Generalized Exponential-Cum-Exponential Estimator in Adaptive Cluster Sampling

Muhammad Shahzad Chaudhry
Department of Statistics
Government College University, Lahore, Pakistan
almoeed@hotmail.com

Muhammad Hanif
National College of Business Administration & Economics
Lahore, Pakistan
drmianhanif@gmail.com

Abstract
In this paper, a generalized exponential-cum-exponential estimator is proposed utilizing the two auxiliary variables based on average values of the networks in adaptive cluster sampling. The exponential ratio-cum-exponential ratio, exponential product-cum-exponential product, exponential ratio-cum-exponential product and exponential product-cum-exponential ratio type estimators are the special cases of proposed estimator using simple random sampling without replacement in adaptive cluster sampling. The expressions for the mean square error and bias of the proposed estimator have been derived. The class of special cases of proposed estimator may be used for estimating the finite population mean and comparable with estimators in case of high correlation but also useful when the correlation between study variable and auxiliary variables is low in the adaptive cluster sampling. The simulation studies have been carried out to demonstrate and compare the efficiencies of the estimators. It is shown that the proposed estimators are more efficient as compared to the mean per unit estimator in adaptive cluster sampling, modified ratio and modified product, exponential ratio and exponential product estimators in adaptive cluster sampling, under given conditions.

Keywords: Transformed Population, Simulated Population, Expected Final Sample Size, Within Network Variance, Within Network Variances, Estimated Mean Squared Error, Comparative Percentage Relative Efficiency, Unlikely Assumption.

1. Introduction
The Adaptive Cluster Sampling (ACS) is suitable and efficient for the rare and clustered population. Examples of such clustered population includes: animals and plants of rare and endangered species, fisheries, uneven minerals exploration, pollution concentrations, epidemiology of sporadic diseases, noise problems, drug users, HIV and AIDS patients, criminals and hot spot investigations. The field studies for nature is a primary aspect of the motivation for expanding the studies of adaptive sampling designs. Adaptive cluster sampling method revealed the efficient estimation in contrast to the existing sampling designs for the rare and clustered population. For the rarely available clustered form population without showing any pattern, it is difficult to sample the nature. The environmental populations, such as plants and animals having patchy distribution of units have been the motivation for adaptive cluster sampling designs. Adaptive cluster sampling designs can make efficient outcome to guesstimate the mass of disease affected plants in particular cultivated region. The use of auxiliary information might be constructive to get better the efficiency of an estimator in adaptive cluster sampling case. For example, in an ornithological survey, it is likely to obtain improved results using
ACS to grasp a rare and clustered species. The count of a particular species in a locality is the study variable then changes in food availability, habitat, or temperature would be the auxiliary variables. Another example may be, the count of disease affected plants is the study variable in an agricultural survey and the auxiliary variable(s) might be the fertility of the ground, the cultivable region or the climate conditions.

In adaptive cluster sampling the initial sample is selected by a conventional sampling design such as simple random sampling then the neighbourhood of each unit selected is considered if the value of the study variable from the sampled unit meet a pre-defined condition $C$ usually $y > 0$. The neighbouring unit is added and examined if the condition is satisfied and the process continues until the new unit meets the condition. The final sample comprises all the units studied and the initial sample. A network consists of those units that meet the predefined condition. The units that do not meet the specified condition are known as edge units. A cluster is a combination of network and edge units. The neighbourhood can be defined by social and institutional relationships between units. The first-order neighbourhood consists of the sampling unit itself and four adjacent units denoted as east (above), west (below), north (right), and south (left). The second-order neighbourhood consists of first-order neighbouring units and the units including northeast, northwest, southeast, and southwest units i.e. diagonal quadrats.

Thompson (1990) first introduced the idea of the adaptive cluster sampling to estimate the rare and clustered population and proposed four unbiased estimators in adaptive cluster sampling. Smith et al (1995) studied the efficiency of adaptive cluster for estimating density of wintering water fowl and found that the efficiency is highest as compare to simple random sampling design when the within network variance is close to population variance. Dryver (2003) found that ACS performs well in a univariate setting. The simulation on real data of blue-winged and red-winged results shows that Horvitz-Thompson type estimator was the most efficient estimator using the condition of one type of duck to estimate that type of duck. For highly correlated variables the ACS performs well for the parameters of interest. Chao (2004) proposed the ratio estimator in adaptive cluster sampling and showed that it produces better estimation results than the original estimator of adaptive cluster sampling. Dryver and Chao (2007) suggested the classical ratio estimator in adaptive cluster sampling (ACS) and proposed two new ratio estimators under ACS. Chutiman and Kumphon (2008) proposed a ratio estimator in adaptive cluster sampling using two auxiliary variables. Chutiman (2013) proposed ratio estimators using population coefficient of variation and coefficient of kurtosis, regression and difference estimators by using single auxiliary variable.

2. Some Estimators in Simple Random Sampling

Let a sample of size $n$ is selected by using simple random sampling without replacement from the total number of units in the population $N$. The variable of interest and auxiliary variable are denoted by $y$ and $x$ with their population means $\bar{Y}$ and $\bar{X}$, population standard deviation $S_y$ and $S_x$, coefficient of variation $C_y$ and $C_x$ respectively. Also $\rho_{xy}$ represent population correlation coefficient between $X$ and $Y$,

$$\theta = \frac{1}{n} \frac{1}{N}$$

and

$$\theta = \frac{1}{n} \frac{1}{N}$$

and
Generalized Exponential-Cum-Exponential Estimator in Adaptive Cluster Sampling

\[ H_{jk} = \rho_{jk} \frac{C_j}{C_k}, \quad j \neq k. \]

The following estimators are available in simple random sampling:

Cochran (1940) and Robson (1957) proposed the classical ratio and product estimators, respectively, for estimating the population mean as

\[ t_1 = \bar{y} \left[ \frac{\bar{X}}{\bar{x}} \right], \quad \text{(2.1)} \]

and

\[ t_2 = \bar{y} \left[ \frac{\bar{x}}{\bar{X}} \right]. \quad \text{(2.2)} \]

The mean square error (MSE) of the estimators of (1) and (2) are

\[ \text{MSE} (t_1) = \theta \bar{Y}^2 \left[ C_y^2 + C_x^2 - 2\rho_{xy} C_x C_y \right]. \quad \text{(2.3)} \]

and

\[ \text{MSE} (t_2) = \theta \bar{Y}^2 \left[ C_y^2 + C_x^2 + 2\rho_{xy} C_x C_y \right]. \quad \text{(2.4)} \]

respectively.

