Dual-stimuli pseudorotaxane switches under kinetic control†‡

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A series of dumbbell-shaped sec-ammonium salts with bulky (pseudo)stoppers (‘speed bumps’) were tested for their ability to form pseudorotaxanes with a redox-switchable, tetrathiafulvalene (TTF)-decorated [24]crown-8 ether. Depending on the size of the pseudostoppers, fast (less than ten minutes), slow (hours to days) and very slow (no pseudorotaxanes observed) threading has been observed. NMR spectroscopy as well as tandem mass spectrometry indicate the formation of non-threaded face-to-face complexes prior to pseudorotaxanes formation. Both isomers can be distinguished by their substantially different stability in collision-induced dissociation (CID) experiments. Two external stimuli affect the stability of the pseudorotaxanes: Deprotonation of the ammonium ion results in fast dethreading, while dethreading is much slower when induced by the charge repulsion upon chemical oxidation of the TTF moiety. Remarkably, the same steric bulk of the pseudostopper thus leads to different dethreading rates depending on the stimulus applied. Based on these findings, two redox-switchable rotaxanes containing a 1-naphthyl and a phenyl moiety as sterically different ‘speed bumps’ in the axle centre were synthesised. Bulk electrolysis of the rotaxanes did not result in the expected macrocycle translocation on the axle independent of the ‘speed bump’ as a remarkable consequence of the mechanical bond.

Introduction

Artificial molecular switches†1 are controlled by external chemical,2,3 light,4,5 or electrochemical6 stimuli. In mechanically interlocked molecules (MIMs) such as rotaxanes and catenanes, stimuli-induced switching manipulates the interactions of the wheels with their binding sites on the other component in a controlled manner.7,8 Pseudorotaxanes are non-interlocked, but threaded axle-wheel complexes able to dissociate without breaking a covalent bond. Depending on the bulkiness of the axle end groups, they encompass a broad range of dethreading rates with the rotaxane at the very end, where no wheel exchange takes place (Fig. 1a).9 Thus, (pseudo)rotaxanes allow us to study stimuli-induced switching with and without wheel exchange. In rotaxanes, the wheel’s translational freedom is limited to the track provided by the axle10 and switching can be converted into directed co-conformational changes, which can propel artificial molecular motors and machines.7,9,11 The impact of the wheel exchange rate on dual-stimuli-induced switching has not been studied for kinetically hindered pseudorotaxanes, but rather for pseudorotaxanes with fast exchange or for rotaxanes (no exchange). This raises an interesting question: Can different stimuli applied to a dual-stimuli-responsive and kinetically hindered pseudorotaxane result in different timescales for dethreading over a ‘speed bump’ pseudostopper, even when the steric size of the ‘speed bump’ remains unchanged?

The energy landscapes of switchable (pseudo)[2]rotaxanes change, when stimuli are applied. In Fig. 1, which exemplarily depicts an acid/base- and redox-switchable pseudorotaxane (Fig. 1b and c) and its ‘speed bump’ containing rotaxane analogue (Fig. 1d and e), the yellow dots represent the (pseudo) rotaxane populations. Initially, the wheels reside on the binding site. Upon applying one of the two stimuli, the former binding site becomes disfavoured and the macrocycles migrate over the blue ‘speed bump’. For the pseudo[2]rotaxane, the wheel is released from the axle over the barrier caused by the
pseudostopper, while the wheel cannot escape from the axle in the [2]rotaxane. It will nevertheless move across the ‘speed bump’ back and forth and a new equilibrium distribution of positional isomers is finally reached on a time scale depending on the ‘speed bump’ size.

From the broad variety of available binding motifs, sec-ammonium/crown ether (pseudo)rotaxanes provide an easy access to dual-stimuli-responsive compounds that allow us to investigate the above question in detail. In this motif, a crown ether encircles the ammonium ion with binding constants typically in the range of $10^2$ to $10^6$ M$^{-1}$ in non-protic organic solvents. In thermodynamic terms, ammonium ions form threaded complexes depending on the number of hydrogen bonds (including C–H⋯O hydrogen bonds involving the methylene groups next to the ammonium ion), charge distribution and ion pair separation energies of the ammonium salt. Only face-to-face interactions are possible, when the axle end groups are sterically too bulky. A large number of pseudo[2]rotaxanes with different crown ether sizes and different end groups have been reported to form in a broad variety of solvents. In marked contrast, only few examples of rotaxanes with ‘speed bumps’ along their axles have been studied to unravel the influence of the mechanical bond.

