Identification and validation of potential prognostic gene biomarkers for predicting survival in patients with acute myeloid leukemia

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Background: Molecular analysis is a promising source of clinically useful prognostic biomarkers. The aim of this investigation was to identify prognostic biomarkers for patients with acute myeloid leukemia (AML) by using the gene expression profile dataset from public database.

Methods: The gene expression profile dataset and corresponding overall survival (OS) information of three cohorts of AML patients from GSE12417 and The Cancer Genome Atlas AML project (TCGA-LAML) were included in the present study. Prognostic gene screening was performed by using a survival package, whereas time-dependent receiver operating characteristic (ROC) curve analysis was performed using the survivalROC package.

Results: In the three cohorts, 11 genes were identified that were significantly associated with AML OS. A linear prognostic model of the 11 genes was constructed and weighted by regression coefficient (β) from the multivariate Cox regression analyses of GSE12417 HG-U133A cohort to divide patients into high- and low-risk groups. GSE12417 HG-U133 plus 2.0 and TCGA-LAML were validation cohorts. Patients assigned to the high-risk group exhibited poor OS compared to patients in the low-risk group. The 11-gene signature is a prognostic marker of AML and demonstrates good performance for predicting 1-, 3-, and 5-year OS as evaluated by survivalROC in the three cohorts.

Conclusion: Our study has identified an mRNA signature including 11 genes, which may serve as a potential prognostic marker of AML.

Keywords: acute myeloid leukemia, prognosis, biomarker, GEO, TCGA

Introduction
Acute myeloid leukemia (AML), a heterogeneous clonal disorder of hemopoietic progenitor cells, is the most common myeloid leukemia with a poor prognosis. The 5-year overall survival (OS) of AML is <50%, and for patients older than 60 years, OS is <20%.1,2 Numerous factors that affect the prognosis of AML have been identified, including age, the number of white blood cells in peripheral blood, lactic dehydrogenase levels before chemotherapy, and cytogenetic changes.3,4 The treatment strategy of AML can be individualized according to cytogenetic characteristics, thus prolonging the patient’s remission and survival.2,4 Genetic defects are considered the most important factors in determining the effect of chemotherapy and outcome.3,5 Prognostic factors of AML can be divided into patient- and disease-associated factors. Molecular genetic lesions, a disease-associated factor, have become an active research area for the investigation of prognostic and predictive markers.4 Gene expression profiling in AML has already been proven to be valuable in the diagnosis of different cytogenetic subtypes, discovering novel AML subclasses, and prognostic prediction.6 Molecular analysis is a
promising source of clinically useful prognostic biomarkers. The aim of this investigation was to identify prognostic biomarkers for patients with AML by using a gene expression profile dataset from the public database and constructing a gene signature for AML prognostic prediction.

Methods
Data source and preprocessing
The discovery and validation gene mRNA expression profile datasets were obtained from Gene Expression Omnibus (https://www.ncbi.nlm.nih.gov/geo/) and The Cancer Genome Atlas (TCGA; https://tcga-data.nci.nih.gov/), so, this article does not contain any studies with human participants or animals performed by any of the authors of the present study. The inclusion criteria of cohorts were as follows: 1) whole genome mRNA expression profile dataset, 2) sample of tissue was bone marrow, and 3) the patient’s survival information can be available. By searching the public expression profile database, we found that only the AML patients of the GSE12417 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE12417) and TCGA AML project (TCGA-LAML) cohorts were suitable for the requirements of this study. The bone marrow expression profile dataset of AML patients with complete survival data available was included in the present study. The raw dataset of RNA sequencing was normalized by the DESeq package in the R platform, whereas the expression chip dataset was normalized by the limma package. For multiple probe sets, the average value corresponding to the same gene was regarded as the gene’s expression value. The gene expression level of the TCGA-LAML cohort was log2-transformed for further analysis.

