Neighbourhood characteristics and children’s oral health: a multilevel population-based cohort study

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Introduction

Despite improvements in recent years, low-socioeconomic households are still affected by poor oral health and its negative consequences over the life-course. In The Netherlands, large inequalities in oral health and dental care use among children exist, despite the fact that dental care for children is fully covered by basic health insurance.

Extant studies have mainly focused on investigating the relationship between individual level determinants and oral health. However, these individual characteristics could not fully explain disparities in dental caries and dental care utilization, and the success of individual behaviour interventions to reduce oral health inequalities is limited so far. As a result, the interest to research contextual factors in relation to individual oral health. Several studies outside Europe have been performed so far, however, contextual variables used are diverse and results conflicting. Therefore, this study investigated whether neighbourhood level differences in oral health exist, and whether any of the neighbourhood characteristics used were associated with oral health. This study is embedded in The Generation R Study, a prospective cohort study conducted in The Netherlands. In total, 5,960 6-year-old children, representing 158 neighbourhoods in the area of Rotterdam, were included. Data on individual and neighbourhood characteristics were derived from questionnaires, and via open data resources. Caries was assessed via intraoral photographs, and defined as decayed, missing and filled teeth (dmft).

Results: Differences between neighbourhoods explained 13.3% of the risk of getting severe caries, and 2% of the chance of visiting the dentist yearly. After adjustments for neighbourhood and individual characteristics, neighbourhood deprivation was significantly associated with severe dental caries (OR: 1.48, 95% CI: 1.02–2.15), and suggestive of a low odds of visiting the dentist yearly (OR: 0.81, 95% CI: 0.56–1.18). Conclusions: Childhood caries and use of dental services differs between neighbourhoods and living in a deprived neighbourhood is associated with increased dental caries and decreased yearly use of dental services. This highlights the importance of neighbourhoods for understanding differences in children’s oral health, and for targeted policies and interventions to improve the oral health of children living in deprived neighbourhoods.
Lastly, whereas two studies in Brazil and Japan found that a higher average income per neighbourhood was associated with decreased dental caries in children\textsuperscript{14,17}, this association was not observed in another Brazilian study.\textsuperscript{16}

Because results of previous studies examining contextual determinants of oral health are inconclusive, and studies in Europe have not yet adopted multilevel analyses, this research combines neighbourhood data with individually collected data from The Netherlands in a multilevel framework to study: (i) whether neighbourhood level differences in caries and dental service use exist, and (ii) whether supermarket availability, snack bar availability, dentist availability, and neighbourhood deprivation level are associated with dental caries and dental services use.

**Methods**

This study is embedded in The Generation R Study, a population-based prospective cohort study from foetal life onwards conducted in Rotterdam, The Netherlands.\textsuperscript{18} All pregnant mothers living in Rotterdam expecting to deliver between April 2002 and January 2006 were invited to participate. Data collection started during pregnancy, was continued prenatally, and is still ongoing at various time points through several data collection methods.\textsuperscript{18} For the current study, all data were collected when the children were 6 years. In this phase 8,305 (85% of original cohort ($n = 9,749$) children participated in the study, of which 5,960 children were eligible for this study (Figure 1). The study was approved by the Medical Ethical Committee of Erasmus Medical Centre, Rotterdam, The Netherlands (MEC 198.782/2001/31) and conducted according to the World Medical Association Declaration of Helsinki. Written informed consent was obtained from all participants. Water supplies were not fluoridated during the study period in Rotterdam.

**Neighbourhood characteristics**

The following contextual factors on neighbourhood level were studied: supermarket availability, snack bar availability, dentist availability, and deprivation level. In The Netherlands, communities consist of districts, and districts are subdivided into neighbourhoods, which is determined by Statistics Netherlands. Moreover, the postal company in The Netherlands has subdivided each community in a set of postal codes, which almost correspond with the neighbourhood division.

The mean number of supermarkets and snack-bars within 1 km distance for all inhabitants living per neighbourhood in the year 2010, were available as open source data by Statistics Netherlands.\textsuperscript{19} For a postal code area that corresponded with more than one neighbourhood, the mean of the neighbourhood variables of areas with similar postal code was calculated.

Dental clinic availability was retrieved via a registry, managed by Vektis, that contains all health care providers and their working locations in The Netherlands per postal code for the year 2010.\textsuperscript{20} For the analyses, the number of dental clinics per 10 000 inhabitants was calculated and used.

