Equation of State of the Photoionized Intergalactic Medium

Lam Hui and Nickolay Y. Gnedin

1 NASA/Fermilab Astrophysics Center, Fermi National Accelerator Laboratory, Batavia, IL 60510
2 Department of Astronomy, University of California, Berkeley, CA 94720

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ABSTRACT

We develop an efficient method to study the effects of reionization history on the temperature-density relation of the intergalactic medium in the low density limit ( overdensity $\delta < \sim 5$). It is applied to the study of photo-reionization models in which the amplitude, spectrum and onset epoch of the ionizing flux, as well as the cosmology, are systematically varied. We find that the mean temperature-density relation at $z = 2 - 4$ is well approximated by a power-law equation of state for uniform reionization models. We derive analytical expressions for its evolution and exhibit its asymptotic behavior: it is found that for sufficiently early reionization, imprints of reionization history prior to $z \sim 10$ on the temperature-density relation are washed out. In this limit the temperature at cosmic mean density is proportional to $[\Omega_b h^2/\sqrt{\Omega_m}]^{1/1.7}$. While the amplitude of the radiation flux at the ionizing frequency of H I is found to have a negligible effect on the temperature-density relation as long as the universe reionizes before $z \sim 5$, the spectrum can change the overall temperature by about 20%, through variations in the abundances of helium species. However the slope of the mean equation of state is found to lie within a narrow range for all reionization models we study, where reionization takes place before $z \sim 5$. We discuss the implications of these findings for the observational properties of the Ly$\alpha$ forest. In particular, uncertainties in the temperature of the intergalactic medium, due to the uncertain reionization history of our universe, introduces a 30% scaling in the amplitude of the column density distribution while the slope of the distribution is only affected by about 5%. Finally, we discuss how a fluctuating ionizing field affects the above results. We argue that under certain conditions, the loss of memory
of reionization history implies that at late times, the temperature-density relation of a gas in a fluctuating ionizing background can be approximated by one that results from a uniform radiation field, provided the universe reionizes sufficiently early.

Key words: cosmology: theory — intergalactic medium — quasars: absorption lines

1 INTRODUCTION

Absorption line studies of the low column density Lyα forest ($N_{H_1} \gtrsim 15 \text{ cm}^{-2}$; see Hu et al. 1995; Lu et al. 1996; Cristiani et al. 1996) offer a unique probe of the universe which is free of luminosity bias, spans a wide redshift range $z \sim 2 - 4$ and where the overdensity is mildly nonlinear (overdensity $\delta \lesssim 5$, see Hui, Gnedin & Zhang 1996) and hence the relevant physics is simple to understand. Recent hydrodynamic simulations support the hypothesis that the Lyα forest arises from a fluctuating intergalactic medium which is the natural result of gravitational instability in hierarchical clustering cosmological models (Cen et al. 1994; Zhang et al. 1995; Hernquist et al. 1996; Miralda-Escudé et al. 1996; Wadsley & Bond 1996).

The main quantity of interest in such studies is the Lyα transmission (or optical depth) as a function of frequency, which is determined by the density of neutral hydrogen as a function of position. The Gunn-Peterson effect (Gunn & Peterson 1965) tells us that hydrogen (as well as helium) is highly ionized for $z \lesssim 5$. Several models for its origin have been studied: photoionization by first generation of stars or quasars, collisional ionization induced by shock-heated gas, decaying neutrinos and so on (Ikeuchi & Ostriker 1986; Couchman & Rees 1986; Sciama 1990; Miralda-Escudé & Ostriker 1992; Shapiro, Giroux, & Babul 1994; Giroux & Shapiro 1996; Haiman & Loeb 1996). The reader is also referred to Shapiro (1995) for an excellent review on the subject. We focus on photoionization models in this paper although the semi-analytical method we propose in this paper can be applied to any model.

Ionization equilibrium, which should hold after the universe reionizes, dictates that the neutral hydrogen density is approximately proportional to $\rho_b^2 T^{0.7}$ where $\rho_b$ is the baryon density and $T$ is the temperature (see § 3.1.1). It is therefore important to know the amplitude of $T$ and its dependence on $\rho_b$. As we will discuss later, the temperature-density relation can significantly affect observed properties of the Lyα forest, its column density distribution for instance.

Imagine a fluid element evolving in a photoionized intergalactic medium. Its temperature
at $z \sim 2 - 4$ is influenced by a number of factors: how its density evolves with time, which determines the amount of heating/cooling; how and when the ionizing radiation is turned on; the radiation amplitude and spectrum, which control how much photoionization heating the fluid element suffers; cosmological parameters such as baryon density, the Hubble constant and the density parameter $\Omega_0$ which affects the recombination rate, adiabatic cooling rate and the evolution of density.

The temperature-density relation for a set of fluid elements is then determined by, among other things, the reionization history of the universe. Given a reionization scenario and cosmological model, hydrodynamic simulations can predict this relation accurately, but limited computer resources obviously restrict the number of reionization histories one can study. It is therefore important that we develop alternative tools in order to understand the effects of a full range of reionization histories, considering the as yet poor knowledge of the actual reionization history of our universe. Moreover, it is worth pointing out that there exists analytical tools borrowed from studies of large scale structure which can predict the distribution of $\rho_b$ with reasonable accuracy in the low density regime (Bi, Borner & Chu 1992; Bi & Davidsen 1996; Gnedin & Hui 1996; Hui, Gnedin & Zhang 1996). By their analytical/semi-analytical nature, it is possible to study a whole range of cosmological models in an efficient manner. What remains to be specified for these methods, in order to calculate quantities like the column density distribution of hydrogen, is the temperature-density relation. A calculation aiming at just that is attempted here.

We propose to model the density evolution of each fluid element using the Zel’dovich approximation (Zel’dovich 1970), which is known to be a good approximation in the mildly nonlinear regime (Coles, Melott, & Shandarin 1993) and for elements where hydrodynamic effects like pressure and shock-heating are unimportant. Given a probability distribution of the initial configuration for these elements, which can be deduced for any given cosmological model, one can generate a whole set of such elements and simply follow their thermal and chemical evolution. The resulting bulk temperature-density relation can then be studied for any chosen reionization history. The virtue of this method is that each element can be treated independently and that the density evolution is given by an analytical formula (from the Zel’dovich approximation) while the thermal and chemical evolution equations involve a set of ordinary differential equations which can be solved numerically. Obviously, such a Lagrangian method does not incorporate the effects of shock-heating properly. We check the
results of our method against full hydrodynamic simulations and find good agreement for $\delta \lesssim 5$, implying shock effects are not significant in the low density regime ($\S$ 2.2).

Most previous work investigating the effects of different reionization histories either assumes a uniform intergalactic medium (eg. Giroux & Shapiro 1996) or uses the spherical collapse model for density evolution (eg. Miralda-Escudé & Rees 1994). For the low column density Ly$\alpha$ forest, which arises naturally from a mildly fluctuating intergalactic medium, and which hydrodynamic simulations indicate to be consisting mostly of filaments and pancakes (Cen et al. 1994), the density evolution is better approximated by the Zel’dovich approximation (Hui et al. 1996).

A great deal of effort has been made to examine radiative transfer effects after the onset of ionizing radiation, including the expansion of H II regions, reprocessing by absorption systems etc. (Zuo 1992a,b; Meiksin & Madau 1993; Miralda-Escudé & Rees 1994; Giroux & Shapiro 1996; Haardt & Madau 1996; see also Gnedin & Ostriker 1996 for numerical simulations that include some of these effects). These effects can in principle be incorporated in our method by specifying how the radiation intensity correlates with density and possibly allowing the onset of reionization to occur at different times for different fluid elements. While more work still needs to be done in order to make such a specification with reasonable accuracy, we focus in this paper on reionization models in which the ionizing flux is uniform, but allowing the onset-epoch, spectrum and amplitude of the ionizing flux as well as the cosmology to vary. It turns out one can learn something about the more realistic fluctuating case, even from these simple models, which we will discuss in $\S$ 5.

As we will show, the mean temperature-density relation of the photoionized intergalactic medium in the low density regime is well-approximated by a power law equation of state ($T \propto [1+\delta]^{\gamma-1}$ where $\delta$ is the mass overdensity). The questions we would like to address are then: how does the amplitude and slope of the equation of state depend on the reionization history, characteristics of the ionizing radiation as well as cosmological parameters; what are the implications for observational properties of the Ly$\alpha$ forest?

Organization of the paper is as follows. In the next section, we outline a semi-analytical method to predict the temperature-density relation for any given cosmology and reionization history, using the Zel’dovich approximation. An example is discussed and a comparison is made with the result of a full hydrodynamic simulation, computed using the same reionization history. Then, in $\S$ 3 we apply the method to study models in which the universe reionizes suddenly, due to a rapid outburst of radiation. We investigate systematically how
varying the epoch of reionization, cosmological parameters and the radiation amplitude and spectrum affects the equation of state at later redshifts. Analytical approximations to the equation of state are derived. In §3, it is shown that models in which reionization occurs after a period of reheating (gradual turn-on of radiation) give equations of state that can be well-fitted by that of an appropriate sudden reionization model. Finally we discuss the implications of our findings for the observational properties of the Lyman-alpha Forest and the intergalactic medium in §5. A summary of relevant reaction rates is provided in the Appendix.

