Improved maps of surface water bodies, large dams, reservoirs, and lakes in China

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Abstract

Data and knowledge of surface water bodies (SWB), including large lakes and reservoirs (surface water areas > 1 km²) are critical for the management and sustainability of water resources. However, the existing global or national dam datasets have large georeferenced coordinate offsets for many reservoirs, and some datasets have not reported reservoirs and lakes separately. In this study, we generated China’s surface water bodies, Large Dams, Reservoirs, and Lakes (China-LDRL) dataset by analyzing all available Landsat imagery in 2019 (19,338 images) in Google Earth Engine and very-high spatial resolution imagery in Google Earth Pro. There were ~3.52 × 10⁶ yearlong SWB polygons in China for 2019, only 0.01 × 10⁶ of them (0.43%) were of large size
The areas of these large SWB polygons accounted for 83.54% of the total $214.92 \times 10^3$ km$^2$ yearlong surface water area (SWA) in China. We identified 2,418 large dams, including 624 off-stream dams and 1,794 on-stream dams, 2,194 large reservoirs ($16.35 \times 10^3$ km$^2$), and 3,051 large lakes ($73.38 \times 10^3$ km$^2$). In general, most of the dams and reservoirs in China were distributed in South China, East China, and Northeast China, whereas most of lakes were located in West China, the Lower Yangtze River Basin, and Northeast China. The provision of the reliable, accurate China-LDRL dataset on large reservoirs/dams and lakes will enhance our understanding of water resources management and water security in China. The China-LDRL dataset is publicly available at https://doi.org/10.6084/m9.figshare.16964656.v3 (Wang et al., 2022).

1. Introduction

Surface water bodies (SWB), including large lakes and reservoirs (surface water areas $> 1$ km$^2$), play an important role in the control and management of water resources (Yang and Lu, 2014, 2013; Feng et al., 2013, 2019). A reservoir is usually defined as artificial lake formed by constructing dams across rivers (on-stream reservoir) (Thornton et al., 1996; Hayes et al., 2017) or partially or completely formed by enclosed waterproof banks with concrete or clay (off-stream reservoir) (Xiang et al., 2019; Thornton et al., 1996) (Fig. S1). Nearly 50% of the global large dams were built primarily for agricultural irrigation through storing, regulating, and diverting water (Mulligan et al., 2020). Additionally, they are also used for hydropower generation, human and industrial uses, and flood peak attenuation (Lehner et al., 2011; Lehner and Döll, 2004; Wang et al., 2021a). Large lakes have been the subject of great interest not only because of their water
resources but also as indicators of local climate change and anthropogenic activities (Zhang et al., 2019; Ma et al., 2011; Birkett and Mason, 1995), and they could provide vital ecosystem services for human being, such as alteration of river flow, supplies of irrigation water, fisheries, and abundant valuable mineral deposits, and have disproportionate effects on the global carbon cycle (Ran et al., 2021; Armstrong, 2010; Ma et al., 2011). Improved datasets of the numbers, sizes, and spatial distributions of SWB, large dams, reservoirs, and lakes could substantially provide crucial inputs for the studies of water resources, environmental health, aquatic ecosystems, and agricultural sustainability (Lehner and Döll, 2004; Zhu et al., 2020).

**Insert Fig. 1 here**

China has the largest population, fastest-growing economy, increased expansion of irrigation, limited water resource, dated water infrastructure, and inadequate water governance (Liu and Yang, 2012; Wang et al., 2020a; Tao et al., 2020). China encompasses almost 20% of the world’s population but contains only 7% of the world’s fresh water, and as the result, it has much smaller fresh water resource per capital than do most other countries (Feng et al., 2019; Dalin et al., 2014).

Since 1980s, China has taken diverse measures to ensure the long-term water security (Zhou et al., 2020). For example, China has a remarkable increase of reservoir construction across the country (Wang et al., 2021a; Zhu et al., 2020), and the total number of dams increased to ~89,700 by 2008 in China (Yang and Lu, 2014). The Three Gorges Reservoir, which is the world’s largest hydroelectric dam (Three Gorges Dam), is fully operational for flood control, power generation, navigation, and water use (Wu et al., 2004; Zhang et al., 2012; Wang et al., 2013, 2020a). China
also has a large number of lakes with tremendously cultural and economic importance (Ma et al., 2011; Zhang et al., 2019). A previous study reported that there were 2,693 large lakes (area > 1 km²) in China during 2005-2006, covering 0.9% of China’s land area (Ma et al., 2011). However, due to intensive human activities and climate change over the last three decades, several natural lakes have converted into reservoirs, dramatically accelerating shrinkage of lake areas (Yang and Lu, 2014; Ma et al., 2011). Therefore, the improved datasets on the number, size, and spatial distribution of large reservoirs and lakes in China is needed for assessing the impact of human activities and climate change on SWB, water management, and water security in China (Zhu et al., 2020; Yang and Lu, 2014).

