Improved AIMD- A Mathematical Study

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Abstract: One of the crucial elements in the Internet is the ability to adequately control Congestion. AIMD (Additive Increase Multiplicative Decrease) is the best algorithm among the set of liner algorithms because it reflects good efficiency as well as good fairness. Our Control model is based on original approach of AIMD. In this paper we introduce improved version of AIMD. We call our approach improved AIMD. We are also including various inherent properties of Congestion Control i.e. Fairness, Responsiveness, Smoothness and efficiency.

Key words: Congestion control, TCP friendly, liner algorithms, RTTs

INTRODUCTION

Congestion Control in the Internet was introduced in the late 1980s by Van Jacobson[1]. A network is considered congested when too many packets try to access the same route, resulting in an amount of packets being dropped. In this state, the total load exceeds the capacity of the network. During congestion, actions are taken both by transmission protocols and network router in order to avoid a congestive collapse ensure network stability, efficiency and fair resources allocation of bandwidth. During a time of collapse, only a fraction of bandwidth is utilized and remaining is wasted.

In the last few years, many congestion control algorithms have been introduced[1-4]. Since the dominant Internet flow is TCP based[5], it is widely accepted that new algorithm should be TCP friendly. A System is said to be TCP friendly if Non TCP and TCP flow have approximately the same data-transferring rate (in terms of packets per second) under same conditions[6,7]. The following are the basic properties of congestion control protocol.

Efficiency: It is the average flows throughput per round trip time (RTT) when system is in equilibrium. System is said to be in equilibrium when each flow shares same window.

Smoothness: It is magnitude of oscillations during decrease step[8].

Responsiveness: It is number of RTTs required for the system to achieve equilibrium[9].

Fairness: Every flow uses equal share of bandwidth.

There are a number of linear algorithms introduced till now. In linear algorithm increasing factor and decreasing factor varies linearly. e.g. AIMD[8] (Additive increase/ Multiplicative decrease) MIMD[9] (Multiplicative increase/ Multiplicative decrease), MIAD[9] (Multiplicative Increase/ Additive Decrease) and AIAD[9] (Additive Increase/ Additive Decrease). But long-term fairness is achieved by AIMD[9]. Our proposed work is related to AIMD family wherein we present an improvement of AIMD algorithms that improves fairness as well as efficiency.

AIMD congestion control basic technique and system model: Chiu and Jain provide a theoretical justification for favoring AIMD[3]; according to their analysis of linear adjustment algorithm for a simple feedback model, AIMD yields the quickest Convergence to efficiency –fair states[9].

Within the class of increase decrease method; we specifically focus on the class of Additive Increase and Multiplicative Decrease (AIMD). In AIMD when system responds to congestion, used Bandwidth (Window) is multiplied by some factor (Decrease step) and in the absence of Congestion used Window is increased by some factor (Increase Step). Suppose these factors are a and b respectively. Many researchers have proved \(a = 1\) and \(b = \frac{1}{2}\) for best utilization of channel.

Obviously we follow these factors. But in our proposed work these are implemented in such a way that system gives better efficiency than that of previous works.

Our system is binary and synchronized. System is synchronized because every user has same RTT and the system gives feedback simultaneously for each user. The system feedback is 1 when window is available. Our system model is defined in following figure that is based on assumption of Chiu and Jain model[3].
The system has \( n \) users \( U_1, U_2, U_3, \ldots, U_n \) and shares \( W_1, W_2, W_3, \ldots, W_n \) windows and network window size is given by \( W \). If there is congestion, system gives 0 response otherwise system gives 1. If feedback is 1, then \( a = 1 \) is increased in all user windows, if feedback is 0 then \( b = \frac{1}{2} \) is multiplied in all users window.

**A pseudocode of improved AIMD:** Let us assume network capacity (Window size) is \( W \). For simplicity let us assume we have two flows system \( f_1 \) and \( f_2 \). Initially let flows \( f_1 \) and \( f_2 \) contain \( x \) and \( y \) window respectively. With out loss of generality we assume that \( x < y \) and \( x + y < W \) furthermore, we are assuming that system converges to ‘fair’ in ‘m’ cycle. In 1st cycle Pseudocode is given by:

**Flow f1**

- \( x \)
- \( x + 1 \)
- \( x + 1 + \ldots k_1 \) times

**Flow f2**

- \( y \)
- \( y + 1 \)
- \( y + 1 + \ldots k_1 \) times

It gives

\[
x + k_1
\]

Thus total flow is \( x + y + 2k_1 \). (1)

It is clear in 1st cycle that system has \( k_1 + 1 \) Round Trip Time (RTTs) or steps. Let \( x + y + 2k_1 \geq W \) then there is Congestion and system gives 0 feedback. Now we will use decrease step. In 2nd cycle Pseudocode is given by:

**Flow f1**

- \( x + \frac{k_1}{2} \)
- \( x + \frac{k_1 + 1}{2} \)
- \( x + \frac{k_1 + 1 + 1}{2} \)
- \( x + \frac{k_1 + 1 + 1 + \ldots k_2}{2} \) times

**Flow f2**

- \( y + \frac{k_1}{2} \)
- \( y + \frac{k_1 + 1}{2} \)
- \( y + \frac{k_1 + 1 + 1}{2} \)
- \( y + \frac{k_1 + 1 + 1 + \ldots k_2}{2} \) times

It gives

\[
x + k_1
\]

Thus total flow is \( \frac{x + y}{2} + 2k_1 + 2k_2 \). (2)

Obviously 2nd cycle contains \( k_2 + 1 \) RTT. Let \( \frac{x + y}{2} + 2k_1 + 2k_2 \geq W \) then system gives ‘0’ feedback. Obviously we will use decrease step. In 3rd cycle Pseudocode is given by:

**Flow f1**

- \( x + \frac{k_1}{2} + k_2 \)
- \( x + \frac{k_1 + k_2 + 1}{2} \)
- \( x + \frac{k_1 + k_2 + 1 + 1}{2} \)
- \( x + \frac{k_1 + k_2 + 1 + 1 + \ldots k_3}{2} \) times

**Flow f2**

- \( y + \frac{k_1}{2} + k_2 \)
- \( y + \frac{k_1 + k_2 + 1}{2} \)
- \( y + \frac{k_1 + k_2 + 1 + 1}{2} \)
- \( y + \frac{k_1 + k_2 + 1 + 1 + \ldots k_3}{2} \) times

It gives

\[
x + k_1 + k_2
\]

Thus total flow is \( \frac{x + y}{2} + 2k_1 + 2k_2 + 2k_3 \). (3)
Here 3rd cycle contains \( k_3 + 1 \) RTTs. Let \( \frac{x}{2^m} + \frac{y}{2^m} + 2k_1 + 2k_2 + 2k_3 \geq W \) then system gives 0 feedback. Obviously we will use decrease step. Similarly at \( m \)th cycle we have:

Flow f1

Flow f2

\[
\frac{x}{2^{m-1}} + k_1 + k_2 \ldots k_m
\]

\[
\frac{y}{2^{m-1}} + k_1 + k_2 \ldots k_m
\]

Thus total flow is \( \frac{x}{2^{m-1}} + \frac{y}{2^{m-1}} + 2k_1 + 2k_2 \ldots 2k_m \). Suppose \( m \)th cycle points to equilibrium that is all flows share fair allocation of resources.

The algorithmic approach when initial window size of 2 flows and Window size are \( x, y \) and \( W \) respectively, is given by:

AIMD (\( x, y, W \))

\[
\begin{align*}
\{ & \\
& z = x + y // z \text{ denotes used Capacity of Network.} \\
& k = 1, t = 1 // k \text{ denotes numbers of RTTs while (1)} \\
& \{ \\
& k = k + 1 \\
& z = x + y + 2t \\
& t = t + 1 \\
& \text{if}(z >= W) \\
& \{ \\
& x = \frac{z}{2} \\
& y = \frac{z}{2} \\
& z = x + y + 2t \\
& k = k + 1 \\
& \}
\}
\end{align*}
\]

Total number of packets in various cycles: In 1st Cycle, total number of packets is given by:

\[
x + (x + 1) + (x + 2)\ldots(x + k_1) + \\
y + (y + 1) + (y + 2)\ldots(y + k_1) \\
= (k_1 + 1)(x + y) + 2(1 + 2 + 3\ldots k_1) \\
= (k_1 + 1)(x + y) + 2k_1\left(\frac{k_1 + 1}{2}\right)
\]

But from Cycle 1st we have \( x + y + 2k_1 = W \)

Therefore \( x + y + k_1 = W - k_1 \)

Thus total numbers of packet is given by

\[
(1 + k_1)(W - k_1)
\]

In 2nd Cycle, total number of packets is given by:

\[
(\frac{x}{2} + k_1) + (\frac{x}{2} + k_1 + 1) + (\frac{x}{2} + k_1 + 1 + 1)\ldots + (\frac{x}{2} + k_1 + k_2) + (\frac{y}{2} + k_1) + (\frac{y}{2} + k_1 + 1)\ldots + (\frac{y}{2} + k_1 + k_2)
\]

After solving the equation we have:

\[
(1 + k_2)(\frac{x}{2} + \frac{y}{2} + 2k_1 + k_2)
\]

But from 2nd cycle we have

\[
(\frac{x}{2} + \frac{y}{2} + 2k_1 + k_2) = W
\]