A few words about our terminology. The three different methods or procedures to study the effects of reionization history, are respectively referred to as “analytical approximation”, “semi-analytical method” and “hydrodynamic simulation”. The first is discussed in §3, where a few approximations are made to derive the equation of state in closed form; the second is explained in §2.1, which evolves the density analytically but requires numerical integration of the thermal and chemical evolution equations, though not a full scale hydrodynamic simulation and the third is what its name suggests. While the last method is perhaps the most accurate (provided the numerical resolution is sufficient), the second, which is the focus of this paper, allows us to study the temperature-density relation for a large number of reionization histories in an efficient and accurate manner, albeit restricted to the low density regime; the first allows us to give useful approximate quantitative expressions that embody the correct qualitative trends. Standard symbols are used for cosmological parameters: $a = (1 + z)^{-1}$ where $1 + z$ is the cosmological redshift factor, $H$ for the Hubble constant as a function of $z$, $H_0$ for the Hubble constant today, $h$ for $H_0/100$ km s$^{-1}$ Mpc$^{-1}$, $\Omega$ for the density parameter today, with the subscript $b$ to denote its baryon portion and 0 all its matter content, and $\Lambda$ for the contribution from cosmological constant. We use the symbol $h$ (in distinct from $h$) to denote the Planck constant in a few places where it arises and $k_B$ to denote the Boltzmann constant.

2 THE SEMI-ANALYTICAL METHOD

2.1 The Equations

In a nutshell, the method we propose is very simple: assume that the density evolution of a given fluid element is governed by the Zel’dovich approximation; allow the element to cool/heat and its constituents to change according to standard thermal and chemical reaction
rates; repeat the same procedure for a whole set of fluid elements with initial parameters drawn from a distribution implied by whatever cosmological initial conditions one prefers (in this paper, we study only Gaussian random initial conditions). The resulting temperature-density relation can be obtained by making a scatter-plot of temperature versus density for all the fluid elements at a given time of interest.

The density evolution of a fluid element, according to the Zel’dovich approximation, is given by:

\[ 1 + \delta = \det^{-1} [\delta_{ij} + D_+(t)\psi_{ij}] , \]

where \( \delta \) is the mass overdensity, \( \delta_{ij} \) is the Kronecker delta and \( D_+(t) \) is the linear growth factor (Peebles 1980), the time dependence of which is completely specified by cosmology (e.g. it equals the Hubble scale factor \( a \) for a universe at critical matter density). The normalization is chosen such that \( D_+ = 1 \) today.

The tensor \( \psi_{ij} \) is a \( 3 \times 3 \) symmetric matrix determined by initial conditions (i.e. its components vary from one fluid element to another). One can always choose a basis in which the matrix is diagonal. So, the problem of initial conditions reduces to finding the probability distribution of its three eigenvalues. This problem was solved by Doroshkevich (1970) for Gaussian random initial density field, (see also Bartelmann and Schneider (1992) for an elegant alternative derivation) which we also assume in this paper. For recent discussions of how to generate realizations of matrix \( \psi_{ij} \), see Bertschinger and Jain (1994) and Reisenegger and Miralda-Escudé (1995). It can be shown that the probability distribution of the matrix \( \psi_{ij} \) is uniquely specified by the dispersion of its trace. In the limit where \( D_+(t) \) is small, it can be seen that the trace multiplied by \(-D_+(t)\) is equal to \( \delta \) i.e. the linear overdensity. Therefore, the average of the trace vanishes and its rms (root mean squared) value is equal to the rms linear density fluctuation today \( \sigma \). At a given redshift and for a fixed number of fluid elements, a given rms \( \sigma \) value would imply a probable range of \( \delta \)'s in which most elements will end up. For the range we are interested in (\( \delta \) between about \(-0.9 \) and \( 5 \), at \( z \) from 2 to 4), we find that setting the rms value \( \sigma \) to 2 is adequate. For a fixed number of fluid elements, choosing a higher value for \( \sigma \) has the effect of shifting the probable range of densities upward and also increasing the scatter in a plot of temperature versus density. Alternatively, for a fixed rms value \( \sigma \), one can reproduce this latter effect and increase the number of fluid elements that fall in the desired density range by increasing the total number of fluid elements in a realization. We find that for our densities and redshifts of interest,
using a different $\sigma$ does not change the overall temperature-density relation significantly. But it should be kept in mind the scatter in temperature-density relation is limited, in part, by the finite number of elements in the realizations.

Temperature obeys the following equation of evolution:

$$
\frac{dT}{dt} = -2HT + \frac{2T}{3(1+\delta)} \frac{d\delta}{dt} - \frac{T}{\sum_i \bar{X}_i \bar{\rho}_i} \frac{d\sum_i \bar{X}_i}{dt} + \frac{2}{3k_B n_b} \frac{dQ}{dt},
$$

(2)

where $d/dt$ is the Lagrangian derivative following each fluid element, $n_b$ is the proper number density of all gas particles (i.e. everything except noninteracting dark matter) and $T$ is the temperature which depends on both space and time. The symbol $\bar{X}_i$ is defined by $n_i \equiv (1+\delta)\bar{\rho}_i/m_p$, where $n_i$ is the proper number density of the specie $i$, $\bar{\rho}_i$ is the mean mass density of baryons at the time of interest and $m_p$ is the mass of the proton and $\delta$ is the mass overdensity. For example the neutral fraction of hydrogen, $X_{\text{H}}$, (to be distinguished from $\bar{X}_{\text{H}}$), is then $\bar{X}_{\text{H}}/(\bar{X}_{\text{H}} + \bar{X}_{\text{H}2})$. Note that $\bar{X}_i$ is a function of space and time in general.

The first two terms on the right hand side take care of adiabatic cooling or heating. The third accounts for the change of internal energy per particle due to the change in the number of particles. The last term $dQ/dt$ is the heat gain (or negative heat loss) per unit volume by the gas particles from the surrounding radiation field. The heating and cooling rates due to photoionization, recombination and compton scattering are summarized in the Appendix.

Equation (2) has to be supplemented by one that determines the abundance of each particle type, which takes the form:

$$
\frac{d\bar{X}_i}{dt} = -\bar{X}_i \Gamma_i + \sum_{j,k} \bar{X}_j \bar{X}_k R_{jk} \left[ \frac{\bar{\rho}_b (1+\delta)}{m_p} \right],
$$

(3)

where $\Gamma_i$ is the photoionization rate of the specie $i$ and $R_{jk}$ is the recombination rate (in units of volume per unit time) of the species $j$ and $k$ to give $i$. The photoionization rate is given by

$$
\Gamma_i = \int_{\nu_i}^{\infty} 4\pi J_{\nu} \sigma_i \frac{d\nu}{h\nu},
$$

(4)

where $J_{\nu}$ is the specific intensity of the ionizing radiation as a function of frequency $\nu$, $\nu_i$ is the frequency above which a photon can ionize the specie $i$ and $\sigma_i$ is the cross-section for this process. The cross-sections for different species as well as the recombination rates are given in the Appendix.

What remains to be specified is the time evolution of the specific intensity of the ionizing radiation as a function of frequency, which determines the photoionization ($\Gamma_i$) and photoion-
Figure 1. A scatter-plot (black dots) of temperature versus density of 40000 cells randomly drawn from a $64^3$ CDM+$\Lambda$ hydrodynamic at $z = 4$ using the SLH-P$^3$M algorithm (see Gnedin 1995 and Gnedin & Bertschinger 1996) with $\Omega_0 = 0.35$, $\Omega_\Lambda = 0.65$, $\Omega_b = 0.055$ and $h = 0.7$ (left panel). The evolution of the ionizing background for the simulation is shown in shown in Fig. 2. The right panel shows the temperature-density relation obtained for exactly the same cosmological and radiation parameters using the semi-analytical method described in §2.1, applied to 2000 fluid elements. The larger scatter in Fig. 1a compared to 1b is in part due to the smaller number of elements in the latter. The solid line plotted in both figures correspond to the analytical approximation expressed in equations (13), (19) and (22) for a sudden reionization model where the epoch of reionization is $z = 9.6$.

Equations (1), (2), and (3) completely determine the thermal and chemical evolution of a fluid element. They are numerically integrated for each fluid element with the initial condition for $\delta$ determined as discussed before. The thermal and chemical initial conditions are chosen as follows. The gas temperature $T$ is equal to the cosmic microwave background temperature at $1 + z = 100(\Omega_b h^2/0.0125)^{2/5}$ (maintained by Compton scattering; Peebles 1993) and evolves adiabatically after that until the universe is reionized by the UV background. Abundances are assumed to be primordial, which is consistent with observations so far in the low density intergalactic medium. All species are neutral to high accuracy until reionization occurs. One can integrate equations (4) and (5) forward starting from any time between $z = 100$ and the beginning of reionization.

2.2 Comparison with Hydrodynamic Simulation

We show in Fig. 1 scatter plots of temperature versus density at $z = 4$ obtained using the semi-analytical method outlined above and using a full hydrodynamic simulation resep-
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Figure 2. Evolution of $J_{\text{H}^\text{i}}$ (solid line), $J_{\text{He}^\text{i}}$ (dashed line) and $J_{\text{He}^\text{ii}}$ (dotted line) as a function of redshift, used in the computations shown in Fig. 1. The $J_i$'s are defined in equation (5).

