A Convex Framework for Optimal Investment on Disease Awareness in Social Networks

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Abstract—We consider the problem of controlling the propagation of an epidemic outbreak in an arbitrary network of contacts by investing on disease awareness throughout the network. We model the effect of agent awareness on the dynamics of an epidemic using the SAIS epidemic model, an extension of the SIS epidemic model that includes a state of “awareness”. This model allows to derive a condition to control the spread of an epidemic outbreak in terms of the eigenvalues of a matrix that depends on the network structure and the parameters of the model. We study the problem of finding the cost-optimal investment on disease awareness throughout the network when the cost function presents some realistic properties. We propose a convex framework to find cost-optimal allocation of resources. We validate our results with numerical simulations in a real online social network.

I. INTRODUCTION

Development of strategies to control spreading processes is a central problem in public health and network security. Motivated by the problem of epidemic spread in human networks, we analyze the problem of controlling the spread of a disease by investing on disease awareness throughout the individuals in a social network. The dynamics of the spread depends on both the structure of the network of contacts, the epidemic model and the values of the parameters associated to each individual. We model the spread using a recently proposed variant of the popular SIS (Susceptible-Infected-Susceptible) epidemic model that includes a state of “awareness” (or “alertness”), . In our setting, we can modify the individual levels of awareness, within a feasible range, by investing resources in each node. These investments have associated costs, which can vary from individual to individual. In this context, we propose an efficient convex framework to find the cost-optimal investment on awareness in a social network to control an epidemic outbreak.

The paper is organized as follows. In Section II, we introduce our notation, as well as some background needed in our derivations. In Section III, we formulate our problem and provide an efficient solution based on convex optimization. In Section IV, we show simulations supporting our results.

II. NOTATION & PRELIMINARIES

In this section, we introduce some graph-theoretical nomenclature and the epidemic spreading model under consideration.

A. Graph Theory

Let \( G = (V, E) \) denote an undirected graph with \( n \) nodes, \( m \) edges, and no self-loops. We denote by \( V(G) = \{v_1, \ldots, v_n\} \)

\(^1\) An undirected graph with no self-loops is also called a simple graph.

The set of nodes and by \( E(G) \subseteq V(G) \times V(G) \) the set of undirected edges of \( G \). If \( \{i, j\} \in E(G) \) we call nodes \( i \) and \( j \) adjacent (or neighbors), which we denote by \( i \sim j \). We define the set of neighbors of a node \( i \in V \) as \( N_i = \{j \in V(G) : \{i, j\} \in E(G)\} \). The number of neighbors of \( i \) is called the degree of node \( i \), denoted by \( d_i \). The adjacency matrix of an undirected graph \( G \), denoted by \( A_G = [a_{ij}] \), is an \( n \times n \) symmetric matrix defined entry-wise as \( a_{ij} = 1 \) if nodes \( i \) and \( j \) are adjacent, and \( a_{ij} = 0 \) otherwise. Since \( A_G \) is symmetric, all its eigenvalues, denoted by \( \lambda_1(A_G) \geq \lambda_2(A_G) \geq \ldots \geq \lambda_n(A_G) \), are real.

B. Heterogenous SAIS Model

The Susceptible-Alert-Infected-Susceptible (SAIS) model proposed in extends the SIS epidemic model by incorporating the response of individuals in the dynamics of the infection. In this paper, we further extend the SAIS model by considering heterogenous transition rates. The contact topology in this formulation is an arbitrary, generic graph \( G \) with nodes representing individuals and links representing contacts among them. Each node is allowed to be in one of the three states ‘susceptible’, ‘infected’, and ‘alert’ (also called ‘aware’). For each agent \( i \in \{1, \ldots, N\} \), we let the random variable \( x_i(t) = e_1 \) if the agent \( i \) is susceptible at time \( t \), \( x_i(t) = e_2 \) if alert, and \( x_i(t) = e_3 \) if infected, where \( e_1 = \{1, 0, 0\}^T \), \( e_2 = \{0, 1, 0\}^T \), and \( e_3 = \{0, 0, 1\}^T \) are the standard unit vectors of \( \mathbb{R}^3 \). If agent \( i \) and agent \( j \) are in contact \( a_{ij} = 1 \), otherwise \( a_{ij} = 0 \). In heterogenous SAIS model, four possible types of stochastic transitions determine an agent’s state at each time:

1) Susceptible agent \( i \) becomes infected by the infection rate \( \beta_i \in \mathbb{R}^+ \) times the number of its infected neighbors, i.e.,

\[
\Pr[x_i(t + \Delta t) = e_3|x_i(t) = e_1, X(t)] = \beta_i Y_i(t) \Delta t + o(\Delta t), \tag{1}
\]

for \( i \in \{1, \ldots, N\} \), and \( Y_i(t) \triangleq \sum_{j \in N_i} a_{ij} 1_{\{x_j(t) = e_1\}} \) (the number of infected neighbors of agent \( i \) at time \( t \)).

2) Infected agent \( i \) recovers back to susceptible state by the curing rate \( \delta_i \in \mathbb{R}^+ \), i.e.,

\[
P(x_i(t + \Delta t) = e_1|x_i(t) = e_3, X(t)) = \delta_i \Delta t + o(\Delta t). \tag{2}
\]

3) Susceptible agents might go to the alert state if surrounded by infected individuals. Specifically, a susceptible node becomes alert with the alerting rate \( \kappa_i \in \mathbb{R}^+ \)
times the number of its infected neighbors, i.e.,
\[ P(x_i(t + \Delta t) = e_2 | x_i(t) = e_1, X(t)) = \kappa_i Y_i(t) \Delta t + o(\Delta t). \] (3)

4) An alert agent can get infected in a process similar to a susceptible agent but with a smaller infection rate \( r_i \beta_i \) with \( 0 < r_i < 1 \), i.e.,
\[ P(x_i(t + \Delta t) = e_2 | x_i(t) = e_1, X(t)) = r_i \beta_i Y_i(t) \Delta t + o(\Delta t). \] (4)

In above equations, \( \Pr[\cdot] \) denotes probability, \( X(t) \triangleq \{x_i(t), i = 1, \ldots, N\} \) is the joint state of the network, \( \Delta t > 0 \) is a time step, and the indicator function \( 1_{\chi} \) is one if \( \chi \) is true and zero otherwise.

Let \( p_i \) and \( q_i \) denote the probabilities of agent \( i \) to be infected and alert, respectively. Using a first-order mean-field approximation \[3\], the heterogeneous SAIS model is obtained as:
\[ \dot{p}_i = \beta_i (1 - p_i - q_i) \sum_{j \in \mathcal{N}_i} a_{ij} p_j + r_i \beta_i q_i \sum_{j \in \mathcal{N}_i} a_{ij} p_j - \delta p_i, \] (5)
\[ \dot{q}_i = \kappa_i (1 - p_i - q_i) \sum_{j \in \mathcal{N}_i} a_{ij} p_j - r_i \beta_i q_i \sum_{j \in \mathcal{N}_i} a_{ij} p_j, \] (6)
for \( i \in \{1, \ldots, N\} \).

C. Stability Analysis of Heterogenous SAIS Model

The sufficient condition for exponential stability of heterogeneous SIS model in \[3\] is also a sufficient condition for exponential die out of initial infections in heterogeneous SAIS model \[5\] \[6\]. Including an state of alertness in the network induces a secondary dynamics that can potentially control the spread of the disease. The following theorem states a sufficient condition for the epidemics to die out in the heterogeneous SAIS model.

**Theorem 1.** The infection probabilities of the heterogeneous SAIS model in \[5\] and \[6\] tend to zero, i.e., \( \lim_{t \to \infty} p_i(t) = 0 \), if
\[ \lambda_1 (LBA_{\mathcal{G}} - MD) < 0, \] (7)
where \( B \triangleq \text{diag}\{\beta_i\} \), \( D \triangleq \text{diag}\{\delta_i\} \), \( L \triangleq \text{diag}\{r_i \kappa_i + r_i\} \), \( M \triangleq \text{diag}\{\kappa_i + r_i\} \), \( \bar{\kappa}_i \triangleq \bar{\kappa}_i / \kappa_i \).

