Abstract

We achieve significant improvements in several syntax-based machine translation experiments using a string-to-tree variant of multi bottom-up tree transducers. Our new parameterized rule extraction algorithm extracts string-to-tree rules that can be discontiguous and non-minimal in contrast to existing algorithms for the tree-to-tree setting. The obtained models significantly outperform the string-to-tree component of the Moses framework in a large-scale empirical evaluation on several known translation tasks. Our linguistic analysis reveals the remarkable benefits of discontiguous and non-minimal rules.

1 Introduction

We present an application of a variant of local multi bottom-up tree transducers (ℓMBOTs) as proposed in Maletti (2011) to statistical machine translation. ℓMBOTs allow discontinuities on the target language side since they have a sequence of target tree fragments instead of a single tree fragment in their rules. The original approach makes use of syntactic information on both the source and the target side (tree-to-tree) and a corresponding minimal rule extraction is presented in (Maletti, 2011). Braune et al. (2013) implemented it as well as a decoder inside the Moses framework (Koehn et al., 2007) and demonstrated that the resulting tree-to-tree ℓMBOT system significantly improved over its tree-to-tree baseline using minimal rules. We can see at least two drawbacks in this approach. First, experiments investigating the integration of syntactic information on both sides generally report quality deterioration. For example, Lavie et al. (2008), Liu et al. (2009), and Chiang (2010) noted that translation quality tends to decrease in tree-to-tree systems because the rules become too restrictive. Second, minimal rules (i.e., rules that cannot be obtained from other extracted rules) typically consist of a few lexical items only and are thus not the most suitable to translate idiomatic expressions and other fixed phrases. To overcome these drawbacks, we abolish the syntactic information for the source side and develop a string-to-tree variant of ℓMBOTs. In addition, we develop a new rule extraction algorithm that can also extract non-minimal rules. In general, the number of extractable rules explodes, so our rule extraction places parameterized restrictions on the extracted rules in the same spirit as in (Chiang, 2007). In this manner, we combine the advantages of the hierarchical phrase-based approach on the source side and the tree-based approach with discontinuity on the target side.

We evaluate our new system in 3 large-scale experiments using translation tasks, in which we expect discontinuity on the target. MBOTs are powerful but asymmetric models since discontinuity is available only on the target. We chose to translate from English to German, Arabic, and Chinese. In all experiments our new system significantly outperforms the string-to-tree syntax-based component (Hoang et al., 2009) of Moses. The (potentially) discontiguous rules of our model are very useful in these setups, which we confirm in a quantitative and qualitative analysis.

2 Related work

Modern statistical machine translation systems (Koehn, 2009) are based on different translation models. Syntax-based systems have become widely used because of their ability to handle non-local reordering and other linguistic phenomena better than phrase-based models (Och and Ney, 2004). Synchronous tree substitution grammars (STSGs) of Eisner (2003) use a single source and target tree fragment per rule. In contrast, an ℓMBOT rule contains a single source tree
fragment and a sequence of target tree fragments. Our MBOTs can also be understood as a restriction of the non-contiguous STSSGs of Sun et al. (2009), which allow a sequence of source tree fragments and a sequence of target tree fragments. Our MBOT rules require exactly one source tree fragment.

While the mentioned syntax-based models use tree fragments for source and target (tree-to-tree), Galley et al. (2004) and Galley et al. (2006) use syntactic annotations only on the target language side (string-to-tree). Further research by DeNeefe et al. (2007) revealed that adding non-minimal rules improves translation quality in this setting. Here we improve statistical machine translation in this setting even further using non-minimal MBOT rules.

3 Theoretical Model

As our translation model, we use a string-to-tree variant of the shallow local multi bottom-up tree transducer of Braune et al. (2013). We will call our variant MBOT for simplicity. Our MBOT is a synchronous grammar (Chiang, 2006) similar to a synchronous context-free grammar (SCFG), but instead of a single source and target fragment per rule, our rules are of the form \( s \rightarrow (t_1, \ldots, t_n) \) with a single source string \( s \) and potentially several target tree fragments \( t_1, \ldots, t_n \). Besides lexical items the source string can contain (several occurrences of) the placeholder \( X \), which links to non-lexical leaves in the target tree fragments. In contrast to an SCFG each placeholder can have several such links. However, each non-lexical leaf in a target tree fragment has exactly one such link to a placeholder \( X \). An MBOT is simply a finite collection of such rules. Several valid rules are depicted in Figure 1.

