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Search for CP violation using T-odd correlations in $D^+ \to K^+ K^{0}_S \pi^+ \pi^-$ and $D^+_s \to K^+ K^{0}_S \pi^+ \pi^-$ decays.

J. P. Lees,¹ V. Poireau,¹ V. Tisserand,¹ J. Garra Tico,² E. Grauges,² M. Martinelli,³,⁴ A. M. Milanes,⁴ A. Palano,³,⁴ M. Pappalaggio,³,⁴ G. Eigen,⁴ B. Stugu,⁴ L. Sun,⁴ D. N. Brown,⁴ L. T. Kerth,⁵ Yu. G. Kolomensky,⁵ G. Lynch,⁵ H. Koch,⁵ T. Schroeder,⁶ D. J. Asgeirsson,⁷ C. Hearty,⁷ T. S. Mattison,⁷ J. A. McKenna,⁷ A. Khan,⁸ V. E. Blinov,⁹ A. R. Buzykaev,⁹ V. P. Druzhinin,⁹ V. B. Golubev,⁹ E. A. Kravchenko,⁹ A. P. Onuchin,⁹ S. I. Serednyakov,⁹ Yu. I. Skovpen,⁹ E. P. Solodov,⁹ K. Yu. Todyshev,⁹ A. N. Yushkov,⁹ M. Bondioli,¹⁰ D. Kirkby,¹⁰ A. J. Lankford,¹⁰ M. Mandelkern,¹⁰ D. P. Stoker,¹⁰ H. Atmacan,¹¹ J. W. Gay,¹¹ F. Liu,¹¹ O. Long,¹¹ G. M. Vitug,¹¹ C. Campagnini,¹² T. M. Hsu,¹² K. R. Kowsky,¹² J. D. Richman,¹² C. A. West,¹² A. M. Eisinger,¹³ J. Kroseberg,¹³ W. S. Lockman,¹³ A. J. Martinez,¹³ T. Schalk,¹³ B. A. Schumm,¹³ A. Seiden,¹³ C. H. Cheng,¹⁴ D. A. Doll,¹⁴ B. Echenard,¹⁴ K. T. Flood,¹⁴ D. G. Hitlin,¹⁴ P. Ongmongkolkul,¹⁴ F. C. Porter,¹⁴ A. Y. Rakitin,¹⁴ R. Andreassen,¹⁵ M. S. Dubrovkin,¹⁵ B. T. Meadows,¹⁵ M. D. Sokoloff,¹⁵ P. C. Bloom,¹⁶ W. T. Ford,¹⁶ A. G. D. H. Gloer,¹⁶ M. Nagel,¹⁶ U. Nauenberg,¹⁶ S. R. Wagner,¹⁶ R. Ayad,¹⁷ W. H. Toki,¹⁷ B. Spana,¹⁸ M. J. Kobel,¹⁹ K. R. Schubert,¹⁹ R. Schwierz,¹⁹ D. Bernard,²⁰ M. Verderi,²⁰ P. J. Clark,²¹ S. Playfer,²¹ D. Bettoni,²² C. Bozzi,²² R. Calabrese,²³ G. Cibinetto,²³ E. Fioravanti,²³ I. Garzia,²³ E. Luppi,²³ M. Muneratto,²³ M. Negrini,²³ L. Piemontese,²³ R. Baldini-Ferroli,²³ A. Calcaterra,²³ R. de Sangro,²³ G. Finocchiaro,²³ M. Nicolaci,²³ P. Patteri,²³ M. Peruzzi,²³ M. Piccolo,²³ M. Rama,²³ A. Zallo,²³ R. Conti,²³ G. Guido,²³ M. Lo Vetere,²³ M. R. Monge,²³ S. Passaggio,²³ C. Patrignani,²³ E. Robutti,²³ B. Bluyan,²⁵ V. Vrasad,²⁵ C. L. Lee,²⁶ M. Morii,²⁶ A. J. Edwards,²⁶ A. Adamatz,²⁷ J. Marks,²⁸ U. Wuer,²⁸ F. U. Bernlochner,²⁹ M. Ebert,²⁹ H. M. Lacker,²⁹ T. Lueck,²⁹ P. D. Dunce,³⁰ M. Tibbett,³⁰ P. K. Behera,³¹ U. Mallik,³¹ C. Chen,³² J. Cochran,³² W. T. Meyer,³³ S. Prell,³³ E. I. Rosenberg,³³ A. E. Rubin,³³ A. V. Gritsan,³³ Z. J. Guo,³³ N. Aranda,³³ M. Davier,³³ G. Grosidid,³³ F. Le Diberder,³³ A. M. Lutz,³³ B. Malacausi,³³ P. Roudeau,³³ M. H. Schune,³³ A. Stocchi,³³ G. Wormser,³³ D. J. Lange,³³ M. D. Wright,³³ I. Bingham,³³ C. A. Chavez,³³ J. P. Coleman,³³ J. R. Fry,³⁶ E. Gabathuler,³⁶ D. E. Hutchcroft,³⁶ L. J. Payne,³⁶ C. Touramanis,³⁶ A. J. Bevan,³⁷ F. Di Lodovico,³⁷ R. Sacco,³⁷ M. Sigamani,³⁷ G. Cowan,³⁷ S. Parameswaran,³⁷ D. N. Brown,³⁸ C. L. Davis,³⁸ A. G. Denig,³⁸ M. Fritsch,³⁸ W. Gradl,³⁸ A. Hafner,³⁸ O. Prencipe,³⁸ K. E. Alwin,³⁸ D. Bailey,³⁸ R. J. Barlow,³⁸ G. Jackson,³⁸ G. D. Lafferty,³⁸ R. Cenci,³⁸ B. Hamilton,³⁸ A. Jawahery,³⁸ D. A. Roberts,³⁸ G. Simi,³⁸ D. Pallapaca,³⁸ R. Cowan,³⁸ D. Dujmic,³⁸ G. Sciolla,³⁸ D. Lindemann,³⁸ P. M. Patel,³⁸ H. S. Robertson,³⁸ M. Schram,³⁸ P. Biasin,³⁸ A. Lazzaro,³⁸ V. Lombardo,³⁸ N. Neri,³⁸ F. Palombo,³⁸ S. Stracke,³⁸ L. Cremaldi,³⁸ R. Godang,³⁸ R. Kroeger,³⁸ P. Sonnek,³⁸ J. D. Summers,³⁸ X. Nguyen,³⁸ P. Taras,³⁸ G. De Nardo,³⁸ D. Monorchio,³⁸ G. Onorato,³⁸ C. Sciacca,³⁸ G. Raven,³⁸ H. L. Snok,³⁸ C. P. Jessop,³⁸ K. J. Knoepfel,³⁸ J. M. LoSecco,³⁸ W. F. Wang,³⁸ K. Honscheid,³⁸ R. Kass,³⁸ J. Brau,³⁸ R. Frey,³⁸ N. B. Sinev,³⁸ D. Strom,³⁸ E. Torrence,³⁸ E. Feltreri,³⁸ N. Gagliardi,³⁸ M. Margoni,³⁸ M. Morandini,³⁸ M. Posocco,³⁸ M. Ronoldo,³⁸ F. Simonetto,³⁸ R. Stroili,³⁸ E. Ben-Haim,³⁸ M. Bomben,³⁸ R. Bonneau,³⁸ H. Brando,³⁸ G. Calderini,³⁸ J. Chauveau,³⁸ O. Hamon,³⁸ Ph. Leruste,³⁸ G. Marchiori,³⁸ J. Ocariz,³⁸ S. Sitt,³⁸ M. Biasini,³⁸ E. Manoni,³⁸ S. Pacetti,³⁸ C. Angelini,³⁸ B. Batignani,³⁸ S. Bettarini,³⁸ M. Carpinelli,³³ G. Casarosa,³³ A. Cervelli,³³ F. Forti,³³ M. A. Giorgi,³³ A. Rusinek,³³ B. Oberhöf,³³ E. Paoloni,³³ A. Perez,³³ G. Rizzo,³³ J. J. Walsh,³³ L. Dopes Pegna,³³ C. Lu,³³ J. Olsen,³³ A. J. S. Smith,³³ A. V. Tehov,³³ F. Anulli,³³ G. Cavotto,³³ R. Facchinetti,³³ F. Ferrarotto,³³ F. Ferroni,³³ M. Gaspero,³³ L. Li Gioi,³³ M. A. Mazziotti,³³ G. Piredda,³³ C. Bünge,³³ O. Grünberg,³³ T. Hartmann,³³ T. Leddig,³³ H. Schröder,³³ R. Wald,³³ T. Adye,³³ E. O. Olaiva,³³ F. F. Wilson,³³ S. Emery,³³ G. Hameel de Monchaux,³³ G. Vasseur,³³ Ch. Yéche,³³ D. Astor,³³ D. J. Bard,³³ R. Bartoldus,³³ C. Cartaro,³³ M. R. Convery,³³ J. Dorfan,³³ G. P. Dubois-Felsmann,³³ W. Dunwoodie,³³ R. C. Field,³³ M. Franco Sevilla,³³ B. G. Fulsom,³³ A. M. Gabareev,³³ M. T. Graham,³³ P. Grenier,³³ C. Has,³³ W. R. Innes,³³ M. H. Kelsey,³³ H. Kim,³³ P. Kim,³³ M. L. Kocian,³³ D. W. G. Leith,³³ P. Lewis,³³ S. Li,³³ B. Lindquist,³³ S. Lutz,³³ V. Luth,³³ H. L. Lynch,³³
D. B. MacFarlane,63 D. R. Muller,63 H. Neal,63 S. Nelson,63 I. Ofte,63 M. Perl63 T. Pulliam,63 B. N. Ratcliff,63 A. Roodman,63 A. A. Salnikov,63 V. Santoro,63 R. H. Schindler,63 A. Snyder,63 D. Su,63 M. K. Sullivan,63 J. Va'vra,63 A. P. Wagner,63 M. Weaver,63 W. J. Wisniewski,63 M. Wittgen,63 D. H. Wright,63 H. W. Wulsin,63 A. K. Yarritu,63 C. C. Young,63 V. Ziegler,63 W. Park,64 M. V. Purohit,64 R. M. White,64 J. R. Wilson,64 A. Randle-Conde,65 S. J. Sekula,65 M. Bellis,66 J. F. Benítez,66 T. S. Miyashita,66 M. S. Alam,67 J. A. Ernst,67 R. Gorodeski,68 N. Gutmann,68 D. R. Peimer,68 A. Soffer,68 P. Lund,69 S. M. Spanier,69 R. Eckmann,70 J. L. Ritchie,70 A. M. Ruland,70 C. J. Schilling,70 R. F. Schwitters,70 B. C. Wray,70 J. M. Izen,71 X. C. Lou,71 F. Bianchiab,72 D. Gambaab,72 L. Lanceriab,73 L. Vitaleab,73 F. Martinez-Vidal,74 A. Oyanguren,74 H. Ahmad,75 J. Albert,75 Sw. Banerjee,75 H. F. Choi,75 G. J. King,75 R. Kowalewski,75 M. J. Lewczuk,75 C. Lindsay,75 I. M. Nugent,75 J. M. Roney,75 J. R. Sobie,75 T. J. Gershon,76 P. F. Harrison,76 T. E. Latham,76 E. M. T. Puccio,76 H. R. Band,77 S. Dasu,77 Y. Pan,77 R. Prepost,77 C. O. Vuosalo,77 and S. L. Wu77

