Mechanism for puddle formation in graphene

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When graphene is close to charge neutrality, its energy landscape is highly inhomogeneous, forming a sea of electron-like and hole-like puddles, which determine the properties of graphene at low carrier density. However, the details of the puddle formation have remained elusive. We demonstrate numerically that in sharp contrast to monolayer graphene, the normalized autocorrelation function for the puddle landscape in bilayer graphene depends only on the distance between the graphene and the source of the long-ranged impurity potential. By comparing with available experimental data, we find quantitative evidence for the implied differences in scanning tunneling microscopy measurements of electron and hole puddles for monolayer and bilayer graphene in nominally the same disorder potential.

I. INTRODUCTION

In monolayer graphene, the hexagonal arrangement of carbon atoms dictates that in the absence of atomic-scale disorder, graphene is a gapless semiconductor that is always metallic at low temperature. This metallic behavior holds even in the presence of quantum interference and strong disorder, in stark contrast to most other materials, which undergo a metal-to-insulator transition at low carrier density. The physical origin for this robust metallic state is that the ground-state of graphene at vanishing mean carrier density becomes spatially inhomogeneous, breaking up into electron-rich and hole-rich metallic regions connected by highly conducting p-n junctions. Bilayer graphene comprising two sheets of graphene that become strongly coupled due to the AB stacking arrangement shares some properties with regular semiconductors (such as the parabolic band dispersion) and in other ways behaves like monolayer graphene, including having chiral wavefunctions and forming electron and hole puddles at low density.

These electron and hole puddles have now been observed in several experiments of exfoliated graphene on an insulating SiO$_2$ substrate including Refs. 3–14. While these authors suggest that long-range charged impurities in the substrate could be responsible for the spatial inhomogeneity, detailed comparisons to microscopic models have not been made.

In this paper, we demonstrate that differences between the spatial properties of puddles in monolayer and bilayer graphene can be quantitatively explained by the differences in the screening properties of the two systems (that ultimately arises from the differences in their bandstructure). Numerical results show that the correlation length for bilayer graphene is relatively independent of density and significantly smaller than that of monolayer graphene for a typical range of impurity densities. Finally, we find good quantitative agreement when comparing our results with available experimental data.

The rest of the paper is organized as follows. In Sec. II we outline the theoretical model, providing a heuristic understanding of our results using the Thomas-Fermi (TF) screening theory. However, the TF significantly underestimates the effect of electronic screening in both monolayer and bilayer graphene. It is therefore necessary to use the Random Phase Approximation (RPA) screening theory, which we discuss in Sec. III. Our main finding is that the puddle correlation length in bilayer graphene ($\xi \approx 3.5$ nm) is relatively insensitive to the impurity concentration and carrier doping. This is in contrast to monolayer graphene, where the puddle correlation length varies from 3 nm in dirty samples to more than 35 nm in clean samples.

The comparison with experiment is done in Sec. IV, where we examine three different experimental results: (1) We consider first the experimentally determined normalized correlation function $A(r)$ (see definition below) obtained from the scanning tunneling microscopy (STM) data reported for exfoliated bilayer graphene in Ref. 13. The full functional form of $A(r)$ agrees with the theory where the only adjusted parameter in the theory is the distance $d$ of the impurities from the graphene sheet. In particular the experimentally determined correlation length $\xi = (3.68\pm0.03)\text{ nm}$, defined here as the half-width at half-maximum (HWHM) decay length of $A(r)$ agrees well with the $d = 1$ nm RPA theory value of $\xi = 3.4$ nm. This value of $d$ is both reasonable and consistent with those determined from other transport measurements on bilayer graphene. (2) From the monolayer graphene STM experimental data reported in Ref. 13 we extract a correlation length 6 nm $< \xi < 11$ nm. Since the measurements were made on the same exfoliated graphene sample containing both single layer and bilayer graphene regions, we expect that the extrinsic disorder potential is statistically identical for the two samples. Therefore, using the value of $d = 1$ nm (discussed above) and the disorder induced Dirac point shift reported in Ref. 14, we calculate theoretically (without any adjustable pa-
rameters) that a monolayer graphene sample in the same disorder environment would have a puddle correlation length $\xi = 8$ nm, in reasonable agreement with the experiment. (3) We then compare $A(r)$ obtained using scanning Coulomb blockade spectroscopy reported in Ref. 12 with our theoretical results for monolayer graphene at the Dirac point. The parameters used in the theory were obtained from separate transport measurements on the same experimental sample. The agreement between theory and experiment is remarkable since it involves no adjustable parameters. Finally in Sec. IV we conclude by making predictions for future experiments involving monolayer and bilayer graphene on BN substrates.

