Not far enough: Public health policies to combat COVID-19 in Mexico's states

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Abstract

Background
Mexican state governments’ actions are essential to control the COVID-19 pandemic within the country. However, the type, rigor and pace of implementation of public policies have varied considerably between states. Little is known about the subnational (state) variation policy response to the COVID-19 pandemic in Mexico.

Material and methods
We collected daily information on public policies designed to inform the public, as well as to promote distancing, and mask use. The policies analyzed were: School Closure, Workplace Closure, Cancellation of Public Events, Restrictions on Gatherings, Stay at Home Order, Public Transit Suspensions, Information Campaigns, Internal Travel Controls, International Travel Controls, Use of Face Masks We use these data to create a composite index to evaluate the adoption of these policies in the 32 states. We then assess the timeliness and rigor of the policies across the country, from the date of the first case, February 27, 2020.

Results
The national average in the index during the 143 days of the pandemic was 41.1 out of a possible 100 points on our index. Nuevo León achieved the highest performance (50.4); San Luis Potosí the lowest (34.1). The differential between the highest versus the lowest performance was 47.4%.
Conclusions
The study identifies variability and heterogeneity in how and when Mexican states implemented policies to contain COVID-19. We demonstrate the absence of a uniform national response and widely varying stringency of state responses. We also show how these responses are not based on testing and do not reflect the local burden of disease. National health system stewardship and a coordinated, timely, rigorous response to the pandemic did not occur in Mexico but is desirable to contain COVID-19.

Introduction
Latin America became a global epicenter of SARS-CoV2 virus infections and deaths from its associated disease, COVID-19, over the summer of 2020. The pandemic that started in Wuhan, China, at the beginning of 2020, quickly passed to Europe, Canada and the USA [1–3]. Despite the fact that Latin America only has 8% of the global population, since the last week of May it has consistently contributed more than 40% of the daily deaths in the world [4, 5]. Mexico has become an epicenter within the epicenter [6–8] with total accumulated deaths and daily deaths at levels that are highly disproportionate to its population [6–8]. Mexico accounts for roughly 20% of Latin America’s population. The current total deaths in Mexico due to COVID-19 (approximately 320,000), is roughly 40% of the regional total of ~723,000, which is disproportionate to Mexico’s population [9]. The federal response has been limited in each country, placing the public health policymaking burden on the states. The states have responded in widely distinct ways, with substantial variability in policies as well as in the number of cases, deaths and public health responses from authorities [10]. The result in Mexico is 32 distinct pandemics, not one, and important lessons for countries across the region and around the world.

Mexico is a federal country comprised of 32 partially self-governing states. Legal regulations grant states broad responsibilities as health authorities within the National Health System. These responsibilities include containing epidemics. As stated in the General Health Law [11], state governments have the obligation to implement health security measures according to the magnitude of the epidemic and establish mechanisms to reduce the mobility of inhabitants within its borders. This important role has been reinforced throughout the COVID-19 pandemic. When the national government declared the country to be in phase 3 on April 21, the Ministry of Health ratified it through an agreement published in the Official Gazette of the Federation. The agreement gives state governments the responsibility to implement the necessary and adequate public policies to achieve the physical distancing of the population [12].

Mexican state governments’ actions are essential to control the pandemic within the country [13]. However, the type, rigor and pace of implementation of public policies have varied considerably between states, despite the fact that the World Health Organization (WHO) and the international scientific community recommended implementing immediate hygiene and physical distancing measures to reduce the speed of contagion [14–18]. In turn, we expect that the differences in states’ implementation of health measures to control COVID-19 will have a direct and important impact on the health of the Mexican population, as well as on the possibility of controlling the pandemic at the national level. Moreover, the heterogeneity in the governmental response between the states of the country has occurred against the background of pre-existing territorial and social inequalities in the coverage and quality of health services [19, 20]. The result will likely be broad heterogeneity in health outcomes across the Mexican states.
with some states far outperforming others in containing the pandemic, treating infected citizens, and supporting their recovery.

In Mexico, federal measures to institute physical distancing or the so-called "National Healthy Distance Day" began on March 23, 2020, more than three weeks after the first recorded case in the country. On March 14th, public education authorities announced that activities were suspended beginning on the 20th of the same month. On March 24, the official beginning of phase 2, "community transmission", was declared at the national level, thus suspending non-essential government activities and reinforcing confinement measures. During the months of March, April, May and the first weeks of June, 2020, the national health authorities did not recommend the use of face masks for the general population, despite the evidence suggesting that their use is effective in mitigating contagion [2, 15, 21–23]. Thus, compared to most Latin American countries, Mexico lagged behind in the application of national measures of physical distancing and containment of the epidemic, starting from the date of the first detected case of COVID-19. However, the Mexican states each reacted differently relative to the federal response. Fig 1 in S1 Appendix presents Mexico’s national policy response over the course of the pandemic compared to seven other Latin American countries for the indicators we collect.

The purpose of this paper is to present an analysis of the state-level variation in the public health response to the epidemic in Mexico. The analysis is drawn from a unique, daily database of ten public policy measures and how they were implemented sub-nationally. These measures are: 1. School closings; 2. Working from home for non-essential workers, 3. Cancellation of public events, 4. Suspension of public transport, 5. The development of information campaigns, 6. Restriction of trips within the state, 7. Control of international trips, 8. Stay at home guidelines, 9. Restrictions on the size of gatherings and 10. Guidelines for mask coverage.

Our analysis is comprised of a three-step process. First, we analyze each of these 10 policies for each state. Second, we build a composite index, taking data from February 27 (the first case reported in the country) to July 19, 2020. Third, we describe the heterogeneity between the states, in terms of the efficacy and promptness of their response to contain population mobility and promote the use of public health measures among the population.

