Mn-doped Ga(As,P) and (Al,Ga)As ferromagnetic semiconductors

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A remarkable progress towards functional ferromagnetic semiconductor materials for spintronics has been achieved in p-type (Ga,Mn)As. Robust hole-mediated ferromagnetism has, however, been observed also in other III-V hosts such as antimonides, GaP or (Al,Ga)As which opens a wide area of possibilities for optimizing the host composition towards higher ferromagnetic Curie temperatures. Here we explore theoretically ferromagnetism and Mn incorporation in Ga(As,P) and (Al,Ga)As ternary hosts. While alloying (Ga,Mn)As with Al has only a small effect on the Curie temperature we predict a sizable enhancement of Curie temperatures in the smaller lattice constant Ga(As,P) hosts. Mn-doped Ga(As,P) is also favorable, as compared to (Al,Ga)As, with respect to the formation of carrier and moment compensating interstitial Mn impurities. In (Ga,Mn)(As,P) we find a marked decrease of the partial concentration of these detrimental impurities with increasing P content.

PACS numbers: 75.50.Pp, 75.30.Hx, 73.61.Ey

I. INTRODUCTION

Ordered by increasing band-gap and decreasing lattice constant of the III-V host, electronic states associated with ~ 1 – 10% of substitutional MnIII impurities may fall in the following three qualitative categories 1,2,3,4 (i) The main peak in the partial density of states of the majority-spin Mn d5 electrons is well below the Fermi energy and these states form a local moment close to 5 Bohr magnetons. Mn acts here as an acceptor and the delocalized holes have a character of the host states near the top of the valence band with a small admixture of the Mn t2g d-orbital weight. In these metallic (III,Mn)V ferromagnets the coupling between Mn local moments is mediated by delocalized band-holes via the kinetic-exchange model.4,5,6,7,8 (ii) The second regime, often referred to as a double-exchange ferromagnet, is characterized by a stronger hybridization of Mn d-states near the Fermi energy and by holes occupying an impurity band which is detached from the host semiconductor valence band. In this picture electrical conduction and Mn-Mn exchange coupling are both realized through hopping within the impurity band.9,10,11,12,13,14,15,16,17 (iii) Finally, the substitutional MnIII impurities may undergo a transition from a divalent (d5) acceptor to a trivalent (d3) neutral state. This strongly correlated d4 center, with four occupied d-orbitals and a non-degenerate empty t2g d-level shifted deep into the host band gap, may form as a result of a spontaneous (Jahn-Teller) lowering of the cubic symmetry near the Mn site. Systems with dominant d4 character of Mn impurities, reminiscent of a charge transfer insulator, will inevitably require additional charge codoping to provide for ferromagnetic coupling between dilute Mn moments.2,3,15

The internal reference rule 18,19 which states that energy levels derived from Mn are constant across semiconductor compound families with properly aligned bands, serves as a useful guidance for associating individual III-V hosts with one of the three categories listed above. As seen from Fig. 1 Mn-doped InSb, InAs, and GaAs can be expected to exhibit long range Mn-Mn coupling mediated by delocalized holes in the host valence band. This picture has indeed been corroborated by a number of experimental studies. Measured Curie temperatures, currently ranging from 7 K in the narrow gap, large lattice constant (In,Mn)Sb to 173 K in the wider gap smaller lattice constant (Ga,Mn)As21,22 are consistent with the kinetic-exchange model.

On the opposite side of the spectrum of III-V hosts, bulk (Ga,Mn)N is an example of a wide gap material in which Mn does not provide for a significant hole doping.23,24 Considering the width of the band gap only, GaP would fall in the same category as GaN. As shown in Fig. 1 however, the bands are significantly off-set to higher energies in GaP and the resulting Mn acceptor level is shallow enough to lead to a robust hole mediated ferromagnetism at Mn dopings of several per cent. Experiments in (Ga,Mn)P reported to date suggest the presence of an impurity band in this III-V host, although more studies are needed to establish whether this character is intrinsic to (Ga,Mn)P or occurs partly due to other unintentional impurities present in the studied systems.25,26,27 (Note also that there is no sharp distinction between impurity band double-exchange and kinetic-exchange interactions; the former is simply a strong coupling, narrow band limit of the latter.) A factor of 2 smaller Tc in (Ga,Mn)P, compared to (Ga,Mn)As prepared under similar growth conditions25 indicates a marked suppression of the Curie temperature in this material due to the shorter range of magnetic interactions in the hopping regime.

