High Affinity Antigen Recognition of the Dual Specific Variants of Herceptin Is Entropy-Driven in Spite of Structural Plasticity

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Abstract

The antigen-binding site of Herceptin, an anti-human Epidermal Growth Factor Receptor 2 (HER2) antibody, was engineered to add a second specificity toward Vascular Endothelial Growth Factor (VEGF) to create a high affinity two-in-one antibody bH1. Crystal structures of bH1 in complex with either antigen showed that, in comparison to Herceptin, this antibody exhibited greater conformational variability, also called “structural plasticity”. Here, we analyzed the biophysical and thermodynamic properties of the dual specific variants of Herceptin to understand how a single antibody binds two unrelated protein antigens. We showed that while bH1 and the affinity-improved bH1-44, in particular, maintained many properties of Herceptin including binding affinity, kinetics and the use of residues for antigen recognition, they differed in the binding thermodynamics. The interactions of bH1 and its variants with both antigens were characterized by large favorable entropy changes whereas the Herceptin/HER2 interaction involved a large favorable enthalpy change. By dissecting the total entropy change and the energy barrier for dual interaction, we determined that the significant structural plasticity of the bH1 antibodies demanded by the dual specificity did not translate into the expected increase of entropic penalty relative to Herceptin. Clearly, dual antigen recognition of the Herceptin variants involves divergent antibody conformations of nearly equivalent energetic states. Hence, increasing the structural plasticity of an antigen-binding site without increasing the entropic cost may play a role for antibodies to evolve multi-specificity. Our report represents the first comprehensive biophysical analysis of a high affinity dual specific antibody binding two unrelated protein antigens, furthering our understanding of the thermodynamics that drive the vast antigen recognition capacity of the antibody repertoire.

Introduction

Monoclonal antibodies are typically specific toward single antigens. The exquisite specificity of antibody-antigen interactions is one main reason behind the success of antibodies as targeted therapeutics [1]. However, there is increasing appreciation for the prevalence of polyreactive or multispecific antibodies and their potential roles in the immune recognition function of the antibody repertoire [2,3,4,5]. Polyreactivity is in fact a common phenomenon among the precursors of antibodies on pre or pro B lymphocytes and is often associated with self-reactivity. Although the receptor editing process eliminates many of these self and polyreactive clones [6,7], mature B cells that secrete polyreactive antibodies in the periphery have been reported [2,5,8]. The finding that antibodies may become polyreactive through somatic mutations further implicates the prevalence of polyreactive antibodies in the antibody repertoire [9,10]. The ability of single antibodies to interact with more than one antigen has been proposed to expand the effective size of the antibody repertoire, which theoretically is restricted by the limited number of B lymphocytes, each expressing only one antibody [4,9,11,12]. Moreover, there is interest in antibodies that may target more than one antigen molecule for therapeutic applications.

Many have endeavored to understand the molecular mechanisms of antibody polyreactivity or multi-specificity. Antibodies have been shown to bind distinct antigens that are conserved chemically and/or structurally with high affinity [13,14,15,16,17,18,19,20]. However, a single antibody able to interact with more than one antigen epitope devoid of homology may have greater impact on the antigen recognition capacity of the immune repertoire. The multi-specific interactions of antibodies have been studied primarily by first identifying the additional “antigens” toward the antibody of interest from combinatorial libraries of peptides, small molecules or proteins [4,12,13]. Some antibodies were shown to rely on conformational variability, or structural plasticity of the antigen-binding site to adapt to distinct
antigens [4]. Other antibodies were shown to utilize different regions of the antigen-binding site to bind various antigens without involving significant structural plasticity [12,13,19,21]. However, since these polyreactive antibodies derived their secondary antigens from repertoire selection, the identity of the secondary antigen cannot be pre-determined. Further, the binding affinities for the selected secondary antigens are often quite weak. Insights into the mechanism of high affinity, multi-specific antibody interactions are still lacking.

We recently described a strategy to generate dual specific antibodies, or two-in-one antibodies, toward two defined antigens de novo by recruiting a second specificity to the antigen binding site of a monospecific antibody [22]. We demonstrated the strategy by first constructing a combinatorial phage-displayed library randomizing the light chain (LC) complementarity determining regions (CDRs) of Herceptin® (trastuzumab), a humanized antibody originally derived from mouse hybridoma. From this library, we isolated many variants that can bind a secondary antigen while maintaining binding towards their primary antigen HER2. We believe the strategy can be applied to evolve the specificity of other antibodies because, like Herceptin, many natural antibodies utilize the heavy chain (HC) CDRs as the main antigen binding determinants, and therefore can tolerate some mutation in the LC CDRs. The sequences of the derived dual specific antibodies demonstrate that a variety of limited mutations are sufficient for antibodies to recruit a second specificity. The dual specific antibody bH1 and its affinity-improved variants represent the first such antibodies that bind tightly to two unrelated protein antigens, HER2, the primary antigen, and VEGF, the secondary antigen (Table 1) [22].

The crystal structures of bH1 in complex with HER2 and VEGF reveal that bH1 makes contact with its two antigens via a similar set of surface residues [22]. However, the surface contour of bH1 buried in either complex is compatible only with the antigen in complex and molecular acrobatics is clearly involved for the antibody to bind its two antigens (Figure 1, Figure S1). In contrast, Herceptin Fab exhibits minimal structural variability based on the available structures of free and HER2-bound Herceptin Fab [23,24] (Figure S2).

