LEARNING ZEROTH CLASS DICTIONARY FOR HUMAN ACTION RECOGNITION

Jia-xin Cai\textsuperscript{1}\textsuperscript{*} \hspace{2em} Xin Tang\textsuperscript{2} \hspace{2em} Lifang Zhang\textsuperscript{3} \hspace{2em} Guocan Feng\textsuperscript{3}\textsuperscript{*}

\textsuperscript{1} Xiamen University of Technology  \hspace{2em} \textsuperscript{2} Huazhong Agricultural University  \hspace{2em} \textsuperscript{3} Sun Yat-sen University

\textsuperscript{*}caijiaxin@xmut.edu.cn \hspace{2em} \textsuperscript{*}mcsfge@mail.sysu.edu.cn

ABSTRACT

In this paper, we attempt to learn a discriminative dictionary for human action recognition by sparse shape representations, in which the first-phase dictionary is learned on the selected discriminative frames and the second-phase dictionary is built for recognition using reconstruction errors of the first-phase dictionary as input features. We propose a “zeroth class” trick for detecting undiscriminating frames of the test video and eliminating them before voting on the action categories. Experimental results on benchmarks demonstrate the effectiveness of our method.

Index Terms— Human action recognition, sparse coding, dictionary learning, fractional Fourier descriptor

1. INTRODUCTION

Recently, human action recognition has gained much interest for its great potential in many application areas such as video surveillance and human-computer interaction. The challenge of human action recognition usually results from the problem that different action classes often share some common motion patterns. Moreover, the action videos usually include many redundant frames indicating the background, large noise, clutter or small movements that are with limited help for recognition [1]. For action video classification, if the training and test videos contain some frames representing the common motion components among different action classes, or some redundant frames with large noise or useless clutters, then the discriminability of classifier learnt from training frames will be corrupted, and the recognition result of test video will also get corrupted when the labels of undiscriminating test frames are used to determine the class label of test video.

Sparse coding based recognition approaches have attracted much attention in the field of computer vision [2]. To learn a well-adapted dictionary for obtaining good reconstruction and recognition performance, many algorithms [3,4,5] for training dictionary with label information and discriminative criterion have been proposed. These algorithms can only work well for the cleaning training samples or training samples with small corruption [6]. So the efforts for learning low-rank and discriminative dictionary are made in [6,7] to solve this problem. However, the previous methods can not handle the problem resulted from the undiscriminating test frame samples for video recognition. Imagining the test video contains many frames representing the useless clutters or some common components among different video classes, the label of test video will get corrupted by these undiscriminating test frames. So it is necessary to develop a discriminative dictionary for the situation that both the training and test videos contain many corrupted or uninterested frame samples.

In this paper, we attempt to learn a discriminative dictionary for action recognition to handle the situation that both the training and test videos contain undiscriminating frames with common components, redundant components, background, clutter or large noise. We propose a recognition framework for human action recognition in video by learning a discriminative dictionary called zeroth class dictionary. The “zeroth class” trick is proposed for detecting and filtering out the undiscriminating frames of the test video to eliminate the negative effect resulted from these frames during voting the action category of test video. The zeroth class dictionary method is a two-phase dictionary learning system [8,9] including three steps:

(1) Firstly, the discriminative frames of training videos are selected by Gentle Adaboost algorithm to learn the first-phase dictionary. The left undiscriminating frames are relabeled and assigned to the zeroth class. The zeroth class is a virtual class indicating undiscriminating frames which are with limited help for recognition, such as frames with common poses shared by different actions, frames with clutter or noise, and other redundant frames. Then the first-phase dictionary is learnt on the selected discriminative frames; the reconstruction errors of all frames corresponding to each dictionary atom are collected to build the new frame representations.

(2) Using the new frame representations, we learn the class-specific dictionary in which the sub-dictionary of each action class is learned on the corresponding selected discriminative frames and the zeroth class sub-dictionary is learnt on the undiscriminating frames. Then we obtain the preliminary labels of the test frames based on the learnt class-specific dictionary. The zeroth class is used for recognizing the redundant frames of test video, which are filtered out afterwards.
After the undiscriminating frames of test video are excluded, the final action label is voted by all remained discriminative frames of the test video. Experimental results on benchmark data show that our method outperforms most state-of-the-art approaches.

