Finite element analysis of overlay incorporating stress absorbing membrane interlayers against reflective cracking

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Abstract A wheel tracking test was modelled to gain better understanding of the deflection and stress–strain distribution in an overlaid cracked pavements with and without membrane interlayer (SAMI). For this purpose, commercial finite element software Abaqus 6.7-1 was used. Two different models were considered, one incorporating stress absorbing membrane interlayers (SAMIs) and the other without SAMI. In the study, full bond condition was assumed for the boundaries between the layers, and a linear elastic model was used for the analysis. The results show that introduction of SAMI caused greater deflection of the pavement. It is found that although with SAMIs, low stiffness is required, a very low stiffness may yield undesirable results. The results show that the introduction of SAMIs results in high strain concentration around the crack region, whilst the strain in the overlay is smaller than the values predicted in the models without SAMIs.

Keywords Overlay · Interlayers · Crack · Strain · Stress

1 Introduction

Most of the pavement projects around the world today are rehabilitations of existing road pavements (rigid and flexible). The common method adopted (overlaying) has been plagued with the problem of reflective cracking. Engineers have battled the problem for years introducing various measures, but the fight is far from being won. Cleveland et al. [1] defined reflective cracking as the propagation of cracks from the movement of the underlying pavement or base course into and through the new overlay as a result of load-induced and/or temperature induced stresses. Palacios et al. [2] reported that cracks propagate to new overlays due to vertical movement of the underlying pavement layer which may be due to traffic loading, frost heave and consolidation of the subgrade soils and/or the horizontal movement of the pavement upper layers due to temperature changes.

This study assesses the contribution of some stress absorbing membrane interlayers (SAMIs) on overlaid pavement performance in delaying the offset of reflective cracking using finite element analysis. SAMIs are designed to dissipate energy by deforming horizontally or vertically; therefore, allowing the movement (vertical/horizontal) of the underlying pavement layers without causing large tensile stresses in the asphalt overlay. As observed by Kim and Buttlar [3], the primary benefit of a soft interlayer is to reduce the longitudinal stresses in the overlay caused by wheel load and/or thermal cycling.

In this study, a wheel tracking test was modelled to gain better understanding of the deflection and stress–strain distribution in overlaid cracked pavements with and without SAMIs. In the modelling, the propagation of the crack was not considered; therefore, the modelling only gives the deflection and stress–strain distribution before crack initiation. The materials stiffnesses were determined for the finite element analysis. The modelling was done with a commercial finite element programme Abaqus 6.7-1 [4]. Abaqus/Standard was implemented in Abaqus/CAE to generate the models for the study.
2 Finite element formulation

Although asphalt behaves more like a viscoelastic material, for simplicity, it was considered appropriate to use a linear elastic model for the analysis. As observed by Sousa et al. [5], two major factors are of particular interest in the mechanical analysis of hot-mix asphalt: the material characterisation method and its accuracy in reflecting the material resistance to loading and the accuracy of mechanical models to predict the pavement performance. Nesnas and Nun [6] in their study of top-down reflection cracking found that the linear elastic model was able to give explanation for the field observation that the reflection crack can initiate at the surface and propagate downwards. Portillo [7] used the linear elastic software EVERSTRESS and the finite element software Abaqus to predict the pavement responses using linear elastic and visco-elastic models. He found that the results from the linear elastic analyses (EVERSTRESS) were similar to the measured pavement response values, except for vertical pressures, whilst the results from the visco-elastic finite element model (FEM) were much smaller than the measured values. His results for the linear elastic model in Abaqus were similar to the ones from EVERSTRESS. He stated that the problem with the visco-elastic modelling might be the proxy series parameters used for the analysis. Bekheet et al. [8] modelled the in situ shear strength testing of asphalt concrete pavements using linear elastic model in Abaqus and found that the correlation between the field results and each of the FEMs and the mathematical models was very high (with correlation factor of 86 %). They stated that it implied that the linear elastic approximation for the asphalt pavement behaviour might be an acceptable approximation. Therefore, the linear elastic model was considered appropriate for this study.

2.1 Wheel tracking test

The wheel tracking test is capable of generating the maximum shear stress and the maximum bending stress that occur when a moving wheel is either slightly offset from or directly over a crack, respectively. This produces mode I and mode II cracking. The wheel tracking machine was located in a temperature controlled room, which allows the effect of temperature on SAMI performance to be studied. The wheel tracker consists of a reciprocating table which moves forwards and backwards with a frequency of 0.8 Hz under the loaded wheel with a travel length of 225 mm. The solid tyre fitted to the wheel has an outside diameter of 200 mm and width of 50 mm. The test replicates what happens when a cracked pavement overlaid with and without interlayers (SAMI) is trafficked.

