Interannual monsoon wind variability as a key driver of East African small pelagic fisheries

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Small pelagic fisheries provide food security, livelihood support and economic stability for East African coastal communities—a region of least developed countries. Using remotely-sensed and field observations together with modelling, we address the biophysical drivers of this important resource. We show that annual variations of fisheries yield parallel those of chlorophyll-a (an index of phytoplankton biomass). While enhanced phytoplankton biomass during the Northeast monsoon is triggered by wind-driven upwelling, during the Southeast monsoon, it is driven by two current induced mechanisms: coastal “dynamic uplift” upwelling; and westward advection of nutrients. This biological response to the Southeast monsoon is greater than that to the Northeast monsoon. For years unaffected by strong El-Niño/La-Niña events, the Southeast monsoon wind strength over the south tropical Indian Ocean is the main driver of year-to-year variability. This has important implications for the predictability of fisheries yield, its response to climate change, policy and resource management.

Several nations in the Western Indian Ocean (WIO) region face major socio-economic growth challenges such as the increasing need for livelihoods support and food security and high vulnerability to climate phenomena such as monsoons. These countries are not only among the lowest ranked of the United Nations Human Development Index, but are also highly dependent on fishing for economic stability and food security. The prevalence of severe food insecurity indicator estimates 32.4% of the Eastern African population (~ 136.8 million people) were affected by undernourishment in 2017. A case in point is Tanzania, where over two million people work in the fishing sector and fish supplies up to 70% of annual protein intake. Small pelagic fish are particularly important for direct human consumption, representing ~ 1/3 of the total marine catch with a diverse range of species including anchovies, shads, herrings, mackerel and sardines. Although the fishing sector, dominated by artisanal and subsistence fisheries, has been under severe pressure due to increased exploitation and unsustainable practices, environmental impacts are notable, becoming the center of attention for government bodies in addressing the country’s challenges. It is therefore of critical importance to develop a comprehensive understanding of physical and biogeochemical drivers that affect the long-term abundance of these small pelagic fish, particularly under the accelerating impact of climate change.

In contrast to many other parts of the global ocean, the Tanzanian seas are poorly studied. Nearly all existing studies have been in-situ based, reporting a surface circulation pattern (Supplementary Fig. S1) dominated by the East African Coastal Current (EACC), which is controlled by a monsoonal wind regime. The EACC flows northward year-round with a change in intensity between seasons, being weakest in the Northeast monsoon (December to March) and strongest in the Southeast monsoon (May to October). The EACC originates as a branch of the South Equatorial Current (SEC), flowing from the central equatorial Indian Ocean towards Africa, where it diverges along the coast of Madagascar with a northern branch, the Northeast Madagascar Current (NEMC) and a southern one, the Southeast Madagascar Current (SEMC). The NEMC feeds the EACC.

Being of subtropical origins, Tanzanian waters are deemed to be relatively oligotrophic with low productivity. Interestingly, the small pelagic fish potential yield within the Tanzanian Exclusive Economic

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Zone (EEZ) is estimated at 20,000 metric tons, which is ~ 1/3 that of Somalia, a highly productive upwelling area\textsuperscript{23}. Moreover, the total marine landings grew by 50% between 1982 and 1993, partly through increased catches of small pelagics\textsuperscript{24}. The unusually large small pelagic biomass found in this supposedly unproductive region raises several questions—one of which concerns the identification of key environmental factors that influence abundance.

Here, we investigate large-scale biophysical processes potentially affecting the herring, shad and anchovy populations (\textit{Clupeidae} and \textit{Engraulidae}); a group that represents the most important contributors to the total small pelagic fish catch\textsuperscript{24,25}. This taxonomic group is known to display strong responses to environmental variability, especially fluctuations in phytoplankton availability\textsuperscript{26}. These in turn are controlled by physical processes including vertical mixing and upwelling events related to monsoonal winds, as is the case for the larger WIO coastal region\textsuperscript{9,27}. Due to the difficulty and cost of maintaining sustained \textit{in-situ} observations in the region\textsuperscript{28}, only a few studies have been achieved, reporting short-lived upwelling cells confined to the Tanzanian mainland coast that are suspected to be wind-driven\textsuperscript{9} or eddy-driven in the lee of the islands\textsuperscript{29}. To-date, the identification of large-scale phytoplankton blooms and of mechanisms that could be responsible for their interannual fluctuations have not been investigated in detail. The previous work of Jury et al.\textsuperscript{30} evaluated the link between the total marine fish catch in East Africa, the WIO climate and coastal circulation at quasi-decadal and mean annual timescales using reanalysis data. In the present study, we focus on how the interannual changes of the alternating monsoons influence the chlorophyll (\textit{Chl-a}, an index of phytoplankton biomass) availability. Understanding the mechanisms driving the interannual variability in \textit{Chl-a} is important as this may directly influence on small pelagic fish stocks in Tanzanian waters.

Satellite observations, ocean model outputs, and \textit{in-situ} measurements are used to examine biophysical processes likely driving the long-term variations in the catch of herrings, shads and anchovies in Tanzanian waters. We show that the yield of these small pelagics is synchronous with the available \textit{Chl-a} concentrations on a year-to-year basis. The phytoplankton blooms are mainly influenced by the strength of surface currents during the Southeast monsoon, which result in “dynamic uplift” upwelling and westward advection of nutrients into Tanzanian waters. The interannual strengthening (weakening) of these mechanisms enhances (reduces) surface \textit{Chl-a} concentration, owing to the interannual changes of monsoonal winds.

