Gaze shift dynamic visual acuity: A functional test of gaze stability that distinguishes unilateral vestibular hypofunction

Po-Yin Chen¹,a,b,c,d, Ying-Chun Jheng¹,a,b,c,d, Shih-En Huang¹,a,b,c,d, Lieber Po-Hung Li,b,c,f, Shun-Hwa Wei¹, Michael C. Schubert⁸ and Chung-Lan Kao¹,a,b,c,∗

¹Department of Physical Medicine and Rehabilitation, Taipei Veterans General Hospital, Taipei, Taiwan, ROC
²School of Medicine, National Yang-Ming University, Taipei, Taiwan, ROC
³Center for Intelligent Drug Systems and Smart Bio-devices-IDS²B, National Chiao Tung University, Hsinchu, Taiwan, ROC
⁴Department of Physical Therapy and Assistive Technology, National Yang-Ming University, Taipei, Taiwan, ROC
⁵Department of Otolaryngology, Cheng Hsin General Hospital, Taipei, Taiwan, ROC
⁶Faculty of Medicine and Institute of Brain Science, School of Medicine, National Yang Ming University, Taipei, Taiwan, ROC
⁷Laboratory of Vestibular NeuroAdaptation, Johns Hopkins University School of Medicine, Department of Otolaryngology Head and Neck Surgery, Department of Physical Medicine and Rehabilitation, Baltimore MD USA

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Abstract.

BACKGROUND: Embedded within most rapid head rotations are gaze shifts, which is an initial eye rotation to a target of interest, followed by a head rotation towards the same target. Gaze shifts are used to acquire an image that initially is outside of the participant’s current field of vision. Currently, there are no tools available that evaluate the functional relevance of a gaze shift.

OBJECTIVE: The purpose of our study was to measure dynamic visual acuity (DVA) while performing a gaze shift.

METHODS: Seventy-one healthy participants (42.79 ± 16.89 years) and 34 participants with unilateral vestibular hypofunction (UVH) (54.59 ± 20.14 years) were tested while wearing an inertial measurement unit (IMU) sensor on the head and walking on a treadmill surrounded by three monitors. We measured visual acuity during three subcomponent tests: standing (static visual acuity), while performing an active head rotation gaze shift, and an active head rotation gaze shift while walking (gsDV Aw).

RESULTS: While doing gsDV Aw, patients with Left UVH (n = 21) had scores worse (p = 0.023) for leftward (0.0446 ± 0.0943 LogMAR) head rotation compared with the healthy controls (–0.0075 ± 0.0410 LogMAR). Similarly, patients with right UVH (N = 13) had worse (p = 0.025) gsDV Aw for rightward head motion (0.0307 ± 0.0481 LogMAR) compared with healthy controls (–0.0047 ± 0.0433 LogMAR). As a whole, gsDV Aw scores were worse in UVH compared to the healthy controls when we included the ipsilesional head rotation on both sides gsDV Aw (0.0061 ± 0.0421 LogMAR healthy vs. 0.03926 ± 0.0822 LogMAR UVH, p = 0.003). Controlling for age had no effect, the gsDV Aw scores of the patients were always worse (p < 0.01).

¹Po-Yin Chen and Ying-Chun Jheng contributed equally to this work as first authors.

∗Corresponding author: Chung-Lan Kao, MD, PhD, Department of Physical Medicine & Rehabilitation, National Yang-Ming University & Taipei Veterans General Hospital, Taiwan, R.O.C. Tel: +886 2 28757293; E-mail: clkao@vghtpe.gov.tw.

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CONCLUSION: The gaze shift DVA test can distinguish gaze stability in patients with UVH from healthy controls. This test may be a useful measure of compensation for patients undergoing various therapies for their vestibular hypofunction.

