ALPINE: Analog In-Memory Acceleration With Tight Processor Integration for Deep Learning

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Abstract—Analog in-memory computing (AIMC) cores offers significant performance and energy benefits for neural network inference with respect to digital logic (e.g., CPUs). AIMCs accelerate matrix-vector multiplications, which dominate these applications’ run-time. However, AIMC-centric platforms lack the flexibility of general-purpose systems, as they often have hard-coded data flows and can only support a limited set of processing functions. With the goal of bridging this gap in flexibility, we present a novel system architecture that tightly integrates analog in-memory computing accelerators into multi-core CPUs in general-purpose systems. We developed a powerful gem5-based full system-level simulation framework into the gem5-X simulator, ALPINE, which enables an in-depth characterization of the proposed architecture. ALPINE allows the simulation of the entire computer architecture stack from major hardware components to their interactions with the Linux OS. Within ALPINE, we have defined a custom ISA extension and a software library to facilitate the deployment of inference models. We showcase and analyze a variety of mappings of different neural network types, and demonstrate up to 20.5x/20.8x performance/energy gains with respect to a SIMD-enabled ARM CPU implementation for convolutional neural networks, multi-layer perceptrons, and recurrent neural networks.

Index Terms—AI accelerators, architectural exploration, artificial neural networks, gem5, neuromorphic computing

1 INTRODUCTION

Deep neural networks (DNNs) have revolutionized the state-of-the-art in a wide range of AI applications ranging from computer vision to natural language and speech processing. DNNs are composed of multiple consecutive layers, and their ability to address tasks often increases with the number and size of layers. Today, modern DNNs are composed of hundreds of layers and millions of weights, requiring massive amounts of computation and memory [1], [2]. In the quest for achieving higher accuracy and throughput, the AI domain suffers from constant changes in the type and nature of the DNNs.

One of the main contributing factors to the expansion of DNNs has been the introduction of more powerful CPUs and GPUs. However, system solutions based on CPU-GPU integration struggle to meet the efficiency requirements of the edge domain, where the extreme data-intensive nature of

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Manuscript received 8 March 2022; revised 9 September 2022; accepted 13 November 2022. Date of publication 19 December 2022; date of current version 9 June 2023. This work was supported in part by EC H2020 WiPLASH under Grant 863337, and in part by ERC Consolidator under Grant COMPLUSAPIEN 725657. (Corresponding author: Joshua Klein.)

Recommended for acceptance by M. Lipasti.

Digital Object Identifier no. 10.1109/TC.2022.3230285

DNNs mandates efficient storing, accessing and processing of large amounts of data. Efficiency gains can be achieved by lowering data precision (e.g., from 64/32-bit floating point to 8/4-bit integer) [3]. Yet, the time and energy for accessing the data still dominate over the data processing [4]. Hence, solutions for ultra-efficient DNN processing require a re-thinking of the architecture of computing systems, as well as the adoption of new paradigms at the hardware level. In particular, recent technological breakthroughs in the field analog in-memory computing (AIMC) blurs the distinction between processing and memory and can be blurred with custom designed memory, which look beyond conventional von Neumann architectures. With analog in-memory computing (AIMC) certain computations directly take place where the data is located, exploiting device physics and circuit laws [5]. In addition to significantly reducing the data movement, AIMC core enables the execution of millions of operations (such as multiply-and-accumulate, MACs) in parallel, greatly outperforming GPUs and other accelerators.

One approach to exploit in-memory computing for DNNs is to design stand-alone accelerators where multiple AIMC tiles and associated digital logic blocks are interconnected by a suitable communication fabric [6], [7]. In such a multi-tile accelerator, weights associated with different neural network layers can be mapped to different AIMC tiles and data can be propagated via tile-to-tile communication. Yet, these designs fall short on supporting (1) a variety of neural networks types, (2) changes in inter-layer connectivity and data-flow, (3) different processing and activation functions, and (4) multiple number formats (e.g., quantization levels), all of which require a high degree of flexibility, difficult to implement solely in hardware. Combining AIMC with general purpose processors can be key for powerful and cost-effective AI platforms, completely avoiding...
the need for designing generations of dedicated accelerators to cope with the rapid advances in DNN workloads and models.

One way to address the limitations of standalone AIMC-based accelerators is to add local CPUs [8], [9], [10], [11]. The CPU-AIMC interplay nonetheless often is the run-time bottleneck in these systems, as AIMC tiles at competitive technology nodes typically operate on the order of hundreds of nanoseconds [12], [13]. Any communication overhead with the CPU translates into unutilized AIMC tile compute cycles and hence a performance loss. Therefore, it is essential to work towards a tight integration to fully realize the potential of AIMC-based acceleration, including support for a software ecosystem so that systems can be easily configured to implement a wide range of neural networks. In turn, the realization of this vision requires, as a necessary precondition, the availability of flexible, accurate and hardware-validated simulation frameworks. Such frameworks are crucial to perform the fast exploration of different AIMC integration options from a system-wide viewpoint. They must be capable of targeting entire and complex applications, evaluating the cost/performance of their accelerated and non-accelerated parts (as well as their interplay), taking into account computation and communication.

Towards this end, we herein illustrate a detailed system architecture exploration with a novel full system simulation framework, named ALPINE (or “Analog In-Memory Acceleration with Tight Processor Integration for Deep Learning”). ALPINE instances feature AIMC crossbars as dedicated components, realised as tightly coupled accelerators, which allow the storage and processing of megabytes of data in constant time complexity. We show that such integration can be realized without hampering the flexibility of CPUs. Moreover, existing hardware and software stacks can be leveraged by only adding lightweight custom extensions to the instruction set in order to govern the AIMC tile. Our contributions are as follows:

- We propose a new system simulation framework, extending industry-standard gem5 (with gem5-X extensions), that allows the modeling of systems with AIMC tiles.
- Using this simulation framework, we model tightly integrated AIMC tiles, governed by custom instructions extending the ARMv8 64-bit instruction set architecture (ISA) with custom instructions.
- We introduce a custom software library, AIMClib, to show how AIMC models can be leveraged from the software programmer perspective and streamline the software development process.
- We showcase the mapping of different artificial neural network (NN) types (MLP, LSTM, CNN) onto the proposed architecture in both single-core and multicore cases. We obtain up to 20.5x performance and up to 20.8x energy benefits (in CNNs) with respect to the multi-threaded CPU + SIMD (ARM NEON) implementation.
- Using the aforementioned NNs as case studies, we analyze the prevalence of matrix-vector multiplication (MVM) operations as a computational hot-spot in DL workloads, and quantify the application-wide benefits achievable by acceleration via tightly-coupled AIMC tiles, including up to 12.8x/12.5x speedup and energy improvement in MLPs and 9.4x/9.3x speedup and energy improvement in LSTMs.

