Predictive molecular markers for the treatment with immune checkpoint inhibitors in colorectal cancer

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Abstract
Colorectal cancer is one of the most common malignant tumors and, hence, has become one of the most important public health issues in the world. Treatment with immune checkpoint inhibitors (ICIs) successfully improves the survival rate of patients with melanoma, non-small-cell lung cancer, and other malignancies, and its application in metastatic colorectal cancer is being actively explored. However, a few patients develop drug resistance. Predictive molecular markers are important tools to precisely screen patient groups that can benefit from treatment with ICIs. The current article focused on certain important predictive molecular markers for ICI treatment in colorectal cancer, including not only some of the mature molecular markers, such as deficient mismatch repair (d-MMR), microsatellite instability-high (MSI-H), tumor mutational burden (TMB), programmed death-ligand-1 (PD-L1), tumor immune microenvironment (TiME), and tumor-infiltrating lymphocytes (TILs), but also some of the novel molecular markers, such as DNA polymerase epsilon (POLE), polymerase delta 1 (POLD1), circulating tumor DNA (ctDNA), and consensus molecular subtypes (CMS). We have reviewed these markers in-depth and presented the results from certain important studies, which suggest their applicability in CRC and indicate their advantages and disadvantages. We hope this article is helpful for clinicians and researchers to systematically understand these markers and can guide the treatment of colorectal cancer.

KEYWORDS
colorectal cancer, immune checkpoint inhibitors, immunotherapy, molecular markers

1 | INTRODUCTION

Colorectal cancer (CRC) is one of the most common malignant tumors in the world. The mortality rate of CRC is increasing in India, China, and other countries, and CRC is one of the main causes of death in cancer patients. The 5-year survival rate of metastatic CRC (mCRC) is only 13%-15%. The treatments for CRC face certain challenges, such as no option of surgery, poor effects of radiotherapy, and chemotherapy, and only a small group of patients’ benefits from targeted therapy. Therefore, it is urgent to explore new treatments to improve the survival rate of mCRC. With in-depth research on tumor immunity and the increasing emphasis on immunotherapy, treatment with immune checkpoint inhibitors (ICIs) is being considered for mCRC. However, in clinical studies, only a small number of
unscreened CRC patients was treated with ICIs and these patients responded to the treatment, 4–6 suggesting that appropriate molecular markers need to be identified to evaluate treatment effects of ICIs. The current article summarized the available molecular markers to help clinicians make better decisions.

2 | MOLECULAR MARKERS FOR ICI TREATMENT IN CRC PATIENTS

2.1 Deficient mismatch repair (d-MMR) and microsatellite instability-high (MSI-H)

Microsatellites are highly polymorphic repetitive sequences in the eukaryotic genome comprising 1–6 bases. During DNA replication, irremediable deletion, insertion, and translocation may result in microsatellite instability-high (MSI-H). 3 Mismatch repair genes (MMR) are evolutionarily conserved housekeeping genes that can accurately identify base mismatches during DNA replication and transcription and repair them in time. 7 MMR is an important factor in determining the status of microsatellites. When patients have proficient MMR (p- MMR), the errors can be repaired in time and microsatellite stability (MSS) is maintained. However, when patients have deficient MMR (d- MMR), where the errors are not repaired in time, MSI-H is observed. 8,9 MMR includes four main genes, mutS homolog 6 (MSH6), mutS homolog 2 (MSH2), mutL homolog 1 (MLH1), and PMS1 homolog 2 (PMS2), and d- MMR occurs when at least one of these four genes has heterozygous germline mutations or there is hypermethylation of the MLH1 promoter. 10–13

Studies have suggested that in sporadic CRC, d- MMR/MSI-H was associated with the prognosis of the patients. 14–16 With the development of immunotherapy, the predictive values of d- MMR/MSI-H tumors in immunotherapy have been identified. The d- MMR/MSI-H tumors have high somatic mutations, resulting in high neoantigen loads. Compared to p- MMR/MSS, d- MMR/MSI-H tumors have nearly 20 times more mutations, 17–20 which are processed and presented by antigen-presenting cells (APCs), thereby enhancing the infiltration by various immune cells. 21–23 In addition, d- MMR/MSI-H tumor microenvironments are more likely to express inhibitory immune checkpoint ligands, such as programmed cell death-ligand-1 (PD-L1), and such patients showed a higher response rate to ICI treatment. 24 Therefore, d- MMR/MSI-H is a key factor in ICI treatment, which can be used as a predictive marker of response to ICIs and a prognostic indicator of the efficacy of ICIs in mCRC patients.

