INTRODUCTION

Scapular winging (SW) is an abnormal scapulothoracic posture that refers to prominence of the medial border or inferior angle of the scapula from thoracic wall [1]. Abnormal scapular position and movement are associated with scapular dysfunction and imbalance of the periscapular muscles, resulting in shoulder pain and impingement syndrome [2,3]. Although multiple factors may cause SW, it can result from weakness of the scapular stabilizers without bone, joint, and neurological factors [1,2].

Soft tissue mechanisms for SW involve tightness or weakness of periscapular muscles [4]. The serratus anterior muscle is considered a primary scapular stabilizer during arm movement, which stabilizes the scapula against the thoracic wall [5]. Weakness of the serratus anterior muscle could lead to insufficient scapular posterior tilt and upward rotation during arm movements, causing dyskinesis [6,7]. In addition, weakness of scapular stabilizers including serratus anterior and lower trapezius may lead to pseudoweakness of the upper extremity muscles because of lack of proximal stabilization to provide a stable origin [8,9]. Inflexibility and stiffness of the pectoralis minor and biceps short head could result in scapular anterior tilt and protraction due to their pull on the coracoid process [4,10]. Moreover, glenohumeral internal rotation deficit due to posterior shoulder tightness could create compensatory scapu-
lar anterior tilts [11]. The scapula moves with the humerus as the arm is internally rotated, which creates a “windup” of the scapula on the thorax with reduced humeral internal rotation and horizontal abduction [4,11].

Repetitive stress has been considered as an important factor for musculoskeletal disorders [12]. Previous studies have noted that the dominant extremity often exhibits accentuated dyskinetic findings attributed to overuse, muscle asymmetry, and differences in range of motion [13-15]. The loss of shoulder internal rotation range of motion (ROM) in dominant shoulder has been studied extensively in unilateral overhead athletes such as baseball players and tennis players [13,16,17]. The results of the studies reported that dominant shoulder had significantly lower shoulder internal rotation ROM in unilateral overhead athletes. Also, Cieminski et al. [14] reported that the dominant shoulder had significantly less shoulder internal rotation ROM compared with the nondominant shoulder in non-athletic persons. Because the unwanted stress caused by the compensatory movements may vary depending on the degree of use of the arm [18], whether dominant or nondominant side could affect the prevalence of upper extremity musculoskeletal disorders [19].

Previous studies have proposed various methods in assessing SW, such as visual observations and 3D motion analysis systems. Visual observations are easy and inexpensive to implement but relatively subjective. In contrast, 3D motion analysis systems could provide quantitative data; however, such systems are impractical in clinical settings. Other assessment tools have been designed to measure the posterior displacement of the inferior angle of the scapula with reference to the posterior thoracic cage [20-23]. Weon et al. [23] designed a scapulometer to measure the posterior displacement of the scapular inferior angle relative to the thoracic wall. They also suggested that a posterior displacement > 2 cm indicates presence of SW. De Oliveira et al. [21] suggested that a 1.5 cm cutoff showed better sensitivity, specificity, and diagnostic performance than a 2 cm cutoff. Although the results of these tests could provide information on the presence of SW, additional tests were needed to examine the shoulder girdle function of patients.

The decision tree is one of the most popular classification techniques [24]. One of the main reasons is the ability of decision trees to present the results in a simple format, which is easy to interpret for experts [25]. In the decision tree model, for categorical variables, a branch is created for each known category, while, for continuous variables, the best split point is determined as the cutoff point for these variables [26]. Previous studies reported that data mining techniques are useful in classifying or predicting risk of musculoskeletal disorder [25,27-29]. However, most studies used questionnaire data or environmental variables [25,27]. The use of data mining techniques in classifying and predicting risk of musculoskeletal disorder using the results of clinical test or quantitative data is scarce [30]. Therefore, this study aimed to establish a predictive model for presence of SW, and determine the cutoff value of each variable for predicting the risk of SW using the decision tree method.

MATERIALS AND METHODS

1. Subjects
Fifty female subjects (mean age, 27 ± 4 years; range, 21–35) participated in this study. Subjects were excluded if they had (1) pain in the shoulder, elbow, or wrist joint; (2) previous history of shoulder, elbow, or wrist surgery; (3) problems such as tendonitis, adhesive capsulitis, or neurological pathology including damage to the long thoracic nerve. All variables were measured in both sides of all subjects. The data of both sides were used in the analysis because whether the scapula is in the dominant or nondominant side was one of predictor variables. Before the study, all subjects received explanations on all procedures of the study and signed an informed consent form approved by the Institutional Review Board of Yonsei University Mirae Institutional Review Board (approval No. 1041849-201912-BM-177-01).

