Turbulent mixing: matching real flows to Kraichnan flows

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Majority of theoretical results regarding turbulent mixing are based on the model of ideal flows with zero correlation time. We discuss the reasons why such results may fail for real flows and develop a scheme which makes it possible to match real flows to ideal flows. In particular we introduce the concept of mixing dimension of flows which can take fractional values. For real incompressible flows, the mixing dimension exceeds the topological dimension; this leads to a local inhomogeneity of mixing — a phenomenon which is not observed for ideal flows and has profound implications, for instance impacting the rate of bimolecular reactions in turbulent flows. Finally, we build a model of compressible flows which reproduces the anomalous Lyapunov exponent values observed for time-correlated flows by Boffetta et al (2004), and provide a qualitative explanation of this phenomenon.

Turbulent mixing affects us in many ways, for instance via weather and Solar storms. Here we assume that the fields which are being mixed are passive, i.e. do not affect the statistical properties of the underlying velocity field. Examples of fairly passive fields include fluid temperature, concentration of pollutants, nutrients, etc., and weak magnetic fields at a linear stage of magnetic dynamics; more examples can be found in reviews [1–8].

Analytical studies of turbulent mixing have been mostly based on the Kraichnan’s model [9], which assumes the velocity field to be δ-correlated in time (with vanishing correlation time). This approach has been successful because properties of turbulently mixed fields depend almost exclusively on the stretching statistics of the material elements (point pair separations, lines, surface areas, volumes) as a function of time; hence, as long as Kraichnan flows match the stretching statistics of real flows, the predictions based on them will be accurate. Therefore, the finding that for compressible flows, e.g. at the surface of turbulent fluids, Kraichnan’s model fails in basic qualitative predictions [10, 11] has raised a major theoretical challenge which remained open regardless of recent studies of the role of time correlations, cf. [12–16].

One can show (e.g. using the approaches of [17–19]) that asymptotically, when the observation time becomes much greater than the flow correlation time, the probability distributions of the logarithms of the dimensions of a material parallelepiped become Gaussian. Both the median and variance of such a Gaussian distribution grow linearly in time, the median’s speed is the Lyapunov exponent $\lambda_i$; half of the variance growth rate will be called as the Lyapunov exponent diffusivity and denoted by $\kappa_i$. Here, $i = 1$ refers to the length, $i = 2$ — to the face height, and $i = 3$ — to the height of a material parallelepiped. Thus, the stretching statistics is fully described by the set of exponents $\lambda_i, \kappa_i, i = 1 \ldots d$ ($d$ denotes the flow dimensionality). Only “fat-tailed” time correlations (e.g. due to near-wall stagnant regions [20]) can invalidate this scenario.

One of the listed exponents can be equated to unity with a proper choice of time unit. Thus, what defines the dynamics of passive fields are the ratios of the exponents. So, the efficiency of small-scale kinematic dynamos [21] and the exponent of the statistical conservation law for Lagrangian pair dispersion [22, 23] depend on the ratio $\lambda_1/\kappa_1$ ; multifractal spectra of scalar dissipation fields depend on $\lambda_d/\kappa_d$ [19], the same applies to the dynamics of monomolecular reactions in compressible flows [24]; the (multi)fractal properties of tracer fields in compressible 2-dimensional (2D) flows depend on $\lambda_2/\lambda_1$ [10, 25].

The structure of this Letter is as follows. For statistically isotropic homogeneous Kraichnan flows, the exponent ratios are expressed via the flow compressibility and dimensionality [1]; first we discuss different factors contributing to the failure of these expressions for real flows. Further, we introduce a class of model flows; based on this model in 2D geometry, we obtain expressions for $\lambda_1/\kappa_1$ and $\lambda_1/\lambda_2$ which exhibit non-universality depending not only on the Kubo number $K$ (ratio of the correlation time and small-scale eddy turnover time), but also on the statistics of the determinant of the velocity gradient tensor. We use qualitative arguments to show that the results are robust and not limited to our model. Based on the fact that for incompressible Kraichnan flows, $\lambda_1/\kappa_1 = d$, the ratio $\lambda_1/\kappa_1 \equiv d_m$ will be called the mixing dimension of the flow; we argue that for time-correlated flows, $d_m > d$, and discuss implications of this inequality. Finally, we show that when exponents are averaged over a realistic ensemble of determinant values, the contradiction between the theory and experimental findings [10, 11] becomes resolved.

