The Serverless Computing Survey: A Technical Primer for Design Architecture

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The development of cloud infrastructures inspires the emergence of cloud-native computing. As the most promising architecture for deploying microservices, serverless computing has recently attracted more and more attention in both industry and academia. Due to its inherent scalability and flexibility, serverless computing becomes attractive and more pervasive for ever-growing Internet services. Despite the momentum in the cloud-native community, the existing challenges and compromises still wait for more advanced research and solutions to further explore the potentials of the serverless computing model. As a contribution to this knowledge, this article surveys and elaborates the research domains in the serverless context by decoupling the architecture into four stack layers: Virtualization, Encapsule, System Orchestration, and System Coordination. Inspired by the security model, we highlight the key implications and limitations of these works in each layer, and make suggestions for potential challenges to the field of future serverless computing.

CCS Concepts: · Computer systems organization → Cloud computing; n-tier architectures. · Networks → Cloud computing. · Theory of computation → Parallel computing models.

Additional Key Words and Phrases: serverless computing, architecture design, FaaS, Lambda paradigm

1 INTRODUCTION
1.1 Definition of Serverless Computing

Traditional Infrastructure-as-a-Service (IaaS) deployment mode demands a long-term running server for sustainable service delivery. However, this exclusive allocation needs to retain resources regardless of whether the user application is running or not. Consequently, it results in low resource utilization in current data centers by only about 10% on average, especially for an online service with a diurnal pattern. The contradiction attracts the development of a platform-managed on-demand service model to attain higher resource utilization and lower cloud computing costs. To this end, serverless computing was put forward, and most large cloud vendors such as Amazon, Google, Microsoft, IBM, and Alibaba have already offered such elastic computing services.

In the following, we will first review the definition given in Berkeley View [65], and then we will give a broader definition. We believe that a narrow perception of the FaaS-based serverless model may weaken its advancement. So far, there is no formal definition of serverless computing. The common acknowledged definitions from Berkeley View [65] are presented as follows:

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Serverless Computing = FaaS(Function-as-a-Service) + BaaS(Backend-as-a-Service). One fallacy is that ‘Serverless’ is interchangeable with ‘FaaS’, which is revealed in a recent interview [78]. To be precise, they both are essential to serverless computing. FaaS model enables the function isolation and invocation, while BaaS provides overall backend support for online services.

In the FaaS model (aka Lambda paradigm), an application is sliced into functions or function-level microservices [26, 45, 57, 65, 117, 141]. The function identifier, the language runtime, the memory limit of one instance, and the function code blob URI (Uniform Resource Identifier) together define the existence of a function [94].

The BaaS covers a wide range of services that any application relies on can be categorized into it. For example, the cloud storage (Amazon S3 and DynamoDB), the message bus system for passing (Google cloud pub/sub), the message notification service (Amazon SNS), and DevOps tools (Microsoft Azure DevOps).

To depict the serverless computing model, we take the asynchronous invocation in Figure 1 as an example. The serverless system receives triggered API queries from the users, validates them, and invokes the functions by creating new sandboxes (aka the cold startup [15, 28, 65]) or reusing running warm ones (aka the warm startup). The isolation ensures that each function invocation runs in an individual container or a virtual machine assigned from an access-control controller. Due to the event-driven and single-event processing nature, the serverless system can be triggered to provide on-demand isolated instances and scale them horizontally according to the actual application workload. Afterwards, each execution worker accesses a backend database to save execution results [23]. By further configuring triggers and bridging interactions, users can customize the execution for complex applications (e.g., building internal event calls in a \( \{ \text{Fn}_A, \text{Fn}_B, \text{Fn}_C \} \) pipeline).

In the broader scenario, we think that the serverless computing model should be identified with the following features:

- **Auto-scaling.** Auto-scalability should not be only narrowed to the FaaS model (e.g., container black boxes as scheduling units in OpenWhisk [134]). The indispensable factor in identifying a serverless system is performing horizontal and vertical scaling when accommodating workload dynamics. Allowing an application to scale the number of instances to zero also introduces a worrisome challenge - cold startup. When a function experiences the cold startup, instances need to start from scratch, initialize the software environment, and load application-specific code. These steps can significantly drag down the service response, leading to QoS (Quality-of-Service) violations.

- **Flexible scheduling.** Since the application is no longer bound to a specific server, the serverless controller dynamically schedules applications according to the resource usage in the cluster, while ensuring load balancing and performance assurances. Moreover, the serverless platform also takes the multi-region collaboration into account [154]. For a more robust and available serverless system, flexible scheduling...
allows the workload queries to be distributed across a broader range of regions [119]. It avoids serious performance degradation or damage to the service continuity in case of unavailable or crash nodes.

- **Event-driven.** The serverless application is triggered by events, such as the arrival of RESTful HTTP queries, the update of a message queue, or new data to a storage service. By binding events to functions with triggers and rules, the controller and functions can use metadata encapsulated in context attributes. It makes relationships between events and the system detectable, enabling different collaboration responses to different events. Cloud-Native Computing Foundation (CNCF) serverless group also published CloudEvents specifications for commonly describing event metadata to provide interoperability.

- **Transparent development.** On the one hand, managing underlying host resources will no longer be a bother for application maintainers, because they are agnostic about the execution environment. Simultaneously, cloud vendors should ensure isolated sandboxes, reliable execution environment, available physical nodes, software runtimes, and computing power while making them transparent to maintainers. On the other hand, serverless computing should also integrate DevOps tools to help deploy and iterate more efficiently.

- **Pay-as-you-go.** The serverless billing model shifts the cost of computing power from a capital expense to an operating expense. This model eliminates the requirement from users to buy exclusive servers based on the peak load. By sharing network, disk, CPU, memory, and other resources, the pay-as-you-go model only indicates the resources that applications actually used [1, 2, 26], no matter whether the instances are running or idle.

We regard an elastic computing model with the above five features incorporated as the key to the definition of serverless computing. Along with the serverless emergence, application maintainers would find it more attractive that resource pricing is billed based on the actual processing events of an application rather than the pre-assigned resources [2]. Nowadays the serverless computing is commonly applied in backend scenarios for batch jobs, including data analytics (e.g., distributed computing model in PyWren [64]), machine learning tasks (e.g., deep learning) [78, 111], and event-driven web applications.

1.2 Survey Method by the Layered Serverless Architecture

Several surveys in serverless computing have discussed the characteristics of serverless generalization [15, 52, 65, 112, 116, 144]. However, they only propose literature reviews from a high-level perspective while ignoring to provide enough architecture implications. As a result, researchers and serverless vendors may find it struggling to grasp and comprehend each issue in the real serverless architecture. In the lack of systematic knowledge, challenges and proposed solutions will lack high portability and compatibility for various serverless systems. To this end, this survey is inspired to propose a layered design and summarize the research domains from different views. It can help researchers and practitioners to further understand the nature of serverless computing. As shown in Figure 2, we analyze its design architecture with a bottom-up logic and decouple the serverless computing architecture into four stack layers: Virtualization, Encapsule, System Orchestration, and System Coordination. We also abstract the security model in each layer (the System Orchestration layer and System Coordination layer are merged).

**Virtualization layer.** The Virtualization layer enables function isolation within a performance and functionality secured sandbox. The sandbox serves as the runtime for application service code, runtime environment, dependencies, and system libraries. To prevent access to resources in the multi-application or multi-tenant scenarios, cloud vendors usually adopt containers/virtual machines to achieve isolation. Currently, the popular sandbox technologies are Docker [41], gVisor [49], Kata [67], Firecracker [3], and Unikernel [86]. The security model answers how to provide reliable runtime environments for different tenants and guarantee security on the cloud platform. Section 2 introduces these solutions to isolate functions and analyze their pros and cons.
Encapsule layer. Various middlewares in the Encapsule layer enable customized function triggers and executions, as well as collecting data metrics for communicating and monitoring. We call all these additional middlewares the sidecar. It separates other features from the service business logic and enables loose coupling between the functions and the underlying platform. Meanwhile, to speed up instance startup and initialization, the prewarm pool is commonly used in the Encapsule layer [44, 97, 104, 105, 118, 146]. Serverless systems may use prediction by analyzing the load pattern to prewarm each by one-to-one approach, or build a template for all functions to dynamically install requirements (REQs) according to the runtime characteristics by a one-for-all approach. The security model resolves privacy concerns by introducing a user-level or system-level analyzer when loading users’ private requirements. We introduce those concepts in Section 3.

System Orchestration layer. The System Orchestration layer allows users to configure triggers and bind rules, ensuring the high availability and stability of the user application by dynamically adjusting as load changes. Through the cloud orchestrator, the combination of online and offline scheduling can avoid resource contention, recycle idle resources and ease the performance degradation for co-located functions. The above implementations are also typically integrated into container orchestration services (e.g., Google Kubernetes and Docker Swarm). While in the serverless system, resource monitor, controller, and load balancer are consolidated to resolve scheduling challenges [4, 32, 50, 57, 66, 70, 88, 139]. They enable the serverless system to achieve scheduling optimizations in three different levels: resource-level, instance-level, and application-level, respectively. The security model deals with robust performance when serverless applications have more fragmented boundaries. Section 4 detailly analyzes the methodology from three angles.