II. FORMALISM

The doping level of graphene can be measured in a variety of different ways. In transport measurements, the gate voltage potential that yields the resistivity maximum identifies the extrinsic doping level due to extraneous sources, such as charged impurities in the substrate impurities with density, $n_{\text{imp}}$. While the width of the resistivity maximum is a measure of the homogeneity of the sample, the sample, resistivity maximum is a measure of the homogeneity of the sample, $\Delta n$. The Dirac point variation can then be used to extract the spatial dependence of the local carrier density (characterized by a width $n_{\text{rms}}$). The agreement between theory and experiment is remarkable since it involves no adjustable parameters. Finally in Sec. IV we conclude by making predictions for future experiments involving monolayer and bilayer graphene on BN substrates.

To theoretically compute the correlation functions for puddles in graphene, we make two assumptions. First, the impurity potential comes from a random two dimensional distribution of charged impurities displaced by a distance $d$ from the plane with density $n_{\text{imp}}$. This model has been highly successful in describing the effect of disorder in semiconductor heterojunctions and in graphene. This spatially varying potential gives rise to a varying charge density and local variations in the screening of the potential. Second, we assume that it is possible to find a global screening function $\epsilon(q, n_{\text{eff}})$ that adequately describes the effects of these local screening variations. Here, the screening depends on the disorder potential only through an effective carrier density $n_{\text{eff}}$. This self-consistent screening model has been used previously to understand the minimum conductivity problem in both monolayer and bilayer graphene. If these two assumptions are satisfied, the correlation function, aside from a prefactor of $n_{\text{imp}}$, then depends only on the screened impurity potential

$$C(r) = 2 \pi n_{\text{imp}} \int dq \frac{q \exp(-2qd)}{q^2(q^2+q^2_{n_{\text{eff}}})} J_0(qr)$$

where $\kappa$ is the bulk (3D) dielectric constant, $\epsilon(q)$ is the surface (2D) screening function in the plane, $-e$ the electron charge, and $J_0(x)$ is a Bessel function.

As an illustration, consider the Thomas-Fermi (TF) screening for which the surface dielectric function is given by $\epsilon(q, n_{\text{eff}}) = 1 + q_{\text{TF}}(n_{\text{eff}})/q$, where $q_{\text{TF}}(n_{\text{eff}})$ (discussed below) is the Thomas-Fermi screening wavevector. Shown in Fig. 1 is a calculation of $C(r)$ for different values of $q_{\text{TF}}$ and $d$. Notice that for fixed $q_{\text{TF}}$, the spatial dependence of $C(r)$ depends on $d$ and $q_{\text{TF}}$, but not on $n_{\text{imp}}$. On the other-hand, the function $C(0)$ (which, within the TF can be calculated analytically) depends on $n_{\text{imp}}$, $\kappa$, $d$ and $q_{\text{TF}}$. This is why we find it useful to use the normalized correlation function $A(r) = C(r)/C(0)$ that describes the spatial profile of the screened impurity potential. We also emphasize that $A(r)$ contains different information than the typical puddle size – for example, the puddle correlation length $\xi$ (recall that $\xi$ is defined as the HWHM of $A(r)$) describes the width of the screened impurity potential, and not the mean impurity separation. For example, in the low impurity density limit, spatial maps of the puddles would show isolated impurities, but $A(r)$ would not change (for fixed $q_{\text{TF}}$). Moreover, since $A(r)$ scales differently with $q_{\text{TF}}$ and $d$, in principle, both of these length scales can be extracted from a measurement of $A(r)$.