Several published global dam and reservoir datasets include information from China (Table 1). The World Register of Dams (WRD), which was organized and released by the International Commission on Large Dams (ICOLD, 2011), is the largest and widely-used dataset (Mulligan et al., 2020; Paredes-Beltran et al., 2021; Wang et al., 2021a). It reports 23,841 dam entries for China, however, a large proportion of those entries are not georeferenced with latitude and longitude information, which limits its wide applications (Wang et al., 2021a). The GlObal GeOreferenced Database of Dams (GOODD) V1 dataset reported 9,234 georeferenced dams in China (Mulligan et al., 2020), however, the information (e.g. area, volume capacity) of the corresponding reservoirs was not reported. The FAO’s (Food and Agriculture Organization of the United Nations) global information system on water resources and agricultural water management (AQUASTAT) lists 14,000 dams in the world, in which only part of 722 dams in China were georeferenced, and has
not been updated since 2015. The Global Reservoir and Dam database (GRanD), developed by the Global Water System Project (GWSP), compiled the available reservoir and dam information globally (Lehner et al., 2011) and has been updated for the year 2019. However, it only lists 922 geolocated dam entries for China. Recently, Wang et al. (2021a) released a global Georeferenced global dam and reservoir (GeoDAR) dataset with 5,283 georeferenced dams in China, and the reservoirs had more than 40 attributes acquired from the WRD dataset. In April 2022, the newest and fully peer-reviewed version of GeoDAR is available, and this newest version had high accuracy of dams in China (Fig. S2). There were also several published dam and reservoir maps at the national scale (Table 1), but these maps neither included georeferenced dams nor reported reservoir attributes (e.g. reservoir area).

Table 1. Information on published dam and reservoir datasets for the globe and China.

| Name       | Spatial domain | Number of dams in the globe | Number of dams in China | Georeferenced dam? | Reservoir information (area …)? |
|------------|----------------|----------------------------|-------------------------|-------------------|-------------------------------|
| WRD        | Global         | ~ 60000                    | 23,841                  | Either not georeferenced or inaccessible. | Yes, > 40 attributes          |
| GOODD V1   | Global         | 38667                      | 9,231                   | Yes               | No                            |
| FAO        | Global         | 14000                      | 722                     | Partly georeferenced | Yes, reservoir capacity and area |
| AQUASTAT   | Global         | 7320                       | 922                     | Yes               | Yes, ~ 50 attributes          |
| GRanD      | Global         | 23680                      | 5,283                   | Yes               | Yes, attributes from WRD dataset |
| GeoDAR     | Global         |                            |                         |                   |                                |
| CLRM       | China          | /                          | 89,700                  | No                | Yes, reservoir capacity and area |
| BFNCW      | China          | /                          | 98,002                  | No                | No                            |

WRD: the World Register of Dams (https://www.icold-cigb.org); GOODD: GIObal geOreferenced Database of
In addition to the dam and reservoir datasets, several studies have reported the spatial distribution and multi-year dynamics of inland SWB (Tao et al., 2020; Ma et al., 2011; Wang et al., 2020a; Feng et al., 2019) and lakes (Gao, 2015; Gao et al., 2012; Ma et al., 2011; Zhang et al., 2019) in China, however, they did not explicitly explore the spatial distribution of large reservoirs and lakes in China, making it impossible to assess the impact of human activities on these two types of water resources. Thus, to date, the spatial distributions of SWB, large dams, reservoirs, and lakes in China have not been fully investigated and documented, yet.

The objective of this study was to produce detailed and accurate maps of open SWB, large dams, reservoirs, and lakes (surface water area > 1 km²) in China in 2019, the latest year when this study started in late 2020, and those SWB with area ≤ 1km² were excluded. First, this study used time-series Landsat imagery in 2019 and Google Earth Engine (GEE) cloud computing platform as well as the simple and robust surface water mapping algorithm (Zou et al., 2018, 2017; Zhou et
al., 2019b; Wang et al., 2020a) to generate raster maps of SWB in China at 30-m spatial resolution.

Second, we converted the raster map of SWB to a vector map of SWB and identified those large SWB with area > 1 km². Third, we combined the vector maps of SWB with the historical satellite images in 2019 within China in Google Earth Pro to identify dams and released China’s surface water bodies, large dams, reservoirs, and lakes dataset, namely, China-LDRL. Forth, we analyzed the spatial distribution of SWB, large dams, reservoirs, and lakes in China. Finally, we discussed the reliabilities, uncertainties, limitations, outlooks, and implications of the China-LDRL dataset for the study of water security.

2. Materials and Methods

2.1 Study area

The study area covered all the provincial-level administrative divisions in China (Fig. 1a), including 23 provinces, 2 special administrative regions (Hong Kong and Macao), 4 municipalities (Beijing, Tianjin, Shanghai, and Chongqing), and 5 Autonomous Regions (Inner Mongolia, Guangxi, Tibet, Ningxia, and Xinjiang). Since Macao and Hong Kong have relatively small areas and are very close to Guangdong Province, we combined them as one region (Guangdong) when we performed the statistical analysis in this study.

