Soret Fishnet Metalens Antenna

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At the expense of frequency narrowing, binary amplitude-only diffractive optical elements emulate refractive lenses without the need of large profiles. Unfortunately, they also present larger Fresnel reflection loss than conventional lenses. This is usually tackled by implementing unattractive cumbersome designs. Here we demonstrate that simplicity is not at odds with performance and we show how the fishnet metamaterial can improve the radiation pattern of a Soret lens. The building block of this advanced Soret lens is the fishnet metamaterial operating in the near-zero refractive index regime with one of the edge layers designed with alternating opaque and transparent concentric rings made of subwavelength holes. The hybrid Soret fishnet metalens retains all the merits of classical Soret lenses such as low profile, low cost and ease of manufacturing. It is designed for the W-band of the millimeter-waves range with a subwavelength focal length $FL = 1.58 \, \text{mm (0.5} \lambda_o \text{)}$ aiming at a compact antenna or radar systems. The focal properties of the lens along with its radiation characteristics in a lens antenna configuration have been studied numerically and confirmed experimentally, showing a gain improvement of $\sim 2 \, \text{dB}$ with respect to a fishnet Soret lens without the fishnet metamaterial.
In this work we propose a short focal length (FL) ultrathin hybrid Soret fishnet metamaterial lens with enhanced adaptation and antenna effective area. This hybrid design combines the advantages of the fishnet metamaterial and the Soret lens to overcome each other’s drawbacks. The proposed Soret fishnet metamaterial lens was designed, fabricated and experimentally analysed at the W-band of the millimetre-waves range. The experimental results show a gain of 10.64 dB at the operation frequency $f_0 = 96.45 \text{ GHz}$ ($\lambda_0 = 3.11 \text{ mm}$). The experimental radiation patterns are supported by numerical results.

**Design procedure**

**Fishnet metamaterial dispersion.** The unit cell of the fishnet metamaterial used in this work (inset of Fig. 1(a)) is designed using the commercial substrate Rogers RO5880™ and has the following dimensions: $d_x = 1.26 \text{ mm}$, $d_y = 2.1 \text{ mm}$, $d_z = 0.398 \text{ mm}$ (metal thickness $w = 0.017 \text{ mm}$ and thickness of dielectric $t_y = 0.381 \text{ mm}$), hole diameter $a = d_x/4 = 0.525 \text{ mm}$ and spacer dielectric permittivity $\epsilon_r = 2.2$, with loss tangent $\tan \delta = 9 \times 10^{-4}$. For these parameters, the cut-off frequency of the hole fundamental TE$_{1}$ mode is 112 GHz. The dispersion diagram of the infinite structure is numerically calculated using the commercial software CST Microwave Studio™ (see Methods for details). The first band appears at 87–96 GHz, as shown by the blue dash-dotted line in Fig. 1(b), and corresponds to the fishnet extraordinary transmission band (dotted line in Fig. 1(b)). However, the calculation for a finite number of plates (see Methods for details) deviates from the infinite structure curve due to the inhomogeneity of the fishnet metamaterial. From our previous experience we found that four plates is a good trade-off between total thickness and electromagnetic performance in terms of insertion loss$^{12–17, 21}$. The effective index of refraction for 4 cascaded plates (see Methods for details) is shown in Fig. 1(b) (dashed red line). We notice that the bandgap between 97 and 99 GHz due to Wood’s anomaly disappears in this case. This discrepancy is more evident in the transmission coefficient $S_{21}$ for 4 cascaded plates, shown in Fig. 1(c). This feature has been observed before and is due to the tunneling of energy when the number of plates is small$^{22}$. With these results and bearing in mind that we want the fishnet metamaterial to behave as NZIM, we chose 95 GHz ($\lambda_0 \sim 3.16 \text{ mm}$) as design frequency where the index of refraction is $n_m = 0.06$.

**Soret lens design.** The radii of the Fresnel zones $r_i$ of the Soret lens can be calculated using the next design equation$^{2–4}$:

$$r_i = \sqrt[2]{\frac{2FL \lambda_0 i}{p}} + \frac{i \lambda_0}{p}$$

where $FL$ is the focal length of the FZPL, $\lambda_0$ is the operation wavelength, $i$ is an integer denoting zone number and $p$ is the number of phase quantization levels. Given the comparable size of the fishnet in-plane periods $d_x$ and $d_y$ with the radii of the Fresnel zones, the performance of the Soret fishnet metamaterials depends on the filling ratio of the Fresnel zones. This, in turn, depends on many parameters of the design, such as $FL$, $\lambda_0$, $i$, $p$. For this reason, to quickly and easily evaluate the performance of the FZPL, a three-dimensional analytical calculation was done by means of the Huygens-Fresnel principle (See Methods). In this work the following parameters were chosen: $FL = 1.58 \text{ mm} (\sim 0.5\lambda_0)$, $i = 7$, $p = 2$. The final lens profile and fabricated Soret fishnet metalens is shown in Fig. 1(a). Unlike traditional designs, a subwavelength focal length $FL = 0.5\lambda_0 = 1.58 \text{ mm}$ was deliberately chosen in this work to demonstrate the possibility of designing very compact systems. In order to improve the aperture efficiency, or equivalently the illumination efficiency, which is low due to the small focal length, an optimization routine was run, which included the numerical calculations of the directivity for the whole structure. A small number of Fresnel zones $i = 7$ was found to be optimal. A small number of phase quantization levels $p = 2$ was chosen for a better profile approximation, taking into account the finite periods $d_x$, $d_y$ of the fishnet metamaterial. The radius of the last zone was $r_7 = 12.5 \text{ mm}$. The positive (odd) zones were made of holes of the same dimension as the fishnet (see Fig. 1(a)). The final lens had a total thickness of $5\lambda_0 + 4w = 1.973 \text{ mm}$ ($\sim 0.62\lambda_0$). Thus, the whole structure has dimensions of $32 \text{ mm} \times 32 \text{ mm} \times 1.973 \text{ mm}$.

**Results and discussion**

**Analytical calculations for the Soret lens.** First, the power distribution was calculated as a function of frequency and position along the optical axis of the lens (Fig. 2(a)) using the Huygens-Fresnel principle. The absolute maximum in this case occurs at 95.5 GHz, with focal length $FL_1 = 1.87 \text{ mm} (\sim 0.60\lambda_0)$. The secondary focus is located at $FL_2 = 7.01 \text{ mm} (\sim 2.22\lambda_0)$ and has a significantly lower magnitude. The focal point shift with frequency evidences that the Soret lens suffers from chromatic aberration. Next, the power distribution colour-maps calculated at the design frequency are presented in Fig. 3(a, b) for the $xz$- and $yz$-plane respectively. In both cut-planes, clear foci can be observed with transverse dimensions $0.36\lambda_0$ and $0.5\lambda_0$ for $xz$- and $yz$-plane respectively.

**Simulation results for the Soret lens.** With the design obtained after a fast prototyping with the Huygens-Fresnel principle, a full-wave numerical analysis of the realistic 3D model of the Soret fishnet metalens was done using the transient solver of the commercial software CST Microwave Studio™ (see Methods). These full-wave numerical results should provide a better modelling of the lens than the analytical results$^{23}$, where some simplifications were made. Initially, the power distribution as a function of frequency and position along the optical axis ($z$-axis) was obtained and is
Similarly to analytical and numerical results (Fig. 2(a-b)) along the z-axis. One can see that these results resemble closely the analytical results previously shown, but here the secondary focal spot is more prominent. The power enhancement (i.e., the ratio between the intensity with and without lens for each xz-position) corresponding to the first maximum is 10.28 dB at 98.75 GHz ($\lambda_0 \sim 3.04$ mm) with a focal distance $FL = 1.94$ mm ($\sim 0.64\lambda_0$).

Finally, the colour-map of power distribution was generated for xz- and yz-planes at 98.75 GHz. These colour-maps resemble the analytical results but again show minor dissimilarities because of the different accuracy of each method. The blueshift of the focal spot can be simply explained however by taking into account that in the analytical calculations we neglect the dielectric substrate covering the first layer which changes the effective permittivity at the output surface.

Experimental results for the Soret lens. Similarly to analytical and simulation results, colour-maps for the power spectrum along the optical z-axis and for the xz-, yz-planes were generated experimentally for the fabricated prototype, see Fig. 4 (details of the experiment can be found in Methods). The colour-map of the power distribution as a function of frequency and z position is shown in Fig. 2(c) and confirms our preliminary analytical and numerical results (Fig. 2(a,b)). The maximum power enhancement is 11.04 dB at 96.45 GHz ($\lambda_0 \sim 3.11$ mm) with a focal distance $FL_1=1.9$ mm ($\sim 0.61\lambda_0$). A secondary focus appears at $FL_2 = 7$ mm ($2.25\lambda_0$) similarly to the analytical and numerical results. One can notice that for the experimental results the power enhancement is significantly lower within the frequency range 100–104 GHz. This can be due to the presence of thin air gaps between metallic and dielectric plates arising from imperfections of the fabrication. Additional simulations for the unit cell of the fishnet metamaterial lens were run and showed that indeed the air gaps inside the fishnet metamaterial degrade its electromagnetic performance, reducing the transmitted power in this frequency range.

