Topological colloids

Bohdan Senyuk1,2, Qingkun Liu1–3, Sailing He3, Randall D. Kamien4, Robert B. Kusner5, Tom C. Lubensky4 & Ivan I. Smalyukh1,2,6

Smoke, fog, jelly, paints, milk and shaving cream are common everyday examples of colloids, a type of soft matter consisting of tiny particles dispersed in chemically distinct host media. Being abundant in nature, colloids also find increasingly important applications in science and technology, ranging from direct probing of kinetics in crystals and glasses to fabrication of third-generation quantum-molecular cells. Because naturally occurring colloids have a shape that is typically determined by minimization of interfacial tension (for example, during phase separation) or faceted crystal growth, their surfaces tend to have minimum-area spherical or topologically equivalent shapes such as prisms and irregular grains (all continuously deformable—homeomorphic—to spheres). Although toroidal DNA condensates and vesicles with different numbers of handles can exist and soft matter defects can be shaped as rings and knots, the role of particle topology in colloidal systems remains unexplored. Here we fabricate and study colloidal particles with different numbers of handles and genus varying from 1 to 5. When introduced into a nematic liquid crystal—a fluid made of rod-like molecules that spontaneously align along the so-called ‘director’—these particles induce three-dimensional director fields and topological defects dictated by colloidal topology. Whereas electric fields, photothermal melting and laser tweezing cause transformations between configurations of particle-induced structures, three-dimensional nonlinear optical imaging reveals that topological charge is conserved and that the total charge of particle-induced defects always obeys predictions of the Gauss–Bonnet and Poincaré–Hopf index theorems. This allows us to establish and experimentally test the procedure for assignment and summation of topological charges in three-dimensional director fields. Our findings lay the groundwork for new applications of colloids and liquid crystals that range from topological memory devices through new types of self-assembly, to the experimental study of low-dimensional topology.

Although a coffee mug and a doughnut look different to most of us, they are topologically equivalent solid tori or handlebodies of genus \( g \) = 1, both being different from, say, balls and solid cylinders of genus \( g \) = 0, to which they cannot be smoothly morphed without cutting. In a similar way, molecules can form topologically distinct structures including rings, knots and other molecular configurations satisfying the constraints imposed by chemical bonds. Although the topology of shapes, fields and defects is important in many phenomena and in theories ranging from the nature of elementary particles to everyday Universe cosmology, topological aspects of colloidal systems (composed of particles larger than molecules and atoms but much smaller than the objects that we encounter in our everyday life) are rarely explored. Typically dealing with particles with homeomorphic to spheres, recent studies demonstrate that the topology of curved surfaces dictates the formation of defects during two-dimensional colloidal crystallization at fluid interfaces as well as inside liquid crystal droplets and around spherical inclusions in liquid crystals. However, despite the fact that several techniques for scalable fabrication of particles with complex geometric shapes and \( g \geq 0 \) have recently been introduced, the potential impact of particle topology on colloidal alignment, self-assembly and response to fields remains unexplored.

To study the interplay of particle topology and defects in liquid crystals, we fabricated topologically distinct silica particles with planar symmetry and handlebody topology of genus \( g \) varying from 1 to 5; their surfaces had an Euler characteristic \( \chi = 2 - 2g \) ranging from 0 to −8 (Fig. 1 and Supplementary Fig. 1). These particles had 1 \( \mu \)m × 1 \( \mu \)m rounded square cross-sections and ring diameters ranging from 5 to 10 \( \mu \)m. Handlebody particles were introduced into a nematic liquid crystal, pentyl cyanobiphenyl, and the ensuing dispersion was infiltrated into cells bounded by parallel uniformly separated substrates treated to impose either perpendicular (homeotropic) or parallel alignment of the director \( n \) and thereby to create a uniform director \( n_0 \) in their interior in the absence of inclusions. Before dispersion, the surfaces of particles were also treated to induce perpendicular boundary conditions for \( n \). The director field \( n(r) \) around these handlebody colloids, which approaches \( n_0 \) at large distances, was probed optically by a combination of transmission-mode polarizing microscopy (PM) and three-photon excitation fluorescence polarizing microscopy (3PF-PM), schematically shown in Supplementary Fig. 2. Holographic optical tweezers allowed non-contact optical manipulation of particles at laser powers of 5–50 mW and local photothermal melting of the liquid crystal into an isotropic state at powers of about 100 mW and higher. Because of the strong surface anchoring and rounded cross-section of the colloids, quenching the liquid crystal from the isotropic to the nematic phase creates director configurations that vary smoothly away from homeotropic alignment at the particle surfaces and that also exhibit bulk defects.

