The spin density matrix of top quark pairs produced in electron-positron annihilation including QCD radiative corrections

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Abstract

We calculate the spin density matrix of top quark pairs for the reaction $e^+e^- \rightarrow t\bar{t}X$ to order $\alpha_s$. As an application we show next-to-leading order results for a variety of spin observables for the $t\bar{t}$ system. These include the top quark and antiquark polarizations and $t\bar{t}$ spin-spin correlations as a function of the center-of-mass energy and of the top quark scattering angle for arbitrary longitudinal polarization of the electron/positron beam.

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I. INTRODUCTION

Among the six known quark flavours known to date, the top quark is of particular interest: Its large mass implies that very high energies are involved in the production and decay of this particle, which in turn allows for tests of the fundamental interactions at these high energy scales. Moreover, the interactions of the top quark can be studied in greater detail than those of the lighter particles since the top quark essentially behaves like a free, but extremely short-lived particle. With a mass of $m \approx 175$ GeV, the lifetime of the top quark is about $5 \times 10^{-25}$ seconds. This short lifetime effectively cuts off the long distance QCD dynamics. In particular, the top quark polarization is not diluted by hadronization and thus becomes an additional observable to test perturbative QCD, or, more generally, short distance physics.

An ideal machine to study the properties of top quarks in detail would be a high-luminosity, high-energetic $e^+e^-$ linear collider. The physics potential of such a machine is described for example in [1]. We just mention here that at center-of-mass energies in the range $\sqrt{s} = 400 - 1000$ GeV, an annual yield of the order of $10^5$ top quark pairs may be expected.

For the process $e^+e^- \rightarrow t\bar{t}X$, the production cross sections for longitudinally [2] and transversely [3] polarized top quarks are known to order $\alpha_s$. The correlations between the spins of top quarks and antiquarks have been studied extensively in leading order [4]. The longitudinal spin-spin correlations have also been calculated in next-to-leading order (NLO) [5][6]. Polarization phenomena in top quark pair production near threshold have been investigated in [7].

A convenient theoretical framework to discuss spin phenomena is the concept of the spin density matrix, and the main objective of this paper is to present results for the full spin density matrix of the $t\bar{t}$ system to order $\alpha_s$. This allows for a systematic study of spin effects.
in $e^+e^- \rightarrow t\bar{t}X$. For phenomenological applications, our results should be supplemented by the decay matrices at NLO for the different $t$ and $\bar{t}$ decay channels [8,9].

An alternative approach to the analysis of spin effects in top quark production and decay is the computation of the relevant helicity amplitudes. This was accomplished at next-to-leading order in [10], where also a Monte Carlo event generator for the case of semileptonic $t\bar{t}$ decays was constructed.

The outline of the rest of this paper is as follows. We start in section II by introducing the spin density matrix formalism and apply it to the reaction $e^+e^- \rightarrow t\bar{t}$ at leading order. In section III we compute the QCD radiative corrections to the results of section II. Section IV contains numerical results for a variety of spin observables. We exhibit their dependence on the c.m. energy and on the top quark scattering angle and further study the effects of electron beam polarization.

II. KINEMATICS AND LEADING ORDER RESULTS

In this section we review some basic kinematics and the concept of the spin density matrix formalism. To set up the notation, we start with a closer look at the amplitude for the process

$$e^+(p_+)e^-(p_-) \rightarrow (\gamma^*,Z^*) \rightarrow t(k_t)\bar{t}(k_{\bar{t}})X,$$  

(II.1)

where $e^-(e^+)$ denotes an electron (positron) and $t(\bar{t})$ describes a top (anti-) quark with mass $m$. We work in leading order in the electroweak coupling and in next-to-leading order in the strong coupling $\alpha_s = g_s^2/(4\pi)$. To this order the unspecified rest $X$ can be only a gluon. The amplitude for the reaction (II.1) can be written in the following form:

$$T_{fi} = \frac{4\pi\alpha_s}{s} \left\{ \chi(s) \bar{v}(p_+)(g_5^c\gamma_\mu - g_5^c\gamma_\mu\gamma_5)u(p_-)(g_0^T V^\mu - g_0^A A^\mu) + \bar{v}(p_+)\gamma_\mu u(p_-)(-Q_t V^\mu) \right\}. $$

(II.2)
In (II.2), \( s = (p_+ + p_-)^2 \), \( Q_t \) denotes the electric charge of the top quark in units of \( e = \sqrt{4\pi\alpha} \), and \( g^T_f \), \( g^A_f \) are the vector- and the axial-vector couplings of a fermion of type \( f \), i.e.

\[
g^T_f = T^f_3 - 2 Q_f \sin^2 \vartheta_W, \quad \text{and} \quad g^A_f = T^f_3,
\]

(II.3)
in particular \( g^e_v = -\frac{1}{2} + 2 \sin^2 \vartheta_W \), \( g^e_a = -\frac{1}{2} \) for an electron, and \( g^t_v = \frac{1}{2} - \frac{4}{3} \sin^2 \vartheta_W \), \( g^t_a = \frac{1}{2} \) for a top quark, with \( \vartheta_W \) denoting the weak mixing angle. The function \( \chi(s) \) is given by

\[
\chi(s) = \frac{1}{4 \sin^2 \vartheta_W \cos^2 \vartheta_W} \frac{s}{s - m_Z^2 + i m_Z \Gamma_Z},
\]

(II.4)

where \( m_Z \) and \( \Gamma_Z \) stand for the mass and the width of the Z boson. (We keep here the width of the Z boson because it will be relevant for an application of our results to b quark production at the Z resonance.) The amplitudes \( V_\mu, A_\mu \) in (II.2) encode the information on the decay of the vector boson into the \( t\bar{t} \) and \( t\bar{t}g \) final states. In particular they depend on the momentum and the polarization of the outgoing particles. Considering only longitudinal polarization for the incoming electrons and/or positrons and neglecting the lepton masses leads to

\[
|T_{fi}|^2 = \frac{16 \pi^2 \alpha^2}{s^2} \left[ L^{PC\mu\nu} H^{PC}_{\mu\nu} + L^{PV\mu\nu} H^{PV}_{\mu\nu} \right]
\]