System Coordination layer. The System Coordination layer consists of a series of Backend-as-a-Service (BaaS) components that use unified APIs and SDKs to integrate backend services into functions. Distinctly, it
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Table 1. Techniques in the Virtualization layer.

| Virtualization | Startup latency | Isolation level | OSkernel | Hotplug | Hypervisor | OCI supported | Backed by |
|----------------|-----------------|-----------------|----------|---------|------------|---------------|-----------|
| Traditional VM | >1000ms         | Strong unsharing |          |         | ✓          |               |           |
| Docker [41]    | 50ms-500ms      | Weak host-sharing | ✓        | ✓       |             | Docker        |           |
| SOCK [101]     | 10ms-50ms       | Weak host-sharing | ✓        | ✓       |             |               |           |
| Hyper-V [58]   | >1000ms         | Strong unsharing |          |         | ✓          | Microsft      |           |
| gVisor [49]    | 100ms-500ms     | Strong unsharing | ✓        | ✓       |             | Google        |           |
| Kata [67]      | 100ms-500ms     | Strong unsharing | ✓        | ✓       |             | OpenStack     |           |
| FireCracker [3] | 100ms-500ms    | Strong unsharing | ✓        | ✓       |             | Amazon        |           |
| Unikernel [86] | 10ms-50ms       | Strong Built-in  |         |         | ✓          | Docker        |           |

differs from the traditional middlewares that use local physical services outside the cloud. These BaaS services provide the storage, queue service [94, 99], trigger binding [75, 77], API gateway, data cache [6, 7], DevOps tools [24, 25, 63, 122], and other customized components for better meeting the System Orchestration layer's flexibility requirements. Section 5 discusses these essential BaaS components in a serverless system.

Each stack layer plays an essential role in the serverless architecture. Therefore, based on the above hierarchy, we conclude the contributions of this survey as follows:

1. Introduce the serverless definition and summarize the features.
2. Elaborate the architecture design based on a four-layer hierarchy, and review the significant and representative works in each layer.
3. Analyze the security model of each layer based on the four-layered architecture.
4. Explore the challenges, limitations, and opportunities in serverless computing.

The rest of the survey is organized as follows: Sections 2-5 introduce the four stack layers and elaborate current research domains in serverless computing. Section 6 analyzes several factors that degrade performance, and compares the current production serverless systems. Finally, we summarize and outline the challenges and opportunities in Sections 7 and 8.

2 VIRTUALIZATION LAYER

A user function invoked in the serverless runtime will be loaded and executed within a virtualized sandbox. A function can either reuse a warm sandbox or create a new one, but usually not co-run with different user functions. In this premise, most of the concerns in virtualization are isolation, flexibility, and low startup latency. The isolation ensures that each application process runs in the demarcated resource space, and the running process can avoid interference by others. The flexibility requires the ability to test and debug, and the additional support for extending the system. Low startup latency requires a fast response for the sandbox creation and initialization. The current sandboxing mechanism in the Virtualization layer is broken into four representative categories: traditional VM (Virtual Machine), container, secure container, and Unikernel. Table 1 compares these mainstream approaches in several respects.

In the table, "Startup latency" represents the response latency of cold startup. "Isolation level" indicates the capacity of functions running without interference by others. "OSkernel" shows whether the kernel in GuestOS is shared. "Hotplug" allows the function instance to start with minimal resources (CPU, memory, virtio blocks) and add additional resources at runtime. "OCI supported" means whether it provides the Open Container Initiative (OCI), an open governance structure for expressing container formats and runtimes. Moreover, "✓" in all survey tables means this technique or strategy is used, and vice versa.
The traditional VM-based isolation adopts a VMM (virtual machine manager, e.g., hypervisor) which provides virtualization capabilities to guests. It can also mediate access to all shared resources by provided interfaces (or using Qemu/KVM). With snapshots, VM shows high flexibility in quick failsafe when patch performing on applications within each VM instance. Though VM provides a strong isolation mechanism and flexibility, it lacks the benefits of lower startup latency for user applications (usually >1000ms). This tradeoff is fundamental in serverless computing, where a function is negligible while the relative overhead of VMM and guest kernel is high.

**Container customization: provide high flexibility and performance.**

Another common function isolation mechanism in serverless computing is using containers. The container engine leverages the Linux kernel to isolate resources, and create containers as different processes in the host [19, 92]. Each container shares the host kernel with the read-only attribute, typically including binaries and libraries. The high flexibility is also attached to the container with the UnionFS (Union File System), which enables the combination of the layered container image by read-only and read-write layers. Essentially, a container achieves the isolation through namespace to enable processes sharing the same system kernel and Linux Cgroups to set resource limits. Without hardware isolation, container-based sandboxing shows lower startup latency than coarse-grained consolidation strategies [11, 147] in hypervisor-based VMs.

The representative container engine is Docker [41]. Docker packages software into a standardized RunC container adapted to the environment requirements, including libraries, system tools, code, and runtime. Docker container has been widely employed in various serverless systems for its lightweight nature. Some works further optimize the container runtime for better adaption to the application requirements in the serverless system. SOCK [101] proposes an integration solution for serverless RunC containers, where redundant features in Docker containers are discarded in this lean container. By only constructing a root file system, creating communication channels, and imposing isolation boundaries, the SOCK container makes serverless systems run more efficiently in startup latency and throughput. The startup latency of the SOCK container is reduced to 10ms-50ms, compared with docker containers that usually take 50ms-500ms. Unlike condensing redundance in lean containers, as additional tools (e.g., debuggers, editors, coreutils, shell) enrich the container and increase the image size, CNTR [130] splits the container image into “fat” and “slim” parts. A user can independently deploy the “slim” image and expand it with additional tools by dynamically attaching the “fat” image to the former. The evaluation of CNTR shows that the proposed mechanism can significantly improve the overall performance and effectively reduce the image size when extensively applied in the data center.

**Secure Container: compromise security with high flexibility and performance.**

By reviewing our security model of the Virtualization layer in Figure 2, security concerns arise for the relatively low isolation level of containers. Side-channel attacks such as Meltdown [84], Zombieload [114], Spectre [72] prompt mitigation approaches toward vulnerabilities. On the one hand, container isolation should involve preventing privilege escalation, information, and communication disclosure side channels [3]. On the other hand, The untrusted code from user functions should not allow full access to the host kernel. Any process-based solution must include a relaxation of the security model for its insufficiency for mutually-untrusted functions. It requires containers to craft function containers and restrict permissions arbitrarily in the case of shared kernel architecture. The state-of-the-art solution to this issue is leveraging Secure Containers. For example, Microsoft proposes their Hyper-V Container for Windows [58]. Hyper-V offers enhanced security and broader compatibility. Each instance runs inside a highly optimized microVM and does not share its kernel with others on the same host. However, it is still a heavy-weight virtualization that can introduce more than 1000ms of startup latency. In Google gVisor [49], the kernel in it acts as a non-privileged process to restrict `syscalls` that called in userspace. However, the overhead introduced during interception and processing `syscalls` in a sandbox is high. As a result, it is not well-suited for applications with heavy `syscalls`. In order to isolate different tenants with affordable overhead, FireCracker [3] creates microVMs by customizing VMM for cloud-native applications. Each Firecracker sandbox runs in user space and is restricted by Seccomp, Cgroup, and Namespace policies. Hardware and hypervisor-based
virtualization help FireCracker limit access to the privileged domain and host kernel for guests. With a container engine built-in microVMs, Kata [67] adopts an agent to communicate with the kata-proxy located on the host through the hypervisor, thus achieving a secure environment in a lightweight manner. Both FireCracker and Kata Containers can significantly reduce startup latency and memory consumption, and they all need only 100ms-500ms to start a sandbox. Secure Containers can provide complete and strong isolation for the host kernel and other tenants, at the cost of the limited flexibility in condensed microVMs. However, the startup latency of an instance is still long due to the additional application initialization, e.g., JVM or Python interpreter setup.

**Specialized Unikernel: enhance flexibility with high security and performance.**

Another emerging virtualization technique is Unikernel [86], which leverages libraryOS, including a series of essential dependent libraries to construct a specialized, single-address-space machine image. Because the Unikernel runs as a built-in GuestOS, the compile-time invariance rules out runtime management, which significantly reduces the applicability and flexibility of Unikernel. However, unnecessary programs or tools such as `ls`, `cd`, `tar` are not contained, so the image size of a Unikernel is smaller (e.g., 2MB by mirage-skeleton [95] that compiled from Xen), the startup latency is much less (e.g., start within 10ms), and the security is more substantial than containers. Based on it, LightVM [90] replaces the time-consuming XenStore and implements the split tool stack, separating functionality that runs periodically from that which must be carried out, thus improving efficiency and reducing VM startup latency. From the perspective of software ecosystem, to solve the challenge that traditional applications are struggling to be transplanted to the Unikernel model [86, 113], Olivier proposes HermitTux [102], a Unikernel model compatible with Linux binary. HermitTux makes the Unikernel model compatible with Linux Application Binary Interface while retaining the benefits of Unikernel. However, Unikernel is not adaptable for developers once built, making it inherently inflexible for applications, let alone the terrible DevOps environment. Furthermore, in heterogeneous clusters, the heterogeneity of the underlying hardware forces Unikernel to update as drivers change, making it the antithesis of serverless philosophy.

