Particle identification at FCC-ee

Guy Wilkinson

Department of Physics, University of Oxford, Oxford, UK

Received: 7 May 2021 / Accepted: 28 July 2021
© The Author(s) 2021, corrected publication 2022

Abstract Equipping an experiment at FCC-ee with particle identification (PID) capabilities, in particular the ability to distinguish between hadron species, would bring great benefits to the physics programme. Good PID is essential for precise studies in quark flavour physics and is also a great asset for many measurements in tau, top, and Higgs physics. The requirements placed by flavour physics and these other applications are surveyed, with an emphasis on the momentum range over which PID is necessary. Possible solutions are discussed, including classical RICH counters, time-of-flight systems, and dE/dx and cluster counting. Attention is paid to the impact on the global detector design that including PID capabilities would imply.

1 Introduction

Particle identification (PID), here defined as the ability to distinguish between hadron species, is essential for several areas of collider physics, in particular flavour and spectroscopy studies, and brings significant benefits for many others. Until now, conceptual designs for FCC-ee experiments have not placed great emphasis on this capability. In this brief article, the PID requirements at the FCC-ee are assessed, and a summary is given of the various detector technologies that are available to meet these needs. It is seen that the problem is challenging, and that more studies and developments are necessary to arrive at a satisfactory solution.

2 The importance of PID in the FCC-ee physics programme

Among the many studies that will be pursued at FCC-ee, it is flavour physics and spectroscopy, both pursued at the Z pole, that have the greatest reliance on PID. In flavour physics, three main applications exist, which can be illustrated with reference to the decay $B^0_s \rightarrow D^{\pm}_s K^{\mp}$, which is an important mode in $CP$-violation studies for measuring the unitarity triangle angle $\gamma$:

- Suppression of same-topology backgrounds The decay of interest suffers contamination from its sister decay $B^0_s \rightarrow D^{\pm}_s \pi^{\mp}$, which is an order of magnitude more abundant. At LHCb, this background overlaps with the signal in invariant mass and must be suppressed by PID. Although it is expected that the superior mass resolution of FCC-ee detectors will allow the two decays to be separated, this statement is only true if all the decay
products are charged. Any reconstruction involving neutrals, e.g. $D_{s}^{+} \rightarrow \phi \rho^{+}$, or a study of the equally interesting decay $B_{s}^{0} \rightarrow D_{s}^{\pm} K^{\mp}$, will only be possible if good $\pi-K$ separation is available. Furthermore, even when studying fully charged final states, there will be backgrounds lying under the mass peak from decays such as $B_{s}^{0} \rightarrow D_{s}^{\pm} \pi^{\mp}$ and other channels with missing particles. Suppression of these background processes will be essential in order to achieve the level of systematic control that will be mandatory with the very large FCC-ee event yields.

– suppression of combinatorial background In studying rare decay modes involving kaons or protons, it is highly advantageous to be able to reject random combinations involving pions. The same is true when reconstructing the intermediate state, for example, the $D_{s}^{+}$ meson in the $B_{s}^{0}$ decay under discussion.

– flavour tagging When measuring $CP$ asymmetries that are dependent on decay time it is necessary to flavour-tag the event, i.e. in this case determine whether the decaying $b$-hadron was a $B_{s}^{0}$ or $\bar{B}_{s}^{0}$ meson at birth. One powerful tagging method is to inspect the charge of ‘opposite-side kaons’, which arise from the decay chain $b \rightarrow c \rightarrow s$ ($\bar{b} \rightarrow \bar{c} \rightarrow \bar{s}$), where the $b$ ($\bar{b}$) indicates the quark (antiquark) produced in association with the beauty antiquark (quark) in the $B_{s}^{0}$ ($\bar{B}_{s}^{0}$) meson of interest. In $B_{s}^{0}$ studies, it is also possible to look for ‘same-side kaons’, produced in association with the $B_{s}^{0}$ meson in the hadronisation process. PID is essential to exploit both these sources of flavour tag.

