# Identifying Groundwater Discharge Zones in the Central Mackenzie Valley Using Remotely Sensed Optical and Thermal Imagery

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Title: Identifying Groundwater Discharge Zones in the Central Mackenzie Valley Using
Remotely Sensed Optical and Thermal Imagery

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Abstract

Landsat 4-5 Thematic Mapper, Landsat-8 Operational Land Imager, and RapidEye-3 datasets were used to identify potential groundwater discharge zones, via icings, in the Central Mackenzie Valley (CMV) of the Northwest Territories. Given that this area is undergoing active shale oil exploration and climatic changes, identification of groundwater discharge zones is of great importance both for pin-pointing potential contaminant transport pathways and for characterizing the hydrologic system. Following the work of Morse and Wolfe (2015), a series of image algorithms were applied to imagery for the entire Central Mackenzie Valley, and for the Bogg Creek Watershed (a sub watershed of the CMV) for selected years between 2004 and 2017. Icings were statistically examined for all of the selected years to determine whether a significant difference in their spatial occurrence existed. It was concluded that there was a significant difference in the spatial distribution of icings from year to year (α=0.05), but that there were several places where icings were recurring. During the summer of 2018, these recurrent icings, which are expected to be spring sourced, were verified using a thermal camera aboard a helicopter, as well as in situ measurements of hydraulic gradient, groundwater geochemistry, and electroconductivity. Strong agreement was found between the mapped icings and summer field data, making them ideal field monitoring locations. Furthermore, identifying these discharge points remotely is expected to have drastically reduced the field efforts that would have been required to find them in situ. This work demonstrates the value of remote sensing methods for hydrogeological applications, particularly in remote northern locations.

Keywords: Icings, aufeis, permafrost, climate change, groundwater discharge
Introduction

The Central Mackenzie Valley of the Northwest Territories, Canada, is a region of active shale oil and gas exploration. In advance of oil/shale gas production, it is of vital importance that baseline hydrologic monitoring be established for the region so that any negative environmental effects induced by the process of oil and gas extraction can be quantified. The work presented in this article forms part of a long-term hydrologic monitoring project which aims to gain a better understanding of the interaction between groundwater and surface water resources in the CMV. In addition to being a region of shale oil/gas exploration, the CMV is undergoing rapid climate warming (Bush and Lemmen, 2019). Therefore, hydrologic monitoring data, gathered through time, will provide valuable insight into the effects of climate warming which include increased fall and winter precipitation and thawing degree days (Environment Canada, 2018), and permafrost thaw (Rudolph et al., 2016).

The specific objective of the work described here is to use remote sensing techniques to locate regions of expected groundwater discharge which will be utilized as priority field monitoring locations, and further, to determine whether those discharge zones are more likely to be permanent or intermittent. It was hypothesized, firstly, that icings (winter groundwater discharge features, described in detail in the next section) could be detected remotely and used as a proxy for groundwater discharge; secondly, that annually recurring icings would be more likely to represent permanent discharge features (such as springs) than would icings that occur only in one year; and thirdly, that
in late-winter, permanent icings would exhibit a warmer thermal signature than intermittent icings due to continuous water discharge. These hypotheses were evaluated in the current study by constructing icing and thermal anomaly distributions using Landsat and RapidEye-3 satellite imagery for selected years between 2004 and 2017, and by comparing the results of this remote analysis to in-situ groundwater measurements for field verification. This study was performed in the greater Central Mackenzie Valley (CMV) and the Bogg Creek watershed (a smaller catchment of the CMV), as shown in Fig. 1.

Groundwater discharge locations may represent potential pathways for contaminant transport associated with hydraulic fracturing. Though the precise relationship between fracturing operations and groundwater contamination is still contested, the U.S. Environmental Protection Agency (EPA) notes that there is greater potential for water resources nearby fracturing operations to be affected (EPA, 2016). Given the remoteness of the study area and the logistical difficulty in accessing it, identifying groundwater discharge zones remotely drastically reduces the cost and time that would be required to identify them in situ. Following the work of Morse and Wolfe (2015), the methodology presented here uses remotely sensed optical and thermal imagery from Landsat 4-5/8 and RapidEye-3 satellites to identify expected groundwater discharge zones via icings (also called aufeis).

Icings are sheet-like masses of ice which can form on pre-existing river ice or on the land surface. Three main types of icings are identified in existing literature: ground type,
spring type, and river type (Carey, 1973; Yoshikawa, 2007). River icings, which form when water discharges through a body of river ice and laps onto a frozen river surface, are not considered in this study. Only land-fast (spring and ground type) icings are considered. These two types of icings, conceptualized in Fig. 2 and 3, are groundwater sourced and can be differentiated by whether they occur from a permanent spring or from a temporary seep (Carey, 1973; Pollard and van Everdingen, 1992). Spring icings are formed from groundwater springs, where water tends to be sourced from sub-permafrost, deep groundwater reserves; these are often annually recurring features (Carey, 1973; Yoshikawa, 2007). Ground icings, which are temporary or intermittent features, are formed when water in the active layer becomes ‘trapped’ between a downward propagating freezing front and the top of the permafrost table (Carey, 1973). This is expected to occur when differences in surface topography result in an uneven freezing front, when soils of differing frost susceptibility interact, or when supra-permafrost taliks are formed. Shallow groundwater flow in permafrost regions often occurs through supra-permafrost taliks which exist in higher permeability, lower ice content soils (Woo and Xia, 1995; Jepsen et al., 2016). Though the physical mechanics of ground icings have not, to the knowledge of this work, been examined in any detail, it is understood that supra-permafrost taliks (distinct from lake taliks) transmit groundwater to surface water bodies (Jepsen et al., 2016; You et al., 2017) and it is therefore expected that such taliks could also transmit water directly to the ground surface, forming ground icings given a strong enough hydraulic gradient. Connon et al. (2018) report that supra-permafrost taliks thicken in response to a subsiding permafrost table and a thinning active layer, thus, it is also expected that continued climate
warming will result in more supra-permafrost groundwater flow and greater availability of water for discharge.

