Association of Retinal Layer Thickness With Cognition in Patients With Multiple Sclerosis

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Abstract

Objective
Retinal layer thickness (RLT) measured by optical coherence tomography (OCT) is considered a noninvasive, cost-efficient marker of neurodegeneration in multiple sclerosis (MS). We aimed to investigate associations of RLT with cognitive performance and its potential as indicator of cognitive status in patients with MS by performing generalized estimating equation (GEE) analyses.

Methods
In this cross-sectional study, patients with at least mild signs of cognitive impairment were examined by OCT as well as by the Brief International Cognitive Assessment for MS and tests assessing attention and executive functions (Trail Making Test [TMT] A and B). Associations of these factors were investigated using GEE models controlling for demographic and disease-related factors and correcting for multiple testing.

Results
A total of 64 patients entered the study. In the final sample (n = 50 [n = 14 excluded due to missing data or drop-outs]; n = 44 relapsing-remitting MS and n = 6 secondary progressive MS, mean Expanded Disability Status Scale score = 2.59 [SD = 1.17], disease duration [median] = 7.34 [interquartile range = 12.1]), 36.0% were cognitively impaired. RLT of the macular retinal nerve fiber layer was associated with performance in TMT-B (β = −0.259). Analyses focusing on the upper and lower tertile of RLT additionally revealed associations between macular ganglion cell-inner plexiform layer and TMT-B and verbal short-term memory and learning, respectively.

Conclusion
In patients with MS, at less advanced disease stages, RLT was especially associated with cognitive flexibility promoting OCT as a potential marker advocating further extensive neuropsychological examination.

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For patients with multiple sclerosis (MS), cognitive impairment (CI) can be a prominent symptom of the disease, even at early stages. Despite the need for regular neuropsychological assessments to identify CI as early as possible, appropriate examinations are not yet integrated in established monitoring standards of clinical care.

The informative value of current biomarkers in MS diagnostics such as conventional MRI sequences is rather limited in accurately reflecting direct associations of neuropsychological function and potential underlying substrates, particularly in early stages of the disease. In the last decades, the method of retinal optical coherence tomography (OCT) was introduced to measure and clinically monitor the degree of neurodegeneration in the retinae of patients with MS, complementary to brain MRI. Within this research, inner retinal layer thicknesses (RLTs) assessed by OCT were found to be altered independently of a history of optic neuritis (ON) in MS suggesting neurodegenerative processes. These processes might not only affect the retinae but also relevant cerebral structures and could therefore influence cognitive outcomes. Because of the feasible, cost-efficient, and convenient application of OCT in clinical settings, RLT might bear the potential to be a valuable monitoring indicator for impaired cognitive performance providing the impulse for an extensive neuropsychological evaluation where necessary.

To our knowledge, systematic examinations of state-of-the-art neuropsychological instruments in relation to a comprehensive set of currently discussed OCT parameters, while accounting for demographic and disease-related factors, have rarely been conducted. Importantly, only few studies have included all tests of the Brief International Cognitive Assessment for MS (BICAMS), although it is considered the international gold standard to screen for CI in MS since 2012. The present study, therefore, aims at examining the relationship of RLT with cognitive performance in BICAMS and tests for attention and executive functions in ambulatory patients with mild to moderate clinical deficits to investigate the potential of OCT pointing toward CI. Data were derived from the baseline examination of a larger interventional study.

