Observational Study

Metabolic surgery and intestinal gene expression: Digestive tract and diabetes evolution considerations

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Abstract

AIM: To investigate the effects of bariatric surgery on metabolic parameters, incretin hormone secretion, and duodenal and ileal mucosal gene expression.

METHODS: Nine patients with type 2 diabetes mellitus (T2DM), chronic serum hyperglycemia for more than 2 years, and a body mass index (BMI) of 30-35 kg/m² underwent metabolic surgery sleeve gastrectomy with transit bipartition between May 2011 and December 2011. Blood samples were collected pre and 3, 6 and 12 mo postsurgery. Duodenal and ileal mucosa samples were collected pre- and 3 mo postsurgery. Pre- and postoperative blood samples were collected in the fasting state before ingestion of a standard meal (520 kcal) and again 30, 60, 90, and 120 min after the meal.
RESULTS: BMI values decreased by 15.7% within the initial 3 mo after surgery (31.29 ± 0.73 vs 26.398 ± 0.68, P < 0.05) and then stabilized at 22% at 6 mo postoperatively, resulting in similar values 12 mo postoperatively (20-25 kg/m²). All of the patients experienced improved T2DM, with 7 patients (78%) achieving complete remission (HbA1c < 6.5%), and 2 patients (22%) achieving improved diabetes (HbA1c < 7.0% with or without the use of oral hypoglycemic agents). At 3 mo postoperatively, fasting plasma glucose had also decreased (59%) (269.55 ± 18.24 mg/dL vs 100.77 ± 3.13 mg/dL, P < 0.05) with no further significant changes at 6 or 12 mo postoperatively. In the first month postoperatively, there was a complete withdrawal of hypoglycemic medications in all patients, who were taking at least 2 hypoglycemic drugs preoperatively. GLP-1 levels significantly increased after surgery (149.96 ± 31.25 vs 220.23 ± 27.55) (P < 0.05), while GIP levels decreased (GI) tract and enhance the carbohydrate absorptive capacity of the intestinal mucosa[9]. In experimental models of type 2 diabetes mellitus (T2DM), hyperplasia of the intestinal mucosa was observed in the early phases of the metabolic changes[7]. This phenomenon may be related to a transient interruption of normal cellular apoptosis[8], indicating altered intestinal regulatory gene functions for disease development.

Rat studies have demonstrated that hypertrophic changes occur primarily in the proximal gastrointestinal (GI) tract and the development of the intestinal epithelium[7]. In humans, postprandial hyperglycemia strongly predicts the development of T2DM[10]. Interestingly, with the resolution of diabetes, the intestinal epithelium also regains normal function and trophy, although the mechanisms underlying these changes are not understood[11].

Recently, Verdam et al[12] suggested that obese patients with T2DM have significantly more intestinal mass than their non-T2DM counterparts, suggesting that the hypertrophic changes previously observed in experimental models might be a cornerstone feature for T2DM development in humans as well.

Santoro et al[13] recently published the results of sleeve gastrectomy with transit bipartition (SGTB) or a partial duodenal switch, which is a metabolic surgery procedure. This innovative procedure brings the distal ileum in contact with undigested food through a gastro-ileal anastomosis, without excluding the duodenum from the passage of food; it has shown potential in resolving T2DM.

The present study aimed to investigate intestinal mucosal gene expression [p53 and tumor growth factor-β (TGF-β)] before and after SGTB in class I obese [body mass index (BMI) 30-35 kg/m²] patients with diabetes mellitus and the gastrointestinal tract.

CONCLUSION: Metabolic surgery ameliorated diabetes in all of the patients, accompanied by increased antiproliferative intestinal gene expression in non-excluded segments of the intestine.

