Induction of ER Stress-Mediated Apoptosis by α-Lipoic Acid in A549 Cell Lines

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Background: α-Lipoic acid (α-LA) has been studied as an anticancer agent as well as a therapeutic agent for diabetes and obesity. We performed this study to evaluate the anticancer effects and mechanisms of α-LA in a lung cancer cell line, A549. Materials and Methods: α-LA-induced apoptosis of A549 cells was detected by fluorescence-activated cell sorting analysis and a DNA fragmentation assay. Expression of apoptosis-related genes was analyzed by western blot and reverse transcription-polymerase chain reaction analyses. Results: α-LA induced apoptosis and DNA fragmentation in A549 cells in a dose- and time-dependent manner. α-LA increased caspase activity and the degradation of poly (ADP-ribose) polymerase. It induced expression of endoplasmic reticulum (ER) stress-related genes, such as glucose-regulated protein 78, C/EBP-homologous protein, and the short form of X-box binding protein-1, and decreased expression of the anti-apoptotic protein, X-linked inhibitor of apoptosis protein. Reactive oxygen species (ROS) production was induced by α-LA, and the antioxidant N-acetyl-L-cysteine decreased the α-LA-induced increase in expression of apoptosis and ER stress-related proteins. Conclusion: α-LA induced ER stress-mediated apoptosis in A549 cells via ROS. α-LA may therefore be clinically useful for treating lung cancer.

Key words: 1. Lung neoplasms 2. Cell death 3. Neoplasm marker

INTRODUCTION

Oxidative stress plays a key role in the development of degenerative diseases and tumors [1,2], and endogenous antioxidant agents have been shown to protect against these disorders [3,4]. α-Lipoic acid (α-LA), which is produced in small quantities in the human body, is an intermediate fatty acid that functions as a cofactor for mitochondrial respiratory enzymes. α-LA has been shown to have anticancer effects by activating glutathione peroxidase and decreasing oxidative stress in cancer patients [5,6].

In addition, α-LA has been shown to have a prophylactic and therapeutic effect on diabetic neuropathy and alcohol-related or toxic hepatic injury [7,8]. However, it can act as a pro-oxidant as well as an antioxidant depending on the dose applied and the length of application [9,10]. Further studies are therefore required to determine under what conditions α-LA protects or promotes oxidative stress.

Apoptosis describes the process of active, controlled cell death that occurs during embryonic development, pre-
developmental stages, and in response to hormones and different types of chemical agents. Because the induction of apoptosis is closely related to cancer therapy, several studies at the molecular level have investigated the effects of natural products such as resveratrol, curcumin, and genistein on tumors [11-13].

Unlike other compounds, \( \alpha\)-LA does not induce apoptosis in normal cells such as neurons and hepatocytes [14,15], but has been shown to induce apoptosis of various human cancer cell lines. Consequently, because \( \alpha\)-LA is innocuous to normal cells but inhibits the growth or promotes apoptosis of cancer cells, it has great potential therapeutic benefit as an anticancer agent.

An understanding of the pathways and mechanisms of apoptosis induced by an anti-carcinogen is crucial to the development of an effective anticancer therapy [16]. Apoptosis pathways can be initiated via membrane proteins, mitochondria, or the endoplasmic reticulum (ER). By clarifying the apoptosis pathway induced by a particular anticancer agent, the anticancer effect can be maximized using combination chemotherapy that activates several apoptosis pathways [17,18].

For example, the anticancer agent tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) activates tumor necrosis factor (TNF) receptors (or death receptors) in the cellular membrane, resulting in the apoptosis of tumor cells. However, many tumor cells are resistant to TRAIL, and combination chemotherapy is required to increase the susceptibility of these tumor cells to TRAIL [19,20]. Therefore, a basic knowledge of the mechanisms underlying the anticancer effect of a compound should improve the effectiveness of anticancer therapy.

