Impact of tillage and crop establishment methods on rice yields in a rice-ratoon rice cropping system in Southwest China

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Simplified cultivation methods for rice production offer considerable social, economic, and environmental benefits. However, limited information is available on yield components of rice grown using simplified cultivation methods in a rice-ratoon rice cropping system. A field experiment using two hybrid and two inbred rice cultivars was conducted to compare four cultivation methods (conventional tillage and transplanting, CTTP; conventional tillage and direct seeding, CTDS; no-tillage and transplanting, NTTP; no-tillage and direct seeding, NTDS) in a rice-ratoon rice system from 2017 to 2020. Main season yields for CTDS and NTDS were higher than for CTTP by 6.1% and 2.8%, respectively; whereas ratoon season yields for CTDS and NTDS were equal to or higher than for CTTP. Annual grain yields for CTDS and NTDS were higher than for CTTP by 4.4% and 3.2%, respectively. The higher CTDS and NTDS yields were associated with higher panicle numbers per m² and biomass production. Rice hybrids had higher yields than inbred cultivars by 15.8–19.3% for main season and by 15.6–19.4% for ratoon season, which was attributed to long growth duration, high grain weight and biomass production. Our results suggest that CTTP can be replaced by CTDS and NTDS to maintain high grain yields and save labor costs. Developing cultivars with high grain weight could be a feasible approach to achieve high rice yields in the rice-ratoon rice cropping system in southwest China.

Rice is the main staple food crop that supports a large segment of the global population1. It has been estimated that global rice production must increase by 116 million tons by 2035 to meet the growing demand for food that will result from predicted population growth2. In addition, China will need to produce approximately 20% more rice by 2030 to meet its projected domestic needs3. Further increases in rice production in China are mainly dependent on more frequent harvests on the existing cropland4,5. In recent years, the area of arable cropland used for rice production has been decreasing because of increasing use of land for urbanization6. Yield gap analysis has also shown that rice yields have been approaching their potential biophysical ceiling7,8, and the average annual growth rate was −0.3% from 1998 to 2006 in China9. In general, multiple rice cropping systems include double-season rice, triple-season rice, and ratoon rice; the double-season rice system is the dominant multiple rice cropping system used in China and elsewhere in Asia10. However, the planting area of the double rice cropping systems in China has continued to decline because of labor shortages, a low level of mechanization, and low production efficiency11. To ensure rice self-sufficiency and food security, strategies to increase the productivity of multiple rice cropping systems are desperately needed.

Ratoon rice (Fig. 1), which is the practice of obtaining a second harvest from tillers originating from the stubble remaining after harvesting the main rice crop, has emerged as an alternative option to replace double-season rice12. This is called a “one planting and two harvests” cropping system. Ratoon rice crops are characterized by short growth periods, high daily yields, high rice quality, low labor requirements, low water usage, reduced seed usage, reduced production costs, and increased yield and profits13. With progress in rice breeding and improvement crop management practices, and shortage of labor, use of rice ratooning has rapidly expanded in China14,15.

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the total planted area of ratoon rice cultivation was more than 1.24 million hectares in 2020. The practice of ratoon rice has also rapidly increasing in USA and Africa and elsewhere in world. The grain yield of ratoon rice is more than 6.0 t ha\(^{-1}\) if the crop is properly managed.

At present, conventional tillage is the most widely used method for land preparation of paddy fields for the main season rice crop. In ratoon rice systems, the crop establishment method used for the main season crop is mainly seedling transplantation, which is still the dominant method used in China. Transplanting seedlings requires a large amount of manpower and the task is very labor-intensive and involves working in a stooping posture and moving in a muddy field. Paradoxically, labor availability is limited in China because an increasing number of young farmers have left for jobs in the cities, leaving the older farmers behind. Recently, simplified cultivation technologies for rice production have become increasingly attractive because the potential benefits include savings on labor, reduced water use, lower greenhouse gas emissions, and reduced environmental risks as well as improved rice yield if the crop is properly managed.

Simplified cultivation technologies for rice production commonly rely on simplifying the methods used for crop establishment, or land preparation, or both. At present, several simplified cultivation technologies, such as conventional tillage and direct seeding (CTDS), no-tillage and direct seeding (NTDS), conventional tillage and seedling broadcasting (CTSB), no-tillage and seedling broadcasting (NTSB), and no-tillage and transplanting (NTTP), have been developed in China for rice production. The adoption of simplified cultivation technologies for producing the main rice crop in place of conventional transplanting is continuously increasing in Asia, because this can potentially reduce production costs through savings on fuel and labor while maintaining grain yields and increasing system productivity and resource use efficiency compared with conventional transplanting. However, the effects of simplified cultivation technologies on rice yields in the rice-ratoon rice cropping system is still unknown.

