Effects of stabilization exercises with a Swiss ball on neck-shoulder pain and mobility of adults with prolonged exposure to VDTs

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Abstract. [Purpose] This study compared the effects on neck-shoulder pain and mobility of strengthening exercises for the neck flexors and scapular retractors performed on a Swiss ball and a mat. [Subjects] Twenty student volunteers were the subjects. [Methods] The students were randomly assigned to two groups: Mat group (n=10), and Swiss ball group (n=10). At pre-test, post-test, and 1-week follow-up pain was assessed using the visual analogue scale (VAS), the pain pressure threshold (PPT) of the shoulder was measured with an algometer, and neck mobility was measured with a Zebris. [Results] The data analysis revealed that there was a significant decrease in pain and significant increase in neck flexion in both groups, and the Swiss ball group showed better results. [Conclusion] Strengthening the neck flexors and scapular retractors for stabilization of the neck using exercises on a Swiss ball was more effective at reducing the pain and stabilizing the neck than mat exercises.

Key words: Forward head posture, Visual display terminal syndrome, Swiss ball

INTRODUCTION

In 2012, the Korea Internet Security Agency, KISA, reported that over 50% of the population of Korea are using smart phones, which were introduced in 2009, with an average usage of 2.7 hours per day¹. There are large numbers of workers employed in tasks involving the use of video display terminals (VDTs), which are associated with musculoskeletal and skin problems, eye discomfort, fatigue, and stress². Computer related neck and upper extremity pain has been reported among college and graduate students over the last ten years³. While using a VDT, static sitting postures increase muscle tension, resulting in pain, numbness, loss of function, and a variety of neuromuscular symptoms, most often in the upper body⁴. A forward head posture (FHP) is commonly adopted by VDT users⁵, ⁶, and approximately 60% of individuals with neck pain had FHP or significantly increased FHP as a result of using computer for more than 2 hours a day⁷, ⁸. FHP and trunk flexion may gradually develop into a fixed postural habit when workers use a VDT⁹, and may also affect normal shoulder elevation, as elevation of the upper extremity requires the same amount of cervical spine extension¹⁰.

FHP is defined as the external auditory meatus being anterior to the acromion process¹⁰. Support of the cervical segments is provided by the muscular sleeve formed by the longus colli, which has a major postural function in supporting and straightening cervical lordosis; the longus capitis which attaches to the cranium and anteriorly spans the upper cervical motion segments and the craniovertebral (CV) region; and the semispinalis cervicis and cervical multifidus, suboccipital extensor, semispinalis, and splenius capitis muscles which span the CVregion posteriorly¹¹. Weak neck flexors and high density muscle spindles reduce the ability to maintain an upright posture and cervical posture. A computer model showed regions of local segmental instability when the large superficial muscles of the neck were stimulated to produce movement, particularly in the near-upright and neutral postures⁹, ¹². Weakness of the scapular retractors, middle-lower trapezius, and rhomboids, causes increased scapular abduction during relaxed standing¹³.

There are various self-correction exercises like chin-tuck, for strengthening the neck flexors and stretching neck extensors, and improving the endurance and tone of the cervical muscles¹³. Thoracic manipulation is also effective at reducing neck pain, improving dysfunction, neck posture, and neck ROM of patients with chronic mechanical neck pain¹⁴. However, only a few studies have used a Swiss ball, which has many benefits such as allowing free weight resistance exercises, neuromuscular demands on the whole body for motor coordination and facilitate on of multi-angle resistance training which elicits greater ROM⁸, ¹⁵, for the neck
stabilization. Moreover, none have compared the effects on neck support of Swiss ball and mat exercises.

Therefore, the purpose of this study was to investigate the effects on shoulder pain and neck mobility of strengthening neck flexors and scapular retractors using a Swiss ball to provide useful clinical guidelines for reducing pain or increasing cervical ROM, in comparison with mat exercises.

