Quantum and classical dynamics of a Bose-Einstein condensate in a large-period optical lattice

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We experimentally investigate diffraction of a 87Rb Bose-Einstein condensate from a one-dimensional optical lattice. We use a range of lattice periods and timescales, including those beyond the Raman-Nath limit. We compare the results to numerical solutions of the Gross-Pitaevskii equation and classical calculations, with quantitative and qualitative agreement, respectively. The classical calculations predict that the envelope of the time-evolving diffraction pattern is shaped by caustics: singularities in the phase-space density of classical trajectories. This behavior becomes increasingly clear as the lattice period grows.

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I. INTRODUCTION

Modern atom optics [1,2], in particular the diffraction of atoms by standing waves of light [3,4] provides a dramatic demonstration of the wave nature of atoms. The advent of Bose-Einstein condensates (BECs), with their extremely narrow momentum distribution, has made resolving diffractive components straightforward in these systems [5,6]. Most atom diffraction experiments with BECs focused on the regime where the diffracting standing wave has relatively few “bound” states [7] or where the diffraction produces relatively few diffraction orders. Under such conditions, the wave nature of the atoms is essential for describing the behavior of the system. By contrast, when the optical potential has many bound states the quantum system can be well described by classical particle trajectories. This latter regime has been theoretically investigated with such trajectories [8], as well as from a matter wave perspective [9,10].

In 1994, Janicke and Wilkens calculated the diffraction of cold atoms from a lattice potential and predicted a dramatic collapse and revival of the number of diffracted orders as the atoms coherently evolve in the lattice [10]. Here we present the first experimental observation of this collapse and revival in both the quantum and classical regimes. The envelope of the time-evolving diffraction pattern for a sinusoidal potential is dominated by caustics: singularities in the phase-space density of classical trajectories [8]. We investigate the quantum and classical regimes of atom wave diffraction by applying an optical standing wave (an optical lattice) to a BEC, and measuring the time evolution of the momentum distribution for a range of lattice periods.

While the phenomenon of atom diffraction is quantum mechanical, some early experiments used a classical trajectory approach to describe the observed channeling [11] and focussing [12,13] of atoms by optical standing waves. These experiments used thermal beams of atoms with a large momentum spread and measured the atomic position distribution within the standing wave. Other experiments have used an optical standing wave to diffract laser-cooled atoms and observed the growth and subsequent collapse of the width of the diffraction pattern in the quantum regime [6,14]. Later, the collapse and revival of a few diffraction orders was observed in the diffraction of a BEC from a lattice with only a few bound states [15]. Here we extend this earlier work by measuring the time evolution of a BEC’s momentum distribution in lattice potentials for a range of lattice periods (see Fig. 1). We observe several collapses and revivals in both quantum and classical regimes. We compare our results to the predictions of a single-particle numerical solution of the Gross-Pitaevskii (GP) equation and find excellent agreement. We also develop a classical model which reproduces essential features of our data and provides physical insight into the evolution of the momentum distribution.

II. EXPERIMENTAL PROCEDURE

We create a static one-dimensional (1D) optical lattice at the intersection of two laser beams. In the plane-wave approximation, the electric field of each beam is \( \hat{E} = \hat{e} E_0 e^{i(k_0r - \omega t)} + \text{c.c.} \) where \( \hat{e} \) is the polarization vector. The combined electric field for both beams creates an optical potential for the atoms given by

\[
U(z) = U_0 \sin^2(\kappa_L z).
\]

