ABSTRACT
Roughly a quarter of all clavicle fractures occur at the lateral end. Displaced fractures of the lateral clavicle have a higher rate of nonunion. The management of fractures of the lateral clavicle remains controversial. Open reduction internal fixation with a superiorly placed locking plate is a recently developed technique. However, there are no randomized controlled trials to evaluate the efficacy of this procedure. We present a series of four cases which highlight the technical drawbacks with this method of fixation for lateral clavicle fractures. Two cases show that failure of the plate to negate the displacing forces at the fracture site can lead to plate pullout. Two cases illustrate an unusual complication of an iatrogenic injury to the acromioclavicular joint capsule which led to joint instability and dislocation. We advise caution in using this method of fixation. Recent studies have described the success of lateral clavicle locking plate fixation augmented with a coracoclavicular sling. This augmentation accounts for the displacing forces at the fracture site. We would recommend that when performing lateral clavicle locking plate fixation, it should be reinforced with a coracoclavicular sling to prevent plate failure by lateral screw pullout.

Key words: Lateral clavicle fracture, locking plate, screw pullout

INTRODUCTION
Fractures of the clavicle are common injuries with an incidence of 29 per 100,000 population per year.[6] Almost a quarter of clavicle fractures occur at the lateral end.[2,3] While minimally displaced fractures of the lateral end of the clavicle can be managed nonoperatively with good clinical outcome, displaced fractures of the lateral end of the clavicle have a higher rate of nonunion.[2,3,4] Therefore operative management is preferred for most displaced fractures.[4,5] However, the optimum method of fixation remains a subject of controversy.[2,3]

The use of a precontoured superiorly placed locking plate and screws, for the lateral end of the clavicle, is a recent development.[5,6] It is advocated as a satisfactory technique for fixation of displaced fractures of the lateral clavicle due to good results seen with this fixation concept in other areas.[5] We report a series of four cases that highlight technical shortcomings of this relatively new technique and present a short review of the existing literature.

CASE REPORTS

Case 1
A 58-year-old right-hand dominant male presented with painful nonunion of a Neer type II left lateral clavicle fracture in February 2010. His main complaint was of pain and clicking, particularly on heavy lifting. He had no significant past medical history but he was a heavy smoker. (Radiographs in Figure 1a and 1b show the left clavicle after the initial injury sustained in July 2009 and symptomatic non-union in February 2010 respectively).
The patient underwent surgical intervention with open reduction and internal fixation (ORIF) using a lateral clavicle locking plate (Periloc, Smith and Nephew) and iliac crest bone grafting of the left lateral clavicle in March 2010. The procedure was performed through a transverse incision. The nonunion site was debrided. Bone graft from the iliac crest was inserted and the fixation was performed using the locking plate; five of the eight lateral 2.7 millimeter (mm) screws allowed by the plate were inserted, though the lateral fragment of the clavicle was only large enough to allow insertion of two screws. The patient was discharged with the arm immobilized in a sling. Outpatient physiotherapy was arranged and instructions were given to perform pendular exercises within a pain free range. Flexion and abduction were restricted for the first 6 weeks.

Follow-up radiographs 6 weeks later showed that the plate had failed and pulled out of the lateral fragment [Figure 1c]. The plate caused significant tenting of the skin and therefore removal of metalwork was done. The nonunion was managed by stabilizing the medial fragment with a coracoclavicular sling, fixed with a 3.5 mm screw and washer.

When reviewed 8 weeks postoperatively, the patient had an Oxford Shoulder Score (OSS) of 28. There was no pain or instability at the site of the fracture. At the last review in December 2011, the patient had an asymptomatic nonunion with no shoulder pain, a full range of movement, and an OSS of 48 [Figure 1d].

**Case 2**

A 43-year-old right hand dominant male sustained an isolated fracture of the left lateral clavicle after a motorcycle accident in September 2009 [Figure 2a]. The patient had ORIF using a lateral clavicle locking plate (Periloc, Smith and Nephew) to stabilize the fracture 1 week later [Figure 2b].

Four weeks later the patient had a good range of movement but had a prominence over the lateral clavicle. Radiographs showed that the fracture was satisfactorily reduced but the acromioclavicular (AC) joint had subluxed. Radiographs taken on subsequent follow-up appointments showed that although the fracture had healed, the AC joint subluxation progressed to a dislocation [Figure 2c]. The patient complained of ongoing shoulder pain and prominence of the lateral end of the clavicle.