Remote geophysical instruments have been effective at monitoring and mapping icing distribution in regions where field instrumentation is difficult. The vast majority of satellite remote sensing research focused on icing distribution in North America has examined river icings in the Brooks Range of Alaska and Northern Yukon. Li et al. (1997) used single-look SAR (Synthetic Aperture Radar) from the ERS-1 satellite to monitor the seasonal growth of icings in the Inishak and Echooka river valleys. This study concluded that icings could be separated from other land cover types by observing low coherence values, noisy phase patterns, and large changes in radar backscatter. Yoshikawa et al. (2007) used a combination of near-infrared (NIR), shortwave infrared (SWIR) and thermal infrared data from Landsat and aircraft flights, and SAR data obtained from RADARSAT and ERS-1/2, to examine icing dynamics in another region of the Brooks Range. One of the most extensive remote sensing studies pertaining not specifically to river type icings was carried out by Morse and Wolfe (2015) with the goal of determining icing recurrence over a 24-year period. This work utilized an entire Landsat scene in the Great Slave Lake Region of the NWT – collected for 24 consecutive years – and analyzed the annual recurrence and sizes of icings.


Study Area

Location

The CMV is bound by the Mackenzie River in the east and the Mackenzie Mts. in the western portion of the study area. The valley is characterized by undulating topography which slopes gently upwards from the base of the Mackenzie River to the Mackenzie Mts. The CMV is comprised of three distinct ecosystems which, although similar overall, do possess some unique topographic characteristics. These regions are the North Mackenzie Plains, Carcajou Plains, and Mackenzie Foothills regions (Ecosystem Classification Group, 2007, 2010; AMEC, 2014). The North Mackenzie Plains comprise the majority of the study area, which is the undulating valley parallel to the Mackenzie River. The Carcajou Plains represent a transition zone between the flat wetland dominated plains in the east and the higher elevated regions in the west (AMEC, 2014). Finally, the Mackenzie Foothills represent the region parallel to the Mackenzie Mts. which has the greatest variability in elevation throughout the entire study area, spanning from 200 m a.s.l. at the eastern side, to 900 m a.s.l at the western side (AMEC, 2014).

Climate

The works of Rouse et al. (1997) characterize the CMV as a subarctic climate, owing to its below freezing mean annual air temperatures. Average annual mean air temperature, rainfall and snowfall are given by Environment Canada from 1943-2012 at climate stations in Norman Wells and Tulita: For Norman Wells and Tulita respectively, the average air temperature is -5.7°C and
-5.3°C, the average rainfall is 181.0 and 183.5 mm, and the average snowfall is 151.8 and 114.9 mm. For the Mackenzie Valley, the 2005 Arctic Climate Impact Assessment (ACIA) reports annual average temperature increases between 2-3°C during the past 50 years, and up to 4°C during the winter for a period from 1958-2012 (NWT Government, E.C., 2012). The same study reports an increase in precipitation from 1958-2012 in the fall and winter and a decrease in precipitation during the spring and summer. These trends are slight in all seasons but summer, where the decrease in average annual precipitation is significant (~25 mm over the course of the 50-year measured period). Zhang et al. (2019) document similar findings: an average annual temperature increase of 2.3°C in Northern Canada occurred between 1948 and 2016 with winter having the highest average temperature increase (4.3 °C); authors expect that more than half of this temperature increase can be attributed to anthropogenic sources. Zhang et al. (2019) projected average annual temperature increases in Northern Canada (under worst-case emission scenarios) to be 1.8, and 5.7 °C for years 2031-2050 and 2081-2100 respectively. The same study also predicts that precipitation will occur in increasing proportions as rainfall, owing to warmer temperatures in the winter causing inhibition of snowfall. Such climatic changes may have a significant impact on the groundwater flow regime and occurrence of icings.

**Permafrost and Hydrogeology**

Permafrost in the CMV is a combination of extensively discontinuous and intermediate discontinuous (AMEC, 2014). It marks the transition zone between these two permafrost classifications. In the Norman Wells region, some areas are not underlain by permafrost
at all, hence the classification of ‘discontinuous’, while some areas have permafrost thicknesses reportedly varying from 50 to 143 m. Permafrost is generally within 0.5-1 m of the surface, but this depth quickly increases when approaching surface water bodies. Measurements of permafrost depth and subsurface temperature monitoring indicates that taliks reside beneath many water bodies. These taliks may extend for several meters under small water bodies while permafrost may be entirely nonexistent beneath larger bodies such as the Mackenzie River or large lakes (Burgess and Smith, 2001).

Recent work suggests that permafrost in both the North and South Mackenzie Valley is thawing. Derksen et al. (2019) report that permafrost temperature in the Norman Wells area has been increasing in temperature since the mid-1980s. Active layer thickening also lends evidence to suggest that permafrost in this region is degrading; a thaw tube network throughout the CMV indicated that the active layer has been steadily thickening since 2008 (Derksen et al., 2019).

Several regional aquifers have been identified in a report by AMEC (2014), but the groundwater flow system and recharge/discharge dynamics are not well-understood. It is expected that regions which have lower ice-content permafrost (coarse grained materials) experience more precipitation-sourced recharge than do regions which have higher-ice content permafrost. The study area resides on the Northern Foreland Belt which consists of the Mackenzie Plain structural domain, sandwiched between the Mackenzie Mountains to the southwest and Franklin Mountains to the northeast (Hayes and Dunn, 2012). Bedrock geology consists of Cambrian to Cretaceous aged sedimentary rocks, which has undergone folding and minor faulting in a general
northwest-southeast trend. Glaciation during the Quaternary led to deposition of discontinuous deposits of till, glacio-lacustrine and glacio-fluvial sediments that vary in thickness.

