SOME REMARKS ABOUT INTERPOLATING SEQUENCES IN REPRODUCING KERNEL HILBERT SPACES

MRINAL RAGHUPATHI AND BRETT D. WICK

Abstract. In this paper we study two separate problems on interpolation. We first give some new equivalences of Stout’s Theorem on necessary and sufficient conditions for a sequence of points to be an interpolating sequence on a finite open Riemann surface. We next turn our attention to the question of interpolation for reproducing kernel Hilbert spaces on the polydisc and provide a collection of equivalent statements about when it is possible to interpolation in the Schur-Agler class of the associated reproducing kernel Hilbert space.

1. Introduction and Statement of Main Results

Recall that a sequence \( Z = \{z_j\} \subset \mathbb{D} \) is called an \( H^\infty \)-interpolating sequence if for every \( a = \{a_j\} \in \ell^\infty \) there exists a function \( f \in H^\infty \) such that \( f(z_j) = a_j \ \forall j \). Similarly, for the sequence \( Z \) let \( \ell^2(\mu_Z) \) be the space of all sequences \( a = \{a_j\} \) such that
\[
\sum_{j=1}^{\infty} |a_j|^2 (1 - |z_j|^2) := \|a\|^2_{\ell^2(\mu_Z)} < \infty.
\]
Then the sequence \( Z = \{z_j\} \) is called an \( H^2 \)-interpolating sequence if for every \( a = \{a_j\} \in \ell^2(\mu_Z) \) there exists a function \( f \in H^2 \) such that \( f(z_j) = a_j \ \forall j \).

As is well known, these sequences turn out to be one in the same and are characterized by a separation condition on the points in \( Z \) and that the points must generate a Carleson measure for the space \( H^2 \). The following theorem gives a precise statement of this.

Theorem 1.1 (Carleson, [4], Shapiro, Shields [14]). The following are equivalent:

(a) The sequence \( Z \) is \( H^2 \)-interpolating;
(b) The sequence \( Z \) is \( H^\infty \)-interpolating;
(c) The sequence \( Z \) is separated in the pseudo-hyperbolic metric and generates a \( H^2 \)-Carleson measure. In particular, \( \sum_{z_j \in Z} (1 - |z_j|^2) \delta_z \) is a \( H^2 \) Carleson measure and
\[
\inf_{j \neq k} \left| \frac{z_j - z_k}{1 - \overline{z_k}z_j} \right| \geq \delta > 0;
\]

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The sequence $Z$ is strongly separated, namely there exists a constant $\delta > 0$ such that

$$\inf_j \left| \prod_{j \neq k} \frac{z_j - z_k}{1 - \overline{z_k}z_j} \right| \geq \delta > 0.$$ 

Since the results of Carleson, [4], and Shapiro-Shields, [14] the question of characterizing the interpolating sequences for other spaces of analytic functions has been intensively studied. See any of the papers [3, 7, 13] for various generalizations of this question.

In this paper we study the problem of interpolating sequences in two settings. First, we consider the case of finite Riemann surfaces and obtain a new equivalences and a different proof of a theorem of Stout. We then go on to consider a multivariable example: the Schur Agler class. In both cases we will make heavy use of results on Pick interpolation.

**Interpolation on Riemann Surfaces.** Let $\Gamma$ be a Fuchsian group acting on the unit disk. We will assume that $\Gamma$ finitely-generated. The group $\Gamma$ acts on $H^\infty$ by composition and the associated fixed-point algebra is denoted $H^\infty_\Gamma$. It is known that every finite open Riemann surface can be viewed as the quotient space of the disk by the action of such a group. The group $\Gamma$ is finitely generated and acts without fixed points on the disk. A major advantage of viewing the problem in terms of fixed points is that the algebra $H^\infty_\Gamma \subseteq H^\infty$ and this allows us to bootstrap results about Riemann surfaces to the classical setting of the open unit disk.

There is also a reproducing kernel Hilbert space $H^2_\Gamma$ associated with the group action. This is just the set of fixed points in $H^2$. In [11] it is shown that $H^\infty_\Gamma$ is the multiplier algebra for $H^2_\Gamma$.

Our first main result of this paper is the following theorem.

**Theorem 1.2.** Let $Z = (z_n) \subseteq \mathbb{D}$ be a sequence of points in $H^\infty_\Gamma$ such that no two points lie on the same orbit of $\Gamma$, where $\Gamma$ is the group of deck transformation associated to a finite Riemann surface. Let $Z_n = Z \setminus \{z_n\}$. The following are equivalent:

1. The sequence $\{z_n\}$ is interpolating for $H^\infty_\Gamma$;
2. The sequence $\{z_n\}$ is interpolating for $H^2_\Gamma$;
3. The sequence $\{z_n\}$ is $H^2_\Gamma$-separated and \(\sum_{n=1}^\infty K^\Gamma(z_i, z_i)^{-1} \delta_{z_i}\) is a Carleson measure;
4. The Gramian $G = \left[ \frac{K^\Gamma(z_i, z_j)}{\sqrt{K^\Gamma(z_i, z_i) K^\Gamma(z_j, z_j)}} \right]$ is bounded below;
5. There is a constant $\delta > 0$ such that $\inf_{n \geq 1} d_{H^\infty_\Gamma}(z_n, Z_n) \geq \delta$.

A similar result was obtained by Stout [15]. However, there are two differences between the results obtained there and our results. First, we use the interpolation theorem from [12] as an essential ingredient in our proof. This modern approach appears in the work of Marshall and Sundberg on interpolating sequences for the Dirichlet space. Second, our proof applies to the case of a subalgebra of $H^\infty$ that is fixed by the action of a finitely-generated discrete group, a more general setting than the case of a finite Riemann surface.

**Interpolation in the Schur-Agler Class.** We now turn to the case where the domain is $\mathbb{D}^d$. Here the algebra in question is the set of functions in the Schur-Agler class. As motivation for our results we describe the important theorem of Agler and McCarthy that characterizes the interpolating sequences for $H^\infty(\mathbb{D}^2)$. Recall that $H^\infty(\mathbb{D}^2)$ is the multiplier algebra for the space $H^2(\mathbb{D}^2)$, and that this is a reproducing kernel Hilbert space with kernel
given by
\[ k_z(w) = \frac{1}{1 - \overline{z}_1 w_1} \frac{1}{1 - \overline{z}_2 w_2} \]
for \( z, w \in \mathbb{D}^2 \).

