ELISA validation approach for the detection of anti-\textit{Saccharomyces cerevisiae} antibodies in patients treated with biopharmaceutical Heberprot-P®

**Abstract**

This work describes the validation of an enzyme-linked immunosorbent assay (ELISA) for detection of anti-\textit{Saccharomyces cerevisiae} antibodies (ASCA) in diabetic patients treated with Heberprot-P®. Validation followed regulatory guidelines of US FDA and European Medicine Agency. Minimum required dilution of control samples, quality control, parallelism, and recovery were defined using pools of sera from diabetic patients and from healthy donors. Parameters such as cut point, specificity, precision, selectivity, robustness and sample stability were analyzed. The repeatability and intermediate precision percent ranged between 7.93-10.61% and 7.93-11.43 %, respectively, indicating low intra- and inter-assay variation. The specificity was proved by background noise suppression, reaching 100% of inhibition as strong criterion for the specificity of the immunoassay. The validated ELISA is a reliable tool for ASCA detection in human serum after the administration of Heberprot-P®, in order to find immunological reactions associated with latent contamination by host cell proteins from \textit{Saccharomyces cerevisiae}.

**Keywords:** anti-\textit{Saccharomyces cerevisiae} antibodies, diabetic patients, ELISA validation, serum samples

**Abbreviations:** ELISA, enzyme-linked immunosorbent assay; ASCA, anti-\textit{Saccharomyces cerevisiae} antibodies

**Introduction**

\textit{Saccharomyces cerevisiae} is the most widely used yeast for recombinant protein production. This single-cell eukaryotic organism possesses the advantages of bacteria and eukaryotes: it is easy to culture, grows rapidly, can be grown to high productivity, can ensure proper protein folding and post-translational modifications and can secrete the product to the extracellular medium which simplifies purification. Moreover, as a generally recognized as safe organism, free of pyrogens also makes \textit{S. cerevisiae} a favorable expression system for biopharmaceuticals. Even though \textit{S. cerevisiae} is a good platform for biopharmaceuticals production, during the manufacture of such products, host cell-derived material will inevitably be introduced into the process stream. Such contamination can result in undesirable immunological reactions, by generating anti-\textit{Saccharomyces cerevisiae} antibodies (ASCA) in patients that have been treated with the biopharmaceutical. ASCA including immunoglobulin IgG and IgA, which appear to be specifically directed against mannose sequences of mannan present in the cell wall of \textit{S. cerevisiae}. At present, ASCA is one of the most commonly used serologic antibody markers for diagnosis of inflammatory bowel diseases.\cite{5,6} In addition, \textit{S. cerevisiae} is common yeast found in various foods; consequently ASCA can appear in healthy persons too. For that, it is very important to know the serum levels of ASCA in the patients, pre- and post-treatment with any biopharmaceutical obtained from \textit{S. cerevisiae} expression system, in order to recognize the ASCA associated with the bioproduct.

Enzyme-linked immunosorbent assay (ELISA) is frequently utilized for immunogenicity recognition. The current available ELISA methods for the detection of ASCA agree well but differ greatly in the interpretation of results. It is clear that cut points have been chosen for different purposes, lipemic and hemolytic samples should not be used or have been used with cautions, and the thermostability of the samples is not the same in different ASCA assays.\cite{4} In addition, commercial ELISA kits are expensive and not always readily available. Particularly for the clinical studies related with Heberprot-P®, a biopharmaceutical produced by \textit{S. cerevisiae}, with direct effects on granulation and epithelialization of diabetic foot ulcers, it’s still necessary the validation of an ELISA for the intended purpose of ASCA detection in human serum after the administration of this biopharmaceutical, as a reliable method to detect immunological reactions associated with latent contamination by host cell proteins from yeast. The aim of this work was to validate an ELISA for the detection of ASCA in serum from diabetic patients with foot ulcers after the treatment with Heberprot-P®. Validation parameters suggested by regulatory guidelines of US Food and Drug Administration\cite{7} and European Medicine Agency\cite{8} were assessed. As the percent of inhibition to validate the specificity has not been specified for guidelines, this work included a method to eliminate the possible background noise that can affect the percent of inhibition, in order to consider a percent close to 100% as a strong criterion that supports the specificity of the immunoassay.

