MINIMAL EXTENSIONS OF PVMHS AND MIXED HODGE MODULES

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ABSTRACT. We employ the techniques of mixed Hodge modules in order to answer some questions on extension of mixed Hodge structures. Specifically a theorem of M. Saito tells that, the mixed Hodge modules on a complex algebraic manifold $X$, correspond to polarized variation of mixed Hodge structures on Zariski open dense subsets of $X$. In this article we concern with the minimal extension of MHM or PVMHS related to this criteria. In [R] we studied the extension of VMHS associated to isolated hypersurface singularities. This article generalizes some of the results there to the admissible VMHS on open dense submanifolds. Some applications to the Neron models of Hodge structures are also given. Some remarks on abelian positivity in the positive characteristic case and of height pairing on arithmetic varieties has been discussed.

INTRODUCTION

The question of extension and asymptotic behaviour of mixed Hodge structure is an important part of Hodge theory. Its history goes back to the works of W. Schmid and J. Steenbrink to define limit mixed Hodge structure. We study the extensions of the variation of MHS, and specially the extension of various bilinear forms naturally defined on these structures. For this purpose we have used the techniques of $D$-module theory to analyze the asymptotic behavior of (admissible) variation of mixed Hodge structures and their polarization. Classically, MHS’s appear as solutions to regular holonomic $D$-modules, what is called a perverse sheaf, (of course with Hodge structure). This is the content of Riemann-Hilbert correspondence. We will consider the extensions of MHS’s as the corresponding solution to the extended $D$-module, which is defined by gluing of vector bundles with connection. The extension studied in this text is usually called minimal extension. Almost all the
$D$-modules under consideration have geometric origins from Hodge theory, that is they are doubly filtered $(W, F)$, they are quasi-unipotent and the three filtration $W, F$ and the Malgrange-Kashiwara $V$-filtration are compatible. A basic pattern for our studies is the extension of mixed Hodge structures over normal crossing divisors.

The category of $D$-modules is equipped with the basic (Grothendieck 6-functor) sheaf theoretic operations on $D$-modules. A $D$-module on an algebraic manifold $X$ is nothing other than a sheaf of $\mathcal{O}_X$-module with an extra $\mathbb{C}$-linear connection satisfying the Leibnitz rule. It would be the same to regard them as usual sheaves on cotangent bundle of $X$. The pull-back and push-forward or their proper analogues are defined via correspondences on a product of the two manifolds in the case. Thus, specific considerations are involved as the theory of correspondences. This roughly speaking is the same as usual sheaf theory on cotangent bundles.

Suppose $M$ is a $D_X$-module. The sheaf $\text{Hom}_D(M, \mathcal{O}_X)$ is called the solution module of $M$. The derived functors $\mathcal{R}\text{Hom}_D(M, \mathcal{O}_X)$ are called higher solution module of $M$. The Riemann-Hilbert correspondence asserts that

$$\mathcal{R}\text{Hom}_D(M, \mathcal{O}_X) : D^b_{\text{rh}}(X) \to D^b(X, \mathbb{C})$$

is an equivalence of categories. A sheaf in $D^b(X, \mathbb{C})$ is called perverse if it is isomorphic to $\mathcal{R}\text{Hom}_D(M, \mathcal{O}_X)$ or the solution module of some regular holonomic $M$.

Mixed Hodge modules are defined as the extensions of pure Hodge modules. A Hodge module will always be polarized, that it always underlies a polarized variation of Hodge structure defined on a Zariski dense open subset of the ambient space. One needs to distinguish the extension over open subsets from that to closed subsets. In the theory of $D$-modules or perverse sheaves, extensions along open strata of stratifications of complex manifolds is being done by the Deligne nearby functor, denoted $\Psi$ (notations $\psi_t$, $\psi_f$ are also used), by requiring a compatibility identity via restrictions. This leads to the definition of Intersection complex and Intersection cohomology by an inductive argument. The extension over a closed subvariety is explained by complexes of vector spaces via nearby and vanishing cycles maps. This is how to glue two vector space on an open and a closed subset, by the isomorphism given. One specific case is that of extension over normal crossing divisors. It is based on the fact that, how to describe the restriction of a vector bundle $M$ to a closed $i : D \hookrightarrow X$ and an open complement $j : U \hookrightarrow X$, such that the original vector bundle becomes a pull back of the gluing of the two. In a more modern language, it is described as a $t$-structure on the derived categories of mixed Hodge modules and perverse sheaves, given by the exact triangles in the derived category of perverse sheaves

$$i^* i_* \to 1 \to i^! i_*; \quad j^* j_* \to 1 \to j^* j_!$$
Here \( j^! \) and \( i^! \) are extensions of 0, \( j^! \) is restriction but \( i^! \) means sections supported in the closed subset. The above isomorphisms define distinguished exact triangles in the derived category of MHM's and perverse sheaves that explain the gluing process mutually. We shall explain the gluing using the nearby and vanishing cycle functors. They satisfy in an important triangle as

\[
i^* \to \psi_f \to \phi_f \\ 1 - T \to \psi_f^\un
\]

in the derived category of perverse sheaves, where the first map is induced by adjunction, \( f \) gives a local equation of degeneracy locus. The important fact is that these two functors do carry perverse sheaves to perverse sheaves (proved by Deligne). The associated long exact cohomology sequence becomes

\[
\ldots \to H^i(\psi_f) \to H^i(\phi_f) \to H^{i+1}(B \cap X_0) \to \ldots
\]
or similar for its dual. It shows that vanishing cycles are homology classes that are killed in \( H^i(\psi_f) \), via the specialization (contraction) map \( X_t \to X_0 \). Moreover, the nearby functor would have a decomposition as \( \psi_f = \psi_f^\un \oplus \psi_f^{\neq 1} \), \( \phi_f = \phi_f^\un \oplus \phi_f^{\neq 1} \) where by any choice of a generator \( T \in \pi_1(\Delta^*) \), \( 1 - T \) is nilpotent on \( \psi_f^\un \). We have the following well-known exact triangles,

\[
i^* j_* \to \psi_f^\un \xrightarrow{1-T} \psi_f^\un \to \psi_f^\un j^* \xrightarrow{1-T} \phi_f^\un
\]
such that \( v \circ u = 1 - T \). There are homomorphisms \( u \) and \( v \) as

\[
\psi_f^M \xrightarrow{u} \phi_f^M \xrightarrow{v} \psi_f^M, \quad v \circ u = (N = \log T_u) \otimes -1/2\pi i
\]

In such a terminology the module is presented as \( (M_U = \psi_f^M, M_D = \phi_f^M, u, v) \). The way to interprete this 4-tuple in order to get the extended \( D \)-module is explained in section 3.

We do not enter to the discussion of holonomicity, as well as we suppose all the \( D \)-modules in use are holonomic. This condition implies that the underlying solution sheaf or \( H^0(DR M) \) is a constructible sheaf, i.e \( DR M \) has finite dimensional cohomology along the strata. The \( D \)-modules we will consider would have origins in Hodge theory, namely mixed Hodge modules, then they would automatically be regular holonomic, and we will assume they are also quasi-unipotent. Holonomicity of a filtered \( D \)-module \( (M, F) \), means that \( F \) is a good filtration of \( M \) and

\[
dim \sqrt{\text{ann}_{Gr_F(D_X)} Gr_F M} = \dim(X)
\]

which is the minimum number may be attained. The zero set of the ideal under the square defines a sub-variety of the cotangent bundle of \( X \), namely characteristic
variety. The above equation says this variety is a Lagrangian sub-variety of the cotangent bundle of $X$, i.e the symplectic form of $T^*X$ vanishes on this subvariety. If $X$ is smooth, a MHM on $X$, is always regular holonomic and is given by a 4-tuple $(M, F, K, W)$, where $W$ describes both of the weight filtration of $M$ and $K = \text{rat}(M)$. Then, a morphism is a pair of morphisms compatible with rat and filtrations. A basic example is given by cohomology along fibers in a local fibration $f : X \to \Delta$ with $D = f^{-1}(0)$ a normal crossing divisor. It leads to the following diagram

$$
\begin{array}{c}
X_\infty \longrightarrow U \longrightarrow X \leftarrow E \\
\downarrow f_\infty \quad \downarrow f \quad \downarrow f \quad \downarrow \\
H \longrightarrow \Delta^* \longrightarrow \Delta \leftarrow 0
\end{array}
$$

namely Specialization diagram. $X_\infty = X \times_\Delta H$ is called the canonical fiber. The Riemann-Hilbert correspondence guarantees the desired mixed Hodge modules as the filtered Guass-Manin system.

Our discussion of $D$-modules does not seriously contain the details. However, we keep the terminology to be clear to prevent of confusions. A major reference for the extension of perverse sheaves is the article by A. Beilinson; How to Glue Perverse Sheaves. The interested reader may refer to various articles by M. Saito in references for more details and technicalities. Some of the materials stated already existed in the context of $D$-modules and the hermitian duality, that I have made some small changes to express them for polarization of VMHS. I already apologize beneath the experts of $D$-module theory here.

Notice on the references: In the text whenever a reference cites as [*], it does not concern the person who first invented the case. It only refers to other places where the theorem has been cited. In case that the author was sure of the ownership the case appears as (-).

1. Hodge Modules

Let $X$ be a complex algebraic variety and denote by $\text{MHM}(X)$, the abelian category of Mixed Hodge Modules on $X$. $\text{MHM}(X)$ is equipped with a forgetful functor

$$\text{rat} : \text{MHM}(X) \to \text{Perv}(\mathbb{Q}_X),$$

which assigns the underlying perverse sheaf/$\mathbb{Q}$. Sometimes the above concepts would be understood as elements in $D^b\text{MHM}(X)$ and $D^b_c(\mathbb{Q}_X)$ respectively, and the same for the functor rat.
When $X$ is smooth, then a mixed Hodge module on $X$ determines a 4-tuple $(M, F, K, W)$ where $M$ is a holonomic $D$-module with a good filtration $F$ and, with rational structure $\text{DR}(M) \cong \mathbb{C} \otimes K \in \text{Perv}(\mathbb{C}_X)$, for a perverse sheaf $K$, and $W$ is a pair of weight filtrations on $M$ and $K$ compatible with rat functor. $\text{DR}$ denotes the de Rham functor shifted by the $\text{dim}(X)$. The de Rham functor is dual to the solution functor.

If $X = \text{pt}$, Then, $\text{MHM}(\text{pt})$ is exactly all the polarizable mixed Hodge structures.

A MHM always has a weight filtration $W$, and we say it is pure of weight $n$, if $\text{Gr}_k^W = 0$ for $k \neq n$. Normally, the filtration $W$ is involved with a nilpotent operator on $M$ or the underlying variation of a mixed Hodge structure. A mixed Hodge modules (def.) is obtained by successive extensions of pure one. If the support of a pure Hodge module as a sheaf is irreducible such that no sub or quotient module has smaller support, then we say the module has strict support. Any pure Hodge module will have a unique decomposition into pure modules with different strict supports, known as Decomposition Theorem. A pure Hodge module is also called polarizable HM. $\text{MHZ}(X, n)^p$ will denote the category of pure Hodge modules with strict support $Z$. An $M \in \text{HMZ}(X, n)$ determines a polarizable variation of Hodge structure. The converse of this fact is also true, that variation of Hodge structures determine a MHM, [SAI2]. Thus;

\[(2) \quad \text{MHZ}(X, n)^p \cong \text{VHS}_{\text{gen}}(Z, n - \text{dim } Z)^p\]

The right side means polarizable variations of Hodge structure of weight $n - \text{dim } Z$ defined on a non-empty smooth sub-variety of $Z$. Equation (1), explains a deep non-trivial fact about regular holonomic $D$-modules, their underlying perverse sheaves and their polarizations.