Major aquifers in the region include the Early Cretaceous Martin House Formation, a thin, coarse grained sandstone and conglomerate unit and the Late Cretaceous fine-grained sandstone of the Little Bear Formation (Hayes and Dunn, 2012). Other potential aquifers are the sandstone and conglomerates of the Late Cretaceous Summit Creek Formation, and localized surficial deposits of coarser-grained Quaternary sediments. AMEC (2014) reports that groundwater isotopic signatures are highly variable: The shallow ‘Summit Creek’ aquifer contains relatively modern groundwater, whereas the deeper ‘Little Bear’ formation contains groundwater carbon dated to around 20,000 years (AMEC, 2014). Further details pertaining to the hydrogeology and geology of this region can be found in AMEC (2014) and Rudolph et al. (2016).

Of particular interest for this work is the Bogg Creek watershed, a region of proposed shale oil development and a focus area for hydrologic monitoring within the CMV. The geology of the Bogg Creek watershed consists mostly of shales and sandstones mantled by 2-40 m of glacial sediment. Structural features in the watershed include a regional syncline to the southwest and minor anticline to the north (Fallas and MacNaughton, 2013). The catchment itself resides east of the center and partially on the eastern limb of this syncline. Oil shales of the Canol Formation (located 1650 mbgs) were the target of oil and gas exploration activities prior to 2014 by Husky Energy.
(Rudolph et al., 2016). The oldest subcropping formation in the watershed is the Devonian aged Imperial Formation, consisting mostly of shale and mudstone. Overlying this are the early Cretaceous sandstone and conglomerate of the Martin House and shale of the Arctic Red Formations. The thickest unit, the Imperial Formation, overlies the Arctic Red Formation. This is characterized by shale and mudstone with minor sandstone. The Little Bear Formation sandstone and mudstone is the youngest rock unit in the watershed, and forms the center of the syncline (Fallas and MacNaughton, 2013). Till and glacio-lacustrine sediments blanket the bedrock through most of the watershed, a remnant of the last glaciation. Deposits of modern fluvial and glacio-fluvial sediments are also found closer to streams, while peatlands and organic deposits are common throughout the region (Côté et al., 2013). Both the bedrock and surface geology, as mapped by the Canadian Geological Survey, are illustrated in Fig. 4 and 5. The groundwater flow system within the Bogg Creek watershed is expected to consist of regional to local flow regimes controlled largely by permafrost distribution. Regional flow systems are hypothesized to originate along the southwestern limb of the geologic syncline and in the foothills of the Mackenzie Mountains. Groundwater is hypothesized to flow through taliks, and discharge as springs and into waterbodies in the watershed. Regional flow may also originate in the northeast from the Franklin Mountains, which form the eastern limb of the regional syncline. Local flow is hypothesized to occur in supra-permafrost zones and shallow taliks, through mineral and organic soils. This system is likely seasonally dependent.
**Methods**

**Remote Sensing Methods**

In this study, the identification of icings was first performed for the entire CMV using 30 m Landsat 4-5 Thematic Mapper (TM) and Landsat-8 Operational Land Imager (OLI) optical imagery, and Landsat 4-5/8 120 m thermal imagery for years 2004, 2009, and 2016. The acquisition dates of the imagery utilized in this work are summarized in Table 1. These three years were selected as imagery was available for the desired season and had few atmospheric interferences (i.e., low presence of clouds and haze). The study scene and geographic situation is shown in Fig. 1. Following the algorithm process developed by Morse and Wolfe (2015), late spring imagery from each of these years was used to discriminate areal icing coverage as shown in Fig. 6. Spring imagery was used as icings are most easily viewed in late spring when the majority of the snow pack has deteriorated. To obtain the icings, the Normalized Difference Snow Index (NDSI) was first applied to the spring image:

\[ \text{NDSI} = \frac{\text{Green} - \text{SWIR1}}{\text{Green} + \text{SWIR1}} \]  

(Hall et al., 1995)

Using a threshold value of 0.4, ice, snow, water, and marl water were separated from the rest of the land cover types. This algorithm is founded on the principle that snow and ice will absorb most of the incoming short-wave radiation and reflect in the visible portion of the electromagnetic spectrum. Multiple studies have confirmed the
effectiveness of the NDSI for this purpose (e.g., Hall et al., 1995; Salomonson and Appel, 2004; Cao and Liu, 2006). All values exceeding 0.4 were isolated from the image and used to mask the original multispectral TOA (Top of Atmosphere) conversion. Then, ice was separated from snow, water, and marl water using the MDSII (Maximum Difference Snow and Ice Index):

\[
MDSII = Green^2 - SWIR1^2
\]

(Morse and Wolfe, 2015)

In a similar manner to the NDSI, threshold values of the MDSII can be used to discriminate ice from other land cover types. For a study area near Great Slave Lake, NWT, Morse and Wolfe (2015) determined a value of 0.144 to be used for discrimination of ice when some snow is still present in the scene, and a value of 0.040 to be used when no snow is present. These threshold values were used for the current study as well. All late-spring scenes used to extract icings were snow free, therefore the MDSII threshold value separated ice from water and marl water (ice-water mixtures). Values in excess of the threshold were considered to be ice and were extracted from the image.

The MDSII extracts all ice in the study area, including ice on water bodies that may not have been completely melted. In order to remove this ice from the result, a water mask was generated using an image from the summer of that year (shown in Table 1). As the extent and location of thaw ponds and thermokarst lakes are expected to be variable
and vulnerable to modification from climate change and supra-permafrost groundwater flow (You et al., 2017; Fraser, et al. 2018), separate water masks were generated for each year (rather than just one), in order to most closely approximate the position of surface water bodies at that time. Use of the MDSII to detect marl water also assists with the removal of non-permanent water features that may not have been removed by the water mask. To generate the water mask, the NDWI (Normalized Difference Water Index) algorithm was applied to the summer TOA conversion:

$$NDWI = \frac{Green - NIR}{Green + NIR}$$

(McFeeters, 1996)

The result of this algorithm is a range of values from -1 to 1, where positive values are considered to be water. These values were extracted and converted to polygons. As some variability also exists within the stage of rivers and lakes, the polygon mask is grown outwards by 1.5 pixels (45m) to at least partially account for ice that may otherwise have been classified as an icing when it was actually surface water ice (Morse and Wolfe, 2015). The MDSII result was converted from raster format to polygon format, and the water mask was erased from the MDSII polygons to leave only land-fast ice (henceforth considered to be icings).