Within the TF screening theory, any differences between monolayer and bilayer graphene can only arise from differences in $q_{\text{TF}}(n_{\text{eff}})$. The linear dispersion in monolayer graphene and the hyperbolic dispersion in bilayer graphene gives rise to these differences. The low energy linearly dispersing bands of monolayer graphene can be modeled by a single parameter, the Fermi velocity $v_F$, or equivalently, the effective fine-structure constant $r_s = e^2/(\hbar v_F) \approx 0.8$. $r_s$ characterizes the
The Thomas-Fermi screening wavevector for bilayer graphene is given by $q_{TF} = 4r_s\sqrt{\pi n_{\text{eff}}} \approx 4r_s\sqrt{\pi n_0}$, where $n_{\text{eff}}$ is the effective carrier density.

Bilayer graphene can be modeled with a hyperbolic dispersion with two parameters $v_F$ (throughout this manuscript, $v_F$ is the Fermi velocity of a single decoupled graphene sheet), and the low-energy effective mass $m_{\text{eff}}$. For simplicity we use for the two parameters $r_s$ (defined above) and $n_0 = m_{\text{eff}}^2v_F^2/(\hbar^2\pi) \approx 2.3 \times 10^{12}$ cm$^{-2}$ which is the characteristic density scale for the crossover from a (low density) parabolic to a (high density) linear dispersion. The Thomas-Fermi screening wavevector for bilayer graphene is given by $q_{TF} = 4r_s\sqrt{\pi n_{\text{eff}}} \approx 4r_s\sqrt{\pi n_0}$.

As the system approaches the Dirac point, the fluctuations in carrier density become larger than the average density. In this case, screening varies spatially with the density fluctuations. We assume that it is possible to describe the effect of this screening by using the screening for an ideal system and an effective carrier density $n_{\text{eff}}$ obtained self-consistently. This is done by equating the squared Fermi level shift with respect to the Dirac point with the square of the potential fluctuations, $E[n = n_{\text{eff}}] = C(0)$ where $C(0)$ is defined in Eq. 2 and $E[n] = \hbar v_F\sqrt{\pi n}$ for monolayer graphene, and $E[n] = v_F^2n_{\text{eff}}^{1/2}[1 + n/n_0]^{-1/2}$ for bilayer graphene.

The result of this procedure are shown in Fig. 2.

Within the TF theory, we can now qualitatively discuss the main differences between monolayer and bilayer graphene. For bilayer graphene, the inverse screening length changes only slightly from the low density value of $q_{BLG} \approx 4r_s\sqrt{\pi n_0}$ that is set entirely by the band parameters. As a consequence, the puddle correlation length does not change with the impurity concentration or carrier density, and depends only on the distance $d$ of the bilayer graphene sheet from the source of the long-ranged impurity potential. In contrast, for monolayer graphene, $q_{MLG} = 4r_s\sqrt{\pi n_{\text{eff}}}$ depends essentially on $C(0)$ (and therefore on $n_{\text{imp}}$). This heuristic description (which we make more quantitative below) implies that depending on the sample quality, choice of substrate, or doping, the puddle correlation length in monolayer graphene (but not bilayer graphene) could vary by more than an order of magnitude.

III. RANDOM PHASE APPROXIMATION

While the TF screening theory discussed in the previous section is useful to obtain a qualitative picture, we find that it significantly underestimates the effect of electronic screening. In both monolayer and bilayer graphene it gives larger values for $C(0)$ and smaller values for $\xi$.

In what follows we use the the random phase approximation (RPA) where the screening function is obtained using $\epsilon(q) = 1 + q_{TF}\Pi(q)/q$.

The normalized polarizability $\Pi(q)$ for monolayer and bilayer graphene are both available in the literature. We note that for monolayer graphene $\Pi(q)$ depends only on the dimensionless variable $x = q/(2\sqrt{\pi n_{\text{eff}}})$

$$
\tilde{\Pi}(x) = 1 + \theta(x-1) \left\{ \frac{\pi x}{4} - \frac{1}{2} \arccsc(x) - \frac{\sqrt{1-x^{-2}}}{2} \right\},
$$

$$
\approx \theta(1-x) + \theta(x-1) \frac{\pi x}{4},
$$

where $\theta(x)$ is a step-function and $D_0$ is the density of
states with \( q_{TF} = 2\pi (e^2/k) D_0 = 4\sqrt{\pi} n_{\text{eff}} r_s \).

