Intranasal inoculation with *Bordetella pertussis* confers protection without inducing classical whooping cough in baboons

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**ABSTRACT**

**Background:** The resurgence of whooping cough in many countries highlights the crucial need for a better understanding of the pathogenesis of respiratory infection by *Bordetella pertussis*. Exposure of baboons to *B. pertussis* by the intranasal and intra-tracheal routes is a recently described preclinical model that reproduces both *B. pertussis* infection of humans and whooping cough disease. Here, we tested both intranasal and intranasal–intra-tracheal exposure routes and assessed their impact on disease development and immunity.

**Methods:** Young baboons were intranasally exposed to the B1917 clinical isolate, representative of circulating strains in Europe, or its green-fluorescent protein expressing derivative. Animals were followed for pertussis symptoms and bacterial colonization and by *in vivo* probe-based confocal laser endomicroscopy (pCLE) imaging. Seroconversion and protection against subsequent infection were then evaluated.

**Results:** Seroconversion and bacterial colonization of both the nasopharynx and trachea was observed in baboons exposed to *B. pertussis* by the intranasal route only, and also in those animals challenged by both the intranasal and intra-tracheal routes together. However, baboons exposed solely by the intranasal route developed only mild clinical symptoms, with no paroxysmal cough. These animals were protected against re-infection by *B. pertussis*.

**Conclusions:** Intranasal exposure of baboons to *B. pertussis* does not induce disease but elicits immune mechanisms that protect them from subsequent exposure to the bacteria. These findings suggest that the intranasal route of inoculation in this non-human primate model could be used in the pre-clinical evaluation of nasal candidate vaccines against pertussis.

**Introduction**

Pertussis, or whooping cough, is mainly caused by *Bordetella pertussis* infection of human airways. Despite high global vaccination coverage with diphtheria-tetanus-pertussis vaccines (85% in 2019 (“WHO | World Health Organization,” n.d.)), this disease still affects millions of young people (24.1 million cases in 2014) annually, leading to 160,700 deaths of children under-five in 2014 (Yeung et al., 2017). The reported frequency of the disease has significantly increased over the last 10 years, even in several high-income countries with excellent vaccine coverage (Locht, 2016). The reason for such a resurgence is still a matter of debate and may include better diagnosis of *B. pertussis* infections due to enhanced surveillance and improved diagnostic tools, strain adaptations, waning of immunity after the introduction of acellular vaccines (aP), and asymptomatic carriage, which favors *B. pertussis* circulation (Locht, 2016).

Recently, the development of a non-human primate (NHP) model of pertussis has improved our understanding of the pathogenesis of whooping cough (Warfel et al., 2012). In contrast to other animal models, young baboons display all clinical symptoms of pertussis disease.

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following *B. pertussis* exposure by combined intranasal and intra-tracheal (IN+IT) routes, including paroxysmal cough and leukocytosis (Warfel et al., 2012). However, the combined administration route may not fully reflect natural transmission, as direct exposure of the trachea may bypass critical innate and adaptive immune effectors present in the nasal cavity. This may also be relevant for future evaluation of the efficacy of new pertussis vaccines (Jahnmatz et al., 2020; Locht, 2017, 2016). In addition, a controlled infection by experimental exposure of human volunteers to the same B1917 *B. pertussis* strain has been recently reported (de Graaf et al., 2017). We consider the predictive value of the Baboon model to be highly relevant for the comparison of infection in the two species using a similar approach.

We aimed to compare the effect of *B. pertussis* exposure by the IN route only versus the combined IN and IT routes on the clinical symptoms of whooping cough, bacterial colonization, and immune responses in young baboons. We also aimed to evaluate protection against subsequent *B. pertussis* re-infection of convalescent animals previously exposed by either of the two approaches.

