THE FOAM AND THE MATRIX FACTORIZATION $sl_3$ LINK HOMOLOGIES ARE EQUIV ALENT

MARCO MACKAAY AND PEDRO VAZ

ABSTRACT. We prove that the universal rational $sl_3$ link homologies which were constructed in [3,7], using foams, and in [4], using matrix factorizations, are naturally isomorphic as projective functors from the category of links and link cobordisms to the category of bigraded vector spaces.

1. INTRODUCTION

In [3] Khovanov constructed a bigraded integer link homology categorifying the $sl_3$ link polynomial. His construction used singular cobordisms called foams. Working in a category of foams modulo certain relations, the authors in [7] generalized Khovanov’s theory and constructed the universal integer $sl_3$-link homology (see also [8] for a slightly different approach). In [4] Khovanov and Rozansky (KR) constructed a rational bigraded theory that categorified the $sl_n$ link polynomial for all $n > 0$. They conjectured that their theory is isomorphic to the one in [3] for $n = 3$, after tensoring the latter with $\mathbb{Q}$. Their construction uses matrix factorizations and can be generalized to give the universal rational link homology for all $n > 0$ (see [1,9,10]). In this paper we prove that the universal rational KR link homology for $n = 3$ is equivalent to the foam link homology in [7] tensored with $\mathbb{Q}$.

One of the main difficulties one encounters when trying to relate both theories mentioned above is that the foam approach uses ordinary webs, which are ordinary oriented trivalent graphs, whereas the KR theory uses KR-webs, which are trivalent graphs containing two types of edges: oriented edges and unoriented thick edges. In general there are several KR-webs that one can associate to an ordinary web, so there is no obvious choice of a KR matrix factorization to associate to a web. However, we show that the KR-matrix factorizations for all these KR-webs are homotopy equivalent and that between two of them there is a canonical choice of homotopy equivalence in a certain sense. This allows us to associate an equivalence class of KR-matrix factorizations to each ordinary web. After that it is relatively straightforward to show the equivalence between the foam and the KR $sl_3$ link homologies.

In Section 2 we review the category Foam/ℓ and the main results of [7]. In Section 3 we recall some basic facts about matrix factorizations and define the universal KR homology for $n = 3$. Section 4 is the core of the paper. In this section we show how to associate equivalence classes of matrix factorizations to ordinary webs and use them to construct a link homology that is equivalent to Khovanov and Rozansky’s. In Section 5 we establish the equivalence between the foam $sl_3$ link homology and the one presented in Section 4.

We assume familiarity with the papers [4,7].
2. THE CATEGORY Foam/ℓ REVISITED

This section contains a brief review of the universal rational sl\textsubscript{3} link homology using foams as constructed by the authors [7] following Khovanov’s ideas in [3]. Here we simply state the basics and the modifications that are necessary to relate it to Khovanov and Rozansky’s universal sl\textsubscript{3} link homology using matrix factorizations. We refer to [7] for details.

The category Foam/ℓ has webs as objects and Q[a,b,c]-linear combinations of foams as morphisms divided by the set of relations ℓ = (3D, CN, S, Θ) and the closure relation, which we all explain below. Note that we are using a different normalization of the coefficients in our relations compared to [7]. These are necessary to establish the connection with the KR link homology later on.

Let θ(α, β, δ) denote the theta foam in figure [1] where α, β and δ are the number of dots on each facet. For α, β, δ ≤ 2 we have

θ(α, β, δ) = \begin{cases} 
\frac{1}{8} & (α, β, δ) = (1, 2, 0) or a cyclic permutation \\
-\frac{1}{8} & (α, β, δ) = (2, 1, 0) or a cyclic permutation \\
0 & \text{else}
\end{cases}  

The closure relation says that any Q[a, b, c]-linear combination of foams, all of which have the same boundary, is equal to zero if and only if any common way of closing these foams yields a Q[a, b, c]-linear combination of closed foams whose evaluation is zero.

The category Foam/ℓ is additive and graded. The q-grading in Q[a, b, c] is defined as

q(1) = 0, q(a) = 2, q(b) = 4, q(c) = 6

and the degree of a foam f with |•| dots is given by

q(f) = -2χ(f) + χ(∂f) + 2|•|,

where χ denotes the Euler characteristic.

Using the relations ℓ one can prove the identities (RD), (DR) and (CN) and Lemma 2.1 below (for detailed proofs see [7]).

\footnote{We thank Scott Morrison for spotting a mistake in the coefficients in a previous version of this paper.}
\[
\begin{align*}
\text{Digon Removal} & \quad \Rightarrow & & \{ -1 \} \oplus \{ 1 \} \\
\text{Square Removal} & \quad \Rightarrow & & \oplus
\end{align*}
\]

where \( \{ j \} \) denotes a positive shift in the \( q \)-grading by \( j \).

The construction of the topological complex from a link diagram is well known by now and uses the elementary foams in Figure 2, which we call the 'zip' and the 'unzip', to build the differential. We follow the conventions in [7] and read foams from bottom to top when interpreted as morphisms.

The tautological functor \( C \) from \( \text{Foam}_{/\ell} \) to the category \( \text{Mod}_{\text{gr}} \) of graded \( \mathbb{Q}[a, b, c] \)-modules maps a closed web \( \Gamma \) to \( C(\Gamma) = \text{Hom}_{\text{Foam}_{/\ell}}(\emptyset, \Gamma) \) and, for a foam \( f \) between two closed webs \( \Gamma \) and \( \Gamma' \), the \( \mathbb{Q}[a, b, c] \)-linear map \( C(f) \) from \( C(\Gamma) \) to \( C(\Gamma') \) is the one given by composition. The \( \mathbb{Q}[a, b, c] \)-module \( C(\Gamma) \) is graded and the degree of \( C(f) \) is equal to \( q(f) \).

Denote by \( \text{Link} \) the category of oriented links in \( S^3 \) and ambient isotopy classes of oriented link cobordisms properly embedded in \( S^3 \times [0, 1] \) and by \( \text{Mod}_{\text{bg}} \) the category of bi-graded \( \mathbb{Q}[a, b, c] \)-modules. The functor \( C \) extends to the category \( \text{Kom}(\text{Foam}_{/\ell}) \) of chain complexes in \( \text{Foam}_{/\ell} \) and the composite with the homology functor defines a projective functor \( U_{a,b,c} : \text{Link} \to \text{Mod}_{\text{bg}} \).

The theory described above is equivalent to the one in [7] after tensoring the latter with \( \mathbb{Q} \).
3. DEFORMATIONS OF THE KHovanov-RozANSKY $sl_3$-LINK HOMOLOGY

3.1. Review of matrix factorizations. This subsection contains a brief review of matrix factorizations and the properties that will be used throughout this paper. We assume familiarity with [4]. All the matrix factorizations in this paper are $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}$-graded. Let $R$ be a polynomial ring over $\mathbb{Q}$. We take the degree of each polynomial to be twice its total degree. This way $R$ is $\mathbb{Z}$-graded. Let $W$ be a homogeneous element of $R$ of degree $2m$. A matrix factorization of $W$ over $R$ is a $\mathbb{Z}/2\mathbb{Z}$-graded free $R$-module $M = M_0 \oplus M_1$ with $R$-homomorphisms of degree $m$

\[
M_0 \xrightarrow{d_0} M_1 \xrightarrow{d_1} M_0
\]

such that $d_1 d_0 = W \text{Id}_{M_0}$ and $d_0 d_1 = W \text{Id}_{M_1}$. The $\mathbb{Z}$-grading of $R$ induces a $\mathbb{Z}$-grading on $M$. The shift functor $\{k\}$ acts on $M$ as

\[
M\{k\} = M_0\{k\} \xrightarrow{d_0} M_1\{k\} \xrightarrow{d_1} M_0\{k\}.
\]

A homomorphism $f : M \to M'$ of matrix factorizations of $W$ is a pair of maps of the same degree $f_i : M_i \to M'_i$ ($i = 0, 1$) such that the diagram

\[
\begin{array}{ccc}
M_0 & \xrightarrow{d_0} & M_1 & \xrightarrow{d_1} & M_0 \\
| & f_0 | & | & f_1 | & |
M'_0 & \xrightarrow{d'_0} & M'_1 & \xrightarrow{d'_1} & M'_0
\end{array}
\]

commutes. It is an isomorphism of matrix factorizations if $f_0$ and $f_1$ are isomorphisms of the underlying modules. Denote the set of homomorphisms of matrix factorizations from $M$ to $M'$ by

\[
\text{Hom}_{\text{MF}}(M, M').
\]

It has an $R$-module structure with the action of $R$ given by $r(f_0, f_1) = (rf_0, rf_1)$ for $r \in R$. Matrix factorizations over $R$ with homogeneous potential $W$ and homomorphisms of matrix factorizations form a graded additive category, which we denote by $\text{MF}_R(W)$. If $W = 0$ we simply write $\text{MF}_R$.

The free $R$-module $\text{Hom}_R(M, M')$ of graded $R$-module homomorphisms from $M$ to $M'$ is a 2-complex

\[
\text{Hom}_R^0(M, M') \xrightarrow{D} \text{Hom}_R^1(M, M') \xrightarrow{D} \text{Hom}_R^0(M, M')
\]

where

\[
\text{Hom}_R^0(M, M') = \text{Hom}_R(M_0, M_0') \oplus \text{Hom}_R(M_1, M_1')
\]

\[
\text{Hom}_R^1(M, M') = \text{Hom}_R(M_0, M_1') \oplus \text{Hom}_R(M_1, M_0')
\]

and for $f$ in $\text{Hom}_R^i(M, M')$ the differential acts as

\[
Df = d_M f - (-1)^i f d_M.
\]

We define

\[
\text{Ext}(M, M') = \text{Ext}_R^0(M, M') \oplus \text{Ext}_R^1(M, M') = \text{Ker} D / \text{Im} D,
\]

and write $\text{Ext}_{(m)}(M, M')$ for the elements of $\text{Ext}(M, M')$ with $\mathbb{Z}$-degree $m$. 


Note that for $f \in \text{Hom}_{\text{MF}}(M, M')$ we have $Df = 0$. We say that two homomorphisms $f, g$ in $\text{Hom}_{\text{MF}}(M, M')$ are homotopic if there is an element $h$ in $\text{Hom}_R (M, M')$ such that $f - g = Dh$.

Denote by $\text{Hom}_{\text{HMF}}(M, M')$ the $R$-module of homotopy classes of homomorphisms of matrix factorizations from $M$ to $M'$ and by $\text{HMF}_R(W)$ the homotopy category of $\text{MF}_R(W)$.

We have

$$\text{Ext}^0(M, M') \cong \text{Hom}_{\text{HMF}}(M, M')$$
$$\text{Ext}^1(M, M') \cong \text{Hom}_{\text{HMF}}(M, M'(1))$$

We denote by $M(1)$ and $M_\bullet$ the factorizations

$$M_1 \xrightarrow{-d_1} M_0 \xrightarrow{-d_0} M_1$$

and

$$(M_0)^* \xrightarrow{-(d_1)^*} (M_1)^* \xrightarrow{(d_0)^*} (M_0)^*$$

respectively. Factorization $M(1)$ has potential $W$ while factorization $M_\bullet$ has potential $-W$.

