Simultaneous process optimization of ultrasound-assisted extraction of polyphenols and ellagic acid from pomegranate (*Punica granatum* L.) flowers and its biological activities

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ABSTRACT

This study was designed to optimize the extraction rate of total polyphenols and ellagic acid from pomegranate flowers. Single factors were investigated for liquid-to-material ratio (5–25), ethanol concentration (20%–60%), sonication time (5–60 min), and sonication power (150–500 W). The level range of the Box-Bohnken design was determined with respect to the single-factor results. The components of each index were normalized using the entropy weighting method for obtaining the comprehensive evaluation value. Under the actual conditions, the final optimization results were 17 for liquid-to-material ratio, 43% for ethanol concentration, 10 min for ultrasonic time, and 300 W for ultrasonic power. The extracts obtained under optimal conditions were tested for the inhibition of *Streptococcus mutans* and its biofilm, and results showed that pomegranate flowers exerted some inhibitory effects on the bacterium. Phosphomolybdenum and FRAP assays were used, and DPPH, ABTS, and •O₂⁻ radical scavenging tests were conducted, indicating that pomegranate flower extracts have good antioxidant capacity.

1. Introduction

Pomegranate flowers are flowers of deciduous shrubs or small trees *Pomegranate*, a plant of the genus *Pomegranate* [1]. According to relevant literature [2–5], pomegranates are rich in phenols, tannins, sugars, pigments, and trace elements, and polyphenols are the most abundant. Crude pomegranate extracts have good pharmacological effects, such as antioxidant, anti-aging, hypoglycemic, hypotensive, hypolipidemic, and anti-atherosclerosis [6–8]. In early summer, bell-shaped flowers that cannot bear fruit usually detach when the pomegranate flowers first appear in the buds, and most of those flowers that fail to germinate properly during subsequent growth also drop off naturally. Hence, there is an abundance of available pomegranate flowers.

Currently, domestic and international studies [6,9] are mainly focused on pomegranate peels and seeds, and little research has been done on pomegranate flowers. Crude pomegranate extracts have good pharmacological effects, such as anti-atherosclerosis, hypoglycemic, hypotensive, hypolipidemic, and anti-atherosclerosis [6–8]. In early summer, bell-shaped flowers that cannot bear fruit usually detach when the pomegranate flowers first appear in the buds, and most of those flowers that fail to germinate properly during subsequent growth also drop off naturally. Hence, there is an abundance of available pomegranate flowers.

In a nutshell, two indicator components (polyphenols and ellagic acid) of pomegranate flowers were optimized for the determination of optimal extraction conditions, and the antibacterial and antioxidant effects of best conditions of the extracts were investigated.

2. Material and methods

2.1. Chemicals and reagents

Standards of gallic acid, ellagic acid, corilagin (HPLC grade, ≥98%)

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were purchased from Purify (Chengdu, China). Acetonitrile, methanol, ortho-phosphoric acid were obtained from and Fisher (Fair Lawn, U.S.A). DPPH (2,2-diphenyl-1-picrylhydrazyl), ABTS (2,2’-azino-bis(3-ethylbenzothiazoline-6-sulfonic acid) diammol salt), TPTZ (2,4,6-Tri(2-pyridyl)-s-triazine) and ascorbic acid (Vitamin C) were obtained from Solarbio (Beijing, China). Folin-Ciocalteu agent was derived from Wobisen (Beijing, China). Sodium penicillin were purchased from Yuanye Bio-Technology (Shanghai, China). Ecko ultrapure water machine (Chengdu, China) prepared pure ultra-pure water. Other reagents of analytical purity are purchased from Fuyu (Tianjin, China).

2.2. Preparation of raw material

The samples of this experiment were un-petaled pomegranate flowers, collected from Hotan, Xinjiang, and identified by Chief Pharmacist Li Yonghe of the College of TCM, Xinjiang Medical University. The pistils and stamens were removed from the flowers, and then the samples were crushed and the powder was passed through a 50 mesh sieve and set aside and put into a desiccator for use.