Therefore \( x + y + 2k_1 + k_2 = W - k_2 \)

Thus total number of packets is given by:

\[
(1 + k_2)(W - k_2)
\]

Similarly in 3rd cycle, total number of packets is given by:

\[
(1 + k_3)(W - k_3)
\]

Similarly \( m \)th cycle, total number of packets is given by:

\[
(1 + k_m)(W - k_m)
\]

Thus total number of packets in all cycles is given by:

\[
(1 + k_1)(W - k_1) + (1 + k_2)(W - k_2) + (1 + k_3)(W - k_3) + \ldots + (1 + k_m)(W - k_m)
\]

Relationship between RTTs in various cycles. From equation 1 and 2 we have

\[
x + y + 2k_1 = \frac{x}{2} + \frac{y}{2} + 2k_1 + 2k_2 \\
\]

\[
k_2 = \frac{1}{4}(x + y)
\]

But from equation 1 we have:

\[
x + y + 2k_1 = W
\]
From equations 2 and 3 we have:
\[
\begin{align*}
\frac{x}{2} + \frac{y}{2} + 2k_1 + 2k_2 &= \frac{x}{2^2} + \frac{y}{2^2} + 2k_1 + 2k_2 + 2k_3 \\
k_3 &= \frac{1}{8}(x + y) \\
k_3 &= \frac{1}{2}k_2 
\end{align*}
\]

From equations 3 and 4 we have:
\[
\begin{align*}
2k_1 &= \frac{y}{2^{m-1}} + k_3 + k_1 + \ldots + k_{m-1} \\
2k_2 &= \frac{x}{2^{m-1}} + \frac{n}{2^{m-1}} - \frac{x}{2^{m-1}} - \frac{y}{2^{m-1}} \\
n &\approx 2^{m-1} \Rightarrow n \approx 1 + \log(n)
\end{align*}
\]

Analysis: In the analysis we specify basic factors of Congestion Control such as fairness, efficiency, responsiveness and smoothness respectively.

Fairness: One of the interesting properties of AIMD algorithm that we introduce in this paper is the ability of a scheme to approach to fairness monotonically, i.e. the fairness during interval ‘i’ is given by \(f_i = \frac{x_i}{y_i}\), 0 <= \(f_i <= 1\), then the following conditions should be satisfied.

\[\forall i: f_i + 1 >= f_i \text{ and } \lim_{i \to \infty} f_i = 1\]

Without loss of generality we are assuming that \(y = x + n\). At the end of 1\(^{st}\) cycle, fairness ratio is given by:
\[
\frac{(x + k_1)}{(y + k_1)} = \frac{(x + k_1)}{(x + n + k_1)} = 1 - \frac{n}{(x + n + k_1)}
\]

Similarly at the end 2\(^{nd}\) cycle, fairness ratio is given by:
\[
1 - \frac{n}{\left(\frac{1}{2} + \frac{1}{2} + k_1 + k_2\right)} \leq \frac{1}{2} \left(\frac{1}{2} + \frac{1}{2} + k_1 + k_2\right) \leq 1
\]

It means number of RTTs is fixed in each cycle i.e. \(k_i = \frac{W}{4}\) for \(i >= 2\).

In AIMD algorithm \(k_i\) is defined as \(k_i = \frac{W}{4}\) for \(i >= 2\). But in our approach \(k_i = \frac{k_{i-1}}{2}\) for \(i >= 3\). It means number of RTTs in each cycle are half of its previous cycle for \(i >= 3\). Obviously we have less number of RTTs.

Smoothness: Smoothness is reflected between \(i\) and \(i + 1\) cycle as:
\[
\frac{x}{2^{i+1}} + \frac{y}{2^{i+1}} + 2k_1 + 2k_2 + \ldots 2k_i \approx \frac{x}{2^i} + \frac{y}{2^i} + 2k_1 + 2k_2 + \ldots 2k_i
\]

Where \(\frac{x}{2^i} + \frac{y}{2^i} + 2k_1 + 2k_2 + \ldots 2k_i\) is number of packets at the end of \(i^{th}\) cycle and smaller than \(\frac{n}{(x + n + k_i)}\). Similarly we can find fairness ratio for remaining cycle.

According to these results we can say that our system converge to monotonic fairness. There is one interested question here how much cycles are required for fairness. We have following reasoning for it.

Since every time both \(x\) and \(y\) are divided by 2 of its previous value and equal constant are added in both flows. Thus system can never reach equilibrium if we assume float arithmetic. In Integer arithmetic we are assuming that system reaches fairness in \(m\) cycle. It indicates that

\[
\frac{y}{2^{m-1}} + \frac{x}{2^{m-1}} + \frac{n}{2^{m-1}} - \frac{x}{2^{m-1}} - \frac{y}{2^{m-1}} \approx 1
\]

Obviously Convergence to fairness of Improved AIMD is faster than that of AIMD.

