Quantum simulation of $^3$He impurities and of $^4$He interstitials in solid $^4$He

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We have studied the role of an atomic $^3$He impurity and an interstitial $^4$He atom in two- and three-dimensional solid $^4$He using path integral Monte Carlo (PIMC) simulation. We find that when a substitutional $^3$He impurity is introduced, the impurity becomes localized and occupies an ideal lattice site. When an interstitial $^4$He impurity is introduced in the $^4$He solid, we find that the impurity becomes localized at a substitutional position and, thus, promotes the extra $^4$He atom to the interstitial space. As a consequence we find that the one-body density matrix (OBDM) and the superfluid fraction, for the case of a $^4$He solid with an interstitial impurity, are very similar to those calculated for a $^4$He solid with a $^4$He interstitial atom. Namely, while the off-diagonal OBDM approaches zero exponentially with increasing particle displacement for the "pure" solid, an interstitial $^4$He atom or a $^3$He impurity appear to enhance it at long distances. Finally, the effective mass of the $^3$He impurity quasiparticle in 2D and 3D crystalline $^4$He is estimated.

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I. INTRODUCTION

The torsional oscillator experiments of Kim and Chan$^1$, where at low temperature a drop in the moment of inertia is observed, have motivated a number of computational studies$^{2-9}$ of solid $^4$He as well as various theoretical proposals$^{10-12}$ to explain the observation.

There is evidence of a very strong dependence of the superfluid response on the $^3$He impurity concentration$^{13}$ as well as other well known facts$^{13}$ about the role of impurities in solid $^4$He$^{14-18}$. Proposals for the possible role of $^3$He impurities in solid $^4$He have a long history and date back in the late sixties$^{19}$ and seventies$^{20,21}$. In addition, there are several experimental studies of the NMR relaxation of such impurities in solid helium$^{22}$. It is, therefore, of great interest to study the role of impurities in solid $^4$He. Boninsegni et al.$^2$ have carried out a path integral Monte Carlo (PIMC) simulation of three-dimensional (3D) solid $^4$He using the worm algorithm and found that vacancies phase separate. Pollet et al.$^2$ and Boninsegni et al.$^2$ have also used the above PIMC technique to show that grain boundaries in solid $^4$He and screw dislocations lead to superfluidity. In addition, using the same method Pollet et al.$^2$ have shown that the gap to create vacancies closes by applying a moderate pressure.

In the present paper, motivated by the recent experimental and theoretical activity on the possible role of the $^3$He impurities in solid $^4$He, we study the role of a $^3$He impurity and of an interstitial $^4$He atom in two-dimensional (2D) and 3D solid $^4$He using PIMC simulation. In addition to the motivation generated by the previous discussed experimental activity, this problem is of interest in its own right because it is not really known what happens locally when one injects a $^3$He atom in 2D or 3D solid $^4$He, and this can be studied by quantum simulation. In particular, we use the worm algorithm$^2$ to simulate the 2D and 3D solid helium in the presence of such crystalline defects. We present results of the radial distribution functions and off-diagonal one-body density matrix (OBDM) for the following cases. (a) Pure solid $^4$He at somewhat above but near the liquid-solid melting density ($\sigma = 0.070 \text{ Å}^{-3}$ or $\sigma = 0.026 \text{ Å}^{-3}$). (b) A single substitutional $^3$He impurity in solid $^4$He. (c) An interstitial $^4$He atom (defect). This atom is identical to the other $^4$He atoms and, therefore, it participates in permutation cycles. (d) An interstitial $^3$He impurity in solid $^4$He.

We find that an initial interstitial impurity quickly relaxes to a regular lattice site of the $^4$He solid by creating an interstitial $^4$He atom as was proposed in Ref.$^{11}$ Furthermore, we find that introducing such interstitial impurities in $^4$He solid greatly enhances the long-distance part of the off-diagonal OBDM. This enhancement as well as the calculated superfluid response is comparable to that of interstitial $^4$He atomic defects. It is quite possible that at a finite density interstitial $^4$He atoms phase separate as do vacancies$^{12}$. In such case the enhancement of the OBDM at long distances and of the superfluid density, due to a single interstitial $^3$He or $^4$He atom found in the present paper, may disappear when a finite density of such impurities or interstitials is introduced. However, interstitial atoms or impurity atoms can bind to already existing defects, such as dislocations or disclinations (especially in 2D) and this tendency for phase separation may be avoided. In general, it is of great value to know what happens locally in the 2D and in the 3D crystalline $^3$He when a $^3$He impurity or an interstitial $^4$He atom is introduced.

