PEGylated Oleic Acid-Lecithin Liposomes (POLL) for Anticancer Drug Delivery
(Liposom Asid Olik-Lesitin BerPEG (POLL) untuk Penghantar Ubat Anti Barah)

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ABSTRACT

Cancer is a major health issue, conferring to more than 14.5 million deaths worldwide. Liposomes, self-assembly amphiphilic bilayer molecules, served as excellent alternative vehicles due to their ability to encapsulate both hydrophobic and hydrophilic anticancer drugs. Conventional liposomes, comprised mainly phospholipids are cost-ineffective, unstable, and easily degraded by the external environment. In this study, we introduced PEGylated oleic acid-lecithin liposomes constructed by using C-18 monounsaturated fatty acids (oleic acid) and soy lecithin, in the presence of DOPEPEG2000 in pH7.4, above their glass transition temperature, $T_g$, by employing the simple thin layer lipid hydration method. FTIR spectrum of oleic acid, soy lecithin, and DOPEPEG2000 was studied. The average particle size without further mechanical interference was 1102.3 nm while the zeta potential value was -18 mV, which is compatible with the zeta potential of the red blood cell. The polydispersity index (PDI) was reduced by 46.2% with the incorporation of the DOPEPEG2000. The morphological study using OPM showed the presence of spherical shape liposomes that exhibit the birefringence effect under the light field and Maltese cross under the dark field. Encapsulation of folinic acid, methotrexate, doxorubicin, or irinotecan resulted in greater than 75% encapsulation efficiency (EE). Half-maximal inhibitory concentration, $IC_{50}$, was significantly reduced in POLL as compared to free anticancer drugs. Our data demonstrate POLL may be a promising alternative vehicle to deliver various anticancer drugs to targeted tumour sites.

Keywords: Anticancer drugs; liposomes; oleic acid; POLL; soy lecithin

ABSTRAK

Barah merupakan isu kesihatan utama yang menyebabkan lebih 14.5 juta kematian di seluruh dunia. Liposom, iaitu molekul swabentuk dwilapis merupakan penghantar alternatif terbaik berkisar kebolehannya untuk mengkapsulkan ubatan anti barah hidrofobik dan hidrofilik. Liposom yang lazimnya diperbuat daripada fosfolipid adalah tidak efektif daripada segi kos, tidak stabil dan mudah terurai oleh persekitaran luar. Dalam kajian ini, kami memperkenalkan liposom asid olik-lesitin berPEG yang terdiri daripada asid lemak tak tepu C-18 (asid olik) dan lesitin soya, dengan kehadiran DOPEPEG2000 dalam pH7.4, pada suhu lebih tinggi daripada suhu peralihan gelas $T_g$, menggunakan metod hidrasi lapisan nipis lipid. Spektrum FTIR asid olik, lesitin soya, dan DOPEPEG2000 juga turut dikaji. Purata saiz partikel adalah 1102.3 nm manakala keupayaan zeta adalah -18 mV, sesuai dengan keupayaan zeta sel darah merah. Indeks polisebaran telah berkurang sebanyak 46.2% dengan penambahan DOPEPEG2000. Kajian morfologi menggunakan Mikroskop Optikal Pengutuban menunjukkan kewujudan liposom herbentuk sfera yang menghasilkan kesan dwibiasan pada medan cerah dan silang Maltese pada medan gelap. Pengkapsulan asid folinik, metotreksat, dokorubisin atau irinotecan menghasilkan lebih 75% kecekapan pengkapsulan. Kepekatian separa rencatan, $IC_{50}$ telah berkurang dengan signifikan pada POLL berbanding ubatan anti barah. Data menunjukkan POLL mungkin berpotensi untuk menjadi penghantar ubatan anti barah ke tempat yang dituju.

Kata kunci: Asid olik; lesitin soya; liposom; POLL; ubatan anti barah

INTRODUCTION

More than 14.1 million new cancer cases (Bray & Shield 2017) were reported globally and World Health Organization (WHO) projected that the new cases of cancer would rise to 21.4 million cases by 2030 (Beaglehole et al. 2011). The common sites of new cancer cases reported are lung, breast, cervix, prostate, colorectum, stomach, liver, and oesophagus. Statistics from the National Cancer Council of Malaysia (MAKNA) reported that the deadliest lung cancer kills more than 95% of patients within 5 years of diagnosis (Lai & Dewi 2015). Cancer can be explained as an uncontrolled production of cells in the body disregarding normal cell division and potentially invading to other parts of the body (Martinez-Pastor & Mostoslavsky 2012).

