Uveitis causes according to immune status of patients

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ABSTRACT.

Purpose: The advances in medicine have led to an increased number of people living with some form of immunodeficiency. Most ocular infections in immunocompromised patients may lead to irreversible blindness. We identify the causes of uveitis in immunocompetent and immunocompromised patients.

Methods: A retrospective cohort study of 1354 consecutive patients. All patients underwent a standard work-up for uveitis.

Results: An immunocompromised state was identified in 171/1354 patients (13%), of whom 40 had Human immunodeficiency virus (HIV) infection, 52 received immunosuppressive medications, 28 had concurrent malignant disorder and 20 had other causes for their immunosuppression. In addition, 93/1354 patients (7%) had diabetes mellitus (DM). The prevalence of intraocular infections was much higher in immunocompromised patients than in immunocompetent patients and DM (p < 0.001). Causes of uveitis differed between the diverse immunocompromised groups. The non-HIV immunocompromised patients showed primarily intraocular herpes simplex and varicella zoster virus infections, whilst HIV-positive patients exhibited frequently cytomegalovirus (CMV) retinitis and syphilis. Patients with generalized malignancies were characterized by a lower prevalence of infections and higher prevalence of sarcoidosis. Patients with DM typically showed sarcoidosis and bacterial intraocular infections. The percentage of undetermined uveitis diagnoses was markedly lower in immunosuppressed patients (p < 0.001).

Conclusion: In immunocompromised patients with uveitis, infections were diagnosed in 46% of cases in contrast to 12% in the immunocompetent patients. The causes of uveitis differed among the various types of immunosuppression. Immunocompromised patients with uveitis require a rapid assessment for the most expected infections.

Key words: diabetes mellitus – immunosuppression – infections – malignancy – transplantations – uveitis

Introduction

Uveitis is a clinical syndrome of multiple origins, which can lead to permanent visual impairment and blindness. Uveitis can be principally divided into infectious or non-infectious category and the early distinction is essential for the treatment and visual outcome of the patients.

The advances in therapy for malignancies and autoimmune disorders together with the improved options in transplantation medicine have led to an increased number of people living with some form of immunodeficiency. Obviously, the specific causes and clinical impact of uveitis differ between immunocompromised and immunocompetent patients. In immunocompetent patients, the most frequently encountered uveitis types are associated with systemic non-infectious immune mediated diseases (Barisani-Asenbauer et al. 2012; Bajwa et al. 2015; Jones 2015; Llorenç et al. 2015; Luca et al. 2017; Zagora et al. 2017). In contrast, the causes of uveitis in immunocompromised patients include predominantly opportunistic infections (Westeneng et al. 2007). Recognition of the exact cause of uveitis in immunocompromised patients is challenging, as clinical features are commonly overlapping, infections might have multiple origins and moreover, results of laboratory tests are often not reliable. Moreover, since serologic tests are not informative on local processes occurring in the eye, the confirmation of infection from intraocular fluid samples is imperative.
Herein, we assess the immune status of 1354 consecutive patients with uveitis and relate the causes of intraocular inflammation to the immune status of the patients.

**Patients and Methods**

**Study population**

We conducted a retrospective cohort study at the department of Ophthalmology of the Erasmus Medical Center (Rotterdam, The Netherlands), which represents a tertiary referral centre. This study was performed with the approval of the Medical Ethics Committee of our institution. All data were extracted from medical records of patients and the research has followed the Tenets of the Declaration of Helsinki.

