambda \) is the UV cut-off; and \( \mathcal{O}_i^d \) are dimension-\( d \) operators connecting the two sectors with Wilson coefficients \( c_i^d \). Aside from the \( \mathcal{O}_i^d \), the only other possible connection between the two sectors are gauge couplings in \( \mathcal{L}_{\text{Dark}} \), e.g. for dark partners. In general the \( \mathcal{O}_i^d \) will include terms that lead to DM annihilation as well as SA. We assume that these can be made subdominant, and discuss the consequences of that assumption where appropriate. We also use \( \mathcal{O}_{\text{SA}} \) to specifically refer to operators that lead to SA.

We do not specify the mechanism responsible for determining the DM relic density. In particular, we will not impose that the SA effective operators generate the correct thermal relic density. If the SA interactions are too weak, we can always posit additional (co-)annihilations to lower the relic density to the observed value. If SA processes would under-produce DM, then we must invoke some non-thermal process (such as late decays of more massive states \[48\]) to regenerate the DM population. In both cases, we see that the relic density is sensitive to details of the complete model not captured by our effective operator formalism. However, when we show constraints in Section 5, we will show where in our parameter space SA processes alone generate the observed thermal abundance.

We allow for the existence of multiple DM states. This is generically possible when \( D \) is sufficiently large; for example, if \( D = \mathbb{Z}_4 \) and the lightest and next-to-lightest states have charges 2 and 1 respectively, both are automatically stable. However, while we will enumerate operators for arbitrary DM multiplicity, when we set limits we will focus on models where the DM is unique. Limits on multicomponent dark sectors depend on (and are suppressed) by the fractional abundance of each state. We would need to specify those abundances in some manner, which amounts to specifying how the DM abundance is set.

The set of possible effective operators coupling the SM to the dark sector is trivially infinite. To make further progress, we make some further restrictions:

- We focus on 2 → 2 SA processes of the form \( \chi_1 \chi_2 \rightarrow \chi_3 V \), where \( \chi_{1,2} \) are DM, \( \chi_3 \) either DM or a dark partner, and \( V \) a SM particle.
- We give all operators up to dimension 6, plus a few leading operators at dimension 7.
- We assume that all DM states are complete gauge singlets. Operators where this assumption is relaxed are given in Appendix C.

It is natural to expect 2 → 2 processes to dominate over 2 → 3, since the latter are phase-space suppressed and often come from higher-dimensional operators. However, we will find that in certain regions of parameter space 2 → 3 operators can be more important. For 2 → 2 non-relativistic processes with DM mass \( m \) mediated by a dimension \( d \) operator \( \mathcal{O}_i^d \) with Wilson coefficient \( c_i^d = 1 \), we can estimate the cross section as

\[ \langle \sigma v \rangle \sim \frac{1}{8\pi} \frac{1}{4m^2} \left( \frac{m}{\Lambda} \right)^{2(d-4)} \sim \left( \frac{100 \text{ GeV}}{m} \right)^2 \left( \frac{m}{\Lambda} \right)^{2(d-4)} \times 10^{-23} \text{ cm}^3\text{s}^{-1}. \]

Taking \( \Lambda > 2m \) for the EFT to be valid, and \( \langle \sigma v \rangle \gtrsim 3 \times 10^{-26} \text{ cm}^2\text{s}^{-1} \) as an estimate of sensitivity, gives \( d \lesssim 8.2 \); instead taking \( \Lambda = 4m \) gives \( d \lesssim 6.1 \). This motivates our upper limit on \( d \), as higher-dimension operators are unlikely to lead to observable signals.

We restrict our focus to gauge singlet DM for two reasons. First, this maximizes the importance of SA in setting limits on the DM parameter space. If the DM is charged under the electroweak
SM Field | $SU(3)_c \times U(1)_{em}$ | SM Field | $SU(3)_c \times U(1)_{em}$
--- | --- | --- | ---
$u$ | $\frac{3}{2}/3$ | $h$ | $1_0$
$d$ | $\frac{3}{2}/3$ | $\gamma, Z$ | $1_0$
$e$ | $1_{-1}$ | $W$ | $1_1$
$\nu$ | $1_0$ | $g$ | $8_0$

Table 1: Definition of SM fermion (left) and boson (right) fields in the EW broken phase. We suppress flavour indices.

gauge group, annihilations to pairs of gauge bosons will always be allowed. We can estimate the relative size of these processes to the SA operators we consider as

$$\frac{\sigma^{\text{gauge}}}{\sigma^{\text{SA}}} \sim g^2 \left( \frac{\Lambda}{m} \right)^{2(d-4)},$$

(3)

with $g$ the gauge coupling. For $g = g_2$ and $d \geq 5$, the gauge processes always dominate. Second, because all SA operators involve three dark sector fields, the number of possible gauge contractions for non-singlet DM becomes very large, such that enumerating them is less enlightening.

We construct operators in both the EW broken and unbroken phases, together with the explicit relations between the two bases. The broken phase description allows us to make direct contact with phenomenology, and in particular makes our focus on $2 \rightarrow 2$ processes most manifest. Constraints placed in this basis can be mapped to any model, including those with light mediators or where the dark sector fields have EW charges, thanks to the non-relativistic nature of DM today. Additionally, with one exception all possible SA processes can be generated by operators of dimension 6 or less in this phase. The unbroken phase description is the natural basis when the dark sector only couples to the SM through mediators with masses large compared to the electroweak scale, and is thus more in the spirit of the EFT description. We construct all unbroken phase operators up to dimension 6, plus some dimension 7 operators for processes that cannot be generated at lower dimension. We will find that to this order, the relationship between symmetric and broken phase operators is one-to-many. We thus also give additional dimension 7 and 8 operators in Appendix B that are required to generate all the broken phase operators individually.

In our operator lists, we use Fierz identities, integration by parts and the equations of motion to eliminate redundant terms. In particular, we exploit the ability to use the lowest-order equations of motion [49–54] to eliminate any operators containing

$$D^\mu D_\mu \Phi, \quad \gamma^\mu D_\mu F, \quad D^\mu V_{\mu \nu}, \quad D^\mu V_\mu,$$

(4)

with $D_\mu$ the covariant derivative, $\Phi$ any field, $F$ any fermion and $V$ any vector or field strength tensor. Additionally, we use the Bianchi identity

$$\epsilon^{\mu \nu \rho \sigma} D_\nu V_{\rho \sigma} = 0,$$

(5)

where $\epsilon^{\mu \nu \rho \sigma}$ is the Levi-Civita tensor, to further eliminate redundant terms.

For clarity, we define our SM fields in the EW broken and symmetric phases in Tables 1 and 2, respectively. Unlike some similar works [55], we do not include a right-handed neutrino among the SM fields. The broken (unbroken) phase fermions are Dirac (Weyl) spinors. We normalise the Higgs VEV as $\langle H^\dagger H \rangle = v^2/2$, i.e. $v = 246$ GeV, and define

$$\bar{H} = i \sigma^2 H^*, \quad \bar{V}_{\mu \nu} = i \epsilon_{\mu \nu \rho \sigma} V^{\rho \sigma}, \quad \text{and} \quad \bar{X} = \{X, \bar{X}\},$$

(6)

where $V_{\mu \nu}$ is any field strength tensor and $X$ is any object we might put a tilde over (including $V_{\mu \nu}$). We call a state light if it is relevant to ID signals; roughly, this means it has a mass no more than twice the mass of the heaviest stable state.
Table 2: Definition of SM fermion (left) and boson (right) fields in the EW unbroken phase. We suppress flavour indices.

All our operators involve either three DM fields, or two DM fields and one dark partner field. When there are multiple DM fields with the same spin, there will be an exchange symmetry, which the Wilson coefficients must respect. We find it useful to show this explicitly by contracting our operators with dummy tensors of definite symmetry; that is, we write operators in the form

\[ O_{SA} = s_{ijk} \phi_i \phi_j \phi_k H^\dagger H, \] (7)

where the Lagrangian term is

\[ L \supset c_{ijk} / 6 \phi_i \phi_j \phi_k H^\dagger H, \] (8)

and the Wilson coefficient \( c_{ijk} \) has the same symmetry representation as the dummy tensor \( s \). The factor of one-sixth is a symmetry factor. For operators with two DM fields, we contract the DM flavour indices with \( s_{ij} \), \( a_{ij} \), or \( n_{ij} = s_{ij} + a_{ij} \), where \( s_{ij} = s_{ji} \) is fully symmetric and \( a_{ij} = -a_{ji} \) is fully antisymmetric. For operators with three DM fields, we use the fully (anti)-symmetric tensors \( s_{ijk} \) and \( a_{ijk} \), as well as two tensors \( x_{ijk} \) and \( y_{ijk} \) of mixed symmetry,

\[ x_{ijk} = -x_{jik}, \quad x_{ijk} + x_{jki} + x_{kij} = 0, \] (9)

\[ y_{ijk} = y_{jik}, \quad y_{ijk} + y_{jki} + y_{kij} = 0. \] (10)

Some relevant properties of these tensors are listed in Appendix A. Note that when there is only a single DM field, the only operators which survive are those contracted with \( s_{ij} \) and \( s_{ijk} \).

In our operator lists, we give each term a unique name of the form \( O^{dVx}_{V\mu} \), where \( d \) is the operator dimension; \( P = B (U) \) for operators in the EW broken (unbroken) phase; \( V \) denotes the SM state that appears in the \( 2 \rightarrow 2 \) SA process; and \( x \) are additional labels to further distinguish the operators. We use the same labels for the Wilson coefficients. In the EW broken phase, \( f \) stands for any (Dirac) SM fermion, and \( V_{\mu} \in \{ Z_{\mu}, W_{\mu} \} \) represents a massive vector field. In the EW broken phase, we use \( F (\bar{f}) \) to denote the left-handed doublet (right-handed singlet) SM Weyl fermions. In both phases, \( V_{\mu} \), represents the field strength tensor of any of the SM vector fields; \( \phi \) is a (in general complex) DM scalar; and \( \chi \) is a (in general Dirac) DM fermion with Weyl components \( (\eta, \bar{\xi})^T \). When we introduce dark partners in Section 4, we use \( \omega \) for scalars and \( \psi = (\zeta, \bar{\upsilon})^T \) for fermions. Lastly for any fields \( \Phi_{1,2} \) we define

\[ \Phi_1 D_{\mu} \Phi_2 = \Phi_1 (D_{\mu} \Phi_2) - (D_{\mu} \Phi_1) \Phi_2. \] (11)

3 Dark Matter Only Models

We first consider the case where the only light states in the dark sector are stable, i.e. DM. We can subdivide this into three classes, depending on whether these states are scalars, fermions or a mixture of both. Only the first two possibilities exist if we demand a unique DM state. For a \( 2 \rightarrow 2 \) SA process, the only possible SM final states are the \( h, \gamma, Z \) and \( \nu \), while any SM final state can appear in a \( 2 \rightarrow 3 \) process.
A generic feature of (almost) all the operators we write down in the EW symmetric phase is the presence of $2 \rightarrow 3$ processes. Recall that we defined the SM content without a right-handed neutrino, so the only single-field gauge singlet in the visible sector is the hypercharge field strength tensor, $B_{\mu\nu}$. Since (by assumption) the DM fields are all total gauge singlets, operators that can lead to SA to $h$, $Z_\mu$ or $\nu$ necessarily also lead to $2 \rightarrow 3$ processes. Though these are phase-space suppressed by $1/(4\pi)^2$, processes with two-body final states are suppressed by $v^2/m^2$:

$$M_{2\rightarrow 2} \sim \frac{vm^{d-5}}{\Lambda^{d-4}}, \quad M_{2\rightarrow 3} \sim \frac{m^{d-4}}{\Lambda^{d-4}}.$$  \hspace{1cm} (12)

Naïvely, $2 \rightarrow 2$ processes will dominate for $m \lesssim 4\pi v \approx 3$ TeV. The actual condition is usually weaker than this, since the final states from three-body processes are typically softer than from two-body processes, making them harder to distinguish from backgrounds. Exceptions can occur when the 3-body final state involves a more-easily detected SM particle, but this is not a concern for any of the operators we find. In Section 5, we will find that the limits at such high masses are weak, justifying our focus on $2 \rightarrow 2$ processes.

A second generic feature of all DM models is the possibility of annihilation through Higgs portals. For scalar DM, we can always write the renormalisable coupling

$$-\mathcal{L} \supset \lambda^{ij} \phi_i^\dagger \phi_j H^\dagger H,$$  \hspace{1cm} (13)

while for fermion DM, we have the dimension-5 operator \[56\]

$$-\mathcal{L} \supset \frac{1}{\Lambda} \bar{\chi}_i (c_S^{ij} + c_P^{ij} \gamma^5) \chi_j H^\dagger H.$$  \hspace{1cm} (14)

These terms are always allowed for $i = j$, and non-diagonal couplings may exist depending on the $D$ representations. The presence of annihilation channels is not a theoretical obstacle; it may even be necessary to obtain the correct relic density, as discussed in Section 2. However, the Higgs portals are low dimension operators so could plausibly dominate the DM phenomenology. Demanding that the SA processes are the most important gives bounds on $\lambda$ and $c_P$, which in turn restricts possible UV completions. ($c_S$ leads to $p$-wave suppressed annihilation, so it is not constrained by these considerations.) If the UV physics generates the Higgs portal coupling at $n$-loops, then

$$\lambda, c_P \sim \frac{N_F}{(4\pi)^2n},$$  \hspace{1cm} (15)

where $N_F$ is roughly the number of diagrams that contribute. In particular, for one-loop generation, $N_F$ is the number of fields that run in the loop. It is straightforward to forbid tree-level generation of Higgs portal couplings: the UV completion should contain no gauge- and $D$-singlet scalars, as well as no hypercharge one-half electroweak doublets with the same spin and conjugate $D$ representation as the DM. Forbidding one-loop generation while allowing tree-level generation of $O_{SA}$ is a more serious model-building challenge. As such we shall assume $\lambda, c_P \sim N_F/(4\pi)^2$, and infer constraints on the UV scale $\Lambda$.

These limits depend on the SA final state, but we can derive a simple estimate by requiring that the annihilation cross sections are smaller than those for SA. For scalars, consider an SA operator of dimension $d = 4 + \delta$, where the $2 \rightarrow 2$ process is also $v/m$-suppressed. Then SA dominates if

$$\Lambda \lesssim c_{SA} \frac{v}{\Lambda} \left( \frac{m}{\Lambda} \right)^{\delta-1}.$$  \hspace{1cm} (16)

If $\lambda$ is generated at tree-level, this requires $\Lambda < v$, in which case the EFT framework is unlikely to be valid. Alternatively, if the Higgs portal is one-loop, $N_F \sim 3$, and $c_{SA} \sim 1$ then we get no bounds on renormalisable SA operators and

$$\Lambda \lesssim \{10, 5, 3\} \text{ TeV for } d = 5, 6, 7.$$  \hspace{1cm} (17)
Table 3: Operators relevant for dark sectors comprised of scalar DM and no other light fields, in the EW broken phase (top) and symmetric phase (bottom). We also show the relation between the two phases in the lower table.

A similar analysis for fermions gives no bounds on dimension-5 \( \mathcal{O}_{SA} \) and

\[
\Lambda \lesssim \{10, 5\} \text{ TeV} \quad \text{for} \quad d = 6, 7. \tag{18}
\]

Finally, for operators where \( 2 \rightarrow 2 \) processes do not have this suppression, we find do not find absolute bounds, but rather we constrain the ratio \( m/\Lambda \):

\[
\Lambda \lesssim \frac{m}{\Lambda^{1/3}} \sim \{50m, 7m, 3m\} \quad \text{for scalar DM and} \quad d = 5, 6, 7, \tag{19}
\]
\[
\Lambda \lesssim \{50m, 7m\} \quad \text{for fermion DM and} \quad d = 6, 7. \tag{20}
\]

Renormalisable scalar and dimension-5 fermion \( \mathcal{O}_{SA} \) are unconstrained, and tree-level \( \lambda \) or \( c_P \) will still always dominate. As noted, these are only approximate bounds; we comment on their applicability to specific SA operators as relevant.

### 3.1 Scalar dark matter

We first consider the case where the dark sector consists of one or more stable scalars and no other light states. In this case, the possible SM final states are the Higgs, \( Z \) and photon. In the EW broken phase, there are only three operators contributing to \( 2 \rightarrow 2 \) processes up to dimension 6, which we show in the upper part of Table 3. Only \( \mathcal{O}_{4B}^h \) is non-vanishing when the DM state is unique. These generate the SA processes \( \phi_i \phi_j \rightarrow \phi_k^\dagger Z \) and \( \phi_i \phi_j \rightarrow \phi_k^\dagger h \). The leading contribution to \( \phi_i \phi_j \rightarrow \phi_k Z \) occurs at dimension 7 (and vanishes unless the DM fields are all distinct). However, as shown in Appendix D, all effective operator contributions to this process lead to \( p \)-wave annihilation. They therefore do not lead to observable signals today and so we ignore them. In contrast, all the terms in Table 3 lead to \( s \)-wave annihilation.

In the EW unbroken phase for gauge singlet DM, there is only a single operator up to dimension 6, which corresponds to \( \mathcal{O}_{4B}^s \) after EWSB. The other two broken phase terms derive from dimension 7 operators, which we also include in the lower part of Table 3. We see that the operators in the two phases are in a one-to-one relation in this case.

