Exploring the role of microglia in cortical spreading depression in neurological disease

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Abstract
Microglia play a pivotal role in innate immunity in the brain. During development, they mature from myeloerythroid progenitor cells in the yolk sac and colonize the brain to establish a resident population of tissue macrophages. In the postnatal brain, they exert phagocytosis and induce inflammatory response against invading pathogens. Microglia also act as guardians of brain homeostasis by surveying the microenvironment using motile processes. Cortical spreading depression (CSD) is a slowly propagating (2–5 mm/min) wave of rapid, near-complete depolarization of neurons and astrocytes followed by a period of electrical suppression of a distinct population of cortical neurons. Not only has CSD been implicated in brain migraine aura, but CSD-like events have also been detected in stroke and traumatic injury. CSD causes a considerable perturbation of the ionic environment in the brain, which may be readily detected by microglia. Although CSD is known to activate microglia, the role of microglial activation in CSD-related neurological disorders remains poorly understood. In this article, we first provide an overview of microglial development and the multiple functions of microglia. Then, we review existing data on the relationship between microglia and CSD and discuss the relevance of CSD-induced microglial activation in neurological disease.

Keywords
Microglia, cortical spreading depression, phagocytosis, high-mobility group box 1, synaptic pruning

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Microglia: Multifunctional resident macrophages in the central nervous system

In the past, the central nervous system (CNS) was considered a site of immune privilege. Microglia, first described by Achucarro and subsequently thoroughly characterized by his successor, Rio-Hortega,1 are now known to play a pivotal role in innate immunity within the CNS.2 In addition, emerging evidence demonstrates the presence of a conduit that enables communication between the brain parenchyma and cervical lymph nodes via the glymphatic system (an interstitial fluid (ISF)/cerebrospinal fluid (CSF) exchange system located in the perivascular space) (Figure 1).3–6 Along with brain microglia, this exchange system facilitates immune surveillance of brain ISF. These findings suggest that the CNS immune system is more constitutively active than previously envisioned. A currently supported view concerning the origin of microglia postulates that they are derived from erythro-myeloid progenitors in yolk sac that enter the brain during different developmental stages in the brain.7 Yolk sac erythro-myeloid progenitors express the chemokine receptor CX3CR1.8 To closely analyze the fate of erythro-myeloid progenitors in the CNS during embryogenesis, Kierdorf et al.9 used Cx3cr1GFP/wt mice, which contain a GFP knock-in on one allele of the Cx3cr1 gene. They discovered that mouse microglia were derived from primitive c-kit+ erythro-myeloid precursors present in the yolk sac of embryos at embryonic age of 7.5 to 8.0 days (E7.5–E8.0) (Figure 2(a)). These precursors develop into CD45+ c-kitlow CX3CR1-immature (A1) cells and mature into CD45+ c-kit-
Microglia act as a front-line defense system by phagocytosing pathogens, secreting humoral substances, such as proinflammatory cytokines, and producing reactive oxygen species (ROS) and reactive nitrogen species (RNS). Microglia-producing proinflammatory cytokines include interleukin-1β (IL-1β), IL-6, and tumor necrosis factor α (TNF-α). These microglial actions collectively eliminate pathogens from the CNS. The activation pattern of microglia in response to invading pathogens is typical of the classical proinflammatory phenotype of macrophages (M1 polarization). This type of microglial activation is also involved in other disease processes. For instance, cerebral ischemia and amyloid β (Aβ) stimulate IL-1β synthesis in microglia through the activation of the inflammasome. Morphologically, activated microglia demonstrate enlarged cytoplasm and thickened processes. They tend to retract their processes and under extreme conditions, assume an amoeboid shape. Microglia also display an alternative activation pattern termed M2 polarization. M2-polarized microglia dampen inflammatory reactions and contribute to tissue remodeling and angiogenesis. Like other tissue macrophages, microglia engulf necrotic and apoptotic neurons. Dead neurons expose phosphatidylserine and other apoptotic “eat-me” signals on their cell surface, which prompts microglia to carry out phagocytosis. Such microglia-mediated clearance of unwanted neurons is an important process of proper brain tissue remodeling. With regard to microglia function under the physiological condition, it has been observed they are evenly distributed in the brain parenchyma. Recent in vivo imaging experiments have uncovered that these resident microglia constantly survey their surrounding microenvironment with their motile processes, which is likely relevant to immune surveillance. However, such motion has been observed even in the absence of offending microorganisms. Increasing evidence has revealed that microglia engulf presynaptic and postsynaptic elements by extending their processes to contact dendritic spines, axons, and synapses as observed in the developing brain. Microglia-mediated synaptic pruning is dependent on regional neuronal activity. In the visual cortex, visual experience causes microglial processes to change their morphology and motility; these processes alter distributions of extracellular space, display phagocytic structures, appose synaptic clefts more frequently, and envelope synapse-associated elements more extensively. Intriguingly, synaptic pruning occurs preferentially in response to less active inputs, which apparently contributes to activity-dependent synaptic plasticity and learning. Microglia express CR3, the high-affinity receptor for C3, which is required for the precise execution of synaptic pruning. Moreover, microglia are involved in synapse formation by secreting brain-derived neurotrophic factor (BDNF). Consistent with these data, genetic inhibition of microglia results in derangement of both synapse formation and elimination of dendritic spines.
Clinical implications of cortical spreading depression