Identification of prognostic gene markers
Survival analyses were conducted on patients with normalized mRNA expression and OS profiles. Patients were divided into low- and high-expression groups according to the gene’s median expression value. Univariate Cox proportional hazards regression analysis was applied to estimate survival in the study sample by using a survival package in the R platform, and the low expression group was set as the reference group. A cutoff P-value of 0.05 was considered statistically significant and used to identify prognostic gene markers.

Construction and validation of the prognostic gene signature
The intersection of the three cohorts’ prognostic gene markers was used to construct the survival predictive model. These prognostic gene markers from the GSE12417 HG-U133A cohort were fitted in a multivariate Cox regression model with OS as a dependent variable to measure the relative contributions for survival prediction. A prognosis risk score was established on the basis of a linear combination of these gene expression levels multiplied by a regression coefficient (β) derived from the multivariate Cox proportional hazards regression model of each gene with the following formula: risk score = expression of gene1 × β1 + expression of gene2 × β2 + … expression of genen × βn. Then, another two independent cohorts of AML patients from GSE12417 HG-U133 plus 2.0 and TCGA-LAML were used for the prognostic signature validation. The AML patients were divided into low- and high-risk groups according to the median value of the prognostic risk score. The time-dependent receiver operating characteristic (ROC) curve analysis was performed using the survivalROC package in the R platform to evaluate the predictive accuracy of the prognostic signature for time-dependent cancer death.

Statistical analysis
Univariate Cox proportional hazards regression model was used for calculating the clinical outcome between different gene expression groups. Hazard ratio (HR) and 95% confidence interval (CI) were calculated from the univariate Cox proportional hazards regression model. A survival curve was carried out using the Kaplan–Meier method with the log-rank test. A value of P<0.05 was considered statistically significant. All the statistical analyses were conducted with SPSS Version 20.0 (IBM Corporation, Armonk, NY, USA) and R 3.3.0.

Results
Study population
By performed the inclusion criteria, GSE12417 HG-U133A, GSE12417 HG-U133 plus 2.0 chip, and TCGA-LAML cohorts were included into the present study. In order to ensure consistency in the patients enrolled, we excluded two AML patients with peripheral blood expression profile datasets from the GSE12417 HG-U133A cohort and five from the GSE12417 HG-U133 plus 2.0 chip cohort. One myelodysplastic syndrome patient was excluded from the GSE12417 HG-U133A cohort and one was excluded from the GSE12417 HG-U133 plus 2.0 cohort. A total of 160 patients from the GSE12417 HG-U133A cohort and 79 patients from the GSE12417 HG-U133 plus 2.0 cohort were included in the current study. In addition, we excluded 11 patients without clinical data from the TCGA-LAML cohort and, then, a total of 140 AML patients were used...
for further analysis. All of these three cohorts were whole-genome mRNA expression profile dataset, and the sample of tissue was bone marrow. In addition, the OS time information of AML can be used for survival analysis.

**Prognostic gene marker screening**

Genome-wide survival analysis was performed by the survival package in the R platform, and low gene expression was set as the reference group. The HR and 95% CI were used to assess the risk of death in the high-expression group relative to the low-expression group and were calculated by the univariate Cox proportional hazards regression model. A total of 1,259 genes were identified as prognostic gene markers in the GSE12417 HG-U133A cohort; of these, there were 562 genes with a HR of <1 and 697 genes with a HR of >1 (Table S1). We also identified 1,234 genes as prognostic gene markers in the GSE12417 HG-U133 plus 2.0 and 5,238 genes in the TCGA-LAML cohort. Among these genes, there were 728 genes with a HR of <1 and 506 genes with a HR of >1 in the GSE12417 HG-U133 plus 2.0 cohort (Table S2), whereas there were 2,886 genes with a HR of <1 and 2,352 genes with a HR of >1 in the TCGA-LAML cohort (Table S3).

