Macropinocytosis activated by oncogenic Dbl enables specific targeted delivery of Tat/pDNA nano-complexes into ovarian cancer cells

Background: Successful implementation of gene therapy heavily relies on efficiently delivering genetic materials and specific targeting into cells. Oncogene-driven endocytosis stimulates nutrient uptake and also develops an endocytosis-mediated defense against therapeutic agents. Cell-penetrating peptides, typically HIV-Tat, are well known for efficient delivery of nucleic acid drugs but lack targeting specificity. Various passive targeting strategies were pursued to enhance the tumor targeting efficiency; however, they are still limited by complicated cellular endocytosis routes and the heterogeneity of cancer types.

Methods: Tat/pDNA complexes were noncovalently compacted and their physiochemical properties were determined. The siRNA pool and pLV-RNAi-GFP lentivirus were used to knock down dbl oncogene (originally isolated from diffuse B-cell lymphoma) expression, and its overexpression was performed by plasmid transient transfection. The cellular uptake of fluorescent ligands was quantified by confocal imaging and flow cytometry analysis. The transgene efficiency was determined by the Luciferase expression assay. Rho GTPase activation was checked by the GST-Rho GTPase-binding domain pull-down assay.

Results: pGL3 plasmid DNA was noncovalently compacted with the Tat peptide into nano-size complexes at high N/P ratios. Macropinocytosis, a clathrin- and caveolin-independent endocytosis process, was shown to contribute to the uptake of middle-sized (~600 nm) Tat/pGL3 complexes. Cell-type-specific variation in macropinocytosis was essentially controlled by the action of the Dbl oncogene. Onco-Dbl presentation constantly induced a high level of macropinocytosis activity in ovarian cancer cells. Onco-Dbl overexpression hyperstimulated macropinocytosis enhancement in cells mainly through actin cytoskeleton reorganization mediated by the PH domain and Rac1 activation. The Dbl-driven Rho GTPase signaling collectively determined the cell-type-specific macropinocytosis phenotype.

Conclusion: Such an aspect can be exploited to selectively confer targeted delivery of Tat/pDNA nano-complexes into ovarian cancer cells. Our work provides a novel alternative for targeted delivery of cell-penetrating peptide-based nucleic acid drugs into certain tumor types if specific endocytosis pathways are used.

Keywords: onco-Dbl, macropinocytosis, Rac1, Tat/pDNA complex, targeting delivery

Introduction

Successful implementation of gene therapy highly relies on the efficient delivery of therapeutic genes into target cells of certain tissue. Nonviral-based nanoparticles are more suitable for disease treatment due to their higher loading capacity, better biocompatibility, non-tumorigenicity, simplicity in preparation, and flexibility in use.1–3 However, they have relatively low transfection efficiency, and some of them have toxic side
effects (eg, inducing hemagglutination by cationic liposomes/polymer) when complexed with plasmid DNA (pDNA).

Cell-penetrating peptides (CPPs) are well known for their efficient intracellular delivery of various biomolecules, including therapeutic genes incorporated in pDNA. Numbers of CPPs, typically HIV-Tat, have been used for nucleic acid drug delivery both in vitro and in vivo. There are two ways to deliver nucleic acids with CPPs, covalent conjugation and noncovalent complexation. While covalent conjugation forms well-defined entities which have desirable characteristics for drug design, the loss of biological activity after labor-intensive chemical modification limits this approach for clinical use. In contrast, the noncovalent strategy relies on the electrostatic interaction between positively charged CPPs and anionic nucleic acids, which leads to nano-size complex formation with increased serum stability. Furthermore, noncovalent complexation seems more suitable for large, negatively charged pDNA delivery because of easy handling and auto-release of the cargo into live cells.

One shortcoming of CPP-based nucleic acid drugs delivery is the general lack of target specificity. Two controlled delivery strategies were devised to selectively target cancer cells. Active targeting by the addition of a binding moiety (antibody or tumor-homing peptide) ensures specific attachment of CPP-nucleic acid complexes to target molecules overexpressed on the cancer cell surface. However, this strategy has problems: 1) adding a targeting moiety will not eliminate the uptake ability of CPP cargos by normal cells, which often causes undesired side effects; 2) sometimes the delivery efficacy is lost or the internalization mode is altered when CPP cargos are coupled to the targeting moiety. Passive targeting of CPP-based nucleic acid complexes is frequently pursued through changing properties (eg, enhanced permeability and retention effect) caused by a reaction with endogenous microenvironment factors (eg, pH, enzyme) at tumor sites. Although achieving encouraging results, these passive targeting practices are still limited by the spatial distribution of the tumor microenvironment. Therefore, novel approaches to enhance the targeting efficiency of CPP-delivered nucleic acid drugs specifically to tumors are urgent.

It has long been noticed that different cell lines use different endocytosis pathways for Tat-pDNA complex uptake. The uptake of the Tat-pCMVL complex is mainly through caveolae-mediated endocytosis (CvME) in human liver cancer and hamster ovary cells. In contrast, under the same condition, no CvME of the Tat-pCMVL complex by monkey kidney epithelial cells was observed. Different uptake mechanisms of the Tat-pEGFPLuc complex were also observed among human cervix cancer cells, monkey kidney fibroblasts, and mouse embryonic fibroblasts. These cell-type-specific variations in the endocytosis pathway seem to strongly depend on cell properties. In most cases, clathrin-mediated endocytosis (CME) and CvME were frequently observed in the uptake of Tat-pDNA complexes. However, macroendocytosis has also been found in some cases to be the dominating pathway. Considering that macroendocytosis is a nonselective fluid phase endocytosis that can occur in all cell lines and that dominating macroendocytosis occurs in certain cell types implies that there might be some specific factors driving macroendocytosis enhancement. Such an aspect may be exploited to confer the selectivity for targeted delivery of Tat-pDNA complexes.

In the present study, we evaluated the endocytosis pathway of the Tat/pDNA nano-complex among tumor and non-tumor cells, using a combination of biochemical, pharmacological, and cell biology approaches. Our data revealed that medium-sized (~600 nm) Tat/pDNA complexes follow a macroendocytosis route for uptake. The oncogenic protein Dbl (first discovered in human diffuse B-cell lymphoma), which is uniquely high-expressed in ovarian cancer cells, hyperstimulates constant macroendocytosis via the PH domain mainly mediated by Rac1 GTPase activation. This cell-type-specific Tat/pDNA macroendocytosis enhancement is largely through the actin cytoskeleton reorganization controlled by Dbl-triggered Rho GTPase signaling. Our work provides a novel alternative for targeted delivery of Tat/pDNA nano-complexes specifically into ovarian cancer cells.

