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Sean P. Sullivan, Dimitrios G. Koutsonanos, Maria del Pilar Martin, Jeong Woo Lee...+6 more authors

**Institutions:** Georgia Institute of Technology, Emory University

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Dissolving polymer microneedle patches for influenza vaccination

Sean P Sullivan¹, Dimitrios G Koutsonanos²,⁴, Maria del Pilar Martin², Jeong Woo Lee³, Vladimir Zarnitsyn³, Seong-O Choi³, Niren Murthy¹, Richard W Compans², Ioanna Skountzou² & Mark R Prausnitz¹,³

Influenza prophylaxis would benefit from a vaccination method enabling simplified logistics and improved immunogenicity without the dangers posed by hypodermic needles. Here we introduce dissolving microneedle patches for influenza vaccination using a simple patch-based system that targets delivery to skin’s antigen-presenting cells. Microneedles were fabricated using a biocompatible polymer encapsulating inactivated influenza virus vaccine for insertion and dissolution in the skin within minutes. Microneedle vaccination generated robust antibody and cellular immune responses in mice that provided complete protection against lethal challenge. Compared to conventional intramuscular injection, microneedle vaccination resulted in more efficient lung virus clearance and enhanced cellular recall responses after challenge. These results suggest that dissolving microneedle patches can provide a new technology for simpler and safer vaccination with improved immunogenicity that could facilitate increased vaccination coverage.

The effectiveness of influenza vaccination is limited by the quality and breadth of the immune response and the time required for vaccine delivery¹. Traditional intramuscular (i.m.) injection requires hypodermic needles that can cause needle phobia and generate biohazardous waste. An advantageous immunization scenario would involve transdermal delivery of the vaccine with a device that promises increased vaccine immunogenicity, enhanced patient compliance via simple self-administration and mass immunization, and elimination of hypodermic needles and their associated biohazardous waste.

This study presents dissolving microneedle patches to increase vaccine immunogenicity by targeting antigen delivery to skin. Microneedles are micron-scale structures that painlessly pierce into the skin to administer vaccines in a minimally invasive and targeted manner². The skin is a highly active immune organ containing a large population of resident antigen-presenting cells³. Human clinical studies have shown evidence for dose sparing of intradermal influenza vaccination compared to i.m. immunization, although some other studies have not⁴⁻⁷. Intradermal influenza vaccinations at full dose (15 μg hemagglutinin antigen per strain) and reduced dose (9 μg hemagglutinin per strain) have recently been licensed for human use in some countries (for example, Intanza and IDFlu, Sanofi Pasteur). Widespread use of intradermal immunization has been limited by traditional intradermal injections that use the Mantoux technique, which requires specifically trained personnel and is often unreliable⁸. Needle-free transdermal patches have been reported, but the skin’s outer layer (stratum corneum) must be disrupted for delivery of large vaccine molecules⁹. In contrast, microneedles are designed to reliably administer antigen at a specific skin depth that maximizes interaction with resident antigen-presenting cells.

Previous studies show that nondissolving metal and silicon microneedle patches can be painless¹⁰ and can effectively administer vaccine in animals¹¹,¹², including the influenza vaccine¹³⁻¹⁵. Water-soluble microneedles have been shown to encapsulate bioactive molecules and deliver their cargo into skin¹⁶⁻¹⁹, but vaccination using this approach has not been studied before.

In this study, we compare standard i.m. immunization to vaccination with polymer microneedles that dissolve within minutes and completely resorb in the skin, resulting in no biohazardous sharps. We show that a single vaccine dose with dissolving microneedles induces protective immune responses superior to those obtained with i.m. injection at the same dose, including increased lung viral clearance. Dissolving microneedles also offer additional benefits, both to the individuals vaccinated and in regard to logistics, including small storage and disposal size, inexpensive fabrication and ease of use to enable self-administration at home.

RESULTS

Design and fabrication of dissolving polymer microneedles

We designed the polymer material, microneedle geometry and device fabrication process to encapsulate influenza virus while preserving its antigenicity, to insert into skin without mechanical failure and to rapidly dissolve into safe dissolution products. The resulting microneedles measured 650 μm tall with sharp tips tapering to a 10-μm radius of curvature (Fig. 1a) and were assembled into a multi-needle array (Fig. 1b) that encapsulated 3 μg of inactivated influenza virus vaccine per patch.