In this study, we investigated the anticancer effects and mechanism of apoptosis of \( \alpha\)-LA in lung cancer cells. Lung cancer is one of the most common causes of cancer-related death in Korea and in contrast to other cancers, most cases are treated by anticancer chemotherapy because this cancer is rarely diagnosed at an early stage. Despite the development of several novel anticancer agents, their therapeutic effectiveness is limited.

In this study, we investigated whether \( \alpha\)-LA induces apoptosis in A549 lung cancer cells, whether \( \alpha\)-LA-induced apoptosis is induced by an antioxidant or pro-oxidant effect, and the relationship between \( \alpha\)-LA-induced apoptosis and the ER stress response.

### MATERIALS AND METHODS

1) **Materials**

\( \alpha\)-LA ((+)-1,2-dithiolane-3-pentanoic acid) was purchased from Thiocaid Inc. (Germany) and cisplatin was obtained from Sigma Inc. (St. Louis, MO, USA). The antioxidant, N-acetyl-L-cysteine (NAC) and the caspase inhibitor, \( \alpha\)-VAD, were purchased from Carbiochem Inc. (Darmstadt, Germany) and 2',7'-dichlorodihydrofluorescein diacetate (DCFH-DA) for cell staining was purchased from Invitrogen, Inc. (Karlsro, Germany).

Western blotting antibodies against heat shock cognate protein 70, C/EBP-homologous protein (CHOP), and myeloid cell leukemia 1 (Mcl-1) were obtained from Santa Cruz Inc. (Santa Cruz, CA, USA), while antibodies targeting glucose-regulated protein 78 (GRP78) and caspase 3 were obtained from Stressgen Inc. (USA). X-linked inhibitor of apoptosis protein (XIAP) and Bcl2-associated X protein (BAX) antibodies were purchased from BD Biosciences Inc. (Franklin Lakes, NJ, USA), extracellular signal-regulated kinase (ERK) antibodies were obtained from Transduction Laboratory Inc. (Lexington, KY, USA), and poly (ADP-ribose) polymerase (PARP) antibodies were obtained from Cell Signaling Inc. (Beverly, MA, USA).

2) **Methods**

1) **A549 cell culture:** A549 cells (lung cancer cell line) were cultured in RPMI 1640 media supplemented with 10% fetal bovine serum, penicillin (100 U/mL), streptomycin (100 mg/mL), and gentamicin (10 mg/mL).

2) **Analysis of apoptosis:** Cells cultured in \( \alpha\)-LA-containing media were detached with 2.5X trypsin-EDTA (GIBCO BRL, Grand Island, NY, USA), harvested, and then rinsed using phosphate buffered saline (PBS). Cells were then resuspended in 100 \( \mu\)L PBS and fixed at 4°C for more than one hour by the addition of 200 \( \mu\)L 100% ethanol. After centrifugation, the upper aqueous layer was removed and the cells were resuspended in 100 \( \mu\)L PBS.
We added 250 µL of 50 µg/mL RNase A (Sigma Inc.) to the cells and incubated them at 37°C for 30 minutes. We then cultured the cells at 37°C for 20 minutes in the dark after the addition of 250 µL of 50 µg/mL propidium iodide (Sigma Inc.). We measured cell cycle progression and apoptosis (%) using a fluorescence activated cell sorting Calibur-A flow cytometer (BD Bioscience Inc.). Averages and standard deviations were calculated by analysis of triplicate assays.

(3) Observation of DNA fragmentation: We added 500 µL cytosolic DNA extraction buffer containing 5 mM Tris (pH 7.4), 20 mM EDTA, 0.5% Triton X-100, and 1 mM of phenylmethylsulfonyl fluoride (Amresco Inc., Solon, OH, USA) to cultured A549 cells, and the cells were then incubated in ice water for 30 minutes with shaking at ten-minute intervals. The cell solution was centrifuged at 12,000 rpm for 4°C for 20 minutes. A 500-µL aliquot of the upper layer after centrifugation was transferred to a new test tube and then mixed with the same amount of Tris-saturated phenol.

