Translational Selection Is Ubiquitous in Prokaryotes

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Abstract

Codon usage bias in prokaryotic genomes is largely a consequence of background substitution patterns in DNA, but highly expressed genes may show a preference towards codons that enable more efficient and/or accurate translation. We introduce a novel approach based on supervised machine learning that detects effects of translational selection on genes, while controlling for local variation in nucleotide substitution patterns represented as sequence composition of intergenic DNA. A cornerstone of our method is a Random Forest classifier that outperformed previous distance measure-based approaches, such as the codon adaptation index, in the task of discerning the (highly expressed) ribosomal protein genes by their codon frequencies. Unlike previous reports, we show evidence that translational selection in prokaryotes is practically universal: in 460 of 461 examined microbial genomes, we find that a subset of genes shows a higher codon usage similarity to the ribosomal proteins than would be expected from the local sequence composition. These genes constitute a substantial part of the genome—between 5% and 33%, depending on genome size—while also exhibiting higher experimentally measured mRNA abundances and tending toward codons that match tRNA anticodons by canonical base pairing. Certain gene functional categories are generally enriched with, or depleted of codon-optimized genes, the trends of enrichment/depletion being conserved between Archaea and Bacteria. Prominent exceptions from these trends might indicate genes with alternative physiological roles; we speculate on specific examples related to detoxication of oxygen radicals and ammonia and to possible misannotations of asparaginyl–tRNA synthetases. Since the presence of codon optimizations on genes is a valid proxy for expression levels in fully sequenced genomes, we provide an example of an “adaptome” by highlighting gene functions with expression levels elevated specifically in thermophilic Bacteria and Archaea.

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Introduction

Due to non-random use of synonymous codons, protein coding sequences contain a layer of information on the DNA level that is not reflected at the protein sequence level. The principal determinant of codon usage in prokaryotes are nucleotide substitution patterns [1,2] that vary greatly across genomes, as evidenced in the range of genomic G+C content spanned by the sequenced organisms. There is also significant variation in direction and strength of these nucleotide substitution biases along the prokaryotic chromosome [3] with a general tendency toward A+T-enrichment near the replication terminus. Another common intra-genomic trend in nucleotide composition concerns the distinction between the two DNA strands where the leading strand is ‘GC-skewed’, i.e. enriched in G over C and T over A [4] mostly due to deamination of cytosine in single-stranded DNA exposed during replication. Such biases in mutational processes may result from the nature of chemical changes to the nucleotides, but also from biases in errors of DNA replication and repair, and appear to be an important contribution to the background substitution patterns. In addition to the mutational biases, an adaptive component has also been proposed for specific nucleotide compositions, e.g. [3] and also for dinucleotides [6]. We refer the reader to a review of the organizational features of prokaryotic genomes with respect to local sequence composition and gene distribution [7].

In addition to the nucleotide substitution patterns, a competing influence on silent sites is selection acting to make protein translation more ‘efficient’ (in this context implying ‘faster’) and more accurate; although the term ‘efficiency’ is technically a misnomer [8], we use it for sake of consistency with previous literature. Traditionally, this effect was linked to abundances of tRNA isoacceptors for a particular codon [9], in agreement with a model where the speed of translational elongation is limited by availability of charged tRNA molecules [10]. Translational selection is also reflected in biased codon use that guards against misense and nonsense errors in proteins [11]. More recently, other more subtle translation-related determinants of codon usage have been observed, for instance the ‘load minimization’ where codons whose mutated forms cause less structural disruption to proteins are preferred [12] and the selective charging of tRNAs which promotes use of starvation-insensitive codons in amino acid biosynthetic pathways [13]. Some correlations have been observed between codon usage and protein structural features [14], and a synonymous mutation in a human gene was shown to produce a phenotype via an altered protein structure [15].

Selection for translational efficiency and accuracy would be expected to affect strongly a small set of highly abundant proteins,
Author Summary

Synonymous codons are not equally common in genomes. The main causes of unequal codon usage are varying nucleotide substitution patterns, as manifested in the wide range of genomic nucleotide compositions. However, since the first *E. coli* and yeast genes were sequenced, it became evident that there was also a bias towards codons that can be translated to protein faster and more accurately. This bias was stronger in highly expressed genes, and its driving force was termed translational selection. Researchers sought for effects of translational selection in microbial genomes as they became available, employing a flurry of mathematical approaches which sometimes led to contradictory conclusions. We introduce a sensitive and accurate machine learning-based methodology and find that highly expressed genes have a recognizable codon usage pattern in almost every bacterial and archaeal genome analyzed, even after accounting for large differences in background nucleotide composition. We also show that the gene functional category has a great bearing on whether that gene is subject to translational selection. Since presence of codon optimizations can be used as a purely sequence-derived proxy for expression levels, we can delineate “adaptomes” by relating predicted gene activity to organisms’ phenotypes, which we demonstrate on genomes of temperature-resistant bacteria and archaea.

a typical representative being the ribosomal protein (RP) genes. The portion of a genome undergoing some degree of translational optimization may, however, be larger, and choice of genes within this subset was speculated to be related to the environment of a particular organism [16]. A number of prokaryotic genomes have been reported to show no influence of translational selection at all, most notably the slow-growing pathogens *Borrelia burgdorferi* [17] and *Helicobacter pylori* [18] or the insect endosymbionts *Buchnera* [19], *Wigglesworthia* [20] and *Blochmannia floridanus* [21]. However, in *Buchnera* a correlation was found between measured tRNA abundances and codon composition of highly expressed genes [22]. Three previous multiple-genome analyses detected evidence of translational selection in approx. 25% [23], 50% [24] or 70% [25] of the prokaryotes analyzed, the authors’ conclusions depending heavily on the mathematical apparatus employed.

A multitude of statistics have been invented specifically for codon usage analyses and implemented in software [26], and many of these statistics can be generalized to measures of pairwise distances between codon frequency vectors. A prominent example is the popular ‘codon adaptation index’ [27] that measures the distance to a predefined set of highly expressed genes. Interestingly, several authors that relied on a codon distance measure have found that gene functions close in codon usage to RP genes in *E. coli* also have RP-like codon usage in some of the organisms which are supposed to lack translational selection, see e.g. the glycolysis genes in *H. pylori* [28] or respiration and ATP synthase genes in *B. floridanus* [29].