Materials and methods
Public health policy variables

We developed an ecological study design, with data collection beginning in April 2020 and extending backward to the first recorded case in the country. The study extends to November 30th, 2020. We analyzed 10 variables that are part of the array of policies to contain SARS-CoV2 in each of the 32 Mexican states. Daily data on these policies begins on February 27th, which corresponds to the date of the first case reported in Mexico up until November 30th. We focus on indicators specific to the mobility restrictions and containment of the virus, as these can help explain the health impact in terms of the cases and deaths brought by COVID-19.

We examined whether each measure was being implemented each day, from the date of the first case detected in the country. If it was, we ascertained how rigorously the policy was implemented by coding its application as partial or full. To ensure the quality of the data, a double-blind review was carried out between two of the members of the group. In cases of discrepancy, the whole working group deliberated on the coding until consensus was reached. The breakdown of sources by entity is presented in the methodological appendix (Table 1A in S1 Appendix). Finally, we weigh the timeliness of each of these measures, determined by the date of their adoption.
Table 1 describes the 10 variables and the possible values in their measurement. We assign several discrete levels to the variables to achieve greater granularity in the analysis. These values were determined through deliberations with the research team and advice from external scientists such as the OxCGRT COVID policy tracking team at Oxford University. The variables "school closings", "work from home", "cancellation of public events", "suspension of public transport and / or closure of public transport systems" are categorical and take values of 0 when they have not been implemented, 0.5 when implementation is partial and 1 when it is total. The variable "development of information campaigns" is evaluated through the relative presence or absence of an informational strategy about the virus, the disease, its consequences and containment measures. Values of 0 are assigned if there were no campaigns; 0.5 represents

| Identifier | Name | Description | Coding |
|------------|------|-------------|--------|
| I1 | Record of School and University Closures | 0: No Closure; 0.5: Partial Closure; 1: Complete Closure |
| | School Closure | |
| I2 | Record of Work-Place Closures | 0: No Closure; 0.5 = Partial Business Closure 1 = Complete Closure |
| | Workplace Closure | |
| I3 | Record of the Cancellation of Public Events | 0: No Closure; 0.5: Partial Closure; 1: Complete Closure |
| | Cancellation of Public Events | |
| I4 | Record of Legal Restrictions on Private Gatherings | 0: No Restrictions; 0.25: Bans on Gatherings of More than 1000 People; 0.5: Bans Restricting Gatherings between 100 and 1000; 0.75: Bans Restricting Gatherings between 50 and 100; 1: Bans on Gatherings of More than 10 People |
| | Restrictions on Gatherings | |
| I5 | Record of "Shelter in Place" and other Orders Instructing Individuals to Stay at Home | 0: No Order; 0.5: Partial Order; 1: Full Order |
| | Stay at Home Order | |
| I6 | Record of Suspension of Public Transit | 0: No Closure; 0.5: Partial Closure; 1: Full Closure |
| | Public Transit Suspensions | |
| I7 | Record of Public Information/Health Campaigns | 0: No Campaign; 0.5: Very Limited Campaign 1: Full Campaign |
| | Information Campaigns | |
| I8 | Record of Restrictions on Internal Travel | 0: No Closure; 0.5: Partial Closure; 1: Full Closure |
| | Internal Travel Controls | |
| I9 | Record of Restrictions on International Travel | 0: No Closure; 0.5: Partial Closure; 1: Full Closure |
| | International Travel Controls | |
| I10 | Record of Mask Mandates | 0: No Masks Required 0.5: Masks Recommended 1: Masks Required in Public |
| | Use of Face Masks | |

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the existence of campaigns only of a federal nature; and 1 when there is a state campaign. The variable "travel restrictions within the state" records the implementation of restrictions on internal movement in the state and takes values of 0 when they are not applied; 0.5 when restriction of movement is recommended; and 1 when the state restricted internal movement.

The variable "international travel control guidelines" records international movement restrictions, taking values of 0 when no action was taken; 0.33 when only screening and/or monitoring is applied to international travelers; 0.66 when mandatory quarantine is ordered for travelers in high-risk regions; and 1 when the travel ban to and from high-risk regions is implemented. However, some states may not respond adequately to this variable because they do not have an international sea or airport; Consequently, giving a value of 0 to the states that do not have borders, ocean ports or airports would penalize the state unfairly. For this reason, states without forms of international travel were assigned the value of the daily national average, which corresponds to the states that did respond to said public policy. The stay-at-home guidelines variable measures orders to shelter or confine oneself to the home and takes values of 0 when no recommendation has been issued; 0.33 when there is a recommendation not to leave the house; 0.66 when the instruction is not to leave home except in "essential" cases; and 1 when the closure is complete or requires not leaving the home with minimal exceptions. The variable “restrictions on the size of meetings” refers to the cut-off size on the prohibitions of private meetings, taking values of 0 in the absence of any indication in this regard; 0.25 when the restriction is for meetings of more than 1,000 people; 0.5 applies when the meetings are between 100 and 1,000 people; 0.75 to meetings between 10 and 100; and 1 to meetings of less than 10 people. Table 1 presents the coding for each indicator included in our public policy index. Table 3 presents the mean and standard deviation for each indicator, by state, for the duration of the timeframe under investigation.

As of April 6, the WHO recommended the use of face masks. Therefore, from that date on, we retrospectively added a variable to describe its implementation in each state [24, 25]. This variable takes values of 0 if there are no guidelines; 0.5 when there is a recommendation to use masks; and 1 when mask use is mandatory.

Public policy adoption index

We generated an index that combines the ten variables to create a summary view of state governments’ actions and allows for direct comparisons of how they inform the public, restrict population mobility, maintain public safety, and manage the economic re-opening.

The index is constructed as presented in the following Eq 1:

\[
IPP_i = \left\{ \sum_{j=1}^{n} I_j \star \left[ \left( \frac{d_j}{D_t} \right)^\frac{1}{2} \right] /10 \right\} * 100
\]

Whereby:

\(IPP_i\) = Public policy adoption index in country/state \(i\) in time \(t\).

