Assessing Recent Smoking Status by Measuring Exhaled Carbon Monoxide Levels

AnnSofi Sandberg, C. Magnus Sköld, Johan Grunewald, Anders Eklund, Åsa M. Wheelock

Abstract

Background: Cigarette smoke causes both acute and chronic changes of the immune system. Excluding recent smoking is therefore important in clinical studies with chronic inflammation as primary focus. In this context, it is common to ask the study subjects to refrain from smoking within a certain time frame prior to sampling. The duration of the smoking cessation is typically from midnight the evening before, i.e. 8 hours from sampling. As it has been shown that a proportion of current smokers underestimates or denies smoking, objective assessment of recent smoking status is of great importance. Our aim was to extend the use of exhaled carbon monoxide (CO breath), a well-established method for separating smokers from non-smokers, to assessment of recent smoking status.

Methods and Findings: The time course of CO breath decline was investigated by hourly measurements during one day on non-symptomatic smokers and non-smokers (6+7), as well as by measurements on three separate occasions on non-smokers (n = 29), smokers with normal lung function (n = 38) and smokers with chronic obstructive pulmonary disease (n = 19) participating in a clinical study. We used regression analysis to model the decay, and receiver operator characteristics analysis for evaluation of model performance. The decline was described as a mono-exponential decay (r² = 0.7) with a half-life of 4.5 hours. CO decline rate depends on initial CO levels, and by necessity a generic cut-off is therefore crude as initial CO breath varies a lot between individuals. However, a cut-off level of 12 ppm could classify recent smokers from smokers having refrained from smoking during the past 8 hours with a specificity of 94% and a sensitivity of 90%.

Conclusions: We hereby describe a method for classifying recent smokers from smokers having refrained from smoking for >8 hours that is easy to implement in a clinical setting.

Introduction

Smoking is a major factor in heart disease, stroke and chronic lung disease, and the association of smoking with altered levels of inflammatory markers is well documented [1,2,3]. It is known that inflammatory markers have a temporal relationship to smoking [4,5,6], and that the acute effects of cigarette smoke have an impact on a number of cellular and biochemical measures in the lung [7,8]. Thus, in studies focusing on chronic inflammation of the lung, such as mechanistic investigations of chronic obstructive pulmonary disease (COPD) and rheumatoid arthritis, the acute inflammatory effects of smoking is a confounding factor. In this context it is common to ask the study subjects to refrain from smoking within a certain time frame prior to sampling. The duration of the smoking cessation is typically from midnight the evening before, i.e. no smoking within 8 hours from sampling. However, as it has been shown that a proportion of current smokers underestimates or denies smoking [9,10], the ability to objectively assess recent smoking status is of great importance.

Objective measures of smoking status include cotinine levels in urine, however the half-life of cotinine is 17 hours [11] and hence more suitable for distinguish smokers from non-smokers, not to assess recent smoking status among smokers [12]. Measuring carbon monoxide in exhaled breath (CO breath) is an immediate, non-invasive and well-established method used to classify smokers from non-smokers [13,14]. As a constituent of cigarette smoke, carbon monoxide enters the circulation during smoking and forms carboxyhemoglobin (COHb). The elimination of CO is primarily by respiration thus there is a strong correlation between CO breath and COHb [10,13,15] making it a useful tool for assessing smoking status. Depending on factors such as gender and physical activity [16], COHb half-life is 5–6 hours [15,17] and is thus more suitable for estimating short term smoking abstinence. Moreover, CO breath is correlated to the number of cigarettes smoked during the past 24 hours [18,19,20] as well as to the time since last cigarette smoked [19].

A number of cut-off levels ranging from 5–6 ppm depending on study population have been suggested for classification of smokers
from non-smokers [19,20,21,22]. At present, there is however no method for using CO breath levels to assess recent smoking status among smokers. In this study, we have investigated whether exhaled carbon monoxide can be used as a tool to discriminate between short term abstinence and continued smoking. Our aim was to establish a cut off value for CO breath to be used for discriminating recent smokers from smokers having refrained from smoking for at least 8 hours.

Materials and Methods

Investigating CO breath Decline: Subjects and Study Design

Group 1: Model group. A training set of 13 individuals was used for modelling of CO breath decline over an 8 hour period. The model group consisted of 6 non-symptomatic current smokers and 7 non-smokers aged 45–66 years (Table 1). They had no self-reported airway symptoms and were not taking any medication. The subjects were allowed to smoke at one occasion in the morning, and thereafter the CO breath levels were measured hourly throughout the day as the subjects refrained from smoking. Baseline CO breath levels measured prior to smoking as well as CO breath levels measured immediately after smoking were also assessed. The participants were instructed on how to use the portable CO monitor and then performed measurements on their own (see below).