The rest of the paper is organized as follows: Sect. 2 reviews the relative works. Sect. 3 presents the zeroth class dictionary learning framework for human action recognition. Experimental setup and results on benchmark datasets are presented in Sect. 4, and conclusions are given in Sect. 5.

2. RELATIVE WORKS

Many efforts [10, 11, 12] have been devoted to studying action recognition by sparse representation and dictionary learning. Guha and Ward [10] provide a sparse representation for human action recognition by learning the over-complete bases on the local motion patterns. Zhang et al. [11] learn dictionary from spatiotemporal salient patches and use the sparse reconstruction coefficients of patches to represent image sequences of action videos. Wang et al. [12] propose a sparse model incorporating the similarity constrained term and the dictionary incoherence term for human action recognition.

Our work is also similar to the silhouette based action recognition approaches [13, 14, 15, 16]. Chaaraoui et al. [13] develop a human action recognition method through extracting multi-view key poses sequences and handling variations in shape by dynamic time warping. Cheema et al. [14] propose a human action recognition method by extracting a scale invariant contour-based pose feature and clustering the features to construct distinctive key poses. Cai and Feng [15] present a human action recognition method by describing contour-based shape feature using fractional Fourier transform. Cheng et al. [16] propose a human action recognition approach based on human silhouettes by supervised temporal t-stochastic neighbor embedding and incremental learning via low-dimensional embedding.

3. ZERO TH CLASS DICTIONARY LEARNING BASED ACTION RECOGNITION FRAMEWORK

3.1. Feature extraction

We use the fractional Fourier shape descriptor [15] to represent each frame of action videos. The fractional Fourier shape descriptor is built on the human pose represented by contour points of the binary silhouette. Given an image extracted from the action video, its binary silhouette is obtained from the segmented foreground region. Then the boundary of silhouette is extracted and the position of all points \( \{(x(i), y(i))\}_{i=1}^{N} \) along the boundary is represented as a complex sequence \( \{s(i)\} = x(i) + jy(i)\}_{i=1}^{N} \), where \( x(i) \) and \( y(i) \) denote the horizontal and vertical coordinate of the \( i \)th point respectively. Here \( N \) is the total number of contour points, and \( j \) denotes the imaginary unit. Then we shift the base point of coordinate system to the center of mass \((x_c, y_c)\) of contour points along the boundary.

\[
\hat{x}(i) = x(i) - x_c \quad \hat{y}(i) = y(i) - y_c \quad (1)
\]

After that, the length of sequence is normalized to a predetermined value \( L \) through down-sampling the contour. In our experiments, the normalized length \( L \) is set as 100.

\[
\hat{x}(i) = \hat{x}(\lceil i \ast \frac{N}{L} \rceil) \quad \hat{y}(i) = \hat{y}(\lceil i \ast \frac{N}{L} \rceil) \quad (2)
\]

Afterwards, we compute the discrete fractional Fourier transform of the transformed contours \( \{\hat{s}(i) : \hat{x}(i) + j\hat{y}(i)\}_{i=1}^{L} \), and get the response \( \{S(i)\}_{i=1}^{L} \) in the fractional Fourier domain. For a continuous signal \( \hat{s}(t) \), its \( p \) order continuous fractional Fourier transform is defined as:

\[
S_p(u) = \begin{cases} 
B_{\alpha} \int_{-\infty}^{\infty} \exp(j(\frac{t^2}{2}u^2 + t v) - \frac{\sin p\alpha}{p\alpha}) s(t) dt , & \alpha \neq \frac{n\pi}{2} \\
\hat{s}(t) , & \alpha = 2n\pi \\
\hat{s}(-t) , & \alpha = (2n \pm 1)\pi 
\end{cases} \quad (3)
\]

where \( \alpha = p\pi/2 \) is the rotation angle and \( B_{\alpha} = \frac{1}{\sqrt{2\sin p\alpha}} \). Here \( n \) denotes an integer. The order \( p \) is set as 0.9 in our experiments. For digital computation, we use a sampling-type discrete fractional Fourier transform proposed in [17] to calculate the response \( \{S(i)\}_{i=1}^{L} \).

