REARRANGEMENTS OF IMMUNOGLOBULIN AND T CELL RECEPTOR \( \beta \) AND \( \gamma \) GENES ARE ASSOCIATED WITH TERMINAL DEOXYNUCLEOTIDYL TRANSFERASE EXPRESSION IN ACUTE MYELOID LEUKEMIA

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In the classification of acute leukemias, the nuclear enzyme terminal deoxynucleotidyl transferase (TdT)\(^1\) is a marker commonly used to differentiate between the lymphoid or myeloid origin of the neoplastic clone. Common, T, and null acute lymphoblastic leukemias (ALL) are characteristically TdT\(^-\), reflecting the proliferation of immature lymphoid cells, while TdT is usually negative in acute myeloid leukemia (AML). However, it is well documented that a small proportion (5–10\%) of AML are TdT\(^+\) (1, 2). The explanation for this unexpected, though consistent finding, has remained uncertain.

The analysis of DNA rearrangements at the Ig and T cell receptor (TCR) chain regions has proved a valuable approach toward a more accurate definition of the cell lineage, clonal origin, and stage of differentiation of acute and chronic B and T lymphoid leukemias (3–12). In the same way, the T cell rearranging \( \gamma \) gene (TRG-\( \gamma \)) is an indicator of clonal proliferation characteristic of human T cells since in all forms of T cell leukemia so far reported, a TRG-\( \gamma \) rearrangement occurs (13–15).

To better characterize the distinctive features between TdT\(^-\) and TdT\(^+\) AML, and possibly to define the cell lineage of the latter cases, we have studied at the
molecular level a series of 52 AML, 10 of which were TdT+. The leukemic population was typed for markers of DNA rearrangements both at the H (Igh) and L (Igκ, Igλ) chain Ig genes, the TCR-β chain locus, and the TRG-γ region. A highly significant correlation between TdT expression and DNA rearrangement at the IgH locus was found: 8 of the 10 TdT+ AML carried new configurations at the IgH region, while this occurred only in 2 of 42 TdT− cases. Furthermore, clear or suggestive signs of TCR-β and/or TRG-γ gene rearrangement appeared restricted to the TdT+, IgH-rearranged group. These findings suggest that most TdT+ AML appear characterized by the expansion of a very early cell population molecularly committed along the lymphoid differentiation pathway, irrespective of the persistent expression of myeloid-related features.

Materials and Methods

Patient Population. 52 AML patients were included in the study, including 10 TdT+ AML patients. The diagnosis of AML was based on morphological and cytochemical criteria; myeloperoxidase was assessed in all cases with light microscopy, and in two TdT+ AML patients (5 and 8), also with electron microscopy (EM). Diagnosis was also based on the absence of the common ALL-associated antigen, as well as other B (OKB2, BA1, B1) and T (Leu9, T1, T11, T6, T3, T4, T8) cell–related markers (except for patient 6, see below, who showed a biphenotypic picture), and/or, in the TdT+ cases, also on the expression of one or more antigens recognized by anti-myeloid mAbs. For this latter purpose the following reagents were used (partly commercial and partly obtained from the 2nd International Workshop, Boston, MA, 1984): My4, My7, My9 (Coulter Immunology, Hialeah, FL), Mo5 (16), NHL30.5 (17), LeuM1 (Becton Dickinson & Co., Sunnyvale, CA), UCHM1 (Techno Genetics, Torino, Italy), MG1 (Techno Genetics), OKM1 (Ortho Diagnostic Systems, Inc., Westwood, MA), anti-lysozyme antiserum (muramidase; Dako Corp., Copenhagen, Denmark). All immunological characterizations were carried out on the bone marrow or, less frequently, on the peripheral blood leukemic population after fractionation on a Lymphoprep (Nyegaard A/C, Oslo, Norway) gradient. The indirect immunofluorescence technique used has been described in detail elsewhere (18). For nuclear TdT, cytocentrifuge spreads were fixed in cold methanol for 30 min, transferred to cold PBS for 30 min, and stained using a rabbit anti-TdT and, as a second layer, a FITC-F(ab')2 goat anti-rabbit IgG (both purchased from Bethesda Research Laboratories, Gaithersburg, MD). The myeloid affiliation of the 10 TdT+ patients and the clinical outcome of each patient were as follows.