### Materials and methods

#### Ethics statement

All studies were performed on juvenile *Papio Anubis* (10–16 months) obtained from the *Station de Primatologie* (CNRS, Rousset-sur-Arc, France) and then housed in the facilities of the Infectious Disease Models and Innovative Therapies (IDMIT) center at the “Commissariat à l’Énergie Atomique et aux Énergies Alternatives” (CEA, Fontenay-aux-Roses, France). The animals were used in accordance with French national regulations (facilities authorization Number D92-032-02). The CEA complies with the Standards for Humane Care and Use of Laboratory Animals of the Office for Laboratory Animal Welfare (OLAW, USA) under OLAW Assurance number #A5826–01. The use of NHPs at the CEA complies with the recommendations of European Directive 2010/63 (recommendation N°9). These experiments were approved by the local ethics committee number 44 (statement number A16-041) and approved by the French Ministry of Research and Education (APAFIS#6290–2016071816091539 v3). Experimental procedures (animal handling, bacterial inoculation, and blood sampling) were conducted after sedating the animals with Domitor (Vetoquinol, France, 0.05 mL/kg) and ketamine hydrochloride (Rhône-Mérieux, France, 10 mg/kg). After the experimental procedure, baboons were injected with Antisedan (Vetoquinol, France, 0.05 mL/kg) to facilitate recovery from sedation. For the collection of tissues at necropsy, animals were anesthetized with ketamine and then euthanized by intravenous injection of 180 mg/kg sodium pentobarbital.

#### Bacterial strains and growth media

The *B. pertussis* clinical isolate B1917 was provided by Frits Mooi and came from the RIVM collection (Bilthoven, The Netherlands). This strain is considered representative of a predominant global lineage of *B. pertussis* with the genotype pertussis toxin promoter allele 3, pertussis toxin subunit A allele 1 (*ptxP3-pxtA1*) (Bart et al., 2014).

B1917 iGFP carries an insertion of a 934-bp DNA fragment containing *Ppor* (promoter of *hp0840*), which drives the expression of the green fluorescent protein (GFP) gene inserted within the chromosomal ureB locus. It was obtained as follows. Two DNA fragments flanking the *ureB* locus were obtained by PCR using genomic DNA from *B. pertussis* BPSM (Menozzi et al., 1994) as template and the oligonucleotide pairs 5’-TATAGTTACCTCAGCGCGCCCGATTCGGAT-3’ and 5’-TATAGTGACCGGTGGAGGGACAC-3’. The resulting *Kpn1*-*EcoRI* fragment was then introduced into pSS4940 UreB, yielding pSS4940 UreB::Ppor-GFP. This construct was electroporated (200 ng of DNA at 2.5 kV, 600 Ω, 25μF) into B1917 and used for allelic exchange, yielding B1917 iGFP.

#### Preparation of inoculum and infection of baboons

*B. pertussis* strains were grown on Bordet-Gengou agar plates containing 10% (v/v) sheep blood (BG plates, BD) for 72 h at 37 °C. For growth studies, bacteria were cultivated at 37 °C, as described in Locht et al., in modified Stainer-Scholte medium (Locht et al., 1992) containing cycloheximine (1 g/L) and casamino acids (5 g/L). The optical density OD₆₀₀nm was measured every minute to evaluate bacterial growth using an EloCheck Quatro device (bioronix GmbH). Cultures were performed in duplicate.

#### Bacterial colonization and monitoring of infection

Bacterial load in the nasopharynx was measured twice weekly using flocked swabs in each nostril and then pooled to have and overall estimate of the nasal bacterial content. Bacterial load in the upper section of the trachea was measured weekly using flocked swabs in the trachea. Samples were then collected and resuspended in liquid Amies medium (COPAN, USA). This suspension (50 μL) was plated in duplicate in serial dilutions onto BG blood agar plates. The number of colony-forming units (CFU) per plate was estimated by automatic counting after seven days of incubation at 37 °C. Automatic colony counting was performed using a Scanco 300 colony counter (Interscience, France).