The same uniform ionization field has been used for both of them, the evolution of which is shown in Fig. 2. The radiation field is computed in a hydrodynamic simulation that includes the effects of star formation (see Gnedin and Ostriker 1996). In Fig. 2, we quantify the level of the ionizing radiation by the photoionization rates it implies i.e.

$$J_i = \frac{\int_\nu \nu^4 \sigma_i d\nu}{\int_\nu \nu^4 \sigma \nu} (10^{-21}\text{ ergs Hz}^{-1}\text{s}^{-1}\text{cm}^{-2}\text{ster}^{-1})^{-1},$$

where the notations are the same as those defined in equation (4). For instance, $J_{\text{H}^\text{i}}$ is related to the photoionization rate of neutral hydrogen $\Gamma_{\text{H}^\text{i}}$ by $\Gamma_{\text{H}^\text{i}} \sim 4 \times 10^{-12} J_{\text{H}^\text{i}} \text{ s}^{-1}$ (A more accurate proportionality constant can be inferred from the cross-section $\sigma_{\text{H}^\text{i}}$ given in our Appendix). The three different quantities $J_{\text{H}^\text{i}}$, $J_{\text{He}^\text{i}}$ and $J_{\text{He}^\text{ii}}$ shown in Fig. 2 do not completely specify $J_\nu$, but they give an indication of how $J_\nu$ changes with time at different frequencies. The full spectrum of $J_\nu$ is of course known and used in producing the results.

* See §2 for a discussion of the effect of a non-uniform radiation field.
shown in Fig. 1 but we do not show it here. (In practice, one actually needs only three more pieces of information regarding $J_\nu$ for the thermal evolution of a gas of primordial composition: the photoionization heating rates of H\text{I}, He\text{I} and He\text{II}.)

Our simple semi-analytical method gives a temperature-density relation which is well-approximated by a power law for $\delta \lesssim 5$. It gives the correct mean behavior of gas elements in the full hydrodynamic simulation in the low density regime. The larger scatter in Fig. 1a compared to 1b is in part due to the smaller number of fluid elements in the latter. At higher densities, one begins to see the effect of shocks: a wide scatter of temperature at a fixed density. The calculation shown in Fig. 1b is not reliable in this regime. This is because by assuming that density evolves as prescribed by the Zel’dovich approximation, which is only a good approximation for pressureless (dark) matter or baryons at large scales, one misses the effects of gas pressure and shocks as the fluid element is compressed to sufficiently high densities.

We have made a number of similar comparisons between the temperature-density relations obtained from hydrodynamic simulations versus using our semi-analytical method, for a number of different $J_\nu$’s as a function of time. The semi-analytical method consistently gives the correct mean behavior of gas elements of low density. Keeping in mind the intrinsic scatter such as that seen in Fig. 1a, we can make use of our simple semi-analytical method to efficiently study the mean temperature-density relation at the low density regime for a large number of reionization scenarios, which is the subject of the next two sections.

3 SUDDEN REIONIZATION MODELS

3.1 Variation with the Epoch of Reionization

In Fig. 3a,b,c and d, we show the temperature-density relation for four different sudden reionization models, where the reionization epoch is systematically varied. All of them have no ionizing background until the specified epoch and then $J_{\text{HI}}$ (eq. 3) is taken to be 0.5 from thereon i.e.

$$J_{\text{HI}} = \begin{cases} J_{\text{ion}} & \text{for } a \geq a_{\text{reion}}, \text{ and} \\ 0 & \text{for } a < a_{\text{reion}}, \end{cases}$$

(6)

where $a_{\text{reion}} = (1 + z_{\text{reion}})^{-1}$ is the Hubble scale factor when the radiation is turned on and the parameter $J_{\text{ion}}$ is chosen to be 0.5 (we will study the effects of varying $J_{\text{ion}}$ later on).
Figure 3. The temperature-density relation for 4 different sudden-reionization models: sudden reionization (see eq. [6]) at $z = 5$ (a), $z = 7$ (b), $z = 10$ (c), and $z = 19$ (d). For each reionization model, the black dots shown are the results of calculations using the semi-analytical method outlined in §2.1 for 2000 elements, shown at three different instants: $z$ being 4, 3 and 2 from top to bottom. The cosmological parameters are $h = 0.5$, $\Omega_0 = 1$ and $\Omega_b h^2 = 0.0125$ (with primordial abundances for hydrogen and helium). The ionizing background is specified by its amplitude $J_{H_\text{i}} = 0.5$ (eq. [5]) and spectrum obeying equation (7) with $f = 0.01$. The solid, dotted, and dashed lines, from top to bottom for each reionization model, represent the analytical expressions for the equation of state in equations (13), (19), and (22), using the corresponding cosmological parameters and reionization-epoch for each model. The only exception is panel (d) where the lines shown are exactly the same as those in the panel (c), i.e. setting $\alpha_{\text{reion}} = 1/11$ in equations (19) and (22) (see explanation in the text).

It is assumed that $J_\nu \propto \nu^{-1}$, except that it suffers a factor of $f = 0.01$ reduction beyond the frequency corresponding to the ionization of HeII:

$$J_\nu = \begin{cases} J_0 \left( \frac{\nu}{\nu_{\text{HeI}}} \right)^{-1} & \text{for } \nu \leq \nu_{\text{HeII}}, \text{ and} \\ f J_0 \left( \frac{\nu}{\nu_{\text{HeI}}} \right)^{-1} & \text{for } \nu > \nu_{\text{HeII}}, \end{cases}$$

(7)

where $J_0$ is chosen so that $J_{\text{HeI}}$ (eq. [5]) has the desired value, $\nu_{\text{HeII}}$ is the frequency corresponding to the ionization of HeII and $f$ (now chosen to be 0.01) is a parameter specifying by how much the ionizing radiation is to be further diminished beyond $\nu_{\text{HeII}}$. 
Ignoring for the time being the solid, dotted and dashed lines in Fig. 3, which will be discussed in the next section, the first trend to notice is that the earlier the epoch of reionization, the lower the overall temperature at a given redshift, except that for sufficiently early reionization \((z_{\text{reion}} > 10)\), the temperature-density relation approaches an asymptote. The second, somewhat striking, result is how well the temperature-density relations are approximated by power-law equations of state, where the slope steepens as universe reionizes earlier. The third is that when the reionization epoch is pushed sufficiently early, the exact time when it occurs makes very little difference to the equation of state: hence the very similar temperature-density relations for reionization starting at \(z = 10\) and \(z = 19\) in Fig. 3c and 3d.

To understand these trends, we perform the following approximate analytical calculation.

### 3.1.1 Analytical Calculation

First, let us determine the reionization temperature. In the limit when a substantial amount of radiation is suddenly turned on, one can approximate equations (2) and (3) by:

\[
\frac{dT}{dt} = -\frac{T}{\sum_i \chi_i} \frac{d\sum_i \dot{X}_i}{dt} + \frac{2}{3k_B} \frac{\dot{X}_{\text{HI}}}{\sum_i \chi_i} \int_{\nu_{\text{HI}}}^{\infty} 4\pi J_{\nu} \sigma_{\text{HI}}(\hbar\nu - \hbar\nu_{\text{HI}}) \frac{d\nu}{\hbar\nu} ,
\]

\[
\frac{d\dot{X}_{\text{HI}}}{dt} = -\dot{X}_{\text{HI}} \int_{\nu_{\text{HI}}}^{\infty} 4\pi J_{\nu} \sigma_{\text{HI}} \frac{d\nu}{\hbar\nu} ,
\]

where we have used equation (4) and have taken the crude approximation that the only two processes which significantly affect the temperature under the short timescale of reionization (reciprocal of photoionization rate) are photoionization heating of hydrogen:

\[
\frac{dQ}{dt} \sim n_{\text{HI}} \int_{\nu_{\text{HI}}}^{\infty} 4\pi J_{\nu} \sigma_{\text{HI}}(\hbar\nu - \hbar\nu_{\text{HI}}) \frac{d\nu}{\hbar\nu} ,
\]

and the change in the total number of gas particles. Note that during this brief period of radiation turn-on, the abundances of various species are far from equilibrium.

To integrate the above equations, we adopt the further approximation that the universe has only hydrogen, i.e. the term \(\sum_i \dot{X}_i = 2 - \dot{X}_{\text{HI}}\). Putting \(T = 0\) right before reionization because the temperature right after is much higher, we obtain the large time limit of \(T\):

\[
T_{\text{reion}} = \frac{1}{3k_B} E_J , \\
E_J \equiv \left[ \int_{\nu_{\text{HI}}}^{\infty} 4\pi J_{\nu} \sigma_{\text{HI}}(\hbar\nu - \hbar\nu_{\text{HI}}) \frac{d\nu}{\hbar\nu} / \int_{\nu_{\text{HI}}}^{\infty} 4\pi J_{\nu} \sigma_{\text{HI}} \frac{d\nu}{\hbar\nu} \right] ,
\]

where \(E_J\) is kept constant after the radiation field is turned on. This is the temperature that the intergalactic medium reaches asymptotically before recombination processes become important and halts the exponential decrease of \(\dot{X}_{\text{HI}}\), setting its value to that implied by
ionization equilibrium thereafter (hydrogen is now highly ionized). Making use of $J_\nu \propto \nu^{-1}$, it can be shown that $T_{\text{reion}} \sim 20000$ K. This value is not significantly affected by the slope of $J_\nu$ assuming it is between −1 and −1.5 at frequencies immediately above $\nu_{H_1}$, nor by the behavior of $J_\nu$ at still higher frequencies.

