Vacuum energy sequestering and cosmic dynamics

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We explicitly compute the dynamics of closed homogeneous and isotropic universes permeated
by a single perfect fluid with a constant equation of state parameter \( w \) in the context of a recent
reformulation of general relativity, proposed in [1], which prevents the vacuum energy from acting
as a gravitational source. This is done using an iterative algorithm, taking as an initial guess the
background cosmological evolution obtained using standard general relativity in the absence of a
cosmological constant. We show that, in general, the impact of the vacuum energy sequestering
mechanism on the dynamics of the universe is significant, except for the \( w = 1/3 \) case where the
results are identical to those obtained in the context of general relativity with a null cosmological
constant. We also show that there are well behaved models in general relativity that do not have
a well behaved counterpart in the vacuum energy sequestering paradigm studied in this paper,
highlighting the specific case of a quintessence scalar field with a linear potential.

I. INTRODUCTION

Solving the cosmological constant problem constitutes
one of the most ambitious challenges of fundamental
physics [2]. The latest constraints [3–7] suggest that
a cosmological constant may be responsible for the
observed acceleration of the universe, assuming that gravity
is described by general relativity on cosmological scales.
However, this interpretation of the data faces several
problems: i) why is the vacuum energy density about
120 orders of magnitude smaller than the Planck den-
sity? ii) why do we seem to live at a very special epoch
where the fractional contribution of the cosmological con-
stant to the energy density of the universe appears to be
rapidly evolving from 0 in the relatively recent past to-
wards 1 in the not too distant future? The answer to
these questions may lie on dynamical dark energy mod-
els [8–11], finite lifetime cosmologies in which the matter
and dark energy densities can be of the same order for
most of the universe lifetime [12,17], and/or anthropic
considerations [17,20].

Another related problem has to do with the fact, un-
like the other fundamental interactions, general relativity
is not invariant under the shifting of the Lagrangian by
a constant, implying that the vacuum energy density is
a source for the gravitational field in general relativity.
This has been a matter of debate for many years, with
some authors arguing that a satisfactory solution to the
cosmological constant problem requires a modification
of general relativity (see, for example, [21]). In [1] (see
also [22,23]) a new mechanism was proposed which pre-
vents the vacuum energy from acting as a gravitational
source, thus providing a possible explanation for the huge
discrepancy between the estimation of the vacuum en-
dergy density from quantum zero-point fluctuations and
the value inferred from cosmological observations. In the
context of this reformulation of general relativity the uni-
verse should be finite in space and time, with the present
epoch of accelerated expansion being a transient stage
before the big crunch.

In the present paper we shall investigate how the cos-
ological dynamics is affected by the vacuum energy se-
questering mechanism. This paper is organized as fol-
lows. In Sec. II some of the key features of the theory
proposed in [1] are outlined. In Sec. III we use an itera-
tive algorithm to determine the impact of the vacuum en-
ergy sequestering mechanism on the dynamics of closed
homogeneous and isotropic universes filled with a per-
fect fluid with a constant equation of state parameter.
The results are then compared with those obtained in
the context of general relativity with a null cosmological
constant. In this section we also explore the implications
of the vacuum energy mechanism in the context of more
general models, with special emphasis to the case of a
quintessence scalar field with a linear potential. We then
conclude in Sec. IV.

Throughout this paper we use units such that \( 8\pi G =
c = 1 \), where \( G \) is the gravitational constant and \( c \) is
the value of the speed of light in vacuum. We adopt the
metric signature \((-,+,+,+))\).

II. THE MODEL

Here we shall consider the action defined in [1] which
yields the following equations of motion for the gravita-
tional field

\[
G^{\mu\nu} = T^{\mu\nu} - \Lambda g^{\mu\nu},
\]  

(1)
the components of the four-velocity of the fluid (\(T^\mu_{\nu}\)), and the universe lifetime \(t_u\) in model I are both normalized to unity.

where \(G^{\mu\nu} \equiv R^{\mu\nu} - g^{\mu\nu} R/2\) are the components of the Einstein tensor, \(g^{\mu\nu}\) are the components of the metric, \(R^{\mu\nu}\) are the components of the Ricci curvature tensor, \(R \equiv R^{\mu\nu} g_{\mu\nu}\) is the Ricci scalar curvature, \(T^{\mu\nu}\) are the components of the energy momentum tensor and \(\Lambda\) is given by

\[
4\Lambda = \langle T^\mu_{\mu} \rangle = \frac{\int d^4x \sqrt{-g} T^\mu_{\mu}}{\int d^4x \sqrt{-g}},
\]

where \(g \equiv \det(g_{\mu\nu})\) is the metric determinant. These equations of motion for the gravitational field are invariant under the transformation \(T^{\mu\nu} \rightarrow T^{\mu\nu} + C g^{\mu\nu}\) where \(C\) is an arbitrary real constant. Consequently, any bulk constant energy density is effectively gauged away.