(II.5)

for the square of (II.2). The lepton tensors \( L^{PC(PV)\mu\nu} \) read

\[
L^{PC\mu\nu} = p^\mu p^\nu - p^\nu p^\mu - g^{\mu\nu} p^\rho p_\rho, \quad \text{and} \quad L^{PV\mu\nu} = -i \varepsilon^{\mu\nu\rho\sigma} p_\rho p_\sigma.
\]

(II.6)

The tensors \( H^{PC(PV)}_{\mu\nu} \) describing the decay of a polarized Z boson can be written as

\[
H^{PC(PV)}_{\mu\nu} = V^{VV}_{\mu\nu} H^{VV}_{\mu\nu} + g^{AA}_{PC(PV)} H^{AA}_{\mu\nu} + g^{VA+}_{PC(PV)} H^{VA+}_{\mu\nu} + g^{VA-}_{PC(PV)} H^{VA-}_{\mu\nu},
\]

(II.7)

with

\[
H^{VV}_{\mu\nu} = V_\mu V^{*}_\nu, \quad H^{AA}_{\mu\nu} = A_\mu A^{*}_\nu, \quad \text{and} \quad H^{VA+}_{\mu\nu} = V_\mu A^{*}_\nu \pm A_\mu V^{*}_\nu.
\]

(II.8)

The couplings \( g^Y_X \) (\( X \in \{PC, PV\}, \ Y \in \{VV, AA, VA+, VA_-\} \)) in (II.7) are given by
\[ g_{PC(PV)}^{VV} = Q_t^2 f_{PC(PV)}^{\gamma\gamma} + 2 g_v Q_t \text{Re} \chi(s) f_{PC(PV)}^{Z} + g_v^t |\chi(s)|^2 f_{PC(PV)}^{ZZ}, \]
\[ g_{PC(PV)}^{AA} = g_a^2 |\chi(s)|^2 f_{PC(PV)}^{Z}, \]
\[ g_{PC(PV)}^{V_{A^+}} = -g_v^t Q_t \text{Re} \chi(s) f_{PC(PV)}^{Z} - g_v^t g_a^t |\chi(s)|^2 f_{PC(PV)}^{ZZ}, \]
\[ g_{PC(PV)}^{V_{A^-}} = i g_a^t Q_t \text{Im} \chi(s) f_{PC(PV)}^{Z}, \]

where
\[ f_{PC}^{ZZ} = (1 - \lambda_- \lambda_+)(g_v^2 + g_a^2) - 2(\lambda_- - \lambda_+)g_v g_a^*, \quad f_{PC}^{\gamma\gamma} = 1 - \lambda_- \lambda_+, \]
\[ f_{PV}^{ZZ} = (\lambda_- - \lambda_+)(g_v^2 + g_a^2) - 2(1 - \lambda_- \lambda_+)g_v g_a^*, \quad f_{PV}^{\gamma\gamma} = \lambda_- - \lambda_+, \]
\[ f_{PC}^{Z} = -(1 - \lambda_- \lambda_+)g_v^* + (\lambda_- - \lambda_+)g_a^*, \]
\[ f_{PV}^{Z} = (1 - \lambda_- \lambda_+)g_a^* - (\lambda_- - \lambda_+)g_v^*, \]

with \( \lambda_- (\lambda_+) \) denoting the longitudinal polarization of the electron (positron) beam\(^1\). The couplings \( g_{PC(PV)}^{V_{A^+}} \) are formally of higher order in the electroweak couplings. The structure \( H_{\mu\nu}^{V_{A^-}} \) will therefore not be discussed further. For top quark production, where \( \sqrt{s} \gg m_Z \), one should set the width \( \Gamma_Z \) of the Z boson to zero for consistency.

The (unnormalized) spin density matrix for the reaction (II.1) may be defined by
\[ \rho^{\alpha\alpha',\beta\beta'} = \sum \langle t(k_t, \alpha) \bar{t}(k_t, \alpha') | T | e^+(p_+, \lambda_+) e^-(p_-, \lambda_-) \rangle \]
\[ \langle t(k_t, \beta) \bar{t}(k_t, \beta') | T | e^+(p_+, \lambda_+) e^-(p_-, \lambda_-) \rangle^*, \]

where \( \alpha, \alpha', \beta, \beta' \) are the spin indices of the outgoing top (anti-) quarks. The sum \( \sum \) in (II.11) runs over all unobserved degrees of freedom such as the colour of the outgoing particles or the polarization of the emitted gluon. In (II.11) one should read the combination \( \alpha \alpha' (\beta \beta') \) on the left-hand side as a shorthand notation for a multi-index built from \( \alpha, \alpha' (\beta, \beta') \). To calculate the spin density matrix it is convenient to use a different representation which follows immediately from the concept of the density matrix:

\(^1\) For a right-handed electron (positron), \( \lambda_+ = +1 \).
\[
\sum |T(e^+(p_+, \lambda_+)e^-(p_-, \lambda_-) \rightarrow t(k_t, \hat{s}_t) \bar{t}(k_\bar{t}, \hat{s}_\bar{t})X)|^2 = \text{Tr} \left[ \rho \cdot \frac{1}{2}(\mathbb{1} + \hat{s}_t \cdot \sigma) \otimes \frac{1}{2}(\mathbb{1} + \hat{s}_t \cdot \sigma) \right] \].

(II.12)

Here \(\hat{s}_t\) (\(\hat{s}_\bar{t}\)) is the unit polarization of the top (anti-) quark in the rest frame of the top (anti-) quark\(^2\), and \(\sigma_i\) are the usual Pauli matrices. With \(\otimes\) we denote the tensor product between the spin space of the quark and the antiquark. Using in (II.12) a decomposition of the spin density matrix \(\rho\) of the form

\[
\rho = a \mathbb{1} \otimes \mathbb{1} + B^+ \cdot \sigma \otimes \mathbb{1} + \mathbb{1} \otimes \sigma \cdot B^- + C_{ij} \sigma_i \otimes \sigma_j, \tag{II.13}
\]

the density matrix can be easily calculated by a comparison of the polarization independent parts, terms proportional to \(\hat{s}_t\) (\(\hat{s}_\bar{t}\)), and terms proportional to \(\hat{s}_t \hat{s}_\bar{t}\) on the left-hand side and the right-hand side of (II.12). More precisely we define

\[
\rho = 4\pi^2 \alpha^2 N_C \sum_{Y,X} g^X_Y \rho^X_Y \tag{II.14}
\]