China has great altitude diversity as the eastern plains and southern coasts consist of lowlands and foothills, the southern areas of China consist of hilly and mountainous terrains, the west and
north of the country are dominated by basins, plateaus, and massifs, and the southwestern China contains part of the highest tablelands on earth, the Tibetan Plateau (Fig. 1a). Due to substantial differences in latitude, longitude, and altitude, the climate of China is extremely diverse, ranging from tropical in the far south to subarctic in the far north and alpine in the higher elevations of the Tibetan Plateau, contributing to the much more surface water areas in Southwest and Southeast of China than other regions, especially North China (Wang et al., 2020a).

Insert Fig. 1 here

2.2 Data

2.2.1 Landsat data

In this study, we used the available Landsat surface reflectance (SR) images in the GEE platform, and there was a total of 19,338 images in 2019 for China, including 9,028 Landsat-7 ETM+ images and 10,310 Landsat-8 OLI images (~21.73 TB). The detailed information of Landsat SR products is available on the GEE platform (https://developers.google.com/earth-engine/datasets/catalog/landsat, last access: 18 February 2022). All these images had undergone necessary pre-processing in GEE, including radiometric calibration, atmospheric correction, and the removal of stripes in Landsat-7 imagery. We used the quality assurance (QA) band that was generated by the CFMASK algorithm (Zhu et al., 2015) to identify bad-quality observations, including clouds and cloud shadows (Murray et al., 2019; Pekel et al., 2016). We also used the
Shuttle Radar Topography Mission (SRTM) digital elevation model (DEM) data, the solar azimuth and zenith angle data of each image, and ee.Terrain.hillShadow algorithm in GEE to identify those pixels with terrain shadows (Zou et al., 2018; Wang et al., 2020a) (Fig. 1b), which were excluded from the data analysis. Out of ~132.43 million pixels in China, approximately 98.36% had more than 5 good-quality observations and 91.24% had more than 10 good-quality observations in 2019. About 93.14% of the 78.9 million pixels in North China had more than 20 good-quality observations due to the overlapping of Landsat images at the high latitudes and less cloud cover (Zhou et al., 2019a; Wang et al., 2020b). Note that the number of Landsat-7 ETM+ images in GEE may change in the future, as USGS continues to work with the International Ground stations (IGS) in the world to assemble and rescue some images from individual stations. For Landsat-8 OLI images, USGS does not rely on IGS for image downlink, as its data record is able to store all the images and then downlink them to the Landsat archive (Wulder et al., 2016).

We used three spectral indices (NDVI, EVI, mNDWI) to identify SWB in this study. These indices are defined as:

\[ NDVI = \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + \rho_{red}} \]  

(1)

\[ EVI = 2.5 \times \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + 6 \times \rho_{red} - 7.5 \times \rho_{blue} + 1} \]  

(2)

\[ mNDWI = \frac{\rho_{green} - \rho_{swir}}{\rho_{green} + \rho_{swir}} \]  

(3)

where \( \rho_{blue}, \rho_{green}, \rho_{red}, \rho_{nir}, \) and \( \rho_{swir} \) are blue, green, red, near-infrared, and shortwave infrared bands of Landsat images, respectively.
2.2.2 Dam and reservoir datasets

The GlObal GeOreferenced Database of Dams (GOOOD) dataset was released in 2020 and it lists ~38,000 georeferenced dams as well as derived data on their associated catchments through one by one degree titles on the Google Earth geobrowser during 2007-2011 and the Shuttle Radar Topography Mission (SRTM) Water Body Dataset (SWBD) (Mulligan et al., 2020). It provides the raw digitized coordinates for the locations of dam walls, but it does not provide the detailed attribute data on the characteristics of each dam and reservoir (Fig. 2a, d). Both the large dams and medium sized dams were captured in this dataset.

The Global Reservoir and Dam (GRanD) Database v1.3 was recently updated in February 2019 by Lehner et al. (2011) (Fig. 2b, e). The spatial information of these dams was contributed by eleven participating institutions. Each dam was assigned to a polygon that depicted the reservoir surface, which was provided by SWBD (v1.1) and the surface water maps were produced by the Joint Research Center (JRC) of the European Commission from Landsat imagery at 30-m spatial resolution for the period 1984-2015 (Pekel et al., 2016) (v1.3). All reservoirs with a storage capacity of more than 0.1 km$^3$ were included in this dataset, and some smaller reservoirs were also added when their data were available.

The Georeferenced global Dam And Reservoir dataset (GeoDAR) was produced by utilizing multi-source dam and reservoir inventories (ICOLD WRD and GRanD v1.3 datasets) and the Google Maps geocoding API (Wang et al., 2021a) (Fig. 2d, e). The GeoDAR product includes two successive versions. GeoDAR v1.0 is essentially a georeferenced subset of ICOLD WRD, and
contains more than 20,000 dam entries, and each of which is indexed by an encrypted identifier (ID) that is associated with a WRD record, allowing for the potential retrieval of all its 40+ proprietary attributes from ICOLD. GeoDAR v1.1 consists of (1) dam entries as in v1.0 except those that further harmonized with GRanD for an improved inclusion of the largest dams, and (2) reservoir boundaries for most of the dam entries. The GeoDAR was just updated in April 2022 and is available at https://doi.org/10.5281/zenodo.6163413.