Group 2: Test group. A test set of 86 individuals was used to evaluate the model constructed from the training set. The test group were participants of a clinical study at the Karolinska University Hospital Solna, Sweden, (Table 2) and consisted of healthy non-smokers (n = 29), current smokers with normal lung function (n = 30), and current smokers with GOLD stage I and II (mild to moderate disease) (n = 19) [23]. In COPD patients, the ratio FEV1/FVC (FEV1; forced expiratory volume in 1 second; FVC; forced vital capacity) was <0.7 and FEV1 was 50–100% of predicted after inhalation of two terbutaline a 0.5 mg (Bricanyl Turbuhaler®, AstraZeneca AB, Södertälje, Sweden). All smokers of group 1 and 2 had a smoking history of >10 pack years and a current cigarette consumption of >10 cigarettes/day. The COPD patients had not undergone any oral or inhaled corticosteroid treatment for the last 3 months and had not experienced any signs of disease worsening (exacerbation) within the past 3 months. In addition, in vitro screening for the presence of specific IgE antibodies against common inhaled allergens (Phadiatop®, Pharmacia-Upijohn, Uppsala, Sweden) was negative in all participants. CO breath measurements were assisted by a research nurse at scheduled visits at the clinic (3–4 separate occasions/individual), at which point the study subjects were asked to estimate time since last cigarette smoked (see below). Body mass index (BMI), blood haemoglobin and high sensitive C-reactive protein (CRP) level were determined during visits.

Ethics Statement

The study was approved by the local ethical board (Stockholm, Sweden; ethical committee diary number 2006/959-31/1) and performed in accordance with the Declaration of Helsinki. Informed, written consent was obtained from all study participants.

Measurement of Exhaled CO (CO breath)

CO breath levels were measured in triplicate at each time point using the Smokerlyzer® Micro EC30 device (Bedfont Scientific Ltd, Kent, U.K.) according the manufacturer’s recommendations. In brief, subjects were asked to hold their breath for 20 seconds to allow COHb to form equilibrium with alveolar CO. The subjects then exhaled slowly and fully into the mouthpiece of the instrument during which CO breath was recorded. The CO breath levels are given in parts per million (ppm). The device was calibrated according to the manufacturer’s instructions prior to use, and then biannually throughout the study.

Statistical Analyses and Modelling

Statistical analysis was performed using GraphPad Prism version 5.02 (GraphPad Software Inc., USA). Mean of triplicate measurements, standard deviation (SD) and coefficient of variance (CV) were calculated for each time point. Differences between 2 groups were investigated using Mann-Whitney test. Comparisons between 5 groups were calculated using Kruskal-Wallis analysis of variance followed by Dunn’s post-test. Correlations were calculated according to Spearman’s rank correlation (p <0.05 was considered statistically significant).

To investigate CO decline over time, nonlinear regression was used on the raw data from group 1. A mono-exponential equation \[ Y = Y_0*\exp(-K*x) \], where \( Y = \text{ppm CO breath} \) at time \( x \) hours since smoking, \( Y_0 = \text{CO breath immediately after smoking (i.e. at } x = 0) \) and \( x = \text{time elapsed since last cigarette was used to model the decay. The validity of the mono-exponential equation was tested by plotting the natural logarithm of CO breath (ln(CO breath)) versus time followed by a linearity test using linear regression analysis [24]. CO breath half-life was calculated as \( \ln(2)/\text{slope} \). Prediction limits (95%) for the model were calculated and evaluated as cut-offs. To evaluate the robustness of the model and the generated cut-off, cross validation was performed by dividing the non-symptomatic smokers in group 2 into six cross-validation sets, each consisting of a randomly selected training set (n = 30) and test set (n = 8).

Receiver-operator characteristic (ROC) analysis for CO breath was performed using GraphPad Prism version 5.02 and Excel (Microsoft, Redmond, OR, USA), and sensitivity and specificity levels were calculated. To evaluate the robustness of the ROC analysis, the analysis was performed 6 times and each time 8 randomly selected individuals were excluded from the analysis.

Results

Exhaled CO in Smokers versus Non-smokers

In line with previous findings, CO breath levels were significantly higher in smokers (>8 hours after last cigarette) than in non-smokers in both study groups (Figure 1A and B). No significant difference in breath CO was observed comparing smokers with normal lung function and smokers with COPD, GOLD stage I–II
The CV median calculated from the triplicate measurements was 8.8% and 10.2% for non-symptomatic smokers and smokers with COPD, respectively. The high relative variance for non-smokers (CVmedian = 150%) was explained by the overall low absolute values (0–3 ppm) close to the detection limit.

