An hybrid Tykhonov method for neutron spectrum unfolding

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Abstract. An hybrid iterative Tykhonov regularization approach with an accelerating algorithm is considered. This method is illustrated by two neutron spectrum unfoldings measured with a Bonner Sphere system.

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1. Introduction

Following the ideas of A.N. Tykhonov about ill-posed problems inversion, we extend the iterative regularization method by including alternatively between two iterations least square gradient looking terms, and with a modification of the parametrization of the regularizing term. The merits of this approach are a fast convergence to a satisfying solution, stable and unsensitive to the noise fluctuations, and a complete independence with respect to the initial guess. With this method, we unfold one dimensional data set of measurements with a Bonner Sphere system to obtain a wide neutron spectrum estimation. Two experimental cases are presented: a complex thermal neutron wide spectrum and a single monoenergetic 565 keV neutron spectrum. The evolution of the criteria parameter is discussed and also the systematics and statistics error bars calculations.

2. Theoretical approach

Once we have a set of 11 measurements, by spline interpolation, we obtain a vector $y$ of dimension 64 ($y \in \mathbb{R}^{+64}$); this is justified by the smoothness of the data set and by the convolution that erodes most of the details of the initial spectrum. The measurement function $y \in C^\infty$ and is very smooth. The spline interpolation produces a 64 bin vector, with continuous derivatives at each of the 11 knots and local second degree polynomial interpolation. These 11 measurements corresponds to the responses to a neutron spectrum of an Helium$^3$ neutron detector with various polyethylene spheres, that are equivalent to different filters with response matrix $A$. With $x \in \mathbb{R}^{+64}$ as the unknown neutron spectrum, $y$ as the measurements, the convolution equation of the system stands as:

$$y = Ax$$

$$x \in \mathbb{R}^{+64} \quad y \in \mathbb{R}^{+64} \quad A \in \mathbb{R}^{+64} \times \mathbb{R}^{+64}$$

As the problem is ill-posed, neither the direct inversion, nor the least square solution are satisfying solutions, because they are irregular and highly sensitive to the fluctuations of the measurement’s uncertainties. That means that continuous variations of $y$ does not lead to continuous variations in $x$.

**Direct inversion**:

estimator : $\tilde{x} = A^{-1}y$ (2)

**Least square solution**:

criterion : $Q = |Ax - y|^2$ (3)
estimator : $\tilde{x} = [A^t A]^{-1} A^t y$ (4)

($A^t$: Transposed matrix)
2.1. Iterative Tykhonov approach

A better solution can be achieved through the scheme of the basic Tykhonov method \[3, 4, 5, 6, 8, 9\], which is more regular and less sensitive to noisy fluctuations. A regularization term is added to the least square criterion through a Lagrange multiplicator method. This term ensures the continuity of the solution and its closedness to an initial guess \(x_0\), which can be a “not so bad” first solution.

\[
Q_\lambda = |Ax - y|^2 + \lambda|x - x_0|^2 \quad \lambda \in [0, +\infty]
\]

(5)

\[
\hat{x} = [A^tA + \lambda I]^{-1}[A^ty + \lambda x_0]
\]

(6)

The demonstration of the calculation of the estimator (equation 6) can be found as follows \[1\]:

Assume \(\hat{x}\) to be the global minimum of the criterion \(Q_\lambda\).

\[
\forall x \in \mathbb{R}^{+64} : Q_{\lambda,\hat{x}} \leq Q_{\lambda,x}
\]

(7)

This is true, moreover in the case of small variations around \(\hat{x}\):

\[
x = \hat{x} + \alpha h \quad h \in \mathbb{R}^{+64} \quad \alpha \in \mathbb{R}
\]

\[
Q_{\lambda,\hat{x}} \leq Q_{\lambda,\alpha,h}
\]

\[
\iff Q_{\lambda,\alpha,h} - Q_{\lambda,\hat{x}} \geq 0
\]

\[
\iff |A(\hat{x} + \alpha h) - y|^2 + \lambda|\hat{x} + \alpha h - x_0|^2 - |A\hat{x} - y|^2 - \lambda|\hat{x} - x_0|^2 \geq 0
\]

