Rotor Speed and Parameters Identification Scheme for Sensorless Induction Motor Drives

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Abstract: Most industrial processes have time-varying parameters, the Induction Motor IM is a typical example, in fact the rotor resistance (Rr) can vary and reach 100% of its nominal value during operation because of the heating of the rotor, the effect of the variation of the stator resistance (Rs) is very influential at low speed for our case because it can go up to 50% of its initial value, it is proposed in this work to developed a method that involves the observation of the speed and the simultaneous estimation of the principal parameters varying in time, and in particular the estimation of the stator resistance Rs and rotor Rr of the induction motor using sliding-mode observer when only the stator currents and voltages are accessible by measurement. After the theoretical study we will validate the method proposed by the simulation results where we will show the fairly fast convergence of this method as well as its robustness.

Keywords: IM Control, Sliding Mode Observer, Parameter Estimation, Field Oriented Controller

1. Introduction

The field-oriented control technique has been widely used for high-performance induction motor (IM) drive. With this technique, the decoupling of torque and flux control commands of the induction motors is guaranteed and the induction motor can be controlled linearly as a separated excited dc motor. Indirect field-oriented control (IFOC) is one of the most effective vector controls of IM due to the simplicity of design and implementation [1]. But, the knowledge of the rotor speed is necessary, this necessity requires additional speed sensor which adds to the cost and the complexity of the drive system. Over the past few years, ongoing research has concentrated on the elimination of the speed sensor at the machine shaft without deteriorating the dynamic performance of the drive control system. The advantages of speed sensorless induction motor drives are reduced hardware complexity and lower cost, reduces size of the drive machine, elimination of the sensor cable, better noise immunity, increased reliability and less maintenance requirements, [2].

In order to achieve good performance of sensorless vector control, different speed estimation schemes have been proposed, and a variety of speed estimators exist nowadays [3]. Such as direct calculation method, model reference adaptive system (MRAS), Extended Kalman Filters (EKF), Extended Luenberger observer (ELO), etc.

Out of various approaches, Luenberger observer based speed sensorless estimation has been recently used, due to its good performance and ease of implementation. The Luenberger observer (LO) belongs to the group of closed loop observers. It is a deterministic type of observer because it is based on a deterministic model of the system, [4].

Therefore, parameter errors can degrade the speed control performance. However, the rotor and the stator resistance variation has a great influence on the speed estimation [2], [5]. So, online adaptation of the stator resistance can improve the performance of sensorless IFOC drive at the low speed region. So, a simultaneous estimation of rotor speed with stator and stator resistance is presented based on a luenberger observer.

This paper is organized as follows. In Section 2, dynamic model of induction motor is reported; principle of field-oriented controller is given in Section 3. The proposed solution is presented in Section 4. In Section 5, results of
2. Dynamic Model of Induction Motor

By referring to a rotating reference frame, denoted by the superscript \((d, q)\), the dynamic model of a three-phase induction motor can be expressed as follows, \([2]\):

\[
\begin{align*}
\frac{d}{dt}i_{sd} &= -A_1 i_{sd} + \omega_s i_{sq} + \frac{L_m}{\sigma L_s T_r} \phi_{rd} + A_2 \omega_r \phi_{rq} + A_3 V_{sd} \\
\frac{d}{dt}i_{sq} &= -\omega_s i_{sd} - A_1 i_{sq} - A_2 \omega_r \phi_{rd} + \frac{L_m}{\sigma L_s T_r} \phi_{rq} + A_3 V_{sq} \\
\frac{d}{dt}\phi_{dr} &= \frac{L_m}{T_r} i_{sd} - \frac{1}{T_r} \phi_{rd} + (\omega_s - \omega_r) \phi_{rq} \\
\frac{d}{dt}\phi_{qr} &= \frac{L_m}{T_r} i_{sq} - (\omega_s - \omega_r) \phi_{rd} - \frac{1}{T_r} \phi_{rq} \\
\frac{d}{dt}\omega_r &= \frac{p}{J} (T_{em} - T_r) - \frac{f}{J} \omega_r.
\end{align*}
\]  

