Photooxidation-Induced Changes in Optical, Electrochemical and Photochemical Properties of Humic Substances

Authors

Charles M. Sharplessa,*, Michael Aeschbacherb,c, Sarah E. Pagec; Jannis Wenkc,d,e,f; Michael Sanderc; Kristopher McNeillc

Affiliations

a Department of Chemistry, University of Mary Washington, USA-22401 Fredericksburg, VA
b BMG Engineering AG, CH-8952 Schlieren, Switzerland
c Institute of Biogeochemistry and Pollutant Dynamics, ETH Zurich, CH-8092 Zürich, Switzerland
d Eawag, Swiss Federal Institute of Aquatic Science and Technology, CH-8600 Dübendorf, Switzerland
e Department of Civil & Environmental Engineering, University of California at Berkeley, Berkeley, CA 94720, USA
f ReNUWIt Engineering Research Center

* Corresponding author
E-mail: csharple@umw.edu
Phone: +001 540.654.1405
Fax: +001 540.654.1081

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Abstract

Three dissolved humic substances (HS), two aquatic fulvic acids and one soil humic acid were irradiated to examine the resulting changes in HS redox and photochemical properties, the relationship between these changes, and their relationship to changes in the optical properties. For all HS, irradiation caused photooxidation as shown by decreasing electron donating capacities. This was accompanied by decreases in specific UV absorbance and increases in the E2/E3 ratio (254 nm absorbance divided by 365 nm). In contrast, photooxidation had little effect on the samples’ electron accepting capacities. The coupled changes in optical and redox properties for the different HS suggest that phenols are an important determinant of aquatic HS optical properties and that quinones may play a more important role in soil HS. Apparent quantum yields of H$_2$O$_2$, ·OH, and triplet HS decreased with photooxidation, thus demonstrating selective destruction of HS photosensitizing chromophores. In contrast, singlet oxygen ($^{1}$O$_2$) quantum yields increased, which is ascribed to either decreased $^{1}$O$_2$ quenching within the HS microenvironment or the presence of a pool of photostable sensitizers. The photochemical properties show clear trends with SUVA and E2/E3, but the trends differ substantially between aquatic and soil HS. Importantly, photooxidation produces a relationship between the $^{1}$O$_2$ quantum yield and E2/E3 that differs distinctly from that observed with untreated HS. This suggests that there may be watershed-specific correlations between HS chemical and optical properties that reflect the dominant processes controlling the HS character.

Introduction

Dissolved organic matter (DOM) is a ubiquitous component of natural surface waters produced by transformation of plant and plankton-derived precursor molecules. It comprises
moderately hydrophobic aromatic polyelectrolytes of variable molecular weight (100’s to 1000’s of g/mol) (1,2) and plays an important role in the biogeochemistry of aquatic environments. For example, microorganisms use DOM as a source of C and N and as an electron shuttle in anaerobic respiration (3). DOM also plays important roles in pollutant dynamics, for instance by sorbing organic contaminants and chelating trace and heavy metals (4,5). Absorption of sunlight by aromatic chromophores in DOM (1,6) leads to formation of reactive oxygen species (ROS) including singlet oxygen (\(^{1}\text{O}_2\)), hydrogen peroxide (H\(_2\text{O}_2\)), and hydroxyl radical (\(\cdot\text{OH}\)) (7,8). DOM triplet states (\(^{3}\text{DOM}^*\)) are both important precursor species for many of these ROS and strong oxidants in DOM-sensitized photoreactions (7-9). Together, these photooxidants play a critical role in the redox speciation of trace metals (10-12), transformation rates of organic contaminants (9,13-15), and solar inactivation of pathogens (16).

Absorption of sunlight also leads to DOM photobleaching (destruction of chromophores), photooxidation, and production of low molecular weight organic compounds and inorganic species such as CO and CO\(_2\) (17-23). These processes may involve the loss of specific functional moieties and lead to changes in the physicochemical and optical properties of DOM. For example, lignin phenols disappear rapidly in the early stages of photooxidation (24-26).