A sequence of points \( \{\lambda_j\} \subset \mathbb{D}^2 \) is called an \( H^\infty(\mathbb{D}^2) \)-interpolating sequence if for any sequence of bounded numbers \( \{w_j\} \) there is a function \( f \in H^\infty(\mathbb{D}^2) \) such that \( f(\lambda_j) = w_j \).

The sequence of points is said to be strongly separated if for each integer \( i \) there is a function \( \varphi_i \in H^\infty(\mathbb{D}^2) \) of norm at most \( M \) such that \( \varphi_i(\lambda_i) = 1 \) and \( \varphi_i(\lambda_k) = 0 \) for \( k \neq i \). The result of Agler and McCarthy then gives a characterization of the interpolating sequences for \( H^\infty(\mathbb{D}^2) \).

**Theorem 1.3** (Agler and McCarthy, [1]). Let \( \{\lambda_j\} \in \mathbb{D}^2 \). The following are equivalent:

(i) \( \{\lambda_j\} \) is an interpolating sequence for \( H^\infty(\mathbb{D}^2) \);

(ii) The following two conditions hold

(a) For all admissible kernels \( k \), their normalized Gramians are uniformly bounded above,

\[ G^k \leq MI \]

for some \( M > 0 \), item[(b)] For all admissible kernels \( k \), their normalized Gramians are uniformly bounded below,

\[ G^k \geq NI \]

for some \( N > 0 \);

(iii) The sequence \( \{\lambda_j\} \) is strongly separated and condition (a) alone holds;

(iv) Condition (b) alone holds.

Here an admissible kernel is one for which the pointwise by \( M_{z_j} \) is a bounded operator on \( H(k) \), the reproducing kernel Hilbert space on \( \mathbb{D}^2 \) with kernel \( k \).

We now consider a related question, but for more general products of reproducing kernel Hilbert spaces. Given \( k_j \) with \( j = 1, \ldots, d \) reproducing kernels on \( \mathbb{D} \) with the property that

\[ \frac{1}{k_j}(z, w) = 1 - \langle b_j(z), b_j(w) \rangle \]

where \( b_j \) is a map from \( \mathbb{D} \) into the open unit ball of a separable Hilbert space.

The kernel \( k_j \) is the reproducing kernel for the Hilbert space \( H(k_j) \). Let \( H(k) \) denote the reproducing kernel Hilbert space defined on \( \mathbb{D}^d \) with reproducing kernel \( k(z, w) = \prod_{j=1}^{d} k_j(z_j, w_j) \) for \( z, w \in \mathbb{D}^d \).

We define \( S_{H(k)}(\mathbb{D}^d) \) to be the set of functions \( m : \mathbb{D} \to \mathbb{C} \) such that

\[ 1 - m(z)\overline{m(w)} = \sum_{j=1}^{d} \frac{1}{k_j}(z_j, w_j)\overline{h_j(z)h_j(w)} \]

for functions \( \{h_j\} \) defined on \( \mathbb{D}^d \). Note that this is the Schur-Agler class of multipliers for \( H(k) \). In the case where \( k_j \) is the Szegö kernel and \( d = 2 \) an application of Ando’s theorem shows that \( H^\infty(\mathbb{D}^2) \) and \( S_{H(k)}(\mathbb{D}^2) \) coincide. In higher dimensions this is no longer the case.

Let us say that a kernel \( k \) is an admissible kernel if we have that

\[ \frac{1}{k_j}(z_j, w_j)k(z, w) = (1 - \langle b_j(z_j), b_j(w_j) \rangle)k(z, w) \geq 0 \] for \( j = 1, \ldots, d \).
Given a sequence of points \( \{ \lambda_j \} \in \mathbb{D}^d \), then the normalized Gramian of \( k \) is the matrix given by
\[
G^k_{ij} = \frac{k(\lambda_i, \lambda_j)}{\sqrt{k(\lambda_i, \lambda_i)k(\lambda_j, \lambda_j)}}
\]

A sequence of points \( \{ \lambda_j \} \subset \mathbb{D}^2 \) is called an \( S_{H(k)}(\mathbb{D}^d) \)-interpolating sequence if for any sequence of bounded numbers \( \{ w_i \} \) there is a function \( f \in S_{H(k)}(\mathbb{D}^d) \) such that \( f(\lambda_j) = w_j \). The sequence of points is said to be strongly separated if for each integer \( i \) there is a function in \( \varphi_i \in S_{H(k)}(\mathbb{D}^d) \) of norm at most \( M > 0 \) such that \( \varphi_i(\lambda_i) = 1 \) and \( \varphi_i(\lambda_k) = 0 \) for \( k \neq i \). Our second main result is the following theorem providing a generalization of the result of Agler and McCarthy:

**Theorem 1.4.** Let \( \lambda_j \) be a sequence of points in \( \mathbb{D}^d \). The following are equivalent:

(i) \( \{ \lambda_j \} \) is an interpolating sequence for \( S_{H(k)}(\mathbb{D}^d) \);
(ii) The following two conditions hold
(a) For all admissible kernels \( k \), their normalized Gramians are uniformly bounded above,
\[
G^k \leq MI
\]
for some \( M > 0 \),
(b) For all admissible kernels \( k \), their normalized Gramians are uniformly bounded below,
\[
G^k \geq NI
\]
for some \( N > 0 \);
(iii) The sequence \( \{ \lambda_j \} \) is strongly separated and condition (a) alone holds;
(iv) Condition (b) alone holds.

2. **Interpolation in Riemann surfaces**

Our goal in this section is to prove the analogue of Carleson’s theorem for Riemann surfaces. We view the Riemann surface as the quotient of the disk by the action of a Fuchsian group and state our theorems for the corresponding fixed-point algebra \( H_\Gamma^\infty \).

A central result that we require is a Nevanlinna–Pick type theorem obtained in [12]. We briefly recall the parts of that paper that are most relevant to our work.