\(I_j\) = Public Policy Index \(j\), where \(j\) goes from 1 to \(n = 10\).

\(D_t\) = Days from the first registered case until time \(t\).

\(d_j\) = Days from the implementation of policy \(j\) until time \(t\).

The \(IPP_i\) is constructed with the sum of each of the values from the 10 variables, weighted by the day of implementation of each one in relation to the appearance of the first case; the index gives greater weight to early implementation relative to the first case in the country. As such, the index acquires higher values the earlier a certain measure has been implemented.
The ratio \( \frac{dt}{Dt} \) is continuous and goes from 0, when policy \( j \) has not yet been implemented in state \( i \) at time \( t \), up to 1, in instances where public policy has been implemented at the same time \( t \) in which the first case appears. This makes it possible to take into account that public containment policies have less effect on containing the virus the later they are adopted. To this end, we raise the ratio \( \frac{dt}{Dt} \) to the power \( (1/2) \), to reflect decreasing policy efficacy with delays in policy implementation. For more detail, please review the methodological S1 Appendix.

In the aggregate, each state \( i \) receives a daily score between 0 and 10, which reflects the sum of the different policy dimensions and then normalized to 100. The maximum value of the index is 100 but obtaining it would not be realistic or desirable since it would imply a total closure of the state the day after the first case.

**Sources of information**

We gathered data from three types of publicly available sources. First, we reviewed official government websites and state registers for each of the 32 states and the federal district, to capture laws, decrees, and news items specifying implementation of each public policy variable. Then, we cross-referenced this material against multiple news’ outlets’ database of Mexican state laws and decrees. We also used official newspapers, local newspapers and news shared by representatives on social media accounts such as Twitter. Finally, we used Table 1A in S1 Appendix for the breakdown of sources by entity. The data that we present in this article are from February 26 to November 30th, 2020.

A double-blind review was carried out by two of the authors to ensure the quality of the data. The review first consisted of randomly selecting members of the group to review randomly selected scores from among those that others coded. Next, these coders re-coded data for those states without having seen the original scores. The second coder did not know who coded the original data and the original coder did not know who would do the review. In cases of discrepancy, the whole working group deliberated on the coding until consensus was reached.

**Results**

Table 2 presents the main sociodemographic statistics by state [26, 27].

Table 3 presents descriptive statistics of the 10 public policy variables, up to November 30, 2020. Results indicate that “School closing” was the most homogeneously implemented policy. The national weighted average for this variable is 0.92, with 255 days of implementation. However, the length of time the policy has been in place ranges from 243 days of implementation in Puebla, to 200 days in Querétaro and Tlaxcala.

This measure was followed by “Public information campaigns” policy, with a national level of 0.89 and 257 days of implementation, and “mask-wearing guidelines”, with 0.69 and 227 days of implementation. The public policy with the lowest rate of implementation was “international travel restrictions”, which only reached a national level of 0.08, with 90 days of implementation. The Mexican federal government endorsed the use of facemasks on April 10th, later than other policies. There is substantial variation in its implementation. In this case, the values range from 0.24 in Chiapas, to 0.86 in Nuevo León, the state with the best score, followed by the Ciudad de México, Quintana Roo, and Tamaulipas with 0.84, and Puebla (83.1). The national average for this variable since the period the policy was implemented is 0.69. This is higher than restrictions on international travelers, travel restrictions within the state, suspension of public transport, and suspension of work, that some states implemented in the first days of March.
Table 2. Main sociodemographic statistics by state.