Other studies have used FT-ICR-MS and \(^{13}\text{C}\) NMR spectroscopy to show that DOM loses aromatic groups during photooxidation (27,28). Concomitant changes in DOM optical properties are consistent with a loss of DOM aromaticity (% aromatic C by \(^{13}\text{C}\) NMR), including decreases in specific UV absorbance (SUVA, absorbance per mg-C) and fluorescence intensity, and increases in spectral slope and the E2/E3 ratio (ratio of the absorbance at 254 to 365 nm) (29-35).
The influence of photooxidation on DOM photochemistry remains poorly investigated and understood. Substantial effects seem plausible given that photooxidation changes E2/E3 and SUVA values and that these parameters correlate with quantum yields of $^1O_2$ and CO photoproduction (36-41). Zhang et al. reported that prolonged irradiation decreased quantum yields for CO production (38). To our knowledge, however, no systematic study exists of how irradiation affects photooxidant quantum yields. Cavani et al. reported that $^1O_2$ production rates from peat humic acid were unaffected by eight hours of irradiation at 365 nm (42). In contrast, Andrews et al. reported that $H_2O_2$ quantum yields for various aquatic samples decreased with increasing irradiation using simulated sunlight (43). The results of these two studies are, however, difficult to compare since they not only involve different ROS but also used different samples, methods, irradiation times, and assessment endpoints (i.e., production rates versus quantum yields). Furthermore, the observed trends were not related to the extent of DOM oxidation, which was, until recently, difficult to quantify due to the lack of an appropriate method. The introduction of mediated electrochemical oxidation and reduction (MER and MEO, respectively) now allows reliable quantification of DOM redox state in terms of electron donating and accepting capacities (EDC and EAC) (44,45). The EDC and EAC of DOM have been ascribed to phenol and quinone moieties, respectively, which are also chromophores believed to play an important role in DOM photochemistry (8,9,46-49).

The objective of this study was to systematically investigate the effects of photooxidation on DOM optical, electrochemical and photochemical properties. Studying these changes simultaneously is expected to provide insights into relationships between DOM aromaticity, redox-state, and photoreactivity and to improve understanding of the DOM photobleaching process. Experiments were conducted with three dissolved humic substances (HS): two aquatic
fulvic acids (FAs) and, for contrast, a soil humic acid (HA). Changes in the absorption spectra and apparent quantum yields for the photooxidants $^{1}\text{O}_2$, $\text{H}_2\text{O}_2$, $\cdot\text{OH}$, and triplet HS ($^{3}\text{HS}^*$) were measured as a function of irradiation time. The extent of photooxidation was quantified by monitoring changes in EDC and EAC. Spectroscopic data were also used to examine whether correlations between optical and photochemical properties for photooxidized HS are consistent with reported correlations for native DOM isolates (36,37).

Materials & Methods

Materials. Nordic Aquatic Fulvic Acid (NAFA), Suwannee River Fulvic Acid (SRFA), and Elliot Soil Humic Acid (ESHA) standards were obtained from the International Humic Substances Society (IHSS, www.humicsubstances.org) and used as received. Details for other materials can be found in the Supporting Information.

Solutions for Irradiation. All solutions were prepared with Nanopure water (Barnstead) with resistivity >18.2 MΩ cm. The photooxidation experiments were conducted at high HS concentrations (250 mg/L) to ensure the availability of sufficient HS for subsequent analyses. The solutions were prepared by dissolving 25 mg of solid HS isolate in 50 mL of H$_2$O followed by addition of 1 M NaOH to adjust the pH to 8.0. After pH stabilization (> 30 min), solutions were stirred overnight at room temperature and subsequently diluted to a total volume of 100 mL. The pH was readjusted to 7.0, followed by filtration (0.22 μm) to remove particulate material and sterilize the samples, which were stored for six days at 4 °C before use in experiments.

Irradiation Procedure. Aliquots of each HS solution (20 to 25 mL) were transferred to 18 mm diameter quartz tubes containing a magnetic stir bar. The tubes were capped with septa
fitted to allow air sparging of the solutions during irradiation. Sample tubes were placed below the lamp at approximately 30° from horizontal and immersed in a recirculating water bath at 25 °C. A Suntest solar simulator was used at a nominal setting of 700 W m⁻². The total photon flux (300 to 700 nm) was 1.4x10⁻⁴ Es L⁻¹ s⁻¹, as estimated from p-nitroanisole/pyridine actinometry (50). Samples were irradiated for a total of 59 h in periods of 11 or 12 h with continuous stirring and sparging with synthetic air. The air bubbles were confined to the center of the sparged solutions and, because the solutions were optically thick, likely had minimal effect on the radiation delivery.

