A Thrombomodulin Promoter Gene Polymorphism, rs2239562, Influences Both Susceptibility to and Outcome of Sepsis

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Objective: Disseminated intravascular coagulation plays a key role in the pathophysiology of sepsis. Thrombomodulin is essential in the protein C system of coagulation cascade, and functional polymorphisms influence the human thrombomodulin gene (THBD). Therefore, we conducted a multicenter study to evaluate the influence of such polymorphisms on the pathophysiology of sepsis.

Methods: A collaborative case-control study in the intensive care unit (ICU) of each of five tertiary emergency centers. The study included 259 patients (of whom 125 displayed severe sepsis), who were admitted to the ICU of Chiba University Hospital, Chiba, Japan between October 2001 and September 2008 (discovery cohort) and 793 patients (of whom 271 patients displayed severe sepsis), who were admitted to the five ICUs between October 2008 and September 2012 ( multicenter validation cohort). To assess the susceptibility to severe sepsis, we further selected 222 critically ill patients from the validation cohort matched for age, gender, morbidity, and severity with the patients with severe sepsis, but without any evidence of sepsis.

Results: We examined whether the eight THBD single nucleotide polymorphisms (SNPs) were associated with susceptibility to and/or mortality of sepsis. Higher mortality on severe sepsis in the discovery and combined cohorts was significantly associated with the CC genotype in a THBD promoter SNP (~1920∗C/G; rs2239562) [odds ratio [OR] 2.709 (1.067–6.877), P = 0.033 and OR 1.768 (1.060–2.949), P = 0.028]. Furthermore, rs2239562 SNP was associated with susceptibility to severe sepsis [OR 1.593 (1.086–2.338), P = 0.017].

Conclusions: The data demonstrate that rs2239562, the THBD promoter SNP influences both the outcome and susceptibility to severe sepsis.

Keywords: genetic predisposition to disease, genetic testing, multicenter studies, disseminated intravascular coagulation, single nucleotide polymorphisms
INTRODUCTIONS

Sepsis is a global public death emergency, affecting millions of people worldwide, and representing one of the greatest global causes of mortality (1). Currently, numerous genetic polymorphisms are suggested to be associated with susceptibility to and/or outcome of sepsis, and we discovered several polymorphisms related to sepsis pathophysiology (2). One of the purposes of investigating the effects of genetic polymorphisms on the clinical course of diseases is to examine the association of particular molecular pathways, that is, cytokine networks (3, 4), cell death (5, 6), and coagulation/fibrinolysis systems among others (7–9).

Recently, precision medicine has gained attention, particularly for multifactorial diseases in the critical care field, and disseminated intravascular coagulation (DIC) in the pathophysiology of sepsis has of late been increasingly recognized to play a key role as well as to be a therapeutic target (10). Thrombomodulin is an integral membrane protein expressed on the surface of endothelial cells and serves as a cofactor for thrombin, having a pivotal role in the protein C system through the extracellular domain, which binds to thrombin. Thereby, thrombomodulin activates protein C and prevents excessive coagulation (11). Therefore, the recombinant agent is thought to be a promising drug for sepsis-induced coagulopathy (12–14). A recent clinical trial using recombinant human thrombomodulin (ART-123) still showed a tendency of survival benefit in phase three multicenter study (14). Activated protein C (APC) decomposes the coagulation factors Va and VIIIa, thus exerting the anti-coagulative properties (15). The pathophysiology of sepsis-induced DIC is recognized as a perfusion abnormality by fibrin clotting, against which APC has therapeutic potential. Additionally, APC acts both by activating the endothelial receptors, such as protease-activated receptor-1 and endothelial protein C receptor, as well as by degrading histones (16). Although the polymorphisms of protein C genes, for example, PROC (−1641), are already demonstrated to be associated with the mortality and organ failures of sepsis (9), there is only limited investigation of the thrombomodulin gene THBD.

Accordingly, we postulated that some of the THBD single nucleotide polymorphisms (SNPs) are associated with susceptibility to and/or mortality of sepsis. In addition, the present study aimed to evaluate the role of thrombomodulin in the pathophysiology of sepsis through a genetic association study with Japanese multicenter cohorts, focusing on the THBD gene polymorphisms.

