Cognitive Impairment and Rehabilitation Strategies After Traumatic Brain Injury

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ABSTRACT

Traumatic brain injury (TBI) is among the significant causes of morbidity and mortality in the present world. Around 1.6 million persons sustain TBI, whereas 200,000 die annually in India, thus highlighting the rising need for appropriate cognitive rehabilitation training strategies. This literature review assesses the current knowledge of various cognitive rehabilitation training strategies. The entire spectrum of TBI severity; mild to severe, is associated with cognitive deficits of varying degree. Cognitive insufficiency is more prevalent and longer lasting in TBI persons than in the general population. A multidisciplinary approach with neuropsychiatric evaluation is warranted. Attention process training and tasks for attention deficits, compensatory strategies and errorless learning training for memory deficits, pragmatic language skills and social behavior guidance for cognitive-communication disorder, meta-cognitive strategy, and problem-solving training for executive disorder are the mainstay of therapy for cognitive deficits in persons with TBI. Cognitive impairments following TBI are common and vary widely. Different cognitive rehabilitation techniques and combinations in addition to pharmacotherapy are helpful in addressing various cognitive deficits.

Key words: Cognitive impairment, cognitive rehabilitation, traumatic brain injury

INTRODUCTION

Traumatic brain injury (TBI) is a steadily rising public health concern and one of the significant causes of morbidity and mortality in India. Around 10 million people sustain TBI worldwide annually. The recent global status report on road safety by the World Health Organization, 2013 has clearly highlighted the existing and growing enormity of this problem across the world and has emphasized on the outmost need for well-designed and evaluated programs in prevention, management, and rehabilitation. As India continues to progress to greater urbanization with rapid development in terms of motorization, incidence of TBIs will increase significantly. Data from an
epidemiological study undertaken in Bengaluru have shown incidence, mortality, and case fatality rates of 150/100,000, 20/100,000 and 10%, respectively.[3] An estimated 2 million people sustain brain injuries with nearly a million requiring rehabilitation services at the national level.[3]

Persons, who survive TBI, end up with chronic disability.[11] It significantly impacts on an individual’s life, in terms of cognitive, behavioral, psychosocial and physical factors, and vocational issues.[6] Among them, cognitive disabilities are often the most disabling and distressing for the affected persons, family members, and the society. Cognitive deficits can significantly impair activities of daily living (ADL), employment, social relationships, recreation, and active participation in the community.

TBI is classified as mild, moderate, and severe depending on the level of consciousness, particularly duration of coma and posttraumatic amnesia (PTA).[4,5] In moderate to severe TBI, cognition appears to be markedly impaired around 1-month postinjury[6] or shortly after resolution of PTA.[7,8] Cognitive impairments persisting even after 3 months were found to be associated with higher frequency disability.[9] In moderate to severe TBI, cognitive recovery does not return to baseline even after 2 years of injury. In contrast, the cognitive recovery tends to be rapid in patients with mild TBI, returning almost to “normal baseline functioning” within 3 months.[10,11]

Literature and studies have reported that effective cognitive rehabilitation interventions initiated post-TBI enhance the recovery process and minimize the functional disability. Hence, it is necessary to have a proper guideline for the cognitive rehabilitation of traumatic brain injured persons with multiple cognitive impairments. This article has been adapted from various literatures and outlines briefly the commonly encountered cognitive deficits following TBI. It also provides a summary of effective rehabilitation strategies for the cognitively impaired persons.

OBJECTIVE

Objectives of this study were to discuss the various cognitive training strategies for persons with TBI with cognitive deficits and to aid in establishing the appropriate technique for cognitive rehabilitation in persons TBI sequelae.

METHODOLOGY

An online literature search using the terms attention, memory, language, cognition, communication, executive, problem solving, reasoning, remediation, training, rehabilitation, and in the Medline and EMBASE databases was conducted. A total of 99 studies on cognitive rehabilitation were assessed and evaluated.

