AIEgen-coupled upconversion nanoparticles eradicate solid tumors through dual-mode ROS activation

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Reactive oxygen species (ROS) are essential for the regulation of antitumor immune responses, where they could induce immunogenic cell death, promote antigen presentation, and activate immune cells. Here, we report the development of near-infrared (NIR)–driven immunostimulants, based on coupling upconversion nanoparticles with aggregation-induced emission luminogens (AIEGens), to integrate the immunological effects of ROS for enhanced adaptive antitumor immune responses. Intratumorally injected AIEgen-upconversion nanoparticles produce high-dose ROS under high-power NIR irradiation, which induces immunogenic cell death and antigen release. These nanoparticles can also capture the released antigens and deliver them to lymph nodes. Upon subsequent low-power NIR treatment of lymph nodes, low-dose ROS are generated to further trigger efficient T cell immune responses through activation of dendritic cells, preventing both local tumor recurrence and distant tumor growth. The utility of dual-mode pumping power on AIEgen-coupled upconversion nanoparticles offers a powerful and controllable platform to activate adaptive immune systems for tumor immunotherapy.

INTRODUCTION
Over the past decade, immunotherapy has emerged as a promising strategy for cancer treatment. However, existing immunotherapeutic strategies usually show ineffectiveness across a wide range of solid tumors, with only a subset of patients showing improved clinical response (1–3). This ineffectiveness can be attributed to the limited presentation of antigens by antigen-presenting cells (APCs) and minimal antitumor response because of the tumor-promoting immunological microenvironment (4–6). In addition, side effects, such as immunotoxicity, notably hinder the clinical application of immunotherapeutic approaches (7, 8). Therefore, a controllable and effective strategy for an enhanced antitumor immune response while reducing side effects is in urgent demand in the field of cancer immunotherapy.

Reactive oxygen species (ROS) are small molecules with oxidation activity, which play an important role in physiological cell functions and immune regulation. Excessive ROS could induce cellular oxidative damage and immunogenic cell death (ICD) of tumors, providing a potential antigenic stimulation for the immune system (9). On the other hand, a low level of ROS can serve as key signaling molecules to promote the maturation of APCs (e.g., dendritic cells) through oxidation of signaling pathway [e.g., nuclear factor κB (NF-κB), mammalian target of rapamycin (mTOR), and extracellular signal–regulated kinase (ERK) signaling] and activation of intracellular calcium channel (10–12). In addition, ROS has proven effective in facilitating a cytosolic antigen delivery within dendritic cells by lysosomal escape and antigen protection, resulting in effective antigen cross-presentation and strong CD8+ T cell response (13, 14). On the basis of these findings, we hypothesize that the combination of these immunological functions of ROS may give rise to more specific, effective, and controllable antitumor immunological response as compared to traditional immunotherapeutic methods.

Photodynamic therapy (PDT) with high spatiotemporal precision and excellent safety has emerged as a promising tool for solid tumor ablation, ICD induction (15). However, these local oxidative damages trigger an insufficient immune response for systemic tumor rejection, owing to the rapid in vivo degradation of tumor antigen and low efficiency of antigen presentation (16, 17). Moreover, it is anticipated that, apart from its direct cytotoxic effects on tumor cells, PDT can be used to modulate intracellular ROS level for activating APCs. However, because of the lack of deep tissue–excitable photosensitive materials and appropriate strategies, the utility of PDT for immune system activation is challenging, especially in vivo systems.

Here, we report the design and synthesis of a nanosized immunostimulant (Fig. 1A), based on the combination of an aggregation-induced emission (AIE) photosensitizer TPEBTPy with upconversion nanoparticles (UCNPs), to simultaneously realize ICD of tumors and activation of dendritic cells through fine-tuning of ROS generation for enhanced adaptive immune responses against solid tumors. Different from traditional photosensitizers, TPEBTPy with AIE characteristics shows intense fluorescence and strong ROS generation in the aggregated state (18, 19). On the other hand, UCNPs could convert the deep tissue–penetrating near-infrared (NIR) light into visible wavelengths (20), which provides opportunities for applications in bioimaging, optogenetics, and PDT (21–24). To improve light penetration and interaction with TPEBTPy, UCNPs with emission matching the absorption of TPEBTPy were selected as the NIR antennae. Furthermore, the AIE luminogen (AIEGen)–coupled UCNPs (AUNPs) were capable of antigen capture via electrostatic interaction due to their positive ζ potential. We demonstrated that this hybrid nanomaterial could efficiently eradicate solid tumors, induce...
ICD, reduce immunosuppressive cells, and capture tumor-associated antigens (TAAs) through high-power NIR light–excited PDT. TAA-loaded AUNPs could be consistently and efficiently taken up by APCs in the draining lymph node (DLN). Subsequent low-power tissue-penetrating NIR irradiation could further enhance T cell stimulation effects of APCs, which caused a better abscopal effect in inhibiting local residual tumor recurrence and controlling distant tumor growth. The underlying mechanisms of ROS-induced adaptive immune response activation were also investigated in this study.