To understand the dual antigen recognition of these antibodies evolved from a monospecific antibody and the effect of significant structural plasticity on binding energetics, here we analyzed the biophysical and thermodynamic properties of the antigen interaction of bH1 and its affinity-improved variants including bH1-44 and compared to those of Herceptin. While bH1 and bH1-44 share many characteristics with their parent antibody Herceptin (binding epitopes, affinity, kinetics, and critical CDR residues), the thermodynamic profile of the dual specific variants deviate from that of Herceptin. Notably, the bH1 interactions with HER2 and VEGF were characterized by large favorable entropy changes whereas the Herceptin interaction was enthalpically driven. We dissected the total entropy changes to examine the contribution of the hydrophobic effect (desolvation entropy) to the antigen interaction and the penalty from reduction of conformational freedom (conformational entropy) upon antigen binding. We also examined the accessibility of bH1-44 for binding its two antigens compared to that of Herceptin for HER2. We determined that the increased structural plasticity of bH1 antibodies relative to Herceptin appeared not to negatively impact the binding free energy. Central to the high dual affinity of the bH1 antibodies is the structural plasticity, with low energetic cost, in addition to the hydrophobic desolvation energy that drives the interactions.

| Table 1. CDR Sequence alignment of Herceptin and bH1 variants. |
|---|
| **Light Chain** | **Heavy Chain** |
| Herceptin | D V N T A S A S F H Y T T K D T Y R I Y P T N G Y T R W G G D G F |
| bH1 | I P R S I S G Y W G Y |
| bH1-81 | N I A K T I S G Y W G S S |
| bH1-44 | N I A K T I S G Y W G S S S G S E V V |

Information tabulated based on [22]. Light chain and heavy chain CDR residues of bH1 and variants that differ from Herceptin are shown.
of 300 nM. The bH1/HER2 interaction (K_D = 20 nM, \( k_{on} = 4 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \)) is characterized by a moderate rate of association (\( k_{off} = 0.01 \text{ s}^{-1} \)) and a fast rate of dissociation (\( k_{off} = 2 \times 10^3 \text{ s}^{-1} \)) at 30°C, which results in a dissociation constant (K_D) of 300 nM. The bH1/HER2 interaction (K_D = 20 nM, \( k_{on} = 1 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \), \( k_{off} = 2 \times 10^3 \text{ s}^{-1} \)) is 40-fold weaker than the Herceptin Fab/HER2 interaction (K_D = 0.5 nM, \( k_{on} = 7 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \), \( k_{off} = 4 \times 10^4 \text{ s}^{-1} \) at 30°C). Using two consecutive rounds of affinity improvements, bH1 was improved first to bH1-44 with incremental improvements in both on-rates and off-rates for VEGF and HER2 interactions compared to the parent bH1 (Figure 2). As a result, bH1-44 binds HER2 with similar affinity and kinetics as Herceptin.

Many interactions between Herceptin and HER2 are retained in the dual interaction

Alanine-scanning mutagenesis studies of bH1 and bH1-44 [22] and Herceptin [25] identified the CDR residues that significantly contribute to the binding free energy (ΔG). Hotspot residues are referred to sites where alanine substitution results in a reduction of 10% or greater of the total ΔG. Analysis of the mutagenesis mapping shows that bH1 and bH1-44 have conserved all but one of the Herceptin/HER2 hotspot residues in their sequences. Further, the majority of Herceptin’s hotspot residues remain important for both bH1 and bH1-44 to bind HER2 (Figure 3A) (Table 1).

The VEGF binding hotspot contains a different set of residues when compared to the HER2 hotspot, however, there are five mostly centrally located CDR residues that contribute significantly to all three high-affinity Herceptin/HER2, bH1-44/HER2 and bH1-44/VEGF interactions. This includes two residues in the light chain (LC; His91 and Tyr92) and three in the heavy chain (HC; Tyr56, Thr95 and Tyr100a). While the main chain of these residues are at a similar position in both bH1 structures as in the Herceptin structures, their side chains appear to undergo various extents of adjustments in order to optimize interaction with the two antigens (Figure 1, Figure S1). In particular, Tyr56 of CDR-H2 exhibits a significant difference in conformation. The rearrangement of this residue is important since the unique side chain conformation in the VEGF-bound bH1 is not compatible for both bH1 and Herceptin to bind HER2. Therefore, the VEGF binding ability of bH1 appears to co-opt some of the HER2 binding residues of Herceptin, albeit with conformational adjustments.

bH1-44 largely conserves the hotspot residues of bH1

Nine out of ten VEGF hotspot residues and eight out of nine HER2 hotspot residues of bH1 are also hotspot residues for bH1-44 (Figure 3). Further, most of the mutations that distinguish bH1 from bH1-44 involve conservative changes in amino acid residues located at the periphery of the bH1 hotspots that are not in direct contact with either antigen based on the bH1 complex structures (Figure 3B). The conservation of both hotspot and periphery residues suggests that the molecular structure of the bH1-44 complexes should be similar to that of bH1, with the affinity-improving mutations likely playing indirect or allosteric roles. Moreover, residues of CDR-L1, which exhibits highly distinct conformations in the two bH1-structures, are similarly important for both antibodies. The severe effect of mutating Tyr32 of CDR-

**Results**

The affinity-improved HER2/VEGF dual-specific antibody retains similar binding affinity and kinetics as Herceptin

We determined the binding kinetics for variants of the dual-specific antibody bH1 and Herceptin as Fab to immobilized VEGF (the receptor binding domain, VEGF8–109) or HER2 (the extracellular domain, ECD, of HER2) using surface plasmon resonance (SPR) measurements (Figure 2). The bH1 Fab/VEGF interaction is characterized by a moderate rate of association (\( k_{on} = 4 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \)) and a fast rate of dissociation (\( k_{off} = 0.01 \text{ s}^{-1} \)) at 30°C, which results in a dissociation constant (K_D) of 300 nM. The bH1/HER2 interaction (K_D = 20 nM, \( k_{on} = 1 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \), \( k_{off} = 2 \times 10^3 \text{ s}^{-1} \)) is 40-fold weaker than the Herceptin Fab/HER2 interaction (K_D = 0.5 nM, \( k_{on} = 7 \times 10^5 \text{ M}^{-1} \text{ s}^{-1} \), \( k_{off} = 4 \times 10^4 \text{ s}^{-1} \) at 30°C). Using two consecutive rounds of affinity improvements, bH1 was improved first to bH1-44 with incremental improvements in both on-rates and off-rates for VEGF and HER2 interactions compared to the parent bH1 (Figure 2). As a result, bH1-44 binds HER2 with similar affinity and kinetics as Herceptin.

