The emerging role of probiotics in neurodegenerative diseases: new hope for Parkinson’s disease?

Abstract
Neurodegenerative disease etiology is still unclear, but different contributing factors, such as lifestyle and genetic factors are involved. Altered components of the gut could play a key role in the gut-brain axis, which is a bidirectional system between the central nervous system and the enteric nervous system. Variations in the composition of the gut microbiota and its function between healthy people and patients have been reported for a variety of human disorders comprising metabolic, autoimmune, cancer, and, notably, neurodegenerative disorders. Diet can alter the microbiota composition, affecting the gut-brain axis function. Different nutraceutical interventions have been devoted to normalizing gut microbiome dysbiosis and to improving biological outcomes in neurological conditions, including the use of probiotics. Preclinical and clinical investigations discussed in this review strengthen the correlation between intestinal microbiota and brain and the concept that modifying the microbiome composition may improve brain neurochemistry, modulating different pathways. This review will discuss the potential use of probiotics for Parkinson’s disease prevention or treatment or as adjuvant therapy, confirming that gut microbiota modulation influences different pro-survival pathways. Future investigations in Parkinson’s disease should consider the role of the gut-brain axis and additional comprehension of the underlying mechanisms is extremely necessary.

Key Words: age-related diseases; brain; dysbiosis; gut-brain axis; microbiota; neurodegeneration; nutraceuticals; Parkinson's disease; probiotics; SLAB51

Introduction
Neurodegenerative disorders represent the most debilitating conditions, typically characterized by altered genes, elevated reactive oxygen species, mitochondrial damage, altered Ca\(^{2+}\) homeostasis, atypical proteins accumulation, persistent inflammatory condition or/and neuronal damage in a specific brain area (Castelli et al., 2019; Cenini et al., 2019). Enhanced oxidative stress and mild chronic inflammation are characteristic of various conditions, comprising neuronal diseases. Contrarily, Ca\(^{2+}\) homeostasis is crucial for several cell functions. The calcium gradient across the membranes is accurately controlled by different specific mechanisms. Any subtle alteration in this mechanism induces continuous intracellular and intra-organellar accumulation, which is related to neurodegenerative diseases (Alvarez et al., 2020). Mitochondrial dysfunction leads to deleterious pathways activation and impaired cellular energy production (Akbar et al., 2016). Neurodegenerative disorders trigger progressive loss of brain performances and overlapping clinical conditions. For example, the cognitive decline appears not only in Alzheimer’s disease (AD) but also in dementia with Lewy bodies, vascular and mixed dementia, and Parkinson’s disease (PD). Comparably, motor impairment occurs in PD, amyotrophic lateral sclerosis, and Huntington’s disease. The common risk factor in all these disorders is aging (Franceschi et al., 2018; Castelli et al., 2019). Since lifespan is longer, the incidence of these disorders is radically increased, with high social, economic impact (Gan et al., 2018; Castillo et al., 2019).

Neurodegenerative diseases show common mechanisms and features, which are involved in a close network of relationships that underlie these diseases beginning and progression, thus supporting the importance in understanding these mechanisms underlying the neuronal damage, as common targets to design intervention approaches that can potentially delay the onset and/or prevent neurodegeneration (Gan et al., 2018; Hussain et al., 2018).

Neurodegenerative disease etiology is still unclear, but different contributing factors, such as lifestyle and genetic factors are involved (Topiwala et al., 2018; Popa-Wagner et al., 2020). Indeed, exposure to environmental factors and the resulting gene-environment interactions have been reported to exert a pivotal role in neurodegenerative disorders onset and progress (Maitre et al., 2018; Benakis et al., 2020). Extremely assorted and intricate populations of microorganisms including archaea, bacteria, viruses, and microeukaryotes inhabit the human body, and the gut characterizes the major reservoir of bacterial biomass (Gilbert et al., 2018). Variations in the composition of the gut microbiota and its function between healthy people and patients have been reported for a variety of human disorders comprising metabolic, autoimmune, cancer, and, notably, neurodegenerative disorders (Duvallet et al., 2017; Durack and Lynch, 2019; Lombardi et al., 2020).

