Phonon-induced Exciton Dephasing in Quantum Dot Molecules

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A new microscopic approach to the optical transitions in quantum dots and quantum dot molecules, which accounts for both diagonal and non-diagonal exciton-phonon interaction, is developed. The cumulant expansion of the linear polarization is generalized to a multilevel system and is applied to calculation of the full time dependence of the polarization and the absorption spectrum. In particular, the broadening of zero-phonon lines is evaluated directly. It is found that in some range of the dot distance real phonon-assisted transitions between exciton states dominate the dephasing, while virtual transitions are of minor importance. The influence of Coulomb interaction, tunneling, and structural asymmetry on the exciton dephasing in quantum dot molecules is analyzed.

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Semiconductor quantum dots (QDs) have been considered for several years as promising candidates to form an elementary building block (qubit) for quantum computing. Recently quantum dot molecules (QDMs), i.e. systems of two quantum-mechanically coupled QDs, have been proposed for realization of optically driven quantum gates involving two-qubit operations \cite{1,2,3}. It turns out, however, that contrary to their atomic counterparts, QDs have a strong temperature-dependent dephasing of the optical polarization. Such a decoherence caused by the interaction of the electrons with the lattice vibrations (phonons) is inevitable in solid state structures thus presenting a fundamental obstacle for their application in quantum computing.

There has been considerable progress in the understanding of exciton dephasing in QDs after a seminal publication by Borri \textit{et al.} on four-wave mixing measurements in InGaAs QDs \cite{4}. Two important and well understood features of the dephasing are: (i) the optical polarization experiences a quick initial decay within the first few picoseconds after pulsed excitation and (ii) at later times it shows a much slower exponential decay. In photoluminescence and absorption spectra this manifests itself as (i) a broadband and (ii) a much narrower Lorentzian zero-phonon line (ZPL) with a temperature dependent linewidth \cite{4}. Such a behavior of the polarization is partly described within the widely used independent boson model \cite{6,11} that allows an analytic solution for the case of a single exciton state. It describes satisfactorily the broadband (or the initial decay of the polarization). However, in this model there is no long-time decay of the polarization (no broadening of the ZPL).

Recently we have presented a first microscopic calculation of the ZPL width in single QDs \cite{5}, taking into account \textit{virtual} phonon-assisted transitions into higher exciton states and mapping the off-diagonal linear exciton-phonon coupling to a diagonal but quadratic Hamiltonian. This is the major mechanism of phonon-induced dephasing in single QDs as long as the exciton level distance is much larger than the typical energy of the acoustic phonons coupled to the QDs (less than 3 meV in InGaAs QDs). On the contrary, in QDMs the distance between the nearest exciton levels can be made arbitrary small if the tunnelling between dots is weak enough \cite{5}, so that the interaction with acoustic phonons can lead to \textit{real} transitions (changing the level occupation). The experimentally measured excitonic polarization shows a different behavior in QDMs \cite{3}, too: the long-time decay is multi-exponential, in contrast to a single-exponential one in uncoupled QDs \cite{4}.

To take into account both real and virtual transitions we develop in this Letter a new approach for a multilevel excitonic system which is coupled to acoustic phonons both diagonally and non-diagonally. This allows us to calculate the dephasing in QDMs, as well as the full time-dependent linear polarization and absorption. Instead of the self-energy approach \cite{11} which is more standard in the electron-phonon problem, we use the cumulant expansion \cite{6,11}. It is much more advantageous when studying the dephasing and, being applied to a multilevel system, has to be generalized to a matrix form.

To calculate the linear polarization we reduce the full excitonic system to the Hilbert space of single exciton states \(|n\rangle\) (with bare transition energies \(E_n\) and wave functions \(\Psi_n\)). Then the exciton-phonon Hamiltonian takes the form

\[ H = \sum_n E_n |n\rangle\langle n| + \sum_q \omega_q a_q^\dagger a_q + \sum_{nm} V_{nm} |n\rangle\langle m| \]

\[ V_{nm} = \sum_q M_{qm}^{nm} (a_q + a_q^\dagger) \],

\[ M_{qm}^{nm} = \sqrt{\frac{\omega_q}{2\hbar M M'}} \int dr e^{iqr \cdot r} \Psi_n^*(r_{c}, r_{h}) \Psi_m(r_{c}, r_{h}) \times [D_{e} e^{iqr \cdot r_{c}} - D_{e}^* e^{-iqr \cdot r_{c}}] \]

where \(a_q^\dagger\) is the acoustic phonon creation operator, \(D_{e(v)}\)
is the deformation potential constant of the conduction (valence) band, $\rho_M$ is the mass density, $u_s$ the sound velocity, $V$ the phonon normalization volume, and $\hbar = 1$.

