Quantized spin pump on helical edge states of a topological insulator

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We report a theoretical study of the quantized spin pump in a traditional quantum pump device that is based on the helical edge states of a quantum spin Hall insulator. By introducing two time-dependent magnetizations out of phase as the pumping parameters, we found that when the Fermi energy resides in the energy gap opened by magnetization, an integer number of charges or spins can be pumped out in a pumping cycle and ascribed to the possible topological interface state born in between the two pumping potentials. The quantized pump current can be fully spin-polarized, spin-unpolarized, or pure spin current while its direction can be abruptly reversed by some system parameters such as the pumping phase and local gate voltage. Our findings may shed light on generation of a quantized spin pump.

Quantum parametric pump like the classic Archimedean screw one that can pump water by a rotating spiral tube is a striking topic in the context of quantum transport through nanostructures and arises in nature from the geometric Berry phases and quantum interference effects1-5. Usually, the quantum parametric pump is implemented through two or more time-dependent potentials or perturbations out of phase in mesoscopic devices and can produce a DC current without any external bias, which is proportional to the geometric area encircled by time-dependent parameters6 in the adiabatic limit.

One of ultimate goals in the field of quantum parametric pump is to find a quantized charge pump that in a pumping periodicity, an integer number of charges are pumped out to flow through the device. It is argued that the quantized charge pump can revolutionize electrical metrology by enabling the ampere to be redefined in terms of the elementary charge of an electron7-9. In condensed matter experiments, such a quantized pump were demonstrated in the quantum dot system with the help of strong Coulomb interaction of electrons10-16. In the noninteracting system, a celebrated proposal of quantized pump is the Thouless topological pump17 in which a one-dimensional (1D) moving potential can pump out integral charges in a pump cycle, when the Fermi energy lies in the energy gap opened by the moving potential. Actually, each pump cycle transports integral electronic charges, and the integer is uniquely determined by a topological invariant: the Chern number of the quantum system18 which is defined through dimension extension in the 1D system. Certainly, topological charge pumping can be understood as a dynamical analog of the integer quantum Hall effect19,20: the pumped charge can be mapped exactly to the quantized Hall conductance of a two-dimensional electronic system.

Very recently, several groups21-23 have independently measured the topological pump in 1D optical superlattice systems due to the advances in constructing optical lattice structures. However, it is still a big challenge for realizing such a topological pump in condensed-matter experiments, because the creation of a dynamical superlattice potential critically relies on the presence and control of superimposed oscillating local voltages24. Therefore, a simpler and more practical theory is currently desirable for performing such a quantized pump in noninteracting electron systems not merely limited to this 1D Thouless topological pump as well as its variations25-31.

In a previous work32, authors proposed a quantized pump model based on the traditional pump protocol in the graphene system and showed that two time-dependent staggered potentials with a phase lag as pumping parameters can result in a quantized charge pump effect. The key point is that the pumping potentials introduced can open an energy gap of massless Dirac electrons of graphene. Since the staggered potentials are very difficult to be operated in graphene, and the massless Dirac electrons are ubiquitous in the edge or surface states of a topological insulator, we in this work investigate the possible quantized parametric pump effect by utilizing such topological edge states, which can, in principle, be gapped by introducing some interaction breaking the symmetry that protects the original topological state. A typical example is the 1D helical edge state of a two-dimensional...
Lattice Model

We consider typical pump devices based on the 2D QSHI as schematically shown in Fig. 1, where two ferromagnetic (FM) islands are deposited either on the whole QSHI covering two helical edge states [Fig. 1(a)] or on one boundary of QSHI [Fig. 1(b)]. The former is referred to as the double-boundary pump device, and the later is dubbed as the single-boundary one. The setups are connected with outside world through the left and right leads without any applied bias. Here, the two FM magnetizations are taken as the pumping parameters, and there is an onset phase difference $\varphi$ between them. For the 2D QSHI, both the Kane-Mele33 and the Bernevig-Hughes-Zhang34 models are suitable for the study purpose of this work, and the final pump results are almost the same. The former case based on the graphene system is adopted here as a model. It is emphasized that Bernevig-Hughes-Zhang models are suitable for the study purpose of this work, and the final pump results are also demonstrated.