**Materials and methods**

**Origin of human serum**

Individual human serum samples obtained from 30 healthy donors and pools of sera from 30 diabetic patients with foot ulcer, before and after the treatment with Heberprot-P®, were used for the validation protocol. All sera were stored at −20°C until use.

**Enzyme-linked immunosorbent assay**

Polystyrene 96-well microtiter plates (Costar™ High Binding 3590), were coated with 10 μg/mL of the antigen (Reference Material
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of contaminants from S. cerevisiae as host cell for Recombinant Epidermal Growth Factor, lot 03SFD16002N, CIGB, Havana, Cuba) in 100 μL/well coating buffer (phosphate-buffered saline, pH 7.2) and incubated 1h at 37°C. Wells were washed four times with 350 μL/well washing buffer (0.292 M Tris (hydroxyethyl) aminomethane, 0.228 M HCl (37%), 3.75 M NaCl and 1.25 % Tween-20, pH 8.0) and blocked with 350 μL/well blocking buffer (washing buffer with 1% nonfat dried milk powder, pH 8.0) 1h at 37°C. The plate was washed once with washing buffer and 100 μL of quality controls and serum samples diluted with blocking buffer were added to wells and incubated 1h at 37°C. Plates were washed four times and 100 μL anti-human polyclonal alkaline-phosphatase-conjugated (Sigma; 1:5000 in blocking buffer) was added to each well and incubated (1h, 22-25°C). After four washes, 100 μL/well 1.0 mg/mL p-nitrophenyl phosphate, 1.0 M di-ethanolamine and 0.5 mM MgCl₂, pH 9.8, was added and incubated 30 min at 28°C in the dark. Color development was stopped by adding 100 μL/well of 0.1 M di-sodium EDTA. Absorbance was measured at 405 nm (A

Minimum required dilution (MRD)

The MRD was determined as the minimum dilution that can maximize the difference between the A

Three quality controls (QCs) were prepared with a pool of sera from healthy donors diluted with blocking buffer as follows:

Negative control (NC): Pool of sera diluted at MRD and pre-incubated with 100 μg/mL of the antigen used for coating, 1h at (22-25) °C.

High Positive Control (HPC): Pool of sera diluted 1:20

Low Positive Control (LPC): Pool of sera diluted 1:75.

Once the QCs were prepared, the next step was to determine the range of acceptable values for them. QCs were studied in triplicates by two analysts in six independent runs, over three different days each one. Outliers were identified by the Box Plot method and removed from the runs. The NC compiled the acceptance criterion if it did not exceed 20% within the framework of a nested ANOVA. Intra- and inter-assays precision, expressed as percent of coefficient of variation (%CV) at each concentration level, should not exceed 20%, and was calculated according to the formula:

\[ \%CV_{\text{intra-assay}} = \frac{\sqrt{\sum (\text{assay} - \text{mean})^2}}{\text{mean}} \times 100 \]

\[ \%CV_{\text{inter-assay}} = \frac{\sqrt{\sum (\text{assay} - \text{mean})^2}}{\text{mean}} \times 100 \]

Selectivity

Selectivity was evaluated by testing two types of samples: eight individual hemolytic sera and eight individual lipemic sera, diluted at MRD. Serial two-fold dilutions, from 1:20 to 1:2560, were performed in duplicates for each individual serum, for the HPC and for each serum spiked with the HPC. Absorbance of individual sera, HPC and spiked sera, were estimated at MRD by plotting A

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Sample was considered not interfering if the percent of recovery was between 80 and 120%.

Robustness

There were made small changes in critical parameters of the ELISA procedure: variation in ±5 min the plate incubation times for antigen coating, for QCs and for anti-human polyclonal alkaline-phosphatase-conjugated; variation in ±0.2 mg/mL the substrate concentration. All treatments were assayed by triplicates. The robustness was proved by the fulfilling of the QCs confidence intervals.