Materials and methods

Cell culture, peptide, plasmids, antibodies, and reagents

SKOV3, Cos-7, HeLa, 3T3, 293, HepG2, and 7721 cells were obtained from the Type Culture Collection of the Chinese Academy of Sciences (People’s Republic of China). The pGL3 Luciferase Reporter plasmid (pGL3-control, E1741, was used for monitoring transfection efficiency) and the Luciferase assay kit (E1501) were obtained from Promega Corporation (Fitchburg, WI, USA). The HIV-Tat-derived peptide (GRK-KRRQRRPQPC-amide) was obtained from GL Biochem Ltd (Shanghai, People’s Republic of China). The rabbit anti-Cdc42 (SC-87), anti-RhoA (SC-179), anti-Rac1 (SC-217), anti-Dbl pAb (SC-89), mouse anti-GST mAb (SC-138), and anti-a-tubulin (sc-5286) were obtained from Santa Cruz Biotechnology Inc. (Dallas, TX, USA). The rabbit anti-B-actin Ab (AB10001), anti-GFP Ab (D1100008), and nocodazole (A606391) were...
obtained from Sangon Biotech (Shanghai, People’s Republic of China). The YOYO-1 (Y3601), Dextran-AF647 (D22914), Tfn-AF647 (T-23366), CTxB-AF647 (C-34778), goat anti-mouse IgG-AF488 (A-11001), anti-mouse IgG-AF647 (A32728), anti-rabbit IgG-AF488 (A-11070), 5-(N-ethyl-N-isopropyl) amiloride (E3111), Lipofectamine 3000 (L3000-015), Lipofectamine® RNAiMax (13778075), and the BCA protein assay Kit (23225) were obtained from Thermo Fisher Scientific (Waltham, MA, USA). Rhodamine-phalloidin (PHDR1) was purchased from Cytoskeleton, Inc (Denver, CO, USA). The Rac1 inhibitor (55350), Cdc42 inhibitor (217708), ROCK inhibitor (SCM075), and PAK1 inhibitor (P06101) were obtained from Merck (Darmstadt, Germany). Rhodamine B isothiocyanate-dextran (R9397), Cytochalasin D (C2618), Hoche33342 (B2261), polybrene (H9268), puromycin (P7255), and all fine grade chemicals were obtained from Sigma (Darmstadt, Germany).

Preparation of tat/pDNA-YOYO-1 complexes
The pGL3 plasmid (35 µg/mL) was labeled with YOYO-1 (10⁻⁵ M, in PBS) at a 1:5 ratio of dye molecule to DNA base pair (d/bp). The complexes were generated in 150 mM NaCl by drop-wise addition of Tat peptides to pGL3-YOYO-1 (30 minutes at room temperature). Different amounts of peptides were added to obtain N/P ratios (i.e., the molar ratio of total free amino groups in the Tat peptide to total free phosphate groups in pDNA taken in solution) that ranged from 1 to 20 (Table S1).

Electrophoretic mobility and retardation assay
pGL3 plasmids labeled with/without YOYO-1 were electrophoresed on agarose gel (containing EB) at 100 V for 45 minutes to check the mobility difference. To evaluate the stabilities of complexes, Tat/pGL3 or Tat/pGL3-YOYO-1 complexes at different N/P ratios were subjected to agarose electrophoresis to check the retardation effect.

Fluorescent quenching assay
Tat peptides were serially diluted in PBS corresponding to the indicated N/P ratios. Aliquots of pGL3-YOYO-1 (d/bp = 1:5) were pipetted to either the Tat solution or PBS buffer, and mixed thoroughly. The sample fluorescence was measured in quadruples (Ex filter: 480 nm, Em filter: 515 nm, FLUOstar Omega). The DNA condensation was calculated as (FL_comp/FL_NDNA)×100, where FL_comp and FL_NDNA were peak fluorescent emissions of complexed and naked DNA, respectively.

DNase I protection assay
Tat/pGL3 or Tat/pGL3-YOYO-1 samples (diluted in 15 mM NaCl) were treated with DNase I (1 unit per µg DNA), and the mixtures were incubated at 37°C for 60 minutes. Samples were subjected to agarose electrophoresis analysis.

Size and ζ potential measurement
The particle sizes, either in PBS (150 mM NaCl) or in icDMEM (110 mM NaCl), were determined by dynamic light scattering, and ζ potentials were electrophoretically measured by ZETAPALS/Bi-200SM (BROOKHAVEN). All data were collected over 2 minutes for each sample.

Luciferase gene expression assay
Tat/pGL3 complexes (10 µg of pDNA) diluted in 2.5 mL incomplete medium with/without corresponding drugs (Table S2) were added to 35 mm culture dishes (cell density: 2×10⁴ per cm² at 37°C or at 4°C for 4 hours of transfection. After changing to complete medium for an additional 38 hours growth, cells were lysed, debris-cleared, and aliquoted in triplicate for the Luciferase expression assay. The light emission was measured by integration over 10 seconds at 25°C (FLUOstar Omega). The activity (relative light units, RLU) was normalized for protein content.

Db/ constructs for overexpression or knockdown
Proto-Dbl, onco-Dbl, and their limited domain gene fragments (Figure 1A) were previously constructed and the GST-N-terminal cloned into pEBG plasmids for overexpression.²²-²⁴ Recombinant Dbl plasmids were transient transfected by Lipofectamine®3000 according to the manufacturer’s instructions. Two kinds of Dbl knockdown approaches were used: the siRNA oligo pool (GenePharma) was used for short-term gene silencing in most uptake-checking assays. To observe the constant changes of the cellular skeleton, the GFP-tagged pLV-RNAi system (Biosettia) was used for long-term gene silencing (Table S3). The Dbl-shRNA lentivirus (in pLV-H1-EF1α-GFP-Puro plasmid, SORT-B29) stably transduced Cos-7 and SKOV3 cell lines were similarly produced as previously described.²⁵,²⁶

Uptake measurement by confocal imaging analysis
The incubation conditions for endocytosis markers (Tfn-AF647, 15 minutes; Dextran-AF647/dextran-RITC, 1 hour; CTxB-AF647, 30 minutes) and Tat/pGL3-YOYO-1 complexes (10 µg pDNA/mL, 1 hour) were first optimized (Table S2). Transfected cells were incubated with fluorescent
labeled ligands at 37°C, rinsed twice with PBS/0.5M NaCl, and immediately image-acquired using an Olympus FV 1000 confocal microscope. Software-based quantification analysis of intracellular fluorescent particles was performed as previously described.25,26 Polymerized actin and GST-fused protein expressed cells were stained by Rhodamine-phalloidin (100 nM) and the anti-GST antibody (1:200) plus anti-mouse Ab-AF647 (1:1,000), respectively.

