Long-Term Results and Prognostic Factors of Gastric Cancer Patients with Microscopic Peritoneal Carcinomatosis

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Abstract

Background: Clinical significance of microscopic peritoneal carcinomatosis remained unclear. The aim of this study was to evaluate the prognostic value of microscopic peritoneal carcinomatosis in gastric cancer.

Methods: From 1996 to 2007, 4426 patients underwent gastrectomy for gastric cancer at Fudan University Shanghai Cancer Center. The clinical and pathological data were reviewed to identify patients with microscopic peritoneal carcinomatosis (group 1). The clinicopathological features and prognosis were examined. Additionally, 242 stage-matched gastric cancer patients without microscopic peritoneal carcinomatosis (group 2) and 118 with macroscopic peritoneal carcinomatosis (group 3) were selected as control groups.

Results: Microscopic peritoneal carcinomatosis was found in 121 patients. There were 85 males and 36 females (2.36:1). There was a higher incidence rate of large size tumor (≥5 cm) (P = 0.045), Borrmann IV (P = 0.000), and serosal invasion (P = 0.000) in gastric cancer with microscopic peritoneal carcinomatosis compared with the control group. The 5-year survival rate of gastric cancer with microscopic peritoneal carcinomatosis was 24%, significantly poorer than that of the stage-matched control group but better than that of patients with macroscopic peritoneal carcinomatosis. The independent prognostic factors identified included pathological stage and operative curability.

Conclusions: The presence of microscopic peritoneal carcinomatosis was associated with worse prognosis for gastric cancer, but curative surgery showed potential to improve prognosis.

Introduction

Although the incidence of gastric cancer has been substantially declining for several decades, it remained the fourth most common cancer and the second most frequent cause of cancer death worldwide [1,2]. It was very important to predict precisely the risk of poor prognosis in order to maximize the therapeutic effect and to minimize the adverse effects in the treatment of cancer patients. Among the prognostic factors now available for gastric cancer, the most precise and useful prognostic factor was the UICC TNM (tumor, lymph node, and metastasis) staging stage. Peritoneal metastasis was considered to be one of the metastasis, and was one of the most common types of spread and the causes of death [3]. Peritoneal metastasis of gastric cancer was considered to be operation contraindication and the most difficult type for treatment [4]. The peritoneal metastasis was mainly classified classified as macroscopic peritoneal carcinomatosis (overt peritoneal dissemination) and positive peritoneal lavage cytology, and their prognostic value has been extensively investigated in gastric cancer. However, tumor nodules were occasionally found in the peritoneal of gastric cancer patients by histopathological examination. We defined this kind of peritoneal dissemination as microscopic peritoneal carcinomatosis compared with macroscopic peritoneal carcinomatosis. There was currently no evidence as to the clinical significance of microscopic peritoneal carcinomatosis in gastric cancer.

The objectives of this study were to investigate the clinical significance of microscopic peritoneal carcinomatosis and to assess the impact of microscopic peritoneal carcinomatosis on survival related to clinicopathological characteristics in patients with resectable gastric cancer.

Materials and Methods

From January 1996 to December 2007, 4426 patients with histologically confirmed primary gastric adenocarcinoma underwent gastrectomy at the Department of Surgery in Fudan University Shanghai Cancer Center. The electronic records of these patients were reviewed, and patients with microscopic peritoneal carcinomatosis were included in this study. Microscopic peritoneal carcinomatosis was defined as the nodules without any evidence of lymph node tissue or lymph node architecture, and
cannot be found intraoperatively. In this study, the location of resected peritoneum included greater omentum, lesser omentum, and transverse mesocolon according to guideline of gastric cancer therapy.

Additionally, 242 stage-matched gastric cancer patients without peritoneal dissemination (group 2) and 110 with macroscopic peritoneal carcinomatosis (group 3) were selected as control. All patients of group 3 received gastrectomy. Before operations, all patients were routinely performed upper gastrointestinal barium-meal, endoscopic examination, abdominal ultrasound, and computed tomographic scan. Staging was performed according to the American Joint Committee on Cancer (AJCC) TNM Staging Classification for Carcinoma of the Stomach (7th edition, 2010).

Data were retrieved from patients’ operative and pathological reports, and follow-up data were obtained by phone, letter, and the out-patient clinical database. The written informed consent had been obtained from all the patients, and this study was approved by the Ethical Committee of Shanghai Cancer Center of Fudan University. The study was retrospective.

