Independent Effects of Metabolic Syndrome and Air Pollution (PM2.5) on Atherosclerosis in Modernizing China

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Abstract

Background: Air Pollution (AP) and metabolic syndrome (MS) are important global health hazards of the 21st century, in mainland China in particular, and AP has been associated with increased prevalence of cardiovascular diseases, and stroke.

Methods: To evaluate the impact of metabolic syndrome on AP-related atherogenesis, 1557 Han Chinese adults (mean age 47.2±11.8 years, male 47%) in Hong Kong, Macau, Pun Yu, Yu County (Shanxi coalmine) and 3-Gorges (Yangtze River) were studied. Cardiovascular risk profiles and metabolic syndrome (IDF criteria) were evaluated. PM2.5 (satellite sensor modeling), and atherosclerotic surrogates, brachial reactivity (FMD) and carotid Intima-media thickness (IMT) (ultrasound), were measured.

Results: The yearly PM2.5 concentration ranged from 34.0µg/m^3 in Hong Kong to 93.8µg/m^3 in 3-Gorges Territories. MS was diagnosed in 340 subjects (21.8%). Smoking status, gender and PM2.5 were similar in the MS cohort versus those without MS. Blood pressures (SBP and DBP), waist circumference, triglycerides and glucose were higher, but high-density lipid-cholesterol was lower in the MS cohort, compared to the other subjects. Brachial FMD was significantly lower and carotid IMT significantly higher (0.70±0.13 mm, 95% CI 0.68-0.71 mm vs. 0.63±0.14 mm, 95% CI 0.62-0.64 mm) in the MS cohort than those without (P<0.0001).

On multivariate regression, PM2.5 was not related to MS development, but was significantly related to carotid IMT in both no MS (beta=0.234, P<0.0001) and MS cohorts (beta=0.245, P<0.0001), independent of age, SBP, and waist circumference. There was no direct interaction between PM2.5 and MS.

Conclusions: Both AP and MS have independent impacts on atherogenic processes in China, with significant implications for atherosclerosis prevention.

Keywords: Flow-mediated dilation; Carotid intima-media thickness; Air pollution (PM2.5); Metabolic Syndrome; Modernizing China

Introduction

Cardiovascular Disease (CVD) is the leading cause of mortality and morbidity worldwide [1]. Each year over 400 million of new CVD cases are identified, accounting for 1.8 million deaths from CVD. Of the 7 million premature deaths each year linked to air pollution, 34% are related to ischemic heart disease, 21% to respiratory disease and 20% due to stroke [2,3]. A number of traditional risk factors have been implicated, including modifiable and non-modifiable factors. In addition, there is emerging evidence showing that small particulate matters (PM2.5) air pollution and Metabolic Syndrome (MS) are important novel risk factors, for the development of atherosclerosis [4-8].

It is now possible to assess early atherogenic process more objectively and noninvasively by ultrasonography. Both flow-mediated dilation (FMD) and carotid Intima-media thickness (IMT) have been shown to be reliable and reproducible atherosclerotic surrogates, significantly correlated with endothelial physiology, severity and extent of coronary artery disease, and predictive of subsequent stroke and coronary events [9,10].

PM2.5 air pollution exposure has been associated with arterial endothelial dysfunction, intima-media thickening as well as computer tomography-derived coronary calcification [10-14]. MS is highly prevalent in the USA and most western countries (22-43.3%) [15,16].

Abbreviations

AP: Air Pollution; CVD: Cardiovascular Disease; CVS: Cardiovascular System; DBP: Diastolic Blood Pressure; FMD: Flow-Mediated Dilation; GTN: Glycerlytrinitrate Dilation; HDL-C: High Density Lipoprotein Cholesterol; IDF: International Diabetic Federation; IMT: Intima-Media Thickness; LDL-C: Low Density Lipoprotein Cholesterol; MS: Metabolic Syndrome; PM2.5: Particulate Matters <2.5 Microns in Diameter; SBP: Systolic Blood Pressure; TG: Triglycerides; WHO: World Health Organization

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The prevalence of MS in Chinese populations is comparatively lower (5.3-15%), but has been increasing in recent years, being higher in male versus female, and in urban versus rural areas [17,18]. We have previously reported a higher prevalence of MS among ex-farmers (43.2%), compared with farming residents in 3-Gorges Territories [19].