**Time Course of CObreath Decline**

**Group 1.** CObreath measurements from smoking subjects (n = 6) were plotted versus time since smoking. The CObreath levels measured in the morning 8 hours since smoking were higher than those measured in the afternoon 8 hours since smoking. For all smokers, CObreath levels measured in the morning exceeded 6 ppm. Non-smokers (n = 7) had CObreath levels below 3 ppm regardless of the time-of-day, with slightly elevated CObreath at lunchtime (Figure S1). In smokers, CObreath decline could be described as a mono-exponential decay (Y = (Y0-0.35)*e\(^{-0.36x}\) +0.35; r\(^2\) = 0.77, Figure 2A). Logarithmic values, ln(CObreath), were plotted versus time since smoking (Figure 2B). Linear regression on the time course from each individual subject gave r\(^2\) values 0.5; 0.8; 0.8; 0.9; 0.9 and 0.9, respectively. The differences in decline rate were not statistically significant (p = 0.2), but the difference between the y-intercepts (initial CObreath levels) were (p < 0.0001). Given that the decline rates were similar, CO half-life was determined from the merged linear regression of all subjects (Y = 2.6-0.153x, r\(^2\) = 0.7) giving a CObreath half-life of 4.5 hours.

**Table 2.** Characteristics and lung function data for non-smokers, smokers with normal lung function and smokers with COPD (group 2).

| Variable                          | Non-smokers with normal lung function (n = 29) | Smokers with normal lung function (n = 38) | Smokers with COPD (n = 19) |
|-----------------------------------|------------------------------------------------|------------------------------------------|---------------------------|
| Age                               | 59 (46–66)                                     | 52 (44–65)                               | 57 (47–62)                |
| Sex                               |                                                 |                                          |                           |
| Female                            | 15                                              | 20                                       | 9                         |
| Male                              | 14                                              | 18                                       | 10                        |
| Pack years #                      | 0                                               | 34 (15–49)                               | 42 (23–62)                |
| Cig/day past 6 months.            | 0                                               | 20 (10–40)                               | 20 (2.5–25)               |
| FEV1, % of predicted #           | 119 (89–141)                                   | 106.5 (91–140)                           | 52 (51–97)                |
| FEV1/FVC #                        | 0.82 (0.70–0.91)                               | 0.78 (0.71–0.88)                         | 0.61 (0.45–0.69)          |
| DLCO *                            | 92 (74–116)                                    | 80 (48–106)                              | 68 (50–81)                |
| Values are given as median (range). Statistically significant differences (p <0.05) between groups are indicated * (Non-smokers vs Smokers), # (Smokers with COPD vs Smokers) and ¤ (Smokers with COPD vs Non-smokers). FEV1: Forced expiratory volume in 1 second, measured post-bronchodilator. FVC: Forced vital capacity, measured post-bronchodilator. DLCO: Carbon monoxide diffusion capacity. doi:10.1371/journal.pone.0028864.t002

![Figure 1. Baseline CObreath levels measured on non-smokers, smokers with normal lung function and smokers with COPD. A. CObreath from smokers and non-smokers recruited for a time course study of CObreath decline (group 1). CObreath (ppm) was measured in the morning; smokers having refrained from smoking during the past >8 hours. ** indicates p <0.01. B. CObreath measured on smokers with normal lung function ("smokers"), smokers with COPD and non-smokers with normal lung function (group 2). CObreath (ppm) was measured in the morning; smokers having refrained from smoking during the past 8 hours. *** indicates p <0.001.](#)
CObreath levels from both men and women (Figure S2). The differences between these time-bins in terms of the CObreath decline for group 2 corresponded to that of group 1, compared to the night (see Discussion). Under the assumption that observed difference is differences in ventilation rate during the day a factor of 1.33 in group 1 (Figure S1B). A likely reason for the afternoon. The magnitude of the difference was determined to be measured at the corresponding time point after smoking in the prior to smoking were found to be higher compared to those 6

No significant differences in CObreath decline rate also in group 2. The robustness of the constructed decline rate was tested by a six-fold randomized cross validation within group 2 and showed similar decline rates in all rounds. The average slope of the decline and upper 95% prediction limit (Y = 3.9-0.16x) are shown in Figure 3A. From the constructed decline rate, CObreath half-life was estimated to 4.3 hours. The log-transformed decay model (ln(CO breath)) of normalized values was further evaluated using the subgroup of smokers diagnosed with COPD (n = 19) as a test set. No significant difference between smokers and COPD patients were detected, neither in terms of slopes nor intercepts.

