Comments on anomalies in supersymmetric theories*

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Received 23 October 2019, revised 11 December 2019
Accepted for publication 20 December 2019
Published 21 January 2020

Abstract
We analyse the relation between anomalies in their manifestly supersymmetric formulation in superspace and their formulation in Wess–Zumino (WZ) gauges. We show that there is a one-to-one correspondence between the solutions of the cohomology problem in the two formulations and that they are related by a particular choice of a superspace counterterm (‘scheme’). Any apparent violation of $Q$-supersymmetry is due to an explicit violation by the counterterm which defines the scheme equivalent to the WZ gauge. It is therefore removable.

Keywords: supersymmetry, supergravity, anomalies

1. Introduction

Anomalies in supersymmetric theories were understood in the superspace formulation a long time ago. Having a supersymmetric theory one can couple it to external source superfields. The Ward identities related to global symmetries of the microscopic theory can be equivalently studied by analyzing the gauge invariances of the effective action, which depends on the sources after the microscopic fields are integrated out. The two typical examples which we will discuss in this paper are microscopic theories with ‘flavour’ symmetries and superconformal symmetries. In these cases the sources are gauge superfields and the Einstein supergravity...
multiplet, respectively. The gauge groups in superspace are (super)Lie groups. For the flavour symmetry each Lie group generator is associated with a transformation parameterized by a chiral superfield. For the superconformal theories we have a semidirect product of superspace reparametrizations with super-Weyl transformations; the former are parameterized by vector and spinor holomorphic superfields and the latter by a holomorphic scalar superfield.

The local anomalies we are discussing are related to operators in the microscopic theory which vanish on-shell. For lack of a better name we will call them null operators: divergence of a current, trace of the energy-momentum tensor, fermionic and auxiliary components in the respective anomaly multiplets, etc. One would naively expect that all correlators in the microscopic theory which involve the null operators vanish, i.e. that these operators decouple from the theory. Since the operators are on-shell, this is automatic for the imaginary parts of the correlators. However in certain correlators the real parts, which are necessarily present by analyticity, cannot be chosen to satisfy the Ward identities which follow from decoupling. This is the anomaly. Since real parts can be added arbitrarily, anomalous correlators are always defined modulo arbitrary polynomials in the external momenta, whose choice defines a ‘scheme’. Changing the scheme may change the overall symmetry preserved by the correlators, but there is no choice where all Ward identities are non-anomalous.

The above statements have a clear translation into the generating functional formalism, where ‘real parts’ correspond to local terms in the external gauge fields. One can add local terms to the generating functional defining ‘the scheme’, while the non-local piece corresponds to the imaginary part. The anomaly corresponds to a local gauge variation of the generating functional which cannot be eliminated by a choice of scheme, i.e. by adding a local term to the generating functional. This defines a cohomology problem, whose nontrivial solutions are the anomalies. By adding suitable counterterms the anomaly can be shifted between different symmetries, but it cannot be eliminated altogether.

Anomalies of supersymmetric theories were completely analyzed and explicit local superspace expressions were given, as discussed in detail in [1–3] and more recently in [4]. Furthermore, the impossibility of removing them by adding local counterterms was proven. The superspace expressions imply a particular scheme which respects, by construction, supersymmetry and additional subgroups of the gauge symmetry: transformations with constant gauge parameters for the ‘flavour’ symmetry and superspace reparametrizations for the superconformal case.

For the supersymmetric anomalies there arises a special situation: the gauge symmetries can be partially fixed in an ‘ultralocal’ fashion: the gauge fixing is done on the \(\theta\) dependence, but it is completely algebraic in \(x\)-space, i.e. it does not involve derivatives in \(x\) space\(^4\). As a consequence one can study the anomaly problem in a meaningful fashion in these gauges, called generically ‘WZ gauges’ in the following. The exact relation between the anomalies in these WZ gauges, including the relation to the original manifestly supersymmetric expressions for the anomaly in superspace, is the topic of our discussion.

For notational convenience, we start with a discussion of the set-up in a general framework. Consider the generating functional \(\Gamma(A)\) where \(A\) is acted upon by elements \(G\) of the full gauge group \(\mathcal{G}\). The \(x\) and \(\theta\) dependence of the fields \(A\) and group elements \(G\) is left out, again for the sake of notational simplicity. In our case \(A\) represents the full set of superspace gauge fields and \(\mathcal{G}\) the full gauge group in superspace. Consider now a partial gauge fixing to configurations \(\bar{A}\) and denote the residual gauge group by \(\bar{G}\). Each element \(G \in \bar{G}\) can then

\(^4\) A similar situation exists in nonsupersymmetric theories for the trace anomalies: one can choose a gauge where the trace of the energy-momentum tensor is identically zero and one deals just with the Ward identities following from conservation. This will be further discussed in appendix B.
be represented in terms of an element $G_0(A)$ of the coset $G/\bar{G}$ times an element of $\bar{G}$. This decomposition is generically ambiguous since we can multiply the element of the coset with an arbitrary element of $\bar{G}$ and the group element of $\bar{G}$ with its inverse.

In the supersymmetric context we are in a special situation: in WZ gauges the gauge-fixing occurs by ultralocal (super)gauge transformations which do not involve derivatives with respect to $x$, i.e. the coset element $G_0(A)$ is local. Its defining property is the relation between the original configurations $A$ and the gauge fixed ones $\bar{A}$:

$$\bar{A} = A^{G_0(A)}.$$

The condition of locality of $G_0(A)$ and the fact that the relation (1.1) between the configurations $A$ and $G_0(A)$ is one to one due to the ultralocality, define the situation for which our general discussion below applies. For configurations in the WZ gauge $G_0(\bar{A}) = e$, where $e$ is the unit element of the gauge group.

In terms of the microscopic theory the above gauge choice amounts to putting to zero correlators involving ‘ultralocal null operators’. They couple to sources whose gauge transformation is algebraic. They can be removed by a choice of WZ gauge. Different WZ gauges are characterized by the set of ultralocal null operators which were put to zero. In contrast to this, one keeps all ‘divergence null operators’, i.e. divergences of currents which couple to sources with a differential gauge transformation. Their sources survive in WZ gauge.

The group manipulations are valid in the specific representation where the group acts on $A$: as a consequence we will have group parameters depending on $A$. Here it is essential that this dependence is local in $x$ space and therefore the anomaly analysis makes sense also for the gauge fixed situation.

We start by discussing the relation between the generating functional in superspace $\Gamma(A)$ and in the fixed gauge $\bar{\Gamma}(\bar{A})$ in the simplest situation, i.e. when there are no anomalies. Then the relation is trivial. Starting with $\Gamma$ we get:

$$\bar{\Gamma}(\bar{A}) = \Gamma(A = \bar{A}).$$

Conversely, if $\bar{\Gamma}(\bar{A})$, the generating functional in the Wess–Zumino gauge is known, we can reconstruct the full $\Gamma(A)$ by simply defining:

$$\Gamma(A) = \bar{\Gamma}(A^{G_0(A)}).$$

The above relations express the fact that in the situation of non-anomalous gauge invariance the generating functional really depends on the gauge orbit and $\bar{A}$ is an unambiguous label of the orbit.

We now discuss the situation when anomalies are present. Then for a generic supergauge transformation $G$ the generating functional $\Gamma$ is no longer invariant but obeys the anomalous transformation rule

$$\Gamma(A^G) = \Gamma(A) + W(A; G),$$

where $W(A; G)$ is a local functional of $A$ and $G$, called the ‘Wess–Zumino functional’ in the following. It represents a solution to the WZ cohomology problem. It cannot be written as a $G$ transformation of a local functional of $A$. For infinitesimal $G$ (1.4) gives the anomaly, i.e. (1.4) represents the integrated form of the anomaly. Since the lhs of (1.4) gives a representation of the gauge group $G$, $W(A; G)$ obeys the consistency condition (‘WZ condition’):

$$W(A; G_1G_2) = W(A; G_2) + W(A^{G_2}; G_1).$$

(1.5)
Given the anomaly, \( W \) always exists but its explicit form is not always available. For abelian flavour symmetries and the superconformal case explicit expressions can be written down.

Since the anomalous transformation involves the local quantity \( W \), the imaginary parts are not affected by it. Therefore the imaginary parts of the generating functionals \( \Gamma \) and \( \bar{\Gamma} \) continue to be related by the naive relations (1.2) and (1.3). Once the full generating functionals are considered, the relation is no longer so simple. In particular in the WZ gauge we have a new cohomology problem for the residual gauge transformations, i.e. we have functionals \( \bar{\Gamma}(\bar{A}) \) which should satisfy the conditions (1.4) and (1.5) with \( A \) and \( G \) replaced by \( \bar{A} \) and \( \bar{G} \), respectively, for a local functional \( \bar{\Gamma}(A;G) \). Moreover the gauge transformations relating a general configuration to its WZ-representative could be anomalous such that (1.2) and (1.3) are not applicable.

In one direction the relation is simple: given a \( \Gamma(A) \) which obeys the condition (1.4), the restriction to the WZ gauge \( \bar{\Gamma}(\bar{A}) \equiv \Gamma(A = \bar{A}) \) (1.6) will give a solution of the WZ cohomology problem with

\[
W(A;G) \equiv W(A = A; G = G).
\]

In the opposite direction the relation is equally straightforward: assume one has a solution of the cohomology problem for a \( \bar{\Gamma}(\bar{A}) \) with a corresponding \( \bar{\Gamma}(A;G) \). Then we can define a generating functional for arbitrary configurations in superspace by

\[
\Gamma_u(A) \equiv \bar{\Gamma}(A^{G_0(A)}).
\]

Since the group element \( G_0(A^G)G[G_0(A)]^{-1} \) belongs to \( \mathcal{G} \), it is easy to show that \( \Gamma_u \) defined by (1.8) obeys (1.4) with \( W_u \) given by

\[
W_u(A;G) \equiv \bar{\Gamma}(A^{G_0(A)}; \bar{G}_0(A^G)G[G_0(A)]^{-1})).
\]

By construction \( \Gamma_u \), though formally defined on the full superspace configuration \( A \), after the superspace integration depends only on the components of \( A \) present in the WZ gauge. It represents the mapping of the cohomology in the WZ gauge to the full superspace. We assumed that in superspace all the solutions of the cohomology are known and are represented by \( \Gamma(A) \). Therefore \( \Gamma_u \) defined above should differ from it by a local functional of \( A \).

After establishing the isomorphism of the cohomologies in superspace and in WZ gauge we would like to be more specific and relate directly the generating functionals. This is possible only if the WZ gauge represents a genuine gauge fixing, i.e. the gauge direction represented by the choice \( G_0(A) \) is anomaly free. We can achieve this by choosing a new scheme. Define a new generating functional \( \bar{\Gamma}(A) \)

\[
\bar{\Gamma}(A) \equiv \Gamma(A) + W(A;G_0(A)) = \Gamma(\bar{A})
\]

The new generating functional obeys by construction the identity

\[
\bar{\Gamma}(A^{G_0(A)}) = \bar{\Gamma}(A),
\]

i.e. now (1.2) becomes a genuine gauge fixing. While \( \Gamma(A) \) and \( W(A;G_0(A)) \) each depend on all the components of \( A \), their combination appearing in (1.10) depends just on the components of the WZ gauge and the dependence on them coincides with \( \Gamma(A) \).

We have therefore a general procedure to map the generating functional in a WZ gauge to a particular scheme in superspace. This was achieved by adding the local counterterm \( W(A;G_0(A)) \). This counterterm may violate additional symmetries being at the origin of the
apparent violations in the WZ gauge. The above pattern, i.e. transforming the WZ gauge into a particular scheme in superspace, will be used in all the examples discussed in the paper.

This general discussion led us to the conclusion that due to the special properties of the WZ gauges, the cohomology problems in full superspace and in the WZ gauges are completely equivalent. In particular to any anomaly solution in the WZ gauge corresponds in superspace a local counterterm, i.e. a particular allowed scheme. Therefore if in a WZ gauge a particular symmetry is violated compared to the superspace formulation, it just means that a particular counterterm violating the symmetry was added and removing it will lead to a symmetric formulation making the apparent violation spurious.