Solution volumes (determined gravimetrically) and pH were measured at the beginning and end of each irradiation period. After each period, small amounts of water were added to replace evaporative losses (always < 0.2 mL) and small volumes of 1 M NaOH were added to re-adjust the pH to 7.0 (the pH never fell below 6.5). At selected intervals, aliquots of solution were removed for analysis and experimentation. To allow for intra-HS redox equilibration, these were stored at 4 °C for at least 3 d before conducting electrochemical and photochemical experiments. All experiments and analyses were conducted within approximately 3 weeks. Duplicate and triplicate photochemical and electrochemical measurements were highly reproducible, indicating that there were no post-irradiation chemical alterations of the HS as detected by our methods.

Optical Properties and Absorbed Energy. Absorbance spectra were collected in 1 cm quartz cuvettes on a Cary 100 spectrophotometer (Varian) using 1 nm slits and phosphate buffer as a blank. Prior to measuring absorbance spectra, HS solutions were diluted in 5 mM phosphate buffer (pH 7.0) by a factor of three (SRFA and NAFA) or ten (ESHA) to ensure that measurements fell into the linear range of the instrument. Optical parameters, including the
E2/E3 ratio and specific UV absorbance at 280 nm (SUVA$_{280}$) were calculated from the measured spectra as detailed in the *Supporting Information*.

Absorption and lamp emission spectra were used to determine the energy absorbed between 300 and 500 nm during irradiation (details in *Supporting Information*). This wavelength range was chosen for its importance to HS photochemistry (20,34,43,47,51-56). The conclusions drawn in this study are based on relative changes and change little by setting the long wavelength cutoff to 400 or 450 nm.

*Electrochemical Measurements.* EDC and EAC values were quantified according to Aeschbacher *et al.* (44,45,57) in a glovebox under N$_2$ (O$_2$< 0.1ppm; 25±1°C, M. Braun Ltd., Germany). Anoxic buffer solutions were used as described previously (44,45,57). HS solutions (3.2 ml of each) from the photooxidation experiments were made anoxic by purging with argon for 20 min prior to transfer to the glovebox. Detailed methods are provided as *Supporting Information*.

*Photochemical Experiments.* Photooxidant quantum yields were determined using two merry-go-round reactors containing mercury vapor lamps having emission maxima at 365 nm (58-60). All quantum yields are therefore reported for excitation at 365 nm. Samples from photooxidation experiments were diluted prior to measuring the production of $^1$O$_2$, H$_2$O$_2$, •OH, and triplet HS ($^3$HS$^*$). The transient species $^1$O$_2$, •OH, and $^3$HS$^*$ were quantified using the probes furfuryl alcohol (FFA), terephthalate (TPA), and 2,4,6-trimethylphenol (TMP), respectively (36,59,61). Experimental details and the calculation of apparent quantum yields are provided as *Supporting Information*. Production rates of H$_2$O$_2$ were quantified using the Amplex Red assay (Invitrogen) (62). Analytical details and quantum yield calculations are provided as *Supporting Information*.
Results and Discussion

Optical Properties. Irradiation caused photobleaching in all the HS. Figure 1 shows the fraction of absorbance remaining and absolute changes in HS absorption coefficients after 59 h (absorption spectra provided as Supporting Information). Consistent with previous reports, larger absolute absorbance losses occurred at UV wavelengths, and higher percent losses occurred in the visible. These changes were accompanied by decreases in SUVA$_{280}$ and increases in E2/E3 (Fig. 2) (29,34,35,63). Large differences were observed between the soil-derived ESHA and the two aquatic FA in both the magnitude of the optical property changes and the type of changes observed. Specifically, SRFA and NAFA both showed extensive photobleaching (Fig. 1) and clear changes in SUVA$_{280}$ and E2/E3 that were approximately linear with the amount of energy absorbed (Fig. 2). In contrast, much less photobleaching occurred for ESHA even though it absorbed approximately twice the 300 to 500 nm energy (Fig. 1), and the changes in SUVA$_{280}$ and E2/E3 were much smaller and non-linear. These data suggest that ESHA was more resistant than the aquatic FAs to photooxidation. However, it is possible that this difference in resistance was exaggerated by inner-filtering in the ESHA solution. A substantially higher fraction of the energy absorbed by ESHA lay in the visible (ca. 90%) than for the aquatic FAs (ca. 70%), and visible irradiation is known to cause much less efficient photobleaching (34,35,64). Thus, the difference between the photobleaching efficiencies for ESHA and the aquatic FAs is probably not as large as implied by these data.