(The BABAR Collaboration)

1Laboratoire d’Annecy-le-Vieux de Physique des Particules (LAPP), Université de Savoie, CNRS/IN2P3, F-74941 Annecy-le-Vieux, France
2Universidad de Barcelona, Facultat de Física, Departament ECM, E-08028 Barcelona, Spain
3INFN Sezione di Bariab; Dipartimento di Fisica, Università di Bariab, I-70126 Bari, Italy
4University of Bergen, Institute of Physics, N-5007 Bergen, Norway
5Lawrence Berkeley National Laboratory and University of California, Berkeley, California 94720, USA
6Ruhr Universität Bochum, Institut für Experimentalphysik 1, D-44780 Bochum, Germany
7University of British Columbia, Vancouver, British Columbia, Canada V6T 1Z1
8Brunel University, Uxbridge, Middlesex UB8 3PH, United Kingdom
9Budker Institute of Nuclear Physics, Novosibirsk 630090, Russia
10University of California at Irvine, Irvine, California 92697, USA
11University of California at Riverside, Riverside, California 92521, USA
12University of California at Santa Barbara, Santa Barbara, California 93106, USA
13California Institute of Technology, Pasadena, California 91125, USA
14University of Cincinnati, Cincinnati, Ohio 45221, USA
15University of Colorado, Boulder, Colorado 80309, USA
16University of Colorado, Fort Collins, Colorado 80523, USA
17Colorado State University, Ames, Iowa 50011-3160, USA
18Technische Universität Dortmund, Fakultät Physik, D-44221 Dortmund, Germany
19Technische Universität Dresden, Institut für Kern- und Teilchenphysik, D-01062 Dresden, Germany
20Laboratoire Leprince-Ringuet, École Polytechnique, CNRS/IN2P3, F-91128 Palaiseau, France
21University of Edinburgh, Edinburgh EH9 3JZ, United Kingdom
22INFN Sezione di Ferraraab; Dipartimento di Fisica, Università di Ferraraab, I-44100 Ferrara, Italy
23INFN Laboratori Nazionali di Frascati, I-00044 Frascati, Italy
24INFN Sezione di Genovaab; Dipartimento di Fisica, Università di Genoabe, I-16146 Genova, Italy
25Indian Institute of Technology Guwahati, Guwahati, Assam, 781 039, India
26Harvard University, Cambridge, Massachusetts 02138, USA
27Harvey Mudd College, Claremont, California 91711
28University Heidelberg, Physikalisches Institut, Philosophenweg 12, D-69120 Heidelberg, Germany
29Humboldt-Universität zu Berlin, Institut für Physik, Newtonstraße 15, D-12489 Berlin, Germany
30Imperial College London, London, SW7 2AZ, United Kingdom
31University of Iowa, Iowa City, Iowa 52242, USA
32Iowa State University, Ames, Iowa 50011-3160, USA
33Johns Hopkins University, Baltimore, Maryland 21218, USA
34Laboratoire de l’Accélérateur Linéaire, IN2P3/CNRS et Université Paris-Sud 11, Centre Scientifique d’Orsay, B. P. 34, F-91898 Orsay Cedex, France
35Lawrence Livermore National Laboratory, Livermore, California 94550, USA
36University of Liverpool, Liverpool L69 7ZE, United Kingdom
37Queen Mary, University of London, London, E1 4NS, United Kingdom
38University of London, Royal Holloway and Bedford New College, Egham, Survey TW20 0EX, United Kingdom
39University of Louisville, Louisville, Kentucky 40292, USA
40Johannes Gutenberg-Universität Mainz, Institut für Kernphysik, D-55099 Mainz, Germany
41University of Manchester, Manchester M13 9PL, United Kingdom
42University of Maryland, College Park, Maryland 20742, USA
43University of Massachusetts, Amherst, Massachusetts 01003, USA
44Massachusetts Institute of Technology, Laboratory for Nuclear Science, Cambridge, Massachusetts 02139, USA
45McGill University, Montréal, Québec, Canada H3A 2T8
46INFN Sezione di Milanoab; Dipartimento di Fisica, Università di Milanoab, I-20133 Milano, Italy
We search for \( CP \) violation in a sample of 20000 Cabibbo-suppressed decays, \( D^+ \to K^+ K^0_S \pi^+ \pi^- \), and 30000 Cabibbo-favored decays, \( D^+_s \to K^+ K^0_S \pi^+ \pi^- \). We use 520 fb\(^{-1} \) of data recorded by the BABAR detector at the PEP-II asymmetric-energy \( e^+e^- \) collider operating at center of mass energies near 10.6 GeV. We search for \( CP \) violation in the difference between the \( T \)-odd asymmetries obtained using triple product correlations of the \( D^+ (D^+_s) \) and \( D^- (D^-_s) \) decays, respectively. The \( T \) violation parameter values obtained are \( \mathcal{A}_T(D^+) = (-12.0 \pm 10.0_{\text{stat}} \pm 4.6_{\text{syst}}) \times 10^{-3} \) and \( \mathcal{A}_T(D^+_s) = (13.6 \pm 7.7_{\text{stat}} \pm 3.4_{\text{syst}}) \times 10^{-3} \), which are consistent with the standard model expectations.