MS is associated with insulin resistance, diabetes mellitus, stroke and CVD [20]. In China, many rural Chinese are undergoing rapid economic transition and modernization, with increasing prevalence of atherosclerotic disease. We therefore proposed a study to evaluate the impact of PM2.5 air pollution and metabolic syndrome, as well as their interaction if any, on atherogenesis in modernizing China.

**Subjects and Methods**

In our Chinese Atherosclerotic Study in the Aged and Young (CATHAY Study), 1557 Han Chinese adults (aged 47.2±11.8 yrs, male 47%) in Hong Kong, Macau, Pan Yu, Yu County (Shanxi coal mine area), and 3-Gorges Territories of Yangtze River (Wu Shan, Da Cheong, Fuling and Kai County) were studied. The project protocol and previous findings have been outlined and published previously [21-24]. All subjects recruited were asymptomatic and apparently healthy. They were not known to have MS or more than 2 MS components, had no known major vascular, hepatic or renal diseases, and were not taking any regular medications or vitamin supplements.

After fasting for 14 hours and providing written informed consent, their CVD profiles (smoking status, body mass index, BMI, waist circumference and waist-to-hip ratio WHR, systolic and diastolic pressure SBP, DBP) were evaluated. Blood was taken for lipid profiles (high-density cholesterol HDL-C, low-density cholesterol LDL-C, and triglycerides TG) and fasting glucose. Ultrasonic scan of brachial and carotid arteries were performed. Bloods were assayed in batches at the Hospital Central Conde de Januarie, Macau, the Prince of Wales Hospital, Hong Kong and the Second Hospital of Chongqing Medical University, all currently accredited by the USA laboratory centers.

Metabolic Syndrome (MS) was diagnosed during screening (Figure 1A and 1B) according to International Diabetes Federation (IDF) criteria, with lower threshold of central obesity, HDL-C, TG and SBP, compared with other criteria [19,25,26].

The average long-term satellite-derived PM2.5 concentration over China was evaluated by satellite remote sensing technology, with a correlation coefficient of >0.9 and a mean absolute percentage error within ±20% compared with ground observations [27-28]. These informations were accessible in Hong Kong, Macau and Pan Yu from 1991, but in other locations from 2001 only. Therefore, the mean yearly PM2.5 levels at the period 2001-2010 were computed in Yu County, but in other locations from 2001 only. Therefore, the mean yearly PM2.5 levels at the period 2001-2010 were computed in Yu from 1991, but in other locations from 2001 only. Therefore, the mean yearly PM2.5 levels at the period 2001-2010 were computed in Yu County (Shanxi coal mine area), and 3-Gorges Territories of Yangtze River (Wu Shan, Da Cheong, Fuling and Kai County) were studied. The previous protocol and previous findings have been outlined and published previously [21-24]. All subjects recruited were asymptomatic and apparently healthy. They were not known to have MS or more than 2 MS components, had no known major vascular, hepatic or renal diseases, and were not taking any regular medications or vitamin supplements.

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Brachial endothelial function (flow-mediated dilation FMD) was studied by using high-resolution ultrasound, as described previously [29-31]. In brief, the diameter of brachial artery was measured on B-mode ultrasonic images, using a linear array transducer (10-5) with a median frequency of 7.5MHz, and a standard Advanced Technology Laboratories (ATL 3000 USA) or Sonosite (model Micromaxx, Bothell, USA) system. Forearm tourniquet cuff placement was adopted to induce reactive hyperemia on deflation. Scans were acquired at rest (baseline), during reactive hyperemia (to induce endothelium-dependent dilation, FMD) and after 200µg sublingual glyceryltrinitrate (GTN, an endothelium-independent dilator). Hyperemia as an indicator of the stimulus to endothelium was calculated as the % increase in blood flow after cuff deflation compared with baseline. FMD and GTN were expressed as % dilation from baseline vessel diameter.