Key words: Diabetes mellitus; Hyperglycemia; Intestine; Gene expression; Hyperplasia; Bariatric surgery

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Core tip: This study shows an improvement in expression of antiproliferative genes of intestinal mucosa after type 2 diabetes mellitus amelioration promoted by metabolic surgery procedure. We make a link between this outcome and morphological changes in intestinal mucosa on diabetes, that occurs mainly by insufficient negative control of mucosal growth in hyperglycemia. Metabolic and bariatric surgery promotes dramatic amelioration of glucose metabolism in diabetic patients by not completely understood means. This paper highlights for the first time, the intestinal absorptive capacity as the main focus for research of diabetes amelioration after metabolic surgery procedures.
T2DM and resolution of hyperglycemia after surgery. Considerations about the role of the GI tract in T2DM are also highlighted.

MATERIALS AND METHODS

Patients

This observational study was conducted from May to December 2011. Nine class I obese patients with T2DM who had presented with chronic serum hyperglycemia for >2 years were enrolled and underwent SGTB. This open access procedure involved a typical sleeve gastrectomy. After the SG, the ileoceleal transition is located. A single stitch is used to mark the point at the ileum located 80 cm from the ileocecal valve. The point 260 cm proximal to the ileocecal valve is then located. At this point, the intestinal segment is sectioned. The distal end is brought to the gastric antrum, and a wide laterolateral gastroileal anastomosis is created. In the following sequence, the small bowel cranial to the gastroileal anastomosis is laterally and widely Anastomosed to the ileum at an 80 cm distance from the ileocecal valve (previously marked) in a laterolateral mode (Figure 1).

All patients provided written informed consent before undergoing the surgery. The study was approved by the Institutional Review Board at the State University of Ponta Grossa, Paraná, Brazil. Diabetes diagnoses were based on the following American Diabetes Association criteria: a fasting plasma glucose level $\geq 126$ mg/dL (7.0 mmol/L), symptoms of diabetes plus a casual plasma glucose level $\geq 200$ mg/dL (11.1 mmol/L), or a 2-h postload glucose level $\geq 200$ mg/dL during a 75-g oral glucose tolerance test. The exclusion criteria included peptide C levels < 0.9 ng/dL, pregnancy, inflammatory bowel disease, drug or alcohol addiction, and psychiatric disturbances that precluded complete understanding of the surgical procedure.

Diabetes remission was defined as a hemoglobin A1c (HbA1c) level < 6.5% without the use of diabetes medications. Diabetes was considered to be improved if the patients had an HbA1c level < 7.0% with or without the use of oral hypoglycemic agents. The lipid profile consisted of fasting and postprandial triglyceride and total cholesterol levels. Clinical evolution was analyzed at 3, 6 and 12 postoperative months.

Pre- and postoperative blood samples were collected during the fasting state (8 h fasting) before patients ingested a standard meal (520 kcal) and again 30, 60, 90, and 120 min after the meal. Blood was collected in tubes with the anticoagulant ethylenediaminetetraacetic acid (1 g/L). Plasma was separated in aliquots of 1 mL per vial and frozen at -80 °C. During the preoperative period (under deep sedation with 50 mg of propofol and 50 μg of fentanyl), biopsy specimens of the duodenal mucosa (4 specimens, approximately 2 mm² each) were obtained using upper digestive endoscopy. Samples of the ileal mucosa (260 cm proximal to the ileocecal valve) were collected prior to the gastro-ileal anastomosis joining at the anastomosis site. After SGTB, access to the ileum and duodenum is possible during an upper endoscopy (Figure 1). Three months after surgery, mucosal samples were collected using endoscopic biopsy forceps with the patients under deep sedation with 5 mL of propofol and 1 mL of fentanyl. The trans- and post-operative tissues were promptly placed in RNA later solution (Qiagen), with subsequent freezing at -80 °C for storage until qRT-PCR analysis.

Quantification of gastric inhibitory polypeptide and glucagon-like peptide-1

Gastric inhibitory polypeptide (GIP) and glucagon-like peptide-1 (GLP-1) are the two primary incretin hormones secreted from the intestine after ingesting glucose or nutrients that stimulate insulin secretion by pancreatic β cells. Quantification of plasma GLP-1 and GIP was performed using the enzyme-linked immunoassay method in the range of 450 nm (Biotek EL800, Winoozki, VT, United States). The peptides were quantified using commercial kits from Phoenix Pharmaceuticals, Inc. (Belmont, CA, United States), according to the manufacturer’s instructions.