Uptake measurement by flow cytometry analysis
The binding and uptake measurement were performed as previously described.25,26 Trypan Blue was added to quench cell-surface-associated fluorescence before cells were harvested for immediate fluorescence activated cell sorting analysis. To compare the uptake in cultures with drug inhibition, medium conditions were achieved in cells via incubation with Rho GTPase inhibitors (50 μM) or endocytosis inhibitors (Table S2). Stock solutions of these drugs were made in dimethyl sulfoxide (DMSO), diluted in incomplete medium (w/o FBS), and the final concentration of DMSO was <0.4%.

Rho GTPase activation assay
The Cdc42/RhoA/Rac1 activation level was checked as previously described.26 Serum-starved cells were lysated, and the pre-cleared cell lysate was incubated using...
GST-PAK-CRIB beads (for Cdc42/Rac activation) or GST-Rhotekin-RBD beads (for RhoA activation). After subjecting the collected pellets to SDS-PAGE, the GTP-bound Cdc42, RhoA, and Rac1 in samples were determined by Western blotting.

**Western blotting**

The protein concentration of the samples was measured using the BCA assay, resolved by SDS-PAGE, and blotted onto PVDF membranes with Abs diluted as follows: anti-Dbl Ab (1:200), anti-GST Ab (1:500), anti-Cdc42 Ab (1:250), anti-RhoA Ab (1:250), anti-Rac1 Ab (1:200), and anti-B-actin Ab (1:2,000).

**Statistical analyses**

All data were obtained from at least three independent experiments and are presented as the mean ± SD. For two-sample comparisons against the control, the unpaired Student’s t-test was used unless otherwise noted. One-way analysis of variance with Dunnett’s multiple comparison was used to evaluate the statistical significance of at least three groups of samples. Graphs were created using GraphPad Prism five software (*P<0.05, **P<0.01).

**Results**

Noncovalent compact pDNA with HIV-Tat into nano-size complexes pDNA binding is a prerequisite for CPP-based gene delivery. The gel retardation assay showed that Tat peptides were able to completely bind either with pGL3-YOYO-1 or with the pGL3 plasmid at N/P ratios no less than 5 (Figure 2A and B). This indicates that Tat peptides are effective in pDNA binding and that the binding ability is not susceptible to YOYO-1 dye labeling. The condensation of pDNA into particulate structures is essential for gene delivery. For this reason, we next examined the capability of Tat peptides to condense pDNA and to form particulate complexes. Laser light scattering results showed that all of the Tat/pGL3-YOYO-1 complexes had an average diameter >1 µm when the N/P ratios were no more than 5, which decreased to ~600 nm in PBS buffer (150 mM NaCl, pH 7.4) or in DMEM (110 mM NaCl, pH 7.4) when the N/P ratios were no less than 10 (Table 1). Similar size changes of the Tat/pGL3 complexes were seen when the N/P ratios were >5. Both Tat/pGL3-YOYO-1 and Tat/pGL3 complexes had a negative ζ-potential of approximately -17 mV to -41 mV at an N/P ratio of 1, which changed to a positive ζ-potential more than +1 mV and markedly increased with the enhanced amount of peptides (Table 1). The gradually increased positive ζ-potential and the particle size remained stable when N/P ratios were >5, indicating the formation of compact complexes.

The degree of pDNA compaction in the complex was further determined by the DNase I protection assay. Either pGL3 or pGL3-YOYO-1 in the complexes prepared with an N/P ratio of 1 was only partially protected from DNase I digestion. The total resistances to the action of DNase I were observed in the complexes obtained with N/P ratios >5. When high N/P ratios were used, complexes formed in both PBS or in DMEM provided extensive protection.

![Figure 2](https://example.com/figure2.png)

**Figure 2** Compact pDNA with HIV-Tat into nano-size Tat/pDNA complexes.  
**Notes:** (A) Agarose electrophoretic mobility assay. The ratio for YOYO-1-labeled pDNA was 1:5 (D:BP). M: DNA ladder; 1: pGL3; 2: pGL3-YOYO-1. (B) Gel retardation assay. M: DNA ladder; lanes 1–4: Tat/pGL3-YOYO-1 complexes; lanes 5–8: Tat/pGL3 complexes; in both groups, the N/P ratios between Tat and pGL3 were 0, 1, 5, 20, respectively. (C) DNase I protection assay. Lanes 1–5: Tat/pGL3-YOYO-1 complexes (N/P = 0, 1, 5, 10, 20, respectively) without DNase I treatment. Lanes 6–10: corresponding Tat/pGL3-YOYO-1 complexes with DNase I treatment. (D) Fluorescent quenching assay. The relative fluorescent intensity ratio depending on the charge ratio of Tat/pGL3-YOYO-1 complexes is plotted with respect to the fluorescence of pGL3-YOYO-1 before condensation. YOYO-1-labeled pGL3 was completed with the Tat peptide with increasing charge ratios.
from nucleases (Figure 2C). Moreover, the compaction extent of complexes was also analyzed by the fluorescent quenching assay. YOYO-1 fluorescent quenching was strongly increased at low charge ratios, reached a plateau at an N/P ratio of 5, and only slightly increased at higher ratios for further pGL3-YOYO-1 condensation (Figure 2D). Obviously, the low remaining fluorescent level (<40%) of YOYO-1 after Tat competition-induced fluorescent quenching further indicated that pDNA was tightly packed into nano-size complexes at the physiological level of ionic strength.