Common cognitive impairments

TBI can cause a plethora of cognitive impairments. Table 1 shows the common cognitive impairments following TBI. Arciniegas et al.[12] have reported that posttraumatic attention, memory deficits, and disturbances in executive functioning are the most commonly encountered neurocognitive deficits. Attention and memory deficits may exacerbate or cause additional disturbances in executive function, interpersonal communication skill, and other complex cognitive functions.

Cognitive assessment

A detailed neuropsychiatric assessment, to assess the residual cognitive abilities and disabilities of the TBI person, is necessary before starting of cognitive rehabilitation. In addition, repeat neuropsychological assessments, at a regular interval, are necessary to evaluate the effectiveness of ongoing treatment. Table 2 shows the commonly used standardized assessment scales for neuropsychological assessments for cognitive function.

As a caveat, an improvement in the neuropsychological test does not necessarily mean that the patient has improved in functional ADL contemporaneously. Hence, assessment for functional outcome measurement tools (functional independence measure [FIM], Disability Rating Scale [DRS]) to live independently and to return to work, should be considered when attempting to plan appropriate cognitive rehabilitation programs for survivors of TBI. It is evident from literature[15-17] that the neuropsychological test results, as measures of cognitive ability, have been found to correlate significantly with functional outcome measures (e.g., FIM and DRS). Hanks et al.[17] reported that cognitive performances, measured on neuropsychological

| Table 1: Common cognitive impairments following TBI |
|-----------------------------------------------|
| Cognitive Impairments following traumatic brain injury |
| Impaired attention                           |
| Decreased concentration                     |
| Easy distractibility                        |
| Impaired visual spatial conceptualization   |
| Slow verbal/visual information processing   |
| Impaired memory                             |
| Communication disorder                      |
| Poor judgment                               |
| Poor executive function                     |

TBI – Traumatic brain injury
Barman, et al.: Cognitive rehabilitation after traumatic brain injury

Motor function describes attention as

Standard assessment scales

Motor function

Attention-visual and auditory attention[13,14]
Learning and memory[9,13]
Language[13]
Executive function[9,13]
General intellectual function[13]
Psychomotor function[13]

Table 2: Commonly used standardized neuropsychological assessment scale

| Cognitive domains                          | Areas of assessment                                                                 | Standard assessment scales                                                                 |
|-------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| Attention-visual and auditory attention   | Orientation, concentration, vigilance, mental speed and attention, distractibility, working memory and multitasking | Visual attention - CCPT-II, symbol digit modalities test (written and oral)  
Auditory Attention - PASAT, WAIS-III |
| Learning and memory[9,13]                 | Explicit/implicit and verbal/visual memory, working memory                           | Visual learning and memory - CVMT  
Verbal learning and memory - CVLT  
Working memory - Digit span backward, letter number sequencing from WAIS-III |
| Language[13]                              | Language comprehension and understanding naming, receptive vocabulary and verbal fluency | Multilingual Aphasia Examination Token Test, Boston Naming Test, Peabody Picture Vocabulary Test, Controlled Oral Word Association Test |
| Executive function[9,13]                  | Planning, goal setting, monitoring performance and flexibility                        | Wisconsin Card Sorting Test, Stroop test, backwards spatial and digit span test |
| General intellectual function[13]         | Overall mental function                                                              | Wechsler Adult Intelligence Scale, Wechsler Intelligence Scale for children, Wechsler Abbreviated Scale of Intelligence |
| Psychomotor function[13]                  | Motor function                                                                       | Grooved pegboard, Purdue pegboard, finger tapping test |

CCPT-II – Conners’ Continuous Performance Test-II; PASAT – Paced Auditory Serial Attention Test; WAIS-III – Wechsler Adult Intelligence Scale-III; CVMT – Continuous visual memory test; CVLT – California verbal learning test

assessments, better predicted 1-year outcomes than functional measures. Similarly, Neese et al.[16] demonstrated that neuropsychological performances in intellectual, academic, executive, and visuo-perceptual domains correlated significantly with DRS scores and hence, suggested that cognitive assessments could predict the level of function throughout the rehabilitation process.