In constructing the operators in Table 3, we are implicitly assuming that they are the most important couplings between the visible and dark sectors. It is necessary to critically examine that assumption, and the implications for the parameter space and possible UV completions. We have already discussed the existence of \( 2 \rightarrow 3 \) processes in the EW unbroken phase. In this case, the final states for both types of processes are similar (Higgses and electroweak gauge bosons) so that the simple bound above, \( m \lesssim 3 \text{ TeV} \), is probably a reasonable approximation for when two-body final states dominate.
Next, we discuss the Higgs portal coupling of Eq. (13). The constraints of Eq. (17) apply well to the operators in this section, as both annihilation and SA involve similar final states. Tree-level generation of the quartic will always dominate, while if it is one-loop then SA will dominate for \( \Lambda \lesssim \mathcal{O}(10) \text{ TeV} \) (3 TeV) for \( \mathcal{O}_{5U}^H (\mathcal{O}_{7U}^{Z,H}) \). All the operators of Table 3 will generate the quartic coupling at two-loops, which gives a lower bound

\[
\lambda \gtrsim \frac{c_{5U}^H/c_{7U}^{Z,H}}{(4\pi)^4},
\]

independent of the UV completion. If this value is realised, the upper limit on \( \Lambda \) increases by a factor of \((4\pi)^2\).

Lastly we observe that if we can write down any of the operators in Table 3, then in addition to the Higgs portal term of Eq. (13) we can also include the renormalisable term

\[
-\mathcal{L} \supset \frac{1}{6} \rho^{ijk} \phi_i \phi_j \phi_k + h.c.
\]

The (fully symmetric) cubic term must be a singlet under the dark sector symmetry \( D \) for the terms in Table 3 to be allowed, while it is a SM singlet since we restrict ourselves to total gauge singlet DM. It can only be generated in the UV at tree-level by mixing between the DM and a heavy dark sector field. If this is forbidden, we expect

\[
\rho \sim \frac{N_F \Lambda}{(4\pi)^2}.
\]

In the low-energy theory, \( \mathcal{O}_{5U}^H \) generates \( \rho \) at one loop, while the dimension 7 operators generate it at four loops. These contributions give the approximate lower bounds

\[
\rho \gtrsim \frac{c_{5U}^H \Lambda}{(4\pi)^2} \text{ or } \frac{c_{7U}^{Z,H} \Lambda}{(4\pi)^8}.
\]

The cubic and Higgs portal couplings together lead to the SA process \( \phi_i \phi_j \to \phi_k h \). This will have the same non-relativistic cross section as \( \mathcal{O}_{5U}^H \) if

\[
\frac{(c_{5U}^H)^{ijk}}{\Lambda} = \sum_l \left[ \frac{\rho^{ijkl} \lambda^{lk}}{(m_i + m_j)^2 - m_l^2} - \frac{\rho^{ijkl} \lambda^{lj}(m_i + m_j)}{(m_i + m_j)(m_i m_j + m_l^2) - m_j m_h^2 - m_i m_h^2} 
\right. 
\left. - \frac{\rho^{ijkl} \lambda^{li}(m_i + m_j)}{(m_i + m_j)(m_i m_j + m_l^2) - m_i m_h^2 - m_j m_h^2} \right] \to -\frac{\rho \lambda}{m^2},
\]

where in the last step we assumed a single DM species with \( m^2 \gg m_h^2 \). This allows us to interpret the limits we derive on \( \mathcal{O}_{5U}^H \) directly as limits on \( \rho \lambda/m^2 \) in a renormalizable theory. It also lets us identify in which regions of parameter space the higher-dimensional operators serve as the dominant couplings between the two sectors:

\[
\Lambda \lesssim c_{5U}^H \frac{m^2}{\rho \lambda} \text{ or } \Lambda^3 \lesssim c_{7U}^{Z,H} \frac{m^4}{\rho \lambda}.
\]

These inequalities are stronger than the constraints from annihilation if \( m^2 < v \rho \). In contrast, if both \( \rho \) and \( \lambda \) are generated at one loop then we find the weaker conditions \( \Lambda \lesssim 16\pi^2 m/N_F \) (\( \Lambda \lesssim 4\pi m/\sqrt{N_F} \)) for \( \mathcal{O}_{5U}^H (\mathcal{O}_{7U}^{Z,H}) \).
### 3.2 Fermion dark matter

We next consider a dark sector composed of one or more stable fermions $\chi_i$ and no other light states. There is only a single possible $2 \rightarrow 2$ SA process, $\chi_i \chi_j \rightarrow \chi_k^\dagger \nu$. This is generated by the two dimension-6 operators shown in the upper part of Table 4 (six after accounting for neutrino generations). We have used Fierz identities to reduce these to the minimal set. In particular, spinor lines involving Lorentz indices all either vanish identically or can be written in terms of the objects in Table 4. Both operators lead to phenomenologically-relevant $s$-wave annihilation. Note that, when the DM is unique, only the single operator $O_{\nu L}^{6B}$ survives.

In the unbroken phase, there are no operators up to dimension 6. The leading terms are at dimension 7, generated from the broken phase operators through the replacement $\bar{\nu}_L \rightarrow L^\dagger \tilde{H}$. We list these terms in the lower part of Table 4; recall that the four component DM spinor $\chi$ has Weyl components $(\eta, \bar{\xi}^\dagger)^T$. As for scalar DM, the unbroken phase operators also lead to $2 \rightarrow 3$ SA processes. The inequality $m \lesssim 4\pi v$ likely underestimates the region where $2 \rightarrow 2$ processes dominate, as they have a clear feature (a monochromatic neutrino) that is lacking for three body final states.

These models are simpler than pure scalar DM, in that Lorentz invariance forbids a $\chi^3$ term so there is no necessary additional source of SA. We still have the Higgs portal bounds from Eq. (18), $\Lambda \lesssim 5$ TeV. As for the comparison with $2 \rightarrow 3$ processes, this condition is probably conservative: SA leads to a feature, monochromatic neutrinos at $3m_\chi/4$, while annihilation bounds will derive from a broad excess in $\gamma$ rays at $O(10)$ GeV. Finally, we note that the operators of Table 4 only generate the Higgs portal coupling at two loops, so there is no inconsistency with this coupling being small in the low energy theory.

### 3.3 Scalar and Fermion dark matter

Finally we consider the case where there are both scalar and fermion DM fields. The DM is necessarily multicomponent, and all neutral SM particles are possible final states. In the EW broken phase up to dimension 6 we find a total of 16 operators (20 summing over neutrino generations), as listed in Table 5. Two (three-fold degenerate) operators involve two DM scalar fields, and the remainder involve two fermions. Generically, either only operators with two scalars or only operators with two fermions will be allowed by the dark symmetry $D$. Each operator leads to SA processes where the initial state particles have the same and have different spin. The latter cross sections always have non-zero $s$-wave piece. The same is true for all processes with $\phi \phi$ initial states, but two of the operators lead to $p$-wave $\chi \chi$-initiated SA. Lastly, seven operators survive in the minimal case with a single scalar and a single fermion field.

In the EW unbroken phase, there are five operators at dimension 6 and an additional five at dimension 7, as listed in Table 6. Eight of these are in one-to-one correspondence with the operators in the left column of Table 5. The two remaining operators, $O_{6L}^B$ and $\tilde{O}_{6L}^B$, each generate two of
the remaining broken phase terms in a fixed ratio through the replacement

\[ B_{\mu\nu} \rightarrow \cos \theta_W \gamma_{\mu\nu} - \sin \theta_W Z_{\mu\nu}, \quad (27) \]

where \( \theta_W \) is the Weinberg angle. If we want to generate these broken phase operators separately or with arbitrary coefficients, we would need to go to dimension 8 in the unbroken phase. This is also the dimension at which the operators \( O_{6b}^{\chi} \) are generated for total gauge singlet DM. These four terms are not the leading contributions to any SA processes, so we defer all these dimension 8 terms to Appendix B.

The majority of the terms in Table 5 lead to 2 \to 3 processes, with similar conclusions to those in the pure scalar and pure fermion scenarios. However, the operators \( O_{6b}^{B} \) and \( \tilde{O}_{6b}^{B} \) only generate 2 \to 2 processes and so bypass this concern. With a suitable assignment of \( D \) charges, it is possible to write all the operators in this section while forbidding those of Sections 3.1 and 3.2 or the scalar cubic of Eq. (22). However, we can not forbid DM annihilation through the scalar and fermion Higgs portals. A direct comparison of annihilation and SA signals is complicated by the multicomponent nature of the DM; for example, if the DM today is mostly-fermion then scalar annihilation will be suppressed compared to scalar-fermion SA. The unavoidable constraints are between processes with identical initial states.
For \( \phi \phi \)-initiated processes, the SA channels involve neutrino final states. SA can plausibly set stronger limits even if its cross section is relatively small due to the signal being easily distinguishable from the backgrounds. If we still demand the SA cross section to be larger, the bounds from Eq. (17) are \( \Lambda \lesssim 5 \) TeV (3 TeV) for \( O_{6U}^{LH} \) \( (O_{7U}^L) \). Additionally, we note that these operators generate the Higgs portal coupling at two loops so there is no problem with \( \lambda \) being small.

For \( \chi \chi \)-initiated processes, we have two classes of SA channels. \( O_{6U}^B \) and \( \tilde{O}_{6U}^B \) lead to monochromatic photon final states and are not \( v/m \)-suppressed. The simple limits of Eq. (20), \( \Lambda \lesssim 50m \), should be conservative. The other operators involve \( h \) and \( Z \) final states, where we expect Eq. (18) to be a reasonable estimate of when SA dominates: \( \Lambda \lesssim 10 \) (5) TeV for the dimension 6 (7) operators. These latter terms also generate the fermion Higgs portal at two loops, so there is no problem with \( c^P \) being small.

Finally, we note that for the operators \( O_{6U}^{LH^1} \) and \( O_{7U}^L \), it is possible to arrange the dark sector charges under \( D \) such that these operators are the leading contributions to SA. However, for all the other terms in Table 6 we can also write the renormalisable coupling

\[
-\mathcal{L} \supset \phi \bar{\chi}_i \left( y_{S}^{ij} + y_{P}^{ij} \right) \chi_j. \tag{28}
\]

Together with the scalar Higgs portal, these will lead to the SA processes \( \chi \chi \to \phi^\dagger h \) and \( \phi \phi \to \bar{\chi}_h \). The former is \( p \)-wave suppressed when \( y_{P} = 0 \), but the latter always has a non-zero \( s \)-wave piece. For non-relativistic processes, the cross-sections are the same as for the operators \( O_{6U}^{HS/P} \) if we make the relation

\[
\frac{c_{6U}^{HS,P} m^2}{\Lambda^2} \approx y_{S,P} \lambda. \tag{29}
\]

As in the pure scalar case, we can interpret limits on the effective operators as limits on \( y_{S,P} \lambda \) (and vice versa). We can also consider when our effective operators are the dominant coupling. If we assume \( \lambda \sim N_F/(4\pi)^2 \) to suppress annihilation as discussed above, then \( y_{S,P} \sim \mathcal{O}(1) \) is allowed provided that \( \Lambda \lesssim 4\pi m/\sqrt{N_F} \). This is stronger than Eq. (20) for \( \tilde{O}_{6U}^B \), but relatively mild for the \( h \) and \( Z \) operators.

### 4 Dark Partner Models

In the previous section we considered SA effective operators for \( 2 \to 2 \) processes where all external states are either DM or SM. In doing so, we restricted ourselves to only four possible visible-sector final states: \( h, \gamma, Z \) and \( \nu \). Further, if the DM particle is unique then only two possibilities remain up to dimension 7: \( \phi \phi \to \phi^\dagger h \) from \( O_{5U}^H \) and \( \chi \chi \to \chi \nu \) from \( O_{7U}^L \). This would imply a relatively sparse space of SADM phenomenology.

However, it is possible that there could exist states charged under both the dark symmetry \( D \) and the SM gauge group. If heavy, these states will serve as mediators between the two sectors, but if light they open new possibilities for SA. We continue to restrict our focus to \( 2 \to 2 \) processes with two DM particles in the initial state, which can potentially produce cosmic ray signals today. All such channels will take the form \( X_i X_j \to \Psi V \), where \( X \) represents scalar and/or fermion DM, and \( \Psi \) is charged under \( D \) and has the same SM charges as the visible state \( V \). Including these dark partners allows all SM particles to appear as SA final states. Additionally, we will find that the majority of dark partner processes can be generated at the same dimension in the broken and unbroken phases, avoiding the \( v/\Lambda \) suppression associated with most DM-only SA.

There is one obstacle to the inclusion of dark partners. By definition, these states are unstable (and generally must be so to avoid constraints on charged and coloured relics). They must also be light enough that non-relativistic DM can produce them. This gives us the bound

\[
m_{\Psi} < m_i + m_j - m_V < m_i + m_j, \tag{30}
\]
where \( m_{i,j} \) are the DM masses. When this constraint is satisfied, it means that the dark partner can not decay to any final state involving the two DM particles \( X_i, X_j \). In particular, in our minimal theory where the operator \( \mathcal{O}_{SA} = c_{SA} X_i X_j \Psi V^\dagger / \Lambda^\delta \) is the only non-gauge coupling between the two sectors, \( \Psi \) will be stable. We must expand the connection between two sectors, which in general will modify the dark sector phenomenology.

We add a single term to our theory of the form

\[
\mathcal{L} \supset \mathcal{O}_{dec} \equiv \frac{c_{dec}}{\Lambda^{\delta_{dec}}} \Psi X_k^\dagger \mathcal{O}^\dagger_{SM} V ,
\]

where \( \mathcal{O}_{SM} \) is an operator built from SM fields with the same quantum numbers as \( V \). This allows the dark partner to decay as \( \Psi \to X_k + SM \). The minimal case \( \mathcal{O}_{SM} = V \) may or may not be allowed by Lorentz invariance, depending on the spins of \( X_k \) and \( \Psi \). In particular, note that if all DM states are scalars (fermions), then \( \mathcal{O}_{SM} = V \) is always allowed (forbidden), regardless of the spin of \( \Psi \). \( \mathcal{O}_{dec} \) does not break \( D \) if \( \Psi \) and \( X_k \) transform identically; so when there are both fermion and scalar DM, \( \mathcal{O}_{SM} = V \) can be forbidden or allowed depending on the dark sector representations.

The decay width for \( \Psi \) mediated by Eq. (31) is

\[
\Gamma \sim \frac{c_{dec}^2 m_\Psi}{8\pi} \left( \frac{1}{4\pi} \right)^{2(n-1)} \left( \frac{m_\Psi}{\Lambda} \right)^{2\delta_{dec}} ,
\]

where \( n \) is the number of SM fields in \( \mathcal{O}_{SM} \). There are cosmological lower bounds on \( c_{dec} \), depending on its decay modes. In particular, decays with a lifetime \( \tau \gtrsim 0.05 \) s will occur after the onset of big-bang nucleosynthesis, and can spoil the successful predictions of the primordial light element abundance \[57,58\]. Demanding that \( \Psi \) decay before this leads to a lower bound

\[
c_{dec} \gtrsim 10^{-11} (4\pi)^{n-1} \left( \frac{\Lambda}{m_\Psi} \right)^{\delta_{dec}} .
\]

This bound is extremely weak unless either \( n \) or \( \delta_{dec} \) are large.

This cosmological upper bound on the dark partner lifetime means that, for the purposes of indirect searches using cosmic rays, we can treat the decays as prompt. The full SA process is \( X_i X_j \to \Psi V \to X_k^\dagger \mathcal{O}^\dagger_{SM} V \); in particular, if \( \mathcal{O}_{SM} = V \) then we pair-produce it. This is similar to the \( 2 \to 3 \) processes we discussed and neglected in Section 3. However, since this is a \( 2 \to 2 \) process followed by a decay, we do not have a phase space suppression. The visible states are also typically produced with larger energies, making them more distinguishable from the backgrounds. When \( \mathcal{O}_{SM} = V \), either visible particle can be more energetic depending on the spectrum; the \( V \) produced from SA (decay) will be more energetic when \( m_\Psi \approx m \) \( (m_\Psi \approx 2m) \). When \( \mathcal{O}_{SM} \neq V \), such that \( \Psi \) decays to three or more particles, the SA-produced \( V \) will tend to be the most energetic state.

As well as the \( \Psi \) decay, Eq. (31) will mediate additional annihilation and SA processes, as well as contributing to collider and direct detection signals. These can potentially be important in the dark sector phenomenology; in particular, when \( \mathcal{O}_{SM} = V \) then \( \mathcal{O}_{dec} \) is a lower-dimension operator than \( \mathcal{O}_{SA} \), which suggests it might be more relevant. For simplicity, we will demand that processes mediated by \( \mathcal{O}_{SA} \) dominate, and derive the resultant upper bounds on \( c_{dec} \). We first list generic bounds, then note specific operator-dependent constraints when we list the SA operators.

First, \( \mathcal{O}_{dec} \) directly leads to co-annihilation \( X_k \Psi^\dagger \to SM \). This can be important for determining the relic density when \( m_\Psi \lesssim 1.05 m \), but is irrelevant for ID signals today. Even when the dark partner is sufficiently light, we can estimate that co-annihilation will be negligible if

\[
c_{dec} \lesssim c_{SA} \left( \frac{m}{\Lambda} \right)^{\delta-\delta_{dec}} \max\{ (4\pi)^{n-2}, 1 \} .
\]
Figure 2: DM annihilation (left) and inelastic scattering (right) created by the dark partner decay operator $O_{\text{dec}}$.