The studies on shuttling in crown ether rotaxanes mostly focus on degenerate shuttles with two or more equivalent binding sites. However, non-degenerate shuttles are more suitable for directional movement, among which only very few are responsive to both acid/base and redox stimuli. Here, we make use of the sec-ammonium/crown ether binding motif and describe a series of pseudorotaxanes responsive to both stimuli with differently sized and differently shaped pseudostoppers. The ammonium/crown ether interaction can be disrupted by deprotonation of the ammonium group with a strong base. Introducing a tetrathiafulvalene (TTF) into the crown ether (TTFC8, Fig. 2) implements also the second stimulus: Two-electron oxidation of the TTF unit to its dication induces coulombic repulsion between the wheel and the ammonium station and is expected to lead to dethreading as well. Hence, these dual-stimuli-responsive pseudorotaxanes allow comparing the dethreading rates after deprotonation with those after oxidation, while maintaining the same steric bulk of the ‘speed bump’ pseudostopper. After identifying a suitable pseudostopper in pseudorotaxane dethreading experiments, this ‘speed bump’ can be integrated into a rotaxane axle to investigate co-conformational changes in the dual-stimuli-responsive [2]rotaxane, in which the mechanical bond prevents dethreading.

Results and discussion

The wheel: crystal structure of TTFC8

The redox-active wheel TTFC8 used in this study was synthesized as reported earlier. This macrocycle and the commercially available and widely used dibenzo[24]crown-8 ether (DB24C8) have the same number of 24 atoms along their cir-
cumference. Nevertheless, the substitution of two catechol oxygen by sulfur atoms affects both the structure and the conformational properties of the ring,\textsuperscript{31} as becomes clear, when comparing the new solid state structure of TTFC8 (Fig. 2, also see ESI section 8\textsuperscript{f}) with the known structure of DB24C8.\textsuperscript{31}

The naphthalene-substituted side of TTFC8 only shows a minor elongation in the central C==C bond (1.44 Å compared to 1.41 Å for DB24C8), the C–O bond lengths and C==C–O angles are virtually the same (1.37 Å and 115°). Although a shorter central C==C bond (1.33 Å) can be found on the TTF side, the C–S bond lengths (1.75 Å vs. 1.37 Å) and C==C–S bond angles (125° vs. 115°) notably enlarge the macrocycle cavity on the TTF-substituted side consistent with other published thiacrown derivatives.\textsuperscript{32–34} The S atoms are exo to the ring rather than endo, like the oxygens on the other side. The effect of the structure on binding ammonium guests was investigated in our previous paper.\textsuperscript{30}

**Threading experiments**

To test whether these differences in ring annulus lower the barrier to overcome bulky substituents, various sec-ammonium axles were synthesised as tetrakis(3,5-bis(trifluoromethyl)phenyl) borate (BArF\textsubscript{24}\textsuperscript{−}) salts to compensate for the reduced dynamic binding properties of the thiacrown (see ESI section 5\textsuperscript{f} for details).\textsuperscript{29} Using BArF\textsubscript{24}\textsuperscript{−} with respect to PF\textsubscript{6}\textsuperscript{−} results in a 10 to 20-fold increase of the binding constant.\textsuperscript{30} The axles only differ in their terminal groups on one side (shown in blue in Fig. 3). As a guideline for the pseudostopper size and shape literature data available for DB24C8\textsuperscript{−}-based (pseudo-)rotaxanes with PF\textsubscript{6}\textsuperscript{−} counterions was used.\textsuperscript{16,19,35}

The axles were mixed with either DB24C8 or TTFC8 in a 1:1 ratio in CD\textsubscript{2}Cl\textsubscript{2}. 1H NMR spectra were taken at regular intervals to compare the half-lives \(t_{1/2}\) of the threading reactions for 4 mM solutions at room temperature by following the changing integrals of the CH\textsubscript{2}–NH–CH\textsubscript{2} signals (see Table 1 and ESI section 2 Fig. S27–41\textsuperscript{f} for the NMR spectra). Upon threading, the two methylene group signals shift downfield (\(\Delta \delta \geq +0.2\) ppm). Furthermore, diastereotopic splitting of the crown ether methyl groups is observed for the pseudorotaxanes due to the directionality of the axle.\textsuperscript{16,36}

