Leaflet immobility and thrombosis in transcatheter aortic valve replacement

Arnold C. T. Ng 1, David R. Holmes 2, Michael J. Mack 3, Victoria Delgado 4, Raj Makkar 5, Philipp Blanke 6, Jonathon A. Leipsic 6, Martin B. Leon 7, and Jeroen J. Bax 4 *

1 Department of Cardiology, Princess Alexandra Hospital, Brisbane, Australia; 2 Department of Cardiovascular Medicine, Mayo Clinic, Rochester, MN, USA; 3 Baylor Scott and White Health, Plano, TX, USA; 4 Department of Cardiology, Leiden University Medical Center, Albinusdreef 2, 2333 ZA Leiden, The Netherlands; 5 Cedars-Sinai Medical Center, Los Angeles, CA, USA; 6 Department of Radiology, St. Paul’s Hospital and University of British Columbia, Vancouver, British Columbia, Canada; and 7 Columbia University Medical Center, New York-Presbyterian Hospital, New York, NY, USA

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Transcatheter aortic valve replacement (TAVR) has grown exponentially worldwide in the last decade. Due to the higher bleeding risks associated with oral anticoagulation and in patients undergoing TAVR, antiplatelet therapy is currently considered first-line antithrombotic treatment after TAVR. Recent studies suggest that some patients can develop subclinical transcatheter heart valve (THV) thrombosis after the procedure, whereby thrombus forms on the leaflets that can be a precursor to leaflet dysfunction. Compared with echocardiography, multidetector computed tomography is more sensitive at detecting THV thrombosis. Transcatheter heart valve thrombosis can occur while on dual antiplatelet therapy with aspirin and thienopyridine but significantly less with anticoagulation. This review summarizes the incidence and diagnostic criteria for THV thrombosis and discusses the pathophysiological mechanisms that may lead to thrombus formation, its natural history, potential clinical implications and treatment for these patients.

Graphical Abstract

Keywords
Thrombosis • Transcatheter aortic valve replacement • Antiplatelet therapy • Antithrombotic treatment • Transcatheter heart valve

* Corresponding author. Tel: +31 71 526 2020, Fax: +31 71 526 6709, Email: j.j.bax@lumc.nl
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Introduction

The use of bioprosthetic aortic valves as compared with mechanical valves has been the dominant strategy for the treatment of aortic stenosis in elderly patients. Worldwide, transcatheter aortic valve replacement (TAVR) has replaced surgical aortic valve replacement (SAVR) as the treatment of choice for high-risk patients and is increasingly utilized in lower-risk patients. Recent data suggested that TAVR for intermediate- and low-risk aortic stenosis patients was associated with significantly lower stroke and mortality risk at 1 year compared with SAVR. Furthermore, there is preliminary evidence for survival benefit in early TAVR for asymptomatic patients with very severe aortic stenosis. Accordingly, it is likely that TAVR will be increasingly utilized in the future.

However, subclinical transcatheter heart valve (THV) thrombosis (usually referring to thrombus formation on the valve leaflets) following TAVR is increasingly recognized in patients who undergo routine four-dimensional (4D) multidetector computed tomography (MDCT) post-procedure. This review aims to determine the incidence of THV thrombosis, identify potential pathogenesis, summarize the imaging diagnostic criteria, and explore potential clinical implications and management strategies for patients.

Bioprosthetic valve dysfunction

With the exponential growth of TAVR worldwide, valve durability is now a central consideration as the procedure moves towards becoming the dominant treatment strategy for aortic stenosis irrespective of surgical risk scores. To date, there have been several attempts to define structural valve deterioration (SVD) primarily based on the echocardiographic quantification of valvular stenosis or paravalvular regurgitation. However, they are severely limited by highly variable echocardiographic criteria and do not provide insights into the pathophysiology of SVD. To facilitate and direct future research, the European Association of Percutaneous Cardiovascular Interventions (EAPCI) has proposed standardized definitions for valve dysfunction in conjunction with the European Society of Cardiology (ESC) and European Association for Cardio-Thoracic Surgery (EACTS). In their consensus statement, the task force proposed that bioprosthetic valve dysfunction may be categorized into SVD, non-SVD, thrombosis or endocarditis (Figure 1). Importantly, SVD was defined as irreversible valve dysfunction, whereas thrombosis and endocarditis are potential reversible causes of valve dysfunction and should be categorized separately. However, valve leaflet thrombosis can result in permanent dysfunction leading to bioprosthetic valve failure. Finally, bioprosthetic valve failure (a clinical correlate) was defined as valve dysfunction detected on autopsy likely causing death, valve reintervention (i.e. valve-in-valve, paravalvular leak closure or SAVR), or severe haemodynamic SVD. Over the last few years, it has been recognized that THV thrombosis is often under-diagnosed and may be a potential cause of valvular dysfunction.

Incidence of valve thrombosis

The reported incidence of THV thrombosis is dependent on several factors, including the population being evaluated, diagnostic imaging
Pathogenesis

Thrombosis plays a central role in some patients with early THV leaflet dysfunction. Resolution of the echocardiographic and MDCT THV abnormalities [e.g. hypoattenuated leaflet thickening (HALT)] with anticoagulation strongly suggests a thrombosis pathology, but specific insights into the pathophysiology of initial thrombus formation on the leaflets remain unclear. Whether unrecognized or untreated early THV thrombosis represents a long-term risk factor for subsequent SVD from tissue degeneration and/or fibrosis is unclear. However, determining its impact on THV longevity is of great clinical importance and can only be evaluated by long-term outcome studies. To develop effective risk stratification tools to identify at-risk patients, formulate effective treatment strategies, and determine appropriate surveillance protocols, it is important to identify the mechanisms leading to THV thrombosis.

Based on the principles of Virchow’s triad, factors contributing to THV thrombosis can be categorized into alterations in the constitution of blood, endothelial dysfunction, or alterations in blood flow. For example, elderly TAVR patients more likely have underlying prothrombotic comorbidities such as cancer. Another potential prothrombotic aetiology concerns the recovery of large von Willebrand factor multimers following the treatment for aortic stenosis. Usually, the loss of these macromolecules due to shear stress is associated with bleeding diathesis in severe aortic stenosis. Recently, Yamashita et al. observed rapid correction of these high-molecular weight von Willebrand factor multimers post-aortic valve replacement, and patients were characterized as being in a ‘von Willebrand factor predominant state’ between post-operative Days 8 and 22, predisposing them to thrombosis rather than bleeding even in the early stage after surgery. These factors may potentially contribute to the pathogenesis of HALT early after TAVR.