Medium-sized tat/pDNA nano-complexes mainly use macropinocytosis for uptake

As debate still exists on the delivery of CPP-based nucleic acid drugs, from nucleases (Figure 2C). Moreover, the compaction extent of complexes was also analyzed by the fluorescent quenching assay. YOYO-1 fluorescent quenching was strongly increased at low charge ratios, reached a plateau at an N/P ratio of 5, and only slightly increased at higher ratios for further pGL3-YOYO-1 condensation (Figure 2D). Obviously, the low remaining fluorescent level (<40%) of YOYO-1 after Tat competition-induced fluorescent quenching further indicated that pDNA was tightly packed into nano-size complexes at the physiological level of ionic strength.

Medium-sized tat/pDNA nano-complexes mainly use macropinocytosis for uptake

As debate still exists on the delivery of CPP-based nucleic acid drugs, we next examined the uptake mechanism of Tat/pDNA complexes. For each of the N/P ratios, transfections performed both at 4°C and in the presence of metabolic inhibitors led to a strong reduction of Tat/pGL3 transgene expression in Cos-7 cells (Figure 3A and B). In contrast, transfection efficiencies in the presence of chloroquine, which reduces endolysosomal entrapment and degradation of Tat/pGL3 complexes, were enhanced 2- to 7-fold (Figure 3C). Similar trends were observed for the uptake of Tat/pGL3-YOYO-1 complexes when checked by confocal imaging analysis. These data indicate that the uptake of Tat/pDNA complexes was apparently through endocytosis and not mediated by direct membrane penetration.

Seeing that several uptake routes (eg, CME, CvME, and macropinocytosis) are possibly involved in endocytosis, we next determined the endocytosis pathway required for Tat/pDNA complexes by using various markers and inhibitors (Table S2). Confocal imaging analysis showed that Tat/pGL3-YOYO-1 complexes with an N/P ratio of 10 were not co-localized with the CME marker Tfn-AF647 and lipid raft marker CTxB-AF647 (Figure S1). This contrasts with the majority of the complexes that were co-localized with the macropinocytosis marker dextran-AF647 (Figure 3D). Similar co-localization patterns were observed for Tat/pGL3-YOYO-1 complexes with an N/P ratio of 20. For Tat/pGL3-YOYO-1 complexes with lower N/P ratios, the total number of intracellular pDNA spots was markedly reduced, and no pDNA spots were found in cells transfected with pGL3-YOYO-1 vectors. The contribution of macropinocytosis to the uptake of medium-sized (~600 nm) Tat/pDNA complexes under non-stimulating condition was further verified. Cos-7 cells pretreated with the CME inhibitor CPZ did not inhibit Tat/pGL3 (N/P=5) transgene expression. The CvME inhibitor filipin did not significantly inhibit this transgene expression. The cholesterol-depleting reagent MBCD, which destroys the caveolae-required lipid raft structure, however, slightly enhanced this transgene expression. When Cos-7 cells were pretreated either with the macropinocytosis inhibitor EIPA or with CyD, the transgene expression of the Tat/pGL3 complexes (N/P=5) was significantly inhibited (Figure 3E). In addition, similar uptake changes of Tat/pGL3-YOYO-1 (N/P=10, 20) complexes were observed when using these inhibitors in the flow cytometry assay (Figure 3F). These results suggested that macropinocytosis is the dominant uptake pathway for medium-sized (~600 nm) Tat/pDNA complexes.

Rho GTPases are involved in cell-type-specific macropinocytosis of tat/pDNA complexes

To further investigate the contribution of macropinocytosis to the uptake of medium-sized (~600 nm) Tat/pDNA complexes, more available cell lines (tumor and non-tumor) in our laboratory were included in the luciferase gene expression assay. Different levels of luciferase expression were observed after Tat/pGL3 (N/P=5) complex transfections (Figure 4A), which sharply contrasted with the non-significant expression changes in N/P=10 or N/P=20 groups (Figure 4B). In view of the co-localization of Tat/pGL3-YOYO-1 complexes with dextran-AF647 (Figure 3D),
this contrasted transfection expression suggests that there might be cell-type-specific macropinocytosis variations. In fact, when checking the uptakes of endocytosis markers and Tat/pGL3-YOYO-1 complexes (N/P = 10) by flow cytometry, there were less different internalizations of Tfn-AF647 and CTxB-AF647 among these cells, but the highest uptake levels of dextran-AF647 and Tat/pGL3-YOYO-1 complexes in SKOV3 cells were observed (Figure 4C). These two
Rho GTPases are involved in the macropinocytosis of Tat/pDNA complexes.

Notes: Luciferase activity was determined in tumor and non-tumor cell lines transfected with Tat/pGL3 complexes at N/P ratios of 5 (A), 10, and 20 (B). The uptakes of CME marker (Tfn-AF647), lipid raft marker (CTxB-AF647), macropinocytosis marker (dextran-AF647), and Tat/pGL3-YOYO-1 complexes (N/P = 10, 20) were quantified by fluorescence activated cell sorting and compared among tumor and non-tumor cell lines (C). About 10,000 cells were counted per sample in each experiment. Confocal imaging analysis of the uptakes of dextran-AF647 and Tat/pGL3-YOYO-1 complexes (N/P = 10) in the presence of different rho GTPase inhibitors (–) as described in the “Materials and methods” section. Representative images are shown in (D). Scatterplots depict the uptake changes of indicated ligands in single cell populations (E). About 60 cells were counted for each treatment in each experiment. **P < 0.01.

Aspects together revealed that macropinocytosis of medium-sized (∼600 nm) Tat/pDNA complexes in SKOV3 cells is uniquely high.

