Origins of Human Malaria: Rare Genomic Changes and Full Mitochondrial Genomes Confirm the Relationship of Plasmodium falciparum to Other Mammalian Parasites but Complicate the Origins of Plasmodium vivax

Scott William Roy* and Manuel Irimia†

*National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, MD; and †Departament de Genètica, Facultat de Biologia, Universitat de Barcelona, Barcelona, Spain

Despite substantial work, the phylogeny of malaria parasites remains debated. The matter is complicated by concerns about patterns of evolution in potentially strongly selected genes as well as the extreme AT bias of some Plasmodium genomes. Particularly contentious has been the position of the most virulent human parasite Plasmodium falciparum, whether grouped with avian parasites or within a larger clade of mammalian parasites. Here, we study 3 classes of rare genomic changes, as well as the sequences of mitochondrial ribosomal RNA (rRNA) genes. We report 3 lines of support for a clade of mammalian parasites: 1) we find no instances of spliceosomal intron loss in a hypothetical ancestor of P. falciparum and the avian parasite Plasmodium gallinaceum, suggesting against a close relationship between those species; 2) we find 4 genomic mitochondrial indels supporting a mammalian clade, but none grouping P. falciparum with avian parasites; and 3) slowly evolving mitochondrial rRNA sequences support a mammalian parasite clade with 100% posterior probability. We further report a large deletion in the mitochondrial large subunit rRNA gene, which suggests a subclade including both African and Asian parasites within the clade of closely related primate malaria. This contrasts with previous studies that provided strong support for separate Asian and African clades, and reduces certainty about the historical and geographic origins of Plasmodium vivax. Finally, we find a lack of synapomorphic gene losses, suggesting a low rate of ancestral gene loss in Plasmodium.

Introduction

Appropriate molecular markers and methods for reconstruction of Plasmodium phylogeny have long been subjects of debate. A very early molecular study compared the GC content of Plasmodium genomes across species (McCutchan et al. 1984). The first individual locus to be analyzed, the small subunit (SSU) ribosomal RNA (rRNA), eventually fell under suspicion due to the unusual presence of multiple copies in Plasmodium and their apparent concerted evolution (Corredor and Enea 1993). A second widely studied molecule, the circumsporozoite surface protein gene, may also not be an appropriate marker due to its apparent evolution under strong diversifying/balancing selection (Hughes 1991). In general, the extreme AT richness of the Plasmodium nuclear and chloroplast genomes provides unique challenges in sequence and phylogenetic analysis (e.g., Dávalos and Perkins 2008).

In particular, the evolutionary position of the most virulent human parasite Plasmodium falciparum and the closely related chimpanzee parasite Plasmodium reichenowi has been the subject of debate. Some of the best evidence to date comes from 2 particularly thorough studies of mitochondrial cytochrome b and nuclear SSU rRNA and from a recent analysis of 4 genes from the 3 organellar genomes, each of which has placed these species as sister to other mammalian parasites (Qari et al. 1996; Perkins and Schall 2002; Martinsen et al. 2007). However, an alternative clade grouping P. falciparum and P. reichenowi with avian parasites also continues to receive support (Waters et al. 1991; Escalante and Ayala 1994; Escalante et al. 1995, 1997, 1998; McCutchan et al. 1996; Leclerc et al. 2004). Recently, 1 study went so far as to argue that currently available data are insufficient to resolve the question (Hagner et al. 2007).

In cases of difficult to resolve relationships, the use of so-called rare genomic characters/changes can provide an alternative source of phylogenetic signal (Rokas and Holland 2000). Given their lower rate of change, such characters might maintain phylogenetic signal after some sequence characters have saturated. Here we study 3 alternative sources of data: 1) loss/gain of spliceosomal introns; 2) mitochondrial genomic indels; and 3) nuclear gene losses, as well as the sequences of mitochondrial rRNA genes. Our findings corroborate the existence of a clade of mammalian malaria parasites including P. falciparum and P. reichenowi. In addition, a ~100-bp deletion including the terminal 21 bp of one fragment of the mitochondrial large subunit (LSU) ribosomal RNA gene suggests against a clade of Asian primate malaria parasites, possibly complicating the origin of the human parasite Plasmodium vivax.

