Mean Field Approximation To a Spatial Host-Pathogen Model

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We study the mean field approximation to a simple spatial host-pathogen model that has been shown to display interesting evolutionary properties. We show that previous derivations of the mean field equations for this model are actually only low-density approximations to the true mean field limit. We derive the correct equations and the corresponding equations including pair-correlations. The process of invasion by a mutant type of pathogen is also discussed.

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Ecolologists have become increasingly aware of the importance of space in evolution and epidemiology. It has become apparent that inhomogeneities in spatially distributed populations can fundamentally change the dynamics of these systems \cite{1–5}. A simple lattice host-pathogen model, first introduced by Tainaka \cite{6}, has become a paradigm for the study of spatially extended dynamics. In epidemiology, the model was introduced by Comins et al. \cite{7} and further studied in refs. \cite{8–11}. The model is a probabilistic cellular automaton, in which the state of each site is updated according to the state of nearby sites. In insight in the role of the parameters and global behavior of the system can be obtained from the mean field approximation, when all hosts and pathogens experience the same local environment. This first approximation to the dynamics can be improved by including pair correlations.

The mean field equations for this host-pathogen model were first presented by Rand et al. \cite{10} (see also \cite{12, 13}). Corrections due to pair correlations were considered in \cite{13}. Satulovsky and Tomé \cite{14} have also derived the mean field and pair correlation equations for a similar model. In this paper we argue that the mean field equations and the pair approximation in refs. \cite{10, 12, 13} are actually only approximations to the correct equations. In the derivations in these works, the probability of infection of a susceptible host by an infected individual is overcounted, as is the probability of a susceptible host being born on an empty site. These equations are valid only for small rates of transmissibility of the pathogen and for small birth rates of susceptible hosts, when these overcountings are not important. We obtain the correct mean field equations for the well established model of Tainaka \cite{6} as well as the pair correlation equations. The process of invasion by a mutant type is also discussed.

We consider a two-dimensional spatial lattice with \(N\) sites. The state of each site can be either empty (0), occupied by a susceptible (S), or occupied by an infected individual (\(I_\tau\)). At each time step, the susceptible hosts reproduce into each nearby cell with probability \(q\) if that cell is not yet occupied. The probability of reproduction is independent for each neighbor. An infected host dies with probability \(v\), the virulence. Finally an infected host \(I_\tau\) causes a neighboring uninfected host to become infected with probability \(\tau\), the transmissibility. The subscript \(\tau\) allows more than one type to be present on the lattice. For the sake of simplicity we shall re-label the state (S) as (1) and (\(I_\tau\)) as (\(\tau\)).

The state of the system is denoted by \(\sigma = (\sigma_1, \sigma_2, \ldots, \sigma_N)\), where \(\sigma_i\) is the state at the i-th site. We call \(\omega_i(\sigma)\) the transition probability per unit time of the state at the site \(i\). The transition probabilities are:

\[
\omega_i(\sigma) = \begin{cases} 
1 - (1 - q)^{n_i} & \text{if } \sigma_i = 0 \\
1 - (1 - \tau)^{m_i} & \text{if } \sigma_i = 1 \\
v & \text{if } \sigma_i = \tau
\end{cases}
\]

(1)

where \(n_i = \sum_j \delta(\sigma_{i+j}, 1)\) is the number of susceptible neighbors to \(i\), and \(m_i = \sum_j \delta(\sigma_{i+j}, \tau)\) is the number of infected neighbors to \(i\). The sum over \(j\) runs through all the nearest neighbors. We call \(\zeta\) the total number of nearest neighbors. Note that, since a susceptible cannot be infected twice, the probability of becoming infected has to be calculated as “one minus the probability of not becoming infected”. This gives rise to the term \(1 - (1 - \tau)^{m_i}\). Similarly, an empty site can become occupied only by offspring of a single susceptible neighbor host, thus the term \(1 - (1 - q)^{n_i}\).

