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Surface-Enhanced Raman Spectroscopy (SERS) for characterization SARS-CoV-2

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Abstract We used SERS with silver nanoparticles (AgNPs) as the active substrate to develop a, simple, quick, and accurate method for the detection and characterization SARS-CoV-2 without the need for RNA isolation and purification. Inactivated SARS-CoV-2 was used. The SERS signals were more than 10^5 times enhanced than the normal Raman (NR) spectra. The SERS spectra of SARS-CoV-2 fingerprint revealed pronounced intensity signals of nucleic acids; aromatic amino acid side chains: 1007 cm\(^{-1}\) (Phe marker), 1095 cm\(^{-1}\) (CN and PO\(_2\)/C\(_4\) markers), 1580 cm\(^{-1}\) (Tyr, Trp markers). Vibrations of the protein main chain: 1144 cm\(^{-1}\) (CN and NH\(_2\) markers), 1221 cm\(^{-1}\) (CN and NH markers), 1270 cm\(^{-1}\) (NH\(_2\) marker), 1453 cm\(^{-1}\) (CH\(_{2}\)/CH\(_{2}\) marker). All of these biomolecules could be adsorbed on the AgNPs surface’s dense hot patches. The intensity of the SERS band varied with the concentration of SARS-CoV-2, with a virus detection limit of less than 10^3 vp/mL and RSDs of 20%.

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1. Introduction

Coronaviruses get their name from the outer fringe, crown, or “corona” of envelope protein that they have embedded. (Graphical abstract). Members of the Coronaoviridae family are responsible for a wide range of animal and human diseases. Since 2003, coronaviruses began to attract broad interest with the zoonotic SARS-CoV. Following that, the appearance of MERS-CoV in 2012 confirmed coronaviruses as major causes of severe respiratory disease [1–3]. The severe acute syndrome coronavirus 2 (SARS-CoV-2) causes the current coronavirus infection disease-2019 (COVID-19) pneumonia, which has spread worldwide and poses a serious health hazard to humans. The corona viral genome encodes four major structural proteins, a large nucleocapsid (N) protein and three transmembrane proteins are incorporated into the viral lipid envelope: the spike (S), membrane (M) and the envelope (E), all of which are required to produce a structurally complete viral particle, individually, each protein primarily plays in the structure of the virus particle, but they are also evolved in other aspects of the replication cycle [15]. SARS-CoV-2 is transmitted through respiratory droplets [4–7] and aerosols via person-to-person contact [8,9]. The development of
improved diagnostics is the major means of preventing the spread of the SARS-CoV-2 virus in the absence of a vaccine or generally effective and relevant treatments. Alternative detection, identification, and diagnostic procedures that can work alone or in conjunction with existing methods are still a priority. Currently, the methods for SARS-CoV-2 detection include electron microscopy (EM), real-time reverse transcription quantitative polymerase chain reaction (RT-qPCR) and serological enzyme-linked immune-sorbent assay (ELISA) [7,9]. However, EM is used only with limit detection around 105 particles per millilitre [10], RT-qPCR targeted viral specific RNA fragment with specific primers for the open reading frame (CDDC-ORF), nucleocapsid protein (CDDC-N), envelope protein, membrane protein, or RNA-dependent RNA polymerase (RdRp) [5–9]. For RT-qPCR, RNA extraction from swab samples is required, which necessitates time-consuming pre-treatment that can take up to 4 h, posing a hurdle to SARS-CoV-2 diagnosis [8–10]. Furthermore, ELISA is a widely utilized enzyme immunoassay for detecting a receptor utilizing antigen-specific antibodies that target immunological markers such as IgM and IgG antibodies [7,8,10]. Nonetheless, for the diagnosis of SARS-CoV-2 in human and environmental samples that lack immunological markers, this technique is still time-consuming and ineffective [9,10]. Raman spectroscopy is a vibrational spectroscopy of ability to detect chemical bonds via photon scattering, although the generated signals are extremely weak in comparison to the incident beam [10,11]. SERS is a contemporary spectroscopic technique in which the Raman signal of a Raman-active molecule’s functional group is considerably amplified when the molecule is adsorbed on the surface of specially prepared coinage metals, such as Ag, Au, or Cu. [11,12]. The SERS amplification is caused by two separate phenomena: first, the traditional near-field electromagnetic effect, and second, the chemical effect [13], which allows for the detection of biomolecules’ local composition at extremely low concentrations (down to a single molecule) [13,14]. Furthermore, when the energy of an electronic transition is equivalent to the excitation source, the SERS approach delivers an amplification of several orders of magnitude. Signal enhancements as high as 10^{14} times over normal Raman scattering have been reported [14]. Hence, a rapid, simple, accurate and reproducible method for characterization and detection of SARS-CoV-2 is extremely required in order prevent and to tackle the spread of this deadly disease. SARS-CoV-2 is an enveloped virus with a positive sense, single-stranded RNA genome. A few studies have been reported in the literature. For example, Turnip Mosaic virus (TuMV) coat protein prepared using silver colloid solution, the SERS bands recorded in the region (300–1700 cm^{-1}) assigned nucleic acids and amino acids [17]. Fan et al. have used SERS technique to identify-seven food- and waterborne viruses, including norovirus, adenovirus, parvovirus, rotavirus, coronavirus, paramyxovirus, and herpesvirus. They were able to characterize each of these viral samples. Using dried samples deposited onto AuNPs with covered silicon wafers. Using PCA, they were also able to identify between viruses with and without an envelope. In addition, they were able to attain a viral detection limit 10^{2} vp/mL [18]. SERS signals were acquired using a silver nanorods array functionalized with the cellular receptor angiotensin-converting enzyme 2 (ACE2), which were combined with multivariate analysis to diagnose SARS-CoV-2 in environmental specimens [7]. In this study, we presented the viability of employing SERS in combination with AgNPs substrates to detect SARS-CoV-2 as a diagnostic approach that is rapid, non-invasive, and has good reproducibility and accuracy, as an alternative to existing biological tests.

