An Efficient Binary Technique for Trace Simplifications of Concurrent Programs

Mohamed A. El-Zawawy*  Mohammad N. Alanazi†

*†College of Computer and Information Sciences,
Al Imam Mohammad Ibn Saud Islamic University (IMSiu)
Riyadh, Kingdom of Saudi Arabia
*Department of Mathematics, Faculty of Science
Cairo University
Giza 12613, Egypt
Email*: maelzawawy@cu.edu.eg
Email†: alanazi@ccis.imamu.edu.sa

Abstract—Execution of concurrent programs implies frequent switching between different thread contexts. This property perplexes analyzing and reasoning about concurrent programs. Trace simplification is a technique that aims at alleviating this problem via transforming a concurrent program trace (execution) into a semantically equivalent one. The resulted trace typically includes less number of context switches than that in the original trace.

This paper presents a new static approach for trace simplification. This approach is based on a connectivity analysis that calculates for each trace-point connectivity and context-switching information. The paper also presents a novel operational semantics for concurrent programs. The semantics is used to prove the correctness and efficiency of the proposed techniques for connectivity analysis and trace simplification. The results of experiments testing the proposed technique on programs treated by previous work for trace simplification are also shown in the paper. The results prove the efficiency and effectiveness of the proposed method.

I. INTRODUCTION

Concurrency [15] is becoming a main stream in programming due to advances in multi-core hardware. Compared to other programming techniques, debugging and reasoning about concurrent programs are not easy jobs; in fact they are very difficult. This is mainly because of the non-deterministic behavior of their executions. The debugging difficulty was reported by research [6] comparing debugging resources needed for concurrent and sequential programs where debugging the former was found to last, on average, (more than twice) longer than debugging the latter. The non-deterministic behavior of execution is caused by non-deterministic thread interleaving at execution time. This makes reproducing a bug towards analyzing and resolving it, in most cases, difficult. Much research [14] has been carried out for smoothing bug reproductions in concurrent programs.

Context switching [15] is a terminology describing (fine-grained) interleaving of different threads. A relatively large number of context switches in an execution of a concurrent program complicates its debugging extremely. This is so as the number of possible interactions between threads needing to be reasoned about, in order to understand a trace (an execution), becomes extremely huge by thread interleaving. Therefore, it is quite helpful to produce an equivalent execution trace (of a given one) that has less number of context switches. This results in increasing the interleaving granularity. One main source of increase in context switches is thinking sequentially while coding concurrently. Few attempts [10] were done to produce techniques for reduction of context switches in executions (traces) of concurrent programs.

This paper presents a new technique, Binary Trace Reduction (BinTrcRed), for automatic reductions of context switches in traces (execution instances) of concurrent programs. This technique (transformation) produces an equivalent trace to the given one and hence the produced trace maintains bugs of the original one. Therefore the resulted simplified trace can be useful in the debugging process as it removes the burden of reasoning about unnecessary fine-grained thread interactions. The proposed technique has the form of a system of inference rules. This has two advantages over related work. First the system is relatively easy to understand and to apply as it is simply structured. Secondly, the system naturally associates each trace simplification process with a validity proof which has the form a rule derivation in the system. This proof is required by many applications like proof-carrying code [12].

BinTrcRed is based on the result of a connectivity analysis that is proposed in this paper and that also has the form of a system of inference rules. The connectivity analysis simply analyzes a given trace towards complete information about the number of context switches and trace-joins where switching takes place. Then based on this information, a sequence of binary replacements between segments (sequence) of statements constituting the trace are performed by BinTrcRed to reduce the number of context switches. BinTrcRed computes a locally optimal simplification rather than a globally optimal simplification as the problem was proved to be NP-hard [11].

Two measures are used to verify the correctness and efficiency of the proposed technique. The first measure is theoretical and provides a robust ground for BinTrcRed. This is done via designing an accurate, yet simple, operational semantics for the model language used in this paper. This model is used to state and prove the correctness and efficiency of BinTrcRed. More specifically, the semantics is used to prove that any resulting trace by BinTrcRed is equivalent (having the same effect on memory) to the input one and has a number...
of context switches that is less than or equal to that in the original trace. The other measure is experiential results that were carried out to compare the performance of BinTrcRed to a previous technique. Many parameters were used towards a fair comparison. Experiments confirm that our technique is faster and more effective than the previous technique.