After locating the icings, thermal imagery was used in an effort to distinguish spring and ground type icings by their thermal signatures. It was hypothesized that when thermal effects of elevation, vegetation, and water bodies were removed from the scene, warm
anomalies of the snow pack would represent places where spring icings exist (since they continually discharge water), and that cold anomalies would represent areas where ground icings exist (since they have normally reached peak formation earlier in the winter). Sass et al. (2014) demonstrate this concept for a study area in the Prairie Parkland of Northern Alberta, also using Landsat imagery. In the work of Sass et al. (2014), strong correlation was found between known spring locations and warm zones (presumably discharge zones) within the snowpack.

A thermal image, taken from the late winter of each year, was used to compute Land Surface Temperature using the series of equations below and also illustrated in Fig. 6. For Landsat 4-5 TM, band 6 was used by default. For Landsat 8-OLI, band 10 was used. Though Landsat-8 OLI has two thermal bands (bands 10 and 11), the selection of band 10 for this work was arbitrary as only relative land surface temperature needed to be achieved. When calculating absolute land surface temperature is necessary, a Split Window Algorithm which considers both bands 10 and 11 may be used instead (e.g., Du et al., 2015).

First, digital numbers were converted to spectral radiance:

\[ R_\lambda = R_{\text{mult}} \times \text{DN} + R_{\text{add}} \]  

Where:

\[ R_\lambda = \text{Spectral radiance} \ (W/(m^2sr \ \mu m)) \]
\[ R_{\text{mult}} = \text{Sensor radiance multiplier (gain coefficient)} \ (W/(m^2sr \ \mu m)) \]
\[ R_{\text{add}} = \text{Sensor radiance add (bias coefficient)} \ (W/(m^2sr \ \mu m)) \]
\[ \text{DN} = \text{unaltered digital number} \]
Then to temperature in degrees Kelvin (to achieve at-satellite brightness temperature):

\[ T_U = \frac{K_2}{\ln \frac{K_1}{R_u} + 1} \]  

Where:

- \( T_U \) = Temperature (°K)
- \( K_2 \) = Thermal constant 2 (°K) (shown in Table 2)
- \( K_1 \) = Thermal constant 1 (mW cm\(^{-2}\)sr\(^{-1}\)μm\(^{-1}\)) (shown in Table 2)
- \( R_u \) = Spectral Radiance (W/(m\(^2\)sr μm))

To account for thermal interference related to vegetation density, at-satellite brightness temperatures were converted to land surface temperatures using Normalized Difference Vegetation Indices (NDVI) from the summer of each year. First, the NDVI was used to compute a proportion of vegetation (\( P_v \), a scaled NDVI) where \( NDVI_{\text{min}} \) is the lowest NDVI value in the scene and \( NDVI_{\text{max}} \) is the highest NDVI value in the scene:

\[ P_v = \left[ \frac{NDVI - NDVI_{\text{min}}}{NDVI_{\text{max}} - NDVI_{\text{min}}} \right]^2 \]  

(Carlson and Ripley, 1997)

Then the proportion of vegetation was used to calculate the emissivity of vegetation (\( \varepsilon \)):

\[ \varepsilon = 0.004 \times P_v + 0.986 \]  

(Cuenca and Sobrino, 2004)
At-satellite brightness temperature and the emissivity values were then used in conjunction to determine the land surface temperature (LST) where \( \omega \) (wavelength of emitted radiance) is the median wavelength of the thermal band:

\[
LST = \frac{B_T}{1 + \omega \left( \frac{B_T}{p} \right) \ln(e)}
\]

Where:

- \( LST \) = Land surface temperature (°C)
- \( B_T \) = At satellite brightness temperature (°C)
- \( e \) = Emissivity of vegetation (from Equation 7)
- \( \omega \) = Wavelength of emitted radiance (µm)
- \( p = h \cdot \frac{c}{s} \)
- \( h \) = Planck’s constant (1.438 \times 10^{-34} m K)
- \( c \) = Velocity of light (2.2998 \times 10^8 m s^{-1})
- \( s \) = Boltzmann constant (1.38 \times 10^{-23} J K^{-1})

(Avdan and Jovanovska, 2016)

Finally, the normality of the temperature distribution was verified using a Kolmogorov-Smirnov Normality Test and the LST values were standardized to Z-scores to allow temporal comparison over each selected year.

After the methodology was successfully performed for the CMV, the icing extraction portion was replicated for just the Bogg Creek Watershed for the year 2017 using higher resolution (5m) RapidEye-3 optical imagery obtained from Planet Labs (image acquisition details shown in Table 1). Bogg Creek, shown in Fig. 1, is a sub-watershed of the CMV which is the focus area for shale oil and gas extraction. As such, it has been
used as a field study location for the last several years. It forms part of a larger project whose goal is to establish baseline hydrologic monitoring conditions for the watershed in advance of oil and gas extraction.

Thermal anomalies were not obtained with RapidEye-3 imagery as a thermal band is not available on this sensor; only icings were obtained. As RapidEye-3 also does not have a shortwave infrared band (SWIR), the near infrared (NIR) band was used for the algorithms required to discriminate icings. Though the NDSI and MDSII algorithms shown above utilize the SWIR band, several studies have also used the NIR band to distinguish snow from other land cover types (Bühler et al., 2015; Korzeniowska et al., 2017). Therefore, it is expected that use of the near-infrared band for this application is reasonable.

