Cascaded Kerr photon-blockade sources and applications in quantum key distribution

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To raise the repetition rate, a single-photon source based on Kerr quantum blockade in a cascaded quantum system is studied. Using the quantum trajectory method, we calculate and simulate the photon number distributions out of a two-cavity system. A high quality single-photon source can be achieved through optimizing parameters. The designed photon source is further applied to the decoy state quantum key distribution (QKD). With and without statistical fluctuation, the key rate can be both raised drastically.

Single-photon sources as indispensable tools have been widely used in different quantum tasks including quantum optics, quantum communications and so on. Specifically, in the decoy state quantum key distribution (QKD)1–6, a secure key can be generated with imperfect single-photon sources7–9. To obtain high key rate in QKD, one needs both a high quality single-photon source and a high repetition rate of the source. To realize high quality single-photon sources, the quantum blockade process is a rather promising way10,11.

Single-photon blockades have been realized in different systems, such as single emitter (atom and quantum dot) systems12–15 and nonlinear (Kerr) medium16. And the Kerr photon blockade refers to the happening of single-photon blockade in a cavity with Kerr-type medium. These experiments have already demonstrated photon antibunching and sub-Poissonian distribution. Through using a cavity, one can acquire high efficiency in collecting single photons from the blockade. Also, it has been demonstrated that an ordinary Kerr-type material can produce very large effective nonlinear susceptibility, which allows strong interaction among different photons. Besides this, Kerr systems do not require any precise positioning17,18. Nevertheless, the repetition rate of Kerr cavity is limited. Specifically, limited by the cavity linewidth, the repetition rate of output light pulse is limited to sub GHz in the Kerr photon blockade system19.

In this work, we propose a cascaded method for Kerr photon blockade systems. This proposal is to enhance the potential repetition rate of single-photon sources based on the principle of single-photon blockade in a single mode cavity with Kerr-type nonlinear response. Through cascading cavities, we find an enhanced probability of single photon occupancy, and simultaneously a reduced vacuum and multi-photon probability, which allows to relax the constraints on the repetition rate imposed by the cavity lifetime. Thus our proposed method can improve both the repetition rate and the single-photon quality. Particularly, we use the quantum trajectory method which is based on the evolution of a Monte Carlo wave function (MCWF) of small systems20–22 and simulate the output photon number distributions in two-cavity systems. Then we apply such quasi-sources to the decoy-state QKD and we find the key rate can be raised drastically.

Results

Model for cascaded cavities. We start with a compound quantum system with two cavities A and B. Cavity B is driven by the radiated emission from cavity A. The two cavities are cascaded and mediated by a reservoir R (see Fig. 1). Each of the cavities has a single-mode field inside within a Kerr-type medium. The free Hamiltonians of cavity A and B including interactions only inside cavities are20–22 (in this letter we set \( \hbar = 1 \)):

\[
H = \Delta a^\dagger a + \chi_x (a^\dagger)^2 a.
\]  (1)

\( \chi_x \) is the Kerr coefficient.
\[ \chi = \Delta + \chi_a \]

Here, \( a^\dagger (b^\dagger) \), \( a (b) \) are the creation and annihilation operators for cavity mode A (B), and \( \Delta = \Delta_a = \Delta_b \) is the detuning between the center frequency of the driving pulse and the resonator. The nonlinearity strength \( \chi_a, \chi_b \) is proportional to the real part of the third-order nonlinear susceptibility, depending on the nonlinear material and the mode volumes of the resonator.

The total Hamiltonian of the system can be divided into parts: the free Hamiltonian of cavity A and B including interactions only inside cavities; the interaction between cavity A or B and the reservoir R; the Hamiltonian of R. That is:

\[ H = H_a + H_b + H_R + H_{arb} + H_{br}. \]