Colloidal handlebodies spontaneously align with their ring planes either perpendicular or parallel to \( n_0 \) (Figs 1 and 2 and Supplementary Figs 3–9). The prevailing alignment of handlebodies perpendicular to \( n_0 \) is more common because it minimizes the elastic free energy of \( n(r) \) distortions induced by the particles with perpendicular boundary conditions. Handlebodies aligned with ring planes parallel to \( n_0 \) are obtained by melting and subsequently quenching the surrounding liquid crystal with laser tweezers (Fig. 2). These particles can also be made to align parallel to or obliquely to \( n_0 \) by confinement in cells of thickness comparable to their lateral dimensions (Supplementary Fig. 10). Handlebodies are elastically repelled from both confining substrates as a result of strong surface anchoring conditions. However, because of the density mismatch between silica and the liquid crystal, they tend to rest somewhat below the cell midplane, where gravity is balanced by the elastic forces (Supplementary Fig. 9).

Optical micrographs obtained by using different imaging modalities (Fig. 1a–h) reveal that handlebody colloids aligned perpendicular to \( n_0 \) are all surrounded by single half-integer exterior disclination loops of topological point defect (hedgehog) charge \( m = -1 \) but have different defects within their interiors (Fig. 1i–l). Each genus- \( g \) particle has \( g \) defects in its holes, which are either singular disclination loops or hyperbolic point defects of topological hedgehog charge \( m = +1 \). Disclination loops in the holes of each handlebody can be transformed
into point defects and vice versa by melting the liquid crystal into an isotropic state with tweezers of laser power more than 100 mW and subsequently quenching into a nematic phase, indicating that free energies due to director configurations with these defects are comparable. These hedgehog charges of the point defects and disclination loops have been determined by assuming that the vector field lines point perpendicularly outwards from the particle surfaces (Fig. 3) and by mapping the vector fields around particles, point defects and disclination lines onto the order-parameter space (31,32). Because n has non-polar symmetry (that is, n is equivalent to −n), one could have chosen the vector field pointing inwards to the surface of colloids, which would consequently reverse the signs of all hedgehog charges induced by particles in a uniformly aligned liquid crystal. The relative charges of all the defects would remain the same, as would the net charge of 0, ensuring conservation of topological charge.

Colloidal particles oriented with their rings parallel to n0 tend to induce point defects both within the holes and next to the particles (Fig. 2). The point defects occasionally open into disclination loops that follow the curved edge faces of particles and have a topological charge equivalent to that of the point defect that they replace (Fig. 2b, e, h, k). Although the handlebodies perpendicularly and parallel to n0 induce a different director field n(r), the sum of hedgehog charges due to induced point defects and disclination loops, \( \sum m = -m_c = \pm \gamma / 2 \), compensates for the colloidal particles’ hedgehog charge \( m_c \) due to n(r) at their surfaces and is uniquely predetermined by particle topology (Fig. 3). The signs depend solely on the choice of the direction of the vector field at the surface of particles. This relation holds for all colloidal handlebodies (g = 1, 2, ..., 5) and for spherical colloids with g = 0 and \( \sum m = \pm \gamma / 2 = \pm 1 \) (Supplementary Fig. 12) studied previously (3), and can be understood using simple considerations based on the Gauss–Bonnet theorem (12). Recall that the topological charge \( m_c \) of any region of space V bounded by a surface S = \( \partial V \) is the degree of n along S, which can be calculated by integrating the Jacobian of n(r) over that surface (10,32,33), \( m_c = (1/4\pi) \int_S \nabla \times \nabla \cdot n \cdot \nabla n \cdot n \times \nabla n \). Because n(r) aligns with the (outer) unit normal field to the colloidal surface S, the integral reduces to the total Gauss curvature of S divided by 4\pi. The Gauss–Bonnet theorem (12) states that the total Gauss curvature of a closed surface without boundary is quantized in units of 4\pi equal to \( 4\pi(1-g) = 2\pi \gamma \) and remains unchanged during all continuous deformations of the surface; it follows that the hedgehog charge \( m_c \) of n(r) along S is (up to sign) \( m_c = \pm 2\pi \gamma / (4\pi) = \pm (1-g) \). Because the director is roughly constant (along n0), far from the colloidal inclusions, an imaginary surface surrounding the colloids and all other defects will have a net zero charge. It follows that the sum of the defect charges must cancel the degree on the colloidal surface S, and so the total hedgehog charge of point defects and disclination loops will be \( \sum m = -m_c = \pm \gamma / 2 = \pm (1-g) \), regardless of the orientation of the particles with respect to n0, as observed experimentally.