| State               | Population (2020) | Marginalization Index (2015) | Level of marginalization (2015) | GDP Per Capita (2018) | % Population Below the Poverty Line (2018) | Public spending on health (as % of GDP) (2018) | Public Health Spending Per Capita (2018) |
|---------------------|-------------------|------------------------------|---------------------------------|-----------------------|--------------------------------------------|---------------------------------------------|------------------------------------------|
| Aguascalientes     | 1,434,635         | -0.89                        | Low                             | 218,086               | 26.2                                       | 2.4                                         | 5,633                                    |
| Baja California    | 3,634,868         | -1.1                         | Very Low                        | 204,619               | 23.3                                       | 2.5                                         | 5,065                                    |
| Baja California Sur| 804,708           | -0.6                         | Low                             | 289,263               | 18.1                                       | 2.3                                         | 6,524                                    |
| Campeche           | 1,000,617         | 0.46                         | High                            | 549,456               | 46.2                                       | 1.0                                         | 6,020                                    |
| Coahuila           | 5,730,367         | 2.41                         | Very High                       | 145,958               | 22.5                                       | 2.0                                         | 5,381                                    |
| Colima             | 3,801,487         | -0.6                         | Low                             | 36,546                | 30.9                                       | 3.2                                         | 5,841                                    |
| Chiapas            | 3,218,720         | -1.1                         | Very Low                        | 105,820               | 76.4                                       | 5.3                                         | 3,294                                    |
| Chihuahua          | 785,153           | -0.73                        | Low                             | 955,086               | 26.3                                       | 2.8                                         | 5,575                                    |
| Mexico City        | 9,053,990         | -1.45                        | Very Low                        | 401,060               | 30.6                                       | 2.9                                         | 11,947                                   |
| Durango            | 1,868,996         | 0.05                         | Medium                          | 137,777               | 37.3                                       | 3.4                                         | 4,863                                    |
| Guanajuato         | 6,228,175         | -0.07                        | Medium                          | 157,075               | 43.4                                       | 2.8                                         | 4,538                                    |
| Guerrero           | 3,657,048         | 2.56                         | Very High                       | 83,837                | 66.5                                       | 4.9                                         | 4,181                                    |
| Hidalgo            | 3,086,414         | 0.5                          | High                            | 120,988               | 43.8                                       | 3.2                                         | 4,056                                    |
| Jalisco            | 8,409,693         | -0.82                        | Low                             | 187,299               | 28.4                                       | 2.4                                         | 4,646                                    |
| State of Mexico    | 17,427,790        | -0.57                        | Low                             | 112,403               | 42.7                                       | 3.8                                         | 4,233                                    |
| Michoacan          | 4,825,401         | 0.5                          | High                            | 115,901               | 46.0                                       | 3.2                                         | 3,821                                    |
| Morelos            | 2,044,058         | -0.2                         | Medium                          | 121,557               | 50.8                                       | 3.5                                         | 4,396                                    |
| Nayarit            | 1,288,571         | 0.31                         | Medium                          | 119,990               | 34.8                                       | 4.0                                         | 4,779                                    |
| Nuevo Leon         | 5,610,153         | -1.39                        | Very Low                        | 302,258               | 14.5                                       | 1.6                                         | 5,033                                    |
| Oaxaca             | 4,143,593         | 2.12                         | Very High                       | 84,957                | 66.4                                       | 4.6                                         | 3,943                                    |
| Puebla             | 6,889,660         | 0.69                         | High                            | 110,751               | 58.9                                       | 3.1                                         | 3,709                                    |
| Queretaro          | 2,279,637         | -0.49                        | Low                             | 231,246               | 27.6                                       | 1.9                                         | 4,734                                    |
| Quintana Roo       | 1,723,259         | -0.37                        | Medium                          | 205,234               | 27.6                                       | 2.4                                         | 4,918                                    |
| San Luis Potosi    | 2,866,142         | 0.58                         | High                            | 175,802               | 43.4                                       | 2.3                                         | 4,033                                    |
| Sinaloa            | 3,156,674         | -0.24                        | Medium                          | 155,199               | 30.9                                       | 2.9                                         | 4,681                                    |
| Sonora             | 3,074,745         | -0.7                         | Low                             | 243,736               | 28.2                                       | 2.5                                         | 6,013                                    |
| Tabasco            | 2,572,287         | 0.3                          | Medium                          | 191,878               | 53.6                                       | 2.6                                         | 5,234                                    |
| Tamaulipas         | 3,650,602         | -0.62                        | Low                             | 178,564               | 35.1                                       | 3.1                                         | 5,563                                    |
| Tlaxcala           | 1,380,011         | -0.2                         | Medium                          | 91,855                | 48.4                                       | 4.3                                         | 4,140                                    |
| Veracruz           | 8,539,862         | 1.14                         | High                            | 117,845               | 61.8                                       | 3.8                                         | 4,606                                    |
| Yucatan            | 2,259,098         | 0.51                         | High                            | 144,795               | 40.8                                       | 4.3                                         | 6,405                                    |
| Zacatecas          | 1,666,426         | 0.01                         | Medium                          | 121,356               | 46.8                                       | 3.8                                         | 4,729                                    |
| National           | 128,112,840       | -0.02                        | Medium                          | 173,216               | 41.9                                       | 2.8                                         | 5,223                                    |

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Table 3. Descriptive statistics of the 10 public policy variables by state. From February 27 to November 30, 2020.

