Abstract

Background: Governance and leadership in health development are critically important for the achievement of the health Millennium Development Goals (MDGs) and other national health goals. Those two factors might explain why many countries in Africa are not on track to attain the health MDGs by 2015. This paper debates the meaning of ‘governance in health development’, reviews briefly existing governance frameworks, proposes a modified framework on health development governance (HDG), and develops a HDG index.

Discussion: We argue that unlike ‘leadership in health development’, ‘governance in health development’ is the sole prerogative of the Government through the Ministry of Health, which can choose to delegate (but not abrogate) some of the governance tasks. The general governance domains of the UNDP and the World Bank are very pertinent but not sufficient for assessment of health development governance. The WHO six domains of governance do not include effective external partnerships for health, equity in health development, efficiency in resource allocation and use, ethical practises in health research and service provision, and macroeconomic and political stability. The framework for assessing health systems governance developed by Siddiqi et al also does not include macroeconomic and political stability as a separate principle. The Siddiqi et al framework does not propose a way of scoring the various governance domains to facilitate aggregation, inter-country comparisons and health development governance tracking over time.

This paper argues for a broader health development governance framework because other sectors that assure human rights to education, employment, food, housing, political participation, and security combined have greater impact on health development than the health systems. It also suggests some amendments to Siddigi et al’s framework to make it more relevant to the broader concept of ‘governance in health development’ and to the WHO African Region context.

Summary: A strong case for broader health development governance framework has been made. A health development governance index with 10 functions and 42 sub-functions has been proposed to facilitate inter-country comparisons. Potential sources of data for estimating HDGI have been suggested. The Governance indices for individual sub-functions can aid policy-makers to establish the sources of weak health governance and subsequently develop appropriate interventions for ameliorating the situation.

Background

An Editorial in the African Journal of Health Sciences delved into the ‘The essence of leadership in health development’ [1]. However, there is often confusion between the terms ‘leadership’ and ‘governance’. The Editorial argues that the Ministry of Health Headquarters, provincial medical officers of health, district medical officers of health, and officers-in-charge of health facilities are all leaders but they do not have the monopoly of leadership in health development. It concludes that all health workers and parents play public health leadership roles and their effectiveness could be enhanced through empowerment with appropriate leadership skills training. This paper debates on what governance in health development entails. It argues that unlike ‘leadership in health development’, ‘governance in health development’ is the sole prerogative of the Government through the Ministry of Health, which can choose to delegate (but not abrogate) some of the governance tasks.

The World Health Report 2000 [2] delineated four functions of national health systems: stewardship, health
financing, resource (input) creation and health services provision. The report uses terms 'stewardship' and 'governance' interchangeably. HarperCollins [3] dictionary defines a steward as a “person who administers another's property” (p.620). Since the Ministry of Health administers the public health system, it is a steward. Faith-based Organizations Health Associations, in their stewardship role, also oversees the running of church provided health services. The Board’s of Directors of private-for-profit hospitals, in their stewardship role, oversees the operations of private hospitals on half of shareholders. This implies that the Ministry of Health does not have monopoly over stewardship of a national health system.

Unlike stewardship, governance is the sole prerogative of a national government, i.e. the executive policy-making body that exercises political authority over a country. A government through its system(s) rules or governs a country. HarperCollins [3] dictionary defines the word govern as “rule, administer, command, control (curb), direct, guide, handle, lead, manage, order, restrain, check, discipline, master, regulate, subdue, tame” (p.285). Thus, the scope of the governance role of government extends far beyond its stewardship role.

Discussion
Overview of existing governance frameworks
The United Nations Development Programme (UNDP) five principles of good governance include: legitimacy and voice (participation and consensus orientation), direction (strategic vision), performance (responsiveness, and effectiveness and efficiency), accountability (and transparency), and fairness (equity and inclusiveness, and rule of law) [4].

The World Bank’s three clusters (with six domains) of governance include: processes by which those in authority are selected and replaced (voice and accountability, and political instability and violence); ability of government to formulate and implement sound policies (government effectiveness and regulatory burden); and respect of citizens and the state for institutions which govern their interaction (rule of law and control of corruption) [5].

The World Health Report 2000 [2] six domains of stewardship include: generation of intelligence, formulating strategic policy framework, ensuring tools for implementation (powers, incentives, and sanctions), building coalitions/partnerships, ensuring fit between policy objectives and organizational structure and culture, and ensuring accountability.

The slightly amended World Health Organization [6] health systems framework consists of six building blocks: service delivery; health workforce; information; medical products, vaccines and technologies; financing; and leadership/governance. The latter building block has six functions: policy guidance, intelligence and oversight, collaboration and coalition building, regulation, and accountability.

