MRI Evaluation of Complete Response of Locally Advanced Rectal Cancer After Neoadjuvant Therapy: Current Status and Future Trends

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Abstract: Complete tumor response can be achieved in a certain proportion of patients with locally advanced rectal cancer, who achieve maximal response to neoadjuvant therapy (NAT). For these patients, a watch-and-wait (WW) or nonsurgical strategy has been proposed and is becoming widely practiced in order to avoid unnecessary surgical complications. Therefore, a non-invasive, reliable diagnostic tool for accurately evaluating complete tumor response is needed. Magnetic resonance imaging (MRI) plays a crucial role in both primary staging and restaging tumor response to NAT in rectal cancer without relying on resected specimen. In recent years, numerous efforts have been made to research the value of MRI in predicting and evaluating complete response in rectal cancer. Current MRI evaluation is mainly based on morphological and functional images. Morphologic MRI yields high soft tissue resolution, multiplanar images, and provides detailed depictions of rectal cancer and its surrounding structures. Functional MRI may help to distinguish residual tumor from fibrosis, therefore improving the diagnostic performance of morphologic MRI in identifying complete tumor response. Both morphologic and functional MRI have several promising parameters that may help to accurately evaluate and/or predict complete response of rectal cancer. However, these parameters still have limitations and the results remain inconsistent. Recent development of new techniques, such as textural analysis, radiomics analysis and deep learning, demonstrate great potential based on MRI-derived parameters. This article aimed to review and help better understand the strengths, limitations, and future trends of these MRI-derived methods in evaluating complete response in rectal cancer.

Keywords: locally advanced rectal cancer, complete response, neoadjuvant therapy, magnetic resonance imaging

Introduction

Neoadjuvant therapy (NAT) has become a necessary part of the current standard of care for locally advanced rectal cancer (LARC) patients in downstaging the primary tumor and decreasing the risk of local tumor recurrence after surgical resection and improving prognosis.1,2 With the application of different NAT regimens, pathological complete response (pCR) may occur in approximately 10–30% of LARC patients.3–8 For these patients, surgical resection may not lead to an increase in overall and disease-free survival, but may result in extensive complications, such as sexual, urinary, and bowel dysfunction, and even increase the risk of anastomotic leak in patients with pCR.9

A watch-and-wait (WW) strategy has been proposed for patients who have a good response to NAT without residual tumor by clinical, endoscopic, and radiological
surveillance, known as clinical complete response (cCR). WW strategy includes a series of follow-ups with surveillance examinations and salvage surgery for tumor recurrence. Because of its high rate of organ preservation and the equivalence of oncological outcomes in most of the patients, WW strategy has become widely practiced. The evaluation of cCR does not rely on a pathological examination of the resected specimen and is different from the evaluation of pCR. Thus, non-invasive tools which do not rely on the resected specimen to evaluate cCR accurately are needed.

Magnetic resonance imaging (MRI) plays a crucial role in both primary staging and restaging after NAT in rectal cancer. Because of its high soft tissue resolution and multi-planar imaging, MRI can provide a detailed depiction of rectal cancer and its surrounding structures. Moreover, the functional imaging and post-processing techniques of MRI allow quantitative analysis of residual tumors. The serial MRI monitoring during and after treatment can help evaluate LARC response to therapy, in order to schedule the NAT regimen in a timely manner. Thus, radiologists should recognize the importance of evaluating complete response of LARC and increase their diagnostic experience in this regard.

In recent years, numerous efforts have been made to research the value of MRI in predicting and evaluating complete response in LARC. This article aimed to review and help better understand the strengths, limitations, and future trends of these methods.

**Morphologic MRI**

**Volumetry**

Morphologic measurements of MRI are relatively easy and convenient in clinical practice. The most effective morphologic parameter is whole tumor volume (WTV), which is the sum of each cross-section area multiplied by section thickness based on the assessment of high resolution T2 weighted imaging (HR-T2WI). WTV analysis might improve the assessment of tumors by capturing inherent intratumoral heterogeneity, thus avoiding subjective judgment of different observers.

Several studies have investigated the value of WTV in assessing pCR of rectal cancer with relatively high accuracy, high specificity, and good reproducibility. The pre-NAT tumor volume does not seem to be effective in predicting pCR in most studies. The post-treatment and the percentage reduction of WTV based on MRI are good parameters for evaluating complete tumor response.

Furthermore, several studies found that tumor shrinkage mainly occurred shortly after the beginning of NAT, and the early tumor volume reduction rate after NAT may offer useful early information to predict the treatment outcomes in rectal cancer. Palmisano et al found that the combined assessment of Vmid (<4.8 cm³) and ΔVmid appears effective in predicting pCR early with good accuracy (81.5%), where Vmid and ΔVmid were the cancer volume at mid-MRI and the percentage of volume reduction at mid-MRI.

