Original

Potential asbestos exposure among patients with primary lung cancer in Japan

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Abstract: Objective: To investigate the extent of asbestos exposure among patients with primary lung cancer in Japan. Methods: A retrospective estimation of potential asbestos-exposed individuals, as determined by the presence of pleural plaques identified on chest computed tomography (CT), was conducted on 885 pathologically confirmed primary lung cancer patients (mean age 71.3 years, 641 males). All patients were diagnosed at 29 hospitals across Japan between 2006 and 2007. Since these hospitals belong to the Japan Federation of Democratic Medical Institutions (MINIREN), an organization of medical institutions for workers, the study subjects may contain a higher proportion of workers than the general population. Results: Pleural plaques were identified in 12.8% of subjects (15.8% in males and 4.9% in females), consisting exclusively of cases older than 50 years. They were found most frequently on the chest wall pleura (96.5%), followed by the diaphragm (23.9%) and mediastinum (9.7%). Calcifications were seen in 47 cases (41.6%). The highest prevalence of pleural plaques was seen among workers from construction-related fields (37.7%). No distinct lung cancer histology was observed in patients with pleural plaques. Coexistence of pleural plaques and small irregular opacities was observed in 2.5% of subjects. Conclusion: In a Japanese population representing more workers than general Japanese, 12.8% of patients with primary lung cancer may have experienced asbestos exposure at some time in the past. Special medical attention should be paid to individuals with a history of employment in construction-related occupations, as workers in this sector showed the highest prevalence of pleural plaques.

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Introduction

Japan was one of the largest asbestos-consuming countries. The importation and use of asbestos in Japan increased after the Second World War, and peaked in the 1970s and 1980s. Total importation between 1930-2005 was estimated at roughly 9.9 million tons\(^1\). It is well established that either occupational or environmental exposure to asbestos is associated with both malignant and non-malignant respiratory disease. The major malignant diseases of concern are lung cancer and pleural mesothelioma. More than 70\% of mesothelioma cases in Japan are believed to be associated with past asbestos exposure\(^2\). It is difficult to estimate the etiological fraction of lung cancers in a population that is attributable to asbestos exposure. While research linking asbestos exposure and malignancies has customarily followed an asbestos-exposed population\(^3\), no published study has yet investigated the proportion of lung cancer cases that are attributable to asbestos exposure in Japan. Additionally, to our knowledge no English-language paper has yet discussed radiologically identified asbestos-related pleural abnormalities in a cohort of primary lung cancer patients. Accordingly, we identified potential asbestos-exposed individuals in a population of patients with primary lung cancer from a group of hospitals in the Japan Federation of Democratic Medical Institutions (MIN-IREN). Asbestos exposure was determined by the presence of pleural plaques, which are the most common and relatively early radiographic manifestation of benign asbestos-related pleural disease, and are considered indicators of past exposure to asbestos\(^4\).

Subjects and Methods

Subjects

This hospital-based multicenter study was designed to retrospectively investigate potentially asbestos-exposed individuals among patients with primary lung cancer in Japan. In 2008, we invited a group of hospitals belonging to MIN-IREN to participate in the present study. MIN-IREN was established primarily as an organization of medical institutions for workers. In addition to the healthcare delivered by most hospitals, hospitals of this group also operate clinics specializing in occupational medicine and actively provide care for work-related diseases. Consequently, patients seeking consultation in these hospitals may represent a higher percentage of workers than occurs in the general Japanese population. We asked the participating hospitals to provide medical information, including medical records, chest radiographs (CXR), and chest computed tomography scans (CT) of all patients who were newly diagnosed with primary lung cancer. Initially, information was obtained for 947 cases, diagnosed between Jan 1, 2006 and Dec 31, 2007 in 29 hospitals from 19 prefectures across Japan. All cases were consecutive patients whose diagnosis was confirmed histologically or cytologically. After exclusion of 62 cases with uninterpretable chest images due to metastasis or an advanced stage of the disease, we included information from 885 cases (641 males and 244 females, ages 26 - 94 years) in our final analysis. Written informed consent from the patients was waived, because this was a retrospective study and used anonymized data and images. The study protocol was approved by the ethical committee of Chiba Kensei Hospital.