MATERIALS AND METHODS

Patient Selection

The subjects were recruited as part of a prospective, observational study of adults admitted during 2001–2012 into a network of Japanese intensive care units (ICUs). The study using these subjects has been reported elsewhere (6). The protocol was approved by the institutional Ethics Committees at all the five participating institutes [the Ethics Committee of Chiba University School of Medicine (permission number 205), the Ethical Committee of Kurume University (bioethics permission number 49), the Medical Research Ethics Committee of Tokyo Medical University, the Ethics Review Board of Hyogo College of Medicine (permission number 208), and the Ethics Committee of Kimitsu Chuo Hospital (permission number 120)]. Following approval by the institutional ethics committees, a written informed consent was obtained from the patients or their next of kin. Figure 1 summarizes the patient inclusion process.

Discovery Cohort

In total, 259 critically ill patients admitted to the ICU of Chiba university hospital in Chiba, Japan, between October 2001 and September 2008 were included (Table 1). The inclusion criteria were as follows: admission to the ICU, aged 20 years or older, and the patients able to provide informed written consent or obtainable from a family member or the legal representative. The exclusion criteria are as follows: pregnancy, treatment for hematologic malignancies, the patients receiving radiation treatment and chemotheraphy, a history of genetic therapy, and being outside the scope of active treatment. The blood samples were obtained immediately after admission to the ICU. The genomic DNA was extracted from the whole blood cells.

Multicenter Validation Cohort

In the multicenter validation cohort, 793 critically ill patients admitted to the general ICU of each of the five tertiary emergency centers of Kurume University Hospital, Tokyo Medical University Hachioji Medical Center, Hyogo College of Medicine, Kimitsu Chuo Hospital, and Chiba University Hospital (updated permission number 457) from October 2008 to September 2012 were included (Table 1). The inclusion and exclusion criteria were the same as for the discovery cohort. The blood cells were refrigerated and transferred to the Chiba University Hospital, where the genomic DNA was subsequently extracted.

Propensity Score Matching in Multicenter Cohort

We conducted a case-cohort study to compare the THBD genotypic distributions in whole blood genomic DNA from the critically ill patients with severe sepsis vs. non-sepsis with similar age, gender, severity of illness, and mortality to assess the genetic association for susceptibility to sepsis between the similar severity of critically ill patients. The patients with sepsis tend to die than the patients with non-sepsis do. Therefore, propensity score matching was implemented to compare THBD genotypic distributions between the severe sepsis and non-sepsis having similar severity, such as mortality. Controls were matched as follows: the participating centers submitted similar blood samples from critically ill patients who were not known to have sepsis. All the patients who did not meet severe sepsis criteria were placed into the matching pool, and those who had any evidence of sepsis were then excluded. Each patient with sepsis was tentatively matched
TABLE 1 | Baseline characteristics of the study population.

| Discovery cohort | Multicenter validation cohort |
|------------------|------------------------------|
|                   | All \( n = 259 \) | Non-sepsis patients \( n = 104 \) | SS patients \( n = 125 \) | \( P \) | All \( n = 793 \) | Non-sepsis patients \( n = 454 \) | SS patients \( n = 271 \) | \( P \) |
| Age (years), mean ± SD | 56.8 ± 17.4 | 56.5 ± 17.5 | 57.6 ± 17.3 | 0.492* | 63.9 ± 17 | 62.5 ± 17.9 | 66.6 ± 15.0 | 0.0008* |
| Male/female gender, \( n \) | 146/113 | 60/44 | 69/56 | 0.659** | 517/276 | 296/158 | 176/95 | 0.915** |
| Length of ICU stay (days), median (IQR) | 6 (3–15) | 3 (1–7) | 13 (7–15) | <0.0001* | 28 (10.8) | 24 (23.0) | 4 (3.2) | <0.0001** |
| SOFA score, median (IQR) | 6 (3–11) | 3 (1–6) | 10 (7–13) | <0.0001* | 79 (10.0) | 25 (5.5) | 43 (15.9) | <0.0001** |
| APACHE II score, median (IQR) | 15 (9.3–22) | 10 (6–14) | 21 (17–27) | <0.0001* | 63 (24.3) | 11 (4.3) | 9 (8.7) | <0.0001** |
| Severe sepsis morbidity (%) | 48.3 | 17 | 29.6 | <0.0001** | 33.8 | 5.71 | 29.6 | <0.0001** |
| Mortality (%) | 35.4 | 4.1 | 7.7 | <0.0001** | 15.0 | 20.8 | 7.6 | <0.0001** |

P values (non-sepsis patients vs. severe sepsis/septic shock patients) were calculated with Student’s t-test or Mann-Whitney U-test* and Fisher’s exact test**. ICH, intracranial hemorrhage; CI, cerebral infarction; CPAOA, cardiopulmonary arrest on arrival; SS, severe sepsis/septic shock; SOFA, sequential organ failure assessment; APACHE II, the acute physiology and chronic health evaluation; SD, standard deviation, IQR, interquartile range. Discovery and validation cohorts include 30 and 68 mild sepsis patients (non-severe), respectively.