Figure 1 presents a motivating example of the work proposed in this paper. Assume a concurrent program \( P \) that includes 9 statements distributed between 2 threads. The upper part of Figure 1 presents a trace of executing this program. This trace includes 4 groups of connected statements and 3 context switches. For example statements \( s_3, s_4 \) and \( s_5 \) are connected and included in thread 2. After \( s_5 \), a context switch happens to thread 1 to execute the connected statements \( s_6 \) and \( s_7 \). First of all, a robust analysis to accurately collect such connectivity and switching information is required. Base of the connectivity information if we replace the third and fourth groups of statements we get the equivalent trace at the middle of the figure with 2 context switches. Intuitively, the equivalency is due to the replacement of unconnected groups of statements. Further replacements produce the final equivalent trace at the bottom of the figure with only 1 context switch. This paper aims at formalizing a technique that does such replacements. The technique is required also to associate each such transformation with a correctness proof that is compact enough for the sake of mobility.

Contributions of this paper are the following:

1) A new operational approach to accurately define the semantics of concurrent programs.
2) A connectivity analysis to calculate connectivity and context switching information in traces of concurrent programs.
3) A new technique to reduce context switches in traces of concurrent programs.

The outline of this paper is as follows. Section II presents the used model of programming language and the proposed techniques for connectivity analysis and trace transformation. The semantics for the language constructs together with a formalization for correctness and efficiency of proposed techniques are shown in Section III. Section IV presents the experimental results. Related and future research are reviewed in Section V.

II. CONNECTIVITY ANALYSIS AND TRACE TRANSFORMATION

This section presents our model for a concurrent programming language. The section also presents two techniques; a connectivity analysis and a trace transformation reducing number of context switches in concurrent programs (trace simplification). The section also uses the language model to introduce a formalization of the problem of trace simplification (BinTrcRed). The language model includes commands common to languages used to study similar problems. Figure 2 presents the language model.

Some comments on the language model are in order. Two types of stores are used in the model; global (typical element denoted by \( g \)) and local (typical element denoted by \( l \)). A global store is a memory location that is accessed by all threads constituting a concurrent program. A local store is a memory location that is private for a certain thread. A special global store, \( tc \), services as a counter for the trace. Global stores are meant to facilitate the communication among a program threads. According to the syntax of Figure 2 each thread consists of a sequence of statements. Due to the use of a global trace counter, standing alone, each thread is deterministic.

Towards a rich, yet simple, language model the syntax of our language includes the following statements:

- \( \text{Share}(l, g) \): copying the value of \( g \) into \( l \). (Java’s read command)
- \( \text{localize}(l, g) \): copying the value of \( l \) to \( g \). (Java’s write command)
- \( \text{Require} \): meaning that its hosting thread requires a lock. (Java’s lock command)
- \( \text{Release} \): meaning that its hosting thread releases a lock. (Java’s unlock command)
- \( \text{Duplicate} \): meaning that its hosting thread duplicates itself. (Java’s fork command)
- \( \text{Initiate} \): meaning that the execution of its hosting thread is initiated immediately after the completion of another thread. (Java’s join command)
- \( \text{Ready} \): meaning that its hosting thread is ready for execution. (Java’s start command)
End: marking the end of a thread. (Java’s exit command)

SetI(g): setting the value of g to 1. (Java’s signal command)

Set0(g): waiting g to become 1 to set it to 0 again. (Java’s wait command)

Definition 1: Let \( P = \{T_1\} \ldots \{T_n\} \) be a program and suppose that \( T_i \) has \( n_i \) statements (i.e. \( T_i = S_i^1 \ldots S_i^{n_i} \)). Then

1) \( N_P = \sum_i n_i \).
2) \( S_P = \{ S_i^j \mid 1 \leq i \leq n & 1 \leq j \leq n_i \} \).
3) A faithful map \( \delta_P \) for the program \( P \) is a one-to-one map satisfying the following condition:

\[
\delta_P : \{1, \ldots, N_P\} \rightarrow S_P
\]

such that

\[
u, v \in \{1, \ldots, N_P\}, \delta_P(u) = S_i^j, \text{ and } \delta_P(v) = S_i^j \Rightarrow u < v.
\]

4) The trace, \( t_{\delta_P} \), of \( \delta_P \) is the sequence \( \delta_P(1) ; \delta_P(2); \ldots ; \delta_P(N_P) \).