Correspondence analysis, an unsupervised dimensionality reduction technique followed by visualization or clustering, has often been used in single-genome studies to detect dominant trends in codon usage patterns. This approach has lead to qualitatively different results regarding presence or absence of translational selection depending on how the data was normalized, as demonstrated for *B. burgdorferi* [30], and for a larger number of genomes using a related technique of principal component analysis [31].

Our motivation for the present work was to reconcile the inconsistencies in the literature concerning the prevalence of translational selection among and within genomes, and its relationship to microbial ecology and physiology. To this end, we introduce a supervised machine learning-based computational framework that couples a classifier to standard statistical tests, an approach that exhibits an increased accuracy over commonly used unsupervised techniques, and the ability to control for a strong confounding factor – the nucleotide substitution patterns – that shape codon usage, but in a manner not related to protein translation.

Results/Discussion

The Random Forest classifier is an accurate method for codon usage analysis

In contrast to previous approaches, we introduce a supervised machine learning-based framework for detecting the presence and the extent of translational selection in 461 prokaryotic genomes. Our method is based on the Random Forest (RF) classifier [32] which we evaluate in the task of discriminating a group of genes affected by selection for translational efficiency and/or accuracy, using only codon frequencies. The group of ribosomal protein (RP) genes is assumed to be highly expressed and therefore a representative subset of genes under such selective pressures; we back this assumption by a survey of RP mRNA abundances in a phylogenetically diverse set of organisms (Table S4). We demonstrate RF to be a more accurate tool (Figure 1) in comparison to three previous pairwise distance-based approaches [27,33,34]. Additionally, the RF predictions correlate with experimental measurements of protein concentrations in *Escherichia coli* slightly better than previous methods do (Figure 1, Table S1). We also show the widely used CAI method [27] to be suboptimal for genomes with imbalanced G+C content (Figure 1), as previously speculated by its author [35] and as evidenced by its inability to predict gene expression in the A+T rich eukaryote *Plasmodium falciparum* [34].

Ribosomal protein genes have a distinctive codon usage not explained by underlying nucleotide substitution patterns

For each genome, we train two series of RF classifiers to discriminate ribosomal protein genes: first, a series of ‘baseline’ classifiers that have at their disposal the description of regional nucleotide substitution patterns as mono- and di-nucleotide frequencies in non-coding DNA in the genes’ vicinity, followed by a second series of classifiers that introduces additional information about codon frequencies of genes (see Materials and Methods and Figure S1). In 460 of 461 examined genomes, the codon frequencies consistently facilitated classification over the baseline (Table S3), providing strong evidence that translational selection is, in fact, ubiquitous among prokaryotes. This trend also holds true in genomes which previous large scale studies [23–25] have found as lacking translational selection (Figure 2). The only genome where our method did not detect a translation-related codon bias was *Saccharophagus degradans* 2–40, although even this result may change depending on the size of the ‘window’ of ncDNA examined (Text S1). This genome was previously found to exhibit extensive mosaicism in G+C content [36], probably due to large amounts of recently horizontally transferred (HT) DNA which might not have had sufficient time to ‘ameliorate’ [37] to match the new host’s translational apparatus. *S. degradans* genome serves as an example how strong local variation in background nucleotide frequencies, here caused by HT events, might obscure
translation-related codon usage biases even if they did exist by boosting the accuracy of the baseline classifier; an empirical evaluation of influence of HT on our findings is given in Materials and Methods and in Text S2.

Between repetitions of the RF training on the same genome, accuracy of classifiers obtained using codon frequencies was also generally uncorrelated with the accuracy of baseline classifiers obtained without codon frequencies (Figure 2), further indicating that the codon usage brings into the datasets information independent of that encoded in intergenic DNA. The accuracies of codon-trained RF models also tend to deviate less between runs. On a side note, the unexpectedly strong RF accuracy obtained solely from the description of local nucleotide composition (Figure 2, Table S3) underscores the need to control for this confounding factor in codon usage analyses. It may prove fruitful to reinvestigate whether the so-called ‘genomic signatures’ – dinucleotide frequencies in DNA – are indeed invariant within bacterial and archaeal genomes, as claimed previously [38]. On the other hand, the strong RF accuracy that we observed with intergenic DNA might in significant part be attributed to the use of ncDNA windows that overlap for neighboring genes (see Materials and Methods).

Expectedly, the accuracy of codon-trained RF classifiers generally reflects the intensity of codon biases within a genome (Figure S3, Dataset S1), but the accuracy is also bound to be related to the proportion of genes within a genome that are affected by translational selection; see section below. The increase in accuracy for a genome additionally depends on the baseline model derived from local and between-strand variation in background nucleotide composition. Therefore, the magnitude of the increase does not have a straightforward interpretation in itself; rather, if the increase over the baseline has sufficient statistical support, it may be concluded that a translation-related codon usage bias is present, be it strong or weak.

A sizeable portion of each genome shows an above-baseline codon usage similarity to the RP genes

The classifiers’ predictions on a per-gene level provide estimates of similarity to the ribosomal protein genes. We declare a gene to have optimized codon usage (OCU) if this similarity exhibits a statistically significant increase after codon frequencies are introduced to the classifier (Figure 1). Using a conservative estimate (see Materials and Methods) we find that genomes contain on average 13.2% of OCU genes (Figure 3, Dataset S1), but the accuracy is also bound to be related to the proportion of genes within a genome that are affected by translational selection; see section below. The increase in accuracy for a genome additionally depends on the baseline model derived from local and between-strand variation in background nucleotide composition. Therefore, the magnitude of the increase does not have a straightforward interpretation in itself; rather, if the increase over the baseline has sufficient statistical support, it may be concluded that a translation-related codon usage bias is present, be it strong or weak.