Let \( C(H_\Gamma^\infty) \) be the set of columns over \( H_\Gamma^\infty \), similarly, let \( R(H_\Gamma^\infty) \) denote the rows. There is a natural identification between \( C(H_\Gamma^\infty) \) and the space of multipliers \( \text{mult}(H_\Gamma^2, H_\Gamma^2 \otimes \ell^2) \). There is also a natural identification between \( R(H_\Gamma^\infty) \) and \( \text{mult}(H_\Gamma^2 \otimes \ell^2, H_\Gamma^2) \).

**Theorem 2.1** ([12]). Let \( z_1, \ldots, z_n \in \mathbb{D} \), \( w_1, \ldots, w_n \in \mathbb{C} \) and \( v_1, \ldots, v_n \in \ell^2 \). There exists a function \( F \in C(H_\Gamma^\infty) \) such that \( \|F\| \leq C \) and \( \langle F(z_i), v_i \rangle = w_i \) if and only if the matrix
\[
[\alpha^2 C^2 \langle v_j, v_i \rangle - w_i \overline{w_j} k^\Gamma(z_i, z_j)] \geq 0.
\]
The constant \( \alpha \) depends on \( \Gamma \) but not on the points \( z_1, \ldots, z_n \).

A similar argument also establishes the fact that there is a function \( F \in R(H_\Gamma^\infty) \) such that \( \|F\| \leq C \) and \( F(z_i) = v_i \) if and only if the matrix
\[
[(C^2 \alpha^2 - \langle v_j, v_i \rangle) k^\Gamma(z_i, z_j)] \geq 0.
\]
2.1. Separation, interpolation, and Carleson measures. In order to state our theorem we need to develop some of the necessary background on separation of points, interpolating sequences and Carleson measures. We state our definitions in terms of reproducing kernels and multiplier algebras. The case we are interested in is the RKHS $H^2_\Gamma$ and its multiplier algebra $H^\infty_\Gamma$. In this situation there is additional structure that we can exploit.

Let $X$ be a set and let $\{x_n\}$ be a sequence of points in $X$. Let $H$ be a reproducing kernel Hilbert space of functions on $X$ with kernel $K$ and let $M(H)$ be its multiplier algebra. We say that $\{x_n\}$ is an interpolating sequence for the algebra $M(H)$ if and only if the restriction map $R : M(H) \to \ell^\infty$ given by $R(\ell) = \{\ell(x_n)\}$ is surjective.

Given a point $x \in X$ and a set $S \subseteq X$ we define the $M(H)$-distance from $x$ to $S$ by $d_{M(H)}(x,S) = \sup\{|f(x)| : f|_S = 0, \|f\|_{M(H)} \leq 1\}$. The sequence $\{x_n\}$ is called $M(H)$-separated if and only if there exists a constant $\delta > 0$ such that $d_{M(H)}(x_n, Z_n) \geq \delta$ for all $n \geq 1$, where $Z_n = \{x_m : m \geq 1\} \setminus \{x_n\}$. If $\{x_n\}$ is an interpolating sequence, then there exists a constant $C$ such that for any sequence $w \in \ell^\infty$, $R(f) = w$ and $\|f\|_{M(H)} \leq C \|w\|_{\infty}$. Applying this to the case where $w = e_j$, we see that $d_{M(H)}(x_n, Z_n) \geq C^{-1}$. Therefore an interpolating sequence for $M(H)$ is $M(H)$-separated.

Carleson’s theorem states that the converse is true for $H^\infty(D)$, that is, every $H^\infty$-separated sequence is an $H^\infty$-interpolating sequence. The modern approach to this problem relies on the fact that the $H^\infty$ is the multiplier algebra of the Hardy space, and the fact that the Szegő kernel has the complete Pick property. We will use a similar approach based on Theorem 2.1 and bootstrap our results to the case of $H^\infty$.

There is a related notion of separation in terms of the reproducing kernel of $H$. In [2] it is shown that the function $\rho_H(x, y) = \sqrt{1 - \frac{|K(x,y)|^2}{K(x,x)K(y,y)}}$ is semi-metric on the set $X$. A sequence of points is called $H$-separated if and only if $\inf_{i \neq j} \rho_H(x_i, x_j) > 0$. A sequence is weakly separated if and only if there is a constant $\delta > 0$ and functions $f_{i,j} \in M(H)$ such that $\|f_{i,j}\| \leq 1$ with $f_{i,j}(x_i) = \delta$ and $f_{i,j}(x_j) = 0$. In general a weakly separated sequence is $H$-separated, and the converse if true for the case of Riemann surfaces.

**Lemma 2.2.** Let $\Gamma$ be a finitely generated discrete group of automorphisms and let $H^\infty_\Gamma$ be the corresponding fixed-point algebra. There exists a constant $C$ such that for any pair of points $z, w \in D$, $\rho_H(z, w) \geq \delta/C$ if and only if there exists a function $f \in H^\infty_\Gamma$ such that $f(w) = \delta$, $f(z) = 0$ and $\|f\|=1$.

**Proof.** By the Interpolation Theorem 2.1 there exists a constant $C$ and function $f \in H^\infty_\Gamma$ such that $f(w) = \delta$ and $f(z) = 0$ with $\|f\|=1$ if and only if the matrix

$$
\begin{bmatrix}
C^2 k^\Gamma(z, z) & C^2 k^\Gamma(z, w) \\
C^2 k^\Gamma(w, z) & (C^2 - \delta^2) k^\Gamma(w, w)
\end{bmatrix} \geq 0,
$$

where $C$ is a constant that does not depend on the points $z, w$. Since the diagonal terms of the above matrix are non-negative, the matrix positivity condition is equivalent to the determinant being non-negative. Computing the determinant and rearranging we find that $\rho_H(z, w) \geq \delta/C$. \hfill $\square$

**Corollary 2.3.** A sequence is $H^2_\Gamma$-separated if and only if the sequence is weakly separated by $H^\infty_\Gamma$.