5) For \( u \in \{1, \ldots, N_P\} \), suppose \( \delta_P(u) = S_i^j \). Then

\[
th_{\delta_P} : \{1, \ldots, N_P\} \rightarrow \{1, \ldots, n\}; s \mapsto i.
\]

6) For \( u, v \in \{1, \ldots, N_P\} \),

\[
diff(u, v) = \begin{cases} 0, & \text{if } th_{\delta_P}(u) = th_{\delta_P}(v); \\ 1, & \text{otherwise.}
\end{cases}
\]

7) \( CS(t_{\delta_P}) = \sum_{s=1}^{N_P-1} \text{diff}(s, s+1) \).

Definition 2: For a trace \( S_1^i, \ldots, S_{N_P}^i \) of a program \( P = \{T_1\} \ldots \{T_n\} \),

- \( C_P^1 = \{ (S_1, S_2) \mid \exists i \leq n, 1 \leq j \leq n_i, S_1 = S_j^i \text{ and } S_2 = S_{j+1}^i \} \).
- \( C_P^2 = \{ \text{Release}^i, \text{Require}^i, \text{Duplicate}^i, \text{Read}^i, \text{End}^i, \text{Initiate}^i, (\text{Set}^i(g), \text{Set}^i(g)) \mid 1 \leq i, i' \leq n \} \).
- \( C_P^3 = \{ \text{localize}^i(l, g), \text{Share}^i(l', g), (\text{Share}^i(l, g), \text{localize}^i(l', g)), (\text{Share}^i(l, g), \text{Share}^i(l', g)) \mid i \neq j \} \).
- The connectivity set:

\[
C_P^c = C_P^1 \cup C_P^2 \cup C_P^3.
\]

The map, connect, measuring connectivity of statements in a trace is defined as following:

\[
\text{connect}(S_1, S_2) = \begin{cases} 1, & (S_1, S_2) \in C_P^c; \\ 0, & \text{otherwise.}
\end{cases}
\]

Definition 3: For a trace \( S_1, \ldots, S_{N_P} \) of a program \( P = \{T_1\} \ldots \{T_n\} \), an annotated trace is a sequence:

\[
(s_0^0, s_1^0, t_1^0, t_2^0) S_1 (s_1^1, s_2^1, t_1^1, t_2^1) S_2 (s_1^2, s_2^2, t_1^2, t_2^2) \ldots S_{N_P} (s_1^{N_P}, s_2^{N_P}, t_1^{N_P}, t_2^{N_P}),
\]

such that \( \forall u \in \{1, \ldots, N_P\}, s_u^u, s_u^v \in \{1, \ldots, N_P\} \text{ and } t_u^u, t_u^v \in \{1, \ldots, n\} \).

The conditions under which two statements of a concurrent program are considered connected are presented in Definition 2. There are three types of pairs of connected statements in a trace of a program \( P \). The three types are the following. Pairs of contiguous statements of the same thread are grouped in the set \( C_P^1 \). The set \( C_P^2 \) collects pairs of contiguous concurrent statements of various threads accessing the same global variable. Pairs of contiguous conflicting concurrent statements are grouped in the set \( C_P^3 \). The set of all pairs of connected statements is denoted by \( C_P^c \). The map connect is binary-valued and denotes connectivity of \( S_1 \) and \( S_2 \) using the set \( C_P^c \). To illustrate Definition 3, it is necessary to recall that each trace consists of contiguous segments of statements such that each segment contiguous statements are connected. In an extreme case, each segment includes only one statement. For a trace, Definition 3 introduces the concept of an annotated trace which is a trace whose join-points are annotated with connectivity information. For a join-point \( i \), this information is a quadrable \((s_1^i, s_2^i, t_1^i, t_2^i)\) where:

- the number of the first member in the segment including the statement \( S_i \) is denoted by \( s_1^i \);
- the number of the last member in the segment including the statement \( S_i \) is denoted by \( s_2^i \);
- the thread ID of the first member in the segment including the statement \( S_i \) is denoted by \( t_1^i \), and
- the thread ID of the last member in the segment including the statement \( S_i \) is denoted by \( t_2^i \).