The standard operations on the categories of sheaves can also be defined for $\text{MHM}(X)$. Here we have two additional operations namely Deligne nearby functor $\psi_f$ and the vanishing cycle functor $\phi_f$ along the fibers of $f \in \Gamma(X, \mathcal{O}_X)$, which fit into an exact triangle,

\[i^{-1} \to \psi_f \text{ can } \to \phi_f \to \ldots\]

\[\psi_f F = i^{-1} Rj_* j^{-1} F, \quad \phi_f F = \text{Cone}(i^{-1} F \to \psi_f F)\]

where $i : X_0 \hookrightarrow X$, $j : X \setminus X_0 \hookrightarrow X$. The above distinguished triangle can be considered as the definition of $\phi_f$, namely vanishing functor along $f$. The vanishing cycle functor is the mapping cone of the adjunction morphism $i^{-1} F \to \Psi_f F$. Thus we have a diagram
Assume $Q_X[n+1]$ is a perverse sheaf (in particular $\dim(X) = n + 1$). This is satisfied if $X$ is a local complete intersection. Denote $\psi_f Q_X$, $\phi_f Q_X$, be the nearby and vanishing cycle complexes on $X_0 = f^{-1}(0)$. It is known that $\psi_f Q_X[n]$, $\phi_f Q_X[n]$ are perverse. Then

$$\psi_{f,\lambda} Q_X = \ker(T_s - \lambda), \quad \phi_{f,1} Q_X = \ker(T_s - \text{id})$$

and $\phi_{f,\lambda} = \psi_{f,\lambda}$ for $\lambda \neq 1$. We know that

$$H^j(F_x, Q)_\lambda := H^j(\psi_{f,\lambda} Q_X), \quad \hat{H}^j(F_x, Q)_\lambda := H^j(\phi_{f,\lambda} Q_X)$$

and a short exact sequence

$$0 \to \hat{H}^n(F_x, Q) \to H^n(L_x, \phi_f Q_X) \to K_x \to 0$$

where $L_x$ is the link and $K_x$ is the kernel of the natural morphism,

$$\beta_\phi : H^n_c(F_x, Q)(-1) \to H^n(F_x, Q),$$

Here above $\beta_\phi$ is simply induced from the natural map $i^* \to i^!$. The reduced cohomology $\hat{H}^j(F_x, Q)$ is sometimes refereed as vanishing cohomology. The sheaf $\phi_f Q$ introduced by Deligne is a sheaf supported on $X_0$ whose cohomology calculates the vanishing cohomology.

In the isolated hypersurface singularity case we have

$$\hat{H}^j(F_x, Q) = 0, \quad j < n$$

which is actually equivalent to the perversity. The above short exact sequence may be interpreted as a relation between the cohomology of the milnor fiber and that of the link of singularity, [DS]. The relation with monodromy is reflected in the Wang sequence

$$\to H^j(L_x \setminus X_0) \to H^j(F_x)_1 \overset{N}{\to} H^j(F_x)_1(1) \to H^{j+1}(L_x \setminus X_0) \to \ldots$$

When $U$ is the complement of a normal crossing divisor $D \subset X$, $\mathcal{V}$ a local system on $U$ underlying a polarized pure Hodge structure of weight $n$, say $V$; such that the local monodromies around $U$ are quasi-unipotent, then there exists a unique Hodge
module $V_X^{Hdg}$ of weight $(n + \dim X)$ having strict support $X$ and restricting to $V^{Hdg}$. The intersection complex

$$IC_X^\bullet \mathcal{V} = j_\ast \mathcal{V}, \quad j_\ast := \text{image}(j_! \rightarrow j_*)$$

is the unique perverse extension of $\mathcal{V}[d]$ with strict support $X$. Here $j_\ast := \text{image}(j_! \rightarrow j_*)$ is the intermediate extension originally belonged to Deligne. Therefore,

$$V_X^{Hdg} = j_\ast \mathcal{V}$$

The functor $\Psi_f$ defined before is special case of $j_\ast$. Specifically, $j_\ast$ is the result of applying $\Psi$ inductively along open strata of a stratified manifold. Note that, on a stratified pseudo-manifold $X$ of $\dim(X) = n$, the intersection complex may be defined inductively, along the strata, starting from a constant sheaf $\mathbb{R}$. Using the Deligne extension $\Psi$ we described above. The resulting complex

$$IC_X^\bullet = \tau_{\leq \overline{p}(n) - n} Ri_{n+2}\mathbb{R}_X - \Sigma [n]$$

where $\overline{p} = \{\overline{p}(2), ..., \overline{p}(n)\}$ is the perversity, $\tau$ is truncation of the complex, and $i_k : U_k \hookrightarrow U_{k+1}$, $U_k = X - X_{n-k}$, $X - \Sigma = U_2$, [B]. The above identity is some what obligation by definition, if we extend the sheaf by $\Psi$. In a simple extension of the local system $\mathcal{H} \rightarrow \Delta^*$ associated to the Milnor fibration of $f : \mathbb{C}^{n+1} \rightarrow \mathbb{C}$, we have

$$j_\ast \mathcal{H} := \{ \sum_{\alpha, l} f^\alpha \exp(-N \log f)m_{\alpha, l} \}$$

**Theorem 1.1.** [AR] Let $U$ be the complement of a normal crossing divisor in a compact Kahler manifold $X$. Then intersection cohomology with coefficient in a polarized VHS on $U$ is isomorphic to $L^2$ cohomology for a suitable complete Kahler metric on $U$.

This theorem shows that $L^2$ cohomology is finite dimensional, and also intersection cohomology carries a pure Hodge structure. The above theorem also gives a decomposition theorem for the direct image $f_\ast IC_X^\bullet L$, with $L$ a local system on $U$ and $f$ a proper or projective morphism.

### 2. Kashiwara-Malgrange V-filtration

The Kashiwara-Malgrange $V$-filtration of a regular holonomic $D_X$-module associated to a subvariety $Y \hookrightarrow X$ is an increasing filtration generally indexed by $\mathbb{Q}$ satisfying simple axiomatic conditions which characterize it. We explain this by an example. Let $X = \mathbb{C}$ with coordinate $t$ and $Y = 0$. Fix a rational number
$r \in (-1, 0)$, and let $M = O_{\mathbb{C}}[t^{-1}]t^r$, with $\partial_t$ acting on the left in the usual way. For each $\alpha \in \mathbb{Q}$ define $V_{\alpha}M \subset M$ to be the $\mathbb{C}$-span of $\{t^{n+r}|n \in \mathbb{Z}, n + r > -\alpha\}$. The following properties are easy to check

- The filtration is exhaustive and left continuous: $\cup V_{\alpha}M = M$, and $V_{\alpha+\epsilon} = V_{\alpha}M$, for $0 < \epsilon << 1$
- Each $V_{\alpha}M$ is stable under $t^i \partial_j^t$ if $i > j$.
- $\partial_t V_{\alpha}M \subset V_{\alpha+1}M$, and $tV_{\alpha}M \subset V_{\alpha-1}$.
- The associated graded

$$Gr^\alpha_v M = V_{\alpha}/V_{\alpha-\epsilon} = \begin{cases} Ct^{-\alpha} & \text{if } \alpha \in r + \mathbb{Z} \\ 0 & \text{otherwise} \end{cases}$$

is an eigen-space of $t\partial_t$ with eigenvalue $-\alpha$.

The last item implies that the set of indices that $V_{\alpha}M$, jumps is discrete. The above construction may be generalized to define $V$-filtration for a regular holonomic $D$-module on $X$ that are quasi-unipotent along a closed sub-variety $Y$. If $Y$ is smooth, then for such a module, there always exists a unique filtration satisfying similar properties as listed above, called the $V$-filtration along $Y$. Then $t$ would be replaced by the ideal sheaf of $Y \hookrightarrow X$. In case $Y$ is not smooth this construction can be done using embedding by graph. For instance, if $f : X \rightarrow \mathbb{C}$ be a holomorphic function, and and let $i_f : X \rightarrow X \times \mathbb{C} = Y$ be the inclusion by graph. Let $t$ be the coordinate on $\mathbb{C}$, and let

$$V_{\alpha} i_* M = D_{x \times 0} (t^i \partial_t^i | i - j > -|\alpha|)$$

for $\alpha \in \mathbb{Q}$, [AR].

Let $X_0 = f^{-1}(0)$ be possibly a singular fiber. A holonomic $D_X$-module $M$ has quasi-unipotent monodromy along $X_0$, if the monodromy action on $\psi_t(DR M)$ is quasi-unipotent. Any regular holonomic $D_X$-module with quasi-unipotent monodromy is specializable along $X_0$, i.e the module can be extended over $X_0$. This can be done using embedding by graph of $f$, namely $i_f : X \rightarrow X \times \mathbb{C}$. In fact the module

$$\tilde{M} = i_+ M = \int_{i_f} (M, F) = M[\partial_t], \quad DR i_+ M = i_+ M$$

works out here,
The $V$-filtration is indexed by $\mathbb{Q}$ such that $t\partial_t - \alpha$ is nilpotent on $Gr^0_V$, and

$$t : F_p V^\alpha \tilde{M} \to F_p V^{\alpha+1} \tilde{M}, \quad \alpha > 1$$

$$\partial_t : F_p Gr^\alpha_V \tilde{M} \to F_p Gr^{\alpha-1}_V \tilde{M}, \quad \alpha > 0$$

are isomorphisms. By definition,

$$\psi_f(M) = \bigoplus_{-1 < \alpha \leq 0} Gr^\alpha_V(\tilde{M}), \quad \phi_f(M) = \bigoplus_{-1 < \alpha < 0} Gr^\alpha_V(\tilde{M}) \oplus Gr^{-1}_V(\tilde{M})$$

Moreover;

$$DR\psi_f M = \psi_f DR M[-1], \quad DR\phi_f M = \phi_f DR M[-1]$$

where $j : X \times \mathbb{C}^* \to X \times \mathbb{C}$. This means that the $V$-filtration together with the Hodge filtration on the complement of $f^{-1}(0)$ determine the total Hodge filtration $F$, [SAI2].

3. Mixed Hodge Modules

Roughly speaking, a mixed Hodge module is obtained by extension of polarized pure Hodge modules. A mixed Hodge module on complex algebraic manifold $X$ is given by an open cover \{\{U_i\}\} of $X$, $U_i = X_i - Y_i, Y_i = t_i^{-1}(0), t_i : X \to \mathbb{C}$ and gluing data $(M|_{U_i}, M|_{Y_i}, u_i, v_i)$, [SP]. The phenomenon is a method of gluing vector bundles. The gluing data is $(M_U, M_D, u, v)$ satisfying $v \circ u = N \otimes -1/2\pi i$. It may be presented in the diagram,

$$(\psi M = M_U) \xrightarrow{\nu} (\phi M = M_D) \xrightarrow{\psi} (M_U = \psi M)$$

where $\psi$ is the Deligne extension (of course the sequence is not exact).
Theorem 3.1. [LI] The category of regular holonomic $D_X$-modules is the same as the category of diagrams $M \overset{v}{\underset{u}{\rightleftarrows}} N$ of vector spaces, where $1_M - uv$ and $1_N - vu$ are invertible.

Proof. [BEI] For a vector space $V$ and $\phi \in \text{End}(V)$, let $(V, \phi) \overset{0}{\rightarrow}$ be the maximal subspace on which $\phi$ acts in a nilpotent way. Consider the category $C$ of diagrams $(V', V_1', \phi, u, v)$, where $V_0', V_1'$ are vector spaces, $\phi \in \text{Aut}V_1'$, and $(V_1', id_{V_1'} - \phi) \overset{0}{\rightarrow} V_0'$ are such that $v \circ u = id - \phi$. Then we have the following equivalence

$$(8) \quad (V_0 \overset{v}{\underset{u}{\rightleftarrows}} V_1) \mapsto ((V_0', u \circ v)^0, V_1', id_{V_1'} - (v \circ u), u, v)$$

The category regular holonomic $D$-modules on $(\Delta^*, 0)$ is isomorphic to the above category, since modules over 0 are only vector spaces, and over $\Delta^*$ are vector spaces with monodromy. Under this identification $\Psi_f(V, T) = (V, id_V - T)^0$.