Due to the definition of the scalar product:

\[
\iff \alpha^*(Ah)^t[A\hat{x} - y] + \alpha[A\hat{x} - y]^tAh + |\alpha|^2|Ah|^2 + \alpha^*\lambda h^t[\hat{x} - x_0] +
\]

\[
\alpha\lambda[\hat{x} - x_0]h + \lambda|\alpha|^2|h|^2 \geq 0
\]

\[
\Rightarrow (\alpha^*h^t)(A^t[A\hat{x} - y] + \lambda[\hat{x} - x_0]) + \alpha([A\hat{x} - y]^tA + \lambda[\hat{x} - x_0]h)h \geq 0
\]

That is independent of \(h^t\) and \(\alpha\); then it implies:

\[
\Rightarrow (A^tA\hat{x} - A^ty + \lambda\hat{x} - \lambda x_0) = 0
\]

\[
\iff [A^tA + \lambda I]\hat{x} - [A^ty + \lambda x_0] = 0
\]

\[
\Rightarrow \hat{x} = [A^tA + \lambda I]^{-1}[A^ty + \lambda x_0]
\]

The uniqueness and existence of a bounded solution is also proved in Bertero \[12\]. The Tykhonov iterative approach is achieved by successive refinement of the solution with several iterations, beginning with an initial guess \(x_0\), the solution \(x_1\) satisfies better the criterion of Tykhonov than \(x_0\), and so on:

\[
Q_{\lambda,n} = |Ax_n - y|^2 + \lambda|x_n - x_{n-1}|^2 \quad \lambda \in [0, +\infty]
\]

(8)

\[
\hat{x}_n = [A^tA + \lambda I]^{-1}[A^ty + \lambda x_{n-1}]
\]

(9)

\[
Q_{\lambda,1} \geq Q_{\lambda,2} \geq \ldots \geq Q_{\lambda,n} \geq Q_{\lambda,n+1} \geq \ldots
\]

2.2. Modification of the running parameter \(\lambda\)

In order to help the computer calculation, a slight modification is done with the modification of the running interval of the parameter \(\lambda\). We also decided that the
two terms of the $Q_{\lambda,n}$ criterion have to be of equal importance:

$$
\lambda \in [0, +\infty] \longmapsto \lambda \in [0, 1] \quad (10)
$$

$$
Q_{\lambda,n} = (1 - \lambda)|Ax_n - y|^2 + \lambda|x_n - x_{n-1}|^2 \quad \lambda \in [0, 1] \quad (11)
$$

$$
\tilde{x}_n = [(1 - \lambda)A^tA + \lambda I]^{-1}[(1 - \lambda)A^ty + \lambda x_{n-1}] \quad (12)
$$

Let’s have $x_0$ as an initial guess, and lets compute $x_1$. The case $\lambda = 0$ gives:

$$
Q_{0,1} = |Ax_1 - y|^2 \quad (13)
$$

$$
\Rightarrow \tilde{x}_{0,1} = [A^tA]^{-1}A^ty \quad (least \ square \ solution) \quad (14)
$$

$$
\Rightarrow 0 \leq Q_{0,1} \quad (15)
$$

On the other side, the case $\lambda = 1$ gives: $Q_{1,1} = |x_1 - x_0|^2$ with the solution $x_1 = x_0$ then $Q_{1,1} = 0$ then $\lambda = 1$ is a minimorum and all the iterations stand for an identity operator, then $\forall n \in \mathbb{N}^* \quad x_n = x_0$ independently of $x_0$! So this method gives always a wrong solution, because all initial guesses are available solutions without any restriction. We fixed this problem by modifying the criteria in the following way:

$$
Q_{\lambda,n} = \frac{(1 - \lambda)|Ax_n - y|^2 + \lambda|x_n - x_{n-1}|^2}{(1 - \lambda)\lambda} \quad \lambda \in ]0, 1[ \quad (16)
$$

