Evaluation of Anti-Cancer Potential of Capsaicin-Loaded Trimethyl Chitosan-Based Nanoparticles in HepG2 Hepatocarcinoma Cells

Islam E Elkholi1, Noha M Hazemi2, Wagdi F ElKashef3, Mohamed A Sobhi4, Dalia Shaalan2, Mohamed Sobhi1 and Ibrahim M El-Sherbiny5*

1Medical Experimental Research Center, Mansoura University, Mansoura ET-35516, Egypt
2Biochemistry Department, Faculty of Medicine, Mansoura University, Mansoura ET-35516, Egypt
3Pathology Department, Faculty of Medicine, Mansoura University, Egypt
4Experimental Biology Department, Urology and Nephrology Center, Mansoura University, Egypt
5Zewail City of Science and Technology, Center for Materials Science, 6th October City, 12588 Giza, Egypt

Abstract

Hepatocellular carcinoma (HCC) is a highly aggressive malignancy characterized by its high resistance to chemotherapeutic agents leading to high morbidity and mortality rates. Capsaicin, the active ingredient of hot peppers, has been reported for its potential as an active anti-cancer agent. In this study, we hypothesized that incorporating capsaicin into nanocarriers might improve its pharmacokinetics. As a result, densely dispersed capsaicin-loaded trimethyl-chitosan nanoparticles (CL-NPs) were developed and their anti-tumor effect was investigated in comparison with capsacin on human HepG2 cells. The CL-NPs were obtained via ionotropic gelation of cationic trimethyl chitosan (TMCS). Both synthesized TMCS and TMCS-based nanoparticles were characterized using zeta analyzer, nanosizer, and TEM. Human hepatoma cell line (HepG2) were cultured then divided into 4 groups receiving ethanol, conventional capsacin, plain nanoparticles (PNs), or CL-NPs in dose of 100 µM. The apoptotic activity in the cell line was evaluated by DNA fragmentation assay, immucytochemistry for caspase-3 and BCL-2, in addition to gene expression studies of BCL-2, and Bax genes via RT-PCR. The capsacin effect on HCC response to chemotherapy was also assessed by studying the level of MDR-1 (multidrug resistance) gene expression. CL-NPs in dose of 100 µM, 24 h after treatment, showed more upregulation of Bax and downregulation of both BCL-2 and MDR-1 genes in comparison with conventional capsacin. In addition, immucytochemistry assay revealed that both capsacin and plain NPs show higher expression of caspase-3 and lower expression of BCL-2 than the control group, while the group treated with CL-NPs showed complete necrosis. This indicates that plain TMCS nanoparticles had a little anti-apoptotic effect by themselves. Our findings highlight the potential of the developed CL-NPs as an effective anti-cancer agent which efficiently induced apoptosis in human HepG2 hepatocarcinoma cells. Moreover, a possible role in improving response to chemotherapy has been observed through downregulation of MDR-1 gene.

Keywords: HCC; Capsaicin; Chitosan; Polymeric nanoparticles; Nano-capsaicin; MDR-1; Apoptosis

Introduction

Hepatocellular carcinoma (HCC) is considered as the third most common cause of cancer-related death worldwide [1]. Where, it accounts for over half a million deaths per year [2,3]. The high morbidity and mortality rates caused by HCC, is attributed to its chemoresistance. It has been proven that HCC displays high resistance to chemotherapeutic agents, such as adriamycin (ADM), cisplatin, 5-fluorouracil (5-FU) and doxorubicin [4,5]. The molecular mechanisms of drug resistance are complex and haven’t been fully explored. Overexpression of multidrug resistance (MDR-1) gene is a major clinical hurdle for successful chemotherapy of HCC patients [6]. Multidrug resistance protein 1 (MDR1),also known as P-glycoprotein 1 (permeability glycoprotein) or ATP-binding cassette sub-family B member 1 (ABC1), is an important protein of the cell membrane that pumps many foreign substances out of cells. It is an ATP-dependent efflux pump with broad substrate specificity. It is extensively distributed and expressed in liver cells where it pumps them into bile ducts [7]. Recently, it is proposed that MDR-1 gene expression of HCC could be modulated rendering the cancer cells more sensitive to chemotherapeutic agents [8].