Between September 2012 and December 2016, 1454 consecutive patients with uveitis were seen in our institution. Excluded were 23 patients, of whom clinical data from the time of onset of uveitis were lacking. An additional 77 patients with masquerade syndromes were excluded, including 22 with malignant disease (16 with large B-cell lymphomas, 2 with iris metastases of a carcinoma, 1 with retinoblastoma, 1 with a melanoma, 1 with a medulloblastoma and 1 patient with chronic lymphatic leukaemia) and 55 with benign masquerade syndromes (19 with birdshot chorioretinopathy). Patients with established ocular syndromes and identified cause or association with systemic disorder (e.g. Fuchs uveitis syndrome with documented rubella virus infection or pars planitis with multiple sclerosis) were classified according to the cause of their uveitis and not according to their ocular syndrome (Jabs et al. 2005). Patients with a positive Interferon Gamma Release Assay test in the presence of unexplained uveitis were classified as of unknown origin. All intraocular infections were proven by intraocular fluid analysis with some exceptions: ocular syphilis was diagnosed in patients with positive serological reactions indicating active syphilis. Ocular tuberculosis was diagnosed only in patients with uveitis and active systemic tuberculosis infection proven either by culture or PCR from affected organs. The diagnosis of definitive sarcoidosis was based on histological confirmation and the diagnosis of presumed sarcoidosis on radiologic abnormalities consistent with the diagnosis of sarcoidosis (mostly symmetrical hilar lymphadenopathy) (Herbert et al. 2009). Patients with laboratory features suggesting sarcoidosis but without tissue biopsy or typical radiologic features were diagnosed as of unknown origin (Acharya et al. 2018).

Histological features of sarcoid reactions in malignancy cannot be histologically differentiated from genuine sarcoidosis as the main difference lies in location of the lesions and concurrent malignancy (Tchernev et al. 2014). Since patients with ocular sarcoidosis might have extrapulmonary involvement only, all patients with positive tissue biopsies were classified as sarcoidosis and subsequently, patients with malignancy and sarcoid reactions are indicated separately. Human leukocyte antigen (HLA) B27-associated uveitis was classified as a systemic immune mediated disorder (whether or not with proven ankyllosing spondylitis). Our policy is to refer to rheumatologist (or other specialist) solely HLA B27-positive patients with rheumatologic complaints. In consequence, not all patients underwent rheumatologic evaluation and the exact prevalence of HLA B27-associated systemic disease is not known. As we believe that HLA B27-associated uveitis is the same entity whether or not associated with ankyllosing spondylitis, we decided to categorize the patients in the same group. All other specific diagnoses were performed according to current diagnostic criteria. None of our patients used immune checkpoint inhibitors or other immunostimulatory drugs.

### Table 1. Criteria for Immune Deficiency or Suppression (adapted from Bonten et al. 2015).

| Presence of 1 or more of the following conditions: |
|-----------------------------------------------|
| Congenital immunodeficiency syndromes |
| Human immunodeficiency virus (HIV) infection and CD4+ cells <300/mm³ |
| Sepsis and short recovery period immediately after major surgery |
| Leukemia (presence defined as having been treated by or been eligible for treatment by radiotherapy and/or chemotherapy within the last 5 years) |
| Lymphoma (presence defined as having been treated by or been eligible for treatment by radiotherapy and/or chemotherapy within the last 5 years) |
| Hodgkin disease (presence defined as having been treated by or been eligible for treatment by radiotherapy and/or chemotherapy within the last 5 years) |
| Multiple myeloma (presence defined as having been treated by or been eligible for treatment by radiotherapy and/or chemotherapy within the last 5 years) |
| Generalized malignancy (defined as presence of any malignancy that had been treated by or had been eligible for treatment by radiotherapy and/or chemotherapy within the last 5 years) |
| Receipt of an organ or bone marrow transplant |
| Concurrent use of immunosuppressive therapy, including steroids in the daily dose above 7.5 mg for at least 4 weeks. (Inhaled, intraarticular and topical steroids were not considered immunosuppressive.) |
| Chronic renal failure (defined as receipt of renal dialysis or transplant) or nephrotic syndrome |

Human immunodeficiency virus (HIV) test was not included in a routine work-up for uveitis and was included in all patients with positive syphilis serology and in patients in whom HIV infection was suspected because of clinical presentation and/or medical history. Definitive anatomical classification was performed (e.g. localization and laterality of uveitis) according to the Standardization of Uveitis Nomenclature (SUN) Working Group (Jabs et al. 2005).