$$
\Leftrightarrow Q_{\lambda,n} = \frac{|Ax_n - y|^2}{\lambda} + \frac{|x_n - x_{n-1}|^2}{1 - \lambda} \quad (17)
$$

the estimator is unchanged:

$$
\tilde{x}_n = [(1 - \lambda)A^tA + \lambda I]^{-1}[(1 - \lambda)A^ty + \lambda x_{n-1}] \quad (18)
$$

but $Q_{0,n} = +\infty$ and $Q_{1,n} = +\infty$

Also we can note: $\exists \lambda \in ]0, 1[, Q_{\lambda,n} < +\infty \Leftrightarrow \exists$ an actual minimorum of $Q_{\lambda,n}$ and the false minimorum $Q_{1,n}$ disappears!

2.3. Possible generalization to derivatives of order m

Basically, the Tykhonov method can be generalized to derivatives of order m of $x_n$ instead of using the simple squared modulus $|x_n|$. These derivatives $D^{(m)}x_n$ are the derivatives of order m of the spectrum $x_n(E)$ regarding the energy binning $E$:

$$
D^{(m)}x_n = \frac{d^{(m)}}{dE}x_n(E) \quad (19)
$$

This generalization to greater orders of derivatives is given by:

$$
Q_{\lambda,n,m} = \frac{|Ax_n - y|^2}{\lambda} + \frac{|D^{(m)}x_n - D^{(m)}x_{n-1}|^2}{1 - \lambda} \quad (19)
$$

$$
\tilde{x}_n = [(1 - \lambda)A^tA + \lambda D^{(m)t}D^{(m)}]^{-1}[(1 - \lambda)A^ty + \lambda D^{(m)t}D^{(m)}x_{n-1}] \quad (20)
$$

But we have found that the convergence to a stable solution is rather better and faster with a simple function without the $m^{th}$ derivative, so we decided to restrict our calculation only to order 0.
2.4. Hybridation with the least square method

Three main concerns guided the development of this unfolding iterative method: a fast converging method, a better solution than the classical Tykhonov method or than the least square method and the last one, a complete independence of the solution regards to the initial guess. The iterative Tykhonov approach ensures the last concern but not the first two. So we decided to introduce some accelerating (focusing) algorithm between two classical Tykhonov iterations. Let suppose that $x_{n-1}$ and $x_n$ are two successive solutions obtained with this Tykhonov algorithm, we create some kind of derivative term regarding the iteration parameter $n$:

$$\partial x_n/\partial n = x_n - x_{n-1}$$

$$\lim_{n \to +\infty} \frac{\partial x_n}{\partial n} = 0 \iff \exists x \in \mathbb{R}^{+64}, \lim_{n \to +\infty} x_n = x$$

Then we minimize $Q_{\mu,n+1}$

$$Q_{\mu,n+1} = |Ax_{n+1} - y|^2 \quad \text{with} \quad x_{n+1} = x_n + \mu \frac{\partial x_n}{\partial n}; \mu \in \mathbb{R}$$

$$\iff Q_{\mu,n+1} = |A(x_n + \mu \frac{\partial x_n}{\partial n}) - y|^2$$  \hspace{1cm} (21)

So after every two Tykhonov unfoldings, we compute one solution closed to the least square solution.

At the end of the convergence, the reconstructed spectrum $y_n = Ax_n$ is closer to the measured spectrum $y$ than with use of the classical Tykhonov iterative scheme, which presents the compromise of an enhancement of the stability of the solution toward the noise fluctuations while the price to pay is a loss of the accuracy \[4\] and an excursion from the measured spectrum $y$. By the way, this approach converges faster than a classical iterative Tykhonov method. This hybridation algorithm acts as a focalisation method with respect to the requested measurement $y$, and also as a great accelerator of convergence.

2.5. Iteration schema

The iterative schema can be described in the following way. Let’s have $x_0$ as any initial guess.