Methods

Study Population

The data entering the present study were obtained from the baseline data of a larger interventional study examining the effects of an exercise program and a computer-based cognitive program, respectively. Sixty-four patients diagnosed with relapsing-remitting MS (RRMS) or secondary progressive MS (SPMS) were consecutively included from October 2016 to September 2018. Participating patients were required to be at least 18 years old and fluent in German and to have an Expanded Disability Status Scale (EDSS) score ≤ 5.0 as well as no current acute neurologic or psychiatric disorder (apart from MS). All patients were relapse free for at least 60 days. Furthermore, participants did not have severe, uncorrected visual or hearing impairment, known confounding ocular pathologies or upper extremity difficulties that may have compromised the neuropsychological testing performance. Confirmation of MS diagnosis and the current EDSS were provided by documents of the treating neurologist, which were verified by 2 coauthors (O.A. and A.R.). All patients had to show at least mild to moderate CI in information processing assessed by an Symbol Digit Modalities Test (SDMT) z-score between −0.5 and −3.0. Finally, the examined sample included 50 patients due to dropouts after the neuropsychological examination and exclusions based on a previous history of ON as depicted in figure 1. The mean interval between neuropsychological testing and OCT was 1 month in which no disease-related changes occurred.

Standard Protocol Approvals, Registrations, and Patient Consents

All patients provided written informed consent and voluntarily participated in the study. Ethical approval for the study was given by the ethics committee of the Medical Faculty of the Heinrich Heine University Duesseldorf, Germany (study number: 5531R, registration-ID: 2016055083). Study procedures were conducted in accordance with the principles of the Declaration of Helsinki.

Assessment and Measurement of RLT Using OCT

The OCT methodology is reported in line with the Advised Protocol for OCT Study Terminology and Elements recommendations. Spectral domain OCT examination was performed for both eyes of each patient by trained operators of the Düsseldorf University Hospital using Heidelberg-Spectralis (Heidelberg Eye Explorer, version 1.9.100) under ambient light conditions as previously described. Investigators performing the OCT were blinded to the results of cognitive testing and the neuropsychological inclusion criterion. After quality control of the scans according to OSCAR-IB Consensus Criteria...
Study flowchart depicting exclusions, dropouts, and the final sample. Of 64 study participants, 59 underwent OCT. After quality control and excluding missing data and data of eyes having a history of ON or lacking information on ON, data of 79 eyes were included in analyses regarding pRNFL and data of 77 eyes in analyses with mRNFL, GCIP, and INL, respectively. “n” refers to the number of study participants. Numbers in brackets display the number of eyes. GCIP = macular ganglion cell-inner plexiform layer; INL = inner nuclear layer; mRNFL = macular retinal nerve fiber layer; OCT = optical coherence tomography; ON = optic neuritis; pRNFL = peripapillary retinal nerve fiber layer.

Neuropsychological Assessment

Study participants underwent an extensive neuropsychological assessment in the Cogito center comprising an initial interview, various questionnaires, and tests including the German version of the BICAMS16 as well as Trail Making Test (TMT) A and B to examine visual attention and cognitive flexibility. BICAMS covers the SDMT assessing information processing speed, the Verbaler Lern- und Merkfaehigkeitstest (VLMT, direct recall) for verbal short-term memory and learning, and the Brief Visuospatial Memory Test–Revised (BVMTR) evaluating the visuospatial short-term memory and learning. During the initial interview, participants were surveyed regarding demographic and disease-related data. Trained research assistants and psychologists who performed the neuropsychological assessments were blinded to the results of the OCT examination. For the description of the examined sample, we defined CI as performance below the 5th percentile ($z = -1.645$ or percentage range $= 5$ in at least 1 of the BICAMS tests, respectively).

Statistical Analyses

Statistical analyses were performed using SPSS software (IBM SPSS Statistics version 26.0). We present descriptive statistics according to the nature of the data as means with SD, median with range and interquartile range (IQR), and percentages, respectively. To analyze associations of RLT and cognitive performance, separate generalized estimating equation (GEE) models were computed. This method was used to perform the analyses on eye level instead of subject level adjusting for intrasubject intereye correlations. Each GEE analysis was computed focusing on thickness of 1 retinal layer predicting one of the cognitive test outcomes under study while controlling for demographic and disease-related factors. These factors comprised age, educational level, sex, MS subtype, and disease duration and were selected based on theoretical aspects as partially seen in previous studies investigating cognitive performance and RLT.9,12,20-22 Beta coefficients were calculated using means and SDs. Scatterplots of each pair (RLT and cognitive test outcome) display the distribution of the data.