¹Wallace H. Coulter Department of Biomedical Engineering at Emory University and Georgia Tech, Georgia Institute of Technology, Atlanta, Georgia, USA. ²Department of Microbiology & Immunology and Emory Vaccine Center, Emory University School of Medicine, Atlanta, Georgia, USA. ³School of Chemical and Biomolecular Engineering, Georgia Institute of Technology, Atlanta, Georgia, USA. ⁴These authors contributed equally to this work. Correspondence should be addressed to M.R.P. (prausnitz@gatech.edu) or I.S. (iskount@emory.edu).

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Dissolving polymer microneedle patches. (a) Side view of dissolving polymer microneedles. (b) Relative height of an array of microneedles next to a US nickel coin. (c) En face view of porcine cadaver skin after insertion and removal of microneedles, showing delivery of the encapsulated compound (sulforhodamine). (d) Fluorescence micrograph of pig skin histological section after insertion of dissolving microneedles ex vivo. (e) Brightfield micrograph of the same skin section with H&E staining.

We fabricated the microneedles by room-temperature (23 °C) photo-polymerization of a liquid monomer (vinyl pyrrolidone) within a microneedle mold to form polyvinylpyrrolidone (PVP) microneedles that encapsulate the lyophilized vaccine. This process avoids the need for organic solvents or elevated temperatures that can damage vaccine or other biomolecule stability. We chose PVP as the structural material for the polymer microneedles used in this study because it is biocompatible, mechanically strong and highly water soluble.

Insertion and dissolution of microneedles in skin

The resulting microneedles were able to be inserted into porcine skin with gentle force applied by the thumb (Fig. 1c). We determined the fracture force of the microneedles to be 0.13 ± 0.03 N per needle, which provides a twofold margin of safety over the force (0.058 N per needle) required for insertion into skin using microneedles of this geometry, according to previous measurements. Upon insertion into porcine cadaver skin, microneedles penetrated to a depth of approximately 200 µm and deposited their encapsulated payload largely within the epidermis (Fig. 1d,e). This localization is likely to be similar in human skin, which has comparable thickness to porcine skin.

To characterize the kinetics of dissolution in skin, we inserted microneedles into porcine skin and monitored them over time. Significant dissolution occurred within 1 min, and after 5 min the microneedles were 89 ± 3% (by mass) dissolved (Fig. 2a). Given the similarity of porcine and human skin, we expect that microneedle dissolution in human skin could also be complete within just a few minutes. Because we used mouse skin for the in vivo vaccination experiments described below, we also measured the dissolution kinetics of dissolving microneedles encapsulating the viral antigen in mice. In this scenario, microneedle dissolution was slower but nonetheless increased with time (P < 0.05), depositing 34 ± 17%, 63 ± 10% and 83 ± 6% of the polymer in the skin after 5, 10 and 15 min, respectively, and leaving almost no residue on the skin surface (Fig. 2b).

Antigen stability

To assess the stability of the inactivated influenza vaccine in dissolving microneedles, we identified two steps during the fabrication of PVP microneedles that might cause damage: the initial lyophilization of vaccine and the subsequent encapsulation within microneedles during polymerization.

To analyze the individual effects of lyophilization and PVP, we administered inactivated influenza virus i.m. in mice as the original vaccine solution, after lyophilization, as the original vaccine solution mixed with PVP and after lyophilization and encapsulation within PVP microneedles. Compared to naïve mice, all four vaccinated groups showed elevated influenza-specific IgG titers and hemagglutination...
inhibition (HAI) titers (Fig. 2c, P < 0.01). Among the four vaccinated groups, there was no significant effect of vaccine processing or formulation on IgG or HAI titers (P > 0.05).

