Change in health status in COPD: a seven-year follow-up cohort study

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Health status is a prognostic factor included in the assessment of chronic obstructive pulmonary disease (COPD). The aim of our study was to examine the associations of clinical factors with change in health status over a 7-year follow-up period. In 2005, 970 randomly selected primary and secondary care patients with a COPD diagnosis completed questionnaires including the Clinical COPD Questionnaire (CCQ); and in 2012, 413 completed the CCQ questionnaire again. Linear regression used difference in mean total CCQ score between 2005 and 2012 as the dependent variable. Independent variables were CCQ score at baseline 2005, sex, age, educational level, body mass index (BMI), smoking status, heart disease, diabetes, depression, number of exacerbations in the previous 6 months, dyspnoea (modified Medical Research Council (mMRC)). Health status worsened from mean total CCQ (s.d.) 2.03 (1.26) in 2005 to 2.16 (1.37) in 2012 (P = 0.011). In linear regression with adjustment for baseline CCQ; older age, lower education, higher mMRC and BMI below 25 kg/m² at baseline were associated with worsened health status in 2012. When sex, age and all statistically significant measures were included simultaneously in the analysis of the main study group, higher mMRC and BMI below 25 kg/m² were associated with deteriorated health status (P < 0.0001). A higher level of dyspnoea and lower weight were associated with worse health status in COPD. Strategies for decreasing dyspnoea and awareness of the possible increased risk of worsening disease in under- and normal-weight COPD patients are clinically important.
The analyses stratified by sex showed that the CCQ mean total score, the symptoms score and the functional state score increased in women, indicating deterioration of health status (Table 2). In the stratified multivariable regression analyses, dyspnoea was associated with worse health status at the follow-up in total and all domains in both men and women (data not shown). Among women, a statistically significant association was shown for normal weight compared with BMI $\geq 25$ kg/m$^2$ at baseline with worse functional state at the follow-up (data not shown). The interaction analyses showed no statistically significant effect modification by sex for any of the associations.

**DISCUSSION**

**Main findings**

The first important finding of this multi-centre 7-year follow-up study of both primary and secondary care patients with COPD is that health status in COPD worsens over time. The mean change is below the minimal clinically important difference, but over a third of the study population had a clinically significant deterioration. The second important finding of this study is that dyspnoea assessed by the mMRC scale and normal and underweight at baseline were associated with worsened health status at follow-up. The results of the linear regression analyses were substantially consistent with several studies where the mean SGRQ score was shown for normal weight compared with BMI $\geq 25$ kg/m$^2$ at baseline in total and all domains in both men and women (data not shown).

Interpretation of findings in relation to previously published work

The finding that health status in COPD worsens over time is consistent with several studies where the mean SGRQ score worsened over a period of 4 years in patients with COPD. However, none of these studies included primary care patients or analyses of how factors other than smoking and lung function were associated with the deterioration. Another study, of only primary care patients with COPD, did not find that health status assessed by Euroqol-Five-Dimensions changed during a year. We speculate that the reasons that our study showed a clear worsening of health status could be that our study included both primary care patients with COPD, did not assess by the mMRC scale and normal and underweight at baseline were associated with worsened health status at follow-up.

