Health care utilization by preterm infants with respiratory complications in Quebec

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INTRODUCTION: Despite notable advances in prenatal and neonatal care, respiratory distress syndrome (RDS) and bronchopulmonary dysplasia (BPD) remain important complications of preterm births, and their long-term sequelae are poorly understood.

OBJECTIVE: To describe health care utilization and costs over a 16- to 25-year follow-up period in a cohort of preterm infants with respiratory complications.

METHODS: Using provincial health administrative databases from Quebec, a cohort of individuals who were born prematurely with complications of RDS and/or BPD between 1983 and 1992 were identified. From these databases, which cover all Quebec residents, health services use, medication prescriptions, associated diagnoses and costs were tabulated.

RESULTS: A total of 3442 subjects with respiratory complications following preterm birth were identified, of whom 773 had been diagnosed with BPD and 2669 had RDS without BPD. Asthma was diagnosed twice as frequently (1.7 to 2.4 times) in the BPD group compared with the RDS group, with more frequent hospital readmission, and outpatient and emergency room visits. Although respiratory causes remained the main reason for consultation in both groups, 3.7% and 3.4% of the outpatient visits were for mental or psychological ailments, such as depression, attention deficit hyperactivity disorder or dysthymia for the BPD and RDS groups, respectively.

CONCLUSION: BPD patients experienced more hospital admissions, outpatient and emergency rooms visits, and were more likely to suffer from respiratory illnesses and to use respiratory drugs than RDS patients. Neurological and psychiatric complications occurred at a high frequency in both RDS and BPD subjects, and were associated with significant use of antipsychotic and antidepressant medications.

Key Words: Bronchopulmonary dysplasia; Health care utilization; Preterm birth; Respiratory distress syndrome

Preterm birth has been an under-recognized global health issue, partly because of a lack of data on the extent of the problem and its long-term consequences. In 2005, 13 million preterm babies were born worldwide. Africa (119 per 1000 births), North America (106 per 1000 births), and Asia (91 per 1000 births) have the highest rates of preterm birth (1). Preterm births also appear to be increasing in numbers. In Canada and the United States, the rate of preterm birth has increased by 35% in the past 25 years, mainly because of assisted reproduction (1). According to the 2009 White Paper Report of the March for Dimes Foundation (1), more than one million infants die each year because of prematurity, while those who survive have an increased risk of morbidities such as cerebral palsy, chronic lung disease, blindness and hearing loss. Even late preterm births (34 to 36 weeks’ gestation) are associated with disabilities, jaundice and delayed brain development (1).

Despite notable advances in prenatal and neonatal care, respiratory distress syndrome (RDS) and bronchopulmonary dysplasia (BPD) remain important respiratory complications of preterm births, frequently resulting in mortality as well as short-term and long-term morbidities. The economic consequences of preterm birth have been studied in the first years of life; the adverse sequelae of preterm birth have considerable long-term consequences for health services and for society as a whole (2). Attendant costs increased with the degree of prematurity, along with the number of hospital readmissions and the mean length of stay for each readmission (2). No studies have investigated the long-term health care use of preterm infants into adulthood. The present study aimed to describe the health care cost and health care utilization over a 16- to 25-year period in a preterm cohort with respiratory complications (RDS and BPD) born between 1983 and 1992 in Quebec.
METHODS

Study design and selection of subjects

The present study was performed using a retrospective cohort of subjects with respiratory complications of premature birth born in Quebec between 1983 and 1992. This was accomplished using databases provided by the provincial health care system of Quebec, the Régie de l’assurance-maladie du Québec (RAMQ), which is the centralized health ministry responsible for insuring all residents in the province of Quebec and reimbursing the physicians, pharmacists and hospitals for care provided. These databases, described in detail below, ensured complete capture of the study population living in Quebec, because medical coverage is universal and free. The International Classification of Diseases, Ninth Revision (ICD-9) codes for diagnoses (3) have been used in these databases since April 1, 1981 (until March 31, 2006), and ICD-10 codes since April 1, 2006.