The strongest constraints come for $n = 1$ or $2$, and $\delta - \delta_{\text{dec}} = 1$; then $c_{\text{dec}} \lesssim c_{\text{SA}} m/\Lambda$. For the regions of parameter space where we can set limits on $S_A$ (see Section 5), this is no stronger than $c_{\text{dec}} \lesssim O(0.1)$.

$O_{\text{dec}}$ also contributes to ID signals today via the annihilation $X_k X_k^\dagger \to O_{\text{SM}} O_{\text{SM}}^\dagger$ through $t$-channel $\Psi$-exchange, see Fig. 2. Like the co-annihilation bounds, these apply for any $O_{\text{SM}}$. The cross section for this process will be smaller than that for $X_i X_j \to \Psi V$ if

$$c_{\text{dec}}^2 \lesssim (4\pi)^{2(n-1)} c_{\text{SA}} \frac{m^2}{m^2} \left( \frac{\Lambda}{m} \right)^{2\delta_{\text{dec}} - \delta}.$$  \hfill (35)

Since we expect $\Lambda, m_\Psi > m$ this typically allows $c_{\text{dec}} > c_{\text{SA}}$. The strongest bound comes for $n = 1$ when $O_{\text{SA}}$ is a scalar quartic coupling and $O_{\text{dec}}$ a scalar cubic. In that case, we require the modest suppression $c_{\text{dec}} \lesssim \sqrt{c_{\text{SA}} m_\Psi/\Lambda}$, or equivalently that the cubic coupling be of comparable size to the dark partner mass.

A further constraint comes from direct detection searches, though this is dependent on the decay mode. In particular, when $O_{\text{SM}} = V$ then $O_{\text{dec}}$ mediates elastic scattering at one-loop with one heavy mediator as shown in Fig. 2 compared to two loops or one loop with two heavy mediators from $O_{\text{SA}}$. The cross sections and whether the scattering is spin-dependent or independent will depend on the mediator $V$, and so are operator-dependent. We make a naïve dimensional estimate for the per-nucleon scattering cross section,

$$\sigma_n \sim \frac{c_{\text{dec}}^4 g_{\text{SM}}^4}{(4\pi)^3 m^2} \left( \frac{\mu_n}{\Lambda} \right)^2 \sim c_{\text{dec}}^4 g_{\text{SM}}^4 \times 10^{-43} \text{cm}^2 \left( \frac{100 \text{ GeV}}{m} \right)^2 \left( \frac{1 \text{ TeV}}{\Lambda} \right)^2,$$

where $g_{\text{SM}}$ is the $V$-nucleon coupling and $\mu_n$ the reduced nucleon-DM mass. Comparing to the LUX 2016 bound [59] of $\sigma_n \lesssim 10^{-46} \text{ cm}^2$ for $m = 100$ GeV, we see that even for $g_{\text{SM}} \sim 1$ we expect a bound of at most $c_{\text{dec}} \lesssim O(0.1)$.

A related observation is that if $X_i X_j X_k$ is a total gauge and $D$ singlet, then the operators of Section 3 are also allowed. The dark partner operators can dominate through being lower
dimension and/or producing charged or coloured states. However, a simple UV completion that suppresses all the DM-only terms is when the heavy mediator $M$ connecting the two sectors couples to the SM as $M\Psi V^\dagger$. The dark partner operators can then be generated at tree level, while the DM-only terms are loop-level.

Dark partners can also be relevant for collider phenomenology. The SA operators we are interested can only lead to triple production of DM states; including an ISR jet or photon leads to a phase-space suppressed four-body final state. Additionally, because of the large centre of mass energies accessible at e.g. the LHC, it is quite possible that the EFT will break down, making it difficult to derive robust limits. In contrast, dark partners can be pair-produced through (renormalisable) gauge couplings. This leads to several possible signals, including jets plus missing energy, leptons plus missing energy, or charged tracks depending on the $\Psi$ decay modes and lifetime. A full study of the resultant limits is beyond the scope of this work. However, assuming collider-prompt decays, we might expect $m_\Psi \gtrsim 1$–2 TeV (200–500 GeV) for coloured (uncoloured) dark partners, based on simplified SUSY searches [60–65]. Since $m > \frac{1}{2} m_\Psi$, this also amounts to lower bounds on the DM mass.

Finally, we briefly review a couple of issues that these models have in common with the pure DM models of Section 3. We noted above that it is usually possible to construct EW symmetric phase operators that only lead to $2 \rightarrow 2$ processes (not including dark partner decays). However, in enumerating all operators up to dimension 6, we will necessarily list some terms that also lead to $2 \rightarrow 3$ processes. As before, the two-body final states will be dominant for at least $m \lesssim 4\pi v \approx 3$ TeV. Similarly, we always have the Higgs portal operators of Eqs. (13) and (14), with expected coefficient given by Eq. (15). The requirement that annihilation mediated through these terms be subdominant to SA remain those of Eqs. (17)–(20). These conditions also ensure that SA through the renormalisable cubic terms of Eqs. (22) and (28) is subdominant, provided they are either generated at one-loop, or are tree level and

$$\Lambda \lesssim \begin{cases} (4\pi)^{2/(\delta+1)}m & \text{(scalars)} \\ (4\pi)^{2/\delta}m & \text{(fermions)} \end{cases} \quad (37)$$

4.1 Scalar dark matter

When scalar dark matter is accompanied by light dark partners, then summing over flavour there are 50 operators in the EW broken phase up to dimension 6, as shown in Table 7. Specifically, there are four operators involving Higgses; two each involving $W$ and $Z$; and two classes of operators each for left- and right-handed SM fermions, with a multiplicity of 12 ({$u, d, e, \nu$} times three generations) and 9 (no $\nu_R$) respectively. All operators lead to $s$-wave $\phi\phi$-initiated processes. When the DM state is unique, three of the Higgs and exactly half of the $W$, $Z$ and fermion operators survive, for a total of 26 terms.

The operators involving $h$ and $Z$ are closely related to those found already in Section 3.1 except that we have replaced one DM field with an unstable scalar. Likewise, the couplings to $\nu$ are similar to terms already found in Section 3.3. The phenomenology of these terms differ due to the dark partner decays as discussed above. More dramatic are the existence of couplings to all SM fields other than the photon and gluon, substantially expanding the range of possible SA processes.

In the EW unbroken phase, we find ten (72) operators with scalar (fermion) dark partners at dimension 6 and below. We list these in the upper and lower parts respectively of Table 8. We also give their leading expressions after EWSB, except for the following four operators where the
Table 7: Operators relevant for dark sectors comprised of scalar DM ϕ and additional light unstable dark partner scalars ω (top) and/or fermions ψ (bottom), in the EW broken phase. V \in \{W, Z\} is a massive vector field, and if V = W then ω is electrically charged. In the operators with fermion dark partners, ψ has the same gauge charges as f where f is any SM fermion. We also list possible choices for the operator O_{dec} that mediates dark partner decays; see the text for more details.

| Operator | Definition | \(\omega/\psi\) | Broken Phase | \(O_{dec}\) |
|----------|------------|----------------|--------------|------------|
| \(O_{4B}^{\mu}\) | \(s^\dagger \phi_i \phi_j \omega\) | \((1, 2, 1/2)\) | \(\frac{1}{\sqrt{2}} O_{4B}^{\mu}\) | \(\phi_k^i (H^\dagger \omega)\) |
| \(O_{5B}^{\mu}\) | \(s^\dagger \phi_i \phi_j (D^\mu \omega)\) | \((1, 1, 0)\) | \(\frac{1}{\sqrt{2}} O_{4B}^{\mu}\) | \(\phi_k^i (H^\dagger \omega)\) |
| \(O_{6B}^{\mu}\) | \(a^\dagger_j \phi_i \phi_j \omega\) | \((1, 3, 0)\) | \(\frac{1}{\sqrt{2}} O_{4B}^{\mu}\) | \(\phi_k^i (H^\dagger \omega)\) |
| \(O_{7B}^{\mu}\) | \(a^\dagger_j \phi_i \phi_j (D^\mu \omega)\) | \((1, 3, 1)\) | \(\frac{1}{\sqrt{2}} O_{4B}^{\mu}\) | \(\phi_k^i (H^\dagger \omega)\) |

Table 8: Operators relevant for dark sectors comprised of scalar DM ϕ and additional light unstable dark partner scalars ω (top) and/or fermions \(\psi = (\zeta, \bar{\psi})^T\) (bottom), in the EW symmetric phase. \(f\) and \(F = (F_u, F_d)\) are SU(2)-singlet and doublet fields respectively, with SU(3)_C representations \(R_{f/F}\) and hypercharge \(Y_{f/F}\). We also list possibilities for the dark partner decay operator \(O_{dec}\).
relation is too long to fit in the table:

\[ O^{H_0D}_{6U} = \frac{1}{\sqrt{2}} \frac{2imw}{\Lambda} O^{W\phi}_{5B} + \frac{i\sqrt{2mZ}}{\Lambda} O^{Z\phi}_{5B}, \]  
\[ O^{H^2}_{6U} = \frac{1}{\sqrt{2}} \frac{imw}{\Lambda} O^{W\omega}_{5B} - \frac{imZ}{\sqrt{2}\Lambda} O^{\omega\phi}_{5B}, \]  
\[ O^{FH\psi_3}_{6U} = \frac{v}{\Lambda} O^{F\psi}_{5B} - \frac{v}{\Lambda^2} O^{\psi}_{5B}, \]  
\[ O^{FH^1\psi_3}_{6U} = \frac{v}{\sqrt{2}\Lambda} O^{F\psi}_{5B} + \frac{v}{\Lambda} O^{\psi}_{5B}. \]

All terms in Table 7 can be produced from the unbroken phase operators we list. However, the broken phase dimension-5 W/Z operators are only generated together with each other and dimension-6 Higgs operators. Similarly, dimension-6 operators coupling to left-handed fermions go to dimension 7; we defer these operators till Appendix B. When the DM is unique, 9 (57) of the operators with zero hypercharge leads to expressions that can be reduced using the equations of motion. For the dimension-5 scalar operators \( O^{6h}_B \), the replacement \( \phi_i \) to \( \phi^\dagger i \). For the dimension-6 fermionic operators \( \{ O^{6B}_{6B}, O^{6U}_{6U} \} \), this leads to expressions that can be used to reduce the equations of motion. For the dimension-5 scalar operators \( O^{5B}_{5B} \), we rewrite \( O_{dec} \) using \( D_qV_{\mu} = 0 \). Lastly, for the dimension-6 scalar operators \( \{ O^{6h\omega}_B, O^{H\omega B}_B \} \), replacing the SM fields produces a total derivative. We choose instead the same \( O_{dec} \) as for \( \{ O^{6h\omega}_B, O^{H^2D}_B \} \), which feature the same fields and number of derivatives.

An additional consequence of \( O_{dec} \) for any SA operator featuring \( H \) (and no derivatives) is that EWSB will induce mass mixing between \( \phi \) and \( \omega \):

\[ O_{dec} \sim \frac{c_{dec}}{\Lambda_{dec}} \phi^\dagger k \omega H^{\phi_{dec}+2} \supset c_{dec} \left( \frac{v}{\Lambda} \right)^{\delta_{dec}} v^2 \phi^\dagger k \omega^0, \]

where \( \omega^0 \) is the neutral component of the \( \omega \) multiplet. The most important phenomenological effect of this mixing is to create a DM–Z coupling when \( \omega \) has non-zero hypercharge. The resultant elastic \( \phi N \to \phi N \) scattering leads to upper bounds the mixing angle, \( \sin \theta \lesssim \mathcal{O}(0.01) \); and thus also
we have four operators involving the Higgs; 6 each for scalar (fermion) dark partners, we have a total of

| Operator | Definition | $O_{\text{dec}}$ |
|----------|------------|-----------------|
| $O^t_{5B}$ | $s^{ij} \bar{\chi}_i^c \chi_j \omega h$ | $\omega \bar{\chi}_k \nu$ |
| $O^t_{6B}$ | $s^{ij} \bar{\chi}_i^c \gamma_5 \chi_j \omega h$ | $\omega \bar{\chi}_k \nu$ |
| $O^t_{7B}$ | $a^{ij} \bar{\chi}_i^c \gamma^\mu \chi_j \omega \nu_{\mu}$ | $\omega \bar{\chi}_k l$ |
| $O^t_{8B}$ | $s^{ij} \bar{\chi}_i^c \gamma^\mu \gamma^5 \chi_j \omega \nu_{\mu}$ | $\omega \bar{\chi}_k l$ |
| $O^{\omega}_{5B}$ | $a^{ij} \bar{\chi}_i^c \gamma^\mu \chi_j \omega \bar{\nu}_{\mu}$ | See text |
| $O^{\omega}_{6B}$ | $a^{ij} \bar{\chi}_i^c \gamma^\mu \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\chi}_k \nu$ |
| $O^{\omega}_{7B}$ | $s^{ij} \bar{\chi}_i^c \gamma^\mu \gamma^5 \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\chi}_k \nu$ |
| $O^{\omega}_{8B}$ | $s^{ij} \bar{\chi}_i^c \gamma^\mu \gamma^5 \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\nu}_\mu \bar{\nu}_\mu \bar{\nu}_\mu$ |
| $O^{V}_{5B}$ | $a^{ij} \bar{\chi}_i^c \bar{\nu}_{\mu} \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\chi}_k \nu$ |
| $O^{V}_{6B}$ | $a^{ij} \bar{\chi}_i^c \bar{\nu}_{\mu} \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\nu}_\mu \bar{\nu}_\mu \bar{\nu}_\mu$ |
| $O^{V}_{7B}$ | $s^{ij} \bar{\chi}_i^c \bar{\nu}_{\mu} \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\nu}_\mu \bar{\nu}_\mu \bar{\nu}_\mu$ |
| $O^{V}_{8B}$ | $s^{ij} \bar{\chi}_i^c \bar{\nu}_{\mu} \chi_j \omega \bar{\nu}_{\mu}$ | $\omega \bar{\nu}_\mu \bar{\nu}_\mu \bar{\nu}_\mu$ |

Table 9: Operators relevant for dark sectors comprised of fermion DM $\chi$ and additional light unstable dark partner scalars $\omega$ (top) and/or fermions $\psi$ (bottom), in the EW broken phase. We also list possible (non-unique) choices for the operator $O_{\text{dec}}$ that mediates $\psi$ decays, where $l = e, \nu$ according to the charge of $\omega$. See the text for details and definitions of $f_{1,2}$.

the Wilson coefficient,

$$c_{\text{dec}} \lesssim 0.01 \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}} \frac{m_{\omega}^2 - m^2}{2 v^2} \sim 0.003 \left( \frac{m_{\omega} - m}{m} \right) \left( \frac{m}{100 \text{ GeV}} \right)^2 \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}}. \quad (43)$$

Since $m_{\omega} - m < m$, this is the strongest bound on $c_{\text{dec}}$ we have found, but still easily compatible with the lower bound of Eq. (33). The mass mixing will also modify the physical masses of the dark sector states; this results in a lower bound on the mass splitting,

$$m_{\omega} - m \gtrsim c_{\text{dec}} v^2 \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}}, \quad (44)$$

$$c_{\text{dec}} \lesssim \frac{m(m_{\omega} - m)}{v^2} \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}} \sim 0.1 \left( \frac{m_{\omega} - m}{m} \right) \left( \frac{m}{100 \text{ GeV}} \right)^2 \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}}. \quad (45)$$

This bound is only important for $m_{\omega} - m \ll m$ and/or $\delta_{\text{dec}} = -1$