Neighbourhood deprivation was determined by neighbourhood status scores (NSSs) of the year 2010 derived from The Netherlands Institute for Social Research.\textsuperscript{21} These scores are calculated for all postal codes in The Netherlands on the basis of four characteristics: average income, unemployed residents, residents with low education and households with low income. Analyses were performed using a categorical scale of the NSS: low NSS ($< -1$), middle NSS ($-1 < 1$), high NSS ($> 1$), which is based on the standard deviation of the NSS in The Netherlands.\textsuperscript{21}

**Oral health outcomes**

For this study two outcomes were analysed: dental caries and dental care use.

From October 2008–January 2012, 5,578 children visited the research centre for hands-on measurements. After tooth brushing, 10 photographs of clean teeth were taken using an intra-oral camera (Poscam USB intra-oral autofocus camera, Digital Leader PointNix, 640 x 480 pixels). All photographs were scored by one single calibrated dentist, and 10% of the photographs were scored by a second dentist using the same method. Intrarater-reliability (Cohen’s kappa = 0.80) and inter-observer reliability (Cohen’s kappa = 0.76) were evaluated and both showed good agreement.\textsuperscript{22} Dental caries was assessed in the primary dentition using the decayed, missing,
and filled teeth (dmft) index. Decayed teeth were assessed as lesions extended into dentin, enamel caries was not taken into account. Missing teeth were only assessed when teeth were extracted due to caries, which was individually judged based on the dental development and caries pattern of the child. Filled teeth were scored if teeth were restored due to caries. The use of intra oral photographs for scoring dmft in epidemiological studies showed high sensitivity and specificity (85.5% and 83.6%, respectively) compared to the clinical visual tactile inspection.

Dental visits were assessed by means of parental questionnaires, in which parents answered the question whether their child had visited the dentist in the past year (yes/no).

**Covariates**

Socioeconomic status (SES) was retrieved via parental questionnaires and measured using: maternal education level, net household income, maternal employment status, and marital status. Educational level was defined as: low (no education, primary education, ≤ 4 years general secondary school or lower vocational training), middle (≥ 4 years general secondary school or intermediate vocational school), and high (bachelor’s degree, higher vocational school or a university degree finished). Monthly net household income was categorized as ‘≤ €2400’ and ‘> €2400’, based on the average monthly general labour income in The Netherlands in 2010. Employment status of the mother was dichotomized as ‘paid job’ or ‘no paid job’. Marital status of the mother was dichotomized as married (married or registered partnership) or not. Children’s ethnic background was defined according to the Dutch classification of ethnic background and classified as ‘Dutch’ if both parents of the child were born in The Netherlands and ‘non-Dutch’ if one of the parents was born in another country than The Netherlands.

Sugar intake during childhood was assessed in questionnaires with questions about the frequency of consuming high caloric snacks and sugar containing beverages. For the analyses, sugar intake was dichotomized as ‘low’ (≤2 sugar containing products a day) and ‘high’ (≥3 sugar containing products a day). Tooth brushing frequency was assessed by means of questionnaires and dichotomized as ‘≤1 per day’, or ‘≥2 per day’.

**Data analyses**

Multilevel logistic regression models were used to estimate Odds Ratios (ORs) of having mild (dmft 1–3) or severe caries (dmft >3) compared to children with no caries (dmft = 0). Multilevel models are useful to study clustered data, as in this study where children (level-1) are clustered within neighbourhoods (level-2). We used random intercept multilevel models for all analysis. In these models, the intercept is allowed to vary across neighbourhoods thereby accounting for the clustering of children within neighbourhoods. We verified that the relationship between each continuous predictor and the outcome was linear on the logit scale, and that multicollinearity between predictor variables was absent. We constructed three models for each dental outcome:

1. Null model: this is an empty model which enabled to observe the proportion of the total variance that is due to neighbourhood differences. The variance partitioning coefficient (VPC) was calculated using a method where the individual level variance is fixed at 3.29 (±2/3) for dichotomous outcome variables. The percentage neighbourhood variance was calculated by dividing the random intercept variance (neighbourhood level variance component) by the sum of the individual and neighbourhood level variances. The VPC can vary between 0 and 100%, the higher this percentage the larger the role of neighbourhoods in the existing difference of caries experience between individuals. The VPC was calculated per imputed dataset and consequently averaged to present one summary VPC per model.

2. Model 1: this model includes one of the four neighbourhood variables separately

3. Model 2: this model includes all neighbourhood variables simultaneously

4. Model 3: Model 2 + the individual variables that were considered as confounders

Multiple imputation was performed to account for information bias associated with missing data in the covariates. Missing values were multiple imputed by generating 10 independent datasets with the use of chained equations, and effect estimates for each imputed dataset were pooled and presented in this study. Imputations were based on all variables in the models, but the main determinants and the outcomes were not imputed. Statistical analyses were generated using R 3.6.1 (R Core Team, Vienna, Austria) (packages: mice and Lme4). P-values ≤ 0.05 indicated statistical significance.