2 RELATED WORK

2.1 Full System Simulations

Custom hardware extensions and accelerators can be modeled and simulated at various abstraction levels. Hardware description language (HDL) and register-transfer level (RTL) simulation frameworks offer the most detailed view of hardware being designed, in addition to a direct path to synthesis and eventually fabrication. Yet, the development effort, synthesis/compilation time, and simulation time costs are very high, even with higher levels of hardware abstraction made possible by high level synthesis [14].

While the overhead costs of novel hardware development may still be worth the effort for uniquely low-level pieces of hardware (e.g., new functional units), AIMC tiles and similar accelerator technologies are made to work with user-space applications (neural network inference) running on top of an operating system and virtual memory sub-system. The time for simulating full computer hardware and software stacks in conventional HDL-level simulators goes up dramatically and is therefore not scalable when the operating system needs to boot, load user-space programs, and then keep track of OS and low-level processes such as services and interrupts [14].

Due to this complexity, an abstract approach is more suitable for investigating system architectures in a more flexible manner. In broad terms, full system-level simulators simulate crucial components of a computer (CPU cores, functional units, buses, caches, memory, and more) as black boxes in software with tunable latencies and attributes. As a result, simulations can be run significantly faster and with higher degree of flexibility than that of HDL-level simulators. The premier full system-level simulator in academia and industry is gem5, which is an open-source full system-level simulator that supports multiple ISAs (x86, ARM) and hardware models upon installation, in addition to being regularly updated with support from academia and industry [15].

Because critical hardware components are simulated in software, full system-level simulators like gem5 must be validated with respect to real hardware in order to produce performance results representative of that one would attain using a HDL-level or RTL simulator. For all of our applications and experiments, we use gem5-X, an extended open-source version of the gem5 simulator. gem5-X is shown to operate with less than 5% error on performance statistics in comparison to a real ARM Juno platform (developed by ARM in 2015) [15].

All of our experiments simulate the full computer architecture stack, including user-space programs running on top of Linux 4.3 and an Ubuntu LTS 16.04 disk image. The simulated full system includes validated hardware models for the CPUs and memory hierarchy.

2.2 Simulations of AIMC-Based Systems

Research efforts investigating AIMC for inference have, for the most part, focused on achieving iso-accuracy with
digital systems. Prior work includes iso-accuracy studies for convolutional neural networks (CNNs) [16], [17], [18], recurrent neural networks (RNNs) [17], [19] and transformers [20]. However, very few papers have discussed how to model the potential benefits of AIMC at system level or discuss the integration of AIMC for system acceleration.

Most of the works in this space are illustrated as part of architectural and compilation frameworks studies, and are therefore tightly linked to a hardware/software ecosystem. Among them, Chen et al. introduce an instruction-accurate-only circuit-level simulator for gathering statistics on area, latency, dynamic energy, and leakage power of a synaptic array model [21]. Working at a higher level, Kourtis et al. [22] introduce a software stack to automate the mapping of CNNs (described in high-level language) onto multi-tile AIMC accelerators. As part of their contribution, the authors showcase a cycle-accurate simulator. Similarly, Ankit et al. [9] describes an ISA and compiler dedicated to programming and utilizing a multi-tile AIMC accelerator, and an associated architectural simulator (named PUMASim) to evaluate the energy and performance of compiled applications. The scope of both these approaches is limited to the modelling of the AIMC accelerator alone, neglecting other system components.

Ambrosi et al. [23] propose, as part of their ONNX compilation framework, a set of simulators at three abstraction levels: performance (low-level hardware simulation of single AIMC instructions), functional (component-level simulation of AIMC tiles and associated memories) and system. Our research contribution is most closely related to the latter, which is based on the QEMU emulator and aims at investigating the execution performance of entire applications. Zheng et al. also use the ONNX framework as the front end for their event-driven cross-level simulation of processing-in-memory accelerators, while also incorporating elements for simulating memory access and interconnects [24]. However, both of these simulators do not take into account the interaction between applications and operating systems, nor does it consider the interplay between AIMC tiles and the rest of the system, including CPUs and, in the case of [23], the memory hierarchy.

Most closely related to our approach is the paper of Vieira et al. which details a full-system evaluation strategy of AIMC acceleration. As in our case, the authors also base their approach on AIMC-dedicated extensions to the gem5 environment [25]. Nonetheless, their approach is limited to modelling the simple case of binary CNNs, and their per-kernel mapping strategy does not scale to the larger and more general applications we tackle in this paper. Moreover, as opposed to our ISA extension enabling multi-core CPU systems with multiple AIMC tiles, their extension only supports a single-core CPU and a single AIMC tile.

Finally, Ottavi et al. [11] proposes and synthesizes a heterogeneous architecture where an AIMC tile sits within a cluster of RISC-V processors. They perform a design-space exploration using the bottleneck of MobileNetV2 CNN where point-wise and depth-wise convolutions are placed within the AIMC tile. However, their synthesis approach hinders quick exploration of alternative architectures and model runs.

