Correlations between a Hawking particle and its partner in a 1+1D Bose-Einstein condensate analog black hole

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Abstract

The Fourier transform of the density-density correlation function in a Bose-Einstein condensate (BEC) analog black hole is a useful tool to investigate correlations between the Hawking particles and their partners. It can be expressed in terms of $\langle \hat{a}^{\text{ext}}_{\text{up}} \hat{a}^{\text{int}}_{\text{up}} \rangle$, where $\hat{a}^{\text{ext}}_{\text{up}}$ is the annihilation operator for the Hawking particle and $\hat{a}^{\text{int}}_{\text{up}}$ is the corresponding one for the partner. This basic quantity is calculated for three different models for the BEC flow. It is shown that in each model the inclusion of the effective potential in the mode equations makes a significant difference. Furthermore, particle production induced by this effective potential in the interior of the black hole is studied for each model and shown to be nonthermal. An interesting peak that is related to the particle production and is present in some models is discussed.
I. INTRODUCTION

Hawking’s 1974 prediction[1] that black holes evaporate has not been directly verified, largely because a black hole of mass $M$ would emit radiation at a temperature $T_H \sim \frac{M_\odot}{M} \times 10^{-7} K$. Some hope remains for a detection from black holes nearing the end of the evaporation process, but ‘primordial’ black holes, which formed in the early universe, have not been detected and there is no evidence for radiation from them[2].

It was shown in [3] that a fluid flowing from a subsonic into a supersonic region, and thus having an acoustic horizon, should also emit a thermal spectrum of phonons via the Hawking effect and therefore serve as an analog black hole. Even in analog systems the temperature of the emission is usually very low. Bose-Einstein Condensates (BECs) have been particularly useful as analog black holes because they are suited for testing low energy phenomena as they can be cooled to $10^{-7} K$[4]. These systems can be effectively trapped in a 1-dimensional flow, creating an analog spacetime with 1+1 dimensions. Direct detection of the produced phonons is still problematic, therefore other signatures of the Hawking process are the focus of current quantum field theory in curved space predictions and analog black hole experiments.

The most notable prediction associated with the Hawking effect in analog systems to date has been a peak in the correlation function for the density in a 1+1D BEC analog black hole. This prediction was originally made using quantum field theory in curved space for a simple model with a constant flow velocity and a varying sound speed[5]. It was subsequently verified by a quantum mechanics calculation[6, 7] and a more sophisticated quantum field theory in curved space calculation[8].

Experiments using a 1+1D BEC analog black hole in 2016[9] and 2019[10] found very good qualitative agreement with the prediction of the peak in the density-density correlation function. These experiments have position dependent sound speeds and flow velocities in an effectively one-dimensional system. The density for all points in each experimental run is imaged at one lab time. The experiment is repeated several thousands of times to build an ensemble average for the density-density correlation function. The peak predicted by the constant flow velocity model is clearly evident in the experimental results.

An attempt was made to model the 2016 experiment in [11]. The model uses a step function potential to obtain an analytic solution to the Gross-Pitaevskii equation which
governs the background condensate. Several quantities were calculated including the density-density correlation function. An approximation was used in the calculation for the density-density correlation function that involved setting an effective potential that appears in the phonon mode equation to zero. When the cross section of the resulting density-density correlation function was compared to the experimental result there was nearly a factor of two difference in the full width half maximum of the peak and $\sim 50\%$ difference for the height of the peak.

In order to determine the temperature of the analog black hole the experimenters decomposed the peak found in the density-density correlation function via a Fourier transform to show the correlation spectrum for the Hawking particle and its partner\cite{10}. In \cite{12} a theoretical quantity, which we call the Hawking-Partner (HP) correlator, was shown to be related to this Fourier transform. In \cite{10} the spectrum of the HP correlator was calculated using an approximation in which the effective potential in the phonon mode equation was ignored. In this case the HP correlator only depends on the frequency of the modes and the surface gravity, and hence the temperature of the analog black hole. A comparison was made with the experimental result. A disagreement of 1\% was found for the temperature of the analog black hole. The authors estimated an experimental error in this quantity of 5\%. The effects of non-linear dispersion on this correlator were investigated in \cite{13}, but this calculation did not include an effective potential in the phonon mode equation.

In this paper we will work with three different models for a 1+1D BEC analog black hole. We calculate the resulting HP correlator and two quantities related to the population of phonons traveling upstream and downstream in the frame of the fluid in the interior of the acoustic black hole and we find that there is a significant contribution from the effective potential for each model to all of these quantities.

The first model, previously discussed in \cite{7,14} has an effective potential consisting of a delta function in the interior and a delta function in the exterior of the BEC analog black hole. This model is simple enough so that analytic results were obtained. We compare to the case with no potential and thus no scattering or particle production and find significant differences.

We then review the profile used in \cite{6,8}, which has a varying speed of sound and a constant flow velocity. The effective potential is included in the mode equation and we find that the HP correlator, again, is significantly altered by its inclusion.
The third model we look at is often called the waterfall model \[7, 11, 13, 16\]. It has been used to model the 2016 experiment in \[11\]. Here the term waterfall refers to an analytic solution to the Gross-Pitaevskii equation for a BEC analog black hole in which the condensate is flowing over a step function potential. In this model the sound speed, flow velocity and background density all vary along the flow direction. In this case we also find that the HP correlator is significantly altered due to the scattering and particle production caused by the inclusion of the effective potential.

We then discuss a new peak found in \[14\] related to the population of phonons propagating upstream and downstream in the frame of the fluid inside the horizon, for each of these models. We will refer to these as the interior upstream phonon number (UPN) and the interior downstream phonon number (DPN). This peak was found to occur when the magnitude of the effective potential is larger in the interior than in the exterior. The peak was noted previously for the two-delta function potential in \[14\] when the interior potential is chosen to be larger than the exterior. The second profile, which has a constant flow velocity and an effective potential whose magnitude is similar in the interior and exterior regions, exhibits no such peak. The last model we investigate is the waterfall model which displays a relatively large peak in quantities related to the population of phonons in the interior.

