Cognitive training plus a comprehensive psychosocial programme (OPUS) versus the comprehensive psychosocial programme alone for patients with first-episode schizophrenia (the NEUROCOM trial): A study protocol for a centrally randomised, observer-blinded multi-centre clinical trial

Lone Vesterager1,2*, Torben Ø Christensen3, Birthe B Olsen3, Gertrud Krarup3, Hysse B Forchhammer4, Marianne Melau1, Christian Gluud5, Merete Nordentoft1,2

Abstract

Background: Up to 85% of patients with schizophrenia demonstrate cognitive dysfunction in at least one domain. Cognitive dysfunction plays a major role in functional outcome. It is hypothesized that addition of cognitive training to a comprehensive psychosocial programme (OPUS) enhances both cognitive and everyday functional capacity of patients more than the comprehensive psychosocial programme alone.

Methods: The NEUROCOM trial examines the effect on cognitive functioning and everyday functional capacity of patients with schizophrenia of a 16-week manualised programme of individual cognitive training integrated in a comprehensive psychosocial programme versus the comprehensive psychosocial programme alone. The cognitive training consists of four modules focusing on attention, executive functioning, learning, and memory. Cognitive training involves computer-assisted training tasks as well as practical everyday tasks and calendar training. It takes place twice a week, and every other week the patient and trainer engage in a dialogue on the patient’s cognitive difficulties, motivational goals, and progress in competence level. Cognitive training relies on errorless learning principles, scaffolding, and verbalisation in its effort to improve cognitive abilities and teach patients how to apply compensation strategies as well as structured problem solving techniques. At 16-week post-training and at ten-months follow-up, assessments are conducted to investigate immediate outcome and possible long-term effects of cognitive training. We conduct blinded assessments of cognition, everyday functional capacity and associations with the labour market, symptom severity, and self-esteem.

Discussion: Results from four-month and ten-month follow-ups have the potential of reliably providing documentation of the long-term effect of CT for patients with schizophrenia.

Trial Registration: Clinicaltrials.gov NCT00472862.
Background
Cognitive dysfunction in schizophrenia
Cognitive dysfunction plays a major role in functional outcome in schizophrenia [1-3]. Up to 85% of patients demonstrate cognitive dysfunction in at least one domain, and patients’ performances on neuropsychological tests are typically in the range of 1 ½ to 2 standard deviations (SD) below norm [4-7]. Cognitive dysfunction associated with schizophrenia encompasses attention deficits, poor information processing, memory difficulties, and executive dysfunction leading to difficulties in learning and poor problem-solving abilities.

The cognitive impairments associated with schizophrenia are present prior to the onset of the psychotic symptoms of the illness, and seem to be relatively stable over time and independent of clinical state [8]. While antipsychotic medication may effectively reduce the clinical symptoms, cognitive dysfunction remains largely unaffected [9]. The cognitive impairments constitute a significant challenge for the treatment of schizophrenia, and are considered to be the greatest hindrance to psychosocial and vocational rehabilitation [10-12].

Studies examining the effects of cognitive rehabilitation
Recognition of the impact of cognition on functioning in schizophrenia has given rise to the demand for intervention that directly targets cognitive dysfunction. A Cochrane systematic review from 2000 by Hayes and McGrath [13] included three small randomised clinical trials, which provided no conclusive evidence for or against cognitive training as a treatment for schizophrenia. In 2007, a meta-analysis of 26 randomised clinical trials by McGurk and coworkers [14] found a moderate effect of cognitive remediation on cognition, and a small to moderate effect on real-world functioning and symptoms.

The 2009 PORT working group review of psychosocial treatments for schizophrenia [15] concludes, however, that more research in the field of cognitive remediation is needed before a recommendation can be offered. Some of the shortcomings in earlier trials are short-term programmes and a lack of long-term follow-up examinations. The sustainability of improvements therefore remains an unanswered question. The variability of outcome measures used also complicates interpretation of findings. Thus, a consensus battery of outcome measures has been suggested by MATRICS [16] for future trials. Many studies of cognitive training (CT) were trials with small sample sizes, which limits the conclusions that can be drawn from the results [17]. Likewise, the question of generalisation is a central challenge in CT-studies: Although Dickinson et al. [18] find improvements on training tasks used in CT sessions, the effect does not generalise to other neuropsychological tests or functional outcomes.