Figure 3 presents the connectivity analysis in the form of a system of inference rules. For a given trace \( S_1, \ldots, S_{N_P} \) of a program \( P = \{T_1\} \ldots \{T_n\} \), the idea is to use the rules to find a quadrable \((s_1, s_2, t_1, t_2)\) such that

\[
S_1, S_2, \ldots, S_{N_P} ; (0, 0, 0, 0) \rightarrow (s_1, s_2, t_1, t_2)
\]

is derivable in the system. If such derivation exists, then an annotated trace (in the sense of Definition 3 above) can be easily built from the derivation. The obtained annotated trace includes all the necessary connectivity information for embarking on reducing the number of context switches. The precondition of \((tr_3)\), connect\((\delta_P(u-1), \delta_P(u)) = 1 \) requires that the current statement is connected to its prior one. In case the current statement is attached to the segment of its prior statement by letting the information in the next join-point to be \((s_1, u, t_1, \text{th}_{\delta_P}(u))\).
if \( t_{u+1} = t_{u+1}' \), then switching the two statements \( S_u \) and \( S_{u+1} \) would not reduce the number of context switches. Therefore as formalized in the rule \((\text{base}_3)\), the transformation of the trace above is the same trace again. However if \( t_{u+1} = t_{u+1}' \), then switching the two statements \( S_u \) and \( S_{u+1} \) would reduce the number of context switches in the trace by one. This is formalized in the rule \((\text{base}_2)\). For a longer annotated trace, the rule \((S)\) breaks the trace into two sub-traces and applies the system on each sub-trace. Then the rule switches the two obtained sub-traces only if their switching would reduce the number of context switches by 1.

Theorem 1 states that the number of context switching in a trace resulted from the transformation system above, BinTreRed, is less than or equal that number in the ordinal trace. A straightforward structure induction on rules of Figure 4 proves the theorem.

**Theorem 1:** Let \( P = \{T_1, \ldots, T_n\} \) be a program and suppose that \( T_i \) has \( n_i \) statements (i.e. \( T_i = S_{1_i}; \ldots; S_{n_i} \)). Suppose that \( \delta_P \) is a trace for \( P \) with annotation:

\[
(s_0^1, s_2^1, t_1^1, t_2^1) \delta_{P(1)}(s_1^1, s_2^1, t_1^1, t_2^1) \delta_{P(2)}(s_1^1, s_2^1, t_1^1, t_2^1) \ldots \delta_{P(N_P)}(s_{N_P}^1, s_{N_P}^1, t_1^1, t_2^1),
\]

obtained using the analysis technique of Figure 3. Suppose that this trace is transmuted using BinTreRed (Figure 4):

\[
(s_0^0, s_2^0, t_1^0, t_2^0) \delta_{P(1)}(s_1^0, s_2^0, t_1^0, t_2^0) \ldots \delta_{P(N_P)}(s_{N_P}^0, s_{N_P}^0, t_1^0, t_2^0)
\]

Then \( CS(t_{s_0}) \leq CS(t_{s_P}) \).

### III. Semantics Based Correctness Formalization

This section presents a novel semantics for trace executions in concurrent programming languages. The proposed semantics is operational and consists of a set of states and a transition relation between the states. A state is a triple \((\gamma, L, W)\), where \(\gamma\) captures the contents of local and global variables, \(L\) is the set of threads requiring looks at that point of execution, and \(W\) is the set of global variables being watched by the command Set0. Definition 4 formalizes the state definition.

**Definition 4:**

- Local locations of thread \( i \) are \( L_i = \{t_1^i, t_2^i, \ldots\}\).
- A special global variable is the trace counter denoted by \(tc\).
- A variable state \(\gamma\) is a partial map from \(G \cup \cup_i L_i\) to the set of integers.
- A trace state is a triple \((\gamma, L, W)\); \(L\) denotes a list of threads requiring a lock and \(W\) denotes the set of global variables being watched by the statement ”Set0”.

The transition relation of the proposed operational semantics, in the form of a system of inference rules, is shown in Figure 5. Some comments are in order. The rule \((f^n)\) simulates the semantics of the statement Duplicate. This is done via adding statements of thread \( i \) into the set \(S_P\) of all statements of the program \( P \) after removing the already executed statements from \(S_P\). The remaining trace is replaced.
Then this trace is transomed using transformation and semantics rules. The fourth phase uses the connectivity information and the optimization rules (Figure 3) to reduce the trace. The fifth phase calculates the number of context switches in the resulted trace. The last phase calculates the semantics of the resulted trace. Calculating the number of context switches and semantics before and after transformations makes BinTrcRed transparent to the programmers.