As an important molecular marker for ICI treatment, the occurrence rate of d- MMR/MSI-H tumors has attracted much attention. In 2016, the American Association for Cancer Research (AACR) reviewed the occurrence rate of MSI-H in different cancers (MSI-H was defined as the variation in at least 2 out of 5 or 30% of the tested microsatellite loci): The occurrence rate of MSI-H exceeded by 10% in patients with CRC, endometrial cancer, gastric cancer, and liver cancer. In ovarian cancer, cervical cancer, esophageal adenocarcinoma, soft tissue tumor, kidney cancer, Ewing’s sarcoma, and head and neck cancers, the occurrence rate of MSI-H was between 2% and 10%. In squamous cell skin cancer, basal cell skin cancer, prostate cancer, lung cancer, and breast cancer, it was <2%. 25 About 10%–20% of the CRC patients have MSI-H tumors of which about 3% are diagnosed with Lynch syndrome (LS) and about 5% are detected having mCRC. 11,26,27 LS and sporadic CRC are different in terms of their pathogenesis and treatment. The pathogenesis of LS involves heterozygous germline mutations in MMR. 11,28 However, the most common cause of MSI-H in sporadic CRC is the epigenetic silencing of the MLH1 promoter caused by the abnormal methylation of the CpG islands, which is related to the somatic cell B-Raf proto-oncogene pV600E (BRAF pV600E) mutation. 12,29 Therefore, testing for BRAF pV600E mutation is the best way to distinguish patients with LS from those with sporadic CRC.

Several studies have shown that compared to p- MMR/MSS mCRC, d- MMR/MSI-H mCRC has a higher response to ICIs. KEYNOTE 016 initially enrolled 10 d- MMR/MSI-H mCRC, 18 p- MMR/MSS mCRC, and 9 d- MMR/MSI-H non-CRC patients. The results of this study showed that the average number of somatic mutations and the mutation-associated neoantigens in d- MMR/MSI-H patients were 1782 and 578, respectively, while those in p- MMR patients were 73 and 21, respectively. The objective response rate (ORR) and the progression-free survival (PFS) rate in the d- MMR/MSI-H mCRC patients were 40% and 78%, respectively, while those in p- MMR/MSS mCRC patients were 0% and 11%, respectively. The response in d- MMR/MSI-H non-mCRC patients was similar to that in d- MMR/MSI-H mCRC patients. 29 The 124 d- MMR/MSI-H mCRC patients included in KEYNOTE 164 also showed a higher response rate when treated with Pembrolizumab. 30 KEYNOTE 177 further confirmed that using ICIs as first-line treatment for d- MMR/MSI-H mCRC patients significantly increased the PFS. 31 The results of NCT03026140 supported the treatment of d- MMR CRC patients with ICIs as neo-adjuvants. 32

In May 2017, based on the results of KEYNOTE 016, KEYNOTE 164, and other studies, the Food and Drug Administration (FDA)-approved Pembrolizumab for advanced and metastatic solid d- MMR/MSI-H tumors in adults and children. 33 This was the first time that the FDA classified the patients based on specific biomarkers without considering the source of the tumor, making it a landmark event in the field of immunotherapy. In August of the same year, the FDA-approved Nivolumab as the second-line treatment drug for d- MMR/MSI-H mCRC patients. 34 In June 2020, based on the latest results of KEYNOTE 177, the FDA-approved Pembrolizumab as the first-line treatment drug for D- MMR/MSI-H mCRC patients. 35 These pieces of evidence are sufficient to demonstrate the importance of d- MMR/MSI-H as a major predictive molecular marker for the treatment of CRC patients with ICIs. Therefore, experts recommend the detection of MMR function or microsatellites status in CRC to predict the prognosis of early-stage patients and guide the treatment of late-stage patients. 36,37

Since the MMR function and microsatellites status have high consistency, d- MMR is equivalent to MSI-H in clinical applications. Common MMR and microsatellites detection methods include
immunohistochemistry (IHC), polymerase chain reaction (PCR), next-generation sequencing (NGS), and radiomics, all of which have their advantages and disadvantages. In general, IHC is used to detect the MMR function and PCR to detect microsatellites status, and the results of the two tests showed over 90% similarity. However, there is evidence that using only one detection method may lead to misdiagnosis. For example, MMR function is usually evaluated using IHC. When one or more MMR proteins are missing, it is diagnosed as d-MMR. This method is simple and feasible, and can accurately detect specific proteins. However, the results of IHC may be affected by the quality of the samples, human errors, and other factors, and MSI-H cases caused by non-MMR mutations may be missed. The gold standard for microsatellites detection is based on the Bethesda Guidelines proposed by the National Cancer Institute of the United States, which adopted the PCR method and involves five types of microsatellites. MSI-H was defined as alterations in at least two of the five microsatellite loci or 30% or more loci when larger panels are tested. An alteration in one locus was defined as microsatellite instability-low (MSI-L), which behaves similarly to MSS. Although PCR is more precise and can identify MSI-H caused by any genetic mutations, certain limitations exist. For example, due to partially redundant functions of MSH6 and mutL homolog 3 (MSH3) proteins, patients with MSH6 mutations will not be classified as MSI-H, but PCR ignores this, and PCR results cannot directly confirm which MMR protein is functionally deficient. Also, the detection is expensive, limiting its use further. NGS can be used to detect MSI-H in a large number of microsatellites using a small number of nucleic acids and determine the tumor mutation burden (TMB). However, this technology is currently mainly used in biomedical research. It is necessary to further standardize the NGS workflow to make it suitable for use in clinical practice. In conclusion, the Colorectal Cancer Committee of the Chinese Society of Clinical Oncology recommended using IHC to test the MMR function universally and PCR routinely to test microsatellites status in institutions with available platforms to perform PCR. A third diagnostic approach (such as NGS) is considered when the results are inconsistent or drug resistance is suspected.

