Relativity of quantum states and observables

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Abstract – Under the principle that quantum-mechanical observables are invariant under relevant symmetry transformations, we explore how the usual, non-invariant quantities may capture measurement statistics. Using a relativisation mapping, viewed as the incorporation of a quantum reference frame, we show that the usual quantum description approximates the relative one precisely when the reference system admits an appropriate localisable quantity and a localised state. From this follows a new perspective on the nature and reality of quantum superpositions and optical coherence.

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Introduction. – The Hilbert space formulation of quantum theory provides an empirically successful theoretical account of laboratory experiments. However, as recognised soon after the inception of this theory \cite{1,2}, and revisited at various times throughout its development (\textit{e.g.}, \cite{3–7}), attention must be paid to the fact that quantum observables are defined and measured relative to a reference frame —they are relational attributes\textsuperscript{1}. The fact that such reference frames are themselves quantum systems poses challenges concerning their precise definition and interpretation. Thus, it is paramount to understand the features of quantum reference systems that allow them to properly fulfil their role.

There is an abundance of literature on quantum reference frames. This includes work of mainly foundational interest, for example, the possibility of extending the relativity principle to quantum mechanics \cite{5,10} and the “view” from a quantum frame of reference \cite{6}, the possibility of practically obviating superselection rules \cite{3,7,11–13}, and the role played by reference frames in the reality of optical coherence \cite{7,14,15}. On the more practical side, work on reference frames has been phrased to a large extent within the framework of resource theories (including asymmetry and coherence, \textit{e.g.}, \cite{7,16–19}), used in a range of applications in quantum information science.

Previous work on the subject of quantum reference frames has lacked mathematical precision and has not provided a coherent conceptual account of the relationship between the description with (“relative/relational”) and without (“absolute”) reference to a frame. In this paper, we provide a rigorous mathematical apparatus from which, under the physical principle that all measurable quantities are invariant under given symmetry transformations (\textit{e.g.}, shifts, rotations, etc.), a resolution of a range of conceptual problems follows naturally.

The relativisation procedure we provide (eq. (3)) generalises (by considering positive operator-valued measures for reference quantities) and makes rigorous a map in \cite{7}; we use it to construct invariant quantities out of arbitrary (“absolute”) ones, and compare the two descriptions. Arguing that “absolute” quantities are mere theoretical symbols, not represented in reality, but corresponding to relative quantities for which the frame dependence has been suppressed, we show that accurate representation of relative quantities follows from the presence of an appropriately localised reference quantity. This, we argue, has a clear physical interpretation but has thus far been absent from the reference frames literature. Focussing on the case of $U(1)$ symmetries associated with phase-like quantities, we use our scheme to investigate the meaning of quantum coherence —optical coherence in particular— which we show to be a relational notion, and introduce the concept of mutual coherence between two systems. This allows for a completely relational approach to quantum coherence.
We point out where our perspective differs from the main-stream view throughout the text; in [20] we provide detailed analysis and examples; here we present a summary of our main findings.

Preliminaries. — Associated to each physical system is a complex Hilbert space $H$. The space of bounded operators on $H$ is denoted $\mathcal{L}(H)$ and the trace class $\mathcal{L}_1(H)$, the positive trace-one elements of which we identify with the states, the pure states being singled out as the rank-one projections of the form $P[\phi] \equiv [\phi]\langle \phi|$ for some unit vector $\phi$. An observable is represented by a positive operator-valued measure (POM) $E:F \rightarrow \mathcal{L}(H)$ on a $\sigma$-algebra $\mathcal{F}$ of subsets of the outcome set $\Omega$, i.e., $0 \leq E(X) \leq 1$, $E(\Omega) = 1$, and $E$ is ($\sigma$-)additive on disjoint sets. If $E$ is a projection-valued measure, the observable represented by $E$ is called sharp and is the spectral measure of a unique self-adjoint operator $A$. $E$ will be called unsharp otherwise. $F$ is typically identified in this paper with the Borel sets $B(\Omega)$ of the real line ($\Omega = \mathbb{R}$) or the circle ($\Omega = S^1$).

