Summarizing Decisions in Spoken Meetings

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Abstract

This paper addresses the problem of summarizing decisions in spoken meetings: our goal is to produce a concise decision abstract for each meeting decision. We explore and compare token-level and dialogue act-level automatic summarization methods using both unsupervised and supervised learning frameworks. In the supervised summarization setting, and given true clusterings of decision-related utterances, we find that token-level summaries that employ discourse context can approach an upper bound for decision abstracts derived directly from dialogue acts. In the unsupervised summarization setting, we find that summaries based on unsupervised partitioning of decision-related utterances perform comparably to those based on partitions generated using supervised techniques (0.22 ROUGE-F1 using LDA-based topic models vs. 0.23 using SVMs).

1 Introduction

Meetings are a common way for people to share information and discuss problems. And an effective meeting always leads to concrete decisions. As a result, it would be useful to develop automatic methods that summarize not the entire meeting dialogue, but just the important decisions made. In particular, decision summaries would allow participants to review decisions from previous meetings as they prepare for an upcoming meeting. For those who did not participate in the earlier meetings, decision summaries might provide one type of efficient overview of the meeting contents. For managers, decision summaries could act as a concise record of the idea generation process.

While there has been some previous work in summarizing meetings and conversations, very little work has focused on decision summarization: Fernández et al. (2008a) and Bui et al. (2009) investigate the use of a semantic parser and machine learning methods for phrase- and token-level decision summarization. We believe our work is the first to explore and compare token-level and dialogue act-level approaches — using both unsupervised and supervised learning methods — for summarizing decisions in meetings.

C: Just spinning and not scrolling , I would say . (1)
C: But if you’ve got a [disfmarker] if you’ve got a flipped thing , effectively it’s something that’s curved on one side and flat on the other side , but you folded it in half . (2)
D: the case would be rubber and the the buttons , (3)
B: I think the spinning wheel is definitely very now . (1)
B: and then make the colour of the main remote [vocal-sound] the colour like vegetable colours , do you know ? (4)
B: I mean I suppose vegetable colours would be orange and green and some reds and um maybe purple (4)
A: but since LCDs seems to be uh a definite yes , (1)
A: Flat on the top . (2)

Decision Abstracts (Summary)
DECISION 1: The remote will have an LCD and spinning wheel inside.
DECISION 2: The case will be flat on top and curved on the bottom.
DECISION 3: The remote control and its buttons will be made of rubber.
DECISION 4: The remote will resemble a vegetable and be in bright vegetable colors.

Table 1: A clip of a meeting from the AMI meeting corpus (Carletta et al., 2005). A, B, C and D refer to distinct speakers; the numbers in parentheses indicate the associated meeting decision: DECISION 1, 2, 3 or 4. Also shown is the gold-standard (manual) abstract (summary) for each decision.
Consider the sample dialogue snippet in Table 1, which is part of the AMI meeting corpus (Carletta et al., 2005). The Table lists only decision-related dialogue acts (DRDAs) — utterances associated with at least one decision made in the meeting. The DRDAs are ordered by time; intervening utterances are not shown. DRDAs are important because they contain critical information for decision summary construction.

Table 1 clearly shows some challenges for decision summarization for spoken meetings beyond the disfluencies, high word error rates, absence of punctuation, interruptions and hesitations due to speech. First, different decisions can be discussed more or less concurrently; as a result, the utterances associated with a single decision are not contiguous in the dialogue. In Table 1, the dialogue acts (henceforth, DAs) concerning DECISION 1, for example, are interleaved with DAs for other decisions. Second, some decision-related DAs contribute more than others to the associated decision. In composing the summary for DECISION 1, for example, we might safely ignore the first DA for DECISION 1. Finally, more so than for standard text summarization, purely extract-based summaries are not likely to be easily interpretable: DRDAs often contain text that is irrelevant to the decision and many will only be understandable if analyzed in the context of the surrounding utterances.

In this paper, we study methods for decision summarization for spoken meetings. We assume that all decision-related DAs have been identified and aim to produce a summary for the meeting in the form of concise decision abstracts (see Table 1), one for each decision made. In response to the challenges described above, we propose a summarization framework that includes:

**Clustering of decision-related DAs.** Here we aim to partition the decision-related utterances (DRDAs) according to the decisions each supports. This step is similar in spirit to many standard text summarization techniques (Salton et al., 1997) that begin by grouping sentences according to semantic similarity.

