DETAILED SPECTRAL ANALYSIS OF THE TYPE Ib SUPERNOVA 1999dn. I. HYDROGEN-FREE MODELS

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ABSTRACT

We present spectral fits to five epochs of the typical Type Ib supernova 1999dn using the generalized, non-LTE, stellar atmospheres code PHOENIX. Our goal is threefold: to determine basic physical properties of the supernova ejecta, such as velocity, temperature, and density gradients; to reproduce He I absorption lines by invoking nonthermal excitation; and to investigate possible spectral signatures of hydrogen, especially a feature around 6200 Å, which has been attributed to high-velocity Hα. Our models assume an atmosphere with uniform composition devoid of any hydrogen. Our model spectra fit the observed spectra well, successfully reproducing most of the features, including the prominent He I absorptions. The most plausible alternative to Hα as the source of the 6200 Å feature is a blend of Fe II and Si II lines, which can be made stronger in order to better fit the observed feature by increasing the metallicity of the ejecta. High-metallicity models fit well at early epochs, but not as well as solar-metallicity models after maximum light. While this blend of metal lines is a reasonable explanation of the source of the 6200 Å feature, it is still important to investigate hydrogen as the source; therefore, a second paper will present models that include a thin shell of hydrogen around the main composition structure.

Subject headings: stars: atmospheres — supernovae: individual (SN 1999dn)

Online material: color figures

1. INTRODUCTION

Supernovae (SNe) are divided into two different types, I and II, based on the presence of hydrogen in their optical spectra. Type II supernovae spectra have conspicuous hydrogen lines, while Type I supernovae spectra do not. SNe I can further be divided into three more spectral types: Ia, Ib, and Ic. SNe Ia characteristically contain strong absorption lines caused by singly ionized silicon, SNe Ib contain strong neutral helium absorption lines, while Type I supernovae spectra do not. SNe Ic lack both of these characteristics (Filippenko 1997). Because of their almost exclusive location in the star-forming regions of spiral galaxies, and because of their spectral properties, it is believed that Type II, Ib, and Ic supernovae all result from the core collapse of massive stars. Furthermore, studies have shown that SNe Ib/Ic are likely to result from binary star systems (Heger et al. 2003).

Until recently, the very small number of SNe Ib spectra available near the period of maximum luminosity limited the study of these events in detail. However, a great number of Ib spectra have become available thanks to the published spectra of Matheson et al. (2001) and others. Recent work with these spectra has shown that there may be traces of hydrogen in SNe Ib spectra, and of both hydrogen and helium in SNe Ic (Branch et al. 2002, 2006; Elmhamdi et al. 2006; Parrent et al. 2007). This work used SYNOW, a highly parameterized, supernova spectrum synthesis code that assumes a sharp photosphere that emits a blackbody continuous spectrum and line formation by resonance scattering, treated in the Sobolev approximation, to calculate spectral models. Other work on modeling the spectra of SNe Ib has also used SYNOW (Deng et al. 2000; Benetti et al. 2002; Anupama et al. 2005). The speed and relative simplicity of SYNOW makes it very useful for identifying spectral lines, line velocities, and other constraints on the ejected matter (Branch et al. 2002). However, these model spectra do not naturally account for nonthermal excitation, which is required to see He I absorption lines; the optical depth of He I absorptions is an adjustable modeling parameter. For this purpose, as well as to gain more information about the physical properties of SNe Ib, we conduct a more detailed spectral analysis using the generalized, non-LTE (NLTE), stellar atmospheres code PHOENIX (Hauschildt & Baron 1999) to complement this work. We choose to study SN Ib 1999dn, a typical, well-covered Ib supernova (Deng et al. 2000; Matheson et al. 2001). SN 1999dn has also been modeled using SYNOW by Deng et al. (2000) and Branch et al. (2002). It is believed by both sets of authors that an absorption feature around 6200 Å is most likely attributable to Hα at early times, although they also leave open as possibilities Ne i, Si ii, and C ii. Deng et al. (2000) argue that the feature later evolves into C ii in the postmaximum light spectra; Branch et al. (2002) suggest that the feature may be attributed to lines of Fe ii.

