Structural bioinformatics

High precision protein functional site detection using 3D convolutional neural networks

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Abstract

Motivation: Accurate annotation of protein functions is fundamental for understanding molecular and cellular physiology. Data-driven methods hold promise for systematically deriving rules underlying the relationship between protein structure and function. However, the choice of protein structural representation is critical. Pre-defined biochemical features emphasize certain aspects of protein properties while ignoring others, and therefore may fail to capture critical information in complex protein sites.

Results: In this paper, we present a general framework that applies 3D convolutional neural networks (3DCNNs) to structure-based protein functional site detection. The framework can extract task-dependent features automatically from the raw atom distributions. We benchmarked our method against other methods and demonstrate better or comparable performance for site detection. Our deep 3DCNNs achieved an average recall of 0.955 at a precision threshold of 0.99 on PROSITE families, detected 98.89 and 92.88% of nitric oxide synthase and TRYPsin-like enzyme sites in Catalytic Site Atlas, and showed good performance on challenging cases where sequence motifs are absent but a function is known to exist. Finally, we inspected the individual contributions of each atom to the classification decisions and show that our models successfully recapitulate known 3D features within protein functional sites.

Availability and implementation: The 3DCNN models described in this paper are available at https://simtk.org/projects/fscnn.

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Supplementary information: Supplementary data are available at Bioinformatics online.

1 Introduction

Accurate annotation of protein functions is key to understanding cellular physiology at the molecular level. Structural genomics efforts have enabled an exponential growth in the determination of novel protein structures (Grabowski et al., 2009). However, biochemical characterization of protein function does not scale as our database of structures increases (Liolios et al., 2010). Computational methods for protein function annotation are therefore urgently needed. Traditionally, sequence similarities are used to infer function for newly identified proteins. One common approach is to search for local sequence motifs within a functional family; sequence motif databases include PROSITE (Sigrist et al., 2012), PRINTS (Attwood, 2002), BLOCKS (Henikoff et al., 2000), InterPro (Hunter et al., 2009) and PANTHER (Mi et al., 2005). However, as sequences diverge, the conserved signals become increasingly weak. Sequence-based methods can therefore have high false negative (FN) rates for novel proteins (Xiong, 2006). They also have limited ability to capture physicochemical properties and 3D orientation essential for a functional site.

An alternative approach is to seek -3D motifs within protein sites—when a structure is available. Early template-based methods such as FFFs (Fetrow and Skolnick, 1998) and TESS (Wallace et al., 1997), use information about key site residues and local structural alignments to identify consensus 3D motifs within functionally similar proteins. More recently, machine learning algorithms have
enabled automated discovery of 3D motifs in functional sites. GASPS (Polacco and Babbit, 2006) uses a genetic algorithm strategy to create 3D templates within a protein family to best identify family members from the background. GASS (Izidoro et al., 2015; Moraes et al., 2017), on the other hand, employs genetic algorithms to search for similar active sites in proteins, given active site templates. Structurally Aligned Local Sites of Activities (Wang et al., 2013) combines predicted functional residues from POOL (Somarowthu et al., 2011) with local structural alignments to create characteristic structural patterns within a functional family. These methods provide a natural way of identifying key residues in active sites. However, in cases where functional residues are not as precisely oriented, incorporation of higher-level physiochemical features can help performance.

Property-based methods use a pre-defined set of biochemical or structural properties to describe a protein site microenvironment. For example, FEATURE (Bagley and Altman, 1995) defines a protein site by its 3D local neighborhood, consisting of six 1.25 Å-thick concentric shells, and evaluates 80 physicochemical properties within neural networks (3DCNNs) to amino acid similarity analysis and complex interactions. We have previously applied 3D convolutional interactions start between atoms and can extend over space to form them into complex features in a hierarchical manner. Biochemical structural properties to describe a protein site microenvironment. For example, FEATURE (Bagley and Altman, 1995) defines a protein site by its 3D local neighborhood, consisting of six 1.25 Å-thick concentric shells, and evaluates 80 physicochemical properties within each shell. It has been applied to the detection of functional sites (Buturovic et al., 2014), the characterization of protein pockets (Liu et al., 2011) and prediction of pocket-ligand interactions (Tang and Altman, 2014). However, FEATURE is limited by the high dimensionality, the inhomogeneity of features and the loss of orientation information. The need to define input biochemical features prior to using machine learning algorithms can lead to loss of critical information relevant to protein functional mechanisms.

The emergence of deep learning has enabled development of methods that extract task-specific features directly from raw data. Deep learning algorithms have been applied to small molecule representations (Duvenaud et al., 2015; Kearnes et al., 2016), protein contact prediction (Skwark et al., 2014) and protein-ligand interaction prediction (Gomes et al., 2017; Ragoza et al., 2017). The strength of deep learning lies in its ability to learn useful representations directly from raw data (LeCun et al., 2015). Convolutional neural networks (CNNs) (Krizhevsky et al., 2012) are a subclass of deep learning networks that specialize in extracting spatial features in data. CNNs search for recurring spatial patterns and compose them into complex features in a hierarchical manner. Biochemical interactions start between atoms and can extend over space to form complex interactions. We have previously applied 3D convolutional neural networks (3DCNNs) to amino acid similarity analysis and showed deep learning framework outperformed conventional feature-based algorithms (Torgn and Altman, 2017).

