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Randomized Control Trials

Effect of nutritional support in patients with lower respiratory tract infection: Secondary analysis of a randomized clinical trial

Annic Baumgartner, Flavia Hasenboehler, Jennifer Cantone, Lara Hersberger, Annika Bargetzi, Laura Bargetzi, Nina Kaegi-Braun, Pascal Tribolet, Filomena Gomes, Claus Hoess, Vojtech Pavlicek, Stefan Bilz, Sarah Sigrist, Michael Brändle, Christoph Henzen, Robert Thomann, Jonas Rutishauser, Drahomir Aujesky, Nicolas Rodondi, Jacques Donzé, Zeno Stanga, Beat Mueller, Philipp Schuetz

*Medical University Department, Division of General Internal and Emergency Medicine, Kantonsspital Aarau, Aarau, Switzerland
Internal Medicine, Spital Lachen, Switzerland
Internal Medicine, Kantonsspital Muensterlingen, Switzerland
Internal Medicine & Endocrinology/Diabetes, Kantonsspital St.Gallen, Switzerland
Internal Medicine, Kantonsspital Luzern, Switzerland
Department of Internal Medicine, Buergerspital Solothurn, Switzerland
Internal Medicine, Kantonsspital Basel, Switzerland
Department of General Internal Medicine, Inselspital, Bern University Hospital, University of Bern, Switzerland
Medical Faculty of the University of Basel, Switzerland
Division of Diabetology, Endocrinology, Nutritional Medicine & Metabolism, Inselspital, Bern University Hospital, University of Bern, Switzerland
Institute of Primary Health Care (BIHAM), University of Bern, Switzerland
Division of General Internal Medicine, Brigham and Women’s Hospital, Boston, MA, USA
The New York Academy of Sciences, New York City, NY, USA
Department of Medicine, Neuchâtel Hospital Network, Neuchâtel, Switzerland
Department of Health Professions, Bern University of Applied Sciences, Bern, Switzerland

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S U M M A R Y

Background: In polymorbid patients with bronchopulmonary infection, malnutrition is an independent risk factor for mortality. There is a lack of interventional data investigating whether providing nutritional support during the hospital stay in patients at risk for malnutrition presenting with lower respiratory tract infection lowers mortality.

Methods: For this secondary analysis of a randomized clinical trial (EFFORT), we analyzed data of a subgroup of patients with confirmed lower respiratory tract infection from an initial cohort of 2028 patients. Patients at nutritional risk (Nutritional Risk Screening [NRS] score ≥3 points) were randomized to receive protocol-guided individualized nutritional support to reach caloric and protein goals (intervention group) or standard hospital food (control group). The primary endpoint of this analysis was all-cause 30-day mortality.

Results: We included 378 of 2028 EFFORT patients (mean age 74.4 years, 24% with COPD) into this analysis. Compared to usual care hospital nutrition, individualized nutritional support to reach caloric and protein goals showed a similar beneficial effect of on the risk of mortality in the subgroup of respiratory tract infection patients as compared to the main EFFORT trial (odds ratio 0.47 [95%CI 0.17 to 1.27, p = 0.136] vs 0.65 [95%CI 0.47 to 0.91, p = 0.011]) with no evidence of a subgroup effect (p for interaction 0.859). Effects were also similar among different subgroups based on etiology and type of respiratory tract infection and for other secondary endpoints.

Conclusion: This subgroup analysis from a large nutrition support trial suggests that patients at nutritional risk as assessed by NRS 2002 presenting with bronchopulmonary infection to the hospital likely have a mortality benefit from individualized in-hospital nutritional support. The small sample size and
1. Introduction

Respiratory tract infection poses an important risk to patients, particularly the polymorbid population, at highest risk for mortality and adverse outcome. The risk may further increase, if patients are malnourished upon hospital admission or if patients become malnourished during the hospital stay [1]. In the frail polymorbid patient population, malnutrition often develops slowly due to different chronic illnesses causing loss of appetite and cachexia due to disease-related anorexia and inflammation, loss of muscle mass and sarcopenia [2–5]. Malnutrition can be found in up to 40% of hospitalized patients with community-acquired pneumonia and is associated with a 2.5-fold increase in mortality [6]. Similar data have also been reported for patients hospitalized for other types of respiratory infection including COPD exacerbation and COVID-19 infection with a high prevalence of malnutrition and worse outcomes in the malnourished population [7,8]. Specifically, observational research found strong associations of poor nutritional status with prolonged length of hospital stay and higher disease severity [9].