Insert Fig. 2 here

2.3 Methods

The workflow for producing the China-LDRL dataset included two major sections: 1) generation of yearlong SWB maps in China by analyzing time-series Landsat imagery in 2019 with GEE platform, and 2) identification of dams and classification of yearlong SWB into lakes, reservoirs, and rivers by analyzing the historical satellite images in 2019 within China in Google Earth Pro. A flowchart showing the methodology of this study is illustrated in Fig. 3.

Insert Fig. 3 here

2.3.1 Algorithm to generate annual maps of yearlong surface water bodies

In this study, we combined a surface water index (mNDWI) and two greenness-based vegetation indices (EVI and NDVI) to identify SWB through the algorithm of ((mNDWI > EVI or mNDWI > NDVI) and EVI < 0.1) (Eq. (4)). This mNDWI/VIs algorithm can reduce the effects of vegetation on identification of SWB, and has already been used to identify and map SWB at the
regional and national scales with high accuracy (Zou et al., 2018, 2017; Zhou et al., 2019b; Wang et al., 2020a). Furthermore, this mNDWI/VIs algorithm had been compared with other surface water body mapping algorithms (e.g. NDWI, mNDWI, TCW, and AWEI), and the results showed that the integration of mNDWI/VIs algorithm and Landsat images could identify SWB with high producer’s accuracy (98.1%) and user’s accuracy (91.0%) (Zhou et al., 2017).

Surface water body frequency \( F_{SWB} \) of a pixel in a year was calculated as the ratio of the number of observations identified as surface water body to the number of good-quality observations in a year and ranged from 0 to 1.0 (or 100%) (Zou et al., 2017), see Eq. (5). We generated the \( F_{SWB} \) map of all the pixels in China for 2019 in the GEE platform (Fig. 5a).

\[
SWB = \begin{cases} 
1, & (\text{mNDWI}>\text{EVI or mNDWI}>\text{NDVI}) \text{ and } \text{EVI}<0.1 \\
0, & \text{Other values} 
\end{cases} \quad (4)
\]

\[
F_{SWB} = \frac{N_{SWB}}{N_{good}} \quad (5)
\]

where \( SWB \) is surface water body, \( F_{SWB} \) is surface water body frequency, \( N_{SWB} \) is the number of observations identified as SWB (see Eq. (4)) in 2019, \( N_{good} \) is the number of good-quality observations in 2019.

Consistent with our previous studies (Zou et al., 2018; Wang et al., 2020a), a water pixel was defined as yearlong surface water body \( (F_{SWB} \geq 0.75) \), seasonal surface water body \( (0.05 \leq F_{SWB} < 0.75) \), or ephemeral surface water body \( (F_{SWB} < 0.05) \). We generated the seasonal and yearlong SWB maps in China for 2019, respectively (Fig. 4b, c).

Insert Fig. 4 here
2.3.2 The procedure to identify dams, reservoirs, and lakes in Google Earth Pro

We first generated the yearlong SWB vector map in China for 2019 based on the yearlong SWB raster map, then reprojected it to the Krasovsky_1940_Albers equal-area conic projection and calculated the area (km$^2$) of each yearlong SWB polygon as its attribute (Python code is available in: https://drive.google.com/drive/folders/1B19VKbCl0Dmu-IcmZcOlUF8wi1YnE?usp=sharing). When we reported large reservoirs and lakes, only those polygons with area > 1 km$^2$ were kept in this study (Fig. S3). In an effort to distinguish riverine or off-stream reservoirs from lakes, we uploaded the large SWB vector layers into Google Earth Pro, and checked whether a dam existed around each polygon through the historical satellite images in 2019 within China by visual image interpretation approach. If a dam did not exist, we classified the polygon as river or lake; if a dam does exist, we classified the polygon as on-stream reservoir (constructed on a river/stream regardless of impoundment) or off-stream reservoir (formed by partial or complete embankment around an off-stream lake) (Fig. S1). Simultaneously, the corresponding dam would be classified as on-stream dam or off-stream dam. Finally, the SWB polygons were classified into lakes, reservoirs, and rivers, and the dams/reservoirs were classified into on-stream and off-stream dams/reservoirs (Fig. 3). This work was carried out and completed by the lead author (Dr. Wang) over two months, and users could reproduce the dam dataset by uploading the SWB polygons in the historical satellite images in 2019 in Google Earth Pro and following the procedure described here. Note that satellite images in the Google Earth Pro may change over time, but such change may have very limited impact on identification of dams as dams.
have often stayed for many years after their construction.