Cortical spreading depression (CSD) is defined as a slowly propagating (2–5 mm/min) wave of rapid, near-complete depolarization of neurons and astrocytes followed by a period of electrical suppression of a distinct population of cortical neurons (Figure 3). By a strict definition, CSD refers only to the electrical silence of brain electrical activity following spreading...
depolarizations. CSD is accompanied by secondary changes of cerebral blood flow (CBF), which comprised the following four distinct phases: (i) an initial, brief hypoperfusion, (ii) a marked, transient hyperemia, (iii) a later, smaller hyperemia, and (iv) a long-lasting oligemia. CSD was first observed in the cerebral cortex of healthy rabbits by Leao. Experimentally, CSD can be elicited by chemical stimulation (high potassium exposure, ATPase inhibitors, endothelin-1, N-methyl-D-aspartate (NMDA) receptor agonists), pinprick stimulation, and electrical stimulation of the intact cerebral cortex. CSD is considered the biological substrate of migraine aura. The most clinically convincing evidence for this came from an MRI study by Hadjikhani et al., which demonstrated the clinico-radiological correlation of visual percept of aura symptoms and propagation of cortical blood oxygenation level-dependent (BOLD) signals in the visual cortex of a patient experiencing a migraine. In addition, CSD has been recorded by electrocorticography in patients with ischemic and hemorrhagic stroke and brain trauma. In most cases, however, electrical activity of brain tissue is already compromised before the development of spreading depolarizations. As a result, the characteristic temporal profile of CSD, in which rapidly evolving depolarizations of neural cells were followed by suppression of electrical activity, may not necessarily be observed. Because of this, “spreading depolarization” is now regarded as a more precise and preferred term to describe such conditions than CSD. Spreading depolarization can be elicited by cerebral ischemia or traumatic brain injury experimentally. Hereafter, we will use the term, CSD, in the broad sense. CSD can be induced in isolated brain slice cultures. Detailed electrophysiological analysis using hippocampal slices revealed that the apical dendrites of neurons were depolarized earlier than the somata during CSD. As compared to action potentials, CSD induces a greater magnitude of extracellular potential shift (typically 10–20 millivolts) which continues for much longer (at least several minutes). Correspondingly, CSD causes considerable alterations in the cerebral ionic environment. The initiation of CSD entails a rapid increase in $[K^+]_e$ from 4 mM to 30–60 mM and a rapid decline in $[Na^+]_e$ from 140 mM to 50–70 mM (black arrows). $Na^+,-ATPase$ activity then rectifies the resultant abnormal ion distribution (red arrows). However, CSD-associated oligemia may have a negative impact on $Na^+,-ATPase$ activity.

**Effects of CSD on microglial function**

Microglia express voltage-sensitive ion channels, including $Na_v1.1$, $K_v1.3$, and $K_v1.5$, and are thought to sense electrical activity pertaining to CSD. CSD initially elevates extracellular $pH$, which subsequently leads to a gradual decrease in tissue $pH$. Accumulation of protons may be detected by microglial transient receptor potential cation channel subfamily V, member 1 (TRPV1). A recent study revealed that microglia exhibit increased NMDA-dependent inward rectifying potassium conductance after CSD, which can be interpreted as a compensatory mechanism for elevated extracellular $K^+$ concentration. However, little...
is known about microglia activity in response to CSD. Gehrmann et al.\textsuperscript{55} reported that the number of major histocompatibility complex (MHC) class II antigen-positive microglia significantly increased in the rat cerebral cortex between 16 and 24 h after CSD. This finding raised the possibility that CSD is able to elicit microglial immune reactions. Moreover, it has been shown that CSD can increase the proliferation and migration of microglia, both of which are well-recognized essential features of the immune response.\textsuperscript{36,56}