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In the standard model (SM) of particle physics, the violation of the charge-conjugation and parity symmetries \( (CP) \) is introduced by the Kobayashi-Maskawa (KM) phase in the Cabibbo-Kobayashi-Maskawa quark mixing matrix \( [1] \). The KM ansatz has been tested at high precision in \( K \) and \( B \) decays, where the KM phase contributes to the quark transition amplitude at tree level. However, further experimental efforts are needed in \( D \) meson decays, where \( CP \)-violating amplitudes are predicted to contribute to the observables at the \( 10^{-3} \) level \( [2] \).

The sensitivity to \( CP \) violation in \( D \) meson decays reached by the \( B \) factories is of the order of \( 5 \times 10^{-3} \) \( [3] \). Although this does not represent a measurement of SM \( CP \) violation, it provides a constraint on possible effects beyond the SM. New physics models introduce \( CP \) violation in \( D \) meson decays through tree and one-loop diagrams. While predictions for \( CP \) violation in tree diagrams are not different from those in the SM \( [O(10^{-3})] \), new physics in loop diagrams may enhance \( CP \) violation effects at the order of \( 10^{-2} \) \( [1] \).

We report herein a search for \( CP \) violation in the decays \( D^+ \to K^+ K^0_S \pi^+ \pi^- \) and \( D^+_s \to K^+ K^0_S \pi^+ \pi^- \) using \( T \)-odd correlations \( [8] \). We define a kinematic triple product that is odd under time reversal using the vector
momenta of the final state particles in the $D_{(s)}^+$ rest frame as

$$C_T \equiv \vec{p}_{K^+} \cdot (\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}) .$$

(1)

Under the assumption of CPT invariance, time-reversal ($T$) violation is equivalent to CP violation.

We study the $T$-odd correlations by measuring the observable expressed in Eq. (1) and then evaluating the asymmetry

$$A_T \equiv \Gamma(C_T > 0) - \Gamma(C_T < 0) \over \Gamma(C_T > 0) + \Gamma(C_T < 0) ,$$

(2)

where $\Gamma$ is the decay rate for the process under study. The observable defined in Eq. (2) can have a nonzero value due to final state interactions, even if the weak phases are zero [10]. The $T$-odd asymmetry measured in the CP-conjugate decay process, $\bar{A}_T$, is defined as

$$\bar{A}_T \equiv \Gamma(-C_T > 0) - \Gamma(-C_T < 0) \over \Gamma(-C_T > 0) + \Gamma(-C_T < 0) ,$$

(3)

where $\bar{C}_T \equiv \vec{p}_{K^-} \cdot (\vec{p}_{\pi^-} \times \vec{p}_{\pi^+})$. We can then construct

$$A_T \equiv {1 \over 2} (A_T - \bar{A}_T) ,$$

(4)

which is an asymmetry that characterizes $T$ violation in the weak decay process [10–12].

