Pharmacotherapies in Heart Failure With Preserved Ejection Fraction: A Systematic Review and Meta-Analysis of Randomized Controlled Trials

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Abstract

Background: Heart failure (HF) with preserved ejection fraction (HFpEF) causes significant cardiovascular morbidity and mortality. It is a growing problem in the developed world, especially, in the aging population. There is a paucity of data on the treatment of patients with HFpEF. We aimed to identify pharmacotherapies that improve peak oxygen consumption (peak VO\textsubscript{2}), cardiovascular mortality, and HF hospitalizations in patients with HFpEF.

Methods: We conducted a systematic literature search for English studies in PubMed, EMBASE, Cochrane Central Register of Controlled Trials, Web of Science, Scopus, and Google scholar. We searched databases using terms relating to or describing HFpEF, stage C HFpEF, and diastolic HF and included only randomized controlled trials (RCTs). RevMan 5.4 (The Cochrane Collaboration, 2020, London, UK) was used for data analysis, and two independent investigators performed literature retrieval and data-extraction. We used PRISMA guidelines to report the outcomes. We included 14 articles in our systematic review and six studies in meta-analysis.

Results: We calculated the pooled mean difference (MD) of peak VO\textsubscript{2} between placebo and pharmacotherapies. Our meta-analysis showed that the peak VO\textsubscript{2} was comparable between pharmacotherapies and placebo in HFpEF (MD = 0.09, 95% CI: −0.11, 0.30, I\textsuperscript{2}=28%). Our systematic review highlights that statins and spironolactone use should be further studied in larger RCTs due to their potential beneficial effect on all-cause mortality and hospitalizations, respectively.

Conclusion: Compared to placebo, none of the pharmacotherapies significantly improved peak VO2 in HFpEF except ivabradine. In our meta-analysis, the pooled improvement in peak VO\textsubscript{2} is non-significant. This needs validation with larger studies. We are lacking larger studies on pharmacotherapies that improve peak VO\textsubscript{2} in HFpEF. Statin and spironolactone should be further studied in patients with HFpEF as few trials have shown improvement in all-cause mortality and reduction in HF hospitalizations in selected patients, respectively.

Introduction

More than 6.2 million adults in the United States suffer from heart failure (HF) [1], HF with preserved ejection fraction (HFpEF) composes half of all patients with HF [2]. Patients with HFpEF are more likely to be older, female, and have multiple co-morbid conditions, and no drugs have yet been shown to improve morbidity and mortality [3]. Symptom burden and adverse outcomes of HFpEF are similar to patients with HF with a reduced ejection fraction (HFrEF) [4]. American College of Cardiology/American Heart Association 2017 Guidelines recommend management of HFpEF by treating the contributing factors and comorbidities that are frequently present and significantly impact the clinical course. The most common include hypertension, lung disease, coronary artery disease, obesity, anemia, diabetes mellitus, kidney disease, and sleep-disordered breathing [5]. There is a paucity of data on newer pharmacotherapies in HFpEF. The aim of this analysis was to identify pharmacotherapies that improve peak oxygen consumption (peak VO\textsubscript{2}), cardiovascular mortality, and HF hospitalizations in patients with HFpEF.

Materials And Methods

Search strategy

A comprehensive literature search was performed on PubMed, Cochrane database, Embase, Google Scholar, and Web of Science identifying using relevant Medical Subject Headings (MeSH) and key word termed HFpEF (Heart Failure with Preserved Ejection Fraction) or HFnEF (Heart Failure with Normal Ejection Fraction) and "management," "pharmacotherapy," "future therapy," "Nephrilysin"
inhibitor," "sacubitril," "valsartan," "Interleukin-1 Blocker," "anakinra," "Phosphodiesterase-5 inhibitor," "sildenafil," "If-channel inhibitor," " Ivabradine," "endothelin type A receptor antagonist," "sitaxsentan," "inhaled β-adrenergic agonist," "albuterol," "metformin," "luseogliflozin," "voglibose," "Ranolazine," "statins," "digoxin," "Neladenoson," "Erythropoieti L-arginine L-citrulline," "Serelaxin," "Spironolactone," "aldosterone antagonist," and "CoQ" with additional filters of human studies and customized articles in accordance with Preferred Reporting Items for Systematic Reviews and Meta-analysis (PRISMA) guidelines [6]. A staged literature search was performed. All identified articles reference lists were analyzed for additional studies through further snowball sampling. All relevant articles were screened and only appropriate articles included after full-text analysis.