Bahl and Tuteja (1991) proposed the exponential ratio and exponential product estimators to estimate the population mean.

\[ t_3 = \bar{y} \exp \left[ \frac{\bar{X} - \bar{x}}{\bar{X} + \bar{x}} \right], \quad \text{(2.5)} \]

\[ t_4 = \bar{y} \exp \left[ \frac{\bar{x} - \bar{X}}{\bar{X} + \bar{x}} \right]. \quad \text{(2.6)} \]

The mean square error and bias of the exponential ratio estimator \( t_3 \) are

\[ \text{MSE}(t_3) \approx \theta \bar{Y}^2 \left[ C_y^2 + \frac{C_x^2}{4} - \rho_{xy} C_x C_y \right]. \quad \text{(2.7)} \]

\[ \text{Bias}(t_3) \approx \theta \bar{Y} \left[ \frac{3}{8} C_x^2 - \frac{\rho_{xy} C_x C_y}{2} \right]. \quad \text{(2.8)} \]

The mean square error and bias of the exponential product estimator \( t_4 \) are

\[ \text{MSE}(t_4) \approx \theta \bar{Y}^2 \left[ C_y^2 + \frac{C_x^2}{4} + \rho_{xy} C_x C_y \right]. \quad \text{(2.9)} \]

\[ \text{Bias}(t_4) \approx \theta \bar{Y} \left[ \frac{1}{8} C_x^2 + \frac{\rho_{xy} C_x C_y}{2} \right]. \quad \text{(2.10)} \]

Upadhyaya et al. (2011) suggested generalized exponential ratio-type estimator using a real constant \( a \) as

\[ t_5 = \bar{y} \exp \left[ \frac{\bar{X} - \bar{x}}{\bar{X} + (a-1)\bar{x}} \right]. \quad \text{(2.11)} \]

The several estimators may be deduced for different values of \( a \). The Bahl and Tuteja (1991) estimator is a special case of (11) for \( a = 2 \).
The mean square error of $t_5$ is
\[
\text{MSE}(t_5) \approx \bar{Y}^2 \theta \left[ C_y^2 + \frac{C_x^2}{a^2} \left(1 - 2aH_{yx}\right) \right]. \tag{2.12}
\]
which is minimum for $a = \frac{1}{H_{yx}}$.

The bias of $t_5$ is
\[
\text{Bias}(t_5) \approx \frac{\bar{Y} \theta C_x^2}{2a^2} \left[2a \left(1 - H_{yx}\right) - 1\right] \tag{2.13}
\]
$t_5$ will be more efficient than classical ratio estimator if $a > \frac{1}{2H_{yx}}$.

Upadhyaya et al. (2011) also suggested generalized exponential product-type estimator for single-phase sampling using a real constant $b$ as
\[
t_6 = \bar{y} \exp \left[ \frac{\bar{x} - \bar{X}}{\bar{X} + (b-1)\bar{x}} \right]. \tag{2.14}
\]
The mean square error of $t_6$ is
\[
\text{MSE}(t_6) \approx \bar{Y}^2 \theta \left[ C_y^2 + \frac{C_x^2}{b^2} \left(1 + 2bH_{yx}\right) \right]. \tag{2.15}
\]
which is minimum for $b = \frac{1}{H_{yx}}$.

The bias of $t_6$ is
\[
\text{Bias}(t_6) \approx \frac{\bar{Y} \theta C_x^2}{2b^2} \left[3 - 2b + 2bH_{yx}\right]. \tag{2.16}
\]
$t_6$ will be more efficient than classical product estimator if $b > \frac{1}{2H_{yx}}$.

3. Some Estimators in Adaptive Cluster Sampling

Suppose a finite population of size $N$ is labelled as $1,2,3,\ldots,N$ and an initial sample of $n$ units is selected with a simple random sample without replacement. Let $w_{yi}$, $w_{xi}$ and $w_{zi}$ denotes the average y-value, average x-value and average z-value in the network which includes unit $i$ such that, $w_{yi} = \frac{1}{m_i} \sum_{j \in A_i} y_j$, $w_{xi} = \frac{1}{m_i} \sum_{j \in A_i} x_j$ and
\[
w_{zi} = \frac{1}{m_i} \sum_{j \in A_i} z_j \] respectively. Adaptive cluster sampling can be considered as simple
random sampling without replacement when the averages of networks are considered (Thompson, 2002; Dryver and Chao, 2007). Consider the notations $\bar{w}_y$, $\bar{w}_x$ and $\bar{w}_z$ are the sample means of the study and auxiliary variables in the transformed population respectively, such that, $\bar{w}_y = \frac{1}{n} \sum_{i=1}^{n} w_{yi}$, $\bar{w}_x = \frac{1}{n} \sum_{i=1}^{n} w_{xi}$ and $\bar{w}_z = \frac{1}{n} \sum_{i=1}^{n} w_{zi}$. Let $C_{wy}$, $C_{wx}$ and $C_{wz}$ represent population coefficient of variations of the study and auxiliary variables respectively. Let $\rho_{wxw}$ and $\rho_{wzw}$ represent population correlation coefficients between $w_x$ and $w_y$ and $w_z$ and $w_y$ respectively. Define the following error terms,

$$\bar{e}_{wy} = \frac{\bar{w}_y - \bar{Y}}{\hat{Y}}, \quad \bar{e}_{wx} = \frac{\bar{w}_x - \bar{X}}{\hat{X}}, \quad \text{and} \quad \bar{e}_{wz} = \frac{\bar{w}_z - \bar{Z}}{\hat{Z}}.$$  (3.1)

Here $\bar{e}_{wy}$, $\bar{e}_{wx}$ and $\bar{e}_{wz}$ are the sampling errors of the study and auxiliary variables respectively such that:

$$E(\bar{e}_{wy}) = E(\bar{e}_{wx}) = E(\bar{e}_{wz}) = 0$$  (3.2)

$$E(\bar{e}_{wx}\bar{e}_{wy}) = \theta \rho_{wxw} C_{wx} C_{wy} \quad \text{and} \quad E(\bar{e}_{wz}\bar{e}_{wy}) = \theta \rho_{wzw} C_{wz} C_{wy}$$  (3.3)

$$E(\bar{e}_{wy}^2) = \theta C_{wy}^2, \quad E(\bar{e}_{wx}^2) = \theta C_{wx}^2 \quad \text{and} \quad E(\bar{e}_{wz}^2) = \theta C_{wz}^2$$  (3.4)

$$C_{wy} = \frac{S_{wi}}{i}, \quad \rho_{wij} = \frac{S_{wij}}{S_{wi}S_{wj}}, \quad H_{wij} = \rho_{wij} \frac{C_{wi}}{C_{wj}} \quad i = x, y, z \quad j = x, y, z \quad i \neq j$$  (3.5)

Thompson (1990) developed an unbiased estimator for population mean in ACS based on a modification of the Hansen-Hurwitz estimator which can be used when sampling is with replacement or without replacement:

$$t_7 = \frac{1}{n} \sum_{i=1}^{n} w_{yi} = \bar{w}_y$$  (3.6)

$$Var(t_7) = \frac{\theta}{N-1} \sum_{i=1}^{N} (w_{yi} - \bar{Y})^2.$$  (3.7)

Dryver and Chao (2007) proposed a modified ratio estimator for the population mean keeping in view ACS:

$$t_8 = \left[ \frac{\sum_{i \in S_0} w_{yi}}{\sum_{i \in S_0} w_{xi}} \right] \bar{X} = \hat{R}\bar{X}$$  (3.8)

$$MSE(t_8) = \frac{\theta}{N-1} \sum_{i=1}^{N} (w_{yi} - R w_{xi})^2.$$  (3.9)
Shahzad and Hanif (2014) proposed modified product and exponential product estimators for the population mean keeping in view adaptive cluster sampling using one auxiliary variable is:

\[ t_9 = \overline{w}_y \cdot \frac{\overline{w}_x}{\overline{X}}. \]  
\[ t_{10} = \overline{w}_y \exp \left[ \frac{\overline{w}_x - \overline{X}}{\overline{w}_x + \overline{X}} \right]. \]

The bias and mean square error of these estimators are

\[ \text{Bias } t_9 = E\left(t_9 - \overline{Y}\right) = \theta \overline{Y} \rho_{wxy} C_{wx} C_{wy}. \]  
\[ \text{MSE } t_9 = E\left(t_9 - \overline{Y}\right)^2 = \theta \overline{Y}^2 \left(C_{wy}^2 + C_{wx}^2 + 2 \rho_{wxy} C_{wx} C_{wy}\right). \]

and

\[ \text{Bias } t_{10} = E\left(t_{10} - \overline{Y}\right) \approx \theta \overline{Y} \left(-\frac{C_{wx}^2}{8} + \frac{\rho_{wxy} C_{wx} C_{wy}}{2}\right). \]  
\[ \text{MSE } t_{10} = E\left(t_{10} - \overline{Y}\right)^2 \approx \theta \overline{Y}^2 \left(C_{wy}^2 + \frac{C_{wx}^2}{4} + \rho_{wxy} C_{wx} C_{wy}\right). \]

respectively.