\((X \in \{PC, PV\}, Y \in \{VV, AA, VA_+\})\), with

\[
\text{Tr} \left[ \rho^X_Y \cdot \frac{1}{2}(\mathbb{1} + \hat{s}_t \cdot \sigma) \otimes \frac{1}{2}(\mathbb{1} + \hat{s}_t \cdot \sigma) \right] = \frac{1}{N_C} \frac{4}{s^2} \sum_{X} L^{X \mu
u} H^Y_{\mu
u}, \tag{II.15}
\]

where \(N_C\) is the number of colours, and \(g^X_Y\) are the couplings as given in (II.13). For the density matrices \(\rho^X_Y\) we use a representation as in (II.13). It is useful to decompose the polarizations \(B^X_{Y,\pm}\) and the spin-spin correlations \(C^X_{Y,ij}\) further. For the two-parton final state it is convenient to write:

\[
B^\pm = b_1^\pm \hat{p} + b_2^\pm \hat{k} + b_3^\pm \hat{n},
\]

\[
C_{ij} = c_0 \delta_{ij} + \varepsilon_{ijk}(c_1 \hat{p}_k + c_2 \hat{k}_i + c_3 \hat{n}_k) + c_4 \hat{p}_i \hat{p}_j + c_5 \hat{k}_i \hat{k}_j + c_6 (\hat{p}_i \hat{k}_j + \hat{p}_j \hat{k}_i) + c_7 (\hat{p}_i \hat{n}_j + \hat{p}_j \hat{n}_i) + c_8 (\hat{k}_i \hat{n}_j + \hat{k}_j \hat{n}_i), \tag{II.16}
\]

\(^2\)We define the rest frame of the outgoing top (anti-) quark as the rest system which is obtained by a rotation-free Lorentz-boost from the center-of-mass system of the \(e^+e^-\)-pair.
with

\[
\hat{p} = \frac{p_-}{|p_-|}, \quad \hat{k} = \frac{k_t}{|k_t|}, \quad \hat{n} = \frac{\hat{p} \times \hat{k}}{|\hat{p} \times \hat{k}|},
\]  

where the three-momenta \( p \) and \( k \) are defined in \( e^+e^- \) c.m. system. In (II.16) we suppress for simplicity the additional indices \( Y, X \). For the case of the three-parton final state a similar decomposition can be used. A detailed discussion of the properties of \( \rho \) under discrete symmetry transformations is given in [11]. In leading order (\( O(\alpha_s^0) \)) the non-vanishing entries in the density matrices \( \rho^X_Y \) read:

\[
\begin{align*}
    a_{VV}^{PC} &= 2 - \beta^2(1 - z^2), & a_{AA}^{PC} &= \beta^2(1 + z^2), & b_{1,VA+}^{\pm,PC} &= 2\beta rz, \\
    c_{6,VV}^{PC} &= -\beta^2(1 - z^2), & c_{0,AA}^{PC} &= \beta^2(1 - z^2), & b_{2,VA+}^{\pm,PC} &= 2\beta \left( 1 + (1 - r)z^2 \right), \\
    c_{4,VV}^{PC} &= 2, & c_{4,AA}^{PC} &= -2\beta^2, \\
    c_{5,VV}^{PC} &= 2\left( (1 - r)^2 z^2 + \beta^2 \right), & c_{6,AA}^{PC} &= 2\beta^2 z, \\
    c_{6,VV}^{PC} &= -2(1 - r)z,
\end{align*}
\]  

\( \beta = \sqrt{1 - 4m^2/s}, \) and \( r = 2m/\sqrt{s}. \)

The leading order differential cross section \( d\sigma_0(\hat{s}_t, \hat{s}_\parallel) \) is related to the leading order density matrix \( \rho_0 \) as follows:

\[
d\sigma(\hat{s}_t, \hat{s}_\parallel) = \frac{1}{2s} \text{Tr} \left[ \rho_0 \cdot \frac{1}{2}(\text{I} + \hat{s}_t \cdot \sigma) \otimes \frac{1}{2}(\text{I} + \hat{s}_\parallel \cdot \sigma) \right] dR_2
\]

with

\[
dR_2 = \frac{d^3k_t}{(2\pi)^32k_0^3} \frac{d^3k_\parallel}{(2\pi)^32k_0^3} (2\pi)^4 \delta(p_+ + p_- - k_t - k_\parallel) \quad (\text{II.20})
\]

The total cross section for example can be obtained from
\[ \sigma_0 = \frac{1}{2s} \frac{\beta}{16\pi} \int_{-1}^{1} dz \text{Tr}[\rho_0] = \frac{1}{2s} \pi \alpha^2 N_C \beta \int_{-1}^{1} dz \left( g_{VPC}^{VV} a_{VPC}^{PC} + g_{VPC}^{AA} a_{VPC}^{AC} \right), \] (II.21)

yielding the well known result:

\[ \sigma_0 = \sigma_{pt} N_C \beta \left( \frac{3 - \beta^2}{2} g_{VPC}^{VV} + \beta^2 g_{VPC}^{AA} \right), \quad \text{with} \quad \sigma_{pt} = \frac{4\pi \alpha^2}{3s}. \] (II.22)

