Jam Sessions: Analysis and Experimental Evaluation of Advanced Jamming Attacks in MIMO Networks

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ABSTRACT

Recent research advances in wireless security have shown that advanced jamming can significantly decrease the performance of wireless communications. In advanced jamming, the adversary intentionally concentrates the available energy budget on specific critical components (e.g., pilot symbols, acknowledgement packets, etc.) to (i) increase the jamming effectiveness, as more targets can be jammed with the same energy budget; and (ii) decrease the likelihood of being detected, as the channel is jammed for a shorter period of time. These key aspects make advanced jamming very stealthy yet exceptionally effective in practical scenarios.

One of the fundamental challenges in designing defense mechanisms against an advanced jammer is understanding which jamming strategies yield the lowest throughput, for a given channel condition and a given amount of energy. To the best of our knowledge, this problem still remains unsolved, as an analytic model to quantitatively compare advanced jamming schemes is still missing in existing literature. To fill this gap, in this paper we conduct a comparative analysis of several most viable advanced jamming schemes in the widely-used MIMO networks. We first mathematically model a number of advanced jamming schemes at the signal processing level, so that a quantitative relationship between the jamming energy and the jamming effect is established. Based on the model, theorems are derived on the optimal advanced jamming scheme for an arbitrary channel condition. The theoretical findings are validated through extensive simulations and experiments on a 5-radio 2x2 MIMO testbed. Our results show that the theorems are able to predict jamming efficiency with high accuracy. Moreover, to further demonstrate that the theoretical findings are applicable to address crucial real-world jamming problems, we show that the theorems can be incorporated to state-of-art reinforcement-learning based jamming algorithms and boost the action exploration phase so that a faster convergence is achieved.

CCS CONCEPTS

• Computer systems organization → Embedded and cyber-physical systems; • Security and privacy → Mobile and wireless security; • Networks → Network experimentation.

KEYWORDS

Wireless, Security, Jamming, Theory, Optimization, Model, Reinforcement Learning, Testbed, Experiments

1 INTRODUCTION

Wireless jamming is widely recognized as one of the most crucial topics in wireless security [35]. To understand how harmful a jammer could be, researchers have studied the worst-case jamming attack with fine-tuned temporal pattern (e.g., pulse jamming [25]), frequency-pattern (e.g., frequency-hopping jamming [26]), and so on. During the last few years, a new family of advanced jamming has gained momentum [2, 7, 8, 15, 27, 28], where the target component of the jammed transmission is the main objective to optimize.

The key intuition behind advanced jamming is that, although some components of the wireless transmission do not carry payload information, they nevertheless constitute the “Achilles’ heel” of the entire communication process. For example, at the physical (PHY) layer, pilot jamming has been proposed to disrupt orthogonal frequency-division multiplexing (OFDM) and multiple-input and multiple-output (MIMO), since they heavily rely on accurate channel estimation through pilot symbols [5, 10, 15, 23]. Furthermore, at the link (MAC) layer, acknowledgement (ACK) jamming has been proposed to disrupt medium access control operations [3, 21, 24]. Since advanced jamming activities are restricted to a specific period of time, an advanced jammer can degrade the network throughput with a comparatively lower energy budget yet with lower probability of being detected [7, 8].

The key limitation of existing work (discussed in details in Section 2) is that it does not provide the analytic tools to thoroughly investigate the quantitative relationship between the jamming energy.
and the jamming outcome. As a consequence, literature still lacks a mathematical model to compare advanced jamming schemes, each with a different target component, to understand which one yields the highest jamming efficiency. We point out that a mathematical model of advanced jamming attacks is of fundamental importance not only from a theoretical perspective, but for a number of practical reasons as well. First, it is straightforward to notice that jammers usually do not want their attacks to be discovered. Therefore, to increase stealthiness, advanced jammers need to keep the jamming signal energy below a certain threshold and corrupt the channel for as little time as possible. Furthermore, it is well known that jammed nodes usually react with strategies such as rate adaptation and rerouting [14, 29–31]. Therefore, real-world advanced jammers necessarily face dynamic, time-varying scenarios, where adaptive jamming strategies are almost mandatory. In these circumstances, analytic tools on most efficient advanced jamming schemes are extremely valuable for an advanced jammer.

As a first attempt to address the existing research gap, this article focuses on widely-used MIMO networks, and makes the following contributions:

(1) We select three most viable schemes in MIMO networks, each with a different target component, and rigorously model them at the signal-processing level, so that a mathematical relationship between the amount of jamming energy used and the throughput degradation is established for an arbitrary channel condition. Based on the model, we compare the jamming schemes and derive theorems on optimal jamming strategies in various scenarios. Our main theoretical results conclude that (i) for a given data packet, the relative efficiency for barrage jamming and pilot jamming is decided by the pilot sequence length and the number of transmitting antennae (Theorem 1); (ii) under some conditions, pilot jamming will lead to a lower average signal-to-interference-and-noise ratio (SINR) of the ACK packet than directly jamming the ACK packet itself (Theorem 2); and (iii) ACK jamming can be compared with pilot and barrage jamming, on the basis of packet error rate (PER) lower bound, and the result is decided by a number of factors including pilot length, modulation and coding scheme (MCS) used for both data and ACK packets, and the distances from the jammer to the transmitter and receiver (Theorem 3). Our theoretical foundations are validated through extensive simulations and experiments on MIMO testbed made up by 5 USRP software-defined radios. Results indicate that our model is able to capture the behavior of advanced jamming strategies in complex MIMO scenarios accurately.