Methods

Alignment and Analysis of Mitochondrial Genomes

We downloaded complete mitochondrial genome sequences for 20 Plasmodium, Haemoproteus, and Leucocytozoon species from GenBank (table 1). Overall, AT content of the sequences was much lower than for the nuclear genomes, ranging from 67.0% to 70.2%. Complete sequences were aligned in stand-alone ClustalW using default parameters. The sequence labeled Leucocytozoon sabrazesi was found to be highly divergent and was excluded from indel sequence analysis. Sequences corresponding to rRNA sequences as annotated for the Plasmodium gallinaceum sequence were extracted and concatenated. AT content for these regions was lower still, ranging from 58.2 to 59.8%. Indels were identified and analyzed by eye.

Phylogenetic Analysis of rRNA Sequences

We constructed a concatamer of 14 pieces of the highly fragmented rRNA genes from the mitochondrial long and short ribosomal subunits. We performed Neighbor-Joining (NJ) and Bayesian inference analyses. The NJ analysis was
performed on MEGA 4.0, using a Kimura 2-parameters model with gamma distributed rates (gamma parameter = 1) and complete deletion. A total of 5,000 replicates were carried out for the bootstrap analysis. The phylogeny by Bayesian inference was performed using the MrBayes3.1.2 software. We assumed a general time-reversible model with a gamma-shaped distribution of rates. We ran 1,000,000 tree generations with a sample frequency of 100, and the first 100,000 were discarded before inferring the tree with the highest posterior probability. Leucocytozoon species were used as outgroups. We repeated the same analysis using smaller groups of 4 species to show that the signal holds even with scant taxon sampling. Results of the phylogeny by Bayesian inference were highly consistent.

### Loss of Ancestral Genes in Plasmodium

We downloaded the genomes and annotations of *Theileria parva* (GenBank accession numbers AAGK01000001–AAGK01000009), *Toxoplasma gondii* (http://www.toxodb.org), and *P. falciparum* (http://www.plasmodb.org) and the genome assemblies for *P. vivax* and *P. gallinaceum* (http://www.plasmodb.org). We identified putative orthologs between *T. parva* and *T. gondii* by reciprocal searches using stand-alone BlastP. We then scored presence/absence in *P. falciparum* by BlastP searches of the *T. parva* representative against the predicted *P. falciparum* proteome. For each case of apparent gene loss in *P. falciparum*, we next performed TBlastN searches using the *T. parva* representative against the *P. gallinaceum* and *P. vivax* genome assemblies in order to identify potential synapomorphic losses. For all searches, we used a cutoff E value of $10^{-10}$.

### Results

**Spliceosomal Intron Loss**

Following previous studies utilizing spliceosomal intron losses and gains as phylogenetic characters (Venkatesh et al. 1999; Nguyen et al. 2005; Roy and Gilbert 2005a; Zheng et al. 2007; Roy and Irimia 2008), we studied spliceosomal intron loss and gain in *Plasmodium*. We previously showed that intron loss/gain is very rare in *Plasmodium*, with 2,185 shared introns but only 27 intron gain/losses in conserved coding regions between *P. falciparum* and the rodent parasite *P. yoelii* (Roy and Hartl 2006). In all, 26 of these 27 introns were also found to be shared with the avian parasite *P. gallinaceum*; no homologous *P. gallinaceum* sequence could be found for the remaining intron (Roy and Hartl 2006). Four pairs of adjacent *P. falciparum* introns are absent in *P. yoelii*, suggesting their concerted loss by recombination with a reverse transcribed copy of an mRNA (Frugoli et al. 1998; Niu et al. 2005; Roy and Gilbert 2005b), yielding a total of 22 (multiple) intron gain/loss events in conserved regions (table 2).