Keywords: Vestibular hypofunction, gaze shift, dynamic visual acuity, and vestibular evaluation

1. Introduction

The vestibular system plays an important role in the gaze stability during dynamic activities, such as walking or running. In activities of daily living, people must maintain gaze stability in order to prevent the movement of visual objects from the fovea of the retina (known as retinal slip), particularly during rapid head rotations that primarily rely on the vestibular ocular reflex (VOR) [29, 30]. Impairment of the VOR (high or low) causes the perception of visual targets moving off the fovea of the retina known as oscillopsia, but also causes reduced visual acuity during head motion, dizziness, and a sense of imbalance [3, 4, 16, 27]. When visually scanning for objects beyond the boundary of the individual’s field of view, the individual must rotate their head towards the direction of the target. Embedded within most large head rotations are gaze shifts, which is an initial eye rotation to a target of interest, followed by a head rotation towards the same target. Gaze shifts are used to acquire an image that initially is outside of the participant’s current field of vision. For example, a person walking along the street hears their name called from behind them and towards the right side. In this case, the participant initially uses a rightward directed saccade to the target of interest followed by a rightward head rotation. During the head rotation the VOR must be suppressed - otherwise it will move the eyes off the target. However, once the eyes acquire the target, the VOR must then engage in order to keep the eyes stable in space and fixated on the target [8, 17].

One of the most useful and functional measures of the VOR in patients with chronic vestibular loss or hypofunction is the computerized dynamic visual acuity test (cDVA). This test utilizes different font sizes of optotypes (i.e. letters) center-displayed on a computer monitor. During the test, participants typically use horizontal (or vertical) sinusoidal head rotations at a velocity threshold greater than the ocular following mechanism (i.e. pursuit, greater than 100°/s) while attempting to read the optotypes displayed on the screen. The total number of correctly identified optotypes are then converted to a LogMAR score (Logarithm of the Minimal Angle Resolvable) and compared against healthy controls. cDVA scores worsen with age and vestibular hypofunction [23]. The cDVA has been widely used in establishing recovery of gaze stability in patients with unilateral and bilateral vestibular hypofunction [20, 21, 46]. The cDVA test has been proven to be a reliable test in both normal participants and patients with vestibular hypofunction [23]. However, while the cDVA is a functional measure of the VOR, humans don’t typically engage in this behavior (repeated, active, sinusoidal head rotation while sitting).

The cDVA test was designed as a functional performance of the VOR. Currently, there are no tools available that evaluate the functional relevance of a gaze shift, hence, we have added a gaze shift component and modified the traditional cDVA. We believe this may provide a valuable new method to measure gaze stability given humans commonly make gaze shifts. We call this new test the gaze shift dynamic visual acuity test (gsDVA). The purpose of this study was to compare differences of the gsDVA between healthy controls (of different age groups) and patients with unilateral vestibular hypofunction. We hypothesized that healthy participants would have better visual acuity during gaze shifts than patients with vestibular hypofunction due to intact vestibular system and functional gaze control during locomotion.

2. Methods

2.1. Participants

Healthy individuals were recruited from the community, and patients with unilateral vestibular hypofunction (UVH) were recruited from the clinics within the Department of Physical Medicine & Rehabilitation, Taipei Veterans General Hospital (CLK) and the Department of Otolaryngology, Cheng Hsin General Hospital (Lieber PH Li). All diagnoses were confirmed by otolaryngologists and based on results from bithermal caloric irrigations, which only assess function from each horizontal semicircular canal. Each participant agreed to participate and signed an informed consent form as approved by the Taipei Veterans General Hospital & National Yang-Ming University Institutional Review Board. Participants with normal or corrected-to-normal vision were enrolled with the following criteria: absent any previ-
uous physical, auditory, visual, or cognitive disorders, and not using a medication that may influence their walking abilities. Each participant was examined for the ability to conduct continual head turning for at least 60 degrees to either side during walking. Each participant was instructed in use of the equipment and practiced the experimental scenarios at their preferred speed on the treadmill for 5~10 minutes. One physical therapist (PYC) accompanied the participants throughout the whole experiment to ensure their safety.

2.2. Instrumentation

The experiments were conducted in an open space (Height 4 x Length 6 x Width 3 m³) using an inertial measurement unit (IMU) sensor (PNI SpacePoint SCOUT, USA) that included a built-in 3-axis accelerometer, a 3-axis gyroscope, and PNI’s Geomagnetic Sensor Suite with a sampling rate of 100 Hz. Participants walked on an automated rehabilitation treadmill (SUNPRO GZ-8643E, Taiwan) surrounded by three monitors connected to a desktop PC. One monitor was placed in front of the participants and two additional monitors were positioned 60 degrees to each side of the patient when facing the center monitor (Fig. 1). Custom software to execute the experiments was written using NI LabVIEW 2013 (National Instruments, USA).