Allowing for the simultaneous existence of different types of pathogens, and mutation between the types, enables the study of evolutionary dynamics, where different types compete for the same susceptibles. When a pathogen of transmissibility \(\tau\) reproduces, its offspring has probability \(\mu\) of having transmissibility \(\tau \pm \epsilon\). For simplicity we assume that \(\tau\) may take only discrete values \(\tau_k = k\epsilon, k = 1, 2, \ldots, M\) where \(M = 1/\epsilon\). The state occupied by a host infected with pathogen \(\tau_k\) will be labeled (\(\tau_k\)). The transition probability per unit time of the state at the site \(i\) is then given

\[
\omega_i(\sigma, \tau) = \begin{cases} 
1 - (1 - q)^{n_i} & \text{if } \sigma_i = 0, \tau = \tau_k \\
1 - (1 - \tau)^{m_i} & \text{if } \sigma_i = 1, \tau = \tau_k \\
v & \text{if } \sigma_i = \tau, \tau = \tau_k
\end{cases}
\]

(2)

where \(\epsilon = 1/M\) is the step size in the transmissibility. The term \(\omega_i(\sigma, \tau)\) is the transition probability per unit time of the state at the site \(i\) when the pathogen at the site is \(\tau\). The process of invasion by a mutant type of pathogen is also discussed.
by

\[
\omega_{ik}(\sigma) = \begin{cases} 
1 - (1 - g)^{n_i} & \text{if } \sigma_i = 0 \\
\Omega_k & \text{if } \sigma_i = 1 \\
v & \text{if } \sigma_i = \tau_k \\
\end{cases}
\]  

(2)

where \(\Omega_k\) is the probability that susceptible hosts become infected by the pathogen with transmissibility \(\tau_k\):

\[
\Omega_k = \chi \left[ \frac{\mu}{2} p_{k-1} + \frac{\mu}{2} p_{k+1} + (1 - \mu) p_k \right]
\]

(3)

with

\[
\chi = \frac{1 - \prod_j (1 - \tau_j)^{m_j}}{\sum_j p_j}
\]

(4)

and \(p_k = 1 - (1 - \tau_k)^{m_k}\). For \(\Omega_1\) and \(\Omega_M\) the terms in \(p_0\) and \(p_{M+1}\) should be discarded and the factor \((1 - \mu)\) replaced by \((1 - \frac{\mu}{2})\).

Approximate mean field equations for the lattice model with a single pathogen type were obtained using simple considerations in refs. [12, 13] in the context of the same spatial model and in [14] for a similar spatial predator-prey model. These equations fail to take into account the fact that a susceptible cannot be infected twice or that an empty site cannot accommodate more than one offspring. In order to find the correct mean field limit of the spatial model, we have derived the master equation for the probability of the system as a whole. For the present case of multiple pathogen types, it reads

\[
\frac{dP(\sigma, t)}{dt} = \sum_{i=1}^{N} \sum_{k'} \left[ P(\sigma_{k'}, \omega_{i\sigma_{k'}}) - P(\sigma) \omega_{ik'}(\sigma) \right]
\]

(5)

where \(P(\sigma, t)\) is the probability of finding the system in the state \(\sigma\) at time \(t\). The sum over \(k'\) should be included only when the argument of \(\omega_{ik'}\) is \(\tau_{k'}\) in the first term and when it is 1 in the second term. We refer to [15] for the derivation.

Given any function of the states, \(f(\sigma)\), its ensemble average is given by \(\langle f(\sigma) \rangle = \sum_{\sigma} P(\sigma, t) f(\sigma)\). Differentiating with respect to \(t\) and using Eq. (5) we find

\[
\frac{d\langle f(\sigma) \rangle}{dt} = \sum_{i=1}^{N} \sum_{k'} \langle [f(\sigma_{k'}) - f(\sigma)] \omega_{ik'}(\sigma) \rangle
\]

(6)

where again the sum over \(k'\) exists only when the argument of \(\omega_{ik'}\) is \(\tau_{k'}\) in the first term and when it is 1 in the second term.