This work is organized as follows. In Sec. II, we present a lattice model to calculate the pump current in two pump models: one is the magnetization covering the whole QSHI material, and the other is the magnetization covering only one boundary of QSHI. In Sec. III, a continuum model is also employed to analyze the obtained numerical results. A model for pure spin pump is further studied in Sec. IV and a conclusion is drawn in the last section.

(2D) quantum spin Hall insulator (QSHI)33-35: when the magnetization breaking time reversal symmetry is considered, the edge states would be gapped33,35,36 as long as the magnetization direction is not parallel to the intrinsic spin direction of helical edge states. We will show that two time-dependent magnetic materials37-41 with a phase difference in between them, like the AC magnetic field or precessing ferromagnets, can give rise to a quantized charge or spin current, which is quite useful in fabricating quantum switch devices47, is also demonstrated.

Figure 1. Schematic of two pump devices based on the helical states of QSHI. One is the pump potentials of two FM islands covering double boundaries of QSHI (a) and the second one is the FM deposited only one boundary of QSHI (b). The spin-pseudomomntum locked electrons circulate along the boundary of QSHI and two FM magnetizations, $M_1$ and $M_2$, evolve with time adiabatically. The pumped current is assumed to flow through the two contacted leads, $L$ and $L_0$ stand for the length of two FM islands and distance between them, respectively.

(1) $$H = - t \sum_{\langle ij \rangle} C_{i\sigma}^\dagger C_{j\sigma} + \frac{\lambda_0}{\sqrt{3}} \sum_{\langle \langle ij \rangle \rangle} v_{ij} C_{i\sigma}^\dagger C_{j\beta}^\dagger C_{j\gamma} C_{j\sigma} + \sum_{\langle \langle \beta \gamma \rangle \rangle} C_{i\sigma}^\dagger (\sigma \cdot M_{ij}) C_{j\gamma},$$
and is determined by time-independent \( ie \), is computed according to Eq. (3). The pumped current versus the Fermi is the time derivative of pump potentials, and the trace is over the transverse, can be calculated by using usual recursive \( ra \) is the instantaneous retarded (advanced) Green’s function of the \( \Sigma \),

The pumping strength. It is noted here that \( M_0 \) is considered to be less than the strength of spin orbit interaction, \( M_0 < \lambda_o \), because \( \lambda_o \) represents the bulk energy gap of QSHI and in our model of quantized spin pump, only the electrons in the helical edge states are assumed active in the pump process. The bulk states of QSHI should be excluded here for they are not expected to cause any quantized pumping effect.

Since we focus on the adiabatic pump, the Büttiker-Prêre-Thomas formula is employed here to calculate the pump current

\[
I_{\alpha\sigma} = \frac{ie}{2\pi T} \int_0^T d\tau \text{Tr} \left( \frac{\partial S_\tau}{\partial \tau} \right)_{\alpha\sigma,\alpha\sigma},
\]

where \( S_\tau \) is the instantaneous scattering matrix with \( \alpha \) being the left or right lead index, \( \alpha = L, R \), and \( T = 2\pi/\omega \) is the pump cycle. In order to conveniently carry out numerical calculations in a lattice model, the above equation can be modified as

\[
I_{\alpha\sigma} = \frac{e}{2\pi T} \int_0^T d\tau \text{Tr} (\Gamma G'_{\alpha\sigma} \tilde{M}_{\alpha\sigma} G'_{\alpha\sigma})_{\alpha\sigma,\alpha\sigma},
\]

where \( \Gamma_\alpha \) is the line-width matrix of the Lead \( \alpha \) with spin \( \sigma = \uparrow, \downarrow \) and is determined by time-independent Hamilton of QSHI. \( G_{\alpha\sigma}^{(\tau)} = (E \pm i\omega - \mathcal{H}(\tau))^{-1} \) is the instantaneous retarded (advanced) Green’s function of the two-terminal device, \( \tilde{M}_\alpha = dM_\alpha/dr \) is the time derivative of pump potentials, and the trace is over the transverse sites of a unit slice of the lattice pump model. The Green’s function \( G_{\alpha\sigma}^{(\tau)} \) can be calculated by using usual recursive Green’s function method since the model device can be decomposed into three parts of left and right leads as well as the scattering region.

