Density-functional Based Tight-binding Calculations on Thiophene Polymorphism

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Total energy calculations based on a density-functional tight-binding scheme have been performed on polymorphic modifications of various thiophene crystals. The investigated structures include sulphanyl-substituted quater-thiophene and methyl-substituted sexithiophene, in the monoclinic and triclinic modifications. Attention has been focused on the intermolecular interaction between the molecular units. Despite the similarities in the backbone geometries, the strength and nature of intermolecular interaction differs largely in the various polymorphs. Sulphur atoms belonging to the thiophene rings are strongly involved in the interaction. Sulphanyl substituents play an important role, while methyl groups do not contribute. The strength of intermolecular interaction is not a direct function of atom distance.

Keywords: Organic semiconductors; Oligothiophene; Polymorphic crystals; Intermolecular interaction; Total energy calculations; Density functional theory; Tight-binding

INTRODUCTION

Conjugated organic oligomers and polymers have attracted much scientific interest for applications in electronic and opto-electronic devices [1–3]. While the properties of oligomers in solution have been investigated in details [4], little is known about electronic properties in the solid state, such as single crystals or crystalline thin films. However, this theoretical insight is a prerequisite for successful tuning of the device properties.

Among organic compounds, thiophene oligomers are considered as promising materials for opto-electronic applications [5–7]. This is due to the simplicity of their preparation and functionalization, their chemical stability and favorable electronic properties. While they are successfully used in field effect transistors, oligothiophene-based light emitting diodes suffer from low quantum efficiency (usually below 2%). Efficiency enhancement can be achieved through chemical tailoring, with an appropriate choice of substituents. Recently, the preparation of new materials with photo-luminescence efficiencies up to 37% has been reported [8].

For two of the recently synthesized materials, polymorphic modifications have been found [9–11], which are of special interest for theoretical

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investigations. Although the molecular units in
the polymorphs are of the same type, the arrange-
ment in the crystal varies. Thus, they give the
possibility of studying directly the effects of crystal
packing.

In the present work we present the results of
total energy calculations on thiophene poly-
morphs. We describe the different kind of inter-
molecular interaction in the crystals and discuss
the role of substituents.

METHOD

To determine the total energy and the interatomic
forces for a given set of atomic positions we use a
non-orthogonal tight-binding (TB) scheme. The
method allows for calculations within large super
cells (up to 1000 atoms) and the inclusion of 3-
dimensional periodic boundary conditions. In
contrast to most other TB methods, the Hamilto-
nian and overlap matrix elements are not obtained
from a fit to an experimental database, but cal-
culated within self-consistent local density approx-
imation (LDA). A complete description of the
method can be found in Refs. [12, 13].

The total energy \( E_{\text{tot}} \) for a given set of co-
ordinates \( \{ R_k \} \) can be approximated as a sum of
the band structure energy (which is the sum of the
occupied Kohn–Sham eigenvalues) and a sum of
short-range repulsive pair-potentials:

\[
E_{\text{tot}}(\{ R_k \}) = \sum_l n_l \varepsilon_l(\{ R_k \}) + \sum_{k < l} V_{\text{rep}}(|R_l - R_k|)
\]

(1)

Appropriate (pseudo)-atoms of each type are
constructed by self-consistent solution of a modi-
fied atomic Kohn-Sham equation. The overlap
and Hamiltonian matrix elements are calculated
out of this basis of atomic wave functions. Several
contributions to the Hamiltonian matrix can be
neglected, in fact, only two-center elements are
dealt with. The so generated matrix elements
depend only on the interatomic distance. The
short-range repulsive potential is derived again
from a self-consistent LDA calculation. Once this
set of input data (Hamiltonian and overlap matrix,
repulsive potential) for the TB is generated for
each interaction pair, it can be used for the
determination of equilibrium geometries and total
energies of all scale heteronuclear systems.

To handle charge transfers within the mod-
el, long-range Coulomb interaction is included
through a self-consistent treatment of the charge
density [14]. While a minimal basis set is sufficient
for the description of the electronic system of
carbon and hydrogen, sulphur requires the inclu-
sions of \( d \)-orbitals.

