Attractive ultracold bosons in a necklace optical lattice

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We study the ground state properties of the Bose-Hubbard model with attractive interactions on a $M$-site one-dimensional periodic — necklace-like — lattice, whose experimental realization in terms of ultracold atoms is promised by a recently proposed optical trapping scheme, as well as by the control over the atomic interactions and tunneling amplitudes granted by well-established optical techniques. We compare the properties of the quantum model to a semiclassical picture based on number-conserving $su(M)$ coherent states, which results into a set of modified discrete nonlinear Schrödinger equations. We show that, owing to the presence of a correction factor ensuing from number conservation, the ground-state solution to these equations provides a remarkably satisfactory description of its quantum counterpart not only — as expected — in the weak-interaction, superfluid regime, but even in the deeply quantum regime of large interactions and possibly small populations. In particular, we show that in this regime, the delocalized, Schrödinger-cat-like quantum ground state can be seen as a coherent quantum superposition of the localized, symmetry-breaking ground-state of the variational approach. We also show that, depending on the hopping to interaction ratio, three regimes can be recognized both in the semiclassical and quantum picture of the system.

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I. OVERVIEW

Owing to the impressive progress in experimental techniques, ultracold neutral atoms trapped in optical lattices are nowadays widely recognized as a versatile toolbox bringing into reality ideal models of condensed matter physics [1]. A prominent example in this respect is no doubt the Bose-Hubbard model, originally introduced to sketch the physics of superfluid helium in porous media [2] and subsequently shown to be realizable in terms of optically trapped ultracold Bosonic atoms [3, 4]. This model, describing interacting Bosonic particles hopping across the $M$ sites of a discrete structure, is characterized by a Hamiltonian of the form

$$H = \frac{U}{2} \sum_{m=1}^{M} n_{m}(n_{m} - 1) - T \sum_{m,m'=1}^{M} J_{mm'} a_{m}^\dagger a_{m'}$$

(1)

where $a_{m}$, $a_{m}^\dagger$ and $n_{m} = a_{m}^\dagger a_{m}$ are on-site Bosonic operators, $U$ measures the strength of the (on-site) boson-boson interaction, $T$ is the hopping amplitude across neighboring sites and $J$ is the so-called adjacency matrix, describing the lattice topology. Its generic entry, $J_{mm'}$, equals 1 if the sites $m$ and $m'$ are adjacent, and 0 otherwise. Parameters $U$ and $T$ are directly related to well defined experimental quantities, i.e. the scattering length of the Bosonic atoms and the intensity of the laser beams giving rise to the optical lattice, respectively [3]. The possibility of tuning the lattice strength over a wide range of values played a fundamental role in the experimental observation [4] of the superfluid-insulator quantum phase transition predicted for Hamiltonian [1] in the case of repulsive interaction [2]. Further aspects of versatility of optically trapped ultracold atoms lie in the possibility of tuning the atomic scattering length, and hence the boson-boson interaction, via Feshbach resonances [5] and realizing a great variety of different lattices, by means of a suitable laser beam setup [6, 7], possibly involving holographic elements [8].

In this paper we are concerned with the case of attractive bosons in a 1D periodic lattice, whose experimental realizability is made concrete by a recently proposed optical trapping scheme [9] and by the control over the sign and intensity of the boson-boson interaction granted by Feshbach resonances [5]. This symmetric, necklace-like geometry is particularly interesting since, in the strong interaction limit, the system ground state is a quantum superposition of macroscopic spatially localized states, i.e. a so-called Schrödinger-cat state [3]. Although the state-of-art experimental setups ensure a remarkable control and insulation from the environment, the realization of such deeply quantum states presents several difficulties, which will be briefly discussed in the final part of this work. Our main aim here is a study of the ground state properties of quantum Hamiltonian [1], which we compare to the corresponding state of a suitable semiclassical approximation to the Bose-Hubbard model.

Before going into details, we mention that the attractive Bose-Hubbard model has been addressed by two recent works [10, 11]. In Ref. [10] a further nonlinear (cubic) repulsive term is introduced in Hamiltonian [1] in order to prevent excessive localization for large values of the interaction. Such a term is ascribed to three body interactions coming into play at elevate atomic densities. However, experimental observations suggest that these interactions produce different phenomena resulting into a leak of condensate atoms rather than into a repulsive term [12]. Hence, here we do not consider such a re-
pulsive term, and assume that the total boson population is sufficiently low to rule out the above phenomena. In some respects our analysis is similar to that of Ref. [11], whose results we compare to ours. Other recent results related to ours are found in Refs. [13], which also focus on annular geometries, yet in the absence of a lattice potential. Finally, we mention that the attractive Bose-Hubbard model [11] has received considerable attention as the quantum counterpart of the discrete self-trapping (DST) or quantum discrete non-linear Schrödinger (DNLS) equations [14, 15], particularly in relation to the issues of discrete quantum solitons [16] and breathers [17, 18].

In Appendix A we show that the variational trial state, which is a further justification (?) for the effective-ness of the semiclassical picture. In Appendix B we show — with a coherent superposition of the almost degenerate $M$ lowest states of Hamiltonian (1) — that the crossover between the Schrödinger-cat and soliton regimes, $\tau_{2}^{(M)}$, exhibits a clear dependence on the lattice size $M$, which becomes linear for large sizes. In Section IV we illustrate the effective-ness of the semiclassical description introduced in Section III. In particular, we show that in the Schrödinger-cat regime, the delocalized ground state of the quantum model is very well described by a coherent superposition of the degenerate localized ground states of the semiclassical picture. Furthermore, we discuss the relevance of the semiclassical thresholds $\tau_{1}$ and $\tau_{2}^{(M)}$ in the quantum picture. In particular we show that the local observables considered in Ref. [11] are not sensitive to size dependent threshold $\tau_{2}^{(M)}$, which is related to the finiteness of the system, but rather to the size-independent threshold $\tau_{1}$, which is related to the discrete nature of the system. We also show that $\tau_{2}^{(M)}$ is signaled by nonlocal observables. In Appendix A we show that the variational trial state introduced in Section III is actually a $SU(M)$ coherent state, which is a further justification (?) for the effective-ness of the semiclassical picture. In Appendix B we show that in the Schrödinger-cat regime the localized ground state of the semiclassical picture coincides — at the first perturbative order in $\tau$ — with a coherent superposition of the degenerate $M$ lowest states of Hamiltonian (1).

