Optimisation of cardiac resynchronisation therapy in clinical practice during exercise

M. M. D. Molenaar · B. Oude Velthuis · M. F. Scholten · J. Y. Stevenhagen · W. A. Wesseling · J. M. van Opstal

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Abstract
Aims Although cardiac resynchronisation therapy (CRT) is an established treatment to improve cardiac function, a significant amount of patients do not experience noticeable improvement in their cardiac function. Optimal timing of the delay between atrial and ventricular pacing pulses (AV delay) is of major importance for effective CRT treatment and this optimum may differ between resting and exercise conditions. In this study the feasibility of haemodynamic measurements by the non-invasive finger plethysmographic method (Nexfin) was used to optimise the AV delay during exercise.

Methods and results Thirty-one patients implanted with a CRT device in the last 4 years participated in the study. During rest and in exercise, stroke volume (SV) was measured using the Nexfin device for several AV delays. The optimal AV delay at rest and in exercise was determined using the least squares estimates (LSE) method. Optimisation created a clinically significant improvement in SV of 10%. The relation between HR and the optimal AV delay was patient dependent.

Conclusion A potential increase in SV of 10% can be achieved using Nexfin for optimisation of AV delay during exercise. A considerable number of patients showed benefit with lengthening of the AV delay during exercise.

Keywords Cardiac resynchronisation therapy · Atrio-ventricular delay · Optimisation

Introduction
In a subgroup of heart failure patients, treatment by cardiac resynchronisation therapy (CRT) can be beneficial [1, 2] and is mostly combined with ICD therapy [3]. Although several studies have confirmed the effect of CRT on improvement in cardiac function, still one-third of patients do not experience noticeable gain from CRT [1, 2, 4, 5].

To increase the rate of responders, proper timing of pacing pulses may play a role. The proper timing of pacing pulses is of major importance for the created stroke volume and the treatment effect of CRT [6, 7]. As CRT improves left ventricular function and increases exercise capacity, optimisation of CRT during exercise seems more meaningful than at rest. Since earlier studies show diverse outcomes regarding the optimal timing interval during higher heart rates, with individual variation, optimisation of the AV delay should include both measurements at rest and during exercise [8–12].

The gold standard method for general measurement of haemodynamic improvement is invasive [13]. This technique is too elaborate for routine CRT optimisation and certainly not suitable during exercise. For that reason, non-invasive methods to assess the haemodynamic state have been developed. Echocardiography is widely used but is challenging during exercise.

Recently Van Geldorp et al. [14] studied the feasibility of a non-invasive method provided by a Nexfin device (Edward Lifesciences BMEYE B.V., Amsterdam, the Netherlands) to continuously monitor beat-to-beat haemodynamics, using a finger cuff. Van Geldorp et al. concluded that this is a promising tool in the individual optimisation of CRT. The Nexfin showed a good performance on the measurement of beat-to-beat stroke volume (SV) changes, the assessment of relative
effects induced by CRT and on the determination of the optimal AV delay. The precision of Nexfin in assessing beat-to-beat SV changes was determined at around 6%, which is considered precise [14–17]. In this study the Nexfin device was used to determine the optimal AV delay during different heart rates during exercise.

In our study the feasibility of a non-invasive finger plethysmographic method (Nexfin, BMEYE B.V., Amsterdam, the Netherlands) in optimisation of atrioventricular delay (AV-delay) during exercise was assessed.

Materials and methods

The Nexfin uses the volume-clamp method introduced by Czech physiologist Professor J. Peñaz [18]. Herein the cuff pressure provides an indirect measure of intra-arterial pressure. To correct the distortion in finger pressure relative to brachial artery pressure, a frequency-dependent filter is used to restore the waveform at the brachial level. The stroke volume is computed by using CO Trek, a model-based method and algorithm which uses a nonlinear, self-adaptive three-element Windkessel model of the aortic input impedance.

All patients known in the Cardiology Department of the Medisch Spectrum Twente implanted with a CRT device in the last 4 years were included according to the inclusion criteria, as shown in Table 1. Using these criteria a patient population was selected in which confounding factors could be largely excluded.

Test protocol

Preparation

First, the rate-responsive AV delay of the CRT device is turned off, patients are seated on the exercise bicycle, connected to a 12-lead surface ECG registration system (Philips StressVue, Philips, the Netherlands) and to the Nexfin system using the proper size finger cuff and heart reference system. After application of the measurement devices, some minutes of rest are included to create steady-state conditions in the patient as well as in the measurement devices. The VV delays were not reprogrammed in order to change only one parameter (AV delay) which could influence the haemodynamic circumstances. Most of the patients had a VV delay of -20 or -30 ms.

