Presence of Differentiating Neuroblasts in Bone Marrow is a Favorable Prognostic Factor for Bone Marrow Metastatic Neuroblastoma at Diagnosis

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Background: The prognostic impact of the presence of differentiating neuroblasts in bone marrow (BM) remains unclear in BM metastatic neuroblastoma (NB). We aimed to identify the prognostic impact of differentiating neuroblasts in BM at diagnosis and after chemotherapy.

Methods: A total of 51 patients diagnosed with BM metastatic NB at Asan Medical Center between January 1990 and July 2005 were enrolled. BM histology and laboratory data along with overall survival (OS) were compared with regard to the differentiation status of neuroblasts in BM at diagnosis and after chemotherapy.

Results: Among the 51 patients, 13 (25.5%) exhibited differentiating neuroblasts in BM at diagnosis and 17/51 (33.3%) exhibited them after chemotherapy. The only significant difference among patient groups was the improved OS in patients with differentiated neuroblasts in BM at diagnosis ($P=0.021$). In contrast, the differentiation status of neuroblasts in BM after chemotherapy did not affect OS ($P=0.852$).

Conclusions: Our study is the first report describing the presence of differentiating neuroblasts in BM. The presence of differentiating neuroblasts in BM at diagnosis may be a favorable prognostic factor for patients with BM metastatic NB; however, the same phenomenon after chemotherapy is irrelevant to prognosis.

Key Words: Differentiating neuroblasts, Neuroblastoma, Bone marrow, Metastasis, Prognosis

INTRODUCTION

Neuroblastic tumors are embryonal tumors that form around sympathetic nerve ganglia. The relative proportion of Schwann cells and neuroblasts distinguishes stroma-rich tumors such as ganglioneuromas from stroma-poor tumors such as neuroblastosomas (NB). Ganglioneuroma is the most benign type of neuroblastic tumor and is characterized by numerous Schwann cells with dispersed maturing ganglionic cells. NB is the most malignant tumor type and consists primarily of neuroblastic cells with a low proportion of Schwann cells [1, 2].

NBs can be distinguished according to the degree of differentiation of the neuroblastic cells (poorly differentiated, undifferentiated, or differentiating). Poorly differentiated NB is characterized by the presence of small round blue cells with high nuclear-to-cytoplasmic ratios and obvious neurite extensions. Undifferentiated NB shows similar characteristics but lacks neurite outgrowths. Finally, differentiating NB is composed of at least 5%...
differentiating neuroblastic cells that exhibit synchronous maturation of the cytoplasm, nucleus, and neurofibrils [1, 2].

A marked variability exists in the clinical behavior of NB [3]. Approximately 60% of patients with clinically diagnosed NB have stage 4 disease, which is associated with a very poor prognosis. In stage 4S disease (defined as age < 1 yr with a localized primary tumor defined as stage 1 or 2, with dissemination limited only to the liver, skin, or bone marrow [BM] with less than 10% of BM nucleated cells are tumor cells) or International Neuroblastoma Risk Group stage MS (defined as metastatic disease ‘special’, where MS is equivalent to stage 4S), spontaneous maturation and regression without treatment is a well-established phenomenon that improves prognosis and increases survival rates [4, 5]. This phenomenon is known to occur exclusively in infants younger than 1 yr with a localized primary tumor [6-9].

A similar phenomenon was reported in a single previous study, in which spontaneous regression of stage 4 NB was observed in patients with multiple metastases of the brain, scalp, and skeleton [10]. However, in that report, stage 4 was assessed based only on the multiple metastases and not on the BM metastasis. Therefore, it is likely that the presence of differentiating neuroblasts in the BM of patients with stage 4 NB has not yet been reported. Because retinoic acid is used as a differentiating agent in therapeutic regimens, differentiating neuroblasts are expected to be observed in BM after chemotherapy in some cases. Therefore, the prognostic impact of the presence of differentiating neuroblasts in BM needs to be investigated.

In the present study, we aimed to identify the prognostic impact of the presence of differentiating neuroblasts in BM both at diagnosis and after chemotherapy in patients with BM metastatic NB (stage 4). To determine the clinical significance of differentiation, we compared histology and laboratory data along with overall survival (OS) with regard to the differentiation status of neuroblasts in BM at diagnosis and after chemotherapy.