Factor A: different correlation times for potential and solenoidal components. The mismatch between real and Kraichnan flows can be caused by the solenoidal and potential components (denoted as $v_s$ and $v_p$) of the Helmholtz decomposition of the velocity field $v \equiv (r, t)$ having different correlation times $\tau_s$ and $\tau_p$, respectively. For instance in marine environment, the potential component of surfaces flow is associated with up- and down-welling, and is often persistent due to being caused by bathygraphy [26]. However, we can modify the Kraich-
the compressibility needs to be defined as

\[ \lambda_i = D_1 \{ d(d-2i+1) - 2\varphi[(d+(d-2))i] \}, \]

\[ \kappa_i = D_1(d(d-1)+(2\varphi)), \]

where the compressibility \( \varphi \equiv \mathcal{F}_p/\mathcal{F} \) is defined via the mean squared Frobenius norms \( \mathcal{F}_p \equiv \langle \| \nabla v_p \|^2 \rangle \) and \( \mathcal{F} \equiv \langle \| \nabla v \|^2 \rangle \); the factor \( D_1 = d \frac{(d-2i+1)}{d(d-1)+(d+2)} \), where \( \tau = \frac{1}{d} \int_0^\infty \langle \nabla v_j(r, t) \nabla v_j(r, t) \rangle dt \), cf. \[1\]. If we substitute in this integral \( v = v_p + v_s \) and assume \( \tau_s \neq \tau_p \), Eq. (1) is generalized to \( \lambda_i = F_s \tau_s \frac{d-2i+1}{d(d-1)+(d+2)} + F_p \tau_p \frac{d-2i}{d(d-1)+(d+2)} \), and \( \kappa_i = F_s \tau_s \frac{d}{d(d-1)+(d+2)} + F_p \tau_p \frac{d-2i}{d(d-1)+(d+2)} \), where \( \mathcal{F}_s \equiv \langle \| \nabla v_s \|^2 \rangle \). Now it becomes clear that for the exponent ratios to depend only on \( \varphi \) and \( d \), and for Eq. (1) to remain valid with \( D_1 = F_s \tau_s + F_p \tau_p \frac{d}{d(d-1)+(d+2)} \), the compressibility needs to be defined as

\[ \varphi = \tilde{\varphi} \equiv \mathcal{F}_p \tau_p / (F_s \tau_s + F_p \tau_p). \]

Our simulations with 2D sine flow (velocity field is sinusoidal in space and a discretely updated random function in time, cf. Supplementing Material [27]) show that for Kubo number \( K = \tau \sqrt{\mathcal{F}} \ll 1 \), the generalized expression of compressibility \( \tilde{\varphi} \) describes adequately flows with \( \tau_p \neq \tau_s \), see Fig. 1. However, for \( K \sim 1 \), a considerable mismatch is observed, confirming the findings of ref. [10].

**Factor B**: correlations along Lagrangian trajectories due to particles embedded into material volumes. Hypersonic flows, if judged by velocity gradient, are characterized by a considerable compressibility; however, the sum of Lyapunov exponents is strictly zero (limitlessly contracting volumes would imply limitlessly growing pressure fluctuations). This violates Eq. (1) which predicts \( \sum \lambda_i = -D_1 \{ d(d-1)(d+2) \} \varphi \). The mismatch is explained by long-term correlations.

**Factor C**: genuine finite correlation time effects. Even if the factor \( A \) is accounted for by using generalized compressibility \( \varphi \), and the factor \( B \) is negligible, time correlations can cause a considerable departure from Eq. (1). We follow the approach developed in [19, 28] and apply multiplicatively the deformations achieved during a correlation time \( \tau \) assuming that beyond a correlation time, the correlations are negligible. Then, infinitesimal material vectors \( d\mathbf{x} \) are transformed according to the tensor \( D_1 = \exp \{ F_1^{L+T} \nabla v dt \} \), \( d\mathbf{x}_\pi \tau = \prod_{j=0}^{\tau \tau \tau} D_\pi \cdot d\mathbf{x}_0 \).