Rest protocol

The optimal AV delay at rest is tested by programming AV delays starting from 40 ms with increments of 20-ms steps, until either intrinsic conductance starts or until a maximum AV delay of 340 ms is reached. When the device can not be programmed in 20-ms steps (St Jude Medical Systems devices when programming AV delays >200 ms), the test is continued using 25-ms steps. When the VV delay precludes the programming of an AV delay of 40 ms, the first tested AV delay is set to 60 ms or even 80 ms. Prior to each programming step, baseline haemodynamic parameters were collected (10 s averages). Next, the AV delay was reprogrammed and haemodynamic changes compared with baseline were measured, preventing influences of slow haemodynamic changes. Minimally two beats after reprogramming a ten-second averaging of haemodynamic parameters is performed. After this averaging, the AV delay is programmed back to the baseline setting. After a minimum of 25 s, the preparation for the following AV delay setting starts again. After completion of this rest protocol, the optimal rest AV delay is determined as the highest SV increase from baseline to tested AV delay. This is the ‘working’ optimal AV delay which is used in the exercise protocol that follows. The complete protocol of the study can be found in Appendix A.

Exercise protocol

The exercise protocol consists of three stages. During the first stage, the target heart rate is set at 15 beats per minute (bpm)

| Table 1 | Inclusion criteria |
|---------|--------------------|
| - Intact AV conduction. |
| - Patients age <80 years |
| - CRT implanted on indication of LBBB and according to ESC guidelines |
| - Able to complete a supine bicycle exercise stress protocol |
| - Sinus rhythm, no patients with e.g. permanent atrial fibrillation can be included |
| - No prior valvular surgery. |
| (might alter the physiology of the heart cycle) |
| - CRT in situ for minimally 6 months. |
| (after 6 months the major part of remodelling is assumed to be completed) |
| - No history of irreversible ischaemia in the heart; no previous myocardial infarction or ischaemia present |
| (irreversible ishaemia might have a major influence on heart cycle physiology and the response to CRT) |
| - Posterolateral placement of the left ventricular electrode |

CRT = cardiac resynchronisation therapy, ESC = European Society of Cardiology, LBBB = left bundle branch block
above the resting heart rate. In stage two and three, the target
heart rate is 30 and 45 bpm higher, respectively, if tolerated by
the patient. The patient is instructed to start pedalling at a
comfortable cadence of 60 rotations per minute. This cadence
is indicated on a screen on the handlebar of the bike. To reach
the target heart rate the bicycle’s resistance is adjusted. When
the target HR is reached, this HR is maintained within 5 bpm
from this target rate by adjusting the bicycle’s resistance and
coaching the patient in the pedalling speed. The AV delays
tested during the exercise protocol range from 80 ms shorter
than the working optimal AV delay to 80 ms longer. After
finishing the first stage, the second and subsequent third stage
are performed using the same protocol. The test is ended when
stage three has been completed or when the patient becomes
too fatigued to continue cycling. (See also the flowchart of
the test protocol in Appendix A.)

Analysis

Optimal AV delay

Baseline SV, averaged over the 10 s measured before AV
delay programming, is subtracted from the averaged SV
measured during tested AV delay. As such, the change in
SV ($\Delta SV$) created by the tested AV delay is found.

$$\Delta SV (mL) = SV_{tested AV delay (mL)} - SV_{preceding baseline (mL)}$$

Whinnett et al. [19] demonstrated that the curve of SV
response at increasing AV delays fits closely to a second-
order polynomial with a maximum. To determine the optimal
AV delay we used the least square estimates (LSE)
method (Matlab, The Mathworks, USA). This is based on
minimisation of the sum of the squared residuals, with a
residual being the difference between a measured value and
the fitted value provided by the 2nd order polynomial curve.

The point in which the fit over the $\Delta SV$ points reaches its
maximum is selected as the optimal AV delay for that stage.
It is expected that the LSE creates a parabola with a top.
When, however, a parabola with a minimum is created or
when the calculated maximum is not within reasonably
programmable AV delays (<20 or>intrinsic guidance) the
stage is excluded from further analysis. Per patient the rate
dependency of the optimal AV delay in the eligible stages is
assessed. The mean change in optimal AV delay in milliseconds
per increase in HR in beats per minute is calculated.

