Recovering the Lie algebra from its extremal geometry

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Abstract

An element $x$ of a Lie algebra $L$ over the field $F$ is extremal if $[x, [x, L]] = Fx$. Under minor assumptions, it is known that, for a simple Lie algebra $L$, the extremal geometry $E(L)$ is a subspace of the projective geometry of $L$ and either has no lines or is the root shadow space of an irreducible spherical building $\Delta$. We prove that if $\Delta$ is of simply-laced type, then $L$ is a quotient of a Chevalley algebra of the same type.

1 Introduction

Lie theory is an active and important field whose applications are widespread throughout mathematics and physics. Underlying objects in Lie theory are the simple Lie algebras which have striking connections to algebraic groups and buildings. Simple complex Lie algebras were classified by Killing [K] and Cartan [C] in the late nineteenth century. In the second half of the twentieth century, through the efforts of many mathematicians, a classification of all simple finite-dimensional Lie algebras over algebraically closed fields with characteristic at least 5 was achieved (c.f. [S1, S2]). The two main classes of Lie algebras that emerge from the result are the classical Lie algebras derived from the simple complex Lie algebras and the Lie algebras of Cartan type derived from the Witt algebras.

Given a simple complex Lie algebra $\mathfrak{g}$ corresponding to a root system $\Phi$ of type $X_n$, we can construct its $\mathbb{Z}$-form $\mathfrak{g}_\mathbb{Z}$ by taking the integral span of a Chevalley basis of $\mathfrak{g}$. By tensoring $\mathfrak{g}_\mathbb{Z}$ with an arbitrary field $F$ we obtain the Chevalley algebra $\mathfrak{g}_F$. A classical Lie algebra (or simply a classical algebra) of type $X_n$ over $F$ is defined as an arbitrary nonzero quotient of the Chevalley
algebra $\mathfrak{g}_F$. For classical Lie algebras we have the familiar notions such as Cartan subalgebra, root elements, etc.

Extremal elements are extensively utilised in the classification of simple modular Lie algebras over algebraically closed fields and there is good evidence to believe that they should play a crucial role for arbitrary fields. An element $x$ of a Lie algebra $L$ over a field $F$ is extremal if $[x, [x, L]] \subseteq Fx$ and such an element $x$ is a sandwich if $[x, [x, L]] = 0$ (additional conditions are needed when $F$ has characteristic 2). Examples of extremal elements are the long root elements of the classical algebras. In [P], Premet shows that any simple Lie algebra over an algebraically closed field of characteristic at least 5 contains a nonzero extremal element. It is shown in [CIR] that, with one exception, a simple Lie algebra over an arbitrary field of characteristic at least 5 that contains a non-sandwich extremal element is generated by such elements.

Extremal elements are also the focal point of the effort spearheaded by Cohen, et al., to provide a geometric characterisation of the classical Lie algebras. In [CI1], Cohen and Ivanyos define a point-line space on the set of nonzero extremal elements of $L$ called the extremal geometry denoted by $\mathcal{E}(L)$. By combining the results in [CI1 CI2 KS], one can conclude that if $L$ is finite-dimensional, simple and generated by extremal elements with no sandwiches, then $\mathcal{E}(L)$ either contains no lines or is the root shadow space of a spherical building. An interesting and important question is whether one can recover the algebra $L$ from the geometry $\mathcal{E}(L)$. By using the theory of buildings first introduced by Tits in [T], this would provide a geometric interpretation of the classical Lie algebras analogous to his own achievement for the algebraic groups. In the PhD thesis of the second author [R] this question was addressed in the case of simply-laced types and, in particular, a complete solution was obtained for the diagram $A_n$. In this paper we provide a uniform treatment of all simply-laced diagrams. Namely, we show that if $\mathcal{E}(L)$ is of simply-laced type, then $L$ is indeed a classical Lie algebra of the same type.

**Theorem 1.1** Suppose that $L$ is a finite-dimensional simple Lie algebra generated by its extremal elements and contains no sandwiches. Furthermore, suppose that the extremal geometry $\mathcal{E}(L)$ is the root shadow space of a building of type $A_n$ ($n \geq 2$), $D_n$ ($n \geq 4$), $E_6$, $E_7$ or $E_8$. Then $L$ is a classical Lie algebra of the same type as $\mathcal{E}(L)$.

The outline of our proof is as follows. We fix an apartment $\Sigma$ in the building $\Delta$ related to $\mathcal{E}(L)$ and, using the known results about root shadow spaces, we deduce that $\Sigma$ gives a set of elements in $L$ that satisfy the familiar properties of a Chevalley basis. In particular, $L$ contains a classical
subalgebra $L'$. From the Moufang condition of $\mathcal{E}(L)$, we are able to show that certain subgroups of $\text{Aut}(\mathcal{E})$ associated with extremal elements have the same action on $\mathcal{E}(L)$ as the root subgroups of $\Delta$. In particular, using the standard theory of buildings, the group generated by such subgroups of $\text{Aut}(L)$ acts transitively on the apartments of $\mathcal{E}(L)$. We then deduce that the classical subalgebra $L'$ is in fact all of $L$.

The paper is organised in the following way. In Section 2, we describe the relationship between the root shadow space of an apartment, wherein points are described as arctic regions, and the corresponding root system. We survey the known results concerning root shadow spaces and construct a dictionary between root shadow spaces and two different interpretations of root systems. In Section 3, we introduce root filtration spaces that are defined as particular filtrations on sets partitioned by five symmetric relations. We focus on an important example that emerges from the extremal elements of a Lie algebra $L$. In particular, the points of this root filtration space are the 1-spaces generated by extremal elements and we call it the extremal geometry $\mathcal{E}(L)$ of $L$. We then extend the dictionary constructed in Section 3 by adding the new language of extremal elements. Section 4 is devoted to a characterisation of classical Lie algebras of simply-laced type as algebras generated by a so-called Chevalley spanning sets (a generalisation of Chevalley bases). This characterisation is used in Section 5 to construct a classical subalgebra $L'$ of $L$ whenever $\mathcal{E}(L)$ is simply-laced. In Section 6 we discuss the notion of a root subgroup of $\mathcal{E}(L)$ and show that for each extremal element $x$, the map $\exp(x, t)$ produces such a group. Using this we deduce in the final section that the classical subalgebra $L'$ coincides with $L$, finishing the proof of the main result, Theorem 1.1.

### 2 Root shadow spaces and polar regions

In this section we explore the point-line spaces known as root shadow spaces and their relationship with polar regions of long roots. We view buildings as chamber systems and adopt the language of [W2]. While this paper is mainly concerned with the simply-laced diagrams, the results we survey in this section also hold for non-simply-laced diagrams. Let $\Delta$ be an irreducible spherical building of type $X_n$ with corresponding root system $\Phi$. (Note that in the case where the building is of type $BC_n$, we only consider the type $B_n$). Let $I = \{1, \ldots, n\}$ be the index set of $\Delta$.

**Definition 2.1** Let $J$ be a fixed subset of $I$. A $J$-shadow in $\Delta$ is an $(I \setminus J)$-residue. For each $j \in J$, a $j$-line is the set of all $J$-shadows that contain
chambers from a given j-panel. Let \( \mathcal{P} \) be the set of \( J \)-shadows and \( \mathcal{L} \) be the set of \( j \)-lines for \( j \in J \). We say that \((\mathcal{P}, \mathcal{L})\) is the \( J \)-shadow space (or shadow space of type \( X_{n,J} \)). If \( J \) is the set \( \{j\} \) then we write \( X_{n,j} \) instead of \( X_{n,J} \).

In this paper we focus on a particular set \( J \) defined as follows. Fix a fundamental system of simple roots \( B = \{\alpha_i \mid i \in I\} \) for \( \Phi \) and denote the longest root with respect to \( B \) by \( \alpha \). Define the set \( J \subset I \) consisting of all \( i \in I \) such that \( (\alpha, \alpha_i) \neq 0 \). This corresponds to the set of nodes in the Dynkin diagram of \( \Phi \) that are joined to the new node in its corresponding extended Dynkin diagram. We call \( J \) the root set. The root set is \( J = \{1, n\} \) for \( A_n \), \( J = \{2\} \) for \( (BC)_n, D_n, E_6, G_2 \), \( J = \{1\} \) for \( E_7, F_4 \), and \( J = \{8\} \) for \( E_8 \). (We use the Bourbaki labelling of the Dynkin diagrams as in [CI1, CI2, MW]). The root shadow space of type \( X_{n,J} \), where \( J \) is the root set, is simply called the root shadow space of \( \Delta \) and we denote it by \( \text{RSh}(\Delta) \).

From now on \( J \) is always a root set. Another description of the root shadow space of an irreducible building is in terms of its long root geometry (c.f. [Co1]).

Let \( \Sigma \) be an apartment of \( \Delta \). There is a natural one-to-one correspondence between the half-apartments of \( \Sigma \) and the roots of \( \Phi \). The details of this can be found in Chapter 2 of [W1]. It is common to simply identify the above two concepts with one another and, in particular, half-apartments are commonly referred to as roots. We will use the “half-apartment” terminology because we use both contexts in parallel in this section and Section 6. This distinction in terminology will allow us to use the same notation for roots and half-apartments: say, if \( \alpha \) is a root in \( \Phi \), then we also use the same \( \alpha \) to denote the corresponding half-apartment in \( \Sigma \), and vice versa.