II. HOMOGENEOUS PERIODIC 1D LATTICE

Let us now summarize the main properties of Hamiltonian (1). First of all, we recall that the relevant Hilbert space is in principle infinite, and it is spanned by the Fock vectors generated by the action of the positive powers of the creation operators $a^\dagger_1$ onto the vacuum state $|\Omega\rangle$, i.e. the state such that $a_m|\Omega\rangle = 0$ for any $m = 1, 2, \ldots, M$. However, Hamiltonian (1) commutes with the total number operator, $N = \sum_{m=1}^{M} n_m$, and hence its study can be restricted to the finite size eigenspaces of $N$. Henceforth, we assume to be in the eigenspace relevant to the total population $N$, whose size is $d(N, M) = (N + M - 1)!N$. As we mention, we are interested in the case of attractive bosons ($U < 0$) on a 1D homogeneous periodic lattice. Hence we particularize the adjacency matrix in Eq. (1) to

$$J_{mm'} = \delta_{m, m'+1} + \delta_{m, m'-1},$$  (2)

where, on a periodic lattice comprising $M$ sites, the site labels $0$ and $M + 1$ correspond to sites $1$ and $1$, respectively. With this choice Hamiltonian (1) is clearly translationally invariant. Hence all of the relevant observables cannot break this symmetry, i.e. exhibit a dependence on the site label. Owing to the translational invariance the eigenstates of $H$ can be labeled by a further quantum number. This is made quite evident by switching to the reciprocal space, i.e. by introducing the transformed operators

$$b_k = M^{-1/2} \sum_{m=1}^{M} e^{i2\pi km/M} a_m,$$  (3)

making the kinetic term of Hamiltonian (1) diagonal. Of course, the reciprocal space has the same size $d(N, M)$ as the direct space, and it is spanned by the reciprocal Fock vectors, generated by the action of the powers of the $b_0^\dagger$’s on $|\Omega\rangle$. Note indeed that $N = \sum_{p=1}^{M} b_p^\dagger b_p$ and that $b_p|\Omega\rangle = 0$ for any $p$. In this representation the displacement operator changing $a_m$ into $a_{m+1}$ is simply

$$D = \exp(i\frac{2\pi}{M} P), \quad P = \sum_{p=1}^{M} p b_p^\dagger b_p.$$  (4)

Since $[D, H] = 0$, the Hamiltonian can be studied separately in the $M$ eigenspaces of $D$. Note indeed, that $D^M = I$, which entails that the eigenvalues of the displacement operator are the $M^{th}$ roots of unity. After noticing that the reciprocal Fock vectors are clearly eigenstates of $D$, the block diagonal structure of $H$ can be made evident by simply arranging these states according to the relevant eigenvalue, which we denote by $D$. 

The layout of this paper is as follows. In Section II we rapidly review the key features of the Bose-Hubbard model (1). Focusing on the special case of a periodic one-dimensional lattice comprising $M$ sites, we recall the main properties arising from translational invariance. In Section III we describe a semiclassical variational approach to Hamiltonian (1) based on a number-conserving trial state. This approach results into a set of DNLS equations featuring a correction factor that makes them effective also in the deeply quantum regime characterized by large attractive interactions and small boson populations. Furthermore, we describe the three regimes that can be recognized for the ground state of the one-dimensional periodic lattice under investigation. A Schrödinger-cat regime for small values of the parameter $\tau = T/|U|(N-1)$, where $N$ is the total number of bosons in the system; a soliton regime, for intermediate values of $\tau$; a superfluid regime for large values of $\tau$. We show that the crossover between the Schrödinger-cat and soliton regimes occurs around a size-independent threshold $\tau_1$, while the critical point marking the boundary between the soliton and superfluid regimes, $\tau_2^{(M)}$, exhibits a clear dependence on the lattice size $M$, which becomes linear for large sizes. In Section IV we illustrate the effective-ness of the semiclassical description introduced in Section III. In particular, we show that in the Schrödinger-cat regime, the delocalized ground state of the quantum model is very well described by a coherent superposition of the degenerate localized ground states of the semiclassical picture. Furthermore, we discuss the relevance of the semiclassical thresholds $\tau_1$ and $\tau_2^{(M)}$ in the quantum picture. In particular we show that the local observables considered in Ref. [11] are not sensitive to size dependent threshold $\tau_2^{(M)}$, which is related to the finiteness of the system, but rather to the size-independent threshold $\tau_1$, which is related to the discrete nature of the system. We also show that $\tau_2^{(M)}$ is signaled by nonlocal observables. In Appendix A we show that the variational trial state introduced in Section III is actually a $SU(M)$ coherent state, which is a further justification (?) for the effective-ness of the semiclassical picture. In Appendix B we show that in the Schrödinger-cat regime the localized ground state of the semiclassical picture coincides — at the first perturbative order in $\tau$ — with a coherent superposition of the almost degenerate $M$ lowest states of Hamiltonian (1).
A perhaps more convenient choice for the displacement quantum number is the integer \( k \in [0, M - 1] \) such that \(-i \log(D) = 2 \pi k / M\). In particular, the ground state of the system is the lowest eigenstate of the block relevant to \( D = 1 \), or, equivalently, \( k = 0 \). Introducing a further integer label \( j \) ranking the eigenstates of of Hamiltonian \( \text{Hamiltonian} \) according to their energy, we set

\[
D|\psi_j^{(k)}\rangle = e^{i \frac{2 \pi i}{M}}|\psi_j^{(k)}\rangle, \quad H|\psi_j^{(k)}\rangle = E_j^{(k)}|\psi_j^{(k)}\rangle
\]

with \( E_j^{(k)} = E_j^{(k+1)} \). In particular, \(|\psi_0^{(0)}\rangle\) and \( E_1^{(0)} \) denote the ground state of \( H \) and its energy. We recall that this state is translationally invariant, while, more in general, all of the eigenstates of \( H \) are delocalized. That is to say, these eigenvalues cannot give rise to site-dependent expectation values of local operators, such as e.g. \( n_m \).

