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Unraveling the Origin of the Apparent Charge of Zwitterionic Lipid Layers

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Abstract: The structure of water molecules in contact with zwitterionic lipid molecules is of great biological relevance, because biological membranes are largely composed of such lipids. The interaction of the interfacial water molecules with the amphiphilic lipid molecules drives the formation of membranes and greatly influences various processes at the membrane surface, as the field that arises from the aligned interfacial water molecules masks the charges of the lipid headgroups from the approaching metabolites. To increase our understanding of the influence of water molecules on biological processes we study their structure at the interface using sum-frequency generation spectroscopy and molecular dynamics simulations. Interestingly, we find that water molecules at zwitterionic lipid molecules are mainly oriented by the field arising between the two oppositely charged molecular moieties within the lipid headgroups.

The formation of membranes originates from the interaction of amphiphilic phospholipids with the adjacent water molecules. Hydrophobic forces drive the segregation of the hydrophobic tails and hydrophilic headgroups. Consequently, this interface between the lipid headgroups and the surrounding water molecules is of high biological relevance. Water molecules close to the lipid headgroups form strong hydrogen bonds to the phosphate and carbonyl groups of the lipid molecules.

Furthermore, the water molecules are aligned because of the electric field arising from the charges on the lipid headgroups. Lipids bearing zwitterionic headgroups that have a net zero charge, such as phosphatidylcholine (PC) and phosphatidyl-ethanolamine (PE), are the main constituents of biological membranes. Interestingly, even though they have no net charge, the water molecules at the interface of such zwitterionic lipid layers have been concluded to be preferentially oriented, on average, with their hydrogen atoms pointing toward the lipid alkyl chain. Thus, the interfacial water molecules at such naturally occurring zwitterionic lipid surfaces orient as if the lipid were negatively charged. This water orientation, which is strongly enhanced as compared to the ordering at the water–air interface, has been shown experimentally with phase-resolved sum-frequency generation (SFG) and theoretically using molecular dynamics (MD) simulations.

Likewise, the zeta potential for liposomes of zwitterionic lipids has been reported to be negative at pH 7. The water orientation and zeta potential thus both imply a preferential interfacial water orientation with the hydrogen atoms of the water molecules pointing toward the lipid monolayer. Throughout this Letter we will call this effect the "apparent negative charge of zwitterionic lipids", as the water orientation at these interfaces resembles that at negatively charged surfaces. This has important implications for biological reactions as it influences the apparent charge density of the surface, which in turn influences the interaction of membranes with water and proteins.

Various hypotheses have been put forward as to why water near naturally occurring zwitterionic headgroups behaves similarly to water close to negatively charged headgroups. One theory is that the water orientation is dominated by the negatively charged phosphate group that is more capable of orienting the water molecules because of its negative charge density being larger than the positive charge density of the choline group. However, there are also reports suggesting that the hydrogen bond network reaches up until the carbonyl groups and that those groups significantly contribute to the water-ordering driving force. In this scenario, it is simply the presence of carbonyl groups in the lipid headgroup that results in the apparent negative charge.
in the preferential orientation of interfacial water. Yet a third theory suggests that the dipole within the headgroup dominates the water response. In a lipid monolayer on water, the zwitterionic phosphatidylycholine headgroup is oriented in such a way that the phosphate group is closer to air, while the choline group is positioned a bit deeper in the water phase. This conformation results in the formation of an electric field between the negatively and positively charged molecular moieties. It has been suggested that this field significantly contributes to the interfacial water ordering. According to this theory, the water molecules situated between the lipid headgroups would thus experience the negative charge of the phosphate group from above and the positive charge of the choline group from below. This results in the water molecules being oriented with their hydrogen atoms pointing up with respect to the surface normal (toward the lipid monolayer).