Patient 1. A 9-yr-old girl with a white blood cell (WBC) count of 3.99 × 10⁹ per liter was classified as M1 according to the FAB classification. The blasts were myeloperoxidase-positive (48%) and 89% expressed the OKM1 antigen; 52% were TdT+. The patient went into complete remission with an anti-myeloid protocol, but relapsed after 8 mo. She underwent an allogeneic bone marrow transplantation, which was followed by a second marrow relapse 7 mo later. The child was then refractory to treatment and died 5 mo later.

Patient 2. A 45-yr-old woman with a WBC count of 6 × 10⁹ per liter was classified as M5. 52% of the blasts were muramidase-positive; 45 and 40% expressed the My9 and My7 antigens, respectively, while 80% were TdT+. She was treated with an anti-myeloid regimen and died during induction.

Patient 3. A 3-mo-old girl with a WBC count of 6.5 × 10⁹ per liter was classified as M5. 52% of the blasts were muramidase-positive; 45 and 40% expressed the My9 and My7 antigens, respectively, while 80% were TdT+. She was treated with an anti-myeloid regimen and she died during induction.

Patient 4. A 12-yr-old girl with a WBC count of 1.1 × 10⁹ per liter was classified as M1. 40% of the blasts reacted with LeuM1, 30% with NHL30.5, 62% with Mo5, and 23% with UCHM1. TdT was positive in 18% of the blasts. Double labeling showed that
TdT+ cells were also Mo5+. Complete remission was obtained with Vincristine, Adriamycin, and Prednisone. 11 mo later, however, the child relapsed.

**Patient 5.** A 2-mo-old girl with a WBC count of 1.1 × 10^12 per liter was classified as M5. 2% of the blasts were myeloperoxidase-positive as shown by light microscopy; this was further confirmed by EM. 66% of the blasts were positive for My7, 50% for My9, 69% for Mo5, and 74% for LeuM1. 71% of the elements were TdT+. Despite the rotation of different cytotoxic agents the child died without achieving a complete remission.

**Patient 6.** A 13-yr-old girl with a WBC count of 1.98 × 10^11 per liter was classified as L1 (50%)/M5 (50%). This patient showed a biphenotypic picture: one myeloid, documented by the reactivity with My9 (41%) and MG1 (48%), and one lymphoid, according to the expression of J5 (common ALL antigen; 45%), OKB2 (44%), and BA1 (41%). 90% of the elements (both myeloid and lymphoid) were TdT+. The child was refractory to all forms of treatment and died without obtaining a complete remission.

**Patient 7.** A 41-yr-old man with a WBC count of 2.1 × 10^10 per liter was classified as M4. Myeloperoxidase was positive in 40% of the blasts and nonspecific esterase in 90%. 95% of the elements were TdT+. The patient, treated with Adriamycin, Ara-C, 6-Thioguaine, and Vincristine was in complete remission 18 mo after diagnosis.

**Patient 8.** A 4-yr-old boy with a WBC count of 2 × 10^11 per liter was classified as M5. The blasts were myeloperoxidase-positive as shown by EM, and 20% were muramidase-positive, 80% My9+, and 79% My4+. 80% of the elements were TdT+. Complete remission was achieved after 1 yr of combined chemotherapy with anti-lymphoid and anti-myeloid agents. He then underwent an autotransplant, but relapsed soon after.

**Patient 9.** An 8-yr-old girl with a WBC count of 4 × 10^10 per liter was classified as M1. 54% of the blasts were myeloperoxidase-positive, 36% were OKM1+, and 38% were recognized by My7. 26% of the elements were TdT+. Double labeling suggested that TdT was not present on the myeloperoxidase-positive cells. The child was induced with an anti-myeloid regimen, was in remission for 2 mo, then relapsed and died.

**Patient 10.** A 7-yr-old girl with a WBC count of 2 × 10^10 per liter was classified as M1. 25% of the blasts were myeloperoxidase-positive, 9% were My9+, and 15% reacted with OKM1. 25% of the elements were TdT+. Double labeling showed that myeloperoxidase-positive cells were TdT-. The child, treated with an anti-myeloid protocol, died during induction.