| State                  | School closing Mean | Workplace closing Mean | Cancel public events Mean | Close public transport Mean | Public information campaigns Mean | Restrictions on internal movement Mean | International travel restrictions Mean | Stay at home measures Mean | Restrictions on sizes of gatherings Mean | Mask-wearing guidelines Mean |
|------------------------|---------------------|------------------------|---------------------------|-----------------------------|----------------------------------|----------------------------------------|-------------------------------------|-------------------------------|-----------------------------------------|-------------------------------|
| Aguascalientes        | 0.92                | 0.40                   | 0.66                      | 0.14                        | 0.91                             | 0.00                                   | 0.00                                | 0.68                          | 0.66                                     | 0.82                          |
| Abílmaría              | 0.27                | 0.07                   | 0.27                      | 0.29                        | 0.00                             | 0.00                                   | 0.39                                | 0.24                          | 0.36                                     |                               |
| Days of implementation | 256                 | 252                    | 263                       | 248                         | 253                              | 0                                      | 0                                   | 253                           | 246                                     | 237                           |
| Baja California       | 0.92                | 0.36                   | 0.94                      | 0.00                        | 0.91                             | 0.21                                   | 0.19                                | 0.68                          | 0.66                                     | 0.41                          |
| Abílmaría              | 0.27                | 0.15                   | 0.25                      | 0.29                        | 0.25                             | 0.24                                   | 0.24                                | 0.39                          | 0.24                                     | 0.19                          |
| Days of implementation | 249                 | 245                    | 253                       | 246                         | 242                              | 106                                     | 243                                | 239                           | 221                                     |                               |
| Baja California Sur   | 0.92                | 0.40                   | 0.83                      | 0.00                        | 0.91                             | 0.13                                   | 0.17                                | 0.68                          | 0.66                                     | 0.77                          |
| Abílmaría              | 0.27                | 0.16                   | 0.30                      | 0.29                        | 0.22                             | 0.24                                   | 0.24                                | 0.39                          | 0.24                                     | 0.42                          |
| Days of implementation | 256                 | 252                    | 258                       | 253                         | 244                              | 262                                     | 253                                | 246                           | 213                                     |                               |
| Campeche              | 0.92                | 0.21                   | 0.58                      | 0.00                        | 0.46                             | 0.16                                   | 0.16                                | 0.40                          | 0.45                                     | 0.81                          |
| Abílmaría              | 0.26                | 0.22                   | 0.34                      | 0.14                        | 0.23                             | 0.23                                   | 0.36                                | 0.34                          | 0.39                                     |                               |
| Days of implementation | 256                 | 246                    | 264                       | 253                         | 259                              | 257                                     | 252                                | 246                           | 225                                     |                               |
| Coahuila              | 0.92                | 0.36                   | 0.63                      | 0.00                        | 0.90                             | 0.12                                   | 0.00                                | 0.73                          | 0.67                                     | 0.79                          |
| Abílmaría              | 0.27                | 0.15                   | 0.30                      | 0.30                        | 0.21                             | 0.00                                   | 0.35                                | 0.24                          | 0.41                                     |                               |
| Days of implementation | 256                 | 252                    | 257                       | 251                         | 235                              | 0                                       | 252                                | 247                           | 220                                     |                               |
| Colima                | 0.93                | 0.45                   | 0.93                      | 0.17                        | 0.93                             | 0.32                                   | 0.00                                | 0.68                          | 0.66                                     | 0.40                          |
| Abílmaría              | 0.25                | 0.13                   | 0.25                      | 0.25                        | 0.15                             | 0.00                                   | 0.38                                | 0.24                          | 0.20                                     |                               |
| Days of implementation | 259                 | 259                    | 259                       | 259                         | 242                              | 0                                       | 254                                | 246                           | 225                                     |                               |
| Chiapas               | 0.92                | 0.33                   | 0.94                      | 0.26                        | 0.87                             | 0.00                                   | 0.00                                | 0.73                          | 0.64                                     | 0.238                         |
| Abílmaría              | 0.27                | 0.16                   | 0.24                      | 0.25                        | 0.30                             | 0.00                                   | 0.35                                | 0.26                          | 0.12                                     |                               |
| Days of implementation | 256                 | 252                    | 261                       | 241                         | 256                              | 0                                       | 0                                   | 250                           | 246                                     | 221                          |
| Chihuahua             | 0.91                | 0.31                   | 0.64                      | 0.12                        | 0.86                             | 0.20                                   | 0.46                                | 0.63                          | 0.67                                     | 0.82                          |
| Abílmaría              | 0.29                | 0.20                   | 0.36                      | 0.21                        | 0.34                             | 0.21                                   | 0.32                                | 0.22                          | 0.38                                     |                               |
| Days of implementation | 253                 | 252                    | 251                       | 226                         | 240                              | 249                                     | 256                                | 253                           | 252                                     | 231                          |
| Estado de México      | 0.92                | 0.33                   | 0.73                      | 0.13                        | 0.91                             | 0.00                                   | 0.00                                | 0.68                          | 0.69                                     | 0.8345                        |
| Abílmaría              | 0.27                | 0.15                   | 0.31                      | 0.22                        | 0.29                             | 0.00                                   | 0.39                                | 0.19                          | 0.36                                     |                               |
| Days of implementation | 256                 | 256                    | 259                       | 241                         | 253                              | 0                                       | 0                                   | 253                           | 261                                     | 236                          |
| Durango               | 0.91                | 0.29                   | 0.54                      | 0.19                        | 0.93                             | 0.30                                   | 0.16                                | 0.73                          | 0.66                                     | 0.82                          |
| Abílmaría              | 0.29                | 0.18                   | 0.34                      | 0.23                        | 0.26                             | 0.18                                   | 0.34                                | 0.25                          | 0.38                                     |                               |
| Days of implementation | 253                 | 252                    | 243                       | 250                         | 258                              | 251                                     | 251                                | 255                           | 243                                     | 229                          |
| Guanajucho            | 0.93                | 0.27                   | 0.76                      | 0.32                        | 0.93                             | 0.31                                   | 0.00                                | 0.59                          | 0.68                                     | 0.42                          |
| Abílmaría              | 0.25                | 0.20                   | 0.36                      | 0.24                        | 0.25                             | 0.24                                   | 0.00                                | 0.33                          | 0.20                                     | 0.19                          |
| Days of implementation | 259                 | 252                    | 259                       | 243                         | 259                              | 235                                     | 0                                   | 247                           | 259                                     | 232                          |
| Guerrero              | 0.91                | 0.34                   | 0.57                      | 0.11                        | 0.91                             | 0.16                                   | 0.15                                | 0.57                          | 0.67                                     | 0.41                          |
| Abílmaría              | 0.29                | 0.14                   | 0.35                      | 0.21                        | 0.29                             | 0.23                                   | 0.23                                | 0.28                          | 0.22                                     | 0.19                          |
| Days of implementation | 253                 | 252                    | 252                       | 215                         | 253                              | 242                                     | 244                                | 255                           | 252                                     | 228                          |

Continued
Table 3. (Continued)

| State          | Mean | Std. Dev. | Days of implementation |
|----------------|------|-----------|------------------------|
|                | 0.91 | 0.29      | 253 252 252 255 255 243 0 259 252 221 |
| Hidalgo        | 0.93 | 0.25      | 259 252 263 259 259 259 252 256 263 228 |
| Jalisco        | 0.91 | 0.29      | 253 252 260 237 259 238 0 253 260 237 |
| Mexico City    | 0.91 | 0.29      | 258 252 258 244 274 235 0 264 252 234 |
| Michoacan      | 0.91 | 0.29      | 253 252 260 259 269 259 259 269 252 229 |
| Morelos        | 0.93 | 0.26      | 258 252 258 244 274 235 0 264 252 234 |
| Nayarit        | 0.91 | 0.29      | 253 252 260 259 269 259 259 269 252 229 |
| Nuevo Leon     | 0.91 | 0.29      | 253 251 260 231 260 226 0 260 252 229 |
| Oaxaca         | 0.91 | 0.29      | 253 252 263 245 245 242 265 254 252 228 |
| Puebla         | 0.91 | 0.29      | 243 246 252 224 259 222 0 253 252 231 |
| Queretaro      | 0.94 | 0.25      | 260 252 254 228 266 0 0 264 252 229 |
| Quintana Roo   | 0.91 | 0.29      | 253 253 245 242 239 252 0 278 252 232 |
| San Luis Potosi| 0.91 | 0.29      | 253 253 260 231 253 0 0 253 259 224 |

(Continued)
The second and third least implemented measures were "close public transport", with an average level of 0.17 and 212 days, and "restrictions on internal movement", with an average level of 0.21 and 205 days.

