Indirect Measurements of n- and p-Induced Reactions of Astrophysical Interest on Oxygen Isotopes

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Observations of abundances and isotopic ratio determinations in stars yield powerful constraints on stellar models. In particular, the oxygen isotopic ratios are of particular interest because they are affected not only by nucleosynthesis but also by mixing processes, which are not very well-understood yet. This review is focused on the measurements via the Trojan Horse Method (THM) that have been carried out to investigate the low-energy cross sections of proton and neutron-induced reactions on $^{17}$O as well as the proton-induced reaction on $^{18}$O, overcoming extrapolation procedures and enhancement effects due to electron screening. The $(p,\alpha)$ reactions induced on these oxygen isotopes are of paramount importance for the nucleosynthesis in a number of stellar sites, including red giants (RGs), asymptotic giant branch (AGB) stars, massive stars, and classical novae. In detail, the indirect measurement of the low-energy region of $^{17}$O$(p,\alpha)^{14}$N was performed. The strength of the narrow resonance at 65 keV was evaluated, and it was used to renormalize the corresponding resonance strength in the $^{17}$O+p radiative capture channel. The reaction rate was then evaluated for both the $^{17}$O$(p,\alpha)^{14}$N and the $^{17}$O$(p,\gamma)^{18}$F reactions, and significant differences of 30 and 20% with respect the literature data were found, respectively, in the temperature range relevant for RG, AGB, and massive stars nucleosynthesis. Regarding the $^{18}$O$(p,\alpha)^{15}$N reaction, the strength of the 20 keV resonance was extracted, which is the main contribution to the reaction rate for astrophysical applications. This approach has allowed us to improve the data accuracy of a factor 8.5, as it is based on the measured strength instead of educated guesses or spectroscopic measurements. Finally, the $^{17}$O$(n,\alpha)^{14}$C reaction was studied because of its role during the s-process nucleosynthesis as a possible neutron poison reaction. This study represents the extension of THM to resonant neutron-induced reactions. In this measurement, the subthreshold level centered at $-7$ keV in the center-of-mass system, corresponding to the 8.039 MeV $^{18}$O excited level, was observed. Moreover, the THM measurements showed a clear agreement with the
available direct measurements and the additional contribution of the 8.121 MeV $^{18}$O level, strongly suppressed in direct measurements because of its I = 3 angular momentum. The contributions of those levels to the total reaction rate were than evaluated for future astrophysical applications.

**Keywords:** nuclear reactions, nucleosynthesis, abundances, stars: abundances, direct reactions

### 1. INTRODUCTION

In recent years, the Trojan Horse Method (THM) has been used to investigate the low-energy cross sections of proton-induced reactions on $A = 17$ and $A = 18$ oxygen isotopes, overcoming extrapolation procedures and enhancement effects due to electron screening. The $(p, \alpha)$ reactions induced on these oxygen isotopes are, indeed, related to various open questions in astrophysics: the relative abundances of the oxygen isotopes have been observed at the surface of some Red Giant (RG) stars (Dearborn, 1992) and hundreds of presolar grains found in meteorites are composed of oxides with laboratory measured isotopic compositions (Zinner, 2014).

In particular, the $^{17}$O+$p$ reactions are of paramount importance for the nucleosynthesis in a number of stellar sites, including RGs, asymptotic giant branch (AGB) stars, massive stars, and classical novae. The $^{17}$O$(p, \alpha)^{14}$N and $^{17}$O$(p, \gamma)^{18}$F reactions govern the destruction of $^{17}$O and the formation of the short-lived radio-isotope $^{18}$F, which is of special interest for gamma ray astronomy (Hernanz et al., 1999; José and Hernanz, 2007). At temperatures typical of the above-mentioned astrophysical scenario, $T = 0.01–0.1$ GK for RGs, AGB, and massive stars and $T = 0.1–0.4$ GK for classical nova explosions, the $^{17}$O$(p, \alpha)^{14}$N cross section is expected to be dominated by a resonance at $E_{cm} = 65$ keV, corresponding to the $E_X(J^T) = 5.673$ MeV ($1^-$) level in $^{18}$F. A sub-threshold level at $E_X(J^T) = 5.605$ MeV ($1^-$) could also play a significant role in the reaction rate both through its high-energy tail and because of possible interference effects with the 5.673 MeV level. Other states that could be involved in the stellar reaction rates are the $E_X(J^T) = 5.603$ MeV ($1^+$) level, bound only by 3 keV against proton decay, and the $E_X(J^T) = 5.786$ MeV ($2^-$) level for high-temperature processes, corresponding to the $E_{cm} = 183$ keV resonance in the $^{17}$O$(p, \alpha)^{14}$N and $^{17}$O$(p, \gamma)^{18}$N reactions (Hernanz et al., 1999; José and Hernanz, 2007).

Regarding the $^{18}$O$(p, \alpha)^{15}$N reaction, it is of primary importance to pin down the uncertainties affecting present-day models of AGB stars that are the observationally confirmed astrophysical scenarios for fluorine nucleosynthesis. The $^{18}$O$(p, \alpha)^{15}$N is the main $^{15}$N production channel, which is then burnt to $^{19}$F by means of the $^{15}$N$(\alpha, \gamma)^{19}$F radiative capture during thermal pulses that arise in the $^4$He-rich intershell region of AGB stars at temperatures of the order of $10^8$ K. However, the observed abundances of $^{19}$F (Jorissen et al., 1992) are in clear discrepancy with the theoretical predictions because the largest $^{19}$F abundances cannot be justified for the typical $^{12}$C/$^{16}$O values (Lugaro et al., 2004). Nine resonances are present, at least, in the $^{18}$O$(p, \alpha)^{15}$N cross section in the 0–1 MeV energy range, which is the most relevant to astrophysics. However, only a few of them, those at 20, 144, and at 656 keV strongly influences the reaction rate (Angulo et al., 1999). Though these resonances have been directly studied by many authors (Mak et al., 1978; Lorenz-Wirzba et al., 1979) and have been subject of spectroscopic investigations (Yagi et al., 1962; Schmidt and Dühm, 1970; Wiescher et al., 1980; Champagne and Pitt, 1986), the reaction rate for the $^{18}$O$(p, \alpha)^{15}$N reaction is still affected a sizeable uncertainty (Angulo et al., 1999) at astrophysical energies. This is especially true for the 20 keV resonance, whose strength is known from spectroscopic studies carried out using the transfer reaction $^{18}$O$(^3$He,$d)^{19}$F (Champagne and Pitt, 1986) and the radiative capture reaction $^{18}$O$(p, \gamma)^{19}$F (Wiescher et al., 1980). Because of the experimental and optical potentials uncertainties, the deduced reaction rate is affected by unpredictable uncertainties, owing to the model dependence affecting the spectroscopic factors. Also, the error on the resonance energy is exponentially contributing to the uncertainty on the reaction rate, making the investigation of the 20 keV resonance of high importance (Champagne and Pitt, 1986). Regarding the other influential resonances, the one at 143.5 keV is fairly well-established (Lorenz-Wirzba et al., 1979). The broad resonance at 656 keV, which strongly contributes both at low and high temperatures, has, however, a total width that is not as well known (La Cognata et al., 2008b), and, consequently, a poorly known corresponding contribution to the reaction rate.

Finally, in the case of the $^{17}$O$(n, \alpha)^{14}$C reaction, its importance is two-fold, first in nuclear reactors and second in many astrophysical scenarios. With regards to the nuclear reactor, the neutron-induced reactions on $^{14}$N or $^{17}$O are the dominant sources of the radioactive isotope $^{14}$C ($T_{1/2} = 5730$ yr), thus constituting a dangerous possible contaminant for life, because it is carcinogenic (Yim and Caron, 2006). In massive stars (M$\geq$8M$_\odot$), it is considered as a neutron poison for the weak component of the s-process since it may reduce the total neutron flux (Käppeler et al., 2011). On the other hand, $^{17}$O can experience also the $(\alpha, n)$ reaction, thus re-injecting the captured neutrons into the nucleosynthesis path. Therefore, it is of key importance to fix the $(\alpha, n)$ to $(n, \alpha)$ cross section ratios to determine the net neutron flux for the s-process. Direct measurements of $^{17}$O$(n, \alpha)^{14}$C reaction cross section have shown the population of the two excited states at energies 8,213 and 8,282 keV and the influence of the sub-threshold level at 8,038 keV, while no evidence for the 8,125 keV level is present. Indeed, as this resonance is populated in the f-wave, its contribution is suppressed by the centrifugal barrier penetrability (Ajzenberg-Selove, 1987).
In this paper we report on the indirect studies of the $^{17}\text{O}(p,\alpha)^{14}\text{N}$, $^{18}\text{O}(p,\alpha)^{15}\text{N}$, and $^{17}\text{O}(n,\alpha)^{14}\text{C}$ reactions via the Trojan Horse method by applying the approach developed for extracting the strength of narrow resonances at ultra-low energies. Moreover, the strength of the 65 keV resonance in the $^{17}\text{O}(p,\alpha)^{14}\text{N}$ reaction, measured by means of the THM, is used to renormalize the corresponding resonance strength in the $^{17}\text{O}+\text{p}$ radiative capture channel and in the $^{17}\text{O}(p,\gamma)^{18}\text{F}$ reaction-rate evaluation.

