Anomaly and Cobordism Constraints

Beyond Grand Unification: Energy Hierarchy

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Abstract

A recent work [1] suggests that a 4d nonperturbative global anomaly of mod 16 class hinting a possible new hidden gapped topological sector beyond the Standard Model (SM) and Georgi-Glashow $su(5)$ Grand Unified Theory (GUT) with 15n chiral Weyl fermions and a discrete $Z_{4X}$ symmetry of $X = 5(B - L) - 4Y$. This $Z_{16}$ class global anomaly is a mixed gauge-gravitational anomaly between the discrete $X$ and spacetime backgrounds. The new topological sector has a GUT scale high energy gap, below its low energy encodes either a 4d noninvertible topological quantum field theory (TQFT), or a 5d short-range entangled invertible TQFT, or their combinations. This hidden topological sector provides the 't Hooft anomaly matching of the missing sterile right-handed neutrinos (3 generations of 16th Weyl fermions), and possibly also accounts for the Dark Matter sector. In the SM and $su(5)$ GUT, the discrete $X$ can be either a global symmetry or gauged. In the $so(10)$ GUT, the $X$ must become gauged, the 5d TQFT becomes noninvertible and long-range entangled (which can couple to dynamical gravity). In this work, we further examine the anomaly and cobordism constraints at higher energy scales above the $su(5)$ GUT to $so(10)$ GUT and $so(18)$ GUT (with Spin(10) and Spin(18) gauge groups precisely). We also find the [1]'s proposal on new hidden gapped topological sectors can be consistent with anomaly matching under the energy/mass hierarchy. Novel ingredients along tuning the energy include various energy scales of anomaly-free symmetric mass generation (i.e., Kitaev-Wen mechanism), the Topological Mass/Energy Gap from anomalous symmetric topological order (attachable to a 5d $Z_{4X}$-symmetric topological superconductor), possible topological quantum phase transitions, and Ultra Unification that includes GUT with new topological sectors.

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Contents

1 Introduction 3

2 Gauge Group Embedding and Representation Hierarchy 7
   2.1 Spacetime and internal symmetry group embedding 7
   2.2 Decomposition: Lie algebras to Lie groups, and representation theory 10
      2.2.1 Representation of spacetime symmetry groups 10
      2.2.2 Representation of internal/gauge symmetry groups 11

3 Energy and Mass Hierarchy: Local and Global Anomaly Constraints 15
   3.1 $so(18)$ GUT without mirror fermion doubling and the energy scale $\Delta_{KW, so(18)}$ 16
   3.2 $so(18)$ GUT to $so(10) \times so(8)$ GUT and $\Delta_{KW, so(10) \times so(8)}$ 18
   3.3 $so(10) \times so(8)$ GUT to $so(10) \times so(6)$ GUT and $\Delta_{KW, so(10) \times so(6)}$ 19
   3.4 $so(10) \times so(8)$ GUT to $so(10) \times so(5)$ GUT, $\Delta_{KW, so(10) \times so(5)}$, and Three Generations 21
   3.5 $so(18)$ GUT to $su(9)$ GUT and $su(5) \times so(6)$ GUT, and $\Delta_{KW, su(5) \times so(6)}$ 22
   3.6 $so(18)$ GUT to $su(9)$ GUT and $su(5) \times so(5)$ GUT, $\Delta_{KW, su(5) \times so(5)}$, and Three Generations 24
   3.7 With an additional discrete $X = 5(B - L) - 4Y$ symmetry 26
   3.8 Kinematics vs Dynamics 28

4 Conclusion: 31
   4.1 Energy hierarchy, possible dynamics, and topological quantum phase transitions 31
   4.2 Energy Scale of Ultra Unification: Grand Unification + Topological Force and Matter 34

A Dynamical Gauge Anomaly Cancellation 38
   A.1 $SU(5)^3$ for $su(5)$ GUT: 4d local anomaly from 5d $\frac{1}{2}CS_{SU(5)}^5$ and 6d $\frac{1}{2}c_3(SU(5))$ 39
   A.2 Witten $SU(2)$ anomaly vs New $SU(2)$ anomaly 40
A.3 A new SU(2) = Spin(3) ⊂ Spin(10) ⊂ Spin(18) anomaly for so(10) and so(18) GUT on non-Spin manifolds

B Anomaly Matching for GUT with Extra Symmetries

B.1 X-SU(5)^2: 4d local Z anomaly or 4d global Z_2 anomaly from 5d (A_{Z_2})c_2(SU(5))

B.2 η(PD(A_{Z_2})): 4d Z_16 global anomaly

C Bibliography

D Acknowledgements

"Lieber Goldberg, spiele mir doch eine meiner Variationen."
"Dear Goldberg, play one of my variations."
Goldberg Variations, BWV 988
Johann Sebastian Bach in 1826

1 Introduction

Based on a recent work [1], the author examined the anomaly and cobordism constraints on Glashow-Salam-Weinberg Standard Models (SM) with a local Lie algebra su(3)×su(2)×u(1) [2–4] of four versions of gauge groups

\[ G_{SM_q} = \frac{SU(3) \times SU(2) \times U(1)}{Z_q}, \quad q = 1, 2, 3, 6, \]  

and Georgi-Glashow (GG) su(5) Grand Unification [5], or su(5) Grand Unified Theory (GUT), with additional symmetry such as the baryon (B) minus lepton (L) number. The constraints from cobordism include all invertible quantum anomalies involving the given internal gauge groups, including all
• *perturbative local anomalies*, classified by \( \mathbb{Z} \) classes (known as free classes), and
• *nonperturbative global anomalies*, classified by \( \mathbb{Z}_n \) classes (known as torsion classes).

The computations of cobordism classifications used in the [1] are mostly done in [14], based on Thom-Madsen-Tillmann spectra [17, 18], Adams spectral sequence [19], and Freed-Hopkins theorem [20], and the author’s prior work jointly with Wan [13–15].

However, Ref. [10] and [14] suggested a \( \mathbb{Z}_{16} \) (a mod 16 class) mixed gauge-gravitational nonperturbative global anomaly, when there is a discrete \( \mathbb{Z}_4 \) symmetry together with a spacetime geometry background probe. The \( X \) can represent a baryon (\( B \)) minus lepton (\( L \)) number in the SM case (1.1), but the \( X \) can also represent a modified version of \( (B-L) \) number up to some electroweak hypercharge \( Y \) [21] in the \( su(5) \) GUT:

\[
X \equiv 5(B-L) - 4Y. \quad (1.2)
\]

In the SM and \( su(5) \) GUT, one can consider such an \( X \) charge corresponds to the \( U(1)_X \) symmetry.

At different energy scales, the \( U(1)_X \) symmetry may: (i) remain a global symmetry, (ii) gauged or (iii) broken spontaneously or explicitly. But in the Georgi or Fritzsch-Minkowski \( so(10) \) GUT [7], it is more natural to keep only a discrete order-4 subgroup out of the continuous \( U(1)_X \):

\[
\mathbb{Z}_{4,X} \subset U(1)_X
\]

sitting precisely and naturally at the center of Spin(10) gauge group:

\[
\mathbb{Z}_{4,X} = Z(Spin(10)) \subset Spin(10). \quad (1.3)
\]

The \( Z(G) \) denotes the center of \( G \). Thus the \( \mathbb{Z}_{4,X} \) is at least dynamically gauged in the Spin(10) gauge group for the \( so(10) \) GUT. This mixed gauge-gravitational nonperturbative global anomaly of \( \mathbb{Z}_{16} \) classes is characterized by a 5d cobordism invariant \( \eta \) (PD(\( \mathbb{A}_{\mathbb{Z}_2} \)))\(^5\) also called a 5d invertible TQFT (iTQFT or invertible topological order\(^6\)) studied in [1, 10, 14, 25–27]. Its precise 5d partition function (whose boundary has the 4d global anomaly) is explained in [1]:

\[
Z_{5d-iTQFT} = \exp \left( \frac{2\pi i}{16} \cdot (-N_{\text{generation}}) \cdot \eta(\text{PD}(\mathbb{A}_{\mathbb{Z}_2})) \bigg|_{M^5} \right). \quad (1.4)
\]

checked no global anomaly for four versions of SM models given by the internal gauge group (1.1) (for the cases without extra global symmetries).

\(^4\)Note we choose the convention that the \( U(1)_{\text{EM}} \) electromagnetic charge is \( Q_{\text{EM}} = T_3 + Y \). The \( U(1)_{\text{EM}} \) is the unbroken (not Higgsed) electromagnetic gauge symmetry and \( T_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \) is a generator of SU(2)\(_{\text{weak}}\), and other conventions of hypercharges can be related by \( Y = 3Y_W = 6Y \) [14].

\(^5\)The \( \eta \) is a 4d cobordism invariant of \( \mathbb{Z}_{16} \) classe with a Pin\(^+\) structure [22]. The \( \text{PD}(\mathbb{A}_{\mathbb{Z}_2}) \) defines the Poincaré dual (PD) of \( \mathbb{A}_{\mathbb{Z}_2} \). The \( \mathbb{A}_{\mathbb{Z}_2} \in H^1(M,\mathbb{Z}_{4,X}/\mathbb{Z}_2^F) \) is locally a \( \mathbb{Z}_2 = \mathbb{Z}_{4,X}/\mathbb{Z}_2^F \) gauge field. The \( \mathbb{Z}_2^F \) is the fermion parity. See more explanations later.

\(^6\)In principle, the iTQFT is the low energy theory description of some gapped phases of invertible topological order. The intrinsic topological order can be long-range entangled, so some of invertible topological orders are also long-range entangled. But a subclass of invertible topological orders is in fact short-range entangled known as symmetry-protected topological state (SPTs). The definition of long-range entangled vs short-range entangled states are based on the modern definition of gapped quantum matter by Wen [23]. See an overview on the quantum matter terminology [23, 24].
Here $N_{\text{generation}}$ is the number of generations, which is $N_{\text{generation}} = 3$ in the SM. The $A_{\mathbb{Z}_2} \in H^1(M, \mathbb{Z}_{4,X}/\mathbb{Z}_2^F)$ is the first cohomology class of $\mathbb{Z}_2 = \mathbb{Z}_{4,X}/\mathbb{Z}_2^F$ (locally and loosely speaking, $A_{\mathbb{Z}_2}$ is analogous to a 1-form $\mathbb{Z}_2$ gauge field) for Spin $\times \mathbb{Z}_2 \mathbb{Z}_4 = \text{Spin} \times \mathbb{Z}_2^F \mathbb{Z}_{4,X}$ structure. The $\mathbb{Z}_2^F$ is the fermion parity symmetry shared by the center of the spacetime Spin group and the normal subgroup of $\mathbb{Z}_{4,X}$. The $\eta$ is a $\mathbb{Z}_{16}$ class of 4d cobordism invariant of Pin$^+$ structure [22]. The (1.4) can be detected on a 5-dimensional real projective space $\mathbb{R}P^5$ by computing the partition function $Z_{5d\text{-TQFT}}[M^5 = \mathbb{R}P^5]$ [1, 25, 28, 29].

We can read the classifications of $(d-1)$-dimensional anomalies from the $d$-th mathematical bordism group denoted as

$$\Omega^G_d,$$

and a specific version of cobordism group (firstly defined to classify Topological Phases [TP] in [20])

$$\Omega^d_G \equiv \Omega^d_{(G_{\text{spacetime}} \ltimes G_{\text{internal}} \rightarrow N_{\text{shared}})} \equiv \text{TP}_d(G).$$

The $\ltimes$ is a twisted product known as a semi-direct product. For example, we can read Eqn. (1.4) as the 5d cobordism invariant listed in Table 4 of Ref. [1] with $G = \text{Spin} \times \mathbb{Z}_2 \mathbb{Z}_4 \times G_{\text{SM}}$ and $G = \text{Spin} \times \mathbb{Z}_2 \mathbb{Z}_4 \times \text{SU}(5)$.

In order to match the non-vanishing anomaly (1.4), it is commonly and naïvely believed that either of the following scenarios must hold (see the summary in Ref. [1]’s Sec. 4.3 and Sec. 5):

(i). The $\mathbb{Z}_{4,X}$ symmetry is broken. For example, by the spontaneous or explicit breaking (or by the Dirac or Majorana masses as in the scenario (iii)).

(ii). There is the 16th Weyl spinor as the sterile right-handed neutrino being gapless (a free theory or a free conformal field theory (CFT)). So the $\mathbb{Z}_{4,X}$ symmetry can be preserved. The total number of Weyl spacetime spinors are $16n$ where $n$ is an integer $n \in \mathbb{Z}$.

(iii). There is the 16th Weyl spinor as the sterile right-handed neutrino, but it is gapped by Dirac or Majorana masses, such as in the seesaw mechanism [30, 31]. Thus the $\mathbb{Z}_{4,X}$ symmetry is broken.

However, the novelty of [1] is suggesting that none of the above needs to be obeyed (the $\mathbb{Z}_{4,X}$ needs not to be broken, nor do we need the 16th Weyl spinor as the sterile right-handed neutrino being gapless or having Dirac/Majorana masses). Ref. [1] suggests a new scenario:

(iv). The $\mathbb{Z}_{4,X}$ symmetry can be preserved but the $\mathbb{Z}_{16}$ class anomaly (1.4) needs to be matched by a new gapped topological sector. The new gapped (previously missing) sector can be either a 4d long-range entangled noninvertible topological quantum field theory (TQFT), or a 5d short-range entangled invertible TQFT, or their combinations. This hidden topological sector provides the ’t Hooft anomaly matching of the missing sterile right-handed neutrinos (with 3 generations), and possibly also accounts for the Dark Matter sector.

Previous work [1] checks explicitly that the anomaly and cobordism constraints below and around SM, electroweak and Higgs energy scale to the $su(5)$ GUT scales.

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7Here the spacetime symmetry is commonly denoted as a Spin group omitting the input of the spacetime dimensions $(d + 1)$, either for the Lorentz signature Spin$(d, 1)$ or the Euclidean signature Spin$(d + 1)$. See Sec. 2.2.1 for more details.

8A TQFT is known as the low energy theory of topological order. The topological order in condensed matter requires an ultraviolet (UV) lattice completion. This phenomenon of symmetric gapped TQFT with ’t Hooft anomaly is noticed first in a lower dimension 2+1d boundary of 3+1d bulk in condensed matter Ref. [32], see an overview [24, 33] and a symmetry-extension approach of general construction [33–35] and counter examples [35–37].
Figure 1: Energy and Mass Hierarchy contemporaneously confirmed in the Standard Model: In the figure, the breaking structure and hierarchy structure always concern the global Lie group: \( SU(3) \times SU(2) \times U(1) \Z_q \), etc. However, we simply denote the local Lie algebra such as \( su(3) \times su(2) \times u(1) \), etc., only for the abbreviation brevity and only to be consistent with the notations of early physics literature. The present work address possible the energy hierarchy in the gray region (with a question mark ?) around the GUT scale above the SM scale and below the Planck scale. See the new proposal in Fig. 4 and Fig. 5.

The purpose of this present work is to check explicitly that the anomaly and cobordism constraints above the \( su(5) \) GUT scale to the higher energy \( so(10) \) GUT scale and a further higher energy \( so(18) \) GUT [8, 9] scale.\(^9\) In addition, we also aim to understand whether the new proposal in [1] is still

\(^9\)The \( so(10) \) GUT scale and the \( so(18) \) GUT have the \( so(10) \) and \( so(18) \) gauge Lie algebras, but precisely we need Spin(10) and Spin(18) gauge Lie groups. The reason is that the fermion matter fields are not only the spacetime spinor of the Lorentz group (or Spin group) but also in the spinor representation of the internal symmetry. The \( so(10) \) GUT requires the irreducible \( 16^+ \) spinor representation thus which must be in Spin(10). The \( so(18) \) GUT requires the irreducible \( 256^+ \) spinor representation thus which must be in Spin(18).
consistent with the additional constraints in the higher energy scales.\textsuperscript{10} In particular, given the present energy hierarchy phenomenological input shown in Fig. 1, “are we able to provide some nonperturbative proposals on the higher energy scales (the gray region with a question mark ? around the GUT scale in Fig. 1), given our knowledge of low energy SM physics, based on the more complete list of anomaly matching and cobordism constraints?” To address this question, we first need to build up tools of the spacetime and internal symmetry group embedding and the representation hierarchy in a systematic careful way in Sec. 2; then we analyze possible scenarios of energy and mass hierarchy from local and global anomaly constraints in Sec. 3. With some phenomenological and mathematical input, we will be able to come back to address this apostrophe-quoted question in Sec. 4 in Conclusion.

2 Gauge Group Embedding and Representation Hierarchy

2.1 Spacetime and internal symmetry group embedding

We first write down the precise symmetry group including the Euclidean/Lorentz spacetime symmetry group $G_{\text{spacetime}}$ and the internal symmetry group $G_{\text{internal}}$ in a unified setting as:

$$G = \frac{G_{\text{spacetime}} \times G_{\text{internal}}}{N_{\text{shared}}} \quad (2.1)$$

Then we discuss the $G$ symmetry embedding in the web in Fig. 2 and Fig. 3.

In Fig. 2 and Fig. 3, we start from the so(18) GUT (with the Spin(18) internal symmetry group or gauge group). These are two versions of so(18) GUT: One can be placed on manifolds without spin structures (non-spin manifolds, where the second Stiefel-Whitney of spacetime tangent bundle $TM$ to be $w_2(TM) \neq 0$ is nontrivial), and the other can be placed on manifolds with spin structures (spin manifolds, where the second Stiefel-Whitney $w_2(TM) = 0$ is trivial).

In Fig. 2, the Spin $\times \mathbb{Z}_2^p$ Spin(18) implies\textsuperscript{11} that this so(18) GUT can be placed on non-spin manifolds (which also includes spin manifolds), by setting the second Stiefel-Whitney of spacetime tangent bundle $w_2(TM) = w_2(V_{\text{SO}}(18))$ to be the same as the gauge bundle from the associated vector bundle $\text{SO}(18) = \frac{\text{Spin}(18)}{\mathbb{Z}_2^p}$.\textsuperscript{12} When $w_2(TM) = w_2(V_{\text{SO}}(18)) = 0$, the theory is on spin manifolds. Similarly, the

\textsuperscript{10}In the present work, we focus on the anomaly involving the internal symmetry group $G_{\text{internal}}$ under the spacetime $G_{\text{spacetime}}$ background probes. After gauging the internal symmetry group $G_{\text{internal}}$, there could give rise to higher generalized global $n$-symmetry [38] whose charged objects are $n$-dimensional (in the spacetime picture). There could be additional new higher ’t Hooft anomalies involving higher $n$-symmetries after gauging $G_{\text{internal}}$. For example, a pure 4d SU(2) Yang-Mills gauge theory at the topological term $\theta$-term (as the second Chern-class $c_2$ of the SU(2) gauge bundle), with or without Lorentz symmetry enrichment, can have higher ’t Hooft anomaly mixing between 1-form $\mathbb{Z}_2$ electric symmetry and the time-reversal (or CP) symmetry [39–41]; a similar phenomenon happens for a 4d U(1) gauge theory [42,43].

The additional higher ’t Hooft anomalies for SM or GUT, if any, would not affect the consistency conditions based on the dynamical gauge anomaly cancellations that we established in [1] and the present work. The additional higher ’t Hooft anomalies, if any, only implies that the higher $n$-symmetries may be emergent and not strictly regularized on the $n$-simplices. The additional higher ’t Hooft anomalies for SM or GUT, if any, can be used to constrain the quantum gauge dynamics, see [44].

\textsuperscript{11}Here $\frac{\text{Spin} \times G_{\text{internal}}}{N_{\text{shared}}}$ means $G_{\text{spacetime}} = \text{Spin} \equiv \text{Spin}(D)$ where $D$ is the relevant spacetime dimensions of manifold $M^D$.

\textsuperscript{12}More generally, for Spin $\times \mathbb{Z}_2^p$ Spin($N$) structure, say for $N \geq 3$, the restriction $w_2(TM) = w_2(V_{\text{SO}(N)})$ also means that all the odd power of the spinor representation matter field of the internal symmetry Spin($N$) must be associated with the Lorentz/Euclidean spacetime spinor (spacetime fermions) as it has a nontrivial $w_2(TM)$ indicating the spacetime
Spin $\times \mathbb{Z}_2^F$ Spin(10) implies that this $so(10)$ GUT can be placed on non-spin manifolds — The $so(10)$ GUT without spin structure is studied in [12,16].

In Fig. 3, the Spin $\times$ Spin(18) implies that this $so(18)$ GUT can be placed only on spin manifolds, limiting to those manifolds with the second Stiefel-Whitney of spacetime tangent bundle $w_2(TM) = 0$ to be zero.

\[
\begin{array}{c}
\text{Spin} \times \mathbb{Z}_2^F \text{ Spin(18)} \\
\text{Spin} \times \text{SU(9)} \\
\text{Spin} \times \text{SU(5)} \times \text{SU(4)} \\
\text{Spin} \times \text{SU(5)} \times \text{Spin(5)} \\
(\text{Spin} \times \text{SU(5)})_{3\text{-Family}} \\
(\text{Spin} \times \frac{\text{SU(3)} \times \text{SU(2)} \times \text{U(1)}}{\mathbb{Z}_6})_{3\text{-Family}} \\
(\text{Spin} \times \frac{\text{SU(3)} \times \text{U(1)}_{\text{EM}}}{\mathbb{Z}_3})_{3\text{-Family}}
\end{array}
\]

Figure 2: The full spacetime-internal symmetry $G = \frac{G_{\text{spacetime}} \times G_{\text{internal}}}{N_{\text{shared}}}$ (the precise global symmetry before gauging the $G_{\text{internal}}$) for the hierarchy starting from the $so(18)$ GUT with Spin $\times \mathbb{Z}_2^F$ Spin(18), which can be placed on non-spin manifolds. Note that Spin(6) $\supset$ Spin(5) $\supset$ Sp(2) = USp(4) and recall $G_{\text{SM}} \equiv \frac{\text{SU(3)}_{\text{strong}} \times \text{SU(2)}_{\text{weak}} \times \text{U(1)}_{\text{Y}}}{\mathbb{Z}_q}$. The subscript “3-Family” means there are 3 families (or 3 generations) of matter fields, e.g., quarks and leptons. Here the arrow from $G_1 \to G_2$ means particularly that $G_1 \supset G_2$ contains the later as a subgroup. This shows the web of full symmetry group embedding, similar to Table 4 of [45]. We have computed the cobordism group $TP_d(G)$ of these spacetime-internal symmetry group $G$ in Ref. [15].

