Current practice in Australia and New Zealand for defunctioning ileostomy after rectal cancer surgery with anastomosis: Analysis of the Binational Colorectal Cancer Audit

Vera E. M. Grupa1,2 | Hidde M. Kroon1,3 | Izel Ozmen1,2 | Sergei Bedrikovetski1,2 | Nagendra N. Dudi-Venkata1,3 | Ronald A. Hunter1 | Tarik Sammour1,3

1Colorectal Unit, Department of Surgery, Royal Adelaide Hospital, Adelaide, South Australia, Australia
2Faculty of Medical Sciences, Leiden University, Leiden, The Netherlands
3Faculty of Health and Medical Sciences, School of Medicine, University of Adelaide, Adelaide, South Australia, Australia

Correspondence
Hidde M. Kroon, Colorectal Unit, Department of Surgery, Royal Adelaide Hospital, Port Road, Adelaide, South Australia 5000, Australia.
E-mail: Hidde.Kroon@sa.gov.au

Abstract
Aim: This study aimed to investigate the use of defunctioning stomas after rectal cancer surgery in Australia and New Zealand, as current practice is unknown.

Methods: From the Binational Colorectal Cancer Audit database, data on rectal cancer patients who underwent a resection between 2007 and 2019 with the formation of an anastomosis were extracted and analysed. The primary outcome was the rate of defunctioning stoma formation. Secondary outcomes were anastomotic leakage (AL) rates and other postoperative complications, length of hospital stay (LOS), readmissions and 30-day mortality rates between stoma and no-stoma groups. Propensity score matching was performed to correct for differences in baseline characteristics between stoma and no-stoma groups.

Results: In total, 2581 (89%) received a defunctioning stoma and 319 (11%) did not. There were more male patients in the stoma group (65.5% vs. 57.7% for the no-stoma group; \( P = 0.006 \)). The median age was 64 years in both groups. The stoma group underwent more ultra-low anterior resections (79.9% vs. 30.1%; \( P < 0.0001 \)), included more American Joint Committee on Cancer Stage III patients (53.7% vs. 29.2%; \( P < 0.0001 \)) and received more neoadjuvant therapy (66.9% vs. 16.3%; \( P < 0.0001 \)). The AL rate was similar in both groups (5.1% vs. 6.0%; \( P = 0.52 \)). LOS was longer in the stoma group (8 vs. 6 days; \( P < 0.0001 \)) with higher 30-day readmission rates (14.9% vs. 8.3%; \( P = 0.003 \)). After propensity score matching (\( n = 208 \) in both groups), AL rates remained similar (2.9% for stoma vs. 5.8% for no-stoma group; \( P = 0.15 \)), but stoma patients required less reoperations (0% vs. 8%; \( P = 0.016 \)). The stoma group had higher postoperative ileus rates and an increased LOS.

Conclusion: In Australia and New Zealand, most patients who underwent rectal cancer resections with the formation of an anastomosis received a defunctioning stoma. A defunctioning stoma does not prevent AL from occurring but is mostly associated with a lower reoperation rate. Patients with a defunctioning stoma experienced a higher postoperative ileus rate and had an increased LOS.
**KEYWORDS**
rectal cancer surgery, anastomosis, anastomotic leakage, defunctioning stoma, postoperative complications

**What does this paper add to the literature?**
This paper is the first to investigate the rate of defunctioning stomas created after rectal cancer resections in Australia and New Zealand and to establish on what basis this decision is made. Moreover, a defunctioning stoma does not prevent anastomotic leakage from occurring but it does diminish its consequences.

**INTRODUCTION**
Colorectal cancer is the second most prevalent cancer in the Western world and, after lung cancer, is responsible for the most cancer-related deaths [1–3]. In Australia, for instance, 16 398 patients were newly diagnosed with colorectal cancer in 2019, of whom approximately a third had rectal cancer [3,4].

Surgery remains the main treatment modality in rectal cancer care. After resection of the rectum, an anastomosis is formed in most patients to establish continuity of the gastrointestinal tract. Low pelvic anastomoses, however, are associated with a high risk of postoperative anastomotic leakage (AL), a complication that can lead to reoperation, formation of a permanent stoma, increased length of hospital stay (LOS), increased morbidity, loss in quality of life and mortality [5,6]. Therefore, surgeons often choose to create a defunctioning stoma to cover the anastomosis in an effort to reduce AL risk and its consequences [7–9]. However, a defunctioning stoma by itself is also associated with morbidity, such as dehydration, renal failure, reduced self-image and quality of life [10,11]. Additionally, a defunctioning stoma requires a second operation to achieve closure necessitating a second hospital admission, with further risks of complications such as wound infections, AL and incisional hernias [10]. Taking the benefits and disadvantages into account, a carefully weighed decision based on risk factors for AL should be made when deciding to construct a defunctioning stoma.

Internationally, patient selection and practices for creating defunctioning stomas vary widely [12,13]. For Australia and New Zealand (ANZ), current practice is unknown. Therefore, the aim of this study was to investigate the practice of constructing a defunctioning stoma after rectal cancer surgery with an anastomosis in ANZ, and to detect potential differences in postoperative outcomes between patients with or without a defunctioning stoma.

