Examining the Quality of Life Related to Fall Experience in Chronic Stroke Patients

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| Abstract |

PURPOSE: The purpose of this study was to investigate the quality of life related to fall experiences in chronic stroke patients.

METHODS: This cross-sectional study included 117 patients with stroke from 3 hospitals in D metropolitan city. General characteristics, including fall experiences and quality of life, were assessed through a face-to-face interviews conducted in a quiet place using a questionnaire. Measurement of quality of life in stroke patients was conducted using the Korean Stroke Specific Quality of Life Scale (SS-QOL). To identify the SS-QOL items related to fall experiences, the items of the SS-QOL were considered as independent variables, and the variables that were significantly different according to fall experiences were identified using a univariate analysis. A binary logistic regression was then performed using fall experiences as the independent variable.

RESULTS: According to the univariate analysis, self help activities, social role, and upper extremity function were significantly lower in the fall group than that in the non-fall group (p<.05). The findings of the binary logistic regression confirmed that social roles and upper extremity function were the SS-QOL items that were related to fall experience in chronic stroke patients.

CONCLUSION: These findings suggest that social roles and upper extremity function may be risk factors for fall experience in patients with chronic stroke.

Key Words: Fall, Quality of life, Chronic stroke

I. Introduction

Falls occur frequently in stroke patients due to several dysfunctions such as muscle weakness, decreased balance, impairment of gait, poor cognitive function, and reduction in spatial sense (Rapport et al., 1993).

Falling was defined as falling onto the ground (Ory et al., 1993). It is the most frequent accident among the elderly and in patients with neurological disorders (Wada et al., 2007). Stroke patients seem to be vulnerable to falls due to the lack of strength to hold their postural sway. Thus, long response latency for postural sway is needed for stroke patients (Ikai et al., 2003). The incidence of falls in patients with neurological disorders was two times higher than that in a control group with no neurological disorders (Stolze et al., 2004). Falls in stroke patients could
lead to a delay in the recovery of balance and motor function, musculoskeletal diseases, and even death (Ramnemark et al., 2000; Poole et al., 2002).

Stroke patients who experienced falls at least once have poor balance and low ability to perform activities of daily living (Teasell et al., 2002). Several studies have reported that balance ability and training are risk factors for falls in stroke patients (Lamb et al., 2003; Mackintosh et al., 2006; Simpson et al., 2011). Balance-related interventions on various surfaces have been implemented in trying to prevent falls in stroke patients (Cheng et al., 2001; Vearrier et al., 2005).

However, there are limitations of balance training for prevention of falls since possible falls may occur during balance exercise (English et al., 2007), which are closely related to psychological and environmental factors (Jørgensen et al., 2002; Simpson et al., 2011). Worsening depressive symptoms raised the possibility of falls in patients with stroke (Jørgensen et al., 2002). Stroke patients who returned home after discharge from the hospital had a higher risk of falls than did the control group without neurological diseases (Simpson et al., 2011).

The Stroke Specific Quality of Life Scale (SS-QOL) evaluates the physical, psychological, and social aspects of stroke patients (Williams et al., 1999). The Barthel Index and the MOS 36-item Short Form Health Survey (SF-36) have been used to assess the result of interventions for stroke patients (Duncan et al., 2000; Kwok et al., 2006). Stroke patients with aphasia could have good results on these tools since they do not include items for language assessment. The Health-Related Quality of Life (HRQOL) assesses the overall health status in the physical, psychological, and social aspects, but it does not evaluate the representative characteristics of upper extremity function and communication dysfunction in stroke patients. Therefore, the SS-QOL (Williams, 1998; Williams et al., 1999) was utilized in the present study.

In studies on fall-related factors in stroke patients, attention-deficit, poor balance, and poor ability in performing activities of daily living have been found to be related to falls (Teasell et al., 2002; Hyndman and Ashburn, 2003), whereas the outcomes were not obtained by assessing the overall characteristics of patients with chronic stroke. To date, there has been minimal research regarding fall-related factors across the nation and worldwide. Experiences of falls in stroke patients cannot be explained by balance and gait speed (Harris et al., 2005). Thus, this study was performed to identify the characteristics and the effects of SS-QOL items on fall experiences in chronic stroke patients, and to supply baseline data for the prevention and reduction of falls using the SS-QOL.