RNA extraction and quantitative reverse-transcription-PCR assays

Total RNA from the duodenal and ileal samples was isolated using the IllustraRNAspin Mini RNA Isolation Kit (GE Healthcare, Buckinghamshire, United Kingdom), according to the manufacturer’s instructions. Reverse transcription of 1 μg of total RNA was performed using the First-Strand cDNA Synthesis Kit (GE Healthcare, Buckinghamshire, United Kingdom), according to the manufacturer’s protocol. Gene expression of the target genes was quantified with qRT-PCR in a StratageneMXPro3005P thermocycler (Agilent Technologies, Santa Clara, United States). cDNA was amplified in duplicate PCR reactions using 1× of the
Sleeve gastrectomy with transit bipartition surgery leads to increased glucagon-like peptide-1 levels in patients with type 2 diabetes mellitus

Eight patients completed the fasting and postprandial blood sample collection protocol. There was a significant increase in the postoperative GLP-1 levels of each patient (compared with the preoperative levels \( P < 0.05 \); Figure 3A) when analyzed with the area under the curve (AUC) analysis. There was a slight decrease in the GIP concentrations, but this decrease was not significant (Figure 3B).

Sleeve gastrectomy with transit bipartition surgery leads to an increase in duodenal p53 gene expression and ileal-transforming growth factor-beta expression

Three months after surgery, the \( p53 \) expression significantly increased in the duodenum \( (P < 0.05); \) Figure 4A), and there was a trend of increased expression in the ileal mucosa \( (P = 0.1); \) Figure 4B). The \( TGF-\beta \) expression levels did not change in the duodenum (Figure 5A). However, \( TGF-\beta \) expression significantly increased in the ileum (Figure 5B) at 3 postoperative months.

DISCUSSION

The present study demonstrated that SGTB improves glucose and lipid metabolism in patients with T2DM and leads to complete T2DM remission in the majority of patients. In addition, there was increased expression of the anti-proliferative intestinal genes after metabolic improvement, suggesting that these genes play a possible role in the intestinal mucosa with T2DM progression.

Surgeries that are performed in the digestive tract, particularly bariatric or metabolic surgical procedures, are reportedly effective not only in treating obesity[15] but also for T2DM[16-18], although the specific mechanisms underlying the latter finding are not completely understood. Two different theories have been postulated, namely the foregut and hindgut hypothesis[19]. Research has also been conducted to understand how improvements in T2DM occur even before weight loss following these surgeries[20]. The effects of GI surgery on metabolic processes reinforce the importance of the GI tract in T2DM development.

**Table 1** Demographic characteristics of the patients with type 2 diabetes mellitus who underwent sleeve gastrectomy with transit bipartition (\( n = 9 \))

| Characteristic                        | Mean ± SD | Range      |
|--------------------------------------|-----------|------------|
| Age (yr)                             | 47.11 ± 7.84 | 30.00–53.00 |
| Gender (men), n (%)                  | 4 (44.4)  |            |
| Gender (women), n (%)                | 5 (55.6)  |            |
| T2DM duration (yr)                  | 5.6       | 3.00–11.00 |
| Preoperative BMI (kg/m\(^2\))       | 31.17 ± 2.18 | 26.47–33.39 |

\(^1\)Non-menopausal. BMI: Body mass index; T2DM: Type 2 diabetes mellitus.

SYBR® Green Master Mix (Stratagen, La Jolla, CA, United States). A negative control was also included for each gene amplification assay. The PCR cycling conditions were as follows: 5 min at 94 °C; 40 cycles of 15 s at 94 °C, 30 s at 60 °C, and 30 s at 72 °C, with a dissociation curve. The 18S was used as an internal control. The values are expressed as the relative expression in terms of the control levels (2^−ΔΔCt).

