First-Principles Study on Structural, Mechanical, Anisotropic, Electronic and Thermal Properties of III-Phosphides: XP (X = Al, Ga, or In) in the P6422 Phase

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Abstract: The structural, mechanical, electronic, and thermal properties, as well as the stability and elastic anisotropy, of XP (X = Al, Ga, or In) in the P6422 phase were studied via density functional theory (DFT) in this work. P6422-XP (X = Al, Ga, or In) are dynamically and thermodynamically stable via phonon spectra and enthalpy. At 0 GPa, P6422-XP (X = Al, Ga, or In) are more rigid than F43m-XP (X = Al, Ga, or In), of which P6422-XP (X = Al or Ga) are brittle and P6422-InP is ductile. In the same plane (except for (001)-plane), P6422-AlP and P6422-InP exhibit the smallest and the largest anisotropy, respectively, and P6422-XP (X = Al, Ga, or In) is isotropic in the (001)-plane. In addition, Al, Ga, In, and P bonds bring different electrical properties: P6422-InP exhibits a direct band gap (0.42 eV) with potential application for an infrared detector, whereas P6422-XP (X = Al or Ga) exhibit indirect band gap (1.55 eV and 0.86 eV). At high temperature (approaching the melting point), the theoretical minimum thermal conductivities of P6422-XP (X = Al, Ga, or In) are AlP (1.338 W·m⁻¹·K⁻¹) > GaP (1.058 W·m⁻¹·K⁻¹) > InP (0.669 W·m⁻¹·K⁻¹), and are larger than those of F43m-XP (X = Al, Ga, or In). Thus, P6422-XP (X = Al, Ga, or In) have high potential application at high temperature.

Keywords: III-phosphide; P6422 phase; density functional theory; mechanical properties; band-gap properties; thermal properties

1. Introduction

GaP and InP, which are typical second-generation compound semiconductor materials, are primarily used to produce high-speed, high-frequency, high-power, and light-emitting electronic devices. These materials are also excellent materials for producing high-performance microwave and millimeter-wave devices and light-emitting devices. With the rise of the information highway and the Internet, these materials have also been widely used in the fields of satellite and mobile communications, solar power technology and GPS navigation [1]. AlP is an important material that is mainly used in light emitting diodes and infrared photo detectors [2,3] in industrial application. AlP, GaP, and InP have been given wide attention due to high thermal conductivities and wide energy band gap [4].

Computational chemistry is the subject of applying computer technology based on basic physicochemical theories (quantum chemistry, statistical thermodynamics, and classical mechanics) and a large number of numerical methods to study and predict the regularity of the relationship between the structure and properties of chemicals. The present direction of material research and development should combine computational chemistry with material design, apply the basic principle...
of computational chemistry, and carry out material design and simulation on molecule and atom level to provide reliable theoretical guidance. The first-principle electronic structure method is widely used in materials science, including Hartree–Fock equation, DFT and so on.

Increasingly many researchers have focused on the polymorphism of XP (X = Al, Ga, or In) compound semiconductors. Xu et al. [5] studied the polymorphs, mechanical, and thermodynamic properties of AlX (X = N, P, or As) compound in the wurtzite, zinc-blende, and NiAs structures via first-principle calculations, and summarized the relationships between the temperature and the thermodynamic properties of AlX (X = N, P, or As) compounds based on a quasi-harmonic approximation. The results demonstrated that in the same structure, the hardness and Debye temperature decrease: AlN > AlP > AlAs. Based on the advanced method of crystal structure prediction, three new metastable structures of AlAs were investigated by Liu et al. [6], namely, hP6-AlAs, oC12-AlAs, and cI24-AlAs, of which the space groups are P6_3m2, C222, and I43d, respectively. The mechanical and dynamic stabilities of these structures were evaluated by calculating the elastic constant and the phonon spectrum. According to first-principle calculations, the hardness of oC12- and hP6-AlAs are larger than that of cI24-AlAs under the same pressure. Under ambient pressure, oC12-, hP6-AlAs, and cI24-AlAs exhibit semiconductor properties and the first two show direct band gap properties (0.468 eV and 1.356 eV), whereas the last exhibits indirect band gap property (1.761 eV).

By utilizing a crystal structure prediction software (CALYPSO), Yang Ruike [7] proposed four possible phases of AlP (Pmn21, Pbam-, Pbca-, and bct-AlP) and studied their structures, elastic constants, thermodynamics, and electrical properties based on first-principles. It was found that these four new phases all have semiconductor properties; Pmn21-AlP and Pbca-AlP show direct band gap properties with larger electronic advantages than wz-AlP and zb-AlP at ambient pressure; and Pmn21-AlP, Pbca-AlP, and bct-AlP are ductile. Pmn21-AlP and Pbca-AlP are direct band gap semiconductors (3.22 eV and 3.27 eV), whereas Pbca-AlP and bct-AlP are indirect band gap semiconductors (3.47 eV and 3.04 eV). Based on density functional theory (DFT), A. Baida et al. [8] studied the structural, optical, and electronic properties of indium phosphide (InP) via the augmented plane wave (FP-LAPW) method. The results demonstrated that the phase transitions from zinc-blende phase to I4mm, NiAs, PbO, and CsCl phases are possible at low pressure.

Arbouche et al. [9] used the full-potential linearized augmented plane-wave (FP-LAPW+lo) method to calculate the phase transitions of zinc-blende (zb), sc16, ccmcm, NaCl, CsCl, d-β-tin, I4mm, and NiAs of III-phosphide XP (X = Al, Ga, or In) under high pressure. The calculated physical parameters such as the lattice constants and bulk modulus demonstrated that zb-XP (X = Al, Ga, or In) are more stable than these phases and ccmcm-XP (X = Al, Ga, or In) have the highest hardness, respectively. The results on pressure transitions demonstrated that GaP will transform from the zb phase to the NaCl phase at 22.19 GPa and into the I4mm2 phase above 33.76 GPa. When the pressure changed, zb-AlP and zb-InP will transform into NaCl-AlP (at 11.78 GPa) and NaCl-InP (at 7.35 GPa), respectively, whereas CsCl-AlP and CsCl-InP transform into the NaCl-AlP (at 64.89 GPa) and NaCl-InP (at 71.79 GPa), respectively.

