AN INVESTIGATION OF THE EFFECTIVENESS OF PHASE FOR AUDIO CLASSIFICATION

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ABSTRACT

While log-amplitude mel-spectrogram has widely been used as the feature representation for processing speech based on deep learning, the effectiveness of another aspect of speech spectrum, i.e., phase information, was shown recently for tasks such as speech enhancement and source separation. In this study, we extensively investigated the effectiveness of including phase information of signals for eight audio classification tasks. We constructed a learnable front-end that can compute the phase and its derivatives based on a time-frequency representation with mel-like frequency axis. As a result, experimental results showed significant performance improvement for musical pitch detection, musical instrument detection, language identification, speaker identification, and birdsong detection. On the other hand, overfitting to the recording condition was observed for some tasks when the instantaneous frequency was used. The results implied that the relationship between the phase values of adjacent elements is more important than the phase itself in audio classification.

Index Terms— Time-frequency representation, group delay, instantaneous frequency, learnable filterbank, deep learning

1. INTRODUCTION

Deep learning, which exploded from image processing, has now spread to audio processing. However, in contrast to image processing, where the raw pixel data is commonly used, different input representations are still used for different tasks in audio processing. Log-amplitude mel spectrograms are widely used as one of the input features in many tasks such as speech recognition, speech synthesis, and audio classification \cite{1, 2, 3}. On the other hand, for extracting clean waveforms from a mixed waveform, such as speech enhancement and source separation, complex spectrograms (the outputs of STFT) and raw waveforms are currently the mainstream \cite{4, 5}.

Phase information is present in the waveforms and complex spectrograms but missing in the log-amplitude mel spectrograms. Phase is more challenging to handle and interpret than the amplitude, and it has been mentioned that the phase of the complex spectrum is not important in speech enhancement \cite{6}. However, as mentioned above, in speech enhancement, complex spectrograms are increasingly being used as a more effective representation than amplitude spectrograms \cite{7, 8, 9}. The instantaneous frequency, which is the time derivative of the phase of the complex spectrogram, was used for F0 estimation \cite{10}. The group delay, which is the frequency derivative of the phase, was used for formant analysis \cite{11}.

Previous studies examined handcrafted constant-Q transform features and raw STFT phase for their specific tasks \cite{12, 13}. Our study aimed to investigate the effectiveness of the phase obtained from the time-frequency representation of a learnable filterbank for various classification tasks. Specifically, we compared the phase, group delay, and instantaneous frequency as phase information. We evaluated the performance for eight tasks, including voice, musical, and environmental sounds. Our code is publicly available \cite{14}.

2. METHODS

When calculating a log-amplitude mel spectrogram, a logarithmic conversion of the frequency axis is performed after discarding the phase of the complex spectrogram \cite{15}. Therefore, it is not obvious how the phase is logarithmically converted. While log-amplitude mel spectrograms are still used, there are also attempts to learn a more optimal time-frequency representation using DNNs. It has been shown that the performance of LEarnable Audio Frontend (LEAF) proposed in one of those studies is comparable to or even better than the log-amplitude mel spectrogram \cite{16}. In addition, LEAF can directly compute the complex time-frequency representation with a logarithmic frequency axis. Therefore, we investigated a LEAF variant that can add phase information, which we call LEAF-extended, and used it instead of the log-amplitude mel spectrogram. Note that the frequency axis of the LEAF-extended is not completely logarithmic after learning, as described below.

2.1. Original LEAF: calculation path of amplitude information

Fig \ref{fig:leaf} shows LEAF-extended. In this section, we first describe only the components included in the original LEAF. The original LEAF has no phase computation path and only computes the compressed power. The original LEAF consisted of a cascade of the following components: a Gabor filterbank responsible for computing the time-frequency representation from raw waveforms and also for converting the frequency axis; a calculator of squared amplitude; a Gaussian lowpass filterbank responsible for the downsampling along the time axis; an sPCEN compressor, a modified version of Per-Channel Energy Normalization (PCEN) \cite{17}, responsible for the nonlinear compression of the amplitude. Except for the calculator of squared amplitude, each component has some learnable parameters for each frequency bin. Henceforth, let $M \in \mathbb{N}$ denote the total number of frequency bins of the LEAF, and $W \in \mathbb{N}$ denote the window width of the LEAF.