We sought to test the hypothesis that *P. falciparum* arose via lateral transfer from an avian host. Assuming a *P. gallinaceum–P. falciparum* affinity, *P. falciparum* has experienced 7 loss events since the *P. gallinaceum–P. falciparum* divergence (shared *P. gallinaceum–P. yoelii* introns absent in *P. falciparum*), but none before the divergence (no *P. yoelii*-specific introns). If the *P. gallinaceum–P. yoelii* divergence and the *P. gallinaceum–P. falciparum*
divergence occurred at times $T_{gy}$ and $T_{gf}$ in the past ($T_{gy} > T_{gf}$), respectively, the probability that all 7 losses in $P. falciparum$ postdate the $P. gallinaceum$–$P. falciparum$ divergence is $P = (T_{gf} T_{gy})^7$, assuming constant rate of loss. $P < 0.05$ for $T_{gf} T_{gy} < 0.65$, suggesting that the $P. gallinaceum$–$P. falciparum$ divergence is not recent relative to the $P. gallinaceum$–$P. yoelii$ divergence. Assuming that the 15 introns (or intron pairs) that are absent in $P. yoelii$ are all due to intron loss, the probability of observing 15 losses in $P. yoelii$ in $T_{gy}$ but no losses in the $P. falciparum$–$P. gallinaceum$ ancestor over $T_{gy} − T_{gf}$ My, is $[T_{gy} (2 T_{gy} − T_{gf})]^{15}$ assuming constant rate of loss. $P < 0.05$ for $T_{gf} T_{gy} < 0.78$, again suggesting against a recent $P. falciparum$–$P. gallinaceum$ divergence. This situation is not eased by attributing some of the shared $P. falciparum$–$P. gallinaceum$ introns to intron gain because in this case the lack of intron gains in $P. yoelii$ over a longer time period is unexpected.

If avian (e.g., $P. gallinaceum$) and most mammalian (e.g., $P. yoelii$) parasites diverged coincident with their hosts (bird–mammal divergence $\sim 315$ MYA, Reisz and Müller 2004), these arguments suggest that $P. falciparum$ is unlikely to have diverged from $P. gallinaceum$ less than 205–246 MYA (i.e., 0.65 $\times$ 315–0.78 $\times$ 315 MYA). Assuming ancient host switching, the $P. yoelii$–$P. gallinaceum$ divergence could be more recent; however, unless this divergence too reflects a transfer between mammals and bird ancestors, it cannot postdate the mammalian radiation $\sim 210$ MYA (monotreme–therian divergence; Hugall et al. 2007), suggesting against a $P. falciparum$–$P. gallinaceum$ divergence less than 136–164 MYA (i.e., 0.65 $\times$ 210–0.78 $\times$ 210 MYA). Each of these dates is well before the estimated radiation of birds $\sim 115$ MYA (Hugall et al. 2007), suggesting against an avian–mammalian transfer leading to $P. falciparum$.

Notably, shifts in rates of intron loss/gain are by no means impossible, as very large rate shifts have been observed within apicomplexan parasites (Roy and Penny 2006); however, to explain the data in terms of changes in intron loss rates through $Plasmodium$ history requires 2 independent shifts, 1 each to explain the greater loss rates in $P. yoelii$ and in $P. falciparum$ relative to that in the putative $P. falciparum$–$P. gallinaceum$ ancestor. In total, these findings complicate the hypothesis of a recent $P. falciparum$–$P. gallinaceum$ divergence.

### Alignment of Complete Mitochondrial Genomes

We aligned publicly available full-length mitochondrial genome sequences from 16 $Plasmodium$ species, as well as 2 species of Haemoproteus (bird and reptile parasites closely related to $Plasmodium$) and 2 species of Leucocytozoon (a speciose genus of avian parasites; table 1). Genomes show more moderate AT contents than for $Plasmodium$ nuclear and apicoplast genomes (80.6% and 86.9%, respectively in $P. falciparum$), ranging from 67.0 to 70.2% AT. The genomes are highly conserved, with 83.8% and 99.9% conservation between species pairs across the entire genome at ungapped positions.