**Prognostic gene signature construction and validation**

The intersection of the three cohorts’ prognostic gene markers included the following 11 genes (Table 1 and Figure 1): acyl-CoA synthetase family member 2 (ACSF2), C-X-C motif chemokine receptor 6 (CXCR6), family with sequence similarity 124 member B (FAM124B), FRY-like transcription coactivator (FRYL), glycophorin A (GYPA), hemoglobin subunit gamma 1 (HBG1), mago homolog, exon junction complex core component (MAGOH), malate dehydrogenase 2 (MDH2), solute carrier family 2 member 5 (SLC2A5), succinate-CoA ligase alpha subunit (SUCLG1), and transmembrane channel like 5 (TMCS). Among these 11 genes, five genes were identified as protective prognostic genes (HR <1; CXCR6, FRYL, GYPA, HBG1, and TMCS; Table 1 and Figure 1A), whereas the remaining six genes were identified as risky prognostic genes (HR >1; ACSF2, FAM124B, MAGOH, MDH2, SLC2A5, and SUCLG1; Table 1 and Figure 1B). Survival curves for these genes in the three cohorts are shown in Figures 2A–K, 3A–K, and 4A–K. The predictive model was identified in the GSE12417 HG-U133A cohort with the following formula: risk score = expression of ACSF2 × (0.438) + expression of CXCR6 × (−0.077) + expression of FAM124B × (0.295) + expression of FRYL × (−0.391) + expression of GYPA × (−0.289) + expression of HBG1 × (−0.072) + expression of MAGOH × (0.387) + expression of MDH2 × (−0.106) + expression of SLC2A5 × (0.329) + expression of SUCLG1 × (0.221) + expression of TMCS × (−0.35). The risk score for each patient was calculated according to the aforementioned formula, and then, the median value of the risk score was used as a cutoff to create a high- or low-risk group. The risk score result of the GSE12417 HG-U133A cohort is shown in Figure 5A. Survival analysis demonstrated that patients with

| Gene symbol | GSE12417 HG-U133A cohort | GSE12417 HG-U133 plus 2.0 cohort | TCGA-LAML cohort |
|-------------|--------------------------|---------------------------------|------------------|
| HR (95% CI) | Log-rank P-value | Coefficient β | HR (95% CI) | Log-rank P-value | HR (95% CI) | Log-rank P-value |
| ACSF2 | 1.803 (1.214–2.679) | 0.0331 | 0.438 | 1.886 (1.017–3.499) | 0.0407 | 1.718 (1.119–2.639) | 0.0124 |
| CXCR6 | 0.640 (0.432–0.950) | 0.0327 | −0.077 | 0.663 (0.427–0.998) | 0.0495 | 0.818 (0.501–1.369) | 0.0404 |
| FAM124B | 1.782 (1.199–2.648) | 0.0037 | 0.925 | 2.556 (1.361–4.799) | 0.0025 | 1.852 (1.204–2.866) | 0.0042 |
| FRYL | 0.637 (0.430–0.944) | 0.0234 | −0.391 | 0.534 (0.287–0.992) | 0.0437 | 0.529 (0.345–0.813) | 0.0032 |
| GYPA | 0.580 (0.392–0.859) | 0.0059 | −0.289 | 0.532 (0.288–0.983) | 0.0405 | 0.611 (0.400–0.936) | 0.0225 |
| HBG1 | 0.599 (0.405–0.887) | 0.0998 | −0.072 | 0.429 (0.229–0.802) | 0.0064 | 0.598 (0.391–0.917) | 0.0174 |
| MAGOH | 1.822 (1.226–2.709) | 0.0026 | 0.387 | 1.858 (1.001–3.448) | 0.0464 | 1.613 (1.052–2.474) | 0.0267 |
| MDH2 | 1.749 (1.178–2.596) | 0.005 | −0.106 | 2.007 (1.080–3.729) | 0.0246 | 1.652 (1.080–2.526) | 0.0199 |
| SLC2A5 | 1.603 (1.079–2.381) | 0.0183 | 0.329 | 2.036 (1.093–3.792) | 0.0222 | 1.905 (1.242–2.922) | 0.0026 |
| SUCLG1 | 1.661 (1.121–2.463) | 0.0078 | 0.221 | 1.887 (1.022–3.484) | 0.0394 | 2.076 (1.049–0.913) | 0.0007 |
| TMCS | 0.646 (0.436–0.955) | 0.0145 | −0.35 | 0.507 (0.273–0.940) | 0.028 | 0.569 (0.370–0.875) | 0.0098 |