The diagram in Fig. 3g shows that both interior and exterior disclination loops of the configurations shown in Fig. 1 can be transformed to hyperbolic point defects of equivalent hedgehog charge.
Figure 2 | Colloidal g handlebodies aligned parallel to the far-field director. 

a–i, Polarizing (a–f) and bright-field (g–i) textures and corresponding diagrams of \( n(r) \) for different colloidal tori. Magenta and red spheres show the \( m = +1 \) and \( m = -1 \) hyperbolic point defects, respectively. j–l. The red loop in k shows a curved half-integer disclination ring with hedgehog charge \( m = -1 \), observed when a hyperbolic point defect near a solid torus (a, j) opens into a disclination loop (b, k). The black lines in j–l depict \( n(r) \) in the plane of colloidal handlebodies (yellow) and in the plane orthogonal to the handlebodies (blue), both planes being parallel to \( n_0 \).

Furthermore, these structures can be also transformed into a non-singular twist-escaped looped \( n(r) \) configuration with a net topological hedgehog charge equal to zero (Fig. 3h) and resembling the ‘bubble gum’ structure studied previously\(^{21,22} \). Although perpendicular boundary conditions due to the handlebody-shaped particles in the liquid crystal with a uniform \( n_0 \) can be satisfied by a minimum number of point or ring defects of the same sign having the total hedgehog charge of \( \pm \gamma/2 \) (that is, no singularities for a solid torus, as shown in Fig. 3h, and \( g - 1 \) point or ring defects for a handlebody of genus \( g \)), these field configurations relax to topology-satisfying \( n(r) \) that also minimize the free energy. The energetic cost of introducing colloids into liquid crystal is dominated by the elastic energy

\[
E = \frac{1}{2} \int \left[ K_1 (\nabla \cdot n)^2 + K_2 (n \times \nabla \times n)^2 + K_3 (\nabla \times n)^2 \right] d^3r,
\]

where \( K_1, K_2 \) and \( K_3 \) are splay, twist and bend elastic constants, respectively, although the total energy additionally includes the surface energy due to finite surface anchoring of \( n(r) \) at the particle surfaces, the contribution of flexoelectric terms, and the energy of defect cores that can be treated as having a reduced order parameter or a biaxial nature\(^3 \). The surfaces of handlebody colloids have regions with opposite curvature, thus inducing the corresponding distortions of \( n(r) \) that minimize elastic energy for perpendicular boundary conditions at their surface. This results in the appearance of additional self-compensating pairs of defects of opposite hedgehog charge, leading to a number of defects that exceeds the minimum number, \( g - 1 \), required by topology. In the experimentally studied systems, colloidal \( g \)-handlebodies typically induce \( g + 1 \) individual singularities. Of these, \( g - 1 \) defects are of the same charge and are dictated by the particle topology, and two additional defects with opposite signs (total hedgehog charge zero) appear to relax \( n(r) \) distortions to minimize the free energy. Because \( K_2 < K_1 < K_3 \) for pentylycyanobiphenyl\(^1\), some of the splay distortions in the holes of the handlebodies confined into thin cells transform into more complex configurations, as demonstrated by spiralling dark and bright brushes in PM and 3PEF-PM images (Fig. 1a–d, g and Supplementary Figs 3 and 8). Although the structures shown in Fig. 3g, h and Supplementary Fig. 11 are unstable because of their high free energy and are found to relax to other topologically equivalent stable and metastable configurations around individual colloidal handlebodies (Figs 1 and 2), there is a possibility that they could be stabilized by confinement in twisted liquid crystal cells, as
previously observed for the 'bubble gum' configurations formed around colloidal dimers.