We hope to accomplish the following in a two-paper study: (1) to determine basic properties of the supernova, such as effective temperature and velocity of the photosphere, (2) to determine whether He I absorption lines can be properly formed by including nonthermal excitation, and (3) to study the issue of the existence of spectral signatures of hydrogen, particularly in order to determine the most plausible source of the 6200 Å feature. Here we present synthetic spectra of SN 1999dn assuming that no hydrogen is present; we use a homogeneous helium core as a basic model atmosphere. Section 2 contains an overview of the supernova and the observed spectra, PHOENIX code calculations, and the basic parameters adjusted to improve our fits. Section 3 features our best fits for five of the observed spectra with a brief analysis of each fit. We also investigate some of the alternatives to Hα for the 6200 Å feature. In § 4, we offer a discussion of our
results and a look forward to Paper II, in which we will add hydrogen to the models.

2. METHODS

SN 1999dn was discovered on August 20 by Qiu et al. (1999) in NGC 7714, which has a recession velocity of 2700 km s\(^{-1}\) and an \(E(B-V) = 0.052\) (Schlegel et al. 1998). In total, six spectra of SN 1999dn have been published, ranging from 10 days before until 38 days after maximum brightness in the \(R\) band, which occurred on August 31 (Matheson et al. 2001). The five spectra we model are shown in Figure 1. While there was some early confusion about its classification, being identified early as a Ia or Ic, SN 1999dn contains conspicuous He \(i\) absorption lines, and so is now classified as a Type Ib (Matheson et al. 2001). Branch et al. (2002) consider SN 1999dn a typical SN Ib, and so a study of its spectra should provide insight into SNe Ib as a whole.

We present spectral fits of the first five epochs of SN 1999dn obtained from the multipurpose stellar atmospheres program PHOENIX (Hauschildt & Baron 1999; Baron & Hauschildt 1998; Hauschildt et al. 1997a, 1997b, 1996). PHOENIX solves the radiative transfer equation along characteristic rays in spherical symmetry, taking into account relativistic effects. The NLTE rate equations for selected ionization states are solved, thereby including the effects of nonthermal electrons from the \(\gamma\)-rays produced by the radioactive decay of Ni 56, which are essential to making the effects of nonthermal electrons from the \(\gamma\)-rays produced by the radioactive decay of Ni 56, which are essential to making

The 27 ions that are treated in NLTE in our models are H \(i\), He \(i\) & \(ii\), C \(i\)–\(iii\), N \(i\)–\(iii\), O \(i\)–\(iii\), Ne \(i\), Na \(i\) & \(ii\), Mg \(i\)–\(iii\), Si \(i\)–\(iii\), Ca \(i\)–\(iii\), and Fe \(i\)–\(iii\). Each model atom includes primary NLTE transitions, used to calculate the level populations and opacity, and weaker LTE transitions, which are included in the opacity, but which also affect the rate equations because of their effect on the solution to the transport equation (Hauschildt & Baron 1999). All LTE line opacities not treated in NLTE are treated with an equivalent two-level atom source function, using a thermalization parameter, \(\alpha = 0.05\). The atmospheres are iterated to energy balance in the comoving frame; while we neglect explicit effects of time dependence on the transport equation, we implicitly include them by including the \(\gamma\)-ray deposition rate in the radiative equilibrium equation and in the rate equations for the NLTE populations.

All of the models shown have a uniform composition structure with \(\gamma\)-ray deposition that follows the density of the ejecta to provide for the nonthermal excitation necessary to produce the observed He \(i\) absorption lines (and any Ne \(i\) absorption as well). The "standard composition" models have solar metallicities, although we assume all hydrogen has been burned to helium; the "high-metallicity" models have three times solar metallicity. The models are parameterized by a few quantities: the time since explosion (with an assumed 12 day rise time, \(t\)), the effective temperature \(T_{\text{model}}\), the photospheric velocity (the velocity, \(v_0\), at which the continuum optical depth in extinction at 5000 \(\AA\), \(\tau_{\text{rad}}\), is unity), the metallicity (given as a factor \(Z\) times solar), and the density profile's power law index \(n\), which follows the relation \(\rho \propto r^{-n}\).