Macropinocytosis is generally regulated by several signaling pathways, most of which involve Rho GTPases.29 It is unclear whether the medium-sized (∼600 nm) Tat/pDNA complex was internalized via the same signaling pathway. To address this issue, SKOV3 cells were preincubated with Rho GTPase inhibitors for 1 hour before Tat/pGL3-YOYO-1 complexes (N/P = 10) were added for uptake. Confocal imaging showed that Rac1 and Cdc42 inhibitors strongly decreased the uptake of Tat/pGL3-YOYO-1, and the ROCK inhibitor partially blocked the uptake of Tat/pGL3-YOYO-1 (Figure 4D and E). PAK1 is a downstream signal mainly...
activated by Cdc42 and Rac. As expected, the PAK1 inhibitor blocked Tat/pGL3-YOYO-1 uptake just as the Cdc42 and Rac1 inhibitors did (Figure 4D and E). However, a relatively less impaired uptake of Tat/pGL3-YOYO-1 was observed when cells were treated with the ROCK inhibitor (RhoA effector). Intracellular fluorescent particles were also counted by flow cytometry, and the uptake levels were significantly lower in the cells incubated using Tat/pGL3-YOYO-1 with inhibitors than in those incubated by Tat/pGL3P-YOYO-1 alone (Figure 4F). The expression of inhibition of Tat/pGL3-YOYO-1 complexes (N/P=5) was similarly observed in SKOV3 cells treated with Rho GTPase inhibitors (Figure S2). Apparently, Rho GTPase signaling from Rac1, Cdc42, and RhoA are all involved in the macropinocytosis of Tat/pDNA complexes.

**Dbl increases the macropinocytosis of tat/pDNA complexes in ovarian cancer cells**

Despite Rho GTPases being involved in Tat/pDNA macropinocytosis, it remains unclear why the macropinocytosis level is distinctly high only in SKOV3 cells. As Rho GTPases are always activated by upstream factors mainly through Dbl family members, we then investigated whether Dbl, the representative prototype of this oncogenic family, plays a unique role in cell-specific signaling that may differentially regulate macropinocytosis activity. Western blot of these cell lines showed that proto-Dbl was expressed at the highest level in SKOV3 cells but less expressed in other cells and not expressed in Cos-7 cells. Remarkably, the onco-form of Dbl (onco-Dbl) only appeared in SKOV3 cells (Figure 5A). Interestingly, when the internalization of endocytosis markers was analyzed, both confocal imaging and flow cytometry analysis showed that the uptake of dextran-AF647 was most markedly high in SKOV3 cells (Figures 4C and 5B and C). It seems that high proto-Dbl expression and unique onco-Dbl presentation are positively correlated with the dominating macropinocytosis activity in SKOV3 cells.

To directly determine the role of Dbl on macropinocytosis regulation, Dbl-siRNA-transfected SKOV3 cells were overexpressed with proto-Dbl and onco-Dbl constructs (Figure 5D). We found that Dbl knockdown not only significantly decreased the uptake of dextran-RITC (average decrements 45% and 40% were obtained in FCM and confocal analyses, respectively) but also decreased the uptake of Tat/pGL3-YOYO-1 complexes (average decrements 45% and 40% were obtained in FCM and confocal analyses, respectively). Notably, the knockdown-decreased uptakes of dextran-RITC and Tat/pGL3-YOYO-1 were only partially reversed by proto-Dbl overexpression but completely reversed by onco-Dbl overexpression (Figure 5E–H). No significant uptake changes of Tfn-AF647 and CTxB-AF647 were observed after Dbl gene modulations (Figure S3A and B). In addition, the rescued macropinocytosis of Tat/pGL3-YOYO-1 by onco-Dbl overexpression mirrored the increased transgene expression of Tat/pGL3 complexes (N/P=5) in Dbl knockdown SKOV3 cells (Figure 5I). These results strongly support that onco-Dbl, but not proto-Dbl, promotes the macropinocytosis of Tat/pDNA complexes in SKOV3 cells.

**Dbl hyperstimulated macropinocytosis via PH domain mediated by Rac1 activation**

Dbl is the putative GEF (guanine nucleotide exchange factor) for Rho GTPases containing tandem sec14-like, spectrin-like (SP), Dbl homology (DH), and pleckstrin homology (PH) domains. To examine which domain of Dbl determines the macropinocytosis promotion, Cos-7 cells were transfected with full-length Dbl or its truncated domain plasmids (Figure 1A and B), and the uptakes of Tat/pGL3-YOYO-1 and endocytosis markers were compared. Compared with onco-Dbl, PH, or DH-PH expression alone significantly increased the macropinocytosis of Tat/pGL3-YOYO-1 and dextran-AF647 (Figure 1C–E, H, and I). DH or T1 expression alone resulted in only a small macropinocytosis increase for Tat/pGL3-YOYO-1 (Figure 1C, E, and I) but had different effects on dextran-AF647 uptake (Figure 1C, D, and H). No uptake changes of these drugs were observed among the remaining transfections. In contrast, the uptakes of CTxb-AF647 and Tfn-AF647 were both inhibited by PH or DH-PH expression (Figure 1C, F, G, J, and K). Thus, the role of onco-Dbl in the promotion of Tat/pDNA macropinocytosis is likely determined by the PH domain, but not by the DH domain.

Rho GTPases are the master regulators for macropinocytosis, and their activity is stimulated by upstream growth factors mediated by Dbl family members. To fully determine the promotion role of onco-Dbl in macropinocytosis, we further examined the effects of Dbl expression on Rho GTPase activation in Cos-7 cells. Onco-Dbl expression induced nearly five times the increment in Rac1 activation, and nearly three times the increment in Cdc42 and RhoA activation. However, proto-Dbl expression did not significantly induce RhoA activation but induced a small increment in Cdc42 and Rac1 activation (Figure 6A and B). Compared with onco-Dbl transfection, expression of DH-PH or PH domain similarly increased Rac1 activation.
indicated plasmids. These results showed that expression of activation (Figure 6A and B). In addition, no Rac1, Cdc42, or domain expression did not significantly induce the activation, whereas expressions of proto-Dbl and its other onco-Dbl, particularly the PH domain, highly stimulates Rac1 activation, whereas expressions of proto-Dbl and its other domains only induced limited Rac1 activation.

Rac1 activation has long been known to drive macropinocytosis upregulation by controlling cytoskeleton dynamics.\textsuperscript{7,22} To examine whether macropinocytosis promotion induced by onco-Dbl was because of enhanced actin dynamics, we investigated the effects of Dbl expression on cytoskeleton organization change. Onco-Dbl and DH-PH

(330% and 280%, respectively, on average), but relatively less increased the activation of Cdc42 (only 180% and 170%, respectively, on average) and RhoA (only 150% and 130%, respectively, on average). It is noteworthy that DH domain expression did not significantly induce the activation of Cdc42 and RhoA, but only slightly increased Rac1 activation (Figure 6A and B). In addition, no Rac1, Cdc42, or RhoA activations were detected after transfection with other indicated plasmids. These results showed that expression of onco-Dbl, particularly the PH domain, highly stimulates Rac1 activation, whereas expressions of proto-Dbl and its other domains only induced limited Rac1 activation.