The physical properties of XP (X = Al, Ga, or In) in the P6_3m2 phase have not been identified to date. Therefore, in this work, the initial geometries of P6_3m2-XP (X = Al, Ga, or In) are constructed by atomic substitution base on the structure of hP6-AlAs [6]. The structural, mechanical, thermal, and electronic properties and the stability of P6_3m2-XP (X = Al, Ga, or In) have been systematically studied via density functional theory. The results demonstrate that only P6_3m2-InP is a direct band gap semiconductor material with potential application in an infrared detector.

2. Calculation Methods

The theoretical investigations on P6_3m2-XP (X = Al, Ga, or In) were conducted by utilizing density functional theory (DFT) [10,11], which is one of the most commonly used methods for calculating the properties of condensed matter physics based on the CASTEP code [12]. The generalized gradient approximation (GGA) [13] and the Perdew–Burke–Ernzerhof (PBE) [14] exchange-correlation
functional were used for geometry optimization and property prediction of the materials. To improve computational precision, the convergence analysis of cut-off energy and the k-point grid allocation in the Brillouin zone are completed in turn by keeping the cut-off energy and the k-point constant, respectively. As is shown in Figure 1, the plane-wave cut-off energies were finally chosen to be 320, 400, and 420 eV with ultrasoft pseudopotentials for \( P_{6422}\)-AlP, \( P_{6422}\)-GaP, and \( P_{6422}\)-InP, respectively. The k-points in the first irreducible Brillouin zone were set to \((11 \times 11 \times 5; 11 \times 11 \times 5; 11 \times 11 \times 5)\) [15] by using the Monkhorst–Pack scheme [16] for \( P_{6422}\)-AlP, \( P_{6422}\)-GaP, and \( P_{6422}\)-InP. By using the Broyden–Fletcher–Goldfarb–Shenno (BFGS) algorithm [17], structural parameter optimizations were conducted with the following thresholds for the convergent structures: a maximum stress of less than 0.02 GPa, a maximum residual force of less than 0.01 eV/Å, a maximum energy change of less than \(5 \times 10^{-6}\) eV per atom, and a maximum displacement of atoms for geometry optimization of less than \(5 \times 10^{-4}\) Å. The phonon spectra were calculated via linear response theory (density functional perturbation theory (DFPT)) [18]. The accurate electronic band-gap structures of \( P_{6422}\)-X\( P\) (\( X = \text{Al}, \text{Ga}, \text{or In} \)) were obtained via the Heyd–Scuseria–Ernzerhof (HSE06) [19,20] screened-exchange hybrid functional base on the previous geometry optimizations via GGA-PBE. The configurations of the valence electrons are \(3s^23p^3\) for P, \(3s^23p^1\) for Al, \(3d^{10}4s^24p^1\) for Ga, and \(4d^{10}5s^25p^1\) for In.

Figure 1. The convergence analysis of cut-off energy and the k-point grid allocation in the Brillouin zone.
3. Results and Discussion

3.1. Structural Properties

The three-dimensional crystal structure of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) is illustrated in Figure 2. The 3D crystal structure of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) is composed of an sp$^{3}$-bonded network. To evaluate the performance of the theoretical method that is used in this work, the related physical properties of $F43m$-XP ($X = \text{Al, Ga, or In}$) are also studied via the same method. The lattice parameters of XP ($X = \text{Al, Ga, or In}$) in the $P6_{4}22$ phase and in the $F43m$ phase are listed in Table 1 via GGA-PBE. The lattice parameters and the crystal density of XP ($X = \text{Al, Ga, or In}$) in the $F43m$ phase (sphalerite phase) are very close to other experimental results, namely, the optimization and calculation method that is utilized in this work can provide theoretical support for the results [21–23]. In addition, the lattice structure of $P6_{4}22$- and $F43m$-XP ($X = \text{Al, Ga, or In}$) are also optimized by using DFT-D2 (Grimme) on the basis of GGA-PBE to verify the effect of dispersion on the properties of the material. The results show that the errors between lattice constants $a$, $b$, and $c$ of $F43m$-XP ($X = \text{Al, Ga, or In}$) and experimental values without (with) considering the dispersion action are 0.78% (0.46%), 0.99% (0.72%), 1.77% (0.26%), respectively, which proves our calculation method can provide theoretical support. For $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$), the lattice constants $a$, $b$, and $c$ of $P6_{4}22$-AlP change by $-1.53\%$ ($-2.07\%$ for $P6_{4}22$-GaP, $3\%$ for $P6_{4}22$-InP), $-1.53\%$ ($2.07\%$ for $P6_{4}22$-GaP, $3\%$ for $P6_{4}22$-InP), and $-0.16\%$ (0.2% for $P6_{4}22$-GaP, 1.25% for $P6_{4}22$-InP) with considering the dispersive action, indicating that $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) are insensitive to the dispersive action. Considering the computational cost and accuracy, we adopt the optimized lattice parameters via GGA-PBE for subsequent studies of physical properties. The investigated $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) has a hexagonal structure with the following equilibrium lattice parameters: $a = b = 3.849$ Å and $c = 8.683$ Å for AlP, $a = b = 3.899$ Å and $c = 8.570$ Å for GaP, and $a = b = 4.190$ Å and $c = 9.416$ Å for InP. For $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$), the P–Al bond length is 2.408 Å, the P–Ga bond length is 2.419 Å, and the P–In bond length is 2.618 Å. As shown in Table 1, in the same crystal structure, the volume per molecule for $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) increases due to the long bond length and the large lattice constant. In the $P6_{4}22$ phase, the densities of AlP ($\rho = 2.591$ g/cm$^{3}$), GaP ($\rho = 4.446$ g/cm$^{3}$) and InP ($\rho = 5.073$ g/cm$^{3}$) are larger than the corresponding densities in the $F43m$ phase because the corresponding volume per molecule in the $P6_{4}22$ phase is smaller.

