Effects of temperature variations in high sensitivity Sagnac gyroscope

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Abstract GINGERINO is one of the most sensitive Sagnac laser-gyroscope based on an heterolithic mechanical structure. It is a prototype for GINGER, the laser gyroscopes array thought for reconstructing the Earth rotation vector and so measuring General Relativity effects. Many factors affect the final sensitivity of these devices, in particular slow varying environmental parameters come into play when they are used in long term measurements. On one hand, understanding the role of different terms allows to design more effective mechanical as well as optical layouts. On the other hand, a proper model of the dynamics affecting long term (low frequency) signal would increase the effectiveness of data analysis for improving the overall sensitivity. In this contribution we focus our concerns on the effects of room temperature and pressure aiming at further improving mechanical design and long term stability of the apparatus. Our data are compatible with a local orientation changes of the installation site, The underground Gran Sasso laboratory, below $\mu$rad as predicted by geodetic models. This value is, consistent with the requirements for GINGER and the installation of an high sensitivity Sagnac gyroscope oriented at the maximum signal, i.e. along the Earth rotation axes.

1 Introduction

The family of inertial angular rotation sensors based on the Sagnac effect is rather large. They rely on the Sagnac effect that appears as a difference in the optical path between waves (no matter if atomic or e.m. waves) propagating in opposite direction in a rotating closed loop. This effect can be observed, like in the case of fibre gyroscopes, as a phase difference between the two counter-propagating beams or, when the loop is a resonant cavity, as a frequency difference between them. In this last case, there are two possible strategies: to interrogate the cavities through external laser sources (active ring cavity, RLG) or to observe the beat note between the radiation emitted in the two directions by a laser medium (active ring cavity, usually called ring-laser-gyro, RLG). In principle a ring could operate both in active or in passive configuration, and this is a very interesting feature for very high sensitivity measurement to get rid of systematic that affects differently active from passive devices.

Eq. (1) reports the general relation connecting the Sagnac frequency $f_s$, the quantity measured for any RLG, and the modulus of the local angular rotation rate $\Omega$:

\[
f_s = 4 \frac{A}{P\lambda} \Omega \cdot \cos(\theta)
\]

where $A$ is the area enclosed by the optical path, $P$ its perimeter, $\lambda$ the wavelength and $\theta$ the angle between the area vector and the local rotational axis. Since for a RLG rigidly connected to the ground, the Earth rotation velocity is by far the dominant component of $\Omega$, we can approximate $\theta$ with the angle between the area vector and the Earth rotational axis and define the RLG Scale Factor (SF) as $4A/(P\lambda) \cos(\theta)$. Any change in $f_s$ can be ascribed to different sources and, in particular for high sensitivity measurements, it is in principle very hard to discriminate between spurious rotations (affecting $\Omega$) and changes in the scale factor, due to geometrical modifications and/or orientation changes, as

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they will produce the same effective change into $f_s$. For this reason, the details of the experimental apparatus matter in the final sensitivity, and even more in its long time response.

Main focus of GINGER is to measure General Relativity and geodetic effects that are DC or periodic signals, whose periods range from few days to years. Thus, to investigate the role of slow varying environmental perturbation such as temperature and pressure become of great importance.

Up to now, the most sensitive RLG was built with a so called monolithic design, a block of thermally stable material with optically contacted mirrors; this scheme is very expensive and, once installed, cannot be further enlarged or oriented at will [1]. RLG sensitivity increases with its dimension. A very large area, 833 m$^2$, heterolithic (HL) RLG was built, whose mirrors were hold inside metallic boxes fixed to the floor [2]. New HL apparatuses assembled onto a rigid monument supporting the mirrors metallic boxes have been developed in the last decade [3,4,5,6]. Large frame high-performance HL passive optical gyroscopes have been also recently reported [7,8,9,10,11].