Regarding endothelial dysfunction, the previous study demonstrated that bioprosthetic tissues undergo four phases of healing after implantation, starting from initial platelet and fibrin deposition to inflammation, granulation tissue, and eventual fibrous encapsulation. Factors resulting in delayed re-endothelialization would theoretically increase thrombotic risks. Jilaihawi et al. have suggested a systematic and quantitative 4D MDCT analysis protocol evaluating stent frame-related factors that could potentially contribute to THV thrombosis, including native commissural/bioprosthesis leaflet orientation, stent frame expansion, stent frame fracture, depth, and symmetry of implantation. Theoretically, technical complications such as stent frame fracture could result in delayed re-endothelialization and increased risk of THV thrombosis. Midha et al. reported that over-expansion was associated with the higher incidence of THV thrombosis. The authors suggested that over-expansion of the THV stent may increase endothelial injury and provide a nidus for thrombus formation.

Notably, however, majority of studies on leaflet re-endothelialization is related to surgical valves with limited analyses on TAVR valves. However, endothelial-like cells noted on the leaflet surfaces of explanted TAVR often have an abnormal morphology suggestive of endothelial dysfunction. Studies on endothelial dysfunction of native valve leaflets have demonstrated impaired nitric oxide generation and subsequent activation and proliferation of interstitial valve cells, increased reactive oxygen species generation with promotion of osteogenic differentiation of the interstitial valve cells, and inflammation with recruitment of immune cells within the leaflets. While endothelial dysfunction may also be related to valve toxicity related to fixatives such as glutaraldehyde used in manufacturing process.

However, most studies have predominantly focused on alterations in blood flow leading to THV thrombosis. Transcatheter heart valve thrombosis is typically localized to the aortic side of the leaflets. Although all leaflets can be involved, previous studies suggested that the leaflet corresponding to the native right coronary cusp may be more commonly affected. Analysis of published literature revealed several common flow-related predictors of THV thrombosis including height of annular deployment and neo-sinuses, valve-in-valve procedures/patient–prosthesis mismatch, and reduced cardiac output.

Aortic root and neo-sinuses

Insights arising from cardiac magnetic resonance on aortic valve sparing surgery for aortic regurgitation showed that the sinuses of Valsalva generate vortices that form during early systole and persist into early diastole and may thus play a role in reducing the risk of thrombus formation on the aortic side of the leaflets (Figure 2). Using aortic root phantom, Jahnen et al. showed that the aortic root morphology can affect blood flow behind the TAVR prosthesis. Specifically, there was absent vortex formation within the sinuses and resultant relative blood stasis behind the TAVR leaflets. Unlike SAVR whereby the native leaflets are removed, TAVR results in a new small ‘neo-sinus’ located between the displaced diseased native valve leaflets and the TAVR leaflets where thrombus usually forms (Figure 3). Detailed in vitro modelling showed increased blood stasis within these neo-sinuses as quantified by blood residence time in TAVR compared with SAVR (Figure 4, top panel). The volume of these neo-sinuses...
also varies according to the TAVR type, position in relation to the aortic annulus, and the degree of apposition to the native valve (which is further influenced by local native valve characteristics such as calcification, as well as the implanted TAVR size). This was demonstrated by Midha et al. who showed that supra-annular TAVR deployment resulted in nearly a seven-fold reduction in the size of the stagnation zone within the neo-sinus and a shorter blood residence time. However, a supra-annular TAVR deployment has to be balanced against the risk of coronary artery occlusion.

Valve-in-valve procedure and patient–prosthesis mismatch

With valve-in-valve procedures, these neo-sinuses are confined by the degenerated surgical bioprosthesis frame that circumferentially surrounds the TAVR leaflets. In vitro modelling also showed increased blood stasis on TAVR leaflets following valve-in-valve procedures. Similar to previous studies evaluating THV thrombosis for TAVR implanted in native aortic valves, a supra-annular TAVR deployment in valve-in-valve procedures had significantly shorter blood residence time within the neo-sinuses compared with an intra-annular position (Figure 4, bottom panel). Clinical studies have since corroborated the suggestion that valve-in-valve procedures may potentially increase THV thrombotic risks. In the multicentre registry by Del Trigo et al. including 1521 TAVR patients, there were 68 cases of THV thrombosis defined by echocardiography. The authors found that a higher body mass index (BMI), smaller TAVR size, and valve-in-valve procedures were independently
Although mild or even moderate patient–prosthesis mismatch with a smaller indexed effective orifice area.

In their in vitro study on fluid mechanics and neo-sinuses in TAVR, Midha et al.\(^{13}\) found that reduced cardiac output resulted in larger stagnation zone and increased blood residence time, theoretically increasing the risk of THV thrombosis. This hypothesis was supported by two clinical studies showing reduced cardiac output as an independent predictor for THV thrombosis.\(^{21,26}\) Chakravarty et al.\(^{21}\) included patients from the Assessment of Transcatheter and Surgical Aortic Bioprosthetic Valve Thrombosis and its Treatment with Anticoagulation (RESOLVE) and Subclinical Aortic Valve Bioprosthesis Thrombosis Assessed with Four-Dimensional Computed Tomography (SAVORY) registries and showed that reduced left ventricular ejection fraction (LVEF) was independently associated with THV thrombosis. Similarly, Yanagisawa et al.\(^{26}\) reported that patients with low-flow, low-gradient severe aortic stenosis had higher incidence of early leaflet thrombosis on multivariable analysis (odds ratio 2.71, 95% confidence interval 1.11–6.62; \(P = 0.03\)). However, other studies failed to identify cardiac output as a predictor of THV thrombosis.\(^{23,37,38}\)

### Cardiac output

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### Balloon-expandable vs. self-expanding valves