![Figure 2. The 3D crystal structure of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$).](image-url)
Table 1. The calculated (GGA-PBE and DFT-D2) lattice parameters and densities of $P6_422$- and $F\overline{3}m$-XP ($X = \text{Al, Ga, or In}$).

| Space Group | Methods   | $a$ [Å] | $c$ [Å] | $V$ [Å$^3$ molecule$^{-1}$] | $\rho$ [g cm$^{-3}$] |
|-------------|-----------|---------|---------|----------------------------|---------------------|
| $P6_422$    | PBE       | 3.849   | 8.683   | 37.139                     | 2.591               |
|             | DFT-D2    | 3.790   | 8.669   | 35.942                     | 2.678               |
| $F\overline{3}m$ | PBE   | 5.510   |         | 41.822                     | 2.301               |
|             | DFT-D2    | 5.442   |         | 40.297                     | 2.388               |
| $F\overline{3}m$ | Exp.    | 5.467   |         | 40.773                     | 2.360               |

In Table 2, the equilibrium volume $V_0$ and bulk modulus $B_0$ of $P6_422$-XP ($X = \text{Al, Ga, or In}$) are calculated via GGA-PBE. The calculated total energy ($E$) per primitive cell for each compound as a function of different cell volumes ($V$) over a range of $0.9V_0$–$1.1V_0$ is fitted by the Murnaghan equation of state [EOS] [21,22].

$$E(V) = E_0 + rac{B_0V}{B'(B'-1)} \left[ \left(\frac{V_0}{V}\right)^{B'} + B' \left(1 - \frac{V_0}{V}\right) - 1 \right]$$

(1)

Where $B_0$ and $B'$ are the bulk modulus and their first pressure derivatives at 0 GPa, $V_0$ is the unit-cell volume at 0 GPa, and $E(V)$ is the total energy under the different cell volume $V$. The fitted energy vs. volume ($E$-$V$) curves are shown in Figure 3. The equation between pressure and volume ($P$-$V$ in Figure 3) is obtained through the derivation of $E(V)$.

$$P(V) = \frac{B_0}{B'} \left(\frac{V_0}{V}\right)^{B' - 1}$$

(2)

In the fitting curve ($E$-$V$), there is a minimum energy near the volume $V_0$, and this minimum energy (−710.776 eV for AlP, −6698.591 eV for GaP, and −5221.333 eV for InP) is in good agreement with the simulation data in Figure 1 (cut-off energy: 320, 400, and 420 eV, K -Points: 11 × 11 × 5, 11 × 11 × 5, 11 × 11 × 5 for $P6_422$-XP ($X = \text{Al, Ga, or In}$), respectively). It shows that $P6_422$-GaP are more stable than $P6_422$-XP ($X = \text{Al or In}$). Through the fitting $P$–$V$ curve, InP-$P6_422$ has the largest volume compressibility: 38.15% (36.55% for AlP and 35.80% for GaP).
3.2. Stability and Mechanical Properties

Dynamic stability is an important property for verifying the existence of new materials. The dynamic stability of P64222-XP (X = Al, Ga, or In) can be determined by studying the phonon spectra. The phonon spectra of P64222-XP (X = Al, Ga, or In) are shown in Figure 4. By observation, the P64222-XP (X = Al, Ga, or In) are dynamically stable because their phonon spectra have no imaginary frequencies in the Brillouin region. The highest vibrational frequencies of P64222-XP (X = Al, Ga, or In) are 13.596 THz at point G, 10.412 THz at point K and 11.298 THz at point K, respectively. The elastic constants and elastic moduli of P64222 and F43m-XP (X = Al, Ga, or In) are listed from 0 GPa to 35 GPa in Table 2. For XP (X = Al, Ga, or In) in the F43m phase, the calculated elastic constants are in good agreement with the reported experimental results, which proves the correctness of the theoretical calculation method. For a hexagonal system, the necessary and sufficient Born criteria for stability can be expressed as follows [26].

\[ C_{11} > 0 \]  
\[ C_{11} > C_{12} \]
To study the thermodynamic stability of high-temperature environment. The cohesion energy is the energy that is needed for decomposing solid materials into isolated atoms. The smaller the value is, the higher the crystal structure stability. The results of $E_{\text{coh}}$ for XP ($X = \text{Al, Ga, or In}$) in the $P6_{4}22$ phase are $-9.95$, $-8.21$, and $-8.74$ eV, respectively. $P6_{4}22$-AlP has the highest thermodynamic stability followed by $P6_{4}22$-InP and, finally, $P6_{4}22$-GaP, in a high-temperature environment.

\[
(C_{11} + C_{12})C_{33} - 2C_{13}^{2} > 0
\]  
(5)

\[
C_{44} > 0
\]  
(6)

