Glycosphingolipid-functionalized nanoparticles recapitulate CD169-dependent HIV-1 uptake and trafficking in dendritic cells

Xinwei Yu1, Amin Feizpour1, Nora-Guadalupe P. Ramirez2, Linxi Wu1, Hisashi Akiyama2, Fangda Xu1, Suryaram Gummuluru2 & Björn M. Reinhard1

Ganglioside GM3, a host-derived glycosphingolipid incorporated in the membrane of human immunodeficiency virus-1 (HIV-1) viral particles, mediates interactions between HIV-1 and Siglec1/CD169, a protein expressed on dendritic cells (DCs). Such interactions, which seem to be independent of viral envelope glycoprotein gp120, are poorly understood. Here we develop a model system consisting of self-assembled artificial virus nanoparticles (AVNs) that are free of viral glycoproteins or other host-derived glycolipids and glycoproteins. These plasmonic AVNs contain a membrane of defined composition wrapped around a solid metal core. GM3-containing AVNs are captured by CD169-expressing HeLa cells or mature DCs, and are sequestered within non-lysosomal tetraspanin-positive compartments. This distribution is reminiscent of CD169-dependent HIV-1 sequestration in mature DCs. Our results highlight GM3–CD169 binding as a gp120-independent signal for sequestration and preservation of HIV-1 infectivity. They also indicate that plasmonic AVNs offer improved features over liposome-based systems and represent a versatile tool for probing specific virus-cell interactions.
Virions are endogenous smart nanoparticles (NPs) that are evolutionarily optimized to achieve efficient reproduction in host cells. The viral surface plays a crucial role in establishing successful infection since it facilitates the binding of virus particles to the host cell and the subsequent initiation of an uptake programme that results in the delivery of the viral genetic content to the intracellular virus replication machinery. Many of these interactions are facilitated by specific virus-encoded proteins, such as gp120 (human immunodeficiency virus-1 (HIV-1) envelope glycoprotein) in the case of productive (or cis) HIV-1 infection of CD4+ T cells and macrophages. There is, however, a growing body of evidence that some infection mechanisms also depend critically on the composition of the viral lipidome. In particular, host-derived glycosphingolipids incorporated in the virion play a central role for HIV-1 uptake and transmission by mature dendritic cells (DCs), a DC-mediated HIV-1 transinfection pathway. The GSL that mediates the glycoprotein-independent interactions between HIV-1 and mature DCs was identified as monosialodihexosylganglioside (GM3) and the type 1 interferon-inducible Siglec1, CD169, has been identified as the corresponding receptor that recognizes GM3 present in the membrane of HIV-1 particles. Furthermore, virus particles captured by CD169 in a GM3-dependent manner are sequestered in non-lysosomal compartments, and on initiation of DC–T cell contacts transferred to the DC–T cell synaptic junction, termed ‘virological synapse’. Since the cytoplasmic tail of CD169 lack any known endocytic motifs, many details of the mechanisms underlying CD169-mediated HIV-1 uptake and subsequent trafficking to DC–T cell virological synapses remain currently unclear. The latter is—at least in part—due to experimental complications associated with a systematic investigation of the role of individual host-encoded viral surface functionalities in transinfection. One particular challenge is that the compositional complexity of both cellular and viral surfaces gives rise to a multitude of potential interactions that can be both physical and chemical in nature and which are difficult to decouple in conventional virus models.

Different virus model systems have been developed for delivery purposes as well as for mechanistic studies. Successful realizations include virus-like particles (VLPs) and virus-based NPs, liposomes, and hybrid systems in which biomolecules are combined with inorganic NPs to reproduce viral functionality. None of the systems implemented so far, however, fulfill all the specific requirements with regard to size, transducing capability, surface composition and engineering to characterize the mechanistic role of GM3 in the membrane of the HIV-1 virion. For instance, HIV-1 Gag VLPs, which are common tools for characterizing the role of non-virus-encoded host cell surface functionalities, are immature virion cores wrapped in a lipid bilayer derived on budding from a virus-producer cell and can contain host-derived membrane glycoproteins in addition to a broad range of different (glyco)lipids. These additional groups can compete or interfere with the biological functionality of GM3.

In this manuscript, we overcome this complication and characterize the specific functionality of GM3 as a potential viral surface ligand using an approach of reverse nanoengineering. We demonstrate with artificial virus NPs (AVNs), which contain a membrane of defined composition wrapped around a metal nanoparticle core, that the recognition of GM3 through cell surface CD169 is sufficient to facilitate a specific binding of AVNs and virus particles to host cells. Unlike GM3-containing liposomes, the GM3-functionalized AVNs induce a temporospatial distribution that is distinctly different from conventional NP endocytosis and that results in an enrichment of AVNs in peripheral, tetraspanin-positive, non-lysosomal compartments.
therefore, unclear if AVN2 could successfully mimic virus-like behaviour and we consequently pursued and evaluated both strategies.