Conflicting results exist on the thrombotic risks of various balloon-expandable vs. self-expanding TAVR in head-to-head comparisons.\(^{26,37,39,40}\) In the Repositionable Percutaneous Replacement of Stenotic Aortic Valve Through Implantation of Lotus Valve System–Randomized Clinical Evaluation (REPRISE III) trial that randomized 912 participants into the self-expanding CoreValve\(^{TM}\) vs. the balloon-expandable Lotus\(^{TM}\) valve, the self-expanding valve had better forward flow dynamics, effective orifice area, and mean gradient due to its supra-annular design and positioning.\(^{39}\) Transcatheter heart valve thrombosis was identified in 16 cases during routine echocardiographic follow-up, all of which occurred with the balloon-expandable valve (3.0% vs. 0%, \(P < 0.01\)). However, there was no difference in all stroke rates (8.4% in balloon-expandable vs. 11.4% in self-expanding valves, \(P = 0.75\)). Similarly, Jose et al.\(^{33}\) reported a higher incidence of THV in balloon-expandable valves compared with self-expanding valves. Conversely, other studies did not observe any differences in THV thrombosis rates between the balloon-expandable vs. self-expanding valves.\(^{26,37,40}\) Interestingly, Yanagisawa et al.\(^{26}\) found that the Edwards Sapien 3\(^{TM}\) had a significantly higher incidence of early leaflet thrombosis compared with the Sapien XT\(^{TM}\) (17.9% vs. 4.1%, \(P < 0.001\)). On further inspection, the patients with Sapien 3\(^{TM}\) valve thrombosis had their TAVR implanted in a lower position compared with those without thrombosis. This was consistent with the aforementioned in vitro study showing that a lower TAVR implantation (i.e. intra-annular deployment) results in larger neo-sinuses with increased stagnation zone and longer blood residence time independent of valve type.\(^{13}\)
Transcatheter aortic valve replacement vs. surgical aortic valve replacement
The Placement of Aortic Transcatheter Valves (PARTNER) 3 trial randomized severe aortic stenosis patients of low surgical risk to undergo either TAVR with Sapien 3 or SAVR.2 The primary objective of the PARTNER 3 computed tomography (CT) substudy was to evaluate HALT and reduced leaflet motion (RLM) in a subset of patients from the larger randomized trial.41 Four-dimensional MDCT was performed at 30 days and 1 year, and examinations were all interpreted in a CT core laboratory. Of the 408 patients, 346 and 312 patients had evaluable CT examinations at 30 days and 1 year, respectively. At 30 days, the incidence of HALT was significantly higher for TAVR compared with SAVR [22 of 165 patients (13.3%) vs. 6 of 119 patients (5%), P = 0.03; relative risk ratio 2.64, 95% confidence interval 1.11–6.32]. However, the incidence of HALT was no longer different at 1 year [42 of 153 patients (27.5%) vs. 22 of 109 patients (20.2%), P = 0.19; relative risk ratio 1.38, 95% confidence interval 0.87–2.18].

In the Evolut Low-Risk substudy that utilized the self-expanding TAVR, Blanke et al.12 reported similar rates of THV thrombosis between TAVR and SAVR at both 30 days and 1 year. At 30 days, the frequency of HALT was 17.3% in TAVR patients vs. 16.5% in SAVR patients and the frequency of RLM was 14.6% in TAVR patients vs. 14.3% in SAVR patients. At 1 year, the frequency of HALT was 30.9% in TAVR patients and 28.4% in SAVR patients (28.4%) and the frequency of RLM was 31% in TAVR patients vs. 27% in SAVR patients.

Diagnosis
Tables 1 and 2 summarize all recent publications on THV thrombosis by transthoracic echocardiography and 4D MDCT, respectively. The incidence of THV thrombosis ranged from as low as 0.6% and up to 40% depending on the imaging modality utilized and diagnostic criteria employed.20–24,26–28,31,37–40,43–48

Echocardiography
By virtue of its ubiquitous availability and ease of use, echocardiography is the first imaging modality of choice. The main advantage is the quantification of valvular dysfunction based on the haemodynamic severity of obstruction. However, previously published mean gradient/peak velocity cut-off values to define bioprosthetic valve dysfunction due to THV thrombosis were highly variable (see Table 1). Despite the variable definitions, THV thrombosis by echocardiography is most frequently defined as a mean gradient of ≥20 mmHg, mean gradient increase by >50% compared with baseline, or effective orifice area <1.2 cm². Some studies have also incorporated other morphological abnormalities detected on echocardiography or 4D MDCT such as immobile/restricted leaflet motion, thrombotic mass or response to anticoagulation therapy.31,39,44–47 However, echocardiographic evaluation of increased leaflet thickness, RLM or identification of mobile mass suggestive of thrombus are frequently more challenging due to acoustic shadowing and ring-down artefacts arising from the valve struts. Overall, the published incidence of THV thrombosis detected by echocardiography ranged from 0.6% to 7.6% (Table 1).

Cardiac multidetector computed tomography
Due to its superior spatial resolution, 4D MDCT imaging is often used to detect THV thrombosis. The first case report of THV thrombosis detected by MDCT was published in 2013.46 Since then, the reported incidence of THV thrombosis by 4D MDCT ranged from 4% to 40% (Table 2).27–45 The anatomical diagnostic hallmark of THV thrombosis is leaflet thickening that is meniscoid in configuration and extends from the base to the tip of the leaflet, usually recognized as HALT on 4D MDCT (Figure 5). Hypoattenuated leaflet thickening can functionally lead to RLM, usually without severely elevated transvalvular gradients on echocardiography. The assessment of RLM is based on maximal leaflet opening in the systolic phase, thereby further stratifying patients into normal, mild (<50% RLM), moderate (50–70% RLM), severe (>70% RLM), or immobile (100% RLM) leaflets.13 Finally, HALT with ≥moderate RLM is defined as hypoattenuation affecting motion (HAM).

One important methodological issue with MDCT is the variability in temporal resolution due to different gantry rotation times of different CT scanners. Theoretically, a lower temporal resolution may reduce the diagnostic accuracy of RLM as maximally reduced leaflet excursion may not be imaged within the acquired dataset. Second, the need for contrast administration may also limit its use. Finally, due to the need for time-resolved imaging to visualize leaflet motion, 4D MDCT scanning protocol usually demands retrospective electrocardiogram gating without pulse modulation and occasionally at higher energy levels (140 kV compared to ‘standard’ 120 kV).12 Therefore, radiation exposure should be minimized by limiting scan range to only the aortic valve.