The tensor product $M \otimes_R M_\bullet$ has potential zero and is therefore a 2-complex. Denoting by $H_{\text{MF}}$ the homology of matrix factorizations with potential zero we have

$$\text{Ext}(M, M') \cong H_{\text{MF}}(M' \otimes_R M_\bullet)$$

and, if $M$ is a matrix factorization with $W = 0$,

$$\text{Ext}(R, M) \cong H_{\text{MF}}(M).$$

Both these isomorphisms are up to a global shift in the $\mathbb{Z}$-grading.

**Koszul Factorizations.** For $a, b \in R$ an elementary *Koszul factorization* $\{a, b\}$ over $R$ with potential $ab$ is a factorization of the form

$$R \xrightarrow{a} R \{ \frac{1}{2} (\deg_Z b - \deg_Z a) \} \xrightarrow{b} R.$$ 

When we need to emphasize the ring $R$ we write this factorization as $\{a, b\}_R$. It is well known that the tensor product of matrix factorizations $M_i$ with potentials $W_i$ is a matrix factorization with potential $\sum_i W_i$. We restrict to the case where all the $W_i$ are homogeneous of the same degree. Throughout this paper we use tensor products of elementary Koszul factorizations $\{a_j, b_j\}$ to build bigger matrix factorizations, which we write in the form of a *Koszul matrix* as

$$\begin{bmatrix}
  a_1 & b_1 \\
  \vdots & \vdots \\
  a_k & b_k
\end{bmatrix}$$

We denote by $\{a, b\}$ the Koszul matrix which has columns $(a_1, \ldots, a_k)$ and $(b_1, \ldots, b_k)$. 
Note that the action of the shift $\langle 1 \rangle$ on $\{a, b\}$ is equivalent to switching terms in one line of $\{a, b\}$:
\[
\{a, b\} \langle 1 \rangle = \begin{pmatrix}
\vdots & \vdots \\
\ a_{i-1} \ , \ b_{i-1} \\
\ -b_i \ , \ -a_i \\
\ a_{i+1} \ , \ b_{i+1} \\
\vdots & \vdots 
\end{pmatrix} \begin{pmatrix}
\frac{1}{2} (\deg_Z b_i - \deg_Z a_i) 
\end{pmatrix}.
\]
If we choose a different row to switch terms we get a factorization which is isomorphic to this one.

We also have that
\[
\{a, b\} \star \{a, -b\} \langle k \rangle \{s_k\},
\]
where
\[
s_k = \sum_{i=1}^{k} \deg_Z a_i - \frac{k}{2} \deg_Z W.
\]

Let $R = \mathbb{Q}[x_1, \ldots, x_k]$ and $R' = \mathbb{Q}[x_2, \ldots, x_k]$. Suppose that $W = \sum_i a_i b_i \in R'$ and $x_1 - b_i \in R'$, for a certain $1 \leq i \leq k$. Let $\{\hat{a}^i, \hat{b}^i\}$ be the matrix factorization obtained from $\{a, b\}$ by deleting the $i$-th row and substituting $x_1$ by $x_1 - b_1$.

**Lemma 3.1** (excluding variables). *The matrix factorizations $\{a, b\}$ and $\{\hat{a}^i, \hat{b}^i\}$ are homotopy equivalent.*

In [4] one can find the proof of this lemma and its generalization with several variables.

The following lemma contains three particular cases of proposition 3 in [4] (see also [5]):

**Lemma 3.2** (Row operations). *We have the following isomorphisms of matrix factorizations*
\[
\begin{align*}
\begin{pmatrix}
a_i \ , \ b_i \\
a_j \ , \ b_j 
\end{pmatrix} & \xrightarrow{[i,j]_\lambda} \begin{pmatrix}
a_i - \lambda a_j \ , \ b_i \\
a_j \ , \ b_j + \lambda b_i 
\end{pmatrix}, \\
\begin{pmatrix}
a_i \ , \ b_i \\
a_j \ , \ b_j 
\end{pmatrix} & \xrightarrow{[i,j]_\lambda} \begin{pmatrix}
a_i + \lambda b_j \ , \ b_i \\
a_j - \lambda b_i \ , \ b_j 
\end{pmatrix}
\end{align*}
\]

for $\lambda \in R$. If $\lambda$ is invertible in $R$, we also have
\[
\begin{pmatrix}
a_i \ , \ b_j 
\end{pmatrix} \xrightarrow{[i,j]_\lambda} \begin{pmatrix}
\lambda a_i \ , \ \lambda^{-1} b_j 
\end{pmatrix}.
\]

Recall that a sequence $(a_1, a_2, \ldots, a_k)$ is called regular in $R$ if $a_j$ is not a zero divisor in $R/(a_1, a_2, \ldots, a_{j-1})R$, for $j = 1, \ldots, k$. The proof of the following lemma can be found in [5].

**Lemma 3.3.** Let $b = (b_1, b_2, \ldots, b_k)$, $a = (a_1, a_2, \ldots, a_k)$ and $a' = (a'_1, a'_2, \ldots, a'_k)$ be sequences in $R$. If $b$ is regular and $\sum_i a_i b_i = \sum_i a'_i b_i$ then the factorizations
\[
\{a, b\} \text{ and } \{a', b\}
\]
are isomorphic.

A factorization $M$ with potential $W$ is said to be contractible if it is isomorphic to a direct sum of
\[
R \xrightarrow{f} R \xrightarrow{W} \{(-\frac{1}{2} \deg_Z W)R \} \quad \text{and} \quad R \xrightarrow{W} R \{(-\frac{1}{2} \deg_Z W)\} \xrightarrow{g} R.
\]
3.2. Khovanov-Rozansky homology.

**Definition 3.4.** A KR-web is a trivalent graph with two types of edges, oriented edges and unoriented thick edges, such that each oriented edge has at least one mark. We allow open webs which have oriented edges with only one endpoint glued to the rest of the graph. Every thick edge has exactly two oriented edges entering one endpoint and two leaving the other.

Let \( \{1, 2, \ldots, k\} \) be the set of marks in a KR-web \( \Gamma \) and \( x \) denote the set \( \{x_1, x_2, \ldots, x_k\} \). Denote by \( R \) the polynomial ring \( \mathbb{Q}[a, b, c, x] \), where \( a, b, c \) are formal parameters. We define a \( q \)-grading in \( R \) declaring that \( q(1) = 0 \), \( q(x_i) = 2 \) for all \( i \), \( q(a) = 2 \), \( q(b) = 4 \), \( q(c) = 6 \).

The universal rational Khovanov-Rozansky theory for \( N = 3 \) is related to the polynomial
\[
p(x) = x^4 - \frac{4a}{3} x^3 - 2bx^2 - 4cx.
\]

As in [5] we denote by \( \hat{\Gamma} \) the matrix factorization associated to a KR-web \( \Gamma \). To an oriented arc with marks \( x \) and \( y \), as in Figure 3, we assign the potential
\[
W = p(x) - p(y) = x^4 - y^4 - \frac{4a}{3} (x^3 - y^3) - 2b (x^2 - y^2) - 4c (x - y)
\]
and the arc factorization \( \hat{\cdot} \), which is given by the Koszul factorization
\[
\hat{\cdot} = \{\pi_{xy}, x - y\} = \left( R \xrightarrow{\pi_{xy}} R\{-2\} \xrightarrow{x-y} R \right)
\]
where
\[
\pi_{xy} = \frac{x^4 - y^4}{x - y} - \frac{4a}{3} \frac{x^3 - y^3}{x - y} - 2b \frac{x^2 - y^2}{x - y} - 4c.
\]

To the thick edge in Figure 4, we associate the potential \( W = p(x_i) + p(x_j) - p(x_k) - p(x_l) \) and the dumbell factorization \( \check{\cdot} \), which is defined as the tensor product of the factorizations
\[
R\{-1\} \xrightarrow{u_{ijkl}} R\{-3\} \xrightarrow{x_i + x_j - x_k - x_l} R\{-1\}
\]
and
\[
R \xrightarrow{v_{ijkl}} R \xrightarrow{x_ix_j - x_kx_l} R
\]
where
\[ u_{ijkl} = \frac{(x_i + x_j)^4 - (x_k + x_l)^4}{x_i + x_j - x_k - x_l} - (2b + 4x_jx_l)(x_i + x_j + x_k + x_l) - \frac{4a}{3} \left( \frac{(x_i + x_j)^3 - (x_k + x_l)^3}{x_i + x_j - x_k - x_l} - 3x_i x_j \right) - 4c, \]
\[ v_{ijkl} = 2(x_i x_j + x_k x_l) - 4(x_k + x_l)^2 + 4a(x_k + x_l) + 4b. \]

We can write the dumbell factorization as the Koszul matrix
\[ \hat{\chi} = \begin{cases} u_{ijkl}, & x_i + x_j - x_k - x_l \\ v_{ijkl}, & x_i x_j - x_k x_l \end{cases} \{ \{-1\}. \]

The matrix factorization \( \hat{\Gamma} \) of a general KR-web \( \Gamma \) composed by \( E \) arcs and \( T \) thick edges is built from the arc and the dumbell factorizations as
\[ \hat{\Gamma} = \bigotimes_{e \in E} \hat{\chi}_e \bigotimes_{t \in T} \hat{\chi}_t, \]
which is a matrix factorization with potential \( W = \sum \epsilon_i p(x_i) \) where \( i \) runs over all free ends. By convention \( \epsilon_i = 1 \) if the corresponding arc is oriented outward and \( \epsilon_i = -1 \) in the opposite case.

3.2.1. Maps \( \chi_0 \) and \( \chi_1 \). Let \( \hat{\chi} \) and \( \tilde{\chi} \) denote the factorizations in Figure 5.