#### Serum IgG quantification by multiplex antibody assay

The quantification of total IgG anti-filamentous hemagglutinin (FHA) and anti-pertussis toxin (PT) was performed by a multiplex antibody assay as described previously (Cole et al., 2020). Briefly, each antigen was biotinylated and linked to unique spots on Meso Scale Discovery U-plex plates. Plates were coated with PT (5 μg/mL) and FHA (25 μg/mL). Serial diluted serum samples were added to plates on which
the antigen-specific antibodies can bind to specific linkers. Detection was performed by the addition of antibodies conjugated to electrochemiluminescent labels (sulfo-tag-conjugated anti-HU/NHP IgG antibody from MSD). Plates were read by placing them into the MSD instrument, which measures the chemiluminescent signal. The MSD Discovery Workbench software was used to calculate the titer for each instrument, which measures the chemiluminescent signal. The MSD body from MSD). Plates were read by placing them into the MSD chemiluminescent labels (sulfo-tag-conjugated anti-HU/NHP IgG anti- 

Assessment of the stability of in vivo fluorescence

The stability of B. pertussis B1917-iGFP fluorescence was assessed in vivo using bacteria recovered from infected baboon nasopharyngeal swabs. Briefly, bacteria from nasopharyngeal swab samples obtained from B1917-iGFP-infected baboons were cultivated for seven days on BG blood agar plates. Bacteria were stained with 4′,6-diamidino-2-phenylindole (DAPI) for 20 min at room temperature and freshly observed by confocal microscopy. Images were acquired using a Nikon-AIR confocal laser-scanning microscope attached to an inverted ECLIPSE-Ti (Nikon, Japan). Ten representative fields were acquired at each time point and the percentage of GFP-positive B. pertussis assessed as the ratio between GFP and DAPI-stained bacteria.

Quantification of coughing

Animal cages were monitored with a video-recording system. The occurrence of cough for each animal was assessed daily during two 3-h periods (6:00–9:00 a.m., 5:00–8:00 p.m.) using Windows Movie Maker software.

In vivo pCLE imaging of the baboon respiratory tract

In vivo probe-based confocal laser endomicroscopy (pCLE) (Cellvizio®, Mauna Kea Technologies, France) with bronchoscopy (Karl Storz Special fibroscope) was performed as described (Naninck et al., 2018; Thiberville et al., 2007). Briefly, the optical miniZ probe was inserted into the working channel of the bronchoscope and imaging sequences captured from the top of the trachea down to the secondary bronchi. B. pertussis B1917-iGFP bacteria were detected at 488 nm. The background signal in this channel was assessed before baboon challenge, in the absence of fluorescent bacteria. In vivo imaging sequences were captured once a week for four weeks, starting seven days before infecting the animals with B. pertussis.

Image analysis

Movies obtained using pCLE were first selected using IC-Viewer software and then exported to ImageJ software (National Institute of Mental Health, USA). Intensity thresholds were fixed to minimize the background to signal ratio. Bacterial colonization of the lower airways was assessed by GFP area quantification. Briefly, the total area of GFP-positive signal was calculated for each imaging time-point using ImageJ Software in 30 images randomly extracted from the pCLE acquisition. No signal size restriction was applied due to the small size of the bacteria.

Statistical analysis

Data are reported as the means ± standard errors for replicate experiments (GraphPad Prism software).

Data availability

All data generated or analyzed during these studies are available from the corresponding author.

Results

Generation and characterization of B. pertussis B1917-iGFP

The B1917 fluorescent derivative B1917-iGFP was analyzed by PCR and found to contain the ptxA1, prn-2, and fim3–2 alleles, as described for the parental strain B1917 (Jiart et al., 2014).

We compared the growth of B1917 and B1917-iGFP in liquid culture before the use of B1917-iGFP for in vivo infection. The growth rate of the GFP-producing bacteria was slightly lower than that of the parental strain (Fig. 1A). Fluorescence of the bacteria freshly grown on BG blood agar plates was assessed by confocal microscopy. B. pertussis B1917-iGFP showed an intense bright signal after excitation with a 488-nm laser (Fig. 1B).