II. Methods

1. Participants

The subjects of the present study were 117 patients who had been hospitalized in Hospital A, B, and C, located in Gyeonggi-do province, South Korea. Written informed consent was obtained. The subjects were divided into two groups: fall group and non-fall group based on the fall experiences reported in the questionnaires. The inclusion criteria were: patients who had had a stroke at least six months prior to the data collection, had no neurological and musculoskeletal diseases, had no unilateral neglect, and were communicative. The subjects were selected from among those whose score on the mini-mental state examination Korean version was 24 or higher (Folstein et al., 1975). The subjects who could walk without assistance, or had the ability to walk 8 m with an assistive device were included (Harris et al., 2005).

Six patients were excluded because physical therapists who were responsible for evaluations at each hospital
confirmed additional musculoskeletal disease (n=4) and more than one occurrence of stroke (n=2).

2. Experimental design

This was a cross-sectional study conducted at General Hospital A in Seoul, and Hospital B and C in Gyeonggi-do province, from February to April in 2016. The subjects filled out the survey in a quiet consultation room through face-to-face interviews. The survey was conducted by those who had worked for more than 5 years as physiotherapists. The therapists were fully aware of the purpose, content, and method of the study, and were educated about the survey.

3. Measurements tools and methods

The SS-QOL and a questionnaire on general characteristics were used in order to analyze the factors that influence falls in chronic stroke patients.

1) Descriptive questionnaire on general characteristics

A questionnaire on general characteristics was used for assessing falls in patients with chronic stroke, including age, gender, etiology, region of lesion, education level, fall location, spouse, and fall experience.

2) The Stroke Specific Quality of Life (SS-QOL) scale

The SS-QOL (Williams et al., 1999), translated and standardized by Moon (2003), was used to assess the quality of life of chronic stroke patients. The Korean SS-QOL is composed of 49 items across 12 categories: energy (3 items), family role (3 items), language (5 items), movement (6 items), mood (5 items), personal characteristics (3 items), self-help activities (5 items), social responsibility (5 items), thinking (3 items), upper extremity function (5 items), vision (3 items), and job-producing activities (3 items). Scores ranged from 49 to 245, and a higher score indicated a higher degree of quality of life. In terms of the reliability of the Korean SS-QOL, a Cronbach α value of .80 was observed, showing a high internal consistency (Moon, 2003).

4. Statistical analysis

The data were analyzed using SPSS software (Version 20.0). Comparison of descriptive statistics and SS-QOL categories between the fall and non-fall groups was conducted by an independent t-test and chi-squared test. For analysis of the SS-QOL items that effect fall experiences, a binary logistic regression was used. Items of the SS-QOL that were significantly different in terms of fall experiences, as per the univariate analysis, were entered as independent variables in the binary logistic regression. Whether patients had a fall experience was selected as the dependent variable for the binary logistic regression. Statistical significance was set at p<.05.

III. Results

1. General characteristics depending on fall experience

The general characteristics depending on fall experience have been presented in Table 1. In total, 61 stroke patients had experienced falls, while 56 stroke patients had not. The mean age of the group with fall experience was 64.1±8.70 years, and right hemisphere lesions were reported in 35 participants in the fall group. In terms of education level, highest proportion of participants in the fall group had completed high school education. There were no significant differences between the fall and non-fall groups in terms of age, gender, etiology, region of lesion, education level, spouse, and admission (p>.05), but falls occurred most often in the hospital corridors in the fall group (p<.05).
### Table 1. General characteristics depending on fall experience