Follow-Up
Postoperative follow-up included physical examination, imaging examination, and laboratory examination every three months for the first two years at the outpatient, every six months for the next 3 years, and after 5 years every 12 months for life. Overall survival, which was used as a measure of prognosis, was defined as time from operation to death or last follow-up.

Statistical analysis
The clinicopathological comparisons between patients with microscopic peritoneal carcinomatosis and control groups were evaluated by Fisher exact test. Five-year survival rates were calculated by Kaplan-Meier method, and differences between survival curves were examined with Log-rank test. The acceptable level of significance was \( P \leq 0.05 \). Statistical analyses and graphics were performed with the SPSS 13.0 statistical package (SPSS, Inc., Chicago, IL).

Results
Clinicopathological characteristics
There were 85 males and 36 females (2.36:1) with a mean age of 60 years. According to histological type, well-differentiated were observed in 2 (1.7%) patients, moderately-differentiated in 28 (23.1%) patients, and poorly-differentiated in remaining 91 (75.2%) patients. According to Borrmann type, 9 (7.4%) type I, 1 (0.8%) type II, 100 (82.6%) type III, 11 (9.1%) type IV. Of 121 patients, 37 (30.6%) had tumors located in the upper third, 31 (25.6%) had tumors in the middle third, 45 (37.2%) had tumors in the lower third of the stomach, and 8 (6.6%) had tumors occupied two-thirds or more of stomach. Lymph node metastasis was observed in 101 patients, the total metastasis rate was 83.5%. The distribution of pathological stage was as follows: 4 (3.3%) patients belonged to stage Ib, 18 (14.9%) Iib, 19 (15.7%) IIIA, 33 (27.3%) IIIB, 88 (71.6%) IIIA, 47 (38.8%) IIIC.

Clinicopathologic characteristics of patients with microscopic peritoneal carcinomatosis (group 1) were compared with that of gastric cancer without microscopic peritoneal carcinomatosis (group 2) and gastric cancer with macroscopic peritoneal carcinomatosis (group 3). Results showed that sex, tumor location, histology type, and surgical properties were similar between group 1 and group 2. There was a higher incidence rate of older patients \((\geq 60)\) \((P = 0.012)\), large size tumor \((\geq 5 \text{ cm})\) \((P = 0.045)\), Borrmann IV \((P = 0.000)\), and serosal invasion \((P = 0.000)\) in group 1 (Table 1).

Table 1. Comparison of Clinicopathological Features Between gastric cancer patients with microscopic peritoneal carcinomatosis (group 1) and gastric cancer without microscopic peritoneal carcinomatosis (group 2).

| Variables                     | Group 1 | Group 2 | \( P \) |
|-------------------------------|---------|---------|---------|
| Sex (M/F)                     | 85/36   | 74/44   | 0.217   |
| Age \((\geq 60/ < 60)\)       | 70/51   | 49/69   | 0.012   |
| Histology type (P/M/W)*       | 91/28/2 | 97/18/3 | 0.263   |
| Operation curability (yes/no) | 102/19  | 206/36  | 0.836   |
| Tumor size \((\geq 5/ < 5)\)  | 71/50   | 115/127 | 0.045   |
| Borrmann type IV (yes/no)     | 11/110  | 1/241   | 0.000   |
| Serosal invasion (yes/no)     | 114/7   | 122/120 | 0.000   |
| Tumor location (C/M/A and two or more)# | 37/31/45/8 | 80/42/106/14 | 0.280 |

*Poorly differentiated/moderately differentiated/well differentiated.
#Corpus/middle/antrum.

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There were significant differences of age and tumor location between group 1 and group 3 (Table 2).

Tumor nodules features
Microscopic peritoneal carcinomatosis was located in the different location of peritoneal. 83 (60.6%) had microscopic peritoneal carcinomatosis located in the serosal surface of stomach, 27 (22.3%) had it in greater omentum, 2 (1.7%) had it in lesser omentum, 4 (3.3%) in transverse mesocolon, and 5 (4.1%) had it occupied in two or more parts. Single microscopic peritoneal carcinomatosis was found in 62 patients, two or more microscopic peritoneal carcinomatoses were found in other patients. The total number of microscopic peritoneal carcinomatosis was 249 (mean 2.0 and median 1.0 per patient).

