The increase of PTSD in front-line health care workers during the COVID-19 pandemic and the mediating role of risk perception: a one-year follow-up study

Hui Ouyang\textsuperscript{1,2,5}, Shiyu Geng\textsuperscript{3,5}, Yaoguang Zhou\textsuperscript{1,2,5}, Jing Wang\textsuperscript{1,2,5}, Jingye Zhan\textsuperscript{1,2}, Zhilei Shang\textsuperscript{1,2}, Yanpu Jia\textsuperscript{1,2}, Wenjie Yan\textsuperscript{1,2}, Yan Zhang\textsuperscript{1,2,5} and Weizhi Liu\textsuperscript{1,2,5}

\textsuperscript{1}Lab for Post-traumatic Stress Disorder, Faculty of Psychology and Mental Health, Navy Medical University, Shanghai 200433, China. \textsuperscript{2}The Emotion & Cognition Lab, Faculty of Psychology and Mental Health, Navy Medical University, Shanghai 200433, China. \textsuperscript{3}Department of Emergency Medicine, Nanfang Hospital, Southern Medical University, Guangzhou 510515, China. \textsuperscript{4}School of Economics, Xiamen University, Fujian 361000, China. \textsuperscript{5}These authors contributed equally: Hui Ouyang, Shiyu Geng, Yaoguang Zhou, Jing Wang. \textsuperscript{6}Email: angelaxmu@stu.xmu.edu.cn; mylx99@smu.edu.cn; 13024141970@163.com

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The long-term health consequences of the COVID-19 pandemic on health care workers (HCWs) are largely unclear. The purpose of the present study was to investigate the development of posttraumatic stress disorder (PTSD) in HCWs in a longitudinal manner. Additionally, we further explored the role of risk perception in the evolution of PTSD over time based on a one-year follow-up study. HCWs were recruited from hospitals in Guangdong, China. Demographic information, the PTSD checklist for DSM-5 (PCL-5) and the risk perception questionnaire were obtained online at two different time points: May to June 2020 (T1), with 317 eligible responses, and June 2021 (T2), with 403 eligible responses. Seventy-four HCWs participated in the survey at both T1 and T2. The results revealed that (1) the PTSD prevalence rate in the HCWs (cut-off = 33) increased from 10.73% at T1 to 20.84% at T2, and the HCWs reported significantly higher PTSD scores at T2 than at T1 (p < 0.001); (2) risk perception was positively correlated with PTSD (p < 0.001); and (3) PTSD at T1 could significantly positively predict PTSD at T2 (β = 2.812, p < 0.01), and this longitudinal effect of PTSD at T1 on PTSD at T2 was mediated by risk perception at T2 (coefficient = 0.154, 95% CI = 0.023 to 0.297). Our data provide a snapshot of the worsening of HCWs’ PTSD along with the repeated pandemic outbreaks and highlight the important role of risk perception in the development of PTSD symptoms in HCWs over time.

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pandemic, the development of PTSD and risk perceptions in HCWs in a longitudinal manner is unknown. Additionally, although the close interrelation of risk perceptions and PTSD has been confirmed by some cross-sectional studies, there is still a lack of exploration about the role of risk perceptions in the evolution of PTSD symptoms with the repeated outbreaks during the pandemic.

To investigate the above issues, the present study aimed to (1) further examine the evolution of PTSD in HCWs after they successively encountered the initial and second waves of the COVID-19 pandemic and (2) further explore the role of risk perceptions in the evolution of PTSD over time. Accordingly, we conducted a one-year follow-up study to investigate PTSD in HCWs. The initial survey was carried out in the first wave of the pandemic (T1: May to June 2020), and the second survey was carried out during the pandemic outbreak caused by the Delta variant (T2: June 2021). The HCWs’ risk perceptions of COVID-19-related hazards were measured. We made three predictions: (1) given that a previous study suggested a trend of increased PTSD in COVID-19 survivors from 3 to 6 months after they left the hospital [27] and HCWs re-experienced traumatic events with the repeated outbreaks during the pandemic, we predicted that significantly more severe PTSD symptoms would be present in HCWs at the one-year follow-up; (2) in line with previous findings [18, 25, 26], we predicted a higher level of risk perception would be associated with more severe PTSD symptoms; and (3) we predicted PTSD in the early phase and risk perceptions might directly or indirectly result in the worsening of PTSD symptoms in the long term.