After centrifugation at 12,000 rpm at room temperature for ten minutes, 400 µL of the upper layer was collected in a new tube and centrifuged at 12,000 rpm for ten minutes after the addition of 400 µL chloroform. The collection and centrifugation steps were repeated two more times. A 200-µL aliquot of the final upper layer was collected in a new tube and 20 µL of 3 M sodium acetate and 1 mL of 100% ethanol was added followed by centrifugation at 12,000 rpm at 4°C for 20 minutes. After removal of the upper layer, the pellet was washed with 70% ethanol by centrifugation at 12,000 rpm at 4°C for 15 minutes.

After removal of the upper layer, the dried pellet was dissolved in 10 µL of sterile distilled water and incubated at 37°C for 30 minutes after the addition of 2 µL RNase A (10 mg/mL). We added gel loading buffer to this solution and loaded it on a 2% agarose gel. We electrophoresed the DNA through the gel at 50 V for one hour and then observed the DNA using a UV transilluminator.

(4) Western blot analysis: Approximately 0.8×10^6 cultured cells were lysed by adding 50–100 µL of lysis buffer to the harvested cells (137 mM NaCl, 15 mM EGTA, 0.1 mM sodium orthovanadate, 15 mM MgCl2, 0.1% Triton X-100, 25 mM MOPS, 100 µM phenylmethylsulfonylfluoride, 20 µM leupeptin, pH 7.2). After centrifugation of the cells at 12,000 rpm for 30 minutes, the upper protein-containing layer was collected.

After measuring the protein concentration using a Bradford Protein Assay Reagent Kit (Pierce Inc., Rockford, IL, USA), equal amounts of protein extracts were loaded on a polyacrylamide gel and electrophoresed. Proteins were transferred to Immobilon-P membranes (Millipore Co., Bedford, MA, USA) and probed with antibodies to caspase 3, PLC-r1, PARP, cIAP2, Mcl-1, and HSP70. Specific protein binding was detected using an ECL Western Blotting Kit (Millipore Co.).

(5) Reverse transcription-polymerase chain reaction: We used TRIzol for RNA isolation. In brief, cells were lysed by the addition of TRIzol after washing in PBS containing 0.1% diethyl pyrocarbamate (DEPC) and chloroform. The upper layer after centrifugation was collected. Finally, RNA was sedimented and dried.

To reverse transcribe the RNA, we prepared an RT mixture containing 2 mL of 5× RT buffer, 1 mL of 10 mM dNTPs, 0.25 mL of Moloney murine leukemia virus reverse transcriptase (200 U/mL, Life Technologies Inc., Gaithersburg, MD, USA), 0.25 mL of RNase inhibitor, 0.5 mL of 50 mM oligo-dT primer, 4 mL of DEPC-water, and 2 mg of total RNA, and then performed cDNA synthesis at 42°C for one hour. After electrophoresis, PCR products were detected on 1.2% agarose gels using a UV transilluminator. The primer sequences used for the PCR were as follows: XBP-1 sense primer 5’-CCTTGTAGTTGAAGCAG-3’ and antisense primer 5’-GGGGCTTGGTATATATGTGG-3’.

RESULTS

1) Effects of α-LA on A549 cells

To determine whether α-LA induces apoptosis in A549 cells, cells were cultured for 18 hours in media containing 0, 0.1, 0.25, 0.5, or 1 mM of α-LA. The collected cells were stained with propidium iodide and cells in the sub-G1 phase were counted using a flow cytometer (Fig. 1A). Apoptosis was detected in groups treated with 0.5 mM or higher α-LA, and the higher the concentration of α-LA, the greater the extent of apoptosis. To determine whether cell death was directly induced by α-LA treatment, we treated A549 cells
Fig. 1. α-Lipoic acid (α-LA) induces apoptosis in lung carcinoma A549 cells. (A) α-LA induced apoptosis in a dose-dependent manner. A549 cells were treated with various concentrations of α-LA for 18 hours. Apoptosis was analyzed by determining the sub-G1 fraction of cells by fluorescence activated cell sorting (FACS). (B) α-LA-induced DNA fragmentation. A549 cells were treated with various concentrations of evodiamine for 18 hours. DNA was extracted and was subjected to electrophoresis.