There is a need for well-organised and sufficiently large randomised clinical trials of the effect of computer-assisted CT integrated in psychosocial rehabilitation. In order to examine to what extent CT improves the psychosocial rehabilitation and facilitates new learning, improvements in the level of neuropsychological performance as well as the level of everyday functional capacity must be identified and compared [16,19,20]. As recommended by Buchanan et al. [16], the NEUROCOM trial employs a prospective design and includes real-life outcome measures at both baseline, immediately after 16 weeks of training and at 10 months follow-up. By employing CT, we seek to secure individual adaptation and motivation through continuous evaluations and level adjustments between patient and trainer.

Objectives
The overall objective of the NEUROCOM trial is to accept or reject the following hypotheses:

Primary hypothesis
The effect on patients’ functional capacity in daily life of a 16-week programme of computer-assisted CT integrated in OPUS is not different from OPUS alone [for a detailed description of the OPUS intervention see [21]], with regard to the primary response variable of everyday functional capacity as measured by a Danish adaptation of the brief version of the University of California San Diego Performance Skills Assessment (UPSA-B)[22,23].

Secondary hypotheses
The effect on patients’ cognitive functioning of a 16-week programme of computer-assisted CT integrated in OPUS is not different from OPUS alone, with regard to the secondary response variable of seven domains on neuropsychological tests as recommended by MATRICS [24,25], Trail Making B [26], and a computerised 64-card version of Wisconsin Card Sorting Test [27].

Tertiary hypothesis
The effect of a 16-week programme of computer-assisted CT integrated in OPUS is not different from the OPUS intervention alone in terms of patients’ association with the labor market and self-esteem, measured by occupational status and Rosenberg’s Self-Esteem Scale [28].

Methods
Randomisation
The NEUROCOM study is a randomised parallel-group clinical trial in which participants are randomly allocated to experimental intervention versus standard intervention (Figure 1Flowchart of the NEUROCOM trial. See text for further information.). The centralised, stratified block-randomisation 1:1 is carried out by Copenhagen Trial Unit (CTU) following two stratification criteria: Performance level on UPSA-B (good:
Patients being considered for inclusion in NEUROCOM will obtain a consecutive participant number. Each investigator will obtain a four-digit pin code by calling the CTU. By use of this pin code, the investigator can call CTU and request a randomisation in NEUROCOM. The investigator then informs about NEUROCOM, CPR-number [for explanation see [29]], UPSA-B

Excluded (n=118)
- Not meeting inclusion criteria (n=69)
  - Declined to participate (n=27)
  - Insufficient comprehension of Danish (n=18)
  - In acute phase of illness and/or too low functioning (n=15)
  - Alcohol or substance abuse (n=9)
- Other reasons (n=49)
  - Lived far from clinic (n=40)
  - Unable to contact for interview (n=6)
  - Moved away (n=2)
  - Blinding not possible (n=1)

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Figure 1 Flowchart of the NEUROCOM trial

total raw score ≥ 16 or poor: total raw score < 16), and participation in OPUS cognitive-behavioral therapy (CBT) group or social skills training (SST) group (yes or no). The generation of allocation sequence is computerised. Allocation concealment is achieved through centralised randomisation with a block-size unknown to investigators.
Training consists of four modules: the first three modules cover the areas of attention, memory, and executive functions, and the last module focuses on the cognitive area and related tasks, which the individual participant prefers or needs to improve: Thus, the content of module 4 is based on both the participant’s and the trainer’s judgment.

Training contains exercises of simple attention, attention span and vigilance, planning, problem solving, interaction-based training of working memory, and verbal and visual long-term memory.

Module 1 and 2 are based on non-social cognitive tasks on a gradually increasing level of difficulty, using COGNISoft computer tasks [31], whereas module 3 and 4 include practical everyday tasks. This order of modules is in keeping with the notion of a hierarchy of cognitive functions [12], calling for training of elementary attention and working memory functions before addressing more complex problem-solving skills. In their review, Twamley et al. [32] stress the importance of directly targeting the participant’s everyday functioning. Thus, calendar training is a vital part of this intervention: a way of addressing common difficulties of memory and planning ability by explicitly teaching compensatory strategies. A recent report from a working group of cognitive remediation trials underscores the importance of considering individual abilities, addressing motivation, and providing bridging facilities that allow participants to apply newly acquired skills [33,34]. Calendar training and competence dialogues are intended to support environmental adaptation and transference of learning.

Training relies on errorless learning principles, scaffolding, and strategy-learning as recommended by Wykes and van der Gaag [35] and van der Gaag et al. [36]. Positive reinforcement, modeling, and verbal instructions are widely used. Trainers describe the structure and explain the purpose of training exercises at the beginning of every session, in order to provide a consistent learning environment.