Echocardiography vs. cardiac multidetector computed tomography
Given the differences in echocardiographic vs. 4D MDCT definitions for THV thrombosis, the timing of imaging post-procedure, and the different patient populations studied, there is a substantial variability in the overall reported incidence of THV thrombosis or valve leaflet dysfunction. Often, the anatomical diagnosis of THV thrombosis by 4D MDCT does not equate to haemodynamic obstruction on echocardiography. For example, in the largest 4D MDCT series to date that included 890 patients from the RESOLVE and SAVORY registries, patients with at least moderate RLM (n = 106) had significantly higher mean aortic gradient (13.8 ± 10.0 vs. 10.4 ± 6.3 mmHg, P = 0.0004).21 However, only 16% of patients with ≥moderate RLM had moderately elevated mean aortic gradient >20 mmHg and only 4% of patients had severely elevated mean aortic gradient >40 mmHg. This means that 96% of patients with ≥moderate RLM did not have haemodynamically severe valvular obstruction, and 84% had normal transvalvular aortic gradient on echocardiography. This was consistent with several other studies showing that, although patients with THV thrombosis on 4D MDCT had statistically higher mean transvalvular gradients compared with controls, the mean gradients are often still within normal ranges.21,22,26,38 In the latest PARTNER 3 CT substudy, there was a non-significant trend towards higher mean aortic gradients in all TAVR and SAVR patients with HALT vs. those without HALT (13.2 ± 0.81 vs. 11.7 ± 0.24 mmHg, P = 0.08).41 Patients with RLM had significantly higher mean aortic...
| Author (year)          | Number of patients | Definition                                                                 | Valve type                    | Number of THV thrombosis (prevalence) | Time to diagnosis | Mean gradient (mmHg) | Clinical sequelae                                                                                           |
|-----------------------|--------------------|----------------------------------------------------------------------------|--------------------------------|--------------------------------------|-------------------|---------------------|----------------------------------------------------------------------------------------------------------------|
| Latib et al. (2015)   | 4266               | THV leaflet dysfunction:                                                   | Edwards Sapien/Sapien XT (76.9%) Medtronic CoreValve (23.1%) | 26 (0.6%)                           | Median 181 days (IQR 45–313) | 40.5 ± 14.0          | 65% worsening dyspnoea  
No stroke  
Did not compare to patients without thrombosis |
| Del Trigo et al. (2016)| 1521              | Mean gradient >10 mmHg                                                    | Edwards Sapien/ Sapien XT (48.5%) Medtronic CoreValve (49.7%) Others (1.8%) | 68 (4.5%)                           | —                 | 26.1 ± 11.0          | Compared with patients without thrombosis:  
Similar total mortality, cardiovascular mortality, and stroke  
70% worsening dyspnoea  
0% stroke  
Did not compare to patients without thrombosis |
| Franzone et al. (2018)| 1396              | Mean gradient >20 mmHg, or mean gradient increase by 50%, or recent or new onset heart failure | Edwards Sapien/ Sapien XT (48.6%) Medtronic CoreValve/ Evolut R (39.3%) Others (12.1%) | 10 (0.71%)                           | Median 379 days (IQR 35–524) | 36.4 ± 8.3           | 38.9% worsening dyspnoea  
5.5% stroke  
Did not compare to patients without thrombosis |
| Jose et al. (2017)    | 642               | Mean gradient >20 mmHg, or AVA <1.2 cm², or >mild new aortic regurgitation secondary to thrombosis diagnosed by response to anticoagulation or ‘typical’ echocardiographic or MDCT findings, or mobile mass suspicious of thrombus  
‘Typical’ echo findings include immobile or restricted leaflets, thrombotic mass, or thickened leaflets  
‘Typical’ CT findings is HALT | Edwards Sapien/ Sapien XT (72.2%) Medtronic CoreValve/ Evolut R (16.7%) Others (11.1%) | 18 (2.8%)                           | Median 181 days (IQR 25–297) | 34 ± 14             | 38.9% worsening dyspnoea  
5.5% stroke  
Did not compare to patients without thrombosis |

Continued
Table 1  Continued

| Author (year) | Number of patients | Definition | Valve type | Number of THV thrombosis (prevalence) | Time to diagnosis | Mean gradient (mmHg) | Clinical sequelae |
|---------------|--------------------|------------|------------|---------------------------------------|------------------|---------------------|-------------------|
| Vollena et al. 38 (2017) | 434 | Mean gradient $\geq 20$ mmHg and AVA $\leq 1.1$ cm$^2$ | • Edwards Sapien/Sapien 3/Sapien XT (90.8%)<br>• Medtronic CoreValve (9.2%) | 2 (3%) | — | — | See Table 2 |
| Spartera et al. 47 (2018) | 621 | Mean gradient $\geq 20$ mmHg and peak velocity $\geq 3$ m/s, PLUS response to anticoagulation therapy, and/or HALT detected on MDCT | • Edwards Sapien/Sapien 3/Sapien XT (48.1%)<br>• Medtronic CoreValve/Evolut R (35.3%)<br>• Others (16.6%) | 13 (2.1%) | 179.5 ± 252.9 days | 36.2 ± 16.5 | — |
| Abdel-Wahab et al. 20 (2018) | 300 valve-in-valve | • New valve leaflet dysfunction (mean gradient $\geq 20$ mmHg or increase by $\geq 50\%$) that responds to anticoagulation<br>• Imaging evidence of thrombosis on echo or HALT on MDCT | • Edwards Sapien/Sapien XT (48.7%)<br>• Medtronic CoreValve/Evolut R (50.3%)<br>• Others (1%) | 23 (7.6%) | — | — | • 1 stroke |
| Reardon et al. 39 (2019) | 912 | Mean gradient $\geq 20$ mmHg and peak velocity $\geq 3$ m/s, PLUS response to anticoagulation therapy, and/or HALT detected on MDCT | • Medtronic CoreValve (33.4%)<br>• Lotus (66.6%) | 16 (1.8%) | — | — | • No death<br>• No stroke |
| Overtchouk et al. 34 (2019) | 2555 | Increase in mean gradient by $\geq 10$ mmHg, or new mean gradient $>20$ mmHg | • Balloon-expandable (64.7%)<br>• Self-expanding (35.3%) | 140 (5.5%) | Median 12 months (IQR 11–15 months) | — | — |