In the present work, we mostly focus on Fig. 2 starting from Spin $\times \mathbb{Z}_2^F$ Spin(18), since Spin $\times \mathbb{Z}_2^F$ Spin(18) is more general in the following aspects:\[13\]

---

\[2\pi\text{-rotation of the matter (fermion) gains a} (-1)\text{-sign on its state vector (known as the fermion self or spin statistics) which must be cancelled by its nontrivial} \ w_2(\text{SO(N)}).\]

\[\text{However, the Spin} \times \text{Spin(18)} \text{ and its embedding hierarchy in Fig. 3 is also interesting by its own. We leave the embedding and breaking pattern on Fig. 3 in a companion work [15].}\]
Figure 3: The full spacetime-internal symmetry $G = G_{\text{spacetime}} \rtimes G_{\text{internal}}$ (the precise global symmetry before gauging the $G_{\text{internal}}$) for the hierarchy starting from the $\mathfrak{so}(18)$ GUT with $\text{Spin} \times \text{Spin}(18)$, which can be placed on spin manifolds. Also we follow the notations/explanations of Fig. 2's caption. We have computed the cobordism group $\text{TP}_d(G)$ of these spacetime-internal symmetry group $G$ in Ref. [15].

(1). The $\text{Spin} \times \mathbb{Z}_2^F \text{Spin}(18)$ structure contains non-spin manifolds which can be more general. Its cobordism theory may detect more exotic anomalies and constraints. For example,

- The $\text{Spin} \times \text{Spin}(3) = \text{Spin} \times \text{SU}(2)$ detects only the $\mathbb{Z}_2$ class of 4d familiar SU(2) Witten anomaly [46] by a 5d cobordism invariant on spin manifolds (see also Appendix A.2). The co/bordism groups are [13]

$$
\Omega^\text{Spin} \times \text{SU}(2)_5 = \mathbb{Z}_2,
\text{TP}_5(\text{Spin} \times \text{SU}(2)) = \mathbb{Z}_2
$$

(2.2)

and its 5d cobordism invariant is [13,14]:

$$
\exp(i\pi \int c_2(V_{\text{SU}(2)})\tilde{\eta})
$$

(2.3)

where the $c_2(V_{\text{SU}(2)})$ is the second Chern class of SU(2) gauge bundle and $\tilde{\eta}$ is the 1d eta invariant or a mod 2 index of 1d Dirac operator, as the generator of 1d spin bordism group $\Omega^\text{Spin} _1 = \mathbb{Z}_2$.

- The $\text{Spin} \times \mathbb{Z}_2^F \text{Spin}(3) = \text{Spin} \times \mathbb{Z}_2^F \text{SU}(2)$ detects not merely a $\mathbb{Z}_2$ class of the familiar 4d SU(2) Witten anomaly [46], but also another new $\mathbb{Z}_2$ class of the 4d new SU(2) anomaly [16] captured by the co/bordism group (details in Appendix A.2):

$$
\Omega^\text{Spin} \times \mathbb{Z}_2^F \text{SU}(2)_5 = \mathbb{Z}_2^2,
\text{TP}_5(\text{Spin} \times \mathbb{Z}_2^F \text{SU}(2)) = \mathbb{Z}_2^2
$$

(2.4)
on non-spin manifolds $M^5$ via another mod 2 class 5d cobordism invariant\(^{14}\)

$$\exp(i\pi \int w_2(TM)w_3(TM)) = \exp(i\pi \int w_2(V_{SO(3)})w_3(V_{SO(3)})). \quad (2.5)$$

(2) The Spin $\times \mathbb{Z}_2^{F}$ Spin(18) and Spin $\times \mathbb{Z}_2^{F}$ Spin(10) structures may be useful for the lattice regularization from the high-energy ultraviolet (UV) based on local bosons [12] (without the requirement of any local fermions). Moreover, upon (global symmetry or gauge) group breaking, when the $2\pi$ rotation sits at the $\mathbb{Z}_2$ normal subgroup of the internal symmetry Spin group is absent, we can generate dynamical spin structures [16] with emergent fermions [12, 16].

For example, Spin $\times \mathbb{Z}_2^{F}$ Spin(18) $\rightarrow$ Spin $\times$ SU(9) and Spin $\times \mathbb{Z}_2^{F}$ Spin(10) $\rightarrow$ Spin $\times$ SU(5) generate dynamical spin structures [16].

2.2 Decomposition: Lie algebras to Lie groups, and representation theory

For the convenience of checking the anomaly matching from the cobordism theory, let us set up some representation (abbreviated as Rep) theory notations for GUT and SM.

2.2.1 Representation of spacetime symmetry groups

Fermions as Lorentz or Euclidean spinors in the spacetime: Fermions are the spinor fields, as the sections of the spinor bundles of the spacetime manifold $M$. The left-handed (chiral) Weyl spinor $\Psi_L$ is a doublet $2$ or the so-called spin-1/2 representation (Rep.) of spacetime symmetry group $G_{\text{spacetime}}$ (Minkowski/Lorentz Spin(3, 1) in 3+1d or Euclidean Spin(4) in 4d), denoted as

\[
\begin{align*}
(3, 1)d & \quad \Psi_L \sim 2_L \text{ of Spin}(3, 1) = \text{SL}(2, \mathbb{C}), \quad \text{complex Rep.} \\
(4, 0)d & \quad \Psi_L \sim 2_L \text{ of Spin}(4) = \text{SU}(2)_L \times \text{SU}(2)_R, \quad \text{pseudoreal Rep.}
\end{align*}
\]

(2.6) (2.7)

The Spin group, Spin(3, 1) or Spin(4), is a double-cover or universal-cover of the Lorentz group SO(3, 1)$_{\pm}$ or Euclidean rotation SO(4), extended by the fermion parity $\mathbb{Z}_2^{F}$ which acts on fermion as $(-1)^F : \Psi \rightarrow -\Psi$.

We will also consider the 5d co/bordism invariants as 5d invertible TQFTs, which can be obtained from integrating out some massive fermions [48] in 4+1d Lorentz or in 5d Euclidean spacetime:

\[
\begin{align*}
(4, 1)d & \quad \Psi \sim 4 \text{ of Spin}(4, 1) = \text{Sp}(1, 1), \quad \text{pseudoreal Rep.} \\
(5, 0)d & \quad \Psi \sim 4 \text{ of Spin}(5) = \text{USp}(4) = \text{Sp}(2), \quad \text{pseudoreal Rep.}
\end{align*}
\]

(2.8) (2.9)

In the following of this article, we shall use the 5d Euclidean signature’s invertible TQFTs to capture the anomalies of 3+1d Lorentz signature’s quantum field theory (QFT). We use the fact [20] that the

\(^{14}\)Notations: We denote the Stiefel-Whitney class of the spacetime tangent bundle $TM$ of spacetime manifold $M$ as $w_j \equiv w_j(TM)$; if we do not specify $w_j$ with which bundle, then we implicitly mean $TM$. We denote $w_j(V_{SO(n)}) \equiv w_j(SO(n))$ is the $j$-th-Stiefel-Whitney class for the associated vector bundle of an SO($n$) gauge bundle.

Throughout the standard notation for characteristic classes [47]: $w_i$ for the Stiefel-Whitney class, $c_i$ for the Chern class, $p_i$ for the Pontryagin class, and $e_n$ for the Euler class. Note that the Euler class only appears in the total dimension of the vector bundle. We may also use the notation $w_i(G)$, $c_i(G)$, $p_i(G)$, and $e_n(G)$ to denote the characteristic classes of the associated vector bundle of the principal $G$ bundle (usually denoted as $w_i(V_G)$, $c_i(V_G)$, $p_i(V_G)$, and $e_n(V_G)$).
unitarity of Lorentz QFT is analogous to the reflection positivity of Euclidean QFT. Therefore, we see a relation that:

\begin{align}
\text{the } d \text{d invertible TQFT in Euclidean signature with the reflection positivity} \\
\Rightarrow \text{captures the anomaly of } (d - 1) d \text{ Euclidean QFT with the reflection positivity} \\
\Rightarrow \text{captures the anomaly of } (d - 2, 1) d \text{ Lorentz QFT with the unitary.}
\end{align}

(2.10)

If we take the \( d = 5 \), we obtain the relation used in this work: the 5d invertible TQFT (from 5d co/bordism invariants) in Euclidean signature with the reflection positivity classifies the (invertible) anomaly of \((3, 1) d\) Lorentz QFT with the unitary. Throughout this article, we may simply denote 5d for Euclidean signature, and \(4d = 3 + 1d\) for Lorentz signature. When we refer to a spacetime spinor in \(4d = 3 + 1d\), in general we mean the spinor in (2.6) for Lorentz signature; when we refer to a spacetime spinor in \(5d = 4 + 1d\), in general we take the spinor in (2.9) for Euclidean signature, because we intend to use the relation (2.10).

Here the spacetime symmetry is commonly denoted as a Spin group omitting the spacetime dimensions, either for the Lorentz signature \(\text{Spin}(d, 1)\) or the Euclidean signature \(\text{Spin}(d + 1)\). The readers should still recall that we have implicitly made the representation of fermions as spacetime spinors in the spacetime symmetry group as what we have already done in Sec. 2.2.1. In the following, when we discuss fermions, we mainly focus on their representation of internal/gauge symmetry group.

### 2.2.2 Representation of internal/gauge symmetry groups

**[I]. Standard Model SM\(_q\) with \(q = 1, 2, 3, 6\):** The local gauge structure of Standard Model is the Lie algebra \(su(3) \times su(2) \times u(1)\). This means that the Lie algebra valued 1-form gauge fields take values in the Lie algebra generators of \(su(3) \times su(2) \times u(1)\). There are \(8 + 3 + 1 = 12\) Lie algebra generators. The 1-form gauge fields are the 1-connections of the principals \(G_{\text{internal}}\)-bundles.

**[II]. Standard Model SM\(_q\) with fermions:** In the first generation of SM, the matter fields as left-handed (\(L\)) or right-handed (\(R\)) Weyl spinors contain:

- The left-handed up and down quarks (\(u\) and \(d\)) form a doublet \(\begin{pmatrix} u \\ d \end{pmatrix}_L\) in \(2\) for the \(SU(2)_{\text{weak}}\), and they are in \(3\) for the \(SU(3)_{\text{strong}}\).
- The right-handed up and down quarks, each forms a singlet, \(u_R\) and \(d_R\), in \(1\) for the \(SU(2)_{\text{weak}}\). They are in \(3\) for the \(SU(3)_{\text{strong}}\).
- The left-handed electron and neutrino form a doublet \(\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L\) in \(2\) for the \(SU(2)_{\text{weak}}\), and they are in \(1\) for the \(SU(3)_{\text{strong}}\).
- The right-handed electron forms a singlet \(e_R\) in \(1\) for the \(SU(2)_{\text{weak}}\), and it is in \(1\) for the \(SU(3)_{\text{strong}}\).

There are two more generations of quarks: charm and strange quarks (\(c\) and \(s\)), and top and bottom quarks (\(t\) and \(b\)). There are also two more generations of leptons: muon and its neutrino (\(\mu\) and \(\nu_\mu\)), and tauon.
and its neutrino (τ and ντ). So there are three generations (i.e., families) of quarks and leptons:

\[
\begin{pmatrix}
\begin{pmatrix}
    u \\
    d
\end{pmatrix}_L \times 3 \text{color}, & u_R \times 3 \text{color}, & d_R \times 3 \text{color}, & \begin{pmatrix}
    \nu_e \\
    e
\end{pmatrix}_L, & e_R
\end{pmatrix},
\]

\[
\begin{pmatrix}
    c \\
    s
\end{pmatrix}_L \times 3 \text{color}, & c_R \times 3 \text{color}, & s_R \times 3 \text{color}, & \begin{pmatrix}
    \nu_{\mu} \\
    \mu
\end{pmatrix}_L, & \mu_R
\end{pmatrix},
\]

\[
\begin{pmatrix}
    t \\
    b
\end{pmatrix}_L \times 3 \text{color}, & t_R \times 3 \text{color}, & b_R \times 3 \text{color}, & \begin{pmatrix}
    \nu_\tau \\
    \tau
\end{pmatrix}_L, & \tau_R
\end{pmatrix}.
\] (2.11)

In short, for all of them as three generations, we can denote them as:

\[
\begin{pmatrix}
    \begin{pmatrix}
    u \\
    d
\end{pmatrix}_L \times 3 \text{color}, & u_R \times 3 \text{color}, & d_R \times 3 \text{color}, & \begin{pmatrix}
    \nu_e \\
    e
\end{pmatrix}_L, & e_R
\end{pmatrix} \times 3 \text{ generations.} (2.12)
\]

We can also denote this (2.12) as the left-handed spacetime Weyl spinor representation as:

\[
\begin{pmatrix}
q_L \times 3 \text{color}, & \bar{u}_R \times 3 \text{color}, & \bar{d}_R \times 3 \text{color}, & l_L, & \bar{e}_R
\end{pmatrix} \times 3 \text{ generations} \quad (2.13)
\]

\[
\equiv \begin{pmatrix}
q_L \times 3 \text{color}, & u^c \times 3 \text{color}, & d^c \times 3 \text{color}, & l_L, & e^c
\end{pmatrix} \times 3 \text{ generations.} (2.14)
\]

In fact, all the following four kinds of $G_{SM_q} = \frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_q}$ with $q = 1, 2, 3, 6$ are compatible with the above representations of fermion fields. (See an excellent exposition in a recent work by Tong [49].) These $15 \times 3$ Weyl spinors can be written in the following more succinct forms of representations for any of the internal symmetry group $G_{internal}$ with $q = 1, 2, 3, 6$:

\[
\begin{pmatrix}
(3, 2, 1/6)_L, (3, 1, 2/3)_R, (3, 1, -1/3)_R, (1, 2, -1/2)_L, (1, 1, -1)_L
\end{pmatrix} \times 3 \text{ generations}
\]

\[
\Rightarrow \begin{pmatrix}
(3, 2, 1/6)_L, (\bar{3}, 1, -2/3)_L, (\bar{3}, 1, 1/3)_L, (1, 2, -1/2)_L, (1, 1, 1)_L
\end{pmatrix} \times 3 \text{ generations.} (2.15)
\]

Each of the triplet given above is listed by their representations:

\[
(SU(3) \text{ representation, SU}(2) \text{ representation, hypercharge } Y). \quad (2.16)
\]

[III]. su(5) GUT with su(5) Lie algebra and SU(5) Lie group: If we include the $3 \times 2 + 3 + 2 + 1 = 15$ left-handed Weyl spinors from one single generation in (2.15), we can combine them as a multiplet of $\bar{5}$ and $10$ left-handed Weyl spinors of SU(5). Recall that the SM matter field contents can be embedded into SU(5) as follows, in terms of their representations:

\[
\begin{pmatrix}
(\bar{3}, 1, 1/3)_L \oplus (1, 2, -1/2)_L \sim \bar{d}_R \oplus l_L \sim d^c \oplus l \sim \bar{5} \text{ of SU}(5),
(3, 2, 1/6)_L \oplus (3, -2/3)_L \oplus (1, 1, 1)_L \sim q_L \oplus \bar{u}_R \oplus \bar{e}_R \sim q \oplus u^c \oplus e^c \sim 10 \text{ of SU}(5). \quad (2.17)
\end{pmatrix}
\]
More explicitly, in terms of the anti-fundamental 5 and the anti-symmetric matrix representation 10:

\[
\begin{align*}
\Sigma &= (\psi_\alpha) = \left(\begin{array}{c}
d_R^\alpha \\
\nu_c \\
e_L \\
\end{array}\right) = \left(\begin{array}{c}
d_R^\nu \\
\nu_c \\
e_L \\
\end{array}\right) \\
\Sigma &= (\psi_\alpha) = \left(\begin{array}{c}
-\psi_{\alpha\beta} \\
\psi_{\alpha\beta} \\
-\psi_{\alpha i} \\
-\psi_{\alpha i} \\
\end{array}\right) = \left(\begin{array}{c}
-\bar{u} \\
\bar{u} \\
\bar{d} \\
\bar{d} \\
\end{array}\right) \\
\Sigma &= (\psi_\alpha) = \left(\begin{array}{c}
-\psi_{\alpha\beta} \\
\psi_{\alpha\beta} \\
-\psi_{\alpha i} \\
-\psi_{\alpha i} \\
\end{array}\right) = \left(\begin{array}{c}
\bar{u} \\
\bar{u} \\
0 \\
0 \\
\end{array}\right) \\
\Sigma &= (\psi_\alpha) = \left(\begin{array}{c}
-\psi_{\alpha\beta} \\
\psi_{\alpha\beta} \\
-\psi_{\alpha i} \\
-\psi_{\alpha i} \\
\end{array}\right) = \left(\begin{array}{c}
\bar{d} \\
\bar{d} \\
0 \\
0 \\
\end{array}\right)
\end{align*}
\]

Hence these are matter field representations of the \(su(5)\) GUT with an \(SU(5)\) gauge group.

[IV]. **Break \(SU(5)\) to \(\frac{SU(3)\times SU(2)\times U(1)}{Z_6}\)** and to \(\frac{SU(3)\times SU(2)\times U(1)}{Z_3}\): Other than the electroweak Higgs \(\phi_H\), we also need to introduce a different GUT Higgs field \(\phi_{\text{GG}}\) to break down \(SU(5)\) to \(\frac{SU(3)\times SU(2)\times U(1)}{Z_6}\). The \(\phi_{\text{GG}}\) can be \(\phi_{su(5)42} = 24\) in the adjoint representation of \(SU(5)\) as

\[
\phi_{su(5)42} = 24 \text{ of } SU(5)
\]

\[
= (8,1,Y = 0) + (1,3,Y = 0) + (1,1,Y = 0) + (3,2,Y = -5) + (3,2,Y = 5) \text{ of } \frac{SU(3)\times SU(2)\times U(1)}{Z_6}.
\]

Moreover, to give fermion mass by Yukawa-Higgs Dirac term via a Higgs mechanism, we can introduce additional new Higgs fields for the electroweak Higgs \(\phi_H\) which further breaks \(SU(5)\) to \(\frac{SU(3)\times SU(2)\times U(1)}{Z_3}\):

\[
\phi_{su(5)15} \sim 5 \text{ of } SU(5), \quad \text{also } \phi_{su(5)45} \sim 45 \text{ of } SU(5).
\]

In short, for \(su(5)\) GUT, the GUT Higgs field is in the adjoint 24, while the electroweak Higgs field is in 5, and also another 45.\(^{15}\)

We can add a right-handed neutrino \(\nu_R\) (or \(\nu^c\) known as the sterile neutrino which does not interact with any \(SU(5)\) gauge bosons) into the \(SU(5)\) with a trivial representation:

\[
(1,1,0)_L \sim \bar{\nu}_R \sim \nu^c \sim 1 \text{ of } SU(5),
\]

[V]. **\(so(10)\) GUT with \(so(10)\) Lie algebra and \(Spin(10)\) Lie group:** If we include the \(3\times2 + 3 + 3 + 2 + 1 = 15\) left-handed Weyl spinors from one single generation, and also a right-handed neutrino (2.22), we can combine them as a multiplet of 16 left-handed Weyl spinors:

\[
\Psi_L \sim 16^+ \text{ of } Spin(10),
\]

which sits at the 16-dimensional irreducible spinor representation of \(Spin(10)\). The two irreducible spinor representations together

\[
16^+ \oplus 16^- = 32
\]

\(^{15}\)To choose an electroweak Higgs \(\phi_H\), we check that Yukawa-Higgs-Dirac term \(\phi_H\psi_R^L\psi_L + \text{h.c. or } \phi_H\psi_R^L\psi_L + \text{h.c. gives the trivial representation in } SU(5)\). We know the forms of electron mass term \(\bar{e}_R \gamma_\mu e_L \sim 10 \otimes 5\), the up quark mass term \(\bar{u}_R u_L \sim 10 \otimes 10\), and the down mass term \(\bar{d}_R d_L \sim 5 \otimes 10\). Together with the fact \(10 \otimes 5 = 5 \oplus 45\) and \(10 \otimes 10 = 5 \oplus 45 \oplus 50\) implies that \(\phi_H\psi_R^L + \text{h.c. or } \phi_H\psi_R^L + \text{h.c. and } \phi_H\psi_R \psi_R + \text{h.c. give the correct Yukawa-Higgs-Dirac terms chosen from either } \phi_{su(5)15} \sim 5\) (by using the fact \(5 \otimes 5 = 1 \oplus 24\) contains 1) or \(\phi_{su(5)45} \sim 45\) (by using the fact \(45 \otimes 45\) contains 1).
form a 32-dimensional reducible spinor representation of Spin(10). Namely, we must regard the so(10) GUT with a Spin(10) gauge group. Based on the Nielsen-Ninomiya fermion doubling of the free fermion theory, the $16^+ \oplus 16^-$ can be regarded as the realization of

the chiral matter $16^+$ and the mirror matter $16^-$

(anti-chiral with complex conjugated representation). Based on a generalization of gapping mirror fermion [50] by suitable nonperturbative interactions (see an overview from [51–55]), References [12,56–58] suggested that the mirror matter $16^-$ can be fully gapped without breaking the Spin(10) group. It is shown in [12] that the gapping $16^-$ without breaking Spin(10) is consistent with the classification of all invertible local and global anomalies from the cobordism classification [12,13]. (We will explain more details in Sec. A.3.)