We have characterized the Brownian motion of colloidal handlebodies (Fig. 4a–c). Their diffusion in a planar cell with thickness much larger than the diameter of the handlebody is highly anisotropic (Fig. 4a, d) and easier along \( n_0 \) than perpendicular to it. The slopes of mean square particle displacements (MSDs), shown in Fig. 4a for a solid torus (\( g = 1 \)), yield diffusion coefficients \( D_0 = 0.0023 \, \mu m^2 s^{-1} \) and \( D_\perp = 0.0034 \, \mu m^2 s^{-1} \) measured normal and parallel to \( n_0 \), respectively. Being oriented with respect to \( n_0 \), particles also experience angular thermal fluctuations (Fig. 4b) with accompanying the particles also undergo thermal fluctuations. The average diffusion of \( g \)-handlebodies having the same diameter of rings decreases with increasing \( g \) (Fig. 4c). While being elastically trapped in the vicinity of the handlebodies, defects accompanying the particles also undergo thermal fluctuations.

In addition to laser tweezing and local melting, the relation between defects in \( n(r) \) and \( \gamma \) can also be probed by applying an electric field \( E \) (Fig. 4d) that causes the rotation of \( n \) towards \( E \) as a result of the liquid crystal’s positive dielectric anisotropy. Two types of response have been observed. When \( E \) is increased continuously, colloidal handlebodies reorient while preserving their alignment with respect to the director and following its reorientation towards \( E \) normal to the

\[ U = 11 \, V \] (1 kHz) within about 10 s (e), and after voltage \( U = 14.8 \, V \) was applied to the cell abruptly (as a square pulse modulated at 1 kHz) and then switched off (f). The image in f was taken at no applied field and after the torus and \( \mathbf{n}(r) \) had relaxed to the long-lived metastable state with the torus parallel to \( n_0 \). g. Diagram of \( \mathbf{n}(r) \) (black lines) in a texture shown in f. h, i. Bright-field images of a torus in a planar nematic cell of \( d = 17.5 \, \mu m \) reorienting with the liquid crystal director under the applied field \( E \) normal to the image. j. Diagram showing \( U \)-controlled \( \mathbf{n}(r) \) deformations and rotation of the torus in the vertical \( \mathbf{yz} \) plane. k. Plot of torus tilt angle \( \beta \) against \( U \); the insets show 3PEF-PM cross-sectional images along the green dashed line marked in h at corresponding \( U \).
substrates (Fig. 4e). However, because of slow rotation of the ring compared with the roughly 10-ms response time of $n(r)$, an abrupt application of $E$ simply alters $n(r)$ around the particle while preserving the initial particle alignment in the cell. For a solid torus ($g = 1$), this causes the original $n(r)$ to transform into a topologically equivalent configuration with two discillation loops (Fig. 4f, g). Using different voltage-driving schemes, colloidal handlebodies and structures around them can be switched between the two bistable orientations and $n(r)$ configurations shown in Fig 4d, f that are stable at no applied field. All observed transformations of $n(r)$ and orientations of colloids are again found to satisfy the relation $\sum m_i = \pm \sqrt{2}$.

Our study experimentally supports the procedure for assignment of signs of topological defects in three-dimensional $n(r)$ textures before their summation that requires a global point of reference, a ‘base point’, that serves as a global choice for the overall sign of $n(r)$, held fixed during any smooth deformation of the director configuration. Once fixed, the non-polar director field can be decorated with a vector, and the use of vector-field lines allows us to assign unambiguous signs to the defects (Fig. 3a–f).

Although used for many decades, the convention that all hyperbolic point defects and discillation loops of $-\sqrt{1/2}$ strength have hedgehog charge $-1$ whereas all radial defects and discillation loops of $+\sqrt{1/2}$ strength have hedgehog charge $+1$ fails to properly describe the topological charge conservation of hedgehog charges in the studied three-dimensional textures. The base point and the use of vector field lines in the liquid crystal texture until the signs of the hedgehog charges are assigned with respect to this base point allow a proper addition of hedgehog charges to the net charge of $\pm \sqrt{2}$. The signs of topological point defects and the entire $n(r)$ structure induced by handlebody colloids then depend on the direction of vector field lines at the base point and can be reversed by flipping this direction to an opposite one, because of the non-polar nature of nematic liquid crystals. It is only through the use of a base point that defects in different places can be added together like charges so that the net topological charge is conserved. Our approach also describes topological charge conservation in liquid-crystal textures studied previously, as we show in the Supplementary Information with an example of a colloidal dimer and surrounding $n(r)$. One can assign and add hedgehog charges in $n(r)$ of samples with multiple colloidal particles having the same or different $\gamma$, and the addition of each separate particle always contributes a net $\pm \sqrt{2} = -m$, to the topological charge distribution of particle-induced bulk defects compensating for $m$, and ensuring charge conservation. However, charges induced by particles can have opposite signs even within the same texture, thus enabling charge annihilation in the textures surrounding these particles, as we show in the Supplementary Information with an example of colloidal dimers.