Capsaicin (8-methyl N-Vanillyl-6 nonenamide) is the active component of hot peppers of the genus Capsicum [9]. Since its structure was first described by Nelson in 1919 [10], Capsaicin’s physiological and pharmacological effects have been studied along the past decades. Capsaicin has been used in pain relief, weight reduction, in addition to cardiovascular effects as a vasodilator and anti-platelet aggregation, and dermatological inflammatory-associated diseases [11-18]. Moreover, the anti-cancer effect of capsacin has been a hot area of research. In recent years, capsacin has been used in suppressing the carcinogenicity of many types of tumors as: lung, prostate, breast, gastric, pancreatic, colorectal, and osteosarcoma [19-26].

In the last decade, studies [27-34] showed that capsacin efficiently induced apoptosis in HCC cells. In spite of being studied more than once, there is no single clear mechanism by which capsacin induced apoptosis in HCC, Jung et al. showed that capsacin induced apoptosis through a caspase-3-dependant mechanism [27]. Other study showed that capsacin induced apoptosis in human hepatoma HepG2 cells through increasing intracellular calcium and reactive oxygen species (ROS) [28]. Although it was stated that human hepatoma-derived cell types exhibit a major resistance to TRAIL-induced apoptosis [35-38], Moon et al. showed that capsacin sensitizes HCC cells to TNF-related...
apoptosis-inducing ligand (TRAIL), which induces apoptosis through activating two distinct receptors, death receptor 4 (DR4) and DR5 [34].

In spite of all these uses and promising results as an anti-cancer agent, capsaicin has a low bioavailability hindering its systemic use. Capsaicin is a fat soluble substance with poor water solubility leading to short biological half-life [39]. However, no prior studies, reported the incorporation of capsaicin into a polymeric nano-carrier in order to increase its bioavailability. Incorporation of capsaicin into polymeric nano-carriers might improve its pharmacokinetics via increasing its water solubility, permeability through physiological barriers leading to improved biodistribution and therefore its bioavailability and therapeutic efficacy [40]. Moreover, the polymeric nano-carriers, either produced from natural or synthetic polymers, can be tailored to show desirable physicochemical characteristics. As a result, in this study, capsaicin-loaded TMCs (trimethyl chitosan) NPs (nanoparticles) were synthesized via ionotropic gelation of cationic TMCs in mild aqueous conditions. The TMCs has been synthesized through two-steps pathway. Firstly, dimethyl chitosan (DMCs) was obtained through formic acid- formaldehyde methylation (Eschweiler-Clarke). Then, DMCs was converted into TMCs through reaction with methyl iodide. Finally, the densely dispersed plain and capsaicin-loaded nanoparticles were investigated as potential controlled release carriers. The anti-cancer potential of capsaicin on HCC and the effect of nanoparticles delivery on capsaicin efficacy have been in vitro investigated. Furthermore, the ability of capsaicin to modulate MDR gene expression was investigated.

Materials and Methods

Materials used in preparing TMCs nanoparticles

Chitosan (average Mw of 354,000 Da, as determined by viscosity measurements in a solvent of 0.1 M acetic acid-0.2 M NaCl maintained at 25°C, and N-deacetylation of 76.3%, as measured by elemental analysis), formaldehyde (37% stabilized with methanol), N-methyl 2-pyrrolidone (NMP), sodium triphosphate pentabasic (STPP) of practical grade 90-95%, and formic acid were provided by Sigma Aldrich (St. Louis, MO). Hydrochloric acid, methanol, sodium hydroxide and all other chemicals were of analytical grade and used as received.