Fig. 2. α-Lipoic acid (α-LA) induces caspase 3 activation and poly ADP-ribose polymerase (PARP) degradation. A549 cells were treated with various concentrations of α-LA for 18 hours. Equal amounts of cell lysates were subjected to electrophoresis and expression of PARP and caspase 3 was evaluated by western blot analysis. Heat shock cognate protein 70 (Hsc 70) was used as a loading control.

2) Effects of α-LA on caspase-3 activation and PARP cleavage in A549 cells

Western blot analysis of protein extracts of A549 cells cultured for 18 hours with various concentrations of α-LA revealed a decrease in levels of inactivated caspase-3 in the group treated with 0.25 mM α-LA and an increase in PARP cleavage at α-LA concentrations of 0.75 mM and higher (Fig. 2).

3) Analysis of apoptosis and protein expression according to α-LA treatment time

A549 cells treated with 1 mM α-LA were collected at 3, 6, 12, 18, and 24 hours. Cells were stained with propidium iodide and cells in the sub-G1 phase were counted using a flow cytometer. Apoptosis was detected after 12 hours or more of treatment with α-LA, and the apoptosis increased in a time-dependent manner (Fig. 3A).

We used western blot analysis to determine the pathway of apoptosis (Fig. 3B). The expression of the ER stress-related proteins, GRP78 and CHOP, increased with α-LA treatment time. Additionally, levels of inactive caspase-3 and PARP cleavage decreased, and levels of caspase-3 substrate increased with α-LA treatment time. Levels of XIAP, a protein known to inhibit apoptosis, decreased.

4) ER stress-related response and α-LA-induced X-box binding protein splicing

To clarify the relationship between apoptosis and the α-LA-induced ER stress-related response in A549 cells, we treated A549 cells with α-LA (1 mM) or cisplatin (100 μM) (Fig. 4A). Cells treated with α-LA had a rounder morphol-
**Fig. 3.** Time kinetics of α-lipoic (α-LA)-induced apoptosis in A549 cells. (A) A549 cells were treated with 1 mM of α-LA for the designated time periods, and apoptosis was analyzed by determining the sub-G1 fraction of cells by fluorescence activated cell sorting (FACS). (B) Equal amounts of cell lysates were subjected to electrophoresis and expression of glucose regulated protein 78 (GRP78), C/EBP-homologous protein (CHOP), poly ADP-ribose polymerase (PARP), X-linked inhibitor of apoptosis protein (XIAP), and caspase 3 was evaluated by western blot analysis. Heat shock cognate protein 70 (Hsc 70) was used as a protein loading control.

5) Changes in levels of reactive oxygen species in A549 cells treated with α-LA

To determine whether α-LA induces the production of reactive oxygen species (ROS) and to determine the effect of ROS on apoptosis, we treated A549 cells with 1 mM α-LA for the following periods of time: 30 minutes, 1 hour, 3 hours, and 6 hours. Collected cells were stained with DCFH-DA to measure their levels of H2O2. Production of H2O2, measured by a flow cytometer, reached a maximum 30 minutes after α-LA treatment (Fig. 5A). We also detected the maximum degree of fluorescence in the group treated with α-LA for 30 minutes by direct observation of intra-
Fig. 4. Induction of the endoplasmic reticulum (ER) stress-related response and X-box binding protein (XBP) splicing by α-lipoic acid (α-LA). (A) A549 cells treated with 1 mM α-LA or 100 μM cisplatin were stained with an ER tracking dye. (B) α-LA induced proteins related to ER stress. A549 cells were treated with various concentrations of α-LA for 18 hours. Equal amounts of cell lysates were subjected to electrophoresis and the expression of poly ADP-ribose polymerase (PARP), KDEL, C/EBP-homologous protein (CHOP), caspase 3, and myeloid cell leukemia 1 (Mcl-1) was determined by western blot analysis. Heat shock cognate protein 70 (Hsc 70) was used as a protein loading control. (C) α-LA induced X-box binding protein (XBP) mRNA splicing. mRNA from A549 cells treated with α-LA was isolated, and XBP-1 transcripts were detected by reverse transcription-polymerase chain reaction.

cellular changes in H2O2 levels using a fluorescence microscope (Fig. 5B).