Diagnoses were grouped into infectious and non-infectious diseases and in established clinical ocular syndromes (e.g. birdshot chorioretinopathy). Patients with established ocular syndromes and identified cause or association with systemic disorder (e.g. Fuchs uveitis syndrome with documented rubella virus infection or pars planitis with multiple sclerosis) were classified according to the cause of their uveitis and not according to their ocular syndrome (Jabs et al. 2005). Patients with a positive Interferon Gamma Release Assay test in the presence of unexplained uveitis were classified as of unknown origin. All intraocular infections were proven by intraocular fluid analysis with some exceptions: ocular syphilis was diagnosed in patients with positive serological reactions indicating active syphilis. Ocular tuberculosis was diagnosed only in patients with uveitis and active systemic tuberculosis infection proven either by culture or PCR from affected organs. The diagnosis of definitive sarcoidosis was based on histological confirmation and the diagnosis of presumed sarcoidosis on radiologic abnormalities consistent with the diagnosis of sarcoidosis (mostly symmetrical hilar lymphadenopathy) (Herbert et al. 2009). Patients with laboratory features suggesting sarcoidosis but without tissue biopsy or typical radiologic features were diagnosed as of unknown origin (Acharya et al. 2018).

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None of our patients used immune checkpoint inhibitors or other immunostimulatory drugs.
Criteria for an immunocompromised state were based on the earlier definition of Bonten et al. (2015) with some modifications (Table 1). Immunocompromised patients were further subdivided according to their HIV status, the reasons for immunosuppressive therapy (transplantations, malignancy and non-malignant disease), and other causes of their immune impairment.

Diabetes mellitus (DM) is associated with impaired innate and adaptive immune responses and is characterized by an increased risk of infections and their complications (Berrou et al. 2013; Hodgson et al. 2015; Jafar et al. 2016). Hyperglycaemia, impaired neutrophil migration and phagocytosis as well as the state of chronic inflammation in DM are herein involved. Immune responses during pregnancy are shifted towards an anti-inflammatory status, which is advantageous for the outcome of pregnancy but might influence the course of immune-mediated disorders and infections (Robinson & Klein 2012; Graham et al. 2017). Though not immunosuppressed, pregnant females might show a different spectrum of uveitis and therefore are specified separately as well as patients with DM and HIV infections and CD4+ T cell counts above 300 cells/mm³. The following characteristics were extracted: gender, race, age at onset of uveitis, established causes of uveitis and/or associated systemic diseases.

**Results**

The anatomical classification of uveitis is depicted in Table 2. Immune status of the patients and classification of uveitis are presented in Table 3. Immune deficiency or suppression was identified in 171/1354, 13% of all patients. The prevalence of posterior uveitis was higher in immunocompromised patients (79/171 versus 258/1075; p < 0.001).

The prevalence of infections was much higher in immunocompromised patients compared to immunocompetent patients (78/171, 46% versus 125/1075, 12%; p < 0.001). The most prevalent infections in immunocompromised patients were viral infections, particularly cytomegalovirus (CMV), followed by herpes simplex virus (HSV) and varicella zoster virus (VZV). Viruses represented most common infections also in immunocompetent patients, but included predominantly infections with rubella virus and HSV/VZV. Viruses represent most common infections also in immunocompetent patients, but included predominantly infections with rubella virus and HSV/VZV. Viruses represented the most common infections in immunocompetent patients, but included predominantly infections with rubella virus and HSV/VZV. Viruses represented the most common infections also in immunocompetent patients, but included predominantly infections with rubella virus and HSV/VZV.

The spectrum of infections in various immunocompromised groups

HIV-positive patients exhibited most frequently CMV retinitis, followed by syphilitic uveitis whilst the non-HIV immunocompromised patients had most commonly intraocular HSV/VZV infections. Cytomegalovirus (CMV) infections (all retinitis) developed also in non-HIV patients, specifically in patients with hematologic malignancies (n = 4), receiving immunosuppressive therapy for not malignant disease.
After organ transplant \((n = 1)\) and in congenital immunodeficiency syndrome \((n = 1)\). Syphilis was an important cause of intraocular infection in HIV-positive population; out of all 48 HIV-positive patients (independently of their CD4 count), 11 (23\%) had uveitis due to syphilis. Of these, 6/11 (55\%) were not aware of their positive HIV status, which became known during the work-up for their uveitis. Fungal infections did not occur in HIV, but were observed in patients on immunosuppressive medications and in patients with other causes of immunosuppression (two posttransplant patients, one with haematological malignancy during chemotherapy, one during sepsis after major surgery, one with congenital immune deficiency syndrome and one with chronic pancreatitis). Associated systemic non-infectious diseases were scarce in immunosuppressed patients.