The interaction Hamiltonians are:

\[ H_{arb} = \sqrt{\kappa_a} (iae^\dagger(0) + H.c.), \]

\[ H_{br} = \sqrt{\kappa_b} (ib\varepsilon(0) + H.c.). \]

where \( \kappa_a (\kappa_b) \) denotes the cavity decay of cavity A (B). Operators \( e^\dagger(0) \) and \( e(0) \) stand for the fields that couple to cavity A and B. They are written in photon flux units. The travel distance of photons from cavity A to B is \( l \). The distance \( l \) is small enough and \( t_0 = l/c \approx 0 \). Under the Born-Markov approximation, photon can be only annihilated from A and created in B, while the reverse process cannot happen. At the same time, operators \( e^\dagger(0) \) and \( e(0) \) have the relation:

\[ e(l) = U_a^\dagger(t_0) \left( e(0) + \frac{1}{2} \sqrt{\kappa_a} \right) U_a(t_0), \]

or

\[ e(0) = U_a^\dagger(t_0) e(l) U_a^\dagger(t_0) - \frac{1}{2} \sqrt{\kappa_a}. \]

The operator \( U_a \) is defined as ref. 28:

\[ U_a^\dagger(t_0) = \exp(iH_a + H_b + H_{arb} t_0). \]

One can compute the properties of the light filed from cavity A first. Then one computes the cavity-B part. However, the computation is quite complex. So we consider coupling the two cavities into S. And the total Hamiltonian of the system is

\[ H = H_S + H_R + H_{Sr}. \]

where \( H_S \) represents the coupled cavities and \( H_{Sr} \) represents the interaction between the cavity and the reservoir.

As photons travels from A to B in \( t_0 = l/c \), the retarded density operator of the system is also defined as:

\[ \rho_{ret} = U_a(t_0) \rho U_a^\dagger(t_0). \]

So \( H_{br} \) should be revised as:

\[ (H_{br})_{ret} = \sqrt{\kappa_b} (ib\varepsilon_{ret}(l) + H.c.). \]

where

\[ \varepsilon_{ret}(l) = U_a(t_0) e(l) U_a^\dagger(t_0). \]

Thus we can finally obtain

\[ (H_{br})_{ret} = i\sqrt{\kappa_b} \left( b\varepsilon(0)^* + \frac{1}{2} \sqrt{\kappa_a} a^\dagger b - \frac{1}{2} \sqrt{\kappa_a} ab^\dagger - b^\dagger \varepsilon(0) \right). \]
The interaction term \( H_{SR} \) is:

\[
H_{SR} = (\sqrt{\kappa_a} a + \sqrt{\kappa_b} b) \psi^\dagger(0) + \text{H.c.}
\]

So the reduced density operator \( \rho_{ab} \) of the coupled system \( S \) satisfies the Master equation:

\[
\frac{d\rho_{ab}}{dt} = -i[H_0, \rho_{ab}] + \frac{1}{2}(2C_a\rho_{ab}C_a^\dagger - C_a^\dagger C_a \rho_{ab} - \rho_{ab} C_a^\dagger C_a).
\]

Cavity A is also coherently driven by a pulsed field: \( \Omega(t)(a^\dagger + a) \). And \( \Omega \) is proportional to the amplitude of the driving pulse with \( \Omega(t) = \Omega_0 \exp[-(t - t_0)^2/\tau^2] \), where \( \tau \) is the duration of the time dependent Gaussian pulse, \( t_0 \) and \( \Omega_0 \) are constants for chosen driving pulse. Then the non-Hermitian effective Hamiltonian including a coherent drive can be rewritten as referring \( 26,28 \)

\[
H_{\text{eff}} = H_S - \frac{i}{2}C_a^\dagger C_a = H_a + H_b - \frac{1}{2}i[\kappa_a a^\dagger a + \kappa_b b^\dagger b + 2\sqrt{\kappa_a \kappa_b} ab^\dagger].
\]

In the Markov approximation, Eq. (18) includes the system A and B, their interaction \( ab^\dagger \) with broken time symmetry and a coherent input. Photons can be annihilated from A and created in B. Cavity A and B are coupled through the composite collapse operator \( C_a = (\kappa_a)^{1/2} a + (\kappa_a)^{1/2} b \). Then we simulate the system by quantum trajectory method.