3. SPECTRAL FITS

We produced spectra from the calculations of over 100 models, and compared them to the observed spectra. Table 1 contains the adjustable parameters for the best fits for all five epochs that we modeled. The goodness of the fit is determined in a "\(\chi^2\) by eye" fashion, the human eye being very adept at pattern recognition. In general, we fit the basic shape of the continuum by varying \(T_{\text{model}}\), fit the Doppler shift of the spectra by varying \(v_0\) (we most often fit the 5876 \(\AA\) He \(i\) absorption), and fit the widths of the observed absorption lines by varying \(n\) and \(v_0\).

Figure 2 shows the observed spectrum on the day of maximum light (epoch +0, obtained August 31) and two model spectra (with parameters given in Table 1). For this particular epoch we calculated 44 different models, varying the basic fitting parameters mentioned in the paragraph above, but mostly varying the abundances of elements included in the calculations. Identifications of the major features are shown in the figure, corresponding to (from left to right) Ca \(ii\) H and K, a multitude of Fe \(ii\) lines (with a small contribution from Mg \(ii\), most likely 4481 \(\AA\); overall it is difficult to uniquely identify any one of the features due to the large amount of blending in the spectra), He \(i\) 5876, the unknown 6200 \(\AA\) feature, He \(i\) 66678, He \(i\) 7065, O \(i\) 7772, and the Ca \(ii\) infrared triplet. While not shown, the He \(i\) 410830 absorption feature is very strong in the model spectra.

Overall, both the standard composition and high-metallicity models fit the observed spectrum well. The Ca \(ii\) H and K absorptions match the observed spectrum very well, although the emission is slightly over- or under-shooted. The (mostly) Fe \(ii\) absorption region is, on the whole, fit well by both models, although perhaps slightly better by the standard composition model, as it has more subdued absorptions and emissions. Neither model well reproduces
an absorption feature near 5100 Å in the observed spectrum that is presumably due to Fe ii. Both models have an absorption too deep for the He i $\lambda$5876 feature, although the standard composition has a well-matched emission. Both models show a feature that fits the 6200 Å feature, with the higher metallicity model getting the strength nearly perfect. Both models then produce too strong an absorption for He i $\lambda6678$ and He i $\lambda7065$, and have trouble reproducing the O i $\lambda7772$ feature well. Unfortunately, the range of the observed spectrum does not extend far enough to include the Ca ii infrared triplet.

As we can see in Figure 2, the simple nonthermal excitation provided in the models was enough to reproduce the neutral helium absorptions fairly well. Of particular interest in this fit, however, is the good fit for the 6200 Å feature, especially in the high-metallicity model. We can see the source of this feature in the model spectra in Figure 3, which contains the observed spectrum and standard composition model, along with single-ion spectra of Fe ii and Si ii produced from the standard composition model. The single-ion spectra show the spectral features due only to Fe ii or Si ii in the standard composition model spectrum. The 6200 Å feature in the model spectra is the result of blending of Si ii $\lambda6355$, which is formed a little far to the blue, and an Fe ii feature that is formed a little far to the red. Together, they make the feature seen in the standard composition model spectrum; their strength is amplified by the increased abundance of both ions in the high-metallicity model.

Figure 4 shows the observed spectrum from the +0 epoch with the standard composition models and two other revised models: one with 10 times as much silicon abundance and one with 100 times as much neon abundance. We can see that the former revised model produces a feature on the blue edge of the observed 6200 Å feature, but it does not extend far enough to the red to be a real candidate for the source of the absorption. However, the latter revised model, with 100 times as much neon as the standard composition, reproduces the 6200 Å feature very well. The Ne i (6402 Å) absorption line, produced like neutral helium lines by nonthermal excitation, fits the feature remarkably well, without introducing any unwanted features in other regions of the spectrum. However, such a large neon abundance does not seem reasonable. This could be an indication that the density profile for nonthermal excitation is not as simple as we have modeled it, or it may be coincidence. While a model with enhanced carbon is not shown, C ii has no obvious effects on the standard composition model at this epoch, and so we disregard it as the source of the 6200 Å feature as well. The most plausible identification from our models remains that of Si ii and Fe ii blended together.