Biochemically, there is evidence that CSD induces ROS production in microglia that are located in the affected brain tissues.\textsuperscript{37} In addition, CSD stimulates microglial secretion of IL-1\(\beta\)\textsuperscript{57} and TNF\(\alpha\).\textsuperscript{35} Collectively, these data suggest that CSD can initiate inflammatory activation of microglia. Interestingly, ROS and TNF\(\alpha\) have been shown to lower the threshold for CSD induction, thus forming a positive feedback mechanism that favors the perpetuation of CSD induction.\textsuperscript{35,37} On the other hand, TNF\(\alpha\) has been shown to reduce CSD amplitudes in a dose-dependent manner.\textsuperscript{38} Hence, this proinflammatory cytokine seems to exert complex actions on CSD. In addition, IL-1\(\beta\) is also known to attenuate CSD amplitudes via the GABA\(_A\) receptor activity at lower concentrations. However, at a high dose, IL-1\(\beta\) did not alter the magnitude of CSD amplitudes. Since these cytokines promote vascular permeability, as a whole, they are likely to have a deleterious effect on CNS tissue in CSD pathophysiology. Moreover, in hippocampal slice culture studies, selective depletion of microglial cells with clodronate conferred resistance to CSD induction.\textsuperscript{38}

Conversely, restoration of microglial cells to previously depleted cultures restored the susceptibility to CSD.\textsuperscript{38} A similar phenomenon has been reported in an in vivo neuroimaging study.\textsuperscript{59} An in vivo calcium imaging technique demonstrated that microglia depletion led to a perturbation of neuronal calcium response.\textsuperscript{59} Hence, it is inferred that a certain microglia-regulated neuronal calcium response may be required for CSD occurrence. Furthermore, evidence shows that microglial CSD-generating activity can be inhibited by insulin-like growth factor-1 (IGF-1) treatment\textsuperscript{37} and environmental enrichment,\textsuperscript{38} the latter of which promotes M2-polarization of microglia (Figure 4). It has been demonstrated that IGF-1 antagonizes TNF\(\alpha\).\textsuperscript{37} These data were obtained in experimental settings where CSD was induced in cluster. Clinically, successive CSD/spreading depolarizations have been demonstrated in patients with stroke and traumatic brain injury.\textsuperscript{30,31} Experimental evidence demonstrates that CSD/spreading depolarizations contribute to the expansion of infarct volume by several mechanisms.\textsuperscript{60,61} First, a limited supply of ATP due to ischemia renders neurons unable to reestablish the resting membrane potential, which may lead up to the occurrence of terminal depolarization. Second, excess extracellular glutamate can induce excitotoxicity. IGF-1 treatment and other therapeutic interventions that promote M2-polarization of microglia may ameliorate secondary brain damage resulting from cerebral ischemia.

**Microglial activation is dependent on the number of CSD inductions**

As mentioned above, multiple CSD inductions are likely to activate microglia. Consequently, to determine if microglial activation occurs after a single CSD event, as seen in the usual migraine aura, we compared morphological changes of microglia in response to single and multiple CSD episodes. We found that a single CSD induction led to only subtle morphological changes in microglia, whereas multiple CSD inductions caused marked enlargement of microglia after 24 h.\textsuperscript{62} This morphological change normalized by 72 h after CSD inductions. However, there were no significant changes in the numbers of microglia. Our data suggest that a single episode of CSD is “innocuous” to the brain in terms of microglial activation. We did not detect activation of caspase-3 or DNA fragmentation detectable by the TUNEL assay (unpublished data). Nedergaard and Hansen\textsuperscript{63} reported that CSD was not associated with neuronal injury in the normal brain. This is also consistent with the traditionally held belief that a single attack of migraine with aura is a benign condition that does not cause any clinically relevant structural brain damage. We sought to clarify the events upstream of the multiple CSD-induced microglial enlargements. Karatas et al.\textsuperscript{64} reported that CSD causes the release of HMGB1 (high-mobility group
box 1) from neurons via Pannexin 1 channels. Although HMGB1 is primarily located in the nucleus, upon injurious stimuli, it is released into the extracellular space, where it serves as a damage-associated molecular pattern (DAMP).