The theoretical work presented in this paper is
based on the currently best understood and highest $T_c$ (Ga,Mn)As benchmark material and explores whether Curie temperature can still be increased by introducing elements from the higher rows in the periodic table. In particular, we focus on magnetic and structural properties of Mn-doped Ga(As,P) and (Al,Ga)As ternary hosts. We exploit a special circumstance that AlAs and GaAs lattice constants are very similar but GaP has a substantially smaller lattice constant, and that AlAs and GaP have very similar band off-sets relative to the smaller gap GaAs (see Fig. 1). This allows us to disentangle the roles in the effective magnetic interaction between Mn and hole spins of the positions of Mn derived states relative to the valence-band edge, and of the overlaps between anion (As or P) p-orbitals forming the top of the valence-band and the Mn d-orbitals. Magnetic interactions are found to be more sensitive to the latter parameter. It explains why alloying (Ga,Mn)As with Al has only a small effect on Curie temperature but we predict a sizable enhancement of the Curie temperature in the smaller lattice constant Ga(As,P) ternary host. The mean-field $T_c$ calculations are presented in Section III together with estimates of the range of magnetic interactions in different (Ga,Mn)(As,P) mixed crystals.

Structural properties of Mn-doped Ga(As,P) and (Al,Ga)As ferromagnetic semiconductors are studied in Section III. Under equilibrium growth conditions the incorporation of Mn ions into GaAs is limited to less than 1%. To circumvent the solubility problem a non-equilibrium, low-temperature molecular-beam-epitaxy (LT-MBE) technique has to be used to achieve Mn doping concentrations larger than 1% at which ferromagnetism occurs. The highly doped LT-MBE (Ga,Mn)As systems show a strong tendency to self-compensation by interstitial Mn$_i$ impurities. Correlations between acceptors (Mn$_{Ga}$) and donors (Mn$_i$) in GaAs are strong due to the nearly covalent nature of bonding in the crystal. The cohesion energy of the covalent networks has a maximum if the Fermi energy $E_F$ lies within the band gap. Whenever $E_F$ is shifted to the valence band the strength of the bonds is reduced because of the occurrence of unfilled bonding states. The tendency to self-compensation by Mn$_i$ can therefore be expected to weaken in wider gap hosts in which the substitutional Mn acceptor level is shifted deeper in the band gap. Another factor determining the formation energy of Mn$_i$ is the size of the interstitial space in the lattice it fits in, i.e., the host lattice constant. In Section III we again use the comparison between Mn-doped Ga(As,P) and (Al,Ga)As hosts, with similar positions of the substitutional Mn acceptor levels (see also Fig. II) but different lattice constants, to separate the roles of the two factors. A significant decrease of the Mn$_i$ partial concentration observed only in Ga(As,P) indicates that the lattice parameter plays a more important role than the position of the acceptor level in these mixed (III,Mn)V crystals.

We conclude our paper in Section IV with a brief discussion of the theoretical results which we believe provide motivation for a systematic experimental exploration of epitaxial (Ga,Mn)(As,P) ferromagnetic semiconductor compounds.

II. MAGNETIC INTERACTIONS

The discussion in this section is divided into three parts. First we assume the Schrieffer-Wolf transformation of the many-body Anderson Hamiltonian and estimate trends in mean-field $T_c$ in (Ga,Mn)As, (Ga,Mn)P, and (Al,Mn)As based on the effective interaction energy between local Mn moments and hole spins. In the second part we calculate band structures of Mn-doped Ga(As,P) and (Al,Ga)As ternary hosts, using the microscopic tight-binding coherent-potential approximations (TBA/CPA), and calculate corresponding mean-field Curie temperatures. Results of the ab initio LDA+U calculations of the mean-field $T_c$ in (Ga,Mn)(As,P) and of the range of hole mediated Mn-Mn magnetic coupling are presented in the last subsection. The latter quantity is used to estimate the potential suppression of ferromagnetic interactions due to stronger binding of the hole to Mn in the wider gap host.