**DNA Analysis.** High molecular weight DNA was extracted from the leukemic cell population separated as described above. After digestion with the appropriate restriction enzymes (see legend to Table I) and electrophoresis on 0.8–0.6% agarose gel, DNA was blotted on nitrocellulose paper and hybridized to ^32P nick-translated probes as already described (4). The Igh, Igα, Igλ, TCR-β, and TRG-γ regions were examined by means of the following probes: Igh, 3.3-kb Eco RI–Hind III fragment containing the 3′ half of the Igh joining (Jγ) elements (19); Igα, 2.7-kb Eco RI fragment isolated from the recombinant phage Huk (20), carrying the region coding for the constant domain of the λ light chain gene (Ca); Igλ, 1.2-kb Bam HI fragment derived from the recombinant phage Hu5 (21), containing the constant Ca2 gene that encodes the Kern+; Oγ− isotype; TCR-β, 400-bp Bgl II cDNA fragment derived from JUR-β2 clone (22) coding for most of the Cβ2 constant domains; TRG-γ, 700-bp Hind III–Eco RI genomic fragment containing the joining (Jγ1) region, ahead of the Cγ1 segment (23). The latter three probes hybridize equally well to the other highly homologous members of their gene families (i.e., to Ca1 and Ca3–9, Cβ1, and TRG Jγ2, respectively). The maps of all these gene regions have been reported in detail in the above cited references.

**Results**

**Ig Gene Analysis.** In 8 of 10 TdT+ AML a rearrangement of the Igh gene region was found (Fig. 1, Table I). In one of the latter cases (Table I, patient 2), a reorganization of the λ light chain was also documented (Fig. 2). The two germline TdT+ AML (Table I, patients 9 and 10) showed relatively low per-
Figure 1. Southern blot hybridization to the J<sub>H</sub> probe of seven representative TdT<sup>+</sup> (patients 1–6 and 8) and two TdT<sup>-</sup> (patients 11 and 12) AML cases after digestion with Bgl II (patients 1–4, 8, 11), Sac I (patients 5 and 6) and Bam HI (patient 12). Lane numbers refer to individual samples as coded in Table 1; (C) represents unrearranged, control DNA. The size of the rearranged and germline fragments, indicated with arrows and asterisks, respectively, are in kilobases. In a short-run gel, patient 4 did show a second rearranged band of 1.3 kb.
Analysis at the Igh, Igk, Igx, TCR-β, and TRG-γ Gene Loci in 10 TdT+ and 2 Rearranged TdT− AML

| Patient | TdT | MPO* | Igx Configuration | Restriction enzyme used | Igλ Configuration | Restriction enzyme used | TCR-β Configuration | Restriction enzyme used | TRG-γ 1 Configuration | Restriction enzyme used |
|---------|-----|------|------------------|------------------------|------------------|------------------------|---------------------|------------------------|----------------------|------------------------|
| 1       | 52  | 48   | Grr Bgl II       | G                      | GR               | GR/G                  | Bam HI, Eco RI      |
| 2       | 80  | 0    | RR Bgl II, Eco RI | GR                    | G                 | G/G                   | Bam HI, Eco RI      |
| 3       | 72  | 0    | RR Bgl II        | G                      | G                 | G/G                   | Bam HI, Eco RI      |
| 4       | 18  | 0    | RR Bgl II        | G                      | G                 | G/G                   | Bam HI, Eco RI      |
| 5       | 71  | 2    | RRr Bgl II, Sac I | G                      | G                 | G/G + r               | Bam HI, Eco RI, Sac I |
| 6†      | 90  | 0    | Sac I, Hind III  | G                      | G                 | G/G                   | Bam HI, Sac I, Hind III |
| 7       | 95  | 40   | RR Eco RI, Sac I | —                     | —                | —                     | G/G + RRR Eco RI    |
| 8       | 80  | 0    | GRR Bgl II       | G                      | G                 | G/G                   | Bam HI, Eco RI      |
| 9       | 26  | 54   | G Bgl II, Bam HI, Eco RI, Sac I, Pat I, Hind III | G | G Eco RI | G/G | Bam HI, Eco RI |
| 10      | 25  | 25   | G Bgl II, Bam HI, Pat I, Hind III | G | G Eco RI | G/G | Bam HI, Eco RI |
| 11**    | 0   | 80   | GR Bgl II, Eco RI, Sac I, Pat I | GR | G Eco RI | — | G/G Eco RI |
| 12‡‡    | 0   | 0    | GR Bam HI, Eco RI, Sac I, Hind III | GR | G Eco RI | G/G | Bam HI, Eco RI |