In HL structures, the whole light path is inside a single vacuum chamber where the mirror corner boxes are connected by pipes, (see fig. [1]). Mirror holders are also provided with mechanical and PZT driven translators to have a fine control on their position and orientation. This control can be made active for geometry stabilization [12]. External disturbances can in principle produce spurious rotations and changes in the orientation of the area vector, moreover, since there is a continuous mechanical structure, couplings between the different mirrors are surely present and can generate spurious signals. GINGERINO is a highly sensitive HL RLG prototype continuously running, unattended and without any active control. It is part of the GINGER project, (Gyroscopes IN GEneral Relativity), which aims at measuring the Lense-Thirring effect on the Earth with 1% accuracy or even better [13,14]. It has been assembled inside the underground INFN Gran Sasso laboratories (LNGS) in order to probe the site noise level and to study the

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**Figure 1.** GINGERINO at the time of assembling. In the foreground the mirror box and the pipes connecting the boxes can be seen, one of the Mitutoyo screw used to orientate the mirrors is visible beyond the box. The setup is based on a steel mechanical structure attached to a cross shaped granite monument, which provide stability to the ring perimeter.
ultimate sensitivity of HL RLG. GINGERINO has clearly shown that this kind of mechanical structure can operate in the LNGS site with high sensitivity and long term stability, even if, being uncontrolled, mode jumps and split mode operation occasionally appear. These failures, however, affect the instrument duty cycle but do not reduce its sensitivity. It has been already proved that these perturbations typically affect 5% of the data \cite{15}, thanks to the LNGS low environment noise. However, it appears clearly that there is large room for improvements.

Effects of temperature and pressure on the apparatus must be investigated in order to further improve the design in view of GINGER. Clearly, data and findings should be handled with care since, as mentioned above, any change is totally equivalent to a fluctuation of the angular velocity modulus. The GINGER project is based on an array of RLG whose relative orientation can be chosen in order to optimally reconstruct the angular rotation. In particular it is convenient to orientate one of the RLG at the maximum Sagnac signal, i.e. with the area vector parallel to the pole, in this way the changes of the absolute inclination of the RLG at maximum signal affect its scale factor only at the second order, see eq. \ref{2}. In this way it’s possible to reconstruct the orientation with respect to the total angular rotation axis of the other RLGs \cite{14,16} to the micro-rad level. This accuracy is required to measure the Lense-Thirring effect at the 1% level. In this context, the stability of the underneath bedrock matters and should be further investigated.

In the present paper the data analysis results are used to find bounds on the effect of temperature and pressure on the instrument sensitivity in order to assess the environmental constrains to be fulfilled by the GINGER design. It is well established that known geophysical signals induce local rotations and tilts that affect in a well defined manner high sensitivity RLGs. These signal are for us a very useful test signals for assessing the reliability of our instrument and check the effectiveness of data analysis.

It is important to note that, while we draw specific conclusion in view of the GINGER design, the analysis and its method are more general and applies also to PRC.

The paper is organised as follows. In Sect. 2 we review the elements of the quite complex data analysis we usually run on GINGERINO data. In particular, we focus on the role of temperature and its interplay with local tilts as disturbances on the Sagnac signal. Then, in Sect. 3 conclusions are summarised.

## 2 Data analysis

This paper aims at relating data coming from the long time observation of the environmental parameters, such as temperature, pressure, air flow speed, local tilts, whose probes are all co-located with the RLG, with the Sagnac signal. The GINGERINO apparatus is contained inside a closed box made of thermal and acoustic shielding walls, floor and roof, and it is composed by the vacuum chamber, whose corners are rigidly screwed to a granite structure attached to the bedrock through a central reinforced concrete support. Temperature and pressure probes record the environmental data inside the box. A 2-channels tilt-meter is placed on the top of the granite monument to look for local tilts. An anemometer is also installed in the tunnel outside the box to measure air-flow speed. All environmental data are sampled at 1 Hz. The analysis has been applied to three time series (30 days in June 2018, 70 days in Autumn 2019, and 103 days in Winter 2020). The RLG beat signal was elaborated following the technique described in details in Refs. \cite{14,15,16,17,18,19,20}. There we reconstruct the true Sagnac frequency \( f_s \), (in the following often expressed as the pulse Sagnac frequency \( \omega_s = 2\pi f_s \)). So far, we have structured the analysis in order to recover from the data the global Earth rotation by taking into account laser dynamics and local disturbances coming from known geophysical signals.

Here we aim at analyzing the role of local environmental conditions, whose slow motion variations are indeed low frequency noise affecting the Sagnac signal. In particular, we look at correlation between environmental time series with the angular velocity resulting from the main data analysis.