In Table 2, all the elastic constants of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) at 0 GPa satisfy the above stability criteria, namely, $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) are mechanically stable. The form ability and stability of the alloy can be characterized by the formation enthalpy and the cohesion energy [27]. To study the thermodynamic stability of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$), its formation enthalpy ($\Delta H$) and cohesive energy ($E_{\text{coh}}$) are also further investigated, and the corresponding formulas [28,29] are described as follows,

\[
\Delta H = \left( E_{\text{tot}} - N_{X}E_{\text{solid}}^{X} - N_{P}E_{\text{solid}}^{P} \right) / (N_{X} + N_{P})
\]  
(7)

\[
E_{\text{coh}} = \left( E_{\text{tot}} - N_{X}E_{\text{atom}}^{X} - N_{P}E_{\text{atom}}^{P} \right) / (N_{X} + N_{P})
\]  
(8)

where $E_{\text{tot}}$ is the total energy of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) at the equilibrium lattice constant; $E_{\text{solid}}^{X}$ and $E_{\text{solid}}^{P}$ are the energies per atom of the pure constituents of $X$ ($X = \text{Al, Ga, or In}$) and $P$, respectively, in the solid states; $E_{\text{atom}}^{X}$ and $E_{\text{atom}}^{P}$ are the energies from the free atoms of $X$ ($X = \text{Al, Ga, or In}$) and $P$, respectively; and $N_{X}$ and $N_{P}$ refer to the numbers of $X$ ($X = \text{Al, Ga, or In}$) and $P$ atoms, respectively, in each conventional cell. The calculated formation enthalpies for $P6_{4}22$-AlP, $P6_{4}22$-GaP, and $P6_{4}22$-InP are $-1.72$, $-0.82$, and $-1.17$ eV, respectively. All the values of formation enthalpies are negative; therefore, the bond energies of $P6_{4}22$-XP ($X = \text{Al, Ga, or In}$) are very large and $P6_{4}22$-InP is the most stable, followed by $P6_{4}22$-GaP and, finally, $P6_{4}22$-AlP according to the stability of alloy formation. The cohesion energy is the energy that is needed for decomposing solid materials into isolated atoms. The smaller the value is, the higher the crystal structure stability. The results of $E_{\text{coh}}$ for XP ($X = \text{Al, Ga, or In}$) in the $P6_{4}22$ phase are $-9.95$, $-8.21$, and $-8.74$ eV, respectively. $P6_{4}22$-AlP has the highest thermodynamic stability followed by $P6_{4}22$-InP and, finally, $P6_{4}22$-GaP, in a high-temperature environment.
The elastic moduli can be obtained based on the elastic constant. The bulk moduli \( B \) and the shear moduli \( G \) can be estimated via the Voigt–Reuss–Hill approximation \([30]\). \( B_V, B_R, G_V \) and \( G_R \) can be expressed via the following equations \([31]\), where the subscripts \( V \) and \( R \) are the Voight and Reuss schemes:

\[
B_V = (1/9)[C_{11} + C_{22} + C_{33} + 2(C_{12} + C_{13} + C_{23})]
\]

\[
B_R = \Delta[C_{11}(C_{22} + C_{33} - 2C_{23}) + C_{22}(C_{33} - 2C_{13}) - 2C_{33}C_{12} + C_{12}(2C_{23} - 2C_{13}) - C_{23}(2C_{12} - 2C_{23})]^{-1}
\]

\[
G_V = (1/15)[C_{11} + C_{22} + C_{33} + 3(C_{44} + C_{55} + C_{66}) - (C_{12} + C_{13} + C_{23})]
\]

\[
G_R = \frac{15[4(C_{11}(C_{22} + C_{33} + C_{23}) + C_{22}(C_{33} + C_{13}) + C_{33}C_{12} - C_{12}(C_{23} + C_{13}) - C_{13}(C_{12} + C_{13}) - C_{23}(C_{13} + C_{23})) + \Delta + 3[(1/C_{44}) + (1/C_{55}) + (1/C_{66})]]}{\Delta}
\]

\[
\Delta = C_{13}(C_{12}C_{23} - C_{13}C_{22}) + C_{23}(C_{12}C_{13} - C_{23}C_{11}) + C_{33}(C_{11}C_{22} - C_{12}^2)
\]

\[
B = (1/2)(B_V + B_R)
\]

\[
G = (1/2)(G_V + G_R)
\]

Young’s modulus \( E \) and Poisson’s ratio \( \nu \) are calculated from \( B \) and \( G \) as

\[
E = 9BG/(3B + G)
\]

\[
\nu = (3B - 2G)/(2(3B + G))
\]

According to Table 2, the elastic constants \( C_{11} \) (147 GPa, 152 GPa, 108 GPa), \( C_{22} = C_{11} \) (147 GPa, 152 GPa, 108 GPa), and \( C_{33} \) (174 GPa, 144 GPa, 117 GPa) for \( P6_422-XP \) (\( X = \text{Al}, \text{Ga}, \text{or In} \)) are larger than \( C_{11} = C_{22} = C_{33} \) (123 GPa, 134 GPa, 96 GPa) of \( F43m-XP \) (\( X = \text{Al}, \text{Ga}, \text{or In} \)); therefore, \( P6_422-XP \) (\( X = \text{Al}, \text{Ga}, \text{or In} \)) have stronger ability to resist elastic deformation along the \( X-, Y-, \) and \( Z- \) axes. The bulk moduli \( B \) and the shear moduli \( G \) of \( P6_422-XP \) (\( X = \text{Al or In} \)) are larger than those of \( F43m-XP \) (\( X = \text{Al or In} \)); thus, the anti-compression and anti-shearing strain abilities of \( P6_422-XP \) (\( X = \text{Al or In} \)) are stronger. Furthermore, the \( B/G \) ratios \([32]\) of \( P6_422- \) and \( F43m-XP \) (\( X = \text{Al, Ga, or In} \)) at ambient pressure are also shown in Table 2. In the \( P6_422 \) phase, \( XP \) (\( X = \text{Al or Ga} \)) are brittle \((B/G < 1.75)\) and \( \text{InP} \) are ductile \((B/G > 1.75)\), and \( F43m-XP \) (\( X = \text{Al, Ga, or In} \)) are all brittle \((B/G < 1.75)\).