Figure 5 shows the observed spectrum obtained 10 days before maximum light (epoch $-10$, obtained on August 21) with two spectral fits: a standard composition model and a high-metallicity model. The observed spectrum contains features similar to those in the maximum light spectrum. The two models fit most of these features fairly well. The Ca ii H and K absorptions are slightly too strong in the models, and the same is true for the emissions. Both models fit the observed spectrum fairly well over the region dominated by Fe ii lines, between 4100 and 5200 Å. The He i $\lambda5876$ absorption is fit particularly well by the high-metallicity model.
model, while the emission is better modeled with the standard composition. Both models produce a feature near 6200 Å. That feature is stronger and better fit to the observed spectrum in the high-metallicity model; however, it is hardly a poor fit of the feature using the standard composition. The He\textsc{i} \(\lambda 6678\) feature is particularly weak in the observed spectrum, a subtlety the models fail to replicate. Both models also appear to form the He\textsc{i} \(\lambda 7065\) feature a little too far to the blue, which may be a result of the simple \(\gamma\)-ray deposition that produces the nonthermal excitation responsible for the formation of the neutral helium lines in the models. Likewise, the O\textsc{i} absorption and the Ca\textsc{ii} infrared triplet are formed a little blue in the model spectra, although the features are otherwise fit reasonably well.

Moving to postmaximum light spectra, Figure 6 shows the observed spectrum obtained 10 days after maximum light (September 10) with two model spectra: the standard composition model and a high-metallicity model. While both models fit well, the standard composition model fits marginally better in the Fe\textsc{ii} dominated region of the spectra between 4000 and 5000 Å. Also, the observed 6200 Å feature has become much weaker, with a better fit to it given by the standard composition model. Ca\textsc{ii} infrared triplet absorptions are produced a little to the blue in the model spectra.

In Figure 7, the observed spectrum obtained 14 days after maximum light (epoch +14, obtained September 14) is shown with two spectral fits. Both models have standard composition, but they vary between \(n = 13\) and \(n = 10\). Both models fit the observed spectra fairly well. One main difference between the two are the line strengths, particularly in the Fe\textsc{ii} dominated region. The \(n = 10\) model fits the strength of the absorptions better at 5300 and 4200 Å. The shapes of some of the absorption lines, like He\textsc{i} \(\lambda 5876\), are also fit slightly better in the \(n = 10\) model. Similar observations can be made about later epochs as well.

![Fig. 5.—Observed spectrum of SN 1999dn 10 days before maximum light (August 21) with two model spectra: the standard composition model and a high-metallicity model. Again, both models fit well, but the standard composition model fails to produce a strong feature near 6200 Å, while the high-metallicity model can. [See the electronic edition of the Journal for a color version of this figure.]](image1)

![Fig. 6.—Observed spectrum of SN 1999dn 10 days after maximum light (September 10) with two model spectra: the standard composition model and a high-metallicity model. While both models fit well, the standard composition model fits marginally better in the Fe\textsc{ii} dominated region of the spectra between 4000 and 5000 Å. Also, the observed 6200 Å feature has become much weaker, with a better fit to it given by the standard composition model. [See the electronic edition of the Journal for a color version of this figure.]](image2)

![Fig. 7.—Observed spectrum of SN 1999dn 14 days after maximum light (September 14) with the standard composition model while varying \(n\) from 13 to 10. At this epoch and in the +17 day models we prefer a less steep density profile, with the value \(n = 10\). [See the electronic edition of the Journal for a color version of this figure.]](image3)
Therefore, for this and later epochs, the models will have $n = 10$ rather than $n = 13$.