To further explore whether the comparison of extreme groups (patients with thinnest and thickest retinal layers) in the present sample show an association with cognitive performance, the sample was divided into 3 equally sized groups based on RLT of the layer under study. For each GEE analysis, a variable assigning the specific case to either the first (i.e., lowest RLT) or the third tertile (i.e., highest RLT) was included to focus the analysis only on patients with severe vs little RLT degeneration. Analyses with extreme groups were also controlled for age, educational level, sex, MS subtype, and disease duration. Beta coefficients were not issued due to the statistical nature of the data.

For all analyses, extreme outliers were identified and excluded by generating boxplots of the respective data before the analyses, not displayed here (distance $> 3.0 \times IQR$). A $p$ value $\leq 0.05$ was considered the threshold of statistical significance. To correct for multiple testing, the Bonferroni-Holm method was used for all $p$ values per retinal layer.

Data Availability

Anonymized data will be available from the corresponding author on reasonable request from any qualified investigator.

Results

Table 1 displays demographic and disease-related information of the examined sample as well as descriptive information on performance in each cognitive test and on thickness of each retinal layer.
### Discussion

The aim of this study was to investigate whether any of the most relevant OCT metrics in MS could be associated with cognitive test performance and might therefore provide the opportunity to place a focus on patients at risk of developing clinically apparent cognitive dysfunctions in the course of the disease.

Because the analyzed data were obtained from a larger study including an exercise program and EDSS score ≤5.0 as the inclusion criterion, the examined sample represented a patient population with rather mild to moderate clinical manifestations. In addition, the sample was characterized by moderate disease durations and high proportions of patients under any disease-modifying treatment. Regarding demographic measures (age, sex, and educational level), characteristics comparable to previous studies were identified. The observed prevalence rate of CI as defined by performance in BICAMS (36%) corresponds to previous studies in mildly affected patients. Data on RLT were also similar to results from earlier studies on patients without medical history of ON measured by an equivalent OCT device.7

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**Table 1** Information on Demographic and Disease-related Characteristics

| Demographic/disease-related information | Total sample (N = 50) |
|----------------------------------------|-----------------------|
| **Age (y)**                            |                      |
| RRMS                                   | 47.00; 18-59; 13.25   |
| SPMS                                   | 6 (12.0%)             |
| **Sex (n; % females)**                 |                      |
| Low                                    | 3 (6.0%)              |
| Middle                                 | 10 (20.0%)            |
| High                                   | 37 (74.0%)            |
| **Education (n; %)**                   |                      |
| Low                                    | 40 (80.0%)            |
| Middle                                 | 10 (20.0%)            |
| High                                   | 2 (4.0%)              |
| **Disease course (n; %)**              |                      |
| RRMS                                   | 44 (88.0%)            |
| SPMS                                   | 6 (12.0%)             |
| **Time since last relapse (mo)**       |                      |
| First line                             | 6 (12.0)%             |
| Second line                            | 27 (54.0%)            |
| **Immunotherapy (n; %)**               |                      |
| None                                    | 17 (34.0)%            |
| **Cognitive tests (raw scores)**       |                      |
| SDMT                                   | 43.66 ± 8.62          |
| VLMT                                   | 55.50; 13.00-73.00; 16 |
| BVMT-R                                 | 25.00; 0.00-34.00; 11.25 |
| TMT-A                                  | 36.96; 17.71-90.74; 15.37 |
| TMT-B                                  | 75.03; 33.53-168.94; 35.73 |
| **Layer thickness (micrometers)**     |                      |
| pRNFL                                  | 89.53 ± 12.61         |
| mRNFL                                  | 31.33 ± 4.82          |
| GCIPL                                   | 65.57 ± 7.09          |
| INL                                     | 34.46 ± 2.55          |
| BICAMS impaired (n; %)                 | 18 (36%)              |