2. Methods

2.1. Acquisition and processing samples

SARS-CoV-2 samples were collected from the department of Microbiology, Institute of Biomedical Sciences (IBS) at University of Sao Paulo, Brazil. Previously, NP swabs were collected in a tube containing a few millimeters of viral transport medium (VTM) from three patients with confirmed COVID-19 infection in August 2020, according to an IBC-approved methodology; all samples were SARS-COV-2 positive by RT-qPCR analysis. At the Nuclear and Energy Research Institute (IPEN), viral cells were inactivated by gamma irradiation (5x10^6 rad). A stock SARS-CoV-2 viral cells solution was set up at concentration of 1x10^9 vp/mL for each sample and stored at −80 °C. A total of 12 SARS-CoV-2 samples were analysed in the SERS experiment from August to September 2020. Each SERS spectrum was averaged from 18 different spots from a single sample. Gamma radiation is an ionizing radiation that is often used to render high-risk group viruses dormant in maximum containment laboratories. It’s also the best way to keep viral morphology and protein structures intact [20,21]. A minimum dose of 1 Mrad (10 kGy) was recently reported to inactivate 10^{6.5} TCID_{50}/ml (tissue culture infectious dose) with negligible influence on subsequent polymerase chain reaction (PCR) assay in SARS-CoV-2 [21], but whether this claim is accurate for SARS-CoV-2 requires more investigation. The sample SARS-CoV-2-SERS-AgNP active substrate was prepared by 0.35 μL drop of SARS-CoV-2 onto AgNPs substrate, the mixture ratio of the sample and AgNPs was 1:4, the diameter of the active substrate was approximately 2 mm. In this study we proposed an experimental procedure of SERS technique for identification, detection and diagnostic of SARS-CoV-2 (Fig. 1).

2.2. Limit of detection dilution sample preparation

The limit of detection (LOD) of SARS-CoV-2 was performed by sequentially diluted from 10^{5} vp/mL SARS-CoV-2 sample concentrate viral cells particle stock solution to 10^{2} vp/mL diluted in 1:10, 1:100 and 1:1000 ratios using ultrapure water (nuclease-free molecular biology grade (#20022103), Austin, TX-USA). Samples were further stored at −80 °C, before SERS analysis in order to achieve a detection sensitivity of SARS-CoV-2.