A. Qualitative estimates of the kinetic-exchange coupling and mean-field $T_c$

Effects of strong Coulomb correlations in the Mn 3d-shell and hybridization with the host semiconductor band states, which are at the heart of magnetism in these systems, can be qualitatively captured by the Anderson
Hamiltonian. Here Coulomb correlations are modelled by the on-site Hubbard potential which depends on the occupation number of the $d$-states. The change in this effective potential when the number of occupied localized orbitals changes by one is parameterized by the Hubbard constant $U$. The localized orbital part of the Anderson Hamiltonian has an additional parameter, the Hund's rule constant $J_H$. This parameter captures the local direct exchange physics which favors spin-polarized open shell atomic states. For the case of the Mn-$d^5$ configuration, $J_H$ forces all five singly-occupied $d$-orbitals to align their spins in the ground state.

The Schrieffer-Wolff transformation of the Anderson model removes the $p-d$ hybridization term in the many body Hamiltonian and leads to a description in which localized Mn $d$-states interact with the valence band via a spin-spin interaction only. Near the $\Gamma$-point, the strength of this kinetic-exchange interaction can be parameterized by a constant

$$J_{pd} \propto \Omega_{u.c.} |V_{pd}|^2 (1/|E_{dt}| + 1/|E_{dl}|),$$  \hspace{1cm} (1)

where $\Omega_{u.c.} = a_{tc}^3/4$ is the volume of the unit cell of the zinc-blende crystal with a lattice constant $a_{tc}$, $V_{pd} \propto a_{tc}^{-7/2}$ represents the hybridization potential, and $|E_{dt}|$ and $|E_{dl}|$ are the distances of the occupied and empty atomic Mn $d$-levels from $E_F$ ($|E_{dl} - E_{dt}| = U + 3J_H$).

In the mean-field kinetic-exchange model the Curie temperature of a III$_1$-$\gamma$Mn$_2$V magnetic semiconductor scales as

$$T_c \propto J_{pd}^2 \Omega_{u.c.},$$ \hspace{1cm} (2)

The effect of the second term in Eq. (2) on $T_c$ in GaP or AlAs hosts, compared to GaAs, can be estimated from Fig. 1. Despite the twice as large band gap, the valence band off-set is relatively small and the increase of $1/|E_{dt}|$ is almost completely compensated by the decrease of $1/|E_{dl}|$. The second term in Eq. (2) therefore leads to only a 4% enhancement of the mean-field kinetic-exchange model $T_c$ in both GaP and AlAs hosts as compared to GaAs.

A much stronger enhancement of $T_c$ is obtained for GaP due to the first term in Eq. (2). Considering $a_{tc} = 5.653$ Å for GaAs and $a_{tc} = 5.450$ Å for GaP, the mean-field kinetic-exchange model $T_c$ is by 50% larger in $(Ga,Mn)P$ than in $(Ga,Mn)As$. For AlAs, on the other hand, no marked change in $T_c$ is expected from Eq. (2) since the material is nearly lattice matched with GaAs ($a_{tc} = 5.661$ Å, for AlAs).

### B. Micorsopic tight-binding model calculations

An effective single-particle TBA band-structure can be obtained from the Anderson Hamiltonian by replacing the density operators in the Hubbard terms with their mean values. We use this approach here, combined with the CPA, to calculate microscopically mean-field Curie temperatures in Mn-doped Ga(As,P) and (Al,Ga)As mixed crystals. Our TBA Hamiltonian includes the $8 \times 8$ sp$^3$ term with second-neighbor-interaction integrals describing the host semiconductor and terms describing hybridization with Mn. Local changes of the crystal potential at Mn are represented by shifted atomic levels. The parameters chosen for the atomic level shifts and the hopping amplitudes between atoms provide the correct band gap for the binary host crystal and the appropriate exchange splitting of the Mn $d$-states. In particular, we considered in the TBA/CPA calculations $U = 3.5$ eV and $J_H = 0.6$ eV, resulting in approximately 6 eV splitting of the peaks of the majority and minority Mn $d$-projected density of states in $(Ga,Mn)As$. For the ternary Ga$_y$P$_{1-y}$As and Al$_y$Ga$_{1-y}$As mixed crystals we used the energy scale related to the GaAs band structure and shifted the atomic level of the other components according to the band off-sets of binary hosts shown in Fig. 1. The non-diagonal matrix elements of the TBA Hamiltonian appropriate for the mixed crystals were obtained by linear interpolation applied to the hopping integrals multiplied by the lattice constant squared.

$$V(y) = \frac{yV(1)a_{tc}^2(1) + (1-y)V(0)a_{tc}^2(0)}{(ya_{tc}(1) + (1-y)a_{tc}(0))^2},$$  \hspace{1cm} (3)

We used the same values of $U$ and $J_H$ for all mixed crystals appealing to the nearly atomic-like, sp$^3$ character of Mn impurity atoms.