In Sec. \[II\] we discuss the theoretical background for a 1+1D BEC analog black hole. We then derive the HP correlator based on the creation and annihilation operators for a Hawking phonon and its partner for the two-delta function potential model. We also compute the HP correlator when the effective potential is ignored. In Sec. \[III\] first the constant flow velocity model is reviewed and our results for the HP correlator are given. Then the waterfall model is reviewed and our results for the HP correlator for it are shown. In Sec. \[IV\] we discuss the overall effect of the potential in each case on the appearance of the peak which is related to particle production in the interior. In Sec. \[V\] we discuss our results.
II. BACKGROUND

The field equation for the phonon operator $\hat{\theta}_1$, if the flow velocity, $\vec{v}$, sound speed, $c$, and density, $n$, change on scales larger than the healing length $\xi = \frac{n}{mc}$, with $m$ the mass of an atom, is (see for example [17])

$$\left[ -\left( \partial_T + \vec{\nabla} \cdot \vec{v} \right) \frac{n}{mc} \left( \partial_T + \vec{\nabla} \cdot \vec{v} \right) + \vec{\nabla} \cdot \frac{n}{m} \vec{\nabla} \right] \hat{\theta}_1 = 0. \quad (2.1)$$

The coordinates $T$ and $\vec{x}$ relate to the lab frame. Equation (2.1) is equivalent to the Klein Gordon equation for a massless scalar field in a curved spacetime with line element of the form

$$ds^2 = \frac{n}{mc} \left[ -(c^2)dt^2 + (d\vec{x} - \vec{v}dT) \cdot (d\vec{x} - \vec{v}dT) \right]. \quad (2.2)$$

We consider flows that are stationary and effectively one dimensional and we define a 1+1D field operator, $\hat{\theta}^{(2)}$, such that

$$\hat{\theta}_1 = \sqrt{\frac{mc(x)}{n(x)\hbar l}} \hat{\theta}^{(2)}, \quad (2.3)$$

where $l_\perp$ is a length, defined by the transverse confinement of the BEC. For analog black holes the condensate is flowing from a subsonic region $c > |\vec{v}|$ ($x > 0$, region $r$) into a supersonic region with $c < |\vec{v}|$ ($x < 0$, region $l$). For the models considered in this paper the flows are directed from $x = \infty$ to $x = -\infty$, so $\vec{v} = -v_0(x)\hat{x}$, with $v_0 > 0$. The condensates we consider also have the property that they either have or approach a constant flow velocity, sound speed, and density as $x \to \pm \infty$. In the analog spacetime this translates to a region that is asymptotically flat. Using the variable transformations

$$t = T - \int^x dy \frac{v_0(y)}{c(y)^2 - v(y)^2} + a \quad \text{and} \quad x^* = \int^x dy \frac{c(y)}{c(y)^2 - v_0(y)^2} + b \quad (2.4)$$

with $a$ and $b$ arbitrary constants$^2$, the equation for $\hat{\theta}^{(2)}$ is

$$(-\partial^2_t + \partial^2_{x^*} + V_{\text{eff}}) \hat{\theta}^{(2)} = 0, \quad (2.5)$$

$^1$ The healing length sets the scale of dispersion.

$^2$ It is useful to fix the constants $a$ and $b$ so that $v = t + x^*$ is continuous across the horizon.
with the effective potential

\[
V_{\text{eff}} = \frac{v_0^4}{2c^3} \frac{d^2 c}{dx^2} - \frac{v_0^2}{c} \frac{d^2 c}{dx^2} + \frac{1}{2} \frac{d^2 c}{dx^2} + \frac{v_0^4}{c^3} \frac{dc}{dx} \frac{dn}{dx} - \frac{v_0^2}{c} \frac{dc}{dx} \frac{dn}{dx} + \frac{v_0^3}{c^3} \frac{dc}{dx} \frac{dv_0}{dx} - \frac{v_0}{c} \frac{dc}{dx} \frac{dv_0}{dx}
\]

\[
- \frac{5v_0^4}{4c^4} \left( \frac{dc}{dx} \right)^2 + \frac{3v_0^2}{2c^2} \left( \frac{dc}{dx} \right)^2 - \frac{1}{4} \left( \frac{dc}{dx} \right)^2 - \frac{v_0^4}{2c^2} \frac{d^2 n}{dx^2} - \frac{c^2}{2n} \frac{d^2 n}{dx^2} - \frac{v_0^3}{c^2} \frac{dn}{dx} \frac{dv_0}{dx}
\]

\[
+ \frac{v_0^4}{4c^2 n^2} \frac{dn}{dx} \frac{dn}{dx} + \frac{c^2}{4n^2} \frac{dn}{dx} \frac{dn}{dx} + \frac{v_0^2}{n} \frac{d^2 n}{dx^2} + \frac{v_0}{n} \frac{dn}{dx} \frac{dv_0}{dx} - \frac{v_0^2}{2n^2} \left( \frac{dn}{dx} \right)^2.
\]

(2.6)

Note that \(v_0\) and \(n\) are related by the continuity equation \(nv_0 = \text{const}\). The asymptotically constant flows we are considering ensure that the effective potential vanishes in the limit \(x \to \pm \infty\). It also vanishes on the horizon, \(x = 0\). The spacetime metric for the wave equation is expressed by the line element

\[
ds^2 = n(x) \frac{[c(x)^2 - v_0(x)^2]}{c(x)} (-dt^2 + dx^2).
\]

(2.7)

It is useful to define the ingoing and outgoing null coordinates \(v = t + x^*\) and \(u = t - x^*\), and the Kruskal null coordinates

\[
U = \pm \frac{e^{-\kappa u}}{\kappa} \quad \text{and} \quad V = \frac{e^{\kappa v}}{\kappa}.
\]

(2.8)

Here the – and + refer to the exterior and interior region respectively of the analog spacetime and the surface gravity, \(\kappa\), is defined as

\[
\kappa = \left| \frac{1}{2c} \frac{d}{dx} \left( c^2 - v_0^2 \right) \right|_{x=0}.
\]

(2.9)