(2) To demonstrate that applicability of our theoretical results in real-world scenarios, where the theorems’ assumptions cannot be validated either due to missing information or dynamic environment, we propose a way to incorporate the theorems to state-of-art reinforcement-learning [9, 18, 20] based jamming algorithms, such as [1]. Specifically, we show that the theorems on jamming efficiency can be used by the jammer to improve the efficiency of the action space exploration up to a significant extent. Indeed, extensive simulations show the effectiveness of our approach and show significant improvement in both convergence speed and the total reward.

Scope and Limitations

We point out that the objective of our study is not to investigate every possible component in a wireless network that an advanced jammer can target. Instead, we focus on the modeling and analysis on a typical wireless scenario (MIMO networks) and several typical advanced jamming schemes, and show that (i) theoretical analysis based on rigorous model can predict the worst-case jamming results for certain scenarios; (ii) the theorems can be incorporated with practical, reinforcement-learning based jamming algorithms, thus extending their applicability to scenarios with limited information or dynamic environment.

Moreover, we do not claim that our results provide jamming strategies that are optimal on every possible aspect. As we have pointed out earlier, there are also other aspects of jamming strategy that can be optimized, such as temporal pattern and frequency pattern of the jamming signal. We have focused on the relatively under-explored aspect of jamming target, and provided insights on how to optimally choose the component of the wireless transmission to jam.

2 RELATED WORK

As far as the physical layer is concerned, Clancy [5] proposes to disrupt OFDM links using pilot jamming and pilot nulling attacks. La Fan et al. [10] consider false preamble timing and preamble nulling attacks in OFDM. Moreover, Rahbari et al. [17] study the impact of jamming on OFDM frequency offset (FO). Specific to MIMO systems, Sodagari et al. [19] propose an attack where the jamming signal transforms the estimated MIMO channel matrix into a singular matrix. Pirzadeh et al. show in [15] that the MIMO spectral efficiency can be significantly degraded by jamming both the training phase and the data transmission phase. Wang et al. [23] propose a random channel training (RCT)-based secure transmission framework to address MIMO jamming.

Regarding the link layer, an advanced jammer can exploit the temporal pattern between transmissions as well as critical control packets. Bayrak et al. [3] consider a scenario where the jammer exploits the exponential backoff mechanism of IEEE 802.11, and show that the jammer can achieve higher efficiency if aware of the current backoff states of the users. Jamming against IEEE 802.11b is discussed by Thuente et al. in [21], based on launching CTS jamming, ACK jamming, or DIFS wait jamming attacks. ACK jamming in IEEE 802.15.4 is also considered by Wilhelm et al. in [24].

The core limitation of the above mentioned pioneering works is the approach of investigating an individual jamming scheme for a fixed environment. While the potential threat of various advanced jamming schemes have been revealed, the important question of optimal advanced jamming strategy, when multiple jamming schemes are available and the environment is time-varying, is still open.

The closest work to ours is [7, 8], where the authors investigate energy-optimal jamming strategies to achieve stealthiness and increase effectiveness. Specifically, DeBruhl and Tague [8] show that energy-efficient short-form periodic jamming can seriously degrade communication capabilities without compromising the jammer’s activity. DeBruhl et al. [7] investigate finite-energy jamming games, where jammers choose among different actions (i.e., sleep, power and channel). The authors compute the games’ Nash equilibria, and test the performance of the optimal strategies against random and adaptive strategies. These works, however, have not focused on jamming schemes directed at critical components of a transmission, and therefore differ significantly from ours.
3 SYSTEM MODEL

In this section, we illustrate a typical model for MIMO wireless communication, upon which three jamming schemes are modeled. Some commonly used notations: we use $\{\ast\}^T$, $\{\ast\}^H$ and $\{\ast\}^\dagger$ to denote the transpose, Hermitian, and pseudo inverse of a matrix $\{\ast\}$; $\{\ast\}$ and $\{\ast\}^\dagger$ represent the estimate and estimation error of $\{\ast\}$; $I_{\{\ast\}}$ is used to denote a $\{\ast\} \times \{\ast\}$ unity matrix; and $\mathbb{E}\{\ast\}$ denotes the expectation of $\{\ast\}$.

Physical Layer. We consider a MIMO link with forward (i.e., data) and backward (i.e., ACK) transmissions as illustrated in Fig. 1.

![MIMO link diagram](image)

Figure 1: MIMO link for (a) forward and (b) backward transmissions under jamming. Tx: transmitter; Rx: receiver; Jam: jammer.

Without loss of generality, we assume the transmitter, receiver, and the jammer are equipped with $M$, $N$, and $L$ antennae, respectively. Therefore, the channel from the transmitter to the receiver, from the jammer to the receiver, and from the jammer to the transmitter can be denoted with matrices $H = \{h_{nm}\}_{1 \leq m \leq M, 1 \leq n \leq N}$, $G = \{g_{nl}\}_{1 \leq n \leq N, 1 \leq l \leq L}$, and $F = \{f_{ml}\}_{1 \leq m \leq M, 1 \leq l \leq L}$. Vectors $x = \{x_1, \ldots, x_M\}^T$, $y = \{y_1, \ldots, y_N\}^T$ and $z = \{z_1, \ldots, z_L\}^T$ are used to represent the transmitted, received and jamming signals, respectively, while vector $w = \{w_1, \ldots, w_N\}^T$ represents Gaussian noise at the receiver, with spectral density $N_0$.