Therefore, the first conclusion to draw is that for sudden reionization models, the temperature of reionization is largely independent of $J_{H_1}$, density, the epoch of reionization or even cosmology. We checked this for a few fluid elements in the computations shown in Fig. 3 and found that it is indeed the case. One possible exception, which we will discuss in §3.3, is that changing the spectrum of $J_\nu$ can affect the helium abundance, which in turn has a non-negligible effect on $T_{\text{reion}}$. For sufficiently high redshifts, $a_{\text{reion}} \lesssim 1/15$, we also find $T_{\text{reion}}$ to be a little less than the value given above, because of non-negligible amount of Compton cooling.

Next, we consider the evolution of $T$ from the epoch of reionization to $z = 2, 3$ and 4. Judging from the result in Fig. 3 that for sufficiently early reionization, the temperature-density relation approaches an asymptote, it seems reasonable to assume that what matters is the dominant thermal and chemical reaction rates at the later redshifts. In this regime, the dominant heating mechanism is again the photoionization of neutral hydrogen, aside from adiabatic heating/cooling (recall that we assume a universe filled with only hydrogen). For sufficiently low temperature, recombination (of proton and electron) cooling is subdominant compared to photoionization heating (at temperature of the order of $10^4$ K, recombination cooling rate is smaller but actually not negligible compared to photoionization heating; it is again a crude approximation we adopt to make the problem tractable analytically). Putting all these into equation (11), the thermal evolution is then approximated by:

$$\frac{dT}{dt} = -2HT + \frac{2T}{3(1 + \delta)} \frac{d\delta}{dt} + \frac{2}{3k_B} \sum_i X_i \tilde{X}_{ii} \tilde{X}_e \frac{\bar{\rho}_b}{m_p} (1 + \delta) R E J$$

where we have ignored the term in equation (12) due to the change in the number of species, used equations (4), (9) and (10) and assumed ionization equilibrium (setting the right hand side of eq. [3] to zero), with $R$ approximately given by

$$R \approx 4 \times 10^{-13} \left(\frac{T}{10^4 \text{K}}\right)^{-0.7} \text{cm}^3 \text{s}^{-1}.$$

A more accurate expression for $R$ is given in the Appendix and used for our semi-analytical computation but this approximate form suffices for the present analytical calculation. More-
over, assuming again there is only hydrogen in the universe, we will approximate \( \bar{X}_{\text{HII}} \bar{X}_\text{e} / \sum_i \bar{X}_i \) by 1/2 (recall that hydrogen is now highly ionized).

Even with this highly simplified equation, the problem of predicting the temperature-density relation is still untractable analytically, if one uses the density evolution as expressed in equation (1). One possible approximation is to assume the collapse/expansion occurs only along one direction i.e. \( 1 + \delta = 1/(1 - D_+ \lambda) \) where \( \lambda \) is a constant specified by initial conditions. Equation (11) can then be solved analytically but it turns out the resulting equations of state differ qualitatively from the ones shown in Fig. 3 in the sense that at high and low densities, the predicted temperature tends to be higher than what it actually is. This is because in three dimensions, most fluid elements that reach higher densities do so by having one axis collapsing while the two others expand. By ignoring the expansion in the other two axes (as in a one-dimensional calculation) and thereby missing extra adiabatic cooling, the temperature is systematically over-estimated. Moreover, most elements that reach the lower densities also have at least two axes expanding and so the one-dimensional calculation again underestimates the amount of cooling. The combination of both effects conspire to give an equation of state that resembles a power-law for genuine three-dimensional fluid elements.

The next best question to ask is then: given that the outcome is a power-law equation of state, can we at least predict its amplitude and slope? To do so, we turn to linear theory. We expand the logarithm of \( T \) to first order in \( \delta \):

\[
\ln T = \ln T_0 + (\gamma - 1) \delta = \ln T_0 + (\gamma - 1) \ln(1 + \delta),
\]

(13)

where \( T_0 \) is simply the temperature of a fluid element that remains at the cosmic mean density and \( \gamma - 1 \) multiplied by \( \delta \) gives the fluctuation about the mean (the notation \( \gamma - 1 \) is chosen to agree with the common notation as in eq. [14]). The second equality holds in the small \( \delta \) limit. The power-law slope relating temperature and density for small \( \delta \) is then given by \( d \ln T / d \ln(1 + \delta) = \gamma - 1 \). Knowing that a single power-law equation of state approximately holds even for \( \delta \) not very small, \( \gamma - 1 \) is then taken to be the correct power-law slope throughout our range of interest i.e.

\[
T = T_0 (1 + \delta)^{\gamma - 1}
\]

(14)

Putting \( \delta = 0 \) into equation (11) and making use of the relation \( \dot{H} = H_0 \Omega_0^{1/2} (1 + z)^{3/2} \) where \( \Omega_0 \) is the ratio of matter density to critical density today (the expression is exact for \( \Omega_0 = 1 \) and approximately true at sufficiently high redshift; see Peebles 1993 Chapter 5), it is possible to show that
\[
\frac{da^2 T_0}{da} = T_0^{-0.7} a^{-\frac{1}{2}} B \tag{15}
\]

where \( B \) is defined by
\[
B \equiv \frac{\rho_{\text{ relic}}}{m_p} R \Omega_{\text{reion}} T_0^{0.7} \frac{\Omega_b h^2}{H_0 \sqrt{\Omega_0}} \approx 10 K^{0.7} \Omega_{\text{reion}} \left[ \frac{1}{h \sqrt{\Omega_0}} \frac{\Omega_b h^2}{0.0125} \right] \tag{16}
\]

where \( \rho_{\text{ relic}} \) is the critical density today if \( H_0 = 100 \text{ km s}^{-1} \text{ Mpc}^{-1} \), \( \Omega_{\text{reion}} \) is given by equation (10) and \( R \) is the recombination rate given in equation (12) (note that the combination \( RT^{0.7} \) is independent of temperature) and \( \Omega_b, \Omega_0, h \) and \( H_0 \) are cosmological parameters as explained in the Introduction. Note also temperature is measured in Kelvin and \( B \) has the dimension of \( K^{1.7} \), hence the factor of \( K^{0.7} \) in the last expression.

Integrating equation (15), we obtain:
\[
T_0^{1.7} = \left( \frac{a_{\text{reion}}^2 T_{\text{reion}}}{a^2} \right)^{1.7} + \frac{1.7}{1.9} a^{-\frac{3}{2}} \left[ 1 - \left( \frac{a_{\text{reion}}}{a} \right)^{1.9} \right] B . \tag{17}
\]

The initial condition is chosen such that \( T = T_{\text{reion}} \) (eq. (10) at \( a = a_{\text{reion}} \), the epoch of reionization.

The equation of evolution for \( \gamma - 1 \) can be derived from equation (11) by expanding \( T \) as in equation (13) and collecting terms that are first order in \( \delta \):
\[
\frac{d(\gamma - 1)}{da} + (\gamma - 1) \left[ \frac{\Omega_0^{0.6}}{a} + 1.7 \frac{d \ln a^2 T_0}{da} \right] = \frac{d \ln a^2 T_0}{da} + \frac{2 \Omega_0^{0.6}}{3 a} . \tag{18}
\]

In deriving the above, we have made use of the fact that the linear growth factor \( D_+ \), which controls the growth of \( \delta \) for small \( \delta \propto D_+ \) obeys the relation \( d \ln D_+/d \ln a = \Omega_0^{0.6} \), which is exact for critical matter density and approximate otherwise (Peebles 1980).

Equation (18) is a linear ordinary differential equation for \( \gamma \), which is straightforward, if somewhat tedious, to solve. Recalling that \( T_{\text{reion}} \) is independent of \( \delta \), we use the initial condition \( \gamma - 1 = 0 \) at \( a = a_{\text{reion}} \) and obtain:
\[
\gamma - 1 = \frac{1}{1.7} \left[ 1 - \left( \frac{a_{\text{reion}}^2 T_{\text{reion}}}{a^2 T_0} \right)^{1.7} \left( \frac{a_{\text{reion}}}{a} \right)^{0.6} \right] + \frac{2}{3} - \frac{1}{1.7} \left( a^2 T_0 \right)^{-1.7} \Omega_0^{0.6} C , \tag{19}
\]

where \( C \) is defined by
\[
C \equiv \frac{1.7}{1.9} B \left[ \frac{a_{\text{reion}}^{1.9}}{1.9 + \Omega_0^{0.6}} \left( 1 - \left( \frac{a_{\text{reion}}}{a} \right)^{1.9+\Omega_0^{0.6}} \right) - \frac{a_{\text{reion}}^{1.9}}{\Omega_0^{0.6}} \left( 1 - \left( \frac{a_{\text{reion}}}{a} \right)^{\Omega_0^{0.6}} \right) \right] \tag{20}
\]

where \( B \) and \( T_0 \) are defined in equations (16) and (17). The slope \( \gamma - 1 = d \ln T/d \ln (1 + \delta) \) exhibits the correct qualitative behavior found using our semi-analytical computation shown in Fig. 3. We plot in Fig. 4 the evolution of \( \gamma - 1 \) as given by equation (19) for a number
of different $a_{\text{reion}}$. An interesting consequence of the above expression for the slope is a limit as to how steep the temperature-density relation can be (or an upper limit to $\gamma - 1$). In the limit where reionization occurs very early:

$$\gamma_{\text{max}} - 1 = \frac{1}{1.7} + \frac{0.078\Omega_0}{1.9 + \Omega_0^{0.6}}.$$  

Hence, the maximum possible value for $\gamma - 1$ is 0.62 (assuming $\Omega_0 \leq 1$). This has interesting implications for the slope of the column density distribution of the Lyman alpha forest, which we will discuss in §5.