In this paper, we develop a general framework that applies 3DCNNs for protein functional site annotation. We represent protein structures as 3D images; analogous to red, green, blue channels in images, a protein site is represented as four atom ‘channels’ (corresponding to carbon, oxygen, nitrogen and sulfur) in a 20-Å box surrounding a location within the protein site. Driven by supervised labels, the developed pipeline automatically extracts task-specific features from the raw atom distribution. We perform head-to-head comparisons of prediction performances between our 3DCNNs, SVM models trained with raw atom distributions (Voxel-SVM) and SVM and 1DCNN classifiers that utilize the FEATURE descriptors. Our 3DCNNs achieve an average prediction recall of 0.955 at the precision threshold of 0.99 on PROSITE functional families, compared to recalls of 0.883, 0.857 and 0.754 of the Voxel-SVM, FEATURE-SVM and FEATURE-1DCNN models, respectively. We characterized performance of the models on challenging cases where PROSITE motifs miss or falsely detect functional signals and additionally benchmarked our performance with GASS (Izidoro et al., 2015) on enzyme site detection tasks. Finally, we visualized individual contributions of each atom to the classification decision and show that our networks recognize meaningful biochemical features within protein functional sites.

2 Materials and methods
2.1 Datasets
2.1.1 PROSITE functional families
To demonstrate the advantages of 3DCNNs over conventional models, we focus on 10 of the 20 functional sites where models in our previous work performed least well (Buturovic et al., 2014) (Supplementary Table S1). Each of the 10 functional sites was defined using sequence motifs annotated in the PROSITE database. Each PROSITE pattern comprises multiple conserved residues, each of which can be used as a reference residue to train a ‘residue model’ for the overall functional site. In this study, to simplify the procedure, for each functional site, we choose a single conserved residue in the PROSITE pattern, and a key functional atom selected based on its chemical properties. Each site is then defined around this functional atom of the selected residue (Supplementary Table S2).

To train and validate our models, we used the PROSITE database to construct the training and independent test datasets for each functional site. Specifically, for each functional family, the PROSITE database provides (i) PROSITE true positive (PROSITE TP) sequences: when PROSITE motif successfully detects a true site. (ii) PROSITE false negative (PROSITE FN) sequences: when the PROSITE motif is absent but the function is known to exist. (iii) PROSITE false positive (PROSITE FP) sequences: when the PROSITE motif is present but the function is not. We trained our models on examples of each functional site, using the PROSITE TP sites as positive training examples, and randomly sampled PDB (Berman et al., 2000) structures as negative training examples. To independently validate our trained models, we used PROSITE FN sites as the positive test set and PROSITE FP sites as the negative test set. Since only PROSITE TP sites and randomly sampled negative sites were used in training, none of our models have seen these test sites.

2.1.1.1 Training dataset. We used the same true positive and negative structures as in Buturovic et al., 2014. For each true positive protein structure, we mapped the PROSITE pattern to the protein sequence, identified our target residue within the mapped subsequence(s), and extracted the coordinate(s) of the functional atom of the residue(s)—thus defining the true positive sites. For the negative structures, we extract coordinates of all atoms with the same (residue, atom) types as the (target residue, functional atom) and sampled 50 000 atom coordinates per functional family. Number of true positive and negative examples are summarized in Supplementary Table S3.

2.1.1.2 Independent test dataset. For each functional site, we collected the FN Uniprot (Consortium, 2014) sequences from PROSITE. For each mapped structure, we identified key subsequences or catalytic residues associated with the function (Supplementary Note S1). We then extracted coordinates of the functional atoms of the identified catalytic residues or of all target residues within the key subsequences—thus defining the PROSITE FN sites. To construct the PROSITE FP set, for each functional site, we downloaded structures that map to the PROSITE FP Uniprot sequences, and removed structures that do not conform to the PROSITE pattern.
For the remaining, we extracted coordinates of the functional atoms of all target residues. The final PROSITE FP and FN datasets comprise a set of Uniprot IDs with their PDB structures and coordinates (Supplementary Tables S4 and S5).

2.1.2 Protein enzyme site detection

2.1.2.1 NOS dataset. We collected our nitric oxide synthase (NOS) structure set following procedures for DS 1 described in Izidoro et al. (Izidoro et al., 2015), resulting in 138 NOS structures, 5000 negative train structures and 139 negative test structures (Supplementary Note S2.) Using Catalytic Site Atlas (CSA) (Furnham et al., 2014) annotation of structure 3NOS, we identified four key residue types: arginine (ARG), cysteine (CYS), glutamic acid (GLU), tryptophan (TRP), and constructed four positive and negative training sets, each for one of the key residue types. For each key residue type, (i) we identified CSA residue(s) that matches the residue type in the positive NOS structures to form the positive training set. (ii) We identified all residues that match the key residue type in the negative train structures, and sampled 50 000 sites to form the negative training set. The test sites comprise all residues that match the key residue types in the negative test structures and positive NOS structures. Sites in the positive NOS structures are evaluated by the corresponding test fold model trained by 5-fold cross-validation (Sections 2.4.3.1 and 2.4.3.2).