### In Situ Methods

During the summer of 2018 and 2019, field work was completed in this watershed as part of the project described above. The data collected in the vicinity of TC-1 (Fig. 7) during these field campaigns was utilized to verify the groundwater discharge locations predicted by the remote method. This field data includes:

1. High resolution thermal infrared imagery collected during a low-altitude aerial survey:

   A low-altitude (75-300 m) aerial survey was conducted across parts of the watershed to capture thermal infrared imagery of potential groundwater discharge. This survey utilized a hand-held FLIR Model T650sc thermal...
infrared camera used in conjunction with a Sony HDR-PJ430V video camera
to record infrared and visual images of ground and water surfaces
simultaneously, using the methods described by B. Conant (2009) and
Rudolph, (2019). It was assumed that during this time of the year (Late
August), groundwater temperatures would be colder than atmospheric,
surface water, and ground surface temperatures. This temperature contrast
between surface water features, vegetation, and emergent groundwater
provides identification of colder thermal “anomalies” (potential springs) that
may be less observable in the visible light spectrum. The survey was
conducted along various transects of lake and stream edges, and along roads
and seismic lines. A GPS tracker recording positions every second during the
survey allowed for the geographic location of the anomaly to be determined
later through post-processing. Not all anomalies were necessarily indicative of
discharging groundwater, therefore additional field verification was needed.

2. Vertical hydraulic gradients:

Field verification of groundwater discharge conditions was achieved using the
portable PPX48 PushPoint Sampler (MHE Products) to measure vertical
hydraulic gradients and to collect groundwater samples. At a length of 122
cm, these samplers consist of 0.635 cm ID stainless steel tubing, with a fine
tipped drive-point and slotted screen at one end, and a small welded handle
and sampling port on the other. These portable and lightweight samplers act
as a temporary piezometer that can be pushed by hand through soft
sediments to take water and then removed afterwards. Small diameter
(<0.635 cm) water-level tapes can be used within the PushPoint Sampler to measure groundwater levels or clear polymer tubing can be attached to the sampling port if water is under artesian conditions.

3. Groundwater geochemistry:

At each potential spring location, ponded surface water was collected for major ion concentrations. As well, groundwater below the spring was collected from a depth of about 100 cm using a PushPoint sampler. Samplers were attached to 60 mL plastic syringes via 0.635 cm diameter clear polymer tubing, and samples drawn into the syringe by suction. Electroconductivity for each sample was determined with a handheld Oakton TDS/EC CON 10 meter. Samples collected for metals were filtered within 6 hours through 0.45 μm syringe filters and preserved with 1 mL 1:4 nitric acid. Collected samples were kept in coolers with icepacks and then refrigerated. Samples were sent within 3 days of collection to ALS Edmonton for analysis of major ions.

Results

Temperature Distribution

Using the methods described in the previous section, temperature distributions and standardized temperature distributions (z-scores) were generated for years 2004, 2009, and 2016. An example from 2016 is shown in Fig. 8a and 8b. The z-scores were reclassified into integer intervals where lower negative values represent colder anomalies, higher positive values represent warmer anomalies, and 0 is the mean
temperature of the scene. This was compared to both the distribution of icings by count and by areal coverage, using a Chi-Squared Goodness of Fit Test, as shown in Fig. 9. It was concluded that there was no significant difference \((\alpha = 0.05)\) in the observed distribution and the expected distribution (the distribution of the previous year) in any of the cases. It was also observed that the vast majority of icings, by both count and coverage, occur in weak anomalies (anomalies which are near the mean temperature) and that there is greater variation in both the discrete icing count and size between the years of 2016 and 2009 than between the years of 2009 and 2004. Because the vast majority of icings (by size and count) occurred near the mean temperature zones, spring sourced and ground sourced icings could not be definitively discriminated. This is explored further in the discussion.

**Icing Recurrence in the CMV**

Icings were delineated for each of the years 2004, 2009, and 2016, as shown in Fig. 10. Then, each of the two-year combinations were intersected to determine the percentage of recurring icings. All three years were also intersected to determine which icings would be most likely to recur again in the future. It was found that approximately 12.5\% of the icings recur in all three years, and therefore are more likely to be of spring type. These icings represent the most promising field monitoring locations within the CMV. Fig. 11 shows the icing overlap distribution for each year combination. Though the overlap range for all three years represents only the minority of icings, it is noted that the entire area covered by icings for each year is very consistent: 35.1 million sq. meters in 2004, 34.8 in 2009, and 32.9 in 2016 \((\sigma = 0.97 \text{ sq. meters})\).
Icings in the Bogg Creek Watershed

Following the analysis done for the entire CMV, icings were examined in more detail within the target shale oil and gas extraction watershed, Bogg Creek, using higher resolution RapidEye-3 imagery obtained from Planet Labs. A recurring icing complex was identified in the northwest portion of the watershed, as shown in Fig. 7. The development of icings in this complex from 2004, 2009, 2016, and 2017 is shown in Fig. 12. The icing complex is much less expansive in 2016 and 2017 than it is in 2004 and 2009. Nonetheless, the existence of the complex provides strong evidence to support the presence of spring sourced groundwater discharge. In addition to the icings in this complex, a few other recurring icings within Bogg Creek were identified (also shown in Fig. 7).

Field Verification in the Bogg Creek Watershed

In August of 2018 and 2019, a field campaign was established in the Bogg Creek Watershed and several of the icing locations given in Fig. 7 were visited with a high-resolution thermal camera. Additionally, field measurements were taken in the vicinity of TC-1. The following results support the hypothesis that recurrent icings are sourced from groundwater springs:

1. Thermal Infrared Imagery:

   Though high-resolution thermal camera imagery could not be collected during winter (due to poor flying conditions), very good agreement was found between the winter groundwater discharge locations given by the icings and the summer...
discharge locations given by the thermal camera. Selected summer thermal
images are shown in Fig. 13. Using this imagery, cool zones are expected to be
groundwater discharge points, as the land surface in the summer is warmer than
the discharging groundwater.

2. Vertical Hydraulic Gradients:

Artesian conditions were observed at two locations (samples GL1 and GL2) near
TC-1 during data collection in 2018, with water flowing through the sampling port
of the PushPoint Samplers. An upward vertical gradient was measured at TC-1
of about 0.04. This confirmed that these locations were in fact zones of
groundwater discharge (springs). Upon returning to the general location a year
later in 2019, artesian conditions were still noted to be occurring in the area
(sample GL3 in Table 3).