2. THE TROJAN HORSE METHOD

The THM is an indirect technique for determining bare nucleus cross sections of astrophysical interest. It allows the measurement of a binary reaction $a + x \rightarrow c + d$ of astrophysical interest for which direct measurements require the use of the extrapolation procedure to reach the relevant Gamow peak energy region (Spitaleri et al., 2011, 2016, 2019; Tribble et al., 2014) and references therein. In the last decades, the THM gave a relevant contribution to solve several problems, varying from pure nuclear physics to nuclear astrophysics. In recent past, an extension of the THM pointed out the ability of the method to overcome also the centrifugal barrier, measuring the bare nuclear cross section in neutron induced reactions (Gulino et al., 2010, 2013; Guardo et al., 2017, 2019). In addition, a brand new application of the method demonstrated the possibility to measure astrophysical relevant reaction cross section involving radioactive ion beams (Cherubini et al., 2015; Pizzone et al., 2016; La Cognata et al., 2017), with clear consequences in shedding light on research of neutron-induced reaction with unstable beams, usually tricky to perform with direct experiments (Lamia et al., 2019).

The direct reaction mechanism approach is the basic theory of the THM, having its background in the research of the Quasi Free (QF) reaction mechanism (Baur, 1986; Zadro et al., 1989; Calvi et al., 1990). Essentially, in this kind of direct reactions, an impinging nucleus may cause during the interaction a break-up of the target (or likewise of the projectile). A schematic description of the THM is reported in Figure 1, where choosing an opportune reaction $a + A \rightarrow c + d + s$ (with the target $A$ characterized by a strong $x \oplus s$ cluster configuration) induced at energies well above the Coulomb barrier the selection of the QF contribution grants to measure the relevant $a(x, c)\pi$ two-body reaction cross section (Shapiro, 1967). The appropriate adopted energy allows to avoid Coulomb barrier, centrifugal barrier, and electron screening effects in the TH yield of the $a + x \rightarrow c + d$ reaction. A precise selection of peculiar kinematical conditions ensures that the QF process represents the dominant process, while other ones, such as re-scattering among the emerging products, can be neglected (Shapiro, 1967). In this framework (called pole approximation), the $s$-cluster of the nucleus $A$ does not take part on the $a + x \rightarrow c + d$ virtual reaction, where the projectile $a$ interacts only with the $x$-cluster (participant).

The prescriptions of the impulse approximation (IA) establish that the $a + A \rightarrow c + d + s$ reaction cross section is related to the $a + x \rightarrow c + d$ two-body one (Chew, 1952) and in particular, applying the simple Plane Wave Impulse Approximation (PWIA), it can be written as (Spitaleri et al., 2011):

$$\frac{d^3\sigma}{d\Omega_{c}d\Omega_{d}dE_{a}} \propto KF \cdot |\phi(x)|^2 \cdot \left(\frac{d\sigma}{d\Omega}\right)_{\text{HOES}}$$

(1)

where:

- $KF$ is a kinematical factor containing the final state phase-space factor, and it is a function of the masses, momenta, and emission angles of the two detected particles $c$ and $d$;
- $\phi(x)$ is the Fourier transform of the radial wave function $\chi(\vec{r}_x)$ of the $x - s$ inter-cluster motion;
- $\left(\frac{d\sigma}{d\Omega}\right)_{\text{HOES}}$ represents the half-off-energy-shell (HOES) differential cross section for the $a(x,c)d$ reaction, referred to energy in the center-of-mass system $E_{cm}$. The latter can be expressed following the post-collision prescription by the relation

$$E_{cm} = E_{cid} - Q_{2b}$$

(2)

where $Q_{2b}$ is the two-body reaction $Q$-value and $E_{cid}$ indicates the $c$ and $d$ nuclei relative energy.

When the lower vertex of Figure 1 proceeds via the $a + x \rightarrow F_1 \rightarrow c + d$ process showing the population of the $i^{th}$ resonant state of the $F$ compound nucleus, the $a + A$ reaction can be described using a two steps approach: the stripping $a + A \rightarrow s + F$ to a resonant state in the compound system $F_1$, which later decays to the $c + d$ channel (La Cognata et al., 2008a, 2010a; Mukhamedzhanov et al., 2008).

In this case, the TH double differential cross section is composed as reported in Dolinsky et al. (1973), Mukhamedzhanov et al. (2008), and La Cognata et al. (2008a) following the PWIA approach (Dolinsky et al., 1973) for stripping reactions to a resonant state (Dolinsky et al., 1973):

$$\frac{d^2\sigma^{\text{TH}}}{d\Omega_{kd}dE_{cid}} = \frac{1}{2\pi} \left(\frac{\Gamma_{cd}(E_{\text{cd}})}{(E_{\text{cd}} - E_{kd})^2 + \frac{1}{2}\Gamma^2(E_{\text{cd}})}\right) \times \frac{d\sigma(a + A \rightarrow x + F)}{d\Omega_{kd}}$$

(3)
where the differential cross section can be written as:

\[
\frac{d\sigma_{\alpha+A\rightarrow j+l_F}}{d\Omega_{k_F}} = \frac{\mu_{jF}}{4\pi^2} \frac{\mu_{A\alpha}}{\mu_{k_F}} \frac{1}{f_A f_j} \sum_{M_a M_{aA} M_a} |M_{M_a M_{aA} M_A}(k_F, k_{zA})|^2
\]

where \(f_j\) is the spin of the particle \(j\) and \(M_f\) its projection. Finally, the transfer reaction amplitude \(M_i\) results as

\[
M_i = \Phi(p_{zA}) W_{kA}^F(p_{zA}),
\]

where \(\Phi(p_{zA})\) is the Fourier transform of the radial \(x - s\) bound-state wave function, \(p_{zA}\) is the \(x - s\) relative momentum, while

\[
W_{kA}^F(p_{zA}) = < f^F_{kA} | V | p_{zA} >
\]

is the form factor for the \(A + x \rightarrow F_i\) process.

The main advantage in the use of the THM is the presence of the transfer reaction amplitude \(M_i(E)\) instead of the entrance channel partial resonance width \(\Gamma_{\alpha}(E)\). As a consequence, the cross section of the three-body process can be connected to the one for the two-body reaction of interest by evaluating the transfer amplitude \(M_i(E)\).

### 3. THE \(^{17}\text{O}(p,\alpha)^{14}\text{N}\) REACTION

The cross section of the \(^{17}\text{O}(p,\alpha)^{14}\text{N}\) reaction has been the subject of several experimental investigations (Brown, 1962; Rolls and Rodney, 1975; Berka et al., 1977; Kieser et al., 1979; Mak et al., 1980; Landre et al., 1989; Berheide et al., 1992; Blackmon et al., 1995; Angulo et al., 1999; Hannam and Thompson, 1999; Fox et al., 2004, 2005; Chafa et al., 2007; Moazen et al., 2007; Newton et al., 2007; Iliadis et al., 2010; Scott et al., 2012; Di Leva et al., 2014) in the last 50 years, mainly focusing on the 65 and 183 keV resonances showing up in the \(^{17}\text{O}-p\) interaction at astrophysical energies. Although the resonance energy and strength of the 183 keV resonance have been extensively studied by direct measurements, several issues concern the 65 keV and the subthreshold resonances parameters. The direct measurements of low-lying resonance strengths such as of the 65 keV peak are, in fact, very difficult because of the Coulomb barrier. Therefore, large uncertainties are present in the available direct data (see Sergi et al., 2015 for more details). In 2006, we therefore started a campaign of measurements to investigate the contribution of the 65 keV resonance to the reaction rate of the \(^{17}\text{O}(p,\alpha)^{14}\text{N}\) by using the indirect THM. Recently, a new direct measurement (Bruno et al., 2016) of the 65 keV resonance strength performed at the Laboratory for Underground Nuclear Astrophysics (LUNA) accelerator has led to an value \(\omega + \gamma = 10.0 \pm 1.4_{\text{stat}} \pm 0.7_{\text{sys}}\) MeV, a factor of three larger with respect to value obtained by using THM (Sergi et al., 2015). In the following sections we discuss the results of the indirect study of the \(^{17}\text{O}(p,\alpha)^{14}\text{N}\) reaction via the THM by applying the approach recently developed for extracting the strength of narrow resonances at ultralow energies.

