Using Image-guided Intensity-modulated Radiotherapy on Patients With Head and Neck Soft-tissue Sarcoma

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Abstract. Background: Image-guided intensity-modulated radiotherapy (IG-IMRT) is increasingly being used to treat patients with soft-tissue sarcoma (STS) of the head and neck. Although there is no comparison between IMRT and conventional radiation therapy (CRT) concerning their efficacy. In this analysis, we compared CRT and IMRT outcomes for head and neck STS. Patients and Methods: Sixty-seven patients who underwent radiotherapy between 1994 and 2017 were identified. Results: The median follow-up was 31 months. Of the 67 patients, 34% were treated with CRT technique and 66% with IG-IMRT. The locoregional relapse rate following IMRT was 21% versus 70% with CRT (p<0.001) and the 5-year locoregional control was 69% versus 28%, respectively (p=0.01). IG-IMRT was associated with non-significant, less acute, and chronic adverse events. In the multivariate analysis, a significant influence of radiation technique on locoregional control was confirmed (p=0.04). Conclusion: IG-IMRT seems to be associated both with higher locoregional control as well as lower acute and chronic toxicities.

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Key Words: Head and neck sarcoma, image-guided radiotherapy, IMRT, local control, prognosis, immunotherapy.
The purpose of this analysis was to examine the effects of different RT techniques for patients with head and neck STS on survival and locoregional control (LRC) rates. Furthermore, radiation toxicities were investigated in regards to radiotherapy techniques.

Patients and Methods

Patients. In this retrospective study, two closely cooperating German institutions (University Hospital Münster and Paracelsus Clinic Osnabrück) collected data regarding clinical features, treatment concepts, and outcomes of patients who were referred for external beam RT between 1994 and 2017. Inclusion criteria for our study were head and neck STS, completion of treatment course and a minimum follow-up time of three months. RT was delivered as part of a primary management strategy or after exhibiting locoregional relapse (LRR) following other treatment modalities. World Health Organization (WHO) pathological classification and grading systems from the French Federation of Cancer Centers Sarcoma Group (FNCLCC) were utilized at our institutions (12, 13). Treatment response, furthermore, was graded in accordance with the response evaluation criteria in solid tumours (14). Imaging data of 64 patients were reviewed for staging according to the recently updated TNM classification of malignant tumor (seventh edition) rubric (6). At the time of final analysis, over 31 patients had died, while 35 were alive, with one patient being lost to follow-up.

Radiation technique. Planning computed tomographic scans (CTs) were performed with intravenous contrast approximately 2 weeks before starting RT. Additional Positron-emission tomography (PET) (N=12) or magnetic resonance imaging (MRI) (N=33) scans were performed on 37 patients for delineation of planning tumor volume (PTV). The median standardized uptake value (SUV) of initial FDG-PET was 8 (range=6-24). Lymphatic irradiation was delivered to nine (14%) patients with involved nodes. Forty-four patients (66%) received image-guided IMRT, 18 (27%) patients received 2D/3D conformal RT, and five (7%) received electron beam. In this study, patients who received CRT were compared with those who received IMRT.

Primary and salvage therapy. Forty-seven (70%) patients underwent surgical resection of primary tumor. Fifty-seven (85%) patients underwent RT of primary tumor (37 postoperative and 20 definitive RT). Thirty-three patients (49%) also received CTX (three concurrently, 23 sequentially to RT, and seven received salvage regimens). In cases of possible relapse, individual salvage therapies were additionally undertaken. Salvage RT was delivered to 12 patients (18%) who developed recurrences following other modalities, and in 13 patients, RT as re-irradiation of local relapse in the head and neck region was utilized. The median interval between the two RT courses was 27 months (Table I).

Statistical analysis. All statistical analyses were conducted with SPSS version 25.0 software (IBM, Armonk, NY, USA). Differences were considered statistically significant at a p <0.05. Chi-squared or Fisher’s exact tests were performed to probe the relationships between two categorical variables. Overall survival (OS) was calculated from the first day of radiation until death, and the progression-free survival (PFS) was calculated from first day of radiation until relapse (locoregional or distant). LRC was calculated from first day of treatment until relapse (locoregional or distant). Progression-free survival (PFS) was calculated from first day of treatment until disease progression or death.