In our analysis we consider \( \omega_s \) as

\[
\omega_s = \omega_{geo} + \omega_{local}
\]

with \( \omega_{geo} \) given by \( 2\pi \cdot SF \cdot \Omega_{geo} \) and \( \Omega_{geo} \) is the global Earth rotation rate routinely measured and elaborated by the International Earth Rotation and Reference Systems Service (IERS). Moreover, \( \omega_{local} = 2\pi \cdot SF \cdot \Omega_{local} \). In particular, \( \Omega_{local} \) combines the rotational contribution of local geophysical origin as tides, ocean loading, etc. with instrumental spurious rotations that may be result from changes in the environmental operating conditions. In principle, RLG data alone do not allow to discriminate between these two contributions. It is then important to identify any kind of disturbances on the apparatus coming from the environment by using the data from environmental probes, in order to improve the sensitivity and possibly the accuracy of future experimental apparatuses.

### 2.1 Pressure and air–flow contribution

We found no clear dependence of the gyroscope data on pressure and anemometer signals, notwithstanding the fact that GINGERINO is not pressure isolated, by tight doors, from the tunnel as it is usual for these kind of high sensitivity instrumentation. As a matter of fact, while air flows variations are themselves negligible, pressure excursions are \( \sim 4\% \).
The lack of evidence of pressure fingerprints on the data does not rule out the possibility of an influence on the Sagnac signal. Surely, at low frequency, these variations don’t produce sensible effects at the present stage. The pressure time line shows rather fast slopes most probably due to human activities that are not transferred to GINGERINO. However, pressure variations may be seen by the cave as a single mechanical structure with its own resonances, so that a tight isolation is certainly wise for GINGER that will occupy a larger cave volume.

2.2 Tilts and local rotation

A different finding came out for the two-axis tilt-meter and temperature data. Any local rotation, due to known geophysical signals and/or instrumental and environmental noise, results in changing the effective SF that includes the orientation of the ring plane with respect to the actual rotation axis and the geometry of the cavity. Preliminary, we note that tilt-meter data give a clear signal of the Earth solid tides. The tides show-up as a tiny bi-daily oscillation in both time series of Fig. 2. The period of such oscillations can be better estimated by looking at the amplitude spectral density (ASD) of tilt-meter reading for the two axis (N–S and E–W respectively) shown in Fig. 3. These plots refer to data collected in the 103 days long run in 2020. Such a long observation period allows a much better spectral resolution of low frequency signals such as solid tides.

Indeed, the ASD of tilt-meter data shows a peak at 1.932 cpd (corresponding to a period of 12.42 hours), that is at the expected frequency of the main tide component. Before going into details of the interplay between temperature and tilts, we stress that our tilt meter signals are quite similar to the typical geophysical signals recorded elsewhere by other high sensitive instruments. For instance, the records of the clinometer Marussi, installed in the cave of Bus de la Genziana (Friuli, Italy) \[21,22\], show that most of the tilts of the monument can be assumed to have geophysical origin. We will see that long time drifts may be ascribed to temperature changes. We hereby stress that known geophysical
signals play the role of a “test signal” for our apparatus giving us the possibility of evaluating its reliability in view of GINGER.

2.3 Temperature Effects

As above mentioned the analysis evaluates $\Omega_{\text{local}}$, the total local disturbance, using these information and the environmental monitors to recover at our best the Earth rotation velocity. In this context, known geophysical signals are of great importance since they can be used to increase the instrument accuracy and sensitivity. Any GR effects is contained into the Earth rotation signal once it’s cleared from any local perturbation, that’s why the study of room temperature effects on the apparatus is a key point. As shown for instance in Fig.4, the temperature variations are very slow, for this reason they have a great importance in the frequency region where the GR terms should appear. Starting point is the time behavior of $\Omega_{\text{local}}$ whose typical behavior is given in Fig.5 that shows the time series obtained from the 30 days 2018 data set.

It is clear that temperature variations may affect the apparatus in many different ways. They change the geometry of the gyroscope, but also deform the monument, generating spurious rotations. It is not straightforward to separate one effect from the other. Aim the present analysis is then to understand the effective role of as many as possible thermal contributions to the instrument signals. June 2018 data set has a nice clean temperature behavior with the maximum excursion limited to less than 0.03 °C. Then we will use these data to investigate residual contribution of the temperature in $\Omega_{\text{local}}$.

