Brain Functional Magnetic Resonance Imaging Cue-reactivity Can Predict Baclofen Response in Alcohol Use Disorders

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Objective: Baclofen is a promising treatment for alcohol use disorders (AUD), although its clinical response in humans is mixed. The present study aimed at investigating the impact of baclofen treatment on cue-induced brain activation pattern and its relationship with relapse outcomes.

Methods: Twenty-three inpatients with AUD underwent a functional magnetic resonance imaging cue-reactivity task before beginning medication with baclofen and 2 weeks later. Twelve additional inpatients with AUD, who did not receive any anticraving medications, formed the control group. All subjects were prospectively followed up for 90 days post-discharge or until lapse to first alcohol use.

Results: Whole-brain linear mixed effects analysis revealed a significant group-by-time interaction with greater activation of the bilateral dorsolateral pre-frontal cortex and right anterior cingulate cortex (ACC) following baclofen treatment in comparison with the control group. Further, cox regression analysis revealed that increased activation of ACC and deactivation of insular cortex (IC) was associated with longer time to first alcohol use only in the baclofen treatment group but not in the control group.

Conclusion: This study provides preliminary evidence for the neural predictors of baclofen treatment response in AUD. Baclofen treatment in AUD was associated with changes in cue-reactivity at critical brain regions within the incentive-salience network. Importantly, baclofen treatment-related specific activation of regions involved in cognitive control (ACC) and deactivation of regions involved in reward anticipation (IC) prolonged the time to first alcohol drink.

KEY WORDS: Baclofen; Functional magnetic resonance imaging; Cue-reactivity; Relapse prediction.

INTRODUCTION

Alcohol use disorders (AUD) are chronic relapsing medical conditions¹ that rank high among the preventable causes of morbidity and mortality.² Although non-pharmacological interventions have dominated long-term treatment strategies for relapse prevention in AUD, there is growing evidence for the effectiveness of pharmacological “anti-craving” agents in this area. A judicious combination of both these approaches provides optimal treatment, without which at least 40% to 70% patients relapse within one year.³ Although several drugs have been tested for treatment of AUDs, till date only three pharmacological agents have been approved by the US Food and Drug Administration (FDA).⁴ Of these, disulfiram, which produces unpleasant hypersensitivity to alcohol by blocking its oxidation at acetaldehyde stage, comes under the category of deterrent drugs. The remaining two, naltrexone (a μ-opioid antagonist) and acamprosate (a putative glutamate modulator), come under the purview of “anti-craving drugs”. Nalmefene, an opioid with μ-antagonism and partial κ-agonism, was recently approved for use by the European Medicines Agency to reduce alcohol consumption.⁵

Baclofen, a presynaptic gamma amino butyric acid-B (GABA-B) receptor agonist,⁶ was investigated as a potential anti-craving agent after it was observed to have bidir-
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Sections effects on the mesolimbic dopamine system. However, the existing data on its clinical usefulness in humans is equivocal, with three positive and three negative randomized controlled trials. Several factors have been examined to explain the inconsistency noted in the response to baclofen. These include baclofen dosing, AUD typology and severity, subjective responses to alcohol, presence of alcohol withdrawal and comorbid anxiety symptoms. However, changes in neural cue reactivity (CR) as a potential predictor of treatment response to baclofen have not been explored.

Human laboratory models of addiction have examined brain CR elicited by a wide array of cues, including visual, olfactory and gustatory stimuli. Additionally patterns of brain CR that relate to alcohol craving, relapse, duration and severity of AUD have also been explored. However, changes in neural CR during the course of treatment with pharmacotherapeutic agents and its relationship with relapse outcomes have not been adequately explored. The present study aimed to explore the effects of baclofen treatment on alcohol-related visual CR and its relationship with relapse outcomes in subjects with AUD using a controlled before- and after functional magnetic resonance imaging (fMRI) study design. Based on the literature, areas of the brain associated with decision-making (bilateral dorsolateral prefrontal [DLPFC], anterior cingulate [ACC], and orbitofrontal cortices [OFC]) and reward processing (insula and ventral striatum [VS]) were chosen as primary regions of interest (ROI). We hypothesised that a differential response to baclofen in these areas will be predictive of the time to relapse.