**Single type of pathogen** – In the case of a single type of pathogen the sum over \(k'\) disappears and the transition probabilities simplify to equation (1). To obtain an equation for the average probability of empty sites, we consider \(f(\sigma) = \delta(\sigma_i, 0)\). Then \(P_i(0, t) = \langle \delta(\sigma_i, 0) \rangle\) is the average probability that site \(i\) is in the state \((0)\) in the time \(t\). Similarly we define \(P_i(1, t)\) for the average probability of susceptible hosts and \(P_i(\tau, t)\) for the average probability of infected hosts. In the approximation where the \(P_i\)'s are independent of the site, they become the mean field probabilities of each state, which we call \(x(t) = P_i(1, t)\), \(y(t) = P_i(\tau, t)\) and \(z(t) = P_i(0, t) = 1 - x(t) - y(t)\). According to Eq. (6)

\[
\frac{dP_i(1, t)}{dt} = \sum_{n=1}^{N} \langle f(\sigma) \omega_n(\sigma) - f(\sigma) \omega_n(\sigma) \rangle
\]

(7)

Since \(f(\sigma)\) differs from \(f(\sigma)\) only if \(n = i\), only this term contributes to the sum. Noticing that \(\delta(\sigma, 1) = \delta(\sigma, 0)\) we get

\[
\frac{dP_i(1, t)}{dt} = \langle \delta(\sigma_i, 0) [1 - (1 - g)^{n_i}] \rangle - \langle \delta(\sigma_i, 1) [1 - (1 - \tau)^{m_i}] \rangle .
\]

(8)

Similarly we obtain

\[
\frac{dP_i(\tau, t)}{dt} = \langle \delta(\sigma_i, 1) [1 - (1 - \tau)^{m_i}] - \delta(\sigma_i, \tau) v \rangle .
\]

(9)
The averages can be calculated expanding the binomials \((1 - g)^n\) and \((1 - \tau)^n\) and approximating all pair (and higher) correlations by simple products of one site averages \([14, 16]\). We obtain

\[
\frac{dx}{dt} = z h_\zeta(gx) - x h_\zeta(\tau y) \tag{10}
\]

and

\[
\frac{dy}{dt} = x h_\zeta(\tau y) - vy . \tag{11}
\]

where we have defined the auxiliary function

\[
h_\zeta(\alpha) \equiv 1 - (1 - \alpha)^\zeta \tag{12}
\]

These are the correct mean field equations for the host-pathogen model, taking fully into account the fact that a susceptible host cannot become infected twice and that an empty site can accommodate only one offspring. One important consequence of including this feature, usually present in spatial models (see however \([14]\)), is that the equations become nonlinear in \(g\) and \(\tau\), losing the scaling invariance that allows one to consider only \(g + \tau + v = 1\) \([14]\). The approximate equations in \([10, 12-14]\) correspond to take \(h_\zeta(\alpha) \approx \zeta\alpha\).

**Two types of pathogens** – When two types of pathogens are present, the competition that arises between them gives rise to a very rich dynamics. We assume that the two types, that we call resident and mutant, have the same virulence \(v\), but different transmissibility rates, \(\tau_1\) for the resident and \(\tau_2\) for the mutant. There are four one-site variables, \(z, x, y_1\) and \(y_2\), corresponding to the probabilities of empty sites, susceptible hosts, infected by the resident pathogen and infected by the mutant pathogen respectively. Once again \(z = 1 - x - y_1 - y_2\).