2.2 Tumor mutational burden (TMB)

As mentioned above, d-MMR/MSI-H phenotype increases the neo-antigen loads and immune cell infiltration, and it is easier to trigger the immune response. Therefore, tumor mutational burden (TMB) has become a preferable marker in recent years. TMB is a measure of the number of mutations in the tumor genome. It is defined as the total number of non-synonymous somatic mutations in each coding region of the tumor genome, expressed as the number of mutations per trillion bases.

The accumulation of TMB was significantly correlated with the continuous accumulation of somatic gene mutations, deletion of MMR, and mutations in tumor protein p53 (TP53), apolipoprotein B mRNA editing enzyme, catalytic polypeptide 3 (APOBEC), DNA polymerase epsilon (POLE) exonuclease domain, and polymerase delta 1 (POLD1). A TMB high (TMB-H) indicates an increased formation of new antigens and increasing tumor cell immunogenicity. Because of the relationship between TMB and d-MMR, TMB is considered an independent marker for ICI treatment. Zang et al. found a significant correlation between TMB-H and d-MMR in CRC. Chalmers et al. found that a majority of MSI-H patients had TMB-H and 97% had TMB ≥ 10 mut/Mb. However, only 16% of the samples with TMB-H were classified as MSI-H. Moreover, there were few patients with both low TMB and d-MMR/MSI-H phenotypes. Therefore, researchers believed that MSI-H was a subset of TMB-H (TMB-H is defined as >20 mut/Mb). Studies also found that MSS/TMB-H tumors were more common than MSI-H/ TMB-H tumors, which may benefit from immunotherapy. In summary, the use of TMB as a molecular marker is independent of MMR, which means that TMB has a wider application and more TMB-H patients may benefit from ICI treatment. The relationship between TMB-H and MSI-H is shown in Figure 1.

The idea of TMB as an independent molecular marker for ICI treatment was demonstrated in a variety of tumors. The feasibility of TMB as a biomarker was initially evaluated in melanoma. The study showed that TMB-H was associated with a high response rate to ICI treatment in melanoma patients. Subsequently, researchers found that in non-small-cell lung cancer (NSCLC) patients with TMB > 10 mut/Mb, combined programmed cell death protein 1 (PD-1) and cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) inhibitor treatment led to an increased PFS. Samstein et al. investigated the relationship between TMB and overall survival (OS) rate after ICI treatment in 1662 patients with advanced malignant tumors and found that higher TMB was associated with improved OS rate. A study published in 2017 including 1638 pan-cancer patients also proved the effectiveness of TMB as a molecular marker for different types of immunotherapy in patients with different tumors. More importantly, some researchers found that non-MSI-H patients with
TMB-H also benefited from ICI treatment,46,47 which suggested that TMB can be used as an independent molecular marker for ICI treatment. In KEYNOTE 158, there was a correlation between the anti-tumor activity of Pembrolizumab and TMB. The ORR of TMB-H tumors was 29%, while that of non-TMB-H tumors was 6%. Patients with TMB-H were able to achieve higher ORR.52 These results prompted the FDA to approve Pembrolizumab for the treatment of refractory advanced solid tumors in adults and children with TMB-H (TMB ≥ 10 mut/Mb), which confirmed the importance of TMB as an independent molecular marker for ICI treatment.

The results validated the benefit of Nivolumab in independent molecular marker for ICI treatment. CHECKMATE-227 (WES) was the gold standard for TMB detection, but it was costly, important factor in determining its value. Whole-exome sequencing (WES) was the gold standard for TMB detection, but it was costly, although not the most widely used because of its convenience and low cost, although it introduced errors that were dependent on the panel size.59,60

Studies reported a correlation between TMB predicted by NGS and that by WES but the methods used to calculate TMB in NGS and WES also affect the TMB results.61,62 For example, WES involved only missense mutations. MSK-IMPACT’s NGS sequencing method considered only non-synonymous mutations but the FICdxF NGS sequencing method not only counted non-synonymous mutations but also the synonymous mutations,53,64 suggesting that the detection methods also have an impact on TMB.

In the selection of biological specimens, tissue specimens were used for tissue-based TMB (t-TMB) detection, which had high detection accuracy but the sampling requirements were also high.43 Blood-based TMB (b-TMB) was a valuable substitute for t-TMB because of its ease of sampling and high consistency with t-TMB in the predicted results.65-67

In conclusion, TMB, as an independent molecular marker for ICI treatment, has a high application value. However, it is urgent to define the cutoff of TMB and optimize its detection method.