The calculated Young’s modulus \( E \) of \( XP \) (\( X = \text{Al, Ga, or In} \)) in the \( P6_422 \) phase at 0 GPa are 132, 140 and 94 GPa, respectively, which are larger than those (118, 131, and 88 GPa) in the \( F43m \) phase. Therefore, the stiffness of \( P6_422-XP \) (\( X = \text{Al, Ga, or In} \)) are higher, and they are more difficult to deform, especially \( \text{GaP} \). There are no significant changes in the calculated values of Poisson’s ratio \( \nu \) of \( XP \) (\( X = \text{Al, Ga, or In} \)) between the \( P6_422 \) phase and \( F43m \) phase at 0 GPa. The Poisson’s ratios \( \nu \) of \( P6_422-\text{AlP} \) and \( P6_422-\text{InP} \) are 0.25 and 0.27, which are slightly larger than that of \( \text{GaP} \) (0.21) in the \( P6_422 \) phase. All Poisson’s ratios \( \nu \) of \( P6_422-XP \) (\( X = \text{Al, Ga, or In} \)) are less than 1; thus, after the \( P6_422-XP \) (\( X = \text{Al, Ga, or In} \)) are subjected to uniform longitudinal stress, the transverse deformations are smaller than the longitudinal deformations before plastic deformation occurs, especially for \( \text{GaP} \).

Pressure is a significative physical parameter that has a momentous impact on the Brillouin zone. Enthalpy is an important state parameter in thermodynamics for characterizing the energy of a material system. The lower its energy of matter or a system, the less likely it is to undergo spontaneous processes; therefore, the more stable it is \([33]\).
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The calculated elastic constants ($C_{11}, C_{12}, C_{13}, C_{33}, C_{44}, C_{66}$), bulk moduli $B$, shear moduli $G$, Young’s modulus $E$ (GPa), Poisson’s ratios $\nu$ and universal anisotropic index $A^U$ for $P6_322$-XP ($X = Al, Ga, or In$) when pressure $P$ (GPa) increases from 0 to 35 GPa via the method of GGA-PBE.

| Space Group | Methods | $P$ | $C_{11}$ | $C_{12}$ | $C_{13}$ | $C_{33}$ | $C_{44}$ | $C_{66}$ | $B$ | $G$ | $B/G$ | $E$ | $\nu$ | $A^U$ |
|-------------|---------|-----|---------|---------|---------|---------|---------|---------|-----|-----|-------|-----|-----|-------|
| $P6_322$-AlP | PBE     | 0   | 147     | 51      | 58      | 174     | 60      | 48      | 88  | 53  | 1.68  | 132 | 0.25 | 0.064 |
|             |         | 5   | 169     | 67      | 77      | 190     | 66      | 51      | 107 | 56  | 1.91  | 143 | 0.28 | 0.095 |
|             |         | 10  | 190     | 83      | 96      | 224     | 64      | 53      | 127 | 58  | 2.19  | 151 | 0.30 | 0.060 |
|             |         | 15  | 207     | 98      | 114     | 245     | 59      | 54      | 144 | 56  | 2.57  | 149 | 0.33 | 0.032 |
|             |         | 20  | 226     | 113     | 132     | 267     | 57      | 56      | 161 | 57  | 2.82  | 153 | 0.34 | 0.029 |
|             |         | 25  | 240     | 128     | 148     | 290     | 55      | 56      | 177 | 56  | 3.16  | 152 | 0.36 | 0.039 |
|             |         | 30  | 257     | 143     | 166     | 313     | 50      | 57      | 194 | 55  | 3.53  | 151 | 0.37 | 0.064 |
|             |         | 35  | 267     | 161     | 181     | 333     | 38      | 53      | 206 | 48  | 4.33  | 134 | 0.39 | 0.235 |

| $P6_322$-GaP | PBE     | 0   | 122     | 58      | 60      | 80      | 47      | 1.70    | 118  | 0.25 | 0.494 |
|             | Exp.    | 0   | 129     | 56      | 52      |         |         |         |      |      |       |      |      |       |

| $F\bar{4}3m$-AlP | PBE | 0 | 152 | 37 | 49 | 144 | 67 | 57 | 80 | 58 | 1.38 | 140 | 0.21 | 0.087 |
| $F\bar{4}3m$-AlP | Exp. | 0 | 178 | 54 | 56 | 150 | 75 | 62 | 92 | 64 | 1.44 | 156 | 0.22 | 0.117 |
| $P6_322$-GaP | PBE | 10 | 213 | 82 | 116 | 228 | 82 | 65 | 140 | 66 | 2.12 | 171 | 0.30 | 0.234 |
| $P6_322$-GaP | Exp. | 15 | 230 | 91 | 115 | 222 | 88 | 69 | 147 | 70 | 2.10 | 181 | 0.29 | 0.217 |
| $P6_322$-GaP | PBE | 20 | 250 | 108 | 111 | 207 | 92 | 71 | 151 | 73 | 2.07 | 189 | 0.29 | 0.211 |
| $P6_322$-GaP | Exp. | 25 | 271 | 125 | 143 | 248 | 96 | 73 | 179 | 75 | 2.39 | 197 | 0.32 | 0.245 |
| $P6_322$-GaP | PBE | 30 | 291 | 140 | 167 | 273 | 102 | 76 | 200 | 76 | 2.63 | 202 | 0.33 | 0.319 |
| $P6_322$-GaP | Exp. | 35 | 310 | 156 | 181 | 285 | 104 | 77 | 216 | 77 | 2.81 | 206 | 0.34 | 0.332 |

| $F\bar{4}3m$-InP | PBE | 0 | 134 | 60 | 70 | 80 | 59 | 1.39 | 131 | 0.21 | 0.500 |
| $F\bar{4}3m$-InP | Exp. | 0 | 141 | 62 | 70 |      |      |      |      |      |      |      |      |      |      |

The relative formation enthalpy curves relative to $F\bar{4}3m$-XP ($X = Al, Ga, or In$) as functions of the pressure up to 35 GPa for $P6_322$-XP ($X = Al, Ga, or In$) are plotted in Figure 5. At ambient pressure, $F\bar{4}3m$-XP ($X = Al, Ga, or In$) are more favorable than any other $P6_322$-XP. Moreover, at 0 GPa, $P6_322$-AlP, $P6_322$-GaP, and $P6_322$-InP have larger enthalpy than $F\bar{4}3m$-XP ($X = Al, Ga, or In$) (0.418, 0.436, and 0.345 eV per formula (f.u.), respectively). As the pressure increases, $P6_322$-XP ($X = Al, Ga, or In$) become increasingly stable, and $P6_322$-AlP, $P6_322$-GaP, and $P6_322$-InP become more stable than $F\bar{4}3m$-AlP, $F\bar{4}3m$-GaP, and $F\bar{4}3m$-InP at the pressures that exceed 11.42, 16.60, and 20.91 GPa, respectively. In addition, $P6_322$-InP is the most stable, followed by $P6_322$-AlP and, finally, $P6_322$-GaP. According to the Table 2, the values of the elastic constant, Young’s modulus $E$ (GPa), and Poisson’s ratio $\nu$ increase with the pressure.