**METHODS**
Data were derived from the Binational Colorectal Cancer Audit (BCCA), a multi-institutional ANZ clinical quality registry in which data of colorectal cancer patients are prospectively collected. Since its introduction in 2007, the number of cases recorded in the BCCA has shown a yearly increase and since 2018 it has become mandatory for accredited training hospitals to enter data into the BCCA [14].

The study was approved by the BCCA Operations Committee and the Central Adelaide Local Health Network Human Research Ethics Committee (HREC/18/CALHN/527, CALHN R20180809). BCCA data were extracted for patients who underwent elective rectal cancer resections with curative intent by a proctocolectomy, ultra-low anterior resection (anastomosis 0–6 cm from anal verge) or low anterior resection (LAR) (anastomosis 6–10 cm from anal verge) between 2007 and 2019, with the formation of a primary anastomosis. Patients who underwent transanal local procedures, had synchronous tumours or distant metastases at the time of surgery, underwent palliative resections, or underwent emergency surgery were excluded. Patients with the following missing variables were also excluded: American Society of Anesthesiologists (ASA) score, neoadjuvant therapy, operative urgency, surgical entry (open or minimally invasive), overall American Joint Committee on Cancer (AJCC) stage [15] and pathological T stage (pT). Patients with missing clinical T (cT) or N (cN) stage but with available pT or pathological N (pN) stage were included, if they had not received neoadjuvant therapy. In these cases, missing data for cT or cN stages were matched to their pT and pN stages.

After identifying eligible patients, the study population was divided into two groups: those in whom a defunctioning stoma was formed (stoma group) and those in whom no defunctioning stoma was formed (no-stoma group). Variables such as age, gender, hospital location, AJCC stage, TNM stage, and procedure, stoma and anastomosis type, complications and pathological results were extracted and analysed for included patients. A hospital was considered ‘urban’ if the population it serves exceeded 100,000 inhabitants. In the BCCA, AL is defined as diagnosis of a leak based on clinical and/or radiological findings.

The primary outcome was the rate of defunctioning stoma (either loop ileostomy or loop colostomy) formation. The secondary outcomes were AL rate and other postoperative complications, LOS, readmission and 30-day mortality rates between stoma and no-stoma groups.

To investigate the defunctioning stoma rate in ANZ in relation to preoperative AL risk, the risk factors for AL as published by Matthiessen et al. were used [5].

Continuous outcomes are presented as median and range, and categorical outcomes as frequency and percentage. Univariate analyses were performed on both groups, using the Mann-Whitney U test or t test for continuous variables, the chi-squared and Fisher tests for categorical variables. To minimize the effect...
of confounding influences of measured covariates on the assessed outcome between the two study groups (stoma and no stoma) propensity score matching was performed. First, a propensity score for each patient was calculated using a logistic regression model, which was fitted for stoma, using the covariates listed in Table 1. Probability scores were generated by logistic regression and were matched by one-to-one nearest neighbour without replacement and a match tolerance of 0.00. To prevent poor matches, a caliper of 0.25 multiplied by the standard deviation of the logit of the propensity score was used. Covariate balance of the matched cohort was assessed using the mean standardized differences, with differences <10% and close to 0% taken to indicate good balance. After this, groups were well matched for the covariates listed in Table 1. A statistically significant P value was defined as ≤0.05.