HIV-1 preferentially buds from lipid rafts so that the host-derived viral membrane is enriched in lipids with saturated fatty acids, glycosphingolipids, and cholesterol. We simplified the membrane composition for the AVNs and used a limited number of lipids of comparable size that provide similar physicochemical properties as the virus membrane. The compositions of the viral membrane and of the AVN membranes used in this work are summarized in Table 1. We chose dipalmitoylphosphatidylcholine containing two hydrophobic fatty acid chains and cholesterol as main components of the investigated membranes and integrated small quantities of phosphatidylserine to provide a negative surface charge comparable to that on HIV-1 particles. In addition, TopFluor-labelled cholesterol molecules were incorporated into the membrane to monitor AVN formation via fluorescence microscopy. Either 3 mol% GM3 or α-Galactosylceramide (Gal-Cer) was added to test the role of these lipids for binding CD169. We included Gal-Cer as control in our studies since it is chemically similar to GM3 but does not contain any sialic acid residues and, thus, was shown previously to not bind CD169.

Characterization of AVNs. We characterized the generated AVNs by measuring their hydrodynamic diameters and zeta potentials (ZP) (Fig. 3a,b). The hydrodynamic radius, reported as the peak in the size distribution obtained through dynamic light scattering, for GM3-containing AVN1 is $r_{\text{hyd}}(\text{AVN1}) = 77.8 \pm 5.3$ nm (s.d.) compared with $r_{\text{hyd}}(\text{AVN2}) = 50.7 \pm 3.0$ nm (s.d.) for

Table 1 | Lipid membrane composition of HIV-1 and AVN particles in molar percentage.

| Lipid              | HIV-1 | AVN-Blank | AVN-Gal-Cer | AVN-GM3 |
|--------------------|-------|-----------|-------------|---------|
| PC                 | 8.80% | N/A       | N/A         | N/A     |
| SM and DHSM        | 18.4% | N/A       | N/A         | N/A     |
| PE and pl-PE       | 19.2% | N/A       | N/A         | N/A     |
| DPPC               | N/A   | 54%       | 51%         | 51%     |
| Cholesterol        | 45.1% | 45%       | 45%         | 45%     |
| TopFluor cholesterol | N/A | 0.1%       | 0.1%         | 0.1%    |
| PS                 | 8.4%  | 1%        | 1%          | 1%      |
| GM3                | ~1.6% | N/A       | N/A         | 3%      |
| Gal-Cer            | N/A   | N/A       | 3%          | N/A     |

DHSM, dihydrosphingomyelin; DPPC, dipalmitoylphosphatidylcholine; Gal-Cer, galactosylceramide; GM3, monosialodihexosylganglioside; N/A, not applicable; PC, phosphatidylcholine; PE, phosphatidylethanolamine; pl-PE, plasmaenylethanolamine; PS, phosphatidylserine; SM, sphingomyelin.
GM3-containing AVN2. The observed difference in $r_{hyd}$ between AVN1 and AVN2 is too large to be accounted for only by differences in the membrane shell. Instead, the measured size difference indicates some self-association of the 80 nm Au NPs in the case of AVN1. Consistent with an increased level of NP clustering\textsuperscript{41,54,55}, the ultraviolet–visible spectra (see Supplementary Fig. 1) confirm a red-shift of the ensemble-averaged plasmon resonance wavelength of $\sim$8 nm for AVN1 when compared with AVN2. Both of the AVNs have zeta potentials between $-20$ and approximately $-30$ mV, which is close to the published value for HIV-1 particles of $-20$ mV under identical experimental conditions\textsuperscript{52}. Due to their resonant interaction with the incident light, the Au NPs used in this work are extraordinarily bright and have a characteristic green–orange colour. Liposomes and other organic contaminations with comparable sizes, in contrast, are dim (see Supplementary Fig. 2) or appear as broadband scatterers, which makes them easily discernable from metal NPs. Correlated darkfield/fluorescence microscopy (see Supplementary Fig. 3) is, therefore, an appropriate method for validating successful lipid wrapping around the NPs. Figure 3c,d show darkfield and fluorescence images of surface-immobilized AVN1 and AVN2 for representative preparations. Consistent with a successful formation of AVNs that contain both lipid and noble metal NP components, the images show $>90\%$ colocalization of fluorescence and darkfield signals for all AVN preparations. The exact colocalization statistics for $\sim$1,000 particles of each type are summarized in the insets. Control experiments performed with pegylated Au NPs incubated with TopFluor cholesterol in the absence of lipids did not yield any measurable fluorescence signal (see Supplementary Fig. 4). High-resolution TEM images of AVN1 and AVN2 (Fig. 3e,f) show a distinct corona around the NPs, which is an additional proof of successful membrane assembly around the NPs. For AVN2, the corona is 4–5 nm thin. Together with the small difference between $r_{hyd}$(AVN2) and the hydrodynamic radius of the citrate stabilized Au NPs ($r_{hyd} = 49.0 \pm 1.2$ nm (s.d.)), the thin corona indicates the addition of a single-lipid layer to the octadecanethiol-functionalized NPs in the AVN2 assembly process.