At least four different particles are required in the final state so that the triple product may be defined using momentum vectors only [13]. The $D$ meson decays suitable for this analysis method are $D^+ \to K^+ K^0_S \pi^+ \pi^-$, $D^+_s \to K^+ K^0_S \pi^+ \pi^-$, and $D^0 \to K^+ K^- \pi^+ \pi^-$. The search for CP violation using $T$-odd correlations in $D^0 \to K^+ K^- \pi^+ \pi^-$ has recently been carried out by the BABAR Collaboration, and no evidence of CP violation has been observed [3].

Following the suggestion by Bigi [14], the FOCUS Collaboration [15] first applied this technique to a sample of approximately 500 reconstructed $D^+$ and $D^+_s$ events, respectively. No evidence of CP violation was found. In the present analysis, we perform a similar measurement using approximately $2.1 \times 10^4 D^+$ and $3.0 \times 10^4 D^+_s$ meson decay candidates.

The analysis is based on a 520 fb$^{-1}$ data sample recorded mostly at the $\Upsilon(4S)$ peak and at center of mass (CM) energy 40 MeV below the resonance by the BABAR detector at the PEP-II asymmetric-energy $e^+e^-$ collider. Contributions to the data sample have been recorded near the $\Upsilon(3S)$ resonance ($\approx 31$ fb$^{-1}$), and near the $\Upsilon(2S)$ resonance ($\approx 15$ fb$^{-1}$). In addition, two large samples of Monte Carlo (MC) simulated events have been analyzed. In these samples, the $e^+e^- \to \pi^0\pi^0$ production process is generated using Jetset7.4 [16], and the detector response is simulated by GEANT4 [17]. About $1.1 \times 10^9$ generic $e^+e^- \to \pi^0\pi^0$ MC events, corresponding to 846 fb$^{-1}$, were generated to include the previously measured intermediate resonances in the $D_{(s)}^+$ decays, while $4.0 \times 10^6 e^+e^- \to D_{(s)}^+X$ MC signal events ($\approx 1025$ fb$^{-1}$), where $X$ represents any system of charged and neutral particles compatible with the relevant conservation laws, were generated in which the $D_{(s)}^+$ signal decays to $K^+ K^0_S \pi^+ \pi^-$ uniformly over the phase space. Both MC samples were processed using the same reconstruction and analysis chain as that used for real events.

The BABAR detector is described in detail elsewhere [18]. We mention here only the subsystems used in the present analysis. Charged-particle tracks are detected, and their momenta measured, with a combination of a cylindrical drift chamber (DCH) and a silicon vertex tracker (SVT), both operating within the 1.5-T magnetic field of a superconducting solenoid. The information from a ring-imaging Cherenkov detector, combined with specific energy-loss measurements in the SVT and DCH, provides identification of charged kaon and pion candidates.

The $D^+$ and $D^+_s$ meson decay candidates are reconstructed in the production and decay sequence:

$$e^+e^- \to XD^+_{(s)}; D^+_{(s)} \to K^+ K^0_S \pi^+ \pi^-; K^0_S \to \pi^+ \pi^- ,$$

(5)

using the events with at least five charged particles. We reconstruct $K^0_S \to \pi^+ \pi^-$ candidates using a vertex and kinematic fit with the $K^0_S$ mass constraint [19], and requiring a $\chi^2$ probability greater than 0.1%. We accept only $K^0_S$ candidates that decay at least 0.5 cm from the $e^+e^-$ interaction region (IR) and have a mass before the fit within 15 MeV/\(c^2\) of the nominal $K^0_S$ mass. The $K^0_S$ candidate is then combined with three charged-particle tracks with total net charge +1, to form a $D^+_{(s)}$ candidate. We require the tracks to originate from a common vertex, and the $\chi^2$ fit probability ($P_1$) to be greater than 0.1%. In order to improve discrimination between signal and background, an additional fit is performed that constrains the three charged tracks to the IR. The $\chi^2$ probability ($P_2$) of this fit is large for most of the background events, whose tracks originate from the IR, while it is smaller for $D^+_{(s)}$ signal events, whose tracks originate from a secondary vertex detached from the IR, due to the measurable $D^+_{(s)}$ flight distance. Particle identification is applied to the three charged-particle tracks, and the presence of a $K^+$ is required. Charged kaon identification has an average efficiency of 90% within the acceptance of the detector, and an average pion-to-kaon misidentification probability of 1.5%. We require the CM momentum of the $D^+_{(s)}$ candidate, $p^*$, to be greater than 2.5 GeV/c. This requirement reduces the large combinatorial background from $B$ decays, and improves the signal-to-background ratio significantly despite some loss in signal efficiency.