### Table 3. Causes of uveitis according to specific immunocompromised or immunocompetent status of the patients.

| Causes of uveitis | Total (N = 1354) | No evidence of immune suppression (N = 1075) | Diabetes mellitus* (N = 93) | Immune deficiency or suppression, total† (N = 171) | HIV, CD4 > 300 cells/mm³‡ (N = 8) | Pregnancy (N = 7) |
|-------------------|-----------------|---------------------------------------------|-----------------------------|----------------------------------------------|---------------------------------|-----------------|
| No evidence of immune suppression (N = 1075) | | | | | | |
| Infectious diseases | | | | | | |
| Viral, total | 220 (16) | 125 (12) | 8 (9) | 78 (46) | 7 (87.5) | 2 (28) |
| Cytomegalovirus | 111 (50) | 61 (49) | 3 (38) | 47 (60) | | |
| HSV/VZV | 24 (11) | 3 (2.5) | | 21 (27) | | |
| Rubella | 30 (14) | 28 (22.5) | | 2 (2.5) | | |
| Others | 12 (5.5) | 7 (5.5) | | 5 (6.5) | | |
| Bacterial, total | 43 (20) | 18 (1.6) | 4 (50) | 14 (18) | 6 (86) | 1 (50) |
| Treponema pallidum | 12 (5.5) | | | 7 (9) | | |
| Others | 31 (14) | 18 (1.6) | 4 (50) | 7 (9) | 5 (71) | 1 (50) |
| Fungi, total | 7 (3) | 1 (0.08) | | 6 (8) | | |
| Candida | 5 (2) | | | 5 (6) | 1 (14) | |
| Aspergillus | 2 (1) | 1 (0.08) | | 1 (1) | | |
| Others | | | | | | |
| Parasites | 58 (26) | 45 (4) | 1 (13) | 11 (14) | | 1 (50) |
| Toxoplasma | 58 (26) | 45 (4) | 1 (13) | 11 (14) | | 1 (50) |
| Not infectious systemic diseases | 445 (33) | 360 (33) | 37 (40) | 45 (26) | 1 (12.5) | 2 (28) |
| Sarcoidosis | 185 (42) | 148 (41) | 21 (57) | 16 (36) | | |
| HLA B27-associated juvenile idiopathic arthritis | 83 (19) | 74 (20.5) | 2 (5.5) | 4 (9) | 1 (100) | 2 (100) |
| Behcet’s disease | 48 (11) | 44 (12) | | 4 (9) | | |
| VKH disease | 28 (6) | 25 (7) | | 3 (7) | | |
| Inflammatory bowel disease | 17 (4) | 17 (5) | | | | |
| Granulomatous polyangiitis | 18 (4) | 15 (4) | 1 (3) | 2 (4.5) | | |
| TINU syndrome | 11 (2) | 6 (1.6) | 3 (8) | 2 (4.5) | | |
| Psoriatic arthritis | 7 (1.5) | 5 (1.4) | | 2 (4.5) | | |
| SLE | 8 (2) | 4 (1) | 1 (3) | 3 (7) | | |
| Other neurological diseases | 36 (8) | 22 (6) | 8 (22) | 6 (13) | | |
| Ocular clinical syndrome | 29 (2) | 27 (2.5) | 1 (1) | 1 (0.5) | | |
| Birdshot chorioretinopathy | 168 (12) | 143 (13) | 11 (12) | 12 (7) | 2 (28) | |
| White dots syndromes§ | 61 (36) | 57 (40) | 3 (27) | 1 (8) | | |
| Fuchs uveitis syndrome§ | 492 (36) | 420 (39) | 36 (39) | 35 (20) | | 1 (14) |

* Excludes all with corticosteroid-induced diabetes mellitus.
† Includes 31 patients with not infectious systemic disease, which was also associated with their uveitis. These patients developed uveitis despite their current immunosuppressive treatment and uveitis improved in all with an increase in immunosuppressive therapy.
‡ Includes 31 patients with other causes of systemic non-infectious diseases which was also associated with their uveitis.
§ Includes solely cases with unexplained cause and negative and/or not available intraocular fluid analysis.