In measuring SW in the static condition, each subject stood in a neutral shoulder position with the elbow joint in 90° flexion and the forearm in neutral position; then, a cuff weighing 5% of the body weight of each subject was placed on the distal wrist. Then, we measured the scapular posterior displacement (distance between the thoracic wall and inferior angle of the scapula) in all subjects using a modified scapulometer [31]. Subjects were assigned to the SW group (scapular posterior displacement ≥ 1.5 cm) and without SW group (scapular posterior displacement < 1.5 cm) based on the previous study conducted by de Oliveira et al. [21].

2. Instruments
The Smart KEMA strength sensor (KOREATECH, Inc., Seoul,
Korea) was used to measure the maximal isometric scapular protractor and elbow flexor strength [32,33]. Data measured using the sensor were transferred to an Android tablet PC (Galaxy Tab A6 10.1: Samsung, Inc., Seoul, Korea) via Bluetooth network and analyzed using the Smart KEMA application (KOREATECH, Inc.). Data were recorded for 5 seconds, and the mean value of the middle 3 seconds was recorded.

The Smart KEMA motion sensor (KOREATECH, Inc.) was used to measure shoulder internal rotation ROM. The motion sensor contained a tri-axillar gyroscope, magnetometer, and accelerometer and signal converter and signal transmission sensor. Data from the motion sensor were recorded at a 25 Hz sampling frequency and transmitted to an Android tablet PC with the Smart KEMA software [34].

3. Procedures

Subjects were placed in supine position on a table with 90° shoulder flexion and full elbow extension to measure maximal isometric scapular protractor strength (Figure 1). In measuring isometric strength during maximal scapular protraction, the subject grasped a handle connected to the Smart KEMA strength sensor, and then the arm length was adjusted. The Smart KEMA strength sensor was attached to a grounded vacuum lifter using a belt to provide a fixed point during measurements (Figure 1). To adjust the initial tension before strength measurements, the strength sensor maintained a tension of 2 kgf when the handle was first grasped [32]. The maximal isometric scapular protractor strength was measured twice in each position, with a 3 minute rest period between measurements to prevent muscle fatigue. In each trial, the elbows were extended during exertion of maximal force against the grip handle in the forward direction.

Subjects were seated with the shoulder in the anatomical neutral position, elbow flexed to 90°, and forearm supinated to measure maximal isometric elbow flexor strength (Figure 2). To measure maximal isometric elbow flexor strength, a cuff connected to the Smart KEMA strength sensor was attached to the distal forearm of subjects. The Smart KEMA strength sensor was attached to a grounded vacuum lifter using a belt to provide a fixed point during measurements (Figure 2). To adjust initial tension before strength measurements, the strength sensor maintained a tension of 2 kgf with elbow 90° flexion [32]. Subjects performed isometric elbow flexion maximally against the fixed point. The humerus of test side was stabilized to maintain neutral shoulder position using opposite side hand during the measurement. The maximal isometric elbow flexor strength was measured twice in each position, with a 3-minute rest period between measurements to prevent muscle fatigue.

Shoulder internal rotation ROM was measured with the subject lying on the measurement side. The shoulder was flexed to 90° with 0° rotation, and the elbow was flexed to 90° (Figure 3). The Smart KEMA motion sensor was attached to the wrist of subjects with a cuff. The examiner stabilized the head of the humerus to prevent anterior gliding and then passively internally rotated the humerus while maintaining 90° shoulder and elbow flexion (Figure 3). Determination of shoulder internal

Figure 1. Maximal isometric scapular protractor strength measurement.

Figure 2. Maximal isometric elbow flexor strength measurement.
rotation ROM based on the point of maximal internal rotation, where a distinct, firm end feel was noted by the examiner. When the maximal passive shoulder internal rotation was achieved, the data were collected and transmitted to the tablet.

4. Statistical Analysis

SPSS version 25.0 (IBM Co., Armonk, NY, USA) was used for the statistical analysis. The intraclass correlation coefficient (ICC 3, 1) model was used to test intra-rater reliability of measurements. Data normality was examined using the Kolmogorov-Smirnov test. Before establishing the prediction model, independent t-test was used to identify statistically significant differences in scapular protractor strength, elbow flexor strength, and shoulder internal rotation ROM between the two groups. The level of statistical significance was set at 0.05.

A classification tree for presence of SW as outcome variable was derived using the rpart function of RStudio (version 1.1.463; RStudio, Inc., Boston, MA, USA) [35]. A classification tree selects the predictor variables that maximizes the decrease in impurity (minimization of the Gini index for categorical variables and the expected sum variances for continuous variables as node impurity criterion) [36]. In the classification with the presence of SW as outcome variable, scapular protractor strength, elbow flexor strength, shoulder internal rotation ROM, and whether the scapula is in the dominant or nondominant side were used as predictor variables. The strength values were divided by body weight of subjects for normalization. The subjects were randomly divided into a training data set (two-thirds of the subjects) and test data set (the remaining third), each group with the same proportion in both data sets. Decision trees also have excellent prediction capabilities but have been criticized for overfitting and poor performance on extremely small data sets [25]. Therefore, fivefold cross-validation was used to evaluate the performance of the classification tree model.