Within the framework of the spin density matrix formalism it is easy to calculate spin observables. For instance, at leading order the polarization of the top quark projected onto its momentum direction can be obtained from:

\[
\langle \hat{k} \cdot S_t \rangle = \frac{1}{\int_{-1}^{1} dz \text{Tr}[\rho_0]} \int_{-1}^{1} dz \text{Tr}[\rho_0 \cdot \left( \hat{k} \cdot \frac{\sigma}{2} \otimes \mathbb{1} \right)] = \frac{2 \int_{-1}^{1} dz g_{VPC}^{VA+} (z b_{1,VA+}^{+} + b_{2,VA+}^{+}) + g_{PV}^{VV} (z b_{1,VVV}^{+} + b_{2,VVV}^{+}) + g_{PV}^{AA} b_{2,AA}^{+}}{4 \int_{-1}^{1} dz \left( g_{VPC}^{VV} a_{VPC}^{PC} + g_{VPC}^{AA} a_{VPC}^{AC} \right)} = \frac{2\beta g_{VPC}^{VA+}}{(3 - \beta^2) g_{VPC}^{VV} + 2\beta^2 g_{VPC}^{AA}}, \] (II.23)

where \( S_t = \frac{\sigma}{2} \otimes \mathbb{1} \) is the top quark spin operator. (The spin operator of the top antiquark is \( S_{\bar{t}} = \mathbb{1} \otimes \frac{\sigma}{2} \).) As another example consider the following spin-spin correlation, which is in leading order proportional to the so-called longitudinal spin-spin correlation studied in \[3,4\]:

\[
\langle (\hat{k} \cdot S_t) (\hat{k} \cdot S_t) \rangle = \frac{1}{\int_{-1}^{1} dz \text{Tr}[\rho_0 \cdot \left( \hat{k} \cdot \frac{\sigma}{2} \otimes \hat{k} \cdot \frac{\sigma}{2} \right)]} \int_{-1}^{1} dz \text{Tr}[\rho_0] = \frac{1}{4} \frac{(1 + \beta^2) g_{VPC}^{VV} + 2\beta^2 g_{VPC}^{AA}}{(3 - \beta^2) g_{VPC}^{VV} + 2\beta^2 g_{VPC}^{AA}}, \] (II.24)

The examples above show that the spin density matrix formalism enables one to calculate efficiently the expectation values of spin observables. A more exhaustive analysis of spin observables together with next-to-leading order numerical results will be presented in section \[5\].
III. QCD RADIATIVE CORRECTIONS

The QCD corrections at order $\alpha_s$ to the expectation values of spin observables are given by the contributions from one-loop virtual corrections to $e^+e^- \rightarrow t\bar{t}$ and from the real gluon emission process $e^+e^- \rightarrow t\bar{t}g$ at leading order. We first give some details on the computation of the virtual corrections.

Both infrared (IR) and ultraviolet (UV) singularities which appear in the one-loop integrals of the virtual corrections are treated within the framework of dimensional regularization in $d = 4 - 2\epsilon$ space-time dimensions. We use the 't Hooft-Veltman prescription \cite{12} to treat the $\gamma_5$ matrix present in the axial vector current part of the vertex correction in $d$ dimensions. It is well known that this prescription violates certain Ward identities. They are restored by adding a finite counterterm \cite{13}. The UV singularities are removed by appropriate counterterms fixed by on-shell renormalization conditions for the quark. After renormalization one obtains UV finite vertex corrections for the vector and the axial vector parts of the amplitude to order $\alpha_s$.

The renormalized amplitude still contains an IR singularity which appears as a single pole in $\epsilon$ and which multiplies – up to a factor – the Born amplitude. This singularity is cancelled in infrared safe quantities by a corresponding singularity from the real gluon emission process. The latter singularity is obtained from the phase space integration of the squared matrix element for $e^+e^- \rightarrow t\bar{t}g$ over the region of phase space where the gluon is soft.

The virtual corrections to the density matrix are obtained by first computing the interference between the renormalized one-loop amplitude and the Born amplitude for given polarization vectors $\hat{s}_t$, $\hat{s}_{\bar{t}}$ and then extracting $\rho^{\text{virtual}}$ as described in section II below equation (II.12). Note that the necessary trace algebra can now be performed in $d = 4$ dimensions without purity. In particular, the projectors $(1 + \gamma_5\hat{s}_{t,\bar{t}})/2$ can be kept in 4 dimensions.
We now discuss the contributions from real gluon emission. We isolate the soft gluon singularities by splitting the $t\bar{t}g$ phase space into a soft and a hard gluon region. The soft gluon region is defined by the condition

$$E_g \leq x_{\text{min}} \sqrt{s}/2,$$  \hspace{1cm} (III.1)

where $E_g$ is the gluon energy in the c.m. system and $x_{\text{min}}$ is a sufficiently small quantity. The hard gluon region is the complement of the soft region. In the limit where the gluon momentum $k_g$ goes to zero one can neglect $k_g$ in the numerator of $T_{fi}(e^+e^- \rightarrow t\bar{t}g)$, which leads to

$$\rho(e^+e^- \rightarrow t\bar{t}g)_{\text{asym}} \approx 4\pi \alpha_s C_F \frac{2k_t k_i}{(k_t k_i k_g)^2} \left\{ \frac{m^2}{(k_t k_g)^2} - \frac{m^2}{(k_i k_g)^2} \right\} \rho_0(e^+e^- \rightarrow t\bar{t}).$$  \hspace{1cm} (III.2)

Using (III.2) in the whole soft gluon region leads to the approximation

$$\int \frac{d^{d-1}k_g}{(2\pi)^{d-1}2E_g} \Theta(x_{\text{min}} \sqrt{s}/2 - E_g) \rho(e^+e^- \rightarrow t\bar{t}g) \approx S \rho_0(e^+e^- \rightarrow t\bar{t}) \equiv \rho_{\text{soft}},$$  \hspace{1cm} (III.3)

where the soft factor $S$ is given by

$$S = 4\pi \alpha_s C_F \int \frac{d^{d-1}k_g}{(2\pi)^{d-1}2E_g} \Theta(x_{\text{min}} \sqrt{s}/2 - E_g) \left\{ \frac{2k_t k_i}{(k_t k_i k_g)^2} - \frac{m^2}{(k_t k_g)^2} - \frac{m^2}{(k_i k_g)^2} \right\}$$

$$= \frac{\alpha_s C_F}{2\pi} \frac{1}{\Gamma(1 - \epsilon)} \left( \frac{4\pi \mu^2}{s} \right)^\epsilon \left( x_{\text{min}}^2 \right)^{-\epsilon} \frac{1}{\epsilon} \frac{1}{\beta} \left\{ 2\beta + (1 + \beta^2) \ln(\omega) \right\} - 2 \epsilon \left[ \ln(\omega) + (1 + \beta^2) \left( \text{Li}_2(1 - \omega) + \frac{1}{4} \ln^2(\omega) \right) \right] + O(\epsilon).$$  \hspace{1cm} (III.4)

Here, $C_F = (N_C^2 - 1)/(2N_C)$, $\beta = \sqrt{1 - 4m^2/s}$, and $\omega = (1 - \beta)/(1 + \beta)$. The scale $\mu$ is introduced in (III.4) to keep the strong coupling constant dimensionless in $d$ dimensions. The dependence on $\mu$ cancels in the sum of the virtual and soft contributions.