2.3. Experimental procedures

Participants were first introduced to the method of the study and ample time was provided to ensure understanding. Three major components were then collected: static visual acuity, gsDV A in stance (gsD-VAs), and gsDV A while walking (gsDVAw). The gsDV A test were modified based on the traditional cDV A. Once the headband and attached IMU sensor was placed, static visual acuity (SVA) was measured, static visual acuity (SVA) was measured by having participants stand facing the center monitor and verbally reporting the direction the ‘legs’ of the optotype (letter E) (e.g., ‘E’ – rightward facing). All participants were asked to identify the “E” letter (the letter E, randomly rotated each trial by 0°, 90°, 180°, or 270°) openings shown on the monitor 2 meters in front of them. There are 5 trials for each acuity level. The optotype size reduced in size as the test progressed; changes in visual acuity from line to line are equivalent to 0.1 logarithm of the minimal angle of resolution (LogMAR). If the participant missed all of 5 optotypes of the same size or provided responses for all 55 optotypes in our test, the test terminated. We provided a 2-second interval between each presentation of the letter orientation after patients’ responses entered by the investigator. Any participants who could not read the largest size of optotypes correctly (Snellen visual acuity worse than 20/200), were excluded. Next, we measured gsDV A with the participants standing still (gsDVAs).

At the start of the test, the center monitor illustrated an arrow that randomly pointed to the either the left or to the right. The participant was instructed to turn their head ~ 60 degrees as fast as possible towards the direction of the arrow and look at that 2nd
monitor (positioned 60 deg on that side). Next, the letter E was presented on that 2nd monitor, having been triggered by the participant’s head rotation range ≥60 degrees to either side. The participant then declared the orientation of the ‘legs’ of the letter as fast as possible. The orientation of the letter stayed on the screen until the investigator recorded the response. Once the response was recorded, the letter disappeared on the side screen, which was the cue for the participant to return their gaze (eye and head) back to the center monitor and wait 2 seconds before the arrow randomly directed the next head rotation. The process of the optotype display in gsDV A test is similar to SVA test as stated above. The changing of the optotype size and the timing of termination are the same as in the SVA test. The final test used the same method to collect gsDV A as described but the participants self-selected a comfortable pace for walking on a treadmill (gsDVAw). The entire duration of tests required about 40 minutes to complete.

2.4. Data analysis and experimental parameters

We collected five variables from each participant during each of the three subcomponent tests (SVA, gsDVAs, gsDVAw);

1. Gait speed - the preferred gait velocity of the participant walking on the treadmill. Healthy participants were binned into three unique decades (N=38, participants aged 20–40 years; N=16, participants aged 41–60 years and N=14, participants aged 61–80 years).
2. Head velocity - velocity of yaw head rotation during both rightward and leftward testing of the gsDVAs and gsDVAw tests.
3. LogMAR static visual acuity (SVA): Participants viewed 5 optotypes per acuity level, with optotype size decreasing in steps equivalent to a visual acuity change of 0.1 logMAR. A LogMAR of 0 indicates a Snellen visual acuity of 20/20 (6/6 m), which means an observer can resolve details as small as 1 minute of visual angle a distance of 6m. SVA was scored when a participant failed to correctly identify 5 optotypes on an acuity level or reached the 55th optotype (logMAR score of 0.000; Snellen equivalency of 20/20 acuity). The number of incorrect responses out of 55 were converted to LogMAR values.
4. LogMAR gsDV A: The Participants turned their heads 60 degrees to one side and stopped (instead of rotated continually as in cDVA). The gsDV A performance was calculated by subtracting the numbers of incorrect responses in SVA from the numbers of incorrect response in gsDV A tests. Then, the scores were converted to logMAR. The leftward and rightward gsDV A were scored separately. Additional information about the computation of visual acuity has been published previously [24].

5. Response time - the time in seconds from the presentation of the letter E on the monitor to the examiner entering the subjects’ response.

In this study, we estimated sample size using the G*Power 3.13 software (Franz Faul, University Kiel, Germany), and based on data published in patients with UVH performing dynamic visual acuity testing [22]. Accordingly, we achieved 80% statistical power using N=13 healthy controls and N=7 patients with UVH. For the analysis of age differences, we recruited additional healthy participants to be able to have good sample sizes in the unique age bins.