Rice yield is determined by the number of panicles per unit land area, the spikelet number per panicle, the spikelet filling percentage, and grain weight. Lin et al. showed that the number of spikelets per panicle contributed the most to grain yield in the main rice crop, and the number of panicles per unit area of land contributed the most to grain yield in ratoon rice through the sink size (spikelet number per unit land area). The grain yield of ratoon rice is significantly and positively correlated with the number of panicles per unit land area. The largest planting area of ratoon rice in China is in southwest China, and accounts for 40% of the current total land area devoted to ratoon rice. However, rice farmers transplant rice seedlings at extremely wide spacing in southwest China due to the shortage of rural labor and increased labor costs. Grain yields of the main rice crop and ratoon rice in the farmers’ paddy fields planted at low density were only 93% and 70%, respectively, of the yield from 666 hectares of demonstration fields planted at high density; and the average total yield in the farmers’ paddy fields

Figure 1. The brief diagram of the nodes, regenerated panicles and regenerated buds in ratoon rice system. D2, D3 and D4 represent the second node from top, third node from the top, and other nodes below the third node from main crop tillers.
Grain yield and its attributes. Main season yield significantly differed among cultivation method treatments (T) and rice cultivars (C), whereas the interactive effects of T × C were not significant for main season yield (except 2019) (Table 3). The effects of T, C and their interaction were significantly on ratoon season yield in 2018 but were not significant in 2017. Ratoon season yield was significantly affected by C in 2019 and 2020, but not by T and the interaction of T × C. Annual yield significantly differed among T and C. The interactive effect of T × C was significant for annual yield in 2018 and 2019 but was not significant in 2017 and 2020.

Mean main season yield across four rice cultivars and four years under CTDS and NTDS treatments reached to 9.34 and 9.05 t ha⁻¹, respectively, which were approximately 6.1% and 2.8% higher than the yields under CTTP treatment, respectively (Table 3). However, grain yield of ratoon rice for the CTDS and NTDS treatments was 4.4% and 3.2% higher, respectively, than for rice grown using CTTP treatment. Main season yield, ratoon season yield, and annual grain yield for the NTTP treatment were equal to or higher than for the CTTP treatment.
A large difference was observed in main season yield and ratoon season yield between the rice hybrids and the inbred cultivars (Table 3), though the magnitude of the difference in main season yield and ratoon season yield between the rice hybrids and the inbred cultivars varied by cultivation method. Average across four years, the rice hybrids had higher main season yields than did the rice inbreds by 16.2% under CTDS, by 15.8% under NTDS, by 19.3% under NTTP and by 17.6% under CTTP. The main season yield gap between the hybrid and inbred rice were larger in transplanting treatment. The ratoon season yields for rice hybrids were higher than for rice inbreds by 19.4% under CTDS, by 16.7% under NTDS, by 15.6% under NTTP and by 15.7% under CTTP. The ratoon season yield gap between the hybrid and inbred rice were larger in direct seeding treatment. The difference in annual yield among four rice cultivars were significant in all four experiments. On average, the hybrids had 17.1% higher annual grain yield than did the inbred cultivars.

The main season yields were 21.8%, 28.1%, and 27.7% higher in 2017 than in 2018, 2019, and 2020, respectively. The ratoon season yield was similar to, or slightly higher in 2017, than it was in 2018, 2019, and 2020. As a result, the annual grain yields were 20.8%, 20.5%, and 17.7% higher than in 2018, 2019, and 2020, respectively.

The yield components of main rice crop were significantly affected by year (Y), cultivation method treatment (T) and cultivar (C), except the effect of T for grain weight (Table 4). The interactive effects of Y×T, T×C and Y×T×C were significant for panicles per m² and spikelets per panicle in the main season rice crop but were not significant for grain filling and grain weight in the main rice crop. There was a significant Y×C interactive effect on panicles per m², spikelets per panicle, spikelets per m², grain filling, and grain weight in the main rice crop.