Results

\textbf{Fisheries and \textit{Chl-a} variations.} For the period 1998–2014, the annual catch of herrings, shads and anchovies in the Tanzanian EEZ exhibited a clear increase when annual mean \textit{Chl-a} concentrations were higher and a decrease when they were lower (Fig. 1, see “Methods” for details of the datasets used). The correlation between the recorded catches and the satellite-derived annual mean \textit{Chl-a} concentrations is of 0.73 with a $P_{value}$ of 0.0009 (Fig. 1b). This suggests, with the caveat that only 17 data points compose the timeseries, that the recorded catches parallel the corresponding satellite-derived annual mean \textit{Chl-a} concentrations (Fig. 1b). Highest catches of small pelagics were recorded from 2001–2005, reaching about 200 metric tons in 2002, when the \textit{Chl-a} is highest (Fig. 1a). Both annual mean \textit{Chl-a} concentrations and catches increased prior to the plateauing of 2001–2005 and both declined thereafter (Fig. 1a). In 2011, the annual mean \textit{Chl-a} concentration reached a historical minimum of 0.12 mg/m$^3$, synchronously with a decline in the catch (Fig. 1a).

The significant positive relationship between small pelagic fish annual mean catch and \textit{Chl-a} raises the question—what environmental factors drove the high \textit{Chl-a} in 2002 and the increased harvest of small pelagic fish from 2001–2005 in the Tanzanian EEZ?
Herrings, shads and anchovies prefer coastal habitats. A spatial and temporal variability analysis of satellite Chl-a data was undertaken for the period 1997–2015 and for the shelf area indicated in Fig. 2a (see Supplementary Text 1 for more details on the choice of region). The spatial distribution of the climatological annual mean Chl-a concentration shown in Fig. 2a confirms the oligotrophic nature of these waters, with concentrations rarely exceeding 0.25 mg/m³ over the majority of the area.

The green line (Fig. 2b) shows clear seasonal changes between the Northeast monsoon (i.e., from December to March) and the Southeast monsoon (i.e., from May to September). This seasonal variability is characterised by two annual maxima, with higher Chl-a during the Southeast monsoon (up to 0.32 mg/m³) than the Northeast monsoon (up to 0.26 mg/m³). Note that the Chl-a peak in April (inter-monsoon period) relates to increased riverine inputs and is discussed in the Methods section. At the interannual scale (Fig. 2c, green line), the Southeast monsoon Chl-a maxima (Fig. 2c, red line) are generally greater than the Northeast monsoon Chl-a maxima (Fig. 2c, blue line). The Southeast monsoon Chl-a maxima (Fig. 2c, red line) draws attention to September 2002 (Sep02 hereafter) as the month with the largest Chl-a concentration (0.6 mg/m³) and August 2004 (Aug04 hereafter) as the second highest Chl-a peak (0.56 mg/m³). Southeast monsoons with a relatively low Chl-a maximum (Fig. 2c, red line) are also observed as in 1998, 2011 and 2015 (purple dots). Here, we choose to focus on the example 2011 minimum (0.29 mg/m³, approximately half of the observed maximum) as it stands out in the annual Chl-a and fisheries timeseries in Fig. 1a and it can be considered a neutral year, unlike 1997–98 and 2015/16, which were super El-Niño and strong Indian Ocean Dipole (IOD) years. Indeed, such decadal oscillations cause low Chl-a and warming over the WIO. On the basis of Southeast monsoon Chl-a maxima during 1997–2015, all "highs" (Fig. 2c, black dots) and "lows" (Fig. 2c,
purple dots) can be distinguished as the values falling on either side of the 0.33 mg/m³ Chl-a level, which is the mean of the Chl-a maxima timeseries (dashed horizontal line). These composites of "highs" and "lows" are investigated below in "Results", in addition to 2002 and 2011 examples.

Comparison of the Chl-a monthly means for 2002 and 2004 with the annual climatological cycle (Fig. 2b, black and grey lines) shows a near doubling of concentrations relative to the climatological values during September and August for these years. The Chl-a monthly mean for 2011 southeast monsoon months is also almost two standard deviations lower than typical conditions, with concentrations down to 0.26 mg/m³ (Fig. 2b, purple line).

The spatial and temporal extent of the 2002 and 2004 peaks and the 2011 decline can be further illustrated by the meridional variation of Chl-a over the Tanzanian coastal region (Fig. 2d). It shows that these extremes influence the whole Tanzanian coastline. Maximum Chl-a concentrations reach up to 0.46 mg/m³ (outside of the area between 7 and 7.6°S where it can exceed 0.65 mg/m³) every Southeast monsoon from 1998 to 2000 and 2006 to 2015. By contrast, the Chl-a level during the period between 2001 and 2005, is much higher, reaching 1 mg/m³ in Sep02 and Aug04.

### Chl-a response to monsoonal variability.

To gain insight as to why the highest Chl-a was observed during 2002 and 2004, we considered whether both annual Chl-a maxima were caused by the same physical mechanism. For this we calculate a point by point correlation of surface Chl-a anomalies with wind speed and current speed anomalies over the WIO region from the high resolution (1/12°) global model NEMO, forced by reanalysis surface fluxes from 1958 to 2015. Note that the model is able to reproduce the key features of the surface circulation and associated monsoonal variability over our study area (see "Methods" for details). If similar mechanisms, related to wind or current speed, are causing the Chl-a changes in both seasons, one should expect the same sign of correlation along the East African coast. The aim is to see how the alternating monsoon affects the seasonal productivity. The correlations for the Northeast and Southeast monsoons over 1998–2012 are found to be in sharp contrast as shown on Fig. 3a–d. During the Northeast monsoons the modelled surface Chl-a and wind speed anomalies show high positive and significant (up to 0.7 with $P_{val} < 0.05$) correlations along the Tanzanian and Kenyan coasts (Fig. 3a), suggesting the occurrence of Ekman upwelling or enhanced vertical mixing. In contrast, no significant correlations were found during the Southeast monsoons (Fig. 3b), which excludes a wind-driven upwelling mechanism for that season. Instead, surface Chl-a anomalies correlate (up to 0.4 with $P_{val} < 0.05$) with surface current speed anomalies near the Tanzanian and Kenyan coasts during the Southeast monsoons (Fig. 3c). Conversely, significant correlations between surface currents and Chl-a are absent in the Northeast monsoon months (Fig. 3d), except by the Somali Zanzibar Confluence Zone (SZCZ), at ~ 3.5°S where the Somali Current (SC) and EACC meet before deviating away from the coast, which initiates upwelling and enhanced primary production.