For finite $x_{\text{min}}$, the sum of the contributions from the soft and hard gluon region differs from the exact result by terms of order $x_{\text{min}}$ because of the soft gluon approximation. The sum becomes exact for $x_{\text{min}} \rightarrow 0$. With the choice $x_{\text{min}} = 10^{-5}$ the systematic error due
to this approximation is smaller than one permill in all our numerical results. This can be
nicely checked by varying \( x_{\text{min}} \) between, say, \( 10^{-3} \) and \( 10^{-6} \) and numerically extrapolating
to zero.

The sum of the virtual and soft contributions to the density matrix \( \rho \) is finite and can
be written in a compact form as follows:

We define:

\[
L = -\frac{\alpha_s}{2\pi} C_F \frac{1}{\beta} \left\{ \left( 2\beta + (1 + \beta^2) \ln(\omega) \right) \left[ \ln \left( x_{\text{min}}^2 \right) - \ln \left( \frac{1 - \beta^2}{4} \right) + 2 \right] \\
+ (1 + \beta^2) \left( 4\text{Li}_2 (1 - \omega) + \ln^2(\omega) - \pi^2 \right) \right\},
\]

(III.5)

and use as further abbreviations

\[
\kappa = \frac{\alpha_s}{2\pi} C_F, \quad \ell_1 = -\kappa \beta \ln(\omega), \quad \ell_2 = (2 - \beta^2) \ell_1, \quad \ell_3 = \frac{1}{\beta} \ell_1.
\]

(III.6)

Then,

\[
\lim_{\epsilon \to 0} \left( \rho^{\text{virtual}} + \rho^{\text{soft}} \right) = L\rho_0 + \rho^{\text{rest}},
\]

(III.7)

where the nonvanishing building blocks of \( \rho_0 \) are listed in equation (II.18) of section
II. The matrix \( \rho^{\text{rest}} \) is also decomposed according to equation (II.14) with matrices \( \rho_X^{\text{rest}}, \rho_Y^{\text{rest}} \)
expanded like in (II.13), (II.16). The nonvanishing entries of the various matrices \( \rho_X^{\text{rest}}, \rho_Y^{\text{rest}} \)
that make up \( \rho^{\text{rest}} \) read (we suppress here the index “rest” for aesthetic reasons):
\[
\begin{align*}
a_{PC}^{VV} &= (1 + z^2) \ell_1, \\
& a_{AA}^{PC} = (1 + z^2) \ell_2, \\
& b_{1,VA+}^{PC} = -z r (\beta^2 - 2) \ell_3, \\
& b_{3,VA+}^{PC} = -\kappa \pi r \beta \sqrt{1 - z^2}, \\
& c_{0,AA}^{PC} = (1 - z^2) \ell_2, \\
& c_{2,VA+}^{PC} = \left( 2(1 + z^2) + r (\beta^2 - 2) z^2 \right) \ell_3, \\
& c_{4,VA+}^{PC} = -2 \kappa \pi (1 - \beta^2) \sqrt{1 - z^2}, \\
& c_{6,AA}^{PC} = 2z \ell_2, \\
& c_{8,VA+}^{PC} = \kappa \pi z \left( 2(1 - \beta^2) + (\beta^2 - 2) r \right) \sqrt{1 - z^2}, \\
& c_{5,VA+}^{PC} = 2(1 + (1 - r) z^2) \ell_1, \\
& c_{6,VA+}^{PC} = -z (2 - r) \ell_1, \\
& b_{1,VA+}^{PV} = r \ell_1, \\
& b_{2,AA}^{PV} = 2z \ell_2, \\
& b_{3,VA+}^{PV} = \kappa \pi r (\beta^2 - 2) \sqrt{1 - z^2}, \\
& c_{4,VA+}^{PV} = 2z (r (\beta^2 - 2) + 2) \ell_3, \\
& c_{5,VA+}^{PV} = \kappa \pi z \left( 2(1 - \beta^2) + (\beta^2 - 2) r \right) \sqrt{1 - z^2}, \\
& c_{6,VA+}^{PV} = -r (\beta^2 - 2) \ell_3.
\end{align*}
\]

(III.8)

For a given observable, the contributions from gluons with energy \( E_g > x_{\text{min}} \sqrt{s}/2 \) are calculated by a numerical integration over the hard gluon region of the three-body phase space. The spin density matrix \( \rho_{X,\text{hard}}^{\text{hard}}(e^+e^- \rightarrow t\bar{t}g) \) for the hard gluon emission process is obtained by evaluating the left-hand side of equation (II.12) for \( X = g \). The individual matrices \( \rho_y^{X,\text{hard}} \) are rather lengthy and we do not list them in this paper. We just mention here that instead of the expansion (II.16) of \( \mathbf{B}^\pm, \mathbf{C}_{ij} \) with respect to \( \hat{p}, \hat{k}, \) and \( \hat{n} \) that was used for the two-parton final state, we found it more convenient for the three-parton final state in the hard gluon region to use as basis vectors \( \mathbf{k}_i/|\mathbf{k}_i|, \mathbf{k}_i/|\mathbf{k}_i|, \) and \( (\mathbf{k}_i \times \mathbf{k}_j)/|\mathbf{k}_i \times \mathbf{k}_j| \). Note that the matrix \( \rho^{\text{hard}}(e^+e^- \rightarrow t\bar{t}g) \) does not contain any singularities and that the whole computation can be performed in \( d = 4 \) dimensions.
IV. NUMERICAL RESULTS

In this section we present next-to-leading order results for expectation values of a variety of spin observables. For an observable $O$ we use the notation

$$\langle O \rangle = \langle O \rangle_0 + \frac{\alpha_s}{\pi} \langle O \rangle_1 + O \left( \frac{\alpha_s^2}{\pi^2} \right),$$

$$\sigma = \sigma_0 + \frac{\alpha_s}{\pi} \sigma_1 + O \left( \frac{\alpha_s^2}{\pi^2} \right),$$

