Complement in Sickle Cell Disease: Are We Ready for Prime Time?

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Abstract: Sickle cell disease (SCD) is a widely spread inherited hemoglobinopathy that includes a group of congenital hemolytic anemias, all characterized by the predominance of sickle hemoglobin (HbS). Its features are anemia, predisposition to bacterial infections and complications such as vaso-occlusive crisis (VOC) or delayed hemolytic transfusion reaction (DHTR), which lead to increased rate of morbidity and mortality even in the era of hydroxyurea. The interaction between sickle cells, neutrophils, platelets or endothelial cells in small vessels results in hemolysis and has been considered the disease’s main pathophysiological mechanism. Complement activation has been reported in small cohorts of SCD patients, but the governing mechanism has not been fully elucidated. This will be important to predict the patient group that would benefit from complement inhibition. Until now, eculizumab-mediated complement inhibition has shown beneficial effects in DHTR, with limited reports in patients with VOC. In the meantime, several innovative agents are under clinical development. Our state-of-the-art review summarizes current data on 1) complement activation in SCD both in steady state and crisis, 2) underlying mechanisms of complement over-activation for the clinician in the context of SCD, 3) actions of hydroxyurea and new therapeutic approaches including indirect involvement in complement activation, and 4) novel paradigms in complement inhibition.

Keywords: sickle cell disease, complement system, eculizumab, complement inhibition

Introduction

Sickle cell disease (SCD) still remains a devastating and dire condition with subsequent increased rates of morbidity and mortality in the era of hydroxyurea. It is a genetic, autosomal recessive condition caused by a single β-globin gene mutation on chromosome 11, leading to an amino-acid substitution (Glutamine -> Valine, β⁰ -> β⁰), thus resulting in the formation of the abnormal hemoglobin S (Hbs). Hbs is a tetramer with abnormal physicochemical properties that will polymerize under hypoxic stress, leading into the sickling of circulating red blood cells (RBCs).

Our current understanding of the disease’s pathophysiology has mostly focused on the interaction between red blood cells and neutrophils, platelets or endothelial cells in small blood vessels. More recently, the effects of red blood cell adhesion and hemolysis that result in vaso-occlusive crisis (VOC) have also been investigated. A rather neglected entity in SCD that seems to be a key component of this pathophysiolo

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activation of the complement cascade is one of the hallmarks in this inflammatory process. In general, systemic complement dysregulation induces host tissue damage. Biomarkers of complement activation in the serum of SCD patients were revealed in various clinical studies, along with increased levels of C5b-9 – which is the definitive marker of complement activation - and other surface-bound C3 fragments not only on patients’ erythrocytes, but also in skin and kidney biopsies. Additionally, further experience about the role of complement activation and inhibition has been gained in the context of other disorders. Findings of complement activation in β-thalassemia major, thrombotic microangiopathies (TMAs), antiphospholipid antibody syndrome, HELLP syndrome and malaria enhance our efforts in understanding complement activation and its role in the pathophysiology of SCD.

Our review originated from the aspiration to provide further evidence in the investigation of complement activation in SCD. This regards a summary of current data on complement activation both in steady state and crisis, probable elemental mechanisms of complement activation in the frame of SCD, actions of hydroxyurea, novel therapeutic approaches including indirect involvement in complement activation and novel endeavours of complement inhibition; all under the prism of a clinician’s point of view.

**Complement Activation in SCD**

Scientific effort to explain increased rates of bacterial infections and mortality in SCD patients led to the investigation of a possible involvement of the complement system in the disease’s pathophysiology, with the hypothesis that this excessive sensitivity to infections was a result of defective opsonization. Complement components, such as C3b and iC3b, are key ingredients of innate immune system and not only opsonize pathogens but also generate sequential adaptive immune responses. As a result, the complement system was placed at the center of scientific community’s research.

Francis and Womack were the first investigators who reported remarkable complement activity in the serum of SCD patients in 1967. Six years later, Johnston et al followed the same hypothesis and confirmed complement over-activation through the AP pathway in SCD. In 1976, Wilson et al revealed total AP function (AP50) in abnormally low levels, along with significantly depressed levels of factor B in serum of SCD patients compared to normal controls. Generally, initial surveys’ results with the depiction of depressed levels of Factor B, functional deficiency, and C3 inferred to the assumption that defective AP activation was responsible for defective opsonization. However, the possible underlying mechanisms were not further investigated.

