Self-select target neighboring base station assisted handover for natural disaster in LTE-A network

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ABSTRACT
This paper presents self-select target neighboring base station assisted handover for natural disaster in LTE-A Network. In this study, two parameters have been introduced which are known as received signal strength of user (RSS) and left over power of base station (LoP) in order to maintained good QoS of UE and prolong battery life of base station when there is power outage. A distance fraction coefficient (α) with value 0.2 has been introduced to RSS expression to improve the signal strength by reducing the area that the UE’s covered. Both parameters are used to calculate weighted-average score (WAS) for selection of potential target base station to avoid more users to connect to the affected base station. From the results, sRSS=0.8 gave the highest WAS with value of WAS=0.84 for users from 1 to 100 compares to other value of sRSS. Moreover, by using no natural disaster condition as reference, condition 1 (wRSS=80%, wLoP=20% ) with the lowest percentage of improvement (3.75%) will be chosen as handover condition as it near to base station, avoid overloaded users to the affected base station, hence prolong battery life as it only use 20% of battery usage.

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1. INTRODUCTION
Natural disasters such as flood, landslide, earthquake, tsunami and other cannot be predicted and the after-effect will bring major destruction and damage. Several issues have been highlighted by previous researchers which are rescue operation; awareness prediction and damage of mobile communication. For rescue operation, in [1], the author has proposed a navigation system using D2D communications and GPS for rescue operation. The D2D provides public protection and disaster relief (PPDR) and national security and public safety (NSPS) services which capable to support local services although some or all of the network nodes become damage or faulty due to natural disaster. The advantage of the approach is has allocation of frequencies. In [2, 3], the authors proposed unmanned and autonomous vehicle (UAV), that can support humans in the affected area hence significantly reduce their radius of rescue operation. The technique provides data gathering and processing, overviews of visual situation and sending high-level commands to commanders for coordinating rescue missions. In addition, it also can automatically take decisions on its own during unpredictable situations [3]. Also, in [4], the author has review optimal energy efficient path planning for unmanned air vehicles. However, this technique is not cost-effective as it uses unmanned vehicles and high range communication infrastructure. Other than that, author in [5] has developed an Event detection obtained from mobile phone users. It can detect both positive (i.e: festivals) and negative events (i.e: natural disasters and road accidents) by acquire location, areas and days of Events occurred from mobile phones carriers.
The technique is using GPS and it can accurately detect the location however it makes the mobile phone’s battery drain faster due to the GPS requires more power consumption. Meanwhile, authors in [6, 7] using geographical information system (GIS) as a technique to identify the disasters areas accurately by developing a tool that provides quick response and can be useful for post-earthquake management. It also can be function as an alternative to the GPS. However, this technique depends on left over power of mobile phones to operate as power outage may occur at the affected areas. In addition, paper in [8] presented a hybrid network architecture that integrates LTE and satellite technologies for PPDR that has easy connectivity, extended coverage and high performance guarantees. However, it also uses satellite that needs high power to operate. Also, in [9], the author has proposed a tool for monitoring and tracking end-user health conditions during disasters by using Microphone, speakerphone, on-device camera, accelerometer, gyroscope, compass, radio frequency (LTE), smartphone disaster recovery system (SDRS) Application. If no coverage, it will forward the information using D2D. However, the technique is only health monitoring application. Another paper [10] has proposed computational method for angle tracking radar. The results of theoretical and simulation-based are identical to each other. However, this paper focuses only on mathematical operation and not for rescue operation using angle tracking radar.

On issue of prediction awareness, author [11] proposed a Disaster Management using WSN Technology using flood and earthquake sensors for prediction awareness. It uses central node to receive information from the sensors and process it by compare the information with reference voltage. Then, the data goes to the nearest base station. However, this technique has disadvantage which it depend on central node and does not has backup. On the other hand, author in [12] has applied the social media to collect disaster data by using driven approach for disaster response through sentiment analysis. This technique collects disaster data from social networks and categorizes them according to the needs of the affected people using machine learning algorithm. Also, author [13] has proposed a combination of the GIS and Mobile IT-based Android as an early warning system for large-scale incidents by using social media. The benefits by using the technique are it provides help to the victims by knowing the exact location for help and manage the needs of the people in disaster affected. However, because it only depends on information from social media; it did not have event’s priority levels i.e. low, medium and high risks to avoid unnecessary help and waste of resources. Subsequently, researchers in [14] has proposed an integrated early warning system (iEWS) known as mKRISHI® Fisheries for prediction awareness, minimizing the vulnerability and subsequent losses for ocean and flood disasters. The technique used Wind and Wave information generated by INCOIS and it can identify the risk zones, occurrence date and time and help the fishers by reducing the risk exposure and saving lives. However, the information received will be channeled to mobile phones.