We assume that the channels are subject to path loss and Rayleigh fading. The path loss is determined by the physical distance of the communicating parties, and therefore remains the same for every entry in the same channel matrix. We will use $\theta_{\{\ast\}}$ to denote the path loss of the channel $\{\ast\}$. Combined with the Rayleigh fading component, it follows that

\[
\begin{align*}
    h_{nm} &\sim CN(0, \theta_H), \quad \forall 1 \leq m \leq M, 1 \leq n \leq N, \quad (1) \\
g_{nl} &\sim CN(0, \theta_G), \quad \forall 1 \leq n \leq N, 1 \leq l \leq L, \quad (2) \\
f_{ml} &\sim CN(0, \theta_F), \quad \forall 1 \leq m \leq M, 1 \leq l \leq L, \quad (3)
\end{align*}
\]

i.e., the entries of the channel matrix are independent and identically distributed (i.i.d.) complex Gaussian random variables, with zero mean and variance equal to the path loss.

MIMO can be used to achieve multiplexing or diversity gain [33]. For the data transmission on the forward link, since high throughput is usually required, we focus on spatial multiplexing, where different bits are transmitted on the M antennae simultaneously (we will refer to them as $M$ spatial “channels”). Following [16], the baseband model for this scheme is

\[
    y = \begin{cases} 
    Hx + w, & \text{not jammed,} \\
    Hx + Gz + w, & \text{jammed.} 
    \end{cases} \quad (4)
\]

Let $E_x$ and $E_j$ denote the average symbol energy for the transmitted and jamming signals. Then, it follows that

\[
\begin{align*}
    &\mathbb{E}\{xx^H\} = E_xI_M, \\
    &\mathbb{E}\{zz^H\} = E_jI_L.
\end{align*} \quad (5, 6)
\]

For the ACK transmission on the backward link, since high reliability is often required, we focus on MIMO schemes that achieve diversity instead of spatial multiplexing. To this end, we consider a scheme where diversity is achieved via beamforming and Maximal Ratio Combining (also called as MIMO-MRC). Specifically, the receiver leverages the channel information acquired in the previous forward transmission, and beamforms using a vector $u$ satisfying

\[
    \langle H^T \rangle^H u = \lambda_{\text{max}} u, \quad (7)
\]

i.e., the eigenvector corresponding to the maximal eigenvalue ($\lambda_{\text{max}}$) of the matrix $(H^T)^H H^T$. A symbol $x$ is precoded by $u$, and the resultant $ux$ is transmitted over the antennae. Therefore, we have the baseband model

\[
    y = H^T ux + Fz + w. \quad (8)
\]

Without loss of generality, we assume that $\|u\|^2 = N$, so that the total transmitting energy across the $N$ antennae is $NE_x$, for $\mathbb{E}\{x^2\} = E_x$.

Link Layer. Received data packets are acknowledged by the receiver on link layer. To establish a relationship between physical layer metrics and the PER, we borrow the model in [12]. Specifically, suppose a MCS $z$ is chosen from a set $\mathcal{Z}$, the PER $e$, as a function of SINR $y$, can be approximated as

\[
    e(y|z) = \begin{cases} 
    1, & y \leq y_{th}, \\
    a_z e^{-b_z y}, & y > y_{th}
    \end{cases}
\]

with MCS-dependent parameters $a_z$ and $b_z$. The threshold $y_{th}$ also varies with different MCSs.

3.1 Advanced Jamming Schemes

The objective of the jammer is to efficiently degrade the throughput of the jammed link. To this end, the jammer may (i) directly inject interference to the entire data packet and lower the achievable SINR (we will refer to this scheme as barrage jamming, following [5]); (ii) jam the pilot symbols to invalidate the estimated channel, also called pilot jamming [32]; or (iii) prevent ACKs from being delivered, also called ACK jamming [11]. We will formally model each of these cases, and analyze the resulting effects.

Barrage Jamming. In this case, the jammer emits Gaussian noise uniformly on the entire data packet, lowering the resultant receiver SINR and consequently degrading the throughput of the jammed link. The baseband model in presence of jamming is shown in (4). We assume that when the jamming energy is uniformly allocated upon the entire data packet, the channel estimation error is negligible, i.e., $\hat{H} \approx H$. Therefore, the transmitted signal is recovered as follows:

\[
    \hat{x} = \hat{H}^T y \approx x + H^T Gz + H^T w. \quad (10)
\]
where $H^\dagger = (H^H H)^{-1} H^H$ is the left pseudo inverse of $H$.

The SINR per symbol on the $m$-th spatial channel is then

$$
y_m = \frac{E_s}{E_j[|H^* G G H |^2 + N_0[|H^* H |^2]_{mm}}.
$$  \(11\)

**Pilot Jamming.** The advanced jammer may also aim at jamming the pilot symbols, resulting in channel estimation errors and further impairing data decoding. The pilot signal comprises of a sequence of symbols agreed on by the transmitter and receiver, denoted as $X = \{x_1, \ldots, x_K\}$, assuming a sequence of length $K$ is used. Similarly, the received sequence, jamming sequence, and the noise sequence are $Y = \{y_1, \ldots, y_K\}$, $Z = \{z_1, \ldots, z_K\}$, and $W = \{w_1, \ldots, w_K\}$, respectively. Thus, for the pilot, we have

$$
Y = HX + GZ + W.
$$  \(12\)

Least square estimation gives the estimated channel matrix

$$
\hat{H} = H + GZX^\dagger + WX^\dagger,
$$  \(13\)

with $X^\dagger = X^H (XX^H)^{-1}$ as the right pseudo inverse of $X$. The estimation in (13) introduces an error term

$$
\hat{H} = (GZ + W)X^\dagger,
$$  \(14\)

which will affect the recovery of the received signal.

According to [4] and [13], to achieve optimal estimation, the pilot must satisfy

$$
XX^H = KE_s I_M.
$$  \(15\)

With this condition, the statistical characteristic of the channel estimation error is given by Lemma 1.