Consistent with the larger $r_{hyd}$ and relative spectral red-shift for AVN1 when compared with AVN2, the TEM images show mostly small clusters for AVN1, whereas for AVN2, individual Au NPs are predominantly observed. Although AVN1 preparations show some partial NP self-association, the average sizes of both AVN1 and AVN2 overlap with the natural size distribution of a HIV-1 virion. We conclude that based on the physical parameters of size, shape and surface charge, both S1 and S2 represent viable synthetic strategies for AVNs.

We also tested the mechanical stiffness of individual VLPs and AVN2 particles through atomic force microscopy nanoindentation measurements and found that AVN particles are stiffer than VLPs or liposomes. These findings are consistent with previous nanoindentation studies that reported a Young’s modulus in the few GPa range and above\textsuperscript{56} for biomolecule-loaded Au NPs and Young’s moduli of $\sim 950$ MPa or $\sim 440$ MPa for immature and mature HIV virions\textsuperscript{39}, respectively. Liposomes are softer than both AVNs and virus particles; egg-PC cholesterol (1:1) liposomes, for instance, have a Young’s modulus of $\sim 13$ MPa\textsuperscript{57}.
GM3-mediated temporospatial distribution of VLPs. VLPs are currently the model systems of choice for investigating the viral glycoprotein-independent capture of HIV-1 particles7,9, whose behaviour defines logical benchmarks for the evaluation of our reverse engineering strategy. In a first round of calibration experiments, we evaluated the binding specificity of VLPs in HeLa cells transduced to constitutively express CD169 (HeLa/CD169). Cells were incubated for 10 min with 1 × 10¹⁰ ml⁻¹ VLPs in Dulbecco’s modified Eagle medium (DMEM), washed and transferred to the fluorescence microscope for an optical inspection of the binding. We found that VLPs bound efficiently to HeLa/CD169 (Fig. 4a) but not to CD169-negative parental HeLa cells (Fig. 4b). This selective binding confirms that VLP binding is CD169 specific. Previous studies by Puryear et al.13 and Izquierdo-Useros et al.7,9 have demonstrated that GM3-mediated interactions of VLPs and infectious HIV-1 particles with CD169 on mature DCs induced a polarized virus distribution that resulted in an accumulation of the particles in non-lysosomal focal spots with preferential localization at the cell periphery. This characteristic spatial distribution was typically observed within 1–2 h after virus binding with the exact time depending on the particle concentration. Interestingly, we observed a similar clustering of VLPs in HeLa/CD169 only when the cells were cultured for additional time after exposure to the VLPs. While the VLPs are initially randomly distributed across the surface of HeLa/CD169 cells (Fig. 4a), they experience a spatial redistribution as a function of time. In ~1/3 of the cells, this redistribution culminates after 20 h in a strong local enrichment of VLPs in spatially confined spots. In good agreement with previous observations in DCs7,9,13 the locations of VLP enrichment do not co-stain with LysoTracker, which is a marker for acidified intracellular compartments, and the VLP enriched spots are often located at the cell periphery (Fig. 4c,d). We augmented the VLP tracking with simultaneous spatial mapping of the CD169–mCherry fusion protein and observed strong enrichment of CD169–mCherry at the site of VLP clustering (Fig. 4e–g), consistent with a role of CD169 as the receptor for HIV Gag VLPs.

CD169-mediated uptake and sequestration of GM3-AVN. In the next step, we verified whether GM3-containing AVNs reproduce the observed VLP behaviour. First, we tested if nano-conjugated GM3 is a ligand for CD169 by quantifying the binding efficacies of GM3 and Gal-Cer-containing AVNs and of blank controls. HeLa and HeLa/CD169 cells were incubated with 5 × 10⁸ ml⁻¹ AVNs in DMEM for 10 min, washed to remove unbound particles and inspected via darkfield microscopy. Interestingly, only GM3-containing AVN1 (Fig. 5a) and AVN2 (Fig. 5b) but not Gal-Cer-containing AVN1 (Fig. 5c) or AVN2 (Fig. 5d) bind to HeLa/CD169 cells. GSL-free blank AVN1 (Fig. 5e) or AVN2 (Fig. 5f) also did not bind to HeLa/CD169 cells. In additional control experiments, we verified that GM3-containing AVNs do not bind to HeLa cells (see Supplementary Fig. 5e). Together, these observations confirm that GM3-functionalized AVNs bind specifically to CD169.

We included a comparison of the spectral content of AVNs and cellular background by analysing the relative intensity distribution on the red (R), green (G) and blue (B) colour channels of the digital camera used to acquire the cell images. While the intensity of the cellular background is equally distributed across the R, G, B channels, the relative contributions from the R and G channels are locally increased in areas containing AVNs. We conclude that, due to their spectral characteristics and their high intensity (see insets), AVNs built around an 80 nm Au core can be differentiated with high fidelity even from grainy background in the investigated single-cell layer. We took advantage of the large optical cross-sections of GM3-functionalized AVNs to determine their spatial distribution in HeLa/CD169 cells 20 h after initial AVN binding. These experiments revealed a characteristic spatial redistribution of the AVNs from an initial random distribution to a preferential

