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ABSTRACT
The quantum many-body problem in condensed phases is often simplified using a quasiparticle description, such as effective mass theory for electron motion in a periodic solid. These approaches are often the basis for understanding many fundamental condensed phase processes, including the molecular mechanisms underlying solar energy harvesting and photocatalysis. Despite the importance of these effective particles, there is still a need for computational methods that can explore their behavior on chemically relevant length and time scales. This is especially true when the interactions between the particles and their environment are important. We introduce an approach for studying quasiparticles in condensed phases by combining effective mass theory with the path integral treatment of quantum particles. This framework incorporates the generally anisotropic electronic band structure of materials into path integral simulation schemes to enable modeling of quasiparticles in quantum confinement, for example. We demonstrate the utility of effective mass path integral simulations by modeling an exciton in solid potassium chloride and electron trapping by a sulfur vacancy in monolayer molybdenum disulfide.

Electronic excitations in semiconducting materials form the foundation of many areas of materials and energy sciences, including solar energy harvesting and conversion and nanoelectronics. It is often advantageous to describe processes involving such excitations within the language of quasiparticles, e.g., electrons and holes with effective masses or excitons. Due to the complexity of these descriptions, the theory and simulation of quasiparticles are often limited to coarse-grained and continuum approaches or modeling small, highly symmetric systems in quantum mechanical detail. While these approaches are responsible for important advances in our understanding of exciton physics and nanotechnology, it is difficult for the existing methodologies to describe the sources of disorder (defects), and large system sizes are often needed to properly model the effects of charge carriers on their surroundings and vice versa.

One promising approach for simulating quantum particles in complex environments uses the path integral (PI) representation of quantum mechanics in which a quantum particle, such as an electron, can be represented as a classical ring polymer. Given pseudopotentials to describe the interactions between a quantum particle and its (often classical) environment, one can perform molecular dynamics (MD) simulations of large systems for long times. However, it is difficult for straightforward PIMD simulations to describe phenomena such as quantum confinement that manifest as a result of anisotropic electronic band structures, in addition to quasiparticles such as holes. In this work, we describe an approach that uses effective mass theory to incorporate these aspects of anisotropic electronic band structures of materials into the path integral representation of quantum mechanics in order to model quantum charge carriers and their excited states (e.g., excitons) in complex, atomistic environments. Such an approach can be considered as an intermediate-scale coarse-graining, which incorporates some effects of the interactions into the effective masses, leaving simpler interactions to be described by pseudopotentials. We demonstrate...
the utility of this effective mass path integral (EMPI) approach by modeling an exciton in crystalline potassium chloride and electron trapping in a defective monolayer of molybdenum disulfide (MoS$_2$).

We consider a quantum particle described by a Hamiltonian $\mathcal{H} = T + V$, which consists of a kinetic term, $T$, and a potential term describing its interactions with the environment, $V$. The partition function for this particle can be written as

$$Z = \int d\mathbf{r}_1 \langle \mathbf{r}_1 | e^{-\beta \mathcal{H}} | \mathbf{r}_1 \rangle.$$  

(1)

By applying the (symmetric) Trotter factorization,$^{18,20,23}$ we can write $Z$ in a form that physically corresponds to a discretization of the (cyclic) quantum path of the particle in imaginary (or Euclidean) time,

$$Z = \lim_{P \to \infty} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \cdots \int d\mathbf{r}_P \times \left[ \langle \mathbf{r}_1 | e^{-\beta V(r_1)/2P} e^{-\beta \mathcal{H}(r_1)/2P} | \mathbf{r}_2 \rangle \cdots \langle \mathbf{r}_P | e^{-\beta V(r_P)/2P} e^{-\beta \mathcal{H}(r_P)/2P} | \mathbf{r}_1 \rangle \right].$$  

(2)

In practice, we use a finite number of discretizations, $P$, and the partition function and equilibrium ensemble averages are exact in the limit $P \to \infty$, corresponding to a continuous path. The potential term, $\mathcal{V}(\mathbf{r})$, can be readily evaluated, and so we focus on rewriting the kinetic part of the partition function. This arises from matrix elements of the form

$$\langle \mathbf{r}_i | e^{-\beta \mathcal{H}_P} | \mathbf{r}_j \rangle = \int d\mathbf{p} \langle \mathbf{r}_i | e^{-\beta \mathcal{H}_P} | \mathbf{p} \rangle \langle \mathbf{p} | \mathbf{r}_j \rangle,$$  

(3)

which connects each discrete step in the imaginary time path of the particle, e.g., step $i$ to $j$.