For a two-level system \( U_0 = \hbar \Omega_0^2 / \delta \), and \( \kappa_L = |\vec{k}_1 - \vec{k}_2| / 2 \) is one-half of the magnitude of the reciprocal lattice vector, \( M \) is the atomic mass, \( d \) is the lattice period, \( \Omega_0 \) is the resonant, single-beam Rabi frequency, and \( \delta < 0 \) is the detuning of the laser from atomic resonance (In this experiment, \( U_0 \) is nominally 30 \( E_R \) where \( E_R = \hbar^2 k^2 / 2M \) is the single-photon recoil energy.). We vary \( \kappa_L \) by changing the angle between \( \vec{k}_1 \) and \( \vec{k}_2 \) and define \( \vec{z} \) parallel to \( \vec{k}_2 \). Near the energy minimum of each lattice site, the potential is nearly harmonic, \( U_{\text{pot}}(z) = M \omega_0^2 z^2 / 2 \), where \( \omega_0^2 = 2 U_0 \pi^2 / M d^2 \). We choose the detuning \( |\delta| = \Omega_0 \Gamma \) (where \( \Gamma \) is the natural linewidth of the atomic transition) so spontaneous emission is negligible for our experiment durations.

We produce a nearly pure BEC with \( N_{\text{tot}} = 5 \times 10^4 \) to 14 \( \times 10^4 \) atoms in the \( F, m_F = (1, -1) \) hyperfine state of \( ^{87}\text{Rb} \) [16]. For the experiments described below, the Ioffe-
Pritchard trap confinement is reduced such that $\nu_2 = 8.2$ Hz in the weak direction and $\nu_3 = \nu_4 = 24$ Hz in the tight directions. The optical lattice is suddenly applied to the magnetically trapped atoms for a time $T_{\text{pulse}}$. The lattice periods $d$ used here, along with other relevant experimental parameters, are listed in Table 1.

The lattice beams, linearly polarized perpendicular to the plane defined by the crossed beams, derive from a Ti:Sapphire laser operating at $\lambda = 810$ nm (detuned below both $5S \rightarrow 5P$ transitions at 795 and 780 nm). We constructed an “accordion” lattice allowing us to continuously vary the period of the diffracting potential. Rotation of a galvanometer-controlled mirror causes the relative angle of the two beams to change while maintaining their intersection at the BEC. The $e^{-2}$ radius of each beam is $\approx 200$ $\mu$m. The lattice is turned on abruptly ($\approx 500$ ns) to its nominal depth of $30 E_R$, held constant for a variable time $T_{\text{pulse}}$ and then turned off abruptly. This constitutes a “pulse” of the lattice potential. Immediately after the pulse, we release the atoms by turning off the magnetic trap in $\approx 250$ $\mu$s. After the atom

![Diagram](image)

FIG. 1. (Color) Concatenated absorption images of diffraction patterns, showing the evolving momentum distribution at four lattice periods $d$. The momentum is scaled by $k_{\text{max}} = z_{\text{max}} E_R$ where $z_{\text{max}}$ is the measured maximum amplitude of the diffraction pattern after time of flight. The calculated initial Thomas-Fermi radius in the lattice direction, $\hat{z}$, ranges from 26 $\mu$m to 29 $\mu$m, and in the transverse directions, from 6 $\mu$m to 10 $\mu$m. The numerical solutions of the GP equation were convolved with initial system sizes to model the effects of mean-field-driven expansion during TOF. $t_{\text{RN}}$ is given by Eq. (2) and is the time at which the Raman-Nath approximation is expected to substantially fail.
TABLE I. Experimental parameters for the four lattice periods investigated. The Thomas-Fermi diameters \( D_{TF} \) along \( z \) were calculated using the measured atom numbers and known scattering length and trap frequencies. Lattice depths were obtained by measuring the maximum kinetic energy of an atom during its evolution in a lattice. The number of occupied lattice sites is approximately \( D_{TF}/d \).

| \( d \) (\( \mu m \)) | \( U_0 \) in units of \( E_R \) | \( 10^4N_{tot} \) | \( D_{TF} \) (\( \mu m \)) | \( D_{TF}/d \) |
|-----------------|-----------------|-----------------|-----------------|-----------------|
| 1.80(2)         | 3.5(1)          | 6.5(1)          | 9.3(1)          | 31(3)           |
| 3.0(1)          | 26(2)           | 32(3)           | 29(3)           | 16(2)           |
| 12(2)           | 14(5)           | 4(1)            | 5(3)            | 7(1)            |
| 55(6)           | 57(6)           | 45(4)           | 46(5)           | 5(0)            |