**Tradeoffs among Security, performance, and flexibility.**

At last, we make the indicatrix diagram of these four technologies in Figure 3 to show the tradeoffs among security, performance, and flexibility. To conclude, hypervisor-based VM shows better isolation and flexibility, while the container can make the instance start faster and flexible to customize the runtime environment. The secure container offers high security and relatively low startup latency with flexibility compromise. Unikernel demonstrates great potential in terms of performance and security, but it loses flexibility. When offering adaptable images in the production environment by either virtualization mechanism, it is also critical to avoid that built ones are signed and originated from an unsafe pedigree, with the solutions [69, 128] by keeping continuous vulnerability assessment and remediation program.
Table 2. Works in Encapsule layer.

| Representative work | Template | Static image | Pool | Exclusive | Fixed size | Predict/Heuristic | REqs | C/R | Sidecar based | Imp |
|---------------------|----------|--------------|------|-----------|------------|------------------|------|-----|---------------|-----|
| Pause container [55, 94] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | / |
| Azure functions [105] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | AWS |
| Fission [44] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | Kubernetes |
| Adaptive Warm-up [146] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | Kubernetes |
| Serverless in the Wild [118] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | OpenWhisk |
| Replayable Execution [140] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | Faas FW |
| Catalyzer [42] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | gVisor-based |
| Mohan et al. [97] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | OpenWhisk |
| Apache OpenWhisk [104] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | / |
| SOCK [101] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | OpenLambda |

3 ENCAPSULE LAYER

A cold startup in serverless computing may occur when the function fails to capture a warm running container, or experiences a bursty load. In the former, a function is invoked for the first time or scheduled with a longer invocation interval than the instance lifetime. The typical characteristic is that instances (or pods) must start from scratch. In the latter case of a bursty load, instances need to perform horizontal scaling during a surge in user workloads. Function instances will autoscale as load changes to ensure adequate resource allocation. Besides taking less than one second to prepare a sandbox in the Virtualization layer, the initialization of software environment, e.g., load Python libraries; and application-specific user code can dwarf the former [42, 65, 83, 101, 117]. While we can provide a more lightweight sandboxing mechanism to reduce the cold startup latency in the virtualization layer, the state-of-the-art sandboxing mechanism may not demonstrate perfect compatibility for containers or VMs when migrated to the existing serverless architecture. In response to the tradeoff between performance and compatibility, an efficient solution is to prewarm instances in the Encapsule layer. This approach is known as the prewarm startup, which has been widely researched. Representative work about instance prewarm is listed in Table 2.

Before giving a detailed analysis and comparison, we first describe the taxonomy in each column. “Template” reflects whether the cold startup instance comes from a template. “Static image” shows whether the VM/container image for prewarm disables dynamically updating in each cold startup. “Pool” indicates whether a prewarm pool is used for function cold startups. “Exclusive” and “Fixed-size” represents whether the prewarmed instance is exclusive, and the prewarm pool is size-fixed. “Predict/Heuristic” indicates whether the prediction algorithm or heuristic-based method are used to prewarm instances. “REqs” reflects whether the runtime requirements are dynamically loading and updating in the prewarm instance. “C/R” reflects whether it supports checkpoint and restore to accelerate the startup. “Sidecar based” represents whether the relevant technologies can be implemented or integrated into the sidecar. “Imp” indicates where it is implemented.

There are two common prewarm startup approaches: one-to-one prewarm startup and one-for-all prewarm startup. In the one-to-one prewarm startup, each function instance is prewarmed from a size-fixed pool, or by dynamic prediction based on the historical workload traces. While in the one-for-all prewarm startup, instances of all functions are prewarmed from cached sandboxes, which are pre-generated according to a common configuration file. When a cold startup occurs, the function only needs to specialize these pre-initialized sandboxes.
by importing function-specific code blob URI and settings. C/R (Checkpoint/Restore) is also used with prewarmed
instances in a serverless system for higher scalability and lower instance initialization latency. C/R is a technique
that can freeze a running instance, make a checkpoint into a list of files, and then restore the running state of the
instance at the frozen point. A common pattern in serverless implementations is to pause the instance when idle
to save resources, and then recover it for reusing when invoked [55, 94].

**One-to-one prewarm by size-fixed pool: make sense but resource-unfriendly.**

One-to-one strategy prewarms exclusive instances in a size-fixed prewarm pool for each function, and load
codes whenever invocations arrive. The security model in the Encapsule layer is usually referred to as privacy
concerns. In the one-to-one prewarm pattern, the user-level analyzer for each function makes user privacy
inviolable, and only this user-related analyzer has access to the private packages. The function portrait cannot
leak from the one-to-one prewarm pool, and hardware-based isolation further ensures that malicious code cannot
access the user-level analyzer through privilege escalation. It is a safe strategy without introducing other security
concerns. By building an exclusive and over-subscribed prewarm pool for each function, serverless providers can
maximize the availability and stability of the user applications. For example, Azure Functions [105] warms up
instances of each function by setting up a fixed-size prewarm pool. Once the always-ready instance is occupied,
prewarmed instances will be active and continue to buffer until reaching the limit. The open-sourced Fission [44]
also prewarms like Azure Function. It introduces a component called poolmgr, which manages a pool of generic
instances with a fixed pool size and injects function code into the idle instances to reduce the cold start latency.

**One-to-one prewarm by predictive warm-up: ways to make resource friendly.**

The one-to-one strategy prewarms instances for each function, which means it is crucial to determine the
warm-up time. Otherwise, a slow warm-up cycle can reduce cold startup efficiency, while a quick cycle will
produce massive idle instances in the background and make the serverless system resource unfriendly. Such
a deficiency inspires researchers to propose more flexible prewarm strategies like using prediction-based and
heuristic-based methods. Xu et al. [146] design an AWU (Adaptive Warm-up) strategy by leveraging the LSTM
(Long Short-Term Memory) networks to discover the dependence relationships based on the historical traces.
It predicts the invoking time of each function to prewarm instances, and initializes the prewarmed containers
according to the ACPS (Adaptive Container Pool Scaling) strategy once AWU fails. Shahrad et al. [118] propose a
practical resource management policy for the one-to-one prewarm startup. By characterizing the FaaS workloads,
they dynamically change the instance lifetime of the recycling and provisioning instances according to the
time series prediction. CRIU (Checkpoint/Restore In Userspace) [39] is a software tool on Linux to implement
Checkpoint/Restore functions. Replayable Execution [140] makes improvements based on CRIU, using mmap to
map checkpoint files to memory and leveraging the Copy-on-Write in OS to share cold data among multiple
containers. By exploiting the intensive-deflated execution characteristics, it reduces the container’s cold startup
time and memory usage.

**One-for-all prewarm with caching-aware: try to make the prewarm generalized and resource friendly
with privacy guaranteed.**

One-for-all prewarm startup shares a similar mechanism with the Template method, which is hatched and
already pre-imported most of the bins/libs after being informed by the socket. When a new invocation arrives
and requires a new instance, it only needs to initialize or specialize from the templates. For example, the famous
open-sourced Apache OpenWhisk [103] resolves it by allowing that users can assign private packages in a zip or
virtualenv to specialize the prewarmed container dynamically [104]. Catalyzer [42] optimizes the restore process
in C/R by accelerating the recovery on the retrenched critical path. Meanwhile, it proposes a sandbox fork to
leverage a template sandbox that already has pre-loaded the specific function for state resuing. To make the cold
startup less initialization together with more flat startup latency, Mohan et al. [97] propose a self-evolving pause
container pool by pre-allocating virtual network interfaces with lazy binding.
As performance improves, so arises vulnerability. The security model of the one-for-all prewarm is weakened by introducing the system-level analyzer where different function portraits may aggregate, and the pre-imported and pre-allocated requirements will implicitly embody user privacy. Therefore, when designing a one-for-all based prewarm strategy, the security model should answer how to make private packages/libraries (REQs) inaccessible and avoid potential privacy disclosure in case of malicious codes reusing a prewarm container. SOCK [101] explicitly seeks to address this problem by introducing a tree cache for packages and using the benefit-to-cost model to dynamically update packages in the prewarm containers. Though SOCK still uses a system-level analyzer to collect the internal characteristics of workloads and prewarm zygotes, each handler container may be only forked from a zygote that has not imported any additional packages other than the ones the handler specifies/needs. Given that a zygote with a superset of packages needed by the function may exist, but SOCK does not use it for security reasons. The cache tree-based security model of the one-for-all prewarm in SOCK provides a minimal set of user privacy.

**One-to-one and one-for-all prewarm: the challenging points.**

For one-to-one prewarm startup and one-for-all prewarm startup, both can be beneficial for optimizing the cold startup in the Encapsule layer of serverless architecture. Their respective flaws are also apparent. The one-to-one prewarm startup focuses on significantly less initialization latency by exchanging the memory resource. According to the research [118], it meets the challenge that a warm-up time in point is usually hard to measure or predict while ensuring the reasonable allocation of memory resources. On the one hand, prediction-based and heuristic-based methods are particularly effective when historical data is sufficient to build an accurate model, but degrades when the trace is scarce. On the other hand, the prediction and iteration operations can introduce high CPU overhead when massive applications and function chains co-exist. The template mechanism in the one-for-all prewarm startup is adopted to ease the high cost of functions cold startup from scratch. In addition, maintaining a global prewarm pool introduces less additional memory resource consumption than the one-to-one prewarm startup. However, it still suffers from several challenges, including the huge template image size [8, 51], confliction of various pre-imported libraries, and potential privacy disclosure. It may also potentially reveal the vicinity in which applications with a similar portrait are widely deployed. It is nontrivial to “suit the remedy to the case” for cold startups in different scenarios. For example, it is much more efficient to generate a template by one-for-all prewarm startup when the function is invoked for the first time, or with poor predictions during the trace analysis. The one-to-one prewarm startup performs better for functions with general rules or diurnal patterns, and vice versa.