In all the cases discussed in this paper the cohomology in the WZ gauge is given by $\bar{\Gamma}_r$ defined in (1.6). Therefore using (1.10) the counterterm in superspace is simply $W(A;G_0(A))$ giving a very simple realization of the ‘anomaly shifting’ paradigm. The symmetries and the anomalous Ward identities in the new scheme can be directly obtained from the properties of the counterterm $W(A;G_0(A))$.

As a general conclusion the anomalies seen in the WZ gauge cannot have an absolute meaning. In particular any additional anomaly compared to the standard superspace anomalies can be removed by simply removing $W$, i.e. adding the local counterterm $-W(A;G_0(A))$.

In the paper we will analyze in detail three examples which fit into the general pattern described above: we will identify in each example the fixed gauge space and the residual gauge group. We will specify the WZ functionals giving the local counterterms for each case and analyze the symmetries apparently broken.

The rest of the paper is organized as follows: in section 2 we analyze the anomalies in supersymmetric models with a global $U(1)$ symmetry, tracing the apparent violation of global SUSY in the WZ gauge. In section 3 we review the formulation of super-Weyl anomalies in superspace. In section 4 we discuss a gauge (equivalently a scheme) which is minimal for having a non-anomalous $Q$-supersymmetry. In section 5 the WZ gauge and its associated scheme, which have an apparent anomaly in $Q$-supersymmetry, is analysed. We identify the direction which became non-anomalous when the anomaly in $Q$-supersymmetry appeared. In the concluding section 6 we summarize the relations between WZ gauges and respective schemes and we discuss the general pattern of the interplay of $Q$-supersymmetry with other symmetries.

In two appendices we discuss the construction of WZ actions and WZ-like gauges in non-supersymmetric theories, respectively.

In this paper we discuss $\mathcal{N} = 1$ supersymmetric theories in four dimensions, using heavily their superspace formulation. General references are [5, 6] and [7]. We will largely follow the notation and conventions of the first and third of these references.

2. Flavour anomaly

In this section we mostly review well known facts. They are discussed in detail in [8, 9] and more recently in [10].

Consider a supersymmetric field theory with global (‘flavour’) symmetries. For simplicity we only discuss the abelian case when the symmetry is $U(1)$. In the references the non-abelian case is also considered.

There is an associated Noether current $J = J^i$ which is classically conserved on-shell:

$$D^2 J = D^2 J = 0.$$  \hspace{1cm} (2.1)

5 See also [11] for a thorough BRST cohomology analysis. We thank Brandt for pointing out this reference.
For instance, for the free massless WZ model \( J = \Phi^\dagger \Phi \). The conservation equation means that \( J \) is a linear multiplet on-shell, i.e. its higher components (\( \theta^2, \bar{\theta}^2 \) and higher) are zero. The \( \theta \bar{\theta} \) component of \( J \) is a conserved vector current. One gauges the symmetry by introducing a (real) vector multiplet \( V \), whose components are sources for a multiplet of currents. There is a linear coupling in the microscopic action

\[
\int d^4x \, d^2 \theta \, d^2 \bar{\theta} \, V J
\]

and current conservation (2.1) is translated to the gauge invariance of the generating functional for the transformation

\[
V' = V + \frac{i}{2} (\Lambda - \Lambda^\dagger), \quad D_\alpha \Lambda = 0
\]

where \( \Lambda \) is a chiral scalar superfield. \( V = V^\dagger \) has the expansion

\[
V(x, \theta, \bar{\theta}) = C + i \theta \chi - i \bar{\theta} \bar{\chi} + i \frac{\theta^2}{2} M - i \bar{\theta}^2 \bar{M} - \theta \sigma^m \bar{\theta} v_m
\]

\[
+ i \bar{\theta} \bar{\partial} \left( \lambda + i \frac{1}{2} \sigma^m \partial_m \chi \right) - i \partial_\theta \left( \lambda + i \frac{1}{2} \sigma^m \partial_m \bar{\chi} \right) + \frac{1}{2} \bar{\theta}^2 \partial^2 \left( D + \frac{1}{2} \Box C \right).
\]

Here \( C \) and \( D \) are real scalars, while \( M \) is complex; \( v_m \) is a real vector and \( \chi \) and \( \lambda \) are Weyl spinors. In this case the gauge group \( G \) is simply the additive group of chiral scalar superfields as defined by (2.3).

The generating functional \( \Gamma[V] \) is not gauge invariant, i.e. there is an anomaly given by

\[
\delta_\Lambda \Gamma[V] = i \int d^4x \, d^2 \theta \, \partial_\Lambda W^\alpha W_\alpha + \text{h.c.}
\]

for an infinitesimal \( \Lambda \) or, equivalently,

\[
\bar{D}^2 \langle J \rangle = 8 W^\alpha W_\alpha \quad \text{where} \quad \langle J \rangle = \frac{\delta}{\delta V} \Gamma[V].
\]

Here \( W_\alpha = -\frac{1}{4} \bar{D}^2 D_\alpha V \) is the (chiral) gauge-invariant field strength and we put the strength of the anomaly to 1 for convenience.

We now want to study the anomaly in the WZ gauge where by a partial gauge fixing the lower components \( C, \chi \) and \( M \) are gauged to zero, i.e.

\[
V(x, \theta, \bar{\theta})|_{\text{WZ}} = -\theta \sigma^m \bar{\theta} v_m + i \theta^2 \bar{\theta} \lambda - i \bar{\theta}^2 \theta \lambda + \frac{1}{2} \theta^2 \bar{\theta}^2 D \equiv \bar{V}.
\]

This is achieved by making a gauge transformation defined by the special choice of \( \Lambda \)

\[
\Lambda_0 = i C(y) - 2 \theta \chi(y) - \theta^2 M(y),
\]

where \( y \equiv x + i \theta \sigma \bar{\theta} \). We have therefore the relation

\[
V(x, \theta, \bar{\theta})|_{\text{WZ}} = V(x, \theta, \bar{\theta}) + \frac{i}{2} (\Lambda_0 - \Lambda^\dagger_0).
\]

From (2.9) it is clear that \( \Lambda_0 \) plays the role of \( G_0(A) \) in our general discussion. In particular the gauge fixing is purely algebraic. However, as is obvious from (2.8) and (2.9), this gauge is inconsistent with supersymmetry: while being a chiral superfield, i.e. \( D_\alpha \Lambda_0 = 0 \), the
supersymmetry transformations of its components are those of the components of a real superfield and not of a scalar chiral superfield\(^6\).

After fixing the WZ gauge, the residual, non-algebraic, gauge transformations are generated by \(\Lambda = \alpha\) with \(\alpha\) real. Under these transformations only \(v_m\) transforms: \(v_m \rightarrow v_m + \partial_m \alpha\).

The anomaly in the WZ gauge corresponds to the standard chiral \(U(1)\) gauge anomaly

\[
\delta_a \Gamma_{\text{WZ}}[\bar{V}] = \int d^4 x \, \alpha \, v_{mn} \bar{v}^{mn}
\]

(2.10)

where \(v_{mn}\) is the field strength of \(v_m\). Up to the gauge variation of the local term \(2 \int d^4 x \, v_m \bar{\lambda} \sigma^m \lambda\), the anomaly in (2.10) is the restriction of the general anomaly (2.5) for \(\Lambda = \alpha\). The cohomology in the WZ gauge is completely represented by the restriction of the action to configurations \(\bar{V}\) in the WZ gauge. A generic effective action calculated in the WZ gauge is therefore the restricted action \(\Gamma[V = \bar{V}]\) modulo local terms. We will therefore be able to follow our general treatment where \(\bar{V}\) corresponds to \(\bar{A}\), etc.

We now want to find a counterterm which, when added to the superspace functional \(\Gamma[V]\), will reproduce the WZ gauge results. Finding the WZ functional is trivial due to the abelian nature of the gauge transformation. We have

\[
\Gamma'[V] = \Gamma[V] + \int d^4 x \, d^2 \theta \, \Lambda W^\alpha \bar{W}_\alpha + \text{h.c.}
\]

(2.11)

for any finite \(\Lambda\), \(V'\) being the gauge transform of \(V\). Then the counterterm \(C\) following (1.10) is

\[
C[V] \equiv \int d^4 x \, d^2 \theta \, \Lambda_0 W^\alpha \bar{W}_\alpha + \text{h.c.}
\]

(2.12)

If we define

\[
\tilde{\Gamma}[V] = \Gamma'[V] + C[V]
\]

(2.13)

where \(C\) plays the role of \(W(A; G_0(A))\) in (1.10), we have

\[
\tilde{\Gamma}[V + \frac{i}{2}(\Lambda_0 + \Lambda_0^\dagger)] = \Gamma[V]
\]

(2.14)

and the counterterm corrected generating functional has the following properties:

(a) its anomaly reproduces (2.10);
(b) it depends only on the \(V\)-components in the WZ gauge.

It follows from the latter property that \(\tilde{\Gamma}[V] = \Gamma[V]\). The meaning of this definition is that for every \(V\) we identify its unique \(\bar{V}\) representative which can be reached from \(V\) by an ultralocal gauge transformation and then \(\tilde{\Gamma}[V]\) is defined as \(\Gamma[V]\). We stress that \(\bar{V}\) is not an independent variable but it is determined by \(V\). Moreover all \(V\)-configurations of the form \(\bar{V} + \frac{i}{2}(\Lambda - \Lambda^\dagger)\), where \(\Lambda\) is a chiral superfield with purely imaginary lowest component, will have the same representative and therefore the same value of \(\tilde{\Gamma}\). \(\tilde{\Gamma}[V]\) is not supersymmetric because, as we have remarked before, the counterterm explicitly breaks supersymmetry due to the 'wrong' transformation properties of \(\Lambda_0\).

We want to calculate the action of supersymmetry on the generating functional in two ways, which should agree:

\(^{6}\)The conflict with supersymmetry exists for any partial WZ gauge, where we transform away only \(C\) or \(C\) and \(\chi\).
(a) in the WZ gauge where the generating functional is $\Gamma[\bar{V}]$;
(b) on $\tilde{\Gamma}$.

We start with (a): The supersymmetry transformation does not preserve the gauge choice. To correct for this one has to accompany it by a compensating field dependent gauge transformation:

$$\hat{\delta}_\epsilon = \delta_\epsilon + \delta_{\Lambda(\epsilon)}$$

(2.15)

where

$$\delta_\epsilon \Psi = [\epsilon Q + \bar{\epsilon} \bar{Q}, \Psi]$$

(2.16)

for any superfield $\Psi$ and

$$\Lambda(\epsilon) = -2i \theta \sigma^m \bar{\epsilon} v_m(y) - 2 \theta^2 \bar{\epsilon} \lambda(y).$$

(2.17)

The compensating gauge transformation brings the components $\chi, M$ of $V$, which are reintroduced by $\delta_\epsilon$, back to zero. Therefore the supersymmetry action on the generating functional, $\bar{\Gamma}$ being an independent variable, is

$$\hat{\delta}_\epsilon \Gamma[\bar{V}] = \delta_{\Lambda(\epsilon)} \Gamma[\bar{V}] = i \int d^4x d^2 \theta \Lambda(\epsilon) W^\alpha(\bar{V}) W_\alpha(\bar{V}) + \text{h.c.}$$

(2.18)

We now proceed to (b): The basic idea is to make an independent supersymmetry variation on $\bar{V}$ itself, $\delta_\epsilon \bar{V}$. We write this as

$$\delta_\epsilon \bar{V} = \hat{\delta}_\epsilon \bar{V} = \delta_{\Lambda(\epsilon)} \bar{V}.$$ 

(2.19)

Since $\Lambda(\epsilon)$ defined above is ultralocal, this shows that the representative on the orbit of $\bar{V} + \delta_\epsilon \bar{V}$ is $\bar{V} + \hat{\delta}_\epsilon \bar{V}$, the corresponding $G_0$ being $-\Lambda(\epsilon)$. Therefore

$$\delta_\epsilon \tilde{\Gamma}[\bar{V}] \equiv \delta_\epsilon C[\bar{V}] = \hat{\delta}_\epsilon \Gamma[\bar{V}].$$

(2.20)

From (2.20) it is apparent that what looked in the WZ gauge as an anomaly became in the new scheme the variation of the local counterterm $C$, which violates explicitly supersymmetry for the reasons discussed above.