Figure 5 Onco-Dbl promotes the macropinocytosis of Tat/pDNA complexes.

Notes: (A) Western blot determined the expression level of proto- and onco-Dbl in available cell lines (tumor and non-tumor). (B) Confocal imaging analyzed the dynamic difference of macropinocytosis among cell lines. Representative images are shown. Scale bar: 20 µm. (C) Scatterplots depict the uptake changes of dextran-AF647 in single cell populations. At least 60 cells were counted for each cell line. (D) SKOV3 cells were first transfected with the Dbl-targeted siRNA pool (Dbl-siRNA) or scrambled siRNA (scb-siRNA) and then transfected with GST-tagged proto-Dbl or onco-Dbl plasmids for rescued overexpression. The cell lysates were analyzed by Western blotting with rabbit anti-Dbl Ab as described in the “Materials and methods” section. (E) Confocal imaging analyzed the uptake of dextran-RITC and Tat/pGL3-YOYO-1 complexes in Dbl-siRNA transfected SKOV3 cells rescued by Dbl-GST plasmid overexpression (labeled by anti-GST Ab with anti-mouse Ab-AF647 staining). Representative images are shown. Scale bar: 20 µm. (F, G) Scatterplots depict the uptake changes of indicated drugs in single cell populations. Uptake levels in scb-siRNA transfected cells, NC, were set as 100% for comparison. At least 60 cells were counted for each transfection. (H) The uptake levels of dextran-RITC and Tat/pGL3-YOYO-1 complexes from transfected populations were analyzed and quantified by fluorescence activated cell sorting. About 10,000 cells were counted per transfection in each experiment. Statistically significant differences compared with scb-siRNA (NC) transfected cells are shown. (I) Luciferase activity was determined in Dbl-siRNA transfected SKOV3 cells rescued by Dbl-GST plasmid overexpression. Luciferase expression level in scb-siRNA (NC) transfected cells was set as 100% for comparison. *P<0.05, **P<0.01.
Figure 6 Dbl promotes macropinocytosis via cytoskeleton reorganization mediated by the PH domain and Rac1 activation.

Notes: (A) Cos-7 cells were transfected with GST-fused Dbl or its limited-domain constructs, serum-starved for 16 hours, and then the fractions of activated Rac1, Cdc42, and RhoA were measured via the GST pull down method as described in the “Materials and methods” section. Primary Abs with mouse anti-GST, rabbit anti-Rac1, anti-Cdc42, anti-RhoA, and anti-α-actin were used for Western blotting. Representative blot results from three experiments are shown. (B) Quantitative analysis of the Rac1, Cdc42, and RhoA activation levels were determined via densitometry. Percentage changes were correspondingly corrected with the expression levels of Dbl constructs. Statistically significant differences in relation to the control (pEBG-GST) are shown. (C) Dbl constructs (Figure 1A) transfected Cos-7 cells were reseeded in poly-D-lysine pre-coated chamber slides, serum-free starved, and then fixed, permeabilized, and stained with Rhodamine-phalloidin for the detection of polymerized actin. Representative confocal images from three experiments are shown. Decreased actin stress fibers together with lamellipodia changes from the cellular periphery are shown in detail in the magnified area (below). Scale bar, 20 µm. (D-F) Dbl constructs-expressed cells were fixed, permeabilized, and stained with Rhodamine-phalloidin and anti-α-tubulin plus anti-mouse-AF488. Representative confocal images from three experiments are shown. Decreased actin stress fibers together with lamellipodia changes from the cellular periphery are shown in detail in the magnified area (below). Scale bar, 20 µm. (G-I) Dbl constructs-expressed cells were fixed, permeabilized, and stained with Rhodamine-phalloidin and anti-α-tubulin plus anti-mouse-AF488. Representative confocal images from three experiments are shown. Decreased actin stress fibers together with lamellipodia changes from the cellular periphery are shown in detail in the magnified area (below). Scale bar, 20 µm.

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domain-transfected Cos-7 cells were size-enlarged with increased polymerized actin forming a wider cortical actin layer of high stress fiber accumulation (Figure 6C and E) and visible lamellipodia (Figure 6C and G). In contrast, the PH domain-transfected cells showed intermediate levels of cortical actin filament accumulation (Figure 6C and E) together with increased filopodia (Figure 6C and F). The lamellipodia in the DH domain expressing cells were similarly increased as those found after PH domain transfection (Figure 6C and G). Little increased stress fibers, filopodia, and lamellipodia were observed in other domain-transfected cells. Conversely, this onco-Dbl-induced stress fiber and lamellipodia formations were attenuated in SKOV3 cells when Dbl expression was knocked down (Figure 6H–K). Moreover, treatment of onco-Dbl-transfected Cos-7 cells with CyD markedly blocked the uptakes of Tat/pGL3-YOYO-1 and dextran-AF647 to a similar extent (Figure 6L–N), indicating that actin polymerization is essential for onco-Dbl-induced macropinocytosis enhancement. Together, these results indicated that Dbl expression promotes macropinocytosis through actin cytoskeleton reorganization mainly mediated by the PH domain and Rac1 activation.

Discussion

Multiple pathways including CvME, CME, and macropinocytosis were reported to mediate the uptake of Tat-delivered nanoparticles, depending on the nature of the cargos/cell interaction. Nanoparticle effects are mediated not only by its individual components (Tat peptide or pDNA) but also by the formation of nano-size particles, which exert effects of their own. Polyanionic naked pDNA is incapable of internalization, and the highly cationic charged Tat peptide generally uses macropinocytosis for uptake. Therefore, the different transfection efficiencies and varied endocytosis routes exerted by Tat/pDNA nano-complexes (Figure 3E and F) should largely be determined by the particles size with the Tat peptide just helping to condense the cargo DNA. Why medium-size Tat/pDNA nano-complexes mainly use macropinocytosis for uptake is not well understood. Two possibilities cannot be excluded: 1) the presence of an excess Tat peptide in Tat/pDNA nano-complexes which have a high cationic charge density stimulates the macropinocytosis pathway. 2) The use of macropinosomes (large sizes, up to 5 μm in diameter) rather than clathrin- or caveolae-mediated endosomes (small size, <100 nm) could significantly facilitate the movement of Tat/pDNA nanoparticles inside the cells.

