Systematic study on the relationship between particulate matter and microbial counts in hospital operating rooms

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Abstract
In this study, a systematic procedure for establishing the relationship between particulate matter (PM) and microbial counts in four operating rooms (ORs) was developed. The ORs are located in a private hospital on the western coast of Peninsular Malaysia. The objective of developing the systematic procedure is to ensure that the correlation between the PMs and microbial counts are valid. Each of the procedures is conducted based on the ISO, IEST, and NEBB standards. The procedures involved verifying the operating parameters are air change rate, room differential pressure, relative humidity, and air temperature. Upon verifying that the OR parameters are in the recommended operating range, the measurements of the PMs and sampling of the microbes were conducted. The TSI 9510-02 particle counter was used to measure three different sizes of PMs: PM 0.5, PM 5, and PM 10. The MAS-100ECO air sampler was used to quantify the microbial counts. The present study confirms that PM 0.5 does not have an apparent positive correlation with the microbial count. However, the evident correlation of 7% and 15% were identified for both PM 5 and PM 10, respectively. Therefore, it is suggested that frequent monitoring of both PM 5 and PM 10 should be practised in an OR before each surgical procedure. This correlation approach could provide an instantaneous estimation of the microbial counts present in the OR.

Keywords Operating room · Particulate matter · Microbial count · Air change rate · Differential pressure · Onsite sampling

Introduction
The airborne PMs and microbial contamination in operating rooms (ORs) are highly related to human activities such as medical staffs’ posture, exchanging surgical tools, door movements, and supplying equipment to the scrubbed team during surgery (Kamar et al. 2020; Lin et al. 2020). For example, the 45° bending posture of medical staff could interrupt the ceiling-mounted airflow, which increases the microbial contamination at the surgical zone 10 fold compared to an upright postured colleague (Chow and Wang 2012). Furthermore, opening doors could result in the loss of positive room pressure and subsequently cause the airborne contaminations from adjacent rooms or corridors to penetrate the OR (Mckenna et al. 2019; Sadrizadeh et al. 2018). A recent study reported that the complexity of surgery is closely related to the presence of airborne contaminants due to the time-consuming nature of surgical procedures that involve more medical staff (Karlatti and Havannavar 2016). Thus, a patient that undergoes complex surgery is prone to contracting a surgical site infection (SSI). This is due to the higher probability of...
microbial contamination of the patient’s wound due to prolonged exposure to the surrounding environment (Dexter et al. 2019; Karlatti and Havannavar 2016). In a clean and controlled environment, it is estimated that nearly 3 to 5% of patients who have undergone surgery developed SSIs (Singh et al. 2014). The infection rates are expectedly higher in low- and middle-income countries that utilise unhygienic OR environment (Allegranzi et al. 2016; McDonald and Bott 2020). Recent statistics show that the total SSI rates for different surgeries are markedly high at 36 % in France (Penel et al. 2008), 33 % in Vietnam (Viet Hung et al. 2016), 28 % in Japan (Morikane 2016), and 12 % in Malaysia (Wong and Holloway n.d.). One of the statistics provided by Morikane et al. (2016) shows that the SSI for rectal surgery in Japan is already marked as high as 17.8 %, while in England, the SSI for large bowel surgery, small bowel surgery, and pancreatic surgery are recorded as 8.3 %, 4.9 %, and 4.9 %, respectively (Elgohari et al. 2013). Sohn et al. (2006) also claimed that Vietnam’s SSI for both orthopaedic surgery and neurosurgical are 15.2 % and 8.3 %, respectively.

SSI defined as any infection that follows an operative procedure and occurs at or near the surgical incision site within 30 days of the procedure (Karlatti and Havannavar 2016). So far, SSIs remain a prominent cause of hospital-acquired infection and are responsible for increased mortality, morbidity, risk of readmission, hospital length of stay, and considerable costs (Elmously et al. 2019; McDonald and Bott 2020). Tanner et al. (2009) reported that in the UK, SSIs result in additional costs of £10,523 per patient to the National Health Service. In the USA, SSI has increased hospitalisation costs by US$ 3,000 to US$ 29,000 per case depending on the type of surgical procedure performed (Magill et al. 2012). The case study presented by Chow and Wang (2012) indicates that the medical care expenses for a patient with a prosthetic joint SSI reached US$ 100,000. In European hospitals, patients who develop SSI incur treatment costs of about 2 folds higher than patients who do not develop SSI (Badia et al. 2017). Developing an SSI significantly affects the physical and mental health of patients along with a negative impact on their health-related quality of life (HRQoL) (Pinkney et al. 2013).