The paper is organized as follows. In Sec. III we briefly describe the PIMC method used to study this system. In Sec. III we present and discuss the pair distribution functions for the case of a 2D and 3D solid with and without the introduction of a $^3$He impurity and $^4$He interstitial atom. The energetics of creating such atomic defects in the 2D and 3D solids as well as the calcula-
tion of the effective mass of $^3$He impurity in solid $^4$He is discussed in Sec. [VI]. In Sec. [V] we present the results for the one-body density matrix, the superfluid density and a histogram of the number of particles involved in the same permutation cycle for the cases (a-d) above for 2D and 3D solid helium. Finally, the main findings as well as the limitations of the present work are discussed in Sec. [VI].

II. SIMULATION DETAILS

Using an approximation for the density matrix that is accurate to fourth order in $\tau$, we use 320 time slices to reach a simulation temperature of 1K. We have collected data from 2500 continuous iterations for our simulations in 2D, and ~1000 continuous iterations for our simulations in 3D. Each iteration consists of 500 Monte Carlo moves.

All simulated atoms considered in our present studies are isotopes of helium and therefore interact via the same potential. We use the Aziz potential to model both the $^4$He-$^4$He interaction and the $^3$He-$^4$He interaction. With the exception of the $^3$He impurity atom the rest are all $^4$He atoms which will be treated appropriately to simulate their bosonic nature. The impurity atom is distinguishable from the “background” $^4$He atoms.

Our simulation cell is designed to accommodate either a 2D 56-site triangular lattice that is very nearly square (25.86 Å × 25.60 Å), or a 3D 180-site hexagonal close-packed lattice (18.35 Å × 19.07 Å × 17.98 Å). In both cases of the 2D and 3D lattices we have used periodic boundary conditions. The density of lattice sites is fixed at 0.086Å$^{-2}$ (2D) and 0.0286Å$^{-3}$ (3D). We will use the term pure solid for the case where there is exactly one $^4$He atom per lattice site. The term substitutional solid will be used when a single $^4$He atom is removed from the pure solid and is replaced with an impurity atom. Additionally, the term interstitial solid will be used when a single atom (either $^4$He or an impurity) is added to the pure solid.

III. DISTRIBUTION FUNCTIONS

A. Two-dimensional solid $^4$He

How does the impurity atom affect the pair distribution function $g_{44}$ of the $^4$He atoms of the underlying solid? We find that when a substitutional impurity is introduced it becomes localized and occupies an ideal lattice position with its own zero-point motion determined by its different mass. In Fig. 1 we present the calculated $g_{44}(r)$ radial distribution for pairs of $^4$He atoms for the four different case systems studied: (a) pure solid $^4$He (dashed line) (b) the $^4$He solid with a substitutional $^3$He impurity (also dashed line) (c) the $^4$He solid with an interstitial $^4$He defect (solid line), and (d) the $^4$He solid with an interstitial $^3$He impurity (also solid line). Within the accuracy of our results we cannot discern any difference in the $g_{44}$ distribution function for the cases of the pure solid and the substitutional impurities. When an interstitial impurity is present in the $^4$He solid, we find that the impurity becomes localized at a substitutional position, thereby promoting the extra $^4$He atom to the interstitial band. This is shown by the snapshot space-time configuration shown in Fig. 2. Notice that while the
initial configuration has an interstitial $^3$He impurity, in the configuration obtained after thermalization (shown in Fig. 2) the $^3$He becomes substitutional by promoting an interstitial $^4$He atom. Namely, in the equilibrium configuration, shown in Fig. 2 the $^3$He atom, in our lattice with periodic boundary conditions, is located in a regular triangular lattice position surrounded by six $^4$He atoms. In addition, a $^4$He atom has been promoted to the interstitial space which creates larger density fluctuations in the crystalline arrangement in some parts of the system. As a consequence of this fact $g_{44}(r)$, in Fig. 3 is less peaked at the lattice positions. In Fig. 3 (top) the calculated pair distribution function $g_{44}(x, y)$ for pure 2D solid $^4$He is shown and in Fig. 3 (middle) we present the contour plot of the same $g_{44}(x, y)$. This function is nearly identical for the substitutional solid (which is not shown, as it looks exactly alike). This implies that the introduced substitutional impurity becomes localized and it only affects its neighboring atoms. In the case of an interstitial impurity the difference in the $g_{44}$ distribution function, as discussed above and shown in Fig. 1 and Fig. 3 (bottom), is significant because the added impurity takes the position of a $^4$He atom and, thus, there is an extra $^4$He atom that necessarily becomes interstitial. In the bottom panel of Fig. 3 we plot $\delta g_{44}(x, y)$, the difference between $g_{44}(x, y)$ of the pure solid and the solid with a single in-
The organizational structure of the $^4$He atoms does not change in the presence of a substitutional impurity. However, when an interstitial defect or impurity is present, by looking at $\Delta g_{44}(r)$ (scale on the right) we can see that $g_{44}(r)$ becomes less peaked at the nearest-neighbor distance lattice positions.