A sequence is called a (universal) interpolating sequence for $H$ if and only if the map $T : H \to \ell^2$ given by $T(\ell) = \{\frac{\ell(x_n)}{K(x_n, x_n)}\}$ is surjective.
In order to state our results we need the notion of a Carleson measure. A measure \( \mu \) on a set \( X \) is called a Carleson measure for the Hilbert space \( H \) if and only if there exists a constant \( C(\mu) \) such that
\[
\int_X |f(x)|^2 \, d\mu \leq C(\mu) \|f\|_H^2.
\]
Given a sequence of points \( \{x_n\} \) we can construct a measure on the set \( X \) by setting \( \mu = \sum_{n=1}^{\infty} K(x_n, x_n)^{-1} \delta_{x_n} \). When \( f \in H \), we see that
\[
\int_X |f(x)|^2 \, d\mu = \sum_{n=1}^{\infty} |f(x_n)|^2 K(x_n, x_n)^{-1} = \sum_{n=1}^{\infty} |\langle f, k_{x_n}/\|k_{x_n}\|\rangle|^2 \leq C(\mu) \|f\|_H^2.
\]
With the last inequality happening if the set of points \( \{x_n\} \) that generates \( \mu \) generates a Carleson measure.

It is helpful to restate the above in terms of sequences in Hilbert space. To this end let us fix a sequence \( x_n \in X \), let \( k_{x_n} \) be the corresponding reproducing kernel and let \( g_n = \frac{k_{x_n}}{\|k_{x_n}\|} \). Note that \( \{g_n\} \) is a unit norm sequence in the Hilbert space \( H \). The map \( T : H \to \ell^2 \) given by \( T(f) = \left\{ \frac{\langle f, x_n \rangle}{K(x_n, x_n)^{1/2}} \right\} = \{\langle f, g_n \rangle\} \). It is well known that this map is bounded if and only if \( \{g_n\} \) is a Bessel sequence, i.e., there is a constant \( C \) such that \( \sum_{n=1}^{\infty} |\langle f, g_n \rangle|^2 \leq C \|f\|^2 \) for all \( f \in H \). This in turn is equivalent to the fact that the measure \( \sum_{n=1}^{\infty} K(x_n, x_n)^{-1} \delta_{x_n} \) is a Carleson measure for \( H \). In order to make the connection with Pick interpolation later on, we also point out that the sequence \( \{g_n\} \) is Bessel if and only if the Gram matrix \( G \) whose entries are given by \( \langle g_j, g_i \rangle \) is bounded, when viewed as an operator on \( \ell^2 \).

The sequence \( \{x_n\} \) is interpolating for \( H \) if and only if the sequence \( \{g_n\} \) is a Riesz basic sequence, i.e., the sequence \( \{g_n\} \) is similar to a orthonormal set. In terms of the Gramian this means that \( G \) is both bounded and bounded below.

Before we proceed we need a preliminary lemma that relates the fact that \( \{g_n\} \) is a Riesz basic sequence to the matrix positivity condition that appears in Theorem 2.1

**Lemma 2.4.** Let \( C > 0 \) be a constant. Let \( \{g_n\} \) be a sequence of vectors in a Hilbert space. The matrix \( [(C^2 - w_i \bar{w}_j) \langle g_j, g_i \rangle] \) is a positive matrix for all points \( (w_n)_{n \geq 1} \in \text{ball}(\ell^\infty) \) if and only if the Gramian \( \langle g_j, g_i \rangle \) is both bounded, and bounded below.

**Proof.** Suppose that \( [(C^2 - w_i \bar{w}_j) \langle g_j, g_i \rangle] \geq 0 \). If \( \alpha_n \) is a sequence in \( \ell^2 \), then we get
\[
C^2 \sum_{i,j=1}^{\infty} \alpha_j \bar{\alpha}_i \langle g_j, g_i \rangle \geq \sum_{i,j=1}^{\infty} w_i \bar{w}_j \alpha_j \bar{\alpha}_i \langle g_j, g_i \rangle.
\]
Choose \( w_i = \exp(2\pi t_i \sqrt{-1}) \). This gives,
\[
C^2 \sum_{i,j=1}^{\infty} \alpha_j \bar{\alpha}_i \langle g_j, g_i \rangle \geq \sum_{i,j=1}^{\infty} \exp(2\pi (t_i - t_j) \sqrt{-1}) \alpha_j \bar{\alpha}_i \langle g_j, g_i \rangle.
\]
If we integrate both sides from 0 to $2\pi$ with respect to each of the variables $t_1, \ldots, t_n, \ldots$ then the above equation reduces to

$$C^2 \left\| \sum_{n=1}^{\infty} \alpha_n g_n \right\|^2 \geq \sum_{n=1}^{\infty} |\alpha_n|^2.$$ 

Now choose $\alpha_i = w_i \beta_i$. We have

$$C^2 \sum_{i,j=1}^{\infty} \exp(2\pi(t_i - t_j)\sqrt{-1}) \beta_j \bar{\beta}_i \langle g_j, g_i \rangle \geq \sum_{i,j=1}^{\infty} \beta_j \bar{\beta}_i \langle g_j, g_i \rangle.$$ 

Integrating as before, we obtain

$$C^2 \sum_{n=1}^{\infty} |\beta_n|^2 \geq \left\| \sum_{n=1}^{\infty} \alpha_n g_n \right\|^2.$$ 

For the converse assume that $B \geq [(g_j, g_i)] \geq B^{-1}$. Let $D_w$ be the matrix with diagonal entries $\{w_n\}$. Since $D_w$ is a contraction we obtain the equation

$$BG \geq D_w GD_w^*.$$ 

Since $G$ is invertible we have $\left\| G^{-1/2} D_w G^{1/2} \right\| \leq \left\| G^{-1/2} \right\| \left\| G^{1/2} \right\| \leq B$. Therefore,

$$B^2 I - (G^{-1/2} D_w G^{1/2})(G^{-1/2} D_w G^{1/2})^* \geq 0.$$ 

Which gives $B^2 G \geq D_w GD_w^*$. This is just $[(B^2 - w_iw_j) \langle g_j, g_i \rangle] \geq 0$. \hfill \Box

2.2. **Proof of Theorem 1.2.** Our goal is to prove Theorem 1.2 (stated again for ease):

**Theorem 2.5.** Let $Z = \{z_n\} \subseteq \mathbb{D}$ be a sequence of points in $H^\infty_\Gamma$ such that no two points lie on the same orbit of $\Gamma$, where $\Gamma$ is the group of deck transformation associated to a finite Riemann surface. Let $Z_n = Z \setminus \{z_n\}$. The following are equivalent:

1. The sequence $\{z_n\}$ is interpolating for $H^\infty_\Gamma$;
2. The sequence $\{z_n\}$ is interpolating for $H^2_\Gamma$;
3. The sequence $\{z_n\}$ is $H^2_\Gamma$-separated and $\sum_{n=1}^{\infty} K^\Gamma(z_i, z_n) - 1 \delta_{z_i}$ is a Carleson measure;
4. The Gramian $G = \left[ \frac{K^\Gamma(z_i, z_j)}{\sqrt{K^\Gamma(z_i, z_i)K^\Gamma(z_j, z_j)}} \right]$ is bounded below;
5. There is a constant $\delta > 0$ such that $\inf_{n \geq 1} d_{H^\infty_\Gamma}(z_n, Z_n) \geq \delta$.