**Supplemental analyses**

For the association between neighbourhood characteristics and dental caries, sugar consumption and brushing frequency were considered as mediators rather than confounding factors. To observe the influence of these variables on the effect estimates, we performed sensitivity analyses to additionally adjust our models (Supplementary tables S1 and S2). The same applies for dental caries as a potential mediator in the association between neighbourhood characteristics and dental visits (Supplementary table S3). A non-response analysis was conducted to evaluate potential selection bias by comparing the sample characteristics of children with (included) and without (excluded) available information on postal code and oral health outcomes (Supplementary table S4).

**Results**

**Population characteristics**

The prevalence of mild and severe caries in our study population was 19.6 and 13.4%, respectively. In the total study population 92.4% visited the dentist yearly. Children with severe caries lived in neighbourhoods with an average of 3.5 (±SD 2.1) supermarkets and 15.1 (±SD 14.0) snack bars which is higher than children without caries (mean ± SD 2.6 ± 2.0; and 10.0 ± 12.3, respectively). In addition, 54.5% of children with severe caries and 41.6% of children with mild caries lived in deprived neighbourhoods, compared with 30.7% of children without caries (Table 1).

**Association between neighbourhood characteristics and dental caries**

Differences between neighbourhoods explained 2.7% and 13.3% of the variance in mild and severe dental caries of 6-year-old children, respectively (Table 2, null model). Of the neighbourhood characteristics added in model 1, the VPC reduced the most for severe caries when NSS was added to the model (VPC: 5.0%). After controlling for individual characteristics (model 3), the VPC was (almost) 0% for both mild and severe caries. A statistically significant association was observed between neighbourhoods with middle NSS and low NSS and severe caries compared to neighbourhoods with high NSS (Table 2, model 2). The associations remained after adjustments for individual characteristics, although not significantly for middle NSS with severe caries (Model 3: middle NSS: OR: 1.32, 95% CI: 0.96–1.81; low NSS: OR: 1.48, 95% CI: 1.02–2.15, Table 2).

**Association between neighbourhood characteristics and dental visit**

Differences between neighbourhoods explained 2% of the variance in yearly dental visits of 6-year-old children (Table 3, null model).
Neighbourhood characteristics and children’s oral health

