Measurement of $y_{CP}$ in $D^0$ meson decays to the $K^0_S K^+ K^-$ final state

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Abstract

We present a measurement of the $D^0$-$\bar{D}^0$ mixing parameter $y_{CP}$ using a flavor-untagged sample of $D^0 \to K^0_S K^+ K^-$ decays. The measurement is based on a 673 fb$^{-1}$ data sample recorded with the Belle detector at the KEKB asymmetric-energy $e^+e^-$ collider. Using a method based on measuring the mean decay time for different $K^+K^-$ invariant mass intervals, we find $y_{CP} = (+0.11 \pm 0.61({\text{stat.}}) \pm 0.52({\text{syst.}}))\%$.

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I. INTRODUCTION

Particle-antiparticle mixing has been observed in the neutral kaon, $B_d^0$ and $B_s^0$ meson systems, and evidence for mixing has recently been found for neutral $D$ mesons. The mixing occurs through weak interactions and gives rise to two distinct mass eigenstates: $|D_{1,2}\rangle = p|D^0\rangle \pm q|\overline{D}^0\rangle$, where $p$ and $q$ are complex coefficients satisfying $|p|^2 + |q|^2 = 1$. The time evolution of the flavor eigenstates, $D^0$ and $|\overline{D}^0\rangle$, is governed by the mixing parameters $x = (m_1 - m_2)/\Gamma$ and $y = (\Gamma_1 - \Gamma_2)/2\Gamma$, where $m_{1,2}$ and $\Gamma_{1,2}$ are the masses and widths of the two mass eigenstates $D_{1,2}$, and $\Gamma = (\Gamma_1 + \Gamma_2)/2$. In the Standard Model (SM) the contribution of the box diagram, successfully describing mixing in the $B$- and $K$-meson systems, is strongly suppressed for $D^0$ mesons due both to the smallness of the $V_{ub}$ element of the Cabibbo-Kobayashi-Maskawa matrix [1], and to the Glashow-Iliopoulos-Maiani mechanism [2]. The largest SM predictions for the parameters $x$ and $y$, which include the impact of long distance dynamics, are of order 1% [3]. Observation of large mixing could indicate the contribution of new processes and particles.

Evidence for $D^0$-$|\overline{D}^0\rangle$ mixing has been found in $D^0 \rightarrow K^+K^-/\pi^+\pi^-$ [4, 5], $D^0 \rightarrow K^+\pi^-$ [6, 7] and $D^0 \rightarrow K^+\pi^-\pi^0$ [8] decays. Currently the most precise individual measurements of mixing parameters are those from the relative lifetime difference between $D^0$ decays to $CP$ eigenstates and flavor-specific final states, $y_{CP}$, which equals the parameter $y$ in the limit where $CP$ is conserved. Thus far, only $CP$-even final states $K^+K^-$ and $\pi^+\pi^-$ have been used; the resulting world average value [9] for $y_{CP}$ is $(+1.13 \pm 0.27)\%$.

In this paper we present a flavor-untagged measurement of $y_{CP}$ using the $CP$-odd component of $D^0 \rightarrow K_S^0 K^+K^-$ decays [10]. The measurement is performed by comparing mean decay times for different regions of the three-body phase space distribution. As this method does not use a fit to the decay time distribution, it does not require detailed knowledge of the resolution function or the time distribution of backgrounds. The result has similar statistical sensitivity to that obtained by fitting the decay time distribution.

II. METHOD

The time-dependent decay amplitude of an initially produced $D^0$ or $|\overline{D}^0\rangle$ can be expressed in terms of the neutral $D$ meson amplitudes $\langle K_S^0K^+K^-|D^0\rangle = A(s_0, s_+)$ and $\langle K_S^0K^+K^-|\overline{D}^0\rangle = \overline{A}(s_0, s_+)$, where $s_0 = M_{K^+K^-}^2$ [11] and $s_\pm = M_{K_S^0K^\pm}^2$. The explicit expressions are [12, 13]:

$$
\langle K_S^0K^+K^-|D^0(t)\rangle = \frac{1}{2} \left[ A(s_0, s_+) + \frac{q}{p} \overline{A}(s_0, s_+) \right] e_1(t) + \frac{1}{2} \left[ A(s_0, s_+) - \frac{q}{p} \overline{A}(s_0, s_+) \right] e_2(t) \tag{1}
$$

$$
\langle K_S^0K^+K^-|\overline{D}^0(t)\rangle = \frac{1}{2} \left[ \overline{A}(s_0, s_+) + \frac{p}{q} A(s_0, s_+) \right] e_1(t) + \frac{1}{2} \left[ \overline{A}(s_0, s_+) - \frac{p}{q} A(s_0, s_+) \right] e_2(t) \tag{2}
$$

with $e_{1,2}(t) = \exp\{-i(m_{1,2} - i\Gamma_{1,2}/2)t\}$. In the limit of $CP$ conservation ($p/q = 1$), Eqs. (1) and (2) simplify to:

$$
\langle K_S^0K^+K^-|D^0(t)\rangle = A_1(s_0, s_+)e_1(t) + A_2(s_0, s_+)e_2(t) \tag{3}
$$

$$
\langle K_S^0K^+K^-|\overline{D}^0(t)\rangle = A_1(s_0, s_+)e_1(t) - A_2(s_0, s_+)e_2(t) \tag{4}
$$

where $A_1(s_0, s_+) = [A(s_0, s_+) + \overline{A}(s_0, s_+)]/2$ and $A_2(s_0, s_+) = [A(s_0, s_+) - \overline{A}(s_0, s_+)]/2$. In the isobar model the amplitudes $A$ and $\overline{A}$ are written as the sum of intermediate decay
FIG. 1: Projection of time-integrated Dalitz distribution to $s_0$ (solid line), and the $a_1(s_0)$ (dotted line) and $a_2(s_0)$ (dashed line) contributions for the Dalitz model given in [14].

