Dispersion stability and exothermic properties of DNA-functionalized single-walled carbon nanotubes

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Abstract: Carbon nanotubes act as a photon antenna that serves as an effective “molecular heater” around the near-infrared (NIR) region. This exothermic generation can be used as a possible heating source for hyperthermia therapy. The current study reports the dispersible and exothermic properties with NIR irradiation for single-walled carbon nanotubes (SWNTs) treated with a strong acid (acid-treated SWNTs), and the SWNTs further functionalized with double-stranded DNA (DNA-functionalized SWNTs: DNA-SWNTs). DNA-SWNTs significantly improved the dispersibility of SWNTs when compared with the acid-treated SWNTs. The binding ratio of the acid-treated SWNT and DNA was calculated to be 3.1 (DNA/SWNTs) from the phosphorous content in the DNA-SWNT. This interaction of the SWNTs and DNA would contribute to the stable dispersion of the DNA-SWNTs in a culture medium. With NIR irradiation by a halogen lamp light source, the acid-treated SWNTs and the DNA-SWNTs showed strong heat evolution in vitro (in a culture medium) and in vivo (in the subcutaneous tissue of a mouse) condition without any invasive effect on the non-SWNT area. The results of this study suggested that the functionalization with DNA was an efficient approach to improve the dispersibility of SWNTs in body fluids, and the DNA-SWNT would be a promising source for photo-induced exothermic generation.

Keywords: DNA functionalization, near-infrared irradiation

Introduction
Carbon nanotubes (CNTs) have been considered for application in various biomedical systems, including intercellular molecular delivery and drug delivery.1–3 The unique properties of CNTs include their strong optical absorbance in the near-infrared (NIR) region, which could release significant heat. This exothermic generation potential by NIR irradiation can be used for hyperthermia cancer therapy.4–7 Kam et al8 prepared a water-soluble single-walled carbon nanotube (SWNT) by conjugation of the SWNTs and single-stranded DNA, and functionalized by polyethylene glycol with the target ligand including folic acid as the tumor marker. They showed that the SWNT can be selectively internalized into cancer cells with very specific tumor markers and that NIR irradiation of the SWNTs can trigger thermal cell death without damage to normal cells.

To obtain selective targeted cell attachment of CNTs in the human body, a stable solubility (dispersibility) and smart delivery potential of functionalized CNTs will be needed as well as their nontoxic property. For the toxic property, several reports have demonstrated that soluble (acid-treated) and nonaggregated CNTs are not toxic to primary immune cells and that the increased sidewall functionalization of CNTs decreased the...
level of cytotoxicity for human dermal fibroblasts. The treatment of CNTs with strong acids produced carboxylic groups on the surface of the CNTs, and the treated CNTs can be dispersed in aqueous systems. Although the acid treatment of SWNTs would be one way to functionalize the SWNTs, the result of our preliminary experiment revealed that the acid-treated SWNT formed aggregated particles when added to a cell culture medium. This result suggested that a further functionalization to improve the dispersibility of the acid-treated SWNTs in body fluids is needed.

The conjugates of CNTs and DNA will have potential uses in many fields such as nucleic acid sensing, gene-therapy, and solubilization in aqueous media. Since Nakashima et al reported that the double-stranded DNA molecules were an effective solubilizer of SWNTs, the single- and double-stranded DNA molecules were used as solubilizer of CNTs for biomedical purposes. We expected that the functionalization with double-stranded DNA would be an effective approach to improve the stability of the dispersibility of the acid-treated SWNT in the human body. However, there were no reports on the dispersability and the exothermic property of the acid-treated SWNT in the human body.

Materials and methods
Preparation of acid-treated SWNT
SWNTs (400 mg, main range diameter of 1 nm and average length of 800 nm, CG100, SouthWest Nanotechnology, Norman, OK, USA) were poured into a mixture of 300 mL of sulfuric acid and 100 mL of nitric acid, and the mixture was sonicated using a bath-type sonicator (Type 1510, Branson, Danbury, USA) for 7 hours at 40°C. After this treatment, the product was vacuum filtered using a polytetrafluoroethylene (PTFE) membrane (pore size of 0.2 µm). The resultant solid was washed with deionized water and dissolved in 10 mL of water, and then freeze-dried.