3 | PROGRAMMED CELL DEATH-LIGAND-1 (PD-L1)

Programmed cell death protein 1 (PD-1) is currently one of the most widely known inhibitory immune checkpoints, which has two main ligands: programmed cell death-ligand-1 (PD-L1) and ligand-2 (PD-L2). PD-L1 is usually expressed on activated T- and B-lymphocytes and macrophage subsets induced by inflammatory cytokines,48 and plays a role in protecting tissues from excessive inflammation and autoimmune responses.69 In tumor cells, PD-L1 was overexpressed as a carcinogenic factor.70 In a study involving 1000 Chinese cancer patients, PD-L1 amplification occurred most frequently in lung squamous cell carcinoma (14.3%), human epidermal growth factor receptor-2 (HER2)-positive breast cancer (8.8%), and breast cancer with unknown HER2 status (5.8%), while in lung adenocarcinoma and CRC, the amplification rate of PD-L1 was low.44

As the main ligands of PD-1, PD-1 and PD-L1 combined to transmit co-inhibitory signals to limit the proliferation of tumor-infiltrating lymphocytes and peripheral lymphocytes, stimulate effector T cells to transform into regulatory T cells, and induce T-cell exhaustion.71-73 Previous studies have shown that there is a potential connection between PD-L1 levels and the ICI treatment, prompting researchers to consider PD-L1 as a molecular marker for ICI treatment.74-76

Studies have found that PD-L1-positive patients benefit from ICI treatment more than PD-L1-negative patients. In an earlier study, in patients with NSCLC, melanoma, kidney cancer, prostate cancer, and CRC, using 5% of the tumor cells expressing PD-L1 as the threshold, it was found that PD-L1-positive patients treated with Nivolumab showed a significantly higher objective response than the negative patients.5 The KEYNOTE 001 study showed that when the tumor proportion score (TPS) of PD-L1 reached at least 50% in NSCLC, the efficacy of ICI was better, and when TPS < 50%, the higher the TPS, the higher was the response rate.77 KEYNOTE 042 suggested that when TPS ≥ 50%, ≥20%, and ≥1%, patients showed better OS with
Pembrolizumab treatment compared to chemotherapy.\textsuperscript{78} Similarly, KEYNOTE 024 also showed that when Pembrolizumab was used to treat patients with advanced NSCLC and PD-L1 expression in at least 50% of the tumor cells, the PFS and OS were significantly higher and fewer adverse events occurred than those administered platinum-based chemotherapy.\textsuperscript{79} The results of this study led the FDA to approve the use of Pembrolizumab in the treatment of untreated metastatic NSCLC (without epidermal growth factor receptor (EGFR) or anaplastic Lymphoma Kinase (ALK) abnormalities) and propose that when >50% of tumor cells expressed PD-L1, Pembrolizumab can be used as the first-line drug. When >1% of tumor cells express PD-L1, Pembrolizumab can be used for second-line treatment.\textsuperscript{80}

It is worth noting that although numerous experiments have proved the feasibility of PD-L1 as a molecular marker, several reasons limit its use as a molecular marker for ICI treatment. Firstly, the expression of PD-L1 shows poor association with that of the other molecular markers such as d-MMR and TMB. A systematic review using pan-cancer analysis showed that the co-expression ratio of PD-L1, TMB-H, and MSI-H was 2.9%, but those of PD-L1 and MSI-H and PD-L1 and TMB were only 0.59% and 8.5%, respectively. In CRC, the co-expression ratio of PD-L1, TMB-H, and MSI-H was 12.8%, but those of MSI-H and PD-L1 and PD-L1 and TMB-H were only 0.6% and 1.2%, respectively. Other studies found that the expression of PD-L1 showed great variability across tumors with different microsatellite states not only in d-MMR/MSI-H or TMB-H but also in p-MMR/MS or TMB-L.\textsuperscript{78,81,82} Secondly, the expression of PD-L1 showed temporal and spatial heterogeneity, which may be affected by changes in the tumor microenvironment, different stages of the tumor, chosen treatment, sampling time, and sampling site. Differences in the detection methods, antibodies, standards, and thresholds of the various detection platforms of PD-L1 are also factors affecting its accuracy.\textsuperscript{81,83–85}

Therefore, the use of PD-L1 as a molecular marker to guide ICI treatment is not validated, but it is also true that PD-L1 is one of the most mature molecular markers available. Actively optimizing, standardizing, and achieving reproducibility in PD-L1 detection and developing the combined application of molecular markers may promote the use of PD-L1 as a stable molecular marker for ICI treatment in CRC patients.