The linear polarization is given by

$$P(t) = \langle \hat{d}(t)\hat{d}(0) \rangle = \sum_{nm} d_n^* d_m e^{-iE_n t} P_{nm}(t)$$

(3)

where $d_n = p_{ce} \int d\mathbf{r} \Psi_n(\mathbf{r}, \mathbf{r})$ are the projections of the excitonic dipole moment operator $d$ ($|d_n|^2$ are the oscillator strengths). The components of the polarization are written as standard perturbation series,

$$P_{nm}(t) = \sum_{k=0}^{\infty} (-1)^k \int_0^{t} dt_1 \int_0^{t_1} dt_2 \ldots \int_0^{t_{2k-1}} dt_{2k}$$

$$\times \sum_{pr \ldots s} e^{i\Omega_{nr} t_1} e^{i\Omega_{pr} t_2} \ldots e^{i\Omega_{sm} t_{2k}}$$

$$\times \langle V_{np}(t_1)V_{pr}(t_2) \ldots V_{sm}(t_{2k}) \rangle,$$  

(4)

where the finite-temperature expectation value is taken over the phonon system, and the difference energies are $\Omega_{nm} = E_n - E_m$. The expansion of $\exp(-iE_n t) P_{nm}(t)$ which is in fact the full exciton Green’s function is shown diagrammatically in Fig. 1 up to second order, where the phonon Green’s function $\langle TV_{nk}(t)V_{pm}(t') \rangle$ (dashed lines) depends on four exciton indices.

Instead of the plain or self-energy summation of diagrams we introduce for each time $t$ the cumulant matrix $\hat{K}(t)$ defined as

$$\hat{P}(t) = e^{\hat{K}(t)},$$

(5)

where $\hat{P}(t) = \mathbf{1} + \hat{P}^{(1)} + \hat{P}^{(2)} + \ldots$ is the expansion of the polarization matrix $P_{nm}$ given by Eq. (4). Then the corresponding expansion for the cumulant is easily generated:

$$\hat{K}(t) = \hat{P}^{(1)} + \hat{P}^{(2)} - \frac{1}{2} \hat{P}^{(1)} \hat{P}^{(1)} + \ldots$$  

(6)

Numerically, we restrict ourselves to a finite number of exciton levels and diagonalize the cumulant matrix $\hat{K}$ at a given time in order to find the polarization via Eq. (5).

If all off-diagonal elements of the exciton-phonon interaction are neglected ($M_{qnm} = \delta_{nm} M_{qq}^{nm}$), the cumulant expansion ends already in first order: the contribution of all higher terms of the polarization is exactly cancelled in the cumulant, Eq. (4), by lower order products. This result allows the exact solution of the independent boson model 9. The inclusion of the non-diagonal interaction leads to non-vanishing terms in the cumulant in any order. Still, there is a partial cancellation of diagrams which provides a large time asymptotics of the cumulant, $\hat{K}(t) \rightarrow -\hat{S} - i\hat{\omega}t - \hat{\Gamma} t$, that is linear in time. Consequently, the lineshape of the ZPL is Lorentzian. For example, diagrams (1), (2b), and (2c) in Fig. 1 behave linear in time at $t \gg L/u_s$ ($L$ is the QD size), while diagram (2a) has a leading $t^2$ behavior in the cumulant, however, this quadratic term is cancelled exactly by the square of diagram (1), $\hat{P}^{(1)} \hat{P}^{(1)}/2$.

The broadening of the ZPL (which is absent in the independent boson model) is exclusively due to the non-diagonal exciton-phonon interaction and appears already in first order of the cumulant. Remarkably, the cumulant expansion reproduces in first order exactly Fermi’s Golden rule for the real phonon-assisted transitions: $\Gamma_1^{\text{(1)}} = \pi N_{\text{Bose}} (\Delta E) \sum_\mathbf{q} |M_\mathbf{q}|^2 \delta(\Delta E - \omega_\mathbf{q})$, where the ground state dephasing rate $\Gamma_1^{\text{(1)}}$ is given here for a system with two excitonic levels only. It is simulated for a single spherical QD as a function of the level distance $\Delta E = E_2 - E_1$ (Fig. 2, dashed curve). As $M_\mathbf{q}^2$ decays with $\mathbf{q}$ due to the localization of the exciton wave functions (Gauss type in the present model calculation), $\Gamma_1^{\text{(1)}}$ also decays quickly with $\Delta E$ (see the parabola in the logarithmic scale). It exhibits a maximum at $\Delta E = \omega_0 \sim u_s/L$ which is a typical energy of phonons coupled to the QD.

In spite of this ‘phonon bottleneck’ effect, the virtual transitions are always present in QDs due to second-order diagrams (2b) and (2c) and lead to a non-vanishing broadening of the ZPL everywhere. They have been taken into account already within our quadratic coupling model 9 which is valid in the opposite limit $\Delta E \gg \omega_0$.

