Emergent “Quantum” Theory in Complex Adaptive Systems

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Motivated by the question of stability, in this letter we argue that an effective “quantum” theory can emerge in complex adaptive systems. In the concrete example of stochastic Lotka-Volterra dynamics, the relevant effective “Planck constant” associated with such emergent “quantum” theory has the dimensions of the square of the unit of time. Such an emergent quantum-like theory has inherently non-classical stability as well as coherent properties that are not, in principle, endangered by thermal fluctuations and therefore might be of crucial importance in complex adaptive systems.

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Introduction: That many-body systems can harbor emergent phenomena not present in the microscopic description of such systems has been well known in the literature on condensed matter physics [1]. For example, emergent quantum critical phenomena at low temperatures can be described by effective quantum field theories, which are not inherent in the microscopic description of actual materials that exhibit such emergent phenomena [1]. In this letter we argue that effective “quantum” theory can emerge in complex adaptive systems, even though the microscopic dynamical description of such systems is stochastic and dissipative. We emphasize that in our case we are talking about an emergent “quantum” framework (see also [2, 3]), which can be used to define different effective theories. The non-reductionist nature of emergent phenomena has been already emphasized in the past [4]. Usually one invokes energetic arguments for the emergence of certain correlated states of matter. In our proposal the crucial distinguishing aspect of emergent “quantum” theory would be the emergent “quantum” stability, as implied by the emergent “quantum” coherence. We emphasize the unique nature of quantum stability and distinguish it from its classical counterpart (whether in the classically deterministic or stochastic contexts). Also, as shown in the context of quantum information and quantum computation, the informational and computational consequences of quantum coherence cannot be modeled by purely classical (either deterministic or stochastic) systems [5]. Thus the computational aspects of emergent “quantum” systems would be quite unique, as opposed to their classical counterparts. Therefore, it might be advantageous for complex adaptive systems on various grounds (stability, informational and computational aspects) to display emergent “quantum” behavior in certain regimes (defined by an emergent, or “mock”, Planck constant $\hbar$.) Our proposal regarding the emergence of effective “quantum” theory in complex adaptive systems should be understood as a working hypothesis, worthy of further exploration and elaboration. In what follows we discuss various arguments for such an emergent mock quantum theory and argue that the new non-classical kind of stability associated with such an emergent quantum behavior should be natural and advantageous for adaptable complex systems [6]. After presenting our main argument for the emergence of effective “quantum” theory in complex adaptive systems, we also point out that such an emergent mock quantum theory is natural in non-linear complex dissipative systems, as pointed out by ‘t Hooft in a completely different physical context of quantum theory of gravity [7]. We adapt ‘t Hooft’s reasoning in order to argue, once again, for the emergence of effective “quantum” theory in complex adaptive systems. Finally, we illustrate our main point about the emergence of mock “quantum” theory in the context of the stochastic Lotka-Volterra dynamics.

Emergent “Quantum” Theory: We start addressing the technical content of our proposal by representing a given deterministic model (e.g. the Lotka-Volterra system) in the Hamilton-Jacobi picture, $\frac{\partial S}{\partial t} + H(Q_i, P_i = \frac{\partial S}{\partial Q_i}) = 0$. Here, the action is defined by the canonical expression $(Q_i$ denotes the configuration variables and $P_i$ their conjugate momenta) $S = \int (P_i \dot{Q}_i - H) dt$. For such a general deterministic model described in the Hamilton-Jacobi picture, the phase space volume is conserved (the volume density of phase space being $\rho$) $\frac{\partial \rho}{\partial t} + \nabla(\rho \dot{\vec{v}}) = 0$, where the velocity $\dot{\vec{v}}$ is defined as $v_i \equiv \dot{Q}_i$, and in the single particle case $\vec{v} = \sum S \frac{\partial S}{\partial Q_i} \equiv \vec{P}$. Inspired by the formalism of Rosen and Schiller [8] we define the following new variables