Preparation of Trimethyl chitosan

Trimethyl chitosan (TMCs) was prepared using two-step reaction pathway reported by Verheul et al. [41] after some modification. The first step involves the conversion of chitosan (Cs) into dimethyl chitosan (DMCs). In this step, a formic acid-formaldehyde methylation (Eschweiler-Clarke) was applied to synthesize the DMCs. The formic acid was also used to dissolve the Cs molecules. In brief, 10 g of Cs was transferred into a 0.5 liter round-bottom flask. Afterwards, 30 ml of formic acid was added followed by 40 ml of formaldehyde (37%) and 180 ml of distilled water. Then, the reaction mixture was refluxed at 70°C with stirring for 4 days. Afterwards, the viscous pale yellow solution was evaporated using rotary evaporator followed by dropwise addition of 1 M NaOH aqueous solution to increase the pH to 12 at which gel formation occurred. The resulting gel (DMCs) was washed with distilled water to remove any residuals followed by dissolving DMCs in distilled water at pH 4 adjusted through dropwise addition of 1 M HCl, filtered and dried. In the second step; DMCs has been converted into TMCs through reaction with methyl iodide in N-methyl 2-pyrrolidone (NMP) [41]. Briefly, 0.25 g of DMCs was dissolved in 35 ml distilled water and the pH was adjusted to 11 through dropwise addition of 1 M NaOH until gel formation. The gel was then washed with distilled water followed by two times with acetone. Afterwards, the DMCs was suspended in 50 ml NMP followed by addition of 2 ml of methyl iodide. The dispersion was stirred for 20 h at 40°C, and subsequently dropped in 100 ml of (1:1) ethyl alcohol/diethyl ether mixture. The resulting TMCs was collected by centrifugation, washed with diethyl ether, and dried. The dried product was dissolved in 100 ml of aqueous NaCl solution (10% v/v) with stirring for 20 h for ion-exchange. The product was then dialyzed against deionized water, filtered, dried at 45°C under vacuum and stored until further investigation.

Preparation of the TMCs nanoparticles

The TMCs NPs were prepared using ionotropic gelation technique. Typically, a predetermined weight of TMCs was dissolved in 0.03% v/v acetic acid solution to produce 0.5% w/v cationic TMCs solution. Then, a predetermined volume of 0.2% w/v STPP aqueous solution was added dropwise to the TMCs with sonication using a sonication probe (Misonix ultrasonic processor, S-4000, MisonixInc, CT, USA) for 3 min. The added STPP was 0.25% w/w relative to the TMCs. During sonication, opalescent suspension was formed spontaneously at room temperature which was further examined as the TMCs NPs. The capsaicin-loaded TMCs NPs were prepared using the same procedure but with incorporation of 15% w/w of the capsaicin.

Instrumental analysis

The particle size analysis and the surface charge of both the prepared plain TMCs NPs and capsaicin-loaded TMCs NPs were determined by Zetasizer particle analyzer ver. 7.01 (Malvern Instruments Ltd, UK), with a refractive index of 1.33 for the solvent. Prior to size analysis, all the samples were diluted with distilled water to suitable concentration. The morphology of the resulting TMCs NPs was investigated by transmission electron microscopy, TEM (JEOL TEM-1230) attached to a CCD camera at an accelerating voltage of 120 kV. The samples were prepared by placing few drops of the NPs suspension on carbon coated copper grids, followed by allowing the solvent to slowly evaporate before recording the TEM images. The approximate size of the NPs was also estimated from TEM micrographs.

Cell line and culture conditions

Human hepatocarcinoma (HepG2) cell lines were maintained at the Medical Experimental Research Center, Mansoura University. The cell culture medium was Dulbecco’s modified Eagle’s medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 100 units/ml penicillin and 100 μg/ml streptomycin. The cells were cultured at 37°C under a humidified atmosphere containing 5% CO₂.

Capsaicin administration

Cells in a 75 cm² tissue culture flask were treated with different concentration of capsaicin, plain TMCs NPs and capsaicin-loaded TMCs NPs. Each of capsaicin and plain TMCs NPs was dissolved in 70% ethanol at concentrations of (1M and 5 mM) respectively as stock solutions which were then diluted with DMEM to desired concentrations of (100, 150, 200 and 250 μM) for 48h, and control with ethanol. Cells were washed with PBS and trypsinized for collecting different aliquots for studying immunocytochemistry and molecular DNA and Hematoxylin & Eiosein stain.