Channel amplitudes (subscript $r$) with the same final state, $A(s_0, s_+)=\sum_r a_r e^{i\phi_r} A_r(s_0, s_+)$ and $\overline{A}(s_0, s_+)=\sum_r \overline{a}_r e^{i\phi_r} \overline{A}_r(s_0, s_+)$, where CP conservation in decay has been assumed in the final step. If $r$ is a CP eigenstate, then $A_r(s_0, s_\pm)=\pm A_r(s_0, s_\mp)$, where the sign $+(-)$ holds for a CP-even(-odd) eigenstate. Hence the amplitude $A_1$ is CP-even, and the amplitude $A_2$ is CP-odd.

Upon squaring Eqs. (3) and (4) we obtain for the time-dependent decay rates of initially produced $D^0$ and $\overline{D}^0$:

$$\frac{dN(s_0, s_+, t)}{dt} \propto |A_1(s_0, s_+)|^2 e^{-\frac{t}{\tau}(1+y)} + |A_2(s_0, s_+)|^2 e^{-\frac{t}{\tau}(1-y)}$$

$$+ 2 \Re[A_1(s_0, s_+)A_2^*(s_0, s_+)] \cos \left(\frac{t}{\tau}\right) e^{-\frac{t}{\tau}}$$

$$+ 2 \Im[A_1(s_0, s_+)A_2^*(s_0, s_+)] \sin \left(\frac{t}{\tau}\right) e^{-\frac{t}{\tau}}$$

(5)

$$\frac{dN(s_0, s_+, t)}{dt} \propto |A_1(s_0, s_+)|^2 e^{-\frac{t}{\tau}(1+y)} + |A_2(s_0, s_+)|^2 e^{-\frac{t}{\tau}(1-y)}$$

$$- 2 \Re[A_1(s_0, s_+)A_2^*(s_0, s_+)] \cos \left(\frac{t}{\tau}\right) e^{-\frac{t}{\tau}}$$

$$- 2 \Im[A_1(s_0, s_+)A_2^*(s_0, s_+)] \sin \left(\frac{t}{\tau}\right) e^{-\frac{t}{\tau}},$$

(6)

where $\tau = 1/\Gamma$ is the $D^0$ lifetime. It can be shown (see Appendix) that in the projection of the Dalitz plot onto $s_0$, the last two terms in Eqs. (5) and (6) vanish. Hence, a projection onto $s_0$ of the time-dependent decay rate for $D^0 \rightarrow K^0_S K^+ K^-$ in the limit of CP conservation depends only on the mixing parameter $y$:

$$\frac{dN(s_0, t)}{dt} \propto a_1(s_0)e^{-\frac{t}{\tau}(1+y)} + a_2(s_0)e^{-\frac{t}{\tau}(1-y)},$$

(7)

where $a_{1,2}(s_0) = \int |A_{1,2}(s_0, s_+)|^2 ds_+.$

Figure 1 shows the time-integrated projection of the decay rate (Eq. 7) together with the $a_1(s_0)$ and $a_2(s_0)$ contributions; the plots are obtained using the Dalitz model of
Ref. [14] and taking \( y = 0 \). The Dalitz model includes five \( CP \)-even intermediate states (\( K_0^0 a_0(980) \), \( K_0^0 f_0(1370) \), \( K_0^0 f_2(1270) \), \( K_0^0 a_0(1450) \), \( K_0^0 f_0(980) \)), one \( CP \)-odd intermediate state (\( K_0^0 \phi(1020) \)) and three flavor-specific intermediate states (\( K^- a_0(980) \), \( K^- a_0(1450) \), \( K^+ a_0(980) \)).

The two terms in Eq. (7) have a different time dependence as well as a different \( s_0 \) dependence (see Fig. 1). In any given \( s_0 \) interval, \( R \), and assuming \( y \ll 1 \), the effective 
\( D^0 \) lifetime is
\[
\tau_R = \tau [1 + (1 - 2f_R) y_{CP}],
\]
(8)
where \( f_R = \int_R a_1(s_0) ds_0 / \int_R (a_1(s_0) + a_2(s_0)) ds_0 \), which represents the effective fraction of the events in the interval \( R \) due to the \( A_1 \) amplitude. In Eq. (8) we introduced the usual notation \( y_{CP} \) for the mixing parameter \( y \) to indicate that we assumed \( CP \) conservation in deriving Eq. (7). The definition of \( y_{CP} \) in Eq. (8) is consistent with that used in the \( D^0 \rightarrow K^+ K^- / \pi^+ \pi^- \) measurement [4].

The mixing parameter \( y_{CP} \) can be determined from the relative difference in the effective lifetimes of the two \( s_0 \) intervals, one around the \( \phi(1020) \) peak (interval ON) and the other in the sideband (interval OFF). Using Eq. (8) and taking into account the fact that \( [1 - (f_{ON} + f_{OFF})] y_{CP} \ll 1 \), we obtain
\[
y_{CP} = \frac{1}{f_{ON} - f_{OFF}} \left( \frac{\tau_{OFF} - \tau_{ON}}{\tau_{OFF} + \tau_{ON}} \right).
\]
(9)

The sizes of the ON and OFF intervals are chosen to minimize the statistical uncertainty on \( y_{CP} \). They are determined using the Dalitz model of \( D^0 \rightarrow K_0^0 K^+ K^- \) decays from Ref. [14]. The optimal intervals are found to be: \( M_{K^+ K^-} \in [1.015, 1.025] \) GeV/\( c^2 \) for the ON interval, and the union of \( M_{K^+ K^-} \in [2m_K, 1.010] \) GeV/\( c^2 \) and \( M_{K^+ K^-} \in [1.033, 1.100] \) GeV/\( c^2 \) for the OFF interval.