Preparation of DNA-SWNT
Several researchers have reported that DNA can wrap around SWNTs through noncovalent interactions, thus rendering them soluble in aqueous solutions. We expected that the functionalization of the SWNTs by DNA wrapping would be more stable in the body fluids. We prepared the DNA-SWNT aqueous solution according to previously reported methods. The acid-treated SWNT (10 mg) and DNA (100 mg, derived from salmon testis, average 300-bp, Maruha-Nichiro Holdings, Tokyo, Japan) were sonicated (90W, Type 1510, Branson, Danbury, USA) in deionized water (10 mL) for 1 hour. The resultant aqueous solution of the DNA-SWNT (1 mg/mL, based on SWNT amount) was subjected to the following experiments.

Transmission electron microscopy (TEM) observation
The particle morphology and structure of the SWNT samples (untreated SWNT, acid-treated SWNT, and DNA-SWNT) were analyzed by TEM (JEM 2000FX, JEOL, Tokyo, Japan). The dispersed SWNTs in deionized water were examined. The dispersed SWNTs in the body fluid, DNA-SWNTs were prepared and the exothermic generation in vitro and in vivo examined. We demonstrated that heat generation of the acid-treated SWNT and DNA-SWNT irradiated by NIR and the stable dispersibility of the DNA-SWNT.

Examination of SWNT dispersibility
Cultures (MEM-alpha, 1X low glucose, Invitrogen, Tokyo, Japan) containing different concentrations of the SWNT (acid-treated SWNT and DNA-SWNT, 0.01, 0.1, 0.25, and 0.5 mg/mL) were prepared. These cultures (1 mL) were
centrifuged at 6600 rpm for 5 minutes at 1, 10, 24, and 48 hours after being prepared. The supernatant of the centrifuged sample was measured in the transmittance mode at 500 nm by ultraviolet-visible spectroscopy (V-560 spectrophotometer, Jasco, Tokyo, Japan), and the change in the transmittance was determined for evaluating the stability of the dispersed SWNT in the culture medium (n = 3). The precipitate formation of the SWNTs in the culture medium was observed under microscope (TS-100, Nikon, Tokyo, Japan). One mL of mixture of the medium and the SWNTs (the acid-treated SWNT and the DNA-SWNT, concentration of 0.25 mg/mL) was poured into a well of a 48-well multiplate. Five minutes after being mixed, an image of each sample was observed and captured. All procedures were conducted at 25°C.

Binding ratio of SWNT and DNA
To examine the binding ratio of the acid-treated SWNT and DNA, the phosphorous content of the DNA-SWNT was determined by inductively coupled plasma (ICP) emission spectrometry analyses. Based on the phosphorous amount of the DNA-SWNT, we estimated the number of DNA molecules bound to the acid-treated SWNT molecule. The DNA-SWNT solution (1 mg/mL) was ultracentrifuged (5 × 10⁴ rpm) for 60 minutes, then filtrated though a 0.2 µm PTFE membrane. The residual solid was dried and subjected to ICP analyses (SPS 4000, SII Nanotechnology, Chiba, Japan). All measurements were performed in duplicate.

Photothermal properties of the SWNT-containing medium (in vitro)
Cell culture medium (MEM-alpha, Invitrogen, Tokyo, Japan, containing 0.01, 0.1, 0.25, and 0.5 mg/mL of the acid-treated SWNT and DNA-SWNT) was added to each well of a 48-well multiplate and then irradiated with NIR (4.0 W/cm², LA-100 IR, Hayashi, Tokyo, Japan). The light tip of the NIR apparatus was located at a distance of 2 cm from the surface of the medium solution in each well. Each medium solution (n = 3) was exposed to NIR light for up to 5 minutes, and the temperature rise of each medium solution and the medium alone were continuously measured using a thermometer (DP-700, RKC, Tokyo, Japan) with a thermo-coupled probe (JB-16, RKC, Tokyo, Japan) as described in the schematic diagram (Figure 1).

Photothermal properties of the SWNT-containing gel (in vivo)
Animal experiments were performed in accordance with the ethical guidelines for animal experiments of Fukuoka Dental College. Heat generation in vivo was measured using 6-week-old C57BL/6 mice. Mixtures of the SWNTs (acid-treated and DNA-SWNTs) and basement membrane matrix (BD Matrigel, BD, USA) with the SWNT concentrations of 0.01, 0.1, 0.25, and 0.5 mg/mL were prepared, and then packed in a pre-cooled syringe before use. This mixture (500 µL) was injected in the back of mice (n = 3) under general anesthesia induced by 2% isoflurane (Abbott Laboratories, Abbot Park, IL, USA) in an air mixture gas flow of 1.0 L/min using an anesthesia gas machine (Anesthesia machine SF-B01, MR Technology, Tsukuba, Japan). After the temperature of the injected SWNT-containing gel equilibrated to the body temperature of the mouse (approximately 30 minutes after the injection), the probe of the thermometer was inserted into the injected gel. The light tip of the NIR apparatus was positioned at a distance of 2 cm from the body surface on the back of the mouse, and then the temperature rise by NIR irradiation was monitored for up to 5 minutes. The measurement was repeated three times for each condition.