### 4. Proposed Generalized Exponential-Cum-Exponential Estimator in Adaptive Cluster Sampling

Thompson (1990) first introduced the idea of the adaptive cluster sampling and modified Hansen-Hurwitz (1943) and Horvitz-Thompson (1952) type estimators. A variety of subsequent research efforts on adaptive cluster sampling was launched from Thompson (1990). However, how those adaptive design factors including the predefined condition (or magnitude of critical value), definition of neighbourhood affect the efficiency of ACS in comparison with the non-adaptive design (simple random sampling) and possible challenges arising from case to case are not concretely touched. The appended derivation process of ACS estimators for the specific design from Thompson (1990) can be used as a helpful reference for the development of the estimators for the other modifications of ACS. Chao (2004) proposed the ratio estimator, Dryver and Chao (2007) discussed classical ratio estimator in adaptive cluster sampling (ACS) and proposed two new ratio estimators under ACS, one of which is unbiased for ACS designs. Chutiman and Kumphon (2008) proposed a ratio estimator, and Chutiman (2013) proposed ratio estimators using population coefficient of variation and coefficient of kurtosis, regression and difference estimators by using single auxiliary variable. There is still need to address the efficiency issues and proposed better and better estimators suitable for the adaptive design.

Following the Upadhyaya et al. (2011), the generalized form of the proposed exponential-cum-exponential estimator utilizing two auxiliary variables may be written as

\[ t_{GE} = \overline{w}_y \exp \left[ \alpha \frac{\overline{X} - \overline{W}_x}{\overline{X} + (a-1)\overline{W}_x} \right] \exp \left[ \lambda \frac{Z - \overline{W}_z}{Z + (b-1)\overline{W}_z} \right]. \]  

\[ (4.1) \]
Where, $\alpha$, $\lambda$, and $a$, $b$ are generalizing and optimization constants, respectively. In order to derive the bias and mean square error expressions, we may proceed as follows

$$t_{GE} = \bar{w}_y \exp \left[ \alpha \frac{\bar{X} - \bar{w}_x}{\bar{X} + (a-1)\bar{w}_x} + \lambda \frac{\bar{Z} - \bar{w}_z}{\bar{Z} + (b-1)\bar{w}_z} \right]. \quad (4.2)$$

5. The Bias and Mean Square Error of the Proposed Estimator

Using the notations (3.1), we may rewrite the estimator (4.3) as

$$t_{GE} = \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \alpha \frac{\bar{X} - \bar{X}(1 + \bar{e}_{wx})}{\bar{X} + (a-1)(1 + \bar{e}_{wx})} + \lambda \frac{\bar{Z} - \bar{Z}(1 + \bar{e}_{wz})}{\bar{Z} + (b-1)(1 + \bar{e}_{wz})} \right], \quad (5.1)$$

or

$$t_{GE} = \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{1 + a(1 + \bar{e}_{wx}) - 1(1 + \bar{e}_{wx})} + \frac{-\lambda \bar{e}_{wz}}{1 + b(1 + \bar{e}_{wz}) - 1(1 + \bar{e}_{wz})} \right], \quad (5.2)$$

or

$$t_{GE} = \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a + a\bar{e}_{wx} - \bar{e}_{wx}} + \frac{-\lambda \bar{e}_{wz}}{b + b\bar{e}_{wz} - \bar{e}_{wz}} \right], \quad (5.3)$$

or

$$t_{GE} = \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a \left( 1 + 1 - \frac{1}{a} \bar{e}_{wx} \right)} + \frac{\lambda \bar{e}_{wz}}{b \left( 1 + 1 - \frac{1}{b} \bar{e}_{wz} \right)} \right], \quad (5.4)$$

or

$$t_{GE} = \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a \left( 1 + 1 - \frac{1}{a} \bar{e}_{wx} \right)} - \frac{\lambda \bar{e}_{wz}}{b \left( 1 + 1 - \frac{1}{b} \bar{e}_{wz} \right)} \right]^{-1}, \quad (5.5)$$

or

$$t_{GE} \approx \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a \left( 1 - 1 - \frac{1}{a} \bar{e}_{wx} \right)} - \frac{\lambda \bar{e}_{wz}}{b \left( 1 - 1 - \frac{1}{b} \bar{e}_{wz} \right)} \right], \quad (5.6)$$

or

$$t_{GE} \approx \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a \left( 1 - 1 - \frac{1}{a} \bar{e}_{wx} \right)} + \frac{\lambda \bar{e}_{wz}}{b \left( 1 - 1 - \frac{1}{b} \bar{e}_{wz} \right)} \right], \quad (5.7)$$

or

$$t_{GE} \approx \bar{Y}(1 + \bar{e}_{wy}) \exp \left[ \frac{-a\bar{e}_{wx}}{a \left( 1 - 1 - \frac{1}{a} \bar{e}_{wx} \right)} + \frac{\lambda \bar{e}_{wz}}{b \left( 1 - 1 - \frac{1}{b} \bar{e}_{wz} \right)} + \frac{\lambda \bar{e}_{wz}}{b} \right]. \quad (5.8)
Expanding the exponential function (5.8) and ignoring the terms with power three or greater as

\[ t_{GE} \approx \bar{Y} \left( 1 + \frac{e_{wy}}{2} \right) \left[ 1 + \left\{ \frac{-\alpha e_{wx}}{a} + \frac{\alpha e_{wx}^2}{a} \left( 1 - \frac{1}{a} \right) - \frac{\lambda e_{wz}}{b} + \frac{\lambda e_{wz}^2}{b} \left( 1 - \frac{1}{b} \right) \right\} \right] \\
+ \frac{1}{2} \left\{ \frac{-\alpha e_{wx}}{a} + \frac{\alpha e_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) - \frac{\lambda e_{wz}}{b} + \frac{\lambda e_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) \right\}^2 \right] , \tag{5.9} \]

or

\[ t_{GE} \approx \bar{Y} \left[ 1 - \frac{\alpha e_{wx}}{a} - \frac{\lambda e_{wz}}{b} + \frac{\alpha e_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\lambda e_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\alpha e_{wx} \lambda e_{wz}}{a b} \right] \\
+ \bar{Y} e_{wy} \left[ 1 - \frac{\alpha e_{wx}}{a} - \frac{\lambda e_{wz}}{b} + \frac{\alpha e_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\lambda e_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\alpha e_{wx} \lambda e_{wz}}{a b} \right] , \tag{5.10} \]

or

\[ t_{GE} \approx \bar{Y} \left[ 1 - \frac{\alpha e_{wx}}{a} - \frac{\lambda e_{wz}}{b} + \frac{\alpha e_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\lambda e_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\alpha e_{wx} \lambda e_{wz}}{a b} \right] \\
+ e_{wy} \frac{\alpha e_{wy} e_{wx}}{a} - \frac{\lambda e_{wy} e_{wz}}{b} \right] \tag{5.11} \]