$$\psi \equiv \pm \sqrt{\rho} \exp(i \frac{S}{\hbar}). \tag{1}$$

The “mock” (or effective) Planck constant $\hbar$ appears by dimensional arguments given this definition and the dimensionality of the action $S$. For example, in the case of the Lotka-Volterra dynamics the dimension of the action is $\tau^2$, $\tau$ having the dimension of time. In the next section we apply our mock-quantum formalism to the specific example of the Lotka-Volterra model [9, 10], but for now
we keep our discussion more general. The variable $\psi$ in Eq. 1 satisfies the following “$\psi$-equation” [8]

$$i\hbar \frac{\partial \psi}{\partial t} = H(Q, -i\hbar \frac{\partial}{\partial Q})\psi + V_Q(\psi, \psi^*)\psi. \tag{2}$$

There is also the complex conjugate equation for $\psi^*$. Note that in this expression $-V_Q$ is the so-called “quantum” potential (of de-Broglie and Bohm [11–13]), which depends on $\psi$ and $\psi^*$ and comes from the kinetic term in the action. In the case of the canonical (single particle) kinetic term $\frac{p^2}{2m}$, the quantum potential is $V_Q = \frac{\hbar^2}{2m} \nabla^2(\sqrt{\rho})$. As pointed out in a different context, in which the real Planck constant $\hbar$ appears instead of its “mock” counterpart $\hbar$ [8], the above equation (2) does look like a non-local and non-linear “Schrödinger-like” equation. (Obviously the canonical Schrödinger equation is given by setting $V_Q = 0$.) This non-local and non-linear dynamics evolves, in general, pure states into mixed states, and thus, it is not coherent (and not unitary) in the sense of the canonical linear “quantum” theory [8].

The above rewriting is true for deterministic systems (such as the Lotka-Volterra dynamics), and in such systems the dynamics can be dominated by a single attractor or a global minimum, yielding systems that lack flexibility. In a realistic complex system such deterministic dynamics should be supplemented with a source of noise, or other environmental factors not included in the model, $\eta$, in which case the stability analysis is generalized from the deterministic to stochastic analysis [14]. The presence of multiple minima and the stochastic transitions brings the concepts of metastability and multi-stability that enrich the dynamics of complex systems [15]. Nevertheless, in such classical forms of stability there is inherent dichotomy between the stability and control on one side and the flexibility on the other. The control of multistable systems is of great practical importance and an active area of research [16].

In this work we propose a novel (“quantum”) type of stability in which the “quantum” constraints and linearity can provide stability and flexibility at the same time, and we argue that this new type of stability can emerge in complex systems if the system and/or the environmental factors are adaptive. Biological systems provide perfect examples of such adaptive behavior. In a cell, any metabolic process or a pathway is strongly coupled to the homeostatically regulated intracellular environment. Ever since the enclosure of self-replicating RNA in a membrane, the pathways and the intracellular environment are evolving simultaneously and their interaction, while extremely important, is not understood to this day. We argue that it is advantageous for an adaptive complex system to develop this new type of “quantum” stability and linearity and show how it can emerge if the environmental/stochastic source $\eta$ cancels the non-linear and non-local part of the “$\psi$-equation”, turning it into an emergent and effective Schrödinger equation. In the presence of the environmental/noise term $\eta$, the equation (2) should be modified to

$$i\hbar \frac{\partial \psi}{\partial t} = H(Q, -i\hbar \frac{\partial}{\partial Q})\psi + V_Q(\psi, \psi^*)\psi + \eta, \tag{3}$$

where we have indicated that the $V_Q(\psi, \psi^*)\psi$ and $\eta$ can be combined into one effective term which according to our proposal cancels in a complex adaptive environment. Note that such cancellation would be difficult to achieve if $\eta$ is purely stochastic and hence the environment too is expected to be adaptive. Since $\eta$ is expected to contain a stochastic component we discuss later the consequences of imperfect cancellation in Eq. 3. In our proposal, the environmental factors crucially depend on the “holistic” description of the system, and hence a complex adaptive system can adjust “holistically” to the adaptive environment (and vice versa), leading to the emergence of an effective “quantum” dynamics. However, given the underlying classical origin of our “mock” quantum, the Bell inequality is not violated. (Note that this is somewhat reminiscent of the observed de-Broglie-Bohm-like behavior of guided droplet “particles” in a vibrating bath of classical fluid [3]. Such systems also do not violate the Bell inequality.)