Within the CPA, disorder effects associated with random distribution of Mn$_{III}$ and of Al$_{Ga}$ or P$_{As}$ appear in the finite spectral width of hole quasiparticle states. Since realizations with near-neighbor Mn ions are included within the disorder-averaged TBA/CPA with the proper statistical probability, short-range local moment interactions (such as antiferromagnetic superexchange) contribute to the final magnetic state. In uncompensated systems considered here, however, the long-range ferromagnetic Mn-Mn coupling mediated by holes dominates.

In Fig. 2 we plot the dependence of the kinetic-exchange constant $J_{pd}$, derived from the splitting of the TBA/CPA valence band at the $\Gamma$-point, as a function of the concentration of the wide-gap component y. As expected from the qualitative discussion above, $J_{pd}$ depends only weakly on the concentration of Al in the Al$_y$Ga$_{1-y}$As host. A stronger variation is obtained for Ga$_y$P$_{1-y}$As with $J_{pd}$ enhancement of 16% at $y = 1$, in good agreement with Eq. (1).

The TBA/CPA Curie temperatures are obtained using the compatibility of the model with the Weiss mean-field theory. The strength of the Mn-Mn coupling is characterized by the energy cost, $E_{rev}$, of flipping one Mn moment, which can be calculated for a given chemical composition. $E_{rev}$ is proportional to the effective Weiss field and to the mean-field Curie temperature, $k_B T_c = E_{rev}/6$. In Fig. 3 we plot the TBA/CPA $T_c$ in Ga$_y$P$_{1-y}$As for 5% and 10% Mn concentrations. A factor of 1.5-2 enhancement of $T_c$ for $y = 1$ compared
to the GaAs host is again consistent with qualitative predictions in the previous section.

![Graph](image)

**FIG. 2:** TBA/CPA $J_{pd}$ in Al$_y$Ga$_{1-y}$As and GaP$_y$As$_{1-y}$ hosts doped with $x = 10\%$ Mn.

![Graph](image)

**FIG. 3:** TBA/CPA mean-field $T_c$ in GaP$_y$As$_{1-y}$ hosts doped with $x = 5$ and $10\%$ Mn.

C. Ab initio LDA+U theory

In *ab initio* approaches, the CPA description of disordered mixed crystals is combined with the local density approximation (LDA) to density-functional theory. Electron correlations on the Mn $d$-shell are modelled by including Hubbard interaction terms in the Hamiltonian (LDA+U)\(^{43,44,45}\). The LDA+U/CPA method is implemented within the framework of the first-principles, tight-binding linear muffin-tin orbital approach\(^{46,47}\). Hubbard parameters used in the *ab initio* calculations were chosen to provide similar exchange splitting of the Mn $d$-states as obtained in the TBA/CPA model calculations.

The mean field $T_c$’s, shown in Fig. 4 are obtained again from the spin-flip energy $E_{rev}$ which is calculated directly from the LDA+U/CPA Green’s functions\(^{45}\). $T_c$ clearly increases with increasing $y$ in the series of Ga$_{1-y}$Mn$_x$As$_{1-y}$P$_y$ with fixed Mn doping $x$. Also in agreement with model calculations of previous sections, the LDA+U/CPA values of the mean-field $T_c$ are proportional to the Mn concentration within the studied range of Mn dopings of 1-10%. Note that while the main Curie temperature trends with Mn and P doping are described consistently by the different theoretical approaches employed in this paper the absolute values of $T_c$’s cannot be predicted with a high quantitative accuracy. This is typical for microscopic theories of dilute moment ferromagnetic semiconductors. In the case of TBA/CPA and LDA+U/CPA techniques we attribute the discrepancy to significantly smaller band gaps in the LDA+U/CPA spectra. For GaAs, e.g., the LDA+U band gap is 0.4 meV, compared to the TBA (and experimental) band gap of 1.5 eV. The valence band edge in the LDA+U spectra is then shifted further from the majority Mn $d$-level and the $p$–$d$ hybridization is suppressed, resulting in smaller mean-field $T_c$ values.

The energy $E_{rev}$ could also be obtained from the interatomic exchange parameters $J_{ij}$ constructed by mapping the LDA+U/CPA total energy on the Heisenberg Hamiltonian\(^{47,48}\):

$$H = - \sum_{ij} J_{ij} \hat{e}_i \cdot \hat{e}_j,$$  \hspace{1cm} (4)

where $\hat{e}_i$ is the local moment unit vector. Since the moments induced on non-magnetic atom sites are small, the summation can be restricted to sites occupied by Mn and

$$E_{rev} = 2 \sum_j J_{ij} \approx 2x \sum_j J_{ij}^{Mn-Mn}. \hspace{1cm} (5)$$

Individual $J_{ij}$ potentials characterize the spacial extent of the Mn-Mn exchange coupling. This parameter is particularly useful for estimating corrections beyond mean-field theory in dilute moment systems. The mean-field approximation is more reliable for sufficiently long-range character of carrier mediated Mn-Mn coupling but tends to overestimate $T_c$ when the carriers become more localized and magnetic interactions short-ranged\(^{49,50,51}\). As shown in Fig. 5 the range of Mn-Mn exchange interactions is similar within the whole family of Ga(As,P) ternary hosts and safely exceeds the average Mn-Mn moment distance. We therefore expect that results of mean-field theory reliably describe qualitative $T_c$ trends in Mn doped Ga(As,P) mixed crystals.

III. PARTIAL CONCENTRATION OF INTERSTITIAL Mn

In the previous section we have considered all Mn impurities to substitute for the group-III element. In Mn-doped GaAs materials, however, a fraction of Mn is incorporated during the growth at interstitial positions. These
FIG. 4: Ab initio LDA+U range of Mn-Mn interactions in GaP$_y$As$_{1-y}$ hosts doped with $x = 5$ and 10% Mn.

FIG. 5: Ab initio LDA+U range of Mn-Mn interactions in GaP$_y$As$_{1-y}$ hosts with $y = 0$, 0.5, and 1 along [110] crystal direction.

FIG. 6: Partial concentration of Mn$_1$ as a function of total Mn concentration in GaP$_y$As$_{1-y}$ with $y = 0$, 0.25, and 0.5, and Al$_y$Ga$_{1-y}$As with $y = 0.5$.

IV. DISCUSSION

The effect of alloying (Ga,Mn)As with P on Curie temperature has been discussed theoretically in a previous study by Xu et al. Assuming the double-exchange model for the entire family of Mn-doped Ga(As,P) hosts the authors found no significant enhancement of $T_C$ with increasing P content. In ab initio theories the character of magnetic interactions for a given (III,Mn)V dilute moment system shifts from the delocalized-hole kinetic-exchange towards the impurity-band double-exchange when removing the Hubbard correlation potentials. Indeed, in agreement with Xu et al., we found only weak comparable role in both Ga(As,P) and (Al,Ga)As hosts, given the similar experimental positions of the Mn acceptor level in AlAs, $E_a \approx 0.44$ eV, and in GaP, $E_a \approx 0.4$ eV. The formation energy of Mn$_1$ may, however, also increase due to a geometrical effect of a reduced size of the interstitial space in smaller lattice constant hosts. Calculations for Ga(As,P) and for the nearly GaAs lattice matched (Al,Ga)As, shown in Fig. 6 indicate that the geometrical effect dominates.