Collection of clinical and occupational history

Clinical history, history of smoking, and occupational information was retrieved by reviewing medical charts. Information on smoking was available for 692 cases (78.2\%). Occupational information was recorded in 615 cases (69.5\%); each of these cases was assigned a particular occupational category according to the “Classification of occupations for employment service (ESCO)\(^5\)”, defined by the then Ministry of Labor of Japan. If a subject had engaged in multiple occupations, work with the highest potential for asbestos exposure was selected.

Evaluation of chest radiographs and CT scans

Of all the radiological images, those closest to the date of diagnosis of primary lung cancer were reviewed. The images were assessed for the presence of benign asbestos-related parenchymal and pleural abnormalities by a panel of experts, consisting of occupational physicians, chest physicians and radiologists, including one B-reader (NS), who received certification from the National Institute for Occupational Safety and Health (NIOSH) of the United States. The panel members were from the participating hospitals, all of whom have many years of experience in interpreting abnormalities in the chest of dust-exposed workers. In discordant cases, a final decision was reached by consensus with the B-reader (NS).

In brief, we evaluated dust-induced parenchymal and pleural changes identified in the CXR and CT as described in the ILO 2000 International Classification of Radiographs of Pneumoconioses (ILO/ICRP)\(^6\), and the International Classification of HRCT for Occupational and Environmental Respiratory Diseases (ICOERD)\(^7\), respectively. Parenchymal changes include both small opacities and large opacities with a radiographic appearance consistent with dust and fiber inhalation. Small opacities, i.e. of up to 1 cm in width on CXR, were accordingly interpreted as small rounded opacities or small irregular opacities. On CT, all measureable, well-defined rounded opacities of up to 1 cm in breadth were recorded as small rounded opacities; while intralobular dot-like lesions or subpleural curvilinear opacities were recorded as irregular and/or linear opacities. A large opacity was defined as an opacity with the longest dimension exceeding
were compared using the Student t-test. Differences in age between sexes \( (p < 0.005) \) and in cell types differed significantly by sex \( (p < 0.001) \); however, no distinct histology was associated with a significant difference in distribution between sexes \( (p < 0.001) \). With the available information, 43.7% of males were engaged in manufacturing or as laborers, while 29.3% of females were housewives.

**Parenchymal lung and pleural abnormalities**

Radiographic findings of the 885 patients are presented in Table 2. Of 125 cases (14.1%) found to have pleural abnormalities on CT, we excluded 12 cases with changes in the chest wall pleura and recognized 113 cases (12.8%) as having pleural plaques. Chest radiographs identified pleural plaques in 48 cases, or 5.4% (44 males, 6.9%; and 4 females, 1.6%); both methods agreed on 38 cases (4.3%). Examples of pleural plaques detected on chest CT and CXR are presented in Fig. 1. The number of cases with small irregular opacities detected by CT and CXR was 107 cases (12.1%) and 71 cases (8.0%), respectively. The number of concordant findings was 56 cases (6.3%). The two methods showed small rounded opacities in 15 cases (1.7%), with concordance in 13 cases (1.5%). Chest CT identified large opacities in 8 cases (0.9%) while CXR indicated these in 6 cases (0.7%); and the two agreed on 5 cases (0.6%).

Characteristics of 113 patients with pleural plaques on their CT are found in Table 3. A higher prevalence of pleural plaques was seen in males, older age groups, and among smokers. Anatomically, involvement of the chest wall pleura was frequent (109 cases, 96.5%) and the left side predominated (81 cases, 71.7%). Calcification of plaques was detected in 47 cases (41.6%), and the coexistence of irregular opacities in 22 cases (19.5%).

Histologically, the most frequent cell type was adenocarcinoma, which was found in 408 cases (46.1%), followed by squamous cell (264 patients, 29.8%), and small cell (106 patients, 12%) (Table 4). The distribution of cell types differed significantly by sex \( (p < 0.001) \) and smoking habit \( (p < 0.001) \); however, no distinct histology of primary lung cancer was observed between patients with or without pleural plaques.

