Semi-supervised Domain Adaptation for Dependency Parsing
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Abstract
During the past decades, due to the lack of sufficient labeled data, most studies on cross-domain parsing focus on unsupervised domain adaptation, assuming there is no target-domain training data. However, unsupervised approaches make limited progress so far due to the intrinsic difficulty of both domain adaptation and parsing. This paper tackles the semi-supervised domain adaptation problem for Chinese dependency parsing, based on two newly-annotated large-scale domain-specific datasets. We propose a simple domain embedding approach to merge the source- and target-domain training data, which is shown to be more effective than both direct corpus concatenation and multi-task learning. In order to utilize unlabeled target-domain data, we employ the recent contextualized word representations and show that a simple fine-tuning procedure can further boost cross-domain parsing accuracy by large margins.

1 Introduction
As a fundamental task in NLP, dependency parsing has attracted a lot of research interest during the past decades due to its multi-lingual applicability in capturing both syntactic and semantic information (Kübler et al., 2009; McDonald et al., 2013). Given an input sentence $S = w_0w_1...w_n$, dependency parsing constructs a tree $d = \{(h,m,l), 0 \leq h \leq n, 1 \leq m \leq n, l \in \mathcal{L}\}$, as depicted in Figure 1, where $(h,m,l)$ is a dependency from the head $w_h$ to the modifier $w_m$ with the relation label $l$, and $w_0$ is a pseudo root node.

Recently, dependency parsing has achieved tremendous progress thanks to the strong capability of deep neural networks in capturing long-distance contexts (Chen and Manning, 2014; Dyer et al., 2015; Zhou et al., 2015; Andor et al., 2016; Kiperwasser and Goldberg, 2016; Dozat and Manning, 2017; Ma et al., 2018). Furthermore, contextualized word representations learned from large-scale unlabeled texts under language model training loss (Peters et al., 2018; Radford et al., 2018; Devlin et al., 2018), are proven to be extensively helpful for many NLP tasks including dependency parsing (Che et al., 2018; Clark et al., 2018; Kitaev and Klein, 2018).

However, parsing performance drops dramatically when processing texts that are different from the training data, known as the domain adaptation problem. In fact, with the surge of web data (or user generated content), cross-domain parsing has become the major challenge for applying syntactic analysis in realistic NLP systems. To meet this challenge, the community has organized several shared tasks to attract more research attention (Nivre et al., 2007; Hajč et al., 2009; Petrov and McDonald, 2012).
Hindered by the lack of sufficient labeled data, most previous works on cross-domain parsing, including the aforementioned shared tasks, assume there is no labeled target-domain training data and thus focus on unsupervised domain adaptation. So far, approaches in this direction have made limited progress, due to the intrinsic difficulty of both domain adaptation and parsing (see discussions in Section 5). On the other hand, due to the extreme complexity and heavy cost, progress on syntactic data annotation on new-domain texts has been very slow, and only several small-scale datasets on web texts have been built, mostly as evaluation data for cross-domain parsing (Foster et al., 2011; Petrov and McDonald, 2012; Kong et al., 2014; Wang et al., 2014).

To meet the above challenges, this paper presents two newly-annotated large-scale domain-aware datasets (over 12K sentences), and try to tackle the task of semi-supervised domain adaptation for Chinese dependency parsing with the access of both labeled and unlabeled target-domain data, we propose and evaluate several simple approaches and conduct error analysis in order to investigate the following three questions:

Q1: How to effectively combine the source- and target-domain labeled training data?

Q2: How to utilize the target-domain unlabeled data for further improvements?

Q3: Given a certain amount of labeled data, how much data are needed to annotate to reach a certain performance on a new domain?

As our reviewers point out, the semi-supervised domain-adaptation scenario, tackled in this work, is less realistic than the unsupervised counterpart, due to need of labeled target-domain training data, which is usually extremely expensive. However, we believe that this work can be equally valuable and useful when there exist only dozens or hundreds of labeled target-domain training sentences, which may be a feasible compromise for realistic applications of parsing techniques, considering that, as discussed above, purely unsupervised domain adaptation makes very limited progress. We will also release all annotated data at http://hlt.suda.edu.cn/index.php/SUCDT and codes at https://github.com/SUDA-LA/ACL2019-dp-cross-domain.

2 Data Annotation

In this work, we choose two typical domain-aware web texts for annotation, i.e., product blogs and web fictions. This section introduces the details about the data annotation procedure.

**Data selection.** The product blog (PB) texts are crawled from the Taobao headline website, which contains articles written by users mainly on description and comparison of different commercial products. After data cleaning and automatic word segmentation, we have collected about 340K sentences. Then, we select 10 thousand sentences with [5, 25] words for manual annotation following the active learning workflow of Jiang et al. (2018). The remaining sentences are used as unlabeled data. For web fictions, we follow the work on cross-domain word segmentation of Zhang et al. (2014), and adopt the popular novel named as “Zhuxian” (ZX, also known as “Jade dynasty”). Among their annotated 4,555 sentences, we select about 3,400 sentences with [5, 45] words for annotation. The remaining 32K sentences of ZX are used as unlabeled data in this work.

**Annotation guideline.** After comparing several publicly available guidelines for dependency parsing including the universal dependencies (UD) (McDonald et al., 2013), we adopt the guideline released by Jiang et al. (2018) based on three considerations. First, their guideline contains 20 relations specifically designed to capture Chinese dependency syntax for texts of different sources. Second, the 70-page guideline gives very detailed illustrations with many concrete examples. Third, they have constructed a large-scale balanced corpus (BC), which is used as the source-domain labeled data in this work.

**Quality Control.** We employ about 15 undergraduate students as annotators, and select 5 experienced annotators with linguistic background as the expert annotators. Each annotator is intensively trained to be familiar with the guideline. Based on our browser-based annotation platform, we apply strict double annotation to guarantee the quality of the labeled data. First, each raw sentence with automatic word segmentation is randomly assigned to two annotators. The annotation is accepted if the two submissions are the same. Otherwise, a third expert annotator decides the answer after comparing and analyzing the two submissions.

**Statistics and Analysis.** After removing the
sentences with wrong word segmentation or incomprehensible semantics, we obtain 9,040 PB sentences and 3,249 ZX sentences. We analyze the two datasets from three aspects, as shown in Table 1. The sentence-wise consensus ratio is the percent of sentences that receive completely the same submission from two annotators, which is only 35% for PB and 46% for ZX. This means that more than a half of all sentences need to be checked by expert annotators, showing the complexity of syntactic annotation and the necessity of double annotation for quality guarantee. The token-wise consensus ratio is the percent of tokens that receive the same heads and labels from two annotators, which is still lower than 70% for PB and 80% for ZX. These consensus ratios clearly show that PB is more difficult to annotate than ZX. As user generated content, PB is much more casual and contains a lot of word ellipsis phenomena, wrongly written characters, abbreviated words, ill-grammar expressions, and so on.

The OOV (out-of-vocabulary) ratio means the percent of tokens that do not occur in the source-domain BC data of Jiang et al. (2018). We can see that the OOV ratio is much higher in PB than ZX, which would certainly make PB more difficult to parse.

### 3 Approaches

This section presents several semi-supervised cross-domain parsing approaches.

#### 3.1 Base Biaffine Parser

In this work, we build all the approaches over the state-of-the-art deep biaffine parser (Dozat and Manning, 2017). As a graph-based dependency parser, it employs a deep biaffine neural network to compute the scores of all dependencies, and uses viterbi decoding to find the highest-scoring tree. Figure 2 shows how to compute the score of an arc \( \text{score}(i \leftarrow j) \).

First, the biaffine parser applies multi-layer bidirectional sequential LSTMs (BiLSTM) to encode the input sentence. The input of the \( i \)-th word is the concatenation of word/tag embeddings, i.e., \( x_i = e^w_i \oplus e^t_i \). The output vector of the top-layer BiLSTM for the \( i \)-th word is denoted as \( h_i \). It is fed into two separate MLPs to get two lower-dimensional representation vectors of the word, as a head and a dependent respectively.

\[
r_H^i, r_D^i = \text{MLP}^H(h_i), \text{MLP}^D(h_i)
\]

Finally, the score of an arc is computed via a biaffine operation.

\[
\text{score}(i \leftarrow j) = \begin{bmatrix} r_D^i \\ 1 \end{bmatrix}^T W h_j^H
\]

Similarly, the parser uses extra MLPs and biaffines to compute label scores \( \text{score}(i \xleftarrow{l} j) \). Due to space limitation, we refer readers to Dozat and Manning (2017) for more details.

**Training loss.** For each \( w_i \) and its gold-standard head \( w_j \) and label \( l \), the parser adopts local cross-entropy losses.

\[
\text{loss}(i \xleftarrow{l} j) = - \log \frac{e^{\text{score}(i \xleftarrow{l} j)}}{\sum_{0 \leq k \leq n} e^{\text{score}(i \xleftarrow{l} k)}} - \log \frac{e^{\text{score}(i \leftarrow j)}}{\sum_{l' \in \mathcal{L}} e^{\text{score}(i \xleftarrow{l'} j)}}
\]

where \( \mathcal{L} \) is the label set. Separate losses are computed for heads selection and labeling.
3.2 Combining Two Training Datasets

In this subsection, we describe three simple approaches for combining the source- and target-domain training datasets.

(1) Direct concatenation (CONCAT). The most straightforward way is to directly merge multiple training datasets into a larger one. This method treats the source- and target-domain training datasets equally. The basic parser can be directly used with little modification. The major drawback for this method is that the model uses the same parameters for both domains, and thus is unable to learn the domain-specific features.

(2) Domain embedding (DOEMB). Stymne et al. (2018) propose a treebank embedding approach to improve parsing by utilizing multiple heterogeneous treebanks (following diverse annotation guideline) for a language. Inspired by their work, we propose to concatenate each word position with an extra domain embedding to indicate which domain this training sentence comes from, as illustrated in Figure 3. In this way, we expect the model can fully utilize both training datasets, since most parameters are shared except the two domain embedding vectors, and learn to distinguish the domain-specific and general features as well.

(3) Multi-task learning (MTL) aims to incorporate labeled data of multiple related tasks for improving performance (Collobert and Weston, 2008). Guo et al. (2016) first employ MTL to improve parsing performance by utilizing multiple heterogeneous treebanks and treating each treebank as a separate task. As shown in Figure 4, we make a straightforward extension to the biaffine parser to realize multi-task learning. The source-domain and target-domain parsing are treated as two individual tasks with shared parameters for word/tag embeddings and BiLSTMs. The main weakness of MTL is that the model cannot make full use of the source-domain labeled data, since the source-domain training data only contributes to the training of the shared parameters.

The corpus weighting strategy. For all above three approaches, the target-domain labeled data would be overwhelmed by the source-domain data during training if directly combined, since there usually exists a very big gap in their scale. Therefore, we employ the simple corpus weighting strategy (Li et al., 2014) as a useful trick. Before each iteration, we randomly sample training sentences separately from the target- and source-domain training data in the proportion of $1 : M$. Then we merge and randomly shuffle the sampled data for one-iteration training. We treat $M \geq 1$ as a hyper-parameter tuned on the dev data.

3.3 Utilizing Unlabeled Data

Besides labeled data, how to exploit unlabeled data, both target- and source-domain, has been an interesting and important direction for cross-domain parsing for a long time, as discussed in Section 5. Recently, Peters et al. (2018) introduce embeddings from language models (ELMo) to effectively utilize large amount of raw texts as a pretraining step. They use multiple BiLSTM layers as the sentence encoder and employ left-to-right sequential language model losses.

In this work, we propose a very simple two-step approach to apply ELMo to the cross-domain scenario.

Step 1: Training ELMo on a large-scale general-domain unlabeled data. We train ELMo on the Chinese Gigaword Third Edition, consisting of about 1.2 million sentences. It takes about 7 days using 6 GPU nodes (GTX 1080Ti).
Step 2: Fine-tuning ELMo on the target-domain unlabeled data. We then fine-tune ELMo on the target-domain unlabeled data using the parameters trained in the previous step as the start point. To save computation resource, we merge all train/dev/unlabeled data of all three domains as one unlabeled dataset for fine-tuning ELMo once, and use the same fine-tuned ELMo for all three domains.

For each word, the representations from the three BiLSTM layers of ELMo are averaged and used to replace the original word embeddings in the Biaffine Parser. We did not try to let the model automatically learn different weights for different layers, which may leads to slightly better performance. Since ELMo uses charLSTM to learn the first-layer word representations, we did try to expand the character dictionary with those that only occur in the target-domain unlabeled data, and randomly initialize their corresponding char embeddings before fine-tuning ELMo. However, this only produces slight and inconsistent performance gains.

4 Experiments

Data. We use the balanced corpus (BC) released by Jiang et al. (2018) as the source domain, following their train/dev/test split. We use our newly annotated PB/ZX datasets as two target domains, and split each into train/dev/test, with the consideration that the dev/test datasets are made as large as possible for the sake of more reliable evaluation. We also provide target-domain unlabeled data, as discussed in Section 2. Table 2 shows the data statistics.

Evaluation metrics. We use the standard labeled attachment score (LAS, percent of words that receives correct heads and labels) and unlabeled attachment score (UAS, ignoring labels).

Parser settings. We implement the basic biaffine parser and the proposed approaches with PyTorch. We follow the hyperparameter settings of Dozat and Manning (2017), such as learning rate and dropout ratios. Each parser is trained for at most 1,000 iterations, and the performance is evaluated on the dev data after each iteration for model selection. We stop the training if the peak performance does not increase in 50 consecutive iterations.

4.1 Single-domain Training Results

Table 3 presents parsing accuracy on the dev data when training each parser on a single-domain training data. We can see that although PB-train is much smaller than BC-train, the PB-trained parser outperforms the BC-trained parser by about 8% on PB-dev, indicating the usefulness and importance of target-domain labeled data especially when two domains are very dissimilar.

However, the gap between the ZX-trained parser and the BC-trained is only about 2% in LAS, which we believe has a two-fold reason. First, the size of ZX-train is even smaller, and is only less than one third of that of PB-train. Second, the BC corpus are from the People Daily newspaper and probably contains novel articles, which are more similar to ZX. Overall, it is clear and reasonable that the parser achieves best performance on a given domain when the training data is from the same domain.

4.2 Combining Two Training Datasets

We combine the source- and target-domain training data using the three approaches described in Section 3.2. Due to the big gap between the size of the source- and target-domain training data, we employ the corpus weighting strategy to balance the effect of difference sources.

Figure 5 shows the results on the dev data with different weighting factor $M$. The curves on both PB and ZX clearly show that corpus weighting is extensively helpful, and the performance gap between a good weight factor and a bad one can

|                  | BC  | PB  | ZX  |
|------------------|-----|-----|-----|
|                  | UAS | LAS | UAS | LAS | UAS | LAS |
| BC               | 82.77 | 77.66 | 68.73 | 61.93 | 69.34 | 61.32 |
| PB               | 62.10 | 55.20 | 75.85 | 70.12 | 51.50 | 41.92 |
| ZX               | 56.15 | 48.34 | 52.56 | 43.76 | 69.54 | 63.65 |
be large for certain target domains and methods. Specifically, for PB as the target domain, it seems sufficient to use the same weight for the source and target domains (i.e., $M = 1$), and choosing a proper larger $M$ leads to less than 1% improvement. In contrast, corpus weighting is more important for the ZX domain, and leads to much better performance with a larger $M$. In addition to the very small size of ZX-train, another reason may be due to the large similarity between ZX and BC, as previously discussed.

From another aspect, we can see that the DOEMB approach always performs best among the three approaches on both target domains, and MTL is the most ineffective in making use of the source-domain training data.

Overall, the results are consistent with our discussions in Section 3.2. The key of the success of DOEMB over both CONCAT and MTL lies in the balance between merging the knowledge in both domains by sharing more parameters and distinguishing the two domains in order to learn domain-specific and general features.

For each method-domain pair, we select the best corpus weighting $M$ according to their results on the dev data.

### 4.3 Utilization of Unlabeled Data

In this part, we enhance the most effective DOEMB approach with ELMo with the approach described in Section 3.3. Table 4 reports the results.

Surprisingly, using the ELMo trained on general-domain Chinese Gigaword corpus has opposite effect on the two target domains. LAS decreases by 0.99 on PB but increases by 1.16 on ZX. We suspect the reason may be that that Chinese gigaword corpus, like BC, contains many novel-related texts that are similar to ZX. In contrast, it is quite unlikely to have texts similar to PB, considering the PB texts are usually recent user-generated content. This finding is different from the in-domain parsing results, where ELMo is always helpful (Che et al., 2018; Clark et al., 2018)

Further fine-tuning ELMo on target-domain unlabeled data leads to consistent and large improvement on both domains. Compared with “ELMo (Giga)”, LAS increases by 5.43 on PB and 1.48 on ZX. We believe the larger improvement on PB versus ZX is mainly due to the much larger scale of unlabeled PB data. The results demonstrate that through fine-tuning on target-domain unlabeled data, ELMo effectively learns domain-specific knowledge, and is able to produce more reliable contextualized word representations.

### 4.4 Final Results On Test Data

Table 5 shows the final results on the test data, which are consistent with the previous observations. First, when constrained on single-domain training data, using the target-domain data is the most effective. Second, using source-domain data as extra training data is helpful, and the DOEMB method performs the best. Third, it is extremely useful and efficient to first train ELMo on very large-scale general-purpose unlabeled data and then fine-tune it on relatively small-scale target-domain unlabeled data.

### 4.5 Analysis

The final performances on PB are consistently higher than those on ZX by about 2%, as shown in Table 5. We believe one major reason is PB-train is more than three times larger than ZX-train. This then raises an interesting and important question. When facing a new domain, how much data do we need to annotate to reach a certain performance given a certain amount of source-domain data?
We try to give some clues through the following analysis.

**Effect of the source-domain data size** is shown in Figure 6. We fix the size of the target-domain data and increase the size of the source-domain data by using a random subset of BC-train. The “PB/ZX-train 1.5K” curves are based on random 1500 PB/ZX-train sentences in order to make fair comparison, and the “PB-train 5K” curve uses random 5000 PB-train sentences in order to understand the effect of larger target-domain data. For example, “4” at the x-axis means that the size of BC-train is four times as much as that of the target-domain data.

We can see that when the size of the target-domain data is small, i.e., “PB/ZX-train 1.5K”, adding more source-domain BC-train data leads to consistent improvements. In split of the same data size, “PB-train 1.5K” and “ZX-train 1.5K” still have a large performance gap, which is probably caused by the effect of ELMo with the much larger scale of unlabeled PB data, although ZX is easier to parse as discussed in Section 2.

In contrast, for the larger “PB-train 5K”, the peak LAS is obtained when 10K BC-train sentences are used, and using more BC-train data even slightly hurts performance. This shows that when the target-domain training data is large, the usefulness of the source-domain data becomes limited.

**Effect of the target-domain data size** is shown in Figure 7. Due to the small size of ZX-train, we only experiment with PB-train. We draw a “BC-train 10K” curve, since the previous analysis show that its combination with “PB-train 5K” already reaches peak performance.

We can see that exponentially enlarging the size of the target-domain data leads to nearly linearized improvement, indicating data annotation is the most direct and effective (or maybe necessary) way for improving cross-domain parsing performance.

On the other hand, we can see although the final performance is nearly the same for BC-train 50K and 10K, the 50K curve is obviously more steady and consistent, showing that it is usually a wise choice to use all available source-domain data.

### 5 Related Works

Domain adaptation has been a crucial and challenging research topic in both NLP and ML fields. Due to the vast scope of related research, we try to give a brief (and far from complete) review on some representative approaches of high relevance with syntactic parsing.

**Unsupervised domain adaptation.** Due to the lack of sufficient labeled data, most previous works focuses on unsupervised domain adapta-
tion, assuming there is only labeled data for the source domain. Researchers make great effort to learn useful features from large-scale unlabeled target-domain data, which is usually much easier to collect. As a typical semi-supervised approach, self-training is shown to be very useful for cross-domain constituent parsing (McClosky et al., 2006) and dependency parsing (Yu et al., 2015). There are also many failed works on applying self-training for in-domain and cross-domain dependency parsing.

Sagae and Tsujii (2007) apply co-training to the CoNLL–2007 cross-domain dependency parsing task and report positive gains (Nivre et al., 2007). In contrast, Dredze et al. (2007) experiment with many domain adaptation approaches with no success on the same datasets and suggest the major obstacle comes from the divergent annotation guideline adopted by the target-domain evaluation data.

Source-domain data selection is another interesting research direction. Given a target domain, the idea is to automatically select a most relevant subset from the source-domain training data to train the parsing model, instead of using all the labeled data (Plank and van Noord, 2011; Khan et al., 2013).

The multi-source domain adaptation problem assumes there are labeled datasets for multiple source domains. Given a target domain, the challenge is how to effectively combine knowledge in the source domains. McClosky et al. (2010) first raise this scenario for constituent parsing. They employ a regression model to predict cross-domain performance, and then use the values to combine parsing models independently trained on each source domain. Guo et al. (2018) employ a similar idea of mixture of experts under the neural MTL framework, and conduct experiments on sentiment classification and POS tagging tasks. They employ meta-training to learn to compute the point-to-set distance between a target-domain example and a source domain.

Semi-supervised domain adaptation assumes there exist some (usually very small-scale) labeled target-domain data, which can be used to directly learn the domain-specific distributions or features. Daumé III (2007) propose a simple yet effective feature augmentation approach that performs well on a number of sequence labeling tasks. The idea is to distinguish domain-specific and general features by making a copy of each feature for each domain plus a shared (general) pseudo domain. Finkel and Manning (2009) further propose a hierarchical Bayesian extension of this idea. As pointed by Finkel and Manning (2009), those two works can be understood as MTL under the traditional discrete-feature ML framework.

Kim et al. (2017) propose a neural mixture of experts approach for cross-domain intent classification and slot tagging. Different from the unsupervised method of Guo et al. (2018), they use a small amount of target-domain labeled data to train an attention module for the computation of example-to-domain distances.

In the parsing community, Flannery and Mori (2015) propose to annotate partially labeled target-domain data with active learning for cross-domain Japanese dependency parsing. Similarly, Joshi et al. (2018) annotate a few dozen partially labeled target-domain sentences with a few brackets for cross-domain constituent parsing. Both results report large improvement and show the usefulness of even small amount of target-domain annotation, showing the great potential of semi-supervised domain adaptation for parsing.

6 Conclusions

This work addresses the task of semi-supervised domain adaptation for Chinese dependency parsing, based on our two newly-annotated large-scale domain-aware data, i.e., PB and ZX. We propose a simple domain embedding approach with corpus weighting to effectively combine both the source- and target-domain training data. To utilize unlabeled target-domain data, We further propose an effective two-stage approach based on the recently proposed contextualized word representations (ELMo). Our proposed semi-supervised domain adaptation approach leads to absolute LAS improvement of 16.15% (77.16 vs. 61.01) and 15.56% (75.11 vs. 59.55) on PB/ZX-test respectively, over the non-adapted parser trained on the source BC-train.

Moreover, detailed analysis shows that enlarging the target-domain labeled data is most effective in boost cross-domain parsing performance. Meanwhile, more source-domain labeled data usually leads to higher and more consistent improvement, especially when the scale of the target-domain training data is small.
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