An initial kinetic screening categorizes the axles into three groups. The first group (PA1, PA2, PA4, PA8, PA9 and PA10) shows complete conversion into the threaded complex with TTFC8 and DB24C8 within less than 10 min. The second group (PA5, PA6, PA7 and PA11) reveals half-lives in the range of hours to days. In addition, PA5, PA6 and PA7 form pseudorotaxanes with TTFC8, but not with DB24C8 (even within 14 days). Both crown ethers can, however, pass the 1-naphthalene pseudostopper of PA11 to form threaded complexes. Here, the slightly larger TTFC8 threaded onto the axle approximately 120 times more quickly. Finally, no sign of threading is observed for PA3 neither with DB24C8 nor TTFC8 within 14 days. These results are in good agreement with a recent study by Credi et al.\textsuperscript{19} which also showed the 3,5-dimethyl phenyl moiety to be an efficient stopper in DB24C8 containing rotaxanes\textsuperscript{37–40} and identified the 2,6-dimethyl phenyl moiety as kinetically inert to threading of DB24C8.\textsuperscript{19} Consequently, out of these candidates we chose PA11 (1-naphthyl) as the most suitable pseudostopper for dual-stimuli-induced switching experiments, because threading occurs at time intervals that are conveniently observable by NMR spectroscopy.

In summary, the slight differences in size and shape apparent from the comparison of the crystal structures clearly affect the threading kinetics even though TTFC8 and DB24C8 share the same number of atoms in the wheel circumference.

**Face-to-face complexes vs. pseudorotaxanes**

In order to obtain some orienting insight into the thermodynamic binding properties of PA11, axle PA12 has been synthesized, which allows fast threading of TTFC8 over the phenyl group. The association constant obtained by isothermal titration calorimetry amounts to \(K_a = (3.9 \pm 0.7) \times 10^5\) M\textsuperscript{−1} (\(\Delta G^\circ =

\begin{table}[h]
\centering
\begin{tabular}{lccccccccc}
Entry & PA1 & PA2 & PA3 & PA4 & PA5 & PA6 & PA7 & PA8 & PA9 & PA10 & PA11 \\
\hline
DB24C8 & <10 min & <10 min & >14 days & <10 min & >14 days & >14 days & >14 days & <10 min & <10 min & <10 min & 10 days \\
TTFC8 & <10 min & <10 min & >14 days & <10 min & 50 h & 14 days & 22 h & <10 min & <10 min & <10 min & 2 h \\
\end{tabular}
\caption{Screening of threading timescales in model pseudo(2)rotaxanes PA\textsubscript{n}@MC and their corresponding half-lives \(t_{1/2}\) for DB24C8 and TTFC8 threading monitored by \(^1H\) NMR (4 mM in CD\textsubscript{2}Cl\textsubscript{2}/CDCl\textsubscript{3} 400/500 MHz at r.t.)}
\end{table}

\textsuperscript{a} Axles denoted with “>14 days” were considered to be not suitable for this study. It does not necessarily exclude a threaded complex to be achievable over time with suitable temperature and concentration.
formation as observed in 1H NMR experiments. Obviously, the heat evolution is not consistent with the slow pseudorotaxane threading intervals of 20 min to account for the slow threading. Remarkably, very sharp heat signals were observed. The quick response of the ammonium moiety. These signals vanish slowly over time expressed in a minor shift for the methylene protons next to the ammonium moieties. These signals vanish slowly over time upon collision-induced dissociation (CID), the dual-stimuli responsiveness when pseudo[2]rotaxane PA11@TTFC8 is deprotonated with an excess of polystyrene-immobilized P2 base, quick dethreading is observed (Fig. 5b and ESI section 2 Fig. S32, S34, S36).

\[ \Delta G^\circ = (39.2 \pm 0.4) \text{ kJ mol}^{-1}, \Delta H^\circ = (-33.6 \pm 0.3) \text{ kJ mol}^{-1}, \Delta S^\circ = (-6.2 \pm 1.3) \text{ kJ mol}^{-1}. \]

We therefore assume the binding data for PA11 to be in a similar range.

