Introduction

Malignant mesothelioma has long been known as cancer closely linked to occupational exposure to asbestos fibers. A significantly higher incidence of mesothelioma compared with the general population was found among workers handling asbestos, like miners (1), workers in shipyards (2), and even workers’ relatives or residents in areas near the asbestos factories (3). Asbestos is a general term used in the mineral commodity field to identify 5 amphibole and 1 serpentine silicate fibers that were extensively used until the end of the last century when asbestos was banned or strictly
regulated in the Western world and Japan. In contrast, asbestos is still in use in several developing countries, where the incidence of mesothelioma is expected to increase in the next years (4). However, an additional risk originates from the exposure to the approximately further 400 silicates that naturally occur worldwide, which may have even more powerful activity than asbestos in inducing mesothelioma, as it was described for erionite (5,6).

Human mesothelial cells lining the pleural, pericardial and peritoneal cavities are particularly susceptible to cytotoxicity induced by asbestos fibers and it was demonstrated that after exposure to the amphibole crocidolite fibers the major component of cell death is necrosis (7). Similar results were obtained by exposing cells to erionite (6) fibers or to serpentine chrysotile fibers, which have reduced biopersistence accompanied by lower pathogenicity (8). However, asbestos cytotoxicity consists of a regulated form of necrosis that results in the passive release of the damage-associated molecular protein (DAMP) high mobility group box 1 (HMGB1), recruiting macrophages that propagate chronic inflammation (7,9). Due to this pro-inflammatory environment, the mesothelial cells that have survived the asbestos exposure and accumulated genetic alterations in the genomes may give rise to mesothelioma over a period of many years (10).

**Mesothelioma is linked to gene x environment interaction (GxE)**

A large body of evidence has shown among the workers with a long history of exposure to asbestos, only ~5% developed mesothelioma (4). Moreover, the discovery that the susceptibility to mesothelioma was transmitted in Mendelian autosomal dominant inheritance upon exposure to erionite (11,12) indicated mesothelioma as a model of GxE. According to this model, individuals carrying germline alterations to one or more genes may be more susceptible to fiber-induced carcinogenesis and to the development of this type of cancer.

Array-comparative genomic hybridization (aCGH) and linkage analysis in samples from two unrelated families in the US (L from Louisiana and W from Wisconsin), with no reported exposure to asbestos but an unusually high incidence of mesothelioma, pointed at chromosome 3p21, where sequence analysis identified germline BAP1 mutations associated with autosomal dominant transmission of mesothelioma and uveal melanoma (13). By using a similar approach, a synchronous paper from a different group identified germline mutations of BAP1 as responsible for dominant inheritance of melanocytic tumors (14).

Further support to the role of BAP1 in predisposing to mesothelioma came from experiments performed with Bap1-/- heterozygous mice exposed to very low doses of asbestos fibers. These animals developed mesothelioma at a comparable rate of wild type Bap1 mice when they were exposed to asbestos at ten-time higher doses (15).

Additional evidence from different groups contributed to the association of germline BAP1 mutations with the increased risk of atypical Spitz tumors and of uveal melanoma, pleural mesothelioma (16-18), peritoneal mesothelioma (19-21), clear cell renal cell carcinoma (22,23), cutaneous melanoma, ocular melanoma (24), basal cell carcinoma (25,26), meningioma (27), and cholangiocarcinoma (28). This substantial body of evidence has led to developing the concept of the BAP1 cancer syndrome, a Mendelian disorder, with high-penetrance autosomal dominant inheritance (29). Interestingly, BAP1 mutations were identified in a large cohort of French-Canadian families at high-risk for breast cancer with no mutations in BRCA1 and BRCA2 genes (30), suggesting that some portion of breast cancers may also belong to the spectrum of tumors associated with the BAP1 cancer syndrome (31). Recent data from a large worldwide analysis of BAP1 germline variants and of the clinical phenotypes associated with the BAP1 cancer syndrome, confirmed that in addition to the four core syndrome tumors (uveal melanoma, malignant mesothelioma, cutaneous melanoma, and clear cell renal carcinoma), also non melanoma skin tumors (mostly basal cell carcinoma), meningioma, and cholangiocarcinoma were present in some of the families analyzed in the study (32).