2.4 Cross-comparison with other lake and reservoir datasets

To better understand the improvements and potential applications of our China-LDRL dataset, we compared it with other three available dam and reservoir datasets: the GOODD, GRanD V1.3, and GeoDAR datasets (Fig. 2). We first compared the dam quantity and areas of large reservoirs at the provincial and national scales. Then, we checked the spatial distribution of each dam from these datasets within Google Earth imagery as all these datasets provide detailed georeferenced coordinates for some of dams, and the georeferenced information could be directly acquirable from the spatial longitude and latitude. Here we did not compare the reservoir area with the GOODD dataset as it does not provide such attribute except for catchment area (Fig. 2d).

3. Results

3.1 Annual map of surface water bodies in China for 2019

Surface water body frequency \(F_{SWB}\) of individual pixels for 2019 varied substantially across China (Fig. 4). There were \(\sim 3.38\) million seasonal surface water pixels (30-m spatial resolution) in China, amounting to \(\sim 3,375.88 \times 10^3\) km\(^2\) seasonal surface water area (SWA) in 2019. Xinjiang Province had the largest seasonal SWA \(751.14 \times 10^3\) km\(^2\), followed by Tibet \(600.70 \times 10^3\) km\(^2\), Qinghai \(564.57 \times 10^3\) km\(^2\), Inner Mongolia \(511.42 \times 10^3\) km\(^2\), and Heilongjiang Province \(343.33 \times 10^3\) km\(^2\) (Fig. 5a). There were \(\sim 0.21\) million yearlong surface water pixels in China for 2019, amounting to \(\sim 214.92 \times 10^3\) km\(^2\) yearlong SWA, which were mainly located in Tibet \(62.65\)
× 10^3 km^2), Qinghai (41.08 × 10^3 km^2), and Xinjiang (24.60 × 10^3 km^2) Provinces (Fig. 5b).

Additionally, Heilongjiang, Jiangsu, Inner Mongolia, Hubei, and Anhui Provinces also had relatively larger yearlong SWA (> 5 × 10^3 km^2) than other provinces in China.

Insert Fig. 5 here

3.2 Numbers and areas of yearlong surface water bodies with different sizes in China

The numbers and areas of yearlong SWB polygons of different sizes in China differed considerably for 2019 (Fig. 6). In terms of yearlong SWB numbers, out of a total of 3.52 × 10^6 yearlong SWB polygons in China in 2019, approximate 3.51 × 10^6 polygons (99.57%) had an area of ≤ 1 km^2, and ~2.16 × 10^6 polygons (61.19%) had an area of ≤ 0.0036 km^2 (covering only 2 × 2 Landsat grid cells). Only 15 × 10^3 (0.43%) yearlong SWB polygons had an area of > 1 km^2, and 359 polygons had an area of > 100 km^2. In terms of yearlong SWB areas, out of a total of 214.92 × 10^3 km^2 yearlong SWA in China in 2019, large SWB polygons (size > 1 km^2) accounted for 83.54%, and very large SWB polygons (size > 100 km^2) accounted for 52.48%.

The numbers and areas of yearlong SWB polygons of different sizes at the provincial scale had similar distribution patterns with those at the national scale (Fig. S4, S5). Almost all the yearlong SWB polygons in individual provinces had an area of ≤ 1 km^2 (Fig. S4), however, those SWB polygons with an area of > 1 km^2 accounted for a large proportion of SWA in most provinces (Fig. S5). Those yearlong SWB polygons with an area of > 100 km^2 were mostly very large lakes and rivers, which were mainly located in Tibet, Xinjiang, Qinghai, Jiangxi, and Heilongjiang.
Provinces (Fig. S5) (Feng et al., 2019). Some provinces also had very large-size reservoirs, such as Miyun Reservoir in Beijing, whose polygon size was greater than 100 km².

**Insert Fig. 6 here**

### 3.3 Numbers, areas, and distribution of large dams, reservoirs, and lakes in China

We identified 2,418 large dams in China, including 624 off-stream dams and 1,794 on-stream dams, most of which were located in South, East, and Northeast China, as well as Xinjiang of Northwest China (Fig. 7a). At the provincial scale, Xinjiang had the largest number of off-stream dams (67), followed by Shandong (62), Heilongjiang (46), and Anhui (45) Provinces. Three provinces (Hubei, Yunnan, and Guangdong) also had relatively larger off-stream dam numbers (≥ 40) than other provinces. Chongqing, Qinghai, and Tibet had no off-stream dams (Fig. 7b). Most of on-stream dams in China were distributed in those provinces with large rivers. Guangdong had the largest number of on-stream dams (172) in China, followed by Hubei (146), Heilongjiang (132), Shandong (112), Jilin (103), and Sichuan (103) Provinces (Fig. 7c). However, there were no on-stream dams in Shanghai. In terms of the functions of two kinds of dams and the spatial patterns of climate (e.g. precipitation, temperature) and social-economic factors (e.g. population, GDP, irrigation area) in South and North China, the provinces in Northeast and East China had larger percentage of off-stream dams, whereas the provinces in Northeast and South China had larger percentage of on-stream dams (Fig. 7d).