**Proof:** Denoting steady state values of infection and alertness probabilities of agent \( i \) with \( p_i^* \) and \( q_i^* \), respectively, we find from \[6\]
\[ q_i^* \sum_{j \in \mathcal{N}_i} a_{ij} p_j^* = \bar{\kappa}_i / \kappa_i + r_i (1 - p_i^*) \sum_{j \in \mathcal{N}_i} a_{ij} p_j^*. \] (8)
Replacing for \( q_i^* \sum_{j \in \mathcal{N}_i} a_{ij} p_j^* \) in \[5\] and some straightforward algebra, \( p_i^* \) is found to satisfy
\[ \frac{1}{1 - p_i^*} = \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} p_j^* \] (9)
Healthy state \( p_i^* = 0 \) for \( i \in \{1, \ldots, N\} \) is always a solution to \[9\]. However, the healthy equilibrium is not necessarily stable. Indeed, in order to find the die-out condition, we seek existence of nontrivial solutions of the equilibrium equation \[9\]. Assume that the infection rate \( \beta_i \) can be decomposed \( \beta_i = \theta \beta_i \), so that auxiliary parameter \( \theta \) can globally vary the values of infection rate of all agents. The idea of the remaining is to use bifurcation analysis with respect to \( \theta \) for
\[ \frac{p_i^*}{1 - p_i^*} = \theta \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} p_j^*. \] (10)

Since by definition \( \beta_i (r_i \kappa_i + 1) > 0 \), \[10\] implies that if contact graph \( \mathcal{G} \) is connected, either infection probabilities of all agents are zero or they are all absolutely positive. Therefore, a critical value \( \theta_c \) for \( \theta \) must exists such that for \( \theta = \theta_c \),
\[ p_i^* = 0, \frac{dp_i^*}{d\theta}|_{\theta=\theta_c} > 0. \] (11)
Taking derivative of both sides of \[10\] w.r.t \( \theta \) yields
\[ \frac{1}{(1 - p_i^*)^2} \frac{dp_i^*}{d\theta} = \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} p_j^* + \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} \frac{dp_j^*}{d\tau}. \] (12)
Replacing for \( p_i^* = 0 \) at \( \theta = \theta_c \) reduces to
\[ \frac{dp_i^*}{d\theta}|_{\theta=\theta_c} = \theta_c \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} \frac{dp_j^*}{d\theta}|_{\theta=\theta_c}. \] (13)
Hence, the critical value \( \theta_c \) is such that \[10\] has a positive solution for \( \frac{dp_i^*}{d\theta}|_{\theta=\theta_c} \). Equation \[13\] is actually a Perron-Frobenius problem
\[ w = \theta_c HA w, \] (14)
where
\[ w \triangleq \left[ \frac{dp_1^*}{d\theta}|_{\theta=\theta_c}, \ldots, \frac{dp_N^*}{d\theta}|_{\theta=\theta_c} \right], \] (15)
and
\[ H \triangleq \text{diag}\left\{ \frac{\beta_i}{\delta_i} (r_i \bar{\kappa}_i + 1) \right\}. \] (16)
which is guaranteed to have a positive solution \( w > 0 \) with \( \theta_c = 1/(LAW) \) if contact graph is connected. Therefore, for \( \theta < \theta_c \), healthy state is the only equilibrium while for \( \theta > \theta_c \), a non-healthy equilibrium exists. Equivalently, rewriting \[13\] as
\[ (\bar{\kappa}_i + r_i) \delta_i \frac{dp_i^*}{d\theta}|_{\theta=\theta_c} = \theta_c \frac{\beta_i}{\delta_i} r_i (\bar{\kappa}_i + 1) \sum_{j \in \mathcal{N}_i} a_{ij} \frac{dp_j^*}{d\theta}|_{\theta=\theta_c}, \] (17)
suggests that under \[7\] healthy state is the only possible equilibrium.