AVA, aortic valve area; CT, computed tomography; HALT, hypoattenuated leaflet thickening; IQR, interquartile range; MDCT, multidetector computed tomography; THV, transcatheter heart valve.
| Author (year) | Number of patients | Definition | Valve type | Number of THV thrombosis (prevalence) | Time to diagnosis | Mean gradient (mmHg) | Clinical sequelae |
|---------------|--------------------|------------|------------|----------------------------------------|------------------|---------------------|-------------------|
| Makkar et al. (2015) | 55 | At least moderate (≥50%) RLM | Self-expanding (100%) | 22 (40%) | Median 32 days (IQR 28–37) | 10.5 ± 4.3 mmHg | Compared with patients with <50% RLM: Similar mortality Similar stroke |
| | | | Edwards Sapien/Sapien 3/Sapien XT (-) Medtronic CoreValve/Evolut R (-) Others (-) | 17 (13%) | 228 ± 459 days | 8.4 ± 2.9 mmHg | Compared with patients with <50% RLM: Increased risk of TIA |
| Leetmaa et al. (2015) | 140 | HALT | Edwards Sapien XT (100%) | 5 (4%) | Median 91 days (IQR 66–92) | 19.2 ± 6.3 mmHg | No stroke |
| Pache et al. (2016) | 156 | HALT | Edwards Sapien 3 (100%) | 16 (10.3%) | Median 5 days (IQR 5–6) | 14.9 ± 5.3 mmHg | No stroke |
| Hansson et al. (2016) | 405 | HALT | Edwards Sapien 3/Sapien XT (100%) | 28 (6.9%) | Median 43 days (IQR 28–57) | 10 ± 7 mmHg | 18% heart failure |
| | | | | | | | Compared with patients without HALT: Similar mortality rates Similar stroke rates |
| | | | | | | | Compared with patients with <50% RLM: Similar mortality Higher rates of TIA |
| Chakravarty et al. (2017) | 890 | At least moderately (≥50%) RLM | Edwards Sapien/Sapien 3/Sapien XT (-) Medtronic CoreValve/Evolut R (-) Others (-) | 106 (11.9%) | Median 83 days (IQR 33–281) | 13.8 ± 10.0 mmHg | |
| Ruile et al. (2018) | 629 | HALT | Edwards Sapien 3/Sapien XT (85.5%) Medtronic CoreValve/Evolut R (14.5%) | 93 (14.8%) | Median 5 days (IQR 5–6) | — | — |
| Sondergaard et al. (2017) | 84 | HALT with or without HAM (i.e. at least ≥50% RLM) | Edwards Sapien 3 (-) Medtronic CoreValve/Evolut R (-) Others (-) | HALT: 32 (38.1%) HAM: 7 (20.2%) | 159 ± 177 days | | 2 patients with HAM had TIA/ stroke |

Continued
| Author (year)            | Number of patients | Definition          | Valve type                                           | Number of THV thrombosis (prevalence) | Time to diagnosis | Mean gradient (mmHg) | Clinical sequelae                                      |
|-------------------------|--------------------|---------------------|------------------------------------------------------|---------------------------------------|-------------------|----------------------|-------------------------------------------------------|
| Vollema et al. (2017)   | 128                | HALT with or without HAM | Edwards Sapien/Sapien XT (100%)                     | 16 (12.5%)                            | Median 35 days (IQR 19–210) | 12.4 ± 8.0 mmHg | No TIA/stroke                                        |
| Yanagisawa et al. (2017)| 70                 | HALT                | Edwards Sapien XT (100%)                            | 10 (14.3%)                            | —                 | 8.3 ± 0.8 mmHg     | Compared with patients without HALT: Similar mortality Similar TIA rates |
| Ruile et al. (2018)     | 754                | HALT                | Edwards Sapien 3/Sapien XT (80.1%)                   | 120 (15.9%)                           | Median 5 days (IQR 4–6) | 11.3 ± 4.9 mmHg | Compared with patients without HALT: Similar all-cause mortality Similar CVA/TIA |
| Yanagisawa et al. (2019)| 485                | HALT                | Edwards Sapien 3/Sapien XT (89.9)                   | Early HALT: 45 (9.3%)                | Median 3 days >30 days | 12.9 ± 5.6 mmHg | Compared with patients without HALT: Similar all-cause mortality Similar rehospitalization for heart failure Similar stroke rates |
| De Backer et al. (2020) | 246                | At least moderately (>50%) RLM (primary endpoint) | Balloon-expandable (45.9%) | RLM: 2 (2.1%)a, 11 (10.9%)b | 90 ± 15 days | 11 ± 5 mmHg | No patients with >50% RLM had stroke or died |
                  |                    | HALT (secondary endpoint) | Self-expanding (54.1%) | RLM: 12 (12.4%)c, 33 (32.4%)c |                    |                     |                                       |

CVA, cerebrovascular accident; HALT, hypoattenuated leaflet thickening; HAM, hypoattenuation affecting motion; IQR, interquartile range; RLM, reduced leaflet motion; THV, Transcatheter heart valve; TIA, transient ischaemic attack.

aExperimental treatment group on rivaroxaban and aspirin for 3 months.
bControl group on aspirin and clopidogrel for 3 months.
The clinical consequences of THV thrombosis can be divided into haemodynamic effects of valvular obstruction, thromboembolic complications, and mortality. Due to the small number of patients with clinical events, no studies to date have systematically reported all these clinical sequelae. Haemodynamic effects of valvular obstruction often present clinically as heart failure symptoms such as exertional dyspnoea. The reported incidence of dyspnoea is higher in echocardiographic studies compared with 4D MDCT studies. As previously alluded to when comparing echocardiography vs. 4D MDCT, majority of patients diagnosed with THV thrombosis on 4D MDCT does not have significant haemodynamic obstruction on echocardiography and therefore absent heart failure symptoms (Table 2). Only one 4D MDCT study reported an incidence of heart failure as 18% (5 patients out of 28 cases of THV thrombosis). Of these five patients, three had preserved LVEF, effective orifice area <1.0 cm², and mean gradient >20 mmHg but <40 mmHg (raising the possibility of paradoxical low-flow low-gradient as cause of dyspnoea rather than severe valvular obstruction). The remaining two patients had severely reduced LVEF (but no baseline echocardiographic data available), again raising the possibility that the symptoms were due to pre-existing heart failure with reduced LVEF instead of severe valvular obstruction from THV thrombosis. To date, no other 4D MDCT studies have reported their overall incidence of heart failure associated with HALT or RLM.