**Insert Fig. 7 here**
China had 3,051 large lakes with an area of > 1 km\(^2\) in 2019, most of which were distributed in West China, the Lower Yangtze River Basin, and Northeast China (Fig. 8a, S6), and they together amounted to \(\sim 73.38 \times 10^3\) km\(^2\). Tibet in West China had the largest number of lakes (966), followed by Qinghai (479), Xinjiang (350), Inner Mongolia (234), and Heilongjiang (174) Provinces (Fig. 8b). The lake areas in China had similar spatial patterns with the lake numbers (Fig. 8c), and the western provinces in China had much larger lake areas than other provinces, especially Tibet and Qinghai Provinces with \(31.73 \times 10^3\) km\(^2\) and \(15.78 \times 10^3\) km\(^2\), respectively.

As reservoirs and dams usually exist simultaneously, the spatial patterns of reservoir numbers and areas matched well with those of dam numbers (Figs. 7b, 8e-f). In total, China had 2,194 large reservoirs in 2019, they together amounted to an area of \(\sim 16.35 \times 10^3\) km\(^2\). Xinjiang in Northwest China had the largest reservoir area (1,923.11 km\(^2\)), followed by Heilongjiang (1,468.48 km\(^2\)), Jiangsu (1,309.95 km\(^2\)), and Hubei (1,190.75 km\(^2\)) Provinces. In contrast, Tibet (18.34 km\(^2\)), Shanghai (36.61 km\(^2\)), and Ningxia (45.40 km\(^2\)) had much smaller reservoir areas than other provinces in China. In general, most of the dams and reservoirs in China were distributed in South China, East China, and Northeast China, whereas most of lakes were located in West China, the Lower Yangtze River Basin, and Northeast China.

Insert Fig. 8 here
4. Discussion

4.1 Improvements of the dataset of large dams, reservoirs, and lakes in China

In order to validate the reliability of our China-LDRL dataset, we first compared the numbers of large dams and areas of large reservoirs between our dataset and published datasets (GOODD, GRanD, and GeoDAR), then we checked the geographical coordinates of dams within the historical satellite images in 2019 in Google Earth Pro.

The GOODD dataset has the largest number of dams (9,234) in China among these published global datasets (Fig. 2a). However, it includes both large, moderate, and small dams, and does not report the corresponding reservoir attributes (e.g. reservoir area), which limits its applications to water-related research (Paredes-Beltran et al., 2021). The GRanD dataset has the smallest number (814) of large dams with reservoir area > 1 km² in China (Fig. 9b, e) as the dam information was provided by multiple institutions from the world (Lehner et al., 2011), which clearly underestimates the number of dams. The GeoDAR dataset has a larger number of large dams (1,162) than the GRanD dataset, because it was generated by combining the GRanD and ICOLD WRD datasets (Wang et al., 2021a). However, our China-LDRL dataset identified 2,418 large dams and 2,194 large reservoirs (Fig. 9d, e), making substantial improvement of large dam and reservoir dataset in China.

The number differences of large dams between our China-LDRL and the GRanD and GeoDAR datasets could be explained by several factors. First, our study used all the available
Landsat images in 2019 and a more accurate SWB mapping algorithm to generate SWB maps in China, however, the GRanD and GeoDAR datasets used the SWBD map (produced in 2000) (Slater et al., 2006) and the surface water maps during 1984-2015 produced by the JRC (Pekel et al., 2016). We were able, therefore, to integrate more Landsat images and get more SWB polygons, as well as larger numbers of large dams and reservoirs than other datasets. In addition, the different strategies for identifying dams also caused the differences of dam numbers. The dam information from the GRanD dataset was contributed by eleven participating institutions, and the GeoDAR dataset combined two published dam datasets (WRD and GRanD), rechecked detailed dam information using the Google Maps geocoding API, and then reported the georeferenced information of dams. Unlike the GRanD and GeoDAR datasets, our study first generated SWB raster and vector maps using the mNDWI/VIs SWB mapping algorithm, and then selected the large yearlong SWB polygons with area > 1 km$^2$. After that, we visually checked the large SWB polygons one by one and identified each dam with accurate geographical coordinates (Fig. S3). In addition to the dam numbers, we also compared the reservoir areas between different datasets (Fig. S7). Our China-LDRL dataset reported $\sim16.35 \times 10^3$ km$^2$ large reservoir area, which was smaller than those of the GRanD ($20.98 \times 10^3$ km$^2$) and GeoDAR ($21.84 \times 10^3$ km$^2$) datasets. The GRanD v1.3 dataset linked the “maximum surface water extent” from the JRC dataset to the corresponding dams as the reservoir regions, however, we used the “yearlong surface water body” to depict the reservoirs in the China-LDRL dataset, which might have made our reservoir areas smaller (Fig. S8).
In this study, we also checked the accuracy of geographical coordinates of dams from these dam datasets. Here we first uploaded above-mentioned three dam datasets and our China-LDRL dataset in the Google Earth Pro and visually checked the spatial distribution of each dam within the historical satellite images in 2019 (Fig. 10). We found that the dam locations of the GOODD dataset had substantial geographic offsets, some of which are larger than 500 m (Fig. S9). We further overlapped the GOODD dam layer with our yearlong SWB map (Section 2.3.1), and the results showed that only 12.52 ± 3.87% of the GOODD dams were intersected with the SWB layer at the national scale (Fig. S10a). In the case that we applied a 100-m and 500-m tolerance when intersecting the GOODD dams with our yearlong SWB map for 2019, the intersection rate increased to only 47.58 ± 9.70% and 76.46 ± 7.11%, respectively (Figs. S10b, S11). In addition, we applied different tolerances when the GRanD and GeoDAR datasets intersected with our yearlong SWB layer. About 65.57 ± 6.79% of the dams in the GRanD dataset intersected with our yearlong SWB map, which increased to 87.52 ± 6.45% and 95.94 ± 4.49% when using a 100-m and 500-m tolerance. Although the GeoDAR dataset is just updated and the newest version had much higher accuracy than the previous version, its geographical coordinates also had some offsets (Fig. 10f, g), and 58.49 ± 6.07% of its dams intersected with the yearlong SWB layer, and 82.33 ± 3.98% and 90.22 ± 3.18% intersected when the tolerance was 100-m and 500-m. Different methods and purposes caused the georeferenced offsets of these datasets. For example, the original digitized dam points in GOOOD V1.0 were purposefully snapped to the 30-arc-second
HydroSHEDS river networks, leading to the offset from the actual dam locations. On the other hand, GOODD v1.0 is directly compatible with HydroSHEDS and is therefore more convenient for modeling purposes. In GeoDAR v1.1, dam points in China were georeferenced using the Google Maps geocoding API, and many dam labels fell on the reservoir surface instead of the dams. Additionally, Google Maps in China have substantial misalignment (500 m to 1 km or so) between the satellite images and the map labels due to China’s GPS shift problem, resulting in the geographic offsets even though the geocoding procedure is correct. In total, these comparisons suggested the improved accuracy of our China-LDRL dataset, which could provide important and reliable information for water resource management and water security in China.

