Erratum to: Effect of biaxial strain and hydrostatic pressure on the magnetic properties of bilayer CrI$_3$

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Effect of biaxial strain and hydrostatic pressure on the magnetic properties of bilayer CrI$_3$

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Two-dimensional van der Waals magnetic materials are intriguing for applications in the future spintronics devices, so it is crucial to explore strategy to control the magnetic properties. Here, we carried out first-principles calculations and Monte Carlo simulations to investigate the effect of biaxial strain and hydrostatic pressure on the magnetic properties of the bilayer CrI$_3$. We found that the magnetic anisotropy, intralayer and interlayer exchange interactions, and Curie temperature can be tuned by biaxial strain and hydrostatic pressure. Large compressive biaxial strain may induce a ferromagnetic-to-antiferromagnetic transition in both CrI$_3$ layers. The hydrostatic pressure could enhance the intralayer exchange interaction significantly and hence largely boost the Curie temperature. The effect of the biaxial strain and hydrostatic pressure revealed in the bilayer CrI$_3$ may be generalized to other two-dimensional magnetic materials.

Keywords bilayer CrI$_3$, biaxial strain, hydrostatic pressure, magnetic properties

1 Introduction

Van der Waals two-dimensional (2D) materials have evoked great interest of researchers in the community of condensed matter physics and materials science, since the discovery of graphene [1], because they exhibit abundant new physical properties, and are promising in various applications in electronic and optoelectronic devices [2]. Recently, a new class of 2D materials — 2D ferromagnetic (FM) materials — have been discovered [3, 4]. The 2D FM materials are particularly interesting in the aspect of fundamental science, because it was unexpected according to the Mermin–Wagner theorem [5], but also in the development of new generation of spintronics devices, because the highest Curie temperature ($T_C$) may even achieve to room temperature [6–9].

The bulk CrI$_3$ is FM with the $T_C$ of 61 K and a rhombohedral layer stacking [10, 11]. The FM ordering persists in few-layer CrI$_3$ except bilayer, with the $T_C$ down to 45 K for the monolayer CrI$_3$, whereas the bilayer CrI$_3$ displays interlayer antiferromagnetic (AFM) coupling [4]. It is known that the physical properties may be closely associated with the stacking order in 2D materials [12].

Indeed, a series of literatures have reported that the interlayer magnetic coupling in the bilayer CrI$_3$ depends on the stacking pattern [13–16]. With the AB-stacking order [Fig. 1(a)] where the top layer laterally shifts by $[\frac{1}{3}, \frac{1}{3}]$ in fractional coordinates with respect to the bottom layer, the two CrI$_3$ layers couple ferromagnetically. With the AB’-stacking order [Fig. 1(b)] where the lateral shift is $[\frac{1}{3}, \frac{1}{3}]$, the two CrI$_3$ layers couple antiferromagnetically. In fact, these two types of stacking orders correspond to the bulk CrI$_3$ at low temperature (below 210 K) with the space group R3 and room temperature with the space group C2/m, respectively [11, 17]. Nevertheless, the AB’-stacking bilayer CrI$_3$ was usually observed in experiment, probably because the bilayer exfoliated at room temperature is kinetically trapped in the AB’-stacking order during the cooling process [13]. Interestingly, the interlayer magnetism of the bilayer CrI$_3$ can be tuned by electric field, gate voltage and external pressure [17–21].

Magnetic anisotropy (MA) is an important requirement for realizing long-range magnetic ordering in 2D magnetic materials [4, 22], because the long-range 2D magnetic ordering cannot establish in the spin-rotational invariant systems as demonstrated in the Mermin–Wagner theorem [5]. Magnetic anisotropy plays the role of breaking the spin rotational invariance, and thus allows the long-range 2D magnetic ordering to exist. For the sake of practical applications in spintronics devices, perpendicular magnetic anisotropy (PMA) and FM ordering at
The atomic structures, electronic and magnetic properties were calculated by using the Vienna \textit{ab-initio} simulation package in the framework of the density functional theory (DFT) \cite{29, 30}. The interaction between valence electrons and ionic cores was described within the framework of the projector augmented wave (PAW) method \cite{31, 32}. Van der Waals interaction was included with the optB86b exchange functional \cite{33, 34}. Hubbard $U$ of 3 eV for the Cr atom was adopted to account for the strong electronic correlations \cite{35}. The energy cutoff for the plane wave basis expansion was set to 650 eV. The two-dimensional Brillouin zone was sampled by a 24×24 k-grid mesh. The atomic positions were fully relaxed using the conjugated gradient method until the force on each atom is smaller than 0.01 eV/Å.

The exchange coupling parameters of the bilayer CrI$_3$ can be extracted by comparing the total energies with different spin configurations (see Fig. 2), based on the Heisenberg spin Hamiltonian

$$\begin{align*} H &= -\sum_{i,j} J_{ij} S_i \cdot S_j. \end{align*}$$

As illustrated in Fig. 1, we considered the first nearest neighboring intralayer exchange interaction (denoted by $J_1$) for both AB- and AB’-stacking bilayers. For the interlayer couplings, the dominant exchange interactions can be represented by $J_2$ and $J_3$ for the AB-stacking bilayer CrI$_3$ [Fig. 1(a)] and $J_2$ for the AB’-stacking bilayer CrI$_3$ [Fig. 1(b)]. With these exchange interaction parameters, we performed Monte Carlo simulations with a 64×64 supercell to estimate the $T_C$.

### 3 Results and discussion

We started our calculations from the experimental lattice constant of the bulk CrI$_3$ ($a_0 = 6.867$ Å), and then applied in-plane biaxial strain ($\varepsilon$) from −6% to 6%. The negative and positive signs indicate compressive and tensile strains, respectively. The corresponding lattice constant varies from 6.45 Å to 7.28 Å. Accordingly, the distance between the nearest Cr atoms increases from 3.73 Å to 4.20 Å, and the Cr–I bond lengths increase from 2.71 Å to 2.79 Å, as plotted in Fig. 3(a). Clearly, the variation of the Cr–I bond lengths (from −1.45% and 1.45%) is much smaller than the in-plane biaxial strain, because the out-of-plane positions of the Cr and I atoms are free to relax. Consequently, the Cr–I–Cr angle ($\alpha$) increases significantly when the strain varies from −6% to 6%, as shown in Fig. 3(b). There are two types of I–Cr–I angles, the angle formed by one Cr atom and two I atoms lying in the same atomic plane (two atomic planes) is denoted as $\theta_1$ ($\theta_2$). $\theta_1$ increases but slower than $\alpha$, while $\theta_2$ decreases significantly, as seen in Fig. 3(b). Note that these structural parameters do not depend on the stacking patterns.

The bilayer CrI$_3$ is semiconducting with the band gap of 1.01 and 1.07 eV, respectively for the AB- and AB’-stacking bilayers, slightly smaller than that of the monolayer CrI$_3$ (1.09 eV). Generally speaking, the band gap of a semiconductor will increase (decrease) under compressive (extensional) strain, as sketched in Fig. 4(a). However, the band gap of the bilayer CrI$_3$ exhibits opposite trend. As shown in Figs. 4(c) and (d), the band gaps of

### Fig. 1 Side and top views of the atomic structure of bilayer CrI$_3$ with (a) AB-stacking order and (b) AB’-stacking order. The red and blue spheres stand for the Cr atoms in the top and bottom layers, respectively. The purple spheres stand for the I atoms. The intralayer ($J_1$) and interlayer ($J_2$ and $J_3$) exchange parameters are indicated. In the bottom layers, only the Cr atoms are shown, and the light blue parallelograms denote the unit cells of the AB- and AB’-stacking bilayers. The purple arrows mark the lattice vectors, and the purple dotted lines indicate the lateral shift (in fractional coordinates) of the top layer (red) with respect to the bottom layer.

\[ H = -\sum_{i,j} J_{ij} S_i \cdot S_j. \]

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the AB- and AB'-stacking bilayers respectively decrease to 0.74 and 0.69 eV under compressive strain of –6%, while they increase to 1.13 and 1.22 eV under extensile strain of 6%. This abnormal phenomenon can be explained by the feature of the local structure which is represented by the distorted octahedron formed by one Cr atom and six I atoms as displayed in Fig. 4(b). In the local coordinate frame, the 3d orbitals of the Cr atom split into the triplet $t_{2g}$ orbital and the doublet $e_g$ orbital due to the crystal field, as seen from the inset in Fig. 4(c). The band gap lies between the occupied $t_{2g}$ states and the empty $e_g$ states, and thus is primarily determined by the crystal-field splitting. When a compressive (extensile) biaxial strain is applied onto the unit cell, the equivalent strain onto the octahedron is actually extensile (compressive) along the $C_3$ axis as indicated in Fig. 4(b). Therefore, the compressive (extensile) biaxial strain leads to the octahedron to be stretched (compressed), and thus weakens (strengthens) the crystal-field splitting. Consequently, the energy levels of the $e_g$ states decrease (increase), resulting in the decrease (increase) of the band gap. Clearly, the evolution of the band gap under external strain still accords with the trend shown in Fig. 4(a), if we consider the equivalent strain on the octahedron rather than the biaxial strain on the unit cell.

The biaxial strain also tunes the magnetic properties. As shown in Fig. 5, the local spin moment contributed by the Cr atoms ($M_{S,\text{Cr}}$) increases as the strain change from –6% to 6%. In other words, the larger lattice constant results in the larger $M_{S,\text{Cr}}$. The total increment of $M_{S,\text{Cr}}$ is about 0.1 $\mu B$. However, the total spin moment of each CrI$_3$ formula is independent of the strain and maintains 3.0 $\mu B$. It is clear that the value of $M_{S,\text{Cr}}$ is larger than the total spin moment, because the I atoms contribute about –0.1 $\mu B$ per atom. Note that the stacking patterns do not

Fig. 2 Magnetic configurations of AB-stacking bilayer CrI$_3$. The dashed parallelogram denotes the in-plane unit cell. (a) Intralayer and interlayer ferromagnetism. (b) Intralayer ferromagnetism and interlayer antiferromagnetism. (c) Interlayer ferrimagnetism. The bottom CrI$_3$ layer is ferromagnetic, while the top CrI$_3$ layer is antiferromagnetic. (d) Intralayer and interlayer antiferromagnetism. (e) Intralayer antiferromagnetism and interlayer ferromagnetism. The magnetic configurations of AB'-stacking bilayer CrI$_3$ are similar.

Fig. 3 Structure parameters of the AB-stacking bilayer CrI$_3$ under biaxial strain. (a) The Cr–I bond length ($d_{\text{Cr–I}}$) and the distance between the nearest Cr atoms ($d_{\text{Cr–Cr}}$). (b) Two types of the I–Cr–I angles and Cr–I–Cr angle. The z axis of the local coordinate frame is indicated.

Fig. 4 (a) Sketch of the conventional evolution of the band structure under biaxial strain. (b) One CrI$_6$ octahedron with the local coordinate frame. The dashed line indicates the $C_3$ rotational axis and it is the direction of the equivalent strain on the octahedron to the biaxial strain on the unit cell. (c, d) Projected density of states (PDOS) of the 3d orbital of the Cr$^{3+}$ ion under biaxial strains for the AB- and AB'-stacking bilayers, respectively. Positive (negative) sign refers to spin majority (minority) channel. The inset in (c) shows the schematic energy splitting of the 3d orbitals of the Cr$^{3+}$ ion.
Couplings under the biaxial strain, we calculated the ground state of the AB′-stacking bilayer CrI₃ under biaxial strain. The dashed arrow indicates the change of MAE when the FM-to-AFM transition occurs.

The MAE are positive for all strains, indicating PMA for the bilayer CrI₃, in agreement with experimental observations [4]. In addition, the MAE increases significantly when the amplitude of the compressive strain increases, while it decreases slightly when the extensile strain increases, similar with a recent report [26]. Furthermore, the MAE of the AB-stacking bilayer CrI₃ is larger than that of the AB′-stacking bilayer CrI₃ in most range of the strains. At the experimental lattice constant (i.e., ε = 0), the MAE of the AB- and AB′-stacking bilayers are 0.58 meV and 0.34 meV per CrI₃ formula, respectively, in agreement with the recent report [23]. When ε = −6%, the MAE becomes 1.4 meV per CrI₃ formula for both AB- and AB′-stacking bilayers. Obviously, larger MAE guarantees better thermal stability at high temperature.

Note that the properties discussed above do not depend on the interlayer exchange interaction. The two CrI₃ layers may couple with each other either ferromagnetically or antiferromagnetically [4]. The ground state can be determined by calculating the energy difference between the FM and AFM states: \( \Delta E = E_{\text{AFM}} - E_{\text{FM}} \). As shown in Fig. 6(a), the AB-stacking bilayer CrI₃ manifests strong interlayer ferromagnetism for the whole range of the strain. On the contrary, a FM-to-AFM transition occurs near ε = −2% for the AB′-stacking bilayer CrI₃. With compressive strain ε ≤ −2%, the two CrI₃ layers couple with each other ferromagnetically with the magnetic configuration in Fig. 2(a). The interlayer coupling becomes AFM for minor compressive strain and all extensile strain, and the corresponding magnetic configuration is similar with that plotted in Fig. 2(b). Therefore, the ground state of the AB′-stacking bilayer CrI₃ is interlayer AFM, in agreement with the experimental observation [4].

To reveal the underlying mechanism of the exchange couplings under the biaxial strain, we calculated the total energies of five different magnetic configurations as plotted in Fig. 2. In the framework of Heisenberg model given by Eq. (1), the total energies related to the exchange couplings for the five different magnetic configurations in Fig. 2 for the AB-stacking bilayer CrI₃ can be written as follows:

\[
\begin{align*}
E_a &= -(6J_1 + 3J_2 - 3J_3)S^2; \\
E_b &= -(6J_1 - 3J_2 + 3J_3)S^2; \\
E_c &= -(J_2 - 3J_3)S^2; \\
E_d &= +(6J_1 + 3J_2 - 3J_3)S^2; \\
E_e &= +(6J_1 - 3J_2 + 3J_3)S^2.
\end{align*}
\]

For the AB′-stacking bilayer CrI₃, the total energies are

\[
\begin{align*}
E_{a'} &= -(6J_1 - 4J_2)S^2; \\
E_{b'} &= -(6J_1 + 4J_2)S^2; \\
E_{c'} &= 0; \\
E_{d'} &= +6J_1S^2; \\
E_{e'} &= +6J_1S^2.
\end{align*}
\]

Here, \( S = 3/2 \) is the spin quantum number of each CrI₃ formula. Then we can obtain these exchange interaction parameters.

Figure 6(b) plots the exchange interaction parameters. It can be seen that the intralayer exchange interaction energy \( J_1 \) increases when the extensile strain increases and decreases when the compressive strain increases, for both AB- and AB′-stacking bilayers. The amplitudes of \( J_1 \) of the AB- and AB′-stacking bilayers are almost the same. In other words, the intralayer exchange interaction in bilayer CrI₃ depends on the lattice constant monotonically but is independent of the stacking patterns. The larger lattice constant results in the larger \( J_1 \).

With the extensile strain (\( \varepsilon > 0 \)) and moderate compressive strain (−6% < \( \varepsilon < 0 \)), the neighboring Cr atoms in the same CrI₃ layer couple with each other ferromagnetically. Combined the FM and AFM interlayer couplings respectively for the AB- and AB′-stacking bilayers, the AB- and AB′-stacking bilayers adopt the magnetic configurations displayed in Figs. 2(a) and (b), respectively, in this situation. With large compressive strain (\( \varepsilon \leq -6% \)), the intralayer exchange interaction becomes AFM. Since the interlayer coupling is FM for both AB- and AB′-stacking bilayers, they adopt the magnetic configuration shown in Fig. 2(e). This magnetic configuration is the same as that reported recently [28]. The trend of the strain-dependent exchange interactions can be understood qualitatively as...
follows. It has been revealed that $J_1$ contains two parts of contributions: the direct exchange interaction between the first nearest neighboring Cr atom via $t_{2g}$-$t_{2g}$ orbitals and the indirect superexchange interaction via the $t_{2g}$-$p$-$e_g$ orbitals [23–27]. Because of the octahedral crystal-field splitting, the former favors AFM exchange interaction, while the latter favors FM exchange interaction. With extensive strain and moderate compressive strain, the indirect superexchange interaction dominates the intralayer exchange interaction in the CrI$_3$ layer, which results in the FM ground state and positive $J_1$. Furthermore, when the lattice constant increases, the Cr–Cr distance and the Cr–I–Cr angle ($\alpha$ in Fig. 3) increases. Consequently, the direct exchange interaction is weakened, while the superexchange interaction is enhanced due to the stronger $t_{2g}$-$p$ hybridization. These combined effects make $J_1$ increases. With large compressive strain $\varepsilon \leq -6\%$, the Cr–Cr distance is short enough, so that the Cr–Cr direct exchange interaction dominates, resulting in AFM ground state and negative $J_1$. Moreover, when $\varepsilon = -6\%$, the MAEs of the AB- and AB$'$-stacking bilayers are $-1.60$ and $-2.15$ meV, respectively, as indicated by open symbols in Fig. 5, implying in-plane MA rather than PMA.

For the interlayer exchange interactions of the AB-stacking bilayer CrI$_3$, $J_2$ is negative and its amplitudes in the most range of the strain are large, indicating that the two Cr atoms connected by $J_2$ (see Fig. 1) prefer AFM coupling. $J_3$ is positive and its amplitude is smaller than that of $J_2$. For each Cr atom, there is only one pair of exchange interaction represented by $J_2$, while there are six pairs of exchange interaction represented by $J_3$. Therefore, the final interlayer exchange interaction is FM. For the AB$'$-stacking bilayer CrI$_3$, the amplitudes of $J_2$ are relatively small, and the sign changes around $\varepsilon = -2\%$, corresponding to the FM-to-AFM transition as shown in Fig. 6(a).

With the exchange interaction parameters, we carried out Monte Carlo (MC) simulation based on the Heisenberg model as expressed in Eq. (1). Although the bulk CrI$_3$ is believed to be Ising type FM magnet [4], we found that the Ising model overestimates $T_C$ greatly. For the monolayer CrI$_3$, the Curie temperatures from the Ising model, Heisenberg model, and mean-field theory are 130 K, 90 K and 52 K, respectively. Apparently, only the mean-field theory predicts proper $T_C$ close to the experiment measurement (45 K). Nevertheless, the mean-field theory could not be applied to the bilayer CrI$_3$ due to the complicated exchange interactions. Therefore, we choose the Heisenberg model to estimate the Curie temperatures of the bilayer CrI$_3$ under biaxial strains. Although the amplitudes of the Curie temperatures are expected to be overestimated, qualitative investigation of the response of $T_C$ to the biaxial strain is still meaningful.

Figure 7(a) plots the magnetization (i.e., the average spin moment per CrI$_3$ formula) as a function of temperature for the AB$'$-stacking bilayer CrI$_3$, from the MC simulations. It can be seen that the average spin moment sharply decreases from $3\mu_B$ to 0 at certain temperature for each strain, corresponding to the $T_C$. The Curie temperatures of the strain-free AB- and AB$'$-stacking bilayers increase to about 130 K and 100 K (i.e., at $\varepsilon = 0$), respectively, which means that both the interlayer FM exchange interaction in the AB-stacking bilayer CrI$_3$ and the interlayer AFM exchange interaction in the AB$'$-stacking bilayer CrI$_3$ have positive effect on the FM ordering, compared to the monolayer CrI$_3$. With the strain varying from $-6\%$ to $6\%$, the $T_C$ increases from 11 K to 160 K for the AB-stacking bilayer CrI$_3$ and from 9 K to 130 K for the AB$'$-stacking bilayer CrI$_3$, as shown in Fig. 7(b). This trend is similar to that of $J_1$, indicating that the $T_C$ is mainly determined by the intralayer exchange interactions in the bilayer CrI$_3$, similar to the monolayer CrI$_3$. The FM ordering in the AB-stacking bilayer CrI$_3$ is further enhanced by the interlayer exchange interactions, which leads to higher $T_C$ with respect to the AB$'$-stacking bilayer CrI$_3$ at the same strain. It is worth noting that the stability of the magnetic ordering depends not only the $T_C$ but also the MAE. Although the MAEs of the bilayer CrI$_3$ under extensile biaxial strain decrease slightly relative to strain-free case (see Fig. 5), the MAEs are already large enough to ensure the magnetic ordering below Curie temperatures for both AB- and AB$'$-stacking bilayers.

Expect for the biaxial strain, the hydrostatic pressure may also modify the magnetism of the bilayer CrI$_3$ [20, 21]. Because the interlayer distance is much easier to be changed than the lateral lattice constant, the hydrostatic pressure can be regarded as the compressive strain on the octahedron along the $C_3$ axis as denoted in Fig. 4(b). Here, we use the decrement of the distance between the two CrI$_3$ layers ($\Delta d$) to represent the hydrostatic pressure. We firstly calculated the energy difference between the interlayer FM and AFM states, as plotted in Fig. 8(a). For the AB-stacking bilayer CrI$_3$, $\Delta E$ keeps positive and increases rapidly as $\Delta d$ increases, which indicates that the interlayer exchange is strongly FM. This can also be manifested by the rapidly increasing $J_2$ and positive $J_3$. 

![Fig. 7](image-url) (a) Magnetization (average spin moment per CrI$_3$ formula) of the AB$'$-stacking bilayer CrI$_3$ at different temperatures. (b) Curie temperature of the bilayer CrI$_3$ under biaxial strain.
The interlayer AFM state of the bilayer CrI$_3$ favors the interlayer AFM state at this situation. The interlayer exchange interactions in both AB- and AB'-stacking bilayers remain FM, as manifested by the positive values of $J_1$ in Fig. 8(b). Moreover, $J_1$ increases monotonically as $\Delta d$ increases for both systems, and the magnitudes of $J_1$ are much larger than the others at the same biaxial strain. Therefore, the Curie temperatures increase significantly up to 320 K and 280 K respectively for the AB- and AB'-stacking bilayers, as shown in Fig. 9(a). Although $T_C$ is overestimated by the Heisenberg model, the relative enlargement could be instructive. Considering that the amplitudes of $T_C$ of the AB- and AB'-stacking bilayers have been enlarged by about 2.5 times at $\Delta d = 1.8$ Å compared to those at pressure-free systems, $T_C$ over 100 K could be expected in real experimental measurement.

The MAE of the AB-stacking bilayer CrI$_3$ decreases monotonically as $\Delta d$ increases, as shown in Fig. 9(b). For the AB'-stacking bilayer CrI$_3$, the MAE decreases first, then increases at $\Delta d = 0.9$ Å, and decreases again when $\Delta d > 0.9$ Å. In fact, the AB'-stacking bilayer CrI$_3$ favors the interlayer FM state at $\Delta d = 0.9$ and 1.2 Å as seen in Fig. 8(a), so that the MAEs behave differently from the other points. If the AB'-stacking bilayer CrI$_3$ is in the interlayer AFM state, the MAEs follow the trend formed by the other points, as manifested by the open triangles and connected by the blue dashed lines in Fig. 9(b). When $\Delta d > 1.5$ Å, the MAEs of both AB- and AB'-stacking bilayers are negative, indicating in-plane MA. Nonetheless, the amplitudes of the MAEs are comparable to or even larger than those of the press-free systems, especially for $\Delta d = 1.8$ Å, hence the magnetic ordering could still be retained below $T_C$.

### 4 Conclusions

In summary, we investigated the electronic and magnetic properties of two types of bilayer CrI$_3$ under biaxial strain and hydrostatic pressure, based on first-principles calculations and Monte Carlo simulation. We found that the band gaps, MAEs, intralayer and interlayer exchange interactions, and Curie temperatures can be tuned by the biaxial strain and hydrostatic pressure. The band gap decreases (increases) under compressive (extensile) strain, which is related to the symmetry of the CrI$_6$ octahedron. The MAE increases under compressive strain, but decreases under extensile strain and hydrostatic pressure. The interlayer FM coupling of the AB-stacking bilayer CrI$_3$ is robust under both biaxial strain and hydrostatic pressure. The interlayer coupling of the AB'-stacking bilayer CrI$_3$ is sensitive to the biaxial strain. It prefers the interlayer AFM state under extensile strain and small compressive strain ($\varepsilon > -2\%$), but turns to the interlayer FM state for larger compressive strain. For both bilayers, the intralayer magnetic ordering may undergo a FM-to-AFM transition under large compressive strain ($\varepsilon < -6\%$). The interlayer exchange interaction may be significantly enhanced by the hydrostatic pressure, which may boost the Curie temperature over 100 K.

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