Black holes sourced by a massless scalar

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Abstract We construct asymptotically flat black hole solutions of Einstein-scalar gravity sourced by a nontrivial scalar field with $1/r$ asymptotic behaviour. Near the singularity the black hole behaves as the Janis-Newmann-Winicour-Wyman solution. The hairy black hole solutions allow for consistent thermodynamical description. At large mass they have the same thermodynamical behaviour of the Schwarzschild black hole, whereas for small masses they differ substantially from the latter.

Introduction and motivations

Static, spherically symmetric solutions of Einstein gravity sourced by scalar fields have played an important role for the development of black hole physics. The simplest solution of this kind, describing an asymptotically flat (AF) spherically symmetric solution with no horizon, sourced by a scalar with vanishing potential are known since a long time [1, 2] and they are called the Janis-Newmann-Winicour or Wyman (JNWW) solutions. Initially, the search for AF black holes (BHs) with scalar hair was motivated by the issue of the uniqueness of the Schwarzschild solution and related to the “old” no-hair theorems [3, 4], which forbid the existence of BHs if the scalar potential is convex or semipositive definite.
In the early nineties, it was discovered that low-energy string models may allow for BH solutions with scalar hair [5–8], but a non-minimal coupling between the scalar and the electromagnetic field is required.

In recent times, the quest for hairy BH and black brane (BB) solutions has been motivated by the application of the AdS/CFT correspondence to condensed matter systems [9–17]. In holographic applications the scalar field has a nice interpretation as an order parameter triggering symmetry breaking/phase transitions in the dual field theory. Indeed, several—both numerical and analytical—asymptotically AdS BH and BB solutions with a scalar hair have been found in this context [9–13, 16, 18, 19].

Shifting from AF to asymptotically AdS BHs allows to circumvent standard no-hair theorems because in AdS the scalar field may have tachyonic excitations without destabilizing the vacuum [20]. This led to the formulation of “new” no-hair theorems [21] which relate the existence of BHs with scalar hair with the violation of the positivity energy theorem (PET) [22].

In this note, based on Ref. [23], we will show as the expertise achieved in the holographic context can be successfully used to find AF BH solutions with scalar hair. Extension to AF BH is an important issue because scalar fields play a crucial role both in gravitational and particle physics. On the one hand, the experimental discovery of the Higgs particle at LHC has confirmed the existence of a fundamental scalar particle [24]. On the other hand, the observations of the PLANCK satellite give striking confirmation of cosmological inflation driven by scalar field coupled to gravity [25]. Moreover, scalar fields give a way to describe dark energy.

The main result presented here is that the solution-generating technique developed in the holographic context in Ref. [16] can be also successfully used to construct AF BH solutions sourced by a scalar field behaving asymptotically as a harmonic function.

The structure of the paper is as follows. In Sect. 1 we review the solution-generating technique of Ref. [16]. In Sect. 2 we rederive the JNWW solutions and discuss their main features. The boundary conditions on the scalar field and the corresponding asymptotic behavior of the scalar potential are discussed in Sect. 3. In Sect. 4 we present our hairy BH solutions. The thermodynamical behaviour of our solutions is discussed in Sect. 5. Finally, in Sect. 6 we present our conclusions.

1 The solution-generating technique

We consider Einstein gravity in four spacetime dimensions minimally coupled to a scalar \( \phi \) (\( \mathcal{R} \) is the scalar curvature),

\[
A = \int d^4x \sqrt{-g} \left( \mathcal{R} - 2(\partial \phi)^2 - V(\phi) \right)
\]

and we look for static, spherically symmetric solutions of the field equations,
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\[
ds^2 = -U(r) \, dt^2 + U^{-1}(r) \, dr^2 + R^2(r) \, d\Omega^2, \quad \phi = \phi(r)
\]  
\tag{2}

where \(d\Omega^2\) is the metric element of the two-sphere \(S^2\).