**Lemma 1.** The channel estimation error $\hat{H}$ satisfies $\mathbb{E} \{ \hat{H} \} = 0_{N \times M}$ and

$$
\mathbb{E} \{ \hat{H} A \hat{H}^H \} = \frac{1}{K E_s} \text{tr}(A) (E_j GG H^H + N_0 I_N),
$$  \(16\)

for an arbitrary $M \times M$ matrix $A$.

**Proof.** Since $\mathbb{E} \{ \hat{H} \} = 0_{N \times M}$ is obvious, we will focus on $\mathbb{E} \{ \hat{H} A \hat{H}^H \}$. With the optimal training sequence $XX^H = KE_s I_M$, we have

$$
\mathbb{E} \{ \hat{H} A \hat{H}^H \} = \mathbb{E} \{ (GZ + W)X^\dagger A (X^\dagger)^H (GZ + W)^H \}
$$

$$
= \frac{1}{K^2 E_s^2} \mathbb{E} \{ (GZ + W)X^H A X (GZ + W)^H \}
$$

$$
= \frac{1}{K^2 E_s^2} \left( \mathbb{E} \{ GZX^H AXZ^* H G H \} + \mathbb{E} \{ WX^H AX W H^H \} \right)
$$

$$
= \frac{1}{K^2 E_s^2} \left( D B D^H + W B W^H \right),
$$  \(17\)

where we denote $D = GZ$, and $B = X^H AX$.

For the interference $D$, we have

$$
D = GZ
$$

$$
= \begin{bmatrix} g_{11} & \cdots & g_{1L} \\ \vdots & \ddots & \vdots \\ g_{N1} & \cdots & g_{NL} \end{bmatrix} \begin{bmatrix} z_{11} & \cdots & z_{1K} \\ \vdots & \ddots & \vdots \\ z_{L1} & \cdots & z_{LK} \end{bmatrix}
$$

$$
= \begin{bmatrix} \sum_l g_{1l} z_{l1} & \cdots & \sum_l g_{1L} z_{lK} \\ \vdots & \ddots & \vdots \\ \sum_l g_{NL} z_{l1} & \cdots & \sum_l g_{NL} z_{lK} \end{bmatrix}
$$

$$
\triangleq \begin{bmatrix} d_1, \ldots, d_K \end{bmatrix}.
$$  \(18\)

Column $k$ of $Z$ represents the jamming signal at time instant $k$. Therefore, they are mutually independent. Consequently, the columns of $D$ are also uncorrelated, and

$$
\mathbb{E} \{ d_k d_k^H \} = \begin{cases} E_j GG H, & k = k', \\ 0_{N \times N}, & k \neq k'. \end{cases}
$$  \(19\)

Therefore,

$$
\mathbb{E} \{ D B D^H \} = \mathbb{E} \left\{ \begin{bmatrix} b_{11} & \cdots & b_{1K} \\ \vdots & \ddots & \vdots \\ b_{K1} & \cdots & b_{KK} \end{bmatrix} \begin{bmatrix} d_{11}^H \\ \vdots \\ d_{K1}^H \end{bmatrix} \right\} = E_j \text{tr}(B) GG H^H.
$$  \(20\)

Since $B = X^H AX$, we have $\text{tr}(B) = \text{tr}(X^H AX) = \text{tr}(XX^H A) = KE_s \text{tr}(A)$ and $\mathbb{E} \{ D B D^H \} = E_j KE_s \text{tr}(A) GG H^H$.

For the term $W B W^H$, since all the entries of $W$ are i.i.d, we have

$$
\mathbb{E} \{ W B W^H \} = N_0 \text{tr}(B) = N_0 KE_s \text{tr}(A) I_N.
$$  \(21\)

Therefore,

$$
\mathbb{E} \{ \hat{H} A \hat{H}^H \} = \frac{1}{K E_s} \text{tr}(A) \left( E_j GG H^H + N_0 I_N \right).
$$  \(22\)

The subsequent data transmission is not affected by jamming, and complies with (4). Therefore, the decoded signal is

$$
\hat{x} = \hat{H}^\dagger y \approx x + H^\dagger w - H^\dagger \hat{H} x - H^\dagger \hat{H} H^\dagger w.
$$  \(23\)

The post-processing noise is then

$$
\hat{w} = H^\dagger w - H^\dagger \hat{H} x - H^\dagger \hat{H} H^\dagger w,
$$  \(24\)

with an autocorrelation given by Lemma 2.

**Lemma 2.** The Autocorrelation of the post-processing noise (24) is

$$
\mathbb{E} \{ \hat{w} \hat{w}^H \} = \left( 1 + \frac{1}{K} \right) N_0 (H^H H)^{-1} + E_j M K H^H GG H (H^H)^H.
$$  \(25\)
At the physical layer, the objective of degrading throughput is to evaluate the jamming power. Instead, we compare the jamming effects of jamming energy spent on each specific target, e.g. data packet, pilot, and ACK packet. However, substituting the complex forms of jamming energy for pilot and barrage jamming, respectively. So Theorem 1 essentially means that, the interference caused on the pilot signal is equivalently scaled by $N_0$ and the optimal jamming strategy is reduced to barrage jamming.