The specimens were manufactured in three layers for the wheel cracking test, the base layer (simulating the existing pavement) with a 10 mm notch (simulating the crack), which was made of 10 mm asphalt concrete with 10/20 penetration grade bitumen, and the middle layer (where present) was SAMI. The SAMIs considered in the modelling are proprietary SAMIs A and B and sand asphalt, and the top layer (overlay) was also made of 10 mm asphalt concrete but with 40/60 penetration grade bitumen. The control specimens were made in two layers: the top layer and the base layer. The specimen was placed on a 10 mm rubber mat in a steel mould. It was loaded after clamping it at the top and side at both ends to simulate pavement continuity.

As mentioned earlier, a linear elastic material model was adopted for the specimens. Therefore, the material properties required for the finite element modelling are the elastic modulus, E and Poisson’s ratio, μ. The elastic modulus (stiffness) was determined using the indirect tensile stiffness modulus (ITSM) test. The stiffness was used directly because the temperatures are the same, and wheel loading rate is close to the ITSM loading rate. The properties of each of the layers at 10, 20 and 30 °C are as shown in Table 1. The parameters considered in the modelling include the overlay and SAMI thicknesses and the test temperature. The test temperature was considered using the material properties obtained in the laboratory tests at 10, 20 and 30 °C for the modelling.

2.2 Model geometry

The models (with and without SAMIs) were developed to investigate the performance of the SAMIs. The model length and width are 404 and 50 mm, respectively. The overall thicknesses considered in the model are 110, 120 and 130 mm. The combinations investigated are shown in Table 2. They were investigated at 10, 20 and 30 °C.

A 3-dimensional analysis was carried out. The load was placed across the entire beam width of 50 mm. The layers were simulated using an 8-node linear brick, reduced integration element (C3D8R)—the first order isoparametric element. The C3D8R elements have only one integration point, thereby, reducing the computational time without any great effect on the result accuracy. Reduced integration usually means that an integration scheme one order less than the full scheme is used to integrate the element internal forces and stiffness [4]. Typical 3-dimensional structural models for the wheel tacking test for specimens with 10 mm SAMIs and 60 mm overlay and control model with 70 mm overlay are shown in Fig. 1. Cracks in an existing pavement have been modelled using different approaches by researchers. Wu and Harvey [9] modelled a crack with empty spaces in the underlying layer; Minhoto
et al. [10] modelled cracks using elements without stiffness in their study of reflective cracking behaviour for traffic and temperature effects. Pais and Pereira [11] modelled cracks as a void with a negligible stiffness of 1 MPa. Also, Dave et al. [12] and Baek and Al-Qadi [13] modelled cracks using a cohesive zone model. In this study, the crack was modelled by assigning a low material stiffness to the elements (see Table 1).

The mesh density that is appropriate for the study was determined by checking different mesh densities. A density that saves time without affecting the accuracy of the results was selected. Therefore, the specimens with 20 mm SAMI and 40 mm overlay and control specimens with 60 mm overlay were modelled with 31,200 elements, whilst the specimens with 30 mm SAMI and 40 mm overlay, 10 mm SAMI and 60 mm overlay and control specimens with 70 mm overlay were modelled with 33,600 elements. Lastly, the specimens with 20 mm SAMI and 60 mm overlay and control specimens with 80 mm overlay were modelled with 37,200 elements.

### 2.3 Material properties

In the ITSM, a load pulse is applied to the vertical diameter of the specimen positioned centrally between the upper and the lower platens, and the resultant peak transient deformation along the horizontal diameter was measured. The method uses cylindrical specimens cored from the field or slabs in the laboratory. In this case, the cores were cut from slab produced in the laboratory. These cores are usually 150 or 100 mm in diameter and has thickness between 30 and 80 mm. Input parameters include target horizontal deformation, load rise time, Poisson’s ratio, test temperature, specimen thickness and diameter. The test was carried out in accordance with DD 213: 1993 [14]. For this study, the test conditions were as follows: sample diameter: 100 mm; sample thickness: 40 ± 4 mm; Target rise time: 124 ± 4 ms and Mean horizontal deformation: 5 ± 2 \( \mu \text{m} \).

The results of the ITSM test (Table 1) show that the stiffness of the mixtures decreased with increasing temperature. Also, all the SAMI mixtures have lower stiffness than the overlay mixture (10 mm asphalt concrete with 40/60 penetration grade bitumen). The sand asphalt with very low stiffness was chosen to evaluate an extreme case, different from the two proprietary SAMIs A and B.