In order to proceed we need to define two quantum states for the field. These can be described by complete sets of modes that are positive frequency on certain surfaces. We start with the Boulware state which is defined by solutions to the mode equation (2.5) that are positive frequency with respect to \(t\) on \(I_-\) and the past horizon \(H_-\) in the region outside the future horizon. Inside the future horizon they are positive frequency with respect to the time coordinate \(x^*\) on the past horizon. The Penrose diagram in Fig. 1 helps illustrate the
behaviors of these modes in the analog spacetime. On the past horizon they take the form

\[ \text{in} f^\text{ext}_H = \frac{e^{-i\omega u}}{\sqrt{4\pi\omega}} \quad \text{and} \quad \text{in} f^\text{int}_H = \frac{e^{i\omega u}}{\sqrt{4\pi\omega}}. \]  

(2.10)

In what follows we use the superscript ‘ext’ to denote modes that are positive frequency on a surface in the exterior region and ‘int’ to denote modes that are positive frequency on a surface in the interior region. The subscript \( H \) or \( I \) denotes whether that surface is a horizon or null infinity. The superscript ‘in’ denotes the \( \text{in} \) modes and the superscript ‘out’ denotes the \( \text{out} \) modes. The modes on \( I^- \) have the form

\[ \text{in} f^\text{ext}_I = \frac{e^{-i\omega v}}{\sqrt{4\pi\omega}}. \]  

(2.11)

Since these modes form a complete orthonormal set, the field can be expanded in terms of them as

\[ \hat{\phi}^{(2)} = \int d\omega \left[ \text{in} \hat{a}^\text{ext}_H (\text{in} f^\text{ext}_H) + \text{in} \hat{a}^\text{int}_H (\text{in} f^\text{int}_H) + \text{in} \hat{a}^\text{ext}_I (\text{in} f^\text{ext}_I) + \text{h.c.} \right]. \]  

(2.12)

Here \( \text{in} \hat{a}^\text{ext}_H, \text{in} \hat{a}^\text{int}_H \) and \( \text{in} \hat{a}^\text{ext}_I \) are annihilation operators and the Boulware vacuum is the state annihilated by these operators.

The Boulware state does not correctly describe the state of the quantum field when the black hole is created dynamically. In this case, at late times the state of the quantum field is well approximated by the Unruh state [18]. The Unruh state consists of modes that are positive frequency with respect to the Kruskal time coordinate on the past horizon so that

\[ f^K_H = \frac{e^{-i\omega K U}}{\sqrt{4\pi\omega K}}. \]  

(2.13)

and modes that are positive frequency with respect to \( t \) on \( I^- \) shown in Eq. (2.11). These two sets of modes form a complete orthonormal set and the field can then be expanded in terms of them as

\[ \hat{\phi}^{(2)} = \int_0^{\infty} d\omega_K \left[ (\hat{a}_{\omega_K} f^K_H + \hat{a}^\dagger_{\omega_K} f^K_H^*) + \int_0^{\infty} d\omega \left[ \text{in} \hat{a}^\text{ext}_I (\text{in} f^\text{ext}_I) + \text{in} \hat{a}^\text{ext}_I^\dagger (\text{in} f^\text{ext}_I^*) \right] \right]. \]  

(2.14)

The Unruh \( |U\rangle \) state is state annihilated by all the annihilation operators entering the decomposition given in (2.14). Here \( \hat{a}_{\omega_K} \) is an annihilation operator for the \( f^K_H \) modes. The
mode equation in Kruskal coordinates is not separable, thus it is preferable to work with the modes that specify the Boulware state. The relation between the two sets of annihilation operators is given by the following Bogoliubov transformations

$$\hat{a}_H^{\text{int}} = \int_0^\infty d\omega_K \left[ \alpha_{\omega_K}^{\text{int}} \hat{a}_{\omega_K} + \beta_{\omega_K}^{\text{int}*} \hat{a}_{\omega_K}^\dagger \right] ,$$

$$\hat{a}_H^{\text{ext}} = \int_0^\infty d\omega_K \left[ \alpha_{\omega_K}^{\text{ext}} \hat{a}_{\omega_K} + \beta_{\omega_K}^{\text{ext}*} \hat{a}_{\omega_K}^\dagger \right] .$$

(2.15)

For a late time observer, what we would think of as the natural *out* vacuum state consists of a complete set of modes that are positive frequency with respect to \( t \) or \( x^* \), on \( I_+ \), where \( I_+ \) refers to the entire surface of future null infinity. In the exterior region on \( I_+^{\text{ext}} \) the upstream
modes take the form

\[ \text{out}^{f_{\text{ext}}} = \frac{e^{-i\omega u}}{\sqrt{4\pi\omega}}. \]  

\[ (2.16) \]

In the interior region the upstream modes on the surface \( _{up}I^{\text{int}}_+ \) are

\[ \text{out}^{f_{\text{int}}} = \frac{e^{i\omega u}}{\sqrt{4\pi\omega}}, \]  

\[ (2.17) \]

and the interior downstream modes on \( _{ds}I^{\text{int}}_+ \) are

\[ \text{out}^{f_{\text{int}}} = \frac{e^{-i\omega v}}{\sqrt{4\pi\omega}}. \]  

\[ (2.18) \]

The three surfaces that comprise \( I_+ \) and the \( \text{out} \) state are illustrated in Fig. \[1\]. The field can be expanded in terms of these modes as well

\[ \hat{\phi}^{(2)} = \int d\omega \left[ \text{out}^{\hat{a}_{up}} (\text{out}^{f_{\text{ext}}} ) + \text{out}^{\hat{a}_{int}} (\text{out}^{f_{\text{int}}} ) + \text{out}^{\hat{a}_{ds}} (\text{out}^{f_{ds}} ) + \text{h.c.} \right] \]  

\[ (2.19) \]

where the \( \text{out}^{\hat{a}} \)’s are the associated annihilation operators.