The growth of cancer cells is generally divided into four numerical stages, which are stage I, where cancer is relatively small, stage II for cancer that invades into lymph nodes close to the tumour, stage III for cancer spreads into...
surrounding tissues, and stage IV for cancer that spreads to other organs. Cancer staging is one of the most important parameters used to specify prognosis, to assess the treatment results, to evaluate the similarities between different cases, to diagnose the cancer trends, and to design a suitable therapy to treat cancer (Dollinger et al. 2002).

Various approaches are available to treat patients with cancer, such as tissue removal through surgery (Bae et al. 2013; Dollinger et al. 2002; Feng et al. 2016; Wu 2013), radiotherapy (Bae et al. 2013; Chun et al. 2017; Vorbeck et al. 2017; Yom 2015), and chemotherapy (Dollinger et al. 2002; Gupta et al. 2017; Heshmat & Eltawil 2017; Lewis & Bloomston 2016; Vlasova et al. 2014). Combination therapy of surgery or radiotherapy with chemotherapy is normally taken to achieve the synergistic effect. Unfortunately, most chemotherapy drugs cause substantial damage to the organs such as heart, lung, or kidney, and may lead to secondary cancer due to the occurrence of cell mutation during chemotherapy (Dollinger et al. 2002). Even though this combinational mechanism is successful in treating various cancer, it still causes more than 14.5 million deaths worldwide in 2014 (Heshmat & Eltawil 2017), mainly due to insufficient delivery of chemotherapeutic drugs to the tumour target tissue or due to severe and harmful toxic effects on normal cells (Anderson et al. 2013).

Many drug carriers from colloidial particulates (Immodin et al. 2006) such as micelles (Lasic 1992; Mehta & Jindal 2013; Stevenson-Aboelhnsar et al. 2007), emulsion (Ninomiya et al. 2016), and liposomes (Banerjee et al. 2004; Bansal et al. 2016; Eh Suk & Misran 2016; Napia et al. 2018; Sercombe et al. 2015; Suzuki et al. 2012) had been developed to deliver chemotherapeutic drugs to the targeted tumours and hence improve their therapeutic effects. Liposomes gain the most attention due to their ability to cross cell membranes and to deliver anticancer drugs of different solubility. Since the discovery of liposomes in the 1970s (Gebicki & Hicks 1973), many amphiphilic molecules especially phospholipids had been used in formulating liposomes as a carrier for anticancer drugs. In this study, two amphiphilic molecules, which were soy lecithin containing more than 95% phosphatidylcholine and oleic acid were used to prepare liposomes (Hamzah et al. 2017). The formation and properties of the liposomes (Fameau et al. 2014) are highly governed by the types and length of the hydrophobic tails, ionization of the hydrophilic groups, the existence of functional groups on the alkyl chain, and the binding with counter-ions. The mixture of these materials was less explored due to the instability of liposomes prepared by the fatty acid or phospholipid alone. However, incorporation of the stealth molecule (Hsu et al. 2014; Jøraholmen et al. 2017; Lehtinen et al. 2012; Suzuki et al. 2012), (1,2-dioleoyl-sn-glycerol-3-phosphoethanolamine -N-[methoxy (polyethylene glycol)-2000]) in the formulation enhanced the stability of liposomes (Hsu et al. 2014), which expected to provide a protective coating from reticuloendothelial system (RES) and prolong the blood circulation half-life (Lehtinen et al. 2012).