Later studies managed to measure Bb fragments and C3, P (properdin) complexes in serum from SCD patients in crisis. This study revealed elevated concentrations of both factors and was consistent with the hypothesis that irreversibly sickled red cells, or membrane spicules and vesicles are the site of increased AP activation. Furthermore, complement over-activation markers such as Bb, C5a, soluble sC5b9 were measured in patients’ serum or plasma and associated with SCD in steady state or in crisis. Additional results reported over-activation of the AP pathway in painful crisis periods, in contrast with asymptomatic circumstances.

On the other hand, more measurable molecules of the complement system such as factors D, I and H were found to be within normal range or even reduced but not statistically significantly in SCD patients who exhibit complement activation. Moreover, a defective regulation mechanism of soluble C5b-9 has been correlated to a major increase in lysis of sickled cells. In addition, elevated soluble C5b-9 and sC5b-9 vascular deposition were revealed.

Other studies showed that regulation of C5b-9 (membrane attack complex/MAC) formation in sickle erythrocytes was defective, especially in the densest cells. The defect is characterized by increased binding of C5b-7 and of C9 to more dense sickle cells, and as a result, sickle cells are more susceptible to C5b-9-mediated (reactive) lysis. Among the dense sickle cells, irreversibly sickled cells (ISC) are those that are more sensitive to lysis. Interestingly, dense ISC have the ability to directly activate the AP. Their density propagates an increased AP activation when compared to sickled RBCs or control RBCs. Defective modulation from surface-anchored complement regulators on dense sickled RBCs, along with an increased amount of C3 are potent complement activators.

Since C3a and C3b fragments can be produced by either pathway, whereas Bb is specific to the AP and C4b both to the classical pathway (CP) and the lectin pathway (LP), several surveys used additional methods to block CP activation, in order to achieve only AP to be activated. Collectively, these data are conclusive in
that complement activation is a major characteristic of SCD and sickled RBC’s death in vivo or in vitro is AP mediated. This mechanism of complement over-activation needs further exploration. However, numerous observational studies, mouse model data and experiments have attempted to shed a light in this obscure area of SCD pathophysiology.

Underlying Mechanisms of Complement Activation in SCD for the Clinician

Complement system and its role in the pathophysiology of SCD is considered a complex and vast entity. The key aspects that bind complement and SCD are cell-free heme and hemolysis-derived molecules, C5a together with P-selectin, the surface complement regulatory protein, the hypercoagulant state in SCD, the amendment of the bilayer membrane structure in sickle RBCs and the very essence of SCD pathobiology: the vicious cycle of ischemia/reperfusion (I/R). These mechanisms can forward complement activation through different, distinct pathways.\(^\text{32,35,36}\) Circulating microvesicles (MVs) of damaged SCD erythrocytes during oxygenation–deoxygenation sickling cycles have been identified with the ability to activate the complement system, and as a result, they can be used as biomarkers.\(^\text{35,37,38}\)

AP activation due to alterations in the organization of membrane phospholipids of sickled RBCs is a speculated adjunctive mechanism. Early studies made reports of in vivo AP activation in the circulations of SCD patients during painful crisis\(^\text{32}\) and subsequent studies further evidenced an abnormal translocation of the sickled RBC membrane phospholipids, which transpires as a potential locale of AP activation in SCD.\(^\text{14,39}\)

Chronic intravascular hemolysis is identified as an essential characteristic of SCD.\(^\text{40}\) Free extracellular heme interacts with toll-like receptor 4 (TLR-4) leading to the production of cytokines and adhesion molecules that promote inflammatory response and ultimately VOC. Endothelial TLR-4 signaling is rather critical for SCD VOC and recent data suggest that targeted inhibition of heme-induced TLR-4 signaling can actually reduce VOC.\(^\text{41}\) Moreover, free heme is released in circulation due to hemoglobin degradation during hemolysis. Counter protective mechanisms are not sufficient to prevent hemolysis from accumulating free heme in plasma.\(^\text{42}\) Recent studies exhibit increased plasma free heme (PFH), along with MVs, in many SCD patients and recognized their devastating effects – most notably intense kidney VOC, acute chest syndrome (ACS) not only in mouse models but also pediatric population.\(^\text{43–45}\) However, a recent single-cell RNA study reported that liver macrophages, are turned into “erythrophagocytes” that dominate the macrophage population and provide an on-demand adaptation to hemolytic driven oxidative stress.\(^\text{46}\) Recent studies report that loss.