Taking expectation on both sides of (5.11), we get

\[ E(t_{GE} - \bar{Y}) \approx \bar{Y} \left[ \frac{\theta \alpha C_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\theta \lambda C_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\theta \lambda \alpha \rho_{wxwz} C_{wx} C_{wz}}{a b} \right] \left[ \frac{\theta \alpha \rho_{wxwy} C_{wx} C_{wy}}{a} - \frac{\theta \lambda \rho_{wywz} C_{wy} C_{wz}}{b} \right] \tag{5.12} \]

or

\[ E(t_{GE} - \bar{Y}) \approx \bar{Y} \theta \left[ \frac{\alpha C_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\lambda C_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\alpha \lambda C_{wx}^2 H_{wz}}{a b} - \frac{\lambda C_{wy}^2 H_{wy}}{a} - \frac{\lambda C_{wy}^2 H_{wy}}{b} \right] \tag{5.13} \]

or

\[ Bias(t_{GE}) \approx \bar{Y} \theta \left[ \frac{\alpha C_{wx}^2}{a} \left( 1 - \frac{1}{2a} \right) + \frac{\lambda C_{wz}^2}{b} \left( 1 - \frac{1}{2b} \right) + \frac{\alpha \lambda C_{wx}^2 H_{wz}}{a b} - C_{wy}^2 \left( \frac{\alpha H_{wy}}{a} + \frac{\lambda H_{wy}}{b} \right) \right] \tag{5.14} \]
The mean square error of \( t_{GE} \) is derived using (5.8). Ignoring the terms with power two or greater we get,

\[
t_{GE} \approx \bar{Y} \left( 1 + \bar{e}_{wy} \right) \exp \left[ - \left( \frac{\alpha \bar{e}_{wx}}{a} + \frac{\lambda \bar{e}_{wz}}{b} \right) \right].
\]

(5.15)

Opening the exponential function in (5.15), ignoring the terms with power two or greater as

\[
t_{GE} \approx \bar{Y} \left( 1 + \bar{e}_{wy} \right) \left[ 1 - \left( \frac{\alpha \bar{e}_{wx}}{a} + \frac{\lambda \bar{e}_{wz}}{b} \right) \right],
\]

(5.16)
or

\[
t_{GE} - \bar{Y} \approx \bar{Y} \left( \bar{e}_{wy} - \frac{\alpha \bar{e}_{wx}}{a} - \frac{\lambda \bar{e}_{wz}}{b} \right).
\]

(5.17)

Taking square and expectation on the both sides of (5.17)

\[
E \left( t_{GE} - \bar{Y} \right)^2 \approx \bar{Y}^2 E \left( \bar{e}_{wy} - \frac{\alpha \bar{e}_{wx}}{a} - \frac{\lambda \bar{e}_{wz}}{b} \right)^2.
\]

(5.18)

Using the notations (3.2-3.4), the (5.18) is obtained as

\[
E \left( t_{GE} - \bar{Y} \right)^2 \approx \bar{Y}^2 \left( \frac{\theta C_{wy}^2 + \frac{\theta \alpha^2 C_{wx}^2}{a^2} + \frac{\theta \lambda^2 C_{wz}^2}{b^2} - \frac{2 \theta \alpha \rho_{wxwy} C_{wx} C_{wy}}{a}}{\frac{2 \theta \lambda \rho_{wzyw} C_{wxy} C_z}{b} + \frac{2 \theta \lambda \rho_{wzyw} C_{wx} C_{wz}}{ab}} \right).
\]

(5.19)

After simplifications and using the notation in (3.5), the mean square error of \( t_{GE} \) is

\[
MSE \left( t_{GE} \right) \approx \theta \bar{Y}^2 \left[ C_{wy}^2 + \frac{\alpha C_{wx}^2}{a^2} \left( \alpha - 2aH_{wyx} \right) + \frac{\lambda C_{wz}^2}{b^2} \left( \lambda - 2bH_{wyx} + 2ab H_{wzx} \right) \right].
\]

(5.20)

In order to determine the optimum values of constants \( a \) and \( b \), after partial differentiation of (5.20) with respect to \( a \) and equating to zero, we get

\[
\theta \bar{Y}^2 \left[ \frac{-2 \alpha^2 C_{wx}^2}{a^3} + \frac{2 \alpha C_{wx}^2}{a^2} H_{wyx} - \frac{2 \alpha C_{wz}^2}{a^2 b} H_{wzx} \right] = 0
\]

(5.21)
or

\[
a = \frac{\alpha}{H_{wyx} - \frac{H_{wzx}}{b}}.
\]

(5.22)
Partially differentiate (5.20) with respect to \(b\) and equating to zero, we get

\[
0 \bar{Y}^2 \left[ -\frac{2\lambda C^2_{wz}}{b^3} + \frac{2\lambda C^2_{wz}}{b^2} H_{wyz} - \frac{2\lambda C^2_{wz}}{ab^2} H_{wxz} \right] = 0
\]

or

\[
b = \frac{\lambda}{H_{wyz} - \frac{H_{wxz}}{a}}. \tag{5.24}
\]

Solving (5.22) and (5.24) simultaneously, the optimum values of \(a\) and \(b\) are obtained as

\[
a = \frac{\alpha}{H_{wyx} - H_{wxz} M}. \tag{5.25}
\]

\[
b = \frac{1}{M}. \tag{5.26}
\]

Substituting the values of ‘a’ and ‘b’ in (5.20), we get

\[
\text{MSE}_{\min} \approx \theta \bar{Y}^2 \left[ C^2_{wy} - C^2_{wx} \alpha \left( H^2_{wyx} - \left( MH_{wxz} \right)^2 \right) + \lambda C^2_{wz} M \left( 2H_{wzx} \left( H_{wyx} - MH_{wxz} \right) - 2H_{wyz} + \lambda M \right) \right]. \tag{5.27}
\]

In ACS the initial simple random sample of suitable size is drawn and if any unit of interest intersect with this first sample first the neighbourhood of this firstly intersected unit of interest should be adapted for more units, the values of the population parameters can be estimated from these firstly intersect units. In other words, the values of the first network found can be used as sample estimates of the population parameters. That is,

\[
\hat{a} = \frac{\alpha}{\hat{H}_{wyx} - \frac{\hat{H}_{wzx}}{\hat{b}}}. \tag{5.28}
\]

\[
\hat{b} = \frac{1}{M}. \tag{5.29}
\]

For rare and clustered populations, it is not possible for the investigator to deduce the values of the constants \(a\) and \(b\) by utilize all the resources. In rare situations the values of \(a\) and \(b\) may be estimated from the previous surveys.