The panicles per m² in the main rice crop for the CTDS and NTDS treatments were 45.8% and 35.0% higher than for the CTTP treatment, respectively, and there were 21.5% and 15.8% fewer spikelets per panicle in the
| Treatment | Cultivar | 2017 | 2018 | 2019 | 2020 |
|-----------|---------|------|------|------|------|
|           |         | Main yield | Ratoon yield | Annual yield | Main yield | Ratoon yield | Annual yield | Main yield | Ratoon yield | Annual yield |
| CTDS      | HHZ     | 10.17 | 3.15 | 13.32 | 8.89 | 2.28 | 11.17 | 8.24 | 2.87 | 11.11 | 7.91 | 3.27 | 11.18 |
|           | JNSM    | 9.80  | 2.91 | 12.71 | 8.34 | 2.57 | 10.90 | 7.87 | 3.34 | 11.21 | 7.86 | 3.09 | 10.94 |
|           | NY103   | 12.12 | 3.63 | 15.75 | 9.54 | 2.84 | 12.39 | 9.46 | 3.63 | 13.09 | 8.98 | 4.07 | 13.05 |
|           | RY1015  | 11.28 | 3.49 | 14.77 | 10.14 | 3.53 | 13.67 | 9.42 | 3.24 | 12.66 | 9.35 | 3.60 | 12.95 |
| Mean      | 10.84  | 3.29 | 14.13 | 9.23 | 2.80 | 12.03 | 8.75 | 3.27 | 12.02 | 8.52 | 3.51 | 12.03 |
| NTDS      | HHZ     | 10.26 | 3.60 | 13.86 | 8.64 | 2.14 | 10.79 | 7.53 | 2.84 | 10.36 | 7.37 | 3.33 | 10.72 |
|           | JNSM    | 9.57  | 3.49 | 13.06 | 8.13 | 2.78 | 10.91 | 7.70 | 3.42 | 11.12 | 7.90 | 3.23 | 11.13 |
|           | NY103   | 11.68 | 4.44 | 15.12 | 9.36 | 4.43 | 13.79 | 8.64 | 3.36 | 12.00 | 8.84 | 4.26 | 13.10 |
|           | RY1015  | 11.41 | 3.49 | 14.89 | 9.71 | 3.03 | 12.75 | 8.89 | 3.27 | 12.16 | 9.20 | 3.69 | 12.89 |
| Mean      | 10.73  | 3.50 | 14.23 | 9.86 | 3.10 | 12.06 | 8.19 | 3.22 | 11.41 | 8.32 | 3.63 | 11.95 |
| NTTP      | HHZ     | 9.61  | 3.19 | 12.79 | 7.9 | 2.14 | 10.04 | 7.19 | 3.05 | 10.24 | 7.68 | 3.21 | 10.89 |
|           | JNSM    | 9.45  | 3.07 | 12.53 | 7.34 | 2.43 | 9.77 | 7.08 | 3.30 | 10.39 | 6.89 | 3.36 | 10.25 |
|           | NY103   | 11.63 | 3.41 | 15.04 | 8.62 | 3.3 | 11.91 | 9.11 | 3.82 | 12.93 | 8.25 | 4.05 | 12.31 |
|           | RY1015  | 11.06 | 3.09 | 14.15 | 9.03 | 3.1 | 12.12 | 8.90 | 3.41 | 12.31 | 8.70 | 3.27 | 11.97 |
| Mean      | 10.44  | 3.19 | 13.63 | 8.22 | 2.74 | 10.97 | 8.08 | 3.39 | 11.47 | 7.88 | 3.47 | 11.35 |
| CTTP      | HHZ     | 9.85  | 3.48 | 12.32 | 8.03 | 2.04 | 10.07 | 7.62 | 2.99 | 10.62 | 8.06 | 3.30 | 11.36 |
|           | JNSM    | 9.45  | 3.40 | 12.86 | 7.65 | 2.16 | 9.80 | 6.30 | 3.24 | 9.54 | 7.77 | 3.30 | 11.07 |
|           | NY103   | 11.37 | 3.28 | 14.65 | 8.64 | 3.73 | 12.38 | 9.29 | 3.63 | 12.92 | 8.69 | 4.21 | 12.89 |
|           | RY1015  | 10.74 | 3.27 | 14.01 | 9.15 | 2.77 | 11.92 | 8.99 | 3.35 | 12.33 | 9.25 | 3.42 | 12.67 |
| Mean      | 10.35  | 3.36 | 13.71 | 8.37 | 2.67 | 11.04 | 8.05 | 3.30 | 11.35 | 8.44 | 3.56 | 12.00 |

Table 3. Main season yield, ratoon season yield and annual yield of four rice cultivars grown under four cultivation methods in 2017–2020. *CTDS, NTDS, NTTP and CTTP represent conventional tillage and direct seeding, no-tillage and direct seeding, no-tillage and transplanting and conventional tillage and transplanting, respectively. **HHZ, JNSM, NY103 and RY1015 are Huanghuazhan, Jinnongsimiao, Nei6you103 and Rongyou1015, respectively. Within a column means followed by the same letter are not significantly different according to LSD at P = 0.05. Lower-case and upper-case letters indicate comparison among four Cultivars and between four cultivation treatments, respectively. **Significant at P<0.05, *Significant at P<0.01, ns, not significant at P<0.05.

The inbred cultivar 'Huanghuazhan' had the highest number of panicles per m² in the main growing season (Table 4). On average, the rice hybrids had 5.2% fewer panicles per m², 17.4% fewer spikelets per panicle and 19.7% fewer spikelets per m² than did the rice inbreds in the main growing season, while grain filling and grain weight were 1.7% and 39.0% higher, respectively, in the rice hybrids compared to the inbred cultivars.