Siddiqi et al [7] framework for assessing governance of the health system has ten principles (and 22 domains): strategic vision (long vision, comprehensive development strategy including health), participation and consensus orientation (participation in decision-making process, stakeholder identification and voice), rule of law (legislative process, interpretation of legislation to regulation and policy, enforcement of laws and regulations), transparency (transparency in decision making and resource allocation), responsiveness of institutions (response to population needs and to regional local health needs), equity and inclusiveness (equity in access to care, fair financing of health care, disparities in health), effectiveness and efficiency (quality of human resources, communication processes, capacity for implementation), accountability (internal and external accountability), intelligence and information (information generation, collection, analysis and dissemination), and ethics (principles of bioethics, health care and research ethics). The framework assesses each of the 22 domains along three levels (national, health policy formulation, and policy implementation) and 63 questions (5 context related, 25 descriptive, 27 analytical/process related, and 6 outcomes related). Siddiqi et al [7] framework is the most comprehensive framework for assessing governance of health systems to date.

The governance domains of the UNDP and the World Bank are very pertinent but not sufficient for assessment of health development governance. That is understandable since they were developed for assessing general governance. The WHO six domains of governance do not include effective external partnerships for health, equity in health development, efficiency in resource allocation and use, ethical practises in health research and service provision, and macroeconomic and political stability. Siddiqi et al [7] also does not include macroeconomic and political stability as a separate principle, which is understandable because their framework is for assessing health systems governance.

In this paper we are arguing for a broader health development governance framework. Why? This is because of the fact that other sectors that assure human rights to education, employment, food, housing, political participation, and security combined have greater impact on health development than the health systems. For example, the significant negative impact of political and macroeconomic instability on health development has been starkly demonstrated in the diminished health indicators of the African countries that have undergone various forms of political and macroeconomic turmoil.
Siddiqi et al [7] does not propose a way of scoring the various domains to facilitate aggregation, inter-country comparisons and health development governance tracking over time. The following section suggests some amendments to Siddigi et al’s framework to make it more relevant to the WHO African Region context.

**Modified Framework for Health Development Governance**

Table 1 presents a modified framework for assessing health development governance. This framework has ten functions and forty-two sub-functions of governance. First, public health leadership and management, which has five sub-functions, i.e. leadership responsibilities [8,9], national health policy (NHP) [10], national health strategic plan (NHSP) [10], dissemination of NHP and NHSP, and implementation of NHSP [11]. Siddigi et al [8,9] refers to this function as strategic vision. Second, rule of health-related laws function contains two sub-functions, i.e. existence of health-related legislations and their enforcement.

Third, community participation and responsiveness function has four sub-functions, i.e. participation in NHP and NHSP development process, participation in NHSP implementation, participation in tracking progress in implementation of NHSP, and responsiveness to community’s legitimate non-medical expectations [12-14]. Siddiqi et al [7] principles of responsiveness of institutions and participation and consensus orientation are merged into one function of community participation and responsiveness. By assuring community participation in the planning, management and monitoring of health services, the institutions are partially being responsive.

Fourth, effective internal and external partnerships for health function has ten sub-functions, namely: inter-sectoral action [15,16], public-private partnerships [17], alignment of aid flows to national health development priorities, strengthening capacity by coordinated support, use of country procurement and public financial management systems, strengthening national capacity by avoiding parallel implementation structures, more predictable aid, untied aid, shared analysis, and sufficient integration of global programmes and initiatives into NHSP [11]. The need for inter-sectoral action with agriculture, animal husbandry, communications, education, employment, industry, food, housing, political participation, public works, security and transport sectors is critical for addressing all determinants of health development [15,16]. Governments also have an important role in mobilizing, nurturing, coordinating and managing external support to maximize its impact on health development.

Five, horizontal and vertical equity in health systems function has three sub-functions, i.e. horizontal equity in access of health services [18-20], vertical equity in access of health services [16,18-20], and fairness in financial contributions [18,19]. Six, efficiency in resource allocation and use function has four sub-functions, i.e. allocative efficiency [21], technical efficiency [22-27], productivity growth [28-30], and institutionalization of efficiency monitoring [23]. Seven, accountability and transparency in health development function has four sub-functions, i.e. existence of transparent results-oriented reporting and assessment frameworks; diagnostic reviews; use of information from diagnostic reviews; and publishing of audit reports for public consumption [11].

Eight, evidence-based decision-making function has four sub-functions, i.e. national health research systems [31,32]; health knowledge management systems [33]; health management information systems [34]; publishing of audit reports for public consumption; and information, communication and technology connectivity [35]. Nine, ethical practises in health research and service provision function, which has three sub-functions, i.e. international ethical guidelines for medical practice and health research, bioethics review system, and institutionalization of ethics training in all schools of medicine, nursing, public health and allied health sciences [36]. This function is critically important to assure adherence to the international ethical principles of beneficence, non-malfeasance, autonomy, justice, dignity, truthfulness and honesty [37] even in settings, like Africa, where principal-agency relation in health-related biotechnology transfer is rather weak [38].