METHODS

The study was conducted at the National Institute of Mental Health and Neurosciences (NIMHANS), Bangalore, India. The institutional ethics review board approved the study protocol (NIMH/DO/ESC-26/2015/BS/2.03) and all participants signed a written informed consent form.

Participants and Procedures

Thirty-five male subjects seeking in-patient treatment for alcohol dependence, aged between 23 and 50 years, participated in this naturalistic, observational study. They had a current diagnosis of alcohol dependence according to 10th revision of the International Statistical Classification of Diseases and Related Health Problems (ICD-10). All subjects were screened for (1) the presence of significant medical, neurological, or psychiatric conditions (axis I diagnoses other than alcohol or nicotine dependence); (2) contraindications to MRI; (3) alcohol withdrawal complicated by seizures or delirium; (4) ongoing treatment with psychotropic drugs that could affect study outcome (i.e., stimulants, sedatives, anticonvulsants, antidepressants, antipsychotics, or other AUD relapse prevention drugs such as naltrexone, acamprosate, or disulfiram); and (5) left or mixed handedness as per Edinburgh handedness inventory.

All subjects received thiamine supplementation and individualized detoxification with either diazepam or lorazepam, with dosage and duration titrated for severity of withdrawal symptoms and other clinical factors. They also underwent thrice weekly relapse prevention group sessions conducted by trained psychiatric social workers. After completion of detoxification, 23 inpatients that were prescribed baclofen as an anti-craving medication and 12 inpatients that were not prescribed any anti-craving medication were invited to participate in the study. All the consenting subjects first underwent a benzodiazepine-washout period of five days. They were considered withdrawal-free if they had scores <3 on Clinical Institute Withdrawal Assessment for Alcohol scale (CIWA-Ar) and a negative urine screen for benzodiazepines. For sample-description purposes, self-report questionnaires were filled out to assess demographic and clinical information. During the course of their inpatient stay, all subjects participated in 2 sessions of fMRI, the baseline study (Time-0 [T0]) and the 2nd-week study (Time-1 [T1]) fMRI scans. Patients in the medication group were initiated on baclofen after the baseline study and the dose was titrated up to 60 mg/day. Subjective alcohol craving was assessed using the Penn Alcohol Craving Scale (PACS) on the days of both T0 and T1 study. After completion of the T1 study, all subjects were discharged. Patients in the medication group were advised to continue baclofen at the same dose while those in the control group were started on anti-craving medications if they reported craving. All patients were prospectively followed-up with in-person interviews with the patient and their family members conducted at 7, 14, 30, 60, and 90 days post-discharge. Time to relapse was defined as the number of days since discharge to the first day of any alcohol use.
fMRI Paradigm

The visual image-induced craving for ethanol (VICE)\textsuperscript{36} is a culturally validated tool for craving induction in controlled settings. This paradigm was presented in a block design, consisting of four 90-second epochs. Each epoch started with a 30-second resting control block (fixation cross-hair), followed by two active blocks consisting of 30 seconds of alcohol-related cues (ARC; 5 images for 6 seconds each) and 30 seconds of non-alcohol-related neutral cues (NC; 5 images for 6 seconds each). The content and order in which these blocks were presented was fully matched and counterbalanced (Fig. 1).\textsuperscript{37} The ARC blocks consisted of image scenes related to alcohol consumption (e.g., liquor storefronts, alcohol bottles, pouring, and sipping alcohol). The NC blocks matched the content of ARC images with non-alcoholic beverages like milk, coffee, tea, or water. During the active blocks, participants were instructed to indicate by means of button presses whether they experienced craving for alcohol or not for each visual cue and during the resting control block, they had to stay still without engaging in any mental activity. All subjects participated in two runs of this 6-minute VICE paradigm in each T0 and T1 studies.