The rice hybrids had 5.0% lower grain yield than the inbred cultivars, but 2.0–2.7% higher than 2019 and 2020. The grain filling in the main rice crop was 0.6% lower in 2017 than in 2018, 2019, and 2020 (Table 4). The number of spikelets per panicle in the main rice crop for the CTDS and NTDS treatments were 14.0% and 12.4% higher than for the CTTP treatment, respectively. The differences in number panicles per m² in ratoon rice were higher than for the CTTP treatment, respectively. The interactive effect of Y × T for spikelets per m² in ratoon rice was not significant for the number spikes per m², grain filling or grain weight in ratoon rice but was significant for the number of panicles per m² in ratoon rice.
The number of panicles per m² in ratoon rice for the CTDS and NTDS treatments were 21.9% and 13.4% higher, respectively, than in the CTTP treatment, while there were 8.5% and 2.7% fewer spikelets per panicle, respectively, in ratoon rice in the CTDS and NTDS treatments compared to CTTP (Table 5). Consequently, the spikelets per m² in ratoon rice for the CTDS and NTDS treatments were 8.2% and 9.9% higher, respectively, than in the CTTP treatment. Grain filling in ratoon rice in the CTDS and NTDS treatments was lower than in the CTTP treatment by 5.2% and 4.6%, respectively. The differences in yield components of ratoon rice between the CTTP and NTTP treatments were relatively small, and there were no significant differences in grain weight among the four cultivation methods.

The hybrid ‘Nei6you107’ had the highest number of panicles per m² and the cultivar ‘Jinnongsimiao’ had the most spikelets per panicle in the ratoon season (Table 5). On average, rice hybrids had fewer panicles per m², fewer spikelets per panicle, fewer spikelets per m² and less grain filling than the inbred cultivars by 2.0%, 10.5%, 12.4% and 9.0% in the ratoon season, respectively, while grain weight in the rice hybrids was 48.5% higher than in the inbred lines in the ratoon season.

The number of panicles per m² in ratoon rice for the CTDS and NTDS treatments were 21.9% and 13.4% higher, respectively, than in the CTTP treatment, while there were 8.5% and 2.7% fewer spikelets per panicle, respectively, in ratoon rice in the CTDS and NTDS treatments compared to CTTP (Table 5). Consequently, the spikelets per m² in ratoon rice for the CTDS and NTDS treatments were 8.2% and 9.9% higher, respectively, than in the CTTP treatment. Grain filling in ratoon rice in the CTDS and NTDS treatments was lower than in the CTTP treatment by 5.2% and 4.6%, respectively. The differences in yield components of ratoon rice between the CTTP and NTTP treatments were relatively small, and there were no significant differences in grain weight among the four cultivation methods.

Table 4. Yield components of four rice cultivars grown under four cultivation methods in main crop season in 2017–2020. a CTDS, NTDS, NTTP and CTTP represent conventional tillage and direct seeding, no-tillage and direct seeding, no-tillage and transplanting and conventional tillage and transplanting respectively. b HHZ, JNSM, NY103 and RY015 are Huanghuazhan, Jinnongsimiao, Nei6you103 and Rongyou1015, respectively. Means within each variable sharing the same letter are not significantly different according to LSD at P = 0.05. * Significant at P < 0.05, ** Significant at P < 0.01, ns, not significant at P < 0.05.

| Variable | Panicles per m² | Spikelets per panicle × 10³ | Spikelets per m² | Grain filling (%) | Grain weight (mg) |
|----------|-----------------|-----------------------------|-----------------|------------------|-------------------|
| Treatment (T) |                  |                             |                 |                  |                   |
| CTDS     | 354.2 a         | 121.4 d                     | 42.3 a          | 90.3 b           | 24.9 a            |
| NTDS     | 327.9 b         | 130.2 c                     | 41.7 a          | 91.3 a           | 24.9 a            |
| NTTP     | 230.7 d         | 159.9 a                     | 36.2 c          | 91.3 a           | 24.7 a            |
| CTTP     | 242.8 c         | 154.6 b                     | 37.1 c          | 91.5 a           | 24.8 a            |
| Cultivar (C) |               |                             |                 |                  |                   |
| HHZ      | 330.3 a         | 133.4 b                     | 42.1 b          | 92.0 b           | 21.9 c            |
| JNSM     | 263.0 d         | 176.7 a                     | 45.2 a          | 88.6 d           | 19.6 d            |
| NY103    | 287.1 b         | 121.9 c                     | 34.1 d          | 93.2 a           | 29.6 a            |
| RY1015   | 275.2 c         | 134.1 b                     | 36.0 c          | 90.6 c           | 28.1 b            |

Year (Y) | 2017 | 331.4 a | 138.1 b | 44.1 a | 92.0 a | 25.2 a |
|         | 2018 | 279.1 b | 140.3 b | 37.5 c | 92.6 a | 24.6 c |
|         | 2019 | 273.0 b | 138.1 b | 36.2 d | 89.6 b | 24.9 b |
|         | 2020 | 272.1 b | 149.6 a | 39.6 b | 90.2 b | 24.6 b |