The September 14 observed spectrum is shown alongside two best fits with varying metallicity in Figure 8: one with the standard composition and one with increased metallicity. While both are good fits overall, the standard composition model fits the observed absorption depths better in the blue end of the spectrum, and marginally worse in the redder end. Both models have absorptions and emissions of the Ca ii H and K lines that are stronger than observed. As mentioned above, the standard composition model fits the absorption strengths in the Fe ii/Ti ii dominated region better than the high-metallicity model. Both models have strong absorption of He i that fails to match the observed features perfectly. In the observed spectrum, barely anything remains of the 6200 Å feature; the models produce features similar to those seen in the +10 day spectrum. The standard composition model fits the region much better because of the decreased feature strength. The models produce features that are at the same wavelength of the observed spectrum for the He i λ7065 and O i lines; the Ca ii infrared triplet absorption is only slightly too blue, although a touch too strong, in the models compared to the observed spectrum.

Figure 9 shows the observed spectrum of SN 1999dn obtained 17 days after maximum light (epoch +17, obtained on September 17) with two spectral fits: a standard composition model spectrum and a high-metallicity model spectrum. Both models fit the spectrum well. The absorption and emission of the Ca ii H and K lines are fit reasonably well in both models. Neither model fits the Fe ii region perfectly, but both reproduce the features seen with varying degrees of accuracy: the standard composition model fits the 4900 Å absorption perfectly, while the high-metallicity model reproduces the features at 4400 and 5900 Å slightly better. The standard composition model’s He i λ5876 absorption is slightly strong and blue, but the other He i absorption features are fit well. Note that the features around 6200 Å are in the observed spectrum again, looking much as they did in the +10 day spectrum. The shape of these features are reproduced better in the standard composition model, although the absorption strengths are reproduced better in the high-metallicity model. The features in the model spectra that appear in the same region can be attributed to a blending of Fe ii, Si ii, and C ii. The O i feature is fit well by both models (although slightly better by the standard composition), and both models produce a too strong and too blue Ca ii infrared triplet feature.

As the temperature in the supernova has decreased substantially since maximum light (our model temperatures decrease from 6000 to 5000 K), we expect to see some new contributions to spectral features at later times. Figure 10 shows the observed spectrum for the +17 day epoch, along with three single-ion spectra of lines that have only been considered in LTE: Ni ii, Al i, and Ti ii.

![Fig. 8.—Observed spectrum of SN 1999dn 14 days after maximum light (September 14) with two model spectra: the standard composition model and a high-metallicity model, with $n = 10$ for both models. Again, we prefer the standard composition model at this postmaximum epoch. [See the electronic edition of the Journal for a color version of this figure.]](image1)

![Fig. 9.—Observed spectrum of SN 1999dn 17 days after maximum light (September 17) with two model spectra: the standard composition model and a high-metallicity model. Each model fits parts of the observed spectrum better than the other; overall, we prefer the standard composition model, because of the decreased strength of the features in the blue. The standard composition model also fits the 6200 Å feature slightly better. [See the electronic edition of the Journal for a color version of this figure.]](image2)

![Fig. 10.—Observed spectrum of SN 1999dn 17 days after maximum light (September 17) with single ion spectra of Ni ii, Al i, and Ti ii. Note that Ti ii has a very large contribution to the spectrum in the blue, in the Fe ii region. [See the electronic edition of the Journal for a color version of this figure.]](image3)
Both Ni II and Al I have some effect on the model spectra close to the Ca II H and K absorption (near 3750 Å). Ti II, however, has significant contributions to the spectrum all the way up to a wavelength of 5500 Å, in what we previously called the Fe II dominated region. The presence of Ti II lines was noted as a possibility in SYNOW analysis of this spectrum by Branch et al. (2002). The presence of Ti II in our models is perhaps part of the reason why the high-metallicity models fail to reproduce this region of the spectrum as well as the standard composition models: they have too much Ti II, causing their absorptions to be too strong. Ti II also has a very small feature in the 6200 Å region in our models, which may affect the evolution of that feature.