2.1.2.2 TRYPSIN-like dataset. The TRYPSIN-like dataset is constructed following procedures for DS 2 in Izidoro et al. (Izidoro et al., 2015). We first identified PDBs under the same superfamily as structure 1A0J from SCOP (Chandonia et al., 2017), and removed the structures that lack CSA annotation, resulting in 1447 structures. Coordinates of the functional atoms of all histidine (HIS) and serine (SER) residues in all 1447 structures are used as the test set.

2.1.3 Input featurization and processing

2.1.3.1 Atom-channel dataset. For each of the atom coordinate extracted in Sections 2.1.1 and 2.1.2, we define a local 20-Å cubical box using orthogonal axes defined by the backbone geometry of the parent amino acid. The positive z-axis is chosen such that it is orthogonal to the x–y plane defined by N-Cα-C, and has a positive dot product with the Cα-Cβ bond. Using the defined orientation, we extract a 20 Å box around the Cβ atom of the residue (Fig. 1).

Each local 20-Å box is then divided into square 3D voxels with 1-Å dimension. Within each voxel, we record the presence of carbon, oxygen, sulfur and nitrogen atoms in a corresponding atom type channel (Fig. 2). To approximate atom connectivity and electron delocalization, we apply Gaussian filters to the discrete counts, using the average Van der Waals radii of the atom types as the SD.

The resulting four 3D-matrices are then stacked together as different input channels.

2.1.3.2 FEATURE dataset. For each functional site, we generated the true positive, true negative, PROSITE FP and PROSITE FN datasets by applying FEATURE (Fig. 3) (Supplementary Table S6) to each recorded functional atom location (Section 2.1.1).

2.2 Model design

To perform comparisons between the deep learning framework and conventional machine learning models, we benchmarked performances of models that use combinations of two different input representations: 3D Voxels versus FEATURE descriptors, and two
different learning algorithms: SVM models versus CNNs. Thus, we construct the following four models: 3DCNN, Voxel-SVM Classifier, FEATURE-SVM Classifier and FEATURE-1DCNN (Fig. 4).

3DCNNs directly take in voxel representation of local protein boxes, and use three sequential alternating 3D Convolutional and max-pooling layers to search for biochemical features at different spatial scales. The Softmax classifier layer uses the extracted features and calculates the probability of the function of interest. Model parameters are summarized in Supplementary Table S7.

The Voxel-SVM and FEATURE-SVM classifiers take in voxel and FEATURE representation of local protein structure, respectively, and employ SVM classifiers to make classifications. FEATURE-1DCNNs take in FEATURE representation of local protein structure as input, followed by two 1D convolutional layers with 32 and 64 filters, respectively (each with filter size of 10), and end with a Softmax classifier layer.

2.3 Model training

For each functional site, we used 5-fold cross-validation to train our models. The folds were created using stratification by class label. Within each training fold, we up-sampled the positive examples such that the final training examples are balanced. The same train/validation/test splits were used to train models of the four methods. Implementation and training procedures of the models are summarized in Supplementary Note S3.

2.4 Evaluation

2.4.1 Five-fold cross-validation on PROSITE TP and TN sites

We evaluated performance by examining recalls of models on PROSITE true positive and negative datasets from our cross-validation procedure. To minimize FP predictions, we evaluated our models at high precision of 0.99. For each site, we treated all five test folds as a single continuous experiment and evaluated the aggregate precision and recall values (Supplementary Note S4). We then chose the threshold that results in a precision value of 0.99 or above, and evaluated the recall value.

Additionally, for each functional site, we computed the mean and SDs of the precision and recall between the fold models for each method. To evaluate the statistical significance of our results, we followed procedures outlined in (Demšar, 2006) for comparing performances of multiple classifiers over multiple data sets. Specifically, we performed Friedman test (Friedman, 1937, 1940) followed by post-hoc two-tailed Nemenyi test (Nemenyi, 1963).

2.4.2 Independent test: PROSITE FN and FP examples

For each functional site model, we then evaluated the PROSITE FN and FP examples, using the same probability threshold determined in Section 2.4.1. Specifically, representative probability estimate of a test site is produced by selecting the maximum probability estimate generated among the 5-fold models. The test site is then assigned as a positive site if the representative probability score is higher than the specified threshold, or negative otherwise.

A PROSITE FN site is predicted as positive if any of the 5-fold models assigns a probability estimate higher than the threshold. If a site is predicted as positive, we confirm by examination of the 3D local structure. A PROSITE FN PDB structure or Uniprot sequence is considered correctly predicted if any of its true sites are detected. On the other hand, a PROSITE FP site is predicted to be negative only if all probability estimates from the 5-fold models are below the threshold. A PROSITE FP PDB structure or Uniprot sequence is considered correctly predicted only if all examined sites are negative.

For both datasets, our final evaluation metric evaluates the number of PDBs and Uniprot IDs predicted correctly, divided by the total number of PDBs and Uniprot IDs in the datasets.