6. **Special Cases of Proposed Generalized Estimator**

The special cases of the proposed generalized estimator may be obtained by using the different values of the constants.
6.1 Exponential Ratio-Cum-Ratio Estimators

The generalized ratio-cum-ratio estimator in the exponential form may be obtained for $\alpha=1$ and $\lambda=1$ as

$$
t_{GERR} = \bar{w}_y \exp \left[ \frac{X - \bar{w}_x}{X + (a-1)\bar{w}_x} + \frac{Z - \bar{w}_z}{Z + (b-1)\bar{w}_z} \right].
$$

(6.1)

For $\alpha=1$, $\lambda=1$, $a=1$ and $b=1$, the estimator $t_{GE}$ is given by,

$$
t_{11} = \bar{w}_y \exp \left[ \frac{X - \bar{w}_x}{X} + \frac{Z - \bar{w}_z}{Z} \right].
$$

(6.2)

For $\alpha=1$, $\lambda=1$, $a=1$ and $b=2$, the estimator $t_{GE}$ is given by,

$$
t_{12} = \bar{w}_y \exp \left[ \frac{X - \bar{w}_x}{X} + \frac{Z - \bar{w}_z}{Z} \right].
$$

(6.3)

For $\alpha=1$, $\lambda=1$, $a=2$ and $b=1$, the estimator $t_{GE}$ is given by,

$$
t_{13} = \bar{w}_y \exp \left[ \frac{X - \bar{w}_x}{X + \bar{w}_x} + \frac{Z - \bar{w}_z}{Z} \right].
$$

(6.4)

For $\alpha=1$, $\lambda=1$, $a=2$ and $b=2$, the estimator $t_{GE}$ is given by,

$$
t_{14} = \bar{w}_y \exp \left[ \frac{X - \bar{w}_x}{X + \bar{w}_x} + \frac{Z - \bar{w}_z}{Z + \bar{w}_z} \right].
$$

(6.5)

The bias and mean square error expressions of $t_{GERR}$, $t_{11}$, $t_{12}$, $t_{13}$ and $t_{14}$ may be obtained by using the different values of generalizing and optimization constants in (5.14) and (5.20) respectively.

6.2 Exponential Product-Cum-Product Estimators

The product-cum-product estimator in the exponential form may be obtained for $\alpha=-1$ and $\lambda=-1$ as

$$
t_{GEPP} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - X}{X + (a-1)\bar{w}_x} + \frac{\bar{w}_z - Z}{Z + (b-1)\bar{w}_z} \right].
$$

(6.6)

For $\alpha=-1$, $\lambda=-1$, $a=1$ and $b=1$, the estimator $t_{GE}$ may be obtained as

$$
t_{15} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - X}{X} + \frac{\bar{w}_z - Z}{Z} \right].
$$

(6.7)
For, $\alpha = -1, \lambda = -1, a = 1 and b = 2$, the estimator $t_{GE}$ may be obtained as

$$
t_{16} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z} + \bar{w}_z} \right].
$$

(6.8)

For, $\alpha = -1, \lambda = -1, a = 2 and b = 1$, the estimator $t_{GE}$ may be obtained as

$$
t_{17} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X} + \bar{w}_x} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z}} \right].
$$

(6.9)

For, $\alpha = -1, \lambda = -1, a = 2 and b = 2$, the estimator $t_{GE}$ may be obtained as

$$
t_{18} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X} + \bar{w}_x} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z} + \bar{w}_z} \right].
$$

(6.10)

The bias and mean square error and expressions of $t_{GEPP}, t_{15}, t_{16}, t_{17}$ and $t_{18}$ may be obtained by using the different values of generalizing and optimization constants in (5.14) and (5.20) respectively.

6.3 Exponential Ratio-Cum-Product Estimators

The ratio-cum-product estimators in the exponential form may be obtained for $\alpha = 1 and \lambda = -1$ as

$$
t_{GERP} = \bar{w}_y \exp \left[ \frac{\bar{X} - \bar{w}_x}{\bar{X} + (a-1)\bar{w}_x} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z} + (b-1)\bar{w}_z} \right].
$$

(6.11)

For, $\alpha = 1, \lambda = -1, a = 1 and b = 1$, the estimator $t_{GE}$ may be obtained as

$$
t_{19} = \bar{w}_y \exp \left[ \frac{\bar{X} - \bar{w}_x}{\bar{X}} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z}} \right].
$$

(6.12)

For, $\alpha = 1, \lambda = -1, a = 1 and b = 2$, the estimator $t_{GE}$ may be obtained as

$$
t_{20} = \bar{w}_y \exp \left[ \frac{\bar{X} - \bar{w}_x}{\bar{X}} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z} + \bar{w}_z} \right].
$$

(6.13)

For, $\alpha = 1, \lambda = -1, a = 2 and b = 1$, the estimator $t_{GE}$ may be obtained as

$$
t_{21} = \bar{w}_y \exp \left[ \frac{\bar{X} - \bar{w}_x}{\bar{X} + \bar{w}_x} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z}} \right].
$$

(6.14)
For, $\alpha=1$, $\lambda=-1, a=2$ and $b=2$, the estimator $t_{GE}$ may be obtained as

$$t_{22} = \bar{w}_y \exp \left[ \frac{\bar{X} - \bar{w}_x}{\bar{X}} + \frac{\bar{w}_z - \bar{Z}}{\bar{Z}} \right]. \quad (6.15)$$

The bias and mean square error bias expressions of $t_{GERP}, t_{19}, t_{20}, t_{21}$ and $t_{22}$ may be obtained by using the different values of generalizing and optimization constants in (5.14) and (5.20) respectively.

### 6.4 Exponential Product-Cum-Ratio Estimators

The product-cum-ratio estimators in the exponential form may be obtained for $\alpha=1$ and $\lambda=-1$ as

$$t_{GEPR} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{Z} - \bar{w}_z}{\bar{Z}} \right]. \quad (6.16)$$

For, $\alpha=1, \lambda=-1, a=1$ and $b=1$, the estimator $t_{GE}$ may be obtained as

$$t_{23} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{Z} - \bar{w}_z}{\bar{Z}} \right]. \quad (6.17)$$

For, $\alpha=1, \lambda=-1, a=1$ and $b=2$, the estimator $t_{GE}$ may be obtained as

$$t_{24} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{Z} - \bar{w}_z}{\bar{Z}} \right]. \quad (6.18)$$

For, $\alpha=1, \lambda=-1, a=2$ and $b=1$, the estimator $t_{GE}$ may be obtained as

$$t_{25} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{Z} - \bar{w}_z}{\bar{Z}} \right]. \quad (6.19)$$

For, $\alpha=1, \lambda=-1, a=2$ and $b=2$, the estimator $t_{GE}$ may be obtained as

$$t_{26} = \bar{w}_y \exp \left[ \frac{\bar{w}_x - \bar{X}}{\bar{X}} + \frac{\bar{Z} - \bar{w}_z}{\bar{Z}} \right]. \quad (6.20)$$

The bias and mean square error bias expressions of $t_{GEPR}, t_{23}, t_{24}, t_{25}$ and $t_{26}$ may be obtained by using the different values of generalizing and optimization constants in (5.14) and (5.20) respectively.
6.5 Exponential Estimators Using Single Auxiliary Information

The proposed generalized estimator may be used to obtain many of the exponential estimators by using the different values of the constants. Few examples are as follows:

For $\alpha = 0$ and $\lambda = 0$, the estimator may be obtained as $t_7 = \overline{w}_y$.