In numerics, a rectangle graphene lattice of QSHI is taken into account here, and the width of device is denoted by the number of zigzag chains of lattice, \( N = 64 \). The sizes of the two FM islands are set as the same, \( L a \), and the distance between them is measured by \( L_o \), where \( a \) is the lattice constant of graphene. In calculations, we take the hopping energy \( t = 1 \text{ eV} \) as the energy unit, the pumping strength is \( M_0 = 0.01t \), the spin orbit interaction strength is \( \lambda_o = 0.1t \). When the two FM islands mantle only one boundary of QSHI in Fig. 1(b), they are assumed to merely extend into the middle of the QSHI lattice, a half width of the rectangle QSHI.

We first focus on the double-boundary pump device in Fig. 1(a), and the pumped charge current flowing through the left Lead, \( I_L = I_{L\uparrow} + I_{L\downarrow} \), is computed according to Eq. (3). The pumped current versus the Fermi energy is plotted in Fig. 2(a), and it is clearly shown that \( I_L \) fulfills the particle-hole antisymmetry \( I_L(E) = -I_L(-E) \), which is a typical property of the two-parameter charge pump device. This reflects the underlying physics that the quantum parametric pump is originated from the interference of different particle-hole particles excited by the pumping potentials. Around \( E = 0 \), \( I_L \) is quantized: \( I_L = \pm 2e/\hbar \), where ‘2’ stems from the spin degeneracy, i.e., each helical edge state of QSHI at two opposite boundaries should contribute to a charge pumping with opposite spins. Actually, both two opposite helical edge states involved in the pumping process together can simply make the original chirality of electrons disappear.

The quantized pump current in Fig. 2 agrees with the previous conclusion that the pumping results in a two-parameter pump device would be quantized if pumping potentials could open an energy gap of the massless Dirac electrons. Here, our studied model obviously meet these two requirements: \( M_{1x} \) and \( M_{2x} \) can gap helical

![Figure 2](https://www.nature.com/scientificreports/images/2019/3378-2.png)

**Figure 2.** Pumped charge currents \( I_L \) in the double-boundary pump device as functions of (a) the Fermi energy \( E \), (b) the pumping phase \( \varphi \), (c) the distance \( L_o \) and (d) the local potential \( V_g \). Parameters are \( \varphi = \pi/2 \), \( E = 0.001t \), \( L_o = 0 \), \( V_g = 0 \), and \( M_0 = 0.01t \).
edge states, and the original energy dispersion of electrons is of massless Dirac-electron type. It is pointed out that
in our pump scheme the local energy gap in the \( M_L(\tau) \) or \( M_R(\tau) \) region may close at some special instantaneous
time, and only a phase lag \( \varphi \) between them would keep the pump device insulating in the whole pumping cycle,
\( \omega \tau \in (0, \pi) \). So there is an effective global energy gap, \( E_g = M_{g0}(1 - \cos(\varphi)/2) \), because \( M_L \) or \( M_R \) opens
and closes the energy gap asynchronously when they vary with time. The pumped current could be quantized only if
the Fermi energy resides in this effective gap \( E < E_g \). Thus, it is not strange that the quantized value \( (I_L = \pm 2e/T) \)
should begin with \( E \sim |M_0|/\sqrt{2} \) but not with \( E - |M_0| \) as numerically shown in Fig. 2(a) when \( \varphi = \pi/2 \). Similarly,
the pump quantization is attributed to the time-dependent evolution of the possible topological surface state that
bridges the two FM islands. As is known, the spin exchange energy in the Hamiltonian of Eq. (1) can be regarded
as a mass term of the Dirac electrons of edge states, so a topological interface state would be born in real space
between these two FMs when the signs of \( M_L \) and \( M_R \) are different at some instantaneous time \( \tau \). Oppositely, the
same signs of them do not give rise to any interface state. In a complete pumping cycle, its appearance or disappear-
ance brings about an integral number of electrons flowing out of the system.