RESULTS
Preparation and characterization of AUNPs
TPEBTPy is an AIE photosensitizer designed and synthesized for PDT. Its synthetic route and structural identification data are shown in figs. S1 to S7. The photosensitizer molecule was obtained by introducing electron-donating methoxy groups and two different electron-withdrawing groups, i.e., benzo-2,1,3-thiadiazole and pyridinium, to the tetraphenylethylene unit. In a phosphate-buffered saline (PBS) solution, TPEBTPy exhibited a broad absorption spectrum from 400 to 600 nm with a peak at 460 nm (Fig. 1B). The emission characteristic of TPEBTPy was studied in the mixture of dimethyl sulfoxide (DMSO) and PBS solution. TPEBTPy could dissolve well in pure DMSO and showed weak emission. When the volume fraction of PBS was increased to 70% and above in the DMSO solution, TPEBTPy started to form nanoaggregate and its emission was markedly enhanced with a maximum at 700 nm, exhibiting AIE-active properties (fig. S9A).

Upon white light irradiation, TPEBTPy was excited to produce not only singlet oxygen via type II reaction (fig. S9B) but also superoxide radical anion via type I reaction (fig. S9C).

To enable NIR-activated photosensitization, we require the energetic matching between the maximum absorption of TPEBTPy and the emission of UCNPs. NaYF<sub>4</sub>:Yb/Tm UCNPs with an optically inert shell layer of NaYF<sub>4</sub> and SiO<sub>2</sub> were selected as an NIR converter. Upon excitation at 980 nm, the core-shell SiO<sub>2</sub>-UCNPs (SiUNPs) show an emission peak from 425 to 480 nm (Fig. 1D), which matches well with the absorption of TPEBTPy. As shown in figs. S1 and S8, TPEBTPy-Si was further synthesized through modification of TPEBTPy with a trimethoxysilane group, which could be covalently linked onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25). Elemental mapping images (fig. S10A) indicated the successful attachment of TPEBTPy onto the surface of SiUNPs via condensation reaction to form AUNPs. In addition, AUNPs modified with polyethylene glycol (PEG)–silane (pAUNPs) via condensation reaction were used to serve as control nanoparticles, which were expected to show minimal absorption for antigen proteins (25).
of the AIE property of TPEBTPy due to the restraint of intramolecular motion (fig. S9D). Upon 980-nm excitation, the emission intensity of AUNPs was markedly decreased in the blue region, while an increase in the red region was observed as compared to that of SiUNPs, which is visible by the naked eye (Fig. 1D), indicating stronger in vivo self-tracking capability than unmodified UCNPs. Meanwhile, AUNPs could generate different amounts of ROS with 980-nm excitation in a laser power–dependent manner, which was mediated by energy transfer (Fig. 1E and fig. S10, C and D). The cytotoxicity of AUNPs was evaluated through use of the MTT [(3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide)] assay. After incubation with different concentrations of AUNPs for 8 hours, the cell viability of B16F10 cancer cells was not significantly affected, indicating the excellent biocompatibility of AUNPs under dark conditions (fig. S11A). However, AUNPs elicited apparent cytotoxicity under high-power NIR irradiation, with a half-maximal inhibitory concentration of 8.5 μg/ml, indicating their excellent tumor cell ablation ability (fig. S11B). Meanwhile, when pieces of pork tissue were placed between the laser and AUNP-labeled B16F10 cells, the cytotoxicity of AUNPs was modestly impeded by the increased tissue thickness, indicating their potential use for deep tumor therapy (fig. S11C). To enable efficient antigen presentation in vivo, the stability of the antigen needs to be considered as a key factor in the activation of an adaptive immune response. To improve the ability of nanoparticles to capture antigens, apart from pyridinium, we then deliberately added a positively charged quaternary ammonium factor in the activation of an adaptive immune response. To improve the ability of nanoparticles to capture antigens, apart from pyridinium, we then deliberately added a positively charged quaternary ammonium group between TPEBTPy and trimethoxysilane. The two positive charges change the ζ potential from −26 mV of SiUNPs to +14 mV of AUNPs (fig. S10E), resulting in a significantly enhanced capture of ovalbumin (OVA), a standard antigen model, as compared with those of SiUNPs and pAUNPs (Fig. 1F).

ICD induction and immune cell activation by AUNPs in vitro

It has been demonstrated that PDT might produce ICD by inducing dying tumor cells to release “eat me” immunogenic signals (e.g., CRT and HMGB1), resulting in activation of the immune response (26). To verify whether AUNPs can trigger efficient ICD, B16F10 cells were labeled with AUNPs, followed by treatment with high-power NIR irradiation for 3 min. As shown in Fig. 2 (A and B), AUNPs induce obvious surface-exposed CRT (ecto-CRT) and HSP70 (ecto-HSP70) following NIR treatment, which is much higher than that of Ce6, a commonly used photosensitizer. In addition, enzyme-linked immunosorbent assay also showed that AUNPs plus NIR treatment led to a strong HMGB1 release of tumor cells as compared with those of other control groups (Fig. 2C). All the results indicated that high-power NIR-activated AUNPs could significantly induce the release of damage-associated molecular patterns from B16F10 tumor cells, which could provide potential antigenic stimulation for antitumor immune response.

The immune activation effect of AUNPs to bone marrow dendritic cells (BMDCs) following low-power NIR irradiation was subsequently examined in vitro. Dichlorofluorescein diacetate (DCFDA) staining and MTT assay were used to assess the intracellular ROS level and cytotoxicity, respectively. As shown in fig. S11 (D and F), after incubation with AUNPs, the cell viability of BMDCs was not affected under dark conditions. When these AUNP-loaded BMDCs were stained with DCFDA for 15 min, upon NIR irradiation with 0.3 or 0.6 W/cm², DCFDA was activated to emit intense green fluorescence, and the AUNPs exhibited apparent cytotoxicity to BMDCs (cell viability, 63 and 29%, respectively) (figs. S11E and S12A). In contrast, lower power of NIR irradiation (0.12 W/cm²) induced modest intracellular green fluorescence in AUNP-loaded BMDCs with minimal effects on their viability (Fig. 2D and fig. S11E), indicating that AUNPs at such a low NIR irradiation dose could generate a mild and safe oxidative stress environment around DCs for further immune activation.