For $\alpha = 1$ and $\lambda = 0$, the estimator $t_{GE}$ may be obtained as

$$t_{GER} = \overline{w}_y \exp\left[\frac{\overline{X} - \overline{w}_x}{\overline{X} + (a-1)\overline{w}_x}\right].$$  \hspace{1cm} (6.21)

For $\alpha = 1$, $\lambda = 0$, and $a = 1$, the estimator $t_{GE}$ may be obtained as

$$t_{27} = \overline{w}_y \exp\left[\frac{\overline{X} - \overline{w}_x}{\overline{X}}\right].$$  \hspace{1cm} (6.22)

For $\alpha = 1$, $\lambda = 0$, and $a = 2$, the estimator $t_{GE}$ may be obtained as

$$t_{28} = \overline{w}_y \exp\left[\frac{\overline{X} - \overline{w}_x}{\overline{X} + \overline{w}_x}\right].$$  \hspace{1cm} (6.23)

For $\alpha = 0$ and $\lambda = 1$, the estimator $t_{GE}$ may be obtained as

$$t_{GER} = \overline{w}_y \exp\left[\frac{\overline{Z} - \overline{w}_z}{\overline{Z} + (b-1)\overline{w}_z}\right].$$  \hspace{1cm} (6.24)

For $\alpha = 0$, $\lambda = 1$, and $b = 1$, the estimator $t_{GE}$ may be obtained as

$$t_{29} = \overline{w}_y \exp\left[\frac{\overline{Z} - \overline{w}_z}{\overline{Z}}\right].$$  \hspace{1cm} (6.25)

For $\alpha = 0$, $\lambda = 1$, and $b = 2$, the estimator $t_{GE}$ may be obtained as

$$t_{30} = \overline{w}_y \exp\left[\frac{\overline{Z} - \overline{w}_z}{\overline{Z} + \overline{w}_z}\right].$$  \hspace{1cm} (6.26)

For $\alpha = 0$, and $\lambda = -1$, the estimator $t_{GE}$ may be obtained as

$$t_{GEP} = \overline{w}_y \exp\left[\frac{\overline{w}_z - \overline{Z}}{\overline{Z} + (b-1)\overline{w}_z}\right].$$  \hspace{1cm} (6.27)

For $\alpha = 0$, $\lambda = -1$, and $b = 1$, the estimator $t_{GE}$ may be obtained as

$$t_{31} = \overline{w}_y \exp\left[\frac{\overline{w}_z - \overline{Z}}{\overline{Z}}\right].$$  \hspace{1cm} (6.28)
For, $\alpha = 0, \lambda = -1$, and $b = 2$, the estimator $t_{GE}$ may be obtained as

$$
t_{32} = \bar{w}_y \exp \left[ \frac{\bar{w}_z - \bar{Z}}{\bar{Z} + \bar{w}_z} \right].
$$

(6.29)

The bias and mean square error expressions of $t_{GER}, t_{29}, t_{30}, t_{GEP}, t_{31}$ and $t_{32}$ may be obtained by using the different values of generalizing and optimization constants in (5.14) and (5.20) respectively.

### 7. Simulation Study

To compare the efficiency of proposed estimators with the other estimators, a simulated population is used and performed simulations for the study. The condition C for added units in the sample is $y > 0$. The $y$-values are obtained and averaged for keeping the sample network according to the condition and for each sample network $x$-values and $z$-values are obtained and averaged. For the simulation study ten thousands iteration was run for each estimator to get accuracy estimates with the simple random sampling without replacement and the initial sample sizes of 5, 10, 15, 20 and 25.

In adaptive cluster sampling, the final sample size is usually greater than the initial sample size. Let, $E(v)$ denotes the expected final sample size in ACS, is sum of the probabilities of inclusion of all quadrats,

$$
E(v) = \sum_{i=1}^{N} \pi_i .
$$

(7.1)

In the adaptive cluster sampling the expected final sample size varies from sample to sample. For the comparison, the sample mean from a srswor based on $E(v)$ has variance using the formula

$$
Var(\bar{y}^*) = \frac{\sigma^2(N - E(v))}{NE(v)}.
$$

(7.2)

The estimated mean squared error of the estimated mean is

$$
MSE\left(t_*\right) = \frac{1}{r} \sum_{i=1}^{r} (t_* - \bar{Y})^2.
$$

(7.3)

Where $t_*$ is the value for the relevant estimator for the sample $i$ and $r$ is the number of iterations.

The percentage relative efficiency is

$$
PRE = \frac{Var(\bar{y}^*)}{MSE\left(t_*\right)} \times 100 .
$$

(7.4)
7.1 Population

In this population, we consider a pair of auxiliary variables. The pair has been taken from smith et al. (1995), the total area for original data is 5000 km², which has been divided into 50 100-km² quadrats in central Florida. In the pair, blue-winged teal data in (Table 7.1) and Green- winged teal data in (Table 7.2) has been used as auxiliary variable $x$ and $z$ respectively.

| Table 7.1: Blue-Winged Teal $x$ (Smith et al., 1995) for Population |
|------------------|---|---|---|---|---|---|---|---|
| 0    | 0 | 3 | 5 | 0 | 0 | 0 | 0 | 0 |
| 0    | 0 | 0 | 24 | 14 | 0 | 0 | 10 | 103 |
| 0    | 0 | 0 | 0 | 2 | 3 | 2 | 0 | 13639 |
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 122 |
| 0    | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |

| Table 7.2: Green-Winged Teal $z$ (Smith et al., 1995) for Population |
|------------------|---|---|---|---|---|---|---|---|
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0    | 0 | 0 | 0 | 0 | 0 | 35 | 75 | 0 |
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2255 |
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0    | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 |

Dryver and Chao (2007) generated the values for the variable of interest using the following two models:

\[ y_i = 4x_i + \varepsilon_i \quad \text{where} \quad \varepsilon_i \sim N(0,x_i) \quad (7.5) \]

\[ y_i = 4w_xi + \varepsilon_i \quad \text{where} \quad \varepsilon_i \sim N(0,w_xi) \quad (7.6) \]

The variability of the study variable is proportional to the auxiliary variable itself in model (7.5) whereas it is proportional to the within-network mean level of the auxiliary variable in model (7.6). Consequently, the within network variances of the study variable in the two networks consisting of more than one units are much larger in the population generated by model (7.5).
To evaluate the performance of proposed estimators, we simulate the values for variable of interest using the model (7.7), \( x \) and \( z \) have been used as auxiliary variables. Let, \( y_i \), \( x_i \) and \( z_i \) denote the \( i \)th value for the variable of interest \( y \), auxiliary variables \( x \) and \( z \).

\[
y_i = 4x_i + 4z_i + \epsilon_i \quad \text{where} \quad \epsilon_i \sim N(0, x_i + z_i)
\]

(7.7)

In simulated population (Table 7.3) the variance of study variable is proportional to the sum of the auxiliary variables itself. Thus, within network variances of the study variable in the networks consisting of more than one unit are expected to be much larger in the population generated by model (7.7). The variability will remain low if the study variable is simulated by utilizing the within-network mean level of the auxiliary variables. The adaptive cluster sampling is more suitable for the situation when within-network variances are sufficiently large. The model (7.7) provides the larger within network variances to ensure the better performance of estimators in adaptive cluster sampling.