METHODS

Study design and participants
The one-year follow-up study consisted of two sessions, which were performed at designated hospitals in Guangdong, China. Session 1 was conducted from May to June 2020 (T1). All participants provided their demographic information (age, sex, ethnic group, educational background, and profession) and completed a series of questionnaires, including the posttraumatic stress disorder checklist for DSM-5 (PCL-5) [28] and a self-reported risk perception questionnaire. Session 2 was carried out in June 2021 (T2) when an outbreak was caused by the Delta variant in Guangzhou, and HCWs in designated hospitals were invited to complete the same assessments as those in Session 1.

The sample size was calculated with α set as 0.05, β as 0.2, and the overall prevalence of PTSD estimated as 19.5% [16]. Based on the total number of HCWs in the designated hospitals, a minimum of 307 participants were required for this study. All HCWs who were medical professionals in the designated hospitals and working during the COVID-19 outbreak were eligible for participation. In total, for Session 1, online questionnaires were sent to 481 health care workers. Participants were excluded if they had severe disease (for example, acute enteritis, an upper respiratory infection with high fever and bone trauma), were on furlough during the period of the pandemic outbreak (more than 30 days), or had a response time <100 s or >30 min for completing the questionnaire. Finally, 317 participants completed Session 1 and were included in this study. In Session 2, 523 health care workers were invited to complete the survey, and the inclusion and exclusion criteria for the participants were the same as those in Session 1. Additionally, to identify and exclude the data provided by careless respondents, we used an attention check technique by including instructional items in the online questionnaires for Session 2 [29, 30]. Given that the respondents’ efforts might fluctuate when completing the questionnaire, we inserted two instructional items into the surveys, such as “Please select 2021 from the following numbers” and “Please indicate option [YES]” [31]. Finally, 403 participants completed Session 2 and were included for further analysis, and 74 HCWs participated in both sessions (see Fig. 1). The present study was approved by the Ethics Committee of Southern Medical University, and informed consent was obtained from all subjects.

Measures
PTSD. The presence of PTSD in the HCWs was measured by the PTSD Checklist for DSM-5 (PCL-5). Twenty items were included in the PCL-5, and the participants were required to rate how bothered they had been in the previous month on a five-point Likert scale from 0 (not at all) to 4 (extremely). The summed score of all items defined the PTSD symptom severity, and there are four subscales for evaluating each relevant symptom: intrusion, avoidance, cognition/mood, and arousal/reactivity [28]. According to recent psychometric work, the cut-point of 33 seems to be sound for identifying probable significant PTSD symptom presentations. The Chinese version of the PCL-5 is widely employed by trauma-related studies and has been proven to be valid and have relatively high internal consistency [32]. In our dataset, the Cronbach’s alpha of the PCL-5 was 0.965 at T1 and T2.

Risk perception of COVID-19-related hazards. The risk perception questionnaire was formed based on the postulated psychometric model. Specific risk perception was measured for the dimensions of dread and familiarity [24]. These two dimensions were rated on a 5-point Likert scale for each hazard: from 1 (not dreadful at all) to 5 (extremely dreadful) regarding dread, as well as from 1 (not familiar at all) to 5 (not familiar at all) regarding familiarity. Four hazards were included based on previous studies: SAR-COV-2 virus (H1); COVID-19 disease (H2); COVID-19 patient or virus carrier (H3); and Treatment and prevention of COVID-19 (H4) [25, 33]. Accordingly, in our study, the self-rated questionnaire consisted of 8 items

Fig. 1  Sampling frame. Flowchart of the recruitment of frontline HCWs.
A higher score indicates a higher level of a HCW's risk perception of the pandemic. A recent study using factor analysis confirmed that H1 ~ H4 had an ideal model fit with sound reliability and validity [25]. In our dataset, Cronbach’s alpha for T1 and T2 was 0.836 and 0.873, respectively.

Data analysis

All data were analysed using IBM SPSS Statistics and analysis of moment structures (AMOS). Descriptive analyses were conducted to examine the characteristics of the sample. The prevalence of PTSD was calculated by using a PCL-5 cut-off point of 33. The distribution of the PCL-5 scores and risk perceptions were examined. As a normal distribution of the data was not shown, two-tailed Mann-Whitney U tests were conducted to analyse the difference in the PCL-5 scores and risk perceptions between the two measurement time points (T1 vs. T2). Spearman correlations were applied to examine the relationships between PTSD and risk perception at both measurement time points.