4 | TUMOR IMMUNE MICROENVIRONMENT (TIME) AND TUMOR-INfiltrATING LYMPHOCyTES (TILs)

Tumor microenvironment (TME) refers to the environment in which the cancer cells interact with their surroundings, which consist of a variety of cells (immune cells, fibroblasts, endothelial cells, etc.) and extracellular components (growth factors, cytokines, extracellular matrix, hormones, etc.).\textsuperscript{86} Tumor progression and the therapeutic effect of anti-tumor drugs are not only regulated by genes in the tumor cells but also by the immune factors in TME.\textsuperscript{86,87} One of the most important TME factors is the tumor-infiltrating lymphocytes (TILs). TILs play an important role in anti-tumor immunity and can be used as a marker of patient prognosis. Galon et al. proved that the level of TILs in the primary tumor was a strong independent predictor of recurrence and OS, and high TILs level was a positive prognostic factor.\textsuperscript{88} Also, in CRC, researchers generally believed that the high level of TILs was positively correlated with prognosis.\textsuperscript{89–91} TILs have a high predictive value in response to ICI treatment and play an important role in tumor immunotherapy. In mCRC, patients with high levels of TILs treated with ICIs had better response rates, PFS, and OS than patients with low levels of TILs.\textsuperscript{92}

In recent years, studies have found that high TILs were significantly correlated with the expression of MSI-H, TMB, and PD-L1. Therefore, it is proposed that TILs can be used as a molecular marker for ICI treatment in CRC patients. Smyrk et al. found that the level of TILs was related to the patient’s microsatellites status. When the level was 5, the sensitivity of predicting the MSI-H status in colorectal cancer was 93%, and the specificity was 62%.\textsuperscript{93} Fotios Loupakis et al. found that there was a significant correlation between the levels of TILs and TMB-H.\textsuperscript{94} Mara Kitsou et al. studied the relationship between immune checkpoint molecules and the level of TILs in CRC and found that in colon cancer, it was positively correlated with the expression of CD8, adenosine A2a receptor (ADORA2A), CTLA-4, hepatitis A virus cellular receptor-2 (HAVCR2), Lymphocyte activation gene 3 protein (LAG3), PD-L1, PD-L2, T-cell immunoreceptor with Ig and ITIM domains (TIGIT), and V-domain Ig suppressor of T-cell activation (VISTA).\textsuperscript{94} Based on these results, it is reasonable to suggest that TILs have the potential to be used as molecular markers for ICI treatment.

At present, researchers generally believe that the evaluation and intervention of TILs was one of the important means to predict or mediate the resistance to immunotherapy.\textsuperscript{95} To achieve this goal, researchers put forward the concept of “Immunoscore (I).” The Immunoscore assessed the density of CD45RO and CD8 immune cells in the core of the tumor (CT) and invasive margin (IM) of the tumor tissue, and the patients were scored (0–4 points) based on that. “I0” meant that the density of the two types of cells was low in both the regions; “I4” meant that the two types of cells had high density in both the regions; and “I1-13” represented the intermediate densities.\textsuperscript{96,97} Studies have found that in stage I-III CRCs, the higher the density of immune cell infiltration, the higher was the patient score, and the better was the prognosis.\textsuperscript{96,98,99} In mCRC patients, MSI-H was confirmed to be associated with a high Immunoscore.\textsuperscript{100} The expression of PD-1/PD-L1 was also significantly higher in I3 and I4 tumor patients in CRC, who might potentially benefit from ICI treatment.\textsuperscript{78,101} In summary, researchers suggested that Immunoscore is a novel marker independent of microsatellites.\textsuperscript{102,103} However, the current evidence to support Immunoscore as a predictive marker is insufficient and further research is needed.
5 | DNA POLYMERASE EPSILON (POLE) OR POLYMERASE DELTA 1 (POLD1)

In addition to chromosomal instability (CIN) and d-MMR, DNA damage response (DDR) system dysfunction caused by polymerase epsilon (POLE) or polymerase delta 1 (POLD1) mutations is also one of the important mechanisms underlying colorectal cancer. POLE/POLD1 are the key genes of the DDR pathway, encoding the catalytic subunit of DNA polymerase epsilon and the 125-kDa catalytic subunit of DNA polymerase delta, respectively, which contribute to the fidelity of DNA replication. Regarding the use of POLE/POLD1 as molecular markers for ICI treatment, the prevailing view was that mutations in POLE/POLD1 may lead to TMB-H and neoaentigen load. Some researchers have also proposed that during DDR dysfunction, the stimulator of the interferon gene (STING) pathway may be activated to mediate natural anti-tumor immunity. It is worth noting that POLE/POLD1 carry the exonuclease domains, which correct errors during the copying process and ensure high fidelity. When mutations occur in exonuclease domains (exonuclease domain mutations (EDMs), also referred to as proofreading domain mutations), they generate a high number of mutant phenotypes in tumor cells. Therefore, carrying EDMs are called pathogenic mutations, which are more closely related to tumor immune response.