According to [22], tr($H^H H^{-1}$) is a small value typically no larger to $M$. Since we are focusing on high SNR (by SNR we mean signal to noise ratio, the SIR or SJR is not necessarily high) scenario, this implies that $N_0/M \cdot \text{tr}((H^H H^{-1})^2) << M$. In other words, the last term is much smaller than the second term and can be neglected. Therefore, we have

$$\mathbb{E}\{\hat{w} w^H\} \approx \left(1 + \frac{1}{K}\right) N_0 (H^H H^{-1}) + \frac{M}{K} E_j G^H (H^H)^2. \quad (27)$$

Therefore, the “effective” SINR on the $m$-th spatial channel is

$$y_m = \frac{E_s \frac{N_0}{K} [G^H (H^H)^2]_{mm} + \{1 + \frac{1}{K}\} N_0 [([H^H H^{-1}]^2)]_{mm}}{E_s [G^H (H^H)^2]_{mm}} \quad (28)$$

**ACK Jamming.** With the baseband model for ACK transmission in (8), the signal can be recovered by multiplying $u^H (H^T)^H$ with the received signal and normalizing. Therefore, at the transmitter side, we have

$$\hat{x} = \frac{u^H (H^T)^H (H^T u + F x + w)}{u^H (H^T)^H u} \quad (29)$$

and the post-processing SINR is as shown in (30), with $E_{j, p}$ and $E_{j, a}$ denoting the jamming energy per symbol in pilot jamming and ACK jamming.

$$\gamma_{\text{ACK}} = \| u^H (H^T)^H u \|^2_2 \left\{ \frac{E_{j, p}}{L} \text{tr}(G^H G) + N_0 \right\}$$

$$\cdot u^H (H^T)^H (E_{j, a} FF^H + N_0 M H^T u) \quad (30)$$

**THEORETICAL ANALYSIS OF OPTIMAL JAMMING TARGET**

In this section, we will quantitatively compare the jamming schemes modeled in Section 3 for the optimal target in the sense of jamming efficiency. Since different targets (i.e., data packet, pilot, and ACK packet) differ in terms of their lengths, it is unfair to directly compare the jamming power. Instead, we compare the jamming effects caused by a unit of jamming energy spent on each specific target, regardless of their lengths (e.g., we compare the effect of spending one unit of energy on pilot jamming vs ACK jamming).

**4.1 Physical Layer Jamming**

At the physical layer, the objective of degrading throughput is equivalent to reducing the achievable SINR, for which the following theorem holds.

**Theorem 1.** For a unit of jamming energy, pilot jamming yields a lower SINR than barrage jamming if

$$K < \sqrt{D \cdot M}, \quad (31)$$

where $D$ and $K$ are the lengths of the data packet and pilot in symbols, and $M$ is the number of antennae at the transmitter.

**Proof.** For a unit of jamming energy, the values of jamming energy per symbol can be expressed as $E_j = 1/(L \cdot K)$ and $E_j = 1/(L \cdot D)$, with $L$ as the number of antennae at the jammer, for pilot jamming and barrage jamming, respectively. Plugging these into the SINR expressions in (11) and (28), the theorem follows immediately.

**Remark 1.** According to Theorem 1, for a given data packet and the number of transmitting antennae, the optimal choice between barrage jamming and pilot jamming is decided solely by the pilot sequence length. This matches intuition, since longer pilot sequences are more robust to jamming. As long as the pilot length $K \geq \sqrt{DM}$, there is no incentive for the jammer to launch a pilot jamming attack, and the optimal jamming strategy is reduced to barrage jamming.

Note, here we are comparing on the basis that every data packet has a pilot. In reality, a pilot is supposed to cover a channel coherence period, during which there might be multiple data packets transmitted. In this case, the data packets can be treated as one single payload, in the sense of pilot jamming, since they share the same pilot.

**Remark 2.** The square-root form of data packet length $D$ in (31) may look anti-intuitive. A better interpretation is available if we rewrite (31) to the equivalent form of

$$\frac{M}{K} \cdot \frac{1}{D} > 1.$$

Note, with a unit jamming energy, $\frac{1}{K}$ and $\frac{1}{D}$ are the per-symbol jamming energy for pilot and barrage jamming, respectively. So Theorem 1 essentially means that, the interference caused on the pilot signal is equivalently scaled by $\frac{M}{D}$ during the signal recovering phase for the following data packet. (For a in-depth understanding of this scale, please refer to the proofs of Lemma 1 and 2.)

This scaling reflects the way how pilot jamming works. The jamming signal added to the pilot introduces errors to the estimated channel matrix, which are “transformed” during the signal processing in signal recovering phase. As a result, for the recovered signal, the interference is equivalently scaled by $\frac{M}{D}$. Interestingly, the scaling effect is proportional to the number of transmitting antennae, and inversely proportional to the pilot length. Therefore, while increasing number of transmitting antennae increases the total throughput, it does not alleviate pilot jamming. On the contrary, it makes the situation worse.

**4.2 Link Layer Jamming**

At the link layer, the jammer aims at disrupting the transmission of ACK packets. Since a corrupted ACK leads to retransmission of the following data packet in terms of the effective link throughput. To evaluate the jamming effect, we need to compare the PER for the data and ACK packets. However, substituting the complex forms of instantaneous SINR of (11), (28) and (30) into the PER-SINR function (9) produces intractable equations. To ease the analysis, we apply the expected
SINR in (9) instead, which produces a lower bound for the PER, as shown below.

With Rayleigh fading model, the entries of channel matrices \( H \), \( G \), and \( F \) are i.i.d. complex Gaussian random variables, as shown in Eq. (1), (2), and (3). The expected SINRs for the three jamming schemes in Eq. (11), (28), and (30) are then derived as

\[
\gamma_b = \frac{E_s \cdot \theta_H}{E_{b,a} \cdot \theta_G + N_0},
\]

\[
\gamma_p = \frac{E_s \cdot \theta_H}{M \cdot E_{p,b} \cdot \theta_G + (1 + \frac{1}{K}) \cdot N_0},
\]

\[
\gamma_a = \frac{N^2 \cdot (E_{a,b} \cdot \theta_G + N_0) + (L \cdot E_{a,p} \cdot \theta_F + N_0)}{E \cdot \theta_H},
\]

where we use subscripts \( b \), \( p \), and \( a \) in \( E_{i,\ast} \) to distinguish the per-symbol jamming energy for barrage jamming, pilot jamming, and ACK jamming, respectively. With the PER model in (9), we approximate the PER as

\[
e \approx 1 - \mathbb{P}(\gamma \geq \gamma_{th}) \geq 1 - \frac{\hat{\gamma}}{\gamma_{th}},
\]

where the inequality comes from Markov’s inequality. Apparently, (35) establishes a PER lower bound

\[
e_{\text{lwr}} = 1 - \frac{\hat{\gamma}}{\gamma_{th}}.
\]