### III. MEASUREMENT

This section is organized as follows: in subsection III A we describe how signal decays are reconstructed; in subsection III B we describe how the mean decay time of the signal is extracted in the presence of background; in subsections III C and III D we describe how the background fraction and mean lifetime, respectively, are determined; in subsection III E we describe how \( f_{ON} - f_{OFF} \) is determined; and in subsection III F we give the result for \( y_{CP} \).

#### A. Reconstruction of events

The data were recorded with the Belle detector at the KEKB asymmetric-energy \( e^+ e^- \) collider [15]. The Belle detector consists of a silicon vertex detector (SVD), a 50-layer central drift chamber (CDC), an array of aerogel threshold Cherenkov counters (ACC), a barrel-like arrangement of time-of-flight scintillation counters (TOF), and an electromagnetic calorimeter (ECL) comprised of CsI(Tl) crystals located inside a superconducting solenoid coil that provides a 1.5 T magnetic field. An iron flux-return located outside of the coil is instrumented to detect \( K_0^0 \) mesons and to identify muons (KLM). The detector is described in detail elsewhere [16]. Two inner detector configurations were used. A 2.0 cm beampipe and a 3-layer silicon vertex detector was used for the first sample of 156 fb\(^{-1} \), while a 1.5
cm beampipe, a 4-layer silicon detector and a small-cell inner drift chamber were used to record the remaining 517 fb$^{-1}$ of data [17]. We use an EvtGen- [18] and GEANT-based [19] Monte Carlo (MC) simulated sample, in which the number of reconstructed events is about three times larger than in the data sample, to study the detector response.

The $K^0_S$ candidates are reconstructed in the $\pi^+\pi^-$ final state. We require that the pion candidates form a common vertex with a $\chi^2$ fit probability of at least $10^{-3}$, and that they be displaced from the $e^+e^-$ interaction point (IP) by at least 0.9 mm in the plane perpendicular to the beam axis. We also require that they have an invariant mass $M_{\pi^+\pi^-}$ in the interval [0.468, 0.526] GeV/$c^2$. We reconstruct $D^0$ candidates by combining the $K^0_S$ candidate with two oppositely charged tracks assumed to be kaons. We require charged kaon candidate tracks to satisfy particle identification criteria based upon $dE/dx$ ionization energy loss in the CDC, time-of-flight, and Cherenkov light yield in the ACC [20]. These tracks are required to have at least one SVD hit in both the CDC, time-of-flight, and Cherenkov light yield in the ACC [20]. These tracks are required to have at least one SVD hit in both $r-\phi$ and $z$ coordinates. A $D^0$ momentum greater than 2.55 GeV/$c$ in the $e^+e^-$ CM frame is required to reject $D$ mesons produced in $B$-meson decays and to suppress combinatorial background. Events with a $K^0_SK^+K^-$ invariant mass $(M_{K^0_SK^+K^-})$ in the interval [1.77, 1.96] GeV/$c^2$ are retained for further analysis.

The proper decay time of the $D^0$ candidate is calculated by projecting the vector joining the production and decay vertices, $\vec{L}$, onto the $D^0$ momentum vector $\vec{p}_D$: $t = (m_{D^0}/p_D)\vec{L} \cdot (\vec{p}_D/p_D)$, where $m_{D^0}$ is the nominal $D^0$ mass. Charged and neutral kaon candidates are required to originate from a common vertex for which the $\chi^2$ fit probability is larger than $10^{-3}$. According to simulation studies, if the $D^0$ decay position is determined by fitting the two prompt charged tracks to a common vertex, the decay length and the opening angle of the $K^+$ and $K^-$ (and thus their invariant mass) are strongly correlated. This correlation is avoided by determining the $D^0$ decay length from a fit where only a single charged kaon and the $K^0_S$ are fitted to a common vertex. Both $K^\pm K^0_S$ vertex combinations are required to have a $\chi^2$ probability larger than $10^{-3}$; for the $\vec{L}$ determination, the one with the higher $\chi^2$ fit probability is chosen. The $D^0$ production point is taken to be the intersection of the trajectory of the $D^0$ candidate with the IP region. The average position of the IP is calculated for every ten thousand events from the primary vertex distribution of hadronic events. The size of the IP region is typically 3.5 mm in the direction of the beam, 100 $\mu$m in the horizontal direction, and 5 $\mu$m in the vertical direction. The uncertainty in a $D^0$'s candidate’s proper decay time ($\sigma_t$) is evaluated from the corresponding covariance matrices. We require $\sigma_t < 600$ fs. The maximum of the $\sigma_t$ distribution is at $\sim 230$ fs.

Around $362 \times 10^3$ events pass all selection criteria. The $(M_{\pi^+\pi^-}, M_{K^0_SK^+K^-})$ and $M_{K^+K^-}$ distributions of these events are shown in Fig. (2).