OLIGOTHIOPHENE POLYMORPHS

The following crystals have been investigated:
(\( \beta \)-tetra-methylsulphanil)-\( \alpha \)-quaterthiophene
(TMS4T) and (\( \beta \)-tetramethyl)-\( \alpha \)-sexithiophene
(TM6T). Both compounds exist in the solid state
in two crystalline forms: triclinic (TMS4Tr and
TM6Tr), and mono-clinic (TMS4Ty and TM6Ty).
To compare the effect of substituents, we also
include data of the methyl substituted quater-
thiophene (TM4T). The crystalline structure of
these compounds has been determined by X-ray
characterization [9–11, 15].

A remarkable feature of all compounds is the
close similarity of the backbone geometry, even
though the substituent groups are different. All
compounds show an exactly coplanar conforma-
tion of the inner thiophene rings. The main dif-
fERENCE of the polymorphs derives from the tilting
of the outer rings. The triclinic compounds consist
of more planar configurations than the monoclinic
ones. The outer rings in TMS4Tr and the homo-
logue rings in TM6Tr have an anti conformation,
the torsion angles with the central units being
153° and 155°, respectively. In contrast, molecules
in the monoclinic crystals are strongly twisted
with torsion angles of 56° and 55° for TMS4Ty
and TM6Ty, respectively, thus leading to a syn
conformation.
The different molecular structure of the compounds leads to completely different crystal packing: the triclinic forms exhibit a stacked supramolecular structure, while the monoclinic ones show a nearly herringbone structure.

An experimental study on the photoluminescence and quantum efficiency of the polymorphs reveals strong differences [16]. TSM4Tr has the highest quantum efficiency (14%), compared to 1% for the TSM4Ty. Values are more homogeneous for the sexi-thiophene: 4% and 2% for TM6Tr and TM6Ty, respectively.

**RESULTS**

The crystal geometry has been optimized applying conjugate gradient techniques. Deviations of the optimized bond lengths from the initial X-ray values are less than 0.02 Å for C–C bonds and less than 0.01 Å for C–S bonds. The C–S–C bond angles are found slightly enlarged (<1°). The torsion angles between thiophene rings are preserved.

Results of the total energy calculations are given in Table I. The small differences in energy between TMS4Tr/TMS4Ty and TM6Tr/TM6Ty confirm the polymorphic character of the crystals. In both cases, the triclinic modification are more stable than the monoclinic ones, in agreement with data reported in Ref. [11].

We also give the total energy of the single molecule, whose geometry has been extracted from the optimized crystal structure. The geometry obtained with this procedure does not necessarily represent an optimized structure, but allows for the determination of the intermolecular interaction. Although the method in general tends to underestimate intermolecular forces, the energy difference $E_{ii}$ between crystal and single molecule refers directly to the extent of intermolecular interactions.

Despite the fact that TMS4T polymorphs have similar $E_{ii}$ values, the wave function overlap in the two crystals is completely different. Within the tight-binding approach the overlap can be written as bond order term, which is defined, between two neighboring atoms $A$ and $B$, as:

$$P_{AB} = \sum_{\mu} n_{\mu} \sum_{\nu} c_{\mu}^{\dagger} S_{\mu\nu} c_{\nu}^{\dagger},$$

with $n_{\mu}$ being the occupation number of molecular orbital $i$, $c_{\mu,\nu}^{\dagger}$ the eigenvector-matrix elements $\mu$, $\nu$ for $i$ and $S_{\mu\nu}$ the overlap matrix element $\mu$, $\nu$. Compared to bonds within the molecule, the bond order of weak intermolecular coupling is found to be 2 orders of magnitude smaller.

The almost planar stacking of the TMS4Tr results in an extended intermolecular $\pi-\pi$ interaction. This involves sulphur atoms on the thiophene units and conjugated carbon atoms on the neighboring molecules. A similar interaction is prevented by the strong torsion in the TMS4Ty structure. Figure 1 demonstrates this different behavior: One entire molecule within each crystal is shown (as sticks) with all interacting atoms on neighboring molecules (as balls). In the upper part we see the parallel stacking of the TMST4r, the $\pi-\pi$ interaction occurring with the fragment below the main molecule. In TMST4y, shown in the lower part, the number of interacting centers is clearly smaller than in the previous case and consists mainly of S–S and S–H bridges.