The stability of the in vivo fluorescence was assessed on bacteria recovered from nasopharyngeal swabs of juvenile baboons at various time points after IN exposure to B1917-iGFP. Between 98.2 and 99.8% of all B. pertussis recovered from baboon nasal swab samples was found to be GFP-positive by confocal microscopy at every time point up to 16 days post-infection (Fig. 1C).

Intranasal inoculation of B. pertussis induces infection with mild clinical symptoms in baboons

We assessed the impact of the inoculation route on infection by
Table 1
Repartition of the animal groups (IN: Intranasal, IN+IT: Intranasal and intratracheal).

| Animal ID | Sex | Age at 1st exposure (months) | Inoculation route | Inoculation strain | Inoculation route | Inoculation strain |
|-----------|-----|------------------------------|-------------------|-------------------|-------------------|-------------------|
| AA833BG   | M   | 16                           | None              | None              | IN+IT             | B1917-iGFP       |
| V933BC    | F   | 11                           | IN+IT             | B1917-iGFP       |                   |                   |
| K921AD    | M   | 11                           | IN                | B1917-iGFP       |                   |                   |
| PA963A    | F   | 13                           | None              | None              | None              | None              |
| PA963D    | F   | 16                           | IN                | B1917             | IN+IT             | B1917-iGFP       |
| K921A     | F   | 10                           | IN                | B1917             | IN+IT             | B1917-iGFP       |
| PA997BA   | M   | 10                           | None              | None              | None              | None              |
| V904BC    | M   | 10                           |                   |                   |                   |                   |

Fig. 2. Clinical symptoms of pertussis in baboons after B1917 or B1917-iGFP challenge by the intranasal (IN) only (gray & green curves) or intranasal or intratracheal (IN+IT) routes (blue curves). (A) Nasopharyngeal and tracheal B. pertussis colonization was estimated by plating swabs on Bordet Gengou blood agar plates. (B) Episodes of paroxysmal cough recorded per hour. (C) Circulating white blood cell numbers, (D) lymphocyte numbers, (E) and anti-FHA IgG and anti-PT IgG titers determined over time.
B. pertussis by exposing juvenile baboons, aged 10 to 16 months, to \(10^7\)–\(10^8\) CFU of B1917-iGFP or B1917, either using the combined IN+IT route (\(n=2\)) or the IN route only (\(n=6\)) (Groups described in Table 1). All animals showed nasopharyngeal bacterial colonization starting from day 2 post-challenge and lasting for at least three weeks, with the peak of bacterial CFU counts occurring during the first two weeks after exposure (Fig. 2A).

All baboons also showed tracheal bacterial colonization, at least in the upper part of the trachea, with no major differences between groups. As previously shown for wild-type bacteria (Naninck et al., 2018; Warfel et al., 2014, 2012), animals exposed to the recombinant bacteria by the combined IN+IT route developed a typical B. pertussis infection, characterized by paroxysmal cough, with a peak of 20 coughs per hour during the second week of infection (Fig. 2B, blue), and leukocytosis, with a peak of \(19.4 \times 10^3\) cells/\(\mu L\) two weeks post-challenge (Fig. 2C, blue bars). Among the white blood cells (WBCs), we detected a trend towards an increase in the number of circulating lymphocytes, with a peak of \(6.8 \pm 2.9 \times 10^3\) cells/\(\mu L\) (IN, B1917) and \(5.5 \pm 1.6 \times 10^3\) cells/\(\mu L\) (IN, B1917-iGFP) two weeks post-challenge (Fig. 2D). These results indicate that the pathogenicity of the modified B1917-iGFP bacteria was not affected.

On the contrary, animals challenged by the IN route only developed only mild cough, regardless of the strain used, with a maximum of 2 to 3 coughs per hour (Fig. 2B, green & gray bars). The level of circulating WBCs increased slightly, with a peak of \(14.1 \pm 6.6 \times 10^3\) cells/\(\mu L\) and \(9.4 \pm 1.5 \times 10^3\) cells/\(\mu L\) for baboons challenged by the IN route only with the B1917 and B1917-iGFP strains, respectively (Fig. 2C, green & gray bars). Among the WBCs, we detected a small increase in the number of circulating lymphocytes, with a peak of \(6.8 \pm 2.9 \times 10^3\) cells/\(\mu L\) (IN, B1917) and \(5.5 \pm 1.6 \times 10^3\) cells/\(\mu L\) (IN, B1917-iGFP) two weeks post-challenge (Fig. 2D).