An ITC experiment with PA11 was also attempted with titration intervals of 20 min to account for the slow threading. Remarkably, very sharp heat signals were observed. The quick heat evolution is not consistent with the slow pseudorotaxane formation as observed in 1H NMR experiments. Obviously, the axle and the crown ether quickly form a non-threaded complex (Fig. 4a), for which an upper limit for \( K_a \) of ca. \( 10^4 \text{ M}^{-1} \) is estimated (see ESI section 5 and Fig. S53†). 1H NMR experiments confirm this face-to-face complex formation that is expressed in a minor shift for the methylene protons next to the ammonium moieties. These signals vanish slowly over time with the appearance of pseudorotaxane signals (Fig. S41†).

Besides the evidence from ITC and NMR experiments, tandem mass spectrometry complements the picture. As one can expect the face-to-face complexes to easily dissociate in the gas phase upon collision-induced dissociation (CID), the dethreading of the pseudorotaxane should require higher collision energies due to the steric barrier caused by the pseudo-stopper. Fig. 4b shows the survival yield curves for PA11@TTFC8 separately prepared and isolated by column chromatography (blue) in comparison to a mixture of PA11 and TTFC8 subjected to the collision experiment 5 (red) and 135 minutes (black) after sample preparation. The red curve is indicative of a complex, which fragments at rather low collision voltages (\( E_{1/2} = 9.8 \pm 0.2 \text{ V} \)). A small amount of 5% of the complex remains intact and half of it is fragmented at ca. 39 V. The blue curve shows only one, more stable complex (\( E_{1/2} = 39.2 \pm 0.4 \text{ V} \)). For the sample taken after 135 min roughly 40% of the complex fragment at the lower collision voltage, while 60% fragment at the higher one. Clearly, two differently stable complexes form, i.e. the instantly forming face-to-face complex and the pseudorotaxane, which increases in abundance over time. This nicely shows the conversion of the instantly formed, but weaker non-threaded complex (lower equilibrium constant \( K_1 \), but higher rate constant \( k_1 \)) into the pseudorotaxane (higher equilibrium constant \( K_2 \), but lower rate constant \( k_2 \)).

### Fig. 4
(a) Schematic representation of the equilibrium for face-to-face complexation which competes with the formation of PA11@TTFC8. (b) Survival yield curves obtained for mass-selected [PA11-TTFC8]+ ions (m/z 1108) at increasing collision voltages. Red curve: sample 5 min; black curve: sample 135 min after mixing of axle and wheel. Blue curve: pre-formed pseudorotaxane isolated by column chromatography before the experiment. The solid lines represent a sigmoidal fitting to determine 50% survival yield voltages \( E_{1/2} \); red: \( E_{1/2} = (9.8 \pm 0.2) \text{ V} \) (for experimental details, see ESI section 3).
and S42‡. No indication for hydrogen bonding of neutral TTFC8 to the neutral axle was observed in the ¹H NMR spectra in contrast to earlier reports on complexes of neutral primary amines.⁴¹ Dethreading is indicated by an emerging set of methylene proton signals (H₄d, H₄e), upfield shifted by Δδ ≈ 0.8 ppm upon deprotonation and dethreading. The pseudo[2]rotaxanes PA5@TTFC8 (2,3-dimethylbenzyl end group), PA6@TTFC8 (2-ethylbenzyl) and PA7@TTFC8 (2-methoxybenzyl) were also subjected to deprotonation. Again, dethreading via deprotonation occurred immediately (see ESI Fig. S42 and Table S1‡).

The pseudorotaxane PA11@TTFC8 can also successfully be oxidized with excess NOSbF6 in dry CD2Cl2 under argon atmosphere. Two subsequent one-electron-oxidation steps lead to the TTF dication PA11@TTFC8²⁺ easily recognizable from its instantaneously appearing deep blue colour. The oxidized macrocycle unthreads with a t₁/₂ of 11 h (Fig. 5b, ESI section 2 Fig. S42‡). As the dication is again diamagnetic, unthreading can also be followed by integrating of a new set of methylene proton signals (Hd,He), upfield shifted by Δδ ≈ 0.3 and 0.6 ppm. This is confirmed by monitoring the dethreading of pseudo[2]rotaxanes PA5@TTFC8, PA6@TTFC8 and PA7@TTFC8 after oxidation. Complete dethreading occurred only for PA7@TTFC8 and required a substantially longer time (t₁/₂ = 22 h, see ESI section 2 Fig. S36‡).