Stability of samples and quality controls

Since the QCs and samples are of the same species, the stability of both was proved by the fulfilling of the QCs confidence intervals (99%). Short-term stability (6 hours at room temperature (20-25 °C and 6 days at (2-8) °C) and freeze–thaw stability (six freeze–thaw cycles), were tested using the QCs acceptance limits. For the evaluation of freeze–thaw stability, aliquots of each QC were thawed unassisted at room temperature. When completely thawed, the aliquots were refrozen at -20°C for at least 20 hours. This freeze-thaw cycle was repeated five more times.

Statistical analysis

Statistical analyses were performed using Microsoft® Office Excel (2010) and the Statistical Package for Social Science 15.0. Curve fitting were achieved using Sigma Plot 12.

Results and discussion

Minimum required dilution

The MRD is the dilution that yields a signal close to the signal of non-specific binding of assay diluent. High incidences of pre-existing ASCA are commonly found in healthy people sera, and it is difficult to obtain a suitable number of true negative samples and consequently to find an MRD ranged from 1:5 to 1:100 as recommended by FDA. Taking into account this inconvenience, in the present work the MRD was determined as the minimum dilution that maximizes the difference, with the minimal photometric error, between the A(405 nm) of a pool of sera from diabetic patients, with foot ulcer before treatment with Heberprot-P®, and the A(405 nm) of a pool of sera from healthy donors. Five 1:2 serial dilutions were performed in both pools of sera. Dilution factor versus A(405 nm) were plotted using a logistic regression of five parameters (Figure 1). In the Figure 1A, a dilution factor of 500 was obtained by interpolating A(405) = 0.432 as the minimal photometric error. Then, this dilution factor was interpolated in the curve corresponding to the pool of healthy donors and it was obtained A(405 nm) = 0.077. The ratio of both A(405 nm) values was 5.6. Subsequently, this ratio was calculated using the A(405 nm) of both pools obtained with 100 as dilution factor and the result was 4.1, a value 1.4 fold-low than the ratio obtained with 500 as dilution factor. This result proved the suitability of the use of 1:500 as MRD, because it maximized the difference between the A(405 nm) of a pool of sera from diabetic patients with foot ulcer before treatment with Heberprot-P®, the target population, and the A(405 nm) of a pool of sera from healthy donors.

Quality controls confidence intervals

As S. cerevisiae is common yeast found in various foods, ASCA can appear in healthy persons and is very difficult to find a serum without ASCA as negative control. As novelty for this work is the use of a negative control, prepared with a pool of sera diluted with blocking buffer at MRD and pre-incubated with 100 µg/mL of the antigen used for plate coating. The purpose of obtaining 36 data points by running each QC was to quantify normal variation and establish the one-sided confidence interval (99%) for negative QC and the two-sided confidence intervals (99%) for low and high positive QCs (Table 1). Three outliers were identified by the Box Plot method and were not included when calculating the confidence intervals. These intervals will be used to monitor the performance and acceptability of the ELISA during subsequent validation parameters and clinical assays.

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Table 1 Definition of the confidence intervals for the quality controls of the ELISA

| Quality   | One-sided confidence interval (99%) | Two-sided confidence interval (99%) |
|-----------|-------------------------------------|-----------------------------------|
| Control   | log (mean A405nm) t (0.01; 10 DF) SD | 0.052 0.03 |
| NC        | -1.669 2.764                        |                                  |
| LPC       | 1.537 3.106                         | 0.056 low: 23.12; high: 51.22   |
| HPC       | 1.88 3.106                          | 0.052 low: 52.24; high: 110.21  |

NC, negative control; LPC, low positive control; HPC, high positive control

A405nm, Absorbance measured at 405 nm; SD, Standard deviation; DF, degrees of freedom

PC/NC, ratio A405nm of positive control/A405nm of negative control

One-sided confidence interval (99%) for NC, mean (logA405nm) + t (0.01; DF) x SD

Two-sided confidence interval (99%) for LPC and HPC: mean (log PC/NC)±t (0.01; DF) x SD