We now work within effective mass theory (EMT) to include some aspects of the electronic structure in our model through the above matrix elements. EMT prescribes an effective mass $m^*$ to charge carriers, which reflects the modification of their masses, from that of a free electron, due to interactions with other charge carriers and the static nuclei.$^{12-16}$ In order to model quantum particles in a classical bath using the path integral isomorphism, we substitute the free masses of the quantum particles with those determined from EMT to include the influence of the electronic response to the environment in effective classical models. For highly symmetric materials, isotropic parabolic fits of the band structure may be sufficient such that a scalar effective mass $m^*$ can be assigned to the particle.$^{14,23}$ However, lower symmetry materials require that the 3 $\times$ 3 mass-tensor

$$\left( \frac{1}{m} \right)_{\alpha\gamma} = \frac{1}{\hbar^2} \frac{\partial^2 E(\mathbf{k})}{\partial k_\alpha \partial k_\gamma}, \quad \alpha, \gamma \in \{x, y, z\},$$  

(4)

can be computed, leading to an inverse effective mass-tensor $m^{-1}$ for each quantum particle that can, in principle, be anisotropic. Note that the effective mass-tensor is symmetric, $m^{-1}_{\alpha\gamma} = m^{-1}_{\gamma\alpha}$. We also drop the star for notational clarity.

In the context of EMT, the kinetic energy of the quantum particle is now

$$T = \frac{1}{2} \mathbf{p}^T m^{-1} \mathbf{p},$$  

(5)

which reduces to $T = p^2/2m$ in the limit of an isotropic, diagonal mass matrix. We can then follow the typical evaluation of the partition function in the path integral isomorphism, but now with Eq. (5) for the quantum kinetic energy. The desired matrix element can be readily evaluated through Gaussian integration$^{26}$ to yield

$$\langle \mathbf{r}_i | e^{-\beta \mathcal{H}_P} | \mathbf{r}_j \rangle = \left( \frac{P}{2\pi \hbar^2} \right)^{3P/2} \det[m^{-1}]^{-1/2} \exp \left\{ -\frac{1}{2} \sum_{\alpha\gamma} \frac{P}{\hbar^2} m_{\alpha\gamma} \alpha \gamma \right\},$$  

(6)

where $m_{\alpha\gamma}$ is the $\alpha, \gamma$ element of the inverse of the matrix $m^{-1}$. With this expression for the matrix elements, the partition function is given by

$$Z = \lim_{P \to \infty} \left( \frac{P}{2\pi \hbar^2} \right)^{3P/2} \det[m^{-1}]^{-1/2} \int d\mathbf{r}_1 \cdots \int d\mathbf{r}_P e^{-\beta \mathcal{H}_P(r_1, \ldots, r_P)},$$  

(7)

where $\mathcal{H}_P$ is the isomorphic Hamiltonian of a classical ring polymer with harmonic bonds between neighboring beads of the polymer. This isomorphic Hamiltonian is

$$\mathcal{H}_P(\mathbf{r}_1, \ldots, \mathbf{r}_P) = \frac{1}{P} \sum_{i=1}^{P} \mathcal{V}(\mathbf{r}_i) + \sum_{\alpha\gamma} \frac{\kappa_{\alpha\gamma}}{2} \left( \alpha_i - \alpha_j \right) \left( \gamma_i - \gamma_j \right)^2,$$  

(8)

where

$$\kappa_{\alpha\gamma} = \frac{P^2 m_{\alpha\gamma}}{\hbar^2},$$  

(9)

and the matrix elements $m_{\alpha\gamma}$ can be determined by inverting $m^{-1}$. The harmonic bonds between neighboring beads of the ring polymer generally are not spherically symmetric but involve different spring constants, $\kappa_{\alpha\gamma}$, along each direction, as well as coupling between the displacements in the Cartesian components, as demonstrated below.