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Altered components of the gut could play a key role in the gut-brain axis, which is a bidirectional system between the central nervous system (CNS) and the enteric nervous system (ENS). Diet can alter the microbiota composition, affecting the gut-brain axis function (Figure 1) (Ambrosini et al., 2019; Santos et al., 2019). The gastrointestinal tract is connected to the brain via vagal and spinal afferent fibers, while the brain communicates to the gut through parasympathetic and sympathetic efferent fibers (Breit et al., 2018; Santos et al., 2019). Recent evidence suggests that a healthy gut significantly influences on neurodegeneration, although the anatomical distance between the two organs (Houser and Tansey, 2017; Ma et al., 2019). In particular, gut-brain axis dysfunction is related with metabolic syndromes (Agusti et al., 2018; Dabke et al., 2019; Grasset and Burcelin, 2019), psychiatric disorders, including autism, anxiety, and neurodegenerative disorders (Breit et al., 2018; Srikantha and Mohajeri, 2019). Thus, these disorders are related to an unhealthy gut, due to altered microbiota composition, which interrupts the communication between the brain and gut (Zhu et al., 2020). Different investigations reported that the microbiota composition regulates not only gut-brain axis communication but also the immune response stimulating chemokines and cytokines release. Comparably, gut-brain axis communicates with intestinal cells and ENS and the CNS via metabolic and neuroendocrine pathways (Kim et al., 2018; Martin et al., 2018). Moreover, the gut microbiome may influence ENS function, when they locally release neurotransmitters, such as active catecholamines, fatty acid- or aminoacid-derivates in the intestinal lumen (Martin et al., 2018). Furthermore, the gut microbiome may influence ENS function, when they locally release neurotransmitters, such as active catecholamines, fatty acid- or aminoacid-derivates in the intestinal lumen (Martin et al., 2018; Baj et al., 2019). ENS is also affected by bacterial metabolites, comprising propionic acid and acetic acid, which promote the sympathetic nervous system activation, with consequent impacts on memory and learning processes (Mohajeri et al., 2018).

This review will discuss the potential use of probiotics for Parkinson’s disease prevention or treatment or as adjuvant. The gut microbiome might be involved in the vulnerability associated with the aging process. Notably, the number of bifidobacteria declines with age, and the number of clostridia increases. During aging, pathogenic bacteria (i.e. Proteobacteria) increase at the expense of beneficial bacteria (Bifidobacterium species), probably inducing chronic low-grade inflammation (Vemuri et al., 2018; Rinninella et al., 2019). Mice treated with the supplement of Lactobacillus curvatus and plantarum, showed amelioration in age-dependent memory impairments via inhibition of nuclear factor-κB pathway (Jeong et al., 2015). In another interesting study, the effects of probiotics and prebiotics supplementation on middle-aged male rats were studied. The treatment ameliorated motor performances and increased butyrate levels which in turn, led to increased levels of neurotrophic factor, brain-derived growth factor (BDNF), parallel with a decrease in pro-inflammatory cytokines in the hippocampus (Romo-Araiza et al., 2018).

Altered microbiota is correlated with cognitive impairment and hepatic encephalopathy (Bajaj et al., 2016). The dysbiosis induced by antibiotics is associated with BDNF decrease, serotonin transporter dysfunction, thus leading to cognitive decline, including novel object recognition (Frohlich et al., 2016). It has been demonstrated in vivo that probiotic formulation can improve cognitive tests by chronic restraint stress (Li et al., 2015). Moreover, in rat hepatic encephalopathy model, characterized by hyperammonemia, the Lactobacillus helveticus administration reduced spatial memory performances and anxiety-like signs (Lombardi et al., 2020). Further, Bifidobacterium administration was effective in enhanced non-spatial and spatial memory (Savignac et al., 2015). Enhanced gut permeability caused by microbial dysbiosis could indirectly or directly affect neurodegenerative disorders. Indeed, gut bacteria can release large quantities of lipopolysaccharide and amyloid, involved in the regulation of signaling pathways and the production of neuroinflammation in AD. Further, the altered gut microbiota is directly associated with other factors implicated in AD pathogenesis, including type 2 diabetes and obesity (Kim and Shin, 2018).