(IV.1)

where $\sigma$ is the total cross section for $e^+e^- \rightarrow t\bar{t}X$, and

$$\langle O \rangle_0 = \frac{1}{\sigma_0} \frac{1}{2s} \int dR_2 \text{Tr} \{ \rho_0 \cdot O \},$$

$$\langle O \rangle_1 = \frac{1}{\sigma_0} \frac{1}{2s} \left[ \int dR_2 \text{Tr} \left\{ \lim_{\epsilon \to 0} \left( \rho^{\text{soft}} + \rho^{\text{virtual}} \right) \cdot O \right\} 
+ \int dR_3 \Theta(E_g - x_{\text{min}} \sqrt{s}/2) \text{Tr} \left\{ \rho^{\text{hard}} \cdot O \right\} \right] - \langle O \rangle_0 \frac{\sigma_1}{\sigma_0}. \quad \text{(IV.2)}$$

Here, $dR_2$ is given in (II.20) and

$$dR_3 = \frac{d^3k_t}{(2\pi)^3} \frac{d^3k_{\bar{t}}}{(2\pi)^3} \frac{d^3k_g}{(2\pi)^3} \frac{d^3k_{\bar{g}}}{(2\pi)^3} (2\pi)^4 \delta(p_+ + p_- - k_t - k_{\bar{t}} - k_g). \quad \text{(IV.3)}$$

We consider the following set of observables:

$$O_1 = \hat{p} \cdot S_t, \quad \bar{O}_1 = \hat{p} \cdot S_{\bar{t}},$$

$$O_2 = \hat{k} \cdot S_t, \quad \bar{O}_2 = \hat{k} \cdot S_{\bar{t}},$$

$$O_3 = \hat{n} \cdot S_t, \quad \bar{O}_3 = \hat{n} \cdot S_{\bar{t}},$$

$$O_4 = S_t \cdot S_{\bar{t}},$$

$$O_5 = \hat{p} \cdot (S_t \times S_{\bar{t}}),$$

$$O_6 = \hat{k} \cdot (S_t \times S_{\bar{t}}),$$

$$O_7 = \hat{n} \cdot (S_t \times S_{\bar{t}}),$$

$$O_8 = (\hat{p} \cdot S_t)(\hat{p} \cdot S_{\bar{t}}),$$

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\[ \mathcal{O}_9 = (\hat{k} \cdot S_t)(\hat{k} \cdot S_t), \]
\[ \mathcal{O}_{10} = (\hat{p} \cdot S_t)(\hat{k} \cdot S_t) + (\hat{k} \cdot S_t)(\hat{p} \cdot S_t), \]
\[ \mathcal{O}_{11} = (\hat{p} \cdot S_t)(\hat{n} \cdot S_t) + (\hat{n} \cdot S_t)(\hat{p} \cdot S_t), \]
\[ \mathcal{O}_{12} = (\hat{k} \cdot S_t)(\hat{n} \cdot S_t) + (\hat{n} \cdot S_t)(\hat{k} \cdot S_t). \]  

(IV.4)

The expectation values \( \langle \mathcal{O}_2 \rangle_0 \) and \( \langle \mathcal{O}_9 \rangle_0 \) are given in analytic form in (II.23) and (II.24), respectively.

Several constraints are imposed by discrete symmetries on the expectation values of the observables (IV.4). An unpolarized \( e^+e^- \) initial state is an eigenstate of the combined charge conjugation (C) and parity (P) transformation. CP invariance of the interactions considered here then implies \( \langle \mathcal{O}_1 \rangle = \langle \bar{\mathcal{O}}_1 \rangle \) and \( \langle \mathcal{O}_5 \rangle = 0 \). Further, differences between \( \langle \mathcal{O}_2 \rangle \) and \( \langle \bar{\mathcal{O}}_2 \rangle \) as well as nonzero values for \( \langle \mathcal{O}_6 \rangle \) and \( \langle \mathcal{O}_7 \rangle \) can only be generated by the contributions from hard gluon emission, since \( S_t \xrightarrow{\text{CP}} S_t \), \( k_t \xrightarrow{\text{CP}} -k_t \), and since we have \( k_t = -k_t \) for a final state consisting solely of a \( t\bar{t} \) pair (recall that the three-momenta are defined in the \( e^+e^- \) c.m. system). From invariance under the time reversal operation T it follows that nonzero \( \langle \mathcal{O}_3 \rangle \), \( \langle \bar{\mathcal{O}}_3 \rangle \), \( \langle \mathcal{O}_6 \rangle \), \( \langle \mathcal{O}_{11} \rangle \), and \( \langle \mathcal{O}_{12} \rangle \) can only be generated by absorptive parts in the scattering amplitude. To order \( \alpha_s \) this means that \( \langle \mathcal{O}_6 \rangle \) is exactly zero due to CP invariance, while \( \langle \mathcal{O}_3 \rangle = \langle \bar{\mathcal{O}}_3 \rangle \), \( \langle \mathcal{O}_{11} \rangle \), and \( \langle \mathcal{O}_{12} \rangle \) get nonzero, albeit small, contributions from the imaginary parts of the one-loop integrals appearing in the virtual corrections (cf. the functions \( b_{3,VV}^{\pm,PC} \), \( b_{3,V,A+}^{\pm,PC} \), \( c_{8,V,V}^{PV} \), \( c_{7,8,V,A+}^{PC} \) of equation (III.8)). All the above arguments also hold for the case of polarized electrons (and/or positrons), although in that case the initial state has no definite CP parity. This is because the net effect of a CP transformation of the initial state is \( \lambda_\pm \rightarrow -\lambda_\pm \) in our formulas, and hence the couplings \( g_Y^X \) are left unchanged. (cf. (II.9), (II.10)).

In Table I we list our results for the expectation values of (IV.4) in terms of the quantities \( \langle \mathcal{O}_i \rangle_{0,1} \) as defined in (IV.1) and (IV.2). We choose four different c.m. energies, namely...
$\sqrt{s} = 400, 500, 800$, and $1000$ GeV. The positron beam is always assumed to be unpolarized, while for the electron beam the three cases $\lambda_- = 0, \pm 1$ are considered. As numerical input we use $m_Z = 91.187$ GeV, an on-shell top quark mass of $m = 175$ GeV, and $\sin^2 \vartheta_W = 0.2236$.