In an OR, SSI is mainly caused by either pathogenic bacteria or fungi microorganisms (Albukhari et al. 2019). The most common pathogenic bacteria that cause SSI is Staphylococcus aureus (Sadeghian et al. 2020), which predominantly originates from the respiration and shredded skins of surgical staff (Sadrizadeh et al. 2018). Besides Staphylococcus aureus, other pathogenic bacteria such as Pseudomonas aeruginosa, methicillin-resistant Staphylococcus aureus (MRSA), and Enterococcus faecalis are generally present in ORs. The rise in SSIs is attributed to the growing microbial resistance of MRSA, vancomycin-resistant enterococci (VRE), third-generation cephalosporin-resistant Escherichia coli, and imipenem- and quinolone-resistant Pseudomonas aeruginosa through adaptation and evolution (Darouiche 2016). Although pathogenic bacteria are responsible for the majority of SSI, the fungi which are ubiquitous in distribution may also give rise to SSI (Khan and Karuppayil 2012). Cases of fungal contamination that lead to SSI have become increasingly apparent in immunocompromised patients. These incidents have risen in frequency over the last decades. The opportunistic fungi that cause SSI are Candida albicans (Berechet et al. 2019), Cryptococcus, Rhizopus, Scedosporium, Histoplasma, Phycomyces, and Mucor (Kaya and Yucel 2007). However, these infections remain less frequent than those related to Aspergillus species due to their virulence characteristics and resistance mechanisms to the drug (Figueroa-Tejerina et al. 2020). Although these opportunistic fungal infections are related to the weakened immune system of patients, there is a need to examine this matter since these infections can be serious and, in some cases, fatal. The presence of these opportunistic fungi, which indicates fungal contamination, will deteriorate the hygiene condition of an OR. Hence, the medical staff need to be aware of SSI caused by microbial contamination and implement infection control measures to reduce their occurrence. The onsite sampling of microbes is an effective way to evaluate and monitor the hygiene status of operating rooms.

The opportunistic microbes increase the risk of infection once the pathogens settle on the patient’s wound. The physical size of each microbe typically ranges from 7 (PM 7) to 12 μm (PM 12) (Madsen et al. 2018). Recent studies indicate that each person with moderate physical activity releases 10⁴ skin scales per minute, 10% of which have microbial contamination on a patient (Moores et al. 2019; Wendlandt et al. 2020). Studies involving the reduction of PMs or microbial contamination using various approaches have been conducted extensively. From the ventilation engineering approach, Sadeghian et al. (2020) suggested that a replacement of the conventional surgical lamp with a fan-mounted surgical lamp reduces the contamination level at the surgical site by 77 to 80%. Lin et al. (2020) claimed that the combination of both ceiling-mounted air diffusers and ceiling-mounted exhaust reduces the airborne PMs at the surgical site. McDonald and Bott (2020) reported that the routine use of sterile drapes and surgical gowns for all procedures in the OR could eliminate bacterial contamination from the surrounding environment. The utilisation of body exhaust suits and sterile surgical helmets systems (SSHSs) effectively reduces the airborne contamination on a patient (Moores et al. 2019; Wendlandt et al. 2016; Young et al. 2016). Furthermore, the supply of
clean air to the surgical site through a portable ultra-clean airflow unit could reduce the airborne contamination surrounding the patient (Casagrande and Piller 2020).

To better control the incidence of SSIs, hospital authorities have adopted sterilisation and disinfection practices before commencing surgical procedures. The purpose is to eliminate any microbes present on various surfaces such as the operating table, trolley tops, surgical lamps, and door handles, among others. In practice, an Environmental Protection Agency (EPA)-approved disinfectant is typically used to disinfect all surfaces (Mathur 2019). Furthermore, blood and other bodily fluid spills are promptly scrubbed and sterilised using sodium hypochlorite solution. However, for the decontamination of small spills (<10 ml) and more significant spills (>10 ml), the dilution ratios of 1:100 or 1:10 of 5.25 to 6.15% sodium hypochlorite are required, respectively (Mathur 2019). In some hospitals, periodic sampling is conducted to trace or monitor the presence of microbial contamination in an OR. Typically, very low or zero microbial levels could prevent a patient from contracting an SSI. However, this practice could interrupt the movement of medical staff during the surgical procedure, particularly when the sampling result is not available instantly.