Our finding that the interstitial impurity becomes localized at regular lattice sites can be further illustrated by comparing the contour plots of the $g_{44}(x, y)$ and $g_{34}(x, y)$ for the case where we have a $^4$He solid with an interstitial impurity. In the top panel of Fig. 4 we present the contour plot of the distribution function $g_{44}(x, y)$ for the case of a $^4$He solid with an interstitial impurity. Within the accuracy of the discretization of the probability density of the contour plot this function is independent of the type of defect or impurity. In the lower panel is the distribution function $g_{34}(x, y)$ for pairs consisting of the impurity atom and one $^4$He atom. Because the contour plots for both $g_{34}(x, y)$ and $g_{44}(x, y)$ are identical in shape and in form, we may surmise that the impurity atoms are located at lattice sites.

B. Three-dimensional solid $^4$He

In Fig. 5 we show $g_{44}(r)$ for the 3D system. As in 2D, we find that the pure solid and the substitutional solid are nearly identical in structure, as are the two interstitial solids. Also shown is the difference, $\Delta g_{44}(r)$, between $g_{44}(r)$ the pure solid and the interstitial solid. As expected, $g_{44}(r)$ for both interstitial solids is less peaked at lattice positions compared to the pure and substitutional solids. This indicates that the $^4$He interstitial solid really does have a $^4$He atom in the interstitial space, and also that the interstitial $^3$He solid has relaxed into a space where the $^3$He interstitial atom has become substitutional, and in doing so promoted a $^4$He atom to the interstitial band.

FIG. 5: The radial distribution function for pairs of $^4$He atoms in the three-dimensional HCP lattice simulation cell, $g_{44}(r)$. The organizational structure of the $^4$He atoms does not change in the presence of a substitutional impurity. However, when an interstitial defect or impurity is present, by looking at $\Delta g_{44}(r)$ (scale on the right) we can see that $g_{44}(r)$ becomes less peaked at the nearest-neighbor distance lattice positions.

FIG. 6: Potential energy of a $^3$He atom placed either substitutionally solid line (blue in the online version) or interstitially dashed line (green in the online version) into triangular solid $^4$He. After a brief relaxation, both energy values remain close except for occasional “blips” in the potential energy of the initially interstitial $^3$He atom.

FIG. 7: Snapshot of a space-time configuration of the $^3$He interstitial solid in a “blip” of elevated potential energy that appears after thermalization. Red crosses represent the $^4$He atoms at each imaginary time slice, while blue circles represent the $^3$He impurity. The $^3$He atom can be seen to be at a region of local disorder.
IV. ENERGETICS OF IMPURITY AND INTERSTITIAL

If a $^3\text{He}$ atom, initially placed in the interstitial region of a triangular solid of $^4\text{He}$ atoms, relaxes onto a lattice site by promotion of a $^4\text{He}$ atom to the interstitial space, this should be seen in the energy values of the simulated atoms. In Fig. 6 we show the potential energy of a $^3\text{He}$ atom in the substitutional and interstitial $^3\text{He}$ solids. A short relaxation time can be seen for the interstitial solid, as the $^3\text{He}$ atom relaxes onto the lattice. After that, the potential energy of a $^3\text{He}$ atom in both systems is almost the same. After 600 iterations, a small bump is seen in the energy of the (initially) interstitial $^3\text{He}$ atom. A snapshot of the atomic configuration at this elevated energy value is shown in Fig. 7. The $^3\text{He}$ atom is no longer at an equilibrium lattice position, but rather at what appears to be a possible edge dislocation. This is not entirely unexpected, as a $^3\text{He}$ atom in solid $^4\text{He}$ exhibits a high rate of diffusion. Such “blips” in the energy of the $^3\text{He}$ in the interstitial solid occur occasionally throughout our simulation, but account for no more than 5% of configurations.