Analysis of variance | Year (Y) | ** | ** | ** | ** | ** |
| Treatment (T) | ** | ** | ** | ** | ns |
| Cultivar (C) | ** | ** | ** | ** | ** |
| Y × T | ms | ns | ns |
| Y × C | ** | ** | ** |
| T × C | ** | ns | ns |
| Y × T × C | ** | ** | ** |

Biomass production and harvest index. Total dry matter and harvest index in the main season rice crop were significantly affected by year (Y), cultivation method treatment (T) and cultivar (C) (Table 6). The interactive effects of Y × T, Y × C, T × C, and Y × T × C were significant for total dry matter and harvest index in the main season rice crop. The total dry matter of the main rice crop in the CTDS and NTDS treatments was higher than in the CTTP treatment by 15.9% and 14.7%, respectively, whereas the harvest indexes of the main rice crop in the CTDS and NTDS treatments were lower than in the CTTP treatment by 2.4% and 1.8%, respectively. The NTTP treatment produced 4.5% less total dry matter in the main rice crop than the CTTP treatment; however, the harvest index of the main rice crop in the NTTP treatment was 1.7% higher than in the CTTP treatment. The rice hybrids had 12.6% higher total dry matter compared to the inbred cultivars in the main season. The difference in the harvest index for the main rice crop between the hybrids and the inbred cultivars was relatively small. The total dry matter was highest in 2017 and the harvest index was highest in 2020 in the main growing season.
Total dry matter and harvest index in the ratoon rice were significantly affected by year (Y), cultivation method treatment (T) and cultivar (C) (Table 6). The interactive effects of Y × T, Y × C, and Y × T × C were significant for total dry matter and harvest index in ratoon rice (Table 6). The interactive effect of T × C was not significant for biomass production or harvest index in ratoon rice. The total dry matter of ratoon rice in the CTDS and NTDS treatments was 8.5% and 9.6% higher, respectively, than in the CTTP treatment. The harvest index of ratoon rice in the CTDS and NTDS treatments were lower than in the CTTP treatment by 4.3% and 3.3%, respectively. The total dry matter and harvest index of ratoon rice in the NTTP and CTTP treatments were the same. On average, the rice hybrids had 18.7% higher total dry matter than the rice inbreds in the ratoon season; however, the harvest index was 1.2% lower for the rice hybrids than for the inbreds in the ratoon season. The total dry matter and harvest index of ratoon rice were the highest in 2017.

Discussion
Our results show that there are significant differences in the main season yield, ratoon season yield, and annual yield among the four cultivation methods in the rice-ratoon rice cropping system (Table 3). Dong et al. found no differences in the main season yield, ratoon season yield, and annual yield between direct-seeded rice and transplanted rice in the rice-ratoon rice cropping system. The main season yields in the CTDS and NTDS treatments were 2.8–6.1% higher than in the CTTP treatment, while the ratoon season yields in the CTDS and NTDS treatments were similar to or higher than the yields in the CTTP treatment. Consequently, CTDS and NTDS treatments produced 3.2–4.4% higher annual yields than did CTTP. On average, a high annual yield of > 12 t ha⁻¹ was achieved for CTDS and NTDS. The main season yields, ratoon season yields, and annual yields for rice grown in the NTTP and CTTP treatments were equal. These results suggest that CTTP can be replaced with CTDS and NTDS to maintain high rice grain yields and save on labor costs for rice production in the rice-ratoon rice cropping system.

The higher main season and ratoon season yields in the CTDS and NTDS treatments can be attributed to the higher sink size (spikelets per m²) and number of panicles per m², which was significantly higher for CTDS and NTDS than for CTTP (Tables 4, 5). The high contribution of panicle number to grain yield in rice production has also been reported by Huang et al. and Lin and Luo. However, these studies only analyzed the contribution of yield components to grain yield in single-season rice. Therefore, it is difficult to understand whether the