![Figure 5. Maps \( \chi_0 \) and \( \chi_1 \)](image)

The factorization \( \hat{\chi} \) is given by
\[ \begin{pmatrix} R \\ R\{ -4 \} \end{pmatrix} \xrightarrow{P_0} \begin{pmatrix} R\{ -2 \} \\ R\{ -2 \} \end{pmatrix} \xrightarrow{P_1} \begin{pmatrix} R \\ R\{ -4 \} \end{pmatrix} \]
with
\[ P_0 = \begin{pmatrix} \pi_{ik} & x_j - x_l \\ \pi_{jl} & -x_i + x_k \end{pmatrix}, \quad P_1 = \begin{pmatrix} x_i - x_k & x_j - x_l \\ \pi_{jl} & -\pi_{ik} \end{pmatrix}. \]

The factorization \( \tilde{\chi} \) is given by
\[ \begin{pmatrix} R\{ -1 \} \\ R\{ -3 \} \end{pmatrix} \xrightarrow{Q_0} \begin{pmatrix} R\{ -3 \} \\ R\{ -1 \} \end{pmatrix} \xrightarrow{Q_1} \begin{pmatrix} R\{ -1 \} \\ R\{ -3 \} \end{pmatrix} \]
with
\[ Q_0 = \begin{pmatrix} u_{ijkl} & x_i x_j - x_k x_l \\ v_{ijkl} & -x_i - x_j + x_k + x_l \end{pmatrix}, \quad Q_1 = \begin{pmatrix} x_i + x_j - x_k - x_l & x_i x_j - x_k x_l \\ v_{ijkl} & -u_{ijkl} \end{pmatrix}. \]

The maps \( \chi_0 \) and \( \chi_1 \) can be described by the pairs of matrices:
\[ \chi_0 = \begin{pmatrix} 2 & 0 \\ -\alpha & 1 \end{pmatrix}, \quad \chi_1 = \begin{pmatrix} 1 & 0 \\ -\alpha & x_k - x_j \end{pmatrix}. \]
where
\[ \alpha = -v_{ijkl} + \frac{u_{ijkl} + x_i v_{ijkl} - \pi_{jl}}{x_i - x_k}. \]

The maps \( \chi_0 \) and \( \chi_1 \) have degree 1. A straightforward calculation shows that \( \chi_0 \) and \( \chi_1 \) are homomorphisms of matrix factorizations, and that

\[ \chi_0 \chi_1 = m(2x_j - 2x_k) \text{Id}(\tilde{\varphi}) \quad \chi_1 \chi_0 = m(2x_j - 2x_k) \text{Id}(\tilde{\psi}), \]

where \( m(x) \) is multiplication by \( x \). Note that we are using a sign convention that is different from the one in [4]. This choice was made to match the signs in the Digon Removal relation in [7]. Note also that the map \( \chi_0 \) is twice its analogue in [4]. This way we obtain a theory that is equivalent to the one in [4] and consistent with our choice of normalization in Section 2.

There is another description of the maps \( \chi_0 \) and \( \chi_1 \) when the webs in Figure 5 are closed. In this case both \( \text{Id}(\tilde{\varphi}) \) and \( \text{Id}(\tilde{\psi}) \) have potential zero. Acting with a row operation on \( \text{Id}(\tilde{\varphi}) \) we get

\[ \text{Id}(\tilde{\varphi}) \cong \langle \pi_{ik}, x_i + x_j - x_k - x_l \rangle, \]

Excluding the variable \( x_k \) from \( \tilde{\varphi} \) and from \( \tilde{\psi} \) yields

\[ \tilde{\varphi} \cong \{\pi_{jk}, x_j - x_l\}_R, \quad \tilde{\psi} \cong \{v_{ijkl}, (x_j - x_l)(x_j - x_l)\}_R, \]

with \( R = Q[x_i, x_j, x_k, x_l]/(x_k + x_i + x_j - x_l) \). It is straightforward to check that \( \chi_0 \) and \( \chi_1 \) correspond to the maps \( (-2(x_i - x_l), -2) \) and \( (1, x_i - x_l) \) respectively. This description will be useful in Section 5.

For a link \( L \), we denote by \( \text{KR}_{a,b,c}(L) \) the universal rational Khovanov-Rozansky cochain complex, which can be obtained from the data above in the same way as in [4]. Let \( \text{HKR}_{a,b,c}(L) \) denote the universal rational Khovanov-Rozansky homology. We have

\[ \text{HKR}_{a,b,c}(\mathcal{O}) \cong (Q[x, a, b, c]/x^3 - ax^2 - bx - c)(1)\{-2\}. \]

3.3. MOY web moves. One of the main features of the Khovanov-Rozansky theory is the categorification of the set of MOY web moves, which for \( n = 3 \) are described by the homotopy equivalences below.

**Lemma 3.5.** We have the following direct sum decompositions:

\[ (1) \]

\[ \cong \left\{-1\right\} \oplus \left\{1\right\}, \]

\[ (2) \]

\[ \cong \left\langle 1 \right\rangle \left\{-1\right\} \oplus \left\langle 1 \right\rangle \left\{1\right\}, \]

\[ (3) \]

\[ \cong \left\langle 1 \right\rangle \oplus \left\langle 1 \right\rangle, \]

\[ (4) \]

\[ \cong \left\langle \right\rangle \left\langle \right\rangle \left\langle \right\rangle \left\{ \right\} \left\{ \right\} \left\{ \right\}. \]
The last relation is a consequence of two relations involving a triple edge
\[ \sim \oplus \sim \oplus \]

The factorization assigned to the triple edge in Figure 6 has potential
\[ W = p(x_1) + p(x_2) + p(x_3) - p(x_4) - p(x_5) - p(x_6). \]

\[ \text{Figure 6. Triple edge factorization} \]

Let \( h \) be the unique three-variable polynomial such that
\[ h(x + y + z, xy + xz + yz, xyz) = p(x) + p(y) + p(z) \]
and let
\[ e_1 = x_1 + x_2 + x_3, \quad e_2 = x_1x_2 + x_1x_3 + x_2x_3, \quad e_3 = x_1x_2x_3, \]
\[ s_1 = x_4 + x_5 + x_6, \quad s_2 = x_4x_5 + x_4x_6 + x_5x_6, \quad s_3 = x_4x_5x_6. \]
Define
\[
\begin{align*}
h_1 &= \frac{h(e_1, e_2, e_3) - h(s_1, e_2, e_3)}{e_1 - s_1} \\
h_2 &= \frac{h(s_1, e_2, e_3) - h(s_1, s_2, e_3)}{e_2 - s_2} \\
h_3 &= \frac{h(s_1, s_2, e_3) - h(s_1, s_2, s_3)}{e_3 - s_3}
\end{align*}
\]
so that we have \( W = h_1(e_1 - s_1) + h_2(e_2 - s_2) + h_3(e_3 - s_3) \). The matrix factorization \( \hat{\Upsilon} \) corresponding to the triple edge is defined by the Koszul matrix
\[
\hat{\Upsilon} = \begin{cases} 
  h_1, & e_1 - s_1 \\
  h_2, & e_2 - s_2 \\
  h_3, & e_3 - s_3 
\end{cases} \quad \{ -3 \},
\]
where \( R = \mathbb{Q}[a, b, c, x_1, \ldots, x_6] \). The matrix factorization \( \hat{\Upsilon} \) is the tensor product of the matrix factorizations
\[
R \xrightarrow{h_i} R(2i - 4) \xrightarrow{e_i - s_i} R, \quad i = 1, 2, 3,
\]
shifted down by 3.
3.4. Cobordisms. In this subsection we show which homomorphisms of matrix factorizations we associate to the elementary singular KR-cobordisms. We do not know if these can be put together in a well-defined way for arbitrary singular KR-cobordisms. However, we will prove that that is possible for arbitrary ordinary singular cobordisms in Section 5.

Recall that the elementary KR-cobordisms are the zip, the unzip (see Figure 5) and the elementary cobordisms in Figure 7. To the zip and the unzip we associate the maps $\chi_0$ and $\chi_1$, respectively, as defined in Subsection 3.2.1. For each elementary cobordism in Figure 7 we define a homomorphism of matrix factorizations as below, following Khovanov and Rozansky [4].

![Figure 7. Elementary cobordisms](image)

The unit and the trace map

$$\iota: \mathbb{Q}[a, b, c] \langle 1 \rangle \to \widehat{\mathbb{Q}}$$
$$\varepsilon: \widehat{\mathbb{Q}} \to \mathbb{Q}[a, b, c] \langle 1 \rangle$$

are the homomorphisms of matrix factorizations induced by the maps (denoted by the same symbols)

$$\iota: \mathbb{Q}[a, b, c] \to \mathbb{Q}[a, b, c][X]/X^3 - aX^2 - bX - c \langle -2 \rangle, \quad 1 \mapsto 1$$

$$\varepsilon: \mathbb{Q}[a, b, c][X]/X^3 - aX^2 - bX - c \langle -2 \rangle \to \mathbb{Q}[a, b, c], \quad X^k \mapsto \begin{cases} -\frac{1}{2}, & k = 2 \\ 0, & k < 2 \end{cases}$$

using the isomorphisms

$$\widehat{\mathbb{Q}} \cong \mathbb{Q}[a, b, c] \to 0 \to \mathbb{Q}[a, b, c]$$

and

$$\widehat{\mathbb{Q}} \cong 0 \to \mathbb{Q}[a, b, c][X]/X^3 - aX^2 - bX - c \langle -2 \rangle \to 0.$$
and \( \gamma(1) \) is given by

\[
\left( R\{2\} \right) \begin{pmatrix} x_2 - x_1 & x_3 - x_4 \\ -\pi_{34} & \pi_{12} \end{pmatrix} \left( R\{4\} \right) \begin{pmatrix} -\pi_{12} & x_4 - x_3 \\ -\pi_{34} & x_1 - x_2 \end{pmatrix} \left( R\{2\} \right)
\]

To the saddle cobordism between the webs \( \gamma \) and \( \gamma \) we associate the homomorphism of matrix factorizations \( \eta: \gamma \rightarrow \gamma(1) \) described by the pair of matrices

\[
\eta_0 = \begin{pmatrix} e_{123} + e_{124} & 1 \\ -e_{134} - e_{234} & 1 \end{pmatrix}, \quad \eta_1 = \begin{pmatrix} -1 & 1 \\ -e_{123} - e_{234} & -e_{134} - e_{123} \end{pmatrix}
\]

where

\[
e_{ijk} = \frac{(x_k - x_j)p(x_i) + (x_i - x_k)p(x_j) + (x_j - x_i)p(x_k)}{2(x_i - x_j)(x_j - x_k)(x_k - x_i)}
\]

\[
= \frac{1}{2} \left( x_i^2 + x_j^2 + x_k^2 + x_i x_j + x_i x_k + x_j x_k \right) - \frac{2a}{3} \left( x_i + x_j + x_k \right) - b.
\]

The homomorphism \( \eta \) has degree 2. If \( \gamma \) and \( \gamma \) belong to open KR-webs the homomorphism \( \eta \) is defined only up to a sign (see \[4\]). In Section 4 we will show that for ordinary closed webs there is no sign ambiguity.

4. A MATRIX FACTORIZATION THEORY FOR \( sl_3 \) WEBS

As mentioned in the introduction, the main problem in comparing the foam and the matrix factorization \( sl_3 \) link homologies is that one has to deal with two different sorts of webs. In the foam approach, where one uses ordinary trivalent webs, all edges are thin and oriented, whereas for the matrix factorizations Khovanov and Rozansky used KR-webs, which also contain thick unoriented edges. In general there are various KR-webs that one can associate to a given web. Therefore it apparently is not clear which KR matrix factorization to associate to a web. However, in Proposition 4.2 we show that this ambiguity is not problematic. Our proof of this result is rather roundabout and requires a matrix factorization for each vertex. In this way we associate a matrix factorization to each web. For each choice of KR-web associated to a given web the KR-matrix factorization is a quotient of ours, obtained by identifying the vertex variables pairwise according to the thick edges. We then show that for a given web two such quotients are always homotopy equivalent. This is the main ingredient which allows us to establish the equivalence between the foam and the matrix factorization \( sl_3 \) link homologies.