### 3.1. The Experiment and the Reaction Channel Selection

The experiment was performed at INFN-LNS in Catania (Italy) by using a 41 MeV \(^{17}\text{O}\) beam that hit a 150 \(\mu\text{g/cm}^2\) \(\text{CD}_2\) target fixed orthogonally with respect to the beam axis. In addition, a detailed data analysis was also made on the \(^{17}\text{O}+\text{H}\) experimental data belonging to the NSL experiment (University of Notre Dame, South Bend, Indiana, USA) for studying the \(^{17}\text{O}+(p,\alpha)^{14}\text{N}\) reaction, although the NSL experiment was initially devoted to the \(^{17}\text{O}(n,\alpha)^{14}\text{C}\) study (described in detail in section five of the present paper). This approach allowed us to improve the statistics of the experimental data. The angles and the energies of the ejected \(\alpha\) and \(^{14}\text{N}\) were detected in coincidence by using an experimental setup, symmetric with respect to the beam axis, which consists of six single-area, resistive-readout position-sensitive silicon detectors (PSDs) with spatial resolution of 0.5 mm. Since the neutron was not detected in these experiments, and its energy and emission angle were reconstructed from the momenta of the detected particles. The PSDs covered the angular ranges (in the laboratory reference system) 5.1° – 10.0° (PSD1,4), 13.8° – 21.2° (PSD2,5) and 21.3° – 28.7° (PSD3,6) in the LNS experiment, and 5.0° – 10.0° (PSD1,4), 13.1° – 18.1° (PSD2,5), and 23.8° – 28.8° (PSD3,6), in the NSL experiment (Figure 2). The forward one (PSD 1) was optimized for \(^{14}\text{N}\) detection, PSDs 2 and 3 were optimized instead for \(\alpha\)-particles detection. The other three PSDs (4, 5, and 6) were placed on the opposite side with respect to the beam axis, at symmetrical angles. Two ionization chambers were used as \(\Delta E\) detectors to discriminate nitrogen from carbon ions, thus allowing for the distinction between the \(^{2}\text{H}(^{17}\text{O},\alpha)^{14}\text{N})\) and \(^{3}\text{H}(^{17}\text{O},\alpha)^{14}\text{C})\) channels. After detectors calibration, the first step of the analysis was the identification of the events corresponding to the \(^{2}\text{H}(^{17}\text{O},\alpha)^{14}\text{N})\) TH reaction. In order to identify the channel of interest and to choose the kinematical conditions where the QF process is dominant, \(^{14}\text{N}\) particles were selected using the standard \(\Delta E-E\) technique. For these selected events, the experimental Q-value spectrum was reconstructed (Figure 3) and it results in good agreement with the theoretical one (\(Q_{\text{th}} = -1.033\) MeV). The agreement, within the experimental uncertainties, is a signature of a good detector calibration. Events inside the experimental Q-value peak were selected for further analysis.

### 3.2. Reaction Mechanism Selection

After the three-body reaction selection, the identification of the different reaction mechanisms is a crucial step in the data analysis. In fact, the application of THM are possible only under the assumption that particle \(s\), namely the neutron, acts as a spectator to the \(A - x\) interaction (QF-condition). A standard way to investigate the reaction mechanisms is the study of the experimental momentum distribution, this quantity being very sensitive to the reaction mechanism. By selecting a narrow \(E_{\text{cm}}\) relative energy window, \(\Delta E = 100\) keV, at the top of the 183 keV resonant peak where the differential two-body cross section of the \(^{17}\text{O}-p\) reaction can be considered to be almost constant (Spitaleri et al., 2004), the experimental neutron momentum distribution is
obtained in arbitrary units by inverting Equation (1):

$$|\phi(\vec{p}_n)|^2 \propto \left[ \frac{d^3\sigma}{d\Omega_\alpha d\Omega_{14N} dE_{c.m.}} \right] \cdot [KF]^{-1},$$

(7)

Figure 3 shows the experimental momentum distributions $|\phi(\vec{p}_n)|^2$ for the LNS data (solid black line) and for the NSL data (empty circle). The black line corresponds to the square of the Hulthén function in momentum space, representing the shape of the n-p momentum distribution inside the deuteron in the PWIA, while the blue line represents the DWBA distribution evaluated by means of the FRESCO code (Thompson, 1988). In DWBA calculation the optical potential parameters adjusted from the Perey and Perey compilation (Perey and Perey, 1976) were adopted. The good agreement, within $|\vec{p}_n| \leq 30$ MeV/c, between the two theoretical approaches and the experimental data, means that the QF mechanism is present and dominant in the considered $\vec{p}_n$ range. For this reason the narrower 0–30 MeV/c momentum range was chosen for the next analysis.

3.3. Results: Cross Section and Reaction Rate Evaluation

The resulting $^2\text{H}(^{17}\text{O},^{14}\text{N})n$ reaction cross section is shown in Figure 4 as full dots for both the LNS (Figure 4A) and the NSL experiments (Figure 4B). The vertical error bars include only the statistical error (about 20%) while the horizontal ones the data bin width. The solid line represents the incoherent sum of the three Gaussian functions (dashed lines) used to fit the resonant behavior and of the first-order polynomial (dot-dashed straight line) used to fit the combinatorial background. The fits were performed to extract the parameters describing the resonance top values, the peak values $N_i$ of the two resonances ($N_1$ for...
the 65 keV resonance and $N_2$ for the 183 keV resonance) with their statistical errors. In case of narrow resonance, the $N_i$ peak values are connected to the resonance strengths $(\omega \gamma)_i$ (Rolfs and Rodney, 1988) of the $^{18}$F levels, which are the key parameters to evaluate the reaction rate for astrophysical applications.

\[
(\omega \gamma)_i = \frac{1}{2} \omega_i N_i \frac{\Gamma_{\nu_p}^{(\nu_{p+1})} \sigma_{R_i}(\theta)_{\nu_p}}{\sigma_{R_i}(\theta)_{\nu_{p+1}}},
\]

where $\omega_i = (2I_{\nu I} + 1)/(2I_{\nu I} + 1)(2I_{\nu I} + 1)$ is the statistical factor, $\Gamma_{\nu_p}^{(\nu_{p+1})} \sigma_{R_i}(\theta)$ is the partial widths for the $p + ^{17}O \rightarrow ^{18}F_i$ channel leading to the population of the $i$th excited state in $^{18}$F and $\sigma_{R_i}(\theta)$ the direct transfer reaction cross section for the binary reaction $^{17}O + d \rightarrow ^{18}F_i + n$ populating the $i$th resonant state in $^{18}$F with the resonance energy $E_{R_i}$. Since we did not measure the absolute value of the cross section, the absolute strength of the resonance at 65 keV $(\omega \gamma)_1$ was obtained from the ratio between the $N_1$ and $N_2$ peak values through the relation (La Cognata et al., 2010a; Sergi et al., 2010)

\[
(\omega \gamma)_1 = \frac{\omega_1}{\omega_2} \frac{\sigma_{R_1}(\theta)_1 N_1}{\sigma_{R_1}(\theta)_2 N_2} (\omega \gamma)_2.
\]

where $(\omega \gamma)_2$ is the strength of 183 keV resonance, which is well-known from the literature. In particular, the adopted value for $(\omega \gamma)_2$ is $(1.67 \pm 0.07) \times 10^{-3}$ eV, obtained by the weighted average of the four strength values reported in literature (Chafa et al., 2007; Moazen et al., 2007; Newton et al., 2007; Iliadis et al., 2010). The resulting weighted average for the two measurements $(\omega \gamma)_1^{THM} = (3.42 \pm 0.60) \times 10^{-9}$ eV is in good agreement with the strength given by NACRE, $(\omega \gamma)_N = (5.5_{-1}^{+1} \times 10^{-9}$ eV (Angulo et al., 1999) and with the direct value, $(\omega \gamma)_D = (4.7_{-0.8}^{+0.8}) \times 10^{-9}$ eV calculated by using the same $\Gamma_p$ and $\Gamma_\alpha$ reported in Chafa et al. (2007) and Iliadis et al. (2010), namely, $\Gamma_p = 130$ eV (Mak et al., 1980), and $\Gamma_\alpha = 19_{-3}^{+3}$ keV (Hannam and Thompson, 1999; Fox et al., 2005). The results of the THM measurement and the strengths of the low-energy resonances are summarized in Table 1.