Figure 4 | Spatial redistribution of HIV Gag–eGFP VLPs after binding to HeLa/CD169 cells. The VLPs selectively bind to (a) HeLa/CD169 cells but not to (b) parental HeLa cells after 10 min of incubation. (c,d) Twenty hours after binding to HeLa/CD169, the initially randomly distributed VLPs are enriched in peripheral compartments that do not co-stain with lysosome tracker. Fluorescence images of (e) CD169–mCherry, (f) VLP and (g) overlay 20 h after initial incubation with HIV Gag–eGFP VLPs. The fluorescence data show a strong optical colocalization of CD169 and VLPs. The VLP segregation was confirmed in two independent imaging experiments. Scale bars, 5 μm.
spatial enrichment in discrete spots at the cell periphery. The representative darkfield images of GM3-containing AVN1-treated (Fig. 6a,b) and AVN2-treated (Fig. 6c,d) HeLa/CD169 cells acquired 20 h after AVN exposure illustrate the formation of distinct NP clusters at the cell periphery. In the case of AVN2, we also performed fluorescence staining experiments to image the acidified membrane compartments and the cell nuclei (Fig. 6e,f). The correlated darkfield/fluorescence images reveal that the locations of high AVN concentration do not colocalize with LysoTracker. The preferential localization of AVN clusters at the HeLa/CD169 cell periphery in LysoTracker-negative compartments excludes conventional lysosomal compartments as origin of the large-scale AVN clustering, similar to the localization pattern observed with HIV Gag–eGFP VLPs. As in the case of the VLPs, we observed this phenotype in ∼1/3 of all investigated cells. We attribute the variability in the cellular response to AVNs and VLPs to the intrinsic heterogeneity of immortalized cancer cell lines58,59, as well as to variations in the CD169 expression level on the single-cell level. The observation of similar spatiotemporal distributions for both AVN1 and AVN2 implies that the intact lipid bilayer membrane of AVN1 is not required to trigger GM3–CD169-mediated cellular processes, but that the presentation of GM3 in a single leaflet tethered to a solid core, as is the case for AVN2, is sufficient to successfully reproduce HIV Gag VLP behaviour in HeLa/CD169 cells.

We correlated AVN clustering with the spatial CD169 distribution through combination of darkfield and fluorescence microscopy in HeLa cells expressing CD169–mCherry fusion protein. Analogous to the colocalization of CD169 with HIV Gag–eGFP VLPs (Fig. 4e–g), the overlay of darkfield and fluorescence images in Fig. 6g–i reveals an enrichment of CD169 at the sites of AVN clustering. The co-clustering of AVNs and CD169 20 h after AVN binding implies that GM3 remains associated with the NPs after binding to the cell. The demonstration of CD169-dependent binding and spatial clustering of AVNs confirms a successful reproduction of the HIV Gag VLP behaviour in HeLa/CD169. Since GM3 is the only ligand on the AVN surface, and because the non-specific binding of AVNs without GM3 is negligible, the successful reverse engineering of HIV Gag VLPs with AVNs provides direct experimental evidence that GM3/CD169 binding interactions are responsible for triggering the cellular response that culminates in the observed spatial sequestration of HIV Gag VLPs and AVNs.

Figure 5 | Binding of AVNs to HeLa/CD169 cells is GM3 specific. (a) Darkfield image of HeLa/CD169 cells after 10 min of incubation with AVN1 particles containing 3% GM3. AVN1 binds efficiently to HeLa/CD169. (b) AVN2 particles containing 3% GM3 also show efficient binding to HeLa/CD169 under otherwise identical conditions. In contrast, (c) AVN1 or (d) AVN2 particles with 3% Gal-Cer show no binding to HeLa/CD169 cells. Similarly, the binding of (e) AVN1 blanks or (f) AVN2 blanks (no GM3 or Gal-Cer) to HeLa/CD169 is negligible. (g) Relative intensity distribution on the red (R), green (G) and blue (B) colour channels of a digital camera for an AVN-bound cell surface (see inset) and (h) for control cell surface void of AVNs. Scale bars, 10 μm. GM3 binding specificity studies were independently repeated >3 times.
states are observed for the GM3-containing AVNs. Instead, the unique spatial distribution of the AVNs corroborates the hypothesis that GM3–CD169 binding elicits a distinct cellular response different from conventional endocytosis. Interestingly, this behaviour was found to be specific to AVNs, while GM3-containing liposomes of identical composition were exclusively collected in lysosomal compartments (Fig. 6l). This difference between the soft liposomes and the stiffer, metal core containing AVNs indicates a role of the mechanical properties in determining the intracellular fate of virus particles.