Cut-point

In determining the CP, it is important to use test samples as similar as possible to the study samples. In the present assay, the CP was established by analyzing individual human serum samples from diabetic patients with foot ulcer before treatment with Heberprot-P®, which are the study samples. The logarithm of the ratio S/N was used as variable; 42 outliers obtained from five consecutive iterations were removed, and 162 values of S/N remained for the determination of CP. The Shapiro–Wilk test (p≥0.05) demonstrated the normality of log S/N data. The p-value from the Levene test was 0.95, suggesting that the variances across assay runs were not significantly different at alpha=0.05 significance level. The single factor ANOVA demonstrated that means were also not significantly different (p=0.97) between runs. These results sustained the use of a fixed cut point for the assay. The media of log-transformed S/N was 0.45, and the standard deviation was calculated by restricted maximum likelihood method within the framework of a nested ANOVA. The components of variance were the ‘analyst’ and ‘day’ factors, but the factor ‘error’ was exclusively responsible for variance (Table 2). Substituting the mean value and the standard deviation into the formula log PC=media (log S/N) + 2.33xSD, a cut point of 15.57 was obtained.

Table 2 Estimation of standard deviation of log-transformed S/N by restricted maximum likelihood method within the framework of a nested ANOVA

| Variance components | Estimation |
|---------------------|------------|
| Analyst             | 0.00       |
| Day (Analyst)       | 0.00       |
| Error               | 0.10       |
| Total Variance      | 0.10       |
| Standard Deviation  | 0.32       |

S/N, Ratio (A405nm of each sample)/(A405nm of negative control)

Specificity

The specificity of an antibody refers to its ability to bind to the antigen of interest, but not to other assay components such as surfaces or reagents. A straightforward approach to addressing specificity is to demonstrate that binding can be blocked by soluble antigen in the inhibition step, as performed in the present study using a pool of sera from diabetic with foot ulcer before the treatment with Heberprot-P®. The possible background noise that can affect the percent of inhibition, was assessed by placing the inhibited and non-inhibited specimens in the ELISA plate coated with the antigen and blocked, and in the plate without coating but blocked. It was demonstrated that the method exhibited background noise affecting the specificity, possibly due to the blocking reagent, in which the non-specific inhibition percentage was 3.23% (Table 3). Background noise was suppressed by using the difference of signal obtained with coated and non-coated plates, to determine the percent of inhibition, which was close to 100% (Table 3). Taking into account the background suppression, the ELISA was considered specific.

Table 3 Evaluation of the specificity of the ELISA

| Coated plate | Non-coated plate | Difference coated – Non-coated |
|--------------|------------------|-------------------------------|
| A405nm inhibited sample | 0.029 | 0.03 | -0.001 |
| A405nm non-inhibited sample | 0.287 | 0.031 | 0.256 |

% Inhibition =100 – [(A405nm inhibited sample) / (A405nm non-inhibited sample)] x 100

Values of absorbance (A405nm) represent the mean of three replications.

Some discrepancies are marked when assessing specificity for ligand binding assays. In FDA guidelines, chapter of specificity is not given. Description related to specificity is contained in chapter of selectivity briefly and not in detail. The distinctions between the agencies concern sample concentrations, type of matrix, and concentration of interfering molecules, but the acceptance criterion for the percent of inhibition has been not specified for regulatory guidelines. The use of subjective criteria, such as ≥50% inhibition of signal, is discouraged. We consider that a percent of inhibition close to 100% is a strong criterion that supports the specificity of the immunoassay. To achieve this, it is necessary to guarantee the optimal conditions for the antigen-antibody reaction during the inhibition step, and to eliminate background noise as has been done in the present work.

Precision

The intra-assay precision (repeatability) expresses the precision under the same operating conditions over a short interval of time. The
inter-assay precision (intermediate precision) validation verifies that in the same laboratory the method will provide the same results once the development phase is over. For our experimental conditions, the repeatability and intermediate CV precision percent ranged between 7.93-10.61% and 7.93-11.43%, respectively (Table 4) indicating low intra- and inter-assay variation. These results indicated that the ELISA fulfills the specifications for precision, in accordance with guidelines for the validation of analytical procedures. The precision was sufficient to allow the direct comparison of samples processed by two analysts at different days.