In contrast, for bilayer graphene, the polarizability depends both on the scaled momentum transfer \( x \), and on \( \eta = n_{\text{eff}}/n_0 \), which parameterizes the bilayer hyperbolic dispersion relation. For \( \eta \ll 1 \), the bilayer graphene dispersion is quadratic, while for \( 1 \ll \eta \ll 8 \), the dispersion is linear. For \( \eta > 8 \), one must consider the effects of a second higher-energy band that provides additional screening and is not considered here. By restricting the density to \( n \leq 8n_0 \), we can simplify the expression for the bilayer polarizability reported in Ref. [19]. Using the bilayer density of states \( D = D_0 \sqrt{1 + \eta} \), with \( D_0 = 2m/(\pi \hbar^2) \), the normalized polarizability function \( \Pi(x, \eta) = \Pi(q)/D_0 \) is given by

\[
\Pi(x, \eta) = f(x, \eta) + \theta(x-1)g(x, \eta),
\]

\[
f(x, \eta) = \left[ 1 - x^2\eta + x^4(2 + \eta + 2\sqrt{1 + \eta}) \right]^{1/2} - \ln \left[ \frac{2\sqrt{1 + \eta} x^2}{1 - 1 + \sqrt{1 + \eta}} \right] - \frac{1}{2} + \frac{3\eta - 1}{2x\sqrt{\eta}} \arctan(x\sqrt{\eta})
\]

\[
+ \sqrt{1 - x^2\eta} \left( 2\arctanh(\sqrt{1 - \eta}x^2) - \arcsinh \left[ \sqrt{1 - x^2\eta(-1 + \sqrt{1 + \eta})} \right] \right) + \sqrt{1 + \eta}.
\]

\[
g(x, \eta) = -\sqrt{x^2 - 1} \left( 1 + \eta + 2\sqrt{1 + \eta} \right) + \frac{(3\eta - 1)}{2\sqrt{\eta}} \arccos \left[ \frac{1 + \eta}{1 + x^2\eta} \right] + \arctanh \left[ \frac{x\sqrt{x^2 - 1} \eta}{1 + x^2\eta - \sqrt{1 + \eta}} \right].
\]

The polarizability functions for monolayer and bilayer graphene are shown in Fig. 3. What is left is to calculate the effective residual density \( n_{\text{eff}} \) as a function of impurity concentration. As discussed earlier, this is obtained by first calculating the autocorrelation function \( C(0) \) from Eq. [2] using the RPA results shown in Fig. 2. Figure 4 shows the autocorrelation function \( C(0) \) obtained using the RPA results (solid lines) as well the Thomas-Fermi results (dashed lines). We note that except at very high density (where both monolayer and bilayer graphene approach the “complete screening” limit, with \( C(0) \approx (4k_F r_s d)^{-2} \)), the Thomas-Fermi approximation grossly underestimates the effect of screening. Moreover, for typical densities in bilayer graphene \( C(0) \) is approximately constant and independent of carrier density consistent with the heuristic picture discussed at the end of Sec. II.

The effective density calculated within the RPA is shown in Fig. 2. For bilayer graphene, we find that the following empirical relationship adequately describes the numerical results

\[
n_{\text{eff}} = \sqrt{n_{\text{imp}} n_1},
\]

where \( n_1 = 11.5 \times 10^{11} \text{ cm}^{-2} \) for the Thomas-Fermi approximation, and \( n_1 = 6.8 \times 10^{11} \text{ cm}^{-2} \) for the RPA results. The scaling of the bilayer effective density \( n_{\text{eff}} \sim \sqrt{n_{\text{imp}}} \) can be anticipated for the TF approximation in the limit \( n_{\text{eff}} \ll n_0 \). However, it is surprising that the simple empirical relation continues to hold both for the RPA screening theory, and for larger values of \( n_{\text{eff}} \).