For damage of mobile communication issue, it can be disrupted due to critical hardware failure and power outage. Although base station has battery backup to operate, the battery life is limited [15]. If there is no countermeasure from the network operator for this issue, remaining power of base station to operate becomes critical, hence, traffic congestion will occur. Previous researchers have studied several techniques to overcome traffic congestion in limited power due to natural disaster. Author in [16] proposed utilizing Raspberry Pi Single Board Computing devices running Docker to allow for the rapid deployment of communications system in areas where little or no communications infrastructure exists especially for natural disaster. The benefit of this technique is it used software defined networks (SDN) as a command and control system. In addition, it also has the ability to remotely enable network elements and provide scalability and programmability. However, both techniques offered a wide area for environmental monitoring and data collection tasks which means signal strength of the users might decreased due to far from base station. Meanwhile, author in [17] applied SON techniques include self-configuration of power, physical cell identifiers (PCI), and automatic neighbor relation (ANR), as well as self-optimization of mobility robustness, and coverage and capacity. It has advantage due to small cell only require low power to operate. However, the technique does not control handover traffic and only focuses on self-configuring during power outage. Other than that, several researchers have proposed contingency cellular network (CCN) for emergency communication by connecting disconnected base stations together with wireless links and constructing a multi-hop cellular network. Author in [18] has proposed Bandwidth Allocation of CCN for quick response and efficient during disasters, however it has high complexity due to heuristic algorithm. In addition, authors in [15, 19] also have proposed CCN technique, however, the damage of a disaster may not evenly distribute and time consuming.

Other than that, several papers investigate on energy saving during natural disasters. In [20], the author has proposed a scheme that can help to limit the number of new clients connecting to available eNBs, consequently reducing per-eNB load and allowing eNBs to remain alive for longer. The technique use Wi-Fi tethering as it consumes much low power however it operates on data network. In addition, the author [21] has employed energy harvesting (EH) at the relay with simultaneous wireless information and power transfer to prolong the lifetime of energy constrained network using D2D communication. Also, in [22], the author using
D2D Communication for Disaster Recovery that adopts multi-hop D2D relays for routing protocol. It is proved that this technique has high efficiency in order to extend cellular coverage in the case of a network infrastructure failure or a natural disaster. The advantage of this method is robust, energy saving and time saving for communication; however, it has higher complexity. Previous papers in [23, 24] have proposed an LTE-A UE-controlled and eNB- assisted reliable handover scheme for natural disaster situations. It discourages the arrival of new traffic to an already overloaded eNB after post-disaster. By using the technique, the UE can self-select the best NeNB to handover with based on the weighted averaging of scores (WAS) for each NeNB assigned against the two different parameters, namely, the transmitter power (LoP) of NeNBs in the disaster affected area and the UE’s distance of motion (DoM) relative to a NeNB. The advantage of the technique is the UE can self-assign individual scores to the different NeNBs and selects the TeNB for the handover activity. In addition, the signal strength (RSS) of a UE was used as handover threshold bound to limit the arrival of new traffic to an already overloaded eNB. However, this technique has disadvantage which is it used complicated algorithm using UE’s movement paths known as Angle of Divergence (AoD) by characterized the angle value from 0° to 180°. Where: AoD= 0° is for MS which moving exactly towards the potential target base station (PTBS) while AoD= 180° is for the MS which moving exactly away from the PTBS. Other than that, in [25], the author has employed SDN-based approach for providing an energy-efficient heterogeneous communication network for victims in disaster scenarios. The results proved the flexibility and provided small delay of the proposed system. However, the technique is using Bluetooth and Wi-Fi which have different physical interface make it difficult to interact due to different protocols.