Ten, macro-economic and political stability function is divided into three sub-functions, i.e. link between national economic development plan (NEDP) [39], Poverty Reduction Strategy Paper (PRSP) and NHP/NHSP, existence of a medium-term expenditure framework (MTEF), and political stability [40,41].

**Health Development Governance Index**

The health development governance (HDG) framework discussed above has 10 functions and 42 sub-functions. Each of the functions can be measured using a governance thermometer scale of 0% (very poor) to 100% (excellent) (Figure 1). The scale is authors’ own construction. The Health Development Governance Index (HDGI) is the arithmetic mean of 42 indices, namely the index of each of the sub-functions in Table 2. All the indices are computed using the following general formula:

\[
\text{Index} = \frac{\text{Actual} (x_i) - \text{Minimum} (x_i)}{\text{Maximum} (x_i) - \text{Minimum} (x_i)}
\]

Where xi is the HDG indicator (sub-function) such as leadership responsibilities, existence of
Table 1 Modified framework for assessing health development governance

| Functions of health development governance | On a scale of 0% to 100%, assess the performance of each of the following sub-functions of health development governance: |
|-------------------------------------------|---------------------------------------------------------------------------------------------------|
| 1. Public health leadership and management | 1.1 Leadership responsibilities: Extent to which the Ministry of Health gives direction and effectively communicates that vision to align people with it, protect the health system from external threats; clarifies the roles and responsibilities of various actors; manages conflict internally and externally; motivates and inspires health workers (and other stakeholders) by satisfying their basic human needs to sustain their focus on the health development vision; and shapes the norms (including challenges unproductive norms) (8,9). |
|                                           | 1.2 National health policy (NHSP): existence of an updated national health policy based on a thorough situation analysis of health systems goals (health, fairness in financing and responsiveness to non-medical expectations) and functions (governance, health financing, resource creation and health service provision) and policy dialogue, and existence of clearly spelt out strategic vision for health development, guiding principles and underlying values, goals, health development priorities (based on rational criteria, e.g. cost-effectiveness analysis), implementation framework, resource mobilization mechanisms, and modalities for monitoring and evaluation (10). |
|                                           | 1.3 National health strategic plan (NHSP): contain a background; situation analysis (socioeconomic context; health situation; state of health services supply and demand; strengths, weaknesses, opportunities and threats); strategic health development priorities (vision, mission, goal, guiding principles, objectives, targets, strategic thrusts, expected results/outcomes, activities and performance indicators); resource requirements, including human resources, building space, vehicles, equipment, materials and supplies, information, communication and technology (ICT); finance plan (containing prospective estimates cost, available funds, financing gap and ways of bridging the gap); implementation framework specifying the roles and responsibilities of various people, institutions and organizations involved in health development; monitoring and evaluation, including mechanisms, schedule and cost; conclusion; and appendices (10). |
|                                           | 1.4 Dissemination of NHP and NHSP: the NHP and NHSP are widely available at national, provincial/regional, district and community levels in relevant local languages. |
|                                           | 1.5 Implementation: Extent to which NHSP has been translated into results-oriented operational programmes and plans as expressed in medium-term expenditure frameworks and annual programme budgets (11). |
| 2. Rule of health-related laws             | 2.1 Existence of health-related legislations: Existence of public health laws related to governance, health financing, resource/input creation (essential health technologies, human resources, and infrastructure), provision of personal and public health services, research for health, ethical practices (7). |
|                                           | 2.2 Enforcement of health-related legislations: the extent to which various health-related laws are applied at all levels of health system (and government) to administer governance, health financing, resource/input creation (essential health technologies, human resources, infrastructure), provision of personal and public health services, research for health, ethical practices (7). |
| 3. Community participation & responsiveness| 3.1 Participation in NHP and NHSP development: Extent to which communities (either directly or through elected leaders) are involved in the health needs assessment, national health policy development and planning of health development (12,13). |
|                                           | 3.2 Participation in NHSP implementation: Extent to which communities (either directly or through elected leaders) are involved in management of health services and other health enhancing services (e.g. water, sanitation, environmental pollution control). |
|                                           | 3.3 Participation in tracking of progress: Extent to which communities (either directly or through elected leaders) are involved in monitoring and evaluation in the achievement of health development objectives and targets spelt out in the NHSP. |
|                                           | 3.4 Responsiveness to communities non-medical expectations: Extent to which health systems exercise respect for persons (dignity, autonomy in choice of interventions and confidentiality) and are client-oriented (prompt, adequate basic amenities, access to social support networks, choice of provider) (14). |
| 4. Effective internal and external partnerships for health | 4.1 Intersectoral action: Existence of vibrant intersectoral committees for tracing progress on socioeconomic determinants of health (15,16). |
|                                           | 4.2 Public-private partnerships: Extent to which the legislative and policy environment forges partnerships with the faith-based organizations and private-for-profit sector in health financing, health systems input creation and health services provision to facilitate implementation of NHP and NHSP (17). |
|                                           | 4.3 Alignment of aid flows to national health development priorities: (i) Percentage of aid flows for health development channelled through general government budget support (11). |
|                                           | 4.4 Strengthen capacity by coordinated support: Percentage of technical cooperation flows implemented through coordinated programmes consistent with NHSP (11). |
|                                           | 4.5 Use of country procurement and public financial management systems: Percentage of donor aid that flow through recipient/partner country procurement and public financial management systems (11). |
|                                           | 4.6 Strengthen national capacity by avoiding parallel implementation structures: number of parallel health project implementation units in a country (11). |
|                                           | 4.7 Aid is more predictable: Percent of health-related aid disbursed according to multi-year frameworks (11). |
|                                           | 4.8 Aid is untied: Percentage of bilateral aid for health that is untied to donor conditionality (11). |
|                                           | 4.9 Shared analysis: Percentage of health-related (a) field missions and/or (b) country analytic work undertaken jointly between the cluster of health donors and national government (11). |
|                                           | 4.10 Sufficient integration of global programmes and initiatives into NHSP: Percentage of global programmes (e.g. Global Fund for Aids, Tuberculosis and malaria GAVI) and initiatives supporting the implementation of NHSP (11). |
Table 1 Modified framework for assessing health development governance (Continued)