In general one can use scattering theory to relate the modes in the \( \text{in} \) states to those in the \( \text{out} \) states. An exterior \( \text{in} \) mode initially propagates downstream away from past null infinity and is partially reflected upstream towards \( I^{\text{ext}}_+ \) with a reflection coefficient of \( R^{ext}_I \). The transmitted portion continues to travel downstream into the interior of the analog black hole where it undergoes particle production.\(^3\) After the particle production occurs the part of the mode that travels upstream towards \( _{up}I^{\text{int}}_+ \) has a total scattering coefficient of \( R^{int}_I \), while the portion of the mode that continues to travel towards \( _{ds}I^{\text{int}}_+ \) has a total scattering coefficient \( T^{int}_I \). The other modes have similar behaviors and one can write the \( \text{in} \) modes on \( I_+ \) in terms of the \( \text{out} \) modes as follows

\[ \text{in}^{f_{\text{ext}}} = R^{ext}_I \text{out}^{f_{\text{ext}}} + T^{int}_I \text{out}^{f_{\text{int}}} + R^{int}_I \text{out}^{f_{\text{int}}} + R^{int}_I \text{out}^{f_{\text{int}} \ast}, \]  

\[ (2.20a) \]

\[ \text{in}^{f_{\text{int}}} = T^{ext}_H \text{out}^{f_{\text{int}}} + T^{int}_H \text{out}^{f_{\text{int}}} + R^{int}_H \text{out}^{f_{\text{int}}} + R^{int}_H \text{out}^{f_{\text{int}}} \ast, \]  

\[ (2.20b) \]

\[ \text{in}^{f_{\text{int}}} = \bar{R}^{int}_H \text{out}^{f_{\text{int}}} \ast + \bar{T}^{int}_H \text{out}^{f_{\text{int}}}. \]  

\[ (2.20c) \]

\(^3\) The scattering in the interior region is anomalous and this leads to particle production, see \[13\].
Note that the tilde denotes a coefficient which does not involve any scattering in the exterior region. One can now formulate the scattering matrix and using scattering theory we can then calculate the expressions for the annihilation operators for the out state in terms of those for the Unruh state,

\[
\begin{align*}
\text{out} \hat{a}_{\text{ext}}^{\text{up}} &= (\phi^{(2)}, \text{ out } f_{H}^{\text{ext}}) = \int_{0}^{\infty} d\omega_{K} \left[ \hat{a}_{\omega_{K}} \left( \alpha_{\omega_{K}}^{\text{ext}} T_{H}^{\text{ext}} + \hat{a}_{\omega_{K}}^{\dagger} (\beta_{\omega_{K}}^{\text{ext}*})^{\text{T}} T_{H}^{\text{ext}} \right) \right. \\
& \quad \quad + \text{in} \hat{a}_{I} \hat{R}_{I}^{\text{ext}} \\
\text{out} \hat{a}_{\text{up}}^{\text{int}} &= (\phi^{(2)}, \text{ out } f_{H}^{\text{int}}) = \int_{0}^{\infty} d\omega_{K} \left[ \hat{a}_{\omega_{K}} \left( \alpha_{\omega_{K}}^{\text{int}} T_{H}^{\text{int}} + \beta_{\omega_{K}}^{\text{ext}*} \hat{R}_{H}^{\text{int}*} \right) \right. \\
& \quad \quad + \text{in} \hat{a}_{I} \hat{R}_{I}^{\text{ext}} \\
\text{out} \hat{a}_{\text{ds}}^{\text{int}} &= (\phi^{(2)}, \text{ out } f_{H}^{\text{int}}) = \int_{0}^{\infty} d\omega_{K} \left[ \hat{a}_{\omega_{K}} \left( \alpha_{\omega_{K}}^{\text{ext}} T_{H}^{\text{int}} + \beta_{\omega_{K}}^{\text{int}*} \hat{R}_{H}^{\text{int}*} \right) \right. \\
& \quad \quad + \text{in} \hat{a}_{I} \hat{R}_{I}^{\text{int}*}
\end{align*}
\]

The Bogoliubov coefficients relating these annihilation operators are given by \(^4\)

\[
\begin{align*}
\alpha_{\omega_{K} \omega}^{\text{ext}} &= \frac{1}{2\pi\kappa} \sqrt{\frac{\omega}{\omega_{K}}} \left( \frac{-i\omega}{\kappa} \right)^{\frac{\omega}{\kappa}} \Gamma \left( \frac{-i\omega}{\kappa} \right), \\
\beta_{\omega_{K} \omega}^{\text{ext}} &= \frac{1}{2\pi\kappa} \sqrt{\frac{\omega}{\omega_{K}}} \left( \frac{-i\omega}{\kappa} \right)^{\frac{i\omega}{\kappa}} \Gamma \left( \frac{i\omega}{\kappa} \right), \\
\alpha_{\omega_{K} \omega}^{\text{int}} &= \frac{1}{2\pi\kappa} \sqrt{\frac{\omega}{\omega_{K}}} \left( \frac{i\omega}{\kappa} \right)^{-\frac{\omega}{\kappa}} \Gamma \left( \frac{i\omega}{\kappa} \right), \\
\beta_{\omega_{K} \omega}^{\text{int}} &= \frac{1}{2\pi\kappa} \sqrt{\frac{\omega}{\omega_{K}}} \left( \frac{i\omega}{\kappa} \right)^{-\frac{i\omega}{\kappa}} \Gamma \left( \frac{-i\omega}{\kappa} \right). \tag{2.22}
\end{align*}
\]

A. The Hawking - Partner correlator

The main peak which was found in the density-density correlation function for the experimental results [9, 10] is composed of modes which are traveling upstream towards \(I_{+}\). The main contribution to these modes can be understood as arising from a Hawking particle in the exterior and its partner in the interior. The peak was Fourier decomposed to show the resulting correlation spectrum in [10]. It was shown in [12] that this correlation can be

\(^4\) These have been calculated in Ref. [8] but the expressions there are missing a factor of \(\kappa^{\pm\frac{i\omega}{\kappa}}\).
described by the quantity $S_0^2 \left| \langle (\text{out} \hat{\alpha}_{\text{up}}^\text{ext})(\text{out} \hat{\alpha}_{\text{up}}^\text{int}) \rangle \right|^2$. For relatively low momenta, which we will consider in our calculations, it is a good approximation to replace $S_0^2$ with $A\omega^2$, where $A$ is a constant that we will set to one\(^5\). For the other factor we find

$$\left| \langle U| (\text{out} \hat{\alpha}_{\text{up}}^\text{ext})(\text{out} \hat{\alpha}_{\text{up}}^\text{int}) |U \rangle \right|^2 = \left| \frac{e^{\frac{\pi \omega}{\kappa}}}{e^{\frac{2\pi \omega}{\kappa}} - 1} (T_H^\text{ext} T_H^\text{int} + T_H^\text{ext} R_H^\text{int} e^{\frac{\pi \omega}{\kappa}}) + R_H^\text{ext} R_H^\text{int} \right|^2,$$  

(2.23)

where we have written the general expression in terms of scattering coefficients. We call $\left| \langle U| (\text{out} \hat{\alpha}_{\text{up}}^\text{ext})(\text{out} \hat{\alpha}_{\text{up}}^\text{int}) |U \rangle \right|$ the Hawking-partner (HP) correlator.