The category of perverse sheaves on the disk $D$ which are locally constant on $D^*$ is equivalent to the category of quivers of the form $\psi \overset{c}{\underset{v}{\rightleftarrows}} \phi$ i.e. finite dimensional vector spaces $\psi, \phi$ with maps as indicated. A quiver $\psi \overset{c}{\underset{v}{\rightleftarrows}} \phi$ corresponds to $j_\ast L[1]$ where $L = \psi$ and $T = I + v \circ c$. Then

$$\phi = \text{image}(c) \oplus \ker(v)$$

The extension of a Hodge module over a normal crossing compactification may be explained as follows. Assume $i : U \hookrightarrow X$ is the open inclusion and $X - U = D$, a normal crossing divisor. A MHM on $X$ determines (in a unique way) two MHM's, $M$ on $U$ and $M'$ on $D$ with gluing morphisms $u : \psi^{un}M \rightarrow M'$ and $v : M \rightarrow \psi^{un}M(-1)$ such that $vu = N$, where $\psi^{un}$ is the uni-potent ($\lambda = 1$) part of $\psi$, [SAI2], [SAI5]. Then, it is easily verified that

$$M' = \text{Im}(u) \oplus \ker(v)$$

and $u$ and $v$ induce morphisms

$$u : (M, W) \rightarrow (M', W[1]), \quad v : (M', W) \rightarrow (M, W[1])$$

The converse is also true. Given the above filtered maps then $N = uv = vu$ is nilpotent, and $W$ is the monodromy filtration for $M'$. One can show that $u, v$ will preserve the weight and relative monodromy filtrations.
A mixed Hodge module corresponds to a variations of mixed Hodge structure. This means that $M$ is endowed with an increasing filtration $W$, called weight filtration such that $Gr^W_i M$’s are polarized Hodge modules of weight $i$. Here the extension can not be arbitrary. The imposed conditions are,

- The original mixed Hodge module is polarized.
- The relative weight filtration that is the weight filtration on $Gr_k^W M$, associated to the induced nilpotent operator $Gr_k N$ exists.
- The Hodge filtration extends over Deligne extension.
- The nearby and vanishing cycle functors are well defined for $M$.
- The filtrations $F, W, V^{(i)}$, $(0 \leq i \leq n)$ are compatible, where $V^{(i)}$ are the Kashiwara-Malgrange filtrations along the coordinate hyperplanes.

These conditions together are called admissibility conditions, [SAI5]. Then the underlying perverse sheaves or local system will satisfy similar conditions via the functor $\text{rat}$. 

**Theorem 3.2.** [SAI2], [SAI5] Admissible variation of mixed Hodge structures are mixed Hodge modules.

## 4. Unipotent Local Systems Over $\mathbb{C}^*$

We give a simple explanation of unipotent local systems on $\mathbb{C}^*$. This provides a picture of general unipotent local systems on arbitrary $D$-modules. We study the local system of vector spaces over $\mathbb{C}$ of dimension $n$ with a unipotent monodromy given by

$$ M^u = \begin{bmatrix} 1 & -1 \\ 0 & 1 & -1 \\ \vdots & \ddots & \ddots & -1 \\ & & & 1 \end{bmatrix} $$

which has a filtration of length $n$. Let’s begin by putting

$$ J^{(n)} := \sum_{k=0}^{n-1} \mathcal{O}_U \log^k $$

They satisfy a system of inclusions and projections in an obvious way as;

$$ 0 \hookrightarrow J^{(1)} \hookrightarrow \ldots \hookrightarrow J^{(0)} \ldots $$

Set,
\[ \mathcal{J}_f^{a,b} := \mathcal{J}^a / \mathcal{J}^b \]

Then,
\[ \mathcal{J}^{0,1} \hookrightarrow \mathcal{J}^{0,2} \hookrightarrow \ldots \hookrightarrow \mathcal{J}^{0,\infty} = \lim_{\leftarrow} \mathcal{J}^{0,b} \]
\[ \mathcal{J}^{-1,0} \hookrightarrow \mathcal{J}^{-2,0} \hookrightarrow \ldots \hookrightarrow \mathcal{J}^{-3,0} \ldots = \lim_{\rightarrow} \mathcal{J}^{a,0} \]

**Definition 4.1.**
\[ \mathcal{J}_f^{-\infty,\infty} = \lim_{\leftarrow} \lim_{\rightarrow} \mathcal{J}^{a,b}, \quad M^{-\infty,\infty} = \lim_{\leftarrow} \lim_{\rightarrow} (M \otimes_{\mathcal{O}_C} \mathcal{J}^{a,b}) \]

where \( M \) is any \( D \)-module on \( \mathbb{C}^* \).

We have \( \mathbb{D} \mathcal{J}^{a,b} = \text{Hom}_{\mathcal{O}_C}(\mathcal{J}^{a,b}, \mathcal{O}_{\mathbb{C}^*}) \cong \mathcal{J}^{-b,-a} \), by

\[ \mathcal{J}^{a,b} \otimes \mathcal{J}^{-b,-a} \rightarrow \mathcal{J}^{0,1} = \mathcal{O}_{\mathbb{C}^*}, \quad \langle f(s), g(s) \rangle = \text{Res}_{s=0} f(s) g(-s) ds \]

Therefore,

\[ \mathbb{D} j^{0,n} = J^{-n,0} \cong j^{0,n}, \quad \mathbb{D} (M \otimes_{\mathcal{O}_U} \mathcal{J}^{a,b}) = \mathbb{D} M \otimes_{\mathcal{O}_U} \mathcal{J}^{-b,-a} \]

If we have a non-degenerate bilinear (or polarization) pairing

\[ K : M \otimes M \rightarrow \mathcal{O}_{\mathbb{C}} \]

then the induced bilinear form,

\[ \tilde{K} : \psi_{\lambda} M^{-\infty,\infty} \otimes \psi_{\lambda} M^{-\infty,\infty} \rightarrow \mathbb{C} \]

\[ \langle m \otimes f(s), n \otimes g(s) \rangle = \text{Res}_{s=0} f(s) g(-s) K(m, n) ds \]

is non-degenerate. Later we use this as an strategy in order to extend the polarization in a unipotent extension. The combinatorial framework of the \( D \)-modules \( \mathcal{J}^{a,b} \) allows to explain the duality on Deligne extensions in a simple way, via the trace map and residue.

**Lemma 4.2.**
\[ j_* M^{-\infty,\infty} = j_* M^{-\infty,\infty} \]

and similarly for \( M_k^{-\infty,\infty} \).

The point is, if
\[ \alpha : j_!(M \otimes f^* J_0^n) \to j_*(M \otimes f^* J_0^n) \]

be the natural map, then \( \ker \alpha \to \Psi_{un}^n \) and this injection is an equality for \( n \gg 0 \).

This strategy to study a unipotent local system \( J_{a,b} \) originally belongs to Beilinson, in the paper "How to glue Perverse sheaves". In his paper, he construct a filtered ring \( A \) with \( \text{Gr} A = \oplus A^i/A^{i+1} = \oplus \mathbb{Z}(i) \) and defines

\[ \langle \ldots \rangle : A \times A \to \mathbb{Z}(i), \quad \langle f, g \rangle = \text{Res}_{t=1} (f \cdot g \cdot d \log t) \]

where \( g \to g^- \) is a natural involution on \( A \). Then he sets

\[ A^1 = (A^{-1})^\perp, \quad A^{a,b} := A^a/A^b \cong \text{Hom}(A^{-b}/A^{-a}, \mathbb{Z}(-1)) \]

This ring in many ways is like the local system \( J \). The same holds if replace \( \mathbb{Z}(i) \) with \( (\mathbb{Z}/l^n)(i) \) and repeating every thing word by word to get,

\[ A_{et} = \lim_{\to a} \lim_{\leftarrow b} A^{a,b} \]

5. Polarization

The duality of \( D \)-modules is the duality of vector bundles with connections. In this way it would be a type of Serre duality of coherent sheaves. As a first step it is better we stress that the vector bundle is filtered by a holomorphic filtration \( F \). In order to reflect the Hodge structure and polarization one is led to consider the graded structure associated to this filtration. Let \( (\mathcal{G}, \nabla, F, \mathcal{H}_Q, S) \) be a polarized variation of Hodge structure of weight \( n \). The flat connection \( \nabla \) makes the vector bundle \( \mathcal{G} \) into a left \( D \)-module. Now consider a polarization

\[ S : \mathcal{H}_Q \times \mathcal{H}_Q \to \mathbb{Q}(-n) \]

of the variation. By definition we have \( S(F^p, F^q) = 0 \) for \( p + q > n \). Thus \( S \) descends to a non-degenerate pairing between \( Gr^k_F \mathcal{G} \) and \( Gr^{-n+k}_F \mathcal{G} \), for all \( k \). Thus, we get an isomorphism

\[ \bigoplus_{k \in \mathbb{Z}} Gr^k_F \mathcal{G} \cong \bigoplus_{k \in \mathbb{Z}} \text{Hom}_{\mathcal{O}_X}(Gr^{n-k}_F \mathcal{G}, \mathcal{O}_X) \]  

Moreover, we obtain that, \( S \) is flat with respect to the Gauss-Manin connection, and

\[ dS(\lambda_1, \lambda_2) = S(\nabla \lambda_1, \lambda_2) + S(\lambda_1, \nabla \lambda_2) \]
Definition 5.1. If $\mathcal{G} = \oplus \mathcal{G}_k$ is a graded module, then its graded dual is defined by

$$\mathcal{G}^\vee = \oplus \Hom_{\mathcal{O}_X}(\mathcal{G}_{-k}, \mathcal{O}_X)$$

the $i$-th derived functor of $\mathcal{D}$ is evidently

$$\mathcal{G}^{\vee i} = \oplus \Ext^i_{\mathcal{O}_X}(\mathcal{G}_{-k}, \mathcal{O}_X)$$

Definition 5.2. The (Verdier) dual of a $\mathcal{D}$-module is defined by

$$\mathcal{D}M = \Ext^d_{\mathcal{D}}(M, D \otimes_{\mathcal{O}} \omega_X^{-1})$$

A polarization of $M$ is an isomorphism

$$M \cong \mathcal{D}(M)(-w)$$

A polarization of a Hodge module is a duality $\mathcal{D}M = M(n)$ where $(n)$ is the Tate twist, which is essentially defined by the shift of the complex by $n$.

Theorem 5.3. [SCH] Let $\mathcal{G}$ be the Hodge module associated to a polarized variation of Hodge structure $(\mathcal{H}_Q, \nabla, F, S)$ of weight $n$, with $S : \mathcal{H}_Q \otimes \mathcal{H}_Q \to \mathbb{Q}(-n)$ the polarization. Then, we have the isomorphism

$$\bigoplus_{k \in \mathbb{Z}} Gr^W_k \mathcal{G} \to \bigoplus_{k \in \mathbb{Z}} \Hom_{\mathcal{O}_X}(Gr^{n-k}_F \mathcal{G}, \mathcal{O}_X)$$

given by (up to a sign factor) $\lambda \to S(\lambda, -)$, for $\lambda \in Gr^W_k \mathcal{G}$.

If $f : X \to Y$ is a projective morphism between smooth complex varieties, and $M$ a (pure) Hodge module on $X$ with strict support and of weight $n$, then $R^k f_* M$ is a Hodge module on $Y$ of weight $n + k$. If $M \in MH(X)^p$, then its cohomology carries a Hodge structure. The Lefschetz decomposition theorem may be stated as;

$$PGr^W_i M = \ker(Gr^W_{i+1} : Gr^W_i M \to Gr^W_{i-2} M)$$

$$\sum Gr^W_i N^m = \oplus PGr^W_{i+2m} M \cong Gr^W_i M$$

The duality functor is stable under $PGr^W \psi_f$ in case the $\mathcal{D}$-module is defined via the fibration by $f$. If two graded module or vector-space having a Lefschetz decomposition relative to a specific nilpotent operators of degree 1. Then, a bilinear or hermitian form will polarize them if and only if the level graded polarizations dualize the corresponding primitive sub-spaces. Moreover, the two corresponding
bilinear forms would be isomorphic if and only if the set of graded polarizations are isomorphic.

