Correlation function based Gaussian network models

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Abstract

Gaussian network model (GNM) is one of the most accurate and efficient methods for biomolecular flexibility analysis. However, the systematic generalization of the GNM has been elusive. We show that the GNM Kirchhoff matrix can be built from the ideal low-pass filter, which is a special case of a wide class of correlation functions underpinning the linear scaling flexibility-rigidity index (FRI) method. Based on the mathematical structure of correlation functions, we propose a unified framework to construct generalized Kirchhoff matrices whose matrix inverse leads to correlation function based GNMs, whereas, the direct inverse of the diagonal elements gives rise to FRI method. We illustrate that correlation function based GNMs outperform the original GNM in the B-factor prediction of a set of 364 proteins. We demonstrate that for any given correlation function, FRI and GNM methods provide essentially identical B-factor predictions when the scale value in the correlation function is sufficiently large.

Key words: Gaussian network model, Flexibility-rigidity index, Thermal fluctuation

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Under physiological condition, proteins undergo everlasting motions, ranging from atomic thermal fluctuation, sidechain rotation, residue swiveling, to domain swirling. Protein motion strongly correlates with protein functions, including molecular docking, drug binding, allostery signaling, self assembly and enzyme catalysis. The range of protein motions in a cellular environment depends on the structure’s local flexibility, an intrinsic property of a given protein structure. Protein flexibility is reflected by the Debye-Waller or B-factor, i.e., the atomic mean-square displacement, obtained in structure determination by x-ray crystallography, NMR, or single-molecule force experiments. However, the B-factor cannot absolutely quantify flexibility: it also depends on the crystal environment, solvent type, data collection condition and structural refinement procedure.

The flexibility of a biomolecule can be assessed by normal mode analysis (NMA), elastic network model (ENM), Gaussian network model (GNM), anisotropic network model (ANM), graph theory, etc. NMA can be regarded as time-independent molecular dynamics (MD). NMA diagonalizes the MD potential to obtain a set of eigenvalues and eigenvectors, where first few eigenvectors predict the collective, global motions, which are potentially relevant to biomolecular functionality. NMA with only the elasticity potential, which is a leading term in the MD potential, was introduced by Tirion and was extended to the network setting in ANM. Here network refers to the connectivity between particles regardless of their chemical bonds. The GNM is a highly accurate network based flexibility method. Although it was originally advocated as an ENM and interpreted with the random Gaussian network theory, the GNM is strictly not an elastic model—it utilizes a Kirchhoff matrix, rather than the harmonic potential of elasticity. Additionally, its computational procedure does not directly invoke the random Gaussian network theory. Due to the lack of in-depth understanding, there is no rigorous analysis and/or systematic generalization of the GNM in the literature. Therefore, there is a pressing need to better understand the working principle of the GNM, which is crucial for its further improvement.

A common feature of the aforementioned approaches is that, they all depend on the mode decomposition of the potential matrix, which typically has the computational complexity of $O(N^3)$, where $N$ is the number of elements in the potential matrix. Yang et al. demonstrated that due to its network setting, the GNM is about one order more efficient than most other flexibility approaches.

Recently, we have proposed a few mode-decomposition free methods for flexibility analysis, i.e., molecular nonlinear dynamics, stochastic dynamics and flexibility-rigidity index (FRI). Among them, the FRI is of $O(N^2)$ in computational complexity and has been accelerated to $O(N)$ without loss of accuracy. The essential idea of the FRI method is to evaluate the rigidity index or the compactness of the biomolecular (network) packing by the total correlation. Then the flexibility index is defined as the inverse of the rigidity index. The correlation between any two atoms or residues is measured through correlation functions. The FRI can be regarded as a generalization of Halle’s local density model. The FRI method has been shown to be orders of magnitude more efficient and about ten percent more accurate than the GNM for the B-factor prediction of a set of 365 proteins.

The objective of the present work is to shed light on the GNM and FRI methods. Specifically, we reveal that the ideal low filter used in the GNM Kirchhoff matrix is a special admissible FRI correlation function, which is the limiting case of many commonly used FRI correction functions. This finding paves the way for understanding the connection between the GNM and FRI methods. Additionally, we introduce a generalized Kirchhoff matrix to provide a unified starting point for the GNM and FRI methods, which throws light on the similarity and difference between GNM and FRI. Moreover, based on this new understanding of the GNM working principle, we propose infinitely many correlation function based GNM methods. Finally, we unveil that the FRI and the GNM are asymptotically equivalent when the cutoff value in the Kirchhoff matrix or the scale value in the correlation function is sufficiently large. The present work offers a new strategy for the design and construction of accurate, efficient and robust methods for biomolecular flexibility analysis.