**Quantum trajectory simulation.** Denote the coupled system state at time \( t \) as \( \psi(t) \) and the Schrödinger equation of the composite system is

\[
-i\frac{d}{dt}\psi(t) = H_{\text{eff}}(t)\psi(t).
\]

For a single trajectory, in a very short time interval \( \delta t \) \( (\delta t \ll \kappa^{-1} \) and \( \kappa = \kappa_a = \kappa_b \) is the decay rate of each cavity), the system would evolve into an unnormalized state: \( \tilde{\psi}(t + \delta t) \equiv (1 - iH_{\text{eff}}(t))\psi(t) \). The probability that no photon decays from B in the time interval is: \( p_0 = (\tilde{\psi}(t + \delta t)\tilde{\psi}^\dagger(t + \delta t)) = 1 - p \). And \( p = \delta t(\psi(t)|C_a^\dagger C_a|\psi(t)) \) presents the probability that a quantum jump takes place in \( \delta t \). In other words, the emission times are determined in a Monte Carlo simulation using the rate function \( (\psi(t)|C_a^\dagger C_a|\psi(t))^{\text{ref.29}} \).

In the simulation, we choose a random number \( 0 < r < 1 \) and compare \( p \) and \( r \) at the end of the time interval. If \( p < r \), we normalize the state

\[
|\psi(t + \delta t)\rangle = \frac{\tilde{\psi}(t + \delta t)}{\sqrt{p_0}}.
\]

Then we continue the evolution of non-Hermitian effective Hamiltonian further. Once \( p > r \), we see a quantum jump happens and we should take renormalization

\[
|\tilde{\psi}(t + \delta t)\rangle \rightarrow |\psi(t + \delta t)\rangle = \frac{C_a|\tilde{\psi}(t + \delta t)\rangle}{p/\delta t}.
\]
then a single click (click = 1 or click = 0) should be detected. In the simulation, we run 6000 pulses trajectories. Then we can estimate the value $|c_n|^2$ by $P_n = |c_n|^2 = N_{\text{click} = n}/6000$. The value $N_{\text{click} = n}$ means there are $n$ events of $n$-photon detection.

In the simulation, we use cavity parameters: $\chi = 15\,\text{GHz}$, $\Delta = 1\,\text{GHz}$. One can verify that the Kerr nonlinear coefficient $\chi$ with the material SiO$_2$/Ag ($V_{\text{eff}} = 10^{-2}\,\mu\text{m}^3$) can be larger than $10\,\mu\text{eV}$ (15.2 GHz). Besides, for Kerr materials, the nonlinear coefficient $\chi$ can be further increased through the reduction of cavity mode volume.

To make input pulses entering the cavity one by one, the minimum period of input pulses $f^{-1}$ should be several times of the cavity linewidth $\kappa^{-1}$. In our work, we take $f = \kappa/5$. In one-cavity case in ref. 19, the repetition rate is only 200 MHz. One can raise $f$ by increasing $\kappa$ to some extent. However, to make photon blockade happening, we cannot choose a too bad cavity with the value $\kappa$ too large. To further raise the $f$, we cascade two or more cavities and lowerdown the cavity quality factors. So cascading cavities can obtain a larger $f$ and stronger nonlinearity strength. In the two-cavity case, we take $\kappa = 5\,\text{GHz}$ and $\kappa = 10\,\text{GHz}$. Compared with the one-cavity case in ref. 19, our proposal may effectively increase the repetition rate. For each $\kappa$ we choose, we only change the parameters of the input light: the driving amplitude $\Omega_0$ and pulse duration $\tau$. Discussions are shown below.

To further increase the repetition rate $f$, we can utilize $N$ cascaded cavities. In this way, one could generalize the effective Hamiltonian of the coupled $N$-cavity system as:

$$
H_{\text{eff}} = \sum_{j=1}^{N} H_j - i/2 \sum_{j=1}^{N} \left[ \sum_{i,j \neq j} \kappa_i \kappa_j \frac{1}{\sqrt{2}} a_i^\dagger a_j + H.c. \right] + \Omega(t) \sum_{j=1}^{N} \left[ \sqrt{\kappa_j} / \kappa_j (a_j^\dagger a_j) \right].
$$

(22)

$H_j$ stands for the free cavity mode and interactions inside the cavity $j$. Like the case when $N = 2$, $H_j = H_0$ is the only cavity that is injected with pulse. The corresponding collapse operator should be $C_N = \sum_{i=1}^{N} (a_i^\dagger a_i)^{1/2} a_i$.

By defining the collapse operator $C_N$ we can use the quantum trajectory method discussed above.