Humoral immune responses

The efficacy of skin immunization with dissolving microneedles was determined in BALB/c mice that received a single dose of 6 μg of whole, encapsulated, inactivated influenza virus. The microneedle patches were applied on the caudal dorsal area of skin for approximately 15 min, which was sufficient to dissolve the microneedles and deliver at least 80% of the antigen into skin. We compared induction of humoral immune responses after i.m. immunization, which is the standard influenza vaccination method, with those generated using dissolving microneedles at the same vaccine dose (Fig. 3a-d). We collected blood on days 14 and 28 after immunization to determine the concentrations of influenza-specific antibodies. Mice immunized with microneedles showed slightly lower influenza-specific IgG titers than the i.m. group by day 14 (Fig. 3a, P < 0.0009). Titers were at similar levels for both i.m. and microneedle groups at day 28 (P = 0.9).

We also determined the concentrations of influenza-specific isotypes, IgG1 and IgG2a, at 14 and 28 d after immunization. At day 14, microneedle-immunized mice had more pronounced IgG1 titers than the i.m. group (Fig. 3b, P = 0.03), whereas the i.m.-immunized mice showed significantly stronger IgG2a responses than the microneedle group (Fig. 3c, P = 0.0006). At day 28 there were no significant differences in the isotype levels between the groups. This indicates that the i.m. group had T helper type 1 (Th1)-biased responses early after immunization (IgG1/IgG2a ratio = 0.2), but levels of these isotypes were similar after 1 month (IgG1/IgG2a = 0.9). In contrast, the microneedle group showed a slight predominance of IgG1 production over time (IgG1/IgG2a in the range of 1.35 to 1.53) (Fig. 3b,c).

HAI activity is generally used as the serological measure for functional antibodies associated with protection. We observed high HAI titers after one immunization (Fig. 3d). HAI titers detected in the microneedle group were similar to each other on days 14 and 28 and to i.m. group titers too (Fig. 3d), demonstrating that a single microneedle immunization induced high levels of functional antibodies.

Protection against lethal viral challenge

To determine whether microneedle immunization can confer protective immunity, we challenged the immunized groups with five times the half-maximal lethal dose (LD₅₀) of mouse-adapted PR8 influenza virus 30 d after vaccination. All immunized animals survived challenge (Fig. 3e) and lost <5% body weight (Fig. 3f), showing that vaccine delivery with dissolving microneedles provided protection equal to the i.m. group. In contrast, the unimmunized group did not survive beyond 6 d after challenge (Fig. 3f).

We then investigated the ability of challenged mice to clear influenza virus from the lung 90 d after vaccination to assess longevity and efficiency of recall responses. On day 4 after challenge, the i.m.-immunized mice showed a decrease in lung viral titers of a factor of 1 × 10³ compared to unimmunized infected mice, whereas microneedle-immunized mice showed a marked decrease in lung viral titers of a factor of 1 × 10⁵ (Fig. 4a). As the challenge of the mice took place three months after vaccination, these findings indicate that microneedle immunization induced more robust recall responses than i.m. vaccination, as shown by more efficient virus clearance.

Recall immune responses

To evaluate the induction of local immune responses, we measured influenza-specific IgG and IgA titers in lungs of challenged mice 90 d after immunization. We found that soluble IgA titers were modestly increased in vaccinated groups and were similar among microneedle and i.m. groups (Fig. 4b). Lung IgG titers were also similar in microneedle and i.m.-immunized mice, including IgG1 and IgG2a isotype profiles (Fig. 4c). Systemically, we observed that challenged mice had serum influenza-specific IgG titers similar to those observed 28 d after immunization, with no significant differences among immunized groups (Fig. 4d). Serum HAI titers were also similar in all immunized challenged groups, consistent with total antibody levels (Fig. 4e). Although we noted an increase in IgG1 titers after infection in vaccinated mice, microneedle-immunized mice had a higher IgG1/IgG2a ratio than the i.m. group, as observed in pre-challenge samples (Fig. 4f). Thus, changes in antibody levels were consistent with protective responses in immunized mice. Overall, these data demonstrate that microneedle vaccination induces similar antibody recall responses compared to i.m. vaccination.