Next, the underlying stimulation effect of AUNPs on BMDCs was tested. As shown in Fig. 2 (E and F) and fig. S12C, BMDCs could take up AUNPs in a time-dependent manner, reach maximum uptake at 12 hours, and exhibit a slight increase in CD86 and CD80 expressions. Upon low-power NIR irradiation for 5 min, BMDCs treated with AUNPs showed a significant increase in the expressions of these surface markers as compared with those of AUNPs alone, NIR irradiated alone (L alone), and untreated control groups. This stimulation effect could be markedly diminished by pretreating DCs with N-acetylcysteine (NAC; a ROS scavenger), indicating that the increased activation was caused by transient ROS surge. Similarly, when the AUNP-labeled BMDCs were covered with pork tissues with increasing thickness, this activation effect was not significantly affected under low-power NIR irradiation (fig. S12B).

ROS-induced lysosomal rupture has been demonstrated as an effective mechanism to facilitate the cytosolic release of antigen and cross-presentation (13, 27). Therefore, we further evaluated the impact of this approach on antigen presentation by pulsing BMDCs with fluorescein isothiocyanate–modified tumor antigens (TAAs-FITC)–loaded AUNPs for 12 hours, followed by LysoTracker 633 staining and low-power NIR irradiation for 3 min. Confocal images showed that TAAs-FITC (green color)–loaded AUNPs (red color) could colocalize precisely in the lysosome (white color) before NIR treatment, whereas low-power NIR treatment significantly eliminated lysosomes and led to TAAs-FITC release within the cytoplasm of BMDCs (Fig. 2G). Besides, the lysosomal integrity of AUNP-loaded BMDCs was checked with acridine orange staining for 30 min. Upon low-power NIR irradiation for 3 min, the green fluorescence of cells was significantly increased as compared to that of nonirradiated cells, as shown by fluorescence-activated cell sorting (FACS) (Fig. 2, H and I), indicating the loss of lysosomal integrity. Lysosomal escape facilitates major histocompatibility complex (MHC) I antigen presentation in DCs. Thus, BMDC-primed CD8+ T cell proliferation was examined to assess the impact of AUNPs on antigen cross-presentation. AUNPs loaded with TAAs (TAAs+AUNPs) and irradiated with low-power NIR significantly increased BMDC-primed CD8+ T cell proliferation over 50% as compared to the free TAAs or other treatments (Fig. 2, J and K). Together, these data suggest that AUNPs could enhance DC activation and antigen cross-presentation through low-power NIR excitation.

**AUNP release, uptake, and DC activation in vivo**

Before the in vivo application, the biosafety of AUNPs in healthy mice was tested. As shown in fig. S13 (B to D), after subcutaneous injection with AUNPs (0.5 mg/ml, 100 μl, based on TPEBTPy), no obvious difference in body weight and blood biochemistry parameters was observed among the treated and normal groups over 10 days. In addition, histochimistry analysis showed almost no obvious pathological changes in liver and kidney tissues, indicating negligible in vivo toxicity of AUNPs. To examine the proposed immune activation strategy, the in vivo dynamic distribution of AUNPs was monitored by fluorescence imaging under 980-nm excitation. B16F10 tumor–bearing mice were injected intratumorally with AUNPs or pAUNPs (30 μl, 0.5 mg/ml, based on TPEBTPy). After high-power
NIR irradiation (0.6 W/cm²) to achieve tumor PDT, the fluorescent signals of DLNs of the mice treated with AUNPs rapidly increased over time and reached the highest intensity at 24 hours after NIR treatment of tumor, which was significantly higher than that of the mouse receiving AUNPs alone, indicating the effective AUNP release from the NIR irradiated tumor. However, pAUNPs showed higher leakage from the tumor, and this led to more intense fluorescence of DLNs in mice with or without high-power NIR irradiation (Fig. 3, D and E).

High-power NIR irradiation greatly enhanced AUNP uptake by antigen-presenting dendritic cells (CD11c⁺) and macrophages (F4/80⁺) in DLNs (Fig. 3, F to H, and fig. S14A). These results, taken together with the low accumulation of pAUNPs in APCs after NIR treatment (Fig. 3, F and G), indicate that the surface property of
AUNPs facilitated the capture of TAAs released from damaged tumor and realized more effective APC uptake in DLNs. FACS analysis also showed that the number of activated DCs (CD80+ and CD86+) in the lymph node of mice receiving AUNPs is higher than those of mice receiving pAUNPs. Through exposure to low-power NIR irradiation, the antigen-absorbing AUNPs enabled the highest expression of CD86 and CD80 on DCs in DLNs after 24 hours of high-power NIR irradiation (excitation source for imaging, 980 nm). The area within the dashed circle indicates a DLN. The white arrow shows the tumor region. (C) Fluorescence intensities of lymph nodes of mice receiving AUNPs/H or AUNPs alone (n = 3). (D) Ex vivo fluorescence images and (E) quantification of fluorescence intensity of harvested lymph nodes after 24 hours of high-power NIR irradiation (excitation source for imaging, 455 nm). (F and G) FACS analysis of the percentages of DCs (CD11c+) (F) and macrophages (F4/80+) (G) with AUNPs or pAUNPs in lymph nodes after 24 hours of high-power NIR irradiation. (H) Representative immunofluorescence images of DLN slices showing CD11c+ AUNPs+ DCs and F4/80+ AUNPs+ macrophages. Scale bar, 100 μm. (I and J) FACS analysis of activated CD11c+ CD80+ (I) and CD11c+ CD86+ (J) DCs in lymph nodes of B16F10 tumor–bearing mice receiving pAUNPs/H, AUNPs/H, and AUNPs/H/L. Statistical significance was assessed using ANOVA test. Data represent means ± SEM. *P < 0.05, **P < 0.01, and ***P < 0.001.