The system part of a quantum system-plus-reference state, the pure states being singled out as the rank-one positive trace-one elements of which we identify with the observable quantities of $S$ which is a phase-shift invariant quantity. For a system actually measured is a relative phase between two lasers, which we identify with the interval $[-\pi, \pi]$. We write $S = \mathcal{L}(H)$, the space of bounded operators on $H$, and $S$ is a complex Hilbert space $S^\ast$ is typically identified in this paper with the Borel sets $B(\Omega)$ of the real line ($\Omega = \mathbb{R}$) or the circle ($\Omega = S^1$). The following holds:

$$\text{tr} [\tau_\Theta(A)\rho] = \text{tr} [A\tau_\Theta(\rho)] = \text{tr} [\tau_\Theta(A)\tau_\Theta(\rho)]$$

for all $A \in \mathcal{L}(H)$, $\rho \in \mathcal{L}_1(H)$. The first equality defines $\tau_\Theta: \mathcal{L}_1(H) \rightarrow \mathcal{L}_1(H)$ as the predual to $\tau_\Theta$; it is unique and trace-preserving, and has the same form as $\tau_\Theta$. The invariance of a density matrix $\rho \in \mathcal{L}_1(H)$ under $U_S$ is equivalent to its $\tau_\Theta$-invariance; eq. (1) entails that, provided one only considers invariant quantities, $\rho$ and $\tau_\Theta(\rho)$ are observationally equivalent. Dually, if one uses only invariant states, $A$ and $\tau_\Theta(A)$ cannot be distinguished. These observations also hold, mutatis mutandis, for $\mathcal{R}$ and $S + \mathcal{R}$.

This has immediate impact on the possibility of observing coherence of superpositions: for example, if only the system $S$ is taken in isolation, the superposition state $\Psi = \sum_n c_n |n\rangle$ cannot be distinguished by any invariant quantity from the mixed state $\tau_\Theta(\rho(\Psi)) = \sum_n |c_n|^2 |n\rangle\langle n|$, since $\Psi$ could be a coherent state ($c_n = e^{-|n|^2 / 2}\beta^n / \sqrt{n!}$), whether there is any empirical difference between representing the state of a laser by $\rho(\Psi)$ rather than $\tau_\Theta(\rho(\Psi))$ has profound implications upon whether the output of a laser is “really” coherent.

Relativisation. Let $F: B(S^1) \rightarrow \mathcal{L}(H_\mathcal{R})$ be a POM with the covariance property

$$e^{in\pi\theta}F(X)e^{-i\pi\theta} = F(X + \theta)$$

(2)

(addition modulo $2\pi$). $N_\mathcal{R}$ is a number observable for $\mathcal{R}$ and $F$ is called a (covariant) phase POM; we note that if the spectrum of $N_\mathcal{R}$ is bounded from below, as in the harmonic-oscillator Hamiltonian for example, $F$ is never projection valued [21,22].

We define a “relativising” map $\mathcal{Y}: \mathcal{L}(H_\mathcal{S}) \rightarrow \mathcal{L}(H_\mathcal{T})$ by

$$\mathcal{Y}(A) = \int_{S^1} U_S(\theta)AU_S(\theta)^\ast \otimes F(d\theta)$$

(3)

which takes “absolute” quantities to relative ones $-\mathcal{Y}(A)$ is invariant under the representation $U = U_S \otimes U_\mathcal{R}$ of $S^1$ (i.e., $\tau(\mathcal{Y}(A)) = \mathcal{Y}(A)$). The construction of $\mathcal{Y}$ requires the theory of integration of operator-valued functions with respect to an operator measure; following [23] we may first define $\mathcal{Y}$ on a suitable dense subset of $\mathcal{L}(H_\mathcal{S})$, extended to $\mathcal{L}(H_\mathcal{T})$ as described in [20].