**Summarization at the DA-level.** We select just the important DRDAs in each cluster. Our goal is to eliminate redundant and less informative utterances. The selected DRDAs are then concatenated to form the decision summary.

**Optional token-level summarization of the selected DRDAs.** Methods are employed to capture concisely the gist of each decision, discarding any distracting text.

**Incorporation of the discourse context as needed.**

We hypothesize that this will produce more interpretable summaries.

More specifically, we compare both unsupervised (TFIDF (Salton et al., 1997) and LDA topic modeling (Blei et al., 2003)) and (pairwise) supervised clustering procedures (using SVMs and MaxEnt) for partitioning DRDAs according to the decision each supports. We also investigate unsupervised methods and supervised learning for decision summarization at both the DA and token level, with and without the incorporation of discourse context. During training, the supervised decision summarizers are told which DRDAs for each decision are the most informative for constructing the decision abstract.

Our experiments employ the aforementioned AMI meeting corpus: we compare our decision summaries to the manually generated decision abstracts for each meeting and evaluate performance using the ROUGE-1 (Lin and Hovy, 2003) text summarization evaluation metric.

In the supervised summarization setting, our experiments demonstrate that with true clusterings of decision-related DAs, token-level summaries that employ limited discourse context can approach an upper bound for summaries extracted directly from DRDAs — 0.4387 ROUGE-F1 vs. 0.5333. When using system-generated DRDA clusterings, the DA-level summaries always dominate token-level methods in terms of performance.

For the unsupervised summarization setting, we investigate the use of both unsupervised and supervised methods for the initial DRDA clustering step. We find that summaries based on unsupervised clusterings perform comparably to those generated using supervised techniques (0.2214 ROUGE-F1 using LDA-based topic models vs. 0.2349 using SVMs). As in the supervised summarization setting, we observe that including additional discourse context boosts performance only for token-level summaries.

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1These are similar, but not completely equivalent, to the decision dialogue acts (DDAs) of Bui et al. (2009), Fernández et al. (2008a), Frampton et al. (2009). The latter refer to all DAs that appear in a decision discussion even if they do NOT support any particular decision.

2The upper bound measures the vocabulary overlap of each gold-standard decision summary with the complete text of all of its associated DRDAs.
2 Related Work

There exists much previous research on automatic text summarization using corpus-based, knowledge-based or statistical methods (Mani, 1999; Marcu, 2000). Dialogue summarization methods, however, generally try to account for the special characteristics of speech. Among early work in this subarea, Zechner (2002) investigates speech summarization based on maximal marginal relevance (MMR) and cross-speaker linking of information. Popular supervised methods for summarizing speech — including maximum entropy, conditional random fields (CRFs), and support vector machines (SVMs) — are investigated in Buist et al. (2004), Xie et al. (2008) and Galley (2006). Techniques for determining semantic similarity are used for selecting relevant utterances in Gurevych and Strube (2004).

Studies in Banerjee et al. (2005) show that decisions are considered to be one of the most important outputs of meetings. And in recent years, there has been much research on detecting decision-related DAs. Hsueh and Moore (2008), for example, propose maximum entropy classification techniques to identify DRDAs in meetings; Fernández et al. (2008b) develop a model of decision-making dialogue structure and detect decision DAs based on it; and Frampton et al. (2009) implement a real-time decision detection system.

Fernández et al. (2008a) and Bui et al. (2009), however, might be the most relevant previous work to ours. The systems in both papers run an open-domain semantic parser on meeting transcriptions to produce multiple short fragments, and then employ machine learning methods to select the phrases or words that comprise the decision summary. Although their task is also decision summarization, their gold-standard summaries consist of manually annotated words from the meeting while we judge performance using manually constructed decision abstracts as the gold standard. The latter are more readable, but often use a vocabulary different from that of the associated decision-related utterances in the meeting.

Our work differs from all of the above in that we (1) incorporate a clustering step to partition DRDAs according to the decision each supports; (2) generate decision summaries at both the DA- and token-level; and (3) investigate the role of discourse context for decision summarization.