Carotid intima-media thickness (IMT) was also measured by ultrasound, using a standardized scanning protocol for both left and right carotid arteries [32-34]. Images of the far wall of the distal 10mm of the common carotid artery were used. All IMT measurements were evaluated by a verified automatic edge-detecting and measurement software package, with an intra-observer variability for mean IMT of 0.03±0.01 mm (Coefficient of Variation 1%, R=0.99).

**Statistical analysis**

Data was processed to give group mean values, standard deviations, and 95% confidence intervals (CI), when appropriate. Normal distribution was assessed by standard testing of normality of distribution. Intergroup differences were tested with independent samples Students’ t-test and a one-way ANCOVA model. The primary study endpoints were brachial FMD and carotid IMT, while other outcome variables were compared after Bonferroni adjustment for multiple comparisons. On the assumption of mean brachial FMD being 7.3% and mean carotid IMT being 0.63±0.14 mm in the cohort, we estimated that identification of 310 subjects with MS and 1200 subjects without MS would result in adequate power (85%) to detect a 10% difference in brachial FMD, and a power of 85% to detect a 10% difference in carotid IMT between the group with MS and group without MS at the p<0.05 (2-sided) difference level [35]. Multivariate regression analysis was performed to assess the determinants of MS development, including age, gender smoking status, PM2.5, BMI, LDL-C and location areas. Linear multivariate regression analyses were then carried out to assess the major determinants of FMD or IMT of the entire cohort, including age, smoking status, gender, and location areas. Similar multivariate regression analyses were then carried out to assess the major determinants of FMD or IMT of the entire cohort, including age, smoking status, gender, and location areas. Similar multivariate regression was performed separately to assess the determinants of FMD and IMT, in the MS cohort and the cohort without MS. The variables with significant standardized beta coefficients (beta value with p<0.05) in the regression model were identified, and insignificant variables (p value>0.05) were subsequently removed. The interaction effect was examined by including the interaction term (product of MS and PM2.5) into the model. Group differences with an error probability of less than 5% (2p<0.05) were considered statistically significant. Statistical analyses were performed with SPSS version 22.

**Results**

**Cardiometabolic health parameters**

The mean yearly PM2.5 air pollution was tabulated, ranging from baseline vessel diameter.
from 34.0-44.8 µg/m³ in Hong Kong and Macau to 93.8 µg/m³ in Da Cheong (Table 1 and Figure 2A and 2B). These differed from the mean PM2.5 in 2001-2010 by <17.0%. Metabolic syndrome was diagnosed in 340 subjects (21.8%). Their smoking status, gender, PM2.5, and LDL-cholesterol were similar to the cohort without MS (n=1217), but age (p=0.041), SBP (p<0.001), DBP (p<0.001), waist circumference (p=0.03), TG (p<0.001), and blood glucose (p<0.001) were higher, and HDL-C was lower (p<0.001) in the MS group (Table 2).

**Vascular measures**

Brachial FMD was significantly lower (7.3±2%, 95% CI 6.8-7.6%) in the MS cohort as compared with those without MS, (8.1±2.6%,

**Table 1: Yearly Air Pollution (PM2.5) exposure in 1557 Subjects.**

| Location       | Mean PM2.5 (µg/m³) (2001-2010) | Year of Study | Number of Subjects | PM2.5 (µg/m³) |
|----------------|-------------------------------|---------------|--------------------|---------------|
| Location 1     |                               |               |                    |               |
| Hong Kong      | 37                            | 1991-2002     | 147                | 34.0-36.2     |
| Macau          | 41.3                          | 1998-2001     | 201                | 40.4-44.8     |
| Location 2     |                               |               |                    |               |
| Pan Yu         | 59.2                          | 1996-1997     | 161                | 52.1-55.1     |
| Yu County      | 68.7                          | 2000-2003     | 344                | 70.3-73.0     |
| Location 3     |                               |               |                    |               |
| Wu Shan        | 74.1                          | 2005-2007     | 114                | 72.2-86.9     |
| Da Cheong      | 77.8                          | 2006          | 245                | 93.8          |
| Fu Ling        | 51.3                          | 2007          | 154                | 48.1          |
| Kai County     | 52.5                          | 2007          | 191                | 47.9          |

PM2.5: Particulate matters <2.5 microns in diameter.