2.4.3 Benchmarking protein enzyme site detection with GASS

We benchmarked our 3DCNNs against GASS (Izidoro et al., 2015) on the three protein enzyme site detection and classification tasks:

2.4.3.1 Detecting catalytic sites within a family. Our first experiment tests our ability to detect catalytic sites within the NOS family. We trained four residue-3DCNNs on our CYS, ARG, TRP, GLU training datasets, each using 5-fold cross-validation. Using the corresponding test fold model, we examined all residues that match the
key residue types in the positive structures. For each residue model, we evaluate (1) The percentage of true sites detected (2) Number of FP sites detected. The overall performance was also evaluated by considering sites with any positive key residue predictions as positive.

2.4.3.2 Classifying sites within a family against random structures.
The second experiment tests our models on 139 non-NOS family structures previously unseen by our models. Combining probability scores of the negative structures (Supplementary Note S5) and of the NOS structures from Section 2.4.3.1, we evaluate precision and recall for each residue model for classifying NOS structures against random structures, and area under the curve (AUC) scores at the residue-level and structure-level (Supplementary Note S5).

2.4.3.3 Detecting catalytic sites within less-controlled datasets.
In addition to the tests that benchmarked the methods on small and controlled sets of enzymes, we compared performances of 3DCNNs and GASS on detecting sites in 1447 Trypsin-like enzymes. We employed the TRYPSIN_HIS and TRYPSIN_SER models from our PROSITE experiment to examine all HIS and SER residues in the test structures. For each of the two models, we evaluate (i) the percentage of true sites detected (ii) number of FP sites detected. We also evaluate the overall performance by considering sites with any positive residue predictions as positive. Details of the above three experiments are summarized in Supplementary Note S5.

2.5 Network visualization: atom importance map
The atom importance map shows the contribution of each atom to the final classification decisions by displaying the importance score (0–100) in color. Importance scores are calculated following the procedure described previously (Torng and Altman, 2017). We additionally use mTM-align (Dong et al., 2018) to identify conserved structural features among local site boxes. For each functional site, we randomly sampled 30 positive site boxes to perform the alignment.

3 Results
3.1 Performances of models on PROSITE functional families
Precision and recall values of 3DCNNs, Voxel-SVM, FEATURE-SVM and FEATURE-1DCNN models for the true positive and true negative datasets are summarized in Table 1. Means and SDs of precision and recall between the fold models are summarized in Supplementary Table S8.

Our statistical analysis indicates that the performance of the four methods are not drawn from the same distribution (P < 0.05) and that 3DCNN models significantly outperformed FEATURE-SVM and FEATURE-1DCNN models (P < 0.05). Also, the Voxel-SVM models performed significantly better than the FEATURE-1DCNN models (P < 0.05) (details provided in Supplementary Note S6 and Supplementary Table S9). Performance statistics of the models on the independent test set: PROSITE FP and FN datasets are summarized in Table 2.

3.2 Performances on enzyme site detection tasks
3.2.1 Detecting catalytic sites within the NOS family
Among the 138 NOS structures, there are a total of 1066 residue sites, and 270 overall catalytic sites. At the individual residue-level, our 3DCNNs detected 1060 out of 1066 residue sites, missing 3 CYS sites from structures 1TLL and 1F20, and 3 GLU sites from structures 2ORQ, 2ORP, and 1NOC. No FP site was detected among the positive NOS structures. At the NOS site level, we detected 267 out of 270 NOS sites (98.89%) annotated in CSA. Detailed results are summarized in Supplementary Note S7 and Supplementary Table S11.

The percentage of sites detected using the 3DCNN models and GASS are summarized in Table 3. Although there is a slight difference in the number of NOS structures between the two datasets, we believe this would not result in significant biases. An example visualization of detecting NOS sites using 3DCNNs is shown in Supplementary Figure S1.

3.2.2 Classifying catalytic NOS sites against random structures
Using the 5-fold models trained in Section 2.4.3.1, no FP sites were detected among the negative test PDBs at the default probability.