The more extended interaction occurring in TMS4Tr crystals does not result in a higher $E_{ii}$ value. This can be related to steric effects of the substituents, which introduce a negative energy contribution. In fact, TMS4Tr is the only case where the molecule inside the crystal has not an

| Crystal | Single Molecule | $E_{ii}$ |
|---------|-----------------|--------|
| TMS4Tr  | −1649.25        | −1648.67 | 0.58 |
| TMS4Ty  | −1648.98        | −1648.39 | 0.59 |
| TMS4T   | −1384.03        | −1383.76 | 0.27 |
| TM6Tr   | −1932.53        | −1932.02 | 0.51 |
| TM6Ty   | −1932.16        | −1931.74 | 0.42 |
FIGURE 1  Wave function overlap in TMS4Tr (upper part) and TMS4Ty (lower part). Single molecule (depicted as sticks) with interacting neighbor atoms: sulphur (black), carbon (grey) and hydrogen (white).

optimized structure. We therefore expect that the distortion in the single molecule partially cancel out the large energy gain due to intermolecular interaction.

In both TMS4T crystals, substituents contribute greatly to the overall intermolecular interaction. On the other hand, in TM4T no wave function overlap occurs with the methyl substituents. Interaction is determined by a completely different mechanism. The outer rings of neighboring molecules have an exactly planar configuration, which results in S–H overlap within an edge-to-edge alignment, as shown in Figure 2. The inner units shows only weak S–H interactions.

In TM6Tr, sulphur atoms of the terminating rings interact with the entire inner unit of a neighboring molecule, within a face-to-face alignment (see upper part of Fig. 3). Thus, the outermost rings are of crucial importance to the intermolecular interaction. The anti conformation of the six thiophene rings leads to an optimized wave function overlap between sulphur orbitals and the conjugated π-system.

FIGURE 2  Wave function overlap in TM4T. Neighbor rings with interacting atoms are shown as thin sticks.

FIGURE 3  Wave function overlap in TM6Tr (upper part) and TM6Ty (lower part).
The polymorphic modification TM6T_y is characterized by interaction of the sulphur atoms on the inner rings with sulphur atoms on neighboring molecules, as shown in the lower part of Figure 3. The external units of the molecule are less involved in the interaction, leading only to S—H intermolecular bridges. In both cases, the methyl groups do not contribute to the intermolecular forces.

The energy gain $E_d$ through crystallization is higher for triclinic TM6Tr than for monoclinic TM6Ty, in agreement with the larger extension of intermolecular interaction. In contrast to the quater-thiophene, molecular structures in both sxi-thiophene crystals have an optimized geometry.

Finally, it should be noted that the strength of interactions is not a direct function of the distance of interacting atoms. The orientation and the alignment of interacting centers is of crucial importance.

**CONCLUSIONS**

The theoretical investigation shows that a similar backbone structure does not indicate a similar nature of intermolecular interaction. Moreover, a short distance between two atoms is not necessarily an indication for a strong interaction. It is therefore not possible to predict intermolecular interactions only on the basis of geometrical data, i.e., X-ray measurements.

The difference between TM4T and TMS4T shows the importance of the type of substituents. The introduction of sulphanyl groups changes completely the molecular packing. In contrast to the methyl groups, sulphanyl substituents act as strong interaction centers. This can be ascribed to the properties of sulphur atoms, with its electron-donating character (related to the presence of electron lone pairs) and the possible electron retro-donation (through population of $d$-orbitals).

The structure with the strongest $\pi - \pi$ interaction, TMS4T_r, exhibits the highest quantum efficiency. This result is in contradiction to the common belief that a strong $\pi$ overlap of the molecule suppresses the luminescence and lowers the efficiency. Further studies are needed to establish a clear relation between molecular packing and optical properties of the structures.

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