Recapping Laminoplasty for Adult Low-Grade Isthmic Spondylolisthesis

Ahmed Saleh Shaker* and Ahmed Hashem Amin

1 Orthopedic Department, Faculty of Medicine, Sohag University, Egypt
2 Orthopedic Department, Faculty of Medicine, Zagazig University, Egypt

Abstract

Study design: Prospective study.

Objectives of the study: To assess the results of recapping laminoplasty with posterior instrumentation and fusion in the management of adult low grade isthmic spondylolisthesis.

Background: Isthmic spondylolisthesis is a condition where there is a translational displacement of the upper vertebral body compared to the lower one secondary to a defect in the pars interarticularis.

Methods: We treated 10 patients, 3 men and 7 women with average age of 42.6 years using the technique of recapping laminoplasty and posterior transpedicular fixation and fusion. The mean vertebral slip was 33.5% (14-47%) preoperative, 6% (0-12%) direct postoperative and 7.4% (0-14%) after 1 year.

Results: At the latest follow up visit after 1 year 9 patients achieved fusion while 1 patient had non-union. The Oswestry disability index was mild in 8 patients and moderate in 2 patients.

Conclusion: Recapping laminoplasty can be used safely and effectively with anatomic reconstruction of the posterior structures for the management of adult low-grade isthmic spondylolisthesis.

Keywords: Recapping; Laminoplasty; Isthmic; Adult spondylolisthesis

Introduction

Isthmic spondylolisthesis is the most frequent type of spondylolisthesis [1] and occurs most often at L5–S1 [2]. Low back pain and leg pain are the usual symptoms of low grade isthmic spondylolisthesis. Several possible sources of pain in isthmic spondylolisthesis include instability at the defect, foraminal entrapment of a nerve root, disc herniation or disc degeneration at, above or below the slip, and hyperlordosis [3]. Conservative treatment is the first line of management including physiotherapy, braces, or pain medication. Surgical interference is preserved to cases with failure of conservative treatment or those with overt neurological deficits. Many surgical techniques have been advocated to deal with symptomatic isthmic spondylolisthesis; the main aim of the surgical techniques focused on spinal fixation and neural decompression [4]. Laminoplasty describes the process of increasing the space available for the spinal cord by reconstruction of the laminar arch via a posterior approach [5]. Thoracolumbar laminoplasty was first described by Raimondi [6] and it is well established in the management of spinal cord tumors [7], but it is not well known for isthmic spondylolisthesis. The aim of this study is to report our experience with recapping laminoplasty in combination with instrumented posterior fusion for the management of adult low grade (<50% slip) isthmic spondylolisthesis.

Materials and Methods

A total of 10 consecutive patients were surgically treated for adult low-grade isthmic spondylolisthesis. The inclusion and exclusion criteria for the study are listed in Table 1.

All patients have signed an informed consent explaining the operation and its possible complications. The pre-operative assessment included complete clinical and neurological examination, radiological assessment in the form of anteroposterior, standing lateral, oblique and dynamic lumbosacral X-rays and lumbosacral MRI (to assess disc condition and nerve root entrapment) and routine laboratory work. The amount of vertebral slip was measured from standing lateral radiographs and was calculated as the quotient of sagittal displacement and the sagittal length of the slipped vertebral body expressed in percent [8,9].

Surgical technique

The operation was carried out through standard posterior midline approach. The defect of the pars interarticularis was identified and cleared of fibrous, cartilaginous and sclerotic tissue until healthy bone was exposed. Four pedicle screws were inserted in the intended level without final tightening; the supraspinous and interspinous ligaments were cut in an oblique direction to be sutured later. The capsule of the inferior facet joints of the lytic vertebra was done and reflected cranially with the supraspinous and interspinous ligaments maintained attached to the cranial vertebra. The nerve roots were decompressed and any lateral recess stenosis was cleared of fibrous, cartilaginous and sclerotic tissue until healthy bone was exposed.

Table 1: Inclusion and exclusion criteria for the study.

| Inclusion criteria                                                                 | Exclusion criteria                                                                 |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| Age > 20 years                                                                     | High-grade spondylolisthesis                                                      |
| Low-grade isthmic spondylolisthesis (<50% slip)                                    | Etiology other than isthmic spondylolisthesis                                     |
| Failure of conservative treatment for at least 6 months                             | Previous lumbar spine surgery                                                     |
|                                                                                  | Presence of spinal infection or malignancy                                         |
|                                                                                  | Presence of other spinal deformity                                                 |

*Corresponding author: Ahmed Saleh Shaker, Orthopedic Department, Faculty of Medicine, Sohag University, 82524 Sohag, Egypt, Tel: +201064306080; Fax: +20934602963; E-mail: asaleh1975@yahoo.com

Received February 19, 2014; Accepted March 13, 2014; Published March 16, 2014

Citation: Shaker AS, Amin AH (2014) Recapping Laminoplasty for Adult Low-Grade Isthmic Spondylolisthesis. J Spine 3: 160. doi:10.4172/2165-7939.1000160

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managed. The facet joints were decorticated by high speed bur together with the laminae and transverse processes of the affected vertebra and the caudal one. Small moist gauze was used to protect the dura during burring. Particular attention was paid in order not to injure the superior facet joints. The retracted structures were repositioned followed by final tightening of the pedicle screws and insertion of the rods which help fixation of the loose lamina, the interspinous ligaments were sutured back, (Figure 1). In case of deficient interspinous ligament we use stainless steel suture to fix the spinous process of the slipped vertebra to the caudal one. Cancellous bone autograft harvested from the posterior iliac crest was carefully inserted in the pars defects and onto the surfaces of the decorticated facet joints, laminae and transverse processes. Parenteral antibiotics were administered half an hour preoperative and for 5 days postoperative. Non-narcotic analgesics were used as indicated for pain control and tapered gradually. All patients were discharged on the 3rd postoperative day. Postoperative plain X-ray was done, and all patients started gradual ambulation without Lumbosacral support on the second postoperative day.