In November 2010, the patient underwent removal of metalwork and stabilization of the AC joint. Intraoperative findings showed that the fracture had united but there was a Rockwood grade III dislocation of the AC joint. The AC joint was stabilized using a coracoclavicular sling [Figure 2d].

Six weeks later the pain had improved. The range of movement was limited and the patient was referred for physiotherapy. Six months after the procedure, the patient had a full range of movement. However, the patient continued to experience some discomfort when lying on the left side and on heavy lifting. The OSS in December 2011 was 38.

**Case 3**

A 48-year-old right-hand dominant male sustained a lateral clavicle fracture in December 2011 after landing heavily on his left shoulder while playing rugby. Initial radiographs demonstrated that it was displaced [Figure 3a]. He underwent ORIF with a lateral clavicle locking compression plate system (LCP, Synthes). Fluoroscopic imaging demonstrated a satisfactory reduction [Figure 3b]. Postoperatively he was discharged home with a poly-sling and advised to keep the arm immobilized until review in clinic 2 weeks later.

![Figure 1: A 58-year-old male with a nonunion of a left lateral clavicle fracture. (a) Acute lateral clavicle fracture. (b) Nonunion of lateral clavicle fracture. (c) Radiographs at 6 weeks showing plate pullout. (d) Revision using stabilization with a coraco-clavicular sling](image1)

![Figure 2: A 43-year-old male with a left lateral clavicle fracture, sustained in a motorbike accident. (a) Acute lateral clavicle fracture. (b) Intra-op fluoroscopic image showing fracture reduction and fixation with a superiorly placed locking plate. (c) Follow-up radiograph showing AC joint dislocation. (d) Follow-up radiograph showing stabilization with a coraco-clavicular sling](image2)
The patient returned within 3 days of the surgery complaining of increasing pain and prominence over the lateral clavicle. A radiograph demonstrated that the screws had pulled out of the lateral fragment [Figure 3c]. He then underwent revision surgery and the locking plate was replaced with a hook plate [Figure 3d]. Postoperatively he made an uneventful recovery. Eight weeks after the revision surgery his OSS was 22.

**Case 4**
A 38-year-old right-hand dominant female fractured her right lateral clavicle when she slipped on wet patio decking [Figure 4a]. Within a week of the injury, she had ORIF of her right clavicle in February 2012 using a Synthes Small Fragment Locking Compression Plate System [Figure 4b]. The postoperative radiographs showed subluxation of the AC joint [Figure 4c]. Her OSS 4 weeks after surgery was 13 [Figure 4d].

**DISCUSSION**
Management of displaced fractures of the lateral end of the clavicle remains controversial. Neer type 2 and Edinburgh type 3B fractures of the lateral end of clavicle are known to be unstable and have a higher rate of nonunion. It is important to remember that nonoperative management of displaced fractures can achieve acceptable medium term functional results in the middle aged and elderly population despite higher rates of nonunion. However younger patients with greater functional demands are more likely to have ongoing symptoms and require surgery.

A number of surgical interventions for displaced fractures of the lateral end of the clavicle have been described including the following:

- Coracoclavicular screws
- Hook plates
- Suture and sling
- Stabilisation with Kirschner wires and tension band wiring
- Intramedullary fixation.

Fixation of fractures of the lateral clavicle with locking plates is a relatively new technique. This mode of fixation is an extension of the fixed angle, locking screws principle, which has been used in other fractures, most notably fractures of the proximal humerus and distal radius. Although several implant manufacturers have recently introduced locking plates into the market for lateral clavicle fractures, we do not know of any rigorous evaluation of the technique reported in the English literature. A review appraising the different modalities of treatment had proportionally small number of studies which had assessed interfragmentary fixation. This illustrates our point that more research into the use of this technique is required.

We think that it is important to highlight the technical shortcomings of the locking plates for lateral clavicle fixation that are demonstrated by these four cases. Two cases illustrate the complication of plate failure due to a fundamental failure of the technique to negate the displacement forces at the fracture site. The first case shows that the distal fragment can be small and multifragmentary. Therefore, despite fixation using a plate that can allow up to eight lateral 2.7 mm screws, only two of these lateral screws could be fixed into the lateral bony fragment.