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L1 in bH1 and bH1-44 to either alanine or phenylalanine on VEGF binding is striking and demonstrates the importance of CDR-L1 conformation for both bH1 and bH1-44 to bind VEGF (Figure 2, Figure S3) [22]. Based on the mutagenesis mapping of bH1 and bH1-44, we generated Ile29A + Tyr32A (LC) or Arg50A + Arg58A (HC) mutations in bH1-44, which completely disrupted the binding to VEGF or HER2, respectively while maintaining the binding affinity and kinetics for the other antigen (Figure 2). We also confirmed that the Arg50A + Arg58A (HC) mutations disrupted Herceptin's binding to HER2 completely (Figure S4), which corroborates that bH1-44, much like bH1, maintains the HER2 interaction of Herceptin. Hence, the approximately 100-fold improvement of dual affinity (from 20 nM to 0.2 nM in KD for HER2, from 300 nM to 2 nM in KD for VEGF) is an optimization of the existing interactions of bH1.

Favorable entropy change is the hallmark of the dual interaction of bH1 and bH1-44

To determine the thermodynamic profiles of the high-affinity dual interaction, we first assessed the overall thermal stability of the dual specific Fabs by performing thermal denaturation experiments using differential scanning calorimetry (DSC) (Figure S5). The melting temperatures (Tm) of the dual specific variants as Fabs (77°C, 76°C, 74°C for bH1, bH1-81 and bH1-44, respectively) are slightly lower than that of Herceptin Fab (83°C) [26], but they are within the range of other therapeutic antibodies [27]. These Fabs are sufficiently stable for the biophysical studies described below. Further, the two antigen constructs, VEGF109 and HER2-ECD, have been shown previously to be suitable for thermodynamic studies [26,28].

We then determined the enthalpic (ΔH) contribution to the interaction between the bH1 Fab variants and VEGF or HER2 using isothermal titration calorimetry (ITC). The antigen binding affinities of bH1-44 or Herceptin Fabs are too high for accurate determination by ITC; therefore, we utilized SPR measurements to determine the dissociation constants (K D). Changes in free energy (ΔG) and entropy (ΔS) upon binding were calculated from the KD and ΔH as described in Methods.

Interestingly, the interactions of bH1 with VEGF and HER2 display markedly similar thermodynamic properties (Figure 4, Figure S6). Both interactions are exothermic (ΔH = −2.4 kcal/mol as measured at 30°C in phosphate buffer at pH 7.4) and are predominantly driven by a highly favorable entropy change (−TΔS = −6.5 and −8.2 kcal/mol for VEGF and HER2, respectively). In contrast, Herceptin Fab interaction with HER2 appears to be primarily driven by favorable enthalpy changes (ΔH = −13.5, −TΔS = 0.9 kcal/mol) as determined side-by-side with bH1 antibodies in this study, which is similar to the values described previously [26].

The high-affinity interactions of bH1-81 and bH1-44 with antigens are also characterized by favorable enthalpy and entropy (Figure 4). For both VEGF and HER2 interactions, the affinity

|                        | VEGF<sub>109</sub> | HER2 ECD |
|------------------------|------------------|-----------|
|                        | k<sub>a</sub> (10<sup>4</sup>M<sup>-1</sup>s<sup>-1</sup>) | k<sub>d</sub> (10<sup>4</sup>s<sup>-1</sup>) | KD (nM) | k<sub>a</sub> (10<sup>4</sup>M<sup>-1</sup>s<sup>-1</sup>) | k<sub>d</sub> (10<sup>4</sup>s<sup>-1</sup>) | KD (nM) |
| Herceptin              | -                 | -         | NB      | 71 +/- 5 | 3.5 +/- 0.4 | 0.5 +/- 0.1 |
| bH1                    | 3.3 +/- 0.5       | 99 +/- 40 | 300 +/- 100 | 10 +/- 5 | 21 +/- 5 | 20 +/- 10 |
| bH1-81                 | 12 +/- 2         | 70 +/- 10 | 60 +/- 10 | 14 +/- 10 | 9 +/- 7 | 6.4 +/- 4.0 |
| bH1-44                 | 39 +/- 2         | 14 +/- 2 | 3.3 +/- 0.9 | 37 +/- 28 | 0.8 +/- 0.2 | 0.2 +/- 0.1 |
| bH1-44 (Y32A)         | -                 | -         | ND      | 62       | 0.4    | 0.1     |
| bH1-44 (I29A+Y32A)    | -                 | -         | NB      | 35 +/- 20 | 0.7 +/- 0.2 | 0.2 +/- 0.1 |
| bH1-44 (R50A+R58A)    | 35 +/- 5         | 10 +/- 2 | 3.0 +/- 1.0 | -         | -       | NB      |