(1)

Where

\[
A_1 = \left( \frac{R_1}{\sigma L_s} + \frac{1-\sigma}{\sigma T_r} \right), \quad A_2 = \frac{L_m}{\sigma L_s L_r}, \quad A_3 = \frac{L^2_m}{L_s L_r}, \quad \sigma = \omega_s - \omega_r
\]

\[
T_{em} = \frac{3}{2} \frac{p}{L_r} \left( \phi_{rd} i_{sq} - \phi_{rq} i_{sd} \right)
\]

\(\omega_s\) and \(\omega_r\) are the electrical synchronous stator and rotor speed; \(\sigma\) is the linkage coefficient, and \(T_r\) is the rotor time constants.

3. Principle of Field Oriented Controller (Foc)

There are tow categories of vector control strategy. We are interested in this study to the so-called IFOC. As shows in Eq (1) that the expression of the electromagnetic torque in the dynamic regime presents a coupling between stator current and rotor flux, \([2]\).

The main objective of the vector control of induction motors is, as in DC machines, to independently control the torque and the flux; this is done by using a \(d-q\) rotating reference frame synchronously with the rotor flux space vector. The \(d\)-axis is then aligned with the rotor flux space vector (Blaschke, 1972). Under this condition we get:

\[
\phi_{rd} = \phi_r, \text{ and } \phi_{rq} = 0
\]

The torque equation becomes analogous to the DC machine and can be described as follows:

\[
T_e = \frac{3}{2} \frac{p}{L_r} \phi_r (\varphi_{r1q} - \varphi_{r1d})
\]

(2)

It is right to adjust the flux while acting on the stator current component \(i_{sq}\) and to adjust the torque while acting on the \(i_{sd}\) component.

Using the Eq (1) we get:

\[
i_{sd} = \frac{p}{L_m} \frac{(1+T_r)\phi_r^*}{\varphi_{r1d}}
\]

(3)

\[
i_{sq} = \frac{T_r}{L_m} \omega_r^* \varphi_{r1q}^*
\]

(4)

We replace \(i_{sq}\) by its expression to obtain \(T_e\) as function of the reference slip speed \(\omega^*\):

\[
T_e = \frac{3}{2} \frac{p}{L_r} \frac{\varphi_r^* \varphi_r^2}{\omega^*}
\]

(5)

The stator voltage commands are:

\[
\begin{align*}
\varphi_{sd} &= R_s i_{sd} - \sigma L_s \omega_s i_{sq} + \sigma L_s \frac{di_{sd}}{dt} + \frac{L_m}{L_r} \frac{d\varphi_r}{dt} \\
\varphi_{sq} &= R_s i_{sq} + \sigma L_s \omega_s i_{sd} + \sigma L_s \frac{di_{sq}}{dt} + \frac{L_m}{L_r} \omega_0 \varphi_r
\end{align*}
\]

(6)

The voltages \(\varphi_{sd}\) and \(\varphi_{sq}\) should act on the current \(i_{sd}\) and \(i_{sq}\) separately and consequently the flux and the torque. The two-phase stator currents are controlled by two PI controllers taking as input the reference values \(i_{sd}^*\), \(i_{sq}^*\) and the measured values. Thus, the common thought is to realize the decoupling by adding the compensation terms (\(\tilde{e}_{sd}\) and \(\tilde{e}_{sq}\)).

The block decoupling is described by the following equations:

\[
\begin{align*}
\tilde{e}_{sd} &= \omega_s \sigma L_s \varphi_{r1d} - \frac{L_m}{L_r} \omega_0 \varphi_r \\
\tilde{e}_{sq} &= -\omega_s \sigma L_s \varphi_{r1d} - \frac{L_m}{L_r} \omega_0 \varphi_r
\end{align*}
\]

(7)

It is necessary to determine the amplitude and the position of rotor flux. In the case of an indirect field oriented control, the module is obtained by a block of field weakening given by the following non linear relation:

\[
\varphi_r^* = \left\{ \begin{array}{ll}
\varphi_r & \text{if } |\omega_r| \leq \omega_m \\
\varphi_r \left| \frac{\omega_m}{\omega_r} \right| & \text{if } |\omega_r| > \omega_m
\end{array} \right.
\]