**B. Effective signal lifetime**

We determine the effective lifetime of $D^0 \rightarrow K^0_SK^+K^-$ decays from the distribution of proper decay times as follows. The proper decay time distribution of $D^0$ candidates can be parameterized as:

$$\mathcal{P}(t) = p \frac{1}{\tau} \int e^{-t'/\tau} \cdot R(t-t', t_0) dt' + (1-p)B(t),$$  \hspace{1cm} (10)$$

where the first term represents the measured distribution of signal events with lifetime $\tau$, convolved with a resolution function, $R(t,t_0)$; $t_0$ corresponds to a possible shift of the
resolution function from zero, \( p = \frac{N_s}{N_s + N_b} \) is the fraction of signal events, and the last term, \( B(t) \), describes the distribution of background events. Since the average of the convolution is the sum of the averages of the convolved functions, we can express the lifetime of signal events in region \( R \) (shifted for the resolution function offset) as
\[
\tau_R + t_0^R = \frac{\langle t \rangle_R - (1 - p^R) \langle t \rangle_b^R}{p^R},
\]
where \( \langle t \rangle_R \) and \( \langle t \rangle_b^R \) are the mean proper decay times of all events and background events, respectively. By measuring \( \langle t \rangle_R \) and \( \langle t \rangle_b^R \) for events in ON and OFF intervals of \( M_{K^+K^-} \) we can obtain the two effective lifetimes and \( y_{CP} \) from Eq. (9). Note that the resolution function offset, \( t_0 \), if small (\( t_0 \ll \tau \)) and equal in ON and OFF regions, introduces a negligible bias (\( \approx y_{CP} \cdot t_0/\tau \)) in the measurement, since it cancels in the numerator of Eq. (9). We use the simulated sample to confirm that the resolution function offsets \( t_0^{\text{ON}} \) and \( t_0^{\text{OFF}} \) are equal to within the statistical uncertainty.

The requirement of minimal \( K_S^0 \) candidate flight distance introduces a bias in the reconstructed mean proper decay time of signal \( D^0 \to K_S^0 K^+K^- \) decays: events where both \( D^0 \) and \( K_S^0 \) candidates are short-lived are rejected by this requirement. This introduces an \( +0.5\% \) bias in the mean of the measured proper decay times for \( D^0 \to K_S^0 K^+K^- \); the effect on the \( y_{CP} \) parameter is smaller and is included in the systematic error.

C. Signal and background fractions

Signal and background fractions are determined from a fit to the distribution of events in the \( (M_{\pi^+\pi^-}, M_{K_S^0 K^+K^-}) \) plane. In order to model the correlation between invariant masses \( M_{K_S^0 K^+K^-} \) and \( M_{\pi^+\pi^-} \) of signal events (see Fig. 2), we parameterize the signal shape by a rotated triple two-dimensional Gaussian distribution. The individual Gaussians are required

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**FIG. 2:** (Left) \( (M_{\pi^+\pi^-}, M_{K_S^0 K^+K^-}) \) distribution of selected events. (Right) \( M_{K^+K^-} \) distribution of events in the \( |M_{K_S^0 K^+K^-} - m_{D^0}| < 10 \text{ MeV/}\text{c}^2 \) and \( |M_{\pi^+\pi^-} - m_{K_S^0}| < 10 \text{ MeV/}\text{c}^2 \) region (unfilled histogram), and \( 20 < |M_{K_S^0 K^+K^-} - m_{D^0}| < 30 \text{ MeV/}\text{c}^2 \) and \( |M_{\pi^+\pi^-} - m_{K_S^0}| < 10 \text{ MeV/}\text{c}^2 \) region (filled histogram). Dashed (Bottom) vertical lines indicate the boundaries of ON (OFF) intervals.
FIG. 3: Invariant masses $M_{K^0_SK^+K^-}$ (a, c) and $M_{\pi^+\pi^-}$ (b, d) of events passing all selection criteria for ON and OFF intervals in $M_{K^+K^-}$. Superimposed on the data (points with error bars) are results of the fit (solid line).

to have the same mean value, which is allowed to vary in the fit. The ratio of the Gaussian widths is fixed to the Monte Carlo (MC) simulated value, and only the width of the core Gaussian and the three correlation coefficients are left free.

Background events are classified into three categories according to their distribution in the $(M_{\pi^+\pi^-}, M_{K^0_SK^+K^-})$ plane (see Fig. 2): true $K^0_S$ background, $D^0 \to K^+K^-\pi^+\pi^-$ decays with the pion pair not originating from a $K^0_S$, and remaining background. True $K^0_S$ background events are random combinations of charged kaons with correctly reconstructed $K^0_S$ candidates; the shape in $M_{\pi^+\pi^-}$ is fixed to be the same as signal while in $M_{K^0_SK^+K^-}$ it is parameterized with a second-degree polynomial. The remaining background events are random combinations of charged particles and are parameterized as a polynomial of first degree in $M_{\pi^+\pi^-}$ and second degree in $M_{K^0_SK^+K^-}$. The $D^0 \to K^+K^-\pi^+\pi^-$ decays are peaking in $M_{K^0_SK^+K^-}$, but not in $M_{\pi^+\pi^-}$. According to MC simulation, the contribution of these events is small ($\sim 0.1\%$); thus they are not included in the fit but considered as a systematic uncertainty.

The fractions and shapes are determined in a three-step fit for both ON and OFF regions. First, the fraction of signal events $F_{\text{sig}}$ is obtained from a fit to the one-dimensional projection in $M_{K^0_SK^+K^-}$. In the second step, we fit the projection in $M_{\pi^+\pi^-}$ to find the sum of the fractions of signal and true $K^0_S$ events, $F_{\text{sig}} + F_{\text{true}}$. Finally, we determine the signal shape parameters from a two-dimensional fit in which we use the $F_{\text{sig}}$ and $F_{\text{true}}$ results from the previous steps. The fitting procedure was checked using a high-statistics sample of simulated
signal and background events and found to correctly reproduce the true event fractions.