These databases were used to identify all subjects with a preterm birth, defined as a gestational age <37 weeks (using the ICD-9 code 765.*) and diagnoses of associated respiratory complications – either BPD (ICD-9 code 770.7) or RDS (ICD-9 code 769.*). This cohort was separated into two groups: infants who were diagnosed with BPD (with or without an antecedent diagnosis of RDS); and infants diagnosed with RDS but not BPD.

Data were extracted from January 1, 1983 (from April 1, 1987 in the case of the MED-ECHO database) to March 31, 2008, which represents a minimum of 16 years and a maximum of 25 years of follow-up data on all subjects still alive and residing in Quebec.

A subset of subjects from this retrospective cohort were matched to a previously described population of infants admitted to the Montreal Children’s Hospital (Montreal, Quebec) between January 1, 1983 and December 31, 1992, following a preterm birth (4). The population included all infants with preterm birth, defined as gestational age <37 weeks (259 days) admitted with a diagnosis of BPD or RDS. This cohort was used to provide neonatal characteristics of the premature population not available in the provincial databases, such as birth weight, gestational age, severity of BPD (5) and Apgar score.

Access to the RAMQ database received the approval of the Commission d’accès à l’information du Québec and the present study was approved by a research ethics board of the McGill University Health Centre (Montreal, Quebec).

Administrative databases

Four provincial databases administered by RAMQ were used in the present study:

1. MED-ECHO database (6), which contains information on acute care hospitalizations and day surgeries performed in Quebec. Each record contains identifying demographic information along with the primary diagnosis on admission and 15 possible secondary diagnoses (7). This database was initiated April 1, 1987. This was used to obtain details on hospitalization, date of admission and length of stay, as well as details on the admission, principal and secondary diagnoses and the cause of death when applicable for all subjects born after April 1, 1987.

2. Medical services database, which includes data on medical billing (type of service performed, specialty of claimant, setting where the service was provided [outpatient clinic, private clinic, emergency room, in-patient]) as well as the number of claims and date on which the service was performed and the cost paid by the RAMQ to the billing physician. This database is complete from January 1, 1983.

3. A database containing information on all insured subjects, including their age, postal code, annual household income (based on the mean annual income of the forward sorting area, as defined by the first three digits of the postal code) as provided by Statistics Canada based on the most recent population census, their sex and their status (dead or alive) along with the date of the event, when applicable.

4. Prescription drug database, which included data on all drugs dispensed (date of service, drug identification number code, American Health Foundation class, dosage, duration), length of utilization and associated fees (service fees, contribution from the beneficiary and the amount covered by the RAMQ). This is complete for all children <18 years of age in Quebec since January 1, 1997. Data in the RAMQ prescription database have been validated and found to be accurate and reliable (8).

Hospitalization and pharmaceutical costs

The RAMQ and MED-ECHO databases do not provide any data on hospitalization costs. Therefore, estimates for the average cost of hospitalization in Quebec were used over the period from 1983 and 2008, using data from the Canadian Institute of Health Information and Health Canada (9,10). Using the Case Mix Group (CMG+) methodology, it estimates a hospitalization cost of $839.17/day (9,10). For the pharmaceutical costs, the cost reflects the period starting from January 1, 1997, in which the mean age of the BPD cohort was 7.3 years and the RDS cohort was 5.8 years until March 31, 2008, and comprises 30.4% of BPD subjects and 28.6% of RDS subjects included in the study.

Statistical analyses

All preterm infants were grouped into two categories for all analyses: preterm with RDS and preterm with BPD (with or without preceding RDS).

Characteristics associated with RDS and BPD were examined by comparing each group using a univariate approach. One-way ANOVA or t tests were used to compare means of continuous variables, and Mantel-Haenszel χ² tests were used to compare ordinal variables. Tests based on the Poisson distribution were used for count and rate outcomes. P ≤ 0.05 was considered to be statistically significant.

For multivariable analysis, variables that were significantly associated with the outcome in univariate analyses were initially included. Variables were then selected using a stepwise forward method, with the cut-off for significance set at P ≤ 0.05 (11,12). A multivariate Poisson regression model (11) was used to determine the association of clinical factors with the number of admissions, outpatient and emergency room visits. Statistical analyses were conducted using SAS version 9.2 (SAS Institute Inc, USA).