(8)

The slip frequency can be calculated from the values of the
The rotor flux position is given by:

\[
\theta_s = \int \omega_s \, dt = \int \left( p \Omega + \frac{L_m}{T_r} i_{sq} \right) \, dt
\]  

(10)

For Speed Regulation, the use of a classical PI controller makes appear in the closed loop transfer function a zero, which can influence the transient of the speed. Therefore, it is more convenient to use the so-called IP controller which has some advantages as a tiny overshoot in its step tracking response, good regulation characteristics compared to the proportional plus integral (PI) controller and a zero steady-state error, [2].

\[
\omega_s (s) = \frac{k_i k_p k_r p}{J s^2 + (B + k_p k_r p) s + k_i k_p k_r p}
\]  

(11)

The rotor flux position is given by:

\[
\theta_s = \int \omega_s \, dt
\]

(9)

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The gains of IP controller, \(K_p\) and \(K_i\), are determined using a design method to obtain a trajectory of speed with the desired parameters (\(\xi\) and \(n_\omega\)). The gains parameters values of the IP speed controller are easily obtained as:

\[
\begin{align*}
K_{pa} &= \frac{(2 \xi \omega_s J - B) R_s}{p \Phi_r^2} \\
K_{ia} &= \frac{J \omega_s^2}{K_{pa} p^2 \Phi_r^2}
\end{align*}
\]  

(12)

4. Luenberger Observer

The Luenberger observer (LO) belongs to the group of closed loop observers. It is a deterministic type of observer because it is based on a deterministic model of the system. This observer can reconstruct the state of a system observable from the measurement of inputs and outputs. It is used when all or part of the state vector can not be measured, [2], [7]. It allows the estimation of unknown parameters or variables of a system.

The equation of the Luenberger observer can be expressed as:

\[
\begin{cases}
\dot{\hat{x}} = A\hat{x} + BU + K(Y - \hat{y}) \\
\hat{y} = C\hat{x}
\end{cases}
\]  

(13)

In this work, a sensorless Indirect Rotor-Flux-oriented Control (IFOC) of induction motor drives is studied. The strategy to estimate the rotor speed, stator resistance and the flux components is based on Luenberger state-observer (LO) including an adaptive mechanism based on the lyaponov theory, as displayed in Figure 3.

Figure 3. Luenberger Observer.

4.1. Rotor Model of Induction Motor in the Coordinate (a, b)

The model of the induction motor can be described by following state equations in the stationary reference (\(a, b\)), [2], [8]:

\[
\begin{align*}
\dot{\hat{x}} &= A\hat{x} + BU \\
\hat{y} &= C\hat{x}
\end{align*}
\]  

(14)

With: \(X = [i_{\alpha a} \ i_{\beta b} \ \Phi_{\alpha a} \ \Phi_{\beta b}]^T\); \(U = [v_{\alpha a} \ v_{\beta b}]^T\); \(Y = [i_{\alpha a} \ i_{\beta b}]^T\).

The state equations can be written as follows:

\[
\begin{align*}
\dot{i}_{\alpha a} &= a_1 i_{\alpha a} + a_2 \Phi_{\alpha a} \Phi_{\beta b} - a_3 \omega_s \Phi_{\alpha a} + a_6 v_{\alpha a} \\
\dot{i}_{\beta b} &= a_1 i_{\beta b} + a_2 \Phi_{\beta b} + a_3 \omega_s \Phi_{\beta b} + a_6 v_{\beta b} \\
\dot{\Phi}_{\alpha a} &= a_4 i_{\alpha a} + a_5 \Phi_{\alpha a} - a_7 \Phi_{\beta b} \\
\dot{\Phi}_{\beta b} &= a_4 i_{\beta b} + a_5 \Phi_{\beta b} + a_7 \Phi_{\alpha a}
\end{align*}
\]  

(15)