This dependence of \( n_{\text{eff}} \sim \sqrt{n_{\text{imp}}} \) for bilayer graphene should be contrasted with similar results obtained previously for monolayer graphene, where \( n_{\text{eff}} = 2r_s^2 C[0] n_{\text{imp}} \) cannot be captured by a similar empirical fit. For comparison, these earlier results are also shown in Fig. 2, where we emphasize that for a given impurity concentration \( n_{\text{imp}} \), bilayer graphene exhibits larger den-
density fluctuations \( n_{\text{eff}} \) than monolayer graphene. Finally, using Eq. 2, we can also calculate the puddle correlation function, and the corresponding HWHM correlation length, \( \xi \), that is shown in Fig. 5.

![Graph showing theoretical results for the puddle correlation length at the Dirac point as a function of impurity concentration.](image)

**FIG. 5:** Theoretical results for the puddle correlation length at the Dirac point as a function of impurity concentration. While the puddle size in bilayer graphene \( (\xi \approx 3.5 \text{ nm}) \) is relatively insensitive to the disorder concentration, the size of the puddles in monolayer graphene varies from 3 nm in dirty samples to more than 35 nm in clean samples.

### IV. COMPARISON WITH EXPERIMENTS

We now compare the calculated correlation functions with experiment. Figure (a) shows that for bilayer graphene, \( A(r) \) extracted from the data reported in Ref. 14 agrees with the calculation for \( d = 1 \text{ nm} \). The circles show the experimental data and the RPA theory for bilayer graphene is shown for \( d = 1 \text{ nm} \) (solid curve) and \( d = 0.5 \text{ nm} \) (dashed curve). The theoretical results are insensitive to the impurity concentration \( n_{\text{imp}} \) and to how far the doping is away from the Dirac point. Consequently, the only free parameter in the theory is the distance \( d \) of the impurities from the graphene sheet.

In Ref. 14, we reported a maximum peak-to-peak carrier density fluctuation of \( 3.6 \times 10^{11} \text{ cm}^{-2} \). To extract an impurity density (using the results in Fig. 2), we need to estimate \( n_{\text{rms}} \) from this peak-to-peak value. By assuming that the carrier density has a Gaussian distribution, and estimating that the peak-to-peak corresponds to a measurement of \( 4\sigma \), we roughly estimate that \( n_{\text{eff}} = n_{\text{rms}} \approx 10^{11} \text{ cm}^{-2} \) and that \( n_{\text{imp}} = n_{\text{rms}}/n_1 \approx 1.2 \times 10^{10} \text{ cm}^{-2} \) for the substrate induced impurities in that experiment.

We can use this value to predict theoretically the corresponding density fluctuations in the adjacent monolayer sample reported in Ref. 13. However, one complication is that the theory discussed in Sec. III was developed for monolayer graphene at the Dirac point, while the experimental data was taken at a backgate induced density \( n_g = 1.4 \times 10^{12} \text{ cm}^{-2} \). Very far from the Dirac point, i.e. when \( n_{\text{imp}}/n_g \to 0 \), the peak-to-peak density fluctuations \( V_{\text{rms}} \) can be obtained from Eq. 2 by setting \( n_{\text{eff}} = n_g \) on the right-hand side. In this case, the density fluctuations are

\[
\xi_{\text{rms}} = \frac{2V_{\text{rms}}}{\pi\hbar^2v_F^2}. \tag{6}
\]