Wind-induced upwelling and/or mixing likely cause the enhanced Chl-a during the Northeast monsoon along the East African coasts. Vertical mixing was considered as insubstantial in a previous analysis based on wind speed cube index. However, wind-driven upwelling, a well-known upwelling process in coastal regions, has been confirmed along the Tanzanian and Kenyan coasts during Northeasternly winds.

What drives the seasonal Chl-a response to the Southeast monsoon along the Tanzanian and Kenyan coastlines acts independently from the local wind forcing and is rather related to surface currents. Such a response indicates the occurrence of two possible mechanisms, a dynamic uplift upwelling or increased advection of nutrients into the region. Dynamic uplift upwelling acts through an intensification of the along-shelf current, as an additional mechanism contributing to elevated levels of Chl-a along the Tanzanian and Kenyan shelf.

### Dynamic uplift as a key driver of the Southeast monsoon phytoplankton bloom.

To better understand the mechanisms behind the Chl-a response during the Southeast monsoons, we next assess the occurrence of the dynamic uplift upwelling and horizontal advection by focusing on the intense blooms of Sep02 and Aug04. The characteristics of Sep02 bloom are presented below and those of Aug04 in Supplementary Fig. S4 and Text 2.

The upwelling signature in Sep02 is first examined in the Sea Surface Temperature (SST) and surface Chl-a relative to the 19-year climatological mean (1997–2015) as derived from satellite data and the model (Fig. 4). The satellite data reveal a coastal band of elevated Chl-a (up to 1 mg/m³) from Mafia Island (~ 8.5°S) to Kenya (~ 2°S), contrasting with deeper waters further East with low Chl-a (down to 0.15 mg/m³) (Fig. 4d,k). Chl-a concentrations intensified in Sep02 to about three times their climatological values (Fig. 4d,k). In most cases, the distributions of high Chl-a in the model match well with those in satellite observations (Fig. 4e, l, d, and k), with just few exceptions (see "Methods" for model/satellite Chl-a inconsistencies). The observed SST along the coastal band (between Mafia and Zanzibar islands, in the Pemba Chanel and further north towards Kenya) has cooled down to 25.2 °C compared with SST of 25.7 °C in the climatology (Fig. 4a,b). The modelled SST for the same coastal band also shows low values, decreasing from 25.3 °C in normal conditions to below 24.9 °C over a similar spatial extent to satellite observations (Fig. 4b,i). Overall, the clear pattern of high Chl-a concentrations...
Figure 3. Impact of the change of monsoons on the Chl-a distribution along the East African coast during the period 1998–2012. Spatial correlation of surface Chl-a anomalies with wind (a), and surface currents anomalies (d) from the model level during the Northeast monsoons, and same for the Southeast monsoons (b, c) respectively. Note that the surface currents used are from the model first level (and correlation results remain similar for the first 100 m depth). Maps on panels (a–d) were created by the authors using MATLAB software vR2015b (see https://uk.mathworks.com/products/new_products/release2015b.html and https://uk.mathworks.com/products/matlab.html). (e) Timeseries of modelled surface currents and Chl-a anomalies during Southeast monsoons from 1998 to 2012 along the NEMC and EACC flows defined by their approximate positions as delimited by the thick blue contour on panel (c). 2002 peaks and 2011 drops in surface currents and Chl-a anomalies are highlighted in black and purple dots respectively.
associated with cooler waters along the coastline during Sep02 and in the climatology is suggestive of either intensified upwelling or enhanced vertical mixing.

Analysing the modelled MLD across three vertical cross-sections along the elevated Chl-a coastal band between Mafia and Northern Kenya (T1–T3, see Fig. 4e for exact positions) reveals a shallower MLD during Sep02 (solid lines, Fig. 4f) than the climatology (dashed lines, Fig. 4f). This suggests that the elevated Chl-a in Sep02 is not due to the intensification of upper ocean mixing but rather coastal upwelling which shoals the mixed layer.

The upwelling subsurface signature can be assessed in the modelled temperature, Chl-a, and Dissolved Inorganic Nitrogen (DIN) along sections T1–T3. In the climatological Southeast monsoon, sections T1–T3 indicate cool SST, high Chl-a and elevated nutrients near the coast (Fig. 4j,m,n), typical of an upwelling regime. The 25 °C isotherm rises at around 40 °E from deep layers (<80 m) to the near surface (30–50 m). This results in an uplift of the 25.5 °C isotherms on sections T2–T3 in the climatological September. These cooler temperatures are accompanied by high Chl-a concentrations of 0.4–0.5 mg/m³ in the upper 80 m. The elevated Chl-a is also consistent with the doming of DIN isopleths on sections T1 to T3 near the coasts. During Sep02, the same situation occurs but with much more intensity (Fig. 4c,f,g). The cold waters with elevated nutrients are closer to the surface than

Figure 4. Surface and subsurface signatures of dynamic uplift upwelling along the Tanzanian and Kenyan coasts during Sep02 relatively to the climatology (1997–2015). SST in °C from satellite data (a,h) and the model (b,i) and surface Chl-a in mg/m³ from satellite data (d,k) and the model (c,l). Satellite Chl-a data on the Rufiji river outflow area are masked in white on panels (d) and (k). The 200 m isobath derived from ETOPO2v1 global gridded database are represented by solid, dashed and dotted black lines respectively. Cross-sections (T1 to T3) of modelled temperature in °C (c,j), Chl-a in mg/m³ (f,m) and DIN in mmol/m³ (g,n) are displayed along three locations as indicated on panels (b,e,i,l) with back horizontal lines. The MLD in m of Sep02 and the climatology are represented by the black solid and dashed lines respectively on panel (f). The 2 mmol/m³ isopleth is highlighted with a thick black line on panels (g) and (n). Maps on panels (a,b,d,e,h,i,k,l) were created by the authors using MATLAB software vR2015b (see https://uk.mathworks.com/products/new_products/release2015b.html and https://uk.mathworks.com/products/matlab.html).
in the climatology, leading to higher Chl-a concentrations (Fig. 4f,m). The uplift of the 25 °C isotherm in Sep02 reaches 30–40 m on section T3 and 20 m (30 m) on the rest of sections, while residing around 50 m and 30 m respectively in the climatology (Fig. 4c). The elevated Chl-a over 80 m depth exceeds 0.75 mg/m² in Sep02, which is almost the double of the climatological values (Fig. 4f). The prominent peak in Chl-a near the coasts coincides with a more accentuated doming of the 2 mmol/m² DIN isopleth for Sep02 than in the climatology (Fig. 4g).