Then the amplitude of fractional response, \(|S(i)|\), is calculated and normalized to obtain a scale invariant descriptor.

\[
d(i) = \frac{|S(i)|^2}{\sum_{i=1}^{L} |S(i)|^2} \quad (4)
\]

\( \{d(i)\}_{i=1}^{L} \) consists the fractional Fourier shape descriptor of human pose contour. For each training video, we assign its action class label to its all affiliated frames.

3.2. First-phase dictionary learning

Firstly, the Gentle AdaBoost algorithm is employed to select discriminative training frames. Gentle AdaBoost provides an approach for reweighting data points by updating weights of base classifiers and puts higher weights on undiscriminating data points than discriminative points [13]. Regression stump is used as the base classifier. The regression stump is a simple additive logistic regression based classifier, which classifies data points according to only one input dimension. For an input sample \( x \) whose \( k \)th dimensional feature is denote as \( x(k) \), the output class label \( f(x) \) of regression stump is defined by only four parameters \( (w, v, k, th) \), and represented as follows.

\[
f(x) = w * \text{sgn}(x(k) - th) + v \quad (5)
\]
The "one-against-the-rest" technique is employed to extend the primary binary classification problem to multi-class case. A training frame with high weight imply that it contains common and undiscriminating patterns between different action categories. We select the frames with the lowest weights from the training frame set of each action class at a rate of \( R \) to build the discriminative subset for generating the first-phase dictionary, and the remained frames are pushed into a pool where they are relabeled as the zeroth class and will be used for detecting undiscriminating frames of the test video later.

After the discriminative subset of the training frames is selected out, we generate a dictionary \( D \) on this set. The aim is to learn a dictionary \( D \) so that the selected discriminative frames have a sparse representation \( B \) over the dictionary. It can be written as the following optimization problem [19]:

\[
\min_{D,B} \| Y - DB \|_F^2 \quad \text{s.t.} \quad \| b_i \|_0 \leq C, \; \forall i
\]  

(6)

where \( Y \) is the selected discriminative subset of training frames represented by the fractional Fourier descriptor; \( D \) is the learned dictionary on the discriminative subset; \( b_i \) is the \( i \)th column of sparse coefficients matrix \( B \), denoting the representing coefficient of \( i \)th frame. \( C \) is the parameter controlling the sparsity of coefficients. \( \| \cdot \|_F \) denotes the Frobenius norm, and \( \| \cdot \|_0 \) is the \( l_0 \) norm enforcing the coefficients to be sparse.

Then for a frame \( y \), the reconstruction error corresponding to the \( i \)th atom of the dictionary \( D \) is computed as [20]:

\[
e_i(y) = \| y - D\delta_i(\beta) \|_2^2
\]

\[\hat{\beta} = \argmin_{\beta} \| y - D\beta \|_2^2 \quad \text{s.t.} \quad \| \beta \|_0 \leq C\]

(7)

where the function \( \delta_i(\beta) \) sets the \( j \)th dimension of \( \beta \) as 0 if \( j \neq i \). Suppose \( m \) is the atom number of dictionary \( D \), then the vector \([e_1(y), ..., e_m(y)]^T\) makes up a new feature of frame \( y \), which would be used as the new frame feature in the next phase dictionary learning.

### 3.3. Second-phase dictionary learning

After the new features of all frames in both training and test videos are computed, the class-specific dictionary learning [12] is performed. Suppose \( K \) is the number of action categories. Using the training frames belonging to the \( k \)th \( (k = 0, 1, ..., K) \) class (including the zeroth class), we learn the class-specific dictionary \( D_k \) using the new feature represented by reconstruction errors on the first-phase dictionary. The sub-dictionary \( D_k \) associated with the \( k \)th \( (k = 1, ..., K) \) nonzero action class is learnt on the corresponding selected discriminative frames of the \( k \)th class, and the zeroth class dictionary \( D_0 \) is learnt on the undiscriminating frames. Then the whole dictionary \( \tilde{D} \) is constructed by concatenating all the class-specific dictionaries, that is to say, \( \tilde{D} = [D_0 | D_1 | D_2 | ... | D_K] \).