The discovery of the BAP1 syndrome underscores the importance of genotyping cancer patients for nucleotide-level mutations and gross structural alterations of germline DNA, to determine the presence of germline mutations in yet un-linked additional genes for the identification of inherited predisposition to GxE-dependent cancers, like mesothelioma (20,33,34).

The most recent literature on the germline genomic analysis in mesothelioma was reviewed here. Moreover, novel germline alterations in a population of Japanese patients with mesothelioma were also reported.

**Inherited predisposition to cancer**

The effect of GxE interaction on cancer development is
driven not only by the accumulation of spontaneous somatic mutations due to the exposure to carcinogenic substances (as in the case of asbestos and other carcinogenic fibers), but also by the presence of inherited mutations occurring in genes that are critical for the genome homeostasis, like those regulating DNA repair and/or homologous recombination (HR). When these genes are mutated the susceptibility to carcinogens would increases, leading to a higher frequency of DNA damage and gene alterations. As a consequence, more cells would accumulate mutations in these individuals, who become predisposed to develop certain types of cancer, and the genes with these pathogenic, germline, inherited mutations are to be associated with cancer syndromes (4). The diversity of tissue specificity and prevalence of the related tumors shall be also determined by the function that is disrupted by the gene mutation, and by the specific context of the GxE interaction involved. Moreover, given the multifactorial nature of cancer, when genetic background and carcinogen exposure are evaluated, the difference between the causative role of exposure and its association with carcinogenesis must be carefully taken into account (35).

Powerful tools for nucleic acid sequence analysis, like next-generation sequencing (NGS), whole-genome sequencing (WGS), whole-exome sequencing (WES), and gross structural analysis methods as well, such as multiplex ligation-dependent probe amplification (MLPA) and array CGH, were used to conduct extensive genetic analyses of a large number of genes in germline DNA (as well as in somatic DNA from cancer samples). This approach allowed the identification of germline pathogenic variants in several genes and to associate these variants to a higher risk of several types of cancer. Examples of genes responsible for cancer syndromes are BAP1 (29), TP53 (36), and BLM (37), among others. Further analysis will expand the spectrum of inherited pathogenic variants of cancer predisposition genes, with the aim of promoting massive programs of genetic screening of the population.

Germline mutation analysis in mesothelioma: what it is known

The discovery of BAP1 as a predisposition gene to mesothelioma and other cancers (29) paved the way for germline analysis in patients with mesothelioma and individuals at high risk of mesothelioma (e.g., because of environmental exposure to carcinogenic fibers). The number of these studies, aimed at investigating the prevalence of germline variants in cancer susceptibility genes, have exponentially increased in the recent past years.

Two studies from the same group evaluated the prevalence of pathogenic germline variants in patients with pleural mesothelioma in association with cumulative asbestos exposure that was assessed according to the data from an Italian mesothelioma registry, using a standardized face-to-face questionnaire. The calculated estimate of long-life exposure was expressed as fibers/milliliter-years (f/mL-years, a measure of cumulative exposures in terms of the concentration of fibers in the air over time). Eighty-nine patients with mesothelioma were recruited and among these patients, 34 had a documented history of familial mesothelioma or of other tumors included in the BAP1 cancer syndrome. Ninety-four cancer-predisposing genes were analyzed as target genes (albeit 6 patients with familiarity for cancer were screened for only 6 genes). Truncating (nonsense and frameshift) germline variants were selected as pathogenic truncating variants (PTVs). Four patients with mesothelioma had BAP1 germline variants, including non-PTV at the canonical splicing site and 10 patients carried germline PTVs in other tumor suppressor genes: CDKN2A and DNA repair genes. A significant difference (P=0.00002) was found between the group of patients with familial mesothelioma and pathogenic germline variants in tumor suppressor genes who had lower asbestos exposure (n=14, mean =−1.299 f/mL-years, SD =2.17), compared with the group of patients with no germline variants in the 94 cancer-predisposing genes (n=67, mean =1.093 f/mL-years, SD =1.71). Moreover, the cumulative asbestos exposure was significantly lower in patients with familial mesothelioma, including patients carrying mutations and patients with no known germline variants (n=34, mean =0.000015, SD =2.02), when compared to patients who had neither familial mesothelioma, nor germline variants in the 94 cancer-predisposing genes (n=55, mean =1.092, SD =1.82) (P=0.01) (38,39).