The difference in magnitude of the optical changes notwithstanding, all samples displayed loss of SUVA$_{280}$ and increases in E2/E3 with photobleaching. Both changes indicate loss of aromatic groups (65,66) and decreases in molecular weight (66,67). Indeed, decreases in molecular weight were observed by size-exclusion chromatography (Supporting Information)
accompanied by small (ca. 10%) losses of organic-C after 59 h of irradiation (Supporting Information). The molecular weight dependence of E2/E3 is believed to derive from an increased probability of electronic interactions between chromophores in larger DOM molecules. Specifically, intramolecular charge transfer (CT) complexes involving electron-donating groups (e.g., phenols) and electron-accepting groups (e.g., aromatic ketones and quinones) produces broad, featureless absorbance in the near-UV and visible (i.e., above approximately 370 nm) (68-70). Thus, observed increases in E2/E3 with irradiation suggest that CT complexes are being destroyed, probably by both decreasing DOM molecular weight and photooxidation of donor and/or acceptor moieties in DOM.

Redox Properties and Relationships to Optical Properties. In the CT model for DOM optical properties, substituted phenols are suspected electron donors, and aromatic ketones and possibly quinones are suspected acceptors (68-70). Phenols and quinones are also major determinants of DOM electrochemical properties. For example, a diverse set of DOM showed a strong correlation between EDC and phenol contents (44) (operationally defined as 2x the titrated charge between pH 8 and 10 (71)). The EDC of DOM also varies with $E_h$ and pH in ways comparable to low molecular weight phenols (44). Finally, the EAC of DOM correlates well with its % aromaticity (44), and DOM accepts electrons over a range of reduction potentials consistent with quinones as major electron acceptors (45).

Figure 3 presents the changes in EDC and EAC with irradiation (values tabulated as Supporting Information). The EDC values of all HS samples decreased monotonically with increasing irradiation, with smaller decreases for ESHA that mirror the smaller changes observed in its optical properties compared to the aquatic FAs. To our knowledge, this is the first direct demonstration of DOM photooxidation as quantified by EDC loss. In comparison, all HS
samples showed small changes in EAC. This finding strongly suggests that there was no direct
relation between the changes in electron donating and accepting moieties, and, hence, that
photooxidation did not convert electron donating phenols and hydroquinones into electron
accepting quinone moieties. Instead, the fact that decreases in EDC were not accompanied by
similar increases in EAC suggests that photooxidation irreversibly destroyed phenolic moieties.
The reason for the small changes in EAC is unknown. They could reflect either a resistance to
oxidation by the relevant moieties or a pseudo-steady state resulting from their loss and
formation at approximately equal rates.