This means that, at least in principle, the states \(|\psi_1^{(k)}\rangle\) exhibit the features of the celebrated Schrödinger cat state. Indeed, as it is well known, for \( T \ll U \) the ground states of the \( M \) blocks of \( H \) are almost degenerate coherent superpositions of macroscopically localized states of the form

\[
|\psi_1^{(k)}\rangle = \sum_{m=1}^{M} e^{\frac{2 \pi i m k}{M}} |N_m\rangle, \quad |N_m\rangle = \frac{(a_m^\dagger)^N}{\sqrt{N!}} |\Omega\rangle.
\]

This can be quite easily seen by treating the kinetic term of Hamiltonian \( \text{Hamiltonian} \) perturbatively \( \text{Perturbatively} \). In Sec. \( \text{Sec.} \) we show that the Schrödinger cat structure of Eq. \( \text{Eq.} \) can be extended to a whole interval of \( T/U \) ratios, by replacing the Fock vectors \(|N_m\rangle\) with suitable \( \text{su}(M) \) coherent states which are strictly related to the localized ground state of the semiclassical approximation to Hamiltonian \( \text{Hamiltonian} \).

### III. TIME DEPENDENT VARIATIONAL PRINCIPLE

As we recall above, the attractive Bose-Hubbard model \( \text{Bose-Hubbard} \) has received significant attention also as the quantum counterpart \( \text{Quantum} \) of the so-called discrete self-trapping (DST) or discrete non-linear Schrödinger (DNLS) equations \( \text{DNLS} \). These semiclassical equations are equivalently obtained by discretizing the Gross-Pitaevskii equations \( \text{Gross-Pitaevskii} \) or applying to Hamiltonian \( \text{Hamiltonian} \) a time dependent variational principle (TDVP) based on Glauber’s coherent states \( \text{Glauber’s} \). Here we adopt the same approach as Ref. \( \text{Ref.} \), using a macroscopic trial state of the form \( |\tilde{\Phi}\rangle = e^{iS_t |\Phi\rangle} \) where

\[
|\Phi\rangle = \frac{1}{\sqrt{N!}} \left( \sum_{m=1}^{M} \phi_m a_m^\dagger \right)^N |\Omega\rangle, \quad \sum_{m=1}^{M} |\phi_m|^2 = N, \quad (\text{7})
\]

instead of a product of Glauber’s coherent states. The complex quantity \( \phi_m \) appearing in Eq. \( \text{Eq.} \) is a dynamical variable describing the bosons at lattice site \( m \) through a macroscopic local phase and population, identified with \( \arg(\phi_m) \) and \( |\phi_m|^2 \). The request that the trial state satisfies the Schrödinger equation on the average \( \text{Average} \), \( \langle \tilde{\Phi} | \dot{\Phi} - H | \Phi \rangle = 0 \), leads to the identification of \( \dot{\tilde{S}} \) with the effective Lagrangian for the dynamical variables \( \phi_m \), and

\[
\mathcal{H} = \langle \tilde{\Phi} | H | \Phi \rangle
\]

\[
= \frac{N - 1}{N} U \sum_{m=1}^{M} |\phi_m|^4 - T \sum_{m=m'}^{M} J_{mm'} |\phi_m| |\phi_m'|
\]

with the corresponding effective Hamiltonian \( \text{Effective} \). Minimizing \( \mathcal{H} \) with respect to the \( \phi_m \)’s provides a semiclassical variational approximation to the ground state of the Bose-Hubbard Hamiltonian. Introducing the chemical potential \( \mu \), i.e. a Lagrange multiplier allowing for the normalization constraint in Eq. \( \text{Eq.} \), the ensuing equations are

\[
\frac{N - 1}{N} U |\phi_m|^2 |\phi_m - T \sum_{m'} J_{mm'} |\phi_m'| = \mu |\phi_m|
\]

We recall that Eqs. \( \text{Eqs.} \) are actually the fixed point equations corresponding to the equations of motion for the \( \phi_m \)’s obtained via the canonical Poisson brackets \{ \( \phi_m, \phi_{m'}^* \} = i \delta_{m,m'} \). Here by fixed points we mean the “normal modes” whose time evolution is entirely contained in a simple phase factor \( e^{-i \mu t} \). Equations \( \text{Equations} \) clearly belong to the DNLS class and, as we show in the following section, they are able to capture many of the features of the quantum ground state also in the extreme quantum regime of large interactions and small populations. This is due to the extra \((N - 1)/N\) factor appearing in their interaction term, which is absent in the DNLS equations standardly adopted in the semiclassical approach to the Bose-Hubbard model. The presence of this extra factor is a direct consequence of the macroscopic trial state, Eq. \( \text{Eq.} \), being an eigenstate of the total number operator \( N \). This is not true of the Glauber coherent state product adopted as a trial function in standard TDVP, which conserves the total number of bosons only on the average \( \text{Average} \). Hence the resulting dynamical equations, which are perfectly equivalent to standard DNLS equations, do not feature the extra \((N - 1)/N\) factor. The effectiveness of the description provided by the macroscopic state \( \text{Macroscopic} \) can be explained by noticing that it is actually a coherent state itself, of \( \text{su}(M) \). Note that \( N \) is the algebra representation index of the present two-boson realization of \( \text{su}(M) \) as confirmed by the fact that \( N \) commutes with the algebra generators \( a_m^\dagger a_{m'} \) for any \( 1 \leq m, m' \leq M \). Hence the conservation of the boson
population is already incorporated in this coherent state picture. This is illustrated in Appendix A.