In the case where there is no effective potential and thus no scattering, $T_H^\text{int} = T_H^\text{ext} = 1$, $R_H^\text{ext} = R_H^\text{int} = 0$ and Eq. (2.23) reduces to

$$\left| \langle U| (\text{out} \hat{\alpha}_{\text{up}}^\text{ext})(\text{out} \hat{\alpha}_{\text{up}}^\text{int}) |U \rangle \right|^2 = \left| \frac{e^{\frac{\pi \omega}{\kappa}}}{e^{\frac{2\pi \omega}{\kappa}} - 1} \right|^2.$$  

(2.24)

In this case the upstream modes which are thermally populated on the past horizon, simply travel towards $I_+$. This expression only depends on the surface gravity and thus the Hawking temperature, $T_H = \frac{\kappa}{2\pi}$. However, if the effective potential is included the resultant quantities are also dependent on the details of the sound speed and velocity profiles away from the horizon.

### B. Interior upstream and downstream phonon numbers

In [14] a new feature was found that is related to the interior downstream phonon number (DPN), $n_{\text{int}}^{\text{ds}}$, and the upstream phonon number (UPN), $n_{\text{up}}^{\text{int}}$. UPN refers to the number of phonons which are traveling upstream in the frame of the fluid in the interior, being dragged away from the horizon in the lab frame and arriving at $I_{\text{up}}^{\text{int}}$, while DPN refers to phonons which are moving downstream and arriving at $I_{\text{ds}}^{\text{int}}$. The DPN and UPN expressed in terms of the creation and annihilation operators for the ‘out’ modes are

$$n_{\text{up}}^{\text{int}} = \langle U| \text{out} \hat{\alpha}_{\text{up}}^{\text{int} \dagger} \text{out} \hat{\alpha}_{\text{up}}^{\text{int}} |U \rangle \quad \text{and} \quad n_{\text{ds}}^{\text{int}} = \langle U| \text{out} \hat{\alpha}_{\text{ds}}^{\text{int} \dagger} \text{out} \hat{\alpha}_{\text{ds}}^{\text{int}} |U \rangle.$$  

(2.25)

\(^5\) The definition for $S_0$ is given in [9], while the approximation can be derived using results in [19].
Solving for $|n_{up}^{\text{int}}|^2$ using the definition for the annihilation operator in Eqs. (2.21b) one finds

$$|n_{up}^{\text{int}}|^2 = \left( \frac{1}{e^{\frac{2\pi\omega}{\kappa}} - 1} \left| \tilde{T}^{\text{int}}_H + R^{\text{int}*}_H e^{\frac{2\pi\omega}{\kappa}} \right|^2 + |R^{\text{int}*}_I|^2 \right)^2. \tag{2.26}$$

In the case where $V_{\text{eff}} = 0$, $\tilde{T}^{\text{int}}_H = 1$ and $R^{\text{int}*}_H = R^{\text{int}*}_I = 0$,

$$|n_{up}^{\text{int}}|^2 = \left( \frac{1}{e^{\frac{2\pi\omega}{\kappa}} - 1} \right)^2. \tag{2.27}$$

Thus there is a thermal distribution of phonons.

For the DPN one finds

$$|n_{ds}^{\text{int}}|^2 = \left( \frac{1}{e^{\frac{2\pi\omega}{\kappa}} - 1} \left| T^{\text{int}}_H + R^{\text{int}*}_H e^{\frac{2\pi\omega}{\kappa}} \right|^2 \right)^2. \tag{2.28}$$

$T^{\text{int}}_H$ is associated with a mode in the exterior that is positive frequency on $H_-$ and is partially scattered into the interior. Thus, in the absence of a potential $T^{\text{int}}_H = 0$ and $\tilde{R}^{\text{int}*}_H = 0$, i.e. there is no particle production for these modes in the interior of the analog black hole.

### III. HP CORRELATOR WITH AN EFFECTIVE POTENTIAL

We now apply this general formalism to the three models previously mentioned.

#### A. Two-delta function potential

The first model we consider was discussed in [14] where $V_{\text{eff}}$ was approximated by two Dirac delta functions, one in region $r$ and one in region $l$. We refer to this model as the two-delta function potential model. The effective potential is

$$V_{\text{eff}} = \begin{cases} V_{\text{int}} \delta(x^* - x_{\text{int}}^*) , & x < 0 , \\ V_{\text{ext}} \delta(x^* - x_{\text{ext}}^*) , & x > 0 . \end{cases} \tag{3.1}$$
We review the resulting solution for the \( \text{in}_{f_{\text{ext}}}^I \) modes for the entire spacetime. In the exterior it is given by

\[
\text{in}_{f_{\text{ext}}}^I = \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} \left[ e^{-i\omega x^*} + R_{f_{\text{ext}}}^I e^{i\omega x^*} \right], \quad x^* > x^*_{\text{ext}} = 0,
\]

\[
= \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} T_{f_{\text{ext}}}^I e^{-i\omega x^*}, \quad x^* < x^*_{\text{ext}} = 0, \quad (3.2)
\]

where \( R \) and \( T \) will refer to scattering coefficients throughout. In the interior

\[
\text{in}_{f_{\text{ext}}}^I = \frac{e^{-i\omega t}}{\sqrt{4\pi\omega T_{\text{ext}}}^I} \left[ e^{-i\omega x^*} + R_{f_{\text{ext}}}^I e^{i\omega x^*} \right], \quad x^* > x^*_{\text{int}} = 0,
\]

\[
= \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} \left[ T_{f_{\text{ext}}}^I e^{-i\omega x^*} + R_{f_{\text{ext}}}^I e^{i\omega x^*} \right], \quad x^* > x^*_{\text{int}} = 0. \quad (3.3)
\]