As shown in Table 5, among the major occupational categories, the prevalence of pleural plaques was highest...
Table 1. Characteristics of the 885 patients with primary lung cancer according to sex

|                                | Number (%)       | p     |
|--------------------------------|------------------|-------|
|                                | All              | Male  | Female |
| Number of patients             | 885              | 641   | 244    | .347  |
| Age, years, M ± SD             | 71.3 ± 9.9       | 71.1 ± 9.4 | 71.8 ± 11.3 |<.005  |
| Age groups, years              |                  |       |        |       |
| ≤49                            | 19 (2.2)         | 10 (1.6) | 9 (3.7) |<.005  |
| 50–59                          | 94 (10.6)        | 66 (10.3) | 28 (11.5) |<.005  |
| 60–69                          | 225 (25.4)       | 173 (27.0) | 52 (21.3) |       |
| 70–79                          | 358 (40.5)       | 272 (42.4) | 86 (35.3) |       |
| ≥80                            | 189 (21.4)       | 120 (18.7) | 69 (28.3) |       |
| Smokinga                       |                  |       |        |<.001  |
| Ever-smoker                    | 515 (74.4)       | 455 (87.7) | 60 (34.7) |<.001  |
| Non-smoker                     | 177 (25.6)       | 64 (12.3) | 113 (65.3) |       |
| Occupationb                    |                  |       |        |       |
| Professional & engineering     | 44 (7.2)         | 34 (7.4)  | 10 (6.4) |       |
| Administrative & managerial    | 10 (1.6)         | 4 (0.9)  | 6 (3.8)  |       |
| Clerical                       | 55 (8.9)         | 43 (9.4)  | 12 (7.6) |       |
| Sales                          | 43 (7.0)         | 26 (5.7)  | 17 (10.8) |       |
| Service                        | 27 (4.4)         | 11 (2.4)  | 16 (10.2) |       |
| Security                       | 9 (1.5)          | 9 (2.0)   | –       |       |
| Agriculture, forestry & fishery| 26 (4.2)         | 21 (4.6)  | 5 (3.2)  |       |
| Transport & communication      | 36 (5.9)         | 34 (7.4)  | 2 (1.3)  |       |
| Manufacturing and general labor| 215 (35.0)       | 200 (43.7) | 15 (9.6) |       |
| Housewife                      | 46 (7.5)         | –       | 46 (29.3) |       |
| Jobless                        | 54 (8.8)         | 34 (7.4)  | 20 (12.7) |       |
| Others                         | 50 (8.1)         | 42 (9.2)  | 8 (5.1)  |       |

a Information on smoking was available for 692 cases (78.2%).
b Information on occupation was available for 615 cases (69.5%).
p = p-value of Student t-test or Chi-squared test; M ± SD = mean ± standard deviation.

Discussion

Many investigators have evaluated the relationship between CT-identified pleural plaques and respiratory impairment or associated long-term health risks among asbestos-exposed populations\(^{15-18}\); however, no published study has yet documented CT-identified pleural plaques among primary lung cancer patients. To our knowledge, this is the first report concerning CT-identified asbestos-related pleural findings among a large number of subjects with primary lung cancer. The main finding of this study is that 12.8% of the study population has pleural plaques on chest CT. Since pleural plaques are the most common radiological manifestation of benign asbestos-related pleural disease and considered an indicator of past asbestos exposure\(^{5-7}\), we suggest that at least 12.8% of our patients have been potentially exposed to asbestos, either occupationally or environmentally.

Since the prevalence of pleural plaques varies widely between studies, our findings must be considered alongside evidence from other epidemiological studies. In one such study, the reported prevalence of pleural abnormalities, pleural plaques and diffuse pleural thickening was 1.5% among 2633 chest CTs taken between 2009 and 2011 in the United States\(^{19}\). That study considered a general population of white, middle-class Americans, compared to our study of Japanese lung cancer patients, and
Table 2. Radiological findings of the 885 patients with primary lung cancer

| Number (%) | CT | CXR | CT & CXR |
|------------|----|-----|----------|
| Pleural plaques* | 113 (12.8) | 48 (5.4) | 38 (4.3) |
| Pleural abnormalities | | | |
| Definite | 80 (9) |
| Probable | 33 (3.7) |
| Possible | 52 (5.9) |
| None | 720 (81.4) |
| Parenchymal abnormalities | | | |
| Irregular opacities | 107 (12.1) | 71 (8.0) | 56 (6.3) |
| Small rounded opacities | 15 (1.7) | 15 (1.7) | 13 (1.5) |
| Large opacities | 8 (0.9) | 6 (0.7) | 5 (0.6) |

*The presence of pleural plaques on CT was defined by the categories “definite” and “probable”.