Table 1 Individual and neighbourhood characteristics of the study population

| Individual characteristics | Total population (n = 5960) | No caries (n = 3105) | Mild caries (n = 909) | Severe caries (n = 620) |
|----------------------------|-----------------------------|---------------------|----------------------|------------------------|
| Child’s gender             |                             |                     |                      |                        |
| Boys                       | 3003 (50.4%)                | 1548 (49.4%)        | 438 (48.2%)          | 331 (53.4%)            |
| Girls                      | 2957 (49.6%)                | 1557 (50.1%)        | 471 (51.8%)          | 289 (46.6%)            |
| Child’s age at dental assessment (mean ± SD) | 6.2 ± 0.5                  | 6.1 ± 0.4           | 6.3 ± 0.6            | 6.3 ± 0.6              |
| Child’s age filling out questionnaire (mean ± SD) | 6.1 ± 0.5                  | 6.0 ± 0.4           | 6.2 ± 0.6            | 6.2 ± 0.6              |
| Maternal educational level |                             |                     |                      |                        |
| Low                        | 745 (14.4%)                 | 259 (9.5%)          | 132 (18.4%)          | 139 (32.1%)            |
| Middle                     | 1699 (32.8%)                | 844 (30.9%)         | 271 (37.7%)          | 180 (41.6%)            |
| High                       | 2735 (52.8%)                | 1627 (59.6%)        | 315 (43.9%)          | 114 (26.3%)            |
| Missingss                  | 781 (13.1%)                 | 375 (12.1%)         | 191 (21.0%)          | 187 (30.2%)            |
| Net income per month       |                             |                     |                      |                        |
| Low (< €2400)              | 1618 (33.2%)                | 722 (27.9%)         | 279 (41.3%)          | 244 (60.1%)            |
| High (> €2400)             | 3262 (66.8%)                | 1864 (72.1%)        | 397 (58.7%)          | 162 (39.9%)            |
| Missings                   | 1080 (18.1%)                | 519 (16.7%)         | 233 (25.6%)          | 214 (34.5%)            |
| Employment status mother   |                             |                     |                      |                        |
| Paid job                   | 3673 (74.9%)                | 2073 (79.9%)        | 465 (68.3%)          | 211 (52.9%)            |
| No paid job                | 1231 (25.1%)                | 521 (20.1%)         | 216 (31.7%)          | 188 (47.1%)            |
| Marital status             |                             |                     |                      |                        |
| Married/registered partnership | 3478 (67.0%)               | 1790 (65.9%)        | 497 (68.6%)          | 313 (70.8%)            |
| Unmarried/registered partnership | 1714 (33.0%)               | 925 (34.1%)         | 228 (31.4%)          | 129 (29.2%)            |
| Missings                   | 768 (12.9%)                 | 390 (12.6%)         | 184 (20.2%)          | 178 (28.7%)            |
| Ethnic background           |                             |                     |                      |                        |
| Dutch                      | 3257 (55.8%)                | 1859 (61.0%)        | 406 (46.2%)          | 170 (29.0%)            |
| Non-Dutch                  | 2581 (44.2%)                | 1188 (39%)          | 473 (53.8%)          | 416 (71.0%)            |
| Missings                   | 122 (2.0%)                  | 58 (1.9%)           | 30 (3.3%)            | 34 (5.5%)              |
| Sugar intake               |                             |                     |                      |                        |
| Low (<2 per day)           | 1637 (32.5%)                | 886 (33.5%)         | 224 (32.4%)          | 123 (29.0%)            |
| High (>2 per day)          | 3394 (67.5%)                | 1756 (66.5%)        | 467 (67.6%)          | 301 (71.0%)            |
| Missings                   | 929 (15.6%)                 | 463 (14.9%)         | 218 (24.0%)          | 196 (31.6%)            |
| Tooth brushing per day     |                             |                     |                      |                        |
| ≤ Once                     | 1056 (20.8%)                | 501 (19.0%)         | 147 (21.4%)          | 111 (25.8%)            |
| ≥ Twice                    | 4018 (79.2%)                | 2136 (81.0%)        | 541 (78.6%)          | 320 (74.2%)            |
| Missings                   | 886 (14.9%)                 | 468 (15.1%)         | 221 (24.3%)          | 189 (30.5%)            |
| Dental visit in past year  |                             |                     |                      |                        |
| No                         | 386 (7.6%)                  | 210 (7.9%)          | 48 (6.9%)            | 27 (6.2%)              |
| Yes                        | 4716 (92.4%)                | 2435 (92.1%)        | 649 (93.1%)          | 407 (93.8%)            |
| Missings                   | 858 (14.4%)                 | 460 (14.8%)         | 212 (23.3%)          | 186 (30.0%)            |

**Neighbourhood characteristics**

|                          |                              |                      |                      |                        |
|--------------------------|-------------------------------|----------------------|----------------------|                        |
| Mean number of supermarkets within 1 km distance ± SD | 2.8 ± 2.0                      | 2.6 ± 2.0            | 2.9 ± 2.1            | 3.5 ± 2.1              |
| Mean number of snack bars within1 km distance ± SD | 10.6 ± 12.5                    | 10.0 ± 12.3          | 11.5 ± 12.5          | 15.1 ± 14.0            |
| Mean number of dental practices ± SD | 3.2 ± 2.4                      | 3.3 ± 2.5            | 3.4 ± 2.9            | 2.9 ± 2.2              |
| Mean dental practice density per 10,000 inhabitants ± SD | 3.3 ± 2.8                      | 3.4 ± 2.9            | 3.1 ± 2.7            | 2.9 ± 2.4              |
| Level of deprivation (mean NSS ± SD) | −0.5 ± 1.6                       | −0.3 ± 1.6          | −0.7 ± 1.6          | −1.2 ± 1.5             |
| Low NSS (most deprived) | 2090 (35.1%)                    | 954 (30.7%)          | 378 (41.6%)          | 338 (54.5%)            |
| Middle NSS               | 2322 (39.0%)                   | 1247 (40.2%)        | 334 (36.7%)          | 212 (34.2%)            |
| High NSS (least deprived) | 1548 (26.0%)                   | 904 (29.1%)          | 197 (21.7%)          | 70 (11.3%)             |

Numbers are presented as absolute numbers for categorical variables or as mean (SD) for continuous variables. NSS, neighbourhood status score. Missing values are presented in italic type as absolute numbers and percentages.

The neighbourhood variance was 0% after including neighbourhood characteristics (Table 3, model 2). Compared to those with a high NSS, living in a neighbourhood with a low NSS decreased the likelihood of visiting the dentist (Table 3, model 2). This association remained after additional adjustment for individual characteristics, but was no longer statistically significant (Model 3: OR: 0.81, 95% CI: 0.56–1.18, Table 3).