Some studies found that POLE/POLD1 mutation analysis may help determine which patients can benefit from ICI treatment, and this ability is independent of d-MMR/MSI-H. It was found that POLE/POLD1 mutations were associated with an improved response rate, PFS, and OS in patients with metastatic uroepithelial carcinomas, NSCLC, melanoma, CRC, and other malignant tumors treated with PD-1 inhibitors. Wang et al. analyzed the incidence of POLE/POLD1 mutations in 47,721 patients with different tumors. The mutation rates of POLE/POLD1 were 2.79% and 1.37%, respectively, and the mutations were higher in skin tumors (16.59%), endometrial cancer (14.85%), melanoma (14.73%), and CRC (7.37%). Also, in most cancers, the TMB of patients who carried POLE/POLD1 mutations was significantly higher than that of non-carriers. Among patients treated with ICIs, the OS of patients who carried POLE/POLD1 mutations was significantly better than that of non-carriers. This study also found that 26% of the patients who had POLE/POLD1 mutations also showed MSI-H. After removing this subset of patients, the remaining patients with MSS were found to benefit significantly from ICI treatment. Multivariate Cox regression analysis showed that POLE/POLD1 mutation was an independent factor determining which solid tumor patients may benefit from ICI treatment.

In CRC patients, the application of POLE/POLD1 mutation as a molecular marker for ICI treatment is being researched. In 2017, Gong et al. reported a case of an MSS colon cancer patient with POLE mutation who showed a significant response rate after receiving Pembrolizumab. This patient not only had a high level of TILs but also showed a hypermutated tumor profile, with TMB reaching 122 mut/Mb, and the expression of PD-1 and PD-L1 as high as in 90% and 99% of the tumor cells, respectively. Subsequently, researchers found that nearly 1.8%-3.1% of CRC patients were diagnosed with pathogenic POLE mutation and showed higher TMB and PD-L1. Another group of researchers found that patients with POLE pathogenic mutation had similar immunogenicity as d-MMR patients, with higher CD8+ lymphocytes, cytotoxic T-cell markers, TMB, neoaentigen load, and effector cytokines. PD-1, PD-L1, CTLA-4, LAG3, and indoleamine 2,3-dioxygenase 1 (IDO1) were highly expressed, too. At present, there have been more and more clinical studies focusing on POLE/POLD1 mutation and ICI treatment, and more evidence supporting the use of POLE/POLD1 mutation as molecular markers is expected. The clinical trials studying the efficacy of ICI in treating CRC patients with POLE/POLD1 mutation are summarized in Table 1.

In conclusion, the use of POLE/POLD1 pathogenic mutation as molecular markers for ICI treatment has been confirmed in several types of tumors. Although the incidence of POLE/POLD1 pathogenic mutations in CRC is low, these patients have unique immunophenotypes and extraordinary responses to ICI treatment, which are worthy of further study. More importantly, the POLE/POLD1 pathogenic mutation has a higher application value in MSS patients that may enable more patients to benefit from ICI treatment.

6 | CIRCULATING TUMOR DNA (CTDNA)

Circulating tumor DNA (ctDNA), a classic short-stranded DNA fragment having an average length of 120–160 bp, is present in the serum and is released due to necrosis and apoptosis of circulating tumor cells. Previous studies showed that ctDNA was widely used to detect minimal residual diseases, predict patient prognosis, assess tumor burden, assess mutational burden, predict microsatellite status, monitor the effects of chemotherapy and targeted therapy, detect drug resistance, and identify novel mutations to predict the efficacy of treatment. In recent years, ctDNA has been widely explored and much progress has been made in predicting the response rate of ICI treatment in tumor patients.