The sentential forms of our MBOTs, which occur during derivations, have exactly the same shape as our rules and each rule is a sentential form. We can combine sentential forms with the help of substitution (Chiang, 2006). Roughly speaking, in a sentential form \( \xi \) we can replace a placeholder \( X \) that is linked (left-to-right) to non-lexical leaves \( C_1, \ldots, C_k \) in the target tree fragments by the source string of any sentential form \( \zeta \), whose roots of the target tree fragments (left-to-right) read \( C_1, \ldots, C_k \). The target tree fragments of \( \zeta \) will replace the respective linked leaves in the target tree fragments of the sentential form \( \xi \). In other words, substitution has to respect the symbols in the linked target tree fragments and all linked leaves are replaced at the same time. We illustrate substitution in Figure 2, where we replace the placeholder \( X \) in the source string, which is linked to the underlined leaves NP and PP in the target tree fragments. The rule below (also in Figure 1) is also a sentential form and matches since its (underlined) root labels of the target tree fragments read “NP PP”. Thus, we can substitute the latter sentential form into the former and obtain the sentential form shown at the bottom of Figure 2. Ideally, the substitution process is repeated until the complete source sentence is derived.

4 Rule Extraction

The rule extraction of Maletti (2011) extracts minimal tree-to-tree rules, which are rules containing both source and target tree fragments, from sentence pairs of a word-aligned and bi-parsed parallel corpus. In particular, this requires parses for both the source and the target language sentences which adds a source for errors and specificity potentially leading to lower translation performance and lower coverage (Wellington et al., 2006). Chiang (2010) showed that string-to-tree systems—
Figure 3: Word-aligned sentence pair with target-side parse.

Next, we lift the notion of consistently aligned phrase pairs to our rule spans. Simply put, for a consistently aligned rule span \( \langle p, p_1 \cdots p_n \rangle \) we require that it respects the alignment \( A \) in the sense that the origin \( i \) of an alignment \( (i, j) \in A \) is covered by \( p \) if and only if the destination \( j \) is covered by \( p_1, \ldots, p_n \). Formally, the rule span \( \langle p, p_1 \cdots p_n \rangle \) is consistently aligned if for every \( (i, j) \in A \) we have \( i \in p \) if and only if \( j \in \bigcup_{k=1}^n p_k \). For example, given the word-aligned sentence pair in Figure 3, the rule span \( \langle [2, 4], [2, 4] [7, 7] \rangle \) is consistently aligned, whereas the phrase pair \( \langle [2, 4], [2, 7] \rangle \) is not.

Our MBOTs use rules consisting of a source string and a sequence of target tree fragments. The target trees are provided by a parser for the target language. For each word-aligned sentence pair \( \langle e, A, f \rangle \) we thus have a parse tree \( f \) for \( f \). An example is provided in Figure 3. We omit a formal definition of trees, but recall that each node \( \eta \) of the parse tree \( t \) governs a (unique) target phrase. In Figure 3 we have indicated those target phrases (spans) as subscript to the non-lexical node labels.

Next, we are ready to start the rule extraction. For each consistently aligned rule span \( \langle p, p_1 \cdots p_n \rangle \) that is compatible with \( t \) and each selection of nodes \( \eta_1, \ldots, \eta_n \) of \( t \) such that \( \eta_k \) governs \( p_k \) for all \( 1 \leq k \leq n \). For example, given the word-aligned sentence pair and parse tree \( t \) in Figure 3, the consistently aligned rule span \( \langle [2, 4], [2, 4] [7, 7] \rangle \) is not compatible with \( t \) because there is no node in \( t \) that governs \( [2, 4] \). However, for the same data, the rule span \( \langle [2, 4], [2, 2] [3, 4] [7, 7] \rangle \) is consistently aligned and compatible with \( t \). The required nodes of \( t \) are labeled VAFIN, NP, VVPP.

Now we want to develop an efficient rule extraction procedure for our string-to-tree MBOTs that avoids the mentioned drawbacks. Naturally, we could substitute minimal rules into each other to obtain non-minimal rules, but performing substitution for all combinations is clearly intractable. Instead we essentially follow the approach of Koehn et al. (2003), Och and Ney (2004), and Chiang (2007), which is based on consistently aligned phrase pairs. Our training corpus contains word-aligned sentence pairs \( \langle e, A, f \rangle \), which contain a source language sentence \( e \), a target language sentence \( f \), and an alignment \( A \subseteq [1, \ell_e] \times [1, \ell_f] \), where \( \ell_e \) and \( \ell_f \) are the lengths of the sentences \( e \) and \( f \), respectively, and \( \{j \in \mathbb{Z} \mid i \leq j \leq i' \} \) is the span (closed interval of integers) from \( i \) to \( i' \) for all positive integers \( i \leq i' \). Rules are extracted for each pair of the corpus, so in the following let \( \langle e, A, f \rangle \) be
Figure 4: Some initial rules extracted from the word-aligned sentence pair and parse of Figure 3.