In the limit of a diagonal $m^{-1}$, the ring polymer Hamiltonian becomes

$$\mathcal{H}_P(\mathbf{r}_1, \ldots, \mathbf{r}_P) = \frac{1}{P} \sum_{i=1}^{P} \left[ \mathcal{V}(\mathbf{r}_i) + \frac{\kappa_{xx}}{2} (x_i - x_j)^2 + \frac{\kappa_{yy}}{2} (y_i - y_j)^2 + \frac{\kappa_{zz}}{2} (z_i - z_j)^2 \right].$$  

(10)

In this formulation, the harmonic springs between neighboring beads of the ring polymer do not have spatially isotropic spring constants but are instead given by $\kappa_{xx} = P^2 m_{xx}/\hbar^2$, where $x$ refers to a spatial coordinate. This is particularly important in systems with reduced dimensionality. For example, $m_{zz} \gg m_{xx} = m_{yy}$ in monolayer MoS$_2$, as discussed below, resulting in ring polymers that are confined essentially to two dimensions.

To illustrate a specific case where off-diagonal coupling is present, we explicitly consider an effective mass-tensor with $m_{zz} = m_{xx} = m_{yy} = m_{yy} = 0$; all other elements are non-zero. In this case,
future work, and note that the cutoff used here yields reasonable predictions. Long-ranged electrostatic interactions were evaluated using the particle–particle–particle mesh Ewald method.37

Band structure calculations were performed using the GPAW software package,39 in combination with the atomic simulation environment (ASE),40 and employed the PBE density functional approximation41 with a plane-wave cutoff of 1200 eV and a 12 × 12 × 12 k-point mesh. Although the bandgap is not properly described at this level of theory,42,43 the curvature of the bands near the gap is likely adequate, and we expect the effective mass for these materials to be somewhat insensitive to the choice of semi-local functional. Note that high throughput calculations of more complex materials have also used density functional theory (DFT) effective masses successfully in order to correlate transport and other properties from the predicted band structures.44,45 For highly accurate band structures and effective masses, approaches beyond semi-local DFT are required.46–48 Effective masses herein were determined using the effective mass calculator (EMC) program using a five-point stencil to evaluate the second derivatives.49 We find that m* 1 is approximately diagonal such that the masses of the electron and hole are approximately isotropic, m∗ e ≈ m∗ h, and equal to m∗ e = 0.45m∗, and m∗ h = 5.2m∗, respectively, where m∗ is the bare mass of an electron.

A single exciton introduced into an otherwise perfect alkali-halide crystal can self-trap and create lattice defects.50–52 This self-trapping results in a structure resembling a closely separated F–center–H–center pair, where the latter corresponds to a hole bridging two anions, Cl−, and similar states, e.g., Cl2−. The self-trapped exciton is not spherical, as one might expect using continuum theories of excitons in condensed phases. Instead, the exciton is expected to be dipolar, with the electron and hole separated by some average distance RAB > 0 even in the bound, excitonic state.53,54

The formation of this self-trapped exciton state in solid KCl is illustrated by the snapshot in Fig. 1(a). The electron–hole pair distribution function in Fig. 1(b) demonstrates that the dipolar exciton consists of an electron and hole separated by RAB ≈ 2 Å. This is further supported by the electron–hole spatial distribution function shown in the inset of Fig. 1(b). This is in very good agreement with previous interpretations of experiments and detailed theoretical calculations that also predict a dipolar exciton with RAB ≈ 2 Å.55

While the light electron is delocalized over many ions (but localized with respect to a free electron with the same effective mass), the heavy hole is highly localized and bridges Cl− ions, as illustrated by the snapshot in Fig. 1(c). The Cl–Cl pair distribution functions, g(r), of the KCl crystal in the absence and presence of the exciton are compared in Fig. 1(d), averaged over all Cl− in the system. In the presence of the exciton, a peak at close Cl–Cl distances appears in g(r), consistent with the formation of Cl2−-like structures predicted in more detailed quantum calculations and experiments.56–58 We additionally note that the hole–anion interaction potential we employ is spherically symmetric. Including directionality into the hole–anion interactions, e.g., through the use of multisite ion models,59 for example, may lead to even better descriptions of H-centers.

The EMPI formalism can also describe the effects of reduced dimensionality. For example, monolayer transition metal dichalcogenides, such as MoS2, are two-dimensional materials that exhibit quantum confinement in the two-dimensional plane of the
The electron–S-vacancy potential of mean force is calculated using umbrella sampling combined with UWHAM, where we biased the two-dimensional $(xy)$ distance between the electron and the location of the vacancy using harmonic potentials in PLUMED.

Spatially resolved photoluminescence (PL) spectroscopy has discovered that excitonic hotspots appear at the location of sulfur vacancies in monolayer MoS$_2$. The higher intensity peaks in the vicinity of sulfur vacancies suggest that excess electrons in doped MoS$_2$ are bound to these vacancies. Increasing the concentration of sulfur vacancies results in the appearance of a new, lower energy peak in the PL spectra, further suggesting the validity of this interpretation. Our EMPIMD simulations further support this picture of strong electron–S-vacancy interactions in monolayer MoS$_2$, leading to the formation of a trap state.