Most of the previous studies emphasized that the physiochemical property of CPP-based nano-complexes affect their uptake. Relatively few investigations proposed that the cell type can also influence the uptake behavior. In the present study, we found that macropinocytosis of the Tat/pDNA nano-complex (~600 nm) is distinctively high in SKOV3 cells but lower in other cell lines (Figures 4A and C and 5). Macropinocytosis does not frequently operate or occur in non-phagocytic cells. It is only activated or enhanced when specific stimuli (eg, growth factors or viruses) are applied. Hence, it is likely that the binding of positively charged Tat/pDNA complexes with negatively charged cell surface proteoglycans leads not only to their accumulation on the cell membrane but also to their accelerated macropinocytosis. However, that only SKOV3 cells presented the highest macropinocytosis level among the investigated cells is thought provoking. It was previously found that some CPPs preferentially bind to particular cells whose membrane components favor the specific interaction with CPPs. For example, penetratin peptides were found to be selectively uptaken in neurons because of preferentially binding to α-2,8-polysialic acid on the neuronal cell surface. The anticancer peptide BR2 which targets cancer cells can interact with gangliosides and subsequently is uptaken by lipid-mediated macropinocytosis. However, most CPPs, such as HIV-Tat, do not have such cell type specificity, because the heparin sulfate or heparin sulfate proteoglycan that they bind to is ubiquitous and redundantly expressed on the surface of all cell types. Therefore, cell-type-specific macropinocytosis variation in this study may probably be due to the tissue origin of the cells. In fact, a study has shown that the uptake mechanism of hydrogel nanoparticles is cell origin-specific. Epithelial cells uniquely internalized nanodiscs and nanorods by the CvME pathway. Human umbilical vein endothelial cells, on the other hand, use the CME pathway for these nanoparticle uptake. Another interesting study investigated the Tat-pDNA delivery among Cos-7, 3T3, and primary skin fibroblasts. While these cells are all fibroblasts, great transfection variations of Tat-pDNA complexes were observed. These data collectively point to the importance of cell origin but also strongly reveal some endogenous factors determining the cellular endocytosis phenotype.

Several pieces of evidence support the contribution of oncogenes in macropinocytosis modulation. The original demonstration of v-Src oncogene effects showed that its activation led to a constitutive fluid phase uptake or macropinocytosis in transformed fibroblasts and HeLa cells. Another gene-driven macropinocytosis enhancement is the Ras oncogene. H-Ras activation, either through EGF stimulation or due to G12V mutation, induced intensive macropinocytosis in HeLa, COS-7, MCF7, and CHO cells.
Activated K-Ras increased CvME of polyamine in human colon cancer cells, but also promoted the macropinocytosis of extracellular protein or exosomes into pancreatic cells by EGFR stimulation.\(^{22-24}\) In the present study, we found that a distinctive onco-Dbl protein exists in SKOV3 cells and that its ectopic expression in Cos-7 cells induces intensive macropinocytosis of Tat/pDNA nano-complexes (Figures 1 and 5). The macropinocytosis promotion was principally contributed through actin cytoskeleton reorganization mainly mediated by the PH domain and Rac1 GTPase activation (Figure 6). Our discovery is consistent with previous reports showing that Rac1-mediated macropinocytosis is responsible for the uptake of DNA-conjugated carbon nanotubes into endothelial cells.\(^{10}\) Similarly, previous studies showed the endocytosis regulation function of other Dbl family members. Vav2, one of the GEFs for Rho GTPase, can slow down EGFR CME through its interaction with endosome-associated proteins and requires RhoA activation.\(^{46}\) However, Tiam-1, a Rac-specific GEF, not only tightly associates with EphA8 but also promotes CME of EphA8-ephrinA5 complexes via Rac activation.\(^{41}\) Our results showed that Dbl expression induced macropinocytosis enhancement but simultaneously inhibited CME (Figure 1). The reason that Dbl and Vav2 inhibited CME whereas Tiam-1 promoted CME may be due to their distinct intra-molecular regulation mechanisms on GEF function exertion. The former two impose active states by PH domain–mediated PI3K (phosphatidylinositol 3-kinase) product [PtdIns(3,4,5)P3] binding which seems to alleviate an inhibitory effect from the DH domain, whereas the latter may involve an unknown cellular factor which helps maintain the basal GEF state.\(^{41}\)

Actin-dependent uptake is a feature of macropinocytosis and is further classified as PI3K-dependent or -independent. PI3K-dependent macropinocytosis is linked to Rac1 activation resulting in lamellipodia formation. On the contrary, PI3K-independent macropinocytosis is linked to Cdc42 activation resulting in filopodia formation.\(^{54-45}\) In the present study, we found that expression of onco-Dbl markedly induced the formation of stress fiber and thereby increased the quantities of lamellipodia (Figure 6). These enhanced actin polymerizations and lamellipodia formation occur alongside macropinocytosis promotion but with the inhibition of CME and CvME (Figure 1), indicating that the macropinocytosis of Tat/pDNA is most likely PI3K-dependent and controlled by the Rac1 activation-mediated actin cytoskeleton rearrangement mechanism. Also, in addition to Rac1 being most significantly activated by onco-Dbl expression, we also found that Cdc42 and RhoA were activated but not so strongly as Rac1 (Figure 6A and B). This result is consistent with the aforementioned observation that Rac1 inhibition decreased macropinocytosis more significantly than that by other Rho GTPases inhibition (Figure 4). Interestingly, these observations agree with other reports showing that Dbl GEF exhibits broad substrate selectivity but differential catalytic efficiency toward Rho GTPase.\(^{30,46}\) Perhaps, the Dbl-induced activation difference to Rac1, Cdc42, and RhoA results from the cellular endocytosis network being shifted to the macropinocytosis pathway, which facilitates a high level of Tat/pDNA uptake in ovarian cancer cells as summarized in Table S4.

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**Disclosure**

The authors report no conflicts of interest in this work.