It should be noted that the correlation of each of the 10 individual public policy variables in the observed period (February 27 to November 30) was very high, ranging between 0.70 and 0.95. The use of face masks behaves differently from the others as expected (see methodological S1 Appendix). Please also see Table 2A in S1 Appendix for state-level data on total deaths, mortality rate, and fatality rate. See Table 3A in S1 Appendix of the Appendix for correlations.

### Table 3. (Continued)

| State          | School closing | Workplace closing | Cancel public events | Close public transport | Public information campaigns | Restrictions on internal movement | International travel restrictions | Stay at home measures | Restrictions on sizes of gatherings | Mask-wearing guidelines |
|----------------|----------------|--------------------|----------------------|------------------------|----------------------------|----------------------------------|----------------------------------|-----------------------|-------------------------------------|-------------------------|
| Sinaloa        | Mean 0.92      | 0.29               | 0.41                 | 0.21                   | 0.27                       | 0.00                             | 0.60                             | 0.68                  | 0.40                                |                         |
|                | Std. Dev. 0.27 | 0.21               | 0.15                 | 0.25                   | 0.27                       | 0.22                             | 0.00                             | 0.33                  | 0.21                                | 0.20                   |
| Days of        | 256            | 252                | 256                  | 252                    | 256                       | 241                              | 0                                | 253                   | 256                                | 223                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Sonora         | Mean 0.93      | 0.26               | 0.62                 | 0.21                   | 0.26                       | 0.21                             | 0.71                             | 0.93                  | 0.43                                |                         |
|                | Std. Dev. 0.25 | 0.23               | 0.36                 | 0.25                   | 0.25                       | 0.23                             | 0.25                             | 0.33                  | 0.25                                | 0.17                   |
| Days of        | 259            | 253                | 259                  | 248                    | 259                       | 255                              | 211                             | 253                   | 259                                | 241                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Tabasco        | Mean 0.91      | 0.28               | 0.43                 | 0.26                   | 0.47                       | 0.28                             | 0.00                             | 0.58                  | 0.68                                | 0.81                   |
|                | Std. Dev. 0.24 | 0.24               | 0.19                 | 0.25                   | 0.12                       | 0.24                             | 0.00                             | 0.28                  | 0.20                                | 0.39                   |
| Days of        | 253            | 252                | 262                  | 250                    | 263                       | 255                              | 253                             | 262                   | 225                                |                         |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Tabasco        | Mean 0.93      | 0.27               | 0.66                 | 0.24                   | 0.93                       | 0.28                             | 0.00                             | 0.51                  | 0.93                                | 0.83453                |
|                | Std. Dev. 0.25 | 0.24               | 0.36                 | 0.25                   | 0.25                       | 0.24                             | 0.00                             | 0.31                  | 0.24                                | 0.37                   |
| Days of        | 259            | 249                | 262                  | 250                    | 259                       | 258                              | 0                                | 253                   | 262                                | 231                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Tabasco        | Mean 0.94      | 0.26               | 0.58                 | 0.17                   | 0.94                       | 0.18                             | 0.00                             | 0.51                  | 0.89                                | 0.80                   |
|                | Std. Dev. 0.25 | 0.23               | 0.37                 | 0.24                   | 0.25                       | 0.22                             | 0.00                             | 0.31                  | 0.31                                | 0.40                   |
| Days of        | 260            | 252                | 248                  | 226                    | 260                       | 208                              | 0                                | 253                   | 248                                | 222                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Veracruz       | Mean 0.93      | 0.27               | 0.41                 | 0.24                   | 0.94                       | 0.26                             | 0.00                             | 0.51                  | 0.65                                | 0.43                   |
|                | Std. Dev. 0.25 | 0.24               | 0.14                 | 0.25                   | 0.25                       | 0.24                             | 0.00                             | 0.31                  | 0.19                                | 0.17                   |
| Days of        | 259            | 252                | 262                  | 245                    | 260                       | 244                              | 0                                | 253                   | 262                                | 239                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Yucatan        | Mean 0.93      | 0.35               | 0.65                 | 0.17                   | 0.93                       | 0.36                             | 0.18                             | 0.73                  | 0.68                                | 0.79                   |
|                | Std. Dev. 0.25 | 0.16               | 0.33                 | 0.24                   | 0.25                       | 0.15                             | 0.24                             | 0.33                  | 0.21                                | 0.40                   |
| Days of        | 259            | 252                | 249                  | 255                    | 259                       | 262                              | 0                                | 253                   | 253                                | 221                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| Zacatecas      | Mean 0.91      | 0.37               | 0.53                 | 0.11                   | 0.91                       | 0.30                             | 0.00                             | 0.72                  | 0.68                                | 0.79                   |
|                | Std. Dev. 0.29 | 0.15               | 0.24                 | 0.21                   | 0.26                       | 0.19                             | 0.00                             | 0.36                  | 0.22                                | 0.41                   |
| Days of        | 252            | 252                | 254                  | 224                    | 261                       | 212                              | 0                                | 253                   | 254                                | 220                    |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |
| National       | Mean 0.92      | 0.32               | 0.65                 | 0.17                   | 0.89                       | 0.21                             | 0.08                             | 0.64                  | 0.69                                | 0.69                   |
|                | Std. Dev. 0.27 | 0.18               | 0.30                 | 0.21                   | 0.25                       | 0.18                             | 0.08                             | 0.34                  | 0.23                                | 0.33                   |
| Days of        | 255.06         | 251.38             | 256.56               | 212.28                 | 257.06                     | 204.84                           | 90.03                            | 255.19                | 253.09                               | 227.31                 |
| implementation |                |                    |                      |                        |                            |                                  |                                  |                       |                                     |                         |

Maximum: Green
Minimum: Red

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The second and third least implemented measures were "close public transport", with an average level of 0.17 and 212 days, and "restrictions on internal movement", with an average level of 0.21 and 205 days.