We find that $\mathcal{Y}$ is bounded, normal (ensuring the existence of a unique predual map which acts on states) and completely positive [20], and $\mathcal{Y}(\tau_\Theta(A)) = \tau_\Theta(A) \otimes 1$. $\mathcal{Y}$ is a rigorous and more general version of the “$*$” map appearing in [7]. We note that $\mathcal{Y}$ does not act on states, as was mistakenly assumed for the $S$ there, and instead one must consider its predual $\mathcal{Y}^\ast$, and the inverse image $\mathcal{Y}^\ast(\{\cdot\})$. $\mathcal{Y}$ also differs from $\mathcal{S}$ in that there is no recourse to improper eigenstates of continuous-spectrum operators, and the relativising quantity $F$ may be unsharp.

We will hereafter assume that $F$ has the localisation property known as the norm-1 property [24] —for any $X$ with $F(X) \neq 0$ there is a sequence of unit vectors $(\phi_i)$ in $H_\mathcal{R}$ for which $\lim_{i \rightarrow \infty} \langle \phi_i|F(X)|\phi_i\rangle = 1$. For this property to hold, the Hilbert space $H_\mathcal{R}$ must be infinite dimensional [25]. All projection-valued observables have such a
property, and in this special case $\mathcal{Y}$ is a $^*$-homomorphism and, therefore, preserves the algebraic structure of $\mathcal{L}(\mathcal{H}_S)$. $\mathcal{Y}$ has the effect of relativising given self-adjoint operators, and more generally POMs, of $\mathcal{H}_S$. If $\mathcal{F}_S$ is a covariant phase POM, $\mathcal{Y} \circ \mathcal{F}_S$ is a relative phase observable [20,26]. If $\mathcal{F} = E^{\mathbb{R}^n}$ is the spectral measure of the self-adjoint azimuthal angle operator $\Phi_\mathbb{R}$ conjugate to angular momentum along some axis, and $\mathcal{F}_S$ is the analogous quantity of $\mathcal{S}$, then $\mathcal{Y}(\mathcal{F}_S) = \mathcal{F}_S - \Phi_\mathbb{R}$: the relative angle between the system and reference. $\mathcal{Y}$ may also be defined with respect to the shift group on $\mathbb{R}$ by replacing in (3) $U_S(\theta)$ with $e^{i\theta P}$ ($P$ is the momentum) and $\mathcal{F}$ with the spectral measure of the position $Q_\mathbb{R}$. Then $\mathcal{Y}(Q_S) = Q_S - Q_\mathbb{R}$: the relative position. We view the “absolute” operators as forming their relative counterparts; as well as relativising the familiar quantities just discussed, $\mathcal{Y}$ also relativises any “absolute” quantity represented by an arbitrary POM. The important question of the adequacy of such an absolute description as approximating the relative/invariant one shall be addressed shortly.

The predual map $\mathcal{Y}_* : \mathcal{L}_1(\mathcal{H}_\mathcal{T}) \to \mathcal{L}_1(\mathcal{H}_S)$ may be written explicitly on product states as

$$\mathcal{Y}_*(\rho S \otimes \rho R) = \int_{S^1} U_S(\theta)^* \rho S U_S(\theta) \text{tr}[\rho R F(\theta d\theta)]$$

and extended to all of $\mathcal{L}_1(\mathcal{H})$ by linearity and continuity. Just as $\mathcal{Y}$ relativises observables, $\mathcal{Y}_*$ “derelativises” states. In fact, it is a precise version of a “dequantization” map of [7].

Restriction. Consider now the isometric embedding $\mathcal{V}_\omega : \mathcal{L}_1(\mathcal{H}_S) \to \mathcal{L}_1(\mathcal{H}_T)$ defined by $\rho \mapsto \rho \otimes \omega$. This has a dual (restriction) map $\Gamma_\omega : \mathcal{L}(\mathcal{H}_T) \to \mathcal{L}(\mathcal{H}_S)$, which on tensor product operators $A \otimes B$ takes the form

$$\Gamma_\omega(A \otimes B) = A \text{ tr} [\omega B],$$

extended by linearity and continuity to $\mathcal{L}(\mathcal{H}_T)$. $\Gamma_\omega$ is a channel, restricts POMs of $\mathcal{S} + \mathcal{R}$ to those of $\mathcal{S}$, and is used to translate back from the relative picture to the “absolute” one, contingent upon the state $\omega$ of $\mathcal{R}$.