Abbreviations: BVMT-R = Brief Visuospatial Memory Test–Revised; EDSS = Expanded Disability Status Scale; GCIPL = macular ganglion cell-inner plexiform layer; INL = macular inner retinal layer; IQR = interquartile range; mRNFL = macular retinal nerve fiber layer; pRNFL = peripapillary retinal nerve fiber layer; RRMS = relapsing-remitting MS; SDMT = Symbol Digit Modalities Test; SPMS = secondary progressive MS; TMT-A/B = Trail Making Test–A/B; VLMT = Verbal Lern-und Merkfaehigkeitstest. Missing values: EDSS score n = 1, time since last relapse n = 1, time since last immunotherapy change n = 6. Raw scores of SDMT, VLMT, BVMT-R are based on achieved number of correct items. Raw scores of TMT-A/B are required to time to complete the task, measured in seconds.

* Mean ± SD or median; range; IQR according to nature of the data.

A First line and second line defined according to guidelines by the German Neurological Society (DGN).
Regarding associations between OCT metrics and cognitive performance, we observed thickness in pRNFL, mRNFL, and GCIPL to be related with TMT-B, which assesses cognitive flexibility as a domain of executive functioning. This relation became evident despite the extensive control of covariates mentioned above. After using Bonferroni-Holm adjustment for multiple testing, the link between mRNFL and TMT-B remained significant. Finding associations between cognitive flexibility as part of executive functioning and RLT matches with studies examining mRNFL and GCIPL that also incorporated tests for executive functioning. Cognitive flexibility is considered a complex cognitive ability covering various functions such as working memory, attention, and inhibition operating in a broadly distributed frontoparietal network. As such, it might be one of the first domains being affected by atrophic processes as manifested in thickness of inner retinal layers, which might therefore serve as a valuable indicator for further extensive and regular neuropsychological testing. Because the applied test for cognitive flexibility, TMT-B, is also based on visual capacities, one may wonder whether the effect might have only resulted from potential visual impairments of the participants, rather than CNS atrophy. To rule this out, we excluded eyes with a history of ON from the analyses beforehand and ensured that participants did not have uncorrected visual ametropia. Furthermore, because no such associations were observed regarding other visually based neuropsychological tests (e.g., SDMT and TMT-A), we assume that the reported relation with cognitive flexibility is not resulting from pure visual impairments.

### Table 2

| Predictor of interest RLT | AV cognitive test | n | neyes | B  | β  | p Value | p' Value |
|---------------------------|-------------------|---|-------|----|----|---------|---------|
| pRNFL                     | SDMT              | 50| 79    | 0.0001 | n.a. | 0.115  | 0.912   |
|                           | VLMT              | 50| 79    | 0.0002 | n.a. | 0.114  | 0.912   |
|                           | BVMT-R            | 50| 79    | -0.0001 | n.a. | 0.206  | 1.000   |
|                           | TMT-A             | 50| 79    | -0.558 | -0.242 | 0.011* | 0.110   |
|                           | TMT-B             | 49| 78    | -1.561 | -0.259 | <0.001*** | 0.002** |
| mRNFL                     | SDMT              | 48| 77    | 0.0001 | n.a. | 0.474  | 1.000   |
|                           | VLMT              | 48| 77    | 0.0003 | n.a. | 0.190  | 0.930   |
|                           | BVMT-R            | 48| 77    | -0.0001 | n.a. | 0.492  | 1.000   |
|                           | TMT-A             | 48| 77    | -0.001 | n.a. | 0.107  | 0.642   |
|                           | TMT-B             | 47| 76    | -1.561 | -0.259 | <0.001*** | 0.002** |
| GCIPL                     | SDMT              | 48| 77    | 0.0002 | n.a. | 0.086  | 0.344   |
|                           | VLMT              | 48| 77    | 0.0003 | n.a. | 0.142  | 0.375   |
|                           | BVMT-R            | 48| 77    | -0.0001 | n.a. | 0.180  | 0.375   |
|                           | TMT-A             | 48| 77    | -0.199 | -0.199 | 0.018*  | 0.144   |
|                           | TMT-B             | 47| 76    | -1.291 | n.a. | 0.090  | 0.890   |
| INL                       | SDMT              | 48| 77    | 0.0003 | n.a. | 0.615  | 1.000   |
|                           | VLMT              | 48| 77    | -0.0003 | n.a. | 0.752  | 1.000   |
|                           | BVMT-R            | 48| 77    | 0.001  | n.a. | 0.452  | 1.000   |
|                           | TMT-A             | 48| 77    | -0.0002 | n.a. | 0.882  | 1.000   |
|                           | TMT-B             | 47| 76    | -1.291 | n.a. | 0.090  | 0.890   |