with all the patients in the pool of the same gender and hospital discharge status (alive vs. dead). Then, each patient with severe sepsis was matched with one patient from its set of potential non-sepsis matches. The patient selection above was performed employing the propensity score matching method with a Greedy 5-to-1 digit-matching algorithm for the clinical factors, that is, age, gender, severity scores, and hospital discharge status. Once all the propensity-score matching was performed, we compared the baseline covariates between the two groups. Ultimately, 444 patients (222 with severe sepsis/septic shock (SS) while 222 displayed non-sepsis) were selected (Table 2).

Data Collection
The baseline characteristics (age and gender) and clinical data, such as length of ICU stay, Sequential Organ Failure Assessment (SOFA) scores (17), Acute Physiology and Chronic Health Evaluation (APACHE) II scores (18), morbidity of severe sepsis and septic shock, and ICU mortality, were obtained after the patients were documented at study entry. The APACHE II scores

TABLE 2 | Propensity score-matched patients with severe sepsis and without any evidence of sepsis in the validation cohort (post-matching results).

|                   | Non-sepsis patients \( n = 222 \) | SS patients \( n = 222 \) |
|-------------------|---------------------------------|--------------------------|
| Age (years), mean ± SD | 66.7 ± 16.4 | 66.2 ± 14.9 |
| Male/female gender, \( n \) | 194/222 | 191/222 |
| SOFA score, mean ± SD | 6.8 ± 3.7 | 9.1 ± 4.1 |
| APACHE II score, mean ± SD | 21.0 ± 7.7 | 20.8 ± 7.6 |
| Mortality (%) | 35.4 | 35.9 |

SS, severe sepsis/septic shock; SOFA, sequential organ failure assessment; APACHE II, the acute physiology and chronic health evaluation; SD, standard deviation.

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and SOFA scores were calculated during the first 24 h after admission. The diagnosis of sepsis, severe sepsis, and septic shock was based on the criteria presented at the American College of Chest Physicians/Society of Critical Care Medicine Consensus Conference in 1992 (19). In the present study, both the severe sepsis and septic shock are expressed together as the SS group.

**SNP Selection and Genotyping**
Genomic DNA was isolated from the banked whole blood specimens collected on ICU admission. We genotyped eight markers from the region surrounding **THBD** (Supplementary Table 1). Genotyping of SNPs was performed using the APEX testing methods, an outsourced service provided by Asper Biogene (Tartu, Estonia, https://www.asperbio.com/, accessed on 2021/5/25). Eight SNPs distributed in **THBD** and its promoter region (rs2239562, rs3216183, rs121918667, rs1800577, rs1042579, rs41348347, rs1042580, and rs3176123) were investigated. We were able to assign the genotype in >95% of typed samples. To verify the genotypes of the SNPs, some were tested in a set of earlier Japanese samples (6). The allelic statues were determined employing the PCR with sequence-specific primers, using the TaqMan® probe (Applied Biosystems, Foster City, CA, USA). The genotyped SNPs can be found at dbSNP (http://www.ncbi.nlm.nih.gov/SNP/). The SNP genotyping matching rate was 100%, according to the earlier results (6).

**Statistical Analysis**
The primary endpoint was a mortality of severe sepsis, and the secondary endpoint was susceptibility to severe sepsis concerning the **THBD** SNP. Hardy–Weinberg equilibrium (HWE) for the population distribution of the variant alleles was determined according to the approach described by Guo and Thompson (20). The allelic chi-square tests were applied for each SNP. The statistical analyses for the genetic association tests were performed using the SNP & Variation Suite 8.8.3 software (Golden Helix, Bozeman, MT, USA). Significant differences in the mean ± SD or median (interquartile range; IQR) values between the two groups were evaluated by using the Student’s t-test or Mann–Whitney U-test, depending on the variables. The statistical analyses were performed using the GraphPad PRISM 8, version 8.3.0 (GraphPad Software, San Diego, CA, USA) for Windows. We considered differences to be significant at P < .05.

**RESULTS**

**The Baseline Characteristics of the Discovery and Multicenter Validation Cohorts**
Table 1 summarizes the baseline characteristics of the discovery cohort (n = 259) and the multicenter validation cohort (n = 793). Mortality and the SOFA and APACHE II scores were significantly higher in the SS group than those in the non-sepsis group in both the cohorts (P < 0.0001, Table 1). In the validation cohort, the SS patients were significantly older than the non-septic controls (P = 0.0008, Table 1). By contrast, no significant difference in the SOFA or APACHE II scores was detectable between the SS groups of the two cohorts (P = 0.1126, 0.8714, respectively), which indicated that there was no marked difference in severity in the SS patients between the two cohorts. In the validation cohort, trauma, heart failure, and intracranial diseases were overrepresented in the non-sepsis group.