In contrast, echocardiographic studies identify patients with already significant obstruction secondary to THV thrombosis. Therefore, patients are more likely to be symptomatic at presentation. Currently, three echocardiographic studies identified a total of 54 cases of THV thrombosis in 6304 TAVR patients (a pooled incidence of 0.9%), with reported incidence of worsening dyspnoea ranged from 38.9% to 70% in these patients. Finally, only one published study has compared clinical symptoms between patients with or without THV thrombosis and reported no significant difference in the overall incidence of heart failure.

Thromboembolism, specifically transient ischaemic attack (TIA) or cerebrovascular accident (CVA), is the most likely reported clinical complication of THV thrombosis (Tables 1 and 2). The overall incidence of thromboembolism is low and the largest reported absolute number of TIA/CVA was 8 in 106 patients with THV thrombosis on 4D MDCT, equating to an overall incidence of 7.5%. To date, only 1 study has reported a significant difference in the incidence of thromboembolism in patients with and without THV thrombosis. From the RESOLVE and SAVORY registries where TIA and CVA were blindly adjudicated by a stroke neurologist, Chakravarty et al. reported a higher incidence of non-procedural TIA incidence (5% vs. 1%, P = 0.002) but no difference in ischaemic stroke rates (4% vs. 2%, P = 0.14) in patients with THV thrombosis. All other published studies showed no difference in TIA/CVA incidence. In the PARTNER 3 CT substudy, patients with HALT had 8.6% combined risk of death, CVA, TIA and thromboembolic events compared with 2.9% in patients without HALT (P = 0.11). Long-term implications on TAVR longevity is unknown, and further follow-up is planned at 10 years. Similar results were also noted in the recently published Global Study Comparing a Rivaroxaban-Based Antithrombotic Strategy to an Antiplatelet-Based Strategy After Transcatheter Aortic Valve Replacement to Optimize Clinical Outcomes (GALILEO-4D) trial substudy where no patients with >50% RLM had thromboembolic complications at 90 days.

Finally, all studies to date have reported a similar rate of all-cause mortality between patients with and without THV thrombosis. In the PARTNER 3 CT substudy, no patients with HALT died within the reported study period. Based on current publications, the incidence of clinical complications associated with subclinical THV thrombosis is very low. However, all these studies are limited by a small number of patients with THV thrombosis with limited follow-up duration. With the expected growth and utilization of TAVR for intermediate- and low-risk patient groups, future research into clinical implications of THV thrombosis on long-term valve integrity and patient morbidity/mortality is needed.

### Treatment
Antithrombotic therapy post-bioprosthetic valve replacement is a balance between the risks of thromboembolism vs. bleeding. Following TAVR, the American Heart Association/American College of Cardiology (AHA/ACC) guidelines, the ESC/EACTS guidelines, and the latest TAVR expert consensus statement all recommend dual antiplatelet therapy (DAPT) for 3–6 months post-procedure (Table 3). For patients with high bleeding risks, the ESC/EACTS guidelines also suggested single antiplatelet therapy (SAPT) may be more appropriate (Class IIb, level of evidence C). This was based on three small randomized controlled trials including 421 TAVR patients comparing DAPT vs. SAPT. These trials suggested that TAVR could be safely performed using SAPT without increased procedural morbidity and mortality, and at 6 months follow-up. Subsequent meta-analyses of these three trials with other observational studies...
suggested that DAPT after TAVR was associated with higher rates of bleeding, but there were no differences in the incidences of death, ischaemic events, myocardial infarction, or strokes.55,56

It is possible that TAVR patients may be at higher risk for valve thrombosis compared with SAVR patients, and the routine clinical research use of MDCT following TAVR has demonstrated a significant proportion of patients develop subclinical THV thrombosis despite DAPT. Often, treating THV thrombosis is based on the clinical judgement of variables such as acuity of patient presentation and severity of symptoms secondary to valve leaflet dysfunction. Clearly, patients presenting with heart failure and mean transvalvular gradient of 60 mmHg should be treated more urgently than those with asymptomatic HALT but normal mean gradients. As open thoracotomy for SAVR was initially deemed inappropriate for these high-risk TAVR patients in the first place and therefore redo-SAVR for THV thrombosis is unlikely to happen, there are two potential treatment strategies for THV thrombosis: conservative surveillance vs. anticoagulation.

Conservative surveillance

One of the advantages of bioprosthetic valve prosthesis is avoiding anticoagulation associated with mechanical prosthesis. Currently, many TAVR patients have an increased risk of bleeding due to advanced age or multiple comorbidities. Therefore, conservative surveillance of THV thrombosis may be more appropriate for TAVR patients with absent clinical symptoms or haemodynamically significant valve leaflet dysfunction. Sondergaard et al.24 was first to provide some insights into the natural history of THV thrombosis where 84 patients (61 TAVR and 23 SAVR) from the SAVORY registry underwent two protocol-driven 4D MDCT examinations with unchanged antithrombotic medication between the scans. Baseline and follow-up 4D MDCT were performed at a mean of 140 ± 152 and 298 ± 141 days post-valve implantation, respectively, and all scans were evaluated at core laboratories blinded to baseline variables, antithrombotic medication, clinical events, and outcomes of previous CT scans. At baseline, 38.1% of patients had HALT and 20.2% had HAM. At follow-up, 15.5% of patients had progression of the abnormality, 10.7% showed regression, and 73.8% showed no change. All patients with THV thrombosis were asymptomatic throughout the study duration. On multiple logistic regression, anticoagulation with either vitamin K antagonist (VKA) or non-vitamin K oral anticoagulants (NOAC) was significantly associated with non-progression. No patients on NOAC had progression of THV thrombosis. In the PARTNER 3 CT substudy, HALT spontaneously resolved in 56% of all patients (i.e. both TAVR and SAVR) at 1 year. Vice versa, 21% of all TAVR and SAVR patients developed new HALT at 1 year.41 These observations suggest that the time course of THV thrombosis is highly variable.