We first study backgrounds from charm meson decay processes which yield the same event topology.
The decay $D^+ \rightarrow \pi^+ D^0$ produces a significant $D^0$ peak in the $K^0_S K^+\pi^-$ mass distribution. A fit with a Gaussian signal function yields a mass resolution of $\sigma_{D^0 \rightarrow K^0_S K^+\pi^-} = 4.6$ MeV$/c^2$. Selecting $D^0$ candidates within $\pm 3\sigma_{D^0 \rightarrow K^0_S K^+\pi^-}$ of the $D^0$ mass, we observe a clear $D^+$ peak in the distribution of the mass difference $\Delta m = m(K^+ K^0_S \pi^+ \pi^-) - m(K^0_S K^+\pi^-)$. This contribution is reduced to a negligible level by requiring $\Delta m > 0.1465$ GeV$/c^2$.

We also observe background from the decay $D^+ \rightarrow K^+ \pi^- K^0_S$, with one of the $K^0_S$ decaying into the bachelor pions of Eq. (5). This contribution is removed by requiring the $\pi^+\pi^-$ invariant mass to lie outside a $\pm 8.7$ MeV$/c^2$ mass window around the nominal $K^0_S$ mass [18]. We look for backgrounds from $D^+ \rightarrow K^0_S \pi^+ \pi^+\pi^-$ decays by assigning a pion mass hypothesis to the kaon candidate. We observe a $D^+$ signal over a large background. Simulation shows that this background produces a broad structure in the high-mass region of the $D^+$ mass distribution. We also looked for background from $\Lambda^+_c \rightarrow p K^0_S \pi^-\pi^-$ decay by assigning the proton mass to the kaon candidate. We see a signal over a large background. We find it impossible to remove the $D^+ \rightarrow K^0_S \pi^+ \pi^+\pi^-$ and $\Lambda^+_c \rightarrow p K^0_S \pi^-\pi^-$ events without biasing our mass distributions. Our MC simulations, however, show that the presence of these backgrounds does not bias the extraction of the $D^+$ meson yields. 

As a further check, we select a high purity data sample (87.5%) of $D^+ \rightarrow K^0_S \pi^+ \pi^+\pi^-$ decays and assign the $K^+$ mass alternatively to both $\pi^+$. We compute the asymmetries on the resulting integrated distributions and find that they are all consistent with zero. A similar result is obtained when we perform the test on MC events.

We divide the $K^+ K^0_S \pi^+\pi^-$ mass spectrum into two regions in order to extract separately the $D^+$ and $D^+_s$ signal yields. For the former we require $1.81 < m(K^+ K^0_S \pi^+\pi^-) < 1.92$ GeV$/c^2$, while for the latter we require $1.91 < m(K^+ K^0_S \pi^+\pi^-) < 2.02$ GeV$/c^2$.

For further signal-to-background optimization, we explore three variables: the CM momentum, $p^*$, the difference in probability, $P_1 - P_2$, and the signed transverse decay length, $L_T = \frac{d\bar{p_T}}{|d\bar{p_T}|}$, where $d$ is the distance vector between the IR and the $D^+$ decay vertex in the transverse plane, and $\bar{p_T}$ is the $D^+$ transverse momentum vector. Signal events are expected to be characterized by larger values of $p^*$ [20], due to the jetlike topology of $e^+ e^- \rightarrow c\bar{c}$ events, and larger values of $L_T$ and $P_1 - P_2$, due to the measurable $D^+$ decay length.

Figure 2 shows the $p^*$, $P_1 - P_2$, and $L_T$ distributions for signal and background in the $D^+$ and $D^+_s$ mass regions, respectively. The signal distributions are obtained from $D^+ \rightarrow K^0_S \pi^+ \pi^+\pi^-$ and $D^+_s \rightarrow K^0_S K^- \pi^+\pi^-$ decays in data after background subtraction. These decay modes are kinematically similar to the signal modes, but have higher signal yields and better signal-to-background ratios. The background distributions in Fig. 2 are obtained from $D^+_{(s)} \rightarrow K^+ K^0_S \pi^+\pi^-$ sidebands in the mass distributions for data.

The normalized probability distribution functions ($P$) of the three variables for signal and background are combined in a likelihood-ratio test

$$\mathcal{L} = \prod_i \frac{P_{\text{sig}}(x_i)}{P_{\text{bkg}}(x_i)}, \quad x = (p^*, P_1 - P_2, L_T)$$

to optimize the signal yields separately for $D^+$ and $D^+_s$.

The optimization of the cut is performed by maximizing the value of $S/\sqrt{S+B}$, where $S$ is the number of signal events and $S+B$ is the total number of events in the signal region. The purity $S/(S+B)$ of the peak improves from 11.2% to 51.4% and from 16.6% to 60.6% for $D^+$ and $D^+_s$, respectively.

Figure 2 shows the $K^+ K^0_S \pi^+\pi^-$ mass spectra in the $D^+$ and $D^+_s$ regions before [(a) and (c)] and after [(b) and (d)] the likelihood-ratio test. For each region, the signal is described by the superposition of two Gaussian functions with a common mean value. The background is parametrized by a first-order polynomial in the $D^+$ region, and by a second-order polynomial in the $D^+_s$ region. The fitted functions are superimposed on the data in Fig. 2 and the fit residuals, shown above each distribution, are represented by Pull = $(N_{\text{data}} - N_{\text{fit}})/\sqrt{N_{\text{data}}}$.