In terms of the Brouwer’s theory, the two-parameter pumping current in the adiabatic limit fulfills the
current-phase relationship, \( I \sim \sin \varphi \). In Fig. 2(b), we plot the relationship \( I_L \) versus \( \varphi \). It is clearly shown that \( I_L \)
severely deviates from the sine behavior, and instead it exhibits an abrupt current reversal effect from positive
quantized value to minus one. \( I_L \) is not quantized only when \( \varphi \sim n\pi \) (\( n \) is an integer), because for this situation the
effective energy gap approaches to vanishing, \( E_g \rightarrow 0 \), and the quantization prerequisite \( E \&lt; E_g \) can be hardly
satisfied. As mentioned above, \( I_L \) is determined by the quantum interference effect, so that the dynamic phase
of electrons can be employed to control the pumping results. In Fig. 2(c), \( I_L \) is depicted as a function of \( E_{\text{ex}} \) and sim-
ilarly, it displays an abrupt current reversal effect between the two quantized values, \( +2e/T \) and \( -2e/T \). Actually,
one can also use a local gate voltage replacing variation of \( E_{\text{ex}} \) to modulate \( I_L \) as shown in Fig. 2(d), since the gate
voltage \( V_g \) will change the local wavevector of electrons in helical edge states so as to alter their dynamic phases.
Obviously, the later situation is convenient for experimental observations and moreover this abrupt current rever-
sal effect shall have some application potential in quantum switch devices. In calculations, the uniform static
potential \( eV_{\text{g}} \) is only considered in the nonmagnetic region \( (L_0) \) between the two FMs in Fig. 1.

We turn to study the single-boundary pump device in Fig. 1(b), where only one edge of QSHI is covered by
FMs. \( I_L \) as functions of \( E \) and \( \varphi \) are depicted in Fig. 3(a,b), respectively. It is seen that only one spin-species (say,
down spin) current is nonzero and the quantized value is now halved, \( I_L \sim \pm e/T \). But the opposite spin current is
prohibited, \( I_L = 0 \), so the pumped current is fully spin-polarized. This is due to the definite chirality of elec-
trons in helical edge states. In other words, the two-parameter pump device here can extract spin from QSHI. The
spin-resolved pumped current flowing into the right lead, \( I_{\text{phys}} \), is also presented in Fig. 3(c,d), from which one can
find that both current and spin directions are reversed, i.e., the charge current is conserved, \( I_L = I_L + I_R = 0 \), but the
spin current is nonconserved \( I_{\text{phys}} \neq 0 \) (\( I_{\text{phys}} = I_{\text{up}} - I_{\text{down}} \)). This situation is similar to the uniform magnetiza-
tion precession on the single boundary of QSHI: one spin flowing into the pumping region from left or right
lead experiences a flip and then flows into the opposite lead due to the limitation of the electron chirality of helical
edge states.

Figure 3. Spin-dependent pumped current \( I_{\sigma} \) in the single-boundary pump device versus (a) the Fermi
energy \( E \) and (b) the pumping phase \( \varphi \). The pumped current flowing through right lead \( I_{\text{phys}} \) is plotted in (c,d).
Parameters are \( \varphi = \pi/2, E = 0.001t, L_0 = 0, V_g = 0 \), and \( M_0 = 0.01t \).
Continuum Model