**Theorem 5.4.** [SAI3] Assume $f : X \to Y$ is a morphism of smooth analytic manifolds, and $(M, F, K; W)$ is a mixed Hodge module polarized by, namely $S$. Then

$$(-1)^{j(j-1)/2} R^j f_* S \circ (id \otimes t^j) : P_l R^j f_* K \otimes P_l R^j f_* K \to \mathbb{Q}$$

is a polarization on the primitive components, for $j \geq 0$.

6. Extension in isolated hypersurface singularity case

Assume $f : \mathbb{C}^{n+1} \to \mathbb{C}$ is a germ of isolated singularity. Then the variation of mixed Hodge structure $(H^n(X_\infty, \mathbb{C}), F_{\lim}, W(N))$ associated to the cohomology of the Milnor fibers is polarized namely with $S$. In this case the $V$-filtration and the Brieskorn lattice $H''$ which are vector bundles of rank $\mu$ (the Milnor number of $f$) can be defined. Moreover the polarization of the Gauss-Manin system is given by the K. Saito higher residue pairing $P_S$ which a flat bilinear form on the disc.

**Proposition 6.1.** ([H1] prop. 5.1) Assume $\{ (\alpha_i, d_i) \}$ is the spectrum of a germ of isolated singularity $f : \mathbb{C}^{n+1} \to \mathbb{C}$. There exists elements $s_i \in C^{\alpha_i}$ with the properties

1. $s_1, ..., s_{\mu}$ project onto a $\mathbb{C}$-basis of $\bigoplus_{1<\alpha<n} Gr^\alpha V H'' / Gr^\alpha V \partial^{-1}_t H''$. 
2. $s_{\mu+1} := 0$; there exists a map $\nu : \{ 1, ..., \mu \} \to \{ 1, ..., \mu, \mu + 1 \}$ with $(t - (\alpha_i + 1) \partial^{-1}_t) s_i = s_{\nu(i)}$ 
3. There exists an involution $\kappa : \{ 1, ..., \mu \} \to \{ 1, ..., \mu \}$ with $\kappa = \mu + 1 - i$ if $\alpha_i \neq \frac{1}{2}(n-1)$ and $\kappa(i) = \mu + 1 - i$ or $\kappa(i) = i$ if $\alpha_i = \frac{1}{2}(n-1)$, and

$$P_S(s_i, s_j) = \pm \delta_{(\mu+1-i)j} \partial^{-n}_t$$

The basis discussed in 6.1 is usually called a good basis. The condition (1) correspond to the notion of opposite filtrations. Two filtrations $F$ and $U$ on $G$ are called opposite (cf. [SAI6] sec. 3) if

$$Gr^F_p G r^U_q G = 0, \quad \text{for } p \neq q$$

In our situation this amounts to a choice of a section $s : H'' / \partial^{-1}_t H'' \to H''$ of the projection $pr : H'' \to H'' / \partial^{-1}_t H''$ and $Image(s)$ generates $\oplus_{\alpha}(H^\alpha \cap C^\alpha)$. $V^\alpha H''$ is the submodule generated by $s(V^\alpha \Omega_f)$.
Proposition 6.2. ([SA16] prop. 3.5) The filtration

\[ U^pC^\alpha := C^\alpha \cap V^{\alpha+p}H^n \]

is opposite to the filtration Hodge filtration \( F \).

The proof of the theorem 6.1 is based on construction of a \( \mathbb{C} \)-linear isomorphism,

\[ \Phi : H^n(X_\infty, \mathbb{C}) \rightarrow \Omega_f \cong \Omega^{n+1}/df \wedge \Omega^n \]

The mixed Hodge structure on \( \Omega_f \) is defined by using the isomorphism \( \Phi \). This means that

\[ W_k(\Omega_f) = \Phi W_k H^n(X_\infty, \mathbb{Q}), \quad F^p(\Omega_f) = \Phi F^p H^n(X_\infty, \mathbb{C}) \]

and all the data of the Steenbrink MHS on \( H^n(X_\infty, \mathbb{C}) \) such as the \( \mathbb{Q} \) or \( \mathbb{R} \)-structure is transformed via the isomorphism \( \Phi \) to that of \( \Omega_f \). Specifically; in this way we also obtain a conjugation map

\[ \hat{\text{Res}}_{f,0} : \Omega_f \times \Omega_f \rightarrow \mathbb{C} \]

where,

\[ \hat{\text{Res}}_{f,0} = \text{res}_{f,0} (\bullet, \check{\mathcal{C}} \bullet) \]

and \( \check{\mathcal{C}} \) is defined relative to the Deligne decomposition of \( \Omega_f \), via the isomorphism \( \Phi \). If \( J^{p,q} = \Phi^{-1} I^{p,q} \) is the corresponding subspace of \( \Omega_f \), then

\[ \Omega_f = \bigoplus_{p,q} J^{p,q} \quad \check{\mathcal{C}}|_{J^{p,q}} = (-1)^p \]
In other words;
\[ S(\Phi^{-1}(\omega), \Phi^{-1}(\eta)) = \ast \times \text{res}_{f,0}(\omega, \tilde{\eta}), \quad \ast \neq \ast \in \mathbb{C} \]

Remark 6.4. ([PH] page 37) Setting
\[ \psi_i^I(\omega, \tau) = \int_{\Gamma(i)} e^{-\tau f} \omega \]
\[ \tilde{\psi}_i^J(\omega', \tau) = \int_{\Gamma'(i)} e^{+\tau f} \omega' \]

with \( \zeta = \frac{\omega}{df}, \ \zeta' = \frac{\omega'}{df} \), the expression (which is the same as in the proof)
\[ K_s([\zeta], [\zeta']) (\tau) = \sum_{i=1}^{\mu} \psi_i^I(\tau, \omega) \tilde{\psi}_i^J(\tau, \omega') = \sum_{r=0}^{\infty} K^r_s([\zeta], [\zeta']) (\tau) \tau^{-n-r} \]
is a presentation of K. Saito higher residue pairing.

Corollary 6.5. The polarization \( S \) of \( H^n(X_\infty) \) will always define a polarization of \( \Omega_f \), via the isomorphism \( \Phi \). In other words \( S \) is also a polarization in the extension.

The Riemann-Hodge bilinear relations for the MHS on \( \Omega_f \) and its polarization \( \widehat{\text{Res}} \) would be that of an opposite MHS to \( (H^n(X_\infty), S) \).

Corollary 6.6. (Riemann-Hodge bilinear relations for \( \Omega_f \)) Assume \( f : \mathbb{C}^{n+1} \to \mathbb{C} \)
is a holomorphic germ with isolated singularity. Suppose \( \bar{f} \) is the corresponding map to \( N \) on \( H^n(X_\infty) \), via the isomorphism \( \Phi \). Define
\[ P_l = PGr_l^W := \ker(\bar{f}^{l+1} : Gr_l^W \Omega_f \to Gr_{l-2}^W \Omega_f) \]

Going to \( W \)-graded pieces;
\[ \widehat{\text{Res}}_l : PGr_l^W \Omega_f \otimes \mathbb{C} PGr_l^W \Omega_f \to \mathbb{C} \]
is non-degenerate and according to Lefschetz decomposition
\[ Gr_l^W \Omega_f = \bigoplus_r \bar{f}^r P_{l-2r} \]
we will obtain a set of non-degenerate bilinear forms,
\[ \widehat{\text{Res}}_l \circ (id \otimes \bar{f}^r) : PGr_l^W \Omega_f \otimes \mathbb{C} PGr_l^W \Omega_f \to \mathbb{C}, \]
\[ \widehat{\text{Res}}_l = \text{res}_{f,0} \left( \text{id} \otimes \check{C}. \, \check{\mathfrak{d}} \right) \]

Then,

- \( \widehat{\text{Res}}_l(x, y) = 0 \), \( x \in P_r, \ y \in P_s, \ r \neq s \)
- If \( x \neq 0 \) in \( P_i \),

\[ \text{const} \times \text{res}_{f,0} \left( C_l x, \check{C}. \, \check{\mathfrak{d}}. \check{x} \right) > 0 \]

where \( C_l \) is the corresponding Weil operator.

7. Extension over smooth divisor and Hémitian duality

In the following we explain a method of descent of duality for \( D \)-modules, originally belonged to C. Sabbah and M. Saito cf. [SA4], [SA13]. Assume \( X = Z \times \mathbb{C} \), where \( Z \) a complex manifold identified with \( Z = Z \times 0 \), and Let \( M \) be a holonomic \( D_X \)-module. Define

\[ M_{\alpha,p} := \bigoplus_{k=0}^{p} M[t^{-1}] \otimes e_{\alpha,k} \]

with \( e_{\alpha,k} = 0 \) for \( k < 0 \) and \( e_{\alpha,k} = t^\alpha (\log t)^k / k! \) otherwise. We have natural maps

\[ \ldots \Rightarrow M_{\alpha,p} \Rightarrow M_{\alpha,p+1} \Rightarrow M_{\alpha,p+2} \rightarrow \ldots \]

where the composite of the two converse arrow is nilpotent. Then the maps,

\[ \text{Gr}^V \alpha M \rightarrow \text{Gr}^V_{-1} M_{\alpha,p}, \quad m_0 \mapsto \bigoplus_{k=0}^{p} \left[ -(\partial t + \alpha) \right]^k m_0 \otimes e_{\alpha,k} \]
\[ \text{Gr}^V_{-1} M_{\alpha,p} \rightarrow \text{Gr}^V \alpha M, \quad \sum_{k=0}^{p} m_k \otimes e_{\alpha,k} \mapsto \sum_{k=0}^{p} \left[ -(\partial t + \alpha) \right]^k m_{p-k} \]

for \( p \) large enough induce isomorphisms;

\[ \text{Coker}(t\partial_t) \cong \text{Gr}^V \alpha M \cong \ker(t\partial_t) \]

The limit is called moderate nearby cycle module, denoted \( \psi_{l,\lambda}^{\text{mod}} M \), which plays the same role as \( j_\bullet(M \otimes f^* J_{0,n}), \ n >> 0 \) in section 4. The case of moderate vanishing cycle module \( \phi_{l,1}^{\text{mod}} \) may be done in some what similar way, by considering
the inductive system \( M \to M_{-1,p} \) instead of the single module \( M_{\alpha,p} \), and the action of \( N \) is the endomorphism \(-\partial_t t\) on \( Gr_0^VM \). Then we have,
\[
Can = -\partial_t : Gr_{-1}^VM \Rightarrow Gr_0^VM : t = Var.
\]
which are isomorphism, [SA4]. Let
\[
S : M \otimes M \to \mathbb{C}[t, t^{-1}]
\]
be a duality. It extends formally to
\[
\psi_tS : \psi_tM \otimes \psi_tM \to Db_{\mathbb{C}}^{mod(0)}, \quad \phi_tS : \phi_tM \otimes \phi_tM \to Db_{\mathbb{C}}^{mod(0)}
\]
by
\[
S\left(\sum_{k=0}^p \mu_k \otimes e_{\alpha,k} \right) = \sum_{l=0}^p \left(\sum_{k+l=p} (\mu_k, m_l) e_{\alpha,k} e_{\alpha,l}\right)
\]
\(Db_{\mathbb{C}}^{mod(0)}\) is the ring of \( C^\infty \) distributions with moderate growth in dimension 1. These distributions naturally receive a doubly indexed \( V \)-filtration w.r.t the coordinates \( t \) and \( \bar{t} \). [SA3].
\[
\sum_{\alpha,p} \mathbb{C}\{t\}[t^{-1}]\mathbb{C}\{\bar{t}\}[\bar{t}^{-1}] (\log |t|)^p
\]
which is a \( D_{\mathbb{C}} \otimes D_{\mathbb{C}} \)-module in the obvious way. Then, for \(-1 \leq \alpha < 0\) we obtain the induced forms,
\[
\psi_\lambda S : Gr_\alpha^V M \otimes_{\mathbb{C}} Gr_\alpha^V M \to \mathbb{C}, \quad \phi_1 S : Gr_0^V M \otimes_{\mathbb{C}} Gr_0^V M \to \mathbb{C}
\]
with properties;
\[
\psi_\lambda S(N \bullet, \bullet) = \psi_\lambda S(\bullet, N \bullet), \quad \phi_1 S(N \bullet, \bullet) = \phi_1 S(\bullet, N \bullet)
\]
which says \( N \) is an infinitesimal isometry of the descendants. We also obtain a set of positive definite bilinear maps,
\[
\psi_\lambda l S \otimes (id \otimes N^l) : PGr_l^W Gr_\alpha^V M \otimes_{\mathbb{C}} PGr_l^W Gr_\alpha^V M \to \mathbb{C}
\]
The form \( S \) is non-degenerate in a neighbourhood of \( Z \) iff all the forms \( P\psi_\lambda l S \) are non-degenerate. Similar statement is true for hermitian or polarization forms.
Then the graded pairings $\psi_{\lambda}S, -1 \leq \alpha < 0$ are given by the formal residue of the form $S$ at $t = \alpha$ and $t = 0$ respectively for $\psi_{\lambda}S$ and $\phi_1S$. We have proved the following.