Antitumor immunotherapy of AUNP for inhibition of B16F10 tumor growth

On the basis of previous findings, the in vivo antitumor therapeutic effect of this strategy was then evaluated by intratumoral injection of AUNPs into B16F10 tumors in mice. After high-power NIR irradiation for tumor PDT, the DLN region was exposed to low-power NIR irradiation (excitation source for imaging, 980 nm). As shown in Fig. 4B, the tumor locally injected with AUNPs could be efficiently eradicated by PDT as compared with the untreated tumor and that injected with AUNPs alone. The bioluminescent signals of tumors in mice receiving AUNPs/PDT plus adjuvant low-power NIR irradiation (AUNPs/H/L) gradually decreased over time, showing noticeable residual tumor inhibition effect after PDT. Tumor size measurement showed two-step NIR treatment, which led to approximately ninefold tumor growth inhibition compared with high-power NIR treatment alone on day 19 (Fig. 4C), indicating stronger immune response activation caused by low-power NIR irradiation. Meanwhile, the survival time of mice receiving AUNPs/H/L was much longer than that of control groups (Fig. 4D), which was corroborated with tumor growth analysis.

It is well known that reprogrammed immune cells, such as myeloid-derived suppressor cells (MDSCs), regulatory T cells (Tregs),...
and tumor-associated macrophages (TAMs), within the tumor microenvironment participate in tumor progression, metastasis, and recurrence (28,29). Therefore, to understand the underlying mechanism of efficient tumor inhibition, we first assessed the impact of intratumoral administration of AUNPs on the tumor microenvironment. On day 3, tumors receiving AUNPs/H or AUNPs alone were collected and analyzed by immunofluorescence staining and flow cytometry. As shown in Fig. 4E, AUNPs showed high accumulation within CD206+ F4/80+ TAMs, CD4+ Foxp3+ AUNPs+ regulatory T cells (Tregs), and CD11b+ Gr-1+ AUNPs+ MDSCs after intratumoral injection of AUNPs. Scale bar, 25 μm. Positive cells were labeled with yellow arrows. (F) FACS analysis of the percentages of CD11b+ Gr-1+ CD45+ MDSCs and (G) CD206+ F4/80+ TAMs in the tumor of B16F10 tumor-bearing mice receiving AUNPs/H. (H to J) FACS analysis of the percentages of CD8+ and CD4+ T cells in the tumor of B16F10 tumor-bearing mice in control and treated groups. (K) The ratio of the tumor-infiltrating CD4+ T cells to Tregs in the tumor of B16F10 tumor-bearing mice in control and treated groups. Statistical significance was assessed using ANOVA test. Data represent means ± SEM. *P < 0.05, **P < 0.01, and ***P < 0.001.

Fig. 4. Antitumor immunotherapy of AUNP for inhibition of B16F10 tumor growth. (A) Schematic illustration of the treatment schedule. T, tumor inoculation; S.C., subcutaneous injections. (B) Bioluminescence images of the B16F10 tumor-bearing mice receiving different treatments. (C) Tumor growth curve and (D) survival curve of B16F10 tumor-bearing mice in control and treated groups (n = 5). Statistical significance of tumor size was carried out on day 11. (E) Representative immunofluorescence images of B16F10 tumor tissue slices showing CD206+ AUNPs+ TAMs, CD4+ Foxp3+ AUNPs+ regulatory T cells (Tregs), and CD11b+ Gr-1+ AUNPs+ MDSCs after intratumoral injection of AUNPs. Scale bar, 25 μm. Positive cells were labeled with yellow arrows. (F) FACS analysis of the percentages of CD11b+ Gr-1+ CD45+ MDSCs and (G) CD206+ F4/80+ TAMs in the tumor of B16F10 tumor-bearing mice receiving AUNPs/H. (H to J) FACS analysis of the percentages of CD8+ and CD4+ T cells in the tumor of B16F10 tumor-bearing mice in control and treated groups. (K) The ratio of the tumor-infiltrating CD4+ T cells to Tregs in the tumor of B16F10 tumor-bearing mice in control and treated groups. Statistical significance was assessed using ANOVA test. Data represent means ± SEM. *P < 0.05, **P < 0.01, and ***P < 0.001.

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residual tumor (Fig. 4K). To further test whether this therapeutic strategy could induce systematic immune activation, we used the splenocytes isolated from mice after different treatments to assess the production of antitumor IFN-γ. As shown in fig. S16B, splenocytes from mice receiving AUNPs/H/L exhibited the highest percentage of IFN-γ+ CD8+ T cells when stimulated with TAAs, suggesting its great potential for treating tumor metastasis.