2.3. Preparation of silver nanoparticles-SERS active substrates

Silver nanoparticles (AgNPs) were synthesized by reduction of silver nitrate (AgNO3) solution with sodium citrate based on the method reported by Lee and Meisel [22].
Briefly, in 500 mL distilled water, 90 mg of AgNO₃ was dissolved. The solution was brought to a boil. The solution was then given a 10 mL aliquot of 1 percent sodium citrate and kept boiling until the volume was reduced to half of what it had been. The SAXS method is utilized to measure the average size of the nanoparticles (60 nm), and its complete structural characterization, including electron microscopy pictures, has previously been published [12, 35]. In the supplementary material (Fig. 1SA, 1SB) a small aliquot of AgNPs stock solution was diluted with distilled water, and the UV–vis absorbance measurement revealed a characteristic peak of AgNPs at 390 nm. All of the samples were using the AgNPs that were synthesized.

2.4. Surface-Enhanced Raman Spectroscopy measurements

SERS measurements were acquired using a Renishaw inVia Reflex Raman Microscopy System Leica DM2500 M (Renishaw Plc., New Mills, Wotton-under-Edge Gloucestershire, UK) equipped with 500 mW maximum high power, diode laser emitted a 785 nm line which was used as an excitation source. The laser light was focused on a sample mounted on a tridimensional stage with a 50x objective lens (numerical aperture (NA) = 0.75) that concentrated the laser to a spot size of roughly 2.5 μm after passing through a line filter. A 1040 × 256 pixels Peltier-cooled RenCam CCD array detector, which allowed registering the Stokes part of Raman spectra with 5–6 cm⁻¹ spectral resolution and 2 cm⁻¹ wavenumber accuracy, The Raman scattering signals were recorded using a 1200 line network of diffraction that was thermoelectrically cooled. The instrument was calibrated using a silicon wafer with the band center at 520 cm⁻¹. The Raman shift range has been set from 550 to 1700 cm⁻¹ (static mode) and 300 to 3500 cm⁻¹ (extended mode) (SynchroScan mode), because these areas have interesting SARS-CoV-2 bands. The exposure time was 10 s, 10 accumulations, with the power of the laser set to 1 % (equal to 5mW or less), (Fig. 1).

2.5. Data processing

The OriginPro 2018.64 bit software was used to treat the NR and SERS spectra in this investigation. The AgNPs SERS active substrate was calibrated using pMBA typically standard SERS analyte, because it tends to adsorb efficiently on AgNPs surface [27]. The SERS active-substrate exhibits high sensitivity, an enhancement factor approximately $4.4 \times 10^7$, reproducibility (relative standard deviation, RSD = 4 %) and
stability of near 2 months of the recorded SERS spectra. Pre-processing of SERS spectra was done according to a procedure for spectroscopic methods to examine biological materials that was approved [36].

Briefly, data pre-processing: A) Data pre-rubber band baseline correction subtracts a rubber band, which is stretched bottom up at each spectrum, eliminating slopes. B) De-noise the spectra depending on the SNR of the spectra, considering using Savitzky-Golay algorithm. Differentiation (Savitzky-Golay) (SG) method) has the advantage of eliminating slopes while also resolving overlapped bands as well as smoothing, minimum noise fraction. C) Perform data normalization, this can be done using min–max normalization (e.g. normalization to the Amide I/II peak, forces all spectra to have the same Raman intensity at the amide I/II peak. Scale the variables: this could be done by normalization to a 0 – 1 range.