These experiments clearly reveal that the dethreading rate does not only depend on the steric bulk of the axles’ terminal groups, but also change significantly with the nature of the stimulus applied. Earlier, we reported attractive forces to still occur even between the doubly oxidized TTFC8 and secondary ammonium axles.²⁹ Assuming that the ring circumference and conformational flexibility of TTFC8 is not significantly altered upon oxidation³² and taking into account that the oxidation itself occurs almost instantaneously, we therefore hypothesize that these residual interactions are the cause for the slower dethreading after oxidation. Fig. 5a qualitatively visualizes this in the framework of the energy landscapes after oxidation and after deprotonation: The oxidized pseudorotaxane resides in a somewhat lower local minimum on its potential energy surface as compared to the deprotonated pseudorotaxane.

**Synthesis of ‘speed bump’ rotaxanes**

Axle 6 (Fig. 6) features the bulky 1-naphthalene moiety and can easily be equipped with a stopper. After stirring 6 with TTFC8 for three days at 40 °C (for R1, Fig. 6) or S4 (axle of rotaxane R2) with TTFC8 for 10 minutes at room temperature (for R2), nitrile-oxide stopper St1 was added and the mixtures were stirred at 35 °C overnight. Two rotaxanes (R1 and R2) were isolated only differing by the size of the connecting blue ‘speed bump’.

In the ¹H NMR spectra, successful rotaxane formation is evidenced by a downfield shift (Δδ = +0.5 ppm) of the methylene protons next to the ammonium, as well as the strong downfield shift (Δδ = +4.0 ppm) of the former alkyne proton that is incorporated in the newly formed isoxazole (H₄ for R1 and H₄ for R2). Additionally, the methylene protons next to the axle ether oxygen atom (H₄ for R1 and H₄ for R2) shows an upfield shift (Δδ = 1.0 ppm) due to the close proximity to the naphthalene and/or TTF moieties of TTFC8 (see ESI Fig. S19 and S26‡). The interlocked structure was further supported by tandem mass spectrometry. Collision-induced dissociation of mass-selected rotaxane parent ions occurred only at high collision energies. As the intact axle is not observed among the fragments, it is clear that dissociation of the two components is only possible after covalent bond cleavage within the axle, which excludes a non-threaded complex. Also, the collision energies required for dissociation as well as the observed fragment ions are comparable to other similar rotaxanes.²⁹

**Deprotonation of the rotaxanes**

Deprotonation of R1 with polystyrene-immobilized P2 base yields a neutral amine (Fig. 7d) which is indicated by a strong highfield shift of methylene protons H₄d,e (Δδ = 1 ppm solid line). The positions of these signals are similar to those of the deprotonated free axle (Fig. 7e). Other significant shifts
include the isoxazole proton H_p (\(\Delta \delta = -0.5\) ppm), and alkyl chain methylene protons H_i (\(\Delta \delta = +0.7\) ppm solid line). Together with the downfield shift of H_l, this clearly indicates a deprotonation-induced shuttling away from the amine and towards the alkyl chain. No such shift of H_l is observed when free axle \(7^{(6+St1)}\) is deprotonated (Fig. 7a/e). Rotaxane \(R_2\) behaves similarly (Fig. S43 bottom). Variable temperature \(^1\)H NMR experiments (see ESI, Fig. S51 and S52) performed with deprotonated \(R_1\) and \(R_2\) to test whether the two positional isomers of the wheel would be distinguishable unfortunately remained inconclusive.\(^{24,44-50}\) Nevertheless, the NMR data is in agreement with a shift of the wheel across the ‘speed bump’ in the axle centre upon deprotonation.