As it is well known, the nonlinear terms can break the translational symmetry characterizing Hamiltonian with the choice in Eq. (2). Indeed, if the interaction \( U \) is sufficiently strong, the ground state of the system is arbitrarily localized at one of the \( M \) equivalent lattice sites. This ground state is a fixed point of Eqs. (11), and can be easily determined numerically by treating Eqs. (9) as a set of self-consistent linear equations [22, 23]. More in general, depending on the value of the \( T/U \) ratio, it is possible to recognize three regimes for the semiclassical ground state. For large ratios, the ground state is superfluid, the boson population being evenly spread over the entire lattice. For sufficiently low values of the \( T/U \) ratio this delocalized superfluid solution becomes unstable, and the ground state of the system becomes soliton-like, featuring a localization peak breaking the translational symmetry of Hamiltonian \( \mathcal{H} \). The critical point marking the boundary between the superfluid and soliton regime is \( \tau = \tau_2^{(M)} = [2M \sin^2(\pi/M)]^{-1} \), where we recall that we define \( \tau = T/U(\mathcal{N} - 1) \) [11, 24, 27]. As \( \tau \) is further decreased, the localization peak—which initially involves a significant number of lattice sites—becomes sharper and sharper, until the entire boson population is basically concentrated at a single lattice site. This situation is the is the semiclassical, symmetry-breaking counterpart of the Schrödinger-cat state, where the boson localization is symmetrically distributed over all of the lattice sites. As we discuss in the sequel, it is possible to recognize a threshold, or rather a crossover, between this Schrödinger-cat regime and the soliton regime where the localization peak involves a large portion of the lattice. Note indeed that in the latter situation the periodic boundary conditions and the discretization of the lattice produce a small effect, and the ground state is completely localized and its energy is \( -\frac{M \tau^2}{2N} \). We emphasize that in the continuum limit the corresponding quantity would diverge as \( \tau^{-1} \) for \( \tau \to 0 \). The inset shows a comparison between the localized ground state of \( \mathcal{H} \) (bullets) on a lattice of size \( M = 40 \) and the corresponding solution in the continuum limit (solid line).

Fig. 1: (Color online) Normalized interaction energy, Eq. (11), for two different lattice sizes, \( M = 20 \) (light solid line) and \( M = 40 \) (dark solid line). The delocalization transition clearly occurs at \( \tau = \tau_2^{(M)} \). Furthermore, the plotted quantity exhibits a size independent behavior in the small \( \tau \)'s regime. The crossover at \( \tau = \tau_1 \) corresponds to a change in the convexity plotted function. This is related to the ultraviolet cutoff due to the discreteness of the lattice. Note indeed that in the continuum limit the corresponding quantity would diverge as \( \tau^{-1} \) for \( \tau \to 0 \).

IV. SEMICLASSICAL VS. QUANTUM PICTURE

In this section we compare the features of the semiclassical picture introduced in the preceding Section to the corresponding features of the quantum model, Eq. (1). First, we direct our attention to the energy and structure of the ground state. Then, we discuss the relevance of the semiclassical thresholds in the quantum picture. We consider lattice comprising up to \( M = 20 \) sites, and populations consisting of up to \( \mathcal{N} = 10 \) bosons. All of the quantum observables are obtained evaluating the eigenstates above are quite evidently signalled by the behavior of the (normalized) interaction energy

\[
\mathcal{I} = \frac{\sum_{m=1}^{M} |\phi_m|^4}{M^2} = \frac{M}{\mathcal{N}^2} \langle n_m^2 - n_m \rangle
\]

as a function of \( \tau \), where \( \langle \cdot \rangle \) denotes expectation value on the trial state \( \psi \) equipped with the ground state solution to the fixed point equations (9). This is shown in Fig. 11 where two lattice size are considered, \( M = 20 \) and \( M = 40 \). Recalling that \( \langle n_m \rangle = \mathcal{N}/M \), it is easy to see that the quantity in Eq. (11) is also related to the fluctuation of the local density of bosons.
of Hamiltonian $H$ and the relevant energies by means of Lanczos-like algorithms. The translational symmetry of Hamiltonian $H$ is conveniently taken into account in order to reduce significantly the computational demand.

### A. Ground-state energy and structure

As it can be seen in Fig. 2, the ground state energy of the quantum model, Eq. (1), is in general remarkably well described by the relevant semiclassical result. Indeed the maximum in the relative error settles at about 2% as the lattice size increases. Furthermore, the agreement between the quantum and the classical result is extremely satisfactory both in the large $\tau$ and in the small $\tau$ region. The agreement in the former region is expected, since in the noninteracting limit the quantum ground state of the system is exactly given by Eq. (4) with $\phi_m = \sqrt{N/M}$, which is nothing but the superfluid semiclassical ground state taken over after the delocalization transition at $\tau^{(M)}$. The agreement in the strong interaction limit is more surprising, and it requires further discussion. Indeed, in this region the semiclassical and the quantum ground states are significantly different, the former being strongly localized at one lattice site, while the latter being always completely delocalized. As we recall in Sec. II the eigenvalues of the quantum Hamiltonian $H$ are labeled by a quantum number $k$ ensuing from translational invariance, Eq. (4). The inset of Fig. 2 clearly shows that, in the small $\tau$ region the actual ground state, $|\psi_1^{(0)}\rangle$, is almost degenerate with the ground states of all of the remaining Hamiltonian blocks, $|\psi_1^{(k)}\rangle$. Hence, in this region, the actual ground state of the system is satisfactory described by any superposition of the states $|\psi_1^{(k)}\rangle$. Of course this is not an eigenstate of $H$, but the relevant dephasing time is extremely long, being inversely proportional to the energy spreading of the involved eigenstates. In particular, one can choose a superposition of the form