To reduce the burden of malnutrition-associated adverse outcomes, several international nutritional societies and expert committees have published recommendations for the prevention, diagnosis and treatment of malnutrition in medical patients in general, and for patients with lower respiratory tract infections due to COVID-19 in particular [8,10–13]. However, recommendations regarding nutritional support in patients with lower respiratory tract infections are largely based on physiological rationales and observational studies, and extrapolated from interventional research looking at general medical inpatients [14,15]. However, interventional trials specifically focusing on patients with lower respiratory tract infections are currently largely lacking.

Herein, we performed a preplanned secondary analysis of the Effect of early nutritional support on Frailty, Functional Outcomes and Recovery of malnourished medical inpatients Trial (EFFORT) looking specifically at the population of patients with lower respiratory tract infections [16]. We tested the hypothesis that protocol-guided individualized nutritional support to reach protein and energy goals reduces the risk of mortality and other adverse clinical outcomes in the subgroup of hospitalized inpatients at nutritional risk with confirmed infection of the lung.

2. Materials and methods

2.1. Study design and patient population

This is a secondary analysis of the EFFORT trial, a pragmatic, investigator-initiated, open-label, non-blinded, multicenter, randomized, controlled trial. The trial protocol and the main results were previously published elsewhere [16–18]. The ethics committee of Northwestern Switzerland (EKNZ; 2014_001) approved the trial and it was registered at ClinicalTrials.gov in August 2015 (https://clinicaltrials.gov/ct2/show/NCT02517476).

A total of eight secondary and tertiary care hospitals in Switzerland participated, including the University Clinic in Aarau, the University Hospital in Bern, the Cantonal hospitals in Lucerne, Solothurn, St. Gallen, Muensterlingen and Baselland, and the hospital in Lachen.

2.2. Patient population and randomization

For the trial, we screened medical patients upon hospital admission for risk for malnutrition based on the Nutritional Risk Screening 2002 (NRS 2002) [19]. This nutritional risk score includes different items about the current nutritional status and about severity of the underlying disease [20]. Principal inclusion criteria for the trial were nutritional risk based on a NRS 2002 > 3 points, an expected length of stay of >4 days and written informed consent. We excluded patients initially treated in intensive care or a surgical unit, with inability for oral ingestion of food, with ongoing nutritional support on admission, terminally ill patients, patients with a past medical history of gastric bypass, anorexia nervosa, cystic fibrosis and stem cell transplantation, hospitalized for acute pancreatitis or liver failure, or patients with any contraindications for nutritional support. We randomized patients based on an interactive web-system in a 1:1 ratio to either the intervention group, receiving individual nutritional support to meet their nutritional requirements or the control group receiving standard hospital food.

For this secondary analysis, we only included patients with confirmed lower respiratory tract infections including community-acquired pneumonia, viral pneumonia, exacerbation of chronic obstructive pulmonary disease (COPD) and bronchitis. We collected data on microbiology of patients including blood cultures, PCT tests and urine antigen tests. We used the GOLD classification for staging severity of COPD (Global Initiative for Chronic Obstructive Lung Disease) [21].

2.3. Study intervention

Details of the nutritional intervention, which was in line with current ESPEN guidelines for polymorbid patients [22], have been published previously [16,17,22]. In brief, patients, randomized to the intervention group, received individual nutritional support supervised by a registered dietitian. To predict energy goals, the weight-adjusted Harris–Benedict equation was used. A daily protein intake of 1.2–1.5 g/kg body weight was recommended for the general population with lower targets for those with an acute renal failure (0.8 g per kg of body weight). Throughout the hospital stay, the achievement of the individual nutritional plan was reassessed every 24–48 h. If at least 75% of the energy and protein goals could not be reached within 5 days by oral feeding, an escalation of the nutritional support to enteral or even parenteral feeding was made. When discharged, dietary counselling was offered in combination with a prescription for an oral nutritional supplements as needed. Patients in the control group received standard hospital food according to their ability and desire to eat, with no nutritional consultation and no recommendation for additional nutritional support.