Abbreviations: B = regression coefficient; BVMT-R = Brief Visuospatial Memory Test–Revised; GCIPL = macular ganglion cell-inner plexiform layer; GEE = generalized estimating equation; INL = macular inner retinal layer; mRNFL = macular retinal nerve fiber layer; n = number of cases included; n.a. = not applicable; neyes = number of eyes included; pRNFL = peripapillary retinal nerve fiber layer; RLT = retinal layer thickness; SDMT = Symbol Digit Modalities Test; TMT-A/B = Trail Making Test–A/B; VLMT = Verbaler Lern- und Merkfähigkeits- test; β = standardized regression coefficient calculated as effect size. p values were corrected with Bonferroni-Holm correction (p') covering all p values per retinal layer (including p values from the analysis of RLT-continuous variable and of RLT-extreme groups, see table 3).

* p < 0.05, ** p < 0.01, *** p < 0.001.
sample size, these results generally confirm reports of latest studies. They also signify that the OCT evaluation cannot replace a neuropsychological examination with BICAMS, but might serve as a supplementary method assessing additional factors. This has already been described by Frau and colleagues who consider OCT (pRNFL) and BICAMS to be instruments investigating different aspects of neurodegeneration in MS because no direct association was found and each instrument correlated with different brain volume regions in MRI. In line with this, our results once more highlight the need of a separate, consecutive, and extensive neuropsychological assessment in patients identified at risk. However, studies examining SDMT as the sole neuropsychological parameter or examining the status of being cognitively impaired as defined by BICAMS (which can be highly influenced by SDMT performance) provide contradictory results reporting associations with RLT. A reason for the discrepancy to our results might lie in the present study design: the interventional study from which the data were obtained at baseline focused on patients with RRMS and SPMS with at least mild signs of CI in information processing speed (SDMT z < -0.5 and z > -3.0). Therefore, inevitably, we were not able to integrate cognitively preserved or extremely impaired patients beyond 3 SDs as defined by SDMT. In consequence, the included SDMT z-scores showed rather little variance, which might have prevented us from detecting an association between RLT and SDMT due to statistical limitations. Apart from that, the discrepancy might also be based on the small sample size of the current study, general differences in the patient characteristics and composition, and the covariates considered. When comparing extreme groups of each retinal thickness regarding cognitive performance, results on pRNFL and INL were not significant. However, we were able to identify thickness of mRNFL to be linked to TMT-B and detected a relation between GCIPL and TMT-B as well as VLMT as one of the BICAMS tests. Before correcting for multiple testing, our results also indicated a potential relation of GCIPL with BVMT-R. Authors who examined a sample of patients with early MS hypothesized that correlations between GCIPL thickness and cognitive disability may become detectable later in the course of the disease or when investigated prospectively. We assume this to also be applicable to our results in patients with mild to moderate disability displaying only slight indications for a possible relation between RLT (especially GCIPL) and BICAMS.