A different study performed targeted NGS (tNGS) of 85 cancer susceptibility genes in germline DNA from 198 patients with pleural, peritoneal, and tunica vaginalis mesothelioma (20). The frequency of the variants within the population was established by using the publicly available data of the Exome Aggregation Consortium (ExAC) and the results were interpreted according to the American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP) guidelines (40). In 23 of 198 patients with mesothelioma...
(12%), germline mutations were present in 13 cancer susceptibility genes, HR DNA repair genes in particular. Family history of cancer and asbestos exposure were also assessed by a standardized questionnaire. The extent of self-reported asbestos exposure was classified in 4 levels: definite, probable, possible, and no known. The results of multivariate analysis showed that minimal-to-no asbestos exposure was the most significant predictor of the presence of a germline cancer susceptibility mutation. Moreover, having a younger age or a second cancer at diagnosis were further significant predictors of the occurrence of germline cancer susceptibility mutations (20).

To identify mesothelioma associated with germline mutations of BAP1 or other genes, germline mutations in BAP1 were screened by Sanger sequencing, and in 55 additional genes linked to cancer by tNGS in a population of 79 familial pleural or peritoneal mesothelioma cases, consisting of unrelated probands (n=52) and their first- and second-degree relatives (n=27) (33). The patients of this population were selected according to four predefined criteria suggesting heritability of BAP1 status: (I) first- or second-degree relatives with mesothelioma; (II) proband or at least one first- or second-degree relative diagnosed with malignancies that frequently occur in BAP1 carriers (uveal melanoma, cutaneous melanoma, clear-cell renal cell carcinoma); (III) history of multiple cancers (any cancer) in the majority of first- and second-degree relatives; (IV) early onset of mesothelioma (age <50 years). Familial mesothelioma patients who carried BAP1 carriers represented the largest subpopulation of the enrolled patients (43/79). tNGS analysis was performed in 45 patients with mesothelioma (34 wildtype BAP1 and 11 BAP1 mutant) for 56 cancer-linked genes (including BAP1). Only mutations with an allele frequency less than 0.005 in the ExAC database and with the stringent cut-off of a Combined Annotation Dependent Depletion (CADD; http://cadd.gs.washington.edu/) score greater than 20 were considered. The results of the tNGS analysis showed that 12 of 34 patients with mesothelioma having wildtype BAP1 (35%) carried one germline mutation in 11 genes and 5 of 11 BAP1 mutant patients with mesothelioma (45%) carried one additional germline mutation in 5 different genes. The deleterious germline variants identified in the patients selected by our four familial/early-onset criteria involved cancer susceptibility genes, as ARID1A, ARID2, BAP1, CREBBP, KDR, MLH1, NCOA1, RAD50, RBM6, SETD2, SMARCA2, SMARCA4, SMARCE1, SMO, TP53. The available data on survival of the patients of this study (n=77) were compared with those of the mesothelioma dataset from the Surveillance, Epidemiology, and End Results (SEER) Program (https://seer.cancer.gov). Interestingly, the cohort of patients selected by using the four criteria above had a remarkably significant improvement of survival and earlier age at diagnosis, compared with the SEER cohort. The median survival and age at diagnosis were 5 and 54 years, respectively, among the patients with BAP1 mutant, and 9 and 45 years among ones with wildtype BAP1, whereas 8 months median survival and 72 years at diagnosis were found in the SEER cohort (33). These results suggest that the chosen selection criteria may help to identify the patients and their relatives who are susceptible to develop additional cancer and should be offered genetic counseling and a cancer prevention approach.