The Gabor filterbank $\varphi(n)_{m=1, \ldots, M}$ has two kinds of learnable parameters: the center frequencies ($\eta_m$)$_{m=1, \ldots, M}$ and the inverse window widths ($\sigma_m$)$_{m=1, \ldots, M}$. This filterbank is defined by

$$\varphi(n) = \varphi^\prime(n) / \| \varphi^\prime(n) \|_1, \quad \varphi(n) = e^{-\frac{2}{\sigma_m} \sum_{i=1}^{W/2} n \eta_m}, \quad m = 1, \ldots, M, \quad n = -W/2, \ldots, W/2,$$

where $i = \sqrt{-1}$ is the imaginary unit, and $n, m \in \mathbb{N}$ are the time and frequency indices, respectively.\textsuperscript{1} The learnable parameters are initialized so that the frequency response has a similar shape as the mel filterbank. The filterbank is regarded as a kernel where each filter is a channel, and 1-D convolution of the filterbank and the raw signal yields the time-frequency representation. The stride

\textsuperscript{1}In the original paper \cite{16}, the $l_1$ normalization of each filter is done with $\varphi(n) = \varphi^\prime(n) / \sqrt{2\pi \sigma_m}$. However, this normalization is not exact because the width of the Gabor filter is finite, $W + 1$. © 2022 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.

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of the convolution is one. If \((\eta_m)_{m=1,\ldots,M}\) are linearly equally spaced and \((\sigma_m)_{m=1,\ldots,M}\) are constant, the convolution corresponds to STFT using a Gaussian window. Note that if the center frequencies \((\eta_m)_{m=1,\ldots,M}\) become not monotonically increasing due to learning, they are forced to reorder \((\eta_m)_{m=1,\ldots,M}\) so that they become monotonically increasing.

The Gaussian lowpass filterbank \((\phi_m(n))_{m=1,\ldots,M}\) has inverse window width parameters \((\sigma_m)_{m=1,\ldots,M}\) as learnable parameters. This filterbank is defined by

\[
\phi_m(n) = \phi_m(n)/\|\phi_m\|_1, \quad \phi_m(n) = e^{-2\pi(n^2\sigma_m^2)/m(W-1)}, \quad m = 1, \ldots, M, \quad n = -W/2, \ldots, W/2.
\] (2)

Depthwise 1D convolution, whose stride is greater than one, of the convolution is one. If changing the temporal unwrapping and difference in the instantaneous frequency path to frequency unwrapping and difference, respectively. The other definition is expressed by

\[
\text{Instantaneous frequency}
\]

In the first definition, the term of the complex sinusoid moves with the window. On the other hand, in the second definition, the term is fixed to the origin. The difference between these two definitions yields the following relationship between their phase values:

\[
\angle \text{STFT}_1(f, t) = \angle \text{STFT}_2(f, t) + 2\pi ft.
\] (6)

This yields the following relationship between the instantaneous frequencies, which are the time derivatives of the phases, and the group delays, which are the negative frequency derivatives of the phases:

\[
(\partial/\partial t)\angle \text{STFT}_1(f, t) = (\partial/\partial t)\angle \text{STFT}_2(f, t) + 2\pi f,
\]
(7)

\[
-\partial/\partial f\angle \text{STFT}_1(f, t) = -\partial/\partial f\angle \text{STFT}_2(f, t) - 2\pi t.
\] (8)

This shows LEAF-extended. Note that the terms phase, instantaneous frequency, and group delay are abused in this section without paying attention to their signs. Even if the sign is reversed from the original definition of STFT, it is only necessary to reverse the sign of the weights of the first linear layer that receives the LEAF-extended output. Therefore, signs do not affect the training of the neural network.

First, let us describe the calculation path for the phasor of the phase. In this path, the principal value of the argument \(\theta_1 \in (-\pi, \pi]\) is firstly calculated from the complex time-frequency representation, which is the output of the Gabor filterbank. This argument \(\theta_1\) corresponds to the phase in the sense of \(\angle \text{STFT}_1\). Optionnally, this argument \(\theta_1\) is converted to another argument \(\theta_2 \in (-\pi, \pi]\) in the sense of \(\angle \text{STFT}_2\) by rotating \(\theta_1\) as follows:

\[
\theta_2(m, n) = \text{p}(\theta_1(m, n) + \eta_m n),
\] (9)

where \((\eta_m)_{m=1,\ldots,M}\) are the center frequencies of the Gabor filterbank, \(\text{p}(\theta) \equiv \theta_{\text{principal}}\) maps an angular \(\theta \in \mathbb{R}\) to its principal value \(\theta_{\text{principal}} \in (-\pi, \pi]\). Then, the phase is unwrapped in the time direction and downsampled by a Gaussian lowpass filterbank for the phase.