### Statistical analysis

Data are shown as the mean ± SE. Student’s t test or repeated measurements ANOVA with Bonferroni corrections were performed as appropriate, with a level of significance set at \( P < 0.05 \).

### RESULTS

#### Clinical characteristics of the patients

The patients (5 women, 4 men) were similar in age (Table 1). The mean BMI was 31.18 ± 1.17 kg/m\(^2\). All patients had satisfactory postoperative evaluations, with a normal diet, normal bowel movements, and no hospital readmissions.

### Sleeve gastrectomy with transit bipartition surgery leads to improvements in glucose and lipid metabolism

Complete T2DM remission was observed in 7 (78%) patients, and improved diabetes was observed in 2 (22%) patients. Before surgery, all patients were taking at least 2 hypoglycemic drugs, and 6 (66.7%) were insulin dependent. In the first postoperative month, there was a complete withdrawal of hypoglycemic medications in all patients.

There was a statistically significant decrease in BMI at 3 mo (by 15.7%) (31.29 ± 0.73 vs 26.39 ± 0.683, \( P < 0.05 \)), a further decrease at 6 postoperative months (22.2%), and a decrease of 22.8% at 12 postoperative months compared with the preoperative values (Figure 2A). At 3 postoperative months, the fasting plasma glucose had also decreased (59%) (269.55 ± 18.24 mg/dL vs 100.77 ± 3.13 mg/dL, \( P < 0.05 \)); there were no further changes in the fasting glucose levels at 6 or 12 postoperative months surgery (Figure 2B).

Three months postoperatively, postprandial glycaemia had decreased by 55% (334.88 ± 19.24 mg/dL vs 150.75 ± 6.84 mg/dL, \( P < 0.05 \)), and this level was maintained at 6 and 12 postoperative months (Figure 2C). The HbA1c levels before surgery were 10.7%, and they decreased to 6.8% at 3 postoperative months (10.66% ± 0.59% vs 6.78% ± 0.10%, \( P < 0.05 \)) (Figure 2D). Serum triglyceride and cholesterol levels also decreased 3 mo after surgery (325.11 ± 60.29 mg/dL vs 144.25 ± 10.11 mg/dL, and 214.33 ± 10.26 mg/dL vs 139.12 ± 7.63 mg/dL (55% and 27%, respectively) and remained at this level at 6 and 12 postoperative months (Figure 2E and F).
Figure 2  Changes in metabolic parameters following sleeve gastrectomy with transit bipartition in patients with type 2 diabetes mellitus. A: Body mass index; B: Fasting glycemia; C: Postprandial glycemia; D: Hemoglobin A1c; E: Plasma triglycerides; F: Plasma total cholesterol. The gray bars show data before the sleeve gastrectomy with transit bipartition (SGTB), and the black bars show data after the surgery (n = 9). Data are expressed as the mean ± SEM. ^P < 0.01 vs Pre. Pre: Pre-surgery; 3 m: 3 mo post-surgery; 6 m: 6 mo post-surgery; 12 m: 12 mo post-surgery.