The calculation of the mean field equations in this case is more involved, and we refer to \([15]\) for the details. The result is

\[
\frac{dx}{dt} = z h_\zeta(gx) - x h_\zeta(y_1 \tau_1 + y_2 \tau_2) \tag{13}
\]

\[
\frac{dy_1}{dt} = \bar{\chi} x \left\{ \frac{\mu}{2} h_\zeta(\tau_2 y_2) + \left( 1 - \frac{\mu}{2} \right) h_\zeta(\tau_1 y_1) \right\} - vy_1 \tag{14}
\]

\[
\frac{dy_2}{dt} = \bar{\chi} x \left\{ \frac{\mu}{2} h_\zeta(\tau_1 y_1) + \left( 1 - \frac{\mu}{2} \right) h_\zeta(\tau_2 y_2) \right\} - vy_2 \tag{15}
\]

where

\[
\bar{\chi} = \frac{h_\zeta(\tau_1 y_1 + \tau_2 y_2)}{h_\zeta(\tau_1 y_1) + h_\zeta(\tau_2 y_2)} . \tag{16}
\]

It can be shown \([15]\) that the approximate equations lead to complete invasion if a small amount of a more transmissible mutant pathogen is introduced in the resident population, whereas the full mean field equations lead to co-existence if \(|\tau_2 - \tau_1|\) is sufficiently small. Invasion happens only if \(|\tau_2 - \tau_1|\) is larger than a threshold that depends on \(\tau_1\).

The mean field equations can be improved by including pair correlations. This is done by keeping two-site probabilities \(P_{ij}(\alpha\beta)\) in the equations while reducing higher order correlations to at most two-site terms. We do this reduction according to the truncation scheme in \([14, 16-19]\).

**Single type of pathogen** – For a single type there are three possible states per site, \((0)\), \((1)\) and \((\tau)\), and six two-site correlations. Since \(\sum_j P(ij) = P(i)\), only three of them are independent. We call the independent correlations \(u = P(10), r = P(1\tau)\) and \(w = P(0\tau)\). The other three are given by \(q \equiv P(00) = z - u - w, p \equiv P(11) = x - r - u\) and \(s \equiv P(\tau\tau) = y - r - w, \) with \(z = 1 - x - y\). The five independent variables are, therefore, \(x, y, u, r \) and \(w\). The details of the calculation can be found in \([15]\). The result is

\[
\frac{dx}{dt} = z h_\zeta(gu/z) - x h_\zeta(\tau r/x) \tag{17}
\]
We assume once again that both the resident and the mutant pathogens have the same virulence \( v \), but different transmissibility rates: \( \tau_1 \) for the resident and \( \tau_2 \) for the mutant. There are four one-site variables, \( z, x, y_1 \) and \( y_2 \) and 10 two-site variables: \( u = P(10), r_1 = P(1\tau_1), r_2 = P(1\tau_2), w_1 = P(0\tau_1), w_2 = P(0\tau_2), q = P(00), p = P(11), s_1 = P(\tau_1\tau_1), s_2 = P(\tau_1\tau_2) \) and \( s_{12} = P(\tau_2\tau_2) \). Of these fourteen variables, only nine are independent. We choose them to be \( x, y_1, y_2, u, r_1, r_2, w_1, w_2 \) and \( s_{12} \). The other five are related to them by \( q = z - u - w_1 - w_2, p = x - u - r_1 - r_2, s_1 = y_1 - w_1 - r_1 - s_{12} \) and \( s_2 = y_2 - w_2 - r_2 - s_{12} \). We obtain

\[
\frac{dx}{dt} = z h_\zeta(gu/z) - x h_\zeta((\tau_1r_1 + \tau_2r_2)/x)
\]

\[
\frac{dy_1}{dt} = \bar{\chi} x \left\{ \frac{\mu}{2} h_\zeta(\tau_2r_2/x) + \left( 1 - \frac{\mu}{2} \right) h_\zeta(\tau_1r_1/x) \right\} - vy_1
\]

\[
\frac{du}{dt} = (q - u) h_{\zeta^{-1}}(gu/z) + gu[1 - h_{\zeta^{-1}}(gu/z)] - u h_{\zeta^{-1}}((\tau_1r_1 + \tau_2r_2)/x) + v(r_1 + r_2)
\]