**Antitumor immunotherapy of AUNP synergized with αPD-1 for the establishment of systemic immune responses and long-term immune memory**

Immune checkpoint blockade therapy is very effective in treating several types of tumors, although its therapeutic effect is always limited by intrinsic immunity (30). Besides, the potential in vivo toxicity due to the breakdown of immune tolerance has also impeded its clinical application (31, 32). In this part of the study, after the demonstration that our strategy could relieve immune suppression and trigger strong systemic immune responses, we found that programmed death-ligand 1 (PD-L1) expression was also enhanced in both primary and distant tumors compared to that in untreated tumors (fig. S17A), which could be caused by systemic distribution of cytokines after treatment. Therefore, we next studied whether this method could be combined with anti–programmed cell death protein 1 (αPD-1), a commonly used immune checkpoint inhibitor, to simultaneously achieve inhibition of residual tumor and distant tumors in a bilateral B16F10 tumor–bearing mouse model. As depicted in Fig. 5A, primary tumors were treated with AUNPs/H/L and injected with αPD-1 at different time points. Bioluminescence imaging and tumor growth profiles showed that αPD-1 therapy alone did not significantly change the primary and distant tumor growth. Expectedly, mice receiving AUNPs/H/L showed significant inhibition of primary tumor recurrence and reduced distant tumor growth, suggesting effective systematic immune responses induced by this strategy (Fig. 5, B to D, and fig. S17B). Encouragingly, the combination of AUNPs/H/L and αPD-1 administration could realize a synergistic effect in inhibiting local tumor recurrence and distant tumor growth, which corresponded with the highest CD3+ CD8+ T cell infiltration within the residual and distant tumors as compared to those in control groups (fig. S17, C to F).

Separately, the long-term immune-memory effect was evaluated on day 34 after different treatments (Fig. 5A, right). It was found that the CD8+ CD62− CD44+ central memory T cells (T_{CM}) were not significantly different among all groups, whereas the amount of CD8+ CD62− CD44+ effector memory T cells (TEM) in the spleens of mice receiving AUNPs/H/L alone or combination therapy was significantly higher than those treated with αPD-1 injection alone or other control groups, indicating the establishment of an antitumor immune-memory based on this strategy (Fig. 5, E, I, and J). Furthermore, when these living mice were rechallenged by intravenous injection of B16F10 tumor cells, the lung tissues of mice receiving combination therapy showed no detectable tumors and the number of tumors only slightly increased in mice receiving AUNPs/H/L, as observed through bioluminescence imaging, photographs of lungs, and histological analysis (Fig. 5, F to H). Impressively, the lung tissues of mice receiving combination therapy showed the most apparent accumulation of CD44+ IFN-γ+ T cells (Fig. 5K), whereas AUNPs/H/L treatment produced a modest number of effector cytotoxic T cells as compared to untreated mice. Besides, the survival rate of mice receiving combination therapy was higher than those of the other two groups (Fig. 5L). Overall, these results demonstrated that our proposed treatment could activate a systematic antitumor immune response for effective inhibition of residual tumors and establish a long-term and effective antitumor immune memory, which could be further synergistically enhanced with αPD-1.

**DISCUSSION**

We have proposed a new immunostimulant design that combines AIE photosensitizer and UCNPs to achieve effective adaptive immune response through the ROS-dependent immune activation mechanism. This strategy avoids the damaging side effects of traditional immunotherapy and promotes a systemic antitumor immune response and rejection of distant metastases (Fig. 6).

Recent studies have shown that an excessive level of ROS causes ICD of tumor cells and effective antigen release, which could initiate a specific antitumor immune response (10). On the other hand, a low level of ROS has been considered as key signaling molecules for APC activation and subsequent effective T cell priming and expansion. Through our design, we integrate these functions to eradicate solid tumors. We found that our AUNPs could induce ICD more efficiently as compared to a commercial photosensitizer of Ce6. We also verified that the low level of ROS generated by AUNPs was involved in the successful immune response activation, which was consistent with previous conclusions that ROS could regulate the activation of DCs and enhance cross-presentation of antigen (12, 13). We realized local dendritic cell activation and cross-presentation by modulating intracellular ROS level in vivo, which could induce stronger CD8+ T cell priming and expansion and further lead to more efficient tumor growth inhibition.

UCNPs allow PDT to be performed through tissue at great depths (33, 34). However, the activation of fluorescent dyes or photosensitizers by UCNPs is generally an inefficient process (35). To enhance the photosensitization process, we designed and synthesized a highly efficient photosensitizer through precise molecular design. In particular, TPEBTPy is a molecule with D (donor)–A′ (auxiliary acceptor)–A (real acceptor) structure. The auxiliary acceptor, benzo-2,1,3-thiadiazole, serves as a spacer to twist the dihedral angle between the donor (methoxy groups) and the acceptor (pyridinium), resulting in HOMO (highest occupied molecular orbital) and LUMO (lowest unoccupied molecular orbital) separation. This separation leads to a low value of ΔE_{as} (the energy gap between the S1 and T1 states) at 0.39 eV (fig. S1B). The low ΔE_{as} is beneficial for the inter-system crossing process from S1 to T1 state. A high probability of T1 states would promote photosensitization through reaction with nearby oxygen to produce ROS. Moreover, conventional photosensitizers such as Ce6 have conjugated planar structures. Their fluorescence and photosensitization can be easily weakened in the aggregate state due to π–π interactions (36). On the contrary, AIE photosensitizers such as TPEBTPy have twisted three-dimensional molecular structures that weaken intermolecular interactions. These photosensitizers exhibit bright fluorescence and strong photosensitization in the aggregate state when incorporated into nanoparticles (36–39). Therefore, different from other traditional photosensitizer-loaded UCNPs, AUNPs can exhibit strong emission for precise self-tracking and very efficient photosensitization in our therapeutic strategy.