Finally, the downsampling phase is converted to a phasor.

\[\text{In the original paper [18], the } l_1 \text{ normalization of each filter of the Gaussian lowpass filterbank is performed like the Gabor filterbank.}\]
In this study, we investigated whether the addition of phase features contributes to performance improvement for eight audio classification tasks: musical pitch and instrument detection on NSynth [19] (112 pitches, 11 instruments, 289,205 training samples, 16,774 evaluation samples), language identification on VoxForge [20] (6 classes, 148,564 training samples, 27,764 evaluation samples), speaker identification on VoxCeleb [21] (1,251 classes, 128,086 training samples, 25,430 evaluation samples), birdsong detection on DCASE2018 [22] (2 classes, 35,690 training samples, 12,620 evaluation samples), acoustic scene classification on TUT [23] (10 classes, 6,122 training samples, 2,518 evaluation samples), keyword spotting on SpeechCommands [24] (35 classes, 84,843 training samples, 20,986 evaluation samples), emotion recognition on CREMA-D [25] (6 classes, 5,146 training samples, 2,296 evaluation samples). These datasets are the same as those used in the original LEAF paper [16]. The sampling frequency was set to 16 kHz. We ensured that the recording conditions were not shared between the training and evaluation sets for datasets with various recording conditions. See our implementation for details on splitting the datasets [14].

As the DNN structure for these audio classification tasks, we used a cascade of LEAF-extended, 2-D Batch Normalization [26], and EfficientNetB0 [27], a CNN with about 4 million parameters. The power and phase features of LEAF-extended were stacked in the channel direction like a 2-D image. For PHS1 and PHS2, after applying complex Batch Normalization [28], the real and imaginary parts were decomposed and regarded as a real image consisting of two channels. The window width \( W \) and the number of frequency bins \( M \) of an LEAF-extended were set to 40 and 401. The \( \sigma_m \) of a Gaussian lowpass filterbank was initialized to 0.4, and its stride was set to 100. The \( \alpha_m, \delta_m, r_m, s_m \) of an sPCEN compressor were initialized to 0.96, 2.0, 2.0, 0.04 respectively, and the \( \epsilon \) to prevent zero division was set to \( 5 \times 10^{-8} \).

During the training, each sample was randomly cut into a one-second interval and used as an input. During the evaluation, each sample was cut into one-second intervals without overlap, and the average of all logits from the DNN was used for the final prediction output. The batch size was set to 256. Learning was terminated when the validation loss did not improve after 50,000 consecutive steps for birdsong detection, language identification, and musical instrument detection and after 20,000 consecutive steps for the other tasks. We trained the networks by using Adam [29] as an optimizer. To suppress overfitting, the following methods were used: SpechAugment [30] (W: 0, F: 5, T: 11, times of temporal and frequency masking: 2 times each) for the input to EfficientNetB0, \( l_2 \) regularization (weight: 0.00001) for the convolutional layer of the EfficientNetB0, Dropout [31] (rate: 0.2) for the last fully-connected layer of the EfficientNetB0, and label smoothing [32] (rate: 0.1) for the true labels.

3. EXPERIMENTAL RESULTS

3.1. Experiments

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3.2. Results and discussion

Table 1 shows the experimental results. For each task and each feature, DNN was independently trained. Before dealing with the individual tasks, we will first discuss some of the overall tendencies. For a given task, if the phase phasor significantly improved performance, then the derivatives of the phase always significantly improved performance as well. This fact suggests that in audio classification, the relationship between adjacent elements of the phase is more important than the phase value itself. The elementwise multi-
Table 1. Test accuracy (%) for audio classification. The 95% confidence intervals were calculated from the sample sizes of the datasets. Bold scores are significantly higher than the POW scores. Underlined scores are significantly lower than the POW scores.

