Exploiting higher-order resonant modes for axion haloscopes

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Abstract. The haloscope is one of the most sensitive approaches to the QCD axion physics within the region where the axion is considered to be a dark matter candidate. Current experimental sensitivities, which rely on the lowest fundamental TM\textsubscript{010} mode of a cylindrical cavity, are limited to relatively low mass regions. Exploiting higher-order resonant modes would be beneficial because it would enable us to extend the search range with no volume loss and higher quality factors. This approach has been discarded mainly because of the significant degradation of form factor, and difficulty with frequency tuning. Here we introduce a new tuning mechanism concept which both enhances the form factor and yields reasonable frequency tunability. A proof of concept demonstration verified that this design is feasible for high mass axion search experiments.

Keywords: axion, haloscope, higher-order mode, dielectric, tuning mechanism

1. Introduction

The axion is a consequence of the PQ mechanism proposed to solve the strong-CP problem in particle physics \cite{1}. If the mass falls within a certain range, it has cosmological implications as cold dark matter \cite{2}. A methodological approach to detect the axion signal employs cavity haloscopes, where, under a strong magnetic field, axions are converted to microwave photons resonating with a cavity mode \cite{3}. The axion-to-photon conversion power is given by

\[ P_{a\rightarrow\gamma\gamma} = g_{a\gamma\gamma}^2 \rho_a B_0^2 V C \min(Q_L, Q_a), \]

where \( g_{a\gamma\gamma} \) is the axion-to-photon coupling, \( \rho_a \) is the local halo density, \( m_a \) is the axion mass, \( B_0 \) is the external magnetic field, \( V \) is the cavity volume, \( C \) is the form factor,
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and $Q_L$ and $Q_a$ are the cavity (loaded) and axion quality factors. As the axion mass is a priori unknown, all possible mass ranges need to be scanned. From the experimental point of view, the figure of merit is the scan rate, which is written as

$$\frac{df}{dt} = \left(\frac{1}{\text{SNR}}\right)^2 \left(\frac{P_{a\rightarrow\gamma\gamma}}{k_B T_{\text{sys}}}\right)^2 \frac{Q_a}{Q_L},$$

where SNR is the signal-to-noise ratio, $k_B$ is the Boltzmann constant, and $T_{\text{sys}}$ is the noise temperature of the system.

The form factor has dependence on the cavity geometry and resonant mode:

$$C \equiv \frac{\left|\int_V \vec{E}_c \cdot \vec{B}_0 d^3x\right|^2}{\int_V \epsilon(x)|\vec{E}_c|^2 d^3x \int_V |\vec{B}_0|^2 d^3x},$$

where $\vec{E}_c$ is the electric field of the cavity mode under consideration, and $\epsilon(x)$ is the dielectric constant inside the cavity volume. For cylindrical cavities, the TM$_{010}$ mode is conventionally considered since it yields the largest form factor in a static magnetic field [4].

To date, the axion haloscope is the most promising approach with sensitivity to the QCD axion models in the mass range between $10^0$ and $10^2 \mu eV$, where the axion is considered to be a candidate for cold dark matter. However, current experimental sensitivities are limited to relatively low mass regions since cavity-based experiments typically employ a single resonant cavity for a large detection volume and adopt the lowest resonant mode for the maximum form factor [5, 6, 7, 8]. Some cavity designs have been proposed to efficiently explore higher mass regions with minimal volume loss while relying on the same resonant mode [9, 10]. In this article, we examine several tuning mechanisms utilizing higher-order resonant modes, in particular the TM$_{030}$ mode, to find a suitable approach for high mass axion searches.