The momentum spectra of the signal corresponding to these three categories of kaons are shown in Fig. 1. The spectrum of the kaons directly from the $B_{s}^{0}$ decay is hard, extending up to 40 GeV/$c$, with around three-quarters of decays contained below 25 GeV/$c$. The other two spectra are much softer, with the vast majority of tagging kaons having momentum below 10 GeV/$c$. Hence, it is concluded that the PID capabilities at FCC-ee must cover a wide momentum range.

Many spectroscopy studies also require the identification of charged kaons and protons. The benefits of PID can be clearly seen in Fig. 2, which shows the invariant-mass spectra of reconstructed $\Lambda_{b}^{0} \rightarrow J/\psi p K^{-}$ candidates used in pentaquark studies. The sample selected by ATLAS, which possesses no PID capabilities, has a high level of background [1], whereas the RICH system of LHCb allows the contamination to be reduced to around 6% [2]. At FCC-ee, it is estimated that the momentum spectrum of the protons and kaons in these decays extends to around 20 GeV/$c$.

PID will be extremely helpful for other studies. For example, in tau analyses, it will be valuable to be able to isolate final states containing kaons. Determining the quark flavour of jets is important in Higgs, top-quark, and $W$-physics. For example, measuring $V_{cb}$, $V_{cs}$ and $V_{ub}$ with on-shell $W$ decays will be a topic of great interest that will greatly benefit from kaon identification. Although dedicated studies into these possibilities are only beginning,
Fig. 2  Invariant-mass spectra of reconstructed $\Lambda_{b0}^0 \rightarrow J/\psi pK^-$ candidates used in pentaquark studies for (left) ATLAS [1] and (right) LHCb [2] (the vertical lines in the right plot indicate selection windows imposed in the analysis).

it is assumed that the PID requirements for these measurements are encompassed by those already surveyed for flavour physics at the Z pole.

3 Candidate PID technologies

3.1 Ring imaging Cherenkov (RICH) detectors

RICHes are the optimum detector technology for providing high-performance PID over a wide momentum range. They were first deployed in collider experiments in the 1990s. The DELPHI RICH at LEP and the SLD CRID at the SLC were dual radiator counters, employing TMAE as a photo-ionising gas [3,4]. The teams who worked on these detectors would be first to acknowledge that they were challenging projects. PID played an important role in the success of the $B$-factories, but in $\Upsilon(4S)$ decays the required momentum range is low and rather narrow. For this reason, Belle was able to attain satisfactory performance with an aerogel threshold counter, which is not strictly a RICH detector. The DIRC of BaBar was a very elegant and compact solution for this environment, and one which has been further developed in the TOP counter at Belle II [5,6]. The RICH systems of LHCb and COMPASS have been highly successful [7,8]. In LHCb, for example, good $\pi-K$ separation is available from momenta of a few GeV/c up to around 100 GeV/c, as can be seen in Fig. 3. However, these detectors benefit from being allocated a significant amount of space, which allows for an extended radiator length.

Indeed, the limited available space is the greatest challenge when considering the inclusion of a RICH detector in an FCC-ee experiment. With standard gases and current photodetector technologies, it is difficult to conceive of a performant layout that has much less than 1 m of radiator. The RICH volume must also encompass the mirrors and photodetectors. If the RICH system is required to provide PID at low momentum, as well as high, then a second radiator must be added, placing further demands on space. Hence, incorporating a RICH has significant implications for the overall design of the experiment, in particular the size of the tracking volume. It should also be noted that the gas radiators used in previous and current RICHes are often fluorocarbons; environmental considerations demand that other solutions should be sought for future systems. It is possible that all these problems could be
overcome by turning to novel, non-gaseous radiators, such as photonic crystals [10], but such a technology is at a too embryonic stage to be yet considered as a viable option.