### Analysis of Mitochondrial Indels

We identified indels for which either 1) there were clearly only 2 lengths, suggesting a single indel event and/or 2) 1 long indel (>20 bp) was shared among 2 or more sequences. In total, we identified 22 phylogenetically informative indels. Supporting their phylogenetic utility, the majority of indels supported well-established groups, including $P. falciparum$–$P. reichenowi$ (5 indels), other primate parasites (1 indel), rodent parasites (4 indels), the 2 Haemoproteus species (2 indels), mammalian parasites other than $P. falciparum$–$P. reichenowi$ (2 indels), and a clade containing $Plasmodium$ floridense and $Plasmodium$ mexicanum (1 indel). Only 1 indel showed clear homoplasy, grouping all nonrodent $Plasmodium$ parasites.

Consistent with the existence of a clade of all mammalian parasites, 4 indels (as well as 1 borderline case) supported this clade, whereas no characters supported the alternative clade grouping of $P. falciparum$–$P. reichenowi$ with bird and/or lizard parasites. Very few characters yielded insights to the grouping of bird parasites, with only 1 single character which groups bird $Plasmodium$ (but not Haemoproteus) parasites.

### Shared Deletion in LS1

Intriguingly, we also identified a $\sim 100$-bp deletion including the last 21 bp of the LS1 gene fragment of the LSU (fig. 1) that was shared between 2 studied African primate parasites ($Plasmodium$ gonderi and an unknown parasite taken from a mandrill) and 2 Asian primate parasites ($Plasmodium$ cynomolgi and $Plasmodium$ simiovale). The region is otherwise conserved across all species, consistent with this deletion representing a single deletion event. This is...
Plasmodium Phylogenetics Using Rare Genomic Characters

**rRNA gene LSU...**

Lcaul
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Lsabr
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

H~jbl
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

H~jbl
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pflor
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pgall
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pjuxt
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pmexi
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Prel
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pfal
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pprex
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pprex
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pviva
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Psimi
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pfrag
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pgtk
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

**Downstream intergenic sequence...**

Lcaul
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Lsabr
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

H~jbl
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

H~jbl
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pflor
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pgall
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pjuxt
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pmexi
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Prel
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pfal
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pprex
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pprex
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pviva
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Psimi
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pfrag
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

Pgtk
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

**Pond(African)**

Pgond(African)
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

**P~Daj(African)**

P~Daj(African)
TAT~ACACATATTCAGAACAAGAATTGGCATCTTCTCGATTTCAATGAG

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in contrast to evidence from cytochrome b sequences strongly supporting separate clades of Asian and African parasites (Perkins and Schall 2002).

Phylogenetic Analysis of Mitochondrial rRNA Gene Sequences

Next, we extracted regions of the alignment corresponding to the highly fragmented mitochondrial rRNA genes and analyzed ungapped positions. In all, 14/15 fragments of the small and large rRNA subunits show very high levels of sequence conservation. The other LSU fragment, LS2, appears to evolve largely by microsatellite indels and was excluded from the analysis.

We concatenated the 14 remaining rRNA gene fragments, comprising 1,306 ungapped positions (somewhat fewer than the 2,334 bp recently analyzed by Martinsen et al. [2007]). Across the entire concatenated region, species pairs showed between 93.4% and 100.0% sequence identity at untagged positions. We reconstructed the phylogeny from this alignment using standard protocols (see Methods). The results are given in figure 2a. The mammalian clade is strongly supported with 100% posterior probability.

The clarity of the signal supporting the mammalian clade is underscored by its recovery with strong Bayesian posterior probability (again, 100%) when only a few taxa are used: quartets of taxa including P. gallinaceum, P. falciparum, L. caulleryi, and either P. vivax or P. yoelii, both recovered the grouping of P. falciparum with other mammalian parasites (fig. 2b). These findings suggest that mitochondrial rRNA genes may be of use in resolving relationships within genera for other groups of apicomplexans.