**First, let us consider incompressible flows.** In the case of 2D geometry, we need to study just the normalized length of the vector \( \ell_t \equiv \| d\mathbf{x}_t \| / (d\mathbf{x}_0) \). Indeed, at the limit \( t \to \infty \), \( \lambda_1 = \frac{1}{d}(\ln \ell_t) \) and \( \kappa_1 = \frac{1}{2d}(\ln (\ell_t - \lambda_1^2 t)) \). A square built on \( d\mathbf{x} \) evolves into a parallelogram of normalized height \( h_t \) and constant surface area, \( \ell_hh_t = 1 \), therefore \( \lambda_2 = \frac{1}{d}(\ln h_t) = -\lambda_1 \) and \( \kappa_2 = \kappa_1 \). Furthermore, the increments \( \ln \ell_{t+\tau} - \ln \ell_t \) and \( \ln \ell_{t+\tau} - \ln \ell_t \) are uncorrelated, hence \( \lambda_1 = \lim_{t \to \infty} \frac{1}{\tau}(\ln \ell_t) = \frac{1}{2\tau}(\ln \ell_t) \) and similarly, \( \lambda_1 = \frac{1}{2\tau}(\ln (\ell_t - \lambda_1^2 \tau))^2 \). Averages \( \langle \ln \ell_t \rangle \) and \( (\langle \ln \ell_t \rangle)^2 \) will be calculated in two stages: averaging over rotations of a fixed tensor \( D_\pi \equiv D \) (using statistical isotropy), and averaging over an ensemble of matrices \( D \).

For a fixed transformation tensor \( D \), \( \ell^2 = e^T D^T D e \), where \( e \equiv dx / (dx) \). The (Green’s) deformation tensor \( D^2 \) is symmetric and positive semidefinite, hence, it has non-negative eigenvalues \( p^2 \) and \( q^2 \) \( (q = 1/p \) if \( \varphi = 0 \), and is diagonalizable via a rotation into diag\((p^2, q^2)\). Thus, \( \ell^2 = p^2 \cos^2 \alpha + q^2 \sin^2 \alpha \), and

\[ \lambda_1 = \tau \frac{\int_0^\infty \ln \ell^2 \frac{d\alpha}{\pi}}{\int_0^\infty \ell^2 \frac{d\alpha}{\pi}} = \ln p + \frac{q}{2}, \]

\[ \lambda_1 = \frac{1}{2}(\ln \ell_t) - \frac{1}{2}(\ln (\ell_t - \lambda_1^2 \tau))^2 = \frac{1}{4} \text{Li}_2 \left( \frac{(p-q)^2}{(p+q)^2} \right), \]

where \( \text{Li}_2 \equiv \int_0^\infty \frac{\ln(1-x)}{x} \frac{dx}{x} \) is the dilogarithm, and \( p \geq q > 0 \). The integral of Eq.(3) has been tabulated in [29, Eq. 2.6.38.4], and derived in [30]; the one of Eq. (4) is derived in the Supplementing Material [27].

Using Eqs. (3, 4), we have plotted the mixing dimension as a function of the parameter \( p \) (Fig. 2, insert), which demonstrates non-universality. Indeed, we see that \( d_m \) grows limitlessly with \( p \), and \( p \), in its term, can take arbitrarily large values if \( K \) is large enough. In order to understand how \( d_m \) depends on the velocity gradient statistics, we assume simplifying that \( \nabla v \) remains constant during one correlation time \( \langle \nabla v(t) \equiv \nabla v_0 \rangle \), upon which it obtains a new random value. Real flows, of course, depend smoothly on time, but \( \nabla v_0 \) can be interpreted as such a time-averaged tensor \( \nabla v(t) \) that during one correlation time, it results in the same deformation tensor \( D^2 \) as the real smooth-in-time flow. Statistically homogeneous flows include both saddles (det \( \nabla v < 0 \) and extrema (det \( \nabla v > 0 \) of the streamfunction) and (det \( \nabla v = 0 \). The smallest possible ensemble of tensors \( \nabla v \) satisfying this constraint includes two fixed tensors \( \nabla v_1 \) and \( \nabla v_2 \) with det \( \nabla v_1 + \text{det} \nabla v_2 = 0 \); the main graph of Fig. 2 shows the behavior of \( d_m \) for such ensembles. For \( K \ll 1 \), the values of \( d_m \) remain close to (and larger than) the Kraichnan limit value \( d = 2 \). For \( K \gtrsim 1 \), much larger values can be reached.

Let us discuss now the significance of the mixing dimension \( d_m \equiv \lambda_1/\kappa_1 \). First we show that for in-
compressible Kraichnan flows we need to have $d_m = d$. Let $\mu \equiv \ln r/r_0$ denote the distance of tracer particles, initially seeded at a small distance $r_0$, using logarithmic scale. Then, $\mu$ performs a biased random walk with mean velocity $\lambda_1$ and diffusivity $\lambda_2$; the step length is defined by the correlation time of the flow, cf. [28]. Thus, the asymptotic-in-time evolution equation for the distribution function $\sigma = \sigma(\mu, t)$ is written as

$$\partial_\mu \sigma + \lambda_1 \partial_\mu \sigma = \lambda_2 \partial^2_\mu \sigma.$$  

The distance $r$ is limited by intramolecular distances $r_{\text{min}}$, hence we need to apply a reflective boundary condition at $\mu_{\text{min}} = \ln r_{\text{min}}/r_0$; this leads to a quasi-stationary distribution $\sigma \propto e^{d_{\mu}\mu}$ at small values of $\mu$ if we wait long enough ($t \geq |\mu_{\text{min}}|/\lambda_1$). Therefore, the cumulative distribution function in $r$-space is proportional to $r^{d_{\mu}}$.