A review of cognitive rehabilitation trials underscores the importance of possibilities for individual adjustments [37]. CT is therefore carried out individually with continuous progress evaluation between participant and trainer.

Trainers are occupational therapists and psychologists at bachelor or master level with psychiatric experience. Knowledge has been exchanged throughout the process of the pilot study about cognitive dysfunction in schizophrenia. Trainers were introduced to errorless learning principles, and didactic instruction in the manualised CT has been carried out at meetings during preparation of the NEUROCOM study.

Much empirical research in psychosocial interventions for schizophrenia has shown that training in symptom handling, social proficiency training, and supportive provisions in occupational relations can help patients to function in their daily lives [38-47]. According to Bell et al. [48], there is sufficient evidence that the combination

Participants

Patients are recruited from OPUS, which is implemented as a standard programme for young adults with first-episode psychosis in Copenhagen and Aarhus. The OPUS staff recruits patients to the NEUROCOM trial. Independent assessors interview the referred patients and evaluate the following inclusion and exclusion criteria.

**Inclusion criteria**

Participants are outpatients, aged 18-35 years, diagnosed with first-episode psychosis or schizotypal disorder within F2 spectrum in ICD 10 http://www.who.int/classifications/icd/en/. Participants are in a post-acute phase of illness, have sufficient comprehension of Danish (i.e., do not need an interpreter), and provide written informed consent.

**Exclusion criteria**

Exclusion criteria are rejection of participation, organic disorder, or abuse of psychoactive drugs.

Interventions

**Experimental intervention**

Under a pilot study, a first version of a manual was developed for a 16-week CT programme for patients with schizophrenia [30,30].

For one hour twice a week for 16 weeks, participants engage in computer-assisted CT plus one competence dialogue every other week. The competence dialogues function as bridging between CT sessions and the participant’s development of everyday life competencies. Training consists of four modules: the first three modules cover the areas of attention, memory, and executive functions, and the last module focuses on the cognitive area and related tasks, which the individual participant prefers or needs to improve: Thus, the content of

Blinding

The CTU then informs the cognitive trainers of the participant’s name, CPR-number, randomisation number, plus the intervention arm via fax. The cognitive trainers inform the individual participant which intervention programme he or she has been allocated to. The trial is not blinded with regard to participants, cognitive trainers, and OPUS teams. Blinding applies to the raters engaged in the outcome assessment. In the follow-up assessments, the participants are instructed in advance not to reveal what type of intervention they have received. Blinding also applies during data analysis, i.e., the randomised intervention allocation is concealed until the statistical analyses of the data have been completed.

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≥ or < 16, and SST/CBT group yes/no. The CTU provides a three-digit randomisation number.

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Much empirical research in psychosocial interventions for schizophrenia has shown that training in symptom handling, social proficiency training, and supportive provisions in occupational relations can help patients to function in their daily lives [38-47]. According to Bell et al. [48], there is sufficient evidence that the combination
of CT and psychosocial treatment, rather than individually occurring initiatives, has the greatest potential for promoting outcome [see also [49,50]]. CT is therefore added to the comprehensive psychosocial programme OPUS.

The OPUS programme consists of affiliation with a primary patient manager, who provides contact on a weekly basis, involves the family, and provides the opportunity for psychoeducation and social skills training [for further details see [51-53]]. Depending on individual needs, patients are offered to take part in group therapy and either social skills training (SST) or cognitive-behavioral therapy (CBT). Participation in SST or CBT can be beneficial for both cognition and everyday functioning. To ensure comparability between the participants in the NEUROCOM trial, we stratify participants according to group therapy (SST/CBT) yes/no before allocation to experimental intervention (CT) versus standard OPUS.

Control intervention group

All participants randomised to the control group receive the intervention usually provided in OPUS. There is no extra effort to control for the amount of clinician contact. As a meta-analysis by McGurk et al. argues, the need for such control is questionable, since trials of cognitive remediation have provided no supporting evidence that more clinician contact has an effect on improvements [14].