C5a anaphylatoxin, an inflammatory molecule, has the ability to propagate P-selectin-mediated vaso-occlusion. A recent study by Vercelloti et al demonstrated that C5a alone when given to SS mice promotes VOC. Another finding was that C5a up-regulates P-selectin and vWF in vitro and in vivo in the same population, inducing P-selectin mediated VOC.\(^\text{36}\) In line with these findings, another study established that AP opsonins, anchored on sickled RBCs, serve actually as adhesion sites on vascular endothelium, resulting in the irregular trajectory of these cells by involvement of P-selectin/Mac-1.\(^\text{14}\) Other studies revealed the capacity of P-selectin to bind C3b and C3 (H2O)-like, promoting complement activation.\(^\text{47,48}\)

Early evidence suggests that abnormal expression of surface complement regulatory proteins is not just a key element of paroxysmal nocturnal hemoglobinuria (PNH). These proteins protect complement-mediated cell lysis and their deficiency or dysfunction has been associated with the pathophysiology of other diseases as well.\(^\text{49–52}\) Most studies have focused on surface-bound complement regulators – CD55, CD59, CD46 and CR1 -. In the frame of SCD, some studies revealed decreased CD55 and CD59 on sickled erythrocytes, in comparison with control subjects.\(^\text{34,54}\) Other surveys showed difference in the expression of these regulators on dense vs non-dense RBC but not in the total population of RBC between controls and SCD patients.\(^\text{12}\)

A growing consensus has been achieved between the complement and coagulation/fibrinolysis pathways in the context of clinically significant tissue damage and activation of inflammatory process. Thrombin effectively catalyzes the cleavage of both C3 and C5 acting as convertase, producing active anaphylatoxins and other complement sub-products.\(^\text{55}\) Interestingly, MVs propagate complement activation through a thrombin-mediated signal route both in vitro and in vivo, independently of CP or AP activation.\(^\text{56}\) Another noteworthy finding was that thrombin acts as an alternative molecule for C5-convertase in the absence of C3.\(^\text{57}\)
Last but not least, I/R underlies the pathophysiology of SCD. The paradox of this cycle is that tissue damage is caused not only by ischemia but also by the following reperfusion-mediated reentry of oxygen. I/R results in complement activation and neutrophilic stimulation, along with rapid oxygen production and ultimately contributes to tissue damage in various organs. Significant interest has been risen for the role of the LP and its role in inflammatory responses during I/R injury. There have been reports of “bypass” activation events that lead to lectin-mediated cleavage of C3, and as a result, I/R tissue damage is caused. Moreover, certain deficiencies of mannose-binding lectin (MBL) have been positively associated with better outcomes in experimental models of renal reperfusion injury after transplantation. More recent observations report that inhibition of mannose-binding lectin-associated serine protease (MASP2), the effector enzyme of LP, may be beneficial in conditions such as thrombotic microangiopathy (TMA) post allogeneic hematopoietic stem cell transplantation (alloHSCT).

In general, all these proposed mechanisms share in common the dominant role of complement activation – especially through the AP pathway – in the pathophysiology of SCD. Some studies revealed elevated biomarkers of complement activation in both steady state and crisis of SCD patients or mouse models. In other cases, despite preliminary data, no complement activation was reported in association with the factors studied. Nevertheless, there are still many elements of complement deregulation that have yet to be explored. Importantly, new therapeutic perspective is needed, since despite the fact that SCD is the first genetic disease described, treatment evolution seems to be stuck in the era of hydroxyurea.

Hydroxyurea and New Therapeutic Approaches

Hydroxyurea was the first drug approved by the Food and Drug Administration (FDA) to be administered in adult SCD patients and it remains the main therapeutic choice for more than 30 years. It has many features that make it an ideal drug for SCD and can provide multiple benefits through several mechanisms of action. Over the past 30 years, substantial experience has been earned in matters of safety and efficacy for SCD patients.