The strategy that we will follow is to prove that (1) and (2) are equivalent and that (4) and (1) are equivalent. We will then show that (5) implies (1). Finally, we establish that (2) implies (3) and that (3) implies (5). We now prove the first of our claims that lead to the proof of Theorem 1.2.

We fix notation as follows: Let $\{z_n\} \subseteq \mathbb{D}$ be a sequence of points and let $k^\Gamma_{z_n}$ be the reproducing kernel for the space $H^2_\Gamma$ at the point $z_n$. The corresponding normalized kernel function will be denoted $g_n$. The Gram matrix will be denoted $G = [\langle g_j, g_i \rangle]$.

**Proposition 2.6.** (1) $\iff$ (2) A sequence of points $\{z_n\}$ is interpolating for $H^\infty_\Gamma$ if and only if it is interpolating for $H^2_\Gamma$. 

Remark: This proposition is essentially the equivalence of the first two criteria of Theorem 1.2.
Proof. Suppose that \( \{z_n\} \) is a sequence of points in \( \mathbb{D} \). Let \( g_n \) be the normalized kernel function for \( H^2 \) at the point \( z_n \). The restriction map \( R : H^\infty \to \ell^\infty \) is surjective if and only if there is a constant \( M \) such that for every sequence \( w = (w_n) \in \text{ball}(\ell^\infty) \) there is a function \( f \in H^\infty \) of norm at most \( M \) such that \( R(f) = w \). By the Nevanlinna–Pick type Theorem 2.1 this is equivalent to the matrix \( [(C^2M^2 - w_i\overline{w_j}) \langle g_j, g_i \rangle] \geq 0 \) for all choices of \( w \). Using Lemma 2.4 we see that this is equivalent to the Gramian \( G \) being bounded and bounded below which in turn is equivalent to the sequence \( \{g_n\} \) being a Riesz basic sequence. By our comments earlier the sequence \( g_n \) is a Riesz basic sequence if and only if \( T : H^2 \to \ell^2 \) given by \( T(f) = \{\langle f, g_n \rangle\} \) is bounded and surjective, i.e., \( \{z_n\} \) is interpolating for \( H^2 \).

**Proposition 2.7.** (5) \( \Rightarrow \) (1) If there is a constant \( \delta \) such that \( d_{H^\infty}(z_n, Z_n) \geq \delta > 0 \), then \( \{z_n\} \) is an interpolating sequence for \( H^\infty \).

Proof. Given a set \( Z \subset \mathbb{D} \), let \( \Gamma Z := \{\gamma(z) : \gamma \in \Gamma, z \in Z\} \). Suppose that \( d_{H^\infty}(z_n, Z_n) \geq \delta > 0 \). Then, by definition, there exist functions \( f_n \in H^\infty \) such that \( \|f_n\|_\infty \leq 1 \), \( \|f_n(z_n)\| \geq \delta \) and \( f_n|Z_n = 0 \). It follows that \( f_n|Z_n = 0 \). The proof of [15, Theorem 6.3] shows that the sequence \( \Gamma Z \) is an interpolating sequence for \( H^\infty \).

Now given a sequence \( \{w_n\} \in \ell^\infty \), let \( \tilde{w}_{n,\gamma} = w_n \) for \( \gamma \in \Gamma \) and \( n \geq 1 \). Since \( \Gamma Z \) is interpolating for \( H^\infty \), there exists a function \( \tilde{f} \in H^\infty \) such that \( \tilde{f}(\gamma(z_n)) = \tilde{w}_{n,\gamma} = w_n \).

Next we invoke a result of Earle and Marden [6, Theorem page 274]. Their result shows that there is a polynomial \( p \) such that the map

\[
(\Phi g)(z) = \frac{\sum_{\gamma \in \Gamma} p(\gamma(z))g(\gamma(z))\gamma'(z)^2}{\sum_{\gamma \in \Gamma} p(\gamma(z))\gamma'(z)^2}
\]

defines a bounded projection from \( H^\infty \) onto \( H^\infty_f \). If \( g \in H^\infty \) and \( g(\gamma(\zeta)) = c \) for some constant \( c \), then \( (\Phi g)(\zeta) = c \). It follows that the function \( f = \Phi \tilde{f} \) is in \( H^\infty_f \) and that \( f(z_n) = w_n \). Hence, \( z_n \) is an interpolating sequence for \( H^\infty_f \).

**Proposition 2.8.** (4) \( \iff \) (1) The Gram matrix is bounded below if and only if the sequence is interpolating for \( H^\infty_f \).

Proof. If \( G \geq C^{-2} > 0 \), then by Theorem 2.1, there exists a function \( F \in R(H^\infty_f) \) such that \( \|F\| \leq C \) and \( F(z_n) = \epsilon_n \). If we write \( F = (f_1, \ldots, \ldots) \), then \( f_m(z_n) = \delta_m, n \) with \( \|f_m\| \leq C \). Let \( \phi_n = f_n^2 \). Given a sequence \( w = \{w_n\} \in \ell^\infty \) let \( f = \sum_{n=1}^\infty w_n f_n^2 \). We have,

\[
\|f\|_\infty \leq \left( \max_{n \geq 1} |w_n| \right) \sum_{n=1}^\infty \|f_n^2\|_\infty \leq \|w\|_\ell^\infty \|F\|^2 \leq \|w\|_\ell^\infty C.
\]

This proves that the sequence is interpolating for \( H^\infty_f \).