Where:

\[
\begin{align*}
a_1 &= -\frac{1}{\sigma T_s} - \frac{(1-\sigma)}{\sigma T_r} \\
a_2 &= \frac{L_m}{\sigma L_s L_r} \frac{1}{T_r} \\
a_3 &= -\frac{L_m}{\sigma L_s L_r} \\
a_4 &= \frac{L_m}{T_r} \\
a_5 &= -\frac{1}{T_r} \\
a_6 &= \frac{1}{\sigma L_s} \\
a_7 &= \frac{1}{\sigma L_r}
\end{align*}
\]  

4.2. Determination of the Gain Matrix

The determination of the matrix \(K\) using the conventional procedure of pole placement. We proceed by imposing the poles of the observer and therefore it’s dynamic.
Determining the coefficients of $K$ by comparing the characteristic equation of the observer with the one we wish to impose. In developing the different matrices $A$, $C$ and $K$ we obtain the following equation:

\[
p^2 + \left( \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} - j\omega_b + K \right)p + \left( \frac{1}{T_r} - j\omega_b \right)\left( \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} \right) + \left( \frac{L_m}{T_r} \right)^2 K = 0
\]

(16)

For the coefficients of the gain matrix of the observer is placed:

\[
K' = (k-1) \left\{ \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} - j\omega_b \right\}
\]

(18)

\[
K'' = (k-1) \left[ \left( \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} \right) - j\omega_b \right] (k-1) - \frac{\sigma L_s L_m}{\sigma T_r} \left( \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} \right) + \frac{j\omega_b \sigma L_s L_m}{\sigma T_r}
\]

(19)

For the coefficients of the gain matrix of the observer is placed:

\[
K' = K_1 + jK_2 \\
K'' = K_3 + jK_4
\]

(20)

and in accordance with the antisymmetry of the matrix $A$ we set the gain as follows:

\[
K = \begin{bmatrix}
K_1 & -K_2 \\
K_2 & K_1 \\
K_3 & -K_4 \\
K_4 & K_3
\end{bmatrix}
\]

(21)

\[
K_1 = (k-1) \left\{ \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} - j\omega_b \right\}
\]

\[
K_2 = (k-1) \omega_b \\
K_3 = \frac{a_3}{a_4} \left[ \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} \right]^2 + (k-1) \frac{1}{a_3} \left[ \frac{1}{\sigma T_s} + \frac{1}{\sigma T_r} \right] \\
K_4 = \frac{a_3}{a_4} \omega_b
\]

Or: $\Delta = (A - K.C).e + (\Delta A).\hat{X}$

\[
\hat{\omega} = A_{\omega_b} (\hat{\omega}_b) \hat{X} + B.U + K.(I_s - \hat{I}_s)
\]

(23)

For the identification of expressions (16) and (17) gives:

\[
\frac{k}{\sigma T_s} \left( \frac{1}{\sigma T_r} + \frac{1}{\sigma T_r} \right) + \frac{L_m}{T_r} K = 0
\]

(22)

So the state space of the observer becomes as follows:

\[
\hat{X} = A_{\omega_b} (\hat{\omega}_b) \hat{X} + B.U + K.(I_s - \hat{I}_s)
\]

With

\[
(I_s - \hat{I}_s) = [I_{sa} - \hat{I}_{sa} \quad \hat{I}_{sb} - \hat{I}_{sb}]
\]

(24)

The poles of the observer are chosen to accelerate convergence to the dynamics of the open loop system. In general, the poles are 5-6 times faster, but they must remain slow compared to measurement noise, so that we choose the constant $k$ usually small.