### Table 1: Summary table of the strengths of the low-energy resonances in the $^{17}\text{O}(p, \alpha)^{14}\text{N}$ astrophysical factor.

| Resonance energy (keV) | $\omega \gamma$ (eV) | References |
|------------------------|---------------------|------------|
| 56                     | $1^{-}$             | $8 \times 10^{-9}$ | Brown, 1962 |
| 62                     | $1^{-}$             | $1.3 \times 10^{-9}$ | Rolfs and Rodney, 1975 |
| 66.1 ± 0.3$^a$         | $1^{-}$             | $7.1^{+4.0}_{-2.7} \times 10^{-9}$ | Landre et al., 1989 |
| –                      | $1^{-}$             | $22 \pm 3_{\text{stat}} \pm 5_{\text{syst}} \times 10^{-9}$ | Blackmon et al., 1995 |
| –                      | $1^{-}$             | $6 \times 10^{-10}$ | Berheide et al., 1992 |
| 66.07                  | $1^{-}$             | $(5.5_{-1}^{+1} \times 10^{-9}$ | Angulo et al., 1999 |
| –                      | $1^{-}$             | $21 \pm 2 \times 10^{-9}$ | Hannam and Thompson, 1999 |
| –                      | $1^{-}$             | $(4.7 \pm 0.8) \times 10^{-9}$ | Fox et al., 2004, 2005 |
| –                      | $1^{-}$             | $(19.0 \pm 3.2) \times 10^{-9}$ | Iliadis et al., 2010 |
| –                      | $1^{-}$             | $(3.4 \pm 0.6) \times 10^{-9}$ | Sergi et al., 2015 |
| –                      | $1^{-}$             | $(10.0 \pm 1.4)_{\text{stat}} \times 10^{-9}$ | Bruno et al., 2016 |
| 179$^b$                | $2^{-}$             | $\leq 1.4 \times 10^{-9}$ | Rolfs and Rodney, 1975 |
| 180 ± 2.4              | $2^{-}$             | $2.8 \times 10^{-9}$ | Landre et al., 1989 |
| 180                    | $2^{-}$             | $2.8 \times 10^{-9}$ | Angulo et al., 1999 |
| 183.3 ± 0.3            | $2^{-}$             | $(1.5 \pm 0.2) \times 10^{-9}$ | Chafa et al., 2007$^c$ |
| –                      | $2^{-}$             | $(1.66 \pm 0.17) \times 10^{-9}$ | Newton et al., 2007$^c$ |
| –                      | $2^{-}$             | $(1.70 \pm 0.15) \times 10^{-9}$ | Moazen et al., 2007$^c$ |
| –                      | $2^{-}$             | $(4.00 \pm 0.24) \times 10^{-9}$ | Iliadis et al., 2010$^c$ |

*For these references the partial widths $\Gamma_p$ are reported as the corresponding resonance strength values are not available in the literature.
**The weighted average of these four resonance strengths was used for normalization of the THM results, as discussed in Sergi et al. (2015).
$^a$Berka et al. (1977).
$^b$Ajamberg-Selove (1987).
$^c$Landre et al. (1989).
of the transfer cross sections for two nearby resonances. A more detailed discussion can be found in La Cognata et al. (2010a).

In the calculation of the $^{17}$O(p,$\alpha$)$^{14}$N reaction rate, we followed the method based on Monte Carlo technique adopted in Iliadis et al. (2010). The interference effects between the 65 and the $\sim$1.64 keV and between the 183 and 1,202 keV were also evaluated, resulting smaller than 1% in the temperature range between 0.02 and 0.07 GK, where the $^{17}$O(p,$\alpha$)$^{14}$N reaction rate is dominated by the 65 keV resonance. Thus, the total reaction rate $N_A \langle \sigma \nu \rangle_{THM}^{tot}$ was calculated using the following equation:

$$N_A \langle \sigma \nu \rangle_{THM}^{tot} = N_A \langle \sigma \nu \rangle_{65}^{Iliadis} - N_A \langle \sigma \nu \rangle_{65}^{Iliadis} + N_A \langle \sigma \nu \rangle_{18}^{THM},$$

where $N_A \langle \sigma \nu \rangle_{65}^{Iliadis}$ is the total reaction rate calculated in Iliadis et al. (2010).

Figure 5 (left panel) shows the ratio (red middle line) between the reaction rate $R_{\text{Iliadis}}$ (Iliadis et al., 2010). The red area of Figure 5, instead, marks the reaction-rate interval allowed by the experimental uncertainties on the 65 keV resonance strength only. The blue area is used to display the uncertainty range characterizing direct data (DiLeva et al., 2014). A significant variation ($\sim$30%) can be seen in the range $T_9 = 0.02 - 0.07$, while no significant differences are present at higher temperatures.

The definition of the resonance strength (Equation 9) allows us to calculate a new value for the strength of the $\Gamma_{\nu}$ resonance at about 106 keV reaching about 1.6 $\mu$eV, with respect to existing measurements (Lorenz-Wirzba et al., 1979; La Cognata et al., 2008a, 2010a). Their R-matrix analysis also seems to suggest the occurrence of a previously unaccounted, very broad resonance at about 106 keV ($\Gamma \sim$ 86 keV). As a result, the reaction rate is enhanced up to a factor of about 2 at about

4. THE $^{18}$O(p,$\alpha$)$^{15}$N REACTION

As already widely discussed in section 1, even if the cross section of the $^{18}$O(p,$\alpha$)$^{15}$N reaction has been the subject of several experimental investigations in the past, several issues concern the 20 keV resonance. Recently, the work of Bruno et al. (2019) supplied a new direct measurement of the $^{18}$O(p,$\gamma$)$^{15}$N cross section. The authors used the thick target technique to come close to the energy region of astrophysical interest and perform the spectroscopy of $^{18}$F. Though the 20 keV state could not be observed, the authors reported a factor of 10 larger strength for the 90 keV resonance reaching about 1.6 $\mu$eV, with respect to existing measurements (Lorenz-Wirzba et al., 1979; La Cognata et al., 2008a, 2010a). Their R-matrix analysis also seems to suggest the occurrence of a previously unaccounted, very broad resonance at about 106 keV ($\Gamma \sim$ 86 keV). As a result, the reaction rate is enhanced up to a factor of about 2 at about
TABLE 2 | Rate of the $^{17}$O$(p,\alpha)^{15}$N reaction.