2. Exploiting higher-order resonant modes

As an alternative method to extend the search range towards higher mass regions, it could be beneficial to exploit the higher-order resonant modes in a cylindrical cavity. This would enable us to access higher frequency regions without volume loss and even with higher quality factors, as summarized in Table 1. However, as shown in Fig. 1, high degrees of field variation give rise to out-of-phase electric field components, which, under a static magnetic field, results in negative contributions to the form factor in Eq. 1. The negative effect becomes larger with the increasing order of the resonant mode, as can be seen in Table 1. This significantly reduces the experimental sensitivity, and consequently, the higher modes have not been considered for axion search experiments. However, a (periodic) structure of dielectric material can suppress the out-of-phase electric field component(s), which would enhance the form factors substantially, making reasonable sensitivities achievable. For cylindrical cavities, a (periodic) layer(s) of dielectric hollow(s) can be considered for this purpose.
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Table 1: Parameters of a cylindrical cavity for different resonant modes: resonant frequency ($f$), volume ($V$), quality factor ($Q$), and form factor ($C$). $f$, $V$, and $Q$ are values relative to those of the TM$_{010}$ mode, while $C$ is the absolute value.

| Mode   | $f$   | $V$   | $Q$   | $C$  |
|--------|-------|-------|-------|------|
| TM$_{010}$ | $f_{010}$ | $V_{010}$ | $Q_{010}$ | 0.69 |
| TM$_{020}$ | $2.3 \times f_{010}$ | $V_{010}$ | $1.5 \times Q_{010}$ | 0.13 |
| TM$_{030}$ | $3.6 \times f_{010}$ | $V_{010}$ | $1.9 \times Q_{010}$ | 0.05 |

The optimal size and position of the dielectric material can be determined analytically by solving the EM field solution, and requiring that the experimental sensitivity be maximized. As an example, the analytical calculations for the TM$_{030}$ mode of a cylindrical geometry with a dielectric hollow are made in Sec. 3. The tunability of the frequency, in the meantime, also matters in order to achieve a large coverage of axion masses for a given cavity dimension. In Sec. 4 we examine several frequency turning schemes by breaking field symmetry along each direction of the cylindrical coordinate system, in the longitudinal, radial and azimuthal directions. Finally, a simple and realistic tuning mechanism is chosen for a feasible application to axion haloscopes.

Figure 1: Electric field profiles (in the $rz$ plane) of the TM modes, listed in Table 1, of a cylindrical cavity. The maximum field strength and cavity radius are normalized to unity.
3. Analytic solutions for a cylindrical cavity with a dielectric hollow

3.1. EM solutions

The general electromagnetic field solutions for the TM$_{0n0}$ modes of a cylindrical cavity are given in the cylindrical coordinate system $(r, \phi, z)$ by

\[
\vec{E} = (AJ_0(\sqrt{\epsilon}kr) + BY_0(\sqrt{\epsilon}kr))\hat{z},
\]

\[
\vec{B} = \frac{\sqrt{\epsilon}}{c}[AJ_1(\sqrt{\epsilon}kr) + BY_1(\sqrt{\epsilon}kr)]\hat{\phi},
\]

(2)

where $J_n$ and $Y_n$ are the Bessel's function of the first and second kinds for the non-negative integer $n$, $\epsilon$ is the dielectric constant, and $k$ is the wave number in vacuum. $A$ and $B$ are coefficients to be determined by boundary conditions. For a cavity with a cylindrical dielectric hollow, there are three distinct regions - inner, medium, and outer regions, which are denoted by the subscripts $i$, $m$, and $o$, respectively, with the inner and outer regions assumed to be in vacuum, i.e., $\epsilon = 1$.

For the inner region, $B_i = 0$ is required as $Y_0$ diverges at the origin. The electric field amplitude is normalized to unity, i.e., $A_i = 1$, such that the coefficients in the other regions are scaled accordingly. In the medium region filled with a dielectric material with $\epsilon > 1$, the continuity of the fields at the inner and outer surfaces is required as boundary conditions

\[
J_0(kr_i) = A_mJ_0(\sqrt{\epsilon}kr_i) + B_mY_0(\sqrt{\epsilon}kr_i),
\]

\[
J_1(kr_i) = \sqrt{\epsilon}A_mJ_1(\sqrt{\epsilon}kr_i) + \sqrt{\epsilon}B_mY_1(\sqrt{\epsilon}kr_i),
\]

and

\[
A_mJ_0(\sqrt{\epsilon}kr_o) + B_mY_0(\sqrt{\epsilon}kr_o) = A_oJ_0(kr_o) + B_oY_0(kr_o),
\]

\[
\sqrt{\epsilon}[A_mJ_1(\sqrt{\epsilon}kr_o) + B_mY_1(\sqrt{\epsilon}kr_o)] = A_oJ_1(kr_o) + B_oY_1(kr_o),
\]