By adding the additional counterterm (see below (2.10))

$$C_1 = 2 \int d^4x \bar{\lambda} \sigma^m \lambda v_m$$

(2.21)

which involves only fields which are present in the WZ gauge and using (2.18), one finds:

$$\delta_\epsilon (C + C_1)[\bar{V}] = 2 \int d^4x \left(3 i \epsilon \lambda \bar{\lambda}^2 + i \epsilon \sigma_\mu \lambda e^{\mu pq} v_{pm} v_{p} + \text{h.c.} \right).$$

(2.22)

This agrees with the ‘SUSY-anomaly’ of [8–11].

Equation (2.20) can be generalized for arbitrary values of $V$, but one gets an additional contribution related to a genuine gauge transformation component in the supersymmetry transformation of $v_m$.

We end this section with a comment on the correlators which can be derived from $\Gamma$ and $\tilde{\Gamma}$ as functional derivatives w.r.t. the sources. From the former, which depends on all components of the gauge superfield $V$, one derives the correlators involving all components of the current $J$. 

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There are purely local terms contained in $\Gamma[V]$ which are linear in the sources $(C, \chi, M)$. They can be read off from the component expansion of the counterterm $C$ and the fact that $\Gamma[V]$ is independent of them. The operators of the microscopic theory, to which the components of $V$ couple, are read off from the $JV$ coupling. Those coupling to $(C, \chi, M)$ are ultralocal null operators. For instance, $\Gamma[V]$ contains the term $M\lambda^2$; e.g. in the free massless WZ model, one finds: $M$ couples to $AF$ and $\lambda$ couples to $\psi A$, where $(A, \psi, F)$ are the components of a chiral multiplet. One easily verifies that the three point function $\langle A\bar{F}\psi\bar{A}\psi\rangle$ is purely local and, e.g. in Pauli–Villars regularization, only the regulator field contributes. The terms in $\tilde{\Gamma}[V]$ which depend on $C$ and $\chi$ can be analysed similarly. They also correspond to purely local three-point functions with one operator insertion sourced by $C$ or $\chi$.

In contrast to this, $\tilde{\Gamma}$ does not carry information about the correlators of the ultralocal null operators, but they are needed for the supersymmetric Ward identities to be satisfied. To restore them, the local correlators derived from the counterterm $C$ have to be added by hand. They contain components of $J$ whose sources are absent in $\tilde{\Gamma}$, but they can be recovered as explained in general terms in the introduction and explicitly for the $U(1)$ flavour current in this section.

3. Super-Weyl anomalies

In the following we are interested in the quantum aspects of superconformal field theories coupled to supergravity. There exist two powerful superspace formulations for $N=1$ conformal supergravity [12, 13]: (i) the $U(1)$ superspace of [14] (see [6] for a review); and (ii) the conformal superspace developed in [15]7. However the simplest and most economical approach to describe $N=1$ conformal supergravity in superspace is to make use of the Grimm–Wess–Zumino geometry [17], which underlies the Wess–Zumino formulation for old minimal supergravity [18] (see [5] for a review) developed independently in [19, 20]. In order to formulate conformal supergravity, the gauge group of old minimal supergravity has to be extended to include the super-Weyl transformations, originally introduced in [21]. The specific feature of superconformal field theories is that they are invariant under arbitrary super-Weyl transformations.

The supergravity multiplet is described by covariant derivatives $D_{\lambda} = (D_{a}, D_{\alpha}, \bar{D}^{\dot{\alpha}})$, equation (A.1), such that the torsion and curvature tensors obey nontrivial constraints [18]. These constraints were solved by Siegel [22] in terms of two unconstrained prepotentials, a real axial vector $H^{m}(x, \theta, \bar{\theta})$ and a chiral density $\varphi(x, \theta)$. The former is equivalent to the gravitational superfield introduced by Ogievetsky and Sokatchev [23]. The latter determines the integration measure $E$ of chiral subspace, $E = \varphi^3$. In this paper we will use the realization of $H^{m}$ given in [23].

A finite super-Weyl transformation acts on $H^{m}$ and $\varphi$ by the rule [24]

$$H^{m} \rightarrow H^{m}, \quad \varphi \rightarrow e^{\Sigma} \varphi,$$

with $\Sigma$ a covariantly chiral scalar. This transformation law implies that locally superconformal field theories do not couple to $\varphi$ at the classical level, since all dependence on $\varphi$ can be absorbed into matter supermultiplets.

Another fundamental symmetry group acting on $H^{m}$ and $\varphi$ is the supergroup of ‘holomorphic coordinate transformations’ (‘$\lambda$-transformations’ in the following):

7 The conformal superspace approach [15] is a master formulation for conformal supergravity. All other off-shell formulations, including the superconformal tensor calculus [12, 13] (see, e.g. [16] for a review), can be obtained from conformal superspace by partially fixing the gauge freedom.
\[ y_m = f^m(y, \theta), \quad \bar{y}^m = \bar{f}^m(y, \bar{\theta}), \quad \theta^\alpha = f^\alpha(y, \theta), \quad \bar{\theta}^\dot{\alpha} = \bar{f}^\dot{\alpha}(y, \bar{\theta}). \]  

(3.2)

Infinitesimal $\lambda$-transformations are parametrized by chiral superfields $\lambda^m(y, \theta)$, $\bar{\lambda}^\dot{m}(y, \bar{\theta})$, and their complex conjugate anti-chiral fields $\lambda^\alpha(y, \theta)$, $\bar{\lambda}^{\dot{\alpha}}(y, \bar{\theta})$, i.e.

\[ f^m(y, \theta) = y^m + \lambda^m(y, \theta), \quad \bar{f}^\dot{m}(y, \bar{\theta}) = \bar{y}^\dot{m} + \bar{\lambda}^\dot{m}(y, \bar{\theta}), \]  

(3.3)

The transformations of the real coordinates $x^m$ are defined in an implicit fashion by

\[ x^m \rightarrow x'^m = \frac{1}{2} f^m(x + iH, \theta) + \frac{1}{2} \bar{f}^m(x - iH, \bar{\theta}), \quad \theta^\alpha \rightarrow \theta'^\alpha = f^\alpha(x + iH, \theta), \quad \bar{\theta}^\dot{\alpha} \rightarrow \bar{\theta}^{\dot{\alpha}'} = \bar{f}^{\dot{\alpha}}(x - iH, \bar{\theta}). \]  

(3.4)

The transformations of the gauge fields $H^m$ and $\varphi$ under $\lambda$-transformations are

\[ H'^m(x', \theta', \bar{\theta'}) = -\frac{1}{2} f^m(x + iH, \theta) + \frac{1}{2} \bar{f}^m(x - iH, \bar{\theta}) \]

and

\[ \varphi'(y', \theta') = \left[ \text{Ber} \left( \frac{\partial(y, \theta)}{\partial(y', \theta')} \right) \right]^{1/3} \varphi(y, \theta) \]

(3.5)

(3.6)

respectively. Here ‘Ber’ denotes the Berezinian also known as the superdeterminant. The infinitesimal versions of these transformations are

\[ \delta_\lambda H^m = \frac{1}{2} \lambda^m(x + iH, \theta) - \frac{1}{2} \bar{\lambda}^\dot{m}(x - iH, \bar{\theta}) \]

\[ + \left( \frac{1}{2} \lambda^\alpha(x + iH, \theta) \partial_\alpha + \bar{\lambda}^{\dot{\alpha}}(x + iH, \bar{\theta}) \bar{\partial}_\dot{\alpha} + \text{c.c.} \right) H^m, \]

(3.7a)

\[ \delta_\lambda \varphi = (\lambda^m \partial_m + \bar{\lambda}^{\dot{m}} \bar{\partial}_{\dot{m}}) \varphi + \frac{1}{3} (\partial_m \lambda^m - \bar{\partial}_{\dot{m}} \bar{\lambda}^{\dot{m}}) \varphi. \]

(3.7b)

It is worth remarking that in the case of Minkowski superspace the prepotentials $H^m$ and $\varphi$ can be chosen in the form

\[ H^m = \theta_\sigma \bar{\theta}^{\dot{\sigma}} \delta^m_{\sigma \dot{\sigma}}, \quad \varphi = 1, \]

(3.8a)

(3.8b)

by partially fixing the $\lambda$ gauge freedom. The rigid superconformal transformations of Minkowski superspace are those $\lambda$-transformations which preserve $H^m$ and $\varphi$ given by (3.8a). They are (see e.g. [7])

\[ \lambda^\alpha(y, \theta) = \sigma^\alpha - \lambda^\sigma \theta^\alpha \]  

(3.9a)

\[ \lambda^\sigma(y, \theta) = \sigma^\rho \rho^\alpha \theta^\alpha + \frac{1}{2} \left( \sigma + i\rho \right) \theta^\alpha - \bar{\lambda}^{\dot{\sigma}} \bar{\theta}^{\dot{\alpha}} + \bar{\lambda}^{\dot{\sigma}} \bar{\theta}^{\dot{\alpha}} + \eta^\alpha \theta^\alpha, \]

(3.9b)

with all the parameters being constant. Here the real scalar parameters $\sigma$ and $\rho$ generate scale and $R$-symmetry transformations. The real vectors $\sigma^\alpha$ and $\rho^\beta$ correspond to the spacetime translations and special conformal transformations, respectively, while the real antisymmetric parameter $K_{\sigma \rho}$ generates the Lorentz transformations. Finally, the spinor parameters $(\epsilon^\alpha, \bar{\epsilon}^{\dot{\alpha}})$ and $(\eta^\alpha, \bar{\eta}^{\dot{\alpha}})$ generate the $Q$ and $S$ supersymmetry transformations, respectively. The isometries of Minkowski superspace are those $\lambda$-transformations which preserve both $H^m$ and $\varphi$
given by equations (3.8a) and (3.8b). They are obtained from (3.9) by switching off the parameters $\sigma$, $\rho$, $f$, and $\eta^\alpha$.

Let $S[\chi, H, \varphi, \bar{\varphi}]$ be the action of matter superfields $\chi$ (with suppressed indices) coupled to the supergravity sources. The coupling should be invariant under $\lambda$-transformations. All information about the coupling of matter to supergravity is encoded in two tensor superfields, the supercurrent $J_\alpha = \bar{J}_\alpha$ and the supertrace $T$, which originate as covariantised variational derivatives of $S[\chi, H, \varphi, \bar{\varphi}]$ with respect to the supergravity prepotentials. If the matter and source superfields are given small disturbances, the action varies as

$$\delta S = \int d^4x d^2\theta d^2\bar{\theta} E \Delta H^{\alpha\dot{\alpha}} J_{\alpha\dot{\alpha}} + \left\{ \int d^4x d^2\theta E \delta \ln \varphi T + \text{c.c.} \right\} + \int \frac{\delta S}{\delta \chi} \delta \chi.$$

(3.10)

Here $E^{-1} = \text{Ber}(E_A^M)$ is the full superspace measure, $E = \varphi^3$ the chiral measure and $\Delta / \Delta H^{\alpha\dot{\alpha}}$ denotes a covariantised variational derivative with respect to the gravitational superfield [25]. By construction, the supertrace is covariantly chiral, $\bar{D}_\dot{\alpha} T = 0$.

If the matter supermultiplets obey their equations of motion, $\delta S / \delta \chi = 0$, the condition that the matter action is invariant under $\lambda$-transformations is expressed as the conservation equation

$$\bar{D}_\dot{\alpha} J_{\alpha\dot{\alpha}} = \frac{1}{3} D_\alpha T.$$

(3.11)

The supercurrent $J_{\alpha\dot{\alpha}}$ reduces to the Ferrara–Zumino multiplet [26] when the sources are put to zero, equation (3.8).