### 4.2 Fermion dark matter

When fermion dark matter is accompanied by scalar (fermion) dark partners, we have a total of 22 (84) operators up to dimension 6 in the EW broken phase. Specifically, for scalar dark partners we have four operators involving the Higgs; 6 each for $Z_\mu$ and $W_\mu$; and two for any field strength tensor. For fermion dark partners we have eight classes of operators: four with left-handed SM fields that have flavour and generation multiplicity 12, and four with right-handed fields with
| Operator | Definition | $\omega/\psi$ | Broken Phase | $O_{\text{dec}}$ |
|----------|------------|---------------|--------------|----------------|
| $O^\frac{\omega S}{6U}$ | $s^j \chi_i^c \chi_j \tilde{H}^\dagger \omega$ | $(1, 2, \frac{1}{2})$ | $\frac{1}{\sqrt{2}} O^\omega_{6B}$ | $H^\dagger \omega \left( \xi_k (H^\dagger L) \right)$ |
| $O^\frac{\omega P}{5B}$ | $s^j \chi_i^c \gamma^5 \chi_j \tilde{H}^\dagger \omega$ | $(1, 2, \frac{1}{2})$ | $\frac{1}{\sqrt{2}} O^{\omega P}_{5B}$ | $H^\dagger \omega \left( \xi_k (H^\dagger L) \right)$ |
| $O^{H \frac{H}{6U}}$ | $s^j \chi_i^c \chi_j \omega H^\dagger H$ | $(1, 1, 0)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{H \frac{H}{6U}}$ | $s^j \chi_i^c \chi_j \omega^a H^\dagger \sigma^a H$ | $(1, 3, 0)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{H \frac{H}{6U}}$ | $s^j \chi_i^c \gamma^5 \chi_j \omega H^\dagger \sigma^a H$ | $(1, 3, 1)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{H \frac{H}{6U}}$ | $a^i \chi_i^c \gamma^5 \chi_j (H^\dagger \bar{D}_\mu \psi)$ | $(1, 2, \frac{1}{2})$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{6U \frac{A}{6U}}$ | $s^j \chi_i^c \gamma^5 \chi_j (H^\dagger \bar{D}_\mu \psi)$ | $(1, 2, \frac{1}{2})$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{G \frac{G}{5B}}$ | $a^j \chi_i^c \bar{D}_\rho \bar{D}^\rho \chi_j \omega \bar{G}_\mu^A$ | $(8, 1, 0)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{W \frac{W}{5B}}$ | $a^j \chi_i^c \bar{D}_\rho \bar{D}^\rho \chi_j \omega \bar{W}_\mu^a$ | $(1, 3, 0)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{B \frac{B}{6U}}$ | $a^j \chi_i^c \bar{D}_\rho \bar{D}^\rho \chi_j \omega \bar{B}_\mu^a$ | $(1, 1, 0)$ | $\frac{1}{\sqrt{2}} O^{H^S}_{5B}$ | $H^\dagger H \left( \xi_k (H^\dagger L) \right)$ |
| $O^{f R \frac{f R}{6U}}$ | $s^j (\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(R_f, 1, -Y_f)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f R \frac{f R}{6U}}$ | $s^j (\tilde{\zeta} \tilde{\zeta}) (\tilde{f} \tilde{\zeta})$ | $(R_f, 1, -Y_f)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f R \frac{f R}{6U}}$ | $s^j (\tilde{\zeta} \tilde{\zeta}) (F^\dagger \bar{\nu})$ | $(R_F, 2, Y_F)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f R \frac{f R}{6U}}$ | $s^j (\tilde{\zeta} \tilde{\zeta}) (F^\dagger \bar{\nu})$ | $(R_F, 2, Y_F)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f X \frac{f X}{6U}}$ | $n^j (\eta \zeta) (\tilde{f} \tilde{\zeta})$ | $(R_f, 1, -Y_f)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f X \frac{f X}{6U}}$ | $n^j (\eta \zeta) (\tilde{f} \tilde{\zeta})$ | $(R_f, 1, -Y_f)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f X \frac{f X}{6U}}$ | $n^j (\eta \zeta) (F^\dagger \bar{\nu})$ | $(R_F, 2, Y_F)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |
| $O^{f X \frac{f X}{6U}}$ | $n^j (\eta \zeta) (F^\dagger \bar{\nu})$ | $(R_F, 2, Y_F)$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ | $(\eta \eta) (\tilde{f} \tilde{\zeta})$ |

Table 10: Operators relevant for dark sectors comprised of fermion DM $\chi = (\eta, \bar{\zeta})^T$ and additional light unstable dark partner scalars $\omega$ (top) and $\psi = (\zeta, \bar{\nu})^T$ (bottom), in the EW unbroken phase. $f$ and $F = (F_u, F_d)$ are $SU(2)$-singlet and doublet fields respectively. We also list possible choices for the dark partner decay operators $O_{\text{dec}}$: see the text for details and definitions of $F_{1,2}$. 

multiplicity 9 (no $\nu_R$). When the DM is unique, three of the Higgs, three of the $W/Z$, and all of the fermion operators survive.

The operators involving $\nu$ are closely related to those already discussed in Section 3.2, we have simply replaced one DM field with an unstable neutral fermion. Similarly, the couplings to scalar dark partners and $h$, $Z$ and $\gamma$ all have equivalents in the two-fermion operators from Section 3.3 where the scalar DM has been replaced by an unstable field. Our phenomenology is again heavily expanded by the possibility of charged and coloured SM final states, as well as by dark partner decay.

In the EW unbroken phase, we find 13 (60) operators with scalar (fermion) dark partners at dimension 6 and below. We list these in the upper and lower sections respectively of Table 10. We also give their connection to the broken phase operators, except in three cases where there is insufficient space:

$$\mathcal{O}_{6U}^{h_6V} = \frac{1}{\sqrt{2}} \mathcal{O}_{6B}^{h_6V} + \frac{i m W}{\Lambda} \mathcal{O}_{5B}^{W_6V} - \frac{i m Z}{\sqrt{2\Lambda}} \mathcal{O}_{5B}^{Z_6V},$$

$$\mathcal{O}_{6U}^{h_6A} = \frac{1}{\sqrt{2}} \mathcal{O}_{6B}^{h_6A} + \frac{i m W}{\Lambda} \mathcal{O}_{5B}^{W_6A} - \frac{i m Z}{\sqrt{2\Lambda}} \mathcal{O}_{5B}^{Z_6A},$$

$$\mathcal{O}_{6W}^{W_6\omega} = \mathcal{O}_{6B}^{W_6\omega} + \mathcal{O}_{6B}^{W_6\omega} + s_W \mathcal{O}_{6B}^{\gamma_6\omega} + c_W \mathcal{O}_{6B}^{Z_6\omega}. \tag{48}$$

In the last line, $\mathcal{O}_{6B}^{W_6\omega}$ is given by replacing $W_{\mu\nu} \rightarrow W_{\mu\nu}^+$ in $\mathcal{O}_{6B}^{W_6\omega}$. All but four of the terms in Table 10 persist when the DM is unique. We can generate most terms in the broken phase using these operators; however the dimension-6 $W/Z$ operators $\mathcal{O}_{6B}^{W_5S/P}$ first appear at dimension 7 in the unbroken phase. Since these operators are not the leading contributions to any SA process, we defer them to Appendix B. (49) We also defer the terms needed to generate individual couplings to left-handed fermions (which appear at dimension 7) and to electroweak gauge bosons (which appear at dimensions 7 and 8). As in the scalar case, most operators can be generated at the same dimension in the broken and unbroken phases so $2 \rightarrow 3$ processes are less of a concern.

We must supplement our theories with operators that allow dark partner decay. This is a more severe problem when there are no scalar DM particles: if the SA operator has the form $\chi_i \chi_j \Psi \mathcal{O}_{SM}$, then an operator of the form $\chi_i \Psi \mathcal{O}_{SM}$ will not be a Lorentz scalar. This leads to an essential ambiguity in the dark partner decays, at least in the absence of a concrete UV model. One possible resolution is to simply construct the lowest dimension operator consistent with gauge symmetries and $\mathcal{D}$ that will allow the dark partner to decay. However, we will persist in constructing decay operators that resemble the associated SA terms as far as possible, in an attempt to remain agnostic about the UV completion.

In the EW broken phase, we can always construct a decay operator by the replacement $\chi_i \chi_j \rightarrow \chi_k \nu$. However, this is not always the minimal or most logical approach. For SA processes where the SM final state is $h$ or $Z$, we can construct lower-dimension operators without the bosonic field, i.e. that lead to the two-body decay $\omega \rightarrow \chi_k \nu$. In particular, we always expect $h$ to appear in the full theory as $v + h$ so that the two-body decay is allowed. For $Z$, the unbroken phase operators we discuss below lead to two-body decays for the dimension-5 operators, but not for the dimension-6 operators. Similarly, when the SM final state is $W_{\mu}$, the unbroken phase can motivate the two-body decay $\omega \rightarrow \chi_k e$ over the three-body decay $\omega \rightarrow W_{\mu} \chi_k \nu$. This covers all possibilities for scalar dark partners except the operators $\mathcal{O}_{6B}^{W_6\omega}$, where we did not list $\mathcal{O}_{dec}$ in Table 9 for reasons of space. This set of 8 operators includes four possible SM final states: $\gamma_{\mu\nu}$, $W_{\mu\nu}$, $Z_{\mu\nu}$, and $G_{\mu\nu}^A$. For the first three, a three-body decay including a neutrino is the most natural choice:

$$\mathcal{O}_{dec} = \omega \tilde{\Psi}_{\mu\nu} \chi_k \sigma^{\mu\nu} \nu \text{ where } \nu = \gamma, W, Z \text{ as appropriate.} \tag{49}$$

For the last case, a decay to gluino plus neutrino is allowed but requires assuming that the UV completion has couplings to both coloured and colourless states. However, there is no other three-
body decay consistent with all symmetries. The minimal decay to coloured states is \( \omega \rightarrow \chi udd \), mediated by the dimension-7 operator

\[
O_{\text{dec}} = \epsilon^{\alpha \beta \gamma} \omega^{A} \left( \bar{\chi}_{k} (t^{A} d^{c})_{\alpha} \right) \left( \bar{u}_{\beta} d^{c}_{\gamma} \right).
\]  

(50)

Here \( t^{A} \) is an \( SU(3) \) generator, \( \alpha, \beta, \gamma \) are \( SU(3) \) fundamental indices, and \( \epsilon^{\alpha \beta \gamma} \) is the fully antisymmetric tensor. If we want to include the decay to \( G_{\mu \nu}^{A} \), we must go to dimension 9.

Broken phase operators with fermion dark partners are generally simpler. The only case where a two-body decay is possible is for the SA process \( \chi_{i} \chi_{j} \rightarrow \bar{\psi} \nu \); there, \( \psi \rightarrow \chi_{k} h \) is allowed. However, to be consistent with all operators in this class we consider only three-body decays to two fermions, as follows:

- **SA Process:** \( \chi_{i} \chi_{j} \rightarrow \bar{\psi} \nu \),
- **Decay:** \( \psi \rightarrow \chi_{k} \nu \bar{\nu} \),

\[ (51) \]

- **SA Process:** \( \chi_{i} \chi_{j} \rightarrow \bar{\psi} e \),
- **Decay:** \( \psi \rightarrow \chi_{k} e \bar{\nu} \),

\[ (52) \]

- **SA Process:** \( \chi_{i} \chi_{j} \rightarrow \bar{\psi} u \),
- **Decay:** \( \psi \rightarrow \chi_{k} d \bar{d} \),

\[ (53) \]

- **SA Process:** \( \chi_{i} \chi_{j} \rightarrow \bar{\psi} d \),
- **Decay:** \( \psi \rightarrow \chi_{k} \bar{u} d \).

\[ (54) \]

The associated decay operators are listed in Table 9 with undefined fields \( f_{1,2} \). When the SA fermion \( f = e, \nu \), then \( f_{1} = f \) and \( f_{2} = \nu \) or \( \nu^{c} \), according to the projection operator that acts on it (recall that \( \nu^{c} \) is a right-handed fermion). When instead \( f = u \) (\( d \)), then \( f_{1} = d^{c} \) (\( u^{c} \)) and \( f_{2} = \bar{d} \) (\( \bar{d} \)).

In the EW unbroken phase, similar results hold. In Table 10 for all but one scalar dark partner operators we make the replacement \( \chi_{i} \chi_{j} \rightarrow \bar{\chi}_{k} H L \). Note that this can always lead to two-body decays by replacing all Higgses by their VEVs, motivating our choices in the broken phase. The exception is \( \bar{\chi} \bar{\chi} \); as in the broken phase, there is no particularly good choice for the dark partner decay, but we can write down the analogue of Eq. (50):

\[
O_{\text{dec}} = \epsilon^{\alpha \beta \gamma} \omega^{A} \left( \bar{\xi}_{k} (t^{A} d^{c})_{\alpha} \right) \left( \bar{u}_{\beta} d^{c}_{\gamma} \right).
\]

(55)

For fermion dark partners, we essentially reverse-engineer the broken phase results. We give decay operators in Table 10 in terms of objects \( F_{1,2} \). For leptonic processes, these are simply defined: \( F_{1} = \bar{e} / L \) is the same field that appears in the SA operator, and \( F_{2} = \bar{H}^{\dagger} L \). For hadronic processes, \( F_{1} \) is determined solely by the visible sector final state: operators of the form \( O_{6U}^{\psi \gamma} \) (\( O_{6U}^{\psi d} \), \( O_{6U}^{\psi c} \)) have \( F_{1} = H^{\dagger} Q \) (\( H_{\mu}^{\dagger} Q \), \( \bar{u} H + d \bar{H} \)). \( F_{2} \) takes one of two values: \( F_{2} = \bar{d} \) (\( H^{\dagger} Q \)) for operators of the form \( O_{6U}^{\psi d} \) or \( O_{6U}^{\psi c} \) (\( O_{6U}^{\psi L} \) or \( O_{6U}^{\psi c} \)).

### 4.3 Scalar and Fermion Dark matter

When there are both scalar and fermion DM fields, then in the EW broken phase we have the 66 additional possible operators listed in Table 11. Specifically, we have four operators involving Higgses: 6 each for \( W_{\mu} / Z_{u} \); 2 each for any of the four field strength tensors; and two types of operators each for right- and left-handed fermions, with multiplicity 12 and 9 respectively. For appropriate \( D \) representations, we can prevent the scalar- and fermion-only operators of the previous two subsections, so that these are the dominant contributions to SA processes. The operators involving neutral SM fields are all related to those of Section 3.3 but again the dark partners open the possibility of charged and coloured final states.

In the EW unbroken phase, we find 72 (16) operators with scalar (fermion) dark partners, which we list in Tables 12. We also list their connection to the broken phase operators, with one exception where there is insufficient space:

\[
\bar{O}_{6U}^{W \psi} = \bar{O}_{6B}^{W \psi} + \bar{O}_{6B}^{W^{\dagger} \psi} + s_{W} \bar{O}_{6B}^{\gamma \psi} + c_{W} \bar{O}_{6B}^{Z \psi}.
\]

(56)
### Table 11: Operators relevant for dark sectors comprised of scalar DM $\phi$, fermion DM $\chi$, and additional light unstable dark partner scalars $\omega$ (top) and/or fermions $\psi$ (bottom), in the EW broken phase.

| Operator | Definition | $\omega$ | Broken Phase | $O_{dec}$ |
|----------|------------|----------|--------------|-----------|
| $O_{5B}^{\omega}$ | $\phi \omega \bar{f}_\eta$ | (RF, $1, -Y_f$) | $O_{5B}^R + O_{5B}^{* R}$ | $\omega \bar{f}_\xi$ |
| $O_{6B}^{\omega}$ | $\phi \omega \bar{f} \ell^\dagger$ | (RF, $2, Y_F$) | $O_{5B}^{* R} + O_{5B}^{* R}$ | $\omega \bar{f}_{\eta k}$ |
| $O_{7B}^{H}$ | $\phi (\bar{H}^\dagger \bar{H}) \bar{f}_\eta$ | (RF, $2, -\frac{1}{2} - Y_f$) | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ |
| $O_{8B}^{H}$ | $\phi (\bar{H}^\dagger \bar{H}) \bar{f}_\eta$ | (RF, $2, \frac{1}{2} + Y_f$) | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ |
| $O_{9B}^{H^\dagger}$ | $\phi (\bar{H} \bar{H})$ | (RF, $1, Y_F + \frac{1}{2}$) | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger}$ |
| $O_{10B}^{H}$ | $\phi (\bar{H} \bar{H})$ | (RF, $1, Y_F - \frac{1}{2}$) | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H}$ |
| $O_{11B}^{H^\dagger a}$ | $\phi (\bar{H} \bar{H})$ | (RF, $3, Y_F + \frac{1}{2}$) | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger a}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger a}$ |
| $O_{12B}^{H^\dagger a}$ | $\phi (\bar{H} \bar{H})$ | (RF, $3, Y_F - \frac{1}{2}$) | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger a}$ | $\frac{v}{\sqrt{2}} O_{5B}^{H^\dagger a}$ |
| $O_{13D}^{H}$ | $(\bar{H}^\dagger \bar{H}) \bar{f}_\eta$ | (RF, $1, -Y_f$) | $O_{6B}^{H}$ | $\frac{v}{\sqrt{2}} O_{6B}^{H}$ |
| $O_{14D}^{H^\dagger a}$ | $(\bar{H}^\dagger \bar{H}) \bar{f}_\eta$ | (RF, $2, Y_F$) | $O_{6B}^{H^\dagger a}$ | $\frac{v}{\sqrt{2}} O_{6B}^{H^\dagger a}$ |

Table 12: Operators relevant for dark sectors comprised of scalar DM $\phi$, fermion DM $\chi$, and additional light unstable dark partner scalars $\omega$ (top) and/or fermions $\psi$ (bottom), in the EW unbroken phase. $\bar{f}$ and $F = (F_U, F_D)$ are $SU(2)$-singlet and doublet fields respectively, with $SU(3)_{C}$ representations $R_{f/F}$ and hypercharge $Y_{f/F}$.
Here, $\tilde{O}_{\tilde{6}\tilde{B}}^{W^+\psi}$ is the same as $\tilde{O}_{\tilde{6}\tilde{B}}^{W^\psi}$ under the replacement $W_{\mu\nu} \to W_{\mu\nu}^\dagger$. We can generate all broken phase terms except for the subleading $O_{\tilde{6}\tilde{B}}^{V_{\psi}(s/a)(S/P)}$; these terms appear at dimension 7, which we defer to Appendix B. We also defer operators necessary to generate all the left-handed fermion and gauge boson operators individually.

We include in Tables 11 and 12 possible decay operators $O_{\text{dec}}$ for the dark partners. We construct these in the minimal way, namely replacing $\phi \chi \to \bar{\chi}_k$, where $\chi_k$ and $\chi$ may be the same or distinct fermions. For operators involving the derivative of $\phi$, we are left with a derivative we can integrate by parts and then reduce with equations of motion. The only exceptions are the operators $O_{\tilde{6}\tilde{B}}^{V_{\psi}a(S/P)}$, where doing this would lead to operators that vanish on-shell by $D_\mu V^\mu = 0$. Instead, we use the decay operators for the similar SA operators $O_{\tilde{6}\tilde{B}}^{V_{\chi}a(S/P)}$.