Vast studies focus on the measurement of airborne particles to represent the sampling of microbial contamination. Mirhoseini et al. (2015) recommended that the measurement of airborne particles is a good approach for continuously monitoring the air quality in both ORs and ICUs. This submission is based on the significant correlation that was observed between microbial contamination and PM 1 to PM 5 (Mirhoseini et al. 2015). To date, the relationship between the PMs and microbes in an OR has scarcely been examined in the literature. Correlation studies between airborne PMs and microbes have been extensively performed in the ambient air of a residential site. However, there was no obvious correlation identified between the microbes and PM 1, PM 2.5, and PM 10 (Raisi et al. 2010). Cristina et al. (2012) conducted a correlation study on the mixing airflows of two PM sizes: PM 0.5 and PM 5 in ORs. The authors observed that the relationship between the microbes and both PM 0.5 and PM 5 is insignificant, whereas larger-sized PM samples such as PM 10 and PM 20 could provide a closer relationship with microbes. The authors further proposed that future investigations should be performed in ORs equipped with unidirectional airflow ventilation systems. The reason is that the unidirectional airflow ventilations widely utilised in modern ORs are more efficient in removing the airborne PMs from surgical sites compared to the mixing airflow ventilation system.

Systematic procedures such as verifying the relative humidity (RH), room differential pressure, air temperature, and air change rate (ACH) function as recommended by the policies and procedures on infection control by the Ministry of Health (MoH) Standard (2010), International Organization of Standardization (ISO) 14644-1 Standard (1999), IEST Standard (1997), and Standard NEBB (2009) are extremely important. The failure to achieve the recommended operating conditions in an OR could affect the validity and reliability of the correlation assessment between PMs and microbial counts. An effective strategy in reducing SSIs is through the proper implementation and practice of policies and procedures on infection control by healthcare providers. A better understanding of OR heating, ventilating, and air conditioning (HVAC) system and proper disinfection procedures could help to maintain the air quality conditions in an OR and simultaneously minimise the occurrence of SSIs (Spagnolo et al. 2013). ISO 14644-1, IEST, and NEBB guidelines provide the standard procedure for verifying the operating parameters of cleanrooms. The verification procedures include the measurement of room pressurisation, airflow velocity and volume test, airborne particle count, temperature, and RH in an OR. To the best of the authors’ knowledge, none of the reviewed literature has proposed, adopted, or employed any systematic procedure in carrying out PM-microbial count correlation studies. Hence, there is an urgent need to re-evaluate the relationship(s) between the PMs and microbial counts. The objective of this study is to develop a systematic procedure for assessing the relationship between PMs and microbial counts. The measurements of the PMs and sampling of the microbes were conducted in four (4) ORs that utilise unidirectional airflow ventilation systems.

**Methods**

**Details of the operating rooms**

In the present study, four ORs were selected at a private hospital located on the western coast of Peninsular Malaysia (latitude: 2.7258° N and longitude: 101.9424° E). The four ORs are classified as ISO Class 7 cleanrooms designated for performing general and orthopaedic surgeries. Currently, cardiothoracic surgeries (i.e. coronary artery bypass grafting, congenital heart surgery, heart transplant, and myotomy), which require strict room cleanliness, are performed in the selected ORs. Each OR, with volume $V = 103 \text{ m}^3$, is furnished with an identical ventilation system. Each OR has six air supply diffusers that provide clean air. The diffusers are mounted on the ceiling, and the fresh air is supplied in a uniform and vertically downward direction. The arrays of the diffusers are arranged in the barrier form, which extends by a minimum of 305 mm beyond the footprint on each side of the operating table.

Each diffuser is fitted with type E high-efficiency particulate air (HEPA) filters that are capable of trapping 99.97 % of particles with a diameter larger than 0.3 μm. The use of the HEPA filters at the air supply diffuser managed to prevent
invasive pulmonary aspergillosis in immune-compromised patients (Oren et al. 2001). The contaminated air is then evacuated through four grilles mounted on the sidewalls at the corners of the ORs. The exhaust grilles are placed at a height of 0.4 m above the floor level. To the best of the authors’ knowledge, almost all private hospital ORs in Malaysia employ low-level exhaust grilles. The purpose is to ensure that the larger and heavier PMs are easily removed from the OR. Each of the air supply diffusers and exhaust grilles has a dimension of 1.2 m × 0.6 m (width × length) and 0.22 m × 0.46 m (width × height), respectively.

**Onsite measurements and sampling guidelines**

This study proposes the use of systematic procedures for conducting onsite measurement and sampling works. All the onsite measurements and samplings procedures were performed following the ISO 1444-3 (Standard 2005), IEST-RP-CC006.2 (Standard 1997), and NEBB Procedural Standards for Certified Testing of Cleanroom (Standard 2009). The ORs were purged at least 12 h before the onsite measurements and samplings were conducted. All the data were logged under the at-rest condition in compliance with the ISO 14644-1 (Standard 1999) guidelines. According to these procedures, a cleanroom is considered at rest when it is fully furnished and in the original condition with no personnel present. No surgical procedure was performed inside the ORs for at least 12 h before the samplings were performed. To achieve a steady-state operating condition, the ventilation system was switched on 24 h before samplings. The personnel in charge were required to wear a cleanroom garment throughout the measurement procedures. The dress code consisted of a gown, mask, hood, and pair of boots that fulfilled the cleanroom garment specifications as described in the standard (Standard 2009).