Kenyan and Tanzanian waters generally have few in-situ biogeochemical observations. Fortuitously however, an in-situ cruise dataset (see “Methods” for details) of several biophysical variables exists for Aug04, a period when one of the pronounced Chl-a maxima occurred. These data allow validation of the model performance during an extreme Southeast monsoon and confirms the presence of upwelling (Supplementary Fig. S5 and Text 3).

The investigation of upwelling occurrence can be expedited from the extremes like 2002 to composites of all Southeast monsoons with “high” and “low” Chl-a as defined from Fig. 2c (black and purple dots), using the satellite and model data. The composites of all Chl-a “highs” show that the upwelling is pronounced with elevated DIN and colder temperatures in the surface layers during those events (Supplementary Fig. S6a–g). In contrast, the composite of all Chl-a “lows”, illustrates a reduced upwelling signal (Supplementary Fig. S6h–n). In summary, our observational and modelling results identify that upwelling along the coasts of Tanzania and Kenya sustain the delivery of cold and nutrient-rich waters to surface layers during the Southeast monsoon with an intensity that varies interannually.

Role of horizontal advection in the Southeast monsoon phytoplankton bloom. In addition to dynamic uplift upwelling, a vertical cross-section in the modelled temperature, Chl-a and DIN along latitude 10.5° S, from 39° to 73° E (section T0, Fig. 5), illustrates a potential advective impact along the NEMC path (40°–50° E). The T0 transect passes through areas of enhanced mixing and nutrient-rich waters during the Southeast monsoon, located around the northern tip of Madagascar, in the vicinity of Aldabra and Cosmoledo islands and around the Mascarene plateau (Supplementary Fig. S7). This is also where high nutrients and Chl-a were observed from in-situ data in the Southeast monsoons of 2001 and 2002. In our case study, the modelled climatological September reveals temperatures lower than 25.5 °C extending to the Tanzanian coast (41° E) from the tip of Madagascar (51° E) (Fig. 5d). The DIN isopleth is steepened near the Mascarene tip (51° E) and around the Mascarene plateau (55°–65° E), and nutrient-rich waters cover the NEMC advective pathway (40°–50° E) (Fig. 5f). Consequently, elevated Chl-a values ranging between 0.35 and 0.4 mg/m³ are found along that same path with a subsurface Chl-a maximum (0.45–0.5 mg/m³) located at 60–65 m near the Madagascar tip (51°E) and around the Mascarene plateau (55°–65° E) (Fig. 5e). During Sep02, the waters along the NEMC path are colder (< 24.5 °C, Fig. 5a) with higher Chl-a concentrations (> 0.6 mg/m³ with a subsurface maximum of 0.7 mg/m³ at 60–75 m, Fig. 5b) and enhanced doming of DIN isopleths (Fig. 5c) relative to the climatology. Note that similar patterns to Sep02 are found during Aug04, another intense Chl-a monsoon (see Supplementary Fig. S8). The interannual changes in Chl-a, DIN and temperature described along the NEMC path suggest that advection by the surface circulation may play a key role in those changes.

To further investigate the role of advection in transporting nutrient rich waters to the East African coast, two Lagrangian particle back-tracking experiments forced by model currents using the ARIANE analysis tool were performed (see “Methods” for details). Note that due to the complexity of the flow and its mesoscale nature, advective time scales cannot be easily derived from an Eulerian velocity field, hence the use of Lagrangian particle tracking here. Virtual particles were uniformly distributed in the model grid, with 9 particles per cell (~ 1 particle per 10 km²) along Tanzanian and Kenyan shorelines spanning up to 15 km from the coast. These particles are tracked backwards to find their sources 100 days upstream of their final positions, the East African coastline. The design of these two experiments is analogous to that in Popova et al. Each experiment releases up to a total of 3,735 particles at the ocean surface, but one with a strongly pronounced source off the northern tip of Madagascar and the other in September 2011 (Sep11 hereafter, contrasting example of no-bloom). Figure 5g shows the particles trajectories and Supplementary Fig. S9 their densities. In 2002, a substantial pathway comes from areas around the northern Madagascar tip while in 2011 it originates from the Mozambique channel. It is apparent that direct connectivity via the NEMC between Madagascar’s northern tip and the Kenyan/Tanzanian coastline is markedly faster in Sep02 (10–20 days) compared to Sep11 (30–40 days). Trajectories densities along the NEMC from the northern tip of Madagascar to the East African coast are higher in Sep02 compared to Sep11 (Supplementary Fig. S9). Thus, we expect an increased transport of nutrients from a nutrient rich area such as that found at the northern tip of Madagascar in 2002. This is confirmed by examining cross-sections of modelled DIN perpendicular to the NEMC flow at several locations (49° E; 47° E; 45° E; 43° E and 41° E) from the northern tip of Madagascar up to the Tanzanian coast (Supplementary Fig. S10). These show that the core of the flow has increased DIN (isopleths closer to the surface) from section to section towards the Tanzanian coast in Sep02, relatively to Sep11 and the climatology. Additionally, maps of surface DIN over the WIO for 2002, 2011 and the climatology (Fig. 6) show enhanced (reduced) surface DIN at the tip of Madagascar in 2002 (2011) relative to climatology, providing a source of nutrients for the NEMC to advect. Note that this result can be generalized to composites of surface DIN during the Chl-a “highs” and “lows” (Supplementary Fig. S11), as determined from Fig. 2c (see details above in “Results”). In conclusion, the increase in trajectory densities and the higher nutrients across the path of the NEMC suggest an important advective role of the currents in bringing more nutrients to coastal East Africa from Madagascar's northern tip in 2002 than in 2011.