Recall that a web is an oriented trivalent graph where near each vertex either all edges are oriented into it or away from it. We call the former vertices of \((-\)-type) and the latter vertices of \((+\)-type. To associate matrix factorizations to webs we impose that each edge have at least one mark.

\[\text{Figure 9. A vertex of (+)-type}\]
4.1. **The 3-vertex.** Consider the 3-vertex of \((\pm)\)-type in Figure 9 with emanating edges marked \(x, y, z\). The polynomial
\[
p(x) + p(y) + p(z) = x^4 + y^4 + z^4 - \frac{4a}{3} (x^3 + y^3 + z^3) - 2b (x^2 + y^2 + z^2) - 4c (x + y + z)
\]
can be written as a polynomial in the elementary symmetric polynomials
\[
p_v(x + y + z, xy + xz + yz, xyz) = p_v(e_1, e_2, e_3).
\]

Using the methods of Section 3 we can obtain a matrix factorization of \(p_v\), but if we tensor together two of these, then we obtain a matrix factorization which is not homotopy equivalent to the dumbell matrix factorization. This can be seen quite easily, since the new Koszul matrix has 6 rows and only one extra variable. This extra variable can be excluded at the expense of 1 row, but then we get a Koszul matrix with 5 rows, whereas the dumbell Koszul matrix has only 2. To solve this problem we introduce a set of three new variables for each vertex\(^3\). Introduce the vertex variables \(v_1, v_2, v_3\) with \(q(v_i) = 2i\) and define the vertex ring
\[
R_v = \mathbb{Q}[a, b, c][x, y, z, v_1, v_2, v_3].
\]

We define the potential as
\[
W_v = p_v(e_1, e_2, e_3) - p_v(v_1, v_2, v_3).
\]

We have
\[
W_v = \frac{p_v(e_1, e_2, e_3) - p_v(v_1, v_2, v_3)}{e_1 - v_1} (e_1 - v_1) + \frac{p_v(v_1, v_2, e_3) - p_v(v_1, v_2, v_3)}{e_2 - v_2} (e_2 - v_2) + \frac{p_v(v_1, v_2, e_3) - p_v(v_1, v_2, v_3)}{e_3 - v_3} (e_3 - v_3),
\]
where the polynomials \(g_i\) \((i = 1, 2, 3)\) have the explicit form
\begin{align*}
5.1 & \quad g_1 = \frac{e_1^4}{e_1 - v_1} - 4e_2(e_1 + v_1) + 4e_3 - \frac{4a}{3} (\frac{e_1^3}{e_1 - v_1} - 3e_2) - 2b(e_1 + v_1) - 4c \\
5.2 & \quad g_2 = 2(e_2 + v_2) - 4v_1^2 + 4av_1 + 4b \\
5.3 & \quad g_3 = 4(v_1 - a).
\end{align*}

We define the 3-vertex factorization \(\tilde{\nu}_{v+}\) as the tensor product of the factorizations
\[
R_v \xrightarrow{g_i} R_v \{2i - 4\} \xrightarrow{e_i - v_i} R_v, \quad (i = 1, 2, 3)
\]
shifted by \(-3/2\) in the \(q\)-grading and by \(1/2\) in the \(\mathbb{Z}/2\mathbb{Z}\)-grading, which we write in the form of the Koszul matrix
\[
\tilde{\nu}_{v+} = \begin{cases} 
g_1, & e_1 - v_1 \\
g_2, & e_2 - v_2 \\
g_3, & e_3 - v_3
\end{cases} \begin{cases} 
\{ -3/2 \} & \{ 1/2 \}
\end{cases}
\]

\(^3\)Khovanov had already observed this problem for the undeformed case and suggested to us the introduction of one vertex variable in that case.
If $\Upsilon_v$ is a 3-vertex of $-$-type with incoming edges marked $x, y, z$ we define

$$\Upsilon_v = \begin{cases} g_1, & v_1 - e_1 \\ g_2, & v_2 - e_2 \\ g_3, & v_3 - e_3 \end{cases} \mod \{ -3/2 \} \langle 1/2 \rangle, \quad \text{with } g_1, g_2, g_3 \text{ as above.}$$

**Lemma 4.1.** We have the following homotopy equivalences in $\text{End}_{\text{MF}}(\Upsilon_{v_\pm})$:

$$m(x + y + z) \cong m(a), \quad m(xy + xz + yz) \cong m(-b), \quad m(xyz) \cong m(c).$$

**Proof.** For a matrix factorization $\hat{M}$ over $R$ with potential $W$ the homomorphism $R \to \text{End}_{\text{MF}}(\hat{M}), \ r \mapsto m(r)$ factors through the Jacobi algebra of $W$ and up to shifts, the Jacobi algebra of $W_v$ is

$$J_{W_v} \cong \mathbb{Q}[a, b, c, x, y, z]/\{x + y + z = a, xy + xz + yz = -b, xyz = c\}. \quad \square$$

**4.2. Vertex composition.** The elementary webs considered so far can be combined to produce bigger webs. Consider a general web $\Gamma_v$ composed by $E$ arcs between marks and $V$ vertices. Denote by $\partial E$ the set of free ends of $\Gamma_v$ and by $(v_i^1, v_i^2, v_i^3)$ the vertex variables corresponding to the vertex $v_i$. We have

$$\hat{\Gamma}_v = \bigotimes_{e \in E} \hat{\ell}_e \otimes \bigotimes_{v \in V} \Upsilon_v.$$

Factorization $\hat{\ell}_e$ is the arc factorization introduced in Subsection 3.2. This is a matrix factorization with potential

$$W = \sum_{i \in \partial E(\Gamma)} \epsilon_i p(x_i) + \sum_{v_j \in V(\Gamma)} \epsilon_j^v p(v_{j_1}, v_{j_2}, v_{j_3}) = W_\Phi + W_\Psi$$

where $\epsilon_i = 1$ if the corresponding arc is oriented to it or $\epsilon_i = -1$ in the opposite case and $\epsilon_j^v = 1$ if $v_j$ is of positive type and $\epsilon_j^v = -1$ in the opposite case.

From now on we only consider open webs in which the number of free ends oriented inwards equals the number of free ends oriented outwards. This implies that the number of vertices of $(\pm)$-type equals the number of vertices of $(\mp)$-type.

Let $R$ and $R_v$ denote the rings $\mathbb{Q}[a, b, c, x]$ and $R[v]$ respectively. Given two vertices, $v_i$ and $v_j$, of opposite type, we can take the quotient by the ideal generated by $v_i - v_j$. The potential becomes independent of $v_i$ and $v_j$, because they appeared with opposite signs, and we can exclude the common vertex variables corresponding to $v_i$ and $v_j$ as in Lemma 3.1. This is possible because in all our examples the Koszul matrices have linear terms which involve vertex and edge variables. The matrix factorization which we obtain in this way we represent graphically by a virtual edge, as in Figure 10. A virtual edge can cross other virtual edges and ordinary edges and

**Figure 10. Virtual edges**
does not have any mark.

If we pair every positive vertex in $\Gamma_v$ to a negative one, the above procedure leads to a complete identification of the vertices of $\Gamma_v$ and a corresponding matrix factorization $\zeta(\hat{\Gamma}_v)$. A different complete identification yields a different matrix factorization $\zeta'(\hat{\Gamma}_v)$.

**Proposition 4.2.** Let $\Gamma_v$ be a closed web. Then $\zeta(\hat{\Gamma}_v)$ and $\zeta'(\hat{\Gamma}_v)$ are isomorphic, up to a shift in the $\mathbb{Z}/2\mathbb{Z}$-grading.

To prove Proposition 4.2 we need some technical results.

**Lemma 4.3.** Consider the web $\Gamma_v$ and the KR-web $\Upsilon$ below.

Then $\zeta(\hat{\Gamma}_v(1))$ is the factorization of the triple edge $\hat{\Upsilon}$ of Khovanov-Rozansky for $n = 3$.

**Proof.** Immediate. \qed

![Figure 11. A double edge](image)

**Lemma 4.4.** Let $\Gamma_v$ be the web in figure 11. Then $\zeta(\hat{\Gamma}_v)$ is isomorphic to the factorization assigned to the thick edge of Khovanov-Rozansky for $n = 3$.

**Proof.** Let $\hat{\Gamma}_+$ and $\hat{\Gamma}_-$ be the Koszul factorizations for the upper and lower vertex in $\Gamma_v$ respectively and $v_i^\pm$ denote the corresponding sets of vertex variables. We have

\[
\hat{\Gamma}_+ = \left\{ g_1, \ x_i + x_j + x_r - v_1^+ \ \right\}_{R_{\nu_+}} \quad \{ -3/2 \} \langle 1/2 \rangle
\]

and

\[
\hat{\Gamma}_- = \left\{ g_1^- \ , \ v_1^- - x_k - x_l - x_r \ \right\}_{R_{\nu_-}} \quad \{ -3/2 \} \langle 1/2 \rangle.
\]

The explicit form of the polynomials $g_i^\pm$ is given in Equations (5-7). Taking the tensor product of $\hat{\Gamma}_+$ and $\hat{\Gamma}_-$, identifying vertices $v_+\nu_+$ and $v_-\nu_-$ and excluding the vertex variables yields

\[
\zeta(\hat{\Gamma}_v) = \left( \hat{\Gamma}_+ \otimes \hat{\Gamma}_- \right)_{/v_+ - v_-} \cong \left\{ g_1, \ x_i + x_j - x_k - x_l \ \right\}_{R_{\nu}} \quad \{ -3 \} \langle 1 \rangle,
\]

\[
g_2 \ , \ x_j x_l - x_r(x_i + x_j - x_k - x_l) \quad \{ -2 \} \langle 0 \rangle,
\]

\[
g_3 \ , \ x_l x_i x_k x_r \quad \{ -3 \} \langle 1 \rangle.
\]
where
\[ g_i = g_i^+ \mid \{ v_1^+ = x_k + x_l + x_r, v_2^+ = x_k x_l + x_r(x_k + x_l), v_3^+ = x_r x_k x_l \} . \]

This is a factorization over the ring
\[ R = \mathbb{Q}[a, b, c][x_i, x_j, x_k, x_l, x_r, v]_I \cong \mathbb{Q}[a, b, c][x_i, x_j, x_k, x_l, x_r] \]

where \( I \) is the ideal generated by
\[ \{ v_1 - x_r - x_k - x_l, v_2 - x_k x_l - x_r(x_k + x_l), v_3 - x_k x_l x_r \} . \]

Using \( g_3 = 4(x_r + x_k + x_l - a) \) and acting with the shift functor \( \langle 1 \rangle \) on the third row one can write
\[ \zeta(\hat{\Gamma}_v) \cong \left\{ \begin{array}{c} g_1, \\ g_2, \\ -x_r(x_i x_j - x_k x_l), \\ x_i x_j - x_k x_l, \\ -4(x_r + x_k + x_l - a) \end{array} \right\}_R \{ -1 \}
\[ \sim \]

which is isomorphic, by a row operation, to the factorization
\[ \left\{ \begin{array}{c} g_1 + x_r g_2, \\ g_2, \\ -x_r(x_i x_j - x_k x_l), \\ x_i x_j - x_k x_l, \\ -4(x_r + x_k + x_l - a) \end{array} \right\}_R \{ -1 \} . \]