The RICH photodetectors must be efficient for single photons and have good spatial resolution. If operated within the solenoid of the detector they must be robust against magnetic fields of 2–3 T, although shielding (challenging to accommodate, because of space constraints) and orientation of the devices can weaken this requirement. Cost is a major factor, as the total area to be instrumented is large: the total area of the barrel RICH alone would presumably be in the ballpark of \( \pi (2 \text{ m})^2 \times 4 \text{ m} \sim 50 \text{ m}^2 \) in any detector design, with some reduction factor coming from focusing optics. Time resolution, which is an important consideration for other applications, does not appear to be a critical attribute at FCC-ee. Hybrid photon detectors (HPDs), employed successfully at LHCb, and multianode photomultiplier tubes (MaPMTs), which were deployed in HERA-B [11] and are being installed in the LHCb Run-3 upgrade, have too low a tolerance to magnetic fields to be viable options. Hybrid avalanche photon detectors (HAPDs) are operating well in the aerogel RICH of Belle-II under a 1.5 T field [12], but would require further development to be suitable for FCC-ee applications. Microchannel plate photomultiplier tubes (MCP-PMTs) have shown robustness up to 0.7 T [13], but currently have a high cost per unit area. Silicon photomultipliers (SiPMs), although never yet deployed in a RICH system, have high robustness against magnetic fields and are a promising and fast-evolving technology. Superficially, they may appear unsuitable, because of a high dark count which requires cooling down to around \(-40^\circ\text{C}\) to ameliorate. However, radiation damage, which accentuates this behaviour, should be less of a concern at an \(e^+e^-\) collider, and random noise that is uncorrelated to the ring structure may not be a fatal impediment to pattern recognition in what is a relatively low-occupancy environment. As their name suggests, large area picosecond photodetectors (LAPPDs) are an attractive candidate solution on account of their coverage [14], but require further development. Gaseous detectors with MPGD readout, as used in the COMPASS upgrade [15], represent an alternative line of development that is robust against magnetic fields and relatively inexpensive. The CsI photocathode gives these devices sensitivity in the \(\lambda < 205 \text{ nm}\) regime, which has implications for the mirror design. Here, it is also important to take account of ageing effects in the photocathode through ion bombardment, although the environment at FCC-ee should be relatively benign in this respect.

Late remark In the final stages of preparing this article, an interesting proposal has emerged for a compact RICH system that relies on a pressure of 4 bar to achieve a low gas-radiator

![Fig. 3 Performance of the LHCb RICH system. Left: Cherenkov angle versus momentum. Right: kaon identification and misidentification probability vs. momentum, as measured for two working points [9]](image-url)
length, coupled with aerogel and SiPM readout [16]. Further studies are required to validate its suitability for FCC-ee.

3.2 Time-of-flight detectors

With the typical flight distances available in cylindrical detectors, time-of-flight (TOF) measurements are a suitable method for providing PID in the lowest momentum range of interest. By way of example, the ALICE TOF detector, based on multigap RPCs, has a resolution of around 80 ps and provides three-sigma $\pi-K$ ($p-K$) separation up to transverse momenta of 2.5 GeV/c (4 GeV/c) [17]. The interest in detectors with $\mathcal{O}(10\text{ ps})$ time resolution has increased in recent years because of their application in providing pileup mitigation at the HL-LHC. To this end, the HGTD detector of the ATLAS Phase II Upgrade is designed to reach resolutions of around 30 ps per particle through the use of Low Gain Avalanche Detectors (LGADs) [18]. The MIP timing detector of the CMS Phase II Upgrade aims for a similar performance with the same technology in the endcap, and LYSO crystals equipped with SiPMs in the barrel region [19]. Timing measurements in this regime will also be available in CMS from the new HGCAL endcap calorimeter [20]. Similar considerations are driving the design of LHCb Upgrade II, with the VELO, RICH system and TORCH detector (further discussed below) all intended to have precise timing capabilities [21]. Although pileup is not a concern at FCC-ee, all these technologies are of potential interest for use in a PID detector. Furthermore, precise timing information may have other applications, for example, in the search for long-lived particles, or in systematic studies of the characteristics of events from different regions of the interacting bunches.