Chl-a response to interannual changes in the Southeast monsoon. It has been shown that current-induced upwelling (via the EACC) and advection (via the NEMC and EACC) are the main controls on Chl-a variability during the Southeast monsoon. In the following we focus on NEMC and EACC dynamics to address what differed during the Southeast monsoon with extreme Chl-a concentrations along Tanzanian/
Kenyan coasts, such as 2002 with its intense biological response, and 2011 with its low Chl-a (cf. Figs. 1a, 2b,c).

Furthermore, examining composites maps of different environmental fields like SST, Chl-a and surface currents of high minus low catch years, revealed similar conclusions (see Supplementary Figs. S12 and S13 and Text 4).

To verify whether an anomalous increase in current speed might explain the extreme Chl-a peaks and troughs, the interannual variations in surface currents and Chl-a anomalies along the NEMC and EACC were examined. The paths of the EACC and NEMC approximate position can be defined from the climatological position of the modelled currents (Supplementary Fig. S3e) as delimited by the blue line on Fig. 3c. The temporal variations of total mean current speed and Chl-a over the EACC and NEMC path (i.e. averaged in the blue area) during the Southeast monsoon (Fig. 3e) show strong and positive correlation of 0.71 (P_{valor} = 0.0031) over the period 1998–2012 (see “Methods” for details on the 2012 limit). The year-to-year variability shows elevated (low) Chl-a concentrations paralleling a stronger (weaker) EACC/NEMC (Fig. 3e). There is a persistent increase in Chl-a

Figure 5. An Advective impact along the NEMC path of nutrients rich and cold waters from the Madagascar northern tip to the Tanzanian coast during Sep02 and the climatology (1997–2015). A cross-section (T0) from 39 to 65°E of modelled temperature in °C (a,d), Chl-a in mg/m³ (b,e) and DIN in mmol/m³ (c,f) is displayed along the latitude line 10° S as indicated with the black line on panel (g). The MLD in m of Sep02 and the climatology are represented by the black solid and dashed lines respectively on panel (b). The 2 mmol/m³ isopleth is highlighted with a thick black line on panels (c) and (f). (g) Trajectories of virtual particles backtracked from the East African coastal zones (marked with light yellow dots) in Sep02 and Sep11, back to their upstream sources in the surface Indian Ocean up to 100 days prior. Colours denote the ‘connectivity timescale’—i.e. the minimum amount of time, in days, required for waters from a given area to reach any of the end points on the East African coast. Note that red/oranges paths are plotted on top of blues/purples to highlight the most rapid advective pathways in both cases. Maps on panel (g) was created by the authors using MATLAB software vR2013a (see https://uk.mathworks.com/videos/r2013a-release-highlights-75269.html and https://uk.mathworks.com/products/matlab.html).
and currents anomalies from 1998 to 2002, with a peak in 2002 (increase by 0.07 m/s and 0.12 mg/m³) followed by a persistent decrease from 2003 to 2012 with the absolute minimum reached in 2011 (decrease by − 0.1 m/s and − 0.07 mg/m³) (Fig. 3e).

This year-to-year Chl-a—currents relationship motivates further investigation into the cause of the anomalous increase (decrease) of the EACC and NEMC during some Southeast monsoons. To do this, we examine the regional wind stress curl for the examples of extreme peak and drop of Chl-a (2002 and 2011) and composites for all years of Chl-a “highs” and “lows” as determined from Fig. 2c. The aim here is to assess if the wind stress curl changes, which can set up changes in surface circulation, are concurrent with the surface current changes between high and low Chl-a years as in 2002 and 2011. However, note that other factors like Rossby waves generated also by wind stress curl forcing in the South Indian Ocean may remotely play a role in the variability of the NEMC and the SEC.

The wind stress curl fields over the WIO, compared with the climatology, prior to (March–April) and/or during the onset of (May–June) the Southeast monsoons with extreme Chl-a along the Tanzanian/Kenyan coasts are shown on Fig. 7. The climatological wind stress curl fields show predominantly strong positive values, ranging between 0.7 to greater than 1.5 N/m² per 10⁴ km from March to June along the NEMC path and from May to June along the EACC path (Fig. 7a), while weaker positive anomalies of 0.3–0.7 N/m² per 10⁴ km are detected along the SEC path from March to June.

Prior to the onset of the Southeast monsoon of 2002 (March to April), the SEC path experiences wind stress curl anomalies of about 0.5–0.6 N/m² per 10⁴ km (Fig. 7b). During those same months and in June, the wind stress curl over the NEMC path intensified by 0.6–1 N/m² per 10⁴ km (Fig. 7b). Over the EACC path, the increase exceeds 1 N/m² per 10⁴ km in April and May (Fig. 7b). Similar wind stress curl patterns are also seen from March to June 2004 (Supplementary Fig. S14), the other year with a high Chl-a response during the Southeast monsoon (Fig. 2). Conversely, the wind stress curl patterns in 2011 are almost a mirror image those of 2002 (Fig. 7c), being markedly weaker (by − 1 N/m² per 10⁴ km) along the NEMC and EACC from March to June and moderately reduced (by − 0.4 N/m² per 10⁴ km) along the SEC in March (although the local wind stress curl over the SEC path shows a marked intensification during April and May 2011). This coincides with the overall decrease of the NEMC and EACC current speed and subsequent reduction in Chl-a along coastal East Africa during the Southeast monsoon of 2011 (see Fig. 3e).