In a superconformal field theory, the super-Weyl transformation (3.1) is accompanied by a local rescaling of the matter supermultiplets of the form $\chi \rightarrow \chi' = e^{-d_+ \Sigma - d_- \bar{\Sigma}} \chi$, for some parameters $d_\pm$, such that the action is super-Weyl invariant,

$$\delta_{\Sigma} S = \int d^4x d^2\theta E \Sigma T + \text{c.c.} + \int \delta_{\Sigma} \chi \frac{\delta S}{\delta \chi} = 0.$$

(3.12)

This implies that the classical supertrace vanishes,

$$T = 0,$$

(3.13)

on the mass shell. The two conservation equations (3.11) and (3.13) following from the two classes of symmetries cannot be both implemented in the quantum theory, leading to the superconformal anomalies.

We now proceed to a detailed discussion of the quantum theory. Integrating out the matter supermultiplets results in an effective action $\Gamma[H, \varphi, \bar{\varphi}]$. The effective action $\Gamma$ does not respect both the super-Weyl and $\lambda$-transformation symmetries, i.e. an anomaly appears. In this paper we will use as a basic starting point the ‘superspace scheme’ where $\lambda$-transformations are preserved$^8$.

Invariance of the effective action under $\lambda$-transformations is encoded in the conservation equation

$$\bar{D}_\dot{\alpha} (J_{\alpha\dot{\alpha}}) = \frac{1}{3} D_\alpha (T).$$

(3.14)

On the other hand in the quantum theory (3.13) is violated. Here the quantum supertrace is defined by

$^8$ In the non-supersymmetric setting this would correspond to the scheme where diffeomorphism invariance is kept and Weyl symmetry is sacrificed; see appendix B.
\[
\delta_{\Sigma} \Gamma[H, \varphi, \bar{\varphi}] = \int d^4x \, d^2\theta \, \mathcal{E} \, \Sigma \langle T \rangle + \text{c.c.} \tag{3.15}
\]

where
\[
\delta_{\Sigma} H^m = 0, \quad \delta_{\Sigma} \varphi = \Sigma \varphi. \tag{3.16}
\]

The appearance of a super-Weyl anomaly in the quantum theory, i.e. the violation of (3.13), means that the effective action acquires a dependence on the chiral prepotential \( \varphi \) and its conjugate \( \bar{\varphi} \), unlike the classical action of a superconformal field theory. This dependence is 'cohomologically nontrivial' in the sense that by adding local counterterms the effective action cannot be made independent of \( \varphi \) and \( \bar{\varphi} \) without spoiling the invariance under \( \lambda \)-transformations. In the next section we will analyze in detail the subset of \( \lambda \)-transformations which are incompatible with the vanishing of the quantum supertrace.

According to the cohomological analysis of [3] and explicit supergraph calculations\(^9\) for the scalar and vector supermultiplets [27], the general form of \( \langle T \rangle \) in classically super-Weyl invariant theories is

\[
\langle T \rangle = 2(c - a) W^{\alpha\beta\gamma} W_{\alpha\beta\gamma} + \frac{1}{2} a (\bar{D}^2 - 4R)(G^a G_a + 2\bar{R}R), \tag{3.17}
\]

modulo cohomologically trivial contributions. Here \( a \) and \( c \) are two numerical coefficients whose values depend on the microscopic superconformal field theory\(^10\). Equation (3.17) is equivalent to the fact that the super-Weyl variation of the effective action is

\[
\delta_{\Sigma} \Gamma[H, \varphi, \bar{\varphi}] = 2(c - a) \int d^4x d^2\theta E \, \Sigma W^{\alpha\beta\gamma} W_{\alpha\beta\gamma} + \text{c.c.} - 2a \int d^4z E (\Sigma + \bar{\Sigma})(G^a G_a + 2\bar{R}R). \tag{3.18}
\]

We are interested in a local action \( K = K[H, \varphi, \bar{\varphi}; \Omega, \bar{\Omega}] \) (also called 'WZ action' in the following) that gives the transformation of \( \Gamma \) under a finite super-Weyl transformation \( \Omega \equiv \exp \Sigma \), where \( \Omega \) is a covariantly chiral scalar superfield:

\[
K \equiv \Gamma[H, \varphi, \bar{\varphi}] - \Gamma[H, \varphi, \bar{\varphi}] = 2(c - a) \int d^4x d^2\theta E \, \ln \Omega W^{\alpha\beta\gamma} W_{\alpha\beta\gamma} + \text{c.c.}
\]

with
\[
H_{\Omega} = H, \quad \varphi_{\Omega} = \Omega \varphi, \quad \bar{\varphi}_{\Omega} = \bar{\Omega} \bar{\varphi}. \tag{3.20}
\]

The required action was constructed in [29] by integrating the anomaly to finite transformations with parameter \( \Omega \) and has the form

\[
K[H, \varphi, \bar{\varphi}; \Omega, \bar{\Omega}] = 2(c - a) \int d^4x d^2\theta E \, \ln \Omega W^{\alpha\beta\gamma} W_{\alpha\beta\gamma} + \text{c.c.} - 2a \int d^4z E \left\{ \ln(\Omega\bar{\Omega})(G^a G_a + 2\bar{R}R) - \frac{1}{2} G^{\alpha\beta} \bar{D}_\alpha \ln \bar{\Omega} \bar{D}_\beta \ln \Omega \\
- \frac{1}{4} \left( R(\bar{D} \ln \Omega)^2 + R(\bar{D} \ln \bar{\Omega})^2 \right) + \frac{1}{16} (\bar{D} \ln \Omega)^2(\bar{D} \ln \bar{\Omega})^2 \right\} + \frac{1}{4} D^{\alpha\beta} (\ln \Omega - \ln \Omega) D_\alpha \ln \Omega D_\beta \ln \bar{\Omega}. \tag{3.21}
\]

\(^9\)The work described in [27] was completed in 1984 (the same year as [3]) but then it took over a year to obtain the KGB clearance required for publication in the West.

\(^{10}\)The general structure of the super-Weyl anomaly, equation (3.17), can be extracted from the earlier work of McArthur [28].
An alternative derivation is presented in appendix A. Under the infinitesimal super-Weyl transformation (3.16) accompanied with

\[ \delta \Sigma \Omega = - \Sigma \Omega, \] (3.22)

the functional (3.21) varies as \( \delta \Sigma K [H, \varphi, \bar{\varphi}; \Omega, \bar{\Omega}] = - \delta \Sigma \Gamma [H, \varphi, \bar{\varphi}], \)

In addition to the above equation defining the super-Weyl transformation of the ‘super-space scheme’ effective action, we should also give its behaviour under \( \lambda \)-transformations which is simply

\[ \delta \lambda \Gamma [H, \varphi, \bar{\varphi}] = 0. \] (3.23)

The transformations of the arguments are given in (3.5) and (3.6).

Given the fact that \( \varphi \) is needed just in the presence of the super-Weyl anomaly, one wonders how unique the completion of \( H \) by this additional degree of freedom is. As an extension of the Weyl-invariant formulation for gravity [30, 31], every off-shell supergravity theory can be realised as a super-Weyl invariant coupling of conformal supergravity to a compensating supermultiplet, see e.g. [6, 32–34]. In such a setting, any supergravity-matter system is described by a super-Weyl invariant action functional. Different off-shell supergravity theories correspond to different compensating supermultiplets. Locally superconformal theories are independent of any compensator. In the case of a classically superconformal theory, the presence of a super-Weyl anomaly at the quantum level is equivalent to a nontrivial dependence of the effective action on the compensating supermultiplet, as advocated in [35]. The compensating super-Weyl invariance is not anomalous [36].

In the case of old minimal supergravity [18–20], the compensator is a nowhere vanishing covariantly chiral scalar \( S_0, \bar{D}_\alpha S_0 = 0 \), with the super-Weyl transformation

\[ S_0 \to e^{-\Sigma} S_0. \] (3.24)

In the superconformal setting, the effective action \( \Gamma [H, \varphi, \bar{\varphi}] \) is replaced by the following super-Weyl invariant functional

\[ \Gamma [H, \varphi, \bar{\varphi}, S_0, \bar{S}_0] = \Gamma [H, \varphi, \bar{\varphi}] + K [H, \varphi, \bar{\varphi}, S_0, \bar{S}_0]. \] (3.25)

In the compensator approach, the super-Weyl anomaly is manifested in the dependence of \( \Gamma [H, \varphi, \bar{\varphi}, S_0, \bar{S}_0] \) on \( S_0 \) and its conjugate \( \bar{S}_0 \). Choosing the super-Weyl gauge \( S_0 = 1 \) reduces \( \Gamma [H, \varphi, \bar{\varphi}, S_0, \bar{S}_0] \) to the original effective action, \( \Gamma [H, \varphi, \bar{\varphi}] \).

In the framework of the new minimal formulation for \( N = 1 \) supergravity [37, 38], the compensator is a covariantly linear supermultiplet \( L \) constrained by

\[ (\bar{D}^2 - 4R) L = 0, \quad \bar{L} = L. \] (3.26)

Its super-Weyl transformation is uniquely fixed by these constraints to be [7]

\[ L \to e^{-\Sigma - \bar{\Sigma}} L. \] (3.27)

Unlike the effective action (3.25) constructed using the chiral compensator \( S_0 \), it appears that there is no way to complete \( \Gamma [H, \varphi, \bar{\varphi}] \) to a super-Weyl invariant functional by adding local structures depending only on the linear compensator, in addition to \( H^m, \varphi \) and \( \bar{\varphi} \). This is analogous to the non-minimal formulation for \( N = 1 \) supergravity [32, 39], for which the compensator is a complex linear supermultiplet \( \Upsilon \), only constrained by

\[ \text{The linear compensator [33] is described by a tensor multiplet [40] such that its field strength} \ L \text{ is nowhere vanishing.} \]
(\bar{D}^2 - 4R)\Upsilon = 0. \quad (3.28)

Under super-Weyl transformation it transforms as [7]

$$\Upsilon \rightarrow \exp\left(\frac{3n-1}{3n+1}\Sigma - \bar{\Sigma}\right)\Upsilon,$$ \quad (3.29)

with $n \neq -1/3, 0$ a real parameter. It does not seem to be possible to complete $\Gamma[H, \varphi, \bar{\varphi}]$ to a super-Weyl invariant functional by adding local structures depending only on $\Upsilon$ and its conjugate $\bar{\Upsilon}$. These conclusions agree with the old analysis of [41] which established the incompatibility of the new minimal and non-minimal supergravity formulations with the existence of local super-Weyl anomalies. In this sense indeed the $H, \varphi$ setup summarised above is unique and we will formulate all our further discussion in this framework.

4. The minimal Q-supersymmetric scheme

We will first try in superspace to find a scheme in which the anomaly in super-Weyl transformations is shifted to $\lambda$-transformations. This will allow us to identify those $\lambda$-transformations which are necessarily anomalous in such a scheme.

Starting with the standard scheme $\Gamma$, we want to reach the configuration $H, \varphi = 1, \bar{\varphi} = 1$. This can be achieved by doing a super-Weyl transformation with $\Omega = \varphi^{-1}$. As the super-Weyl transformation is anomalous, in order to have $\Gamma$ at the new configuration we have to use (3.19) and (3.21), i.e.

$$\Gamma[H, 1, 1] = \Gamma[H, \varphi, \bar{\varphi}] + K[H, \varphi, \bar{\varphi}, \varphi^{-1}, \bar{\varphi}^{-1}]. \quad (4.1)$$

The rhs of the equation is $\varphi$-independent. Therefore $\Gamma[H, 1, 1]$ differs from $\Gamma[H, \varphi, \bar{\varphi}]$ by a local counterterm and we can define a new scheme with a new generating functional $\tilde{\Gamma}$ by

$$\tilde{\Gamma}[H] \equiv \Gamma[H, 1, 1]. \quad (4.2)$$

In the new scheme $\tilde{\Gamma}$ is independent of $\varphi$ and therefore the super-Weyl anomaly vanishes, i.e.

$$\langle \tilde{T} \rangle = 0. \quad (4.3)$$

The variation of $\tilde{\Gamma}$ under finite $\lambda$-transformations is not difficult to calculate:

$$\delta_\lambda \tilde{\Gamma} \equiv \Gamma[H_\lambda, 1, 1] - \Gamma[H, 1, 1] = \left[\Gamma[H_\lambda, B, \bar{B}] - \Gamma[H, 1, 1]\right] - \left[\Gamma[H_\lambda, B, \bar{B}] - \Gamma[H, 1, 1]\right], \quad (4.4)$$

where $B$ denotes the weight factor in (3.6),

$$B = \left[\text{Ber}\left(\frac{\partial(y, \theta)}{\partial(y', \theta')}\right)\right]^{1/3}, \quad (4.5)$$

associated with the $\lambda$-transformation. The expressions in brackets in the second line of (4.4) are variations for the standard scheme: the first is zero due to $\lambda$-invariance of $\Gamma$, while the second is given by (3.21) for $\varphi = 1$ and $\bar{\Omega} = B$. In the infinitesimal case

$$B = 1 + \frac{1}{3} \left(\partial_m \lambda^n - \partial_n \lambda^m\right) \equiv 1 + \Sigma(\lambda) \quad (4.6)$$
and therefore the anomaly becomes
\[ \delta_\lambda \tilde{\Gamma}[H] = - \int d^4x d^2\theta \Sigma(\lambda) \langle T \rangle + \text{c.c.}, \]  
(4.7)
where \( \langle T \rangle \) is evaluated at \( \varphi = 1 \). In particular we see that only \( \lambda \)-transformations with \( B \neq 1 \) are anomalous.