RESULTS

A total of 12 251 patients recorded in the BCCA database underwent rectal cancer surgery. Of them, 5201 underwent a rectal resection with formation of an anastomosis. After exclusion as described in the Methods section, 2900 patients remained for analysis (Figure 1): 2581 patients (89%) had a defunctioning stoma formed (stoma group), and 319 (11%) had not (no-stoma group).
| Gender (%) | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|-----------|-----------------|------------------|-----------------|---------|
| Male      | 1874 (64.6)     | 1690 (65.5)      | 184 (57.7)      | 0.006   |
| Female    | 1026 (35.4)     | 891 (34.5)       | 135 (42.3)      |         |
| Median age in years (range) | 64 (23–100) | 64 (23–100) | 64 (23–90) | 0.47 |
| Domestic living location (%) | | | | |
| Urban     | 2578 (88.9)     | 2293 (88.8)      | 285 (89.3)      | 0.79    |
| Rural     | 322 (11.1)      | 288 (11.2)       | 34 (10.7)       |         |
| Tumour site (%) | | | | |
| Upper rectum (>12 cm) | 369 (12.7) | 230 (8.9) | 139 (43.6) | <0.0001 |
| Mid rectum (8–12 cm) | 1326 (45.8) | 1187 (46.0) | 139 (43.6) | |
| Lower rectum (<8 cm) | 1203 (41.5) | 1162 (45.1) | 41 (12.8) | |
| Missing   | 2               | 2                | 0               |         |
| Clinical tumour (cT) stage (%) | | | | |
| T1        | 136 (4.7)       | 105 (4.1)        | 31 (9.71)       | <0.0001 |
| T2        | 726 (25.0)      | 617 (23.9)       | 109 (34.2)      |         |
| T3        | 1739 (60.0)     | 1605 (62.2)      | 134 (42.0)      |         |
| T4        | 186 (6.4)       | 176 (6.8)        | 10 (3.1)        |         |
| Tx        | 113 (3.9)       | 78 (3.0)         | 35 (11.0)       |         |
| Clinical nodal (cN) stage (%) | | | | |
| N0        | 1219 (42.0)     | 1029 (39.9)      | 190 (59.6)      | <0.0001 |
| N1        | 887 (30.6)      | 825 (32.0)       | 62 (19.4)       |         |
| N2        | 608 (21.0)      | 577 (22.3)       | 31 (9.7)        |         |
| Nx        | 186 (6.4)       | 150 (5.8)        | 36 (11.3)       |         |
| Clinical AJCC stage (%) | | | | |
| 0         | 3 (0.1)         | 3 (0.1)          | 0               | <0.0001 |
| 1         | 693 (23.9)      | 566 (21.9)       | 127 (39.8)      |         |
| 2         | 614 (21.2)      | 550 (21.3)       | 64 (20.1)       |         |
| 3         | 1480 (51.0)     | 1387 (53.7)      | 93 (29.2)       |         |
| X         | 110 (3.8)       | 75 (2.9)         | 35 (11.0)       |         |
| Neoadjuvant therapy (%) | | | | |
| Yes       | 1778 (61.3)     | 1726 (66.9)      | 52 (16.3)       | <0.0001 |
| No        | 1122 (38.7)     | 855 (33.1)       | 267 (83.7)      |         |
| Neoadjuvant therapy type (%) | | | | |
| Short-course RT | 230 (14.4) | 223 (14.5) | 7 (13.5) | 0.55 |
| Long-course CRT | 1328 (83.5) | 1283 (83.4) | 45 (86.5) | |
| Other     | 33 (2.1)        | 33 (2.1)         | 0               |         |
| Missing   | 187             | 187              | 0               |         |
| ASA score (%) | | | | |
| I         | 579 (20.0)      | 518 (20.1)       | 61 (19.1)       | 0.93    |
| II        | 1577 (54.4)     | 1405 (54.4)      | 172 (53.9)      |         |
| III       | 705 (24.3)      | 623 (24.1)       | 82 (25.7)       |         |
| IV        | 39 (1.3)        | 35 (1.4)         | 4 (1.3)         |         |
| Procedure type (%) | | | | |
| Proctocolectomy or colo-anal anastomosis | 102 (3.5) | 100 (3.9) | 2 (0.6) | |
The stoma group consisted of more men compared to the no-stoma group (65.6% vs. 57.7%; $P = 0.006$; Table 1). The stoma group patients had a tumour located in the lower rectum more often (45.1% vs. 12.8%; $P < 0.0001$) and had higher cT stages, cN and pre-treatment AJCC stages ($P < 0.0001$). Patients in the stoma group received more neoadjuvant therapy (66.9%), compared to 16.3% in the no-stoma group ($P < 0.0001$). Most stoma group patients underwent an ultra-low anterior resection (79.9%), while a LAR was the most frequently performed procedure in the no-stoma group (69.3%; $P < 0.0001$). Most patients in both groups underwent minimally invasive surgery, but more open procedures were performed in the stoma group (38.9% vs. 13.2%; $P < 0.0001$). The conversion rate from laparoscopic to open surgery was higher in the stoma group (10.3% vs. 5.1%; $P = 0.01$).

Overall, surgical complications occurred more frequently in stoma group patients (27.4% vs. 19.4% for no-stoma group patients; $P = 0.002$; Table 2). The AL rate was similar in both groups (5.1% vs. 6.0% for stoma and no-stoma group, respectively; $P = 0.52$), but stoma group patients with AL were treated conservatively with antibiotics more frequently compared to no-stoma group patients (40% vs. 12.5%), while more patients in the no-stoma group underwent a re-intervention to treat AL (87.5% vs. 60% for the stoma group; $P < 0.0001$). Thirteen patients in the no-stoma group with AL (81.2%) underwent a reoperation while this was 17 patients (16.2%) in the stoma group ($P < 0.0001$). Stoma group patients experienced more often a postoperative ileus (11.2% vs. 6.0% for the no-stoma group; $P = 0.004$) and had more medical complications (13.0% vs. 9.1% for the no-stoma group; $P = 0.014$). The 30-day mortality rate was similar between both groups (1.1% vs. 1.3% for stoma group and no-stoma group, respectively; $P = 0.79$). Postoperative histopathology showed that the no-stoma group had more advanced disease with higher pT stages ($P < 0.0001$), pN stages ($P = 0.04$) and AJCC stages ($P = 0.002$).

Table 3 shows the number of patients in both groups according to the preoperative risk factors for AL. The rate of constructed defunctioning stomas increased from 63.0% in the case of no risk factors to 97.8% in the case of three risk factors ($P = 0.0001$). In the stoma group, higher rates of AL were seen in patients with more AL risk factors compared to the no-stoma group ($P < 0.0001$; Table 4).