We may compose $\Gamma_\omega$ with $\mathcal{Y}$ which yields the map $\mathcal{L}(\mathcal{H}_S) \to \mathcal{L}(\mathcal{H}_S)$:

$$(\Gamma_\omega \circ \mathcal{Y})(A) = \int_{S^1} U_S(\theta)^* AU_S(\theta)^* \mu_\omega^F(\theta d\theta),$$

where the measure $\mu_\omega^F(X) := \text{tr}[\omega F(X)]$ and we observe that $(\Gamma_\omega \circ \mathcal{Y})_* = \mathcal{Y}_* \circ \mathcal{V}_\omega$. We investigate the agreement between $A$ and $(\Gamma_\omega \circ \mathcal{Y})(A)$ and discuss the consequences.

Reference localisation and coherence. In the previous section we described how $\mathcal{Y}$ relativises arbitrary “absolute” quantities of $\mathcal{S}$, giving invariant quantities of $\mathcal{S} + \mathcal{R}$, and how the restriction map $\Gamma_\omega$ recovers a description in terms of $\mathcal{S}$ alone. The localisation properties of the measure $\mu_\omega^F$ dictate the quality of the approximation of $(\Gamma_\omega \circ \mathcal{Y})(A)$ by $A$. We now consider the extremes, i.e., high reference state localisation and complete localisation, and discuss the implications.

Localisation. Consider a sequence of unit vectors $(\phi_i) \subset \mathcal{H}_R$ which becomes increasingly well localised around 0 with respect to a covariant phase POM $\mathcal{F}$ (which satisfies the norm-1 property). Then,

$$\lim_{i \to \infty} (\Gamma_\phi_i \circ \mathcal{Y})(A) = A$$

in the topology of pointwise convergence of expectation values [20]2. Intuitively, as $\mu_\phi^F$ becomes concentrated around $\theta = 0$, the only contribution to the integral (4) is on a vanishingly small neighbourhood of 0. For instance, high-amplitude coherent states peaked around zero phase are “near” eigenstates of $F_\text{can}$ — the canonical phase [27]— with approximate “eigenvalue” 0, and the above limit may be equivalently understood as the high-amplitude limit in a set of coherent states.

For any $\rho \in \mathcal{L}_1(\mathcal{H}_S)$ and $A \in \mathcal{L}(\mathcal{H}_S)$,

$$\lim_{i \to \infty} \text{tr}[\tau_\omega(\rho \otimes P[\phi_i]) \mathcal{Y}(A)] = \text{tr}[\rho A],$$

and hence good approximation of $A$ by $\mathcal{Y}(A)$ can be done using only invariant states of $\mathcal{S} + \mathcal{R}$.

This proves that if the reference system $\mathcal{R}$ has a localisable phase-like quantity, the description of the system alone is a good approximation of the relative/invariant one, with the quality of approximation being arbitrarily good given sufficiently well-localised states of $\mathcal{R}$. Though high-amplitude coherent states have been shown to be important in these kinds of considerations (e.g., [7,14,28]), to our knowledge it has never been pointed out that the high phase localisation is the crucial property.

Delocalisation. At the other extreme to high localisation, eigenstates of $N_R$ and their mixtures are completely phase delocalised, in which case the measure in (4) is the Haar measure on $S^1$. Indeed, consider the state $\tau_{\mathcal{R},\rho_R}$. Then,

$$(\Gamma_{\tau_{\mathcal{R}},(\rho_R)} \circ \mathcal{Y})(A) = \frac{1}{2\pi} \int_{S^1} U_S(\theta)^* AU_S(\theta)^* \phi_\omega^F(\theta d\theta)$$

for any $\rho_R$, even highly localised or coherent. On states of $\mathcal{S}$, $(\Gamma_{\tau_{\mathcal{R}},(\rho_R)} \circ \mathcal{Y})_* : \mathcal{L}_1(\mathcal{H}_S) \to \mathcal{L}_1(\mathcal{H}_S)$ takes the form

$$(\Gamma_{\tau_{\mathcal{R}},(\rho_R)} \circ \mathcal{Y})*(\rho) = \frac{1}{2\pi} \int_{S^1} U_S(\theta)^* \rho AU_S(\theta)d\theta.$$