Next, the power was scanned on xz- and yz-planes at 96.45 GHz. The results of the spatial power distribution are shown in Fig. 3(c,f). The qualitative agreement with the numerical results is evident. To facilitate the comparison, all the results are gathered in Table I.

Radiation properties: lens antenna. After a characterization of the focal properties of the Soret fishnet metalens, next we investigate its performance as FZPA (see Methods). Notice that now the input part of the hybrid lens is the Soret lens and the output is composed of the fishnet metamaterial. Numerical results of co- and cross-polar angular power distributions for E- and H-plane are displayed in Fig. 5(a-d). The maximum is located at 98.75 GHz, in agreement with the previous numerical study. It is evident from these figures that the angular beamwidth for H-plane is wider than for E-plane. This can be explained by the excitation of the leaky waves on the surface of the Soret lens, where the current density is higher and runs parallel to the E-plane.

The normalized experimental results (see Methods for details about this experiment) for co- and cross-polar components as a function of frequency and angle are shown in Fig. 5(e-f) E-plane and Fig. 5(g-h) H-plane. One can notice the small disagreement in the co-polar component for E-plane, in particular the separation of the main beam. Even though the experiment was done with the greatest possible care and precision, this could be well explained by an undesired tilt of the lens in the experimental setup since additional simulations for a tilted lens (with a tilt angle $\theta = 7$ deg in H-plane) were run and demonstrated a similar pattern (not shown here). Consistently with the previous experiment (investigating the focal properties of the Soret lens) the experimental maximum is located at 96.45 GHz, i.e. slightly shifted from the frequency obtained by simulation. In Fig. 6(a, b), we plot together numerical and experimental results of the maximum at each respective frequency. In this figure, to facilitate the comparison, the normalized simulation and experimental radiation patterns for the E- and H-plane are presented. Logically, the performance is different, and most notably the beamwidth is wider in the experiment. Additional simulations prove that the frequency shift of 2 GHz provokes broadening of the beamwidth from 8.7 deg up to 13 deg. Another factor for the wider beamwidth in the experimental E-plane is a displacement of the waveguide probe. For example, in our case the focal displacement of 0.3 mm in the simulations increases the beamwidth from 8.7 deg up to 11 deg. The combination of these two factors widens the beam more than two times – from 8.7 deg up to 18.5 deg.

To complete the study, the numerical and experimental gain for the Soret fishnet metamaterial lens antenna is presented in Fig. 6(c). In the experiment, the gain was obtained by comparing our lens antenna with a standard horn antenna following the gain comparison method (see Methods). A high gain of 10.64 dB is found experimentally at 96.45 GHz (solid blue line). The numerical value of 14.6 dB is found at frequency 98.75 GHz by using the software-implemented far-field monitors (dashed red line). The difference in the results, the lower values of gain and the shift in frequency, can be explained as a sum of all previously described factors, such as experimental errors (misalignment, accuracy of distance measurement) and by defects in the fabrication (non-perfect contact between dielectric and metallic plates) and effective substrate losses higher than nominal values. To confirm these factors, additional simulations for the complete 3D model of the FZPA were run with an air gap of 50 μm and higher dielectric loss tangent $\tan \delta = 0.015$. The resulting gain is shown in Fig. 6(c) (dashed dotted black line).
an air gap of 50 μm between metallic and dielectric plates results in a frequency shift of the maximum from 98.75 GHz to 96.25 GHz and the higher losses result in the lower gain. Consequently, the numerical gain of the lens with higher losses and spurious air gap is closer to the experimental gain. The maximum directivity, computed readily from the simulation, is 26.0 dBi at 98.75 GHz, and a directivity 22.2 dBi is estimated from experimental results at 96.45 GHz. To facilitate the comparison, all the results are gathered in Table 1.