The arctic regions of half-apartments were first introduced in Chapter 6 of [W2] and are later given a rigorous treatment in the paper [MW]. The arctic region of a half-apartment \( \alpha \) of \( \Sigma \) is the set of chambers in \( \alpha \) farthest away from the boundary \( \partial \alpha \) of \( \alpha \). More formally, we have the following definition.

**Definition 2.2** Let \( \alpha \) be a half-apartment and \( \Sigma \) be an apartment containing \( \alpha \). The arctic region of \( \alpha \) is the set of chambers \( x \) in \( \alpha \) with the property that \( \text{dist}(x, \partial \alpha) \geq \text{dist}(y, \partial \alpha) \) whenever \( y \) is in \( \alpha \) and \( x \) and \( y \) are incident. We denote the arctic region of \( \alpha \) by \( R_\alpha \).

The arctic region \( R_\alpha \) is a residue in \( \Sigma \) (Lemma 6.9 of [W2]). If \( \alpha \) is a long root in \( \Phi \), then \( R_\alpha \) is a \( J \)-shadow of \( \Sigma \) and every \( J \)-shadow arises in this way from a half-apartment corresponding to a long root of \( \Phi \). Note that \( R_\alpha \) is defined in terms of \( \alpha \) and \( \partial \alpha \) and is therefore independent of the choice of apartment \( \Sigma \). We now return to the building \( \Delta \).
Table 1: Translation between the different interpretations of the long root geometry.

| $\theta_{\alpha\beta}$ | $d_{\alpha,\beta}$ | $N_{\alpha,\beta}$ | Half-apartments in $\Sigma$ |
|-------------------------|---------------------|---------------------|-----------------------------|
| 0                       | 0                   |                     | $\alpha = \beta$            |
| $\pi/3$                 | 1                   |                     | $R_\alpha \subset \beta$    |
|                         |                     |                     | $R_\beta \subset \alpha$    |
| $\pi/2$                 | 2                   | $> 1$               | $R_\alpha \cap \beta \neq \emptyset$ |
|                         |                     |                     | $R_\beta \cap \alpha \neq \emptyset$ |
| $2\pi/3$                | 2                   | 1                   | $R_\alpha \subset -\beta$   |
|                         |                     |                     | $(R_{\alpha+\beta})$        |
| $\pi$                   | 3                   | 0                   | $\alpha = -\beta$           |

Definition 2.3 The polar region of a half-apartment $\alpha$ is the unique $J$-shadow of $\Delta$ containing the arctic region $R_\alpha$.

Let $R$ be the polar region of a half-apartment $\alpha$ in $\Sigma$. Note that $\Sigma$ itself is a thin building and so we can consider the situation where $\Delta = \Sigma$. (Then polar regions and arctic regions coincide.) Hence we can consider the root shadow space $RSh(\Sigma)$. Mapping every arctic region in $\Sigma$ to the (extended) polar region of $\Delta$ that contains it gives rise to an embedding of $RSh(\Sigma)$ into $RSh(\Delta)$. In fact, it will be convenient to identify $RSh(\Sigma)$ with its image in $RSh(\Delta)$. We next recall a well-known dictionary between roots in $\Phi$, half-apartments in $\Sigma$ and $J$-shadows in $RSh(\Sigma)$.

Fix an apartment $\Sigma$ and the corresponding root system $\Phi$. Let $\alpha$ and $\beta$ be long roots from $\Phi$ and $R_\alpha$ and $R_\beta$ be the corresponding arctic regions of $\Sigma$. Let $\theta_{\alpha\beta}$ denote the angle between $\alpha$ and $\beta$ in $\Phi$, let $d_{\alpha\beta}$ denote the distance between $R_\alpha$ and $R_\beta$ in the collinearity graph of $RSh(\Sigma)$ and let $N_{\alpha\beta}$ denote the number of common neighbours of $R_\alpha$ and $R_\beta$ when they are non-collinear. Table 1 describes the relationships between these parameters. Note that $-\alpha$ is the half-apartment complementary to $\alpha$ and that $\alpha + \beta$ is the half-apartment corresponding to the root $\alpha + \beta$ of $\Phi$.

The values of parameters $d_{\alpha,\beta}$ and $N_{\alpha,\beta}$ do not change if we substitute the arctic regions of $\Sigma$ with the corresponding polar regions of $\Delta$ and substitute $RSh(\Sigma)$ with $RSh(\Delta)$. Note that for any two polar regions of $\Delta$ there is always an apartment $\Sigma$ that intersects both of them and so any two polar regions are in one of the five relations given in Table 1. All the details can be found in [CI2, R].
3 Root filtration spaces and Lie algebras

Root filtration spaces were introduced by Cohen and Ivanyos in [CI1]. They were inspired by the examples of the so-called long root geometries which are in fact the same as the root shadow spaces introduced in Section 2.

A point-line space (or simply a space) is a pair \((P, L)\) where \(P\) is a set whose members are points and \(L\) is a collection of subsets of \(P\), whose members are lines. Two points of \(P\) are collinear if they are contained in a common line in \(L\). For example, the root shadow spaces are examples of point-line spaces. A space is called a partial linear space if every pair of distinct points lie on at most one common line, a singular space if every two points lie on a common line, and a linear space if it is both a singular and partial linear space. A subset \(X\) of \(P\) is a subspace if it contains all the points of a line \(l\) of \(L\) whenever \(X \cap l\) contains at least two points. Note that in a partial linear space every line is a subspace. A line is thick if it contains at least three points. A hyperplane is a subspace that meets every line in at least one point. In particular, it either contains a line or intersects it in a unique point. The rank of a linear space \(X\) is the length of a maximal chain of proper nontrivial subspaces. The rank of a trivial subspace is 0 and the rank of a line is 1. The singular rank of a space is the supremum of the ranks of all maximal singular subspaces.

The collinearity graph of \((P, L)\) is a graph whose vertex set is \(P\) and two distinct points are adjacent whenever they are collinear.

**Definition 3.1** Let \((E, F)\) be a partial linear space and \(\{E_i\}_{-2 \leq i \leq 2}\) be a set of symmetric relations on \(E\) partitioning \(E \times E\). Let \(E_{\leq j} = \bigcup_{i=-2}^{j} E_i\). The space \((E, F)\) is a root filtration space with filtration \(\{E_i\}_{-2 \leq i \leq 2}\) if the following hold.

1. **(A)** \(E_{-2}\) is equality between points in \(E\).
2. **(B)** \(E_{-1}\) is collinearity between distinct points in \(E\).
3. **(C)** There exists a map \(E_1 \rightarrow E\), denoted by \((x, y) \mapsto [x, y]\), such that \([x, y] \in E_{\leq i+j}(z)\) whenever \(z \in E_i(x) \cap E_j(y)\).
4. **(D)** If \((x, y) \in E_2\), then \(E_{\leq 0}(x) \cap E_{\leq -1}(y)\) is empty.
5. **(E)** For each \(x \in E\), \(E_{\leq -1}(x)\) and \(E_{\leq 0}(x)\) are subspaces of \((E, F)\).
6. **(F)** For each \(x \in E\), \(E_{\leq 1}(x)\) is a hyperplane of \((E, F)\).

The space \((E, F)\) is a nondegenerate root filtration space if the additional properties hold.
For each \( x \in \mathcal{E} \), \( \mathcal{E}_2(x) \) is nonempty.

The collinearity graph \((\mathcal{E}, \mathcal{E}_{-1})\) is connected.

Properties of root filtration spaces can be found in [CI1] and [CI2]. One important consequence of such properties is the following result.

**Lemma 3.2** Let \((\mathcal{E}, \mathcal{F})\) be a nondegenerate root filtration space. Then its defining relations can be characterised by the collinearity graph \((\mathcal{E}, \mathcal{E}_{-1})\) in the following way:

\(-2\) \((x, y) \in \mathcal{E}_{-2}\) if and only if \( x = y \);

\(-1\) \((x, y) \in \mathcal{E}_{-1}\) if and only if \( x \) and \( y \) are distinct collinear points;

\(0\) \((x, y) \in \mathcal{E}_0\) if and only if \( x \) and \( y \) are non-collinear and have at least two common neighbours;

\(1\) \((x, y) \in \mathcal{E}_1\) if and only if \( x \) and \( y \) are non-collinear and have a unique common neighbour;

\(2\) \((x, y) \in \mathcal{E}_2\) if and only if \( x \) and \( y \) are non-collinear and have no common neighbours.

Furthermore, pairs of points in \(\mathcal{E}_{-2}, \mathcal{E}_{-1}, \mathcal{E}_0 \cup \mathcal{E}_1\), and \(\mathcal{E}_2\) have distances between them equal to 0, 1, 2 and 3, respectively.

Essentially, the structure of a nondegenerate root filtration space can be recovered from the relation \(\mathcal{E}_{-1}\).

We often drop the \(\mathcal{F}\) and simply refer to the root filtration space as \(\mathcal{E}\). Any nondegenerate root filtration space \(\mathcal{E}\) with finite singular rank can be identified with a root shadow space of a building. This important result was proven, in a slightly different language, by Kasikova and Shult in [KS] when \(\mathcal{E}\) has polar rank at least 3 and by Cohen and Ivanyos in [CI2] when \(\mathcal{E}\) has polar rank 2. The proof entails the reconstruction of the building from \(\mathcal{E}\).