For SA operators with Higgs final states, the $O_{\text{dec}}$ constructed this way will lead to mass mixing between $\chi$ and the neutral component of $\psi$:

$$O_{\text{dec}} \sim \frac{c_{\text{dec}}}{\Lambda^{\delta_{\text{dec}}}} \bar{\chi} \psi H^{\delta_{\text{dec}}+1} \supset c_{\text{dec}} \left( \frac{v}{\Lambda} \right)^{\delta_{\text{dec}}} v \bar{\chi} \psi. \quad (57)$$

As before, the mass mixing is most important when $\psi$ has non-zero hypercharge, as it allows $Z$-mediated elastic nuclear scattering. From the mixing angle bound $\sin \theta \lesssim O(0.01)$ [66], we derive

$$c_{\text{dec}} \lesssim 0.01 \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}} \left( \frac{m_\psi - m}{v} \right) \sim 0.004 \left( \frac{m_\psi - m}{m} \right) \left( \frac{m}{100 \text{ GeV}} \right) \left( \frac{\Lambda}{v} \right)^{\delta_{\text{dec}}}. \quad (58)$$

For small $\delta_{\text{dec}}$ this is the strongest upper bound we have on $c_{\text{dec}}$, but still easily compatible with the lower bound of Eq. (33).

## 5 Constraints from Indirect Detection and Astrophysical Observation

Dark matter indirect detection experiments observe cosmic rays, such as gamma rays, neutrinos, positrons and anti-protons, from dense environments. Excesses in cosmic ray fluxes over the presumed astrophysical backgrounds can be interpreted as the result of dark matter (semi)-annihilation or decay. Non-observation of such an excess will instead impose constraints on the parameter space of various dark matter models.

Among all the cosmic rays, neutrinos and gamma rays travel through space almost undisturbed and the cosmic ray flux can be simply expressed

$$\frac{d\Phi}{dE} = \frac{1}{16\pi m_{\text{DM}}^2} \langle \sigma v \rangle \frac{dN}{dE} J, \quad (59)$$

where $\langle \sigma v \rangle$ is the thermally averaged (semi)-annihilation cross section, $m_{\text{DM}}$ is the DM mass, and $\frac{dN}{dE}$ is the differential flux per (semi)-annihilation. We use PYTHIA 8 [67] to generate the differential flux at production. For the discussion of DM indirect detection, it suffices to include only the $s$-wave contribution of $\langle \sigma v \rangle$ and neglect the higher order corrections, such as the $p$-wave contribution, which can play an important role in the DM relic density calculation. $J$ represents the astrophysical factor

$$J = \int_{\Delta \Omega} \int_{l=0}^{\infty} dl \ d\Omega \ \rho^2(l), \quad (60)$$

where $\rho$ is the DM density along the line of sight, and $\Delta \Omega$ is the solid angle integrated over in the observation.
Figure 3: Left: Differential gamma flux for dark matter annihilation and semi-annihilation in black and color curves respectively. The solid black curve is the spectrum calculated with PPC while the dashed curves with PYTHIA 8. Right: Differential flux of positrons for dark matter annihilating into a pair of muons per annihilation at production generated with PYTHIA 8 and PPC are shown for in solid blue and orange lines respectively. The dashed orange line denotes the positron flux at the Earth generated with PPC, while the dashed blue line is the one generated with DRAGON from the spectrum shown in solid blue line. Dark matter masses in both panels are set to 1 TeV.

For charged cosmic rays, the flux can be affected by diffusive reacceleration, and also suffer from energy loss during propagation as well as solar modulation. PYTHIA 8 is again used to generate flux at production, while the effects of propagation to the observer is computed with DRAGON [68].

To validate our simulation of the cosmic ray flux, we show in Fig. 3 fluxes generated with the machinery described here and those from PPC 4 DM ID [69]. On the left panel of Fig. 3, the gamma ray fluxes for dark matter annihilating into a pair of b quarks produced with PYTHIA 8 and PPC are shown in black solid and dashed curves, while the red or blue dashed curves denote the gamma ray fluxes for semi-annihilation operators with a b quark and scalar or fermion dark matter. In the right panel of Fig. 3, the positron fluxes per annihilation for $\chi \chi \rightarrow \mu^+ \mu^-$ with dark matter mass $m_{\chi} = 1 \text{ TeV}$ generated with PYTHIA 8 and PPC are shown in solid blue and orange lines, which are mostly in good agreement except at low positron energy due to electroweak corrections included in PPC. This discrepancy at low energies, however, does not affect very much the final positron fluxes at the Earth after propagation, which can be seen from the good agreement of the two dashed lines in the right panel of Fig. 3.

Besides all the indirect detection experiments observing cosmic rays at present, the presence of dark matter can also modify the properties of various astrophysical objects which can in turn impose constraints on dark matter theories. The most relevant one is the observation of the Cosmic Microwave Background (CMB). Charged particles from dark matter annihilation around the recombination epoch inject energy into thermal plasma and change the CMB anisotropy. Accurate measurement of the CMB can then lead to stringent constraints.

We will discuss the most stringent constraints at present and any substantial improvement of sensitivities in the future from gamma ray, positron, neutrino and CMB observations, and apply them to those operators we constructed in the previous sections that exist for single-component DM. Following the same procedure, we can in principle derive the limit on any operator detailed in this work. An important assumption we will make is that the dark matter relic density is saturated. As discussed in Section 2 this may require additional model-dependent self-annihilation. These extra processes will enhance the cosmic ray flux and lead to stronger bounds. Thus by considering only the model-independent SA channels, we are taking a conservative approach.
5.1 Gamma rays

We start our discussion with the golden channel of indirect detection: cosmic gamma rays. The most stringent limits at present derive from the observation of gamma rays from the dwarf spheroidal satellite galaxies (dSphs) of the Milky Way at the Fermi Large Area Telescope (LAT) \cite{70}. Dwarf galaxies are dark-matter dominated systems. Therefore, the background from baryonic matter is much weaker compared with the Galactic center, which makes dSphs an ideal probe.

Fermi-LAT has presented the limits on dark matter annihilation cross-sections from the combined 15-dSph analysis for the channels of $e^+e^−, \mu^+\mu^−, \tau^+\tau^−, uu, bb$ and $WW$. The 15 dSphs include Bootes I, Canes Venatici II, Carina, Coma Berenices, Draco, Fornax, Hercules, Leo II, Leo IV, Sculptor, Segue 1, Sextans, Ursa Major II, Ursa Minor and Willman 1, whose $J$-factors are estimated assuming the dark matter distribution in dSphs follows a Navarro-Frenk-White (NFW) profile \cite{71}. Note that the $J$-factors are found to be quite insensitive to the choice of dark matter density profile. The numerical values and the uncertainties of the $J$-factors are taken directly from Table I of Ref \cite{70}. Since the SA operators we considered also have SM particles in the final states other than the ones presented by Fermi-LAT, such as $Z$ and $h$, we make use of the publicly-available likelihood functions provided by Fermi-LAT to derive the limits. Incorporating the uncertainty of the $J$-factor for the $i$-th dSph with a log-normal distribution with central value $J_{\text{obs},i}$ and width of $\sigma_i$, we define the likelihood function for the $i$-th dSph as

$$L_i(\mu, J_i|D_i) = L_i(\mu|D_i) \frac{1}{\sqrt{2\pi\sigma_i}} \exp\left(-\frac{(\log_{10} J_i - \log_{10} J_{\text{obs},i})^2}{2\sigma_i^2}\right),$$

where $L_i$ is the product of the binned likelihood function for the $i$-th dSph provided by Fermi-LAT and $\mu$ is the signal strength of the dark matter (semi)-annihilation. The total likelihood function is achieved by multiplying all 15 likelihoods together

$$L = \prod_i L_i(\mu, J_i|D_i).$$

Treating $J_i$ as the nuisance parameter, the profile likelihood method is used to derive the constraints on the model parameters. The 95% confidence level (CL) upper limit on the (semi)-annihilation cross section is found where the profiled negative log likelihood function $-2\log L$ is 2.7 bigger than the minimal value. Using the spectrum of dark matter annihilating to a $bb$ pair supplied by Fermi-LAT, we compared the constraints achieved with the method here and the ones given by Fermi-LAT and found they agree within 10%.

The MAGIC Cherenkov telescope has also studied cosmic gamma rays \cite{72}. A combined study has been performed for the 158 hours of Segue 1 observations with MAGIC and the 6-year observations of 15 dSphs by Fermi-LAT \cite{73}. Compared with the Fermi-LAT dSphs limit, the combined limits are improved substantially for dark matter mass $\gtrsim 1$ TeV where MAGIC has better sensitivity. However, the likelihood functions for MAGIC are not publicly available and it is unlikely we can recast the combined analysis properly.

We also present the projected limit from the Cherenkov Telescope Array (CTA) which will improve the existing constraints substantially for dark matter masses above $O(10^2)$ GeV. We make use of the morphological analysis where a realistic estimate including the uncertainties from the Galactic diffuse emission is taken into account \cite{74}. The $J$-factor is calculated with the Einasto profile \cite{75,76} and takes values $J_{\text{ON}} = 7.4 \times 10^{22}$ GeV$^2$cm$^{-5}$ for the signal region and $J_{\text{OFF}} = 1.2 \times 10^{22}$ GeV$^2$cm$^{-5}$ for the background region. We compare the predicted gamma-ray spectra and the differential sensitivities assuming 100 hours observation and 1% systematic uncertainty to extract limits in 15 energy bins of size $5\log_{10}(E/\text{GeV}) = 0.173$ between $E = 25$ GeV and $E = 10^4$ GeV. The best among the 15 individual limits is used. As a cross check, we derive limits on the cross section of dark matter self-annihilation to a pair of bottom quarks with $J = J_{\text{ON}} + J_{\text{OFF}}$ with this simple method, which agree roughly with those in Ref. \cite{74} within $\sim 50 - 150\%$. Since the
detailed configuration of CTA is not yet fully settled, which may vary the sensitivity by a factor of a few, this method is enough to give a rough estimate of the projected limits. We leave a more accurate study including full analysis of the background to future work.

5.2 Positrons and Electrons

Another type of constraint we consider is from the observation of cosmic positrons. The Alpha Magnetic Spectrometer (AMS-02) has observed an excess of cosmic positrons at energies \( \gtrsim 10 \text{ GeV} \) over the standard propagation model [77, 78], confirming similar observations by PAMELA [79] and HEAT [80]. However, the absence of a bump feature in the spectrum leads to, instead of the discovery of dark matter, rather tight limits on dark matter annihilation.

Upper limits on the dark matter annihilation cross section have been derived based on either the positron fraction or positron flux [81, 82]. Annihilation channels considered include \( e^+e^- \), \( \mu^+\mu^- \), \( \tau^+\tau^- \), \( b\bar{b} \), \( W^+W^- \) and \( e^+e^-\gamma \). We choose to recast the limits to cover all relevant channels in this work based on positron flux following the method in [82], as the analysis of the positron fraction would involve extra astrophysical uncertainties related to the electron flux. Assuming a background positron flux from astrophysical sources such as pulsars and from spallations of cosmic rays with the interstellar medium, we parameterize the interstellar positron flux without solar modulation effects as

\[
\Phi^{\text{IS}}_{e^+} = \Phi^{\text{sec.IS}}_{e^+} + \Phi^{\text{source.IS}}_{e^+} + \Phi^{\text{DM.IS}}_{e^+},
\]

where the terms denote secondary positrons, source contributions and a contribution from dark matter annihilations respectively. The secondary positrons can be expressed in terms of a simple power law

\[
\Phi^{\text{sec.IS}}_{e^+} = C_{e^+} E^{-\gamma_{e^+}},
\]

while for the source contribution we use a simple power law with an exponential cut-off

\[
\Phi^{\text{source.IS}}_{e^+} = C_s E^{-\gamma_s} e^{-E/E_s}.
\]

With PYTHIA 8 and DRAGON the contribution from dark matter (semi)-annihilation for a given dark matter mass and annihilation cross section is straightforward to generate. We have chosen the NFW profile, a local dark matter density of \( \rho_0 = 0.41 \text{ GeV/cm}^3 \), and two-dimensional propagation in DRAGON. Finally the solar modulation effects are parameterized with a single variable \( \phi_{e^+} \) under the force field approximation and the positron flux at the top of the atmosphere (TOA) is

\[
\Phi^{\text{TOA}}_{e^+}(E) = \frac{E^2}{(E + \phi_{e^+})^2} \Phi^{\text{IS}}_{e^+}(E + \phi_{e^+}).
\]

The final positron flux \( \Phi^{\text{TOA}}_{e^+} \) is a function of six parameters in total: \( C_{e^+}, C_s, \gamma_{e^+}, \gamma_s, E_s \) and \( \phi_{e^+} \).

AMS-02 has published measurements of the positron flux covering the energy range 0.5 to 500 GeV together with the systematic errors [77]. We will only use data with energy > 2 GeV where the secondary positrons follow a simple power law as described above. For energies between 2 GeV and 500 GeV, the best fit for the positron flux \( \Phi^{\text{TOA}}_{e^+} \) without a dark matter contribution can be achieved using a \( \chi^2 \) test, with bounds \( C_{e^+}, C_s \geq 0 \), \( 3.3 < \gamma_{e^+} < 3.7 \), \( \gamma_s \leq \gamma_{e^+} \), \( E_s > 0 \) and \( 0.5 \text{ GV} < \phi_{e^+} < 1.3 \text{ GV} \). The total errors are calculated by adding the systematic and statistical errors in quadrature \( \sigma = \sqrt{\sigma_{\text{stat}}^2 + \sigma_{\text{asy}}^2} \). The 95\% CL upper limit on the dark matter annihilation cross section is found when the best fit model including dark matter contribution has \( \Delta \chi^2 = 4 \).
5.3 Neutrinos

For operators involving neutrinos, neutrino detectors like Super Kamiokande and IceCube would naively be the ideal probe to give the most stringent limits. If the dark matter masses are above the weak scale, however, monochromatic neutrinos in the final state are usually accompanied by gamma rays via electroweak bremsstrahlung. Cosmic gamma rays can also be used to impose constraints on the model parameter space \[83\].

The current most stringent limits come from neutrino telescopes IceCube \[84\], Super Kamiokande \[85\] and ANTARES \[86\]. Both IceCube and ANTARES detect muon neutrinos while Super Kamiokande also detects electron neutrinos. We will adopt the limits at 90% CL from ANTARES and the future sensitivities of CTA derived in \[83\].

Neutrino oscillation plays an important role in setting the limit. The probability to observe neutrino oscillation \(\nu_\alpha \to \nu_\beta\) from the Galactic center is simply

\[
P(\nu_\alpha \to \nu_\beta) = \sum_i |U_{\beta i}|^2 |U_{\alpha i}|^2,
\]

as the oscillating part averages to zero due to the extremely long baseline. Applying the best fit value of the PMNS matrix by NuFit \[87\], we have

\[
P(\nu_e \to \nu_\mu) \approx 0.23,
\]

\[
P(\nu_\mu \to \nu_\mu) \approx 0.40,
\]

and

\[
P(\nu_\tau \to \nu_\mu) \approx 0.35.
\]

The limit presented by IceCube assumed a relative neutrino flavor ratio of 1 : 1 : 1 at Earth, which can be translated to the limit on the cross section of dark matter annihilating into neutrino \(\nu_i\) by a rescaling factor \(\frac{1}{3P(\nu_e \to \nu_\mu)}\). ANTARES presented the limits for dark matter annihilating into muon neutrinos. So the limits for annihilations to other neutrino species \(\nu_i\) can be easily calculated by multiplying \(P(\nu_i \to \nu_\mu)\).

The future sensitivity on operator with neutrinos can be substantially improved by CTA. The limits at 95% CL can be read directly from Ref. \[83\] for neutrinos of different flavors.

5.4 Cosmic Microwave Background

Dark matter (semi)-annihilation injects ionizing particles in the early universe which can modify the anisotropies of the cosmic microwave background (CMB). The effects can be quantified with

\[
p_{\text{ann}} \equiv f_{\text{eff}} \frac{\langle \sigma v \rangle}{m_{\text{DM}}},
\]

where \(f_{\text{eff}}\) denotes the efficiency of the energy absorption at the recombination. \(f_{\text{eff}}\) is a function of dark matter mass defined as

\[
f_{\text{eff}} (m_{\text{DM}}) = \int_0^\infty dE E \left[ 2f_{\text{eff}}^e \left( \frac{dN}{dE} \right)_e + f_{\text{eff}}^\gamma \left( \frac{dN}{dE} \right)_\gamma \right]
\]

where \(f_{\text{eff}}^e, f_{\text{eff}}^\gamma\) are the differential efficiency function as a function of electron or photon energies. Planck \[88\] gave a 95% CL upper limits with TT, TE and lowP data

\[
p_{\text{ann}} < 4.1 \times 10^{-28} \text{ cm}^3\text{s}^{-1}\text{GeV}^{-1}.
\]

In general, we need to derive \(f_{\text{eff}}\) with the differential flux resulting from dark matter annihilation. For this work, however, we can make several very efficient simplifications to avoid the tedious calculation and yet still lead to satisfactory limits. First of all, we will apply the constraints from CMB only to operators with light charged leptons, i.e. electrons and muons, as they are much less competitive than the ones from cosmic gamma ray observation for operators with quarks and taus. Therefore, the contribution from the second term in Eq. \[69\] is negligible. Furthermore, we will choose \(\rho\) such that the differential flux of the SA leptons are much stronger than that from
the decaying leptons, so the contribution from the decaying leptons is also negligible. Finally, the constraints from CMB are most relevant when the dark matter mass is heavier than a couple of hundred GeV where $f_{\text{eff}}$ is approximately a constant [89]. We will use $f_{\text{eff}} = 0.40$ and 0.15 for operators with electrons and muons, which has to be further multiplied by a factor of 1/2 as only one electron, instead of an electron-positron pair, is produced in each semi-annihilation.