The results of this procedure are shown in Fig. 3. We find \((72.3 \pm 0.4) \times 10^3\) signal events in the ON region and \((62.3 \pm 0.7) \times 10^3\) events in the OFF region. To achieve the best statistical accuracy on the \(y_{CP}\) measurement, we optimize the size of the signal box. Because the invariant masses \(M_{K^0_SK^+K^-}\) and \(M_{\pi^+\pi^-}\) are correlated for signal events, we define the signal box in the rotated variables:

\[
\xi = \frac{M_{\pi^+\pi^-} - M_{K^0_S}}{\sigma_{K^0_S}},
\]

(12)

\[
\zeta = \frac{\rho}{\sqrt{1 - \rho^2}} \xi - \frac{1}{\sqrt{1 - \rho^2}} \frac{M_{K^0_SK^+K^-} - M_{D^0}}{\sigma_{D^0}},
\]

(13)

where \(M_{K^0_S} = 497.533 \pm 0.005\) MeV/c\(^2\) and \(M_{D^0} = 1864.874 \pm 0.009\) MeV/c\(^2\) are fitted \(K^0_S\) and \(D^0\) masses, \(\sigma_{K^0_S} = 1.880 \pm 0.008\) MeV/c\(^2\) and \(\sigma_{D^0} = 2.839 \pm 0.014\) MeV/c\(^2\) are widths of the core Gaussian function, and \(\rho = 0.571 \pm 0.003\) is the correlation coefficient. The uncertainties are statistical only. The signal region that minimizes the statistical uncertainty on \(y_{CP}\) (signal box) is found to be \(|\xi| < 3.9\) and \(|\zeta| < 2.2\). The two-dimensional distribution of \((\xi, \zeta)\) for the selected data is shown in Fig. 4. The signal fractions in the signal box are \((96.94 \pm 0.06)\%\) and \((90.53 \pm 0.16)\%\) in the ON and OFF intervals, respectively.

The fraction of \(D^0 \rightarrow K^+K^-\pi^+\pi^-\) decays in the signal box is estimated by fitting the \(M_{K^0_SK^+K^-}\) projection for events in the sideband regions \(M_{\pi^+\pi^-} < 0.480\) GeV/c\(^2\) and \(M_{\pi^+\pi^-} > 0.514\) GeV/c\(^2\), where the contributions of signal and true \(K^0_S\) background are small. The fractions of this background extrapolated to the signal box are found to be \((0.02 \pm 0.01)\%\) and \((0.07 \pm 0.02)\%\) in the ON and OFF intervals, respectively, and are reproduced well by MC simulation.
TABLE I: Mean proper decay times of events populating sideband regions A and B in the ($\xi$, $\zeta$) plane, $\langle t \rangle^A$ and $\langle t \rangle^B$, fractions $P^i$ ($i = S$, A, B) and estimated mean proper decay times of background events, $\langle t \rangle_b$, populating the signal box, for events in the ON and OFF intervals in $M_{K^+K^-}$. The uncertainties are statistical only.

| $M_{K^+K^-}$ | $\langle t \rangle^A$ [fs] | $\langle t \rangle^B$ [fs] | $P^S$ [%] | $P^A$ [%] | $P^B$ [%] | $\langle t \rangle_b$ [fs] |
|---------------|-----------------|-----------------|--------|--------|--------|-----------------|
| ON           | 223 ± 14        | 63.6 ± 4.7      | 93.31 ± 0.41 | 7.2 ± 1.8 | 91.83 ± 0.23 | 60.8 ± 4.8       |
| OFF          | 237.7 ± 7.4     | 140.3 ± 3.1     | 90.17 ± 0.32 | 5.1 ± 1.2 | 88.02 ± 0.17 | 137.8 ± 3.2      |

D. Mean proper decay time of background events

The mean proper decay time of background inside the signal box, $\langle t \rangle_b$, is determined from sideband regions A and B in the ($\xi$, $\zeta$) plane as shown in Fig. 4. The regions are chosen larger than the signal box to minimize the uncertainty on $\langle t \rangle_b$. To an excellent approximation, the mean proper decay times in sideband regions A and B ($\langle t \rangle^A$ and $\langle t \rangle^B$) can be expressed as

$$\langle t \rangle^A = p_{tKs}^A \langle t \rangle_{tKs} + p_{\text{rest}}^A \langle t \rangle_{\text{rest}},$$

$$\langle t \rangle^B = p_{tKs}^B \langle t \rangle_{tKs} + p_{\text{rest}}^B \langle t \rangle_{\text{rest}},$$

where $p_{tKs}^{A(B)}$ and $p_{\text{rest}}^{A(B)}$ are the fractions of true $K_S^0$ and the remaining background in region A (B). Similarly, the mean proper decay time of background in the signal box S can be expressed as

$$\langle t \rangle_b = p_{tKs}^S \langle t \rangle_{tKs} + p_{\text{rest}}^S \langle t \rangle_{\text{rest}}$$

By solving Eqs. (14) and (15) for $\langle t \rangle_{tKs}$ and $\langle t \rangle_{\text{rest}}$, and inserting the results into Eq. (16), we obtain

$$\langle t \rangle_b = \frac{P^S (\langle t \rangle^A - \langle t \rangle^B) + P^A \langle t \rangle^B - P^B \langle t \rangle^A}{P^A - P^B},$$

where $P^i = p_{tKs}^i / (p_{tKs}^i + p_{\text{rest}}^i)$, $i = A, B, S$. The fractions $p_{tKs}^i$ and $p_{\text{rest}}^i$, $i = A, B, S$ are calculated from the results of the two-dimensional fit discussed in the previous section. In Table I we list the quantities used in Eq. (17) and the resulting $\langle t \rangle_b$ for regions ON and OFF.