| Classification                 | F(n=61)     | NF(n=56)     | \( \chi^2(p) \) or \( t(p) \) |
|-------------------------------|-------------|--------------|----------------------------------|
| Age (mean±SD)                 | 64.1±8.70   | 65.0±5.43    | -7.05 (.48)                      |
| Gender {n(%)}                 |             |              |                                  |
| Male                          | 43(36.8)    | 33(28.2)     | 1.72 (.19)                       |
| Female                        | 18(15.4)    | 23(19.7)     |                                 |
| Etiology {n(%)}               |             |              |                                  |
| Cerebral infarction           | 17(14.5)    | 20(17.1)     | .83 (.36)                        |
| Cerebral hemorrhage           | 44(37.6)    | 36(30.8)     |                                 |
| Lesion region {n(%)}          |             |              |                                  |
| Right Cerebral                | 35(29.9)    | 35(29.9)     | .32 (.57)                        |
| Left Cerebral                 | 26(22.2)    | 21(17.9)     |                                 |
| Education Level {n(%)}        |             |              |                                  |
| Elementary School graduation  | 1(9)        | 2(1.7)       | 2.93 (.40)                       |
| Middle School graduation      | 11(9.4)     | 6(5.1)       |                                 |
| High School graduation        | 44(37.6)    | 46(39.3)     |                                 |
| University graduation         | 5(4.3)      | 2(1.7)       |                                 |
| Fall location {n(%)}**        |             |              | 117(.00)                         |
| Hospital room                 | 17(27.9)    | 0            |                                 |
| Hospital corridor             | 44(72.1)    | 0            |                                 |
| Spouse {n(%)}                 |             |              |                                  |
| Have spouse                   | 48(41)      | 49(41.9)     | 1.60 (.21)                       |
| Spouseless                    | 13(11.1)    | 7(6)         |                                 |
| Admission {n(%)}              |             |              |                                  |
| Inpatients                    | 54(46.1)    | 45(38.5)     | 1.49 (.22)                       |
| Outpatients                   | 7(6)        | 11(9.4)      |                                 |

F; Fallers, NF; Non Fallers  
*p<.05, **p<.01

### Table 2. Comparison item of SS-QOL depending on fall experience

| Subdomain(n=117)              | F(n=61) (mean±SD) | NF(n=56) (mean±SD) | t     | p     |
|-------------------------------|------------------|--------------------|-------|-------|
| Energy                        | 8.26±1.81        | 8.46±2.79          | .47   | .65   |
| Family role                   | 5.66±2.11        | 6.39±2.29          | -1.81 | .07   |
| Use of language               | 17.28±6.62       | 18.55±5.72         | -1.12 | .27   |
| Movement                      | 11.11±4.18       | 12.00±6.14         | -90   | .37   |
| Feeling                       | 7.39±3.29        | 7.83±3.25          | -74   | .46   |
| Personality                   | 8.77±2.36        | 9.38±2.78          | -1.27 | .21   |
| Self-help activities**        | 8.31±3.48        | 11.2±5.82          | -3.22 | .00** |
| Social role**                 | 5.92±1.64        | 7.25±2.06          | -3.86 | .00** |
| Ability to think              | 7.61±2.22        | 8.38±2.33          | -1.82 | .07   |
| Upper extremity function**    | 7.33±3.46        | 11.88±4.84         | -5.80 | .00** |
| Eyesight                      | 8.46±3.40        | 9.70±3.74          | -1.88 | .06   |
| Occupation-Production activities | 5.67±2.18    | 6.02±2.32          | -83   | .41   |

F; Fallers, NF; Non Fallers  
*p<.05, **p<.01
2. Comparison of SS-QOL items depending on fall experience

A comparison of SS-QOL items depending on fall experiences has been presented in Table 2. There were significant differences between groups in terms of self help activities, social role, and upper extremity function (p<.05). The scores on self help activities, social role, and upper extremity function were significantly lower in the fall group than that in the non-fall group (p<.05).

3. Effects of the SS-QOL items on fall experiences in stroke patients

According to the binary logistic regression analysis, the model fit was acceptable because the significance level was more than .05 on the Hosmer and Lemeshow test (p=.17). Chronic stroke patients who had a higher score on social roles were more likely to have no experience with falls (odds ratio [OR], .71; 95% CI, .51-.91, p<.01). Chronic stroke patients who had a higher score on upper extremity function were more likely to have no experience with falls (odds ratio [OR], .81; 95% CI, .72-.91, p<.01).

Table 3. Binary logistic regression for fall experience

| Variables            | B    | Exp(B) | p   |
|----------------------|------|--------|-----|
| Self-help activities | -.10 | .91    | .06 |
| Social role          | -.34 | .71    | .01*|
| Upper extremity function | -.22 | .81    | .01*|

*p<.05, **p<.01

IV. Discussion

Stroke patients have higher risk of falls than do non-stroke patients (Jørgensen et al., 2002). Musculoskeletal injuries such as hip fracture and the decline in functional independence may occur in stroke patients (Ramnemark et al., 1998; Ramnemark et al., 2000). Previous research on improvement of physical capacity and functional ability in stroke patients who experienced falls has been reported (Na et al., 2007; Song et al., 2010, Ahn and Ahn, 2011). However, there is insufficient national and international research on the correlation between quality of life and fall experiences. Therefore, this study aimed to identify the items of quality of life that affect fall experiences in stroke patients, and to provide baseline data for the prevention of falls.