If the sequence \( z_n \) is interpolating for \( H^\infty_f \), then for any choice of sequence \( \{w_n\} \in \ell^\infty \) such that \( |w_n| \leq 1 \), there exists a function \( f \in H^\infty_f \), with \( \|f\|_\infty \leq C \) such that \( f(z_n) = w_n \). Hence, the matrix \( [(C^2 - w_i\overline{w_j}) \langle g_j, g_i \rangle] \geq 0 \) for all \( (w_n) \in \text{ball}(\ell^\infty) \). From Lemma 2.4 we see that the Gramian is bounded below.

**Proposition 2.9.** (2) \( \Rightarrow \) (3) If \( \{z_n\} \) is an interpolating sequence for \( H^2_f \), then \( \{z_n\} \) is \( H^2_f \)-separated and \( \sum_{n=1}^\infty K^T(z_n, z_n)^{-1} \delta_{z_n} \) is a Carleson measure.

Proof. This result is true for any RKHS and the proof can be found in [13].

**Proposition 2.10.** (3) \( \Rightarrow \) (5) If the sequence \( \{z_n\} \) is \( H^2_f \)-separated and \( \sum_{n=1}^\infty K^T(z_n, z_n)^{-1} \delta_{z_n} \) is a Carleson measure, then the sequence \( \{z_n\} \) is \( H^\infty_f \)-separated.
Proof. Fix one of the indices $m$. Since the sequence is $H^2_\Gamma$ separated, by Lemma 2.2 there exist functions $f_n$ such that $\|f_n\| \leq 1$ with $f_n(z_n) = 0$ and $f_n(z_m) \geq \rho_{H^2_\Gamma}(z_m, z_m)$.

We now consider the sequence of products $\phi_n = f_1 \cdots f_n$. This sequence has a weak-* limit in the unit ball of $H^\infty_\Gamma$. Denote this limit by $\phi$.

The claim is that $\phi(z_m) > \delta'$ and $\phi(z_n) = 0$, where $\delta'$ is a constant that does not depend on $j$.

To see this we note that the infinite product that defines $\phi(z_m)$ converges to a non-zero value if and only if the series $\sum_{n\neq m} 1 - |f_n(z_m)|^2 < +\infty$.

Using the Carleson condition we get that $\sum_{n=1}^\infty K^\Gamma(z_n, z_n) |k^\Gamma_{z_m}(z_n)|^2 \leq C \|k^\Gamma_{z_m}\|^2$, where the constant $C$ is independent of $m$. Rewriting this we get

$$\sum_{n=1}^\infty \frac{|K^\Gamma(z_m, z_n)|^2}{K^\Gamma(z_n, z_n)K^\Gamma(z_m, z_m)} \leq C.$$ 

Now we invoke the fact that $f_n(z_m) \geq \rho_{H^2_\Gamma}(z_n, z_m)$ from which we get that the sum $\sum_{n\neq m} 1 - |f_n(z_m)|^2 \leq C$. \hfill $\square$

Combining all these Propositions then gives the Proof of Theorem 1.2.

2.3. Applications to the Feichtinger conjecture. In this section we make some observations that are relevant to the Kadison-Singer problem. This has been a significant problem in operator algebras for the past 50 years. We refrain from stating the problem in its original form, and instead focus on an equivalent statement: the Feichtinger conjecture.

The Feichtinger conjecture asks whether every bounded frame $\{f_n\}$ can be written as the union of finitely many Riesz basic sequences. In [5] it is shown the term bounded frame can be replaced by bounded Bessel sequence. The term bounded here means that $\inf_{n \geq 1} \|f_n\| > 0$. Perhaps, bounded below is a better term. In recent years there has been interest in this problem from the perspective of function theory. The frames of interest are sequences of normalized reproducing kernels. Given a kernel function $K$ on a set $X$, the normalized reproducing kernel at $x$ is the function $g_x = \frac{k_x}{K(x, x)^{1/2}}$. Given a sequence of points in $X$ we obtain a sequence of unit norm vectors $g_{z_n}$.

**Theorem 2.11.** Let $\{z_n\}$ be a sequence of points in the unit disk. The Bessel sequence $\{g_{z_n}\}$ of reproducing kernels for $H^2_\Gamma$ can be written as a union of finitely many Riesz basic sequences. The Feichtinger conjecture is true for such Bessel sequences.

**Proof.** Let $\{z_n\}$ be a sequence of points in the unit disk. Let $K^\Gamma$ be the reproducing kernel for the space $H^2_\Gamma$. The sequence $\{g_{z_n}\}$ is a sequence of unit norm vectors in $H^2_\Gamma$. The condition that the sequence $\{g_{z_n}\}$ be a Bessel sequence is equivalent to the Carleson condition on the points $\{z_n\}$. A result of [8], a proof of which can be found in [2] and [13], shows that a Bessel sequence can be written as a union of finitely many $H$-separated sequences. By Theorem 1.2 an $H^2_\Gamma$-separated Bessel sequence of normalized reproducing kernels is an interpolating sequence for $H^2_\Gamma$. From Theorem 1.2 we see that the Bessel sequence of reproducing kernels for $H^2_\Gamma$ can be written as a union of finitely many Riesz basic sequences. \hfill $\square$
3. Interpolation for Products of Kernels

In this section we prove a generalization of a theorem of Agler-McCarthy \cite{1} on interpolating sequences in several variables. Our results depend on the Pick Interpolation theorem due to Tomerlin \cite{9}. Our goal is to give a proof of Theorem 1.4.

We proceed in much the same way as in \cite{1} by first defining related conditions that will help us in studying the equivalences between the various interpolation problems. Condition (a) from Theorem 1.4 is equivalent to the following: There exists a constant $M$ and positive semi-definite infinite matrices $\Gamma^j$, $j = 1, \ldots, d$, such that

\[(a') \quad M \delta_{ij} - 1 = \sum_{l=1}^{d} \Gamma^l_{ij} \frac{1}{k_l} (\lambda^l_i, \lambda^l_j)\]

While Condition (b) is equivalent to the following: There exists a constant $N$ and positive semi-definite infinite matrices $\Delta^j$, $j = 1, \ldots, d$, such that

\[(b') \quad 1 - N \delta_{ij} = \sum_{l=1}^{d} \Delta^l_{ij} \frac{1}{k_l} (\lambda^l_i, \lambda^l_j)\]

3.1. **Proof that** (a) $\iff$ (a') and (b) $\iff$ (b'). Note that a kernel $K$ defined on a subset $Y \subseteq \mathbb{D}^d$ can always be extended to a weak kernel $\tilde{K}$ on $\mathbb{D}^d$ by setting $\tilde{K}(z, w) = K(z, w)$ for $z, w \in Y$ and $\tilde{K}(z, w) = 0$ otherwise.