Table 4 Determination of precision intra- and inter-assays in six independent runs

| Sample | Variance Components | CV (%) |
|--------|---------------------|--------|
|        | Sample | Analyst | Day (Analyst) | Error | Intra-assay | Inter-assays |
| NC     | 0.000650 | 0.000131 | 0.004859 | 10.61 | 11.43 |
| LCS    | 0.000000 | 0.000595 | 0.00317 | 8.56 | 9.33 |
| MCS    | 0.000000 | 0.000000 | 0.003093 | 8.45 | 8.45 |
| HCS    | 0.000000 | 0.000000 | 0.002724 | 7.93 | 7.93 |

NC, negative control; LCS, sample with low ASCA concentration; MCS, sample with medium ASCA concentration; HCS, sample with high ASCA concentration

S/N: Ratio (A_{405nm} of each sample)/(A_{405nm} of NC)

%CV intra-assay = [\sqrt{10^{(variance of error)}} – 1] × 100

%CV inter-assays = [\sqrt{10^{(\sum variance components)}} – 1] × 100

Selectivity

Selectivity is the ability of an analytical method to detect and differentiate the analyte in the presence of other components in the sample. In the present study, selectivity was assessed using two kinds of samples: hemolytic and lipemic sera, since the most common nonspecific interferences in ELISA assays are due to hemolysis and lipemia. According to the FDA and EMA guidelines, individual matrices for human should be spiked with a standard at or near the low limit of quantification to evaluate selectivity. In our experiments, a standard with known concentration of ASCA did not exist and it was necessary to apply a different method to evaluate the recovery in possible interfering samples. The method was based on serial dilutions of three types of specimens: individual serum samples (lipemic or hemolytic), the HPC and the mixture of both. Data of A_{405nm} obtained from each specimen were plotted versus dilution factor using a logistic regression of five parameters. The value of A_{405nm} at MRD was obtained by interpolation and it was used for calculating the percent of recovery in each probable interfering sample. The percent of recovery for each individual serum sample was in the acceptance range (Table 5), and it signified that the absorbance of the individual serum spiked with HPC was practically equivalent to the sum of the absorbances of individual serum and HPC, evaluated by separate. Thus, hemolysis and lipemia did not interfere in the capacity of the ELISA to detect the ASCA in serum samples.

Table 5 Evaluation of the selectivity of the ELISA by recovery estimation

| Code for individual serum samples | A405nm obtained by interpolation at MRD |
|-----------------------------------|----------------------------------------|
| Individual serum sample | HPC | Serum samples spiked with HPC | %R |
| H1 | 0.136 | 0.149 | 0.261 | 91.67 |
| H2 | 0.158 | 0.196 | 0.321 | 90.5 |
| H3 | 0.151 | 0.187 | 0.306 | 90.47 |
| H4 | 0.146 | 0.16 | 0.278 | 90.6 |
| H5 | 0.191 | 0.208 | 0.349 | 87.42 |
| H6 | 0.17 | 0.21 | 0.342 | 89.83 |
| H7 | 0.142 | 0.178 | 0.295 | 92.15 |
| H8 | 0.162 | 0.2 | 0.327 | 90.49 |
| L1 | 0.017 | 0.149 | 0.142 | 85.94 |
| L2 | 0.173 | 0.196 | 0.329 | 86.69 |
| L3 | 0.165 | 0.187 | 0.312 | 88.7 |
| L4 | 0.022 | 0.16 | 0.154 | 84.34 |
| L5 | 0.042 | 0.208 | 0.2 | 80.02 |

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| Code for individual serum samples | Individual serum sample | HPC | Serum samples spiked with HPC | %R |
|-----------------------------------|-------------------------|-----|-----------------------------|----|
| L6                                | 0.186                   | 0.21| 0.348                       | 87.93 |
| L7                                | 0.156                   | 0.178| 0.3              | 90.04 |
| L8                                | 0.176                   | 0.2  | 0.333                       | 88.56 |

H1 to H8, eight individual hemolytic serum samples; L1 to L8, eight individual lipemic serum samples; HPC, high positive control; %R, percent of recovery; MRD, minimal required dilution (1:500)

\[
A_{405nm} = \left( \frac{A_{405nm} \text{ (individual serum sample spiked with HPC)}}{A_{405nm} \text{ (individual serum sample)}} \right) \times 100
\]