| System | Energy (K) |
|--------|------------|
| 2D Int. $^4\text{He}$ - Pure $^4\text{He}$ | 50.27 ± 0.54 |
| 2D Int. $^3\text{He}$ - Sub. $^4\text{He}$ | 50.41 ± 0.55 |
| 3D Int. $^4\text{He}$ - Pure $^4\text{He}$ | 22.4 ± 1.3 |
| 3D Int. $^3\text{He}$ - Sub. $^4\text{He}$ | 24.1 ± 1.2 |

TABLE I: Excitation energy of an interstitial $^4\text{He}$ atom, as calculated by the difference in energy between (1) the pure solid and the interstitial $^4\text{He}$ solid, and (2) the substitutional solid and the interstitial $^4\text{He}$ solid.

In Table I we show the activation energy of an interstitial $^4\text{He}$ atomic defect. This is calculated by subtracting the total energy of the pure solid from the total energy of the interstitial $^4\text{He}$ solid. If the interstitial $^3\text{He}$ solid is actually the substitutional solid with an added interstitial $^4\text{He}$ atom, as we propose it is based on the distribution functions above, then the activation energy can also be calculated by subtracting the total energy of the substitutional solid from the total energy of the interstitial $^3\text{He}$ solid. We find that both methods give activation energies in agreement with one another.

We have also estimated the effective mass of the $^3\text{He}$ impurity in solid 2D and 3D $^4\text{He}$ using our data on the imaginary time diffusion following Ref. 25. Namely, we approximate the low-energy (which dominates the dispersion near the $\Gamma$ point of the Brillouin zone of both the triangular 2D solid and of the hexagonal closed packed 3D lattice)

$$E(k) = \Delta + \frac{\hbar^2k^2}{2m^*}. \quad (1)$$

It is straightforward to carry out the imaginary-time evolution for this spectrum and to calculate the average of $(r(0) - r(\tau))^2$, where $r(\tau)$ is the impurity coordinate in imaginary time. We find that,

$$\frac{m}{m^*} = \lim_{\tau \to \beta/2} \frac{\langle (r(0) - r(\tau))^2 \rangle}{2d\lambda} \frac{\beta}{\tau(\beta - \tau)}, \quad (2)$$

where $\lambda = \hbar^2/(2m)$ and $d$ is the dimensionality. In Fig. 8 we plot the right-hand-side of the above equation as calculated from our simulation for the 2D (Fig. 8(top)) and 3D (Fig. 8(bottom)) case. We find that in the 2D case the effective mass ratio of the $^3\text{He}$ impurity at $T = 1K$ is $5.10 \pm 0.02$ while at $T = 0.5 K$ it increases to $9.06 \pm 0.04$.

In the 3D case we have available results only for $T = 1 K$, where the substitutional and the interstitial impurity masses are found to be $5.67 \pm 0.03$ and $5.47 \pm 0.04$ respectively.
FIG. 9: The one-body density matrix, $n(r)$. Although no difference is observed between the pure solid and the substitutional solid, the interstitial solid clearly shows a significant enhancement of $n(r)$ quantity.

V. OFF-DIAGONAL ONE-BODY DENSITY MATRIX

In Fig. 9 we compare the one-body density matrix $n(r)$ for (a) defect-free solid $^4\text{He}$ (solid line), (b) solid $^4\text{He}$ with a substitutional $^3\text{He}$ impurity (dotted line), (c) solid $^4\text{He}$ with an interstitial $^4\text{He}$ defect (long-dashed line), (d) solid $^4\text{He}$ with an interstitial $^3\text{He}$ impurity (dashed line), and Notice that the substitutional $^3\text{He}$ impurity and the pure solid have similar one-body density matrices. On the contrary, a $^4\text{He}$ solid with interstitial $^3\text{He}$ impurity and a $^4\text{He}$ solid with interstitial $^4\text{He}$ atoms have one-body density matrices which are significantly enhanced at long distances. This result agrees with the fact that winding numbers (and hence superflow) are observed in the interstitial solid (see Table II). Notice that these superfluid fractions are very high considering that the simulation was carried out at 1K. The reason for these high su-

| 2D | 3D |
|----|----|
| Interstitial $^3\text{He}$ | 0.021(7) | 0.007(4) |
| Interstitial $^4\text{He}$ | 0.011(6) | 0.012(5) |

TABLE II: Supersolid fraction, $\rho_s/\rho$, in the presence of an interstitial atom. No global permutations were observed for the perfect lattice and the substitutional impurity.
superfluid fractions is finite size effects. These results for the superfluid fraction are presented in order to make the case that a interstitial impurity has a very similar effect on the superfluid fraction and OBDM as an interstitial $^4$He atom.