Then, for the 74 frontline HCWs who participated in both sessions, hierarchical regression analysis was applied to explore potential predictors of PTSD at T2. Specifically, in the hierarchical regression models, PTSD at T2 was added as the dependent variable, and PTSD at T1, RP at T1, and RP at T2 were used as predictors. Notably, previous studies found that females, younger individuals, and those with a low level of education might have a higher risk of suffering from post-pandemic PTSD, and working roles were also higher risk factors for developing PTSD [34]. Thus, in the hierarchical regression models, confounders including age, sex, ethnic group (Han, other minority), education level (senior high school or below, academy or bachelor's, master's or above), and classification of medical profession (doctor, nurse, other) were adjusted for (see Table 2). Additionally, we conducted mediation analyses to determine the potential mediating effect of risk perception at T2 on the relationship between PTSD at T1 and PTSD at T2 according to the results shown in the hierarchical multiple regression. First, we conducted bivariate correlations of the outcome variable (Y in the model, PTSD scores at T2), independent variable (X in the model, PTSD scores at T1) and mediator variable (M in the model, risk perceptions at T2) using Pearson correlation analysis. Second, by using mediation analysis in AMOS, we assessed how the relationship between PTSD scores at T1 and PTSD scores at T2 could be mediated by risk perceptions at T2 [35–37]. The 5,000 bootstrap method was applied to estimate the 95% bias-corrected CIs for the direct effect and indirect effect (two-tailed test, α = 0.05).

RESULTS

Description of sample

In Session 1, the average age of the enrolled HCWs was 32.3 ± 8.93 years old, and 69.7% (n = 221) of the participants were women. The majority of the participants were of Han nationality (91.8%, n = 291). A total of 317 participants included 140 doctors (44.2%), 144 nurses (45.4%) and 33 other medical professionals (10.4%); 63.1% of the participants (n = 200) had university degrees, and 36.6% (n = 116) had master’s degrees or higher education backgrounds.

In Session 2, the data of 403 respondents were analysed (mean age = 32.3 ± 8.14 years old) and 269 of the respondents were women (66.7%). Most of the respondents were of Han nationality (95.3%, n = 384), 146 (36.2%) were doctors, 243 (60.3%) were nurses and 14 (3.5%) were other medical professionals; 74.9% of the participants (n = 302) had university degrees, and 23.5% (n = 95) had master’s degrees or higher education backgrounds (see Table S1).

The differences in PTSD and risk perceptions between T1 and T2

The PTSD prevalence rate increased from 10.73% at T1 to 20.84% at T2. As shown in Fig. 2a, the Mann–Whitney U tests revealed that the PCL-5 scores of the HCWs increased significantly from 15.47 (SD = 13.07) at T1 to 20.78 (SD = 14.27) at T2 (Z = −5.32, p < 0.001), and a significant increase at T2 relative to T1 was also observed for all four subscales of the PCL-50 (all Z ≥ 3.78, p < 0.001). For the 74 HCWs who completed the survey both at T1 and T2, the PCL-5 scores grew by ~27% from T1 to T2.

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Mediation analysis. The Spearman correlation analysis of the observed variables in mediation analysis revealed that PTSD at T1 was significantly positively associated with PTSD at T2 ($r = 0.477, p < 0.001$) and RP at T1 ($r = 0.271, p = 0.020$), and RP at T2 was significantly positively correlated with PTSD at T2 ($r = 0.619, p < 0.001$).

Mediation analysis showed that PTSD at T1 could positively predict risk perception at T2 (coefficient $= 0.272, p = 0.016$, path $a$ in Fig. 3), and risk perception at T2 predicted PTSD at T2 (coefficient $= 0.566, p < 0.001$, path $b$ in Fig. 3). Zero was not included in a bias-corrected bootstrap-confidence interval (CI), indicating that the indirect effect was significant. Hence, the indirect effect from PTSD at T1 to PTSD at T2 through RP at T2 was significant (coefficient $= 0.154, SE = 0.069, 95\% CI = 0.023$ to 0.297). Additionally, in this model, the direct effect from PTSD at T1 to PTSD at T2 remained significant (coefficient $= 0.268, p = 0.002$, path $c'$ in Fig. 3), indicating that RP at T2 partially mediated the relationship between PTSD at T1 and PTSD at T2.