The Raman-Nath approximation is valid when the distance is small compared to the lattice period. This implies that the atoms move by a phase on the atomic wave function, with no effect on its kinetic-energy term in the single-particle Hamiltonian during collapse. For example, when the pulse is short compared to \( T_{R}\), the edge of the momentum distribution grows linearly with the characteristic features predicted in Ref. [19]. Each image approximates the momentum distribution at the time of release. Figure 1 shows a concatenated series of such images as a function of \( T_{pulse} \) at four different lattice periods. Together, the images reveal the evolving momentum distribution for each lattice period, which collapse and revive with the characteristic features predicted in Ref. [10].

III. RESULTS AND INTERPRETATION

Figure 1(a) depicts the measured momentum distribution as a function of pulse duration for a BEC diffraction by a 1.8 \( \mu m \) lattice. For small \( T_{pulse} \), the position of the apparent edge of the momentum distribution grows linearly with \( T_{pulse} \). As expected, the distribution is composed of diffraction orders separated by \( 2\hbar k_L \). At early times, our data are consistent with the distribution predicted using the Raman-Nath approximation. The Raman-Nath approximation can be viewed in a number of ways. For example, it neglects the kinetic-energy term in the single-particle Hamiltonian during application of the pulse. This is equivalent to assuming that the only effect of the pulse is to impose a spatially periodic phase on the atomic wave function, with no effect on its amplitude profile. This implies that the atoms move by a distance small compared to the lattice period \( d \) during the pulse. The Raman-Nath approximation is valid when

\[
T_{pulse} \ll t_{RN} = \frac{\hbar}{\sqrt{U_0E_L}} = \frac{T_{ho}}{\pi},
\]

where \( E_L = \hbar^2 k_L^2/2M \) is the lattice recoil energy and \( T_{ho} \) is the oscillating period. (Note that the lattice recoil in general differs from the photon recoil, but becomes equal to it when the lattice beams are counterpropagating.) In this approximation, the fractional atomic population of the \( n^{th} \) diffraction order is \( J_n^2(U_0T_{pulse}/2\hbar) \) where the \( J_n \) are Bessel functions of the first kind.

Figure 1(a) shows that as the pulse duration increases beyond \( t_{RN} \), the apparent edge of the momentum distribution is bounded by a maximum momentum \( \hbar k_{max} \). We use this observed value of \( \hbar k_{max} \) to determine the lattice depth \( U_0 = \hbar^2 k_{max}^2/2M \). The numerical calculations verify the accuracy of this identification to within 3 \( E_R \). (The validity of this identification relies on the lattice being deep enough to support many bound states and the rise and fall times of the pulse being short compared to \( T_{R} \).) Once the edge of the distribution reaches \( k_{max} \), it gradually fades and a new outgoing edge appears near \( k = 0 \), shortly after \( T_{RN} \). Diffraction orders re-emerge as the new edge moves outward to higher momentum and the process approximately repeats. At each collapse, a large fraction of the population returns to the lowest orders. Collapses repeat at times which are approximately multiples of \( T_{RN}/2 \).

The collapses and revivals can be understood from a classical model where atoms initially at rest in the sinusoidal potential oscillate; those starting near the bottom of the potential oscil late at approximately \( \omega_{ho} \). As we will see below, the suddenness of each collapse results from the anharmonicity of the potential and can also be understood in our classical model. Because of the anharmonicity, no collapse is total, and there remains a sizable occupation of the higher momentum orders at the collapse point. This collapse and revival always occurs at times greater than \( t_{RN} \) and illustrates a complete breakdown of the Raman-Nath approximation.