We need to prove that if $\tilde{K}$ is a kernel on $\Lambda$ such that $(MI - J) \cdot K \geq 0$, then $MI - J$ is a sum of the above form. The proof of this follows from a basic Hilbert space argument.

If $A, B \in M_n$, then the Schur product of $A$ and $B$ is the matrix $A \cdot B = [a_{ij}b_{ij}]$. It is a well-known fact that the Schur product of two positive matrices is positive. Let $M_n^h$ denote the set of all Hermitian $n \times n$ matrices. The space $M_n^h$ is a real Hilbert space in the inner product $\langle A, B \rangle = \text{trace}(AB) = \langle A \cdot Be, e \rangle$ where $e$ is the vector in $\mathbb{R}^n$ all of whose entries are 1.

If $C$ is a wedge in a Hilbert space $H$, then the dual wedge $C'$ is defined as the collection of all elements $h$ of $H$ such that $\langle h, x \rangle \geq 0$ for all $x \in C$. The following observation appears in \cite{10}.

**Proposition 3.1.** Let $C \subseteq M_n^h$ be a set of matrices such that for every positive matrix $P$, and $X \in C$, $P \cdot X \in C$. Then,

$$C' = \{ H \in M_n^h : H \cdot X \geq 0 \text{ for all } X \in C \}.$$  

**Proof.** If $H \cdot X \geq 0$, then $\langle H, X \rangle = \langle H \cdot Xe, e \rangle \geq 0$. On the other hand, assume that $H \in C'$ and let $v \in \mathbb{C}^n$. If $X = [x_{ij}]$, then the matrix $v^*Xv = [v_i x_{ij} v_j^*] = (vv^*) \cdot X \in C$, since $vv^*$ is positive. Since $H \in C'$ we have that $\langle H \cdot Xv, v \rangle = \langle H, v^*Xv \rangle \geq 0$. \hfill \qquad \Box

With this proposition in hand we can prove our claim. We are assuming that $K$ is kernel function on $\Lambda$ and that $(MI - J) \cdot K \geq 0$.

Let $R_l$ be the matrix $\left(\frac{1}{k_l} (\lambda^l_i, \lambda^l_j)\right)_{i,j=1}^{n}$. Note that $R_l$ is self-adjoint. Let $\mathcal{R}_l$ be the set of matrices of the form $P \cdot R_l$ where $P \geq 0$. Note that this collection is a closed wedge that satisfies the hypothesis of Proposition 3.1. If $K$ is an admissible kernel, then $K \cdot R_l \geq 0$ and so $K \cdot P \cdot R_l \geq 0$ for all $P$. Hence, $K \in \mathcal{R}_l'$ for $l = 1, \ldots, d$.

Let $\mathcal{K}$ be the collection of positive matrices $K$ such that $K \cdot R_l \geq 0$ for all $l$. We have just shown that $\mathcal{K} = \mathcal{R}_1' \cap \cdots \cap \mathcal{R}_d'$. 
Lemma 3.3. Let $\mathcal{K}'$ denote the space of bounded linear operators from $E$ to $F$. The general idea is to follow the proof in [1], but to use related results by Tomerlin [9] that are directly applicable to our setting.

First, some notation. Let $E$ and $E_*$ denote separable Hilbert spaces and let $\mathcal{L}(E, E_*)$ denote the space of bounded linear operators from $E$ to $E_*$. Let $\{e_i\}$ denote the standard basis in $\ell^2(\mathbb{N})$.

Finally, let $S_{H(k)}(\mathbb{D}^d; \mathcal{L}(E, E_*))$ denote the set of functions $M$ such that there exist functions $H_j$ on $\mathbb{D}^d$ and auxiliary Hilbert spaces $E_j$ with values in $\mathcal{L}(E_j, E_*)$ such that

$$I_{E_*} - M(z)M(w)^* = \sum_{j=1}^d \frac{1}{\lambda_j} (z_j, w_j) H_j(z) H_j(w)^*.$$ 

Theorem 3.2 (Tomerlin [9]). Let $z_1, \ldots, z_n$ be points in $\mathbb{D}^d$, let $x_1, \ldots, x_n \in \mathcal{L}(E_*, \mathcal{H}_n)$, let $y_1, \ldots, y_n \in \mathcal{L}(\mathcal{E}, \mathcal{H}_n)$. Then there exists an element $W \in S_{H(k)}(\mathbb{D}^d; \mathcal{L}(\mathcal{E}, E_*))$ such that $x_i W(z_i) = y_i$ if and only if there exist block matrices $[\Gamma_{ij}]$ such that $x_i x_j^* - y_i y_j^* = \sum_{i=1}^d \Gamma_{i,j} \frac{1}{\lambda_i} (z_i, z_j)$.

With this notation and result, we can now state an alternate equivalence between condition $(b')$.

Lemma 3.3. Let $\{\lambda_j\}$ be a sequence of points in $\mathbb{D}^d$. The following are equivalent:

$(b')$ There exists a constant $N$ and positive semi-definite infinite matrices $\Delta^j, j = 1, \ldots, d$, such that

$$1 - N \delta_{ij} = \sum_{l=1}^d \Delta_{ij}^l \frac{1}{\lambda_i} (\lambda_i^l, \lambda_j^l);$$

$(b'')$ There exists a function $\Phi \in S_{H(k)}(\mathbb{D}^d; \mathcal{L}(\mathbb{C}, \ell^2(\mathbb{N})))$ of norm at most $\sqrt{N}$ such that

$$\Phi(\lambda_i) = e_i.$$ 

Similarly, we have the following lemma giving an equivalent condition for $(a')$.