The process by which mock-quantum framework emerges is in essence the reverse of the decoherence approach to the quantum-to-classical transition [17], as the system as a whole “re-coheres”. Obviously, this could happen only if $\eta$ depends on $\psi$, or, in other words, if the environment is adaptive and “holistic” (i.e. depends on $\psi$ and $\psi^*$). In this case, the holistic “mock quantum” wave function $\psi$, by its definition (1), can be adjusted to the “holistic” changes in an adaptive environment represented by the noise term $\eta$, and vice versa, thus ensuring the robustness of the emergent “quantum” description. The more fundamental reason for the advantageous nature of emergent “quantum” theory might be found in the linear structure of quantum theory, which is tightly related to the concept of of maximally symmetric Fisher information [18, 19]. The adaptive dynamics of complex systems would thus adjust the whole system so that only the linear part of the above “$\psi$-equation” form of the complex dynamics is left. This selection mechanism would then lead to effective or “mock” quantum theory

$$i\hbar \frac{\partial \psi}{\partial t} = H(Q, -i\hbar \frac{\partial}{\partial Q})\psi. \tag{4}$$

Note that, given what we know about canonical quantum theory, here $\psi$ represents an emergent amplitude of probability. This is radically different of the well-known rewritings of stochastic equations in terms of a Schrödinger-like equation for classical probability. We emphasize that this emergent quantum theory is distinct from the underlying
quantum theoretic description at the molecular biochemical level, given the usual decoherence effects [17].

We now address the question of dissipation in complex adaptive systems. The issue of emergence of quantum theory in classical dissipative systems has been (perhaps surprisingly) discussed in a completely different context of the fundamental physics of quantum gravity [20], by ’t Hooft [7]. Note that there exist other discussions of emergent quantum theory [21]. However, ’t Hooft’s discussion of emergent quantum theory is particularly interesting for us because of its crucial emphasis on dissipation. Translated into our context, ’t Hooft’s argument runs as follows. The “mock” Schrödinger equation:

\[ \frac{d\psi}{dt} = -i\Omega\psi, \quad \Omega = \frac{H}{\hbar}, \]

can be reproduced by ’t Hooft’s system:

\[ \frac{d\varphi}{dt} = \omega(t), \quad \frac{d\omega(t)}{dt} = -\frac{i}{2} \frac{d\varphi^2}{d\varphi}, \quad f(\omega) \equiv \text{det}(\Omega - \omega), \]