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95% CI 7.9-8.3% p<0.0001). Carotid IMT was greater in those with versus without MS (0.7±0.13 mm, 95% CI 0.68-0.71 mm versus 0.63±0.14 mm 95% CI 0.62-0.64 mm, p<0.0001). The hyperemia and GTN responses were similar in the 2 cohorts (Table 3).

Multivariate regression analyses

PM2.5 level was similar in cohort with or without MS (p=0.95) (Table 2). Multivariate regression did not reveal any significant role of AP on development of MS (Table 4).

On multivariate regression of the entire cohort (n=1557), PM2.5 was significantly related to carotid IMT (beta=0.422, p<0.0001), independent of MS (beta=0.103, p<0.0001), male gender (beta=0.105, p<0.0001), age (beta=0.475, p<0.0001), BMI (beta=0.066, p=0.013), LDL-C (beta=0.102, p<0.0001) and location (beta= -0.151, p=0.002), but not smoking status (model R²=0.443, F-value=98.9, p-value <0.0001) (Table 5). However, only male gender (beta= -0.169, p=0.0001), age (beta= -0.143, p=0.001) and location (beta= -0.210, p=0.03) were related to FMD, but not PM2.5 nor other risk factors (model R²=0.081, F-value=7.03, p-value<0.0001).

In the MS cohort (n=340), PM2.5 (beta=0.245, p<0.0001), was related to carotid IMT, independent of age (beta=0.255, p=0.001),
We have previously reported lifestyle and dietary changes during modernization in China are associated with a 3-fold increase in the prevalence of MS, with implications for atherosclerosis prevention [36]. Our present study confirmed both PM2.5 air pollution and metabolic syndrome have significant and independent impacts on atherogenesis in modernizing China, independent of traditional atherosclerotic risk factors. Although no direct interaction effect of MS and PM2.5 could be demonstrated, there was different impact of PM2.5 concentration and metabolic syndrome on atherogenic process. While PM2.5 have similar impact on carotid IMT (beta values 0.234-0.245) in those with MS versus those without MS, the regression model in MS cohort (R²=0.30, F-value=7.87, p<0.0001) suggested a less contribution of PM2.5 and other atherosclerotic risks factors to carotid IMT than in the cohort without MS (R²=0.452, F-value=36.4, p<0.0001). These novel findings will support the need to tackle both the PM2.5 problem and other atherosclerosis risk factors (SBP, DBP and waist) for the prevention of atherosclerosis in subjects not yet developing MS. Putting in pertinent clinical context, PM2.5 together with other traditional risk factors would account for 30% of variation in carotid IMT in MS cohort, compared with 45% in cohort without MS.

Brachial FMD, another predictive atherosclerotic surrogate marker, was worse (7.3±2.6, 95% CI 6.8-7.6%) in MS adults compared to those without MS (8.1±2.6, 95% CI 7.9-8.3%), but our multivariate regression model did not confirm any significant impact of MS, independent of traditional risk factors. While carotid IMT would reflect the long-term impact on atherogenic process, FMD is likely more dynamic and vulnerable to changes in more labile risk factors, including blood pressure, lipid profiles and blood glucose. Similarly, different locations could be confounding factor regarding possible novel risk factors for FMD and IMT, such as lifestyle, socioeconomic and dietary habits in the different locations studied [36-39]. In our regression models in the 2 cohorts with or without MS, however, there was no significant impact of PM2.5 on the FMD surrogate marker. Our findings reiterate previous report documenting MS is related to vascular structural alteration but not to functional ones [40].