[Ref. [34].] [Ref. [35].] [Ref. [36].]
3.3. Mechanical Anisotropic Properties

The universal anisotropic index $A^U$ that present the elastic anisotropy of $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$) also calculated for further investigation in this work. The relevant calculation formulas are given in [37]. In Table 2, the $A^U$ of $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$) shows an increasing tendency with increasing atomic order ($\text{Al} < \text{Ga} < \text{In}$) at ambient pressure. The variation tendencies of $A^U$ for XP ($X = \text{Al, Ga, or In}$) in the $P6_3\overline{2}2$ phase differ from those of Young’s modulus $E$. For example, $P6_3\overline{2}2$-InP has the smallest Young’s modulus in the $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$) but has the largest universal anisotropic index $A^U$.

The 3D directional constructions and 2D representations of Young’s modulus $E$ in the (001)-plane, (011)-plane, (100)-plane, (110)-plane, (010)-plane, and (111)-plane for $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$) are shown in Figure 6. Through observation, along with $XY$-, $XZ$-, and $YZ$-plane, $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$) exhibit strong anisotropy in various planes excluding $XY$-plane. Compared with the $XY$-plane, the three-dimensional surface structure in the $XZ$-plane deviates further from the shape of the sphere; therefore, the $XZ$-plane has stronger anisotropy than the $XY$-plane [38]. For $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$), the maximum and minimum values of Young’s modulus $E$ are attained in the $XZ$- and $YZ$-planes, whereas only the minimum value is attained in the $XY$-plane because they are isotropic in the (001)-plane. In Figure 6, as Young’s modulus has the same properties in the (100)-, (010)-, and (110)-plane, Figure 6 shows only the two-dimensional curve in the (110)-plane.

Figure 5. The relative formation enthalpies curves (relative to $I\overline{4}3m$-XP) as a function of pressure (0 to 35 GPa) for $P6_3\overline{2}2$-XP ($X = \text{Al, Ga, or In}$); (a, b) are the zoomed in views of selected areas.
The universal anisotropic index $A_{U}$ that attains the isotropy in the (001)-, (011)-, (100)-, (110)-, and (111)-plane for $P_{6\bar{4}22}$-AlP, $P_{6\bar{4}22}$-GaP, and $P_{6\bar{4}22}$-InP are all 1.000; thus, $P_{6\bar{4}22}$-XP ($X = \text{Al, Ga, or In}$) but has the largest universal anisotropy index. In solid-state physics, the electron band structure describes the energy that electrons are prohibited or allowed to carry, which is caused by quantum dynamic electron wave diffraction in periodic lattices [39]. The general characteristics of electron motion in crystals are qualitatively expounded by energy band theory. The orbital projection electronic band structures for $P_{6\bar{4}22}$-AlP, $P_{6\bar{4}22}$-GaP, and $P_{6\bar{4}22}$-InP exhibit higher anisotropy. In the (100)-, (110)-, and (010)-plane for $P_{6\bar{4}22}$-AlP exhibits the smallest anisotropy and $P_{6\bar{4}22}$-InP exhibit higher anisotropy. In the (100)-, (110)-, and (010)-plane, Figure 6 shows only the two-dimensional curve in the (110)-plane.

Figure 6. The 3D directional constructions and 2D representation of Young’s modulus $E$ in the (001)-, (011)-, (100)-, (110)-, and (111)-plane for $P_{6\bar{4}22}$-AlP (a), $P_{6\bar{4}22}$-GaP (b) and $P_{6\bar{4}22}$-InP (c).
In the (100)-, (110)-, and (010)-plane, $P6_4\bar{2}2$-AlP exhibits the smallest anisotropy and $P6_4\bar{2}2$-GaP exhibits the largest anisotropy. From the (011)-plane to the (111)-plane, $P6_4\bar{2}2$-InP exhibits the largest anisotropy with $E_{\text{max}}/E_{\text{min}} = 1.237$, and $P6_4\bar{2}2$-GaP exhibits the smallest anisotropy with $E_{\text{max}}/E_{\text{min}} = 1.147$.