| Variable | Panicles per m² | Spikelets per panicle | × 10³ Spikelets per m² | Grain filling (%) | Grain weight (mg) |
|----------|-----------------|-----------------------|------------------------|------------------|------------------|
| Treatment (T)² |                  |                       |                        |                  |                  |
| CTDS     | 352.2 a         | 55.8 b                | 18.5 a                 | 72.9 b           | 21.1 a           |
| NTDS     | 327.3 b         | 59.3 a                | 18.8 a                 | 73.4 b           | 21.3 a           |
| NTTP     | 279.4 c         | 61.4 a                | 16.8 b                 | 76.9 a           | 21.1 a           |
| CTTP     | 288.7 c         | 61.0 a                | 17.1 b                 | 76.9 a           | 21.1 a           |
| Cultivar (C)³ |                |                       |                        |                  |                  |
| HHZ      | 338.7 a         | 58.0 b                | 19.2 a                 | 76.3 b           | 17.5 c           |
| JNSM     | 291.5 b         | 67.3 a                | 18.7 a                 | 80.8 a           | 16.5 b           |
| NY103    | 342.5 a         | 51.4 c                | 17.1 b                 | 71.7 c           | 25.4 a           |
| RY1015   | 274.9 b         | 60.7 b                | 16.1 b                 | 71.2 c           | 25.1 b           |
| Year (Y) |                  |                       |                        |                  |                  |
| 2017     | 385.4 a         | 64.1 b                | 24.4 a                 | 72.0 b           | 21.3 b           |
| 2018     | 322.0 b         | 54.2 c                | 17.2 b                 | 71.4 b           | 20.1 c           |
| 2019     | 337.2 b         | 45.6 d                | 15.1 c                 | 78.6 a           | 22.0 a           |
| 2020     | 203.0 c         | 73.6 a                | 14.5 c                 | 78.1 a           | 21.1 b           |

| Analysis of variance |
|----------------------|
| Year (Y)             | ** ** ** ** **    |
| Treatment (T)        | ** ** ** ** ns    |
| Cultivar (C)         | ** ** ** **      |
| Y × T                | ** ns **          |
| Y × C                | ** ns **          |
| T × C                | ns ns ns ns      |
| Y × T × C            | ns ns ns ns      |

Table 5. Yield components of four rice cultivars grown under four cultivation methods in the ratoon rice season in 2017–2020. *CTDS, NTDS, NTTP and CTTP represent conventional tillage and direct seeding, no-tillage and direct seeding, no-tillage and transplanting and conventional tillage and transplanting respectively. HHZ, JNSM, NY103 and RY1015 are Huanghuazhan, Jinnongsimiao, Nei6you103 and Rongyou1015, respectively. Means within each variable sharing the same letter are not significantly different according to LSD at P = 0.05. **Significant at P<0.05. **Significant at P<0.01. ns, not significant at P<0.05.
contributions of yield components to grain yield vary with the rice-growing season. In the present study, the panicle number per m² in the main rice crop and in ratoon rice for CTDS and NTDS was significantly higher than for CTTP, while the number of spikelets per panicle in the main rice crop and ratoon rice for CTDS and NTDS was lower than for CTTP. The average number of panicles per m² in ratoon rice for the CTDS and NTDS treatments were 13.4–21.9% higher than in the CTTP treatment; which was associated with higher number of panicles per m² in main rice crop for the CTDS and NTDS treatments compared with in the CTTP treatment. In other words, the adoption of direct-seeded rice can lead to higher number of panicles per m² in main season and sufficient mother stems in ratoon season, resulting in high yields that resulted from higher in number of panicles per m² in main crop-ratoon rice systems. The differences in grain filling and grain weight in the main rice crop and in ratoon rice among the four cultivation methods was relatively small or inconsistent. Therefore, improving panicle number per m² is the key to increasing both main season and ratoon season yields in the rice-ratoon rice cropping system. The adoption of direct-seeded rice may also be a feasible approach towards achieving high number of panicles per m² in the rice-ratoon rice cropping system.

In another approach, rice grain yield is determined by biomass production and harvest index [31]. However, it is generally thought that further improvements in rice grain yield might be driven by increasing the biomass production rather than the harvest index [32,33], because there is little room to further increase the harvest index [34]. Consistently, in the present study, biomass production in the main rice crop and in ratoon rice in the CTDS and NTDS treatments was significantly higher than in the CTTP treatment; which was associated with higher number of panicles per m² in main rice crop for the CTDS and NTDS treatments compared with in the CTTP treatment. In other words, the adoption of direct-seeded rice can lead to higher number of panicles per m² in main season and sufficient mother stems in ratoon season, resulting in high yields that resulted from higher in number of panicles per m² in main crop-ratoon rice systems. The differences in grain filling and grain weight in the main rice crop and in ratoon rice among the four cultivation methods was relatively small or inconsistent. Therefore, improving panicle number per m² is the key to increasing both main season and ratoon season yields in the rice-ratoon rice cropping system. The adoption of direct-seeded rice may also be a feasible approach towards achieving high number of panicles per m² in the rice-ratoon rice cropping system.