Smoking History, Age and Lung Function
Smokers with normal lung function: there was a weak correlation between CObreath, and the number of cigarettes smoked per day during the past 6 months, but not to the cumulative smoking history in pack years or between CObreath at 8 hours since smoking and absolute total lung capacity (TLC), Figure S3. No correlations were found between CObreath at 8 hours since smoking and age, FEV1 (absolute or percent of predicted), TLC% of predicted, body mass index (BMI), blood haemoglobin or CRP levels. No significant correlations to the above parameters were detected for smokers with COPD.

Model Performance
A cut-off of 12 ppm based on the averaged upper prediction limit at 8 hours since smoking (Figure 3A; Y = 3.9-0.16x) for classifying recent smokers was validated using ROC curves (Figure 3B). At a cut-off of 12 ppm the average sensitivity was 90% and the specificity 94% for classifying recent smokers from smokers who had abstained from smoking for at least 8 hours. The robustness of the model was evaluated through 6 ROC curves in which different parts of the dataset had been left out. AUC for these were 0.98, 0.99, 0.91, 0.92, 0.96 and 0.94. In addition to the above generic cut-off, we tested individualised cut-off values based on the averaged upper prediction limit, but by utilizing also one previous measurement from each individual. The aim of this was to also consider the differences in Y0 values due to differences in smoking habits among the participants. This resulted in a model with a specificity of 95% and a sensitivity of 98% (Table S1).

Discussion
In this study, we address an issue relevant to clinical and exploratory trials that include smoking subjects. With the aim to establish a method for assessing recent smoking status, we have evaluated CObreath cut-off levels to classify recent smoking status. Specifically, a cut-off level of 12 ppm indicated whether a subject had smoked within the past 8 hours with a sensitivity of 90% and a specificity of 94%. The method was applied in our clinic at the
A number of previous studies have shown that CO breath levels can be used to classify smokers from non-smokers in clinical settings. In the present study we expanded on these principles by developing a method based on CObreath decline over time to assess smoking status. We included individuals with COPD (n = 19) who had smoked within the past 8 hours to estimate the CObreath half-life. From the slope, the CObreath half-life was estimated to 4.3 hours (ln(2)/slope). The upper prediction limit was estimated to 4.3 hours (ln(2)/slope). The 95% prediction limits are indicated in the graph as dashed lines. The upper prediction limit (Y = 3.9-0.16x) was evaluated as a cut-off.

As test set, CO breath measurements were performed for 8 hours since smoking had previously been addressed by Leitch et al. They observed that CO decline rate depends on initial CO values measured. The CObreath half-life was estimated to 4.3 hours (ln(2)/slope). The 95% prediction limits are indicated in the graph as dashed lines. The upper prediction limit (Y = 3.9-0.16x) was evaluated as a cut-off. As test set, CObreath measured on smokers with COPD (n = 19) were included (indicated with triangles). B. CObreath declines modelled by linear regression (solid line) on smokers with normal lung function. From the slope, CObreath half-life was estimated to 4.3 hours (ln(2)/slope). The 95% prediction limits are indicated in the graph as dashed lines. The upper prediction limit (Y = 3.9-0.16x) was evaluated as a cut-off.

In our study, both smokers and non-smoking controls had slightly higher CObreath at lunchtime, presumably caused by dietary factors for which the CO detector is cross-sensitive. As CO is produced endogenously as well, particularly during oxidative stress and inflammation, the potentially confounding effects of inflammatory lung disorders such as COPD and asthma need to be considered. A study based on patients with asthma and COPD suggested higher cut-off values of 10–11 ppm [14] in classification of smokers from non-smokers. However, increased levels of CO in exhaled air is primarily associated with exacerbations of the diseases [21], and may not be relevant for study designs where subjects without recent exacerbations are enrolled. The proposed model was used to separate smokers from non-smokers in Figure 3A. Eighty-five percent of CO in the body is bound to hemoglobin in circulating erythrocytes, and the majority of the remaining CO is bound to myoglobin in the muscles [16]. As such, the slight gender differences in CObreath, decline rate observed in group 2 may be due to differences in muscle mass resulting in differing CO storage compartment. If this was the case, the effects would be more pronounced at longer time point since smoking. This is consistent with our findings where significant differences in half-lives were observed first after 8 hours of abstinence, and could serve as an explanation as to why the differences observed in group 2 was not seen in group 1. The relation between CObreath and time elapsed since smoking has previously been addressed by Leitch et al. They observed that CO decline rate depends on initial CO levels, which is consistent with a logarithmic decrease [6], but on average has a decline of 3.4 ppm/hour. By necessity, a generic cut-off is therefore crude as initial CObreath varies a lot between individuals.