Antibody-secreting cells (ASCs) are partly responsible for recall immune responses that confer protection against influenza infection. We examined mice challenged 90 d after immunization for influenza IgG ASCs in spleen and lungs on day 4 after infection. In spleen, ASC numbers were elevated in both the microneedle and
i.m. groups; despite a lack of noticeable differences between groups, the microneedle group was the only one showing significantly higher numbers of ASCs than naive or infected mice (Fig. 5a, P < 0.05). In lungs, we observed that the microneedle and i.m. groups had three to five times higher ASC numbers than unimmunized infected or naive mice (Fig. 4b). These results suggest that a skin vaccination route using dissolving microneedles induces sustained humoral immune responses in lungs at least as strong as responses induced by i.m. immunization.

**Induction of systemic cytokine responses**

We next investigated induction of cellular immune responses systemically upon challenge 90 d after immunization. We re-stimulated splenocytes isolated from challenged mice on day 4 with hemagglutinin major histocompatibility complex (MHC) class I- and hemagglutinin MHC class II-restricted peptides or inactivated influenza virus for 48 h and 72 h to determine the contribution of CD4+ and CD8+ T lymphocytes secreting interleukin-4 (IL-4) and interferon-γ (IFN-γ) (Fig. 5a,b). IL-4 secretion was higher in the i.m. group in the presence of class I or class II peptides, although increases were more prominent with class I, suggesting increased CD8+ T cell-derived response (Fig. 5a). In contrast, levels of IFN-γ secreted by CD8+ or CD4+ cells were two to three-fold higher in the microneedle group when compared to i.m.-injected mice (Fig. 5b). Naive mice did not show any differences in cytokine levels from unimmunized infected mice (data not shown). Elevated IFN-γ concentrations in microneedle-immunized mice suggest that microneedle immunization generates strong T H1 and effector responses, which are necessary to support cytotoxic activity, events that are crucial for viral clearance.

**Assessment of cellular immune responses in lungs**

To assess cellular immune responses elicited in the mucosal compartment, we re-stimulated lung cell suspensions *in vitro* with inactivated A/PR/8/34 influenza virus and assessed the amounts of IL-21, IFN-γ, tumor necrosis factor-α (TNF-α), and IL-12 p70. IL-21 is a pleiotropic cytokine known to upregulate genes associated with innate immunity and T H1 responses, as well as regulating B cell isotype

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**Figure 4** Long-lived immune responses. Mice immunized with influenza virus by microneedle or i.m. route of delivery were challenged with live homologous virus 90 d after immunization; bronchoalveolar lavage fluid (BALF) and sera were collected at 4 d after challenge. (a) Lung virus titers determined by plaque assay, PFU, plaque-forming units. (b) Lung IgG titers determined by quantitative ELISA. (c) Lung IgG titers and their isotypes. (d) Serum IgG titers and their isotypes. (e) Serum HAI titers after infection, determined as geometric mean titers (GMT) with 95% confidence intervals (CI). (f) Serum IgG1/IgG2a ratio on days 14 and 28 after immunization and on day 4 after challenge. (g) IgG isotypes (ng ml−1). (h) Lung influenza-specific IgG ASCs from splenocytes re-stimulated with inactivated influenza virus. Data shown are means ± s.e.m.

**Figure 5** Cellular immune responses after challenge. Cellular immune responses were determined in splenocyte cultures and lung suspensions. (a) IL-4 concentrations, determined from 72-h splenocyte culture after isolation from immunized and unimmunized mice at 4 d after challenge and re-stimulation with hemagglutinin (HA) MHC class I and II influenza-specific peptides. (b) IFN-γ concentrations in 72-h splenocyte cultures. (c) Lung IL-21 concentrations. (d) Lung IFN-γ concentrations. (e) Lung TNF-α concentrations. (f) Lung IL-12 p70 concentrations. Data shown are means ± s.e.m.
This study demonstrates that influenza vaccine delivery with dissolving microneedles can induce robust humoral and cellular immune responses after a single immunization with a low antigen dose that confers protective immunity against lethal viral challenge. Immunologic responses to microneedle vaccination were similar to those achieved by i.m. injection by some measures and were stronger by others. Overall, microneedle immunization yielded enhanced recall cellular immune responses, increased numbers of antibody-secreting cells and, notably, more efficient viral clearance.