4 ORCHESTRATION LAYER

The main challenge in the System Orchestration layer is the friendly and elastic support for different services. Even though the current serverless orchestrators are implemented differently, the challenges they face are much the same. As hundreds of functions co-exist on a serverless node, it challenges scheduling massive functions with inextricable dependencies. Besides, managing granular permissions for hundreds or thousands of functions is also hard to do. Therefore, the security model is more referred to as performance security than functional security. It resolves the challenges in making “just the right amount” resource provision robust to performance, while answering the co-location interference and load balancing for applications. Similar to the traditional solutions [26, 35, 59, 76, 126], the serverless model should concern the ability to predict the on-demand computing resources, and an efficient scheduling strategy for services. As shown in Figure 4, researchers usually propose to introduce the load balancer and resource monitor components into the controller, to resolve provision and scheduling challenges. The load balancer aims to coordinate resource usage to avoid overloading any single resource. Meanwhile, the resource monitor keeps watching the resource utilization of each node, and passes the updated information to the load balancer. With the resource monitor and load balancer, a serverless controller
can perform better scheduling strategies in three levels: resource-level, instance-level, and application-level. We summarize the hierarchy in Table 3.

Specifically, the “focused hierarchy” indicates the resource adjusting is designed in addition to the essential resource auto-provision, which can be classified into "R" (Resource-level), "I" (Instance-level), or "A" (Application-level), respectively. "Resource adjusting” shows whether the scheduling provides an adjustment for resource provision. "SLO” reflects whether SLO constraints are considered. "Intf” represents whether the resource contention or interference is discussed. "Usage feedback” reflects whether the resource feedback in a physical node is considered. "Dynamic strategy” indicates whether it is a dynamic or runtime scheduling strategy. "Trace driven” indicates whether making choices depends on traces or collected data metrics. "Predict/Heuristic” reflects whether a prediction/heuristic based method is used.

4.1 Dynamic Adjustment of Resource Provision (Resource-level)

Resources including CPU, Memory serve as the basic scheduling objects in serverless computing. For isolation and stability, resources are configured by an orchestrator, and access to them is restricted. The serverless controller will allocate resources for a new instance and isolate the execution environment when a cold startup occurs. Therefore, the key to building an efficient serverless controller is auto-scaling just the right amount of resources to satisfy the resilient workloads. However, the controller component itself cannot adjust appropriately because the resource is highly dynamic in the cluster and the potential inaccurate resource specifications by default.

Make resource provision of the container “just the right amount”.

The common solution for avoiding resource over-provisioning is building feedbacks regarding historical traces. For example, effort in [33, 34] optimizes the original resource settings by varying the trace-driven patterns for VMs. In serverless computing systems with more fine-grained functions, a real-time resource monitor can be employed to help the controller make dynamic resource adjustments, as shown in Figure 4(a). For example,
### Table 3. Works by focused hierarchy in System Orchestration layer.

| Representative work | Focused hierarchy | Resource adjusting | SLO | Intf | Usage feedback | Dynamic strategy | Trace driven | Predict | Implement | Insight |
|---------------------|-------------------|--------------------|-----|------|---------------|------------------|--------------|---------|-----------|---------|
| Pigeon [82]         | R                 | ✓                  |     |     | ✓             | ✓                | ✓            |         | kubernetes | Static pool |
| FlowCon [155]       | R&(I)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            |         | Prototype  | DL tasks   |
| SIREN [139]         | R&(I)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | ML tradeoff |
| CherryPick [5]      | R                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Prototype  | Bayesian Opt |
| Lin et al. [81]     | R&(A)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Profiling  |
| MPC [37]            | R                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | OpenWhisk  | /         |
| Chang et al. [32]   | I&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | kubernetes | /         |
| Kaffes et al. [66]  | I&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Prototype  | /         |
| FnSched [127]       | I&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | OpenWhisk  | /         |
| Guan et al. [50]    | I&(A)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Prototype  | Library   |
| McDaniel et al. [93]| I                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Docker Swarm | Two-tiered |
| Kim et al. [70]     | I&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Prototype  | CPU cap    |
| Smart spread [88]   | I                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Profiling  |
| Xanadu [40]         | A&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | OpenWhisk  | Profile&predict |
| Step Functions [43] | A                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Health check |
| WUKONG [29, 30]     | A                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Graph to seq |
| Viil et al. [136]   | A&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Pegasus     | Partition  |
| SAND [4]            | A                 | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | Prototype  | Colocation |
| GlobalFlow [154]    | A&(I)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Cross-regions |
| SONIC [129]         | A&(R)             | ✓                  | ✓   | ✓    | ✓             | ✓                | ✓            | ✓       | AWS Lambda | Hybrid exchange |

Pigeon [82] builds a serverless framework, introducing a function-level resource scheduler and an oversubscribed static pool. The scheduler assigns containers with different resource configurations to the queries based on the node capacity and function requirement. However, their container pool is based on a static configuration, leading to resource segmentation and low utilization. FlowCon [155] facilitates dynamic resource allocation for container-based DL training tasks in the near future and resets resource configuration elastically. Though they design a dynamic auto-provision strategy based on the monitor feedback, the SLO constraints and resource interference are not considered further. It results in their lack of flexibility and practicality in a real production environment.

DRL (Deep Reinforcement Learning), evolving from Deep Q-learning, is a widely used combination algorithm by learning control strategies from higher-dimensional perceptual inputs [96], which can be used to make resource provision decisions. For example, Wang et al. [139] propose a serverless scheduler based on DRL for ML training jobs. It can dynamically adjust the number of function instances needed and their memory size, to balance high model quality and the training cost.

**The keys to making resource provision robust to performance.**

With a view to the performance robustness requirement of the security model in the System Orchestration layer, recent works take the SLA into account to ensure stability and reliability when functions are invoked in a shared-resource cloud. CherryPick [5] leverages the Bayesian optimization, which estimates a confidence interval of an application’s running time and cost, to help search the optimal resource configurations. Unlike static searching solutions, it builds a performance model to distinguish the unnecessary iteration trials, thus accelerating the convergence. However, CherryPick’s performance model targets big data applications specifically, not generalized to other applications. Similarly, Lin et al. [81] build an analytical model to help general serverless applications deployment. It can predict the application’s end-to-end response time and the average cost under...
a given configuration. They also propose a PRCP (Probability Refined Critical Path Greedy) algorithm based on the transition probability, recursively searching the critical path of execution order. With PRCP, they can achieve the best performance with a specific configuration under budget constraints or less cost under QoS constraints. Besides SLA, shared-resource contention should also be noticed in the multi-tenant environment. Hoseinifarabady et al. [57] discuss this topic. Their proposed MPC optimizes the serverless controller for resource predictively allocation by introducing a set of cost functions. It reduces the QoS violation, stabilizes the CPU utilization, and avoids serious resource contention. However, these resource and workload estimations based on ML (Machine Learning) or AI (Artificial intelligence) usually achieve a trade-off between an optimal global solution and robust performance to inaccurate workload information [26, 31, 60, 133]. Whether they can avoid fragile robustness and improve resource utilization in the production environment is unknown and remains a critical avenue to explore.

4.2 Load Balancing for Instance Scheduling (Instance-level)
In addition to dynamic adjustment at the resource level, another essential part of a serverless system is instance-level scheduling. From the perspective of cloud vendors, they hope to achieve either higher throughput, higher resource utilization, or less energy consumption. At the same time, users prefer cheaper deployment costs and less end-to-end invocation latency. To this end, instances from multi-functions or multi-tenants should be carefully scheduled across the cluster to achieve the above targets.

The mainstream solution is leveraging load balancer, which is also shown in Figure 4(b). It is designed as the queries router to help schedule functions and achieve the load balancing between nodes in the cluster. The strategies can be classified into two categories: Hash-based and Multi objective-based methods. In the hash-based method, the controller uses a hash function to decide a home node (or executor) of a given function for default routing. Then it will set a stepsize to recursively filter out an alternative if the home is not available or under resource-constrained. They are usually done by a health check in each physical node. Until the cloud provider has a full understanding of the characteristics of workloads running in its serverless system and the cluster, we recommend using the hash-based method to implement a load balancer. In the multi-objective-based balancing method, the load balancer aims at multiple optimizations, for example, throughput, response time, resource utilization, etc. Therefore, it should balance different factors to satisfy both the cloud vendors and users.

Leverage resource monitor and load balancer to make scheduling decisions.

Resource monitor can provide a global view of resource status in a cluster, which helps the load balancer make better scheduling decisions. Chang et al. [32] design a comprehensive monitoring mechanism for the Kubernetes-based system. It can provide a variety of runtime information to the scheduler, including system resource utilization and the QoS performance of an application. The flaw of the study is that it does not provide a complicated resource scheduling algorithm. Kafes et al. [66] propose a centralized and core-granular scheduler. Centralization provides a global view of the cluster to the scheduler so that it can eliminate heavy-weight function migrations. Core-granularity binds cores with functions and therefore avoids core-sharing among functions and promises performance stability. However, they only consider the scheduling of CPU resource, but ignore other important resources like memory. FnSched [127] regulates CPU-shares to accommodate the incoming application invocations by checking the available resource. A key advantage of employing a greedy algorithm is that fewer invoker instances are scheduled by concentrating invocations in response to varying workloads. Though FnSched makes a tradeoff between scalable efficiency and acceptable response latencies, it is limited by the assumption that function execution times are not variable. Guan et al. [50] propose an AODC-based (Application Oriented Docker Container) resource allocation algorithm by considering both the available resources and the required libraries. They model the container placement and task assignment as an optimization problem, and then take a Linear Programming Solver to find the feasible solution. The Pallet Container performs the AODC algorithm,
serving as both a load balancer and resource monitor. The downside is that plenty of containers will occupy the memory space as the number of functions increases.