| 5. Horizontal and vertical equity in health systems | 5.1 Horizontal equity: Extent to which there is the allocation of equivalent resources for people with equivalent capacity to benefit from health enhancing interventions and socio-economic interventions [16,18-20]. |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                  | 5.2 Vertical equity: Extent to which there is allocation of different resources for people with different levels of capacity to benefit from health enhancing interventions and socio-economic interventions [16,18-20]. |
|                                                  | 5.3 Health fairness in financial contribution (HFC): Extent to which the ratio of total contribution to health from each household through all payments mechanisms (HE) to that household’s capacity to pay (CTP) - which is the effective non-subistence income - is identical for all households, independent of the household’s health status or use of the health system [19], i.e. $HFC = HE/CTP$. |
| 6. Efficiency in resource allocation and use      | 6.1 Allocative efficiency: Percentage of various levels of fixed health facilities allocating health resources to their most highly valued uses [21]. |
|                                                  | 6.2 Technical efficiency: Percentage of various levels of fixed health facilities using physical health systems inputs to produce either health services without waste [22-27]. |
|                                                  | 6.3 Productivity growth: Percentage of various levels of fixed health facilities experiencing total factor productivity growth due to efficiency improvement and/or technological growth [28-30]. |
|                                                  | 6.4 Institutionalization of efficiency monitoring: Extent to which economic efficiency monitoring has been institutionalized within the national health management information system [23]. |
| 7. Accountability and transparency in health development | 7.1 Existence of transparent results-oriented reporting and assessment frameworks to assess progress against NHSP targets indicators [11]. |
|                                                  | 7.2 Diagnostic reviews: Extent to which diagnostic reviews of national arrangements and procedures for public financial management, accounting, auditing, procurement, results frameworks and monitoring provide reliable assessments of performance, transparency and accountability of country systems [11]. |
|                                                  | 7.3 Use of information from diagnostic reviews: Extent to which evidence from diagnostic reviews is used in the design of reforms to ensure that national systems, institutions and procedures for managing all health resources are effective, accountable and transparent [11]. |
|                                                  | 7.4 Publishing of audit reports for public consumption: Extent to which reliable and timely budget execution and audit reports are transparently reviewed by relevant parliamentary committees and published in mass media for public scrutiny [11]. |
| 8. Evidence-based decision-making                 | 8.1 National health research systems: Existence of a health research policy and strategic plans that are being implemented as evidenced in research outputs and their use in health policy, planning and decision-making [31,32]. |
|                                                  | 8.2 Health knowledge management systems (HKMS): Existence of a functional HKMS that does acquisition, creation (probably through research and practice), diffusion, application and evaluation/improvement of knowledge [33]. |
|                                                  | 8.3 Health management information systems: Extent to which a country has legal and policy frameworks supported by sufficient human resources, financing and infrastructure; core health indicators identified covering determinants of health, health system inputs, outputs and outcomes; key data available from six main sources and standards for their use – for census, vital events monitoring, health facilities statistics, public health surveillance, population-based surveys and resource tracking; optimal processes for collecting, sharing and storing data, data flows and feedback loops; dissemination of information and effective use of data for policy and advocacy, planning and priority setting, resource allocation, and implementation and action [34]. |
|                                                  | 8.4 Information, Communication and Technology Connectivity: (i) Existence of a comprehensive national policy and a legal and strategic framework to guide and nurture the growth of ICT, while at the same time protecting the welfare of its citizens. (ii) Extent to which the necessary investment in ICT infrastructure, including fixed phone lines installation, equipment (e.g. computers, servers, networks) and Internet connectivity in the entire health system, i.e. from the Ministry of Health headquarters down to the level of community-based public health programmes [35]. |
| 9. Ethical practises in health research and service provision | 9.1 International ethical guidelines for medical practice and health research: Extent to which a country has adapted appropriately international ethical guidelines for medical practice (e.g., the International Code of Medical Ethics of the World Medical Association or the International Conference on Harmonization guidelines for Good Clinical Practice) and biomedical research involving human subjects, made them available to all national health and health-related research institutions and health facilities, and are being adhered to [36]. |
|                                                  | 9.2 Bioethics review system: Existence of operational bioethics research review system, which includes national, regional, district and institutional (health facility) ethics committees for protecting the dignity, integrity and health safety of all its citizens participating in research and those consuming health services [37]. |
|                                                  | 9.4 Institutionalization of ethics training: Extent to which a country has institutionalized training in ethics and human rights in relation to health at all stages of the education and training of all health workers, including medical, public health and nursing schools [37,38]. |
| 10. Macroeconomic and political stability          | 10.1 Link between national economic development plan (NEDP), Poverty Reduction Strategy Paper (PRSP) and NHP/NHSP: Existence of NEDP and PRSP with a health component linked with the NHP and NHSP [39]. |
|                                                  | 10.2 Existence of a medium-term expenditure framework (MTEF): Existence of a MTEF with a clear health component [39]. |
|                                                  | 10.3 Political stability: Existence of non-violent processes by which those in authority are selected and replaced [40,41]. |
health-related legislation, inter-sectoral action, horizontal equity, allocative efficiency, existence of transparent results-oriented reporting and assessment frameworks, bioethics review system, national health research systems, etc.