Excluding the variable \( x_r \) from the third row gives
\[ \zeta(\hat{\Gamma}_v) \cong \left\{ \begin{array}{c} g_1 + (a - x_k - x_l) g_2, \\ g_2, \\ x_i x_j - x_k x_l \end{array} \right\}_R \{ -1 \}
\[ \sim \]

where
\[ R' = \mathbb{Q}[a, b, c][x_i, x_j, x_k, x_l]/x_r - a + x_k + x_l \cong \mathbb{Q}[a, b, c][x_i, x_j, x_k, x_l] . \]

The claim follows from Lemma 3.3 since both are factorizations over \( R' \) with the same potential and the same second column, the terms in which form a regular sequence in \( R' \). As a matter of fact, using a row operation one can write
\[ \zeta(\hat{\Gamma}_v) \cong \left\{ \begin{array}{c} g_1 + (a - x_k - x_l) g_2 + 2(a - x_k - x_l)(x_i x_j - x_k x_l), \\ g_2 + 2(a - x_k - x_l)(x_i x_j - x_k x_l), \\ x_i x_j - x_k x_l \end{array} \right\} \{ -1 \}
\[ \sim \]

and check that the polynomials in the first column are exactly the polynomials \( u_{ijkl} \) and \( v_{ijkl} \) corresponding to the factorization assigned to the thick edge in Khovanov-Rozansky theory. \( \square \)

**Lemma 4.5.** Let \( \Gamma_v \) be a closed web and \( \zeta \) and \( \zeta' \) two complete identifications that only differ in the region depicted in Figure 12 where \( T \) is a part of the diagram whose orientation is not important. Then there is an isomorphism \( \zeta(\hat{\Gamma}_v) \cong \zeta'(\hat{\Gamma}_v) \langle 1 \rangle \).

**Proof.** Denoting by \( \hat{M} \) the tensor product of \( \hat{T} \) with the factorization corresponding to the part of the diagram not depicted in Figure 12 we have

\[ \zeta(\hat{\Gamma}_v) \cong \hat{M} \otimes \left\{ \begin{array}{c} g_1, \\ g_2, \\ g_3, \\ g_1', \\ g_2', \\ g_3' \end{array} \right\}_R \{ -6 \}
\[ \sim \]
corresponding Koszul factorizations, we can write

\[ \zeta(\hat{\Gamma}_v) \]

and

\[ \zeta'(\hat{\Gamma}_v) \]

we exclude the variables which have potential zero. Using the explicit form

\[ \zeta(\hat{\Gamma}_v) \approx \hat{M} \otimes \begin{cases} h_1, & x_7 + x_8 + x_9 - x_4 - x_5 - x_6 \\ h_2, & x_8x_9 + x_7(x_8 + x_9) - x_5x_6 - x_4(x_5 + x_6) \\ h_3, & x_7x_8x_9 - x_4x_5x_6 \\ h'_1, & x_1 + x_2 + x_3 - x_{10} - x_{11} - x_{12} \\ h'_2, & x_1x_2 + (x_1 + x_2)x_3 - x_{10}x_{11} - (x_{10} + x_{11})x_{12} \\ h'_3, & x_1x_2x_3 - x_{10}x_{11}x_{12} \end{cases} \{ -6 \} \]

where the polynomials \( h_i \) and \( h'_i \) (\( i = 1, 2, 3 \)) are as above. The factorizations \( \zeta(\hat{\Gamma}_v) \) and \( \zeta'(\hat{\Gamma}_v) \) have potential zero. Using the explicit form

\[ g_3 = h_3 = 4(x_4 + x_5 + x_6 - a), \quad g'_3 = h'_3 = 4(x_{10} + x_{11} + x_{12} - a) \]

we exclude the variables \( x_4 \) and \( x_{12} \) from the third and sixth rows in \( \zeta(\hat{\Gamma}_v) \) and \( \zeta'(\hat{\Gamma}_v) \). This operation transforms the factorization \( \hat{M} \) into the factorization \( \hat{M}' \), which again is a tensor factor which \( \zeta(\hat{\Gamma}_v) \) and \( \zeta'(\hat{\Gamma}_v) \) have in common. Ignoring common overall shifts we obtain

\[ \zeta(\hat{\Gamma}_v) \approx \hat{M}' \otimes \begin{cases} g_1, & x_1 + x_2 + x_3 - a \\ g_2, & x_1x_2 + (x_1 + x_2)x_3 - x_5x_6 - (x_5 + x_6)(a - x_5 - x_6) \\ g'_1, & x_7 + x_8 + x_9 - a \\ g'_2, & x_8x_9 + x_7(x_8 + x_9) - x_{10}x_{11} - (x_{10} + x_{11})(a - x_{10} - x_{11}) \end{cases} \]

and

\[ \zeta'(\hat{\Gamma}_v) \approx \hat{M}' \otimes \begin{cases} h_1, & x_7 + x_8 + x_9 - a \\ h_2, & x_8x_9 + x_7(x_8 + x_9) - x_5x_6 - (x_5 + x_6)(a - x_5 - x_6) \\ h'_1, & x_1 + x_2 + x_3 - a \\ h'_2, & x_1x_2 + (x_1 + x_2)x_3 - x_{10}x_{11} - (x_{10} + x_{11})(a - x_{10} - x_{11}) \end{cases} \]

Using Equation 5 we see that \( g_1 = h'_1 \) and \( g'_1 = h_1 \) and therefore, absorbing in \( \hat{M}' \) the corresponding Koszul factorizations, we can write

\[ \zeta(\hat{\Gamma}_v) \approx \hat{M}'' \otimes \hat{K} \quad \text{and} \quad \zeta'(\hat{\Gamma}_v) \approx \hat{M}'' \otimes \hat{K}' \]

where

\[ \hat{K} = \begin{cases} g_2, & x_1x_2 + (x_1 + x_2)x_3 - x_5x_6 - (x_5 + x_6)(a - x_5 - x_6) \\ g'_2, & x_8x_9 + x_7(x_8 + x_9) - x_{10}x_{11} - (x_{10} + x_{11})(a - x_{10} - x_{11}) \end{cases} \]
and

\[
\hat{K}' = \begin{cases}
    h_2, & x_8x_9 + x_7(x_8 + x_9) - x_5x_6 - (x_5 + x_6)(a - x_5 - x_6) \\
    \hat{h}_2, & x_1x_2 + (x_1 + x_2)x_3 - x_{10}x_{11} - (x_{10} + x_{11})(a - x_{10} - x_{11})
\end{cases}.
\]

To simplify notation define the polynomials \(\alpha_{i,j,k}\) and \(\beta_{i,j}\) by

\[
\alpha_{i,j,k} = x_ix_j + (x_i + x_j)x_k, \quad \beta_{i,j} = x_ix_j + (x_i + x_j)(a - x_i - x_j).
\]

In terms of \(\alpha_{i,j,k}\) and \(\beta_{i,j}\) we have

\[
\hat{K} = \begin{cases}
    2(\alpha_{1,2,3} + \beta_{5,6}) + 4b, & \alpha_{1,2,3} - \beta_{5,6} \\
    2(\alpha_{7,8,9} + \beta_{10,11}) + 4b, & \alpha_{7,8,9} - \beta_{10,11}
\end{cases}
\]

and

\[
\hat{K}' = \begin{cases}
    2(\alpha_{7,8,9} + \beta_{5,6}) + 4b, & \alpha_{7,8,9} - \beta_{5,6} \\
    2(\alpha_{1,2,3} + \beta_{10,11}) + 4b, & \alpha_{1,2,3} - \beta_{10,11}
\end{cases}.
\]

Factorizations \(\hat{K}\) and \(\hat{K}'(1)\) can now be written in matrix form as

\[
\hat{K} = \begin{pmatrix} R \\ R \end{pmatrix} \rightarrow \begin{pmatrix} R \\ R \end{pmatrix} \xrightarrow{Q} \begin{pmatrix} R \\ R \end{pmatrix} \quad \text{and} \quad \hat{K}'(1) = \begin{pmatrix} R \\ R \end{pmatrix} \xrightarrow{P'} \begin{pmatrix} R \\ R \end{pmatrix} \xrightarrow{Q'} \begin{pmatrix} R \\ R \end{pmatrix},
\]

where

\[
P = \begin{pmatrix}
    2(\alpha_{1,2,3} + \beta_{5,6}) + 4b & \alpha_{7,8,9} - \beta_{10,11} \\
    2(\alpha_{7,8,9} + \beta_{10,11}) + 4b & -\alpha_{1,2,3} + \beta_{5,6}
\end{pmatrix}
\]

\[
Q = \begin{pmatrix}
    \alpha_{1,2,3} - \beta_{5,6} & \alpha_{7,8,9} - \beta_{10,11} \\
    2(\alpha_{7,8,9} + \beta_{10,11}) + 4b & -2(\alpha_{1,2,3} + \beta_{5,6}) - 4b
\end{pmatrix}
\]

and

\[
P' = \begin{pmatrix}
    -\alpha_{7,8,9} + \beta_{5,6} & -\alpha_{1,2,3} + \beta_{10,11} \\
    -2(\alpha_{1,2,3} + \beta_{10,11}) - 4b & 2(\alpha_{7,8,9} + \beta_{5,6}) + 4b
\end{pmatrix}
\]

\[
Q' = \begin{pmatrix}
    -2(\alpha_{7,8,9} + \beta_{5,6}) - 4b & -\alpha_{1,2,3} + \beta_{10,11} \\
    -2(\alpha_{1,2,3} + \beta_{10,11}) - 4b & \alpha_{7,8,9} - \beta_{5,6}
\end{pmatrix}
\]

Define a homomorphism \(\psi = (f_0, f_1)\) from \(\hat{K}\) to \(\hat{K}'(1)\) by the pair of matrices

\[
\begin{pmatrix}
    \begin{pmatrix} 1 & -\frac{1}{2} \\ -1 & -\frac{1}{2} \end{pmatrix}, & \begin{pmatrix} \frac{1}{2} & -\frac{1}{2} \\ -1 & -1 \end{pmatrix}
\end{pmatrix}.
\]

It is immediate that \(\psi\) is an isomorphism with inverse \((f_1, f_0)\).

It follows that \(1_{\hat{M}^n} \otimes \psi\) defines an isomorphism between \(\zeta(\hat{G}_v)\) and \(\zeta'(\hat{G}_v)(1)\).