**Table 7.3: Simulated Values of \( y \) under Model (7.7) using Pair-I**

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0   | 0   | 12  | 20  | 0   | 0   | 0   | 0   | 0   | 0   |
| 0   | 0   | 0   | 93  | 54  | 0   | 0   | 173 | 713 | 0   |
| 0   | 0   | 0   | 0   | 8   | 11  | 9   | 0   | 63770 | 4  |
| 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 52  | 486 |
| 0   | 0   | 0   | 0   | 0   | 0   | 0   | 7   | 0   | 820 |

According to the condition of interest there found 3 networks, from these 1 networks is of size one while the network (12,20,93,54,8,11,9) and network (173,713,63770,52,4,486,820) are of size 7 each. The within network variance of the study variable for the network (12,20,93,54,8,11,9) is 1042.286, while for the network (173,713,63770,52,4,486,820) the variance is 574239554.1. The overall variance of the study variable is 81231457. The within network variance accounts a large portion of overall variance. Thus, adaptive estimators are expected to perform better and more efficient than the comparable usual estimators. The conventional estimators are more efficient than the adaptive estimators if within-network variances do not account for a large portion of the overall variance (Dryver and Chao 2007).
Table 7.4: Average values of Blue-Winged Teal (Smith et al., 1995)

|    |    | 7.57 | 7.57 | 0   | 0   | 0   | 0   | 0   | 0   |
|----|----|------|------|-----|-----|-----|-----|-----|-----|
|    |    | 0    | 0    | 7.57| 7.57| 0   | 0   | 2009.4| 2009.4|
|    |    | 0    | 0    | 0   | 7.57| 7.57| 7.57| 0   | 2009.4|
|    |    | 0    | 0    | 0   | 0   | 0   | 0   | 2009.4| 2009.4|
|    |    | 0    | 0    | 0   | 0   | 0   | 2   | 0   | 2009.4|

Table 7.5: Average values of Green-Winged Teal (Smith et al., 1995)

|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
|----|----|------|------|------|------|------|------|------|------|
|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 343.14| 343.14|
|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 343.14| 343.14|
|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 343.14| 343.14|
|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 343.14| 343.14|
|    |    | 0    | 0    | 0    | 0    | 0    | 7    | 0    | 9429.7|

Table 7.6: Average Values of $y$ under Model (7.7)

|    |    | 29.57| 29.57| 0   | 0   | 0   | 0   | 0   | 0   |
|----|----|------|------|-----|-----|-----|-----|-----|-----|
|    |    | 0    | 0    | 29.57| 29.57| 0   | 0   | 9429.7| 9429.7|
|    |    | 0    | 0    | 0    | 29.57| 29.57| 29.57| 0   | 9429.7|
|    |    | 0    | 0    | 0    | 0    | 0    | 0    | 9429.7| 9429.7|
|    |    | 0    | 0    | 0    | 0    | 0    | 7    | 0    | 9429.7|

There was found a very high correlation 0.999 between the study variable and auxiliary variables and this correlation remains same in the transformed population (Tables 7.4 - 7.6) as well. Thus, there is a high correlation between the sampling unit level as well as network (region) level. Dryver and Chao (2007) showed that usual estimators in srswor perform better than adaptive cluster sampling estimators for strong correlation at unit level but performs worse when having the strong correlation at network level.
Thompson (2002) investigated that adaptive cluster sampling is preferable than the comparable conventional sampling methods if the within network variance is sufficiently large as compared to overall variance of the study variable and presented the condition when the modified Hansen-Hurwitz estimator for adaptive cluster sampling have lower variance than variance of the mean per unit for a simple random sampling without replacement of size $\bar{E}(v)$ if and only if,

$$
\left( \frac{1}{n} - \frac{1}{\bar{E}(v)} \right) S_y^2 \leq \frac{N - n}{Nn(N - 1)} \sum_{k=1}^{K} \sum_{i \in A_k} (y_i - w_i)^2, \quad (7.8)
$$

or

$$
S_y^2 \leq \frac{\bar{E}(v)[N - n]}{N[\bar{E}(v) - n]} S_{wy,p}^2. \quad (7.9)
$$

Where within network variance is defined by

$$
S_{wy,p}^2 = \frac{1}{(N - 1)} \sum_{k=1}^{K} \sum_{i \in A_k} (y_i - w_i)^2. \quad (7.10)
$$

The overall variance of the study variable is 81231457 while in the transformed population this variance reduced to 10912964. Let us apply the condition (7.9) we have,

Table 7.7: Efficiency Condition for Population

| Sample Sizes | Thompson Efficiency Condition |
|--------------|-------------------------------|
| $n$           | $\bar{E}(v)$ | $S_y^2$ | $hS_{wy,p}^2$ |
| 5            | 18.90    | 81231457 | 86046296 |
| 10           | 28.76    | 81231457 | 86229699 |
| 15           | 34.13    | 81231457 | 87818019 |
| 20           | 37.44    | 81231457 | 90568533 |
| 25           | 39.91    | 81231457 | 94096949 |

It is clear from the efficiency conditions given in the table 7.7 that the Hansen-Hurwitz estimator in adaptive cluster sampling will have lower variance than the mean per unit estimator in the simple random sampling without replacement for the all initial sample sizes. In general, adaptive cluster sampling is preferable than the comparable sampling method if the within-network variance is sufficiently high as compared to the overall variance (Thompson2002).
Table 7.8a: Comparative Percentage Relative Efficiencies for the Population

| $E(v)$ | $\bar{y}$ | $t_1$ | $t_2$ | $t_3$ | $t_4$ | $t_7$ | $t_8$ | $t_9$ | $t_{10}$ |
|--------|-----------|-------|-------|-------|-------|-------|-------|-------|--------|
| 18.90  | 100       | *     | 0.97  | 453.2 | 18.97 | 133.9 | *     | 12.24 | 49.89  |
| 28.76  | 100       | *     | 3.62  | 328.6 | 25.12 | 136.7 | *     | 21.47 | 58.25  |
| 34.13  | 100       | *     | 7.66  | 261.8 | 32.05 | 148.6 | 0.01  | 28.01 | 64.90  |
| 37.44  | 100       | *     | 13.30 | 217.4 | 39.15 | 164.5 | 49708.7 | 33.35 | 75.42  |
| 39.91  | 100       | 3541  | 20.26 | 185.9 | 47.46 | 187.8 | 177606.5 | 43.31 | 85.98  |

Table 7.8b: Comparative Percentage Relative Efficiencies for the Population

| $t_{11}$ | $t_{12}$ | $t_{13}$ | $t_{14}$ | $t_{15}$ | $t_{16}$ | $t_{17}$ | $t_{18}$ | $t_{19}$ | $t_{20}$ | $t_{21}$ |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 245.50    | 287.84    | 282.75    | 352.37    | 0.00      | 0.09      | 0.08      | 16.85     | 130.99    | 324.39    | 1.18      |
| 205.08    | 283.34    | 236.68    | 389.34    | 0.07      | 1.62      | 0.05      | 23.13     | 136.47    | 326.83    | 12.53     |
| 230.30    | 405.77    | 303.64    | 736.78    | 0.68      | 5.03      | 1.47      | 30.67     | 144.75    | 364.51    | 25.61     |
| 268.73    | 651.33    | 399.16    | 1538.82   | 2.69      | 10.46     | 4.73      | 37.42     | 166.99    | 427.11    | 42.14     |
| 293.07    | 955.57    | 484.34    | 3984.26   | 6.42      | 16.74     | 9.93      | 44.04     | 189.33    | 479.40    | 61.25     |