A total of 385 patients with pleural, peritoneal, pericardial, or tunica vaginalis mesothelioma were enrolled from the two large centers of the National Cancer Institute (NCI) and the University of Chicago (UC), to evaluate the link between germline mutations in tumor suppressor or DNA repair genes and the response to platinum-based chemotherapy in terms of survival. The multi-gene panel BROCA v10, including 73 target genes linked to DNA repair and/or to inherited predisposition to develop solid tumors was used. Frameshifts, nonsense, complete gene deletions, splice mutations, or missense mutations were deemed damaging mutations, corresponding to experimentally established losses of transcripts or function. In the NCI cohort, 28 of the 239 unrelated patients (12%) carried a damaging mutation in one of the targeted genes: 16 mutations involved BAP1 and 12 were disseminated among other genes, namely CHEK2, PALB2, BRCA2, MLH1, POT1, TP53, and MRE11A. The association of patient genotype with overall survival (OS) was evaluated for all 385 patients from the combined cohorts who were treated with platin chemotherapy. In patients carrying a mutation in BAP1, or with a mutation in any of the targeted genes, OS was significantly improved, as compared with patients with no germline mutations (median OS 8.0 vs. 2.9 years, P=0.004 in BAP1 mutated patients; median OS 8.0 vs. 2.9 years, P=0.0006 in patients carrying target gene mutations). Interestingly, this difference related to the genotype was highly significant in patients with pleural mesothelioma, but not in patients with peritoneal mesothelioma (41).

A tNGS approach spanning whole exon regions of each gene was used to investigate germline variants in 636 patients with different advanced solid cancers, including
A summary of these studies is shown in Table 1 and all of them indicate that at least 10–12% of mesotheliomas are dependent upon the genetic background of the patients, with germline mutations in BRCA1 or in HR genes, conferring to the patients better prognosis and chemosensitivity than in germline wildtype patients. However, more research will be needed to identify other genes, beyond the HR-related ones, which may be involved in the predisposition to developing mesothelioma. Furthermore, the criteria to evaluate the clinical significance of the germline variants identified should be improved, as many variants are still under pending judgment as variants of uncertain significance (VUS).

**Chromothripsis in mesothelioma and germline alterations**

The catastrophic genetic event known as chromothripsis consists of the fragmentation of a segregated single chromosome that is then rearranged leading to incorrect reassembly or loss of certain DNA sequence. Therefore, a single chromothripsis event may cause a high number of alterations in the genome after a short number of cell replications, leading to oncogenic activations or to loss of tumor suppressor functions, eventually favoring tumorigenesis (43).

Interestingly, non-contiguous biallelic genome alterations with the characteristic pattern of chromothripsis have been observed in mesothelioma (44), later confirmed by other groups (45), also with the potential consequence of neoantigen expression and tumor immunogenicity (46).

These studies were conducted in tumor samples and focusing on somatic mutations. However, a possible link between germline DNA alterations and chromothripsis in mesothelioma can be postulated. First, the accumulation
of DNA damage is caused by germline mutations in genes involved in DNA repair and HR, in the presence of the exposure to asbestos and other carcinogenic fibers, may be a driving force towards chromothripsis, as recently postulated by studying the germline risk alleles in multiple myeloma (47).

On the other hand, by using genome-wide long matepair sequencing in a family trio, intra-chromosomal rearrangements with the same pattern of chromothripsis were found as responsible for de novo structural alterations in germline DNA, suggesting that chromothripsis or a similar mechanism may be involved in chromosome shattering and non-homologous end joining (NHEJ), leading to genetic alterations involved in congenital defects (48) and possibly risk of developing cancer.

Therefore, genome-wide studies for the analysis of inherited genetic risk alterations in mesothelioma, where chromothripsis seems to play a relevant role, should include genes not only involved in DNA repair and HR pathways but also those involved in the mechanisms committed to maintaining the chromosomal integrity.

### Germline mutations in a Japanese cohort of patients with mesothelioma

The association between occupational and even non-occupational exposure to asbestos with mesothelioma has been established. Although the accurate determination of asbestos exposure is a difficult and complicated process, it is apparent from various epidemiological studies that only a relatively small fraction of subjects who had a history of asbestos exposure develop mesothelioma (4). Accumulating evidence in the field support the hypothesis that asbestos exposure triggers mesothelioma on the genetic background of moderate-risk at some tumor-related genes, which alone could not cause tumor, but raise the risk of developing mesothelioma.

To assess this hypothesis in different ethnicity, the germline genomic DNA of 101 Japanese patients was analyzed, including individuals with occupational and residential asbestos exposure, who were otherwise enrolled regardless of asbestos exposure, age at diagnosis, or personal or family history of cancer. Clinical data for this population is summarized in Table 2. NGS was performed on 22 genes: **BAP1**, **CDKN2A**, **CDKN2B**, **NF2**, **TP53**, **MLH1**, **CUL1**, **SETD2**, **SETBP1**, and mammalian SWI/SNF (mSWI/SNF) subunit genes, including **PBRM1**, **ARID1A**, **ARID1B**, **ARID2**, **SMARCA2**, **SMARCA4**, **SMARCB1**, **SMARCC1**, **SMARCC2**, **SMARCD1**, **SMARCD2**, **SMARCD3**, and **SMARCE1**.