Although having \(\psi\) in this form will be crucial in the proof of Proposition 4.2 an alternative description will be useful in Section 5. Note that we can reduce \(\hat{K}\) and \(\hat{K}'\) in Equations 8 and 9 further by using the row operations \([1, 2]_1 \circ [1, 2]_{-2}\). We obtain

\[
\hat{K} \cong \begin{cases}
    -4(\alpha_{7,8,9} - \beta_{5,6}), & \alpha_{1,2,3} - \beta_{5,6} \\
    2(\alpha_{7,8,9} + \beta_{10,11} + \alpha_{1,2,3} - \beta_{5,6}), & \alpha_{1,2,3} + \alpha_{7,8,9} - \beta_{5,6} - \beta_{10,11}
\end{cases}
\]

and

\[
\hat{K}' \cong \begin{cases}
    -4(\alpha_{1,2,3} - \beta_{5,6}), & \alpha_{7,8,9} - \beta_{5,6} \\
    2(\alpha_{7,8,9} + \beta_{10,11} + \alpha_{1,2,3} - \beta_{5,6}), & \alpha_{1,2,3} + \alpha_{7,8,9} - \beta_{5,6} - \beta_{10,11}
\end{cases}.
\]
Corollary 4.6. The homomorphisms \( \psi \) and \( \psi' \) are equivalent.

Proof. The first thing to note is that we obtained the homomorphism \( \psi \) by first writing the differential \((d_0, d_1)\) in \( \hat{K}' \) as \(2 \times 2\) matrices and then its shift \( \hat{K}' \langle 1 \rangle \) using \((-d_1, -d_0)\), but in the computation of \( \psi' \) we switched the terms and changed the signs in the first line of the Koszul matrix corresponding to \( \hat{K}' \). The two factorizations obtained are isomorphic by a non-trivial isomorphism, which is given by

\[
T = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.
\]

Bearing in mind that \( \psi \) and \( \psi' \) have \( \mathbb{Z}/2\mathbb{Z} \)-degree 1 and using

\[
[1, 2]_\lambda = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad [1, 2]_\lambda' = \begin{pmatrix} 1 & 0 \\ -\lambda & 1 \end{pmatrix}, \quad [1, 2]_\lambda'' = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},
\]

it is straightforward to check that the composite homomorphism \( T[1, 2][1, 2]_2 \psi[1, 2]_2[1, 2]_2 \) is

\[
\begin{pmatrix} -2 & 0 \\ 0 & -1/2 \end{pmatrix} \begin{pmatrix} -1/2 & 0 \\ 0 & -2 \end{pmatrix}
\]

which is the tensor product of \((-m(2), -m(1/2))\) and the identity homomorphism of \( \hat{K}_2 \).

Proof of Proposition 4.2. We claim that \( \zeta' (\hat{\Gamma}_v) \cong \zeta (\hat{\Gamma}_v) \langle k \rangle \) with \( k \) a nonnegative integer. We transform \( \zeta' (\hat{\Gamma}_v) \) into \( \zeta (\hat{\Gamma}_v) \langle k \rangle \) by repeated application of Lemma 4.5 as follows. Choose a pair of vertices connected by a virtual edge in \( \zeta (\hat{\Gamma}_v) \). Do nothing if the same pair is connected by a virtual edge in \( \zeta' (\hat{\Gamma}_v) \) and use Lemma 4.5 to connect them in the opposite case. Iterating this procedure we can turn \( \zeta' (\hat{\Gamma}_v) \) into \( \zeta (\hat{\Gamma}_v) \) with a shift in the \( \mathbb{Z}/2\mathbb{Z} \)-grading by \( (k \mod 2) \) where \( k \) is the number of times we applied Lemma 4.5.

It remains to show that the shift in the \( \mathbb{Z}/2\mathbb{Z} \)-grading is independent of the choices one makes. To do so we label the vertices of \( \Gamma_v \) of \((+)-\) and \((-)-\) type by \((v_{1}^+, \ldots, v_{k}^+ \rangle) \) and \((v_{1}^-, \ldots, v_{k}^-) \) respectively. Any complete identification of vertices in \( \Gamma_v \) is completely determined by an ordered set \( J_{\zeta} = (v_{\sigma(1)}^{-}, \ldots, v_{\sigma(k)}^{-}) \), with the convention that \( v_{j}^+ \) is connected through a virtual edge to \( v_{\sigma(j)}^- \) for \( 1 \leq j \leq k \). Complete identifications of the vertices in \( \Gamma_v \) are therefore in one-to-one correspondence with the elements of the symmetric group on \( k \) letters \( S_k \). Any transformation of \( \zeta' (\hat{\Gamma}) \) into \( \zeta (\hat{\Gamma}) \) by repeated application of Lemma 4.5 corresponds to a sequence of elementary transpositions whose composite is equal to the quotient of the permutations corresponding to \( J_{\zeta} \) and \( J_{\zeta'} \). We conclude that the shift in the \( \mathbb{Z}/2\mathbb{Z} \)-grading is well-defined, because any decomposition of a given permutation into elementary transpositions has a fixed parity.

□
The next thing to show is that the isomorphisms in the proof of Proposition 4.2 do not depend on the choices made in that proof. Choose an ordering of the vertices of $\Gamma_v$ such that $v_i^\pm$ is paired with $v_j^\pm$ for all $i$ and let $\zeta$ be the corresponding vertex identification. Use the linear entries in the Koszul matrix of $\zeta(\hat{\Gamma}_v)$ to exclude one variable corresponding to an edge entering in each vertex of $(\cdot)^{-}$-type, as in the proof of Lemma 4.5 so that the resulting Koszul factorization has the form $\zeta(\hat{\Gamma}_v) = \hat{K}_{lin} \otimes \hat{K}_{quad}$ where $\hat{K}_{lin}$ (resp. $\hat{K}_{quad}$) consists of the lines in $\zeta(\hat{\Gamma}_v)$ having linear (resp. quadratic) terms as its right entries. From the proof of Lemma 4.5 we see that changing a pair of virtual edges leaves $\hat{K}_{lin}$ unchanged.

Let $\sigma_i$ be the element of $S_k$ corresponding to the elementary transposition, which sends the complete identification $(v_1^-, \ldots, v_i^-, \ldots, v_{i+1}^-, \ldots, v_k^-)$ to $(v_1^-, \ldots, v_{i+1}^-, \ldots, v_i^-, \ldots, v_k^-)$, and let $\Psi_i = 1_{\hat{K}_{lin}} \otimes \psi$ be the corresponding isomorphism of matrix factorizations from the proof of Lemma 4.5. The homomorphism $\psi$ only acts on the $i$-th and $(i+1)$-th lines in $\hat{K}_{quad}$ and $1_{\hat{K}_{lin}}$ is the identity morphism on the remaining lines. For the composition $\sigma_i \sigma_j$ we have the composite homomorphism $\Psi_i \Psi_j$.

**Lemma 4.7.** The assignment $\sigma_i \mapsto \Psi_i$ defines a representation of $S_k$ on $\zeta(\hat{\Gamma}_0) \oplus \zeta(\hat{\Gamma}_1)$.

**Proof.** Let $\hat{K}$ be the Koszul factorization corresponding to the lines $i$ and $i+1$ in $\zeta(\hat{\Gamma}_v)$ and let $|00\rangle, |01\rangle, |10\rangle$ and $|11\rangle$ be the standard basis vectors of $\hat{K}_0 \oplus \hat{K}_1$. The homomorphism $\psi$ found in the proof of Lemma 4.5 can be written as only one matrix acting on $\hat{K}_0 \oplus \hat{K}_1$:

$$\psi = \begin{pmatrix} 0 & 0 & \frac{1}{2} & -\frac{1}{2} \\ 0 & 0 & -1 & -1 \\ 1 & -\frac{1}{2} & 0 & 0 \\ -1 & -\frac{1}{2} & 0 & 0 \end{pmatrix}.$$  

We have that $\psi^2$ is the identity matrix and therefore it follows that $\Psi_i^{\pm 2}$ is the identity homomorphism on $\zeta(\hat{\Gamma}_v)$. It is also immediate that $\Psi_i \Psi_j = \Psi_j \Psi_i$ for $|i - j| > 1$. To complete the proof we need to show that $\Psi_i \Psi_{i+1} \Psi_i = \Psi_{i+1} \Psi_i \Psi_{i+1}$, which we do by explicit computation of the corresponding matrices. Let $\hat{K}'$ be the Koszul matrix consisting of the three lines $i$, $i+1$ and $i+2$ in $\hat{K}_{quad}$. To show that $\Psi_i \Psi_{i+1} \Psi_i = \Psi_{i+1} \Psi_i \Psi_{i+1}$ is equivalent to showing that $\psi$ satisfies the Yang-Baxter equation

$$\tag{10} (\psi \otimes 1)(1 \otimes \psi)(\psi \otimes 1) = (1 \otimes \psi)(\psi \otimes 1)(1 \otimes \psi),$$

with $1 \otimes \psi$ and $\psi \otimes 1$ acting on $\hat{K}'$. Note that, in general, the tensor product of two homomorphisms of matrix factorizations $f$ and $g$ is defined by

$$(f \otimes g)|v \otimes w\rangle = (-1)^{|g||v|} f v \otimes g w \rangle.$$

Let $|000\rangle, |011\rangle, |101\rangle, |110\rangle, |001\rangle, |010\rangle$ and $|100\rangle$ and $|111\rangle$ be the standard basis vectors of $\hat{K}_0' \oplus \hat{K}_1'$. With respect to this basis the homomorphisms $\psi \otimes 1$ and $1 \otimes \psi$ have the form of
block matrices

$$
\psi \otimes 1 = \begin{pmatrix}
0 & 0 & 0 & 0 \\
\frac{1}{2} & -\frac{1}{2} & 0 & 0 \\
1 & 0 & 0 & -\frac{1}{2} \\
-1 & 0 & 0 & -\frac{1}{2} \\
0 & -1 & -1 & 0
\end{pmatrix}
$$

and

$$
1 \otimes \psi = \begin{pmatrix}
0 & \frac{1}{2} & -\frac{1}{2} & 0 & 0 \\
1 & -\frac{1}{2} & 0 & 0 & 0 \\
-1 & -\frac{1}{2} & 0 & 0 & 0 \\
0 & 0 & -\frac{1}{2} & 1 & \frac{1}{2} \\
0 & 0 & 1 & 1 & 0
\end{pmatrix}
$$

By a simple exercise in matrix multiplication we find that both sides in Equation 10 are equal and it follows that $$\Psi_i \Psi_{i+1} \Psi_i = \Psi_{i+1} \Psi_i \Psi_{i+1}$$.

**Corollary 4.8.** The isomorphism $$\zeta'(\hat{\Gamma}_v) \cong \zeta(\hat{\Gamma}_v) \langle k \rangle$$ in Proposition 4.2 is uniquely determined by $$\zeta'$$ and $$\zeta$$.

**Proof.** Let $$\sigma$$ be the permutation that relates $$\zeta'$$ and $$\zeta$$. Recall that in the proof of Proposition 4.2 we defined an isomorphism $$\Psi_\sigma: \zeta'(\hat{\Gamma}_v) \to \zeta(\hat{\Gamma}_v) \langle k \rangle$$ by writing $$\sigma$$ as a product of transpositions. The choice of these transpositions is not unique in general. However, Lemma 4.7 shows that $$\Psi_\sigma$$ only depends on $$\sigma$$.