Image Acquisition

Blood-oxygen-level dependent fMRI scans covering the whole brain was acquired with a 3 Tesla SIEMENS Magnetom Skyra scanner (Erlangen, Germany) using a 32 channel head coil. Functional data consisted of 360 whole-brain gradient-echo echo-planar images obtained from two runs of the VICE paradigm. The scan parameters were as follows: repetition time (TR)=2,000 ms; echo time (TE)=30 ms; flip angle=78°; slice order=descending; number of slices=37; gap=25%; matrix=64x64, field of view...
(FOV)=192 mm$^2$, voxel size=3.0x3.0x3.75 mm$^3$. After obtaining the fMRI images, a three-dimensional, high-resolution T1-weighted-MPRAGE (magnetization-prepared rapid acquisition with gradient echo) imaging was performed with TR=1,900 ms, TE=2.43, TI=900 ms, FOV=240x240 mm$^2$ yielding 192 sagittal slices and a voxel size of 1x1x1 mm$^3$ for anatomical coregistration and segmentation.

Image Analysis

The functional and structural MRI pre-processing was performed using statistical parametric mapping (SPM12; Wellcome Department of Cognitive Neurology, London, UK; http://www.fil.ion.ucl.ac.uk/spm/). The first three functional images of each time series (each run of VICE) were discarded to allow for signal equilibration and to provide time for habituation of the individual in the scanner. Each of the remaining images was first registered to a mean image and then realigned to correct for head movements within the scan. After realignment, the functional images were slice-time corrected to the middle slice of each set and co-registered to the corresponding MPRAGE image of the individual. After co-registration, MPRAGE images were segmented (into gray matter, white matter, and cerebrospinal fluid) and deformation fields were calculated. The deformation fields were used for bias correction of magnetization inhomogeneity, and to transform the functional images of each individual to Montreal Neurological Institute (MNI) standard space. Finally, the data was smoothed using a Gaussian kernel at 8 mm full width half maximum.

In order to examine head motion during the scanner, a frame-wise displacement (FD) scalar was computed for each patient. Two subject sessions with mean FD > 0.5 mm were excluded (one T0 and one T1 session of two different patients in Baclofen group). In addition, motion correction was carried out using Friston 24-parameter model regression (3 translations, 3 rotations, 3 translations and 3 rotations shifted 1 volume before, and the 12 corresponding quadratic terms) to control for both linear and non-linear influences. The first level design specification and estimation of brain CR, defined by the contrast ARC minus NC, was then carried out for each subject. Second level linear mixed effects (LME) group analysis was implemented in Analysis of Functional Neuroimages (AFNI) using 3dLME program (http://afni.nimh.nih.gov). Group was modelled as a between-subject factor with 2 levels (baclofen and control), time (coded as T0=0 and T1=number of days since T0) was centered across group and modelled as within-subject continuous variable and subjects as random factors. The resulting whole-brain map was corrected for multiple comparisons using AFNI’s 3dClustSim program (version 17.0.09) with 10,000 iterations, a whole-brain mask, and mixed model smoothness estimated using 3DFWHM on with the spatial autocorrelation function option. Based on the Monte Carlo simulations, cluster-level $p<0.05$ family-wise error was given by a cluster forming voxel-wise threshold of $p=0.001$ and $k>53$ voxels. In order to examine the relationship between baclofen’s effects on brain CR and subsequent time to the first relapse, a Cox proportional hazard (PH) regression was carried out on five independent a priori defined ROIs. Cox regression was implemented using MATLAB function ‘coxphfit’. This analysis provided a way to test whether variables relate to the likelihood of an event (e.g., relapse to alcohol use) when not all participants have experienced the event. If a patient did not relapse for 90 days post-discharge, the observation was censored. The anatomical ROI masks for ACC, DLPFC, OFC, IC and VS were downloaded from the Nielsen and Hansen database. These probabilistic ROIs were binarized with a threshold of $\geq 0.7$. The MarsBar toolbox was used to extract beta estimates ($\beta$) of the ROIs from T0 and T1 scans and a unweighted mean difference of beta estimates were computed ($\Delta\beta=\beta[T1]-\beta[T0]$). All $\Delta\beta$ scores were z-transformed before analysis so that the exponent of model coefficients would equal the hazard ratio that a change in one standard deviation would result in relapse/survival. Preliminary cox PH regression analyses indicated that none of the clinical variables (e.g., years of AUD, baseline level of alcohol use before inpatient treatment, baseline alcohol craving and days between the two fMRI sessions) were predictive of relapse except for the treatment status (Supplementary Table 1). Thus, cox PH regression models of blood-oxygen-level dependent (BOLD) signal change predicting relapse were implemented for the two groups separately. Further, to examine the predictive value of significant ROIs, receiver operating characteristic curves were calculated. Cox regression was implemented using MATLAB function ‘coxphfit’.
Table 1. Demographics and clinical characteristics of participants