| PROSITE site       | Input | Method   | Precision | Recall | Recall SD between folds |
|--------------------|-------|----------|-----------|--------|-------------------------|
| EGF_1              | Voxel | 3DCNN    | 0.992     | 0.836  | 0.105                   |
|                    |       | SVM      | 0.99      | 0.693  | 0.097                   |
|                    |       | FEATURE  | SVM       | 0.988  | 0.571                   |
|                    |       |          | 1DCNN     | 0.984  | 0.450                   |
| TRYPSIN_SER        | Voxel | 3DCNN    | 0.994     | 0.991  | 0.013                   |
|                    |       | SVM      | 0.990     | 0.984  | 0.020                   |
|                    |       | FEATURE  | SVM       | 0.990  | 0.962                   |
|                    |       |          | 1DCNN     | 0.990  | 0.968                   |
| RNASE_             | Voxel | 3DCNN    | 0.992     | 0.985  | 0.010                   |
|                    |       | SVM      | 0.990     | 0.982  | 0.030                   |
|                    |       | FEATURE  | SVM       | 0.992  | 0.900                   |
|                    |       |          | 1DCNN     | 0.992  | 0.926                   |
| EF_HAND_1          | Voxel | 3DCNN    | 0.996     | 0.899  | 0.051                   |
|                    |       | SVM      | 0.990     | 0.888  | 0.060                   |
|                    |       | FEATURE  | SVM       | 0.990  | 0.840                   |
|                    |       |          | 1DCNN     | 0.991  | 0.851                   |
| IG_MHC             | Voxel | 3DCNN    | 0.990     | 0.915  | 0.035                   |
|                    |       | SVM      | 0.990     | 0.741  | 0.053                   |
|                    |       | FEATURE  | SVM       | 0.990  | 0.610                   |
|                    |       |          | 1DCNN     | 0.982  | 0.304                   |
| PROTEIN_            | Voxel | 3DCNN    | 0.997     | 0.993  | 0.008                   |
| KINASE_TYR         |       | SVM      | 0.993     | 0.94   | 0.025                   |
|                    |       | FEATURE  | SVM       | 0.993  | 0.913                   |
|                    |       |          | 1DCNN     | 0.989  | 0.63                    |
| TRYPSIN_HIS        | Voxel | 3DCNN    | 0.993     | 0.998  | 0.005                   |
|                    |       | SVM      | 0.993     | 0.998  | 0.004                   |
|                    |       | FEATURE  | SVM       | 0.991  | 0.957                   |
|                    |       |          | 1DCNN     | 0.990  | 0.935                   |
| INSULIN            | Voxel | 3DCNN    | 0.993     | 0.954  | 0.013                   |
|                    |       | SVM      | 0.991     | 0.972  | 0.019                   |
|                    |       | FEATURE  | SVM       | 0.989  | 0.858                   |
|                    |       |          | 1DCNN     | 0.991  | 0.916                   |
| PROTEIN_            | Voxel | 3DCNN    | 0.992     | 0.977  | 0.023                   |
| KINASE_ST          |       | SVM      | 0.988     | 0.641  | 0.101                   |
|                    |       | FEATURE  | SVM       | 0.991  | 0.939                   |
|                    |       |          | 1DCNN     | 0.990  | 0.587                   |
| ADH_SHORT          | Voxel | 3DCNN    | 1.0       | 0.995  | 0.006                   |
|                    |       | SVM      | 0.995     | 0.992  | 0.016                   |
|                    |       | FEATURE  | SVM       | 0.992  | 0.987                   |
|                    |       |          | 1DCNN     | 0.989  | 0.976                   |

The bold values highlight the best performing model(s) for each task.
threshold 0.5. Precisions and recalls of each residue model for classifying NOS structures against random structures are summarized in Supplementary Table S12. GASS reported the AUC considering the distance threshold as the performance metric. To compare performance, we report the residue-level and structure-level AUC measures while varying our probability threshold (Supplementary Note S5). The AUC scores are summarized in Table 4.

### 3.2.3 Detecting catalytic sites within TRYPSIN-like enzymes

3DCNN models detected catalytic sites in 1330 out of 1447 enzymes by using our TRYPSIN_HIS models only. Using TRYPSIN_SER model only, we detected catalytic sites in 1287 out of 1447 enzymes. Using both models, we detected catalytic sites in 1344 out of 1447 enzymes. Percentage of sites detected using the TRYPSIN_HIS and TRYPSIN_SER 3DCNN models, and average results of GASS running nine templates against 1085 enzymes are summarized in Table 5. Nine ‘false positive’ residue sites are detected by our 3DCNNs but are not annotated in CSA. Further examination showed that they are correct predictions from our models that are not documented in CSA. For example, CSA annotation of structure 2LPR list ASP (A, 102), GLY (A, 193), and HIS (A, 57) as key residues. Our 3DCNN models additionally predicted SER (A, 195) as part of the catalytic site, which agrees with Bone et al. (Bone et al., 1991). These nine predictions are summarized in Supplementary Table S13.