**Theorem 3.3** A nondegenerate root filtration space with finite singular rank is isomorphic to the root shadow space of type \(A_{n,\{1,n\}}, BC_{n,2}, D_{n,2}, E_{6,2}, E_{7,1}, E_{8,8}, F_{4,1}\), or \(G_{2,2}\).

Note that, formally speaking, Cohen and Ivanyos in [CI2] only claim isomorphism of the point-line spaces. Lemma 3.2 shows that the structure of the root filtration space can be uniquely recovered from its point-line space. Hence we do indeed get the isomorphism that preserves the five relations \(\mathcal{E}_i\).
of the root filtration space as a consequence of [CI2]. (Undoubtedly, Cohen and Ivanyos were aware of this.)

We now describe the root filtration space associated to the so-called extremal elements of a Lie algebra. Firstly, we need the precise definition of an extremal element which works for any field characteristic.

**Definition 3.4** Let $L$ be a Lie algebra over a field $F$. An element $x \in L$ is said to be extremal if there is a map $g_x : L \to F$ such that, for all $y$ and $z$ in $L$, we have

$$[x, [x, y]] = 2g_x(y)x,$$

and

$$[[x, y], [x, z]] = g_x([y, z])x + g_x(z)[x, y] - g_x(y)[x, z].$$

If $F$ does not have characteristic 2, then (1) implies (2). Hence, we end up with the familiar simple definition as in the introduction. Furthermore, in this case $g_x$ is clearly unique. If $F$ has characteristic 2, $g_x$ is not necessarily unique. For example, if $L$ is abelian, then $g_x(0) = 0$ is the only restriction.

An extremal element $x \in L$ is a sandwich whenever $g_x$ can be taken to be zero. By convention, we always take $g_x$ to be zero for sandwiches.

Under this convention, we can state the following result, which is essentially Proposition 20 from [CI1].

**Lemma 3.5** Suppose that $L$ is generated by its extremal elements. Then there is a unique bilinear form $g : L \times L \to F$ such that $g(x, y) = g_x(y)$ for every extremal element $x$. The form $g$ is symmetric and invariant under the Lie product. Namely, $g_x(y) = g_y(x)$ and $g_x([y, z]) = g_{[x, y]}(z)$ for all $x, y, z \in L$. Furthermore, $g_x(y) = 0$ whenever $[x, y] = 0$.

In the remainder of the paper, the Lie algebras we consider do not contain sandwich elements. Thus, extremal elements are considered to be exclusively non-sandwich extremal elements. In particular, the zero element is not considered extremal. Let $E$ be the set of extremal elements of $L$. Define five symmetric binary relations $E_i$ for $i \in \{-2, -1, 0, 1, 2\}$ on $E$ as follows:

- (2) $(x, y) \in E_{-2}$ if and only if $x$ and $y$ are linearly dependent;
- (1) $(x, y) \in E_{-1}$ if and only if $x$ and $y$ are linearly independent, $[x, y] = 0$, and $\lambda x + \mu y \in E$ for all $(\lambda, \mu) \in F^2$, $(\lambda, \mu) \neq (0, 0)$;
- (0) $(x, y) \in E_0$ if and only if $[x, y] = 0$ and $(x, y)$ is not in $E_{-2} \cup E_{-1}$;
(1) \((x, y) \in E_1\) if and only if \([x, y] \neq 0\), but \(g_x(y) = 0\);

(2) \((x, y) \in E_2\) if and only if \(g_x(y) \neq 0\).

Note that \(g_x(y) = 0\) whenever \((x, y) \in \bigcup_{j=1}^{2} E_j\) and also \([x, y] \neq 0\) for all \((x, y) \in E_1 \cup E_2\).

Let \(E\) be the set of projective points spanned by the extremal elements of \(L\), that is, \(E = \{Fx \mid x \in E\}\). Let \(F\) be the set of projective lines \(Fx + Fy\) for \((x, y) \in E_{-1}\). We call \((E, F)\) the extremal geometry of the Lie algebra \(L\) and denote it by \(E(L)\) to indicate it is derived from \(L\). We call the points in \(E\) extremal points (or simply points). By the definition of \(E_{-1}\), the unique line in \(F\) containing the two collinear points \(Fx\) and \(Fy\) is \(Fx + Fy\). Hence \(E(L)\) is a partial linear space. The symmetric relations \(\{E_i^2\}_{i=-2}^2\) correspond to \(\{E_i\}_{i=-2}^2\) in the natural way, namely, \((Fx, Fy) \in E_i\) if and only if \((x, y) \in E_i\). In particular, \(\{E_i\}_{i=-2}^2\) are five disjoint symmetric relations on \(E\) that partition \(E \times E\), where \(E_{-2}\) is equality and \(E_{-1}\) is collinearity in \(E(L)\). The next result is a corollary of Theorem 28 of [CI1].

**Theorem 3.6** Let \(L\) be a finite-dimensional simple Lie algebra over \(F\) generated by its extremal elements. Then \(E(L)\) is either a nondegenerate root filtration space with finite singular rank whose lines are all thick or a root filtration space without lines.

Note that in the case where \(E(L)\) has lines it follows from Theorem 3.3 that \(E(L)\) is isomorphic to \(R\text{Sh}(\Delta)\) for an irreducible spherical building \(\Delta\).

### 4 Classical algebras

In this section we provide a recognition result for classical algebras of simply-laced type.

Let \(\Phi\) be an irreducible root system with a simply-laced diagram \(X_n\) and let \((\langle \rangle)\) be the standard inner product on the Euclidean space spanned by \(\Phi\).

**Definition 4.1** We say that a Lie algebra \(L\) over a field \(F\) is of type \(X_n\) if it possesses a spanning set consisting of elements \(x_\alpha\) and \(h_\alpha\), \(\alpha \in \Phi\), which satisfy the following, for \(\alpha, \beta \in \Phi\):

(i) \([h_\alpha, h_\beta] = 0\);

(ii) \([h_\alpha, x_\beta] = (\alpha | \beta) x_\beta\);

(iii) if \(\alpha + \beta = 0\) then \([x_\alpha, x_\beta] = h_\alpha\); and
We call such a set a Chevalley spanning set.

From (iii), \( h_\alpha = [x_\alpha, x_{-\alpha}] \), and this immediately gives the following.

**Lemma 4.2** For \( \alpha \in \Phi \), we have \( h_{-\alpha} = -h_\alpha \).

Clearly, we can scale \( x_\alpha \) and \( x_{-\alpha} \) by \( c \) and \( c^{-1} \), respectively, for any \( 0 \neq c \in F \), and this operation again gives a Chevalley spanning set in \( L \). Hence we have a lot of freedom in choosing the structure constants \( A_{\alpha,\beta} \). We will see later that we can ask for all nonzero \( A_{\alpha,\beta} \) to be equal to \( \pm 1 \) and, furthermore, the signs can also be controlled. However, first we record some general properties.

Note that \( A_{\alpha,\beta} \neq 0 \) if and only if \( \alpha + \beta \in \Phi \) and so if and only if the angle between \( \alpha \) and \( \beta \) is \( 2\pi/3 \).

**Lemma 4.3** Suppose that the roots \( \alpha \) and \( \beta \) form an angle of \( 2\pi/3 \). Then \( A_{\alpha,\beta} = -A_{\beta,\alpha} \) and \( A_{\alpha,\beta} = -A_{\alpha+\beta,-\alpha} = A_{\beta,-\alpha-\beta} = -A_{-\alpha,\beta} = A_{-\alpha-\beta,\alpha} = -A_{-\beta,\alpha+\beta} \).

**Proof.** Only the second claim requires proving. Note that \( (\alpha|\beta) = -1 \). Hence, by (ii), \( -x_\beta = [h_\alpha, x_\beta] = [[x_\alpha, x_{-\alpha}], x_\beta] = [[x_\alpha, x_\beta], x_{-\alpha}] \). (We used that \(-\alpha + \beta \) is not a root and hence \( [x_{-\alpha}, x_\beta] = 0 \).) Finally, since \( [x_\alpha, x_\beta] = A_{\alpha,\beta} x_{\alpha+\beta} \), we obtain \( -x_\beta = [A_{\alpha,\beta} x_{\alpha+\beta}, x_{-\alpha}] \), and so \( x_{\alpha+\beta}, x_{-\alpha} = -A_{\alpha,\beta} x_\beta \), proving \( A_{\alpha+\beta,-\alpha} = -A_{\alpha,\beta} \), or equivalently, \( A_{\alpha,\beta} = -A_{-\alpha,\beta} = -A_{\alpha+\beta,-\alpha} \). Applying this with \( \alpha + \beta \) and \(-\alpha \) in place of \( \alpha \) and \( \beta \), we get the second equality. Repeating this process around the \( A_2 \) root system in the plane spanned by \( \alpha \) and \( \beta \) gives the remaining equalities.

**Lemma 4.4** For \( \alpha, \beta \in \Phi \), if \( \alpha + \beta \in \Phi \), then \( h_{\alpha+\beta} = h_\alpha + h_\beta \).