Four common multithreaded Java benchmarks were the subject of our experiments. The first benchmark, CTSo, is a multithreaded solution for traveling salesman problem using a concurrent bound and branch algorithm. The second benchmark, CPhilo, simulates the famous dining philosophers problem. The third benchmark, CWwebDow, is a multithreaded tool for downloading from servers and servers reflection. The fourth benchmark, CMerge, is a multithreaded version of the merge sort algorithm. The experiments were run on a Windows 7 system whose processor is Intel(R)-Core2(TM)-i5-CPU-(2.53GHz) and whose RAM is 4GB.

The experimental results are shown in Table 1. For the sake of accuracy, all information are averaged using results of 100 runs. Parameters used to measure the performance are the following.

1) LC: Numbers of lines in source programs.
2) TC: Thread counts.
3) SRb: The semantics running-time before transformation.
4) CR: Connectivity analysis running-time.
5) TR: Trace-transformation running-time.
6) SRc: The semantics running-time after transformation.
7) CSb: The number of context switches before transformation.
8) CSa: The number of context switches after transformation.
the operational semantics and a correctness proof for each trace transformation. The correctness proofs have the form of inference rules derivations. This has many applications; specially in the proof-carrying code area of research.

V. RELATED WORK

Towards finding bug cases in error traces, many algorithms [8], [13] for checking software models have been proposed. Most of these algorithms aim at building counterexamples in case of finding a bug and aim also at reducing error traces. Required changes in thread scheduling to get an error that is concurrency-based was achieved by an extended version of delta debugging [1]. Assuming the existence of rely-guarantee proofs for concerned properties, in [7] concurrent programs were verified. Although the proposed technique in the current paper relies on producing reductions in single traces, the techniques mentioned above rely on comparing related traces. Clearly, focusing on reducing a single trace is more practical and efficient but creates a more complicated scenario.

A static approach, SimTrace, to trace simplification is proposed in [10]. The idea behind SimTrace is to use dependence graphs to model events. Rather than introducing a trace theorem for equivalence, in [10] it is proved that results of SimTrace are sound. Hence in this approach re-execution of program for the sake of validation is not required. The use of a dependence relation [10] is a common practice in treating trace optimizations. Checking violations of atomicity was achieved in [13] via the introduction of concept of guarded independence. To minimize the cardinality of the causality relationship, the concept of sliced causality was introduced in [3]. This was done by shopping the typical dependencies among commands. Other research [17] considered all possible valid executions that may result from a trace. This was done using a model for maximal causality. The rule of dependence relation is achieved in our proposed technique, BinTrcRed, by the connectivity analysis which is simpler and more powerful than the mentioned techniques due to simplicity of inference rules as they were explained earlier.

In [10], a theory of context-bounded analysis was developed for concurrent programs. Up to the bound, this theory is both sound and complete. Results concerning sequential pushdown systems [2], in particular their model checking, were used to develop this theory. Many model checkers have been proposed for concurrent programs [4]. The problem with all these checkers is that they use a representation of the stacks of threads. Non-termination may occur due to such stacks. Other techniques [2] for verifying concurrent programs that are automated have also been developed. The idea in these techniques is to use an automatically established model of the environment to separately check each process. This checking model suffers from being imprecise and stackless. Therefore such techniques are not complete, but sound.

| LC | TC | SR | CR | TR | SRa | CS | CSa |
|----|----|----|----|----|----|----|----|
| CPhil | 81 | b | 4.0 ms | 5.0 ms | 5.0 ms | 3.0 ms | 54 | 8 |
| CMerge | 519 | 18 | 25.0 ms | 29.0 ms | 29.0 ms | 12.0 ms | 545 | 91 |
| CTSP | 709 | 5 | 68.0 ms | 78.0 ms | 97.0 ms | 54.0 ms | 9617 | 1143 |
| CWebSow | 35175 | 3 | 43.0 ms | 48.0 ms | 42.0 ms | 33.0 ms | 144 | 21 |

TABLE I. EXPERIMENTAL RESULTS

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