6) The role of ROS in α-LA-induced apoptosis

To determine whether ROS in A549 cells was directly involved in α-LA-induced apoptosis, we treated A549 cells with α-LA (1 mM), the antioxidant NAC (10 μM), or α-LA + NAC, and then after culturing the cells for 18 hours, we measured the proportion of cells in the sub-G1 phase using a flow cytometer. In contrast to the group treated with NAC-only, there was a remarkable increase in cells in the sub-G1 phase in the α-LA-only treatment group.

In contrast, the proportion of cells in the sub-G1 phase decreased in both the NAC and α-LA+NAC-treated groups (Fig. 6A). Cells treated with α-LA had a circular morphology and condensed shape, but cells treated with both α-LA and NAC were similar in shape to control group cells (Fig. 6B).

7) Effects of NAC pretreatment on the expression of apoptosis-related proteins induced by α-LA

We treated A549 cells with α-LA (1 mM), NAC (10 μM), or α-LA (1 mM)+NAC (10 μM) and then investigated protein expression by western blot analysis (Fig. 7). Consistent with our previous results, α-LA treatment increased PARP cleavage, decreased levels of inactive caspase 3, and induced the expression of the ER stress-related proteins GRP78 and CHOP. However, in the group treated with both α-LA and NAC, cleavage of PARP and the expression of GRP78 and CHOP were inhibited. There were no changes in the expression levels of BAX or ERK.

DISCUSSION

Apoptosis, in contrast to necrosis, is initiated in response...
α-Lipoic Acid Induces ER Stress-Mediated Apoptosis in A549 Cells

Fig. 5. Induction of reactive oxygen species production following α-lipoic acid (α-LA) treatment of A549 cells. A549 cells were treated with 1 mM α-LA for 30 minutes, 1 hour, 3 hours, or 6 hours and then harvested and stained with 2’7’-dichlorodihydrofluorescein diacetate (DCFM-DA). H2O2 production was measured by fluorescence-activated cell sorting (A), and cell fluorescence was detected using a fluorescence microscope (B).

Fig. 6. Effect of pretreatment of A549 cells with N-acetyl-L-cysteine (NAC) on α-LA-induced apoptosis. A549 cells were treated with 1 mM α-lipoic acid (α-LA) or 10 μM NAC alone or in combination for 18 hours. The sub G1 fraction was measured by fluorescence-activated cell sorting (A), and cell morphology was observed using a microscope (B).

Three types of signals activate caspases: membrane protein signals, mitochondrial signals, and ER signals [17,18]. ER stress-related apoptosis develops due to impaired protein folding. ER-mediated apoptosis is activated by a failed unfolded protein response (UPR) related to pancreatic ER kinase (PKR)-like ER kinase, activating transcription factor 6, or inositol-requiring enzyme 1, and reflects the effort of the cell to prevent further misfolding of proteins when the ER is saturated with unfolded proteins [22-24].

In this study, we investigated the signaling pathways and mechanisms of α-LA-induced mediated apoptosis using the experimental lung cancer cell line, A549. First, we treated lung cancer cells with various concentrations of α-LA and counted cells in the sub-G1 phase (i.e., apoptotic cells) by flow cytometry to assess the anticancer effects of α-LA. We found that apoptosis increased in a concentration- and time-dependent manner in groups treated with α-LA.