In the following sections, we investigate methods for clustering DRDAs (Section 3) and generating DA-level and token-level decision summaries (Section 4). In each case, we evaluate the methods using the AMI meeting corpus.

3 Clustering Decision-Related Dialogue Acts

We design a preprocessing step that facilitates decision summarization by clustering all of the decision-related dialogue acts according to the decision(s) it supports. Because it is not clear how many decisions are made in a meeting, we use a hierarchical agglomerative clustering algorithm (rather than techniques that require a priori knowledge of the number of clusters) and choose the proper stopping conditions. In particular, we employ average-link methods: at each iteration, we merge the two clusters with the maximum average pairwise similarity among their DRDAs. In the following subsections, we introduce unsupervised and supervised methods for measuring the pairwise DRDA similarity.

3.1 DRDA Similarity: Unsupervised Methods

We consider two unsupervised similarity measures — one based on the TF-IDF score from the Information Retrieval research community, and a second based on Latent Dirichlet Allocation topic models.

TF-IDF similarity. TF-IDF similarity metrics have worked well as a measure of document similarity. As a result, we employ it as one metric for measuring the similarity of two DRDAs. Suppose there are \( L \) distinct word types in the corpus. We treat each decision-related dialogue act \( DA_i \) as a document, and represent it as an \( L \)-dimensional feature vector \( \vec{FV}_i = (x_{i1}, x_{i2}, ..., x_{iL}) \), where \( x_{ik} \) is word \( w_k \)'s \( tf \cdot idf \) score for \( DA_i \). Then the (average-link) similarity of cluster \( C_m \) and cluster \( C_n \), \( Sim_{TFIDF}(C_m, C_n) \), is defined as :

\[
\frac{1}{|C_m| \cdot |C_n|} \sum_{DA_i \in C_m, DA_j \in C_n} \frac{\vec{FV}_i \cdot \vec{FV}_j}{|| \vec{FV}_i || || \vec{FV}_j ||}
\]

LDA topic models. In recent years, topic models have become a popular technique for discovering the latent structure of “topics” or “concepts” in a corpus. Here we use the Latent Dirichlet Allocation (LDA) topic models of Blei et al. (2003) — unsuper-
Table 2: Features for Pairwise Supervised Clustering

| Features                                                        |
|----------------------------------------------------------------|
| number of overlapping words                                    |
| proportion of the number of overlapping words to the length of shorter DA |
| TF-IDF similarity                                               |
| whether the DAs are in an adjacency pair (see 4.3)              |
| time difference of pairwise DAs                                 |
| relative dialogue position of pairwise DAs                      |
| whether the two DAs have the same DA type                       |
| number of overlapping words in the contexts (see 4.2)           |

3 We cannot easily associate each topic with a decision because the number of decisions is not known a priori.

4 Parameter estimation and inference done by GibbsLDA++.

5 Here is the definition for the relative position of pairwise DAs. Suppose there are N DAs in one meeting ordered by time, have the same DA type.

We employ Support Vector Machines (SVMs) and Maximum Entropy (MaxEnt) as our learning methods, because SVMs are shown to be effective in text categorization (Joachims, 1998) and MaxEnt has been applied in many natural language processing tasks (Berger et al., 1996). Given an $\overrightarrow{FV}_{ij}$, for SVMs, we utilize the decision value of $w^T \cdot \overrightarrow{FV}_{ij} + b$ as the similarity, where $w$ is the weight vector and $b$ is the bias. For MaxEnt, we make use of the probability of $P(\text{SameDecision} | \overrightarrow{FV}_{ij})$ as the similarity value.

3.3 Experiments

Corpus. We use the AMI meeting Corpus (Carella et al., 2005), a freely available corpus of multi-party meetings that contains a wide range of annotations. The 129 scenario-driven meetings involve four participants playing different roles on a design team. A short (usually one-sentence) abstract is included that describes each decision, action, or problem discussed in the meeting; and each DA is linked to the abstracts it supports. We use the manually constructed decision abstracts as gold-standard summaries and assume that all decision-related DAs have been identified (but not linked to the decision(s) it supports).

Baselines. Two clustering baselines are utilized for comparison. One baseline places all decision-related DAs for the meeting into a single partition (ALLINONEGROUP). The second uses the text segmentation software of Choi (2000) to partition the decision-related DAs (ordered according to time) into several topic-based groups (CHOISSEGMENT).