It is seen that the two-boundary pump is just a mathematic summation of two single-boundary pumps with oppositely edges of QSHI, i.e., the upper and lower boundaries of QSHI (in Fig. 1) are independently contributing to the pumped current. In this section, we employ a simple continuum model to further confirm the above numerical calculations. The pump device based on the 1D helical edge state can be described by the following Hamiltonian

$$\mathcal{H} = \hbar v_F(\eta_1 \sigma_z k_x) + M_1 \sigma_y \Theta_1(x) + M_2 \sigma_y \Theta_2(x),$$

(4)

where the first term is the massless Dirac equation describing the helical edge state, $\eta_1 = \pm 1$ stands for the opposite chirality of helical edge states, $\sigma_z$ is the real spin Pauli operator, and $k_x$ is the 1D momentum. The second and third terms are the two time-dependent magnetizations, whose direction are fixed along the $x$ axis. $\Theta_1(x) = \Theta(x)$ $\Theta(L - x)$, and $\Theta_2(x) = \Theta(x - L_0)\Theta(2L + L_0 - x)$ with $\Theta(x)$ being a Heaviside step function.

We directly utilize the Büttiker-Prêre-Thomas formula of Eq. (2) for pumped currents, in which the scattering coefficients can be obtained by solving the 1D scattering problem. It is assumed that spin-up electrons ($\eta_1 = 1$) from the left lead inject into the first (left) FM island in Fig. 1(b) and then are scattered (note that for the opposite chirality $\eta_1 = -1$ electrons, one should consider it injecting from the right lead), the scattering wavefunctions in each region are given by

$$\Psi_i(x < 0) = \begin{cases} \frac{1}{\sqrt{2}} e^{ik_x x} + r_1 \frac{1}{\sqrt{2}} e^{-ik_x x} \\ \frac{1}{\sqrt{2}} e^{ik_x x} + r_1' \frac{1}{\sqrt{2}} e^{-ik_x x} \end{cases}$$

$$\Psi_i(0 < x < L) = a_i \begin{bmatrix} M_{1x} \\ 1 \end{bmatrix} e^{i\varphi_i} + b_i \begin{bmatrix} M_{1x} \\ 1 \end{bmatrix} e^{-i\varphi_i}$$

$$\Psi_{III}(L < x < L + L_0) = a_i \begin{bmatrix} 1 \\ 0 \end{bmatrix} e^{i\varphi_i} + b_i \begin{bmatrix} 0 \\ 1 \end{bmatrix} e^{-i\varphi_i}$$

$$\Psi_i(L_0 + L < x < 2L + L_0) = a_i \begin{bmatrix} M_{1x} \\ 1 \end{bmatrix} e^{i\varphi_i} + b_i \begin{bmatrix} M_{1x} \\ 1 \end{bmatrix} e^{-i\varphi_i}$$

$$\Psi_i(x > 2L + L_0) = \begin{bmatrix} 1 \\ 0 \end{bmatrix} e^{i\varphi_i}$$

(5)

where $\varphi_i (i = I - V)$ are the wavefunctions in the left lead, the left FM island, the normal $L_0$ region, the right FM island, and the right lead, respectively; $r_1$ and $r_1'$ are the corresponding reflection and transmission amplitudes, $a_i$ and $b_i (i = 1 - 3)$ are intermediate scattering coefficients. $a_{i2} = E - \kappa_{i2}, v_{i2} = E + \kappa_{i2}, k_x = E, k_{1,2} = \sqrt{E^2 - M_{1x}^2}$ with $\hbar v_F = 1$. The wavefunctions in each region are the superposition of eigenstates of local Hamiltonian. By matching wavefunctions at 4 interfaces of the structure, we can get the following scattering coefficients $r_1$ and $t_1'$ as

$$r_1 = \frac{e^{i\varphi_i} a_1 f_1}{1 - e^{i\varphi_i} a_1 f_1}$$

(6)

and

$$t_1' = tf e^{-i2k_x L/(1 - r f e^{i\varphi_i})}$$

(7)

with $r_i = m_i(1 - e^{i\varphi_i})/[E + \kappa_i - e^{2i\varphi_i}(E - \kappa_i)], f_i = 2\kappa_i e^{i\varphi_i}/[(E + \kappa_i) - e^{i\varphi_i}(E - \kappa_i)] (i = 1, 2)$, $\varphi_i = 2k_i L_0$ Due to the definite chirality, the scattering coefficients $r_\sigma$ and $t_\sigma$ are prohibited ($\sigma = -\sigma$), so the current formula can be rewritten as

$$I_L = \frac{ie}{2\pi T} \int_T d\tau \left( \frac{\partial t_\sigma}{\partial \tau} t_{-\sigma}^* + \frac{\partial t_{-\sigma}}{\partial \tau} t_\sigma^* \right)$$