**Verification of the operating parameters**

Before the PM measurements and microbial sampling, the operating parameters, namely (i) air change rate (ACH), (ii) room differential pressure, (iii) relative humidity (RH), and (iv) air temperature was verified to ensure the indoor environment of the four ORs has fulfilled the specifications recommended in the policies of Ministry of Health Standard (2010). These operating parameters should be taken into consideration as indicators of the presence or growth rate of the microorganisms. This systematic verification process is of paramount importance to ensure the validity and reliability of PM measurement and microbial sampling data for subsequent correlation assessment. The Alnor EBT 731 balometer with a flow hood (ALNOR, Huntingdon Beach, CA, USA) was used to determine the airflow volume and room pressure differential. The accuracy of the balometer on airflow and differential pressure measurements are ±3 % and ±0.025 Pa, respectively. The RH and air temperature were evaluated using the Testo 625 digital thermo-hygrometer (Testo Inc., Lenzkirch, Germany). The accuracy of the thermo-hygrometer on RH and air temperature measurements is ±2.5 % and ±0.5°C, respectively. All the measurement devices were calibrated in compliance with the ISO 9001: 2015 standard.

To promote adequate fresh and clean airflow into an indoor environment, an ISO Class 7 OR should have an air change rate of ≥ 20 times the room volume in an hour (Standard 1999). This practice improves indoor air quality and potentially reduces the rate of SSIs. A low air ACH could cause stagnant airflow and increased microbial counts. In an OR, the ACH calculation depends on the room pressurisation. The ACH in a positive pressure OR is calculated based on the clean air volume supplied into the room (Wong et al. 2017). However, the ACH for a negative pressure room is measured based on the exhaust airflow volume (Standard 2009). In this study, the four ORs have positive pressure, and the ACH can be calculated via Equation (1) (Standard 2009):

\[
\text{Air change rate per Hour (ACH)} = \frac{\text{Total supply air (m}^3\text{/min)} \times 60}{\text{Room volume (m}^3\text{)}}
\]

The Alnor EBT 731 Balometer equipped with a flow hood has been widely employed for quantifying the airflow in an OR, which is fitted with ceiling-mounted diffusers. The balometer has the advantage of measuring the airflow volume at the large nominal face area of the air supply diffuser. For airflow volume measurement, a hood size that fits closely to the open area of the air supply diffuser was selected. The absence of a gap between the capture hood and the surface around the diffuser prevented any air leakage. All the airflow volume measurements were logged after achieving the steady-state condition. The onsite measurements of the airflow volume were achieved using the balometer and the capture hood at the supply diffuser, as shown in Fig. 1.

Upon verifying that the ACH fulfills the minimum requirements of an ISO Class 7 OR, the next procedure is to ensure that the ORs are in positive pressure when compared to the adjacent zones, namely, the airlock room and corridor. The purpose is to create a barrier at the gap between the OR doors to prevent the penetration of airborne particles or microbial contamination. The differential pressure between the OR and adjacent zones was measured using the Alnor EBT 731 balometer.

During the measurement of the differential pressure, the main device was held in the OR, while the sensor on the connection pipe was placed in the adjacent zones. All the doors to the ORs were closed to avoid any changes in the
airflow direction and increment in air temperature caused by door-opening or door-closing movements. The positive pressure could drop from 6 to 0 Pa within 1 s due to a door-opening or door-closing activity (Zhou et al. 2018). Sadrizadeh et al. (2018) reported that frequent door opening and closing increases the airborne contaminants in an OR by 7 cfu/m³. Other studies have also demonstrated that there is a positive correlation between the door opening or closing and the microbial counts in an OR (Andersson et al. 2012; Smith et al. 2013; Teter et al. 2017). The onsite measurement of pressure differential is shown in Fig. 2. The differential pressure data of each OR were logged after achieving the required steady-state condition.