Table I. Primary and salvage therapies administered in this study cohort.

| Therapy                                      | N (%) |
|----------------------------------------------|-------|
| Primary                                      | 67 (100) |
| Surgery and radiotherapy                     | 25 (37) |
| Radiotherapy±chemotherapy                    | 20 (30) |
| Trimodality                                  | 12 (18) |
| Surgery±chemotherapy                         | 10 (15) |
| Salvage                                      | 39/67 (58%) |
| Radiotherapy±chemotherapy                    | 18 (46) |
| Surgery and radiotherapy                     | 7 (18)  |
| Trimodality                                  | 5 (13)  |
| Chemotherapy                                 | 4 (10)  |
| Surgery alone                                | 2 (5)   |
| Best supportive care                         | 3 (8)   |

Results

Patient and disease characteristics. There were 90 RT series among the 67 patients. Demographic and key clinical characteristics, including histology of sarcoma, disease stage, tumor location, RT treatment parameters, recurrence, and surgical characteristics, of the study cohort are summarized in Table II. The median tumor size was 5 cm (range=2-20 cm). Twenty-six patients (39%) had T1 and 41 (61%) had T2 disease, according to the older TNM classification systems (seventh edition) (15). Three patients (5%) had T1, six (9%) had T2, 28 (42%) had T3, and 27 (40%) had T4 disease, according to the updated TNM classification system (6). Cervical lymph node metastases were recorded in nine patients (14%). Distant metastases were recorded in 18 patients (27%), most commonly in bone (N=11) and lung (N=7). The overall median age of this cohort at the start of RT was 53 years (range=2-86 years).

The median initial radiation dose was 59.4 Gy, with 58.8 Gy (range=50-70 Gy) applied for primary RT versus 63 Gy (range=20-70 Gy) applied for postoperative therapy (p=0.007). Thirteen patients (19%) underwent a second RT course with a median RT dose of 50 Gy (range=16-66.6 Gy) and a cumulative dose of 106 Gy (range=70-120 Gy).

The most common CTX agents were doxorubicin (N=10) and ifosfamide (N=10). The most common histologies were angiosarcoma in nine (13%), rhabdomyosarcomas in 7 (10%),...
and spindle-cell sarcoma in seven (10%). Median survival according to different histological variants are listed in Table III. In our cohort, there were three (4%) RT-induced sarcomas with previous history of other head and neck malignancies.