**Theorem 2.** When used exclusively, pilot jamming yields a higher PER lower bound for the following ACK packet than ACK jamming, with a unit jamming energy, if the following holds:

\[
\frac{A \cdot \theta_G}{K \cdot \theta_F} \geq \frac{K \cdot L}{N^2}
\]

where \( A \) and \( K \) are lengths of the ACK packet and the pilot in symbols; \( \theta_G \) and \( \theta_F \) denote the path loss from the jammer to the receiver and transmitter; \( L \) and \( N \) are the number of antennae at the jammer and the receiver, respectively.

**Proof.** Since we are comparing the PER lower bound of the same packet (ACK), the term \( \gamma_{th} \) in (36) holds the same for both jamming schemes. Therefore, we only need to compare the expected SINR in (34).

For a unit of jamming energy, the jamming energy per symbol is \( 1/(L \cdot K) \) and \( 1/(L \cdot A) \), for pilot jamming and ACK jamming, respectively. Plugging these into the first and second terms in the denominator of Eq. (34) and ignore the noise, it follows that, when (37) holds:

\[
N^2 \cdot E_{b,p} \cdot \theta_G > L \cdot E_{a,p} \cdot \theta_F,
\]

i.e., when used exclusively, pilot jamming yields a higher denominator than ACK jamming. A lower (34) follows. Hence a higher (36).

**Remark 3.** Theorem 2 states that when the condition holds, pilot jamming alone will lead to a higher PER lower bound of the ACK packet than directly jamming the ACK packet itself. This is caused by incorrect CSI being propagated directly to the ACK transmission.

Note the primary target of pilot jamming is the data packet. However, as long as the conditions in Theorem 2 holds, the optimal jamming scheme to disrupt the following ACK packet is also pilot jamming (on the prior data packet). Therefore, under this condition, ACK jamming cannot be the optimal jamming scheme, and no jamming energy should be spent on it. Partly based on this theorem, the following theorems will give the optimal jamming schemes.

**Theorem 3.** Let us assume that noise is negligible. With a unit of jamming energy, ACK jamming yields a higher PER lower bound than barrage jamming if

\[
\frac{A \cdot \theta_G}{D \cdot \theta_F} < \frac{L \cdot \gamma_{th,a}}{E(\lambda_{\text{max}}) \cdot N \cdot \gamma_{th,d}},
\]

and higher lower bound than pilot jamming if

\[
\frac{A \cdot \theta_G}{K \cdot \theta_F} < \frac{K \cdot L \cdot \gamma_{th,a}}{E(\lambda_{\text{max}}) \cdot M \cdot N \cdot \gamma_{th,d}},
\]

where \( A \), \( D \), and \( K \) are the lengths of the ACK packet, data packet, and pilot, respectively; \( M \), \( N \), and \( L \) are the numbers of antennae at the transmitter, receiver, and jammer, respectively; \( \theta_F \) and \( \theta_G \) are the path loss from the jammer to the transmitter and receiver; \( \gamma_{th,d} \) and \( \gamma_{th,a} \) are the SINR thresholds for data and ACK packets. \( \lambda_{\text{max}} \) is the maximum eigenvalue of \( (HT)H \cdot H \).

**Proof.** With a unit of jamming energy, the jamming energy per symbol is \( E_{p,b} = 1/(LD) \), \( E_{b,p} = 1/(LK) \), and \( E_{a,p} = 1/(L/A) \), with \( L \) as the number of antennae at the jammer, for barrage jamming, pilot jamming, and ACK jamming, respectively. Omitting the noise components and plugging them in (32), (33) and (34), and applying them to (36), the theorem follows.

**Remark 4.** Although (39) is sufficient to guarantee a higher PER lower bound of the ACK packet (as a result of ACK jamming) than that of the data packet (as a result of pilot jamming), it does not guarantee that ACK jamming is the best choice. Recall Theorem 2 and Remark 3, pilot jamming also affects the PER of the following ACK packets, and sometimes yields a higher PER lower bound (on the ACK packet) than ACK jamming per unit jamming energy. Therefore, only when conditions in (37) and (39) both hold, is ACK jamming a better choice than pilot jamming.

**Remark 5.** The major factors affecting (38) and (39) include the SINR thresholds \( \gamma_{th} \) for the MCSs, the component lengths \( K \), \( D \), and \( A \), and the path loss \( \theta_{\ast} \) for \( G \) and \( F \). This means the optimal jamming target varies with the MCSs used by the data and ACK packets, the pilot, payload, and ACK lengths, as well as the distance from the jammer to the transmitter/receiver. In a scenario where any of these factors are dynamic (e.g., in IEEE 802.11 with rate adaptation), optimal jamming strategy needs to be dynamic, too.

## 5 APPLICATION TO PRACTICAL SCENARIOS

The theorems presented in the previous section reveals that the optimal jamming target is decided by a set of variables. Obviously, if perfect information about these variables is available, the jammer can at all times optimize its strategy to maximize the impairment generated to the legitimate communication process. However, in practical scenarios, information describing these variables (e.g., pilot length, path loss, etc.) may be unknown or dynamic. Therefore, it remains unclear whether the theoretical results are applicable to practical scenarios. To answer this question, we resort to machine
We will derive a similar reinforcement learning algorithm for a
ward should increase with the throughput degradation and de-

\[ \pi : s \rightarrow a, \forall s \in S, \forall a \in A, \] that maximizes the discounted sum of the instant and future rewards. We solve this Markov decision process (MDP) problem using the well-known Q-learning algorithm [20], in which the optimum policy is found by iteratively updating the value function for each combination of state and action. We will omit the detailed learning algorithm here for space limit, and focus on the novel action exploration scheme.