(3)

where $r_i$ and $r_o$ are the inner and outer radii of the dielectric hollow. It is straightforward to solve Eq. 3 to yield

\[
A_m = \frac{J_0(kr_o)Y_1(\sqrt{\epsilon}kr_i) - J_1(kr_i)Y_0(\sqrt{\epsilon}kr_i)/\sqrt{\epsilon}}{J_0(\sqrt{\epsilon}kr_i)Y_1(\sqrt{\epsilon}kr_i) - J_1(\sqrt{\epsilon}kr_i)Y_0(\sqrt{\epsilon}kr_i)} A_m
\]

\[
B_m = -\frac{J_0(kr_i)J_1(\sqrt{\epsilon}kr_i) - J_1(kr_i)J_0(\sqrt{\epsilon}kr_i)/\sqrt{\epsilon}}{J_0(\sqrt{\epsilon}kr_i)Y_1(\sqrt{\epsilon}kr_i) - J_1(\sqrt{\epsilon}kr_i)Y_0(\sqrt{\epsilon}kr_i)} B_m,
\]

and

\[
A_o = \frac{J_0(k\sqrt{\epsilon}r_o)Y_1(kr_o)/\sqrt{\epsilon} - J_1(k\sqrt{\epsilon}r_o)Y_0(kr_o)}{J_0(kr_o)Y_1(kr_o) - Y_0(kr_o)J_1(kr_o)} A_m
\]

\[
+ \frac{Y_0(k\sqrt{\epsilon}r_o)Y_1(kr_o)/\sqrt{\epsilon} - Y_1(k\sqrt{\epsilon}r_o)Y_0(kr_o)}{J_0(kr_o)Y_1(kr_o) - Y_0(kr_o)J_1(kr_o)} B_m,
\]

\[
B_o = -\frac{J_0(k\sqrt{\epsilon}r_o)J_1(kr_o)/\sqrt{\epsilon} - J_1(k\sqrt{\epsilon}r_o)J_0(kr_o)}{J_0(kr_o)Y_1(kr_o) - Y_0(kr_o)J_1(kr_o)} A_m
\]

\[
- \frac{Y_0(k\sqrt{\epsilon}r_o)J_1(kr_o)/\sqrt{\epsilon} - Y_1(k\sqrt{\epsilon}r_o)J_0(kr_o)}{J_0(kr_o)Y_1(kr_o) - Y_0(kr_o)J_1(kr_o)} B_m.
\]
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The boundary condition for a perfect electric conductor requires a vanishing tangential component of the $E$-field, i.e. $E_z(r = R) = 0$. This yields from Eq. 2

$$A_o J_0(kR) + B_o Y_0(kR) = 0,$$

from which the resonant frequency is extracted as $f = \omega / 2\pi = kc / 2\pi$.

3.2. Scan rate factor

The optimal thickness of the dielectric hollow, $r_o - r_i$, is determined by maximizing a physical quantity, $V^2 C^2 Q$. This quantity is relevant to the experimental sensitivity in Eq. 1 and called the scan rate factor in this manuscript. The individual parameters can be expressed in terms of the electric field $E$ in the following manner.

For a cylindrical cavity with radius $R$ and length $L$, the volume is $V = \pi R^2 L$. The form factor in Eq. 1 under a uniform external magnetic field in the $z$ direction becomes

$$C = \frac{\left| \int_V E_z d^3x \right|^2}{V \int_V \epsilon(\vec{x}) |\vec{E}|^2 d^3x}.$$  

Due to the field symmetry in the $\phi$ and $z$ directions, the integrals are simplified as

$$\int_V E_z d^3x = 2\pi L \int_0^R r E_z dr,$$

and

$$\int_V \epsilon(\vec{x}) |\vec{E}|^2 d^3x = 2\pi L \sum_j \epsilon_j \int_j r |\vec{E}|^2 dr,$$

with $j$ denoting the three distinct regions. The cavity quality factor is obtained from a relation

$$Q = \frac{G}{R_s},$$

where $G$ is the geometry factor and $R_s$ is the surface resistance. The mode dependent geometry factor is given by

$$G = \mu_0 \frac{\int_V |\vec{H}|^2 d^3x}{\oint_S |\vec{H}|^2 d^2x},$$

where $\mu_0$ is the vacuum permeability and $\vec{H}$ is the magnetic field strength of the resonant mode under consideration. For normal conductors, the surface resistance in the radio frequency regime is expressed as

$$R_s = \frac{1}{\delta \sigma},$$

where $\delta$ is the skin depth, $\delta \equiv \sqrt{2/\mu_0 \sigma \omega}$, and $\sigma$ is the metal conductivity.