2.4. Outcomes

The primary endpoint of this analysis is all-cause mortality up to day 30 after inclusion in the trial. To verify outcome information, trained study nurses performed structured telephone interviews with all patients 30 days after inclusion. If the patient was unable to
provide information, a family member or the family doctor confirmed their survival status.

Secondary endpoints included major adverse events, major complications, non-elective hospital readmission within the first 30 days as well as mean length of hospital stay. In line with the initial EFFORT trial [16,17], major adverse events included all-cause mortality, admission to the intensive care unit from the medical ward, non-elective hospital readmission after discharge, and major complications including adjudicated nosocomial infection, respiratory failure, a major cardiovascular event (i.e., stroke, intracranial bleeding, cardiac arrest, myocardial infarction) or pulmonary embolism, acute renal failure, gastro-intestinal events (including hemorrhage, intestinal perforation, acute pancreatitis) or a decline in functional status of 10% or more from admission to day 30 measured by the Barthel’s index (scores range from 0 to 100, with higher scores indicating better functional status) [24]. Detailed definitions for each component of the primary endpoint of the main trial were summarized in the original report [16,17].

Protocol adherence was defined by reaching of at least 75% of protein and calorie goals within 5 days of the hospital stay.

2.5. Statistical analyses

We tested the hypothesis that individualized nutritional support was superior to standard hospital food in the subgroup of patients with lower respiratory tract infection. We performed all analyses in the intention-to-treat population, which included all patients with lower respiratory tract infection who had undergone randomization unless they withdrew consent. For the primary outcome, we compared frequencies using a chi-square test. We also fitted a logistic regression model adjusted for main prognostic factors (Barthel’s index and NRS 2002 at baseline) and study center as predefined in the study protocol. We reported adjusted odds ratios (OR) and corresponding 95% confidence intervals (CI’s). We used a similar statistical approach for secondary endpoints, with use of Student’s T test and linear regression models for continuous outcomes. We also used the Kaplan–Meier method to calculate the probability of all-cause mortality within 30 days of randomization. Because the sample size of this subgroup was small and not powered for our primary endpoint, we compared effects to the findings from the main trial by calculation of interaction analyses (test for effect modification). We also performed subgroup analyses based on type of infection and severity of COPD.

We performed all statistical analyses with STATA 15.1 (Stata Corp, College Station, TX, USA). Statistical significance was considered for a P value < 0.05 (for a 2-sided test).

3. Results

3.1. Patient characteristics and protocol adherence

We analyzed data of 378 patients with confirmed lower respiratory tract infection from an initial cohort of 2028 patients (19%). Figure 1 shows the patient flow in the overall trial. Patients had a mean age of 74.4 years and high burden of comorbidities including COPD in 24.1%. Detailed baseline characteristics are presented in Table 1 and main outcomes in Table 2. Baseline characteristics were well balanced between intervention compared to control group patients. Overall, in 22.8% of patients, the pathogen causing the infection of the lung was isolated (17.5% bacteria [Streptococcus pneumonia] in 26%, in 4.2% a respiratory virus and in 1.1% a fungus).

Protocol adherence was high and energy and protein goals were met in 79% and 76% in the intervention group respectively. Energy and protein intake in the intervention group was significantly higher compared to control group patients (mean difference in daily energy intake of 286 kcal (95% CI 226 to 541) and in mean daily protein intake of 13 g (95%CI 6 to 20). The same was true in regard to weight adjusted individual targets with significantly higher intakes of calories (adjusted mean difference of 4.1 kcal/kg/day, [95%CI 3.3 to 4.9] and protein (adjusted mean difference of 0.14 g/kg/day [95%CI 0.11 to 0.17]) in intervention group compared to control group patients. Table 3 gives detailed results regarding nutritional outcomes within the trial.
3.2. Clinical outcomes