**Theorem 7.1.** Assume $\mathcal{M} = (M, F, W, K, S)$ be a polarized MHM (hence regular holonomic) with quasi-unipotent underlying variation of mixed Hodge structure $K$, defined on a Zariski dense open subset $U$ of an algebraic manifold $X$. Then, afar from the singular locus of the NC divisor $\mathcal{M}$ has a smooth extension to all of $X$ and the extended MHM is also polarized. The polarizations on the fibers can be described by residues of the Mellin transform of a formal extension of the polarization $S$ over the moderate distributions, by the two formulas

$$\psi_{\lambda}S\left(\sum_{l=0}^{p} m_{l} \otimes e_{\alpha,l}, \sum_{l=0}^{p} m_{l} \otimes e_{\alpha,l}\right) = \ast. \text{Res}_{s=\alpha}\langle \tilde{S}, |t|^{2s} dt \wedge d\bar{t}\rangle, \quad \ast \neq 0, \ \alpha \neq 0$$

$$\phi_1S(\cdot, \cdot) = \ast. \text{Res}_{t=-1}\langle \tilde{S}, |t|^{2s} F_{loc} dt \wedge d\bar{t}\rangle, \quad \ast \neq 0.$$

**Theorem 7.2.** Suppose $X$ is a complex algebraic manifold with $U \hookrightarrow X$ an open algebraic sub-manifold. Let $\mathcal{H} \to U$ be a quasi-unipotent variation of polarized mixed Hodge structure over $U$, namely $(\mathcal{H}, F, W, S)$. Suppose $\mathcal{H}^e \otimes \mathcal{O}_U$ is regular holonomic. Then the fibers of $\mathcal{H}^e$ on $X \setminus U$ as mixed Hodge structures are polarizable. The polarizations of nearby and vanishing fibers of $\mathcal{H}^e$ on the whole $X$ can be described either by residues of the Mellin transform of the formal extension of the polarization $S$ over the moderate sections or by the Grothendieck residue of the local defining function the NC divisor near its isolated singularity.

**Proof.** The first part is a consequence of (2) or the same 9.2. The second part follows from 6.3 and 7.1 and the discussion at the beginning of this section, noting that near a normal crossing divisor the degenerate fiber is either smooth or defined by an isolated singularity fibration, see also 9.1. □

A polarization of mixed Hodge modules also defines a conjugation map $C_X$ (or similar logarithmic one $C_X^{\text{mod}(Z)}$). This functor has the following natural properties,

$$\psi_{t,\lambda}^{\text{mod}} \circ C_X \cong C_Z \circ \psi_{t,\lambda}^{\text{mod}}, \quad \lambda \neq 1$$

$$\phi_{t,1}^{\text{mod}} \circ C_X \cong C_Z \circ \psi_{t,1}^{\text{mod}}, \quad \lambda = 1$$

Both of the isomorphisms commute with the nilpotent operator $\tilde{N}$ and are also compatible with the gluing data for regular holonomic $D$-modules. This is a special case
of the Kashiwara conjugation functor, [BK] where we have the following commutative diagram.

\[
\begin{array}{ccc}
MHM(X) & \xrightarrow{\psi_{\lambda,\lambda}^\text{mod,} \circ C_X} & MHM(X)_{-\lambda} \\
\downarrow_{DR X, \lambda} & & \downarrow_{DR X, -\lambda} \\
Perv_{-\lambda}(\mathbb{C}) & \xrightarrow{\psi_{\lambda,\lambda}^\text{mod,} \circ C_X} & Perv_{\lambda}(\mathbb{C})
\end{array}
\]

(24)

8. Fourier-Laplace Transform of Polarization

Another interesting view of extensions of PVMHS is described by Fourier-Laplace transform of sheaves. For the set up we consider \( M(\ast \infty) = M \otimes D_{\mathbb{P}^1}(\ast \infty) \) and define its Fourier-Laplace transform

\[
\widehat{M} := q_+(p^+ M(\ast \infty)) \otimes \mathcal{E}^{-\tau r}, \quad \mathcal{E}^{-\tau r} = (O_{\mathbb{P}^1 \times \mathbb{C}}, \nabla = d - \tau dt - td\tau)
\]

The Fourier-Laplace transform can also be equivalently defined by;

\[
\widehat{M} = \text{coker}(C[\tau] \otimes M \nabla^{-\tau r} dt \otimes C[\tau] \otimes M), \quad \tau.m := \partial_t.m
\]

This also applies to polarization of \( D \)-modules. If we have a polarization, \( K : \mathcal{H}' \otimes \mathcal{O} \to \mathcal{L}^{\mathbb{R}}-\text{an} \)

Then it carries over

\[
\widehat{K} : \mathcal{H}' \otimes \mathcal{O} \to \mathcal{L}^{\mathbb{R}}-\text{an}
\]

where \( \mathcal{L}^{\mathbb{R}}-\text{an} \) is set of distributions as in section 7 (Here \( \iota : \mathbb{P}^1 = \mathbb{C} \cap \infty \to \mathbb{P}^1 \) is \( z \mapsto -z \) and \( \iota^+ \) is necessary for we use \( \exp(\overline{t\tau}) \) not \( \exp(-\overline{t\tau}) \). In a way that the distribution on the the integral is twisted by \( \exp(-\overline{t\tau}).\exp(t\tau) \). The product after Fourier transform is

\[
(\sum \tau^i m_i) dt \otimes (\sum \tau^i n_i) dt \mapsto [\psi \to \sum_{i,j} k(m_i, n_j) \tau^i \overline{\tau^j} e^{-\overline{t\tau}} \cdot e^{t\tau} \psi dt \wedge dt]
\]

up to a complex constant, [SA4].

Example 8.1. [SA4]
Theorem 8.2. Assume $\mathcal{M} = (M,F,W,K,S)$ be a polarized MHM (hence regular holonomic) with quasi-unipotent underlying variation of mixed Hodge structure $K$, defined on a Zariski dense open subset $U$ of an algebraic manifold $X$. Then, $\mathcal{M}$ has a smooth extension to all of $X$. Given by the Fourier-Laplace transform of $M$, and similar for the perverse sheaf $K$. The extended MHM (resp. perverse solution) is also polarized. The polarizations on the fibers can be described by the Fourier-Laplace transform of the polarization of $M$ and $K$.

The theorem follows from 7.2 and the following theorem; by considering a local defining equation for the normal crossing divisor, noting that the polarization is unique.

Theorem 8.3. [DW] Assume $\mathcal{H}' = R^n f_* \mathbb{C}_X$ be the local system associated to a holomorphic isolated singularity $f$. Consider the map

$$F : \Omega^{n+1}_x \rightarrow i_* \bigcup_z Hom(H_n(X, f^{-1}(\eta \frac{z}{|z|}), \mathbb{Z}) \cong \oplus_i \mathbb{Z}\Gamma_i, \mathbb{C})$$

$$\omega \mapsto [z \mapsto (\Gamma_i \rightarrow \int_{\tilde{\Gamma}_i} e^{-t/z} \omega)]$$

and define

$$\mathcal{H} := Im(F)$$

where $\Gamma_i$ are the classes of Lefschetz thimbles, and $\tilde{\Gamma}_i$ is the extension to infinity. Then the vector bundle $\mathcal{H}$ is exactly the Fourier-Laplace transform of the cohomology bundle $R^n f_* \mathbb{C}_X = \bigcup H^n(X, \mathbb{C})$, equipped with a connection with poles of order at most two at $\infty$.

$$(\mathcal{H}', \nabla') \cong (\mathcal{H}, \nabla)$$

The following is a consequence of 6.3 and 8.2.

Corollary 8.4. In case of the PVMHS associated to the Milnor fibration of an isolated hypersurface singularity $f$, the modified Grothendieck residue

$$\bullet M = \mathbb{C}[t] \langle \partial_t \rangle / (t - c) \Rightarrow K(m, \bar{m}) = \delta_c, \quad \hat{K}(m, \bar{m}) = i/2\pi \exp(c\tau - c\tau)$$

$$\bullet M = \mathbb{C}[t] \langle \partial_t \rangle / (t\partial_t - \alpha) \Rightarrow K(m, \bar{m}) = |t|^2 \alpha, \quad \hat{K}(m, \bar{m}) = \Gamma(\alpha+1)/\Gamma(-\alpha)|\tau|^{-2(\alpha+1)}$$

Theorem 8.2. Assume $\mathcal{M} = (M,F,W,K,S)$ be a polarized MHM (hence regular holonomic) with quasi-unipotent underlying variation of mixed Hodge structure $K$, defined on a Zariski dense open subset $U$ of an algebraic manifold $X$. Then, $\mathcal{M}$ has a smooth extension to all of $X$. Given by the Fourier-Laplace transform of $M$, and similar for the perverse sheaf $K$. The extended MHM (resp. perverse solution) is also polarized. The polarizations on the fibers can be described by the Fourier-Laplace transform of the polarization of $M$ and $K$.

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$$\omega \mapsto [z \mapsto (\Gamma_i \rightarrow \int_{\tilde{\Gamma}_i} e^{-t/z} \omega)]$$

and define

$$\mathcal{H} := Im(F)$$

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$$(\mathcal{H}', \nabla') \cong (\mathcal{H}, \nabla)$$

The following is a consequence of 6.3 and 8.2.

Corollary 8.4. In case of the PVMHS associated to the Milnor fibration of an isolated hypersurface singularity $f$, the modified Grothendieck residue
\[ \widehat{\text{Res}}_{f,0} = \text{res}_{f,0}(\bullet, \bigcirc) \]

where \( \bigcirc \) is defined relative to the Deligne-Hodge decomposition of \( \Omega_f \) as before, is the Fourier-Laplace transform of the polarization \( S \) on \( H^n(X_{\infty}, \mathbb{C}) \), that is

\[ \widehat{\text{Res}} = \ast \ast = 0 \]

The corollary is also a consequence of uniqueness of polarization. Another important fact is that, a polarization of the form

\[ K : \mathcal{H}' \otimes_{\mathcal{O}} \overline{\mathcal{H}} \to \mathbb{C}[t, t^{-1}] \]

induces an isomorphism

\[ \mathcal{H}' \cong_{\mathcal{O}} \overline{\mathcal{H}} \]

We can glue the above bundles by this isomorphism obtained from the polarization. Thus, the process of gluing is equivalent to polarization. Therefore, in former situation we have

\[ \mathcal{H}'(0) \cong \mathcal{G}_\infty, \quad \Rightarrow \quad \Omega_f' \cong H^n(X_{\infty}, \mathbb{C}) \]

as PVMHS, and PMHS respectively. The corresponding connections are given by

\[ \nabla' : \mathcal{H}' \to \frac{1}{z} \Omega^1 \otimes \mathcal{H}', \quad \nabla : \overline{\mathcal{H}} \to z \Omega^1 \otimes \overline{\mathcal{H}} \]

respectively, [DW] exp. 1, pages 12, 13.