Table 7.8c: Comparative Percentage Relative Efficiencies for the Population

| $t_{22}$ | $t_{23}$ | $t_{24}$ | $t_{25}$ | $t_{26}$ | $t_{27}$ | $t_{28}$ | $t_{29}$ | $t_{30}$ | $t_{31}$ | $t_{32}$ |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 135.51    | 139.45    | 1.26      | 321.95    | 137.32    | 309.32    | 274.05    | 297.64    | 272.97    | 0.28      | 49.00     |
| 139.03    | 139.49    | 11.40     | 214.16    | 134.97    | 339.82    | 281.67    | 347.27    | 282.63    | 4.49      | 57.44     |
| 147.86    | 148.98    | 27.28     | 240.57    | 149.94    | 543.29    | 359.87    | 492.33    | 357.21    | 10.76     | 66.32     |
| 169.47    | 165.93    | 43.34     | 271.33    | 168.39    | 879.07    | 460.38    | 807.57    | 457.90    | 20.76     | 76.41     |
| 186.37    | 189.84    | 64.26     | 323.17    | 185.98    | 1347.11   | 592.46    | 1381.40   | 598.13    | 31.99     | 83.87     |

The result of simulation studies are given in Table (7.8 a,b,c) for 32 different estimators including four estimators from *srswor* and twenty eight estimators from adaptive cluster
sampling for transformed population. The results of relative efficiencies under the comparable sample sizes in Table 7.8 (a, b, c) have shown the worse performance of conventional estimators under srswor because of the large variances of the networks of the study variable. Table 7.8 (a,b,c) indicates the better performance of adaptive cluster sampling estimators as compared to conventional estimators because of strong correlation between study variable and auxiliary variable at the network (region) level. The adaptive cluster sampling estimators will perform better than conventional estimators for a strong correlation at network level but week correlation at unit level (Dryver and Chao, 2004; Chao et al., 2010).

Dryver and Chao (2007) assumed 0/0 as zero for the ratio estimator. The usual ratio estimator and ratio estimator in ACS did not perform and return no value (*) for the all the sample sizes. In this simulation study 0/0 is not assumed 0. The use of exponential estimators is better in adaptive cluster sampling than assuming an unlikely assumption for the ratio estimator in adaptive cluster sampling.

8. Conclusion

In adaptive cluster sampling estimators, the proposed estimators \( t_{GE} \) are more efficient as compared to Dryver and Chao (2007) ratio estimator \( t_8 \). The exponential estimators for two auxiliary variables are efficient as compared to other estimator. The exponential ratio-cum-ratio estimator \( t_{14} \) gave maximum percentage relative efficiency which increases with the increase in sample size. The performance of exponential ratio estimators remains better than all the other estimators and ratio estimators \( t_1 \) and \( t_8 \) did not perform in the usual sampling as well as adaptive cluster sampling at lower sample sizes. The adaptive exponential estimators perform better and better as initial sample size increases. Thus, it is proposed that for rare and clustered populations, like HIV patients in a locality, a rare type of monkeys in the forest, blind dolphins of river Sindh etc. the exponential estimators should be employed. The proposed estimators may be used when there are mild and moderate correlations between study and auxiliary variables and a simulation study is further needed in this respect.

Acknowledgements

The authors are grateful to Yves G. Berger, University of Southampton, UK for the programming advice to generate population, simulation studies and valuable suggestions regarding the improvement of this paper.

References

1. Bahl, S. and Tuteja, R.K. (1991). Ratio and product type exponential estimator. *Information and Optimization Sciences*, 12, 159-163.
2. Chao, C.T. (2004). Ratio estimation on adaptive cluster sampling. *Journal of Chinese Stat Assoc*, 42(3), 307–327.
3. Chaudhry, M.S. and Hanif, M. (2014). Estimation in adaptive cluster sampling using auxiliary information. *Unpublished Thesis.*
4. Chutiman, N. and Kumphon, B. (2008). Ratio estimator using two auxiliary variables for Adaptive cluster sampling. *Thailand Statistician*, 6(2):241-256.
5. Chutiman, N. (2013). Adaptive cluster sampling using auxiliary variable. *Journal of Mathematics and Statistics*, 9 (3): 249-255.
6. Cochran, W.G. (1940). The estimation of the yields of cereal experiments by sampling for the ratio of grain to total produce. *Journal Agricultural Science*, 30, 262-275.
7. Cochran, W.G. (1942). Sampling theory when the sampling units are of unequal sizes. *Journal American Statistical Association*, 37, 199-212.
8. Cochran, W.G. (1977). *Sampling Techniques*. John Wiley, New York.
9. Dryver, A.L. (2003). Performance of adaptive cluster sampling estimators in a multivariate setting. *Environmental and Ecological Statistics* 10,107–113.
10. Dryver, A.L. & Chao, C.T. (2007). Ratio estimators in adaptive cluster sampling. *Environmetrics* 18,607–620.
11. Hansen, M.M. & Hurwitiz,W.N. (1943). On the theory of sampling from finite population. *Ann Math Stat*, 14, 333–362.
12. Horvitz, D.G. & Thompson, D.J. (1952). A generalization of sampling without replacement from a finite universe. *Journal American Statistical Association*, 47,663–685.
13. Robson, D.S. (1957). Applications of multivariate polykays to the theory of unbiased ratio-type estimation. *Journal American Statistical Association*, 52, 511-522.
14. Smith, D.R., Conroy, M.J. and Brakhage, D.H. (1995). Efficiency of adaptive cluster sampling for estimating density of wintering waterfowl. *Biometrics*, 51, 777-788.
15. Thompson, S.K. (1990). Adaptive cluster sampling. *Journal of American Statistical Association*, 85, 1050–1059.
16. Thompson, S. K. (2002). Sampling. Wiley, New York.
17. Thompson, S. K. and Seber, G.A.F. (1996). Adaptive Sampling. Wiley, New York.
18. Upadhyaya, L.N., Singh, H.P., Chatterjee, S. and Yadav, R. (2011). Improved ratio and product exponential type estimators. *Journal of Statistics Theory and Practice*, 5(2), 285-302.

**Table 6: Descriptive Measures of the Populations**

|        |        |        |        |        |
|--------|--------|--------|--------|--------|
| $\bar{X}$ = 282.42 | $\sigma_x^2 = 3716168$ | $C_x = 6.8258$ | $\rho_{xz} = 0.999$ |
| $\bar{Z}$ = 48.04 | $\sigma_z^2 = 101576.1$ | $C_z = 6.6343$ | $\rho_{xy} = 0.999$ |
| $\bar{Y} = 1324.64$ | $\sigma_y^2 = 81231457$ | $C_y = 6.8040$ | $\rho_{yz} = 0.999$ |
| $\bar{w_x} = 282.42$ | $\sigma_{wx}^2 = 495449.3$ | $C_{wx} = 2.4923$ | $\rho_{wxwz} = 0.999$ |
| $\bar{w_z} = 48.04$ | $\sigma_{wz}^2 = 14465.82$ | $C_{wz} = 2.5036$ | $\rho_{wxwy} = 0.999$ |
| $\bar{w_y} = 1324.439$ | $\sigma_{wy}^2 = 10912964$ | $C_{wy} = 2.4943$ | $\rho_{wywz} = 0.999$ |