Figure 2. Antigen-binding affinity and kinetic of the bH1 variants and Herceptin. (A) k<sub>a</sub> and k<sub>d</sub> and dissociation constant (K<sub>d</sub>) of Fabs binding to immobilized VEGF or HER2 are determined by SPR measurements (See Methods). The errors represent the standard deviations based on at least three independent experiments. bH1-81 was measured only once. Hence no error estimation is available. NB = No binding detected. ND = Not determined as the interaction was too weak to assess. The representative binding responses over time of 0.5 μM Fab bH1-44 (red), bH1-44 (LC-Y32A) (green), bH1-44(LC-I29A+Y32A) (magenta) and bH1-44(HC-R50A+R58A) (gray) to immobilized VEGF<sub>109</sub> (B) or HER2-ECD (C). doi:10.1371/journal.pone.0017887.g002
### Table A

| Fab residue | ΔΔG_{m, d, r} (kcal/mol) |
|-------------|--------------------------|
|             | HER2 | HER2 | HER2 | VEGF | VEGF |
| LC 27       | 0.1  | -0.6 | 0.1  | 0.6  | 0.6  |
| 28 #        | -0.3 | 0.0  | 0.4  | 0.1  | 0.6  |
| 29 #        | -0.2 | 0.3  | 1.1  | 2.3  | 2.3  |
| 30 #†       | 1.1  | 0.1  | -0.2 | 0.2  | 1.1  |
| 30a #‡      | -    | -0.2 | 0.04 | -0.2 | 0.4  |
| 30b v #‡    | -    | 0.3  | 0.05 | 1.0  | 1.2  |
| 30c v #     | -    | 0.9  | 0.4  | 0.8  | 1.1  |
| 30d v #     | -    | -0.2 | -0.4 | 1.3  | 1.3  |
| 31 v #      | 0.3  | 0.3  | 0.11 | 1.2  | 1.3  |
| 32 h #      | -0.8 | -1.8 | 1.7  | 2.7  | 2.7  |
| 50 hv #     | -0.1 | 1.4  | 0.8  | 1.3  | 1.8  |
| 51 #        | -0.3 | 0.1  | 1.0  | 0.7  | 0.7  |
| 52 #        | 0.3  | 0.1  | 0.8  | 0.8  | 0.8  |
| 53 hv #‡    | 0.9  | 0.3  | 0.7  | 1.5  | 1.5  |
| 91 hv       | 3.2  | 0.9  | 2.1  | 2.0  | 3.0  |
| 92 hv       | 1.4  | 0.5  | 1.1  | 1.4  | 1.5  |
| 93 v ‡      | 0.8  | -0.4 | -0.4 | -0.3 | -0.6 |
| 94 hv ‡     | -0.1 | 1.4  | 0.2  | 0.1  | 1.6  |
| HC 30 ‡     | 0.6  | -0.3 | 0.01 | 0.2  | 0.0  |
| 31 ‡        | 0.2  | 0.4  | 0.2  | 0.4  | 0.4  |
| 32 ‡        | 0.4  | -0.4 | -0.2 | -0.4 | -0.4 |
| 33 hv       | -0.1 | 2.4  | 2.8  | 0.3  | 1.2  |
| 50 hv       | 3.3  | 2.5  | 2.5  | -0.3 | -0.7 |
| 52 ‡        | 0.2  | -0.8 | 0.4  | 0.4  | 0.2  |
| 53 ‡        | 0.1  | 0.1  | -0.1 | -0.5 | 0.1  |
| 54 ‡        | -0.1 | -0.8 | 0.7  | -0.2 | 0.7  |
| 56 h        | 0.8  | 1.8  | 0.2  | 0.2  | 1.0  |
| 58 h        | 1.9  | 2.5  | 1.4  | -0.2 | -0.2 |
| 95 hv       | 5.8  | 1.8  | 3.1  | 2.0  | 3.1  |
| 96 ‡        | -0.1 | -0.5 | 0.2  | 0.2  | -0.9 |
| 97          | 0.3  | 0.4  | 0.1  | 0.1  | 0.1  |
| 98 ‡        | 1.2  | -0.1 | 0.0  | 0.3  | 0.3  |
| 100         | 1.2  | 1.9  | 2.5  | 0.7  | 0.7  |
| 100a hv     | 5.8  | 2.2  | 2.7  | 0.5  | 1.7  |

### Diagram B

**HER2 binding**

**VEGF binding**

- **bH1 hotspot residues**
- **bH1-44 mutation/bH1 hotspot**
- **bH1-44 mutation/non-hot spot**
improvement is associated with a more favorable enthalpy change: $\Delta H = -7.1$ kcal/mol ($bH1$) for VEGF; $\Delta H = -5.3$ kcal/mol ($bH1$) for HER2 at 30°C. Further, the two double mutants of $bH1$-44 that retain high affinity for either HER2 (LC-L29A/Y32A) or VEGF (HC-R50A/R58A) exhibit the same profile of favorable enthalpy and entropy contributions as $bH1$-44 (Figure 4). Hence, the contrasting binding thermodynamics of $bH1$ antibodies compared to Herceptin is consistently demonstrated in both binding functions of many $bH1$ variants.