CT = computed tomography, CXR = chest radiography, CT & CXR = identified using both methods

included a younger age group (59.2±12.1 years compared to 71.3±9.9 years) and a higher proportion of females (50.3% compared to 27.6%). Another study documented pleural plaques on 5.1% of 1482 chest CTs from a radiological database of a university hospital in Italy. Although this hospital-based Italian study reviewed chest CTs which were taken for various clinical indications including suspected pulmonary embolism or neoplasms, the investigators did not provide information such as age, gender, asbestos exposure, or the period in which the CT scans were obtained for the entire cohort. A large CT screening, conducted between 2003 and 2005 in France, detected pleural plaques in 15.9% of 5545 chest CTs of asbestos-exposed workers. In the French study, the population consisted exclusively of retired male asbestos-exposed workers; the average age (63.5±5.7 years) was younger than ours (71.1±9.4 years). These discrepancies among these studies might be attributable to any of several factors related to the subjects enrolled (such as age, sex, exposure to risk factors), the technology employed in CT imaging, and the definition applied for pleural plaques in each study.

Our findings were derived from the information of relatively large cohort (n = 885), pathological confirmation of primary lung cancer, and accurate determination of pleural plaques based on CT interpretation by a panel of experts. The cases in our study were from a group of hospitals that also operate clinics specializing in occupational medicine; accordingly, patients attending these hospitals may represent a higher proportion of workers than the general Japanese population. Our study may have included a greater percentage of asbestos-exposed individuals than occurs in the general population. Nevertheless, the gender distribution in our cases was close to that seen in the lung cancer registry of the general Japanese population. In contrast, males dominate in asbestos-related or occupationally acquired lung cancer cases.

In the cases we analyzed, the frequency of lung cancer cell types was lower for adenocarcinoma and higher for squamous cell and small cell cancers than that seen in a nationwide Japanese primary lung cancer population. The average age and gender distribution of the cases were comparable between the two studies. In our study, no distinct cell type was observed between patients with and without pleural plaques. All major histological types of lung cancer can be related to asbestos; accordingly, the difference in histology distribution from the nationwide report may not affect the prevalence of pleural plaques in our patients. Although smoking information was not reported in the nationwide study, this difference in cell type was thought to be attributable to the smoking habits found in our population.

We found that 12.8% of our study population has pleural plaques; frequency was higher among smokers, 15.3%, compared to 9% among non-smokers. Some investigators consider smoking to be associated with the higher prevalence of pleural plaques; however, there was no support for a causal relationship between smoking habits and pleural plaques. We believe that the higher prevalence of smoking among our cases will not significantly affect the result of this study.

Pleural plaques solely involve the parietal pleura and are considered highly specific for asbestos exposure. Diffuse pleural thickening, on the other hand, involves visceral pleura and is less specific, and may have various etiologies. In some cases, it is difficult to distinguish the two conditions based on CXR. However, in this study, we determined pleural plaques based on CT interpretation by
a panel of experts, employed a strict CT definition in diagnosis, and considered only those lesions that originated from the parietal pleura and omitted lesions involving the visceral pleura. Thus, it is possible that our study underestimated the actual proportion of pleural plaques and hence asbestos exposure. Since CT has higher sensitivity and specificity than CXR in evaluating pleural abnormalities \(^{[13,14]}\), misclassification of pleural plaques in favor of sensitivity is unlikely. On the other hand, CT could have missed some cases of pleural plaques. As Yusa et al.\(^{[27]}\) reported in 30 cases with surgically confirmed pleural plaques, 12 cases were undetected in a retrospective CT-examination study. Moreover, the absence of pleural plaques does not necessarily preclude previous asbestos exposure.

