Policy Forum

Studies Needed to Address Public Health Challenges of the 2009 H1N1 Influenza Pandemic: Insights from Modeling

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Introduction

The emergence and global spread of a novel strain of human influenza A/H1N1 during 2009 (pandemic [H1N1] 2009 influenza, or H1N1pdm) has highlighted the importance of data from both detailed outbreak investigations and population surveillance for the support of public health decision making. For example, public health organizations in several countries undertook detailed case investigations to build databases of the first few hundred cases, which include laboratory confirmation status, age, relative severity, exposure history, onset of symptoms, and contact history (for example, the UK First Few Hundred project [1]). Descriptive analyses of such data allowed decision-makers to conclude rapidly that the disease caused by the novel strain was relatively mild for the majority of confirmed cases and that it was being transmitted efficiently between children. Therefore, most countries decided that stringent interventions at the community level (such as proactive school closures) were not appropriate, because their benefits were limited when compared with the high overall cost to society. Population surveillance was also crucial in the early stages of the pandemic. Indeed, the two independent influenza cases [2] that provided the viral isolates used to discern the presence of a novel strain were obtained through a sentinel surveillance system designed for exactly that purpose [3].

In recent years, it has become common to use mathematical modeling to analyze the underlying disease dynamics of outbreaks. Parameters such as the reproductive number $R$ [4], which can be estimated from outbreak investigation data [5], give insight into how underlying transmission dynamics will influence the likely impact of possible interventions. For example, if the underlying basic reproductive number, $R_0$, is low, the impact of community-based mitigation strategies against a severe influenza pa-

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Abbreviations: ARI, acute respiratory illness; IIL, influenza-like illness; P & I, pneumonia and influenza; H1N1pdm, pandemic influenza (H1N1) 2009 influenza; SARI, severe acute respiratory illness; WHO, World Health Organization

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Summary Points

- As the global epidemiology of the pandemic (H1N1) 2009 influenza (H1N1pdm) virus strain unfolds into 2010, substantial policy challenges will continue to present themselves for the next 12 to 18 months.
- Here, we anticipate six public health challenges and identify data that are required for public health decision making: Measuring age-specific immunity to infection; accurately quantifying severity; improving treatment outcomes for severe cases; quantifying the effectiveness of interventions; capturing the full impact of the pandemic on mortality; and rapidly identifying and responding to antigenic variants.
- Representative serological surveys stand out as a critical source of data with which to reduce uncertainty around policy choices for both pharmaceutical and nonpharmaceutical interventions after the initial wave has passed.
- Continuing to monitor the time course of incidence of severe H1N1pdm cases will give a clear picture of variability in underlying transmissibility of the virus during population-wide changes in behavior such as school vacations and other nonpharmaceutical interventions.

Public Health Challenges

Measuring Age-Specific Immunity to Infection

To be able to estimate the susceptibility of a population to future similar strains, it is important to understand the reason that initial epidemics of a new strain fade out. Using routinely collected data, it will be difficult to know with confidence why any particular local epidemic of H1N1pdm ends (Figure 1). It may be that the number of susceptible individuals has been depleted by the development of immunity, that a population-wide public health response to the epidemic has occurred (and was sustained), or that transmissibility dropped for seasonal reasons. Most likely, local fade-outs are due to a combination of these factors. Routinely collected data such as influenza-like-illness (ILI) reporting from sentinel networks and reported hospitalizations suffer from a number of frailties: They discount a potentially large unobserved subclinical population, they suffer from age-specific biases in health care–seeking patterns, and they cannot differentiate among upper respiratory viruses with similar presentations. Representative serological surveys provide the only viable means to infer population-level susceptibility with any accuracy, especially if there is a substantial proportion of asymptomatic infections.

Early available data suggested that children are more likely to become infected than adults [8,9,10]. With a relatively low overall transmissibility, these characteristics are likely to lead to a lower attack rate among adults and a higher attack rate among children. Therefore, one option for H1N1pdm to evolve to maintain fitness after an initial wave of infection would be to improve its ability to infect adults, with or without a substantial antigenic change (for instance, a shift in the transmission efficiency of the virus between droplet and aerosol could affect children and adults in different ways). Should H1N1pdm evolve to be more infectious to adults, knowing with confidence the proportion of the population exposed during the initial wave would be of substantial public health value, because it would allow robust upper bounds to be placed on the size of subsequent waves. Also, it would be important to capture any such change because the severity of illness for confirmed cases seems to be greater in adults than in children [9,11].

Accurately Quantifying Severity

Accurate estimates of the per-person risk of severe outcomes, such as the case hospitalization ratio (i.e., the number of hospitalized cases divided by total number of infections), the hospitalization ICU ratio (the total number of cases requiring intensive care divided by total number of hospitalized cases), and the case-fatality ratio (the total number of deaths that are caused by H1N1pdm infection divided by the total number of infections), are required for planning purposes and also to provide at-risk individuals with the best possible information. Unfortunately, reporting biases for both the numerator and the denominator in hospitalization ratio calculations make accurate estimates difficult. For example, mild infections in young children are much more likely to be reported than mild infections in adults, whereas deaths attributable to H1N1pdm depend on testing capacity and policy. In addition, some countries have hospitalized patients for isolation purposes, rather than because they were suffering from severe illness. Therefore, quantifying the overall exposure of the population using a time series of representative, age-stratified serological surveys will greatly improve the accuracy of our estimates of risk, by giving definitive denominator information.