To further confirm the favorable entropy changes associated with the interactions of the $bH1$ antibodies, we determined the contribution of protonation effects to the observed $\Delta H$ values. For protein-protein interactions, the measured $\Delta H$ can sometimes include heat effects related to protonation/deprotonation of the protein and buffer components. This can lead to inaccuracy in the calculation of $\Delta S$ for binding. We used the commonly accepted procedure [29] to examine and correct for these effects. We measured the enthalpy changes of the $bH1$-44 and Herceptin interactions in Tris buffer (pH 7.5) ($\Delta H_{\text{Tris}}$), which has a large ionization enthalpy ($\Delta H_{\text{Tris},\text{buffer}} = 11.1$ kcal/mol, 30°C) [30] and compared these to the values measured in phosphate buffer (pH 7.4)/$\Delta H_{\text{PBS}}$, which has minimal ionization enthalpy [31]. For $bH1$-44 binding to VEGF, no significant difference in $\Delta H$ was observed in the two buffers, indicating that no significant exchange of protons occurs between the proteins and the buffer in this interaction (Table 2). For the Herceptin/HER2 and $bH1$-44/HER2 interactions, however, significant differences were observed indicating that protonation of the proteins occurs in association with HER2 binding. The change in enthalpy corresponds to approximately 0.5 protons ($\Delta H_{\text{Tris}} - \Delta H_{\text{PBS}}$)/$\Delta H_{\text{Tris,buffer}} = 8.0 - (-13.5) = 0.5$ for each Herceptin/HER2 interaction and 0.2 protons ($-5.1 - (-5.3)$) for each $bH1$-44/HER2 binding event. Given the pH of the solutions and the pKa (6.0) of histidine, the most likely scenario is protonation of one histidine side chain for every two or five binding events, respectively. Assuming that the enthalpy for protonation of the histidine is similar as for imidazole ($-8.7$ kcal/mol, 30°C) [30], the enthalpy change upon binding was corrected by $0.5 \times (-8.7) = -4.35$ kcal/mol for Herceptin and $0.2 \times (-8.7) = -1.74$ kcal/mol for $bH1$-44. With the correction, the Herceptin/HER2 interaction is still highly exothermic $\Delta H_{\text{corr}} = -9.2$ kcal/mol ($-13.5 - (-4.35)$) and associated with a small favorable entropy contribution ($\Delta T \Delta S_{\text{corr}} = -3.4$ kcal/mol, 30°C), whereas the $bH1$-44 interaction with HER2 is indeed characterized by a small enthalpy contribution ($\Delta H_{\text{corr}} = -5.6$ kcal/mol ($-5.3 - (-1.74)$) augmented by a large entropy contribution ($\Delta T \Delta S_{\text{corr}} = -9.6$ kcal/mol, 30°C) (Table 2).

Hydrophobic interactions drive the binding of $bH1$ variants and Herceptin

Favorable entropy changes in protein-protein interactions commonly arise from desolvation, i.e., the hydrophobic effect. Expulsion of ordered water from the apolar surface upon ligand binding increases the total entropy of the system. To investigate the importance of desolvation, we determined the heat capacity change ($\Delta C_P$) from the temperature dependence of $\Delta H$ for three interactions: $bH1$-44 Fab with VEGF or HER2 and Herceptin Fab with HER2 (Table 2, Figure S7). Highly negative $\Delta C_P$ values measured for $bH1$-44/HER2 and $bH1$-44/VEGF interactions (Table 2) indicating an important contribution to binding from the hydrophobic effect (Kauzmann, 1959). The magnitudes of the $\Delta C_P$ values are consistent with the approximately 60% hydrophobic composition of the interfaces (Table 3), which is in range of what has been observed in typical protein-protein or antibody-antigen interfaces. For the Herceptin/HER2 interaction [also ~60% apolar], the previously determined $\Delta C_P$ value of $-370$ cal/mol K$^{-1}$ [26] is slightly smaller than the $\Delta C_P$ of $bH1$-44/HER2 ($-440$ cal/mol K$^{-1}$), but still indicates the impor-
tant role of the hydrophobic interaction in Herceptin/HER2 binding. The greater hydrophobic effect in bH1-44 compared to Herceptin is consistent with a larger buried apolar surface estimated in the interface of bH1/HER2 complex compared to Herceptin/HER2 complex (988 versus 910 Å², respectively).

Table 3. The area and property of the interfaces in the Fab/antigen complexes.

| Interface       | bH1/VEGF | bH1/HER2 | Herceptin/HER2 |
|-----------------|----------|----------|----------------|
|                 | ΔH_PBS   | ΔH_Tot   | ΔΔ_PBS         | ΔS_SCANF  | ΔS_SOLV  | ΔS_RT   |
| bH1-44/VEGF     | 7.1      | 16       | -7.1           | 16         | 16       | 16      |
| bH1-44/HER2     | 5.3      | 32       | -3.6           | 32         | 32       | 32      |
| Herceptin/HER2  | -13.5    | 11       | -15.5          | -15.5      | -15.5    | -15.5   |

All numbers in Å² unless otherwise indicated. The polar and apolar area of the interfaces was assessed using XSAE (See Methods). The area was calculated using a probe with a radius of 1.4 Å. 

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Thus, HER2 binding by Herceptin and bH1-44 involves a similar conformational entropy change. As bH1 exhibits highly distinct conformations in the HER2 and VEGF complexes, it is possible that the CDR loops are rather flexible in the unbound state. We therefore examined the extent of the entropy changes due to the loss of conformational freedom upon bH1-44/HER2 interaction versus Herceptin/HER2 interaction. We dissected the total entropy change observed (ΔS_TOT), which is comprised of entropy changes from desolvation effects (ΔS_SOLV), reduction of rotational and translational freedom (ΔS_RT), and changes in internal conformational freedom (ΔS_SCANF) (ΔS_TOT = ΔS_SOLV + ΔS_RT + ΔS_SCANF) [36]. Based on the heat capacity change (ΔCp) described above, ΔS_SOLV was calculated as 96 cal/molK⁻¹ for bH1-44/VEGF, 103 cal/molK⁻¹ for bH1-44/HER2 and 89 cal/molK⁻¹ for Herceptin/HER2 (Table 2). ΔS_RT was estimated to contribute -8 cal K⁻¹ mol⁻¹ for all interactions [36,37], and we derived ΔS_SCANF to be -72 cal K⁻¹ mol⁻¹ for bH1-44/VEGF, -64 cal K⁻¹ mol⁻¹ for bH1-44/HER2, and -70 cal K⁻¹ mol⁻¹ for Herceptin/HER2 (Table 2). Thus, HER2 binding by Herceptin and bH1-44 involves a similar conformational entropy penalty. The slightly larger entropy penalty from reduction in the conformational freedom for the