2.5. Statistical analysis

The visual acuity, average response time, and head velocity while turning were exported and processed in LabVIEW2013 (National Instruments, USA). With unequal sample sizes between the two groups, independent t-test compared the difference between the healthy participants and patients. The level of significance was set at α<0.05. Statistical analyses were performed using the SPSS 20.0 analysis software (Armonk, NY: IBM Corp.).

3. Results

We recruited a total of N=105 participants. Among them, N=34 patients had UVH (M:F=12:22, mean age 54.6±20.1 years); N=21 left and N=13 right UVH. The remaining N=71 (M:F=31:40, mean age=42.8±16.9 years) were healthy individuals (Table 1). Forty of the 71 healthy controls were selected randomly based on mean age of patients by a customized program of LabVIEW to the age-matched control (M:F=16:24, mean age=53.9±13.0 years). The average duration of disease onset was within one year. There were no differences in average response time between healthy participants and UVH patients. During the period of experiments, some participants required more time to learn the task. Occasionally,
Table 1
Demographics and Static Visual Acuity

|                  | UVH Patients | HC Age-matched | All HC |
|------------------|-------------|---------------|-------|
| Age              | 54.6 ± 20.1 | 53.9 ± 13.0   | 42.8 ± 16.9 |
| Male : Female    | 12:22       | 16:24         | 31:40 |
| Sample Size      | 34          | 40            | 71    |
| SV A             | 0.0889 ± 0.1094 | 0.0647 ± 0.1002 | 0.0458 ± 0.0811 |

UVH = unilateral vestibular hypofunction, HC – healthy controls; SV A – static visual acuity (LogMAR); M = male, and F = female.

3.1. The performances of age-matched healthy participant v.s. UVH patients

3.1.1. Gait speed

There was no difference in mean gait speed between the age-matched healthy controls (N = 40, mean 2.87 ± 1.10 km/h) and patient participants with UVH (N = 34, 2.67 ± 1.12 km/h). (Fig. 2A, p = 0.45).

3.1.2. Head velocity during the gsDVAs and gsDVAw

We found no difference in head velocity across patient or healthy controls while doing the gsDVAs (p > 0.26) in Table 2. While walking and completing the gsDVAw test, patients with UVH moved their head (combined right and left) slower than healthy controls (p = 0.02). Interestingly, both healthy control and patient participants made faster velocity head rotations toward the right in Table 2. As a result, only ipsilesional head rotations directed towards the left were significantly slower than healthy controls, ipsilesional rightward head rotations were similar in velocity to controls. When combined leftward and rightward ipsilesional rotation, we found significantly lower head velocity compared with the age matched controls.

3.1.3. Scores of the gaze shift dynamic visual acuity (gsDVA) while standing (gsDVAs)

Patients with UVH displayed worse gsDVAs scores during ipsilesional head rotation compared with the age-matched healthy controls. Patients with right UVH (N = 13) had worse gsDVAs (p = 0.050) for rightward compared with healthy controls. Similarly, left UVH (n = 21) had gsDVAs scores worse (p = 0.041) for leftward rotation compared with the healthy controls (Fig. 3A).

3.1.4. Scores of the gaze shift dynamic visual acuity (gsDVA) while walking (gsDVAw)

Next, we combined the right and left gsDVAw for each UVH participant and compared this against the healthy participant scores. Compared with age-matched healthy controls (N = 40), the gsDVAw
Regardless of controlling for static or dynamic conditions, individuals with UVH have worse gaze DV A scores during walking than do healthy controls (combined). α represents $p = 0.041$, and β represents $p = 0.05$, and γ represents $p < 0.01$.

scores were worse in the patients subject even when we included the ipsilesional head rotation score from both sides ($-0.0062 \pm 0.0476$ LogMAR healthy vs. $0.0393 \pm 0.0822$ LogMAR UVH, $p = 0.003$) (Fig. 3B). Additionally, gsDVAw scores were worse in UVH when compared against the entire healthy participants (N = 71).