Propensity score matching yielded 208 patients in each group. After matching, preoperative and intra-operative data were similar between groups: age, domestic living location, neoadjuvant therapy

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**Table 1** (Continued)

|                      | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|----------------------|-----------------|------------------|-----------------|---------|
| LAR$^d$              | 640 (22.1)      | 419 (16.2)       | 221 (69.3)      | <0.0001 |
| ULAR$^b$             | 2158 (74.4)     | 2062 (79.9)      | 96 (30.1)       |         |

**Anastomosis type (%)**

| Type                        | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|-----------------------------|-----------------|------------------|-----------------|---------|
| Colonic pouch               | 527 (26.6)      | 516 (27.4)       | 11 (11.7)       | 0.002   |
| Side-to-end anastomosis     | 364 (18.4)      | 347 (18.4)       | 17 (18.1)       |         |
| End-to-end anastomosis      | 1087 (55.0)     | 1021 (54.2)      | 66 (70.2)       |         |

**Stoma type (%)**

| Type              | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|-------------------|-----------------|------------------|-----------------|---------|
| Loop ileostomy    | –               | 2509 (99.6)      | –               | n/a     |
| Loop colostomy    | –               | 11 (0.4)         | –               |         |
| Missing           | –               | 61               | –               |         |

**Surgical entry (%)**

| Type               | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|--------------------|-----------------|------------------|-----------------|---------|
| Open               | 1047 (36.1)     | 1005 (38.9)      | 42 (13.2)       | <0.0001 |
| Minimally invasive$^c$ | 1853 (63.9)  | 1576 (61.1)      | 277 (86.8)      |         |

Conversion in case of minimally invasive (% of minimally invasive procedures)

| Rate (%)           | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|--------------------|-----------------|------------------|-----------------|---------|

**Bold values are statistical significant values.**

**Abbreviations:** AJCC, American Joint Committee against Cancer; ASA, American Society of Anesthesiologists; CRT, chemoradiotherapy; n/a, not applicable; RT, radiotherapy.

$^a$Clinical tumour stage could not be assessed.

$^b$Clinical nodal stage could not be assessed.

$^c$Clinical AJCC stage could not be assessed.

$^d$Low anterior resection: anastomosis at 6.1–10 cm from anal verge.

$^e$Ultra-low anterior resection: anastomosis at 0–6 cm from anal verge.

$^f$Laparoscopic/transanal total mesorectal excision/robotic/hybrid.
TABLE 2 Postoperative and histopathological outcomes

|                          | Total (n = 2900) | Stoma+ (n = 2581) | Stoma–(n = 319) | P value |
|--------------------------|------------------|-------------------|-----------------|---------|
| **Anastomotic leakage (%)** |                  |                   |                 |         |
| No                       | 2749 (94.8)      | 2449 (94.9)       | 300 (94.0)      | 0.52    |
| Yes                      | 151 (5.2)        | 132 (5.1)         | 19 (6.0)        |         |
| **Anastomotic leakage treatment (% of leaks)** |                  |                   |                 | <0.0001 |
| Conservative with antibiotics | 44 (36.4)        | 42 (40.0)         | 2 (12.5)        |         |
| Transanal/percutaneous drainage | 47 (38.8)        | 46 (43.8)         | 1 (6.3)         |         |
| Reoperation              | 30 (24.8)        | 17 (16.2)         | 13 (81.2)       |         |
| Missing                  | 30               | 27                | 3               |         |
| **Return to theatre (%)** |                  |                   |                 | 0.14    |
| No                       | 2710 (93.4)      | 2418 (93.7)       | 292 (91.5)      |         |
| Yes                      | 190 (6.6)        | 163 (6.3)         | 27 (8.5)        |         |
| **Overall surgical complications (%)** |                  |                   |                 | 0.002   |
| No                       | 2131 (73.5)      | 1874 (72.6)       | 257 (80.6)      |         |
| Yes                      | 769 (26.5)       | 707 (27.4)        | 62 (19.4)       |         |
| **Surgical complications specified (%)** |                  |                   |                 |         |
| Pelvic collection        | 131 (4.5)        | 118 (4.6)         | 13 (4.1)        | 0.69    |
| Superficial wound dehiscence | 26 (0.9)        | 25 (1.0)          | 1 (0.3)         | 0.24    |
| Deep wound dehiscence    | 6 (0.2)          | 5 (0.2)           | 1 (0.3)         | 0.66    |
| Wound infection          | 82 (2.8)         | 74 (2.9)          | 8 (2.5)         | 0.72    |
| Sepsis                   | 66 (2.3)         | 60 (2.3)          | 6 (1.9)         | 0.62    |
| Postoperative ileus      | 309 (10.7)       | 290 (11.2)        | 19 (6.0)        | 0.004   |
| Small bowel obstruction  | 45 (1.6)         | 44 (1.7)          | 1 (0.3)         | 0.06    |
| Urinary retention        | 116 (4.0)        | 108 (4.2)         | 8 (2.5)         | 0.15    |
| Ureteric injury          | 16 (0.6)         | 15 (0.6)          | 1 (0.3)         | 0.54    |
| Postoperative haemorrhage| 20 (0.7)         | 19 (0.7)          | 1 (0.3)         | 0.39    |
| Other surgical complications | 149 (5.1)        | 136 (5.3)         | 13 (4.1)        | 0.36    |
| **Overall medical complications (%)** |                  |                   |                 | 0.48    |
| No                       | 2536 (87.4)      | 2246 (87.0)       | 290 (90.9)      |         |
| Yes                      | 364 (12.6)       | 335 (13.0)        | 29 (9.1)        |         |
| **Medical complications specified (%)** |                  |                   |                 |         |
| DVT/PE<sup>a</sup>       | 17 (0.6)         | 15 (0.6)          | 2 (0.6)         | 0.92    |
| Chest infection          | 79 (2.7)         | 74 (2.9)          | 5 (1.6)         | 0.18    |
| Cardiac                  | 97 (3.3)         | 84 (3.3)          | 13 (4.1)        | 0.44    |
| Other medical complications | 229 (7.9)        | 215 (8.3)         | 14 (4.4)        | 0.01    |
| Median LOS in days (range) | 8.0 (2–502)     | 8.0 (2–502)       | 6.0 (3–43)      | <0.0001 |
| **30-day mortality (%)** |                  |                   |                 | 0.79    |
| No                       | 2868 (98.9)      | 2553 (98.9)       | 315 (98.7)      |         |
| Yes                      | 32 (1.3)         | 28 (1.1)          | 4 (1.3)         |         |
| **30-day readmission (%)** |                 |                   |                 | 0.014   |
| No                       | 2561 (88.3)      | 2266 (87.8)       | 295 (92.5)      |         |
| Yes                      | 339 (11.7)       | 315 (12.2)        | 24 (7.5)        |         |
| **Pathological tumour (pT) stage (%)** |                  |                   |                 | <0.0001 |
| T0                       | 258 (8.9)        | 245 (9.5)         | 13 (4.1)        |         |
| Tis                      | 23 (0.8)         | 20 (0.8)          | 3 (0.9)         |         |
| T1                       | 399 (15.5)       | 340 (13.2)        | 59 (18.5)       |         |
| T2                       | 756 (26.1)       | 688 (26.7)        | 68 (21.3)       |         |