5.2 Theorem-Enhanced Action Exploration

Reinforcement learning algorithms are guaranteed to converge, as long as certain conditions are met, but the convergence speed heavily depends on the action exploration method [20]. Indeed, at each iteration, the learning engine may choose either to (i) explore the under-explored actions, or (ii) exploit the actions already known to yield a good reward. Thus, an effective exploration method may improve the convergence of the learning process significantly [6]. Since the theorems derived in Section 4 establish that certain actions are more favorable than others in certain states, we use them to improve the learning exploration phase.

According to Theorem 1, the jammer should limit the action space to pilot jamming with \( T_p \leq \sqrt{D_{max} \cdot M} \) and barrage jamming. Moreover, Theorem 1 also provides a criterion to choose between pilot jamming and barrage jamming. Suppose the jammer has an estimate on both the data packet length \( D \) and pilot length \( K \), in the form of probability distribution \( \mathbb{P}(K=k) \). Then, it can compute the probability that pilot jamming is more efficient than barrage jamming, as

\[
\mathbb{P}_b = \sum_{d \in D, k \in K} \mathbb{P}(D=d) \cdot \mathbb{P}(k > \sqrt{dM}).
\]

Consequently, the jammer should choose barrage jamming with probability \( \mathbb{P}_b \), and pilot jamming with \( T_p = k \) with probability

\[
\mathbb{P}_p = (1 - \mathbb{P}_b) \cdot \mathbb{P}(K=k).
\]

At the link layer, Theorem 2 and Theorem 3 specify conditions for the jammer to use ACK jamming, and can be used to prune unfavorable pilot jamming or barrage jamming actions. To be specific, when

\[
\frac{A \cdot \theta_G}{K \cdot \theta_F} < \min \left( \frac{K \cdot L}{N^2 \cdot \mathbb{E}(\lambda_{max})}, \frac{K \cdot L_{Y_{th,a}}}{M \cdot N \cdot Y_{th,d}} \right)
\]

holds, ACK jamming is more favorable than pilot jamming; and when Eq. (38) holds, ACK jamming is more favorable than barrage jamming. Since Theorem 2 and 3 are valid for lower bounds on the PER, we let the jammer explore ACK jamming when the conditions hold with probability \( \epsilon \). Variables \( \theta_G, \theta_F \) can be estimated by averaging the signal strength received from the transmitter and receiver, given the knowledge of transmitting power. \( \mathbb{E}(\lambda_{max}), Y_{th,a}, \) and \( Y_{th,d} \) can be computed offline. To summarize, the procedure for enhanced exploration is described as a decision tree in Fig. 3, and reported in detail in Algorithm 1.
We also notice that the performance gain decreases with increasing $K$.

In other words, we focus on an energy range that is negligible for barrage jamming when $K$ becomes similar to barrage jamming on performance. This matches the point in this case is $32$.

Fig. 5 does not precisely match Theorem 3, but we argue that the lower bound of PER is not always reflected well by BER. Actually, with larger jamming energy (not shown due to space limit), the results in BER matches Theorem 3 much better, suggesting that Theorem 3 is more accurate with high jamming energy.

### 6 PERFORMANCE EVALUATION

We first validate our theorems in Section 6.1, followed by a testbed evaluation in Section 6.2 and by an evaluation of the learning-based jamming algorithm in Section 6.3.

#### 6.1 Theoretical Validation

To validate the theorems in Section 4, we simulate advanced jamming schemes on a MIMO link. We consider a scenario where the transmitter, receiver, and the jammer all equipped with 2 antennas. The data packet size is set to 1024 bits, and 240 packets are aggregated to one frame, i.e., with one pilot. The pilot length may be 4, 16, 128, or 512 symbols long. ACK packets are assumed to be 512 bits long. The data packets can be modulated with BPSK, QPSK, 16QAM, or 64QAM, while ACK packets are modulated with BPSK.

We evaluate barrage jamming, pilot jamming, and ACK jamming with normalized jamming energy between 0 and 20, where the energy is normalized by the energy per transmitted symbol. Note, for barrage jamming on BPSK-modulated signals, a jamming energy of 20 is equivalent to a signal-to-jamming ratio (SJR) of 37.9 dB. In other words, we focus on an energy range that is negligible for traditional barrage jamming.

Due to space limit, we only show the results for BPSK-modulated data packets in Fig. 5. We use bit error rate (BER) as the metric, since it is easy to measure, and is directly decided by SINR, the metric used in both Theorem 1 and 2. Fig. 5 shows that with small pilot length, pilot jamming significantly outperforms barrage jamming. We also notice that the performance gain decreases with increasing pilot length – with pilot length of 512 symbols, pilot jamming becomes similar to barrage jamming on performance. This matches the result predicted by Theorem 1, which states that pilot jamming is more energy-efficient than barrage jamming when $K < \sqrt{DM}$ – in this case, the theoretical crossover point is approximately 495. The effect of pilot jamming on the following ACK packet can also be verified in Fig. 5. For $K = 4$, pilot jamming results in higher BER than ACK jamming with the same energy. However, the advantage becomes negligible for $K = 16$ and ACK jamming becomes better for $K = 128$. This observation validates Theorem 2, which states that pilot jamming is better than ACK jamming if $K < \sqrt{495 N^2 / (4D_T)}$ – the point in this case is 32.