Analysis of cell viability

Cell culture: HepG2 (human hepatoma) cells were harvested by trypsinization, plated (5 × 10⁴ cells/ml) in 96-well cell culture plate
coated slides were prepared and fixed immediately in 95% ethanol. The slides were incubated with a rabbit anti-human caspase-3 & BCL-2 antibodies (NeoMarker, CA, USA) for 60 minutes. Slides were then treated with UltraVision ONE HRP Polymer detection system (Thermo Fisher Scientific, CA, USA) for 30 min at room temperature; washed 4 times in PBS followed by application of diaminobenzidine (DAB) solution until color developed. The tissue sections were then counterstained with haematoxylin and mounted.

Assessment of prepared slides for caspase-3 & BCL-2: Caspase-3 & BCL-2 were expressed as cytoplasmic brown color of hepatocytes. The assessment includes determination of intensity of the staining and the number of stained cells by high power field.

Assay of expression of Bcl-2 mRNA, Bax mRNA (apoptotic markers), and MDR-1 gene mRNA by total RNA extraction and RT-PCR: Total RNA Extraction: Total RNA was extracted using the TriFast reagent (Peqlab, Germany). Reverse transcription reaction was done by using QuantiTect Reverse Transcription Kit (Qiagen). After following the protocol instructions, the reaction mixture was incubated 15 min at 42°C. Then, the reaction was terminated by heating at 95°C for 3 min. cDNA products were used for PCR. Specific gene primers used were Forward 5’-CGAGACTTCTTCGCGGGCTCCGC-3’ and Reverse 5’-CCGCATGCTGGGGCCGTACAGTTCC-3’ corresponding to a 319-bp region of Bcl-2, Forward 5’-AGACAGGGGCTTTTGGCTTC-3’ and Reverse 5’-CCCCAGTTGAAGTTGCCGTC-3’ corresponding to a 262-bp region of Bax, Forward 5’-AGGTATGATCAAAAGAGCGCCT-3’ and Reverse 5’-GGCTTAGAAACAAATTGAGAAAAG-3’ corresponding to a 243-bp region of MDR-1 and Forward 5’-GACCTGACTGACTCTCATGA-3’ and Reverse 5’-TGATCTCCTCTGGACCTGTC-3’ corresponding to a 402-bp region of B-actin. PCR was done by adding 25 µl of DreamTaq™ Green PCR Master Mix (2X) (Fermentas Life Sciences, U.S.A) to 5 µl template cDNA, 1.0 µM forward primer, 1.0 µM reverse primer, and nuclease free water up to 50 µl. Initial denaturation was done for 5 min at 95°C. The cycling conditions (40 cycles) were as following: (a) 30 s at 95°C, (b) 30 s at 58°C for Bax and MDR-1 and 30 s at 60°C for Bcl-2 and B-actin, and (c) 1 min at 72°C with a subsequent 7 min extension at 72°C. The reaction products were analyzed on 1.5% agarose gels. Ethidium bromide was used to visualize the bands.

Results

Formation of TMCS NPs

In the present study, densely dispersed plain and capsaicin-loaded NPs were developed and investigated as potential controlled release carriers for efficient cancer treatment. The NPs were obtained via ionotropic gelation of cationic TMCS in mild aqueous conditions. The TMCS have been synthesized through two-steps pathway (Scheme 1). Firstly, DMCs was obtained through formic acid-formaldehyde methylation (Eschweiler-Clarke). Then, DMCs was converted into TMCS through reaction with methyl iodide.

The diameters of the developed plain and capsaicin-loaded TMCS NPs were found to be 77.3 ± 18.7 nm and 294.3 ± 53.3 nm, respectively as determined by Malvern Zetasizer particle analyzer as shown in Figure 1. This size of the prepared plain and capsaicin-loaded TMCS NPs was also confirmed from the TEM analysis.

The zeta potential of the plain and capsaicin-loaded TMCS NPs was found to be 42.1 and 32.2 mV, respectively as illustrated in Figure 2.
These high zeta potential values (>30 mV) in both plain and capsaicin-loaded NPs are desirable as they indicate presence of electric repulsion between NPs and consequently prevent particles' aggregation.

Figure 3 demonstrates the transmission electron micrographs (TEM) of both plain and capsaicin-loaded NPs. As apparent from the figure, it is evident that the developed nanoparticles demonstrated almost spherical, dense, and integrated structure with an average diameter between 77.3 ± 18.7 nm and 294.3 ± 53.3 nm according to their composition.

