Pre-Operative Risk Factors Predict Post-Operative Respiratory Failure after Liver Transplantation

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Abstract

**Objective:** Post-operative pulmonary complications significantly affect patient survival rates, but there is still no conclusive evidence regarding the effect of post-operative respiratory failure after liver transplantation on patient prognosis. This study aimed to predict the risk factors for post-operative respiratory failure (PRF) after liver transplantation and the impact on short-term survival rates.

**Design:** The retrospective observational cohort study was conducted in a twelve-bed adult surgical intensive care unit in northern Taiwan. The medical records of 147 liver transplant patients were reviewed from September 2002 to July 2007. Sixty-two experienced post-operative respiratory failure while the remaining 85 patients did not.

**Measurements and Main Results:** Gender, age, etiology, disease history, pre-operative ventilator use, molecular adsorbent re-circulating system (MARS) use, source of organ transplantation, modified Child-Turcotte-Pugh score calculated immediately before surgery were assessed for the two groups. The length of the intensive care unit stay, admission duration, and mortality within 30 days, 3 months, and 1 year were also evaluated. Using a logistic regression model, post-operative respiratory failure correlated with diabetes mellitus prior to liver transplantation, pre-operative impaired renal function, pre-operative ventilator use, molecular adsorbent re-circulating system (MARS) use and deceased donor source of organ transplantation (p<0.05). Once liver transplant patients developed PRF, their length of ICU stay and admission duration were prolonged, significantly increasing their mortality and morbidity (p<0.001).

**Conclusions:** The predictive pre-operative risk factors significantly influenced the occurrence of post-operative respiratory failure after liver transplantation.

Introduction

Liver transplantation (LT) is currently the only definite treatment for acute liver failure and chronic end-stage liver diseases. Because of a shortage of liver donations, patients may have to wait for a long time for a liver transplantation. When liver transplantsations are performed, the patients are already very sick. These patients may also have a high incidence of common respiratory disorders including atelectasis, pleural effusion and poor compliance of the respiratory system due to edema of the chest wall or high intra-abdominal pressure. All of these respiratory disorders can affect the function of alveolar gas exchange. Some patients may even need intubation and ventilation.

Liver transplantation is an upper abdominal surgery which involves an extensive operation field and a long operation time. The surgical wound transects the abdominal oblique muscles and rectus muscles which are usually associated with respiratory movements [1]. Patients undergoing upper abdominal surgery are prone to diaphragmatic dysfunction which results in a 50–60% reduction in vital capacity and 30% reduction in functional residual capacity [2,3]. In addition, the usage of anesthetics and the inhibitory effect of wound pain on coughing and mucous removal usually contribute to the development of post-operative pulmonary complications. In the literature, 5–10% of patients with general surgery develop post-operative pulmonary complications, especially in the patients with abdominal surgery [4]. Glanemann et al. [1,5] observed that 11% of liver transplantation patients required ventilator assistance after transplantation and 36.1% required re-intubation. Among the patients who developed pulmonary complications and needed re-intubation, 44.6% of the patients were intubated within 24 hours after liver transplantation. All of these pulmonary complications contribute to a significant reduction in short-term survival.

Post-operative respiratory failure (PRF) [6,7] is one of the most common post-operative pulmonary complications and may result
in mortality. Pre-transplant risk factors that affect mortality and morbidity after liver transplantation have been investigated. However, the impact of PRF on LT patients’ prognosis is still unclear.

The objective of this study was to identify which pre-transplant risk factors are likely to cause PRF.

Results

Patients

A total of 147 liver transplant patients, 113 males and 34 females, were included in this study. The average age of these patients was 50.2 ± 8.7 years. The most common indication for liver transplantation was liver cirrhosis (76.2%), followed by fulminate hepatic failure (14.3%) and hepatocellular carcinoma (8.8%). There was no significant difference regarding total ischemic time (41.6 ± 11.4 minutes vs. 39.0 ± 10.4 minutes, p = 0.40, including cold and warm ischemic time) and duration of surgery (12.9 ± 2.1 hours vs. 14.2 ± 2.1 hours, p = 0.97). The demographic characteristics of the patients are shown in Table 1. Pre-operative co-morbidities included diabetes mellitus in 15% of patients, impaired renal function in 17.7%, and ventilator usage in 10.2%. Pre-operative pulmonary function tests showed restrictive defects in 17.7% of the patients. According to the Taiwan Organ Registry and Sharing Center, the model for end-stage liver disease (MELD) score is divided into three categories, 10–18, 19–24, and ≥ 25, which accounted for 33.4%, 28.6%, and 36.1% of the patients, respectively.