(Continues)
TABLE 2 (Continued)

|                | Total (n = 2900) | Stoma+ (n = 2581) | Stoma– (n = 319) | P value |
|----------------|------------------|-------------------|------------------|---------|
| T3             | 1292 (44.6)      | 1137 (44.1)       | 155 (48.6)       |         |
| T4             | 114 (3.9)        | 95 (3.7)          | 19 (6.0)         |         |
| Tx\(^b\)       | 58 (2.0)         | 56 (2.2)          | 2 (0.6)          |         |

Pathological nodal (pN) stage (%)

| N0             | 1887 (65.1)      | 1701 (65.9)       | 186 (58.3)       | 0.04    |
| N1             | 734 (25.3)       | 639 (24.8)        | 95 (29.8)        |         |
| N2             | 275 (9.5)        | 237 (9.2)         | 38 (11.9)        |         |
| Nx\(^c\)       | 4 (0.1)          | 4 (0.1)           | 0                |         |

Pathological metastatic (pM) stage (%)

| M0             | 2328 (80.3)      | 2071 (80.3)       | 257 (80.6)       | 0.67    |
| M1             | 204 (7.0)        | 185 (7.2)         | 19 (6.0)         |         |
| Mx\(^d\)       | 367 (12.7)       | 324 (12.5)        | 43 (13.5)        |         |

Pathological AJCC stage (%)

| 0              | 292 (10.1)       | 278 (10.8)        | 14 (4.4)         | 0.002   |
| 1              | 894 (30.8)       | 797 (30.9)        | 97 (30.4)        |         |
| 2              | 636 (21.9)       | 563 (21.8)        | 73 (22.9)        |         |
| 3              | 874 (30.1)       | 758 (29.4)        | 116 (36.4)       |         |
| 4              | 204 (7.0)        | 185 (7.2)         | 19 (6.0)         |         |

Circumferential resection margins (%)

| Negative       | 2659 (96.8)      | 2362 (96.6)       | 297 (97.7)       | 0.56    |
| Positive       | 89 (3.2)         | 82 (3.4)          | 7 (2.3)          |         |
| Missing        | 152              | 137               | 15               |         |

Mucosal margins (%)

| Negative       | 2669 (99.5)      | 2357 (99.5)       | 312 (99.7)       | 0.60    |
| Positive       | 14 (0.5)         | 13 (0.5)          | 1 (0.3)          |         |
| Missing        | 217              | 211               | 6                |         |

Bold values are statistical significant values.
Abbreviations: AJCC, American Joint Committee on Cancer; LOS, length of stay; Tis, tumour in situ.
\(^a\)Deep vein thrombosis/pulmonary embolism.
\(^b\)Pathological tumour stage could not be assessed.
\(^c\)Pathological nodal stage could not be assessed.
\(^d\)Pathological metastases not assessed.

TABLE 3 Number of patients according to preoperative risk factors for anastomotic leakage [5]

| No. of risk factors\(^a\) | Total n = 2900 (%) | Stoma+ n = 2581 (%) | Stoma– n = 319 (%) | Stoma rate (%) | P value |
|---------------------------|--------------------|---------------------|--------------------|----------------|---------|
| 0                         | 292 (10.1)         | 184 (7.1)           | 108 (33.9)         | 63.0           | <0.0001 |
| 1                         | 944 (32.6)         | 786 (30.5)          | 158 (49.5)         | 83.3           |         |
| 2                         | 1083 (37.3)        | 1043 (40.4)         | 40 (12.5)          | 96.3           |         |
| 3                         | 581 (20.0)         | 568 (22.0)          | 13 (4.1)           | 97.8           |         |

Bold values are statistical significant values.
\(^a\)Risk factors included: Male gender; neoadjuvant therapy; tumour in lower rectum (<8 cm from anal verge).
Type and ASA score remained equally distributed, while gender, tumour site, clinical disease stage, neoadjuvant therapy, procedure and anastomosis type, surgical entry and conversion rates were no longer significantly different (Table 5).