Nuclear Gene Losses

Finally, we studied apparent gene losses in Plasmodium. We performed reciprocal BlastP searches to identify putative ortholog pairs between the distinctly related apicomplexans T. gondii and T. parva. We then Blasted the T. parva protein representative against the predicted P. falciparum proteome. In all, 68/1,331 genes lacked Blast hits (E value > 10^-10), suggesting loss in P. falciparum. TBlastN searches of these genes against the P. yoelii and P. gallinaceum genomes indicated absence in both genomes for most genes (56/68), presence in both genomes in 4 cases (apparent lineage-specific loss in P. falciparum), and 8 cases of differential presence between the 2 species, which are possible synapomorphic gene losses (7 genes were present in P. gallinaceum and 1 in P. vivax). However, upon further inspection, most of these cases were found to have borderline Blast hits in both species, suggesting that they are not true synapomorphic gene losses. In total then, we found very little phylogenetic signal from gene losses, with only 2 single informative genes, 1 each supporting the 2 alternative relationships.

Discussion

We used rare genomic changes to study phylogeny of Plasmodium and Haemoproteus parasites. Three separate analyses—loss/gain events of spliceosomal introns, mitochondrial indels, and rRNA genes from mitochondrial genomes—each support the existence of a clade of mammalian parasites including primate and rodent parasites along with the more distant P. falciparum and P. reichenowi. These results corroborate that of previous studies—one of nuclear SSU rRNA sequences (Qari et al. 1996), 1 of cytochrome b (Perkins and Schall 2002), and a very recent multilocus analysis (Martinsen et al. 2007)—that used dense species sampling, and underscore that the alternative signal which groups P. falciparum–P. reichenowi with avian parasites likely reflects an artifact of long-branch attraction and problems associated with extreme base composition (Dávalos and Perkins 2008).

Interestingly, we also discovered a substantial mitochondrial deletion of the 3′ region of a LS1 rRNA gene fragment, which was found in 2 African primate parasites as well as a subset of Asian primate parasites. This deletion of an otherwise highly conserved region seems unlikely to be homoplastic, indicating that these 4 species form a clade, in contrast to previous studies suggesting separate Asian and African clades (Perkins and Schall 2002).

This finding has implications for the origin of P. vivax. The geographic and historical origins of P. vivax pose something of a mystery. On the one hand, the very high incidence of the Duffy negative blood type, which confers resistance to P. vivax, in western Africa but not in Asia suggests a long history of P. vivax in Africa; on the other hand, several other factors, including haplotype analysis, reconstructions of P. vivax demographic history, and host–parasite cophylogeny reconstruction, suggest an Asian origin (Mu et al. 2005, and references therein). As the current results undermine the finding of an Asian clade, they weaken the support for an Asian origin of P. vivax. Notably, grouping of the 2 Asian macaque parasites with the 2 African parasites (P. gonderi and a parasite of unknown species taken from a mandrill) could possibly reduce the number of required host switches to explain the cophylogeny of parasites and hosts (Mu et al. 2005). However, it is important not to discount the possibility of frequent movement of parasites and hosts over large geographic distances, in which case relationships between species based on geographic range may not be informative about point of origin of a given species.

We also studied gene loss among Plasmodium species but found only 2 phylogenetically informative gene losses. This is perhaps surprising in light of the paradigm of reductive evolution occurring among parasites, particularly intracellular parasites (Andersson and Kurland 1998; Darby et al. 2007). Interestingly, this lack of clear ongoing gene loss echoes the lack of intron loss in Plasmodium evolution (Roy and Hartl 2006; Nguyen et al. 2007). The forces driving reductive genome evolution in parasitic species, and the limits thereof, remain important questions in understanding the biology of eukaryotic parasites.

Concluding Remarks

We report that a variety of alternative genomic characters corroborate the finding of a clade comprising mammalian malaria parasites but not the finding of a clade of
Asian primate parasites. These results represent another example of the utility of rare genomic characters in phylogenetic analysis as a complementary approach to resolving controversial phylogenetic questions.

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Fig. 2.—Phylogenetic tree of \textit{Plasmodium} parasites and relatives reconstructed by Bayesian methods from 14 mitochondrial rRNA genes. (a) Complete reconstruction using 20 genomes gives 100\% posterior support for a mammalian malaria clade. (b) Reconstruction using only 4 representative genomes gives 100\% posterior probability for grouping \textit{Plasmodium falciparum} with \textit{Plasmodium yoelii}. 
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