Our data showed that NIR-excited AUNPs have three advantages for cancer PDT and immunotherapy. First, UCNPs are effective
Fig. 5. Antitumor immunotherapy of AUNP synergized with αPD-1 for the establishment of systemic immune responses and long-term immune memory.

(A) Schematic illustration of synergistic treatment of bilateral B16F10 tumor mouse model (left) and the treatment schedule for immune memory analysis and tumor rechallenge (right). I.V., intravenously. (B) Bioluminescence images of bilateral B16F10 tumor–bearing mice with different treatments. (C) Primary and (D) distant tumor growth curves of the B16F10 tumor–bearing mice with different treatments (n = 5). (E) FACS plots and quantitative analysis of (I) CD8⁺ CD62⁻ CD44⁺ effector memory T cells (TEM) and (J) CD8⁺ CD62⁺ CD44⁺ central memory T cells (T CM ) in spleen tissue of B16F10 tumor–bearing mice after 30 days of different treatments. (F) Bioluminescence images of mice after rechallenging with intravenous injection of B16F10 tumor cells. (G) Representative lung photographs (21 days) and (H) hematoxylin and eosin (H&E) images of lung tissue slices from control (naïve mice), AUNPs/H/L, and AUNPs/H/L/αPD-1 groups. Scale bar, 100 μm. (K) Number of CD44⁺ IFN-γ⁺ per 100,000 cells of lung tissue of mice in control and treated groups. (L) Survival curve of mice in control and treated groups (n = 3). All statistical analyses were assessed using ANOVA test. Data represent means ± SEM. *P < 0.05, **P < 0.01, and ***P < 0.001. Photo credit: Duo Mao, NUS.
AIEgen-UCNP coupling is likely to enable the development of an antitumor immunotherapy strategy that could also synergize with immune checkpoint blockade activation and antigen processing. Our ROS-activated antitumor nanoparticles allow high-efficiency antitumor immune cell activation in DLN.

In closing, we have developed a versatile immunostimulant comprising AUNPs. Our study reveals that a dual-modal ROS activation of these nanoparticles allows high-efficiency antitumor immune activation and antigen processing. Our ROS-activated antitumor strategy could also synergize with immune checkpoint blockade therapy (e.g., PD-1 therapy) to improve immunological memory and further reduce the risk of cancer recurrence and metastasis. The AIEgen-UCNP coupling is likely to enable the development of an intelligent, noninvasive therapeutic toolbox that could inspire significant research breakthroughs in the field of immunotherapy.

**MATERIALS AND METHODS**

**Materials**

All chemicals were purchased from Sigma-Aldrich and used as received without further purification. Deuterated solvents were purchased from Cambridge Isotope Laboratories Inc. The high-performance liquid chromatography (HPLC)—grade solvents methanol and acetonitrile were purchased from Sigma-Aldrich. Tetrahydrofuran (THF) and dichloromethane were dried by distillation using sodium or calcium hydride as the drying agent, respectively. All non-aqueous reactions were carried out under nitrogen atmosphere in oven-dried glassware. RPMI 1640, penicillin, streptomycin, fetal bovine serum (FBS), and trypsin were obtained from Gibco (Thermo Fisher Scientific). Milli-Q water (18.2 megohms) was used to prepare the buffer solutions from 10× PBS stock buffer (1st BASE, Singapore). The murine melanoma cell line B16F10 and B16F10-luc cells were purchased from the American Type Culture Collection and Shanghai Science Light Biology Science and Technology Co. Ltd., respectively. All antibodies for flow cytometry were purchased from BioLegend. All antibodies for immunofluorescence staining were purchased from Abcam. All cytokines for cell culture were purchased from PeproTech Inc. All other biological reagents were dispersed into 4 ml of deionized (DI) H₂O for subsequent analysis and singlet oxygen sensor green (SOSG) were purchased from Thermo Fisher Scientific.

**Preparation of SiUNPs, AUNPs, and pAUNPs**

UCNPs with a chemical composition of NaYF₄:Yb/Tm were synthesized by a coprecipitation method. In a typical synthesis of blue-emitting UCNPs, 0.278 mmol of Y(CH₃CO₂)₃, 0.12 mmol of Yb(CH₃CO₂)₃, and 0.002 mmol of Tm(CH₃CO₂)₃ lanthanide precursors were mixed with 3 ml of oleic acid and 7 ml of 1-ododecane and heated at 150°C for 1.5 hours to remove any moisture content. After cooling the reaction solution to 50°C, a methanol solution containing 1 mmol of NaOH and 1.6 mmol of NH₄F was quickly added and the reaction mixture was further stirred at 50°C for another 0.5 hours. After that, the reaction was heated to 100°C under vacuum for 0.5 hours to remove any low-boiling point solvent. After three times of N₂ purge at 100°C, the reaction was heated to 280°C and maintained at this temperature for 2 hours to allow nanoparticle growth. Once the reaction was cooled to room temperature, UCNPs products were purified twice by ethanol washing under centrifugation at 6000 rpm for 10 min, followed by ethanol redispersion. Purified UCNPs were dispersed into 4 ml of cyclohexane. For synthesis of UCNPs with a chemical composition of NaYF₄:Yb/Er, the experimental procedure was the same as that for NaYF₄:Yb/Tm except that 0.272 mmol of Y(CH₃CO₂)₃, 0.12 mmol of Yb(CH₃CO₂)₃, and 0.008 mmol of Er(CH₃CO₂)₂ were used as lanthanide precursors.