**Table II. Patient and treatment characteristics.**

| Characteristic                  | Value | Radiation technique, n (%) |
|--------------------------------|-------|----------------------------|
|                                |       | Conventional | IMRT | p-Value |
| Patients                        | Total | 67           | 24 (34%) | 44 (66%) | 0.5   |
| Age, years                      | Median (range) | 53 (2-86) | 59 (6-86) | 50 (2-85) | 0.3   |
| Gender, n (%)                   | Male  | 43 (64%)     | 17 (74%) | 26 (59%) | 0.3   |
|                                | Female | 24 (36%)   | 6 (26%)  | 18 (41%) | 0.3   |
| Tumor size, n (%)               | ≤5 cm | 26 (39%)    | 7 (30%)  | 19 (43%) | 0.8   |
|                                | >5 cm | 41 (61%)    | 16 (70%) | 24 (57%) | 0.4   |
| Stage, n (%)                    | I     | 16 (24%)   | 8 (35%)  | 8 (18%)  | 0.4   |
|                                | II    | 17 (25%)   | 5 (22%)  | 12 (27%) | 0.4   |
|                                | III   | 14 (21%)   | 3 (13%)  | 11 (25%) | 0.4   |
|                                | IV    | 20 (30%)   | 7 (30%)  | 11 (30%) | 0.4   |
| Grade, n (%)                    | Low | 6 (9%)     | 2 (9%)   | 4 (9%)   | 0.9   |
|                                | High | 46 (69%)   | 16 (69%) | 30 (68%) | 0.9   |
|                                | Unknown | 15 (22%) | 5 (22%)  | 10 (23%) | 0.6   |
| Total resection, n (%)          | Yes | 19 (28%)   | 5 (22%)  | 14 (32%) | 0.6   |
|                                | No   | 48 (72%)   | 18 (78%) | 30 (68%) | 0.6   |
| Surgical margin                | Negative | 22 (33%) | 7 (31%)  | 15 (34%) | 0.6   |
|                                | Microscopically positive | 16 (24%) | 4 (17%)  | 12 (27%) | 0.6   |
|                                | Gross residual | 9 (13%)  | 3 (13%)  | 6 (14%)  | 0.6   |
|                                | Inoperable | 20 (30%) | 9 (39%)  | 11 (25%) | 0.6   |
| Primary tumor site             | Scalp/face | 9 (13%)  | 4 (17%)  | 5 (11%)  | 0.5   |
|                                | Sinonasal tract/anterior skull base | 14 (21%) | 6 (26%)  | 8 (18%)  | 0.5   |
|                                | Ear/lateral skull | 8 (12%)  | 2 (9%)   | 6 (14%)  | 0.5   |
|                                | Upper aerodigestive tract | 4 (6%)   | -        | 4 (9%)   | 0.5   |
|                                | Parotid/neck | 32 (48%) | 11 (48%) | 21 (48%) | 0.5   |
| RT dose, Gy                    | Median (range) | 59.4 (20-70) | 60 (20-70) | 59.4 (45-70) | 0.6   |
| Fraction dose, Gy              | Median (range) | 1.8 (1.8-5) | 1.8 (1.8-5) | 1.8 (1.8-2.15) | <0.01 |
| Boost received, n (%)           | Yes | 20 (30%)   | 2 (8%)   | 18 (41%) | 0.4   |
| Boost dose, Gy                  | Median (range) | 12.6 (3.6-19.8) | 14 (10-18) | 12.6 (3.6-19.8) | 0.4   |
| RT duration, days              | Median (range) | 33 (4-45) | 30 (4-37) | 35 (25-45) | <0.01 |
| PTV, cm³                       | Median (range) | 310 (15-2130) | 250 (42-2130) | 311 (14-1300) | 0.6   |
| Follow-up, months              | Median (IQR)  | 31 | 43 (IQR: 78) | 30 (IQR: 46) | 0.4   |
| Relapse pattern                | Locoregional | 25 (37%) | 16 (70%) | 9 (21%) | <0.01 |
|                                | Distant | 14 (21%) | 2 (9%) | 12 (27%) | 0.4   |
|                                | No     | 28 (42%)   | 5 (21%)  | 23 (52%) | 0.4   |

IMRT: Intensity-modulated radiotherapy; PTV: planning target volume; IQR: interquartile range.

**Table III. Median survival according to histological variant.**

| Histology                          | N (%) |
|------------------------------------|-------|
| Angiosarcoma                       | 9 (13) |
| Epithelioid rhabdomyosarcoma        | 7 (10) |
| Synovial sarcoma                   | 7 (10) |
| Undifferentiated sarcoma, NOS       | 7 (10) |
| Spindle cell sarcoma               | 6 (9)  |
| Fibrosarcoma                       | 6 (9)  |
| Hemangiopericytoma/solitary fibrous tumor | 6 (9)  |
| Malignant peripheral nerve sheath tumor | 5 (8)  |
| Alveolar rhabdomyosarcoma           | 4 (6)  |
| Pleomorphic sarcoma                | 4 (6)  |
| Liposarcoma                        | 3 (5)  |
| Leiomyosarcoma                     | 2 (3)  |
| Dermatofibrosarcoma protuberans     | 1 (2)  |

and spindle-cell sarcoma in seven (10%). Median survival according to different histological variants are listed in Table III. In our cohort, there were three (4%) RT-induced sarcomas with previous history of other head and neck malignancies.

Overall and progression-free survival rates. At end of this analysis, 31 out of 67 patients (46%) had died. The median follow-up time was 31 months. Considering the whole cohort, median OS and median PFS were 55 months [95% confidence interval (CI=12-98 months] and 30 months (95%CI=20-40 months), respectively. The 5-year OS and PFS rates were 44% and 33%, respectively.