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with a minimum of 5.4% in the metabolically versatile, free living *Pseudomonas fluorescens* Pf-5, and a maximum of 33.0% in the highly reduced genome of the obligate parasite *Aster yellow dwarf witches-broom phytoplasma*. These estimates of the extent of translational selection within bacterial and archaeal genomes are broadly comparable to the results of a study in eukaryotes [39] that reported purifying selection at synonymous sites in the genomes where non-coding DNA is plentiful and local variation in GC content (isochores) greatly complicates analysis.

The division of genes into the OCU and non-OCU groups does not imply that there is a clear-cut boundary between codon frequencies of the two groups. Rather, we would expect a gradient of codon usages to exist, where the genes labeled as OCU are those above the detection threshold of our method. This concept builds on an approach formulated by Karlin, Mrazek and colleagues [29,33,40] where a subset of genes in the genome is assigned the “PHX” (predicted highly expressed) label by codon usage similarity to a set of RP and other translation-related protein genes. There are, however, three important distinguishing features of OCU assignments: (a) they are based on a RF classifier that outperforms the ‘codon bias’ distance measure used for PHX assignments (Figure 1), (b) OCU is separated from non-OCU by a significance call of a statistical test instead of relying on an arbitrary threshold, and (c) OCU assignments are made using a control for local nucleotide substitution patterns which are a strong confounder in codon usage analyses.

In mammalian genomes, the presence of translationally selected codon usage is still an unresolved issue, see [41] for a recent analysis. The method we have here employed to prokaryotes could potentially be useful for future investigations on mammalian genomes where non-coding DNA is plentiful and local variation in GC content (isochores) greatly complicates analysis.

**Elevated mRNA expression levels of codon-optimized genes**

We have demonstrated that in almost all examined genomes the RP genes can be discerned by their codon usage, even after local or strand-specific nucleotide composition are controlled for. To verify that this codon bias of the RP-like genes (= OCU genes) is indeed due to translational selection, we examine the correlation of OCU/non-OCU assignments to gene expression data (Text S1, Appendix A) from 19 phylogenetically diverse species. We find that OCU genes record microarray signal intensities on average 2.4-fold higher than non-OCU genes (2.2x if RP genes are excluded), ranging from 1.2x to 3.7x; compare this to the 6.0x difference between RP = representing the most highly expressed genes – and the average measurement (Table S5). The ratio of means is significantly greater than unity in all genomes at *p* < 0.01 (permutation test). Note that the relationship of the microarray signal intensities to mRNA abundances may be highly non-linear; in a study of gene expression in human tissues, the signal of Affymetrix microarrays was found to be roughly proportional with log-transformed counts of mRNA molecules obtained with Illumina sequencing [42]. In all 19 organisms we examined, the distribution of microarray signal intensities for OCU genes was significantly shifted towards higher values (*p* < 0.01, Baumgartner-Weiss-Schindler permutation test [43]). The trend remains in the four of the 19 genomes where translational selection was previously considered to be ineffective (Figure 4, full data in Table S5). These estimates of correlation are likely conservative as gene expression will match codon usage better under certain growth conditions, presumably those that were dominant during the organism’s evolutionary past [44]. It is not trivial to surmise these conditions in advance, and a dataset that matches them may not be available. Expression measurements taken under conditions of stress or starvation, for instance in the stationary phase, are expected to correlate less strongly with codon usage, as evidenced previously for *Bacillus subtilis* and *Escherichia coli* [34].

**Agreement of preferred codons with tRNA anticodons**

We looked for further evidence that OCU assignments were indeed due to translational selection by examining whether the OCU genes show a preference for putatively optimal codons in two-fold degenerate amino acids, where we assumed an optimal codon to match the tRNA anticodon by canonical base pairing...
(without wobble), as proposed in an early investigation of codon usage in yeast genes [45]. The presence or absence of tRNA genes with specific anticodons given in GtRNAdb database [46] indicates that the optimal codons in prokaryotes are almost always either C/A-ending, or undefined if the genome contains tRNA genes with both anticodons for the two-fold degenerate amino acid. We found that OCU genes prefer the putatively optimal codon 6.2x more frequently than the suboptimal one in Bacteria \((p < 10^{-30}, \text{sign test})\) and 3.1x more frequently in Archaea \((p = 10^{-12}, \text{sign test}; \text{Figure } 5; \text{Table S6})\). All amino acids contribute approximately equally to this effect (Figure 5), with the exception of Cys which is rare and therefore hard to show a preference for or against, and Lys, for which an optimal codon cannot be defined in a majority of genomes. In spite of the dominant trend of OCU preference for optimal codons defined through tRNA content, some examples of amino acid-genome combinations where this regularity is reversed do exist; full data in Dataset S1. We speculate that these exceptions might stem from chemical modifications of nucleosides in the tRNA.

A rich structural diversity of the modifications exists in Bacteria and Archaea [47], many affecting the anticodon or its vicinity, thereby modulating the codon-anticodon interaction; reviewed in [48,49]. The modifications prevent wobble matching to the wrong amino acid or enhance wobble matching to the correct amino acid, which may, in turn, render inapplicable the definition of cognate codons as the optimal codons. The potential for such modifications to elevate translation speed of ‘suboptimal’ codons over ‘optimal’ ones has been demonstrated experimentally on Drosophila tRNA\(^{His}\) [50] and on E. coli tRNA\(^{Glu}\) [51]. Currently, the tRNA nucleoside modifications are fully known only for few organisms [52]; it will be interesting to see how the future experimental data on the modifications will align with the OCU-preferred codons. Equally relevant to this issue is a recent paper discussing the choice of optimal codons in genomes [53], stating that optimal codons are dictated mainly by the direction of nucleotide substitution patterns evident in the overall genomic G+C content. Moreover, the authors have also found that in a large majority of bacterial genomes the gene set with the most highly biased codon usage is enriched with RPs and translation elongations factors, and that this codon usage cannot be reproduced from composition of intergenic DNA [53]. This finding is consistent with the notion of translationally selected codon usage as a prevalent phenomenon among prokaryotic genomes.