Discussion

The results of this study show that neighbourhood level differences in caries and dental health service use exist, but that these neighbourhood differences disappear after controlling for neighbourhood level and individual level characteristics. Living in a deprived neighbourhood is positively associated with dental caries and suggestive of decreased dental visits, even after adjusting for several individual socioeconomic characteristics.

Several studies have investigated the relationship between neighbourhood deprivation and oral health. In line with our results, three studies found a relationship between deprived areas and caries, while two others did not. However, only three studies used multilevel analyses similar to our study. Moreover, merely one of these studies controlled for individual socioeconomic indicators, which makes it difficult to conclude whether the poor oral health outcomes found in deprived areas reflect the individual SES or the physical and social environment individuals live in. In our study we used NSS as a measure of neighbourhood deprivation which is based on four sociodemographic characteristics. However, other measures exist and using these may lead to different results. For example, a multilevel study in the UK using area deprivation scores based on overcrowding in households, male unemployment, proportion of low SES, and proportion of persons without a car, did not find an association between area deprivation and the number of sound teeth among adults. Similarly, in an Italian multilevel study no association was observed between a city deprivation index and DMFT in 12-year-old children. However, whereas the latter study used the deprivation level of an entire city, we were able to assess neighbourhood deprivation levels within a city and villages, which
Table 2: Association between neighbourhood and dental caries

| Model | Mild caries (dmft 1-3) | Severe caries (dmft >3) |
|-------|-----------------------|------------------------|
|        |                      |                       |
| Null model |                      |                       |
| Model 1 |                      |                       |
| Model 2 |                      |                       |
| Model 3 |                      |                       |

**Neighbourhood variables**

- Number of supermarkets within 1 km distance
- Dental practice density per 10,000 inhabitants
- NSS (deprivation score)

**Model 1** includes all neighbourhood characteristics separately.

**Model 2** includes all neighbourhood characteristics with random intercept per characteristic.

**Model 3** includes all individual characteristics (gender, age, maternal educational level, family household income, maternal employment status, maternal marital status, and ethnic background)

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**NSS, neighbourhood status score; VPC, variance partitioning coefficient (representing the proportion of variance due to neighbourhood level differences).**

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- Model 1: random intercept model with random intercept for all cats. All analyses were performed using multilevel logistic binormal regression models, and results are presented as odds ratios (OR) with 95% confidence intervals (CI).
- Model 2: random intercept model for all cats. All analyses were performed using multilevel logistic binormal regression models, and results are presented as odds ratios (OR) with 95% confidence intervals (CI).
- Model 3: model 2 plus individual characteristics (gender, age, maternal educational level, family household income, maternal employment status, maternal marital status, and ethnic background).
We have shown that children living in deprived neighbourhoods are at risk of dental caries and having fewer dental visits. This research shows that targeted policies and interventions related to oral health and related behaviour are needed for children living in deprived neighbourhoods. Unfortunately, information on what type of interventions might be effective to reduce dental caries in children living in deprived neighbourhoods is limited. Research on other health outcomes suggests that influencing the larger socioeconomic circumstances could improve health, instead of medical oriented interventions.\textsuperscript{40} We encourage future research to understand which interventions reduce the dental caries risk of children living in deprived neighbourhoods efficiently in order to develop targeted oral health interventions that have the potential to specifically decrease dental caries among children within deprived neighbourhoods.

**Conclusions**

We have shown that children living in deprived neighbourhoods are at risk of dental caries and having fewer dental visits. This research shows that targeted policies and interventions related to oral health and related behaviour are needed for children living in deprived neighbourhoods. Unfortunately, information on what type of interventions might be effective to reduce dental caries in children living in deprived neighbourhoods is limited. Research on other health outcomes suggests that influencing the larger socioeconomic circumstances could improve health, instead of medical oriented interventions.\textsuperscript{40} We encourage future research to understand which interventions reduce the dental caries risk of children living in deprived neighbourhoods efficiently in order to develop targeted oral health interventions that have the potential to specifically decrease dental caries among children within deprived neighbourhoods.

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**Conflicts of interest:** None declared.
Key points

- Living in deprived neighbourhoods is associated with severe childhood dental caries;
- Living in deprived neighbourhoods is modestly related with less dental visits of 6-year-old children;
- Supermarket, fast-food and dental clinic density per neighbourhood are not associated with dental caries or dental care use in a population of 6-year-old children.

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