**METHODS**

1. **Patient selection and evaluation of clinical data**
A total of 51 patients who were diagnosed with BM metastatic NB at the Asan Medical Center between January 1990 and July 2005 were enrolled in this study. Patients diagnosed before August 2005 were selected to examine long-term prognosis. We analyzed the distributions of gender and age; complete blood cell counts; 24-hr urinary vanillylmandelic acid (VMA) and homovanillic acid (HVA) values; serum neuron-specific enolase (NSE), ferritin, and lactate dehydrogenase (LD) values; BM pathology; karyotype of BM aspirates at diagnosis; treatment modality including chemotherapy regimen; and the date of death or last follow-up. All clinical data were obtained through a retrospective review of electronic medical records. OS was defined as the time from diagnosis to death or last follow-up.

Microscopic examinations of BM aspirates and biopsies were performed to determine the presence of differentiating neuroblasts. Tumors consisting of at least 5% differentiated ganglion cells were defined as having differentiating neuroblasts [11]. The presence of differentiating neuroblasts was determined by 2 independent laboratory pathologists who are experts in this area. Out of 51 total patients in our study, a BM metastatic lesion of NB was evident in BM aspirates of only 11 patients at diagnosis, and these patients also showed a definite BM metastatic lesion in a BM biopsy or clot section. In the remaining 40 patients, the evidence of BM metastasis of neuroblastoma at diagnosis was found only in a BM biopsy or clot section. Therefore, the presence of differentiating neuroblasts was primarily determined based on BM biopsy or clot section findings followed by an examination of BM aspiration samples. Differentiating neuroblasts were defined as differentiating cells that fulfilled the criteria provided by the International Neuroblastoma Pathology Classification (INPC), including 1) synchronous differentiation of the nucleus (an enlarged, eccentric nucleus with a vesicular chromatin pattern and usually a single prominent nucleolus) and 2) conspicuous, eosinophilic or amphophilic cytoplasm [2].

2. **Categorization of patients**
To determine the prognostic impact of differentiating neuroblasts in BM at diagnosis and after chemotherapy in BM metastatic NB, we categorized patients into 2 groups according to the differentiation status of neuroblasts in BM at diagnosis. Subsequently, each group was further divided into 3 groups according to differentiation or BM metastasis after chemotherapy (Table 1). In this categorization system, the diff(-) group was defined as patients with poorly differentiated or undifferentiated neuroblasts in the BM metastatic lesion and the diff(+) group was defined as patients with differentiating neuroblasts in the BM metastatic lesion. We then compared clinical variables and OS with regard to the differentiation status of neuroblasts in BM at diagnosis and after chemotherapy.

3. **Treatment regimens**
All the patients enrolled in this study were treated with 3 different therapeutic regimens: CCG3881, CCG3981, and POG9243...
### Table 1. Comparison of clinical and laboratory findings of the total 51 patients with BM metastatic neuroblastoma according to differentiation status of neuroblasts in BM both at diagnosis and after chemotherapy