| Temperature (10^3 K) | Lower     | Adopted  | Upper   |
|----------------------|-----------|----------|---------|
|                      | Rate THM (cm^3 mol^{-1} s^{-1}) |
| 0.010                | 5.05 10^{-25} | 5.89 10^{-25} | 6.99 10^{-25} |
| 0.011                | 5.35 10^{-24} | 6.21 10^{-24} | 7.28 10^{-24} |
| 0.012                | 4.37 10^{-23} | 5.04 10^{-23} | 5.85 10^{-23} |
| 0.013                | 2.89 10^{-22} | 3.30 10^{-22} | 3.82 10^{-22} |
| 0.014                | 1.60 10^{-21} | 1.82 10^{-21} | 2.09 10^{-21} |
| 0.015                | 7.67 10^{-21} | 8.73 10^{-21} | 9.98 10^{-21} |
| 0.016                | 3.29 10^{-20} | 3.73 10^{-20} | 4.26 10^{-20} |
| 0.018                | 5.40 10^{-19} | 6.25 10^{-19} | 7.28 10^{-19} |
| 0.020                | 1.06 10^{-17} | 1.25 10^{-17} | 1.48 10^{-17} |
| 0.025                | 9.23 10^{-16} | 1.09 10^{-14} | 1.28 10^{-14} |
| 0.030                | 1.05 10^{-12} | 1.24 10^{-12} | 1.48 10^{-12} |
| 0.040                | 3.72 10^{-10} | 4.37 10^{-10} | 5.03 10^{-10} |
| 0.050                | 1.15 10^{-8} | 1.36 10^{-8} | 1.61 10^{-8} |
| 0.060                | 1.09 10^{-7} | 1.28 10^{-7} | 1.51 10^{-7} |
| 0.070                | 5.25 10^{-7} | 6.25 10^{-7} | 7.40 10^{-7} |
| 0.080                | 1.65 10^{-6} | 1.96 10^{-6} | 2.36 10^{-6} |
| 0.090                | 4.14 10^{-6} | 5.17 10^{-6} | 6.13 10^{-6} |
| 0.100                | 1.27 10^{-5} | 1.42 10^{-5} | 1.63 10^{-5} |
| 0.110                | 4.33 10^{-5} | 4.62 10^{-5} | 4.99 10^{-5} |
| 0.120                | 1.49 10^{-4} | 1.59 10^{-4} | 1.67 10^{-4} |
| 0.130                | 4.72 10^{-4} | 4.97 10^{-4} | 5.24 10^{-4} |
| 0.140                | 1.30 10^{-3} | 1.38 10^{-3} | 1.46 10^{-3} |
| 0.150                | 3.17 10^{-3} | 3.35 10^{-3} | 3.55 10^{-3} |
| 0.160                | 6.88 10^{-3} | 7.32 10^{-3} | 7.76 10^{-3} |
| 0.180                | 2.52 10^{-2} | 2.67 10^{-2} | 2.83 10^{-2} |
| 0.200                | 7.00 10^{-2} | 7.42 10^{-2} | 7.88 10^{-2} |
| 0.250                | 4.29 10^{-1} | 4.54 10^{-1} | 4.82 10^{-1} |
| 0.300                | 1.59 | 1.68 | 1.77 |
| 0.350                | 5.87 | 6.28 | 6.74 |
| 0.400                | 2.33 10^{1} | 2.55 10^{1} | 2.82 10^{1} |
| 0.450                | 8.24 10^{1} | 9.13 10^{1} | 1.02 10^{2} |
| 0.500                | 2.41 10^{2} | 2.68 10^{2} | 3.00 10^{2} |
| 0.600                | 1.26 10^{3} | 1.40 10^{3} | 1.56 10^{3} |
| 0.700                | 4.15 10^{3} | 4.58 10^{3} | 5.08 10^{3} |
| 0.800                | 1.03 10^{4} | 1.12 10^{4} | 1.24 10^{4} |
| 0.900                | 2.09 10^{4} | 2.27 10^{4} | 2.49 10^{4} |
| 1.000                | 3.74 10^{4} | 4.03 10^{4} | 4.38 10^{4} |
| 1.250                | 1.12 10^{5} | 1.19 10^{5} | 1.28 10^{5} |
| 1.500                | 2.51 10^{5} | 2.64 10^{5} | 2.80 10^{5} |
| 1.750                | 4.76 10^{5} | 4.99 10^{5} | 5.24 10^{5} |
| 2.000                | 8.03 10^{5} | 8.39 10^{5} | 8.76 10^{5} |

50 MK, with respect to the one in Iliadis et al. (2010). This makes astrophysical predictions far from conclusive and calls for alternative investigations aiming at reducing uncertainties on nuclear physics inputs. With this in mind, the THM offers the opportunity of an independent investigation right at astrophysical energies, devoid of the need of extrapolation. In detail, the cross section of the $^{18}$O$(p,\alpha)^{15}$N reaction is deduced from the $^2$H$(^{18}$O,$\alpha)^{15}$N three-body process, performed in QF kinematics. The beam energy is chosen larger than the Coulomb barrier for the $d + ^{18}$O system, so the break-up of the Trojan-horse nucleus, $d$, takes place inside the $^{18}$O nuclear field. So the $^{18}$O$(p,\alpha)^{15}$N HOES process is not suppressed by the Coulomb interaction, and no electron screening is enhancing the astrophysical factor.

4.1. Experimental Investigation

The experiment was performed at Laboratori Nazionali del Sud, Catania (Italy), where the 15 MV Tandem Van de Graaff
accelerator delivered a \( \phi = 1 \) mm, 54 MeV \(^{18}\)O beam, with an average intensity of 5 nA. The experiment target was a 100-\( \mu \)g/cm\(^2\)-thick self-supported deuterated polyethylene foil (CD\(_2\)). The detection setup consisted of a telescope (A), to single out nitrogen isotopes, made up of an ionization chamber and a silicon position sensitive detector (PSD A). On the opposite side with respect to the beam direction, we placed three additional silicon PSD’s (B, C, and D) optimized to detect \( \alpha \) particles from the \(^2\)H\((^{18}\)O,\(^{15}\)N)\(n\) reaction. The experimental setup is described in La Cognata et al. (2008a); here we underscore that the detector angles were chosen to span the whole QF angular region. After detector calibration, we carried out the reaction channel selection, to pick up the three-body reaction of interest among the several reactions which can take place in the target. Since no particle identification was performed in PSD’s B, C, and D and no isotope discrimination was possible in telescope A, the reaction channel selection was performed from the kinematics of the events. Indeed, in a reaction with three particles in the exit channel the events pile up in a well-defined kinematical regions, fixed by the \( Q \)-value of the \(^2\)H\((^{18}\)O,\(^{15}\)N)\(n\) reaction. A clear event selection was performed by means of the procedure described in Costanzo et al. (1990), after gating on the \( \Delta E - E \) 2D spectra to select the nitrogen locus. The deduced kinematic locus of the \(^2\)H\((^{18}\)O,\(^{15}\)N)\(n\) reaction was extracted and compared with the one simulated using a Monte Carlo simulation, showing no additional contribution besides the one of the \(^2\)H\((^{18}\)O,\(^{15}\)N)\(n\).

Next step was the selection of the QF process. Indeed, direct break-up (DBU) or sequential decay (SD) may populate the reaction channel, besides the QF break up. This was accomplished by studying the \( E_{\text{HN} - n} \) and the \( E_{\alpha - n} \) relative energy spectra, to deduce whether excited states from \(^{16}\)N\(^*\) and \(^{5}\)He\(^*\) contribute to the reaction yield. However, states in \(^{19}\)F\(^*\) could be fed through QF and SD reaction mechanisms, and the experimental neutron momentum distribution was therefore also evaluated. Indeed, a necessary condition for the occurrence of QF break-up is that the ejected neutron keeps the same momentum distribution as inside \( d \), given by the squared Hulthén wave function in momentum space (Lamia et al., 2012). The inspection of the relative energy spectra and of the experimental momentum distribution, as extensively discussed in La Cognata et al. (2010a,c), shows that the QF reaction mechanism is present and dominant in the 0–50 MeV/c neutron momentum range and that the SD of \(^{5}\)He, \(^{16}\)N, and \(^{19}\)F excited states is negligible in the energy range of astrophysical interest. Additionally, distortions due to the Coulomb interaction in the entrance channel, for instance, turned out to be negligible as in La Cognata et al. (2010b).

Gating on the 0–50 MeV/c neutron momentum range, we extracted the three-body cross section and integrated it over the whole \(^{18}\)O\((\rho, \alpha)^{15}\)N c.m. angular range (see La Cognata et al., 2010a,c for details). In this way, we deduced the \(^2\)H\((^{18}\)O,\(^{15}\)N)\(n\) reaction cross section given in Figure 6. Horizontal error bars in the figure represent the integration bin while the vertical ones stand for statistical uncertainty. The dashed, dotted, and dot-dashed lines in the figure are Gaussian fitting of the resonances at \( E_{R1} = 19.5 \pm 1.1 \) keV, \( E_{R2} = 96.6 \pm 2.2 \) keV, and \( E_{R3} = 145.5 \pm 0.6 \) keV, in good agreement with the values reported in the literature (Angulo et al., 1999). The solid line is the sum of three Gaussian functions while the straight line is used to fit the non-resonant behavior. The fitting also allowed us to deduce the peak value of each resonance:

\[
N_1 = 138 \pm 8, \quad N_2 = 82 \pm 9, \quad N_3 = 347 \pm 8 \quad (\text{arbitrary units}),
\]

which were used to derive the resonance strengths using the formula:

\[
\langle \omega \gamma \rangle_i = \frac{2 \Gamma_{\text{TH}} + 1}{(2J_{\text{TH}} + 1)(2J_{\rho} + 1)} \frac{\Gamma_{\alpha}^{(\rho^{18}\text{O})} \Gamma_{\alpha}^{(\rho^{15}\text{N})}}{\Gamma_i}, \quad (12)
\]

through the equation (La Cognata et al., 2010a):