Conspicuous alterations in the gut microbiome of elderly patients affected by neurodegenerative disorders have also been detected (Singh et al., 2017; Cervovic et al., 2019; Zhu et al., 2020). It has been reported that, a product of tryptophan catabolism by gut microbiota, also known as a marker of fermentative dysbiosis and altered intestinal barrier integrity (Lombardi et al., 2020), is markedly elevated in PD patients (Gao et al., 2018).

In a cohort study in which 72 PD patients and 72 healthy subjects were enrolled, comparing the fecal microbiome, a significant decrease in Prevotellaceae in PD affected (about 78%) was reported. Prevotellaceae represent the main producers of mucin, a highly glycosylated protein which protects the epithelial wall against pathogens. PD patients also showed a strong increase in Enterobacteriaceae, which was positively associated with postural instability (Schepers et al., 2015). In another study, PD patients showed α-synuclein aggregation in their colon biopsies, concomitant with a strong decrease in anti-inflammatory butyrate-producing bacteria, and an increase in inflammatory enterobacteria species. Thus, proinflammatory dysbiosis appears in PD patients and may cause inflammation-induced misfolding of α-synuclein and the development of PD pathology (Houser and Tansey, 2017).

Further, in PD patients microbiota, reduced levels of fecal short-chain fatty acids were described, which may generate alteration in the ENS, reducing intestinal motility in PD patients (Koh et al., 2016). During aging, reduced microbiota-related metabolic capability, including lower short-chain fatty acids levels (acetate, propionate, and butyrate), may also be correlated to age-related disorders, such as cognitive impairment, altered bowel transit, hypertension, diabetes, arthritis, and vitamin D deficiency (Nagpal et al., 2018). PD patients showed significant reductions in butyrate and histone deacetylase ability to increase glial cell line-derived neurotrophic...
The Influence of Gut Microbiota, Gut-Brain Axis, and Nutraceutical Interventions in Neurodegenerative Diseases

Gut microbiome composition is influenced by early childhood experiences, stress, age, use of drugs, and, especially, long-term dietary habits (Figure 2). High consumption of refined sugar and animal fat is correlated with inflammation and neurodegeneration (d’Angelo et al., 2019). Diet rich in protein and fat induce elevated levels of Bacteroides, while a diet rich in high fiber stimulates Prevotella enterotypes. Western, high-energy diets alter the microbiome profile increasing the Firmicutes population (Rinninella et al., 2019). A high fructose diet was reported to induce hippocampal neuroinflammation, neuronal loss, and gliosis. Further, upon this diet, these mice had altered gut microbiome composition (dysbiosis), decreased fecal short-chain fatty acid, weakened epithelial barrier, and increased serum endotoxin levels (Li et al., 2019). Prebiotics are indigestible food ingredients that selectively promote the growth and activities of helpful microorganisms, such as Bifidobacterium and Lactobacillus (Davani-Davari et al., 2019).

Other factors that influence gut microbiome are represented by antibiotics use, infection, and can be detrimental to the host. Indeed, even short-term antibiotic treatment can induce long-term dysbiosis, with disease exacerbation (Burrello et al., 2018).

Increasing data reported that gut microbiota, participating in the physiology and pathology of cellular organisms, is implicated in health and disease (Wang et al., 2017). Inherited gut microbiome changes with diet habits and environmental signals (Gomez de Aguero et al., 2016; Koh et al., 2016). Gut microflora is also influenced by the immune system and dysbiosis is due to immune signaling that occurs during cancer, inflammatory bowel disease, and autoimmune disease (Lazar et al., 2018).