Univariate analysis

Among the 147 patients, 62 (42.2%) patients developed PRF and 85 (57.8%) did not (Table 2). Among the 62 patients with PRF, 14 (22.6%) required ventilation to support gas exchange pre-operatively, and 32 (51.6%) required reintubation after operation. Among the 85 patients without PRF, only 1 (1.2%) required pre-operative ventilation to support gas exchange (p < 0.001). There was no difference in age or sex between the two groups. The etiology of liver disease in both groups was different (p = 0.200). The PRF group had more patients with fulminant liver failure than the non-PRF group. There was a significant difference in MELD categories between these two groups (p = 0.004). Thirty (48.4%) PRF group patients had a MELD score ≥ 25 while only 23 (27.1%) of the non-PRF group patients had a MELD score ≥ 25. The severity of diseases was higher in the PRF group than in the non-PRF group.

Pre-operative pulmonary function tests showed 27.4% restrictive defects in the PRF group, which was higher than in the non-PRF group (p = 0.008). Pre-operative co-morbidities including diabetes mellitus and renal function insufficiency were also higher in the PRF group than in the non-PRF group. Moreover, more patients in the PRF group than in the non-PRF group required MARS while waiting for liver transplantation (p = 0.009). For operation type, patients in the PRF group had a higher rate of deceased donor liver transplantation than patients in the non-PRF group (p = 0.004). All of the deceased donors were brain-dead donors.

Multivariate analysis

To determine the independent factors between these two groups, all significant factors in univariate analysis were further analyzed by logistic regression. The results showed that the risk factors for PRF were diabetes mellitus, impaired renal function, pre-operative ventilator support, usage of MARS, and deceased donor liver transplantation (Table 3).

Once PRF developed, significant differences in post-operative prognoses were observed in both groups (Table 4). The length of ICU stay and duration of hospitalization were both longer in the PRF group than in the non-PRF group. Thirty-day, three-month, and one-year mortality rates were higher in the PRF group than in the non-PRF group. Kaplan-Meier survival curves showed that the survival rate at one year was 43.5% for the patients with PRF and 90.6% for the patients without PRF (p < 0.001) (Fig. 1). A total of 43 patients died during the one-year study period (Table 4). The causes of death of 35 PRF patients included sepsis with multiorgan failure (29 patients), rejection (2), gastrointestinal hemorrhage (2), cardiac dysfunction (arrhythmia, 1), and pulmonary embolism (1). All the deaths in non-PRF group were due to sepsis with multiorgan failure (8 patients).

There were 15 (10.2%) patients who required ventilator support before transplantation. According to the medical records, there were no preoperative ventilator associated pneumonias in our patient population.

Discussion

This retrospective study showed that pre-operative ventilator support, diabetes mellitus, impaired renal function, and deceased transplant recipients were all pre-operative risk factors for PRF. Once PRF developed, the length of stay at the intensive care unit and total duration of hospitalization both increased and caused a significant impact on short-term mortality after liver transplantation. Liver transplantation, compared with heart and kidney transplantsations, are particularly prone to PRF and acute
pulmonary damage. Previous study [9] had mentioned about “the risk of respiratory failure and acute lung injury is considerably lower after heart and kidney transplantation than liver transplantation”. Only 4.4% heart transplantation patients require tracheostomy for the development of prolong respiratory failure. Similarly, perioperative respiratory failure was documented in 4% recipients of kidney transplantation. Once PRF develops, both patient prognosis and survival rate are affected [9]. Glanemann et al. [5] reported that of 546 liver transplantation patients, 11% needed ventilator support for more than 24 hours, and 14.8% underwent extubation within 24 hours but required re-intubation later on. The patients in need of re-intubation have significantly reduced survival rates [1]. Arozullah et al. [7] adopted a prospective cohort model to predict the multi-factorial risk index for PRF after major noncardiac surgery. They discovered that 37% of liver transplant patients developed PRF and were unable to undergo extubation, while 29% of the patients who developed PRF required re-intubation. For those patients who were unable to undergo extubation, the mortality rate increased to 23% within 30 days. For those patients who were re-intubated, the mortality rate increased to as high as 31% within 30 days. Golfieri et al. [10] also described that 4–16% of patients who developed pulmonary complications after liver transplantation deteriorated into acute respiratory distress syndrome with a mortality rate as high as 80–100%. Clearly therefore, patients suffering from PRF after transplantation have a higher incidence of short-term mortality.