Using geometrical symmetries and the fact that stored electric and magnetic fields share an equal amount of energy, the volume and surface integrals in Eq. 7 become, respectively,

$$\int |\vec{H}|^2 d^3x = \frac{1}{\mu_0} \int \epsilon(\vec{x}) |\vec{E}|^2 d^3x,$$
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and

\[ \oint |\vec{H}|^2 d^2 x = 2\pi RL|\vec{H}(r = R)|^2 + 2 \int_{\text{end}} |\vec{H}|^2 d^2 x. \tag{9} \]

The last term in Eq. 9 is for the end surfaces and is further developed to

\[ \int_{\text{end}} |\vec{H}|^2 d^2 x = \frac{1}{L} \int |\vec{H}|^2 d^3 x = \frac{1}{L\mu_0} \int \epsilon(\vec{x})|\vec{E}|^2 d^3 x. \]

By plugging Eq. 6 into Eqs. 8 and 9 we obtain

\[ \int |\vec{H}|^2 d^3 x = \frac{2\pi H}{\mu_0} \sum_j \epsilon_j \int_j r|\vec{E}|^2 dr \]

\[ \oint |\vec{H}|^2 d^2 x = 2\pi RL|\vec{H}(r = R)|^2 + 4\pi \mu_0 \sum_j \epsilon_j \int_j r|\vec{E}|^2 dr. \]

It is noted that all the integrals required to calculate the scan rate factor, \( V^2 C^2 Q \), are expressed in two forms:

\[ \int_0^R rE_z dr \text{ and } \int_j r|\vec{E}|^2 dr. \]

It is straightforward to compute these integrals by using the electric field solutions obtained in Sec. 3.1. The optimal radii of the dielectric hollow, \( r_i \) and \( r_o \), are found by requiring \( d(V^2 C^2 Q)/dr = 0 \), which unfortunately cannot be resolved analytically. Instead, we obtain the optimal values from a numerical approach using Wolfram Mathetica computer program [11], as shown in Fig. 2. It is found that the optimal thickness of a dielectric hollow with \( \epsilon \) corresponds to approximately \( \lambda/2\sqrt{\epsilon} \), where \( \lambda \) is the wavelength of the EM wave of the TM_{030} resonant mode in vacuum. This optimized dimension is consistent with that determined by a simulation study using COMSOL Multiphysics® software [12].

4. Frequency tuning mechanisms

4.1. Along the longitudinal direction

Recently, there was a study that showed a potential application of Bragg reflectors with a dielectric hollow using the TM_{030} mode of cylindrical resonant cavities [13]. The study presented a frequency tuning scheme which breaks the field symmetry along the cavity axis by translating two horizontally cut hollows apart in the longitudinal direction. Since the performance of the mechanism was also evaluated in the study, no attempt is made in this article for any further studies.

4.2. Along the radial direction

A conventional way to tune the resonant frequency of a cylindrical cavity is to break the transverse symmetry by translating a (pair) of dielectric or conducting rod(s) in the
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Figure 2: Two-dimensional plot of the scan rate factor as a function of the inner and outer radii of a dielectric cylindrical hollow. Mathematica was used to compute $V^2 C^2 Q$ along with the EM solutions driven in the text. The red cross represents the optimal point.

radial direction [5, 6]. For a cylindrical dielectric cavity, such symmetry breaking can be achieved by splitting the dielectric hollow vertically into pieces and moving them apart along the radial direction. For simplicity, a two-piece scheme, which is illustrated in Fig. 3, is considered in this study.

Figure 3: Illustration of the tuning scheme along the radial direction using two half-hollow cylinders. The color represents the strength of the electric field for the TM$_{030}$ mode.