After the whole dictionary \( \tilde{D} \) is learned, the sparse representation \( a_i \) of a frame \( \hat{x}_i \) of the test video can be estimated as follows.

\[
a_i = \arg\min_{a} \| \hat{x}_i - \tilde{D}a \|_2^2 \quad \text{s.t.} \quad \| a \|_0 \leq C
\]

(8)

The reconstruction error \( r_k(\hat{x}_i) \) associated with the \( k \)th class can be defined as:

\[
r_k(\hat{x}_i) = \| \hat{x}_i - \tilde{D}\Theta_k(a_i) \|_2 \quad k = 0, 1, ..., K
\]

(9)

where \( \Theta_k(a_i) \) produces a vector whose nonzero entries are coefficients of \( a_i \) associated with the \( k \)th class.

Then each frame of the test video is assigned to the class that corresponds to the minimum of reconstruction error with respect to each class (including the zeroth class). The estimated preliminary class \( \hat{k}_i \) of the test frame \( \hat{x}_i \) is given as:

\[
\hat{k}_i = \arg\min_{k \in \{0, 1, ..., K\}} r_k(\hat{x}_i)
\]

(10)

Afterwards, we filtered out the undiscriminating frames in the test video which are labeled as the zeroth class. Then the max pooling or sum pooling criteria is used to vote the action label by the remained discriminative frames corresponding to nonzero classes of the test video. For max pooling policy, each frame of the test video is classified to the nonzero class that corresponds to the minimum of reconstruction error with respect to each non-zero class. Then the estimated action class \( \hat{k} \) of the test video is given as:

\[
\hat{k} = \arg\min_{k \in \{1, ..., K\}} \{\min_{i \mid \hat{k}_i \neq 0} r_k(\hat{x}_i)\}
\]

(11)

For sum pooling policy, an overall residual is constructed by summing up the reconstruction errors corresponding to nonzero classes of each frames in the test video; then the test video is assigned to the nonzero class with respective to the minimum of overall error. The estimated action class \( \hat{k} \) of the test video is given as:

\[
\hat{k} = \arg\min_{k \in \{1, ..., K\}} \sum_{i \in \{i \mid \hat{k}_i \neq 0\}} r_k(\hat{x}_i)
\]

(12)

### 4. EXPERIMENTAL RESULTS

In order to evaluate the performance and practicability of the proposed approach, two human action recognition datasets, the Weizmann dataset [21] and the MuHAvi-MAS14 dataset [22], are used as benchmarks. For each class, we select frames with the lowest Gentle Adaboost weights as the discriminative subset at a rate of \( R \). The leave-one-out cross validation strategy is employed to separate the training video set and test video set. All parameters are tuned by grid searching. The best recognition rates on Weizmann dataset and MuHAvi-MAS14 dataset are achieved as 97.85% and 95.59% respectively when \( R \) is set as 0.2 and \( C \) is set as 15. We also compare the accuracy of our method to the reported
accuracy of other state-of-the-art methods. The comparison results are presented in Table1. Although the action features employed in most listed methods are different to ours, our method still shows a considerable performance and outperforms most listed methods on the benchmarks.

| Table 1. Comparison of methods on benchmarks |
|---------------------------------------------|
| Method          | Weizmann | MuHAVI-MAS14 |
|-----------------|----------|--------------|
| Our method(sum pooling) | 97.85%   | 95.59%       |
| Our method(max pooling) | 95.70%   | 95.59%       |
| Chaaraoui et al. [13] | 92.77%   | 91.18%       |
| Cheema et al. [14] | 91.6%    | 86.03%       |
| Singh et al. [22] |          | 82.35%       |
| Wang et al. [12] |          | 96.7%        |
| Cheng et al. [16] |          | 94.44%       |
| Cai and Feng [15] |          | 93.55%       |

Analysis of the relation between recognition accuracy and the size of zeroth class set has also been carried out. Figure 1 and Figure 2 show the relation between accuracy and the rate $R$ on Weizmann and MuHAVI-MAS14 dataset respectively. Experiment results demonstrate that our framework outperforms the ordinary two-phase dictionary learning framework without the discriminative frame detection and filtering stage at most time. However, if too many undiscriminating frames are selected into the zeroth class training set for the first-phase dictionary learning, the performance of the framework will decline. The experimental results demonstrate introducing the zeroth class is effective if a proper proration of zeroth class of the training set is set. We have also analyzed the relation between accuracy of our method and the parameter $C$. The experimental results on Weizmann and MuHAVI-MAS14 dataset are illustrated in Figure 3 and Figure 4 respectively. The results demonstrate that it is easy to find a proper parameter $C$ for achieving good classification performance through the zeroth class dictionary learning framework.