Its main effect – through a mechanism still not fully understood – is the induction of fetal hemoglobin (HbF), high levels of which reduce significantly SCD severity. Hydroxyurea improves erythrocyte deformation, decreases the population of circulating neutrophils and reticulocytes and reduces the expression of adhesion receptors. Early findings of principle studies were followed by prospective Phase 1/2 trials that demonstrated efficacy first in affected adults, adolescents and children, and more recently even in infants and toddlers.

In a double-blind, randomized clinical trial, hydroxyurea was proved to be effective and efficient in reducing the frequency of painful crises in adults who had a history of three or more such crises per year. A mean follow-up of 21 months was reported at the end of the trial. This study reported that patients assigned to hydroxyurea had lower annual rates of crises, and fewer of them suffered from acute chest syndrome or underwent transfusion in comparison with patients that received the placebo. Hydroxyurea treatment did not cause any severe adverse effects.

Hydroxyurea has proven its clinical efficacy and become acknowledged as the main therapeutic option for many SCD patients. It reduces number of painful crises and hospitalizations and is useful to treat acute VOCs both in adults and children. Infants receiving hydroxyurea preserve their splenic function. Furthermore, hydroxyurea has been correlated to fine growth and development, along with no delays on sexual maturation, including menarche.

Interestingly, a recent study by Roumenina et al. revealed novel evidence of an indirect effect of hydroxyurea in complement activation. This study revealed that although complement activation is a rather common event in the pathophysiology of SCD that is associated with the development of dense RBCs and hemolysis, treatment with hydroxyurea may partly alleviate this effect.

More specifically, complement activation was shown by sCSb-9 concentration and upregulation of CD46 and it was reported substantially reduced in SCD patients treated with hydroxyurea.

However, hydroxyurea has many contraindications as well. Pregnancy or unwillingness to use contraception, history of severe hydroxyurea toxicity or hypersensitivity and history of significant non-compliance with recommended medical care, deprive patients of the benefits of this myelosuppressive agent. All in all, despite its multiple benefits, an important number of patients do not achieve satisfactory clinical response.

Due to the fact that hydroxyurea along with anticoagulants remain the sole treatment for SCD for over 30 years,
several novel treatments are currently under advanced clinical development in SCD. l-Glutamine is a fundamental amino acid in the process of pyridine synthesis of nucleotides, including nicotinamide adenine dinucleotide (NAD) and glutathione, as well as glutamate, which becomes essential during exposure to oxidative stress. Thus, glutamine availability is important in SCD.  

Furthermore, clinical studies have shown that administering L-glutamine 0.6 g/kg/day compared with placebo in children and adults with SCD and at least 2 episodes of crisis during the last year provided evidence that L-glutamine is safe and associated with a reduction in painful episodes and in hospitalizations.

Another novel agent is voxelotor. It is an orally administered drug that increases Hb’s affinity to oxygen and inhibits the sickling of RBCs. Several clinical trials have reported its benefits. Crizanlizumab is a new, FDA-approved drug for the prevention of VOC. It is a humanized IgG2 kappa monoclonal antibody that binds to P-selectin and blocks interactions with its ligands including P-selectin glycoprotein ligand 1. Binding P-selectin on the surface of the activated endothelium and platelets blocks interactions between endothelial cells, platelets, RBCs, and leukocytes. Baseline analysis from systematic literature review and network meta-analysis proved that this monoclonal antibody can reduce crises and hospitalization days in comparison with placebo or other therapeutic agents with an acceptable adverse event profile in adult and adolescent SCD patients. Furthermore, binding of P-selectin by crizanlizumab also inhibits C5-a, which may be beneficial for SCD patients.

Hematopoietic cell transplantation (HCT) is a potential definitive cure for SCD. The goal is to eliminate the sickle erythrocyte and its cellular progenitors and replace them with donor hematopoietic pluripotent stem cells that will produce mature erythrocytes which will not express sickle hemoglobin (Hb S), thereby reducing Hb S levels to those associated with the trait condition. However, the risk of post-transplantation severe adverse events must be balanced against SCD’s own serious complications which are known for causing morbidity and even death.