* Myeloperoxidase at light microscopy.
† G, germline; R, rearranged; r, rearranged fragment of faint intensity.
‡ Only restriction enzyme Bam HI used.
§ A tentative assignment of the TRGγ "genotype" differentiating between Cy1 and Cy2 gene involvement is shown. Cy1 "genotype" is shown on the left side of the shift, and Cy2 on the right. The three Rs added to the G/G TRGγ genotype of patient 7 indicate that three conspicuous, rearranged fragments were found over an apparently typical germline background (see Fig. 3 and text for details).
¶ The 90% TdT expression and the absence of a germline Igh fragment suggest that the apparent biphenotypic population (myeloid and lymphoid, see Results) are most likely derived from a common precursor.
** Patient 11 was classified as M1; in addition to myeloperoxidase, the leukemic cells were also sudan black-positive, and negative for B and T lymphoid markers.
‡‡ Patient 12 was classified as M4; 20% of the elements were naphthyl acetate esterase-positive; 10% also showed nonspecific esterase positivity (sensitive to sodium fluoride). 80% and 10% of the blasts were MGI* and OKM1*, respectively, while they were negative for B and T lymphoid markers.

Percentages of TdT− cells (26% and 25%). Double staining indicated that TdT was in fact not expressed on the blasts carrying myeloid markers.

40 of the 42 TdT− AML showed the expected germline configuration of the Igh region. The two patients that carried a Jα rearrangement also showed a reorganization of the k light chain gene. The difference in the incidence of Jα rearrangements between TdT+ and TdT− AML was statistically highly significant ($p = 2.5 \times 10^{-6}$). Whenever possible, the rearranged configurations were confirmed with different restriction enzymes to rule out polymorphisms (see Table 1). Possible evidence of the latter phenomenon was observed only with the
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Figure 2. Southern blot analysis of the three AML (patients 2, 11, and 12) exhibiting a Cx rearrangement. In all cases, Bam HI restriction enzyme was used. The sizes in kilobase of germline (asterisks) and rearranged (arrows) bands are indicated. C, control DNA.

Jα probe in patients 9 and 11. These two patients showed a discordant pattern respectively with one of the six (Hind III) and one of the four (Bgl II) restriction enzymes used. All together, 10 of the 52 AML tested with the Jα probe and 3 of the 46 AML studied with the Cx probe presented a novel DNA configuration at the respective loci (Table I). However, an atypical rearranged pattern was observed in 3 of the 10 above-mentioned patients, i.e., more than the two expected Jα-hybridizing fragments were found in patients 1, 5, and 8 (see Fig. 1). No Igλ gene rearrangement could be identified among the 26 samples studied, including two with a Cx rearrangement.

TCR-β and TRG-γ Gene Analysis. The TCR-β and TRG-γ loci were analyzed in 47 and 51 patients, respectively. While the great majority of samples showed no signs of TCR gene rearrangement, four patients (1, 5, 7, and 8) presented suggestive evidence of TCR-β and/or TRG-γ gene involvement. The pattern of gene rearrangement varied markedly among these four patients, either in the number or in the intensity of the novel bands. The novel Bam HI and Eco RI fragments detected by the TRG-γ probe had the expected size of the most common Vγ gene-containing segments (14, 15, and our unpublished observations) and did not fit with an incomplete digestion pattern. Indeed, an apparently typical clonal pattern of rearrangement could be recognized in patients 1 and 8, in whom either both TCR-β and TRG-γ or TRG-γ only were respectively involved. In these two samples, the novel rearranged fragments gave a hybridization signal not dissimilar to the one shown by the unrearranged counterparts (Fig. 3). In the two remaining samples, the rearranged bands appeared too faint (patient 5) or there were too many (patient 7) to account for a clonal involvement of the entire neoplastic cell population. All four patients with true or accessory
FIGURE 3. TCR-β and TRG-γ gene configuration, after Southern blot hybridization with the respective probes, of four rearranged TdT+ AML (patients 1, 5, 7, and 8). (C) contain unrearranged control DNA. The two TCR-β constant genes, Cβ1 and Cβ2, are both located within the 24-kb Bam HI germline fragment; the two Jγ gene segments, Jγ1 and Jγ2, migrate on different fragments after Bam HI, Sac I, and Eco RI digestion, i.e., the Jγ1 on the 20-, 6.4-, and 1.55-kb; the Jγ2 on the 13-, 7.0-, and 3.2-kb fragment, respectively (13, 23, and our unpublished observations). In patient 1, the reduced signal of the higher molecular weight bands can be ascribed to partial DNA degradation. The TRG-γ pattern of the latter case shows an intensity ratio between the novel 11.2- and the 13-kb germline band of ~0.5, which is consistent with a germline configuration at both Cγ2 gene regions. Whether a second Cγ1 allele is or is not rearranged can not be clearly established because of the just-mentioned partial degradation of the higher molecular weight fragments. However, the presence of a residual signal at the 20-kb germline position seems to favor the involvement of only one Cγ1 allele.