Given that 80 - 90% of radiologically identified plaques are attributable to occupational asbestos exposure in non-endemic regions\(^{[5]}\), we considered that occupation has an impact on the occurrence of pleural plaques in our patients. Due to the retrospective nature of the present study, occupational information of the cases was limited. However, we could assign 69% of the patients into a particular occupation, allowing us to examine the frequency of pleural plaques on the basis of occupational categories. We found that more than half of our cases with pleural plaques (65%, 52 of the 80 cases of whom occupation could be allocated) consisted of manufacturing and general laborers. Subgroup-analysis of these manufacturing and general laborers revealed that the prevalence of pleural plaques was highest among construction-related workers (37.7%), followed by metal processing (26.7%) and civil construction laborers (26.7%). Since the majority of asbestos-containing products were used in construction materials, automobiles, and industrial machines\(^{[1]}\); special medical attention should be paid to individuals with a history of employment in these occupations as they also are listed under occupations with high risk of asbestos exposure, published by Ministry of Health, Labor and Welfare\(^{[8]}\). Additionally, we have noted that the prevalence of pleural plaques was considerably higher among security workers (4 cases, 44.4%), and transport and communication workers (5 cases, 13.9%). However, the small number of cases in these categories make it difficult to draw any meaningful conclusions.

Regarding our imaging methods, CT can identify pleural plaques in locations that are hidden in CXR, such as the anterior chest wall and paravertebral regions. In addition, CT can distinguish rib fractures, extrapleural fat, or thoracic muscle which may mimic pleural plaques and give false positives in CXR\(^{[13]}\). In this study, among the 885 patients with primary lung cancer, CXR identified pleural plaques in 48 cases (5.4 %), while CT detected plaques in 113 cases (12.8%). Of the 48 cases positive for pleural plaques with CXR, 10 were found to be for other causes, such as pleurisy, diffuse pleural thickening, extrapleural fat, or muscle on CT evaluation. This showed that CT is more sensitive and specific than CXR in characterizing pleural abnormalities. The use of CT for better characterization of pleural abnormalities has important medico-legal implications. Pleural plaques are considered to be an early manifestation of asbestos-related diseases\(^{[6,7]}\), and could be an independent risk factor in asbestos-related lung cancer\(^{[16]}\). Early recognition in individuals with likely asbestos exposure is vital to healthcare. Moreover, identification of pleural plaques in individuals without an established history of occupational exposure could be a trigger for authorities to initiate epidemiological surveillance. Additionally, the compensation system for asbestos-related lung cancer in Japan requires, in addition to occupational history of asbestos exposure, the presence of either radiologically confirmed asbestosis or pleural plaques\(^{[28]}\).
Table 3. Characteristics of 113 patients with pleural plaques on chest CT

|                         | Number  | (%)  |
|-------------------------|---------|------|
| **Gender**              |         |      |
| Male                    | 101     | (15.8)|
| Female                  | 12      | (4.9 )|
| **Age, year**           |         |      |
| ≤49                     | –       | –    |
| 50 – 59                 | 8       | (8.5)|
| 60 – 69                 | 32      | (14.2)|
| 70 – 79                 | 51      | (14.3)|
| ≥80                     | 22      | (11.6)|
| **Smoking**             |         |      |
| Ever-smoker             | 79      | (15.3)|
| Non-smoker              | 16      | (9.0)|
| **Location**            |         |      |
| Chest wall              | 109     | (96.5)|
| Diaphragm               | 27      | (23.9)|
| Mediastinum             | 11      | (9.7)|
| **Chest involvement**   |         |      |
| Bilateral               | 43      | (38.1)|
| Left                    | 81      | (71.7)|
| Right                   | 67      | (59.3)|
| **Calcification**       |         |      |
|                         | 47      | (41.6)|
| **Irregular opacities** |         |      |
|                         | 22      | (19.5)|
| **Histology of lung cancer** |     |      |
| Adenocarcinoma          | 46      | (40.7)|
| Squamous Cell           | 37      | (32.7)|
| Large Cell              | 3       | (2.7)|
| Small Cell              | 15      | (13.3)|
| Others/Unidentified     | 12      | (10.6)|

*a Information on smoking was available for 692 cases (78.2%).
*b Only plaques on the chest wall pleura were considered.