This is the “twirling” map appearing in, e.g., [7]. There, it results from an epistemic restriction when two experimenters do not share a phase reference. Here, if, for instance, $\rho_R$ is a number eigenstate, by the preparation uncertainty relation for number and phase, $\rho_R$ is completely phase indefinite — a quantum restriction. In this case, the statistics for $\mathcal{S}$ are never well represented by an arbitrary state and “absolute” quantity not commuting with $N_S$.

\footnote{As shown in [25], the convergence holds in the operator norm topology in case $[N_S, A]$ is bounded.}
Coherence. The previous analysis justifies the use of “absolute” quantities of $S$ along with an unrestricted state description whenever the state of $R$ is highly localised. An apparent circularity thus arises: in order to speak of “absolute” quantities and coherent/localised states of $S$ (i.e., those which are not invariant under $\tau_S$) as representing their invariant counterparts of $S + R$, “absolute” quantities and coherent/localised states are presumed for $R$. This situation was highlighted by Wick, Wightman and Wigner [13] in response to [3], in the context of superselection rules, and reappeared in [14] in a hypothetical dialogue concerning the reality of coherence in an optical setting.

However, no such inconsistency arises if one speaks only of coherence and localisation of pairs of states of $S$ and $R$. On the question of coherence, we observe that the following statements are equivalent: (a) there exists an invariant observable $\mathcal{E}$ of $S + R$ and $X$ such that $\text{tr}(\tau_S(\rho_S) \otimes \rho_R \mathcal{E}(X)) \neq \text{tr}(\rho_S \otimes \rho_R \mathcal{E}(X))$; (b) there exists an invariant observable of $S + R$ and $X$ such that $\text{tr}(\rho_S \otimes \tau_R(\rho_R \mathcal{E}(X)) \neq \text{tr}(\rho_S \otimes \rho_R \mathcal{E}(X))$. Statement (a) means that $\rho_S$ is coherent relative to $\rho_R$ and the invariant statement (b) ii) that $\rho_R$ is coherent relative to $\rho_S$.

We refer to any pair $(\rho_S, \rho_R)$ satisfying (a) or (b) as mutually coherent. An example is found in the contrasting expressions (5) and (7): by choosing an invariant quantity of the form $\mathcal{Y}(A)$ in place of the POM $\mathcal{E}$ above and $\rho_R$ highly (“absolutely”) localised, we may make $\text{tr}(\rho_S \otimes \rho_R \mathcal{Y}(A)) \approx \text{tr}(\rho_S A)$, with as good approximation as one chooses. However, generally, the discrepancy between $\text{tr}(\rho_S A)$ and $\text{tr}(\rho_S \otimes \tau_R(\rho_R \mathcal{Y}(A))$ is large.

Just as coherence is a relational notion, depending on both $S$ and $R$, so is localisation. Specifically, states with “absolute” coherence/localisation can be recovered in the high reference phase localisation limit: eq. (6) gives

$$\lim_{t \to \infty} \mathcal{Y}_t(\tau_*(\rho_S \otimes P|\phi_i)) = \rho_S$$

in the weak sense, showing that any state of $S$ (possibly highly localised) can be approximated by invariant states of $S + R$. “Absolute” coherence/localisation of states of $S + R$ is not required. The usual reading of localised of $\rho_S$ may be interpreted as referring to the relational localisation of $(\rho_S, \rho_R)$ in $\tau_*(\rho_S \otimes \rho_R)$. This latter state has no “absolute” coherence or localisation, and, therefore, does not require recourse to yet another system to find its meaning. Moreover, the state $\tau_*(\rho_S \otimes \rho_R)$ is not to be understood as “containing” “absolutely” localised/coherent states, due to the partial trace over either system giving an invariant/delocalised/absolutely incoherent state description.