The rubber mat was used in the wheel tracking test to simulate an elastic foundation and induce bending stress. The stiffness of the rubber mat was determined by conducting a compression test using the MAND axial testing machine. The stress rate was 100 N/s, and the diameter of load bearing plates (top and bottom) (mm) was 150 mm. The rubber stiffness was calculated as 6.45 MPa.
2.4 Loading and boundary conditions and contact modelling

A distributed load of 2.4 kN (1.1 MPa) was chosen for the modelling. The load was applied on the whole width (50 mm) of the beam over a length of 45 mm. It was applied at two different locations on the overlay. The first location was 90 mm away from the centre of the crack, termed ‘edge load’, and the second location was applied directly above the simulated crack, termed ‘centre load’. Only one side of the model was considered because of symmetry. Fixed boundary condition was applied to the steel base and the ends of the models. The fixed boundary condition (encastre) constrains the model movement, i.e. the displacement and rotation in x, y and z directions. Full bond condition (compatibility of stresses and strains) was assumed for contact modelling.

3 Results and analysis

The models’ references are shown in Table 3. The results for the overlay were taken 20 mm from the bottom of the overlay. For the models with 10, 20 and 30 mm SAMIs, 20 mm from the bottom of the overlay is equivalent to 30, 40 and 50 mm from the bottom of the overlay in their respective control specimens. Readings for the crack region were taken 4 mm above the crack top. The predicted strain

Table 3 Models reference

| Name                                                      | Reference |
|-----------------------------------------------------------|-----------|
| 60 mm overlay (control)                                    | O60       |
| 20-mm-thick sand asphalt with 40 mm overlay                | SA20O40   |
| 20-mm-thick proprietary SAMI A with 40 mm overlay          | PA20O40   |
| 20-mm-thick proprietary SAMI B with 40 mm overlay          | PB20O40   |
| 70 mm overlay (control)                                    | O70       |
| 30-mm-thick sand asphalt with 40 mm overlay                | SA30O40   |
| 30-mm-thick proprietary SAMI A with 40 mm overlay          | PA30O40   |
| 30-mm-thick proprietary SAMI B with 40 mm overlay          | PB30O40   |
| 10-mm-thick sand asphalt with 60 mm overlay                | SA10O60   |
| 10-mm-thick proprietary SAMI A with 60 mm overlay          | PA10O60   |
| 10-mm-thick proprietary SAMI B with 60 mm overlay          | PB10O60   |
| 80 mm overlay (control)                                    | O80       |
| 20-mm-thick sand asphalt with 60 mm overlay                | SA20O60   |
| 20-mm-thick proprietary SAMI A with 60 mm overlay          | PA20O60   |
| 20-mm-thick proprietary SAMI B with 60 mm overlay          | PB20O60   |

Fig. 1 Typical models. a 10 mm SAMI and 60 mm overlay. b 70 mm overlay (control)

Fig. 2 Absolute displacement of models
was obtained as the difference in values when the load was placed at the centre directly above the crack top and at the edge (90 mm from the centre). The results of the stress were predicted when the load was placed at the centre.

3.1 Displacement

The predicted absolute and relative displacements at 10, 20 and 30 °C are shown in Figs. 2 and 3. It can be seen from the figures that there are more absolute and relative displacements in the specimens with SAMIs than the control sections without SAMI. Also, the models with lower SAMI thickness have less displacement. The results show that the absolute and relative displacements of the specimens increase with temperature. The reason for the increased displacements in the specimens with SAMIs is because of the introduction of a layer with lower stiffness between the overlay and the existing pavement.

3.2 Strain

The strains in the crack region (4 mm above the crack top) and in the overlay at 10, 20 and 30 °C are shown in Figs. 4 and 5. Figure 4 shows that the strains around the crack region in the specimens with SAMIs are greater than the strains in the models with no SAMIs. High strain concentration occurs around the crack region of the models with SAMIs. This is thought to be because of the increased displacement that accompanied the introduction of SAMIs of low stiffness and the ability of the SAMIs to deform in response to the movement of the underlying cracked layer. Also, the figure indicates that at 30 °C less strain was predicted in the crack region of the models with SAMIs than those without SAMI with the exception of the specimens with sand asphalt, which were in compression. Figure 5 shows the strain predicted at the bottom of the overlay for both control specimens and those with SAMIs. The figure indicates that the strains at the bottom of the overlay are lower in the specimens with SAMIs than those without SAMI with the exception of the specimens with sand asphalt, which were in compression.