Here, we unravel the origin of the apparent negative charge of zwitterionic lipids using the nonlinear optical method SFG spectroscopy. In SFG, a visible and an IR pulse are overlapped at the sample surface and a signal at the sum of those two frequencies is generated. If the IR pulse frequency is in resonance with a molecular vibration, the signal is enhanced. An SFG signal can be generated only at the surface of water where the symmetry is broken and is thus sensitive to the alignment of interfacial molecules. We can determine the origin of the apparent negative charge of naturally occurring zwitterionic lipid monolayers by investigating the water orientation at lipid monolayers, for which the chemical structure of the headgroup is altered such that the arrangement of the positive and negative charge are inverted. The zeta potential for liposomes of such zwitterionic lipids with altered headgroups has been reported to be negative at pH 7 for both 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC) and the zwitterionic lipid with the inverted headgroup 2-(2,3-bis(oleoyloxy)propyl)-dimethyl-ammonio)ethyl ethyl phosphate (DOCPe). This suggests that the apparent charge and thus the interfacial water structure at those two lipids might be comparable. We thus investigate the water orientation for the two types of headgroups with altered phosphate and choline group sequence. We have measured SFG spectra of DOPC and DOCPe monolayers on water to determine the water orientation at those different zwitterionic lipid molecules.

Figure 1a shows the chemical structure of the two zwitterionic lipid molecules DOPC and DOCPe. The SFG spectra of the lipid–water interface for the two different lipids are shown in Figure 1b. The sharp signals between 2800 and 3000 cm⁻¹ originate from CH vibrations of the lipid tails, and the broad signals between 3100 and 3500 cm⁻¹ arise from oriented water molecules at the lipid monolayers. The spectral shape in the OH vibration region appears to be almost identical for the two lipid monolayers. The only differences occur in the CH signals of the lipid tails. This variation is due to the different surface pressures and to the consequentially different ordering of the monolayers on the water surface. The similarity in the water signals is remarkable, as an orientational reversal of water going from the PC to CPe zwitterionic headgroup has been found previously in MD simulations.12

Because the signal measured with conventional SFG spectroscopy is proportional to |χ(2)|², the information about the absolute orientation of the interfacial water molecules is lost. It is thus not possible to infer from these spectra whether the water molecules are oriented with their hydrogen atoms pointing up or down relative to the surface normal. We therefore also measured phase-resolved SFG spectra of the DOPC and DOCPe lipid monolayers on water. Figure 2 shows the imaginary (Imχ(2)) SFG spectra of the lipid–water interface for the two different lipids. The spectra are acquired in ssp polarization combination. The sharp signals between 2900 and 3000 cm⁻¹ originate from CH vibrations of the lipid tails. In accordance with previous literature,13 we assign the negative peak at 2920 cm⁻¹ to a CH₃ Fermi resonance and the positive peak at 2955 cm⁻¹ to the CH₃ asymmetric stretch mode. These signs of the CH₃ resonances indicate that the methyl groups are oriented with their H atoms pointing up toward air.14 The negative signal at 3000 cm⁻¹ is assigned to a vinyl CH stretch mode from the double bonds in the lipid chains.15 The broad signals between 3100 and 3700 cm⁻¹ arise from oriented water molecules at the lipid monolayer surfaces. The sign of the main water signal is opposite for the two different lipid monolayers acquired at a surface area per molecule of 85 Å².
water molecules are oriented with their hydrogen atoms pointing up/down relative to the surface normal (toward/away from the lipid monolayer). The positive SFG water signal at zwitterionic PC headgroups, i.e., as if the PC monolayer carried a net negative charge, has been reported previously.\(^5,7,8\) The main SFG water signal at the CPe headgroup is negative, indicating that the water molecules are mainly oriented with their hydrogen atoms pointing down toward the bulk water. As we observe a flip in the water orientation at the CPe monolayer as opposed to the PC monolayer, the preferential water orientation can not be induced by the stronger water ordering capability of the phosphate group that is present in both headgroups. Rather, it is the relative positions of the charged groups within the lipid headgroup that matter. Our results are therefore consistent with the theory that the electric field formed by the two charges in the zwitterionic headgroup has a strong impact on the interfacial water orientation.\(^5,9,12\) As the relative position of the phosphate and choline groups is interchanged for the two different lipid structures of the PC and CPe headgroups, the direction of the resulting field is likewise reversed. As a result, the interfacial water molecules are oriented in opposite directions for the two different headgroup structures. The position of the main water band at the DOPC monolayer is at a significantly lower frequency than at the DOCPe monolayer, indicating differences in the hydrogen bonding strength between the two interfaces. However, the results might also be induced by coupling effects. To determine the origin of the shift in the water band the phase-resolved experiments would have to be performed in isotopically diluted water, which is experimentally challenging because of the small signal strength.