RESULTS

Baseline characteristics of the study population

A total of 3442 subjects were identified from the provincial databases, of whom 773 were diagnosed with BPD following a preterm birth. Table 1 summarizes the main characteristics of the study population including the prevalence of commonly reported complications of prematurity and of other diagnoses made in the perinatal period. The lifetime incidences of cor pulmonale and asthma are also included.

The mean (± SD) annual rate of preterm birth in Quebec was 62.6±3.3 per 1000 live births between 1983 and 1992 (13,14). The BPD and the RDS rates per 1000 live births were found to be 0.8 and 2.7, respectively, during the same period, and complicated 1.3% and 4.3%, respectively, of all preterm births.

Twenty-four subjects with BPD died following their initial discharge from hospital (mean age at death 4.4 years), as did 38 subjects with RDS (mean age at death 4.1 years). The leading causes of death in those with BPD were cor pulmonale and chronic respiratory failure (17%), other respiratory causes (42%) and hydrocephalus or other congenital abnormalities of the central nervous system (13%). The leading cause of death in the RDS group was obstructive hydrocephalus and other congenital abnormalities of the central nervous system.

Hospital readmissions, outpatient department and emergency room visits

The mean duration of the follow-up for preterm BPD and RDS subjects up to 2008 was 19.3 and 17.6 years, respectively. As shown in
Table 1: Characteristics of study population

|                          | BPD       | RDS       | P     |
|--------------------------|-----------|-----------|-------|
| n (%)                    | 773       | 2696      | –     |
| Male sex, n (%)          | 413 (53.5)| 1641 (61.5)| <0.0001|
| Age in 2008, years       | 19.3±2.8  | 17.6±1.7  | <0.0001|
| Mortality, n (%)         | 24 (3.1)  | 38 (1.4)  | 0.002 |
| Age at death, years      | 4.4±1.5   | 4.1±1.1   | 0.37  |
| Household income, $       | 50,059.57 | 49,878.49 | 0.56  |

Diagnoses present in the perinatal period, n (%)

- Anoxic encephalopathy 292 (37.8) 848 (31.8) 0.002
- Hyperbilirubinemia 243 (31.4) 1133 (42.5) <0.0001
- Patent ductus arteriosus 220 (28.5) 324 (12.1) <0.0001
- Seizures 91 (11.8) 140 (6.3) 0.0001
- Retinopathy of prematurity 82 (10.6) 34 (1.3) <0.0001
- Intraventricular hemorrhage 73 (9.4) 89 (3.3) 0.0002
- Pulmonary interstitial emphysema 71 (9.2) 197 (7.4) 0.09
- Apnea of prematurity 32 (4.1) 74 (2.8) 0.0001
- Necrotizing enterocolitis 25 (3.2) 50 (1.9) 0.04
- Aspiration 21 (2.7) 49 (1.8) 0.74
- Hydrocephalus 10 (1.3) 12 (0.5) 0.002

Diagnoses present after the initial discharge

- Asthma, n (%) 208 (26.9) 315 (11.8) <0.0001
- Cor pulmonale/pulmonary hypertension, n (%) 21 (2.7) 2 (0.1) 0.03

Perinatal characteristics of matched subjects

- n (% of total) 241 (31.2) 409 (15.3) –
- Birth weight, kg 1.09±0.48 2.24±0.68 <0.0001
- Gestational age, days 194.9±22.3 237.7±21.5 <0.0001
- Male sex, n (%) 143 (59.3) 266 (65.0) 0.15
- 1 min Apgar score 3.9±2.4 6.5±2.4 <0.0001
- 5 min Apgar score 3.3±2.1 7.9±1.9 <0.0001

Data presented as mean ± SD unless otherwise indicated. BPD Bronchopulmonary dysplasia; RDS Respiratory distress syndrome

Table 2: Health care utilization: Number of hospitalizations, outpatient and emergency room visits, and rate of most frequent diagnoses

|                          | BPD       | RDS       | P     |
|--------------------------|-----------|-----------|-------|
| Hospitalizations following initial discharge
| Subjects with at least one hospitalization, n (%) | 690 (89.3) 2653 (99.4) – |
| Hospitalizations/subject, mean ± SD | 5.0±7.3 2.9±3.3 <0.0001 |
| Hospitalizations/person-year | 1.6 1.3 <0.0001 |
| Length of stay, days, mean ± SD | 14.9±47.3 10.1±44.0 <0.0001 |