| Category | N of patients | Sex (M:F) | Age, median (yr) | Urine VMA (mg/day) | Urine HVA (mg/day) | NSE (ng/mL) | Ferritin (ng/mL) | LD (IU/L) | Hb (g/dL) | WBC (×10^3/L) | PLT (×10^3/L) |
|----------|---------------|-----------|----------------|-------------------|-------------------|-------------|----------------|-----------|-----------|----------------|---------------|
| I. Diff(+) at Dx (N = 13) | | | | | | | | | | | | |
| 1. Diff(+) after CtX | 4 | 3:1 | 6.7 | 4.0 (3.3-143.1) | 5.5 (3.9-7.0) | 21.5 (8.3-34.6) | 42.6 (18.6-66.6) | 462.0 (296.0-3,206.0) | 10.7 (7.0-12.9) | 7.3 (4.9-9.4) | 379.5 (288.0-508.0) | |
| 2. Diff(-) after CtX | 3 | 1:2 | 6.4 | 8.5 (2.5-64.3) | 18.0 (8.1-182.1) | 80.9 (74.4-161.0) | 342.2 (87.2-1,600.3) | 356.0 (446.0-614.0) | 7.8 (7.4-10.5) | 5.3 (4.2-8.5) | 209.0 (177.0-289.0) | |
| 3. BM(-) after CtX | 6 | 3:3 | 3.0 | 14.2 (0.7-80.2) | 14.1 (3.8-70.4) | 174.0 (13.6-920.0) | 155.0 (36.9-928.1) | 1,131.0 (316.0-3,216.0) | 10.1 (7.6-12.8) | 8.4 (3.6-8.7) | 286.0 (91.0-627.0) | |
| II. Diff(-) at Dx (N = 38) | | | | | | | | | | | | |
| 1. Diff(+) after CtX | 13 | 6:7 | 2.7 | 17.8 (0.7-35.3) | 30.2 (9.0-46.6) | 142.6 (79.0-352.0) | 262.3 (97.1-932.9) | 2,149.0 (470.0-8,487.0) | 9.0 (5.4-10.0) | 6.3 (3.0-10.3) | 295.0 (44.0-547.0) | |
| 2. Diff(-) after CtX | 5 | 2:3 | 4.0 | 5.2 (2.6-12.7) | 15.8 (7.2-89.4) | 467.0 (29.4-1,600.0) | 221.2 (115.6-1,090.0) | 1,047.0 (276.0-17,625.0) | 8.5 (7.3-13.2) | 7.3 (3.1-11.7) | 298.0 (72.0-369.0) | |
| 3. BM(-) after CtX | 20 | 12:8 | 3.5 | 16.9 (0.7-74.0) | 18.6 (1.3-77.5) | 117.6 (7.5-504.0) | 177.2 (17.2-790.0) | 1,176.5 (219.0-10,240.0) | 9.2 (2.2-14.7) | 7.3 (2.7-13.3) | 323.0 (73.0-548.0) | |
| Total | 51 | 27:24 | 3.3 | 14.1 (0.7-143.1) | 18.9 (1.3-89.4) | 140.6 (7.5-169.0) | 187.5 (17.2-1,600.3) | 1,055.0 (276.0-17,625.0) | 9.3 (2.2-14.7) | 6.5 (2.7-13.3) | 298.0 (44.0-627.0) | |

Diff(-) group was defined as the patients with poorly or undifferentiated neuroblasts in the BM metastatic lesion and diff(+) group was defined as the patients with differentiating neuroblasts in the BM metastatic lesion.

*P value was obtained from the comparison between 6 patient groups (Chi-square test); †P value was obtained from the comparison between 6 patient groups (Kruskal-Wallis test).

Abbreviations: Diff, differentiation; Dx, diagnosis; CTx, chemotherapy; BM, bone marrow; VMA, vanillylmandelic acid; HVA, homovanillic acid; NSE, neuron-specific enolase; LD, lactate dehydrogenase; WBC, white blood cell; PLT, platelet.
counts (hemoglobin, $P=0.661$; white blood cells, $P=0.772$; platelets, $P=0.703$) (Table 1). Karyotype analysis of BM aspirates at diagnosis was available for 32 of the 51 patients. Ten of these 32 patients (31.3%) showed cytogenetic abnormalities, and among these 10 patients, only 4 showed hyperploidy whereas the remaining 6 showed a complex karyotype. No correlation was noted between karyotype abnormalities and differentiation status of neuroblasts in BM. Chemotherapy regimens and proportions of patients who underwent BMT during treatment were not significantly different among the patient groups (Table 2).