**Genotype Distributions of Eight THBD SNPs Related to the Outcome of Severe Sepsis**
To determine the frequencies of eight SNPs of **THBD**, which are associated with the mortality of severe sepsis, genotyping of these polymorphisms was performed in the discovery cohort. The observed allele frequencies and genotypic distributions for the investigated polymorphisms are summarized in Supplementary Table 2 (discovery cohort), 3 (validation cohort), and 4 (combined cohort). The distributions of genotypes for all the investigated polymorphisms conformed to the HWE test (P > 0.01).

The genotype call rate of the eight SNPs was 96.3–100%, although the genotypic distributions in rs1042579 diverged from the HWE in the studied subjects (P = 0.005, Supplementary Table 4). Among the eight SNPs, a **THBD** promoter SNP (−1920*C/G; rs2239562) was found to be most significantly associated with mortality of SS group in the discovery cohort [odds ratio (OR) 2.709 (range of lower and upper confidence bound; 1.067–6.877), P = 0.033 in a dominant model with the trend test, Figure 2A] and the trend was maintained in the validation cohort [OR 1.446 (0.782–2.675), P = 0.249, Figure 2A]. As a result, the association was statistically strengthened in the combined cohort [OR 1.768 (1.060–2.949), P = 0.028 in a dominant model with the trend test, Figure 2A]. Consequently, the carriage of the CC genotype was significantly associated with a worse outcome of SS group. All the genotypic distributions in relation to a mortality of SS of both the cohorts are presented in Supplementary Tables 2–4.

**Genotypic Distributions of Eight THBD SNPs Related to Susceptibility to Severe Sepsis**
Because of the retrospective nature of the study, the baseline imbalances between the SS and non-sepsis existed; therefore, we identified 222 subjects from each of the SS and non-sepsis groups from the validation cohort by propensity score matching (17) to equalize morbidity of severe sepsis and severity of illness of both the cohorts (Table 2). Figure 1 summarizes the patient inclusion process. By matching with the Propensity Score in the multicenter validation cohort, 222 patients with severe sepsis and the same number of controls with a similar number of ICU deaths, the severity of illness (APACHEII), and age and gender-matched without evidence of any infection also admitted to the ICUs were included (Table 2). The genotypic distributions of the **THBD** promoter SNP (rs2239562) and the **THBD** exon 1 SNP (rs41348347) were significantly different between the SS and non-sepsis groups with similar severity of illness [OR 1.593 (1.086–2.338), P = 0.017 and OR 0.107 (0.013–0.853), P = 0.011, respectively in a dominant model with the trend test, Figure 2B]. By contrast, the minor allele
frequency of the exon SNP rs41348347 was too low to reveal the clinical implications (1.14%), and this SNP was found not to be related to the outcome of SS patients in the previous analysis (Supplementary Tables 2–4). Therefore, the association between rs2239562 and susceptibility to SS was confirmed, even though both the groups were similar for severity and the other background characteristics. The genotype call rate of the eight SNPs was 93.9–100%, and the genotypic distributions in all the eight SNPs did not diverge from the HWE in the studied subjects (Supplementary Table 5).
DISCUSSIONS

The coagulation disorders are common in sepsis, and the patients frequently progress to develop DIC. The present study indicated that a coagulopathy-associated THBD promoter SNP, rs2239562, had a significant influence on the outcome as well as the progress of severe sepsis/septic shock. First, we determined the worse outcome of severe sepsis to be associated with the CC (major) allele homozygotes of rs2239562. Intriguingly, the frequency of the CC carriers was also augmented in the SS in a propensity-matched patient cohort. In the pathophysiology of sepsis, THBD promoter may influence the thrombomodulin-guided APC function and limit endothelial cell damage (Figure 3). In the bloodstream, the thrombomodulin levels influence APC and anti-inflammatory PAR-1 signaling. The restricted thrombomodulin (due to carriage of CC genotype of rs2239562) promotes thrombin-induced inflammation and endothelial cell damage.