In deriving Eq. (17), we have assumed that in regions A, B, and S the mean proper decay times $\langle t \rangle_{tKs}$ and $\langle t \rangle_{\text{rest}}$ are equal. This assumption has been validated using MC simulation. We have also neglected the signal leakage into regions A and B; if we compare, using MC simulation, the mean proper decay time of background events found in the signal box with that calculated from Eq. (17); we find agreement well within one standard deviation. The small deviations due to these assumptions are included in the systematic uncertainty.

E. Fit to the $s_0$ distribution

The $A_1$ fractions, $f_{ON}$ and $f_{OFF}$, are obtained from a fit to the $s_0$ distribution. We use two different Dalitz models of $D^0 \rightarrow K_S^0K^+K^-$ decays to parameterize the distribution: a four-resonance model from Ref. [21], and an eight-resonance model from Ref. [14]. The main
TABLE II: Fraction difference $f_{\text{ON}} - f_{\text{OFF}}$ for the two Dalitz models. The nominal values are calculated from the data in Refs. [14, 21], and the fitted values from our fit results.

| Model   | $f_{\text{ON}} - f_{\text{OFF}}$ Nominal | $f_{\text{ON}} - f_{\text{OFF}}$ Fitted |
|---------|------------------------------------------|----------------------------------------|
| 4 res. [21] | $-0.730 \pm 0.031$ | $-0.732 \pm 0.002$ |
| 8 res. [14] | $-0.753 \pm 0.004$ | $-0.769 \pm 0.005$ |

sensitivity to $y_{\text{CP}}$ arises from $K_S^0 \phi(1020)$ and $K_S^0 a_0(980)^0$ intermediate states, since the two have opposite $CP$ eigenvalues. Because all resonance parameters cannot be determined from a one-dimensional fit, we fix the parameters of the resonances with smaller fit fractions using the amplitudes and phases from the corresponding model and world averages for masses and widths; we vary only the amplitudes of $K_S^0 \phi(1020)$ and $K^- a_0(980)^+$ (four-resonance model) or the amplitudes of $K_S^0 \phi(1020)$ and $K^- a_0(1450)^+$ (eight-resonance model), mass and width of the $\phi(1020)$, and the coupling constant $g_{KK}$ of the Flatte parameterization of the $a_0(980)^0$.

The signal distribution is parameterized as

$$F_s(s_0) = \varepsilon(s_0) \int \varepsilon(s_+)|A_1(s_0, s_+)|^2 + A_2(s_0, s_+)|^2 ds_+,$$

(18)

where $\varepsilon$ is the reconstruction efficiency determined from a sample of MC events in which the decay mode was generated according to phase space; the efficiency is found to be factorizable in the Dalitz variables $s_0$ and $s_+$. The background parameterization is obtained from the sideband region $5 < |\zeta| < 25$, where $|\zeta| < 3.9$ corresponds to the signal region. A $\chi^2$ test of the MC $s_0$ distributions of background events from the signal and sideband regions yields $\chi^2 = 88.9$ for 99 degrees of freedom; thus we conclude that the $s_0$ distribution of events taken from the sideband region satisfactorily describes the background distribution in the signal box.

Figure 5 shows fit results for the eight-resonance model, which we use to determine the fraction difference $f_{\text{ON}} - f_{\text{OFF}}$, since it provides a better description of the $s_0$ distribution. The reduced $\chi^2$ is 1.28 for the eight-resonance model and 1.91 for the four-resonance model for 230 degrees of freedom. In Table II the fraction differences $f_{\text{ON}} - f_{\text{OFF}}$ are given for both Dalitz models. The left column lists the values calculated from the data in Refs. [14, 21], and the right column lists the values calculated from the results of our fit. Uncertainties in $f_{\text{ON}} - f_{\text{OFF}}$ are calculated using the statistical errors of amplitudes and phases, without taking into account any correlation between them. Although the models are different, with distinct resonant structure [22], the differences $f_{\text{ON}} - f_{\text{OFF}}$ calculated for the two models are very similar. The small difference between them is included as a systematic uncertainty.

F. Results

Figure 6 shows the proper decay time distributions of selected events in the signal box S for the ON and OFF intervals. The distribution of background events is estimated from proper decay time distributions of events populating the sideband regions A and B and the known fractions of the true $K_S^0$ background and the remaining background in all three regions. Inserting the values for $\langle t \rangle$, $\langle t \rangle_b$, and $p$ (the fraction of signal) into Eq. (11) yields
FIG. 5: The $s_0$ distribution of $D^0 \to K^0_S K^+ K^-$ decays with superimposed fit results for the 8-resonance Dalitz model given in Ref. [14]. The solid curve is the overall fitted function and the dashed curve represents the background contribution.

TABLE III: Measured mean proper decay times in the signal box $\langle t \rangle$, effective background lifetimes $\langle t \rangle_b$, signal fractions $p$ and the resulting effective signal lifetimes. The uncertainties are statistical only.

| $M_{K^+K^-}$ | $\langle t \rangle$ [fs] | $\langle t \rangle_b$ [fs] | $p$ [%] | $\tau + t_0$ [fs] |
|--------------|-----------------|-----------------|------|----------------|
| ON           | 402.7 ± 2.5     | 60.8 ± 4.8      | 96.94 ± 0.06 | 413.4 ± 2.5 |
| OFF          | 386.7 ± 2.6     | 137.8 ± 3.2     | 90.53 ± 0.16 | 412.7 ± 3.0 |

$\tau_{ON} + t_0^{ON} = (413.4 \pm 2.5)$ fs and $\tau_{OFF} + t_0^{OFF} = (412.7 \pm 3.0)$ fs. These results are summarized in Table III. The measured values for $\tau + t_0$ are close to the world average for $\tau_{D^0}$, and, since $y_{CP} \ll 1$, this implies $t_0/\tau$ is $\sim 1\%$ or less. Since the topology of events in the ON and OFF intervals is almost identical, we assume $t_0^{ON} = t_0^{OFF}$ and include a systematic error to account for possible deviations from this assumption. This leads to a normalized lifetime difference $(\tau_{OFF} - \tau_{ON})/(\tau_{OFF} + \tau_{ON}) = (-0.09 \pm 0.47)\%$ between the two regions,
where the uncertainty is statistical only. The difference in the $A_1$ fraction corresponding to the eight-resonance model (see Table II) is $f_{ON} - f_{OFF} = (-0.769 \pm 0.005)$; therefore, from Eq. (9) we obtain $y_{CP} = (+0.11 \pm 0.61\text{(stat.)})\%$.