Just as “absolute” quantities represent their invariant counterparts, with good approximation in the appropriate limit, the same may be said for $\rho_S$ and the collection $\{\tau_*(\rho_S \otimes P|\phi_i)\}$ of relative or “relational states”.

These observations have clear bearing on the issue of optical coherence of laser beams —a subject of much controversy culminating in [14], where the relational aspects of quantum coherence was emphasised, but little in the way of a formal framework was provided. In light of the relational character of coherence, the question of whether a laser beam is coherent on its own is not meaningful. One can instead enquire about the mutual coherence of a system-reference pair, and whether the reduced “absolute” coherence with an “absolute” quantity provides an empirically adequate description of the given composite and a relative observable.

Hence we may consider an “absolute” phase observable $F^S$ and a coherent state $|\beta\rangle = \sum_n c_n|n\rangle$ of $S$, and construct a relative phase observable $F^T = \mathcal{Y} \circ F^S$, so that

$$\langle \beta|F^S(X)|\beta\rangle = \lim_{t \to \infty} \langle \beta \otimes \phi_i|(\mathcal{Y} \circ F^S)(X)\beta \otimes \phi_i\rangle$$

$$= \lim_{t \to \infty} \text{tr}[F^T(X)\tau_*(P|\beta \otimes \phi_i)]$$

for each $X \in B(S^+)$ and where the limit is taken across a set of high-amplitude coherent states of the reference.

The absolute phase $F^S$ may be reconstructed in homodyne detection experiments (e.g., [29]) in which the reference system is provided by a local oscillator in a high-amplitude coherent state. Since $F^S$ is sensitive to the reference separation between a coherent state $|\beta\rangle$ and $\tau_S(P|\beta\rangle)$, we may conclude that the relative $P(\beta, \phi_i)$ are mutually coherent. This mutual coherence takes on the appearance of “absolute” coherence of a laser in the state $|\beta\rangle$ in the large amplitude limit of the $\phi_i$. We stress that the mutually coherent PAIR $(P(\beta), P(\phi_i))$ and the mutually incoherent pair $(P(\beta), \tau_R(P(\phi_i))$ represent two different physical situations, resulting in different observed statistics in homodyne experiments. Thus, it can be empirically decided that laser light is coherent, though in a different sense than is usually discussed, and that a coherent state $P(\beta)$ of a laser along with an “absolute” phase POM is an accurate reduced description of the state of affairs, physically different from the description afforded by the state $\tau_S(P(\beta))$.

Concluding discussion. — We have seen that symmetrity necessitates a relational view of states and observables, the usual textbook “absolute” description featuring as a convenient shorthand, applicable where a reference system is suitably localised and may be treated as external. “Absolute” quantities and coherence of states are to be understood as approximate descriptions of relational attributes of system and reference together.

Through experimentation it has been established that in many cases appropriate reference systems exist and that, therefore, the “absolute” description does prove empirically adequate. “Absolute” position (as “phase” conjugate to momentum), angle and optical phase appear to be such instances, and the latter bears upon the debate on the reality of optical coherence. It is legitimate in computations to use “absolute” phases and coherent states, and these theoretical notions refer not to the system alone, but only to the combination of one system with another.
There is an essential physical difference between situations in which “absolute” quantities and ("absolutely") coherent superpositions do provide an accurate account of observed statistics and in which they do not. There is no basis to expect that localised reference states exist for all phase-like quantities, and, therefore, the agreement between “absolute” and relative may not always be exact [25]. At the extreme end (complete delocalisation for the reference), only invariant quantities of $S$ (equivalently, states with no coherence) capture the observed statistics. The scenario that “absolute” quantities not invariant under symmetry do not yield what is observed may well arise for a symmetry related to particle indistinguishability [30]; it may be impossible in this case to create appropriate reference states or mutually coherent pairs.

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