Some drugs in development aim to regulate sepsis-induced coagulopathy or when inflammation has been initiated. One of the promising drugs is ART-123, a recombinant form of the anticoagulant protein thrombomodulin from Asahi Kasei Pharma that is currently in a global clinical trial (14, 21). Emerging evidence is accumulating, which demonstrates that phenotype γ and δ reveals the characteristics of hematologic dysfunctions, such as coagulopathy (34). A survey in Japan conducted by the Japanese Association for Acute Medicine reported that the incidence of DIC is high and exceeded 50% in sepsis (35). At least in part, these may explain the lack of significance concerning the association between the THBD SNP and the incidence of SS in "the less severe" validation cohort. More studies along these lines will clarify these questions. Third, neither the gene expression nor biomarker values can be recruited since we performed piggyback evaluation using the DNA samples from an earlier genetic association study of the Japanese pharmacogenetics associated with the coagulation system.

An earlier study reported soluble thrombomodulin concentrations and deep venous thrombosis associated with 2729A>C and A455V missense mutations in the Japanese (28). The THBD 1418T allele in rs1042579 SNP was associated with the lower soluble thrombomodulin levels, both in plasma and in HUVEC-conditioned medium, and with an increase in functional membrane-bound thrombomodulin in HUVEC, explaining the increased APC levels and the reduced venous thromboembolism risk (29). Interestingly, THBD is also recognized as a pathogenic gene of the atypical hemolytic uremic syndrome (aHUS) (30), caused by complement dysregulation and may occasionally be triggered by a septic insult. Therefore, the present data indicating that a THBD polymorphism influenced the susceptibility, as well as the outcome of sepsis, might be key in the pathogenesis of aHUS. To counteract sepsis-triggered aHUS, the continued accumulation of knowledge regarding the patterns of disease onset and response to the treatments under different genetic backgrounds, such as THBD, will be essential for developing future treatment strategies (31). Further, a recent study demonstrated that one of the THBD SNPs, rs1962, was related to the risk of death in the patients with sepsis (32). The above reports support the deep association between the THBD SNPs and the pathophysiology of sepsis-induced organ dysfunctions.

Our work has several limitations. First, we used the criteria of the 2013 surviving sepsis campaign guidelines to include patients because this work was initiated before introducing the SEPSIS-3 diagnostic criteria (33). Second, the statistical significance of the results of the THBD genetic association was relatively weak because the patient characteristics were very heterogeneous, such that it was challenging to show the influence on an outcome with the present sample volume. Interestingly, a recent study demonstrates that phenotype γ and δ reveals the characteristics of hematologic dysfunctions, such as coagulopathy (34). A survey in Japan conducted by the Japanese Association for Acute Medicine reported that the incidence of DIC is high and exceeded 50% in sepsis (35). At least in part, these may explain the lack of significance concerning the association between the THBD SNP and the incidence of SS in "the less severe" validation cohort. More studies along these lines will clarify these questions. Third, neither the gene expression nor biomarker values can be recruited since we performed piggyback evaluation using the DNA samples from an earlier genetic association study of sepsis (6). Ideally, we might have examined the concentrations of soluble thrombomodulin as a phenotype parameter of the rs2239562 SNP promoter influence. However, it is also true that the concentrations do not always correlate with sepsis severity (36). Finally, any data on site of infection, administered antimicrobials, microbiology, and coagulopathy markers were not provided. Although we used a prospective registry of the genetic association study for the critically ill patients, the data...
were not mandatory in the long-continued cohorts. Even in such miscellaneous populations, the rs2239562 THBD SNP was associated with susceptibility to and outcome of SS. This suggests the crucial role of the coagulation system in the pathophysiology of sepsis.

CONCLUSIONS

Our mid-scale population association study supports the hypothesis that the genetic predispositions to severe sepsis as well as to the worse outcome of sepsis exist. Consequently, a variation in the promoter region of the THBD appears to explain, in part, the susceptibility to severe sepsis/septic shock in the Japanese multicenter ICU. Whole-genome sequencing targeting THBD with a more extensive study population is warranted to be able to transfer the present data to the clinical settings.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee of Chiba University School of Medicine (permission number 205), the Ethical Committee of Kurume University (bioethics permission number 49), the Medical Research Ethics Committee of Tokyo Medical University, the Ethics Review Board of Hyogo College of Medicine (permission number 208), and the Ethics Committee of Kimitsu Chuo Hospital (permission number 120). The patients/participants provided their written informed consent to participate in this study.

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AUTHOR CONTRIBUTIONS

EW, MH, and SO: conceived and designed the experiments. YT, MO, and AT: performed the experiments. EW, YT, MO, BM, and MH: analyzed the data. OT, TS, TJ, JK, NK, and EMS: contributed reagents, materials, and analysis tools. EW and GH: wrote the paper. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmed.2021.762198/full#supplementary-material
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