3 BACKGROUND ON ANALOG IN-MEMORY ACCELERATION

3.1 Analog In-Memory Computing Paradigm

AIMC offers significant advantages in terms of energy and performance owing to two key properties. First, the computation takes place in the memory and therefore, the expensive data movement can be avoided (addressing the memory read bottleneck). Second, the computation can be done in a massively parallel and analog manner by exploiting the physical attributes and state dynamics of memory devices. SRAM-based AIMC approaches are attractive owing to SRAM’s technological maturity and scalability to aggressive CMOS nodes [26], [27]. One drawback with this approach is that only a single bit can be stored in an SRAM cell. An alternative is to adopt AIMC based on non-volatile memory technologies, including 2D [28] and 3D Flash [29], phase-change memory (PCM) [13], and resistive random-access memory (RRAM) [12]. These technologies offer analog data storage capability, i.e., the ability to achieve a continuum of resistance/conductance values (Fig. 1a). Their non-volatile nature makes them particularly attractive for low-power embedded applications as non-volatile memory-based AIMC tiles consume negligible static power.

In this paper, we focus on PCM for AIMC, which is arguably the most mature technology among the class of resistance-based or memristive memory devices (Fig. 1a). PCM
devices have the potential to scale to nanoscale dimensions and can be integrated in the back-end of a CMOS chip [13]. PCM-based implementations hence offer high performance densities (TOP/s/mm²), where a pair of PCM devices can represent signed multi-bit weights [16].

MVM operations, which form the bulk of computation for DNN models, can be implemented in a PCM crossbar by representing the elements of a Mx N matrix as the conductance values of memory devices, as shown in Fig. 1b. Each element of an input vector is translated into the duration of a voltage pulse with fixed amplitude V. The voltage pulses are applied simultaneously to M word lines and each memory device contributes to the current flowing through one of the N bit lines, with an amount directly proportional to its conductance G (Ohm’s law). The total current integrated on each of the bit lines over a certain period of time t_int is indicative of the result of the dot product between the M-element vector and a column of the MxN matrix (Kirkhoff’s current law). Hence, the multiplication of an MxN matrix with an N-element vector can be performed in a constant amount of time, (in the range of 10 s to 100 s of nanoseconds [13]).

3.2 Analog In-Memory Compute Tiles
An AIMC tile contains digital-to-analog and analog-to-digital converters (DACs and ADCs) with dedicated registers and a local controller, alongside the memory crossbar array of unit cells, as presented in [13]. DACs convert the signed digital input into a voltage pulse; the pulse amplitude is applied either as V or −V according to the sign and the duration of the pulse is proportional to input magnitude. The dot product over the bit line is converted to a signed digital output via ADCs. Each signed weight is represented with a pair of PCM devices; therefore, a differential bit line current is received by the ADC. The local controller orchestrates the data flow from the data bus into the DAC registers and out of the ADC registers to the data bus. It also activates the MVM operation when input data has arrived into the DAC registers.

In our design, we have a dedicated DAC and ADC for each word line and bit line, respectively. The resolution of DACs and ADCs are signed 8-bits. The input signal is scaled and quantized in digital prior to its transfer to the AIMC tile. This input scaling factor can be arbitrarily selected, preferably fixed to avoid dynamic scaling. Similarly, the ADC quantizes the output of the MVM operation.

3.3 Computation Precision With Analog In-Memory Computing
In addition to the quantization introduced by DACs/ADCs, the weights stored in the memory crossbar also have a low precision. Yet, the nature of the precision loss for the weights with analog computing is substantially different from the weight quantization of a digital implementation. The programming and reading of analog weight value are prone to various non-idealities, including noise, temporal conductance fluctuations and temperature-induced variations [30], [31].

The scalar multiplication of an analog input with PCM-based weights is shown to be comparable to an implementation with 4-bit fixed-point inputs and weights [32], and even to an implementation with 8-bit fixed-point inputs and weights with suitable innovations in device design [33]. To counter the reduced weight precision, one can employ noise during training, so that the model is more robust when performing inference on AIMC tiles [16]. An alternative approach is to encode weights using multiple PCM devices [19]. Despite the reduced precision weights, AIMC implementations were shown to address the inference of MLPs [30], [31], CNNs [16], [30], [31], RNNs [19], [30], [31], and transformers [20] with high accuracies.

4 ARCHITECTING ALPINE SYSTEMS

4.1 AIMC Integration Strategies

Loosely-Coupled AIMC Tile-Enabled Systems. A high-level overview of a loosely-coupled AIMC-enabled system, can be seen in [8], [10]. The AIMC tiles are peripheral devices that communicate with the CPU cores via the I/O bus. CPU cores use load and store instructions to read and write data to a particular memory-mapped addresses. The AIMC tiles are typically organized as a multi-tile accelerator with a control unit that parses the incoming data so that one or multiple AIMC tiles within the accelerator can be accessed.

While a more common system design approach, loosely-coupling AIMC tiles in this fashion typically leads to a significant communications overhead that can cause the CPU to stall and wait for transactions (empirical data for this assertion is presented in Section 7.2).

Tightly-Integrated AIMC-Enabled Systems. To overcome the communication overhead over the I/O bus, as well as the constraint of flexibility in the loosely-coupled design, we propose a novel tightly-coupled configuration, as seen in Fig. 2. Here, the CPU uses an ISA extension to access private AIMC tiles that are unique to each of the CPU cores, without requiring the traversal of the memory hierarchy (Fig. 2).

4.2 Interfacing Tightly-Integrated AIMC Tiles
In this section, we present the ISA extension for the tight AIMC integration. We implement the instructions using the previously unused opcodes in the ARMv8 architecture, as listed in Fig. 3b. Prior to inference, the AIMC tile is programmed through the $CM\_INITIALIZE$ instruction, which...
writes 8-bit weight values to the indices of the AIMC cross-bar. Active during the inference (our region of interest) are the other three instructions, which are utilized as follows.

The program packs 8-bit inputs into a 32-bit argument register. CM_QUEUE is then called to place the packed inputs into the input memory of the AIMC tile. Additional argument registers are used to specify the number of valid inputs packed in the aforementioned argument register, as well as the input memory index. Once all of the inputs are queued into the input memory, CM_PROCESS is called to operate the AIMC tile by converting the values from input memory into analog voltages via DACs, performing the MVM operation with the stationary weights, and storing the results in the output memory after digitizing them with ADCs. Finally, CM_DEQUEUE is called to retrieve packed 8-bit outputs from the AIMC output memory and place them in a destination register. The argument registers specify the number of packed outputs to retrieve and the index. A visualization of this process is in Fig. 3a.