2.3. Extraction procedure

A KQ5200DE (40 kHz) numerical control ultrasonic cleaner (Kunshan Ultrasonic Instrument Co., Ltd., Kunshan, Jiangsu, China) was used for extraction. Approximately 0.5 g of herbal powder was placed in a 50 mL centrifuge tube, and ethanol was added according to the material-to-liquid ratio. Extraction was performed using specific ultrasonic power and ultrasonic time. After the extraction, the solution was centrifuged and filtered, and the supernatant was collected for the next operation.

2.4. Measurement method of indicator components

2.4.1. Folin-Ciocalteu method determination of total polyphenol content (TPC)

Total polyphenol content (TPC) was determined, and the method adopted was obtained from the literature and slightly modified [17–19]. Pomegranate flower extracts obtained with different process parameters were determined. Each solution was added to a volumetric flask as follows: 0.2 mL of test solution, 1 mL of Folin-Ciocalteu reagent, and 2 mL of 10% Na2CO3. A final volume of 25 mL was fixed. The solution was placed in a 75 °C water bath for 10 min, and then the absorbance was measured at 760 nm. TPC was described as milligrams of gallic acid per gram of powder.

2.4.2. HPLC-DAD determination of ellagic acid content (EAC)

An Agilent 1200-DAD high-performance liquid chromatograph with a C18 reversed-phase column (WondaSil, 4.6 × 250 mm, 5 μm) was used in chromatographic analysis. The chromatographic procedure was adopted with the following conditions: flow rate, 0.8 mL/min; column temperature, 25 °C; detection wavelength, 254 nm; injection volume, 2 μL; mobile phase of acetonitrile (A) of 0.1% phosphoric acid aqueous solution (B), 0–25 min, 85% B-72% B, gradient elution [20,21]. Ellagic acid content (EAC) was expressed as milligrams of ellagic acid per gram of powder.

2.5. Optimization of processes

2.5.1. Single-factor experimental design

The default extraction conditions [22,23] were as follows: liquid-to-material ratio, 15; ethanol concentration, 40%; ultrasonic time, 10 min; and ultrasonic power, 300 W. The other conditions were kept constant when one of the factors was examined. The effects of four factors: liquid-to-material ratio (5, 10, 15, 20, and 25), ethanol concentration (20%, 30%, 40%, 50%, and 60%), sonication time (5, 10, 20, 40, and 60 min), and sonication power (150, 200, 300, 400, and 500 W), on the yield of total polyphenols and ellagic acid from pomegranate flowers were investigated. A circulating water unit was set up to prevent the influence of sonication temperature on the experiment and ensure a constant temperature.

2.5.2. Response surface design

A Box-Bohnken (B-B) design was used in optimizing the pomegranate flower extraction parameters [24–26]. Different factors with high and low levels are shown in Table S1. A four-factor three-level experimental design was performed. Liquid-to-material ratio (A), ethanol concentration (B), sonication time (C), and power (D) were selected as investigating factors. High level was represented by 1, whereas low level was represented by ~ 1. TPC and EAC were used as evaluation indexes.

2.5.3. Calculation of comprehensive evaluation value (CEV) by entropy weighting method (EWM)

The entropy weighting method (EWM) is an objective weighting method for determining target weights, and information entropy, as a measure of the confusion of a system, can be used in identifying the weights of each target in a multi-index evaluation system and the amount of information contained in data [27–29]. The content data of each index component from the B-B test were normalized (index component = [measured value – minimum value]/[maximum value – minimum value]), and the weight coefficients of each component were calculated with EWM after the influence of scale and magnitude among the indexes were eliminated, so as to obtain the comprehensive evaluation value (CEV).

2.6. Inhibitory activity of pomegranate flower extracts against Streptococcus mutans

The dried extracts were extracted and dried for antibacterial and antioxidant tests with the optimal process of B-B test.

2.6.1. Inhibition circle test

The antibacterial activities of pomegranate flower extracts at different concentrations were assayed by using the agar diffusion method [30,31]. Exactly 100 μL of bacterial solution at a concentration of 1 × 106CFU/mL was added to BHI agar plates. The extracts were dissolved in BHI and solubilized with an appropriate amount of Tween 80 to obtain 50–3.15 mg/mL with the twofold dilution method. Six wells were punched on each plate with a puncher, and 40 μL of pomegranate flower extracts were added. The plates were placed at an environment with temperature of 37 °C and 5% CO2.