Beneficial effect of optimisation

The beneficial effect of optimisation is calculated as the per-
centage of increase in SV potentially to be created by optimi-
sation per stage. Therefore the mean $\Delta SV$ corresponding to
the minimum of the polynomial fit per stage is subtracted from
that corresponding to the maximum. This potential increase is
divided by the measured mean SV at the minimum of the fit.

The minimum of the polynomial fit is determined consid-
ering reasonably programmable AV delays, the minimum
being 40 ms and maximum being 20 ms below AV delay
creating intrinsic guidance since in CRT continuous pacing
should be preserved.

Results

Between January 2006 and December 2011, 406 patients
received a CRT device. Fifty-three patients met the inclusion
criteria. Twenty-two of these patients were not included in
the study because of the following reasons: insufficient pe-
ripheral circulation to perform the test ($n=1$), and not willing
to perform a cycling test ($n=21$).

The 25 male and 6 female patients all had a CRT-D device
(13 Medtronic, 14 St. Jude, 4 Boston Scientific / Guidant).
All patients were receiving adequate ventricular pacing with
an average ventricular pacing fraction of 98 %. The mean
time after implant was well above 6 months. Therefore it was
assumed that cardiac remodelling had ended. See Table 2 for
further patient characteristics.

Optimal AV delay

A total of 90 optimisation stages were performed. For all tested
AV delays in these 90 stages the $\Delta SV$ was calculated. An
example of this for one stage in one patient is shown in Fig. 1.

A maximum AV delay could be determined for all tested
stages in five patients. In 19 patients there were two or more
stages in which a maximum AV delay could be determined.

Table 2 Patient characteristics

| Sex        | Male    | 25 patients |
|------------|---------|-------------|
|            | Female  | 6 patients  |
| Age        | 65.8±8.9 year | |
| CRT device | CRT-P   | 0 patients  |
|            | CRT-D   | 31 patients |
| Device brand| Medtronic | 13 patients |
|            | St Jude Medical | 14 patients |
|            | Boston/Guidant | 4 patients |
| EF before implant | 26±7 % | |
| Time after implant | 32.9±14.5 months | |
| Ventricular pacing | 98±2 % | |
| Max stage reached | Stage 1 | 10 patients |
|            | Stage 2 | 14 patients |
|            | Stage 3 | 7 patients  |

$CRT =$ cardiac resynchronisation therapy, $EF =$ ejection fraction
Using the LSE method in 55 (61 %) of the stages a maximum AV delay could be determined. See Table 3 for specifications per stage. The mean optimal AV delay was 118±32 ms during rest stages, 121±40 ms during stage 1, 129±30 ms during stage 2 and 109±31 ms during stage 3. The averaged optimal AV delay over all 54 stages was 121±34 ms. See also Table 3.

When considering the optimal AV delay during exercise (in patients with two or more eligible stages), a shortening (1.9±0.9 ms/bpm) of optimal AV delay was found in eight patients (42 %). In four patients (21 %) a lengthening (2.5±1.7 ms/bpm) of optimal AV delay was found. In four patients (21 %) the AV delay did not change with increasing heart frequency, i.e. the optimum stayed within 10 ms. In the remaining three (16 %) patients the optimal AV delay fluctuated with increasing heart frequencies but did not solely shorten or lengthen.

Beneficial effect of optimisation

In this study a mean optimisation effect of 10 % was found. In 12 stages a potential increase in stroke volume between 0 and 5 % was observed, in 21 stages an effect between 5 and 10 %.

| Optimal AV delay | % with max | Mean ± SD |
|------------------|------------|-----------|
| Rest             | 61 %       | 118±31 ms |
| Stage 1          | 65 %       | 121±40 ms |
| Stage 2          | 57 %       | 129±29 ms |
| Stage 3          | 57 %       | 109±31 ms |
| Overall          | 60 %       | 120±34 ms |

Fig. 1 Optimal AV delay calculation for one stage in one patient. The points mark the ΔSV calculations for the different AV delays. The solid curve is the individual optimisation curve created by the LSE method. The optimal AV delay is selected as the maximum of the optimisation curve (dotted line).

In the resting stages an effect of above 10 % was observed. See also Fig. 2.

Discussion

This study was designed to assess the feasibility of Nexfin in optimisation of AV delay during exercise based on SV differences. The Nexfin device was used to determine the effect of HR increase during different levels of exercise on the optimal AV delay. Optimisation of the AV delay resulted in a 10 % potential SV increase. This is a clinically relevant increase indicating that the individual patient could benefit from optimisation by Nexfin during exercise.