A comparison of Figs. 2 and 3 shows that the optical properties directly reflect the extent
of photooxidation and suggests that the optical properties depend on the amount and nature of
redox active moieties. To assess this, we reanalyzed the trends in SUVA$_{280}$ and E2/E3 as a
function of EDC and EAC (Figure 4). For the aquatic FAs, SUVA$_{280}$ displays a linear
relationship to EDC ($r^2 = 0.904$). For ESHA, there is no apparent relationship, possibly due to
the much smaller changes in EDC with irradiation. Stronger correlations of SUVA$_{280}$ with EDC
for the aquatic FAs than for soil HA are consistent with prior reports that aromatic-C and EDC
are well-correlated for diverse aquatic HS samples but not for different soil-derived HS (44).
Given that phenolic moieties constitute much more of the aromatic-C in the aquatic FAs than
ESHA (71,72) (Supporting Information), it appears likely that phenols are a major determinant
of both EDC and SUVA$_{280}$ in aquatic HS. In contrast, SUVA$_{280}$ appears to vary more with EAC
for ESHA (Fig. 4), which could imply that quinones are notable contributors to SUVA$_{280}$ in soil
HA. However, this conclusion is tempered by the small and irregular changes in EAC with
photooxidation.
To examine the relationship of E2/E3 to EDC and EAC, a larger data set was analyzed that included various untreated aquatic and soil HS (44) in addition to the photooxidized samples; missing E2/E3 ratios were measured. For aquatic HS, E2/E3 shows an inverse relationship to EDC, whereas for the soil HA these data are more scattered (Fig. 4). Furthermore, E2/E3 appears to be more sensitive to changes in EDC (steeper slope) for the photooxidized aquatic FAs than for untreated HS isolates. These observations suggest that (i) for aquatic HS, E2/E3 depends strongly on phenol content and (ii) that this dependence is pronounced in samples undergoing photooxidation. For soil HS, on the other hand, E2/E3 seems to be more dependent on EAC (Fig. 4), whereas this relationship is a bit more scattered for aquatic HS, and there is no obvious relationship for the photooxidized FAs. These differences suggest that quinones contribute more to CT absorbance in soil HS than in aquatic HS. Furthermore, in the aquatic FAs undergoing photooxidation, the extent of CT absorbance seems to be independent of quinones. This conclusion is consistent with recent evidence that quinones are not major determinants of CT absorbance in aquatic HS (46,70).

Photooxidant Quantum Yields. We further investigated the effect of irradiation on $^1$O$_2$, H$_2$O$_2$, and ·OH quantum yields (Φ), defined as the fraction of absorbed photons producing photooxidant. For $^3$HS*, there is no method to quantify all of the $^3$HS* formed. Instead, a proxy for Φ$_{3HS^*}$ is used, the quantum yield coefficient, $f_{TMP}$ (M$^{-1}$), which is the rate constant for TMP loss divided by the rate of light absorption (61). Although photochemical reaction rates are sometimes quantified as carbon-normalized rate constants (provided as Supporting Information), Φ is a more fundamental parameter with broader general applicability to photochemical modeling. Figure 5 shows how Φ$_{1O2}$, Φ$_{H2O2}$, Φ$_{OH}$ and $f_{TMP}$ vary with irradiation. For all HS, the quantum yields of H$_2$O$_2$, ·OH, and $^3$HS* decreased, but, in stark contrast, Φ$_{1O2}$ increased.
Attributing physical causes to these trends requires recognition that the quantum yields are “apparent” because they may be affected by a variety of secondary phenomena. For instance, $\Phi_{H_2O_2}$ is a function of the primary quantum yield of $O_2^-$ and the relative rates of uncatalyzed versus HS-catalyzed $O_2^-$ dismutation (36). Because uncatalyzed dismutation is apparently the dominant process during SRFA photolysis under conditions similar to those used here (73), decreases in $\Phi_{H_2O_2}$ likely reflect lower $O_2^-$ production efficiencies rather than lower dismutation rates. It has recently been suggested that the excited state HS precursor to $O_2^-$ is a long-lived charge-separated species created by electron transfer from singlet excited state donors to ground state acceptor moieties (74). The observed EDC loss is consistent with this model, as loss of donors would reduce the yield of charge-separated species and decrease $\Phi_{H_2O_2}$.

In the case of $\Phi_{OH}$, the TPA probe detects both free $\cdot OH$ and other, lower energy hydroxylating species (59). Here, we do not distinguish between these hydroxylating species. However, control experiments were conducted with catalase to assess the contribution of $H_2O_2$-dependent, Fenton-like hydroxylation (59). Irradiation did not significantly alter the fraction of hydroxylation occurring through $H_2O_2$-dependent pathways, which was approximately 50% for ESHA and 20% for SRFA, in good agreement with a previous report (59). For NAFA, there was essentially no TPA hydroxylation via $H_2O_2$. Thus, only a fraction of the decrease in $\Phi_{OH}$ can be ascribed to the decrease in $\Phi_{H_2O_2}$. The remainder must be due to lower quantum yields of excited state oxidants, which are of unknown character, because the mechanism of $\cdot OH$ production by DOM is not established.