**Cascaded photon blockade sources.** We first analyze the photon number probability $P_n$ in photon blockade with amplitude $\Omega_0$ when $\tau = 0.2\,\text{ns}$, $\kappa = 5\,\text{GHz}$ and $\tau = 0.1\,\text{ns}$, $\kappa = 10\,\text{GHz}$. In Fig. 2, $P_0$ has a strong dependence on $\Omega_0$ in both figures. In Fig. 2(a), it is shown that when $\Omega_0 = 4.5\,\text{GHz}$ ($P_0 = 8.80\%$, $P_1 = 83.18\%$, $P_2 = 7.62\%$, $P_3 = 0.40\%$), $P_0$ could occupy a comparatively largest proportion at 83.18%.

In Fig. 2, we also notice that $P_0$ at $\Omega_0 = 1\,\text{GHz}$, $\tau = 0.2\,\text{ns}$, $\kappa = 5\,\text{GHz}$ are equal to those at $\Omega_0 = 2\,\text{GHz}$, $\tau = 0.1\,\text{ns}$, $\kappa = 10\,\text{GHz}$ from Fig. 2. Thus in Fig. 3, we draw $P_0$ versus $\Omega_0^{2}/\kappa$ when $\tau = 0.2\,\text{ns}$, $\kappa = 5\,\text{GHz}$ and $\tau = 0.1\,\text{ns}$, $\kappa = 10\,\text{GHz}$ from Fig. 2. It shows roughly the same of $P_0$ and $P_1$ in different chosen parameters when $\Omega_0^{2}/\kappa < 0.5$. In weak driving photon-blockade regimes, $\Omega_0^{2}/\kappa$ is small to make photon blockade happening effectively. This also verifies that mean photon number $\mu = \sqrt{\pi/2} \Omega_0^{2}/\kappa^{3/2}$. However, when $\Omega_0^{2}/\kappa > 0.5$, $P_0$ in different photon blockade systems becomes much different.

It is worthy of being mentioned that the chosen values of $\tau$ is mainly affected by the cavity decay $\kappa$. We also show from Fig. 4 how $\tau$ affects the output light field. For example, in the left figure when $\tau = 0.2\,\text{ns}$, we see that $P_0 = 83.32\%$ is the largest proportion among all $P_n$. However, if we further increase the value of $\tau$, when $\tau > 0.2\,\text{ns}$ and $\tau > 0.12\,\text{ns}$, $P_0$ rapidly attenuates while $P_2$ grows remarkably. From the optimized values of $\tau$ (0.2 ns and 0.12 ns), we find $\mu = \sqrt{\pi/2} \Omega_0^{2}/\kappa \approx 1.0$ in both figures. And $\mu$ may provide us a useful way to optimize $P_0$.

It may be also important to characterize the statistics properties of single-photon sources via the second-order correlation $g^{(2)}(0)$. We also know $g^{(2)}(0) < 1$ means sub-Poissonian statistics of output field. In our work, we calculate the second-order correlation $g^{(2)}(0)$ with different sources (see Fig. 5) using $g^{(2)}(0) = \sum_{n=0}^{\infty} P_n / (\sum_{n=0}^{\infty} n P_n)^2$. However, $g^{(2)}(0)$ cannot give us enough information about the probability of emitting one photon each time the source works. For example, for a light source with 98% vacuum state, 1.99% one-photon state and 0.01% two-photon

![Figure 2](https://www.nature.com/scientificreports/)

**Figure 2.** $P_0$ versus $\Omega_0$: (a) when $\chi = 15\,\text{GHz}$, $\tau = 0.2\,\text{ns}$, $\kappa = 5\,\text{GHz}$; (b) when $\chi = 15\,\text{GHz}$, $\tau = 0.1\,\text{ns}$, $\kappa = 10\,\text{GHz}$.  

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Figure 3. $P_0$ and $P_1$ versus $\Omega_0^2/\kappa$ when $\tau = 0.2$ ns, $\kappa = 5$ GHz and $\tau = 0.1$ ns, $\kappa = 10$ GHz.

Figure 4. $P_n$ versus $\tau$: (a) when $\chi = 15$ GHz, $\Omega_0 = 5$ GHz, $\kappa = 5$ GHz; (b) when $\chi = 15$ GHz, $\Omega_0 = 8$ GHz, $\kappa = 10$ GHz.