To quantify the interactions between the electron and a sulfur vacancy, the free energy as a function of electron–vacancy distance is shown in Fig. 2(c). We find a binding free energy of $\sim 30k_B T$ at 300 K, in agreement with the range of energies predicted by kinetic modeling of spectroscopic measurements. This binding free energy is also in good agreement with the energy difference between the trap state and the conduction band predicted by density functional theory (DFT) calculations.

The scaling of the free energy with distance is in good agreement with that expected for Coulomb interactions within an isolated two-dimensional (2D) semiconductor:

$$V_{2D}(d) = -\frac{\pi}{2d_0} \left[ H_0 \left( \frac{d}{d_0} \right) - Y_0 \left( \frac{d}{d_0} \right) \right],$$

where $d$ is the electron–vacancy in-plane distance, $H_0$ is the Struve function, $Y_0$ is the Bessel function of the second kind, and $d_0$ is a screening length. We compare $V_{2D}(d)$ with the simulated free energies in Fig. 2(c) for $30 \leq d_0 \leq 40 \AA$, as estimated in Ref. 6. We also show the three-dimensional Coulomb potential $V_{3D}(d) = -A/d$, where $A$ was determined by fitting the simulation data from 2.5 Å to 20 Å. The simulated free energies follow the two-dimensional scaling, $V_{2D}$, for all but the smallest electron–vacancy distances and not $V_{3D}$, as expected for 2D materials. Note, however, that this only verifies that the distance scaling of the free energy is consistent with reduced-dimensionality effects on electrostatics. Quantitative agreement between simulation results and theoretical predictions that account for both the effective charge state of the vacancy and electronic screening within MoS$_2$ may require inclusion of spatially varying dielectric constants within the semiconductor in our simulations, as well as a reparameterization of the pseudopotentials for this environment.

Our approach additionally enables the characterization of the effects of the sulfur vacancy on the electron. For example, examination of the imaginary time root mean squared displacement $R(t) = \left\langle |\mathbf{r}_{xy}(t) - \mathbf{r}_{xy}(0)|^2 \right\rangle^{1/2}$, where $\mathbf{r}_{xy}$ indicates that distances in-plane were considered in the calculation. Comparison of $R(t)$ for the trapped electron with that expected for a free electron in MoS$_2$, $R_{\text{free}}(t) = 2\lambda^2(t/\beta)^m(1 - t/\beta)^{-1/2}$, where $\lambda^2 = \beta \hbar^2/m$ and $m = m_{xx}$, indicates that binding to the sulfur vacancy traps the excess electron, as shown in Fig. 2(d). The value of $R(\beta/2) = 5 \AA$ yields an estimate for the effective size of the electron in good agreement with that determined for the trap state from DFT calculations.

lattice. In this case, the effective masses suggest that the quasiparticles are essentially confined to the $xy$-plane, $m_{xx} = m_{yy} = 0.562m_e \approx 960m_e$, and the $z$-component of the effective mass-tensor manifests as a high spring constant $\kappa_{zz} \approx 0.562m_e$. The large $z$-component of the effective mass-tensor significantly in the $z$-direction while allowing the particle to spread in the two-dimensional $(xy)$ plane, as illustrated by the snapshots in Figs. 2(a) and 2(b). Note that the out-of-plane effective mass $(m_{zz})$ will go to infinity as the width of the semiconductor tends to zero, i.e., a purely two-dimensional system.

We demonstrate the utility of EMPIMD simulations for systems with reduced dimensionality by studying the binding of an excess electron in monolayer MoS$_2$ to a sulfur vacancy, which carries an effective positive charge. Monolayer MoS$_2$ is modeled using the Stillinger–Weber potential designed to capture the structure and vibrational properties of the monolayer, which readily enables modeling of defects. The interactions between the electron and the S atoms are modeled using a Coulomb potential, and those between the electron and the Mo atoms are modeled using a Shaw pseudopotential with a short-range cutoff of 0.18 Å. The partial charges on the Mo and S atoms, used for interactions with the electron, are those from the work of Sresh et al. Simulations are performed using LAMMPS with appropriate Nose–Hoover thermostatting to maintain a constant temperature of 300 K. The anisotropic spring constants of the electron ring polymer are incorporated using PLUMED.