The optimal AV delay per stage was determined using Nexfin. Assuming the relation between ΔSV and increasing AV delay can be described by a second order polynomial function, an optimal AV delay could be determined in 61 % of the stages. The other stages showed a fit with a minimum or a fit with a maximum, which was not within reasonably programmable AV delays (<20 or>intrinsic guidance).

The mean optimal AV delay found at rest was 118 ms. This indicates that for some patients, the typical default settings (120 ms) can be considered optimal. However, a large standard deviation in optimal AV delay was found. Since it cannot be predicted for whom the out of the box settings will be optimal, this is a strong indication that the AV delay should be optimised individually in every patient with CRT.

In 42 % of the patients eligible for analysis, a shortening in optimal AV delay was found for increasing heart rates, whereas 21 % showed a lengthening in optimal AV delay. These results are in accordance with the highly diverse outcomes in earlier studies [8–12]. The use of different methods for measurement of SV has been suggested as a possible explanation for this diversity. Another explanation, which our study supports, could be the patient dependency in rate responsive optimal AV delay.

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In currently available CRT devices the AV delay can only be programmed to a fixed rate or to dynamic shortening, while lengthening of the AV delay during CRT is not an option. This study, and the earlier mentioned study by Scharf [9], indicated a potential benefit of lengthening of the AV delay.
delay for a certain patient group. Because of earlier mentioned conflicting evidence on rate adaptive AV delay in the literature, it is currently advised that the rate responsive AV delay should be inactivated by default [12, 20]. However programming rate responsive AV delay ON was encouraged in the MIRACLE trials [5]. A recent pilot study by Shanmugam [21] showed that programming rate adaptive AV delay ON improves exercise capacity and advised to revise the debate regarding rate adaptive AV delay.

Only a few other devices provide continuous and non-invasive stroke volume during exercise. The Finometer (Finapress Medical Systems, Amsterdam, the Netherlands) also uses the volume clamping and a pulse contour analysis method to determine stroke volume. However, this device needs frequent calibration with an upper arm cuff. The Nicom system (Cheetah Medical, Vancouver, USA) uses bioimpedance to measure stroke volume. These measurements have been found feasible and reliable for AV delay optimisation. However, this has not yet been tested during exercise where this may be a challenge due to the system’s vulnerability to motion artifacts [22].

Limitations

The first limitation is that only 6 female patients were included in the study. However, women appear to be generally underrepresented in patients who receive ICDs and CRT devices [23]. Secondly, the VV delay was deliberately not reprogrammed to assess the effect of changing the AV delay only. Adapting the VV delay as well could have resulted in an even higher increase of SV. And a third limitation is that the study only describes the acute haemodynamic effects; long-term follow-up data will become available next year.

Conclusion

This study is a first step in clinical application of the Nexfin in AV delay optimisation during exercise. In every patient AV delay at rest and during exercise should be optimised individually. A potential increase in SV of 10% can be achieved using Nexfin for optimisation of AV delay during exercise while a considerable number of patients showed benefit with lengthening of the AV delay during exercise.

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Conflict of interests None declared.

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Appendix A

**PREPARATION**
- Measure height and weight of patient
- Regular CRT device control
- List current AV-delay settings (baseline)
- Turn off rate-responsive AV-delay

**REST OPTIMIZATION**
- Seat patient on bicycle
- Application 12-leads ECG
- Application Nexfin device
- Some minutes of rest to create steady state
- Determine rest HR

**EXERCISE STAGE 1**
- Target HR = Rest HR + 15 bpm
- Adjust resistance to maintain HR within +/- 5 bpm from target HR
- Average in baseline settings for 10 s
- Program AV-delay to baseline settings
- Continue to next stage

**EXERCISE STAGE 2**
- Target HR = Rest HR + 30 bpm
- Average for 10 s
- Program AV-delay to baseline settings
- Av-delay = last optimum + 80 ms in last test
- Continue to next stage

**EXERCISE STAGE 3**
- Target HR = Rest HR + 45 bpm
- Continue to next stage
- Wait minimally 25 s

1. Program AV-delay back to baseline settings
2. Program the to be tested AV-delay**1**
3. Average for 10 s
4. Program AV-delay to baseline settings
5. Camucla ventricular pacing or AV= 360 ms in last test

**1** Starting at 40 ms, increased by 20 ms every cycle

**2** Starting at optimal AV-delay found in previous stage –60 ms, increased by 20 ms every cycle
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