\textit{iteration 1}:

$$Q_{\lambda,1} = \frac{|Ax_1 - y|^2}{\lambda} + \frac{|x_1 - x_0|^2}{(1 - \lambda)}$$  \hspace{1cm} (22)

$$\tilde{x}_1 = [(1 - \lambda)A^tA + \lambda I]^{-1}[(1 - \lambda)A^ty + \lambda x_0]$$  \hspace{1cm} (23)

\textit{iteration 2}:

$$Q_{\lambda,2} = \frac{|Ax_2 - y|^2}{\lambda} + \frac{|x_2 - x_1|^2}{(1 - \lambda)}$$  \hspace{1cm} (24)

$$\tilde{x}_2 = [(1 - \lambda)A^tA + \lambda I]^{-1}[(1 - \lambda)A^ty + \lambda x_1]$$  \hspace{1cm} (25)

\textit{iteration 3}:
We have seen with the help of a computer that in all cases, this iterative schema converges to one unique solution, but we have not build the mathematical proof of this convergency! Practically, on a DEC-Compaq Alpha Workstation working with double precision 64 bits numbers, the resulting estimators are always stable after 300 iterations (1 minute of computing time) and oftenly around 80 iterations, it is already stable. That is why we decided to put a cut on the maximum number of iterations at 300. We also decided to restrict the binning (in energy and interpolated sphere) over 64 bins, because working with 32 or 128 bins gives either a too bad energy resolution or a too slow computation, thus 64 seems to be an optimum.

2.6. Positivity of the solution

Another important aspect of the unfolding is treated without any subtility: positivity. Of course each bin of the spectrum must have a positive content, on the other case the spectrum would be unphysical. The positivity is introduced abruptly at each step of the iteration with the following non-linearity:

\[ \tilde{x}_{n,i} \mapsto \text{Sup}[0, \tilde{x}_{n,i}] \]  

\[ Q_{\mu,3} = |A(x_2 + \mu \frac{\partial x_2}{\partial n}) - y|^2 \]  

\[ \tilde{x}_3 = x_2 + \mu \frac{\partial x_2}{\partial n} \]  

\[ Q_{\lambda,4} = \frac{|Ax_4 - y|^2}{\lambda} + \frac{|x_4 - x_3|^2}{1 - \lambda} \]  

\[ \tilde{x}_4 = [(1 - \lambda)A^t A + \lambda I]^{-1}[(1 - \lambda)A^t y + \lambda x_3] \]  

\[ Q_{\lambda,n} = \frac{|Ax_n - y|^2}{\lambda} + \frac{|x_n - x_{n-1}|^2}{1 - \lambda} \]  

\[ \tilde{x}_n = [(1 - \lambda)A^t A + \lambda I]^{-1}[(1 - \lambda)A^t y + \lambda x_{n-1}] \]  

\[ Q_{\lambda,n+1} = \frac{|Ax_{n+1} - y|^2}{\lambda} + \frac{|x_{n+1} - x_n|^2}{1 - \lambda} \]  

\[ \tilde{x}_{n+1} = [(1 - \lambda)A^t A + \lambda I]^{-1}[(1 - \lambda)A^t y + \lambda x_n] \]  

\[ Q_{\mu,n+2} = |A(x_{n+1} + \mu \frac{\partial x_{n+1}}{\partial n}) - y|^2 \]  

\[ \tilde{x}_{n+2} = x_{n+1} + \mu \frac{\partial x_{n+1}}{\partial n} \]
2.7. Calculation of the statistics and systematics fluctuations

The statistics fluctuations are estimated with a Monte-Carlo method. For one set of 11 measurements with the Bonner Sphere system, 11 uncertainties of these measurements are calculated taking into account the number of observed counts and the duration of the measures. The propagation through the unfolding process of the statistics fluctuations of the measurements is computed with 100 sets of 64 data $y$, which are interpolated with the spline method from these 11 knots. The random generation of the 11 knots is performed with a gaussian law centered onto the 11 actual measurements and with a standard deviation corresponding to the error bars directly measured with the instrument. The unfolding of the 100 data sets $y$ gives 100 $\tilde{x}$ estimators of the neutron spectrum. The fluctuations of the 100 spectra $\tilde{x}$ correspond to the propagation of the statistics fluctuations through the unfolding process.