Materials and Methods

Oleic acid (90.0% purity) and pharmaceutical grade sodium hydroxide were purchased from Fluka, while hydrochloric acid was purchased from Sigma Aldrich. DOPEPEG2000 (1,2-dioleoyl-sn-glycerol-3-phosphoethanolamine -N-[methoxy (polyethylene glycol)-2000]) was obtained from Avanti Polar Lipids Inc. and pharmaceutical grade chloroform (99.0% purity) was purchased from Merck. L-α-lecithin from soybean (97.7% purity) was purchased from Calbiochem. Dulbecco’s Modified Eagle Medium (DMEM), fetal bovine serum (FBS), and Penicillin-Streptomycin-Glutamine were purchased from Thermo Scientific. All solutions were prepared by using deionized water with 18.2 µS cm⁻¹ deionized by Barnstead NANO pure® Diamond¹³-ultrapure water system. All experiments were carried out at 30 ± 1 °C unless otherwise stated.

Spectroscopic Analysis

Infrared spectroscopy measurements of oleic acid, soy lecithin, and DOPEPEG2000 were analysed with Fourier Transform Infra-Red Spectroscopy (FTIR) Spectrum 400 (Perkin Elmer, USA) in Department of Chemistry, University of Malaya. One hundred µg of samples (0.2% w/w) were added to potassium bromide (KBr) in the mortar and ground to homogeneous (Zofka et al. 2013). The mixture was then carefully sandwiched in the 13 mm evacuable potassium bromide dies (Perkin Elmer, USA) and transferred onto pistil of the manual hydraulic press (Specac, UK) followed with the manual pump until the pressure reached 10 tons to produce the sample disk of ~13 mm. After a minute, the pressure was released and the sample disk was transferred to the IR sample holder. The disk was then scanned for sixteen scans per sample within the wavenumbers range of 400 to 4000 cm⁻¹ at room temperature. IR spectroscopy of the sample was matched to the wavenumbers of every species.

Differential Scanning Calorimetry (DSC)

Thermal behaviour of oleic acid, soy lecithin, and DOPEPEG2000 were analysed using DSC Q20 Difference Scanning Calorimetry (TA Instruments, USA) in the Department of Chemistry, University of Malaya. About 5 mg of the sample (Duh et al. 2016; Menon et al. 2015) was weighed carefully into the 40 µL Tzero aluminium pans, covered with the Tzero Hermetic Lid, and sealed carefully using a Tzero sample press. An empty 40 µL Tzero pans covered with Tzero Hermetic Lids and sealed in the same manner was used as reference pan. Both sample and reference were then transferred onto the platform in the DSC cell. Nitrogen gas (Air Products Sdn. Bhd., Malaysia) with flow rates of 50 mL min⁻¹ was used as the atmospheric gas. The DSC was set to equilibrate at -30°C for one minute with the aid of liquid nitrogen and ramped to 150°C with ramping rates of 2°C min⁻¹. Glass transition temperature of the samples was identified from the thermograms.
PREPARATION OF PEGYLATED OLEIC ACID-LECITHIN LIPOSOME (POLL)

Oleic acid, soy lecithin, and DOPEPEG2000 were dissolved in 5 mL CHCl₃ and homogeneously mixed up using ultrasonicator (JEIO, Japan) for 10 min. The mixture was placed under reduced pressure using a rotary evaporator (Büchi®, USA) to remove CHCl₃. POLL was prepared by employing the thin lipid hydration technique (Tan & Misran 2013; Teo et al. 2011), where the resultant thin lipid mixture by using warm phosphate-buffered saline (PBS). The solution was adjusted to pH7.4 using 0.05 mol dm⁻³ HCl or NaOH and marked up to 10 mmol dm⁻³ using PBS pH7.4.

OPTICAL POLARIZING MICROSCOPE (OPM)

The presence of the POLL was observed by employing an optical polarizing microscope (Leica, Germany) at 50× magnification and analysed using Leica QWin image analysis software. A clean glass slide was used to place 200 µL of sample and covered with a coverslip. Immersion oil was dropped onto the coverslip for viewing purposes. The sample was observed under the light phase to observe the birefringence effect while the dark phase was used to detect the Maltose cross of liposomes.

DETERMINATION OF PARTICLE SIZE AND ZETA POTENTIAL

Zetasizer NanoZS (Malvern Instruments Ltd., United Kingdom) equipped with a 4 mW He-Ne laser at 633 nm was used to measure zeta potential and particle size of POLL. One mL of sample was injected into polycarbonate with gold plated folded capillary cell electrodes to measure zeta potential while four-sided clear fluorescent quartz cuvette was used to measure the particle size. All measurements were carried out in triplicate at 30°C.