Thermal images of the mouse when irradiated by the NIR light were captured by thermography (Thermoshot F30, NEC/Avio, Tokyo, Japan), and the thermal distribution analyzed.
by analysis software (InfRec Analyzer NS9500, NEC/Avio, Tokyo, Japan).

Statistical analysis
A statistical analysis was performed using one-way ANOVA for the in vitro and in vivo heat generations at 5 minutes by the NIR irradiation, followed by Scheffe’s multiple comparison test at the 5% level of significance.

Results
Morphology and structural observation of SWNT
A typical TEM image of the untreated SWNT is shown in Figure 2A, in which a highly bundled SWNT structure is observed. The length of each SWNT could not be identified because the SWNT formed aggregated bundles. Figure 2B shows a TEM image of the acid-treated SWNTs. The acid-treated SWNTs had a well-dispersed nature with shorter lengths (average value was 330 ± 68 nm, randomly calculated from 30 measurements of each SWNT). Figure 2C shows a TEM image of the DNA-SWNTs. There was no obvious morphological difference between the acid-treated SWNT and the DNA-SWNT.

Kuwahara et al21 assumed that the SWNTs are constructed from 74,000 carbon atoms (1.0 nm diameter and 660 nm length). Based on the results, we estimated that the acid-treated SWNTs with a length of 330 nm has 37,000 carbon atoms (molecular weight of approximately 444,000).

Dispersion stability of SWNT in culture medium
The change in transmittance of the culture medium containing the SWNTs is shown in Figure 3. Although the acid-treated SWNT-containing medium rapidly increased the transmittance within 1 hour, suggesting aggregation of the SWNTs, the transmittance of the DNA-SWNT-containing medium did not change even after 48 hours. A microphotograph of the acid-treated SWNT-containing culture medium after 1 hour showed the highly aggregated nature of the SWNT. In contrast, for the medium containing the DNA-SWNT, no aggregated particles were visible (Figure 4).

Binding ratio of SWNT and DNA
From a quantitative analysis of the phosphorous, the DNA-SWNT contained 5.41% phosphorous. The SWNT/DNA binding ratio was calculated based on the following formula22 for the percentage phosphorous:

\[
P(\%) = \frac{pN}{(D + C)} \times 100,
\]

where P(%) is the percent measured phosphorous (5.41%), p is the weight of the phosphorous (18,600) in one mole of DNA, D is the molecular weight of the DNA (198,000), C is the molecular weight of the acid-treated SWNTs (444,000), and N is the number of DNA molecules bound to each acid-treated SWNT molecule. When solved for N, the number of DNA molecules bound to the acid-treated SWNTs was calculated to be 3.1. We estimated that approximately three molecules of DNA (300 bp) were bound to one acid-treated SWNTs, and the interaction between the DNA and the acid-treated SWNT would improve the dispersion stability.

In vitro exothermic properties
Thermal generation was observed during the NIR irradiation. The temperature of culture medium containing the acid-treated SWNTs was increased by prolonging the irradiation time (Figure 5A). With 5 minutes irradiation, the temperature of the
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Culture medium containing the acid-treated SWNT (0.5 mg SWNT/mL) reached 63°C from 28°C (temperature before irradiation), a 35°C temperature change, while the culture medium alone showed only a 14°C temperature rise. There were significant differences among the mediums containing the SWNTs (0.01, 0.1, 0.25, and 0.5 mg SWNT/mL) and control ($P < 0.05$). However, the acid-treated SWNT-containing medium (0.1, 0.25, and 0.5 mg/mL of SWNTs) showed indistinguishable temperature-irradiation time curves except for the lower (0.01 mg/mL) SWNT one.

The DNA-SWNT-containing medium showed a maximum temperature rise of 38°C after 5 minutes of irradiation (Figure 5B). There were significant differences between the temperature of medium containing DNA-SWNT and that without the SWNT after 5 minutes, except for the samples with a 0.01 mg/mL SWNT concentration and medium only ($P < 0.05$). The temperature rise of the medium containing the DNA-SWNTs was clearly dependent upon the SWNT concentration.