It should be noted that the correlation of each of the 10 individual public policy variables in the observed period (February 27 to November 30) was very high, ranging between 0.70 and 0.95. The use of face masks behaves differently from the others as expected (see methodological S1 Appendix). Please also see Table 2A in S1 Appendix for state-level data on total deaths, mortality rate, and fatality rate. See Table 3A in S1 Appendix of the Appendix for correlations.
Table 4. Index scores for physical distancing policy adoption and containment of COVID-19 in Mexico by state. From February 27 to November 30, 2020.

| State            | Mean Index Score | Jalisco | Nuevo León | Nayarit | Colima | Sonora | Yucatán | Tamaulipas | Guanajuato | Baja California | Hidalgo | Chiapas | Baja California Sur | Chihuahua | Zacatecas | Puebla | Querétaro | Ciudad de México | Aguascalientes | Tlaxcala | Coahuila | Sinaloa | Veracruz | Guerrero | Quintana Roo | San Luis Potosí | Tabasco | Campeche | Nacional |
|------------------|------------------|---------|------------|---------|--------|--------|---------|------------|------------|----------------|---------|---------|---------------------|-----------|-----------|--------|-----------|-----------------|---------------|----------|---------|---------|---------|---------|---------------|--------------|---------|---------|---------|
| Until February 29th | 47.3569          | 63.2827 | 67.3357    | 51.4893 | 52.4419 | 53.1777 | 53.59325 | 64.55663    | 68.4975    | 52.5969314     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until March 31   | 42.46835         | 57.95165 | 62.07162   | 64.30029 | 65.43574 | 51.55481 | 51.91467 | 52.24049    | 52.46473   | 52.2020393     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until April 30   | 43.53207         | 56.1614 | 60.02799   | 64.52032 | 65.6061  | 51.6267  | 52.00305  | 52.2933     | 38.50625   | 51.1981949     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until May 31     | 33.34629         | 49.8996 | 54.40194   | 56.10257 | 57.32844 | 58.15503 | 58.71015  | 59.13877    | 57.3154     | 50.016975      |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until June 30    | 40.52565         | 59.30546 | 66.47271   | 69.48065 | 56.95437 | 40.26375 | 40.59581  | 40.85262    | 41.04552    | 48.665318      |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until July 31    | 39.75408         | 58.88987 | 60.66591   | 49.06281 | 59.27524 | 50.85899 | 51.34493  | 51.72027    | 38.2079     | 48.3334657     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until August 30   | 41.74946         | 54.12225 | 58.04113   | 52.28958 | 67.6600  | 40.38455 | 40.69994  | 40.94324    | 41.12603    | 47.0477256     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until September 30 | 31.74693       | 49.12234 | 55.79036   | 60.48778 | 61.96772 | 62.95732 | 37.86012  | 38.11201    | 38.30112    | 46.819219      |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until October 31  | 28.57295         | 44.46365 | 48.93438   | 57.39548 | 51.77824 | 53.84047 | 54.37518  | 55.47343    | 56.24260    | 46.1803971     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |
| Until November 30 | 28.57644         | 48.04688 | 53.45245   | 60.51312 | 54.89345 | 50.60292 | 51.12647  | 51.53058    | 51.83369    | 46.0242238     |         |         |                         |           |           |        |           |                 |               |          |         |         |         |         |               |              |         |         |        |

Maximum: Green
Minimum: Red

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between Covid-19 deaths and lagged policy index scores, by state, to assess the possibility that the burden of disease is driving policy choices among the states.

We analyze the performance of the states in the public policy index considering cuts at different dates (Table 4). As of June 14, some of these public policies began to relax with the implementation of the weekly epidemiological “traffic light”, approved by the General Health Council and put into effect by the federal government on June 1st. For this reason, we begin to see a drop in the index for some states. For example, until May 31 Jalisco had the highest score
in the index; However, due to the relaxation of some policies, its average index fell and was surpassed by Nuevo León, with an average level of 50.4.

To compare performance between states, we used the global average for the entire period as the indicator of the accumulated trend during the 277 days of the pandemic. Jalisco is the state with the highest average index (52.6), followed by Nuevo León (52.2), Nayarit (51.2), Colima (50.0), Sonora (48.7), Yucatán (48.3) and Tamaulipas (47.0). In contrast, we see the lowest scores in the index in the period in Campeche (31.7), followed by Tabasco (38.3), San Luis Potosí (39.0), Quintana Roo (41.0), Guerrero (41.4) and Veracruz (41.4). The national average in the period reached a level of 44.8. As shown, there are considerable differences in policy implementation across the country; the average index value for the state with the highest score is 65.7% greater than that of the lowest state.

Fig 1 provides a description of the timing and rigor in the adoption of policies in Mexican states in the first months of the pandemic. The graph reflects great heterogeneity in the timing of policy implementation to mitigate the spread of COVID-19. Some states, such as Nuevo León, Morelos, Nayarit, and Quintana Roo, anticipated federal instructions that devolved public health responsibility to the states and were the first to introduce policies to contain the virus. Others, such as Guerrero, Chihuahua, Sinaloa and Zacatecas, did not expect to be given this responsibility and acted later than the rest of the entities.

The graph also shows that states such as Sonora, Jalisco, and Nuevo León have implemented public policy measures with the greatest rigor. It is worth noting that the variance has increased during the period of time reported in this paper. This indicates that the difference in the number and rigor of public policies between states increased over time but began to converge as the national epidemiological traffic light came into effect.
Durango and Chihuahua, among others, adopted public health measures later than the other states, but showed improvement in the index towards the second and third week of April. For example, Chihuahua went from one of the worst states in the country to slightly above the national average in late May. In Durango, the policy correction was such that the state reached the ninth position (out of 32) in the average index as of June 12.