9. Polarization in normal crossing compactification

In this section we explain the polarization in extentions over normal crossing divisors. We present a brief of the work of M. Saito in [SAI5]. It provides a geometric picture or example that how the already defined concepts works out and also it concretely shows how we are going to use \( D \)-module theory for extensions of PVMHS and study Hodge theory. The reader should compare all the set up in this part with the former definitions in order to remove any ambiguities. We explain nearby and vanishing cycles inductively through a stratification of the normal crossing divisor. As the question is local, we may assume, \( X = \Delta^n \), \( D_i = \{ x_i = 0 \}, \ D_I = \cap_{i \in I} D_i \).

Suppose \( M \) is a regular holonomic \( D_X \)-module with quasi-unipotent monodromy
along $D_i$’s. Then $M$ is given by, $E^\nu_I$, $\nu \in (\mathbb{C}/\mathbb{Z})^n$, on the hyper-cover obtained by the simplicial structure of $D$, equipped with the morphisms;

$$\text{can}_i : E^\nu_I \to E^\nu_{I \cup i}, \quad \text{Var}_i : E^\nu_I \to E^\nu_{I \setminus i}$$

such that $\text{can}_i \circ \text{Var}_i = \text{Var}_i \circ \text{can}_i = N_i : E^\nu_I \to E^\nu_I$. We shall assume the sheaves $E^\nu_I$ are given as

$$E^\nu_I = \Psi^\nu_{x_1} \cdots \Psi^\nu_{x_n} L, \quad \Psi^\nu_{x_i} = \begin{cases} \psi^\nu_{x_i} L[-1] & i \notin I \\ \phi^\nu_{x_i} L[-1] & \text{otherwise} \end{cases}$$

and define;

$$M^\alpha = \cap_i (\cup_i \ker((x_i \partial_i - \alpha_i)^j : M \to M))$$

Thus,

$$E^\nu_I = M^{\alpha + 1} I, \quad (\nu \equiv \alpha \mod \mathbb{Z}^n, \alpha \in \mathbb{C}^n), \quad 1_I = (\ldots, 1, \ldots)$$

Actually, $\nu \in (\mathbb{Q} \cap [-1, 0))^n + 1$ would be a set of exponents of different monodromies. Then, $\text{can}_i = \partial_i$, $\text{Var}_i = x_i$, $N_i = x_i \partial_i - \alpha_i$, and

$$\psi_{x_i} = \ker(T_{j, s} - e(\alpha)),$$

with the same for $\phi$. Then the Kashiwara-Malgrange $V$-filtration is by definition,

$$V^{eta (i)} = M \cap \prod_{\alpha \leq \beta} M^\alpha$$

Suppose now $D$ is defined by a single equation, $g = x^m = x_1^{m_1} \cdots x_n^{m_n}$, $m \in \mathbb{N}^n$, set $N_j = \prod_{i \in J} N_i$, $\text{can}_J = \prod_{i \in J} \text{can}_i$, $\text{Var}_J = \prod_{i \in J} \text{Var}_i$. Set

$$N = \log(T_u)$$

to be the logarithm of monodromy on the punctured disc, normalized by twisting with $(n)$. Then the specialization of the system is given by

$$(26) \quad \tilde{E}^\nu_I = \begin{cases} \coker\{\prod_{i \in I \cap \tilde{m}} (N_i - m_i N) = \tilde{N}_I\}, & 0 \notin I \\ \coker\{\left(\prod_{i \in I \cap \tilde{m}} (N_i - m_i N) - N_{I \cap \tilde{m}}\right) N^{-1} \quad \text{Var}_{I \cap \tilde{m}} \quad N \right\} = \tilde{N}_I \end{cases}$$
where the morphisms are injective endomorphisms of $E^{\nu}_{I_m} [\log(T_u)]$, and $E^{\nu_1 + \nu_2}_{I_m} [N = \log(T_u)] \oplus E^{\nu_2}_{I_m} [N = \log(T_u)]$, $I' = I \setminus 0$, respectively. We continue with,

$$\Psi^{n+1} (\Psi_g F) \cong \{ \tilde{E}^{\nu}_I, \tilde{c}_n, \tilde{\var}_i, \tilde{N}_i \} ,$$

**Proposition 9.1.** [SAI5] Let $((H,F,W), N_i; S)$ be a PVMHS of weight $w$, where $W$ is the monodromy filtration for $\sum N_i$ shifted by $w$. We take $T$ as the monodromy on the disc, and set $s = \log(T_u)$, $l = |I|$, and

$$(\tilde{H}_I; F, W) = \text{coker}(\tilde{N}_I : (H[s]; F[l], W[-2l]) \to (H[s]; F, W))$$

$$F^p(H[s]) = \sum_j F^{p+j} H \otimes s^j, \quad W_k(H[s]) = \sum_j W_{k+2j} H \otimes s^j .$$

Then

$$((\tilde{H}_I, F, W), s = \log(T_u), N_i + m_i s; \tilde{S}_I) ,$$

extends the original PVMHS over $D_I$ and is of weight $w + l - 1$, where $W$ is the monodromy filtration for $s + \sum (\tilde{N}_i + m_i s)$ shifted by $w + l - 1$ and $\tilde{S}_I$ is defined by

$$\tilde{S}_I(\tilde{u}, \tilde{v}) = \text{Res} S(\tilde{N}_I^{-1}\tilde{u}, \tilde{v})$$

In a way that $S$ is extended to

$$S : H[s, s^{-1}] \otimes H[s, s^{-1}] \to \mathbb{C}[s, s^{-1}] ,$$

by $S(u \times s^i, v \otimes s^j) = (-1)^i S(u, v) \otimes s^{i+j}$, and $\text{Res} (\sum a_i \otimes s^i) = a_{-1}$.

We sketch the idea of proof from the appendix in [SAI5], due to M. Kashiwara. One may assume $m_i = 1$. By definition we have

$$\tilde{H} = H[s]/\text{coker}(\prod_{i \in I} (s - N_i)) \cong \oplus_{0 \leq j < 1} H \otimes s^j ,$$

$$\tilde{S}(s^j, u, s^k, v) = S(u, (-1)^j \text{Res}_{s=0}(\prod_{i \in I} (s - N_i)^{-1}) s^{j+k} v)$$

where $H$ is identified with $H \otimes 1(\subset H[s])$ and $(s - N_i)^{-1} = s^{-1} \sum_{j \geq 0} N_i^{-1} s^{-j}$. The proof will proceed by induction on $l = |I|$ and $\dim(H)$, and the assertion is clear for $\dim(H) = 0$. It would also be clear for $l = 1$, for then $H \simeq \tilde{H}$. Then the proof of theorem may be understood to prove an induction criteria using identities
\[ S'(\text{can} \otimes \text{id}) = S(\text{id} \otimes \text{Var}) \]

by uniqueness. The morphisms can, Var extend by can \( \otimes 1 \), Var \( \otimes 1 \) etc. Moreover, if you consider the formal structure \( (\mathbb{R}[N]/(N^d), N, S') \) of weight 1 – \( l \) with \( S'(N^i, N^j) = (-1)^i \), if \( i + j = l - 1 \), and 0 otherwise Then we have

\[ (\tilde{H}, \tilde{S}) = (H, S) \otimes (\mathbb{R}[N]/(N^d), S') \]

**Theorem 9.2.** [SAI5] For a reduced irreducible separated complex analytic space \( X \) of dimension \( n \), we have an equivalence of categories,

\[ MH_X(X, w) \cong VH_{\text{gen}}(X, w - n)^p \]

where the right hand side is the inductive limit of \( VH(U, w)^p \) the category of polarizable variations of Hodge structures of weight \( w \) with quasi-unipotent local monodromies on smooth dense Zariski open subsets \( U \). Moreover, the polarizations correspond bijectively.

10. **Higher Residue pairing**

This section provide a concrete form of duality for mixed Hodge modules, namely Higher residue pairing. The construction of higher residues and primitive forms originally belongs to K. Saito, [S2], [LLS]. It provides a standard method to describe a parametric family of dualities for polarized variation of mixed Hodge structures. However, conventionally the duality for \( D \)-modules is a non-degenerate hermitian sesqui-linear form. The method of K. Saito is to express a Serre duality between the Hodge sub-bundles \( \mathcal{H}^{(-k)} \supset \mathcal{H}^{(-k-1)} \) of the Hodge filtration and the corresponding components of a co-filtration \( \mathcal{H}^{(k)} \rightarrow \mathcal{H}^{(k+1)} \) ... associated to the Gauss-Manin system. The method we explain it here is a somewhat different method explained in the second reference. It is based on the identification of the complexes

\[
(PV(X) = \sum PV^{i,j}(X), \partial, \bar{\partial}) \quad \Rightarrow \quad (A(X) = \sum A^{i,j}(X), d, \bar{d})
\]

\[
\alpha_{i,j} \partial_I z \otimes \partial_J \bar{z}, \quad \Rightarrow \quad \beta_{i,j} dz \wedge d\bar{z}
\]

of smooth poly-vector fields on the left, with the space of smooth complex differential forms on \( X \). It gives a filtered quasi-isomorphism

\[
(PV(X)((t)), Q_f = \bar{\partial}_f + t \partial) \rightarrow (A(X)((t)), d + t^{-1}df \wedge \bullet)
\]

where \( Q_f \) is the corresponding coboundary to \( d + t^{-1}df \wedge \bullet \) via a specific isomorphism
PV(X)((t)) \cong A(X)((t))

In fact setting

\[ d^+_f := d + \frac{df}{t} \wedge, \quad d^-_f := td + df \wedge \]

the maps

\[ \Gamma^+ : (PV(X)((t)), Q_f) \cong ((A(X)((t)), d^+_f), \Gamma^+ : (PV(X)((t)), Q_f) \cong ((A(X)((t)), d^-_f) \]

are filtered isomorphisms via \( F^k PV(X)((t)) = t^k PV(X)[[t]], \) and similarly we may filter the other complex. The natural embedding

\[ \iota : (PV_c(X)[[t]], Q_f) \hookrightarrow (PV(X)[[t]], Q_f) \]

where \( c \) states for compact support, defines a quasi-isomorphism, and if we set

\[ H^f(0) := H^*(PV(X)[[t]], Q_f), \quad H^f = H^f(0) \otimes \mathbb{C}[[t]] \mathbb{C}((t)) \]

In fact, we have all the isomorphisms

\[ H^f(-k) = t^k H^f(0) = H^*(t^k PV(X)[[t]], Q_f) = H^*(t^k \Omega^*_X[[t]], d^-_f) = H^-_f \]

In this way we obtain a Hodge filtration

\[ F^k H^f(0) = H^f(-k), \quad Gr^k_F H^f(0) = t^k Jac(f) \]

then the trace map

\[ Tr : PV_c(X) \rightarrow \mathbb{C} \]

provides a \( \mathbb{C}[[t]] \)-homomorphism \( \widehat{Res}^f \) as

\[ H^f(0) \hookrightarrow \mathcal{O}_{S,0}[[t]], \quad \widehat{Res}^f = \sum_k \widehat{Res}^f_k(\bullet)t^k \]

with \( \widehat{Res}^f_k \) the higher residues. Similarly, we obtain the higher residue pairing

\[ K^f(\ , \ ) : H^f(0) \times H^f(0) \rightarrow \mathcal{O}_{S,0}[[t]], \quad K^f(\ , 1) := \widehat{Res}^f \]
\( \mathcal{H}_t^{(0)} \) will also inherits a connection as
\[
\nabla : \mathcal{H}_t^{(0)} \to t^{-1}\mathcal{H}_t^{(0)} \otimes \Omega^1_{S,0}
\].