The asymptotic form of the \( \text{in}_{f_{\text{ext}}}^I \) mode as \( x \to +\infty \) is

\[
\text{in}_{f_{\text{ext}}}^I \to \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} \left[ e^{-i\omega x^*} + R_{f_{\text{ext}}}^I e^{i\omega x^*} \right] \quad (3.4)
\]

and for \( x \to -\infty \) it has the form

\[
\text{in}_{f_{\text{ext}}}^I \to \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} \left[ T_{f_{\text{ext}}}^I e^{-i\omega x^*} + R_{f_{\text{ext}}}^I e^{i\omega x^*} \right]. \quad (3.5)
\]

Similarly the modes which originate on the exterior past horizon have the following asymptotic form for \( x \to +\infty \)

\[
\text{in}_{f_{\text{ext}}}^H \to \frac{e^{-i\omega t}}{\sqrt{4\pi\omega T_{f_{\text{ext}}}^H}} e^{i\omega x^*}. \quad (3.6)
\]

The form for \( x \to -\infty \) is

\[
\text{in}_{f_{\text{ext}}}^H \to \frac{e^{-i\omega t}}{\sqrt{4\pi\omega}} \left[ R_{f_{\text{ext}}}^H e^{-i\omega x^*} + T_{f_{\text{ext}}}^H e^{i\omega x^*} \right]. \quad (3.7)
\]

Finally, the modes which originate on the past horizon in the interior have the form for \( x \to -\infty \)

\[
\text{in}_{f_{\text{int}}}^H \to \frac{e^{i\omega t}}{\sqrt{4\pi\omega}} \left[ \tilde{T}_{f_{\text{int}}}^H e^{-i\omega x^*} + \tilde{R}_{f_{\text{int}}}^H e^{i\omega x^*} \right]. \quad (3.8)
\]

The transmission and reflection coefficients are found by matching these solutions across
the delta function potentials. The resulting scattering coefficients are
\[
T^\text{ext}_I = \frac{2i\omega}{V_{\text{ext}}}, \quad T^\text{ext}_H = \frac{1}{1 - \frac{V_{\text{ext}}}{2i\omega}},
\]
\[
R^\text{ext}_I = \frac{1}{2i\omega} \frac{V_{\text{ext}}}{V_{\text{ext}} - 1}, \quad R^\text{ext}_H = \frac{V_{\text{ext}}}{1 - \frac{V_{\text{ext}}}{2i\omega}},
\]
\[
R^\text{int}_I = \frac{V_{\text{int}}}{2i\omega} T^\text{ext}_I, \quad R^\text{int}_H = (1 - \frac{V_{\text{int}}}{2i\omega}) R^\text{ext}_H.
\]
\[
T^\text{int}_I = \left(1 - \frac{V_{\text{int}}}{2i\omega}\right) T^\text{ext}_I, \quad R^\text{int}_H = \frac{V_{\text{int}}}{2i\omega} R^\text{ext}_H,
\]
\[
\tilde{T}^\text{int}_H = 1 - \frac{V_I}{2i\omega}, \quad \tilde{R}^\text{int}_H = \frac{V_I}{2i\omega}.
\]

(3.9)

Using these scattering coefficients in Eq. (2.23) gives
\[
\left|\langle \hat{a}_{\text{out}}^\text{ext} | (\hat{a}_{\text{out}}^\text{int})^\dagger | U \rangle \right|^2 = \left| \frac{e^{\frac{e}{\kappa}}}{e^{-\frac{e}{\kappa}}} - 1 \left( \frac{V_{\text{int}} V_{\text{ext}}}{4\omega^2 + V_{\text{ext}}^2} e^{\frac{e}{\kappa}} + \frac{1 - \frac{V_{\text{int}}}{2i\omega}}{2i\omega} \frac{V_{\text{ext}}}{(1 - \frac{V_{\text{ext}}}{2i\omega})} \right) + \frac{V_{\text{int}}}{V_{\text{ext}} - 4\omega^2} \right|^2.
\]

(3.10)

In the two-delta function potential model, the HP correlator is finite as \( \omega \to 0 \) whereas in the case without scattering it diverges in this limit.

![FIG. 2: The square of the product of the Hawking-partner correlator and the frequency for the two-delta function potential model (blue-dotted) and the case with \( V_{\text{eff}} = 0 \) (orange-dashed) is shown in the left panel. Here \( \langle \hat{a}_{\text{out}}^\text{ext} \hat{a}_{\text{out}}^\text{int} \rangle \) is the Hawking-partner correlator. In the right panel the ratio of the two curves on the left is shown.](image)
B. Constant flow velocity model

We next consider a model which has been studied from both the condensed matter perspective [7] and the quantum field theory in curved space perspective [8] and shows good agreement between the two. The profile has a varying sound speed, but the flow velocity is held constant, and thus due to mass continuity, the density is also constant. Such a profile is theoretically possible if an external potential is adjusted to keep the density constant while the coupling constant, \( g \), which is related to the s-wave scattering length, is varied via a Feshbach resonance [19] allowing the speed of sound, \( c = \sqrt{\frac{\pi}{m}} \) to vary.

The sound speed profile used in [7, 8] is

\[
c(x) = \sqrt{c_{\text{int}}^2 + \frac{1}{2}(c_{\text{ext}}^2 - c_{\text{int}}^2) \left[ 1 + \frac{2}{\pi} \tan^{-1} \left( \frac{x + b}{\sigma_v} \right) \right]},
\]

\[
b = \sigma_v \tan \left[ \frac{\pi}{2} \left( c_{\text{ext}}^2 - c_{\text{int}}^2 \right) \left( \frac{v_0^2}{2} - \frac{1}{2}(c_{\text{ext}}^2 + c_{\text{int}}^2) \right) \right].
\]

(3.11)

where \( b \) is defined so that the horizon occurs at \( x = 0 \). This sound speed approaches a constant, \( c_{\text{int}} \) in the interior, as \( x \to -\infty \) and in the exterior approaches the constant \( c_{\text{ext}} \) as \( x \to \infty \). The flow velocity is \( \vec{v} = -v_0 \hat{x} \), where \( v_0 > 0 \) is constant. The term \( \sigma_v \) is related to the width of the profile. We use \( c_{\text{int}} = 1/2, c_{\text{ext}} = 1, v_0 = 3/4 \) and \( \sigma_v = 8 \) which are the values used for some of the numerical calculations in [7] and [8].