### 6.2 Experimental Testbed Evaluation

We have implemented a 2 x 2 MIMO system described in Section 3 using 4 USRP N210s and 1 USRP X310. For the forward (data) transmission, we use the I/Q data from the USRPs to evaluate different jamming schemes. To better control the jamming energy, we manually add barrage and pilot jamming signals to the received samples. The signal processing is run in Matlab, with BER computed as the metric. Due to the limitation on computation speed, we are unable to perform the backward (ACK) transmission in real-time, immediately after a data packet is received. We emulate the ACK transmission and ACK jamming by leveraging channel information estimated from the received samples to perform beamforming, and compute the received ACK signal with jamming signal added. The BER is computed after signal processing of the ACK packet.

For the sake of simplicity, we use QPSK modulation for both data and ACK transmission. Data packets are long 1024 symbols, while the pilot length are long 64, 128, and 512 symbols – we choose long pilots because they are also used for synchronization. The length of ACK is set to 128 symbols. We explore 20 levels of jamming energy, such that the interference level at the receiver side is significant enough to create bit errors. Specifically, the jamming energy is set to $[0.5 : 0.5 : 10] \times 4096$, normalized by the pre-amplified symbol energy for the data transmission.

Fig. 6 confirms simulations results. Indeed, for data packets, pilot jamming prevails with short pilots, but becomes less efficient than barrage jamming when the pilot length is increased to a certain level. Although the results do not match precisely Theorem 1 on the crossover point, we point out that Theorem 1 is derived based on the assumption of negligible channel estimation errors, which does not hold in the testbed experiments. Furthermore, the relation between pilot jamming efficiency and pilot length is still valid. For ACK jamming, we observe that ACK jamming effectively destructs ACK transmission, achieving a BER around 0.5.
6.3 Evaluation of Learning Algorithm

In our experiments, we assume there are two routes available to the transmitter, while the set of MCSs includes BPSK-1/2, QPSK-9/16, 16QAM-3/4, and 64QAM-3/4 – we will refer to them as MCS 1 to 4. All the MCSs comply to the PER-SINR function in (9), with the parameters $a_2$ and $b_2$ borrowed from [12]. The state set is then composed of 8 states, indexed as in Table 1.

| State Index | Description                  |
|-------------|------------------------------|
| 1 - 4       | Route 1, with MCS 1 - 4     |
| 5 - 8       | Route 2, with MCS 1 - 4     |

| Action Index | Description                  |
|--------------|------------------------------|
| 1 - 20       | Barrage, energy 1 - 20      |
| 21 - 40      | Pilot, energy 1 - 20, length 4 |
| 41 - 60      | Pilot, energy 1 - 20, length 16 |
| 61 - 80      | Pilot, energy 1 - 20, length 128 |
| 81 - 100     | Pilot, energy 1 - 20, length 512 |
| 101 - 120    | ACK, energy 1 - 20          |

Table 1: State and action sets.

The jamming normalized energy is set from 10 to 200 with a step of 10. The jammer needs to decide the pilot jamming length $T_p$, since the jammer is not aware of the real value, which is fixed to 128 in the simulation. We discretize the pilot jamming length to values of 4 levels, 4, 16, 128, and 512. We simulate transmission with dynamic MCS and route adaptation (i.e., the transmitter adjusts its MCS and route according to the link throughput). To better illustrate the algorithm convergence in different states, we intentionally let the transmitter perform state transition every 1000 steps.

The resultant state transition is shown in Fig. 7 (a), and the best action at each step is shown in Fig. 7 (b). We can observe that the transmitter starts with route 1 and the highest MCS of 64QAM-3/4. Since receiver 1 is close to the jammer and the pilot length $K = 128$ satisfies (31), the best action is pilot jamming with length 128. This is confirmed by looking at the best action in the initial steps in Fig. 7 (b). After step 1000, the transmitter switches to route 2, and since receiver 2 is far away while the transmitter is close to the...
jammer, the best action becomes ACK jamming, agreeing with the results shown in Fig. 7 (b). The success of the jammer in degrading throughput is proven by the state adaptation process, which shows that the transmitter adapts a more reliable MCS in each update, until the most reliable one, i.e., BPSK-1/2 is used. The jammer’s best action remains ACK jamming since ACK packets are not affected by the MCS adaptation. To show the benefits of the enhanced exploration method discussed in Section 5, we run simulations with the same settings and semi-uniform exploration. State adaptation is the same as in Fig. 7 (a), but the convergence is slower. Fig. 7 (c) concludes that the fluctuation in best action is clearly larger than that for enhanced exploration before step 2000. The benefit of enhanced exploration is clearer in Fig. 7 (d), where the accumulated reward is shown for both exploration methods.

7 CONCLUSIONS

In this paper, we have identified a set of potential targets for advanced jamming. Then, we have formally modeled jamming schemes aimed at each of these vulnerabilities, and conducted a rigorous analysis resulting in insightful theorems that unveil optimal jamming strategies in scenarios of interest. Them, we have designed a reinforcement learning based algorithm that allows the jammer to adapt its jamming strategy to dynamic environments. The theorems were used to enhance the efficiency of action exploration in the learning process. We have verified the theorems and proved the effectiveness of the proposed algorithm through extensive simulations and experiments.

ACKNOWLEDGEMENTS

We sincerely thank our shepherd Xiaowen Gong and the anonymous reviewers for their constructive feedback, which has helped increase significantly the quality of our manuscript. This material is based upon work funded by the Air Force Research Laboratory (AFRL) under Contract No. FA8750-15-3-6001-NU and by the National Science Foundation (NSF) under Grant CNS-1618727. Any opinions, findings and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the AFRL or the NSF.

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