![Figure 1](image-url) FIG. 1. (a) Snapshot of an electron (blue)–hole (red) pair—an exciton—in solid KCl from a single configuration of a molecular dynamics simulation; $K^+$ and Cl$^-$ ions are drawn as white and green spheres, respectively. (b) The (bead–bead) pair distribution function, $g_{\text{hh}}(r)$, quantifies correlations between the electron and hole in solid KCl. The inset shows the electron (blue) and hole (red) three-dimensional spatial distribution function computed in the rotating frame using the vector connecting the centroids as the $z$-axis, indicating the formation of a dipolar exciton. Solid and transparent isosurfaces are drawn to enclose ~90% and 98% of the maximum density, respectively. (c) Simulation snapshot of the hole ring polymer localized between two chlorine ions, forming a Cl$_2^-$–anion. (d) Pair distribution function between chloride ions in a bulk KCl crystal (bulk) and the KCl crystal with an exciton present (exciton). Note the appearance of a peak at low $r$ due to the formation of Cl$_2^-$ and similar states.

$R(t) = \left\langle |\mathbf{r}_{xy}(t) - \mathbf{r}_{xy}(0)|^2 \right\rangle^{1/2}$

$R_{\text{free}}(t) = 2\lambda^2(1 - t/\beta)^{-1/2}$

$\lambda^2 = \beta \hbar^2/m$ and $m = m_{xx}$
Moreover, writing \( \mathcal{R}(\tau) \) in the basis of electronic eigenstates,\(^73,74\)

\[
\mathcal{R}(\tau) = \frac{4}{Z} \sum_{n,m} e^{-\beta E_n} x_{nm}^2 (1 - e^{-\beta(E_{nm} - E_n)}/\hbar),
\]  

(15)

where we have considered the 2D case assuming \( x \) and \( y \) are equivalent by symmetry, \( Z \) is the partition function, \( x_{nm} = \langle n|x|m \rangle \), and \( E_n \) is the energy of eigenstate \( n \), further suggests that the electron is in a deep trap state. In order for \( \mathcal{R}(\tau) \) to be independent of \( \tau \), as is the case for \( \tau \gg 0 \), Eq. (15) must be dominated by a single, localized eigenstate with a significant gap to the first excited state. This ground state that dominates the behavior of the vacancy-bound electron is the trap state. In contrast, the spatially extended states sampled by the free electron correspond to the thermally accessible excited electronic states. As shown in Fig. 2(d), an "unbound" electron, here obtained by simulating an electron in a defect-free monolayer, yields \( \mathcal{R}(\tau) \) consistent with that for a free effective mass electron, with a slight renormalization due to dynamic electron–phonon coupling. Fitting to \( \mathcal{R}_{\text{free}}(\tau) \) yields a heavier in-plane effective mass of \( m \approx 0.7m_{\text{ee}} \) as expected for electronic coupling to phononic distortions of the nuclear sites.\(^7\) These results highlight the utility of EMPIMD simulations in quantifying the thermodynamics of quasiparticle interactions in anisotropic materials.

In this Communication, we have presented a formulation of effective mass path integral simulations. This approach incorporates effective mass theory into the path integral description of electrons and holes for their simulation in condensed phases, extending previous PI-based methods using scalar effective masses to materials with anisotropic electronic structures. We expect that this approach will find wide use in a variety of applications, including the simulation of exciton and charge carrier structure and dynamics in materials.

We note that our implementation of EMPIMD simulations described above has not exploited the many significant advances made in the context of path integral simulations in recent years. However, the EMPIMD method is readily amenable to such approaches, including novel integration schemes,\(^9-13\) thermostats,\(^14,15\) and nearly all other developments in the context of path integral and ring-polymer techniques.\(^82-88\) Of additional importance for the future of EMPI models is the development of accurate electron–environment and hole–environment pseudopotentials, beyond the simplistic, spherically symmetric charge–charge pseudopotentials used here.\(^89,90\) as well as the inclusion of modified electrostatics due to dielectric screening in low-dimensional materials.\(^8,9\) These effective interaction potentials will impact the accuracy of the predictions made by EMPI approaches. Finally, we note that this framework can also be readily used within the ring-polymer MD approximation and similar approaches\(^93-95\) to model the quantum dynamics of quasiparticles in complex environments, and future work will focus on extending these approaches to EMPI simulations.

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DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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