mutations that distinguish bH1 from bH1-44, and indicate that the effect of structural rearrangement on the binding of bH1-44 to either antigen is negligible. Although a structure of free bH1-44 is not available for calculations of solvent accessible surface area, the thermodynamic data suggest that no significant refolding of the CDR loops involving changes in exposure of hydrophobic groups concurs with antigen binding.
bH1-44/VEGF interaction relative to the bH1-44/HER2 interaction may reflect what is observed in the crystal structures of free VEGF showing multiple conformations in the regions bound by bH1 [30]. The crystal structures of HER2 did not reveal such structural diversity [22,24]. Thus, compared to the Herceptin/HER2 interaction, the bH1-44 interactions with HER2 or VEGF are associated with relatively similar extents of entropic penalty due to the reduction of conformational freedom.

Temperature dependence of association rate constants of bH1-44 and Herceptin interactions is similar

We next examined the temperature dependence of the association rate constants to assess the activation energy for complex association as described in other studies [35,39,40,41]. The activation energy is a measure of the energy required to reach the transition state for association or dissociation. Large activation energy of association has been proposed to result from the energetic costs of ordering a flexible binding site for interaction [40]. The activation energy for dissociation on the other hand is indicative of the degree of complex stabilization.

By examining the binding kinetics of bH1-44 or Herceptin by SPR measurements at temperatures ranging from 5°C to 37°C, we found that the associate rate constants ($k_{on}$) of bH1-44 interaction with HER2 or VEGF displayed a similar temperature dependence as Herceptin Fab interaction with HER2, i.e., the slopes of linear regression of $\ln k_{on}$ plotted against 1/T were very similar (linear coefficient $\approx 0.973$, 0.990, 0.972, respectively) [Figure S8] (See Methods). Therefore, the bH1-44 paratope appears similarly accessible for either HER2 or VEGF binding as the Herceptin paratope is for HER2 binding. This is consistent with the conclusion above that bH1-44 and Herceptin interactions are subjected to similar energetic states. These conformations may be present prior to antigen binding as isomers (preexisting equilibrium mechanism), may appear during the binding interaction through rearrangement (induced fit mechanism), or a combination of the two scenarios may occur. Overall, the large desolvation energy or hydrophobic effect is the common driver for the dual-specific bH1 variants to engage both HER2 and VEGF and plays a more dominant role in dual interaction than in the Herceptin-HER2 interaction. Recently, Boulanger et al. reported that large desolvation energy in the absence of any observable plasticity structurally is the main driver for the recognition of multiple distinct ligands by the cytokine receptor gp130 [42]. Hence, water repulsion indeed endows the structure-insensitive entropic energy that can drive the multi-specific interactions in the absence or in the presence of apparent structural plasticity.

In the immune system, structural plasticity plays an important role in the antigen recognition of both antibodies and T cell receptors (TCR) [43,44] [45,46]. While the antigen binding sites of antibodies and TCRs have essentially the same fold, they differ in their antigen binding affinities. TCRs typically have low affinity ($K_D$ in the range of 1–100 μM) for antigen, which is a peptide fragment loaded major histocompatibility complex (pMHC), whereas antibodies are often matured to high affinities (in the nanomolar range). The low affinity TCR-pMHC interactions often involve structural plasticity of the antigen binding sites that significantly impact the binding energetics as manifested by large conformational entropy penalties. Significant local folding effects (large deviations between the observed and the calculated buried apolar surface area) and/or large activation energies [33,40,41,46]. Although the structural plasticity of TCRs contributes to low affinity it may play an important role for T cells in distinguishing between MHCs presenting self and non-self peptides, thus regulating the immune response [33,41]. The low affinity requirement for TCR function may explain why the commonly observed structural plasticity for TCR interactions with their natural ligands rarely associates with positive entropic contribution. In contrast, the mission of antibodies is to capture antigens efficiently. The affinities of antibodies are improved by somatic mutations that can stabilize and fix the free paratope conformations for antigen binding thereby reducing the entropic penalty upon binding [47,48]. Here, we show that structural plasticity of antibodies can occur without large energetic penalties and allow high affinity antigen binding, suggesting that increasing the structural plasticity (or adaptability) without increasing the entropic cost may be a general mechanism for antibody maturation as well as antibody multi-specificity.

The available structural data have revealed that structural plasticity is a common and important phenomenon at the site of molecular interactions [49,50]. Structurally accessible and adaptable (plastic) regions of natural proteins often evolve as sites for ligand interaction [51,52]. Further, structurally plastic regions have been shown to preferentially attract new binding ligands in vitro by repertoire selection of non-biased libraries of peptides and proteins [49,51]. The adaptability of molecular surfaces appears to play a key role for the capacity for interaction. Our comprehensive analysis of the high affinity bH1 antibodies reveals how antibody-antigen interactions characterized by entropy-driven binding interactions can occur in the presence of significant conformational plasticity. The combination of these biophysical and structural properties are likely important not only for bH1, but also for other antibodies to evolve binding specificity to one or more antigens and contribute to the vast antibody-antigen recognition capacity of the antibody repertoire.