In this study, 44 (72.1%) stroke patients had experienced a fall in the hospital corridors. Majority of the subjects were hospitalized patients. Fall prevention education for patients was important (GUO et al., 2011), whereas environmental factors such as dim lighting, slippery floors, and a narrow space could be possible risk factors for falls. Environmental improvement to prevent falls and education of parents or guardians are necessary (World Health Organization, 2008).

On comparing the scores on the quality of life items between the fall and non-fall groups, self help activity and upper extremity function in the non-fall group was significantly higher than that in the fall group. This result was similar to the findings of the study by Hyndman et al. (2002). The fall group showed significantly lower scores on activities of daily living and upper extremity function than the non-fall group did. Scores on social roles were significantly higher in the non-fall group (7.25) than that in the fall group (5.92). This result was similar to that reported by Forster and Young (1995). In their study, a significant decrease was observed in the social roles in the fall group as compared to the non-fall group. Thus, since social roles and upper extremity function were lower in the fall group as compared to the non-fall group, prevention efforts for falls need to be added to the concept of treatment.

In terms of SS-QOL items influencing fall experiences, the logistic regression revealed that chronic stroke patients in the non-fall group were more likely to have higher scores on social roles and upper extremity function (odds ratio...
[OR], .71; 95% CI, .51-.91, odds ratio [OR], .81; 95% CI, .72-.91). The score on social role was computed in the present study by combining the scores on the items related to outings, sexual activity, leisure activity, and the influence of physical conditions on social life. This finding indicates the importance of supporting various social roles in stroke patients. In the study conducted by Faulkner et al. (2003), there was a negative correlation between incidence rate of falls and family relationships (p<.05).

Maintaining strong family bonds could reduce the risk of falls, and its strong bond would not only improve functional aspects but also depression and social role in stroke patients (Tsoua-Hadjis et al., 2000). Thus, parents or guardians, who are considered to be aware of the importance of family roles, play an important role in preventing falls and improving social roles in stroke patients.

Meanwhile, there was a positive correlation between the upper extremity function and balance, and decreased balance control was a risk factor for falls in stroke patients (Arya et al., 2014; Mackintosh et al., 2006). Thus, falls were considered to be affected by worsened balance ability due to reduced upper extremity function. Movement of upper extremity prevented falls as the center of gravity shifts in the opposite direction (Milosevic et al., 2011). It was thought that interventions to improve upper extremity function would be needed for the prevention of falls.

To date, numerous studies have been conducted on the prevention of falls in stroke patients and in the elderly. Marigold et al. (2005) reported that group therapy including agility, weight shifting, and stretching was effective in reducing falls. Harris et al. (2005) suggested that prescription of 4-wheel walkers for stroke patients with a low berg balance scale score (below 45 points) could reduce the risk of falls. Nyberg and Gustafson (1997) reported that a scale that categorizes patients who are at risk for falls as low risk, medium risk, and high risk groups would be useful for the application of appropriate therapeutic interventions based on the characteristics of stroke patients. To date, there has been minimal national and international research regarding interventions for improvement of upper extremity function and social roles in stroke patients. Thus, more studies need to be conducted on prevention and reduction of falls by improving upper extremity function and social roles in patients with chronic stroke.

This study was conducted at three hospitals, and the subjects of this study were chronic stroke patients who had sufficient cognitive ability (MMSE score of 24 points or more). Thus, it is difficult to generalize these results to all stroke patients. Therefore, further research needs to be conducted on a larger sample that is categorized into acute and sub-acute stages.

V. Conclusion

The present study was performed to identify the items of quality of life related to falls in stroke patients. It was found out that social roles and upper extremity function influenced fall experience, indicating that these two factors are important for intervention for fall prevention in stroke patients. Therefore, rehabilitation to improve physical capacity and social role should include activities such as outings, sexual activity, and leisure activity, which would help prevent falls in patients with chronic stroke.

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