Proportion of codon-optimized genes and genome size

The proportion of OCU genes correlates inversely to genome size (Figure 3A, Spearman’s \(\rho = -0.71\), Dataset S1), and we note a relationship of % OCU to lifestyle of bacteria, free-living vs. host-associated. These effects are largely a consequence of the changes in proportions of gene functional categories in genomes with regard to size (Figure 3B) [54,55] and lifestyle, as the % OCU is
readily predictable from frequencies of selected Gene Ontology (GO) categories (Dataset S1), even after controlling for genome size (Figure 3C). Note that our procedure to estimate of the extent of translational selection within genomes – expressed as % OCU genes – was not designed to measure the strength of this selection within a genome. Ideally, the genes’ OCU assignments should be independent of the strength of the selection, previously recognized to differ greatly between genomes depending on the growth rate of the organism, or the composition of the cellular tRNA pool [56]. Therefore, the high % OCU in small genomes is not an indication that translational selection is stronger or weaker in these organisms, rather it is largely consequential to the proportion of underlying gene functional categories. If the extent of codon optimization within a genome is dictated mainly by its content of gene functions, one would expect the individual GO categories to have general preferences towards enrichment or depletion from OCU genes that are conserved across organisms, as we have verified (Figure 6, Table S7).

Equivalent gene functional categories are optimized across domains of life

The most prominent trend in our results is consistent with previous research [28,33], with translational selection readily acting on genes involved in protein production and in energy metabolism. Genes that are rarely OCU are involved in regulation, DNA replication and repair, sensing of stimuli, and most kinds of transport, except the electron transport chain and ATP synthesis-coupled H⁺ transport. Very similar trends are observed when focusing only on a subset of genomes previously cited as lacking translation-related codon usage biases (Table S8), corroborating the idea that translational selection is operative even in these genomes.

We compared Gene Ontology categories enriched with OCU genes between Bacteria and in Archaea (Figure 6, box), revealing a general agreement between the two domains of life. Two prior studies highlight an unusual reduction in frequency of codon-optimized ribosomal proteins as specific to Archaea [40] and also Archaea-specific optimizations in a DNA replication and repair protein PCNA [57] while our results do not support these observations. We suspect them to be artifacts of a methodology that does not control for the local variation in background nucleotide composition, coupled with the fact that in Bacteria – but not in Archaea – ribosomal protein genes are often colocalized on the chromosome as they tend to share operon membership. Several eukaryotes have histone proteins which are highly biased towards the use of optimal codons [58]. Here, we report that Bacteria have nucleoid-associated proteins (Figure 6, callout) that are frequently OCU, identifying another instance of equivalent gene functions being translationally optimized in different domains of life. Furthermore, the archaeral chromatin protein Alba is commonly OCU (40 out of 51 occurrences, 4.6x enrichment, \(p = 10^{-21}\) by Fisher’s exact test). These findings are supported by a quantitative proteomics experiment [59] that places the nucleoid-associated proteins Fis, H-NS and HU among the top 10 most abundant non-ribosomal proteins in the E. coli cytosol.

Genes with codon usage contrasting the trend in their functional category

Aminoacyl tRNA synthetases (aa-tRS) are infrequently OCU (enrichment = 0.24x), consistent with their mRNA levels close to the genomic average (Table S4). A prominent exception is the Asn-tRNA charging enzyme (Table S9, enrichment = 1.05x), which might signal erroneous homology-based transfers of functional annotation involving some instances of this protein and the evolutionarily and structurally related [60] amino acid biosynthetic enzyme, asparagine synthetase A (AsnA, enrichment = 1.44x). A different NH₄⁺-assimilating enzyme, glutamine synthetase, is also enriched with OCU genes (GlnA, enrichment = 2.12x), in contrast to a general avoidance of codon optimization in amino acid biosynthesis genes (enrichment = 0.50x). This leads us to speculate about an additional physiological role for GlnA and/or AsnA in Bacteria that would involve detoxification of ammonia by the energetically costly incorporation into amino acids, as has recently been demonstrated to occur in the yeast S. cerevisiae [61].

Aquatic respiration normally produces oxygen radical species which are then detoxified, or their damaging affects averted by scavenging of free iron, by an array of proteins that we found to be frequently OCU across Bacteria (Table S10). The abundance of proteins defending from oxidative stress therefore seems not to be specific to the radioresistant organism Deinococcus radiodurans, as claimed previously [33]. OCU genes are, however, conspicuously rare in the catalase genes in Bacteria (Table S10), possibly due to their activity being necessary only at supraphysiological levels of \(H_2O_2\), as previous experimental work indicates is actually the case in E. coli [62].

Lifestyle-specific adaptations in prokaryotes are reflected in codon optimizations

We have shown that a number of gene functional categories exhibit strong preferences toward or against translational optimization across all Bacteria and Archaea. If organisms defined by a specific lifestyle show a tendency contrary to the general trend, and
this tendency is constrained to a gene functional category, this correlation could be biologically meaningful. An example illustrative of this principle was previously brought forward in work by Karlin [40] and Carbone [28], where the glycolysis genes were claimed to be more frequently codon-optimized in anaerobic bacteria. Indeed, we detected this association (GO:6096, enrichment = 2.1x, \( p = 10^{-12} \)) alongside with a – not unexpected – increase in OCU frequency of carbohydrate transporters (GO:8643, enrichment = 1.8x, \( p = 10^{-14} \)). We also found an increase in OCU frequency of ferritin in aerobes (COG:1145, enrichment = 3.0x, \( p = 10^{-17} \)), consistent with ferritin’s role in protection against oxidative stress mediated by soluble ferrous ions.

In specific Archaea and Bacteria, the ability to thrive at high temperatures was expected to leave a distinct ‘signature’ in carbohydrate transporters (GO:8643, enrichment = 1.8x, \( p = 10^{-14} \)). We also found an increase in OCU frequency of ferritin in aerobes (COG:1145, enrichment = 3.0x, \( p = 10^{-17} \)), consistent with ferritin’s role in protection against oxidative stress mediated by soluble ferrous ions.