From now on we write $$\hat{\Gamma}$$ for the equivalence class of $$\hat{\Gamma}_v$$ under complete vertex identification. Graphically we represent the vertices of $$\hat{\Gamma}$$ as in Figure 13. We need to neglect the $$\mathbb{Z}/2\mathbb{Z}$$ grading.

![Figure 13](image.png)

**Figure 13.** A vertex and its equivalence class under vertex identification

which we do by imposing that $$\hat{\Gamma}$$, for any closed web $$\Gamma$$, have only homology in degree zero, applying a shift if necessary.
We also have to define the morphisms between \( \hat{\Gamma} \) and \( \hat{\Lambda} \). Let \( \zeta(\hat{\Gamma}_v) \) and \( \zeta'(\hat{\Gamma}_v) \) be representatives of \( \hat{\Gamma} \) and \( \zeta(\hat{\Lambda}_v) \) and \( \zeta'(\hat{\Lambda}_v) \) be representatives of \( \hat{\Lambda} \). Let

\[
f \in \text{Hom}_{\text{MF}} \left( \zeta(\hat{\Gamma}_v), \zeta(\hat{\Lambda}_v) \right)
\]

and

\[
g \in \text{Hom}_{\text{MF}} \left( \zeta'(\hat{\Gamma}_v), \zeta'(\hat{\Lambda}_v) \right)
\]

be two homomorphisms. We say that \( f \) and \( g \) are equivalent, denoted by \( f \sim g \), if and only if there exists a commuting square

\[
\begin{array}{ccc}
\zeta(\hat{\Gamma}_v) & \xrightarrow{f} & \zeta'(\hat{\Gamma}_v) \\
\downarrow & & \downarrow \sim \\
\zeta(\hat{\Lambda}_v) & \xrightarrow{g} & \zeta'(\hat{\Lambda}_v)
\end{array}
\]

with the horizontal isomorphisms being of the form as discussed in Proposition 4.2. The composition rule for these equivalence classes of homomorphisms, which relies on the choice of representatives within each class, is well-defined by Corollary 4.8. Note that we can take well-defined linear combinations of equivalence classes of homomorphisms by taking linear combinations of their representatives, as long as the latter have all the same source and the same target. By Corollary 4.8 homotopy equivalences are also well-defined on equivalence classes. We take

\[
\text{Hom}(\hat{\Gamma}, \hat{\Lambda})
\]

to be the set of equivalence classes of homomorphisms of matrix factorizations between \( \hat{\Gamma} \) and \( \hat{\Lambda} \) modulo homotopy equivalence. The additive category that we get this way is denoted by

\[
\overset{\sim}{\text{Foam}}/\ell.
\]

Note that we can define the homology of \( \hat{\Gamma} \), for any closed web \( \Gamma \). This group is well-defined up to isomorphism and we denote it by \( \hat{H}(\Gamma) \).

Next we show how to define a link homology using the objects and morphisms in \( \overset{\sim}{\text{Foam}}/\ell \). For any link \( L \), first take the universal rational Khovanov-Rozansky cochain complex \( \text{KR}_{a,b,c}(L) \). The \( i \)-th cochain group \( \text{KR}^i_{a,b,c}(L) \) is given by the direct sum of cohomology groups of the form \( H(\Gamma_v) \), where \( \Gamma_v \) is a total flattening of \( L \). By the remark above it makes sense to consider \( \text{KR}^i_{a,b,c}(L) \), for each \( i \). The differential \( d^i : \text{KR}^i_{a,b,c}(L) \to \text{KR}^{i+1}_{a,b,c}(L) \) induces a map

\[
d^i : \text{KR}^i_{a,b,c}(L) \to \text{KR}^{i+1}_{a,b,c}(L),
\]

for each \( i \). The latter map is well-defined and therefore the homology

\[
\hat{\text{HKR}}^i_{a,b,c}(L)
\]

is well-defined, for each \( i \).

Let \( u : L \to L' \) be a link cobordism. Khovanov and Rozansky \[4\] constructed a cochain map which induces a homomorphism

\[
\hat{\text{HKR}}_{a,b,c}(u) : \hat{\text{HKR}}_{a,b,c}(L) \to \hat{\text{HKR}}_{a,b,c}(L').
\]
The latter is only defined up to a $\mathbb{Q}$-scalar. The induced map

$$\hat{\text{HKR}}_{a,b,c}(u) : \hat{\text{HKR}}_{a,b,c}(L) \to \hat{\text{HKR}}_{a,b,c}(L')$$

is also well-defined up to a $\mathbb{Q}$-scalar. The following result follows immediately:

**Lemma 4.9.** $\text{HKR}_{a,b,c}$ and $\hat{\text{HKR}}_{a,b,c}$ are naturally isomorphic as projective functors from $\text{Link}$ to $\text{Mod}_{\text{bg}}$.

In the next section we will show that $U_{a,b,c}$ and $\hat{\text{HKR}}_{a,b,c}$ are naturally isomorphic as projective functors.

By Lemma 3.5 we also get the following

**Lemma 4.10.** We have the Khovanov-Kuperberg decompositions in $\hat{\text{Foam}}(\ell)$:

- Disjoint Union: $\hat{\bigcirc} \Gamma \cong \hat{\bigcirc} \otimes_{\mathbb{Q}[a,b,c]} \hat{\Gamma}$
- Digon Removal: $\hat{\bigcirc} \cong \{ -1 \} \oplus \{ 1 \}$
- Square Removal: $\hat{\bigcirc} \cong \bigoplus \{ -1 \} \oplus \bigoplus \{ 1 \}$

Although Lemma 4.10 follows from Lemma 3.5 and Lemma 4.4 an explicit proof will be useful in the sequel.

**Proof.** *Disjoint Union* is a direct consequence of the definitions. To prove *Digon Removal* define the grading-preserving homomorphisms

$$\alpha : \{ -1 \} \to \{ 1 \} \quad \beta : \{ 1 \} \to \{ -1 \}$$

by Figure 14

![Figure 14](image)

**Figure 14.** Homomorphisms $\alpha$ and $\beta$

If we choose to create the circle on the other side of the arc in $\alpha$ we obtain a homomorphism homotopic to $\alpha$ and the same holds for $\beta$. Define the homomorphisms

$$\alpha_0 : \{ -1 \} \to \{ 1 \} \quad \alpha_1 : \{ 1 \} \to \{ -1 \}$$
by $\alpha_0 = 2\alpha$ and $\alpha_1 = 2\alpha \circ m(-x_2)$. Note that the homomorphism $\alpha_1$ is homotopic to the homomorphism $2\alpha \circ m(x_1 + x_3 - a)$. Similarly define

$\beta_0 : \overset{\chi_1}{\chi_0} \to \{1\}$

by $\beta_0 = -\beta \circ m(x_3)$ and $\beta_1 = -\beta$. A simple calculation shows that $\beta_j \alpha_i = \delta_{ij} \text{Id}(\tilde{\chi})$. Since the cohomologies of the factorizations $\tilde{\chi}$ and $\tilde{\chi} \{1\}$ have the same graded dimension (see [4]) we have that $\alpha_0 + \alpha_1$ and $\beta_0 + \beta_1$ are homotopy inverses of each other and that $\alpha_0 \beta_0 + \alpha_1 \beta_1$ is homotopic to the identity in $\text{End}(\tilde{\chi})$. To prove (Square Removal) define grading preserving homomorphisms

$\psi_0 : \overset{\chi_1}{\chi_0} \to \overset{-\varepsilon}{1}$

$\psi_1 : \overset{\chi_1}{\chi_0} \to \overset{-\varepsilon}{1}$

$\varphi_0 : \overset{\chi_1}{\chi_0} \to \overset{-\varepsilon}{1}$

$\varphi_1 : \overset{\chi_1}{\chi_0} \to \overset{-\varepsilon}{1}$

by the composed homomorphisms below

$\psi_0 : \overset{\chi_1 \chi_0}{\chi_0} \to \overset{-\varepsilon}{1}$

$\psi_1 : \overset{\chi_1 \chi_0}{\chi_0} \to \overset{-\varepsilon}{1}$

$\varphi_0 : \overset{\chi_1 \chi_0}{\chi_0} \to \overset{-\varepsilon}{1}$

$\varphi_1 : \overset{\chi_1 \chi_0}{\chi_0} \to \overset{-\varepsilon}{1}$

We have that $\psi_0 \varphi_0 = \text{Id}(\tilde{\chi})$ and $\psi_1 \varphi_1 = \text{Id}(\tilde{\chi})$. We also have $\psi_1 \varphi_0 = \psi_0 \varphi_1 = 0$ because $\text{Ext}(\tilde{\chi}, \tilde{\chi}) \cong \mathcal{H}_{a,b,c}(\emptyset)\{4\}$ which is zero in $q$-degree zero and so any homomorphism of degree zero between $\tilde{\chi}$ and $\tilde{\chi}$ is homotopic to the zero homomorphism. Since the cohomologies of $\tilde{\chi}$ and $\tilde{\chi} \oplus \tilde{\chi}$ have the same graded dimension (see [4]) we have that $\psi_0 + \psi_1$ and $\varphi_0 + \varphi_1$ are homotopy inverses of each other and that $\varphi_0 \psi_0 + \varphi_1 \psi_1$ is homotopic to the identity in $\text{End}(\tilde{\chi})$.

5. The Equivalence Functor

We first define a functor

$\overset{\sim}{\Gamma} : \text{Foam/\ell} \to \overset{\sim}{\text{Foam/\ell}}$.

On objects the functor is defined by

$\Gamma \to \overset{\sim}{\Gamma}$,

as explained in the previous section. Let $f \in \text{Hom}(\text{Foam/\ell}(\Gamma, \Gamma'))$. Suffice it to consider the case in which $f$ can be given by one singular cobordism, also denoted $f$. If $f$ is given by a linear combination of singular cobordisms, one can simply extend the following arguments to all terms. Slice $f$ up between critical points, so that each slice contains one elementary foam, i.e. a zip or unzip, a saddle-point cobordism, or a cap or a cup, glued horizontally to the identity foam on the rest of the source and target webs. For each slice choose compatible vertex identifications on the source and target webs, such that in the region where both webs are isotopic the vertex identifications are the same and in the region where they differ the vertex identifications are
such that we can apply the homomorphism of matrix factorizations $\chi_0, \chi_1, \eta, \iota$ or $\epsilon$. This way we get a homomorphism of matrix factorizations for each slice. We can take its equivalence class. Composing all these morphisms gives a morphism $f$ between $\hat{\Gamma}_v$ and $\hat{\Gamma}'_v$. For its definition we had to choose a representative singular cobordism of the foam $f$, a way to slice it up and complete vertex identifications for the source and target of each slice. Of course we have to show that $\hat{f} \in \text{Hom}_{\text{Foam}/\mathbb{E}} (\hat{\Gamma}, \hat{\Gamma}')$ is independent of those choices. But before we do that we have to fulfill a promise that we made at the end of Section 3 after we defined the homomorphism of matrix factorizations $\eta$ induced by a saddle-point cobordism.