From these binned extended maximum likelihood fit, we extract the integrated yields $N(D^+) = 21210 \pm 392$ and $N(D^+_s) = 29791 \pm 337$ from the fits, where the uncertainties are statistical only. The mean value and width of the main Gaussian are $\mu_{D^+} = 1869.8 \pm 0.1$ MeV$/c^2$, $\sigma_{D^+} = 3.76 \pm 0.08$ MeV$/c^2$ for $D^+$, and $\mu_{D^+_s} = 1690.0 \pm 0.1$ MeV$/c^2$, $\sigma_{D^+_s} = 3.67 \pm 0.18$ MeV$/c^2$ for $D^+_s$.

Next we divide the data sample into four subsamples depending on $D_{(s)}$ charge and whether $C_T \left(\bar{C}_T\right)$ is greater or less than zero. We define

$$N(D^+_{(s)}, C_T > 0) = \frac{N(D^+_{(s)})}{2}(1 + A_T),$$
$$N(D^+_{(s)}, C_T < 0) = \frac{N(D^+_{(s)})}{2}(1 - A_T),$$
$$N(D^-_{(s)}, \bar{C}_T > 0) = \frac{N(D^-_{(s)})}{2}(1 - \bar{A}_T),$$
$$N(D^-_{(s)}, \bar{C}_T < 0) = \frac{N(D^-_{(s)})}{2}(1 + \bar{A}_T),$$

and fit the corresponding mass spectra simultaneously to extract the yields and the values of the asymmetry parameters $A_T$ and $\bar{A}_T$. In this fit, the shape parameters are shared among the four samples and are fitted together with the yields, $N(D^+_{(s)})$ and $N(D^-_{(s)})$, and the asymmetries, $A_T$ and $\bar{A}_T$. The $T$-violating parameter $A_T$ is then computed using Eq. (4).
FIG. 1: Distributions of \( p^*, P_1 - P_2, \) and \( L_T \) for \( D^+ \) (top panels) and \( D_s^+ \) (bottom panels) candidates. The distributions for signal and background are shown as solid and dot-dashed histograms, respectively. All distributions are normalized to 1. Signal distributions are extracted from \( D^+ \rightarrow K_S^0 \pi^+ \pi^- \) and \( D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^- \) for \( D^+ \) and \( D_s^+ \) decays, respectively. The background distributions are extracted from the \( D_s^{(*)} \rightarrow K^0 S^0 \pi^+ \pi^- \) sidebands.

We validate the method by using the generic MC sample. We find that the fit results for \( A_T \) and \( \bar{A}_T \) and the computed value of \( A_T \) are in good agreement with those in the simulation, both for \( D^+ \) and \( D_s^+ \).

All event selection criteria are determined before the final fit in order to avoid any potential bias. The true central values of \( A_T \) and \( \bar{A}_T \) are masked by adding unknown random offsets.

After removing the offsets, we measure the following asymmetries:

\[
A_T(D^+) = (+11.2 \pm 14.1_{\text{stat}} \pm 5.7_{\text{syst}}) \times 10^{-3},
\]

\[
\bar{A}_T(D^+) = (+35.1 \pm 14.3_{\text{stat}} \pm 7.2_{\text{syst}}) \times 10^{-3}, \tag{8}
\]

and

\[
A_T(D_s^+) = (-99.2 \pm 10.7_{\text{stat}} \pm 8.3_{\text{syst}}) \times 10^{-3},
\]

\[
\bar{A}_T(D_s^+) = (-72.1 \pm 10.9_{\text{stat}} \pm 10.7_{\text{syst}}) \times 10^{-3}. \tag{9}
\]

We observe values of \( A_T \) and \( \bar{A}_T \) which differ significantly from zero only for \( D_s^+ \) decay. This may indicate the presence of final-state-interaction effects for this decay process, perhaps as a result of the slightly different resonant substructure between \( D^+ \) and \( D_s^+ \) decay. For example, the \( K^{*0} K^+ \) final state can contribute only to \( D_s^+ \) through a doubly Cabibbo-suppressed decay process. In the case of \( D^+ \) decay we find \( A_T \) and \( \bar{A}_T \) to be consistent with zero, in contrast with the results of a similar
analysis performed on the corresponding $D^0$ decay sample [3]:

$$A_T(D^0) = (-68.5 \pm 7.3_{\text{stat}} \pm 5.8_{\text{syst}}) \times 10^{-3},$$

$$A_T(\overline{D}^0) = (-70.5 \pm 7.3_{\text{stat}} \pm 3.9_{\text{syst}}) \times 10^{-3}.$$  \hspace{1cm} (10)