The plates were observed after 24 h. Penicillin sodium was considered as the positive reference, and the negative reference was BHI. An inhibition circle with a diameter of <6 mm indicated absence of inhibition activity because the perforator aperture was 6 mm. The sensitivity of the inhibition circles were categorized according to their diameters as follows: greater than 20 mm, extremely sensitive; <10 mm, resistant; 15–20 mm, highly sensitive; and 10–15 mm, moderately sensitive.

2.6.2. Evaluation of bacterial biofilm formation

In the 96-well plate, 20 μL of each pomegranate flower extract was added, which was mixed with 80 μL of bacterial solution (1 × 106 CFU/mL). The culture solution was used as the negative control, and three wells in each group were parallel. The 96-well plates were placed in an apparatus with constant temperature (37 °C) and 5% CO2 concentration. The biofilm was analyzed with the crystalline violet staining method [32–34]. After 48 h, planktonic bacteria in the well plates were discarded. The well plates were washed with PBS or sterile water. Then, 100 μL of 2% methylene-cellulose was added, and the solution was allowed to set for 15 min. The plates were washed and dried, and 100 μL of 1% crystal violet solution was added to stain the biofilm. It was repeated to wash off and dry after 15 min. Finally, 2% sodium deoxycholate was
used to ablate the biofilm. After 30 min, OD600 were measured. Biofilm viability was calculated as follows: Biofilm(%) = exacts group/negative group ⨉ 100.

IBM SPSS statistics 21 was selected for data analysis of bacteria and bacterial biofilms, and Tukey’s test (p < 0.05) was chosen to analyze the variability of different extracts concentration groups.

2.7. Antioxidant capacity

The phosphomolybdenum method was used in determining the total antioxidant capacity (TAC) according to a previously described method with slight modification [35,36]. The working solution consisted of 0.6 mol/L sulfuric acid, 28 mmol/L sodium phosphate, and 4 mmol/L ammonium molybdate. Each extract (0.4 mL) was mixed with 4 mL of working solution in a test tube. A constant temperature water bath was used in the subsequent steps and set to 95 °C. The test tubes were allowed to set for 90 min before being removed. The reaction solutions were cooled, and 200 μL of each reaction solution was transferred to a 96-well plate. The data of the extracts were recorded at 965 nm, and absorbance was used to express TAC.

Ferric ion reducing antioxidant power (FRAP) was used according to the methods in the literature [37,38]. In this assay, exactly 180 μL of the FRAP working solution (prepared with reference [37]) was gently mixed with 5 μL of each extract and left for 5 min. The data of the extracts were recorded at 593 nm. A standard curve was plotted with the FeSO₄ solution instead of the sample solution for the test. The fitted equation was $y = 0.3607x + 0.4385$ ($r = 0.9999$), indicating that 0.15–1.5 mmol/L FeSO₄ solution showed a good linear correlation with absorbance. The FRAP value was expressed as the concentration of the standard substance FeSO₄ solution.

The DPPH radical scavenging assay was used according to the literature [39–41]. After the preparation of a 0.1 mmol/L DPPH solution, 100 μL of each sample was mixed with 100 μL of DPPH, and the solution was stored away from light for 30 min. The detection wavelength was set at 517 nm for the reaction solution.

The method was based on previous tests and modified for the ABTS free radical scavenging assay [39,42]. An ABTS stock solution was made by mixing 10 mmol/L ABTS solution and 10 mmol/L K₂S₂O₈ and stored away from light for 16 h. The working solution was made by diluting the stock solution to an absorbance of 0.70 ± 0.02. Then, 100 μL of each gradient sample solution and 100 μL of ABTS working solution were thoroughly mixed, and the mixture was set for 15 min at room temperature away from light. The detection wavelength was set at 734 nm for reaction solution.

The ‘O²⁻’ radical scavenging test was performed according to a previously described method [43,44]. The reaction was carried out by mixing 2.5 mL of 50 mmol/L Tris-HCl buffer with 1 mL of sample solution in a test tube and placing the resulting solution in a water bath at 25 °C for 20 min. Then, 3 mmol/L o-triphenol (dissolved in 10 mmol/L HCl) was added for 6 min. The reaction was discontinued by adding 0.1 mL of 8 mol/L HCl. A UV spectrophotometer was used in the measurement and set at 320 nm.