Cognitive rehabilitation

The goal of cognitive rehabilitation following TBI is to enhance the persons’ ability to process and interpret information and to improve the person’s ability to perform mental functions. Silver et al.[18] reported that cognitive rehabilitation is best suited for well-motivated and functionally independent persons with mild to moderate cognitive impairments.

Cognitive rehabilitation cannot be seen as a “stand alone” therapy for persons with cognitive deficits. It has always shown more benefits when administered as the part of the multidisciplinary/interdisciplinary approach. Multidisciplinary team approach encompasses physician, neuropsychologists, speech-language pathologists, occupational therapists, physical therapist, and social workers.

Cognitive rehabilitation consists of diverse interventions; however, there is a consensus in literature that cognitive rehabilitation has to be tailored to individual needs.[3] Studies have divided cognitive rehabilitation therapy into two components: Restorative and compensatory approach.[5] The restorative approach aims at reinforcing, strengthening, or restoring the impaired skills. It includes the repeated exercise of standardized cognitive tests of increasing difficulty, targeting specific cognitive domains (e.g., selective attention, memory for new information). Compensatory approach teaches ways of bypassing or compensating for the impaired function.[5]

Various authors[3,19] have reported the effective use of assistive technologies (AT), calendars, electronic memory devices, alarms, or reminders as compensatory techniques.

Pharmacotherapy based on two principles, catecholaminergic and cholinergic augmentation has been found to be a useful adjunct in cognitive rehabilitation.[18]

Attention

Tsousides and Gordon[13] describes attention as a “complex mental activity that refers to how an individual receives and begins to process internal and external stimuli.” Attention deficits are more commonly encountered in persons with severe TBI, and may include difficulties in sustained attention/ concentration, delayed reaction time, distractibility, decreased processing speed, and impaired dual or multitasking (e.g., walking and talking).[13,20]

Attention can be improved significantly with a specific skill training after acquired brain injury.[21] Attention process training (APT)[13] is a direct attention training program, intended to be restorative, has been designed to improve visual and auditory attention. APT targets five components of attention: Focused attention, sustained attention, selective attention, alternating attention, and divided attention. The training program consists of tasks with a hierarchical progression of increasing attention demands, graduating from simple to complex distractors.[13]

APT can significantly improve complex attention.[22] Sohlberg and Mateer[22] noted traumatic brain injured persons, who were undergoing APT, performed better in the Paced Auditory Serial Addition Test, Stroop.
Test, and the Trail Making Test. Tiersky et al.,[23] in his randomized control trial, has demonstrated that mild to moderate brain injured persons, who were receiving cognitive remediation and cognitive behavioral psychotherapy, performed better in divided auditory attention. Compared to the control group, the treatment group showed significantly improved emotional functioning, reduced psychological distress. Cognitive remediation program included direct attention training and compensatory strategy training with memory notebook and problem-solving strategies. Westerberg et al.[24] noted significant improvements in attention in brain injured persons, who were undergoing direct attention training, an automated, and computerized training program (computer software) for 5 weeks. A comprehensive review article by Cicerone et al.[25] has recommended direct attention training and metacognitive training for TBI persons with attention deficit. Metacognitive training targets the development of the compensatory strategy. However it is noteworthy, that there is not enough evidence to differentiate the effectiveness of specific attention training during the acute stage versus gains that occur from spontaneous recovery or general cognitive interventions.[26]

Pharmacotherapy
Studies[1,22] have shown that treatment with amantadine, if started within the first few days following TBI, improves the arousal and accelerates the rate of functional recovery and ultimately improves attention, visuospatial function (constructional praxis), executive function, and general cognitive function of persons with TBI. Similarly, few studies[28-30] have reported that methylphenidate may improve hypoarousal, attention and processing speed, and general cognitive function. Although methylphenidate was found to improve cognitive functions in several studies the results were conflicting, which until date does not have enough evidence to support its usage among moderate to severe brain injury patients. Though, computer-assisted strategies have been found to be useful to improve overall general cognitive functioning, attention, memory, and executive skills as a whole.