Methods

Protein expression, purification and binding analysis

bH1, bH1-81 and bH1-44 antibodies were isolated from phage displayed antibody libraries and cloned into Fab and IgG expression vectors, expressed in E. Coli and 293 cells respectively,
and purified by protein A affinity chromatography as described [22]. VEGF<sub>B-109</sub> denotes the amino terminus 8–109 amino acids of the receptor-binding domain of VEGF and was expressed in E. Coli, purified and refolded as described [33]. The extracellular domain (ECD) of HER2 was expressed and purified as described [34]. All proteins were finally dialyzed into phosphate buffer saline (PBS) or other buffer as specified, and quantified by UV absorption for characterization.

**Affinity measurements and kinetic analysis**

To determine the binding kinetics and affinity we performed an SPR-based assay on a BIAcore 3000. We immobilized VEGF<sub>B-109</sub> and HER2 ECD on CM5 chips at a density that allowed us to achieve Rmax in the range of 50–150 Response Units (RU). Serial dilutions of Fab in PBS with 0.05% Tween20 were injected at 30 µl/min. The binding responses were corrected for buffer effects by subtracting responses from a blank flow cell. A 1:1 Langmuir fitting model was used to estimate the k<sub>on</sub> (on-rate) and k<sub>off</sub> (off-rate). The K<sub>D</sub> values were determined from the ratios of k<sub>on</sub> and k<sub>off</sub>.

**Temperature dependence of binding kinetics**

The binding kinetics was measured at a temperature range of 5°C to 37°C using SPR on a BIAcore3000. The natural logarithm of the k<sub>on</sub> or k<sub>off</sub> was plotted against the inverse of temperature. The slopes of the line by linear regression were determined. Based on Arrhenius analysis [35], the energy barrier, or activation energy (E<sub>a</sub>) can be derived from the slopes (E<sub>a</sub> = –slope multiplied by R, R = gas constant). The off-rates for high affinity Herceptin and antibody the dissociation constants (K<sub>D</sub>) determined by surface plasmon resonance for characterization.

**Differential scanning calorimetry**

Thermal denaturation experiments were performed on a differential scanning calorimeter from Microcal Inc. Fabs were dialyzed against 10 mM sodium acetate pH 5, 150 mM sodium chloride. The solutions were adjusted to a concentration of 0.5 mg/ml and heated to 95°C at a rate of 1°C/min. The melting profiles were baseline corrected and normalized. The T<sub>M</sub> was determined using the software supplied by the manufacturer.

**Characterization of the bH1/VEGF and bH1/HER2 structural interface**

The biochemical composition of the binding interface was calculated using the SOLV function in the program XSAE (from Dr. C. Broger, Hoffmann-La Roche, Basel, Switzerland). This program calculates the surfaces of selected binding fragments/chains in structures. The area was calculated using a probe with a radius of 1.4 Å. For each atom the solvent accessible surface area and the area occluded by atoms of the other chain (both in Å<sup>2</sup>) are calculated. The program designates the occlusions as polar, hydrophobic or mixed. “Mixed” means occlusion of polar atoms on one chain by hydrophobic atoms on another chain. We estimated the buried hydrophobic surface area as the purely hydrophobic occlusions plus half of the mixed. All structural figures were generated using Pymol (by W. DeLano).

**Construction of bH1-44 and Herceptin mutants**

A vector that encoded the Fab fused to the N-terminus of geneIII via the heavy chain was used as the template for Kunkel mutagenesis [56]. Oligonucleotides were designed to introduce the desired alanine mutations at selected positions. The Fab Ala mutants were expressed as phage and the relative binding affinity estimated by competition ELISA. The heavy chain and the light chain variable domains were then cloned into Fab and IgG expression vectors, and Fabs and IgGs expressed and purified as described [22]. SDS PAGE verified the correct protein size and size exclusion chromatography confirmed aggregation levels below 5%.

**Dissection of the entropy change**

The total entropy change (∆S<sub>TOT</sub>) can be divided into contributions from desolvation effects (∆S<sub>SOLV</sub>), entropies from the loss of rotational and translational degree of freedom (∆S<sub>RT</sub>), and changes in configurational and conformational flexibility and dynamics of the interacting molecules (∆S<sub>CONF</sub>) [36]. This can be expressed as:

\[
\Delta S_{TOT} = \Delta S_{SOLV} + \Delta S_{RT} + \Delta S_{CONF}
\]

Typically, only ∆S<sub>SOLV</sub> is positive while ∆S<sub>RT</sub> and ∆S<sub>CONF</sub> are both negative. ∆S<sub>RT</sub> is estimated to contribute ~8 cal K<sup>-1</sup> mol<sup>-1</sup> (the cratic entropy term) for the association of two molecules as described [36,37]. ∆S<sub>SOLV</sub> is dominated by the hydrophobic effect due to the burial of apolar surface area and can be described as a...
function of $\Delta C_p$:

$$\Delta S_{\text{SOLV}} = \Delta S + \Delta C_p \ln(T/T_*)$$

where $T_* = 385$ K and $\Delta S \approx 0$

$\Delta S_{\text{CONF}}$ can thus be estimated as:

$$\Delta S_{\text{CONF}} = \Delta S_{\text{TOT}} - \Delta S_{\text{RT}} - \Delta S_{\text{SOLV}}$$

Supporting Information

Movie S1  Movie modeling the molecular acrobatics of CDRs for bH1 dual interaction. The significant structural plasticity of bH1 is highlighted by morphing between VEGF-bound bH1 (PDB code 3BDY) and HER2-bound bH1 (PDB code 3BE1) presented as described in Figure S1. Please note the highly distinct conformation of CDR-L1, the adjustment of the side chains of highlighted residues, and the side chains of LC-I30c and LC-Y32 that alternatively occupy the nearby cavity in HER2 bound bH1 or VEGF bound bH1, respectively. The movie (see movie S1) has been generated using the morphing function of Rigimol which is part of MacPyMol 1.3 (www.pymol.org).