**Take the performance interference and QoS constraints into consideration.**

While improving utilization, load balancing strategies also bring the interference challenge that sharing resources between instances may result in performance degradation and QoS violation. The performance robustness of the security model drives the scheduling to make tradeoffs between higher resource utilization and fewer user QoS violation due to the interference. Different functions’ sensitivities to different resources may vary, which means that we should avoid physical co-location of functions that are sensitive to the same resource (e.g., CPU-sensitive containers may cause serious CPU contention when co-located). The load balancer should notice and moderate the interference when scheduling containers. McDaniel *et al.* [93] manage the I/O of containers at both the cluster and node levels to effectively reduce resource contention and eliminate performance degradation. Based on a resource monitor in Docker Swarm, it refines the container I/O management by providing a client-side API, thus enforcing proportional shares among containers for I/O contention. Kim *et al.* [70] present a fine-grained CPU cap control solution by automatically and distributedly adjusting the allocation of CPU capacity. Based on performance metrics, applications are grouped and allowed to make adjustment decisions, and application processes of the group consume only up to the quota of CPU time. Hence, it minimizes the response time skewness and improves the robustness of the controller to performance degradation. Smart spread [88] proposes an ML-based function placement strategy by considering several resource utilization statistics. It can predictively find the best performing instance as well as incurs the least degradation in performance of the instance.

### 4.3 Data-driven Workflows for Application Deployment (Application-level)

At the application level, load balancing strategies can be categorized into two kinds: the spread strategy, which distributes functions of an application across all the physical nodes, and the bin-pack strategy, which tries to schedule functions of an application to the same node first [57]. Intuitively, the spread strategy seems to better balance the workloads on the nodes while avoiding serious resource contention. However, it weakens the data locality, which means that the spread strategy will introduce more transmission overhead than bin-pack if functions are data-dependent. It indicates the significance of customized scheduling from the application’s perspective.

**Invocation patterns and workflow execution models.**

As shown in Figure 5(a), if a function is invoked from user queries via the RESTful API or other triggers, it is called external invocation. The instance-level load balancing can perform well in external invocation scenarios. However, the emerging cloud applications may consist of several functions, and there are data dependencies between multiple functions. For example, the implementation of a real-world social network consists of around 170 functions [2]. In this case, functions in such an application will get active by various triggers from the user query or another function. If a function is initialized or assigned by other functions, it follows the internal invocation pattern. Currently, researchers raise their vision to the data-driven scheduling for internal invocations from the perspective of application-level topology.

Workflow is the most common implementation of internal invocations, where functions are executed in a specified order to satisfy complex business logic. The execution models of these data-driven workflows can be extracted into two approaches: sequence-based workflow and DAG-based workflow. As shown in Figure 5(b), functions are invoked in a pipeline through a registered dataflow in the sequence-based workflow. The sequence-based workflow is the basic and the most common pattern in the serverless workflow, and most cloud vendors provide such execution mode for application definition. Obviously, there is more than one sequenced workflow in one complex application, and the same functions can be executed in various sequences. If we regard each function as a node and dataflow between nodes as a vector edge, such an application with multiple interlaced
Fig. 5. Two Invocation patterns for functions and two execution models of workflows.

sequenced workflows can be defined by the DAG (hence the name “DAG-based workflow”). Nowadays, few Cloud vendors provide services for the application definition in the DAG (Direct Acyclic Graphs) form, aka serverless workflows [1, 21, 27].

The scheduling overhead introduced in serverless sequences.

With massive functions communicating with each other, scheduling dataflow introduces more complexities. However, the existing serverless systems in the production environment commonly treat these workflows as simple recursion of internal invocations. It raises the challenge of reducing the overhead in the System Orchestration layer by scheduling function sequences [16]. Current policy to manage the function sequences is quite simple– functions are triggered following the first-come-first-served algorithm [129]. However, as the length of the function sequence increases, cascading cold start overheads should be addressed to avoid seriously end-to-end latencies degradation of sequenced workflows [20, 40]. To this end, Xanadu [40] combines the prewarm strategy with a most-likely-path (MLP) estimation in the workflow execution. It prewarms instances by a speculative-based strategy and makes just-in-time resource provisioning. However, the prediction miss would introduce additional memory waste, especially in the scenario of multi-branch or DAGs. Moreover, serverless workflow engines prefer the Master-Worker architecture where ready functions are identified by the state and invoked directly by the master without a queue [9, 17, 30, 47, 89], including AWS Step Functions [43] and Fission Workflows [46]. As shown in Figure 5(a), the deficiency is that the additional overhead is introduced in the function workflow through unnecessary middlewares (e.g., unnecessary storage in an internal invocation).

Enhance the data locality for efficient serverless DAG executions.

To help function workflow avoid undesired middlewares, researchers usually co-locate the functions into subgraphs to enhance the data locality, as shown in Figure 4(c). For example, Viil et al. [136] use multilevel k-way graph partitioning to provision and configure scientific workflows automatically into multi-cloud environments. However, their partition algorithm may not match well with serverless applications, where each node in the graph can auto-scale multiple replicas in such as foreach steps. In this case, the connections and edge weights become unpredictable. In serverless context, WUKONG [29, 30] implements a decentralized DAG engine based on AWS Lambda, which combines static and dynamic scheduling. It divides the workflow of an application into subgraphs, before and during execution, thus improving parallelism and data locality simultaneously. However, WUKONG’s colocation of multi-functions within a Lambda executor may introduce additional security vulnerabilities due to its weakened isolation. SAND [4] presents a new idea to group these workflow functions into the same instance
so that libraries can be shared across forked to reduce initialization cost, and additional transmission can be eliminated in the workflow due to the data locality. SAND performs a better isolation mechanism than WUKONG by using process forking for function invocations however ignores the colocation interference resulting from the resource contention. When exchanging intermediate data of DAGs, SONIC [87] proposes to use the VM-storage-based transmission strategy when functions are co-located on the same node. The optimal transferring depends on application-specific parameters, such as the input size and node parallelism. SONIC dynamically performs the data passing selection with a communication-aware function placement, predicting such runtime metrics of functions in the workflow. GlobalFlow [154] considers a geographically distributed scenario where functions reside in one region and data in another. It groups the co-located functions into subgraphs and connects them with lightweight functions, so that it improves data locality and reduces transmission latency. The combination of local and cross-region strategies in a holistic manner makes sense.

**Summary of the challenges in the scheduling of serverless workflows.**

Workflow scheduling is an NP-hard problem, and researchers have been designing various strategies for it [1, 91]. Such optimization in the workflow aims to minimize the makespan, reduce the execution cost, and improve resource utilization while satisfying single or multiple constraints. Considering the above challenges, serverless computing focuses on leveraging enhanced data locality. The challenge is that the end-to-end latency of a workflow query could increase significantly due to frequent interactions with the storage from different nodes. Resource volatility becomes another focus in the serverless system, which can be unpredictable as the number of functions increases in the production environment. It introduces more difficulty to find an efficient workflow placement and scheduling strategy in a concise decision time (e.g., 10ms for load balancing). In order to evaluate the efficiency and performance for future workflow-based research, DAG-based or DG-based serverless benchmarks also urgently need to be published. They are better adapted based on real applications rather than simple micro-benchmarks [110] or function self-loops [81, 148]. Keeping a guaranteed QoS performance is also significant for applications in serverless computing.

4.4 Other Security Concerns in Orchestration Layer

Besides the performance robustness in the Orchestration layer, another serious security concern is how to resolve unavailability. The attackers may establish destructive behaviors from either resource-level, instance-level, or application-level, resulting in unmatched in-memory footprint, concurrency exhaustion, or workflow exceptions.

**In-memory footprint by unrestricted read-in.**

Contrary to intuitive thinking, serverless architecture can complicate the programming because the decoupled microservices have higher requirements on the normalized input. The unrestricted memory read-in from the input data may result in the timeout or breakdown for its oversized memory footprint (e.g., 300MB read-in within a 256MB-limit container). Function developers may overlook this vulnerability in a public cloud where an attack can easily disguise as a legitimate invocation. On the premise that the user code is fragile against such kind of attack, serverless systems need to bind filtering rules in event trigger to help avoid this security concern.

**Concurrency exhaustion by DDoS (Distributed Denial of Service) attack.**

In FaaS-based application architecture, the number of APIs increases nonlinearly with the number of services, resulting in complex middle-tier and backend service interactions in one single invocation. If the cascading effect of time-consuming API calls lurks in a serverless application, the external traffic of bursty load leads to the mismatch between service capability and resource allocation. In this case, the DDoS attack on serverless is a more significant threat. Any unavailable function node can seriously take down the entire application with a wilder attack surface. It can cause seriously degraded QoS by invocation exhaustion attacks in a single function node, or generate a large bill for the application account. The DoW (Denial of Wallet) attack can be mitigated by setting quotas on the total number of instances and the startup concurrency.
Workflow authentication to avoid malicious dataflow.