In this paper we shall consider a closed homogeneous and isotropic universe described by the Friedmann-Lemaître-Robertson-Walker metric. The line element is given by

\[
ds^2 = -dt^2 + a(t)^2 \left( \frac{dr^2}{1 - kr^2} + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \right),
\]

where \(t\) is the physical time, \(\{r, \theta, \phi\}\) are comoving spherical coordinates and \(k > 0\) is the constant curvature of the 3-dimensional space. In a homogeneous and isotropic spacetime the energy-momentum tensor of the background source must have a perfect fluid form

\[
T^{\mu\nu} = (\rho + p) u^\mu u^\nu + pg^{\mu\nu},
\]

where \(\rho\) is the energy density, \(p\) is the pressure and \(u^\mu\) are the components of the four-velocity of the fluid \((u^0 = -1\) and \(u^i = 0\)) in comoving coordinates. The trace of the energy-momentum tensor is

\[
T^\mu_{\mu} = -\rho + 3p = \rho(3w - 1),
\]

where \(w = p/\rho\) is the equation of state parameter.

The dynamics of the universe can be obtained from Eqs. (1) and (2), considering the metric given by Eq. (3). The equations of motion are given by

\[
H^2 = \frac{\rho}{3} + \frac{\Lambda}{3} - \frac{k}{a^2},
\]

\[
\frac{\ddot{a}}{a} = -\frac{\rho(1 + 3w)}{6} + \frac{\Lambda}{3},
\]

with

\[
T^\mu_{\mu} = \rho(3w - 1) = 4\Lambda - 6 \left( H^2 + \frac{\ddot{a}}{a} + \frac{k}{a^2} \right).
\]

Eqs. (2) and (8) then imply the following restriction on the overall dynamics of the universe

\[
\left\langle H^2 + \frac{\ddot{a}}{a} + \frac{k}{a^2} \right\rangle = 0.
\]

This restriction is a direct consequence of the vacuum energy sequestering mechanism and it is not present in general relativity.

III. COSMIC DYNAMICS

A. Constant \(w\) models

Here we use an iterative algorithm to determine the cosmological evolution of universes permeated by a single perfect fluid with a constant equation of state parameter \(w\) (in which case \(\rho \propto a^{-3(w+1)}\)). Starting from the \(w = 0.3\) model the algorithm calculates the value of \(\Lambda\) for each \(w\) (considering fixed positive or negative steps of \(\Delta w\)). For each \(w\) the evolution of the universe is computed and the value of \(\Lambda\) is estimated iteratively using

\[
\Lambda = \frac{1}{4} \langle T^\mu_{\mu} \rangle = \frac{3w - 1}{4} \frac{\int dt a^3 \rho}{\int dt a^4},
\]

Note that for \(w = 1/3\) the universe dynamics is not affected by the vacuum energy sequestering mechanism.
\( \Lambda = 0 \) in that case. However, this is no longer the case for \( w \neq 1/3 \) (\( \Lambda < 0 \) for \( w < 1/3 \) and \( \Lambda > 0 \) for \( w > 1/3 \)). In the iterative process \( \Lambda = 0 \) is taken as an initial guess for \( 0.3 - 3\Delta w < w < 0.3 + 3\Delta w \). For \( w < 0.3 - 3\Delta w \) and \( w > 0.3 + 3\Delta w \) the initial condition for \( \ln|\Lambda| \) is obtained from the previous two \( w \) steps using a linear fit. For large values of \( w \) (larger than 0.7), the iterative procedure does not always converge and a few additional constraints are necessary in order to ensure convergence. The numerical results presented in this section have considered \( w_{\text{min}} = -0.32, w_{\text{max}} = 0.90 \) and \( \Delta w = 0.01 \).

Fig. 4 shows the evolution of the scale factor with cosmic time for a model with \( w = -0.1 \) considering: i) general relativity with a null cosmological constant (model I, solid line) ii) the reformulation of general relativity incorporating the vacuum energy sequestering mechanism (model II, dashed line). The maximum value of the scale factor \( a_{\text{max}} \) and of the universe lifetime \( t_u \) in model I are both normalized to unity. Fig. 1 illustrates the large impact that this vacuum energy sequestering mechanism has on the dynamics of the universe for values of \( w \) not too far from \(-1/3\). Close to this limit, the first and the last term on the r.h.s. of Eq. (6) have a similar evolution with the scale factor, and, consequently, in model I the stage with \( H \sim 0 \) corresponds to a very large variation of the scale factor. This implies that the introduction of the negative \( \Lambda \) term (in model II) has a dramatic effect on the dynamics of the universe for values of \( w \) larger but close to \(-1/3\), leading to much smaller universe lifetimes \( t_u \) and maximum values of the scale factor \( a_{\text{max}} \) compared to model I, as illustrated in Fig. 1.