This paper investigates the continuity of the paper [23, 24] which need to identify parameters that can limit the arrival of new traffic to an already overloaded eNB by diverting their handover to lightly loaded nearby eNBs. In this work, the RSS was chosen as a parameter as it determines the values of the transmission power, the distance between the transmitter and the receiver, and the radio environment. In addition, it also highly correlated to the transmitter’s location [26]. Together with LoP, the WAS of natural disaster can be calculate for handover to target eNBs. Hence, it can maintain good QoS with the users located near to base station and prolong the battery life by reducing the power consumption. The rest of the paper is organized into five different sections. Section 2 presents the brief explanation of parameter RSS and LoP and their mathematical expression and formulation of proposed UE-controlled Intelligent Handover Strategy for natural disaster scenarios. Section 3 deals with results and discussion and finally conclusion is drawn in section 4.

2. METHODOLOGY

This section explained the brief explanation of mathematical expression of RSS, LoP and WAS. Previously, we investigated new parameter namely as distance fraction coefficient ($\alpha$) on received signal strength (RSS) of a user for natural disaster scenario [27]. By applying the parameter on RSS, it increases the value of RSS, so the UE with good network performance can handover to neighboring base stations than the affected base station. The modified received signal strength ($RSS_{modified}$) in decibel can be expressed as:

$$RSS_{modified} = P_t + G_i + G_r - PL_{modified}$$

(1)

where: $P_t$ is transmitted power of a BS, $G_i$ is transmitter antenna gain, $G_r$ is receiver antenna gain and $PL_{modified}$ is path loss between UE and BS. The modified path loss for this work is adopted two-ray path loss and can be defined as:

$$PL_{modified} = 20 \times \log(\alpha \times d^2 / h_t \times h_r)$$

(2)

where: $\alpha$ is distance fraction coefficient, $d$ is distance from UE to BS, $h_t$ is transmitter’s antenna height and $h_r$ is receiver’s antenna heights. In [27], the value of $\alpha$ was varied from 0.2 to 0.8. From the results, $\alpha = 0.2$ was chosen as the optimal value because it gave the highest RSS value of UE compare to others. It is because $\alpha$ affects the area covered, which reduced the user’s area serving hence giving it much lower path loss value to the system.

As stated in [23], left over power (LoP) of a battery can be expressed as:

$$LoP = [(N - n/N)] \times \text{Rated power of the battery}$$

(3)

where: $n$ is number of connection and $N$ is maximum of N connections at any time without any degradation in the QoS. When the number of connections is less than maximum connections of base station, the maximum

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power drawn from the battery is less. The base station can support some more connections without degradation of QoS. When \( n = N \), the LoP is equal to 0. The LoP has maximum power available when \( n = 0 \). The UE will receive different information of LoP for different neighboring base station, thus, it assigns them to individual scores namely as sLoP. The least LoP is the lowest score. For sLoP, the equation can be expressed as:

\[
S_{\text{LoP}} = \frac{(N - n)}{N}
\]  

(4)

where: \( n \) = number of connection and \( N \) = maximum of \( N \) connections at any time without any degradation in the QoS. The sLoP was fixed in range \( 0 \leq S_{\text{LoP}} \leq 1 \). When more subscribers attached to an eNB, the fewer users are inviting to pass through it. This technique will make the affected base station not overloaded; hence help to prolong the battery life.

For handover activity, the UE calculated both parameters LoP and DoM. The highest WAS which the NeNB receive will be select as the target eNB (TeNB). The SWAS (NeNBi) for each NeNB can be expressed as:

\[
S_{\text{WAS}}(\text{NeNB}_i) = S_{\text{LoP}}(\text{NeNB}_i) \times W_{\text{LoP}} + S_{\text{DoM}}(\text{NeNB}_i) \times W_{\text{DoM}}
\]  

(5)

where: \( S_{\text{LoP}}(\text{NeNB}_i) \) is LoP score for neighboring eNB, \( S_{\text{DoM}}(\text{NeNB}_i) \) is DoM score for neighboring eNB, \( W_{\text{LoP}} \) is weight of LoP, and \( W_{\text{DoM}} \) is weight of DoM. The weight is fixed to \( 0 \leq W_{\text{LoP}}, W_{\text{DoM}} \leq 1 \) with condition:

\[
W_{\text{LoP}} + W_{\text{DoM}} = 1
\]  