4.3 Interfacing Tightly-Integrated AIMC-Enabled Systems

The ISA extensions provide low-level support for tight AIMC integration, however we also developed a higher-level software library, AIMClib, to facilitate the development of AIMC-accelerated applications. This library is coded in C and can be used with C or C++ applications. In addition to containing the intrinsics in convenient in-lined wrapper methods (that use the C++ built-in __asm), it includes numerous functions and templates, such as the ability to queue/dequeue whole array or vector data structures of AIMC tile input/output memories, tiling matrices at offsets in the crossbar (so that multiple matrices of varying sizes can be placed next to each other), type-casting for tile inputs and outputs between int8_t and higher precision types (e.g., fp32), performing activation functions and other digital processing operations on tile outputs, and a checker program that simulates tightly-coupled AIMC tiles in guest software so that programs that utilize AIMClib can be debugged on the host machine before engaging the real or simulated hardware.

A sample of C++ pseudo-code using AIMClib is presented in Fig. 4. In addition to its own methods, we have also used AIMClib in conjunction with the Eigen C++ library (version 3.8). Eigen optimizes data structure space utilization and access as well as incorporates SIMD vector operations in our test applications [34].

5 SYSTEM SIMULATION

5.1 Modeling AIMC Tiles in gem5-X

AIMC tiles are implemented in gem5-X using two classes: one class acts as a wrapper with metadata for setting up AIMC tiles, and the second class contains the AIMC tile itself.

The wrapper class is designed to encompass both the loose and tight coupling of AIMC tiles. For implementing the loose coupling, the wrapper is defined as a gem5 Peripheral Input/Output (PIO) device where it is accessible by load and store instructions at a specific memory-mapped address. Alternatively, for the tight coupling case, the wrapper can be accessed by dedicated instruction.

The AIMC tile class contains the actual crossbar and peripheral simulated modeling components. We base our AIMC tile implementation on [13]. Each generated AIMC tile has an input memory array, the memory crossbar, and output memory array. The component dimensions are parameterizable in the wrapper class configuration.

5.2 AIMC-Enabled Systems in gem5-X

After the wrapper object and AIMC tile objects are defined, the next step is to instantiate them on the system and define the proper system interfaces (e.g., functional units and bus controller). This is realized in gem5-X systems through gem5-X’s configuration scripts, of which an example is...
found in Fig. 5. By itself, this allows for the system-level implementation of classic loosely-coupled AIMC-enabled systems. To simulate the tightly-coupled AIMC-enabled architectures, we extend the accelerator modeling in [25] such that the custom ARMv8 ISA extension can also interface peripheral I/O (PIO) devices like our wrapper object. For this, we add connections between the ISA extension and PIO device via the system object (e.g., the simulated system that is instantiated on gem5-X’s launch). The latency of the custom instructions is parameterizable, providing modeling flexibility on the AIMC tile. The return value of these instructions (the result held in the destination register) can also be data sent from the AIMC tile to the CPU.

In our implementation for the initial exploration of tightly-coupled AIMC-enabled architectures, we generate one AIMC tile for each CPU core. Note that this is a design choice and the ALPINE framework supports alternative system definitions, including instantiating multiple AIMC tiles per CPU core, a hard-coded number of AIMC tiles, or others.

6 EXPERIMENTAL SETUP

6.1 Target Systems and Power Model

The system specifications of our gem5-X simulation are listed in Table 1(A). We define two different system configurations to represent different use-cases, namely the high-power system such as those in higher-end devices and the high-performance computing domain and the low-power system, tailored for the embedded domain and internet-of-things edge devices. We would like to note that a substantial body of work focuses on AIMC tiles in high-power contexts as well [11], [35]. We use the MinorCPU model in our explorations, which is a 4-stage pipelined CPU with data forwarding and branch prediction.

The power models are shown in Table 1(B). Our core and cache power model is based on a 28 nm bulk system with an ARM Cortex A53 core [15], while our DRAM power model is based on [36]. The core and cache power model is comprised of active and WFM (wait for memory) CPU core energy per cycle, as well as energy/power for the last-level cache (LLC).

| System                      | Low-power | High-power |
|-----------------------------|-----------|------------|
| CPU Core Model              | Minor (In-Order) | CPU       |
| ISA                         | ARMv8     | AArch64    |
| CPU Core Frequencies        | 0.8 GHz    | 2.3 GHz    |
| Supply voltage VDD          | 0.75 V     | 1.3 V      |
| L1 Data/Instruction Cache Size | 32 kB    | 64 kB      |
| LLC Cache Sizes             | 512 kB     | 1 MB       |
| Memory Model                | 8 GB DDR4 @ | 2400 MHz   |
| Memory Bus Width            | 16b        |            |
| Memory Bus Frontend Latency | 3 cycles   |            |
| Memory Bus Forward, Response, and Snoop Latencies | 4 cycles |            |

6.2 AIMC Setup and Modeling

Table 1(C) reports the performance and energy metrics of the AIMC tile estimated from hardware measurements and chip designs in 14 nm technology node [13], [37]. For compatibility with the core and cache model in 28 nm node, we upscale the AIMC tile power estimates with a scaling factor of 5.3x for the high-power system and 2x for the low-power system. These factors are calculated following the classical scaling theory under constant frequency with the formulation $\alpha \beta^2$, where $\alpha$ denotes the dimensional scaling and $\beta$ is the voltage scaling factor [38].

We calculate the total energy of the system using the gem5-X statistics. The generated statistics include total CPU cycles, simulated time, and cache/memory accesses for each experiment run. The full system energy is then the sum of the energies for the core, cache, and DRAM components.