**In vivo exothermic properties**

The heat generation of the SWNT (acid-treated SWNT and DNA-SWNT)-containing gel in the back of the mouse is shown in Figure 6. For the acid-treated SWNT sample with a 5-minute irradiation period, there was no significant

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**Figure 3** Change in transmittance (%) of the culture medium containing the acid-treated SWNTs (A) and the DNA-SWNTs (B). **Abbreviation:** SWNT, single-walled carbon nanotube.

**Figure 4** Typical microscopic images of culture medium containing the acid-treated SWNT (A) and the DNA-SWNTs (B).

**Notes:** Scale bars = 100 mm. These images were observed at 5 minutes after the mixture of the acid-treated SWNT (or DNA-SWNT), and medium was prepared using a microscope (TS-100, Nikon, Tokyo Japan).

**Abbreviation:** SWNT, single-walled carbon nanotube.

**Figure 5** In vitro exothermic heat generation of the acid-treated SWNTs (A) and the DNA-SWNTs (B). The heat evolution was dependent on the exposure time of the near-infrared light.

**Abbreviation:** SWNT, single-walled carbon nanotube.

**Figure 6** In vivo exothermic heat generation of the acid-treated SWNT (A) and the DNA-SWNT (B).

**Abbreviation:** SWNT, single-walled carbon nanotube.
difference ($P > 0.05$) between the 0.01 mg/mL sample and control (without SWNT). However, there were significant differences between the SWNT samples (0.1, 0.25, and 0.5 mg/mL) and control.

The DNA-SWNT-containing gel with a 5-minute irradiation, except for the 0.01 mg/mL sample and the control (not significant, $P > 0.05$), showed a significant concentration-dependent temperature rise among the control, 0.1, 0.25, and 0.5 mg/mL DNA-SWNT groups ($P < 0.05$), while the gel containing the acid-treated SWNTs did not show such an obvious profile. Although the peak temperature was obtained after the 5-minute irradiation at the concentration of 0.5 mg/mL for both the acid-treated and the DNA-SWNT, there was no difference between these SWNT types ($P > 0.05$). The results of multiple comparison test at the 5% level of significance of the peak temperature of each sample with a 5-minute irradiation was summarized in Table 1.

Thermal images of the mouse injected with the gel when NIR irradiated for 3 minutes are shown in Figure 7. For the injected gel alone (Figure 7A), the thermal image revealed a thermal distribution of approximately 39°C in the irradiated area. In contrast, the thermal image of mouse injected with the gel containing the acid-treated SWNT (Figure 7B) and the DNA-SWNT (Figure 7C) (0.5 mg/mL) showed a higher thermal distribution (above 50°C).

**Discussion**

Our strategy is that the SWNT could be used as an exothermic molecular device for hyperthermia cancer therapy. For attaining a good performance by the SWNT-based heating device, two main approaches should be discussed: 1) to improve the solubility for the stable and good dispersibility of the SWNT in body fluids, and 2) to obtain a selective targeted cell attaching ability of the SWNTs by surface functionalization. Based on these requirements, as a first step, we investigated the exothermic heat generation of the acid-treated and the DNA-SWNT using NIR irradiation.

As can be seen in Figure 2A, the native SWNT has a strong tendency to bind together and aggregate due to their van der Waals attractive forces, and this aggregate structure makes the SWNT insoluble in aqueous solution.\(^2\) It has been shown that the acid treatment of CNTs with sulfuric acid and nitric acid opens up the aggregate structure, reduces the CNT length, and facilitates dispersion.\(^3\) The TEM image in Figure 2B revealed that the acid-treated SWNT formed a well-dispersion in aqueous solution with a shorter length.

Several researchers have reported the potential use of SWNTs for cancer treatment by exothermal generation induced by NIR laser irradiation.\(^3\)–\(^5\) Kam et al\(^5\) reported that continuous NIR irradiation can cause cell death due to excessive local heating of the SWNTs in vitro. They suggested that if the SWNTs can be selectively internalized into cancer cells with specific tumor markers, NIR irradiation of the SWNT can selectively trigger thermal cell death without harming normal cells. Zhou et al\(^6\) prepared the conjugate of the SWNTs and folate moiety, which selectively internalized the SWNT inside cells labeled with folate receptor tumor markers. The SWNT-folate conjugate enhanced the photothermal destruction of tumor cells (EMT6, mammary tumor cell) by a 980-nm NIR laser irradiation. In these studies, the NIR laser was used as the light source because the SWNT has a strong optical absorption in the visible and NIR ranges. We used a halogen lamp unit as the NIR light source with a wavelength range from 820 to 1100 nm (cutoff light below 800 nm wavelength using an optical filter). Because the SWNT has a strong optical absorbance in the NIR region, a light source with the wavelengths from 820 to 1100 nm would have the potential for heat generation. Our in vitro and in vivo data suggested that the halogen light source generates heat induced by the SWNTs. Biological tissues exhibited a deep penetrability with a very low absorption of NIR in the wavelength range of 700–1100 nm.\(^2\) For in vivo measurement, the gel containing SWNT was injected beneath the mouse epidermis. The thickness of the mouse epidermis was approximately 0.5 mm. Irradiated NIR light

