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Drying dynamics of a charged colloidal dispersion
in a confined drop

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We performed a thorough investigation of the drying dynamics of a charged colloidal dispersion drop in a confined geometry. We developed an original methodology based on Raman micro-spectroscopy to measure spatially-resolved colloids concentration profiles during the drying of the drop. These measurements lead to estimates of the collective diffusion coefficient of the dispersion over a wide range of concentration. The collective diffusion coefficient is one order of magnitude higher than the Stokes-Einstein estimate showing the importance of the electrostatic interactions for the relaxation of concentration gradients. At the same time, we also performed fluorescence imaging of tracers embedded within the dispersion during the drying of the drop, which reveals two distinct regimes. At early stages, concentration gradients along the drop lead to buoyancy-induced flows. Strikingly, these flows do not influence the colloidal concentration gradients that generate them, as the mass transport remains dominated by diffusion. At longer time scales, the tracers trajectories reveal the formation of a gel which dries quasi homogeneously. For such a gel, we show using linear poro-elastic modeling, that the drying dynamics is still described by the same transport equations as for the liquid dispersion. However, the collective diffusion coefficient follows a modified generalized Stokes-Einstein relation, as also demonstrated in the context of unidirectional consolidation by Style et al. [Crust formation in drying colloidal suspensions, Style et al., Proc. R. Soc. A 467, 174 (2011)].

I. INTRODUCTION

Understanding the drying dynamics of a colloidal dispersion is probably one of the most challenging issue in the field of coating engineering [1, 2]. This intricate process couples both fundamental aspects of colloidal physics and transport phenomena (interactions, stability, convection/diffusion, etc.) [3–10], up to the formation of solids with internal mechanical stresses which are released through (often detrimental) instabilities such as shear bands [11–14], film delamination [15, 16], and cracks [17–19].

The common description of drying in many geometries (suspended or confined drops, films, etc.) is the following: solvent evaporation (at a rate $\dot{E}$) induces convection towards the evaporating air/dispersion interface, thus concentrating the colloids up to the formation of a close-packed colloidal material, see e.g. Refs. [20–23]. This concentration process is mainly governed by the competition between drying-induced convection in the bulk (at a velocity $\dot{E}$ relatively to the evaporating interface) and collective diffusion relaxing concentration gradients. The scale of the expected gradient $\xi \sim D_0/\dot{E}$ where $D_0$ is the colloid diffusion coefficient, is often compared to a characteristic length scale $L$ (e.g. film thickness) using the Péclet number $\text{Pe} = \dot{E}L/D_0$. In most experiments, Stokes-Einstein estimates for $D_0$ point out that the drying of dispersions leads to the formation of a thin crust at the evaporating interface, e.g. $\xi \approx 10–100$ μm for particles with radii $a = 10–100$ nm dispersed in water, and for $\dot{E} \approx 100$ nm/s.

However, highly charged colloids repeatedly used as model systems in this wide research area (e.g. the commercial Ludox silica nanoparticles in some of the above cited works), deserve further attention. Indeed, long range electrostatic interactions (as compared to the colloid radii $a \sim 10$ nm) may lead to a collective diffusion coefficient much larger than the Stokes-Einstein prediction $D_0$. The collective diffusion coefficient $D(\phi)$ indeed results from an interplay between colloidal interactions and hydrodynamic interactions at finite concentrations [24, 25], and it follows the generalized Stokes-Einstein relation Eq. (16), see later Sec. V for a more detailed discussion. Higher values than $D_0$ can thus be observed in colloidal dispersions with high osmotic compressibility (strong repulsive interactions) and low hydrodynamic friction of the relative flow solvent/particles. Such phenomena are well-known by the community investigating the dynamics of colloids, and it has been reported many times using scattering techniques, see e.g. Refs. [26–28]. Other evidences were even reported using measurements of concentration gradients in the context of unidirectional drying using in-situ SAXS [11, 12], or by measurements of permeate flux in ultra-filtration experiments [29]. Moreover, a transition from a liquid to a poro-elastic gel often occurs for these highly charged colloids at concentrations well below the close-packing [7, 8, 11, 30]. Such a transition was shown to play a crucial role for the formation of shear bands in unidirectional drying [11, 13, 14]. Despite the numerous works employing these charged nanoparticles to investigate the drying of dispersions, a quantitative description of the concentration process taking into account these phenomena is still missing.

In the present work, we provide spatially-resolved measurements of the colloid concentration during the drying of a charged nanoparticles dispersion in a model geometry: a confined drop between two circular plates, see Fig. 1. These original measurements based on Raman micro-spectroscopy enable us to evidence that evaporation induces slight concentration gradients within the drop owing to enhanced collective diffusion. Moreover, these spatially-resolved measurements of the concentration fields make it possible to extract precise estimates of the collective diffusion coefficient $D$ over the col-

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lloid volume fraction range $\varphi \approx 0.25-0.6$. The measured values of $D(\varphi)$ are about one order of magnitude higher than $D_0$, the Stokes-Einstein estimate. We also combine these measurements with fluorescence imaging of tracers within the dispersion. These experiments reveal two distinct regimes before the consolidation. At low colloid volume fraction $\varphi \leq 0.3$, the concentration gradients lead to buoyancy-induced flows as the density of the dispersion evolves with $\varphi$. Strikingly, we show that these flows do not influence the concentration gradients that generate them, as colloidal transport remains dominated by diffusion in such confined geometries [31]. For $\varphi \geq 0.3$, these buoyancy-induced flows vanish, and the trajectories of the tracers suggest the formation of a gel which dries quasi homogeneously. For such a poro-elastic gel, it has recently been shown by Style et al. that the collective diffusion coefficient does not strictly follow the generalized Stokes-Einstein relation as in a liquid dispersion [32]. This result, due to the constraints imposed by the geometry, was demonstrated theoretically in the context of unidirectional consolidation using non-linear poro-elasticity [33]. We find again the same result in our experimental configuration but using linear poro-elastic modeling, as solvent evaporation induces only slight concentration gradients during the drying of the drop.