The fit results for the four data samples are shown in Figs. 3 and 4. Using Eq. (10) we obtain the $T$ violation parameter values:

$$A_T(D^+) = (-12.0 \pm 10.0_{\text{stat}} \pm 4.6_{\text{syst}}) \times 10^{-3}$$  \hspace{1cm} (11)

and

$$A_T(D^+_s) = (-13.6 \pm 7.7_{\text{stat}} \pm 3.4_{\text{syst}}) \times 10^{-3}.$$  \hspace{1cm} (12)

For comparison, the value obtained for $D^0$ decay was [3]

$$A_T(D^0) = (+1.0 \pm 5.1_{\text{stat}} \pm 4.4_{\text{syst}}) \times 10^{-3}.$$  \hspace{1cm} (13)

The sources of systematic uncertainty considered in this analysis are listed in Table I and were derived as follows:

1. We checked for possible asymmetries resulting from the detector response using large statistics signal MC samples in which the $D^+_s$ decays uniformly over phase space. These events are then weighted according to the resonant structures observed in the data (the resonances that contribute most are $\rho^0 \to \pi^+\pi^-$, $K^{*0} \to K^+\pi^-$, and $K^{+}\to K^{0}_S\pi^-)$.

Small variations with respect to the generated values are included in the evaluation of the systematic uncertainties. Using the same samples, we studied the effect of the forward-backward asymmetry caused by the interference between the electromagnetic current amplitude $e^+e^-\to \gamma^* \to c\bar{c}$ and the weak neutral current amplitude $e^+e^-\to Z^0 \to c\bar{c}$. This interference results in a $D^+_s(s)/D^+_s(s)$ production asymmetry that varies linearly with the cosine of the quark production angle $\theta^*$, with respect to the $e^-$ direction. Since the BABAR detector is asymmetric, the final $D^+_s(s)$ and $D^+_s(s)$ yields are not equal. To include this asymmetry in the MC samples, we weighted them for the $\cos\theta^*$ dependence measured in a previous analysis [4]. This study showed that the forward-backward asymmetry does not affect our measurements.

2. We modified the likelihood-ratio selection criteria, and considered the observed deviations from the central parameter values as sources of systematic uncertainty.

3. In order to check for final state radiation effects, we modified the fitting model by allowing the second Gaussian which describes the signal to have a free mean value. The background description was also modified by using higher order polynomials.

4. The particle identification algorithms used to iden-
identify kaons and pions were modified to more stringent or looser conditions in different combinations.

In the evaluation of the systematic uncertainty for each category, we keep the largest deviation from the reference value, and assume that the uncertainty is symmetric. It should be noted that the systematic uncertainty on $A_T$ is not evaluated as the sum in quadrature of the errors on $A_T$ and $\Delta T$. Instead, it is evaluated directly from the deviation of $A_T$ resulting from the fits. This is why the error from the likelihood ratio or from particle identification is much smaller for $A_T$ than would be expected from the uncertainties on $A_T$ and $\Delta T$.

In conclusion, we have searched for CP violation using $T$-odd correlations in high statistics samples of Cabibbo-suppressed $D^+ \to K^+K_S^0\pi^+\pi^-$ and Cabibbo-favored $D_s^+ \to K^+K_S^0\pi^+\pi^-$ decays. We obtained $T$-violating asymmetries consistent with zero for both $D^+$ and $D_s^+$ decays with sensitivities of $\approx 1.0\%$ and $\approx 0.8\%$, respectively. We found that possible final-state-interaction effects in the $K^+K_S^0\pi^+\pi^-$ final state are larger for $D_s^+$ decay than for $D^+$ decay.

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* Now at Temple University, Philadelphia, Pennsylvania 19122, USA
† Also with Università di Perugia, Dipartimento di Fisica, Perugia, Italy

### Table I: Systematic uncertainty evaluation for $A_T$, $A_T$ and $\Delta T$ in units of $10^{-3}$ for $D^+ \to K^+K_S^0\pi^+\pi^-$ and $D_s^+ \to K^+K_S^0\pi^+\pi^-$.

| Effect               | $A_T (D^+)$ | $A_T (D^+)$ | $\Delta T (D^-)$ | $A_T (D_s^+)$ | $A_T (D_s^+)$ | $\Delta T (D_s^+)$ |
|----------------------|-------------|-------------|------------------|---------------|---------------|-------------------|
| (1) Reconstruction   | 2.1         | 2.8         | 1.3              | 0.7           | 1.0           | 1.3               |
| (2) Likelihood ratio | 1.1         | 3.4         | 5.6              | 2.5           | 7.8           | 8.2               |
| (3) Fit model        | 1.3         | 1.1         | 1.5              | 0.1           | 0.8           | 0.7               |
| (4) Particle ident.  | 3.7         | 3.3         | 4.1              | 2.2           | 2.5           | 6.7               |
| Total                | 4.6         | 5.7         | 7.2              | 3.4           | 8.3           | 10.7              |

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