**Oxidation of the rotaxanes**

The \(^1\)H NMR spectrum of \(R_1\) recorded 10 minutes after oxidation with NOSbF\(_6\) to \(R_1^{2+}\) is shown in Fig. 7b and did not change over the following 48 hours. The methylene protons H_{4,e} next to the ammonium ion undergo only a small upfield shift (\(\Delta \delta = -0.1\) ppm). Also, proton H_i is shifted only by a small amount. As the small shifts can easily be rationalized by the additional charge induced through oxidation, these findings indicate that the wheel still occupies the ammonium station. However, protons H_r and H_s of the dimethoxyphenyl stopper at the opposite end of the axle shift downfield to some extent (\(\Delta \delta = 0.2\) to 0.25 ppm). Although this observation may at first glance seem inconsistent with the interpretation that the wheel remains on the ammonium side of the axle, these shift differences can be easily explained by invoking p-donor-p-acceptor complex formation between the strongly electron-deficient TTF\(^{2+}\) dication and the rather electron-rich dimethoxyphenyl stopper. The \(^1\)H NMR spectrum of the oxidized rotaxane thus indicates that the macrocycle still encircles the ammonium ion, while refolding of the more remote axle half occurs. More evidence can be obtained from cyclovoltammetric (CV) and differential pulse voltammetric (DPV) experiments with which the half-wave potentials of the two reversible TTF redox waves can be obtained. These potentials characteristically shift depending on the interactions between axle and wheel, as shown earlier for TTF\(_C^8\)-containing rotaxanes.\(^{29,51,52}\)

The correlation diagram in Fig. 8a contains the peak potentials obtained by DPV measurements in CH\(_2\)Cl\(_2\) with \(n\)-Bu\(_4\)NBArF\(_{24}\) as the supporting electrolyte. Compared to the free wheel, the redox potentials \(E_1^{(1/2)}(\text{TTF}^{0/2+})\) and \(E_2^{(1/2)}(\text{TTF}^{1/2+})\) are anodically shifted for all species bound to an axle. \(\text{PA12} @ \text{TTF}^8\) is a diagnostic control compound, as two sets of TTF redox processes corresponding to the threaded (\(E_1^{1/2}, E_2^{1/2}\)) and unthreaded wheel (\(E_1^{1/2}, E_1^{1/2}\)) are observed (see ESI Fig. S55). The low
Conclusions

A set of $\text{BARF}_{24}^{-}$ salts of sec-ammonium ions bearing different bulky end groups have been investigated with respect to their ability to form crown ether/ammonium pseudorotaxanes. The small differences in bond lengths between the two crown ethers DB24C8 and TTF8C change the threading barrier significantly and threading occurs significantly faster for TTF8C. Three groups of quickly threading, kinetically hindered slowly threading, and non-threading axles can be distinguished. For the slowly and non-threading axle/wheel pairs, the formation of face-to-face crown ether/ammonium complexes occurs, which has been exemplarily studied in more detail for PA11 by $^1$H NMR, tandem MS and ITC experiments. To our knowledge this is the first time that an equilibrium of a threaded and non-threaded complex was quantitatively studied for the same axle macrocycle combination.

Deslipping of TTF8C from different axles could be achieved by deprotonation of the axle or by chemical oxidation of the macrocycle. Upon deprotonation, the pseudorotaxanes dissociate quickly. Chemical oxidation to the TTF dication lead to much slower deslipping. The difference in deslipping rates for the two stimuli can be explained by residual attractive interaction of the oxidized TTF8C$^{2+}$ and the axle. It is quite remarkable that two different stimuli lead to so clearly different deslipping rates, even though the steric barrier remains unchanged. Clearly, an interplay between the steric barrier and the changes in the interactions between wheel and its binding stations is observed here which complicates the analysis of such steric ‘speed bumps’ in molecular interlocked switches and machines.

The shuttling of the wheel in the rotaxanes on one hand behaves similarly in that the two stimuli cause different effects on the shuttling. But the behaviour is not fully analogous. The comparison of rotaxanes and pseudorotaxanes clearly reveals a significant and non-negligible influence of the mechanical bond. At the end, the shuttling is affected by changing interactions between the wheel and the available binding stations as well as steric barriers on its way along the axle and the particular effects of the mechanical bond.

Conflicts of interest

There are no conflicts of interest to declare.
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