3. Result and discussion

3.1. Features of SERS spectrum and normal Raman of SARS-CoV-2

The SERS technique was employed for the characterization and detection of SARS-CoV-2. The average of 12 SERS spectra of SARS-CoV-2-AgNP substrate samples acquired during the period from 08 to 14 to 09-23-2020 are shown in Fig. 2 in perspective. The SERS spectra of SARS-CoV-2 exhibits a number of marker modes spreading well all over the region between 1000 and 1700 cm⁻¹. Except the obvious minor differences in intensities, orientations and peak sharpness in relative band width and intensity, the SERS spectra obtained over the period of testing were identical in the spectral region 500–1700 cm⁻¹. The SERS spectra have a high sensitivity, a signal amplification of up to 7.0x10⁵, and are reproducible across the period of time testing. Large enhancement factors of the electromagnetic field are only accomplished in very tiny areas of the surface of nanostructured metals, due to the increased degree of AgNP aggregation, which is critical for forming efficient “hot spots.” [14,19]. Because of the high density of the hotspots, only a tiny percentage of SARS-CoV-2 biomolecules are adsorbed on junctions of two or more AgNPs resulting in a significantly increased and reproducible SERS signal [23]. SARS-CoV-2 with and without spike AgNPs was firstly tested as a proof-of-concept demonstration of the protocol developed in this study. Fig. 3Aa and 3Ba show example Norma Raman (NR) spectra tests in the spectral region of 500 to 1700 and 300–3500 cm⁻¹, respectively. The recorded NR spectra were highly reproducible, and reveal no signal besides a weak band with maximum c.a. 1400 cm⁻¹ comparing to the incident beam. The Raman intensity in the NR spectra without AgNPs varies between 2 and 5 x 10². Correspondingly, the NR spectrum of clean CaF₂ glass slide used as platform to spot the SARS-CoV-2 sample (Fig. 3Ab and Fig. 3Bb) exhibits no signal, possibly as a result of the SARS-CoV-2 viral suspension sample's transparency, CaF₂ was utilized as the window material in order to eliminate multiple internal reflections. In contrast, the SERS spectra of SARS-CoV-2 measurements in the spectral region between 1000 and 1700 cm⁻¹ indicate pronounced band enhancement, especially the SERS signals centered around 1000 cm⁻¹ (Fig. 3Ac and 3Bc), which shows highly reproducible bands with great uniformity and extraordinary sensitivity. The orientation on the surface of aggregated
AgNPs could also explain the adsorption of several amino acids with cyclic R side chains, such as aromatic ring in phenylamine at 1007 cm\(^{-1}\). As can be shown, our SERS-active substrate achieves a substrate with a very high enhancement magnitude, ranging from 0.2 to 1.6 \(\times 10^6\) (Raman Intensity). However, some bands in the SERS spectra of SARS-CoV-2 show fluctuation in relative band shapes and intensities in other spectral regions between 400 and 1000 cm\(^{-1}\) and 1500 to 3500 cm\(^{-1}\), respectively. This is attributable to the fact that the SARS-CoV-2 biomolecules’ adsorption behavior on AgNPs aggregates. The enhancement of the electromagnetic field in the slits between AgNPs aggregates has been reported to be much higher \[16,19,23]\). Thus, before SERS measurements AgNPs are usually aggregated/agglomerated to generate such slits. Low-intensity shape bands were found, as well as a lack of high signal-to-noise ratios, implying the presence of several overlapping peaks. This could be because SARS-CoV-2 includes numerous biomolecules that all co-adsorb on the surface of AgNPs, causing peak broadening. Furthermore, the orientation of the adsorbate on the hot spots generated between the intraparticle gaps of AgNPs is likely modulated by the relative low intensity of the molecular components. In section 3.4, the SERS spectra, band intensities, and marker modes recorded in the 500–1700 and 300–3500 cm\(^{-1}\) regions, respectively, are examined in better detail.

3.2. SERS reproducibility of SARS-CoV-2

A total of 9 SARS-CoV-2 SERS spectra were acquired from 3 random places on the same active substrate for varied concentrations to demonstrate the reproducibility of SARS-CoV-2 SERS spectra. The experiment was conducted by dilutions of inactivated SARS-CoV-2 (stock solution) from \(10^3\) vp/mL to Fig. 3  The representative Raman/SERS spectra in the regions A) 500–1700 cm\(^{-1}\); and B) 300–3500 cm\(^{-1}\) region. are compared. Aa) and Ba) NR without AgNPs; Ab) and Bb) Clean CaF\(_2\) glass slide; Ac) and B-c) SARS-CoV-2- AgNPs-SERS substrate.
10⁴, 10³ and 10² (vp/mL) using ultrapure water, which served reference (Fig. 4A-D). Except for small intensity fluctuations in some bands, the SERS spectra varied with SARS-CoV-2 concentration, and the majority of the bands showed highly enhanced and reproducible SERS signals, implying the efficacy of AgNPs aggregate substrates. The excellent reproducibility could be ascribed to the virus cells’ high density distribution, which indicates that most of the macromolecules of SARS-CoV-2 come into direct contact with the AgNPs’ hot spots, which possible benefit to obtain a uniform SERS signal. We observed significant SERS signal enhancement with the concentration of SARS-CoV-2. For instance, high signal enhancement 6.5 x 10⁵ times over NR scattering is achieved for 10⁵ vp/mL of SARS-CoV-2 (Fig. 4A). In the SERS spectra, we identified the most significant bands as well as their reproducibilities reported as relative standard deviations (RSDs) at: 1007 cm⁻¹ (4 %); 1144 cm⁻¹ (15 %); and 1270 cm⁻¹ (22 %). The signal enhancement declined to ca. 3.5 x 10³, 1 x 10² and 4.3 x 10¹ for SARS-CoV-2 concentrations of 10⁴, 10³ and 10² vp/mL, respectively, for each intensity, including RSDs at: 1007 cm⁻¹, 1144 cm⁻¹, and 1270 cm⁻¹ detected for each intensity 1007 cm⁻¹, 1144 cm⁻¹, and 1270 cm⁻¹ (Fig. 4-B-D).