To suppress surface quenching of luminescence, an optically inert shell layer of NaYF₄ was grown epitaxially onto the as-synthesized UCNPs. Specifically, 0.4 mmol of Y(CH₃CO₂)₃ was mixed with 3 ml of oleic acid and 7 ml of 1-ododecane and heated at 150°C for 1.5 hours. After cooling to 80°C, all UCNPs products from the previous step (in 4 ml of cyclohexane) were added to the reaction mixture. The solution was further stirred at 80°C for 1 hour to evaporate the cyclohexane content. Subsequently, a methanol solution containing 1 mmol of NaOH and 1.6 mmol of NH₄F was added to the reaction solution at 50°C. After 0.5 hours of continuous stirring at 50°C, the reaction was heated to 100°C under vacuum for 0.5 hours. After three rounds of N₂ purging at 100°C, the reaction temperature was ramped to 280°C and maintained at 280°C for 2 hours. Once the reaction was cooled down to room temperature, core-shell UCNPs products were washed twice with ethanol and dispersed into 4 ml of cyclohexane.

The as-synthesized UCNPs were transferred into an aqueous phase through an acid-induced ligand removal process. Four milliliters of the cyclohexane was added with 4 ml of ethanol and centrifuged at 14,800 rpm for 5 min. The pellet was re-dispersed with a mixture of 4 ml of ethanol and 4 ml of HCl aqueous solution (2 M) by sonication. The acid-treated UCNPs were thereafter washed three times with ethanol to remove excess acid content. The ligand-free UCNPs were dispersed into 4 ml of deionized (DI) H₂O for subsequent surface modification.
To obtain SiUNPs, 4 ml of the ligand-free UCNPs was slowly added to 18 ml of polyvinylpyrrolidone aqueous solution (50 mg/ml). The solution was sonicated for 20 min and subsequently stirred for 1 hour. After adding 80 ml of ethanol, the reaction mixture was further sonicated for 20 min and stirred for 2 hours. Aqueous ammonia (3.2 ml) was added to adjust the solution pH, followed by 20-min sonication. Subsequently, 80 µl of tetraethyl orthosilicate was added to the solution to initiate silica growth on the surface of UCNPs. The reaction solution was kept stirring for 12 hours, and SiUNPs were purified by three rounds of ethanol washing and dispersed in DI H2O. The final concentration of SiUNPs was 200 mg/ml.

To prepare AUNPs, 50 mg of silica-coated UCNPs was washed with DI water two times and then dispersed in 20 ml of ethanol/water mixture (9:1). The reaction mixture was further sonicated for 20 min and stirred for 2 hours. Ammonium (0.8 ml) was added to adjust the solution pH, followed by 20-min sonication. Subsequently, 200 µl of TPEBTPy-Si in THF (2 mg/ml) was added into the solution to coat on the surface of UCNPs through a condensation reaction. The reaction solution was kept stirring for 1 hour, purified by three rounds of ethanol washing, and dispersed in DI H2O. For further PEG modification, mPEG2000-silane was dissolved in THF (5 mg/ml) and 200 µl of mPEG2000-silane solution was added into the reaction solution containing AUNPs. The reaction solution was continued to stir for 2 hours, and the final products were purified by three rounds of ethanol washing and dispersed in DI H2O.

Characterization of UCNPs and AUNPs

TEM measurements were carried out on a JEOL-JEM 2010F field-emission transmission electron microscope operated at an acceleration voltage of 200 kV. The hydrodynamic sizes of UCNPs were measured by dynamic light scattering (DLS) means on Malvern Nano-ZS Particle Sizer. Upconversion luminescence spectra were recorded at room temperature with an Edinburgh FLS920 fluorescence spectrometer in conjunction with a 980-nm diode laser. To detect the 12O2 generation in solution, 1 ml of AUNP solution (0.1 mg/ml) was mixed with 1 µl of DMSO with SO5G. The solution was kept in the dark and irradiated by a 980-nm laser (0.6 and 0.12 W/cm2) for various times. Then, the solution was collected for fluorescence emission measurements.

To determine the loading efficiency of OVA onto AUNPs, 10 mg of AUNPs and 5 mg of OVA were added into 10 ml of water with stirring for 12 hours to obtain the OVA&AUNPs. Subsequently, the solution was centrifuged at 3000 rpm for 10 min. The supernatant was collected, and the concentration of OVA was quantified using a bicinchoninic acid (BCA) assay. The total OVA uptake by the AUNPs was measured by subtracting the OVA concentration in the supernatant after AUNP capture from the total OVA concentration.

In vivo biodistribution of AUNPs after PDT

To track the in vivo distribution of AUNPs, a Maestro in vivo imaging system (CRi Inc., Woburn, USA) using a 980-nm laser as the excitation source was used to obtain AUNP signals from mice. B16F10 tumor–bearing mice (n = 3) were intravenously injected with 30 µl of AUNPs or pAUNPs (0.5 mg/ml, based on TPEBTPy). At 6 hours after injection, the mice were anesthetized with 2% (v/v) isoflurane and the tumors were irradiated with a 980-nm NIR laser (0.6 W/cm2) for 10 min (with 1-min interval for each minute to avoid heating effect). Subsequently, the mice were immediately imaged with a Maestro in vivo fluorescence imaging system (CRi Inc., Woburn, USA). The autofluorescence was removed by spectral unmixing software, and fluorescence signals were quantified by a Maestro system (emission filter, 850-nm short-pass; acquisition setting, 600 to 900 in 10-nm steps; exposure time, 10 s). In addition, the tumor-bearing mice were also sacrificed at 24 hours after irradiation, and the DLNs in different groups were collected, imaged, and quantified by a Maestro system (excitation filter, 455 nm; emission filter, 672-nm long-pass; acquisition setting, 500 to 900 in 10-nm steps; exposure time, 300 ms).