$$\sigma^2_{j,\text{statistics}} = \frac{\sum_{i=1}^{N} |\tilde{x}_{i,j}^2 - \langle \tilde{x}_{i,j} \rangle^2 |}{N} \quad N = 100$$

(37)

Also, to the 100 $\tilde{x}$ spectra correspond 100 reconstructed measurements $\tilde{y} = A\tilde{x}$. The reconstructed measurements $\tilde{y}$ are reinjected into the unfolding process giving new estimators of the spectra $\tilde{x}_{\text{new}}$ and twice reconstructed measurements $\tilde{y}_{\text{new}}$. The average of the absolute difference of the old and of the new spectra gives the systematics errors of the unfolding spectra :

$$\sigma^2_{j,\text{systematics}} = \frac{\sum_{i=1}^{N} |\tilde{x}_{i,j} - \tilde{x}_{i,j,\text{new}}|}{N} \quad N = 100$$

(38)

These two calculations of uncertainties are performed with 100 samples of Monte-Carlo generated data sets; this figure seems low due to the signal to noise ratio of 10 %, nevertheless the precision is sufficient for our purpose and moreover it is highly time consuming and we cannot afford greater computation. Also some tricks are used to avoid spending too much time recalculating 100 times almost the same things. Of course the $\lambda$ and $\mu$ parameters minimizations are very expensive on the CPU time balance, so the evolution with the iterations of the $\lambda_n$ (for example) is memorized during the first pass, while for the next passes the minimization of the $\lambda$ parameter is performed at the $n^{th}$ iteration in the neighborhood of the $\lambda_n$ first evaluation. Another trick is used, oftenly after 50 or 80 iterations the $\tilde{x}_n$ and $\tilde{y}_n$ figures are stables due to the precision of the computation, so each successive iteration appears as an Identity operator, then at this moment the iterative process is stopped.

2.8. The initial guess

This kind of unfolding method shows several advantages. The most important one is the independance of the final solution regarding the initial guess. Thus any distribution can be used as an initial guess. We have tested several different initial guesses : random numbers, flat functions $x_0 = 1$ and the intercorrelation product between the response $y$ and the discrete response functions of matrix $A$.

$$x_{0,j} = \langle y_i, A_{i,j} \delta_j \rangle \quad \delta_j : \text{dirac function}$$

(39)
The strong point of this method is that using all these different initial guesses the iterative approach converges to exactly the same result. For a reason of fast and easy computation, we decided to use a flat initial guess: $x_0 = 1$.

### 2.9. Remarks about the minimization algorithms

To converge the problem of the minimization with $\lambda$ of the $Q(\lambda)$ criteria, we used different techniques in order to maintain a high precision on the real $\lambda$ and a reasonable time of calculation for each iteration. The minimization of the criteria is performed in different ways to optimize this calculation time. Firstly, a multiple step scanning and secondly, a dichotomy algorithm are performed. The first solution of the first set $y$ is calculated carefully, while the other solutions computed to estimate the systematic and the statistic uncertainties, does begin their minimization at each step, with initial figures in the neighborhood of the first $\lambda_0$ sequence calculated previously. Of course, at this point the focusing on the right $\lambda$ is performed with scanning and dichotomy algorithms but with less steps, that avoid to spoil too much computation time.

### 3. Experimental approach

To illustrate this unfolding technique, we presents two examples of unfolding: the first one is a thermal neutron spectrum, the second one is a 565 keV mono-energetic neutron spectrum.