Overall cell activity - MTT assay

To examine and compare the effects of capsaicin and capsaicin-loaded TMCS NPs on the growth of HepG2 hepatocarcinoma cells, a dose response MTT study was conducted. Treatment with capsaicin for 48 h inhibited growth of HepG2 cells in a concentration-dependent manner. It is calculated IC50 value was approximately 54.5 μM. Nano-capsaicin was found to be much more potent inhibitor for HepG2 cells growth with IC50 value equals 0.48 μM. The plain TMCS NPs showed a growth inhibitory effect (IC50 value equals 160.06 μM) (Figure 4).

DNA fragmentation assay

DNA fragmentation assay was carried out to determine the mechanism of growth inhibition in HepG2 cells. It was found that HepG2 cells showed DNA fragmentation pattern, a characteristic of apoptosis, after treatment with capsaicin and capsaicin-loaded NPs at concentration of 100 μM for 72 h and 24 h respectively. Difference between capsaicin and capsaicin-loaded NPs is poorly defined. In addition, plain NPs showed some DNA fragmentation activity. These results demonstrate that capsaicin and capsaicin-loaded NPs induced apoptosis in HepG2 hepatocellular carcinoma cells (Figure 5).

Immunocytochemistry for apoptotic and anti-apoptotic markers (caspase-3 and BCL-2)

Immunocytochemistry assay showed that, in comparison with the control group, treatment with either plain nanoparticles or capsaicin lead to reduction of the anti-apoptotic activity of the cancerous cells through decreasing the expression of BCL-2, in addition to increasing...
Gene expression studies

Bcl-2 and Bax genes expression: Gene expression studies were done to confirm apoptosis induction in HepG2 cells by capsaicin and capsaicin-loaded NPs and explain the underlying molecular mechanisms. Bcl-2 and Bax genes expression was investigated by RT-PCR. HepG2 cells treated with 100 µM capsaicin for 72 h showed decreased expression of the anti-apoptotic gene Bcl-2 whereas the expression of the death-promoting gene Bax was upregulated. Capsaicin-loaded NPs (100 µM for 24h) also down regulated Bcl-2, but not to a much more extent than capsaicin. Upregulation of Bax by capsaicin-loaded NPs was markedly higher than that caused by capsaicin (Figures 7 and 8).

Figure 5: DNA fragmentation pattern in HepG2 cells treated with (A) 100 µM capsaicin for 72 h; (B) 100 µM capsaicin-loaded NPs (nanocapsaicin) for 24 h. The extracted DNA was analyzed electrophoretically on 1.5% agarose gel.

Figure 6: (A) Capsaicin group showing mild positive cytoplasmic reaction in 6/100 tumor cells (BCL-2 IHC, 400x). (B) Capsaicin group showing mild positive cytoplasmic reaction in 5/100 tumor cells (Caspase IHC, 400x). (C) Plain NPs group showing mild positive cytoplasmic reaction in 2/100 tumor cells (BCL-2 IHC, 400x). (D) Plain NPs group showing mild positive cytoplasmic reaction in 3/100 tumor cells (Caspase IHC, 400x). (E) Nano-capsaicin (CL-NPs) group showing necrosis (400 x). (F) Control group showing moderate positive cytoplasmic reaction in about 10/100 of the tumor cells (BCL-2 IHC, 400x).

Figure 7: Capsaicin (cap) and capsaicin-loaded NPs (nano-capsaicin) inhibited Bcl-2 transcription. HepG2 cells treated with 100 µM capsaicin for 72 h and 100 µM capsaicin-loaded NPs for 24 h. Total RNA was isolated, and RT-PCR analyses of Bcl-2 and B-actin were performed. Relative band density was quantified and plotted.

Figure 8: Capsaicin (cap) and CL-NPs (nanocap) activate Bax transcription. HepG2 cells treated with 100 µM capsaicin for 72 h and 100 µM capsaicin-loaded NPs for 24 h. Total RNA was isolated, and RT-PCR analyses of Bax and B-actin were performed. Relative band density was quantified and plotted.
Moreover, our results demonstrate that anti-proliferative property of capsaicin and nano-capsaicin (100 μM) is principally due to apoptosis induction as evidenced by the characteristic apoptotic DNA fragmentation pattern that was relatively no more prominent in cells treated with nano-capsaicin. Previous study reported that capsaicin induced internucleosomal degradation of DNA, a characteristic of apoptosis. Treatment with 200 μM capsaicin induced nucleosomal DNA fragmentation in SK-Hep-1 cells [27].