signs of TCR-β or TRG-γ gene rearrangement were encountered among the Jμ-rearranged TdT+ AML.

Discussion
The cell origin of the rare cases of TdT+ AML has remained a matter of debate. This issue, along with the biological implications related to the significance of the inappropriate expression of TdT in apparently myeloid blasts, has clinical relevance, especially in view of the overall bad prognoses of these patients and their poor response to treatment (2). The enzyme TdT, associated with the early stages of normal and malignant T and B cell differentiation, is found in bone marrow and thymus lymphoid cells, as well as in T, common, and null ALL
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(1); on the other hand, TdT is absent in the great majority of AML (1, 2), thus representing a valuable and widely exploited diagnostic marker between lymphoid and myeloid acute leukemias. Functionally, this enzyme catalyzes the polymerization of deoxynucleotides on the 3'-hydroxyl terminus of an oligonucleotide primer in the absence of a template (24). Although its function during the ontogeny of the lymphoid lineage is not yet clear, it has been suggested that it may have an ancillary role in the D-JH or V-DJH recombination (25). Studies of murine pre-B cell lines transformed by Abelson murine leukemia virus (26, 27) are consistent with the suggestion that the enzyme may be responsible for the addition of extra nucleotides (the so-called N regions) at the junctions of V, D, and J segments.

In this study, we have analyzed the DNA configuration at the Ig H and L, TCR-β, and TRG-γ loci in a group of 52 patients with AML, which included 10 TdT' cases. The myeloid affiliation of the TdT' cases was based on morphological, cytochemical, and immunological features (myeloperoxidase positivity and/or expression of one or more myeloid-associated antigens), together with the absence of B and T cell-related markers. Our data show a significant correlation between IgH rearrangement and TdT expression. 8 of the 10 JH-rearranged cases belonged in fact to the TdT' group, while only 2 of the 42 TdT' cases showed evidence of JH rearrangement (p = 2.5 \times 10^{-6}). This latter finding confirms that an IgH involvement in classical TdT' AML is indeed a very rare event (28–30).

A reorganization of the Igκ region could also be demonstrated in 3 of the 46 AML tested (1 TdT' and 2 TdT'). As expected, in all three the IgH locus was also rearranged. Thus, it appears that the rare Ig rearrangements observed in non-B leukemias are not confined only to the IgH locus but may also take place at the κ L chain gene region, the involvement of which is conventionally associated with a more mature level of differentiation.

The data obtained with the TCR-β and TRG-γ DNA probes show that these loci may be rearranged in AML (4 of 52; patients 1, 5, 7, and 8), the rearrangements being in all cases restricted to the TdT' subgroup carrying a novel JH configuration. While in patients 1 and 8 the TCR-β and/or TRG-γ gene organization points to a typical monoclonal pattern, in the remaining two the TRG-γ novel fragments appeared too faint (patient 5) or there were too many (patient 7) to be compatible with a monoclonal situation. It is of interest that a similar heterogeneity, both in number and intensity of rearranged fragments, was also observed after analysis with the JH probe in three of the above four mentioned patients (No. 1, 5, and 8). The coexistence in patients 1 and 8 of three JH-containing fragments, one of which is germline (with only one chromosome rearranged at the TCR-β and/or TRG-γ loci), may have different explanations. A trisomy of chromosome 14, a JH duplication due to unequal chromatid exchanges, or a mixture of two cell populations, one carrying the B and one the T markers of rearrangement, may account for these findings. Even in the case of the latter possibility, i.e., bicolonality, myeloid markers would be present on both these cell populations (see Results). In the other two samples with both IgH and TRG-γ clonal markers (patients 5 and 7), the absence of any germline JH-containing bands implies the existence of a clone(s) carrying both regions rear-
ranged within the same cell. Again, aneuploidy or unequal sister chromatid exchange may be responsible for the additional rearranged bands. Should this be the case, the hypothesis of oligoclonality would be questionable, while the concept of a concomitant involvement within a single cell of the Igh and TRG-γ loci would still hold.