| Feature | Music (pitch) | Music (inst.) | Language | Speaker | Birdsong | Scenes | Keyword | Emotion |
|---------|---------------|---------------|----------|---------|----------|--------|---------|---------|
| POW     | 91.5 ± 0.4    | 69.2 ± 0.7    | 74.7 ± 0.5 | 35.5 ± 0.6 | 76.4 ± 0.9 | 66.2 ± 1.8 | 94.1 ± 0.3 | 60.2 ± 2.0 |
| +PHS₁  | 92.9 ± 0.4    | 71.5 ± 0.7    | 79.3 ± 0.5 | 36.1 ± 0.6 | 75.1 ± 0.9 | 66.7 ± 1.8 | 94.4 ± 0.3 | 60.7 ± 2.0 |
| +PHS₁ ⊕ POW | 91.9 ± 0.4    | 69.6 ± 0.7    | 74.5 ± 0.5 | 35.6 ± 0.6 | 76.5 ± 0.9 | 68.8 ± 1.8 | 94.1 ± 0.3 | 62.9 ± 2.0 |
| +PHS₂  | 92.7 ± 0.4    | 68.0 ± 0.7    | 72.8 ± 0.5 | 37.4 ± 0.6 | 74.9 ± 1.0 | 65.3 ± 1.9 | 94.4 ± 0.3 | 60.8 ± 2.0 |
| +PHS₂ ⊕ POW | 91.9 ± 0.4    | 69.0 ± 0.7    | 74.0 ± 0.5 | 37.1 ± 0.6 | 74.8 ± 1.0 | 65.5 ± 1.9 | 94.2 ± 0.3 | 61.1 ± 2.0 |
| +IF₁   | 93.2 ± 0.4    | 69.9 ± 0.7    | 71.3 ± 0.5 | 34.6 ± 0.6 | 76.0 ± 0.9 | 69.4 ± 1.8 | 94.2 ± 0.3 | 57.3 ± 2.0 |
| +IF₁ ⊕ POW | 92.1 ± 0.4    | 69.5 ± 0.7    | 78.1 ± 0.5 | 35.0 ± 0.6 | 76.2 ± 0.9 | 65.9 ± 1.9 | 94.0 ± 0.3 | 61.0 ± 2.0 |
| +IF₂   | 93.2 ± 0.4    | 70.2 ± 0.7    | 49.2 ± 0.6 | 37.2 ± 0.6 | 73.7 ± 1.0 | 68.2 ± 1.8 | 94.5 ± 0.3 | 57.5 ± 2.0 |
| +IF₂ ⊕ POW | 93.0 ± 0.4    | 69.9 ± 0.7    | 68.3 ± 0.5 | 36.1 ± 0.6 | 73.5 ± 1.0 | 66.8 ± 1.8 | 94.4 ± 0.3 | 60.9 ± 2.0 |
| +GD₁   | 91.3 ± 0.4    | 72.6 ± 0.7    | 83.9 ± 0.4 | 35.4 ± 0.6 | 79.8 ± 0.9 | 68.2 ± 1.8 | 94.4 ± 0.3 | 58.7 ± 2.0 |
| +GD₁ ⊕ POW | 92.5 ± 0.4    | 67.7 ± 0.7    | 79.4 ± 0.5 | 37.6 ± 0.6 | 77.0 ± 0.9 | 67.2 ± 1.8 | 94.4 ± 0.3 | 60.5 ± 2.0 |
| +GD₂   | 92.8 ± 0.4    | 69.2 ± 0.7    | 70.5 ± 0.5 | 32.8 ± 0.6 | 77.4 ± 0.9 | 66.4 ± 1.8 | 92.8 ± 0.3 | 60.6 ± 2.0 |
| +GD₂ ⊕ POW | 92.3 ± 0.4    | 68.3 ± 0.7    | 76.1 ± 0.5 | 32.0 ± 0.6 | 77.5 ± 0.9 | 66.5 ± 1.8 | 93.6 ± 0.3 | 57.9 ± 2.0 |

Fig. 3. Learning curves

Table 1 shows the learning curves of GD₁, IF₂, and POW. For GD₁, both training loss and validation loss decreased faster than POW. On the other hand, IF₂ showed the fastest decrease in training loss among all the features but almost no decrease in validation loss. Voxforge consists of speech data from many speakers, and their recording conditions are various. In our experiments, we split the training and the validation set so that the speakers are different. Therefore, it is possible that IF₂ actively acquired information about the recording conditions rather than linguistic information.

For the speaker identification, PHS₁, IF₂, and GD₁ performed significantly. The group delay has been applied to formant estimation [11], and GD₁ is considered to have acquired information about individuality. The recording conditions (video IDs) were not overlapped between training and validation sets. Nevertheless, it is possible that PHS₁ and IF₂ gained some valuable information.

For the birdsong detection, IF₂ showed significant performance degradation. DCASE2018 dataset is a collection of three independent datasets, two of which were used as the training set and the rest as the validation set. For example, many audio samples in BirdVox, one of the datasets comprising the training set, contain power line hum. Therefore, as in the language identification, probably, this degradation was caused by IF₂ promoting overfitting of the recording conditions.

For the acoustic scene classification, keyword spotting, and emotion recognition, the phase features did not significantly affect in most cases. The phase features might not be necessary for these tasks. Alternatively, the sample sizes of the datasets might be too small to show significant differences.

Overall, PHS₁ as phase phasor, IF₂ as instantaneous frequency, and GD₁ as group delay tended to be efficient for further feature acquisition. While PHS₁ and GD₁ resulted in generally better performance, IF₂ resulted in both better and worse performance. Presumably, the performance degradation happened because IF₂ led to overfitting of the recording conditions of the training sets. There is a possibility that domain-adversarial training [34] could prevent the overfitting of recording conditions.

4. CONCLUSIONS

In this paper, we investigated the effectiveness of phase features of a time-frequency representation for audio classification. The results suggested that the phase and its derivatives are valuable in some classification tasks. Future work should address the impact of recording conditions and exploit gating and domain-adversarial mechanisms.

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