The digital thermo-hygrometer (Testo 625, Lenzkirch, Germany) was used to measure the air temperature and RH. To measure the two parameters, the ORs were divided into six sampling grids according to the IEST standard (Standard 1997). The air temperature and RH measurements were performed simultaneously for each sampling grid. The probe of the thermo-hygrometer was positioned at the centre of the sampling grid at a height of 1.1 m above the floor level. The generated sampling grids in the four ORs are shown in Fig. 3. The minimum numbers of sampling grids were calculated from Equation (2), and each test grid should be smaller than 30 m² (Standard 1997).

\[ N = \sqrt{A} \]  

(2)

where \( N \) is the minimum number of sampling grids and \( A \) is the area of a cleanroom in square metres. For an ISO Class 7 OR that has a floor area below 150 m², it is a common practice to generate 6 grids for measurements purposes.

**Airborne particulate matter measurements**

The TSI 9510-02 particle counter was used to measure the PMs. The particle counter was calibrated in compliance with the ISO 21501:2018 standard. Three different particulate matter sizes, PM 0.5, PM 5, and PM 10, were measured in this study. The particle sizes below PM 0.5 were not considered since previous studies have claimed that there is no relationship with microbial counts. However, a noticeable relationship between PM 0.5 or larger and microbial counts was reported in correlation studies (Cristina et al. 2012; Pasquarella et al. 2020; Wong et al. 2018). The probe of the particle counter was placed at a height of 1.1 m above floor level. The PMs concentration was measured at the defined sampling grids shown in Fig. 4.

**Active microbial samplings**

The two types of microbial sampling techniques widely used by researchers are active sampling and passive sampling. The former approach requires the use of a mechanical device to draw air through an air sample container, while the latter relies on the effect of molecular diffusion. Perdelli et al. (2000) claimed that the microbial concentrations obtained by both active and passive samplings are quite similar. Furthermore, active microbial sampling was shown to be as effective as passive microbial sampling (Perdelli et al. 2000). However, in the present study, passive microbial sampling was not conducted, as this approach requires a longer sampling time.

The active microbial sampling was performed using the MAS-100ECO air sampler at a flow rate of 100 l/min. The sampling was performed within 1 m of the operating table. This distance is the recommended position to sample microbial contamination as the Petri plates are located nearer to the wound of the patient (Napoli et al. 2012). A volume of 500 l of air was sampled under the “at rest” condition in one continuous drawing. Under this condition, which is without the presence of any personnel in the ORs, the results of the samplings reflect mainly on the performance of the ventilation system (Napoli et al. 2012; Pasquarella et al. 2008; Standard 2003). A 90-mm diameter Petri plate with Tryptic Soy Agar (TSA) was
used to obtain the total bacteria intensities, while Sabouraud Dextrose Agar (SDA) was used to determine the total fungal count. After completing the samplings, the samples were outsourced to a microbiology facility for analysis. The TSA and SDA plates were incubated at 35 °C for 2 days and 30 °C for 10 days, respectively. The TSA plates were used to identify *Staphylococcus aureus* since it is the most common causative agent for SSIs. The total microbial count is the sum of the bacteria and fungi quantities (measured in cfu/m³). According to the policies and procedures on infection control of the Ministry of Health Malaysia (Standard 2010), the maximum acceptable counts of bacteria and fungi present in an ISO Class 7 OR must be less than or equivalent to 35 cfu/m³.

The samplings of bacteria and fungi using the air sampler are shown in Fig. 5a and b, respectively.

**Error analysis and correlation percentage calculations**

The reliable estimation of errors is an important aspect of conducting field measurements to ensure the validity of the measured parameters (Wong et al. 2018). There are two types of error, namely, systematic and random errors. Systematic errors are reproducible inaccuracies that are consistent regardless of the number of measurements. Random errors are statistical fluctuations in the measured data due to the precision limitations (Deardorff 2000). Before the measurement, the measurement device and sampling instrument used were calibrated to eliminate systematic errors. To reduce the effects of random errors, three repeated measurements were conducted at each sampling location. The uncertainty or standard deviation, \( \sigma \), was used to estimate the uncertainty associated with each measurement. Its expression is given in Equation (3) (Annoni 2012).

\[
\sigma = \sqrt{\frac{\sum_{i=1}^{n}(x_i - \bar{x})^2}{n-1}}
\]  

(3)

where \( \sigma \) is the standard deviation; \( n \) is the number of measurements of the data; \( \bar{x} \) is the sample mean; and \( x_i \) is the measurement value. For error analysis, the parameter is normally expressed as \( x = \bar{x} \pm \sigma \). Equation (4) expresses the correlation percentage for assessing the influence of PM on microbial
quantities (Wong et al. 2018), where \( n \) denotes the number of ORs.