The initial size of the hollow cylinder is chosen from the value derived in Sec. 3. We found however that even though a thickness of $\lambda/2\sqrt{\epsilon}$ yielded the highest sensitivity, it resulted in a lack of tunability. Meanwhile, it was also seen that the resonant frequency varied when the thickness of the dielectric hollow was altered. This indicates that the sensitivity and tuning range need to be compromised. By employing a new figure of merit, the product of $V^2 C^2 Q$ and $\Delta f$, the frequency tuning range, we find that $\lambda/4\sqrt{\epsilon}$ is the optimal thickness with high sensitivity ($\sim 60\%$ of that for $\lambda/2\sqrt{\epsilon}$) and reasonable tunability ($\sim 9\%$ with respect to the central frequency). The maximum tuning range is

\[\int_{\Delta f} (V^2 C^2 Q) df.\]

Strictly speaking, the new figure of merit is an integration of the scan rate factor over the tuning range, i.e., $\int_{\Delta f} (V^2 C^2 Q) df$.\]
achieved when the two half cylinders are separated by approximately $\lambda/2\sqrt{\epsilon}$. However, the mechanical design for displacing the long vertical hollow pieces in the horizontal direction could be challenging to implement in such sensitive experiments.

4.3. Along the azimuthal direction

The simulation study in Sec. 4.2 showed that the frequency tuning range has a strong dependence on the thickness of the dielectric hollow. Based on this feature, we designed a new tuning mechanism, which consists of a double layer of concentrically segmented dielectric hollow pieces, with one layer of the segments rotating with respect to the other. In this scheme, the outer layer of dielectric segments is fixed in position, while the inner layer is turned along the azimuthal direction simultaneously by a single rotator. Figure 4 visualizes how this scheme alters the effective thickness of the dielectric hollow over the course. The corresponding electric field distributions are also shown in Fig. 5. This tuning mechanism concept essentially relies on the transformation of continuous rotational symmetry into a discrete rotation symmetry, to create a tuning capability. It is noted that since the resonant frequency is tunable using a single rotator, this design provides a more reliable tuning mechanism, one which is also easy to implement.

Figure 4: Illustration of the tuning mechanism described in the text. The inner layer of the segments is simultaneously rotated counter clockwise with respect to the fixed outer layer.

Figure 5: Electric field distributions of the TM$_{030}$-like mode with the 6-segment tuning mechanism applied to suppress the negative field component. Each distribution corresponds to the rotational angle of the inner layer in Fig. 4.

The design of the tuning system was optimized based on simulation studies by considering three parameters: 1) number of segments; 2) thickness of the double layer;
and 3) inner radius of the inner layer. Assuming that there were no (or weak) correlations between one another, the parameters were scanned independently to find the optimal values which maximized the aforementioned figure of merit, $\int_{\Delta f} (V^2 C^2 Q) df$. Using a model of a copper cylindrical cavity with dielectric segments of $\epsilon = 10$, it was found that six segment design gives the best performance. The optimal thickness of the layer pair was obtained to be approximately $\lambda/2\sqrt{\epsilon}$, which is consistent with the analytically calculated value. The radius of the inner layer was $0.37R$, where $R$ is the cavity radius. The relative thickness between the inner and outer layers was also fine tuned, such that a thicker inner layer relative to the outer layer yielded a slightly better tunability, with the overall sensitivity remaining intact. We observed that the frequency tuning range of the $TM_{030}$ resonant mode was about 5% with respect to the central frequency and the form factor was enhanced to greater than 0.33 (compared to 0.05 for an empty cavity) over the entire tuning range.

4.4. Performance comparison

Sections 4.1–4.3 describe the tuning schemes for the $TM_{030}$ mode, based on symmetry breaking along the longitudinal, radial, and azimuthal directions. Using the COMSOL simulation tool, the performance of the individual mechanisms was evaluated in terms of scan rate factor and frequency tunability. We modeled a cylindrical cavity with the same dimensions as in Ref. [13] to reproduce the results for the longitudinal mechanism to check consistency. For each scheme, we introduced a tuning system with optimal dimensions and repeated the evaluation. A comparison of the performance for the different mechanisms is shown in Fig. 6. Among those, the mechanism along the azimuthal direction was chosen for further study, as it yields the high sensitivity over a reasonable tuning range.