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In specific Archaea and Bacteria, the ability to thrive at high temperatures was expected to leave a distinct ‘signature’ in carbohydrate transporters (GO:8643, enrichment = 1.8x, \( p = 10^{-14} \)). We also found an increase in OCU frequency of ferritin in aerobes (COG:1145, enrichment = 3.0x, \( p = 10^{-17} \)), consistent with ferritin’s role in protection against oxidative stress mediated by soluble ferrous ions.
An example of an ‘‘adaptome’’: gene functions with optimized codon usage in thermophiles

Based on the correlations observed independently in Archaea and in Bacteria, we conjecture about two metabolic adjustments that would aid in protection of proteins and DNA against thermal denaturation. Phosphorylation is normally used to regulate protein activity, and regulatory proteins are typically not highly abundant in cells. Consequently, they were rarely labeled as OCU (Figure 6). However, genomes of thermophilic microbes tend to contain comparatively more OCU genes within the ‘‘protein phosphorylation’’ functional category (Table 1) than the genomes of mesophiles. This difference would be explained if the addition of phosphate groups to existing amino acids would lead to a typical characteristic of thermophile proteins is an increase of phosphate groups (Table 1) to existing amino acids would lead to a
determined of thermophily are discernible on a more fine-grained level, encompassing adaptation through changes in gene regulation and/or recruitment of existing genes for alternative physiological roles; let us name this set of alterations evolved in response to specific environmental challenges an ‘‘adaptome’’ of an organism with respect to an environment. The hallmark feature of translational selection is that it affects highly expressed genes most strongly. The detection of codon optimizations on individual genes can thus be used as a proxy for the genes’ expression level, offering an insight into adaptive changes in an organism’s physiology; we would not expect the codon optimizations, as we measure them, to reflect other kinds of functional differences between proteins.

A putative DNA thermoprotective mechanism could be inferred from an unexpectedly high frequency of codon optimization within thermophile genes annotated as response regulators for two-component systems and other transcriptional regulators. Again, we would generally not expect high expression levels from regulatory proteins, unless they were to perform a different role in the cell, either alone or in addition to their original function. We speculate that a subset of these proteins with DNA binding domains (candidates in Table 1) might play a role in formation of a chromatin-like structure that would act to preserve DNA geometric properties, protect it from chemical damage or aid in repair under high temperatures. To our knowledge, there is currently no experimental data that would directly support this hypothesis; however, on the other end of the temperature spectrum, stabilization of DNA and RNA secondary structures occurs and is known to be counteracted by overproduction of nucleic acid binding proteins and RNA helicases when mesophiles are brought into cold conditions [65]. Our results indeed show that psychrophilic Bacteria have increased translational optimization of genes with ATP-dependent helicase activity (GO:8026, enrichment = 3.1x, p = 10^-4; Dataset S2).

Table 1. Selected gene functions and groups of orthologous genes that are frequently translationally optimized in thermophilic Bacteria (n = 30) and Archaea (n = 27) in comparison to mesophilic Bacteria (n = 341) and Archaea (n = 17).

| ID     | Description                                 | Enrichment in Bacteria | Enrichment in Archaea |
|--------|---------------------------------------------|------------------------|------------------------|
| GO:43687 b | post-translational protein modification       | 2.77x, p = 10^-12     | 6.16x, p = 10^-5      |
| GO:16301 b | kinase activity                             | -                      | 2.90x, p = 10^-7      |
| GO:4672 b | protein kinase activity                      | 4.84x, p = 10^-8      | (5.51x, p > 10^-7)    |
| GO:8080 b | N-acetyltransferase activity                 | 2.95x, p = 10^-15     | (2.11x, p > 10^-7)    |
| GO:45449 s | regulation of transcription                 | 2.18x, p = 10^-61     | 2.48x, p = 10^-41     |
| GO:156 c  | two-component response regulator activity    | 2.51x, p = 10^-18     | 2.98x, p = 7.10^-4    |
| GO:3677 c  | DNA binding                                 | 1.56x, p = 10^-27     | 2.06x, p = 10^-24     |
| COG:784 c  | CheY-like receiver                          | 2.43x, p = 10^-10     | (2.69x, p > 10^-7)    |
| COG:640 c  | predicted transcriptional regulators        | 2.11x, p = 10^-9      | (1.66x, p > 10^-7)    |
| COG:1846 s | transcriptional regulators                  | 2.05x, p = 7.10^-4    | 2.52x, p = 5.10^-4    |
| COG:1595 s | DNA-directed RNA polymerase specialized     | 2.53x, p = 10^-5      | (COG absent from Archaea)|
| COG:1708 s | predicted nucleotidyltransferases           | 2.27x, p = 10^-3      | 4.38x, p = 10^-3      |

Prefix ‘‘GO’’ denotes a Gene Ontology [78] category; ‘‘COG’’ denotes a group from the Clusters of Orthologous Groups database [79].

a, b, c Used to infer putative mechanisms of protein protection (b), or DNA protection (c) in thermophiles.

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Materials and Methods

Prokaryotic genomes and construction of datasets

We have downloaded 621 fully sequenced prokaryotic genomes from the NCBI Entrez Genome FTP site [66] and removed multiple strains of a single species to retain the strain best covered by Gene Ontology annotations, leaving 461 genomes. Information about lifestyles of organisms was assembled from the JCVI Genome Properties [67], and the NCBI Entrez.Microbial Genome Properties [68], and curated. The original data used in all computations is freely available via the authors’ web site at http://www.adaptome.org/.

To construct datasets – one per genome – we declare all genes coding for ribosomal proteins (RP) to be the ‘positive class’, including the rare cases (approx. 0.6 occurrences per genome) of multicopy RP genes. All other protein-coding genes are the ‘negative class’. Genes shorter than 80 codons were excluded from computation. A gene is represented by a series of codon frequencies for all degenerate codon families (excluding the stop codons) where the frequencies of codons for a single amino acid are normalized to add up to one. Codon frequencies of amino acids absent from a protein are coded by a ‘missing value’ symbol.