**TABLE 1 Experimental Setup**

| (A) gem5-X FS Mode System Configurations |
|-----------------------------------------|
| System                                  | Low-Power | High-Power |
| CPU Core Model                          | Minor (In-Order) | CPU |
| ISA                                     | ARMv8 | AArch64 |
| CPU Core Frequencies                    | 0.8 GHz | 2.3 GHz |
| Supply voltage VDD                      | 0.75 V | 1.3 V |
| L1 Data/Instruction Cache Size           | 32 kB | 64 kB |
| LLC Cache Sizes                         | 512 kB | 1 MB |
| Memory Model                            | 8 GB DDR4 | 2400 MHz |
| Memory Bus Width                        | 16b | |
| Memory Bus Frontend Latency             | 3 cycles | |
| Memory Bus Forward, Response, and Snoop Latencies | 4 cycles | |

| (B) System Energy and Power Figures      |
|-----------------------------------------|
| System                                  | Low-power | High-power |
| Idle Core Energy (pJ/Cycle)             | 10.72 | 126.03 |
| WFM Core Energy (pJ/Cycle)              | 46.04 | 638.99 |
| Active Core Energy (pJ/Cycle)           | 60.92 | 845.39 |
| Mem Controller + IO Power (W)            | 3.03 | 5.82 |
| LLC Leakage (mW/256 kB)                 | 271.62 | 874.08 |
| LLC Read Energy (pJ/Byte)               | 1.81 | 5.60 |
| LLC Write Energy (pJ/Byte)              | 1.63 | 5.02 |
| DRAM Energy (pJ/Access)                 | 120.0 | |

| (C) AIMC Tile Performance and Energy Figures |
|---------------------------------------------|
| AIMC tile crossbar size                     | M rows, N columns |
| AIMC tile latency                           | 100 ns |
| AIMC tile input/output data throughput      | 4 GB/s |
| Input/output memory SRAM capacity          | MB/NB |
| Supply voltage VDD (analog)                | 0.8 V, 1.2 V |
| Supply voltage VDD (digital)               | 0.8 V |
| 256x256 AIMC tile MVM energy efficiency     | 12.8 TOP/s/W * |

* The AIMC tile MVM energy is re-calculated for varying tile sizes, considering the crossbar array size as well as data converters.
6.3 Exploration Studies Overview

To showcase ALPINE’s abilities and explore the benefits of tightly-coupling AIMC tiles in systems, we built and optimized a wide variety of neural network models using the Eigen C++ library and AIMClib. For multi-core experiments, we used libpthread to pipeline layers across cores, and implement ping-pong buffering to prevent input/output blocking [34].

With these tools in hand, we then perform three neural network explorations. First, we consider a Multi-Layer Perceptron (MLP) to gauge the performance and energy benefits of a neural network that almost solely relies on matrix-vector multiplication (MVM) operations. Then we consider an alternative neural network architecture, the Long Short-Term Memory (LSTM), that has increased computational requirements outside the MVM. Finally, we look at the fully-pipelined implementation of a Convolutional Neural Network (CNN) and explore how the proposed system behaves in the presence of large number of MVMs in conjunction with very intense memory access patterns. We further breakdown these applications into multiple cases with different AIMC tile and CPU core mappings. All of these AIMC tile-enabled neural networks and their implementations are compared against a digital-only, SIMD-enabled, reference application, which employs the same aforementioned optimizations (Eigen Library integration, pthreads, ping-pong buffers). Furthermore, for more equitable performance comparisons, we use similar precision across all applications (int8_t with fp32 accumulation where floating point operations apply, such as in sigmoid and softmax operations).

In general, inference-to-inference we notice a deviation in performance results and system metrics of less than 4%. Thus, to save on simulation time, we only perform 10 inferences for each of the cases in the MLP and LSTM neural networks. We further reduce the number of inferences in the CNNs to 3 due to the larger network requiring more simulation time.

7 Exploration One: Multi-Layer Perceptrons

7.1 The Multi-Layer Perceptron Architecture and Cases

In this first case study, we focus on a two-layer MLP neural network (1,024, 1,024) with ReLU activation functions (Fig. 6a). We create four different analog MLP implementations where vary the size and number of AIMC tiles, as shown in Fig. 6b. More specifically, Cases 1 and 2 are single-CPU core architectures which use one large AIMC tile. Case 3 is a dual-CPU core architecture with one fully-connected layer assigned to each CPU core. Each AIMC tile is also smaller in capacity relative to the previous cases. Finally, Case 4 is a quad-CPU core architecture with one fully-connected layer’s computation being split between two CPU cores. The two CPU cores of the first layer sync their outputs via mutexes before letting the second layer start its processing. Additionally, we compare these implementations with a conventional CPU-only and SIMD-enabled architecture.

7.2 Single-Core Results and Analysis

Aggregate results for all of our MLP experiments are shown in Fig. 7. We focus on the run-time, energy footprint and memory intensity (quantified as last-level cache misses per instruction, or LLCMPI). The latter provides important insights onto the data movement overhead, which is a significant driver for AIMC-based acceleration.

In all implementations, AIMC tiles provide significant benefits in terms of latency and energy in comparison to the CPU-only runs. Looking at the single-CPU implementations, we observe that Case 1 out-performs Case 2 by a slight margin in terms of latency and energy. While a similar amount of queuing and dequeuing takes place in both implementations, the CM_PROCESS instruction needs to be called twice as much in order to perform the same number of inferences in Case 2. Yet, this does not translate into a 2x run-time and energy-overhead, because the MVMs account for only a small fraction of the total run time, as shown in
Because of the magnitude of the analog queue/dequeue times on total run time shown in this figure, we show that it is critical to provide a sufficiently large queue/dequeue bandwidth to maximize the benefits from AIMC acceleration, as also discussed in [11].

In addition to the experiments showcased in Fig. 6, we also tested a “loosely-coupled” AIMC tile-enabled system that places two pipelined AIMC tiles with dedicated ReLU activation units next to each other in an off-chip accelerator. A single CPU core handles data transactions like sending inputs and receiving outputs from the MLP, which is mapped to the two AIMC tiles. While this configuration attains 4.1x speedup over the single-core digital reference MLP, it has up to a 3.1x slowdown when compared to the tightly-coupled AIMC-enabled system.

7.3 Multi-Core Results and Analysis

The latency and energy for the multi-core Cases 3 and 4 are displayed in Fig. 7, as well as their time distribution in Fig. 8. What is immediately apparent with respect to the single-CPU core implementations is that adding multiple CPU cores does not equate to more performance gains. In fact, the performance and energy of the system worsens with increasing number of CPU cores: the single CPU-core Case 1 has approximately 20% and 30% better run time over the dual CPU-core Case 3 and quad CPU-core Case 4, respectively.