When triggering invocations, function input parameters and preferences are also passed within the dataflow. Functions start trusting their input as they believe it came from another trusted first party function. Therefore, an internal invocation is more fragile than an external one if the function maintainer fails to provide essential verification of queries source. In addition, attackers can inject malicious input into queries and generate invocation exceptions, or make the workflow execution order out of control. In the serverless architecture, all function nodes in the application need to authorize their access permission to identify whether the input tampers with the current invocation. Providing robust authentication schemes in access control and protection to all functions, events, and triggers is also a complex undertaking. It introduces the tradeoff between easier management by giving the sum of the permissions to grouped functions, or safer privilege by explicitly stating the data access for each function to minimize the damage malicious dataflow can cause.

5 ESSENTIAL BAAS COMPONENTS IN COORDINATION LAYER

We have examined the most critical implementations in Section 2, 3, and 4, and there are also some other components in the System Coordination layer introduced to support or enhance the serverless system. We also outline the relevant techniques and research in Figure 6. In terms of implementation, a serverless system needs to integrate the six significant components or services: Storage, Queue, API gateway, Trigger, Data cache, and DevOps tools. Most of the literature focuses on Data cache, Queue service, and function storage from an academic perspective.

5.1 Storage Service

One of the key requirements of a serverless workload is efficiently sharing ephemeral data between functions or saving results for asynchronous invocation. Therefore, a natural way to communicate between them is to exchange the data through a remote store.

Different phases of storage during the function execution.

During a serverless invocation, there are three phases where the database service is required: Authentication, In-Function, and Log. Authentication is usually performed ahead of controller scheduling to avoid security issues, and it should get fast response for access. Using an MMDB (Main Memory DataBase) to implement the Authentication phase is recommended in a serverless system, such as Redis, a high-performance key-value database. During the function execution, the calls of storage APIs make up the in-Function phase. Users can...
choose to use either a DRDB (Disk-Resident DataBase, e.g., MySQL) or an MMDB by different BaaS interfaces for ephemeral storage. The Log phase builds the bridge for users to return invocations results, especially for the functions invoked in an asynchronous manner. A detailed record in JSON format, including runtime, execution time, queue time, states, will be ephemeronly or permanently stored and returned (e.g., CouchDB in OpenWhisk). It is recommended that serverless storage follow the invocation patterns to only pay for queries consumed by the storage operation and the storage space consumed when logging. However, the throughput of existing storage is a major bottleneck due to the frequent and vast functions interactions [64, 65]. Although current serverless systems support NAS (Network Attached Storage) to help reduce storage API calls, these shared access protocols are still network-based data communication essentially.

**IO bottlenecks in storage: modeling in serverless context.**

Traditional solutions use predictive methods [38, 100, 137] and active storage [109, 131, 132, 143, 145, 152] to automatically scale resources and optimize the data locality on demand. Researchers also explore using a hybrid method to ease the I/O bottleneck for serverless storage. For example, Pocket [71] is strict with separating responsibilities across the control, metadata, and data planes. Using heuristics and combining several storage technologies, it dynamically rightsizes resources and achieves a balance between cost and performance efficiency. To alleviate the extremely inefficient execution for data analytics workloads in the serverless platform, Locus [106] models a mixture of cheap but slow storage with expensive but fast storage. It makes a cost-performance trade-off to choose the most appropriate configuration variable and shuffle implementation. Middleware Zion [108] enables a data-driven serverless computing model for stateless functions. It optimizes the elasticity and resource contention by injecting computations into data pipelines and running on dataflows in a scalable manner.

Due to the data-shipping architecture of serverless applications, current works usually focus on designing a more elastic serverless storage, enhancing the data locality to ease the I/O contention of function communication on the DB-side. However, due to the potential heterogeneity of different functions, the uncertainty above still makes these technologies in practice challenging.

### 5.2 Specialized Queue

In various implementations of the serverless system, the Queue is acquiescently integrated into the System Orchestration layer, which passes messages between different system components. For instance, Apache Kafka, serves as a distributed message streaming platform that allows applications to write and subscribe to messages across different hosts.

**Interact with the controller by node queue and function queue.**

The function queue can send messages between the controller and functions. In contrast, the node queue serves for load balancing to schedule the functions to different nodes (e.g., a queue in the cluster manager). A representative of adopting function queue design is OpenWhisk [103]. When the OpenWhisk controller receives an invocation query, it decides which invoker should execute the instance and then sends it to the selected invoker via Kafka. It also leverages the topic partitioned to increase parallelism so that messages with the same consumer can write to the same partition. SAND [4] also follows this message queue design by introducing a two-level hierarchical message bus: a local message bus deployed on each host and a global message bus distributed across different hosts. A local message bus is partitioned into different message queues such that every function on the host subscribes to messages from this function queue. In this way, if a function and its successors are running on the same host, it can directly write its output into the local message queue subscribed by its successor. Otherwise, the output writes to the global message bus. Other work dives into the shortcomings of the queue-based mechanism, which may lead to reduced performance and availability in the serverless context. McGrath et al. [94] propose to introduce the “cold queue” and the “warm queue” to assume different responsibility...
for function queries. DORADO [99] also uses shared memory to mediate communication and persist data. By such means, queries can be routed to any container that is replicated.

It is more convenient for developers to adopt scalable queues in serverless computing, as tenants offloading scaling to cloud vendors. For example, Amazon Simple Queue Service provides scalability by processing each buffered invocation independently, scaling transparently to handle bursty loads without provisioning instructions. The idea of the scalable queue also meets the requirements for serverless computing, such as pay-per-use, dependability, convenience, and flexibility.

5.3 API Gateway and Various Triggers

In serverless computing, instances start up on-demand, and invocations do not bind with a static address. When deploying the containers or VMs to the cluster, the system will dynamically assign addresses to services. In this case, containers on the same node in the default network can communicate by IP addresses, while containers across nodes need to allocate ports for forwarding. The dynamic port allocation raises the challenge as it intensifies at scale. The API Gateway component can provide a unique entry point to ensure accurate services' addresses. When the queries join the gateway, the service registry is inquired, and forward queries to the available instances according to the IP route. It should also consider the availability and reliability of the serverless system, for example, the lazy reaction for services incompatible due to the hardware heterogeneity.

Meanwhile, serverless systems design various triggers to invoke functions responding to queries. A trigger defines how a function is invoked, and the binding rule represents a mapping between them. The trigger and binding rule together make up a probe for the detectable event and help avoid hardcoding access to other services [77]. Besides invoking an event-driven function, triggers can also provide a declarative way to connect data to the code (e.g., storage services).

There are four most popular triggers: HTTP, Queue, Timer, and Event. HTTP trigger is widely used [118] to handle external invocations, by which a function can be easily invoked once an HTTP query arrives. While HTTP trigger simplifies the external invocation for functions, it shows less efficiency in the case of internal invocations. An alternative way to handle internal events is using Queue trigger, by which functions get triggered whenever an invocation queues. For instance, Kubeless [74] provides a Kafka-based queue trigger bond with a Kafka topic so that users can invoke the function by writing messages into the topic. Specific purposes also require more extensive triggers. For example, a timer trigger in Kubernetes can invoke a function periodically. It creates a CronJob [75] object, which is written in a Cron expression representing the set of invocation time, to schedule a job accordingly. An Event trigger invokes a function in response to an event, which is the atomic piece of information that describes something that happened in the system. A convincing example of such implementation is Triggerflow [85], which maps a workflow by setting an event trigger in each edge.

5.4 Data Cache

To ensure stability in case that the workload bursts and reaches a hard limit in concurrency, the common practice among cloud-based applications are utilizing multiple levels of caches [12]. Data caching can cut unnecessary roundtrips for less response time when queries experience a full-end slow invocation path. One common idea is caching at API Gateway (e.g., caching solution for GET method [6]), or caching the pages and only result in a storage I/O query (e.g., Amazon DAX [7] and Aurora [135] for database caching). Another common solution is to cache in the system [87, 107, 138, 149]. It freezes maintainers from declaring inside the function by enabling static assets or large object caching. However, the cached data is only available in the ephemeral container, making sharing across all short-term instances of a function challenging. Furthermore, this approach may not be as effective as it seems- the first invocation in every container will result in cache misses.

*Image cache: on-demand loading and page sharing.*
The simplest and popular method is to provide the image cache for accelerating. In a container-based serverless system, an image is composed of multiple layers and shared by numerous containers as needed. When invoking functions on a host for the first time, container images need to be downloaded and cached locally. For example, Slacker [51] builds a Docker storage driver and uses block-level CoW to implement snapshots in a VMstore. VMstore’s read-only snapshots represent docker images, and the pull and push operations only involve sharing snapshot IDs rather than large network transfers. It makes it possible for docker workers to fetch data lazily from shared storage as needed. DADI [79] also implements an image service merging a sequence of block-based layers, and it caches recently used data blocks adopting the overlay with a tree-structured design. SEUSS [28] factoring out common execution state shared in a snapshot stack, which expresses a lineage between snapshots. It uses CoW to capture into a snapshot only the pages that were modified for fast deployment. Furthermore, SEUSS proposes to use anticipatory optimization to reduce the number of written pages captured in each snapshot.

State cache: make the serverless applications stateful.

State cache can be further combined with an active database to enable function execution stateful. Bledi [151] extended from Olive [115] adopts the refined SSF (Stateful Serverless Function) instance with a built-in database. By saving a set of intent tables recording the SSF’s state and function information, it provides a fault-tolerant workflow execution manner. SNF [120] decouples the functions into computing units and state units, and relaxes the constraint of communication between cooperating units. When processing a subsequent flowlet in the same flow, the function’s internal state is cached in the local memory. Then SNF can proactively replicate ephemeral state among compute units. Cloudburst [125] packs the local cache with a function executor in each instance, and periodically publishes a snapshot of cached keys to the key-value store. By such means, Cloudburst can enhance the data locality via physical colocation of mutable caches and enable state management with the remote auto-scaling key-value database.