To establish notation and facilitate new development, let us present a brief review of the GNM and FRI methods. Consider an $N$-particle coarse-grained representation of a biomolecule. We denote $\{r_i \in \mathbb{R}^3, i = 1, 2, \ldots, N\}$ the coordinates of these particles and $r_{ij} = ||r_i - r_j||$ the Euclidean space distance between $i$th and $j$th particles. In a nutshell, the GNM prediction of the $i$th B-factor of the biomolecule can be expressed as

$$B_{i}^{\text{GNM}} = a \left( \Gamma^{-1} \right)_{ii}, \forall i = 1, 2, \ldots, N,$$  \hspace{1cm} (1)

where $a$ is a fitting parameter that can be related to the thermal energy and $\left( \Gamma^{-1} \right)_{ii}$ is the $i$th diagonal element of the matrix inverse of the Kirchhoff matrix.

$$\Gamma_{ij} = \begin{cases} 
-1, & i \neq j \text{ and } r_{ij} \leq r_c \\
0, & i \neq j \text{ and } r_{ij} > r_c \\
-\sum_{j,j \neq i}^{N} \Gamma_{ij}, & i = j 
\end{cases}, \hspace{1cm} (2)$$

where $r_c$ is a cutoff distance. The GNM theory evaluates the matrix inverse by $\left( \Gamma^{-1} \right)_{ii} = \sum_{k=1}^{N} \lambda_k^{-1} \left[ u_k^T u_k^T \right]_{ii}$, where $T$ is the transpose and $\lambda_k$ and $u_k$ are the $k$th eigenvalue and eigenvector of $\Gamma$, respectively. The summation omits the first eigmode whose eigenvalue is zero.

The FRI prediction of the $i$th B-factor of the biomolecule can be given by

$$B_{i}^{\text{FRI}} = a \frac{1}{\sum_{j,j \neq i}^{N} w_{ij} \Phi(r_{ij}; \eta)} + b, \forall i = 1, 2, \ldots, N,$$  \hspace{1cm} (3)

where $a$ and $b$ are fitting parameters, $f_i = \frac{1}{\sum_{j,j \neq i}^{N} w_{ij} \Phi(r_{ij}; \eta)}$ is the $i$th flexibility index and $\mu_i = \sum_{j,j \neq i}^{N} w_{ij} \Phi(r_{ij}; \eta)$ is the
examine whether the ILF is still a FRI correlation function. It is important to note that each diagonal element is a FRI rigidity index: \(\mu_i = \Gamma_{ii}(\Phi)\). Therefore, the generalized Kirchhoff matrix provides a unified starting point for both the FRI and GNM methods. However, the striking difference between the GNM and FRI methods is that to predict B-factors, the GNM seeks a matrix inverse of the Kirchhoff matrix (2), whereas, the FRI takes the direct inverse of the diagonal elements of the generalized Kirchhoff matrix (11).

Based on the above analysis, it is straightforward to construct correlation function based GNMs via the matrix inverse of the generalized Kirchhoff matrix (11), which leads to infinitely many new GNMs, including the original GNM as a special limiting case. It is also possible to construct the FRI by using the Kirchhoff matrix, which gives rise to a unique FRI. Question arise as what are the relative performance of these correlation function based GNMs and FRI methods. Another question is whether there is any further relation between these two distinguished approaches. Specifically, what is the relation between the diagonal elements of the GNM matrix inverse and the FRI direct inverse of the diagonal elements, for a given generalized Kirchhoff matrix? To answer these questions, we select two representative correlation functions, i.e., the Lorentz \((v = 3)\) and ILF functions to construct the generalized Kirchhoff matrix (11). The Lorentz function is a typical example for many correlation functions studied in our earlier work. The resulting two generalized Kirchhoff matrices (11) can be used for calculating the GNM matrix inverse or the inverse diagonal elements of the FRI matrix. This results in possible combinations or methods, namely, FRI-Lorentz, FRI-ILF,

Mathematically, the ILF is a special real-valued monotonically decreasing correlation function and also satisfies admissibility conditions (4) and (5). In fact, all FRI correlation functions are low-pass filters as well. Therefore, both GNM and FRI admit low-pass filters in their constructions. Indeed, GNM is very special in the sense that there is only one unique ILF, while, there are infinitely many other low-pass filters. Figure 1 illustrates the behavior and relation of the above low-pass filters or correlation functions. Clearly, the ILF is completely localized for any given cutoff value. In general, generalized exponential function and generalized Lorentz function are delocalized and the former decays faster than the latter for a given power. The combination of a low power value and a large scale gives rise to nonlocal correlations. Our earlier test indicates that \(v = 3\) and \(\eta = 3\text{Å}\) provides a good flexibility analysis for a set of 365 proteins.\(^19\)