Comparing a classifier to measures of codon usage

Ten-fold crossvalidation was run to determine performance of the Random Forest (RF) classifier in discriminating the RP genes by their codon frequencies; the area-under-ROC-curve (AUC) score [69] was recorded. The AUC is a measure independent of class sizes that ranges from 0.5 for a random classification model to 1.0 for a perfect model. The RF algorithm [32] produces an ensemble of decision tree classifiers, where each decision tree is constructed by recursively partitioning the data by attribute value tests (forming ‘nodes’) so as to reduce the entropy of the class label in the resulting partitions (‘branches’). In RF, trees are constructed on bootstrap samples of the entire dataset, and choice of attributes at each node is restricted to introduce variability. The final predictions of a RF model are obtained by averaging over individual trees (‘voting’). Regarding the specific implementation of the RF algorithm, we used FastRandomForest [70].

RF was compared to three pairwise distance measures for vectors of codon frequencies: (i) the “codon bias between gene groups” (CB) is essentially a weighted Manhattan distance employed by Karlin and colleagues [33] for finding predicted highly expressed genes in microbial genomes; (ii) the “codon adaptation index” (CAI) is an established surrogate for gene expression under optimal growth conditions of Escherichia coli and Saccharomyces cerevisiae [27]; and (iii) the “measure independent of length and composition” (MILC) [34] is a corrected $\chi^2$-type statistic devised to address methodological deficiencies in other approaches such as CB. For a thorough description and formulae for calculation of these measures, see Text S1.

We have incorporated the three distance measures into a ‘nearest class centroid’-type classifier, analogous to uses of CB and CAI in the literature, and compared AUC scores of the RF classifier to the nearest centroid classifiers (Figure 1). As another approach to determine mono/di-nucleotide frequencies – the per-gene probabilities of belonging to positive class are recorded for each of the 50 runs of crossvalidation, and compared between the two rounds of crossvalidation (Figure S1). A sign test [72] is used to determine if an increase in probability occurs more frequently than expected by chance; if it does, the gene is labeled as having optimized codon usage (OCU). At this point, we combine the OCU assignments obtained with the three values of the window size parameter (5, 10 and 20 kilobases) into a consensus set by determining the median $p$-value of sign tests of the three window sizes for each gene. The agreement between window sizes is analyzed in Text S2. The $p$-values of OCU assignments for each gene are available as Dataset S1 and from the authors’ website at http://www.adaptome.org. Additionally, the full Java source code that performs all calculations described in the Materials and Methods section will be made freely available from

Detecting translational selection in genomes

We encode the information about nucleotide substitution patterns underlying the sequence of each gene by computing mononucleotide and dinucleotide frequencies in the non-coding regions of DNA neighboring the translated part of the gene. Genes for functional RNA molecules such as tRNA and rRNA are also treated as coding DNA and thus do not contribute toward composition of non-coding (intergenic) DNA. The size of the neighborhood window was set to either 5, 10 or 20 kilobases upstream from the gene’s start codon, and 5, 10 or 20 kilobases downstream from the stop codon. The window size of 10 kb upstream $+10$ kb downstream guarantees that in 99% of the genomes (457 out of 461), 99% of the genes have at least 142 non-coding nucleotides available for estimation of nucleotide substitution patterns (Table S2). To detect if translational selection acts on a genome, the RF classifier is first trained to distinguish RP genes (‘positive class’) based solely on the mono- and di-nucleotide frequencies of genes’ neighboring non-coding DNA within a given window size. Fifty runs of four-fold crossvalidation are used to estimate the accuracy of the classifier, and the AUC score for each of the 50 runs is recorded. The crossvalidation is stratified, meaning that genes are sampled so that the proportions of the RP genes are conserved in the training and the testing parts of each crossvalidation split. The 50 runs of crossvalidation are then repeated for a second time, however now the codon frequencies are also included in the dataset for the RF classifier training, in addition to description of the intergenic regions. The sign test [72] is used to compare AUC scores obtained without codon frequencies to AUC scores obtained with codon frequencies, for each genome (Figure S1). A summary of results for window size 10k is presented in Table S3.

Note that the described approach to determine mono/di-nucleotide frequencies implies that for neighboring genes the corresponding ncDNA windows will overlap, meaning that the description of background nucleotide composition will not be independent between the neighbors. Consequently, the estimate of crossvalidation accuracy (AUC) will be somewhat optimistic for the baseline, ncDNA-only models. This should, however, not be problematic as our conclusions regarding presence of translational selection can be biased only to the conservative side due to this issue – having ncDNA models of higher AUC means it can be only more difficult to surpass the models’ AUC using the ncDNA+ codon models.

Assigning optimized codon usage (OCU) labels to individual genes

During the procedure described above which involves two rounds of RF classifier training – without and with codon frequencies – the per-gene probabilities of belonging to positive class are recorded for each of the 50 runs of crossvalidation, and compared between the two rounds of crossvalidation (Figure S1). This is performed by means of sign test [72]. A sign test [72] is used to determine if an increase in probability occurs more frequently than expected by chance; if it does, the gene is labeled as having optimized codon usage (OCU). At this point, we combine the OCU assignments obtained with the three values of the window size parameter (5, 10 and 20 kilobases) into a consensus set by determining the median $p$-value of sign tests of the three window sizes for each gene. The agreement between window sizes is analyzed in Text S2. The $p$-values of OCU assignments for each gene are available as Dataset S1 and from the authors’ website at http://www.adaptome.org. Additionally, the full Java source code that performs all calculations described in the Materials and Methods section will be made freely available from
the same website in the near future, or on request from the authors.

We have set the default \( p \)-value threshold to \( 10^{-15} \), corresponding to exactly 50 out of 50 sign test ‘wins’ for the dataset with codon frequencies. This sign test \( p \)-value should be regarded as somewhat optimistic because the repeated runs of crossvalidation are not independent, being based on repeated sampling from the same set of genes. To obtain a conservative estimate, we employed a corrected paired \( t \)-test [73] intended for comparison of classification algorithms using repeated runs of crossvalidation. Note that we here compare RF models derived from a specific dataset, and not the different variants of the underlying RF algorithm itself, and therefore we would expect the \( p \)-value obtained with this test to be pessimistic for our experimental setup [73]. After this corrected \( t \)-test, the median \( p \)-value for OCU genes in 10 representative genomes (Text S2) was \( 6 \times 10^{-6} \), while for 95% of the OCU genes, \( p < 2 \times 10^{-3} \). When testing for difference of AUC scores with/without codons, the median \( p \)-value for all 461 genomes obtained by the corrected paired \( t \)-test was \( p = 10^{-13} \), for 95% of the genomes, \( p < 4 \times 10^{-5} \) (compare to median \( p = 10^{-15} \) by sign test).