Genetic modulation of phenotype may also have curative potential for SCD patients. SCD has different phenotypes due to differences in the genetic makeup of the affected patient. Although the exact genes are still under extensive study, further advances in our understanding of the pathophysiology imply that genes involved in numerous mechanisms might have epistatic potential in SCD.

This may actually lead to gene therapy with the aim to replace the defective gene with a normal one.

Additional novel agents are being tested that target abnormal interactions between RBCs and their surrounding micro-environment (specifically the endothelium, neutrophils and platelets), as well as the inflammatory and prothrombotic setting. Some of these drugs may indirectly interact with the complement system. A recent review reported 20 Phase 1 studies, 10 Phase 2 and 3 Phase 3. Of all these studies, eight investigate drugs which are applied during an acute VOC, while the others are aimed at the reduction of VOC or adjustment of biomarkers. Table 1 summarizes novel approved and under development therapies in SCD.

As our understanding in the pathophysiology of sickling is constantly improving, many potential novel therapeutic agents are being in development. This is indeed an exciting and optimistic time in SCD research. Nevertheless, the multifactorial and vast nature of the disease is more likely to require combination therapies, and some single agents are doomed to be unsuccessful. More studies are needed in this effort to unravel the mystery of SCD and its pathophysiology.

**Novel Paradigms in Complement Inhibition**

Complement inhibition has already been tested in Paroxysmal Nocturnal Hemoglobinuria (PNH) with two FDA-approved drugs: eculizumab since 2007 and ravulizumab since 2019. They are both monoclonal antibodies that block terminal complement activation.

Ravulizumab has a longer half-life, thus providing sustained C5 inhibition while eculizumab has already been used in other diseases and was found to be safe and have long-term efficacy. In order to overcome eculizumab’s own limitations, several other novel inhibitors are currently in advanced clinical development. Novel proximal inhibitors are being tested aiming at proteins within the early stages of the complement cascade, such as C3, factor B and factor D. Figure 1 summarizes the complement cascade, and inhibitors’ target points which could provide potential benefits in SCD.

Despite our better knowledge about complement’s role in SCD, advanced options for new treatment plans are not extensively explored. Eculizumab has been used with success as a salvage therapy in SCD patients presenting with delayed hemolytic transfusion reaction (DHTTR), as it has
been documented in some case reports.\textsuperscript{87-91} Patients received the monoclonal antibody after multiple lines of treatments and after confirmation of complement activation by analyses. It managed to overcome and reverse complement-mediated hemolysis and its devastating effects.\textsuperscript{87,89} It has also been found safe to be used during pregnancy as revealed in at least one case report.\textsuperscript{92} More recently, a study summarized the results of 18 SCD patients with DHTR receiving eculizumab.\textsuperscript{93} Most recent guidelines by the American Society of Hematology (ASH) recommend complement inhibition in patients with DHTR and ongoing hyperhemolysis.\textsuperscript{94}

In addition, eculizumab has also been used in patients suffering with SCD crisis. These patients were considerably benefited by the reduction of heme-induced thrombo-inflammation through C5 inhibition.\textsuperscript{95} Importantly, eculizumab was found to be effective even in one case of bone marrow necrosis of a young adult SCD patient, where other lines of treatment failed.\textsuperscript{96} It has also found further use in patients with hemoglobinopathies who develop TMA after alloHSCT.\textsuperscript{97} Stress factors during transplantation process along with their constant underlying hemolytic condition puts these patients at high risk for complement-mediated hemolysis. These patients seem to benefit from inhibition of complement activation, thus keeping TMA activity biomarkers under careful monitoring can help establish the right moment for applying treatment with eculizumab.\textsuperscript{98} Moreover, inhibition of proximal complement activators, such as C3 inhibition with Compstatin or its analog AMY-101, is currently under investigation. Compstatin analogs have been used in preclinical and clinical studies for a plethora of disease models, such as age-related macular degeneration, sepsis, PNH, hemodialysis-induced inflammation and transplantation.\textsuperscript{99}