| Characteristic                                      | Baclofen (n=23) | Control (n=12) | p value |
|-----------------------------------------------------|-----------------|----------------|---------|
| Age (yr)                                            | 35.2±7          | 38.2±7.7       | 0.27    |
| Education (yr)                                      | 11.8±1.4        | 10.8±3.2       | 0.30    |
| AUD (yr)                                            | 10.9±7          | 11.1±7.4       | 0.93    |
| Average daily consumption (in alcohol units*) in prior 3 months | 18.6±5.6 | 19.5±10.2 | 0.69† |
| Cigarette smokers                                   | 10 (83.3)       | 17 (73.9)      | 0.53‡   |
| Baclofen dose (mg/day)                              | 57.6±8.9        | -              | -       |
| PACS score                                          |                 |                |         |
| T0                                                  | 16.6±6.2        | 19.3±4.5       | 0.21    |
| T1                                                  | 5.4±2.2         | 7.6±3.4        | 0.02§   |
| Interval between fMRI scans (day)                   | 14.7±2.6        | 9.2±3.8        | <0.01   |
| Period to first alcohol relapse (day)               | 60.3±6.2        | 25.3±7.1†      | 0.00¶   |

Values are presented as mean±standard deviation or number (%) except the period to first alcohol relapse which is mean±standard error. AUD, alcohol use disorder; PACS, Penn Alcohol Craving Scale; T0, Time 0/Pre-treatment; T1, Time 1/Post-treatment; fMRI, functional magnetic resonance imaging.

*1 Alcohol unit=1 standard drink=10 g of pure alcohol.
†Mann-Whitney U test, ‡chi-square test, §analysis of covariance accounting for baseline PACS score and number of days between the fMRI scans; ¶Log-rank test.

‡Three (25%) subjects in the control group who were prescribed an anti-craving medication in the immediate post-discharge period were censored.

Table 2. Brain regions that showed significant group-by-time interaction with significantly greater activation in baclofen group relative to control group for the contrast alcohol-neutral cues

| Brain region | Lat | BA | X | Y | Z | z score | Volume (mm³) |
|--------------|-----|----|---|---|----|---------|--------------|
| DLPFC        | R   | 8,9| 10| 36| 60 | 5.23    | 4,280        |
| L            | 9   | -16| 46| 42 | 4.28|        | 2,264        |
| ACC          | R   | 32 | 10| 36| 14 | 5.76    | 1,528        |

LAT, laterality; R, right; L, left; BA, brodmann area; X, Y, Z, MNI co-ordinates; DLPFC, dorsolateral prefrontal cortex; ACC, anterior cingulate cortex. All regions are significant at cluster p family-wise error <0.05, whole-brain corrected.

RESULTS

Sample Characteristics

As evident in Table 1, there were no significant baseline differences in demographics, alcohol use or craving parameters between the subjects in the baclofen and the control groups. Because the duration between the scans was significantly lesser for the control group, it was controlled for in all subsequent between-group analyses. The PACS alcohol craving scores at T1 reached trend level significance, after accounting for baseline craving and duration between the scans. The mean number of days to first alcohol drink was significantly longer (log-rank test χ²=10.54, p=0.001) in the baclofen group (60 days) compared to control group (25 days). The follow-up time-to-event data of the control subjects (n=3, 25%) who subsequently received an anti-craving medication (due to persistent craving) before their first alcohol relapse, were censored.

fMRI Results

Whole brain LME analysis indicated a significant group-by-time interaction in bilateral DLPFC and right ACC for the ARC vs. NC contrast. Specifically, whereas the subjects in the baclofen group showed significantly greater activation of bilateral DLPFC and right ACC after baclofen treatment relative to baseline, the subjects in the control group showed no such activation (Table 2 and Fig. 2). Additionally, there was reduction of right insular cortex activity after baclofen treatment relative to baseline (uncorrected p<0.005), whereas the subjects in the control group showed no such reduction (Supplementary Fig. 1).