RESULTS

The general characteristics of subjects are presented in Table 1. Of 100 cases, 33 were assigned into the SW group and 67 cases were assigned into the without SW group (without SW). All variables were normally distributed (p > 0.05). The ICC (3, 1) of the modified scapulometer was 0.867 (95% CI, 0.808–0.908). There were no significant differences in age, height, or body weight between the two groups. There were significant differences in scapular protractor strength (p = 0.026) and elbow flexor strength (p = 0.020). However, there was no significant difference in shoulder internal rotation ROM between the two groups (p = 0.062) (Table 2). The ICCs (3,1) were 0.923 (95% CI, 0.887–0.947) for measuring scapular protractor strength, 0.926 (95% CI, 0.892–0.950) for measuring elbow flexor strength, and 0.995 (95% CI, 0.993–0.997) for measuring shoulder internal rotation ROM.

Figure 4 shows the classification tree for presence of SW, which had 4 terminal nodes. The classification tree for presence of SW showed scapular protractor strength as the first
predictor of SW. Each node label showed the major subgroup (with SW or without SW). Below the node label, there was the number showing how many the major subgroup was observed in the node. Among the training data set (66 cases), 44 cases were without SW and 22 cases were with SW (Figure 4). The cutoff point of scapular protractor strength for absence of SW was ≥ 20% of body weight. In the subgroup of subjects with scapular protractor strength greater than or equal to 20% of body weight (27 cases), 23 cases were without SW and 4 cases were with SW. On the contrary, in the subgroup with lower scapular protractor strength (39 cases), 21 cases were without SW and 18 cases were with SW. Shoulder internal rotation ROM was the second predictor. The cutoff point of shoulder internal rotation ROM for absence of SW was ≥ 56°. Eleven cases had shoulder internal rotation ROM greater than 56°, and 9 of them were without SW. Twenty-eight cases had shoulder internal rotation ROM less than 56°, and 16 of them were with SW. The last predictor variable was whether the scapula is in the dominant or nondominant side. The scapula of the dominant side showed higher risk compared to the scapula of the nondominant side. In the terminal node, among 12 nondominant cases, 4 cases were with SW. On the contrary, among 16 dominant cases, 12 cases were with SW. Details of the tree divisions, with the respective predictors’ cutoff points and number of cases classified in each subgroup according to selected predictors, are presented in Figure 4.

No information rate (classified by chance) of each data set showed 67% of accuracy due to imbalanced class (33 cases with SW, 67 cases without SW). The classification tree model correctly classified 78.79% (p = 0.02) of the training data set (66 cases). The predicted SW risk levels based on the classification tree were verified against the test data set (34 cases). The accuracy obtained by the classification tree on the test data set was 82.35% (p = 0.04). The confusion matrix between the predicted and actual SW risk levels indicates good overall accuracy in both data sets (Tables 3, 4).

Table 3. Performance of the classification tree as calculated during the model training

| Calculated evaluation measures on the training data set | Reference |
|--------------------------------------------------------|-----------|
| Prediction (n) | Without SW | With SW |
| Accuracy | 90.91% | 54.55% |
| Overall accuracy/95% CI | 78.79%/66.98%–87.89% |

SW, scapular winging; CI, confidence interval.

Table 4. Performance of the classification tree as calculated during the model testing

| Calculated evaluation measures on the test data set | Reference |
|-----------------------------------------------------|-----------|
| Prediction (n) | Without SW | With SW |
| Accuracy | 95.65% | 54.55% |
| Overall accuracy/95% CI | 82.35%/65.47%–93.24% |

SW, scapular winging; CI, confidence interval.
DISCUSSION

The results of this study showed that subjects with SW have reduced scapular protractor strength. This result is consistent with previous studies [37,38]. Kim et al. [38] reported significantly lower scapular protractor strength in male subjects with SW (137.28 ± 46.29 N) than in the control group (202.84 ± 38.24 N). Moreover, Kim et al. [38] suggested that 130 N as cutoff for presence of SW may indicate meaningful weakness of scapular protractor strength. In this study, the classification tree showed scapular protractor strength as the first predictor of SW. The cutoff value was 20% of the body weight. In the study conducted by Kim et al. [38], the mean body weight of SW group was 66.77 ± 10.45 kg. Thus, dividing 130 N by the mean body weight yields approximately 20% of body weight in male subjects, which is consistent with this study. Because the serratus anterior muscle is a scapular protractor and one of important scapular stabilizers, it would be reasonable that scapular protractor strength was selected as the first predictor.