Overall surgical complication rates were similar between groups (21.6% vs. 22.1%; \( P = 0.90 \)). In the stoma group, six (2.9%) patients suffered AL, compared to 12 (5.8%) patients suffering AL in the no-stoma group (\( P = 0.15 \); Table 6). Of those with AL, eight no-stoma group patients required a reoperation, while none of the stoma group did (\( P = 0.016 \)). More stoma group patients experienced a postoperative ileus (12.5% vs. 6.7%, respectively; \( P = 0.046 \)). Median LOS remained longer in the stoma group (8.0 vs. 7.0 days, respectively; \( P = 0.001 \)). Postoperative histopathology was similar between the two matched cohorts.

**Table 4** Anastomotic leakage and anastomotic leakage rate by preoperative risk factors [5]

| No. of risk factors | Total \( n = 151 \); AL% | Stoma+ \( n = 132 \); AL% | Stoma- \( n = 19 \); AL% | \( P \) value |
|---------------------|-------------------------|--------------------------|------------------------|----------------|
| 0                   | 8 (6); 2.7              | 4 (3); 2.2               | 4 (21); 3.7            | \(<0.0001\)    |
| 1                   | 43 (28); 4.6            | 32 (24); 4.1             | 11 (58); 6.9           |                |
| 2                   | 57 (38); 5.3            | 54 (41); 5.2             | 3 (16); 7.4            |                |
| 3                   | 43 (28); 7.4            | 42 (32); 7.4             | 1 (5); 7.7             |                |

Bold values are statistical significant values.

Abbreviation: AL, anastomotic leakage.

*Risk factors included: Male gender; neoadjuvant therapy; tumour in lower rectum (<8 cm from anal verge).

**Table 5** Preoperative and intra-operative data of propensity score matched cohort

|                                   | Stoma+ \( n = 208 \) | Stoma- \( n = 208 \) | \( P \) value |
|-----------------------------------|-----------------------|----------------------|---------------|
| Gender (%)                        |                       |                      |               |
| Male                              | 131 (63.0)            | 131 (63.0)           | >0.99         |
| Female                            | 77 (37.0)             | 77 (37.0)            |               |
| Median age in years (range)       | 66 (26–92)            | 64 (23–90)           | 0.07          |
| Domestic living location (%)      |                       |                      |               |
| Urban                             | 186 (89.4)            | 183 (88.0)           | 0.64          |
| Rural                             | 22 (10.6)             | 25 (12.0)            |               |
| Tumour site (%)                   |                       |                      |               |
| Upper rectum (>12 cm)             | 59 (28.3)             | 59 (28.3)            |               |
| Mid rectum (8–12 cm)              | 114 (54.8)            | 114 (54.8)           | >0.99         |
| Lower rectum (<8 cm)              | 35 (16.8)             | 35 (16.8)            |               |
| Missing                           | 0                     | 0                    |               |
| Clinical tumour (cT) stage (%)    |                       |                      |               |
| T1                                | 19 (9.1)              | 25 (12.0)            | 0.78          |
| T2                                | 83 (39.9)             | 72 (34.6)            |               |
| T3                                | 86 (41.3)             | 91 (43.8)            |               |
| T4                                | 6 (2.9)               | 6 (2.9)              |               |
| Tx\(^a\)                          | 14 (6.7)              | 14 (6.7)             |               |
| Clinical nodal (cN) stage (%)     |                       |                      |               |
| N0                                | 120 (57.7)            | 134 (64.4)           | 0.22          |
| N1                                | 38 (18.3)             | 35 (16.8)            |               |
| N2\(^b\)                          | 19 (9.1)              | 21 (10.1)            |               |
| Nx\(^b\)                          | 31 (14.9)             | 18 (8.7)             |               |
| Clinical AJCC stage (%)           |                       |                      |               |
| 0                                 | 0                     | 0                    | >0.99         |
| 1                                 | 93 (44.7)             | 93 (44.7)            |               |
| 2                                 | 45 (21.6)             | 45 (21.6)            |               |
| 3                                 | 56 (26.9)             | 56 (26.9)            |               |
| X\(^c\)                           | 14 (6.7)              | 14 (6.7)             |               |
| Neoadjuvant therapy (%)           |                       |                      |               |
| Yes                               | 45 (21.6)             | 45 (21.6)            | >0.99         |

(Continues)
DISCUSSION AND CONCLUSIONS

This analysis of the BCCA demonstrates that 89% of the patients in ANZ undergoing a rectal resection for cancer with the formation of an anastomosis receive a defunctioning stoma with low AL rates. Propensity score matched analysis shows that AL rates in patients with a defunctioning stoma did not differ from those without a stoma; however, a defunctioning stoma is associated with lower reoperation rates.