- \(e(p)\) is the substring of \(e\) at span \(p\),
- \(\text{flat}(u)\) removes all internal nodes from \(u\) (all nodes except the root and the leaves), and
- \(t_\eta\) is the subtree rooted in \(\eta\) for node \(\eta\) of \(t\).

The rules obtained in this manner are called initial rules for \((e, A, f)\) and \(t\). For example, for the rule span \(⟨[2, 4], [2, 2] [3, 4] [7, 7]⟩\) we can extract only one initial rule. More precisely, we have

- \(e([2, 4]) = \text{concludes the debate} \)
- \(t_{\eta_1} = \text{VAFIN ist} \)
- \(t_{\eta_2} = (\text{NP (ART die) (NN Aussprache)})\),
  and \(t_{\eta_3} = (\text{VVPP geschlossen})\).

The function \(\text{flat}\) leaves \(t_{\eta_1}\) and \(t_{\eta_3}\) unchanged, but \(\text{flat}(t_{\eta_2}) = (\text{NP die Aussprache})\). Thus, we obtain the boxed rule of Figure 4.

Clearly, the initial rules are just the start because they are completely lexical in the sense that they never contain the placeholder \(X\) in the source string nor a non-lexical leaf in any output tree fragment. We introduce non-lexical rules using the same approach as for the hierarchical rules of Chiang (2007). Roughly speaking, we obtain a new rule \(r''\) by “excising” an initial rule \(r\) from another rule \(r'\) and replacing the removed part by

- the placeholder \(X\) in the source string,
- the root label of the removed tree fragment in the target tree fragments, and
- linking the removed parts appropriately, so that the flattened substitution of \(r\) into \(r''\) can

yield \(r'\). This “excision” process is illustrated in Figure 5, where we remove the middle initial rule from the topmost initial rule. The result is displayed at the bottom in Figure 5. Formally, the set of extractable rules \(R\) for a given word-aligned sentence pair \(⟨e, A, f⟩\) with parse tree \(t\) for \(f\) is the smallest set subject to the following two conditions:

- Each initial rule is in \(R\) and thus extractable.
- For every initial rule \(r\) and extractable rule \(r' \in R\), any flat rule \(r''\), into which we can substitute \(r\) to obtain \(\rho\) with \(\text{flat}(\rho) = r'\), is in \(R\) and thus extractable.\(^2\)

For our running example depicted in Figure 3 we display some extractable rules in Figure 6.

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Footnotes:

1. If \(p = [i, i']\), then \(e(p) = e[i, i']\) is the substring of \(e\) ranging from the \(i\)-th token to the \(i'\)-th token.

2. A rule \(\rho = s \rightarrow (t_1, \ldots, t_n)\) is flat if \(\text{flat}(\rho) = \rho\), where \(\text{flat}(\rho) = s \rightarrow (\text{flat}(t_1), \ldots, \text{flat}(t_n))\).
Unfortunately, already Chiang (2007) points out that the set of all extractable rules is generally too large and keeping all extractable rules leads to slow training, slow decoding, and spurious ambiguity. Our MBOT rules are restricted by the parse tree for the target sentence, but the MBOT model permits additional flexibility due to the presence of multiple target tree fragments. Overall, we experience the same problems, and consequently, in the experiments we use the following additional constraints on rules $s \rightarrow (t_1, \ldots, t_n)$:

(a) We only consider source phrases $p$ of length at most 10 (i.e., $i' - i < 10$ for $p = [i, i'])$.

(b) The source string $s$ contains at most 5 occurrences of lexical items or $X$ (i.e. $\ell_s \leq 5$).

(c) The source string $s$ cannot have consecutive $X$s (i.e., $XX$ is not a substring of $s$).

(d) The source string contains at least one lexical item that was aligned in $\langle e, A, f \rangle$.

(e) The left-most token of the source string $s$ cannot be $X$ (i.e., $s[1, 1] \neq X$).

Our implementation can easily be modified to handle other constraints. Figure 7 shows extractable rules violating those additional constraints.