4.3. State Representation of the Luenberger Observer

As the state is generally not available, the goal of an observer is to place an order by state feedback and estimate this state by a variable which we denote $\hat{X}$:

\[
\hat{X} = [\hat{I}_{sa} \quad \hat{I}_{sb} \quad \hat{\phi}_{sa} \quad \hat{\phi}_{sb}]
\]

(22)

\[
\hat{X} = A_{\omega_b} (\hat{\omega}_b) \hat{X} + B.U + K.(I_s - \hat{I}_s)
\]

With

\[
(I_s - \hat{I}_s) = [I_{sa} - \hat{I}_{sa} \quad \hat{I}_{sb} - \hat{I}_{sb}]
\]

(23)

4.4. Adaptive Luenberger Observer for Speed Estimation

Suppose now that speed is an unknown constant parameter. It's about finding an adaptation law that allows us to estimate it. The observer can be written, [2]:

\[
\hat{X} = A_{\omega_b} (\hat{\omega}_b) \hat{X} + B.U + K.(I_s - \hat{I}_s)
\]

With

\[
A_{\omega_b} (\hat{\omega}_b) = \begin{bmatrix}
a_1 & 0 & a_2 & -a_3 \hat{\omega}_b \\
0 & a_1 & -a_3 & \hat{\omega}_b \\
a_4 & 0 & a_5 & -\hat{\omega}_b \\
0 & a_4 & \hat{\omega}_b & a_5
\end{bmatrix}
\]

The mechanism of adaptation speed will be reduced by Lyapunov theory. The estimation error of the stator current and rotor flux, which is simply the difference between the observer and the engine model, is given by:

\[
\dot{e} = (A - K.C).e + (\Delta A).\hat{X}
\]

(24)

With

\[
\Delta = -a_3 \Delta \omega_b \\
\Delta A = A(\omega_b) - A(\hat{\omega}_b) = \begin{bmatrix}
0 & 0 & a_3 \Delta \omega_b \\
0 & 0 & -a_3 \Delta \omega_b \\
0 & 0 & -\Delta \omega_b \\
0 & 0 & \Delta \omega_b
\end{bmatrix}
\]

Or:

\[
\Delta \omega_b = \omega_b - \hat{\omega}_b
\]
Now consider the following Lyapunov function:

\[ V = e^T e + (\Delta \omega_r)^2 / \lambda \]  

(25)

Its derivative with respect to time is:

\[ \frac{dV}{dt} = e^T \left\{ (A - K.C)^T + (A - K.C) \right\} e 
- 2a_3 \Delta \omega_r (e_{1s\alpha} \dot{\phi}_r - e_{1s\beta} \dot{\phi}_r) \right\} e 
\]

\[ - \frac{2\Delta \omega_r}{\lambda} \frac{d}{dt} \Delta \omega_r \]  

(26)

A sufficient condition for uniform asymptotic stability is that equation (34) is negative, which amounts to cancel the last two terms in this equation (since the other terms of the second member of (27) are always negative), in which case we can deduce the adaptation law to estimate the rotor speed by equating the second and third term of Eq. It is estimated the speed by a PI controller described by the relationship:

\[ \hat{\omega}_r = K_p (e_{1s\alpha} \dot{\phi}_r - e_{1s\beta} \dot{\phi}_r) + \frac{K_i}{s} \int (e_{1s\alpha} \dot{\phi}_r - e_{1s\beta} \dot{\phi}_r) dt \]  

(28)

With Kp and Ki are positive constants.

4.5. Adaptive Luenberger Observer for Speed and Stator Resistance Estimation

Vector control is sensitive to the motor parameter variation. Especially, stator and rotor resistance vary widely with the motor temperature, [5], [6].

If the rotor speed and stator resistance are considered as variable parameters, assuming no other parameter variations, so the state space of the observer becomes as follows:

\[ \dot{X} = (A_{ss} (\hat{\omega}_r) + A_{SR} (\hat{R}_r)), \dot{X} = B_1 U + K (I_s - \hat{I}_s) \]  

(29)

With \( (I_s - \hat{I}_s) = [I_{sa} - \hat{I}_{sa} \hat{I}_{sb} - \hat{I}_{sb}] \)

The estimation error of the stator current and rotor flux is given by:

\[ e = (A - K.C) e + [(\Delta A) + (\Delta A^T)] \dot{X} \]  

(30)

With:

\[ \Delta A = \begin{bmatrix} -a_3 \Delta R_s & 0 & 0 & 0 \\ 0 & -a_3 \Delta R_s & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \]