Although it is possible that dissolving microneedles have strong immunogenicity because of an adjuvant effect caused by PVP, we believe that this is unlikely, because i.m. injection of inactivated virus with PVP did not enhance immune response compared to vaccination without PVP. It is also possible that skin flora are drawn into the skin during microneedle insertion and thereby serve as an adjuvant. We think this is also unlikely, as we carefully cleaned the skin before microneedle insertion and because hypodermic needle insertion for i.m. injection could similarly draw in skin flora.

Thus, dissolving microneedle patches may provide not only practical advantages compared to hypodermic needles but also better protective immunity. Similar reports in human studies have shown that intradermal immunization can induce primary immune responses that are equivalent to or surpass i.m. delivery of seasonal influenza vaccine, with possible dose-sparing effects. Although this study did not assess dose sparing, the key immunologic difference between vaccine delivery through dissolving microneedles versus i.m. immunization is the 1,000-fold more efficient lung virus clearance after microneedle vaccination, which is expected to correlate with reduced morbidity and mortality. Of note, we observed this difference upon challenge 3 months after immunization, suggesting that microneedle immunization induces more robust recall immune responses.

These results may be due to higher numbers of antibody-secreting cells found in spleen and lungs of microneedle-immunized mice as well as enhanced cellular memory responses in spleens, as shown by increased IFN-γ secretion after in vitro re-stimulation. Cellular immune responses may promote rapid viral clearance from lung and thereby decrease morbidity, for example, via preexisting CD8+ T cell–mediated immunity directed at peptides from conserved internal proteins of the influenza A virus. The enhanced production of serum IgG1 antibodies after microneedle vaccination may also reflect the role of humoral immune responses that assist in effective virus clearance. These differences are probably due to the route of immunization, although antigen formulation, slower release kinetics and other features of the dissolving microneedle delivery system may also have a role.

Immunization via skin may target innate dendritic cell populations directly through lymphatics from proximal draining lymph nodes and simultaneously by activating the rich dendritic cell network that resides in skin. It is well established that the innate immune system has a pivotal role in adaptive immune responses, possibly accounting for the differences we observe between dissolving microneedle patches and i.m. vaccination. The early virus clearance from lungs that we observed may be the result of enhanced involvement and mobilization of innate and adaptive cell populations that induce broader humoral and cellular immune responses.

Overall, these results show that dissolving microneedle patches offer an attractive approach to administer influenza vaccine with improved safety, immunogenicity and logistical operations that may enable an increased population coverage for influenza vaccination. The dissolving microneedle vaccine patch developed in this study...
also provides a new platform technology for simple administration of other vaccines and medicines to skin without the need for hypodermic needles.

METHODS

Methods and any associated references are available in the online version of the paper at http://www.nature.com/naturemedicine/.

Note: Supplementary information is available on the Nature Medicine website.

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AUTHOR CONTRIBUTIONS

S.P.S., D.G.K., M.d.P.M. and I.S. carried out most experimental studies; J.W.L. and V.Z. prepared microneedles and helped generate the Supplementary Data; S.-O.C. prepared the molds used to fabricate microneedles; S.P.S., D.G.K., I.S. and M.R.P. designed the study and its analysis; S.P.S., I.S. and M.R.P. wrote the manuscript; and N.M., R.W.C., I.S. and M.R.P. supervised the project.

COMPETING FINANCIAL INTERESTS

The authors declare competing financial interests: details accompany the full-text HTML version of the paper at http://www.nature.com/naturemedicine/.

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ONLINE METHODS

Cells and virus stocks. Madin-Darby canine kidney cells (American Type Culture Collection CCL 34, American Type Culture Collection) were maintained in DMEM (MediTech) containing 10% FBS (HyClone, ThermoFisher Scientific). Influenza virus stocks (A/PR/8/34, H1N1) were prepared, purified and inactivated as previously described34. Inactivated influenza virus suspensions in PBS were lyophilized using settings based on a prior study35 and described in the Supplementary Methods. Hemagglutination activity was determined using chicken red blood cells (LAMPIRE Biological Laboratories) as previously described36. The mouse-adapted A/PR/8/34 strain was obtained by eight serial passages in lungs of BALB/c mice. The LD<sub>50</sub> was calculated by the Reed-Muench formula37, and viral titer was determined by plaque assay34.