The higher residue \( K^f \) defines a duality on \( \mathcal{H}_t^{(0)} \). We can use the trace map
\[
PV_c(X)[[t]] \times PV_c(X)[[t]] \to \mathbb{C}[[t]], \quad (\alpha_1.v_1(t), \alpha_2.v_2(t)) \mapsto v_1(t)v_2(-t)Tr(\alpha_1, \alpha_2)
\]
here the convention \( \alpha.v(t) = v(-t)\alpha \) is used. We equip \( PV_c(X)((t)) \) with the symplectic pairing
\[
\omega(\alpha_1,v_1(t),\alpha_2,v_2(t)) = Res_{t=0}v_1(t)v_2(-t)Tr(\alpha_1,\alpha_2)
\]
If we have an admissible variation of mixed Hodge structure on a Zariski open subset underlying our MHM on \( X \setminus f^{-1}(0) \), the limit Hodge filtration pairs with an opposite filtration \( \Phi \) to define a complex variation of MHS. Here by complex we mean we forget about the real structures. In such a case we always can find a decomposition
\[
\mathcal{H}^f = \mathcal{H}_t^{(0)} \oplus \mathcal{L}, \text{ such that } t^{-1}\mathcal{L} \subset \mathcal{L}. \text{ Then we have}
\[
\begin{align*}
K^f(B,B) & \subset \mathbb{C}, \\
K^f(\mathcal{L},\mathcal{L}) & \subset t^{-2}\mathbb{C}[t^{-1}], \\
\omega(\mathcal{L},\mathcal{L}) & = 0
\end{align*}
\]

**Theorem 10.1.** [S2], [LLS] Let \( s_1, s_2 \) be local sections of \( \mathcal{H}_t^{(0)} \).

- \( K^f(s_1, s_2) = \overline{K^f(s_2, s_1)} \).
- \( K^f(v(t)s_1, s_2) = K^f(s_1, v(-t)s_2) = v(t)K^f(s_1, s_2), \; v(t) \in \mathcal{O}_S[[t]] \).
- \( \partial_v K^f(s_1, s_2) = K^f(\partial_v s_1, s_2) + K^f(s_1, \partial_v s_2) \), for any local section of \( T_S \).
- \( (t\partial_t + n)K^f(s_1, s_2) = K^f(t\partial_t s_2, s_1) + K^f(s_1, t\partial_t s_2) \).
- The induced pairing on
\[
\mathcal{H}_t^{(0)}/t.\mathcal{H}_t^{(0)} \otimes \mathcal{H}_t^{(0)}/t.\mathcal{H}_t^{(0)} \to \mathbb{C}
\]
is the classical Grothendieck residue.

As in section (6) we can introduce the formal extensions of the form,
\[
\psi_\lambda K^f : \psi_\lambda \mathcal{H}_t^{(0)} \otimes \psi_\lambda \mathcal{H}_t^{(0)} \to Db_{\mathbb{C}}^{mod(0)}, \quad \phi_\lambda K^f : \phi_\lambda \mathcal{H}_t^{(0)} \otimes \phi_\lambda \mathcal{H}_t^{(0)} \to Db_{\mathbb{C}}^{mod(0)}
\]
Then, for \(-1 \leq \alpha < 0\) the induced forms,
\[
(27) \quad \psi_\lambda K^f : Gr^V_{\alpha} \mathcal{H}_t^{(0)} \otimes_{\mathbb{C}} Gr^V_{\alpha} \mathcal{H}_t^{(0)} \to \mathbb{C}, \quad \phi_\lambda K^f : Gr^V_{1} \mathcal{H}_t^{(0)} \otimes_{\mathbb{C}} Gr^V_{0} \mathcal{H}_t^{(0)} \to \mathbb{C}
\]
By the same procedure as truncated Jordan blocks as shown the graded pairings \( \psi_\lambda K^f \), \(-1 \leq \alpha < 0\) are given by the formal residue of the form \( K^f \) at \( t = \alpha \) and \( t = 0 \) respectively, for \( \psi_\lambda K^f \) and \( \phi_1 K^f \), cf. Theorems 6.1 and 6.4.

**Theorem 10.2.** The duality of the extension of a polarized MHM defined on a Zariski open dense subset of an algebraic manifold is a pull back of K. Saito higher residue pairing over the disk. Any choice of such pairing is equivalent to choose a hermitian duality isomorphisms \( C_X \) and \( c_X \). This form simultaneously descends to residue pairing and polarization form on \( D \) and its open complement \( X \setminus D \), respectively. The residues of the extension of the form \( K^f \) on the space of elementary sections is given by the descendant of the the form \( K^f \) itself, i.e the Grothendieck residue or polarization form, which are sign isomorphic. Finally, because the hermitian dual of a regular holonomic \( D \)-module is also regular holonomic the above procedure of extension may be considered conversely, to extend a \( D \)-module on a neighborhood of the nc-divisor \( D \) to the other chart. Both of the aforementioned extensions would be compatible with the nearby and vanishing cycle functors.

The proof is by replacing the duality \( S \) in section 7 by \( K^f \) the higher residue pairing.

### 11. Application to Neron models of PVHs

Naturally one can apply the extensions of HS to their intermediate Jacobians. In the literature there are several extensions of the bundles of Jacobians, which are quite different. Here we only consider the one obtained from the aforementioned gluing procedure. We use the basic facts that the polarization on a family HS naturally induce the similar operation on their Jacobians to study the asymptotic behaviour of the pairing in a Neron model associated to these variations. To this end assume \( X \) is a projective complex manifold of dimension \( d \).

Let \((\mathcal{H}, F)\) be a variation of Hodge structure. We are interested to family of intermediate Jacobians

\[
J(J_s) = H_{s, \mathbb{Z}} \setminus H_{s, \mathbb{C}}/F^p H_{s, \mathbb{C}} = \text{Ext}^1_{\text{MHM}}(\mathbb{Z}, H_s)
\]

\[
J(\mathcal{H}) = \bigcup_{s \in S^*} J(J_s)
\]

associated to such VMHS, called the Neron model of \( \mathcal{H} \) (here we have assumed the weight is \( 2p-1 \)). The sections of the bundle \( J(\mathcal{H}) \) are called Normal functions. Define

\[
\text{NF}(S^*, \mathcal{H})^\text{ad} := \text{Ext}^1(\mathbb{Z}_{S^*}, \mathcal{H})
\]
called admissible normal functions, where \( \text{Ext} \) is taken in the category of \( \text{VMHS}(S^*)_{\text{ad}}^S \) the category of admissible variation of mixed Hodge structures, [SAI8].

To extend \( J(\mathcal{H}) \) to a space over \( S \), we let \( M \) be the polarized Hodge module on \( S^* \), obtained from the variation \( \mathcal{H} \). On \( S^* \) we have an extension of integral local classes

\[
0 \to \mathcal{H} \to J \to \mathbb{Z} \to 0
\]

and therefore an extension

\[
0 \to M \to N \to \mathbb{Q}_S^H[n] \to 0
\]

with \( \mathbb{Q}_S^H[n] \) the trivial Hodge module of weight \( n \) on \( S \). Dualizing the extension and applying a Tate twist, we also have

\[
0 \to \mathbb{Q}_S^H[n] \to N^\vee \to M(-1) \to 0
\]

with \( N^\vee = \mathbb{D}(N)(-n) \).

**Example 11.1.** [SCH2] Consider the trivial family of Elliptic curves \( E \times \Delta^* \) where \( E = \mathbb{C}/(\mathbb{Z} + \tau.\mathbb{Z}) \), has an automorphism of order 6. Consider the trivial family \( E \times \Delta^* \), as well as its quotient by \( \mathbb{Z}/6\mathbb{Z} \). We denote the local system corresponding to the quotient by \( \mathcal{H} \). By choosing the bases, the monodromy on the cohomology takes the form;

\[
T = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}
\]

with eigen-values \( \tau \) and \( \bar{\tau} \). The Deligne extension is given by \( \mathcal{O}e_1 \oplus \mathcal{O}e_2 \) with connection defined by

\[
\nabla e_1 = -e_1 \otimes \frac{ds}{6s}, \quad \nabla e_2 = -e_2 \otimes \frac{5ds}{6s}
\]

Admissibility condition can be tested by pulling back along the branch cover \( s = t^6 \) to make the monodromy unipotent. If we only need to consider the family \( E \times \Delta^* \), there is a map \( g : \Delta \to \mathbb{C} \) such that,

\[
g(\tau.t) - \tau.g(t) \in \mathbb{Z} + \tau.\mathbb{Z}
\]

because the normal function is pulled back from the original family, \( g \) may be chosen so that \( g(\tau.t) - \tau.g(t) = 0 \), this choice of \( g \) represents the pull back of the extended normal function, its value over 0 is \( g(0) = 0 \). Thus the pull back of any admissible normal function over \( E \times \Delta \) go through the origin.
Theorem 11.2. The limit of the Poincare product on the canonical fibers of the Neron model of a degenerate admissible variation of Hodge structure is given by the modification of the residue pairing or the residues in Theorem 7.1. This process describes the limit Jacobians as the Jacobians of the Opposite Hodge filtration on the extended Hodge structure.

Proof. We have the commutative diagram of non-degenerate bilinear forms,

\[
\begin{array}{c}
K : \ M \otimes M \to \mathbb{C}[t, t^{-1}] \\
\downarrow \downarrow \\
K_J : \ N \otimes N \to \mathbb{C}[t, t^{-1}] \\
\downarrow \downarrow \\
i : \ \mathbb{Q}^H \otimes \mathbb{Q}^H \to \mathbb{C}[t, t^{-1}]
\end{array}
\]

where the map in the first line is the polarization of the mixed Hodge module \(M\), the third map is the product map and the middle one is a descent of the map \(S\) on the Neron model. At the level of local systems we have similar diagram

\[
\begin{array}{c}
\kappa : \ \mathcal{H} \otimes \mathcal{H} \to \mathbb{C} \\
\downarrow \downarrow \\
\kappa_J : \ J_\nu \otimes J_\nu \to \mathbb{C} \\
\downarrow \downarrow \\
i : \ \mathbb{Q} \otimes \mathbb{Q} \to \mathbb{C}
\end{array}
\]

Now tensoring with \(\mathcal{J}^{-\infty, \infty}\) and taking the residue as in sec. 4 or sec 7, we get the residue pairing or the residues as in 7.1. One should note that, extension amounts to exchange the Hodge filtration with the opposite one by [SAI10]. 

The polarization (here we mean Hodge theoretic polarization) of the limit Jacobian and the former polarization on the canonical Jacobian fiber would become isomorphic. In this way one can use one polarization for both.

12. Weil Adeles

We include this sections to give some ideas that can be applied in positive characteristic. The duality of vector bundles or variation of mixed Hodge structures can be explained in Weil language of adeles (also called Beilinson adeles, or classes of repartitions due to Rosenlicht). I closely follow [SE] in this section. Assume we have a MHM \((M, F, W, \mathcal{H}, K', S)\) on the complex manifold \(X\), with the polarization \(S\) on
the local system, which for simplicity we assume carries a pure Hodge structure. We may also assume dim(\(X\)) = 1. Then, we have a definite duality of the form

\[ S^k : Gr^k_F H \otimes Gr^{n-k}_F H \to \mathbb{C} \]

A repartition or adeles \(\eta\) is a family \(\{\eta_p\}_{p \in X}\), where \(\eta_p \in M_p \otimes \mathcal{O}_{X,p}\) for almost all \(p\). The repartitions form a module \(\mathcal{A}_M\) over the field \(\mathbb{C}\). The abelian group \(\mathbb{C}(X) \otimes \mathcal{A}_M\) is a sub-module of \(\mathcal{A}_M\), by \(f \otimes \{m_p \otimes a_p\} \to \{m_p \otimes f.a_p\}\). The filtration \(F^k\) induces a filtration on \(\mathcal{A}_M\), denoted \(F^k\), by asking the corresponding sections of \(M_p\) belong to \(F^k_p\).