### Robustness

The complexity of bioassays makes them particularly susceptible to variations in assay conditions, and it is essential to evaluate the robustness, assessed by the capacity of the assay to remain unaffected by small but deliberate variations in method parameters. For example, changes in temperature, incubation times, reagent lots and buffer characteristics. The robustness of this assay was evaluated by varying the incubation time in ±5min for antigen coating, QCs and anti-human polyvalent alkaline-phosphatase-conjugated; and by changing the substrate concentration in ± 0.2 mg/mL. All these variations did not alter the acceptability of QCs; the runs were accepted as “in-control” (Figure 2). This was a confirmation of the capability of the ELISA to remain unaffected by small deliberate changes in method parameters, and it provided an indication of its reliability during normal run conditions.

Figure 2 Evaluation of the robustness of the ELISA (treatments 1 to 4) and the stability of serum samples (treatments 5, 6 and 7) by the fulfilling of (A) negative and (B) positive quality controls confidence intervals. Data represents the mean of three replications. Treatments: (1) variation in -5 min the plate incubation times for antigen coating, for quality controls and for anti-human polyvalent alkaline-phosphatase-conjugated; (2) variation in +5 min the plate incubation times for antigen coating, for quality controls and for anti-human polyvalent alkaline-phosphatase-conjugated; (3) variation in -0.2 mg/mL the substrate concentration; (4) variation in +0.2 mg/mL the substrate concentration; (5) 6 hours at room temperature (20-25)ºC; (6) 6 days at (2-8)ºC; (7) 6 freeze-thaw cycles. Confidence intervals (99%) for quality controls:

\[
A_{405nm} \text{NC} \leq 0.030 \leq 22.12 \leq \text{LPC/NC} \leq 51.22 \leq \text{HPC/NC} \leq 110.21.
\]

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Stability of samples and quality controls

Frozen serum material is usually stable for a long time, but its stability should be monitored over time because the assay lifetime can be very long. Determination of the number of freeze/thaw cycles, storage times and temperatures should be based on the expected handling and storage of the serum samples. If QCs have been prepared in the same matrix as the study samples, the use of QCs with high and low levels of antibody evaluates the stability of samples. In the present study, the negative and positive quality controls were applied for the stability assessment. For the evaluation of the stability, QCs were submitted at temperature conditions commonly used for the processing and storing of samples during the ELISA. The results of stability assessments confirmed that QCs were stable in all tested conditions, since all the measures were into the confidence intervals (99%) (Figure 2). The stability of QCs with high and low concentration of ASCA reflected the stability of the study samples, because the QCs and samples are of the same species.

Conclusion

An ELISA for the detection of ASCA in patients treated with Heberprot-P® was validated according to published guidelines. Positive and negative quality controls were prepared and their acceptance limits with 99% confidence were established to monitor the acceptability of the ELISA. As _Saccharomyces cerevisiae_ is common yeast found in various foods, ASCA can appear in healthy persons and is very difficult to find a serum without ASCA as negative control. As novelty for this work is the use of a negative control, prepared with a pool of sera diluted with blocking buffer at MRD and pre-incubated with 100 µg/mL of the antigen used for plate coating. Since some discrepancies are marked when assessing specificity for ligand binding assays, the specificity was proved by a method of background noise suppression, reaching 100% of inhibition as strong criterion that supports the specificity of the immunobosy. The validation revealed that this ELISA is precise and robust to changes in incubation times and in substrate concentration. The stability of quality controls at short-term temperature and in freeze–thaw cycles was demonstrated, and it reflected the stability of the samples, since the quality controls and samples are of the same species. The validated ELISA is a reliable tool for ASCA detection in human serum after the administration of Heberprot-P®, in order to find immunological reactions associated with latent contamination by host cell proteins from _Saccharomyces cerevisiae_.

Acknowledgments

None.

Conflicts of interest

The authors declare that they have no potential conflict of interests.

Funding details

The Center for Genetic Engineering and Biotechnology (CIGB) is a Cuban institution of a dynamic development which has reached a high level of research and development of biopharmaceuticals (www.cigb.edu.cu).

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