Anticoagulation

Currently, oral anticoagulation following TAVR is only recommended when there are other indications for anticoagulation such as atrial fibrillation.49 In patients with surgical bioprosthetic valve thrombosis, the ESC/EACTS guidelines recommend anticoagulation with VKA and/or unfractionated heparin (UFH) as first-line therapy (Class I, level of evidence C).49 However, there are no guideline treatment recommendations for subclinical THV thrombosis in TAVR patients. It is increasingly recognized that anticoagulation with either VKA or NOAC, not DAPT, reduces the incidence and promotes the regression of THV thrombosis.21–23,27,33,34,39,48 For example, of the 58 patients who were diagnosed with THV thrombosis from the RESOLVE and SAVORY registries, all 36 patients who were anticoagulated for 3 months (24 with VKA and 12 with NOAC) had restoration of normal leaflet motion on follow-up MDCT.51 In the remaining 22 patients who were not anticoagulated, 20 patients had either persistent or progressive leaflet abnormality.51 Other smaller series also reported high proportion of patients with the resolution of THV thrombosis with anticoagulation.23,27,33,39

In the recently published GALILEO trial that was specifically designed to evaluate the clinical implications of THV thrombosis, TAVR patients were randomized to experimental low-dose rivaroxaban at 10 mg daily plus aspirin 75–100 mg daily for 3 months, followed by rivaroxaban 10 mg daily monotherapy vs. control aspirin 75–100 mg daily plus clopidogrel 75 mg daily for 3 months followed by aspirin 75–100 mg daily monotherapy.57 No patient had an underlying baseline indication for chronic anticoagulation. The trial was

| Table 3 Current guidelines for antithrombotic surgery after surgical aortic valve replacement and transcatheter aortic valve implantation |
|-----------------|--------------|-----------------|-------------------|--------------|-----------------|
| **ESC/EACTS**49 | **Class**a   | **Level**b      | **AHA/ACC**50     | **Class**a   | **Level**b      |
| Bioprosthetic SAVR |              |                 |                   |              |                 |
| Anticoagulation in first 3 months | Iib | C               | Anticoagulation with INR goal | Iib | B               |
| Aspirin for first 3 months only | Ila | C               | 2.5 in first 3 months | Ila | B               |
| TAVR |              |                 |                   |              |                 |
| DAPT for first 3–6 months followed by SAPT | Ila | C               | Aspirin long-term | Iib | C               |
| SAPT in case of high bleeding risk | Iib | C               | Clopidogrel for first 6 months with lifelong aspirin | Iib | C               |

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ACC, American College of Cardiology; AHA, American Heart Association; DAPT, dual antiplatelet therapy; EACTS, European Association for Cardio-Thoracic Surgery; ESC, European Society of Cardiology; INR, international normalized ratio; SAPT, single antiplatelet therapy; SAVR, surgical aortic valve replacement; TAVR, transcatheter aortic valve replacement.

aClass of recommendation.

bLevel of evidence.
terminated prematurely in November 2018 after interim analysis due to safety concerns with anticoagulation. At the time of trial termination, only 42% of the total planned 440 primary efficacy events (defined as combined higher death or first thromboembolic event) had occurred. Based on the intention-to-treat analysis, patients randomized to low-dose rivaroxaban had significantly higher risk of death or first thromboembolic event (hazard ratio 1.35, 95% confidence interval 1.01–1.81; P = 0.04) and higher all-cause mortality (hazard ratio 1.69, 95% confidence interval 1.13–2.53). However, the higher all-cause mortality was primarily driven by non-cardiovascular causes. Patients were also more likely to have bleeding complications, and there was a trend towards a significant difference in the primary safety outcome (defined as the composite of life-threatening, disabling, or major bleeding according to the Valve Academic Research Consortium) (hazard ratio with rivaroxaban 1.50, 95% confidence interval 0.95–2.37; P = 0.08). As the study was terminated early with less than half of the projected primary efficacy events, it is difficult to assess the overall risks vs. benefits ratio especially in the context of the GALILEO-4D substudy.

In the GALILEO-4D substudy, 231 TAVR patients underwent 4D MDCT and echocardiograms at 3 months with the primary endpoint of ≥grade 3 (i.e. >50%) RLM. Anticoagulation with rivaroxaban with aspirin was associated with a lower incidence of RLM (between-group difference -8.8%, 95% confidence interval -16.5% to -1.9%; P = 0.01) and HALT (between-group difference -20.0%, 95% confidence interval -30.9% to -8.5%) compared with DAPT. Cross-sectionally, there were no differences in mean transvalvular gradients between both treatment arms at 3 months, between patients with or without ≥grade 2 RLM, between patients with or without ≥grade 3 RLM, or between patients with or without HALT. However, longitudinally (when comparing baseline and follow-up echocardiographic examinations) patients with RLM or HALT were more likely to have ≥5 or ≥10 mmHg increase in mean gradient at follow-up. Overall, the numbers of patients with moderate haemodynamic SVD or clinical thromboembolic events were too small for meaningful interpretation.

Although anticoagulation will result in the resolution of THV thrombosis, the optimal duration of anticoagulation is unknown. When anticoagulation was ceased in patients from the RESOLVE and SAVORY registries, THV thrombosis recurred in 50% of patients after a mean time of 164 ± 109 days. Yanagisawa et al. also reported that the incidences of late THV thrombosis at 6 months, 1 year, 2 years, and 3 years were 7.1%, 11.3%, 12.7%, and 16.9%, respectively. Finally, the median time to THV thrombosis ranged from 5 to 379 days (Tables 1 and 2). These data suggest that a longer period of antithrombotic/anticoagulation therapy post-TAVR may be warranted, and it is unclear if the eventual ‘protective’ re-endothelialization of the bioprosthetic valve is enough to overcome the permanent ‘prothrombotic’ effects of altered flow (i.e. neo-sinususes, reduced cardiac output) across the valve. On the other hand, routine indiscriminate use of anticoagulation seems inappropriate in these TAVR patients with high bleeding risks as demonstrated in the GALILEO trial. Therefore, future studies are clearly required to determine the optimal antithrombotic regimen after TAVR in terms of specific agent, dose, and duration of therapy.