**Proof.** From (iv) we have \( A_{\alpha,\beta} x_{\alpha+\beta} = [x_\alpha, x_\beta] \). Hence,

\[
\begin{align*}
h_{\alpha+\beta} &= [x_{\alpha+\beta}, x_{-\alpha}] \\
&= A_{\alpha,\beta}^{-1}[[x_\alpha, x_\beta], x_{-\alpha}] \\
&= A_{\alpha,\beta}^{-1}([x_\alpha, x_{-\alpha}], x_\beta) + [x_\alpha, [x_\beta, x_{-\alpha}]] \\
&= A_{\alpha,\beta}^{-1}(A_{\alpha,-\alpha}[x_{-\beta}, x_\beta] + A_{\beta,-\alpha}[x_\alpha, x_{-\alpha}]).
\end{align*}
\]

By Lemma 4.3 \( A_{\alpha,\beta}^{-1} A_{\alpha,-\alpha} = -1 \) and \( A_{\beta,-\alpha}^{-1} A_{\beta,-\alpha} = 1 \). Therefore, \( h_{\alpha+\beta} = -[x_{-\beta}, x_\beta] + [x_\alpha, x_{-\alpha}] = h_\beta + h_\alpha \), and the claim follows.
Let $I = \{1, \ldots, n\}$ be an index set and $\{\alpha_i \mid i \in I\}$ be a fundamental system of simple roots of $\Phi$. Set $h_i := h_{\alpha_i}$ for $i \in I$. Taking into account that every root is an integral linear combination of simple roots, we have the following result.

**Corollary 4.5** For $\alpha \in \Phi$, we have $h_\alpha = \sum_{i \in I} c_i h_i$, where the integral coefficients $c_i$ are defined by $\alpha = \sum_{i \in I} c_i \alpha_i$.

**Proof.** Clearly, we can assume that $\alpha$ is a positive root. Since every such root is a sum of two positive roots of lower height, the claim follows by induction. \qed

**Corollary 4.6** The set of all $x_\alpha$, $\alpha \in \Phi$, and $h_i$, $i \in I$, spans $L$. \qed

We now turn to scaling the vectors $x_\alpha$ in order to obtain the simplest possible structure constants $A_{\alpha,\beta}$. First of all, for each positive non-simple root $\alpha$, we select a particular pair $(\alpha_1, \alpha_2)$ of positive roots satisfying $\alpha = \alpha_1 + \alpha_2$. We will refer to $(\alpha_1, \alpha_2)$ as the defining pair of $\alpha$. Clearly, there are many ways to choose defining pairs, we just make our selection in some way and fix it.

We will now scale our vectors $x_\alpha$ inductively as follows. (Recall that whenever we scale $x_\alpha$ for a positive root $\alpha$ by a nonzero scalar $c \in F$, by convention, we will also scale $x_{-\alpha}$ by $c^{-1}$ so that $h_\alpha = [x_\alpha, x_{-\alpha}]$ remains unchanged.) If $\alpha = \alpha_i$ is a simple root (height 1), then we leave $x_\alpha$ unchanged.

Any other positive root $\alpha$ has a defining pair $(\alpha_1, \alpha_2)$, and we scale $x_\alpha$ so that $x_\alpha = [x_{\alpha_1}, x_{\alpha_2}]$. Note that $\alpha_1$ and $\alpha_2$ have lower heights than $\alpha$, so the inductive scaling procedure is well-defined. The consequence of this operation is that we now have that $A_{\alpha_1,\alpha_2} = 1$ for all defining pairs $(\alpha_1, \alpha_2)$.

**Lemma 4.7** Suppose that $A_{\gamma_1,\gamma_2} = 1$ whenever $(\gamma_1, \gamma_2)$ is a defining pair. Then all nonzero structure constants $A_{\alpha,\beta}$ are equal to $\pm 1$ and the signs are fully determined by the choice of defining pairs in $\Phi$.

**Proof.** The $A_2$ system in the plane spanned by $\alpha$ and $\beta$ contains a single pair of positive roots $\alpha'$ and $\beta'$ at the angle $2\pi/3$. Furthermore, Lemma 4.3 shows that $A_{\alpha,\beta} = \pm A_{\alpha',\beta'}$. So the claim holds for $\alpha$ and $\beta$ if and only if it holds for $\alpha'$ and $\beta'$. Hence we just need to consider the case where both $\alpha$ and $\beta$ are positive.

Let $\gamma = \alpha + \beta$. We do induction on the height of $\gamma$. Let $(\gamma_1, \gamma_2)$ be the defining pair for $\gamma$. By assumption, $x_\gamma = [x_{\gamma_1}, x_{\gamma_2}]$, since $A_{\gamma_1,\gamma_2} = 1$. As $\alpha + \beta = \gamma_1 + \gamma_2$, the root subsystem $\Psi$ spanned by $\{\alpha, \beta, \gamma_1, \gamma_2\}$ has rank at most 3 and so is either of type $A_2$ or $A_3$. If $\Psi$ has type $A_2$, then
\{\alpha, \beta\} = \{\gamma_1, \gamma_2\} and thus our claim holds. Suppose now that \Psi has type A_3. Note that \Psi inherits the partition into positive and negative roots from \Phi. Since \gamma has two different decompositions as a sum of positive roots in \Psi, it is clear that \gamma is the highest positive root of \Psi. Also, in each of the two pairs \((\alpha, \beta)\) and \((\gamma_1, \gamma_2)\), one of the roots is simple and the other has height 2. This gives four possibilities which are all similar and so we just consider one of them. Let us suppose that \alpha and \gamma_2 are simple roots. Let \kappa be the remaining third simple root in \Psi. Note that \alpha + \kappa + \gamma_2 = \gamma = \alpha + \beta = \gamma_1 + \gamma_2 and so \beta = \kappa + \gamma_2 and \gamma_1 = \alpha + \gamma. Thus \alpha and \gamma_2 are perpendicular and both form an angle of \(2\pi/3\) with \kappa. Finally, we have

\[ [x_\alpha, x_\beta] = [x_\alpha, A_{\kappa, \gamma_2}^{-1} [x_\kappa, x_{\gamma_2}]] = A_{\kappa, \gamma_2}^{-1} [x_\alpha, [x_\kappa, x_{\gamma_2}]]. \]

Since \alpha and \gamma_2 are perpendicular, we have \([x_\alpha, x_{\gamma_2}] = 0\) and \([x_\alpha, [x_\kappa, x_{\gamma_2}]] = [[x_\alpha, x_\kappa], x_{\gamma_2}]\). Therefore,

\[ [x_\alpha, x_\beta] = A_{\kappa, \gamma_2}^{-1} [x_\alpha, x_\kappa] = A_{\kappa, \gamma_2}^{-1} A_{\alpha, \kappa} [x_\kappa, x_{\gamma_2}] = A_{\kappa, \gamma_2}^{-1} A_{\alpha, \kappa} x_{\gamma}. \]

Thus \(A_{\alpha, \beta} = A_{\kappa, \gamma_2}^{-1} A_{\alpha, \kappa}\). By induction, the two factors on the right are \(\pm 1\) and are determined by the choice of defining pairs. Therefore, the same is true for \(A_{\alpha, \beta}\). 

Consider now the simple complex algebra \(g\) corresponding to the simply-laced root system \(\Phi\). It is well known that \(g\) has a Chevalley spanning set. Indeed, it can be constructed from a Cartan decomposition of \(g\). Additionally, the smaller spanning set, \(\{x_\alpha, h_i \mid \alpha \in \Phi, i \in I\}\) is a basis of \(g\). When all structure constants \(A_{\alpha, \beta}\) are equal to \(\pm 1\), a basis of this sort is known as a Chevalley basis of \(g\). It follows from Corollary 4.5 that all structure constants for such a basis are integer, which means that the integral span \(g_\mathbb{Z}\) of the Chevalley basis is closed under Lie product. For an arbitrary field \(F\), \(\hat{g} = g_F = g_\mathbb{Z} \otimes_\mathbb{Z} F\) is a Lie algebra over \(F\). We call \(\hat{g}\) the Chevalley algebra of type \(X_n\) over \(F\). Note the Chevalley algebra is well-defined, namely, it does not depend on the choice of the Chevalley basis. Indeed, given two Chevalley bases, we can assume, after scaling \(x_\alpha\) and \(x_{-\alpha}\) by \(\pm 1\) as necessary, that both bases have \(A_{\alpha_1, \alpha_2} = 1\) for all defining pairs \((\alpha_1, \alpha_2)\). It now follows from Corollary 4.5 and Lemma 4.7 that the two bases have identical structure constants, which means that they are conjugate by an automorphism of \(g\). The above scaling by \(\pm 1\) does not affect the integral span of the basis, hence the algebras \(g_\mathbb{Z}\) corresponding to different Chevalley bases are conjugate. Manifestly, this means that the Chevalley algebras \(\hat{g} = g_\mathbb{Z} \otimes_\mathbb{Z} F\) coming from different Chevalley bases of \(g\) must be isomorphic.