We will discuss first the Ward identities associated with the non-anomalous symmetries in this scheme. Along with (4.3) one can use (4.7) to derive
\[ \tilde{D}_\alpha (\tilde{J}_{\alpha\dot{\alpha}}) = \frac{1}{3} D_\alpha \langle T \rangle, \]  
(4.8)
with \( T \) given by (3.17), evaluated in the configuration \( (H, 1, 1) \). We remark that the appearance of \( T \) and not of \( \tilde{T} \) in the rhs of (4.8) shows that in this new scheme the \( \lambda \)-transformations are anomalous. On the other hand the ‘improvable’ form of (4.8) is related to the fact that the subgroup of \( \lambda \)-transformations with unit Berezinian remains non-anomalous.

Once the symmetries of the ‘minimal \( Q \)-supersymmetric’ scheme defined by the addition of the local counterterm (4.1) are understood, we could study the detailed properties of \( \tilde{\Gamma} \) in the ‘ultralocal’ gauge it defines. There is a one-to-one correspondence between the ultralocal gauge condition which, in the notation of the introduction is specified by the field dependent \( G_0(A) \), and the scheme in which the gauge transformation \( G_0(A) \) is anomaly free. In this example we started with the scheme and we identified as the anomaly free direction the \( \lambda \)-transformations with unit Berezinian and \( \varphi, \bar{\varphi} \) fixed to 1. Therefore the ultralocal gauge transformations correspond to \( \lambda \)-transformations \( f_0(H) \) which brings \( H \) to the form:
\[ \tilde{H}^m(\theta, \bar{\theta}) = i \frac{2}{\theta^2} S^m - i \frac{1}{\theta^2} \sigma^a e_a^m + i \bar{\theta}^2 \bar{\varphi}^{\dot{\alpha}} \Psi^{m\dot{\alpha}} - i \theta^2 \bar{\varphi}^{\dot{\alpha}} \bar{\Psi}^{m\dot{\alpha}} \]  
+ \theta^2 \bar{\theta}^2 \left( \Lambda^m - \frac{1}{4} \left( S^a \partial_a (\bar{S}^m) - \bar{S}^a \partial_a (S^m) \right) \right), \]  
(4.9)
while
\[ \varphi = 1, \quad \bar{\varphi} = 1 \]  
(4.10)
is reached by a super-Weyl transformation with \( \Sigma = - \log \varphi \) under which \( H^m \) is invariant. The relations (4.9) and (4.10) define a WZ gauge in old minimal supergravity.

We will not need the explicit form of \( f_0(H) \). Since the transformation is non-anomalous, the \( H \) configuration given by (4.9) is a convenient labelling of the gauge orbit. By construction the generating functional in this scheme is independent of the three lowest components \( H \), i.e. those which do not appear in (4.9) as they were gauged away:
\[ \tilde{\Gamma}[H] = \hat{\Gamma}[H = \bar{H}]. \]  
(4.11)
The effective action \( \tilde{\Gamma}[H] \) is invariant under those gauge transformations which are used to arrive at the WZ gauge conditions (4.9) and (4.10), namely volume preserving \( \lambda \)-transformations and arbitrary super-Weyl transformations.

We now study the remaining symmetries of the generating functional in the gauge fixed form. The symmetries in the superspace formulation are understood, i.e. they are non-anomalous or anomalous depending on whether the Berezinian is equal to or different from one, respectively.

We start with the non-anomalous symmetries. In infinitesimal form the Berezinian being one gives the constraint
$$\Sigma(\lambda) = \frac{1}{3} (\partial_m \lambda^m - \partial_{\alpha} \lambda^\alpha) = 0. \quad (4.12)$$

These non-anomalous transformations leave $H$ inside the gauge and are therefore simply residual gauge transformations. Their general (infinitesimal) form is

$$\lambda^m(\theta) = a^m + 2\theta \sigma^a e_a^m - 2\theta e^m S^m + \theta^2 s^m \quad (4.13a)$$

$$\lambda^\alpha(\bar{\theta}) = e^\alpha + \frac{1}{2} \theta^\beta \partial_\beta a^\alpha - K^\alpha_\beta \bar{\theta}^\beta + \theta^2 \bar{\theta} \left[ i(\bar{\epsilon} \tilde{\sigma}^a)^{\alpha} e_a^m + e^\alpha S^m \right], \quad (4.13b)$$

where the components obey the conditions

$$\bar{a}^m = a^m, \quad K_{\alpha\beta} = K_{\beta\alpha}, \quad \partial_m s^m = 0. \quad (4.14)$$

The parameters $a^m, K^\alpha_\beta$ and $\epsilon_\alpha$ correspond to general coordinate transformations, local Lorentz transformations and local $Q$-supersymmetry transformations, respectively. They are all non-anomalous. The identification of the parameters with the various symmetries proceeds by working out their action on the components of $H^m$, using the infinitesimal form of (3.5), see [7, 42]. As shown in [42], the commutator of two such gauge preserving transformations preserves the gauge as well.

The gauge transformation generated by the complex transverse parameter $s^m$ acts on the complex field $S^m$ as

$$\delta_\alpha S^m = s^m, \quad (4.15)$$

while all other fields are invariant. This identifies $S^m$ as the Hodge dual of a complex gauge three-form. Then

$$B := \partial_m S^m \quad (4.16)$$

and its conjugate $\bar{B}$ are the only independent gauge-invariant field strengths. The fields $\{e^m_a, \Psi^{m\alpha}, \bar{\Psi}_m^\dot{\alpha}, A^m, B, \bar{B}\}$ constitute the multiplet of old minimal supergravity.

In the parametrisation (4.13) for spacetime diffeomorphisms, the component fields in (4.9) are densities. In order to deal with true tensor fields, we have to switch to the following parametrisation [42]

$$H^m(\theta, \bar{\theta}) = \frac{i}{2} \epsilon \left( \theta^2 S^m - \bar{\theta}^2 S^m \right) + e \theta \sigma^a \bar{\theta} e_a^m + i e \frac{1}{2} (\bar{\theta}^2 \theta \Psi^m_\alpha - \theta^2 \bar{\theta} \bar{\Psi}_m^{\dot{\alpha}}) + e^2 \theta^2 \bar{\theta} \left( A^m - \frac{1}{4e} \left[ S^m \partial_\alpha (e \bar{S}^m) - S^m \partial_\alpha (e S^m) \right] \right). \quad (4.17)$$

Some of the parameters in (4.13) should also be re-defined, in particular

$$s^m \rightarrow e s^m, \quad \nabla_m s^m = 0 \quad \Leftrightarrow \quad s^m = \varepsilon^{nfr} \nabla_n s^r. \quad (4.18)$$

We now discuss the $\lambda$-transformations with Berezinian different from one. Since they lead out of the special gauge (4.9) they should be accompanied by a compensating gauge transformation. Alternatively their action could be calculated using their unconstrained form using (4.7) with $H$ having the special form. These transformations are all anomalous. In particular they include $S$-supersymmetry, Weyl transformations and $R$-symmetry. In addition there is the transformation of the $S^m$ gauge field with transformation

$$\delta_\lambda S^m = s^m \quad (4.19)$$

which in this scheme is anomalous if $\partial_m s^m \neq 0$. 

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5. The Wess–Zumino gauge and scheme

Starting with the generating functional $\Gamma[H, \phi, \bar{\phi}]$, an extended class of ‘ultralocal’ super-Weyl and $\lambda$-transformations allows us to reach the configuration

$$
H_{WZ}^m(\theta, \bar{\theta}) = \theta \sigma^a \bar{\theta} e^a_m + i \theta^2 \bar{\theta}^\alpha \bar{\Psi}^m_{\alpha} + \frac{1}{2} \bar{\theta}^2 \bar{\theta}^\dot{\alpha} (A^m + i e^a_m \varepsilon^{abcd} \omega_{bcd}),
$$

(5.1)

and

$$
\phi = \bar{\phi} = 1,
$$

(5.2)

where $\omega_{bcd}$ denotes the torsion-free spin connection. This defines the WZ gauge, whose field content is that of conformal supergravity. The transformations leading to this configuration are anomalous, so we cannot have a ‘gauge fixing’ in the usual sense. We can, however, restrict $\Gamma$ to this configuration, i.e. define an effective action in this gauge by

$$
\Gamma_{WZ}[H_{WZ}] \equiv \Gamma[H = H_{WZ}, \phi = 1, \bar{\phi} = 1].
$$

(5.3)

It is $\Gamma_{WZ}$ which corresponds to the analysis carried out in [43, 44].

Under infinitesimal $\lambda$-transformations $\Gamma_{WZ}[H_{WZ}]$ transforms as

$$
\delta_{\lambda} \Gamma_{WZ}[H_{WZ}] = - \int d^4x d^2\theta \Sigma(\lambda)(T) + \text{c.c.},
$$

(5.4)

where $\Sigma(\lambda)$ is defined in (4.6), and the anomalous supertrace $\langle T \rangle$, equation (3.17), is evaluated at $\phi = 1$ and $H = H_{WZ}$. Here we have to restrict the $\lambda$-transformations to those which preserve the WZ gauge for $H^m$. They will be discussed at the end of this section.

Any further action of ultralocal symmetries on $\Gamma_{WZ}$ is known already for nonsupersymmetric theories (like e.g. the shift of the Weyl anomaly to diffeomorphisms) so in the super-conformal framework the WZ gauge represents an extremal situation. The exact action of the symmetries in the WZ gauge is completely fixed by the algebra in superspace. Their restriction to the WZ gauge is unique and it is valid independently of any assumption on the anomalies. A characteristic feature of this algebra is the local dependence of the structure ‘constants’ on the gauge fields, reflecting the compensating gauge transformations needed to stay in the WZ gauge. The cohomological analysis of this algebra was done in [44] with the conclusion that in addition to $S$-supersymmetry current, Weyl transformations and $R$-symmetry gauge anomalies there are anomalies also in the $Q$-supercurrent. There are exactly two cohomologically nontrivial solutions labelled by the $a$ and $c$ coefficients. In the Introduction we argued on general grounds that in such a situation one can ‘uplift’ the WZ gauge, i.e. find a ‘scheme’ in superspace such that $\Gamma_{WZ}$ is the gauge fixing of $\Gamma$ supplemented by local counterterms which define the scheme. We now construct this scheme explicitly.