![FIG. 1: Diagram representation of the perturbation series for the full exciton Green’s function up to second order.](image)

![FIG. 2: Broadening of the ground state ZPL as a function of the exciton level distance $E_2 - E_1$ in InAs spherical QD, calculated with account for real transitions (in first order), virtual transitions (according to Ref. 9) and for both real and virtual transitions up to second order in the cumulant.](image)
Like in symmetric QDMs [9], optically active states are well described in terms of one-particle states. Coulomb energy and the asymmetry, and the exciton wave functions (and consequently the two QDs become isolated (no tunnelling)). In QDMs this is valid as far as the Coulomb matrix elements are smaller than the energetic distances to higher confined (p-shell) or wetting layer states.

Since a strictly symmetric QDM would lead by degeneracy to special features [9], we concentrate here on a slightly asymmetric situation: the confining potentials of the left dot are 2% deeper than those of the right one. This is close to the realistic situation, when the In concentration fluctuates from dot to dot. At the same time, the shape fluctuations of the QDM are less important for shallow QDs studied in the experiments [2, 3, 8]. Thus we assume both dots to have the same cylindrical form with confining potentials taken parabolic with Gaussian localization lengths of carriers adjusted to \( l_e = 6.0 \, \text{nm} \) and \( l_h = 6.5 \, \text{nm} \) [6]. The electronic band parameters are taken from Ref. [14] and the acoustic phonon parameters are the same as used previously [6].

While in single dots the Coulomb interaction results in a small correction to the polarization decay, in QDMs the exciton wave functions (and consequently \( M_q^{(n)} \)) are strongly affected by the Coulomb energy [6]. Even more important is the influence of the Coulomb interaction and asymmetry of the QDM on the exciton transitions energies shown in Fig. 3(a) in dependence on (center-to-center) dot distance \( d \).

At short distances \( d \) the tunnelling exceeds both the Coulomb energy and the asymmetry, and the exciton states are well described in terms of one-particle states. Like in symmetric QDMs [6], optically active states \(|1\rangle = |SS\rangle\) and \(|4\rangle = |AA\rangle\) are formed from, respectively, symmetric and antisymmetric electron and hole states, while the other two, \(|2\rangle = |SA\rangle\) and \(|3\rangle = |AS\rangle\), remain dark. As \( d \) increases, the QDM asymmetry and the Coulomb interaction mix these symmetric combinations as is clearly seen from Fig. 3(b). Finally, in the limit of large \( d \) the two QDs become isolated (no tunnelling) and bright states are \(|1\rangle = |LL\rangle\) and \(|2\rangle = |RR\rangle\) formed from electrons and holes both localized on the left and on the right dot, respectively. The energy splitting between them is \( \Delta_e + \Delta_h \), where \( \Delta_e \) (\( \Delta_h \)) is the electron (hole) asymmetric splitting due to the slight difference between the QDs. The other two, \(|3\rangle\) and \(|4\rangle\), are spatially indirect exciton states which are dark and split off by the Coulomb energy \( E_C \). In contrast, in a symmetric QDM the two bright states would be separated by the Coulomb energy [8].

The full linear polarization of a QDM is calculated up to second order in the cumulant expansion, using the described four-level excitonic model. It’s Fourier transform, i.e., the absorption, shown in Fig. 4 contains four finite-width Lorentzian lines on the top of broadbandss. The width of the broadband is of the order of the typical energy of phonons participating in the transitions, \( \omega_0 \sim 2 \, \text{meV} \). Thus, if two levels come close to each other and the broadband starts to overlap, the ZPLs get considerably wider, due to the real phonon-assisted transitions between neighboring levels. In QDMs this important mechanism of the dephasing is controlled by the tunnelling which induces a level repulsion at short interdot...
there are also oscillations in $\Gamma$ clearly seen for levels $|2\rangle$ and $|4\rangle$ in the region between $d = 4\,\text{nm}$ and $8\,\text{nm}$. For an explanation, note that the matrix elements $M_{R}^{nn}$, Eq. (2), are Fourier transforms of the electron (hole) probabilities. When located in different QDs, they carry a factor of $\exp(iqd)$. Since the typical phonon momentum participating in real transitions is $q_0 \sim 1/L_z$, one could expect that $\Gamma$ has maxima spaced by a length of order $L_z$.

The temperature dependence of $\Gamma_n$ is shown in Fig. 5. At $d = 6\,\text{nm}$, levels $|3\rangle$ and $|4\rangle$ are already close to each other, and real-phonon-assisted transitions between them are possible. As a result, the dephasing rates grow quickly with temperature. At the same time, levels $|1\rangle$ and $|2\rangle$ are far from each other and real transitions are suppressed. Still, virtual transitions contribute everywhere, with no strong dependence on level energies.

In conclusion, in the present microscopic approach to the dephasing in quantum dot molecules, we go beyond Fermi’s golden rule and quadratic coupling model, taking into account both real and virtual phonon-assisted transitions between exciton levels on equal footing. While the dephasing in single quantum dots is mainly due to virtual transitions, in quantum dot molecules real transitions dominate the dephasing. We show that the broadening of the zero-phonon lines calculated for a few lowest exciton states depends strongly on interdot distance (via tunnelling), electron-hole Coulomb interaction, and symmetry of the double-dot potentials.

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