Principal diagnoses at hospital readmission* (discharge diagnoses) per person-year

- Acute respiratory tract infection and other respiratory diagnoses 1.08 0.09 <0.0001
- Asthma 0.63 0.26 <0.0001

Outpatient visits

- Visits/subject, mean ± SD 108.3±74.8 72.3±50.5 <0.0001
- Visits/person-year | 7.4 5.7 0.006

Diagnoses made during outpatient visits per person-year

- BPD and other chronic lung diseases 15.11 – –
- Asthma 11.13 4.55 <0.0001
- Unspecified nonpsychotic mental disorder 1.86 1.66 N/S
- Dysthyemic disorders (depression, anxiety) 1.59 1.35 N/S
- Attention deficit hyperactivity disorder 1.46 1.55 <0.05

Emergency room visits

- Visits/subject, mean ± SD 15.4±18.9 12.6±14.5 0.0002
- Visits/person-year | 3.1 2.7 0.005

Principal diagnoses at emergency room visits† (discharge diagnoses) per person-year

- Asthma 3.56 1.99 <0.0001
- Acute respiratory tract infection and other respiratory diagnoses 1.00 0.79 <0.05

Data presented as mean number unless otherwise indicated. *Principal diagnosis at discharge from hospital; †Diagnosis at discharge from emergency room. BPD Bronchopulmonary dysplasia; N/S Not statistically significant; RDS Respiratory distress syndrome

Table 2, BPD subjects were more likely to seek medical attention than RDS subjects over that period of time, either in the outpatient setting or the emergency department. BPD subjects were also hospitalized more frequently and for longer periods of time than their counterparts. The annual rate of hospitalizations was consistently greater in the BPD than in the RDS group at almost all ages as shown in Figure 1.

Asthma was diagnosed twice as frequently (1.7 to 2.4 times) in the BPD group compared with the RDS group, during hospital readmission, outpatient or emergency room visits. Although respiratory causes remained the main reason for consulting in both groups, 3.7% and 3.4% of the outpatient visits were for mental or psychological conditions, such as depression, attention-deficit hyperactivity disorder or dysthymia, for the BPD and RDS groups, respectively (Table 2).

The most frequent medical and surgical specialties consulted for all medical visits in the outpatient settings (which include outpatient departments and private offices) were pediatrics (27.9% and 29.5% for BPD and RDS, respectively), otorhinolaryngology (5.7% and 5.2%), ophthalmology (5.7% and 3.9%), respirology (5.5% and 0.6%) and psychiatry (4.5% and 3.3%). Only the latter two were found to be statistically different between the two groups.

Table 3 summarizes the adjusted rate ratios for hospital readmissions, outpatient and emergency room visits, and rate of most frequent diagnoses. Health care utilization by preterm infants
TABLE 3
Adjusted rate ratios for the number of hospital readmissions, outpatient and emergency room visits

| Adjusted rate ratio* (95% CI) | Hospital readmissions | Outpatient visits | Emergency room visits |
|------------------------------|-----------------------|-------------------|-----------------------|
| Male sex                     | 1.1 (1.06–1.14)       | 1.01 (1.01–1.02)  | 1.08 (1.05–1.1)       |
| Annual household income <$20,000† | 0.92 (0.86–0.99) | 0.71 (0.70–0.73) | 0.83 (0.8–0.86) |
| Perinatal diagnoses          |                       |                   |                       |
| BPD                          | 1.44 (1.37–1.5)       | 1.25 (1.23–1.26)  | 1.08 (1.05–1.1)       |
| Patent ductus arteriosus     | 1.11 (1.05–1.16)      | 1.17 (1.16–1.18)  | 1.07 (1.04–1.1)       |
| Anoxic encephalopathy        | 1.05 (1.01–1.09)      | 1.02 (1.01–1.02)  | 0.97 (0.95–0.99)      |
| Seizures                     | 2.18 (2.04–2.33)      | 1.34 (1.32–1.37)  | 1.54 (1.48–1.61)      |
| Diagnosis made after the perinatal period | 2.53 (2.42–2.63) | 1.39 (1.38–1.41) | 2.18 (2.13–2.22) |