#### 3.1. Unfolding a thermal neutron spectrum from the Sigma installation

This thermal neutron spectrum is produced near the Sigma facility at (IRSN-Cadarache), it consists of an assembling of several AmBe neutron sources surrounded by some graphite shielding. The expected spectrum is an heavy thermal component with some residual peaks between 1 and 3 MeV. Figures 1.a and 1.b shows precisely what was expected: a huge thermal neutron part and a small high energy component that goes from 100 keV up to 10 MeV. If the lower limit is physically explainable due to the tail of the decelerated neutrons from the high energy peaks, the upper bound around 10 MeV is not realistic and it is just due to the intrinsic resolution of the Bonner Sphere method itself. While figure 1.a shows the statistics error bars, figure 1.b presents the systematics unfolding error bars; in both case they are extremely tiny: the global statistics uncertainties is 0.11% while the global systematics errors of the unfolding is 3.6%. These very small uncertainties are mainly due to the very high number of counts registered for most of the sphere, this can be seen on figure 1.c, which represents the measured counts on the various sphere, (plain line), while the dot line corresponds to the recalculated spectrum after unfolding. These two shapes are in perfect agreement together. The last figures, shows one of the very specific parameter of the evolution of the unfolding process: $1 - \lambda$ vs. iteration number. This shows that after only 20 iterations this parameter is already very low ($10^{-15}$), that means that the iterative
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operator is very closed to the Identity operator, and the current solution is very closed to the final solution. This shape is very easy to unfold, this is why after only 78 iterations, the unfolding process is over. The main aspect of this unfolding is its ability to reveal some realistic details of the shape here a double structured shape with confortable uncertainties.

3.2. Unfolding a monoenergetic neutron spectrum at 565 keV

The Bonner Sphere system was operated in the beam of a Van de Graaf accelerator producing 565 keV mono-energetic neutrons at CEA (Bruyères le Châtel). The expected spectrum is a single energy peak enlarged by the energy resolution of the device eventually surrounded by some low energy diffused neutrons. The background of the low energy diffused neutrons is substracted from the measurements thanks to other measures undertook with copper-polyethylene shadow cones between the target that produces the neutrons and the detector. Figure 2c shows a light discrepancy between the measurements and the reconstructed data for the values between the 4 and the 7 inches spheres; this is probably due to the various efficiencies of the shadowing cones for small and big spheres. Anyway the global discrepancy between these two curves is 1.2 %. Anywhere else, for the right and left wings, the reconstructed distribution is in good agreement with the original data. The unfolded spectrum (fig 2a and 2b), clearly shows a mono-energetic spectrum with a mean peaked at 686 keV. The difference between 565 keV and 686 keV can be partly explained by the large binning used for these energies because of the logarithmic progression of the bins in order to cover a wide energy spectrum of nine decades. Also the low energy resolution originated in the response matrix can be invoked to explain this discrepancy and finally too a systematic bias of about 40 keV from the energy calibration of this Van de Graaf generator. The shape of this spectrum is obviously peaked even if the FWHM is quite large : 726 keV. The global statistics errors represents 19. % of the unfolding spectrum (see figure 2a) while the systematics errors cover 28.8 % (see figure 2b); even if these two figures are quite large, this kind of spectrum estimation is sufficient enough for radioprotection purposes. These large values of uncertainties are probably due to the low counting rates compared to the thermal neutron measurement and also to the bad signal to background ratio for direct and diffused neutrons measures. Figure 2d illustrates the high speed of the unfolding process: after only 97 iterations, the stability is obtained.

3.3. Evolution of the minimization criteria \( Q_\lambda \) for thermal neutron unfolding

The progress of the minimization criteria \( Q_\lambda \) for the unfolding of the thermal neutron from Sigma is illustrated in figure 3. The \( Q_\lambda \) vs. \( \lambda \) function is drawn after 1, 2, 3 and finally 78 iterations. The evolution of this minimizing criteria is very fast. After the first iteration a wide minimum can be seen, while after the second iteration it is already very faint and extremely closed to 1. With the evolution of the iteration number the value of this minimum get smaller and smaller and also closer to 1. The value of \( Q_1 \) is always
+∞ this explains why the λ minimum is very closed to 1 but never equals 1. So for the last iteration before stability, i=78, we find $1 - \lambda \approx 10^{-16}$. This kind of unfolding scenario is typical of the cases we have studied, and also the order of magnitude of the last value of $1 - \lambda \approx 10^{-16}$.