Lower respiratory tract infection patients receiving nutritional support had a 25%-reduction in the risk of 30-day mortality (22/180 [12.2%] vs 18/198 [9.1%]), which was per se not significant in this subgroup (odds ratio 0.47 [95%CI 0.17 to 1.27]) but similar to the significant mortality benefits observed in the overall EFFORT cohort (OR 0.65, 95% CI 0.47 to 0.91). There was no evidence for a subgroup effect (p for interaction = 0.859). This result was also robust among the predefined subgroup analyses stratified by evidence of bacterial infection vs no bacterial infection, *Streptococcus pneumoniae* infection vs. other types of infection, underlying COPD vs no COPD and underlying severe COPD (GOLD stages 3–4) vs less severe or no COPD (Fig. 2), with again no significant results in the interaction analysis.

There were also similar improvements as compared to the main trial regarding secondary outcomes including major adverse outcome (48/198 [26.7%] vs 42/180 [21.2%], OR 0.64 [95%CI 0.28 to 1.44]; p for interaction = 0.993) and functional outcome expressed by a decline of the Barthel index > 10% at 30 days (26 (14.4%) vs 21 (10.06%), OR 4.49 [95%CI 0.2 to 1.23]; p for interaction = 0.629).

Table 2 (for binary outcomes), Table 3 (for linear outcomes) and Fig. 2 show results for the different endpoints in patients with lower respiratory tract infections as compared to the overall EFFORT trial and also present results from interaction analysis investigating whether patients with lower respiratory tract infections show a different response to nutritional treatment compared to the overall EFFORT population.

4. Discussion

Within this secondary analysis of a large randomized clinical trial, we investigated effects of individualized nutritional support on mortality and other important clinical outcomes in the subgroup
of patients with lower respiratory tract infection. We found a 25%-reduction in 30-day mortality and also strong reductions in the risk of adverse outcome associated with nutritional support in patients with lower respiratory tract infections at nutritional risk, which was similar to the general medical inpatient population of the main trial (EFFORT).

Overall, the evidence that nutritional support reduces mortality, complications and length of hospital stay in patients with bacterial or viral infections remains weak due to lack of larger scale trials specifically focusing on this population of patients. Also, in our report, we only had a relatively small group of patients with respiratory tract infections importantly limiting the power of the report, we only had a relatively small group of patients with respiratory tract infections. Moreover, our data provide novel and timely information. A composite endpoint consisting of all-cause mortality at 30 days, major complications within 30 days, admission to the intensive care unit from the medical ward and non-elective readmissions after discharge. Such a trial should focus on this population of patients. Also, in our report, we only had a relatively small group of patients with respiratory tract infections importantly limiting the power of the report, we only had a relatively small group of patients with respiratory tract infections. Moreover, our data provide novel and timely information.

Despite the lack of evidence regarding nutritional support in non-critically ill patients with infections, there have been several reports in the general medical patient population showing that nutritional support in at risk patients has positive effects on outcomes [3,25–27]. Effects were stronger if subjects were malnourished at admission to the hospital compared to patients classified as at risk for malnutrition [28]. Importantly, while most studies on the effect of early nutritional support by oral, enteral or parenteral route on clinical outcome were performed either in the intensive care setting, surgical collectives or patients presenting with acute pancreatitis [29], there are several trials for patients on the medical ward showing a reduced risk of infectious and non-infectious complications in treated patients [2,30–33]. To our knowledge, however, there is no study specifically looking at patients with lower respiratory tract infection [30–32,34–37]. Herein, we believe our data provide novel and timely information.

Since the publication of the EPaNIC trial, concerns have been raised about potential harmful effects of early and high caloric nutritional support among intensive care patients with sepsis [38,39]. Casaer et al. found worse clinical outcomes with increased...
infectious complications and prolonged length of hospital and intensive care stay in critically-ill patients treated with parenteral nutrition in addition to partial enteral nutrition [38]. Yet, the subsequent EDEN trial comparing a full enteral feeding strategy with a strategy of initial trophic enteral feeding for up to 6 days in critically-ill patients with an acute lung injury did not observe worse clinical outcomes [40]. Also, results did not differ in the one-year follow-up of the EDEN cohort [41]. The EPaNIC trial, however, included a different patient population in regard to older age, burden of comorbidities and prevalence of malnutrition [42]. Unlike in critical care, there is no data suggesting potential harmful effects of overfeeding on normal medical wards although high inflammation has been shown to be an effect modifier on the association of nutritional support and outcome [43]. Still, our data showing improved outcomes of an individualized nutritional approach for this highly vulnerable population of patients with respiratory infection is reassuring. Importantly, our approach was based mainly on optimizing oral intake using hospital kitchen food with the addition of oral nutritional supplements. Additional EN or PN was used only in a low number of patients if after 5 days nutritional goals could not be reached. We thus had little risk of overfeeding of patients [44].