The strains in the crack region (4 mm above the crack top) and in the overlay at 10, 20 and 30 °C are shown in Figs. 4 and 5. Figure 4 shows that the strains around the crack region in the specimens with SAMIs are greater than the strains in the models with no SAMIs. High strain concentration occurs around the crack region of the models with SAMIs. This is thought to be because of the increased displacement that accompanied the introduction of SAMIs of low stiffness and the ability of the SAMIs to deform in response to the movement of the underlying cracked layer. Also, the figure indicates that at 30 °C less strain was predicted in the crack region of the models with SAMIs than those without SAMI with the exception of the specimens with sand asphalt, which were in compression. The predicted strains increase with increasing temperature. This indicates that performance reduces as temperature increases.
3.3 Stress

Figure 6 shows the tensile stresses predicted in the crack region of the models with SAMIs are smaller than those without SAMI. The models having sand asphalt as SAMI are in compression.

Also, Fig. 7 shows that the tensile stresses predicted in the overlay of the models with proprietary SAMIs A and B are smaller than those predicted in the overlay of their respective control specimens, whilst for the models with sand asphalt as SAMI, the tensile stresses predicted in the...
overlay are greater than those for the specimens without SAMI in most cases. The contour plots of the longitudinal stresses for the control specimen with 80-mm-thick overlay, 20 mm sand asphalt and 60 mm overlay, 20 mm proprietary SAMIs A and B and 60 mm overlay with the load placed at the centre directly above the crack top are shown in Fig. 8. The potential of SAMIs to relieve stress in the overlay can be seen in the contour plots.

4 Discussion

This study aims to give understanding of the performance of SAMIs using finite element analysis. It has to be said that the conditions adopted for the modelling such as assuming linear elastic behaviour for all the materials, full bonding condition and non consideration of fracture mechanics are far from reality in a typical overlaid cracked pavement incorporating SAMIs. However, previous studies have shown that the linear model could give reasonable approximation of pavement responses.

The finite element analysis shows that when SAMIs were used, greater displacements were predicted. Also, models with greater SAMIs’ thickness had greater displacements. This is expected in a real pavement, as introduction of SAMIs affects the bending stiffness of the pavement. Ordinarily, it is expected that in a normal pavement, the more the displacement (deflection), the less the life of such pavement. However, in this case, researchers have shown that greater deflection recorded does not necessarily imply lesser life. Ogundipe et al. [15] and Elseifi [16] showed in their study that despite the greater deflection recorded in specimens with SAMIs, they withstood more wheel load repetitions (more life) before failure compared to those without SAMIs.

It was also found from the models results that greater strain concentration occurs around the crack region in the specimen with SAMIs than those without SAMI. Debondt [17] stated that the strain concentration occurred because of the movement of the underlying cracked pavement. Also, this could be because of the low stiffness of the SAMI, which allows it to flex (vertically or horizontal) in response to the movement of the different sides of the cracked pavement. This phenomenon also contributes to the increase in the deflection and in turns the strain concentration around the crack region.

However, the strains/stresses predicted in the overlay were less than those without SAMIs. This implies that the SAMIs somehow reduce the strain transferred to the overlay as a result of the movement of the underlying cracked layer. The introduction of the SAMIs that flex in response to the movement of the underlying layers dissipates the energy that would have been transferred to the overlay.

The strains predicted around the crack region in the models with sand asphalt were in compression, whilst it was found that the stresses/strains in the overlay were mostly greater that those predicted in the control. This is thought to be because of the very low stiffness of the SAMI and the poor fatigue properties (not reported here). This raises question about the stiffness value that is acceptable for mixtures to be used as SAMIs.

Ogundipe [18] found in his wheel tracking test that the same sand asphalt reported here was mostly ineffective in retarding crack growth. Lastly, the finite element results showed that deflection, strains and stresses predicted increases with increasing temperature.

5 Conclusions

The results show that when SAMIs are introduced in cracked pavements to retard reflective cracking, greater deflection of the pavement occurs. This is due to the reduced bending stiffness of the pavement caused by the introduction of SAMIs of lower stiffness than the overlay between the overlay and the existing pavement. Also, the finite element analysis shows that the models with greater SAMI thickness have greater deflection. Again, it was found that when SAMIs are introduced, greater strain concentration exists around the crack region; however, they are able to isolate the overlay from the strain concentration.

Lastly, the finite element analysis shows that although SAMIs are required to have low stiffness, a very low stiffness may give undesirable results. As, it was observed in this study, the sand asphalt having stiffness of 118, 209 and 635 MPa at 10, 20 and 30 °C resulted in increased strain in the overlay.

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