II. CONFINED DRYING

Figure 1(a-b) illustrates the geometry of confined drying: a drop is squeezed between two circular wafers separated by small spacers of fixed height $h$ [34]. In the following experiments, the typical drop volume ranges from $\sim 0.5$ to 2.5 $\mu$L, the wafer radius $R_w = 3.81$ cm, $h$ ranges from 80 to 250 $\mu$m, and the initial drop radius is $R_0 \approx 1$ mm. This model geometry offers numerous advantages as compared to free films or sessile droplets: (i) drying rates are mainly governed by a diffusive mass transport and thus by geometrical parameters, (ii) axis-symmetry combined with confinement provides both simplified experimental observations and easy modeling, and (iii) the limited free surface hinders Marangoni instabilities. This geometry recently emerges for these reasons as a powerful technique for investigating quantitatively the drying of complex fluids: formation of crusts in hard-sphere dispersions [35] and the associated buckling instability [21, 36], drying of anisotropic colloidal dispersions [37], and even dynamic investigation of phase diagrams of copolymer solutions [38]. A complete theoretical description of the drying kinetics (including the case of pure solvents and binary mixtures) can be found in Refs. [38, 39], and we only summarize below the information needed in the following.

The drying kinetics of a pure water drop is limited in this geometry by the quasi-static diffusion of the vapor within the cell. The evaporative flux at the air/drop interface is $J(R) = -D_v c_s(1-a_e)/(R \ln (R/R_w))$, where $c_s$ is the concentration of saturated water vapor pressure in air ($c_s \approx 1$ mol/m$^3$), $a_e$ the external residual humidity, and $D_v$ the diffusion coefficient of the vapor in the gas phase. Mass conservation leads to the following temporal evolution for the drop radius:

$$\frac{dR}{dt} = \frac{\dot{D}}{R \ln (R/R_w)}, \quad (1)$$

with the effective diffusion coefficient $\dot{D} = D_v c_s(1-a_e)$ and $v_e$ the molar volume of water ($v_e \approx 1.8 \times 10^{-5}$ m$^3$/mol). For the room conditions investigated in the present work ($T = 18-21^\circ$C, $a_e = 0.4-0.65$), $\dot{D}$ ranges from 2.2 to $3 \times 10^{-10}$ m$^2$/s and the velocity of the receding meniscus is of the order of $\sim \dot{D}/(R \ln (R/R_w)) \approx 100$ nm/s for radii $R \approx 1$ mm. Note that for small drops radius $R \ll R_w$, the exact geometry imparted by the cell only plays a logarithmic role through the term $\ln (R/R_w)$ (i.e. increasing the wafer’s size only decreases logarithmically the drying kinetics).

We further define the normalized area $\alpha(t) = [R(t)/R_0]^2$, with $R_0 = R(t = 0)$. The solution of Eq. (1) is:

$$4\dot{D} R_w^2 = \beta \alpha [\ln(\beta \alpha) - 1] - \beta [\ln(\beta) - 1], \quad (2)$$

with $\beta = [R_0/R_w]^2$.

We now turn to the case of colloidal dispersions, and we briefly summarize the classical description of mass transport within such binary mixtures. We consider (incompressible) colloids of radii $a$ dispersed in water, and we define $\varphi$ their volume fraction. We then define $v_f$ and $v_e$ the average velocities of the solvent and colloids respectively. Conservation equations are:

$$\frac{\partial \varphi}{\partial t} + \nabla.(\varphi v_f) = 0, \quad (3)$$

$$\frac{\partial (1-\varphi)}{\partial t} + \nabla.(1-\varphi)v_f = 0, \quad (4)$$

FIG. 1. (color online) (a) Schematic (top and side) views of the experiments: a drop of an aqueous colloidal dispersion is confined between two circular wafers separated by a fixed height $h$. A thin PDMS layer coated on each inner side of the glass wafers prevents from the pinning of the receding contact line. Colors code the drying-induced concentration gradient of colloids, g indicates the gravity direction, and blue arrows show the diffusive drying within the cell. (b, left) Raman imaging: a laser is focused at a given location within the drop, and the scattered light is collected using a spectrometer. The drop is then scanned along its diameter using a motorized stage. (b, right) Fluorescence microscopy: fluorescent tracers (green symbols) are dispersed within the drop to visualize flows.
and the volume averaged velocity $\mathbf{v} = \varphi \mathbf{v}_s + (1 - \varphi) \mathbf{v}_r$ thus follows the condition $\nabla \cdot \mathbf{v} = 0$. The flux of colloids classically writes:

$$\varphi \mathbf{v}_s = \dot{\varphi} \mathbf{v} - D(\varphi) \nabla \varphi.$$  \hfill (5)

In the confined geometry depicted in Fig. 1, we assume that the meniscus can recede freely, and that colloids do not adsorb on the cell surfaces or migrate at the air/dispersion interface. The evaporative flux at the drying interface is not affected by the colloids as the chemical activity of water remains always close to unity, even for strongly interacting small colloids (see e.g. Ref. [39]). Equation (1) therefore still describes the drying kinetics of the drop.