The development and maturation of the human CNS are controlled by extrinsic and intrinsic components. Different investigations reported a correlation between CNS neurochemistry and physiology and gut microbiota, as demonstrated in animals treated with broad-spectrum antibiotics and in germ-free animals (GF) (Ma et al., 2019). GF mice showed a strong alteration in N-methyl-D-aspartate, 5-hydroxytryptamine, and BDNF, which trigger neurological impairment in memory, cognition, learning, and emotional behaviors (Maqsood and Stone, 2016). As mentioned above, neuropathology is related to gut microbiome dysbiosis, but it is also implicated in homeostasis and development of CNS (Tremlett et al., 2017).

Dietary habits of the individual represent the main issue, influencing the gut-brain axis and, thus, gut microbiota. Gut microbiome influences the pathogenesis of numerous disorders outside the gastrointestinal tract due to its tight correlation with inflammation, nutrition, endocrine, neural, and immune systems (Kho and Lal, 2018).

Different nutraceutical interventions were devoted to normalizing gut microbiome dysbiosis and to improve biological outcome in different pathological conditions. These nutraceutical approaches include probiotics, Ginko biloba, green tea, α-lipoic acid, vitamin A, biotin, and curcumin. Nutraceuticals are defined as “food or food product that provides medical or health benefits including the prevention and treatment of diseases” (Abd El-Salam and El-Shibiny, 2017).

Indeed, neuro-nutraceuticals represent active compounds, derived from plants or food products that influence CNS functions. Neuro-nutraceuticals could include amino acids, minerals, and vitamins with positive effects on health and disease conditions. Brain aging and neurodegenerative diseases are characterized by redox metals homeostasis, oxidative stress, and inflammation, thus antioxidant and anti-inflammatory molecules can represent a valid strategy for numerous brain diseases, including PD, AD, depression, and...
dementia (Castelli et al., 2018).

Preclinical studies showed that nutraceutical administrations (including probiotics) can diminish anxiety and depression and reestablish brain chemistry. Indeed, the impairment in germ-free animals was ameliorated upon short-chain fatty acids (Koh et al., 2016). Interestingly, in aged rats, probiotic formulation VSL#3 (now named Visbiome in U.S. and Vivomixx in Europe) was able to ameliorate gut microbiome composition and brain performances, through dampening neuroinflammation and stimulating BDNF and the protein synapsin, involved in neural plasticity (Distrutti et al., 2013).

The gut microbiome is fundamental also for nutraceuticals bioavailability, which concomitantly helps to counter brain neuronal and cell aging under normal circumstances (Rogers et al., 2016). Notably, blueberries (rich in polyphenols) ameliorated spatial memory and motor performances in aged animals (Spencer, 2010), increased neural stem cell proliferation, and insulin-like growth factor-1 level, the key modulator of hippocampal neurogenesis (Shukitt-Hale et al., 2015).

Animals treated with Omega-3 polyunsaturated acids (n-3 PUFA) showed improved synaptic plasticity, diminished oxidative stress and neuroinflammation, and inhibited microglial activation (Corsi et al., 2015; Joffre et al., 2019). Some evidence in animal models suggested that long-term consumption of fish oil (rich in n-3 PUFA) may predispose the brain to lipid oxidation. Plant nutraceuticals, i.e. phytosterols esters can lower cholesterol levels, inhibit oxidative stress, and ameliorate cognitive performances in aged rats (Morris Water Maze tests). Notably, phytosterols improved cholinergic activity, decreasing acetylcholinesterase activity, increasing choline acetyltransferase, and restoring acetylcholine levels (van Kessel et al., 2019). Overall, preclinical investigations suggest that nutraceuticals can improve brain functions during aging or neurodegeneration, taking benefits from antioxidant, anti-inflammatory, and neuroprotective properties of enriched diet.