It is also shown in [12] that the gapping $16^-$ without breaking Spin(10) is consistent with the perspective of Seiberg’s deformation class of quantum field theories (QFT) [59].

**[VI]. Break Spin(10) to SU(5):** To break the Lie group Spin(10) → SU(5) (which is a stronger statement than the breaking of Lie algebra so(10) → su(5)), we can implement the following Higgs fields (see Fig. 4 and Fig. 5):

(i).

$$\phi_{so(10)}^{16} \sim 16 \text{ of Spin}(10),$$

(2.25)

which $16$ is also in $16^+$. Conventionally, an old wisdom said that $\phi_{so(10)}^{16}$ requires an additional (17th) Weyl fermion [6] (in a trivial representation $1$ of Spin(10)) to pair with the 16th Weyl fermion in $16$ and $\phi_{so(10)}^{16} \sim 16$ to give it a mass via Higgs mechanism. However, as we learned, Ref. [1] suggested the $Z_{16}$ anomaly cannot be matched by all 17 Weyl fermions.

A new more promising proposal from Ref. [1] is that we can still use the vacuum expectation value (vev) of $\phi_{so(10)}^{16} = 16$ to break Spin(10) → SU(5), but we introduce a new 4d TQFT sector (but keep only 16 Weyl fermions in $16^+$, without introducing the 17th Weyl fermion in 1) with a huge energy gap $\Delta_{\text{TQFT}}$ of GUT scale shown in Fig. 4 and Fig. 5. The $\Delta_{\text{TQFT}}$ means the energy gap between the ground state $|\Psi_{\text{g.s.}}\rangle$ of its topological order and the first excited state(s) $|\Psi_{\text{1st excited}}\rangle$ of fractionalized excitations (anyonic strings with fractional braiding statistics of 4d TQFT [60–65]). So that the energy difference between $|\Psi_{\text{1st excited}}\rangle$ and $|\Psi_{\text{g.s.}}\rangle$ is the TQFT/topological order gap defined as:

$$\Delta_{\text{TQFT}} \equiv E_{\Psi_{\text{1st excited}}} - E_{\Psi_{\text{g.s.}}}.$$ 

(2.26)

The $Z_{16}$ anomaly is compensated by the 4d TQFT (replacing the 16th Weyl fermion of the sterile right-handed neutrino) plus the remaining 15 Weyl fermions of $su(5)$ GUT.

(ii).

$$\phi_{so(10)}^{126} \sim 126 \text{ of Spin}(10).$$

(2.27)

Alternatively, we can introduce the Majorana mass to the 16th Weyl fermion in $16^+$ by the Higgs field $\phi_{so(10)}^{126}$ via Yukawa-Higgs mechanism with a Yukawa-Higgs-Majorana mass term.\(^{16}\)

\(^{16}\)This is simply based on the Yukawa-Higgs-Dirac term $\phi_{so(10)}^{16} \psi_R \psi_L \sim \mathbf{16} \otimes 1 \otimes 16$ and the fact $\mathbf{16} \otimes 1 \otimes 16 = \mathbf{16} \otimes 1 \otimes \mathbf{16} = \mathbf{16} \otimes 1 \otimes 45 \oplus 210$ contains the trivial $1$ allowed for Yukawa-Higgs-Dirac term. But the 17th Weyl fermion in $1$ has an disadvantage to mismatch the $Z_{16}$ anomaly if we wish to maintain the $Z_4$ discrete subgroup of $X = 5(B - L) - 4Y$ [1]. So Ref. [1] proposes a new way out using a new 4d TQFT (without adding any 17th Weyl fermion) which can match the $Z_{16}$ anomaly, while the vev of $\phi_{so(10)}^{16}$ can still break Spin(10) → SU(5).

Recall a Majorana mass term $\psi \psi \sim 16 \otimes 16$ and the fact $16 \otimes 16 = 10 \oplus 120 \oplus \mathbf{T26}$ where $\mathbf{T26}$ is complex, self-dual, total anti-symmetric 5-index tensor in Spin(10). Then a Yukawa-Higgs-Majorana term $\phi_{so(10)}^{126} \psi \psi \sim \mathbf{T26} \otimes 16 \oplus 16$ can contain $\mathbf{T26} \otimes \mathbf{T26}$, which can also contain the desired trivial $1$. (Note that $\mathbf{T26} \otimes \mathbf{T26} = 1 \oplus 45 \oplus 210 \oplus 770 \oplus 5940 \oplus 8910$.)
[VII]. Break Spin(10) to SU(5) then to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$ and to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$: We have explained breaking Spin(10) to SU(5) in [VI] and breaking SU(5) to $SU(3) \times SU(2) \times U(1) \times \mathbb{Z}_6$ in [IV].

(i). The Spin(10) can be further broken down to $SU(3) \times SU(2) \times U(1) \times \mathbb{Z}_6$ by adding a new Higgs condensate in addition to the Higgs $\phi_{so(10)_{16}}$ or $\phi_{so(10)_{126}}$ of [IV] which already breaks Spin(10) to SU(5). The new Higgs condensate can be

$$\phi_{so(10)_{45}} \sim 45$$

of Spin(10) = $1 \oplus 10 \oplus \overline{10} \oplus 24$ of SU(5),

since the branching rule contains $\phi_{su(5)_{24}} \sim 24$ of SU(5) that breaks SU(5) to $SU(3) \times SU(2) \times U(1) \times \mathbb{Z}_6$. Another option is the $\phi_{so(10)_{54}} \sim 54$ that also contains $\phi_{su(5)_{24}} \sim 24$ of SU(5):

$$\phi_{so(10)_{54}} \sim 54$$

of Spin(10) = $15 \oplus \overline{15} \oplus 24$ of SU(5).

(ii). The Spin(10) can be further broken down to $SU(3) \times U(1)_{EM} \times \mathbb{Z}_3$ just as SU(5) broken to $SU(3) \times SU(2) \times U(1) \times \mathbb{Z}_3$. To do so, we look at the Yukawa-Higgs Dirac mass term $\phi^* \psi_L^\dagger \psi_R + h.c.$ and see the fermion bilinear $16 \otimes 16 = 10 \oplus 120 \oplus \overline{126}$ see that

$$\phi_{so(10)_{10}} \sim 10$$

of Spin(10) = $5 \oplus \overline{5}$ of SU(5),

$$\phi_{so(10)_{120}} \sim 120$$

of Spin(10) = $5 \oplus 5 \oplus 10 \oplus \overline{10} \oplus 45 \oplus \overline{45}$ of SU(5),

$$\phi_{so(10)_{126}} \sim 126$$

of Spin(10) = $5 \oplus \overline{5}$ of SU(5).

We see all $\phi_{so(10)_{10}}, \phi_{so(10)_{120}}$ and $\phi_{so(10)_{126}}$ contain the Higgs $\phi_{su(5)_{5}} \sim 5$ and/or $\phi_{su(5)_{45}} \sim 45$ of SU(5), thus they can suit the job, breaking down to $SU(3) \times U(1)_{EM} \times \mathbb{Z}_3$.

[VIII]. $so(18)$ GUT with $so(18)$ Lie algebra and Spin(18) Lie group: The 256 left-handed Weyl spinors (each as a 2-component spacetime spinor) has 16 copies of $16^+$ of Spin(10)

$$\Psi_L \sim 256^+$$

of Spin(18),

sits at the 256-dimensional irreducible spinor representation of Spin(18). The two irreducible spinor representations together

$$256^+ \oplus 256^- = 512$$

form a $512$-dimensional reducible spinor representation of Spin(18).

We also know Spin(6) = SU(4) $\supset$ Spin(5) = Sp(2) = USp(4), thus SU(9) $\supset$ SU(5) $\times$ SU(4) $\supset$ SU(5) $\times$ Spin(4). With the above information and [1, 14, 15] and some basics of GUT [66, 67] in mind, we obtain the embedding web in Fig. 2 and Fig. 3.

3 Energy and Mass Hierarchy: Local and Global Anomaly Constraints

With Ref. [1] and Sec. 2 in mind, we suggest that the anomaly can be matched at different energy or mass scales in different Scenarios, see Fig. 4 and Fig. 5. We enlist several Scenarios [I], [II], [III], [IV], at different energy scales into subsections.
3.1 $so(18)$ GUT without mirror fermion doubling and the energy scale $\Delta_{KW,so(18)}$

$so(18)$ GUT without mirror fermion doubling and the energy gap scale $\Delta_{KW,so(18)}$:

In fact, based on [12], for $so(18)$ GUT with fermions in the spinor representation of Spin(18) we can consider a quantum model with UV completion (but without gravity) of the followings:

(1) We can start from a 4d Universe with fermion doublings $\Psi_L^{Spin(18)} \sim 256^+$ and $\Psi_R^{Spin(18)} \sim 256^-$. Then we can gap $\Psi_R^{Spin(18)} \sim 256^-$ by nonperturbative interactions without breaking Spin(18). The reason is that for the all G-anomaly-free theory (as we can check $so(18)$ GUT with Spin(18) chiral fermions is fully anomaly free [12], see also later in Sec. A.3), we can gap the theory as a $G$-symmetric gapped boundary of a trivial $G$-symmetric gapped bulk. Here the “trivial” means a trivial SPT state thus a trivial cobordism class. The gapped boundary is in fact an gapped interface between a “trivial $G$-symmetric gapped bulk” and a “trivial $G$-symmetric gapped vacuum.” Thus, the trivial $G$-symmetric gapped bulk can be smoothly crossed over to the trivial vacuum without breaking $G$ symmetry and without closing the energy gap at the $G$-symmetric gapped interface.

(2) We can start from a 4d Universe without fermion doublings and with only $\Psi_L^{Spin(18)} \sim 256^+$. This is true since we can simply choose to start with a $G$-symmetric gapped boundary on the mirror sector for the bulk with a trivial cobordism class in $G$ [12] (whose boundary contains any all-G-anomaly-free theory [12]). To establish this claim, we can check that $so(18)$ GUT is free from the $Z_2$ class global anomaly [12,14] (which turns out to be the same $Z_2$ class anomaly of $so(10)$ GUT if we embed $Spin(10) \subset Spin(18)$):

$$\Omega_5^{Spin \times Z_2 Spin(18)} = \Omega_5^{Spin \times Z_2 Spin(10)} = Z_2,$$

(3.1)

$$TP_5 (Spin \times Z_2 Spin(18)) = TP_5 (Spin \times Z_2 Spin(10)) = Z_2.$$  

(3.2)

This potential $Z_2$ class 4d global anomaly in Spin(18) and Spin(10) chiral fermion theory is analogous to the 4d new SU(2) anomaly [16] occurred in (2.4), based on SU(2) $\subset$ Spin(3) $\subset$ Spin(10) $\subset$ Spin(18). Each is respectively generated by 5d cobordism invariants (see footnote 14 for notations):

$$\exp(i\pi \int w_2(V_{SO(18)})w_3(V_{SO(18)}))$$

and

$$\exp(i\pi \int w_2(V_{SO(10)})w_3(V_{SO(10)})).$$

(3.3)

Follow [12,16], we can explicitly check these anomalies (3.3) are absent in the $so(10)$ and $so(18)$ GUT (also shown later in Sec. A.3). The new SU(2) anomaly requires an isospin-$3/2$ fermion of SU(2) $= Spin(3)$ (i.e., in the representation 4 of SU(2)) to realize the anomaly [16]. However, the fermions in $so(10)$ GUT and $so(18)$ GUT are in the spinor representation $16^+$ of Spin(10) and $256^+$ of Spin(18), which after projection to the Spin(3) can be decomposed as the direct sums of 8 copies of 2 (an isospin-1/2 fermion) of SU(2), and 128 copies of 2 (an isospin-1/2 fermion) of SU(2) respectively. Same for the $16^-$ of Spin(10) and $256^-$ of Spin (18). Namely, we obtain

$$\Psi_L \sim 256^+ \text{ of Spin(18)} \text{ or } \Psi_R \sim 256^- \text{ of Spin(18)} \sim 128 \cdot 2 \text{ of Spin(3)} = SU(2).$$

(3.4)

$$\Psi_L \sim 16^+ \text{ of Spin(10)} \text{ or } \Psi_R \sim 16^- \text{ of Spin(10)} \sim 8 \cdot 2 \text{ of Spin(3)} = SU(2).$$

(3.5)

So the $so(10)$ GUT and $so(18)$ GUT both have some even numbers of isospin-1/2 fermions, which do not have the familiar Witten SU(2) anomaly [46], nor do they have the new SU(2) anomaly [12,16] in the absence of 4 of SU(2). So the $so(10)$ GUT and $so(18)$ GUT can have gapped mirror sectors without the unwanted fermion doubling [12].

In any case, the gapped mirror sector via (1) or (2) must have a huge energy gap of GUT scale somehow slightly higher than the $so(18)$ GUT scale but much lower than $M_{Planck} \sim \sqrt{\hbar c \over G} \sim 10^{19}\text{GeV}$, which we call

$$\Delta_{KW,so(18)}.$$ 

(3.6)
Energy Scale Hierarchy (E)

mass (energy gap) scale \( \sim E/c^2 \)

- \( \Delta_{KW. so(18)} \)
- \( \Delta_{KW. su(5) \times so(6)} \) (possible dynamical gauge symmetry breaking)
- \( \Delta_{KW. su(5) \times so(5)} \) (beyond Higgs mechanism, an alternative to Hypercolor)

- \( \Delta_{TQFT} \) New Scenario: from Topological Mass/Energy Gap of 4d non-invertible TQFT or 5d invertible TQFT
  “4d \( Z_{4,X} \)-symmetry preserving anomalous topological order and 5d SPTs/topological superconductor effects” in CMP/HEP.

- \( M_\nu \) Old Scenario: Majorana mass of right-handed neutrinos breaks \( Z_{4,X} \).
  \( \Delta_{TQFT} \) is an alternative to Majorana/Dirac mass of heavy sterile right-handed neutrinos, alternative to the seesaw mechanism.

- Higgs mechanism: \( su(5) \rightarrow su(3) \times su(2) \times u(1) \) by \( \phi_{su(5)_{24}} = 24 \),
  quarks/leptons mass obtained via \( \phi_{su(5)_5} = 5 \) or extra \( \phi_{su(5)_{45}} = 45 \).

- Anderson-Higgs mechanism of Standard Model:
  \( su(3) \times su(2) \times u(1) \rightarrow su(3) \times u(1)_{EM} \) by \( \phi_H = (1, 2, \frac{1}{2}) \),
  an analogous “4d superconductor effect” in CMP/HEP.

Figure 4: Energy and Mass Hierarchy Proposal: Follow Fig. 1, the breaking structure and hierarchy structure always concern the global Lie group: Spin(18), SU(5), SU(3) \( \times \) SU(2) \( \times \) U(1), etc. However, we simply denote the local Lie algebra such as so(18), su(5), su(3) \( \times \) su(2) \( \times \) u(1), etc., only for the abbreviation brevity and only to be consistent with the notations of early physics literature.

Here KW stands for Kitaev-Wen (KW) mechanism due to the original pioneer work of \([56, 68–71]\). The KW.so(18) means the first Kitaev-Wen mechanism that we implement starting around from the scale of so(18) GUT. This \( \Delta_{KW. so(18)} \) must be in a larger energy gap than the other dynamical gauge symmetry breaking or GUT Higgs scales. See our Fig. 4 and Fig. 5.
Figure 5: Energy and Mass Hierarchy Proposal: Follow Fig. 1 and Table 4’s notations/explanations, what we have in mind about is always the global Lie group: $\frac{\text{Spin}(10) \times \text{Spin}(5)}{Z_2}$, etc. However, we simply denote the local Lie algebra such as $so(10) \times so(5)$, etc.

3.2 $so(18)$ GUT to $so(10) \times so(8)$ GUT and $\Delta_{KW, so(10) \times so(8)}$

[II]. **Break $so(18)$ GUT to $so(10) \times so(8)$ GUT:**
Suppose the breaking $\text{Spin} \times Z_2^F \text{Spin}(18) \rightarrow \text{Spin} \times Z_2^F (\text{Spin}(10) \times Z_2^F \text{Spin}(8))$ occurs at the energy scale $M_{so(10) \times so(8)}$, we have the representation branching rule decomposition for fermions in the spinor repre-

---

18It is possible to achieve the breaking by dynamical symmetry breaking or by Higgs mechanism. We will not pursue the details of the possibility of dynamical symmetry breaking in this article. Instead, as the old wisdom goes, we can simply follow the similar route of the breaking analysis by Higgs field as performed in [57,66]. We leave the analysis for synthesizing
sentations of internal symmetry groups:

\[ \Psi_L^{\text{Spin}(18)} \sim 256^+ \text{ of Spin}(18) \sim (16^+, 8^+) \oplus (16^-, 8^-) \text{ of Spin}(10) \times \mathbb{Z}_2^F \text{ Spin}(8), \]

\[ \Psi_R^{\text{Spin}(18)} \sim 256^- \text{ of Spin}(18) \sim (16^+, 8^-) \oplus (16^-, 8^+) \text{ of Spin}(10) \times \mathbb{Z}_2^F \text{ Spin}(8). \]

Again the mirror fermion \( \Psi_R^{\text{Spin}(18)} \sim 256^- \sim (16^+, 8^-) \oplus (16^-, 8^+) \) is already fully gapped out, on and above the scale \( \Delta_{KW, so(18)} \) in Scenario [I].

Follow the similar idea of Ref. [57], we could check whether the Kitaev-Wen analogous mechanism can gap \((16^-, 8^-)\) without breaking \((\text{Spin}(10) \times \mathbb{Z}_2^F \text{ Spin}(8))\) by checking whether all the anomalies vanish for the \((16^-, 8^-)\) chiral fermion. First, the cobordism classification of the anomalies show [15]:

\[ \Omega_5^{\text{Spin} \times \mathbb{Z}_2} \frac{\text{Spin}(10) \times \text{Spin}(8)}{\mathbb{Z}_2^2} = \mathbb{Z}_2^2, \]

\[ \text{TP}_5(\text{Spin} \times \mathbb{Z}_2) \frac{\text{Spin}(10) \times \text{Spin}(8)}{\mathbb{Z}_2^2} = \mathbb{Z}_2^2. \]

The \( \mathbb{Z}_2^2 \) are two \( \mathbb{Z}_2 \) classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations)

\[ \exp(i\pi) \int \left( n_{10} w_2(V_{\text{SO}(10)}) w_3(V_{\text{SO}(10)}) + n_8 w_2(V_{\text{SO}(8)}) w_3(V_{\text{SO}(8)}) \right), \]

where \((n_{10}, n_8) \in \mathbb{Z}_2^2\). These \( \mathbb{Z}_2^2 \) class anomalies are similar to the new SU(2) anomaly [16] thanks to \( \text{Spin}(10) \supset \text{Spin}(8) \supset \text{Spin}(3) \). Follow the same projection checking in Scenario [I], Ref. [57] wishes to gap the fermions in the spinor representation \( 16^- \) of \( \text{Spin}(10) \) and \( 8^- \) of \( \text{Spin}(8) \), which after projection to the \( \text{Spin}(3) \) can be decomposed as the direct sums of 8 copies of 2 (an isospin-1/2 fermion) of SU(2), and 4 copies of 2 (an isospin-1/2 fermion) of SU(2) respectively. Namely, we obtain

\[ 16^- \text{ of Spin}(10) \sim 8 \cdot 2 \text{ of Spin}(3) = \text{SU}(2). \]

\[ 8^- \text{ of Spin}(8) \sim 4 \cdot 2 \text{ of Spin}(3) = \text{SU}(2). \]

So the \( so(10) \) GUT and \( so(18) \) GUT both have some even numbers of isospin-1/2 fermions 2 of SU(2) (4) of SU(2), which do not have the familiar Witten SU(2) anomaly [46], nor do they have the new SU(2) anomaly [12, 16] in the absence of isospin-3/2 fermions 4 of SU(2). So the \((16^-, 8^-)\) can be a gapped sector without breaking the symmetry, agreeing with [57]. If there is an energy gap scale for this gapping \((16^-, 8^-)\) scenario, we can name it as:

\[ \Delta_{KW, so(10) \times so(8)}, \]

which is around the breaking scale \( M_{so(10) \times so(8)} \).