2. Comparison of clinical and laboratory characteristics and prognosis according to differentiation status of neuroblasts in BM at diagnosis

Of the 13 patients who exhibited differentiating neuroblasts in BM at diagnosis, 7 were males and 6 were females, with age ranging from 0.8 to 9.5 yr (median, 3.6 yr). Among these patients, only 2 were younger than 2 yr at diagnosis. Of the 38 patients who did not exhibit differentiating neuroblasts in BM at di-
2. Diff(-) at Dx (N = 13)

| Category          | N of patients | Treatment regimens (N of patients) | N of patients underwent autologous BMT |
|-------------------|---------------|-----------------------------------|---------------------------------------|
| 1. Diff(+) at Dx  | 4             | CCG3881 (2), POG9243 (2)           | 2 (50.0%)                             |
| 2. Diff(-) at Dx  | 3             | CCG3881 (1), CCG3891 (2)          | 1 (33.3%)                             |
| 3. BM(-) after Ctx| 6             | CCG3891 (6)                       | 2 (33.3%)                             |

3. Diff(-) at Dx (N = 38)

| Category          | N of patients | Treatment regimens (N of patients) | N of patients underwent autologous BMT |
|-------------------|---------------|-----------------------------------|---------------------------------------|
| 1. Diff(+) after Ctx | 13          | CCG3881 (7), POG9243 (6)          | 5 (38.5%)                             |
| 2. Diff(-) after Ctx | 5            | CCG3881 (2), CCG3891 (3)          | 2 (40.0%)                             |
| 3. BM(-) after Ctx  | 20           | CCG3881 (12), POG9243 (4), CCG3891 (4) | 4 (20.0%)                             |

Diff(-) group was defined as the patients with poorly or undifferentiated neuroblasts in the BM metastatic lesion and diff(+) group was defined as the patients with differentiating neuroblasts in the BM metastatic lesion. *CCG3881 regimen consisted of approximately 9 months of 4 drug combination chemotherapy with cisplatin, cyclophosphamide, doxorubicin and etoposide as well as surgical removal of residual tumor after induction, followed by local radiation for residual disease after surgery; POG9243 regimen was described by Bagatell et al [13]; CCG3891 regimen used the same 4 chemotherapeutic agents in more dose-intensive induction. Chemotherapy was followed by consolidation therapy with randomized assignment to either myeloablative therapy with carboplatin, etoposide, melphalan, and total-body irradiation with autologous BMT or consolidation with continuous infusion of cisplatin, etoposide, doxorubicin and ifosfamide.

Abbreviations: BM, bone marrow; Diff, differentiation; Dx, diagnosis; Ctx, chemotherapy; BM, bone marrow transplantation.

Overall survival of patients with bone marrow metastatic neuroblastoma according to differentiation status of neuroblasts in bone marrow at diagnosis.

Abbreviation: Diff, differentiation.

Fig. 2. Overall survival of patients with bone marrow metastatic neuroblastoma according to differentiation status of neuroblasts in bone marrow at diagnosis.

Abbreviation: Diff, differentiation.
who did not exhibit differentiating neuroblasts in BM after chemotherapy, 3 were males and 5 were females, with age ranging from 3.2 to 10.2 yr (median, 4.5 yr). Of the 26 patients with no BM metastasis after chemotherapy, 15 were males and 11 were females, with age ranging from 0.1 to 23.1 yr (median, 3.3 yr). Clinical and laboratory variables did not differ among these 3 groups; these variables included gender ($P=0.606$), age ($P=0.104$), VMA ($P=0.420$), HVA ($P=0.912$), NSE ($P=0.663$), ferritin ($P=0.433$), LD ($P=0.521$), and blood cell counts (hemoglobin, $P=0.698$; white blood cells, $P=0.618$; platelets, $P=0.537$). Additionally, OS was similar among the 3 groups (patients with differentiating neuroblasts in BM after chemotherapy, 57.7 months; patients without differentiating neuroblasts in BM after chemotherapy, 35.7 months; patients with no BM metastasis after chemotherapy, 54.1 months; $P=0.852$) (Table 3). Further analysis indicated that the presence of differentiating neuroblasts in BM after chemotherapy was not significantly associated with OS regardless of the differentiation status of neuroblasts in BM at diagnosis ($P=0.545$ in group I, members of which exhibited differentiating neuroblasts in BM at diagnosis; $P=0.944$ in group II, members of which did not exhibit differentiating neuroblasts in BM at diagnosis).

**Fig. 3.** Overall survival of patients with bone marrow metastatic neuroblastoma according to the differentiation status of neuroblasts in bone marrow after chemotherapy (A, patients who exhibited differentiating neuroblasts in bone marrow after chemotherapy; B, patients who did not exhibit differentiating neuroblasts in bone marrow after chemotherapy; C, patients who did not exhibit bone marrow metastasis after chemotherapy).