The Human Genetic Variation Database (HGVD) provides a source of Japanese genetic variation based on the exome sequencing of 1,208 individuals and on genotyping data of common variations obtained from a cohort of 3,248 individuals (HGVD, http://www.hgvd.genome.med.kyoto-u.ac.jp). Also the Genome Aggregation Database (gnomAD, containing 125,748 exome sequences and 15,708 whole-genome sequences from unrelated individuals, previously known as the ExAC) was used to select germline variants that are absent or extremely rare and having a damaging protein score (>20 of CADD score) (Table 3). The results of our overall analysis revealed that 31 out of 101 subjects were carrying rare variants in 14 genes, total 25

| Patient characteristics | No. of patients (%) |
|-------------------------|---------------------|
| Gender                  |                     |
| Male                    | 76 (75.2)           |
| Female                  | 25 (24.8)           |
| Age at diagnosis of mesothelioma, years |                     |
| ≤39                     | 0 (0)               |
| 40–49                   | 5 (5.0)             |
| 50–59                   | 21 (20.8)           |
| 60–69                   | 45 (44.6)           |
| 70–79                   | 24 (23.8)           |
| ≥80                     | 6 (5.9)             |
| Mesothelioma site       |                     |
| Pleural                 | 93 (92.1)           |
| Peritoneal              | 8 (7.9)             |
| Histology               |                     |
| Epithelioid             | 79 (78.2)           |
| Biphasic                | 7 (6.9)             |
| Sarcomatoid             | 10 (9.9)            |
| Unknown                 | 5 (5.0)             |
| Asbestos exposure†      |                     |
| Yes                     | 33 (32.7)           |
| No                      | 68 (67.3)           |

†, yes = patients reported asbestos exposure; no = patients ruled out or stated that they were not aware of asbestos exposure.
variants, and 3 subjects had 2 variants in each different gene combination. And 14/25 of them were reported neither in the HGVD nor in the gnomAD.

In the BAP1 gene, two loss-of-function mutations were found in two patients, and one possible pathogenic missense mutation was detected in an additional patient. This latter missense agC/agG substitution causes S172R amino acid change that has been classified as a pathogenic variant in the COSMIC (Catalogue Of Somatic Mutations In Cancer) database (https://cancer.sanger.ac.uk/cosmic), by using the Functional Analysis through Hidden Markov Models (FATHMM) prediction tool.

Other splice-donor site mutations were detected in CDKN2A and MLH1. Surprisingly, 12 rare missense variants were found in the mSWI/SNF subunit genes in patients with mesothelioma. The mSWI/SNF chromatin remodeling complex, required for the transcription of genes repressed by chromatin, is made of 12- to 15-subunit macromolecular assemblies, which are the products of 29 different genes. These genes are involved in the differentiation of many

Table 3 Summary of the germline alterations found in the 101 patients with mesothelioma under study