As clearly visible in Fig.5, $\Omega_{\text{local}}$ on quite long time scales follows the temperature behavior. This is a clear indication of a possible linear relation between the two variables that can be interpreted as a consequence of the bare instrument expansion due to thermal drifts. From Eq.4 we see that the SF depends linearly on the ring side length. We can, then, assume that for long term operations, the relative effect of a temperature variation $\Delta T$ on the Sagnac
frequency is given by $\rho \cdot \Delta T$, where $\rho$ is the thermal expansion coefficient. In our case, the expansion coefficient is the granite one $\rho_g = 6.5 \cdot 10^{-6}$ /°C and the average Sagnac frequency is $f_s = 280$ Hz. The expected effect is of the order of $f_s \cdot \rho \cdot \Delta T$. A linear regression carried on the 2018 data set, after separating local and global signals, gives a temperature coefficient of $0.7 \cdot 10^{-3}$ Hz/°C, to be compared to the expected value of $1.8 \cdot 10^{-3}$ Hz/°C.

Another approach is to scatter plot the two variables, $\Omega_{local}$ and $T$ in a region where the temperature varies linearly. This can be done selecting the data relative to the upward slope of the first $\sim 3$ days. Fig. 4 shows in a scatter plot $\Omega_{local}$ vs. $T$, the linear relation in this case is of the order of 0.45 Hz/°C, as obtained by a linear fit on the plotted data. This may indicate that temperature induces perturbations in the apparatus much larger than the geometrical scale factor changes thus confirming that temperature effects are multifaceted. Eventually, we note that the same approach is not worthy for the remaining of the data of the 2018 run. As a matter of fact, faster change in the temperature suppresses the relative scattering of $\omega_{local}$ but, once the temperature get more quiet, the scatter around the mean value increases.

2.4 Interplay between temperature and tilts

To further understand the role of the temperature we have investigated the relation between temperature and tilt signals. In this case, as it is evident comparing the time series in Figs. 2 and 4, there is no evidence of a simple relation. However, it is plausible that temperature changes induce some spurious rotation of the apparatus due to any anisotropy of the mechanical structure and/or of the underneath concrete monument. To appreciate whether or not an effect arises in the tilts, we have assumed a third order polynomial in the temperature variable as the driving for...
tilts. Consequently, we have, at first, calculated the maximum tilt direction for each time point, then fitted these tilt values with a polynomial fit of the third order leaving the temperature as explanatory variable.

The above procedure has a twofold aim. On one hand, to model the contribution to tilts, coming from the temperature, due to the instrumental deformation it allows to better use tilt-meter data in calculating the final instrument accuracy. On the other hand, the standard deviation of the residuals gives an evaluation of the orientation stability of the cave itself. Standard deviations of the residuals, indeed, give the fraction of inclination not related to temperature in this model.

We found a residual standard deviation of 0.726 µrad for the 2018 30 days series (third order fit r-squared=0.707 with 0.01 °C thermal stability, and ±2.5 µrad inclination range and standard deviation 1.3 µrad), decreasing to 0.25 µrad in the case of 103 days 2020 (third order fit r-squared=0.891 with 0.1 K thermal stability, ±1.5 µrad range, and standard deviation 0.73µrad). From this result we can infer that the orientation of the underneath bedrock is stable at the µrad level.

The relation between tilts and temperature in some portion of data has been estimated of the order of 500 µrad/°C. In GINGER, the RLG at maximum signal requires a long term stability of the monument of the order 1 µrad. If we assume in GINGER a long term temperature stability of 0.01 °C, we need to improve at least a factor 5 the orientation stability of the monument. We expect that in GINGERINO the changes of orientation are mostly related to the non uniformity of the basement which is connected to the bedrock through a reinforced concrete block, whose homogeneity was not cured.

We have also investigated possible effects of the temperature derivative $dT/dt$. It could deform the shape of the HL mechanical structure, producing a spurious rotation of the ring mirrors. This derivative, however, is a very small
Figure 6. Relationship between $\frac{\omega_{\text{local}}}{2\pi}$ and temperature variations.

quantity, at the limit of the measurement noise level. To be sure that, at the present sensitivity, GINGERINO does not see any effect of this term we looked at first 10 days data of June 2018, showing larger temperature drifts being acquired soon after a closing of the box. There we had for $dT/dt$ a maximum value of the order of $10^{-7} \, ^\circ\text{C}/\text{s}$ but we did not find a clear relation between the gyroscope signal and $dT/dt$. In any case, we expect that this effect is very small, and can be further reduced by an active control of the ring geometry and by improving the isolation of the apparatus from external perturbations.