Although HeLa/CD169 cells are a useful model system to test and calibrate AVNs, it is unclear to what degree the observed spatial segregation of AVNs in HeLa/CD169 cells is relevant in DCs that mediate HIV-1 transinfection. To clarify this question, primary monocyte-derived DCs were matured with Escherichia coli lipopolysaccharides and then incubated with AVNs. While binding of Gal-Cer or blank AVNs to mature DCs was very low (see Supplementary Fig. 6), GM3-containing AVNs bound efficiently to mature DCs. Figure 7 shows darkfield and fluorescence images of mature DCs that were continuously incubated with GM3-containing AVN1 for 1 h under culture conditions similar to those for HeLa/CD169 cells. We observed that GM3–AVNs captured by mature DCs were redistributed and collected in tightly focused spatial locations. For mature DCs, 1 h of incubation with AVNs was sufficient to result in a strongly polarized AVN distribution with large NP clusters preferentially located at the cell periphery (Fig. 7a). Darkfield and fluorescent LysoTracker co-staining experiments (Fig. 7b–d) confirm that the

---

**Figure 6 | GM3-containing AVNs are sequestered in peripheral cellular regions in HeLa/CD169 cells.** (a–d) Darkfield images of HeLa/CD169 cells 20 h after an initial 10 min exposure to GM3-containing (a,b) AVN1 or (c,d) AVN2. (e,f) Corresponding fluorescence images of HeLa/CD169 with GM3-containing AVN2 after nucleus (blue) and LysoTracker (red) staining. The AVNs show an enrichment in regions that do not co-stain with the LysoTracker. (g) Darkfield, (h) CD169–mCherry fluorescent image and (i) overlay of GM3–AVN2 on HeLa/CD169–mCherry cells 20 h after incubation, which confirms increased CD169 concentrations at locations of AVN2 enrichment. The images in (a–i) show representative cells from five independent experiments. (j–l) Overlaid darkfield (green) and fluorescence LysoTracker images (red) of HeLa/CD169 cells after (j) 4 h of incubation with epidermal growth factor functionalized 80 nm Au NPs, (k) 20 h after incubation with pegylated 80 nm Au NPs, or (l) 20 h after 10 min incubation with fluorescently labelled GM3-liposomes. Scale bars, 10 μm.
AVN-enriched compartments in mature DCs are distinct from lysosomal compartments since no colocalization was observed between AVNs and lysosomal marker. While in HeLa/CD169 cells, multiple smaller AVN clusters are frequently found within a single cell, in mature DCs, we preferentially observed the formation of one single compartment highly enriched in AVNs. Although the data obtained with both mature DCs and HeLa/CD169 cells confirm the existence of a cellular process that results in a spatial coalescence of bound AVNs, CD169-mediated trafficking and localization of AVNs in peripheral non-lysosomal compartments occur with faster kinetics and is more pronounced in mature DCs.

We correlated the spatial distributions of VLPs and AVNs in mature DCs through combined fluorescence and darkfield microscopy after 1 h of incubation. We found that the regions enriched in VLPs and AVNs show an almost perfect colocalization (Fig. 7e–h), confirming that both VLPs and AVNs are sequestered into identical compartments. Previous studies have demonstrated that cellular compartments containing infectious HIV-1 particles in mature DCs are enriched in tetraspanins61,62. We immunolabelled the tetraspanin CD81 and imaged its distribution through fluorescence microscopy. These experiments revealed an unambiguous association of CD81 with AVN-containing compartments (Fig. 7i–l).
Localization of AVNs in HeLa/CD169 cells via FIB/SEM. The multimodal Au core of AVNs makes it possible to investigate the peripheral accumulation of AVNs observed in the optical microscope via scanning electron microscopy (SEM) and focused ion beam (FIB) milling. SEM detects the secondary electrons generated on impact from a primary electron beam. Since the secondary electrons have a very short escape depth, SEM imaging is highly surface specific. Figure 8 shows an optical darkfield (a) and correlated SEM (b) image of a representative HeLa/CD169 cell 20 h after addition of AVN2. The optical image indicates a large number of bound AVNs and their clustering in a peripheral cell area (white square in Fig. 8a). A SEM image of the entire cell is shown in Fig. 8b. Before any FIB milling, the number of detected NPs in this area is low, and those NPs that are detected are located right at the cell edge where the cell is very thin (Fig. 8c). To obtain further information about the relative localization of the AVN clusters with regard to the cell membrane, we removed cellular material through FIB milling in the area under investigation. Figure 8d and the magnified view show that already after the removal of only 10 nm of cellular material, additional AVN clusters (red arrows) as well as individual AVNs (green arrows) become detectable in areas that did not contain NPs in Fig. 8c. Some clusters are only faintly visible, indicating that they are still mostly contained in the cell matrix. An additional milling of 60 nm further excavates the large AVN clusters (Fig. 8e). A tilted view (52°) of the area after removal of ~90 nm of cellular material is included in Fig. 8f. When we milled even deeper, the AVNs were gradually removed and no additional AVNs were observed in the investigated cell section.

The picture that emerges from our combined optical and electron microscopic characterization is that, in HeLa/CD169 cells, the GM3–CD169-mediated AVN sequestration at the cell periphery leads to localization of AVN clusters within tens of nanometres below the plasma membrane. At the very edge of the cell, where the cell is thinnest, the AVNs can be located directly on the cell surface. The observation of AVN enrichment close to the membrane in HeLa/CD169 cells warrants additional future studies into the spatial localization of GM3-functionalized AVNs in DCs, where the dynamic range of possible separations between AVNs and plasma membrane is much larger. Our studies also suggest that AVNs in combination with SEM/FIB as an invaluable tool in future studies to determine the localization of GM3-functionalized AVNs in DCs.