3.3 \( so(10) \times so(8) \) GUT to \( so(10) \times so(6) \) GUT and \( \Delta_{KW, so(10) \times so(6)} \)

Motivated by the dynamical symmetry breaking and/or Higgs mechanism of the \( so(18) \) GUT [8, 9, 57, 66], we can consider the following breaking pattern:

\[
\begin{align*}
\text{Spin} \times \mathbb{Z}_2^F (\text{Spin}(10) \times \mathbb{Z}_2^F \text{ Spin}(8)) & \rightarrow \text{Spin} \times \mathbb{Z}_2^F (\text{Spin}(10) \times \mathbb{Z}_2^F (\text{Spin}(6) \times \mathbb{Z}_2^F \text{ Spin}(2))) \\
& \rightarrow \text{Spin} \times \mathbb{Z}_2^F (\text{Spin}(10) \times \mathbb{Z}_2^F \text{ Spin}(6)),
\end{align*}
\]

the old idea of dynamical symmetry breaking and the new idea of nonperturbative anomaly/cobordism constraints, together with heavy color, technicolor, or hypercolor types of ideas [72–75], in a future work.
where Spin(6) = SU(4) and Spin(2) = U(1). The $8^+$ and $8^-$ in (3.7) are the 8-dimensional representations of Spin(8). There are three 8-dimensional representations: the vector representation $S_v$, the spinor representation $S_s$, and the conjugate of spinor representation $S_c$ related by the Spin(8) triality. The $S_v$, $S_s$, and $S_c$ can be transformed to each other via the outer automorphism $S_3$, which is the symmetric group of the order 3! = 6 as the permutation of 3 elements. In particular, for the convenience of obtaining a desirable breaking pattern, we choose that $8^+$ is $S_v$ and $8^-$ is $S_s$, also we choose the decomposition branching rules for Spin(8) $\rightarrow$ (Spin(6) $\times$ $Z_2^F$ Spin(2)) $\rightarrow$ Spin(6) as

\begin{align*}
8_v \text{ of Spin}(8) &= (1, 2) \oplus (1, -2) \oplus (6, 0) \text{ of } (\text{Spin}(6) \times Z_2^F \text{Spin}(2)) = 1 \oplus 16 \oplus 6 \text{ of Spin}(6). \\
8_s \text{ of Spin}(8) &= (4, -1) \oplus (4, 1) \text{ of } (\text{Spin}(6) \times Z_2^F \text{Spin}(2)) = 4 \ominus 4 \text{ of Spin}(6). \\
8_c \text{ of Spin}(8) &= (4, 1) \oplus (4, -1) \text{ of } (\text{Spin}(6) \times Z_2^F \text{Spin}(2)) = 4 \oplus 4 \text{ of Spin}(6). \tag{3.16}
\end{align*}

After $(16^-, 8^-)$ can be already gapped out by Scenario [II], we can check whether any additional sector of $(16^+, 8^+)$ of Spin(10) $\times$ $Z_2^F$ Spin(8) can be gapped out by Kitaev-Wen analogous mechanism. The $16^+$ of Spin(10) $\sim 8 \cdot 2$ of Spin(3) = SU(2) is free from the old (familiar Witten) SU(2) anomaly [46] and the new SU(2) anomaly [16]. The $8^+ = S_v$ of Spin(8) can be decomposed as $1 \oplus 1 \oplus 6$ of Spin(6). Thus we can check whether some of the components in

$$(16^+, 8^+) \text{ of Spin}(10) \times Z_2^F \text{Spin}(8) = (16^+, 1 \oplus 1 \oplus 6) \text{ of Spin}(10) \times Z_2^F \text{Spin}(6) \tag{3.17}$$

are free from the anomalies classified by the cobordism group [15]:

\begin{align*}
\Omega_{\text{Spin} \times Z_2}^{\text{Spin}(10) \times \text{Spin}(6)} &= Z_2^2, \tag{3.18} \\
\text{TP}_5(\text{Spin} \times Z_2) \frac{\text{Spin}(10) \times \text{Spin}(6)}{Z_2} &= Z_2^2. \tag{3.19}
\end{align*}

The $Z_2^2$ are two $Z_2$ classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations):

$$\exp(i \pi \int \left( n_{10} w_2(V_{SO(10)}) w_3(V_{SO(10)}) + n_6 w_2(V_{SO(6)}) w_3(V_{SO(6)}) \right)), \tag{3.20}$$

where $(n_{10}, n_6) \in Z_2^2$. These $Z_2^2$ class anomalies are similar to the new SU(2) anomaly [16] thanks to Spin(10) $\supset$ Spin(6) $\supset$ Spin(3). We can project the Spin(6) to Spin(3) = SU(2) representation

$$1 \oplus 1 \oplus 6 \text{ of Spin}(6) = 1 \oplus 1 \oplus (1 \oplus 1 \oplus 2 \oplus 2) \text{ of Spin}(3) = SU(2). \tag{3.21}$$

In particular, the $6$ of Spin(6) as $(1 \oplus 1 \oplus 2 \oplus 2)$ of Spin(3) = SU(2), due to an even number of 2 and no 4 of SU(2) (thus their mod 2 classes are zeros), is now confirmed to be free from the mod 2 classes of old and the new SU(2) anomalies. Thus the $(16^+, 6)$ of Spin(10) $\times Z_2^F$ Spin(6) is free from all anomalies of (3.19). In summary, we can gap $(16^+, 6)$ by nonperturbative interactions without breaking the Spin(10) $\times Z_2^F$ Spin(6) symmetry, but we keep the gapless $(16^+, 1 \oplus 1)$ intact. If there is an energy gap scale for this gapping $(16^+, 6)$ scenario, we can name it as:

$$\Delta_{\text{KW,so}(10) \times \text{so}(6)}, \tag{3.22}$$

which is around the breaking scale $M_{\text{so}(10) \times \text{so}(6)}$. However, this is not desirable because we are left with the nearly gapless $(16^+, 1 \oplus 1)$ with only two generations instead of three generations of quarks and leptons.

\footnote{Depending on how do we embed Spin(6) $\supset$ Spin(3), it is possible that we can obtain 6 of Spin(6) as $(1 \oplus 1 \oplus 2 \oplus 2)$ of Spin(3), or 6 of Spin(6) as $(1 \oplus 1 \oplus 1 \oplus 3)$ of Spin(3). In any case, it still has an even number of 2 and an even number of 4 of SU(2), which we confirm to be free from the old and the new SU(2) anomalies.}

\footnote{Similarly, the $(16^+, 1 \oplus 1)$ of Spin(10) $\times Z_2^F$ Spin(6) is also free from all anomalies of (3.19) and thus can be gapped, but we wish to keep the $(16^+, 1 \oplus 1 \oplus \ldots)$ intact for the nearly gapless sector for the SM phenomenology.}
3.4 $so(10) \times so(8)$ GUT to $so(10) \times so(5)$ GUT, $\Delta_{KW, so(10) \times so(5)}$, and Three Generations

[IV]. We can also consider the following breaking pattern:

$$\text{Spin} \times \mathbb{Z}_2 (\text{Spin}(10) \times \mathbb{Z}_2 \text{Spin}(8)) \rightarrow \text{Spin} \times \mathbb{Z}_2 (\text{Spin}(10) \times \mathbb{Z}_2 \text{Spin}(3))$$

$$\rightarrow \text{Spin} \times \mathbb{Z}_2 (\text{Spin}(10) \times \mathbb{Z}_2 \text{Spin}(5)), \quad (3.23)$$

where $\text{Spin}(5) = \text{Sp}(2) = \text{USp}(4)$ and $\text{Spin}(3) = \text{SU}(2)$. Again, for the convenience of obtaining a desirable breaking pattern, we choose that $8^+ = 8_v$ and $8^- = 8_c$, also we choose the decomposition branching rules for $\text{Spin}(8) \rightarrow (\text{Spin}(5) \times \mathbb{Z}_2 \text{Spin}(3)) \rightarrow \text{Spin}(5)$ as

$$\begin{align*}
8_v \text{ of Spin}(8) &= (1, 3) \oplus (5, 1) \text{ of (Spin}(5) \times \mathbb{Z}_2 \text{Spin}(3)) = 1 \oplus 1 \oplus 1 \oplus 1 \oplus 5 \text{ of Spin}(5). \\
8_s \text{ of Spin}(8) &= (4, 2) \text{ of (Spin}(5) \times \mathbb{Z}_2 \text{Spin}(3)) = 4 \oplus 4 = 2 \cdot 4 \text{ of Spin}(5). \quad (3.24) \\
8_c \text{ of Spin}(8) &= (4, 2) \text{ of (Spin}(5) \times \mathbb{Z}_2 \text{Spin}(3)) = 4 \oplus 4 = 2 \cdot 4 \text{ of Spin}(5). 
\end{align*}$$

Similar to Scenario [III], after $(16^-, 8^-)$ is already gapped out by Scenario [II], we can check whether any additional sector of $(16^+, 8^+)$ of $\text{Spin}(10) \times \mathbb{Z}_2 \text{Spin}(8)$ can be gapped out by Kitaev-Wen analogous mechanism. The $16^+$ of $\text{Spin}(10) \sim 8 \cdot 2$ of $\text{Spin}(3) = \text{SU}(2)$ is free from the old (familiar Witten) SU(2) anomaly [46] and the new SU(2) anomaly [16]. The $8^+ = 8_v$ of Spin(8) can be decomposed as $1 \oplus 1 \oplus 1 \oplus 5$ of Spin(5). Thus we can check whether some of the components in

$$(16^+, 8^+) \text{ of Spin}(10) \times \mathbb{Z}_2 \text{Spin}(8) = (16^+, 1 \oplus 1 \oplus 1 \oplus 5) \text{ of Spin}(10) \times \mathbb{Z}_2 \text{Spin}(5) \quad (3.25)$$

are free from the anomalies classified by the cobordism group [15]:

$$\begin{align*}
\Omega_5^{\text{Spin} \times \mathbb{Z}_2 (\text{Spin}(10) \times \text{Spin}(5))} &= \mathbb{Z}_2^2, \\
\text{TP}_5 (\text{Spin} \times \mathbb{Z}_2 (\text{Spin}(10) \times \text{Spin}(5))) &= \mathbb{Z}_2^2. \quad (3.26)
\end{align*}$$

The $\mathbb{Z}_2^2$ are two $\mathbb{Z}_2$ classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations)

$$\exp(i\pi \int (n_{10} w_2(V_{SO(10)}) w_3(V_{SO(10)}) + n_5 w_2(V_{SO(5)}) w_3(V_{SO(5)}))), \quad (3.28)$$

where $(n_{10}, n_5) \in \mathbb{Z}_2^2$, similar to the new SU(2) anomaly [16] thanks to $\text{Spin}(10) \supset \text{Spin}(5) \supset \text{Spin}(3)$. We can project the Spin(5) to Spin(3) = SU(2) representation

$$1 \oplus 1 \oplus 1 \oplus 5 \text{ of Spin}(5) = 1 \oplus 1 \oplus 1 \oplus (1 \oplus 2 \oplus 2) \text{ of Spin}(3) = \text{SU}(2). \quad (3.29)$$

In particular, the 5 of Spin(5) as $(1 \oplus 2 \oplus 2)$ of Spin(3), $21$ due to an even number of 2 and no 4 of SU(2) (thus their mod 2 classes are zeros), is now confirmed to be free from the old and the new SU(2) anomalies. Thus the $(16^+, 5)$ of Spin(10) $\times \mathbb{Z}_2$ Spin(5) is free from all anomalies of (3.27). In summary, we can gap $(16^+, 5)$ by nonperturbative interactions, but we keep the gapless

$$(16^+, 1 \oplus 1 \oplus 1) \quad (3.30)$$

$^21$Depending on how do we embed Spin(5) $\supset$ Spin(3), it is possible that we can obtain 5 of Spin(5) as $(1 \oplus 2 \oplus 2)$ of Spin(3); it may also be possible to choose the 5 of Spin(5) as $(1 \oplus 1 \oplus 3)$ of Spin(3) = SU(2). In any case, it still has an even number of 2 and an even number of 4 of SU(2), which we confirm to be free from the old and the new SU(2) anomalies.

$^22$Similarly, the $(16^+, 1 \oplus 1 \oplus 1)$ of Spin(10) $\times \mathbb{Z}_2$ Spin(5) is also free from all anomalies of (3.27) and thus can be gapped, but we wish to keep the $(16^+, 1 \oplus 1 \oplus 1)$ intact for the nearly gapless sector for the SM phenomenology.
intact, while preserving the Spin(10) \times \mathbb{Z}_2^F \text{ Spin}(5) symmetry. If there is an energy gap scale for this gapping \((16^+, 5)\) scenario, we can name it as:
\[
\Delta_{KW, so(10) \times so(5)};
\]
which is around the breaking scale \(M_{so(10) \times so(5)}\). This seems to be desirable because we are left with the nearly gapless \((16^+, 1 \oplus 1 \oplus 1)\) with exactly three generations of quarks and leptons.

### 3.5 \(so(18)\) GUT to \(su(9)\) GUT and \(su(5) \times so(6)\) GUT, and \(\Delta_{KW, su(5) \times so(6)}\)

[V]. Break \(so(18)\) GUT from Spin(18) to SU(9), to SU(5) \times SU(4) = SU(5) \times Spin(6) and their GUT: Here we attempt to make the historical \(so(18)\) GUT to \(su(9)\) GUT and \(su(5) \times su(4)\) GUT (or \(su(5) \times so(6)\) GUT, by the Lie algebra \(su(4) = so(6)\)) breaking process in [8,9,57] more mathematically precise, by considering the embedding web Fig. 2. Breaking \(Spin \times \mathbb{Z}_2^F Spin(18) \to Spin \times SU(9)\), we have the representation branching rule:
\[
\Psi^{Spin(18)}_L \sim 256^+ \text{ of Spin(18)} \sim [0] \oplus [2] \oplus [4] \oplus [6] \oplus [8] = 1 \oplus 36 \oplus 126 \oplus 36 \oplus 9 \text{ of SU(9)}. \tag{3.32}
\]
Follow the setup and notation in [57], the \([N]\) is an \(N\)-index anti-symmetric tensor from the fundamental representation of SU(9). Let us decompose the above matter field representations from the viewpoint of Spin \(\times SU(9) \to Spin \times SU(5) \times SU(4) = Spin \times SU(5) \times Spin(6)\). Below we follow the notations in [57], the subscript \([N]\) of the 2-tuple “\((SU(5) \text{ representation}, Spin(6) \text{ representation})\)” means where the 2-tuple is from the \([N]\) of SU(9). We see that part of 120 Weyl fermions in \(256^+\) is analogous to matter fields,
\[
\text{Representation of SU}(5) \times SU(4) = SU(5) \times Spin(6) : \nonumber \\
(\bar{5}, 1)_{[8]} \oplus (\bar{5}, 1)_{[4]} \oplus (\bar{5}, 6)_{[6]} \oplus (10, 1)_{[2]} \oplus (10, 1)_{[6]} \oplus (10, 6)_{[4]} \\
= (\bar{5}, 1) \oplus (\bar{5}, 1) \oplus (\bar{5}, 6) \oplus (10, 1) \oplus (10, 1) \oplus (10, 6) = \left(\bar{5} \oplus 10, \ 1 \oplus 1 \oplus 6\right). \tag{3.33}
\]
We also see that additional part of 120 Weyl fermions in \(256^+\) is analogous to extra matter fields,
\[
\text{Representation of SU}(5) \times SU(4) = SU(5) \times Spin(6) : \nonumber \\
(\bar{5}, 4)_{[4]} \oplus (\bar{5}, 4)_{[2]} \oplus (\bar{10}, 4)_{[4]} \oplus (\bar{10}, 4)_{[6]} \nonumber \\
= (\bar{5}, 4) \oplus (\bar{5}, 4) \oplus (\bar{10}, 4) \oplus (\bar{10}, 4) = \left(\bar{5} \oplus 10, \ 4 \oplus 4\right). \tag{3.34}
\]
There is an additional part of 16 Weyl fermions in \(256^+\) analogous to 16 copies of a right-handed "sterile" neutrino,
\[
\text{Representation of SU}(5) \times SU(4) = SU(5) \times Spin(6) : \nonumber \\
(1, 1)_{[0]} \oplus (1, 1)_{[4]} \oplus (1, 4)_{[6]} \oplus (1, 4)_{[8]} \oplus (1, 6)_{[2]} \\
= (1, 1) \oplus (1, 1) \oplus (1, 4) \oplus (1, 4) \oplus (1, 6) = \left(1, \ 1 \oplus 4 \oplus 4 \oplus 6\right) . \tag{3.35}
\]
The \((1, 1)_{[0]}\) and \((1, 1)_{[4]}\) are indeed sterile and they carry no gauge charge under SU(5) \times Spin(6) (thus not charged under \(G_{SM\tilde{b}}\) and SM gauge forces). But the \((1, 4)_{[6]}, \ (1, 4)_{[8]}\), and \((1, 6)_{[2]}\) are only sterile under SU(5) (thus sterile to SM forces), but they do carry gauge charges as fundamental, anti-fundamental, or spinor presentations of SU(4) = Spin(6).
We can check that whether the Spin $\times$ SU(5) $\times$ Spin(6) chiral fermion theory of additional matter \((5 \oplus \overline{10}, \{4 \oplus \overline{4}\})\) are free from the anomaly classified by \([15]\)

\[
\Omega^\text{Spin$\times$SU(5)$\times$Spin(6)}_5 = 0, \quad \text{(3.36)}
\]

\[
\text{TP}_5(\text{Spin} \times \text{SU}(5) \times \text{Spin}(6)) = \mathbb{Z}^2. \quad \text{(3.37)}
\]

The \(\mathbb{Z}^2\) are two \(\mathbb{Z}\) classes of 4d perturbative local anomalies captured by one-loop triangle Feynman diagrams and generated by 5d cobordism invariants (see footnote 14 for notations):

\[
\exp(i \int (n_{\text{su}(5)} \frac{1}{2} \text{CS}_5(V_{\text{SU}(5)}) + n_{\text{so}(6)} \frac{1}{2} \text{CS}_5,e(V_{\text{SO}(6)}) ), \quad \text{(3.38)}
\]

where \((n_{\text{su}(5)}, n_5) \in \mathbb{Z}^2\). They are also related to the 6d anomaly polynomial of the 6th bordism group \(\Omega_6\), generated by the 3rd Chern class of SU(5) gauge bundle \([11, 12]\) and the 6th Euler class of Spin(6) or SO(6) gauge bundle \([11]\), see more details in \([15]\).

• It is straightforward to check the representation multiplet in (3.34), equivalently as \((5 \oplus \overline{10}, 4)\) and \((\overline{5} \oplus \overline{10}, \overline{4})\), is free from the 4d perturbative local anomaly of 5d \(\frac{1}{2} \text{CS}_5(V_{\text{SU}(5)})\), see Sec. A.1 for the exemplary calculation. In fact, generally a \(5 \oplus \overline{10}\) has no 4d perturbative local anomaly of 5d \(\frac{1}{2} \text{CS}_5(V_{\text{SU}(5)})\).

• It is straightforward to check the representation multiplet in (3.34), equivalently as \((5, 4 \oplus \overline{4})\) and \((\overline{10}, 4 \oplus \overline{4})\), is also free from the 4d perturbative local anomaly of 5d \(\frac{1}{2} \text{CS}_5,e(V_{\text{SO}(6)})\). For example, we can show that \((5, 4 \oplus \overline{4})\) and \((\overline{10}, 4 \oplus \overline{4})\) of the \(2_L\) left-handed Weyl fermions can be regarded as \((5, 4) \oplus (\overline{10}, 4)\) of the \(2_L\) left-handed Weyl spinors and \((5, 4) \oplus (\overline{10}, 4)\) of the \(2_R\) right-handed Weyl spinors of the Spin(3,1) Lorentz spinors. Since the

\[(5, 4) \oplus (\overline{10}, 4)\]

of \(2_L \oplus 2_R\) Weyl spinors have the same quantum number but the opposite chirality, they do not have the 4d perturbative chiral anomaly of 5d \(\frac{1}{2} \text{CS}_5,e(V_{\text{SO}(6)})\).

• We can also ask: How many, among the 16 copies of the 16th Weyl fermions in (3.35), \((1, (1 \oplus 1 \oplus 4 \oplus 4 \oplus 6))\), can be gapped out while still preserving the Spin $\times$ SU(5) $\times$ Spin(6) symmetry?

Clearly, there are two copies of \((1, 1)\) neutral under all gauge forces. (The only possible anomalies for the gauge neutral matter \((1, 1)\) are the gravitational anomalies, if any.) It is easy to see that \((1, 1)\) is free from all the anomalies in (3.37) and (3.38). Therefore we can gap \((1, 1)\) without breaking Spin $\times$ SU(5) $\times$ Spin(6) symmetry, for example, by adding it a Majorana mass. However, as shown in Ref. [1], if we wish to preserve an extra \(\mathbb{Z}_{4,X} \supset \mathbb{Z}^F_2\), we cannot gap \((1, 1)\) by adding a single Majorana mass which breaks \(\mathbb{Z}_{4,X}\), thus there must be an additional anomaly.

We also confirm that \((1, 4 \oplus \overline{4}) = (1, 4) \oplus (1, \overline{4})\) of the \(2_L\) left-handed Weyl spinors can be written as \((1, 4)\) of the \(2_L \oplus 2_R\) left-handed and right-handed Weyl spinors, thus they are free from the perturbative chiral anomaly of the Spin $\times$ SU(5) $\times$ Spin(6) symmetry in (3.38). In short, the \((1, 4 \oplus \overline{4})\) can also be gapped out while still preserving the Spin $\times$ SU(5) $\times$ Spin(6) symmetry.

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\^23Follow [11], we use CS\(_{2n-1}(V)\) to denote the Chern-Simons 2n − 1-form for the Chern class (if V is a complex vector bundle) or the Pontryagin class (if V is a real vector bundle) where \(p_1(V) = (-1)^n c_2(V \oplus \mathbb{C})\). The relation between the Chern-Simons form and the Chern class is \(c_n(V) = d \text{CS}_{2n-1}(V)\) where the d is the exterior differential and the \(c_n(V)\) is regarded as a closed differential form in de Rham cohomology. There is another kind of Chern-Simons form for Euler class \(e_{2n}(V)\), we denote its Chern-Simons form by \(\text{CS}_{2n-1,e}(V)\), it satisfies \(e_{2n}(V) = d \text{CS}_{2n-1,e}(V)\).
If we do not preserve an extra symmetry (such as the $Z_{4,X}$) but only preserve the $\text{Spin} \times \text{SU}(5) \times \text{Spin}(6)$, then the

$$ \left( \begin{array}{c} 1, \ (1 \oplus 1 \oplus 4 \oplus \overline{4} ) \end{array} \right) $$

can be fully gapped because they are free from all the anomalies in (3.37) and (3.38).