Similarly, the wind stress curl anomalies are analysed over the composites during March to June of all low and highs Chl-a years (Supplementary Fig S15) as determined from Fig. 2c. The composites of all “highs” show an intensification of the wind stress curl exceeding 0.15 N/m² per 10⁴ km and those of all “lows” a decrease of − 0.15 N/m² per 10⁴ km over the path of the current of interest, in particular along the NEMC and the EACC paths (the decrease/increase of wind stress curl over the SEC path occurs during Marchs or Aprils). This result supports the idea that there are typical changes in wind stress curl over the South tropical Indian Ocean during high and low Chl-a Southeast monsoons for all highs versus all low years.

The interannual variations in wind stress curl over WIO, here related to the Southeast monsoon winds, will lead to changes in the currents. These in turn strongly influence the chlorophyll variability along the East African coasts. In particular, in “high” years, like 2002, the currents induce increased upwelling at the coast and the westwards advection of nutrient rich waters towards the coast, so enhancing productivity there.

**Discussion**

The new results presented in this study highlight the response of small pelagic fish yield to Chl-a variability in East African waters and identify, for the first time, the large-scale physical mechanisms that control it.

The year-to-year catch of herrings, shads and anchovies (Clupeiformes and Engraulidae) appears to significantly correlate to the variations in annual mean Chl-a concentrations (cf. Fig. 1a). Extending the length of the timeseries could facilitate gain further confidence in the statistical significance of the Chl-a and fisheries
relationship. However, this is limited by the availability of the fisheries record which ends in 2014. Although statistical significance does not necessarily mean ecological causality, we demonstrate the physical mechanisms behind the Chl-a and fisheries interannual variations. The Chl-a and catch relationship can also be explained partly by the characteristics and behaviour of these species. They are small in size (<27 cm, see “Methods”) and mainly planktivorous\(^4\). Most adult Clupeiformes increase in abundance\(^4\) when food availability increases (i.e. higher Chl-a). Although the lack of monthly fish catch data prevents a direct linkage to the seasonal phytoplankton bloom timing, studies of Clupeiformes in other coastal regions have shown that most species exhibit a seasonal reproductive period\(^4\). While the herrings and shads reproduce throughout the year in tropical regions\(^4\), the regional anchovy species, which are found along the Tanzanian coast\(^4\), spawn near the surface between October and March\(^4\). Since the survival of larval stages is dependent upon the variable ocean conditions\(^4\), most small pelagic fish spawn in locations and during seasons that minimize these losses\(^8\). In our case study, the strong surface currents (and moderate mixing, Supplementary Fig. S7) that promote higher Chl-a occur between May and September, are out of synch with the period of spawning and breeding of anchovies from October to March. Interannually, the simultaneous reduction in catches, Chl-a and currents, after the period 2001–2005, which continued until 2014, suggests a strong environmental influence rather than changes in fishing efficiency. Furthermore, Jury et al.\(^3\) reported that environmental factors in the Tanzanian and Kenyan coastal zones play a significant role in the fluctuations of the overall fisheries catch. They suggested that the SEC regulates some of these changes\(^6\). In our study, the underlying large-scale physical mechanisms affecting Chl-a in East Africa in both monsoons and interannually, which in turn influence the fluctuations of the small fish catch, have been identified and discussed.

Our work shows that the variability of Chl-a in the study area is determined by upwelling and advective processes supplying nutrients. Ekman upwelling due to the Northeasterly winds drives interannual variability in the Northeast monsoon. Current-induced upwelling takes place combined with advection of nutrients caused the interannual variability in the Southeast monsoon. The latter mechanisms drive a stronger biological response than during the Northeast monsoon. The interannual variability shows that Chl-a is higher (lower) when surface currents accelerate (weaken) significantly, allowing more nutrient rich waters to be upwelled to the surface and/or advected from further Southeast. The strength of these surface currents is influenced by large-scale changing monsoon winds. Though monsoon winds are known to promote phytoplankton growth in the Indian Ocean\(^3\) via Ekman driven upwelling\(^2\), the novelty here is that monsoonal winds through their impact on currents drive

Figure 7. Wind Stress Curl (WSC) forcing over the southern WIO from March to June in (a) the climatology (1997–2012), (b) 2002, and (c) 2011. Wind stress vectors in (a) are displayed every 25 grid points. WSC anomalies from March to June (b) 2002 and (c) 2011, relatively to the period 1997–2015. A schematic view of the South Equatorial Current (SEC), North East Madagascar Current (NEMC) and East African Coastal Current (EACC) based on Schott et al.\(^2\) is superimposed to show the areas of influence of Southeast winds. Maps on panels (a–c) were created by the authors using MATLAB software vR2015b (see https://uk.mathworks.com/products/new_products/release2015b.html and https://uk.mathworks.com/products/matlab.html).
a less common type of upwelling, a dynamic uplift and a westward advection of nutrient-rich waters. The result-
ant increase (decline) in Chl-a leads to higher (lower) small pelagic fish catch (Fig. 1a). Such changes in the food
supply to lower trophic level species are highly likely to impact the sustenance and economy of East African
coastal populations that depend on marine resources.

While anomalous variations in the large-scale monsoon patterns during 2002 have benefited the economy
of coastal East Africa with increased Chl-a and fish yield, they resulted in opposite effects in other parts of the
Indian Ocean as the Indian subcontinent with a catastrophic drought and economic losses of billions of dollars
as. We have also shown how a decline in the Chl-a may occur along the Tanzanian coast during a weak Southeast
monsoon scenario (such as 2011). Other periods of low biological response occurred during the super El-Niño
and strong IOD years of 1997–1998 and 2015/16 (Fig. 2d). Furthermore, Currie et al. found a strong negative
Chl-a anomaly during El-Niño and positive IOD of 1997–1998 over the WIO and particularly the East African
coast. This difference in the oceanic biological response to El Niño/Southern Oscillation (ENSO) (1997–1998
and 2015–2016) and to anomalous monsoon years (2002, 2004 and 2011), suggests that interannual variations
in the monsoon may become the main control for the regional response when the ENSO mode is off or weak.