Recent vaccination programs targeting those at higher risk of severe clinical outcomes will further complicate the accurate assessment of severity, because many individuals were infected before being vaccinated. Although it is unlikely that serological assays can distinguish accurately between natural infection and vaccination at the individual level, surveys should continue to include vaccinated individuals and to record symptoms and vaccination status where possible. At the population level, it is likely that the vaccine-induced immune response will have a substantially different distribution of antibody levels than the immune response generated by natural infection.

Improving Treatment Outcomes for Severe Cases

We suggest that, although they are not directly linked to epidemic dynamics, hospital-based cohort studies of H1N1pdm cases are needed to assess the pathogenicity of H1N1pdm infection and to help clarify estimates of relative risk of severe disease and death among routinely reported clinical cases. These studies should collect
detailed information on the clinical spectrum of disease including onset and duration of symptoms, prevalence of underlying conditions (such as pregnancy, chronic respiratory disease, immunosuppression, smoking, obesity, chronic respiratory conditions, diabetes, and neurologic disorders), duration of hospital/ICU stay, complications from infection including bacterial superinfection, antiviral/antibiotic treatment including when administered, the efficacy of other adjunctive measures (such as immune modulation, novel oxygenation, or ventilation strategies), and serial blood and respiratory samples for RT-PCR and virus culture to determine the extent and duration of viral shedding and antiviral treatment failure. The WHO, US Centers for Disease Control and Prevention, Canadian Clinical Trials Group, and South East Asian Infectious Disease Clinical Research Network have developed clinical data collection forms for such studies that could be adapted to be context specific and implemented in a representative group of hospitals in each participating country. Although these studies will not capture the mild spectrum of illness (as discussed above), they will fill the current data gaps about pathogenicity and the clinical course of illness including prognostic information. The use of propensity scores could yield valuable insights into the relative efficacy of different treatment strategies in the short term, while awaiting results of prospective trials.

Quantifying the Effectiveness of Interventions

After an initial establishment phase, changes in the growth rate of a novel infectious disease can provide an accurate measure of changes in transmission rates. If the doubling time of the number of new cases is constant in the early stages, then significant changes in underlying transmissibility are unlikely to have occurred. However, if the doubling time appears to slow down during school vacations/holidays/closures or during other widespread changes in mixing, then this likely indicates a genuine shift in the rate of disease transmission [14]. Also, in populations with high vaccine coverage in children during the early stages of the epidemic, we would hope that the coarse time series of incidence would have been affected. Accurate measures of changes in the growth rate—and possibly also the age-composition of reported cases—are required to quantify the population-wide effect of changes in behavior, such as the start of school vacations and restrictions in mass gatherings. Countries with hospital-based respiratory surveillance systems, which are often not optimized in its data specification and collection, could be enhanced to collect more detailed clinical and laboratory data (described above) from ILI, ARI (acute respiratory illness), and SARI (severe acute respiratory illness) patients [15]. In addition, clinical information of ILI, ARI, and SARI patients paired with laboratory testing could provide estimates of the burden of seasonal influenza compared with that of pandemic influenza.

Capturing the Full Impact of the Pandemic on Mortality

We should aim to monitor excess mortality due to H1N1pdm in the timeliest
way possible. The number of deaths attributable to seasonal and previous pandemic influenza is considerably higher than the number certified by vital statistics registration as due to influenza or by the number of influenza deaths reported through surveillance schemes [16]; the total number estimated depends strongly on whether the excess above baseline is confined to deaths from pneumonia and influenza, or whether all respiratory and circulatory deaths or all-cause deaths are considered [17]. Often, a number of causes contribute to individual mortality. Influenza-associated mortality has traditionally been estimated as the excess pneumonia and influenza (P & I) mortality above a baseline of deaths during seasonal influenza epidemic periods. Excess P & I mortality estimates are often not timely, as data compilation can take months. To monitor influenza excess mortality in a more timely fashion, several countries have set up sentinel systems that they integrate in their routine influenza surveillance (e.g., European monitoring of excess mortality for public health action—EuroMoMo, http://www.euromomo.eu). The US CDC established a sentinel system in 121 US cities several decades ago. More recently, several European countries have developed real-time monitoring schemes of mortality in which number of deaths by age are transmitted electronically from all or a subset of municipalities to a central database. These schemes allow much more rapid assessment of overall mortality trends and are being utilized in near-real-time during the 2009/2010 Northern Hemisphere influenza season to ensure that policy makers are continuously kept abreast of how excess mortality for the pandemic will compare with similar statistics often quoted for seasonal influenza.