Finding exact solutions of the field equations stemming from the action (1) is a very difficult task even for simple forms of the potential. To solve the fields equation we use the solution-generating technique developed in Ref. [16] to find asymptotically AdS solutions once the scalar field profile \(\phi = \phi(r)\) is given. Let

\[
R = e^{\frac{Y}{4}}, \quad u = UR^2,
\]  
\tag{3}

then the field equations take the simple form:

\[
Y' + Y^2 = - (\phi')^2, \quad \tag{4}
\]

\[
(u\phi')' = \frac{1}{4} \frac{\partial V}{\partial \phi} e^{2Y}, \quad \tag{5}
\]

\[
u'' - 4(uY)' = -2, \quad \tag{6}
\]

\[
u'' = 2 - 2Ve^{2Y}. \quad \tag{7}
\]

Note that Eqs. (4) and (6) are universal, i.e. they do not depend on the potential. We start with a given scalar field profile \(\phi(r)\) and solve Eq. (4) which is an example of the Riccati equation in \(Y\). Once \(Y\) is known, we can easily integrate the linear equation in \(u\) (6) to obtain

\[
u = R^4 \left[ - \int dr \left( \frac{2r + C_1}{R^4} \right) + C_2 \right],
\]  
\tag{8}

where \(C_{1,2}\) are integration constants.

The last step is to determinate the potential using Eq. (7)

\[
V = \frac{1}{R^2} \left( 1 - \frac{u''}{2} \right). \quad \tag{9}
\]

This is a very efficient solving method, very useful in the holographic context, allowing to find exact solutions of Einstein-scalar gravity in which the potential is not an input but an output of the theory.

2 The JNWW solutions

The parametrization (3) allows a simple rederivation of the solutions to the field equations when \(V = 0\), i.e. the JNWW solutions. Eq. (7) gives \(u\) as a quadratic function of \(r\), Eqs. (5) and (6) give \(\phi(r)\) and \(R(r)\),

\[
U = \left(1 - \frac{r_0}{r}\right)^{2w-1}, \quad R^2 = r^2 \left(1 - \frac{r_0}{r}\right)^{2(1-w)}, \quad \phi = -\gamma \ln \left(1 - \frac{r_0}{r}\right) + \phi_0,
\]  
\tag{10}
whereas the Riccati equation simply constrains the parameters, \( w - w^2 = \gamma^2 \), therefore \( 0 \leq w \leq 1 \). Actually, the range of \( w \) can be restricted to \( 1/2 \leq w \leq 1 \) because of the invariance of the solution under \( w \to 1 - w \) together with a coordinate translation. Note that \( w = 1 \) looks like the Schwarzschild solution with a constant scalar field. Without loss of generality we can choose \( \phi_0 = 0 \).

According to old no-hair theorems, this solution is not a BH but interpolates between the Minkowski spacetime at \( r = \infty \) and a naked singularity at \( r = r_0 \) (or \( r = 0 \)). Near to the singularity the solution has a scaling behavior typical of hyperscaling violation [17].

The mass of this solution is \( M = 8\pi(2w-1)r_0 \), hence we can have a solution with zero or positive mass even in the presence of a naked singularity. In particular for \( w = 1/2 \) we have \( M = 0 \), a degeneracy of the Minkowski vacuum.

Note also that the JNWW solution appears as the zero-charge limit of charged dilatonic BHs.

### 3 Asymptotic behavior of the scalar field and of the potential

We look for AF BH solutions sourced by scalar field, which decay as \( 1/r \) and we assume that \( \phi = 0 \) corresponds to the Minkowski vacuum and at the same time it is an extremum of the potential with zero mass: \( V(0) = V'(0) = V''(0) = 0 \).

These conditions imply that near \( \phi = 0 \) the potential behaves as \( V(\phi) \sim \phi^n \) with \( n \geq 3 \). The corresponding asymptotic behavior for the scalar is determined by imposing in the field equations the boundary conditions at \( r \to \infty \), i.e. \( u = r^2 \) and \( R = r \). For \( n = 5 \) we get \( \phi = \beta/r + O(1/r^2) \), and hence, an harmonic decay of the scalar field requires a quintic behavior for the potential.

### 4 Black hole solutions

Let us now use the solution-generating method of Sect. 1. Starting with the JNWW scalar profile \( \phi = -\gamma \ln (1 - r_0/r) \), the Riccati equation gives the same metric function \( R \) as in Eq. (10).