3. Geochemistry:

Electroconductivity in the vicinity of TC-1 was high compared to other supra-
permafrost groundwater (average of 1678 μS/cm compared to 929 μS/cm for
supra-permafrost groundwater) and surface water in the watershed (average 206
μS/cm). Examining a piper plot (Fig. 14) of the major ions reveals a unique
chemistry dominated by Ca/Na and HCO$_3$/Cl with very little SO$_4$. Geochemical
facies of this groundwater, shown in Table 3, seems to suggest that it consists of
a mixture of supra-permafrost and sub-permafrost groundwaters. However, the
exact origin of these waters cannot yet be determined as Na and Cl may occur
naturally in some of the unconsolidated overburden (data not shown) and is not
necessarily unique to sub-permafrost groundwater. Nonetheless, this
geochemical signature does suggest that subsurface sourced water unique from other groundwater and surface water is discharging in the vicinity of TC-1.

Discussion

Distinguishing Spring and Ground Type Icings

As aforementioned, it was hypothesized that icings would be segregated into either strong warm (2, 3, 4), or strong cold anomalies (-2, -3, -4) and that this property could be used to distinguish spring from ground icings. This discernment is important when establishing field monitoring sites as different mechanisms and physical processes within these two types of icings may affect the way that contaminants are moved to the surface and the way that icings interact with the flow system. This hypothesis forms its basis from the previously reviewed literature on icing phenomena, which indicates that ground icings may exhaust their supply of groundwater at some point during the winter and that spring icings have a continuous supply through the winter, reaching peak formation in the late spring (Carey, 1973; Kane, 1981; Yoshikawa et al., 2007). Weak anomalies (1, -1) are considered to represent either unaffected snowpack – snow that does not contain either active or inactive icings – or icings for which there is not strong enough evidence to conclude that they are one particular type.

As the majority of icings did fall within weak warm and cold anomalies, this suggests that the presence of icings, whether discharging groundwater or not, does not strongly affect the land surface temperature in the majority of cases for this study area. The
icings falling in the weak warm category (1 > z > 0) may be discharging enough warm
groundwater to fall above the mean temperature, but not enough to appear in the strong
warm. Similarly, the icings falling in the weak cold category (-1 < z < 0) may affect the
LST enough to fall below the mean, but not enough to appear in the strong cold
category. In theory, it is possible that the mean of the data (the 0-level z-score) could
distinguish ground from spring icings, however, the results provide insufficient evidence
to support this conclusion. If an icing were classified as spring type because it fell just
above the mean z-score of 0, it may in fact be a ground icing that is still discharging
warm water in the late winter or that has a low ice content. The same argument may be
made for an icing classified as ground type because it fell just below the mean. Perhaps
this is in fact a spring type icing which is discharging a small amount of groundwater on
that particular day. These examples are to say that because the weak anomalies
represent values closest to the mean, their contrast is not sufficient to discriminate icing
types. Additionally, if the icings are distinguished from one another using the entire
dataset, there is room for a large degree of error in classifying those icings which lie in
the weak anomalies. The icings which do fall into strong warm and cold anomalies may
be more definitively classified as either spring or ground type based on current
definitions of their physical occurrence, but because the majority do not fall into these
strong anomalies, it is concluded that thermal anomalies may not be an ideal variable
for discriminating icing type at this resolution. The spatial resolution of the Landsat
thermal band is only 120 m (re-sampled to 30m) and therefore may be too coarse to
detect temperature changes resulting from groundwater discharge. This is especially
true when the discharge locations are small and discrete, as they seem to be in this
region. Fine resolution thermal imagery may be more successful at discriminating spring and ground type icings in this region.

It should also be noted that there are other factors besides discharging groundwater that may play a role in determining land surface temperature. These include snow pack depth and density, and differential thermal insulation (Pérez et al., 2015). Several measures were taken to try to account for variation in the expression of the anomalies. These included: Conversion to land surface temperature rather than brightness temperature, removal of water bodies from the distribution, selection of images with similar snow depth (as given by Environment Canada climate stations in Norman Wells, see Table 1), selection of cloud-free images, selection of images taken at the same time of day, and temperature standardization.

**Recurring Icings and Implications**

The fact that the minority of icings observed in the study region (12.5% by area) recur in each of the three observed years suggests that the majority of icings are intermittent or temporary. Though it is possible for ground type icings to recur in the same location year to year, it is expected that these recurring icings are more likely spring type as there is greater evidence to suggest that springs yield recurring icings. Upon examination of the three-year recurring icings in greater detail, no relationship was found between either extent or count with the strong anomalies. This result is not surprising given that the majority of icings from all years coincide with weak anomalies. Given these results, no definitive conclusions are drawn regarding the type of icings that
three-year recurrences are likely to be. This does not mean that the three-year recurring icings are not of spring type, but it does suggest a large degree of variability in the hydrogeological regime, and that spring and ground type icings may not simply be distinguished based on the amount of water they are discharging in the late winter. Nonetheless, these recurring icings do provide promising locations for field monitoring, where further in-situ work may be able to classify their type more definitively. It has been demonstrated by field observations that ground icings contain more organic material (appearing brown in color) than due spring icings (Carey, 1973), and also that carbonate precipitates are found in spring-derived icings (Hall, 1980). These variables warrant further investigation in the CMV and in Bogg Creek. In this work, the observations that a) 87.5% of the icings by area do not recur in all years, and b) upon visual inspection, the distribution of anomalies is not consistent, further support the conclusion that these icings are intermittent and occur in different places on an annual basis.

In 2016, warm icings cover far more area than cold icings when compared to 2009 or 2004 (74.9%, 5.9%, 10.7% for years 2016, 2009, and 2004 respectively). This may indicate that more groundwater springs are becoming active in the spring months as permafrost continues to thaw. Several studies in Northern Canada and Alaska observe an increase in the amount of groundwater discharge as permafrost thaws and the active layer thickens. Walvoord et al. (2012) calibrated a model using data from the Yukon Flats Basin and found that projected future permafrost thaw results in increased river discharge from groundwater (GW) contributions, increased overall GW flux, and
increased lateral reach of groundwater discharge in low-lying areas. Evans and Ge
(2017), also using numerical modelling techniques, projected the amount of
groundwater discharge that will occur on hillslopes following a 100-year warming period
that induces permafrost thaw. They also observed significant increase in GW discharge
following climate warming. These findings lend strong evidence to suggest that
increasing proportions of warm icings in the CMV during late winter/early spring (when
the surrounding landscape is still colder than discharging groundwater) could be, at the
very least, partially resultant of permafrost thawing.