Notes: *Low expression group was the reference group. Derived from the multivariable Cox proportional hazards regression analysis of AML patients in GSE12417 HG-U133A cohort. Abbreviations: ACSF2, acyl-CoA synthetase family member 2; AML, acute myeloid leukemia; CI, confidence interval; CXCR6, C-X-C motif chemokine receptor 6; FAM124B, family with sequence similarity 124 member B; FRYL, FRY-like transcription coactivator; GYPA, glycophorin A; HBG1, hemoglobin subunit gamma 1; HR, hazard ratio; MAGOH, mago homolog, exon junction complex core component; MDH2, malate dehydrogenase 2; SLC2A5, solute carrier family 2 member 5; SUCLG1, succinate-CoA ligase alpha subunit; TCGA-LAML, The Cancer Genome Atlas AML project; TMCS, transmembrane channel like 5.
A high-risk score had an increased risk of death compared to the low-risk group (log-rank \( P=0.0004 \), HR =2.03, 95% CI =1.359–3.032, Figure 5B). The prognostic signature in the GSE12417 HG-U133A cohort showed a good performance in the prediction of clinical outcome, as the area under the ROC curve (AUC) was 0.729, 0.722, and 0.703 for 1-, 3-, and 5-year survivals (Figure 5C), respectively.

To evaluate the power of the prognostic risk score model for patients’ survival prediction, the prognostic signature and cutoff point derived from the GSE12417 HG-U133A cohort were applied to the AML patients in the GSE12417 HG-U133 plus 2.0 and TCGA-LAML cohorts as an independent validation. The results of these two cohorts are shown in Figures 6A–C and 7A–C, respectively. The prognostic signature in the GSE12417 HG-U133 plus 2.0 cohort (log-rank \( P=0.0019 \), HR =2.59, 95% CI =1.389–4.828, Figure 6B) and TCGA-LAML cohort (log-rank \( P<0.0001 \), HR =2.448, 95% CI =1.579–3.795, Figure 7B) also shows a good performance in survival prediction; the result of the Kaplan–Meier analysis showed a significant difference in patients’ OS between the high-risk and low-risk groups. The predictive accuracy of this prognostic model in the GSE12417 HG-U133 plus 2.0 cohort reported that the AUC of the ROC curve was 0.782, 0.697, and 0.662 for 1-, 3-, and 5-year survivals (Figure 6C), respectively. The AUC of the ROC curve for the TCGA-LAML cohort was 0.666, 0.713, and 0.707 for 1-, 3-, and 5-year survivals (Figure 7C), respectively. The expression level distribution of the 11 genes in the low- and high-risk groups of the three cohorts is presented in Figure 8A–C.

**Discussion**

Whole genome molecular analysis is a promising source of clinically useful prognostic biomarkers in AML. The prognosis of AML is partly driven by genetic factors, and a combination of multiple genes contributes to the improvement of prognostic predictive accuracy. In the present study, we extract the AML gene expression profile dataset and corresponding survival information from GSE12417 and TCGA for whole genome survival analysis. We identified 11 genes associated with AML prognosis and constructed and validated a prognostic signature composed of the 11 genes. An assessment by time-dependent ROC curve analysis demonstrated that the prognostic signature of the 11 genes showed a good performance for predicting 1-, 3-, and 5-year OS of AML patients in the three cohorts.