**DISCUSSION**

In the present one-year longitudinal follow-up study, we explored the development and relationship of PTSD symptoms and risk perceptions of HCWs during two COVID-19 outbreaks. The results suggested that (1) the PTSD prevalence rate in health care workers (cut-off = 33) at T1 and T2 was 10.73% and 20.84%, respectively, and the HCWs reported significantly more severe PTSD in the second wave of COVID-19 (Delta outbreak); (2) the overall risk perception of COVID-19-related hazards remained relatively stable from T1 to T2 and was positively associated with PTSD severity both at T1 and T2; and (3) PTSD at T1 significantly positively predicted PTSD at T2, and risk perception at T2 mediated this increase in PTSD from T1 to T2.

A recent meta-analysis and systematic review revealed that the prevalence rate of PTSD during COVID-19 pandemic outbreaks was 9%, including 11 studies conducted from February to May 2020 [15]. This rate was similar to the prevalence rate of HCWs at T1 (May to June 2020) in the present study (10.73%). It is worth noting that the prevalence rate at T2 in the present study (20.84%) was double the rate reported at T1. The high prevalence rates in the HCWs reflected that they were at high risk of repeated traumatic stress and were a population that was vulnerable to PTSD. Meanwhile, our analysis revealed that the total scores of the PCL-5 at T2 were significantly higher than those at T1, and for the HCWs who completed the survey at both T1 and T2, the PCL-5 scores grew by ~27% from T1 to T2, indicating that PTSD has become an increasingly serious problem in health care workers with the repeated outbreaks during the pandemic. Similarly, a study from COVID-19 survivors in Wuhan also showed a trend of increasing PTSD, and the results revealed that COVID-19 survivors’ PCL-5 scores grew by ~20% from 3 to 6 months after they left the hospital [27]. In contrast, a recent longitudinal study that focused on the mental states of the general public in China during the COVID-19 pandemic suggested that posttraumatic stress symptoms decreased from 1 to 3 months after the pandemic outbreak [38]. These results suggested that with the substantially increasing numbers of recovered COVID-19 patients, the mental states of the general public gradually improved. However, compared to the general public, HCWs and COVID-19 survivors might be more traumatized during the pandemic; therefore, it might be harder and take longer for them to recover from PTSD. Furthermore, the regression analysis showed that the overall PTSD level at T1 could significantly positively predict the overall PTSD level at T2, and...
for COVID-19-related hazards reporting more severe PTSD still ongoing and outbreaks are occurring repeatedly, HCWs intervention at the early stage. Since the COVID-19 pandemic is suggested that HCWs might develop worse PTSD without severity, with those who had significant risk perceptions of COVID-19-related hazards during the COVID-19 pandemic was associated with PTSD symptoms over time need urgent attention.

Table 2. Regression analysis with the total score of PCL-5 of HCWs in T2 as the outcome variable.

| Model | PCL - Total (T2) | Adjusted R² | ΔR² | ΔF |
|-------|-----------------|-------------|-----|----|
| 1     |                |             |     |    |
| (Constant) | 15.945       | 22.519 | 0.708 | 0.070 |
| Sex     | 8.887          | 5.319 | 0.245 | 1.671 |
| Age     | −0.277         | 0.263 | −0.134 | −1.052 |
| Ethnic  | 4.647          | 7.020 | 0.080 | 0.662 |
| Nurse versus other | −12.473    | 15.109 | −0.334 | −0.826 |
| Doctor versus other | −20.098    | 16.902 | −0.524 | −1.189 |
| Education | 6.303        | 6.300 | 0.164 | 1.000 |
| 2     |                |             |     |    |
| (Constant) | −4.591        | 17.144 | −0.268 | 0.510 |
| Sex     | 5.072          | 4.041 | 0.140 | 1.255 |
| Age     | −0.174         | 0.199 | −0.084 | −0.874 |
| Ethnic  | −1.808         | 5.304 | −0.031 | −0.341 |
| Nurse versus other | −4.314     | 11.273 | −0.116 | −0.383 |
| Doctor versus other | −7.853     | 12.687 | −0.205 | −0.619 |
| Education | 5.629         | 4.684 | 0.146 | 1.202 |
| RP-total (T1) | −1.339      | 1.808 | −0.069 | −0.741 |
| RP-total (T2) | 11.754      | 1.918 | 0.578 | 6.128*** |
| PCL-total (T1) | 0.311        | 0.111 | 0.264 | 2.812** |

β Unstandardized beta, β Standardized regression weight.
*p value less than 0.05, **p value less than 0.01, ***p value less than 0.001.