Correlation percentage

\[
\frac{C_0/C_1}{C_0/C_1 + \frac{C_1/C_2}{C_{n-1}/C_n}} \times 100\% \quad (4)
\]

Results and discussion

Based on the measured airflow volume, the calculated ACH in the four ORs fulfilled the minimum requirement of 20/h. The ACH obtained from the four ORs ranges from 29 to 40/hr. This ACH indicates that the air supplied into the OR is sufficient for the occupants. An air change of 15 ACH is insufficient to reduce the microbial counts in an OR with a unidirectional airflow system. The microbial counts exceeded the Italian National Institute for Occupational Safety and Prevention (ISPESL) recommended guidelines by 1.5 times (Wagner et al. 2019). In the USA, most hospitals practice with an ACH of ≥ 25 to provide optimum clean air to the OR occupants. The study proved that increasing the air change from 20 to 25 ACH could reduce the microbial counts in an OR by approximately 1.5 times (Parvizi et al. 2017). Liang et al. (2020) also found out that an air change of 20–30 ACH managed to reduce the PM 2.5 and PM 10 concentrations in compliance with the indoor air quality standards of Taiwan. Practising with a high ACH also improved clinical outcomes in an OR with great potential to reduce the SSIs (Gormley et al. 2017), although increasing the air exchange rate by 5 ACH increases operational costs to US$ 7000 per OR annually. However, Gormley et al. (2017) stated that the patient’s safety is the utmost consideration. The measured airflow volume for each diffuser and the room differential pressure in the four ORs are tabulated in Table 1.

Based on Table 1, the room differential pressure measurements in all four ORs have a differential pressure of at least +6.86 Pa concerning the airlock room. However, a minimum differential pressure of at least +9.17 Pa concerning the corridor was obtained. Thus, the four ORs met the requirement of ≥ 5 Pa, as stated in the policies and procedures on infection control (Standard 2010). The positive room differential pressure indicates that there is no intrusion of airborne PMs or microbes from the adjacent zones.

For an OR utilising the turbulent-mixing ventilation system, the room differential pressure should be maintained at a higher pressure of approximately 15 Pa (Sadrizadeh et al. 2018). A negative pressure OR will be used for the case where a patient is suspected or infected with a respiratory virus such as severe acute respiratory syndrome (SARS) (Chow et al. 2006) and Middle East respiratory syndrome coronavirus (MERS-CoV) (Park et al. 2020). When an infected patient undergoing a surgical procedure under a negative pressure of −4.7 Pa is maintained in an OR, the transmission of the virus to the surrounding environment and adjacent zones could be prevented (Park et al. 2020).

Based on Table 2, the measured data of the air temperature and RH are within the recommended ranges of 18–22 °C and 50–60 %, respectively. However, an RH of greater than 60 % will cause the air moisture to be absorbed into the OR walls and other surfaces. This occurrence will promote the growth of microbial contaminants and increase the risk of a patient contracting an SSI. The findings of Canadian hospitals showed that when ORs were prescribed an RH below 60%, the SSI rate was between 3.3 and 5.6% (Bruce et al. 2007) but increased to 10.7% at an RH of 60–85 % (Bruce et al. 2007). A low RH environment, however, could cause an electrostatic shock when medical staff are exposed to electro-medical devices. An air temperature higher than 22 °C is commonly reported to cause the adhesive cement for orthopaedics surgery to set rapidly. Furthermore, the medical staff who are equipped with multiple layers of safety gowns experienced thermal discomfort when undergoing lengthy surgical procedures. The measured air temperature and RH data for the ORs are shown in Table 2.
Based on the measured data, the ACH, room differential pressure, air temperature, and RH has fulfilled the recommended ranges as stated in the policies and procedures on infection control by the Ministry of Health Standard (2010). Although the operating parameters were inconsistent throughout the measurement data, the values met the specification and fall within the proposed range. Therefore, it can be inferred that the variation in operating parameters provides a negligible effect on the correlation assessment and all the ORs are in ideal operational conditions.

The PMs and microbial samplings data show the mean concentrations of the three types of PMs are below the threshold values prescribed in the ISO Class 7 standard (Standard 1999). The total concentrations of microbes also met the guidelines set by the Policies and Procedures on Infection Control Standard (2010). According to the Environmental Monitoring of Clean Rooms Standard (2012) of the World Health Organization and Policies and Procedures on Infection Control Standard (2010) of the Ministry of Health Malaysia, the total microbial count in the cleanroom consists of bacteria and fungi only. Previous studies identified that the contraction of SSIs by patients was due to the settlement of bacteria of their wounds (Carvalho et al. 2017; Jentzsche et al. 2021). To the best of the author’s knowledge, none of the studies reported the presence of a virus (which are also microbes) in an OR. The measured concentrations of PM 0.5, PM 5, PM 10, bacteria, and fungi are shown in Table 3.