Figure 5. Second correlation function $g^{(2)}(0)$ versus $\Omega_0$ when $\chi = 15$ GHz, $\tau = 0.2$ ns, $\kappa = 5$ GHz and $\chi = 15$ GHz, $\tau = 0.1$ ns, $\kappa = 10$ GHz.
state ($g^{(2)}(0)=0.01$). It has a considerably small $g^{(2)}(0)$ but very few single photons. Given the fact, in QKD, $g^{(2)}(0)$ is not a useful way to estimate the performance. We had better optimize $P_1$ in the first place.

In the simulation above, the repetition rate $f$ can be 2 GHz when $\kappa = 10$ GHz with $P_1 = 68.43\%$. However, the one-cavity case in Table 1 shows when $\kappa = 10$ GHz, the optimized solution is $P'_1 = 56.17\%$, which is much less than $P_1 = 68.43\%$ (when $\kappa = 5$ GHz, $P'_1 = 76.57\% < P_1 = 83.32\%$). In other words, $\kappa = 10$ GHz is too large for a single cavity. So cascading two cavity can effectively raise the repetition rate.

It is needed to be mentioned that when simulating a three-cavity system, one can use our trajectory method through the corresponding collapse operator $C_2 = \kappa_1^{1/2}a_1 + \kappa_2^{1/2}a_2 + \kappa_1^{1/2}a_2$. The nature of our cascaded source is based on the single photon blockade. So when we choose cavities with the same decay rate $\kappa$, a two-cavity source is natural better than a one-cavity source. For instance, when a pulse with the photon number distribution $C_0, C_1, C_{-1}$ pass through a single Kerr blockade cavity, the photon number distribution turns into $P_0, P_1, P_2$ and $P_3 > P_1 > P_0$ because multi-photon states are suppressed. So the advantage of the two-cavity source is to further turn multi-photon states into single-photon or vacuum states. It is the same with the three-cavity system. A raise of single-photon states allows to relax the constraints on the repetition rate imposed by the cavity lifetime.

**Applications in QKD.** We now apply the optimized CPBS (cascaded photon-blockade source) to decoy-state QKD. We hope to generate a higher key rate compared with the PBS (photon-blockade source without a cascade) and the optimized WCS (weak coherent sources optimized key rate with infinite different intensities for decoy states). With a typical decoy-state method protocol using 3 different intensities, we borrow the results from ref.\(^{31}\) to calculate the key rates. Say, Alice randomly emits pulses from sources of density matrices: $\rho_0 = |0\rangle|0\rangle$, $\rho_1 = \sum_{a}a|a\rangle|a\rangle$ and $\rho_2 = \sum_{a}a^2|a\rangle|a\rangle$ where $a_1 \geq 0, a_2 \geq 0$ for all $\kappa$. $\square_{k}$ is a vacuum source, decoy source and signal source respectively. Denote the counting rate of source $s_0, s_1$ and $s_2$. Borrowing formula (17) of ref.\(^{34}\), we can lower bound the single-photon counting rate as

$$s_1 \geq \frac{a_1(S_2 - a^2_1S_0) - a_2(S_1 - a^2_1S_0)}{a_2a_1 - a_1a_2}$$  \hspace{1cm} (23)

So the fractions of the single-photon counts for the signal source is

$$\Delta'_1 = \frac{a^2_1}{S_1}$$  \hspace{1cm} (24)

One can calculate the final key rate for the signal source by refs\(^{32,33}\)

$$R_s = \Delta'_1[1 - H(t)] - qH(t).$$  \hspace{1cm} (25)

Here, $t$ is the estimated phase-flip error rate of single-photon pulses; $t$ is the observed bit-flip error rate of signal source; $q$ is the factor of error correction inefficiency. And $H$ is the binary Shannon entropy: $H(x) = -x\log_2(x) - (1-x)\log_2(1-x)$.

In Fig. 6, we present some numerical simulations using different sources: cascaded photon-blockade source (CPBS), photon-blockade source (PBS) without a cascade and optimized weak coherent state sources (WCS). The system parameters and chosen sources (decoy sources and signal sources) used in numerical simulations are listed in Tables 2 and 3. The chosen sources have the same repetition rate $f = 2$ GHz. The single-photon probability of the PBS is low (56.17\%) because we choose a too bad cavity with $\kappa = 10$ GHz ($f = 2$ GHz). But a CPBS allows a large $\kappa$ with a high single-photon probability (68.43\%). In Fig. 6, the key rate is raised drastically by using the CPBS at the same repetition rate. Equivalently, CPBS can raise the repetition rate.