Finally, we investigate the effects of increasing carbon abundance at late times in Figure 11. Deng et al. (2000) claimed that at +14 days, C II was unambiguously in the spectrum. Our late-time models show some weak features of C II around the 6200 Å feature, but the effect is minimal. Increasing the carbon abundance by 10 times in our +17 day standard composition model has little effect on the overall spectrum. C II may be responsible for an observed feature near 9500 Å that is seen in the enhanced carbon model. Unlike at earlier epochs, near maximum light, C II has some effect on the shape of particular features in the spectrum at late times, including around 6200 Å, although its effect is small.

4. DISCUSSION

Our goal in this series of papers is (1) to determine basic physical properties of SN 1999dn at different epochs, such as its effective temperature and photospheric velocity, (2) to determine if He I absorption lines can be properly formed in a natural way by including nonthermal excitation, and (3) to determine whether there are spectral signatures of hydrogen in this “normal” SN Ib. We presented models to fit five epochs of SN 1999dn that did not include hydrogen; our models were homogeneous stellar atmospheres that had been stripped of their hydrogen envelopes. While this model is very simple, our spectral fits are, on the whole, remarkably good by PHOENIX standards. Table 1 contains a list of some of the most basic physical parameters that we determined, and in all epochs, He I absorptions were successfully produced in the models by including a γ-ray deposition that followed the density of the ejecta that allowed for nonthermal excitation.

Finally, we looked carefully at the prime candidate for Hα absorption, a feature around 6200 Å in the observed spectra, and tested alternative ways to reproduce the feature in models. The most successful, and perfectly plausible, alternative is an increased metallicity of the ejecta. By increasing the metallicity to 3 × solar, we were able to reproduce the feature well as a blend of Fe II and Si II lines at early times without affecting the rest of the spectrum too much. At later times, as the 6200 Å becomes weaker, and the spectral contribution of metals like Fe II and Ti II becomes more pronounced, the standard composition models fit better, but we cannot rule out the high-metallicity case. We considered several other alternatives to the identification of the 6200 Å feature: Si II alone created a feature too far to the blue, C II made no obvious effects in the standard composition model at maximum light, and Ne I reproduced the feature, but only after enhancing the neon abundance by a factor of 100. Given a uniform composition with γ-ray deposition following the density profile of the ejecta, the most plausible alternative to Hα appears to be a blending of Fe II and Si II, possibly strengthened by increased metallicity.

We have noted that the 6200 Å feature evolves with time. At early epochs it appears to be a single, strong absorption feature, although this single absorption could actually be a blend of more than one line, as we showed in our high-metallicity models. However, at later times, the observed feature separates into multiple, distinct, weaker features, possibly from Fe II, Si II, C II, and Ti II. This change could be an indication that the 6200 Å feature is Hα at early times, and is a blend of the above ions at epochs after maximum light. However, this evolution is also seen in our models, suggesting that other physical properties play a role in, and are perhaps important causes of, the observed change. Decreased temperatures affect the shapes and strengths of the Fe II and Si II lines, and allow other ions, like C II and Ti II, to become more prominent in our models. Decreased ejecta velocities cause less line blending, allowing greater resolution of nearby features. Furthermore, while our models do not include them as possibilities, stratified compositions and density structures that do not follow a single power law may affect the feature at later times as well. With our simple homogeneous parameterization, it is difficult to draw a strong conclusion.

Clearly, the fact that the 6200 Å feature can be fit by high-metallicity models at early times, but that we somewhat prefer standard metallicity at later times is hard to explain in any reasonable stellar evolution scenario, since it would require pollution of the outer atmosphere with high-metallicity material after the removal of the hydrogen envelope, which seems unlikely. Furthermore, one could invoke asymmetry, but the 6200 Å feature is fairly ubiquitous in SNe Ib (Branch et al. 2002), and thus an asymmetric explanation has to explain why it is seen most of the time. While we consider hydrogen a likely candidate to fit the 6200 Å feature, we stress that our simple parameterized model is no substitute for a realistic hydrodynamic calculation with stratified compositions and a realistic distribution of radiative nickel. We hope to address the true nature and cause of this evolution in Paper II.

In Paper II of this series, we will add a thin shell of hydrogen to the outside of our current PHOENIX models, and attempt to
fine tune its velocity coordinate and density profile to see if a model can reproduce the 6200 Å feature.

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