Experimental Setup and Evaluation. Results for pairwise supervised clustering were obtained using 3-fold cross-validation. In the current work, stopping conditions for hierarchical agglomerative clustering are selected manually: For the TF-IDF and topic model approaches, we stop when the similarity measure reaches 0.035 and 0.015, respectively; For the SVM and MaxEnt versions, we use 0 and 0.45, respectively. We use the Mallet implementation for MaxEnt and the SVMlight implementation of SVMs.

Our evaluation metrics include $b^2$ (also called B-cubed) (Bagga and Baldwin, 1998), which is a com-
mon measure employed in noun phrase coreference resolution research; a pairwise scorer that measures correctness for every pair of DRDAs; and a variation of information (VOI) scorer (Meila, 2007), which measures the difference between the distributions of the true clustering and system generated clustering. As space is limited, we refer the readers to the original papers for more details. For $b^3$ scorer and pairwise scorer, higher results represent better performance; for VOI, lower is better.

Results. The results in Table 3 show first that all of the proposed clustering methods outperform the baselines. Among the unsupervised methods, the LDA topic modeling is preferred to TFIDF. For the supervised methods, SVMs and MaxEnt produce comparable results.

4 Decision Summarization

In this section, we turn to decision summarization — extracting a short description of each decision based on the decision-related DAs in each cluster. We investigate options for constructing an extract-based summary that consists of a single DRDA and an abstract-based summary comprised of keywords that describe the decision. For both types of summary, we employ standard techniques from text summarization, but also explore the use of dialogue-specific features and the use of discourse context.

4.1 DA-Level Summarization Based on Unsupervised Methods

We make use of two unsupervised methods to summarize the DRDAs in each “decision cluster”. The first method simply returns the longest DRDA in the cluster as the summary (LONGEST DA). The second approach returns the decision cluster prototype, i.e., the DRDA with the largest TF-IDF similarity with the cluster centroid (PROTOTYPE DA). Although important decision-related information may be spread over multiple DRDAs, both unsupervised methods allow us to determine summary quality when summaries are restricted to a single utterance.

4.2 DA-Level and Token-Level Summarization Using Supervised Learning

Because the AMI corpus contains a decision abstract for each decision made in the meeting, we can use this supervisory information to train classifiers that can identify informative DRDAs (for DA-level summaries) or informative tokens (for token-level summaries).

|                       | B-cubed   |          |          | Precision | Recall | F1     |          |          |          |
|-----------------------|-----------|----------|----------|-----------|--------|--------|----------|----------|----------|
| Baselines             |           |          |          |           |        |        |          |          |          |
| AllInOneGroup         | 0.2854    | 1.0000   | 0.4441   | 0.1823    | 1.0000 | 0.3083 | 2.2279   |          |          |
| ChoiSegment           | 0.4235    | 0.9657   | 0.5888   | 0.2390    | 0.8493 | 0.3730 | 1.8061   |          |          |
| Unsupervised Methods  |           |          |          |           |        |        |          |          |          |
| TFIDF                 | 0.6840    | 0.6686   | 0.6762   | 0.3281    | 0.3004 | 0.3137 | 1.6604   |          |          |
| LDA topic models      | 0.8265    | 0.6432   | **0.7235** | 0.4588    | 0.2980 | **0.3613** | 1.4203   |          |          |
| Pairwise Supervised Methods |     |          |          |           |        |        |          |          |          |
| SVM                   | 0.7593    | 0.7466   | **0.7529** | 0.5474    | 0.4821 | 0.5127 | **1.2239** |          |          |
| MaxEnt                | 0.6999    | 0.7948   | 0.7443   | 0.4858    | 0.5704 | **0.5247** | 1.2726   |          |          |

Table 3: Results for Clustering Decision-Related DAs According to the Decision Each Supports

Lexical Features
- unigram/bigram
- length of the DA
- contain digits?
- has overlapping words with next DA?
- next DA is a positive feedback?

Structural Features
- relative position in the meeting? (beginning, ending, or else) in an AP?
- if in an AP, AP type
- if in an AP, the other part is decision-related?
- if in an AP, is the source part or target part?
- if in an AP and is source part, target is positive feedback?
- if in an AP and is target part, source is a question?