HIV = human immunodeficiency virus, HLA = human leukocyte antigen, HSV = herpes simplex virus, SLE = systemic lupus erythematoses, TINU = tubulointerstitial nephritis and uveitis, VKH = Vogt-Koyanagi-Harada, VZV = varicella zoster virus.
with uveitis, with the exception of 5 biopsy-proven sarcoid reactions in patients with malignancies (2 with non-Hodgkin lymphoma, 1 with Hodgkin’s disease, 1 with non-seminoma testis and 1 with combination of rectum and lung carcinoma). Uveitis in these patients was consistent with the diagnosis of ocular sarcoidosis and exhibited mostly features of multifocal chorioretinitis. The assessment of intraocular fluid samples in these 5 patients was negative for malignant cells.

### Non-infectious uveitis entities

The prevalence of systemic immune-mediated diseases did not differ across the groups with sarcoidosis being the most prevalent in all groups (immunocompetent, immunocompromised and...
DM patients). Established ocular entities were less prevalent in immunocompromised patients 12/171; 7% versus 143/1075; 13%. p = 0.021. The major causes consisted of birdshot chorioretinopathy in immunocompetent patients and toxic reactions to medications (cytarabine) in the immunosuppressed group.

**Discussion**

Our study shows a prevalence of 13% of immunocompromised patients in the uveitis population from a tertiary referral centre. We confirm the previously acknowledged high prevalence of infectious uveitis in immunocompromised patients (Westeneng et al. 2007) and make several unknown observations. First, we show different spectrum of infections in immunocompromised and immunocompetent patients and further point out a different profile of infections within the specific types of immunosuppression. HIV-negative patients on immunosuppressive therapies suffered mostly from infections with HSV/VZV, whilst HIV–positive patients exhibited predominantly CMV retinitis and ocular syphilis. In contrast, patients with malignancies were characterized by a lower prevalence of infections and higher prevalence of sarcoidosis. The aetiology of uveitis in patients with DM was similar to immunocompetent patients, with the exception of higher prevalence of bacterial intraocular infections in the DM patients.

So far, the comparison of uveitis causes for the different types of immunocompromised states was not performed. Previous reports studied ocular abnormalities occurring in a single cause of immunosuppression, such as after organ and/or hematologic transplantations or in HIV infections. The studies of uveitis occurring during immunosuppressive treatments for malignant and non-malignant disorders consist of case reports only.

In persons infected with HIV, CMV retinitis represented the major cause of ocular morbidity, especially in individuals with low CD4+ T cell counts (Cunningham & Margolis 1998). The incidence of CMV retinitis considerably decreased in countries with available highly active antiretroviral therapy. In HIV-infected persons with CD4+ T cell counts above 200 cells/mm³, infections still represented a major cause of uveitis and comprised mainly syphilis and HSV/VZV infections (Rose-Nussbaumer et al. 2014). Further, the prevalence of immune recovery uveitis (IRU) is recently rising in this category of patients (Kempen et al. 2006; Sudharshan et al. 2013). Our data shows that CMV retinitis occurred in the majority of our patients with HIV infection (usually in newly diagnosed patients with acquired immunodeficiency syndrome or treatment failures). Our results also illustrate the rise of ocular syphilis as an initial indication of HIV infection, which is obviously not related to immune deficiency, but to the same route of infection. The exact number of patients with IRU in our study could not be determined as the patients were classified according to the cause of their first uveitis episode diagnosed in our department.