Can these findings help to unravel the apparently conflicting TdT expression in leukemic blasts exhibiting myeloid features? The highly significant correlation between TdT positivity and Igh rearrangement reported here supports the view that the expression of the enzyme TdT may indeed be coupled to the activity of the V-(D)-J-specific recombinase/s (25–27). The evidence that both Igh and TRG-γ rearrangements positively correlate with TdT expression favors the concept that a unique enzymatic machinery might be involved in the rearrangement of these B and T cell-specific loci (27).

This study suggests a predominant lymphoid commitment of TdT+ AML. The pattern of genomic configuration, i.e., Jγ gene rearrangement sometimes associated with a TCR-β and/or TRG-γ gene reorganization, is similar to that found in non-T-ALL (12, 31 and our unpublished observations) and seems therefore to point to a B cell affiliation for most of these cases. It is conceivable that in these patients the target of the leukemic event is represented by a very early TdT+ progenitor cell that is molecularly and not yet phenotypically B lineage oriented, but that is also capable of expressing some myeloid-related features, possibly due to a transient lineage spill-over before reaching a more restricted lymphoid expression. These findings suggest that the expression of TdT in apparently myeloid blasts does not appear due to the expression of abnormal differentiation (lineage infidelity) (32). As suggested by Greaves et al. (33), we favor the hypothesis that in the normal process of early hemopoietic differentiation, a certain degree of promiscuity may occur so that some markers, normally restricted to different cell lineages, may be transiently coexpressed. This is further confirmed by patient 6, who showed an Igh rearrangement with no evidence of germline bands (and 90% TdT positivity), despite a clear biphenotypic pattern (myeloid and lymphoid), and by patient 8, who recently relapsed, and who expressed B cell–related antigens, having lost all myeloid features (our unpublished data). While in most TdT+ Jγ-rearranged AML the expression of myeloid markers may be a temporary event retained by lymphoid committed blasts, in the two germline TdT+ patients, the lack of clonal markers points to the highly immature stage of cell differentiation, such that a firm conclusion on the cell origin of these proliferations cannot be reached.

Finally, the heterogeneous phenotypic and molecular potential of the leukemic population documented by this study may lead to an understanding of the difficulties in the treatment of these patients, and their frequent refractiveness to the commonly used cytotoxic agents.

Summary

The cell origin of the rare terminal deoxynucleotidyl transferase (TdT)–positive acute myeloid leukemias (AML) was investigated at the molecular level, by examining the configuration of the Ig H (Igh) and L (IgL, Igl) chain gene regions, and of the T cell receptor (TCR) β and T cell rearranging (TRG) γ loci.
In 8 of the 10 TdT+ AML analyzed (classified as myeloid according to morphological and cytochemical criteria, and to the reactivity with one or more anti-myeloid mAbs), a rearrangement of the IgH chain gene was found. In TdT- AML, evidence of an IgH gene reorganization was instead observed only in 2 of the 42 patients studied. Furthermore, evidence of TCR-β and/or TRG-γ gene rearrangement was observed in four AML, all of which belonged to the IgH-rearranged TdT+ group. In three cases (one TdT+ and two TdT-), the Igκ L chain gene was also in a rearranged position. These findings demonstrate a highly significant correlation between TdT expression and DNA rearrangements at the IgH and TCR chain gene regions and support the view that this enzyme plays an important role in the V-(D)J recombination machinery. Overall, the genomic configuration, i.e., JH gene rearrangement sometimes coupled to a κ L chain and TCR gene reorganization, similar to that found in non-T-ALL, suggests that in most cases of TdT+ AML, the neoplastic clone, despite the expression of myeloid-related features, is characterized by cells molecularly committed along the B cell lineage.

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