Ascorbic acid was used as the positive control in all the above tests, and DPPH, ABTS, ‘O²⁻’ radical scavenging rate (%$ = (1 – (A_2 – A_1))/A_0 × 100$. $A_2$ was the extract solution with the blank solvent, $A_1$ was the sample solution with the working solution, and $A_0$ was the blank solvent with the free radical working solution.

3. Results and discussion

3.1. Determination of indicator components

The standard curve of gallic acid is shown in Fig. S1 while that of ellagic acid is in Fig. S2. And the HPLC chromatograms are shown in Fig. 1.

3.2. Single-factor test

The effect of each factor on extraction rate was elucidated with single-factor tests. The results are shown in Figs. 2 and 3. The trend of pomegranate flower extract yields under different conditions was visualized.

As shown in Figs. 2a and 3a, the liquid-to-material ratio significantly influenced the extraction rate. The extraction rate showed an increasing trend as the liquid-to-material ratio gradually increased. The reasons were increases in the amount of powder to be wetted and in the contact area between the liquid and material as the amount of solvent increased. Increase in concentration difference in the inner and outer cells contributed to the dissolution of the active substance. However, the contribution of this factor to the extraction rate was nearly negligible when the liquid-to-material ratio of TPC exceeded 15 and EAC exceeded 25. In this case, most of the effective substances had been extracted after the liquid-to-material ratio reached a certain level. Therefore, further increase in the amount of the solvent would cause waste.

As shown in Figs. 2b and 3b, the extraction rate reached its highest value when the ethanol concentration was 40%. According to the principle of similar solubility, the higher the concentration of ethanol is, the less polar it is. The extraction rate of the effective substances decreased when the ethanol concentration was above or below 40%.

The extraction rate increased between 5 and 10 min. The maximum value was reached at 10 min, during which the maximum extraction speed was observed. When the time exceeded 10 min, TPC decreased slightly, whereas EAC tended to fluctuate within the error range, and their extraction rates became flat. These effects may be due to the strong mechanical shear effect of ultrasound. These effect caused the destruction of polyphenol structures, the dissolution of impurities, and decrease in active ingredients.

The effect of power is shown in Figs. 2d and 3d. The extraction rate reached its highest value when the power was 300 W. Ultrasonic action on a liquid can produce a large number of small bubbles. Among the reasons are the local tensile stress in the liquid and the formation of negative pressure. Decrease in pressure leads to the oversaturation of gas originally dissolved in the liquid. The gas eventually escapes from the liquid and forms a small bubble. Another reason, known as the cavitation effect, is the strong tensile stress of the liquid “torn” into a cavity. When ultrasonic power increases, ultrasonic energy increases, and the “ultrasonic cavitation” phenomenon occurs. When energy reaches a threshold, the sharp collapse of small bubbles in the liquid generates a high local temperature and pressure, which destroy plant cells and thereby promote the release of active substances. When power is lower than 300 W, extraction rate is low because power density is small at a
When the ultrasound power is low, the sound pressure is below the cavitation threshold, and the cavitation effect is not obvious. Therefore, an active substance cannot be completely dissolved. When the power exceeds 300 W, the extraction rate decreases slightly possibly because of an excessive cavitation effect at an extremely high power, which increases the temperature near the probe, and the dissolution of...
more impurities. As a result, extraction rate decreases.

### 3.3. Box-Behken design

The results of the single-factor test showed that all four factors had significant effects on TPC and EAC. A four-factor three-level B-B test was designed according to analysis results of the single-factor test. The factor level table is shown in Table S1. Weighting values of 0.4274 (TPC) and 0.5726 (EAC) were obtained through EWMI. The overall scores under different test numbers were calculated according to CEV = 0.4274 TPC + 0.5726 EAC. The test results are shown in Table 1.