Further investigation is required to fully elucidate the interactions between the different seasonal and inter-
annual variability modes (Monsoon circulation, ENSO and IOD) and coastal East Africa. The occurrence of
coupled feedback can have considerable implications on regional WIO climate variability, which would be of
broader importance to the Tanzanian economic sector as it is among the most vulnerable to climate change-
driven impacts on fisheries. Improving our understanding of the physical controls on phytoplankton blooms in
this region will contribute to better projections of changes in productivity and fisheries in response to a changing
climate. Continuous monitoring of both oceanic and atmospheric conditions is required but relying on cost-
effective tools like remote sensing and numerical modelling could be the key to overcoming the lack of in-situ
observations in the WIO and along the East African coast in particular. Multi-model simulations performed
in the framework of the IPCC AR5 project a long-term decline of primary production in the tropics following
temperature-driven stabilisation of ocean stratification under the RCP8.5 "business as usual scenario". However,
in the background of this large-scale general decline of ocean productivity, a strengthening and lengthening of the
Southeast monsoon over the Indian Ocean is projected by the IPCC AR5 which could favour increased frequency
of strong and wide-spread phytoplankton blooms leading in turn to increased fish stock along coastal East Africa.
A combination of continuous monitoring through remote sensing and projected monsoon changes from climate
models, could hence provide important information for policy and resource management decision-making.

Methods
Satellite and in-situ observations. Monthly satellite-derived Chl-a concentrations were acquired from the
Ocean-Colour Climate Change Initiative (OC-CCI) project (https://www.esa-oceancolour-cci.org/), at a
spatial resolution of 4 km. This product is the most consistent timeseries of multi-satellite (MODIS-Aqua, Sea-
WiFS and MERIS) global ocean colour data. Its monthly composites cover September 1997 to June 2018 but
the period 1997–2015 is used here to derive the climatological mean in order to be consistent with the model
data (see below).

It has been argued that bio-optical algorithms, including the OC-CCI processing might overestimate satellite
Chl-a concentrations in coastal and/or very shallow waters. The main reason is that these optically complex
waters can be impacted by the presence of other optical constituents such as suspended sediments, particulate
matter and/or dissolved organic matter. More precisely, the underwater reflectance in shallow areas generates
high water-leaving radiance in the near-infrared wavelengths which in turn would overestimate the correction
term. However, suspended material in areas with abundant coral reefs are considered low which is the case of the
west coasts of Tanzania and Pemba islands and along the majority of the Tanzanian coast. The only exception
is the Rufiji river outflow area, west of Mafia island (see Fig. 2a), which is the major river along the Tanzanian
coast and where suspended material is significant. In addition, mainland coastline of Tanzania has several
riverine inputs as illustrated on Fig. 2a, which can explain the enhanced Chl-a values exceeding 0.7 mg/m³. This
bias influences the seasonal cycle of Chl-a resulting in the April peak (climatological, 2002 and 2004) (Fig. 2b),
which is in synch with the "long" rains period, a proxy for river discharges. For the rest of the results with
remotely sensed Chl-a, the focus is mainly on the coastal areas which comprise mainly Case I waters.

The reprocessed Met Office Operational-Sea-Surface-Temperature-and-Sea-Ice-Analysis (OSTIA) SST product
was also acquired. This multi-satellite and global dataset is made available by the Copernicus Marine Environ-
ment Monitoring Service (CMEMS) (https://marine.copernicus.eu/services-portfolio/access-to-products/) from
1985 to 2018. The SST data are provided daily at a spatial resolution of 0.05°. We compute monthly means during
the study period 1997–2015 to match the observed and modelled Chl-a dataset.

Another satellite product exploited in this study is the altimetry derived absolute geostrophic currents pro-
cessed by AVISO (Archiving, Validation and Interpretation of Satellite Oceanographic Data) and distributed by
CMEMS (https://marine.copernicus.eu/services-portfolio/access-to-products/). These are the daily geostrophic
zonal and meridional velocities gridded at 25 km spatial resolution from the delayed time “Update” DUACS-
DT2018 version. We compute the climatological monthly means over the period 1997–2015 for comparisons
purposes with the modelled surface currents.

Finally, in-situ data obtained during the African Coelacanth Ecosystem Programme (ACEP) are used to
validate model subsurface features over the study area. Specifically, we use the CTD measurements (temperature,
fluorescence) and nutrient data (nitrate/nitrite) collected on an oceanographic cruise by the R/V Algoa in Tan-
zanian waters during August 2004. More information about the sampling details of the oceanographic cruise
can be found in Scott or the CSIR geoportal (https://geoportal.csir.co.za/saeno_/meta-data/custodian:2011-
01-04.8036881558/custodian.2011-01-04.8036881558-MetaDataCollection/meta129415038881). Although the
in-situ dataset is subject to uncertainties arising from the measurements and sampling accuracy, it shows good agreement with the independent model results. The fact that they both show similar results strengthens the trust in each of those datasets (see Supplementary Figs. S5, S4 and Supplementary Text 3).

**Model overview, data and validation.** The modelled surface and vertical sections of temperature, surface currents, winds and MLD are obtained from version 3.6 of the global ocean model NEMO (Nucleus for European Modelling of the Ocean)\(^6\) spanning the period 1958–2015 with outputs stored as 5-day means. The model has a horizontal spatial resolution of 1/12° and 75 vertical levels with finer grid spacing near the sea surface. The model was forced with reanalysis atmospheric data from the Drakkar Surface Forcing dataset version 5.2, which supplies 2 m air temperature, 2 m humidity, 10 m winds, surface radiative fluxes and precipitation\(^69,70\). The bottom topography is represented as partial steps and bathymetry is derived from ETOPO2V2.