To further bring to light the mathematical foundation of the GNM and FRI methods, we consider a generalized Kirchhoff matrix\(^{26,27}\)

Figure 1: Illustration of admissible correlation functions. (a) Correlation functions approach the ILF as \(\kappa \to \infty\) or \(v \to \infty\) at \(\eta = 7\text{Å}\). (b) Effects of varying scale value \(\eta\). Local correlation is obtained with large \(v\) and small \(\eta\) values. Whereas, nonlocal correlation is generated by small \(v\) and large \(\eta\) values.
GNM-Lorentz and GNM-ILF. Performances of these methods are carefully analyzed.

To answer the above mentioned questions, we first employ a pathogenic fungus Candida albicans (Protein Data Bank ID: 2Y7L) with 319 residues as shown in Fig. 2(a) to explore the aforementioned four methods. We consider the coarse-grained Cα representation of protein 2Y7L. We denote $B^{\text{GNM-ILF}}$, $B^{\text{FRI-ILF}}$, $B^{\text{GNM-Lorentz}}$ and $B^{\text{FRI-Lorentz}}$, respectively the predicted B-factors of GNM-ILF, FRI-ILF, GNM-Lorentz and FRI-Lorentz methods. The experimental B-factors from X-ray diffraction, $B^{\text{Exp}}$, are employed for a comparison. The Pearson product-moment correlation coefficient (PCC) is used to measure the strength of the linear relationship or dependence between each two sets of B-factors. To evaluate the performance of four methods, we compute the PCCs between predicted B-factors and experimental B-factors. Since performance of these methods depends on their parameters, i.e., the cutoff distance ($r_c$) in the ILF or the scale value ($\eta$) in the Lorentz function, the theoretical B-factors are computed over a wide range of $r_c$ and $\eta$ values.

Figure 3 depicts PCCs between various B-factors for protein 2Y7L. As shown in Fig. 3(a), the cutoff distance $r_c$ of the ILF is varied from 5Å to 64Å. The PCCs between $B^{\text{GNM-ILF}}$ and $B^{\text{Exp}}$ and between $B^{\text{FRI-ILF}}$ and $B^{\text{Exp}}$, indicate that both GNM-ILF and FRI-ILF are able to provide accurate predictions of the experimental B-factors. Their best predictions are attained around $r_c = 24$Å, which is significantly larger than the commonly used GNM cutoff distance of 7-9Å, partially due to the fact that protein 2Y7L is relatively large.

It is interesting to observe that GNM-ILF and FRI-ILF provide essentially identical predictions when the cutoff distance is equal to or larger than 20Å. This phenomenon indicates that when the cutoff is sufficiently large, the diagonal elements of the GNM inverse matrix and the direct inverse of the diagonal elements of the FRI correlation matrix become linearly dependent. To examine the relation between GNM-Lorentz and FRI-ILF, we compute PCCs between $B^{\text{GNM-ILF}}$ and $B^{\text{FRI-ILF}}$ over the same range of cutoff distances. As shown in Fig. 3(a), there is a strong linear dependence between $B^{\text{GNM-ILF}}$ and $B^{\text{FRI-ILF}}$ for $r_c \geq 10$Å. To understand this dependence at large cutoff distance, we analytically calculate the diagonal element of the GNM inverse matrix

$$(\Gamma^{-1}(\Phi(r_{ij}; r_c \to \infty)))_{ii} = \frac{N - 1}{N^2} \to \frac{1}{N} \text{ as } N \to \infty \quad (12)$$

and the FRI inverse of the $i$th diagonal element

$$\sum_{j,j \neq i}^{N} \frac{1}{\Phi(r_{ij}; r_c \to \infty)} = \frac{1}{N - 1} \to \frac{1}{N} \text{ as } N \to \infty. \quad (13)$$

These results elucidate the strong asymptotic correlation between $B^{\text{GNM-ILF}}$ and $B^{\text{FRI-ILF}}$ in Fig. 3(a). They also explain why predictions of the original GNM and FRI-ILF deteriorate as $r_c$ is sufficiently large because all the predicted B-factors become identical, i.e., with $N = 319$.

The performance and comparison between GNM-Lorentz and FRI-Lorentz are illustrated in Fig. 3(b) for the scale value $\eta$ from 0.5Å to 64Å. First, it is seen that the GNM-Lorentz is a successful new approach. In fact, it outperforms the original GNM for the peak PCCs. A comparison of the predicted B-factors and the experimental B-factors is plotted in Figs. 2(c) and 2(d) for GNM-Lorentz and FRI-ILF, respectively. It is seen that $B^{\text{FRI-ILF}}$ more closely matches the experimental B-factors than $B^{\text{GNM-Lorentz}}$ does due to the different fitting schemes employed by two methods as shown in Eqs. (1) and (3), respectively.