This slowdown is mainly attributed to the communication overhead of sending inputs and activations across CPU cores. As the number of CPU cores increase, we observe that the run-time associated with input load and analog queue make up a larger portion of the overall run-time (Fig. 8). More specifically, in the dual-CPU core implementation Case 3, the overhead of communicating the output from the first layer to the input of the second layer significantly increases the total run time of the application. The overhead is then compounded in the quad-CPU core implementation Case 4 where the input from memory as well as the intermediate activations must be sent to two different CPU cores. The synchronization overhead associated with mutexes of both layers aggravate this as well. Finally, it is worth noting that the performance impact of the CM_PROCESS operation becomes negligible in the AIMC tile-enabled algorithms, so even estimates of the latency increased 10x are observed to have minimal impact on the performance results.

Interestingly, the memory intensity remains almost constant across all implementations (Cases 1 through 4), suggesting that memory access is no longer a significant bottleneck. Instead, we conclude that for an application whose run-time is dominated by input load and analog queue, the overhead of sharing data is no longer negligible and should be treated as the primary bottleneck to gains in run time and energy.

Finally, across all cases, the low-power system exhibits lower performance gains in comparison to the high-power system. This is primarily due to the smaller L1 cache size of the low-power system configuration. A smaller cache requires more requests (and therefore endures more delay) to the L2 cache and memory, which is reflected in the slightly higher memory intensity metric relative to the high-power system.

7.4 MLP Computational Complexity

In this section, we present a computational complexity analysis for the CPU-only and AIMC-based implementations.
We will assume that the limited cache size and cache thrashing, as well as the SIMD operations, do not impact the computational complexity. For the CPU-only run, each fully-connected layer’s MVM operation has a quadratic complexity ($O(n^2)$), while the corresponding activation function (ReLU), as well as loading initial inputs (input load) from memory and storing outputs (output writeback), has a linear complexity ($O(n)$). Given that the MLP experiments run for $N_{inf}$ inferences, the total complexity of the MLP run can be formulated as $N_{inf} \times (2O(n^2) + 6O(n)) \approx O(N_{inf}n^2)$.

With the introduction of the AIMC tiles however, the complexity of MVM operations reduces to constant time ($O(1)$), assuming that the entirety of the weights of the fully-connected layer can fit in the AIMC tile. Therefore the total computational intensity reduces to $N_{inf} \times (2O(1) + 6O(n)) \approx O(N_{inf}n)$ after including complexities for analog queueing, shifting the dominating run-time factor to the linear operations (queueing inputs/dequeueing outputs; Fig. 8).

### 7.5 MLP Memory Requirements

In this section, we analyze the memory footprint for the CPU-only and AIMC-based implementations. In the CPU-only implementation, the weights of the fully-connected layers must be loaded from the main memory into L1/L2 caches at every inference. Yet, this is not true in the analog implementations.

Let us define the working set as the required amount of data memory per inference. In the CPU-only implementation, this includes the weights of the fully connected layers ($2W$), the inputs loaded from memory ($x$), the intermediary activations ($l_i$), and the final outputs stored to memory ($y$). For our implementation with 8-bit weights, inputs, activations and outputs, the working set size is $2W + x + l_i + y = 2 + n^2 + 3n \approx 2.1MB$ for $n = 1024$. For all of our experimental configurations, this working set size exceeds that of both the private L1 caches and the shared L2 cache, meaning elements of the fully-connected layers and the input/output must be thrashed (swapped in and out of the caches, as well as potentially main memory), leading to both worse memory performance and worse total run time.

In contrast, the AIMC-enabled MLP keeps all of the weights of the fully-connected layers stationary inside the AIMC tiles. After the one-time cost for programming the weights in our MLP, the weights are never reprogrammed, and therefore, can effectively be removed from the working set. In this case, the working set size can be formulated as $x + l_i + y = 3n \approx 3kB$, which fits comfortably in L1 private caches for both of our test system configurations. This leads to lower memory intensity, less cache thrashing, and thus improved overall performance.

The reduction in computation and throughput requirements resulting from the introduction of the AIMC tiles in the single-CPU core cases 1 and 2 is related to the ones obtained with multi-threading (cases 3 and 4), with the caveat that the computational complexity goes down by the number of hardware threads and space complexity is distributed across the CPU cores. However, even though the space complexities are reduced, the impact of the linear computational complexities of input load and analog queue are increased by the emerging core-to-core communications bottleneck in the multi-CPU core applications (cases 3 and 4).

We therefore reiterate that when AIMC tiles are introduced to neural networks with very small digital operation requirements (such as only ReLU activation functions), that core-to-core communications overhead should be minimized by using fewer CPU cores and AIMC tiles possible. By distributing simple digital computation across numerous CPU cores, core-to-core communication emerges as the new bottleneck and can hinder, rather than help, the performance of multi-core-enabled neural networks.

### 8 Exploration Two: Long Short-Term Memory

#### 8.1 LSTM Architecture

In our second exploration, we look at recurrent neural networks (RNNs) in the form of a Long-short term memory LSTM targeting character recognition using the Penn Treebank (PTB) data set [41]. The LSTM has one cell (hidden) layer and one fully-connected layer, as presented in Fig. 9a. In comparison to the MLP, the LSTM features more computationally heavy digital operations (sigmoid, tanh, softmax). Moreover, the data flow bears differences owing to the recurrent connection of the LSTM cell. Fig. 9b shows the different simulated cases. Cases 1 and 2 are single-CPU core cases that use larger AIMC cores. Case 3 is a dual-CPU core case with the cell layer assigned to the first CPU core, and the dense layer assigned to the second CPU core. Finally, Case 4 is a quin-CPU core case with the cell layer's
computation split across the first four CPU cores, and the dense layer assigned to the last CPU core. Here, the four CPU cores associated with the LSTM cell sync their outputs via mutexes before the second layer starts its MVM operation.

In this exploration, we focus on LSTM instances sharing the same architecture but with different layer sizes. The dimensions of the layers in these networks, as well as the corresponding AIMC tile sizes, are listed in Table 2. To reduce CPU core-to-core communication as much as possible in case 4, the LSTM cell layer is mapped to AIMC tiles such that instead of the gates being distributed to different AIMC tiles, they are sliced so that element-wise operations can be performed by reading four consecutive columns.