Probiotic bacteria not only modulate host immune responses but also create a healthy gut environment through the balancing of the intestinal microflora. Ingestion of probiotics may restore the composition of the gut microflora to a state more favorable for beneficial microorganisms (Boon Wong et al., 2018; Romo-Araiza et al., 2018). Probiotics recently have attracted attention in the context of brain function and health because they serve to reestablish gut microflora toward a beneficial state, which could affect gut-brain axis (Wang et al., 2016).

**Probiotics: New Hope for Parkinson’s Disease**

Emerging evidence suggests that lifestyle factors can contribute to PD pathology. Nutraceutical intervention, in particular the use of probiotics, may provide opportunities to complement the traditional PD therapies.

Preclinical or clinical evidence on the beneficial activities exerted by probiotics in PD is still limited. Probiotics may represent a strong tool to restore gut dysbiosis occurring in PD, ameliorating gastrointestinal function, reducing ENS neuroinflammation, and reducing gut leakiness. The first clinical trial, dated back to 2011, revealed that fermented milk enriched in Lactobacillus casei Shirota improved chronic constipation in PD patients, decreasing abdominal pain and swelling (Cassani et al., 2011). A more recent clinical trial demonstrated that a probiotic formulation (administered in tablet) was able to improve insulin resistance and sensitivity and to ameliorate the motor score (Tamtaji et al., 2019). Another recent clinical study is based on the administration of a liquid probiotic formulation, named Symprove (K-1803), able to reach the lower gut in an active form (Gazerani, 2019).

**Bacillus subtilis probiotic inhibited α-synuclein aggregation and cleared pre-formed aggregates in an established Caenorhabditis elegans model of synucleinopathy (Goya et al., 2020).**

Probiotic formulations improves the CNS activity through the modulation of inflammation and positive interactions with the commensal gut microbiota (Wang et al., 2016). PD patients’ microbiota is rich in pro-inflammatory cytokines due to enhanced intestinal permeability to endotoxins (lipopolysaccharide). Bacterial amyloids may also support a pro-inflammatory environment in the gut (Miraglia and Colla, 2019).

A recent *in vitro* study reported that probiotics reduced oxidative stress, pro-inflammatory cytokines, and counteracted pathogenic bacterial overgrowth in PD patients. This study was performed in peripheral blood mononuclear cells isolated from PD patients testing different probiotic microorganisms belonging to the Lactobacillus and Bifidobacterium species (Magistrelli et al., 2019).

Specific probiotic strains could counteract pathogen and produce tyrosine decarboxylase (van Kessel et al., 2019). This enzyme converts levodopa to dopamine in the gut, even in the presence of a competitive substrate. Indeed, levodopa levels are reduced by the high presence of tyrosine decarboxylase in PD individuals (Nicola et al., 2016).

Notably, another research group showed that long-term probiotic administration (six bacterial strains) exerted neuroprotective effects on dopaminergic neurons and was able to counteract motor impairments in a genetic PD mouse model (Hsieh et al., 2020).

Probiotic formulations may dampen the inflammation through cytokines production (Nowak et al., 2019), and decrease oxidative stress through a reduction in reactive oxygen species (Gazerani, 2019). This aspect is of high interest since PD progression is accelerated in the presence of infections (Su et al., 2018). It has been demonstrated that a probiotic formulation VSL#3 can control the expression of different genes in the brain cortex of aging animals, dampening the inflammation and improving neuronal performances (Distrutti et al., 2014).