A Lyapunov function candidate is defined as follows:

\[ V' = e^T e + (\Delta \omega_r)^2 / \lambda = V + (\Delta R_r)^2 / \lambda \]  

(31)

And

\[ \Delta \omega_r = \omega_r - \hat{\omega}_r, \Delta R_r = R_r - \hat{R}_r \]

The adaptive scheme for stator resistance estimation is found by, [5], [6]:

\[ \hat{R}_r = K_p \left( (I_{sa} - \hat{I}_{sa}) \dot{i}_{sa} + (I_{sb} - \hat{I}_{sb}) \dot{i}_{sb} \right) + \frac{K_i}{s} \int (I_{sa} - \hat{I}_{sa}) \dot{i}_{sa} + (I_{sb} - \hat{I}_{sb}) \dot{i}_{sb} dt \]  

(32)

With:

\[ i_{sa} - \hat{i}_{sa} = e_{1s\alpha}, i_{sb} - \hat{i}_{sb} = e_{1s\beta} \]

Kp and Ki are positive constants. The role of adaptive mechanisms is to minimize the following errors.

4.6. Estimation of Rotor Resistance

To improve the performance and simplify the vector control and reduce its cost, we will base this part on a hypothesis to deduce the value of the rotor resistance estimate from the estimation of the stator resistance. Still, it is assumed that the motor windings are almost at the same temperature, and neglecting the skin effect, the resistances will vary proportionally.

The rotor resistance estimate can be determined by the following relation, [9], [10]:

\[ \hat{R}_r = \frac{R_{r,n}}{R_{sa,n}} \hat{R}_s \]

\[ R_{sa,n} \] and \[ R_{r,n} \] are the nominal values of stator and rotor resistances, respectively.
Figure 3. Luenberger Observer for simultaneous estimation of speed, $R_s$ and $R_r$.

Figure 4. Simulation results of the speed estimation with stator resistance increased sharply by 50% from $R_s$ and rotor resistance increased sharply by 50% from $R_r$. 
5. Results of Simulations and Discussion

Adjustment performance with the proposed observation algorithm is evaluated by numerical simulation for several operating conditions of the machine with indirect vector control (IFOC).

The simulation results (Figures 4), show the simultaneous estimation of the speed and two resistors (stator and rotor)

It is clear that:

- The estimated resistances converge to the nominal resistances quickly and with great accuracy, or the estimation error is acceptable after a very short transient regime.
- The injection of these values into the flux observer keeps the performances of the flux observer and the vector control, in fact all the basic magnitudes of the machine (speed, rotor flux, stator currents, and the torque) converge to their nominal values.
- So can say that all the parameters are identical (resistances of the motor and the observer).

Figure 5 illustrates simulation results of Speed Sensorless Vector Control based on speed estimation and two resistors simultaneously, for a low speed reference (5 rad / sec) and application of a 10 Nm resistive torque at times t = 1s.

From these results, find that:
- At the beginning of the simulation the stator resistance is equal to its nominal value. At time t = 1.5 sec the value of Rs increases to a value of 7.275 Ohm, which corresponds to an increase of + 50% of its nominal value as well as the rotor resistance (+ 50% Rr).
- So, can note that:
  - The estimation of the two resistances carried out precisely.
  - The estimation of the speed is done correctly.
  - The decoupling is still maintained, which proves the efficiency of the rotor resistance estimation.

This proves that our system responds successfully to this type of test and the estimator remains stable.

6. Conclusion

This paper has outlined a new scheme for a sensorless speed control scheme for induction motors. Theoretical analysis and simulation results demonstrate that the proposed speed control scheme has a good speed response and so confirm the feasibility of the proposed algorithm. Practical implementation of the proposed method is a subject of future follow up research work.

Appendix

Induction Motor Parameters
50 Hz, 1.5 Kw, 1420 rpm, 380 V, 3.7A, Rr=3.805Ω, Rs=4.85Ω, Ls 274=mH, Ls 274=mH 0.031=J kg.m², F=0.00114kg.m²/s

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