Similar analysis of other national audits reported lower defunctioning stoma rates. Snijders et al. for instance used the Dutch Colorectal Audit and reported a defunctioning stoma rate of 67%, but with large variation between hospitals [12]. Postoperative complications, LOS and mortality were not reported, making it difficult to compare outcomes to the current study. Kuryba et al. found that out of all patients in the UK who underwent a LAR 66% received a defunctioning stoma, while a German study by Gastinger et al. reported a defunctioning stoma rate of 32.3% after LAR [15,16].

Although the defunctioning stoma rates in these European studies were lower, overall AL rates were similar to the current study [12,15,16]. Interestingly, these studies did not report a difference in AL rate between stoma and no-stoma groups either, and similar to our propensity score matched outcome Frouws et al. reported that it is less probable for patients with a defunctioning stoma to suffer a severe AL requiring reoperation [8].

The fact that the defunctioning stoma rate increased significantly with more AL risk factors present (Table 3) suggests that the ANZ surgeons are well aware of these risk factors and are more likely to create a defunctioning stoma when increasing AL risk factors are present [5,12].
## Table 6: Postoperative and histopathological outcomes of propensity score matched cohort

|                                | Stoma+ (n = 208) | Stoma- (n = 208) | P value |
|--------------------------------|------------------|------------------|---------|
| **Anastomotic leakage (%)**    |                  |                  |         |
| No                             | 202 (97.1)       | 196 (94.2)       | 0.15    |
| Yes                            | 6 (2.9)          | 12 (5.8)         |         |
| **Anastomotic leakage treatment (%) of leaks** |                  |                  |         |
| Conservative with antibiotics  | 4 (66.7)         | 2 (18.2)         | 0.016   |
| Transanal/percutaneous drainage| 2 (33.3)         | 1 (9.1)          |         |
| Reoperation                     | 0                | 8 (72.7)         |         |
| Missing                         | 0                | 1                |         |
| **Return to theatre (%)**      |                  |                  |         |
| No                             | 200 (96.2)       | 192 (92.3)       | 0.09    |
| Yes                            | 8 (3.8)          | 16 (7.7)         |         |
| **Overall surgical complications (%)** |                  |                  |         |
| No                             | 163 (78.4)       | 162 (77.9)       | 0.90    |
| Yes                            | 45 (21.6)        | 46 (22.1)        |         |
| **Surgical complications specified (%)** |                  |                  |         |
| Pelvic collection               | 5 (2.4)          | 10 (4.8)         | 0.19    |
| Superficial wound dehiscence    | 0                | 1 (0.5)          | 0.32    |
| Deep wound dehiscence           | 0                | 1 (0.5)          | 0.32    |
| Wound infection                 | 2 (1.0)          | 7 (3.4)          | 0.09    |
| Sepsis                          | 7 (3.4)          | 5 (2.4)          | 0.56    |
| Postoperative ileus             | 26 (12.5)        | 14 (6.7)         | 0.046   |
| Small bowel obstruction         | 3 (1.4)          | 1 (0.5)          | 0.32    |
| Urinary retention               | 8 (3.8)          | 5 (2.4)          | 0.40    |
| Ureteric injury                 | 0                | 1 (0.5)          | 0.32    |
| Postoperative haemorrhage       | 1 (0.5)          | 1 (0.5)          | >0.99   |
| Other surgical complications    | 7 (3.4)          | 10 (4.8)         | 0.46    |
| **Overall medical complications (%)** |                  |                  |         |
| No                             | 193 (92.8)       | 190 (91.3)       | 0.59    |
| Yes                            | 15 (7.2)         | 18 (8.7)         |         |
| **Medical complications specified (%)** |                  |                  |         |
| DVT/PE<sup>a</sup>             | 1 (0.5)          | 0                | 0.32    |
| Chest infection                 | 2 (1.0)          | 4 (1.9)          | 0.41    |
| Cardiac                        | 5 (2.4)          | 8 (3.8)          | 0.40    |
| Other medical complications     | 9 (4.3)          | 9 (4.3)          | >0.99   |
| **Median LOS in days (range)**  | 8.0 (3–72)       | 7.0 (3–36)       | 0.001   |
| **30-day mortality (%)**       |                  |                  |         |
| No                             | 206 (99.0)       | 204 (98.1)       | 0.64    |
| Yes                            | 2 (1.0)          | 4 (1.9)          |         |
| **30-day readmission (%)**     |                  |                  |         |
| No                             | 184 (88.5)       | 187 (89.9)       | 0.64    |
| Yes                            | 24 (11.5)        | 21 (10.1)        |         |
| **Pathological tumour (pT) stage (%)** |                 |                  |         |
| T0                             | 7 (3.4)          | 12 (5.8)         | 0.39    |
| Tis                            | 3 (1.4)          | 1 (0.5)          |         |
| T1                             | 41 (19.7)        | 42 (20.2)        |         |

(Continues)
More surgical complications, in particular postoperative ileus, and more medical complications were observed in the stoma group. These patients also had a prolonged LOS and were more frequently readmitted. Previous studies found similar results and also reported more postoperative morbidity and a longer LOS, probably due to stoma education, in patients who received a defunctioning stoma, although a meta-analysis found no difference in complications between patients with or without a defunctioning stoma [7,16,17]. In addition, complications of stoma closure, and whether closure was achieved, could not be presented in the current study as these data are not recorded in the BCCA.