We show in Fig. 5 a comparison of the above expressions (eq. [13], [17] and [19]) with the temperature-density relation obtained by solving numerically the rate equations as outlined in Sec 2.1. The evolution of the slope is quite accurately captured by our analytical approximation in equation [19]. However, the amplitude $T_0$ predicted by equation [17] is off: the overall predicted temperature is lower than what it actually is and the predicted spread in $T_0$ from $z = 2$ to $z = 4$ is also larger than what the semi-analytical calculation shows (Fig. 3b). This is mainly because helium is not taken into account at all in the above treatment. By ignoring heating due to photoionization of helium, we systematically underestimates $T_0$ in our analytical calculation. The time evolution for $T_0$ is also not quite right for the same reason. We therefore introduce the following modification of equation [17] that gives an excellent fit to the results of the semi-analytical calculations shown in Fig. 3:

\begin{figure}[h]
\centering
\includegraphics[width=0.5\textwidth]{figure4.png}
\caption{The evolution of $\gamma - 1$ as given by equation (19) for $a_{\text{reion}} = 1/6$ (solid line), $a_{\text{reion}} = 1/8$ (dotted line) and $a_{\text{reion}} = 1/11$ (dashed line). Here $T_{\text{reion}} = 20000$ K and $\Omega_0 = 1$ in all cases.}
\end{figure}
Temperature-density relation for two sudden-reionization models: comparison of results of semi-analytical calculations (black dots) and predictions based on analytical approximations expressed in equations (13), (17), and (19) (solid, dotted and dashed lines). The model parameters are described in the caption of Fig. 3. The black dots in the left panel and right panel are exactly the same points as those in Fig. 3a and Fig. 3c respectively, i.e. sudden reionization at $z = 6$ and $z = 19$. From top to bottom for each figure, the results are shown at $z = 4, 3$, and 2 respectively for the black dots and also for the lines. Notice how the predicted slopes match quite well while the amplitudes are off. A modified version of equation (17) (eq. (22)) gives much better fit to the amplitudes and is used instead in the rest of this paper.

$$T_0^{1.7} = \left( \frac{a_{\text{reion}}^2}{a^2} \tilde{T}_{\text{reion}} \right)^{1.7} + \frac{1.7}{1.9} a^{-\frac{3}{2} + \frac{a_{\text{reion}} + 0.25}{a}} \left[ 1 - \frac{a_{\text{reion}}}{a} \right] B \quad , \quad \tilde{T}_{\text{reion}} = 25000 \text{ K} \quad (22)$$

where we have modified the power index of $a$ in the second term on the right hand side and used $\tilde{T}_{\text{reion}} = 25000$, in place of $T_{\text{reion}}$ given in equation (10). In general, a different best-fit $\tilde{T}_{\text{reion}}$ would have to be chosen for different abundances of the helium species, when one varies the spectrum of the ionizing radiation, for instance. We will return to this point later.

On the other hand, the slope of the equation of state $\gamma - 1$ is quite insensitive to the exact value of $T_{\text{reion}}$, and using the original estimate (eq. (10)) in equation (19) is sufficiently accurate.

The analytical predictions for the equation of state shown in Fig. 3 are based on this modified version of $T_0$ (eq. (22)) together with equations (13) and (19). Note that, for the case in which the universe reionizes at $z = 19$, the temperature-density relation is very close to that of the case in which the universe reionizes at $z = 10$. This turns out to be a general trend, that there is an asymptotic temperature-density relation when the universe reionizes sufficiently early. To see how this asymptotic state is approached, we show in Fig. 10 the evolution in temperature of one particular fluid element for different reionization histories. Notice how the solid line (sudden reionization at $z = 10$) and the dotted line (sudden
reionization at $z = 19$) converges. The other lines in the figure corresponds to reionization models that will be discussed later. We also find that fluid elements at other densities also exhibit the same kind of convergence towards an asymptotic state.

The expressions in equations (13), (19), and (22) are derived by ignoring processes like Compton cooling which are important at higher redshifts, if the universe is already reionized by then. Hence, the analytical expressions are not quite as accurate for $a_{\text{reion}} < 1/11$. However, we can make use of the knowledge of asymptotic behavior for early reionization models and use simply the $a_{\text{reion}} = 1/11$ result of equations (13), (19), and (22) for any models in which reionization occurs earlier than $z = 10$. This is what we have done in making Fig. 3d.

We now turn to two other interesting variations of the sudden-reionization models.

### 3.2 Variation with Cosmology

We investigate the temperature-density relations for different cosmological parameters, including the baryon density, the Hubble constant and the fraction of critical density in matter. One example is shown in Fig. 4, where $\Omega_b h^2$ has been increased by a factor of two from the value in Fig. 3. Once again, we find that a power-law equation of state gives an adequate description of the temperature-density relation. Moreover, the analytical expressions in equations (13), (19), and (22) work quite well in describing the change in amplitude and
slope. As predicted by equations (22) and (16), the overall temperature increases as $\Omega_b h^2$ is raised because the rate of photoionization heating is increased. (Recombination cooling rate is actually also increased but photoionization heating is the dominant entropy changing process.)

We have also tried other variations such as lowering $\Omega_0$. A realistic structure formation model such as the cosmological constant dominated Cold Dark Matter model with $\Omega_0 = 0.35$ and $h = 0.7$ (Kofman, Gnedin, & Bahcall 1993; Ostriker & Steinhardt 1995) does not give a very different temperature-density relation from the ones shown in Fig. 3 (using the same $\Omega_b h^2$). This can be understood from equations (22) and (16), where it is clear the overall temperature to the $1/1.7$-th power is dependent upon the combination $h\sqrt{\Omega_0}$ which only varies from 0.5 for the model in Fig. 3 to about 0.4 in the cosmological constant model.

In general, we find that equations (13), (19) and (22) give an agreement to the actual temperature-density relation to within 20% for a variety of cosmological models we have tested. A large part of this error has to do with the fact that changing $\Omega_0$ and $h$ changes the amount of time helium photoionization heating or recombination cooling has to act to make a difference to the thermal evolution. The effect of helium is what we will discuss next.

### 3.3 Variation with Amplitude and Spectrum of Radiation

The last set of tests we perform for the class of sudden reionization models is to vary the spectrum and amplitude of the ionization radiation. We find that varying the amplitude of the ionization radiation does not affect the temperature-density relation significantly, as predicted by equations (19) and (22), as long as the flux level is sufficient to maintain the universe highly ionized. This is shown in Fig. 7a and 7b, which corresponds to choosing $J_{\text{ion}} = 0.1$ and $J_{\text{ion}} = 2.0$ respectively in equation (6). A comparison of the two figures reveals a slight decrease in temperature when $J_{\text{H}_1}$ is raised, which is a result of more opportunities for recombination cooling, but the effect is very small and becomes insignificant at lower redshifts ($z = 2$).

The main effect of varying the spectrum (when fixing $J_{\text{H}_1}$ defined in eq. [5]) is that one changes the relative abundance of the different species of helium, which could have a non-negligible effect on the temperature due to recombination cooling of the ionized species and photoionization heating of neutral helium. We test this effect by varying the He II cut-off factor $f$ in equation (7). Two examples are shown in Fig. 8 where we replot the lines in Fig.
Figure 7. The effect of varying the amplitude of $J_\nu$ on the temperature-density relation. Black points in the left panel (Fig. 7a) are the result of semi-analytical calculation of sudden reionization at $a_{\text{reion}} = 1/8$ with $J_{\text{ion}} = 0.1$ (eq. [6]) while those in the right panel (Fig. 7b) has $J_{\text{ion}} = 2.0$ starting from the same $a_{\text{reion}}$. The spectrum is chosen to be the same with $f = 0.01$ (eq. [9]) for both panels. The outputs from top to bottom are at $z = 4$, 3 and 2. The same solid, dotted, and dashed lines are drawn for both panels to facilitate comparison. They are also exactly the same as the lines in Fig. 3, based on the analytical expressions in equations (13), (19), and (22), using $a_{\text{reion}} = 1/8$. The cosmological parameters in Fig. 7 are exactly the same as those in Fig. 3.

Figure 8. The effect of varying radiation spectrum on the temperature-density relation. Black dots are the results obtained by the semi-analytical calculation explained in § 2.1. The reionization and cosmological model here is, except for the spectrum, exactly the same as that in Fig. 3a where the universe reionizes suddenly at $z = 6$. The solid, dotted and dashed lines of Fig. 3a are reproduced here for comparison. Above and below the solid line at the top are two sets of points at $z = 4$ which correspond to choosing $f = 1.0$ (less Heii) and $f = 10^{-4}$ (more Heii) respectively in equation (7). The same is also true for the two sets of points surrounding the dotted line, except that they are at $z = 3$. Results are not shown for $z = 2$ because the two different $f$’s give significantly overlapping results that are well-represented by the dashed line. The amplitude $J_{\text{H}i} = 0.5$ (eq. [14]) is adopted throughout.
\[ a \] (which match the temperature-density relations for \( f = 0.01 \) quite well) together with the result of two semi-analytical calculations for \( f = 1.00 \) and \( f = 10^{-4} \) respectively. By decreasing \( f \) or the amount of radiation energetic enough to ionize He \( \text{II} \), one increases the abundance of He \( \text{II} \) offering more opportunities for recombination cooling, thereby lowering the overall temperature. The reverse happens when \( f \) is raised. The change in temperature due to this effect could be as large as 20\%, with less change as one goes to lower redshift, for a fixed reionization history. One can compensate the error in the analytical estimate of \( T_0 \) (which ignores the effect of helium) by lowering/raising \( T_{\text{reion}} \) in equation (22) as one decreases/increases \( f \) (For instance, choosing \( \tilde{T}_{\text{reion}} = 28000 \) for the \( f = 1 \) case and \( \tilde{T}_{\text{reion}} = 22000 \) for the \( f = 10^{-4} \) works reasonably well).