In Fig. 7, using a 3-intensity BB84 protocol, we also show the numerical simulations of the optimal key rates with statistical fluctuation\(^{34-36}\). When taking account into the statistical fluctuation, the data size $N$ become the greatest influence to the final key rate. Thus we take $N = 10^6$ as an example. Considering the finite-size effects, we take a failure probability of $10^{-7}$ with a normal distribution with parameter optimized\(^{34-36}\). Other system parameters and chosen sources (decoy sources and signal sources) can be from Tables 2 and 3. In Fig. 7, we choose WCS with three different intensities (0.2 and 0.5). The simulation also shows the superiority of our proposed source.

**Discussion**

We have proposed single-photon sources in cascaded Kerr photon blockade systems. The system has advantages in its controllability and flexibility compared with single-emitter systems. And the latter might have difficulties with deterministic positioning and their degree of inhomogeneity. At the output of the second cavity, we find an enhanced probability of single photon occupancy, and simultaneously a reduced vacuum and multi-photon probability, which allows to relax the constraints on the repetition rate imposed by the cavity lifetime. Parameters are optimized and $P_1$ can be higher than 80\% with very few vacuum and multi-photon states. By cascading

| Source | $P_0$ | $P_1$ | $P_2$ | $P_3$ | $f$ |
|--------|-------|-------|-------|-------|-----|
| source1 | 3.77% | 76.57% | 18.27% | 1.37% | 1 GHz |
| source2 | 13.07% | 56.17% | 28.85% | 1.80% | 2 GHz |

Table 1. Optimized one-cavity photon-blockade sources (PBS) when $f = 1$ GHz, $\kappa = 5$ GHz and $f = 2$ GHz, $\kappa = 10$ GHz.
Figure 6. (a) Key rates of decoy state BB84 protocol with different sources: cascaded photon-blockade source (CPBS), the photon-blockade source without a cascade (PBS)\textsuperscript{19} and WCS. (b) The relative value of the key rates between chosen sources and the perfect single-photon source (PSPS).

Figure 7. (a) Optimal key rates of decoy state BB84 protocol with statistical fluctuation: cascaded photon-blockade source (CPBS), the photon-blockade source without a cascade (PBS)\textsuperscript{19} and the WCS using three different intensities (0, 0.2 and 0.5). (b) The relative value of the key rates between chosen sources and the perfect single-photon source (PSPS). The data size $N = 10^9$.

Table 2. System parameters used in numerical simulations of QKD: $e_0$: error rate of vacuum count, $e_d$: misalignment-error probability; $p_d$: dark count rate per detector; $q$: factor for error correction inefficiency\textsuperscript{19}.

| $e_0$ | $e_d$ | $p_d$ | $q$ |
|-------|-------|-------|-----|
| 0.5   | 1.5%  | $3 \times 10^{-6}$ | 1   |

Table 3. Photon-blockade sources used in numerical simulations of QKD when $\kappa = 10$ GHz ($f = 2$ GHz).

| source     | $P_{s\text{\_decy}}$ | $P_s$ | $P_{s\text{\_decy}}$ | $P_s$ | $P_{s\text{\_decy}}$ | $P_s$ |
|------------|------------------------|-------|------------------------|-------|------------------------|-------|
| PBS        | 71.53%                 | 26.35%| 2.07%                  | 0.05% | 0                      |
| PBS signal | 13.07%                 | 56.17%| 28.95%                 | 1.0%  | 0.10%                  |
| CPBS       | 55.92%                 | 41.03%| 3.00%                  | 0.05% | 0                      |
| CPBS signal| 8.00%                  | 68.43%| 22.52%                 | 0.98% | 0.07%                  |
two cavities, we effectively increase the repetition rate up to 2 GHz with $P_i = 68.4\%$ at the nonlinear strength $\chi = 15\text{GHz}$. When the quasi sources are applied in the decoy state QKD with and without statistical fluctuation, the key rate can be both raised drastically.

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Author Contributions
Wang, X.B. proposed this work, Li, A. and Zhou, Y.H. did the calculations and drew the figures. Li, A. and Wang, X.B. wrote the manuscript.

Additional Information
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