Fig. 2 is analogous to Fig. 1 but now considering \( w = 0.9 \). Fig. 2 illustrates the very large impact that the vacuum energy sequestering mechanism has on the dynamics of the universe for \( w \rightarrow 1^- \). Although \( \int dt a^3 \rho \) diverges near \( a = 0 \) for \( w = 1 \) (note that \( \rho \propto a^{-6} \) and \( a \propto t^{1/3} \) for \( w = 1 \) near the big bang, an epoch in which curvature of the universe has no impact on its dynamics), there is a well behaved model II solution in the \( w \rightarrow 1^- \) limit. Close to that limit, the universe may spend an arbitrary large amount of time in a quasi static state with \( H \sim 0 \) and \( \ddot{a}/a \sim 0 \), which weighs down the contribution of the phase close to \( a = 0 \) in the calculation of \( \Lambda \). Fig. 2 illustrates this, showing that the universe lifetime is much larger for model II than for model I.

Fig. 3 shows the ratio between the universe lifetimes in models II and I \( (t_u^{II}/t_u^{I}) \) as a function of \( w \). As previously discussed, this ratio tends to zero in the \( w \rightarrow -1/3^+ \) limit and to \( \infty \) in the \( w \rightarrow 1^- \) limit. Except for the \( w = 1/3 \) case, the reformulation of general relativity proposed in [1] to prevent the vacuum energy from sourcing the gravitational field has a significant impact on the dynamics of the universe. This can also be seen in Fig. 4 which shows the ratio between the maximum values of the scale factor in models II and I \( (a_{\text{max}}^{II}/a_{\text{max}}^{I}) \) as a function of \( w \). As expected, this ratio becomes very small in the \( w \rightarrow -1/3^+ \) limit and tends to a constant in the \( w \rightarrow 1^- \) limit.

Fig. 5 shows the ratio between the last two terms on the r.h.s. Eq. (6) for \( a = a_{\text{max}} (-\Lambda a_{\text{max}}^2/(3k)) \) in model II as a function of \( w \) while the ratio between \( \Lambda \) and the minimum density \( \rho(a_{\text{max}}) \) for the same model is plotted in Fig. 6 also as a function of \( w \). We conclude from the plots that \( |\Lambda| \) is never larger than a few times the minimum density for this family of models. We shall see in the next section that this is not always the case in a more general framework.

The ratios shown in Figs. 3 and 4 can be found analytically in the \( w \rightarrow 1^- \) limit by taking into account that, in this limit,

\[
H^2 = \frac{\rho}{3} + \frac{\Lambda}{3} - \frac{k}{a^2} = 0 ,
\]

\[
\frac{\ddot{a}}{a} = -\frac{2}{3} \rho + \frac{\Lambda}{3} = 0 ,
\]

for \( a = a_{\text{max}} \). Eq. (12) implies that \( \rho(a_{\text{max}}) = \Lambda/2 \).

Substituting in Eq. (12) one obtains \( -\Lambda a_{\text{max}}^2/(3k) = -2/3 \).

The value of \( -\Lambda a_{\text{max}}^2/(3k) \) may also be calculated analytically in the \( w \rightarrow -1/3^+ \) limit. In this limit \( \rho \propto a^{-2} \).
and $\Lambda < 0$. Consequently, Eq. (6) may be written as

$$H^2 = \frac{\Lambda}{3} \left( 1 - \frac{a_{\text{max}}^2}{a^2} \right),$$

(13)

where we have taken into account that $H = 0$ for $a = a_{\text{max}}$ thus implying that

$$\frac{\rho(a_{\text{max}})}{3} - \frac{k}{a_{\text{max}}^2} = -\frac{\Lambda}{3}.$$  

(14)

The solution to Eq. (13) is given by

$$a = a_{\text{max}} \sin \left( \sqrt{-\Lambda/3t} \right),$$  

(15)

and the average value of $\rho$ may be calculated as

$$\langle \rho \rangle = \frac{a_{\text{max}}^2}{3} \rho(a_{\text{max}}) \int dt a^3 = \frac{3}{2} \rho(a_{\text{max}}),$$  

(16)

taking into account that $\rho = \rho(a_{\text{max}})(a_{\text{max}}/a)^2$ in the $w \to -1/3^+$ limit. Using Eqs. (6), (7) and (9) one finds that $\langle \rho \rangle = -2\Lambda$, in the $w \to -1/3^+$ limit, implying that $\rho(a_{\text{max}}) = -4\Lambda/3$. We may now conclude that, in this limit,

$$0 = H^2 = \frac{\rho}{3} + \frac{\Lambda}{3} \frac{k}{a^2} = -\frac{\Lambda}{9} - \frac{k}{a^2},$$  

(17)

for $a = a_{\text{max}}$, which implies that $-\Lambda a_{\text{max}}^2/(3k) = 3$.