The parameter used to assess $^{3}HS^*$ formation, $f_{TMP}$, depends on both the primary quantum yield of $^{3}HS^*$ and the rate constant for reaction between $^{3}HS^*$ and TMP (61). Notably, HS do not inhibit TMP oxidation (58). Decreases in $f_{TMP}$ thus reflect either a general decrease in $^{3}HS^*$.
precursor chromophores or a decrease in a specific $^3\text{HS}^*$ pool that reacts rapidly with TMP. In either case, the observed decreases in $f_{\text{TMP}}$ indicate that photooxidant production efficiency decreases with irradiation, in agreement with the $\Phi_{\text{OH}}$ results. For TMP oxidation, the $^3\text{HS}^*$ precursors are believed to be mainly aromatic ketones and possibly quinones (46,61). The present data provide divergent evidence for the role of quinones. For instance, the aquatic FAs have much lower EAC and $f_{\text{TMP}}$ than ESHA, consistent with excited state quinones as oxidants of TMP. However, this conclusion does not seem to be compatible with the fact that decreases in $f_{\text{TMP}}$ were not paralleled by comparable decreases in EAC.

Distinct from the other quantum yields, $\Phi_{^1\text{O}_2}$ increased with irradiation. Note that the FFA probe detects $^1\text{O}_2$ in the bulk aqueous phase that has escaped the HS microenvironment without being quenched therein (75). It is generally accepted that $^1\text{O}_2$ is produced by energy transfer from $^3\text{HS}^*$ to O$_2$. Reports by Halladja et al. (76) and Sharpless (47) indicate that a high degree of overlap exists between the $^3\text{HS}^*$ pools that produce $^1\text{O}_2$ and those that oxidize TMP. If true, a simple way to reconcile the increases in $\Phi_{^1\text{O}_2}$ with the decreases in $f_{\text{TMP}}$ is to hypothesize that irradiation decreases the efficiency of $^1\text{O}_2$ quenching by HS. Thus, even if the yield of $^3\text{HS}^*$ decreases, higher $^1\text{O}_2$ yields could be observed if $^1\text{O}_2$ is less effectively quenched within the DOM microenvironment. The loss of EDC is consistent with this hypothesis because quenching of $^1\text{O}_2$ by HS probably occurs by an electron transfer mechanism (77), which is expected to become less effective as electron donors in HS are destroyed. Also consistent with this view is a recent report that wastewater DOM $\Phi_{^1\text{O}_2}$ increases with chemical oxidation by both ozone and chlorine, which destroy electron rich groups selectively and non-selectively, respectively (41). An alternative explanation for the increases in $\Phi_{^1\text{O}_2}$ is that there may be two classes of $^1\text{O}_2$ sensitizer (e.g., aromatic ketones and quinones), and that only one of these (Class 1) strongly
determines HS optical properties. The destruction of Class 1 sensitizers would decrease absorbance, but the other class of sensitizer would continue producing $^1$O$_2$. Hence, the results can also be accommodated by a model in which aromatic ketones are Class 1 sensitizers, and quinones are the other Class. This is consistent with negligible EAC loss accompanied by large changes in E2/E3 in the aquatic FAs, where the loss of aromatic ketones would be expected to greatly alter the optical properties (see preceding section) (46,70). The hypothesis is also consistent with increasing $\Phi$$_{1O2}$ in the absence of large E2/E3 changes for ESHA; here, quinones may be contributing more to both the photochemical and optical properties (see preceding section) as other sensitizing chromophores are destroyed.