For SERS quantitative research, less than 20 % variance in SERS intensity between different locations of the active substrate is acceptable [25], in agreement with our findings. In addition, the SERS spectra for 10⁵ vp/mL SARS-CoV-2 concentration were recorded by mapping a small area (20 μm x 20 μm) on the slide in the range of 500 to 1700 cm⁻¹ (Supporting material Fig. 4S). The high reproducibility in this investigation can be ascribed to a homogeneous mixing of SARS-CoV-2 viral suspension and AgNPs of the same size (60 nm) and shape (spherical).

3.3. Limit of detection (LOD) of SARS-CoV-2 based on SERS method

The LOD was explored by comparing SERS signal enhancement with SARS-CoV-2 concentration; for instance, for 10⁵ vp/mL high signal enhancement of 6.5 x 10⁵ times over NR scattering was achieved (Fig. 4A). The signal enhancement declined to ca. 3.5 x 10³, 1 x 10² and 4.3 x 10¹ 7 for SARS-CoV-2 concentrations of 10⁴, 10³ and 10² vp/mL, respectively (Fig. 5B). The LOD was defined in the literature as the concentration of SARS-CoV-2 for which the strongest signal of SERS enhancement was equal to 3 times the background SERS signal intensity, with the background SERS signal intensity referring to the SERS signal from a sample with virus cells concentration of 0 [26]. In order to determine the LOD, the SERS intensities of three peaks at 1007, 1144, and 1270 cm⁻¹ were notably strong and the intensity of the peaks increased when the viral cell concentration of SARS-CoV-2 increased. The peak at 1007 cm⁻¹, on the other hand, was one of the strongest for SARS-CoV-2, and its intensity increased as the concentration of SARS-CoV-2 increased. As a result, the peak at 1007 cm⁻¹ was chosen as a hint vibration to estimate SARS-CoV-2 concentration and to evaluate the LOD for SARS-CoV-2 samples. The peak intensity at 1007 cm⁻¹ (I_{1007}) was plotted as a function SARS-CoV-2 concentration.
centration (Fig. 5C). The lowest concentration of SARS-CoV-2 found by this approach was lower than 10^3 vp/mL, based on the average and 3 times of the SERS signal marked as control. The peak intensity ($I_{1007}$) against the concentration of SARS-CoV-2 (vp/mL) with an $R^2$ value of 0.96 confirming that the lowest concentration of SARS-CoV-2 detected with this assay may be around 10^3 vp/mL.