In our trial, we did not use full-replacement feeding in intervention group patients, but rather aimed to reach at least 75% of protein (and calorie) goals over 5 days (1.2 – 1.5 g protein/kg/day) by use of an individualized nutritional support algorithm according to a previously published feeding protocol with individual definition of each patient’s nutritional goals [23]. As a result the mean protein intake during the hospital stay in intervention group patients was (only) 0.84 g protein/kg/day, which was much higher compared to control group patients not receiving nutritional support (mean of 0.68 g protein/kg/day), but still not in the optimal range as outlined in the nutritional protocol. As patients typically showed a stepwise increase in nutritional intake over the course of the hospital stay, a majority of intervention group patients eventually reached their individual protein (and calorie) goals by day 5 by means of oral nutrition with no need to escalate to enteral or parenteral routes. Importantly, it is possible that higher intakes of proteins (and calories) during the initial hospital stay would have further beneficial effects on patient outcomes, but this would require a separate trial with higher specific protein and calorie goals. It is possible that for such a trial the use of enteral and/or parenteral routes may be necessary in higher proportions of patients to reach higher goals.

Some researchers have suggested that specific formulae, generally referred to as immuno-nutrition including high amounts of arginine, glutamine, branched chain amino acids, n-3 fatty acids, and nucleotides, may provide further benefit for infection patients also including COVID-19 patients. Yet, such treatments were not studied in the EFFORT trial, nor to our knowledge, in any other trial [45-47]. Still, some data suggest that a diet enriched with eicosa-pentaenoic acid (EPA) and g-linolenic acid (GLA) may favorably reduce the pulmonary inflammatory response and support vaso-dilation and oxygenation in septic patients [48]. These benefits observed in pre-clinical studies, however, did not result in a clinical benefit according to a recent Cochrane review focusing on critically-ill patients with acute respiratory distress syndrome (ARDS) [49]. There is at least one prospective randomized controlled trial planned looking specifically at the role of immune-nutrition for the COVID-19 patient population (https://clinicaltrials.gov/ct2/show/NCT04249050).

5. Conclusion

This subgroup analysis from a large nutrition support trial suggests that patients presenting to the hospital with bronchopulmonary infection and nutritional risk as assessed by NRS 2002 may show a mortality benefit from individualized inhospital nutritional support. The small sample size and limited statistical
power calls for larger nutritional studies focusing on this highly vulnerable patient population.

**Author contribution**

Prof. Philipp Schuetz was the principal investigator of this trial and was responsible for obtaining funding, drafting the trial protocol, data analysis and interpretation, and writing of the final report.

Annic Baumgartner, Flavia Hasenboehler, Jennifer Cantone, Lara Hersberger, Annika Bargetz, Laura Bargetz, Nina Kaegi-Braun and Pascal Tribiote were involved in drafting the protocol, data collection and approved the final version of the manuscript.

Filomena Gomes, Claus Hoess, Vojtech Pavlicek, Stefan Bilz, Sarah Sigrist, Michael Brünelle, Christophe Henzen, Robert Thomann, Jonas Rutishauser, Drahomir Aujesky, Nicolas Rodondi and Jacques Donzé were involved in drafting the initial trial protocol, supervision of study sites, drafting of the final manuscript and approved the final version of the manuscript.

Zeno Stanga and Beat Mueller were involved in obtaining funding, drafting the trial protocol, supervision of study sites, drafting of the final manuscript and approved the final version of the manuscript.

Conflict of interest

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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.clnu.2020.10.009.

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