Figure 3  Changes in gastric inhibitory polypeptide and glucagon-like peptide-1 levels following sleeve gastrectomy with transit bipartition in patients with type 2 diabetes mellitus. A: Area under the curve (AUC) for the plasma glucagon-like peptide-1 levels pre- and post-surgery while fasting and after ingesting a standard meal (520 kcal). Blood samples were collected 30, 60, 90, and 120 min after the meal; B: Sum of the individual AUCs of plasma gastric inhibitory polypeptide levels pre- and post-surgery while fasting and after ingesting a standard meal (520 kcal). Blood samples were collected 30, 60, 90, and 120 min after the meal. Data are expressed as the mean ± SE. ^P < 0.05 vs Pre. Pre: Pre-surgery; Post: Post-surgery.
In morbidly obese patients, bariatric surgery results in up to a 70% loss of excess weight. In the present study, the mean postoperative BMI was < 25 kg/m², a result that we considered to be attributed to a 100% loss of excess weight. In addition, we observed significant improvements in other metabolic parameters, such as triglyceride and total cholesterol levels. Different bariatric surgery procedures promote amelioration of dyslipidemia, potentially because of the ingestion of less food and the improved insulin resistance. There were significant improvements in fasting and postprandial glycemia after surgery. Pancreatic beta cell behavior depends on serum glucose levels, and phasic or chronic hyperglycemia may lead to desensitization, exhaustion, and apoptosis of the beta cells (glucotoxicity). Loss of beta cell mass is a cornerstone feature for the development of chronic hyperglycemia. Hyperabsorption in the epithelium in diabetes patients promotes postprandial hyperglycemia, an event that is potentially toxic to beta cell mass. Studies in different experimental models have demonstrated improvements in beta cell function after metabolic surgery, and this effect might be a result not only from improved incretin hormone function but also from less glucotoxicity in the beta cells, which are frequently exposed to postprandial hyperglycemia caused by the consumption of high glycemic foods. In the present group of T2DM patients, lower levels of postprandial glycemia might have been promoted by partial or complete deviation of hyperplastic and hyper absorption in the proximal segments of the intestine; however, further research is required to clarify this hypothesis.

GIP and GLP-1 are the two primary incretin hormones secreted from the intestine, and T2DM patients generally have lower levels of GLP-1. Schirra et al. have demonstrated that the load of ingested carbohydrates must overcome the absorptive capacity of the proximal intestine to enhance the GLP-1 secretion by mucosal L cells, which are primarily located in the distal small intestine. The intestinal hyperabsorption in T2DM patients might prevent food from reaching these distal segments of the intestine. When direct contact of the duodenal and proximal jejunal epithelium with food is avoided through an endoluminal plastic prostheses (EndoBarrier® GI Dynamics), improvements are observed in insulin resistance, fasting glycemic levels, and postprandial glycemic levels; these outcomes are similar to...
those obtained from bariatric surgery. These results, in addition to increased GLP-1 secretion, are also observed in glucose intolerant patients through the inhibition of disaccharidase alpha by orally administered acarbose, which partially prevents the absorption of carbohydrates in the proximal intestine\textsuperscript{[31]}. Similarly, studies have shown that metabolic surgery improves GLP-1 secretion, mainly by stimulating the distal intestine with undigested food\textsuperscript{[32,33]}, and SGTB promotes stimulation of the ileum by ingested food through a gastroileal anastomosis. Isolated sleeve gastrectomy without transit bipartition also elevates postprandial GLP-1 levels and improves glucose metabolism, although it does not alter the intestinal flow. After sleeve gastrectomy, gastric emptying is accelerated\textsuperscript{[34]}, and this acceleration might prevent complete absorption of food in the proximal intestine. The proximal intestine absorption capacity and flow intensity (amount of substrate/time) are important tasks for distal intestine stimulations and GLP-1 secretion\textsuperscript{[35]}.

In contrast, the GIP levels tended to decrease in the postoperative period in the present study. In T2DM patients, there is a resistance to GIP action\textsuperscript{[35]}, and surgery might influence its function. Højberg et al.\textsuperscript{[36]}, while studying rats, demonstrated that resolution of hyperglycemia restores GIP function by stimulating the expression of GIP receptors in pancreatic tissue. However, there is considerable variation in the reported results regarding GIP secretion after bariatric and metabolic surgery; thus, the relationship remains unclear\textsuperscript{[37]}. Comparing two groups of patients with the same weight loss (9.5 kg) achieved through bariatric surgery and a hypocaloric diet, Laferrère\textsuperscript{[38]} shows better metabolic results (incretin secretion, postprandial glucose levels) in operated patients instead of having the same amount of fat tissue loss. This result reinforces the importance of metabolic surgery or the rearrangement of the gastrointestinal tract on metabolic profile amelioration.