Patients with low-grade (G1) sarcomas had longer median PFS (p=0.01), and trend towards longer OS (p=0.1) in comparison with those with high-grade (G2-3) sarcomas.
There were no significant differences between the CRT and IMRT groups in term of PFS \((p=0.4)\) and OS \((p=0.1)\). Patients who received RT as part of their primary treatment strategy (upfront RT) had a longer PFS in comparison with those given salvage RT \((31 \text{ vs. } 21 \text{ months}, \ p=0.02)\), and there was no significant influence on OS \((p=0.7)\). Considering the whole cohort, we did not observe any impact of RT doses on PFS \((p=0.8)\) or OS \((p=0.7)\), although subgroup analysis demonstrated a trend toward better survival outcomes in patients who received \(>63 \text{ Gy}\) using IMRT in comparison with those receiving lower dose \((p=0.2)\). Notably, median PFS was 57 months for patients treated with postoperative RT and 18 months for those treated with definitive RT, with 8 months for those treated with surgery alone \((p=0.02)\). Similarly, median OS following postoperative RT was significantly longer in comparison with definitive RT groups \((103 \text{ vs. } 21 \text{ months}, p<0.0001)\). Patients with complete remission after primary treatments had significantly longer PFS \((84 \text{ vs. } 19, p=0.03)\) and OS (not reached \text{ vs. } 15 \text{ months}, \ p<0.0001) in comparison with those without complete remission. Additionally, patients who received adjuvant CTX after primary therapy displayed a trend for longer PFS \((p=0.06)\), but with no impact on OS \((p=0.3)\). Patients who underwent total resection had a longer PFS \((57 \text{ vs. } 19 \text{ months}, p=0.2)\) and significantly longer OS (not reached \text{ vs. } 33 \text{ months}, \ p=0.01).

Regarding disease stage, patients with early disease (stage 1-2) had a longer PFS \((47 \text{ vs. } 18, p=0.1)\) and significantly longer OS \((96 \text{ vs. } 29 \text{ months}, p=0.01)\). Patients with an initial tumor size \(>5 \text{ cm}\) had a significantly worse OS in comparison to those with smaller tumors \((80 \text{ vs. } 30 \text{ months}, p=0.05)\). The site of the sarcoma did not affect the PFS \((p=0.2)\) or OS \((p=0.7)\).

In terms of diagnostic imaging, an increasing tumor size (continuous variable in cm) was associated with a worse PFS \((p=0.04)\) and OS \((p=0.036)\), respectively. According the new TNM classification, we did not detect a significant difference according to the various T-predictors. The initial SUV value had a significant impact on PFS \((p=0.04)\) and a non-significant impact on OS \((p=0.4)\).

There was no significant difference in patients treated outside the Sarcoma Center and patients treated at our Sarcoma Center regarding LRC [hazard ratio (HR)=2, \(p=0.2\)], PFS (HR=1.5, \(p=0.36\)), and OS (HR=2.2, \(p=0.06\)).

**Locoregional control.** Tumor recurrences were detected in 39 patients (58%), including 25 (37%) LRRs and 14 (21%) distant.
common acute AEs were erythema and mucositis. The radiation-related breaks or deaths occurred. The most experienced (85%) grade 1 AEs and 52% patients (58% vs. 92%, p=0.005) than those who did not. Local control in patients who had undergone a second RT course was a median of 11 months (range=1-91 months) after salvage RT. There was no significant association between resection margin status and relapse pattern (p=0.8). Use of CTX did not influence the relapse rate. Recurrence rates were similar between patients who received CTX, regardless of whether doxorubicin-based therapies were given (p=0.9).

In terms of RT technique, we found a significant association between the RT technique and the risk of relapse development. Twenty-one out of the 44 patients (48%) treated with IMRT experienced recurrence. In comparison, 18 out of 23 patients (79%) in the CRT group experienced recurrence, while the LRR was 21% and 70%, respectively (p<0.01), which translated to longer 5-year LRC (69±9% versus 28±11% respectively, p=0.01). The initial SUV value did not influence the LRC rate significantly (HR=0.8, p=0.5). We did not detect significant differences between the various T-predictors of the eighth edition of the TNM classification.