**Relationship of $\Phi$$_{H2O2}$ and $\Phi$$_{1O2}$ to Optical and Redox Properties.** Previous reports have demonstrated correlations of E2/E3 with $\Phi$$_{H2O2}$ and $\Phi$$_{1O2}$ (36,37). Sharpless and co-workers have argued that these originate from the influence of CT interactions on both the optical properties and photochemistry of DOM (36). Because photooxidation is an important natural DOM transformation process, we explored whether our results conform to the previously reported correlations. We further investigated relationships between photochemical and electrochemical properties. Figure 6 shows $\Phi$$_{1O2}$ and $\Phi$$_{H2O2}$ as a function of both E2/E3 and EDC for samples undergoing photooxidation. Related plots involving $f$$_{TMP}$ and $\Phi$$_{H2O2}$ and EAC are provided as Supporting Information. We did not construct plots using SUVA$_{280}$, as it correlates inversely and strongly with E2/E3 (Supporting Information). Such plots would simply display the reverse of the trends in Figure 6. Although the directions of the trends were the same for all samples, $\Phi$$_{H2O2}$ and $\Phi$$_{1O2}$ vary much more with both E2/E3 and EDC for ESHA than for the aquatic FAs.
Figure 6 also presents the results of Dalrymple et al., who studied HS and DOM isolates (36), and Peterson et al., who studied whole water from Lake Superior and its tributaries (37). Peterson et al.’s results are shown as the reported linear trend because the data include many samples with E2/E3 values well above the range used in Figure 6. For the aquatic FAs undergoing photooxidation, the directions of the trends in $\Phi_{\text{H}_2\text{O}_2}$ and $\Phi_{1\text{O}_2}$ with E2/E3 agree with previous reports (36,37). The $\Phi_{\text{H}_2\text{O}_2}$ results display good quantitative agreement with those of Dalrymple et al. (36), suggesting that E2/E3 may be a robust predictor of $\Phi_{\text{H}_2\text{O}_2}$. However, $\Phi_{1\text{O}_2}$ is less sensitive to changes in E2/E3 for the photooxidized FAs than for untreated DOM isolates. Notably, the relationship between $\Phi_{1\text{O}_2}$ and E2/E3 for photooxidized FAs resembles that of the Lake Superior samples much more than that of the untreated DOM. This suggests that photobleaching is a major control on DOM optical properties and photochemistry in Lake Superior. Furthermore, it indicates that DOM photooxidation or other dominant DOM degradation processes in particular ecosystems may lead to watershed-specific correlations between optical and photochemical properties.

With EDC, a weak decreasing trend in $\Phi_{1\text{O}_2}$ is observed, while $\Phi_{\text{H}_2\text{O}_2}$ increases sharply, albeit with different slopes for aquatic HS and soil HS (Fig. 6). The trends can be explained in terms of photophysical concepts expounded previously (36,47,74). For example, the decrease in $\Phi_{1\text{O}_2}$ with EDC could reflect lower $^3\text{HS}^*$ yields in samples where higher concentrations of electron donating groups engage electron accepting photosensitizers in CT complexes, thus producing absorbance that does not lead to $^3\text{HS}^*$ (36,47). Conversely, the increase in $\Phi_{\text{H}_2\text{O}_2}$ with EDC could result from increased formation of charge-separated excited-state precursors to $\text{H}_2\text{O}_2$ (74) as the content of electron donors increases. As shown in the Supporting Information, $\Phi_{1\text{O}_2}$ for the photooxidized FAs and several IHSS aquatic HS and DOM isolates follow similar inverse
relationships to both EDC and EAC, suggesting that DOM with higher concentrations of redox active moieties sensitize \( {^1O_2} \) production less efficiently. This point is also illustrated by plotting \( \Phi_{\text{O}_2} \) versus the total redox activity (EDC + EAC) for all samples in this study plus others for which \( \Phi_{\text{O}_2} \), EDC, and EAC are available (Supporting Information).

**Environmental Implications.** Aquatic HS have much higher EDC than soil HS, while the opposite is true for EAC (44). The present results show that irradiation destroys EDC but alters EAC very little. The lack of EAC creation, even while EDC is destroyed, argues against photochemical oxidation of donor groups to acceptor groups. Rather, the accumulated data point to irreversible oxidation, the target of which may be phenols. Additionally, the photochemical results suggest that aromatic ketones and other possible \(^3\text{DOM}^*\) precursors such as flavones and chromones are rapidly destroyed by photooxidation.

These results predict a decrease in all photooxidant quantum yields except \( {^1O_2} \) in natural systems where DOM undergoes photooxidation. The direct implication of this finding is that constant quantum yields for HS-derived photooxidants cannot be assumed over the course of days of solar exposure. Based on the energy absorbed in our photooxidation experiments, we estimate that the changes observed here would occur with approximately 240 h of summer solar noon irradiation at 41°N in the upper first cm of a typical inland water (5 mg OC of aquatic DOM from terrestrial sources). However, this estimate is confounded some by uncertainties, such as the extent to which inner-filtering altered the observed photobleaching efficiencies in these experiments and the extent to which storage of our solutions at high concentration for 3 d after irradiation may have fostered intermolecular redox reactions that would not be observed in natural waters. Nonetheless, the contrast between the photochemical trends for photooxidized and untreated HS and DOM (Fig. 6) suggests that variability in photochemical properties of natural
waters may arise from multiple processes – mixing of different DOM sources, or photooxidation of a single DOM source – each of which can uniquely alter the optical-photochemical correlations. It is also apparent that compositional differences between aquatic and soil HS lead to very different controls on the optical behavior and hence very different correlations between the optical and photochemical properties. Further efforts to relate the optical and photochemical properties to DOM structure will be needed to provide a sound basis for understanding DOM source-dependent differences in behavior.