Polymer microneedle fabrication and encapsulation of influenza vaccine. Dissolving polymer microneedles were created via in situ polymerization of liquid monomer within a microneedle mold, as described previously19. Briefly, a microneedle master structure was created via a lens-based, lithographic microfabrication process. A reusable inverse mold was created by pouring polydimethylsiloxane (184 Dow Corning) over the master structure, allowing it to cure overnight, and carefully peeling the resulting mold off the master structure. We then applied 100 μl of vinylpyrrolidone monomer (99%, Sigma-Aldrich), free-radical initiator azobisisobutyronitrile (1.0 mol%) and inactivated influenza virus (6 mg ml<sup>-1</sup>) to the mold surface and administered vacuum (~101 kPa) for 1–2 min to pull the solution into the microneedle mold and form the microneedles. Then, a second mixture of 100 μl of vinylpyrrolidone monomer and azobisisobutyronitrile initiator (without vaccine) was applied to the surface of the mold to form the patch backing. Finally, the system was placed under an ultraviolet lamp (100 W, 300 nm, BLAK RAY) to initiate photopolymerization. After 30 min, the PVP microneedle patch was carefully removed from the mold and stored in a desiccator for up to 30 d.

Antigen stability study. Initial studies were conducted to test the stability of the processed antigen. Four different vaccine preparations were administered i.m., as described below, to assess the effect of microneedle fabrication processes on antigen stability in comparison with naïve mice. For the first two groups, 100 μg untreated inactivated influenza virus was resuspended either alone or in combination with 83 μg of PVP in 1.0 ml water. For the third group, 100 μg lyophilized inactivated influenza virus was resuspended in 1.0 ml water. For the fourth group, 100 μg lyophilized inactivated influenza virus was encapsulated in a microneedle patch containing 83 mg PVP, which was dissolved in 1.0 ml water. Two weeks after immunization, sera were collected and tested for influenza-specific IgG titers, as described below.

Immunizations. Female BALB/c mice (Charles River Laboratory) (11 mice per group, 6–8 weeks old) received a single dose of vaccine by microneedle or i.m. immunization. For microneedle delivery, 2 d before immunization the mice were anesthetized with a ketamine and xylazine cocktail, the dorsal caudal surface was prepared and hair was removed as previously described34. Microneedles were manually inserted into the caudal site of the dorsal surface of the skin, left in place for 15 min and then removed. Immunization with 6 μg of vaccine was accomplished by inserting two arrays of microneedles at the same time, each encapsulating 3 μg of vaccine. The vaccine dose is reported as the mass of virus protein, which was composed of ~30% hemagglutinin protein. i.m. immunization was carried out by injecting 6 μg of the vaccine suspended in 50 μl of PBS into the upper quadrant of the gluteal muscle. Mouse studies were approved by the Emory University Institutional Animal Care and Use Committee.

Challenge of mice with influenza virus. To determine survival rates and immune responses after challenge, six mice per group were challenged 1 month after immunization by intranasal instillation of 50 μl (180 PFU) of live mouse-adapted A/PR/8/34 virus and monitored for 14 d. For a control group, we included six unimmunized challenged mice. A weight loss exceeding 25% was used as the experimental end point, at which mice were killed. The challenged mice were monitored daily for signs of morbidity (body weight changes, fever and hunched posture) and mortality.

Characterization of immune response. As described in the Supplementary Methods, blood was collected 14 and 28 d after immunization to determine humoral immune responses (total IgG, IgG isotypes and HAI titers). Four days after challenge, blood was collected to determine humoral immune responses; spleens were collected to assay antibody-secreting cells and cytokine expression levels, and lungs were collected to determine lung virus titers, IgG and IgA titers, antibody-secreting cells and cytokine expression levels.

Statistical analyses. The statistical significance of observed differences was calculated by two-tailed unpaired Student’s t test and one-way analysis of variance, including Bonferroni’s multiple comparison test. Values were considered significant for P ≤ 0.05. Unless otherwise stated, data were pooled from at least two independent experiments.

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