The $((5 \oplus \overline{10}), 6)$ or $(1, 6)$ has the 6 in the vector Rep of Spin(6) and SO(6) whose perturbative local anomaly is captured by $\exp(i \int \frac{1}{2} CS_{SU(5)}(V_{SO(6)}))$ with a coefficient $A(R) = N - 4 = 0$ for the matter field in the anti-symmetric Rep $R$ of SU($N$). Since $A(R) = 0$ at $N = 4$ is anomaly free, the 6 can be gapped while preserving the Spin $\times$ Spin(6) symmetry.

- On the other hand, the $((5 \oplus \overline{10}), 4)$ or $(1, 4)$ has the 4 in the irreducible spinor Rep of Spin(6) and SO(6) whose perturbative local anomaly captured by $\exp(i \int \frac{1}{2} CS_{SU(5)}(V_{SO(6)}))$ with a coefficient $A(R) = 1$ for the matter in a fundamental Rep $R$ of SU($N$). Since $A(R) = 1$ is anomalous, the 4 alone (also $\overline{4}$ alone) cannot be gapped while preserving the Spin $\times$ Spin(6) symmetry.

In summary, above we have shown that the extra matter multiplet in (3.34) is free from all anomalies classified in (3.37) and (3.38). Thus we can gap $\left( (5 \oplus 10, (1 \oplus 1 \oplus 6) \right)$ by nonperturbative interactions, but we keep the (3.33) gapless

$$ \left( (5 \oplus 10, (1 \oplus 1 \oplus 6) \right) $$

intact, while preserving the Spin $\times$ SU(5) $\times$ Spin(6) symmetry. If there is an energy gap scale for this gapping $\left( (5 \oplus 10, (4 \oplus \overline{4}) \right)$ scenario, we can name it as:

$$ \Delta_{KW, su(5) \times so(6)}, $$

which is around the breaking scale $M_{su(5) \times so(6)}$. This seems to be fine because we are left with the nearly gapless $\left( (5 \oplus 10, (1 \oplus 1 \oplus 6) \right)$ more than three generations of quarks and leptons in SM, which we can gap out some of them further if we break down Spin(6) to a smaller subgroup Spin(5) in the next Sec. 3.6.

3.6 $so(18)$ GUT to $su(9)$ GUT and $su(5) \times so(5)$ GUT, $\Delta_{KW, su(5) \times so(5)}$, and Three Generations

[VI]. Break $so(18)$ GUT from Spin(18) to SU(5) $\times$ Spin(5) and their GUT:

From another viewpoint, we consider Spin $\times$ SU(9) $\rightarrow$ Spin $\times$ SU(5) $\times$ Spin(5) = Spin $\times$ SU(5) $\times$ USp(4) = Spin $\times$ SU(5) $\times$ Sp(2), we break the vector representation 6 of Spin(6) to a vector and a trivial representation $1 \oplus 5$ in Spin(5). We also reduce the spinor representation 4 and $\overline{4}$ of Spin(6) to the same spinor representation 4 in Spin(5). As proposed by [57], the 240 Weyl fermions from (3.33) and (3.34) out of the $256^+$ have the representation of SU(5) $\times$ Spin(5) as

$$ \text{Representation of SU(5) } \times \text{Spin(5)} : $$

$$ \left( (5 \oplus 10, (1 \oplus 1 \oplus 1) \oplus (5 \oplus 10, 5) \oplus (5 \oplus \overline{10}, 4 \oplus 4) \right) $$

$$ = \left( (5 \oplus 10, (1 \oplus 1 \oplus 1 \oplus 5) \right) \oplus \left( (5 \oplus \overline{10}, (4 \oplus 4) \right) $$

(3.40)

The $((5 \oplus 10, 1 \oplus 1 \oplus 4) \oplus (5 \oplus 10, 5) \oplus (5 \oplus \overline{10}, 4 \oplus 4)$ forms precisely the fermion matter of 3 families of $su(5)$ GUT from the spacetime-internal symmetry structure $(\text{Spin} \times \text{SU}(5))_3$-Family.
Traditional approaches use hypercolor or technicolor type of ideas [72–75] to conceal the additional matter; however, the dynamics of hypercolor and dynamical symmetry breaking is not fully understood. Ref. [57] proposed that we can gap the additional matter \((\bar{5} \oplus 10, 5) \oplus (\bar{5} \oplus 10, 4 \oplus 4)\) via Kitaev-Wen (KW) mechanism. Here we can check explicitly by a cobordism theory. To establish this claim, we can check that the \(\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)\) chiral fermion theory of additional matter are free from the anomaly [15]

\[
\Omega^\text{Spin×SU(5)×Spin(5)}_5 = \mathbb{Z}_2, \quad \text{(3.41)}
\]

\[
\text{TP}_5(\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)) = \mathbb{Z} \times \mathbb{Z}_2. \quad \text{(3.42)}
\]

In fact, the \(\mathbb{Z}\) class is a 4d perturbative local anomaly, captured by a perturbative one-loop triangle Feynman diagram calculation and by a 5d cobordism invariant \(\frac{1}{2}\text{CS}_5(V_{\text{SU}(5)})\). The \(\mathbb{Z}_2\) class is a 4d nonperturbative global anomaly, captured by a mod 2 class similar to the 4d Witten \(\text{SU}(2) = \text{Spin}(3) \subset \text{Spin}(5)\) anomaly, which requires an odd number of isospin-1/2 fermion \(2\) of \(\text{SU}(2) = \text{Spin}(3)\) to realize the anomaly.

- We can easily see both \((\bar{5} \oplus 10, 5)\) and \((\bar{5} \oplus \bar{10}, 4 \oplus 4)\) is free from the 4d local anomaly of \(\frac{1}{2}\text{CS}_5(V_{\text{SU}(5)})\), since we can check that the \(\bar{5} \oplus 10\) and \(5 \oplus \bar{10}\) multiplets have the \(\frac{1}{2}\text{CS}_5(V_{\text{SU}(5)})\) anomaly cancelled, see Sec. A.1 for instance.

- Next we check that the \((\bar{5} \oplus 10, 5)\) and \((5 \oplus \bar{10}, 4 \oplus 4)\) are free from the \(\mathbb{Z}_2\) class global anomaly of \(\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)\) in (3.42). We can do a branching rule to decompose the \(5\) of Spin(5) as \((1 \oplus 1 \oplus 3)\) of Spin(3) = SU(2), and we decompose the \(4\) of Spin(5) as \((2 \oplus 2)\) of Spin(3) = SU(2).\(^{24}\) Then we can confirm that the \(5\) and \(4 \oplus 4\) of Spin(5) both have an even number of \(2\) and no \(4\) of SU(2) (so their mod 2 classes are zeros), thus they are free from the old [46] and the new SU(2) anomalies [16].

The \(5\) and \(4 \oplus 4\) of Spin(5) are thus free from the \(\mathbb{Z}_2\) class global anomaly of \(\text{Spin} \times \text{Spin}(5)\) in (3.42). Therefore, we achieve the proof of the initial statement.

In summary, above we have established the KW analogous mechanism via establishing the all anomaly free conditions hold for both \((\bar{5} \oplus 10, 5)\) and \((5 \oplus \bar{10}, 4 \oplus 4)\), free from all anomalies classified in (3.42). Thus we can gap \((\bar{5} \oplus 10, 5)\) and \((5 \oplus \bar{10}, 4 \oplus 4)\) by nonperturbative interactions.

Moreover, we can ask among the remaining 16 Weyl fermions in \(256^+\) analogous to 16 copies of a right-handed “sterile” neutrino from the (3.35), now in a new \(\text{Spin}(6) \rightarrow \text{Spin}(5)\) representation:

\[
\text{Representation of } \text{SU}(5) \times \text{Spin}(5) : \quad = \left(1, \ (1 \oplus 1 \oplus 1 \oplus 4 \oplus 4 \oplus 5)\right), \quad \text{(3.43)}
\]

whether part of the multiplet can be gapped without breaking \(\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)\)? Recall that we had answered among \(\left(1, \ (1 \oplus 1 \oplus 4 \oplus \bar{4} \oplus 6)\right)\) of \(2_L\) Weyl fermion in the \(\text{Spin} \times \text{SU}(5) \times \text{Spin}(6)\) in (3.35), the \(\left(1, \ (1 \oplus 1 \oplus 4 \oplus \bar{4})\right)\) can be gapped.

After breaking \((1, 6)\) of \(\text{SU}(5) \times \text{Spin}(6)\) down to \((1, 1 \oplus 5)\) of \(\text{SU}(5) \times \text{Spin}(5)\), which we find that \(1\) of \(\text{SU}(5)\) does not carry the perturbative \(\mathbb{Z}\) class anomaly in 3.42 the \(1 \oplus 5\) of Spin(5) does not carry the nonperturbative \(\mathbb{Z}_2\) class anomaly in 3.42 based on the derivation in footnote 21 and 24. In fact, based on the similar argument, we find that each of the \((1, 1), \ (1, 5), \) and \((1, 4 \oplus 4)\) in (3.43) can be gapped out while preserving \(\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)\).

\(^{24}\)See the footnote 21, there could be other ways of decompositions, such as the \(5\) of Spin(5) as \((1 \oplus 2 \oplus 2)\) of Spin(3) and the \(4\) of Spin(5) as \((1 \oplus 1 \oplus 2)\) of Spin(3). Thus the the \(4 \oplus 4\) of Spin(5) as \(4(1 \oplus 2(2))\) of Spin(3). Then we can still confirm that the \(5\) and \(4 \oplus 4\) of Spin(5) both have an even number of \(2\) and no \(4\) of SU(2) (thus their mod 2 classes are zeros), thus they are free from the old and the new SU(2) anomalies. The \(5\) and \(4 \oplus 4\) are thus free from the \(\mathbb{Z}_2\) class global anomaly in (3.42).
Moreover, we prefer to keep the remaining
\[
\left( (\mathbf{5} + \mathbf{10}), (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}) \right)
\] (3.44)
gapless intact, while preserving the Spin × SU(5) × Spin(5) symmetry. Gapping the extra matter \((\mathbf{5} \oplus \mathbf{10}, \mathbf{5}) \oplus (\bar{\mathbf{5}} \oplus \mathbf{10}, \mathbf{4} \oplus \mathbf{4})\) gives an energy scale
\[
\Delta_{\text{KW}, su(5) \times so(5)}
\] (3.45)
that we show in Fig. 4.

### 3.7 With an additional discrete \(X = 5(B - L) - 4Y\) symmetry

There is an embedding from the \(so(10)\) GUT to Georgi-Glashow \(su(5)\) GUT with a discrete \(\mathbb{Z}_{4,X}\) of \(X = 5(B - L) - 4Y\) symmetry (such that \(\mathbb{Z}_{4,X} = Z(\text{Spin}(10))\)), as follows \([1,10,14]\),
\[
\frac{\text{Spin}(d) \times \text{Spin}(10)}{\mathbb{Z}_2^F} \supset \text{Spin}(d) \times \mathbb{Z}_4 \times \text{SU}(5) \supset \text{Spin}(d) \times \mathbb{Z}_2 \times \text{Spin}(6) \times \frac{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)}{\mathbb{Z}_6}.
\] (3.46)

By (3.47), we can modify Fig. 2’s spacetime-internal symmetry group embedding web to include the discrete \(\mathbb{Z}_{4,X}\) sector. We obtain Fig. 6.

We compute the full list of cobordism group \(TP_d(G)\) of these spacetime-internal symmetry group \(G\) of Fig. 6 in Ref. [15]. A crucial fact is that now many of these \(G\) of Fig. 6 suitable for \(su(5)\) GUT contain also Spin \(\times \mathbb{Z}_2^F \mathbb{Z}_{4,X}\), we know that part of their bordism group \(\Omega_5\) and their cobordism group \(TP_5\):\n\[
\Omega_5^{\text{Spin} \times \mathbb{Z}_2^F \mathbb{Z}_{4,X}} = \mathbb{Z}_{16}, \quad TP_5(\text{Spin} \times \mathbb{Z}_2^F \mathbb{Z}_{4,X}) = \mathbb{Z}_{16}.
\] (3.48)

We can check this (3.48) implies a constraint from the \(\mathbb{Z}_{16}\) class 4d nonperturbative global anomaly (1.4) \([1,10]\). This implies that several previously discussed KW-type mechanisms in Sec. 3.5 - Sec. 3.6 may not work unless we have some multiple of 16 Weyl spinors \(2L\) of Lorentz spacetime. For example, if we aim to gap the extra matter \(\mathbf{5} \oplus \mathbf{10}\) of SU(5) in (3.34)-(3.40), or the \(\mathbf{1}\) of SU(5) in (3.35)-(3.43) under the extra \(\mathbb{Z}_{4,X}\) symmetry while still matching the \(\mathbb{Z}_{16}\) anomaly, we need to choose either one of the following ways:

1. Combine \(\mathbf{5} \oplus \mathbf{10}\) with \(\mathbf{1}\) of SU(5) to form a 16 Weyl Lorentz spinors \(2L\), in order to let the combined \(\mathbf{5} \oplus \mathbf{10} \oplus \mathbf{1}\) of SU(5) be free from the \(\mathbb{Z}_{16}\) anomaly. Then we can apply the KW mechanism on the anomaly free sector of 16 Weyl spinors.
Figure 6: The full spacetime-internal symmetry $G = \frac{G_{\text{spacetime}} \times G_{\text{internal}}}{N_{\text{shared}}}$ (the precise global symmetry before gauging the $G_{\text{internal}}$) for the hierarchy starting from the $so(18)$ GUT with $Spin \times \mathbb{Z}_2$ Spin(18), which can be placed on non-spin manifolds. The setup is similar to Fig. 2, but now we include the additional discrete symmetry sector $Z_{4, X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ sitting at the center $Z(G_{\text{internal}})$ normal subgroup of $G_{\text{internal}} = \text{Spin}(10)$ and Spin(18). We follow the notations/explanations of Fig. 2’s caption. We compute the cobordism group $TP_d(G)$ of these spacetime-internal symmetry group $G$ in Ref. [15]. The arrow $G_1 \rightarrow G_2$ (with the condition $G_1 \supseteq G_2$) shows that a possible breaking process. We explore the two possible breaking patterns on the left-hand side (l.h.s) and right-hand side (r.h.s), with their possible energy hierarchy shown in Fig. 4 and Fig. 5. Some of the arrows have a subtitle “possible TQFT generated,” which means that a noninvertible TQFT may be generated to match the 4d anomaly, especially from the cobordism group $TP_5(\text{Spin} \times \mathbb{Z}_2 \times SU(5)) = Z_{16}$. The l.h.s breaking pattern suggests (at least) three possible breaking steps to generate a possible TQFT. In particular, thanks to mathematical and phenomenological constraints, the l.h.s step Spin $\times \mathbb{Z}_2 \times SU(5) \times Spin(5) \rightarrow (Spin \times \mathbb{Z}_2 \times SU(5))_{3\text{-Family}}$, and the r.h.s step $(Spin \times \mathbb{Z}_2 \times Spin(10))_{3\text{-Family}} \rightarrow (Spin \times \mathbb{Z}_2 \times SU(5))_{3\text{-Family}}$, these two steps seem to be the most promising energy scale denoted $\Delta_{\text{TQFT}}$ to generate a TQFT with a gap size $\Delta_{\text{TQFT}}$. A possible interpretation of topological quantum phase transition(s) around this energy scale $M_{\text{su}(5) \times Z_{4, X}}$ is given in Table 1. We also enlist other sequences of possible energy scales analogous to Kitaev-Wen (KW) mechanism, gapping the fully anomaly-free extra matter. We denote these KW-type energy scales as $\Delta_{\text{KW}}$. See Fig. 4 and Fig. 5.

2. If we want to gap only $5 \oplus 10$ of SU(5) in (3.34)-(3.40) alone, then we need to go beyond the KW mechanism. We can seek for the anomalous symmetric 3+1d TQFT construction to match the anomaly $\nu = 15 = -1 \in Z_{16}$, such as using the symmetry extension approach [33]. This 3+1d TQFT is a generalization of 2+1d anomalous symmetric surface topological order (see a review [24]) to the 3+1d case.

3. If we want to gap only 1 of SU(5) in (3.35)-(3.43) alone, then we need to go beyond the KW
mechanism. We can seek for the anomalous symmetric 3+1d TQFT construction to match the anomaly \( \nu = +1 \in \mathbb{Z}_{16} \), such as using the symmetry extension approach \[33\]. This 3+1d TQFT is a generalization of 2+1d anomalous symmetric surface topological order (see a review \[24\]) to the 3+1d case.

Therefore, other than KW-type energy scales \( \Delta_{KW} \), we may have another energy scale \( \Delta_{TQFT} \), for the anomalous symmetric 3+1d TQFT (the energy gap of 3+1d topological order, there are fractionalized excitations such as anyonic strings above the gap, e.g. see \[60–65\] and References therein). We show several candidate \( \Delta_{KW} \) and \( \Delta_{TQFT} \) energy scales in Fig. 6, in companion with Fig. 4 and Fig. 5.

### 3.8 Kinematics vs Dynamics

We should remind ourselves that the anomaly can be determined from the kinematics of QFT — namely, given the action, partition function, or path integral of QFT, we could already determine whether the anomaly occurs. For example, for perturbative local anomalies, see Fig. 1 of Ref. \[1\]:

- When \( G = (G_{\text{spacetime}} \times G_{\text{internal}})_{\text{N_{shared}}} \) is treated as a global symmetry, then we determine the \((d - 1)d \) \'t Hooft anomalies of such a global symmetry \( G \) by turning on all possible background fields via the cobordism group \( TP_d(G) \) in (1.6), e.g., Fig. 1 (2) of Ref. \[1\].

- When \( G_{\text{internal}} \) is dynamically gauged, part of the anomalies of the cobordism group \( TP_d(G) \) in (1.6) become dynamical gauge anomalies, e.g., Fig. 1 (1) of Ref. \[1\], while part of the anomalies become to be interpreted as the ABJ type anomalies, e.g., Fig. 1 (3) of Ref. \[1\].

In this subsection, we organize previous statements on the constraints from anomalies and cobordisms in Sec. 3.1 - Sec. 3.7 into a list. A priori based on our anomaly and cobordism analysis alone, we cannot fully determine the gauge dynamics, but we can suggest possible dynamics. We shall comment on how the anomalies obtained from the kinematics of QFT can constrain the dynamics of QFT at different energy scales afterward in Sec. 4:

1. When \( G = \text{Spin} \times \mathbb{Z}_2^\perp \text{Spin}(18) \), the following Weyl fermion matter field \( 2_L \) in the \( \text{Spin}(18) \) representation is fully \( G \)-anomaly-free thus can be gapped by KW mechanism while preserving \( G \) (without breaking \( G \)) by generic nonperturbative interactions:
   - the \( 256^- \) in (3.4) can be gapped so without fermion doubling suggested in \[12\].
   - the \( 256^+ \) can be gapped, but we keep \( 256^+ \) nearly gapless to match the lower energy hierarchy and SM phenomenology.

2. When \( G = \text{Spin} \times \mathbb{Z}_2^\perp (\text{Spin}(10) \times \mathbb{Z}_2^\perp \text{Spin}(8)) \), the following Weyl fermion matter field \( 2_L \) in the \( (\text{Spin}(10) \times \mathbb{Z}_2^\perp \text{Spin}(8)) \) representation is fully \( G \)-anomaly-free thus can be gapped by KW mechanism while preserving \( G \) (without breaking \( G \)) by generic nonperturbative interactions:
   - the \( (16^+, 8^-) \) and \( (16^-, 8^+) \) in (3.8), but they can be already gapped out as \( 256^- \) in \( \text{Spin} \times \mathbb{Z}_2^\perp \text{Spin}(18) \) in (1) at a higher energy.
   - the \( (16^-, 8^-) \) in (3.7).
the \((16^+, 8^+)\) in (3.7) can be gapped, but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology.

(3). When \(G = \text{Spin} \times \mathbb{Z}_2^F (\text{Spin}(10) \times \mathbb{Z}_2^F \text{Spin}(6))\), the following Weyl fermion matter field \(2_L\) in the \((\text{Spin}(10) \times \mathbb{Z}_2^F \text{Spin}(6))\) representation is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) (without breaking \(G\)) by generic nonperturbative interactions:

- \((16^+, 6)\) in (3.17).
- \((16^+, 1 \oplus 1)\) in (3.17) can be gapped, but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology. The disadvantage is that there are only two generations of SM particles in \((16^+, 1 \oplus 1)\).

(4). When \(G = \text{Spin} \times \mathbb{Z}_2^F (\text{Spin}(10) \times \mathbb{Z}_2^F \text{Spin}(5))\), the following Weyl fermion matter field \(2_L\) in the \((\text{Spin}(10) \times \mathbb{Z}_2^F \text{Spin}(5))\) representation is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) (without breaking \(G\)) by generic nonperturbative interactions:

- the \((16^+, 5)\) in (3.25).
- the \((16^+, 1 \oplus 1 \oplus 1)\) in (3.25), but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology. Its advantage is that there are three generations of SM particles.