Table 2. Pre-operative clinical parameters of the patients who underwent liver transplantation (n = 147), by univariate analysis.

| Parameter                          | Postoperative respiratory failure (n = 62) | No postoperative respiratory failure (n = 85) | p value |
|------------------------------------|------------------------------------------|---------------------------------------------|---------|
|                                   | Mean ± SD/number(%)                      | Mean ± SD/number(%)                         |         |
| Age, years                        | 50.2±8.5                                 | 50.2±8.9                                    | .995    |
| Gender, Male                       | 47(75.8%)                                | 66(77.6%)                                   | .794    |
| Etiology                           |                                          |                                             | .020    |
| Liver cirrhosis                   | 47(75.8%)                                | 65(76.5%)                                   |         |
| Hepatocellular carcinoma          | 2(3.2%)                                  | 12(14.1%)                                   |         |
| Fulminate hepatic failure         | 13(21.0%)                                | 8(9.4%)                                     |         |
| Comorbidities                      |                                          |                                             |         |
| Diabetes Mellitus                 | 14(22.6%)                                | 8(9.4%)                                     | .027    |
| Heart disease                     | 2(3.2%)                                  | 2(2.4%)                                     | 1.000   |
| Hypertension                      | 5(8.1%)                                  | 2(2.4%)                                     | .133    |
| Renal insufficiency               | 19(30.6%)                                | 7(8.2%)                                     | <.001   |
| Ventilator required pre-transplantation | 14(22.6%)                                | 11(12.6%)                                   | <.001   |
| MARS                               | 9(14.5%)                                 | 2(2.4%)                                     | .009    |
| Pulmonary function test            |                                          |                                             | .008    |
| Restricted defects                | 17(27.4%)                                | 9(10.6%)                                    | .004    |
| Donor Group                        |                                          |                                             |         |
| Living donor liver transplantation | 31(50.0%)                                | 62(72.9%)                                   |         |
| Deceased donor liver transplantation| 31(50.0%)                                | 23(27.1%)                                   |         |
| MELD                               |                                          |                                             | .004    |
| 10–18                              | 13(21.0%)                                | 39(45.9%)                                   |         |
| 19–24                              | 19(30.6%)                                | 23(27.1%)                                   |         |
| ≥ 25                               | 30(48.4%)                                | 23(27.1%)                                   |         |
| Child-Turcotte-Pugh score          |                                          |                                             | .054    |
| Class B                            | 8(12.9%)                                 | 22(25.9%)                                   |         |
| Class C                            | 54(87.1%)                                | 63(74.1%)                                   |         |

Abbreviations: MARS, Molecular adsorbent recycling system; MELD, Model for end-stage liver disease score.
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Table 3. Pre-operative predictors of post-operative respiratory failure by multivariate analysis.

| Parameter                          | p value | Adjusted odds ratio (95% CI) |
|------------------------------------|---------|------------------------------|
| Diabetes mellitus                  | .001    | 7.55(2.28, 25.02)            |
| Mechanical ventilation pre-transplantation | .002    | 38.85 (3.78, 398.96)         |
| Renal insufficiency                | .003    | 5.93(1.82, 19.35)            |
| Deceased donor                     | .006    | 3.44(1.42, 8.38)             |
| MARS                               | .024    | 14.09(1.42, 139.69)          |
| MELD                               | .152    | 2.21(0.75, 6.50)             |
| Restrictive defects                | .728    | 0.78(0.19, 3.11)             |
| Etiology                           | .081    | 0.51 (0.24, 1.09)            |