In Fig. 3 we compare the one-body density matrix for the 3D results. As in 2D, both the pure solid and the substitutional solid show exponential decay of $n(r)$. Although the enhancement of $n(r)$ at large distance is not obvious for the interstitial $^3$He solid, it is very obvious for the interstitial $^4$He solid. This may be due to a shorter MC run as compared to the 2D data. In any case, once again both interstitial solids display superfluidity, while the pure and substitutional solids do not (see Table II).

In Fig. 4 (for 2D) and in Fig. 5 (for 3D) we present a histogram of cycles (i.e., how often in the simulation we encounter cycles of exchanges involving a given number of particles). Notice that both 2D and 3D case, the pure solid and the $^3$He substitutional solid has only one or two particle permutation cycles, while when an interstitial $^3$He or $^4$He atom is introduced, it gives rise to permutations involving up to a 10 atom chain, which is as long as the longest possible distance in our lattice. This indicates that the results may not be a finite-size effect.

VI. DISCUSSION

One of the main conclusions of the present paper is that the added interstitial impurity in both 2D and 3D $^4$He becomes solid by creating a interstitial $^4$He defect; we believe that this result is firm and it is not subject to finite size effects. Furthermore, we find that the effective mass of a $^3$He impurity atom in both 2D and 3D solid $^3$He is large at $T = 1$ K ($m^*/m \sim 5$) and at a lower temperature of 500 mK in 2D it becomes even larger ($m^*/m \sim 9$).

In addition, we find that the above mentioned effect (i.e., the promotion of a $^4$He atom to the interstitial band by the impurity) gives rise to a non-zero superfluid response and a significant enhancement of the OBDM at long-distances. This suggests that, provided that this effect persists when a finite density of $^3$He impurities is present and, provided that such a metastable state can be created and maintained, $^4$He solid with such impurities should be a supersolid. However, this can not be established by the present calculation done for a single impurity in a pure $^4$He solid and it depends on a number of other factors. For example, while we have clearly demonstrated that a single $^3$He impurity acts as a donor of $^4$He atoms to the interstitial (“conduction”) band, the fate of these freed bosonic “carriers” is not certain when there is a finite density of $^3$He impurities. In this case the created interstitial $^4$He atoms can phase-separate in a similar way as vacancies do, or they may bind to existing defects, such as, dislocations, domain walls, or grain boundaries or even remain free. It is not clear that such interstitial defects exist in the $^4$He solid caused by $^3$He or other impurities. This is an issue which could depend on the process of the crystal growth.

A 2D $^4$He solid only exists as films on substrates, such as on graphite. The phase diagrams of first, second, third and fourth layer of $^4$He on graphite, as a function coverage, has been studied by PIMC simulation. The role of substrate corrugations, which is missing from the present simulation of the ideal 2D $^4$He, is important and the interplay of these substrate potential corrugations with the helium-helium interaction gives rise to a wealth of interesting phases. It is quite possible, however, that the main conclusion of the present paper, that introducing an interstitial $^3$He impurity is solid $^4$He leads to the promotion of a $^4$He atom to an interstitial position while the $^3$He impurity becomes substitutional, may remain valid even in the case of substrate corrugations.

The superfluid response which was calculated at 1 K and is given in Table II is very large considering the fact that the calculation was done at such a high temperature. This is a finite-size effect but at a much lower temperature the superfluid response is expected to be greater. A calculation of the superfluid density at a significantly lower temperature requires much larger computational time scales in order to be able to accurately sample it. In the 3D case, the zero temperature condensate fraction obtained as the asymptotic value (infinite distance value) of the off-diagonal OBDM at zero temperature, is much smaller by at least two orders of magnitude (as seen from Fig. 10). Therefore, as is well-known, there is a large factor relating the superfluid response and the actual condensate fraction. It is clear that introducing just a single impurity and taking the infinite volume limit (or infinite area limit in 2D), the superfluid density and the condensate fraction should vanish. It is interesting, however, the fact that the ratio of the values of both these two quantities to the impurity fraction (the impurity fraction is $1/N$, where $N$ is the total number of $^4$He atoms considered) is a number of order unity. These reported results on the off-diagonal OBDM and superfluid density, have only a qualitative value and one cannot draw firm conclusions because of a) finite size effects and b) they refer to the case of a single $^3$He impurity or single $^4$He interstitial.

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