Consider a WZ gauge configuration of the form (5.1) and perform a finite $\lambda$-transformation defined by

$$
y^m = f^m(y, \theta), \quad \bar{y}^m = \theta^2 S^m(y), \quad \theta^{\alpha} = \bar{\theta}^{\dot{\alpha}}, \quad \bar{\theta}^{\dot{\alpha}} = \bar{\theta}^{\dot{\alpha}}.
$$

(5.5)

Using the transformation rule

$$
x^m + i H^m(x', \theta', \bar{\theta}') = f^m(x, \theta, \bar{\theta}) + i H_{WZ},
$$

(5.6)

and its complex conjugate and the terminating expansion in $\theta^2$, it is easy to show that

$$
H'(x, \theta, \bar{\theta}) = H(x, \theta, \bar{\theta})
$$

(5.7)
Moreover, the Berezinian of the transformation (5.6) is

\[ B^3 = 1 + \theta^2 \partial_m S^m = 1 + \theta^2 \mathcal{B}. \]  

(5.8)

Then using the minimal \( Q \)-supersymmetric scheme we obtain

\[ \tilde{\Gamma}[\tilde{H}] = \Gamma[H_{\text{WZ}}] - K[H, 1, 1; B, \bar{B}] \]  

(5.9)

Combining this with (4.1) we obtain

\[ \Gamma_{\text{WZ}}[H_{\text{WZ}}] = \Gamma[H, \varphi, \bar{\varphi}] + K[H, \varphi, \bar{\varphi}; \varphi^{-1}, \bar{\varphi}^{-1}] + K[H, 1, 1; B, \bar{B}]. \]  

(5.10)

In the second \( K \)-term above we could replace \( \tilde{H} \) with the generic configuration \( H \) by using the \( \lambda \)-transformation group element \( f_\lambda(H) \).

The rhs of (5.10) depends only on \( H_{\text{WZ}} \), together with \( \varphi = \bar{\varphi} = 1 \). This proves that the action restricted to the WZ gauge corresponds to a ‘scheme’, i.e. starting with the ‘standard superspace scheme’ represented by \( \Gamma[H, \varphi, \bar{\varphi}] \), we have added explicit local counterterms, i.e. the two \( K \)-functionals. All the properties of the WZ gauge can now be read off from (5.10). In particular the violation of \( Q \)-supersymmetry is all in the second \( K \)-term. It explicitly breaks supersymmetry due to the presence of \( \bar{B} \), which is not scalar chiral superfield. But the anomaly in \( Q \)-supersymmetry does not have any fundamental significance: it can be removed by simply adding a local counterterm \(- K[H, 1, 1; B, \bar{B}] \). We could be more specific about the shifting of the anomalies we used: comparing with the minimal \( Q \)-symmetric scheme it is evident that \( Q \)-supersymmetry became anomalous when we gauged away the \( S^m \) field, even though the required transformation

\[ \delta S^m = \bar{s}^m \]  

(5.11)

with \( \partial_m \bar{s}^m \neq 0 \) is anomalous. Therefore we simply shifted the anomaly from the transformation of \( S^m \) to \( \bar{Q} \)-supersymmetry. In appendix B we will discuss similar well known shifts of anomalies in non-supersymmetric theories.

Let us now discuss the residual symmetries which preserve the gauge (5.1). They are parametrized by

\[ \lambda^m(\theta) = a^m + 2i\theta \sigma^a \epsilon e_a^m + 2\theta^2 \epsilon \bar{\Psi}^m, \quad \bar{a}_m = a^m, \]  

(5.12a)

\[ \lambda^\alpha(\theta) = e^\alpha + \frac{1}{2} (\sigma + i\rho) \theta^\alpha - K^\alpha_\beta \theta^\beta \]

\[ + \theta^2 \left[ \eta^\alpha - i(\nabla_b \bar{\epsilon} \bar{\sigma}^b)^\alpha + (\bar{\epsilon} \bar{\sigma}_b)^\alpha \left( \frac{1}{2} \omega_{ce}^b + \frac{1}{4} \epsilon^{bcde} \omega_{cde} \right) \right]. \]  

(5.12b)

Here the component parameters correspond to spacetime reparametrisations \( (a^m) \), local Lorentz \( (K_{\alpha\beta} = K_{\beta\alpha}) \), \( Q \)-supersymmetry \( (e^\alpha) \), \( S \)-supersymmetry \( (\eta^\alpha) \), local scale \( (\sigma) \) and \( R \)-symmetry \( (\rho) \) transformations, i.e. the parameters of the superconformal group. The transformations under which \( \Gamma_{\text{WZ}} \) is non-anomalous, i.e. which satisfy \( \Sigma(\lambda) = 0 \), can be parametrized as

\[ \lambda^m(\theta) = a^m, \quad \lambda^\alpha(\theta) = \frac{1}{2} \theta^\alpha \partial_m a^m + K^\alpha_\beta \theta^\beta. \]  

(5.13)

These are diffeomorphisms and local Lorentz transformations.

With this parametrisation (5.13) for spacetime diffeomorphisms, the component fields in (5.1) are no longer vector fields with respect to the index ‘\( m \)’, instead they are vector densities. In order to work with true vector fields, we have to switch to the following parametrisation (compare with [42])
\[ H^m(\theta, \bar{\theta}) = e \theta^a \bar{\theta}^m e_a \bar{m} + i e^2 \left( \bar{\theta}^2 \bar{\theta}^m \psi^m_{\alpha} - \bar{\theta}^2 \theta^m \psi^m_{\bar{\alpha}} \right) \]
\[ + \frac{1}{2} e^2 \bar{\theta}^2 \theta^2 \left( \Lambda^m + \frac{1}{2} e_a \bar{m} \epsilon^{abcd} \omega_{bcd} \right), \]  
(5.14)

with \( e = \det (e_m^a) \). The gauge freedom is then described by parameters

\[ \chi^m(\theta) = a^m + 2i e^4 \theta^a \bar{e} e_a^m + 2e^3 \theta^2 \bar{e} \Psi^m, \]  
(5.15a)

\[ \lambda^a(\theta) = e^{-\frac{1}{2} \epsilon^a} - \frac{1}{2} \left( 3(\sigma + i \rho) - \partial_a a^m + i(\epsilon \sigma_b \bar{\Psi}^m - \Psi^m \sigma_b \bar{e}) e_a^b \right) \theta^a - K^a \theta^b \]
\[ + e^2 \theta^2 \left[ \eta^a - i(\nabla_b \bar{\epsilon} \bar{\sigma}^b) + (\bar{\epsilon} \bar{\sigma}^b) \right] - \frac{1}{2} e_b \ln e + \frac{1}{2} \epsilon^{b c d} \omega_{b c d} \]  
(5.15b)

with \( e_a = e_a^m \partial_m \). For \( \Sigma(\lambda) \) we obtain

\[ \Sigma(\lambda) = i + \frac{1}{3} \left( \epsilon e_a \bar{\Psi}^m - \Psi^m e_a \bar{\epsilon} \right) e_m^a + \frac{2}{3} e^2 \left( \theta \eta + 2i \theta^a \nabla_{a} \bar{\epsilon} \right) \]
\[ + \frac{2}{3} e^2 \nabla_{a} \left( \bar{e} \Psi^m \right) \]  
(5.16)

which is independent of the parameters for general coordinate transformations (\( a^m \)) and local Lorentz-transformations (\( K^a, \beta \)), the only remaining non-anomalous symmetries of \( \Gamma_{WZ} \).

6. Discussion

While anomalies in supersymmetric theories obey the general constraints of any relativistic QFT following from analyticity and unitarity, they have specific features caused mainly by the proliferation of ‘ultralocal null operators’. Related to that it is tempting to study anomalies in gauges in which most of these operators are put to zero and one concentrates on the anomalies related to ‘null divergences’. We called these gauges WZ gauge generically. In such a situation, when the operators are coupled to source gauge fields, the algebra of symmetry transformations becomes field dependent.

The cohomology problem for this algebra has nontrivial solutions where locality, essential for the formulation of the cohomology, is defined in terms of the still unfixed gauge fields. The dimension of the space of nontrivial solutions of the cohomology problem is correctly obtained by this procedure but the characterization of the solutions in terms of the necessarily anomalous symmetries is not always valid. The reason is that the class of allowed local counterterms is much larger than the ones realized in the WZ gauges. Polynomials in momenta can be added to correlators in the microscopic theory or, equivalently, local terms in the gauge field can be added to the effective action. This is the case even when the operators are null, i.e. their correlators do not have an imaginary part: then the added correlators are just polynomials or, equivalently, the added local terms contain gauge fields which are not really coupled in the microscopic theory. This freedom is missed when one goes to the fixed gauge and therefore the possibility of shifting the anomaly from one symmetry to another is reduced.

A similar situation occurs already in nonsupersymmetric theories for trace anomalies, as we discuss in appendix B. The trace of the energy-momentum tensor is an ‘ultralocal null operator’ and we can go to a gauge where all its correlators are put to zero. Then in this gauge an anomaly appears in the conservation of the energy-momentum tensor. The dimension of the space of cohomologically nontrivial solutions (one type A and a number of space time dimension dependent type B [45]) is correctly reproduced, but it would be incorrect to conclude that
the conservation of the energy-momentum tensor is necessarily anomalous. When one adds the ‘Weyl mode’ in the enlarged gauge field space, the anomaly can be shifted to the trace in a new scheme and the conservation of the energy-momentum tensor is reinstated: by adding pure polynomials in momenta for the correlators of the trace the Ward identities following from conservation are satisfied.

In this paper we discussed in detail examples of \( \mathcal{N} = 1 \) supersymmetric theories in four dimensions. The superspace formulation is very convenient as it provides a large enough space of gauge directions or, equivalently, operators in the microscopic theory for which the local counterterms could appear. Then we could discuss systematically the restrictions which appear in a given gauge and to what scheme they correspond in superspace. The relation between the superspace formulation and the WZ gauge is an equivalence: to every solution of the cohomology problem in the WZ gauge there corresponds a scheme in superspace such that the generating functional reproduces the exact dependence on the gauge fixed fields in the WZ gauge. Then one can make changes in the scheme in superspace and thereby shift the anomaly.

In the flavour case there is a well-known apparent violation of global \( Q \)-supersymmetry in the WZ gauge. In superspace, however, this turns out to be just another scheme where a nonsupersymmetric counterterm was added to the action. Removing this term restores supersymmetry.

Microscopic superconformal models allow different partial gauge fixings. Having a scheme in superspace where the super-Weyl mode is put to one is shown to be equivalent to fixing the gauge to the minimal one preserving non-anomalous \( Q \)-supersymmetry. The field content in this gauge contains, in addition to the vierbein, gravitino and \( R \)-current gauge field, an additional field \( S^m \). This field content is enough to realize the anomalies for \( S \)-supersymmetry, \( R \)-symmetry and Weyl invariance, leaving diffeomorphism invariance and \( Q \)-supersymmetry non-anomalous.

If one does an additional gauge fixing putting \( S^m \) to zero, one reaches a WZ gauge in which \( Q \)-supersymmetry is anomalous. This can be understood as a result of shifting the anomaly in the \( S^m \) shift invariance to \( Q \)-supersymmetry. Obviously the \( Q \)-supersymmetry anomaly can be removed by the opposite process.

One can continue to an even ‘more physical’ gauge where also the Weyl mode of the metric is fixed, in which case not only \( Q \)-supersymmetry but also diffeomorphism invariance would look anomalous.

The common feature of the above examples is the apparent anomaly in \( Q \)-supersymmetry. The explicit realizations show exactly how the apparent anomalies in \( Q \)-supersymmetry were produced, by simply choosing schemes where \( Q \)-symmetry violating local counterterms were added to the effective action.

In terms of physical applications, an apparent anomaly in \( Q \)-symmetry would not change the consequences of anomaly matching, provided the same gauge is used in the UV and IR. On the other hand, if one wants to make supergravity fields dynamical, the matter (microscopic) theory should couple in an anomaly free way. Therefore, while generically we will not be able to couple superconformal matter to dynamical superconformal gravity, by choosing a scheme where \( Q \)-supersymmetry is non-anomalous we could couple to dynamical Einstein supergravity.