5.5 Results

We now present the limits from indirect detection and astrophysical observations for single-component DM operators. An important assumption we make is that the relic density is saturated. The annihilation cross sections presented in this section frequently involve the Källén $\lambda$ function defined as

$$\lambda(x, y, z) \equiv x^2 + y^2 + z^2 - 2xy - 2yz - 2zx .$$

(71)

Additionally, when considering operators including dark partners we define

$$\rho \equiv \frac{m_\phi}{m_{DM}},$$

(72)

where $m_\phi$ is the dark partner mass. Additionally, we will also sketch two constraints for each chosen operator: the thermal relic cross section, with which the relic density is approximately saturated; and the perturbativity bound, beyond which the dark matter mass is likely to be heavier than the mediator mass in the UV theory invalidating the EFT description.

5.5.1 D4 operators

The operators with the lowest mass dimension are $O_{4B}^h$ and $O_{4B}^{h\omega}$ which have dimensionless couplings. The only SM particle involved is the Higgs boson and the most sensitive limit is currently set by the dSphs observation of Fermi-LAT.

The s-wave annihilation cross section for $O_{4B}^h$ without a dark partner is

$$\langle \sigma v \rangle (O_{4B}^h) = \frac{|c_{4B, h}^h|^2}{128\pi} \sqrt{\frac{\lambda(4m^2_\phi, m^2_\phi, m^2_h)}{m^4_\phi}},$$

(73)

and with a scalar dark partner $\omega$ is

$$\langle \sigma v \rangle (O_{4B}^{h\omega}) = \frac{|c_{4B, h\omega}^{h\omega}|^2}{128\pi} \sqrt{\frac{\lambda(4m^2_\phi, m^2_\omega, m^2_h)}{m^4_\phi}},$$

(74)

where $c_{4B, h\omega}^{h\omega}$ is the dimensionless coupling for the relevant operator. For the case with dark partner $\omega$, the annihilation process $\phi\phi \rightarrow \omega h$ will be followed by subsequent decay of $\omega$. The decay of $\omega$ is completely model-dependent and we will restrict ourselves to the minimal case where $\omega$ decays to $\phi h$ with 100% branching ratio. To allow this simplest decay scenario, $m_\phi > 2m_h$ and $m_\omega > m_\phi + m_h$ should be satisfied.

In the left panel of Fig. 4, gamma ray fluxes for dark matter annihilating into dark partner and a Higgs are plotted in blue, magenta and red lines for $\rho = 1.25, 1.50$ and 1.75 with dark matter mass $m_{\phi, \chi} = 1$ TeV. Obviously $\rho = 1.50$ will yield the strongest bounds with the same dark matter mass. In Fig. 4 we show the current limits from dSphs and future sensitivities from CTA in solid and dashed black lines for DM only models, and solid and dashed red lines for models with dark partner with $\rho = 1.50$. The constraints for dark partner models are marginally weaker than for
dark matter only models for the same dark matter mass. This is a consequence of the spectrum in the latter case being slightly harder, as shown in the left panel of Fig. 4. Since the SM particle is the Higgs, which has a negligible coupling to the electrons, no constraints from AMS are shown in Fig. 4. We also show black and red dotted lines for dark matter only and dark partner models where approximately the correct relic density is achieved from SA alone. The Fermi bounds and CTA prospects are always weaker than these relic density cross sections.

5.5.2 Nonrenormalizable Operators

We next study the limits on nonrenormalizable operators according to the associated SM annihilation products.

**Higgs:** The relevant operators for scalar DM are $O^{h\omega\partial,\partial h\omega}_{6B}$ with annihilation cross sections

$$
\langle \sigma v \rangle (O^{h\omega\partial}_{6B}) = \frac{|c_{h\omega\partial}|^2}{128 \pi \Lambda^4} \sqrt{\frac{\lambda}{m_\phi^4, m_\omega^2, m_h^2}}
$$

$$
\langle \sigma v \rangle (O^{\partial h\omega}_{6B}) = \frac{|c_{\partial h\omega}|^2}{512 \pi \Lambda^4} \sqrt{\frac{(m_\phi^2 + m_\omega^2 - 4m_h^2)^2}{m_\phi^4}} \sqrt{\frac{\lambda}{m_\phi^4, m_\omega^2, m_h^2}}
$$

The decay of the dark partner $\omega$ is model dependent as discussed in Section 4, but conveniently chosen to be $\omega \to \phi^\dagger h$. So a pair of $\phi$ will annihilate to $\phi^\dagger hh$.

For fermion DM, the relevant operators are $O^{h\omega S(P)}_{5B}$ and $O^{h\omega A}_{6B}$, among which $O^{h\omega S}_{5B}$ is $p$-wave
suppressed. The $s$-wave annihilation cross sections of the other operators are

$$
\langle \sigma v \rangle \left( O_{5B}^{h\omega P} \right) = \frac{\left| c_{5B}^{h\omega P} \right|^2}{64\pi \Lambda^2} \sqrt{\frac{\lambda \left( 4m^2_\chi, m^2_\omega, m^2_h \right)}{m^2_\chi}} \tag{77}
$$

$$
\langle \sigma v \rangle \left( O_{6B}^{h\omega A} \right) = \frac{\left| c_{6B}^{h\omega A} \right|^2}{256\pi \Lambda^4 m^2_\chi} \sqrt{\frac{\lambda \left( 4m^2_\chi, m^2_\omega, m^2_h \right)}{m^2_\chi}}. \tag{78}
$$

The dark partner $\omega$ is chosen to decay through $\omega \to \bar{\chi} \nu_i$ in this scenario with 100% branching ratio. Therefore a pair of fermion DM $\chi$ will annihilate to $\bar{\chi} \nu_i h$.

Cosmic gamma ray searches can be applied to both cases, while AMS is only relevant for fermion DM $\chi$. The constraints from Fermi-LAT dSphs and future sensitivity from CTA are plotted in Fig. 5. In principle, neutrino telescope experiments can also be utilized to set the limits on the DM $\chi$ annihilation cross section, however, are much weaker than the ones from dSphs, and so we do not show them.

**Vector bosons:** The nonrenomalizable operators with a SM vector boson and scalar DM are $O_{5B}^{V\omega}$ with annihilation cross section,

$$
\langle \sigma v \rangle \left( O_{5B}^{V\omega} \right) = \frac{\left| c_{5B}^{V\omega} \right|^2}{512\pi \Lambda^2 m^4_\phi m^2_V} \left[ \lambda \left( 4m^2_\phi, m^2_\omega, m^2_V \right) \right]^\frac{3}{2}. \tag{79}
$$

The dark partner $\omega$ will decay to $V\phi^+$ for scalar DM, leading to a $VV\phi^+$ final state.

For fermion DM operators, the relevant operators include $O_{5B}^{V\omega A}$ and $O_{6B}^{V\omega sS(P)}$, among which $O_{6B}^{V\omega sS}$ is $p$-wave suppressed. The $s$-wave annihilation cross sections for these operators are

$$
\langle \sigma v \rangle \left( O_{5B}^{V\omega A} \right) = \frac{\left| c_{5B}^{V\omega A} \right|^2}{1024\pi \Lambda^2 m^4_\chi m^2_V} \left[ \lambda \left( 4m^2_\chi, m^2_\omega, m^2_V \right) \right]^\frac{3}{2} \tag{80}
$$

$$
\langle \sigma v \rangle \left( O_{6B}^{V\omega sS} \right) = \frac{\left| c_{6B}^{V\omega sS} \right|^2}{64\pi \Lambda^4 m^2_\chi m^2_V} \left[ \lambda \left( 4m^2_\chi, m^2_\omega, m^2_V \right) \right]^\frac{3}{2}. \tag{81}
$$
The dark partner \( \omega \) in \( O_{5B}^{V_A} \) will decay to \( \nu_i \bar{\chi} \) if \( V = Z \) or \( l_i \bar{\chi} \) if \( V = W \), while in \( O_{6B}^{V_{\psi}P} \) it will decay to \( \bar{\chi} \nu_i \). We have chosen the first generation of leptons, i.e. \( i = 1 \), in this analysis.

We plot the constraints from Fermi-LAT and future sensitivities from CTA for operators with SM vector bosons in Fig. 6. We see that the current bounds are much weaker than the thermal relic cross sections, except very close to the kinematic threshold.

**Neutrinos:** The nonrenormalizable operators with a neutrino for scalar DM are \( O_{5B}^{f_L \psi} \) and \( O_{5B}^{f_R \psi} \) with annihilation cross section,

\[
\langle \sigma v \rangle (O_{5B}^{f_L(R)\psi}) = \frac{|c_{5B}^{f_L(R)\psi}|^2}{128 \pi \Lambda^2 m_\psi^3} (4m_\psi^2 - m_\psi^2 - m_f^2) \sqrt{\lambda \left( 4m_\psi^2, m_\psi^2, m_f^2 \right)},
\]

where \( f = \nu_i \). Similarly to other scalar DM scenarios, the dark partner will decay through \( \psi \to \phi^i \nu_i \).

For fermion DM, there is one operator without dark partner, \( O_{6B}^{\nu L} \), with s-wave annihilation cross section

\[
\langle \sigma v \rangle (O_{6B}^{\nu L}) = \frac{9 |c_{6B}^{\nu L}|^2}{1024 \pi \Lambda^4} m_\chi^2.
\]

The operators with dark partners are \( O_{6B}^{\phi_{\psi} LL(LR,RL,RR)} \) and \( O_{6B}^{\chi_{\psi} LL(LR,RL,RR)} \). The s-wave annihilation cross sections can expressed as

\[
\langle \sigma v \rangle (O_{6B}^{\phi_{\psi} LL}) = \frac{|c_{6B}^{\phi_{\psi} LL}|^2}{256 \pi \Lambda^4 m_\chi^4} (4m_\chi^2 - m_\psi^2 - m_f^2) \sqrt{\lambda \left( 4m_\chi^2, m_\psi^2, m_f^2 \right)},
\]

\[
\langle \sigma v \rangle (O_{6B}^{\chi_{\psi} LL}) = \frac{|c_{6B}^{\chi_{\psi} LL}|^2}{4096 \pi \Lambda^4 m_\chi^4} (4m_\chi^2 (m_\psi^2 + m_f^2) - (m_f^2 - m_\psi^2)^2) \sqrt{\lambda \left( 4m_\chi^2, m_\psi^2, m_f^2 \right)},
\]

Figure 6: Current best limits from Fermi-LAT dSphs observations and future sensitivities of CTA are shown in solid and dashed lines for operators containing vector bosons. The left and right panels show the results for scalar and fermion dark matter operators respectively. The mass ratio between the dark partner and the dark matter is set to 1.5. The dotted lines denote the thermal relic cross section for different operators, with the same color codes as the gamma ray limits.
while the rest are similarly written as
\[
\langle \sigma v \rangle \left( O_{6B}^{f_6} \right) = \langle \sigma v \rangle \left( O_{6B}^{f_6 LL} \right) \bigg|_{f_6 \rightarrow f_6 LL, f_6 LR, f_6 RR} \quad (86)
\]

As shown in Fig. 7, the neutrinos from the subsequent decays of the dark partners can be softer or harder depending on the choice \( \rho \). If \( \rho \sim 2.0 \), the SA neutrino will be much softer and the scenario can be simplified as the decay of the dark partner alone. If on the contrary \( \rho \lesssim 1.5 \), we will have mostly hard and dominant neutrino line from semi-annihilation. To demonstrate the derivation of the limits from neutrino telescope experiments in a simple manner, we will choose \( \rho = 1.5 \) such that the decaying neutrino can be safely ignored.

In Fig. 8, we present the current limits from both IceCube and ANTARES observations and future sensitivities from CTA for SA operators with neutrinos. First of all, we only present results for the scalar DM operator \( O_{6B}^{\nu_6} \) and the fermion DM operator \( O_{6B}^{\nu_6 LL} \), as the limits can be easily derived for other operators according to Eq. \((89)\). As discussed in Sections 5.3, the choice of neutrino flavors will modify the limit for IceCube and ANTARES with a factor of \( O(1) \) and it would be too crowded to show the limit for all flavors. Thus we choose to demonstrate the limits fromIceCube and ANTARES with their own default assumptions, i.e. 1 : 1 : 1 at Earth and pure \( \nu_\mu \) at the Galactic Center. To translate the limits of IceCube shown as purple lines in Fig. 8 to a chosen flavor, we need to divide the limit with a factor of 1.44, 1.90 and 1.77 for \( \nu_e, \nu_\mu \) and \( \nu_\tau \) in the left panel and the square root of these factors in the right panel. Similarly for the ANTARES limits, the factors are 1.32, 1 and 1.07 for \( \nu_e, \nu_\mu \) and \( \nu_\tau \). We also show the CTA projections for different flavors in dashed lines in Fig. 8.
Light charged leptons: The light charged leptons discussed here refer to only electrons and muons. The relevant operators are the same as for neutrinos except $O_{5B}^{νLψ}$. The s-wave annihilation cross section can also be read directly from Eq. (82) and Eqs. (84)–(88). The dark partner $ψ$ for scalar DM decays through $ψ → φ^† l_i$, while for fermion DM $ψ$ decays through $ψ → χ^0_i ν_l$. Both the charged leptons and neutrinos from the dark partner decays are much softer than the charged lepton directly from the dark matter annihilation, similar to the situation with neutrinos shown in Fig. 7. They can be safely ignored to obtain conservative limits from Planck. We plot the positron flux $E_{e^+} Φ_{e^+}$ after propagation at the Earth without solar modulation in Fig. 9.

For operators with all flavors of leptons, Planck imposes strong constraints at higher DM masses. For operators with light leptons, i.e. electron and muon, the most stringent constraint for lower DM masses come from AMS-02. We plot the limits for operators with light leptons in Fig. 10. For $SA$ to electrons, we can exclude or nearly exclude the thermal relic cross sections for $10 \text{ GeV} ≲ m_{DM} ≲ 100 \text{ GeV}$, while the limits on muons are about a factor of 2 weaker.

Quarks and Tau: As tau decays predominantly to hadronic final states, its treatment is similar to quarks in indirect detection of dark matter. The relevant operators are the same as the ones with charged leptons (with $f$ replaced by quarks or tau) and the s-wave annihilation cross sections for operators with quarks should be multiplied by a color factor of 3. Similarly the dark partner $ψ$ for scalar DM will decay through $ψ → φ^† q$, where $q$ is a SM quark. For fermion DM, the dark partner $ψ$ will decay as $ψ → χ_d d_i$ ($ψ → χ_u u_i$) if the $SA$ operator contains $u_i$ or $d_i$.

For quarks and tau, cosmic gamma ray searches impose the most stringent constraints. Therefore, we will focus on the Fermi-LAT limits and the CTA projected sensitivities. In Fig. 11 we show the differential gamma ray spectra for operators with scalar DM on the left and fermion DM on the right. As we can see, the spectra for operators with the lightest quarks $u$ and $d$ are almost identical. In general, operators with quarks have similar spectra and thus similar limits on the cross section. Therefore we will show the limits from Fermi-LAT and projected sensitivities of CTA only for $u$, $b$ and $t$, to avoid over-crowdedness in the figures. The limits for $u$ and $b$ quarks ($τ$) exclude the thermal relic cross sections for $m_{DM} ≲ 100 \text{ GeV}$ (50 GeV).
Figure 9: Positron flux at the Earth without solar modulation for operators with light charged leptons and scalar (fermion) dark matter on the left (right) panel. The solid (dashed) black and orange lines are fluxes for operator containing electron and muon respectively with dark matter mass 1000 GeV (100 GeV). The AMS-02 data with errors are shown in red. The annihilation cross section is set to $\langle \sigma v \rangle = 10^{-25} \text{cm}^3/\text{s}$.

Figure 10: Limits from AMS (CMB) are shown in black and orange solid (dashed) lines for operators with electron and muon respectively for scalar dark matter in the left panel and fermion dark matter in the right panel. The ratio of the dark partner mass and the dark matter mass is again set to $\rho = 1.5$. Dotted magenta lines in both panels denote the thermal relic cross section, while the red solid line is the perturbativity limit.
Figure 11: Differential flux per annihilation for scalar (fermion) dark matter operators containing quarks and $\tau$ in the left (right) panel. The dark matter mass is set to 500 GeV and $\rho = 1.5$.

Figure 12: Limits from Fermi-LAT observations and future sensitivities of CTA on the semi-annihilation cross sections of scalar (fermion) dark matter operators with selected quark flavors in solid and dashed lines in the left (right) panel. The results for $u$, $b$, $t$ and $\tau$ are drawn in black, magenta, blue and green. The mass ratio between the dark partner and the DM is set to 1.5.
Figure 13: Limits from Fermi-LAT and sensitivities from CTA on the coefficients of scalar (fermion) dark matter operators with selected quark flavor in solid and dashed line respectively in the left (right) panel. The results for $u, b, t$ and $\tau$ are drawn in black, magenta, blue and green. The mass ratio between the dark partner and the DM is set to 1.5. The dotted lines denote the thermal relic cross sections. The perturbativity limit is shown as the red solid line.