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Supporting Information Available

Chemicals used, absorption spectra; optical properties; calculations of absorbed energy; electrochemistry details; photochemistry experimental details and calculations; TOC method and results; SEC-OCD results; phenol and aromatic C content of HS; E2/E3 and SUVA280 relationship to aromaticity; tabulated EDC and EAC; carbon-normalized rates and rate constants for photochemical experiments; $f_{\text{TMP}}$ and $\Phi_{\text{OH}}$ versus E2/E3 and EDC; photooxidant quantum yields versus EAC; correlation between E2/E3 and SUVA280, $\Phi_{1O2}$ versus EDC and EAC and versus (EDC + EAC)
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Figure Captions

**Figure 1:** Change in absorbance with irradiation time: (a) $f_{A,59h}$, fraction of absorbance remaining at 59 h; (b) $\Delta a_{59h}$, change in absorption at 59 h (base-10 absorption coefficient).

**Figure 2:** Changes in (a) SUVA$_{280}$ (L mg-C$^{-1}$ m$^{-1}$) and (b) E2/E3 versus energy absorbed from 300 to 500 nm: (●) SRFA; (◇) NAFA; (▲) ESHA.

**Figure 3:** Change in EDC and EAC with irradiation time. Samples taken at 0, 11, 35, and 59 h.

**Figure 4:** SUVA$_{280}$ (L mg-C$^{-1}$ m$^{-1}$) (top) and E2/E3 (bottom) versus EDC (pH 7, 0.73 V) and EAC (pH 7, -0.49 V): (●) SRFA; (◇) NAFA; (▲) ESHA. For E2/E3, data are also included for IHSS isolates: aquatic (x); soil (○) (redox data from Aeschbacher et al. (44)).

**Figure 5:** Changes in photooxidant quantum yields with irradiation time. Error bars represent standard deviation of duplicate or triplicate measurements. (●) SRFA; (◇) NAFA; (▲) ESHA.

**Figure 6:** Quantum yields of $^{1}$O$_{2}$ and H$_{2}$O$_{2}$ versus E2/E3 and EDC. (●) SRFA; (◇) NAFA; (▲) ESHA; (■) data from Dalrymple et al. (36); (---) trend reported by Peterson et al. (37).
Figure 1

(a) $f_{\alpha,59h}$

(b) $\Delta a_{59h}$ (cm$^{-1}$)

Wavelength (nm)
Figure 2

Energy absorbed, 300-500 nm (MJ/L)

(a) SUVA<sub>250</sub>

(b) E2/E3
Figure 3

![Bar chart showing EAC (mmol e^-/gC) and EDC (mmol e^-/gC) for different irradiation times and pH conditions.](image)

- EAC @ -0.49 V, pH 7
- EDC @ +0.73 V, pH 7

Variables:
- EAC: Electron Accepting Capacity
- EDC: Electron Donating Capacity
- SRFA: Sample 1
- NAFA: Sample 2
- ESHA: Sample 3

Increasing irradiation time from left to right:
- SRFA
- NAFA
- ESHA
Figure 4

![Graph with various markers and data points representing SUVA and E2/E3 values against EDC and EAC]
Figure 5

[Graphs showing the relationship between irradiation time (h) and various parameters such as \( \Phi_{\text{O}_2} \), \( \Phi_{\text{H}_2\text{O}_2} \), \( f_{\text{TMP}} \), and \( \Phi_{\text{OH}} \times 10^4 \).]
Figure 6

[Graph showing data points for \( \Phi_{\text{H}_2\text{O}_2} \) and \( \Phi_{\text{O}_2} \) as functions of E2/E3 and EDC (mmol e-/gC).]
Coupled Changes In
Optical properties
Electrochemical properties
Photooxidant quantum yields