Design-Expert 10. 0. 7 software was used in the response surface experimental design in Table 1. Analysis of variance (ANOVA) was applied in the model. The equation was as follows: CEV = 113.56 + 6.24 A + 6.33B + 1.02C + 2.93D + 3.89 AB – 1.84 AC + 3.60 AD + 2.27 BC + 1.50 BD + 0.55CD – 8.87 A² – 17.09 B² – 4.93 C² – 9.19 D² (R² = 0.9644) after the multiple quadratic regression. The ANOVA result is presented in Table 2. The difference between R² prep (0.8166) and R² Adj (0.9289) indicated that the two were in reasonable agreement, which was < 0.2 [16]. The F-value was 27.12, which indicated that the model was significant. The lack of fit term was not significant, indicating good fit and predictive power. A, B, D, AB, AD, A², BD, were significant model terms, suggesting the absence of a simple linear relationship between the factors and CEV. Three-dimensional surface diagrams of the change in CEV with factors are shown in Fig. 4, which illustrates the impression of each factor and any two factor interactions on CEV. After comparing the F-values of different factors and the curvature of the 3D response surface, we concluded that the most influential factor on the extraction rate was ethanol concentration, followed by the liquid-to-material ratio, power, and time.

The best conditions for the extraction process were A = 17.268, B = 42.581, C = 10.463, D = 327.235, and CEV = 116.25. The optimal extraction parameters were adjusted to A = 17, B = 43, C = 10, and D = 300 for practical purposes. On the basis of these conditions, three validation tests were conducted. The average CEV was 114.70 (RSD < 1%), with a deviation of 0.34% from the model prediction. This value indicated that the extraction process had good reproducibility and operability.

### 3.4. Antibacterial activities

According to the method in Section 2.6.1, the sizes of the inhibition circles of the extracts acting on S. mutans at different concentrations were obtained. The results are shown in Fig. 5 and Table 3. The inhibition circle increased with extract concentration, indicating that the concentration of the pomegranate flower extracts was correlated with inhibition ability. As indicated in Table 3, the positive drug was extremely sensitive, the 50 mg/mL extract was highly sensitive, the 25–25 mg/mL extracts were moderately sensitive, and the 3.125 mg/mL extract was resistant. Tukey’s test showed significant differences among the groups (p < 0.05), indicating that a correlation existed between concentration and the sensitivity of S. mutans to the extracts.

The S. mutans biofilm was tested according to the method in Section 2.6.2. The growth of the bacterial biofilm was significantly inhibited after the addition of 10, 5, 2.5, or 1.25 mg/mL solution. The results are shown in Fig. 6. The different concentrations of the extracts had inhibitory effects on the biofilm, and the overall showed some concentration correlation between extracts concentrations and biofilm viability by Tukey’s test. However, no significant difference was found between the inhibitory effects of the 2.5 and 5 mg/mL extracts on the biofilm. We speculated that this result may be due to the plateauing of biofilm inhibition at a medium concentration and that concentration should be increased to achieve a significant effect.

S. mutans is a major caries-causing bacterium that synthesizes insoluble extracellular polysaccharides by producing glucosyltransferases, which adhere to the tooth surface to form a bacterial biofilm that inhibits bacterial metabolic activity and protects the plaque flora against the harsh environment of the mouth. The ability of S. mutans to form biofilms is therefore more decisive in the caries-causing process than its ability to produce acid. The inhibitory effect on S. mutans and its biofilm increased with extract concentration. TPC and EAC were positively correlated with its extracts concentration, suggesting that the inhibitory effect of the extracts on bacteria was related to the polyphenolic component.

### 3.5. Antioxidant activities

The results of the phosphomolybdenum method are presented in Fig. 7a. At a concentration of 0.4 mg/mL or lower, the TAC of ascorbic acid was not significantly different from that of an extract. As concentration increased, a large difference in the antioxidant capacity was observed between the two. The absorbance of ascorbic acid at 1.0 mg/
ml was 2.33, whereas that of each extract was only 1.29, which was 0.55 times that of ascorbic acid.

As shown in Fig. 7b, the FRAP values of the extracts and ascorbic acid increased with concentration, showing a positive correlation. The FRAP value of the extracts at 50 μg/mL was 0.76, which was 0.91 times that of ascorbic acid (0.83), indicating that their FRAP values were relatively close at 50 μg/mL.