A study including 1,000 patients with locally advanced or metastatic tumors treated with ICIs showed that on-treatment ctDNA dynamics appear to be predictive of the long-term benefit of immunotherapy across tumor types. ctDNA dynamics can help differentiate patients who will ultimately derive benefit from immunotherapy from those who are unlikely to derive further benefit. A prospective clinical trial involving 5 different tumor types also revealed the correlation between the level of ctDNA and the efficacy of ICI treatment. The trial measured the ctDNA level in 73 patients after 3 cycles of Pembrolizumab treatment and found that 33 patients had a ctDNA level lower than the baseline. These patients showed better clinical efficacy during the treatment, and 14 patients achieved an objective response. The ctDNA level of 40 patients increased compared to the baseline. Most of these patients experienced disease progression or poor survival, and only one patient experienced an objective response. When the ctDNA was eliminated due to the
| Clinical Trials.gov Identifier | Title                                                                 | Condition or Disease                                  | Treatment                  | Phase | Actual Enrollment | Primary Outcome Measures                                                                 |
|-------------------------------|----------------------------------------------------------------------|-------------------------------------------------------|----------------------------|-------|-------------------|-----------------------------------------------------------------------------------------|
| NCT03150706                  | A Phase II Study of Avelumab in Patients with Mismatch Repair Deficient or POLE Mutated Metastatic Colorectal Cancer | Metastatic Colorectal Cancer                          | Avelumab                   | Phase 2 | 33 participants | Serum CEA; TSH; T3; Free T4; EKG; CT (or MRI) scans of evaluable/measurable lesions by RECIST 1.1 |
| NCT03435107                  | A Phase II Study of Durvalumab in Patients with Mismatch Repair Deficient or POLE Mutated Metastatic Colorectal Cancer | Metastatic Colorectal Cancer                          | Durvalumab                 | Phase 2 | 33 participants | Objective Response Rates; CT (or MRI) scans of evaluable/measurable lesions by RECIST 1.1 |
| NCT04969029                  | Randomized, Controlled Phase II Study of Immunotherapy Versus Standard Chemotherapy as Adjuvant Therapy After Surgery for Colon Cancer With MSI-H or POLE/POLD1 Mutations | Immunotherapy, Adjuvant Therapy, Colon Cancer, MSI-H  | Tirelizumab                | Phase 2 | 30 participants | 3-year Relapse-Free Survival                                                                |
| NCT03810339                  | A Phase II Open-Label Study of Toripalimab, a PD-1 Antibody, in Participants with POLE or POLD1 Mutated and Non-MSI-H Advanced Solid Tumors | Solid Tumor, Advanced Cancer                          | Toripalimab                | Phase 2 | 35 participants | Objective Response Rates; Overall Survival; Progression-Free Survival; Adverse Events     |
| NCT03461952                  | A Phase II Open-Label, Randomized Non-Comparative Trial of Nivolumab Alone or in Combination with Ipilimumab for the Treatment of Patients with Advanced Hypermutated Solid Tumors Detected by a Blood-Based Assay | Advanced Solid Tumors with POLE and/or POLD1 mutation | Nivolumab                  | Phase 2 | 4 participants  | Objective Response Rate by RECIST 1.1                                                    |
| NCT03767075                  | Basket of Baskets: A Modular, Open-label, Phase II, Multicentre Study to Evaluate Targeted Agents in Molecularly Selected Populations with Advanced Solid Tumors | Advanced Solid Tumor (Arm 1C: tumors with POLE mutation, POLD1 mutation) | Arm 1: Atezolizumab        | Phase 2 | 1000 participants | Overall response rate by RECIST 1.1                                                      |
In melanoma patients, researchers found that patients with low ctDNA (≤20 copies/ml) before commencing therapy who received first-line ICI treatment showed a higher PFS. When ctDNA was elevated, patients who received CTLA-4 and PD-1 inhibitors showed better PFS than patients treated only with PD-1 inhibitors. The IMvigor010 (NCT02450331) study tested the ctDNA of patients with infiltrating urothelial carcinoma who received Atezolizumab and analyzed the relationship between the level of ctDNA and the treatment effect of Atezolizumab, showing that the treatment with Atezolizumab improved the disease-free survival (DFS) and OS of ctDNA-positive patients but showed no change in ctDNA-negative patients. The ctDNA clearance occurred in 18.2% of ctDNA-positive patients treated with Atezolizumab who showed better OS and DFS. In the observation group, this phenomenon was observed in only 3.8% of the patients. This result showed that the level and clearance rate of ctDNA can be used as predictors of the response and effect of ICI treatment in tumor patients. To further explore the mechanism, researchers performed exploratory transcriptional analysis to assess the expression of other immune-related biomarkers and found that compared to ctDNA-negative patients, ctDNA-positive patients showed a higher expression of cell cycle and keratin genes, suggesting that these tumors may be more aggressive. In ctDNA-positive patients, when TMB and PD-L1 were overexpressed or pan-fibroblast TGFβ response (F-TBRS) signature and angiogenesis genes were underexpressed, Atezolizumab significantly improved patient prognosis, but there was no such relationship in ctDNA-negative patients. Other researchers also found that it is feasible to evaluate TMB, PD-L1, and microsatellites states using ctDNA; therefore, it is a potential molecular marker for ICI treatment and worthy of further research. Kim et al. found that, in metastatic gastric cancer, the total effective rate and PFS of patients with a high ctDNA burden who were treated with PD-1 inhibitor significantly improved compared to patients with low ctDNA burden. These results provided support for ctDNA as a promising molecular marker for ICI treatment. In CRC, it was found that ctDNA can be used for the detection of initial tumor burden, patient prognosis assessment, minimal residual disease detection, recurrence monitoring, chemotherapy or targeted therapy response prediction, drug resistance monitoring, etc. However, studies suggesting the possibility of ctDNA as a molecular marker for ICI treatment in CRC patients are not available.