If we set \(\hat{x}_\alpha := x_\alpha \otimes 1_F\) and \(\hat{h}_\alpha := h_\alpha \otimes 1_F\), then the elements \(\hat{x}_\alpha\) and \(\hat{h}_\alpha\), \(\alpha \in \Phi\), form a Chevalley spanning set for \(\hat{g}\), while the elements \(\hat{x}_\alpha, \alpha \in \Phi\),
together with $\hat{h}_i, i \in I$, form a basis of $\hat{\mathfrak{g}}$. Recall that we defined classical algebras as nonzero factor algebras of Chevalley algebras. The image in the factor algebra of a Chevalley spanning set is again a Chevalley spanning set. All the required properties are immediate as long as no element from the spanning set becomes zero. If the image of $x_\alpha$ is zero, then also the image of $h_\alpha = [x_\alpha, x_{-\alpha}]$ is zero. This in turn implies that all $x_\beta$ map to zero, where the angle between $\alpha$ and $\beta$ equals $\frac{\pi}{3}$ or $\frac{2\pi}{3}$. This is because $[h_\alpha, x_\beta] = \pm x_\beta$.

By connectivity we then have that all elements of the spanning set map to zero, which is a contradiction since the factor algebra is nonzero. We have shown that all classical algebras contain a Chevalley spanning set and hence they are all algebras of type $X_n$ as defined in the beginning of the section.

We now come to the main result of this section.

**Proposition 4.8** Let $\Phi$ be an irreducible root system of simply-laced type $X_n$. A Lie algebra $L$ has a Chevalley spanning set of type $X_n$, if and only if it is classical of type $X_n$.

**Proof.** We just need to show that every Lie algebra $L$ over a field $F$ with a Chevalley spanning set is isomorphic to a factor algebra of the Chevalley algebra $\hat{\mathfrak{g}} = \mathfrak{g}_F$ of the same type $X_n$. Choose Chevalley spanning sets $\{\hat{x}_\alpha, h_\alpha | \alpha \in \Phi\}$ and $\{x_\alpha, h_\alpha | \alpha \in \Phi\}$ in $\hat{\mathfrak{g}}$ and $L$ respectively. Without loss of generality, both sets have the structure constants $A_{\alpha_1, \alpha_2}$ equal 1 for all defining pairs $(\alpha_1, \alpha_2)$. Then by Lemma 4.7 these two sets have identical values of $A_{\alpha, \beta}$ for all $\alpha$ and $\beta$ with $\alpha + \beta \neq 0$.

By the above, the set $\{\hat{x}_\alpha, h_i | \alpha \in \Phi, i \in I\}$ is a basis of $\hat{\mathfrak{g}}$, where $\hat{h}_i = h_{\alpha_i}$. This means that there is a linear mapping $\phi: \hat{\mathfrak{g}} \to L$ sending every $\hat{x}_\alpha$ to $x_\alpha$ and every $\hat{h}_i$ to $h_i = h_{\alpha_i}$. Corollary 4.5 and the fact the constants $A_{\alpha, \beta}$ are the same for the two spanning sets imply that $\phi$ is an algebra homomorphism. Corollary 4.6 implies that $\phi$ is onto, and so $L$ is isomorphic to a factor algebra of $\hat{\mathfrak{g}}$. \[\square\]

## 5 Constructing a classical subalgebra in $L$

Suppose $L$ is a finite-dimensional simple Lie algebra over the field $F$ that is generated by its extremal elements $E$. Furthermore suppose that its extremal geometry $\mathcal{E} = \mathcal{E}(L)$ is isomorphic to the root shadow space $\text{RSh}(\Delta)$ of a building $\Delta$ of simply-laced type $X_n$ with index set $I = \{1, \ldots, n\}$, where $n \geq 2$. In this section we show that $L$ contains a classical subalgebra $L'$.

Let $\Sigma$ be a fixed apartment of $\Delta$. Let $\Phi$ be the corresponding root system and let $\{\alpha_i | i \in I\}$ be a fundamental system of simple roots of $\Phi$. Recall
that every root \( \alpha \) in \( \Phi \) corresponds to a half-apartment in \( \Sigma \) (also denoted \( \alpha \)) which in turn corresponds to its arctic region \( R_\alpha \). The arctic region \( R_\alpha \) uniquely extends to a polar region and that is a \( J \)-shadow of \( \Delta \), which corresponds to a point in the extremal geometry \( \mathcal{E} \). In particular, there is a natural injection from \( \Phi \) into \( \mathcal{E} \). In what follows, we let \( x_\alpha \) be an extremal element representing the point of \( \mathcal{E} \) corresponding to \( \alpha \in \Phi \). Let \( \theta_{\alpha \beta} \) be the angle between roots \( \alpha \) and \( \beta \).

**Lemma 5.1** For roots \( \alpha \) and \( \beta \) in \( \Phi \), we have the following:

(i) if \( \theta_{\alpha \beta} \in \{0, \pi/3, \pi/2\} \), then \( [x_\alpha, x_\beta] = 0 \);

(ii) if \( \theta_{\alpha \beta} = 2\pi/3 \), then \( F[x_\alpha, x_\beta] = Fx_{\alpha + \beta} \neq 0 \);

(iii) \( g_{x_\alpha}(x_\beta) \neq 0 \) if and only if \( \theta_{\alpha \beta} = \pi \).

**Proof.** The result follows from the definition of the extremal geometry \( \mathcal{E} \), Theorem 3.6, and Table 11.

Let \( L' \) be the subalgebra of \( L \) generated by \( \{x_\alpha \mid \alpha \in \Phi\} \). The goal is to construct a Chevalley spanning set in \( L' \). So far we have selected vectors \( x_\alpha \) arbitrarily within the corresponding \( 1 \)-spaces of \( L \). Let us now impose some restrictions. Namely, in view of Lemma 5.1 (iii), for each positive \( \alpha \in \Phi \), we can scale \( x_\alpha \) so that \( g_{x_\alpha}(x_\alpha) = -1 \). Recall that \( g_x(y) = g_y(x) \) and so \( g_{x_\alpha}(x_\alpha) = -1 \). Finally, for each \( \alpha \), positive or negative, we set \( h_\alpha = [x_\alpha, x_\alpha] \) and we note that \( h_\alpha = -h_\alpha \).

We start with the products \([h_\alpha, x_\beta] \).

**Lemma 5.2** For arbitrary roots \( \alpha \) and \( \beta \), we have \([h_\alpha, x_\beta] = (\alpha|\beta)x_\beta \).

**Proof.** If the angle between \( \alpha \) and \( \beta \) is zero, then \( \alpha = \beta \) and so \([h_\alpha, x_\beta] = [x_\alpha, x_\alpha] = [x_\alpha, [x_\alpha, x_\alpha]] = -2g_{x_\alpha}(x_\alpha)x_\alpha = 2x_\alpha = (\alpha|\alpha)x_\alpha \) by the choice of scaling above.

Next consider the angle \( \pi/3 \). Take \( x = x_\alpha \), \( y = x_\alpha \), and \( z = x_{\beta - \alpha} \) in the equation (2) of Definition 3.4. This gives \([x_\alpha, x_\alpha], [x_\alpha, x_{\beta - \alpha}] = g_{x_\alpha}([x_\alpha, x_{\beta - \alpha}]x_\alpha + g_{x_\alpha}(x_{\beta - \alpha})[x_\alpha, x_\alpha]) - g_{x_\alpha}(x_\alpha)[x_\alpha, x_{\beta - \alpha}] \). By noting that \([x_\alpha, x_{\beta - \alpha}] = 0 \) (because the angle here is \( \pi/3 \)) and \( g_{x_\alpha}(x_{\beta - \alpha}) = 0 \) (because the angle between \( \alpha \) and \( \beta - \alpha \) is not \( \pi \)), we see that the above simplifies to \([h_\alpha, x_{\beta - \alpha}] = -g_{x_\alpha}(x_\alpha)[x_\alpha, x_{\beta - \alpha}] = [x_\alpha, x_{\beta - \alpha}] \). Finally, \([x_\alpha, x_{\beta - \alpha}] = ax_\beta \) for a nonzero scalar \( a \in F \) in view of Lemma 5.1 (ii). Therefore we have \([h_\alpha, ax_\beta] = ax_\beta \), which clearly implies that \([h_\alpha, x_\beta] = x_\beta = (\alpha|\beta)x_\beta \).

If the angle between \( \alpha \) and \( \beta \) is \( \pi/2 \), then \([h_\alpha, x_\beta] = [x_\alpha, x_{\alpha - x_\beta}] = [[x_\alpha, x_\beta], x_\alpha]] + [x_\alpha, [x_\alpha, x_\beta]] = [0, x_\alpha]] + [x_\alpha, 0] = 0 = (\alpha|\beta)x_\beta \).
Finally, if the angle is greater than $\pi/2$, then $[h_{\alpha}, x_\beta] = [-h_{-\alpha}, x_\beta] = -(-\alpha|\beta)x_\beta = (\alpha|\beta)x_\beta$ since the angle between $-\alpha$ and $\beta$ is less than $\pi/2$. □

Next we consider the products $[h_{\alpha}, h_\beta]$.

Lemma 5.3 For $\alpha, \beta \in \Phi$, we have $[h_{\alpha}, h_\beta] = 0$.