$$|\Phi_j\rangle = \sum_{k=1}^{M} e^{-i\frac{2\pi}{M} j k} \gamma_k |\psi_1^{(k)}\rangle$$

which features a population peak localized at lattice site $j$. We emphasize that the phase factors $\gamma_k$ are not arbitrary, but have to be chosen in order to make sure that the $|\psi_1^{(k)}\rangle$’s are phase coherent. Recall indeed that a generic eigenstate is defined up to an arbitrary phase factor. The superposition in Eq. (12) features the desired localization properties only if the $\gamma_k$’s compensate for possible phase differences among the $|\psi_1^{(k)}\rangle$’s. As it is shown in Fig. 3, the boson density $\langle \Phi_j | n_m | \Phi_j \rangle$ is in remarkable agreement with the corresponding semiclassical quantity, $|\phi_m|^2$ for sufficiently small values of $\tau$. At larger values the agreement is lost since the energy spreading of the eigenstates involved in Eq. (12) becomes significant, and the superposition cannot be confused with the ground state any more. Furthermore, as it is shown in the inset of Fig. 2 the energies of the $|\psi_2^{(k)}\rangle$’s become comparable to those of the $|\psi_1^{(k)}\rangle$’s.

By resorting to perturbation theory, it is possible to show that the agreement between the trial state (12) and

\begin{figure}
\centering
\includegraphics[width=\textwidth]{fig2}
\caption{(Color online) Relative energy difference between the exact quantum ground state and the relevant semiclassical approximation. The curves refer to boson population $N = 10$ and lattice sizes $M = 6, 8, 10, 12$. The inset shows the energy range spanned by the ground states $E_1^{(k)}$ (shaded region) and the first excited states $E_2^{(k)}$ (region between the dotted lines) of the blocks relevant to different values of the quantum number $k$ associated to the translational invariance of $H$ [see Eq. (5)]. These result refer to $M = 12$ and $N = 10$. The vertical dashed lines signal the crossover at $\tau = \tau_1$.}
\end{figure}

\begin{figure}
\centering
\includegraphics[width=\textwidth]{fig3}
\caption{(Color online) Normalized boson density $\langle \Phi_j | n_m | \Phi_j \rangle$ as provided by the quantum localized state (solid lines), compared to the corresponding semiclassical quantity $|\phi_m|^2$ (bullets) for $N = 10$ bosons on $M = 12$ lattice sites.}
\end{figure}
the localized state $|\Phi_j\rangle$, Eq. (14), goes beyond the local density of bosons. Indeed, at the first order in the perturbative parameter $\tau$, they are actually the same state. This is discussed in Appendix 3. Notice that the above discussion entails that, in the strong coupling, Schrödinger-cat regime, the actual ground state of the quantum Hamiltonian 1 is a coherent superposition of localized states of the form 4, where the $\phi_m$’s are obtained solving the corresponding semiclassical problem 5. Once again, we emphasize that the extra $(N-1)/N$ in the DNSL equations 10, stemming from the choice of a number-conserving trial state, Eq. 11, plays a fundamental role in ensuring the remarkable agreement between the quantum and the semiclassical picture, Eqs. 11 and 13, respectively.

B. Quantum signature of the semiclassical regimes

Let us now discuss the relevance of the semiclassical thresholds $\tau_1$ and $\tau_2^{(M)}$ in the quantum picture of the system. This issue has been considered to some extent in Ref. 11, where only the delocalization transition occurring at $\tau = \tau_2^{(M)}$ is taken into account. The authors report that this critical point is signalled by the behavior of some quantum observables, such as the one-particle and $N$-particle correlation functions,

$$C_1 = \frac{M}{N} \langle a^\dagger_m a_{m+1} \rangle, \quad C_N = \frac{M}{N} \langle (a^\dagger_m)^N a_m^N \rangle,$$

where $\langle \cdot \rangle$ denotes expectation value over the ground state. More precisely, they observe that, with increasing interaction, $C_1$ features a sudden drop around the semiclassical delocalization threshold $\tau_2^{(M)}$, while $C_N$ features a surge roughly at the same point. Furthermore they report that this threshold is also signalled by the number distribution, i.e. the probability $\rho_\nu$ that the boson population at a given lattice site equals $\nu$. More precisely, they report that this distribution becomes double peaked for $\tau < \tau_2^{(M)}$.

Here we show that the above quantum observables do not signal the delocalization threshold, but rather the crossover between the soliton and Schrödinger-cat regime occurring around $\tau = \tau_1$. First of all, we observe that the variation of these observables is not so sudden to identify a precise transition point. They rather signal a crossover interval. Furthermore, in the case considered in Ref. 11, $M = 6$, $N = 10$, the two semiclassical thresholds $\tau_1 = 1/4$ and $\tau_2^{(6)} = 1/3$ are relatively close, and both compatible with such crossover interval. However, as we illustrate in Section III, $\tau_1 = 1/4$ is substantially size-independent, while $\tau_2^{(M)}$ clearly depends on the lattice size. A study of the scaling properties of the above quantum observables shows that the features considered in Ref. 11 do not depend on the lattice size, and occur in the region around $\tau_1$. 5