Figures 1(b)–1(d) depict the momentum evolution for longer lattice periods. While each evolution is similar, there are differences. For \( d > 1.8 \mu m \), the diffraction orders overlap; indeed, mean-field-driven expansion of the individual orders can lead to such overlap for all times of flight. The apparent discreteness in the momentum distribution in Fig. 1(b), does not represent individual momentum orders but rather a modulated momentum envelope over several unresolved orders. As the lattice period \( d \) increases and the spacing between the momentum orders decreases, the momentum distribution appears as a continuous curve. Also, the point of collapse occurs increasingly close to \( T_{RN}/2 \) as \( d \) increases.

The numerical solutions to the GP equation shown in the right-hand column of Fig. 1 reproduce these features of our experiment. They depict the \( in situ \) momentum distribution after \( T_{pulse} \) with a suitable subsequent convolution to account for time-of-flight expansion. We numerically solve the time-dependent GP equation during \( T_{pulse} \) including the mean-field interactions [20]. We assume that the solution factors into a time-independent radial wave function and a time-dependent axial wave function. We treat the axial component using a 1D GP equation with effective interaction strength \( \xi_{1D} = 4\pi\hbar^2/3\pi R_s R_r \), where \( R_s = 4\pi\hbar^2 a_s/M \) is the 3D interaction strength [21]. Here \( R_s \) and \( R_r \) are the Thomas-Fermi radii in the directions perpendicular to the lattice, and \( a_s \) is the \( s \)-wave scattering length for our \( ^8 \)Rb atoms. In addition, we extend our GP solutions to include the complete time of flight (TOF) by convolving with initial system sizes. There are two primary differences between the calculated TOF distributions and the \( in situ \) momentum distributions: (1) for regions of high atom density (mostly short pulse durations) the orders become spatially larger than the initial system size, and (2) the positions of orders are shifted very slightly to higher momentum. Both effects are the intuitive outcome of repulsive mean-field interactions during TOF. Figure 1 shows the good agreement between the GP solutions and our experimental data.

A detail of the experimental results, absent in the calculations, is the visible splitting of some diffraction orders for...
pulse durations beyond the Raman-Nath regime (see Fig. 2). The effect implies spatial structure larger than the lattice spacing and it becomes more pronounced the longer the pulse duration. One possible explanation is that the approximate periodic translational symmetry of the lattice is gradually compromised as the inhomogeneous mean-field interaction increases over time due to the atoms accelerating radially toward the cloud center because of the dipole force arising from the laser beam profile. If this were the mechanism, it would explain why we do not see the order splittings in the calculations since radial dynamics are neglected.

Certain salient features reproduced by the numerical solutions can also be understood on the basis of simple arguments, both quantum and classical. In the following two sections, we first give a single-particle quantum-mechanical argument which explains the collapse and revival periods and their deviations from $T_{\text{int}}/2$. We continue with a classical explanation for the sudden collapse of the higher momentum orders and their subsequent revival.

IV. QUANTUM MECHANICAL ANALYSIS

To understand the atomic evolution after sudden application of an optical lattice, it is useful to decompose the initial BEC state into the relevant eigenstates of the lattice potential with energies $E_n$. We assume an initial state with momentum eigenvalue zero, equivalent to an infinite-extent BEC, projected onto the Bloch states (with zero quasimomentum) of 1D sinusoidal lattices having various periods. Only even-parity Bloch states are occupied since the initial wave function is symmetric. We calculate the projection onto all significantly occupied states and find that the bound states contain the vast majority of the population. Figure 3 shows the populations of all Bloch states up to the first unbound state, which include more than 99.5% of the population.