\[
N_i = \frac{\Gamma_{\alpha}^{(\rho^{18}\text{O})} M_i^2(E_{R_i})}{\Gamma_i^2(E_{R_i})}, \quad (13)
\]

where \( \Gamma_{\alpha}^{(\rho^{15}\text{N})}(E) = \Gamma_{\alpha}(E) \). In fact, the transfer amplitude is proportional to the entrance channel reduced width \( \gamma^{(\rho^{18}\text{O})}_\alpha \), and the \( N_i \) factors thus represent the THM resonance strengths in arbitrary units and devoid of Coulomb suppression, the penetration factor \( P_i(kr) \) does not appearing in Equation (13). Normalization is then achieved by scaling the THM resonance strengths, corrected to include the Coulomb effects, to the strength of a well-known resonance. Indeed, since the proton and alpha partial widths for the ~ 144 keV resonance are well-known (Angulo et al., 1999), we can determine the absolute values of the resonance.
strengths of the 20 and 90 keV resonances from normalization to the strength of the ~20 keV peak, as discussed by La Cognata et al. (2008a). The normalization is accurate also because electron screening gives a negligible contribution close to 144 keV (4% maximum; Assenbaum et al., 1987). Taking \((\omega\gamma)_3\) from Becker et al. (1995), we get \((\omega\gamma)_1 = 8.3^{+3.8}_{-2.6} \times 10^{-19}\) eV, which is well within the confidence range established by NACRE, \(6^{+17}_{-5} \times 10^{-19}\) eV (Angulo et al., 1999), with a much reduced uncertainty, because Angulo et al. (1999) value is based on spectroscopic data while the present result is obtained from experimental ones. The largest contribution to the error is due to the uncertainty on the reaction energy, while statistical and normalization errors sum up to about 9.5%. To cross check the method, we extracted the resonance strength of the 90 keV resonance, which turned out to be \((\omega\gamma)_2 = (1.76 \pm 0.33) \times 10^{-7}\) eV, in good agreement with the Angulo et al. (1999) strength, \((1.6 \pm 0.5) \times 10^{-7}\) eV. The results of the THM measurement and the strengths of the low-energy resonances are summarized in Table 4.

### 4.2. Extraction of the Reaction Rate

By using the narrow resonance approximation (Angulo et al., 1999), which is satisfied for these resonances at ~20, ~90, and ~144 keV, we calculated the reaction rate for the \(^{18}\)O(p,\(\alpha\))\(^{15}\)N reaction using the equation:

\[
N_A (\sigma v)_R = N_A \left( \frac{2 \pi}{\hbar} \right)^{3/2} \frac{\mu}{k_B} \sum_i \langle \omega \gamma_i \rangle T^{-3/2} \exp \left( -\frac{E_{R_i}}{k_B T} \right)
\]

where \(\mu\) is the reduced mass for the projectile-target system, \(T\) is the temperature of the astrophysical site, and the index \(i\) runs over the relevant resonances. The resulting rate \(R_{\text{THM}(p,\alpha)^{15}\text{N}}\) is displayed in Figure 7 as a function of the temperature given in units of \(T_9 = T/10^9\) K. We also obtained the analytic expression of the reaction rate, with an accuracy of about 10%:

\[
R_{\text{THM}(p,\alpha)^{15}\text{N}} = \frac{5.58 \times 10^{11}}{T_9^{3/2}} \exp \left( -\frac{16.732}{T_9^{1/2}} \right) \left( 1 + 3.2 T_9 + 21.8 T_9^2 \right)
\]

\[
+ \frac{1.375 \times 10^{-13}}{T_9^{3/2}} \exp \left( -\frac{0.232}{T_9} \right)
\]

\[
+ \frac{2.58 \times 10^4}{T_9^{3/2}} \exp \left( -\frac{1.665}{T_9} \right)
\]

\[
+ \frac{3.24 \times 10^8}{T_9^{3/2}} \exp \left( -\frac{6.395}{T_9} \right)
\]

The next Figure 8 shows the comparison of the present results with the one in the literature, reported in NACRE compilation (Angulo et al., 1999). Owing to the steep slope of the reaction rate, for sake of comparison the ratio of the THM rate to the NACRE one for the \(^{18}\)O(p,\(\alpha\))\(^{15}\)N is shown. In detail, the full black line is used to show the ratio of the recommended THM reaction rate to the NACRE one, while the dot-dashed and dotted black lines represent the upper and lower limits, respectively, in the same representation. Red lines are instead used, with the same meaning, for the Angulo et al. (1999) reaction rate.
In the low temperature region (below $T_0 = 0.03$, see Figure 8A) the reaction rate was found to be about 35% larger than the one in NACRE, while the uncertainty is considerably reduced by a factor $\approx 8.5$, taking into account the contribution of the 20 keV resonance only. The corresponding temperatures match with those typical of the bottom of the convective envelope, thus an increase of the reaction rate might influence the surface abundances resulting from the cool bottom process (Nollett et al., 2003) in AGB stars. Regarding the 90 keV resonance in the $^{18}\text{O}(p,\alpha)^{15}\text{N}$ reaction, we confirmed that its contribution is negligible since an increase of $<1\%$ was obtained due to the THM measurement, taking as reference the NACRE rate (Figure 8B). Finally, Table 5 contains the THM reaction rate together with the upper and lower limits allowed by experimental uncertainties. In particular, in the inclusion of the uncertainties on the Angulo et al. (1999) reaction rate, it is assumed that the only source of error is coming from the 20 keV resonance.

**5. THE $^{17}\text{O}(n,\alpha)^{14}\text{C}$ REACTION**

The $^{17}\text{O}(n,\alpha)^{14}\text{C}$ reaction has been studied as an extension of the THM to the neutron-induced reactions. This investigation pointed out the ability of the THM to overcome the centrifugal barrier suppression effects in the entrance channel. This study demonstrates the effectiveness of the THM to emphasize the mere nuclear interaction, which avoids the centrifugal suppression or the electron screening effect, thus opening new perspectives in the use of the method for nuclear structure studies. Moreover, as regards the $^{17}\text{O}(n,\alpha)^{14}\text{C}$, only few direct measurements are reported in literature, showing discordance at neutron thermal energy range (Sanders, 1956; Koehler and Graff, 1991; Schatz et al., 1993; Wagemans et al., 2002).

In the following section, we discuss the main results of the $^{17}\text{O}(n,\alpha)^{14}\text{C}$ cross section measurement in the energy range from 0 up to a few hundred keV.

### 5.1. The Experiment

The $^{17}\text{O}(n,\alpha)^{14}\text{C}$ reaction has been studied via the three-body $^2\text{H}(^{17}\text{O},\alpha^{14}\text{C})$ reaction. The experiment has been performed at NSL of the University of Notre Dame (South Bend, Indiana, USA) using the JN Tandem Van der Graaff. The $^{17}\text{O}$ beam impinged on a deuterated polyethylene target ($\text{CD}_2$) with an energy of 43.5 MeV. The target thickness was about 150 $\mu\text{g/cm}^2$, and the target itself has been placed at 90° with respect to the beam direction. A sketch of the used experimental setup is shown in Figure 9, chosen accordingly with a preliminary study that pointed out the phase-space region where a strong contribution of the QF reaction mechanism is expected.

The detection setup consists of two telescopes, made up of a ionization chamber (IC) as $\Delta E$ and a 1,000 $\mu\text{cm}$ PSD (A1 and B1 in Figure 9) as E stage. The telescopes have been placed at $d_{\text{A1}} = 46.4$ cm and $d_{\text{B1}} = 49.5$ cm from the target covering and angular range $7.5\pm2.5^\circ$. The ICs have been filled with about 50 mbar isobutane gas allowing to an energy resolution of $\sim10\%$, which was enough to discriminate particles by their charge but