However, it was not clear whether this phenomenon was to various signals that activate cell death signaling pathways. Caspase is one of the key proteins in apoptosis. Activated caspases induce cells to undergo apoptosis by activating or degrading proteins involved in DNA replication and gene expression, as well as structural proteins and those involved in cellular homeostasis [21].
Fig. 7. Effect of N-acetyl-L-cysteine (NAC) pretreatment of A549 on \(\alpha\)-lipoic acid (\(\alpha\)-LA)-induced protein expression. A549 cells were treated with 1 mM of \(\alpha\)-LA or 10 \(\mu\)M of NAC alone or in combination for 18 hours. Equal amounts of cell lysates were subjected to electrophoresis and the expression of poly ADP-ribose polymerase (PARP), caspase 3, glucose-regulated protein 78 (GRP78), C/EBP-homologous protein (CHOP), Bcl2-associated X protein (BAX), P21, and extracellular signal-regulated kinase (ERK) was analyzed by western blot analysis.

due to cell necrosis or \(\alpha\)-LA-induced apoptosis. We therefore performed DNA electrophoresis and detected fragmented DNA, confirming apoptosis. In addition, western blot analysis revealed fragmentation of PARP induced by an increase in activated caspase-3 after \(\alpha\)-LA treatment. Western blot analysis also revealed an increase in expression of GRP78 and CHOP, which are ER stress-related proteins, after different periods of \(\alpha\)-LA treatment. GRP78 is an ER stress-responsive protein and CHOP, by increasing the expression of DR5, is involved in ER mediated-apoptosis [25,26].

Together, our results suggest that \(\alpha\)-LA induced apoptosis in the lung cancer cells via the ER stress pathway. The suppression of XIAP, a protein that inhibits apoptosis, was inferred from a decrease in XIAP levels [27] and ER stress was also detected by morphological changes in the cancer cells after \(\alpha\)-LA treatment.

The ER stress-induced transcript factor, XBP-1, can be spliced in two different forms; the short form induces apoptosis [28]. In our study, levels of the long form decreased whereas levels of the short form increased as the concentration of \(\alpha\)-LA increased. These changes in morphology and expression of specific molecules imply that treatment of cells with \(\alpha\)-LA increases ER stress.

ROS is a well-known ER stress-inducing factor [29,30]. We observed an increase in intracellular production of \(\text{H}_2\text{O}_2\) after \(\alpha\)-LA treatment, which suggests a correlation between ROS and ER stress-mediated apoptosis in \(\alpha\)-LA-treated lung cancer cells. Treatment of cells with the antioxidant, NAC, decreased apoptosis and restored the cell morphology to normal. To analyze the mechanism of action of ROS, we performed western blot analysis. We observed no changes in the activity of caspase 3 upon NAC treatment, but found that levels of GRP78 and CHOP decreased. These results imply that ROS acts as a mediator in ER stress-mediated apoptosis induced by \(\alpha\)-LA treatment.

In light of our results, we suggest that \(\alpha\)-LA may be a potent anti-lung cancer agent as it appears to be able to induce ER stress-mediated apoptosis in cancer cells by increasing levels of GRP78, CHOP, and the short form of XBP-1. Furthermore, our experimental results show that ROS is correlated with ER stress. Consequently, we hypothesize that \(\alpha\)-LA acts as a pro-oxidant and apoptosis inducer in A549 cancer cells.

**CONCLUSION**

We investigated the effects of \(\alpha\)-LA on the induction of apoptosis, DNA fragmentation, and changes in the expression of apoptosis-related proteins in A549 lung cancer cells. Our major findings are as follows:

- \(\alpha\)-LA treatment induced apoptosis and DNA fragmentation in A549 cells in a concentration- and time-dependent manner.
- \(\alpha\)-LA treatment increased levels of active caspase 3 and cleavage of PARP as well as expression of the ER stress response-related proteins GRP78 and CHOP and the transcription of the active form of XBP-1. Levels of the anti-apoptosis protein, XIAP, decreased in response to \(\alpha\)-LA treatment.
- \(\alpha\)-LA treatment increased ROS levels, while NAC (antioxidant) treatment inhibited the \(\alpha\)-LA-induced increase in expression of apoptosis- and ER stress response-related proteins.
These results provide a rationale for the clinical use of α-LA as an anticancer agent for treating lung cancer.

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