Icings in the Bogg Creek Watershed

The occurrence of icings within Bogg Creek is minimal when compared to the
occurrence of icings throughout the entire CMV. As aforementioned, there was one
major icing hotspot (complex) identified in northwestern region of the watershed. The
primary surficial texture class in this region is ‘fen’, therefore it follows that the water
table in this region is likely close to the surface and has strong potential for GW-surface
interactions. Based on the recurrence of icings in this region, they are more likely of
spring (permanent) type. Results of the in-situ data collection support this conclusion.
These include observations of discharging groundwater in 2018 and 2019, thermal
anomalies located with an infrared camera, and unique geochemical characteristics that
indicate groundwater here was unique from surface water and other supra-permafrost
groundwater.
In 2004 and 2009, a substantially larger icing exists on the eastern side of the two lakes which is not present in 2016 and 2017 (Fig. 12). Though permafrost thaw often increases groundwater discharge, as previously discussed, complete thaw may result in drainage and depression of the water table thus restricting discharge. Permafrost thaw does tend to occur more readily in the immediate vicinity of lakes and water bodies as taliks beneath those water bodies provide pathways for groundwater flow which convects heat to the surrounding soil matrix (Scheidegger and Bense, 2014). This is a possible cause of the decreased extent of the icing complex in 2016 and 2017.

Precipitation patterns may also play a role in the occurrence of this icing complex, however, the relationship between icings and precipitation has not been clearly established in previous literature.

**Characteristics of the Hydrogeologic System in the CMV**

The inconsistency in spatial icing distribution within the CMV does not appear to affect the total areal coverage of icings from year to year. The consistency in the total areal coverage of icings, calculated by summing the areas of each individual icing, suggests that the water available to supply icings is also consistent. Yoshikawa et al. (2007) concluded that the extent of icings monitored in the Brooks Range of Alaska is not nearly as sensitive to climate change as it is to source groundwater properties. It is suspected that this is also the case for icings in the CMV. As there have been numerous studies in the last few decades detailing dramatic climate changes in Northern latitudes, yet total icing coverage remains consistent in the CMV, this lends evidence to the idea that climatic changes are not adversely affecting the volume of groundwater discharge.
during the winter. Rather, climatic changes affect the spatial distribution and size of icings. This information is valuable in that it provides an indication of the amount of groundwater that discharges to the surface during the winter (excluding that which discharges into surface water). This metric could be used in part to characterize the overall hydrologic system in this region, and to determine what proportion of water available in the system is derived from a subsurface source. Areal coverage on its own is not particularly useful for this purpose, therefore, an empirical equation first proposed by Sokolov in 1973 is suggested to compute the volume of icings:

\[ V = b \ast F^n \]  

(9)  

Where \( V \) = volume of icings, \( b \) and \( n \) = aufeis growth coefficients, and \( F \) = the area of icing coverage, derived from satellite imagery

Empirical coefficients for this equation were determined for an aufeis field in the Brooks Range of Alaska in 1981 by Hall and Roswell:

\[ V = 0.96 \ast F^{1.09} \]  

(10)  

In situ studies would be useful to refine these coefficients for more precise use in the CMV, and/or to assess the applicability of the Brooks Range coefficients for use in this study area. An understanding of the volume of water which discharges throughout the winter would be valuable in gaining a more in-depth picture of the entire hydrogeologic system, and to aid with numerical modelling efforts.
Conclusions and Recommendations

The locations, sizes, and recurrence of areal features which are hypothesized to be icings within the Central Mackenzie Valley and the Bogg Creek Watershed were determined for years 2004, 2009, 2016, and 2017 using a combination of Landsat 4-5 TM/8 OLI and RapidEye-3 imagery. Additionally, thermal anomalies were calculated using the Landsat thermal band for years 2004, 2009, and 2016. Following these results, several important conclusions regarding icing occurrence were made:

i. The amount of winter groundwater discharging to the land surface in this region is stable from year to year, even though the spatial distribution of icings is not.

ii. Ground and spring icings may not function in the same way across all regions; ground icings may still discharge water late in the winter, and spring icings may undergo periods of little or no discharge.

iii. Due to variability in the distribution of recurring icings within thermal anomalies, and the large overall proportion of icings in weak anomalies for all years, coarse resolution thermal imagery should not be used to definitively distinguish ground and spring type icings. It should be used only to provide suggestions as to the mechanisms governing their formation. Thermal camera imaging aboard a helicopter, by contrast, was very effective at verifying predicated icing locations during summer.
iv. Priority icing/groundwater discharge monitoring locations were established for the Bogg Creek watershed and several were verified and examined in further detail using in situ methods. These priority monitoring locations are annually recurring icings and are likely spring sourced.