Cox PH regression models of BOLD signal change that predicted time to first alcohol use was carried out for the two groups separately, using the five apriori chosen independent ROIs (ACC, DLPFC, OFC, IC, and VS). We found that baclofen-treatment related effects at ACC and IC significantly predicted time to relapse. Specifically, af-
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**Fig. 2.** Brain regions depicting significant group-by-time interactions for alcohol vs. neutral cues thresholded at cluster \( p < 0.05 \) family-wise error, whole-brain corrected. The results indicate significant activation of bilateral dorsolateral prefrontal cortex (DLPFC) and right anterior cingulate cortex (ACC) following baclofen treatment compared to the control group. Color-bar is indicative of \( z \)-values. For illustration of the group-by-time effects, mean (95% confidence interval) beta weights from baclofen (circle, solid line) and control (triangle, dashed lines) groups were extracted using a 10 mm-radius sphere centered around the peak voxel at (B) ACC and (C) DLPFC and the change was plotted using an interaction plot. L, left; R, right.

| ROI    | \( z \) score | \( p \) value | HR (95% CI)        |
|--------|---------------|---------------|--------------------|
| ACC    | -2.14         | 0.03*         | 0.51 (0.23-0.94)   |
| DLPFC  | -0.88         | 0.37          | 0.78 (0.44-1.36)   |
| OFC    | 0.68          | 0.49          | 1.19 (0.73-1.94)   |
| IC     | 1.99          | 0.04*         | 1.92 (1.01-3.65)   |
| VS     | 0.86          | 0.38          | 1.26 (0.75-2.12)   |

BOLD, blood-oxygen-level dependent; ROI, region of interest; HR, hazard ratio; CI, confidence interval; ACC, anterior cingulate cortex; DLPFC, dorsolateral prefrontal cortex; OFC, orbitofrontal cortex; IC, insular cortex; VS, ventral striatum.

BOLD signal change at a ROI represents unweighted mean difference of beta estimates computed (\( \beta = \beta [T1] - \beta [T0] \)) for ‘alcohol minus neutral’ cues contrast.

*Significant results.

Results indicate that greater activation of ACC and deactivation of insula following baclofen treatment, predicted longer time to first alcohol relapse.

**DISCUSSION**

This study investigated the brain CR changes associated with baclofen treatment in AUD individuals and its relationship with relapse outcomes. Baclofen treatment was associated with significantly greater activity in bilateral DLPFC and right ACC, critical regions that are involved in decision-making and executive control. More importantly, greater activation of ACC and deactivation of insula following treatment with baclofen predicted longer time to first alcohol relapse.

Several meta-analyses have attempted to build upon traditional concepts of drug dependence which stress on
mesolimbic response to pleasure and reward, to a more complex meso-cortical syndrome of impaired response inhibition and salience attribution (iRISA). Moreover, the consistent finding among human neuroimaging studies has been the correlation of cue-elicited craving, attentional bias and impulsivity with activity in the OFC, ventromedial prefrontal cortex, ACC, and insula. As per iRISA model, the incentive-salience network comprising of the ACC-IC system, when faced with a salient stimulus (ARC), switches the brain from a default mode to an executive mode through its connections to prefrontal regions. This assists decision-making in favour of the stimulus in spite of the risks and long-term negative consequences of such behaviours. Here, the IC is postulated to store pleasurable interoceptive effects of previous alcohol use, and anticipate these rewarding effects in future including craving. Thus, upon exposure to such salient stimulus, AUD individuals, who undergo treatment and intend to stay abstinent, may be able to mobilize coping skills, including attempts to inhibit craving. Given this back-
ground, the present study finding of baclofen-treatment related reversal of the iRISA abnormalities being predictive of a better relapse outcome, is in agreement with this theoretical construct. Further, narrative accounts of individuals with AUDs that report of not experiencing the characteristic 'loss of control' after a lapse or a 'slip' (i.e., single drink) along with complete 'indifference' to presence of alcohol while on high doses of baclofen is also consistent with reversal of iRISA abnormalities noted in the current study. While two resting state perfusion fMRI based studies in humans have revealed that both acute and chronic administration of baclofen blunts the cerebral blood flow to ventral anterior insula, it would be further interesting to examine in future studies if higher doses of baclofen can induce more profound deactivation of insula.