We would like to note that the largest LSTM architecture \((n_h = 750)\) is shown to experimentally yield high accuracy when implemented on real PCM prototype hardware chip.

8.2 Single Core Results and Analysis

Aggregate results for all of our LSTM experiments are shown in Fig. 10, including multi-core results, results for both system configurations, and factor improvements with the largest of the networks \((n_h = 750)\). When \(n_h\) is 256, we observe 1.0-1.5x factor improvements across all metrics and system configurations in the AIMC tile-enabled cases over the digital case due to the very small working set. However, when \(n_h\) increases to 512 and then 750, the run time and energy of the digital application increases up to 9.4x/9.3x with the working set size increase of 7x. This is in comparison to the AIMC tile-enabled applications, which sees an average run time and energy increase of 1.4x, suggesting a sub-quadratic increase in run-time complexity with higher space complexity.

Relative to the first case study with the MLP, we see smaller maximum time and energy gains (9.4x/9.3x versus 12.8x/12.5x); this is expected as a greater proportion of the LSTM total run-time is dedicated to digital operations that do not see a reduced computational complexity with the introduction of the AIMC tile. However, these digital operations do see mild performance improvements as a result of lower memory intensity and therefore less cache thrashing (due to space freed from lack of weights loaded in AIMC-enabled LSTMs).

8.3 Multi-Core Results and Analysis

Similar to the single-CPU core cases, the multi-CPU core cases also have significant performance gains as \(n_h\) grows larger, in comparison to the digital implementation (Fig. 10). By examining the sub-ROIs of the LSTM inference, as seen in Fig. 11, we see that the new bottleneck of the LSTM algorithm is the cell layer dequeuing and activation functions (up to 81.8% of the inference run time), followed by the cell gate combinations (up to 14.9% of the inference run time). After more analysis, the activation functions in the cell layer alone account for approximately 70% of the cell dequeue and activation run time, meaning that the cell layer’s digital component in the AIMC tile-enabled systems accounts for up to 57.3% of the algorithm’s run-time. Hence, unlike with the MLP study, going from single-CPU core to multi-CPU core with the LSTM in the AIMC tile-enabled implementations does result in a speedup of 10% (cases 1 versus 4), due to the LSTM cell’s parallelized linear operations (but not more due to inter-layer communication).

**TABLE 2**

| (A) LSTM Neural Network Parameters | Input \((x)\) | Hidden Layer \((n_h)\) | Output \((y)\) | Total Parameters |
|-----------------------------------|-------------|-----------------|-------------|-----------------|
| 50                                | 256         | 50              | 50          | 377.3 k         |
| 50                                | 512         | 50              | 50          | 1.28 M          |
| 50                                | 750         | 50              | 50          | 2.6 M           |

| (B) LSTM AIMC Tile Dimensions |
|-------------------------------|
| \(n_h\) | Case 1 | Case 2 | Case 3 | Case 4 |
|---------|--------|--------|--------|--------|
| 256     | 612 x 1074 | 356 x 1074 | 356 x 1024 | 356 x 256 |
| 512     | 1124 x 2098 | 612 x 2098 | 612 x 2048 | 612 x 512 |
| 750     | 1600 x 3050 | 850 x 3050 | 850 x 3000 | 850 x 750 |

Fig. 10. Aggregate results for all LSTM experiments. From left to right, each column contains total time, memory intensity, and energy results for the high-power system (top row) and low-power system (bottom row) configurations. "DIG" refers to digital reference applications while "ANA" refers to a specific analog, AIMC-enabled application case, which correspond to those in Fig. 9. Results are grouped by the number of CPU cores utilized, and from left to right, each grouped column refers to a different \(n_h\) parameter which affects the total size of the network. The darker bars refer to a larger \(n_h\).
8.5 LSTM Working Set Analysis

Just like with the MLP application, however, the LSTMs also make significant gains in memory intensity by using stationary weights, and thus reducing the size of the working set. If we calculate the approximate working set of the LSTM application, it is comprised of the input \((x + n_h)\), the LSTM cell layer’s weights \((4 \times (n_h \times (n_l + x)))\), the fully-connected layer’s weights \((n_h \times y)\), the intermediary result in-between the layers \((n_h)\), and the output \((y)\). The total size complexity of the LSTM application per inference using 8-bit types is \((x + n_h) + 4(n_h^2 + n_h x) + n_h + n_h y + y\). Using the numbers from Table 2, the size of the working set for \(n_h = 256, 512, 750\) is 378 kB, 1.28 MB, and 2.59 MB, respectively. Even in the smallest variant of the LSTM application, the working set cannot entirely fit in the private caches of the CPU core(s). When \(n_h = 512\) or 750, in both low-power and high-power system configurations, the CPU core(s) must go out to L2 cache and main memory to contain the working set.

When we utilize AIMC tiles in our LSTM application, the weights are removed from the working set because they are never needed by the CPU core(s) during the ROI, thus reducing our total size complexity to \((x + n_h) + n_h + y\), which is 0.66 kB, 1.17 kB, and 1.65 kB for \(n_h = 256, 512, 750\), respectively. For all values of \(n_h\) tested, the working set can fit entirely in L1 private caches for both low-power and high-power system configurations, hence the increasing performance improvements and lower memory intensity with greater values of \(n_h\).

Therefore, we conclude that while AIMC tiles greatly speedup neural networks where MVMs are the dominating operation, careful attention must be paid to both the size of the neural network and the proportion of other non-optimized digital operations. This case study of the LSTM shows that when linear operations are more dominant, that realized gains in performance are lower with the AIMC tiles (as compared with the first exploration study), and thus these other linear operations which are not optimized with the inclusion of the AIMC tiles become the new bottleneck. Furthermore, when the neural network is small enough to efficiently leverage a CPU core’s L1 private cache and a small portion of L2 cache (as when \(n_h = 256\), the overhead of queuing inputs and dequeuing outputs to and from the AIMC tile ends up having a similar run-time (with only minor performance gains) to the reference application, which otherwise invalidates or diminishes the potential benefit of introducing AIMC tiles.