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**TABLE 5 | Rate of the $^{18}\text{O}(p,\alpha)^{15}\text{N}$ reaction.**

| Temperature ($10^5$ K) | Rate THM($\text{cm}^3\text{mol}^{-1}\text{s}^{-1}$) |
|------------------------|-----------------------------------------------|
|                         | Lower                                         | Adopted | Upper       |
| 0.007                  | 8.12 $10^{-25}$                              | 1.11 $10^{-24}$ | 1.54 $10^{-24}$ |
| 0.008                  | 4.02 $10^{-23}$                              | 5.55 $10^{-23}$ | 7.79 $10^{-23}$ |
| 0.009                  | 8.60 $10^{-22}$                              | 1.18 $10^{-21}$ | 1.65 $10^{-21}$ |
| 0.010                  | 1.03 $10^{-20}$                              | 1.39 $10^{-20}$ | 1.92 $10^{-20}$ |
| 0.011                  | 8.15 $10^{-20}$                              | 1.07 $10^{-19}$ | 1.45 $10^{-19}$ |
| 0.012                  | 4.90 $10^{-19}$                              | 6.22 $10^{-19}$ | 8.14 $10^{-19}$ |
| 0.013                  | 2.45 $10^{-18}$                              | 2.96 $10^{-18}$ | 3.72 $10^{-18}$ |
| 0.014                  | 1.07 $10^{-17}$                              | 1.24 $10^{-17}$ | 1.48 $10^{-17}$ |
| 0.015                  | 4.30 $10^{-17}$                              | 4.75 $10^{-17}$ | 5.40 $10^{-17}$ |
| 0.016                  | 1.58 $10^{-16}$                              | 1.69 $10^{-16}$ | 1.85 $10^{-16}$ |
| 0.018                  | 1.72 $10^{-15}$                              | 1.76 $10^{-15}$ | 1.83 $10^{-15}$ |
| 0.020                  | 1.41 $10^{-14}$                              | 1.42 $10^{-14}$ | 1.44 $10^{-14}$ |
| 0.025                  | 1.00 $10^{-12}$                              | 1.01 $10^{-12}$ | 1.01 $10^{-12}$ |
| 0.030                  | 2.64 $10^{-11}$                              | 2.64 $10^{-11}$ | 2.64 $10^{-11}$ |
| 0.040                  | 3.12 $10^{-9}$                               | 3.12 $10^{-9}$ | 3.12 $10^{-9}$ |
| 0.050                  | 1.01 $10^{-7}$                               | 1.01 $10^{-7}$ | 1.01 $10^{-7}$ |
| 0.060                  | 2.81 $10^{-6}$                               | 2.81 $10^{-6}$ | 2.81 $10^{-6}$ |
| 0.070                  | 7.52 $10^{-5}$                               | 7.52 $10^{-5}$ | 7.52 $10^{-5}$ |
| 0.080                  | 1.10 $10^{-3}$                               | 1.10 $10^{-3}$ | 1.10 $10^{-3}$ |
| 0.090                  | 9.07 $10^{-3}$                               | 9.07 $10^{-3}$ | 9.07 $10^{-3}$ |
| 0.100                  | 4.88 $10^{-2}$                               | 4.88 $10^{-2}$ | 4.88 $10^{-2}$ |
not their mass. Entrance and exit windows of ICs consist of two thin mylar foils, respectively of 0.9 and 1.5 µm in order to minimize the angular straggling. In addition, four 500µm thick PSDs referred to as A2, A3, B2, and B3 have been employed, placed at a distance $d_{A2} = 47.6$ cm, $d_{A3} = 38.1$ cm, $d_{B2} = 49.4$ cm, and $d_{B3} = 40.5$ cm from the target, covering the angular ranges 17.5°±2.5° for A2 and B2 while 27.3°±3.5° for A3 and B3. The telescopes have been optimized for C detection while the other PSDs for alpha particles.

Moreover, since the required kinematical conditions are very similar, the presence of the $^{17}$O(n,α)$^{14}$C reaction channel was also checked in the LNS experiment optimized for the measurement of the $^{17}$O(p,α)$^{14}$N reaction (described in section three of the present paper) (Gulino et al., 2013; Guardo et al., 2017). The good agreement between the two THM measurements, within the experimental uncertainties, allow us to average the two data sets, weighting the respective errors, in order to improve the statistics and data quality.

5.2. Reaction Channel Selection

After detector calibration, the three-body $^2$H($^{17}$O,α$^{14}$C)$^p$ reaction channel of interest has been selected. This separation has been accomplished by studying the coincidence events corresponding to a carbon particle detected in one of the two $\Delta E – E$ telescopes with any particles on opposite PSDs. The kinematic of the undetected emerging proton has been deduced.
by means of momentum and energy conservation laws. In left panel of Figure 10, the experimental Q-value spectrum for the selected events is plotted showing a prominent peak, centered at about $-0.4$ MeV, in good agreement with the expected value of $-0.407$ MeV, marked by the black vertical arrow. The very low background ($\leq 7\%$ with respect the total statistics) clearly demonstrates that no other reaction channels influence the one of interest. This result represents a signature of a good calibration and a precise selection of the $^2\text{H}(^{17}\text{O},\alpha^{14}\text{C})p$ reaction channel.

5.3. Selection of the QF Reaction Mechanism

The next essential step for a precise selection of the TH data is a detailed study of the momentum distribution for the $p-n$ intercluster motion in the deuteron. By using the same procedure already described in section 3.2, the experimental proton momentum distribution has been obtained. The comparison between the experimental momentum distribution (black dots) and the theoretical one (black solid line) is shown in the right panel of Figure 10. The present distribution returns a FWHM value of $58\pm11$ MeV/c, in good agreement with the theoretical one of $\sim 60$ MeV/c.

Moreover, the experimental data are also compared with the DWBA distribution (red dashed line in Figure 10) evaluated via the FRESCO code (Thompson, 1988) in order to check if the simple PWIA approach gives an accurate description of the $p-n$ momentum distribution. For this calculation, optical potential parameters adjusted from the Perey and Perey (1976) compilation have been adopted. The good agreement, within $|\vec{p}| \leq 40$ MeV/c, between DWBA, PWIA, and the experimental data makes us confident that the QF mechanism gives the main contribution to the reaction in the considered $p_t$ range. Finally, the vertical dot-dashed lines mark the position of the selected events for which the TH has been applied.

5.4. Extraction of the Reaction Rate

Now that the presence of the QF process is completely ascertained and following the prescription of Equation (1), the two-body cross section can be extracted by dividing the experimental three-body one by the product of the momentum distribution of the spectator inside the Trojan Horse nucleus and the kinematic factor. The result has been corrected for the penetration factor (described in this case in terms of the spherical Bessel and Neumann functions) in order to be compared with direct data and to perform the normalization at high energies, namely, to the well-known resonances at 8,213 and 8,282 keV reported in Sergi et al. (2017) (see Table 6). This extracted $(d\sigma/d\Omega)^{\text{HOES}}$ as a function of $E_{\text{cm}}$ integrated over the whole $\theta_{\text{cm}}$ range (for further information see Gulino et al., 2013) is reported in Figure 11 with black points. The error bars take into account the statistical and angular distribution integration uncertainties. The arrows in Figure 11 indicate four resonances corresponding to $^{18}\text{O}$ states at 8,039, 8,125, 8,213, and 8,282 MeV (Ajzenberg-Selove, 1987) clearly present in the THM cross section. It is important to underline the presence of the 8,125 keV level ($J^\pi = 5^-$) since its population occurs via $f$-wave $^{17}\text{O}$-n relative motion and consequently is strongly suppressed in the available direct measurements (Wagemans et al., 2002). Hence, this experimental result shows the power of THM for n-induced

### Table 6 | Summary table of the $^{18}\text{O}$ resonance parameters observed in Guardo et al. (2017).

| Resonance energy (keV) | $J^\pi$ | $\Gamma_{\text{tot}}$ (eV) | References |
|------------------------|--------|----------------|------------|
| $-6$                   | $1^-$  | $2,400 \pm 300$ | Guardo et al., 2017 |
|                        |        | $2,400$        | Wagemans et al., 2002 |
|                        |        | $2,000 \pm 700$| Avila et al., 2014 |
| $75$                   | $5^-$  | $36 \pm 5$     | Guardo et al., 2017 |
| $178$                  | $2^+$  | $2,260 \pm 300$| Guardo et al., 2017 |
|                        |        | $2,258 \pm 135$| Wagemans et al., 2002 |
|                        |        | $1,900 \pm 200$| Avila et al., 2014 |
| $244$                  | $3^-$  | $14,700 \pm 3,800$ | Guardo et al., 2017 |
|                        |        | $14,739 \pm 590$| Wagemans et al., 2002 |
|                        |        | $8,500 \pm 900$ | Avila et al., 2014 |

For comparison, resonance parameters from Wagemans et al. (2002) and from Avila et al. (2014) are also reported.