The current study results are largely consistent with studies that have evaluated the impact of other pharmacological treatment protocols on brain CR, albeit with some key differences. For example, fMRI CR studies conducted on non-treatment seeking AUD subjects have noted a reduction in VS activity with drugs such as naltrexone, ondansetron, and aripiprazole compared to a placebo arm. However, another fMRI study in treatment seeking AUD subjects that evaluated the effects of long-acting naltrexone on brain CR found no difference in subcortical activity. This discrepancy is explained by a series of studies that have demonstrated that even direct intravenous alcohol infusion induces robust activation of the VS only in healthy social drinkers, and not in heavy drinking AUD subjects. This indicates that the ability of alcohol to activate the mesolimbic reward circuitry reduces on continued heavy exposure. This could explain the lack of VS CR in the heavy-drinking, treatment-seeking AUD patients in the present study. Further, there are also some differences noted in the prefrontal CR changes with treatment. For example, the fMRI study that examined long-acting naltrexone on brain CR found reduced activity in orbital gyri, inferior and middle frontal gyrus, and cingulate gyrus for visual cues. Whereas in contrast, an fMRI study that examined the effects of a GABA-ergic combination (gabapentin/flumazenil) on CR found greater activation of ACC and DLPFC, consistent with our findings. Also an fMRI study with acamprosate found no significant difference in brain CR compared with placebo. Given this background, present study results need further replication along with other studies that utilize such design for different medications for it to be considered useful in personalizing addiction treatment.

This study represents one of the first attempts to examine baclofen treatment-related fMRI cue-reactivity in AUD individuals, but has certain limitations that need to be considered when interpreting the results. While this study may have the limitations of a non-randomized trial, several non-treatment related confounding factors (like baseline characteristics and treatment setting) were controlled. Despite the group difference in the time interval between the fMRI scans, we employed mixed effects modelling that provides flexibility in allowing for unequal time intervals, missing values, and imbalanced data. The present study did not specifically control for placebo-effect; however, true effects related to baclofen are still likely because both groups received standard treatment protocols that included comparable detoxification regimes with benzodiazepines, thrice a week group therapy for relapse prevention, oral thiamine supplementation, with only the addition of baclofen in the baclofen-treatment arm. The encouraging observations of the present study make a case for further, randomized double-blind, placebo controlled longitudinal fMRI studies of baclofen treatment, preferably following longer periods of admin-

pharmaco-responses across studies could in fact be a function of both patient characteristics and medications that are being examined. Therefore, the baclofen treatment-related reversal of iRISA abnormalities at critical brain regions (ACC-IC) within the incentive-salience network, that predicted longer time to first alcohol use, might be central to its therapeutic "anti-craving" clinical effects.

Although longitudinal fMRI studies are crucial to model the dynamic changes in brain CR over the treatment course in AUDs, very few studies have employed such design and none have examined the predictive value of treatment related CR change for relapse outcomes. However, studies that either have utilized a pre- or post-treatment fMRI CR have reported interesting results congruent to the present study using baclofen. For example, subject with higher baseline VS CR responded better to naltrexone with longer time to relapse. In another study, subjects with increased ACC CR following treatment with a GABA-ergic combination (gabapentin/flumazenil) had longer time to relapse compared to placebo. Given this background, present study results need further replication along with other studies that utilize such design for different medications for it to be considered useful in personalizing addiction treatment.
istration of baclofen. While we explored the relationship of ACC-IC cue-reactivity with relapse outcomes, further examination with neuro-cognitive tests of response inhibition and reward functions are warranted. Also, the study results may be biased as we included only male participants, but that reflects the strong preponderance of males in treatment centres and indeed the extreme male preponderance of drinking prevalence in India.61,62

Lastly, a two-week treatment period may have been too short for assessing the efficacy of baclofen in reducing craving for alcohol; however, we demonstrated that neurobiological effects can be seen even at this early stage and that these could be predictive of short-term relapse outcomes.

Despite these limitations, this study provides both novel and valuable preliminary neurobiological evidence for baclofen response in AUD and its relationship with relapse outcomes. Baclofen appears produce its clinical effects in AUD through modulation of critical brain regions within the incentive-salience network, with activation of regions involved in cognitive control like ACC; and deactivation of regions involved in reward anticipation like insula.

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