The classification tree for presence of SW showed shoulder internal rotation ROM as the second predictor of SW. Previous studies reported that a large range of shoulder internal rotation ROM was required during activities of daily living. Mag-ERMANS et al. [39] reported that 71° shoulder internal rotation was observed during perineal care. Namdari et al. [40] revealed that 102° ± 7.7° shoulder internal rotation (glenohumeral plus scapulothoracic) was required during 10 functional tasks including unhooking the bra. Because the scapula moves with the humerus as the arm is internally rotated more easily with reduced shoulder internal rotation, shoulder internal rotation deficit could lead to altered scapular kinematics [4,11]. Borich et al. [11] reported that there was a significant increase in scapular anterior tilt at end range shoulder internal rotation with the arm in 90° of flexion or abduction in subjects with shoulder internal rotation deficit compared to controls. Therefore, shoulder internal rotation ROM < 56° might result in compensatory anterior tilts during functional activities of daily living, which could displace the scapular inferior angle away from the thoracic wall. Furthermore, considering that the last predictor variable selected by the classification tree is whether the scapula is in the dominant or nondominant side, repeated stress caused by shoulder internal rotation deficit seems to be important during functional activities of daily living. Although there was no significant difference in the result of independent t-test (p = 0.062) between the two groups, it would be reasonable that shoulder internal rotation ROM was the second predictor variable.

There was a significant difference in elbow flexor strength between the two groups. However, elbow flexor strength was not included as a predictor variable. Weakness of scapular stabilizer may lead to pseudo weakness of the upper extremity muscles because of lack of proximal stabilization to provide a stable origin [8,9]. Because the short head of the biceps brachii has a proximal attachment on the coracoid process, scapular stabilizers, such as the serratus anterior and lower trapezius, must provide proximal stabilization for the optimal action of the biceps brachii [4,10]. In this study, the SW group showed significant lower scapular protractor strength, which might be the reason for inadequate stabilization and lower elbow flexor strength. However, elbow flexor strength was not included as a predictor variable. Considering that a decision tree selects the predictor variables that maximizes the decrease in impurity [36], in subgroups those who had scapular protractor strength lower than 20% of body weight, shoulder internal rotation ROM may have been more likely to reduce impurity than elbow flexor strength. Scapular protractor strength and elbow flexor strength are variables that measured muscle strength. On the other hand, shoulder internal rotation ROM is a variable that measured joint flexibility. Therefore, scapular protractor strength and elbow flexor strength represented similar features of participants, but shoulder internal rotation ROM might represent different features of participants from scapular protractor strength and elbow flexor strength. This is a possible reason why there was a significant difference between the two groups, but elbow flexor strength was not included as a predictor variable.

In this study, the classification tree shows acceptable accuracy (82.35% in the test data set) and high specificity (95.65% in the test data set). However, the classification tree shows low sensitivity (54.55% in the test data set), which indicates that the model had low performance in correctly identifying subjects with SW. The classification algorithms usually exhibit poor performance while dealing with imbalanced class data (33 cases had SW, and 67 cases were without SW in this study), and results are biased toward the majority class [41]. Although various data mining techniques, such as upsampling and downsampling, could be applied to achieve better performance with imbalanced class, we did not use additional techniques to
measure the data. Moreover, we assigned the scapula with a cutoff value of 1.5 cm based on the previous study [21]. When compared to a cut off value of 2 cm, the more subjects with less severe SW could be assigned to the SW group with a cut off value of 1.5 cm. Therefore, the boundary value near the cutoff value could make accurate classification difficult. These were possible reasons for low sensitivity of the classification tree.

The current study has several limitations. First, the sample size is small. Because data mining techniques are powerful in terms of uncovering interesting patterns and relationships hidden in a large volume of data, further studies with a large number of subjects are needed. Second, we analyzed only static SW condition. Scapular dyskinesis have two separate entities, static position and dynamic motion. Scapular stabilization during arm motions is important for optimal movements. Therefore, future research is required to investigate the differences between subjects with and without SW in dynamic conditions.

CONCLUSIONS

The classification tree showed acceptable accuracy (82.35%) and high specificity (95.65%) but low sensitivity (54.55%). Scapular protractor strength, shoulder internal rotation ROM, and whether the scapula is in the dominant or nondominant side were predictors for presence of SW. Based on the predictive model in this study, we suggested that 20% of body weight in scapular protractor strength is a meaningful cutoff value for presence of SW.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

AUTHOR CONTRIBUTIONS

Conceptualization: GG. Data curation: GG, YW. Formal analysis: GG, YW. Investigation: GG, JK. Methodology: GG, SA, JK, OK. Project administration: GG, OK. Resources: SA, JK, OK. Software: GG. Supervision: OK. Validation: SA, JK, OK. Visualization: GG. Writing - original draft: GG. Writing - review & editing: OK.

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