(5). When \(G = \text{Spin} \times \text{SU}(5) \times \text{Spin}(6)\), the following Weyl fermion matter field \(2_L\) in the \(\text{SU}(5) \times \text{Spin}(6)\) representation individually is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) (without breaking \(G\)) by generic nonperturbative interactions:

- the \(((5 \oplus \overline{10}), (4 \oplus \overline{4}))\) in (3.34).
- the \((1, (1 \oplus 1 \oplus 4 \oplus \overline{4}))\) in (3.35).
- the \(((\overline{5} \oplus 10), (1 \oplus 1))\) in (3.33), but we can keep it nearly gapless to match SM phenomenology.
- the \(((\overline{5} \oplus 10), 6)\) in (3.33).
- the \((1, 6)\) in (3.35).

The following Weyl fermion matter field \(2_L\) in the \(\text{SU}(5) \times \text{Spin}(6)\) representation by itself individually is not \(G\)-anomaly-free thus cannot be gapped by KW mechanism alone while preserving \(G\) due to some non-vanishing anomaly:

- the \(((5 \oplus \overline{10}), 4)\) alone or \(((5 \oplus \overline{10}), \overline{4})\) alone in (3.35).
- the \((1, 4)\) alone or \((1, \overline{4})\) alone in (3.34).

(6). When \(G = \text{Spin} \times \text{SU}(5) \times \text{Spin}(5)\), the following Weyl fermion matter field \(2_L\) in the \(\text{SU}(5) \times \text{Spin}(5)\) representation is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) (without breaking \(G\)) by generic nonperturbative interactions:

- the \((\overline{5} \oplus 10, 5)\) in (3.40).
- the \((5 \oplus \overline{10}, 4 \oplus \overline{4})\) in (3.40).
- the \((\overline{5} \oplus 10, 1 \oplus 1 \oplus 1)\) in (3.40), but we prefer to keep it nearly gapless to match SM phenomenology.
- the \((1, 1)\) and any number (e.g., 1, 2, 3) of copies of it in \((1, 1 \oplus 1 \oplus 1)\) in (3.43). This \((1, 1)\) degree of freedom relates to the right-hand sterile neutrino. The conventional phenomenology suggests to use (1) Dirac mass or (2) Majorana mass and seesaw mechanism to gap this \((1, 1)\). We will also consider (3) Topological Mass from TQFT to gap this \((1, 1)\).
- the \((1, 5)\) in (3.43) proposed in [1].
• the \((1,4 \oplus 4)\) in (3.43).

(7). When \(G = \text{Spin} \times \mathbb{Z}_4^x \times \text{SU}(5) \times \text{Spin}(6)\) with an additional discrete \(\mathbb{Z}_{4,X}\) in contrast to Scenario (5), due to a \(\mathbb{Z}_{16}\) class 4d nonperturbative global anomaly from (3.48), we are no longer allowed to gap \(((5 \oplus \mathbf{10}), (4 \oplus \mathbf{4}))\) or gap \((1, (4 \oplus \mathbf{4}))\) individually alone in Scenario (5), because they have only 15n Weyl fermions and 1n Weyl fermions, instead of 16n Weyl fermions. However, we are allowed to gap their combination \(((5 \oplus \mathbf{10}) \oplus 1, (4 \oplus \mathbf{4}))\) with 16n Weyl fermions. We can no longer take the gapping conditions in Scenario (5), but we can modify them — The following 16n Weyl fermion matter field \(2_L\) in the \(\text{Spin} \times \mathbb{Z}_4^x \times \text{SU}(5) \times \text{Spin}(6)\) representation\(^\text{25}\) is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) due to some non-vanishing anomaly:

• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, (\mathbf{4} \oplus \mathbf{4}))\).
• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 1)\) and their multiple copies, but we can keep them nearly gapless to match SM phenomenology.
• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 6)\).

The following 16n Weyl fermion matter field \(2_L\) in the \(\text{Spin} \times \mathbb{Z}_4^x \times \text{SU}(5) \times \text{Spin}(6)\) representation by itself individually is anomaly free from the \(\mathbb{Z}_{16}\) anomaly of (3.48), but \(not\) fully \(G\)-anomaly-free, thus cannot be gapped by KW mechanism alone while preserving \(G\) due to some non-vanishing anomaly:

• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 4)\) alone or \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, \mathbf{4})\) alone.

(8). When \(G = \text{Spin} \times \mathbb{Z}_4^x \times \text{SU}(5) \times \text{Spin}(5)\) with an additional discrete \(\mathbb{Z}_{4,X}\) in contrast to (6), due to a \(\mathbb{Z}_{16}\) class 4d nonperturbative global anomaly from (3.48), we can no longer take the gapping conditions in Scenario (6), but we can modify them — The following 16n Weyl fermion matter field \(2_L\) in the \(\text{Spin} \times \mathbb{Z}_4^x \times \text{SU}(5) \times \text{Spin}(5)\) representation with an odd \(\mathbb{Z}_{4,X}\) charge is fully \(G\)-anomaly-free thus can be gapped by KW mechanism while preserving \(G\) (without breaking \(G\)) by generic nonperturbative interactions:

• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 5)\).
• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 4 \oplus 4)\).
• the \(((\mathbf{5} \oplus \mathbf{10}) \oplus 1, 1)\) and their multiple copies, but we may prefer to keep part of it nearly gapless to match SM phenomenology.

The above we have summarized what degrees of freedom are fully \(G\)-anomaly free for various given \(G\) at different energy scales (in the hierarchy of Fig. 4 and Fig. 5). Based on the modern understanding \([12,59]\), the \(G\)-anomaly free degrees of freedom can be fully gapped while still preserving the \(G\)-symmetry, for example by adding nonperturbative \(G\)-symmetric interactions to gapless modes. In fact, the anomaly derived from the kinematics of QFT only suggest many possible fates of dynamics of QFT at long distances.

The desirable task is: Could we reveal more information and eliminate some of possibilities to constrain more on the dynamics of QFT at different energy scales? We address this in the Sec. 4.

\(^{25}\)All the Weyl fermions carry an odd \(\mathbb{Z}_{4,X}\) charge, see Table 1 in Ref. [1].
4 Conclusion:

4.1 Energy hierarchy, possible dynamics, and topological quantum phase transitions

We had mentioned there are some primary goals and questions in this work:

• 1). Study the anomalies systematically from the classification by the cobordism group — we take into account the perturbative local and nonperturbative global anomalies. Check the full theory of various GUT models can be consistent under (i) dynamical gauge anomaly-free conditions and (ii) ’t Hooft anomaly-matching conditions.

• 2). Given a spacetime-internal symmetry group $G$ and (a subset or the full set of) matter fields in some representations of $R$, we can ask are there anomalies associated with this set of matter fields? We especially consider the three separate cases for (i) the chiral matter associated with SM, (ii) extra matter, and (iii) mirror matter in Sec. 3.

• 3). Are there non-perturbative constraints from anomalies and cobordism, given the low energy physics at SM, guiding us toward discovering something heavy at higher energy? (We especially ask this question under the Consideration • 2) (i) chiral matter, (ii) extra matter, and (iii) mirror matter. If they have anomalies (or not), how could they manifest their dynamics at different energy scales?)

Considerations • 1) and • 2) are mostly answer in the earlier sections (and also in Appendices). Now we focus on Consideration • 3). Given our previous results in Sec. 2 and Sec. 3, indeed we could attempt to address this Consideration • 3), if we take these additional phenomenology and math/theoretical inputs into account:

1). **Phenomenology inputs of $15n$ Weyl fermions**: From Standard Model physics, we already know that there are nearly gapless degrees of freedom of $15n$ Weyl fermions ($15n$ of Lorentz spinor $2_L$ with $n = 3$ for 3 generations) whose masses are smaller than the electroweak scale $v \sim 246$ GeV, while we are exploring around or above the $su(5)$ GUT and other GUT scales (conventionally for the gauge coupling unification $\sim 10^{16}$ GeV in Fig. 4 and Fig. 5).

2). **Phenomenology inputs of 16th Weyl fermion and right-handed neutrinos**: We do not or have not yet observed the 16th Weyl fermions in any of 3 generations, which is commonly referred to be the sterile right-handed neutrinos. As summarized in Ref. [1], since the 16th Weyl fermions are not observed at the SM or TeV energy scales, we shall give them a higher energy gap by:

(1). **Dirac mass** (and the seesaw mechanism).

(2). **Majorana mass** (and the seesaw mechanism).

(3). **Topological Mass** from the excitation energy gap of a 4d noninvertible TQFT: In this way, the 16th Weyl fermion(s) would be missing from the vacua of our Universe — the TQFT degrees of freedom cannot be described by any particle-like QFT or a perturbative (nearly free) quadratic QFT description conventionally used in particle physics. The 16th Weyl fermion degrees of freedom would be smeared out to a long-range entangled 4d topological order (whose low energy is the 4d TQFT). For example, we may apply the method of symmetry-extension or higher-symmetry-extension in [33,35]. See Sec. 5 of Ref. [1] for details.
(4). **Topological Mass** in an extra dimension from a 5d invertible TQFT: This approach is valid when there is an 4d invertible anomaly associated with the missing 16th Weyl fermion(s). In that case, we can do the anomaly-matching by introducing a gapped 5d invertible TQFT (or 5d SPTs in condensed matter) to cancel the missed anomaly. See Sec. 5 of Ref. [1] for details.

3). **Phenomenology inputs of mirror fermions and extra matter**: We do not observe any mirror fermions and extra matter so we better introduce ways to gap them. If the extra matter carries no $G$-anomaly, we can gap them while preserving $G$ via:

(5). **Kitaev-Wen (KW) mechanism or an anomaly-free symmetric mass/energy gap.** The KW mechanism is also used in the chiral fermion or chiral gauge theory problem [12,53–57,76,77]).

If the extra matter carries some 't Hooft anomaly in $G$, we may attempt to gap them via the aforementioned Topological Mass from (3) and (4) while still preserving $G$.

4). From the $so(18)$ GUT, breaking the $Spin(18)$ via the r.h.s route in Fig. 6, let us do a comparison of Sec. 3.3 and Sec. 3.4. We may ask whether breaking to $Spin(10) \times \mathbb{Z}_2^F \ Spin(6)$ or $Spin(10) \times \mathbb{Z}_2^F \ Spin(5)$ is favored dynamically and at which range of energy scales? (Here and below we assume and apply the old wisdom [8,9,57] that dynamical symmetry breaking may make these Scenarios III and IV happened.) Since $Spin(10) \times \mathbb{Z}_2^F \ Spin(6)$ can have either 2 generations of 16 Weyl fermions or extra matter in (3.17), while $Spin(10) \times \mathbb{Z}_2^F \ Spin(5)$ can have exactly the 3 generations of 16 Weyl fermions $(16^+, 1 \oplus 1 \oplus 1)$ in (3.30), we expect that eventually the $Spin(10) \times \mathbb{Z}_2^F \ Spin(5)$ is a more viable option at a wider energy scale, before we encounter $(Spin \times \mathbb{Z}_2^F \ Spin(10))_{3\text{-Family}}$. Below $Spin(18)$, it is possible to firstly encounter $Spin(10) \times \mathbb{Z}_2^F \ Spin(6)$ at a higher energy, but it shall be broken down to $Spin(10) \times \mathbb{Z}_2^F \ Spin(5)$ before eventually we encounter the energy scale of 3 generations of 16 Weyl fermions at $(Spin \times \mathbb{Z}_2^F \ Spin(10))_{3\text{-Family}}$. This gives a partial reasoning for the energy hierarchy presented on the r.h.s in Fig. 6.

5). From the $so(18)$ GUT, breaking the $Spin(18)$ via the l.h.s route in Fig. 6, let us do a comparison of Sec. 3.5, Sec. 3.6, and Sec. 3.7. We may ask whether breaking to $SU(5) \times Spin(6)$ or $SU(5) \times Spin(5)$ is favored dynamically and at which range of energy scales? Since $SU(5) \times Spin(6)$ can have either 2 generations of 15 (or +1) Weyl fermions or extra matter in (3.33), while $SU(5) \times Spin(5)$ can have exactly the 3 generations of 15 (or +1) Weyl fermions $(\mathbf{5} \oplus \mathbf{10}, (1 \oplus 1 \oplus 1))$ in (3.44), we expect that eventually the $SU(5) \times Spin(5)$ is a more viable option for a wider energy scale, before we encounter $(SU(5))_{3\text{-Family}}$.

Moreover, in Sec. 3.7, by taking into account the extra discrete $\mathbb{Z}_{4,X}$ of $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry with the enriched spacetime structure $Spin \times \mathbb{Z}_2^F \ Z_{4,X}$ in (3.47), we have to also match the extra $\mathbb{Z}_{16}$ anomaly in (3.48). Together with 2)'s **Phenomenology inputs of 16th Weyl fermion and neutrinos**, we suggest that there is a huge mass gap associated with the unobserved 16th Weyl fermion above the scale of $(Spin \times \mathbb{Z}_2^F \ Z_{4,X} \times \frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6})_{3\text{-Family}}$.

So the $(\mathbf{5} \oplus \mathbf{10}, (1 \oplus 1 \oplus 1))$ in (3.44) alone cannot be enough to match the $\mathbb{Z}_{16}$ anomaly in (3.48). We suggest schematically, following Sec. 6 of Ref. [1], the anomaly can be matched by hidden sectors:

$$3 \cdot (15 \text{ Weyl fermions}) + n_{\nu} \cdot (16 \text{th Weyl fermions}) + \nu_{4d} \cdot (4d \text{ TQFT}) + \nu_{5d} \cdot (5d \text{ iTQFT})$$  

with the anomaly matching condition for the $\mathbb{Z}_{16}$:

$$\nu = \nu_{5d} - \nu_{4d} - n_{\nu} = -N_{\text{generation}} = -3 \pmod{16}. \quad (4.1)$$

- The $n_{\nu}$ means the number of the right-handed neutrinos (=16th Weyl fermions).\(^{26}\)

\(^{26}\)Accidentally, there is a collision of the notations: the $\nu$ may refer to as the neutrino such as $\nu_e, \nu_\mu, \nu_\tau$, or as the topological index $\nu$ in the class of $\nu \in \mathbb{Z}_{16}$. 

32
• The $\nu_{4d} \in \mathbb{Z}_{16}$ implies the anomaly index of the 4d TQFT, if the 4d TQFT is realized in the theory at a certain energy scale.
• The $\nu_{5d} \in \mathbb{Z}_{16}$ implies the anomaly index of the 5d iTQFT, if the 5d iTQFT is realized in the theory at a certain energy scale.

In Table 1, we present only a possible set of data of $(n_\nu, \nu_{4d}, \nu_{5d})$ obeying the anomaly matching condition in (4.1) plausibly with different values of $(n_\nu, \nu_{4d}, \nu_{5d})$ at different energy scales.

| Theory (l.h.s) | Theory (r.h.s) | $n_\nu$ | $\nu_{4d}$ | $\nu_{5d}$ | $\nu$ |
|----------------|----------------|---------|-----------|-----------|-------|
| $\text{Spin} \times Z_2^f \times Z_4 \times SU(9)$ | $\text{Spin} \times Z_2^f (\text{Spin}(10) \times Z_2^f \times \text{Spin}(8))$ | 3 | 0 | 0 | -3 |
| $\text{Spin} \times Z_2^f \times Z_4 \times SU(5) \times \text{Spin}(6)$ | $\text{Spin} \times Z_2^f (\text{Spin}(10) \times Z_2^f \times \text{Spin}(6))$ | $n''_\nu$ | $3 - n''_\nu + \nu_{5d}''$ | 0 | $\nu_{5d}' - 3$ |
| $\text{Spin} \times Z_2^f \times Z_4 \times SU(5) \times \text{Spin}(5)$ | $\text{Spin} \times Z_2^f (\text{Spin}(10) \times Z_2^f \times \text{Spin}(5))$ | $n''_\nu$ | $3 - n''_\nu + \nu_{5d}''$ | 0 | $\nu_{5d}' - 3$ |
| $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$ | $\text{Spin} \times Z_2^f (\text{Spin}(10) \times Z_2^f \times \text{Spin}(10))_3\text{-Family}$ | $n'_\nu$ | $3 - n'_\nu + \nu_{5d}'$ | $\nu_{5d}' - 3$ |
| $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$ | $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$ | $n'_\nu$ | $3 - n'_\nu + \nu_{5d}'$ | $\nu_{5d}' - 3$ |
| $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$ | $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$ | $n'_\nu$ | $3 - n'_\nu + \nu_{5d}'$ | $\nu_{5d}' - 3$ |

Table 1: We show only a possible set of data of $(n_\nu, \nu_{4d}, \nu_{5d})$ obeying the anomaly matching condition in (4.1) and (4.2) so that $\nu = \nu_{5d} - \nu_{4d} - n_\nu = -N_{\text{generation}} = -3 \mod 16$, at different energy scales, see Fig. 4, Fig. 5, and Fig. 6. We present possible different results of $(n_\nu, \nu_{4d}, \nu_{5d})$ for the l.h.s and r.h.s route between the so(18) GUT and SM shown in Fig. 6. Whenever we show distinct possibilities of data for l.h.s versus r.h.s, we write in the entry as the l.h.s data vs the r.h.s data. The apostrophe $', ''', '''', '''', $$''''$ on $(n_\nu, \nu_{4d}, \nu_{5d})$ implies possible different sets of data. A possible interpretation can be that $(n'_\nu = 0, \nu_{4d}' = 3, \nu_{5d}' = 0)$ below the 4d TQFT gap scale $\Delta_{\text{TQFT}}$ which occurs naturally around the energy scale of $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$, Spin $\times Z_2^f \times Z_4 \times SU(5) \times \text{Spin}(5)$, and $(\text{Spin} \times Z_2^f \times \text{Spin}(10))_3\text{-Family}$. Topological quantum phase transition(s) may happen around these energy scale (above $M_{\text{su}(5) \times Z_4 \times \text{3-Family}}$ in Fig. 4 and Fig. 5) drawn with the double horizontal lines (hlines) between the rows. If we eventually climb to the so(18) GUT scale with the spacetime-internal structure Spin $\times Z_2^f \times \text{Spin}(18)$, then it is naturally to have some multiple of 16 of $\text{Spin}(10)$, so we have all the right-handed neutrino $n_\nu = 3$ joining the 3-16, so $(n_\nu = 3, \nu_{4d} = 0, \nu_{5d} = 0)$. Tuning the energy scale from the low energy SM or su(5) GUT to a higher energy so(18) GUT may result in a topological quantum phase transition: The $\nu_{4d}$ = 3 on one end with a long-range entangled 4d TQFT (intrinsic topological order), and the $n_\nu = 3$ on another end with three generations of right-handed neutrinos in some multiple of 16.

6. Topological Mass and TQFT energy gap scale $\Delta_{\text{TQFT}}$ in (2.26): We argue that it is more natural to generate the 4d TQFT gap scale $\Delta_{\text{TQFT}}$ between these energy scales: $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$, $(\text{Spin} \times Z_2^f \times Z_4 \times SU(5))_3\text{-Family}$, and Spin $\times Z_2^f \times Z_4 \times SU(5) \times \text{Spin}(5)$. The $\Delta_{\text{TQFT}}$ shall also be below the scale of $(\text{Spin} \times Z_2^f \times \text{Spin}(10))_3\text{-Family}$. In short, we may tentatively propose that

$$M_{\text{su}(3) \times \text{su}(2) \times \text{u}(1)}_3\text{-Family} < M_{\text{su}(5) \times Z_4 \times \text{3-Family}} \lesssim \Delta_{\text{TQFT}} \lesssim M_{\text{so}(10)}_3\text{-Family} \text{ or } M_{\text{su}(5) \times \text{so}(5)}. \quad (4.3)$$

Around the $\Delta_{\text{TQFT}}$ scale may be where the Grand Unification + Topological Force and Matter, proposed as Ultra Unification [1] manifest itself. Let us comment briefly why the hierarchy (4.3) makes sense:
• The $\Delta_{\text{TQFT}}$ is above $M_{\text{su}(3) \times \text{su}(2) \times \text{u}(1)}$ 3-Family and $M_{\text{su}(5) \times \text{Z}_{4,\text{X}}}$ 3-Family (for the $(\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(3) \times \text{SU}(2) \times \text{U}(1))$ 3-Family and $(\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5))$ 3-Family spacetime-internal structure), because there are only 15 Weyl fermions and the $(\text{5} \oplus \overline{10})$ of $\text{SU}(5)$ around those energy scales.

• The $\Delta_{\text{TQFT}}$ is likely below $M_{\text{so}(10)}$ 3-Family because it is natural to have the 4d TQFT transforming to right-handed neutrino(s) to become part of a multiple of $\text{16}$ of $\text{Spin}(10)$ in $(\text{Spin} \times \text{Z}_2^F \text{Spin}(10))$ 3-Family around those energy scales.

• The $\Delta_{\text{TQFT}}$ is likely below $M_{\text{su}(5) \times \text{so}(5)}$ and $M_{\text{su}(5) \times \text{so}(6)}$; likewise below $M_{\text{so}(10) \times \text{so}(5)}$ and $M_{\text{so}(10) \times \text{so}(6)}$. Why?

○1) One reason is that the three generation multiplet ($(\overline{5} \oplus 10) \oplus 1$, $(1 \oplus 1 \oplus 1)$) appears naturally in $M_{\text{su}(5) \times \text{so}(5)}$ but not in $M_{\text{su}(5) \times \text{so}(6)}$; the $(16$, $(1 \oplus 1 \oplus 1)$) appears naturally in $M_{\text{so}(10) \times \text{so}(5)}$ but not in $M_{\text{so}(10) \times \text{so}(6)}$.