Prognosis
The 1-, 3-, 5-yr survival rates of the gastric cancer with microscopic peritoneal carcinomatosis were 73%, 41%, and 24%, respectively, the 1-, 3-, 5-yr survival rates of the gastric cancer without microscopic peritoneal carcinomatosis were 85%, 47%,

Table 2. Comparison of Clinicopathological Features Between gastric cancer patients with microscopic peritoneal carcinomatosis (group 1) and gastric cancer with microscopic peritoneal carcinomatosis (group 3).

| Variables                     | Group 1 | Group 3 | \( P \) |
|-------------------------------|---------|---------|---------|
| Sex (M/F)                     | 85/36   | 74/44   | 0.217   |
| Age \((\geq 60/ < 60)\)       | 70/51   | 49/69   | 0.012   |
| Histology type (P/M/W)*       | 91/28/2 | 97/18/3 | 0.263   |
| Tumor size \((\geq 5/ < 5)\)  | 71/50   | 69/49   | 0.975   |
| Borrmann type IV (yes/no)     | 11/110  | 19/99   | 0.102   |
| Serosal invasion (yes/no)     | 114/7   | 103/15  | 0.064   |
| Tumor location (C/M/A and two or more)# | 37/31/45/8 | 16/35/45/22 | 0.002 |

*Poorly differentiated/moderately differentiated/well differentiated.
#Corpus/middle/antrum.

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The 1-, 3-, 5-yr survival rates of the gastric cancer with microscopic peritoneal carcinomatosis were 73%, 41%, and 24%, respectively, the 1-, 3-, 5-yr survival rates of the gastric cancer without microscopic peritoneal carcinomatosis were 85%, 47%,
and 37%, respectively, and that of gastric cancer with macroscopic peritoneal carcinomatosis were 47%, 6%, and 6%. These differences were statistically significant among three groups ($P=0.000$) (Figure 1). The significant prognostic factors of the gastric cancer with microscopic peritoneal carcinomatosis included: the number of tumor nodules, serosal invasion, operative curability, lymph node metastasis, and pathological stage. The independent prognostic factors included: pathological stage and operative curability (Table 3). The 5-year survival rate was 28% in patients who underwent curative surgery.

**Discussion**

Gastric cancer was one of the most common malignancies around the world. Although the prognosis of patients with gastric cancer has improved as a result the availability of diagnostic techniques and better therapy strategy, gastric cancer was still the second leading cause of cancer related deaths [2]. The dismal prognosis of gastric cancer was due principally to the frequent metastasis. The most frequent type of metastasis in gastric cancer was peritoneal carcinomatosis (PC) [5]. In the Japanese Rules of Gastric Cancer, PC was classified into five categories: P0/Cy0, P0/Cyl1, P1, P2 and P3. P0/Cy0 denoted no macroscopic disease and negative peritoneal wash cytology; P0/Cyl1 meant no macroscopic PC but positive peritoneal wash cytology; P1 denoted PC in the upper abdomen above the transverse colon; P2 meant several countable PC in the peritoneal cavity; and P3 meant numerous PC in the peritoneal cavity. However, we found that some tumor nodules were occasionally found in the peritoneal of gastric cancer patients by histopathological examination. This kind of peritoneal carcinomatosis entitled as microscopic peritoneal carcinomatosis was not included into the gastric cancer staging system. The prognostic significance of microscopic peritoneal carcinomatosis in gastric cancer was still unclear. There have been no prior reports in the literature investigating this type of peritoneal dissemination, and therefore the incidence of microscopic peritoneal carcinomatosis remained unknown. In this study, 121 patients were classified as having microscopic peritoneal carcinomatosis based on the histologic examination, the incidence was 2.7%, which was lower than that of the macroscopic peritoneal carcinomatosis or positive peritoneal lavage cytology [6,7].