Proof. Using the Jacobi identity, we write $[h_{\alpha}, h_\beta] = [h_{\alpha}, [x_\beta, x_\beta]] = [[h_{\alpha}, x_\beta], x_\beta] + [x_\beta, [h_{\alpha}, x_\beta]]$. Applying Lemma 5.2 yields $[\alpha|\beta)x_\beta, x_\beta] + [x_\beta, (\alpha - \beta)x_\beta] = ((\alpha|\beta + (\alpha - \beta))h_\beta = (\alpha|\beta - \beta)h_\beta = 0$. □

It follows from Lemmas 5.1, 5.2, and 5.3 that the subspace spanned by $\{x_\alpha, h_\alpha \mid \alpha \in \Phi\}$ is closed under Lie product, and so it coincides with $L'$. This gives us a spanning set in $L'$ and, manifestly, this set satisfies all properties of a Chevalley spanning set. Hence Proposition 4.8 yields the following result.

Proposition 5.4 Suppose $L$ is a simple Lie algebra over $F$ generated by extremal elements and suppose the extremal geometry $E$ of $L$ comes from a building $\Delta$ of a simply-laced type $X_n$. Then the elements $x_\alpha$ derived from an arbitrary apartment $\Sigma$ of $\Delta$ generate a classical subalgebra $L'$ in $L$ of the same type $X_n$. □

6 Root subgroups

In the final two sections we will study automorphisms of geometrical structures. If $X$ is a geometry (or a building), $g \in \text{Aut}(X)$ and $x \in X$, then we denote $x^g$ to be the image of $x$ under $g$.

Throughout this section $\mathcal{E}$ is a nondegenerate root filtration space. By an automorphism of $\mathcal{E}$ we mean a permutation of $\mathcal{E}$ that preserves the collinearity relation $\mathcal{E}_{-1}$. Recall that every other relation $\mathcal{E}_i$ is recoverable from $\mathcal{E}_{-1}$, which means that an automorphism preserves every $\mathcal{E}_i$. As usual, $\text{Aut}(\mathcal{E})$ denotes the full group of automorphisms of $\mathcal{E}$. Additionally, we will frequently switch between the language of the point-line space $\mathcal{E}$ and its collinearity graph (which determine one another) for convenience. The notions of path, neighbour and adjacency are reserved for the graph and lines and collinearity are reserved for the space.

For a point $x \in \mathcal{E}$ and a line $l$, we say that $l$ is a cutting line with respect to $x$ if $l$ contains points from $\mathcal{E}_{\leq -1}(x)$ and $\mathcal{E}_1(x)$. Note that since $\mathcal{E}_{\leq 0}(x)$ is a subspace of $\mathcal{E}$, the cutting line $l$ contains exactly one point from $\mathcal{E}_{\leq 0}(x)$ and clearly this point must lie in $\mathcal{E}_{-1}(x)$. Hence $l$ “cuts from” $\mathcal{E}_1(x)$ straight into $\mathcal{E}_{-1}(x)$ bypassing $\mathcal{E}_0(x)$. This explains the terminology. Since $\mathcal{E}_{\leq 1}(x)$ is also a subspace (in fact, a hyperplane) and since $l$ meets $\mathcal{E}_{\leq 1}(x)$ in at least two
points, we have that $l$ is fully contained in $\mathcal{E}_{\leq 1}(x)$, that is, all the remaining points of $l$ are in $\mathcal{E}_1(x)$. Note that every point $y \in \mathcal{E}_1(x)$ lies in exactly one cutting line, namely the line through $y$ and $[x,y]$. This is because $[x,y]$ is the only common neighbour of $x$ and $y$. Hence the cutting lines with respect to $x$ lead to a partition of $\mathcal{E}_1(x)$.

**Definition 6.1** For a point $x \in \mathcal{E}$, we define the point group $Y(x)$ to be the subgroup of $\text{Aut}(\mathcal{E})$ fixing $\mathcal{E}_{\leq 0}(x)$ pointwise and stabilising every cutting line with respect to $x$ setwise.

Clearly, $Y(x)$ acts on every cutting line $l$ and on $\mathcal{E}_1(x)$. We aim to show that the latter action is semiregular, that is, the stabiliser in $Y(x)$ of every $y \in \mathcal{E}_1(x)$ is trivial. We first need some technical lemmas.

We call two lines in $\mathcal{E}$ opposite if for every point $x$ on one of them there is a point $y$ on the other line such that $(x,y) \in \mathcal{E}_2$. Suppose $l$ and $l'$ are opposite. Since $\mathcal{E}_{\leq 1}(z)$ is a hyperplane, it follows that for every $z$ on $l$ there exists a unique $z'$ on $l'$ such that $z' \in \mathcal{E}_{\leq 1}(z)$ and all other points of $l'$ lie in $\mathcal{E}_2(z)$. Note that by the property (D) of Definition 3.1 of a root filtration space we have that $(z,z') \in \mathcal{E}_1$ and that the correspondence $z \rightarrow z'$ is a bijection between $l$ and $l'$.

**Lemma 6.2** The following hold.

(i) For distinct collinear points $x$ and $y$, there exists a point $z$ collinear with $y$ such that $(x,z) \in \mathcal{E}_1$.

(ii) If $xwyz$ is a path in the collinearity graph of $\mathcal{E}$ such that $(x,v)$ and $(u,z)$ are in $\mathcal{E}_1$, then $(x,z) \in \mathcal{E}_2$.

(iii) If $xuwzy$ is a path in the collinearity graph of $\mathcal{E}$ such that $(x,v)$, $(u,w)$ and $(v,y)$ are all contained in $\mathcal{E}_1$, then the lines $xu$ and $wy$ are opposite.

**Proof.** The claim (i) follows from Lemma 4 of [CI1] since $\mathcal{E}$ is nondegenerate. Claim (ii) is Lemma 1 (v) from [CI1]. For the final claim, take $z$ on the line $xu$. If $z = u$ then choosing $z' = y$ gives $(z,z') \in \mathcal{E}_2$. On the other hand, if $z \neq u$ then taking $z' = w$ yields the same result. Indeed, since $(x,w) \in \mathcal{E}_2$, we know that $xu$ is not contained in the hyperplane $\mathcal{E}_{\leq 1}(w)$ and so $u$ is the only point on $xu$ contained in $\mathcal{E}_{\leq 1}(w)$.

Symmetrically, for every point $z$ on $wy$ there is a point $z'$ on $xu$ such that $(z,z') \in \mathcal{E}_2$. Thus, $xu$ and $wy$ are opposite. \qed

**Corollary 6.3** For a point $x \in \mathcal{E}$, every $y \in \mathcal{E}_1(x)$ is collinear with a point $z \in \mathcal{E}_2(x)$. \qed
Proof. Let \( u = [x, y] \). Applying Lemma 6.2 (i) to \( u \) and \( y \) gives a point \( z \in \mathcal{E} \) such that \( y = [u, z] \). Applying Lemma 6.2 (ii) to the path \( xuyz \) gives that \( (x, z) \in \mathcal{E}_2 \).

Lemma 6.4 Suppose \( x \in \mathcal{E} \) and \( \tau \in Y(x) \).

(i) If \( \tau \) fixes \( y \in \mathcal{E}_1(x) \), then it also fixes every \( z \in \mathcal{E}_2(x) \) that is collinear with \( y \).

(ii) If \( \tau \) fixes \( z \in \mathcal{E}_2(x) \), then it also fixes every \( y \in \mathcal{E}_1(x) \) that is collinear with \( z \).

Proof. For the first claim, since \( (x, y) \in \mathcal{E}_1 \), the points \( x \) and \( y \) have a unique common neighbour \( u = [x, y] \). Clearly, \( (u, z) \in \mathcal{E}_1 \) since \( x \in \mathcal{E}_2(z) \). According to Lemma 6.2 (i), there exists a point \( v \) collinear with \( z \) such that \( (y, v) \in \mathcal{E}_1 \). Note that since \( \mathcal{E}_{\leq 0}(y) \) is a subspace, every point in \( zv \setminus \{ z \} \) is in \( \mathcal{E}_1(y) \). Hence we may choose \( v \) to be the only point on \( zv \) contained in \( \mathcal{E}_1(x) \).

Let \( w \) the unique point collinear to both \( x \) and \( v \), that is, \( w = [x, v] \). Applying Lemma 6.2 (ii) to the path \( uyvz \) in the collinearity graph of \( \mathcal{E} \) gives \( (u, v) \in \mathcal{E}_2 \). Note that \( (w, z) \in \mathcal{E}_1 \) (again, since \( x \in \mathcal{E}_2(z) \)) and \( v = [w, z] \). Then applying Lemma 6.2 (ii) to the path \( yzwv \) gives \( (y, w) \in \mathcal{E}_2 \). So any two points at distance 3 (respectively, 2) in the hexagon \( xuyzvw \) are in relation \( \mathcal{E}_2 \) (respectively, \( \mathcal{E}_1 \)).

Note that \( vw \) is a cutting line with respect to \( x \) and hence it is stabilised by \( \tau \). Since \( \tau \) fixes \( y \) and stabilises \( vw \), it fixes the unique point on \( vw \) that is in the hyperplane \( \mathcal{E}_{\leq 1}(y) \), namely, \( \tau \) fixes \( v \). Finally, \( \tau \) fixes \( z \) as it is the unique point collinear with both \( y \) and \( v \). This proves part (i).

For part (ii), we have that \( u = [x, y] \in \mathcal{E}_{-1}(x) \) and so \( \tau \) fixes \( u \). As \( u \in \mathcal{E}_1(z) \) and \( y = [u, z] \), we also have that \( \tau \) fixes \( y \), as claimed.