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Supplementary materials

**Table S1** Amount of HIV-Tat necessary to form Tat/pGL3-YOYO-1 complexes (10 µg pDNA/mL)

| N/P ratio | 1:1 | 5:1 | 10:1 | 20:1 |
|-----------|-----|-----|------|------|
| [Tat], µM | 3.44 | 17.11 | 34.19 | 68.38 |

**Note:** N/P ratio is the molar ratio of total free amino groups (positive charge) in Tat peptide to total free phosphate groups (negative charge) in pDNA taken in solution. The detailed calculation was described in Damodaran and Murthy¹ and Caputo et al.²

**Table S2** Concentration of endocytosis inhibitors and markers used in this study

| Drug/treatment | Final concentration | Pathway targeted/marketed | Mode of action |
|----------------|---------------------|---------------------------|---------------|
| 4°C            |                     | All endocytosis           | Inhibition of energy-dependent processes |
| Sodium azide   | 0.1%, m/v           | All endocytosis           | Metabolic inhibitor |
| Chloroquine    | 300 µM              | CME                       | Affects the function of CCVs. Inhibits acidification of endosomes |
| Chlorpromazine (CPZ) | 10 µg/mL       | CME                       | Translocates clathrin and AP2 from the cell surface to intracellular endosomes. Inhibits CIE in some cells |
| Cytochalasin D (CyD) | 5 µM             | Most endocytosis           | Depolymerizes F-actin |
| Nocodazole (NCD) | 10 µM             | Vesicle trafficking       | Disrupt microtubule network |
| Methyl-b-cyclohexin (MBCD) | 1 mM           | Lipid raft, CME, fluid phase endocytosis | Removes cholesterol from the plasma membrane |
| Filipin        | 5 µg/mL            | CIE, CvME                 | Sterol-binding agent, disrupts caveolar structure and function. Binds to cholesterol in the membrane |
| 5-(N-Ethyl-N-isopropyi)-amiloride (EIPA) | 10 µM           | Macropinocytosis          | Inhibits Na+/H+ exchange; may affect actin |
| Tf-AF647       | 25 µg/mL           | CME                       |               |
| Dextran-AF647; dextran-RITC | 4 mg/mL        | Macropinocytosis          |               |
| CTxB-AF647     | 10 µg/mL           | CIE, CvME                 |               |

**Abbreviations:** CIE, clathrin-independent endocytosis; CCV, clathrin-coated vesicle; CME, clathrin-mediated endocytosis; CvME, caveolae-mediated endocytosis.

**Table S3** Dbl gene knockdown sequences used in this study

| Targeting sequence (mRNA: 5'-3') | Efficiency (protein level), % |
|----------------------------------|-------------------------------|
| siRNA duplexes*                  |                               |
| GGAAGAAGTTTATATTGTC (2349–2367)  | 70–85                         |
| GGTGATAACCGCAAGTTTTG (2311–2329) | 65–90                         |
| GGAGCGATATCTGCAACTA (642–660)    | 50–60                         |
| shRNA (pLV-GFP-)                 |                               |
| GGGACTATAGCTCAAGTAA (745–763)    | 35–45                         |
| RNAi system*                     |                               |
| GGGCAAGATGATAATGCAA (2073–2091)  | 50–65                         |
| GCAGAAAGCAAATACAGTTTG (2617–2636)| 75–90                         |

**Note:** *The sequences in bold were finally used for knockdown approaches as described in the "Materials and methods" section.
Figure S1 Confocal imaging analyzed the uptake of Tat/pDNA together with endocytosis markers.

Notes: Confocal imaging analysis of the co-localization of Tat/pGL3-YOYO-1 with CME and CvME markers. COS-7 cells were co-incubated by Tat/pGL3-YOYO-1 complexes (N/P=10, green emission signal) together with dextran-AF647 and CTxB-AF647 (red emission signal) for 1 hour. Cell nuclei were stained with Hoechst33342 (blue emission signal).

Abbreviations: CME, clathrin-mediated endocytosis; CvME, caveolae-mediated endocytosis.

Figure S2 Luciferase expression analysis of Tat/pGL3 transfection with Rho GTPase inhibitors.

Note: Luciferase activity was determined in Tat/pGL3 (N/P=5) transfected SKOV3 cells, which were treated with Rho GTPase inhibitors as described in the “Materials and methods” section.

Figure S3 Confocal imaging analyzed the uptake of (A) Tfn-AF647 and (B) CTxB-AF647 after endogenous Dbl knockdown followed by Dbl-GST overexpression.

Notes: Scatterplots depict the uptake changes of indicated drugs in single-cell populations. Uptake levels in non-transfected SKOV3 cells were set at 100% for comparison. NC, scr-siRNA transfected SKOV3 cells. >60 cells were counted for each transfection.
Table S4 Summary of Dbl’s modulation activity

|                        | Tat/pDNA uptake | Macropinocytosis (Dextran) | CME (Tfn) | CvME (CTxB) | Rac1 activation | Cdc42 activation | RhoA activation |
|------------------------|-----------------|-----------------------------|-----------|-------------|-----------------|------------------|-----------------|
| Proto-Dbl (1–925)      | ++              | ++                          | –         | –           | +++             | ++               | NC              |
| Onco (498–925)         | +++             | +++                         | –         | –           | +++             | +++              | ++              |
| DH-PH (498–825)        | +++             | +++                         | NC        | NC          | +++             | ++               | NC              |
| T1 (1–825)             | ++              | +++                         | NC        | –           | –               | –                | –               |
| N (1–498)              | NC              | NC                          | NC        | NC          | NC              | NC               | NC              |
| SP (224–417)           | NC              | NC                          | NC        | NC          | NC              | NC               | NC              |
| PH (711–808)           | +++             | +++                         | +++       | –           | –               | –                | –               |
| DH (498–703)           | ++              | NC                          | NC        | NC          | ++              | NC               | NC              |
| DH-PH 7M (498–724)     | NC              | NC                          | NC        | NC          | NC              | NC               | NC              |
| DH-PH VI (498–710)     | NC              | NC                          | NC        | NC          | NC              | NC               | NC              |

Notes: Proto-Dbl comprises different domains that might be involved in endocytosis regulation, Rho GTPase activation, and Tat/pDNA delivery. +, promotion; −, inhibition.

Abbreviations: CME, clathrin-mediated endocytosis; CvME, caveolae-mediated endocytosis; NC, no change.

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