SUBJECTS AND METHODS

One-hundred thirty-seven university students answered 17 questions about VDT syndrome and 20 university students (4 males, 16 females) aged 21 to 23 years participated in this study. The subjects had experienced VDT syndrome but had not received treatment for it and didn’t do any regular exercise. All participants signed a written informed consent form approved by the Institutional Review Board of the Catholic University of Daegu. After selection, the subjects were randomly and equally allocated to one of two groups: a Swiss ball group which performed strengthening exercises for the neck flexors and scapular retractors, and a mat group which performed the same exercises on a mat. The study was conducted on college premises and the exercises were performed under the supervision of an instructor. The subjects were asked not to receive any specific intervention for neck-shoulder pain.

For strengthening the neck flexors, subjects lay supine with the head up and chin-tuck. Both hands were placed on the abdomen. The mat group placed a towel on the middle of the thorax to reduce abdominal muscle tension. For strengthening the scapular retractors, the subjects lay prone with the thorax to reduce abdominal muscle tension. Both hands were placed on the head up and chin-tuck. Both hands were placed on the upper back.

The purpose of this study was to determine the effects on neck-shoulder pain and mobility of exercises using a Swiss ball for strengthening the neck flexors and scapular retractors, and neck and scapular stabilizers performed by young adults who had prolonged daily exposure to VDTs.

The results were accepted as significant for p<0.05. VAS significantly decreased with time (p<0.05) in all the left and right upper trapezius, especially at follow-up (p<0.05) on the right side, and at post-test (p<0.05) on the left side. Both sides showed no interaction within the groups (p>0.05, Table 1). Algometer pain showed a significant time effect (p<0.05) for right upper trapezius and a group effect (p<0.05) for the left upper trapezius. There was no interaction within groups (p>0.05, Table 2). Neck flexion significantly increased with time too (p<0.05), especially at follow-up (p<0.05). There was no interaction within the groups (p>0.05, Table 3).

Table 1. Comparison of VAS of the right/left upper trapezius by repeated measures ANOVA

|            | Pretest | Post test | Follow-up | Source      |
|------------|---------|-----------|-----------|-------------|
| RUP (Mean±SD) |         |           |           |             |
| Ball       | 3.50±1.08 | 2.35±1.63 | 1.80±1.03 | Time**      |
| Mat        | 3.60±1.42 | 3.35±0.81 | 2.80±1.13 | Time* Goup  |
| LUP (Mean±SD) |         |           |           |             |
| Ball       | 4.05±1.46 | 2.25±1.62 | 2.00±1.05 | Time**      |
| Mat        | 4.00±1.49 | 3.50±0.85 | 3.50±1.26 | Time* Goup  |

*p<0.05, **p<0.01; RUP: right upper trapezius; LUP: left upper trapezius

RESULTS

There were 20 subjects, 2 males and 8 females, in each group. Subjects mean ages, heights, and weights were 21.8±1.1 years, 167.6±8.9 cm, 60.0±14.6 kg in the Swiss ball group, and 21.8±1.9 years, 167.7±8.3 cm, 58.4±11.6 kg in the mat group. Subject characteristics were homogeneous at baseline (All p>0.05).

VAS significantly decreased with time (p<0.05) in both the left and right upper trapezius, especially at follow-up (p<0.05) on the right side, and at post-test (p<0.05) on the left side. Both sides showed no interaction within the groups (p>0.05, Table 1). Algometer pain showed a significant time effect (p<0.05) for right upper trapezius and a group effect (p<0.05) for the left upper trapezius. There was no interaction within groups (p>0.05, Table 2). Neck flexion significantly increased with time too (p<0.05), especially at follow-up (p<0.05). There was no interaction within the groups (p>0.05, Table 3).

DISCUSSION

The purpose of this study was to determine the effects on neck-shoulder pain and mobility of exercises using a Swiss ball for strengthening the neck flexors and scapular retractors, and neck and scapular stabilizers performed by young adults who had prolonged daily exposure to VDTs.