6 Conclusions

As constraints from direct and collider searches become more severe, semi-annihilating dark matter becomes more attractive due to its resistance to these bounds. It therefore behooves us to explore the range of possible SA phenomenology: to understand what limits exist, what signals can be searched for, and what models still survive. In this work, we have made an initial effort to answer these questions by applying tools familiar from model-independent studies of annihilating DM to the SA paradigm. By assuming that mediator particles connecting the DM to the SM are heavy, we can construct an effective theory with non-renormalisable operators as the leading coupling between the two. Indeed, SA typically requires these mediators to carry dark sector charges, so that they must be more massive than the DM. The most phenomenologically relevant processes are given by those operators with lowest dimension. The number of possible operators up to a given dimension is finite, making a study of the general theory space tractable.

Our results fall into three main categories. The first is the enumeration of SA operators where the only additional dark sector fields are DM, as given in Tables 3 through 6 in Section 3. The possible model space is tightly constrained; since DM must be colourless and neutral, only neutral SM fields can be produced in $2 \to 2$ SA. We also found that photon and gluon final states require at least three dark matter fields, making signals likely suppressed by DM fractional abundances but raising the possibility of observing multiple gamma-ray lines. With one exception, all EW symmetric phase operators we found involve five or more fields. They therefore also lead to $2 \to 3$ SA processes, but these will be subleading for $m \lesssim 3$ TeV. If we impose that DM consist of only a single field, only two possibilities exist within our assumptions, given by the operators $O_{dU}^{H}$ of Table 3 and $O_{dU}^{LL}$ of Table 4. The former is closely related to the minimal model of scalar SADM, while the latter represents a new model not discussed in previous literature.

Our second set of results is the enumeration of models with dark partners, additional light unstable states in the dark sector which may carry SM gauge charges. The relevant operators here are listed in Tables 7 through 12 in Section 4. The addition of the dark partners substantially modifies the model space. All SM fields can now appear as final states, so that the SA phenomenology itself is greatly expanded. Even for processes that can appear in DM-only models, the dark partner operators often have lower dimension, leading to enhanced cross sections. The dark partners can

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be produced at colliders, opening up a new range of search channels. Since the dark partners must be light enough to be produced in SA, this also constrains the DM mass. Most importantly, the required instability of the dark partners demands the EFT be extended with at least one additional operator to mediate that decay. This introduces an additional model-dependence into the phenomenology, with the choice of decay mode affecting both collider limits and indirect detection signals.

Finally, in Section 5 we gave the current and near future constraints on these effective operators from searches in gamma rays, positrons, and neutrinos. To be concrete, we considered only operators consistent with a unique DM state plus possible dark partners, and assume that particle saturates the observed cosmological abundance. We considered limits from observation of gamma rays, positrons, and neutrinos, as well as modifications to the CMB anisotropies. The lack of any unambiguous observation translates to lower bounds on the UV cut-off $\Lambda$. We expressed the limits in terms of operators in the EW broken phase, to ease the application of these limits to other models of SADM. We also compared the limits we found to the operator coefficients necessary to produce the observed DM relic abundance from SA alone. For SA to gauge bosons, Higgses, and neutrinos, the current and near-future limits are insufficient to exclude the thermal relic cross sections, except possibly near threshold. In contrast, pure SA to charged leptons or quarks is either excluded or nearly so unless the DM mass $m \gtrsim \mathcal{O}(100)$ GeV, with the exact limit depending on the operator and final state.

While constraints on SADM are important to understand, the ultimate hope is that we can make a discovery and further distinguish these models from those of annihilating dark matter. In this direction, models with dark partners are particularly promising. In addition to the prospect of producing dark partners directly at colliders, their decays can leave direct imprints on cosmic ray spectra. This is clearly shown in e.g. Fig. 7 for the case of neutrinos. In the cases we have studied here, direct production of visible states through SA would be discovered first, and the decay products then serve as confirmation of the model. However, the reverse is also possible when the dark partner is relatively heavy, $m_\Psi \sim 2m$. Alternatively, correlating SA and annihilation signals could also serve this role. This looks most promising when they appear in different channels, e.g. SA into neutrinos or positrons and Higgs-portal annihilation to gamma-rays.

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A Tensor Symmetry Properties

Let $n^{ij}$ be an arbitrary tensor with $N^2$ components, $i, j = 1, \ldots, N$. As is well-known, we can construct two tensors with definite symmetry properties:

\[
s^{ij} \equiv \frac{1}{2}(n^{ij} + n^{ji}) \quad \text{with} \quad \frac{1}{2}N(N + 1) \text{ components},
\]

\[
a^{ij} \equiv \frac{1}{2}(n^{ij} - n^{ji}) \quad \text{with} \quad \frac{1}{2}N(N - 1) \text{ components}.
\]
Now let us consider $n^{ijk}$ an arbitrary tensor with $N^3$ components. We can construct tensors of definite symmetry properties as follows:

$$s^{ijk} = \frac{1}{6}(n^{ijk} + n^{ikj} + n^{kij} + n^{kji} + n^{jki} + n^{jik})$$

with $\frac{1}{6}N(N+1)(N+2)$ components, \hspace{1cm} (92)

$$a^{ijk} = \frac{1}{6}(n^{ijk} - n^{ikj} + n^{kij} - n^{kji} + n^{jki} - n^{jik})$$

with $\frac{1}{6}N(N-1)(N-2)$ components, \hspace{1cm} (93)

$$x^{ijk} = \frac{1}{6}(n^{ikj} + n^{kij} - n^{kji} - n^{jki})$$

with $\frac{1}{3}N(N^2 - 1)$ components, \hspace{1cm} (94)

$$y^{ijk} = \frac{1}{6}(n^{ikj} - n^{kij} - n^{kji} + n^{jki})$$

with $\frac{1}{3}N(N^2 - 1)$ components. \hspace{1cm} (95)

These objects are linearly independent and have $N^3$ components, and hence form a complete basis for three-index tensors. In particular, there is the inversion formula

$$n^{ijk} = s^{ijk} + a^{ijk} + x^{ikj} - x^{kji} + y^{ikj} - y^{kji}.$$ 

(96)

In addition to the familiar completely (anti)-symmetric matrices $a$ and $s$, the matrices $x$ and $y$ have the symmetry properties

$$x^{ijk} = -x^{jik}, \hspace{1cm} x^{ijk} + x^{jki} + x^{kij} = 0,$$ \hspace{1cm} (97)

$$y^{ijk} = y^{jik}, \hspace{1cm} y^{ijk} + y^{jki} + y^{kij} = 0.$$ \hspace{1cm} (98)

When there are repeated indices, we have the following symmetry rules for $x$ and $y$:

$$x^{iii} = 0, \hspace{1cm} x^{ijj} = -x^{ji}j, \hspace{1cm} x^{jji} = 0,$$ \hspace{1cm} (99)

$$y^{iii} = 0, \hspace{1cm} y^{ijj} = y^{jij} = \frac{1}{2}y^{jji}.$$ \hspace{1cm} (100)

Let $S_{ijk}$ ($A_{ijk}$) be an arbitrary matrix (anti)-symmetric under $i \leftrightarrow j$. The non-trivial contractions of these matrices with $x$ and $y$ satisfy

$$y^{ikj}S_{ijk} = -\frac{1}{2}y^{ijk}S_{ijk}, \hspace{1cm} x^{ikj}A_{ijk} = \frac{1}{2}x^{ijk}A_{ijk}.$$ \hspace{1cm} (101)

## B Additional Operators at Dimension 7 and 8

In this appendix in Tables [13][16] we give some additional dimension 7 and 8 operators in the EW unbroken phase to supplement those already listed in Sections [3][4]. These terms fall into two categories. Some are the leading operators needed to generate broken phase operators of dimension 6 and below, where the broken phase operators are not the dominant contributions to any SA process. The remainder generate broken phase operators individually that are only produced in groups by the terms listed previously. For operators including dark partners, we do not list decay operators for brevity, but they are easily constructed following the procedures discussed in Section [4].

For theories without dark partners, the only case where we have new operators are when there are both scalar and fermion DM. We find eight such operators, all at dimension 8, which we list in Table [13]. The first four lines are the leading generators of four dimension 6 broken phase operators. The last two lines are needed produce the broken phase couplings to the photon and $Z$ field strength tensors with arbitrary coefficients. (Strictly, only $\hat{O}_W^U$ plus the dimension-6 term of Table [6] are required, but we list both dimension-8 terms.)

For theories with dark partners, we list operators for scalar DM, fermion DM, and both in Tables [14][15] and [16] respectively. For scalar DM, we find 6 (12) relevant terms for scalar
Table 13: Dimension 8 operators for scalar and fermion DM with no dark partners.

| Operator | Definition | Broken Phase |
|----------|------------|--------------|
| $O^{ZS}_{SU}$ | $s^{ij} \left( \frac{1}{2} \partial_{\mu} \tilde{\chi}_i \tilde{\chi}_j \right) \left( i H^+ D^+_{\mu} H \right)$ | $-\frac{v m_Z}{\Lambda^2} O^{ZS}_{SU}$ |
| $O^{ZP}_{SU}$ | $s^{ij} \left( \phi \bar{D}_{\mu} \left( \chi_i^+ 5 \chi_k \right) \right) \left( i H^+ D^+_{\mu} H \right)$ | $-\frac{v m_Z}{\Lambda^2} O^{ZP}_{SU}$ |
| $O^{aS}_{SU}$ | $a^{ij} \left( \tilde{\chi}_i \partial^\mu \chi_j \right) \phi \left( i H^+ D^+_{\mu} H \right)$ | $-\frac{v m_Z}{\Lambda^2} O^{aS}_{SU}$ |
| $O^{aP}_{SU}$ | $a^{ij} \left( \tilde{\chi}_i \partial^\mu \chi_j \right) \phi \left( i H^+ D^+_{\mu} H \right)$ | $-\frac{v m_Z}{\Lambda^2} O^{aP}_{SU}$ |
| $O_{SU}$ | $a^{ij} \left( \tilde{\chi}_i \sigma^\mu \chi_j \right) \phi \left( H^+ W^+_{\mu} \sigma^a H \right)$ | $\frac{s^2}{\Lambda^2} (c_W \tilde{O}_{6G}^z - s_W \tilde{O}_{6B}^z)$ |
| $\tilde{O}_{SU}$ | $a^{ij} \left( \tilde{\chi}_i \sigma^\mu \chi_j \right) \phi \left( H^+ W^+_{\mu} \sigma^a H \right)$ | $\frac{s^2}{\Lambda^2} (s_W \tilde{O}_{6G}^z + c_W \tilde{O}_{6B}^z)$ |

Table 14: Dimension 7 operators for scalar DM with scalar dark partners $\omega$ (top) and fermion dark partners $\psi = (\zeta, \tilde{\psi})^T$ (bottom). In the latter case, $F = (F_u, F_d)$ is an SU(2)-doublet fermion in the gauge representation $(R_F, 2, Y_F)$.

(fermion) dark partners, all at dimension 7. None of these are the leading contributions to any broken phase operators, but rather allow us to generate certain broken phase operators uniquely. We find four dimension-7 operators with scalar dark partners that are the leading generators of terms in Table 9. There are an additional 17 (48) operators with scalar (fermion) dark partners that allow us to generate broken phase terms individually. Lastly there are four dimension-7 operators with fermion dark partners that are the leading generators of terms in Table 11 plus a further 12 (17) operators with scalar (fermion) dark partners that allow us to generate broken phase terms individually.

C General Models

In this section we relax the requirement that the DM be a pure gauge singlet. We otherwise retain the assumptions outlined in Section 2. Since DM must be a singlet under the unbroken SM gauge group $SU(3)_c \times U(1)_{em}$, this only leads to new operators in the EW symmetric phase. We continue to focus on operators with at least two DM fields, which can now take the general SM gauge representations $(1, I_{1,2}, Y_{1,2})$; the gauge representation of the third dark sector field is then fixed. For operators with multiple SM fields, we give their possible SM gauge index contractions (but not of the dark sector fields). When it is possible for all three dark sector multiplets to contain a charge- and colour-singlet, we denote them with $\phi$ and $\chi$; we find that this is always possible when the visible sector particle is uncoloured. For operators involving quarks and gluons, one of the dark sector fields must be unstable, and we use the dark partner fields $\omega/\psi$. For brevity, we will not give explicit decay operators $O_{dec}$; these can easily be inferred from the results in Section 4. Finally, we label these operators using the suffix ‘dG’, where $d$ is the operator dimension and $G$
| Operator | Definition | $\omega/\psi$ | Broken Phase |
|----------|------------|--------------|--------------|
| $O_{7u}^{H\psi V}$ | $a^{ij} \overline{\chi}^{\gamma\mu}_{i} \chi_{j} (\omega \partial^\nu_{\mu}(H^\dagger H))$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{\omega V}$ |
| $O_{7u}^{H\psi A}$ | $s^{ij} \overline{\chi}^{\gamma_{5}\mu}_{i} \chi_{j} (\omega \partial^\nu_{\mu}(H^\dagger H))$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{\omega A}$ |
| $O_{7u}^{Z\psi V}$ | $a^{ij} \overline{\chi}^{\gamma}_{i} \chi_{j} \omega (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi V}$ |
| $O_{7u}^{Z\psi A}$ | $s^{ij} \overline{\chi}^{\gamma_{5}}_{i} \chi_{j} \omega (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi A}$ |
| $O_{7u}^{W\psi V}$ | $a^{ij} \overline{\chi}^{\gamma}_{i} \chi_{j} \omega (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 1) | $\sqrt{2} m_{W} O_{6B}^{W\psi V}$ |
| $O_{7u}^{W\psi A}$ | $s^{ij} \overline{\chi}^{\gamma_{5}}_{i} \chi_{j} \omega (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 1) | $\sqrt{2} m_{W} O_{6B}^{W\psi A}$ |
| $O_{7u}^{\overline{\psi} \psi S}$ | $a^{ij} \left( \omega \partial^\nu_{\mu}(\overline{\chi}^{\gamma\mu}_{i} \chi_{j}) \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi S}$ |
| $O_{7u}^{\overline{\psi} \psi P}$ | $s^{ij} \left( \omega \partial^\nu_{\mu}(\overline{\chi}^{\gamma\mu}_{i} \chi_{j}) \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi P}$ |
| $O_{7u}^{\overline{\psi} \psi S}$ | $a^{ij} \left( \overline{\chi}^{\gamma\mu}_{i} \chi_{j} \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi S}$ |
| $O_{7u}^{\overline{\psi} \psi P}$ | $s^{ij} \left( \overline{\chi}^{\gamma\mu}_{i} \chi_{j} \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 0) | $-\frac{m_{Z}}{\Lambda} O_{6B}^{Z\psi P}$ |
| $O_{7u}^{W\psi S}$ | $a^{ij} \left( \chi^{\gamma\mu}_{i} \partial_{\mu} \chi_{j} \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 1) | $\frac{\sqrt{2}}{\Lambda} O_{6B}^{W\psi S}$ |
| $O_{7u}^{W\psi P}$ | $s^{ij} \left( \chi^{\gamma\mu}_{i} \partial_{\mu} \chi_{j} \right) (iH^\dagger \overline{D}_{\mu}^\nu H)$ | (1, 1, 1) | $\frac{\sqrt{2}}{\Lambda} O_{6B}^{W\psi P}$ |
| $O_{7u}^{\overline{\psi} \rho S}$ | $a^{ij} \left( \chi^{\gamma\mu}_{i} \sigma_{\mu\nu} \chi_{j} \omega \widetilde{B}_{\mu\nu}(H^\dagger H) \right)$ | (1, 1, 1) | $\frac{\sqrt{2}}{\Lambda} O_{6B}^{\overline{\psi} \rho S}$ |
| $O_{7u}^{\overline{\psi} \rho P}$ | $s^{ij} \left( \chi^{\gamma\mu}_{i} \sigma_{\mu\nu} \chi_{j} \omega \widetilde{B}_{\mu\nu}(H^\dagger H) \right)$ | (1, 1, 1) | $\frac{\sqrt{2}}{\Lambda} O_{6B}^{\overline{\psi} \rho P}$ |
| $O_{7u}^{F\psi V}$ | $a^{ij} \left( \eta \eta \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi V}$ |
| $O_{7u}^{F\psi R}$ | $s^{ij} \left( \overline{\xi^{\gamma\mu}}_{i}^{\dagger} \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi R}$ |
| $O_{7u}^{F\psi L}$ | $\left( s^{ij} + a^{ij} \right) \left( \eta \eta \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi L}$ |
| $O_{7u}^{F\psi R}$ | $\left( s^{ij} + a^{ij} \right) \left( \overline{\xi^{\gamma\mu}}_{i}^{\dagger} \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 0) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi R}$ |
| $O_{7u}^{F\psi L}$ | $a^{ij} \left( \eta \eta \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 1) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi L}$ |
| $O_{8u}^{F\psi R}$ | $s^{ij} \left( \eta \eta \right) ((F^\dagger H)^{\dagger})$ | (1, 1, 1) | $\frac{v}{\sqrt{2}} O_{6B}^{F\psi R}$ |

Table 15: Dimension 7 and 8 operators for fermion DM $\chi = (\eta, \overline{\xi}^{\dagger})^T$ with scalar dark partners $\omega$ (top) and fermion dark partners $\psi = (\zeta, \overline{\psi}^{\dagger})^T$ (bottom). In the latter case, $F = (F_u, F_d)$ is an $SU(2)$-doublet fermion in the gauge representation $(R_F, 2, 1_Y)$. 