Fig. 3 Risk perception at T2 mediates the relationship between PTSD at T1 and PTSD at T2. *p value less than 0.05, **p value less than 0.01, ***p value less than 0.001.

further analysis of the subscales of the PCL-5 is shown in Tables S3–S6 in the Supplementary material. These results demonstrated the persistence and even worsening of PTSD symptoms for a substantial proportion of HCWs at the one-year follow-up. This is in accordance with previous epidemiological findings that the more exposure to multiple traumatic events, the greater the risk of suffering from PTSD [39–43]. Notably, our longitudinal data suggested that HCWs might develop worse PTSD without intervention at the early stage. Since the COVID-19 pandemic is still ongoing and outbreaks are occurring repeatedly, HCWs’ mental health problems and the steady worsening of their PTSD symptoms over time need urgent attention.

For risk perception, we explored the evolution of risk perception over a period of one year, and the results suggested that the overall risk perception towards the pandemic remained relatively stable from T1 to T2. In line with previous studies, in two successive outbreaks of the pandemic, the risk perception of hazards during the COVID-19 pandemic was associated with PTSD severity, with those who had significantly higher risk perceptions for COVID-19-related hazards reporting more severe PTSD symptoms [20, 25, 44]. These results suggested the important role of COVID-19-related risk perceptions in HCWs’ PTSD symptoms.

Beyond these findings, our dataset showed the mediating role of risk perception at T2 on the increase in PTSD with two pandemic outbreaks. Further structural equation modelling that considered the subscales of the PCL-5 and four hazards of risk perceptions also showed consistent results (see Figs. S1–S2 in the Supplementary material). Specifically, those who had a higher level of PTSD at T1 seemed to report a higher risk perception of COVID-19-related hazards at T2, which resulted in higher PTSD scores at T2. Previous studies have reported that PTSD, a mental disease that develops after people are exposed to frightening and even life-threatening traumatic events, might cause long-term persistent negative alterations in cognition and mood [18, 45–47]. Accordingly, the present findings suggested the possibility that HCWs with more severe PTSD at T1 suffered from more negative cognition and mood over an extended period, and these long-term persistent psychological consequences of PTSD might have increased their intuitive risk perception of hazards at T2, which further increased their vulnerability to future traumatic events and led to a higher level of PTSD at T2. Such findings provide preliminary evidence that higher risk perception might be an important contributor to the increase in PTSD symptoms at T2 relative to T1. In addition, it seemed that the association of more severe PTSD symptoms at the early stage with the subsequent higher risk perception might comprise a significant predictor of worse PTSD symptoms over time. Furthermore, improving the capacity of risk resistance by adjusting risk perception could be an effective intervention target for preventing the worsening of PTSD, such as health education, especially for HCWs with severe PTSD in their first contact with the pandemic.

LIMITATIONS
The findings in this study should be interpreted with caution due to several limitations. First, we only recruited HCWs for both sessions from Guangzhou, and studies on HCWs from other
pandemic cities are essential for validation. Second, only 74 HCWs took part in both surveys due to strict quarantine standards and work overload. To generalize and to obtain more precise findings, we need larger-scale data to better investigate the predictors and dynamic development of PTSD symptoms in HCWs over time.

CONCLUSION
Our data provide a snapshot that HCWs’ PTSD symptoms were aggravated with the repeated outbreaks of COVID-19 within a one-year period. Among HCWs from designated hospitals, over 10.73% suffered from PTSD in the first wave of the COVID-19 pandemic, and the prevalence rate increased dramatically to 20.84% in the second wave of the pandemic. Furthermore, our longitudinal data revealed the mediating role of risk perception in the increase in PTSD over time. The present study highlighted the necessity of early intervention to prevent the worsening of PTSD in HCWs over time and suggested that improving the capacity of adjusting risk perceptions could be an effective intervention target.

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AUTHOR CONTRIBUTIONS
HO, SG, YZ and JW contributed to the writing of this article and the investigation and collection of all data and are the co-first authors. JZ, ZS, YJ, and WY contributed to the statistical analysis and revised the article. WL, XL, and YZ led the whole study, including putting this study forward and carrying out the study; they are the corresponding authors.

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Correspondence and requests for materials should be addressed to Yan Zhang, Xu Li or Weizhi Liu.

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