Table 1 gives an overview on how many rules are extracted. Our string-to-tree variant extracts 12–17 times more rules than the minimal tree-to-tree rule extraction. For our experiments (see Section 6), we filter all rule tables on the given input. The decoding times for the minimal MBOT and our MBOT share the same order of magnitude.

### 5 Model Features

For each source language sentence $e$, we want to determine its most likely translation $\hat{f}$ given by

$$\hat{f} = \arg \max_{f} p(f | e) = \arg \max_{f} p(e | f) \cdot p(f)$$

for some unknown probability distributions $p$. We estimate $p(e | f) \cdot p(f)$ by a log-linear combination of features $h_i(\cdot)$ with weights $\lambda_i$ scored on sentential forms $e \rightarrow (t)$ of our extracted MBOT $M$ such that the leaves of $t$ read (left-to-right) $f$.

We use the decoder provided by MBOT-Moses of Braune et al. (2013) and its standard features, which includes all the common features (Koehn, 2009) and a gap penalty $100^{1-c}$, where $c$ is the number of target tree fragments that contributed to $t$. This feature discourages rules with many target tree fragments. As usual, all features are obtained as the product of the corresponding rule features for the rules used to derive $e \rightarrow (t)$ by means of substitution. The rule weights for the translation weights are obtained as relative frequencies normalized over all rules with the same right- and left-hand side. Good-Turing smoothing (Good, 1953) is applied to all rules that were extracted at most 10 times. The lexical translation weights are obtained as usual.

### 6 Experimental Results

We considered three reasonable baselines: (i) minimal /MBOT, (ii) non-contiguous STSSG (Sun et al., 2009), or (iii) a string-to-tree Moses system. We decided against the minimal /MBOT as a baseline since tree-to-tree systems generally get lower BLEU scores than string-to-tree systems. We nevertheless present its BLEU scores (see Table 3). Unfortunately, we could not compare to Sun et al. (2009) because their decoder and rule extraction algorithms are not publicly available. Furthermore, we have the impression that their system does not scale well:

- Only around 240,000 training sentences were used. Our training data contains between 1.8M and 5.7M sentence pairs.
- The development and test set were length-
that concludes X on human rights → (PROAV mit VAFIN ist NP über Menschenrechte geschlossen)

violates (c):
concludes X X → (VAFIN ist NP PP geschlossen)

violates (d):
X → (NP)
X on human rights → (NP über Menschenrechte)

violates (e):

Figure 7: Showing extractable rules violating the restrictions.

| System                      | number of extracted rules |
|-----------------------------|---------------------------|
|                            | English-To-German | English-To-Arabic | English-To-Chinese |
| minimal tree-to-tree MBOT   | 12,478,160        | 28,725,229       | 10,162,325       |
| non-minimal string-to-tree MBOT | 143,661,376    | 491,307,787      | 162,240,663      |
| string-to-tree Moses        | 14,092,729        | 55,169,043       | 17,047,570       |

Table 1: Overview of numbers of extracted rules with respect to the different extraction algorithms.
Table 2: Summary of the performed experiments.

| Language pair | System | BLEU |
|---------------|--------|------|
| English-to-German | Moses Baseline | 15.22 |
| | MBOT | *15.90 |
| | minimal MBOT | 14.09 |
| | Phrase-based Moses | 16.73 |
| English-to-Arabic | Moses Baseline | 48.32 |
| | MBOT | *49.10 |
| | minimal MBOT | 32.88 |
| | Phrase-based Moses | 50.27 |
| English-to-Chinese | Moses Baseline | 17.69 |
| | MBOT | *18.35 |
| | minimal MBOT | 12.01 |
| | Phrase-based Moses | 18.09 |

Table 3: Evaluation results. The starred results are statistically significant improvements over the baseline (at confidence $p < 1\%$).

6.3 Qualitative Analysis

In this section, we inspect some English-to-German translations generated by the Moses baseline and our MBOT system in order to provide some evidence for linguistic constructions that our system handles better. We identified (a) the realization of reflexive pronouns, relative pronouns, and particle verbs, (b) the realization of verbal material, and (c) local and long distance reordering to be better throughout than in the baseline system. All examples are (parts of) translations of sentences from the test data. Ungrammatical constructions are enclosed in brackets and marked with a star. We focus on instances that seem relevant to the new ability to use non-minimal rules.

We start with an example showing the realization of a reflexive pronoun.