Microvascular thrombosis and endothelial dysfunction are major characteristics of SCD and its complications. Our experience from severe coronavirus/COVID-19 infection may prove to be useful in SCD management.\textsuperscript{100} Undeniably, early reports indicate that severe COVID-19 infection bears many similarities to complement-mediated TMA.\textsuperscript{101} We have the knowledge from previous studies of other coronaviruses that inhibition of C3 activation results in weakening the lung-directed proinflammatory conditions. The genetic absence of C3 and the blockade of downstream complement effectors as well, have given rise to significant optimism.\textsuperscript{102,103} In line with these findings, AMY-101 – a C3 inhibitor – has been successfully administrated in a patient suffering from severe COVID-19 infection.\textsuperscript{100} Eculizumab was proven to be successful in such cases.\textsuperscript{104} As a matter of fact, such encouraging results led to ongoing clinical trials aiming at results that could not only shape future COVID-19 management but also affect the rest of complement-mediated diseases.

| Mechanism | Clinical Trials' Results | Status |
|-----------|--------------------------|--------|
| 1) Selectin inhibitors | | |
| Crizalizumab (humanized anti-P selectin mAB) | Reduction in number of pain crisis | FDA approved |
| Rivapansel (pan-selectin inhibitor) | Reduction in opioid use during VOC | Pending |
| Sevuparin (anti P-selectin heparin derivative) | Possible preventive use | Pending |
| 2) Adenosine and invariant NK T cells | | |
| Regadenoson (A2A receptor agonist) | Decreased activation of invariant NK T Cells | Pending |
| 3) Leukotrienes | | |
| Zileuton (5 lipo-oxygenase inhibitor) | Well tolerated both in children and adults | Pending |
| Mometasone (inhaled corticosteroid) | Reduction in pain scores | Pending |
| 3) Antioxidants | | |
| L-Glutamine (NADH production) | Reduction in VOC events | FDA approved |
| N-acetylcysteine (increases glutathione, weakens oxidative stress) | Diary data suggest no impact on pain scores - lab measures of VWF activity under evaluation | Pending |
| 4) Enhanced nitric oxide bioavailability | | |
| L-Arginine (NO substrate) | Reduction in opioid use and pain scores | Pending |
| IMR-687 (PDE9 inhibitor) | Reduction in VOC and opioid use | FDA has granted Rare pediatric disease designation |
| 5) Other agents | | |
| Voxelotor | Inhibition of red cell sickling – reduction in hemolysis | FDA Approved |
However, special attention should be paid in complement inhibition in the context of SCD. The biggest barrier in complement inhibition seems to be the increased risk of infections. These patients are prone to infection for a number of reasons including splenic dysfunction, defective opsonization and impaired adaptive immunity. Atypical viruses and encapsulated bacteria are at the front line. Use of eculizumab is associated with a 1000-fold to 2000-fold increased incidence of meningococcal disease despite preventive vaccination. Therefore, SCD patients are even at a higher risk for invasive infections because of probable complement inhibition.

**Conclusions and Future Considerations**

Our comprehension of complement activation and its role in the pathophysiology of SCD has expanded over the course of the past few decades. Of note, complement regulation and its mechanisms require further interpretation in the context of the disease. Current results are more than encouraging. However, improvements need to be made in complement biomarkers monitoring, in order to achieve a sufficient, modern approach to SCD management.

This review presents data that underline the expanding need for supplementary prospective studies – with more sensitive biomarkers and clinical assays included – so as to evaluate complement activation in SCD and determine selection of patients with clinical characteristics that are potentially predisposed to complement activation. Strong criteria are needed for early recognition of patients that may be benefited by complement inhibition. Additionally, should complement inhibition utilize in SCD other questions arise about the way they would be administered. These inhibitors will probably be able to stop a crisis or pain even in VOC; however, more clinical studies are needed to determine their place in treatment plans.
should also not be forgotten that hydroxyurea and other novel treatment options may also have beneficial effects on the vicious cycle that attenuates complement activation.

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Disclosure

Eleni Gavrilaki reports serving on Alexion and Omeros advisory boards, outside the submitted work, and has consulted for Alexion Pharmaceuticals, Inc and Omeros Pharmaceuticals, Inc. The authors report no other potential conflicts of interest for this work.

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