5. CONCLUSION

This paper presents an action recognition method by using zeroth class dictionary. The zeroth class dictionary provides a method to detect and delete undiscriminating frames of test video for improving the classification accuracy. The recognition framework is validated on benchmarks, showing a considerable performance.

6. REFERENCES

[1] Jiaxin Cai, Guocan Feng, and Xin Tang, “Human action recognition using oriented holistic feature,” in Image
T. Guha and R.K. Ward, “Learning sparse representations for human action recognition,” *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 34, no. 8, pp. 1576–1588, 2012.

[10] T. Guha and R.K. Ward, “Learning sparse representations for human action recognition,” *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 34, no. 8, pp. 1576–1588, 2012.

[11] T. Zhang, L. Xu, J. Yang, P. Shi, and W. Jia, “Sparse coding-based spatiotemporal saliency for action recognition,” in *2015 IEEE International Conference on Image Processing (ICIP)*, Sept 2015, pp. 2045–2049.

[12] Haoran Wang, Chunfeng Yuan, Weiming Hu, and Changyin Sun, “Supervised class-specific dictionary learning for sparse modeling in action recognition,” *Pattern Recognition*, vol. 45, no. 11, pp. 3902–3911, 2012.

[13] Alexandros Andre Chaaraoui, Pau Climent-Prez, and Francisco Pirez-Revuelta, “Silhouette-based human action recognition using sequences of key poses,” *Pattern Recognition Letters*, vol. 34, no. 15, pp. 1799–1807, 2013.

[14] S. Cheema, A. Eweiwi, C. Thurau, and C. Bauckhage, “Action recognition by learning discriminative key poses,” in *2011 IEEE International Conference on Computer Vision Workshops (ICCV Workshops)*, 2011, pp. 1302–1309.

[15] Jiaxin Cai and Guocan Feng, “Human action recognition in the fractional fourier domain,” in *3rd IAPR Asian Conference on Pattern Recognition (ACPR2015)*. IEEE, Nov 2015, pp. 1–5.

[16] Jian Cheng, Haijun Liu, Feng Wang, Hongsheng Li, and Ce Zhu, “Silhouette analysis for human action recognition based on supervised temporal t-sne and incremental learning,” *IEEE Transactions on Image Processing*, vol. 24, no. 10, pp. 3203–3217, Oct 2015.

[17] H.M. Ozaktas, N. Erkaya, and M.A. Kutay, “Effect of fractional fourier transformation on time-frequency distributions belonging to the cohen class,” *IEEE Signal Processing Letters*, vol. 3, no. 2, pp. 40–41, 1996.

[18] Jerome Friedman, Trevor Hastie, and Robert Tibshirani, “Additive logistic regression: a statistical view of boosting,” *Annals of Statistics*, vol. 28, pp. 2000, 1998.

[19] M. Aharon, M. Elad, and A. Bruckstein, “K-svd: An algorithm for designing overcomplete dictionaries for sparse representation,” *IEEE Transactions on Signal Processing*, vol. 54, no. 11, pp. 4311–4322, 2006.

[20] Y. C. Pati, R. Rezaifar, and P. S. Krishnaprasad, “Orthogonal matching pursuit: Recursive function approximation with applications to wavelet decomposition,” in *Proceedings of the 27th Annual Asilomar Conference on Signals, Systems, and Computers*, 1993, pp. 40–44.

[21] Lena Gorelick, Moshe Blank, Eli Shechtman, Michal Irani, and Ronen Basri, “Actions as space-time shapes,” *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 29, no. 12, pp. 2247–2253, December 2007.

[22] S. Singh, S.A. Velastin, and H. Ragheb, “Muhavi: A multicamera human action video dataset for the evaluation of action recognition methods,” in *2010 Seventh IEEE International Conference on Advanced Video and Signal Based Surveillance (AVSS)*, 2010, pp. 48–55.