Now, consider the dye distribution around a material point $P$, with a spot of dye being seeded initially at a small distance $r_0$ from it. Equality $d = d_m$ implies a locally homogeneous mixing: the amount of dye inside a sphere around $P$ scales as the volume of the sphere. Inequality $d_m < d$ is clearly impossible for incompressible flows as it would mean local clustering of the material particles. Meanwhile, $d_m > d$ implies local inhomogeneity in mixing: with a high probability, a neighborhood of $P$ is left without dye. This scenario cannot be realized (hence, $d = d_m$) in the case of Kraichnan flows when the material deformations are diffusive in nature. Indeed, at small time-scales, diffusion dominates over the mean drift in the dynamics of $\mu \equiv \ln r/r_0$, and therefore, the distance $\Delta \mu = \ln r_0/r_{\text{min}}$ to the reflection point of $\mu$ is traveled diffusively. This ensures that all these dye particles which start from the vicinity of $P$ will also visit the smallest neighborhood of $P$, i.e. there is a locally homogeneous mixing described by $\sigma \propto r^d$. Meanwhile, for real flows, diffusive behavior is reached only asymptotically, at time scales larger than $\tau$. So, there is a chance that with few initial steps, the dye particle “runs away” from the point $P$. At long time scales, drift dominates over diffusion; thus, if the dye particle managed to evade the point $P$ at the early stage, it will avoid it forever.

The case of compressible fields has been studied preliminarily in a technical report [30]; here we use our model to explain the challenging findings of Ref. [10] and provide a qualitative explanation of this phenomenon. To keep the overall area of the basin constant, both divergent and convergent regions need to be present simultaneously. We’ll use the same ensemble for $\nabla v$ as before, with an additional requirement $\nabla \cdot v_1 = -\nabla \cdot v_2 \equiv \delta > 0$. We assume simplifyingly that the convergent (‘C’) and divergent (‘D’) domains are separated with a sharp boundary which remains constant throughout the full correlation time $\tau$, upon which new random domain breakup and tensor rotation angles are coined. While this may seem to be an unrealistic simplification, we’ll argue later that our model captures essential features of realistic flows.

During one correlation time, some of the tracer particles are carried from domain ‘D’ to domain ‘C’, let us consider such particles which cross the domain boundary at the moment $t$ (measured from when the current domains were established), henceforth referred to as the $t$-particles. Eq. (3) allows us to average the Lyapunov exponent over $t$-particles, $\langle \lambda_1 \rangle_{t-p} \equiv \langle \Lambda(t) \rangle = 1/3 \ln \left( \frac{p_1 + q_1}{|q_2|} \right)$; here $p_1$ and $q_1$ are the singular values of $\left( \nabla v_{1}(t-t) \right)$, and $p_2$ and $q_2$ are of $\left( \nabla v_{2(t-t)} \right)$.

Within region ‘D’, surface areas grow exponentially $A \propto e^{\lambda_1 t}$, hence, the tracer density falls as $e^{-\lambda_1 t}$. This, the probability for a random particle to exit the region between $t$ and $t + \delta t$ is given by $dp = e^{-\lambda_1 \delta t}dt$. Now we can find the overall Lyapunov exponent by averaging $\Lambda(t)$ over all the tracer particles, $\lambda_1 = \langle \sigma(t) \rangle = 1/3 \left[ |p_2| \lambda_1 e^{-\lambda_1 \delta t} dt + \Lambda(\tau) e^{-\lambda_1 \tau} + \Lambda(0) \right]$. Here, the second and third terms correspond to those particles which remain for the whole period $\tau$ within ‘D’ and ‘C’, respectively. The area growth exponent $\lambda_A = \lambda_1 + \lambda_2$ is calculated similarly: in region ‘D’, $\lambda_1 = \delta$, and in region ‘C’, $\lambda_1 = -\delta$. At the position of a $t$-particle, the area growth factor is $e^{\lambda_1 t} - \delta t; \text{once} \; \text{we average over all the values of} \; t \in [0, \tau], \text{we end up with} \; \lambda_A = \frac{1}{3} \left( 1 - \delta \tau - e^{-\delta \tau} \right)$. Finally, we can express $\lambda_2 = \lambda_A - \lambda_1$.