Lemma 3.4. Let $\{\lambda_j\}$ be a sequence of points in $\mathbb{D}^d$. The following are equivalent:

$(a')$ There exists a constant $M$ and positive semi-definite infinite matrices $\Gamma^j, j = 1, \ldots, d$, such that

$$M \delta_{ij} - 1 = \sum_{l=1}^d \Gamma_{ij}^l \frac{1}{\lambda_i} (\lambda_i^l, \lambda_j^l);$$

$(a'')$ There exists a function $\Psi \in S_{H(k)}(\mathbb{D}^d; \mathcal{L}(\ell^2(\mathbb{N}), \mathbb{C}))$ of norm at most $\sqrt{M}$ such that

$$\Psi(\lambda_i) e_i = 1.$$
Proof of Lemma 3.3. Suppose that (b') is true. Consider the interpolation problem with $x_i = \sqrt{N} \in \mathbb{C}$ and $y_i = e_i$ viewed as a map from $\ell^2(\mathbb{N})$ to $\mathbb{C}$. Then $x_i x_j^* - y_i y_j^* = NJ - I$. From the interpolation Theorem 3.2 we see that there exists an element $\tilde{\Psi} \in S_{H(k)}(\mathbb{D}^d; \mathcal{L}(\ell^2(\mathbb{N}), \mathbb{C}))$ such that $\sqrt{N} \tilde{\Psi}(\lambda_i) = y_i = e_i$. Hence, $\tilde{\Psi} = \sqrt{N} \tilde{\Psi}$ has norm at most $\sqrt{N}$ and has the property that $\tilde{\Psi}(\lambda_i) = e_i$.

The converse follows from the fact that a multiplier $\Psi$ of norm at most $\sqrt{N}$ has the property that $N - \frac{1}{N} \Psi(\lambda)(\Psi(\mu))^* = \sum_{l=1}^d \frac{1}{N^l} (\lambda, \mu) \Gamma^l(\lambda, \mu)$ for some positive semidefinite functions $\Gamma^1, \ldots, \Gamma^d$. When restricted to the points $\lambda_i$ we see that $NJ - I$ is a sum of the appropriate form.

The proof of Lemma 3.4 is similar to the above. We have seen that condition (a) is equivalent to the condition (a') which is equivalent to (a''). A similar equivalence is true for (b), (b') and (b'').

Before we prove Theorem 1.4 recall that strong separation of a sequence $\{\lambda_n\}$ by $S_{H(k)}$ if there is a constant $M > 0$ and functions $f_n \in S_{H(k)}$ such that $\|f_n\|_{S_{H(k)}} \leq M$, $f_n(\lambda_n) = 1$, and $f_n(\lambda_m) = 0$ for $n \neq m$.

Proof of Theorem 1.4. We are now ready to prove the second main theorem (stated again for ease on the reader)

Theorem 3.5. Let $\{\lambda_j\}$ be a sequence of points in $\mathbb{D}^d$. The following are equivalent:

(i) $\{\lambda_j\}$ is an interpolating sequence for $S_{H(k)}(\mathbb{D}^d)$;

(ii) The following two conditions hold

(a) For all admissible kernels $k$, their normalized Gramians are uniformly bounded above,

$$G^k \leq MI$$

for some $M > 0$,

(b) For all admissible kernels $k$, their normalized Gramians are uniformly bounded below,

$$G^k \geq NI$$

for some $N > 0$;

(iii) The sequence $\{\lambda_j\}$ is strongly separated and condition (a) alone holds;

(iv) Condition (b) alone holds.

Proof. Let $\lambda_i$ be an interpolating sequence and suppose that $k$ is an admissible kernel. Let $k_j$ denote the normalized kernel function at the point $\lambda_j$. Let $w_i$ be a sequence of points such that $|w_i| \leq 1$ for all $i$.

Using the interpolation Theorem 3.2 we see that there exists a function $f$ such that $f/\sqrt{M} \in S_{H(k)}$ and $f(\lambda_i) = w_i$ if and only if the matrix $(M - w_i w_j^*) \cdot \langle k_j, k_i \rangle \geq 0$ for all admissible kernels $k$. This statement is equivalent to the fact that $M \|\sum_{i=1}^\infty \alpha_i k_i\|^2 \geq \|\sum_{i=1}^\infty \alpha_i w_i k_i\|^2$ for all sequences $\{\alpha_i\} \in \ell^2$.

It follows from the argument in [1, Lemma 2.1] that both $(M\delta_{i,j} - J) \cdot K$ and $(J - M\delta_{i,j}) \cdot K$ are positive.

It is also clear that (i) and (ii) are equivalent to the conditions (iii) and (iv).

We now come to the equivalence of (iii) and (iv). The proof that (iii) and (iv) are equivalent is essentially that given by Agler-McCarthy [1]. If there exists $\Phi$ such that $\Phi/\sqrt{M} \in S_{H(k)}(\mathbb{D}^d, \mathcal{L}(\mathbb{C}, \ell^2))$ such that $\Phi(\lambda_i)^* e_i = 1$, then writing $\Phi = (\phi_1, \ldots, \phi^t)$ we see
that $\phi_i(\lambda_i) = 1$. Since we have assumed strong separation, there exist $f_i$ and a constant $C$ such that $f_i(\lambda_j) = \delta_{i,j}$ and $\|f_i\| \leq C$. Therefore $\Psi = (\phi_1 f_1, \ldots)$ has the property that $\|\Psi\| \leq C\sqrt{M}$ and $\Psi(\lambda_i) = e_i$.

Conversely if $\Psi = (\psi_1, \ldots)$ has the property that $\Psi(\lambda_i) = e_i$ then $\psi_j(\lambda_i) = \delta_{i,j}$. Therefore the functions $\psi_i$ strongly separate $\lambda_i$. Setting $\Phi = \Psi^t$ we see that $\Phi(\lambda_i)^* e_i = \phi_i(\lambda_i) = 1$. □

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Mrinal Raghupathi, Department of Mathematics, United States Naval Academy, Annapolis, MD, 21403

E-mail address: raghupat@usna.edu

Brett D. Wick, School of Mathematics, Georgia Institute of Technology, 686 Cherry Street, Atlanta, GA USA 30332-0160

E-mail address: wick@math.gatech.edu