The power-law slope, on the other hand, does not vary significantly with the spectrum of the ionizing background. It can be seen from Fig. 8 that there is a slight decrease of slope for the corresponding redshifts as one lowers \( f \). This is due to the fact that He \( \text{II} \) recombination cooling has a stronger effect at higher density. The analytical prediction for the slope in equation (19) works best when the effects of helium is negligible, as can be seen from the better match of slopes for the \( f = 1 \) case. Nevertheless, on the whole, we find that the prediction of equation (19) for the slope is quite robust.

To summarize the results of this section, we find that the temperature-density relation at \( z \) of 2 to 4 for sudden-reionization models is well described by a power-law equation of state, with a scatter that depends on the total number of fluid elements. The overall amplitude decreases and the slope steepens as the epoch of reionization is pushed earlier; but the equation of state rapidly approaches an asymptotic limit for reionization occurring at redshifts beyond 10. Quantitative estimates for the slope and amplitude are given in equations (19) and (22). While the analytical prediction for the slope is relatively robust for various cosmologies and spectra, the prediction for the amplitude agrees with the results of the semi-analytical calculations (outlined in § 2.1) to within 20\% for reasonable range of cosmological and ionization parameters. Most of the error comes from the neglect of helium in obtaining the analytical estimate, which can be compensated if one allows \( \tilde{T}_{\text{reion}} \) to change in equation (22) according to the abundance of the helium species (Fig. 8).
The idealization of sudden reionization in the last section allows us to understand the various trends of the temperature-density relation analytically. It is quite possible that the ionizing radiation is turned on more gradually in nature. In particular, we focus on a class of models in which radiation is turned on at some early time, with an intensity large enough to heat up the intergalactic medium but small enough so that the neutral fractions remain high (large recombination rates) though slowly decreasing. This is motivated by hydrodynamic simulations (see Gnedin & Ostriker 1996) in which collapsed regions of high density (could be stars/quasars) are assumed to give out radiation. As the formation rate of these regions increases with time and the neutral fraction drops steadily, the ionizing intensity climbs until at some point, the universe becomes highly ionized (neutral fraction of hydrogen less than $10^{-4}$), thus completing the reionization process when the radiation levels off and species abundances are simply given by ionization equilibrium. The simulation shown in Fig. 1a and 2 is one such example.

To investigate the implications of these reionization models systematically, we adopt the following parametrization for the evolution of the ionizing radiation:

$$J_{H_1} = \begin{cases} J_{\text{ion}} & \text{for } a \geq a_{\text{ion}}, \\ J_{\text{heat}} \left( \frac{a}{a_{\text{ion}}} \right)^7 & \text{for } a_{\text{ion}} > a \geq a_{\text{heat}}, \\ 0 & \text{for } a_{\text{heat}} > a, \end{cases} \quad (23)$$

where $J_{H_1}$ is defined in equation (5), $a_{\text{heat}}$ and $a_{\text{ion}}$ denote the size of the Hubble scale factor at the onset of reheating and reionization. The slope $a^7$ in the second row of equation (23) comes from fitting $J_{H_1}(a)$ in Fig. 2. The spectrum of $J_{\nu}$ is assumed to have the same form as in equation (7) with $f = 0.01$ and $J_0$ chosen to match whatever $J_{H_1}$ specified above.

Before discussing the results for these models, let us point out that in Fig. 1, we have already shown an example of the temperature-density relation of a reionization-preceded-by-reheating model where $J$ varies with time as in Fig. 3. Notice how the temperature-density relation can be approximated by an equation of state resulting from a sudden reionization model (the solid line in Fig. 1). The same turns out to be true for all other reionization models we have tested, with the parametrization given in equation (23).

Two interesting examples are shown in Fig. 3. Both have the same epochs of reheating and reionization ($a_{\text{heat}}$ and $a_{\text{ion}}$). The only difference is the amount of reheating that occurs: $J_{\text{heat}}$ being 0.001 versus 0.1 in equation (23). They can both be approximately fitted with sudden reionization models (no preceding reheating period) where the epoch of sudden reionization
Figure 9. Black dots in Fig. 9a on the left show the temperature-density relation for a reionization model in which \( a_{\text{heat}} = 1/20 \), \( a_{\text{ion}} = 1/6 \), \( J_{\text{heat}} = 0.001 \) and \( J_{\text{ion}} = 0.5 \) (see eq. [23]). The three sets of points are from top to bottom at \( z = 4, 3 \) and 2. The solid, dotted and dashed lines are analytical approximations of sudden reionization models expressed in equations (13), (19), and (22), choosing \( a_{\text{reion}} = 1/8.4 \). Fig. 9b on the right, on the other hand, corresponds to the choice of parameters \( a_{\text{heat}} = 1/20 \), \( a_{\text{ion}} = 1/6 \), \( J_{\text{heat}} = 0.1 \) and \( J_{\text{ion}} = 0.5 \) in eq. [23]. The analytical approximations shown have \( a_{\text{reion}} \) equal to 1/10 in equation (13), (19) and (22). For both Fig. 9a and 9b, the cosmological model is the same as that in Fig. 3.

is chosen to lie somewhere between \( a_{\text{heat}} \) and \( a_{\text{ion}} \). The larger the amount of reheating, the earlier the epoch of reionization is required. It is also interesting to note that in Fig. 9a, the fit to the result at \( z = 4 \) is not as good as those at \( z = 3 \) or \( z = 2 \). It is because it takes some time for the universe to settle to its asymptotic state (i.e. a state which is independent of prior history, whether it be sudden reionization, gradual reionization with preheating, etc).

The same should also be true for Fig. 9b, except that because the amount of preheating is more significant, the universe, in effect, has more time to settle to its asymptotic state, hence the better agreement with sudden reionization models for all three redshifts.

To illustrate this convergence towards an asymptotic state, we show in Fig. 10 the evolution of temperature for one particular fluid element for four different reionization histories. The tendency to approach the same limiting temperature, for a given density evolution, can be clearly seen. Note how the model illustrated with a long-dashed line evolves to a temperature a little higher than the others, by \( z = 2 \). This is because of its relatively small amount of reheating (small \( J_{\text{heat}} \) in eq. [23]) which implies the universe effectively reionizes at a latter redshift, giving it less time to settle to its asymptotic state.

We have tested several other reionization models where all the parameters in equation (23) are varied systematically. The same conclusion holds for all of them, namely that a sud-
den reionization model (no preceding reheating) can always be found to fit the temperature-density relation of any reionization model with reheating, with the turn-on redshift of the former chosen somewhere between the epochs of reheating and reionization of the latter.

5 DISCUSSION

Let us summarize what we have learned so far.

We find that the temperature-density relation of the low density intergalactic medium, while having an intrinsic scatter, is well described by a mean equation of state of the form

\[ T = T_0(1 + \delta)^{\gamma^{-1}}. \]

It is shown that any reionization model with gradual radiation-turn-on produces equation of state that can be well approximated by one produced by a suitably chosen sudden turn-on model (§ 4).

In general, the mean temperature \( T_0 \) at \( \delta = 0 \) at a given redshift \( z \sim 2 - 4 \) decreases as the epoch of reionization is pushed earlier. It is only weakly dependent on the amplitude of the ionizing flux \( J_{\text{H}i} \) at \( z \sim 2 - 4 \) assuming reionization takes place at \( z \gtrsim 5 \). The spectrum of \( J_{\nu} \) can introduce about 20% change to \( T_0 \). Its main effect is on the abundances of helium species, affecting the amount of photoionization heating and recombination cool-
ing. Cosmological parameters change $T_0$ in a simple way: for sufficiently early reionization, $T_0 \propto (\Omega_b h/\sqrt{\Omega_0})^{1/1.7}$. All the dependence mentioned above are expressed in a quantitative way in equation (22), with about 20% accuracy depending on the spectrum of $J_\nu$ and cosmology.

The slope of the equation of state, $\gamma - 1$, increases with redshift and with earlier reionization epoch (eq. [19] and Fig. 4). Its evolution is only weakly dependent on cosmology. There exists a maximum as to how steep $\gamma - 1$ can get, which is about 0.62 (eq. [21]). Strictly speaking, $\gamma - 1$ can be as small as 0 right at the onset of sudden reionization, but for reionization occurring earlier than $z_{\text{reion}} \sim 5$, it is greater than 0.3 at $z = 3$; for $z_{\text{reion}} > 7$ the minimum $\gamma$ is about 0.47.