(6)

For this work, the UE will calculate SWAS for each NeNB, then, it will select the highest score for handover. By applying the RSS instead the DoM, it reduced the serving area, hence improves the user signal strength. The modified SWAS for each NeNB is taken from (5) and can be expressed as:

\[
S_{\text{WAS}}(\text{modified}) = S_{\text{LoP}}(\text{NeNB}_i) \times W_{\text{LoP}} + S_{\text{RSS,modified}}(\text{NeNB}_i) \times W_{\text{RSS}}
\]  

(7)

where: \( S_{\text{LoP}}(\text{NeNB}_i) \) is LoP score for neighboring eNB as (4), \( S_{\text{RSS,modified}}(\text{NeNB}_i) \) is modified RSS score for neighboring eNB as Table 1, \( W_{\text{LoP}} \) is weight of LoP, and \( W_{\text{RSS}} \) is weight of RSS as shown in Table 2.

Table 1 explain about the score for RSS which value \( S_{\text{RSS,modified}}=1.0 \) gives the characterization of the user is moving exactly towards the potential target base station (PTBS). Meanwhile, \( S_{\text{RSS,modified}}=0 \) gives the description of the user is moving exactly away from the PTBS. Table 2 describes the score of weights for RSS and LoP. The total of both weights is equal to 1. For Condition 1, it shows that no natural disasters happen. Meanwhile the weight for RSS is inversely proportional to the weight of LoP. Meanwhile, Table 3 shows the value for simulation part.

Table 1. The propose RSS score, characterization of MS’s motion and distance

| \( S_{\text{RSS,modified}} \) | Characterization of the motion of MS | Distance (m) |
|-----------------------------|-------------------------------------|--------------|
| 1.0                         | MS is moving exactly towards the PTBS | 0            |
| 0.8                         | The MS is moving towards the PTBS but its progressive movement towards the PTBS is far than the possible value at RSS=1.0 | 1<d<50       |
| 0.6                         | The MS is moving towards the PTBS but its progressive movement towards the PTBS is far than the possible value at RSS=0.8 | 51<d<100     |
| 0.4                         | The MS is moving towards the PTBS but its progressive movement towards the PTBS is far than the possible value at RSS=0.6 | 101<d<150    |
| 0.2                         | The MS is moving away from the PTBS but its regressive movement away from the PTBS is far than the highest possible value, which occurs at RSS =0. | 151<d<200   |
| 0                           | The MS is moving exactly away from the PTBS | >200         |

Table 3 shows the simulation parameter used for (7).
Table 2. The propose weight of RSS and LoP and its description

| Condition | wRSS: wLOP | Description |
|-----------|------------|-------------|
| 1         | 100% wRSS: 0% wLOP | Fully dependable on signal strength of UE based on distance near to target base station. In addition, no natural disaster incidents occur. |
| 2         | 80% wRSS: 20% wLOP | Location of UE is near to BS with only small percentage of battery backup in natural disaster incidents. |
| 3         | 60% wRSS: 40% wLOP | Location of UE is far from BS than condition 2 with slightly better battery backup in natural disaster incidents. |
| 4         | 40% wRSS: 60% wLOP | Location of UE is far from BS than condition 3 with good battery backup in natural disaster incidents. |
| 5         | 20% wRSS: 80% wLOP | Location of UE is far from BS than condition 4 with better battery backup in natural disaster incidents. |
| 6         | 0% wRSS: 100% wLOP | Fully dependable on battery backup in natural disaster incidents. In addition, no signal strength of UE is taken into account. |

Table 3. Simulation parameter

| Parameter       | Value          |
|-----------------|----------------|
| $P_t_{\text{dbm}}$ in natural disasters | 46 dBm [28] |
| $G_t_{\text{dbi}}$ for downlink | 15 (dbi) |
| $G_r_{\text{dbi}}$ for downlink | 0 (dbi) |
| $h_t$           | 30m            |
| $h_r$           | 1.5m           |
| $d$             | minimum distance 35 m |
| $\alpha$        | 0< $\alpha$< 1 |