The table shows that the top quark and antiquark are produced highly polarized and also that the spin-spin correlations are large. For example, the polarization\(^3\) of the top quark projected onto the beam axis at $\sqrt{s} = 500$ GeV and for $\lambda_- = +1$ amounts to

$$2\langle \hat{\mathbf{p}} \cdot \mathbf{S}_t \rangle = 0.8998 - 0.278 \frac{\alpha_s}{\pi} = 0.8910,$$

where we set $\alpha_s = 0.1$. As another example, consider the spin-spin correlation $\langle O_{10} \rangle$ at $\sqrt{s} = 1$ TeV, also for $\lambda_- = +1$:

$$\langle (\hat{\mathbf{p}} \cdot \mathbf{S}_t)(\hat{\mathbf{k}} \cdot \mathbf{S}_t) + (\hat{\mathbf{k}} \cdot \mathbf{S}_t)(\hat{\mathbf{p}} \cdot \mathbf{S}_t) \rangle = 0.2770 - 0.303 \frac{\alpha_s}{\pi} = 0.2674,$$

where we again set $\alpha_s = 0.1$.

A global characteristic of all the expectation values of the observables (IV.4) is that the QCD corrections are quite small. The quantity $\alpha_s/\pi \times |\langle O_i \rangle_1/\langle O_i \rangle_0|$ ranges, for nonzero $\langle O_i \rangle_0$ and (a fixed value of) $\alpha_s = 0.1$ between 1.9 permill (for $\langle O_4 \rangle$ at $\sqrt{s} = 400$ GeV and all three choices of $\lambda_-$) and 5.3 percent (amusingly also for $\langle O_4 \rangle$, but at $\sqrt{s} = 1000$ GeV and $\lambda_- = -1$)\(^4\).

To check our calculation, we compared our numerical value for the order $\alpha_s$ correction to the total cross section $\sigma_1$ with the value one gets by using the analytic formula as given for example in [14] and found excellent agreement. Note that the longitudinal spin-spin correlation $\langle P^{\ell\ell} \rangle$ studied in [6] is, at next-to-leading order, \textit{not} proportional to our expectation value $\langle O_9 \rangle$: The former would correspond in our notation to the expectation

\(^3\)The polarization is conventionally defined as \textit{two times} the expectation value of the spin operator.\(^4\)In leading order, $\langle O_4 \rangle = 1/4$, since the reaction proceeds through a single spin-one boson.
value $4\langle(\hat{k}_t \cdot \mathbf{S}_t)(\hat{k}_\bar{t} \cdot \mathbf{S}_\bar{t})\rangle$, which only at leading order is equal to $-4\langle O_9 \rangle$. To compare our results for $\langle P^{\ell\ell} \rangle$, we reproduced Figures 1 and 2 of reference [3] and found agreement.

We now study the distributions of our expectation values with respect to $z$, the cosine of the top quark scattering angle in the c.m. system. These distributions are defined as $\langle O_i \delta(z - z') \rangle$, i.e. we do not average over $z$ but over all other kinematic variables.

The distributions $\langle O_{3,11,12} \delta(z - z') \rangle$ are not shown, since they can be easily constructed from the listed analytic formulas for $b_3^{\pm}, c_{7,8}$ of equation (11.8). We also do not show the distribution $\langle O_7 \delta(z - z') \rangle$, since according to Table I the expectation value $\langle O_7 \rangle$ varies (again for a fixed $\alpha_s = 0.1$) between the tiny values $-0.6 \times 10^{-4}$ and $-0.3\%$.

Figs. 1a and 1b show, to NLO accuracy, the distribution $\langle O_1 \delta(z - z') \rangle$ at c.m. energies $\sqrt{s} = 500$ GeV and $\sqrt{s} = 1$ TeV, respectively, for $\lambda_- = 0, \pm 1$. In this and all the following plots we set $\alpha_s = 0.1$. Note that the distribution gets more peaked near $z = +1$ as the c.m. energy rises. This feature is less pronounced in the distribution $\langle O_2 \delta(z - z') \rangle$ depicted in Figs. 2a,b. In Figs. 3 - 6 we show the distributions for different spin-spin correlations, namely $\langle O_{4,8,9,10} \delta(z - z') \rangle$. In these figures, the c.m. energy is varied between $\sqrt{s} = 400$ GeV and $\sqrt{s} = 1$ TeV, while the electron polarization is set to $\lambda_- = 0$. The results for other choices of $\lambda_-$ do not differ much from the ones shown. This is also reflected in the rather weak dependence of the spin-spin correlations $\langle O_{4,8,9,10} \rangle$ on $\lambda_-$ (cf. Table I). Note that the distributions typically rise as $z \to +1$.

To illustrate the impact of the $O(\alpha_s)$ corrections, we plot in Figs. 7 - 10 the “$K$-factors”

$$K_i(z) = \frac{\langle O_i \delta(z - z') \rangle_0 + \alpha_s / \pi \langle O_i \delta(z - z') \rangle_1}{\langle O_i \delta(z - z') \rangle_0}$$

(IV.7)

for $i = 1$ (Figs. 7a,b), and $i = 4, 8, 9$ (Figs. 8,9,10). The $K$-factors show a strong dependence both on the cosine of the scattering angle and on the c.m. energy. They vary between 0.88 and 1.04.
V. CONCLUSIONS

The production of top quark pairs in $e^+e^-$ annihilation involves a variety of spin phenomena. We have performed a systematic study of these effects to order $\alpha_s$ and including beam polarization effects using the spin density matrix formalism. Apart from a significant polarization of the top quarks and antiquarks, the spins of $t$ and $\bar{t}$ are also strongly correlated. The QCD corrections to the leading order results for the expectation values of all spin observables considered are at the percent level or smaller. The spin effects in the $t\bar{t}$ production will manifest themselves in the angular distributions of the $t$ and $\bar{t}$ decay products. For a phenomenological analysis of these angular distributions, one can combine the results presented in this paper with spin decay matrices computed to next-to-leading order accuracy for the different $t$ and $\bar{t}$ decay modes.