Abbreviations: MARS, Molecular adsorbent recycling system; MELD, Model for end-stage liver disease score.
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Glanemann et al. [11] special attention should be focused on liver transplant recipients in poor clinical condition at the time of orthotopic liver transplantation, undergoing complicated surgery, or receiving liver grafts with severe preservation injury. Our work provides a worthwhile scientific study on the field of risk factors for post-operative respiratory failure after liver transplantation and the impact on short-term survival rates. Postoperative respiratory failure is one of the most common post-operative pulmonary complications and may result in mortality [12]. González et al. [12] suggested that the development of acute respiratory failure after liver transplantation is affected by the following factors: female sex, Child-Pugh class, pulmonary edema, postoperative acute renal failure, cerebral dysfunction, and respiratory infection. However, only few studies have addressed the impact of pre-transplantation risk factors on the post-operative respiratory failure after liver transplantation. Besides, pre-transplantation risk factors that affect mortality and morbidity after liver transplantation have been investigated. For example, Preci JR et al. [13] reported that preexisting diabetes is associated with a significant post-orthotopic liver transplantation morbidity and mortality. However, the impact of post-operative respiratory failure on liver transplantation patients' prognosis is still unclear. Therefore, our paper will provide comprehensive and potential information for clinical physician to improve the critical care for these patients.

Multisystem organ failure (MSOF) is important for liver transplantation patients. In our study, liver transplantation patients were tightly monitored once they are on the waiting list. Multisystem organ failure occurred before surgery is not suitable for liver transplantation. Actually, in our previous study [14] entitled “Scoring Short-Term Mortality After Liver Transplantation”

| Parameter            | Postoperative respiratory failure (n = 62) | No postoperative respiratory failure (n = 85) | p value |
|----------------------|-------------------------------------------|---------------------------------------------|---------|
| ICU stay, d          | Median (IQR)/number (%)                   | Median (IQR)/number (%)                     | <.001   |
| Hospital stay, d     | 27 (6, 152)                               | 9 (1, 65)                                   |         |
| Mortality (30-days)  | 51 (6, 231)                               | 32 (5, 156)                                 | <.001   |
| Mortality (three-months) | 23 (37.1)                               | 1 (1.2)                                    | <.001   |
| Mortality (one-year) | 28 (45.2)                                 | 1 (1.2)                                    | <.001   |

Abbreviations: ICU stay, intensive care unit stay.

Figure 1. The survival rate for patients with or without PRF by Kaplan Meier analysis.

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Impairment of renal function is an independent risk factor for the development of post-operative pulmonary complications. In this study, pre-operative impairment of renal function between the PRF and non-PRF patients was significantly different. Multivariate analysis also showed that impaired renal function was an independent factor with an adjusted odds ratio of 5.93 (95% CI, 1.82–19.35; \( p = 0.003 \)). All the patients in our study with preoperative renal insufficiency did not require preoperative dialysis or ultrafiltration. Impaired renal function and gastric emptying may both interfere with blood levels of immunosuppressants and ultimately lead to poor blood glucose control and infections. Preeti et al. [13] discovered that compared to non-diabetic patients, diabetic patients had a significantly higher serum creatinine level prior to liver transplantation and a higher incidence of pulmonary complications after transplantation (\( p = 0.001 \)).

The usage of MARS was a risk factor to develop post-operative PRF. Eleven patients in the current study received MARS combined with dialysis treatment. Of these 11 patients, 9 (81.8%) developed PRF, which was significantly higher than in the patients who did not receive MARS (\( p = 0.009 \).) Using an artificial liver/biological artificial liver as a support system to extend the waiting time increases the opportunity of liver transplantation for acute liver failure patients. Most toxins produced by liver failure bind to albumin, and traditional hemodialysis cannot effectively remove the toxicity for acute liver failure patients. Non-biologic artificial liver support therapies, MARS, combine a molecular adsorbent re-circulating system and a dialysis system to remove water-soluble and protein-bound toxins. The mortality rate within one week has been shown to be 100% and 63% for the control group and the MARS-treatment group, respectively [23]. Although MARS treatment extends the waiting time for liver transplantation and possibly improves the survival rate for the patients with hepatorenal syndrome, the usage of MARS is still a risk factor to develop PRF.

According to previous report, the criteria of MARS including acute decompensation on chronic liver disease, acute liver failure, primary graft dysfunction, liver failure post-liver surgery and intractable pruritus in chronic cholestatic syndromes [24]. The waiting time for prospective liver transplantation is long, making it difficult to control the disease severity in the patient population. While the description of respiratory failure in their patient population is of some interest, most readers will reject the data if it is not similar to their own experience. It is very apparent that there are a number of peer-reviewed publications with different outcomes. However, the one thing that is applicable to all centers is the systematic study of risk factors for each institution.