3.4. SERS characterization of SARS-CoV-2

The current investigation, which took place from August to October 2020. The SERS technique was used to explore using AgNPs, SARS-CoV-2 characterization and detection of key biomolecules such as structural proteins derived from SARS-CoV-2 composition. The average typical SERS spectra of SARS-CoV-2 mixed with aggregated AgNPs are shown in the region between 500 and 1700 cm$^{-1}$ (Fig. 6A) and 300 and 3500 cm$^{-1}$ (Fig. 6B), respectively. Table 1 shows the complete list of peaks wavenumber for SARS-CoV-2 as well as suitable tentative biomolecules assignments. The primary vibrational sharp and intense signals are visible in the SERS spectrum of SARS-CoV-2 at 1007, 1221, 1453 and 1270 cm$^{-1}$; in the mid intense at 1095, 1144, 1580 cm$^{-1}$; broad low intense at 1500 and 733 cm$^{-1}$; low intense at 1405, 954, 1381, 1304 cm$^{-1}$; and very low intense signals in the region between 500 and 887 cm$^{-1}$. The pronounced intense band centred at 1007 cm$^{-1}$, which is assigned to Phe marker, and the $C\equiv C$ skeletal stretching vibration of the phenylalanine ring combined with $C\equiv H$ in plane. Other vibrational modes of Phe located at 1001 cm$^{-1}$ attributed to the symmetric ring breathing mode; 1018 and 1022 cm$^{-1}$ assigned to the in-plane $C\equiv H$ bending, at 1032 cm$^{-1}$ attributed to in-phase motion $\nu(C\equiv C)$ and $\delta(C\equiv C\equiv H)$ [12]. The next intense band at 1221 cm$^{-1}$ is ascribed to PO$_2^-$, NH$_4^+$ markers of amide III, which result from the coupling of $\nu(C\equiv N)$ and $\delta(N\equiv H)$.
modes are included in the amide groups. At 1270 cm\(^{-1}\) in-plane modes of N also indented in the SERS spectra of other viruses\([17,18]\). Vibrational modes exist in the amide I, II and III, 1075 cm\(^{-1}\)/C\textsubscript{0} Fig. 6 B, this band appears to have shifted slightly to 1095 cm\(^{-1}\), an enhancement in the vibration of these molecules at 1007 cm\(^{-1}\)/C\textsubscript{0} attributed to \(\gamma\)-C\textsubscript{N} stretching proteins marker, and at 1500 cm\(^{-1}\), which is due to the presence of amide II u(C\textsubscript{N}=C) in benzenoid ring, amide II 1580 cm\(^{-1}\) A,G (DNA/RNA), C\textsubscript{C}==C\textsubscript{C} bending mode of Phe 1606 cm\(^{-1}\) Phe ring bond vibration, in-phase motion of u(C\textsubscript{C}==C\textsubscript{C}) 1, a strong band at 1070 cm\(^{-1}\) attributed to \(\gamma\)-C\textsubscript{N} also indented in the SERS spectra of other viruses\([17,18]\). This spectral shift can be attributed to the molecular layers coating the AgNPs, and the relative intensity of the molecular components is modulated by the orientation of the adsorbate onto the AgNPs surfaces. The next medium-intense bands appear at 1144 cm\(^{-1}\) which correspond to C\textsubscript{N} streching proteins marker, and at 1500 cm\(^{-1}\), which is due to the presence of amide II u(C\textsubscript{N}=C) in benzenoid ring, indicating that SARS-CoV-2 molecules are adsorbed to AgNPs carboxyl groups and other molecules related to amino groups. The ring breathing modes in purine DNA/RNA are assigned to the low-broad intense at 733 (Fig. 6A) and 743 cm\(^{-1}\) from the phenyl ring bond-stretching vibrations, assigned to in-phase motion of u(C\textsubscript{C}==C\textsubscript{C}). Low-intensity bands at 1405 cm\(^{-1}\) in the 1300–1650 cm\(^{-1}\) region attributable to CH\textsubscript{2} scissoring, shifted to 1430 cm\(^{-1}\), attributed to adenine or guanine, the band at 1606 cm\(^{-1}\) from the phenyl ring bond-stretching vibrations, assigned to in-phase motion of u(C\textsubscript{C}==C\textsubscript{C}). Low-intensity bands at 1304, 1352, and 1381 cm\(^{-1}\) (Fig. 6A) were ascribed to Amide II (protein) cytosine, purines (T,A,G), and tryptophan.. The next low intensity bands are seen at 954 and 915 cm\(^{-1}\) which correspond to the proteins u(C\textsubscript{O}=O) and C\textsubscript{C}–N and u (C-
y Wang, Y. Wang, Y. Chen, Q. Qin, Unique epidemiological... virus infection spread everywhere, in order to battle COVID-19 infectious disease. Finally, the SERS technique we proposed for detecting, characterizing and monitoring of SARS-CoV-2 variants is quick, precise, and cost-effective with high sensitivity.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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