Table 3 shows that the microbes in the ORs contain bacteria without the presence of fungi. This finding is quite common when the sampling is performed in an OR equipped with a HEPA filtration system (Sivagnanasundaram et al. 2019). The reason is that the filtration system managed to trap the fungi, which physically appears larger than the bacteria. Li and Hou (2003) investigated the microbial quality of 100 cleanrooms in various hospitals. The authors also discovered that no fungi were identified, while bacteria counts were detected in the cleanroom. The study performed by Sarıca et al. (2002) in Turkey also reported higher concentrations of bacteria compared to fungi. The random errors associated with the PM measurement are shown in Table 4.

The percentage correlation between the PM sizes and the microbial counts are shown in Fig. 6. The findings show that

### Table 1  Airflow volume, ACH, and room differential pressure

| Room | Airflow volume (m³/min) | Total air volume (m³/min) | Air change rate (/hr) | Location | Differential pressure (Pa) |
|------|-------------------------|---------------------------|-----------------------|----------|---------------------------|
| Diffuser | 1 | 2 | 3 | 4 | 5 | 6 |  | 40 | With respect to corridor | +17.53 |
| OR 1 | 9 | 12 | 13 | 13 | 10 | 12 | 69 | With respect to corridor | +14.91 |
| OR 2 | 7 | 11 | 11 | 9 | 10 | 8 | 56 | With respect to airlock | +13.97 |
| OR 3 | 6 | 8 | 8 | 10 | 8 | 9 | 49 | With respect to corridor | +6.86 |
| OR 4 | 11 | 8 | 10 | 9 | 8 | 8 | 54 | With respect to corridor | +9.17 |

### Table 2  Measured air temperature and RH in four ORs

| Sampling point | OR 1 | OR 2 | OR 3 | OR 4 |
|----------------|------|------|------|------|
| Temperature (°C) | Relative humidity (%) | Temperature (°C) | Relative humidity (%) | Temperature (°C) | Relative humidity (%) | Temperature (°C) | Relative humidity (%) |
| 1 | 18.5 | 57.8 | 18.6 | 57.5 | 19.1 | 54.9 | 21.7 | 51.0 |
| 2 | 18.5 | 57.9 | 18.6 | 58.5 | 19.1 | 54.7 | 21.1 | 59.0 |
| 3 | 18.5 | 58.0 | 18.4 | 55.5 | 19.3 | 54.1 | 21.7 | 53.1 |
| 4 | 18.4 | 57.3 | 18.5 | 57.5 | 19.2 | 54.2 | 21.7 | 53.1 |
| 5 | 18.6 | 57.6 | 18.6 | 55.5 | 19.1 | 53.6 | 21.5 | 51.1 |
| 6 | 18.6 | 57.4 | 18.6 | 56.5 | 19.3 | 53.9 | 21.6 | 52.1 |
| Average | 18.5 | 57.7 | 18.6 | 56.8 | 19.2 | 54.2 | 21.6 | 53.2 |
PM 0.5 does not possess any clear correlation with microbial intensities. The microbial counts were significantly lower than the mean PM 0.5 in the four ORs with the correlations ranging from 0.02 to 0.3%. This finding is in good agreement with 23 studies conducted by Scaltriti et al. (2007) in 3 mixing ventilation ORs. The authors claimed that 98% of the measured PM 0.5 is fine dust load suspended in the air but with no positive relationship with the microbes. The authors further stated that the PM 0.5 concentrations are an indicator of the duration of surgical procedures, where the concentration and operation time are directly proportional. Likewise, a recent study identified that the airborne microbes present in a standard atmospheric environment are at least 2 μm in aerodynamic diameter (Dommergue et al. 2019). One of the dominant microbes that are present in an OR, Staphylococcus aureus, has a diameter ranging from 2.1 to 3.2 μm (Lenart-Boroń et al. 2021). Hence, all these findings confirmed that PM 0.5 lacks an apparent positive correlation with the microbial count.

The PM 5 and PM 10 both show a small positive correlation with the microbial amount. On average, the correlation percentages of PM 5 and PM 10 that correspond to microbial levels are 7% and 15%, respectively. These findings are in good agreement with Wagner and Schreiber (2014) and Sadrizadeh et al. (2014). Similarly, Wang and Chow (2015) showed that PM 5 or larger have a significant relation with the microbial count. Hence, the authors treated PM 5, PM 6, PM 8, and PM 10 as microbes in simulating the microbial movements in an OR. Fan et al. (2019), however, reported that PM 2.5 has a close relationship with microbial counts, especially with pathogenic bacteria. Based on a study conducted in seven ISO Class 7 ORs, Wong et al. (2018) claimed that PM 5, PM 10, and PM 25 result in percentage correlations to microbes of 5%, 10%, and 20%, respectively. Likewise, a study conducted in Taiwanese ORs indicated that the microbial counts are correlated with PM 5 to PM 7 (Tang and Wan 2013; Wan et al. 2011). However, Memarzadeh and Manning (2002) disagreed with the claim that PM 5 is correlated to microbial counts. In fact, the authors’ finding are similar to Palladino et al. (2021), who performed a scrutinised air quality study and suggested that microbes are present in PM 10. So far, there is no consensus on the exact PM size that is correlated to microbial counts.