Checkpoint cache: enable functions with fault tolerance.

The demand for fault tolerance also inspires researchers to make relevant techniques applicable in serverless context, such as C/R-based [80, 153] and log-based [85, 142]. One example of such implementation in serverless computing is AFT [124], which builds an interposition between a storage engine and a common serverless platform by providing atomic fault tolerance shim. It leverages the data cache and the shared storage to guarantee the isolation of atomic read, avoid storage lookups for frequent access, and prevent significant consistency anomalies.

In addition to the implementations we discussed above, other caching mechanisms following the pay-as-go mode can be explored and integrated into any layer of our proposed serverless architecture. In summary, data caching is still an essential component for higher flexibility and better performance.

5.5 DevOps Tools

DevOps is a compound of development and operations, which improves collaboration and productivity. Since the responsibility of managing underlying resources and runtime environment are transferred to the cloud vendors in the serverless concept, developers only need to focus on the code logic. Operations teams are actually liberated from this process, where they are required to check, compile, pack images, and test deployment after developers submit the code. There is a necessity for providing DevOps tools in the serverless system. We agree with the pipeline implementation [61] to group the DevOps into CI (Continuous Integration), CD (Continuous Delivery), and CM (Continuous Monitoring) categories.

CI refers to the continuous merging of developed code with others during software development while ensuring automatical validation and building. Not only should it make the operations within the function (e.g., integration [63] and inspection [56, 122]), but also focus on availability between functions in an application (e.g., monitoring and debugging tool IOpipe for workflows [24]).
CD requires that the serverless system can automatically update the application instances with the old version while keeping the services still available. Nowadays the common solutions are Rolling-update, RED-Black (aka Blue-Green), and Canary deployment, which are adopted by Kubernetes [25, 48]. Essentially, these deployment strategies share the same mechanism to keep part of instances with old version serving and then gradually replace them with new ones. The difference between them is the complexity of rollback to the last available instances.

CM enables the DevOps team to receive timely feedback on the problems and errors during the above steps. It also provides a visualized interface for monitoring applications' runtime behavior and resource utilization. Actually, the feedback tool is already implemented in most CI/CD tools and runs through the whole DevOps lifecycle of the application. By enriching the CM component, visualizing activities, and resource monitoring [69], users can better understand their cloud services.

DevOps concept in serverless typically appears more frequently in production scenarios, and most platforms provide various such tools to ensure good compatibility and flexibility. However, the introduction of DevOps may bring about new vulnerabilities threatening the security of instances, and serverless research should also provide more substantial support for detecting vulnerable containers [22, 69, 73, 128].

6 PERFORMANCE AND COMPARISON

This section first summarizes the performance with different VMs/containers, language runtime, and resource limits in serverless computing. Then, we analyze the current production serverless systems to show the preferences.

6.1 Performance Analysis

The runtime within the instance built from different virtualization technologies can exhibit different cold startup performances. Besides, the language runtime is another factor that can seriously affect the cold startup latency. For example, evaluations in Catalyzer [42] show the cold startup latencies with different VM/container and language runtimes. As shown in Figure 7(a), HyperContainer introduces the highest cold startup latency with various language runtimes. Process-based Docker runtime certainly performs significantly better than others. Generally speaking, the interpreted languages (e.g., Python) incur a higher initial cost and make startup times up to 10× slower [4, 101] than the compiled languages (e.g., C) when cold startups.

However, according to the performance tests from Jackson [62], which measure the startup and execution latency of different language runtimes, the performance of compiled and interpreted language runtime also depends on the platform. For example, the cold startup latency of .NET C# on AWS Lambda is higher than that of Node.js, while it is the opposite on Azure Functions. It is because that Azure Functions would provide better support for C# based on its core technology .NET for Microsoft, and implemented by running on windows containers rather than the open-source .NET CLR (Common Language Runtime) based on Linux containers.

The memory limit is another significant factor that slows down the cold startups for the container-based serverless system. The performance evaluation about memory allocation [117] is shown in Figure 7(b). The cold startup latency of each microbenchmark function increases as stepping to smaller memory limits. We can also see a significant decrease in container startup latency when stepping from 128MB to 256MB. However, larger memory limit results in less obvious optimizations without the reasonable regime of marginal increases. It also explains why most serverless systems set 256MB as the default memory limit of the function container.

Besides the cold startup analysis of different language runtimes and memory limits, SAND [4] also measures several sandbox isolation mechanisms for function executions, and we show their results in Figure 7(c). Native executions (exec and fork) are the fastest methods, while Unikernel (Xen MirageOS) performs similar to using a Docker container. Regardless of the recycled user code in memory in the paused container, using the Docker client interface to start a warm function (Docker exec C) is much faster than a cold startup (Docker run C).
Fig. 7. Cold startup latency under different language runtimes, container runtimes, and memory limits.

As the supplement of the above factors that affect serverless cold startup performance, Shahrad et al. [117] explore other factors that may affect the function cold startup and execution time, such as MKPI (mispredictions per kilo-instruction), LLC (Last-level Cache) size, and memory bandwidth. Firstly, they find that a longer execution time usually appears with noticeably lower branch MKPI within a function. It is easy for us to understand that functions with short execution time spend most of the time on language runtime startup, and thus the branch predictor outputs more miss when staying trained. Secondly, the LLC size is not a significant factor affecting cold startup latency and execution time. Higher LLC size cannot improve serverless function execution performance because of the insensitivity. Only when the LLC size is very small (e.g., less than 2M) will it become a bottleneck for the function execution and cold startup. Therefore, cloud vendors usually set a default LLC size and pre-profile in the serverless system to avoid serious performance degradation. BabelFish [121] also finds that lazy page table management can result in heavy TLB stress in a containerized environment. Therefore, to avoid redundant kernel jobs produced in page table management, they try to share translations across containers in the TLB and page tables.

6.2 Production Comparison

With more attempts to enable the rapid development of cloud-native applications, Wang et al. [141] evaluate the performance of three commercial serverless platforms by invoking measurement functions with stepwise memory limits to collect various system-level metrics. Lee et al. [77] also gives a detailed comparison between Amazon Lambda, Google Functions, Microsoft Azure Functions, and IBM OpenWhisk. They demonstrate the differences in
Table 4. Comparing metrics of four serverless vendors [77, 94] (“CCI” means the concurrent invocations).

| Item                                         | Amazon Lambda | Google Functions | Microsoft Azure Functions | IBM OpenWhisk |
|----------------------------------------------|---------------|------------------|---------------------------|---------------|
| GFLOPS per function                         | 19.63         | 4.35             | 2.15                      | 3.19          |
| TFLOPS in 3000                              | 66.30         | 13.04            | 7.94                      | 12.30         |
| Throughput of 1-5 CCI                       | 20-55TPS      | 1-25TPS          | 60-150TPS                 | 1TPS          |
| Throughput of 2000 CCI                      | 400TPS        | 40TPS            | 120TPS                    | 210TPS        |
| CCI Tail latency                            | best          | superior         | worst                      | inferior      |
| CI/CD performance                           | best          | fail frequently  | long latency              | balanced      |
| Read/Write (1-100 CCI)                      | 153/83 MB/s - 93/39.5 MB/s | 56/9.5 MB/s - 54/3.5 MB/s | 424/44 MB/s - NA | 68/6 MB/s - 34/0.5 MB/s |
| File I/O (1-100 CCI)                        | 2-3.5 second  | 10-30            | 3.5-NA                    | 1-5-60        |
| Object I/O (1-100 CCI)                      | 1.3-2.4 second | 5-8              | 12-NA                     | 1-30          |
| Trigger Throughput                          | 55-25-860 (HTTP-Object-DB) | 20-25-NA         | 145-250-NA                | 50-NA-40      |
| Language Runtime overhead                   | balanced 0.05s avg | (-0.06) 0.22s (+0.1) | (-0.02) 0.22s (+0.03) | (-0.02) 0.17s (+0.02) |
| Dependencies overhead                       | (-0.5) 1.1s (+0.2) avg | (-0.5) 1.9s (+0.4) | (-1.3) 3.4s (NA) | NA           |
| Maximum Memory                              | 3008MB        | 2048MB           | 553MB                     | 512MB         |
| Execution Timeout                           | 5 minutes     | 9 minutes        | 10 minutes                | 5 minutes     |
| Price per Memory                            | $0.0000166/GB-s | $0.0000165/GB-s | $0.000016/GB-s          | $0.000017/GB-s |
| Price per Execution                         | $0.2 per 1M   | $0.4 per 1M     | $0.2 per 1M              | NA           |
| Free Tier                                   | First 1 M Exec | First 2 M Exec  | First 1 M Exec           | Free Exec/40,000GB-s |

terms of throughput, network bandwidth, I/O capacity, and computing performance. Based on these experiments, we summarize the metrics in Table 4. From this table, we can glimpse their respective strengths and weaknesses. For example, AWS Lambda shows higher capacity and throughput of concurrent function invocations, however, performing poorly in trigger throughput. From another aspect, Microsoft Azure Functions enable fast read and write speed when queries are invoked in sequence, and show relatively higher function cold startup latency. Undoubtedly, all cloud vendors are aware of the challenges in serverless architecture and are actively optimizing the function invocation bottlenecks.