The scattering coefficients are calculated numerically for each value of \( \omega \) and then used in Eq. (2.23). Unlike the two-delta function potential case, the reflection coefficient in the exterior does not approach one for low frequencies, thus the HP correlator is infrared divergent as it is when the effective potential is ignored.

The results are shown in Fig. 3 where the quantity \( \omega^2 |\langle \hat{a}_{\text{up}}^\dagger \hat{a}_{\text{up}} \rangle|^2 \) is plotted both when \( V_{\text{eff}} \) is included in the calculation and when \( V_{\text{eff}} = 0 \). The inclusion of the effective potential increases the value of the HP correlator throughout the frequency range of the plot. A ratio of the two cases is also shown. In the low frequency regime, the HP correlator is observed to be approximately 8% larger than its value when \( V_{\text{eff}} = 0 \). This inevitably will affect the main peak.

\[\text{In [7] this profile was used with } b = 0.\]
FIG. 3: The square of the product of the Hawking-partner correlator and the frequency for the constant flow velocity model (blue dots) and the case with $V_{\text{eff}} = 0$ (orange squares) is shown in the left panel. Here $\left|\langle \hat{a}_{\text{up}}^{\text{ext}} \hat{a}_{\text{up}}^{\text{int}} \rangle \right|$ is the Hawking-partner correlator. In the right panel the ratio of the two curves on the left is shown.

C. The waterfall model

A model, which more closely resembles the experiments of [9] and [10], often called the waterfall model has been studied in [11]. This model is based on an analytic solution to the Gross-Pitavskii equation when an external step function potential is applied. The resulting density profile can be written as

$$n(x) = \begin{cases} 
    n_- [M_- + (1 - M_-)/(\cosh(\sigma(x + x_0)))^2] & x \geq x_0 \\
    n_- & x \leq x_0.
\end{cases}$$

where we have shifted the profile by $x_0 \approx 9.6 \times 10^{-7}$ so that the horizon is at $x = 0$. The subscript $-$ indicates the asymptotic value as $x \to -\infty$. The Mach number $M(x) \equiv c(x)/v_0(x)$ is used to characterize the flow and its asymptotic value $M_- = c_-/v_0$ gives insight into the strength of the ‘waterfall’. The width of the profile is modified by $\sigma = (\sqrt{M_- - 1})/\xi$ with $\xi$ the healing length. In this profile the flow velocity, sound speed, and density all vary along the flow. The flow velocity is $\vec{v} = -v_0(x)\hat{x}$, with $v_0(x) > 0$. It is simple to show that the continuity equation leads to $v_0 \propto \frac{1}{n}$ (see e.g. [20]).

The entire solution can be defined by a particular choice for $c_-$ and $v_-$. Here we use values that closely match the experiment described in [9] with $v_- = 1.02 \times 10^{-3}$ and $c_- = 0.24 \times 10^{-3}$. The resulting density, sound speed, and flow velocity are plotted in Fig. 4.

The result for the HP correlator is shown in Fig. 5, where the quantity $\omega^2 \left|\langle \hat{a}_{\text{up}}^{\text{ext}} \hat{a}_{\text{up}}^{\text{int}} \rangle \right|^2$
FIG. 4: Shown are plots of the density times a constant scale factor (blue, solid), the speed of sound (orange, dashed), and the magnitude of the flow velocity (green, dotted) for the Mach number $M_\infty = 4.1$.

is plotted for $V_{\text{eff}} \neq 0$ and for $V_{\text{eff}} = 0$. There is a significant difference between these two cases throughout most of the frequency range of the plot. The ratio of the two cases is also shown and there is an approximately 10% increase in the low frequency values of the HP correlator when the effective potential is included in the calculation. This low frequency regime is especially important when considering the main peak in the density-density correlation function as both the width and magnitude of the peak are heavily dependent on the low frequency modes.

FIG. 5: The square of the product of the Hawking-partner correlator and the frequency for the waterfall model (blue dots) and the case with $V_{\text{eff}} = 0$ (orange squares) is shown in the left panel. Here $|\langle \hat{a}_{\text{ext}}^{\text{out}} \hat{a}_{\text{int}}^{\text{out}} \rangle|^2$ is the Hawking-partner correlator. In the right panel the ratio of the two curves on the left is shown.
IV. PARTICLE PRODUCTION IN THE INTERIOR

The number of upstream and downstream phonons in the interior of a BEC analog black hole were computed in [14] for the two-delta function potential model. We review these results and then calculate quantities related to the interior UPN and DPN for the other two models.

In Sec. II B we have shown that if $V_{\text{eff}} = 0$ the spectrum of $|\omega n_{\text{int}}^{\text{up}}|^2$ is based on a thermal distribution as seen in Eq.(2.27) and $|\omega n_{\text{ds}}^{\text{int}}|^2 = 0$. For the two-delta function potential it was shown in [14] that $n_{\text{ds}}^{\text{int}}$ is non-zero and that both $n_{\text{up}}^{\text{int}}$ and $n_{\text{ds}}^{\text{int}}$ are non-thermal in the left and right panels respectively of Fig. 6. In both plots the spectrum for these quantities when $V_{\text{eff}} = 0$ is shown. Recalling that for $V_{\text{eff}} = 0$, $|\omega n_{\text{up}}^{\text{int}}|^2$ has a thermal spectrum, it is clear that the spectrum when $V_{\text{eff}} \neq 0$ is nonthermal.

Also visible in Fig. 6 is a peak. It was found in [14] that this peak occurs when the magnitude of $V_{\text{eff}}$ is larger in the interior than it is in the exterior.

For the first model, the delta-function effective potential was introduced in an ad hoc way and the asymmetry in the overall potential profile is thus not related to the sound speed or flow velocity of the model. In the other two models the effective potential is derived from the profiles for the sound speed and flow velocity according to (2.6).