| Planes | Materials | $E_{\text{max}}$ | $E_{\text{min}}$ | Ratio | Planes | Materials | $E_{\text{max}}$ | $E_{\text{min}}$ | Ratio |
|--------|-----------|------------------|------------------|-------|--------|-----------|------------------|------------------|-------|
| (001)  | $P_6\bar{4}22$-AlP | 120.333 | 120.333 | 1.000 | (010)  | $P_6\bar{4}22$-GaP | 151.508 | 119.008 | 1.273 |
|        | $P_6\bar{4}22$-GaP | 132.093 | 132.093 | 1.000 |        | $P_6\bar{4}22$-AlP | 145.147 | 120.334 | 1.206 |
|        | $P_6\bar{4}22$-InP | 84.764  | 84.764  | 1.000 |        | $P_6\bar{4}22$-GaP | 151.508 | 119.008 | 1.273 |
| (011)  | $P_6\bar{4}22$-AlP | 142.751 | 120.334 | 1.186 | (110)  | $P_6\bar{4}22$-GaP | 151.508 | 132.093 | 1.147 |
|        | $P_6\bar{4}22$-GaP | 151.508 | 132.093 | 1.147 |        | $P_6\bar{4}22$-AlP | 145.081 | 120.334 | 1.205 |
|        | $P_6\bar{4}22$-InP | 104.849 | 84.764  | 1.237 |        | $P_6\bar{4}22$-GaP | 151.508 | 132.093 | 1.147 |
| (100)  | $P_6\bar{4}22$-AlP | 145.147 | 120.334 | 1.206 | (010)  | $P_6\bar{4}22$-GaP | 151.508 | 119.008 | 1.273 |
|        | $P_6\bar{4}22$-GaP | 151.508 | 119.008 | 1.273 |        | $P_6\bar{4}22$-AlP | 145.147 | 120.334 | 1.206 |
|        | $P_6\bar{4}22$-InP | 104.849 | 83.797  | 1.251 |        | $P_6\bar{4}22$-GaP | 151.508 | 119.008 | 1.273 |

### 3.4. Electrical and Thermal Properties

In solid-state physics, the electron band structure describes the energy that electrons are prohibited or allowed to carry, which is caused by quantum dynamic electron wave diffraction in periodic lattices [39]. The general characteristics of electron motion in crystals are qualitatively expounded by energy band theory. The orbital projection electronic band structures for $P6_4\bar{2}2$-X$P$ ($X = \text{Al, Ga, or In}$) are plotted in Figure 7. The coordinates of high-symmetry points in the Brillouin zone for $P6_4\bar{2}2$-X$P$ ($X = \text{Al, Ga, or In}$) are G (0.00, 0.00, 0.00), A (0.00, 0.00, 0.50), H (−0.33, 0.67, 0.50), K (0.33, 0.67, 0.00), G (−0.50, 0.50, 0.00), M (0.00, 0.50, 0.00), L (0.00, 0.50, 0.50), and H (−0.33, 0.67, 0.50). The band structures of $P6_4\bar{2}2$-X$P$ ($X = \text{Al, Ga, or In}$) are calculated via the HSE06 hybrid functional [40]. In the $P6_4\bar{2}2$ phase, only InP is a direct band gap semiconductor, which has a band gap of 0.42 eV and the conduction band minimums and the valence band maximums are both located at point G (0.00, 0.00, 0.00). The band gap of $P6_4\bar{2}2$-InP corresponds to a wavelength of 2958.04 nm, which is in the infrared region. $P6_4\bar{2}2$-AlP and $P6_4\bar{2}2$-GaP show indirect band gap properties with band gaps of 1.55 and 0.86 eV, respectively. The conduction band minimums and the valence band maximums of $P6_4\bar{2}2$-AlP are located at point G (0.00, 0.00, 0.00) and point M (0.00, 0.50, 0.00), respectively, whereas the conduction band minimums and the valence band maximums of $P6_4\bar{2}2$-GaP are located at point G (0.00, 0.00, 0.00) and point K (0.33, 0.67, 0.00), respectively.
Below 0 eV, the PDOS in the valence band consist of three parts: the first part ranges from −10 to −5 eV, where the −s orbital makes a larger contribution to electrical conductivity, and, in this part, the percentages of the −p orbital change minimally with increasing energy; the second part ranges from −10 to −5 eV, where the main contributions to conduct electricity are from the −p orbital for AlP, whereas the main contributions to conduct electricity are from the −s orbital for GaP and InP; and the last part consists of the −p orbital from −5 to 0 eV. Above 0 eV, the PDOS in the conduction band originate mainly consist of the −p orbital. From AlP to XP (X = Ga or In), due to the increase in the atomic volume, the contributions of the −s orbital increase substantially from the Al atom to the X (X = Ga or In) atoms in the range of −10 to −5 eV, and when the energy exceeds −5 eV, the contributions of the −p orbital increase substantially. In addition, in the vast majority of the energy range, the PDOS originate mainly from the −p orbital, namely, strong hybridization from the −p orbital of the P atom and the −p orbital of the X (X = Al, Ga, or In) atoms occurs. These PDOS peaks depend on the X−p/P−p (X = Al, Ga, or In) bonding orbital contribution. The results demonstrate that covalent bonds X−P (X = Al, Ga, or In) interactions occur.

Figure 7. The electronic band structure for P6422-XP (X = Al, Ga, or In), AlP (a), GaP (b), InP (c).
which depends not only on material thermal conductivity, but also on the temperature at which the Young’s modulus, the density, the defects in the crystal, and the porosity. In addition, Cahill posits that the wave velocity of the acoustic wave is also closely related to the thermal conductivity of the material, and as the thermal conductivity decreases with the increase of the temperature under high-temperature conditions, its minimum value is of substantial significance to the application of the material under the high-temperature conditions. The theoretical minimum thermal conductivity is calculated via the Clark [41] model and the Cahill [42] model.

According to Clark, the main factors that affect it are the average relative atomic mass, the Young’s modulus, the density, the defects in the crystal, and the porosity. In addition, Cahill posits that the wave velocity of the acoustic wave is also closely related to the thermal conductivity of the material, and as the thermal conductivity decreases with the increase of the temperature under high-temperature conditions, its minimum value is of substantial significance to the application of the material under the high-temperature conditions. The theoretical minimum thermal conductivity is calculated via the Clark [41] model and the Cahill [42] model.