In this study, we found that there was a higher incidence of large size tumor, Borrmann IV, and serosal invasion in gastric cancer patients with microscopic peritoneal carcinomatosis than that of patients without microscopic peritoneal carcinomatosis. In one of the earlier study, Kostić et al. [8] showed that a tumor diameter >5 cm, tumor invasion of serosa, histopathological stage of the disease III and IV, and macroscopically visible metastases were the most important risk factors for detection of free cancer cells in patients surgically treated for gastric adenocarcinoma. The exact mechanism that was contributing to microscopic peritoneal carcinomatosis was still not clear. Yonemura [9] suggested that peritoneal dissemination was associated with lymphatic orifices of peritoneal surfaces. The orifices were referred to as the lymphatic stomata, and connected with the subperitoneal lymphatic channel and milky spots. Milky spots were the minute organelles, which contained lymphatic vessels, lymphocytes, and peritoneal macrophages. Intrapertitoneal free cancer cells specifically deposited in the lymphatic stomata, and proliferated in the submesothelial lymphatic space. Additionally, they also found that milky spots distributed mainly on the greater omentum and pelvic peritoneum. According to this theory, we hypothesized that peritoneal cancer nodules should mainly distributed on the greater omentum and pelvic peritoneum. However, this was not the case. In current study, we found that most of the patients (68.6%) had microscopic peritoneal carcinomatosis located in the serosal surface of stomach, and only 22.3% in greater omentum. Therefore, it was possible that there were some other mechanisms which facilitated peritoneal dissemination.

It was well-known that the prognosis of gastric cancer patients with macroscopic peritoneal carcinomatosis or positive peritoneal lavage cytology was dismal. It was reported that the prognosis of patients with peritoneal carcinomatosis and ascites was very poor, with a median survival of 3–6 months and no long-term survivors [10,11]. Saito et al. [12] reported that the 5-year survival rate of advanced gastric cancer with intraperitoneal free cancer cells was 15.3%. Up to now, the prognosis and the clinicopathological characteristics related to the prognosis of gastric cancer patients with microscopic peritoneal carcinomatosis have not been identified. In the current study, the 5-yr survival rate of patients with microscopic peritoneal carcinomatosis was 24%, which was significantly poorer than that of the gastric cancer without

**Table 3. Multivariate analysis on factors in influencing survival.**

| Variable                 | $\chi^2$ | $P$ value | Hazard ratio | 95% CI        |
|--------------------------|----------|-----------|--------------|---------------|
| Sex                      | 0.297    | 0.586     | 1.131        | 0.726–1.762   |
| Age                      | 0.325    | 0.569     | 1.130        | 0.742–1.723   |
| Number of MPC*           | 2.068    | 0.150     | 1.351        | 0.897–2.037   |
| Serosal invasion          | 0.173    | 0.678     | 0.762        | 0.211–2.750   |
| The status of lymph node  |          |           |              |               |
| Pathological stage        |          |           |              |               |
| Operation curability      | 27.844   | 0.000     | 0.212        | 0.119–0.378   |

* MPC microscopic peritoneal carcinomatosis.
microscopic peritoneal carcinomatosis, but better than that of
gastric cancer with macroscopic peritoneal carcinomatosis. The
independent prognostic factors included: pathological stage and
operative curability. The 5-year survival rate was 28% in patients
with curative surgery, and 60% in patients with stage I/II. For the
19 patients presenting with stage I/II who underwent curative
surgery, the 5-year survival rate of these patients was 61%. Therefore,
good survival rate can be expected in I/II stage patients with microscopic peritoneal carcinomatosis, who received
curative gastrectomy.

Although we firstly reported the prognosis of patients with
microscopic peritoneal carcinomatosis, there were some limita-
tions to this study. First, this study was limited by its retrospective
nature, and selection bias may have influenced survival data.
Second, intraoperative chemotherapy was not incorporated into
the analysis. Some studies have the efficacy of intraperitoneal
chemotherapy in gastric cancer patients with peritoneal dissem-
ination [13,14]. Third, the number of patients was small and a
larger number of patients would be required to confirm these
results. Fourth, although all the patients received resection of
peritoneum or biopsies of peritoneum, the part of resected
peritoneum only included greater omentum, lesser omentum,
and transverse mesocolon according to guideline of gastric cancer
therapy. Therefore, the frequency of gastric cancer with micro-
scopic peritoneal carcinomatosis might be higher than the
suggested.

In conclusion, the prognosis of gastric cancer patients with
microscopic peritoneal carcinomatosis was poorer than that of
patients without microscopic peritoneal carcinomatosis. Radical
surgery should be performed for early stage patients with
microscopic peritoneal carcinomatosis in order to improve survival
outcomes.

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Author Contributions
Conceived and designed the experiments: XL YW. Performed the
experiments: XL. Analyzed the data: XL HC. Contributed reagents/
materials/analysis tools: XL HC YW. Wrote the paper: XL. Pathological
examination: WS.

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