Let us first of all consider the number distribution $\rho_\nu$, i.e. the quantity such that $\langle n_m \rangle = \sum_{\nu=0}^N \nu \rho_\nu = N/M$, and, in general, $\langle n_m^\nu \rangle = \sum_{\nu=0}^N \nu^\nu \rho_\nu$. It is clear that in the strong interaction limit this distribution features two peaks at $\nu = 0$ and $\nu = N$, while it is substantially vanishing for all of the remaining numbers 11. Recall indeed that in this limit, i.e. deep in the Schrödinger-cat regime, the ground state has the form in Eq. 4, i.e. deep in the Schrödinger-cat regime, the ground state has the form in Eq. 4. In this regime the number fluctuations are very large. Actually, as we discuss in Sec. III, this situation is the quantum counterpart of the semiclassical situation where the boson population is almost entirely concentrated at one of the lattice sites. Hence, the ground state cannot be influenced by the boundary conditions or by the lattice size, and this regime is expectedly entered at $\tau \approx \tau_1$. This is actually confirmed by Fig. 4 which illustrates some key features of the number distribution. As it can be seen in the contour plot of the top panel, for small $\tau$ the number distribution $\rho_\nu(\tau)$ features two maxima at $\nu = 0$ and $\nu = N$, while its minimum is at $\nu = N/2$. As soon as $\tau$ becomes larger than $\tau_1$ the relative maximum at $\nu = N$ disappears, and the minimum suddenly moves to this extreme value. The location of such minimum, $\nu_m$, is signalled by the white bullets on the contour plot. Note that no special feature can be recognized in correspondence of the delocalization threshold, signalled by the vertical dashed line. The bottom panel of Fig. 4 reports the (normalized) location $\nu_m(\tau)/N$ of the minimum of $\rho_\nu(\tau)$ for several choices of the lattice size $M$ and of the boson population $N$. In all cases the sudden displacement of the minimum signals that the
number distribution becomes single peaked at the semiclassical crossover $\tau_1$, i.e. at the crossover between the Schrödinger-cat and soliton regime. Basically the same discussion as above applies to the $N$-particle correlation function $C_N$ defined in Eq. (13). It is indeed clear that it must exhibit a significant surge when the Schrödinger-cat regime is entered and the number distribution becomes double peaked, i.e. around $\tau = \tau_1$. Indeed, for this correlation to be non-vanishing it is necessary that the probability of finding all of the $N$ bosons at the same lattice site is significant. This is confirmed by Fig. 5 reporting the behavior of $C_N(\tau)$ and of its derivative with respect to $\tau$ for several choices of the boson population and of the lattice size. No particular feature occurs at the $M$ dependent delocalization thresholds, signalled by the vertical dashed lines. Actually, a dependence on the lattice size $M$ is hardly recognizable, the curves referring to the same number of bosons $N$ being almost perfectly coincident. Conversely, the location where the plotted quantity becomes significant and its concavity changes are satisfactorily estimated by the semiclassical size-independent crossover at $\tau = \tau_1$. Figure 6 shows that also the substantial drop in the single-particle correlation function $C_1$ considered in Ref. [11] actually occurs around $\tau = \tau_1$. This is confirmed by the behavior of the derivative of this quantity, shown in the bottom panel of the same figure. Again, the plotted quantity is not sensitive to the size-dependent delocalization threshold $\tau_2^{(M)}$, essentially due to the fact that it is a local quantity, as it can be seen from its definition, Eq. (14).

The size-dependent delocalization threshold between the soliton and superfluid regime is signalled by a typically nonlocal quantity such as the occupation number $b_0^\dagger b_0$ of the lowest reciprocal mode, where $b_k$ is defined in Eq. (3). This is clearly evident in Fig. 7 where $(b_0^\dagger b_0)/N$ is plotted with respect to both $\tau$ (top panel) and $\tau/\tau_2^{(M)}$ (bottom panel), for several lattice sizes and boson populations. Unlike the quantities in Figs. 5, 6, $(b_0^\dagger b_0)/N$ exhibits a clear dependence on the lattice size, when plotted against $\tau$. Conversely, when the abscissae of the plot are rescaled so that all of the size-dependent delocalization thresholds occur at the same point (signalled by the vertical dashed line), a very satisfactory data collapse becomes evident. This means that the drop in the occupation of the lowest reciprocal mode is related to the delocalization transition observed in the semiclassical model.

V. OUTLOOK

In this paper we consider the Bose-Hubbard Hamiltonian [1] describing ultracold attractive bosons trapped into the necklace-like lattice whose realization in terms of optical potentials is described in Ref. [3]. In particular, we study the properties of the ground-state of such extremely symmetric quantum Hamiltonian, which in the strong interaction limit is known to exhibit the features of a Schrödinger-cat state. We introduce a variational approximation to this ground state based on a su($M$) coherent state, Eq. (17). The equations governing the dynamics of the labels of this coherent state belong to the class of DNLS equations, yet they feature an unusual correction term ensuing from the total number conservation. Owing to this correction term, the ground-state of this semiclassical approximation provides a remarkably
symmetry, i.e. it always belongs to the same eigenspace of the displacement operator defined in Eq. (1). This means that, at least in principle, only the relevant block of Hamiltonian $H$ is involved in the process. Actually, this is true provided that the system is perfectly isolated from the environment. Under this rather ideal assumption, the achievement of the Schrödinger-cat ground state basically depends on the adiabaticity of the parameter variation. However, the interaction with the environment much likely introduces a coupling between the ideally uncoupled blocks of Hamiltonian $H$, which has dramatic effects in the strong interaction regime. Indeed in this situation the actual ground state is almost degenerate with the lowest states of the blocks relevant to $k = 2, \ldots, M$, and an arbitrarily small perturbation can induce a transition to one of these excited states.