\textit{Cox proportional hazards model.} Age at the time of RT, gender, stage, histological grade, surgical intervention, resection margin, treatment period, prescribed RT dose, RT technique, PTV, and use of CTX were included in a Cox proportional hazards model (Table IV).

In the univariate analysis, gender, histological grade, and IMRT usage emerged as potential predictors of LRC, whereas disease stage and histological grade emerged as potential predictors of PFS, while age, disease stage, surgery, total negative resection margin, RT dose, treatment period, and PTV emerged as potential predictor of OS.

In the follow-up multivariate analysis, IMRT technique (p=0.04) on the one hand, remained significantly related for LRC improvement and the histological grade remained significantly related to PFS (p=0.02). On the other hand, duration of RT proved a significant determinate of OS (p<0.001).

\textit{Toxicities.} During the initial RT courses, almost all patients (85%) experienced grade 1 AEs s and 52% patients experienced grade 2 AEs. Grade 3 and 4 toxicities were observed in 12% and 3% of patients, respectively. No radiation-related breaks or deaths occurred. The most common acute AEs were erythema and mucositis. The incidence of grade 3 and 4 toxicities proved lower in patients treated with IMRT (12% vs. 18%, respectively; p=0.7). In terms of chronic AEs, 48% of patients experienced grade 1, 17% grade 2, and 9% grade 3 AEs. There were no incidences of grade 4 chronic AEs. Following IMRT, the incidence of grade 1 (45% vs. 55%, p=0.6) and grade 2 (14% vs. 23%, p=0.5) toxicities were lower compared to those following CRT. However, this advantage did not reach statistical significance. Grade 3 toxicity with IMRT was 12% versus 5% with CRT (p=0.6).

Regarding radiation dose, patients receiving a high dose (>63 Gy) significantly more frequently had grade 2 toxicities (84% vs. 39%, p=0.001) and non-significant more grade 3 AEs (21% vs. 9%, p=0.2). Chronic AEs also proved more frequent in the high-dose RT group: Grade 1: 63% versus 42%, p=0.17; 2: 26% versus 13%, p=0.3; and 3: 10% versus 9%, p=0.9.

\textbf{Discussion}

The aim of this study was to compare the impact of two different RT techniques on LRC, PFS, OS, and radiation-related toxicity. The following findings emerged from this work: i) Radiotherapy technique significantly influenced the 5-year LRC, with a noticeable benefit for those treated with IG-IMRT (69% vs. 28%, p=0.01), and in the multivariate analysis, this benefit remained a significant predictor for LRC (p=0.04). ii) Radiotherapy technique did not lead to any significant difference regarding PFS (p=0.4). However, a trend towards improved OS in favor of IMRT was detectable (p=0.1). iii) Regardless of RT technique, minimal grade 4 toxicities were noted, while IMRT was associated with non-significant lower incidence of acute and chronic AEs. iv) Upfront RT conferred an advantage in LRC and PFS over delayed RT for treatment of head and neck STS.

In accordance with previous studies, better outcomes were observed in patients treated with a combined modality (16,17). In this analysis, the LRR rate was lower in patients receiving IMRT compared to those receiving CRT (21% vs. 70% with CRT, p<0.01) with a higher 5-year LRC rate. In contrast to our findings, Vitzthum et al. (18) did not detect significant survival differences between results of IMRT and CRT techniques in 48 patients with head and neck STS. In addition, we noted that patients treated with IMRT developed fewer acute and chronic AEs, however, this advantage was not significant, and probably resulted from a small sample size.

In accordance with previous studies (19-21), lymph node involvement was 14%, and distant metastasis rate 27%. Prognostic factors for STS of the head and neck include tumor size over 5 cm, histological grade, and resection margin (2, 21). The 5-year LRC was found to range from 41 to 81% with a 5-year window between 50% and 80% (2, 19, 22). In a modern radiation series, including 26 patients with non-
metastatic head and neck STS, Andrä et al. reported 5-year LRC, PFS, and OS of 86%, 82%, and 82%, respectively (23).