The results for the upper trapezius VAS show that the Swiss ball group had significantly decreased right upper trapezius pain at the post-test (p<0.05), and left upper trapezius pain at the post-test (p<0.05, Table 1). In previous studies of neck stabilization exercises using the hold-relax technique for the upper trapezius, levator scapula, scalenus, and suboccipitals, VAS decreased significantly from 3.35 to 1.65 (p<0.001) for the neck, and from 4.55 to 2.05 for the shoulder (p<0.001), and the pain pressure threshold (PPT) of the four muscles increased (p<0.001), but ROM did not differ. In core stability programs using a Swiss ball, Thera-bands, and a mat, The thera-band group showed the most reduced VAS followed by the Swiss ball group, and the mat...
group\(^8\)). Cranio-cervical flexor and thoracic mobilization was effective at reducing VAS\(^9\). Chin tucks, chest stretch, wall stretch, on your back chest stretch, axial extension with neck isometrics, wall angels, Bruegger exercise, dead bug, quadruped, upper back cat performed 4 times a week for 8 weeks results in significant changes in the CV angle (p<0.001), cervical ROM (p<0.001) and PPT (p<0.001) \(^2\). Patients with small CV angle and neck lateral flexion and extension, and significantly in the follow-up (p<0.05, Table 3), and significantly decreased neck flexion for stabilization of the neck. Exercises on a Swiss ball, which also and in this study didn’t start exercise in the stretched position which can increase ROM on the Swiss ball.

This stretched position can’t be worked while lying on a flat, horizontal surface. It is claimed that the effect of the pre-stretch and potential strength development in the stretched position can enhance the effectiveness of the exercise and make the task more functionally useful to athletes. The present study didn’t start exercise in the stretched position which can increase ROM on the Swiss ball.

This study was limited to eight training sessions, and ROM was not compared between the Swiss ball and mat exercises groups. Also, the CV angle was not measured so we could not determine if there was any improvement in FHP.

The results of this study show that pain was significantly decreased by exercises on a Swiss ball, which also and increased neck flexion for stabilization of the neck. Exercises on a Swiss ball, which has a small base of support, should be used for reducing pain and stabilization of the neck, and exercises on a mat for increasing ROM. The Swiss ball and mat could be used selectively either for training or the purpose of the treatment. Further study should compare the motions of both Swiss ball and mat exercises and investigate the neurophysiological benefits of the former.

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### Table 2. Comparison of the pain pressure threshold of the right/left upper trapezius by repeated measures ANOVA

| Source | Group | Pretest | Post test | Follow-up | Source |
|--------|-------|---------|-----------|-----------|--------|
| RUP (Mean±SD) | Ball | 1.74±0.67 | 0.90±0.39 | 1.46±0.66 | Time* |
|         | Mat  | 1.70±0.41 | 1.04±0.35 | 1.36±0.47 | Time* |
| LUP (Mean±SD) | Ball | 1.63±0.43 | 1.21±0.39 | 1.47±0.55 | Time |
|         | Mat  | 1.35±0.35 | 0.89±0.38 | 1.11±0.20 | Time* |

\(p<0.05, \quad \text{**} p<0.01; \text{RUP: right upper trapezius; LUP: left upper trapezius}\)

### Table 3. Comparison of neck flexion by repeated measures ANOVA

| Source | Group | Pretest | Post test | Follow-up | Group |
|--------|-------|---------|-----------|-----------|-------|
| Flexion (Mean±SD) | Ball | 69.80±9.67 | 61.90±11.85 | 70.80±11.15 | Time** |
|         | Mat  | 57.40±12.58 | 57.90±11.19 | 60.90±9.95 | Time* |

\(p<0.05, \quad \text{**} p<0.01\)
neck and shoulder kinematics. Man Ther, 2005, 10: 281–291. [Medline] [CrossRef]
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