In order to demonstrate the advantages and improvements of the hybrid Soret fishnet metamaterial lens antenna, we compare in this section its performance in terms of gain for an increasing number of cascaded fishnet plates. As it was mentioned in the introduction, NZIM can improve the radiation parameters due to the redistribution of the energy on its boundaries. Since the phase advance inside NZIM is close to zero, at the output of the fishnet metamaterial the phase distribution is conformal to the exit surface, which is planar in our case. Therefore, the curved phase front, propagating from the Soret lens, is transformed into quasi-planar at the interface between the fishnet metamaterial and free space. Due to the inhomogeneity of the fishnet metamaterial, the NZIM regime depends on the number of the plates and tends to deviate significantly when this number is small. This can be clearly seen in Fig 7, where the $E_y$ component on $yz$-plane at 98.75 GHz is plotted for a different number $q$ of cascaded plates. With the increase of $q$, the field distribution at the output of the hybrid Soret fishnet metamaterial lens tends to become planar. As a result, the radiation is more directive, i.e. higher directivity and smaller side lobe level. In Fig. 6(d) the numerical results for the gain are shown for an increasing number of plates $q = 0, 1, 2, 3, 4, 5 (q = 0$ refers to the case when only the first layer of the lens sandwiched between substrate layers (RO5880) is present). From the figure, it is evident that increasing the number of plates improves the gain of the hybrid Soret fishnet metamaterial lens. However, a large number results in an increased thickness and therefore higher losses. Hence, for $q > 3$, the gain decreases. As a compromise solution, we thus designed our lens with the first Soret layer plus 3 fishnet plates. Such design assures lower losses and a maximum gain of metalens antenna.

To conclude, a hybrid Soret fishnet metamaterial lens antenna has been designed, numerically analysed and measured at millimetre-waves. The analysis demonstrates that the application of the metamaterial with a refractive index near zero can improve the radiation characteristics. However, because of the inhomogeneity of the fishnet metamaterial, an optimum number $q = 3$ of stacked fishnet plates has been found. The experimental results show the good performance of the lens antenna and are in good agreement with analytical and simulations results. The proposed design, which is thin, relatively cheap and easy to fabricate, demonstrates a solution to improve the radiation characteristics of the FZPAs. Such compact devices can find applications in wireless systems.

**Methods**

**Infinite fishnet index of refraction.** The effective refractive index of the infinite fishnet metamaterial was calculated using the eigenmode solver of the commercial software CST Microwave Studio, using periodic boundaries and assuming perfect electric conductor and lossless dielectric for simplicity. A fine tetrahedral mesh was used, with minimum and maximum edge lengths of 0.009 mm (−0.003λ₀) and 0.33 mm (−0.13λ₀), respectively.

**Finite fishnet index of refraction.** For the finite fishnet metamaterial model the commercial substrate Rogers RO3 impressed was used as a dielectric, with dielectric permittivity $\varepsilon_r = 2.2$ and a loss tangent $\tan \delta = 9 \times 10^{-4}$. The effective refractive index of the fishnet metamaterial, consisting of 4 periods with periodicity $d_w$, was obtained from the phase of the electric field inside the structure (assuming that the transmittance is high), using the frequency domain solver in the range 90–110 GHz. Unit cell boundary conditions were used and the metal was modelled as aluminium ($\sigma_{Al} = 3.56 \times 10^7$ S/m). The effective index of refraction was calculated as $n_{eff} = k_{eff} / (\kappa_0 k_0)$, where $k_{eff}$ is the phase variation in the thickness $d_w$ and $k_0$ is wave number in free-space. A fine tetrahedral mesh is used, with minimum and maximum edge lengths of 0.007 mm (−0.003λ₀) and 0.64 mm (−0.25λ₀), respectively.

**Huygens-Fresnel principle.** This principle states that any point where a wave front impinges acts as a secondary wave source. For simplicity and given the holey nature of
the fishnet, the holes of the first layer of the Soret lens were represented as point sources. Also, reflection and absorption were neglected. Furthermore, the phase front propagation in the fishnet metamaterial was assumed to be planar, therefore the phases of all sources were taken as equal. In addition, all sources were assumed to radiate a vertically polarized ($E_y$) wave with the same amplitude, equal to the $S_{21}$ coefficient of the fishnet metamaterial. With this assumptions, the resulting field at each point of space ($x, y, z$) can be calculated by adding the fields of all sources. Mathematically, this can be written as:

$$A_{x,y,z} = \sum_{i=1}^{n} A_i e^{ik_0l(x,y,z)}$$

where $A_i$ is amplitude of point source $i$, $l(x,y,z)$ is the distance between the point source $i$ and point in the space $(x,y,z)$; $k_0$ is the wave vector in free space; $x_i$, $y_i$ are the position coordinates of the holes; $\phi_0$ is the phase of incident plane wave.