It has been reported the effect of an innovative probiotic formulation SLAB51 (commercially sold as Sivomixx) in exerting beneficial effects on cognitive performances. Indeed, transgenic 3xTg-AD mice upon SLAB51 presented partial restoration of autophagy and the ubiquitin-proteasome system, concommitant with an improvement in cognitive impairment due to reduced accumulation of amyloid plaques and brain injury. This novel formulation reduced plasma inflammatory cytokines and gut metabolic hormones, therapeutic targets in neurodegeneration. Overall, in this study Bonfili and collaborators demonstrated that SLAB51, modulating the microbiota, influenced neuroprotective pathways, counteracted the progression of AD (Bonfili et al., 2017). Further, the same research group demonstrated that SLAB51 formulation was able to significantly decrease oxidative stress in AD mice brain, by stimulating sirtuin 1-dependent mechanisms, thus this formulation could represent a potential adjuvant in AD treatment (Bonfili et al., 2018).

Recently, it has been demonstrated that this novel probiotic formulation SLAB51 can counteract 6-hydroxydopamine-induced detrimental effects both in *in vitro* and *in vivo* models of PD. In particular, SLAB51 exerts anti-inflammatory activities, restored pro-survival and neuroprotective pathways, protected dopaminergic neurons, and ameliorated behavioral impairments. These findings propose this probiotic mixture as a promising candidate for PD prevention or treatment as
adjuvant therapy, confirming that gut microbiota modulation influences different pro-survival pathways, delaying PD progression (Castelli et al., 2020).

Overall, these data indicated that probiotics supplement can represent a valid treatment able to ameliorate brain and gut functions in PD (a summary schematic image is reported in Figure 3).

**Conclusion**

PD is a frequent neurodegenerative disorder, characterized by motor and non-motor symptoms, comprising abnormalities in the gut function, which may occur before the motor sign. From a molecular point of view, PD underlying mechanisms include increased oxidative stress and inflammation (Castelli et al., 2019). To date, the available therapies can help alleviate PD-associated symptoms, but there is no cure to control the onset and progression of this disorder.

A growing body of evidence indicated that probiotics administration influenced positively on CNS disease, modifying the gut microbiota, via the gut-brain axis, mediating different pathways, such as neural, hormonal, immune, inflammatory, and antioxidant signaling (Wang et al., 2016; Boon Wong et al., 2018; Gazerani, 2019). In particular, a healthy intestinal microbiota reduced the risk of developing different pathologies, such as neurological and neurodegenerative disorders, including PD (Wang et al., 2017). This new understanding of PD pathogenesis has intensified the study for new therapeutic approaches and the detection of early biomarkers. Among these therapeutic approaches, relevant importance is given to nutraceutical interventions, in particular the use of probiotics. Preclinical and clinical investigations discussed in this review strengthen the correlation between intestinal microbiota and brain, and the concept that modifying the microbiome composition may improve brain neurochemistry, modulating different pathways. Future investigations in PD should consider the role of gut-brain axis and additional comprehension on the underlying mechanisms is extremely necessary.

Further studies are necessary regarding the potential therapeutic effect of probiotics in maintaining protein and oxidative homeostasis in ENS. Another point to be considered is whether continual exposure to probiotic supplement may induce to long-term colonization of gut microbiota in PD patients, or if microbiota would revert to its original composition once the treatment is stopped.

To clarify the potential of probiotics for these debilitating disorders, further development and characterization of the biochemical impacts of the probiotic supplement on people affected by neurodegenerative disorders need to be examined. Moreover, it is of crucial importance to identify the most appropriate probiotic as adjuvant treatment for neurodegenerative diseases, including PD, basing also on the specific gut microbiome picture of a single patient, to formulate a personalized therapy.

Furthermore, since the properties of a probiotic strain are crucial for the efficacy of the treatment, maintenance of specific characteristics and relative efficacy should be verified. Indeed, increasing evidence indicated that production and manufacturing procedures may strongly influence the quality and safety of probiotic (Trinchieri et al., 2017; Palumbo et al., 2019; Plaza-Diaz et al., 2019), thus imposing particular attention on choosing the formulation to be administered. Finally, to use probiotics for the prevention and treatment of these disorders, greater investment in clinical trials is necessary.

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