For example, the Leadership Responsibilities index (LR) can be expressed as follows:

\[
LRI = \frac{\text{Actual (LR)} - \text{Minimum (LR)}}{\text{Maximum (LR)} - \text{Minimum (LR)}}
\]

where LRI is the leadership responsibility index, Actual (LR) is the actual Leadership Responsibility score, Minimum (LR) is the minimum leadership responsibility score, and Maximum (LR) is the maximum leadership responsibility score. For example, assuming the global minimum leadership responsibility score and the global maximum responsibility scores are set equal to 0% and 100% respectively and the actual leadership responsibility score for a hypothetical country in Table 1 is 20%, the LRI can be obtained as follows:

\[
LRI = \frac{20 - 0}{100 - 0} = \frac{20}{100} = 0.20.
\]

The indices for each of the remaining 41 sub-functions can be calculated in a similar manner. And once individual sub-functions indices have been obtained, the overall Health Development Governance Index (HDGI) can be obtained using the following formula:

\[
\text{HDGI} = \sum_{i=1}^{42} \frac{\text{HDGSFI}_i}{N_{SF}}
\]

where \(\sum_{i=1}^{42}\) is summation from sub-function 1 index to sub-function 42 index; HDGSFI is the health development governance sub-function index; N is the total number of sub-functions in the assessment framework. In the hypothetical example given in Table 2 the HDGI has been obtained as follows:

\[
\text{HDGI} = \sum_{i=1}^{42} \frac{\text{HDGSFI}_i}{N_{SF}} = \frac{14.73}{42} = 0.35.
\]

Since governance is measured on a scale of 0 (or 0%) to 1 (or 100%), the above HDGI of 0.35 implies that the health development governance in this hypothetical country is below average. If instead, the hypothetical country’s HDGI was 50%, it would have signified average health development governance. The above formula is similar to that used by the United Nations Development Programme in calculating the Human Development Index [42]. In reality, the scoring (using the thermometer scale) of the different sub-functions can be done by geographically representative national committees of informed persons.

The Governance indices for individual sub-functions can aid policy-makers to locate the sources of poor governance and then to develop appropriate interventions.
for ameliorating the situation. Those indices could be conducted every two or three years among all countries in the WHO African Region. Therefore, every two or three years, the WHO Regional Committee Ministers of Health from the African Region can have peer review. Since Regional Committee meets every year, there would be no additional cost for organizing the peer review sessions. Such peer review mechanisms would motivate countries to improve health development governance and also share good practices.
Possible sources of data for estimating HDGI
Countries that choose to estimate the HDGI may need to set up a national multi-disciplinary stakeholder Technical Working Group (TWG) to assess the current status of HDG. In addition, they might consider establishing a Steering Committee (SC) to oversee and facilitate the work. The TWG ought to be made up of appropriately qualified staff from all relevant sectors and programmes that deal with the ten functional domains of HDG. It is critically important for all relevant government sectors (especially those addressing various health determinants), health development partners, civil society organizations, and private health sector to be represented in both the TWG and SC. Wide participation will ensure that the results will be used to improve national HDG.

Table 3 shows the possible sources of data needed to assess the performance of each of the sub-functions of HDG. Public health leadership and management: Comprehensiveness of the NHP and NHSP can be assessed by reviewing the two documents against the WHO/AFRO guidelines [10]. The data on leadership responsibilities and dissemination of NHP and NHSP can be obtained through a survey of stakeholders, e.g. civil society, health workers (both public and private sectors) and partners. The level of implementation of NHSP can be assessed through appraisal of annual health sector review reports and other monitoring and evaluation reports. Annual reviews are often based on NHIS data and some times complemented with routinely gathered primary data.

Rule of law
Existence of health-related legislation can be assessed through review of existing health-related laws. The questions for assessing level of enforcement of health-related legislation may be included in the survey questionnaire for public health leadership and management.

Community participation and responsiveness
Firstly, data for use in assessing the level of community participation in formulation of NHP/NHSP and monitoring their implementation can be generated through administration of a questionnaire among parliamentarians and civic leaders or administrative leaders, e.g. chiefs. Secondly, responsiveness of health service providers to communities’ non-medical expectations can be assessed through administration of responsiveness module of the World health survey questionnaire [43] among samples of clients exiting various levels of health facilities, e.g. tertiary, provincial/regional and district hospitals, and health centres.

Effective internal and external partnership for health
Firstly, data for assessing inter-sectoral action can be generated from in-depth interview with either prime minister’s or president’s office, depending on who chairs the cabinet. Secondly, review of health-related legislation and interviews with leaders of faith-based and private-for-profits health services providers can yield information on the extent to which legislative and policy environment fosters public-private partnerships.

Thirdly, interviews with Ministry of Finance and health development partners could yield information on percentage of aid flows for health development channelled through general government budget support. Fourthly, interviews with ministries of health would yield information on the existence of sector-wide approaches, multi-donor steering committees or equivalent donor coordination mechanisms.

Fifthly, the Public Expenditure and Financial Accountability (PEFA) initiative [44] reports contain information needed to assess extent of use of country procurement and public financial management systems, predictability of aid, whether aid is tied or not, and use of shared analyses. As at 7th March 2011, about 30 WHO African region countries had reports on the PEFA Secretariat website. Where such information does not exist, there may be need to conduct assessment using the PEFA framework [45].

Information on whether there has been sufficient integration of global programmes and initiatives into NHSP can be obtained by conducting interviews with Directors of Policy and Planning at Ministry of Health, health sector focal persons in Ministries of Finance, and country representatives of GAVI and GFATM.

The data needed for accountability and transparency in health development should be collected together with that on effective international and external partnership. Horizontal and vertical equity in health systems
Estimation of health inequality requires data on health variables (e.g.) and ordinal living standards measure [46]. Equity in utilization of health services or interventions entails data on utilization variables and ordinal living standards measure [47]. Benefit-incidence analysis calls for data on health service utilization variables, ordinal living standards measure, and unit subsidies [48]. Calculations of progressivity, catastrophic payments, or poverty impact of health financing require data on cardinal measure of living standards and user payments [48]. Analysis of equity in health systems is best done using data from household surveys. Since household surveys can be very expensive to conduct, it is advisable to use data from existing household survey datasets, e.g., Living Standards Measurement Study (LSMS) [49], Demographic and Health Surveys (DHS) [50], Multiple Indicator Cluster Surveys (MICs) [51] and World Health Surveys [43]. O’Donnell et al [48] is an excellent open access resource on how to analyze health equity using household survey data. The World Bank has also developed a free computer programme know as
| Sub-functions of health development governance | Possible data source |
|-----------------------------------------------|---------------------|
| 1.1 Leadership responsibilities                | Conduct a survey among samples of stakeholders, e.g. civil society, health workforce. |
| 1.2 National health policy (NHP)               | Review of NHP.      |
| 1.3 National health strategic plan (NHSP)      | Review of NHSP.     |
| 1.4 Dissemination of NHP and NHSP             | Conduct a survey among samples of public and private health sector managers at various levels of health system, e.g. headquarters, provinces/regions, and districts. The survey questionnaire should be administered to civil society organizations and partners. |
| 1.5 Implementation of NHSP                    | Review of annual health sector review reports & other monitoring & evaluation reports. Plus review of national health information systems (NHIS) data. |
| 2.1 Existence of health-related legislation    | Review of existing health-related laws. |
| 2.2 Enforcement of health-related legislations | Inclusion of relevant questions in the survey mentioned in 1.4 above. |
| 3.1 Participation in NHP and NHSP development | Conduct a survey among parliamentarians and civic leaders or administrative leaders (especially chiefs). |
| 3.2 Participation in NHSP implementation      | Inclusion of relevant questions in the survey mentioned in 3.1 above. |
| 3.3 Participation in tracking of progress      | Inclusion of relevant questions in the survey mentioned in 3.1 above. |
| 3.4 Responsiveness to communities non-medical expectations | Exit client surveys among samples of different levels of health facilities, e.g. tertiary, provincial/regional and district hospitals, and health centres. |
| 4.1 Intersectoral action                       | In-depth interview with prime minister/president's office. |
| 4.2 Public-private partnerships                | Review of health-related legislation & interviews of leaders of faith-based and private-for-profit health service providers. |
| 4.3 Alignment of aid flows to national health development priorities | Interviews with Ministry of Finance and health development partners. |
| 4.4 Strengthen capacity by coordinated support | Interviews with Ministry of Health regarding existence of Sector-Wide Approaches, multi-donor steering committees or equivalent mechanisms. |
| 4.5 Use of country procurement and public financial management systems | Review reports of the Public Expenditure and Financial Accountability (PEFA) initiative [44]. If the data does not already exist use PEFA framework [45] to conduct the assessment. |
| 4.6 Strengthen national capacity by avoiding parallel implementation structures | |
| 4.7 Aid is more predictable                    | |
| 4.8 Aid is untied                             | |
| 4.9 Shared analysis                           | |
| 4.10 Sufficient integration of global programmes and initiatives into NHSP | Interviews with Ministry of Health, Ministry of Finance, GFATM and GAVI. |
| 5.1 Horizontal equity                         | Analysis of household surveys, e.g., World Health Surveys [43], LSMS [49], DHS [50], and MICS [51]. Other sources include household budget surveys, census data, facility-based surveys (exit polls), and routine data from NHIS, vital registration, etc [48]. |
| 5.2 Vertical equity                           | |
| 5.3 Fairness in financial contribution        | |
| 6.1 Allocative efficiency                     | National NHIS: (i) health facility service data, e.g. numbers of curative and preventive outpatient visits, numbers of hospital admissions and discharges, numbers of hospital deaths, numbers of diagnostic services, volume of community-based health services; (ii) quantities and values of resources, e.g. supplies, health workforce, finances, infrastructure. If data is not available centrally, there may be need to collect it from health facilities, using existing questionnaires [63,64]. |
| 6.2 Technical efficiency                      | |
| 6.3 Productivity growth                       | |
| 6.4 Institutionalization of efficiency monitoring | |
| 7.1 Existence of transparent results-oriented reporting and assessment frameworks | This data should be obtained simultaneously with that in 4.5-4.9. |
| 7.2 Diagnostic reviews                        | |
| 7.3 Use of information from diagnostic reviews | |
| 7.4 Publishing of audit reports for public consumption | |
| 8.1 National health research systems (HRS)    | Review existing Health Research Systems Analysis (HRSA) reports; and where non existent conduct an assessment of HRS using HRSA toolkit [65]. |
| 8.2 Health knowledge management systems (HKMS) | Review existing HKMS reports; and where they do not exist undertake an assessment of HKMS using “Research Matters” Knowledge Translation Toolkit [68]. |
Table 3 Possible sources of data for computing national HDGI (Continued)

| Source of data                                      | Methodology                                                                 |
|----------------------------------------------------|-----------------------------------------------------------------------------|
| 8.3 Health management information systems          | Review existing NHIS reports, and where non-existent conduct an assessment using Health Metrics Network tool [69]. |
| 8.4 Information, Communication and Technology      | Include relevant questions in the survey questionnaire mentioned in 1.4.     |
| Connectivity                                       | This data should be collected simultaneously with that in 8.1 using same methods and tools. |
| 9.1 International ethical guidelines for medical    | Review of the NEDP, PRSP, NHP and NHSP                                      |
| practice and health research                       | Review of MTEF document complemented with in-depth interviews with Ministry of Finance and Ministry of Health. |
| 9.2 Bioethics review system                        | Review of the national constitution, in-depth interview with chairperson of national legal bar association, and reference to both the Economist Intelligence Unit Democracy Index [40] and the Ibrahim Index [41]. |
| 9.4 Institutionalization of ethics training        | Review of the NEDP, PRSP, NHP and NHSP                                      |
| 10.1 Link between NEDP, PRSP and NHP/NHSP         | Review of the NEDP, PRSP, NHP and NHSP                                      |
| 10.2 Existence of a MTEF                          | Review of the NEDP, PRSP, NHP and NHSP                                      |
| 10.3 Political stability                           | Review of the NEDP, PRSP, NHP and NHSP                                      |

“ADePT-Health” for conducting health equity analyses [52].

**Efficiency in resource allocation and use**

Estimation of technical efficiency (TE) requires data on quantities of health system inputs, e.g. numbers (or time) of different cadres of health workforce, annual expenditure on pharmaceuticals, annual expenditure on non-pharmaceutical supplies, number of hospital beds; and volume of health service outputs, e.g. number of outpatient curative visits, outpatient preventive visits, community health outreach activities, inpatient admissions, inpatient discharges, and hospital deaths. In order to estimate allocative efficiency (AE), information on average inputs prices is needed in addition to data needed for TE. Calculation of productivity change requires all abovementioned input and output data for a number of time periods, e.g. a number of years. In the African region TE studies have been undertaken in Benin [53], Burkina Faso [54], Ethiopia [55], Ghana [22,56], Kenya [24,25], Namibia [57], Sierra Leone [23], South Africa [27,58,59] and Zambia [60]; AE studies have been conducted in Ghana [61] and Zambia [21]; and *Malmquist* total factor productivity analyses have been carried out in continental Africa national health systems [30], Angola [28], Botswana [62], Seychelles [29] and South Africa [26]. Therefore, results from such studies can be used in computation of HDGI. However, in countries where no such studies exist, it will be necessary to collect relevant data and do the efficiency and productivity change analyses. Data needed for efficiency analyses can be found in NHIS database. If the data is not centrally available in NHIS database, there may be need to collate it from health facilities, using existing questionnaires [63,64].

**Evidence-based decision making**

Firstly, the national health research systems analysis (HRSA) data can be obtained through review of existing HRSA reports. Where such data does not exist, it can be obtained through an assessment of national health research systems using HRSA toolkit [65-67]. Secondly, the health knowledge management systems (HKMS) data may be available in existing HKMS reports, and thus, a review of such reports might suffice. In countries where such reports do not exist, it will be necessary to conduct an assessment of HKMS using the “Research Matters” Knowledge Translation Toolkit [68]. Thirdly, a review of existing NHIS reports may avail information needed in HDGI. However, if those reports do not exist, it may be necessary to do an assessment of NHIS using the Health Metrics Network tool [69]. Lastly, the data for assessing ICT connectivity can be collected from health workers at various levels of national health system through use of the questionnaire mentioned earlier to gather information on dissemination of NHP and NHSP.

**Ethical practises in health research and service provision**

Data on dissemination of international ethical guidelines for medical practise and health research, bioethics review system, and institutionalization of ethics training should be collated simultaneously with that on HRSA (mentioned above) and using the same toolkit [65]. The module entitled ‘Module 7000: Research ethics and ethical processes’ is specifically designed for this purpose.

**Macroeconomic and political stability**

Firstly, the linkage between NEDP, PRSP and NHP/ NHSP can be ascertained through a review of those documents. Secondly, a review of Medium-Term Expenditure Framework (MTEF) document will help to determine whether it contains a clear health component derived from NHSP. Finally, a review of the national constitution and in-depth interview with chairperson of the national legal bar association can facilitate identification of the extent to which a non-violent process exists by which those in authority are elected and replaced. This information can be complemented with a review of data on the Economist Intelligence Unit Democracy
Index [40] and the Ibrahim Index of African governance [41].

Summary

The weak governance and leadership in health development might explain why many countries in Africa are not on track to attain the health MDGs by 2015 [16,70,71]. This paper has attempted to review briefly existing governance frameworks and has proposed a modified framework on health development governance with a Health Development Governance Index. It has also suggested possible sources of data for estimating HDGI. The individual health development governance sub-functions indices can aid policy-makers to locate the sources of inadequate governance and then to develop appropriate interventions for ameliorating the situation.

One of the possible reasons for inadequate governance and leadership in health development in Africa is largely because many health leaders and managers, at various levels of national health systems, were never trained to govern and lead. Thus, whereas they may have had very good training on disease prevention and control, their curricula might not have featured training on governance and leadership. Therefore, there may be need to revise the curricula of schools of public health, medical schools, nursing schools and other schools of health sciences in Africa to reflect the recent developments in health systems performance assessment, including leadership and governance. In addition, leadership and governance should feature prominently in the continuing education programmes for medical and public health practitioners which are organized by the national professional associations (e.g. medical and nursing associations).

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