Apoptosis starts by translocation of cytochrome c, a respiratory chain protein, from mitochondria to cytoplasm where it activates Caspase-3 which triggers the first proteolytic events of apoptosis [45,46]. Furthermore, disturbance in the balance between Bcl-2, anti-apoptotic protein, and Bax, pro-apoptotic protein, contributes strongly to apoptosis induction. Bcl-2 prevents activation of caspase by blocking release of cytochrome c from mitochondria and thus leads to apoptosis inhibition. On the other hand, Bax enhances apoptosis by accelerating the pro-apoptotic events through inhibition of Bcl-2 action [46,47]. Both Bax protein and its mRNA levels were up-regulated in cells undergoing apoptosis [48]. Moreover, Bax overexpression has been demonstrated to accelerate apoptotic cell death [49,50].

In this study, results show that capsaicin and nano-capsaicin decreased expression of Bcl-2 and markedly increased expression of Bax. This may explain apoptosis induction in HepG2 cells by capsaicin and nano-capsaicin. Our results correlate well with that reported by Huang et al. [28] in which capsaicin significantly increased the level of Bax protein, while the Bcl-2 protein levels were decreased after the cells were treated with 100 or 200 μM capsaicin. Macho et al. [51] demonstrated that Bcl-2 overexpression prevented capsaicin induced apoptosis although they did not observe any major change in the expression of Bcl-2 upon capsaicin treatment. In this study, nano-capsaicin has an augmented effect on both Bcl-2 and Bax expression than capsaicin. This difference in effect between capsaicin and nano-capsaicin was more prominent on Bax expression suggesting that nano-capsaicin is acting more strongly to induce apoptosis in HepG2 cells. Induction of apoptosis is confirmed by the results of immunocytochemistry which showed that capsaicin and plain TMCS nanoparticles lead to both decrease of the anti-apoptotic activity of the HepG2 cells through decreasing the expression of BCL-2 and increase the apoptotic activity by higher expression of caspase-3.

High resistance rate of HCC to chemotherapeutic agents represents a major medical problem. Multidrug resistance gene (MDR-1) overexpression has been claimed for unsuccessful chemotherapy of HCC [6]. Overexpression of MDR1 has been reported in some cell lines derived from human hepatocellular carcinomas (HCC) and hepatoblastomas (HB) [52]. Moreover, it has been identified that MDR1 gene product, P-glycoprotein, functions as energy-dependent efflux pumps to reduce intracellular drug levels [7]. In this study, the effects of capsaicin and nano-capsaicin on MDR expression were investigated and the results observed were very promising. MDR gene expression was reduced by both capsaicin and nano-capsaicin in HepG2 cells. However, nano-capsaicin has nearly similar reducing effect to that of capsaicin. These results suggested that capsaicin and nano-capsaicin could modify the level of MDR gene expression, and thus hepatocarcinoma cell response to chemotherapy may be improved.

From the previously mentioned results of this study, capsaicin and nanocapsaicin show a chemopreventive action against HepG2 hepatocarcinoma cells as both could induce apoptosis in these cells. Nanocapsaicin (CL-NPs) was more efficient than capsaicin in altering biological and molecular features of HepG2 cells including...
growth inhibition, downregulation of Bcl-2 and upregulation of Bax. Furthermore, capsaicin and nano-capsaicin were effective in downregulating MDR suggesting for a possible role in improving response to chemotherapy and raising the cure rate of hepatocellular carcinoma. The relative higher potency of nano-capsaicin observed in this study could be due to differences in properties between capsaicin and its incorporation into the polymeric trimethyl-chitosan nanoparticles. Limitation of these results is caused by the small sample size. Further research is needed on a larger sample size to compare the structure-bioactivity relationship between capsaicin and nano-capsaicin on HCC. This could contribute to the discovery of a new method for the treatment of liver cancer that may overcome drawbacks in the currently available therapies.

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