To contextualize the magnitude of IMT difference observed in the current study, where we found a mean difference of 0.07mm (11.1%) between the cohort with and cohort without MS, a 0.16mm increase in carotid IMT has been associated with a 41% increase in stroke and 43% increase in acute myocardial infarction over a period of 2.7 years [33]. The 11% difference in carotid IMT was approximately similar to the kind of difference found between diabetic and non-diabetic Chinese adults [24]. Measurement of carotid IMT can be easily accessible at a relatively low cost. While other more sophisticated cardiac parameters, such as cardiac magnetic resonance scan or CT-derived coronary calcification, may be more predictive of cardiovascular events, a recent meta-analysis of 119 clinical trials on carotid IMT progression has documented the predictive value of IMT reduction on CVD events [41].

The central government in China, like many other developing countries, has adopted a green environment-friendly policy to help sustaining economic transition and modernization [42]. However, in the coming 1-2 decades, PM2.5 air pollution could still exceed the safe level of <20µg/m³ advocated by WHO, and accordingly would pose an important environmental problem compared with many countries.
in the western world [43]. While traditional risk factors should be dealt with and optimally addressed, our present study proposes, in addition, emphasis on both air pollution and metabolic syndrome. Arterial intima-media thickening is an upstream precursor of plaque formation and CVS events [41,44]. To prevent such atherogenic processes, practical strategies to reduce both PM2.5 air pollution and metabolic syndrome should be considered. Physical activity promotion to avoid MS development [36-39,45], adoption of global and country-wide policies on air pollution, [42,46] and personalized strategies of wearing facial mask, [47] usage of air filtering or other purifying devices at home [48-51] are potential options, in this regard.

Study Limitations

Our study did not address exposure to indoor PM2.5 air pollution, which may be different from outdoor PM2.5 concentrations, in different locations [52-54]. Real-time measurement by portable devices can provide more accurate PM2.5 level, but the short measurement duration limits the ability to evaluate long-term average levels. One potential limitation of the present project is studying different locality in China in different period from 1991 to 2007. Over this period, the PM2.5 exposure appeared to be quite stable (within 17%) in these Chinese locations, hence justifying the meaningful comparison in the present study. Moreover, other biological parameters to assess PM2.5-induced inflammation, such as high sensitivity C reactive protein, fibrinogen and cytokines were not assessed, which may be more relevant to metabolic syndrome-related atherogenesis. No detrimental effects of smoking on vascular parameters was demonstrated, possibly related to less impact on carotid IMT or brachial FMD in rural Chinese, compared with urbanized Chinese, as reported previously [21]. Lastly different lifestyle, dietary habits, socioeconomic profiles and psychological stressors were not evaluated, in our study. However we have previously documented a detrimental impact of lifestyle changes on cardiometabolic health in modernizing China [36].

Conclusions

Both PM2.5 air pollution and MS have independent impacts on atherosclerotic process in modernizing China, with potential implications for atherosclerosis prevention.

Data Availability

The research data will be available from the corresponding author to the editor and readers on reasonable request.

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Conflict of Interest

We declare no financial interests or connections, direct or indirect, or other situations that might raise the question of bias in the work reported or the conclusions, implications or opinions stated - including pertinent commercial or other sources of funding for the individual author(s) or for the associated department(s) or organization(s), personal relationships, or direct academic competition.

Author Contribution

WOO KS: Project conception and design, research administration, statistical analysis and interpretation of data, drafting and revision of the article and final approval of the version to be published.

Kwok CY, Timothy: Design of project, interpretation of data, revision of the article and final approval of the version to be published.

Choook Ping: Project conception and design, performance of ultrasonography analysis and interpretation of data, drafting, revision of the article and final approval of the version to be published.

Hu YJ: Research data collection, performance of ultrasonography analysis and interpretation of data, revision of the article and final approval of the version to be published.

Lin CQ: Provision and interpretation of PM2.5 data in China.

Lau KHA: Provision of PM2.5 data, interpretation of data, revision of the article and final approval of the version to be published.

Lee PWA: Analysis and interpretation of data, revision of the article and final approval of the version to be published.

Celemajer DS: Project conception and design, interpretation of data, drafting and revision of the article, and final approval of the version to be published. All authors agree to be accountable for all aspects of the works.

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Highlights

In our cross-sectional study in 9 locations in China, we confirmed PM2.5 air pollution and metabolic syndrome have independent impacts on atherogenic process, with implication for atherosclerosis prevention.

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