There is strong evidence supporting the use of external memory aids in compensating the memory impairments in TBI persons. Compensatory strategy training, including internalized strategy training (e.g., visual imagery) and external memory compensations (e.g., memory notebooks, AT tools), is found to be effective in mild memory impairments after TBI.[25] A memory notebook usually includes section of orientation (injury related information), memory log, calendar, to-do lists, transportation (maps, public transportation schedule, and taxi phone numbers), a feelings log, names, etc.[13] AT tools encompass portable electronic devices, personal computers, personal digital assistants, voice recorders, pagers, etc.[13]

Studies[25,34-37] have mentioned that errorless learning (EL) is another useful strategy for teaching specific information or procedures. EL technique facilitates compensatory strategies training targeting personally relevant memory problems, such as taking medications at meal time, or keeping keys in a consistent location. Dou et al.[34] have shown that TBI persons with memory impairment, who were undergoing a computerized assisted or therapist-assisted EL program, performed better scoring in neuropsychological tests after memory training compared to no treatment control group.

Computer assisted training is useful for improving general cognitive functioning. It has been found to have several benefits such as allowing flexibility in retraining procedures, programs that can be customized for individuals, and finally it reduces the direct time a therapist needs to be with a patient. Several studies have shown computer-assisted strategies to improve attention, memory, and executive skills.[38-40]

Pharmacotherapy: Zhang et al.[41] reported that donepezil, a centrally-selective acetylcholinesterase inhibitor, may improve attention and memory impairments during the subacute postinjury period. Another drug, rivastigmine, which is an acetylcholinesterase and butyrylcholinesterase inhibitor, (3-6 mg/day) is safe and well tolerated and may improve attention and working memory.[42] Neurobehavioral Guidelines Working Group[43] has recommended the use of donepezil (5-10 mg daily) and rivastigmine (3-6 mg daily) to enhance attention and memory for persons with moderate to severe TBI during subacute and chronic periods of recovery[18] Citicholine (cytidine diphosphate choline) though termed as a neuroprotective agent, has not been found to have a significant effect on functional
and cognitive recovery. However, Levin\cite{44} in his study reported that citicholine may reduce postconcussive symptoms and improve cognition memory during the early period after mild to moderate TBI. In contrast, Zafonte et al.\cite{45} did not find any significant improvement in cognition and functional status even after 90 days trial of citicholine in traumatic brain injured persons. Similarly, Tj et al.\cite{46} also did not find any difference in the quality of life in brain injured persons after trial of citicholine.

**Visuospatial perception**

Visuospatial perception changes such as unilateral neglect, impairments of body scheme, and constructional skills are common in severe TBI persons.\cite{47} Agnosia and apraxia are not uncommon. When such deficits combine with cognitive impairments, they have a significant impact in rehabilitation participation and ADL along with posing as a safety concern.

Using visuospatial cues to direct attention to the areas of residual vision, in vision restoration therapy (VRT), some improvement in vision in persons with visual field defect has been documented. It has the potential to enhance neural plasticity and ultimately increase conscious visual perception.\cite{48} Similarly, Mueller et al.\cite{49,50} showed that VRT improves visual functions in persons with central nervous system disorders. Pizzamiglio et al.\cite{51} used spatial scanning with optokinetic stimulation in patients with the hemineglect disorder, but it failed to show any additional benefit in their performance. A study by Cicerone et al.\cite{25} has found visual scanning training, isolated microcomputer exercises, and electronic technologies to be useful. Likewise, prism adaptation has also been found to be useful in gaze abnormalities.\cite{52} Nonconfrontive, behavioral therapy approaches have been reportedly beneficial in anosognosia. Anosognosia (impaired self-awareness or denial) is a very common and serious consequence of brain injury. Brain-injured persons with anosognosia face difficulties in the adoption of compensatory strategies, which ultimately comes in the way of rehabilitation.\cite{53} Virtual reality game\cite{54,55,56,57} has been found to improve self-awareness and some attention factors. However, pharmacotherapy has not found to be any role for visual perceptual impairments.