**Table 1. Patient characteristics at baseline**

| Patient characteristics | Primary care | Secondary care | P-value |
|-------------------------|--------------|----------------|---------|
| **Sex**                 |              |                |         |
| Male                    | 125 (40.6%)  | 46 (43.8%)     | Ref     |
| Female                  | 183 (59.4%)  | 59 (56.2%)     | 0.562   |
| **Age**                 |              |                |         |
| $\leq 60$               | 102 (33.1%)  | 34 (32.4%)     | 0.724   |
| 61–70                   | 155 (50.3%)  | 56 (53.3%)     | 0.536   |
| $> 70$                  | 51 (16.6%)   | 15 (14.3%)     | Ref     |
| **Educational level**   |              |                |         |
| Low                     | 193 (62.3%)  | 65 (61.9%)     | Ref     |
| High                    | 112 (36.7%)  | 40 (38.1%)     | 0.802   |
| **Smoking habits**      |              |                |         |
| Never                   | 21 (6.8%)    | 11 (10.5%)     | 0.023   |
| Ex                      | 183 (59.4%)  | 72 (68.6%)     | 0.013   |
| Occasional              | 17 (5.5%)    | 6 (5.7%)       | 0.234   |
| Current                 | 87 (28.2%)   | 16 (15.2%)     | Ref     |
| **BMI**                 |              |                |         |
| Underweight             | 21 (7.0%)    | 9 (8.7%)       | 0.559   |
| Normal weight           | 97 (32.2%)   | 32 (31.1%)     | Ref     |
| Overweight              | 124 (41.2%)  | 40 (38.8%)     | 0.935   |
| Obesity                 | 59 (19.6%)   | 22 (21.4%)     | 0.704   |
| **Number of exacerbations recent 6 months** | | | |
| 0                       | 226 (73.4%)  | 63 (60.0%)     | Ref     |
| 1                       | 45 (14.6%)   | 13 (12.4%)     | 0.918   |
| $\geq 2$                | 37 (12.0%)   | 29 (27.6%)     | $<0.0001$ |
| **Heart disease**       | 43 (14.0%)   | 21 (20.0%)     | 0.140   |
| Diabetes                | 33 (10.7%)   | 9 (8.6%)       | 0.530   |
| Depression              | 40 (13.0%)   | 7 (6.7%)       | 0.078   |
| CCQ total mean score    | 1.90 ($\pm 1.22$) | 2.39 ($\pm 1.30$) | $<0.0001$ |
| mMRC dyspnoea score     | 2.78 ($\pm 1.32$) | 3.26 ($\pm 1.25$) | 0.001 |
| COPD stage*             |              |                |         |
| I                       | 62 (40.0%)   | 21 (25.6%)     | Ref     |
| II                      | 67 (43.2%)   | 39 (47.6%)     | 0.094   |
| III                     | 24 (15.5%)   | 18 (22.0%)     | 0.048   |
| IV                      | 2 (1.3%)     | 4 (4.9%)       | 0.049   |

Patient characteristics at baseline in 2005, distributed over primary and secondary care. Data presented as numbers (percentages) or mean (s.d.). Abbreviations: BMI, body mass index; CCQ, Clinical COPD Questionnaire; mMRC, modified Medical Research Council.

*Data available in a subgroup of 237 patients.

| Totals and domain CCQ scores in 2005 and 2012. Data presented as means (s.d.). Abbreviation: CCQ, Clinical COPD Questionnaire. |
|----------------------------------|------------------|------------------|---------|
| CCQ                              | 2005             | 2012             | P-value |
| **Total mean score**             |                  |                  |         |
| All                              | 2.03 ($\pm 1.26$) | 2.16 ($\pm 1.37$) | 0.011   |
| Male                             | 2.11 ($\pm 1.27$) | 2.12 ($\pm 1.29$) | 0.881   |
| Female                           | 1.97 ($\pm 1.25$) | 2.20 ($\pm 1.43$) | 0.003   |
| **Symptoms**                     |                  |                  |         |
| All                              | 2.22 ($\pm 1.25$) | 2.32 ($\pm 1.35$) | 0.067   |
| Male                             | 2.45 ($\pm 1.29$) | 2.43 ($\pm 1.31$) | 0.870   |
| Female                           | 2.05 ($\pm 1.20$) | 2.24 ($\pm 1.38$) | 0.017   |
| **Mental state**                 |                  |                  |         |
| All                              | 2.15 ($\pm 1.84$) | 2.05 ($\pm 1.77$) | 0.201   |
| Male                             | 2.00 ($\pm 1.84$) | 1.81 ($\pm 1.65$) | 0.076   |
| Female                           | 2.26 ($\pm 1.83$) | 2.23 ($\pm 1.83$) | 0.781   |
| **Functional state**             |                  |                  |         |
| All                              | 1.77 ($\pm 1.41$) | 2.06 ($\pm 1.61$) | $<0.0001$ |
| Male                             | 1.83 ($\pm 1.35$) | 1.96 ($\pm 1.53$) | 0.155   |
| Female                           | 1.74 ($\pm 1.45$) | 2.13 ($\pm 1.67$) | $<0.0001$ |