**Lemma 5.1.** The map $\hat{\eta}$ is well defined for closed webs.

**Proof.** Let $\Gamma$ and $\Gamma'$ be two closed webs and $\Sigma: \Gamma \rightarrow \Gamma'$ a cobordism which is the identity everywhere except for one saddle-point. By a slight abuse of notation, let $\hat{\eta}$ denote the homomorphism of matrix factorizations which corresponds to $\Sigma$. Note that $\Gamma$ and $\Gamma'$ have the same number of vertices, which we denote by $v$. Our proof that $\hat{\eta}$ is well-defined proceeds by induction on $v$. If $v = 0$, then the lemma holds, because $\Gamma$ consists of one circle and $\Gamma'$ of two circles, or vice-versa. These circles have no marks and are therefore indistinguishable. To each circle we associate the complex $\mathbb{C}$ and $\hat{\eta}$ corresponds to the product or the coproduct in $\mathbb{Q}[a, b, c]/X^3 - aX^2 - bX - c$. Note that as soon as we mark the two circles, they will become distinguishable, and a minus-sign creeps in when we switch them. However, this minus-sign then cancels against the minus-sign showing up in the homomorphism associated to the saddle-point cobordism.

Let $v > 0$. This part of our proof uses some ideas from the proof of Theorem 2.4 in [2]. Any web can be seen as lying on a 2-sphere. Let $V, E$ and $F$ denote the number of vertices, edges and faces of a web, where a face is a connected component of the complement of the web in the 2-sphere. Let $F = \sum_i F_i$, where $F_i$ is the number of faces with $i$ edges. Note that we only have faces with an even number of edges. It is easy to see that the following equations hold:

\[
3V = 2E \\
V - E + F = 2 \\
2E = \sum_i iF_i.
\]

Therefore, we get

\[
6 = 3F - E = 2F_2 + F_4 - F_8 - \ldots,
\]

which implies

\[
6 \leq 2F_2 + F_4.
\]

This lower bound holds for any web, in particular for $\Gamma$ and $\Gamma'$. Therefore we see that there is always a digon or a square in $\Gamma$ and $\Gamma'$ on which $\hat{\eta}$ acts as the identity, i.e. which does not get changed by the saddle-point in the cobordism to which $\hat{\eta}$ corresponds. Since the MOY-moves in Lemma 4.10 are all given by isomorphisms which correspond to the zip and the unzip and the birth and the death of a circle (see the proof of Lemma 4.10), this shows that there is always a set of MOY-moves which can be applied both to $\Gamma$ and $\Gamma'$ whose target webs, say $\Gamma_1$ and $\Gamma'_1$, have less than $v$ vertices, and which commute with $\hat{\eta}$. Here we denote the homomorphism of matrix factorizations corresponding to the saddle-point cobordism between $\Gamma_1$ and $\Gamma'_1$ by $\hat{\eta}$ again. By
induction, \( \hat{\eta}: \hat{\Gamma}_1 \to \hat{\Gamma}_1' \) is well-defined. Since the MOY-moves commute with \( \hat{\eta} \), we conclude that \( \hat{\eta}: \hat{\Gamma} \to \hat{\Gamma}' \) is well-defined. \( \square \)

**Lemma 5.2.** The functor \( \hat{\cdot} \) is well-defined.

**Proof.** The fact that \( \hat{f} \) does not depend on the vertex identifications follows immediately from Corollary 4.8 and the equivalence relation \( \sim \) on the Hom-spaces in \( \text{Foam}_{/\ell} \).

Next we prove that \( \hat{f} \) does not depend on the way we have sliced it up. By Lemma 4.10 we know that, for any closed web \( \Gamma \), the class \( \hat{\Gamma} \) is homotopy equivalent to a direct sum of terms of the form \( /C_X^k \). Note that \( \hat{\text{Ext}}(\emptyset, /C_{\Lambda}^k) \) is generated by \( X^s\eta \), for \( 0 \leq s \leq 2 \), and that all maps in the proof of Lemma 4.10 are induced by cobordisms with a particular slicing. This shows that \( \hat{\text{Ext}}(\emptyset, \Gamma) \) is generated by maps of the form \( \hat{u}, \) where \( u \) is a cobordism between \( \emptyset \) and \( \Gamma \) with a particular slicing. A similar result holds for \( \hat{\text{Ext}}(\Gamma, \emptyset) \).

Now let \( f \) and \( f' \) be given by the same cobordism between \( \Gamma \) and \( \Lambda \) but with different slicings. If \( \hat{f} \neq \hat{f}' \), then, by the previous arguments, there exist maps \( \hat{u} \) and \( \hat{v} \) where \( u: \emptyset \to \Gamma \) and \( v: \Lambda \to \emptyset \) are cobordisms with particular slicings, such that \( vfu \neq vfu' \). This reduces the question of independence of slicing to the case of closed cobordisms. Note that we already know that \( \hat{\cdot} \) is well-defined on the parts that do not involve singular circles, because it is the generalization of a 2d TQFT. It is therefore easy to see that \( \hat{\cdot} \) respects the relation (CN). Thus we can cut up any closed singular cobordism near the singular circles to obtain a linear combination of closed singular cobordisms isotopic to spheres and theta-foams. The spheres do not have singular circles, so \( \hat{\cdot} \) is well-defined on them and it is easy to check that it respects the relation (S).

Finally, for theta-foams we do have to check something. There is one basic Morse move that can be applied to one of the discs of a theta-foam, which we show in Figure 15. We have to show that \( \hat{\cdot} \) is invariant under this Morse move.

![Figure 15. Singular Morse move](image)

**Figure 15.** Singular Morse move

![Figure 16. Homomorphism \( \Phi \). To avoid clattering only some marks are shown](image)

**Figure 16.** Homomorphism \( \Phi \). To avoid cluttering only some marks are shown

In other words, we have to show that the composite homomorphism in Figure 16 is homotopic to the identity. It suffices to do the computation on the homology. First we note that the theta web has homology only in \( \mathbb{Z}/2\mathbb{Z} \)-degree 0. From the remark at the end of Subsection 3.2.1 it follows that \( \chi_0 \) is equivalent to multiplication by \(-2(x_1 - x_2)\) and \( \chi_1 \) to multiplication by \( x_2 - x_3 \), where
we used the fact that $\psi$ has $\mathbb{Z}/2\mathbb{Z}$-degree 1. From Corollary 4.6 we have that $\psi$ is equivalent to multiplication by $-2$ and from the definition of vertex identification it is immediate that $\tilde{\psi}$ is the identity. Therefore we have that

$$\Phi = \varepsilon (4(x_2 - x_3)(x_1 - x_2)) = 1.$$ 

It is also easy to check that $\sim$ respects the relation $(\Theta)$.

Note that the arguments above also show that, for an open foam $f$, we have $\tilde{f} = 0$ if $u_1 f u_2 = 0$ for all singular cobordisms $u_1 : \emptyset \to \Gamma_v$ and $u_2 : \Gamma_v' \to \emptyset$. This proves that $\sim$ is well-defined on foams, which are equivalence classes of singular cobordisms. \hfill \Box

**Corollary 5.3.** $\sim$ is an isomorphism of categories.

**Proof.** On objects $\sim$ is clearly a bijection. On morphisms it is also a bijection by Lemma 4.10 and the proof of Lemma 5.2. \hfill \Box

**Theorem 5.4.** The projective functors $U_{a,b,c}$ and $\text{HKR}_{a,b,c}$ from Link to Mod$_{bg}$ are naturally isomorphic.

**Proof.** Let $D$ be a diagram of $L$, $C_{\text{Foam}_{/\ell}}(D)$ the complex for $D$ constructed with foams in Section 2 and $\tilde{K}_{R_{a,b,c}}(D)$ the complex constructed with equivalence classes of matrix factorizations in Section 4. From Lemma 5.2 and Corollary 5.3 it follows that for all $i$ we have isomorphisms of graded $\mathbb{Q}[a,b,c]$-modules $C_{\text{Foam}_{/\ell}}^i(D) \cong \tilde{K}_{R_{a,b,c}}^i(D)$ where $i$ is the homological degree. By a slight abuse of notation we denote these isomorphisms by $\sim$ too. The differentials in $\tilde{K}_{R_{a,b,c}}(D)$ are induced by $\chi_0$ and $\chi_1$, which are exactly the maps that we associated to the zip and the unzip. This shows that $\sim$ commutes with the differentials in both complexes and therefore that it defines an isomorphism of complexes.

The naturality of the isomorphism between the two functors follows from Corollary 5.3 and the fact that all elementary link cobordisms are induced by the elementary foams and their respective images w.r.t. $\sim$. \hfill \Box

**Acknowledgements** The authors thank Mikhail Khovanov for interesting conversations and enlightening exchanges of e-mail about the topic of this paper.

Both authors were supported by the Fundação para a Ciência e a Tecnologia through the programme “Programa Operacional Ciência, Tecnologia, Inovação” (POCTI), cofinanced by the European Community fund FEDER.

**References**

[1] B. Gornik, Note on Khovanov link cohomology, preprint available as arXiv:math.QA/0402266.
[2] M-J. Jeong and D. Kim, Quantum $sl(n, \mathbb{C})$ link invariants, preprint available as arXiv:math.GT/0506403.
[3] M. Khovanov, $sl(3)$ link homology, Algebr. Geom. Top. 4 (2004), 1045-1081.
[4] M. Khovanov and L. Rozansky, Matrix factorizations and link homology, preprint available as arXiv: math.QA/0401268.
[5] M. Khovanov and L. Rozansky, Virtual crossings, convolutions and a categorification of the $SO(2N)$ Kauffman polynomial, preprint available as arXiv:math.QA/0701333.
[6] G. Kuperberg, Spiders for rank 2 Lie algebras, Comm. Math. Phys. 180(1) (1996), 109-151.
[7] M. Mackaay and P. Vaz, The universal $sl_3$-link homology, *Algebr. Geom. Topol.* 7 (2007) 1135-1169.

[8] S. Morrison and A. Nieh, On Khovanov’s cobordism theory for $su(3)$ knot homology, preprint available as arXiv:math/0612754.

[9] J. Rasmussen, Some differentials on Khovanov-Rozansky homology, preprint available as arXiv:math.GT/0607544.

[10] H. Wu, On the quantum filtration for the Khovanov-Rozansky cohomology, preprint available as arXiv:math.GT/0612406.

Departamento de Matemática, Universidade do Algarve, Campus de Gambelas, 8005-139 Faro, Portugal and CAMGSD, Instituto Superior Técnico, Avenida Rovisco Pais, 1049-001 Lisboa, Portugal

E-mail address: mmackaay@ualg.pt

Departamento de Matemática, Universidade do Algarve, Campus de Gambelas, 8005-139 Faro, Portugal and CAMGSD, Instituto Superior Técnico, Avenida Rovisco Pais, 1049-001 Lisboa, Portugal

E-mail address: pfortevaz@ualg.pt