We have designed and fabricated topologically distinct handlebody-shaped colloidal particles and explored the interplay between the topology of colloids and the defects that they induce in a uniformly aligned liquid crystal. These handlebody colloids are accompanied by topological defects with the net hedgehog charge always equal to half of the Euler characteristic of the particle surface. Topological colloids and the established procedure for the assignment and summation of topological charges in liquid crystals will enable basic studies of topological manifolds and the interplay between particle topology and order and disorder with these model systems. Beyond the exploration of the topology of colloids, fields and defects, the experimental arena we have developed may enable the design of topology-dictated elastic colloidal interactions and reconfigurable self-assembly in liquid crystals, the entrapment and scaffolding of nanoparticles by particle-induced defects, the self-assembly of reconfigurable topological memory devices, and electro-optic and photonic devices based on bistable switching between different states with distinct director configurations and orientations of particles.

**METHODS SUMMARY**

Fabrication of silica (SiO$_2$) particles with handlebody topology involved the following procedure. First, a 90-nm sacrificial layer of aluminum was sputtered on a silicon wafer. Next, a 1-μm silica layer was deposited on the aluminum by plasma-enhanced chemical vapour deposition. Photoresist AZ5214 (Clariant AG) was spin-coated on the silica layer. The pattern of rings was defined in the photoresist by illumination at 405 nm with a direct laser-writing system (DWL 66FS; Heidelberg Instruments) and then in the silica layer by inducively coupled plasma etching. Finally, the photoresist was removed with acetone and the aluminum was wet-etched with sodium hydroxide aqueous solution so that the handlebody particles were released and then re-dispersed in deionized water (Supplementary Fig. 1). To define perpendicular boundary conditions for $n(r)$ on the surface of particles, they were treated with an aqueous solution (0.05 wt%) of N,N-dimethyl-N-octadecyl-3-aminopropyl-trimethoxysilyl chloride (DMOAP) and then re-dispersed in methanol. After the addition of pentyl cyanoabiphenyl and the evaporation of methanol at 70 °C overnight, the ensuing nematic dispersion was infiltrated into cells composed of indium–tin–oxide (ITO)-coated glass plates separated by glass spacers defining the cell gap. Cell substrates were treated with DMOAP to achieve perpendicular $n_0$ or coated with polyimide PI2555 (HD Microsystems) for in-plane alignment of $n_0$ defined by rubbing. Optical manipulation and three-dimensional imaging of samples were performed with an integrated setup of holographic optical tweezers and 3PEF-PM (Supplementary Fig. 2).

**Received 2 September; accepted 24 October 2012.**

Published online 23 December 2012.

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33. Supplementary Information is available in the online version of the paper.

Acknowledgements We thank P. Chen, N. Clark, J.-i. Fukuda and S. Žumer for discussions. This work was supported by the International Institute for Complex Adaptive Matter and the National Science Foundation grants DMR-0844115 (Q.L., S.H. and I.I.S.), DMR-0820579 (B.S. and I.I.S.), DMR-0847782 (B.S., Q.L. and I.I.S.), PHY11-25915 (R.D.K., R.B.K., T.C.L., and I.I.S.) and DMR-1120901 (R.D.K. and T.C.L.). R.B.K., R.D.K., T.C.L. and I.I.S. thank the Kavli Institute for Theoretical Physics for their hospitality while this work was being discussed and prepared for publication.

Author Contributions B.S., Q.L. and I.I.S. performed experimental work. Q.L., S.H. and I.I.S. designed and fabricated particles. B.S. and I.I.S. reconstructed director fields induced by colloids. T.C.L. and I.I.S. characterized topological charges of defects in particle-induced director fields. R.B.K., R.D.K., T.C.L. and I.I.S. proposed models of field transformations satisfying topological constraints and explained the relations between genus of colloids and net topological charge of liquid crystal defects. I.I.S. conceived the project, designed experiments, provided funding and wrote the manuscript. All authors edited and commented on the manuscript.

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