### Table 2. Performance statistics of PROSITE FP and PROSITE FN sites

| PROSITE site | Method   | PROSITE FN Uniprot | PROSITE FN PDB | PROSITE FN Uniprot | PROSITE FN PDB |
|--------------|----------|--------------------|----------------|--------------------|----------------|
| EGF_1        | 3DCNN    | 6/15               | 58/90          | 3/3                | 19/19          |
|              | Vox-SVM  | 5/15               | 57/90          | 3/3                | 19/19          |
|              | FF-SVM   | 6/15               | 34/90          | 3/3                | 19/19          |
|              | 1DCNN    | 4/15               | 31/90          | 3/3                | 19/19          |
| TRYPsin_SER  | 3DCNN    | 6/7                | 9/12           | 1/1                | 1/1            |
|              | Vox-SVM  | 6/7                | 9/12           | 0/1                | 0/1            |
|              | FF-SVM   | 6/7                | 9/12           | 1/1                | 1/1            |
|              | 1DCNN    | 6/7                | 9/12           | 0/1                | 0/1            |
| EF_HAND_1    | 3DCNN    | 12/16              | 34/48          | 23/25              | 125/128        |
|              | Vox-SVM  | 11/16              | 30/48          | 22/25              | 125/128        |
|              | FF-SVM   | 11/16              | 28/48          | 22/25              | 106/128        |
|              | 1DCNN    | 11/16              | 27/48          | 21/25              | 77/128         |
| IG_MHC       | 3DCNN    | 5/7                | 8/47           | 10/10              | 31/31          |
|              | Vox-SVM  | 4/7                | 9/47           | 10/10              | 31/31          |
|              | FF-SVM   | 4/7                | 8/47           | 10/10              | 31/31          |
|              | 1DCNN    | 3/7                | 8/47           | 10/10              | 31/31          |
| PROTEN_      | 3DCNN    | 1/1                | 3/5            | 5/5                | 20/20          |
| KINASE_      | Vox-SVM  | 1/1                | 3/5            | 5/5                | 20/20          |
| TYR          | FF-SVM   | 1/1                | 3/5            | 5/5                | 20/20          |
|              | 1DCNN    | 1/1                | 3/5            | 5/5                | 20/20          |
| TRYPsin_HIS  | 3DCNN    | 5/6                | 10/16          | 2/2                | 4/4            |
|              | Vox-SVM  | 5/6                | 10/16          | 2/2                | 4/4            |
|              | FF-SVM   | 2/6                | 3/16           | 2/2                | 4/4            |
|              | 1DCNN    | 2/6                | 3/16           | 2/2                | 4/4            |
| PROTEN_      | 3DCNN    | 19/20              | 268/271        | —                  | —              |
| KINASE_      | Vox-SVM  | 16/20              | 226/271        | —                  | —              |
| ST           | FF-SVM   | 18/20              | 264/271        | —                  | —              |
|              | 1DCNN    | 15/20              | 233/271        | —                  | —              |
| ADH_SHORT    | 3DCNN    | 5/8                | 7/14           | 8/9                | 32/33          |
|              | Vox-SVM  | 5/8                | 7/14           | 9/9                | 33/33          |
|              | FF-SVM   | 6/8                | 8/14           | 9/9                | 33/33          |
|              | 1DCNN    | 8/8                | 12/14          | 9/9                | 33/33          |

Note: For each functional site and each method, the entry records (the number of Uniprot IDs and PDBs correctly predicted)/(total number of Uniprot IDs and PDBs in the PROSITE FP or FN dataset) A ‘–’ indicates that no PROSITE FP or FN structure was available for the functional site. FF-SVM refers to FEATURE-SVM models. The bold values highlight the best performing model(s) for each task.

### Table 3. Percentage of NOS sites detected using 3DCNNs and GASS

| Method   | Residue model/template | Percentage of sites detected (%) |
|----------|------------------------|---------------------------------|
| 3DCNN    | CYS                    | 98.89                           |
|          | ARG                    | 98.89                           |
|          | TRP                    | 98.89                           |
|          | GLU                    | 95.93                           |
|          | Overall                | 98.89                           |
| GASS     | Best template—3NOS     | 100                             |
|          | All structures against all | 94.49                         |

### Table 4. 3DCNN and GASS AUC scores for NOS structure classification

| Method                  | AUC  | Total | Positive |
|-------------------------|------|-------|----------|
| 3DCNN—residue level     | 0.998| 42 556 sites | 1066 sites |
| 3DCNN—structure level   | 0.986| 277 structures | 138 structures |
| GASS—structure level    | 0.97 | 251 structures | 125 structure |

TRYPsin_SER model only, we detected catalytic sites in 1287 out of 1447 of enzymes. Using both models, we detected catalytic sites in 1344 out of 1447 of enzymes. Percentage of sites detected using the TRYPsin_HIS and TRYPsin_SER 3DCNN models, and average results of GASS running nine templates against 1085 enzymes are summarized in Table 5. Nine ‘false positive’ residue sites are detected by our 3DCNNs but are not annotated in CSA. Further examination showed that they are correct predictions from our models that are not documented in CSA. For example, CSA annotation of structure 2LPR list ASP (A, 102), GLY (A, 193), and HIS (A, 57) as key residues. Our 3DCNN models additionally predicted SER (A, 195) as part of the catalytic site, which agrees with Bone et al. (Bone et al., 1991). These nine predictions are summarized in Supplementary Table S13.
Table 5. Percentage of sites detected in TRYPsin-like enzymes

| Method     | Model/rank size | Percentage of sites detected (%) |
|------------|-----------------|----------------------------------|
| 3DCNN      | TRYPsin_HIS     | 91.90                            |
|            | Trypsin_SER     | 89.94                            |
|            | Both            | 92.88                            |
| GASS       | Rank size—1     | 82.85                            |
|            | Rank size—5     | 90.94                            |
|            | Rank size—10    | 93.52                            |

Fig. 5. Importance visualization of local functional site microenvironments. The atom importance map displays the importance score (0–100) of each input atom in heat map colors, from the most important (red) to the least important (blue). (a) Microenvironment surrounding a conserved ASP residue in the EF_HAND motif (PDB: 1CKK, ASP 20). (b) Microenvironment surrounding an ASP residue in a PROSITE false negative EF_HAND site (PDB: 2LE9, ASP 64). (c) Microenvironment surrounding a conserved CYS residue in the EGF_1 motif (PDB: 1BF9, CYS 81). (d) Microenvironment surrounding a conserved LYS residue in the RNase_Pancreatic motif (PDB: 11BG, LYS 41). (e) Microenvironment surrounding a TRYPsin_SER motif, centered on a conserved SER residue (PDB: 1ELD, SER 203). (f) Microenvironment surrounding an INSULIN motif, centered on a conserved CYS residue (PDB: 1D0G, CYS 7-chain A).