### Testing robustness of OCU assignments

We test the robustness of the genes’ OCU assignments by performing several computational experiments. First experiment is a simulation to see if a methodological bias exists where changes in the positive-to-negative class size ratio would affect frequency of OCU labels; we demonstrate the extent of such changes to be minor (Text S2) and insufficient to explain the anticorrelation between genome size and % OCU described in Results (Figure 3A). The second experiment verifies if an outlier in the positive class – a RP gene with atypical codon usage – would affect OCU assignments. Our RF-classifier based methodology for OCU detection was remarkably robust (Text S2) to such errors in annotation that might stem from e.g. pseudogenes or from the uncommon occurrence of RP gene horizontal transfer [74].

The third issue concerns non-coding DNA, which is generally not abundant in prokaryotes and therefore non-negligible parts of it may be occupied by regulatory elements subject to selection. This should have little impact on our results, as our representation of the ncDNA is not complex enough to permit distinction between ncDNA containing specific regulatory motifs, unless the motifs were to differ strongly in their overall composition and occupy the major part of the ncDNA. Even if this were the case, our procedure would err on the conservative side, leading to an overestimation of the influence of nucleotide substitution patterns and consequentially a lower % OCU, and only if there was a correlation between the composition of regulatory regions and expression levels. We have empirically verified to what extent selection on ncDNA affects our results by re-running the computational framework (Figure S1) on a subset of genomes while excluding the ncDNA regions 20 bp upstream of the translation start codon; this region was shown to be under much stronger selection than the rest of the prokaryotic ncDNA [75] as it contains translation-related sequence elements. The OCU assignments were sufficiently robust to this perturbation (Text S2) as the resultant changes are commensurate to the variability of the method itself.

A fourth experiment concerns the influence of putatively horizontally transferred (HT) regions on our results. Our method will treat a HT region in the same as it treats the regions that differ due to (endogenous) local or strand-specific variation in background nucleotide composition, i.e. regardless of the biological mechanism that causes a deviation in the background nucleotide frequencies, we aim to detect shifts away from this background and toward RP-like codon usage. We empirically evaluated the influence of HT on our results by taking 10 representative genomes and masking the segments marked as HT in the IslandViewer database [76] by any of the three underlying HT-detection algorithms to have highest possible coverage. Then we repeated the OCU finding procedure and compared assignments to the original ones (Text S2). Again, the changes induced by this filtering were generally commensurate to the between-run variability of the method, save for a slight increase in %OCU in several genomes, meaning the original estimate of %OCU may be conservative with regard to horizontal gene transfer.

### Tests of enrichment for optimized genes and display of results

We use a series of Fisher’s exact tests for association of two categorical variables [77] to describe distribution of OCU genes along Gene Ontology [78] categories or COG orthologous groups [79] in all analyzed genomes, and in genomes grouped by environmental and phenotypic contexts (lifestyles). We perform two kinds of tests: ‘Test A’ operates across all genes in all genomes, and compares distribution of optimized genes within a GO category/COG group to the distribution of optimized genes outside the GO/COG, and is iterated over all GOs/COGs; ‘Test B’ operates within a single GO category/COG group, and compares organisms with a specific lifestyle to all organisms which are known not to possess the lifestyle. ‘Test B’ is iterated over all possible combinations of GO/COG and lifestyle; we discuss only the thermophile lifestyle in the manuscript, while data on other lifestyles is given in Dataset S2. We set the threshold \( p \)-value to \( 10^{-3} \), yielding a 1.6% false discovery rate among the GO categories/COGs found to be enriched or depleted in OCU genes in Archaea, and a false discovery rate of 1.7% in Bacteria. All tests passing these criteria were additionally screened to retain only tests with a sufficient magnitude of enrichment or depletion of optimized genes (>1.50x or <0.67x) between GO categories/COGs (test A) or organism groups (test B). All test results passing the thresholds for gene group size, statistical significance and magnitude of enrichment/depletion are available in Dataset S2 and from the authors’ Web site http://www.adaptome.org/. Filtered subsets of the GO categories with reduced redundancy are also available as a part of Dataset S2; they were prepared using the REViGO tool available at http://revigo.irb.hr/.

A more detailed description of the employed computational methods and procedures is provided in Text S1 and summarized in a flowchart diagram in Figure S1.

### Supporting Information

**Dataset S1** OCU/non-OCU gene calls in 461 Bacterial and Archaeal genomes, and summary tables of genome properties and OCU preferences for specific codons. Found at: doi:10.1371/journal.pgen.1001004.s001 (4.77 MB ZIP)

**Dataset S2** Tests for enrichment or depletion of OCU genes in Gene Ontology functional categories and COG orthologous groups. Found at: doi:10.1371/journal.pgen.1001004.s002 (0.38 MB ZIP)

**Figure S1** The workflow of the computational framework for detecting translational selection. Cylinders represent databases, rectangles represent operations and/or computation, parallel-
grams represent datasets, rounded rectangles within dotted frames represent endpoints, circles are references to Figures and Tables.

**Figure S2** Predictive performance of the Random Forest classifier on datasets with codon frequencies permuted between genes. All datasets contain (non-permuted) attributes with mono- and di-nucleotide frequencies. This figure is analogous to Figure 2 from the manuscript and is intended to demonstrate that an increase in classifier AUC evident in Figure 2 cannot result purely from the addition of extra attributes if these attributes carry no useful information.

Found at: doi:10.1371/journal.pgen.1001004.s004 (0.22 MB TIF)

**Figure S3** Correlation of the crossvalidation accuracy of RF classifiers with an estimate of intensity of genome-wide codon biases. Accuracy (as AUC score) of RF models trained on composition of intergenic DNA (top) and on composition of intergenic DNA plus codon frequencies (below) is compared to the MIILC measure of distance between codon frequencies of ribosomal protein genes, and the rest of the genes within a genome.