Impairment of renal function is an independent risk factor for the development of post-operative pulmonary complications. In this study, pre-operative impairment of renal function between the PRF and non-PRF patients was significantly different. Multivariate analysis also showed that impaired renal function was an independent factor with an adjusted odds ratio of 5.93 (95% CI, 1.82–19.35; \( p = 0.003 \)). All the patients in our study with preoperative renal insufficiency did not require preoperative dialysis, as well as intraoperative dialysis or ultrafiltration. Impaired renal function with an imbalanced pH value increases the work of breathing and reduces pulmonary compliance. Once respiratory failure occurs and mechanical ventilation is adopted, the high intra-thoracic pressure will affect the systemic and renal hemodynamics leading to a drop in cardiac output that will in turn affect renal blood flow [19]. Nair et al. [8] showed that preoperative serum level of creatinine (>1.5 mg/dl) was an important indicator for assessing post-operative ICU stay as well as short-term survival rate [20,21,22]. These results imply that it is better to perform liver transplantation before renal function becomes impaired. In our study, we did not perform combined liver kidney transplantation in our renal failure patients.

Diabetes mellitus patients are prone to have delayed wound healing after major surgery and an increased risk of infection and morbidity. The results in this study showed that patients suffering from diabetes mellitus prior to surgery had a higher chance of developing PRF after surgery. The hazard ratio for diabetes mellitus was 7.55 (95% CI, 2.28–25.02; \( p = 0.001 \)). Immunosuppressive agents such as tacrolimus and steroids may influence the metabolism of glucose. Impaired renal function and gastric emptying may both interfere with blood levels of immunosuppressants and ultimately lead to poor blood glucose control and infections. Preeti et al. [13] discovered that compared to non-diabetic patients, diabetic patients had a significantly higher serum creatinine level prior to liver transplantation and a higher incidence of pulmonary complications after transplantation (\( p = 0.001 \)).
Post-operative respiratory failure (PRF) [6,7] was defined as patients requiring ventilator support for more than 48 hours or patients having re-intubation. All 147 patients were divided into two groups: PRF patients, who developed post-operative respiratory failure, and non-PRF patients. In conclusion, this study identified several pre-operative risk factors for PRF, which lead to a prolonged ICU and hospital stay. Future studies are needed to address the impact of infection on the short-term mortality after liver transplantation.
tory failure, and non-PRF patients, who did not develop post-operative respiratory failure.

Anesthetic regimen and early enteral feeding protocol

Short-acting anesthetic drugs were used as anesthetic regimen for our patients, including midazolam, fentanyl, and rocuronium that were administered on a dose per weight basis at induction. Anesthesia was maintained with an oxygen-air-isoflurane mixture and intermittent doses of cis-atracurium were given for continuing muscle relaxation. A standardized surgical technique performed by the same surgical team was used for all patients. The specific time for inferior vena cava clamping, portal venous reperfusion, and hepatic artery reperfusion was protocol-controlled to within 10 to 15 minutes. All patients were transferred to the ICU for post transplantation care, including early enteral feeding protocol. Once patients exhausted, enteral feeding was started.

Data collection

The data collected included patient profiles, etiology of diseases, history of systemic diseases (diabetes mellitus, hypertension, heart disease, or renal insufficiency), the definition of renal insufficiency as serum creatinine more than 1.5 mg/dL, or creatinine clearance (CrCl) less than 70 mL/min following a previous report [3], pre-operative ventilator usage, model for end-stage liver disease score (MELD), Child-Turcotte-Pugh (CTP) Classification, pre-operative usage of molecular adsorbent re-circulating system (MARS), pre-operative pulmonary function tests (most recent pulmonary function ≤3 months) on file as relevant reference for liver transplantation, pre-operative laboratory data, length of intensive care unit (ICU) stay, and duration of hospitalization. The post-operative mortality within 30 days, three months, and one year were also collected.

Statistical analysis

Data were analyzed using the statistical software package SPSS (Version 15 SPSS, Chicago, IL). Data were shown as mean ± SD, median with range, or percentages. The univariate relationship between each variable and PRF was tested using Pearson’s chi-square or Fisher’s exact tests. All significant variables in univariate analysis were analyzed by multiple regression logistic models. Overall patient survival was estimated using the Kaplan-Meier survival analysis. A p value < 0.05 was considered statistically significant.

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Author Contributions

Conceived and designed the experiments: CTH HCL SCC WCL. Performed the experiments: CTH HCL SCC WCL. Analyzed the data: CTH HCL. Contributed reagents/materials/analysis tools: CTH HCL SCC WCL. Wrote the paper: CTH HCL SCC WCL.

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