Limited data collection of at least two CObreath time points from the subject, we also evaluated a generic cut-off value. Through a model based on 38 smokers, we found that a cut-off value of 12 ppm could discriminate between recent smokers and smokers that have refrained from smoking for >8 hours with a specificity of 94% and a sensitivity of 90%. Given that our data shows that the levels of CObreath in smokers are higher in the morning as compared to the corresponding time points in the afternoon, the indicated cut-off is intended for measurements performed in the morning. Although based on relatively few observations our data suggests a faster decline during the day, which is consistent with the findings of others [16]. Consequently we suggest using a correction factor of 1.33 for measurements performed in the afternoon, resulting in a cut-off of 12 ppm for studies evaluating smoking cessation during daytime.

It is known that CO in breath can be confounded by many factors such as diet, physical exercise, inflammatory diseases and time of the day [16,25]. In our study, both smokers and non-smoking controls had slightly higher CObreath at lunchtime, presumably caused by dietary factors for which the CO detector is cross-sensitive. As CO is produced endogenously as well, particularly during oxidative stress and inflammation, the potentially confounding effects of inflammatory lung disorders such as COPD and asthma needs to be considered. A study based on patients with asthma and COPD suggested slightly higher cut-off values of 10–11 ppm [14] in classification of smokers from non-smokers. However, increased levels of CO in exhaled air is primarily associated with exacerbations of the diseases [21], and may not be relevant for study designs where subjects without recent exacerbations are enrolled. This was the case in our study design, and no significant difference in CObreath was detected when comparing smokers with normal lung function and smokers with COPD (Figure 3A).

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A limitation with the group 2 data set is the absence of available data points between 3–7 hours since smoking. As test set, CO breath measurements were performed in the morning. After normalisation ln(CObreath) was plotted versus self-reported time since smoking. To allow comparisons with group 1, measurements performed >8 hours since smoking were omitted. CO decline was modelled by linear regression (solid line) on smokers with normal lung function. From the slope, CObreath half-life was estimated to 4.3 hours (ln(2)/slope). The 95% prediction limits are indicated in the graph as dashed lines. The upper prediction limit (Y = 3.9-0.16x) was evaluated as a cut-off.
corresponding clinical situation: Either the subject has smoked the morning of the investigation, i.e. within the past few hours of CO monitoring, or the subject has adhered to the instructions and refrained from smoking since the evening before the procedure, and thus refrained from smoking for at least 8 hours.

To conclude, we propose a cut-off for classification of recent smoking status that is higher (12 ppm CO) compared to previous methods for classification between smokers and non-smokers. The application of the method is in clinical studies where recent smoking status has an impact on the outcome.

Supporting Information

Figure S1 Breath CO as measured on 6 smokers and 7 non-smokers at 1-hour-intervals during one day (group 1). After having refrained from smoking during the night (>8 hours), exhaled CO was measured in the morning. Smokers were allowed to smoke one cigarette and then asked to refrain from further smoking throughout the day. A. Breath CO decline of smokers. Generally, measurements performed in the morning (>8 hours since smoking; dotted line indicates smoking of one cigarette) were higher than the corresponding >8 hrs since smoking measurements in the afternoon. B. Normalization of diurnal differences in CO elimination rates. Plot showing the ratios between breath CO>8 hrs since smoking measured in the morning divided by breath CO>8 hrs since smoking measured in the afternoon (group 1). For calculating the ratios, we used measured values closest to 8 hours since smoking for each individual. The derived median ratio 1.33 was used as normalization factor in subsequent evaluations. The value in brackets was measured 3.45 hours since smoking, and was thus refrained from smoking since the evening before the procedure, and thus refrained from smoking for at least 8 hours.

Table S1 Evaluation of individual cut-offs as predicted from the equation Y = Y0 - 0.16t. (derived from the averaged decline rate obtained from smokers with normal lung function, group 2).

(PDF)

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Author Contributions

Conceived and designed the experiments: AS ÅMW. Performed the experiments: AS ÅMW CMS JG AE. Analyzed the data: AS ÅMW CMS JG AE. Contributed reagents/materials/analysis tools: AS ÅMW CMS JG AE. Wrote the paper: AS ÅMW CMS JG AE.

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