III. OPTIMAL INVESTMENT IN DISEASE AWARENESS

Imagine now that we want to start an awareness campaign to control the spread of an epidemic outbreak in a social network: On what nodes in the network should we invest our efforts in order to contain the spread in a cost-optimal manner? To answer this question, we develop in this section an optimization framework to find the optimal distribution of resources to control the value of the awareness rates in the network, \( \{\kappa_i \in \mathcal{V}\} \), assuming there is a known cost function \( f_i (\kappa_i) \) that measures the monetary cost of bringing the level of awareness of node \( i \) to the level \( \kappa_i \). Therefore, the total cost of our awareness campaign is \( C \triangleq \sum_{i=1}^n f_i (\kappa_i) \).
A. The Cost of Disease Awareness

We assume that we are only able to control the awareness level of an individual within a certain interval \( \kappa_i \leq \kappa_i \leq \overline{\kappa} \). Also, we assume the cost functions presents the following properties: (a) \( f_i(\overline{\kappa}) = C_i \), i.e., \( C_i > 0 \) is the cost of bringing node \( i \) to its maximum level of awareness; and (b) \( f_i(\kappa_i) \) is nondecreasing for \( x_i \in [\kappa_i, \overline{\kappa}] \). In what follows, we develop an optimization framework to find the cost-optimal distribution of awareness throughout the population to control an epidemic outbreak.

B. Convex Optimization Framework

Theorem 1 provides a condition to control an epidemic outbreak in a network of agents following the heterogeneous SAIS dynamics in \( \overline{\kappa} \) and \( \overline{\kappa} \). Hence, the cost-optimal investment on awareness can be found by solving the following optimization program:

\[
\min_{\{\kappa_i\}} \sum_i f_i(\kappa_i) \quad \text{s.t.} \quad \lambda_1(LBA_\kappa - MD) \leq 0, \quad \kappa_i \leq \kappa_i \leq \overline{\kappa},
\]

(18)

Notice that, if solved, this optimization program would find the cost-optimal levels of awareness, \( \{\kappa_i^*\}_{i=1}^n \), to control an epidemic outbreak. In what follows, we provide a computationally efficient optimization framework to find the optimal investment profile under certain assumptions on the cost function.

First, we show how to rewrite the spectral condition in (18) as a semidefinite constraint:

**Lemma 1.** For \( A_\kappa \) symmetric, we have that \( \lambda_1(LBA_\kappa - MD) \leq 0 \), if and only if

\[
A_\kappa - \text{diag}\left\{ \frac{r_i\delta_i + \kappa_i\delta_i/\beta_i}{r_i\beta_i + r_i\kappa_i} \right\} \preceq 0.
\]

(19)

**Proof:** Notice that \( LBA_\kappa - MD \) is a matrix similar to \( (LB)^{1/2} A_\kappa (LB)^{-1/2} - MD \). Since this matrix is symmetric, its eigenvalues are real. Then, we have that \( \lambda_1(LBA_\kappa - MD) \leq 0 \) if and only if \( (LB)^{1/2} A_\kappa (LB)^{-1/2} - MD \leq 0 \). Then, we apply a congruence transformation by pre- and post-multiplying by the diagonal matrix \( (LB)^{-1/2} \) to obtain that \( (LB)^{1/2} A_\kappa (LB)^{-1/2} - MD \leq 0 \) if and only if \( A_\kappa - (LB)^{-1} MD \leq 0 \). (Notice that \( L, B, M, D \) in Theorem 1 have that

\[
(LB)^{-1} MD = \text{diag}\left\{ \frac{r_i\delta_i + \kappa_i\delta_i/\beta_i}{r_i\beta_i + r_i\kappa_i} \right\},
\]

proving the statement of our Lemma.