Inclusion and exclusion criteria

We included human studies on patients with diagnosed HFpEF based on an ejection fraction more than or equal to 45% and discussing management of HFpEF for full-text analysis. We excluded editorials, consensus documents, commentaries, review articles, and case reports. We excluded studies with an ejection fraction less than 45%.

Data extraction

All articles were screened by two authors and any disagreement was reached by consensus or involvement of a third author. Data were extracted by two authors and validated by a third author.

Risk of Bias Assessment

Cochrane Collaboration risk of bias tool was used to assess the risk of bias. The quality of included studies was assessed by two authors with the help of the Cochrane Risk of Bias assessment tool. The risk of bias of the included studies was graded as low in the following aspects: random sequence generation, allocation concealment, blinding of participants and personnel, incomplete outcome data, selective reporting, and other biases. The risk of bias in the blinding of outcome assessment was graded as high (Figure 1).

| Study           | Random sequence generation (selection bias) | Allocation concealment (selection bias) | Blinding of participants and personnel (performance bias) | Blinding of outcome assessment (detection bias) | Incomplete outcome data (attrition bias) | Selective reporting (reporting bias) | Other bias |
|-----------------|--------------------------------------------|----------------------------------------|--------------------------------------------------------|-----------------------------------------------|---------------------------------------|-------------------------------------|-----------|
| Tassell 2018 [7] | ⬤                                         | ⬤                                      | ⬤                                                      | ⬤                                             | ⬤                                     | ⬤                                   | ⬤         |
| Macrae 2011 [11] | ⬤                                         | ⬤                                      | ⬤                                                      | ⬤                                             | ⬤                                     | ⬤                                   | ⬤         |
| Rumeau 2016 [9]  | ⬤                                         | ⬤                                      | ⬤                                                      | ⬤                                             | ⬤                                     | ⬤                                   | ⬤         |

FIGURE 1: Cochrane Risk of Bias tool showing the risk of bias in included randomized controlled trials

Results

Studies included

The search using the appropriate terms in January 2021 yielded 1225 potentially relevant articles. In addition, 41 potential articles were included through Web of Science, Embase.com, and Review of references. We included only 14 articles randomizing 6370 participants for 10 different pharmacotherapies according to the homogeneity of these studies with our inclusion criteria (Figure 2).
We included six of the RCTs commenting on peak VO\textsubscript{2} for the meta-analysis comparing pharmacotherapies with placebo. The rest of the RCTs did not comment on peak VO\textsubscript{2} (Table 1).

| S.N. | Study drug and trial | Type of study | Inclusion criteria | Sample size | Outcome: Improvement seen in | Mortality benefits | Hemodynamics and biomarkers | Changes in peak VO\textsubscript{2} | 6MWD, QoL |
|------|----------------------|---------------|--------------------|-------------|------------------------------|--------------------|----------------------------|----------------------------------|-------------|
| 1    | Anakinra DHART 2 trial [7] | Double-blind, placebo-controlled RCT | LVEF≥50% NYHA class II-III | 31          | No improvement is seen. No significant improvement in peak VO\textsubscript{2}. | -                  | -                          | -                                | -           |
| 2    | Sildenafil RELAX trial [8] | Multicenter, double-blind, parallel-group RCT | LVEF≥50% | 216         | No improvement was seen in peak VO\textsubscript{2}. | -                  | -                          | -                                | -           |
| 3    | Nebulized inhaled sodium nitrite [9] | Single-center, double-blind, parallel-group RCT | LVEF≥50% | 26          | No any improvement in CO or stroke volume | Not available | Reduces PCWP, biventricular filling pressure, and pulmonary artery | -                                | -           |
**TABLE 1: Characteristics of Included Studies**