$^a$The $n$ and $\alpha$ partial widths of this resonance were used for normalization of the THM results, as discussed in Guardo et al. (2017), since the strengths are not available in literature.
reactions to extrapolate information about resonant levels that are suppressed in direct measurements due to the presence of the centrifugal barrier. Finally, the experimental data have been fitted following the modified R-matrix approach. The result, for which a reduced $\chi^2$ of $\chi = 0.8$ has been found, is displayed in Figure 11 with the solid red line, while the red band accounts for the error introduced by the normalization procedure, evaluated here at about 15% [for a detailed procedure see Guardo et al. (2017) and references therein].

Figure 12 (left panel) shows the astrophysical rate, calculated from the cross section according to the usual definition (Iliadis et al., 2010). The red band highlights the region allowed by uncertainties (statistical and normalization). The values of the astrophysical rate calculated here is reported in Table 7. In addition, the application of the THM allowed for the first time to excite and disentangle the contribution of the subthreshold level centered at $-7$ keV in the center-of-mass system. This result is fundamental to determine the total reaction rate at low energies and may change significantly the abundance ratios of the elements involved in the nucleosynthesis network of the weak component of the s-process (Wagemans et al., 2002; Guardo et al., 2017). Indeed, in the right panel of Figure 12, the contribution of the $-7$ keV resonance state to the total reaction rate is disentangled showing its pivotal importance at astrophysical relevant temperatures (Guardo et al., 2017).

6. FINAL REMARKS

In this paper we have discussed the influence of the neutron and proton induced reactions on the stable, neutron-rich oxygen isotopes, $^{17}$O and $^{18}$O.

In detail, we reported on the application of the THM to the QF $^2$H($^{17}$O,$^{14}$N)$n$ reaction for extracting the $^{17}$O($p,\alpha$)$^{14}$N cross section and reaction rate, by using the approach for the resonant case discussed in La Cognata et al. (2010a). Two measurements were carried out, at LNS and at NSL, with the main aim of extracting the strength of the $65$ keV resonance, laying right at astrophysical energies. By normalizing to the strength of the well-known $183$ keV resonance, the THM measurements led to a recommended value of $\omega\gamma = 3.42 \pm 0.60 \times 10^{-19}$ eV, from the weighted average of the results of the two experiments. Such strength was then used to calculate the $^{17}$O($p,\alpha$)$^{14}$N reaction

![Figure 12](image-url) (Left) The experimental TH reaction rate (red line). The red band represents the statistical and normalization errors. (Right) Contribution of the subthreshold resonant state to the total reaction rate.

| Temperature ($10^9$ K) | Lower Rate THM ($cm^3$mol$^{-1}$s$^{-1}$) | Adopted Rate THM | Upper Rate THM |
|-------------------------|------------------------------------------|-------------------|---------------|
| 0.01                    | $0.22 \times 10^6$                      | $0.26 \times 10^6$ | $0.30 \times 10^6$ |
| 0.02                    | $0.30 \times 10^6$                      | $0.35 \times 10^6$ | $0.40 \times 10^6$ |
| 0.03                    | $0.33 \times 10^6$                      | $0.39 \times 10^6$ | $0.45 \times 10^6$ |
| 0.04                    | $0.35 \times 10^6$                      | $0.41 \times 10^6$ | $0.47 \times 10^6$ |
| 0.05                    | $0.36 \times 10^6$                      | $0.42 \times 10^6$ | $0.48 \times 10^6$ |
| 0.1                     | $0.36 \times 10^6$                      | $0.42 \times 10^6$ | $0.48 \times 10^6$ |
| 0.15                    | $0.34 \times 10^6$                      | $0.40 \times 10^6$ | $0.46 \times 10^6$ |
| 0.2                     | $0.32 \times 10^6$                      | $0.38 \times 10^6$ | $0.44 \times 10^6$ |
| 0.3                     | $0.40 \times 10^6$                      | $0.47 \times 10^6$ | $0.54 \times 10^6$ |
| 0.4                     | $0.77 \times 10^6$                      | $0.90 \times 10^6$ | $1.04 \times 10^6$ |
| 0.5                     | $1.39 \times 10^6$                      | $1.71 \times 10^6$ | $1.97 \times 10^6$ |
| 0.6                     | $2.35 \times 10^6$                      | $2.76 \times 10^6$ | $3.17 \times 10^6$ |
| 0.8                     | $4.19 \times 10^6$                      | $4.93 \times 10^6$ | $5.67 \times 10^6$ |
| 1.                      | $5.64 \times 10^6$                      | $6.64 \times 10^6$ | $7.64 \times 10^6$ |
| 1.5                    | $7.34 \times 10^6$                      | $8.64 \times 10^6$ | $9.94 \times 10^6$ |
| 2.                      | $7.46 \times 10^6$                      | $8.78 \times 10^6$ | $1.01 \times 10^7$ |
| 2.5                    | $7.03 \times 10^6$                      | $8.27 \times 10^6$ | $9.51 \times 10^6$ |
| 3.                      | $6.44 \times 10^6$                      | $7.58 \times 10^6$ | $8.72 \times 10^6$ |
| 3.5                    | $5.85 \times 10^6$                      | $6.88 \times 10^6$ | $7.91 \times 10^6$ |
| 4.                      | $5.31 \times 10^6$                      | $6.25 \times 10^6$ | $7.19 \times 10^6$ |
| 5.                      | $4.40 \times 10^6$                      | $5.18 \times 10^6$ | $5.96 \times 10^6$ |
| 6.                      | $3.71 \times 10^6$                      | $4.36 \times 10^6$ | $5.01 \times 10^6$ |
| 7.                      | $3.16 \times 10^6$                      | $3.72 \times 10^6$ | $4.28 \times 10^6$ |
| 8.                      | $2.70 \times 10^6$                      | $3.18 \times 10^6$ | $3.66 \times 10^6$ |
| 9.                      | $2.35 \times 10^6$                      | $2.77 \times 10^6$ | $3.18 \times 10^6$ |
| 10.                    | $2.05 \times 10^6$                      | $2.41 \times 10^6$ | $2.77 \times 10^6$ |
rate, resulting in an increase of ~ 30% with respect the data in the literature at the temperatures typical of RGs, AGB stars and massive stars (Iliadis et al., 2010). From such result we could also provide a revised value for the strength of the 65 keV resonance in the $^{17}\text{O}(p, \gamma)^{18}\text{F}$ reaction, leading to an increase of about 20% with respect to the literature (Di Leva et al., 2014).

In the case of the $^{18}\text{O}(p, \alpha)^{15}\text{N}$ reaction, we underscore that, for the first time, the strength of the low-lying 20 keV resonance was experimentally determined thanks to the use of the THM. Thanks to this result, the reaction rate was calculated, which turned out to be about 35% larger than the NACRE rate (Angulo et al., 1999) in the temperature region where the effect of the 20 keV resonance is dominant. Since no spectroscopic factor was needed to fix the strength of this state, the reaction rate was determined with high accuracy, reducing the uncertainty due to the modest knowledge of the resonance parameters by a factor ~ 8.5. Moreover, the THM result is not affected by the electron screening, which can enhance the astrophysical factor by a factor larger than about 2.4 at 20 keV (Assenbaum et al., 1987), making any direct measurement of the nuclear cross section presently impossible.

Finally, the same QF reaction, under slightly different kinematic conditions, made also possible to measure the $^{17}\text{O}(n, \alpha)^{14}\text{C}$ with no need of neutron beams. In detail, it was possible to observe the subthreshold level centered at ~7 keV in the center-of-mass system corresponding to the $^{18}\text{O}$ level at 8.039 MeV, that strongly affects the total reaction rate. Also, since the THM approach allows us to bypass the Coulomb and centrifugal barriers, we could observe the 8.121 MeV $^{18}\text{O}$ level that, having a spin parity $J^{π}=5^-$ is suppressed in direct measurements because of it is populated in f-wave. Therefore, we could obtain an accurate experimental trend of the $^{17}\text{O}(n, \alpha)^{14}\text{C}$ cross section over the whole range of astrophysical interest, up to about 250 keV, in good agreement with the results available in the literature (where present). Then the recommended reaction rate was calculated, combining the results of the THM measurement and the direct data of Wagemans et al. (2002) above ~ 100 keV, leading to an increase of the rate over the whole temperature range of interest, with potential consequences for the weak component of the s-process.

**AUTHOR CONTRIBUTIONS**

Nuclear data of $^{17}\text{O}(p, \alpha)^{14}\text{N}$ and $^{18}\text{O}(p, \alpha)^{15}\text{N}$ were analyzed by MS and ML, respectively, and GG and MG took care of the $^{17}\text{O}(n, \alpha)^{14}\text{C}$ data analysis. All the listed authors contributed to the writing of the paper.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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