We detected B. pertussis-specific IgG antibodies (anti-PT and anti-FHA) in the serum starting from week 2 (for anti-FHA antibodies) or week 3 (for anti-PT antibodies) post-exposure for both groups challenged with B1917-iGFP and for the group challenged with B1917, with no major differences in kinetics between the IN and IN+IT animals (Fig. 2E-F), we then considered that these animals seroconverted. Overall, these results show that B1917 and B1917-iGFP can colonize the upper respiratory tract of baboons after IN inoculation with kinetics and levels equivalent to the IN+IT inoculation route. However, we observed only mild clinical symptoms when animals were exposed by the IN route only.

Fig. 3. (A) In vivo tracheal imaging of B1917-iGFP B. pertussis (green) by pCLE imaging at baseline, and 7, 14, and 21 days post challenge. (B) Evolution of the GFP signal area in animals after intranasal (IN) or intranasal and intratracheal (IN+IT) inoculation.
We used in vivo bronchoscopy coupled with pCLE imaging along the trachea of naïve and B. pertussis B1917-iGFP-infected baboons to better characterize the features of tracheal bacterial colonization observed in animals exposed by the IN route only (Fig. 3), as previously described (Naninck et al., 2018). This in vivo imaging modality was reproducibly performed without affecting oximetry or the cardiac rate.

The imaging procedure allowed us to detect bacteria deeper in the trachea, which cannot be accessed using conventional swabs. We detected GFP signals, corresponding to bacterial biofilms or aggregates as already described (Naninck et al., 2018), all along the epithelia of the
trachea for all infected animals starting at D7 or D14 for animals inoculated by the IN or IN+IT routes, respectively, and up to D21 post exposure (Fig. 3A). There was no statistical difference (Mann Whitney t-tests) between the estimated tracheal colonization assessed by the fluorescence signal area, regardless of the inoculation route and the time post exposure (Fig. 3B).

**Infection by the in route with B. pertussis prevents subsequent B. pertussis infection**

We evaluated the effect of a first challenge on a second exposure four months after the primary infection by re-exposing convalescent baboons to the same bacterial stock of *B. pertussis* B1917-iGFP (*n* = 2) or B1917 (*n* = 3) by the IN+IT route. One naïve animal was also exposed with the same B1917-iGFP inoculum by the IN+IT route and served as a positive control (Group description in Table 1). All convalescent animals were protected from *B. pertussis* colonization of the nasopharyngeal (swabs), tracheal (swabs), and pulmonary (BAL) compartments, even baboons previously challenged by the IN route only (Fig. 4A). Only the control animal was colonized and developed classical paroxysmal cough, whereas the convalescent baboons did not cough upon the second exposure (Fig. 4B, red). Furthermore, none of the convalescent baboons showed an increase in circulating WBCs, whereas the lymphocyte numbers increased in the control animal (Fig. 4B), as expected. The second *B. pertussis* challenge was followed by similar increases (about 10-fold increase in IgG titters from the pre-reexposure levels to week 1 post re-exposure IgG titters) in serum anti-PT and anti-FHA IgG antibodies in all convalescent animals, regardless of their initial inoculation route (Fig. 4C), showing that even in the absence of detectable colonization, second exposure to *B. pertussis* boosted the antibody responses in animals primed by a first infection.