where \( \varphi \) is valued between 0 and 2\( \pi \) and \( \psi \) is periodic in \( \varphi \): \( \psi(\omega, \varphi) = \psi(\omega, \varphi + 2\pi) \), and the function \( f(\omega) \) has zeros at the eigenvalues of \( \Omega \). ’t Hooft’s point is that the eigenvalues of \( \Omega \) get attracted to the zeros \( \omega_i \) exponentially in time, as the system enters its limit cycle [7]. Note that ’t Hooft is concerned with the emergence of the canonical quantum theory from some more fundamental framework (such as quantum gravity) and he does not discuss emergent quantum theory in complex adaptive systems, which is our main concern. However, given what we have already said in this section, we see that ’t Hooft’s formalism can serve as a precise illustration of the central idea regarding the emergence of “mock” quantum theory in dissipative and adaptive complex systems. We can use this proposal in our context to argue that the deterministic complex adaptive system, characterized by an adaptive environment, described by the above dissipative system of ’t Hooft, can lead to an emergent mock-quantum theory. To argue this we use the action-angle canonical variables: \( I = \int P_i dQ_i, \varphi = \frac{\partial S}{\partial I} \). In the absence of ’t Hooft’s dissipation \( \frac{d\varphi}{dt} = 0 \), we have the canonical equations \( \frac{dI}{dt} = -\frac{\partial H}{\partial \varphi} = 0 \), and \( \frac{d\varphi}{dt} = \frac{\partial H}{\partial I} = \text{const.} \). Thus, in the presence of an environment modeled by the above ’t Hooft’s dissipation function \( f^2 \) one can, following ’t Hooft’s argument [7], expect the emergence of effective “quantum” theory. Once again, this example indicates that our proposal for emergent “quantum” theory in complex adaptive systems is in principle realizable. Note that, more recently, ’t Hooft has argued for a cellular automaton interpretation of canonical quantum theory [22]. Given what is known about the importance of cellular automata for modeling of complex adaptive systems [23], it is natural to apply this most recent ’t Hooft’s reasoning [22] to generic complex adaptive systems and, once again, argue for the emergence of “quantum” theory in which the effective “Planck constant” is given by dimensions of the dynamical action that describes the particular complex system. Finally, let us address briefly the question of robustness of the emergent “quantum” theory in complex adaptive systems. In particular, one might ask what happens to the emergent Schrödinger equation under imperfect cancellation in Eq. 3, i.e., by adding a perturbation to the “mock” Schrödinger equation. The natural proposal here is that precisely such perturbations will lead to the “collapse” of the emergent “wave function” and the actually observed values of measured quantities (with the probability distribution governed by the Born rule). Thus the perturbations in (adaptive) noise would be crucial for a dynamical “collapse” of the emergent Schrödinger “wave function” along the lines of various proposals reviewed in [24]. (The crucial role of noise in the emergence of classical behavior in the de-Broglie-Bohm interpretation of the canonical quantum theory is nicely summarized in [12].)

The Lotka-Volterra example: Now we illustrate our proposal by considering the Lotka-Volterra system. The deterministic Lotka-Volterra system [9] specifies the number of species and how their populations interact and change in time. The classical Hamiltonian for the Lotka-Volterra can be written as follows [10]. First we introduce \( z_i \equiv \log N_i \), where \( N_i \) is the number of species. Then the deterministic Lotka-Volterra equations read as follows [10]

\[ \dot{z}_i = \gamma_{i2} \gamma_{21} (e^{z_2} - 1), \quad \gamma_{ij} = -\gamma_{ji}. \]  

For the two-species case the canonical variables are \( z_1 = Q, \quad z_2 = P \), and the Lotka-Volterra Hamiltonian is [10]

\[ H = \gamma_1 (e^Q - Q) + \gamma_2 (e^P - P). \]  

This deterministic Lotka-Volterra equations can be rewritten as the canonical Hamilton equations: \( \dot{Q} = \frac{\partial H}{\partial P} \), \( \dot{P} = -\frac{\partial H}{\partial Q} \), with \( \gamma_{12} = -\gamma_{21} = \gamma \), or in terms of the Hamilton-Jacobi equations, or simply in terms of \( N_i \) variables [25].