Regarding retinal layers, mRNFL and GCIPL turned out to be the main parameters associated ahead of the conventionally examined pRNFL. The INL showed no significant associations with any cognitive test performance. Generally, these results match with the literature, where atrophy affecting axons and neurons in patients with MS (RRMS and SPMS) was found to be reflected by the 3 metrics of pRNFL, GCIPL, and mRNFL, but not by INL. Of those 3 metrics, pRNFL is known to show the highest effect sizes and has been investigated most frequently in relation to cognitive function. Recent studies, however, also documented thinning of mRNFL and GCIPL independent of pRNFL in early, most inflammatory, stages of the disease, suggesting that retinal damage could begin from the macular ganglion cells. Because GCIPL was therefore reported to provide the advantage to reflect atrophy much earlier than pRNFL, GCIPL might especially be a relevant parameter when investigating OCT metrics as indicator for CI in patients with mild to moderate clinical manifestations. In line with these neurobiological characteristics, the few studies, that have already investigated mRNFL and GCIPL in relation to cognitive performance, reported associations.
By identifying relations of mRNFL (continuous variable and extreme groups) with TMT-B and also of GCIPL (extreme groups) with VLMT and TMT-B, we reinforce the role of macular layers, particularly mRNFL and GCIPL, as potentially suitable markers in associations with CI, especially in patients with mild to moderate disability.

Our study is not without limitations. First, in case of unilateral ON, only the non-ON eye was included in the analyses. However, occurrence of ON might have an effect on the non-ON eye by spreading of inflammation over the optic chiasm.34 Second, the analyses were conducted for both MS subtypes RRMS and SPMS together because the research question addressed patients with current mild to moderate neurologic states independent of MS subtype. Yet, to rule out an effect, we included MS subtype as a covariate in all analyses implemented. A more MS subtype-specific characterization of associations between cognitive performance and RLT requires larger homogenous MS cohorts to be analyzed separately. Third, the generalizability of our results is limited by the small sample size and the cross-sectional design, which is why current negative results should be considered provisional. Furthermore, we are aware that the inclusion criterion concerning SDMT z-score resulting from the study design prohibited us from having a control group without CI for comparison. Future research needs to confirm our findings in larger patient populations and longitudinal studies, as well as by examining a control group without CI.

In conclusion, RLT and BICAMS appear to be rather complementary methods in patients with mild to moderate clinical deficits because no associations were observed for SDMT and BVMT-R with RLT when correcting for multiple testing. However, because of the detected relation with cognitive performance and RLT, both methods are of interest in patients with CI and require further investigation.
flexibility, thickness in mRNFL and GCIPL may bear the potential to become a marker for further extensive neuropsychological testing when showing abnormalities. Because neuropsychological assessments (e.g., BICAMS) are still not commonly applied within the diagnostic process and monitoring of patients with MS, OCT may offer the opportunity to identify patients at risk for CI as early as possible in a cost-efficient and feasible way. This way, OCT results might provide the impulse to initiate extensive neuropsychological evaluations and regular monitoring assessments where advisable.

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Figure 3 Boxplots-Revised

Boxplots and point clouds depicting raw scores on cognitive performance per RLT extreme group. (A) Cognitive performance in Trail Making Test–B (TMT-B), Verbal Lern-und Merkfaehigkeitstest (VLMT), and Brief Visuospatial Memory Test–Revised (BVMT-R), each divided into the low tertile and high tertile of retinal layer thickness (RLT) of macular ganglion cell-inner plexiform layer (mGCIPL). (B) Cognitive performance in Trail Making Test–B (TMT-B) divided into low tertile and high tertile of retinal layer thickness (RLT) of macular ganglion cell-inner plexiform layer (mGCIPL) (all analyses including TMT-B: excluded outliers n = 2). *Raw scores in designated cognitive test differ significantly between low and high tertile of RLT referring to uncorrected p values.
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