Next, we analyzed the gsDVAw scores for ipsilesional only rotation (Left N = 21/Right N = 13, mean age 54.6 ± 20.1 years) with the gsDVAw scores of healthy participants (N = 40, mean age 53.9 ± 13.0 years). When ipsilateral rotations in UVH were compared against age-matched healthy controls, gsDVAw was worse in the participants with right UVH, the ipsilesional gsDVAw also was worse ($p = 0.028$) than healthy controls (Right UVHs: Rt gsDVAw = $-0.0042 \pm 0.0482$ LogMAR vs. Rt UVHs: Rt gsDVAw = $0.0307 \pm 0.0481$ LogMAR). Similarly, comparing gsDVAw in patients with Left UVH (N = 21) for leftward rotation (healthy participants: Lt gsDVAw = $-0.0083 \pm 0.0476$ LogMAR vs. Lt UVH: Lt gsDVAw = $0.0446 \pm 0.0943$ LogMAR, $p = 0.024$) (Table 3).

**3.2. The performances of all the healthy participants**

The mean self-selected walking velocity of the healthy controls reduced as age of the group (N = 38 participants aged 20–40 years; N = 16 participants aged 41–60 years; N = 14 participants aged 61–80 years) increased (3.80 ± 0.52 km/h; 2.98 ± 0.99 km/h; 2.31 ± 1.16 km/h) (Fig. 2B).

The gsDVAw scores during walking was similar across the healthy participants for both leftward and rightward head rotation.

### 4. Discussion

**4.1. Impaired visual acuity during head motion in vestibular hypofunction**

Our study reveals that patients with UVH have worse dynamic visual acuity (DVA) during walking and making head turns than do healthy controls. As to the typical function of the vestibular system, the VOR is responsible for generating rapid eye movements that match head velocity to ensure images remain stable on the fovea of the retina. Patients with UVH have a reduced VOR gain that results in poor vision during head motion and typically poor DVA scores [24, 41, 42]. We now show that patients with UVH also have...
reduced visual acuity when searching a visual target in the same direction of head rotation, as occurs during a gaze shift while walking. To date, we are unaware of any other study examining the consequence of performing a gaze shift on visual acuity in patients with vestibular hypofunction. Recently, Anastasopoulos et al. (2019) measured eye-head coordination and postural stability during whole-body gaze shifts (45, 90, 135, 180°) in seven patients with absent VOR function [1]. They report a number of significant performance decrements relative to healthy controls including reduced trunk and head velocity, increased sway path after target acquisition, increased latency of target acquisition, and reduced amplitude of the gaze shift as the target amplitude increased. However, visual acuity during the whole-body gaze shift was not measured.

There do exist a few studies examining the role of active or passive head rotation on gaze stability in seated position for patients with vestibular hypofunction, for which cDV A test is abnormal [13, 18, 19, 25]. However, we believe the gaze shift DVA is a more practical measure of gaze stability than the DVA test given how frequently humans make gaze shifts (i.e. looking around the oncoming vehicles at a road intersection). At the beginning of a gaze shift, a saccade is generated first followed by the head rotating towards the same direction. As the head rotation begins, the VOR must be suppressed to enable the eye velocity to reach the target of interest. Once the target is acquired however, the VOR must be engaged to ensure the eyes stay on the target by rotating opposite the head motion. Kasai et al. found unique gaze shift strategies in patients with bilateral vestibular hypofunction. For example, some patients generated a hypometric saccade, followed by the eyes drifting with the head during rotation towards the target to then acquire the target of interest [28]. The ‘drifting’ of the eyes with the head was possible because the VOR did not move the eyes opposite the head. Others have shown that the VOR is modifiable and dependent on amplitude of the gaze shift. Pelisson et al. concluded that the VOR is inhibited when the range of gaze shift was greater than 30 degrees in human participants [33]. Studying the gaze shift in the rhesus monkey, Tomlinson found that the VOR function is normal when the gaze shifts are small (<10 degrees). If, however, the amplitude of the gaze shift increases, the gain of the VOR diminishes to a point that it turns off completely for very large gaze shifts [44]. It also appears that gaze shifting is influenced by cognition, not surprising given the well-known effects of cognition on VOR testing [7, 10]. The gain of the VOR is suppressed when making gaze shifts with active (predictable) head perturbation, yet engaged during passive (unpredictable) head perturbations [9]. Given the unique influences of amplitude and cognition on gaze shifting, knowing its functional relevance (i.e. gsDV Aw) is useful. Future studies have to be done to discover the roles of VOR and gaze shift in stabilization of DVA in both healthy and participants with vestibular hypofunction.