**Table 1 Results of the statistical analysis for the exothermic heat generation**

| In vitro | In vivo |
|----------|---------|
| **Acid-treated SWNT** | **DNA-SWNT** | **Acid-treated SWNT** | **DNA-SWNT** |
| 0.5 mg SWNT/mL | 0.5 mg SWNT/mL | 0.5 mg SWNT/mL | 0.5 mg SWNT/mL |
| 0.25 mg SWNT/mL | 0.25 mg SWNT/mL | 0.25 mg SWNT/mL | 0.25 mg SWNT/mL |
| 0.1 mg SWNT/mL | 0.1 mg SWNT/mL | 0.1 mg SWNT/mL | 0.1 mg SWNT/mL |
| 0.01 mg SWNT/mL | 0.01 mg SWNT/mL | 0.01 mg SWNT/mL | 0.01 mg SWNT/mL |
| 0 mg SWNT/mL | 0 mg SWNT/mL | 0 mg SWNT/mL | 0 mg SWNT/mL |

**Notes:** Each group represents the temperature after 5 minutes near-infrared irradiation of in vitro (Figure 5) and in vivo (Figure 6). Groups connected by bracket were significantly different ($P < 0.05$).
penetrated the epidermis of 0.5 mm thickness and generated exothermic heating of SWNTs. In our project, the SWNT hyperthermia therapy is targeted to tumors of intraoral tissue such as tongue, gingiva, and oral mucosa. Therefore, higher penetration ability of NIR light to tumors located in fairly deep portion beneath epidermis is not required. However, the effect of tissue thickness on thermal generation by using the halogen light source was not clear. Further investigations for this issue were needed. The heat generation in the non-SWNT area would have a noninvasive effect on the biological tissues during the halogen light NIR exposure. Based on the results of the current study, the halogen light unit would be an efficient light source for clinical use.

To use SWNTs for in vivo cancer treatment, a stable dispersion of the SWNTs in the body fluids is highly desirable. Aggregation of the SWNTs in the body fluid would hinder the smart delivery of the SWNTs to the tumors. In this study, we prepared the acid-treated SWNTs by treatment with sulfuric acid and nitric acid. However, as shown in Figure 4, when the acid-treated SWNTs were mixed with cell culture medium, the SWNT rapidly aggregated and black-colored precipitates were formed within 5 minutes. These precipitates suggested that the anionic carboxylic acid group formed on the surface of the solubilized SWNT may be used as conjugation sites to link other ligands to the SWNT surface using a carbodiimide reaction. The DNA-SWNT prepared in this study showed an exothermic generation by NIR irradiation as did the acid-treated SWNTs. A concentration-dependent heat generation was clearly observed for the in vitro and in vivo measurements (Figures 5B and 6B). This result would be mainly due to the well dispersed nature of the DNA-SWNT in the culture medium and the injected gel.

Based on these results, the functionalization with DNA would be a useful approach to improve the low dispersibility of the acid-treated SWNTs in body fluids. Furthermore, the carboxylic acid groups formed on the surface of the solubilized SWNT may be used as conjugation sites to link other ligands to the SWNT surface using a carbodiimide reaction. The results of this study hinted that if the DNA/SWNT can be modified by the specific antibody of tumor cells, the DNA/SWNT can selectively bind to the targeted cells and act as a heating device for hyperthermia therapy. The next stage of our study is to prepare the complex of the DNA/SWNT and the antibody, and to evaluate the selective binding ability, biodistribution, toxicity, and thermal generation of the complex in vitro and in vivo.

In summary, we have described the exothermic generation of acid-treated SWNTs by NIR irradiation. The results of this study suggested that the acid-treated SWNTs could be used as a hyperthermic molecular device for cancer treatment. Although, aggregated precipitations were formed in the culture medium for acid-treated SWNTs, this lower
disperability was improved by functionalization with DNA (SNA-SWNT). The DNA-SWNT showed a controlled heat generation with the SWNT concentration, and would be an appropriate heating device by NIR irradiation in the body fluids.

Disclosure
The authors report no conflicts of interest in this work.

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