Low microbial contamination in an OR is critical in controlling SSI. Microbial monitoring can be a useful tool to assess indoor environmental quality and the risks of

### Table 3

| Location | Concentrations (particles/m³) | Concentrations (cfu/m³) |
|----------|-------------------------------|-------------------------|
|          | PM 0.5 PM 5 PM 10 Bacteria Fungi Total microbes |
| OR 1     | 12,862 536 225 23 0 23 |
| OR 2     | 21,631 100 53 12 0 12 |
| OR 3     | 7,944 595 293 31 0 31 |
| OR 4     | 8,498 118 41 6 0 6 |
| ISO 14644-1/Policies and Procedures on Infection Control | 352,000 2,930 N/A 35 35 N/A |

### Table 4

| Location | Particulate matter | Error analysis expression |
|----------|-------------------|---------------------------|
| OR 1     | PM 0.5            | 12862 ± 7460 particles    |
|          | PM 5              | 536 ± 309 particles       |
|          | PM 10             | 225 ± 126 particles       |
| OR 2     | PM 0.5            | 21631 ± 4247 particles    |
|          | PM 5              | 100 ± 69 particles        |
|          | PM 10             | 53 ± 37 particles         |
| OR 3     | PM 0.5            | 7944 ± 3351 particles     |
|          | PM 5              | 595 ± 69 particles        |
|          | PM 10             | 293 ± 37 particles        |
| OR 4     | PM 0.5            | 8498 ± 4604 particles     |
|          | PM 5              | 118 ± 73 particles        |
|          | PM 10             | 41 ± 47 particles         |

**Fig. 6** Correlation percentage between microbes and PMs in the four ORs
contracting an SSI. However, microbial samplings require extended periods to acquire the data compared to the sampling of PMs. The incubation period for the microbial samples at the lab usually requires a few days to complete, while measurement data for PMs are almost immediately available. The setup for microbial samplings could interrupt the movements of the medical staff members and the surgical procedures. Therefore, for frequent indoor air quality monitoring purposes, it is suggested that the monitoring of both PM 5 and PM 10 could be an alternative way to replace microbial monitoring.

The present systematic approach of correlating the PM and microbial counts applies to all cleanrooms and the healthcare facilities that prescribed a positive pressure environment. This correlation approach, however, is not applicable in healthcare facilities that have a negative pressure environment, i.e. negative pressure isolation room, negative pressure operating room, and negative pressure patient ward. Likewise, it is not suitable for implementation in the healthcare facilities that cater for virally infected patients such as SARS, MERS-CoV, SARS-CoV-2 (COVID-19), or similar airborne virus infections. The referred set of standards and guidelines are designed to prevent the entainment of pathogenic microbes or PM from adjacent zones into the healthcare facilities by prescribing a positive pressure environment. The implementation of the present approach in the negative pressure environment will invalidate the findings. This is due to the improper method used to determine operating parameters such as air change rate and airflow volume. To study the applicability of the present method to the COVID-19 virus, a more carefully designed study is needed to protect the operating personnel during the experiments. Such possibility will be explored in the near future.

Conclusions

The article presented a systematic procedure for evaluating the relationship between PMs and microbial contamination using onsite measurements and microbes sampling in four ORs. Before the onsite measurements and sampling work, the four ORs are verified to operate under the specifications as stated in the policies by the Ministry of Health Malaysia. According to the ISO norms, the findings show that the concentration of PM 0.5 has no mutual correlation to the microbial level under the “at rest” conditions. However, both PM 5 and PM 10 show a positive relationship to microbial levels of 7% and 15%, respectively. Hence, PM 5 and PM 10 show a noticeable correlation with microbial counts. The measurement data of PM 5 and PM 10 can be used for general monitoring of microbial contamination in ORs, as the routine surveillance programmes before the commencement of each surgical procedure. In future studies, the authors recommend the PM measurements and microbial samplings are conducted during surgery (or under “in operational” conditions) to reflect real contamination in the OR. It is also prudent to adopt a larger sample size to obtain more reliable correlation percentages to reduce the margin of error.

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