The results of the linear regression analyses were substantially consistent with several studies where the mean SGRQ score worsened over a period of 4 years in patients with COPD. However, none of these studies included primary care patients or analyses of how factors other than smoking and lung function were associated with the deterioration. Another study, of only primary care patients with COPD, did not find that health status assessed by Euroqol-Five-Dimensions changed during a year. We speculate that the reasons that our study showed a clear worsening of health status could be that our study included both primary care patients with COPD, did not assess by the mMRC scale and normal and underweight at baseline were associated with worsened health status at follow-up. The results of the linear regression analyses were substantially consistent with several studies where the mean SGRQ score worsened over a period of 4 years in patients with COPD. However, none of these studies included primary care patients or analyses of how factors other than smoking and lung function were associated with the deterioration. Another study, of only primary care patients with COPD, did not find that health status assessed by Euroqol-Five-Dimensions changed during a year. We speculate that the reasons that our study showed a clear worsening of health status could be that our study included both primary care patients with COPD, did not assess by the mMRC scale and normal and underweight at baseline were associated with worsened health status at follow-up.
primary and secondary care patients, had a longer follow-up period and used a disease-specific instrument. Another study, using SGRQ for the assessment of health status in COPD patients in primary care, established that during the 1-year follow-up, health status improved in a third of the patients, worsened in a third and was unchanged in a third.15 In our study, there was a corresponding distribution of improvement and worsening of different properties of the SGRQ and CCQ. We found it clinically important that the changes in health status were demonstrated by a brief to administer and easy to use instrument. A brief to administer and easy to use instrument. Another study, using SGRQ for the assessment of health status in COPD patients in primary care, established that during the 1-year follow-up, health status improved in a third of the patients, worsened in a third and was unchanged in a third.15 In our study, there was a corresponding distribution of improvement and worsening of different properties of the SGRQ and CCQ. We found it clinically important that the changes in health status were demonstrated by a brief to administer and easy to use instrument.

Dyspnoea has previously been presented to predict change in SGRQ in COPD in a 1-year follow-up period, although the results were not repeated in the corresponding conditional change model.15 Our finding that dyspnoea at baseline was associated with worsened health status remained even in the conditional change model and with further adjustment for confounders. Interestingly, the predicting property of dyspnoea for change in health status in COPD has been examined for two different multidimensional indices, both including dyspnoea assessed by the mMRC. The DOSE (dyspnoea, obstruction, smoking, exacerbation) index16 was found to predict changes in CCQ in a 2-year follow-up period,17 and the BODE (BMI, obstruction, dyspnoea, exercise capacity) index18 predicted change in SGRQ in a 3-year follow-up period.19 In the latter study, the composite BODE index was also replaced by the included mono-components, with the result that dyspnoea and exacerbations but not BMI predicted change in health status.19

It is not surprising that dyspnoea was associated with the symptom score, as dyspnoea in itself is one of the items in this domain. However, it is most interesting that dyspnoea was associated with

Table 3. Linear regression total CCQ mean score difference

| Independent variables 2005 | CCQ difference between 2005 and 2012 |
|---------------------------|-------------------------------------|
|                           | Bivariable regression coefficient (95% CI) | P-value | Multivariable regression coefficient (95% CI) with adjustment for baseline CCQ | P-value | Multivariable regression coefficient (95% CI) with adjustment for baseline CCQ and confounders | P-value |
| Sex                       |                                           |         |                                           |         |                                           |         |
| Male                      | Ref                                     | 0.22 (0.002 to 0.43) | 0.048 | Ref                                     | 0.18 (−0.03 to 0.38) | 0.088 | Ref                                     | 0.13 (−0.09 to 0.33) | 0.257 |
| Female                    |                                           |         |                                           |         |                                           |         |
| Age ≥ 60                  | −0.33 (−0.65 to −0.01) | 0.046 | −0.35 (−0.66 to −0.05) | 0.024 | −0.32 (−0.64 to −0.13) | 0.042 |
| Age 61–70                 | −0.10 (−0.41 to 0.20) | 0.507 | −0.17 (−0.46 to 0.12) | 0.247 | −0.15 (−0.44 to 0.13) | 0.290 |
| Age > 70                  |                                           |         |                                           |         |                                           |         |
| Educational level         |                                           |         |                                           |         |                                           |         |
| Low                       | −0.10 (−0.32 to 0.12) | 0.381 | −0.21 (−0.43 to 0.003) | 0.047 | −0.16 (−0.37 to 0.06) | 0.151 |
| High                      |                                           |         |                                           |         |                                           |         |
| BMI                       |                                           |         |                                           |         |                                           |         |
| Underweight               | 0.35 (−0.06 to 0.77) | 0.096 | 0.42 (0.02 to 0.81) | 0.038 | 0.42 (0.03 to 0.81) | 0.035 |
| Normal weight             | 0.27 (0.04 to 0.50) | 0.023 | 0.25 (0.03 to 0.47) | 0.027 | 0.31 (0.09 to 0.53) | 0.006 |
| Overweight/obesity        |                                           |         |                                           |         |                                           |         |
| mMRC dyspnoea scale       | −0.03 (−0.11 to 0.06) | 0.538 | 0.26 (0.16 to 0.36) | <0.0001 | 0.26 (0.16 to 0.36) | <0.0001 |
| Subgroup                  |                                           |         |                                           |         |                                           |         |
| COPD stage                | 0.05 (−0.32 to 0.43) | 0.777 | 0.20 (0.02 to 0.38) | 0.030 | 0.14 (−0.05 to 0.33) | 0.161 |
| Excluded variables        |                                           |         |                                           |         |                                           |         |
| Exacerbations in the previous 6 months |         |         |                                           |         |                                           |         |
| 0                         |                                           |         |                                           |         |                                           |         |
| 1                         | −0.08 (−0.39 to 0.23) | 0.627 | 0.24 (−0.07 to 0.54) | 0.125 | —                                           |         |
| ≥ 2                       | −0.15 (−0.45 to 0.14) | 0.310 | 0.26 (−0.04 to 0.56) | 0.084 | —                                           |         |
| Smoking                   |                                           |         |                                           |         |                                           |         |
| Never                     | −0.20 (−0.64 to 0.23) | 0.362 | −0.20 (−0.61 to 0.22) | 0.352 | —                                           |         |
| Ex                        | −0.03 (−0.29 to 0.22) | 0.792 | −0.03 (−0.27 to 0.21) | 0.817 | —                                           |         |
| Occasional                | 0.23 (−0.27 to 0.73) | 0.366 | 0.22 (−0.25 to 0.69) | 0.366 | —                                           |         |
| Current                   |                                           |         |                                           |         |                                           |         |
| Heart disease             | −0.13 (−0.43 to 0.16) | 0.370 | 0.00 (−0.28 to 0.28) | 0.995 | —                                           |         |
| Diabetes                  | 0.09 (−0.26 to 0.44) | 0.615 | 0.08 (−0.25 to 0.41) | 0.638 | —                                           |         |
| Depression                | −0.27 (−0.60 to 0.07) | 0.114 | −0.13 (−0.45 to 0.19) | 0.412 | —                                           |         |

Results from linear regression analyses with change in CCQ between 2005 and 2012 as dependent variable. The data are presented as regression coefficients (±95% confidence intervals). Multivariable analyses included both a conditional change model with adjustment for CCQ at baseline; and then adjustment for sex, age, all independent variables with a statistically significant association in the conditional change model; and CCQ at baseline. Abbreviations: BMI, body mass index; CCQ, Clinical COPD Questionnaire; CI, confidence interval; mMRC, modified Medical Research Council.

*Analysis performed in a subgroup with n = 237.
associated with all the three domains of CCQ, and actually with higher amplitudes for the other two domains. This shows that dyspnoea is indeed of importance for all aspects of health status. The mental state domain score differed from the other domains and from the total score, by being the only domain with an unchanged health status score during the follow-up period.

In male patients, there was a tendency towards improvement, in accordance with the significant association of male sex with improved mental health status in the multivariate regression analysis. Our finding of an association between BMI and health status is consistent with a recent study of smokers where weight gain was associated with worsening of health status as measured by SGRQ in obese patients but with improvement of SGRQ score in normal-weight smoker, over a median follow-up time of six years. However, in that study of only a fourth of the patients had COPD, so our results extend the knowledge to include a clinical COPD population with all the stages of COPD. We are not aware of any previous longitudinal studies where normal and underweight or mMRC were associated with health status as assessed by the CCQ or any other such concise instrument, and no studies with a follow-up time as long as 7 years. Again, we find it important that our study shows that these associations are picked up even by a shorter and clinically more useful instrument.

Strengths and limitations of this study
The strengths of our study are that it is longitudinal over a period of 7 years, that it uses a multi-centre design and that both primary and secondary care patients are included. A limitation is that spirometry data were not available for the whole study population. This could be due to the fact that spirometry is not always regularly repeated in clinical management of COPD. However, the aim of our study was to perform a real-life study of a
rather than the subsequent obstruction than the smoking in itself that causes low health status.

Weight and height were self-reported as too few records contained those data, possibly causing bias. However, we analysed the self-reported and objectively measured values in the population with both values available (n = 132), and found no statistically significant differences. Measuring change between two time points can be problematic as the measure at baseline can influence the likelihood of change, for example, a unit change from a low or high starting point may have different consequences. Therefore, we used conditional change modelling so that both the relative and absolute scores of the health status variables are taken into account.

The GOLD strategy document recommends the use of CAT and alternatively the CCQ as suitable short health status instruments in COPD. Both instruments correlate well with the GOLD standard health status instrument in COPD; SGRQ. The reason for using CCQ in our study was the longer period of access for clinical use, as CAT was not accessible in 2005. Future longitudinal studies of CAT would be of interest. However, in our opinion, CCQ is a very good substitute, as a previous cross-sectional study has shown that similar clinical factors influence the two instruments.

Implications for future research, policy and practice

Important clinical applications of our study could be to find strategies for the treatment of dyspnoea and for gaining weight in under- and normal-weight patients with COPD. Fortunately, a Cochrane review has showed that nutritional support in malnourished COPD lead to weight gain and improved health status as measured by SGRQ. Moreover, several Cochrane meta-analyses have established that both pharmacological treatment with bronchodilators and pulmonary rehabilitation can reduce dyspnoea in patients with COPD. Thus, examining BMI and grade of dyspnoea in the management of both primary and secondary care COPD patients is of great importance for identifying factors possible to modify in order to improve health status.

Conclusions

We conclude that health status worsens over time, and that higher level of dyspnoea and lower BMI at baseline are associated with worsened health status at a 7-year follow-up in COPD. Strategies for decreasing dyspnoea and awareness of the possible increased risk of worsening disease among underweight and normal-weight patients with COPD might be of clinical importance.

MATERIALS AND METHODS

Data collection

In 2005, the PRAXIS study cohort was created, with primary and secondary care COPD patients from seven county councils in central Sweden. Each county council was represented by the department of respiratory medicine in their central hospital, the department of internal medicine from one randomly selected district hospital and eight randomly selected primary health care centres (PHCCs) in total 14 hospitals and 56 PHCCs. A list of all the patients aged 18–74 years with a doctors diagnosis of COPD (ICD-10 code J44) in the medical records during the period of 2000–2003 was compiled for every participating centre. A centralised random selection recruited 1,548 patients, including 1,084 in primary care and 464 in hospital clinics. The data were collected through patient questionnaires and record reviews for a total of 1,111 patients. The patient questionnaires included questions about patient characteristics and the Swedish version of CCQ. The number of patients with fully completed CCQ items in 2005 was 970. A paper presenting the associations with CCQ mean values in this study group was published in 2011. In 2012, a similar follow-up questionnaire was sent to the
remaining 692 patients. The main study group included the 413 patients that returned a questionnaire with fully completed CCQ items (Figure 3).

**Patient characteristics and measures**

Information on age, sex, smoking habits, level of education, number of exacerbations, height, weight, health status assessed by CCQ and grade of dyspnoea as measured by the mMRC scale was provided by the patient questionnaires. The exacerbations were defined as emergency visits during the last 6 months owing to deterioration in lung disease, with the answer choices 0, 1, 2 or >2 in the questionnaire. Information on spirometry data and presence of heart disease, diabetes and depression was obtained from the review of records for the period of 2000 to 2003. Heart disease and diabetes mellitus were defined as having the diagnoses of ischaemic heart disease or heart failure and diabetes type 1 or type 2 recorded anytime during the period of 2000 to 2003. Depression was defined as having a diagnosis of depression in combination with antidepressant drug treatment during the period of 2000 to 2003. Age from the 2005 questionnaire was categorised as <60, 61–70 and >70 years. The dichotomous educational variable identified the most highly educated group as those who had continued in full-time education for at least 2 years beyond the Swedish compulsory school period of 9 years. Smoking history was categorised into current smoking, ex-smoking, occasional smoking and never smoking. Obesity was defined as body mass index (BMI) >30, overweight as BMI <30 and >25, and underweight as BMI <20 kg/m², and BMI was categorised as overweight or obesity (BMI ≥25), normal weight (BMI 20–24.9), and underweight as BMI <20 kg/m². The number of exacerbations over the previous 6 months were grouped as 0, 1 or ≥2. In patients where spirometry data were available (n=237), their disease was graded on the basis of forced expiratory volume in 1 s (FEV₁) ref. 1 expressed as a percentage of the European Community for Steel and Coal reference values (FEV₁% predicted). 35

The CCQ

The CCQ consists of 10 questions distributed in three domains: symptoms, mental state and functional state. The observed symptoms are dyspnoea, cough and phlegm; mental state includes questions about feeling depressed and concerns about breathing; and functional state describes limitations in different activities of daily life owing to lung disease. The questions are assessed by a seven-point scale from zero to six. 5 There are two versions of the CCQ, one where the questions apply to the previous week and one to the previous 24 h. This study used the version referring to the previous week. The main outcome measure is the mean CCQ value, with separate scores for each domain and a higher value indicates lower health status. 6 The minimal clinical important difference for CCQ is established to be 0.4 units. 5, 36, 37 In the present study, total mean CCQ scores and CCQ mean domain scores for 2005 and 2012 were calculated.

**Statistical analysis**

The analyses were performed using SPSS version 22.0 (SPSS Inc, Chicago, IL, USA). The CCQ total mean difference between 2005 and 2012 was calculated. The Chi-squared test or Student’s t-test were used to examine the differences in patient’s characteristics between primary and secondary care patients, and Student’s t-test to examine differences in CCQ total score and domain scores between 2005 and 2012. The linear regression analysis was used to examine differences in CCQ total mean and domain mean scores, as well as CCQ total mean and domain mean scores between 2005 and 2012. All the analyses were performed unadjusted, adjusted for only CCQ score at baseline in 2005, and in addition with inclusion of the other measures singly or simultaneously. Age, sex, level of education, smoking status (four groups), exacerbation frequency over the last 6 months (three groups), heart disease, diabetes and BMI (three groups) were used as independent variables. Age, smoking status and BMI groups were modelled as series of binary dummy variables. In multivariable analysis; sex and the variables with statistically significant associations (age, educational level, BMI and mMRC) when entered singly were included. The effect of COPD stage was studied in the subgroup with available lung function data (n=237). Stratification and interaction analyses were used to investigate potential effect modification by sex. The interaction analyses adjusted for the main effects, using interaction terms for sex with each relevant variable and with further adjustment for the same factors as in the main multivariable regression analysis. Finally, the main analysis was performed as a logistic regression with deterioration in CCQ equal or greater than the minimal clinical important difference of 0.4 from 2005 to 2012 as the dependent variable, with adjustment for baseline CCQ score in 2005, sex, age, educational level, BMI and mMRC dyspnoea scale.

**Ethics**

The study was approved by the Regional Ethical Review Board of Uppsala (Dnr 2010/090). Written consent was obtained for all the participating patients.

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**CONTRIBUTIONS**

All co-authors have made substantial contributions to the conception of the study, interpretation of data and editing of the manuscript. KL is the guarantor of the study.

**COMPETING INTERESTS**

BS is an Associate Editor of npj Primary Care Respiratory Medicine, but was not involved in the editorial review of, nor the decision to publish, this article. The other authors declare no conflict of interest.

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