\[
\frac{dr_1}{dt} = \bar{\chi} \frac{\mu}{2} p h_{\zeta^{-1}}(\tau_2r_2/x) + \bar{\chi} \left( 1 - \frac{\mu}{2} \right) p h_{\zeta^{-1}}(\tau_1r_1/x) + w_1 h_{\zeta^{-1}}(gu/z) - \tau_1r_1[1 - h_{\zeta^{-1}}((\tau_1r_1 + \tau_2r_2)/x)] - v r_1
\]

\[
\frac{dw_1}{dt} = \bar{\chi} \frac{\mu}{2} u h_{\zeta^{-1}}(\tau_2r_2/x) + \bar{\chi} \left( 1 - \frac{\mu}{2} \right) u h_{\zeta^{-1}}(\tau_1r_1/x) + v(s_1 + s_{12} - w_1) - w_1 h_{\zeta^{-1}}(gu/z)
\]

\[
\frac{ds_{12}}{dt} = \bar{\chi} \frac{\mu}{2} r_2 \{ r_2[1 - h_{\zeta^{-1}}(\tau_2r_2/x)] + h_{\zeta^{-1}}(\tau_2r_2/x) \} + \bar{\chi} \frac{\mu}{2} r_1 \{ r_1[1 - h_{\zeta^{-1}}(\tau_1r_1/x)] + h_{\zeta^{-1}}(\tau_1r_1/x) \} + \bar{\chi} \left( 1 - \frac{\mu}{2} \right) r_2 h_{\zeta^{-1}}(\tau_1r_1/x) + \bar{\chi} \left( 1 - \frac{\mu}{2} \right) r_1 h_{\zeta^{-1}}(\tau_2r_2/x) - 2vs_{12}
\]

where

\[
\bar{\chi} = \frac{h_{\zeta^{-1}}(\tau_1y_1 + \tau_2y_2)}{h_{\zeta^{-1}}(\tau_1y_1) + h_{\zeta^{-1}}(\tau_2y_2)}
\]

The equations for \( y_2, r_2 \) and \( w_2 \) can be obtained by exchanging the sub-indexes 1 and 2 in the equations for \( y_1, r_1 \) and \( w_1 \) respectively.

When \( \tau_1, \tau_2 \) and \( g \) are small, the functions \( h_\zeta(\alpha) \) can again be approximated by \( \zeta\alpha \) and the approximate pair correlation equations are obtained. We found that the approximate equations present limit cycles in a much larger
range of parameters than the true mean field equations [15]. Once again, when the process of invasion is studied, we find co-existence of similar types if $|\tau_2 - \tau_1|$ is small. However, the more transmissible pathogen type still wins over any less transmissible ones, in the sense that either the less transmissible type goes extinct, or its average number is always smaller than the mutant invader. The emergence of an intermediate-transmissibility evolutionarily stable type [4, 5] is not observed even in the pair approximation.