Responsiveness: Numbers of RTTs required for equilibrium (Responsiveness) is measured as:
\[
(1 + k_1) + (1 + k_2) + (1 + k_3) \ldots (1 + k_m) = m + (k_1 + k_2 + k_3 \ldots k_m)
\]

In AIMD algorithm \(k_i\) is defined as \(k_i = \frac{W}{4}\) for \(i >= 2\).
\[
\left( \frac{x + \frac{y}{2} + 2k_1 + 2k_2 + \ldots + 2k_i}{2} \right) \text{ is number of packets at the beginning of } (i + 1)\text{th cycle. System becomes smoother if } i \text{ is increased.}
\]

It indicates that if numbers of cycle/RTTs (Responsiveness) are more, then smoothness becomes less. If Responsiveness is less then smoothness becomes more. This will be clearer from following example.

| Smoothness | Responsiveness |
|------------|----------------|

Figure between responsiveness and smoothness

**Efficiency:** The average efficiency is an interesting and important property of a Congestion Control system. It is desired that the system achieve higher efficiency. First of all we develop an expression for average efficiency of all cycles i.e. 1\textsuperscript{st} cycle to equilibrium cycle. We know that total numbers of packets in 1\textsuperscript{st} cycle are \((1 + k_1)(W - k_1)\). Since we have \((1 + k_1)\) RTTs. Now we are interested the total numbers of packets in all m cycles. This is measured as:

\[
(1 + k_1)(W - k_1) + (1 + k_2)(W - k_2) + \ldots + (1 + k_m)(W - k_m) = mW + (W - 1)(k_1 + k_2 + \ldots + k_m) - (k_1^2 + k_2^2 + \ldots + k_m^2)
\]

Solving this equation in term of \(k_1\), we have:

\[
= mW + (W - 1)(k_1 + k_2 + \ldots + k_m) - mW + (W - 1)(k_1 + k_2 + \ldots + k_m)
\]

Thus average throughput in all m cycle can be achieved dividing above equation by \(W(k_1 + k_2 + \ldots + k_m)\) We have:

\[
\frac{W}{m} \left( \frac{W - 2k_1}{4} \left( -1 + \frac{1}{2} \left( 1 - \frac{m}{2} \right) \right) \left( 2 + \frac{1}{12} \left( W - 2k_1 \right)^2 \left( 1 - \frac{m}{2} \right) \right) \right)
\]

Average throughput in the equilibrium cycle (efficiency) is given by:

\[
\frac{(1 + k_m)(W - k_m)}{W(1 + k_m)} = \frac{1 - k_m}{W} = \frac{1 - k_2}{2^{m-2}W} = 1 - \left( \frac{W - 2k_1}{4} \right) \left( \frac{1}{2^{m-2}W} \right)
\]

**Example:** Let the Network have \(W = 500\) and two users with initial loads of \(x = 10\) and \(y = 140\)

**Solution:** Efficiency is given by

\[
1 - \left( \frac{W - 2k_1}{4} \right) \left( \frac{1}{2^{m-2}W} \right)
\]

Given \(W = 500, x = 10\) and \(y = 140\)

\[
k_1 = \frac{W - x - y}{2} = 175
\]

\[
m = 1 + \log(n) = 1 + \log \left( \frac{y - x}{8} \right) = 8 \text{ (Integer Arithmetic)}
\]

Efficiency = \(1 - \left( \frac{150}{4} \right) \left( \frac{1}{64 \times 500} \right) = .9989 \text{ or } 99.89\%
\]

**CONCLUSION AND FUTURE WORK**

In this paper we presented and evaluated a new algorithm of AIMD family of congestion management, called Improved AIMD. It generalizes during increasing step \(x = x + k\) and on decreasing step \(x = x + k/2\). It converges to fairness in \(1 + \log (n)\) approximately. This is the best result in AIMD family. Responsiveness is reflected as very good because \(k_1 = \frac{k_{i-1}}{2}\) for \(i \geq 3\). It gives smoothness \(\frac{x + y}{2^i}\). Furthermore efficiency in equilibrium cycle is given by

\[
1 - \left( \frac{W - 2k_1}{4} \right) \left( \frac{1}{2^{m-2}W} \right)
\]

From above numerical figure it gives more than 99% efficiency. It is compare to the improved\([10]\). The issue that we have not included is the impact of different arrival time of each flow. It means that any flow can join the Network at any time. But in our work we assumed that arrival time of each flow is same. We will consider different arrival issue for future study.
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