Cherenkov-based TOF detectors are attracting increasing attention, of which the already mentioned TOP counter of Belle II is a currently operating example [6]. Also worthy of note are the barrel and endcap DIRC detectors of the PANDA experiment at FAIR, which are now under construction [22]. All these detectors rely on MCP-PMTs for the photodetectors, which can attain an intrinsic single-channel resolution of better than 10 ps, although the total single-photon resolution for the whole system is typically an order of magnitude worse. The most ambitious Cherenkov-based TOF detector is the TORCH (Time Of internally Reflected CHerenkov light) [23]. The TORCH makes a very precise measurement of the Cherenkov angle that allows chromatic dispersion effects to be corrected for, thereby improving the overall time resolution. Although developed as a standalone detector concept, the TORCH is being considered as a possible component of a future LHCb upgrade [21]. Figure 4 (left) shows a schematic of a TORCH module that would be suited for this application. Total-internal-reflection of the Cherenkov light occurs within the thin quartz plate, and the radiation is then imaged by MCP-PMTs in a focusing block. With such a design, and in the LHCb geometry, each photon can be reconstructed with a precision of around 70 ps, giving around 10–15 ps when integrating over all the detected photons from a track. Such a performance would allow for $\pi-K$ separation up to around 10 GeV/c. Beam tests of a prototype module have yielded results that already approach close to the design resolution [24]. Thought has been given to how a TORCH system could be integrated into an FCC-ee detector, and a possible layout is shown in Fig. 4 (right). The thin quartz bars make the concept very attractive from a space point of view, but a significant volume would still be needed for the focusing block and photodetectors. As the system would sit closer to the interaction point than in LHCb, improvements in resolution would be required to attain the same level of PID performance.
3.3 $dE/dx$ and cluster counting

The determination of $dE/dx$, the ionisation per unit path length, is a long-established technique for obtaining PID information in a tracking chamber. Typically, this approach is most effective at low momenta ($< 10 \text{ GeV}/c$). The measurement is afflicted by Landau fluctuations, which necessitates performing a truncated mean of the different samples accumulated along the track to maintain the discrimination between different particle species.

An alternative method is to count the number of clusters that give rise to the ionisation [26]. This observable has a Poisson distribution and, in principle, provides better separation. Exploiting this information, however, requires sufficient resolution and pattern recognition capabilities to identify the individual clusters. Studies are ongoing to evaluate the feasibility of cluster counting for the TPC of the ILD detector at the ILC [27].

The drift chamber of the IDEA detector, one of the conceptual experimental designs already advanced for FCC-ee, is intended to have cluster counting capabilities [28]. The expected PID performance, expressed in terms of sigma separation between particle hypotheses as a function of momentum, is shown in Fig. 5. It should be noted that the displayed curves come from an analytic calculation, rather than a full simulation. Nonetheless, the potential advantage of the cluster-counting approach is clear, with a $\pi-K$ separation that typically outperforms the $dE/dx$ measurement by almost a factor of two at all momenta above a few GeV/c. A detector that operated to this specification would, crucially, provide good PID in the higher momentum range of interest. Both cluster counting and $dE/dx$ have a narrow ‘blind region’ around 1 GeV/c, which would need to be compensated for by a complementary PID technology, for example, time of flight.

4 Conclusions

The enormous physics opportunities at FCC-ee demand that experiments are deployed with a broad range of detector attributes. Good PID capabilities across a wide momentum range will be essential for flavour and spectroscopy studies and will enhance the physics reach in many other topics. The cluster counting that is proposed for the IDEA drift chamber appears a promising solution, but further work is needed to understand the level of performance that can
be expected. Alternative approaches, in particular RICH counters and time-of-flight systems, would be required for other experiments. The spatial requirements that these detectors impose have implications for the choice and design of the other components of the experiment and mandate a global optimisation. Further developments in detector technology are encouraged in order to soften these constraints.

**Funding** This project is co-funded from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 95175.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article’s Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article’s Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