ENCAPSULATION EFFICIENCY

Thin lipid film of POLL was hydrated with 1 mM solution of irinotecan, methotrexate, leucovorin, or doxorubicin and adjusted to pH7.4 using an appropriate amount of 0.05 mol dm⁻³ HCl or NaOH. Vivaspin 10 kDa molecular weights cut off (Sartorius Stedim, Belgium) centrifuged at 1500 rpm was used to discard the free drugs in the solution (Ohnishi et al. 2013). The amount of free drug was then quantified from the calibration curve that generated from the absorbance of Varian Cary 50 UV-Visible spectrophotometer against the concentration of anticancer drug at 30°C. Encapsulation efficiency (%EE) of drugs can be calculated using the equation \[\%EE = \frac{(100 - (100F/T))}{T}\] where F is the amount of free drug, while T is the total of the drug in the solution.

CELL CULTURE

Homo sapiens lung carcinoma cell lines A549 (ATCC® CCL-185TM) was provided by Dr. Lee Poh Foong and Dr. Teoh Boon Yew from Lee Kong Chian Faculty of Engineering and Science, UTAR. Cell cultures studies were conducted in Translational Core Laboratory, Faculty of Medicine, University of Malaya. A549 was grown in DMEM supplemented with 10% FBS and penicillin-streptomycin-glutamine. The cell was incubated in 5% CO₂ at 37°C. The MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide) assay technique was employed to quantify the changes in the number of cells regarding the cytotoxicity of the medium (Sun et al. 2015; Yang et al. 2015). One hundred µL of 0.5×10⁶ cells mL⁻¹ were seeded in 96 wells plate in triplicates and allowed to attach for 24 h. The cells then treated with POLL encapsulating anticancer drugs at varied concentrations by using POLL as a negative control. POLL showed comparable cytocompatibility, which is agreeable with many published reports suggesting that blank phospholipid liposome does not show any toxic effect on the cell lines (Sun et al. 2015). After 24 h, cells were rinsed with PBS and treated with 5 mg mL⁻¹ MTT solution and incubated for 4 h followed by the addition of dimethyl sulfoxide (DMSO). The cells were further incubated for 12 h and the absorbance of the cells was measured using multi-mode microplate reader (SpectraMax®, USA).

RESULTS AND DISCUSSION

FTIR ANALYSIS

FTIR is widely explored to deliver spectral information indicating some similarities from one to another during raw material analysis, quality control, characterization, and identification of extract product in the fat and oil industry. FTIR spectra of DOPEPEG2000, oleic acid, and soy lecithin are displayed in Figure 1. The presence of a peak at 722 cm⁻¹ in Figure 1(c) was attributed to the C-H groups of alkane in oleic acid (Singh et al. 2016). The peaks around 1100 cm⁻¹ were the characteristic peak for stretching in C-C, C=O, and C=O bonds as well as bending vibration in C-C and C-O bonds (Chylińska et al. 2016; Deygen & Kudryashova 2016), which can be further used for determination either it was related to trans conformation or gauche conformation with respective to molecules (Deygen & Kudryashova 2016). The main characteristic of polyethylene glycol (PEG) molecules (Deygen & Kudryashova 2016), which can be observed by the peak at 1240 cm⁻¹ was due to the presence of stretching vibration of the C=O bond in all materials (Singh et al. 2016; Varga et al. 2013). The significant peak around 1700 cm⁻¹ was due to the presence of stretching vibration of the C=O bond in all materials (Singh et al. 2016; Varga et al. 2013). The peaks around 2800-3000 cm⁻¹ are observed by the symmetric and asymmetric stretching vibrations of C-H bands of the methylene groups (Muthukumaran & Philip 2016). The characteristic broad band between 3000 and 3500 cm⁻¹ was credited to the strong intramolecular hydrogen bonding and O-H stretching vibration of water vapour (Soares et al. 2016).
Determination of Glass Transition Temperature, $T_g$