Rapidly Identifying and Responding to Antigenic Variants

It will be useful to isolate virus from infected individuals for whom there is also a serum sample. Although doing this systematically from all cases would place an intolerable burden on supporting laboratory services, there will be value in developing substudies amongst larger serological surveys. Obtaining such paired virological and serological data from vaccinated individuals will be particularly useful because it will allow the investigation of antiviral resistance and vaccine failure. In some instances, vaccine failure could be due to an antigenic variant that is not protected by immunity raised against the vaccine strain. Therefore, active sampling of symptomatic vaccinated individuals could help to provide early warning of vaccine-escape mutants, which, if they are rare initially, might take longer to be detected by routine surveillance.

Meeting the Challenges

While there have been recommendations focusing on how to maintain and enhance population-level surveillance when in most countries case numbers have far exceeded routine testing capacity [12,18], here we suggest specific nonroutine data that will help public health policy makers to address six public health challenges that we anticipate will continue for the next 12 to 18 months. Because of inherent biases in the routine reporting of cases of differing levels of severity, sufficiently powered representative serological surveys will be useful in the short and medium term to help quantify the degree of susceptibility in the population and to help characterize individual-level severity. Systematic reporting of the incidence of ARI and SARI will help to characterize the speed of growth of the epidemic and hence allow the detection of significant changes in underlying transmissibility. Specific data gathering processes are also required to accurately define the clinical spectrum of severe disease, measure excess mortality in a timely fashion, and help to rapidly detect possible vaccine escape, antiviral resistant strains, and other mutant strains.

The need for rapid serological studies stands out among these impending knowledge gaps. Historically, the best information on circulating seasonal influenza has come from prospective community studies based on households. Two important such studies in the US were the Tecumseh community study [19,20] and the Seattle virus watch [21]. Study participants provided periodic serological samples every 4 to 6 months over several years. Bracketing sera were used to detect infections through significant antibody titers. Studies of serological data provided estimates of the degree of partial immunity in the populations under study at any point in time. Finally, influenza symptom data coupled with virological identification provided valuable information of infection and illness attack rates by age and other demographic characteristics, as well as the pathogenicity and virulence of the identified circulating strains of influenza. Even today, these studies provide the most complete description of the epidemiology of influenza circulating in the community. It is therefore encouraging that such detailed prospective community serological studies are underway or planned in the many countries including Argentina, Australia, Bangladesh, Canada, Chile, China, France, Finland, Germany, Hong Kong SAR, India, Italy, Japan, Mexico, the Philippines, Singapore, Sweden, Taiwan, Thailand, Turkey, the UK, and the US.

Observing the serological attack rate across countries gives us a standardized measure of the risk of infection across countries. Such a standardized measure facilitates international comparisons that are essential to assess the effectiveness of interventions against influenza in different countries. It is difficult to compare doctor consultations, hospitalizations, and even deaths, because of differences in reporting systems; by relating the number of doctor consultations, hospitalizations, and deaths to the serological attack rate we can assess country-specific biases in the reporting systems.

Although H1N1pdm is antigenically distinct from other currently circulating, seasonal human influenza strains [22], work is ongoing to validate reliable serological assays. Current standard techniques that have been used to quantify antigenic distance between strains depend on antibodies raised in animal models [23]. However, it is reasonable to expect that unpaired assays using human sera will give a good indication of prior exposure to the pandemic strain in most age groups [24], especially once cross-sectional data have been calibrated using paired sera. Despite these potential issues, it seems reasonable to assume that unpaired serological surveys will give an informative snapshot of exposure history at a population level and that it will be straightforward to characterize the degree of uncertainty associated with any single titration.

Pharmaceutical interventions will likely play a minor role in middle- and low-income countries for the 2009/2010 pandemic, nor would they have for a more severe strain. Both the epidemiology and options for interventions are clearly different for less-developed countries compared with highly industrialized countries. Population density, mobility, household structure, and school attendance patterns all differ significantly between and within regions. Therefore, it is not safe to assume that the patterns of infection well-described in one population will be widely representative of the world’s population. In particular, after the initial Northern and Southern Hemisphere waves of infection, it will not be wise to assume that all other populations have experienced similar infection attack rates. In particular, there may be substantial differences between urban and
Preparedness plans will be revised by many nations in the medium term to incorporate lessons learned from the 2009 pandemic. A thorough assessment of the value of data from all sources will be crucial if the quality of information available for decision-makers during future pandemics is to be improved. We suggest that some of the most valuable data, such as estimates of age-specific serological attack rates, have not become available until far after the time when it would have been needed to support decision making. The establishment of a preapproved ethical review status for key field studies is a priority. Also, if such studies are to be initiated in a short time, investigators may choose to design and pilot them in association with nonacademic partners.

Supporting Information

Alternative Language Abstract S1
Abstract translated into German by DJS. Found at: doi:10.1371/journal.pmed.1000275.s001 (0.03 MB DOC)

Alternative Language Abstract S2
Abstract translated into Portuguese by TdS. Found at: doi:10.1371/journal.pmed.1000275.s002 (0.03 MB DOC)

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Alternative Language Abstract S4
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Alternative Language Abstract S5
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Alternative Language Abstract S6
Abstract translated into Romanian by TA. Found at: doi:10.1371/journal.pmed.1000275.s006 (0.03 MB DOC)

Alternative Language Abstract S7
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