In the complete cohort, pTs were higher in no-stoma group patients, who also had a higher postoperative AJCC stage compared to their preoperative staging. This in contrast to stoma patients, whose postoperative AJCC stages were lower than the preoperative staging. This difference between the two groups can be explained by the higher rate of neoadjuvant therapy administered to the stoma patients, resulting in down-staging of the tumour in this group [16,18,19]. This difference was no longer observed in the matched analysis.

Some limitations of the current study have to be addressed. First, since the BCCA dataset was not complete, patients with essential missing data points had to be excluded. Furthermore, follow-up is not captured reliably in the BCCA, making it impossible to perform analyses on timing of reversal of the defunctioning stoma. In addition, the BCCA does not record stoma related complications, which limits the ability to distinguish stoma related complications from non-stoma related complications. Also, data

| Stoma+ (n = 208) | Stoma− (n = 208) | P value |
|------------------|------------------|---------|
| T2 57 (27.4)     | 50 (24.0)        |         |
| T3 88 (42.3)     | 94 (45.2)        |         |
| T4 12 (5.8)      | 7 (3.4)          |         |
| Txb 0            | 2 (1.0)          |         |
| Pathological nodal (pN) stage (%) | Pathological nodal (pN) stage (%) |
| N0 146 (70.2)    | 129 (62.0)       | 0.15    |
| N1 43 (20.7)     | 60 (28.8)        |         |
| N2 19 (9.1)      | 19 (9.1)         |         |
| Nx 0             | 0                |         |
| Pathological metastatic (pM) stage (%) | Pathological metastatic (pM) stage (%) |
| M0 178 (85.6)    | 173 (83.2)       | 0.75    |
| M1 5 (2.4)       | 7 (3.4)          |         |
| Mx 25 (12.0)     | 28 (13.5)        |         |
| Pathological AJCC stage (%) | Pathological AJCC stage (%) |
| 0 10 (4.8)       | 11 (5.3)         | 0.60    |
| 1 79 (38.0)      | 68 (32.7)        |         |
| 2 55 (26.4)      | 50 (24.0)        |         |
| 3 59 (28.4)      | 72 (34.6)        |         |
| 4 5 (2.4)        | 7 (3.4)          |         |
| Circumferential resection margins (%) | Circumferential resection margins (%) |
| Negative 191 (97.0) | 196 (98.0)       | 0.51    |
| Positive 6 (3.0) | 4 (2.0)          |         |
| Missing 11        | 8                |         |
| Mucosal margins (%) | Mucosal margins (%) |
| Negative 205 (100.0) | 204 (100.0)     | >0.99   |
| Positive 0        | 0                |         |
| Missing 3         | 4                |         |

Bold values are statistical significant values.

Abbreviations: AJCC, American Joint Committee on Cancer; LOS, length of stay; Tis, tumour in situ.

Deep vein thrombosis/pulmonary embolism.
Pathological tumour stage could not be assessed.
Pathological nodal stage could not be assessed.
Pathological metastases not assessed.
entry into the BCCA was voluntary until 2018, which may result in a selection bias towards certain areas and institutions [14]. Despite these limitations, however, the BCCA is a large binational audit capturing current practice of colorectal surgery in ANZ and is therefore the most reliable dataset to analyse outcomes on a binational level. In the future, an increasing number of rectal cancer patients will be treated by ‘watch and wait’ after a complete response to neoadjuvant therapy [20]. Since the majority of these patients will not undergo surgery, this will result in a lower number of patients requiring a defunctioning stoma. Also, the accuracy of the data collected and the number of patients collected in the BCCA will increase further due to the mandatory data entry, aiding future analyses.

In ANZ, most patients who underwent rectal cancer resections with the formation of an anastomosis received a defunctioning stoma. A defunctioning stoma does not prevent AL from occurring but is mostly associated with a lower reoperation rate. Patients with a defunctioning stoma experienced a higher postoperative ileus rate and had an increased LOS.

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CONFLICT OF INTEREST
No conflict of interest.

AUTHOR CONTRIBUTIONS
All authors, VEMG, HMK, IO, SB, NNDV, RAH, TS, contributed substantially to the conception and design of the work, acquisition, analysis, interpretation of data, drafting and critically revision of the manuscript, approved the final version of the manuscript and agree to be accountable for all aspects of the work.

ETHICAL APPROVAL
The study was approved by the BCCA Operations Committee and the Central Adelaide Local Health Network Human Research Ethics Committee (HREC/18/CALHN/527, CALHN R20180809).

PATIENT CONSENT
No patient consent possible: only de-identified data were made available to the study team.

DATA AVAILABILITY STATEMENT
Data are available upon request from the BCCA.

ORCID
Vera E. M. Grupa https://orcid.org/0000-0002-8815-2767
Nagendra N. Dudi-Venkata https://orcid.org/0000-0002-9775-3599
Tarik Sammour https://orcid.org/0000-0002-4918-8871

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