Discourse Features
- relative position to “WRAP UP” or “RECAP”

Other Features
- DA type
- speaker role
- topic

Table 4: Features Used in DA-Level Summarization

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6The MUC scorer is popular in coreference evaluation, but it is flawed in measuring the singleton clusters which is prevalent in the AMI corpus. So we do not use it in this work.
Table 5: Features Used in Token-Level Summarization

| Features | Prec | Rec | F1 |
|----------|------|-----|----|
| **True Clusterings** | | | |
| Longest DA | 0.3655 | 0.4077 | 0.3545 |
| Prototype DA | 0.3626 | 0.4140 | 0.3539 |
| **System Clusterings** | | | |
| using LDA | | | |
| Longest DA | 0.3623 | 0.1892 | 0.2214 |
| Prototype DA | 0.3669 | 0.1887 | 0.2212 |
| using SVMs | | | |
| Longest DA | 0.3719 | 0.1261 | 0.1682 |
| Prototype DA | 0.3816 | 0.1264 | 0.1700 |
| **No Clustering** | | | |
| Longest DA | 0.1039 | 0.1382 | 0.1080 |
| Prototype DA | 0.1350 | 0.1209 | 0.1138 |
| **Upper Bound** | 0.8970 | 0.4089 | 0.5333 |

Table 6: Results for ROUGE-1: Decision Summary Generation Using Unsupervised Methods

**Dialogue Act-based Summarization.** Previous research (e.g., Murray et al. (2005), Galley (2006), Gurevych and Strube (2004)) has shown that DRDA-level extractive summarization can be effective when viewed as a binary classification task. To implement this approach, we assume that the DRDA to be extracted for the summary is the one with the largest vocabulary overlap with the cluster’s gold-standard decision abstract. This DA-level summarization method has an advantage that the summary maintains good readability without a natural language generation component.

**Token-based Summarization.** As shown in Table 1, some decision-related DAs contain many useless words when compared with the gold-standard abstracts. As a result, we propose a method for token-level decision summarization that focuses on identifying critical keywords from the cluster’s DRDAs. We follow the method of Fernández et al. (2008a), but use a larger set of features and different learning methods.

**Adding Discourse Context.** For each of the supervised DA- and token-based summarization methods, we also investigate the role of the discourse context. Specifically, we augment the DRDA clusterings with additional (not decision-related) DAs from the meeting dialogue: for each decision partition, we include the DA with the highest TF-IDF similarity with the centroid of the partition. We will investigate the possible effects of this additional context on summary quality.

In the next subsection, we describe the features used for supervised learning of DA- and token-based decision summaries.

**4.3 Dialogue Cues for Decision Summarization**

Different from text, dialogues have some notable features that we expect to be useful for finding informative, decision-related utterances. This section describes some of the dialogue-based features employed in our classifiers. The full lists of features are shown in Table 4 and Table 5.

**Structural Information: Adjacency Pairs.** An *Adjacency Pair* (AP) is an important conversational analysis concept; APs are considered the fundamental unit of conversational organization (Schegloff and Sacks, 1973). In the AMI corpus, an AP pair consists of a source utterance and a target utterance, produced by different speakers. The source precedes the target but they are not necessarily adjacent. We include features to indicate whether or not two DAs are APs indicating *question*+*answer* or *positive feedback*. For these features, we use the gold-standard AP annotations. We also include one feature that checks membership in a small set of words to decide whether a DA contains positive feedback (e.g., “yeah”, “yes”).

**Discourse Information: Review and Closing Indicator.** Another pragmatic cue for dialogue discussion is terms like “wrap up” or “recap”, indicating that speakers will review the key meeting content. We include the distance between these indicators and DAs as a feature.

**Grammatical Information: Dependency Relation Between Words.** For token-level summarization, we make use of the grammatical relationships in the DAs. As in Bui et al. (2009) and Fernández
et al. (2008a), we design features that encode (a) basic predicate-argument structures involving major phrase types (S, VP, NP, and PP) and (b) additional typed dependencies from Marneffe et al. (2006). We use the Stanford Parser.