The constant flow velocity model has a speed of sound profile which, for the constants
used in the calculations of the HP correlator in Sec. IIIB, leads to a nearly anti-symmetric effective potential (shown in Fig. 7). In this case, the magnitude of the effective potential in the exterior region is only slightly larger than its magnitude in the interior region. The resulting quantities $|\omega n_{up}^\text{int}|^2$ and $|\omega n_{ds}^\text{int}|^2$, plotted in Fig. 7 do not show a peak and instead are qualitatively similar to $|\omega n_{up}^\text{int}|^2$ in the case where $V_{\text{eff}} = 0$.

![Figure 7](image-url)

**FIG. 7**: Upper: Plot of the effective potential for the constant flow velocity model. Left: The quantity $|\omega n_{up}^\text{int}|^2$ vs $\omega$ is shown for the constant flow velocity profile (blue dots) and for the case where there is no potential (orange squares). Right: The quantity $|\omega n_{ds}^\text{int}|^2$ vs $\omega$ is shown for the constant flow velocity profile (blue dots) and for the case where there is no potential (orange squares).

For the waterfall model, the nature of the profiles for $c(x)$, $v(x)$, and $n(x)$ results in the magnitude of the interior effective potential being much larger than the exterior effective potential, as can be seen in Fig. 8. This results in a distinctive peak in the plot of $|\omega n_{up}^\text{int}|^2$, while the plot of $|\omega n_{ds}^\text{int}|^2$ is dominated by the peak as seen in the lower right panel of Fig. 8. In the two-delta function potential and waterfall models one finds for $|\omega n_{up}^\text{int}|^2$ what appears to be a peak superimposed on a distribution which is almost thermal. The structure of $|\omega n_{up}^\text{int}|^2$ for the waterfall model can still be described as a peak superimposed
FIG. 8: Plot of the effective potential for the waterfall model in the interior (upper left) and exterior (upper right) of the analog black hole. The vertical axes of the upper-right and upper left plots have different scales since the magnitude of the effective potential in the interior is significantly larger than it is in the exterior. Bottom-Left: The quantity $|\omega n_{\text{up}}^\text{int}|^2$ vs $\omega/\kappa$ is shown for the waterfall model (Blue dots) and for the case where there is no effective potential (orange squares). Bottom-Right: The quantity $|\omega n_{\text{ds}}^\text{int}|^2$ vs $\omega/\kappa$ is shown for the waterfall model (blue dots) and for the case with no effective potential (orange squares).

We also find that in the waterfall model the peaks in both $|\omega n_{\text{up}}^\text{int}|^2$ and $|\omega n_{\text{ds}}^\text{int}|^2$ appear at higher frequencies in the distribution than was found for the peaks in the two-delta function potential model.
V. CONCLUSIONS

We have studied the Hawking-Partner (HP) correlator, (2.23), and the interior upstream and downstream phonon numbers, (2.25), for a BEC analog black hole. The mode equation for phonons in the hydrodynamic limit is a wave equation with a potential that depends on the density, flow velocity and sound speed. In some previous studies this potential was neglected for simplicity. We have shown that the inclusion of this effective potential has a significant impact on the HP correlator and the interior numbers of upstream and downstream phonons in each of the models we have investigated.

Three different models were considered. The HP correlator was calculated by solving the mode equation with the effective potential, $V_{\text{eff}}$, for each model and then comparing the result with the case with no effective potential. The first model has an effective potential consisting of two delta functions, one in the exterior and one in the interior. The behavior of the HP correlator for the two-delta function potential model is quite different from the case where $V_{\text{eff}} = 0$ as the low frequency HP correlator is finite for the two-delta function potential model, whereas it is infrared divergent if $V_{\text{eff}} = 0$.

A second model has a constant flow velocity, but a varying sound speed. In this case the HP correlator is qualitatively similar to the $V_{\text{eff}} = 0$ case. However at low frequencies they differ by as much as 8%.

The third model, called the waterfall model, is a solution to the Gross-Pitaevskii equation for the background density if a step function potential is applied. The resulting profile has a varying sound speed and flow velocity. The HP correlator for this model differs significantly from the case when $V_{\text{eff}} = 0$. In the low frequency regime in particular the HP correlator for the waterfall model is increased by approximately 10% compared to the case when $V_{\text{eff}} = 0$.

We have also calculated the interior upstream phonon number(UPN) and downstream phonon number(DPN) at future null infinity for the constant flow velocity model and the waterfall model and have also reviewed the results for the two-delta function potential model in [14]. In the two-delta function potential model one finds a peak in both $|\omega n_{\text{up}}^{\text{int}}|^2$ and $|\omega n_{\text{ds}}^{\text{int}}|^2$ when the potential is adjusted so that the interior effective potential is larger than the exterior. The waterfall model, by its nature, has an interior effective potential which is much larger in magnitude when compared to the exterior and thus has an easily visible peak in both quantities related to the UPN and DPN. The case with a constant flow velocity does...
not have a larger effective potential in the interior and no such peak is found in $|\omega n_{\text{up}}^{\text{int}}|^2$ or $|\omega n_{\text{ds}}^{\text{int}}|^2$.

The same particle production that leads to the peak related to the interior UPN and DPN appears to have a small impact on the HP correlator for the waterfall model. This is only visible when looking at the ratio of the curve with $V_{\text{eff}} \neq 0$ to the curve with $V_{\text{eff}} = 0$ in Fig. 5. This impact is small enough that we do not expect to see its effect in the current experimental results\cite{9, 10}.

In \cite{12} it was shown that there is a relationship between the HP correlator and the Fourier transform of the density-density correlation function when one point is inside and one point is outside the horizon. It is possible that a similar relationship could be found between a Fourier transform of the density-density correlation function when both points are inside the horizon and the quantities $|\omega n_{\text{up}}^{\text{int}}|^2$ and $|\omega n_{\text{ds}}^{\text{int}}|^2$. If so, then it is likely, given the prominence of the peak in the theoretical calculation for the waterfall model that a corresponding peak might be found in the experimental data provided that the effective potential for the experimental configuration has a similar qualitative behavior to that of the waterfall model.

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