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Table I. Expectation values of the observables listed in (IV.4) in terms of the quantities $\langle O_i \rangle_{0,1}$ as defined in (IV.1) and (IV.2) for different c.m. energies, $\lambda_+ = 0$ and $\lambda_- = 0, \pm 1$. For the expectation values not listed in the table we have, as discussed in the text, $\langle \bar{O}_{1,3} \rangle = \langle O_{1,3} \rangle$, and $\langle O_{5,6} \rangle = 0$. 

TABLE CAPTION
FIGURE CAPTIONS

**Fig. 1.** Expectation value $\langle O_1 \delta(z-z') \rangle$ to order $\alpha_s$ for a fixed value $\alpha_s = 0.1$ and $\lambda_+ = 0$. In 1a (1b) the c.m. energy is set to $\sqrt{s} = 500$ GeV ($\sqrt{s} = 1$ TeV). The solid line is the result for $\lambda_- = 0$, the dashed line for $\lambda_- = -1$, and the dotted line for $\lambda_- = +1$.

**Fig. 2.** Same as Fig. 1, but for $\langle O_2 \delta(z-z') \rangle$.

**Fig. 3.** Expectation value $\langle O_4 \delta(z-z') \rangle$ to order $\alpha_s$ for a fixed value $\alpha_s = 0.1$, $\lambda_+ = \lambda_- = 0$, and c.m. energies $\sqrt{s} = 400$ GeV (dashed line), $\sqrt{s} = 500$ GeV (solid line), $\sqrt{s} = 800$ GeV (dotted line), and $\sqrt{s} = 1000$ GeV (dash-dotted line).

**Fig. 4.** Same as Fig. 3, but for $\langle O_8 \delta(z-z') \rangle$.

**Fig. 5.** Same as Fig. 3, but for $\langle O_9 \delta(z-z') \rangle$.

**Fig. 6.** Same as Fig. 3, but for $\langle O_{10} \delta(z-z') \rangle$.

**Fig. 7.** The function $K_1(z)$ defined in equation (IV.7). In 7a (7b) the c.m. energy is set to $\sqrt{s} = 500$ GeV ($\sqrt{s} = 1$ TeV). The solid line is the result for $\lambda_- = 0$, the dashed line for $\lambda_- = -1$, and the dotted line for $\lambda_- = +1$.

**Fig. 8.** The function $K_4(z)$ defined in equation (IV.7) for $\lambda_+ = \lambda_- = 0$ and c.m. energies $\sqrt{s} = 400$ GeV (dashed line), $\sqrt{s} = 500$ GeV (solid line), $\sqrt{s} = 800$ GeV (dotted line), and $\sqrt{s} = 1000$ GeV (dash-dotted line).

**Fig. 9.** Same as Fig. 8, but for $K_8(z)$.

**Fig. 10.** Same as Fig. 8, but for $K_9(z)$.
### TABLES

| $\lambda$ | $\langle \mathcal{O}_1 \rangle_0$ | $\langle \mathcal{O}_1 \rangle_1$ | $\langle \mathcal{O}_1 \rangle_0$ | $\langle \mathcal{O}_1 \rangle_1$ | $\langle \mathcal{O}_1 \rangle_0$ | $\langle \mathcal{O}_1 \rangle_1$ | $\langle \mathcal{O}_1 \rangle_0$ | $\langle \mathcal{O}_1 \rangle_1$ |
|---|---|---|---|---|---|---|---|---|
| $\langle \mathcal{O}_2 \rangle$ | 0 | -0.058 | -0.065 | -0.087 | -0.070 | -0.112 | -0.036 | -0.117 | -0.017 |
| + | 0.209 | 0.225 | 0.309 | 0.228 | 0.392 | 0.102 | 0.410 | 0.040 |
| $\langle \mathcal{O}_3 \rangle$ | 0 | 0 | -0.356 | 0 | -0.246 | 0 | -0.127 | 0 | -0.097 |
| + | 0 | -0.413 | 0 | -0.279 | 0 | -0.140 | 0 | -0.106 |
| $\langle \mathcal{O}_4 \rangle$ | 0 | 0.25 | -0.015 | 0.25 | -0.087 | 0.25 | -0.310 | 0.25 | -0.418 |
| + | 0.25 | -0.015 | 0.25 | -0.086 | 0.25 | -0.307 | 0.25 | -0.414 |
| $\langle \mathcal{O}_5 \rangle$ | 0 | 0 | -0.002 | 0 | -0.016 | 0 | -0.062 | 0 | -0.082 |
| + | 0 | -0.003 | 0 | -0.019 | 0 | -0.071 | 0 | -0.094 |
| $\langle \mathcal{O}_6 \rangle$ | 0 | 0.239 | -0.033 | 0.224 | -0.095 | 0.206 | -0.224 | 0.192 | -0.280 |
| + | 0.237 | -0.037 | 0.220 | -0.100 | 0.195 | -0.225 | 0.187 | -0.278 |
| $\langle \mathcal{O}_7 \rangle$ | 0 | 0.117 | 0.039 | 0.160 | 0.030 | 0.212 | -0.142 | 0.225 | -0.244 |
| + | 0.118 | 0.041 | 0.162 | 0.031 | 0.213 | -0.143 | 0.226 | -0.246 |
| $\langle \mathcal{O}_8 \rangle$ | 0 | 0.158 | 0.162 | 0.219 | 0.107 | 0.244 | -0.141 | 0.241 | -0.247 |
| + | 0.169 | 0.170 | 0.232 | 0.106 | 0.256 | -0.155 | 0.252 | -0.264 |
| $\langle \mathcal{O}_9 \rangle$ | 0 | 0.330 | 0 | 0.222 | 0 | 0.097 | 0 | 0.066 |
| + | 0.114 | 0 | 0.075 | 0 | 0.032 | 0 | 0.022 |
| $\langle \mathcal{O}_{10} \rangle$ | 0 | 0.181 | 0 | 0.225 | 0 | 0.189 | 0 | 0.066 |
| + | 0 | 0.077 | 0 | 0.093 | 0 | 0.076 | 0 | 0.064 |

**TABLE I.**

c.m. energy in GeV

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FIG. 3.

FIG. 4.