Abbreviation: Diff, differentiation.

**Table 4.** Multivariate analysis of the overall survival with respect to differentiation status both at diagnosis and after chemotherapy

| Variables                          | HR (95% CI)         | $P$ value | Prognostic impact |
|------------------------------------|---------------------|-----------|------------------|
| At diagnosis                       |                     |           |                  |
| Diff(+) at Dx                      | 0.336 (0.126-0.899) | 0.030     | Good             |
| After chemotherapy                 |                     |           |                  |
| Diff(+) after CTx                  | 1.066 (0.333-3.413) | 0.914     | NS               |
| BM(-) after CTx                    | 1.149 (0.378-3.497) | 0.807     | NS               |

Hazard ratio represents the relative risk compared from differentiation (-) patients in each group and $P$ values were adjusted with differentiation status both at diagnosis and after chemotherapy. Diff(-) group was defined as the patients with poorly or undifferentiated neuroblasts in the BM metastatic lesion and diff(+) group was defined as the patients with differentiating neuroblasts in the BM metastatic lesion. Abbreviations: HR, hazard ratio; CI, confidence interval; BM, bone marrow; Dx, diagnosis; CTx, chemotherapy; NS, not significant; Diff, differentiation.

Even in patients without BM involvement after chemotherapy, the prognostic impact was not evident (hazard ratio, 1.149; $P=0.807$) (Table 4).

**DISCUSSION**

NB maturation is the process by which a morphologically undifferentiated tumor becomes a mature ganglioneuroma [1]. The INPC uses both cytoplasmic and nuclear enlargement as morphologic indicators of neuroblastic differentiation [14]. Increasing numbers of differentiating neuroblasts are considered a sign of tumor maturation, suggesting a good prognosis [14]. Several studies have reported that spontaneous maturation of primary tumors in patients who did not receive antitumor therapy is associated with improved survival rate and prognosis [6-8]. Ac-
According to these reports, the factors that correlated with spontaneous maturation were age (<1 yr) and disease stage (stage 1, 2, and 4 tumors) [15].

However, few reports have described the presence of differentiating neuroblasts after chemotherapy in the BM of patients with stage 4 NB. One report described the presence of differentiating neuroblasts in tissue from a patient with chemoresistant stage 4 NB after a 2-week treatment with [131I] metaiodobenzylguanidine [16]; however, this patient died 2 months later, suggesting that histological maturation after chemotherapy did not affect survival. Another study reported that 10 patients treated with conventional cytotoxic chemotherapy (with or without maturation-inducing agents) exhibited differentiating neuroblasts at advanced stages (3 or 4); however, this phenomenon did not correlate with prognosis [15].

In this study, we found that 13 patients (25.5%) had differentiating neuroblasts in BM at diagnosis and 13 patients had differentiating neuroblasts in BM after chemotherapy. A variety of agents can induce neurite outgrowth in human NB cell lines, including retinoic acid, cyclic AMP-elevating agents, prostaglandin E1, papaverine, and nerve growth factor [15, 17]; however, our patients did not receive these agents, and the chemotherapy regimen did not differ among the 6 patient groups. Consistent with previous studies, our results reveal that the presence of differentiating neuroblasts in BM at diagnosis and after chemotherapy is relatively common among patients with BM metastatic NB regardless of the use of differentiation-inducing agents. To our knowledge, our study is the first report that describes the presence of differentiating neuroblasts in BM at diagnosis and after chemotherapy in patients with BM metastatic NB.

In addition, we found that the presence of differentiating neuroblasts in BM at diagnosis was associated with significantly better prognosis even though other prognostic markers such as urinary VMA and HVA as well as serum NSE and LD levels did not differ among the groups categorized by differentiation status of neuroblasts in BM. Among all patient subgroups categorized by differentiation status of neuroblasts in BM after chemotherapy, the prognosis appeared to be better for patients who exhibited differentiating neuroblasts in BM at diagnosis. Our results are consistent with previous studies reporting that spontaneous maturation of primary tumors in patients who do not undergo antitumor therapy predicts better prognosis and longer survival. In contrast, the presence of differentiating neuroblasts in BM after chemotherapy was not associated with prognosis or OS, regardless of the differentiation status of neuroblasts in BM at diagnosis. These findings suggest that the presence of differentiating neuroblasts in BM after chemotherapy may indicate localized progression only.