In addition to b-TMB, ctDNA can be detected in liquid biopsies. Compared to obtaining specimens from tissues, liquid biopsy has a profound impact on the precision treatment of tumors due to its advantages of non-invasiveness, high safety, fast detection speed, cost-effectiveness, ease of sampling, and strong reproducibility. Common detection methods include digital PCR, BEAMing (Bead, Emulsion, Amplification, and Magnetic), WES, and NGS. These different technologies have their advantages and disadvantages,
requiring comprehensive consideration by researchers. In recent years, with the advancements in NGS technology, more and more researchers have adopted NGS for ctDNA detection. Sequencing Quality Control Phase 2 (SEQC2) project studied the performance of the NGS-based ctDNA detection method. The study used a cross-platform blind verification method to comprehensively evaluate the sensitivity, specificity, accuracy, and reproducibility of ctDNA liquid biopsy products. The results of this study may help establish industry standards, provide technical guidelines for liquid biopsies, and promote the development and transformation of tumor and clinical studies.

In conclusion, ctDNA is a potential novel molecular marker for ICI treatment for CRC.

7 | CONSENSUS MOLECULAR SUBTYPES (CMS)

Using genome expression and cell phenotypes, Guinney et al. proposed the consensus molecular subtypes (CMS) of CRC, which included CMS1 (MSI Immune, 14%), CMS2 (Canonical, 37%), CMS3 (Metabolic, 13%), and CMS4 (Mesenchymal, 23%). CMS1 is mainly composed of d-MMR/MSI-H tumors, with TMB-H, BRAF mutation, and high immune infiltration characteristics.

CMS1 patients showed highly infiltrated cytotoxic lymphocytes, CD8+ T cells, CD4+ memory T cells, T helper cells, follicular helper T cells, y6 T cells, activated dendritic cells, natural killer cells (NK cells), and M1 macrophages and active immune microenvironment and good prognosis. At the same time, PD-1, PD-L1, CTLA-4, etc., were highly expressed in CMS1. TMB and neoantigen loads were high, too, suggesting that the CMS1 patients may benefit from ICI treatment.

High chromosome instability, "WNT and MYC activation—APC mutation—adenoma—TP53 mutation—adenocarcinoma" model were characteristics of CMS2. CMS2 was also known as the "immune desert." There were lesser infiltrating immune cells in tumor tissues and were mainly composed of static NK cells and naive CD4+ T cells or B cells. Therefore, CMS2 patients cannot acquire active anti-tumor immunity. Among all CMS groups, the CMS2 group had the least MSI-H patients and the expression of PD-1/PD-L1 in tumor tissues was lower. Therefore, it showed a weak response to ICI treatment.

CMS3 was characterized by high Kirsten rat sarcoma viral oncogene (KRAS) mutation, metabolic dysregulation, and impaired lipid oxidation. About 16% of the CMS3 group population was composed of MSI-H patients, and some patients expressed T helper cell 17, naive B cells, naive T cells, resting T cells, and PD-1+ T cells. Same as CMS2, lymphocytes, monocytes, and myeloid cells were less among the infiltrated cells, suggesting that the immune microenvironment of CMS3 was in a dormant state.

CMS4 was characterized by epithelial-mesenchymal transition, stromal remodeling, interstitial activation, angiogenesis, and immune cell infiltration. MSI-H tumors accounted for 6% of CMS4.

CMS4 had an activated complement pathway and was rich in lymphocytes and macrophages, showcasing its inflammatory characteristics. However, due to fewer CD8+ and CD4+ T cells and more Treg cells and M2 macrophages, CMS4 presented an immune mechanism different from CMS1. Characteristics of consensus molecular subtypes are summarized in Table 2.

CMS1 and CMS4 tumors were also known as "hot tumors." They are immunoreactive and the main targets of ICI treatment. CMS2 and CMS3 tumors, known as "cold tumors," had a low response rate to ICI treatment. Therefore, methods to make them "hot" to increase the response rate to ICI treatment need to be identified.

8 | CONCLUSION

Precision medicine began with targeted therapy and thriving in immunotherapy. The original intention of immunotherapy was to use anti-tumor immunity to develop new treatment methods and achieve more precise treatment. As the main method of immunotherapy, ICI treatment has been used to treat a variety of malignant tumors, and it has become one of the main treatment methods for mCRC. In the ICI treatment, the concept of applying molecular markers to guide the treatment of different diseases helps clinicians develop an open mind and is an important step to bringing precision medicine into practice. However, there are no perfect molecular markers at present. It is difficult to identify a molecular marker that shows a consistently accurate performance to predict ICI treatment response and curative effect. Therefore, we hope that better molecular markers are identified or the existing ones are developed further to promote the standardization of ICI treatment so that more patients can benefit from it. An ideal molecular marker should have high specificity and sensitivity, a wide range of applications, ease of sampling and measurement, and standardized detection methods. However, the aforementioned molecular markers do not meet these requirements currently. Therefore, we hope that better markers are identified or the value of existing markers can be fully tapped through joint applications and improved detection methods. In short, the development of ideal molecular markers has a long way to go.

CONFLICT OF INTEREST

The authors declare no conflicts of interest.

AUTHOR CONTRIBUTIONS

Fenqi Du contributed to the writing of the manuscript; Yanlong Liu conceived and finalized the content of the manuscript.

DATA AVAILABILITY STATEMENT

The datasets generated in the current study will be made available on request by the corresponding author.

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