Locking plates are fixed angle constructs which have greater
A locking plate placed superiorly
Recent studies reporting the results of lateral
\[6\] \[7\] \[11\] \[12\] We
\[4\] \[8\] nd
This technique allows reduction and internal
Though it was a relatively small cohort study, good
It was also found that the highest rate of
However, this concept does not take
This “belt and braces” approach to
fixation of the fracture using sutures that pass through an
method of fixation is open reduction and endobutton
technique, which requires minimal incision and dissection
outcome and minimal complications were reported for this
tension band suturing and temporary fixation using Kirschner
forces at the fracture site.
the plate itself does little to counteract the displacement
locking plate fixation technique reinforces our assertion
of a coracoclavicular sling or coracoclavicular screw to augment
clavicle locking plates have almost universally described the use
stabilisation.
seen with using intramedullary fixation and coracoclavicular
complications (excluding nonunion) was encountered with
the same as for an acute fracture. Also, this case illustrates early
complications for this mode of fixation and our point that it
the clavicle does not adequately neutralize all the forces acting at the fracture site, which act predominantly
to pull the lateral fragment inferiorly.

The second case and more recently the fourth case illustrate
an unusual complication and we believe that the problem
may be iatrogenic. The lateral fragment of the fractured
clavicle is often small and multifragmentary. Accurate plate
placement and adequate fixation with the locking screws
on the lateral end can be technically difficult. This technical
difficulty has led to the lateral fragment being exposed up
to the AC joint and iatrogenic injury to the joint capsule
with resultant instability of the joint. Consequently there
is displacement at the AC joint leading to subluxation and
dislocation.

We accept that this is a small case series and that our patients
have had varying follow‑up time. However, all the cases
demonstrate early complications of this fixation. We also accept
that the patients in this series have had surgery after varying
duration of time, particularly in the case of the first patient
who had ORIF for nonunion. However, the options for fixation were
the same as for an acute fracture. Also, this case illustrates early
complications for this mode of fixation and our point that it
should be used with caution.

In a systematic review of different modes of fixation it
was found that rates of nonunion are similar between each
mode of fixation.\(^6\) It was also found that the highest rate of
complications (excluding nonunion) was encountered with
using hook plates and the lowest rate of complication was
seen with using intramedullary fixation and coracoclavicular
stabilisation.\(^6\) Recent studies reporting the results of lateral
clavicle locking plates have almost universally described the use
of a coracoclavicular sling or coracoclavicular screw to augment
locking plate fixation.\(^6\) This “belt and braces” approach to
the locking plate fixation technique reinforces our assertion
that the plate itself does little to counteract the displacement
forces at the fracture site.

Alternative methods of fixation described include the use
of tension band suturing and temporary fixation using Kirschner
wires.\(^6\) Though it was a relatively small cohort study, good
outcome and minimal complications were reported for this
technique, which requires minimal incision and dissection
and provides stable fixation of the fracture.\(^6\) Another
method of fixation is open reduction and endobutton
fixation.\(^6\) This technique allows reduction and internal
fixation of the fracture using sutures that pass through an
endobutton placed in the clavicle and coracoid process and
has shown good short and medium term outcomes.\(^6\) We
believe that such techniques must be considered as they
can better neutralize the forces acting at the fracture site as
described by Neer.\(^4\)

CONCLUSION

This case series highlights the potential complications that can
occur after ORIF of lateral clavicle fractures with locking plates.
Management of lateral clavicle fractures remains controversial
and for this reason a number of different techniques have been
used to achieve fixation of such fractures. Several manufacturers
have introduced precontoured locking plates and advocate the
use of this technique for fixation of lateral clavicle fractures.
However, we would advise caution with the use of this
technique until larger studies, including biomechanical testing
has been done to evaluate them.

Care should be taken when using a lateral clavicle locking plate
particularly when the lateral fragment is small and comminuted,
as in Edinburgh Type 3B fractures. We recommend that if a
locking plate is used to fix such fractures, augmenting the
fixation with a coracoclavicular sling that helps to neutralize the
disturbing forces at the fracture site must always be considered.

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