In vivo antitumor therapy through AUNPs

All animal studies were performed in compliance with the guidelines set by the Tianjin Committee of Use and Care of Laboratory Animals, and the overall project protocols were approved by the Animal Ethics Committee of Nankai University. Six-week-old C57BL/6 mice [obtained from the Laboratory Animal Center of the Academy of Military Medical Sciences (Beijing, China)] were used to establish the B16F10 tumor–bearing mouse model. B16F10 or luciferase-transgenic B16F10 (luc-B16F10) cells (1 × 106 cells per mouse) suspended in 30 µl of saline were injected subcutaneously into the right flank region of the mouse. After 10 days, AUNPs, pAUNPs, or PBS was intratumorally injected into the mice on days 0 and 1 (n = 5, per group) (30 µl, 0.5 mg/ml, based on TPEBTPy). At 6 hours after each injection, the mice were anesthetized with 2% (v/v) isoflurane, and the tumors were irradiated with a 980-nm laser (0.6 W/cm2) for 10 min (with 1-min interval for each minute to avoid heating effect). After PDT, DLNs were imaged and determined by a CRi Maestro in vivo imaging system. DLN regions were irradiated by a 980-nm laser on days 1 to 4 at a power of 0.12 W/cm2 for 10 min (with 1-min interval for each 2 min/four times a day). When using low-power light to treat lymph nodes, the PDT-treated tumor region was covered by a surgical cloth to avoid laser exposure to neighboring tumors.

The abscopal effect of AUNPs was evaluated through the bilateral B16F10 tumor–bearing mouse model. The tumor was built by subcutaneous injection of B16F10 or luc-B16F10 cells (1 × 106 cells per mouse) into the right flank region. Meanwhile, B16F10 or luc-B16F10 cells (4 × 106 cells per mouse) were injected into the left flank region of the same mouse. After 10 days, AUNPs, pAUNPs, or PBS was intratumorally injected into the right tumor of mice on days 0 and 1 (n = 5, per group) (30 µl, 0.5 mg/ml, based on TPEBTPy). At 6 hours after injection, the mice were anesthetized with 2% (v/v) isoflurane, and the tumors were irradiated with a 980-nm NIR laser (0.6 W/cm2). The αPD-1 (clone RMP1-14) blocking antibody (10 mg/kg) was intraperitoneally injected into the animals on days 1 to 4. After PDT, right DLNs of mice were imaged and determined by a CRi Maestro in vivo imaging system and DLN regions were irradiated by a 980-nm laser on days 1 to 4 at a power of 0.12 W/cm2.

To evaluate the therapeutic efficacy, tumor growth was monitored by the IVIS Spectrum Imaging System (PerkinElmer Ltd.). Briefly, d-luciferin (150 mg/kg) was intraperitoneally injected into the mice. The mice were then imaged, and then the bioluminescence signals were quantified in units of maximum photons per second per square centimeter per steradian. The tumor size was measured with a caliper every 2 days. Tumor volumes were calculated as follows: width2 × length)/2.

To establish a tumor rechallenge model, on day 34 after the first treatment of the unilateral tumor model, mice were rechallenged by...
intravenous injection of B16F10 cell suspension (100 μl, 5 × 10^5) into C57BL/6 mouse. Subsequently, tumor growth in the lung was monitored by the IVIS Spectrum Imaging System, and lung tissues were collected for flow cytometry and histological analysis on different time points.

Flow cytometry analysis
At designated time points, DLNs, lungs, spleens, or tumor tissues were collected, fixed, and stained with anti-CD16/32 (BioLegend) for 30 min on ice and then with antibodies to CD11c (XMG1.2) at room temperature for 30 min, respectively. Subsequently, cells were fixed, permeabilized, and stained with anti-mouse CD11c (M1/70), Ly-6G/Ly-6C (RB6-8C5), CD4 + /CD8 + , and F4/80 (BM8), CD62L (MEI-14), and CD44 (IM7) (all from BioLegend; dilution: 1:100) for 30 min on ice. For DC characterization, DC suspensions were stained with fluorescence-labeled anti-CD11c (MAC-1) at a thickness of 8 μm. All the samples were finally imaged with a confocal microscope containing mounting solution (DAPI Fluoromount-G, SouthernBiotech). All the slices were finally imaged with a confocal microscope (Leica, SP8). The other parts of tumor tissues and other organs were fixed in 4% paraformaldehyde, then embedded into paraffin, and subsequently sliced at a thickness of 5 μm. Slices were stained with hematoxylin and eosin (H&E), imaged by optical microscopy, and assessed by three independent pathologists.

Statistical analysis
Quantitative data were presented as means ± SEM. Analysis of variance (ANOVA) was used for multiple comparisons, and Student’s t test was used for two-group comparisons. The differences in survival in each group were analyzed by the Kaplan-Meier method, and the P value was determined by the log-rank test. All statistical analyses were carried out using GraphPad Prism 5.0. *P < 0.05, **P < 0.01, ***P < 0.001, ****P < 0.0001.

SUPPLEMENTARY MATERIALS
Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/6/26/eabb2712/DC1

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