Typical long term (some weeks) temperature fluctuations are of the order of $10^{-2} \, ^\circ\text{C}$, but in the 2020 long run we observed only $\sim 0.1 \, ^\circ\text{C}$ stability, ten times worse. This is probably due to deterioration of the protection box, which we will repair as soon as possible.

2.5 Coupling between the rotation of the HL RLG and the inclination of the monument

GINGERINO is based on one of the first mechanical model for heterolithic RLG, usually called GEOSENSOR, developed for application in seismology. The mirrors can be easily aligned using mechanical levers in air, which act on the mirror boxes. This smart and convenient solution has the drawback that all the mechanical parts form a continuous object, and basically very small rotations of one part can effectively make the cavity rotate. This means that any tilt and/or mechanical stress due to temperature may induce a spurious, tiny and slow, rotation of the optical cavity. Under this assumption we expect to observe a variation of the phase signal $\phi$ of the tilt-meter. This variation can be reconstructed integrating in time $\omega_{\text{local}}$, at this purpose we remind that the data are acquired at 600s rate, and before integration, interpolated in order to fill the gaps due to the missed points, associated with mode jumps and split mode.

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1 Access to the experiment is presently limited due CoVid pandemic. The whole GINGERINO experiment is enclosed in a box, to isolate from the cave. The box is warmed up by infrared lamps in order to keep the relative humidity below 60%.
Figure 7. Scatter plot of the absolute value the phase variation $\phi$ associated to the tilt versus the change of inclination $\Delta Tilt$.

operation. In Fig. 7 we report the phase $\phi$ versus the change of the absolute value of inclination $\Delta Tilt$ for the 2020 data, the longer data set. The plot shows a clear correlation between the two quantities indicating that, whenever the ring structure tilts, we see a rotation of the optical cavity.

Similar behavior is found using the other data sets. To have a quantitative estimation of the link between tilt and rotation, we have run a linear fit on the plotted data. The fit indicates that there is a linear relationship of the phase with the inclination of the monument, of the order of $550 \pm 5$ rad per rad of inclination (r-squared = 0.93). This connection between tilts and instrumental rotation will be reduced by the new mechanical design we are developing for GINGER. In GINGER each mirror will be uncoupled from the rest of the mechanics. Active controls on mirrors position and tilt, absent in GINGERINO, will be designed in order to avoid couplings to the entire structure. Moreover, in order to control and eventually subtract this contribution, it is possible to develop systems to monitor the position of each mirror with respect to the granite support.

3 Conclusions

GINGERINO is the first underground HL RLG operative on a continuous basis, with sensitivity better than prad/s. RLG signal is the sum of a global contribution coming from the Earth rotation and a local one that contains geophysical signals and local and instrumental disturbances. We have investigated the fingerprints of environmental parameters, such as pressure, temperature and local tilts, in the local contribution to the Sagnac frequency as measured by GINGERINO. Our study proves the very close relation between temperature and measured Sagnac signal. It is not only given by the thermal expansion of the granite support, which changes the perimeter of the cavity and accordingly the geometrical scale factor of the Sagnac gyroscope. Indeed, the coupling of temperature variations seems to be more
complex affecting mainly the support structure and the HL mechanical structure of GINGERINO. By looking at the local tilts, measured by a two-axis tilt-meter attached to the granite support, we found evidence of a coupling between this degree of freedom with the temperature variations. It most probably comes from the reinforced concrete interface between the granite and the underneath bedrock that is not homogeneous leading to orientation changes correlated with temperature variations. The study of the local disturbances $\omega_{\text{local}}$ shows that the RLG cavity rotates when the monument tilts. This can be associated with the HL mechanical design of GINGERINO, in which the mirrors are not fixed to the monument. Cross checking the temperature behavior and the tilt-meter signal we also proved that the residual orientation stability at the level of $\mu$rad at LNGS is suited for the construction of GINGER, the RLG array conceived to measure General Relativity effects. To reach the required sensitivity and accuracy, some improvements are necessary in the mechanics, especially for the structure underneath the ring. The present work indicate the path to follow toward GINGER: to increase the instrument isolation; to improve the holding structure homogeneity; to reduce the coupling between tilt and cavity rotation. All of these objective have a feasible solution.

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