The prognostic value of the five protective genes (CXCR6, FRYL, GYP A, HBG1, and TMC5) expressed in AML has not been identified in previous studies. CXCR6, one of the protective prognostic genes, is involved in signaling by a G-protein-coupled receptor and peptide ligand-binding receptor pathways, and GO annotations related to this gene include G-protein-coupled receptor activity and C-X-C chemokine receptor activity. The blockade of CXCR6 expression represses cell proliferation and invasion of hepatocellular carcinoma (HCC) cells,\(^{14,15}\) gastric cancer cells,\(^{16}\) and prostate cancer.\(^{17}\) CXCR6 is overexpressed in many cancer cells and significantly increased in HCC tumor tissue,\(^{14}\) prostate cancer,\(^{17–19}\) gastric cancer,\(^{20}\) epithelial ovarian carcinoma,\(^{21}\) and non-small-cell lung cancer\(^{22}\) compared to nontumor tissues. Previous studies also demonstrate that
CXCR6 expression is involved in the metastasis of various types of cancer,\(^{19,21}\) and high CXCR6 expression significantly increased the risk of death in patients with prostate cancer,\(^{19}\) gastric cancer,\(^{20}\) and Ewing’s sarcoma.\(^{23}\) In contrast with these results, in the current study, high CXCR6 expression shows a decreased risk of death in patients with AML. Therefore, our results still need to be validated in future studies.

A study by Hayette et al\(^{24}\) indicated that FRYL fragment fused to mixed lineage leukemia (MLL) may contribute to the oncogenic activation of MLL, whereas Robinson et al\(^{25}\) reported that MLL-FRYL increases cell proliferation without an obvious effect on differentiation. A case report also showed that t(4;11)(p12;q23) with MLL and FRYL involvement represents a new recurring 11q23 translocation in therapy-related AML.\(^{26}\) Another protective prognostic
gene, **GYPA**, is the most abundant erythrocyte surface sialoglycoprotein and bears the antigenic determinant for the MNS blood group and has high expression in normal human bone marrow (GenAtlas: [http://www.genatlas.org](http://www.genatlas.org)).27 The CC genotype of **GYPA**-rs2202507 confers a protective effect in lung cancer genetic susceptibility.28 However, any function of **HBGI** and **TMC5**, the remaining two protective genes, involved in cancer or leukemia has seldom been reported. **HBGI** is normally expressed in bone marrow and is involved in fetal hemoglobin constitution, as well as being related to thalassemia.29,30 A study by Kurima et al31 through the genomic sequence analysis of TMC genes demonstrated that **TMC5** may play a role in hearing loss and autosomal dominant medullary cystic kidney disease.
Our study is the first to report the expression of these genes in AML prognosis. Further studies are necessary to validate our findings.

The prognostic value of the six risk-related prognostic genes (ACS2F2, FAM124B, MAGOH, MDH2, SLC2A5, and SUCLG1) also has not been identified in patients with AML. Work by Zhao et al32 through an RWCFusion method demonstrated that MED1–ACS2F2 could be a potential driver gene fusion of breast cancer. A co-immunoprecipitation study by Batsukh et al33 identified that FAM124B may serve as a potential interaction partner of CHD7 and CHD8 and may be involved in the pathogenesis of CHARGE syndrome and neurodevelopmental disorders. Studies by Zhao et al34,35 observed that rapidly proliferating cells show a higher
MAGOH mRNA expression level than these in normal tissues and concluded that mRNA expression of MAGOH correlated with cell cycle progression, cell proliferation, and germ plasm assembly in a NIH3T3 cell line. MDH2 encodes a Krebs cycle enzyme, mitochondrial malate dehydrogenase (MDH), which is important in the conversion of malate to oxaloacetate and to keep the proper functioning of the Krebs cycle. Disruption of the Krebs cycle is a hallmark of cancer. Recently, a whole-exome sequencing study on multiple malignant paragangliomas tumor tissue identified that MDH2 was associated with paraganglioma development and susceptibility. Previous studies also indicated that MDH2 was involved in prostate and uterine cancer chemotherapy resistance. There was a marked upregulation of MDH2 expression in prostate tumor tissue, and knockdown of MDH2 in prostate cancer cell lines significantly inhibited cell proliferation and increased the sensitivity of docetaxel. A study by Liu et al also observed that overexpression of MDH2 significantly increased the risk of prostate cancer recurrence after receiving neoadjuvant chemotherapy. Similarly, our data also observed that high expression of MDH2 significantly increased the risk of death in AML patients.