Using the above lemma, we can rewrite the optimization problem in (18) as

\[
\min_{\{\kappa_i\}} \sum_i f_i(\kappa_i) \quad \text{s.t.} \quad A_\kappa - \text{diag}\left\{ \frac{r_i\delta_i + \kappa_i\delta_i/\beta_i}{r_i\beta_i + r_i\kappa_i} \right\} \preceq 0, \quad \kappa_i \leq \kappa_i \leq \overline{\kappa},
\]

(20)

We now prove that the above optimization program is quasiconvex. Define the new set of variables \( y_i, \kappa_i \), as \( y_i = \frac{r_i\delta_i + \kappa_i\delta_i/\beta_i}{r_i\beta_i + r_i\kappa_i} \), or equivalently,

\[
\kappa_i = \frac{y_i r_i \beta_i - r_i \delta_i}{\delta_i - y_i r_i} \triangleq g_i(y_i).
\]

(21)

The function \( g_i \) is a linear-fractional function and, therefore, quasiconvex if \( y_i \in \{ y \ s.t. \delta_i / \beta_i - y_i r_i > 0 \} \). This condition can be proved to always be true because \( r_i < 1 \) by definition. Hence, defining \( Y = \text{diag}\{y_i\} \), the optimization problem in (20) can be written as

\[
\min_{y_i} \sum_i h_i(y_i) \quad \text{s.t.} \quad A_\kappa - Y \preceq 0, \quad y_i \leq \kappa_i \leq \overline{\kappa},
\]

where \( h_i(y_i) = (f_i \circ g_i)(\kappa_i) \), \( y_i = h(\overline{\kappa}) \) and \( \overline{\kappa} = h(\kappa_i) \). Since \( f_i \) is a nondecreasing function and \( g_i \) is a linear-fractional transformation, the composition function \( f_i \circ g_i \) is quasiconvex. This problem is not, in general, a semidefinite program \( \overline{\kappa} \), due to the linear-fractional cost. It is, instead, quasiconvex and can still be efficiently solved using, for example, the results in \( \overline{\kappa} \) (and references therein).

C. SDP Formulation

We can achieve a semidefinite formulation of our problem when the cost function presents a particularly interesting structure. Assume that the cost function is a linear-fractional function, as follows

\[
f_i(\kappa_i) = \frac{c_i + s_i\kappa_i}{r_i\beta_i + r_i\kappa_i},
\]

(22)

where \( r_i, \beta_i \) are given parameters of the SAIS model, and \( c_i, s_i \) are free parameters that can be adjusted to fit the properties of the cost function. We are interested in nondecreasing cost functions for which \( f_i(\overline{\kappa}) = C_i \). These conditions are satisfied when \( c_i \) and \( s_i \) satisfy \( c_i = C_i r_i (\beta_i + \overline{\kappa}) - s_i \overline{\kappa} \), and \( s_i > C_i / 2 \). Moreover, if we want to satisfy \( f_i(\kappa_i) = 0 \), we should simply choose \( s_i = C_i r_i (\beta_i + \overline{\kappa}) / (\overline{\kappa} - \kappa_i) \).

We can transform the optimization problem in (20) with the linear-fractional cost function (22) into an SDP and efficiently solve it using standard optimization software (such as cvx). In particular, let us perform the change of variables \( \overline{\kappa} \)

\[
u_i \triangleq \frac{\kappa_i}{r_i\beta_i + r_i\kappa_i},
\]

(23)

\[
u_i \triangleq \frac{1}{r_i\beta_i + r_i\kappa_i}.
\]

(24)

To avoid singularities in the transformation, we assume \( r_i\beta_i + r_i\kappa_i > 0 \), or equivalently \( u_i > 0 \). Then, the objective function (22) and the elements of the diagonal matrix in the first constraint of (20) can be written as

\[
\frac{c_i + s_i\kappa_i}{r_i\beta_i + r_i\kappa_i} = c_i u_i + s_i u_i,
\]

\[
\frac{r_i\delta_i + (\delta_i/\beta_i)\kappa_i}{r_i\beta_i + r_i\kappa_i} = r_i\delta_i u_i + (\delta_i/\beta_i) u_i,
\]

\[
\frac{r_i\kappa_i}{r_i\beta_i + r_i\kappa_i} = \nu_i.
\]
respectively, which are linear functions on the new variables $u_i, w_i$. To cast our problem as an SDP, we also need to rewrite the constraints $\kappa_i \leq \kappa_i \leq \kappa_i$ in terms of $u_i, w_i$. We can do so by multiplying the three terms in these inequalities $\kappa_i \leq \kappa_i \leq \kappa_i$ by $(r_i \beta_i + r_i \kappa_i)^{-1}$. Hence, we obtain that $\kappa_i w_i \leq u_i \leq \kappa_i w_i$, which are linear inequalities on the new variables $u_i, w_i$.