4. Modification of the interpolation method for the thermal neutron part of the spectrum

4.1. Precise study of the thermal part of the unfolded spectrum of neutrons from the Sigma installation

If we consider into details the unfolded spectrum from the Sigma installation, we can be puzzled by the anomalous behaviour of this spectrum in the range $10^{-8} - 10^{-7} \text{MeV}(0.01-0.1\text{eV})$. Normally, the curve should look like a Maxwellian distribution with a temperature of 300K.

$$\frac{dN}{dE} \propto E^{1/2} e^{-\frac{E}{kT}} kT = 300K = 0.025\text{eV}$$

(40)

Instead of this behaviour, the neutron spectrum distribution seems to rise very strightly at low energies without exhibiting a maximum around 25 meV. Three explanations can be argued for this fact. First of all, the low energy cut of the matrix response and of the unfolded spectrum is put at 10 meV ($10^{-8}\text{eV}$) which should distort a little bit this low energy region behaviour of thermal spectra. The main reason of this artificial cut at 10 meV is due to the very bad uncertainties of the response functions of the Bonner Sphere system to very low energy neutrons produced by the MCNP monte-carlo program in the range 1meV - 10 meV. So, very large statistical error bars are obtained through this method at 1 meV (bigger than 20%). This bad quality of results produced by MCNP for low energies neutrons is originated by the importance of the kinetic effect that polyethylen for example can generate through thermal exchanges between the molecules of polyethylene, whose agitation and binding energy are greater than 22 meV, and the impinging neutrons of very low energy 1 meV. Thus, these very cold neutrons are heated by the brownian motion of the molecules of the detector and a good deal of these neutrons do not penetrate inside the detector but are preferentially bouncing at the first contact with the surface of the sphere. This explanation is also at the origin of the discontinuities observed on the matrix response while the diameter of the spheres varies between the bare detector and the first 3 inches sphere for energies lower than 1 eV (see figure 4). The bouncing neutrons, due to thermal exchange between them and polyethylene explains the reheating and freezing neutrons between 1 meV and 1 eV. It produces for the spheres of diameter ranging between 1.3 to 2.5 inches at some energies an enhancement of the detector’s efficiency and a reduction at other energies (see figure 5). This last point brings serious consequences while big discontinuities appears at low energies for small spheres between naked counter and the 3 inch sphere: we are not allowed to perform an interpolation of the measured data for hypothetical spheres in the range of the bare detector up to the 3 inch sphere. (Note: diameter of
the naked counter =1.29 inch) This is equivalent to say that the measurement function is not smooth enough for spheres with diameters lower than 3 inches to authorize a direct interpolation. A solution would be to perform some more measurements with spheres of lower diameters than 3 inches, but due to these heavy discontinuities, small variations in diameter (less than 1mm) on the polyethylene thickness should generate strong variations on the neutron’s rates.

4.2. Rectifying the interpolation

We propose an alternative solution to this problem: in most of the actual cases, the low energy spectrum follows a Maxwellian distribution; the very rare cases where this assumption is wrong are very few and are well known situations as for example: a specific low energy neutrons filtered beam produced with a nuclear reactor adapted to solid state physics and crystallography. In other words, guessing a Maxwellian distribution is a low cost, extremely realistic and highly efficient assumption for low energies neutrons encountered in actual radioprotection situations. So we performed an interpolation of the measurements for the spheres between 2.9 inches up to 12 inches, while we generated for a pure Maxwellian distribution response of the spheres lower than 1.9 inches down to the naked counter, matching the data measured with this bare counter and its response to this pure Maxwellian distribution. For the hypothetical spheres between 1.9 and 2.9 inches, we performed a linear transition to connect these two curves of reconstructed measurements versus diameters of the spheres. Unfolding with this method the Sigma spectrum shows a satisfying solution regards to the Maxwellian distribution. The price to pay is a loss of continuity between the thermal and the epithermal parts of the unfolded spectrum. Anyway, the epithermal part is rather small compared to the pure thermal part and secondly the incidence of this epithermal part is rather small on the total dose flux measurement, because it deals with few neutrons ranging between 1 and 100 eV. The interesting point of this method is that it doesn’t affect at all the unfoldings of greater energies neutrons spectra, for example the 565 keV neutrons monoenergetic spectrum is absolutely unchanged!