The analysis presented in this paper, in particular the nonexistence of anomalies in \( Q \)-supersymmetry, relied on the existence of a superspace formulation. In principle this is not necessary: an analysis of the correlators of the microscopic theory taking into account all the possible ‘ultralocal null operators’ could replace it. In any case, the existence of a nonremovable anomaly in \( Q \)-supersymmetry requires more solid arguments than just seeing the anomaly in a particular WZ-like gauge.
Acknowledgments

SMK is grateful to the Albert Einstein Institute for hospitality during an early stage of this project. His work is supported in part by the Australian Research Council, project No. DP160103633. AS is grateful to the Albert Einstein Institute for its hospitality. His work was supported by the Israel Science Foundation (Grant No. 1937/12). Very useful discussions with O Aharony, Z Komargodski, S Yankielowicz are gratefully acknowledged. ST acknowledges helpful discussions with F Ciceri, V Prochazka and A van Proeyen during the early stages of this project.

Appendix A. Generating the super-Weyl anomaly

The discussion of section 4 used the existence of the local action (3.21) whose Weyl variation produces the anomaly. It was used to construct a counterterm which allowed us to go to Wess–Zumino gauge without encountering an anomaly in the required symmetry transformations. In this appendix we present an alternative derivation of this super-space effective action, which was originally derived by integrating the Weyl anomaly in superspace [29], using the procedure of Wess and Zumino [46]. For a related construction see also [47].

As preparation we need to collect some facts about our approach to $\mathcal{N}=1$ conformal supergravity [12, 13] in superspace, which uses the Grimm–Wess–Zumino geometry [17, 18], in conjunction with the super-Weyl transformations discovered by Howe and Tucker [21]. They leave the algebra of supergravity covariant derivatives

$$D_A = (D_a, D_\alpha, \bar{D}^{\dot{\alpha}}) = E_A^M \partial_M + \Omega_A^\beta\gamma M_{\beta\gamma} + \Omega_A^{\dot{\beta}\dot{\gamma}} \bar{M}_{\dot{\beta}\dot{\gamma}}$$  (A.1)

invariant. Here $M_{\beta\gamma} = M_{\gamma\beta}$ and $\bar{M}_{\dot{\beta}\dot{\gamma}} = \bar{M}_{\dot{\gamma}\dot{\beta}}$ are the Lorentz generators. The algebra is given in equation (5.5.6) in [7], where other details of the construction can also be found.

A super-Weyl transformation is associated with a chiral parameter $\Sigma$, $D_\alpha \Sigma = 0$, and its complex conjugate $\bar{\Sigma}$. Its infinitesimal form is

$$\delta_\Sigma D_\alpha = \left(\frac{i}{2} \Sigma - \bar{\Sigma}\right) D_\alpha - D_\beta \Sigma M_{\alpha\beta},$$

$$\delta_\Sigma \bar{D}_{\dot{\alpha}} = \left(\frac{i}{2} \Sigma - \bar{\Sigma}\right) \bar{D}_{\dot{\alpha}} - \bar{D}_{\dot{\beta}} \Sigma M_{\alpha\beta},$$

$$\delta_\Sigma D_{\alpha\dot{\alpha}} = -\frac{i}{2} (\Sigma + \bar{\Sigma}) D_{\alpha\dot{\alpha}} - \frac{i}{2} \bar{D}_{\dot{\alpha}} \Sigma D_\alpha - \frac{i}{2} D_\alpha \Sigma D_{\alpha\dot{\alpha}} - D_\beta \Sigma M_{\alpha\beta} - \bar{D}_{\dot{\alpha}} \Sigma \bar{M}_{\dot{\alpha}\dot{\beta}},$$  (A.2c)

which implies that the torsion tensors transform as follows:

$$\delta_\Sigma R = (\Sigma - 2\bar{\Sigma}) R - \frac{1}{4} D^2 \Sigma,$$  (A.3a)

$$\delta_\Sigma G_{\alpha\dot{\alpha}} = -\frac{i}{2} (\Sigma + \bar{\Sigma}) G_{\alpha\dot{\alpha}} + i D_{\alpha\dot{\alpha}} (\Sigma - \bar{\Sigma}),$$  (A.3b)

$$\delta_\Sigma W_{\alpha\beta\dot{\gamma}} = -\frac{3}{2} \Sigma W_{\alpha\beta\dot{\gamma}}.$$  (A.3c)
Finally, \( \delta_\Sigma E = (\Sigma + \bar{\Sigma})E \) and \( \delta_\Sigma E = 3 \Sigma E \).

Consider now the following functional
\[
I = \int d^{4}\xi E \left\{ \ln(\Omega\bar{\Omega})(G^{\alpha}G_{\alpha} + 2R\bar{R}) - \frac{1}{4} \left[ RD^{2}\ln \Omega + \bar{R}\bar{D}^{2}\ln \bar{\Omega} \right] \right.
+ \left. \frac{1}{2} G^{\alpha\beta}D_{\alpha} \ln \Omega D_{\beta} \ln \Omega + \frac{1}{16} D^{2}\ln \Omega \bar{D}^{2}\ln \bar{\Omega} + RR \right\} .
\] (A.4)

Using the super-Weyl transformation of \( \Omega \), equation (3.22), the super-Weyl variation of \( I \) is
\[
\delta_\Sigma I = - \int d^{4}\xi E (\Sigma + \bar{\Sigma})(G^{\alpha}G_{\alpha} + 2R\bar{R}) .
\] (A.5)

To prove this, we use results obtained in [48, 49]. First, if we convert the first line of (A.4) into an integral over chiral superspace plus its complex conjugate, we notice the combination \( \Xi \ln \Omega \)
\[
\Xi := - \frac{1}{4} (\bar{D}^{2} - 4R) \left\{ G^{\alpha}G_{\alpha} + 2R\bar{R} - \frac{1}{4} D^{2}R \right\} 
\] (A.6)
whose super-Weyl variation can be shown to be
\[
\delta_\Sigma \Xi = - 3 \Sigma \Xi + \Delta \bar{\Sigma} .
\] (A.7)

Here \( \Delta \) denotes the following higher-derivative operator
\[
\Delta \Phi := - \frac{1}{64} (\bar{D}^{2} - 4R) \left\{ D^{2}\bar{D}^{2}\Phi + 8D^{\alpha}(G_{\alpha\beta}D^{\beta}\bar{\Phi}) \right\} , \quad \bar{D}_{\alpha} \Delta \Phi = 0 .
\] (A.8)

Next we use the relation
\[
\delta_\Sigma \left\{ D^{2}\bar{D}^{2}\Phi + 8D^{\alpha}(G_{\alpha\beta}D^{\beta}\bar{\Phi}) \right\} = -(\Sigma + \bar{\Sigma}) \left\{ D^{2}\bar{D}^{2}\Phi + 8D^{\alpha}(G_{\alpha\beta}D^{\beta}\bar{\Phi}) \right\} + \bar{D}_{\alpha}(\ldots) .
\] (A.9)

which is valid if \( \delta_\Sigma \Phi = 0 \). Finally we need
\[
\delta_\Sigma \int d^{4}\xi E (R\bar{D}^{2}\Sigma + R\bar{D}^{2}\bar{\Sigma}) .
\] (A.10)

The above observations are sufficient to prove (A.5).

We can rewrite \( I \) in a different form with the help of the identity
\[
\bar{D}^{2}\ln \bar{\Omega} = \left( \frac{\bar{D}^{2} - 4R}{\Omega} \right) \bar{\Omega} + 4R - (\bar{D}\ln \bar{\Omega})^{2} .
\] (A.11)

and its conjugate. Then \( I \) becomes
\[
I = \int d^{4}\xi E \left\{ \ln(\Omega\bar{\Omega})(G^{\alpha}G_{\alpha} + 2R\bar{R}) + \frac{1}{2} G^{\alpha\beta}D_{\alpha} \ln \Omega D_{\beta} \ln \Omega + \frac{1}{16} (D\ln \Omega)^{2}(D\ln \Omega)^{2} \left( \frac{\bar{D}^{2} - 4R}{\Omega} \right) \right.
- \left. \frac{1}{16} \int d^{4}\xi E \left( \frac{(D^{2} - 4R)\Omega}{\Omega} \right) (D\ln \Omega)^{2} + \left( \frac{\bar{D}^{2} - 4R}{\bar{\Omega}} \right) (D\ln \bar{\Omega})^{2} \right\}
+ \frac{1}{16} \int d^{4}\xi E \left( \frac{(D^{2} - 4R)\Omega}{\Omega} \right) (D^{2} - 4R)\bar{\Omega} .
\] (A.12)

The last line in (A.12) is super-Weyl invariant and can be dropped. The resulting expression will be called \( \tilde{I} \). It is then clear that the combination
\[
\Gamma = -2(c - a) \int d^4x d^2 \theta \, E \ln \Omega \, W^{\alpha_1 \beta_1} W_{\alpha_1 \beta_1} + c.c + 2a \mathcal{I}
\]

solves (3.18). Using the relations

\[
-\frac{i}{4} \int d^4z E \mathcal{D}^{\alpha_1} \ln \Omega \, \mathcal{D}_\alpha \ln \Omega \, \mathcal{D}_\alpha \ln \Omega = -\frac{1}{16} \int d^4z E (\mathcal{D} \ln \Omega)^2 \mathcal{D}^2 \ln \Omega
\]

and its conjugate and

\[
-\frac{1}{16} \int d^4z E \left\{ \frac{(\mathcal{D}^2 - 4\mathcal{R})\Omega}{\Omega} (\mathcal{D} \ln \Omega)^2 + \left( \frac{\mathcal{D}^2 - 4\mathcal{R}}{\Omega} (\mathcal{D} \ln \Omega)^2 \right) \right\}
\]

\[
= \frac{1}{4} \int d^4z E \left\{ R(\mathcal{D} \ln \Omega)^2 + \mathcal{R}(\mathcal{D} \ln \Omega)^2 + i\mathcal{D}^{\alpha_1} \left( \ln \Omega - \Omega \right) \mathcal{D}_\alpha \ln \Omega \, \Omega \mathcal{D}_\alpha \ln \Omega
\]

\[
- \frac{1}{2} (\mathcal{D} \ln \Omega)^2 (\mathcal{D} \ln \Omega)^2 \right\}
\]

one shows that (A.13) coincides with the functional \(-K[H, \varphi, \bar{\varphi}; \Omega, \bar{\Omega}]\), see (3.21).

We remark that if we interpret \(\Omega = e^{-\Phi}\) as the dilaton superfield, as was done in [29], we can complete the dilaton effective action obtained from \(-K[H, \varphi, \bar{\varphi}; \Omega, \bar{\Omega}]\) by adding a Weyl invariant kinetic term

\[
\int d^4z E \bar{\Omega} \Omega \, F \left( \frac{(\mathcal{D}^2 - 4\mathcal{R})\Omega}{\Omega^2}, \frac{(\mathcal{D}^2 - 4\mathcal{R})\Omega}{\bar{\Omega}^2} \right).
\]

where \(F(\zeta, \bar{\zeta})\) is a real function of one complex variable. Schwimmer and Theisen [29] made the simplest choice \(F(\zeta, \bar{\zeta}) = 1\).

Given the above results, we can construct a non-local action which contains only the supergravity fields \(H^m\) and \(\varphi\). One possibility is to choose \(\Omega\) such that it satisfies the super-Weyl invariant massless equation

\[
(\mathcal{D}^2 - 4\mathcal{R})\Omega = 0
\]

in which case the last two lines of (A.12) vanish. The resulting effective action was constructed in [50]. More precisely, the chiral scalar \(\Omega\) was chosen to coincide with the unique solution to (A.17), which was proposed in [51] as a non-local functional of the supergravity multiplet, \(\Omega = \Omega[H, \varphi, \bar{\varphi}]\), and is given by

\[
\Omega = 1 + \frac{1}{4\Box_+} (\mathcal{D}^2 - 4\mathcal{R})\mathcal{R}.
\]

where \(\Box_+\) denotes the chiral d’Alembertian defined by \(\Box_+ \phi = \frac{1}{4} (\mathcal{D}^2 - 4\mathcal{R})(\mathcal{D}^2 - 4\mathcal{R})\phi\), for any covariantly chiral scalar \(\phi\).[12] With this choice of \(\Omega\) the anomalous action (A.13) is the non-local effective action of [50] which we denote \(\Gamma_{\text{anom}}^{(i)}[H, \varphi, \bar{\varphi}]\).