Figure 6: Performance comparison of the tuning mechanisms depicted in the text for the $TM_{030}$ mode. The area below each line corresponds to the figure of merit newly defined in the literature. The equivalent performance for an octuple-cell cavity [10], which relies on the $TM_{010}$ mode, is also compared.
5. Experimental demonstration

A cavity and a tuning system were fabricated to demonstrate the experimental feasibility of the chosen tuning mechanism. We employed a split-type cavity design, which was initially introduced in Ref. [14]. Made of oxygen-free high conductivity copper, the cavity consists of three identical pieces. The assembly builds a cylindrical cavity with a 90 mm inner diameter and 100 mm inner height and introduces a through hole in the center of both the top and bottom ends. Inside each cavity piece, two outer dielectric segments were placed at fixed positions, as shown in Fig. 7 (a). Highly pure aluminum oxide (99.7% Al$_2$O$_3$ with $\epsilon = 9.8$) was chosen as the dielectric material. Six smaller dielectric pieces, supported by a pair of wheel-shaped structures at the top and bottom, composed the inner layer of the tuning system, as shown in Fig. 7 (b). The support structure was made of polytetrafluoroethylene (PTFE), which has a low dielectric constant ($\epsilon = 2.1$) and a low dissipation factor (on the order of $10^{-6}$ at 4 K). The bottom structure was fabricated to have an extended rod piece in the middle, which was attached through the cavity hole to a single rotational piezo actuator outside the cavity to simultaneously turn the inner layer. The overall structure of the tuning system in the partially assembled cavity is seen in Fig. 7 (c). Due to the similarity in shape and the way it works, the mechanism is dubbed a wheel mechanism.

The assembled cavity was installed in a cryogenic system and brought to a low temperature of around 4 K. The resonant frequencies and quality factors were measured using a network analyzer, through transmission signal between a pair of monopole RF antennae weakly coupled to the cavity. A frequency map of the resonant modes of the cavity was drawn as a function of the tuning step, while rotating the inner layer of the tuning system. We observed the periodic behavior of the resonant modes over a full tuning process. Figure 8 shows the frequency map over a single cycle, which corresponds...
to the rotational angle of 60°. The bell-shaped curve with its frequency spanning from 7.02 to 7.32 GHz corresponds to the TM$_{030}$ mode. This frequency range is about three times higher than the TM$_{010}$ resonant frequency of the same cavity, $f_{010} = 2.55$ GHz. Symmetric and smooth frequency curves over a tuning range of ∼300 MHz indicates the stability of the turning mechanism with reasonable tunability. The measured quality factors varied between 110,000 in the low frequency regions and 90,000 for the high frequency regions, which were consistent with the simulation results. This verifies that the design is a plausible approach for utilizing the higher-order resonant modes for axion haloscopes.

Figure 8: Measured frequency map of the cylindrical cavity over a single tuning cycle. The bell-shaped curve with its tuning range between 7.02 and 7.32 GHz corresponds to the TM$_{030}$ mode. A few mode crossings by TE modes are also observed.

6. Conclusions

We exploited higher-order resonant modes for axion haloscope experiments to extend the search range towards high mass regions. In particular, we examined various tuning mechanisms for the TM$_{030}$ mode by introducing a structure of dielectric material, which substantially enhanced the form factor. The general EM solutions for a cylindrical cavity were obtained analytically and found to be consistent with the numerical calculations. Depending on the way that the field symmetry is broken, three schemes can be considered: frequency tuning along the longitudinal, radial, and azimuthal directions. For each scheme, a tuning system was optimally designed based on simulation studies by maximizing a new figure of merit, $\int_{\Delta f} (V^2 C^2 Q) df$. Among those, the tuning scheme that relies on the symmetry breaking along the azimuthal direction, showed the highest sensitivity over a reasonable tuning range with a realistic design. The experimental feasibility of this mechanism was demonstrated using a three-piece copper cavity and a double-layer of alumina hollow segments. We conclude that higher-order resonant modes
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utilizing suitable frequency tuning mechanisms are certainly applicable to haloscope searches for high mass dark matter axions.

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