4.3. Revisiting the Sigma thermal neutron unfolded spectrum in the light of the interpolation rectification

Using the rectification of the interpolation method with a Maxwellian distribution forcing for the thermal part of the spectra, onto a real data set from the Sigma thermal neutron facility, Am-Be sources + graphite moderator (see figure 6c). We obtain a very interesting neutron unfolded spectrum which exhibits a clear Maxwellian distribution for thermal neutrons of energy below $10^{-7}eV(100meV)$, a high energy part of the spectrum for neutrons ranging from 1MeV up to 10 MeV corresponding to direct neutrons produced in the Am-Be source (see figure 6a). Between 10 and 100 eV ($10^{-6} - 10^{-4}MeV$), we can find an enhanced epithermal band, that seems to be disconnected from the Maxwellian thermal distribution. Here is the price to pay for this
linear combination of two method of data interpolation (Spline+Maxwellian forcing) for the small diameters sphere countings. Anyway, this small epithermal band is not of a very importance for the dose flux calculation. Also, the global statistics errors remains very low: 0.24\%, compared to 0.11\% previously (see figure 6a). While the global systematics errors is slightly increased from 3.6\% to 5.15\% (see figure 6b). We can see that the final distribution is obtained after 85 iterations with a minimizing parameter $1 - \lambda$ less than $10^{-11}$. The modification of the interpolation gives more realistic results when the original approach shows a small deficiency due to discontinuities while interpolated. Fortunately we have a very good knowledge, thanks to the thermodynamic of the standard thermal distribution of low energies neutrons.

5. Conclusion

The development of this unfolding iterative Tykhonov approach was leaded by three important requirements: a high efficiency and fast converging method, a better and faster convergency than the least square method or than the classical Tykhonov method, but with the stability of the solution toward fluctuations of the measurements produced by the Tykhonov approach and also, a complete unsensitivity of the solution with respect to the initial guess. The iterated Tykhonov unfolding approach is modified to ensure a good convergence with a parameter running between 0 and 1. An accelerating algorithm is introduced between two classical Tykhonov iterations, this algorithm looks like a gradient method with a least square term. The calculation of statistics and systematics uncertainties is studied in details. The efficiency of this approach is presented in two experimental neutron spectrum unfoldings. This method is fully dedicated to Bonner Sphere system unfolding. Due to its very wide energy coverage, the Bonner sphere system is a very useful device for radioprotection evaluation, but it cannot be used as a high resolution neutron spectrum instrument for metrology, even with an excellent unfolding method! One of the very big advantages of the method here illustrated is that no a priori information is introduced during the unfolding process leading to coherent results with the expected spectra. This independance toward the initial guess is extremely important for radioprotection measurement when a-priori no information on the neutron spectrum is available; not observing this point should lead to very prejudicial wrong evaluations of dose flux calculation which is not allowed for radioprotection expertise approach. Nevertheless a very slight stretch to this law is applied in such a way that the interpolated counting rates between naked counter and the first 3 inches sphere is assumed to correspond to a pure Maxwellian distribution for the thermal neutrons part. This rectification is done to prevent from the high discontinuities of the matrix response for low diameters and low energies. This type of unfolding gives realistic results for thermal neutrons without modifying the high energies neutron spectra.
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An hybrid Tykhonov method for neutron spectrum unfolding

Figure 1. Sigma spectrum unfolding
Figure 2. 565 keV spectrum unfolding
Figure 3. Evolution of $Q_\lambda$ vs. $\lambda$ with iteration number
Figure 4. Matrix Response of Bonner spheres versus $\log_{10}(Neutron\ Energy/\text{MeV})$ versus Sphere diameter (inch)
Figure 5. Response functions of each Bonner spheres versus $\log_{10}(NeutronEnergy/Mev)$
Figure 6. Sigma spectrum unfolding with modified interpolation: linear combination of Spline + Maxwellian distribution forcing in the thermal part.