**Language and communication**

Communication is very complex and involves processing of both verbal and nonverbal information. Language and communication disorder in the TBI can be categorized into four main groups: Apraxia, aphasia, dysarthria, and cognitive communication disorder. Apraxia is the inability to carry out a motor act despite intact motor and sensory pathways.\cite{20} An apraxia in brain injured persons has been found of three types: Ideomotor, ideational, and constructional apraxia.\cite{20} The type of speech and language impairment is dependent on the extent and location of the brain injury. Broca’s aphasia (26.49%) is the most common type, followed by anomic aphasia (19.6%), and transcortical motor aphasia (15.6%).\cite{58} Dysarthria along with swallowing deficits has been reported, affecting respiration, phonation, resonance, articulation, and prosody.\cite{59} Cognitive communication disorder or inappropriate communication following TBI may impair social interacting and reintegrating which can ultimately lead to frustrating or embarrassing experiences.\cite{20,60} Persons with TBI can suffer from delayed word recall to reduced emotion while communicating with others. They find difficulty specially in word finding and language processing.\cite{62} Brain-injured persons show impairments in self-focused conversation and in interpreting linguistic humor.\cite{63}

Language functions are significantly associated with the functional and cognitive status of the brain injured persons.\cite{64} Speech and language therapy, including constraint-induced aphasia therapy (CIAT),\cite{65-67} computer-assisted therapy,\cite{68-71} melodic intonation therapy,\cite{72-74} and neurostimulation techniques like transcranial direct current stimulation (tDCS),\cite{75} have been found to improve dysarthria and aphasia in acquired brain injured persons. The principle behind CIAT is massed practice, with language tasks of increasing difficulty and using constraint of compensatory (nonverbal) communication strategies.\cite{65}

The Lee Silverman voice treatment (LSVT) has been found to improve loudness, sustained phonation and connected speech, word and sentence intelligibility in persons with dysarthria following brain injury.\cite{76} The focus of the LSVT treatment is on respiratory, laryngeal muscles, and articulatory function to improve the speech clarity by graded exercises. Studies\cite{25,77,78} have reported that pragmatic language skills, social behaviors, and cognitive training along with psychotherapy for emotional adjustment, can significantly improve the social communication skill of the traumatic brain injured persons. Similar results were reported by McDonald et al.\cite{78} on acquired brain injury persons, which predominantly involved persons with TBI. Group-based interventions\cite{23} and specialized computer and internet training material\cite{20,79} were found to be additional useful methods of rehabilitating social communication skills after TBI. Bornhofen and McDonald\cite{80} suggested that EL and self-instruction training both can improve in emotional perception abilities of TBI persons and indirectly can improve communication with the general population.
For those with apraxia, studied by Smania et al.,[81] showed that gesture production exercises helped in addition to improvement in their ADL functioning. The gesture production exercises were made up “transitive, intransitive-symbolic, and intransitive-nonsymbolic gestures.”[81,82] In those undergoing gesture production exercises, achieved significant improvement in neuropsychological tests including ideomotor and ideational apraxia tests.[82]

**Executive function**

Executive function can be defined as the mental capacity to “engage successfully in independent, purposive, self-serving behavior.”[83] Executive function allows the person to plan or set goals, initiate behavior, solve problems, anticipate consequences, monitor performance, and respond flexibly and adaptively. Impairments in executive functions may include an inability to perform these cognitive processes and impede daily activities.

A number of studies[84,85] have reported metacognitive strategy training (directed at improving self-monitoring and self-regulation) are more effective compared to conventional rehabilitation in improving posttraumatic executive dysfunction. Metacognitive strategy training helps to assess individual’s performance and reduces or prevents errors by structured and repetitive cueing, or by encouraging repeated assessment and self-monitoring. Complex tasks can be broken into smaller steps and directly teaching individuals using step-by-step procedures. Cicerone et al.[25] have also mentioned that metacognitive strategy training facilitates the treatment of attention, memory, language deficits, and social skills.

Besides the metacognitive training, problem-solving training (PST)[87] and goal management training[88] have shown favorable outcome in posttraumatic executive function. Hewitt et al.[89] have reported that autobiographical memory queuing can improve the performance on planning tasks and can be an effective component of PST. Charters et al.[90] have recommended the use of electronic reminder systems to help daily functioning for acquired brain injured persons.

**Pharmacotherapy**

Dopaminergic agents bromocriptine and amantadine have been found to improve executive function of brain injured persons. McDowell et al.[91] have reported that bromocriptine can improve “cognitive initiation” (i.e., diminished motivation or apathy) in the late postinjury period. Persons who were taking a low dose of bromocriptine (2.5 mg/daily) performed better on tests of executive functioning.[91] Kraus and Makij[1] in their case series recorded positive response in executive functioning with amantadine (maximum dose was given 400 mg/day). Amantadine is also an N-methyl D-aspartate glutamate receptor antagonist, protect neural cells against excitotoxicity.[92]

**Cognitive behavior therapy and family therapy**

TBI can also cause a tremendous impact on emotional, behavioral stability, and self-confidence of the injured persons. Primary caregivers of persons with TBI undergo a lot of emotional stress and burden. Albert et al.[93] showed that low-cost social work liaison intervention offered benefit in reducing the caregivers burden and improving satisfaction. A study done by Sinnakarupan et al.,[94] showed that an educational program, given to caregivers and family members of a TBI, helps in reducing their distress and coping abilities.

Behavioral changes, very common after traumatic brain injuries, usually include anger, depression, agitation, and verbal or physical aggression. Emotional stability is primarily necessary, otherwise the person with TBI is unable to attend to participate and benefit from the cognitive rehabilitation processes. Psychotherapy (individual, as well as group psychotherapy) stresses on emotional, and behavioral therapy, which ultimately facilitate the training of cognition-specific interventions. Studies have shown some benefit of coping skills training and anger management in reducing aggression.[95] In a study conducted by Baker et al.,[96] music therapy has shown some promise in improving mood and anger. Pharmacotherapy also aids in the management of behavioral issues, although discussion of this in details is beyond the scope of this article.

**Noninvasive brain stimulation**

Demirtas-Fatlidede et al.[97] have reported that various forms of noninvasive brain stimulation (NBS) techniques including transcranial magnetic stimulation and tDCS hold promise of diagnostic and therapeutic utility to enable functional restoration in TBI. In their review, they conclude that NBS may have positive changes in mood, visuospatial functions, language and working memory, and/or executive functions. Villamar et al.[98] reported evidence from animal and human studies, revealing potential benefit of NBS in enhancing plastic changes to facilitate learning and recovery of function. However, they caution that this evidence is mainly theoretical and recommend further studies for establishing definitive role of NBS in TBI.

**Comprehensive holistic rehabilitation program**

Cicerone et al.[99] found TBI persons who were undergoing comprehensive holistic neuropsychological rehabilitation achieved greater improvements in community functioning compared to those who received conventional rehabilitation. Comprehensive holistic
rehabilitation programme (CHRP), a combination of therapeutic services, includes individual and group therapies, psychotherapy, psychoeducation, and family therapy. The holistic neuropsychological intervention stress on metacognitive and emotional regulation technique for cognitive deficits, emotional difficulties. CHRPs facilitate skill transfer and generalization, behavioral and affective regulation, and community integration.[99]

CONCLUSION

Cognitive deficits following TBI are common and lead to significant disability and morbidity. Our review study highlights the effectiveness of rehabilitation in reducing the impact of brain injury related cognitive impairments. Novel techniques have emerged and are further evolving.

On the other hand, it must be kept in mind that rehabilitation not only encompasses cognitive limitations but also physical and psychosocial issues. Although evidence regarding the efficacy of pharmacotherapy in persons with cognitive and behavioral deficits due to TBI is scarce, they may be used to support recovery.

The complexity and the heterogeneous nature of brain injuries make it difficult to standardize treatment and rehabilitation. The development of guidelines is hindered by inadequate sample sizes and lack of appropriate comparison groups for clinical rehabilitation research. Further studies are required to arrive at the evidence based treatment protocol for cognitively impaired persons due to TBI. In a developing country like India, focus should be directed toward rehabilitation interventions that are not only effective and easily applicable but are also low cost. Increasing public awareness and attention will lead to further research and better advocacy.

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