Axis-symmetry and the incompressibility condition $\nabla \cdot \mathbf{v} = 0$ impose that the conservation of colloids follows:

$$\frac{\partial \varphi}{\partial t} + \mathbf{v} \cdot \nabla \varphi = \frac{1}{r} \frac{\partial}{\partial r} \left( r D(\varphi) \frac{\partial \varphi}{\partial r} \right) + \frac{\partial}{\partial z} \left( D(\varphi) \frac{\partial \varphi}{\partial z} \right).$$  \hfill (6)

For negligible convection within the drop, solvent evaporation from the drying interface is expected to induce the formation of concentration gradients on a scale $\xi \sim D(\varphi)/\dot{\varphi}$ due to the competition between collective diffusion and the receding of the meniscus [39]. However, these radial concentration gradients $\partial_r \varphi$ are associated to density gradients orthogonal to the gravity $\partial_z \rho(\varphi)$, which may in turn induce buoyancy-driven flows along $r$ (at least for a liquid dispersion). Such evaporation-induced natural convection has been reported in similar confined geometries and for various molecular mixtures [31, 38, 40].

We show recently in the case of weak concentration gradients along the drop (large $D(\varphi)$ and/or low $R$), that these buoyancy-driven flows have no influence on the concentration gradients that generate them in a confined geometry [31]. This striking regime occurs for moderate Rayleigh numbers $Ra = v_m h/D \sim \mathcal{O}(1)$, where $v_m$ is the scale of the buoyancy-driven flows. Indeed, solutes (nanoparticles in our case) are convected by natural convection only on a scale $h \ll R$ during $\tau_d \sim h^2/D$ for $Ra \sim \mathcal{O}(1)$. As diffusion homogenizes concentrations over $h$ for $t \geq \tau_d$, and since the height-averaged radial component of the velocity is strictly 0 (mass conservation $\nabla \cdot \mathbf{v} = 0$), the solute transport is dominated by diffusion. Averaging Eq. (6) over the height $h$ for $Ra \sim \mathcal{O}(1)$ indeed leads to

$$\frac{\partial \varphi}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left( r D(\varphi) \frac{\partial \varphi}{\partial r} \right),$$  \hfill (7)

with the following boundary condition for the colloid flux at the receding meniscus:

$$-(D(\varphi) \nabla \varphi)_{r=R} = \varphi(r=R) \dot{R}.$$  \hfill (8)

In this regime of moderate $Ra$, concentrations are homogeneous over the cell height $h$ and mass transport is dominated by diffusion despite the presence of buoyancy-driven flows, see Ref. [31] for further details. This regime has been reported for several binary mixtures, including molecular solutions [31] and polymer solutions [38]. We will demonstrate later that it also occurs for the charged colloidal dispersion investigated in the present work. Finally, the average concentration within the drop $< \varphi >$ is simply related to the normalized area $\alpha$ through:

$$< \varphi > = \frac{1}{\pi R^2} \int_0^R 2\pi r \varphi(r) dr = \frac{\varphi_0}{\alpha}$$  \hfill (9)

In the confined drop, solvent evaporation induces the formation of concentration gradients at the drying interface on a scale $\xi \sim D(\varphi)/\dot{\varphi}$. For radii $a \sim 10$ nm, Stokes-Einstein estimate $D_0 \approx 2 \times 10^{-11}$ m²/s, predicts the formation of a thin crust during the drying kinetics: $\xi \sim 200 \mu$m for $R \approx 100$ nm/s. As shown later, our experimental results for charged dispersions of small colloids show that concentration gradients over the drop are much more weaker, and associated to collective diffusion coefficients $D(\varphi) \approx 10-30D_0$ for the whole range of concentration. Such high values prevent from the formation of a thin crust, and lead only to slight concentration gradients. Moreover, our experiments reveal a transition from a liquid dispersion (sol) to a poro-elastic solid (gel) at $\varphi \approx 0.3$. Below $\varphi \approx 0.3$, the weak concentration gradients induce natural convection as explained above, but the transport remains dominated by diffusion as $Ra \sim \mathcal{O}(1)$, see Sec. IV C. We will also discuss in Sec. V how the description of the collective diffusion coefficient related to the osmotic compressibility of the dispersion and the permeability to the relative flow solvent/colloids [24, 25] is affected by such a liquid→solid transition [32].

### III. MATERIALS AND METHODS

#### A. Confined drying experiments

We use glass wafers (3” diameter, 1 mm thickness) coated by a thin layer of cross-linked poly(dimethylsiloxane) (PDMS) (thickness $\sim 30 \mu$m, Syngard 184). The thin PDMS layer prevents from the pinning of the receding meniscus, at least in the first stage of the drying process, see Sec. IV. We pay a particular attention to the neatness of the PDMS layer, as any adsorbed dust may pin the receding meniscus and lead to mechanical instabilities (see Sec. IV). The spacers consist of small pieces ($\sim 6$ mm²) made of glass or PDMS films, with thicknesses $h$ ranging from 80 to 250 $\mu$m. Room humidity ranges typically from $a_e = 0.4$ to 0.65, with variations below 0.05 during a given experiment.

In a classical experiment, we place a drop with a controlled volume of $\approx 1 \mu$L at the center of one of the glass wafers. We then carefully close the cell using the second wafer and start immediately a video acquisition using a stereo microscope and a CCD camera. Classical resolution is 5 $\mu$m/pixel and frame rate is 6 images/min.

To visualize flow patterns within the drop, we seed the colloidal dispersion with a small amount of fluorescent particles (Fluorospheres, diameter 500 nm, carboxylate-stabilized, volume fraction $< 0.01\%$). Trajectories are recorded using fluorescence imaging using the same stereo microscope as above.
To measure precisely flow profiles, see Fig. 7, we use an inverted microscope (IX71, Olympus) and a high NA objective (60X, NA = 1.2) to monitor the trajectories of the tracers at different focal planes with steps of 10 µm or 20 µm (the typical field of view of the camera is ≪ R), see Fig. 1(b). Standard tracking algorithms are used to compute the velocities of the tracers as a function of the height z within the cell.

**B. Dispersions**

We investigate Ludox dispersions of silica nanoparticles, and more precisely the monodisperse anionic grade AS40 (Sigma-Aldrich). The mean colloid radius is \( a = 11 \) nm, the mass fraction of the stock dispersions is \( \varepsilon = 40\% \) and these colloids are stabilized by negative silanol groups at their interface. The surface charge density depends on the pH of the dispersion (\( \text{pH} = 9.2 \)) and on the counterions concentration, and is typically of 0.5 e/nm\(^2\) [41, 42]. To estimate the volume fraction \( \phi_0 \) of the dispersion, we measure its density and its dry extract (at 150°C for \( \approx 30 \) min), and we assume the additivity of the volumes. These measurements lead to the density of the silica particles \( \rho_s = 2.216 \) g/mL and \( \phi_0 \approx 0.24 \).

The aim of the present work is to demonstrate that electrostatic interactions play a large role for the drying process, even for stock dispersions which may thus contain ionic species. In our experiments, we mainly use AS40 dispersions as received and we thus do not control their exact ionic content by using osmotic equilibrium against known solutions. The counterions of AS40 dispersions are ammonium hydroxide ions, as compared to the other monodisperse anionic grade TM40 for which counterions are sodium ions [43]. AS40 dispersions are often used in applications for which the presence of sodium is detrimental. Ammonium hydroxide ions are indeed in equilibrium with ammonia gas dissolved in the dispersion, and the later may eventually evaporate [43]. To check whether our results depend on the possible counterions volatility or not, we also investigated TM40, and we observe similar behaviors but with slightly shifted concentration values (data not shown).

**C. Raman micro-spectroscopy**

We use a custom-made Raman micro-spectrometer setup coupled to an inverted microscope (Olympus IX71 and Andor Shamrock). A laser beam (\( \lambda = 532 \) nm, Coherent Sapphire SF) is focused with a 20X objective (NA= 0.45) at approximately \( z \approx h/2 \) within the cell. Scattered light is collected by the same objective, filtered to get the Raman contribution, and directed to the spectrometer, see Fig. 1(b). A confocal pinhole (100 µm) conjugated with the focal plane prevents from collecting excessive out-of-focus contributions. Typical experimental parameters are: acquisition time 2 s, slit 200 µm, laser power at the focal plane \( \approx 25 \) mW, and grating 600 lines/mm.

To monitor the concentration process in space and time during drying, we scan the drop along its diameter (\( 2R_0 \approx 2 \) mm) using a motorized stage (Marzhäuser) synchronized with the Raman measurements. A typical spatial scan lasts 2 min with a spatial step of 30 µm. This process is repeated up to the complete consolidation in order to get space-time Raman measurements of the drying dynamics.

**D. Raman calibration and screening of the drying kinetics**

Figure 2(a) shows a typical Raman spectrum acquired within the drop. This spectrum is corrected for the baseline measured in the range 2400–2700 and 3850–4000 cm\(^{-1}\). In the investigated spectral range, the data evidence a contribution of the PDMS layers (2800–3000 cm\(^{-1}\)) despite the confocal pinhole, and a wide contribution in the region 3500 cm\(^{-1}\) due to the OH stretching and bending modes of the water molecules. The measurements of the silica concentration from such data are not straightforward as the Raman spectra do not display any signature of the silica particles in the spectral range investigated [44]. However, we show below using a
careful calibration that quantitative measurements of the colloid volume fraction $\phi$ are still possible from the measurements of the Raman water contribution only.

Figure 2(b) shows a typical space-time plot of the Raman water contribution (estimated from the spectra at 3400 cm$^{-1}$) during the drying of a drop. As kinetics are rather long ($\simeq 1$ h), we ignore the small acquisition time of a spatial scan to build this space-time plot. The meniscus positions are determined with a subpixel resolution from such data, and the dynamics $R$ vs. $t$ is thus known. We only consider the first 55 min of the data (before the final consolidation with a steady radius $R$), and we do not consider the Raman data acquired at longer time scales as the drop delaminates from the wafers and cracks appear, see Sec. IV.

The mean water contribution within the drop decreases from $\simeq 650$ to $\simeq 260$ (arbitrary units) at $t \simeq 55$ min for this data set with slight spatial gradients over the drop ($< 6\%$), all along the drying process. These measurements provide a self-calibration as the drying kinetics $R$ vs. $t$ leads to the temporal evolution of the average concentration $\phi \rightarrow \phi_0/\alpha$, see Eq. (9). Figure 2(c) shows the average water contribution $<I_w>=\langle 2/R^2 \rangle \int_0^R \alpha(r,t) dr$, as a function of $\phi_0/\alpha$. These measurements, normalized to get $<I_w> \rightarrow 1$ when $\phi \rightarrow 0$, clearly evidence the affine behaviour $<I_w>=1-0.94 \phi$. Figure 2(c) displays different superimposed data sets evidencing the reproducibility of this relation.

To check the robustness of this calibration, we also performed independent measurements using both the 20X objective, and an oil-immersion objective (60X, NA=1.4) at a focal plane located $\simeq 50$ $\mu$m from the bottom PDMS layer. To control the concentration within the drop, we proceeded as follows. We first put diluted drops of known concentrations and we acquire Raman spectra before significant drying. The corresponding data follow again the affine behavior (colored symbols, Fig. 2(c)). Then, we put a drop of the AS40 dispersion ($\phi_0 \simeq 0.24$), we measure its initial area, and we let the solvent evaporates for $\simeq 20$ min. We then stop evaporation by covering the cell by a closed box containing suspended water drops to saturate the cell with a high humidity, and hence stop the drying kinetics. To make sure concentration gradients along the drop relax through collective diffusion, Raman spectra are acquired $\simeq 10$ min after evaporation stops. The area of the drop is again measured to estimate the concentration $\phi=\phi_0/\alpha$ within the drop. This process is repeated until the drop finally consolidates. These measurements (colored symbols, Fig. 2(c)) again confirmed that the (normalized) Raman water contribution follows the same affine law.

We expected the linear behaviour $<I_w>=1-\phi$ as (i) Rayleigh scattering does not contribute to the measured signal for such small particles (silica refractive index $\simeq 1.46$, $a \simeq 11$ nm), and (ii) as the Raman signal is a priori proportional to the number of water molecules contained within the sample volume. The observed affine relation $<I_w>=1-0.94 \phi$ suggests that a water contribution of $\simeq 0.06$ remains even when $\phi \rightarrow 1$. This small contribution may be due to an overestimation of the volume fraction $\phi_0$ using our dry extract measurements (see Section III B), as water molecules may remain attached to the silica surface despite this thermal treatment (as recently discussed by Piroird et al. [10]). We do not investigate this issue in more details, and we use the calibration displayed in Fig. 2 to extract the volume fraction $\phi$ from the measured Raman water contribution.

IV. RESULTS

A. Drying kinetics and observed phenomenology

Figure 3 shows typical bright field observations of the drying of a AS40 dispersion drop at $\phi_0 \simeq 0.24$. At early time scales ($t<60$ min), the drop remains circular and its radius decreases smoothly. The meniscus recedes freely on the PDMS
surface and no deposit is formed. When the PDMS layers are not carefully cleaned, the observed scenario is quite different (see the movie M5.avi in Supplemental Material [45]). The receding contact line may indeed pin at a given location, leading to the further buckling of the drop (often referred to as the invagination instability). Such behaviors were reported earlier by Pauchard et al. in similar experiments and such instabilities were hindered by using glass wafers coated by liquid lubricating layers [21]. In the following, we only focus on experiments with clean wafers and the drops remain circular during drying.

From image analysis, we extract the normalized drop area $\alpha$ vs. $t$ and thus the mean concentration $\varphi_0/\alpha$ within the drop. The temporal evolutions $\alpha(t)$ are nicely fitted by Eq. (2) with values for $D$ ranging from $2.3$ to $3 \times 10^{-10}$ m$^2$/s for several experiments. At $t \approx 60$ min, and thus $\varphi \approx 0.6$, a deposit forms on the PDMS layers and a sequence of mechanical instabilities rapidly occurs, see Fig. 3. The drop first delaminates from the PDMS layers, and a crack often appears across the drop. At longer time scales, a sharp change of refractive index suggests that air invades the drop from its outer boundary (e). Ultimately, the final material does not evolve anymore (f), and the corresponding SEM images show materials with sharp interfaces (see the inset of Fig. 3(g)). We do not focus in the present work on such mechanical instabilities, and we only investigate the early stage of drying corresponding to the concentration process up to the final consolidation ($t \leq 60$ min).

### B. Concentration profiles and collective diffusion coefficient

Figure 4 shows a typical temporal evolution of the colloid concentration field measured using our Raman technique, see Section III D. We plot in Fig. 4 only a few curves along the drying process for the sake of clarity (the temporal resolution of the full data is $\approx 2$ min, see Fig. 2(b)). These concentration profiles clearly reveal that evaporation does not lead to the formation of a thin crust of concentrated colloids at the receding boundary, but only to slight gradients along the drop. These profiles are well-fitted by

$$\varphi(r,t) = \varphi_c(1 + \varepsilon r^2/R^2),$$

(10)

where $\varphi_c$ is the concentration at $r = 0$ and $\varepsilon \ll 1$, see the continuous lines in Fig. 4.

Assuming that the description of the transport process within the dispersion is correct, see Sec II and namely Eqs. (7-8), we can now estimate the collective diffusion coefficient $D$ vs. $\varphi$ from such measurements. Indeed, both concentration gradients $\partial_r \varphi$ and drying kinetics $R$ are measured, and the boundary condition Eq. (8) possibly gives an estimate of $D(\varphi)$. To minimize the dispersion of $D(\varphi)$ induced by the estimation of two numerical derivatives ($\partial_r \varphi$ and $R$), $R$ vs. $t$ is fitted using Eq. (2) to estimate precisely $R$ (see Fig. 3(g)), and the fits of the profiles by Eq. (10) yield estimates of the gradients at $r = R$ (see Fig. 4).

Figure 5 shows the output of such measurements for various cell heights ranging from 80 to 250 $\mu$m. For the whole concentration range, $D(\varphi)$ deviates significantly from the Stokes-

\[
D(\varphi) = 2 \times 10^{-11} \text{ m}^2/\text{s} 
\]

as $D(\varphi) \approx 10-30D_0$, evidencing the crucial role played by the electrostatic interactions on the relaxation of concentration gradients, even for such a stock dispersion (i.e. without removing ionic species). Despite the spread of the data related to the estimate of two numerical derivatives, our measurements outline the following behavior: $D(\varphi)$ first decreases from $\approx 6$ to $\approx 2 \times 10^{-10}$ m$^2$/s for $\varphi$ increasing from $\varphi_0$ to $\approx 0.35$, and $D(\varphi)$ remains nearly constant $\approx 2 \pm 0.5 \times 10^{-10}$ m$^2$/s for larger $\varphi$.

Boulogne et al. performed similar experiments as those re-
ported in Fig. 3 using different charged dispersions, including Ludox HS40 and TM50 with volume fractions $\phi_0$ ranging from 0.1 to 0.22 [21]. Their observations are quite different, as they always reported invagination of the drop, suggesting the formation of an elastic crust at the outer of the drop and its buckling. These observations were not linked to the pinning of the receding meniscus, as the contact line receded freely on thin viscous lubricating layers on the wafers. However, their different geometry (larger drops $R_0 \approx 2.9$ mm, and smaller wafers $d_w = 8$ mm), leads to significantly larger velocities $\tilde{v} \approx 400$ nm/s (see Fig. 2 in Ref. [21]). If we assume that our measurements of $D(\phi)$ apply to their investigated dispersions, the expected scale of the concentration gradient is $\xi = D(\phi)/\tilde{v} \approx 250$ $\mu$m $\ll R_0$, whereas $\xi \approx R_0 \approx 1$ mm in our case. This may confirm the formation of a crust of concentrated colloids at the receding meniscus in their experimental configuration.

C. Flow patterns: from drying-induced buoyancy flows to homogeneous drying

To validate the use of Eq. (8) to estimate $D(\phi)$, we should also confirm that the colloid transport is dominated by collective diffusion even if natural convection occurs within the drop, see Eq. (7). We thus seed the dispersion using fluorescent tracers and monitor their trajectories during drying, see Fig. 6(a-b). These experiments reveal two distinct flow patterns, see the movie M2.avi in Supplemental Material [45] and the space time plot in Fig. 6(c) along a given drop radius. At early stages (typically $t \leq 15$ min), we observe axis-symmetrical recirculating flows which convect the tracers, from the meniscus to the drop center for $z < h/2$ and from the drop center to the meniscus for $z > h/2$. The maximal radial velocity of such flows is of the order of 1 $\mu$m/s for $h = 250$ $\mu$m. These flows then stop in the range $t = 15 – 30$ min, and the tracers follow further radial trajectories towards the center of the drop, uniformly over the cell height. In this last regime, tracers concentrate homogeneously, leading us to refer to this regime as the homogeneous drying regime.

As discussed earlier, the axis-symmetrical flows observed at early time scales correspond to buoyancy-driven flows, as also recently demonstrated using similar experiments but with molecular mixtures [31, 38, 40]. The homogeneous drying regime suggests the transition to a solid in which tracers are trapped. Despite the obvious displacements of fluorescent tracers during the drop drying, we also show below that our measurements of collective diffusion coefficients remain valid, as the mass transport is still described by Eq. (7).

1. Buoyancy-driven flows

The measurements of the concentration profiles shown in Fig. 4 are associated to density gradients along the drop. For a liquid dispersion, these weak concentration gradients induce natural convection which can be calculated using the lubrication approximation. Assuming that the variation of the viscos-

$$\nu_r(z) = \frac{(\rho_s - \rho_e)gh^3}{12\eta} \frac{\partial \phi}{\partial z} (1 - \bar{z})(2\bar{z} - 1),$$  \hspace{1cm} (11)

with $\bar{z} = z/h$, and $\rho_e$ the water density. The maximal flow velocity (for $\bar{z} \approx 0.2$ and $\bar{z} \approx 0.8$) is roughly given by $\nu_m \simeq$
that generate them in a confined geometry. This regime occurs when the Rayleigh number $Ra = v_m R/\Delta h$, follows $Ra \sim \theta'(1)$, see Ref. [31] for more details. In our experiments, $D(\varphi)$ ranges from $6 \times 10^{-10}$ m$^2$/s to $2 \times 10^{-10}$ m$^2$/s for $\varphi = 0.24$–0.35, see Fig. 5. Ra thus ranges from 0.8 to 2.5 for $h = 250$ $\mu$m and $v_m \approx 1$ $\mu$m/s (i.e. $Ra \sim \theta'(1)$), demonstrating that the drying-induced concentration gradients in silica nanoparticles is not affected by the buoyancy-driven flows. These flows, however, can convert larger colloidal species such as the fluorescent tracers dispersed in the drop.

This result is even confirmed directly by the measurements reported in Fig. 5. The values of $D(\varphi)$ indeed do not depend on $h$, whereas buoyancy-driven flows strongly depend on $h$ as $v_m \sim h^2$, see also Figs. 7(a–c) and the corresponding movie in SI.

2. Gelation

At a given time, these buoyancy-driven flows suddenly vanish close to the receding meniscus $r \approx R$, and this phenomenon progresses within the drop up to its center. At longer time scales, the fluorescent tracers seem to be trapped within the dispersion, and they follow radial trajectories towards the center of the drop.

These observations suggest the formation of a gel which traps the fluorescent tracers, that further dries up to the drop consolidation without sticking on the PDMS layers. The gelation front which crosses the drop from $r = R$ up to $r = 0$ (see the green dotted line in Fig. 6(c)), suggests that this transition occurs at a critical concentration $\varphi_c$.

Indeed, our combined measurements of fluorescence imaging and concentration profiles make it possible to confirm this picture and to roughly estimate $\varphi_c$. We first extract the normalized drop area $\alpha_1$ at which we observe the beginning of the gelation at $r = R$, and the normalized area $\alpha_2$ at which the gel fully invades the drop (see the corresponding range highlighted by the green dotted line in Fig. 6(c)). We then report in Fig. 4, the concentration profiles corresponding to these two critical $\alpha$. For $\alpha \approx \alpha_1$ (symbols $\triangle$), concentration reaches $\varphi_c \approx 0.32$ at $r = R$, while for $\alpha \approx \alpha_2$ (symbols $\diamond$), one observes $\varphi(r,t) \approx \varphi_c$ at $r = 0$ (see the green line in Fig. 4). The crossing of the gelation front within the drop arises from the existence of slight concentration gradients which intersect the concentration $\varphi_c$ at different positions $r$, see e.g. the intermediate profile displayed Fig. 4.

Our measurements give a rough estimate $\varphi_c \approx 0.32 \pm 0.02$ for this liquid→solid transition which should be considered cautiously. Indeed, we estimate $\varphi$ from the vanishing of the buoyancy-driven flows, while the latter may also vanish for a sudden increase of viscosity, see Eq. (11). Nevertheless, this rough estimate is in good agreement with other reported values in the literature for similar systems. Ludox dispersions, and more generally any dispersion of highly charged particles, are indeed well-known to form elastic gels at concentrations well-below close-packing. This feature has been reported many times in the context of drying, at volume fractions $\varphi$ ranging from 0.1 to 0.4 depending on the ionic con-
tent of the dispersion, and the surface charge density (in-situ SAXS, indentation, microscopy) [7, 8, 11]. Direct rheologi-
cal measurements were also reported on a similar Ludox dis-

persions (HS40) showing a sharp transition from a viscous dis-

persion (sol) to elastic gels at \( \varphi \simeq 0.3 \) [30]. We also con-

firmed our measurement \( \varphi_c \simeq 0.32 \) by performing slow dry-
ing experiments of AS40 dispersions in small vials (drying times \( \simeq 30–60 \) h at \( T = 40^\circ C \) under constant stirring) as in 

Ref. [30]. These experiments reveal the formation of elastic 
gels for concentrations roughly above \( \varphi_c \simeq 0.3 \) (measured us-
ing dry extract).

To locate this liquid→solid transition within the whole dy-

namic, we also report in Fig. 3 the \( \alpha \) range (and thus the 
temporal range) at which the gelation front crosses the drop. 
This plot evidences that this transition occurs long before the 
final consolidation of the drop.

3. Homogeneous drying

We now investigate the trajectories of the tracers in this gel 
phase. Figure 6(d) shows the same space-time plot as in (c), 
but against the rescaled variable \( r/R(t) \). Trajectories in this 

space-time plot are vertical lines, suggesting that the radial 
velocities of the tracers are given by

\[
v_r \simeq \frac{r}{R} \frac{dR}{dt},
\]

(12)

without any variation over the cell height. This feature is bet-
ter evidenced using the movie M3.avi in Supplemental Mate-
rial [45], for which a zoom is continuously adjusted to get a 
fixed drop radius on the screen. In this movie, tracers 

seem immobile showing that they homogeneously concentrate 
within the drop.

These results enable us to assess that the trajectories of 
the fluorescent tracers in this second regime do not follow 
the volume-averaged velocity \( v = \varphi v_r + (1-\varphi)v_f = 0 \), see 
Sec. II, but more likely the velocity of the silica nanoparticles 
\( v_r \). Tracers are indeed trapped within the colloidal elastic 

network, and follow its deformation. Relation (12) simply shows 
that the drop dries homogeneously, i.e. \( \varphi(r,t) \approx \varphi_0 R_0^2/R^2 \), 
as the concentration gradients are of the order of \( \varepsilon \ll 1 \), see 
Eq. (10) and Fig. 4.

The same result can be demonstrated more rigorously using 
the framework of large deformation poro-elasticity [33]. One 
can indeed relate the (Eulerian) radial displacement field \( u_r \) of 
the solid network to the local concentration field \( \varphi(r,t) \). This 
relation takes the following form in our confined cylindrical 
geometry:

\[
u_s = \frac{1}{1-\varphi} \frac{d_1 u_s}{d_1} \simeq \frac{r R}{R^2},
\]

(15)

and finally to

\[
v_s = \frac{d_1 u_s}{d_1} \simeq \frac{R_0^2}{R^2},
\]

(16)

(see e.g. Eq. (7) in Ref. [33]). This shows again that the tra-
jectories velocities of the tracers follow the solid network flux 
\( v_s \), see Eq. (12).

V. DISCUSSIONS AND CONCLUSIONS

In the present work, we performed a thorough investigation 
of the drying kinetics of a charged dispersion in a confined 
drop. Our measurements based on Raman micro-spectroscopy 
lead to measurements of the collective diffusion coefficient of 
the dispersion over a wide concentration range. Fluorescence 
imaging also reveals a transition from a liquid dispersion to 
a solid at a concentration \( \varphi_c \) well below the close-packing of 
the colloids. We also report that natural convection occurs 
for such drying experiments, but that mass transport remains 
dominated by diffusion within such confined geometries.

Equations (5) and (7) in Sec. II were derived in the general 
context of binary liquid dispersions. More specifically, the 
term \( \varphi v \) in Eq. (5) corresponds to the convective flux of col-
loids, whereas \(-D(\varphi) \nabla \varphi \) describes the diffusive part of the 
flux (in the reference frame of the volume average velocity). 
The collective diffusion coefficient \( D(\varphi) \) follows the general-
ized Stokes-Einstein relation

\[
D(\varphi) = \frac{k}{\eta_w} \frac{\partial \Pi}{\partial \varphi},
\]

(17)

where \( \eta_w \) is the water viscosity, \( k \) the permeability of the 
dispersion, and \( \Pi(\varphi) \) its osmotic pressure. This equation shows 
that the diffusive transport \(-D(\varphi) \nabla \varphi \) is actually driven by 

\[
(1-\varphi)(v_f - v_s) = -\frac{k}{\eta_w} \nabla p,
\]

(18)

thus taking the form of the Darcy equation. This correspon-
dence between Darcy and Fick laws were discussed at length, 
only by Peppin et al. in Refs. [47, 48] in the context of 
processes driving suspensions out-of-equilibrium (e.g. ultra-
filtration, drying, consolidation, . . . ).

For a poro-elastic media, the mechanical equilibrium may 
not follow \( \nabla P = 0 \), and the fluid transport should be described 
by the equations of poro-elasticity. In that case, the relation 
\( \nabla (p + \Pi) = 0 \) may fail, as mechanical equilibrium of the gel 
has to be described by a constitutive relation taking also into 
account specific boundary conditions. This feature was sug-
gested theoretically recently by Style et al. in the context of
unidirectional drying [32]. They demonstrated more specifically that the colloid transport is still described by Eq. (7), but with a collective diffusion coefficient given by

\[ D(\phi) = \phi \frac{k}{\eta_w} \frac{3(1 - v)}{1 + v} \frac{\partial \Pi}{\partial \phi}, \]  

(A1)

where \( v \) is the Poisson ratio of the gel. This last relation shows how the confinement and the mechanical equilibrium impacts the generalized Stokes-Einstein relation Eq. (16) known to describe the relaxation of concentration gradients in a liquid dispersion. However, unidirectional drying corresponds to a highly non-linear configuration which should be described using the formalism of large deformation poro-elasticity, see for instance Ref. [33] for the consolidation of soft porous materials. This complex configuration may prevent from a simple comparison between theory and experiments in order to confirm that such a liquid-solid transition impacts the generalized Stokes-Einstein relation (from Eq. (16) to Eq. (18)).

Our experimental configuration, the drying of a confined gel, leads to similar conclusions obtained by Style et al. and more exactly Eq. (18), but within the framework of linear poro-elasticity [49] (see also for instance Ref. [50] for the drying of films). Indeed, we demonstrate in Appendix A using linear poro-elasticity modeling, that mass transport is also described by Eq. (7) as above, but with a collective diffusion coefficient given by Eq. (18) as shown by Style et al.

The framework of linear poro-elasticity applies in our geometry as the concentration gradients over the drop are small, see Appendix A for a demonstration.

We plan in a near future to use again the methodology developed in the present work to measure collective diffusion coefficients over a wider range of concentration (from \( \phi \rightarrow 0 \) to the consolidation) for colloidal dispersions with a controlled ionic content. The combined measurements of the equation of state \( \Pi(\phi) \) and of \( D(\phi) \) may yield to the direct measurements of the permeability \( k(\phi) \) using the generalized Stokes-Einstein relation Eq. (16) in the liquid state. The control of the ionic content would also enable us to model both \( \Pi(\phi) \) and \( D(\phi) \) knowing the surface charge density of the particles, see e.g. the cell model recently used in the context of ultra-filtration of charged dispersions [29]. More importantly, we also plan to perform systematic measurements of the collective diffusion coefficient using scattering techniques for such dispersions. The comparison of these values to the possible measurements using the above methodology would indeed enable us to directly test whether the confinement in such drying experiments impacts or not the generalized Stokes-Einstein relation as suggested above, and demonstrated earlier by Style et al. [32].

Appendix A: Linear poro-elasticity modeling of the drying of a confined gel

The solvent transport for a poro-elastic solid is classically described by the conservation equations Eqs (3-4) as above, and the volume average velocity \( v \) still obeys \( \nabla \cdot v = 0 \), and thus \( v = 0 \) in our geometry. The relative flux solvent/colloids is given by the Darcy law Eq. (17) with \( p \) the pore pressure. The latter is related to a constitutive relation between the effective stress of the gel, and its deformations [49].

Let us consider the drop in the gel phase at \( t = t_i \). The mean concentration within the drop is given by \( < \phi > = \phi_0 / \alpha(t_i) \). The deformations of the elastic network in this confined geometry, are described by the tensor

\[ \epsilon = \begin{bmatrix} \partial_r u_r & 0 & 0 \\ 0 & u_r/r & 0 \\ 0 & 0 & 0 \end{bmatrix}, \]

(A2)

where \( u_r \) is the radial displacement field. This is consistent with the observations of the trajectories of fluorescent tracers embedded within the gel, see Fig. 6. We also assume above that the gel recedes freely on the cell surfaces as observed experimentally.

Assuming small deformations from \( t = t_i \) up to time \( t \), and for small concentration gradients along the drop, one can safely assume that the effective stress \( \sigma' = \sigma + p \) follows a simple linear poro-elastic, isotropic stress-strain relationship:

\[ \sigma' = \Lambda \xi + (\mathscr{M} - \Lambda) \varepsilon \]

with \( \mathscr{M} \) and \( \Lambda \) the oedometric modulus and Lamé’s first parameter (we use here the same notation as in Ref. [33]). Mechanical equilibrium \( \nabla (\sigma' - p) = 0 \) writes in this cylindrical geometry

\[ \partial_r (r \sigma'_{rr} - \sigma'_{\theta \theta}) = r \partial_r p, \]

(A3)

and leads finally to

\[ \mathscr{M} \partial_r \xi = \partial_r p. \]

(A4)

We assume above that \( \mathscr{M} \), \( \Lambda \) are almost homogeneous over the drop and take the values \( \mathscr{M} (< \phi >) \) and \( \Lambda (< \phi >) \) during the small deformation. These assumptions rely on the fact that concentration gradients are small over the drop (as observed experimentally), and on the fact that \( \mathscr{M} (\phi) \) and \( \Lambda(\phi) \) do not display abrupt variations with the volume fraction.

With such assumptions, the colloid volume fraction is related to \( \xi = \text{tr}(\varepsilon) \) through

\[ \partial_r \phi(r,t) = - \phi(r,t) \partial_r \xi, \]

(A5)

and the transport equation Eq. (3) takes finally the following form:

\[ \partial_t \phi = - \frac{1}{r} \partial_r \left( \frac{k(\phi) \mathscr{M}(\phi)}{\eta_w} \partial_r \phi \right). \]

(A6)

Boundary condition at \( r = R \) still follows Eq. (8), and the collective diffusion coefficient within the gel is thus:

\[ D(\phi) = \frac{k(\phi) \mathscr{M}(\phi)}{\eta_w}, \]

(A7)

also known as the consolidation coefficient [49]. The oedometric modulus \( \mathscr{M} \) is related to the osmotic compressibility (as measured classically using osmostic shock techniques for instance), and the above relation finally writes as Eq. (18), see also Ref. [32] for more details.
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