Discussion
Self-assembled biomimetic nanosystems with defined surface properties represent engineerable model systems for characterizing the role of specific surface functionalities in virus–host cell interactions. We have used this approach to validate the role of GM3 in the viral envelope glycoprotein-independent binding and cellular trafficking of HIV-1 via a reverse engineering approach. Our observations that GM3 loaded AVNs are sequestered into the same compartments as VLPs and that these compartments are associated with tetraspanins provide experimental evidence for an imitation of virus particle behaviour by AVNs. The successful mimicry of HIV-1 trafficking mechanisms in monocyte-derived DCs and HeLa/CD169 cells with AVNs that contain only GM3 as a potential ligand unambiguously confirms the hypothesis that GM3 is the non-virus-encoded surface functionality that facilitates an envelope protein-independent capture of HIV-1 particles. Since the AVNs generated in this work share similarities with GM3-presenting exosomes, our findings are consistent with the Trojan exosome hypothesis that HIV has parasitized host intercellular vesicle trafficking pathways to enhance its dissemination.
We implemented two types of GM3-containing AVNs, one containing a complete lipid bilayer membrane (AVN1) and the other containing a single-lipid layer grafted onto the NP surface through hydrophobic interactions (AVN2). The fact that both GM3-functionalized AVN1 and AVN2 bind to HeLa/CD169 but not HeLa cells confirms that CD169 is the receptor for nanoconjugated GM3, independent of its presentation in a lipid monolayer or bilayer. Both types of AVNs were found to elicit a unique spatial sequestration in both HeLa/CD169 and mature DCs. Based on the particle distribution obtained by darkfield imaging, AVN clustering occurs preferentially in peripheral cell areas for both mature DCs and HeLa/CD169 cells. Combined SEM/FIB investigations in HeLa/CD169 cells substantiated this finding by revealing an accumulation of AVN clusters within tens of nanometres below the plasma membrane. In principle, this enrichment of the AVNs in direct vicinity of the plasma membrane could be the result of an exocytic intracellular pathway that directs a significant fraction of endocytosed AVNs from multivesicular endosomes to the plasma membrane via exosomes, especially in immature DCs. Remnants have, however, questioned, the role of the exocytic mechanisms in the peripheral sequestration of HIV in mature DCs and, instead, suggested capture and retention of virus in solvent accessible plasma membrane invaginations. While the localization of virus particles in solvent accessible membrane invaginations is considered essential for efficient inter-cell transfer of viral particles during transfection, the mechanism by which CD169 traffics virus particles to these peripheral compartments remains unclear. Independent of the exact mechanisms underlying the collection of AVNs in peripheral clusters, the fact that the GM3 containing minimalistic AVNs induce this unique spatial distribution in HeLa/CD169 cells provides experimental evidence in support of the hypothesis that GM3–CD169 interactions play a key role in orchestrating the cellular response of DCs during HIV-1 transinfection and the arrest of virus uptake and processing after GM3–CD169 engagement in mature DCs.

The fact that in HeLa/CD169 a sequestration was observed for GM3-containing AVNs but not for GM3-functionalized liposomes indicates that the presentation of GM3 is necessary but not sufficient to trigger sequestration. One striking difference between AVNs and liposomes of identical size and membrane composition is the difference in structural stiffness. Liposomes are much softer than HIV virions or metal NPs containing AVNs. One conclusive rationalization of the differences between liposomes and AVNs is, therefore, that mechanical properties also influence the intracellular fate of virus particles and that—unlike the AVNs—the liposomes are too soft to induce the sequestration observed for the virus. The observed differences in the spatiotemporal distribution of AVNs and liposomes argue in favour of AVNs as the more realistic model system for validating the potential role of specific membrane functionalities in the HIV-1 transinfection mechanism. In addition, AVNs have the advantage that they are multimodal and can be imaged with high contrast in both optical and electron microscopy.

The experimental validation that GM3-functionalized AVNs successfully mimic viral behaviour has important implications beyond elucidating fundamental mechanistic details of the GM3–CD169-mediated transfection pathway. A selective capture of GM3–AVNs by CD169 paves the path to new delivery strategies that can selectively deliver drugs and vaccines to CD169-expressing DCs and macrophages in vivo, which are key components of the immune system. Furthermore, our experimental findings show vividly that in contrast to endocytosis and targeting to lysosomal compartments, alternative cellular uptake and trafficking mechanisms can be targeted by smart NPs with rationally designed lipid membrane surfaces. The successful mimicry of HIV-1 sequestration into peripheral non-lysosomal compartments, an evolutionary optimized viral strategy for avoiding lysosomal degradation, forms the basis for novel AVN-based delivery strategies that can minimize the intracellular degradation of sensitive cargo. Due to their ability to target key cells of the immune system and to facilitate non-lysosomal uptake routes, GM3-functionalized AVNs can contribute significantly to overcome key challenges in drug delivery.