Finally, the Antiplatelet Therapy for Patients Undergoing Transcatheter Aortic Valve Implantation (POPular-TAVI) trial recently published the results for their cohort B TAVR patients who have an established indication for long-term anticoagulation such as atrial fibrillation. Patients receiving oral anticoagulation alone had a significant lower risk of bleeding compared with the combination of oral anticoagulation plus clopidogrel (21.7% vs. 34.6%, P = 0.01; relative risk ratio 0.63, 95% confidence interval 0.43–0.90). In the trial, the secondary composite endpoint #1 combined the risks of cardiovascular death and thromboembolism (i.e. CVA and myocardial infarction) with bleeding, and the secondary composite endpoint #2 combined the risks of cardiovascular death and thromboembolism without bleeding. For the secondary composite endpoint #1, oral anticoagulation alone was not inferior to combination therapy (31.2% vs. 45.5%, difference -14.3 percentage points, 95% confidence interval for non-inferiority -25.0 to -3.6 percentage points) and was superior to combination therapy (relative risk ratio 0.69, 95% confidence for superiority 0.51–0.92). For the secondary composite endpoint #2, oral anticoagulation alone was not inferior to combination therapy (13.4% vs. 17.3%, difference -3.9 percentage points, 95% confidence interval for non-inferiority but was not superior to combination therapy (relative risk ratio 0.77, 95% confidence interval for superiority 0.46–1.31). Therefore, compared with the GALILEO trial, the POPular-TAVI trial suggested that selective single agent anticoagulation without antiplatelet therapy can be safely used in TAVR patients with pre-existing indications for long-term anticoagulation and is associated with a lower risk of bleeding.

**Future directions**

Based on the current evidence, the diagnosis and management of THV thrombosis should be based on the combined anatomic (i.e. the presence of HALT with or without RLM on 4D MDCT), functional (i.e. transvalvular gradients on echocardiography), and clinical evaluation of patient’s symptoms (e.g. dyspnoea from severe stenosis, patient–prosthesis mismatch, or thromboembolism) as shown in Take home figure. However, there are several questions on THV thrombosis that need to be answered in future research. The current

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**Take home figure** Recommended flow chart for clinical decision-making for the diagnosis and treatment of transcatheter heart valve thrombosis. 4D, four-dimensional; HALT, hypoattenuated leaflet thickening; MDCT, multidetector computed tomography; NOAC, non-vitamin K oral anticoagulants; RLM, reduced leaflet motion; TTE, transthoracic echocardiography; VKA, vitamin K antagonist.
foremost research question is the unclear clinical significance/implications of subclinical THV thrombosis. If it is not associated with increased adverse outcomes such as heart failure, thromboembolism, or death compared with controls, there will be no clinical indication for regular surveillance or treatment. It is likely that the current absence of clinical outcomes associated with subclinical THV thrombosis is due to the small number of patients in studies and the short duration of follow-up. Alternatively, thrombosis may be part of the natural healing response after TAVR without clinical sequelae in majority of patients. If future research suggests that regular surveillance is required, the variable natural history of THV thrombosis may entail regular echocardiography instead of 4D MDCT be used to detect haemodynamically severe and symptomatological valvular obstruction without the risks of repeated radiation exposure. However, the frequency and duration of periodic surveillance is unknown. Finally, the optimal type (anticoagulation vs. antiplatelet) and duration of therapy after TAVR is also unknown. As before, routine indiscriminate use of anticoagulation in all TAVR patients is likely inappropriate and anticoagulation should probably only be given to patients with symptomatic THV thrombosis (e.g. dyspnoea from severe stenosis or severe patient–prosthesis mismatch, or evidence of thromboembolism) or pre-existing indications. Some of these research questions may be potentially answered by several upcoming clinical trials. For example, the DAPT vs. Oral Anticoagulation for a Short Time to Prevent Cerebral Embolism After TAVI (AUREA) (NCT01642134) trial will compare DAPT against VKA. The Anti-Thrombotic Strategy After Trans-Aortic Valve Implantation for Aortic Stenosis (ATLANTIS) (NCT02664649) trial aims to demonstrate the superiority of monotherapy anticoagulation with Apixaban 5 mg twice daily compared with either VKA or DAPT. Finally, the Edoxaban Compared to Standard Care After Heart Valve Replacement Using a Catheter in Patients With Atrial Fibrillation (ENVISAGE-TAVI AF) (NCT02943785) trial compares Edoxaban vs. VKA in TAVR patients with atrial fibrillation. Since TAVR is increasingly utilized in lower-risk patients, it is of utmost importance to answer these clinical questions.

Conflict of interest: M.J.M. is an uncompensated co-principal investigator of the COAPT trial (Abbott Vascular) and serves on the Apollo Trial Executive Committee (Medtronic). R.M. has received grants from Edwards Lifesciences and St. Jude Medical; is a consultant for Abbott Vascular, Cordis, and Medtronic; and holds equity in Entourage Medical. P.B. provides uncompensated computed tomography services for Edwards Lifesciences, Medtronic, Neovasc, Aegis, and Tendyne Holdings. J.A.L. is supported by the Canadian Research Entourage Medical. P.B. provides uncompensated computed tomography services for Edwards Lifesciences, Medtronic, Neovasc, Aegis, and Tendyne Holdings. M.B.L. has served as a non-paid member of the scientific board of Edwards Lifesciences and has served as a consultant for Abbott Vascular and Boston Scientific. The Department of Cardiology of the Leiden University Medical Center received unrestricted research grants from Abbott Vascular, Bayer, BioVentrix, Biotronik, Boston Scientific, Edwards Lifesciences, Medtronic, and GE Healthcare. V.D. received speaker fees from Abbott Vascular, GHealthcare, Medtronic, MSD and Edwards Lifesciences. J.J.B. received speaker fees from Abbots Vascular. All other authors declared no conflict of interest.

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