As shown in Fig. 3(b), the predictions from GNM-Lorentz and FRI-Lorentz become identical as $\eta \geq 5$Å. A strong correlation between $B^{\text{GNM-Lorentz}}$ and $B^{\text{FRI-Lorentz}}$ is revealed.
at an even smaller scale value. This behavior leads us to speculate a general relation

$$\left(\Gamma^{-1}(\Phi(r_{ij};\eta))\right)_{ii} \rightarrow \sum_{j,j \neq i} c \Phi(r_{ij};\eta), \quad \eta \rightarrow \infty, \quad (14)$$

where $c$ is a constant. Relation (14) means that the correlation function based GNM is equivalent to the FRI for a given admissible correlation function when the scale parameter is sufficiently large. This relation is certainly true for the ILF as analytically proved in Eqs. (12) and (13). Relation (14) is a very interesting and powerful result not only for sake of understanding GNM and FRI methods, but also for the design of accurate and efficient new methods.

It remains to prove that the above findings from a single protein are translatable and verifiable to a large class of biomolecules. To this end, we consider a set of 364 proteins, which is a subset of the 365 proteins utilized and documented in our earlier work.\textsuperscript{19} The omitted protein is 1AGN, which has been found to have unrealistic experimental B-factors. We carry out systematic studies of four methods over a range of cutoff distances or scale values. For each given $r_c$ or $\eta$, the PCCs between two sets of B-factors are averaged over 364 proteins. Figure 4 illustrates our results. Figure 4(a) plots the results of the ILF implemented in both GNM and FRI methods with the cutoff distance varied from 4Å to 23Å. Figure 4(b) depicts similar results obtained by using the Lorentz function implemented in two methods. The scale value is explored over the range of 0.5Å to 10Å.

First, the proposed new method, GNM-Lorentz, is very successful for the B-factor prediction of 364 proteins as shown in Fig. 4(b). The best GNM-Lorentz prediction is about 10.7% better than that of the original GNM shown in Fig. 4(a). In fact, GNM-Lorentz outperforms the original GNM over a wide range of parameters for this set of proteins, which indicates that the proposed generalization is practically valuable. Similarly, FRI-Lorentz is also about 10% more accurate than FRI-ILF in the B-factor prediction. Since the ILF is a special case and there are infinitely many FRI correlation functions, there is a wide variety of correlation function based GNMs that are expected to deliver more accurate flexibility analysis than the original GNM does.

Additionally, the FRI-Lorentz method is able to attain the best average prediction for 364 proteins among four methods as shown in the zoomed in parts in Fig. 4(b). However, for a given correlation function, the difference between FRI and GNM predictions is very small.

Moreover, for a given admissible FRI function, GNM and FRI B-factor predictions are strongly linearly correlated and reach near 100% correlation when $r_c > 9$Å or $\eta > 0.5$Å for 364 proteins as demonstrated in Fig. 4. This finding offers a solid confirmation of Eq. (14). Therefore, correlation function based GNMs, including the original GNM as a special case, are indeed equivalent to the corresponding FRI methods in the flexibility analysis for a wide range of commonly used scale values.

Furthermore, it has been shown that the fast FRI is a linear scaling method,\textsuperscript{19} while GNM scales as $O(N^3)$ due to their matrix inverse procedure. As a result, the accumulated CPU times for the B-factor predictions of 364 proteins at $r_c = 7$ or $\eta = 3$ are 0.88, 1.57, 5071.32 and 4934.79 seconds respectively for the FRI-ILF, FRI-Lorentz, GNM-ILF and GNM-Lorentz. In fact, GNM methods are very fast for small proteins as well. Most of the accumulated GNM CPU times are due to the computation of three largest proteins (i.e., 1F8R, 1H6V and 1QKI) in the test set.

It is worth mentioning that that the earlier FRI rigidity index includes the contribution from the first eigen mode, i.e., the diagonal term.\textsuperscript{19,25} The present findings do not change if the summation in the generalized Kirchhoff matrix (11) is modified to include the diagonal term and then the calculation of GNM matrix inverse is modified to include the contribution from first eigen mode, i.e., $(\Gamma^{-1})_{ii} = \sum_{k=1}^{N} \lambda_{k}^{-1} \left[u_{k} u_{k}^{T}\right]_{ii}$. In fact, this modification makes the generalized Kirchhoff matrix less singular and fast converging.

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