7 OTHER KEY LIMITATIONS AND CHALLENGES

The limitations of the current works in each layer and challenges are already discussed in the corresponding sections. We refer readers for more detailed and focused discussions in the Virtualization layer in other surveys [13, 18]. This section will highlight other key limitations and challenges in the Encapsule, Orchestration, and Coordination layer, respectively, as an orthogonal supplement.

7.1 Stateless within Encapsule Layer

An essential feature of serverless is that the service is loaded and executed on-demand rather than deployed in a long-term running instance. Short life-span functions within the application are no longer associated with a particular instance or server, each query processed cannot be guaranteed to be invoked by the same function instance. In other words, the application’s state cannot and will not keep access on the resumed instance [68]. The stateless nature limits its scope to stateless applications, such as Web applications, IoT (Internet of Things), and media processing. Undoubtedly, the extension from stateful serverless architecture (see [120, 125, 151] in Section 5.4) persists state by external storage making it inferior to regular sticky sessions as IaaS or PaaS does. Worse still, storing sensitive data outside the server has significant security implications. Considering that the
data is at risk when transferred, it is still challenging to use short-lived caches when encrypting data stored in session stores.

7.2 Memory Fragmentation within Orchestration Layer

In the serverless architecture where multiple tenants co-exist, concurrent invocations are either processed in multiple containers and experience undesired cold startups in each one, or executed concurrently in one single container (e.g., OpenFaaS and OpenLambda). In the former, a container is allowed to execute only one invocation at a time for performance isolation. In this case, the memory footprint of massive sidecars prevents serverless containers from achieving high-density deployment and improved resource utilization [3]. The key to this challenge is slimming and condensing the container runtime by deduplication within the VMM and guest kernel, such as sharing the page cache across different instances on the host. In the latter, memory fragmentation becomes a top priority. Figure 8 depicts two common scenarios where memory fragmentation may arise. Allocation fragmentation is usually due to the improper provision of a microVM. As a result, function executors can not fully utilize the memory allocated. Scheduling fragmentation is inevitable and usually caused by instance-level load balancing strategy when auto-scaling with workload changes. Since the serverless emergence, challenges remain in further instructing an efficient methodology for high-density container deployment.

7.3 API and Benchmark Lock-in within Coordination Layer

When people talk about serverless vendor lock-in, they are concerned about the portability of functions. However, the real point of this problem depends on the API from other services rather than the function itself. Though some efforts such as Apex [10] and Sparta [123] allow users to deploy functions to serverless platforms in languages that are not supported natively, the BaaS services from different platforms and their API definitions are still different. The challenge with API lock-in is derived from the tight coupling between the user functions and other BaaS components, which can add difficulty to the code migration between different FaaS platforms.

The over-simplified benchmark is another problem with API lock-in. Easy-to-build micro-benchmarks are over-emphasized and used in 75% of the current works [110]. We call for the establishment and open-source of cross-platform real-world application benchmarks besides scientific workflows [64, 89, 119]. However, when decomposing a large service into different functions and then building fine-grained node interconnections, the mismatch between the pre-defined control plane and actual data plane makes the grading of the function challenging to determine.

8 OPPORTUNITIES IN SERVERLESS COMPUTING

At last, we discuss some future opportunities that serverless computing faces and give some preliminary, constructive explorations to solutions.
8.1 Application-Level Optimization

Application-level optimization requires coordinating between different functions within the application instead of focusing on each general function. Complex interconnections like data dependence and caller-callee relation may conceal between functions. Future works could achieve application-level optimization in two ways: workflow support and workflow scheduling. Workflow support means general support for the inter-connection among functions. We think the following supports are necessary:

- **Better storage.** In some cases, functions need to exchange large ephemeral files with others. If we register intermediate storage, transferring between storage and functions will take up most of the I/O resource and significantly slow down the response. The FaaS architecture amplifies this inefficiency in the serverless workflow scenario. Therefore, better storage demands higher priority for metadata exchange between functions within an application.

- **Higher parallelism capacity.** In an example of video processing, multiple recoding instances can be invoked simultaneously in a MapReduce way to speed up the transcoding. Distinctly, there is great potential in parallelism to optimize end-to-end latency. However, higher parallelism is hard to implement due to the considerations on resource utilization management in physical nodes. If a serverless system could provide superior parallelism with sustainable resource overhead, it can further empower users. For example, a serverless system allows multiple queries to be invoked concurrently within an instance with a guaranteed QoS, or optimizes the guest kernel, container runtime, and host-side cgroups to achieve lighter virtualization in high concurrency and density scenarios.

Workflow scheduling drives a scheduling strategy that takes functions’ interconnection into account. We think the following considerations are missing in current works:

- **Caller-callee relation.** Caller-callee relation is common in a complex application. Usually, the callee will be invoked after the caller finishes, as Figure 9(a) shows. It reveals an opportunity to explore: the system can prewarm function instances and execute them in advance with partial data by the dataflow architecture. As shown in Figure 9(b), Function B, C can start execution earlier while Function A is not complete, thanks to the data dependency rather than function state dependency. In the case of providing an optimized interface with dataflow canonical patterns and applying directly to functions, cloud vendors could enable an application to achieve higher parallelism and lower response latency via a data pipeline.

- **Data locality.** We have mentioned that metadata exchange may continually happen between functions in an application. If two functions with data dependency are scheduled on the same physical node, the data transmission can be significantly reduced by middleware. However, the current serverless system is a data-shipping architecture, which sends data to the code node to parse instead of sending code to the data node. Thus, on the one hand, a serverless system cannot guarantee that the data stored and the workers scheduled are just in the same physical node. On the other hand, frequent code transferring should also be
avoided due to security and privacy concerns. Improving data locality can effectively reform the application design from a data-shipping architecture into a code-shipping one [54].

8.2 **Robust Performance of Cold Startup Alleviation**

Current works usually use predictive methods to reduce cold startups, while they all require functions’ historical traces or system-level metrics. By predicting them in the near future, the system will enlarge the container pool or prewarm template containers. Nonetheless, it is impractical for each function to collect enough data and build an accurate prediction model. Like Shahrad [118] shows in the Azure trace, about 40% and 30% of registered functions and applications, respectively, are invoked less than ten times daily. This fact also makes it more challenging to collect system-level information periodically for such a kind of service. For example, an LRU-based template can maximize the cache hits for hotspot functions startup, whereas cold startups of non-hot functions can not benefit from the cache updating at the system level. The current compromise to this discrepancy is to use a reserved container pool for functions despite a massive waste of resources.

It is crucial to explore the warm-up strategy with solid robustness to performance, especially for sporadically triggered or latency-sensitive functions. It requires the serverless controller and load balancer to be more general enough to alleviate cold startups or reduce the performance degradation. They may make decisions based on the information inside the functions, such as the service category, the environment libraries used, and the context diagram, for cold startup prediction and alleviation. For example, a serverless system can build shared images and template containers for functions within the same category, or pack the functions with similar environment configurations and implement more fine-grained inside isolation mechanisms.

8.3 **Accelerators in Serverless**

Accelerators like GPUs and FPGAs are widely used in many applications such as databases [36, 53] and graph processing [37, 156]. They can significantly speed up the processing of specific tasks, like image processing and machine learning applications. To satisfy the demand for accelerators, cloud vendors furnish accelerators in IaaS (e.g., AWS EC2 P4 and F1 instance) and SaaS (e.g., AWS SageMaker) manner. However, the inflexibility of such accelerators impedes the instantiation in serverless computing. This circumstance leads to two obstacles: (1) it makes the usage of accelerators less convenient and flexible in the cloud; (2) it limits the range of applications that serverless can support. We think a multiplexing accelerator in serverless is the key to solving these obstacles. For example, some works [98, 150] integrate GPUs into serverless systems, and BlastFunction [14] makes FPGAs available in serverless. However, the current works are still insufficient. We think future research can focus on the following points:

- **Accelerator-aware scheduling.** Accelerators can also be considered a resource in serverless systems, except they have more irreplaceable features than others. Latency-aware scheduling and on-demanding scaling is more expensive on accelerators, stimulating the serverless controller to treat accelerators distinctively. In such a situation, the scheduling strategy should be more conservative when scheduling multiple tasks on one accelerator.
- **Accelerator virtualization.** Virtualization is an essential technology applied in a serverless system. It is used to fulfill runtime environment management, resource isolation, and high security. However, serverless accelerator schemes are not explored insofar as CPU virtualizations. It makes accelerators embarrassing to be integrated into serverless systems. Accelerator virtualization should be further explored to better support accelerators in serverless.
- **Automatic batching.** Accelerators usually have strong I/O bandwidth restrictions. Batching queries is a common operation to conquer these restrictions and make full use of accelerators’ computation ability.
However, the batching operation will introduce redundancy into end-to-end latency. Therefore, a serverless batching strategy that balances utilization and latency should be investigated in future research.

9 CONCLUSION

The rapid development of the cloud-native concept inspires developers to reorganize cloud applications into microservices. Elastic serverless computing becomes the best practice for these microservices. This survey explicates and reviews the fundamental aspects of serverless computing and provides a comprehensive depiction of four-layered design architecture: Virtualization, Encapsulate, System Orchestration, and System Coordination layers. We elaborate on the responsibility and significance of each layer, enumerate relevant works, and give practical implications when adopting these state-of-the-art techniques. Serverless computing will undoubtedly continue to gain prominence, and the potential remains sealed in forthcoming years.

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