Table 4. Histological cell types of 885 patients with primary lung cancer according to sex, smoking status, and the presence of pleural plaques

|                         | Number (%) |          |          |          |          |          |
|-------------------------|------------|----------|----------|----------|----------|----------|
|                         | All        | Gender   | Smoking* | Pleural plaque |
|                         | All | Male | Female | Ever | Non | Present | Absent |
| **Type**                | 885 | 641  | 244    | 515  | 177 | 113     | 772    |
| Adenocarcinoma          |     | (72.4)| (27.6) | (74.4)| (25.6)| (12.8)  | (87.2) |
| (46.1)                  |     | (37.8)| (68.0) | (37.7)| (67.8)| (40.7)  | (46.9) |
| Squamous cell           | 264 | 234  | 30     | 186  | 30  | 37      | 227    |
| (29.8)                  |     | (36.5)| (12.3) | (36.1)| (17.0)| (32.7)  | (29.4) |
| Large cell              | 22  | 14   | 8      | 15   | 3   | 3       | 19     |
| (2.5)                   |     | (2.2) | (3.3)  | (2.9) | (1.7) | (2.7)   | (2.5)  |
| Small cell              | 106 | 90   | 16     | 74   | 10  | 15      | 91     |
| (12.0)                  |     | (14)  | (6.6)  | (14.4)| (5.7) | (13.3)  | (11.8) |
| Others/unidentified     | 85  | 61   | 24     | 46   | 14  | 12      | 73     |
| (9.6)                   |     | (9.5) | (9.8)  | (8.9) | (7.9) | (10.6)  | (9.5)  |

*p = p-value for Chi-squared test or Fisher’s exact test as appropriate.
remains controversial. The relationship between asbestos exposure and the development of lung cancer is a well-known issue, and there is no distinct cell type that can be allocated into a particular occupation.

In addition, asbestos-exposed workers have frequently been exposed to other occupational carcinogens, such as welding fumes and polycyclic aromatic hydrocarbons. In female cases, exposure to environmental radon and air pollutants is more likely to occur than to asbestos. It is difficult to allocate the relative contributions of exposure to asbestos or other carcinogens and smoking in the pathogenesis of lung cancer. Despite the reported independent risk of pleural plaques in lung cancer, the issue remains controversial, and more importantly, pleural plaques may develop in situations with relatively low asbestos exposure. In addition, there is no distinct cell type to distinguish asbestos-related lung cancer from other lung cancers. However, this study showed that more than one-tenth of patients with primary lung cancer may have experienced a possible occupational asbestos exposure.

Since the import and use of asbestos in Japan peaked in the 1970s and 1980s, and given a latency of more than 40 years, a considerable number of asbestos-related lung cancers is expected at present, and increasingly in the coming decades. In Japan, lung cancer incidence has steadily increased in both sexes, and accounted for 15.3% of all new cancers in males and 10.0% in females in 2012. In the year 2012, there were 113,047 incident lung cancer cases. The number of these cases that can be attributed to asbestos exposure is unknown. Japan imposed a total ban on asbestos use since 2012; however, there is a growing concern about environmentally acquired asbestos-related diseases. Given that the majority (roughly 70 - 90%) of imported asbestos was used in the production of asbestos-containing cement products for building materials and in construction, the main potential source of public exposure of concern today is from the demolition of old asbestos-containing buildings. It is anticipated that the number of demolished buildings containing asbestos will continue to increase until 2030. There is a high risk of significant asbestos dispersal in work areas, and also of environmental pollution. It is crucial to implement safe practices for the management and removal of asbestos to safeguard against the dispersal of asbestos into environment.

### Conclusion

The prevalence of pleural plaques in our study differs to some extent from other population studies. Results must be cautiously interpreted due to the fact that this hospital-based study was comprised solely of patients with primary lung cancer, as well as a population representing a higher percentage of workers than the general Japanese population. Our results show that 12.8% of patients with primary lung cancer have pleural plaques on chest CT. Notably, frequency was highest among indi-
vivalds with an employment history related to construction, suggesting that these patients have experienced a possible occupational asbestos exposure. Given that pleural plaques are early manifestations of asbestos exposure, and that asbestos exposure is associated with an increased risk of malignancies, early recognition of pleural plaques in at-risk populations is important to initiate proper health surveillance and early detection of malignancies.

Conflict of interest: None declared.

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