The difference in main season, ratoon season, and annual rice yields between hybrid and inbred rice cultivars were significant in all four experiments. However, the magnitude of the differences in main season yield and

| Variable | Main season | Ratoon season |
|----------|-------------|---------------|
|          | Total dry matter (g m⁻²) | Harvest index (%) | Total dry matter (g m⁻²) | Harvest index (%) |
| Treatment (T)² |  |  |  |  |
| CTDS      | 1767.0 a | 52.9 c | 654.6 a | 42.8 c |
| NTDS      | 1748.6 a | 53.2 c | 660.9 a | 43.2 bc |
| NTTP      | 1455.7 c | 55.1 a | 586.4 b | 44.4 ab |
| CTTP      | 1524.5 b | 54.2 b | 603.1 b | 44.7 a |
| Cultivar (c)³ |  |  |  |  |
| HHZ       | 1581.2 c | 54.0 a | 600.4 b | 42.4 c |
| JNSM      | 1474.1 d | 53.4 b | 544.9 c | 45.7 a |
| N6Y103    | 1738.7 a | 54.1 a | 722.1 a | 43.2 bc |
| RY1015    | 1701.8 b | 53.9 ab | 637.6 b | 43.8 b |
| Year (Y)  |  |  |  |  |
| 2017      | 1884.0 a | 53.4 b | 763.9 a | 47.4 a |
| 2018      | 1581.1 b | 53.4 b | 576.4 c | 42.6 c |
| 2019      | 1488.3 d | 53.1 b | 629.2 b | 41.0 d |
| 2020      | 1542.4 c | 55.6 a | 535.6 d | 44.1 b |

Analysis of variance

| Variable | Year (Y) | Treatment (T) | Cultivar (C) | Y x T | Y x C | T x C | Y x T x C |
|----------|----------|---------------|--------------|-------|-------|-------|-----------|
|          | **       | **            | **           | **    | **    | **    | **        |
| Year (Y) | **       | **            | **           | **    | **    | **    | **        |
| Treatment (T) | **       | **            | **           | **    | **    | **    | **        |
| Cultivar (C) | **       | *             | **           | **    | **    | **    | **        |
| Y x T    | **       | **            | **           | **    | **    | **    | **        |
| Y x C    | **       | **            | **           | **    | **    | **    | **        |
| T x C    |          |               |              | **    | ns    | ns    | ns        |
| Y x T x C | **       | **            | **           | **    | **    | **    | **        |

Table 6. Biomass and harvest index of four rice cultivars grown four cultivation methods in main crop-ratoon rice system in 2017–2020. *CTDS, NTDS, NTTP and CTTP represent conventional tillage and direct seeding, no-tillage and direct seeding, no-tillage and transplanting and conventional tillage and transplanting respectively. HHZ, JNSM, NY103 and RY015 are Huanghuazhan, Jinnongsimiao, Nei6you103 and Rongyou1015, respectively. Means within each variable sharing the same letter are not significantly different according to LSD at P = 0.05. *Significant at P < 0.05, **Significant at P < 0.01, ns, not significant at P < 0.05.
Rice hybrids not only had less variation in annual yield across four years, but also exhibited the smaller annual yield variability across management treatments compared with inbred lines. Annual yield in 2018, 2019 and 2020 was lower than in 2017 by 14.0–15.2% for rice hybrids and by 16.2–20.1% for inbred lines. Compared with CTTP, NTTP reduced annual yield by 2.0% and 1.0% for inbred lines and rice hybrids, respectively; CTDS and NTDS increased annual yield by 3.7–4.4% and 2.8–4.3% for inbred lines and rice hybrids, respectively. The simplified cultivation methods (NTTP, CTDS and NTDS) did not decrease or increase the annual yield of rice hybrids as much as in inbred lines. In other words, the rice hybrids was less sensitive to simplified cultivation methods than the inbred lines. Those results suggest that rice hybrids are more suitable than inbred cultivars to achieve high main season yields, ratoon season yields, and annual yields under simplified cultivation methods in southwest China. Similarly, previous studies have demonstrated that rice hybrids produce higher ratoon season yields and annual yields than do rice inbreds in direct seeded rice-ratoon rice cultivation systems.34,35 The higher main season, ratoon season, and annual yields of rice hybrids compared to inbred cultivars can be attributed to the long growth duration and higher grain weight and biomass production, which suggests that developing cultivars with high grain weight and biomass production through breeding may also be a feasible approach to achieve high rice yield in the rice-ratoon rice cropping system in southwest China.

### Conclusion

Main season yields, ratoon season yields, and annual yields in the CTDS and NTDS treatments were higher than those in the CTTP treatment in the rice-ratoon rice cropping system in southwest China. The higher rice grain yields of direct-seeded rice in the CTDS and NTDS treatments were associated with higher panicle number per m² and biomass production. This result indicates that CTTP can be replaced with CTDS and NTDS to maintain high rice grain yields and to save labor costs for rice production in the rice-ratoon rice cropping system in southwest China. Rice hybrids produced higher main season yields, ratoon season yields, and annual yields than inbred cultivars. The high grain yields produced by rice hybrids were attributed to long growth duration, high grain weight and biomass production. This suggests that breeding and selecting rice cultivars with high grain weight and biomass production may also be a feasible approach towards achieving high grain yields in the rice-ratoon rice cropping system in southwest China.