The prevalence of specific causes of uveitis after the organ and stem cell transplantations is not known. Several studies focused on this subject, but due to scarcity of uveitis after transplantations (approximately 2%), the number of affected patients was limited (Ng et al. 1998; Akova et al. 2006; Akerele & Lightman 2007; Chung et al. 2007). The average prevalence of CMV retinitis was less than 0.1% in large studies combining organ and hematologic transplantations (in contrast to approximately 20–30% in acquired immunodeficiency syndrome) (Ng et al. 1998; Akova et al. 2006; Akerele & Lightman 2007; Chung et al. 2007; Tabbara et al. 2009a). Patients with hematologic malignancies who underwent stem cell transplantation developed CMV retinitis in 0.02–4%; these patients are severely immunosuppressed and might represent a different category (Crippa et al. 2001; Tabbara et al. 2009b; Kim et al. 2016; Yoo et al. 2017). In our series, the majority of patients after stem cell transplants suffered from HSV/VZV infections, which is similar to patients after organ transplant and those receiving immunosuppressive treatments for other causes. However, it should be taken into account that the number of patients in specific immunocompromised groups was limited. Interestingly, most of the infections in patients undergoing transplantations occurred after the prophylaxis period, when antibacterial and antiviral drugs were already stopped. Moreover, transplantation techniques and prophylactic regimens develop quickly overtime and recent reports are associated with declining numbers of intraocular infections (Stoyanova et al. 2014). The infection prevention in other populations undergoing immunosuppressive treatment is not well defined or not given.

The prevalence of sarcoidosis in our total series is 14%, which is slightly higher than approximately 10% in other European series and could be in part explained by a sarcoidosis centre located in our institution (Barisani-Asenbauer et al. 2012; Jones 2015). However, our series consist predominantly of patients referred for their uveitis of yet unknown cause and possibly the consistent search for sarcoidosis in our department might have influenced the high numbers of patients diagnosed with sarcoidosis.

High percentage of sarcoidosis in patients with DM is striking. In patients with DM, sarcoidosis formed a most common uveitis cause (23%); the association between DM and sarcoidosis was previously noted (Hemminki et al. 2009; Martusewicz-Boros et al. 2015). Our study also demonstrates that sarcoid-reactions in malignancies might include uveitis (Balasubramaniam et al. 2015).

The anatomical classification and causes of uveitis of our study are roughly consistent with the previous large series in uveitis (Barisani-Asenbauer et al. 2012; Bajwa et al. 2015; Jones 2015; Llorente et al. 2015; Luca et al. 2017; Zagora et al. 2017). The number of patients with anterior uveitis usually reflects the referral pattern in a given population and is commonly considered as a measure for severity of uveitis population (Tomkins-Netzer et al. 2014). The percentage of 35% of anterior uveitis in our series is somewhat lower than in most tertiary series. Low prevalence of anterior uveitis in our centre undoubtedly reflects a referral pattern in the Netherlands and reveals the severity of our uveitis patients.

Our criteria for the diagnosis of infectious uveitis were strict and presumed infections were classified as of unknown origin. Therefore, it is possible that the prevalence of infections in immunocompromised patients is even higher than 43% determined in our study. Most infections in immunocompromised patients progress quickly and may lead to irreversible blindness. It is clear that most immunocompromised patients with infectious uveitis would benefit from being treated at early stage.
As the progression can be halted with antibiotic therapy, the rapid diagnosis and treatment of immunocompromised patients is crucial for the visual outcome and might prevent permanent visual loss in these vulnerable patients. Contrary to the conventional approach, quick assessment for infections, preferably by intraocular fluid assessment, should be performed in all immunocompromised patients with uveitis. In the Netherlands, national uveitis guidelines recommend approaching uveitis in immunocompromised patients as an emergency and advise to refer patients on the same day to centres with possibilities of intraocular fluid assessment and experience in treating such complex cases. Commonly, the side effects of multi-medication and resistance to antibiotics complicate the course of the ocular disease. The concise management of immunosuppressed patients with uveitis may improve their visual outcomes with relative safety. Due to the different spectrum of uveitis causes, we believe that the future studies on uveitis should specify the number of immunosuppressed patients included.

In conclusion, our study reveals different spectrum of uveitis in immunocompetent and immunocompromised individuals and demonstrates that distinct types of immunosuppression are associated with different causes of uveitis. Specifically, we show that a rapid assessment for viral and other infectious causes should be performed in all immunosuppressed subjects.

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