Let \( X \equiv 1 - r_0/r \). We get three different class of solutions

\[
U(r) = X^{2w-1} \left[ 1 - A \left( r^2 + (4w-3)rr_0 + (2w-1)(4w-3)r_0^2 \right) \right] + \frac{Ar^2}{X^{2(w-1)}}
\]

(11)

\[
U(r) = \frac{r^2}{r_0^2} X \left[ \left( 1 + r_0^2 A \right) X - 2r_0^2 A \ln X + \left( 1 - r_0^2 A \right) X^{-1} - 2 \right],
\]

(12)

\[
U(r) = \frac{r^2}{r_0^2} X^{1/2} \left[ \left( 1 + \frac{r_0^2 A}{2} \right) X^2 - 2 \left( 1 + r_0^2 A \right) X + r_0^2 A \ln X + 1 + \frac{3r_0^2 A}{2} \right]
\]

(13)
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respectively for $1/2 < w < 1$, $w \neq 3/4$, $w = 1/2$ and $w = 3/4$. In the previous equations, the $\Lambda$’s are appropriate (and different) combinations of the integration constants and the parameters chosen in order to have AF solutions. The corresponding potentials are

$$V(\phi) = 4\Lambda \left[ w(4w - 1) \sinh \frac{(2w - 2)\phi}{\gamma} + 8\gamma^2 \sinh \frac{(2w - 1)\phi}{\gamma} + (1 - w)(3 - 4w) \sinh \frac{2w\phi}{\gamma} \right],$$

$$V(\phi) = 4\Lambda \left[ 3 \sinh 2\phi - 2\phi \cosh 2\phi \right],$$

$$V(\phi) = \Lambda \left( 8\sqrt{3}\phi \cosh \frac{2\phi}{\sqrt{3}} - 9 \sinh \frac{2\phi}{\sqrt{3}} - \sinh 2\sqrt{3}\phi \right).$$

These solutions describe a one parameter family (the scalar charge is not an independent parameter) of AF BHs with a curvature singularity at $r = r_0$ (or $r = 0$) and a regular event horizon at $r = r_h$ sourced by a scalar field behaving asymptotically as $1/r$. Near to the singularity the solution have the same scaling behavior of the JNWW solutions. As expected, near $\phi = 0$ the potential has always a quintic behavior. The existence of these BH solutions represents a way to circumvent old and new no-hair theorems: in fact the potential $V$ is not semipositive definite, it has an inflection point at $\phi = 0$ and it is unlimited from below. Moreover, the ADM mass is not semipositive definite and hence the PET is violated.

5 Black hole thermodynamics

The scalar charge $\sigma$ is not independent from the mass but determined by the BH mass $M$, implying the absence of an associate thermodynamical potential. The first principle has therefore the form $dM = T dS$, where the temperature $T$ and the entropy $S$ are given by the usual expressions $T = \frac{\partial U}{\partial r_h}$, $S = 16\pi^2 R^2 |_{r = r_h}$.

For $w = 1/2$ we have

$$T(\omega) = \frac{\sqrt{\lambda}}{4\pi \sqrt{\lambda}} \left[ 2 \left( 1 - \frac{2}{\omega} \right) \ln(1 - \omega) - 4 \right], \quad S(\omega) = \frac{16\pi^2}{\Lambda \lambda} \left( \frac{1}{\omega^2} - \frac{1}{\omega} \right)$$

where $\lambda$ is a function of $\omega$ defined implicitly by

$$2(1 - \omega) \ln(1 - \omega) - \omega^2 (1 + \lambda) + 2\omega = 0.$$  

We have an extremal low-mass state with non vanishing mass, zero entropy and infinite temperature. In the large mass (small temperature) limit we get the Schwarzschild behavior for the thermodynamical potentials:

$$M = \frac{2}{T}, \quad S = \frac{1}{T^2}, \quad F = M - TS = \frac{1}{T}.$$
For $w = 3/4$, $T$ and $S$ have a different behaviour, see details in Ref. [23]. Both the low and large mass regimes have the Schwarzschild behavior. The extremal state has $M = S = 0$ and $T = \infty$. The thermodynamical behaviour of the solutions with $1/2 < w < 3/4$ and $3/4 < w < 1$ are similar respectively to the cases $w = 1/2$ and $w = 3/4$.

6 Concluding remarks

AF BH solutions sourced by a scalar field with $1/r$ fall-off do exist but require a potential unlimited from below. Because $\phi = 0$ is an inflection point for $V$, the $\phi = 0$ Schwarzschild BH is unstable. We have observed that for large mass our BH is thermodynamical indistinguishable from the Schwarzschild one, while for $1/2 \leq w < 3/4$ the low-mass regime is drastically different. Near to the $\phi = 0$ Minkowski vacuum, the potential $V$ has a quintic behaviour, which means that the corresponding field theory is not renormalizable and cannot be fundamental. However it could represent an effective description arising from renormalization group flow.

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