(8)

where the scattering coefficient $t_{-\sigma}$ is transmission of electrons from the right lead. When $E < E_0$ the transmission will tend to vanishing in a pump cycle but $r_\sigma t_{-\sigma}$ keeps as a unit of 1. In Fig. 4(a), both $I_{L1}$ and $I_{L0}$ are plotted as a function of the Fermi energy $E$, and the current-energy relationship is quite similar to those in Figs 2 and 3, i.e. $I_{L1}$ and $I_{L0}$ are quantized in the energy gap and only one spin channel contributes to the pump current. Actually, other current-parameter relationships are fully the same (not shown). When $E$ is outside the energy gap, $E > E_0$, the results in Fig. 4 are nonquantized and smaller than $eT$ different from those in Fig. 3(a). It is believed that such a distinction stems from numerical calculations for Figs 2 and 3. Since the numerics are based on a finite-size device and the finite pumping sites (sources) contributing to the pumping effect may lead to a much larger results of pumped currents due to the multiple quantum interferences.

To get some more insight into the quantized pump, we also plot the phase $\phi(\tau)$ of $r_\sigma$ as a function of $\omega t$ and its trajectory$^{42-44}$ in Fig. 4(b). In our studied case, $\phi(\tau)$ decrements $2\pi$ in a cycle and the orbit of $r_1$ is a unit circle on the complex plane. The trajectory is a closed orbit simply because the Hamiltonian is periodic in time and keeps invariant as long as $E < E_0$. This indicates that the winding number of $r_1$ is a unit of 1 or $-1$ that corresponds to an integer number of charge pumped out through the system.
and

\[ I_\sigma = \begin{cases} I_{\sigma } & \text{if } \sigma = \uparrow \\text{or} \downarrow, \\ 0 & \text{otherwise} \end{cases} \]

or

\[ \phi_{\sigma} = n \pi \]  

as a function of the Fermi energy in Fig. 5(b,c). Nevertheless, we have the relationship

\[ \phi_{\sigma} = n \pi \]

\[ \downarrow \uparrow \]

In addition, the particle-hole antisymmetry seems destroyed from the single

\[ \phi_{\sigma} = n \pi \]

\[ \downarrow \uparrow \]

Evolution of the magnitudes of \( Q_{\sigma} \) with time for the two-component pump. The inset is the plot of phase trajectory of \( Q_{\sigma} \) in complex plane. Parameters are \( \hbar \omega = 1, E = 0.004 \cdot t, M_0 = 0.02 \cdot t, L = 0 \).
Conclusion
In summary, we have investigated a possible quantized pump based on the helical edge states of a two-dimensional topological insulator. Taking two time-dependent magnetizations as pumping potentials with a phase difference between them, we studied both numerical and continuum models and found it possible to obtain a quantized charge or spin pump. The pumping quantization is due to the time-dependent magnetization that opens an energy gap of the original material to form a new topological interface state, and thus is protected by the topology. It is also found that the quantized current can be fully-spin polarized, unpolarized, or pure spin current. The current direction can be reversed abruptly by system parameters such as the Fermi energy, the pumping phase, and the local static potential. Our findings may pave a new way to generate quantized spin pump in a pump device.

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Author Contributions
J.F. and J.W. conceived the study. M.J.W. carried out the numerical calculations and wrote the main manuscript text. J.W. guided the overall project. All authors contributed to discussion and reviewed the manuscript.

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