The protein encoded by SLC2A5 is a transporter of fructose uptake in the small intestine and is a key gene in the fatty acid synthase pathway. Research by Medina et al has investigated the role of SLC2A5 in renal cell carcinomas (RCC) and observed that the expression of SLC2A5 was significantly higher in tumor tissue and correlated with an advanced stage. Their findings suggest that either increased usage of fructose or the presence of high SLC2A5 expression may lead to malignant RCC progression. Different from in RCC, expression of SLC2A5 can be detected by immunocytochemistry in benign prostate tissue and high-grade intraepithelial neoplasia but not in prostate cancer tissue. In addition, the intensity of SLC2A5 immunostaining was negatively correlated with the malignant transformation of prostate cancer.
**Figure 6** Prognostic gene signature of the 11 genes in AML patients of GSE12417 HG-U133 plus 2.0 cohort.

Notes: (A) From top to bottom is the risk score, patients’ survival status distribution, and expression heat map of the 11 genes for low- and high-risk groups. (B) Kaplan–Meier curves for low- and high-risk groups. (C) ROC curve for predicting OS in AML patients by the risk score.

Abbreviations: AUC, area under the ROC curve; AML, acute myeloid leukemia; CI, confidence interval; OS, overall survival; ROC, receiver operating characteristic.

**SUCLG1** encodes the alpha subunit of the heterodimeric enzyme succinate coenzyme A ligase, and its mutation is associated with methylmalonic aciduria, as well as leading to the poor survival of these patients.41–43 The prognostic value of **SUCLG1** in cancer or leukemia has not been reported in previous studies.

There were some limitations to our study that need to be recognized. The major limitation involves the lack of available information regarding chemotherapy and other prognostic factors of AML, so, the prognostic genes of AML were based on an univariate survival analysis instead of the multivariate analysis. Such information is required to further study the interaction between this mRNA signature and other AML prognosis risk factors. Second, the information of complete remission and relapse-free survival time was not available in the public database, so, we only analysed the association between gene expression level and AML OS. Third, due to the small sample size of GSE12417 HG-U133 plus 2.0 cohort, many genes that were significant in the TCGA-LAML and GSE12417 HG-U133A cohort did not reach statistical significance in the GSE12417 HG-U133 plus 2.0 cohort, which may have resulted in filtering out some potential prognostic genes.

Despite these limitations, our findings provide insight into these genes in AML clinical outcomes and may have clinical utility for prognosis prediction and target treatment strategies.

**Conclusion**

By analyzing the genome-wide mRNA expression profiles in a large cohort from GSE12417 and TCGA-LAML, we succeeded in identifying and validating an 11-gene signature for prognosis prediction in patients with AML, which may serve as a potential prognostic biomarker. This prognostic model demonstrated a good performance in predicting 1-, 3-, and 5-year survivals. Due to the limitations in the current study, our findings require further confirmation with independent larger cohorts in future studies.
Figure 7 Prognostic gene signature of the 11 genes in AML patients of the TCGA-LAML cohort.

Notes: (A) From top to bottom is the risk score, patients’ survival status distribution, and expression heat map of the 11 genes for low- and high-risk groups. (B) Kaplan–Meier curves for low- and high-risk groups. (C) ROC curve for predicting OS in AML patients by the risk score.

Abbreviations: AML, acute myeloid leukemia; AUC, area under the ROC curve; CI, confidence interval; OS, overall survival; ROC, receiver operating characteristic; TCGA-LAML, The Cancer Genome Atlas AML project.

Figure 8 (Continued)
C

Figure 8 The expression level distribution of the 11 genes in the low- and high-risk groups.

Notes: The difference in the expression level of the 11 genes between the low-risk group and high-risk group of the GSE12417 HG-U133A (A), GSE12417 HG-U133 plus 2.0 (B), and TCGA-LAML (C) cohorts. *P<0.05, **P<0.01, ***P<0.001, ****P<0.0001.

Abbreviation: TCGA-LAML, The Cancer Genome Atlas AML project.

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Disclosure

The authors report no conflicts of interest in this work.

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