| Study | Design | Baseline | Follow-up | Main Findings |
|-------|--------|----------|-----------|---------------|
| 4 Inorganic nitrite INDIE-HFpEF trial [10] | Multicenter, double-blind, placebo-controlled, 2-treatment, crossover trial | LVEF≥50% | N=105 | No improvement was seen in peak VO\textsubscript{2} after treatment for four weeks. |
| 5 Statin (CHART-2) [11] | An observational study from Japanese registry | LVEF≥50% | N=4544 | Reduced incidence of all-cause death, non-cardiovascular death, and sudden death. |
| 6 Digoxin DIG trial [12] | Subgroup and retrospective analysis from DIG trial | LVEF≥50% | N=719 | No mortality benefit in the subgroup of HFpEF. |
| 7 Ivalanadine [13] | | LVEF≥50% | N=61 | LV filling pressure |
| 8 Ranolazine RALI-DHF trial [14] | Prospective, double-blind, placebo-controlled RCT | LVEF≥45% | N=20 | Decrease LVEDP and PCWP |
| 9 Sitaxsendan [15] | Multicenter, double-blind, RCT | LVEF≥50%, NYHA class II-III | N=192 | Improvement in treadmill exercise time after six months and exercise tolerance |
| 10 Serexalin (RELAX-AHF) [16] | RCT, multicenter, double-blind, placebo-controlled | LVEF≥50% | N=281 | Improved dyspnea |
| 11 Sacubitril–valsartan PARAGON-HF trial [17] | Prospective, multicenter, double-blind, RCT | LVEF≥45%, stage C HFpEF, hospitalization within 12 months or elevated BNP/NTpro-BNP, Exclusion: uncontrolled HTN, serum potassium > 5.0 mmol/L, creatinine >2.5 mg/dL, or eGFR <30 mL/min per 1.73 m\textsuperscript{2}. | N=4822 | No mortality benefit and not significantly lower rate of total HFpEF hospitalizations. |
| 12 Spironolactone TOPCAT trial [18] | International, multicenter, double-blind RCT | LVEF≥5%, stage C HFpEF, hospitalization within 12 months or elevated BNP/NTpro-BNP, Exclusion: uncontrolled HTN, serum potassium > 5.0 mmol/L, creatinine >2.5 mg/dL, or eGFR <30 mL/min per 1.73 m\textsuperscript{2}. | N=3445 | Did not comment on peak VO\textsubscript{2} or quality of life. |
| 13 Spironolactone ALDO-DHF trial [19] | Prospective, multicenter, double-blind RCT | LVEF≥50%, NYHA class II-III | N=422 | No change in hospitalizations. |

6MWD: six-minute walk distance, peak VO\textsubscript{2} peak oxygen consumption, QoL: quality of life, NYHA: New York Heart Association, LVEF: left ventricular ejection fraction, RCT: randomized controlled trial, N: number of participants, HFpEF: heart failure with preserved ejection fraction, eGFR: estimated glomerular filtration rate, PCWP: pulmonary capillary wedge pressure, LVEDP: left ventricular end-diastolic pressure, DIG: digitalis investigation group, DHART-2: diastolic heart failure Anakinra response trial 2, CHART-2: congestive heart failure cardiopoietic regenerative therapy.
Change in Peak VO\(_2\) among the RCTs: the pooled results from six studies showed that the mean difference in peak VO\(_2\) between pharmacotherapies versus placebo was 0.09, 95% CI: −0.11, 0.30, I\(^2\) =28%. This shows that the mean difference of peak VO\(_2\) between the two groups is comparable (Figure 3).

**FIGURE 3:** Forest plot showing a change in peak oxygen consumption between pharmacotherapies versus placebo

**Discussion**

**Pharmacotherapies showing improvement of peak VO\(_2\)**

In our study, the pooled increase in peak VO\(_2\) of 0.09 ml/kg/min is not statistically significant. Peak VO\(_2\) is an objective parameter for cardiorespiratory fitness. In a study by Mancini et al., the increase in peak VO\(_2\) from 10 ml/kg/min to 14 ml/kg/min in HF patients was associated with a high increase in cumulative survival [20]. In a recent study on inspiratory muscle training in HFpEF, inspiratory muscle training was associated with an increase in peak VO\(_2\) and six minutes walk distance [21]. In our study, the change in peak VO\(_2\) with pharmacotherapies is comparable with placebo.