Now we discuss the emergent mock-quantum theory in this specific case. According to our proposal, one first rewrites the deterministic Lotka-Volterra system in the Hamilton-Jacobi picture by using the variables \( \psi \) and \( \psi^* \). Then one considers the stochastic Lotka-Volterra system in an adaptive environment in order to argue for the emergence of a quantum-like, linear, description in terms of \( \psi \) and \( \psi^* \). The emergent “mock quantum” Lotka-Volterra dynamics is endowed with the properties we expect from a quantum-like theory. For example, in the emergent quantum Lotka-Volterra system there exists the “uncertainty” principle which asserts that the coordinates (integrals of the numbers of species) and their conjugate momenta cannot be simultaneously determined. This new fundamental feature should provide a striking experimental signature of “mock” quantum theory in the context of the Lotka-Volterra dynamics. Similarly one would be only able to talk about transition probabilities from a certain number of species to another number of species. One would also have the zero point energy, tunneling and interference as the hallmarks of a
quantum-like theory. In the stationary case, the emergent quantum theory of Lotka-Volterra dynamics advocated in this letter is characterized by the emergent stationary Schrödinger equation $H\psi_n = E_n\psi_n$, where $E_n$ are the emergent eigenvalues of $H$ and where as usual, $\psi_n(t) = \exp(-i\frac{E_n}{\hbar}t)\psi_n(0)$, $n = 0, 1, 2,...$ Now, for small $P$ and $Q$, which is the linearized approximation of the slow Lotka-Volterra dynamics, $e^Q \sim 1 + Q + Q^2/2$ and similarly $e^P \sim 1 + P + P^2/2$, and the effective Lotka-Volterra dynamics is harmonic [10]

$$H \sim \gamma(\alpha_1 + \alpha_2) + \frac{1}{2}(\gamma\alpha_1 Q^2 + \gamma\alpha_2 P^2).$$

The “mock” quantum theory is then mapped to the simple quantum-like harmonic oscillator with energy levels
given by the standard result for the “energy spectrum”

$$E_n = \gamma(\alpha_1 + \alpha_2) + \gamma\sqrt{\alpha_1}\alpha_2(n + \frac{1}{2}),$$

where $\gamma \equiv \tau^2$, the dimension of the Lotka-Volterra action. The corresponding “stationary wavefunctions” $\psi_n$ are given in terms of the appropriate Hermite polynomials. In this case all transition probabilities can be computed exactly. Similarly the classical variables $Q$ and $P$ become the canonically normalized operators $\hat{Q}$ and $\hat{P}$, obeying the canonical commutation relations $[\hat{Q}, \hat{P}] = i\hbar$. For a given “quantum” state, this commutation relation in turn implies the canonical uncertainty relation between $\Delta Q$ and $\Delta P$. Therefore in the emergent “quantum” phase the Lotka-Volterra variables $Q$ and $P$ and therefore $z_1$ and $z_2$, cannot be simultaneously measured. Note that the crucial difference that in our case the effective Planck constant is emergent and given by $\hbar$.) Note that in our discussion of effective, mock, quantum theory, the adaptive environmental part is crucially needed to cancel the non-linear and non-local part of the classical dynamics rewritten in terms of somewhat unusual “wave-function”-like variables, thus leading to emergent “quantum” dynamics in which the evolution parameter corresponds to the real, physical time.

**Conclusion:** We offer some general comments that should be useful for further investigations of our proposal:

1. One natural interpretation for the proposed “mock” quantum theory could be understood as a reverse analog of “quantum Darwinism” of Zurek [17] considered in the context of decoherence models of the canonical quantum-to-classical transition, since in our proposal the effective quantum behavior emerges. 2) The dimensions and the value of the fundamental parameter, $\gamma$, are system dependent (e.g., different values of $\tau$ for different Lotka-Volterra systems). 3) In many situations one considers discrete Lotka-Volterra systems of equations as opposed to the continuous one; it is natural to ask whether the parameter $\tau$ can be constrained from the point of view of discrete Lotka-Volterra dynamics. 4) Finally, the proposed emergent “mock” quantum theory opens the door for various collective many-body “mock” quantum effects in biological systems. Our proposal can be intuitively understood as a new type of stability in biological systems, but should be clearly distinguished from the arguments that canonical quantum physics is relevant in biological systems [28]. Our effective “mock quantum” theory comes with a new fundamental deformation parameter (e.g., the time scale in the Lotka-Volterra models) that is emergent and thus distinguishable from the canonical fundamental quantum theory which usually suffers in competition with realistic thermal biological environments. Obviously in this note we have only scratched the surface [29] and further work is needed to understand the full implications of our proposal [30].
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