As shown in Fig. 7b, the FRAP values of the extracts and ascorbic acid increased with concentration, showing a positive correlation. The FRAP value of the extracts at 50 μg/mL was 0.76, which was 0.91 times that of ascorbic acid (0.83), indicating that their FRAP values were relatively close at 50 μg/mL.

As shown in Fig. 8a, the DPPH scavenging rate increased and then stabilized with increasing concentration, and the DPPH radical scavenging rate was quantitatively related in a range of 0–30 μg/mL. When the concentrations of the extracts reached 40 μg/mL, the DPPH scavenging rate reached an inflection point, and the scavenging rate reached 86.87%. The DPPH scavenging rate of ascorbic acid reached an inflection point of 95.31% at 0.3 mg/mL, and the increasing trend of the scavenging rate was not obvious when the extract concentration was increased. By contrast, the DPPH scavenging rate of the extracts only reached 92.26% at 0.8 mg/mL. This result indicated that the scavenging capacities of the extracts for •O₂ were lower than the scavenging capacity of ascorbic acid.

As shown in Fig. 8b, the scavenging rate of ABTS by extracts and ascorbic acid increased and then stabilized, and the scavenging rate of ABTS radicals showed a certain quantitative-effect relationship in a concentration range of 0–40 μg/mL. The scavenging rate stabilized after the concentration of the extract increased. Notably, the IC₅₀ of the extracts was slightly lower than that of ascorbic acid.

As shown in Fig. 8c, the •O₂⁻ radical scavenging rate of ascorbic acid reached 95.14% at a concentration of 0.3 mg/mL, and the increasing trend of the scavenging rate was not obvious when the extract concentration was increased. By contrast, the •O₂⁻ radical scavenging rate of the extracts only reached 92.26% at 0.8 mg/mL. This result indicated that the scavenging capacities of the extracts for •O₂⁻ were lower than the scavenging capacity of ascorbic acid.
4. Conclusion

The fruiting rate of pomegranate flowers is not high, and a large proportion of pomegranate flowers do not bear fruit. Un-petaled pomegranate flowers are not well utilized, and most of them are directly discarded. In this experiment, un-petaled pomegranate flowers were used, and the amounts of polyphenols and ellagic acid of their ethanol extracts were optimized with an ultrasonic process. Entropy weight method was used in determining the optimal process parameters. The ultrasonic extraction of un-petaled pomegranate flowers was superior to other traditional extraction methods, requiring a shorter time of consumption and offering a higher extraction rate. The presence of S. mutans and a large number of free radicals have adverse effects on the oral environment and increase the incidence of dental caries. The antibacterial test showed that the extracts had good inhibitory effects on S. mutans and its biofilm activity, and the antioxidant test showed that the extracts had good scavenging ability for various free radicals. This study provides some reference for the development and utilization of un-petaled pomegranate flowers.

| Table 3 | Diameter of inhibition circle of extracts. |
|---------|------------------------------------------|
| Concentration | Diameter (mm) |
| Sodium penicillin 20.23 ± 0.15a | 0.00 |
| 50 mg/mL 16.50 ± 0.10b | 0.00 |
| 25 mg/mL 14.43 ± 0.15c | 0.00 |
| 12.5 mg/mL 12.6 ± 0.30d | 0.00 |
| 6.25 mg/mL 10.73 ± 0.15e | 0.00 |
| 3.125 mg/mL 9.37 ± 0.20f | 0.00 |

Note: identical letters indicate no significant difference at p < 0.05.

Fig. 5. Inhibition circle of Streptococcus mutans ((A): 3.125 mg/mL extracts plus positive control; (B): 6.25 mg/mL extracts plus positive control; (C): 12.5 mg/mL extracts plus positive control; (D): 25 mg/mL extracts plus positive control; (E): 50 mg/mL extracts plus positive control; Y: different concentration exacts; P: positive control).

Fig. 6. Streptococcus mutans biofilm viability under different concentrations of extracts (Note: identical letters indicate no significant difference at p < 0.05.).

CRediT authorship contribution statement

Wenxia Wu: Conceptualization, Methodology, Data curation, Writing – original draft. Shan Jiang: Investigation, Formal analysis, Visualization. Mengmeng Liu: Investigation, Methodology. Shuge Tian: Conceptualization, Supervision, Writing – review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial
interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

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