Let \(M = \bigoplus_{p,q} I^{p,q}\)

be the Deligne-Hodge decomposition, and denote by \(\mathcal{A}^k_M\) the subspace where \(m_p \in Gr^k_F M = \bigoplus_q I^{k,q}\). Let \(\mathcal{I}^k = \frac{\mathcal{A}_M}{\mathcal{A}^k_M + \mathbb{C}(X) \mathcal{A}_M}\). Then we will have the following short exact sequence,

\[ 0 \to \mathcal{A}^k_M \to M \otimes \mathbb{C}(X) \to \frac{M \times \mathbb{C}(X)}{\mathcal{A}^k_M} \to 0 \]

The long exact sequence of cohomology shows that,

\[ H^1(\mathcal{A}^k_M) = \frac{\mathcal{A}_M}{\mathcal{A}^k_M + M \otimes \mathbb{C}(X)} \]

Now consider the dual of the vector space \(\mathcal{I}(k)\), denoted \(\mathcal{J}(k)\). The vector spaces \(\mathcal{J}(k)\) form an increasing sequence. Set \(\mathcal{J} = \lim \mathcal{J}(k)\). In fact \(\mathcal{J}(k)\) is the topological dual of \(\frac{\mathcal{A}_M}{M \otimes \mathbb{C}(X)}\) w.r.t the topology defined by the subspaces \(\{\mathcal{A}_M^k\}\). Now consider \(\Omega^k_M := \Omega \otimes Gr^{n-k}_F M \cong \Omega \otimes \bigoplus_q I^{n-k,q}\). For any \(\omega \otimes \{n_p\} \in \Omega^k_M\), define the linear form

\[ \theta(\omega \otimes \{b_p\}) : \{m_p \otimes a_p\} \to \sum_{p \in X} S(m_p, n_p) Res_p(a_p, \omega) \]

One can show that if \(\theta(\omega \otimes \{b_p\}) \in \mathcal{J}(k)\), then \(\omega \otimes \{b_p\} \in \Omega^k_M\). It is also easy to see that \(\theta\) is injective, via the non-degeneracy of \(S^k\). The surjectivity of \(\theta\) follows from the fact that \(\mathcal{J}\) has dimension 1 over \(\mathbb{C}(X)\), [SE] (Chap. 2, sec. 5).

The above method is essentially similar to section 4, and section 7. It follows that if we have an analogue of \(V\)-filtration which is compatible with the weight filtrations,
then we can repeat the proof of 7.1. In positive characteristic the weights are defined via the Weil conjectures.

**Remark 12.1.** The scalar product defined by $\theta$ between $I(k)$ and $H^0(X, \Omega_M(k))$ can be interpreted as cup product with values in $H^1(X, \Omega_M)$. Therefore the above construction defines a duality by

$$H^1(X, M) \times H^0(X, M^\vee) \to H^1(X, \Omega)$$

where $M^\vee = \text{Hom}_{\mathcal{O}}(M, \Omega)$.

One of the advantages of using repartitions is that it can be used for families defined over an arbitrary algebraically closed field in positive characteristic, and also over a singular base by a little modification, cf. [SE]. This method also allows to formulate the duality of $D$-modules as different cup products on the components of repartitions when the point is fixed. The method also explains a proof of how we introduced the polarization in sections 4 and 7, which also works in positive characteristic.

### 13. Positive characteristic case

We give a brief discussion of polarization in a family of abelian varieties in positive characteristic. Let $A$ be any abelian variety over a field $k$, and $A^\vee$ be its dual. The canonical isomorphism $H^1(A_{\bar{k}}, \mu_n) = A^\vee(\bar{k})_n$, for $(n, \text{char } k) = 1$ shows,

$$H^1(A_{\bar{k}}, \mathbb{Z}_l(1)) = T_l A^\vee_{\bar{k}}$$

Thus, we get pairings

$$A(\bar{k})_n \otimes A^\vee(\bar{k})_n \to \mu_n, \quad T_l A(\bar{k}) \times T_l A^\vee(\bar{k}) \to \mathbb{Z}_l(1).$$

which is induced by a divisor $D$ on $A$. If $D$ is ample then, the induced pairing on $T_l A_{\bar{k}}$ is non-degenerate, as is the same for the pairing induced on $T_l B_{\bar{k}}$ for $B$ an abelian sub-variety of $A$. $D$ induces a morphism $\phi_D : A \to A^\vee$. $\phi_D(a)$ is defined by the divisor $T^*_a D_{\bar{k}} - D_{\bar{k}}$, where $T_a$ is translation by $a$, [SAI7].

**Theorem 13.1.** [SAI7] Let $C$ be a smooth projective curve over a field $k$, having a $k$-rational point, and $J$ its Jacobian. Then we have a canonical isomorphism $H^1(C_{\bar{k}}, \mathbb{Z}_l(1)) = T_l J(\bar{k})$, such that the Poincare duality of $H^1(C_{\bar{k}}, \mathbb{Z}_l(1))$ is identified with the pairing of $T_l J(\bar{k})$, given by the canonical pairing, given by the theta divisor.

The proof is an standard technique in the theory of abelian varieties.
**Theorem 13.2.** Assume we have a degenerate family of curves \( C \) over an arbitrary field \( k \), and \( J \) the corresponding family of their Jacobians \( T_tJ_t(k) \) polarized by a the Poincare duality of \( H^1(C_t,\overline{k},\mathbb{Z}_l(1)) \). Suppose the monodromy of the fibration is quasi-unipotent, such that the Jacobian bundle extends over the degenerate point, as a compactification of the original Neron model. Then the new Jacobian is polarized by the same polarization form.

**Proof.** (sketch) By theorem 11.2 and the method of its proof we need to prove the extension on the corresponding perverse sheaves or mixed Hodge modules. This amounts to check that the method of extending the polarization explained in section 4 and section 7 can be stated over \( p \)-adic fields (see the discution at the end of section 4). This can be done in a similar way, noting that the weights in this case are calculated via the Weil conjectures. □

Now assume \( f : C \to J \) is the natural map, It is well known that

\[
 f^* : H^1(J_t,\mathbb{Z}_l) \cong H^1(C_t,\mathbb{Z}_l), \quad f_* : H^1(J_t,\mathbb{Z}_l) \cong H^{2g-1}(J_t,\mathbb{Z}_l(g-l))
\]

are dual to each other, and the pairing on \( H^1(C_t,\mathbb{Z}_l(1)) \) corresponds to that on \( H^1(J_t,\mathbb{Z}_l) \), given by Poincare duality and \( f_* \circ f^* \). A pairing of a \( \mathbb{Q}_l \)-module \( V \), with a continuous action of \( G := \text{Gal}(\overline{k}/k) \) is called abelian positive, if there exists an abelian variety with an ample divisor \( D \), such that \( V \) is isomorphic to \( T_lA_{\overline{k}} \otimes_{\overline{k}} \mathbb{Q}_l \) up to a Tate twist as a \( \mathbb{Q}[G] \)-module, and the pairing corresponds to the one on \( T_lA_{\overline{k}} \) defined by the divisor \( D \), [SAI7].

14. **Arithmetic intersections and Asymptotic of Height pairings**

Let \( X \) be an arithmetic variety, i.e a projective and flat variety over \( \text{Spec}(\mathbb{Z}) \), which has a smooth generic fiber \( X_\mathbb{Q} \), with the complex conjugation \( F_\infty : X(\mathbb{C}) \to X(\mathbb{C}) \). The intersection theory on the arithmetic Chow groups can be defined similar to the usual Chow groups. For \( X \) an arithmetic variety, let \( \widehat{CH}(X)_0 \) be the subgroup of algebraic cycles which are homologically equivalent to 0. Also assume \( h \) to be a Kahler metric on \( X(\mathbb{C}) \) invariant under \( F_\infty \). The pair \( (X,h) \) are called an Arakelov variety. The Arakelov Chow group \( CH^p(X) \), is considered as the subgroup corresponded to harmonic forms. Let \( f : X \to Y \) be a morphism of arithmetic varieties, with \( Y \) regular, [KU]. The arithmetic degree map is defined by;

\[
(28) \quad \widehat{\deg} : \widehat{CH}_0(X) \to \mathbb{R}, \quad \widehat{\deg}(Z,g) = \log \mathcal{H}(Z) + \frac{1}{2} \int_X g.
\]
When $X,Y$ are Arakelov varieties, we get a pairing,

$$CH^p(\bar{Y}) \times CH_p(\bar{X}) \rightarrow \deg \rightarrow \mathbb{R}$$

(29)

Then the induced pairing,

$$CH^p(X)^0 \times CH^{d+1-p}(X)^0 \rightarrow \mathbb{R}$$

(30)

does not depend on the choice of Kahler metric, [KU]. We $X = Y$, we have

$$CH^p(\bar{X})^0 \times CH^{d+1-p}(\bar{X})^0 \rightarrow \mathbb{R}$$

(31)

As example take the case of a curve $C_K$ of positive genus, that is geometrically irreducible. After a finite extension of $K$, we may assume that $C_K$ has a regular model over $\text{Spec}(\mathcal{O}_K)$, that the height pairing is well-defined and by a result of G. Faltings and Hriljac, the height pairing has a description in terms of the Neron-Tate pairing on the Jacobian of $C_K$. If $\lambda_C : \text{Jac}(C_K) \rightarrow \text{Jac}(C_K)^\vee$ be the canonical polarization and

$$\theta^1 : A^1(C_K) = CH^1(C_K) \rightarrow \text{Jac}(C_K)(\bar{K})$$

(32)

be the Abel-Jacobi map.

**Theorem 14.1.** (Faltings, Hriljac) [KU], [HR] The height pairing is given by;

$$\frac{1}{[K : \mathbb{Q}]} \langle x, y \rangle = -\langle \theta^1(x), \lambda_C \circ \theta^1(y) \rangle_{\text{Jac}(C)_{\bar{q}}}$$

(33)

The above identity says that after possibly a finite extension of the number field $K$ the height pairing is given by the corresponding polarization of Jacobian of the curves over $\mathbb{Q}$, up to multiplication by an integer constant. Therefore, by theorem 12.2 we obtain

**Theorem 14.2.** Assume we are given a degenerate family of Arakelov curves over $A^1_K$ with $K$ a number field, and the fiber over 0 is the degenerate fiber. Then, the asymptotic of corresponding height pairings is given by the similar formula (41) where the polarization is replaced by the asymptotic one.

**Proof.** The theorem follows from 14.1 and the fact that $\lambda_C$ is the cup product on $H^1(C,K)$. One can apply 11.2 or 10.2 or 9.1 or 7.2 in this case.

Under some mild assumptions, cf. [KU], a similar formula to Theorem 13.1 can be stated for a variety $X$ of dimension $d$ as

$$\frac{1}{[K : \mathbb{Q}]} \langle x, y \rangle = -\langle \theta^p(x), \lambda_X^{d+1-p} \circ \theta^{d+1-p}(y) \rangle_{\text{Pic}^p(X_K)}$$

(34)
where $\lambda^{d+1-p}_X$ is the polarization on higher picard variety $\text{Pic}^p(X_K)$, and

$$\theta^{d+1-p} : CH^p(X) \to \text{Pic}^p(X)/K$$

are universal Picard homomorphisms, [KU]. Thus, one naturally expects the possibility to state similar theorem for families in higher dimensions.

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