4.2. Differences between the gsDV Aw and cDVA tests

The gsDV Aw has some significant advantages to the conventional measure of cDVA. First, the gsDV Aw is able to distinguish the influence of age given we show that gsDV Aw scores differ significantly (p<0.05) between UVH and healthy participants regardless of age-matching. Next, the gsDV Aw is not dependent on a minimum head rotation velocity neither is it dependent on walking speed (participants walk at selected pace), which means the test can be performed at the patients’ current abilities. Additionally, this suggests the gsDV Aw test can be performed without participants’ being required to meet an artificially imposed head or gait velocity that is often limited by symptoms of dizziness or others. Clearly, the gsDV Aw is challenging given the testing is done while walking. It is essential to maintain stability and clear vision when walking with head turning. Therefore, the quality of gsDVA may play an important role in clearly identifying targets during dynamic activities and reflect a more realistic challenge patients with vestibular hypofunction encounter during their daily life. Although the difference of scores in gsDV A were only one-third of the changes from cDVA test (0.14–0.24 LogMAR), the head rotation velocity was relatively slow during gsDV A compared with traditional cDV A tests (~120–180 deg/s range), and thus is likely to be more comfortable to complete.

The cDVA has been studied more extensively (i.e. vertical head rotation, linear head motion) and after rehabilitation [5, 34, 38]. Herdman et al. observed that patients with vestibular hypofunction do show marked improvement in cDVA after an average of 4 weeks of vestibular rehabilitation. This improved cDVA showed a greater response during active (predictable) head rotations, suggesting that central preprogramming plays a role in the recovery of cDVA in patients with UVH [11, 21, 43]. It remains unknown if the gsDVs can be similarly improved.
The mechanism of compensation from a vestibular hypofunction is complex and includes recruitment of a compensatory saccade during both predictable and unpredictable head rotation [39, 40]. Prior research suggests compensatory saccades have an important role stabilizing gaze in both healthy and vestibular hypofunction patients [31, 35, 36]. Recently, Colagiorgio et al utilized the video head impulse Eye-SeeCam (Interacoustics, Denmark) to measure head and eye trajectory during passive head rotation. They revealed that patients with vestibular hypofunction generate eye trajectories much less smoothly than healthy controls do, and postulated this further contributes to their gaze instability [6].

4.3. Impact of age on gait speed, yaw head velocity, and DVA

In healthy function, the human vestibular system integrates head motion signals in order to stabilize the eyes and body position in space. Patients with vestibular hypofunction typically experience dizziness performing their daily activities, especially when walking and making head rotations. The dizziness may lead to a greater risk for fall [37]. Advanced age is correlated with impaired VOR gain in both healthy and vestibular hypofunction [2, 26]. Additionally, several studies indicate age impairs DVA [14, 15, 23]. Herdman et al showed an inverse correlation of DVA scores as age of participants with bilateral vestibular loss (BVL) increased [23]. Paige et al (1992) showed a more impaired VOR gain during high head velocity in older participants [32]. Deshpande et al similarly showed that DVA worsens in older participants during faster walking speeds [12]. We speculate older participants require more musculoskeletal and neurological adaptation when making faster head rotation and walking speed. In our study, vestibular hypofunction did not have a significant impact on gait speed, suggesting that age alone is not a significant influence.

4.4. Limitations of this study

Our study is limited in part based on the age of the participants given none were over 80 years old. Additionally, it’s hard to know how scores on the gsDVAw test will generalize to real world visual acuity during gaze shifting given walking over ground is inherently uneven. The gsDVAw tests in the current study was modified based on the cDVAl test, but we have not determined the psychometric properties at this time [24]. Finally, we did not record the eye and head instantaneous angular velocity during the gsDVAw and thus are uncertain what oculomotor strategies may be influencing the scores.

5. Conclusion

The gsDVAw represents the first functional measure of a gaze shift during walking. The test of gsDVAw is similar to tasks encountered in daily life. In addition, the test device could be rapidly set up and modified to use with a treadmill in local communities or clinical institutions. The protocol is easily to follow and execute in individuals with varied gait speeds. Furthermore, the gsDVAw can distinguish patients with UVH from healthy controls, which we believe is a more realistic measure of gaze stability than cDVAl test. Future studies are warranted to investigate if the gsDVAw can show change with intervention.

Conflicts of interest

The authors declare that they have no conflicts of interest related to the subject matter or materials discussed in this article.

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