### Methods

A fixed field experiment was conducted in Fujii Town (29°10′ N, 105° 23′ E, 280 m asl) in Luxian County, Sichuan Province, China, from 2017 to 2020. The location has a subtropical zone humid climate with a mean annual temperature of 17.8 °C, mean annual rainfall of 1065 mm, mean annual relative humidity of 84%, mean annual sunshine of 1390 h, and an annual frost-free period of 341 days. The soil from the upper 20 cm surface layer contained 1.5 g kg⁻¹ total nitrogen (N), 495.5 mg kg⁻¹ total phosphorus, 31.6 g kg⁻¹ total potassium, 30.3 g kg⁻¹ organic matter, 150 mg kg⁻¹ NaOH hydrolysable N, 4.4 mg kg⁻¹ Olsen P, 162.0 mg kg⁻¹ NH₄OAc-extractable K, and had a pH of 4.3.

Four regionally popular and widely-adopted rice varieties, the hybrids ‘Nei6you103’ (NY103) and ‘Ron-gyou1015’ (RY1015) and the elite inbred cultivars ‘Huanghuzhan’ (HHZ) and ‘Jinnongsimiao’ (JNSM), were used in this experiment. Plant seeds were provided by the following organizations and persons: Rice and Sorghum Research Institute, Sichuan Academy of Agricultural Sciences and Rice Research Institute, Guangdong Academy of Agricultural Sciences. In each year, the hybrids NY103 and RY1015 and the elite inbreds HHZ and JNSM were grown using four cultivation methods: conventional tillage and transplanting (CTTP), conventional tillage
and direct seeding (CTDS), no-tillage and transplanting (NTTP), and no-tillage and direct seeding (NTDS). Plots were arranged in a split-plot design with cultivation method as the main plot and rice cultivars as the sub-plots. Each treatment was replicated three times and the sub-plot size was 20 m². The land preparation for the conventional tillage plots was carried out by plowing followed by a single harrowing, and for the no-tillage plots, herbicide was applied one week before planting. For transplanting, the seedlings were raised in a seedbed and 31- to 35-day-old seedlings were transplanted at a spacing of 26.4 cm × 20 cm with two seedlings per hill. For direct-seeding, pre-germinated seeds were broadcasted onto the soil surface at a seeding rate of 22.5 kg ha⁻¹ on March 15th in each year. The fertilizers used were urea for nitrogen (N), single superphosphate for phosphorus (P), and potassium chloride for potassium (K) at doses of 225 kg N ha⁻¹, 67.5 kg P₂O₅ ha⁻¹, and 150 kg K₂O ha⁻¹. N was applied in four splits: 40% as basal, 20% at mid-tillering, 20% at panicle initiation, and 20% at 10 days full-heading of the main rice crop. P was applied as basal. K was applied in three splits: 50% as basal, 30% at panicle initiation, and 20% at 10 days full-heading of the main rice crop. Water management practices were as follows: water was drained off completely before sowing, maintaining soil saturation from sowing to the three-leaf stage of the main rice crop, after which the fields were kept flooded for the entire growing season. Insects, diseases, and weeds were intensively controlled throughout the entire growing season to avoid yield loss.

At the maturity stages of the main and ratoon crops, plants were sampled from an area of 0.48 m² in the direct-seeded subplots and six hills in the transplanted subplots to determine the yield components, above-ground total biomass, and harvest index. After counting the number of panicles, the plants were separated into straw and grains by manual threshing. Filled spikelets were separated from the unfilled spikelets by submerging them in tap water. Both the filled and unfilled spikelets were then air dried. Three subsamples (30 g each) of the filled spikelets and all unfilled spikelets were counted to calculate the number of spikelets per panicle, the percentage of filled spikelets, and grain weight. After oven-drying to a constant weight at 70 °C, the dry weights of the straw and the filled and unfilled spikelets were determined. Aboveground total biomass was calculated as the sum of the total dry matter of the straw and the filled and unfilled spikelets. The harvest index was calculated as the ratio of filled grain dry weight to aboveground total biomass. Grain yield was determined from a 5-m² area in the middle of each plot and adjusted to a moisture content of 14%.

The Statistix 8 software package (Analytical Software, Tallahassee, Florida, USA) was used for analysis of variance (ANOVA). The statistical model for ANOVA included replication, treatment (T), cultivar (C), year (Y), the two-factor interactions of T × C, T × Y, and C × Y, and the three-factor interaction of T × C × Y. The statistical significance was set at the 0.05 probability level.

Ethical approval. The study complies with local and national regulations.

Data availability All data generated or analysed during this study are included in the article.

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Author contributions

P.J. and F.X. conceived the study. P.J., L.Z., H.X., X.G., Y.Z. and X.Z. performed the experiment and collected the data. P.J. analysed the data and wrote the manuscript. All authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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