We used our expressions to compute $\frac{\lambda_A}{\lambda_1}$ as a function of the model parameters; in Fig. 3(a), the results are depicted for $K = 9$. Dashed curve marks the intersection of this graph with the Kraichnan-limit-dependence $\frac{\lambda_A}{\lambda_1} = \frac{1}{2\pi} \arctan \frac{1}{K^2}$ and divides the plane into two regions: at the left-bottom part, $\frac{\lambda_A}{\lambda_1}$ is decreased due to time-correlations, and at the right-top part, the effect is opposite.

At small compressibilities [$\varepsilon < 0.1$ for Fig. 3(a)], $\frac{\lambda_A}{\lambda_1}$ is always reduced; we show that this is a robust feature, not bound to our model. Consider $\lambda_1$ as a function of $K$ for flows with a fixed probability distribution of $\nabla v$: Eq. (1) holds while $K \ll 1$, and gives us estimate $\lambda_1 \sim \tau F = K \sqrt{\tau}$. By $K \gg 1$, a saturation value is reached, which is estimated as the stretching rate near saddles, $\lambda_1 \sim \sqrt{\tau}$. Exponent $\lambda_A$ behaves similarly, but saturates later: for material areas, the Kraichnan approximation holds as long as the area change during $\tau$ remains small.
Indeed, at the limit and \( \lambda \) can be approximately said that 2
period of time; under the assumption of constant
trajectories, \( \nabla v \) remains almost constant for a long period of time; under the assumption of constant \( \nabla v \), one can show that
2
\[ 2 \lambda _1 = \nabla \cdot v + \text{Re} \sqrt{\nabla \cdot v}^2 - 4 \text{det} \nabla v; \]
hence, with \( \nabla \cdot v < 0 \), the sign of \( \lambda _1 \) is opposite to that of \text{det} \nabla v. In the case of our model flow, this corresponds to \( \text{sgn} \lambda _1 = \text{sgn} \text{det} \nabla v_1 \).

Boffetta et al. [10] found the Lyapunov dimension \( d_L = 1 + \lambda _1/|\lambda _2| \) as a function of \( \varphi \) for realistic compressible flows with numerically increased correlation time at \( K \approx 9 \). To compare our theory with Ref. [10], Lyapunov exponents need to be averaged over a realistic ensemble of velocity gradients. We were unable to find data for free-slip liquid interfaces; thus, the analysis is based on the data for 2D slices of an incompressible 3D velocity field [31, 32]; these papers report the joint probability density of the trace (there called “\( \text{"p"} \)”) and determinant (“\( \text{"q"} \)” of the velocity gradient. There is a small difference in the normalization of \text{det} \nabla v: Refs. [31, 32] normalize by \( (\nabla \times v)^2 \). Based on the fact that before taking the slice their 3D velocity field \( v_{3D} \) was incompressible, and assuming isotropy, it can be shown that our normalization factor is \( \frac{1}{2} \) times larger. Keeping this in mind, Fig. 3 of Ref [31] tells us that within regions ‘D’ with \( p < 0 \), the values of \( \text{det} \nabla v_1 \) remain mostly between 0 and 0.2. When we average the Lyapunov exponents over this range, our model provides a good match to the data of Ref. [10], see Fig. 3 (bottom).

In conclusion, we have expressed the ratios of Lyapunov exponents and their diffusivities in terms of velocity gradient statistics, and introduced the mixing dimension \( d_m \) as a characteristic of a flow. Those existing theories which are based on the values of these ratios (for instance [21, 25]) become directly applicable to real time-correlated flows. We also pinpoint a major qualitative difference: while open Kraichnan flows are characterized by locally homogeneous mixing (once we wait long enough, dye density will be distributed evenly over a neighborhood of a fixed material point), in the case of time-correlated flows, the mixing is locally inhomogeneous. This difference stems from the fact that for Kraichnan flows, \( d_m \) equals to the integer-valued topological dimension \( d \), and cannot perfectly match real flows with fractional \( d_m \). Such an inhomogeneity has major implications, in particular for the rate of bimolecular reactions with initially separated reagents (cf. [33, 34]), for the mixing of different dyes (cf. recent experimental study [35]), and for the efficiency of kinematic magnetic dynamos [21]. The local inhomogeneity is also likely the reason behind non-universality of mixing with respect to the details of tracer injection [36].

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