We see that once \( \tau \) fixes a point in \( \mathcal{E}_1(x) \cup \mathcal{E}_2(x) \), it fixes many of its neighbours in this set. In order to fully exploit this, let us establish the following connectivity result.

Lemma 6.5 Suppose \( x \in \mathcal{E} \) and let \( \Gamma \) be the graph on \( \mathcal{E}_2(x) \) where two points are adjacent whenever they are at distance 1 or 2 in the collinearity graph of \( \mathcal{E} \). Then \( \Gamma \) is connected.

Proof. Suppose \( y, z \in \mathcal{E}_2(x) \). If they are adjacent in \( \Gamma \), then there is nothing to prove. Hence suppose that \( (y, z) \in \mathcal{E}_2 \). Let \( yuvz \) be a shortest path from \( y \) to \( z \) in the collinearity graph of \( \mathcal{E} \). If \( u \) or \( v \) is in \( \mathcal{E}_2(x) \), then clearly \( y \) and \( z \) are in the same connected component of \( \Gamma \). Hence we can assume that both \( u \) and \( v \) are in \( \mathcal{E}_{\leq 1}(x) \).
In particular, since being in relation \( y \) and \( z \) is the trivial automorphism. That is, \( \tau \) is the trivial automorphism. It now follows from the connectivity property of \( \Gamma \) given in Lemma 6.5 that \( \tau \) fixes every point in \( \Gamma \). Finally, as every \( y' \in \mathcal{E}_1(x) \) is collinear with some \( z' \in \mathcal{E}_2(x) \), the automorphism \( \tau \) fixes every such \( y' \) by Lemma 6.2 (ii). As \( \tau \in Y(x) \), it also fixes every point in \( \mathcal{E}_{\leq 0}(x) \), and so we conclude that \( \tau \) is trivial.

Let us now assume that the root filtration space \( \mathcal{E} \) is the extremal geometry of a Lie algebra \( L \). Let \( p \) be a point of \( \mathcal{E} \), that is, \( p \) is a 1-dimensional subspace of \( L \) spanned by an extremal element \( x \). According to [C1], for every \( \lambda \in F \), the map \( \exp(x, \lambda) \) defined by \( y \mapsto y + \lambda [x, y] + \lambda^2 g_x(y) x \) is an automorphism of \( L \). Let \( \text{Exp}(p) = \{ \exp(x, \lambda) \mid \lambda \in F \} \). It is easy to see that \( \exp(x, \lambda) \exp(x, \mu) = \exp(x, \lambda + \mu) \) for all \( \lambda, \mu \in F \), which means that \( \text{Exp}(p) \) is a subgroup of \( \text{Aut}(L) \). Moreover, as \( \exp(\mu x, \lambda) = \exp(x, \mu \lambda) \), the definition of \( \text{Exp}(p) \) is independent of the choice of \( x \) in \( p \).

Consider the action of the elements of \( \text{Exp}(p) \) on the extremal geometry \( \mathcal{E} \) of \( L \).

Lemma 6.7 Let \( p \in \mathcal{E}(L) \). Then
(i) \( \text{Exp}(p) \) acts trivially on \( \mathcal{E}_{\leq 0}(p) \), and

(ii) \( \text{Exp}(p) \) acts transitively on \( l \cap \mathcal{E}_1(p) \) for each cutting line \( l \) with respect to \( p \).

**Proof.** If \( q \in \mathcal{E}_{\leq 0}(p) \), then \([x, y] = 0\), where \( x \) and \( y \) are extremal elements spanning \( p \) and \( q \), respectively. By definition, \( \exp(x, \lambda) \) fixes \( y \), and so part (i) holds.

Now suppose \( l \) is a cutting line with respect to \( p \) and \( r \in \mathcal{E}_1(p) \) be a point on \( l \). Let \( q = [p, r] \). Select extremal elements \( x \) and \( z \) spanning \( p \) and \( r \), and set \( y = [x, z] \). Then \( y \) spans \( q \). Furthermore, \( l = qr = Fy + Fz \). The set \( l \cap \mathcal{E}_1(p) \) equals \( \{F(\lambda y + z) \mid \lambda \in F\} \). Since \( r, q \in \mathcal{E}_{\leq 1}(p) \), \( g_x(z) = 0 = g_x(y) \) and, furthermore, \([x, y] = 0\), as \( q \in \mathcal{E}_{\leq 1}(p) \). Therefore, \( \exp(x, \mu) \) takes \( \lambda y + z \) to \( (\lambda y + z) + \mu [x, \lambda y + z] = \lambda y + z + \mu \lambda [x, y] + \mu [x, z] = (\mu + \lambda) y + z \). Clearly, this means that \( \text{Exp}(p) \) acts on \( l \cap \mathcal{E}_1(p) \) and this action is transitive.

**Corollary 6.8** For \( p \in \mathcal{E} = \mathcal{E}(L) \), the image of \( \text{Exp}(p) \) in \( \text{Aut}(\mathcal{E}) \) coincides with the point group \( Y(p) \). Furthermore, the latter acts regularly on \( l \cap \mathcal{E}_1(p) \) for each cutting line \( l \) with respect to \( p \).

**Proof.** By Lemma 6.7, \( \text{Exp}(p) \) maps into \( Y(p) \). Taking any \( \tau \in Y(p) \) and a point \( r \in l \cap \mathcal{E}_1(p) \), let \( r' \) be the image of \( r \) under \( \tau \). It follows from Lemma 6.7 (ii) that the image of \( \text{Exp}(p) \) contains an automorphism \( \tau' \) taking \( r \) to the same point \( r' \). Hence \( \tau(\tau')^{-1} \) fixes \( r \). However, by Proposition 6.6, \( Y(p) \) is semiregular on \( l \cap \mathcal{E}_1(p) \), which means that \( \tau(\tau')^{-1} \) is the trivial automorphism, proving that \( \tau' = \tau \). Therefore, the image of \( \text{Exp}(p) \) coincides with \( Y(p) \). Also, since \( Y(p) \) acts on \( l \cap \mathcal{E}_1(p) \) semiregularly and transitively, we conclude that this action is regular.

Let us now switch to the language of buildings. Namely, we assume that the root filtration space \( \mathcal{E} \) is the root shadow space of a thick irreducible spherical building \( \Delta \) of simply-laced type with index set \( I \). Recall from Section 2 that the points of \( \mathcal{E} \) are the \( J \)-shadows of \( \Delta \) (where \( J \) is the root set) and two points are collinear when the two corresponding \( J \)-shadows intersect a common \( j \)-panel for some \( j \in J \). Let \( \text{Aut}(\Delta) \) and \( \text{Aut}^0(\Delta) \) denote the full groups of automorphisms and type-preserving automorphisms, respectively, of \( \Delta \). Clearly, \( \text{Aut}^0(\Delta) \) acts on the point set of \( \mathcal{E} \) and, furthermore, it preserves the collinearity relation \( \mathcal{E}_{-1} \). Thus \( \text{Aut}^0(\Delta) \) induces a group of automorphisms of \( \mathcal{E} \).

For a half-apartment \( \alpha \) of \( \Delta \), the root group \( U_\alpha \) is the subgroup of \( \text{Aut}(\Delta) \) consisting of all those automorphisms that act trivially on any panel that intersects \( \alpha \) in at least two chambers. Consequently, \( U_\alpha \) acts trivially on \( \alpha \).
Selecting a chamber in the arctic region of \( \alpha \), we see that any panel containing this chamber intersects \( \alpha \) in two chambers, and so \( U_\alpha \) stabilises a panel of each type in \( I \). Hence \( U_\alpha \) is type-preserving, that is, \( U_\alpha \leq \text{Aut}^o(\Delta) \). In turn, this means that \( U_\alpha \) stabilises setwise every residue that intersects \( \alpha \).

The building \( \Delta \) is Moufang if for each half-apartment \( \alpha \) of \( \Delta \) and for each panel \( P \) containing just one chamber of \( \alpha \), the root group \( U_\alpha \) acts transitively on \( P \setminus P \cap \alpha \). It is well-known that all irreducible spherical buildings of rank at least 3 are Moufang buildings (c.f. Theorem 11.6 of [W2]). Hence, in the simply-laced case, \( \Delta \) is always Moufang unless it has type \( A_2 \).

Suppose now that \( \Delta \) is Moufang. Since \( \Delta \) is of simply-laced type, Theorem 30.14 of [W1] implies that the root groups of \( \Delta \) are all abelian. Combining this property with Proposition 3.16 in [MW] we obtain the following result.

**Proposition 6.9** Suppose \( \Delta \) is a Moufang building. If \( \alpha \) and \( \alpha' \) are two half-apartments with the same polar region, then \( U_\alpha = U_{\alpha'} \).

This proposition means that, in the Moufang case, we can write \( U_R \) instead of \( U_\alpha \), where \( R \) is the polar region of the half-apartment \( \alpha \). For the next proposition, recall that we assume that \( \mathcal{E} = \text{RSh}(\Delta) \) and so the points of \( \mathcal{E} \) are the \( J \)-shadows or, equivalently, polar regions of half-apartments.

**Proposition 6.10** If \( \Delta \) is Moufang, then for each point \( R \) of \( \mathcal{E} \), the image of \( U_R \) in \( \text{Aut}(\mathcal{E}) \) is contained in the point group \( Y(R) \).