○2) Another reason is that there is an inclusion\(^{27}\)

\[
\text{Spin} \times \text{Z}_2^F \text{Spin}(18) \supset \text{Spin} \times \text{Z}_2^F (\text{Spin}(10) \times \text{Z}_2^F (\text{Spin}(5 + \varepsilon) \times \text{Z}_2^F \text{Spin}(3 - \varepsilon))) \supset \text{Spin} \times \text{Z}_2^F (\text{Spin}(10) \times \text{Z}_2^F \text{Spin}(5 + \varepsilon)) \supset \ldots
\]
\[
\cup
\]
\[
(\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5)) \times \text{Z}_2^F (\text{Spin}(5 + \varepsilon) \times \text{Z}_2^F \text{Spin}(3 - \varepsilon)) \supset (\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5)) \times \text{Z}_2^F \text{Spin}(5 + \varepsilon) \supset \ldots,
\]

(4.4)

here $\supset$ or $\cup$ means the former includes the later as a subgroup/subset. This inclusion implies the analogous embedding arrow in Fig. 6. The $\varepsilon$ can be chosen to be $\varepsilon = 0$ for $(\text{Spin}(5) \times \text{Z}_2^F \text{Spin}(3))$ or $\varepsilon = 1$ for $(\text{Spin}(6) \times \text{Z}_2^F \text{Spin}(2))$ respectively for our purpose. When $\varepsilon = 0$, not only we have the Spin(5) that suits for the multiplet $(16$, $(1 \oplus 1 \oplus 1)$) or $((\overline{5} \oplus 10) \oplus 1$, $(1 \oplus 1 \oplus 1)$), but also the internal subgroup Spin(3) is highly relevant for the 4d TQFT Ref. [1]. In particular, a certain dimensional-reduced analogous 3d TQFT requires an $\text{Spin}(3) = \text{SU}(2)$ or more precisely the $\text{SO}(3) = \text{Spin}(3)/\text{Z}_2$ gauge group as 3d Chern-Simons TQFTs ($\text{CS}_3$) \(^{78,79}\) denoted as:

\[
\text{Spin}(3)_{6} \text{ CS}_3 = \text{SU}(2)_{2} \text{ CS}_3, \quad \text{or} \quad \text{SO}(3)_{3} \text{ CS}_3.
\]

(4.5)

○3) The last reason is that $\text{Spin}(8) \supset (\text{Spin}(5) \times \text{Z}_2^F \text{Spin}(3))$, where the triality plays an important rule in $\text{Spin}(8)$. The triality of representation in Sec. 3.4 likely hints that there is a quantum phase transition with emergent and enlarge symmetry so that the triality can be generated. These three reasons motivate us to suggest the $\Delta_{\text{TQFT}}$ is around the energy scale $M_{\text{su}(5) \times \text{so}(5)}$ at the $\varepsilon = 0$.\(^{28}\)

### 4.2 Energy Scale of Ultra Unification: Grand Unification + Topological Force and Matter

With these phenomenology inputs 1, 2, and 3), and theoretical or mathematical inputs 4, 5, 6), we can provide some tentative but more restricted answers for Consideration ○3): Are there non-perturbative

\(^{27}\)Caveat: Part of this embedding is different from Fig. 6, so we have $(\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5)) \times \text{Z}_2^F (\text{Spin}(5 + \varepsilon) \times \text{Z}_2^F \text{Spin}(3 - \varepsilon)) \supset (\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5)) \times \text{Z}_2^F \text{Spin}(5 + \varepsilon)$ instead of $(\text{Spin} \times \text{Z}_2^F \text{Z}_{4,\text{X}} \times \text{SU}(5)) \times \text{Spin}(5 + \varepsilon)$ of Fig. 6.

\(^{28}\)Three family (three generation) puzzle: Ref. [57] suggests the structure $\text{Spin}(8) \supset (\text{Spin}(5) \times \text{Z}_2^F \text{Spin}(3))$ from Spin(18) may be one key to resolve the family puzzle, once we apply the Kitaev-Wen type mechanism for the anomaly-free symmetric mass generation. What Ref. [1] proposes was possibly another key: Topological mass mechanism from the anomalous symmetric gapped topological order absorbs part of the gauge structure Spin(3) in Spin(8) ⊃ (Spin(5) × Z_2^F Spin(3)), since Spin(3) = SU(2) or SO(3) = Spin(3)/Z_2 in 3d CS theories (4.5).
constraints from anomalies and cobordism, given the low energy physics at SM, guiding us toward discovering something heavy at higher energy? Together with Ref. [1], we suggest that the anomaly can be matched at different energy scales in different manners:

1. In SM, electroweak and Higgs energy scales: Around $M_{su(3)\times su(2)\times u(1)}$ 3-Family and below $M_{su(3)\times su(2)\times u(1)}$ 3-Family in Fig. 4 and Fig. 5, the $Z_{4,X}$ symmetry is broken by Yukawa-Higgs Dirac mass term. The $Z_{16}$ anomaly in (3.48) is manifestly matched (in fact killed) once the $Z_{4,X}$ is broken.

2. In $su(5)$ GUT energy scale: Above $M_{su(5)}$ 3-Family and around $M_{su(5)\times Z_{4,Y}}$ 3-Family in Fig. 4 and Fig. 5, the $Z_{4,X}$ symmetry can be restored and regarded a global symmetry. Conventionally, the $Z_{16}$ anomaly (3.48) can be matched by the 16th Weyl fermion with heavy Dirac or Majorana masses by a seesaw mechanism, but those conventional symmetry-breaking masses again breaks the $Z_{4,X}$.

Ref. [1] suggests an alternative to assume the $Z_{4,X}$ is preserved and the $Z_{16}$ anomaly (3.48) can still be matched by 4d TQFT or 5d iTQFT (with ’t Hooft anomaly) replacing the gapless or gapped 16th Weyl fermion. Topological mass here is a symmetry-preserving mass. Ref. [1] also suggests a linear combination of the three scenarios: Dirac mass + Majorana mass + Topological mass, to match the (4.1) and (4.2).

3. In so(10) GUT energy scale: The $Z_{4,X} = Z(\text{Spin}(10))$ symmetry as the center of Spin(10) is dynamically gauged, since the so(10) GUT has dynamical Spin(10) gauge fields. The $Z_{4,X}$ gauge field $A_{Z_{4}} \in \mathcal{H}(M, Z_{4,X})$ is locally a one-form mod 4 gauge field (or a $Z_{4,X}$-valued 1-cocycle).

- Since $Z_{4,X} \supset Z_{4}^{F}$, the fermion parity $(-1)^{F}$ symmetry is also gauged at a higher so(10) GUT scale, the fermionic system becomes bosonized by gauging Spin(10) in Spin $\times Z_{4}^{F}$ Spin(10).

- Another way to say this is that dynamical spin structure is generated when breaking so(10) GUT to $su(5)$ GUT at the lower energy scale [16].

The $Z_{4,X}$ gauge field can couple and communicate between ‘the 4d SM or GUT sector’ and ‘the 4d TQFT sector or 5d iTQFT sector.’ See the quantum communication by Topological Force of the $Z_{4,X}$ gauge field in Ref. [1]’s Sec. 6.2. Since all the quarks and leptons in SM and all the 16 of Spin(10) carries an odd $Z_{4,X}$ charge $q_{X} = 1 \mod 4$ (see Table 1 of [1]), the SM/GUT sectors, say with an action $S_{4d-SM/GUT}$, in fact couple to $Z_{4,X}$ gauge field $A_{Z_{4}}$ in this way: The covariant derivative should be promoted from the SM/GUT coupling to:

$$\langle \nabla_{\mu} - i g_{SM/GUT} A_{\mu} \rangle \psi \mapsto \langle \nabla_{\mu} - i g_{SM/GUT} A_{\mu} - i q_{X} A_{Z_{4}} \rangle \psi \quad (4.6)$$

with $A_{Z_{4}} \in \mathcal{H}(M, Z_{4,X})$ and $A_{Z_{3}} = (A_{Z_{4}} \mod 2) \in \mathcal{H}(M, Z_{4,X}/Z_{4}^{F})$, where the restriction may be formulated by a Lagrange multiplier constraint of BF theory term [64]. The schematic partition function defined via summing all inequivalent gauge configurations in the path integral thus includes a contribution, see Sec. 6.1 of [1],

$$Z_{4d-TQFT} = \exp\left(\frac{2\pi i}{16} \cdot \nu_{5d} \cdot \eta(\text{PD}(A_{Z_{3}}))\right) \cdot \int [D\psi][D\bar{\psi}][DA][D\phi_{H}][D\phi_{A}][D\mathcal{B}] \cdots \exp(i S_{4d-SM/GUT}^{(nu)}[\psi, \bar{\psi}, A, \phi_{H}, \ldots, A_{Z_{4}}]_{M^{4}} + i S_{4d-TQFT}^{(nu)}[A_{Z_{3}}, \mathcal{B}, \ldots, A_{Z_{4}}]_{M^{4}})_{\nu = \nu_{5d} - N_{generation}}.$$  

(4.7)

The $S_{4d-SM/GUT}$ is the 4d SM or GUT action. The $\psi, \bar{\psi}, A, \phi_{H}$ are SM and GUT quantum fields, where $\psi, \bar{\psi}$ are the 15 or 16 Weyl spinor fermion fields, the $A$ are gauge bosons (with 12 components in SM,
24 in $su(5)$ GUT, 45 in $so(10)$ GUT, etc.) given by gauge group Lie algebra generators, and $\phi_H$ is the Higgs (electroweak and GUT Higgs). The $S_{\text{4d-TQFT}}^{(\text{coupling})}$ is a 4d noninvertible TQFT outlined in [1]. The $\mathcal{A}$ and $\mathcal{B}$ (and possibly others fields) are TQFT gauge fields (locally differential 1-form and 2-form anti-symmetric tensor gauge connections). The theory of (4.7) includes the physics and mathematical constructions of

[i]. 3+1d **Maxwell** (U(1)) and **Yang-Mills** (SU(N) and Spin(N)) gauge theory with some gauge group $G_{\text{internal}}$ and gauge fields $A$: The gauge field is a gauge connection on a $G_{\text{internal}}$-bundle.

[ii]. 3+1d fermion field theory of **Dirac** spinors (the complex 4C), **Weyl** spinors $\psi$ or $\bar{\psi}$ (the complex 2C as left-handed 2L or right-handed 2R), or **Majorana** spinors (the real 4S) in the representation of Lorentzian spacetime spin(3,1), and in various representation $R$ of the gauge group $G_{\text{internal}}$. Mathematically, the spinors are the sections of the spinor bundle with odd-degree fibers in supergeometry or spin spacetime manifold geometry.

[iii]. **Higgs** boson $\phi_H$ scalar field theory. The $\phi_H$ is a scalar 1 in Lorentzian spacetime spin(3,1), and again in some representation $R$ of the gauge group $G_{\text{internal}}$. The action contains possible Higgs potential term $U(\phi_H)$ such as quadratic or quartic terms. The action can also contain some **Yukawa-Higgs Dirac** terms or **Yukawa-Higgs Majorana** terms.

[iv]. The $\theta$-term, well-known as $F \wedge F$ or $F \hat{F}$ in the particle physics community, is in fact related to the **second Chern class** $c_2(V_G)$ and the square of the **first Chern class** $c_1(V_G)$ of the associated vector bundle of the gauge group $G$:

$$\theta \ c_2(V_G) = -\frac{\theta}{8\pi^2} \text{Tr}(\hat{F} \wedge \hat{F}) + \frac{\theta}{8\pi^2} (\text{Tr}\hat{F}) \wedge (\text{Tr}\hat{F}) = -\frac{\theta}{8\pi^2} \text{Tr}(\hat{F} \wedge \hat{F}) + \frac{\theta}{2} c_1(V_G)^2$$

$$\Rightarrow \frac{\theta}{8\pi^2} \text{Tr}(\hat{F} \wedge \hat{F}) = \frac{\theta}{2} c_1(V_G)^2 - \theta \ c_2(V_G). \quad (4.8)$$

In particular, here we consider $G$ as the U(N) or SU(N) gauge group, so we can define the Chern characteristic classes associated with complex vector bundles. This $\theta$-term is a topological term, but it is summed over as a weighted factor to define a Yang-Mills gauge theory partition function [80] [39, 41]. This $\theta$-term is not a quantum phase of matter by itself, so it is very different from the 4d TQFT with intrinsic topological order and 5d iTQFT with SPTs (as certain quantum phases of matter).

[v]. 4d TQFT is mathematically a 4d non-invertible TQFT whose partition function $Z$ on some closed manifold $M$ has an absolute value $|Z(M)| \neq 1$. In the case $M = M^3 \times S^1$, the $Z(M^3 \times S^1) = \text{GSD} = \dim \mathcal{H}_{M^3}$ is known as the number of ground states (GSD: ground state degeneracy) or the dimension of TQFT Hilbert space $\mathcal{H}$ on the spatial $M^3$. In general, $\text{GSD} \neq 1$ on a spatial $M^3$ is related to the counting of distinct topological superselection sectors of fractionalized excitations (from particles of 1-line operators or strings of 2-surface operators). The 4d TQFT is the low energy field theory description of some intrinsic topological order in the sense of quantum matter. The gauge fields for 4d TQFT here are cocycles in differential cohomology. This 4d TQFT is a new addition from [1] to SM and particle physics.

[vi]. 5d iTQFT is mathematically a 5d invertible TQFT whose partition function $Z$ on any closed manifold $M = M^5$ has an absolute value $|Z(M)| = 1$. So that the number $Z(M)^* = Z(M)^\dagger = Z(M)^{-1}$ defines an inverted phase of the original iTQFT $Z(M)$. The combined phase $Z(M)^* Z(M) = 1$ describes a trivial phase with no SPT nor topological order. This 5d iTQFT is a new addition from [1] to SM and particle physics. Is is an analogous interacting $\mathbb{Z}_{16}$ class of topological superconductor in condensed matter physics [70, 81–84] [45] but now in one higher dimension in 4+1d.

We should emphasize repeatedly that this topological $\theta$-term is totally different from the new topological sector (4d TQFT or 5d iTQFT) introduced in [1]. The previous Grand Unification contains a
Framework to include [i], [ii], [iii], [iv], but the Ultra Unification is proposed to include Grand Unification plus additional new topological sectors of TQFTs in [v] and [vi].

Some more comments:

- If only the $\mathbb{Z}_4$ gauge field are dynamical and summed over in the partition function, then we deal with a QFT problem with SM/GUT and 4d TQFT or 5d iTQFT sector as in [1].
- If not only the $\mathbb{Z}_4$ gauge field but also the $\eta$ invariant together with the underlying space-time topology/geometry are dynamical and summed over in the partition function (i.e., the $\eta(PD(\mathbb{A}_{\mathbb{Z}_4})$ in (1.4) are summed over), then we will have to deal with a QFT coupling to a dynamical gravity problem: a more challenging topological or quantum gravity issue.

Ref. [1] proposal ends at the $su(5)$ GUT and below the $so(10)$ GUT scale. In the present work, we continue to explore higher energy spectra to the hypothetical $so(18)$ GUT scale (compare with Fig. 4, Fig. 5, Fig. 6, and Table 1):

4. Above the $M_{su(5)}$ 3-Family and below $M_{su(5)\times\mathbb{Z}_4,3}$ 3-Family, if there are Dirac or Majorana masses given to the sterile neutrinos, then their masses could be around these scales. So the $\mathbb{Z}_4$ is broken below $M_{su(5)\times\mathbb{Z}_4,3}$ 3-Family due to the explicit Dirac/Majorana masses.

5. Above the $M_{su(5)\times\mathbb{Z}_4,3}$ 3-Family and below $M_{so(10)\times\mathbb{Z}_4,3}$ 3-Family (on the r.h.s of Fig. 6), or below $M_{su(5)\times so(5)}$ (on the l.h.s of Fig. 6), there could be a 4d TQFT gap scale $\Delta_{\text{TQFT}}$ given by (2.26) for the 4d TQFT described in [v]

In addition, the KW mechanism can take place, at a scale $\Delta_{KW, su(9)\times so(5)}$, to gap out extra matter.

6. Above the $M_{so(10)}$ 3-Family and $M_{so(10)\times so(5)}$ (on the r.h.s of Fig. 6) or above $M_{su(5)\times so(5)}$ (on the l.h.s of Fig. 6), below the $M_{so(10)\times so(6)}$ (on the r.h.s of Fig. 6) or $M_{su(5)\times so(6)}$ (on the l.h.s of Fig. 6), there could be a topological quantum phase transition (ideally tuning at the zero temperature $T = 0$, increasing the energy scale at $T = 0$ but by probing the shorter distance). The topological quantum phase transition occurs due to that part of the 4d TQFT degrees of freedom may become a nearly free-particle description of 16th Weyl fermion (right-handed neutrino).

In addition, the KW mechanism can take place, at scales $\Delta_{KW, su(9)\times so(6)}$ and $\Delta_{KW, so(10)\times so(6)}$, etc. in sequence, to gap out extra matter, steps by steps.

7. Above the $M_{so(10)\times so(6)}$ or $M_{su(5)\times so(6)}$ (respectively on the r.h.s and l.h.s of Fig. 6), below the $M_{so(10)\times so(8)}$ or $M_{su(9)}$ (respectively on the r.h.s and l.h.s of Fig. 6), there could be additional topological quantum phase transitions due to that other remained part of the 4d TQFT degrees of freedom may eventually become nearly free-particle description of 16th Weyl fermion(s) coupling to GUT gauge fields.

In addition, the KW mechanism can take place, at the scale $\Delta_{KW, so(10)\times so(8)}$, etc. in sequence, to gap out extra matter, steps by steps.

8. At $M_{so(18)}$, if all matter fields are eventually in $256^+$, then it may be possible that (4.1) and (4.2) are satisfied by $(n_{\nu} = 3, \nu_{4d} = 0, \nu_{5d} = 0)$.

In addition, the KW mechanism can take place, at the scale $\Delta_{KW, so(18)}$ above $M_{so(18)}$, to gap out the $256^-$ mirror matter, steps by steps.

9. If $\nu_{5d} \neq 0$ for any scale above $M_{so(10)}$, then, since $\mathbb{Z}_4 = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ is dynamically gauged above the scale $M_{so(10)}$, then there is a topological force mediated between 4d SM/GUT to
5d gauged theory. (Note: Gauging the $\mathbb{Z}_4$ of 5d iTQFT (1.4) becomes a 5d noninvertible TQFT [plus gravity if the $\eta(\text{PD}(\mathbb{A}_{Z_2}))$ in (1.4) are also dynamical and summed over].) This agrees with the proposal in [1].

10. Dark Matter as Topological Matter from Extended Objects? Above the $M_{su(5)\times\mathbb{Z}_4}$ 3-Family and below $M_{so(10)\times\mathbb{Z}_4}$ 3-Family or below $M_{su(5)\times so(10)}$ (respectively on the r.h.s or l.h.s of Fig. 6), the possible 4d TQFT gap scale $\Delta_{\text{TQFT}}$ in (2.26) is precisely the energy gap of heavy fractionalized extended object excitations from 4d intrinsic topological order. (See the previous remark [v].) It is possible these heavy fractionalized extended objects (from particles of 1-line operators or strings of 2-surface operators) can account for the heavy Dark Matter. If so, the Dark Matter is not formulated in terms of the conventional point-particle QFT physics, but the Dark Matter may be formulated in terms of extended objects of the (4d or 5d) TQFT physics.

In summary, in this work, we had checked explicitly that the anomaly can be matched by novel scenarios, not only in the energy scales below $su(5)$ GUT, but also between $su(5)$ GUT and $so(10)$ GUT, and to $so(18)$ GUT, for various scenarios in the proposal [1]. In the Appendices, we list down some additional explicit computations of anomaly matching.

A Dynamical Gauge Anomaly Cancellation

In Appendix A, we include the calculations of dynamical gauge anomaly cancellations for the $su(5)$ GUT, the two version (on spin or non-spin manifolds) of the $so(10)$ GUTs and $so(18)$ GUT. See Table 2 for the anomalies classified by cobordism, including

- **perturbative local anomalies**, classified by $\mathbb{Z}$ classes (known as free classes), and
- **nonperturbative global anomalies**, classified by $\mathbb{Z}_n$ classes (known as torsion classes).