Perinatal characteristics‡

| Appgar score at 1 min§      | 1.12 (1.08–1.16) | 1.06 (1.05–1.07) | 1.00 (0.97–1.02) |
| Maternal age§, per year     | 0.98 (0.97–1.00) | 1.00 (1.00–1.01) | 1.01 (1.00–1.02) |
| Birth weight**, per 100 g   | 1.04 (1.02–1.07) | 1.04 (1.03–1.04) | 1.03 (1.01–1.04) |
| Gestational age††, per week | 1.07 (1.03–1.11) | 1.06 (1.05–1.07) | 1.08 (1.05–1.11) |
| Severity of BPD‡‡           | 1.45 (1.27–1.65) | 1.27 (1.23–1.31) | 1.79 (1.58–2.03) |

*Also adjusted for the subjects’ year of birth; †This cutoff was used according to the definition of low income used by Statistics Canada (13); ‡Analyses performed using the 650 subjects matched between the Régie de l’assurance maladie du Québec databases and the Montreal Children’s Hospital (Montreal, Quebec) cohort; ††The rate ratio is for each point subtracted from the Apgar score at 1 min; §The rate ratio is for each additional year of maternal age; **The rate ratio is for each 100 g of lower birth weight; ††The rate ratio is for each week of lower gestational age; ‡‡The rate ratio is for more severe bronchopulmonary dysplasia (BPD) disease, from mild, moderate to severe as defined by the National Institutes of Health consensus definition (5).

Cost of health care utilization by preterm subjects with respiratory complications in Quebec

Table 4 summarizes the cost per person-year for medical services (billing fees to the physician) and hospitalization costs for BPD and RDS subjects between 1983 and March 31, 2008. An average of $13,472 was spent per subject with BPD per year for medical consultation fees, hospitalizations and medications as shown in Table 4.

Medication use by cohort members

A total of 69 prescription drugs were dispensed per person-year in the BPD group compared with 39 in the RDS group (P=0.002) for the 11-year duration of the pharmaceutical follow-up. As summarized in Table 5, respiratory drugs, including corticosteroids, inhaled corticosteroids with or without long-acting beta-agonists (eg, Advair [GSK, Canada], Symbicort [AstraZeneca, Canada]) beta-agonist drugs (eg, salbutamol), anticholinergics (eg, ipratropium) and smoking cessation aids, were all used significantly more often in BPD than RDS patients as were skeletal muscle relaxants (commonly used for the treatment of muscle spasticity observed in cerebral palsy), anticonvulsants, antidepressants and antipsychotics and anxiolytics. Central nervous system stimulants, such as methylphenidate, used in the treatment of attention deficit hyperactivity disorders, were used frequently in both groups (31% of BPD and 27% of RDS). The mean duration of each therapy, once prescribed, did not differ across the two groups.

DISCUSSION

In 2008, the mean age of the preterm BPD and RDS cohorts was 19.3 and 17.6 years, respectively, and the follow-up duration covered a period of 25 years. This provided a good representation of the long-term use of health care services of the study population. The prevalence of neonatal morbidities associated with preterm births, such as necrotizing enterocolitis, patent ductus arteriosus and intraventricular hemorrhage, was comparable with that cited in previous reports (15,16).

Hospital readmissions following initial discharge, outpatient visits and emergency room visits were significantly more frequent in the cohort of BPD subjects, as were the medical and total cost per person-year for these BPD subjects. If we estimate that 1.3% of preterm infants born in Canada will develop BPD and 4.3% will develop RDS, this represents an annual cost of $5.1 million for BPD subjects and $13.4 million for RDS subjects until they reach adulthood. The role of home oxygen programs could not be examined in the present study but is likely to influence the health care use and costs by influencing hospital length of stays. A previous study reported that 26.1% of BPD subjects born during the study period required oxygen at discharge (17).

Asthma and respiratory complications occurred significantly more frequently in the BPD group and, interestingly, psychiatric illnesses (anxiety, depression, attention deficit hyperactivity disorder) composed a significant proportion of outpatient visits for both the BPD and RDS groups. Although data on health care use of healthy controls were not included in the present analysis, a study on the lifetime use of health care services by adolescents (18) revealed that 54 controls, (mean age 16.9 years) recruited between 2000 and 2003 and living in Quebec, typically had no contact with a psychiatrist on an annual basis and a lifetime contact rate of 7.4%.