A plankton ecosystem model, MEDUSA-2 (Model of Ecosystem Dynamics, nutrient Utilisation, Sequestration and Acidification), is coupled to NEMO over the period 1990–2015 to represent the biogeochemistry (for details see Yool et al.\(^71\)). Note that we utilize in this study only the period of September 1997 to December 2015 for the selected physical (temperature, surface currents, MLD, wind stress) and biochemical (Chl-\(a\), DIN) modelled outputs, except for the wind that is used until December 2012. The MLD in the model is calculated as the depth at which the temperature is at least 0.1 °C different from the surface temperature.

To calculate wind stress curl (WSC), the wind stress fields from the model (i.e. the reanalysis dataset used for model forcing) are used in the following equation:

\[
WSC = \frac{\partial \tau_y}{\partial x} - \frac{\partial \tau_x}{\partial y}
\]

where \(\tau_x\) and \(\tau_y\) are the zonal and meridional components of the wind stress and \(x\) and \(y\) are the zonal and meridional dimensions.

NEMO and MEDUSA-2 have been successfully used to study ocean dynamics in the Indian Ocean\(^72\). Comparisons with satellite gridded data show that the modelled output accurately reproduces the main surface circulation features at the appropriate seasonal scale over the central WIO (i.e. ~15° to 12° N and 37° to 73° E) as presented in Supplementary Figs. S2 and S3. Here, we consider the 1997–2015 climatological February (August) representative of the Northeast (Southeast) monsoon. During the Northeast monsoon, the model and satellite Chl-\(a\) spatial patterns show an overall good agreement despite the generally larger simulated values (by ~0.2 mg/m\(^3\)). The highest Chl-\(a\) values (>0.6 mg/m\(^3\) in the model and >0.4 mg/m\(^3\) in observations) are depicted north of the South Equatorial Counter Current (SECC, i.e. north of 3° S and along 40°–55° E) and along a thin filament near the Kenyan coast (~0.5 mg/m\(^3\) in the model and ~0.4 mg/m\(^3\) in observations) and Tanzanian coast (~0.4 mg/m\(^3\) in the model and ~0.3 mg/m\(^3\) in observations). As for SST, the modelled and satellite data display similar thermal structures with a marked front separating colder waters (<26.5 °C) concentrated in the area of the most elevated Chl-\(a\) (i.e. north of 3° S and along 40°–55° E) from the warmer waters (>29 °C) further south of the SECC. Cooler waters (~28 °C) are also observed and correctly modelled as a thin filament near the Kenyan and Tanzanian coasts. The modelled surface currents reproduce the altimetry-derived circulation features well with a clear westward NEMC, northward EACC, eastward SECC and the reversing southward SC. The difference in the magnitude of the current speed (0.8 m/s in the model against 0.6 m/s in altimetry) is because altimetry derived velocity resolves mainly the geostrophic component. The geostrophic limitation also results in a less marked SC magnitude of the current speed (0.8 m/s in the model against 0.6 m/s in altimetry) is because altimetry derived velocities are likely caused by the geostrophic approximation, which impacts also the SC near the equator.

Overall, the agreement between remote sensing observations and the model lead to high confidence in using the model output for the analysis of mechanisms affecting Chl-\(a\) variability in East African waters.

**Lagrangian experiments.** The two Lagrangian particle back-tracking experiments used in the study were performed offline, using the ARIANE particle-tracking software\(^41\) to advect particles using pre-calculated 5-day
mean currents from the ORCA0083–N006 run of the 1/12° NEMO ocean model. Particles were seeded along the Kenyan and Tanzanian coastlines (taken to be the region within 15 km of the coast, as in Popova et al.) at a density of ~1 particle per 10 km² (9 particles per model grid cell). Particles were initially seeded at the surface—though not constrained to remain there—and then tracked backwards to their sources 100 days upstream of their seeding locations.

ARIANE interpolates the NEMO velocity field to solve for particle translation through model grid cells, and advects the particles backwards accordingly, with particle positions output at daily frequency. The main advantage of such an approach is that, compared to online passive tracers, it is computationally inexpensive. However, this comes at the cost of not including convection or diffusion and only influence of advection remains.

**Fisheries records.** We used the fisheries landings (pure catch) in metric tons of wet weight per year of “herrings, shads and anchovies” recorded within the Tanzanian EEZ over the period 1997–2014 and emanating from the subsistence fishing sector as it is a significant provider of small pelagic fish. This dataset is made available by the Sea Around Us database (https://www.seaaroundus.org; see Lam et al.). The Sea Around Us project produces reconstructed catches following the method described in Pauly and Zeller which considers the catch that was “reported” officially to the Food and Agriculture Organization (FAO) or at the national level and estimates of “unreported” catch.

Noteworthy is the fact that official landings, distributed by databases like Sea Around Us, are the only data on Tanzanian small pelagic fish catch in open access. Whilst this type of data may not necessarily represent stock abundance due to the absence of fishing effort impact, recent studies evidenced the consistency of FAO landing trends with those of biomass from fully assessed stocks. The FAO has also officially acknowledged catch reconstructions such as those of Sea Around Us help fill the gaps in national fisheries data and demonstrate how catches have realistically changed over time. Furthermore, the latter data have been used to derive the interplay between the environmental variability (such as Chi-a concentrations, phytoplankton phenology and SST) and marine fish responses in different coastal regions like those of Tanzania and Kenya and Senegal.

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Author contributions
F.J. developed the original idea, produced description of the results and the key figures. Z.L.J., D.E.R., M.S. and E.P. contributed to the interpretation and discussion of the results. D.E.R., E.P. and S.P. contributed to the development of the idea. Z.L.J. provided the model output data. E.P., S.K. and Z.L.J. designed Lagrangian particle back-tracking experiments. These were conducted by S.K. who provided numerical analysis and produced Figs. 5g and S7 and their descriptions. I.S. provided the in-situ data. M.J.R., L.S., T.S., M.P., H.K., Y.S. and J.W. contributed to the improvement of the paper and made edits to the final manuscript. E.P. coordinated the whole study. All authors reviewed the manuscript.

Competing interests
The authors declare no competing interests.

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