All patients were evaluated both clinically and radiologically in the outpatient clinic at 2 weeks, three, six and twelve months. The Oswestry disability index (ODI) questionnaire (9) and Lumbosacral CT to evaluate fusion were also done in the latest visit at one year (Figure 2).

Results

Relevant demographic data, fusion level and symptoms are shown in Table 2. The study included 10 patients, 3 men and 7 women. The average age of the patients was 42.6 years (range 28–55 years). The mean operation time was 122 min (range 90-160 min); the intraoperative average age of the patients was 42.6 years (range 28–55 years). The mean blood loss was 237 ml (range 150–350 ml). The operated segments included L4-5 in 2 patients and L5-S1 in 8. The mean vertebral slip was 33.5% (14-47%) preoperative, 6% (0-12%) direct postoperative and 7.4% (0-14%) at the latest follow up. 9 patients achieved successful fusion as confirmed by CT at the latest follow up visit at 1 year and 1 patient had non-union. There was no metal failure and no loosening of implants. The Oswestry disability index one year postoperative was mild in 8 patients and moderate in 2 patients, one of whom was the patient with non-union. There was no postoperative neurological deficit, 3 patients had bone graft donor site pain which persisted more than 3 months but improved later. No other complications were detected.

Discussion

Surgical treatment of isthmic spondylolisthesis is reserved for symptomatic cases that failed conservative treatment or developed neurological deficit. There are different methods for the management of isthmic spondylolisthesis including decompression without fusion [10], posterolateral fusion (PLF) [11], instrumented posterior lumar interbody fusion (PLIF), anterior lumar interbody fusion (ALIF) or 360° circumferential fusion [12]. There are 2 problems facing the surgeon performing posterior decompression and fusion, first the posterolateral region of the spine is one of the more challenging fusion environments because of the large gap that must be spanned by bone, the relatively poor vascularity of this region, the tensile stresses present in this region of the spine, and the presence of motion when the fusion is performed without supplemental internal fixation [13]. Second is the development of epidural fibrosis. Patients with epidural fibrosis experience radicular pain 3.2 times more frequently than those without it [14], furthermore the presence of epidural fibrosis makes surgical dissection difficult and revision surgery bears a high risk of intraoperative complications (e.g., bleeding, nerve root lesions and dural tears). The current technique

![Figure 1: Operative technique. (a) En-bloc separation of the lamina (b) Facet decortication (c) Repositioning of the retracted structures, the white arrow shows suturing of interspinous ligaments.](image)

| No. | Sex | Age (Y) | Fusion level | Preoperative symptoms | Duration of symptoms (m) |
|-----|-----|---------|--------------|-----------------------|-------------------------|
|     |     |         |              | Low back pain | Lower limb pain | R | L |
|-----|-----|---------|--------------|-------------------|-------------------|---|---|
| 1   | F   | 55      | L5-S1        | +                 | -                 | 60 |
| 2   | M   | 43      | L5-S1        | +                 | -                 | 36 |
| 3   | F   | 54      | L5-S1        | +                 | +                 | 66 |
| 4   | F   | 34      | L4-5         | +                 | -                 | 24 |
| 5   | M   | 28      | L5-S1        | +                 | -                 | 18 |
| 6   | F   | 42      | L5-S1        | +                 | +                 | 48 |
| 7   | F   | 56      | L4-5         | +                 | +                 | 54 |
| 8   | M   | 31      | L5-S1        | +                 | -                 | 30 |
| 9   | F   | 45      | L5-S1        | +                 | -                 | 20 |
| 10  | F   | 38      | L5-S1        | +                 | -                 | 12 |

Table 2: Demographic data, fusion level and symptoms.
addressed both problems; first this technique provides wider surface area for fusion including the retained laminae, spinous processes and facet joints together with the transverse processes which enhances fusion, second recapping laminoplasty allows good access for adequate spinal canal decompression and foraminotomy while maintaining the integrity of posterior structures which decreases epidural fibrosis [15] and facilitates revision surgery.

We found that PLIF was not necessary to get good results but on the other hand PLIF increased the intraoperative complications including dural tears, graft displacement, neurologic deficit and extensive bleeding from the venous plexus [16]. Furthermore PLIF does not provide advantages in terms of mechanical stability and fusion rate (pseudarthrosis incidence: 3.6% Versus 4.6% for PLF) [17]. In the current study, vertebral slip reduction was maintained after 1 year as compared to 360° fusion [18]. Various rates for posterior lumbar fusion between 77 and 95% [19] have been described. Our fusion rate was 90%. The functional outcome of the patients assessed by Oswestry Disability Index (ODI) was mild in 80% and moderate in 20% of cases which is comparable to that of Audat et al. [20]. Although 3 patients had bone graft donor site pain but the pain gradually improved with no persistent complaint and no other complications were noted.

**Conclusion**

Recapping laminoplasty in combination with posterior

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**Figure 2:** 55 years old lady, with low back pain not responding to medical treatment for more than 2 years and intermittent claudication pain
(a and b) Preoperative X-ray.
(c) Preoperative MRI showing entrapment and kinking of the dural sac.
(d and e) Postoperative X-ray 1 year after surgery and CT showing fusion at (f) pars defect, (g) inferior facet joints, (h and i) between the laminae and transverse processes of both vertebrae.
instrumentation and fusion can be used safely and effectively for the management of adult low-grade isthmic spondylolisthesis. We consider our results encouraging, although the follow-up time is relatively short and the study group was small.

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