Finally, we obtain the SDP formulation for the optimization problem (20) with the linear-fractional cost function (22)

$$\min_{\{u_i, w_i\}} \sum_{i=1}^{n} c_i w_i + s_i u_i$$

subject to:

$$k_i w_i \leq u_i \leq k_i w_i,$$

(a) $w_i \geq 0$,

(b) $r_i \beta_i w_i + r_i u_i = 1$.

where $U \triangleq \text{diag}\{u_i\}$ and $W \triangleq \text{diag}\{w_i\}$ are decision variables, and $F \triangleq \text{diag}\{\delta_i\}$ and $G \triangleq \text{diag}\{\delta_i/\beta_i\}$ are matrices of parameters. Notice that the constraint (a) imposes $r_i \beta_i + r_i \kappa_i > 0$ in order to avoid singularities in our transformation, and the constraint in (b) is required to guarantee that $r_i \beta_i w_i + r_i u_i = \frac{r_i \beta_i + r_i \kappa_i}{r_i \beta_i + r_i \kappa_i} = 1$.

IV. SIMULATIONS

We consider a small social network with $n = 247$ nodes and adjacency matrix $A_{FB}$, extracted from a real online social network. This social network has $m = 940$ edges and its largest eigenvalue is $\lambda_1 = 13.52$. In our experiments, we are choosing a homogeneous recovery rate $\delta_i = \delta = 1/7$. We are also choosing a homogeneous infection rate $\beta_i = \beta = 1.5 \times \delta/\lambda_1 = 7.4e - 3$. (Notice that this recovery rate is not enough to control an epidemic in the classical SIS model [9].) Awareness in the model is quantified by two node-dependent parameters: $r_i$ and $\kappa_i$. In our experiments, we choose a homogeneous $r_i = r = 1/2$, i.e., aware individuals get infected at half the rate as “unaware” individuals.

Our objective is to find the cost-optimal values for $\{\kappa_i\}$ to control an epidemic outbreak. Since $\kappa_i$ is the rate at which susceptible individuals become aware of an epidemic, we can interpret the value of $\kappa_i$ as the level of awareness of node $i$. In our experiments, we assume that the level of awareness can vary in the interval $\kappa_i \in [0, 0.024]$. Moreover, the cost of bringing an individual to a level of awareness $\kappa_i$ is given by $f_i$ in (22) where we have chosen $c_i$ and $s_i$ such that $f_i$ satisfy both $f_i(\kappa_i) = 0$ and $f_i(\kappa_i) = C_i = 1$.

Using these parameters, we run the optimization program in (25) using the standard software cvx. In Fig. 1, we present a scatter plot with 247 data points (as many as individuals in the network), where each point has an abscissa equal to $f_i(\kappa_i)$ (the investment made on node $i$ to increase its level of awareness) and an ordinate of $d_i$ (the degree of node $i$). We observe that there is a strong dependence between the optimal level of investment in node and its degree.

V. CONCLUSIONS

We have proposed a convex optimization framework to find the cost-optimal investment on awareness in a network of individuals. Our work is based on the SAIS model [2], which incorporates social-aware response of individuals to epidemic spreading. We have derived a condition to control the spread of an epidemic outbreak in terms of the eigenvalues of a matrix that depends on the network structure and the parameters of the model. We have then proposed an optimization program to find the cost-optimal investment on disease awareness throughout the network. Under mild assumptions on the cost function structure, we were able to successfully cast this program into a convex optimization framework allowing for an efficient solution.

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