**References**

1. ATLAS collaboration, Study of $J/\psi p$ resonances in the $\Lambda^{0}_{c}$ to $J/\psi p K^{-}$ decays in pp collisions at $\sqrt{s}$=7 and 8 TeV with the ATLAS detector, Tech. Rep. ATLAS-CONF-2019-048, CERN, Geneva, 2019
2. LHCb collaboration, R. Aaij et al., Observation of a narrow pentaquark state, $P_{c}(4312)^{+}$, and of two-peak structure of the $P_{c}(4450)^{+}$, Phys. Rev. Lett. 122 (2019) 222001, [1904.03947]
3. E. Albrecht et al., Operation, optimisation, and performance of the DELPHI RICH detectors. Nucl. Instrum. Methods A 433, 47 (1999)
4. K. Abe et al., Results from the SLD barrel CRID detector. EEE Trans. Nucl. Sci. 41, 862 (1994)
5. BaBar DIRC, collaboration, I. Adam et al., The DIRC particle identification system for the BaBar experiment, Nucl. Instrum. Methods A 538, 281 (2005)
6. U. Tamponi, The TOP counter of Belle II: status and first results. Nucl. Instrum. Methods A 952, 162208 (2018)
7. LHCb RICH Group collaboration, M. Adinolfi et al., Performance of the LHCb RICH detector at the LHC, Eur. Phys. J. C73, 2431 (2013)
8. P. Abbon et al., The COMPASS experiment at CERN. Nucl. Instrum. Methods A 577, 455 (2007)
9. LHCb collaboration, R. Aaij et al., LHCb detector performance, Int. J. Mod. Phys. A 30, 1530022 (2015)
10. X. Lin et al., Controlling Cherenkov angles with resonance transition radiation. Nature Phys. 14, 816 (2018)
11. I. Arino et al., The HERA-B ring imaging Cherenkov counter. Nucl. Instrum. Methods A 516, 445 (2004)
12. L. Burmistrov et al., Belle II aerogel RICH detector. Nucl. Instrum. Methods A 958, 162232 (2020)
13. M. Hattawy, J. Xie, M. Chiu, M. Demarteau, K. Hafidi, E. May et al., Characteristics of fast timing mcp-pmts in magnetic fields. Nucl. Instrum. Methods A 929, 84 (2019)
14. LAPPD collaboration, B. Adams et al., A brief technical history of the Large-Area Picosecond Photodetector (LAPPD) collaboration, 1603.01843
15. J. Agarwala et al., The Hybrid MPGD-based photon detectors of COMPASS RICH-1. Nucl. Instrum. Methods A 952, 161832 (2020)
16. R. Forty, ARC: A Solution for Particle Identification at FCC-ee (FCC-ee week, CERN, 2021)
17. A. Akindinov et al., Performance of the ALICE time-of-flight detector at the LHC. Eur. Phys. J. Plus 128, 44 (2013)
18. ATLAS collaboration, Technical Design Report: A High-Granularity Timing Detector for the ATLAS Phase-II Upgrade, Tech. Rep. CERN-LHCC-2020-007. ATLAS-TDR-031, CERN, Geneva, 2020
19. CMS collaboration, A MIP Timing Detector for the CMS Phase-2 Upgrade, Tech. Rep. CERN-LHCC-2019-003. CMS-TDR-020, CERN, Geneva, 2019
20. CMS collaboration, C. Ochando, HGCAL: A High-Granularity Calorimeter for the endcaps of CMS at HL-LHC, J. Phys. : Conf. Ser. 928, 012025 (2017)
21. LHCb collaboration, R. Aaij et al., Expression of Interest for a Phase-II LHCb Upgrade: opportunities in flavour physics, and beyond, in the HL-LHC era, Tech. Rep. CERN-LHCC-2017-003, CERN, Geneva, 2017
22. PANDA Cherenkov Group collaboration, E. Etzelmüller et al., The PANDA DIRC detectors, Nucl. Instrum. Meth. A 952, 161790 (2020), [1901.04283]
23. M. Charles, R. Forty, TORCH: time of flight identification with Cherenkov radiation. Nucl. Instrum. Methods A 639, 173 (2011)
24. N. Harnew et al., Status of the TORCH Project, JINST, 15, C04031 (2020) [2003.03373]
25. R. Forty, TORCH: a novel concept for PID. in 11th FCC-ee Workshop: Theory and Experiments, CERN, 2019
26. M. Cascella, F. Grancagnolo, G. Tassielli, Cluster counting/timing techniques for drift chambers. Nucl. Phys. B, Proc. Suppl. 248, 127 (2014)
27. T. Behnke et al., Recent performance studies of the GEM-based TPC Readout (DESY Module), in International Workshop on Future Linear Colliders, 2020. 2006.08562
28. FCC collaboration, A. Abada et al., FCC-ee: the Lepton collider: future circular collider conceptual design report volume 2. Eur. Phys. J. ST 228, 261 (2019)