**Insert Fig. 10 here**

### 4.2 Uncertainties, limitations, outlooks, and implications

In this study, we produced detailed and more accurate China’s open surface water bodies, large dams, reservoirs, and lakes (China-LDRL) dataset for 2019, and analyzed their spatial distribution patterns. This study benefited from the usage of time-series Landsat imagery and GEE cloud computing platform, as well as simple and robust SWB mapping algorithms. First, time series Landsat images at high spatial resolution (30-m) provide larger numbers of good-quality observations for identifying SWB. Second, GEE cloud computing platform enables us to acquire and analyze tens of thousands of Landsat images in hours. Third, the mNDWI/VIs algorithm used in this study reduced the uncertainties induced by the bad-quality observations and provide accurate SWB maps. Finally, we visually checked the large SWB polygons (area > 1 km²) one by
one by using the historical satellite images in 2019 within China in Google Earth Pro, and we
recorded the georeferenced coordinates of individual dams in China for 2019.

We would also acknowledge that the data quality of input satellite images remains to be a
concern for the identification of dams, reservoirs, and lakes. The spatial distribution of good-
quality observations of Landsat data shows that more than 98.36% of the total 30-m pixels in China
had more than 5 good-quality observations and more than 91.24% of the total pixels had more than
10 good-quality observations for 2019 (Fig. 1b), but the regions with complex topography and
mountains, such as South and Southwest China, had much fewer good-quality observations than
other regions, which might underestimate surface water areas, as well as dam and reservoir
numbers and areas. In addition, it is impossible to remove all the bad-quality observations (e.g.
clouds, terrain shadows) because of the limited quality of the QA band and digital elevation model
data in GEE. Therefore, the remaining bad-quality observations could result in some inevitable
uncertainties in the resultant maps. In the future, as more images from Landsat dataset and other
high spatial resolution sensors (e.g., Sentinel-1, Sentinel-2) are added into GEE platform (Wulder
et al., 2016), SWB mapping accuracy could be further improved, providing more detailed
depths of dams, reservoirs, and lakes in China. In addition, visual interpretation method
for identifying dams and reservoirs in this study could also bring about some uncertainties to the
classification of dams/reservoirs due to the limitations of knowledge and experience of interpreters,
such as the misclassification of some reservoirs regulated by dams/gates as lakes (e.g. Hongze
Lake in Jiangsu Province) and the misclassification between on-stream and off-stream
In our China-LDRL dataset, we identified and reported those large SWB, however, the importance of monitoring small water bodies (area ≤ 1 km²) and dams is gradually recognized as they play critical roles in accurate assessments of their agricultural potential or their cumulative influence on watershed hydrology (Ogilvie et al., 2018). In the future, we can include these small SWB polygons into our dataset to enhance the spatial details and distributions of dams, reservoirs, and lakes in China.