Assessments

The first assessment occurs before randomisation, since information from the baseline assessment is used as stratification variables and to validate inclusion and exclusion criteria. At baseline, participants are administered the clinical version of WHO Present State Examination [54] and the Danish version of National Adult Reading Test [55,55], a widely used measure of pre-psychotic IQ. All other assessments are conducted in a fixed order at baseline, post-training, and at follow-up 10 months after inclusion, regardless of whether participants have followed the full training programme according to the intent-to-treat principle [i.e. [56]]:

- Functional capacity in two domains of daily life (finance and communication) assessed using the Danish version of UPSA-B [22,23].
- Neuropsychological tests of seven cognitive domains (see Table 1 Neuropsychological assessment tools used in the NEUROCOM trial.).
- Positive and negative symptoms evaluated with Positive and Negative Symptom Scale (PANSS, [57]).
- Danish version of Rosenberg’s Self-Esteem Scale [28,28].

| Domain of cognition | Tests |
|---------------------|-------|
| Speed of information processing | BACS Symbol Coding Category Fluency Trail Making A |
| Attention | Continuous Performance Test - Identical Pairs |
| Working memory | Wechsler Memory Scale-III Spatial Span Letter-Number Sequencing Trail Making B |
| Verbal learning and memory | Hopkins Verbal Learning Test-Revised |
| Visual learning and memory | Brief Visuospatial Memory Test-Revised |
| Problem-solving | NAB Mazes Wisconsin Card Sorting Test, 64-card computerised version |
| Social cognition | Mayer-Salovey-Caruso Emotional Intelligence Test: Managing Emotions (MSCET) |
| Pre-psychotic IQ | Danish National Adult Reading Test (DART) |

* Only assessed at baseline.

The primary outcome, UPSA-B, is a measure of functional capacity in which participants are asked to perform everyday tasks in two areas of functioning: the Finance subtest requires participants to count change, read a bill, and fill out a payment form to pay the bill. During the Communication subtest, participants are required to role-play an emergency call using an unplugged telephone as well as dial a number from memory and reschedule a doctor’s appointment. The UPSA-B requires approximately 15 minutes to complete, and raw scores (range 0-20) are converted into scaled scores ranging from 0-100, with higher scores indicating better functional capacity.

Danish adaptation of the UPSA-B

The Danish adaptation of the UPSA-B was performed by Lone Vesterager with approval from the original author Professor Thomas L. Patterson and his co-workers Sherry Goldman and Brian R. Kelly via email correspondence. In order to translate the original English version of the UPSA-B into Danish it is required to adapt stimuli to the Danish context. In the Finance subtest of counting change and paying a bill, the bill was modified to look like a
Danish bill from the local electricity and gas supply, and all currency amounts were expressed in terms of the Danish krone (which is also a decimal currency system, like the U.S. dollar). The complexity of the amounts was retained by keeping an equivalent number of different coins and banknotes required for correct answers. Items in the Communication subtest (emergency call, phone numbers, doctor’s appointment letter) were modified to be congruent with the local requirements. All modified items were kept at the approximately same level of difficulty as in the original version UPSA-B.

During the NEUROCOM trial period, a cross-national study of functional capacity in American and Swedish patients with schizophrenia has been published [58]. Performance on a Swedish translation of the UPSA-B was essentially identical to that of the American sample on the original English version, indicating that the UPSA-B measures performance-based abilities that are consistent across differences in culture. The authors suggest, that adaptation of the UPSA-B may well be practical in other Western cultures.

Sample size estimation
The power of the study is set at 90%, i.e., \( \beta = 0.1 \). Alfa is set at 0.05. The primary outcome UPSA-B consists of 1-point-items, and every item has a face validity of real-world significance, i.e. correctly performing an emergency call or correctly remembering a scheduled appointment. On that premise the minimal relevant difference (MIREDIF) is set at one point on UPSA-B total score (Mean = 17.63 points, SD = 1.66) [23,59]. We assumed the SD to be 1.66. To be able to detect a difference of one point on UPSA-B mean total score between the two groups, the required number of participants in each group is 31 or 62 in total. Estimated dropout of 45% necessitates recruitment of about 120 participants, 60 in each intervention group.

Ethical considerations and informed consent
The NEUROCOM trial has been approved by the Danish Ethics Committee (KF 01 300017) and the Danish Data Protection Agency, and the trial has been registered at http://www.clinicaltrials.gov (NCT00472862) before inclusion of the first participant. The interventions and methods of investigation involve no known physical or mental risks. Participation is voluntary and written informed consent is obtained. All participants are informed both verbally and in written form that they can withdraw from the trial at any time, without it having any consequences for their continued treatment.

Statistical analysis
Continuous outcome measures will be analysed with repeated measurements in a mixed-effects model and performed using SPSS 17.0. Logistic regression analyses will be applied with binary outcome measures. Dosage of antipsychotic medication (in chlorpromazine equivalents) will be included as a covariate variable in the analyses. Dropout analysis will be carried out, comparing baseline values for participants who drop out of intervention with those who follow the entire intervention. Baseline values of measures will be included in the analyses whenever possible.