**Proof.** Let \( Q \in \mathcal{E}_{\leq 0}(R) \) and choose an apartment \( \Sigma \) that intersects both \( R \) and \( Q \). Let \( \alpha \) and \( \beta \) be the half-apartments in \( \Sigma \), whose arctic regions are \( R' = R \cap \Sigma \) and \( Q' = Q \cap \Sigma \), respectively. Note that, by Proposition 6.9, we have that \( U_R = U_\alpha \). By Table 1, \( \alpha \) has a nontrivial intersection with \( Q' \), and hence with \( Q \). Since \( U_R = U_\alpha \) is type-preserving and it fixes a chamber from the \( J \)-shadow \( Q \), it must stabilise \( Q \) setwise and thus fix it as a point of \( \mathcal{E} \).

Next, let \( l \) be a cutting line with respect to \( R \) and \( Q \in \mathcal{E}_1(R) \cap l \). Again, select an apartment \( \Sigma \) that intersects both \( R \) and \( Q \). Let \( R' \), \( Q' \), \( \alpha \), and \( \beta \) have the same meaning as in the paragraph above. By Table 1, there is a unique half-apartment in \( \Sigma \) whose arctic region \( S' \) is collinear with both \( R' \) and \( Q' \) in \( \text{RSh}(\Sigma) \). Let \( S \) be the corresponding polar region. Then \( S = [R, Q] \). Since \( S' \) and \( Q' \) are collinear, they intersect a common \( j \)-panel of \( \Sigma \), for some \( j \in J \), that extends to a unique \( j \)-panel \( r \) of \( \Delta \). The \( j \)-panel \( r \) defines a line of \( \mathcal{E} \) containing both \( S \) and \( Q \), which coincides with the line \( l \) since \( \mathcal{E} \) is a partial linear space. Finally, since \( U_R = U_\alpha \) is type-preserving and it fixes a chamber in \( r \), it must stabilise \( r \) and thus also \( l \).
Therefore the image of $U_R$ in $\text{Aut}(\mathcal{E})$ lies in the point group $Y(R)$. 

We previously mentioned that the only case where $\Delta$ can possibly be non-Moufang is when it has type $A_2$. The final proposition of this section shows that in our situation, where $\mathcal{E}$ arises as the extremal geometry of a Lie algebra, $\Delta$ is Moufang even for the type $A_2$.

**Proposition 6.11** Suppose that the extremal geometry $\mathcal{E}(L)$ is isomorphic to the root shadow space of a building $\Delta$ of type $A_2$. Then $\Delta$ is a Moufang building.

**Proof.** Let $\mathcal{E} = \text{RSh}(\Delta)$. Note that, for the type $A_2$, the root set $J$ and the index set $I$ coincide, namely, $I = J = \{1, 2\}$. In particular, the points of $\mathcal{E}$ are the chambers and the lines are the panels of $\Delta$. Let $\alpha$ be a half-apartment of $\Delta$ whose polar region is the point (chamber) $p$ of $\mathcal{E}$. Then $\alpha$ contains three chambers including $p$.

Let $l$ be a panel containing two chambers of $\alpha$. Then one chamber must be $p$ and so $l \leq \mathcal{E}_{-1}(p)$. Hence the point group $Y(p)$ acts trivially on $l$. Secondly, let $l$ be a panel that contains exactly one chamber $q$ of $\alpha$. Then $q \neq p$. Since $\mathcal{E}_0$ is empty in the building of type $A_2$ (no two roots are perpendicular), we have that $l \setminus \{q\} \subset \mathcal{E}_1(p)$ and hence $l$ is a cutting line with respect to $p$. As $\mathcal{E}$ and $\mathcal{E}(L)$ are isomorphic, it follows from Corollary 6.8 that $Y(p)$ acts regularly (and hence transitively) on $l \setminus \{q\}$. This shows both that $Y(p)$ is contained in the root group $U_\alpha$ and that $\Delta$ is hence Moufang. \hfill \Box

### 7 Proof of Theorem 1.1

In this section we complete the proof of the main result of the paper. We begin with a couple of technical results about Moufang buildings.

Let $\Delta$ be an irreducible spherical building and assume it to be Moufang. Fix an apartment $\Sigma$ of $\Delta$. Let $U$ be the group generated by the root subgroups $\{U_\alpha \mid \alpha \in \Sigma\}$.

**Lemma 7.1** The building $\Delta$ is the union of $\{\Sigma^g \mid g \in U\}$.

**Proof.** Let $c$ be a chamber in $\Delta$. We show that there exists $g \in U$ such that $c \in \Sigma^g$. We proceed by induction on $\text{dist}(c, \Sigma) = \min_{x \in \Sigma} \text{dist}(c, x)$ where $\text{dist}(c, x)$ is the length of a minimal path between $c$ and $x$. Obviously if $\text{dist}(x, \Sigma) = 0$, then choosing $g$ to be the identity suffices. Suppose now that $(x_0 = x, x_1, x_2, \ldots, x_{k-1}, x_k = c)$ is a minimal path of length $k$ from $\Sigma$ to $c$. In particular, $x \in \Sigma$ and $x_1 \notin \Sigma$. Let $P$ the panel containing $x$ and
Let \( x' \) be the unique chamber in \( \Sigma \) different from \( x \) that is contained in \( P \). Let \( \alpha \) be the unique half-apartment in \( \Sigma \) containing \( x \) but not \( x' \). Since \( \Delta \) is a Moufang building, there exists a \( g \in U_\alpha \) such that \( x_1^g = x' \in \Sigma \). Then \( (x_1^g, x_2^g, \ldots, x_k^g = c^g) \) is a path of length less than \( k \). By the inductive hypothesis, there exists \( h \in U \) such that \( c^g \in \Sigma^h \). Then \( c \in \Sigma^{hg^{-1}} \). But \( hg^{-1} \) is in \( U \) since \( g \in U_\alpha \).

Recall that we identify \( \text{RSh}(\Sigma) \) with the corresponding set of points of \( \text{RSh}(\Delta) \) by identifying every arctic region in \( \Sigma \) with the corresponding polar region in \( \Delta \). Hence we can write under this identification that \( \text{RSh}(\Sigma) = \{ R \in \text{RSh}(\Delta) \mid R \cap \Sigma \neq \emptyset \} \).

**Corollary 7.2** The root shadow space \( \text{RSh}(\Delta) \) is the union of \( \{ \text{RSh}(\Sigma)^g \mid g \in U \} \).

**Proof.** Let \( R \) be in \( \text{RSh}(\Delta) \). Let \( c \) be a chamber of \( R \). By Lemma 7.1 there exists \( g \in U \) such that \( c \in \Sigma^g \). But then \( R \cap \Sigma^g \) is a nonempty intersection and thus \( R \in \text{RSh}(\Sigma^g) = \text{RSh}(\Sigma)^g \), as required.

We now embark upon the proof of Theorem 1.1. Let \( L \) be a finite-dimensional simple Lie algebra that is generated by its extremal elements (or, equivalently, extremal points). Let \( \mathcal{E} = \mathcal{E}(L) \) be the extremal geometry of \( L \) and assume it has lines. Then \( \mathcal{E} \) is isomorphic to the root shadow space of an irreducible spherical building \( \Delta \). We are additionally assuming that \( \Delta \) is of simply laced type and so in view of Proposition 6.11 \( \Delta \) is Moufang. Let \( L' \) be the subalgebra as defined in Section 5 with respect to a fixed apartment \( \Sigma \) of \( \Delta \). Namely, \( L' \) is generated by the image \( \mathcal{E}_\Sigma := \{ F_{x_{\alpha}} \mid \alpha \in \Phi \} \) of \( \text{RSh}(\Sigma) \) under the isomorphism from \( \text{RSh}(\Delta) \) to \( \mathcal{E}(L) \). Let \( G \) be the group generated by \( \{ \exp(x_{\alpha}) \mid \alpha \in \Phi \} \). We state and prove the following observation.

**Proposition 7.3** The group \( G \) leaves \( L' \) invariant.

**Proof.** Select a root \( \alpha \) in \( \Phi \) and let \( x \in L' \). Then
\[
\exp(x_{\alpha}, t)x = x + t[x_{\alpha}, x] + t^2 g_{x_{\alpha}}(x)x_{\alpha}
\]
and this is clearly in \( L' \) by its construction.

By Corollary 6.8, \( G \) induces on \( \mathcal{E}(L) \) the group generated by all the point groups \( Y(F_{x_{\alpha}}) \). On the other hand, combining Proposition 6.10 with Corollary 7.2 we see that
\[
\mathcal{E} = \bigcup_{g \in G} \mathcal{E}_\Sigma^g.
\]
That is, $\mathcal{E}(L) = \bigcup_{g \in G} \{F x_\alpha \mid \alpha \in \Phi\}^g$. In particular, for each extremal point $F x$ in $\mathcal{E}(L)$, there exists an automorphism $g \in G$ such that $F x = (F x_\alpha)^g$. But $(F x_\alpha)^g$ is subspace of the subalgebra $L'$ by Proposition 7.3. In particular, $L'$ contains all the extremal points from $\mathcal{E}(L)$. However, since $L$ is generated by its extremal points, we conclude that $L = L'$ and the proof of Theorem 1.1 is complete.

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