Respiratory drugs, such as anticholinergics, beta-agonists and inhaled corticosteroids, were used more frequently in the BPD subjects compared with RDS subjects, and were used on average more than three months per year for the 11-year duration of the pharmaceutical...
follow-up. This suggests that respiratory symptoms are persistent well into the late teenage years and early adulthood. This may impact the quality of life of BPD survivors because it is also associated with more frequent hospitalizations and emergency room visits, and an overall greater medication use.

Both groups used anticonvulsant, antidepressant and antipsychotics medications but their use was significantly more frequent in the BPD group. Some data supporting these findings are available in the literature but mainly involve school-age subjects (19-21). A Dutch study investigating the prevalence of cognitive and emotional difficulties in moderately preterm children (gestational age 34 weeks) (21) compared with term-born children showed that prematurity was strongly correlated with cognitive impairments and behavioural problems such as attention deficit hyperactivity disorder. Another study examining preterm infants at five years of age and a control group concluded that preterm infants had more behavioural problems and emotional symptoms (19). No other data – over and above the impact of prematurity itself – regarding the impact of BPD or RDS exist.

Strengths and limitations
Identification of the subjects relied on medical billing diagnoses (from January 1, 1983 to December 31, 1992) and hospital discharge diagnoses (MED-ECHO) from April 1, 1987 onward. The MED-ECHO databases have been previously validated and found to be reliable (8), but the use of medical billing diagnoses has not. This constitutes a weakness in the selection process, but only spans four years of the total 10 years of subject selection. The free and universal coverage for health care in Quebec is a strength of the present study, especially because prematurity is often observed in a lower socioeconomic stratum, a factor linked to prematurity (22). Because the pharmacological database used in the present study is considered to be complete only since January 1, 1997, with all individuals <18 years of age benefiting from free and universal coverage for prescription drugs since that time, we have likely underestimated the utilization of medications and associated costs before 1997 and for the earlier years of life of the study population. Similarly, the incompleteness of the medical hospitalization databases from 1983 until April 1, 1987, resulted in an understimation of the hospitalizations and the associated costs. Another weakness is the extrapolation of the hospitalization costs in the calculation of the health care costs using data from the Canadian Institute of Health Information, which uses the CMG+ methodology (10). The use of the CMG+ methodology does not account for different patterns of care (eg, an individual's response to a particular treatment) or for the inhomogeneity of a patient population. With regard to our study population, not accounting for length of stay outliers would have resulted in an underestimation of the hospitalization costs (23). Although the direct costs of hospitalizations were not tabulated, their values are comparable with what is reported in the literature (2,24).

CONCLUSION
BPD and RDS are the two most common pulmonary complications that affect preterm infants and contribute to their morbidity and mortality. Improved survival of very premature infants, a consequence of medical advances in neonatal and obstetrical care, has resulted in increased numbers of infants with BPD surviving into adulthood. This increase puts a burden on health resources because these individuals are frequently hospitalized, incur more outpatient and emergency room visits, and are more likely to suffer from respiratory illnesses and to use respiratory drugs than RDS subjects. Given these findings, it appears that subjects born prematurely and who suffer from respiratory complications at birth would benefit from being closely followed throughout their late adolescence and early adulthood for the development of late respiratory complications, such as asthma or bronchial hyper-responsiveness attributable to their underlying RDS and BPD. Neurological and psychiatric complications occurred at a high frequency in both RDS and BPD subjects, and were associated with a significant use of antipsychotic and antidepressant medications. The results of the present study are likely generalizable to other Canadians provinces for preterm subjects born during the same period, but the reality of today’s preterm infants is likely to be quite different given the evolution of BPD and RDS over the past two decades. The pathogenesis of BPD and RDS has been modulated by the introduction of the routine use of pulmonary surfactants and the more advanced degree of prematurity currently encountered. Further studies addressing the long-term respiratory and mental health consequences of preterm birth are needed to better address the needs and concerns of this growing patient population.

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