The high-frequency (~3600 cm\(^{-1}\)) water signal is positive for both the PC and CPe headgroups. It thus originates from water molecules oriented with their hydrogen atoms pointing up/down relative to the surface normal (toward/away from the lipid monolayer). The positive SFG water signal at zwitterionic PC headgroups, i.e., as if the PC monolayer carried a net negative charge, has been reported previously.\(^5,7,8\) It has been attributed to water molecules close to the lipid carbonyl groups\(^7\) and in the hydrophobic region of the lipid molecules.\(^7,16\) As this high-frequency signal originates from water molecules that are not situated between the two charge
centers, the structure of the charged groups in the headgroups does not influence the orientation of these water molecules. Thus, the signal is present in the spectra of the PC as well as the CPe headgroups. A schematic of the two lipid molecules and the ordered interfacial water molecules is shown in Figure 3.

To further investigate our experimental findings, we performed simulations of lipid bilayers in water using PC and CPe headgroups. The interactions at hydrated lipid bilayers are comparable to those at lipid monolayers. The water orientation profiles along the surface normal at the DOPC and DOCPe interface are shown in Figure 4c. Figure 4d shows the density distributions of the DOPC and DOCPe lipid molecules (dashed lines) as well as those of the water molecules (solid lines) as a function of the position in the simulation box. The dipolar electric fields arising from the ordered interfacial water molecules are shown in Figure 4b for DOPC (blue) and DOCPe (red). Panels b and c of Figure 4 show that the water molecules are oriented only in the headgroup region and not by a field that penetrates into the water as we have shown previously for charged lipid headgroups. The simulation results also show that the dipolar electric field arising from the interfacial water molecules is opposite for the two different lipid headgroups, which is in line with our experimental results. However, the intensity of the dipolar field arising from the interfacial water molecules (Figure 4b) is rather different for the two different lipids. This is in contrast to our experimental results, where we observe a comparable intensity of the SFG water signal for both systems (Figures 1b and 2). This discrepancy might well originate from the force field used in the simulations.

Interestingly, there is a small fraction of water molecules at the DOCPe headgroup that are oriented in the opposite direction as the majority of water molecules (Figure 4c). These water molecules are situated closer to the lipid tails in the simulation box (roughly at ±2 nm). As water molecules closer to the hydrophobic tails are likely to be only loosely hydrogen bonded, these water molecules probably correspond to those water molecules that contribute to the positive high-frequency signal that is present in the spectra of both lipids shown in Figure 2. However, as the water density at this position in the simulation box is already quite low (Figure 4d), this positive signal is not present anymore in the calculated dipolar field shown in Figure 4b. This might again be due to the force field used in the simulations.

Previously reported theoretical results for the water orientation at zwitterionic lipids with inverted headgroups all show that the main water population is oriented oppositely at the CPe bilayer surface as compared to the PC bilayer surface, which is in agreement with our results. However, while the results all agree in terms of the average interfacial water orientation, there are quite some differences in the details. Magarkar et al. and Sani et al. report a comparable intensity for the water orientation at the PC and CPe bilayer, while the results of Cardenas et al. show a significantly higher water ordering at the PC membrane than at the CPe membrane. Furthermore, the results differ quite drastically in the water orientation closer to the hydrophobic part of the membrane. Magarkar et al. and Cardenas et al. both observe a small population of water with reversed orientation at the CPe interface close to the membrane center. This is in agreement with our experimental (Figure 2) and theoretical (Figure 4c) results. Sani et al. on the other hand observe water with opposite orientation at this small distance to the membrane center. These differences in the simulation results may be due to the presence or absence of ions as well as the use of different force fields.

In conclusion, we have shown that the relative position of the phosphate and choline moieties within the lipid headgroups in zwitterionic lipid monolayers determines the interfacial water orientation. Water molecules orient with their hydrogen atoms toward the PC alkyl chain while they orient with their hydrogen atoms pointing away from the CPe alkyl chain. These results suggest that water ordering induced by zwitterionic lipids is not dominated by the stronger water ordering capability of the phosphate group but rather the electric field that arises between the two oppositely charged groups within the headgroup. The water molecules residing close to the hydrophobic tails are not influenced by the inversion of the charge centers within the headgroups.

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