### 3.3 Network visualization

Example visualizations for true positive examples of functional sites EGF_1, EF_HAND_1, RNase_Pancreatic, TRYPsin_SER and INSULIN, and a PROSITE FN example of functional site EF_HAND_1 are shown in Figure 5. The color shows how each atom contributes to the decision. The red to blue heat map spectrum highlights the most important to the least important atoms.

### 4 Discussion

#### 4.1 Cross-validation performances on PROSITE TP and TN sites

As shown in Table 1, 3DCNN models achieve better or comparable recall compared to the other models for all the 10 functional sites—sites chosen because they were challenging for both Naïve Bayes and SVM classifiers (Buturovic et al., 2014). On average, at the precision threshold of 0.99, 3DCNN models achieved a recall of 0.955, whereas Voxel-SVM models, FEATURE-SVM models and FEATURE-1DCNN models attained recalls of 0.883, 0.857 and 0.754, respectively. Among the four methods, the 3DCNN models significantly outperformed FEATURE-SVM and FEATURE-1DCNN models (P < 0.05) and the Voxel-SVM models performed significantly better than the FEATURE-1DCNNs (P < 0.05). We observe better performances of 3DCNNs over the Voxel-SVMs and improved performance of Voxel-SVMs over the FEATURE-SVMs although the comparisons were not statistically significant.

3DCNN models achieved the best improvements over the other three methods on sites EGF_1.10, IG_MHC.3 and PROTEIN_Kinase_ST. These sites generally have a higher degree of local structural variations and thus are particularly challenging. The success of 3DCNNs on these challenging sites suggests that 3DCNNs have stronger ability to capture features that are generalizable to sites with local structural variations.

Although FEATURE employ biochemical features with different levels of details, larger perturbations of local structure may cause a biochemical feature to trans-locate to a different shell and therefore significantly change its input representation. The loss of orientation-specific information may also affect performances of the models. FEATURE-SVM models performed particularly well on site PROTEIN_Kinase_ST, but poorly on sites EGF_1.10 and IG_MHC.3. FEATURE-1DCNN models generally do not perform well. This is not surprising because CNNs generally thrive when local spatial correlations are present in the input representation while attributes in FEATURE vectors typically do not have such properties.

Overall, 3DCNNs and Voxel-SVMs demonstrated better performances over the FEATURE-based methods. The similar performance of 3DCNNs and Voxel-SVMs on some PROSITE functional sites may be due to the low structural diversity of these sites. In the PROSITE dataset, since the positive training examples are defined around selected conserved residues in sequence motifs, local site microenvironments are inherently more conserved, and information of conserved atom positions can contribute significantly to model performances. To investigate the effects of 3D site diversity of functional families on model performances, we additionally characterized the performance of different models on predicting adenosine triphosphate (ATP) binding residues. ATP binding sites are ubiquitous, diverse and critical to drug development (Maxwell and Lawson, 2003).

We trained and validated 3DCNN, Voxel-SVM and FEATURE-SVM models on the PATP-388 and PATP-TEST datasets described in Hu et al., 2018, and show that 3DCNNs demonstrate strong advantages over both FEATURE-SVM and Voxel-SVM models on the ATP binding site prediction task. 3DCNNs achieved an AUC of 0.906 separating ATP binding residues from non-binding residues, whereas FEATURE-SVM and Voxel-SVM attained AUC scores of 0.809 and 0.764, respectively. In this case, Voxel-SVMs performed significantly worse than both 3DCNNs and FEATURE-SVM models, since for sites with diverse local microenvironments, there is less conservation of atom position and orientation. Experimental designs, model performance and an example visualization for ATP site detection are summarized in Supplementary Note S8, Supplementary Tables S14–S16 and Supplementary Figure S2.

#### 4.2 Performances on PROSITE FP and FN sites

As reported in Table 2, on the independent test set, we achieved better or comparable performance for 7 out of 8 functional sites with
as shown in Table 5, we achieved comparable performances with features, we also achieved AUCs comparable with GASS, as reported in to miss the functional signals. Superfamily b.43.4 and Superfamily c.25.1, respectively. The significance, the two chains of structure 1F20 belong to the template structure 3NOS belongs to Superfamily d.174.1: NOS catalytic residues CYS, ARG, TRP and ARG, the two structures of the other NOS structures. While typical NOS structures have detection, have very different sets of catalytic residues compared to those missed. Structures 1TLL and 1F20, which our models failed to detect, have the correct prediction depends on GLU 86 and GLY 38. GLY 38 is crucial in the formation of the calcium-binding (Moncrief et al., 1990). On the other hand, mTM-align identifies LYS 21 and LEU 18 in 1CKK as the core common region among the aligned EF_HAND boxes. The two residues lie in close proximity to the key residues identified by the 3DCNN, but are not in direct contact with the calcium ion (Supplementary Fig. S3).