During ganglion differentiation, Schwann cells are recruited from surrounding non-neoplastic tissue by neoplastic neuroblasts that produce mitogens and chemotactic factors. Schwann cells already present in the tumor tissue are thought to produce anti-proliferative and differentiation-inducing factors crucial to neuronal differentiation [1]. Although Schwann cells are present in BM, they are difficult to identify in BM aspirates. Moreover, they cannot easily be observed on microscopy because myelin and axons cannot be stained using the May-Grunwald-Giemsa method, and only thick nerve bundles are stained using hematoxylin and eosin [18]. Although we did not detect Schwann cells in BM aspirate specimens, the nerve bundles observed in BM biopsies indicated the presence of differentiating neuroblasts.

Generally, more highly differentiated tumors secrete more mature form of catecholamine [19, 20]. Levels of HVA, which is a metabolite of dopamine, are often elevated in patients with mature NB. In contrast, VMA, which is a metabolite of epinephrine and norepinephrine, suggests the presence of a less differentiated tumor [11]. Thus, patients with more mature NB are expected to have higher levels of HVA than VMA. However, in our study, VMA and HVA levels did not differ among the patient groups regardless of neuroblast differentiation status in BM at diagnosis or after chemotherapy.

Because NB does not appear in a homogeneous manner in BM, the results of an metaiodobenzylguanidine (MIBG) scan and BM biopsy may show discrepant findings, i.e., BM may be MIBG positive with no tumor cells observable in a BM biopsy. This discrepancy could bias the results of our study. Therefore, we evaluated the validity of an MIBG scan during the follow-up period of all 51 patients under investigation. Except for 9 patients who were initially diagnosed prior to 1995, 42 of the 51 (82.4%) patients underwent an MIBG scan during follow-up. The results of these MIBG scans corresponded perfectly with those of BM biopsies. The 17 patients who showed BM metastasis in BM biopsies at a particular time during the follow-up period were all MIBG positive at that time. The 25 patients who did not show BM metastasis in BM biopsies at a specific follow-up time were all MIBG negative at that time. Therefore, the negative predictive value of MIBG scans was 100%. The association between the differentiation status of neuroblasts at the primary site and in the BM metastatic lesion has not been evaluated adequately and therefore needs to be investigated. In our study, we often found concordance between the differentiation status
of the primary tumor and the BM metastatic lesion at diagnosis. Indeed, 9 out of 13 cases with differentiated neuroblasts in BM at diagnosis also showed differentiating neuroblasts at the primary site. However, the prognostic impact of differentiation in the primary tumor was not evident from our analysis. A comprehensive study with more patients is required for accurate evaluation of the prognostic impact of differentiation in the primary tumor.

Our study has some limitations. First, the results of known prognostic markers (e.g., MYCN amplification, CD44 expression, Trk-A expression) could not be included in our analysis, and karyotype data were available only for two-thirds of patients. Therefore, a thorough multivariate analysis including these proven prognostic factors could not be performed. A more comprehensive study including these markers needs to be conducted in the future. Second, because we divided the 51 patients into 6 patient groups and then performed subgroup analysis, the numbers used for comparison were sometimes small, which could result in low statistical power. In the future, a study that includes larger numbers will be required to confirm the prognostic impact of the presence of differentiating neuroblasts in BM at diagnosis and after chemotherapy.

In conclusion, we found that the presence of differentiating neuroblasts in BM at diagnosis and after chemotherapy is common in patients with BM metastatic NB. The presence of differentiating neuroblasts in BM at diagnosis is associated with significantly better prognosis; however, the presence of differentiating neuroblasts in BM after chemotherapy is irrelevant to prognosis.

Authors’ Disclosures of Potential Conflicts of Interest

No potential conflicts of interest relevant to this article were reported.

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