In Figs. [5] and [6] these analytical constraints have been used to extend the results to the $w \to -1/3^+$ and $w \to 1^-$ limits.

### B. Scalar field with a linear potential

Here we shall consider a homogeneous and isotropic universe filled with matter and a standard quintessence scalar field $\phi$. In the context of general relativity and in the absence of a cosmological constant this model is fully described by the equations

$$H^2 = \frac{1}{3} \left( \rho_m + \dot{\phi}^2/2 - V(\phi) \right) - \frac{k}{a^2},$$  

(18)

$$\ddot{\phi} + 3H\dot{\phi} = -\frac{dV}{d\phi},$$  

(19)

where $\rho_m \propto a^{-3}$ is the matter density. Here we shall assume that the scalar field potential $V(\phi)$ is a linear function of $\phi$, namely

$$V(\phi) = V_0 + \frac{dV}{d\phi} (\phi - \phi_0),$$  

(20)

where $|dV/d\phi|$ is a constant and the subscript ‘0’ means that the variables are to be evaluated at the present time $t_0$ (see [16] for more details on this model in the context of general relativity, including a possible solution to the coincidence problem).

In the dark energy dominated era the dark energy scalar field $\phi$ is constrained to be in a slow-roll regime with

$$w_\phi = \frac{\dot{\phi}^2/2 - V(\phi)}{\dot{\phi}^2/2 + V(\phi)} \sim -1,$$  

(21)

and

$$3H\dot{\phi} \sim -\frac{dV}{d\phi}.$$  

(22)

In this regime the evolution of $\phi$ is very slow and the main contribution to the energy density of the universe comes from $V(\phi)$. In this phase the kinetic energy of the scalar field increases very slowly due to the corresponding very slow decrease of $V$. However, no matter how small the value of $|dV/d\phi| > 0$ is, at some point the slow-roll regime ends, $V(\phi)$ turns negative and the universe collapses with the energy density becoming dominated by the kinetic energy density of the scalar field $\phi$ until the big crunch.

The conclusion that the universe eventually collapses and that the late time evolution of the universe is dominated by the kinetic energy of the scalar field $\phi$ remains
valid even if one allows for an additional finite \( \Lambda \) contribution associated to the vacuum energy sequestering mechanism (this has also been shown in [24]). Also, near the big crunch the universe is nearly flat and the curvature may also be neglected.

Eq. (19) implies that

\[
H^2 \phi'' + a \left( \frac{\dot{a}}{a} + 2H^2 \right) \phi' = -\frac{dV}{d\phi},
\]

where a prime denotes a derivative with respect to \( \ln a \). Neglecting the curvature and \( \Lambda \) terms in Eq. (6), setting \( w = 1 \) in Eq. (7) and taking into account that \( \rho \propto a^{-6} \) for \( w = 1 \) one obtains that

\[
a^{-6} \phi'' = -C_1 \frac{dV}{d\phi},
\]

where \( C_1 \) is a positive constant. The solution to Eq. (24) is then given by

\[
\phi = -\frac{C_1}{30} \frac{dV}{d\phi} a^6 + C_2 + C_3 \ln a.
\]

Hence, the values of \( \phi \) and \( V(\phi) \) display only a relatively slow change with \( a \) as the universe approaches the big crunch. On the other hand, the kinetic energy of the scalar field is increasing proportionally to \( a^{-6} \), driving the value of \( w \) closer and closer to unit. This results in an infinite value for \( \Lambda \) if the vacuum energy mechanism is applied, thus invalidating this quintessence model as a viable cosmological scenario (in [23] the authors did not consider the possibility of a divergent \( \Lambda \) which resulted in a different conclusion). Note that this only occurs for models where \( w \) tends to unity sufficiently fast at the big crunch (or at big bang) so as to make the integral in Eq. (2) diverge, which does not happen in general.

IV. CONCLUSIONS

In this paper we have quantified the impact of a recently proposed vacuum energy sequestering mechanism on the background evolution of the universe, using both analytical and numerical analysis. We confirmed that, in general, this mechanism significantly modifies the dynamics of the universe with respect to the cosmological dynamics obtained in the context of general relativity with a null cosmological constant. We have shown that in some cases, in particular for values of \( w \) close to \(-1/3 \) and \( 1 \), the dynamical changes can be dramatic. We have also shown that there are well behaved quintessence models in the context of general relativity which do not have a well behaved counterpart in the vacuum energy sequestering paradigm studied in this paper. We have highlighted the particular case of a quintessence scalar field with a linear potential, which has been suggested as a possible solution to the coincidence problem in the context of general relativity.

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