9 EXPLORATION THREE: CONVOLUTION NEURAL NETWORKS

9.1 CNN Architecture

For our last exploration study, we explore the benefits of introducing AIMC tiles for CNNs in an 8-CPU core MPSoC. Contrary to the previous studies, where each layer weights are used only once per inference, convolution operations require multiple passes on weights per inference via shifting kernels over the feature maps. To perform the convolution operations in AIMC tile-enabled applications, we flatten the kernels into columns and store these in the columns of the AIMC tile, as described in [16, 43]. The feature maps are also flattened and queued to the AIMC tile.
We explore the three CNN variants presented in [42] to act as a baseline for modern CNNs; they are labeled CNN-F\textsuperscript{(ast)}, CNN-M(edium), and CNN-S(low). While CNN-S and CNN-M are similarly sized, the increase of the MaxPool operation factor in layers 1 and 5 from x2 to x3 increases the computational requirements of CNN-S significantly by performing strided 3x3 pool operations instead of 2x2 pool operations. Fig. 12a shows the proposed CNN implementation and data flow, while Fig. 12b reports the CNN architecture parameters. Fine-grained pipelining is applied for the data-flow; the convolutions are performed whenever the corresponding input volume of the feature map is available. Contrary to the previous exploration studies, we utilize the AIMC tiles only for convolutional layers. The feed-forward layers are processed in the CPU; these layers are executed only once as opposed to the convolutional layers and therefore do not constitute a bottleneck.

9.2 Results and Analysis

We present our results in Fig. 13. The largest performance increase with respect to the CPU-only implementation is recorded for the largest CNN variant “S”. This configuration exhibits the maximum speedup of 20.5x, a memory intensity improvement of 3.7x, and an energy improvement of 20.8x for the high-power system.

While in the prior case studies the core utilization across layers does not vary widely across experiments, the CNN benchmark is used to examine the AIMC acceleration with uneven CPU core utilization. To this end, Fig. 14 shows both the CPU idle percentage and the instructions per cycle (IPC) count for each individual CPU core in our high-power CNN-S application. CNN-M and CNN-F in both low-power and high-power system configurations exhibit very similar trends. The utilization of the first convolutional layer is similar in both CPU-only and AIMC tile-enabled benchmarks due to input load from memory. For convolutional layers 2 and 3, AIMC tiles provide significant benefits with idle cycles decreasing up to 4x. Likewise, IPC increases relative to the CPU-only benchmark by up to 3x. Convolutional layers 4 and 5 exhibit more idle cycles in the AIMC-based implementation in comparison to prior layers. This is partly attributed to reduced size of the feature maps, owing to the stride and pooling operations of the previous layers. The fully-connected layers’ CPU cores spent the most time idling. Owing to the fine-grained activation pipelining, the amount of data to be communicated between layers are significantly reduced. Yet, the total inference runtime is more than the MLP and LSTM cases owing to the multiple passes over the convolutional kernels.

As a result, while the AIMC tiles offer significant speed-ups for CNNs as well, further exploration is needed to optimize the data flow for shifting bottlenecks in layers. This includes replicating the initial layer convolutional kernels to balance the CNN pipeline owing to varying feature map sizes [44], including local SRAM in the AIMC tile for avoiding queuing the same input volume of the feature maps multiple times [44] and minimizing core-to-core communication overheads. This investigation can be carried out on the ALPINE framework.

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**Fig. 12.** (a) The architecture of the CNNs presented in [42] and their mapping onto the ALPINE systems. The blue boxes with the AIMC tiles represent the convolutional layers. The dense layers are not mapped to AIMC tiles. (b) shows the dimensions and parameters of each CNN. The CNN has 5 convolutional layers (3 with Max Pooling), 3 dense layers, and ReLU activation functions for all layers except the last layer, which uses Softmax.

We explore the three CNN variants presented in [42] to act as a baseline for modern CNNs; they are labeled CNN-F (fast), CNN-M(medium), and CNN-S(low). While CNN-S and CNN-M are similarly sized, the increase of the MaxPool operation factor in layers 1 and 5 from x2 to x3 increases the computational requirements of CNN-S significantly by performing strided 3x3 pool operations instead of 2x2 pool operations. Fig. 12a shows the proposed CNN implementation and data flow, while Fig. 12b reports the CNN architecture parameters. Fine-grained pipelining is applied for the data-flow; the convolutions are performed whenever the corresponding input volume of the feature map is available. Contrary to the previous exploration studies, we utilize the AIMC tiles only for convolutional layers. The feed-forward layers are processed in the CPU; these layers are executed only once as opposed to the convolutional layers and therefore do not constitute a bottleneck.

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**Fig. 13.** Aggregate results for CNN experiments. From left to right, each column contains total time, memory intensity, and energy results for the High-Power system (top row) and Low-Power system (bottom row) configurations. ANA refers to analog AIMC-enabled applications with CNN names corresponding to those in Table 12, while DIG refers to a digital reference, non-AIMC-enabled, implementation. The CNNs F, M, and S represent fast, medium, and slow variations on the same CNN architecture, respectively.

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10 Conclusion

In this work, we presented and explored the performance benefits of a novel architecture that utilizes tightly-coupled AIMC tiles. We implemented ALPINE, a gem5-X extension for modeling AIMC tiles in the gem5 full system simulation framework. We extended the ARMv8 ISA with custom instructions that interface AIMC tiles directly from their execution in the CPU. For ease of programming and using AIMC tiles, we implemented a dedicated software library (AIMClib). Using ALPINE and AIMClib, we then implemented and tested three different exploration studies across two system configurations, namely, single and multi-core MLPs, single and multi-core LSTMs, and finally multi-core CNNs. Through these exploration studies we observed how computational and size complexity is reduced by leveraging the AIMC tiles, ultimately demonstrating up to 20.5x/20.8x performance/energy gains with respect to a SIMD-enabled fully-digital reference implementation.

Acknowledgments

We thank Geethan Karunaratne, Pier Andrea Francese and Riduan Khaddam-Aljameh for technical discussions. We also thank Marceline and Lohse Klein for their support.

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