We found that neuronal HMGB1 release was dependent on the number of CSD inductions such that only multiple CSD events were able to cause significant HMGB1 release from neurons (Figure 5). In addition, transcriptional activity of the HMGB1 gene in cortical neurons was enhanced in response to multiple CSD events, which may reflect an attempt to replenish the cellular pool of the HMGB1 protein. In general, DAMP molecules bind to their corresponding receptors, thus transmitting a danger signal to surrounding cells. Major HMGB1 receptors include toll-like receptor 2 (TLR2) and TLR4. The ligation of HMGB1 with these receptors initiates intracellular signaling cascades that involve MyD88 and IRAK4. We found that multiple CSD inductions enhanced the transcriptional activity of the TLR2, TLR4, MyD88, and IRAK4 genes in brain tissue. TLR2/4 is reportedly expressed in microglia. In our study, CSD inductions failed to cause morphological changes in microglia in TLR2/4 double knockout mice. Moreover, application of anti-HMGB1 antibody to the cortical surface attenuated the morphological alterations of microglia caused by multiple CSD inductions. These data indicate that the HMGB1–TLR2/4 axis plays a crucial role in the microglial activation caused by multiple CSD events. It is important to elucidate the functional significance of such activated microglia. We observed that the majority of hypertrophic microglia displayed prominent immunoreactivity for cathepsin D, a lysosomal acid hydrolase (Figure 6(a)). This finding was suggestive of an activated state of phagocytosis. Nevertheless, we did not observe any apoptotic changes in the brain tissue subjected to multiple CSD episodes, making it unlikely that activated microglia are involved in the execution of apoptosis or phagocytosis of dead neurons. Again, this was consistent with the previous report by Nedergaard and Hansen. An alternative concept is that activated microglial phagocytic activity is involved in synaptic pruning (Figure 6(b)). As stated above, CSD elevates \([\text{Ca}^{2+}]\) in dendritic spines of cortical neurons. A recent in vivo multiphoton microscopy study disclosed that CSD causes dramatic structural alterations of synapses between axons and the dendritic spines of cortical neurons. Several minutes after CSD, axonal bouton density increased by
20% and bouton size decreased by 25 to 40% compared to the resting state. Concomitantly, there was a morphological shift from predominantly stubby spines to thin or mushroom spines after CSD, which implies enhancement of synaptic excitability. Although single CSD events cause abnormalities in synaptic morphology and functionality, these alterations are short-lived and reversible. However, successive CSD episodes prevent the recovery of synaptic abnormalities, indicating that the accumulated stress of prior CSD events lead to irreversible dendritic injury. Thus, it is plausible that activated microglia engage in the repair of damaged synapses through synaptic pruning and formation after multiple CSD episodes.

**Concluding remarks: Unresolved issues concerning the role of microglia in CSD pathophysiology**

Although aforementioned data suggest that microglia are required for the occurrence of CSD, the mechanisms by which microglia induce CSD remain unknown. Locally elevated extracellular K$^+$ concentration is necessary for CSD induction.\(^{25,27}\) Provided that microglia raise extracellular K$^+$ concentration before CSD occurrence, they would have to follow through in a voltage-independent manner. Microglia have been shown to express potassium intermediate/small conductance calcium-activated channels, subfamily N, member 4 (KCNN4/KCa3.1).\(^{70}\) This voltage-insensitive and calcium/calmodulin-regulated potassium channel has been implicated in lipopolysaccharide- and ischemia-induced brain damage.\(^{70}\) Activation of this channel can cause massive K$^+$ efflux. Therefore, the involvement of KCNN4/KCa3.1 in the initiation of CSD may be worthy of investigation. Another unresolved issue is whether multiple CSD-induced microglial activation is an adaptive phenomenon or a harmful event to brain tissue. In-depth analysis of the molecules produced in these cells would be required to solve this problem. If microglia are indeed involved in synaptic pruning, the identification of synaptic components, like PSD95, within enlarged microglia should be an important confirmatory finding. Lastly, it remains uncertain whether immunological and inflammatory activation of multiple CSD-subjected microglia can influence systemic immunological activity. As mentioned above, the recently discovered lymphatic system makes possible immunological communication between the brain parenchyma and the peripheral lymphatic system. In this

**Figure 6.** Upregulation of cathepsin D, a representative lysosomal acid hydrolase, in activated microglia subjected to multiple CSD episodes. (a) As compared to cortical microglia in untreated mice (upper row), those subjected to multiple (five times) CSD inductions (lower row) exhibit increased cathepsin D immunoreactivity (arrow). This finding raises the possibility that lysosomal activity is enhanced by multiple CSD events. The specimens were obtained from a cerebral cortex subjected to CSD. This is representative of our data obtained from six independent animals. Bar = 20 μm. (b) Microglia activated by multiple CSD events may be recruited to damaged dendritic spines for synaptic repair.
paradigm, the CSF space comprises part of the CNS lymphatic system. FHM is characterized by prolonged migraine aura, and neuroimaging data support repeated inductions of CSD.\textsuperscript{71} It has been reported that FHM attacks often cause high fever and in a fewer cases, CSF pleocytosis.\textsuperscript{71–73} It may, therefore, be interesting to explore whether a potential crosstalk between activated microglia and the CSF/lymphatic system is really relevant to CSD pathophysiology.

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**Authors’ contributions**

Mamoru Shibata was involved in concept, design, writing, and editing. Norihiro Suzuki was involved in writing and editing.

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