Figure S1 The structural plasticity of bH1 CDRs. The three HC (in blue) and three LC CDRs (in yellow) of bH1 are shown in cartoon representation with the rest of bH1 structure as three HC (in blue) and three LC CDRs (in yellow) of bH1 are shown in stick representation. Please see Movie S1 for the extent of bH1 CDR movements for its dual binding mode.

Figure S2  Superimposition of Herceptin as Fv and Fab in their unbound form with HER2-bound Fab shows small conformational variability. The CDRs of representative protomers of the Herceptin variable fragment (Fv) (orange, PDB entry 1FVC) and of the Herceptin Fab (olive and teal, PDB entry 1FVD) – both in the unbound form – are shown in cartoon representation. Both structures were superimposed with the Herceptin Fab bound to the ECD of HER2 (blue, PDB entry 1N8Z). Three different conformations of the CDR-H3 region in the unbound Herceptin fragments were observed, one of them (in teal) being similar to the CDR-H3 conformation observed in the HER2 bound Fab. In the unbound structure, CDR-H3 with residue Y105 (or Y100a as Kabat numbering) shown in stick representation is involved in crystal packing explaining the different conformation of the CDR-H3 loop (Eigenbrot, C. et al. J. Mol. Biol. 229, 969–995, 1993).

Figure S3 Distinct residues contributing to VEGF- or HER2-binding. The residues that are important for VEGF binding LC-I29 and LC-Y32 (A and B) and for HER2 binding HC-R50 and HC-R58 (C and D) are shown as cyan sticks on the bH1/VEGF [A and C, 2.6 Å resolution] or bH1/HER2 (B and D, 2.9 Å resolution) crystal structures (Bostrom et al., Science 323, p1610, 2009). The residues I29 and Y32 appear to be involved in intra-chain interactions that serve to maintain the CDR-L1 loop conformation necessary for VEGF-binding. In the HER2-bH1 structure, I29 is solvent exposed and Y32 packs against HER2 but does not engage in functionally important interaction. R50 and R58 pack against D560 and E558 on HER2 and appear to engage in charge-charge interactions. R50 and R58 are solvent exposed in the VEGF complex structure.

Figure S4  Herceptin Fab binding to HER2 is severely disrupted by the same mutations that abolish bH1-44 interaction with HER2. (A) The kinetics of the Fabs binding to immobilized HER2 was determined using surface plasmon resonance at 30°C using a 1:1 Langmuir binding fitting model. Error bar represents the standard deviations (SD) of more than three independent experiments. NB = No binding detected. (B) SDS-PAGE of the R50A, R58A and R50A/R58A Herceptin mutant Fabs (non-reduced). The expressed two-chain Fabs appear sufficiently folded to associate as covalently linked heterodimer.

Figure S5  Thermostability of the dual specific Fabs.  bH1 Fab (A), bH1-81 Fab (B), and bH1-44 Fab (C) at 0.5 mg/ml were heated to 95°C at a rate of 1°C/min. The melting profiles were baseline corrected and normalized. The black trace represents the measured melting curve and the red trace the fitted curve. The melting temperatures (Tm) were calculated from the fitted curves according to the manufacturer’s instructions to be 77°C, 76°C, 74°C for bH1, bH1-81 and bH1-44, respectively.

Figure S6  Representative calorimetric measurements of the enthalpy changes associated with Fab-antigen binding at 30°C. The individual heat pulses (top) and the heats of reaction (bottom), which are calculated by integration of each pulse, are plotted as a function of the antibody to antigen ratio for each injection. The small magnitude of the enthalpy changes required relatively high protein concentrations, which precluded accurate estimation of the $K_D$ when the affinity was high. Solutions of VEGF$_{109}$ or HER2-ECD at concentrations ranging from 10–20 µM were titrated by 15 injections of bH1 or bH1-44 Fab at concentrations from 100 to 200 µM in PBS. (E–F) Solutions of VEGF$_{109}$ or HER2-ECD at concentrations of 10 to 20 µM were titrated by 20 injections of bH1-44 LC-I29A+Y32A Fab or bH1-44 HC-R50A+R58A Fab at concentrations of 150 and 250 µM in PBS. Titrations 1 and 3 in (E) were excluded from the analysis due to instrument noise.

Figure S7  The heat capacity changes associated with bH1-44 Fab interactions. $\Delta C_p$ was determined from the slope of the temperature dependence of $\Delta H$ between 20°C and 37°C. In this range, $\Delta C_p$ appears to be independent of T, based on the linear relationship between $\Delta H$ and T (Linear coefficient R = 0.9991 for bH1-44/HER2, R = 0.9989 for bH1-44/VEGF).

Figure S8  Temperature dependence of the association rate constants ($k_{on}$). The Arrhenius plots (linear regression of $ln k_{on}$ versus $1/T$) for bH1-44 interacting with HER2 (open circle), VEGF (open square) and Herceptin Fab (close circle) interacting with HER2 are shown, with linear correlation coefficient of 0.973, 0.998, 0.972, respectively. The slopes are used to derive the energy barrier or activation energy of association, $E_a$ ($E_a = –\text{slope}\times R$, $R = \text{gas constant}$). $E_a$ in kcal/mol is denoted.

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Author Contributions

Conceived and designed the experiments: JB LH GF. Performed the experiments: JB LH. Analyzed the data: JB PK RGF. Contributed reagents/materials/analysis tools: JB LH PK. Wrote the paper: JB PK RGF.
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