Thermogram of oleic acid, DOPE-PEG2000, and soy lecithin in Figure 2 shows the strong gel to the liquid transition temperature, $T_g$, where liquid crystalline was formed as an effect of melting at the non-polar hydrocarbon tail of surfactant. At these temperatures, the samples lost their glass-like properties (Crompton 2006) and became a viscous flexible rubbery state at $T_f$. During this transition, the stress relaxation where Young's and shear modulus, specific heat, the coefficient of expansion, and dielectric constant were changed abruptly. Unsaturated fatty acid, oleic acid had the glass transition temperature at -4.3°C hence appeared as a viscous liquid in the room temperature. However, soy lecithin showed a blunt $T_g$ peak which was comparable with the result reported by Vijayakumar et al. (2016) that formed by the crystal transition of carbon-hydrogen molecules in soy lecithin chain. Table 1 presented the glass transition temperature ($T_g$) of oleic acid, DOPE-PEG2000, and soy lecithin. Information on $T_f$ is important in the preparation of liposomes as they are formed at this temperature.

![Figure 1. FTIR spectra of DOPEPEG2000 (a), lecithin (b), and oleic acid (c) at 30°C](image)

Particle Size Distribution Analysis

Analysis of the particle size of liposomes is crucial in controlling the compatibility for intravenous delivery (within the range of 4000 nm) (Zhang et al. 2011), bioavailability, and uptake (Paini et al. 2015) of the encapsulated compounds into different cells. Figure 3 shows the size distribution of oleic acid liposomes, oleic acid-lecithin liposomes, and PEGylated oleic acid-lecithin liposomes (POLL), which was measured by employing dynamic light scattering method and calculated using Stokes-Einstein relationship at 30°C. At pH7.4, oleic acid liposomes displayed a smallest average hydrodynamic size of 650.1 nm with polydispersity index values of 0.487, followed by POLL, and oleic acid-lecithin liposomes at 1102.3 and 1768.2 nm, respectively. Cis double bond in C-18 oleic acid chain enhances the curvature of the bilayer through bends and kinks hence produce a smaller size liposomes in order to minimize the free energy of the system (Kanicky & Shah 2002). Oleic acid-lecithin liposomes gave a greater size as a result of multilamellar liposomes formation as well as the presence of glycolipids groups attached with esterified sugar (Eh Suk & Misran 2017; Zhao et al. 2015) as shown in the inset micrograph. An optimum amount of DOPEPEG2000 in the formulation will reduce the average diameter of liposomes (Eh Suk & Misran 2016) as exhibited by POLL. Polydispersity index was significantly reduced from 1.000 in oleic acid-lecithin liposomes to 0.538 in POLL. Inset micrograph in Figure 3 confirmed the presence of PEGylated oleic acid-lecithin liposomes by birefringence effect in light phase and Maltese cross in the dark phase of the optical polarizing micrograph.

Zeta Potential Analysis

Negatively charged liposomes will ensure their biocompatibility as most of the cell membranes bear negative charges (Ciani et al. 2007). Table 2 shows the zeta potential determination of liposomes which also affected the electrophoretic mobility and conductivity of liposomal dispersion in PBS pH7.4 at reported temperature. Zeta potential values of oleic acid liposomes, oleic acid-lecithin liposomes, and POLL were -62.3±2.6, -41.1±1.9, and -18.0±1.0 mV, respectively. Zeta potential was successfully reduced by more than 70% to be suitable with red blood cells which are -14 mV. The presence of PEGylated lipid in the formulation will further reduce the clearance of liposomes by providing a stealth coating to POLL (Levchenko et al. 2002).

Encapsulation Efficiency (%EE) of Anticancer Drug

Figure 4 displays the encapsulation efficiency percentage of different anticancer drugs either in the aqueous inner part or within the bilayer membrane of oleic acid liposomes, oleic acid-lecithin liposomes, and POLL (Naeem et al. 2016). More than 75% of individually encapsulated folinic acid, methotrexate, doxorubicin, and irinotecan were successfully loaded in the liposomes. This will protect them from denaturing and enhance the therapeutic effect at the targeted site (Paini et al. 2015). An intermediate Log P doxorubicin showed the highest encapsulation efficiency in all three formulations as compared to the other three anticancer drugs. With Log P at +0.53, doxorubicin has a strong potential to be exhibited in both bilayer and aqueous compartment (Nii & Ishii 2005). Folinic acid owing a Log P of -2.67 was predicted to locate at the aqueous compartment of liposomes (Gulati et al. 1998), showed more than 80% EE in all formulations. However, the encapsulation efficiency of anticancer drugs was also governed by other factors such as liposomes properties,
aqueous volume, membrane rigidity, surface area, and preparation methods.