We note that when \( z \sim d/\sqrt{n_g} \gg 1 \), we can use the result \( C_0(z) = z^{-2} \) (see Fig. 4) to obtain \( n_{\text{rms}} \approx \sqrt{n_{\text{imp}}/(8\pi d^2)} \). However, these constraints are not fully satisfied in the experimental data. Calculating \( n_{\text{rms}} \) in the crossover between the limits \( n_g = 0 \) and \( n_g \gg n_{\text{imp}} \) is more complicated. For our purposes, it is sufficient to extrapolate between the low-density and high-density limits by adding the two contributions in quadrature, and solving for \( n_{\text{rms}} \) self-consistently. This procedure gives

\[
n_{\text{rms}} = 2\sigma \sqrt{n_{\text{imp}}C_{\text{RPA}}(0) \left[ 2n_g + 3r_n^2n_{\text{imp}}C_{\text{RPA}}(0) \right]^{1/2}}. \tag{7}
\]

where the superscript indicates that the RPA screening approximation has been used. In the limit that \( n_g \gg n_{\text{imp}} \), Eq. 7 reduces to Eq. 6, while in the opposite limit \( n_g \to 0 \), Eq. 7 reduces to results shown in Fig. 2.

Using the values for \( n_{\text{imp}} \) and \( d \) determined from the bilayer data discussed above, and using Eq. 7 for \( n_{\text{rms}} \) and Eq. 2 to calculate \( \xi \), we find theoretically (without any adjustable parameter) that \( n_{\text{rms}} \approx 6 \times 10^{10} \text{ cm}^{-2} \) and \( \xi = 8 \text{ nm} \), which should be compared to the experimental values extracted from the data reported in Ref. 13. The
area surveyed in Ref. 13 was not large enough to obtain $\xi$ accurately. However, by looking at different real-space cuts of the autocorrelation function, we conclude that the experimental data is consistent with a correlation length $6 \text{ nm} < \xi < 11 \text{ nm}$. This is in qualitative agreement with our theoretical calculations. This result should be contrasted with bilayer graphene shown in Fig. 6(a) where the experimentally determined $\xi = (3.68 \pm 0.03) \text{ nm}$, and the $d = 1 \text{ nm}$ RPA theory gives $\xi = 3.4 \text{ nm}$.

To further confirm our results, we compare our calculations to measurements made using scanning Coulomb blockade spectroscopy on a sample of monolayer graphene. The circles in Fig. 6(b) are experimental data for the normalized correlation and the solid line is the self-consistent theory discussed above using $n_{\text{imp}} = 10^{11} \text{ cm}^{-2}$ and $d = 1 \text{ nm}$ for monolayer graphene at the Dirac point. The impurity concentration and impurity distance were determined from transport measurements and as such, no adjustable parameters were used in the calculation.

In Fig. 6 we also show (black squares) the results extracted from a numerical mesoscopic density functional theory using the same parameters. The agreement between the two calculations provides a posteriori justification for our assumption of a global screening function characterized by the density $n_{\text{eff}}$.

V. CONCLUSIONS

We conclude with the observation that our results require only that the source of the disorder potential be uncorrelated charged impurities, and as such should apply to graphene on other substrates. For example, recently graphene devices with hexagonal BN gate insulators have been fabricated showing transport properties similar to suspended graphene and larger puddles than on SiO$_2$ substrates. These observations are consistent with both a much smaller charged impurity density $n_{\text{imp}}$ on the BN substrate and with a larger distance $d$ of the impurities from the graphene layer. Both these scenarios are possible because the BN substrate is typically placed on top of the usual SiO$_2$ wafer which would have similar charged disorder to the samples we study here. We argue that an analysis similar to what we have performed here would be able to uniquely determine both $d$ and $n_{\text{imp}}$. Moreover, if a similar experiment is done with bilayer graphene on BN substrates, we predict that the puddle characteristics will not change much from what we find here with bilayer graphene on SiO$_2$.

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The dominant uncertainty in $A(r)$ and $\xi$ comes from the finite size of the experimental puddle images. The error in $A(r)$ is estimated by dividing the image area into $N = 4$ patches and analyzing each patch separately. Treating the $N$ patches as independent samples, we compute the variance of the set and estimate the variance of the whole sample as the variance of the $N$ independent samples, divided by $N - 1$. The uncertainty in $A(r)$ is square root of the variance, and the uncertainty in $\xi$ then follows. We say that the experimentally determined $A(r)$ agrees with the calculated $A(r)$ for $d = 1$ nm in Fig. 6a because theory curve lies within the experimental uncertainty of $A(r)$.