Clark model:

$$\kappa_{\text{min}} = 0.87k_BM_a^{-2/3}E^{1/2}/\rho^{1/6}$$

(18)

Cahill model:

$$\kappa_{\text{min}} = \frac{k_B}{2.48}\rho^{2/3}(v_l + 2v_t)$$

(19)

In the Clark model, $E$ and $\rho$ represent the Young’s modulus and density of the crystal, respectively; $k_B$ represents the Boltzmann constant; and $M_a = [M/(n \cdot N_A)]$ represents the average mass of the atoms in the lattice, where $M$ is the molar mass of the molecule, $n$ is the number of atoms in the molecule, and $N_A$ represents Avogadro’s constant. In the Cahill model, $\rho$ is the number of atoms per unit volume,
and \( \nu_1 \) and \( \nu_2 \) [43] are the average acoustic longitudinal wave and acoustic shear wave, respectively, which can be calculated via the following formulas.

\[
\nu_1 = \sqrt{(B + 4G/3)/\rho} \quad (20)
\]

\[
\nu_2 = \sqrt{G/\rho} \quad (21)
\]

The calculation results are presented in Table 4, in accordance with Formulas (18) and (19), and the theoretical minimum thermal conductivities of \( P6_{4}22-AlP, P6_{4}22-GaP, \) and \( P6_{4}22-InP \) in the Clark model are 1.222 \( W \cdot m^{-1} \cdot K^{-1} \), 0.972 \( W \cdot m^{-1} \cdot K^{-1} \), and 0.610 \( W \cdot m^{-1} \cdot K^{-1} \), respectively. In the Cahill model, the theoretical minimum thermal conductivities for \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) are 1.338 \( W \cdot m^{-1} \cdot K^{-1} \), 1.058 \( W \cdot m^{-1} \cdot K^{-1} \) and 0.669 \( W \cdot m^{-1} \cdot K^{-1} \), respectively. According to the calculated values, the theoretical minimum values of the thermal conductivity that are calculated by the Clark model are slightly less than those by the Cahill model. As the contributions of the atomic number density and the phonon spectrum are considered in the Cahill model, whereas the Clark model does not calculate the contribution of the optical phonons [44], the Clark model underestimates the theoretical minimum thermal conductivity and the Cahill model yields a value that is closer to the actual value. The maximum of the theoretical minimum thermal conductivity of \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) corresponds to \( P6_{4}22-AlP \) and the minimum to \( P6_{4}22-InP \); namely, according to the capacity of heat dissipation at high temperature (approaching the melting point), \( P6_{4}22-AlP > P6_{4}22-GaP > P6_{4}22-InP \). The theoretical minimum thermal conductivities of \( F\bar{4}3m-XP \) (\( X = Al, Ga, \) or \( In \)) at high temperature are lower than those of \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)); therefore, \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) have stronger thermal conductivity than \( F\bar{4}3m-XP \) (\( X = Al, Ga, \) or \( In \)) at high temperature.

**Table 4.** Average mass per atom, \( M_a/\rho; \) the transverse and longitudinal sound velocities, \( \nu_t, \nu_l/(km \cdot s^{-1}); \) the density of number of atom per volume, \( \rho; \) and the minimum thermal conductivity at high temperature, \( \kappa_{min}/(W \cdot m^{-1} \cdot K^{-1}); \) of \( P6_{4}22- \) and \( F\bar{4}3m-XP \) (\( X = Al, Ga, \) or \( In \)) base on calculated (GGA-PBE) Young’s modulus \( E, \) density of the crystal \( \rho, \) bulk moduli \( B, \) and shear moduli \( G. \)

|            | Clark               | Cahill              |
|------------|---------------------|---------------------|
|            | \( M_a \times 10^{-23} \) | \( \kappa_{min} \) | \( \nu_t \) | \( \nu_l \) | \( \rho \times 10^{24} \) | \( \kappa_{min} \) |
| \( P6_{4}22-AlP \) | 4.817               | 1.222               | 4.523      | 7.825      | 5.379      | 1.338 |
| \( F\bar{4}3m-AlP \) | 4.817               | 1.132               | 4.520      | 7.874      | 4.777      | 1.240 |
| \( P6_{4}22-GaP \) | 8.389               | 0.972               | 3.381      | 5.569      | 6.047      | 1.058 |
| \( F\bar{4}3m-GaP \) | 8.389               | 0.904               | 3.836      | 6.291      | 4.779      | 1.024 |
| \( P6_{4}22-InP \) | 12.126              | 0.610               | 2.885      | 5.115      | 3.666      | 0.669 |
| \( F\bar{4}3m-InP \) | 12.126              | 0.592               | 2.775      | 4.822      | 3.748      | 0.647 |

4. Conclusions

In this study, the related properties of \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) are investigated via the density functional method, which include structural, mechanical, anisotropy, electrical, and thermal properties. \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) are dynamically, mechanically, and thermodynamically stable, where \( P6_{4}22-XP \) (\( X = Al \) or \( In \)) show stronger anti-compression and anti-shearing strain abilities than \( F\bar{4}3m-XP \) (\( X = Al \) or \( In \)). In the \( P6_{4}22 \) phase, \( XP \) (\( X = Al \) or \( Ga \)) are brittle, and \( InP \) is ductile. The stiffness of \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) are higher, and they are more difficult to deform than \( F\bar{4}3m-XP \) (\( X = Al, Ga, \) or \( In \)), especially \( GaP \). As the pressure increases, \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) become increasingly stable. \( P6_{4}22-XP \) (\( X = Al, Ga, \) or \( In \)) have the largest anisotropy in the (100)-plane and show isotropy in the (001)-plane. \( P6_{4}22-InP \) is a direct band gap semiconductor, which has a band gap of 0.42 eV and potential application as an infrared detector. \( P6_{4}22-XP \) (\( X = Al \) or \( Ga \)) exhibit indirect band gap properties with band gaps of 1.55 and 0.86 eV, respectively. At high temperature, \( P6_{4}22-XP \)
(X = Al, Ga, or In) have stronger thermal conductivity than $f^{4}3m$-XP (X = Al, Ga, or In), where maximum and minimum thermal conductivities correspond $P6_{3}22$-AlP and $P6_{3}22$-InP, respectively. These properties provide a theoretical basis and new ideas for the application of $P6_{3}22$-XP (X = Al, Ga, or In) in optoelectronic devices and thermoelectric materials.

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