**Discussion**

Recent pertussis outbreaks in several countries (“Pertussis Epidemic — California, 2014,” n.d.) have underlined the crucial need for improved prevention strategies against whooping cough. Despite high vaccination coverage, this disease still occurs in cycles every 2 to 5 years (Cherry, 1999). The pathogenesis of pertussis has been studied using a variety of animal models, including mice, rabbits, rats, guinea pigs, and newborn swine (Elahi et al., 2007, 2005; Higgs et al., 2012). However, none of these preclinical models reproduced the full spectrum of pertussis clinical symptoms, especially paroxysmal cough. The recently described baboon model of whooping cough developed by Warfel et al. [4] has the important advantage of reproducing all classical pertussis symptoms, including leukocytosis and paroxysmal cough and *B. pertussis* transmission. This model is a major breakthrough for the study of pertussis pathophysiology and provides experimental support for the hypothesis of asymptomatic carriage of *B. pertussis* in individuals vaccinated with acellular pertussis vaccines (Warfel et al., 2014). In this NHP model, animals are exposed to the pathogen by both the IN and IT routes. In humans, however, pertussis is acquired by IN exposure only and in a recently developed human challenge model of *B. pertussis* infection, solely the IN route was used to reflect natural infection (de Graaf et al., 2017). Here, we studied the effect of *B. pertussis* exposure by the IN route only in young baboons to link the NHP challenge model to the human challenge model and likely the natural infection. Juvenile baboons exposed to the pathogen by the IN route only showed colonization of the nasopharyngeal cavity and trachea, with no major differences compared to the IN+IT baboon infection model. The data were obtained by conventional swab sampling and microbiological cultures, as well as detection of GFP-expressing *B. pertussis* in the trachea by in vivo pCLE imaging. In contrast to the swab sampling method, this in vivo imaging technology also allowed us to detect the presence of bacteria in the lower respiratory tract, which may be due to progressive bacterial colonization down the airways during disease progression. This is consistent with the fact that bacteria have been found in the lungs of patients with fatal pertussis (Paddock et al., 2008). However, the presence of *B. pertussis* in the lower respiratory tract may also be due to the inoculation process, in which 1 mL of liquid bacterial suspension was introduced into the nares, as this liquid can mechanically drip down the airways. An alternative method may be to use aerosolized bacteria, as often used for mice (Misik et al., 2017).

The inoculation of young baboons with *B. pertussis* B1917-iGFP or B1917 by the IN route only resulted in reduced clinical pertussis symptoms, with little cough and a smaller increase in leukocyte and lymphocyte counts than that observed for exposure by the IN+IT routes. This may be due to the human host-specificity of *B. pertussis* and lower virulence of this pathogen in baboons to induce pathology when exposed by the IN route only. Serological examination of the animals revealed *B. pertussis* antigen-specific antibody production starting 2 to 3 weeks following exposure by the IN route, with no major differences relative to the IN+IT inoculation model. Furthermore, re-exposure of animals by the IN+IT routes with *B. pertussis* B1917-iGFP did not lead to colonization or clinical pertussis symptoms in previously intranasally exposed baboons. These data confirm published data on the protection of animals following previous IN+IT exposure (Warfel et al., 2014). However, we additionally show that full protection can also be obtained in baboons infected by the IN route only.

Overall, our data show that exposure of young baboons to *B. pertussis* by the IN route induces specific immune responses and confers protection against subsequent *B. pertussis* exposure, at least in the short term. This inoculation model may therefore be useful for future pre-clinical evaluation of nasal candidate vaccines against pertussis.

**Author contributions**

R.L.G.: Funding acquisition. C.C., R.L.G., C.L., N.R., V.C., and T.N.: Conceptualization T.N., S.L., L.C., A.H.R., and M.P.: Data curation. T.N. and V.C.: Formal analysis. C.C. and R.L.G.: supervision. T.N., L.C., C.C., C.L., and R.L.G. co-wrote the manuscript. All authors reviewed and accepted the manuscript.

**Declaration of interests**

Aurélie Hébert-Ribbon, Magali Pelletier and Nathalie Reveneau are Sanofi employees and may hold shares and/or stock options in the company. The other authors declare that they have no competing interests.

**Declaration of Competing Interest**

The authors declare no conflict of interest.

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