3. RESULTS AND ANALYSIS

This section explained the simulation results and its analysis for propose $S_{\text{WAS}}$ (modified). Figure 1 shows the WAS Neighboring eNB versus Number of subscribers for weight of RSS ($w_{\text{RSS}}$) is 80% and weight of LoP ($w_{\text{LOP}}$) is 20%. By applying number of subscribers from 1 to 100, different value of $s_{\text{RSS}}$ which are 0.2, 0.4, 0.6 and 0.8 were investigated on WAS neighboring value. From the result, $s_{\text{RSS}}=0.8$ gives the highest value of WAS neighboring eNB. It is because of the subscribers were located near to the target base station. This value will be using as handover threshold for handover algorithm in natural disaster incidents. Other than that, the value of WAS Neighboring eNB is decreases when the value of $s_{\text{RSS}}$ is reduces. It is because of the location of the subscribers become far from the target base station. In term of number of subscribers, it is shows that number of subscribers affect the value of WAS where the value of WAS decreases when the number of subscribers increases. It is because of less traffic loads consume less battery of eNB thus give the high value of WAS compares to high traffic loads. In addition, a heavy load at an eNB also means fewer the number of new connections it invites to pass through it. Table 4 shows the value of WAS for 1 user using $s_{\text{RSS}}$={0.8, 0.6, 0.4 and 0.2}. The $s_{\text{RSS}}$ with the highest WAS will be choose for the handover condition in natural disaster.

Figure 2 shows the WAS neighboring eNB versus number of subscribers with $s_{\text{RSS}}$ is fixed to 0.8 and different types of scenarios. For 100% wRSS, 0% wLOP, the value of WAS is constant to any number of subscribers due to location of subscribers are near to the target base station. For 0% wRSS, 100% wLOP, it represents the maximum value of LoP to select TeNB and to sustain UE’s own communication reliability as distance of UE from the target base station is not taken into account. For other conditions, the value of WAS for 80% wRSS, 20% wLOP is lowest compare to others because of distance of subscribers are near to the target base station, hence QoS at UEs is improved. However, the value of wLoP is the lowest for other conditions because only small percentage of battery backup is used. The weights of wLoP need to be higher, as more subscribers can be attached to the target base station. However, signal strength of UE must be high to improve QoS of the UE. Table 5 shows the value of WAS for 1 user by conditions in Table 2. The WAS value with the lower percentage of improvement (3.75%) compares to no natural disaster was chosen for the best conditions for the handover condition as it near to reference condition which is no natural disaster scenario.

Table 4. Results of value of WAS for 1 user using $s_{\text{RSS}}$ = {0.8, 0.6, 0.4 and 0.2}

| sRSS   | WAS Value |
|--------|-----------|
| 0.8    | 0.84      |
| 0.6    | 0.68      |
| 0.4    | 0.52      |
| 0.2    | 0.36      |

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Table 5. Results of value of WAS for 1 user by conditions in Table 2

| Conditions                  | WAS Value | %Δ     |
|-----------------------------|-----------|--------|
| 100% wRSS: 0% wLOP         | 0.80      | -      |
| 80% wRSS: 20% wLOP         | 0.83      | 3.75%  |
| 60% wRSS: 40% wLOP         | 0.88      | 10%    |
| 40% wRSS: 60% wLOP         | 0.91      | 13.75% |
| 20% wRSS: 80% wLOP         | 0.96      | 20%    |
| 0% wRSS: 100% wLOP         | 1.0       | 25%    |

Figure 1. WAS neighboring eNB vs number of subscribers

Figure 2. WAS neighboring eNB vs number of subscribers

4. CONCLUSION

As a conclusion, this paper introduced two parameters that can improve the user’s performance, avoid traffic congestion and prolong battery life of base station for natural disaster condition. The parameters are known as RSS and left over power LoP. By applying these parameters to the modified SWAS, the UE located near to base station (Srss=0.8) has improve RSS value and QoS at UE as α-coefficient has been introduced to signal strength expression. Condition 1 has been chosen for handover condition during natural disasters that select UE with high RSS’s value and has battery usage of base station for 20% only. For future work, we will propose a handover algorithm with improvement of RSS value and Condition 1 for handover conditions. By applying these handover conditions to the handover algorithm, the affected base station will has the UE
with good QoS, hence prolong battery life as it limit the users to be overloaded base station. Other users which
not meet the requirement will be handover to potential target base stations.

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