Interestingly, complete remission after primary therapies improved PFS (p=0.03) and OS (p<0.0001). Patients who received RT as part of primary treatment strategy had a longer PFS in comparison with salvage RT (31 vs. 21 months, p=0.02). This finding may support the initiation of RT as part of multimodal approach at initial diagnosis.

In a recent review, Crompton et al. reported that surgery and RT are the most important factors for local disease control (8), although other therapeutic options may also have local influence. Gustafson et al. indicated that patients should be treated in a specialized Sarcoma Center, and further reported a 2.4-fold higher risk of LRR in patients who were not treated at such a facility (24). In our patients, there was no significant difference between the treatment outcome at the two institutions, although we did observe a trend for better OS in patients treated at our Sarcoma Center (HR=2.2, p=0.06), most likely due to the small number of patients not treated at our Sarcoma Center (N=7).

Higher radiation doses result in better local control but also in higher toxicity rates (23, 25). In accordance with other studies, we observed an increased incidence of AEs and improved local control at doses >63 Gy compared to lower doses (18, 23, 26-29). However, the local control did not differ between the two groups. In terms of survival, Kepka et al. reported improved OS and PFS with doses >63 Gy, with even an improvement of 3% per Gy in local control and OS (26). According to Aljabab et al., the recommended doses for low-grade soft tissue sarcomas are 60 Gy, for high-grade sarcomas 65 Gy and for a positive resection margin the dose may be increased by 5-10 Gy (1).

With the implementation of functional imaging, FDG-PET is highly accurate in detecting both primary and metastatic lesions of STS (2, 8, 30). In our cohort, PET was used in 12 patients and the initial SUV value seemed to predict the PFS (p=0.04), supporting the development of PET-adaptive treatment strategies. Salvage RT was a feasible option in the case of localized recurrences even after extensive pre-treatment. We were able to apply a second RT course in 13 patients without an increase in severe acute or chronic toxicity grades, except in one case of osteoradionecrosis and only after a cumulative dose of 106 Gy.

Nevertheless, our study has several limitations such as its retrospective nature, as well as the low patient number and very heterogenous population of cases and treatments, which is attributable to the low frequency of head and neck STS. Unfortunately, some patient data were lacking, while furthermore one patient was lost during follow-up. Despite our data demonstrating significantly longer local tumor control using IMRT for patients with head and neck STS, some questions remain unsettled which should be addressed by a multi-institutional prospective study. In the era of targeted therapies, further research in term of radiogenomic and personalized medicine is warranted to optimize treatment decisions (31, 32). Our understanding of STS is evolving as current investigations continue to improve our comprehension of such molecular mechanisms, especially those concerning this rare entity (8). Regarding targeted therapies, immunotherapy has been demonstrated to have efficacy in patients with metastatic synovial cell sarcoma and other advanced stages (5, 33). At present, there are ongoing clinical studies evaluating the toxicity and efficacy of checkpoint inhibitors (durvalumab and tremelimumab) combined with RT and surgery (phase I/II trial, ClinicalTrials.gov NCT03116529); moreover, such data will be enriched further through analyzing the safety and efficacy of neoadjuvant and adjuvant pembrolizumab in patients with high-risk extremity STS (phase II trial, ClinicalTrials.gov NCT03092323). Results of the ongoing trials and future recommendations are expected within a few years.

Conclusion

Upfront RT remains an integral component for head and neck STS management after first diagnosis. IG-IMRT might be associated with higher locoregional control and less acute and chronic toxicity.

Compliance with Ethical Standards

All procedures performed were in accordance with the ethical standards of the University Hospital Münster and National Research Committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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Informed Consent

Informed consent was obtained from all individual participants included in the study.

Conflicts of Interest

On behalf of all the Authors, the corresponding Author states that there are no conflicts of interest to report.

Authors’ Contributions

KE and DS were involved in formal analysis, and research methodology. All co-authors were involved in conceptualization of article, and article drafting and editing. HTE was the senior author who oversaw the project. All co-authors read and approved the final article.
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