The compositional dependence of the Mn$_1$ partial concentration, plotted in Fig. 6, is obtained from ab initio formation energies of substitutional and interstitial Mn$_{22,31,55}$ calculated for the given GaP$_y$As$_{1-y}$ or Al$_y$Ga$_{1-y}$As host. For the smaller lattice constant Ga(As,P), the concentration of Mn$_1$ is significantly suppressed already at $y = 0.25$ and no Mn$_1$ impurities are expected to form at $y > 0.5$. If confirmed experimentally, this property might have a profound effect on both structural and magnetic quality of Mn-doped Ga(As,P) epilayers. In (Al,Ga)As, which has a slightly larger lattice constant than GaAs, our results predict similar or even stronger tendency to Mn$_1$ formation, as compared to pure GaAs host.

donor impurities are likely to form pairs with substitutional Mn acceptors in as-grown systems with approximately zero net moment, resulting in an effective free local-moment doping $x_{eff} = x_s - x_i$. Here $x_s$ and $x_i$ are partial concentrations of substitutional and interstitial Mn, respectively. Although Mn$_1$ can be removed by low-temperature annealing, $x_{eff}$ will remain smaller than the total nominal Mn doping, $x = x_s + x_i$. The substitutional Mn doping efficiency is, therefore, one of the key parameters that may limit $T_C$ also in the wider gap III-V hosts.

As discussed in the Introduction, the increasing ionicity and energy of the substitutional Mn acceptor level with increasing concentration of the wider gap element is one factor that may reduce the tendency to self-compensation by Mn$_1$. This mechanism should play a
dependence of the mean-field $T_c$ on the As/P ratio when replacing the LDA+U/CPA band structure with energy spectra obtained using pure LDA/CPA. Extensive experimental studies of MBE-grown (Ga,Mn)As favor the kinetic-exchange model while data measured in the Mn ion-implanted GaP material are more readily interpreted within the double-exchange model. This may suggest that theoretical $T_c$ trends in Figs. 3 and 4 apply to Ga(As,P) hosts with lower P content while the results of Xu et al. (or the LDA/CPA theory) describe more reliably the opposite P-doping limit. The range of validity of either of the theoretical pictures can be ultimately established only by a detailed study of epitaxial (Ga,Mn)(As,P) compounds with variable Mn and P concentrations and minimal number of other unintentional impurities or lattice defects.

For practical reasons phosphorus cells are rarely mounted on laboratory III-V MBE systems. Note, however, that useful complementary studies of hole localization effects on magnetic interactions in ternary hosts derived from GaAs can be performed by alloying with the much more common Al. The tendency to localization and reduced range of Mn-Mn exchange interactions should be comparable in (Al,Ga)As and GaAs(P), given the similar position of the Mn acceptor level in these two hosts\(^\text{29}\) (see Fig. 1). Experimental results\(^\text{29}\) in annealed, 5% Mn-doped Al\(_{y}\)Ga\(_{1−y}\)As materials grown by LT-MBE with $y$ ranging from 0 to 0.3 showed no marked dependence of $T_c$ on $y$. For the (Al,Ga)As ternary hosts which are lattice matched to GaAs and for which the kinetic-exchange picture implies $T_c$'s nearly independent of Al concentration, this experimental result can be interpreted as a signature of comparably long-ranged Mn-Mn interactions in the studied (Al,Ga)As hosts as in the pure GaAs host. According to Figs. 11 it also implies, however, that $T_c$ should increase when alloying (Ga,Mn)As with P.

To conclude, we found two motivating factors in the materials research of high temperature diluted ferromagnetic semiconductors for performing a detailed experimental study of epitaxial (Ga,Mn)(As,P): We expect the Curie temperature to increase with increasing P concentration with an enhancement factor of up to $\sim 1.5$ compared to (Ga,Mn)As with the same concentration of uncompensated Mn\(_{\text{Ga}}\) local moments. We also predict a significantly weaker tendency to carrier and moment self-compensation by interstitial Mn\(_{\text{Ga}}\) impurities in Ga(As,P) hosts compared to pure GaAs. Finally we point out that Mn-doped (Al,Ga)As represents a useful complementary system to (Ga,Mn)(As,P) for understanding trends in magnetic and structural properties of these wider gap III − V hosts.

We acknowledge fruitful discussions with Tom Foxon, Andrew Rushforth, and Alexander Shick, and support from the Grant Agency of the Czech Republic under Grant No. 202/05/057 and 202/04/0583, from the Academy of Sciences of the Czech Republic under Institutional Support No. AVOZ10100521 and AVOZ10100520, from the Ministry of Education of the Czech Republic for Fundamental Research LC510 and COST P19 OC-150, from the UK EPSRC under Grant No. GR/S81407/01, and from the National Science Foundation under Grant No. PHY99-07949.
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