The number of significantly occupied eigenstates grows with increasing lattice period. The increase of occupied bound states corresponds to the system becoming increasingly classical. In the deep-lattice limit, the number of bound states in a sinusoidal potential scales as $(U_{0}/E_{k})^{1/2}$. Since the lattice recoil energy $E_{k} \approx d^{-2}$, the number of bound states in a lattice grows linearly with the lattice period at fixed total depth. For example, a lattice with a depth of 30 $E_{k}$ formed by two counterpropagating beams has a period $d=\lambda/2$, a depth of 30 $E_{k}$, and 4 bound states. However, a 30 $E_{k}$ lattice formed by two beams intersecting at 87 mrad = 5° has a period $d=9.3 \mu m$, a depth of $15.8 \times 10^{3} E_{k}$, and ~80 bound states.

The phase of the $n^{th}$ Bloch state evolves independently as $\omega_{f} t$ where $\omega_{f}=E_{k}/\hbar$. In a harmonic potential, the frequency differences would be multiples of the harmonic frequency and all even eigenstates would rephase with period $T_{\text{int}}/2$. The anharmonic potential of a single lattice site leads to a nonuniform spacing of the energy levels, so the system never perfectly rephases. Nevertheless, the wave function approximately rephases such that a large fraction of the population is in diffraction orders close to zero momentum at times close to $T_{\text{int}}/2$. (See Fig. 4 where a wide spread in position corresponds to the collapse of the momentum distribution as seen in Fig. 1.)

V. CLASSICAL ANALYSIS

Many aspects of this quantum-mechanical system can be understood classically, in some cases quantitatively. We study the corresponding classical system by calculating the trajectories of an ensemble of particles initially at rest and distributed uniformly in a sinusoidal potential (Figs. 5 and 6). Each trajectory reaches a turning point where the velocity returns to zero. For small amplitude oscillations in the sinusoidal potential, the motion is nearly harmonic and atoms reach these turning points approximately concurrently. Larger amplitude oscillations are increasingly anharmonic, leading to increasing times to reach the turning points. Figure 5 displays several momentum trajectories of initially motionless classical particles evolving in a sinusoidal potential [22].
Insight can be gained from this classical picture. For example, the suddenness of the collapse can be understood [8] by referring to a single-well phase-space portrait plotting position vs. momentum as shown in Fig. 6: starting with a uniform distribution at rest \( \langle p_z \rangle = 0 \), the distribution rotates clockwise about the origin. At the origin, the period of rotation is \( 2\pi/\omega_0 \). Points farther from the origin rotate more slowly about the origin. The rotational period diverges for atoms nearest the top of the sinusoidal potential, \( z = \pm d/2 \). The classical analog of our measured momentum distribution is the projection of this evolving phase-space distribution onto the momentum axis. The horizontal tangents of the phase-space distribution (indicated in the figure) project to singularities in the momentum distribution. The locus of these tangent points is referred to as a caustic. As the distribution approaches the first turning point, the two horizontal tangents are near the maximum momentum; there are no singularities near \( p_z = 0 \). When the central part of the distribution crosses the turning point (at \( T_{\text{osc}}/2 \)), another pair of caustics suddenly emerges from the origin. This explains the asymmetry in the momentum evolution; the caustics only appear after \( T_{\text{osc}}/2 \). (The time evolution is symmetric only for a perfectly harmonic potential.)

In a quantum or wave-optics system, diffraction softens the divergence of the underlying classical caustics. The sequence in Figs. 1(a)–1(d) shows, in a matter wave system, a progression from diffractive caustics [31–34] toward classical caustics; the diffractive structure is manifest for the shortest period lattice and nearly invisible in the longest.

**VI. CONCLUSION**

We measured the collapse and revival of the diffraction pattern of a BEC exposed to a pulsed 1D optical lattice. Our results are found to be in good agreement with the predictions of the time-dependent Gross-Pitaevskii equation. In addition, we employed a classical model that captures essential features and adds physical insight to the evolving diffraction pattern. For long lattice periods, bound states proliferate and we observed classical behavior in the long-time evolution of the momentum distribution. We captured the emergence of both diffractive and classical caustics in ultracold atom systems interacting with optical lattices.

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