**Focal properties simulations.** The numerical study of the metalens was done using the transient solver (finite-integration time-domain method) of CST Microwave Studio®. The metal aluminum layer was modelled as a lossy metal with the bulk conductivity of aluminum ($\sigma_{Al} = 3.56 \times 10^7$ S/m). A fine hexahedral mesh was used with minimum and maximum mesh cell sizes of 0.088 mm ($0.03l_0$) and 0.25 mm ($0.08l_0$), respectively. The metalens was illuminated by a vertically polarized ($E_y$) plane wave impinging normally on the fishnet side. Perfectly matched layers (i.e., the solver-defined open add space boundaries) were used at the boundaries of the simulation box to emulate a lens in free space. Given the two-fold symmetry of the problem, electric and magnetic symmetries were imposed in the $xz$-plane ($y=0$) and $yz$-plane ($x=0$), respectively, to reduce computation time. The simulation was run for a sufficiently long time to ensure steady-state regime so that the continuous-wave information computed by Fourier transformations was valid. The colour-map of the power spectrum as a function of $z$ position was obtained by placing...
The Soret fishnet metalens was fabricated using The Third International Symposium on Space Terahertz 2.2 and thickness 0.381 mm was Phys. Rev. A. For the radiation characteristic analysis the realistic Diffractional Optics of Millimetre Waves. REPORTS 391 quasi-optical vector network analyzer with the setup illustrated in Fig 4. Opt. Opt. Express IEEE. Trans. Antennas Propag. | et al The colour-maps for 5 Appl. Phys. Lett. Nature with permittivity 4000 mm from the lens. At this distance the radius beam waist of the Gaussian Phys. Rev. Lett. Phys. Rev. B. - component on the zy)., 517–21 (2007). H-field spectra are obtained. Focal properties measurement. The Soret fishnet metalens was fabricated using E-field and H-field probes along the optical axis (z-axis) with a 0.05 mm step. These probes record the waveform at their positions and by Fourier transformation, the E- and H-field spectra are obtained. Radiation diagram analysis. For the radiation characteristic analysis the realistic waveguide probe WR-8.0 was used as a detector, for the z raster scanning. Millimeter-wave absorbers were used throughout the setup to mimic anechoic chamber conditions. The calibration was done by recording the transmitted power without the lens. For the power distribution as a function of frequency and z position, the lens was placed in the setup and the detector was moved from 0.5 to 10 mm away from the lens along z-axis (with 0.05 mm steps) while recording the spectrum in the range 90–110 GHz. Calibration was done by recording the transmitted power without the lens. For the power distribution as a function of frequency and z position, the lens was placed in the setup and the detector was moved from 0.5 to 10 mm away from the lens along z-axis (with 0.05 mm steps) while recording the spectrum in the range 90–110 GHz.

Due to the nature of the measured waveguides, the fabricated metalens was mounted on a common reference plane using a custom made waveguide flange. The waveguide flange was used to standardize the waveguide coupling loss and to eliminate the effects of the discrete waveguide modes on the measured performance. The waveguide flange was designed to have a uniform cross-section and to ensure a consistent coupling loss over the entire frequency range. The waveguide flange was also designed to minimize the scattering losses due to the discontinuities at the waveguide junctions.

In order to obtain the gain of the lens antenna, the received power was measured using a standard gain horn antenna with the lens and waveguide probe as a transmitter. Then the lens was removed and a standard gain horn antenna replaced the transmitter. In the experimental setup, the realized gain was directly calculated using a standard gain horn antenna with the lens and waveguide probe as a transmitter. The calibration was done by recording the transmitted power without the lens. For the power distribution as a function of frequency and z position, the lens was placed in the setup and the detector was moved from 0.5 to 10 mm away from the lens along z-axis (with 0.05 mm steps) while recording the spectrum in the range 90–110 GHz.

Figure 7 Electric field distribution on the yz-plane. The colour-maps for the distribution of E, component on the yz-plane for different number of stacked plates φ.

- E-field and H-field probes along the optical axis (z-axis) with a 0.05 mm step. These probes record the waveform at their positions and by Fourier transformation, the E- and H-field spectra are obtained.
- Radiation diagram analysis. For the radiation characteristic analysis the realistic waveguide probe WR-8.0 was used as a detector, for the z raster scanning. Millimeter-wave absorbers were used throughout the setup to mimic anechoic chamber conditions. The calibration was done by recording the transmitted power without the lens. For the power distribution as a function of frequency and z position, the lens was placed in the setup and the detector was moved from 0.5 to 10 mm away from the lens along z-axis (with 0.05 mm steps) while recording the spectrum in the range 90–110 GHz.

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Author contributions

B.O.M. and M.N.-C. conceived the idea and supervised the study. G.C. fabricated the metamaterial. All authors participated in the discussion and manuscript preparation.

Additional information

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Erratum: Soret Fishnet Metalens Antenna

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The original version of this Article contained a typographical error in the volume number '5' which was incorrectly given as ‘4’. This has now been corrected in the HTML version of the Article.