Another issue worth mentioning is the actual possibility of making measurements on a system such as the one considered here. As we recall in Section 4 there is an upper bound to the number of attractive bosons $N$ that can be loaded into the optical lattice. Indeed, the maximum attainable boson density $\langle n_M \rangle = N$ must be sufficiently low to rule out the complex phenomena described in Ref. [12]. On a one-dimensional system like the one considered here, the maximum boson population compatible with such constraint can be too small to allow measurements, such as e.g. absorption imaging. This difficulty can be possibly circumvented by considering a stack of coaxial necklace optical lattices isolated from each other, in place of a single necklace [3]. Assuming that these lattices can be loaded with the same number of bosons, they are expected to behave like copies of the system discussed in this paper. On the other hand, the larger overall boson population should allow for effective measurements.

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APPENDIX A: SU($M$) COHERENT STATES

In this section we give a sketch of the proof that the variational trial state introduced in Eq. (7) is actually a $su(M)$ coherent state, where $M$ is the number of sites composing the lattice. According to the standard theory, the coherent states of a given group $G$ are represented, up to a phase factor, as $|cs \rangle = q_{\text{ext}} \rangle$, where $q \in G$ and $|\text{ext} \rangle$ is a so-called extremal vector. The latter is identified by determining the maximal isotropy sub algebra $B \in \mathfrak{g}^C$, where $\mathfrak{g}^C$ is the complexified version of the algebra $\mathfrak{g}$ associated to the group $G$.

In our particular case, $\mathfrak{g}$ is the $su(M)$ algebra represented in terms of the $M$ lattice boson operators.
where the Cartan operators $h_x$ are suitable linear combinations of the on-site number operators $n_m = a_m^\dagger a_m$, $x_m \in \mathbb{R}$ and $\sigma_m a_m \in \mathbb{C}$. The relevant maximal isotropy subalgebra $B$ is formed by (complex valued) linear combinations of all of the generators of $G$, except the $M - 1$ operators of the form $a_m^\dagger a_m$, where $m$ corresponds to one of the lattice sites, and $m \neq \bar{m}$. The extremal state $|\text{ext}\rangle$, is defined as the state such that $b(\text{ext}) = \lambda_b(\text{ext})$ for any $b \in G \cap B$, with $\lambda_b \in \mathbb{C}$ [32]. This yields $|\text{ext}\rangle = |\bar{N}\rangle$, where $|\bar{N}\rangle$ is defined in Eq. (1). Of course, all of the $M$ possible choices for $\bar{m}$, and hence for the extremal state, are equivalent. For definiteness, here we set $\bar{m} = 1$.

It is possible to show that for any $g \in G$ there exists an unique decomposition $g = g_1 g_2$, where $b \in G \cap B$ and the so-called displacement operator has the form

\[
g_z = \exp \left[ \sum_{m=2}^{M} z_m^a a_m^\dagger a_1 + z_m^b a_1^\dagger a_m \right].
\]

Hence, up to an irrelevant phase factor arising from $g^b$, one gets $|\text{cs}\rangle = g(\text{ext}) = g_z^a |\bar{N}\rangle$

On the other hand, the variational trial state introduced in Eq. (4) can be rewritten as

\[
|\Phi\rangle = |(A^1)^N \Omega\rangle, \quad A = \frac{1}{\sqrt{N}} \sum_{m=1}^{M} \phi_m a_m
\]

Observe that $A^1 = B a_1^\dagger B^\dagger$, and hence that $(A^1)^N = B(a_1^\dagger)^N B^\dagger$ where

\[
B = e^{i \sum_{m=1}^{M} \phi_m n_m} e^{i \sum_{m=2}^{M} \theta_m (a_m^\dagger a_1 + a_1^\dagger a_m)}
\]

\[
\phi_1 = e^{i \varphi_1} \cos \theta, \quad \phi_2 = ie^{i \varphi_2} \theta \sin \theta, \quad \theta^2 = \sum_{m=2}^{M} \theta_m^2,
\]

one gets

\[
|\Phi\rangle = B \frac{(a_1^\dagger)^N}{\sqrt{N}} |\Omega\rangle = B |\bar{N}\rangle,
\]

where we made use of the fact that $B^\dagger |\Omega\rangle = |\Omega\rangle$. After some further algebraic manipulations, state [A3] reduces to (up to an irrelevant phase factor)

\[
|\Phi\rangle = e^{i \varphi_N} e^{i \sum_{m=2}^{M} \zeta_m (a_m^\dagger a_1 + a_1^\dagger a_m)} |\bar{N}\rangle
\]

where $\zeta_m = \theta_m e^{i \varphi_m} \in \mathbb{C}$. The comparison of the latter expression with Eq. [A1] fully discloses the SU($M$)-coherent-state structure of the variational trial state $|\Phi\rangle$ defined in Eq. [7].

It is important to notice that, while $|\Phi\rangle$ defined as in Eq. [A2] is operationally useful to derive the semiclassical picture relevant to Eqs. [8] and [10], the hidden, equivalent form of $|\Phi\rangle$ as a SU($M$) coherent-state (CS) indeed is responsible for such a semiclassical scenario.

In general, CS are known to provide a formulation of quantum-mechanical problems [31, 32] particularly close to the corresponding classical Hamiltonian picture. Let us consider a classical Hamiltonian $H_C$ for $2M$ degrees of freedom and the corresponding quantum model $H_Q$ obtained via canonical quantization. The dynamics of the quantum model can be investigated enacting a time-dependent variational principle (TDVP) based on a coherent state $|\Xi\rangle$, with $\Xi = (\xi_1, \ldots, \xi_M) \in \mathbb{C}^M$. This allows one to recast the Schrödinger equation for $H_Q$ into a classical problem whose Hamiltonian is $H(\Xi) = (\Xi|H_Q|\Xi)$. The corresponding Hamiltonian equations describe the evolution of the CS labels $\xi_m$, which thus play the role of dynamical parameters. Simple analytic formulas show that $\xi_m$’s can be rewritten in terms of the canonical variables appearing in $H_C$ (see, e. g., Ref. [23]). More in general, the fact that $|\Xi\rangle$ is a coherent state ensures that the domain where $\Xi$ ranges (CS manifold) coincides with the phase space where the dynamics of $H_C$ is represented.