There exists a different non-local effective action constructed in [48], \(\Gamma_{\text{anom}}^{(ii)}[H, \varphi, \bar{\varphi}]\), which makes use of the Green function of the superconformal operator (A.8) and which generates the super-Weyl anomaly. Both actions \(\Gamma_{\text{anom}}^{(i)}[H, \varphi, \bar{\varphi}]\) and \(\Gamma_{\text{anom}}^{(ii)}[H, \varphi, \bar{\varphi}]\) have the following

[12] This solution is a supersymmetric extension of of the composite scalar field \(\omega = 1 + \frac{1}{4}(\Box - \frac{i}{4}\mathcal{R})^{-1}\mathcal{R}\), with \(\mathcal{R}\) the scalar curvature, proposed by Fradkin and Vilkovisky [52]. The scalar field \(\omega\) was used by Fradkin and Tseytlin [53] to integrate the ordinary Weyl anomalies [45, 54, 55].
fundamental properties: (i) they are manifestly locally supersymmetric and (ii) they possess the same super-Weyl variation,
\[ \delta \Sigma^{(\text{anom})}[H, \varphi, \bar{\varphi}] = \delta \Sigma^{(\text{anom})}[H, \varphi, \bar{\varphi}] = \delta \Sigma[H, \varphi, \bar{\varphi}], \]
(A.19)
with \( \delta \Sigma^{(\text{anom})}[H, \varphi, \bar{\varphi}] \) as in equation (3.18).

It should be mentioned that the above choice for \( \Omega \), equation (A.18), is not unique. A slightly different chiral superfield was also used in [50] to integrate the super-Weyl anomaly. Interesting options emerge when we consider a solution to the equations of motion of the dilaton effective action, see [29] a discussion.

The important question of the analytic properties of these effective actions, i.e., whether they correctly reproduce the correlation functions of the supercurrent multiplet, is not addressed here. However, the ‘true’ effective action differs from either one by at most a (non-local) super-Weyl invariant functional of \( H^m \).

Appendix B. Conformal anomalies in the ‘physical’ gauge and scheme

Conformal anomalies, in addition to being components of the superconformal anomalies, present in a simplified setup the issues we faced in the main text. In a conformal theory, imposing the equations of motion, the energy-momentum tensor \( T^m \) is conserved while its trace \( T^m \) vanishes. In special situations, the free massless scalar in \( d = 2 \) or Maxwell theory in \( d = 4 \), the vanishing of the trace does not even require the equations of motion. In every case the trace is an ultralocal null operator and therefore the system can be studied in a ‘physical’ (analogue of Wess–Zumino) gauges using the ultralocality of gauge transformations.

In the general situation we couple the energy-momentum tensor to a metric \( g \) on which the symmetries act: diffeomorphisms related to conservation of the energy-momentum tensor and Weyl transformations related to its tracelessness. The vanishing trace of the energy-momentum tensor means that not all components of the metric are coupled and in a ‘physical’ gauge we could restrict the metric to a special class of metrics \( \mathring{g} \) which obey \( \det \mathring{g} = 1 \).

We start by studying the cohomology problem in this special gauge. The only symmetries left in this gauge are spacetime diffeomorphisms parametrized by the infinitesimal parameters \( \zeta^m(x) \). The transformation of \( \mathring{g} \) is
\[ \delta \mathring{g} = \mathring{\nabla}_m \mathring{\zeta}^m + \mathring{\nabla}_n \mathring{\zeta}^n - \frac{2}{d} \mathring{\nabla} \cdot \mathring{\zeta} \mathring{g}^{mn}, \]
(B.1)
where the covariant derivatives and the operations of raising and lowering indices are performed using the metric \( \mathring{g} \), i.e., \( \mathring{\zeta}^m = \mathring{g}^{mn} \zeta^n \), and the last term is added in order to remain in the gauge after the transformation.

The cohomological problem is defined by asking for variations of functionals \( \mathring{\Gamma}[\mathring{g}] \) such that
\[ \delta \mathring{\Gamma} = \int d^d x \zeta^m A_m(\mathring{g}), \]
(B.2)
where \( A_m \) is local and the Wess–Zumino condition is obeyed:
\[ \delta \zeta_1 \int d^d x \zeta_2^m A_m = \delta \zeta_2 \int d^d x \zeta_1^m A_m = \int d^d x (\zeta_1 \ast \zeta_2)^m A_m, \]
(B.3)
with
\[ (\zeta_1 \ast \zeta_2)^m \equiv \zeta_1^n \partial_n \zeta_2^m - \zeta_2^n \partial_n \zeta_1^m. \]
(B.4)
The nontrivial solutions $A_m$ of (B.2) and (B.3) should be such that they do not correspond to the variation of a local $\hat{\Gamma}$.

In any even dimension there are cohomologically nontrivial solutions given by

$$A_m(\hat{g}) = \partial_m A(g = \hat{g}).$$

(B.5)

Here $A$ are the standard Weyl anomalies, i.e.

$$A(g) = a E_d + \sum c_i W^i$$

(B.6)

where $E_d$ is the $d$-dimensional Euler characteristic and $W_i$ are the Weyl invariant type B anomalies [45]. This will be discussed further below.

The anomaly modified conservation equation is obtained by taking a functional derivative of (B.2) with respect to $\zeta^m$ and using the chain rule for the lhs. We obtain

$$\hat{\nabla}^m \hat{T}_{mn} = \frac{1}{2} \partial_n A(\hat{g}).$$

(B.7)

where $\hat{T}$ is the automatically traceless energy-momentum tensor defined as

$$\hat{T}_{mn} \equiv \frac{\delta \hat{\Gamma}}{\delta \hat{g}^{mn}} - \frac{1}{d} \hat{g}^{mn} \delta \hat{\Gamma} / \delta \hat{g}^{rr}.$$ 

(B.8)

The special form of (B.7) (with the gradient on the rhs) indicates that the anomaly is ‘removable’, i.e. the conservation can be reinstated in another scheme. Indeed, defining

$$\tilde{T}_{mn} \equiv \hat{T}_{mn} - \frac{1}{2} \hat{g}_{mn} A$$

(B.9)

the new energy-momentum tensor will be conserved, but of course will not be traceless.

The special form of (B.7) is also related to the fact that while diffeomorphism invariance becomes anomalous in this scheme, the special class of diffeomorphisms with unit determinant continue to be an anomaly free symmetry. Consider for a generic diffeomorphism with parameters $\zeta^m$ the corresponding transformation with parameters:

$$\tilde{\zeta}^m \equiv \zeta^m - \nabla^m \frac{1}{\hat{\nabla}} \cdot \zeta.$$ 

(B.10)

They have vanishing divergence. We expect that the variation of the action vanishes for these special transformations:

$$0 = \int d^d x \tilde{\zeta}^m \nabla^m \tilde{T}_{mn}.$$ 

(B.11)

Using (B.10) we see that this is indeed the case, after integration by parts, provided the rhs of (B.7) is a gradient.

Following our general procedure we would now like to enlarge the space of couplings such that we can shift the anomaly away from diffeomorphisms. We add the Weyl mode $\Sigma$ coupled to the trace null operator. This produces an unconstrained metric $g_{mn}$ related to the physical gauge metric $\hat{g}_{mn}$ by

$$g_{mn} = (\exp 2 \Sigma) \hat{g}_{mn}$$ 

(B.12)

and

$$\exp (2 d \Sigma) = \det (g_{mn}).$$ 

(B.13)
We want to relate $\hat{\Gamma}$ to a generating functional $\Gamma$ in the enlarged space, which depends on $g_{mn}$, preserves diffeomorphism invariance and has an anomaly in the Weyl transformation of the metric $\delta_\sigma g_{mn} = 2\sigma g_{mn}$. This generating functional $\Gamma[g_{mn}]$ has therefore the properties

$$\delta_\xi \Gamma = 0 \quad \text{(B.14)}$$

and

$$\delta_\sigma \Gamma = \int d^d x \sqrt{\hat{g}} \sigma \mathcal{A}. \quad \text{(B.15)}$$

For a finite Weyl transformation one has

$$\Gamma[g_{mn} \exp (2\sigma)] = \Gamma[g_{mn}] + \mathcal{K}[g_{mn}; \exp (2\sigma)], \quad \text{(B.16)}$$

where e.g. in $d = 4$ [56]

$$\mathcal{K}[g_{mn}; \exp(2\sigma)] = a \int d^4 x \sqrt{\hat{g}} \left\{ \sigma E_4 - 4(R^{mn} - \frac{1}{2} g^{mn} R) \nabla_m \sigma \nabla_n \sigma - 4(\nabla \sigma)^2 \Box \sigma + 2(\nabla \sigma)^4 \right\} + c \int d^4 x \sqrt{\hat{g}} \sigma C^2. \quad \text{(B.17)}$$

Then $\hat{\Gamma}$ becomes the restriction of $\Gamma$, i.e.

$$\hat{\Gamma}(\hat{g}) = \Gamma[g_{mn} \det (g)^{-\frac{1}{2}}]. \quad \text{(B.18)}$$

The anomaly in diffeomorphisms following from the above definition can be easily calculated since the variation of the argument has automatically the form (B.1):

$$\delta_\xi \hat{\Gamma} = \Gamma[\hat{g} + \delta_\xi \hat{g}] - \Gamma[\hat{g}], \quad \text{(B.19)}$$

The first two terms in the variation (B.1) correspond to a diffeomorphism transformation of $\Gamma$ under which it is invariant while the third term is a Weyl transformation where we can identify the $\sigma$-parameter as $-\frac{1}{2} \nabla \cdot \zeta$. Using (B.15) we obtain therefore

$$\delta_\xi \hat{\Gamma} = -\frac{1}{d} \int d^d x \sqrt{\hat{g}} \nabla \cdot \zeta A(\hat{g}). \quad \text{(B.20)}$$

The restriction of $\Gamma$ to configurations $\hat{g}_{mn} \equiv g_{mn} (\det g)^{-\frac{1}{2}}$ reproduces the cohomology of $\hat{\Gamma}$ calculated directly in the ‘physical’ gauge. Then it should be possible to find a scheme in which a generating functional of $g_{mn}$ depends automatically only on $\hat{g}_{mn}$. This should be a scheme where the $\sigma$ direction is not anomalous, thus making the restriction to $\hat{g}$ anomaly free. Such a scheme can be obtained using (B.16)

$$\Gamma[g_{mn} \det (g)^{-\frac{1}{2}}] = \Gamma[g_{mn}] + \mathcal{K}[g_{mn}; \det (g)^{-\frac{1}{2}}]. \quad \text{(B.21)}$$

Defining

$$\tilde{\Gamma} \equiv \Gamma[g_{mn}] + \mathcal{K}[g_{mn}; \det (g)^{-\frac{1}{2}}] \quad \text{(B.22)}$$

we have a new scheme since $\mathcal{K}$ is a local functional. Moreover $\tilde{\Gamma}$ is independent of the Weyl mode, i.e. multiplying the metric becomes a genuine non-anomalous invariance. Therefore $\tilde{\Gamma}$ depends automatically only on $\hat{g}$, which is a legal representative of the gauge orbit. The breaking of diffeomorphism invariance is manifest by the appearance of the scalar density in the Wess–Zumino functional.
The above treatment exemplifies the general pattern we discuss. One can start solving the cohomology problem in a ‘physical’ gauge. Then one adds a ‘spurious’ direction which is coupled to an on-shell null operator such that the ‘physical’ cohomology is a particular restriction of the ‘spurious’ direction. Using then the possibility of adding local counterterms, i.e. changing the scheme, one arrives at a new generating functional for which the ‘spurious’ direction is non-anomalous and the ‘physical’ gauge expression becomes equivalent to the generating functional in the new scheme\(^{13}\). The addition of the mode coupled to the null operator allowed for a systematic treatment of the local counterterms which are needed to shift the diffeomorphisms anomaly seen in the physical gauge. All the local counterterms in this case were for amplitudes with vanishing imaginary parts, i.e. pure polynomials in momentum space, in exact analogy to the situation discussed for supersymmetric models.

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\(^{13}\) A discussion of anomaly shifting between Weyl symmetry and diffeomorphisms in two dimensions can be found in the last section of [57].
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