What do all these imply for the observable properties of the low column density Ly$\alpha$ forest? Firstly, for a given density (or $1 + \delta$) profile and the Hubble constant $h$, the column density it corresponds to is proportional to $\Omega_0^{2.7} T^{-0.7} J_{\text{HI}}^{-1}$. Uncertainty in the reionization history introduces about 50% uncertainty in $T$ (see Fig. 3), which in turn implies about 30% uncertainty in column density. This means an additional uncertainty in the amplitude of the column density distribution on top of that due to $\Omega_b$ and $J_{\text{HI}}$, even if one is given a known cosmological model (Hui et al. 1996).

Note that for sufficiently early reionization, the dependence $T_0 \propto \Omega_b^{1/1.7}$ implies that the column density is scaled by $\Omega_b^{2-0.7/1.7} = \Omega_b^{1.6}$, which is roughly consistent with the result of Croft et al. (1996), who found $\Omega_b^{1.7}$ scaling using hydrodynamic simulations.

The good news, on the other hand, is that the slope of the column density distribution is relatively independent of reionization history. The slope of the column density distribution (number of absorption lines per unit column density per unit redshift $\propto N_{\text{HI}}^{-\beta}$ where $N_{\text{HI}}$ is the column density) is approximately given by:

$$\beta = 1 + \frac{1}{1.68 - 0.7(\gamma - 1)} [0.96 - 2(\sigma_0 - 1)]$$

(24)

where $\sigma_0$ is the rms mass fluctuation at scales close to the Jeans length. It is close to 1 but its precise value is determined by the cosmological model (Hui et al. 1996). Taking the range of $\gamma - 1$ from 0.3 to 0.62 we have derived before and $\sigma_0 \sim 1$, the uncertainty in $\beta$ introduced by unknown reionization history is of the order of 5%.

This is a very important conclusion because the slope of column density distribution then becomes a powerful discriminant of cosmological models through $\sigma_0$. It is relatively free of uncertainties due to reionization history, or the values of $\Omega_b$ and $J_{\text{HI}}$, unlike the amplitude
of the column density distribution. The reader is referred to Hui et al. (1996) for a more detailed discussion of the predictions of various cosmological models for \( \beta \).

The temperature-density relation also has significant implications for the \( b \) parameter distribution. There exists a rather sharp lower cut-off of about \( b = 20 \text{ km s}^{-1} \) according to high resolution data of the low column density Ly\( \alpha \) observation (Hu et al. 1996). If one naively assumes the observed \( b \) is completely a result of thermal broadening \( b = \sqrt{2kT/m_p} \), one obtains a lower cut-off temperature of about 24000 K. Judging from Fig. 3, it is clear that at \( z \sim 3 \), which is the relevant redshift for these observations, unless the universe reionizes quite a bit later than \( z = 5 \), all the underdense regions (and including some overdense regions too, depending on the reionization history) have lower temperature than this cut-off. This is true even if one allows for a lot of helium photoionization heating or very little helium recombination cooling by varying the spectrum (see Fig. 8). There are a few possible resolutions: first, it is possible that the low column density objects that we observe (column density lower than about \( 10^{15} \text{ cm}^2 \)) actually correspond to higher densities \( (\delta) \), but that would imply a very high \( J_{\text{H}i} \) (higher than about 2.0) which is difficult to reconcile with observations (see Hui et al. 1996); second, it could be that the universe is not photo-reionized after all and some other mechanism is at work; third, the observed \( b \) parameter for these low column density systems is not the result of thermal broadening alone. We consider the last possibility the most likely one. As we have argued in an earlier paper (Hui et al. 1996) and was pointed out before by numerous authors (see for instance Cen et al. 1994; Hernquist et al. 1996; Miralda-Escudé et al. 1996; Zhang et al. 1995), the observed absorption profiles do not necessarily conform to the classic Voigt profile but can instead reflect more the underlying mass density profile and peculiar velocity structure. For instance, a structure collapsing perpendicular to the line of sight (thus having density enhancement to induce enhanced absorption) can have an expanding velocity component along the line of sight, thus creating a profile broader than what naive thermal broadening would predict.

Finally, let us speculate on how a fluctuating ionizing field might change the results of our paper. From Fig. 8, it is clear that a fluctuating field with inhomogeneous spectrum can certainly introduce a lot of scatter to the temperature-density relation, by giving different photoionization heating and recombination cooling rates even for fluid elements with the same density evolution. Moreover, the ionizing field can also turn on at different times for different fluid elements because of radiative transfer effects (Zuo 1992a,b). This would
introduce additional scatter because as we have seen, the earlier the reionization epoch, the lower the temperature at a given redshift.

However, we also learn that the temperature-density relation approaches an asymptotic limit if one waits long enough. For sufficiently early reionization, neither the amplitude of $J_{\text{H}_1}$ nor the reionization history (e.g., at what different times radiation turns on at different elements) affects the temperature very much, assuming $J_{\text{H}_1}$ is large enough to keep the universe reionized (see §3.3). Therefore, provided the amplitude of $J_\nu$ at the helium ionizing frequencies does not vary significantly with position at later times $z \sim 2 - 4$, the equation of state we calculate for the early reionization models should be valid, even if the amplitude of $J_{\text{H}_1}$ fluctuates at $z \sim 2 - 4$. This certainly warrants further research, which we will leave for future work.

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**APPENDIX A: IONIZATION AND RECOMBINATION RATES**

In this section we compile the ionization and recombination rates used in our calculations. We believe that this compilation is sufficiently up-to-date to reflect all recent improvements. In some of the equations below the following notation is used:

$$\lambda_j = 2 \frac{T_j^{(TR)}}{T},$$

where $T$ is the temperature, and $T_j^{(TR)}$ are ionization thresholds for species $j = \text{H}_1, \text{He}_1, \text{He}_2$ expressed in temperature units,

$$T_{\text{H}_1}^{(TR)} = 157807 \, \text{K},$$
$$T_{\text{He}_1}^{(TR)} = 285335 \, \text{K},$$
$$T_{\text{He}_2}^{(TR)} = 631515 \, \text{K}.$$

The symbol $k_B$ denotes the Boltzmann constant.

For chemical evolution, we use the symbols $RI, CI$ and $DI$ to denote hydrogen and helium recombination rates, collisional ionization rates and dielectronic recombination rates.
For thermal evolution, we use the symbols $RC$, $CC$, $DC$ and $EC$ to denote hydrogen and helium recombination cooling, collisional ionization cooling, dielectronic recombination cooling and line excitation cooling rates.

Superscripts and subscripts are added to the above symbols to distinguish between different processes within each class.

Molecular hydrogen and metal cooling are not expected to be important for the low density intergalactic medium that we are interested in (see Songaila & Cowie 1996 for a discussion of metals detected in the Ly$\alpha$ forest).

Compton cooling rates and the photoionization cross-sections are given at the end.

case A H$\text{II}$ recombination coefficient: our fit to the data from Ferland et al. (1992); accurate to 2% from 3 K to 10$^9$ K:

$$RI_{\text{H}^{\text{II}}}^A = 1.269 \times 10^{-13} \text{ cm}^3 \text{ sec}^{-1} \frac{\lambda_{\text{H}^{\text{I}}}^{1.503}}{(1.0 + (\lambda_{\text{H}^{\text{I}}}/0.522)^{0.470})^{1.923}}$$

case A H$\text{II}$ recombination cooling rate: our fit to the data from Ferland et al. (1992); accurate to 2% from 3 K to 10$^9$ K:

$$RC_{\text{H}^{\text{II}}}^A = 1.778 \times 10^{-29} \text{ erg cm}^3 \text{ sec}^{-1} \text{ K}^{-1} T \frac{\lambda_{\text{H}^{\text{I}}}^{1.965}}{(1.0 + (\lambda_{\text{H}^{\text{I}}}/0.541)^{0.502})^{2.607}}$$

case A He$\text{II}$ recombination coefficient: from Burgess & Seaton (1960); accurate to $\sim 10\%$ from 5 $\times$ 10$^3$ K to 5 $\times$ 10$^5$ K:

$$RI_{\text{He}^{\text{II}}}^A = 3.0 \times 10^{-14} \text{ cm}^3 \text{ sec}^{-1} \lambda_{\text{He}^{\text{I}}}^{0.654}$$

case A He$\text{II}$ recombination cooling rate: from Burgess & Seaton (1960); accurate to $\sim 10\%$ from 5 $\times$ 10$^3$ K to 5 $\times$ 10$^5$ K:

$$RC_{\text{He}^{\text{II}}}^A = k_B T RI_{\text{He}^{\text{II}}}^A$$

case A He$\text{III}$ recombination coefficient: our fit to the data from Ferland et al. (1992); accurate to 2% from 1 K to 10$^9$ K:

$$RI_{\text{He}^{\text{III}}}^A = 2.0 \times 1.269 \times 10^{-13} \text{ cm}^3 \text{ sec}^{-1} \frac{\lambda_{\text{He}^{\text{II}}}^{1.503}}{(1.0 + (\lambda_{\text{He}^{\text{II}}}/0.522)^{0.470})^{1.923}}$$

case A He$\text{III}$ recombination coefficient: our fit to the data from Ferland et al. (1992); accurate to 2% from 1 K to 10$^9$ K:

$$RC_{\text{He}^{\text{III}}}^A = 8 \times 1.778 \times 10^{-29} \text{ erg cm}^3 \text{ dimsec}^{-1} \text{ K}^{-1} T \frac{\lambda_{\text{He}^{\text{II}}}^{1.965}}{(1.0 + (\lambda_{\text{He}^{\text{II}}}/0.541)^{0.502})^{2.607}}$$

case B H$\text{II}$ recombination coefficient: our fit to the data from Ferland et al. (1992); accurate to 0.7% from 1 K to 10$^9$ K:
$RI_{\mathrm{H} \, \text{ii}}^B = 2.753 \times 10^{-14} \, \text{cm}^3 \, \text{sec}^{-1} \, \frac{\lambda_{\mathrm{H} \, \text{ii}}^{1.500}}{(1.0 + (\lambda_{\mathrm{H} \, \text{ii}}/2.740)^{0.407})^{2.242}}$

case B H II recombination cooling rate: our fit to the data from Ferland et al. (1992); accurate to 2% from 1 K to 10^9 K:

$RC_{\mathrm{H} \, \text{ii}}^B = 3.435 \times 10^{-30} \, \text{erg} \, \text{cm}^3 \, \text{sec}^{-1} \, \text{K}^{-1} \, T \, \frac{\lambda_{\mathrm{H} \, \text{ii}}^{1.970}}{(1.0 + (\lambda_{\mathrm{H} \, \text{ii}}/2.250)^{0.376})^{3.720}}$

case B He II recombination coefficient: from from Burgess & Seaton (1960); accurate to ∼10% from 5 × 10^3 K to 5 × 10^5 K:

$RI_{\mathrm{He} \, \text{ii}}^B = 1.26 \times 10^{-14} \, \text{cm}^3 \, \text{sec}^{-1} \lambda_{\mathrm{He} \, \text{ii}}^{0.750}$

case B He II recombination cooling rate: from from Burgess & Seaton (1960); accurate to ∼10% from 5 × 10^3 K to 5 × 10^5 K:

$RC_{\mathrm{He} \, \text{ii}}^B = k_B T \, RI_{\mathrm{He} \, \text{ii}}^B$

case B He III recombination coefficient: our fit to the data from Ferland et al. (1992); accurate to 2% from 3 K to 10^9 K:

$RI_{\mathrm{He} \, \text{iii}}^B = 2.0 \times 2.753 \times 10^{-14} \, \text{cm}^3 \, \text{sec}^{-1} \, \frac{\lambda_{\mathrm{He} \, \text{iii}}^{1.500}}{(1.0 + (\lambda_{\mathrm{He} \, \text{iii}}/2.740)^{0.407})^{2.242}}$

case B He III recombination cooling rate: our fit to the data from Ferland et al. (1992); accurate to 2% from 3 K to 10^9 K:

$RC_{\mathrm{He} \, \text{iii}}^B = 8 \times 3.435 \times 10^{-30} \, \text{erg} \, \text{cm}^3 \, \text{sec}^{-1} \, \text{K}^{-1} \, T \, \frac{\lambda_{\mathrm{He} \, \text{iii}}^{1.970}}{(1.0 + (\lambda_{\mathrm{He} \, \text{iii}}/2.250)^{0.376})^{3.720}}$

H I collisional ionization coefficient: our fit to the data from Lotz (1967); accurate to 3% from 10^4 K to 10^9 K:

$CI_{\mathrm{H} \, \text{i}} = 21.11 \, \text{cm}^3 \, \text{sec}^{-1} \, K^{3/2} \, T^{-3/2} \, e^{-\lambda_{\mathrm{H} \, \text{i}}/2} \, \frac{\lambda_{\mathrm{H} \, \text{i}}^{-1.089}}{(1 + (\lambda_{\mathrm{H} \, \text{i}}/0.354)^{0.874})^{1.101}}$

H I collisional ionization cooling rate: derived from the collisional ionization coefficient:

$CC_{\mathrm{H} \, \text{i}} = k_B T_{\mathrm{H} \, \text{i}}^{(TR)} \, CI_{\mathrm{H} \, \text{i}}$

He I collisional ionization coefficient: our fit to the data from Lotz (1967); accurate to 3% from 10^4 K to 10^9 K:

$CI_{\mathrm{He} \, \text{i}} = 32.38 \, \text{cm}^3 \, \text{sec}^{-1} \, K^{3/2} \, T^{-3/2} \, e^{-\lambda_{\mathrm{He} \, \text{i}}/2} \, \frac{\lambda_{\mathrm{He} \, \text{i}}^{-1.146}}{(1 + (\lambda_{\mathrm{He} \, \text{i}}/0.416)^{0.987})^{1.056}}$

He I collisional ionization cooling rate: derived from the collisional ionization coefficient:

$CC_{\mathrm{He} \, \text{i}} = k_B T_{\mathrm{He} \, \text{i}}^{(TR)} \, CI_{\mathrm{He} \, \text{i}}$
Table A1. Photoionization cross-section parameters

| Species | \( E_0 \) (eV)  | \( \sigma_0 \) (cm\(^2\)) | \( P \) | \( y_b \) | \( y_w \) | \( y_0 \) | \( y_1 \) |
|---------|-----------------|-----------------------------|-------|--------|-------|--------|-------|
| \( \text{H}_\text{i} \) | \( 4.298 \times 10^{-14} \) | \( 5.475 \times 10^{-14} \) | 2.963 | 32.88 | 0 | 0 | 0 |
| \( \text{He}_\text{i} \) | \( 1.361 \times 10^4 \) | \( 9.492 \times 10^{-16} \) | 3.188 | 1.469 | 2.039 | 0.4434 | 2.136 |
| \( \text{He}_\text{ii} \) | \( 1.720 \) | \( 1.369 \times 10^{-14} \) | 2.963 | 32.88 | 0 | 0 | 0 |

\( \text{He}_\text{ii} \) collisional ionization coefficient: our fit to the data from Lotz (1967); accurate to 3% from \( 10^4 \) K to \( 10^9 \) K:

\[
CI_{\text{He}_\text{ii}} = 19.95 \, \text{cm}^3 \, \text{sec}^{-1} \, K^{3/2} T^{-3/2} e^{-0.75 \lambda_{\text{He}_\text{ii}}/2} \left( 1 + \left( \frac{\lambda_{\text{He}_\text{ii}}}{0.553} \right)^{0.735} \right)^{1.275}
\]

\( \text{He}_\text{ii} \) collisional ionization cooling rate: derived from the collisional ionization coefficient:

\[
CC_{\text{He}_\text{ii}} = k_B T_{\text{He}_\text{ii}}^{(TR)} CI_{\text{He}_\text{ii}}
\]

\( \text{He}_\text{ii} \) dielectronic recombination coefficient: from Aldrovandi & Pequignot (1973); accurate to \( \sim 5\% \) from \( 3 \times 10^4 \) K to \( 10^6 \) K:

\[
DI_{\text{He}_\text{ii}} = 1.90 \times 10^{-3} \, \text{cm}^3 \, \text{sec}^{-1} \, K^{3/2} T^{-3/2} e^{-0.75 \lambda_{\text{He}_\text{ii}}/2} \left( 1 + 0.3 e^{-0.15 \lambda_{\text{He}_\text{ii}}/2} \right)
\]

\( \text{He}_\text{ii} \) dielectronic recombination cooling rate: derived from the dielectronic recombination coefficient:

\[
DC_{\text{He}_\text{ii}} = 0.75 k_B T_{\text{He}_\text{iii}}^{(TR)} DI_{\text{He}_\text{ii}}
\]

\( \text{H}_\text{i} \) line excitation cooling rate: from Black (1981) with the correction from Cen (1992); accurate to \( \sim 10\% \) from \( 5 \times 10^3 \) K to \( 5 \times 10^5 \) K:

\[
EC_{\text{H}_\text{i}} = 7.5 \times 10^{-19} \, \text{erg cm}^3 \, \text{sec}^{-1} e^{-0.75 \lambda_{\text{H}_\text{i}}/2} \frac{1}{1 + (T/10^5 \, \text{K})^{1/2}}
\]

\( \text{He}_\text{ii} \) line excitation cooling rate: from Black (1981) with the correction from Cen (1992); accurate to \( \sim 10\% \) from \( 5 \times 10^3 \) K to \( 5 \times 10^5 \) K:

\[
EC_{\text{He}_\text{ii}} = 5.54 \times 10^{-17} \, \text{erg cm}^3 \, \text{sec}^{-1} \left( \frac{1 \, \text{K}}{T} \right)^{0.397} e^{-0.75 \lambda_{\text{He}_\text{ii}}/2} \frac{1}{1 + (T/10^5 \, \text{K})^{1/2}}
\]

Compton heating/cooling term in the equation (2) is given by the following expression (Peebles 1993), which is exact in the nonrelativistic limit:

\[
\frac{dQ_{\text{Compton}}}{dt} = 6.35 \times 10^{-41} \, \text{erg cm}^{-3} \, \text{s}^{-1} \, K^{-1} \Omega_b h^2 \tilde{X}_e (1 + z)^7 (2.726 \, \text{K}(1 + z) - T).
\]

Photoionization cross-sections are taken from Verner et al. (1996) in the following form:

\[
\sigma(E) = \sigma_0 \left[ (x - 1)^2 + y_w^2 \right] \frac{y^{0.5 P - 5.5}}{(1 + \sqrt{y/y_a}) P},
\]
where $x = E/E_0 - y_0$ and $y = \sqrt{x^2 + y_0^2}$. Quantities $\sigma_0$, $E_0$, $y_\mathrm{w}$, $P$, $y_a$, $y_0$, and $y_1$ are fitting parameters and are given in the Table [A]. They are accurate to within 10% from the respective ionization thresholds to 5 keV.

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