**Source:** Bitcoin differs from other types of virtual currency.

**Reference:** Bitcoin unterscheidet sich von anderen Arten virtueller Währungen.

**Baseline:** Bitcoin [unterscheidet] von anderen Arten [der virtuellen Währung]∗.
| Language pair          | System | Type   | Lex | Struct | Total       | Target tree fragments |
|------------------------|--------|--------|-----|--------|-------------|-----------------------|
|                        |        |        |     |        |             | 2  3  4  5  ≥ 6      |
| English-to-German      | our cont. | 27,351 | 635 | 27,986 |
|                        | MBOT   | 9,336  | 1,110 | 10,446 |
|                        | minimal | 55,910 | 4,492 | 60,402 |
|                        | MBOT   | 2,167  | 7,386 | 9,553  |
|                        |        |        |     |        |             | 6,458 2,589 471 34 1 |
| English-to-Arabic      | our cont. | 1,839  | 651  | 2,490  |
|                        | MBOT   | 3,670  | 1,324 | 4,994  |
|                        | minimal | 18,389 | 2,855 | 21,244 |
|                        | MBOT   | 1,138  | 1,920 | 3,058  |
|                        |        |        |     |        |             | 2,167 7,386 9,553 6,458 2,589 471 34 1 |
| English-to-Chinese     | our cont. | 17,135 | 1,585 | 18,720 |
|                        | MBOT   | 4,822  | 3,341 | 8,163  |
|                        | minimal | 34,275 | 8,820 | 43,095 |
|                        | MBOT   | 516    | 4,292 | 4,808  |
|                        |        |        |     |        |             | 3,816 900 82 6 4     |

Table 4: Number of rules per type used when decoding test (Lex = lexical rules; Struct = structural rules; [dis]cont. = [dis]contiguous).

**MBOT**: Bitcoin unterscheidet sich von anderen Arten [der virtuellen Währung].

Here the baseline drops the reflexive pronoun *sich*, which is correctly realized by the MBOT system. The rule used is displayed in Figure 8.

The baseline drops the verbal construction whereas the large non-minimal rule of Figure 9 allows our MBOT to avoid that drop. Again, the required reflexive pronoun *sich* is realized as well as the necessary comma before the conjunction *dass*.

**Figure 8**: Rule realizing the reflexive pronoun.

**Figure 9**: MBOT rule for the verbal segment.

Another feature of MBOT is its power to perform long distance reordering with the help of several discontiguous output fragments.

**Source**: ... weapons factories now, which do not endure competition on the international market and ...

**Reference**: ... Rüstungsfabriken, die der internationalen Konkurrenz nicht standhalten und ...

**Baseline**: ... [Waffen in den Fabriken nun]*, die nicht einem Wettbewerb auf dem internationalen Markt []* und ...

**MBOT**: ... [Waffen Fabriken nun]*, die Konkurrenz auf dem internationalen Markt nicht ertragen und ...

**Figure 10** shows the rules which enable the MBOT system to produce the correct reordering.

**7 Conclusion**

We present an application of a string-to-tree variant of local multi bottom-up tree transducers, which are tree-to-tree models, to statistical machine translation. Originally, only minimal rules were extracted, but to overcome the typically lower translation quality of tree-to-tree systems and minimal rules, we abolish the syntactic annotation on the source side and develop a string-to-tree variant. In addition, we present a new pa-
rameterized rule extraction that can extract non-minimal rules, which are particularly helpful for translating fixed phrases. It would be interesting to know how much can be gained when using only one contribution at a time. Hence, we will explore the impact of string-to-tree and non-minimal rules in isolation.

We demonstrate that our new system significantly outperforms the standard Moses string-to-tree system on three different large-scale translation tasks (English-to-German, English-to-Arabic, and English-to-Chinese) with a gain between 0.53 and 0.87 BLEU points. An analysis of the rules used to decode the test sets suggests that the usage of discontiguous rules is tuned to each language pair. Furthermore, it shows that only discontiguous rules with at most 8 target tree fragments are used. Thus, further research could investigate a hard limit on the number of target tree fragments during rule extraction. We also perform a manual inspection of the obtained translations and confirm that our string-to-tree MBOT rules can adequately handle discontiguous phrases, which occur frequently in German, Arabic, and Chinese. Other languages that exhibit such phenomena include Czech, Dutch, Russian, and Polish. Thus, we hope that our approach can also be applied successfully to other language pairs.

To support further experimentation by the community, we publicly release our developed software and complete tool-chain (http://www.ims.uni-stuttgart.de/forschung/ressourcen/werkzeuge/mbotmoses.html).

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