Let us check explicitly that the dynamical gauge anomaly cancellation holds for $su(5)$ GUT and two version of $so(10)$ and $so(18)$ GUTs. In fact, there is only a local $\mathbb{Z}$ class anomaly captured by Feynman-Dyson graph for $su(5)$ GUT, and a global $\mathbb{Z}_2$ class anomaly for $so(10)$ and $so(18)$ GUT placed on non-Spin manifolds. Let us check below.
Cobordism group TP\textsubscript{d}(G) for Grand Unifications

| \(d\) | \(d\) classes | cobordism invariants |
|------|---------------|---------------------|
| 5d   | \(Z\)         | \(\frac{1}{2}\text{CS}_5^{SU(5)}\) |
|      | \(G = \text{Spin} \times \text{SU}(5)\) for \(su(5)\) GUT |
| e.g. | \(\text{Spin}(N) = \text{Spin}(10)\) or \(\text{Spin}(18)\) for \(so(10)\) or \(so(18)\) GUT |

Table 2: The 4d anomalies can be written as 5d cobordism invariants of \(\Omega_{d=5}^G \equiv \text{TP}_{d=5}(G)\), which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [14]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for the \(su(5)\) GUT and the two versions of \(so(10)\) GUT (placed on Spin vs non-Spin manifolds). See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

\[ \text{SU}(5)^3 \text{ for } su(5) \text{ GUT: 4d local anomaly from } 5d \frac{1}{2}\text{CS}_5^{SU(5)} \text{ and } 6d \frac{1}{2}c_3(SU(5)) \]

For \(G = \text{Spin} \times \text{SU}(5)\) of \(su(5)\) GUT, we read from Ref. [14] and Table 2 for a \(Z\) class of 5d cobordism invariants of the following: 5d \(\frac{1}{2}\text{CS}_5^{SU(5)}\) and 6d \(\frac{1}{2}c_3(SU(5))\). These 5d cobordism invariants correspond to the 4d perturbative local anomalies captured by the one-loop Feynman graph:

\[
\begin{align*}
\text{SU(5) gauge} & \quad (A.1) \\
\text{SU(5) gauge} & \quad (A.2) \\
\text{SU(5) gauge} & \quad (A.3)
\end{align*}
\]

This is the 4d anomaly \(\text{SU}(5)^3\) from 5d \(\frac{1}{2}\text{CS}_5^{SU(5)}\), which also descends from 6d \(\frac{1}{2}c_3(SU(5))\) of bordism group \(\Omega_6\) in Ref. [14]. We can check the anomaly \(A.1\) vanishes, by taking all of the SU(5) generators. It is sufficient to take the diagonal SU(5) generator \(Y\) as

\[
\begin{align*}
\hat{Y} &= \frac{1}{2}\hat{Y}' = \frac{1}{6} = \begin{pmatrix} -1/3 & 0 & 0 & 0 & 0 \\ 0 & -1/3 & 0 & 0 & 0 \\ 0 & 0 & -1/3 & 0 & 0 \\ 0 & 0 & 0 & 1/2 & 0 \\ 0 & 0 & 0 & 0 & 1/2 \end{pmatrix} = \frac{1}{6} \begin{pmatrix} -2 & 0 & 0 & 0 & 0 \\ 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & -2 & 0 & 0 \\ 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 3 \end{pmatrix}.
\end{align*}
\]

We can check the anomaly of \(su(5)\) GUT with matter \(\bar{5} + 10\) indeed cancels:

\[
\begin{align*}
\text{Tr}\hat{Y}^3 & = \frac{1}{6} \left( 3(2)^3 + 2(-3)^3 \right) + 3(-2 - 2)^3 + 6(-2 + 3)^3 + (6)^3) \\
& = 0.
\end{align*}
\]
Other Lie algebra generators for the $\mathbf{\bar{5}} + \mathbf{10}$ also cancel.

There is a similar calculation of $G = \text{Spin} \times \text{SU}(9)$ for the $su(9)$ GUT because the cobordism group $\text{TP}\mathbf{5}(G) = \mathbb{Z}$. It is a 4d local anomaly from 5d $\frac{1}{2} \text{CS}^\mathbf{5}(\text{SU}(9))$ and 6d $\frac{1}{2} c_3(\text{SU}(9))$. We can easily check that the $su(9)$ GUT descended from the $so(18)$ GUT in Fig. 6 is free from this 4d local anomaly.

A.2 Witten SU(2) anomaly vs New SU(2) anomaly

We summarize the ’t Hooft anomalies of 4d $SU(2) = \text{Spin}(3)$ symmetry theory in (A.4) and Table 3. When $SU(2)$ is gauged, these anomalies become dynamical gauge anomalies. There are two kinds of $SU(2)$ anomalies, both are nonperturbative global anomalies. We will use the Witten $SU(2)$ anomaly [46] and the new $SU(2)$ anomaly [16] in 4d to characterize the anomalies in the $so(N)$ GUT for $N \geq 7$, such as $N = 10, 18$. The ✓ mark in (A.4) means the anomaly exists for that matter representation $\mathbf{R}$.

| $SU(2)$ isospin $r$ | 0 | $\frac{1}{2}$ | 1 | $\frac{3}{2}$ | 2 | $\frac{5}{2}$ | 3 | $\frac{7}{2}$ | mod 4 | 2$r + \frac{1}{2}$ | 4$r + \frac{3}{2}$ | mod 4 |
|--------------------|---|-------------|---|-------------|---|-------------|---|-------------|-----|----------------|----------------|-----|
| $SU(2)$ Rep $\mathbf{R}$ (dim) | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | mod 8 | 4$r + 2$ | 8$r + 4$ | mod 8 | (A.4) |
| Witten $SU(2)$ anomaly [46] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| New $SU(2)$ anomaly [16] | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |

For a 4d $SU(2)$ symmetry theory, Eqn. (A.4) shows that:

- when the fermions (the spacetime spinors) are in the $SU(2)$ isospin $2r + \frac{1}{2}$ (namely the $SU(2)$ representation dimension $\mathbf{R}$ is $4r + 2$ for some integer $r$), we have the Witten $SU(2)$ anomaly [46] as ’t Hooft anomaly detectable on both $\text{Spin} \times SU(2)$ and $\text{Spin} \times \mathbb{Z}_2 SU(2)$ spacetime-internal structures. When $SU(2)$ is gauged, the dynamical $SU(2)$ gauge theory becomes inconsistent even on spin manifolds.

- when the fermions (the spacetime spinors) are in the $SU(2)$ isospin $4r + \frac{3}{2}$ (namely the $SU(2)$ representation dimension $\mathbf{R}$ is $8r + 4$), we have the new $SU(2)$ anomaly [16] as ’t Hooft anomaly detectable only on $\text{Spin} \times \mathbb{Z}_2 SU(2)$ spacetime-internal structures. When $SU(2)$ is gauged, the dynamical $SU(2)$ gauge theory can still be consistent on $\text{Spin}$ or $\text{Spin}^c$ manifolds; the dynamical $SU(2)$ gauge theory becomes inconsistent only on certain non-spin manifolds.
**Table 3:** The 4d anomalies can be written as 5d cobordism invariants of $\Omega^d_G = TP_d(G)$, which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [13]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for two versions of SU(2) symmetric theory (placed on Spin vs non-Spin manifolds). One of the $Z_2$ class global anomaly is the familiar Witten SU(2) anomaly [46], captured by $c_2(V_{SU(2)})\tilde{\eta}$ or $N^{(5)}_0 \mod 2$. The $N^{(5)}_0$ is the number of the zero modes of the Dirac operator in 5d. The $N^{(5)}_0 \mod 2$ is a spin-topological invariant known as the mod 2 index defined in [16,46]. (We find that the cobordism invariant of $N^{(5)}_0 \mod 2$ read from Adams chart has the similar form related to $\tilde{w}_3$Arf, where Arf is an Arf invariant [85] and $\tilde{w}_3$ is a twisted version of the third Stiefel-Whitney class $w_3$.) Another $Z_2$ class global anomaly is the new SU(2) anomaly [16]. The $\tilde{\eta}$ is a mod 2 index of 1d Dirac operator. See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

**A.3 A new SU(2) = Spin(3) ⊂ Spin(10) ⊂ Spin(18) anomaly for so(10) and so(18) GUT on non-Spin manifolds**

There is $Z_2$ classification of possible anomaly for SO(10) and so(18) GUT shown in Table 2,

$$
\Omega^5_{Spin \times Z_2 Spin(10)} = \Omega^5_{Spin \times Z_2 Spin(18)} = Z_2,
$$

$$
TP_5Spin \times Z_2 Spin(10)) = TP_5Spin \times Z_2 Spin(18)) = Z_2.
$$

This implies that there is only a 5-dimensional topological invariant written in terms of a bulk partition function on a 5-manifold $M^5$,

$$
Z = \exp(i\pi \int_{M^5} w_2(TM) \cup w_3(TM)) = \exp(i\pi \int_{M^5} w_2(V_{SO(3)}) \cup w_3(V_{SO(3)})),
$$

where $w_n(TM)$ is the $n$th-Stiefel-Whitney class for the tangent bundle of 5d spacetime manifold $M^5$, and the $\cup$ is the cup product (which we may omit writing $\cup$). We note that on $M^5$, we have a $Spin(D=5) \times Spin(N)$ connection — a mixed gravitational and gauge connection, rather than a pure gravitational $Spin(D = 5)$ connection. The mixed gravitational and gauge structure in $Spin \times Z_2 Spin(3)$ gives a constraint $w_2(TM) = w_2(V_{SO(N)})$ and $w_3(TM) = w_3(V_{SO(N)})$, where $w_n(V_{SO(N)})$ is the $n$th-Stiefel-Whitney class for an SO($N$) gauge bundle. Thus, $M^5$ can be a non-spin manifold due to $w_2(TM) \neq 0$ (note that a spin manifold iff $w_2(TM) = 0$).

We can detect the 5d cobordism invariant by its 4d boundary state. In our case, the 5d state has a boundary described by 4d Spin($N$) chiral Weyl fermion theory with Weyl fermion as the Lorentz spinor $2_L$ of the spacetime structure Spin(3,1). Then we can detect the 5d cobordism invariant via the Spin($N$) representation of the chiral Weyl fermions on the boundary. Here we use a fact that the 5d cobordism
invariant can be detected by restricting to a subgroup $SU(2) = Spin(3) \subseteq Spin(N)$ [12,16]: Let $n_j$ be the number of isospin-$j$ representations of $SU(2) = Spin(3) \subseteq Spin(N)$ (so the dimension of representation is $\mathbf{R} = 2j + 1$) for 4d boundary chiral Weyl fermions, then the 5d cobordism invariant is absent if the following two numbers are zero mod 2:

$$\sum_{r=0}^{\infty} n_{2r+\frac{1}{2}} = 0 \mod 2, \quad \sum_{r=0}^{\infty} n_{4r+\frac{3}{2}} = 0 \mod 2. \quad (A.8)$$

To check how the representation of $Spin(N)$ reduces to the representations of $Spin(3)$, let us study the representation of $Spin(N)$ (the spinor representation of $Spin(N)$), assuming $N \in \text{even}$. We first introduce $\gamma$-matrices $\gamma_a, a = 1, \cdots, N$:

$$\gamma_{2k-1} = \sigma^0 \otimes \cdots \otimes \sigma^0 \otimes \sigma^1 \otimes \cdots \otimes \sigma^1, \quad \gamma_{2k} = \sigma^0 \otimes \cdots \otimes \sigma^0 \otimes \sigma^2 \otimes \cdots \otimes \sigma^2, \quad k = 1, \cdots, N.$$

$$\gamma_{2k-1} = \sigma^0 \otimes \cdots \otimes \sigma^0 \otimes \sigma^3 \otimes \cdots \otimes \sigma^3, \quad \gamma_{2k} = \sigma^0 \otimes \cdots \otimes \sigma^0 \otimes \sigma^3 \otimes \cdots \otimes \sigma^3, \quad k = 1, \cdots, N.$$

$\gamma_{2k-1}$, which satisfy $\{\gamma_a, \gamma_b\} = 2\delta_{ab}$ and $\gamma_a^\dagger = \gamma_a$. Here $\sigma^0$ is the rank-2 identity matrix, and $\sigma^l$ with $l = 1, 2, 3$ are the rank-2 Pauli matrices. The $N(N-1)/2$ hermitian matrices $\gamma_{ab} = \frac{1}{2}[\gamma_a, \gamma_b] = i \gamma_a \gamma_b$ for $a < b$, generate a $2^{N/2}$-dimensional representation of $Spin(N)$. The above $2^{N/2}$-dimensional representation is reducible. To obtain an irreducible representation, we introduce

$$\gamma_{\text{FIVE}} = (-1)^{N/2} \gamma_1 \cdots \gamma_N = \sigma^3 \otimes \cdots \otimes \sigma^3. \quad (A.9)$$

We have $(\gamma_{\text{FIVE}})^2 = 1$, its trace $\text{Tr}(\gamma_{\text{FIVE}}) = 0$, and $\{\gamma_{\text{FIVE}}, \gamma_a\} = [\gamma_{\text{FIVE}}, \gamma_{ab}] = 0$. This allows us to obtain two $2^{N/2-1}$-dimensional irreducible representations: one representation survive under the projection $\frac{1+\gamma_{\text{FIVE}}}{2}$ (known as the original chiral matter in physics), the other representation survive under the projection $\frac{1-\gamma_{\text{FIVE}}}{2}$ (known as the mirror matter in physics).

Now, let us consider an $SU(2) = Spin(3)$ subgroup of $Spin(N)$, generated by $\gamma_{12} = I \otimes \sigma^0 \otimes \sigma^3$, $\gamma_{23} = I \otimes \sigma^1 \otimes \sigma^1$, and $\gamma_{31} = I \otimes \sigma^1 \otimes \sigma^2$, where $I$ is an identity matrix from $\sigma^0$. We see that the $2^{N/2-1}$-dimensional irreducible representation of $Spin(N)$ becomes $2^{N/2-2}$ isospin-1/2 representations ($\mathbf{R} = 2$) of $SU(2)$. This means

the $2^{N/2-1}$-dimensional irreducible spinor representation of $Spin(N) \sim 2^{(N/2)-2}(2)$ of $Spin(3) = SU(2)$.

In short, we see that for an even $N \geq 8$, the 4d boundary chiral Weyl fermions only reduces to an even number of isospin-1/2 representations ($\mathbf{R} = 2$) of $SU(2)$, and, according to (A.8), the 5d cobordism invariant $e^{i \pi \int_{\mathbb{R}^5} w_2(TM) w_3(TM)}$ is absent. Thus the 4d so$(N \geq 8)$ GUTs including the so(10) and so(18) GUT are free from all dynamical gauge anomalies. These GUTs are free from perturbative local anomalies as well-known since 1970-80s, but these GUTs are free from nonperturbative global anomalies are known only recently in [12,16].

## B Anomaly Matching for GUT with Extra Symmetries

For the $su(5)$ GUT, we can introduce the $X = 5(B-L) - 4Y$ symmetry as an $U(1)_X$ or $\mathbb{Z}_{4,X}$ symmetry. This gives an Spin$^c$ or Spin $\times \mathbb{Z}_2 \mathbb{Z}_4$ structure respectively. See Table 4 for the anomalies classified by
cobordism. For $so(10)$ and $so(18)$ GUT, the $Z_{4,X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ is part of the gauge group, so we already classify all possible anomalies of $so(N \geq 7)$ GUT including $X = 5(B - L) - 4Y$ symmetry in Table 2.

| Cobordism group $TP_d(G)$ for Grand Unifications with extra symmetries |
|--------------------------------------|
| $dd$ classes cobordism invariants |
| $G = \text{Spin} \times Z_2 \times Z_4 \times SU(5)$ |
| $5d$ $Z \times Z_2 \times Z_{16}$ $(A_{Z_2})^2 CS_{SU(3)}^2 + CS_{SU(3)}^2, (A_{Z_2})c_2(SU(5)), \eta(PD(A_{Z_2}))$ |
| $G = \text{Spin}^c \times SU(5)$ |
| $5d$ $Z^4$ captured by perturbative local anomalies. |

Table 4: Setup follows Table 2. The 4d anomalies can be written as 5d cobordism invariants of $\Omega^{d=5}_G \equiv TP_{d=5}(G)$, which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [14,15]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for the $su(5)$ GUT with $U(1)_X$ or $Z_{4,X}$ symmetry. For $so(10)$ GUT, the $Z_{4,X}$ is part of the gauge group, so we only need to look at Table 2’s result. See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

It is well-known that $su(5)$ GUT with $X = 5(B - L) - 4Y$ symmetry is free from all perturbative local anomalies, perhaps since 1970s-80s. (Namely, the $Z$ class anomalies in Table 4 would vanish in the $su(5)$ GUT.) However, it is not clear whether $su(5)$ GUT with $X = 5(B - L) - 4Y$ symmetry is free from all non-perturbative global anomalies. Recent attempts to check global anomalies of $su(5)$ GUT with $X$ symmetry can be found in Ref. [10,11] and [14]. We will check the 4d $Z_2$ global anomaly from $5d (A_{Z_2})c_2(SU(5))$ in Sec. B.1, and check 4d $Z_{16}$ global anomaly from $\eta(PD(A_{Z_2}))$ in Sec. B.2.

**B.1 $X$-SU(5)$^2$: 4d local $Z$ anomaly or 4d global $Z_2$ anomaly from 5d $(A_{Z_2})c_2(SU(5))$**

Recall the $U(1)_{B-L}$ is not a proper symmetry of $su(5)$ GUT. The “baryon minus lepton number symmetry” of $su(5)$ GUT is $U(1)_X$. Plug in to check 4d local anomaly of $X$-SU(5)$^2$:

![Diagram](B.1)

we find the anomaly factor contributed from the representation $R$ of fermions in SU(5) as the anti-fundamental $R = \bar{5}$ and anti-symmetric $R = 10$, from the 15 Weyl fermions $\bar{5} \oplus 10$ in one generation.
Let us check the $X$ current conservation or violation by ABJ type anomaly:

$$d \ast (j_X) \propto \sum_R X_R \cdot \text{Tr}_R [F_{\text{SU}(5)} \wedge F_{\text{SU}(5)}] \propto \sum_R X_R \cdot c_2(\text{SU}(5)). \quad (B.2)$$

Here $c_2(\text{SU}(5))$ is the second Chern class of SU(5), which is also related to the 4d instanton number of SU(5) gauge bundle. For $5 \oplus 10$ with $N_{\text{generation}}$, we get the U(1)$_X$ charges for

$$X_5 = -3, \quad X_{10} = 1,$$

so

$$d \ast (j_X) \propto N_{\text{generation}} \left( X_5 \text{Tr}_5 [F \wedge F] + X_{10} \text{Tr}_{10} [F \wedge F] \right) = N_{\text{generation}} \cdot 0 = 0 \quad (B.5)$$

vanishes. We confirm that the U(1)$_X$ symmetry is ABJ anomaly free at least perturbatively in $su(5)$ GUT.

This anomaly matching is also true when we break down U(1)$_X$ to $Z_{4,X}$, so that the mod 2 class 4d anomaly from 5d $(A_{Z_2})_c c_2(\text{SU}(5))$ is still matched.

### B.2 $\eta(\text{PD}(A_{Z_2}))$: 4d $Z_{16}$ global anomaly

The 4d $Z_{16}$ global anomaly from a 5d cobordism invariant $\eta(\text{PD}(A_{Z_2}))$ in [1, 14] and Table 4 counts the number mod 16 of 4d left-handed Weyl spinors ($\Psi_L \sim 2_L$ of Spin(3,1) or $\Psi_L \sim 2_L$ of Spin(4) = SU(2)$_L \times SU(2)_R$). Given $N_{\text{generation}}$ (e.g., 3 generations), for each generation, we have:

$$3 \cdot 2 + 3 \cdot 1 + 3 \cdot 1 + 1 \cdot 2 + 1 \cdot 1 = 15 = -1 \mod 16. \quad (B.6)$$

For 1 generation, we need to saturates the anomaly with an index $\nu$:

$$\nu = -1 \mod 16.$$

For 3 generations, we need

$$3 \left( 3 \cdot 2 + 3 \cdot 1 + 3 \cdot 1 + 1 \cdot 2 + 1 \cdot 1 \right) = 45 = -3 \mod 16.$$

Therefore we need to saturates the anomaly:

$$\nu = -3 \mod 16.$$

To evaluate the $c_2$ or the instanton number in different representations, $R_1$ and $R_2$, we use the fact that

$$\text{Tr}_{R_1} [F \wedge F]/\text{Tr}_{R_2} [F \wedge F] = (d(R_1) C_2(R_1))/ (d(R_2) C_2(R_2)) = (d(G) C(R_1))/ (d(G) C(R_2)) = C(R_1)/C(R_2), \quad (B.3)$$

here $d(R)$ and $C_2(R)$ are respectively the dimension and the quadratic Casimir of an irreducible representation $R$. Here $d(G)$ is the dimension of group and $C(R)$ is the Dynkin index. We use a relation $d(R) C_2(R) = d(G) C(R)$ for a representation $R$. For the representation $R$ of SU(N) with $d(G) = N^2 - 1$, we have

| $R$         | $d(R)$ | $C_2(R)$ | $C(R)$ |
|-------------|--------|----------|--------|
| Fundamental | $N$    | $N(N-1)/2$ | $N^2 - 1/2$ |
| Antisymmetric | $N(N-1)/2$ | $N^2 - 1/2$ | $N^2 - 1/2$ |

For SU(5) with $N = 5$, we get $\text{Tr}_{10} [F \wedge F] = (N-2) \text{Tr}_5 [F \wedge F] = 3 \text{Tr}_5 [F \wedge F]$. 

44
For $N_{\text{generation}}$ generations, we need to saturates the anomaly:

$$\nu = -N_{\text{generation}} \mod 16. \quad (B.7)$$

This anomaly can be canceled by adding new degrees of freedom

$$\nu = N_{\text{generation}} \cdot (N_{\nu R} = 1) \mod 16. \quad (B.8)$$

This $\mathbb{Z}_{16}$ anomaly matching can be matched by adding a right-handed neutrino (the 16th Weyl spinor) per generation. This also shows the robustness if we break down $U(1)_{B-L}$ or $U(1)_{X}$ down to $\mathbb{Z}_{4, B-L}$ or to $\mathbb{Z}_{4, X}$. Again this $\mathbb{Z}_{4}$ as the center $Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ is important for the $\text{so}(10)$ or $\text{so}(18)$ GUT.

Are there other ways to match the anomaly other than introducing the right-handed neutrino (the 16th Weyl spinor) per generation? Ref. [1] introduces a new scenario by introducing a 4d TQFT or 5d TQFT in (4.7) to match the anomaly with a constraint (4.2). In general, (4.7) schematically shows the combinations of solutions by adding right-handed neutrino, or adding 4d non-invertible TQFT, or 5d invertible TQFT to match the anomaly constraint (4.2).

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30 Instead of writing or drawing an image of the author’s mental conditions, a piece of Ludwig van Beethoven’s music “Piano Sonata No. 23 in F minor, Op. 57 Appassionata - the 2nd movement - Andante con moto” may illuminate this well. Listen: