Comparison of Cholinergic Status with Quantitative EEG in Healthy Subjects and Patients Suspected of Dementia

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Abstract

Introduction: Objective is to evaluate quantitative electroencephalography (qEEG) variables to distinguish healthy subjects from patients who investigated for dementia and, to assess a b o u t follow-up examination if acetylcholinesterase inhibitor (AChEI) treatment or not influenced the qEEG.

Methods: Average value from four EEG epochs obtained with eyes closed (E.Cl.) and eyes open (E.O.), the peak frequency with eyes closed and the Vigilance-index (ratio of E.O./E.Cl. average powers) calculated. A healthy group and a group suspected of having primary dementia compared, and the assumed dementia group evaluated with follow-up qEEG after approximately 12-14 months with or without acetylcholinesterase inhibitor treatment. The statistical analyze (Student’s t-test) p-values compared the healthy individuals against the suspected dementia patients, and at follow-up of AChEI treated against non-treated analyzed with paired p-values.

Result: When the healthy group compared with suspected dementia group at baseline, all variables were altered statistically significant except E.Cl. average power. The Vigilance-index (p-value < 0.001) and average power of E.O. (p-value < 0.001) increased, and the mean peak- frequency (p-value < 0.001) decreased. At the follow-up for the suspected dementia group, the Vigilance-index of the untreated increased significantly (p-value < 0.001) but not for the AChEI treated group. No other statistically significant changes for the other parameters.

Conclusion: The Vigilance-index may be used to assess cholinergic deficits in patients with dementia, also early in the course of the disease and evaluate the effects of AChEI treatment. Also, identify unwanted central cholinergic side-effects of other medication.

Keywords: Electroencephalography; Desynchronization; Dementia; Acetylcholine; Cholinesterase inhibitors, Alzheimer’s dementia; Lewy body disease; Humans; Biomarkers; Follow-up studies

Introduction

Longitudinal studies using electroencephalography (EEG) and follow-up are rare in dementia studies; however, varying effects on EEG parameters reported from existing studies [1-7]. Probably due to the heterogeneity and different stages of the dementia diseases, and also mixed types of dementia, e.g., Alzheimer’s dementia (AD), vascular dementia (VaD), Lewy body dementia (LBD) studied. Interpreting the EEG measurements is also tricky because the procedures used to collect and analyze data are different. The commonly used EEG parameters have assessed changes in the proportion of different frequency bands, average frequency, EEG power, the ratio of low-frequency activity to global and fluctuations in EEG frequency and coherence.

Varying proportions of patients did not display EEG changes at follow-up compared to baseline values [1,3,4,5,8]. Therefore, examinations of other EEG parameters that better reflect the electrophysiological changes in patients with dementia diseases needed for improving the diagnostic precision. Particularly in the early stages of dementia, and to monitor disease progression and evaluate the effects of Acetylcholinesterase inhibitor (AchEI) treatment. One possible way is to try to find methods to measure the cholinergic deficit in patients with primary degenerative dementia, such as AD [9-17]. Which, potentially could lead to an earlier AChEI treatment initiation in the early course of the disease than previous EEG methods [18-28] and other diagnostic measures, but also be used as a biomarker for dementia disease characterized by cholinergic deficiency.

Most of previous EEG studies about cholinergic changes and EEG power effects, observed when cholinergic and anticholinergic
drugs been tested with a decrease in relative power for Alpha-activity (8-13 Hz) of global power and increased power at eye-opening when anticholinergic drugs tested [19,22,29-34]. Consequently, a new measurement introduced in this study, the ratio of E.O./E.Cl. average power termed Vigilance-index, which measures the relative reactivity at eye-opening in relation to eyes closed resting activity (desynchronization) and therefore quantifies Berger reactivity [35], with the potential to estimate the cholinergic status.

In this study, the objective is to identify EEG variables at baseline that could separate patients suspected of having dementia and healthy subjects. For the suspected dementia group, identify EEG variables useful to trace the possible influence of AChEI treatment on the cholinergic deficit, by evaluating patients treated or not treated with AChEI after baseline at follow-up examination. **Materials and Methods**

**Materials**

Two groups compared and analyzed, healthy subjects as control group and patients suspected of dementia which was investigated at a geriatric clinic. The suspected dementia group divided into those with no AChEI treatment (48 patients average of age 78.8±6.5 years) and patients who received AChEI treatment (18 patients average of age 78.3±5.7 years) between the baseline and follow-up EEG. Selected patients were in an early stage of a dementia disease, or the dementia diagnosis was uncertain. Thirty healthy volunteers who were the control group had an average age of 42.9±11.9 years and not treated with anticholinergic or other cholinergic medication, and no drug/alcohol addiction reported or suspected. The ethical committee at Karolinska Institute approved analyses, and informed consent obtained from the healthy subjects and patients.

**EEG recordings and analysis**

EEGs recorded from awake patients and subjects who were sitting on regular chairs and instructed to open or close their eyes on command every 90 seconds which ensured alertness and vigilance. Alertness also monitored by the EEG assistant during the recording, that lasted for approximately 11 minutes. The patients and parts of the EEG recordings that showed signs of drowsiness were excluded from the analysis. Patients and healthy subjects who were very tense or nervous and had low EEG amplitudes during eye-closure, comparable to the magnitudes at eye-opening [36], were also excluded from the analyses. Five patients and three healthy subjects were excluded from the analysis of this reason.

EEGs were recorded and analyzed using standard digital EEG equipment (Nervus™5.3 digital EEG system, Viasys Healthcare, Inc., San Diego, California, United States). The recorded analog signal converted to a digital signal by Fast Fourier transformation (FFT) with a sampling frequency of 128 Hz. At least four EEG epochs, of 90 seconds with eyes closed and 30 seconds with eyes opened recorded. Approximately eighty seconds of each eye closed epoch and twenty-five seconds with eyes open used in the analysis. The first 10 seconds were excluded from eyes closed epochs to avoid analyses of arousal and movement artifacts and the first 3 seconds of eyes open epochs similarly excluded. Rarely slightly shorter epochs analyzed due to recording interference.

The average peak power for four eye closure epochs and open eye epochs, within ± 1 Hz range of average peak-frequency with eyes closed, calculated. Vigilance-index decided from the ratio of the average peak power for open eye epochs and for closed eyes epochs (E.O. power/E.Cl. power) and quantified relative desynchronization of average peak power. The peak-frequency and power measurements defined within EEG filter setting of 3-15 Hz, which covered alpha and theta frequency bands (4-13 Hz) and avoided analysis of eye movement and muscle artifacts. EEGs analyzed from the T6 or T5 area (10-20 EEG system) covering posterior part of the temporal lobe, which is affected by Alzheimer’s and Lewy body dementia and an area of Cortex that a alpha-rhythm are prominent.

When comparing Vigilance-index, MMSE and Cerebrospinal fluid (Csf) content of T-Tau, phospho-Tau and amyloid-42, a quota calculated, of the number of patients with normal value for MMSE score or for (Csf) content used in clinical practice as the denominator and the number of patients with pathological MMSE scores or (Csf) content as the numerator.

**Statistical analysis**

The average peak-frequencies, peak-power, the standard deviation (SD) and the standard error of the mean (S.E.M.) for the compared groups calculated, and differences between the mean values in the healthy and suspected dementia groups estimated using p-values with variance and two-tailed Student’s t-tests. Paired two-tailed Student’s t-tests p-values used to compare AChEI treated and untreated patient groups at follow-up EEG and MMSE examinations.

**Result and Discussion**

When the healthy group compared with suspected dementia group at baseline, all variables were altered significantly except E.Cl. average power. The Vigilance-index (p-value < 0.001) and average power of E.O. (p-value < 0.001) increased, and the mean peak-frequency (p-value < 0.001) decreased for the suspected dementia group Table 1. The mean standard deviations (SD) and standard error of the mean (S.E.M.) for the healthy subjects: E.Cl. average power (16.2 SD 15.15 S.E.M.: 2.86), E.O. average power (1.8 SD 1.75 S.E.M.: 0.33), average peak-frequency (10.0 SD 0.73 S.E.M.: 0.14) and Vigilance-index (0.19 SD 0.21 S.E.M.: 0.04). For the suspected dementia patients at baseline: E.Cl. (12.5 SD 16.4 S.E.M.:2.02), E.O. (3.9 SD 4.2 S.E.M.: 0.52), average peak-frequency (8.6 SD 1.02 S.E.M.: 0.12) and Vigilance-index (0.5 SD 0.34 S.E.M.:0.04) (Table 1).

Therefore Vigilance-index seems most appropriate EEG variable to distinguish healthy subjects from suspected dementia patients. However, there is no defined normal value for Vigilance-index, but the comparison between the average value and SD for the healthy subjects (0.2 SD 0.21) and the suspected dementia group (0.5 SD 0.34) leads to my suggestion to use < 0.3 as reasonable normal value for Vigilance-index (Figure 1).
Figure 1: Quantitative EEG-analysis for a healthy person and a suspected dementia patient at baseline and follow-up. In all diagrams, the X-axis shows the frequency (Hz), and the Y-axis shows the power (µV²/Hz), in graph four EEG epochs superimposed showed. A: (left), four epochs superimposed when eyes are closed (E.Cl.) and to the right when eyes are open (E.O.) for a healthy person. B: Comparison with a patient suspected to have dementia without AChEI treatment, at baseline (upper diagram) and follow-up examination after 11 months. Observe that power and frequency decreased at the follow-up EEG for the E.Cl. condition, and EEG power substantially increased for E.O. condition compared to baseline for the suspected dementia patient.

Table 1: Statistical analyses (p-value) of the examined EEG variables (upper row). The different comparisons are shown in the left column. Second row: all variables but E.Cl. average power showed significant differences between the suspected dementia patients compared to healthy subjects at baseline EEG. Third row: Differences between baseline and follow-up EEGs after 14 months (SEM: 2.3) of patients with suspected dementia and not Acetylcholinesterase inhibitor (AChEI) treated. Fourth row: Differences between baseline and follow-up EEGs after 12 months (SEM: 1.65) for AChEI treated between baseline and follow-up EEG. Observe the significant difference for Vigilance-index (bold italics).

|                          | E.Cl. (Eyes closed) power average value | E.O. (Eyes open) power average value | Vigilance-index E.O./E.Cl. | Peak-frequency average value |
|--------------------------|----------------------------------------|--------------------------------------|---------------------------|-----------------------------|
| Healthy subjects / patients with suspected dementia at baseline | 0.318                                  | 0.0007***                           | 9.3 x 10⁻⁸***             | 2.7 x 10⁻¹⁰***             |
| Untreated Baseline / follow-up | 0.742                                  | 0.051                                | 0.000082 ***             | 0.157                      |
| Treated Baseline / follow-up | 0.661                                  | 0.974                                | 0.932                    | 0.164                      |

Figure 2: Comparison of the Vigilance-index at the follow-up EEG of patients who were treated with or without AChEI after the baseline EEG. Categories on the X-axis include (from left to right): decreased, unchanged and increased Vigilance-index at follow-up EEG. The Y-axis shows the relative proportion of treated or untreated patients in different categories. The treated group is shown as filled and the untreated group as unfilled bars. Observe that the treated group had a higher proportion of patients with decreased or unchanged Vigilance-index and fewer patients with an increased Vigilance-index than the untreated patients.
To measure the cholinergic status and efficacy of the AchEI treatment, a paired statistical comparison of the Vigilance-index for the AchEI treated and the non-treated group between baseline and follow-up performed. The untreated group demonstrated a significant increase of Vigilance-index ($p < 0.001$) while the treated group did not ($p = 0.932$). The other EEG variables: average E.C.I., and E.O. power as well as average peak-frequency did not change significantly for the treated nor the untreated groups Table 1. There were different changes of the Vigilance-index for both groups of patients, with decreasing, unchanged or increased Vigilance-index. A more substantial proportion of the AchEI treated patients than the untreated had a reduced or unchanged Vigilance-index at follow-up. However, the most substantial difference was the proportion of patients with increased Vigilance-index, relatively in approximately twice as many of the untreated compared to the treated patients (Figure 2).

Which differences characterized the groups selected for AchEI treatment compared to the untreated group at baseline? The treated group had higher mean Vigilance-index (0.6) and lower MMSE (average score 24.9) than the untreated (0.5) respectively (25.9). Csf data paradoxically showed a higher number of pathological Amyloid 42 for the untreated compared to the treated group (relative proportions of 0.25 respectively 0.1), and lower number for total-tau (0.39 respectively 0.5) and phospho-tau (0.17 respectively 0) (Table 2).

### Table 2: Comparison between healthy subjects and suspected dementia patients, with or without AchEI treatment. In the first upper row, the biomarkers compared. For Csf biomarkers only baseline-values examined. The first column from left, the groups of suspected dementia patients, AchEI treated or not treated examined at baseline and follow-up. For Vigilance-index and MMSE score, average values with S.E.M. and number of patients in itallic text for the examined. For the Csf data a quota of pathologic value / total value for respectively phospho-Tau, total-Tau, and Amyloid-β 42 specified.

|                  | Vigilance-index average value | MMSE score average value | phospho-Tau quota pathologic value | total-Tau quota pathologic value | Amyloid-b42 quota pathologic value |
|------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------------|---------------------------------|
| **Baseline not treated** | 0.5 (S.E.M. 0.050) 48 patients | 25.9 (S.E.M. 0.563) 35 patients | 0.17 33 patients                  | 0.39                            | 0.25                            |
| **Follow up not treated** | 0.6 (S.E.M. 0.052)           | 25.3 (S.E.M. 0.579)      |                                   |                                 |                                 |
| **Baseline treated**     | 0.6 (S.E.M. 0.082) 18 patients | 24.9 (S.E.M. 1.129) 15 patients | 0 10 patients                     | 0.5                             | 0.1                             |
| **Follow up treated**    | 0.6 (S.E.M. 0.085)           | 22.9 (S.E.M. 0.879)      |                                   |                                 |                                 |

The decreasing E.C.I. power and increasing E.O. power in patients with suspected dementia and consequently increased Vigilance-index affected by AchEI treatment are consistent with pharmacological studies of the effects of cholinergic and anticholinergic substances [18-19,22-23,29-31,34] and AchEI drugs [21,24,37-39] on EEG variables. Reductions of desynchronization when eyes are open or Berger reactivity has also been observed following the administration of anticholinergic drugs, such as Atropine and Scopolamine, to healthy volunteers [22,29]. Comparison between healthy subjects and patients with AD, revealed significantly reduced desynchronization reaction at eye-opening in patients with AD [33].

The observed decrease in the average peak-frequency in suspected dementia patients is also consistent with previous longitudinal studies of patients with dementia [3,5-6,34] and other studies related to EEG changes in patients with dementia, which also reported a decreasing power for alpha activity [25,40-47].

These EEG-parameters should best distinguish between healthy and early dementia. However, there are no normal values for average E.C.I. and E.O. power due to considerable variations between individuals and high SD for these variables and overlap between average value for healthy subjects and suspected dementia group and therefore not suitable for early dementia diagnostics. The Vigilance-index have the advantage to erase the substantial differences of EEG power between individuals and makes a comparison possible due to a quota of powers. The average peak-frequency, even though it could distinguish healthy from dementia suspected statistical significant, it still was within normal values (8.6 Hz) and of that reason not suitable for early dementia diagnosis.

Increased Vigilance-index depends on a decrease in ECl. power and especially of increase in E.O. power, which was significant in this study ($p$-value $< 0.001$) when normal subjects compared to patients with suspected dementia. The Vigilance-index at the follow-up EEG increased to a significant level ($p$-value $< 0.001$) in the not treated patients, while statistically unchanged in the AchEI treated group, supporting the notion that the cholinergic status was measured. As fewer AchEI treated patients had increased, and several unchanged or decreased Vigilance-index than the untreated patients Figure 2, Vigilance-index might perhaps distinguish responders from non-responders when AchEI treatment instituted. Patients with an increasing index seem not to react to AchEI treatment, while those with a decreasing or unchanged index might indicate a treatment effect with increased or constant availability of Acetylcholine. The group treated with AchEI was restricted (18 patients), and these observations must be supplemented with investigations of additional patients and longer observation time before the Vigilance-index definitively used to determine treatment effects.

Were the different results for the studied groups dependent on group differences? The criteria for either treatment or not with AchEI were based on the assessment of the patient’s physician. The treated group had lower average MMSE scores at baseline and higher Vigilance-index than the untreated group, so it seems that the treated had more positive symptons of dementia Table 2.

A puzzling observation, when Csf could be compared for the treated and untreated group, Amyloid-β 42 was pathologic for 1 / 10, phospho-Tau for 0 /10 and total-Tau for 5 /10 for the treated patients, and the untreated; 8 /33 was pathologic for
Amyloid-β 42, 13/33 for total-Tau and 6/33 for phospho-Tau Table 2. Csf biomarkers seem to be a poor indicator in the early stage of suspected dementia. However, this needs to be studied in larger groups of patients.

Other studies have attempted to develop EEG methods for estimating the cholinergic status in patients with dementia and diseases, these methods based on algorithms of analyzing EEG-signals from several electrodes and different aspects of electrical changes in EEG [26-28]. The use of several electrodes and variables increases the possible sources of error, which is a flaw for these methods. The strategy in this study, to only use two variables and analyzing the ratio between the quotas of E.Cl. and E.O. average power erases the individual differences in EEG power which makes it possible to compare different individuals and compare the same patient at separate occasions. By analyzing one EEG channel in the posterior part of the temporal region, makes the Vigilance-index robust, less sensitive to EEG artifacts and easy to use clinically.

Conclusion

The Vigilance-index assess the cholinergic status in the posterior part of the temporal lobe of the Cortex and is potentially useful for the diagnosis of primary dementia which is characterized by a deficiency in acetylcholine, such as in Alzheimer’s disease and Lewy body dementia. The Vigilance-index allows physicians to detect dementia early and evaluate acetylcholine deficiency. Besides, the Vigilance-index might also possibly used to evaluate Acetylcholinesterase inhibitor treatment, aimed to increase the availability of acetylcholine and thereby identify responders versus non-responders and also identify other medications with central anticholinergic side-effects.

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Conflict of Interest

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