The CS variational method exhibits two further noticeable features. First, the classical Poisson brackets characterizing the $\Xi$ dynamics preserve the algebraic structure of the operators constituting $H_Q$ and generating the group $G$. Also, the coherent-state labels $\xi_m$, beyond their canonical role in the $H$ dynamics, are the expectation values of Hamiltonian operators that embody information on microscopic interaction processes. For example, in the specific case under investigation, $\langle \xi|a_m^\dagger a_k|\Phi\rangle = \phi^*_m \phi_k$, $\langle \Phi|n_m|\Phi\rangle = |\phi_m|^2$ in Hamiltonian [S] are manifestly related to $a_m^\dagger a_k$ and $n_m$ in Hamiltonian [H]. Notice that Hamiltonian [S] matches exactly the classical version of Eq. (1) as soon as $N/(N - 1) \simeq 1$. An interesting result in the present work is the profound similarity between the (purely quantum) properties exhibited by the ground state of Hamiltonian [H] and the properties of its semiclassical minimum-energy configuration derived from state [A2] in the Schrödinger-cat regime.

APPENDIX B: PERTURBATIVE COMPARISON OF THE QUANTUM AND SEMICLASSICAL GROUND STATES

In this section we show that in the strong interaction limit the superposition defined in Eq. (12) coincides with the coherent state [7] where the $\phi_m$’s correspond to the semiclassical solution to Eqs. (9) localized at lattice site $j$. We do this resorting to perturbative theory, i.e. by assuming that

\[
H_0 = \frac{U}{2} \sum_{m=1}^{M} n_m (n_m - 1)
\]
are the unperturbed and perturbative terms of the quantum Hamiltonian \((\mathbf{1})\), respectively. At the 0\(^{\text{th}}\) order in \(\tau = T/U(N - 1)\) the quantum superposition \(\langle \Phi_j \rangle\) in Eq. (12) coincides with the Fock state \(|N_j\rangle\) defined in Eq. (12). The latter belongs to the \(M\)-fold degenerate lowest eigenspace of \(H_0\). Indeed,

\[
H_0|N_j\rangle = E_0|N_j\rangle, \quad E_0 = \frac{U}{2}N(N - 1)
\]

As to the first-order perturbative correction to \(\langle \Phi_j \rangle\), it is standardly obtained as \(\langle \Phi_j \rangle = (H_0 - E_0)^{-1}V|N_j\rangle\). After some simple algebraic manipulations we get

\[
|\Phi_j\rangle = \tau \sqrt{N} (|\eta_j^+\rangle + |\eta_j^-\rangle)
\]

where

\[
|\eta_j^+\rangle = \frac{(a_j^{\dagger})^{N-1}a_j^{\dagger}}{\sqrt{(N-1)!}}|\Omega\rangle, \quad |\eta_j^-\rangle = \frac{(a_j^{\dagger})^{N-1}a_j^{\dagger}}{\sqrt{(N-1)!}}|\Omega\rangle.
\]

Hence, to the first order in \(\tau\),

\[
|\Phi_j\rangle \approx |N_j\rangle + \tau \sqrt{N} (|\eta_j^+\rangle + |\eta_j^-\rangle)
\]

On the other hand it is easy to show that, to the first perturbative order in \(\tau\), the solution to the semiclassical equations \((\mathbf{14})\) localized at lattice site \(j\) is given by

\[
\phi_m \approx \sqrt{N} \delta_{m,j} + \tau \sqrt{N} (\delta_{m,j+1} + \delta_{m,j-1}).
\]

Plugging this expression into Eq. (17) gives

\[
|\Phi_j\rangle \approx |N_j\rangle + \tau \sqrt{N} (|\eta_j^+\rangle + |\eta_j^-\rangle),
\]

i.e. the same result as in Eq. (16). Hence, to the first perturbative order, the quantum superposition of the \(M\) lowest eigenstates of \(H\) defined in Eq. (12) coincides with the state obtained plugging the suitable localized solution to the semiclassical equations \((\mathbf{14})\) into the coherent state \(|\Omega\rangle\). We emphasize that in the strong interaction limit the zero temperature properties of \(H\) can be investigated based on the ground state of the corresponding semiclassical model, Eq. (14), which is evaluated at a considerably lower computational cost.

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[28] More precise estimates can be obtained considering the analytical solution to the NLSE on a ring domain [13]. Note that in this continuous model an “infrared” threshold related to the finite size of the system is naturally present. Conversely, the “ultraviolet” crossover related to the discreteness of the lattice has to be estimated requiring that $\Delta \approx 1$.

[29] This is obtained setting $\gamma_k = \langle \psi_1^{(k)} | f \rangle / |\langle \psi_1^{(k)} | f \rangle|$ where $|f\rangle$ is a state with a non vanishing projection on all of the $|\psi_1^{(k)}\rangle$. A suitable choice is $|f\rangle = |N_m\rangle$. Note that $|\tilde{\psi}_1^{(k)}\rangle = \gamma_k |\psi_1^{(k)}\rangle$ is perfectly equivalent to $|\psi_1^{(k)}\rangle$, as an eigenstate of $H$. However, the $|\tilde{\psi}_1^{(k)}\rangle$’s are in some sense phase coherent, in that their phases are all aligned to that of the reference state $|f\rangle$, i.e. $\langle f | \tilde{\psi}_1^{(k)} \rangle = |\langle f | \psi_1^{(k)} \rangle|$.

[30] In Ref. [11] all of the quantum observables are plotted vs. $U/T = [(N - 1)\tau]^{-1}$. Here we prefer to adopt $\tau$, which makes easier to put into evidence the scaling properties of the plotted quantities. Also note that our definition of $C_1$ differs from that in Ref. [11] by an irrelevant yet graphically convenient normalization factor.

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