**Evaluation of Half Maximal Inhibitory Concentration, \( IC_{50} \)**

The MTT assay is one of the most used *in vitro* toxicology test (Boeckel et al. 2014; Yang et al. 2015), that is important to establish the safety limit of exposure to anticancer drugs, and evaluate the efficacy of the drugs or formulations against the desired effect (Frazier 1992). The blank POLL showed cytocompatibility with the A549, showing that it is ideal for drug delivery applications. Antitumor potential of POLL individually encapsulating anticancer drugs (folinic acid, methotrexate, doxorubicin, or irinotecan) were evaluated in A549 human lung cancer cell. Formation of formazan at the healthy viable cells by water-soluble tetrazolium salt was quantified using a multi-mode microplate reader.

The half maximal inhibitory concentrations, \( IC_{50} \), of folinic acid, methotrexate, doxorubicin, and irinotecan in POLL were lower when compared to their free drugs (Figure 5). With increasing the exposure time, the \( IC_{50} \) values were not reduced, likely due to the presence of fatty acid in the formulation that enhanced the growth of the cell. However, generally, \( IC_{50} \) of folinic acid, methotrexate, and irinotecan in POLL was significantly lower as compared to their free drugs. This suggests that the POLL was remarkably effective in inhibiting the growth of the A549 cells (Ishida et al. 2001; Sun et al. 2015).

**Conclusion**

PEGylated oleic acid-lecithin liposomes (POLL) were prepared using soy lecithin and oleic acid, stabilized by DOPEPEG2000 without any intervention of external energy or mechanical procedures. Investigation of the thermograms of oleic acid, soy lecithin, and DOPEPEG2000 provide the
FIGURE 3. The average particle size of one-day-old oleic acid liposomes (■), lecithin-oleic acid liposomes (●), and PEGylated oleic acid-lecithin liposomes (▲) prepared in PBS pH7.4 at 30°C. Inset micrographs are PEGylated oleic acid-lecithin liposomes viewed using light phase (a) and dark phase (b) optical polarizing microscope (OPM) at room temperature. Scales are 20 µm.

TABLE 2. Zeta potential, mobility, and conductivity of one-day-old oleic acid liposomes, lecithin-oleic acid liposomes, and PEGylated oleic acid-lecithin liposomes (POLL) prepared in PBS pH7.4 at 30°C

| Liposomes         | Zeta potential (mV) | Mobility (µm cm V⁻¹ s⁻¹) | Conductivity (mS cm⁻¹) |
|-------------------|---------------------|---------------------------|------------------------|
| Oleic acid        | -62.3±2.6           | -5.3±0.2                  | 17.2±0.4               |
| Oleic Acid - lecithin | -41.1±1.9       | -3.5±0.2                  | 18.5±0.9               |
| POLL              | -18.0±1.0           | -1.5±0.1                  | 15.8±0.9               |

FIGURE 4. Encapsulation efficiency (left) and distribution of anticancer drugs (right) in oleic acid liposomes, oleic acid-lecithin liposomes, and PEGylated oleic acid-lecithin liposomes (POLL) liposomes prepared in PBS pH7.4 at 30°C.
information on the minimum temperature that needed to produce oleic acid liposomes, oleic acid-lecithin liposomes, and POLL. The average hydrodynamic diameter of POLL was 37.7% reduced from oleic acid-lecithin liposomes. The morphological study using OPM exposed that liposomes were spherical in shape and lamellarity. Zeta potential value was successfully reduced to close to the zeta potential of the red blood cell. Anticancer drugs namely folinic acid, methotrexate, doxorubicin, and irinotecan hydrochloride were individually loaded into oleic acid liposomes, oleic acid-lecithin liposomes, and POLL with yielded more than 75% encapsulation efficiency. In vitro toxicity test in human lung cancer cell A549 using MTT assay showed the IC_{50} values were lower in POLL as compared to free anticancer drugs. This suggests that POLL may be effective and show a high potential to be used as the vehicle for anticancer drugs.

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