Figure 5b shows an EF_HAND site surrounding a ASP residue [PDB: 2LE9 (Rani et al., 2014), ASP 64, chain B], which is a PROSITE FN. The site has alternative residue SER at PROSITE motif position 6 and LYS at position 8. Nevertheless, the 3DCNN correctly classifies the site with high confidence. The importance map shows that the decision relies on oxygen atoms in ASP 64, ASN 66, GLN 67, ASP 68 and SER 69. The successful detection suggests that 3DCNNs can capture similar physiochemical and structural features in sites with local structural variations. This PROSITE FN site was not detected by the other classifiers.

4.4.2 EGF_1.10

Figure 5c shows a true positive site surrounding a conserved CYS residue in the EGF_1 motif [PDB: 1BF9 (Muranyi et al., 1998), CYS 81]. 3DCNN correctly classifies the site using key residues GLY 78, ARG 79, GLN 67, ASP 68 and SER 69. The successful detection suggests that 3DCNNs can capture similar physiochemical and structural features in sites with local structural variations. This PROSITE FN site was not detected by the other classifiers.

4.4.3 RNASE_PANCREATIC.2

Figure 5d shows a microenvironment surrounding a conserved LYS residue in the RNASE_PANCREATIC motif [PDB: 11BG (Vitagliano et al., 1999), LYS 41]. The importance map shows that the correct prediction depends on GLU 86 and GLY 38. GLY 38 is critical for the ribonuclease activity of human pancreatic ribonuclease on double-stranded RNA (Gaur et al., 2002). The center catalytic LYS is not highlighted, likely because a significant number of both positive and negative examples have LYS in similar conformation. On the other hand, mTM-align identified ASN 44 and
THR 45 as the core common region among the site boxes, which are not heavily used by the 3DCNN for classifications.

4.4.4 TRYPsin_SER
Figure 5e shows the TRYPsin_SER motif, centered on a conserved SER [PDB: 1ELD (Matto et al., 1995), SER 203]. The importance map highlights GLY 204, SER 203, HIS 60, which are consistent with the key biochemical features identified by Bagley and Altman, 1996. SER 203, HIS 60 and ASP 108 form a SER-HIS-ASP catalytic triad (Rawlings and Barrett, 1994), although ASP 108 received a lower importance score here. LEU, PHE and TYR residues around the active site show moderate importance, and may facilitate non-specific polypeptide ligand binding and stabilization. mTM-align similarly identified HIS 60 and ASP 108. However, it additionally identified 13 other residues as structurally conserved: THR 57, ALA 58, ALA 59, CYS 61, VAL 62, THR 44, CYS 45, GLY 46, GLY 47, LEU 33, THR 145, GLY 146 and GLY 148. These residues do not contribute significantly to the 3DCNN classification.

4.4.5 INSULIN
Figure 5f shows a PROSITE INSULIN motif, centered on a conserved CYS residue [PDB: 1IOG (Olsen et al., 1998), CYS 7-chain A]. The importance map shows that the prediction depends on ILE 10, CYS 6, and CYS 11. The two CYSs form a disulfide bond and are conserved in the INSULIN motif (Blundell and Humbel, 1980). mTM-align identified two CYS residues CYS 6-chain A, CYS 7-chain A and nearby residues THR 8 and SER 9 as the conserved region among the site local boxes. The 3DCNN uses some but not all of these residues to make the classification.

4.5 Input featurization and network architecture
In this study, we employed 3DCNNs with three alternating 3D convolutional and max-pooling layers to learn features that are less sensitive to translational variance within inputs. Due to its robustness to noise and ability to extract task-specific features, the same framework can be directly applied to different functional sites without explicit tuning of model parameters or architecture. Therefore, our proposed framework is general-purposed and is applicable to any functional site given available data.

Two important hyper-parameters in our system are the dimension of the site bounding box and the voxel size. Larger boxes increase marginal information accessible by the network but are more computationally expensive. We choose to extract local protein boxes of 20 Å based on our previous experience with the FEATURE program (Bagley and Altman, 1995) and our experience of applying 3DCNNs to protein structures (Torg and Altman, 2017). Beyond a 16–20 Å cutoff, the atomic details do not provide additional information. The choice of 1 Å voxels together with small filter sizes allow the models to extract features with fine spatial resolution. Because the grid voxel system is not rotational invariant, we calibrate all boxes using backbone atoms to ensure similar orientation.

Although we focused on 10 representative functional sites in this study, since our framework can be applied to different functional sites without manual adjustments, the framework can be easily applied to datasets with large amount of functional sites. Furthermore, because our models exploit variations within the training data to learn features that are robust to noise, our method especially thrives when a large number of training examples are available. Once the models have been trained, evaluation at test time is efficient, which enables our models to be applied to large datasets at test time.

The success of 3DCNNs on difficult annotation tasks suggests that this framework is well-suited for protein structural analysis and can discover features from raw data that outperform pre-defined features. As more structural data become available, deep learning models hold promise for advanced protein engineering applications.

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