Found at: doi:10.1371/journal.pgen.1001004.s005 (0.82 MB TIF)

**Figure S4** Expression levels of OCU versus non-OCU genes, with ribosomal protein genes excluded. Histograms show microarray signal intensities for OCU and non-OCU genes in *P. aeruginosa* and *S. coelicolor* after removing the ribosomal protein genes from the genomes. This figure is analogous to Figure 4 in all other aspects.

Found at: doi:10.1371/journal.pgen.1001004.s006 (0.23 MB TIF)

**Table S1** Correlations of *E. coli* cytoplasmic protein abundances with per-gene class probabilities of Random Forest and three classifiers with per-gene class probabilities of Random Forest and three classifiers with an estimate of intensity of genome-wide codon biases. Accuracy (as AUC score) of RF models trained on composition of intergenic DNA (top) and on composition of intergenic DNA plus codon frequencies (below) is compared to the MIILC measure of distance between codon frequencies of ribosomal protein genes, and the rest of the genes within a genome. All datasets contain (non-permuted) attributes with mono- and di-nucleotide frequencies. This figure is analogous to Figure 2 from the manuscript and is intended to demonstrate that an increase in classifier AUC evident in Figure 2 cannot result purely from the addition of extra attributes if these attributes carry no useful information.

Found at: doi:10.1371/journal.pgen.1001004.s004 (0.22 MB TIF)

**Table S2** A survey of the amount of non-coding DNA within bacterial and archaeal genomes. Alongside the data for ten representative genomes, two additional rows display: the median values for the entire set of 461 genomes, and the 1st percentile of values for the 461 genomes. Table cells show the number of non-coding nucleotides in a window size of 10 kilobases upstream of a gene’s start codon, and 10 kilobases downstream of the stop codon.

Found at: doi:10.1371/journal.pgen.1001004.s007 (0.04 MB DOC)

**Table S3** Accuracy of the RF classifier in the task of discriminating ribosomal protein genes. Accuracy is expressed as area-under-ROC-curve (AUC) score, and given for RF classifiers trained without codon frequencies (“AUC, no codons”) and with codon frequencies (“AUC, with codons”). Mean and standard deviation of AUC are computed from 50 runs of crossvalidation. The sign test p-value indicates whether the AUC score exhibits a statistically significant increase with introduction of codon frequencies to the classifier. Ten representative genomes are shown, along with three genomes with the least significant p-values among all 461 genomes. Full data is available as Dataset S1, or from the website http://www.adaptome.org/.

Found at: doi:10.1371/journal.pgen.1001004.s008 (0.04 MB DOC)

**Table S4** Microarray signal intensities for ribosomal protein genes and aminoacyl-tRNA synthetases (aa-tRS). See Text S1, Appendix 1 for detailed information of which NCBI GEO Samples were chosen from the NCBI GEO Series in the table.

Found at: doi:10.1371/journal.pgen.1001004.s010 (0.05 MB DOC)

**Table S5** Differences in microarray signal intensities for OCU and non-OCU genes. “BWS” stands for Baumgartner-Weiss-Schindler permutation test used to determine if the distribution of microarray signal intensities of OCU genes is shifted in comparison to the distribution of microarray signal intensities of non-OCU genes.

Found at: doi:10.1371/journal.pgen.1001004.s011 (0.05 MB DOC)

**Table S6** Preferences of OCU genes towards optimal or suboptimal codons for two-fold amino acids, as defined by the genome’s tRNA gene content. Table cells show the number of genomes where OCU genes prefer the optimal codon, the suboptimal codon, or where there is no preference towards either codon. Preference for codons is detected by the Mann-Whitney U test on codon frequencies of OCU versus non-OCU genes, at $p<10^{-3}$. Optimal codons are those directly recognized by the anticodon of a tRNA encoded in the genome [1], and the suboptimal codons, conversely, have no tRNA with the appropriate anticodon. In some cases (frequently for Lys, Gln and Glu), genomes may encode tRNAs with both anticodons and the optimal/suboptimal anticodon cannot be defined; therefore the “sum” column may be less than the total number of genomes.

Found at: doi:10.1371/journal.pgen.1001004.s012 (0.04 MB DOC)

**Table S7** Protein functional categories enriched with (or depleted of) OCU genes in the “molecular function” namespace of the Gene Ontology. The list is filtered to exclude a number of categories redundant to the ones displayed using the REVIGO tool available at http://revigo.irb.hr/; for a complete listing, please refer to Dataset S2, or the authors’ website at http://www.adaptome.org.

Found at: doi:10.1371/journal.pgen.1001004.s013 (0.16 MB DOC)

**Table S8** A selection of protein functional categories enriched with (or depleted of) OCU genes in the “biological process” namespace of the Gene Ontology. This table is derived only from 38 organisms whose genomes were claimed to lack translational selection in at least 2 of 3 previous large-scale studies, see Appendix A in Text S1 for listing of the 38 genomes. Data in this table is analogous to the data in Figure 6 of the manuscript in all other aspects except for the restricted choice of genomes in this table.

Found at: doi:10.1371/journal.pgen.1001004.s014 (0.07 MB DOC)

**Table S9** Depletion of OCU genes within the aminoacyl-tRNA synthetases in Bacteria.

Found at: doi:10.1371/journal.pgen.1001004.s015 (0.06 MB DOC)

**Table S10** Enrichment and depletion of OCU genes within COG groups related to defense from oxidative stress. IDs in the form “COG:xxxx” denote groups from the Clusters of Orthologous Genes database.

Found at: doi:10.1371/journal.pgen.1001004.s016 (0.05 MB DOC)

**Text S1** Supporting methods.

Found at: doi:10.1371/journal.pgen.1001004.s017 (0.15 MB DOC)
Text S2  Tests of robustness of the RF classifier-based methodology for assigning OCU labels to genes.

Found at: doi:10.1371/journal.pgen.1001004.s018 (0.17 MB DOC)

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Author Contributions

Conceived and designed the experiments: FS NS KV TS. Performed the experiments: FS NS. Analyzed the data: FS JR. Wrote the paper: FS JR TS.