According to Osborne et al.\textsuperscript{[41]}, diabetes promotes morphological changes in the intestinal mucosa, and hyperglycemia correction reverses the hyperplasia. The mechanism underlying hyperplasia in T2DM appears to differ from that of other models of intestinal hyperplasia. In rats subjected to Roux-en-Y gastric bypass, the hyperplasia was only observed on the roux limb and not on the biliopancreatic limb, suggesting that overstimulation of the distal segments of the bowel by undigested food, previously poorly stimulated, might be the primary mechanism for the hyperplasia\textsuperscript{[39]}. In T2DM models, the hyperplasia occurs as an early step in the evolution of diabetes in non-surgically modified intestines, suggesting different mechanisms of hyperplasia induction\textsuperscript{[37]}. Furthermore, Noda et al.\textsuperscript{[40]} predicted that overfeeding is a necessary condition to intestinal hypertrophy, a serious concern in modern society\textsuperscript{[40]}, particularly with the increased consumption of high glycemic index foods, which parallels the increase in T2DM incidence\textsuperscript{[41]}. Food rich in carbohydrates is the primary stimulant for the growth of intestinal cells\textsuperscript{[42]}. The inability of the anti-proliferative genes in the intestinal mucosa to counteract the stimulation for growth promoted by food ingestion could be one factor in the pathophysiology of intestinal hypertrophy in diabetes. p53 and TGF-β are important down-regulators of epithelial growth\textsuperscript{[3-5]} and are influenced by diabetes\textsuperscript{[10]}. Alvarado-Vásquez et al.\textsuperscript{[43]} have reported lower levels of p53 in the endothelial cells of the umbilical cords of mothers with a strong family predisposition for T2DM. Interestingly, a cohort study of 55000 subjects demonstrated an association between the development of hyperglycemia and p53 polymorphisms, leading us to suggest a possible link between the expression levels of this gene and the progression of T2DM\textsuperscript{[44]}.

Few studies have analyzed the gene expression profile of intestinal epithelial cells in the presence of metabolic disease. In the present study, we analyzed the mucosal gene expression of p53 and TGF-β in non-excluded segments of the intestine and at the same intestinal site, pre- and postoperatively. Both genes were down-regulated before surgery (before improvements in T2DM). Interestingly, p53 expression was enhanced postoperatively, and this enhancement was more pronounced in the duodenum than in the ileum. At the same time, the expression of TGF-β was not altered in the duodenum but was significantly enhanced in the ileum. The small sample size may have resulted in these expression differences based on the intestinal site. However, lower levels of the anti-proliferative genes in T2DM (as in the preoperative state) could be explained by the direct effect of diabetes on p53 and TGF-β\textsuperscript{[41]}. In normal and tumor cells, p53 regulates the energy source, and it favors phosphorylative oxidation instead of glycolytic pathways. One method by which p53 favors phosphorylative oxidation is the down-regulation of the expression of glucose transporter (GLUT)-1 receptors\textsuperscript{[45]}, which are responsible for basolateral glucose transport. p53 also influences the expression of GLUT 3 and GLUT 4 receptors\textsuperscript{[46]}. A recent report has indicated that bariatric surgery significantly enhances mucosal expression of GLUT-1 receptors\textsuperscript{[47]}, thereby enhancing glucose consumption by enterocytes and acting as a primary factor for glucose homeostasis after surgery.

This study has certain limitations. One important limitation is the small sample size, as well as the lack of morphological experiments of the epithelium before and after surgery. Despite these limitations, we believe that our results will encourage future research regarding the relationship between the digestive tract and T2DM, particularly relating to higher proximal intestine absorptive capacity.

In summary, our data demonstrated that SGTB improves T2DM in a group of class I obese patients, and the metabolic improvements were accompanied...
by increased expression of the anti-proliferative intestinal genes. We propose a new theory regarding the resolution of T2DM after metabolic surgery, focusing on the hyper absorption capacity of the proximal intestine.

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