The conversions between rivers, lakes, and reservoirs have critical effects on the ecosystem services. For example, the construction of the Three Gorges Dam contributed to the decrease of surface water area and biodiversity in its downstream areas (Fang et al., 2006; Feng et al., 2013; Wang et al., 2020a), and reduced the sediment loads in the Yangtze River, causing the decreased deposition rates of coastal wetlands in the Yangtze Delta (Feng et al., 2016; Wang et al., 2021b). Furthermore, the conversion from natural lakes and rivers to man-made reservoirs has disproportionate effects on the local, regional, and global carbon cycle (Howard Coker et al., 2009). For example, dam construction has reduced the areal extent of CO₂ gas exchange in natural rivers (Ran et al., 2021). In the future, more detailed information (e.g. construction year of dam) needs to be included in our China-LDRL dataset, making it possible to analyze the effects of conversions from natural lakes and rivers to reservoirs on the biodiversity and carbon cycle.
5. Data availability

The China-LDRL dataset is publicly available at https://doi.org/10.6084/m9.figshare.16964656.v3 (Wang et al., 2022), and it includes three shapefiles. The “China_large_dams.shp” is the large dams in China with five attributes, including ID (dam_id), dam class (dam_class, “1” means on-stream dam and “-1” means off-stream dam), longitude, latitude, and corresponding reservoir ID (reser_id). The “China_large_lakes.shp” is the large lakes map in China with three attributes: ID, lake area (poly_area, km²), and lake perimeter (poly_len, km). The “China_large_reservoirs.shp” is the large reservoirs map in China with five attributes: ID, reservoir area (poly_area, km²), reservoir perimeter (poly_len, km), corresponding dam ID (dam_ID), and dam classes (dam_class).

6. Code availability

Code used in calculations of surface water bodies is available upon request.

7. Conclusion

Several studies have published global or national dam, reservoir, and lake datasets based on satellite images (Table 1). However, these datasets usually have large georeferenced coordinate offsets, which poses some limitations to those studies that aim to address major issues in hydrology, ecology, and water resource management in China. In this study, we have generated the dataset of China’s open surface water bodies, large dams, reservoirs, and lakes (China-LDRL) for 2019, and
then analyzed their spatial distributions at the provincial and national scales. Satellite image data quality is still a major source of uncertainty that affects the accuracy of the surface water body maps. As more images from Landsat datasets and other high spatial resolution sensors (e.g., Sentinel-1, Sentinel-2) are added to GEE platform, the accuracy of SWB maps can be further improved, providing more detailed geospatial data of dams, reservoir, and lakes in China. The provision of the reliable, accurate China-LDRL dataset on dams, reservoirs, and lakes will contribute to the understanding of water resources management and water security in China.

Author contributions

X.X., X.W., and B.L. designed the study. X.W. carried out image data processing and led interpretation of the results and writing of the manuscript. Y.Q., and J.D. contributed to image data processing, X.X., B.L., Y.Q., J.D., and J. W. contributed to the interpretation and discussion of the results.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Fig. 1. Spatial distribution of provinces and elevation (a) and numbers of Landsat good-quality observations (b) in China for 2019.
Fig. 2. Spatial distribution of dams from the GLObal GeOreferenced Database of Dams (GOODD) (a), the Global Reservoir and Dam (GRanD) v1.3 (b), and the Georeferenced global Dam And Reservoir (GeoDAR) v1.1 (c) datasets. The GOODD dataset reported the catchment of each dam (d) while the GRanD and GeoDAR datasets reported the reservoir information of each dam (e, f).
Fig. 3. Schematic flowchart of lakes, reservoirs, dams, and rivers identification in this study. The images were acquired from Google Earth Pro (© Google Earth Pro 2019).
Fig. 4. Spatial distribution of surface water body (SWB) in China for 2019. (a), Water frequency, (b), Seasonal SWB, (c), Yearlong SWB. Subfigures (1-4) are three zoom-in views of seasonal and year-long SWB in Qinghai Lake, Taihu Lake, Dongting Lake, and Poyang Lake in China.
**Fig. 5.** Areas of seasonal (a) and yearlong (b) surface water bodies by province in China for 2019.
Fig. 6. Numbers and areas of yearlong surface water body polygons with different sizes.
Fig. 7. Distribution of off-stream and on-stream dams in China for 2019. a, Spatial distribution of dams; b, Number of off-stream dams by province; c, Number of on-stream dams by province; d, Percentage of off-stream and on-stream dams by province.
Fig. 8. Numbers and areas of lakes and reservoirs in China for 2019. a, Spatial distribution of lakes; b, Lake numbers by province; c, Lake areas by province; d, Spatial distribution of reservoirs; e, Reservoir numbers by province; f, Reservoir areas by province.
**Fig. 9.** Numbers of large dams (with reservoir area > 1 km$^2$) of different datasets. (a) Large dam number in the GOODD dataset; (b) Large dam number in the GRanD dataset; (c) Large dam number in the GeoDAR dataset; (d) Large dam number in the China-LDRL dataset; (e) Total numbers of large dam from different datasets in China; (f) The relationships of large dam numbers between China-LDRL, GRanD and GeoDAR datasets.
Fig. 10. Dams from the GOODD, GeoDAR, and China-LDRL datasets within Google Earth Pro (© Google Earth Pro 2019).