However, the oscillatory approach to equilibrium revealed by the pair approximation does give us a clue to understand how the evolutionarily stable type appears in the spatial model. In Fig. 1 we show $y_1$ versus $y_2$ for $g = 0.05, v = 0.2$ and $\tau_2 = \tau_1 + 0.05$ for $\tau_1 = 0.2, 0.3$ and 0.5. The initial population consists of an equilibrium between health individuals and individuals infected by the resident type plus a very small amount of individuals infected by the mutant type. Although invasion occurs in all cases ($y_1$ goes to zero), the higher the value of $\tau_1$, the closer the population of infected hosts gets to extinction (when $y_1$ and $y_2$ get close to zero simultaneously). If we assume that the initial population, is structured in patches, those patches receiving the mutant type are indeed likely to go extinct. If the patches are very large, Fig. 1 shows that the number of infected rises again after the near extinction leading to invasion by the more transmissible type. However, if the patches are finite, the population of infected may die. We can estimate the minimum size of these patches so that extinction can be prevented. If $n_p$ is the total number of sites in the patch and $y_{1\text{min}}, y_{2\text{min}}$ are the values assumed by $y_1$ and $y_2$ at the near extinction time, then the actual number of individuals (sites) infected by the resident and the mutant pathogen types at this time is $n_p y_{1\text{min}}$ and $n_p y_{2\text{min}}$ respectively. When this number goes below 1 there is less than one infected site in the whole patch, and the corresponding pathogen goes extinct. For $\tau_1 = 0.2$ the resident type disappears if $n_p$ is less than 100, whereas the mutant type disappears only if the patch falls below 45 sites. Typical patches observed in numerical simulations are larger than this, implying that invasion is indeed expected. For $\tau_1 = 0.5$ extinction of both resident and mutant pathogens is prevented only if patches are larger than about 450. However, for $\tau_1 = 0.7$, the mutant pathogen with $\tau_2 = 0.75$, is more likely to go extinct than the resident. If patches are larger than about 780 the resident pathogen survives, whereas the mutant type disappears unless patches are larger than 890. Therefore, if the actual size of the system, or patch where the mutant first appear, is sufficiently small, extinction does happen for pathogens of large transmissibility, stopping invasion and leading naturally to the survival of an intermediate type.

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Errata: “Mean field approximation to a spatial host-pathogen model”

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The article “Mean field approximation to a spatial-host pathogen model” by de Aguiar et al. [1] contains five mistyped equations. The errors were introduced in paper production and do not affect the paper’s results or conclusions. Eq. (18) should read

\[
\frac{dy}{dt} = x h_\zeta(\tau r/x) - vy.
\]

As published, Eq. (18) has an extraneous “exponent” \( \zeta \), so that the first term reads \( x h_\zeta(\tau r/x) \zeta \). Note that if we approximate \( r = xy \) we must recover the mean-field equation for \( \frac{dy}{dt} \), Eq. (11), which has no such exponent.

In the paragraph following Eq. (21), the variable \( p \) is defined as \( P(1q) \). This should instead read \( p = P(11) \). There are also sign errors in Eq. (20) and in the unnumbered equation for \( \frac{dr_1}{dt} \) in the pair-approximation treatment of the system with two types of pathogen. In each case, the sign of the third term should be positive rather than negative. Also, \( \bar{\omega} \) should be replaced with \( \bar{\chi} \) wherever it appears. The correct equations are

\[
\frac{dr}{dt} = (p - r) h_{\zeta-1}(\tau r/x) - vr
+ wh_{\zeta-1}(gu/z) - \tau r [1 - h_{\zeta-1}(\tau r/x)],
\]

\[
\frac{dr_1}{dt} = \frac{\mu}{2} p h_{\zeta-1}(\tau_2 r_2/x)
+ \bar{\chi} \left( 1 - \frac{\mu}{2} \right) p h_{\zeta-1}(\tau_1 r_1/x)
+ w_1 h_{\zeta-1}(gu/z)
- \tau_1 r_1 [1 - h_{\zeta-1}((\tau_1 r_1 + \tau_2 r_2)/x)]
- r_1 h_{\zeta-1}((\tau_1 r_1 + \tau_2 r_2)/x) - vr_1,
\]

\[
\frac{dw_1}{dt} = \frac{\mu}{2} u h_{\zeta-1}(\tau_2 r_2/x)
+ \bar{\chi} \left( 1 - \frac{\mu}{2} \right) u h_{\zeta-1}(\tau_1 r_1/x)
- w_1 h_{\zeta-1}(gu/z)
+ v(s_1 + s_{12} - w_1).
\]

These equations were replicated in an expanded treatment by de Aguiar et al. [2]. The computer code used to generate the figures in both articles used the correct equations. Consequently, the misprints reported in this note do not affect the results or conclusions of either paper.

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