5 Experiments

Experiments based on supervised learning are performed using 3-fold cross-validation. We train two different types of classifiers for identifying informative DAs or tokens: Conditional Random Fields (CRFs) (via Mallet) and Support Vector Machines (SVMs) (via SVMlight).

We remove function words from DAs before using them as the input of our systems. The AMI decision abstracts are the gold-standard summaries. We use the ROUGE (Lin and Hovy, 2003) evaluation measure. ROUGE is a recall-based method that can identify systems producing succinct and descriptive summaries.

### Results and Analysis.

Results for the unsupervised and supervised summarization methods are shown in Tables 6 and 7, respectively. In the tables, TRUE CLUSTERINGS means that we apply our methods on the gold-standard DRDA clusterings. SYSTEM CLUSTERINGS use clusterings obtained from the methods introduced in Section 4; we show results only using the best unsupervised (USING LDA) and supervised (USING SVMs) DRDA clustering techniques.

Both Table 6 and 7 show that some attempt to cluster DRDAs improves the summarization results vs. NO CLUSTERING. In Table 6, there is no significant difference between the results obtained from the LONGEST DA and PROTOTYPE DA for any experiment setting. This is because the longest DA is often selected as the prototype. An UPPER BOUND result is listed for comparison: for each decision cluster, this system selects all words from the DRDAs that are part of the decision abstract (discarding duplicates).

Table 7 presents the results for supervised summarization. Rows starting with DA or TOKEN indicate results at the DA- or token-level. The +CONTEXT rows show results when discourse context is included.8 We see that: (1) SVMs have a superior or comparable summarization performance vs. CRFs on every task. (2) Token-level summaries perform better than DA-level summaries only using TRUE CLUSTERINGS and the SVM-based summarizer. (3) Discourse context generally improves token-level summaries but not DA-level summaries.9

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8In our experiments, we choose the top 20 relevant DAs as context.

9We do not extract words from the discourse context and experiments where we tried this were unsuccessful.
clusterings produced by (unsupervised) LDA lead to summaries that are quite comparable in quality to those generated from DRDA clusterings produced by SVMs (supervised). From Table 6, we see that F1 is 0.2214 when choosing longest DAs from LDA-generated clusterings, which is comparable with the F1s of 0.1935 and 0.2349, attained when employing CRF and SVMs on the same clusterings.

The results in Table 7 are achieved by comparing abstracts having function words with system-generated summaries without function words. To reduce the vocabulary difference as much as possible, we also ran experiments that remove function words from the gold-standard abstracts, but no significant difference is observed.\footnote{Given abstracts without function words, and using the clusterings generated by LDA and employ CRF on DA- and token-level summarization, we get F1s of 0.1954 and 0.1329, which is marginally better than the corresponding 0.1935 and 0.1307 in Table 7. Similarly, if SVMs are employed in the same cases, we get F1s of 0.2367 and 0.1861 instead of 0.2349 and 0.1843. All of the other results obtain negligible minor increases in F1.}

Finally, we considered comparing our systems to the earlier similar work of (Fernández et al., 2008a) and (Bui et al., 2009), but found that it would be quite difficult because they employ a different notion from DRDAs which is Decision Dialogue Acts(DDAs). In addition, they manually annotate words from their DDAs as the gold-standard summary, guaranteeing that their decision summaries employ the same vocabulary as the DDAs. We instead use the actual decision abstracts from the AMI corpus.

5.1 Sample Decision Summaries

Here we show sample summaries produced using our methods (Table 8). We pick one of the clusterings generated by LDA consisting of four DAs which support two decisions and take SVMs as the supervised summarization method. We remove function words and special markers like “[disfmarker]” from the DAs.

The outputs indicate that either the longest DA or prototype DA contains part of the decisions in this “mixed” cluster. Adding discourse context refines the summaries at both the DA- and token-levels.

6 Conclusion

In this work, we explore methods for producing decision summaries from spoken meetings at both the DA-level and the token-level. We show that clustering DRDAs before identifying informative content to extract can improve summarization quality. We also find that unsupervised clustering of DRDAs (using LDA-based topic models) can produce summaries of comparable quality to those generated from supervised DRDA clustering. Token-level summarization methods can be boosted by adding discourse context and outperform DA-level summarization when true DRDA clusterings are available; otherwise, DA-level summarization methods offer better performance.

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