This work demonstrated a remote-sensing based method of identifying expected groundwater discharge zones. Moving forward, monitoring the icing distribution in this region with even higher resolution imagery, from unmanned aerial vehicles (UAVs), for example, would be of great value. Further exploration of a combination of remote sensing and in-situ methods conducted during winter (such as isotopic and geochemical methods) to resolve the source of the groundwater forming icings depicted in this work would assist in the overall understanding of the hydrologic regime. Additionally, monitoring selected icings and their growth throughout the winter season would allow for the establishment of growth coefficients that could more precisely estimate the volume of water which reaches the ground surface during winter.
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### Table 1. Image acquisition dates for all images used in remote analysis.

| Season     | Landsat 4-5 TM Sensor | Landsat 8 OLI Sensor | RapidEye-3 Sensor |
|------------|-----------------------|----------------------|-------------------|
| **Spring** | May 29\(^{\text{th}}\), 2004 | May 14\(^{\text{th}}\), 2016 | May 14\(^{\text{th}}\), 2017 |
|            | May 17\(^{\text{th}}\), 2009 |                      |                   |
| **Summer** | August 17\(^{\text{th}}\), 2004 | July 1\(^{\text{st}}\), 2016 | August 2\(^{\text{nd}}\), 2017 |
|            | July 30\(^{\text{th}}\), 2009 |                      |                   |
| **Winter** | April 17\(^{\text{th}}\), 2004 (**snow depth: 35 cm)** | February 8\(^{\text{th}}\), 2016 (**snow depth: 29 cm)** | N/A * |
|            | March 24\(^{\text{th}}\), 2009 (**snow depth: 31 cm)** |                      |                   |

* Winter thermal anomalies were not calculated for 2017
** As given for Norman Wells Climate Station A by Environment Canada on the acquisition date

### Table 2. Thermal constants and bias/gain coefficients used for thermal anomaly calculations.

| Sensor   | Thermal constant 1 \( \text{mW cm}^{-2} \text{sr}^{-1} \mu \text{m}^{-1} \) | Thermal constant 2 \( \text{°K} \) | Gain coefficient | Bias coefficient |
|----------|---------------------------------------------------------------|---------------------------------|------------------|-----------------|
| Landsat 4-5 TM | 607.76                                                       | 1260.56                         | 0.055158         | 1.2378          |
| Landsat 8 OLI  | 774.8853                                                     | 1321.0789                       | 0.00033420       | 0.10000         |
Table 3. Major ion concentrations [mg/L] for spring sites in the vicinity of TC-1 (summer icing footprint). GL1 and GL2 were collected in 2018 while GL3 was collected in 2019. GL1, GL2, and GL3 refer to three sampling locations on the TC-1 icing footprint.

| Sample | Date Sampled | Ca  | Mg  | Na  | K  | HCO₃ | CO₃ | Cl  | SO₄ |
|--------|--------------|-----|-----|-----|----|------|-----|-----|-----|
| GL1    | 9/1/2018     | 193.0 | 58.3 | 220.0 | 0.7 | 792.0 | <5.0 | 415.0 | 0.3 |
| GL2    | 9/1/2018     | 178.0 | 57.5 | 187.0 | <0.5 | 839.0 | <5.0 | 267.0 | <0.3 |
| GL3    | 8/23/2019    | 229.0 | 74.2 | 137.0 | 0.6 | 1050.0 | <5.0 | 207.0 | <0.3 |
Figure 1. CMV scene used for analysis, shown as a Landsat 8 OLI natural color composite from 2016 with the Bogg Creek Watershed as a subset, shown as a RapidEye-3 natural color composite from 2017. *Map generated using ArcGIS 10. Coordinates provided with reference to outset map.*
Figure 2. Conceptualization of a spring icing, which is sourced from sub-permafrost groundwater flowing under confined Artesian conditions. A through talik remains open throughout the year, therefore, the spring icing has a continuous source of water to supply it. The downward progression of the freezing front may increase pressure head in the Artesian well later in the winter.
Figure 3. Conceptualization of a ground icing where $S_w$ is the saturation of water and $S_\theta$ is the residual saturation of water (maximum saturation of ice). In early winter, an upward vertical hydraulic gradient is imposed in sandy sediment (low frost susceptibility) by the progressing freezing front and relatively less permeable sediment (ex. silt) surrounding it. The freezing front has progressed further in the silt due to its higher frost susceptibility. By mid-winter, the icing has reached peak formation and the freezing front has progressed to such a depth that vertical flow no longer exists.
Figure 4. Bedrock geology formations and structural features in the CMV and Bogg Creek Watershed (shown as a red outline). The composition of geologic formations as they relate to GW discharge are discussed in the Introduction. Geologic information obtained from Fallas et al. (2013). Map generated using ArcGIS 10.
Figure 5. Primary Surficial Geology in the CMV and Bogg Creek Watershed (show as a yellow outline). *Geologic information obtained from Côté et al. (2013). Map generated using ArcGIS 10.*
Figure 6. Detailed process summary used to extract icings and thermal anomalies.
Figure 7. Priority monitoring locations in the Bogg Creek Watershed, given by center coordinates of icings. TC 1, 2, and 3 represent the locations shown with thermal imaging in Figure 9. *Base map is a RapidEye-3 composite sourced from Planet Team, 2017. Map generated using ArcGIS 10.*
Figure 8a and 8b. Temperature distribution (a) and Z-score result (b), shown as an example from 2016. *Base maps are Landsat 8-OLI images. Map generated using ArcGIS 10.*
Figure 9. Comparison of thermal anomalies to discrete icing count for 2016 (a), 2009 (b) and to the areal icing coverage for 2016 (c) and 2009 (d). Expected values are given by the icing distribution for the previously analyzed year.
Figure 10. Example of the final icing result, shown for 2016, overlain on a Landsat 5 true color (RGB) composite. Selected subset shows an enlarged view of the icing distribution. *Map generated using ArcGIS 10.*
Figure 11. Icing overlap (recurrence) for each possible year combination as a percent of total icing area.
Figure 12. Progression of the Bogg Creek Icing Complex from 2004-2017 (icings shown in pink). *Base map is a RapidEye-3 composite sourced from Planet Team, 2017. Map generated using ArcGIS 10.*
Figure 13. Thermal imagery collected from helicopter flight for TC 1 and 2 (left) and for TC 3 (right). Groundwater discharge points are delineated with green circles.
Figure 14. Piper Plot of site-wide endmembers, including supra-permafrost groundwater (star), and sub-permafrost groundwater (X). Spring waters (green circles), collected from the GL sites described in Table 3, plot primarily within the Ca-HCO$_3$ facies but display high concentrations of Na and Cl with very little SO$_4$, making them unique within the watershed. Spring water appear to fall between supra-permafrost and sub-permafrost endmembers, though its origin cannot yet be definitively determined.