| Gene   | Variant | Chr | Pos (hg19) | gnomAD frequency | HVGD frequency | No. patients w. variants |
|--------|---------|-----|------------|------------------|----------------|-------------------------|
| BAP1   | G/A > Q436Ter | 3   | 52437855   | No hit           | No hit          | 1                       |
| BAP1   | T/TA > p.Ile214fs | 3   | 52440864   | No hit           | No hit          | 1                       |
| BAP1   | G/C > S172R | 3   | 52441254   | No hit           | No hit          | 1                       |
| CDKN2A | C/T > none† | 9   | 21974676   | No hit           | No hit          | 1                       |
| NF2    | G/C > E204Q/E121Q | 22  | 30054188   | No hit           | No hit          | 1                       |
| MLH1   | G/C > V16L | 3   | 37035084   | 1/251,438        | No Hit          | 1                       |
| MLH1   | T/G > none† | 3   | 37038202   | No hit           | No hit          | 1                       |
| MLH1   | C/G > L582V/L341V | 3   | 37089022   | No hit           | No hit          | 1                       |
| SETD2  | T/C > T2421A | 3   | 47079245   | 39/282,824       | 0.0091         | 3+1††                   |
| SETD2  | G/A > S284F | 3   | 47165275   | No hit           | No hit          | 1                       |
| SETD2  | T/C > K31E | 3   | 47166035   | No hit           | No hit          | 1                       |
| SETBP1 | C/T > R463C | 18  | 42530692   | 22/282,524       | No Hit          | 1                       |
| SETBP1 | C/T > R1321C | 18  | 42533266   | 8/280,368        | No hit          | 1                       |
| ARID1B | A/T > M263L | 6   | 157099850  | 1/119,342        | No hit          | 1                       |
| ARID1B | C/T > P724S | 6   | 157405967  | 33/282,730       | 0.0008         | 1††                     |
| ARID2  | C/T > P1073S | 12  | 46245123   | No hit           | No hit          | 1                       |
| ARID2  | T/G > C1271G | 12  | 46245717   | No hit           | No hit          | 1                       |
| ARID2  | A/C > K1627N | 12  | 46254691   | 6/242,508        | No hit          | 2                       |
| PBRM1  | A/G > I185T | 3   | 52692306   | No hit           | No hit          | 1                       |
| SMARCA4| A/G > M1286V | 19  | 11145593   | No hit           | No hit          | 1                       |
| SMARCC1| G/T > P1075H | 3   | 47629793   | 11/248,712       | 0.0086         | 6                       |
| SMARCC1| G/A > A69V | 3   | 47814416   | 12/249,256       | No hit          | 1                       |
| SMARCD1| C/T > P275S | 12  | 50483718   | No hit           | No hit          | 1                       |
| SMARCD2| C/G > R357P | 17  | 61911540   | 3/237,020        | No hit          | 1                       |
| SMARCD3| C/T > R236H | 7   | 150938994  | 7/246,578        | No hit          | 1                       |

†, splice-donor site variant; ††, homozygous variant.
lineages and in modulating transcriptional activity (49,50). Frequent somatic mutations of these genes were found across a wide range of human cancers (49,50), including mesothelioma (34). The occurrence of frequent germline missense variants in the mSWI/SNF subunit genes family in our Japanese patients cohort indicates a possible role of these genes in the predisposition for mesothelioma.

Germline variants under the above criteria of frequency and CADD score >20 were also found in the lysine-specific histone methyltransferase genes, SETD2 and SETDB1. Inactivating somatic mutations of these epigenetic modifier genes have been previously found as prevalent in several cancers, including clear cell renal cell carcinoma and mesothelioma (51,52). Moreover, several germline homozygous variants in the mSWI/SNF subunit gene and SETD2 have been detected in mesothelioma (34). The germline variants identified in the Japanese patients with mesothelioma might not represent a major factor in pathogenesis but could be a modifying factor in the predisposition for mesothelioma.

Conclusions

The discovery of BAP1 as a predisposition gene for the development of familial mesothelioma and other cancers (29) highly increased the number of genomic studies conducted on germline samples from patients with mesothelioma and individuals at risk. The most recent results generated novel important knowledge on the prevalence of mesotheliomas attributable to inherited mutations of susceptibility genes. In all studies BAP1 germline mutations were most prevalent, but also other tumor-linked genes were identified as frequently affected with germline alterations associated to the predisposition to mesothelioma, like those involved in DNA repair and HR (20,38,53). A tNGS study identified a subset of patients with familial and early-onset mesothelioma characterized by improved survival, carrying germline mutations both in BAP1 and in HR DNA repair or tumor suppressor genes, further expanding the spectrum of the possible predisposition genes (33). Similar results were obtained in different studies (41), with the interesting finding of frequent pathogenic variants within the gene family associated with Fanconi’s anemia cascade (42). The novel results presented here, obtained in a cohort of Japanese patients with mesothelioma, also show deleterious variants occurring in genes involved in the DNA repair and, for the first time, the occurrence of rare missense variants in the genes of the subunits assembling the mSWI/SNF chromatin remodeling complex.

Further studies will be required to portray a more precise picture of the genetic background required for the development of mesothelioma in subjects with a very high frequency of either pathogenic variants or moderate-risk germline variants, and to identify the full body of the genes predisposing to mesothelioma.

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Footnote

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