IV. SYSTEMATICS

We consider separately systematic uncertainties arising from experimental sources and from the $D^0 \to K^0_S K^+ K^-$ decay model. First, we check the simulated sample to confirm that the resolution function offsets $t_0^{ON}$ and $t_0^{OFF}$ are equal. The small difference observed is consistent with the statistical error but conservatively propagated to $y_{CP}$ and taken as a systematic uncertainty ($\pm 0.38\%$).

The mean proper decay time of background events populating the signal box (calculated from Eq. (17)) assumes a negligible contribution of signal events in sideband regions A and B, and also assumes equal mean proper decay times of the two background categories in all three regions, A, B and S. The systematic uncertainty resulting from the first assumption is evaluated by including the small residual fraction of signal events in regions A and B in the $\langle t \rangle_b$ calculation; the resulting change in $y_{CP}$ is $\pm 0.01\%$. The uncertainty resulting from the second assumption is evaluated by MC simulation; mean proper decay times of the two background categories are found to be consistent within statistical uncertainty in all three regions. Small differences between the mean proper decay times of the two background categories in the S, A and B regions result in $\pm 0.09\%$ and $0.04\%$ variations of $y_{CP}$ for true $K^0_S$ and remaining background, respectively. We add in quadrature the above three contributions to obtain a $\pm 0.10\%$ systematic error on $y_{CP}$.

The contribution of $D^0 \to K^+ K^- \pi^+ \pi^-$ decays in our sample is found to be small and thus is not included. We evaluate their effect on $y_{CP}$ by taking the fraction of these events in the ON and OFF intervals from data, and their mean proper decay time from the simulated sample. The resulting change in $y_{CP}$ is $\pm 0.07\%$. We include this change in the systematic uncertainty.
We study the choice of sideband regions used to determine $\langle t \rangle_b$ as follows. The sidebands A and B are divided into four subregions (denoted I-IV) as shown in Fig. 4. The mean proper decay time of background events is then calculated using events in subregions (I,III) or (II,IV), and a difference of 0.05% in $y_{CP}$ is observed. This change is included as a systematic uncertainty.

Possible systematic effects of selection criteria are studied by varying the signal box size and the selection criteria for $\sigma_{t}$ and the $K_0^0$ flight distance. Although no statistically significant deviation is observed, the maximum difference in $y_{CP}$ is (conservatively) assigned as a systematic uncertainty ($\pm 0.30\%$).

The fitting procedure is tested using the simulated sample. A small difference between the fitted and true fractions of signal events in the signal box is propagated to $y_{CP}$ and included as a systematic uncertainty ($\pm 0.10\%$).

The mean proper decay times of events populating the signal box S and the sideband regions A and B are taken to be the means of histograms of the proper decay times for events populating these regions. Changing the binning and intervals used in these histograms over a wide range results in a change in $y_{CP}$ of $\pm 0.07\%$; we include this as an additional systematic uncertainty.

Finally, we estimate the systematic uncertainty due to our choice of $D^0 \to K_0^0 K^+ K^-$ decay model. First, we compare the fraction difference $f_{ON} - f_{OFF}$ obtained using the four- and eight-resonance Dalitz models. Despite the difference between the models in their resonant substructure [22], the values for $f_{ON} - f_{OFF}$ are similar (see Table II). We assign a 3% relative error to $y_{CP}$ due to the small difference in the above fractions. An additional 2% relative error is assigned due to the small difference between the fitted and nominal values of $f_{ON} - f_{OFF}$. If the reconstruction efficiency $\varepsilon(s_\pm)$ were constant, the contribution of the real and imaginary parts of the interference term $A_1 A_2^*$ in Eq. (5) would vanish after integrating over $s_\pm$. A slight decrease of $\varepsilon(s_\pm)$ near the kinematic boundaries is observed from a large sample of simulated events; the effect of this variation on $y_{CP}$ is studied and found to be negligible.

Adding all decay-model systematic uncertainties in quadrature with the statistical uncertainty in $f_{ON} - f_{OFF}$ ($= -0.769 \pm 0.005$, see Table II) yields a total uncertainty due to the decay model of 0.01%. Combining this in quadrature with all other sources of systematic uncertainty gives a total systematic error on $y_{CP}$ of 0.52%. The individual contributions to the total systematic error are listed in Table IV.

V. SUMMARY

We present the first measurement of $y_{CP}$ using a $CP$-odd final state in $D^0$ decays. Our method has the advantage of not requiring precise knowledge of the decay-time resolution function, and avoids several biases that can arise due to detector effects. The value of $y_{CP}$ obtained is

$$y_{CP} = (+0.11 \pm 0.61({\rm stat.}) \pm 0.52({\rm syst.}))\%.$$ 

This measurement of $y_{CP}$ using a $CP$-odd mode is consistent with previous measurements using $CP$-even final states [4, 5], and with the world average value $y_{CP} = (+1.13 \pm 0.27)\%$ [9].