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| Operator | Definition | $\omega/\psi$ | Broken Phase |
|----------|------------|---------------|--------------|
| $O^{\phi, \omega}_{\mu\nu}$ | $(\phi \overleftrightarrow{D_\mu \omega})$ | $(F^\dagger H) \bar{\sigma}^\mu \eta)$ | $(R_F, 1, Y_F - \frac{1}{2})$ | $\frac{v}{\sqrt{2} \Lambda} O^{\phi, \omega}_{\mu\nu}$ |
| $O^{F, \omega}_{\mu\nu}$ | $(\phi \overleftrightarrow{D_\mu \omega})$ | $(F^\dagger \bar{H}) \bar{\sigma}^\mu \eta)$ | $(R_F, 1, Y_F + \frac{1}{2})$ | $\frac{v}{\sqrt{2} \Lambda} O^{F, \omega}_{\mu\nu}$ |
| $O^{\bar{H}_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{\bar{H}_U \psi}$ |
| $O^{H_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{H_U \psi}$ |
| $O^{\bar{Z}_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{\bar{Z}_U \psi}$ |
| $O^{Z_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{Z_U \psi}$ |
| $O^{\bar{W}_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{\bar{W}_U \psi}$ |
| $O^{W_U \psi}$ | $\bar{\chi}^c \gamma^\mu \psi (\phi \overleftrightarrow{D_\mu (H^\dagger H)})$ | $(1, 1, 0)$ | $\frac{v}{\sqrt{2} \Lambda} O^{W_U \psi}$ |

Table 16: Dimension 7 and 8 operators with both scalar and fermion DM with scalar dark partners $\omega$ (top) and fermion dark partners $\psi = (\zeta, \tilde{v}^T)$ (bottom). In the former case, $F = (F_u, F_d)$ is an SU(2)-doublet fermion in the gauge representation $(R_F, 2, Y_F)$. 

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Table 17: General operators with three dark sector scalar fields. In all these operators, it is possible for all three dark sector multiplets to contain a DM particle, so we use $\phi$ for all fields.

stands for ‘General’.

We give operators with three (two, one, zero) dark sector scalar fields in Table 17 [18] [19] [20]. For the three-scalar case, all operators couple to the Higgs and can contain DM multiplets only. For the other possibilities, we split the tables such that the upper section contains possible DM-only operators, and the lower section(s) operators that require dark partners. Not counting different DM gauge assignments, for DM-only operators we find 8 (30, 14, 12) operators with three (two, one, zero) dark sector scalar fields, summing over lepton generations where appropriate. For operators involving dark partners, we have 30 operators with two scalar DM (all with a fermion dark partner); 26 operators with two fermion DM (2 with a scalar dark partner); and 32 operators with scalar-fermion DM (2 with a fermion dark partner).

In the various models of Sections 5 and 4, we were concerned about the presence of Higgs portals (Eqs. (13) and (14)) and renormalisable SA couplings (Eqs. (22) and (28)). The latter are in general not allowed, though this is operator-dependent. The former can never be forbidden, leading to similar restrictions on the UV completions as before. As discussed in Section 2, allowing non-zero DM gauge interactions will open new processes that in general might be expected to dominate over the non-renormalisable operators listed in this section. In particular, the relic density and cosmic ray searches will be affected by DM annihilation through gauge bosons; production at colliders will be enhanced; and, for DM with non-zero hypercharge, direct detection bounds can be very severe. Ensuring that SA processes remain phenomenologically relevant is an open model-building challenge that is beyond the scope of this work. However, we note that direct detection bounds can be avoided if there is a small mass splitting $\delta \gtrsim 500$ keV among the components of the DM multiplet [90], which can be achieved through e.g. appropriate couplings to the Higgs. A larger mass splitting $\delta \gtrsim 0.05 m$ can suppress the contributions to the relic density, e.g. through mixing with other dark sector fields. We defer the question of whether viable models of this form exist to future work.

D P-wave suppression of $\phi_i \phi_j \rightarrow \phi_k^\dagger \gamma/g$ from effective operators

The matrix element for these processes must contain a photon or gluon polarisation tensor $\epsilon_{\mu}(p_4)$, and the only other non-scalar objects are initial and final state momenta. The most general form the matrix element can take is then

$$iM = f_1 p_1 \cdot \epsilon + f_2 p_2 \cdot \epsilon + f_3 p_3 \cdot \epsilon + f_4 \epsilon_{\mu \nu \rho \sigma} p_1^\mu p_2^\nu p_3^\rho \epsilon^\sigma,$$  \hspace{1cm} (102)

where the $f_i$ are scalar functions of the particle masses and momenta. There is trivially no term involving $p_4 \cdot \epsilon$, and any other possible contraction with the antisymmetric tensor either vanishes.
| Operator | Definition | 3rd Field Representation |
|----------|------------|-------------------------|
| $O_{5G}^{\phi}$ | $s^I \phi_i \phi_j \eta$ | (1, $I_1 \otimes I_2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{\phi}$ | $s^I \phi_i \phi_j \xi^I$ | (1, $I_1 \otimes I_2 \otimes 2$, $\frac{1}{2} - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{H}$ | $s^I \phi_i \phi_j \bar{H} \xi^I$ | (1, $I_1 \otimes I_2 \otimes 2$, $-\frac{3}{2} - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{H^I}$ | $s^I \phi_i \phi_j \bar{H} \xi^I$ | (1, $I_1 \otimes I_2 \otimes 2$, $-\frac{3}{2} - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{LH_{1}}$ | $s^I \phi_i \phi_j \left((L^I \bar{H})\eta\right)$ | (1, $I_1 \otimes I_2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{LH_{2}}$ | $s^I \phi_i \phi_j \left((L^I \sigma^a \bar{H})\eta\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |
| $O_{6G}^{PV}$ | $\bar{\sigma}^I \left(\phi_i \bar{D}_\mu \phi_j\right)$ | (1, $I_1 \otimes I_2 \otimes 2$, $-1 - Y_f - Y_1 - Y_2$) |

Table 18: General operators with two scalar and one fermion dark sector field. The operators in the upper section can all be DM, so we label the fields using $\phi$ and $\chi = (\eta, \bar{\xi}^I)^T$. Operators in the lower two sections necessarily involve an unstable dark partner. In the middle section, that dark partner is a fermion $\psi = (\zeta, \bar{\eta})^T$, while in the lower section it is a scalar $\omega$. $\bar{f}$ represents the quark singlet fields $\{\bar{u}, \bar{d}\}$, with hypercharge $Y_f$. 43
Table 19: General operators with one scalar and two fermion dark sector fields. The operators in the upper section can all be DM, so we label the fields using \( \phi \) and \( \chi \). Operators in the lower section necessarily involve an unstable dark partner \( \omega \) or \( \psi \).

| Operator | Definition | 3rd Field Representation |
|----------|------------|--------------------------|
| \( O_{5G}^{H^1} \) | \( s^{ij} \bar{X}^i \gamma^\mu \chi^j \phi H^\dagger \) | \((1, I_1 \otimes I_2 \otimes 2, \frac{1}{2} - Y_1 - Y_2)\) |
| \( O_{5G}^{H^2} \) | \( s^{ij} \bar{X}^i \gamma^\mu \chi^j \phi H^\dagger \) | \((1, I_1 \otimes I_2 \otimes 2, \frac{1}{2} - Y_1 - Y_2)\) |
| \( O_{6G}^{H_1^1} \) | \( s^{ij} \bar{X}^i \chi^j \phi H^\dagger H \) | \((1, I_1 \otimes I_2, -Y_1 - Y_2)\) |
| \( O_{6G}^{H_1^2} \) | \( s^{ij} \bar{X}^i \chi^j \phi H^\dagger \sigma^a H \) | \((1, I_1 \otimes I_2 \otimes 3, -Y_1 - Y_2)\) |
| \( O_{6G}^{H_1^3} \) | \( s^{ij} \bar{X}^i \chi^j \phi H^\dagger \sigma^a \tilde{H} \) | \((1, I_1 \otimes I_2 \otimes 3, 1 - Y_1 - Y_2)\) |
| \( O_{6G}^{H_2^1} \) | \( s^{ij} \bar{X}^i \chi^j \phi H^\dagger H \) | \((1, I_1 \otimes I_2, -Y_1 - Y_2)\) |
| \( O_{6G}^{H_2^2} \) | \( s^{ij} \bar{X}^i \gamma^\mu \chi^j \phi H^\dagger \sigma^a H \) | \((1, I_1 \otimes I_2 \otimes 3, -Y_1 - Y_2)\) |
| \( O_{6G}^{H_2^3} \) | \( s^{ij} \bar{X}^i \gamma^\mu \chi^j \phi H^\dagger \sigma^a \tilde{H} \) | \((1, I_1 \otimes I_2 \otimes 3, 1 - Y_1 - Y_2)\) |
| \( O_{6G}^{H_A} \) | \( s^{ij} \bar{X}^i \gamma^\mu \chi^j \phi iH^\dagger \tilde{D}_\mu \phi \) | \((1, I_1 \otimes I_2 \otimes 2, \frac{1}{2} - Y_1 - Y_2)\) |
| \( O_{6G}^{\bar{H}T} \) | \( s^{ij} \bar{X}^i \gamma^\mu \gamma^5 \chi^j \phi \bar{B}_{\mu \nu} \) | \((1, I_1 \otimes I_2, -Y_1 - Y_2)\) |
| \( O_{6G}^{H_{W_T}} \) | \( s^{ij} \bar{X}^i \gamma^\mu \gamma^5 \chi^j \phi \bar{W}_{\mu \nu} \) | \((1, I_1 \otimes I_2 \otimes 3, -Y_1 - Y_2)\) |
| \( O_{6G}^{\tilde{G}_{\psi T}} \) | \( a^{ij} \bar{X}^i \gamma^\mu \gamma^5 \chi^j \phi \tilde{G}_{\mu \nu} \) | \((8, I_1 \otimes I_2, -Y_1 - Y_2)\) |
| \( O_{6G}^{\tilde{G}_{\psi T}} \) | \( \tilde{G}^\dagger_{\mu \nu} \phi \tilde{G}_{\mu \nu} \) | \((8, I_1 \otimes I_2, -Y_1 - Y_2)\) |

Table 20: General operators with three fermion dark sector fields. The operators in the upper section can all be DM, so we label the fields using \( \chi = (\eta, \bar{\xi})^T \). Operators in the lower section necessarily involve an unstable dark partner \( \psi = (\zeta, \bar{\psi})^T \). \( \bar{f} \) represents the quark singlet fields \( \{\bar{u}, \bar{d}\} \), with hypercharge \( Y_f \).

| Operator | Definition | 3rd Field Representation |
|----------|------------|--------------------------|
| \( O_{6G}^{L_L} \) | \( (y^{ij} + x^{ij}) (\bar{\eta} \eta_j) (\bar{\xi} \xi_k) \) | \((1, I_1 \otimes I_2, -1 - Y_1 - Y_2)\) |
| \( O_{6G}^{E_L} \) | \( n^{ij} (\bar{\xi} \xi_j) (\bar{\xi} \xi_k) \) | \((1, I_1 \otimes I_2, -1 - Y_1 - Y_2)\) |
| \( O_{6G}^{L_L} \) | \( n^{ij} (\bar{\eta} \eta_j) (\bar{L} \xi^i_k) \) | \((1, I_1 \otimes I_2 \otimes 2, \frac{1}{2} - Y_1 - Y_2)\) |
| \( O_{6G}^{R_L} \) | \( (y^{ij} + x^{ij}) (\bar{\xi} \xi^i_j) (\bar{L} \xi^i_k) \) | \((1, I_1 \otimes I_2 \otimes 2, \frac{1}{2} - Y_1 - Y_2)\) |
| \( O_{6G}^{L_L} \) | \( s^{ij} (\bar{\eta} \eta_j) (\bar{\zeta} \zeta^i) \) | \((3, I_1 \otimes I_2, -Y_f - Y_1 - Y_2)\) |
| \( O_{6G}^{L_L} \) | \( s^{ij} (\bar{\xi} \xi^i_j) (\bar{\zeta} \zeta^i) \) | \((3, I_1 \otimes I_2, -Y_f - Y_1 - Y_2)\) |
| \( O_{6G}^{Q_L} \) | \( s^{ij} (\bar{\eta} \eta_j) (Q \bar{\psi}^\dagger) \) | \((3, I_1 \otimes I_2 \otimes 2, \frac{1}{6} - Y_1 - Y_2)\) |
| \( O_{6G}^{Q_L} \) | \( s^{ij} (\bar{\xi} \xi^i_j) (Q \bar{\psi}^\dagger) \) | \((3, I_1 \otimes I_2 \otimes 2, \frac{1}{6} - Y_1 - Y_2)\) |
| \( O_{6G}^{R_L} \) | \( n^{ij} (\bar{\eta} \eta_j) (\bar{\zeta} \zeta^i) \) | \((3, I_1 \otimes I_2, -Y_f - Y_1 - Y_2)\) |
| \( O_{6G}^{R_L} \) | \( n^{ij} (\bar{\xi} \xi^i_j) (\bar{\zeta} \zeta^i) \) | \((3, I_1 \otimes I_2, -Y_f - Y_1 - Y_2)\) |
| \( O_{6G}^{Q_L} \) | \( n^{ij} (\bar{\eta} \eta_j) (Q \bar{\psi}^\dagger) \) | \((3, I_1 \otimes I_2 \otimes 2, \frac{1}{6} - Y_1 - Y_2)\) |
| \( O_{6G}^{Q_L} \) | \( n^{ij} (\bar{\xi} \xi^i_j) (Q \bar{\psi}^\dagger) \) | \((3, I_1 \otimes I_2 \otimes 2, \frac{1}{6} - Y_1 - Y_2)\) |
identically or is reducible to the term we have included using conservation of momentum. In the case of a gluon final state there are additional colour factors that do not affect our argument.

If we assume that this process is generated by a four-field contact operator, we have the further restriction that the $f_i$ must be non-singular functions. Since a local operator has only positive powers of dimension, the associated Feynman rule can contain only positive powers of momenta and negative powers of the UV scale $\Lambda$. The $f_i$ cannot have poles or branch cuts for physical momenta.

Conservation of momentum allows us to eliminate the third term by replacing $p_3 = p_1 + p_2 - p_4$:

$$i\mathcal{M} = (f_1 + f_3) p_1 \cdot \varepsilon + (f_2 + f_3) p_2 \cdot \varepsilon + f_4 \epsilon_{\mu\nu\rho\sigma} p_1^\mu p_2^\nu p_3^\rho p_4^\sigma, \quad (103)$$

The Ward Identity tells us that, for all particles on-shell, the matrix element vanishes if we replace $\varepsilon \rightarrow p_4$. The last term vanishes identically using conservation of momentum:

$$\epsilon_{\mu\nu\rho\sigma} p_1^\mu p_2^\nu p_3^\rho p_4^\sigma = \epsilon_{\mu\nu\rho\sigma} p_1^\mu p_2^\nu p_3^\rho (p_1 + p_2 - p_3)^\sigma = 0. \quad (104)$$

The first two terms give us the relation

$$0 = (f_1 + f_3) p_1 \cdot p_4 + (f_2 + f_3) p_2 \cdot p_4 \big|_{\text{On-Shell}}. \quad (105)$$

So we may write the general matrix element when all fields are on-shell as

$$i\mathcal{M} = F_1(s,t) \left( \frac{p_1 \cdot \varepsilon}{p_1 \cdot p_4} - \frac{p_2 \cdot \varepsilon}{p_2 \cdot p_4} \right) + iF_2(s,t) \epsilon_{\mu\nu\rho\sigma} p_1^\mu p_2^\nu p_3^\rho p_4^\sigma, \quad (106)$$

where $F_{1,2}$ inherit from the $f_i$ the absence of any poles at physical momenta.

Now let us consider this expression in terms of the components of the momenta. Without loss of generality, we may take

$$p_1 = (E_1, 0, 0, p), \quad p_2 = (E_2, 0, 0, -p), \quad \varepsilon = (0, \cos \theta, \pm i, -\sin \theta). \quad (107)$$

We have allowed for the possibility that $m_1 \neq m_2 \neq m_3$. A short calculation then gives

$$i\mathcal{M} = F_1(s,t) \frac{p \sin \theta}{k} \left( \frac{1}{E_1 - p \cos \theta} - \frac{1}{E_2 + p \cos \theta} \right) + F_2(s,t) (E_1 + E_2) p k \sin \theta. \quad (110)$$

We see that this vanishes as $p \rightarrow 0$ unless at least one of the form factors $F_{1,2}$ has a pole at that point. Since as we discussed they do not, it follows that in the non-relativistic limit

$$\sigma v = \frac{1}{2s} \int d\Pi \sum |\mathcal{M}|^2 \sim \frac{1}{2s} p^2 \int d\cos \theta (\cdots) \sim v^2, \quad (111)$$

so that this cross section is always $p$-wave suppressed.

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