**Methods**

**AVN1 preparation (strategy S1).** A volume of 1 ml 1.1 × 10^10 particles ml^-1 colloidal Au NPs with a nominal diameter of 80 nm (Ted Pella) were incubated with 5 μl of 10 mM polyethylene glycol thiol propionic acid (acid–PEG) overnight, followed by three cycles of washing through centrifugation (600g, 10 min) and resuspension in double distilled (ddi) water to remove excess acid–PEG. The functionalized Au NPs were resuspended into 1 ml of 10 mM NaCl, pH 7. Simultaneously, a total amount of 1 μl of lipid mixture (Avanti Polar Lipids, Alabaster, AL) (major components are dipalmitoylphosphatidylcholine, cholesterol and phosphorylserine, GM3 or Gal-Cer; details in Table 1) was dissolved in 100 μl chloroform in a clean 25 ml round-bottom flask. The lipid mixture was then sonicated briefly for 5 s with a bath sonicator (Branson, 5510), followed by rotary evaporation for 15 min. A uniformly thin dry lipid layer formed on the bottom of the flask, which was further dried under vacuum overnight. The above acid–PEG–functionalized Au NPs solution was injected into the flask through a disposable syringe to rehydrate the lipid film in the presence of Au NPs at 50 °C for 1 h. Subsequently, 30-min sonication was applied to agitate the suspension and maximize lipid hydration. Immediately after sonication, the lipid–Au NP mixture was transferred into a cryo-vial under Ar protection, and underwent three freeze–thaw cycles in liquid nitrogen and 50 °C water. After another sonication step of 30 min, the solution was extruded through a 200 nm polycarbonate membrane with a mini extruder set (Avanti Polar Lipids). AVNs were then purified from free liposomes through three cycles of centrifugation (600g, 10 min), and resuspended in 100 μl per 10 mM NaCl for further use.

**AVN2 preparation (strategy S2).** A thin dry layer of lipid mixture was prepared following the procedures described for AVN1. A volume of 1 ml of 20 mM HEPES bufer (pH = 7.2) was added, forming a cloudy solution after vigorous agitation. The mixture was then sonicated for 30 min in ice bath until the solution became clear. This liposome solution was then stored in 4 °C for further use. A volume of 1 ml of 100 mM colloidal Au NP solution (1.1 × 10^10 particles ml^-1) was pelleted via centrifugation at 600g for 10 min. A volume of 0.5 ml of the prepared liposome solution was added to the Au pellet, and the volume was increased to 1 ml with 20 mM HEPES bufer. A volume of 200 μl of 0.01 mg ml^-1 1-octadecanethiol solution in ethanol was then added dropwise to the mixture. The mixed solution was agitated overnight on a rotator. After that, the AVNs were washed three times through centrifugation (600g, 10 min) and resuspended in ddi water. Finally, the AVN pellet was resuspended in 100 μl 20 mM HEPES buffer and ready to use.

**TEM characterization of AVNs.** AVN stock solutions were diluted to ~1 × 10^7 AVNs ml^-1 with ddi water and drop-cast onto carbon-coated TEM grids. Excess solution was removed by a clean filter paper after 1-min incubation, and the samples were dried and stored in vacuum before imaging using a JEOL JEM 2010 HRTEM with 200 kV HT.

**Ultraviolet–vis.** Spectra of diluted AVN solutions (1 × 10^7 particles ml^-1) in 10 mM NaCl (pH = 7.0) were acquired on an Agilent Cary 5000 UV/VIS spectrometer. 10 mM NaCl was used for baseline correction. All spectra were normalized relative to their peak maxima.
Dynamic light scattering and zeta potential measurements. Measurements were performed on a Zetasizer Nano ZS90 (Malvern, Worcestershire, UK). AVNs were diluted to 1 × 10^10 particles ml^−1 with 10 mM NaCl (pH = 7.0).

Cells and cell culturing. HeLa/CD169 cells stably expressing CD169 were established by transduction with VSVG-G pseudotyped LNC-CD169 retroviral vector as described in Puryear et al. CD169 expression was confirmed by flow cytometry and immunofluorescence assay with Alexa-474 conjugated anti-CD169 antibody (AbD Serotec; 1:50 dilution). Note that ~60% of cells expressed CD169 (see Supplementary Fig. 5a,b).

To generate CD169–mCherry fusion protein, the Apal-Xbal fragment containing 3′ half of CD169 from LNC–CD169 was subcloned into the pSL1180 vector (GE Healthcare Life Science), and the stop codon of CD169 mutated to glycine (from TAG to GGA) by PCR using the following primers, CD169 4188-F (5′-ATCTCCCGCGTGCAGGATCT-3′) and CD169-stop-R (5′-AAGGGCGAC-3′). The mutagenized fragment was cloned back into the corresponding region of CD169 in LNC-CD169 using SfiI and XbaI restriction sites. To create an XbaI site at the 5′ end of the mCherry gene, the mCherry gene was amplified from Gag-mCherry-encoding plasmid by PCR using the following primers, GFP-5-XbaI-F (5′-AAATCTAGAATTCCGG-3′) and Gag-eGFP-N-R (5′-AACTCTAGAATGTGGATGCGG-3′). The PCR-amplified mCherry gene was digested with Xbal and NotI, and cloned in frame into the carboxy-terminal of the stop-codon mutated-CD169 (LNC–CD169–mCherry).