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TABLE IV: Sources of the systematic uncertainty on $y_{CP}$.

| Source                                      | Systematic error (%) |
|---------------------------------------------|----------------------|
| Resolution function offset difference $t_0^{OFF} - t_0^{ON}$ | ±0.38                |
| Estimation of $(t)_b$                       | ±0.10                |
| $D^0 \to K^+K^-\pi^+\pi^-$ background      | ±0.07                |
| Selection of sideband                      | ±0.05                |
| Variation of selection criteria            | ±0.30                |
| Fitting procedure                          | ±0.10                |
| Proper decay time range and binning         | ±0.07                |
| Dalitz model                               | ±0.01                |
| Total                                       | ±0.52                |

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APPENDIX: INTEGRATION OF $A_1,A_2^*$ OVER ONE DALITZ VARIABLE

The amplitude $\mathcal{A}$ ($\overline{\mathcal{A}}$) for a $D^0$ ($\overline{D^0}$) decay to a three-body final state, $h^+h^-m_0$, depends on invariant masses of all possible pairs of final state particles: $s_0 = M^2_{h^+h^-}$, $s_+ = M^2_{h^+m_0}$ and $s_- = M^2_{h^-m_0}$. Only two of these three are independent, since energy and momentum conservation results in a constraint

$$s_0 + s_+ + s_- = m^2_{D^0} + m^2_{h^+} + m^2_{h^-} + m^2_{m_0} \equiv m^2. \quad (A.1)$$

In the limit of $CP$ symmetry the following relation holds:

$$\overline{\mathcal{A}}(s_0, s_+) = \mathcal{A}(s_0, s_-) = \mathcal{A}(s_0, m^2 - s_+ - s_-), \quad (A.2)$$

and amplitudes $A_{1,2}$ (defined in section II) are then

$$A_1(s_0, s_+) = \frac{1}{2} \left[ \mathcal{A}(s_0, s_+) + \mathcal{A}(s_0, m^2 - s_+ - s_-) \right], \quad (A.3)$$
\[ \mathcal{A}_2(s_0, s_+^0) = \frac{1}{2} \left[ \mathcal{A}(s_0, s_+) - \mathcal{A}(s_0, m^2 - s_+ - s_0) \right]. \] (A.4)

We now show that
\[ \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}_1(s_0, s_+) \mathcal{A}_2^*(s_0, s_+) ds_+ = 0, \] (A.5)
where \( s_+^{\text{min}}(s_0) \) and \( s_+^{\text{max}}(s_0) \) are lower and upper bounds of Dalitz variable \( s_+ \). For a given value of \( s_0 \), the range of \( s_+ \) is determined by its values when the momentum of \( h^+ \) is parallel or antiparallel to the momentum of \( m^0 \):
\[ s_+^{\text{max}}(s_0) = \left( E_{h^+}^* + E_{m^0}^* \right)^2 - \left( \sqrt{E_{h^+}^* - m_{h^+}^2 - \sqrt{E_{m^0}^* - m_{m^0}^2}} \right)^2, \] (A.6)
\[ s_+^{\text{min}}(s_0) = \left( E_{h^+}^* + E_{m^0}^* \right)^2 - \left( \sqrt{E_{h^+}^* - m_{h^+}^2 + \sqrt{E_{m^0}^* - m_{m^0}^2}} \right)^2, \] (A.7)
where
\[ E_{h^+}^* = \frac{s_0 + m_{h^+}^2 - m_{h^-}^2}{2\sqrt{s_0}}, \] (A.8)
\[ E_{m^0}^* = \frac{m_{m^0}^2 - s_0 + m_{m^0}^2}{2\sqrt{s_0}} \] (A.9)
are the energies of \( h^+ \) and \( m^0 \) in the \( h^+h^- \) rest frame. The left-hand side of Eq. (A.5) yields
\[ I \equiv \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}_1(s_0, s_+) \mathcal{A}_2^*(s_0, s_+) ds_+ \]
\[ = \frac{1}{4} (I_a - I_b + I_c - I_d), \] (A.10)
where
\[ I_a = \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}(s_0, s_+) \mathcal{A}^*(s_0, s_+) ds_+, \] (A.11)
\[ I_b = \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}(s_0, s_+) \mathcal{A}^*(s_0, m^2 - s_+ - s_0) ds_+, \] (A.12)
\[ I_c = \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}(s_0, m^2 - s_+ - s_0) \mathcal{A}^*(s_0, s_+) ds_+, \] (A.13)
\[ I_d = \int_{s_+^{\text{min}}(s_0)}^{s_+^{\text{max}}(s_0)} \mathcal{A}(s_0, m^2 - s_+ - s_0) \mathcal{A}^*(s_0, m^2 - s_+ - s_0) ds_+. \] (A.14)
In integrals \( I_c \) and \( I_d \) we perform a variable substitution \( s_+ \rightarrow s_- \) (Eq. A.1):
\[ ds_+ = -ds_-, \] (A.15a)
\[ s^\text{max}_+(s_0) \rightarrow m^+_h + s^\text{min}_+(s_0), \quad (A.15b) \]
\[ s^\text{min}_+(s_0) \rightarrow m^-_h + s^\text{max}_+(s_0), \quad (A.15c) \]

and obtain
\[ I_c = \int_{s^\text{min}_+(s_0)}^{s^\text{max}_+(s_0)} A(s_0, s_\pm) A^*(s_0, m^2 - s_\pm - s_0) ds_\pm = I_b, \quad (A.16) \]
\[ I_d = \int_{s^\text{min}_+(s_0)}^{s^\text{max}_+(s_0)} A(s_0, s_\pm) A^*(s_0, s_\pm) ds_\pm = I_a. \quad (A.17) \]

The right-hand side of Eq. (A.10) therefore yields zero.

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