Data sets on non-completing participants will be included in the data analyses on an intention-to-treat basis. In case of non-existent outcome measures (withdrawal, dropout, lost to follow-up), the pattern of missing data and the assumption of missing data at random (MAR) will be explored. If data distribution is skewed, repeated-measurements analyses will be performed.

The primary outcome measure, performance on UPSA-B, will be analysed, like other continuous outcome measures, in a repeated-measurements model with unstructured variance matrix. This approach assumes that the distribution of missing data can be estimated from the information from previous interviews and from information about other patients in the database. The condition for using this method is the assumption that data are missing at random when taking into consideration the information extracted from baseline interviews and information about the other patients in the database. In this model, baseline values of the scales are included [60,61]. Variables included as covariates will be site (Aarhus/Copenhagen), level of education, prepsychotic IQ (DART), baseline values of secondary verbal memory, and the baseline values of variables that differ significantly in dropout analyses.

Discussion
The NEUROCOM trial has several strengths in its design. First, it is a multi-centre trial. The CT intervention is integrated in treatment-as-usual at two clinical sites, and the realistic settings of interventions increase the external validity of the trial. Second, we employ central randomisation, which ensures adequate allocation concealment and observer-blinded assessment of outcomes [62]. Third, clinically relevant outcome measures of cognition and everyday functioning are chosen for the assessment battery in order to ensure that any findings of cognitive improvement will express positive changes in real-life functioning. Fourth, results from four-month and ten-month follow-ups have the potential of reliably providing documentation of the long-term effect of CT and thus evaluating the costs and benefits of extending CT for patients with schizophrenia to clinical practice.

Furthermore, a few hallmarks of the NEUROCOM intervention method are worth mentioning: First, the
CT programme is based on a pilot study, which showed encouraging results [63,63]. Second, the NEUROCOM CT programme has initiated so-called competence dialogues as a new initiative to bridge the gap between laboratory cognitive exercises and everyday skills. Competence dialogues are semi-structured interviews to identify strengths and difficulties of the individual participant, and constitute a built-in evaluation of training progress and learning throughout the CT programme.

The limitations of the trial are related to the design: Participants receiving long, 2-3 hour CT sessions every week might reduce their degree of participation in OPUS treatment-as-usual due to the intensity of the 16-week CT programme. This means that the CT cannot truly be an ‘add-on’ intervention to treatment-as-usual, but must be integrated in treatment-as-usual in practice, in order to reduce the intensity of treatment-as-usual. And as mentioned above, there is no control condition to account for the amount of time with personal contact with a trainer. This may confound the results of the trial.

As the control intervention is a comprehensive psychosocial programme that provides intensive support and alleviation [e.g. [53]], it is likely to influence cognition in a positive manner. Such an indirect effect on cognition increases the risk of not being able to discern which improvements stem from the CT intervention. It is also possible that the OPUS programme is an optimal intervention and thereby forms a ceiling effect.

The trial will provide cognitive profile-results according to seven separate domains in a relatively large Danish sample of first-episode schizophrenia, and contribute with valuable normative data on the UPSA-B. Furthermore, the NEUROCOM results will provide an opportunity to examine the association between first-episode patients’ levels of cognitive functioning and daily life functioning, including investigation of characteristics necessary to benefit from CT. The NEUROCOM trial results are expected to be published during 2011.

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Author details

1. Psychiatric Centre Copenhagen, Copenhagen University Hospital, DK-2400, Copenhagen, Denmark. 2. University of Copenhagen, Faculty of Health Sciences, DK-2200, Copenhagen, Denmark. 3. Psychiatric Hospital Riskskov, Aarhus University Hospital, DK-8240, Aarhus, Denmark. 4. Department of Neurology, Glostrup Hospital, Copenhagen University Hospital, DK-2600, Glostrup, Denmark. 5. Copenhagen Trial Unit, Centre for Clinical Intervention Research, Rigshospitalet, Copenhagen University Hospital, DK-2100, Copenhagen, Denmark.

Authors’ contributions

LV, TØC, MN, GKR and BBO conceived of the trial. LV, TØC and LV conduct the neuropsychological testing, and LV supervises assistant raters. MN and GKR supervise and coordinate the trial with the OPUS teams in Aarhus and Copenhagen and provide facilities for CT. MM and HBF have furnished valuable discussions on the CT intervention and on the choice of neuropsychological tests. LV, TØC and MN performed the statistical analyses. LV searched the literature, drafted the protocol and wrote the final manuscript. All authors have read and approved the final manuscript.

Competing interests

Lone Vesterager and Torben Østergaard Christensen have consulted for Bristol-Myers Squibb. All other authors declare no competing interests.

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