Both HeLa/CD169 cells and HeLa/CD169–mCherry cells were cultured in 10% fetal bovine serum, 1% penicillin–streptomycin and 0.5% G418-containing DMEM. Primary monocyte-derived DCs were differentiated from CD14+ peripheral blood monocytes and matured with lipopolysaccharides (100 ng ml^−1) for 3 days as described elsewhere.23

SEM/FIB and correlation with optical microscopy. Cell dishes intended for characterization through SEM/FIB were diced into 1 cm × 1 cm squares, and covered by a SEM finder grid (SEM2, copper, Ted Pella). The grid was imaged by light microscopy and carbon paint was used to increase the conductivity. Samples were imaged first using a Zeiss Supra40VP SEM at 2.0 kV and a working distance of 6 mm. The samples were subsequently milled and imaged with an FEI Quanta 3D FIB3 instrument. The milling was done with 30 kV 0.5 nm Gallium ion beam with 20+ ions. The estimated milling depth per step was calibrated by applying the same milling on a spin-coated PMMA chip and measuring the resulting depth with a Zygo Optical Profiler (New View 6300).

References
1. Marsh, M. & Helenius, A. Virus entry: open sesame. Cell 124, 729–740 (2006).
2. Kwong, P. D. et al. Structure of an HIV gp120 envelope glycoprotein in complex with the CD4 receptor and a neutralizing human antibody. Nature 393, 648–659 (1998).
3. Wu, L. & KewalRamani, V. N. Dendritic-cell interactions with HIV: infection and viral dissemination. Nat. Rev. Immunol. 6, 859–866 (2006).
4. Dong, C., Janas, A. M., Wang, J. H., Olson, W. J. & Wu, L. Characterization of human immunodeficiency virus type 1 replication in immature and mature dendritic cells reveals dissociable cis- and trans-infection. J. Virol. 81, 11352–11362 (2007).
5. Waheed, A. A. & Freed, E. O. The role of lipids in retrovirus replication. Viruses 2, 1146–1180 (2010).
6. Gummel, S., Rogel, M., Stamatatos, L. & Erneman, M. Binding of human immunodeficiency virus type 1 to immature dendritic cells can occur independently of DC-SIGN and mannose binding C-type lectin receptors in a cholesterol dependent manner. J. Virol. 77, 11685–12674 (2003).
7. Izquierdo-Userso, N. et al. Capture and transfer of HIV-1 particles by mature dendritic cells converges with the exosome-dissemination pathway. Blood 113, 2732–2741 (2009).
8. Puryear, W. B., Yu, X. W., Ramirez, N. P., Reinhard, B. M. & Gummel, S. HIV-1 incorporation of host-cell-derived glycosphingolipid GM3 allows for capture by mature dendritic cells. Proc. Natl Acad. Sci. USA 109, 7475–7480 (2012).
9. Izquierdo-Userso, N. et al. Sialylactose in viral membrane gangliosides is a novel molecular recognition pattern for mature dendritic cell capture of HIV-1. PLoS Biol. 10, e1001315 (2012).
10. Izquierdo-Userso, N. et al. Maturation of blood-derived dendritic cells enhances human immunodeficiency virus type 1 capture and transmission. J. Virol. 81, 7559–7570 (2007).
11. Hatch, S. C., Archer, J. & Gummel, S. Glycosphingolipid composition of human immunodeficiency virus type 1 (HIV-1) particles is a crucial determinant for dendritic cell-mediated HIV-1 trans-infection. J. Virol. 83, 3496–3506 (2009).
12. Puryear, W. B. & Gummel, S. Role of glycosphingolipids in dendritic cell-mediated HIV-1 trans-infection. Adv. Exp. Med. Biol. 762, 131–153 (2013).
13. Puryear, W. B. et al. Interferon-inducible mechanism of dendritic cell-mediated HIV-1 dissemination is dependent on Siglec-1/CDC169. PLoS Pathog. 9, e1003291 (2013).
14. Napolitano, J. & Sailer, F. How to optimize binding of coated nanoparticles: coupling of physical interactions, molecular organization and chemical state. Biomater. Sci. 1, 814–823 (2013).
15. Seisenberger, G. et al. Real-time single-molecule imaging of the infection pathway of an adeno-associated virus. Science 294, 1929–1932 (2001).
16. Brandenburg, B. & Zhuang, X. W. Virus trafficking - learning from single-virus tracking. Nat. Rev. Microbiol. 5, 197–208 (2007).
17. Kukura, P. et al. High-speed nanoscopic tracking of the position and orientation of a single virus. Nat. Methods 6, 923–927 (2009).
18. Ashley, C. E. et al. The targetted delivery of multicompartment cargos to cancer cells by nanoporous particle-supported lipid bilayers. Nat. Mater. 10, 389–397 (2011).
19. Mastroballisti, E., van der Aa, M. A., Hennink, W. E. & Crommelin, D. J. Artificial viruses: a nanotechnological approach to gene delivery. Nat. Rev. Drug Discov. 5, 115–121 (2006).