An additional group of states remained near the national average throughout the period and maintained regular policy implementation. These include the State of Mexico, Guanajuato, Tlaxcala, Puebla, Chihuahua, Michoacán, Colima, Querétaro, Guerrero, and Mexico City. Finally, some states have consistently underperformed in the index throughout the period, such as San Luis Potosí, Zacatecas, Chiapas, Coahuila, Baja California, Sinaloa, and Tabasco.

Finally, eighteen states had already begun to relax some of their policies, especially restrictions on public transportation, the suspension of work, and the directive to stay at home, during the latter portion of the timeframe under investigation. These relaxations are reflected in a considerable drop in policy index scores for Aguascalientes, Baja California Sur, Campeche, Chihuahua, Coahuila, Durango, Guerrero, Hidalgo, Jalisco, Michoacán, Morelos, Oaxaca, Querétaro, Quintana Roo, Tamaulipas, Veracruz, Yucatán, Zacatecas.

**Discussion**

The governments of some states decided to implement public policies to combat COVID-19 before others and before national measures were implemented. Eight states of the republic—Guanajuato, Jalisco, Michoacán, Nuevo León, Tamaulipas, Tlaxcala, Veracruz and Yucatán—suspended classes and request their populations remain at home to avoid spreading the virus before the start of the National Healthy Distance Day. In this context, Nuevo León and Jalisco and its metropolitan areas of Monterrey and Guadalajara, respectively, stand out as positive examples. In both cases, state governments established policies to promote distancing, such as the cancellation of classes and mass events, before national measures were enacted. Other states, in contrast, limited themselves to following the guidelines of the federal government; these states reacted slowly and incompletely. Thus, both the number of public measures to contain contagion as well as their rigor and implementation time have varied considerably within the country during the health emergency.

In Mexico, the policy decisions of the state governments are essential to understand the evolution of the epidemic in the country. Given their legal powers, the state governments were the first line of defense in the face of the pandemic. Subnational governments acted at different times and with very different degrees of effectiveness. But, it is important to take into account the different socioeconomic circumstances facing the different states [28]. In some states, such as Quintana Roo, Baja California Sur, and Nayarit, whose main economic activity is tourism, governments implemented information campaigns and international travel restrictions.

State-level variation in terms of poverty is high in Mexico. Yet, we do not find a clear association between policy index scores and the states’ levels of poverty or marginalization. Chiapas, for example, has a similar level of poverty and marginalization to Oaxaca. Even though Chiapas’ average score improves in the latter dates of our reporting period, Chiapas displays a lower average performance than Oaxaca. Oaxaca demonstrates an average performance above the national value overall, but its index score fell in the last two weeks of the study due to the relaxation of its policies.

Our results point to the need for timely and rigorous state-level responses to contain the spread of infectious disease, particularly during a pandemic. Moving forward, it remains fundamental for states to be able to implement mitigation measures from the beginning of a pandemic, without having to wait for their state-powers to be ratified at the federal level. Our findings thus also show the need for clear mechanisms that guarantee states such ability and
authority. Moreover, better coordination between states and multi-lateral organizations, like the Pan American Health Organization (PAHO) and the World Health Organization (WHO), is needed, especially in the absence of a consistent national response.

**Limitations**

This work has some limitations. First, the analysis relies on a weighted index, based on the date of the first national case, suggesting that all states had to act at the same time. The index "penalizes" or "rewards" every state equally in terms of when policies began to be implemented. However, every state did not have its first case at precisely the same time. Second, the index weighs variables or policies as equally important in the containment of COVID-19. Yet, this is an assumption that requires empirical evaluation, once additional, accurate data on the number of cases, mortality, health services, case diagnosis, and mortality, become available. Third, the available data provides information on when policies were implemented but not on when decisions were made and the justifications that policymakers provided. Such information would be useful to better understand how decision-making processes impacted the course of the pandemic at the subnational level, which remains a goal for future research. Finally, we also acknowledge possible sources of bias from our sources, such as: vague language in state decrees, delays in posting decrees on government websites, failure to post decrees on websites, and failure to update websites when decrees were relaxed, abandoned, or re-implemented. As such, we maintained careful documentation of sources, cross-checked data, and documented all precedent-setting coding decisions to minimize such inconsistencies. Data included herein reflect the information available at the time of manuscript submission; new information is emerging rapidly during the pandemic, and states’ trajectories could shift over time.

**Conclusion**

Our analysis shows how evaluating public policies at the national level hides important heterogeneity between states. This diversity of policy responses has a direct impact on the how effectively states contain the virus. The lack of policy uniformity for physical distancing and containment of COVID-19 in the country shows that state governments have been the main sources for policy in the area.

The heterogeneity in the states’ policy response highlights the need for a subnational approach to analyze government action to the COVID-19 pandemic—especially in the absence of a consistent national response. It is in this sense that the data and analysis presented here make an original and fundamental contribution [29, 30]. Other efforts to document, analyze and measure the effectiveness of the implementation of public policies in the face of COVID-19 have adopted a national vision [31], Those studies offer a useful overview, but are subject to what in the social sciences has been called the "whole country bias" [32]. Instead, we showcase the limitations of national-level, aggregate analyses by focusing on the subnational level in Mexico, a country with extensive territories and where subnational governments have played a crucial role to mitigate the spread of the pandemic.

A timely, rigorous, coordinated response to the pandemic has been missing in Mexico. The national government and many state governments have not gone far enough to implement NPI in a way that slows the spread of COVID-19.

**Supporting information**

S1 Appendix.
(DOCX)
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