**Pharmacotherapies showing a mortality benefit**

Out of the 14 included RCTs, only six RCTs or post-hoc of RCTs compared the all-cause mortality with placebo in HFpEF. In CHART-2 trial, the incidence of three-year mortality was lower in statin group compared to placebo (8.7% vs 14.5%, HR: 0.74; 95% CI: 0.58, 0.94) [11]. In the DIG trial, there was a total of 87 deaths in the digoxin group and 89 deaths in the placebo (HR: 1.06; 95% CI: 0.79, 1.42) [12]. In RELAX-AHF trial, there were total 11 (8.08%) deaths in serelaxin group and 16 (11.32%) deaths in placebo group (HR: 0.70; 95% CI:0.52, 1.50) [16]. In the TOPCAT trial, the primary composite event (cardiovascular death, aborted cardiac arrest, or hospitalizations for HF) rate was not significantly reduced. However, only the hospitalization for HF had a statistically significant reduction in the treatment group compared to placebo (HR: 0.83; 95% CI: 0.69-0.99) [18, 22]. It is clearly evident from the trials that there have been no promising results for mortality benefit or hospitalization except with statin and spironolactone in selected patients with HFpEF (with EF ≥45%, elevated BNP or HF admission within one year, estimated glomerular filtration rate >30 and creatinine <2.5 mg/dl, potassium <5.0 mEq /L), to decrease hospitalizations patients [22]. However, no improvement was seen in the quality of life with statin [11]. Another study by Alehagen et al. done from prospective Swedish Heart Failure Registry in 9140 with HFpEF with EF more than or equal to 50%, 3427 patients were treated with a statin. Statin showed benefits by reducing cardiovascular death (HR: 0.80; 95% CI; 0.72-0.89; P<0.001) and composite all-cause mortality or cardiovascular hospitalizations (HR: 0.89; 95% CI; 0.82-0.96; P=0.0005) [23].

**Pharmacotherapies showing improvement in hemodynamics**

The study by Kosmala et al. showed improved LV filling pressure and improvement in exercise capacity (metabolic equivalent) when treated with Ivabradine, a selective sinus node inward "funny" (If) channel inhibitor. The study measured these markers only at rest, not during exercise, and the sample size was only 61 [13]. In the RALLI-DHF trial with 20 participants, Ranolazine decreased LV end-diastolic pressure and pulmonary capillary wedge pressure [14]. The study by Borlaug et al. showed inhaled sodium nitrite reduces biventricular filling pressures and pulmonary artery pressures at rest and during exercise in HFpEF [9]. In elderly patients with HFpEF, oral nitrate (delivered as beetroot juice) improves exercise capacity, vasodilation, and cardiac output reserve. This study shows inhaled nitrite could be of potential use for exercise and quality of life improvement for HFpEF [24].

**Limitations**

In our systematic review and meta-analysis, we found a limited number of studies done on novel pharmacotherapies and our sample size is not large enough to provide sufficient power. Definitions for HFpEF were not standardized. Ten of the 12 studies defined an EF of >50% as HFpEF, while two of the RCTs defined an EF of >45% as HFpEF. This varied cutoff used in RCTs to define HFpEF shows a lack of a universal approach in defining HFpEF [5].

**Conclusions**

The mortality, morbidity, and economic burden of HFpEF are huge. There are no clear-cut interventions to the date shown to have mortality benefits in such patients. Uniform definitions for the disease and a
consensus on disease management are lacking. Many new pathophysiologic models seem to be promising and can be potential targets for the future. Compared to placebo, none of the pharmacotherapies improved peak VO₂ in HFpEF except ivabradine. This needs validation with larger studies. Statin and spironolactone should be further studied in patients with HFpEF as few trials have shown improvement in all-cause mortality and reduction in HF hospitalizations in selected patients, respectively.

Additional Information

Disclosures

Human subjects: All authors have confirmed that this study did not involve human participants or tissue. Animal subjects: All authors have confirmed that this study did not involve animal subjects or tissue. Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: Payment/services info: All authors have declared that no financial support was received from any organization for the submitted work. Financial relationships: All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. Other relationships: All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

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