The efficacy of WTV in predicting complete tumor response may be due to better reproducibility by involving information of the whole tumor in comparison to 1-dimensional and 2-dimensional predictors. Volumetric analysis based on HR-T2WI can help predict complete tumor response with relatively high accuracy and specificity. However, WTV analysis is time-consuming, which limits its clinical practice. The development of automated

![Figure 1](https://doi.org/10.2147/CMAR.S109252) A 55-year-old man with LARC. (A) The maximum tumor area (MTA, pink curved line) on oblique axial HR-T2WI. (B) The maximum tumor length (MTL, pink straight line) and the distance from tumor to anal verge (DTA, white curved line) on sagittal T2WI. (C) The maximum tumor thickness (MTT, pink straight line) on oblique axial HR-T2WI.
segmentation techniques may contribute to more efficient tumor analysis for better clinical application, but it still needs further research.

**Morphologic Predictors: 1/2/3-Dimensional Parameters**
Several convenient morphologic parameters have also been proven useful in assessing pCR, such as the lower maximum tumor area (MTA), lower maximum tumor length (MTL), cylindrical approximated tumor volume (CATV: MTA × MTL) and the higher reduction rates of MTA, MTL, maximum tumor thickness (MTT), and CATV after NAT (Figure 1). Zhang et al found the reduction rates of MTA had the highest area under the curve (0.853) among these morphologic parameters in assessing the pCR response to NAT.4 The value of distance to anal verge (DTA) in pCR remained controversial. Zhang et al found the smaller DTA predicted a greater likelihood of pCR (Figure 1).4 Some studies also showed that a distance from anal verge smaller than 5 or 6 cm correlated with a favorable response.20–22 Low rectal cancer may gain more benefits from radiation therapy because of its lower mobility,
compared with mid and high rectal tumors. Nevertheless, there have been several studies with contradictory findings, and even Patel et al reported that the patients with low tumors (<4 cm) and higher tumors (>8 cm) were less likely to have a pCR.

Limitation: the evaluation of complete tumor response by morphologic MRI is mainly based on HR-T2WI, which still has a relatively high false-negative rate. This limitation is mainly due to treatment-induced tumor fibrosis and edema (Figure 2). The treatment-induced fibrosis appears as the intermediate signal intensity on T2WI mimicking residual tumor. Moreover, the treatment-induced edema shows high signal on T2WI and lead to rectal wall swelling. It is difficult to assess residual tumors mixed with edema in the intestinal wall. Furthermore, the diagnostic accuracy of mostly morphologic predictors is suboptimal in predicting complete tumor response. The assessment outcomes are highly dependent on the subjective judgment of different observers.

**DWI and DWI-Derived Parameters**

**Diffusion-Weighted Imaging (DWI)**

DWI is performed routinely and assessed qualitatively for restaging to evaluate response to CRT, with the capability to characterize the tumor’s cellular environment. The combination of DWI and T2 HR-MRI (high-resolution MRI) can help improve sensitivity and inter-observer agreement in assessment of complete response of LARC compared with T2 HR-MRI alone. The T2 HR-MRI could help locate the site of lesions of the rectal, and DWI could help differentiate residual tumor from fibrosis. Bates et al also found the higher b value of DWI may increase the sensitivity of detection of residual tumor after NAT. Sathyakumar et al and Lambregts et al found that the change of tumor volume after therapy on DWI could help to identify complete tumor response in rectal cancer.

Limitation: however, DWI still had a low specificity in identifying complete tumor response, which might

**Figure 3** A 55-year-old man with LARC who achieved pCR after therapy. The oblique axial HR-T2WI (A and D), oblique axial DWI (b = 1000 s/mm²) (B and E), oblique axial ADC map (C and F) show the images of LARC before (A–C) and after (D–F) NAT. The mean ADC values were 0.87×10⁻³ mm²/s (C), 1.11×10⁻³ mm²/s (F), respectively.
lead to a relatively high level of false-negative rates (Figure 2). The image quality of DWI is vulnerable to geometric distortion and sensitivity to susceptibility artifacts. Several studies found that readout segmented echo-planar imaging (rs-EPI) may improve overall imaging quality and diagnostic performance compared to standard single-shot echo-planar imaging (ss-EPI) DWI in assessing complete response of LARC.\textsuperscript{28,30,31} but still needs to be proven in a large multicenter patient cohort. The preparation for the MRI scan is important for DWI, such as injecting spasmolytic medication to prevent intestinal peristalsis. Moreover, the optimal choice for DWI b values for assessment of complete tumor response has not been established, and further study is still needed.

**DWI-Derived ADC**

Apparent diffusion coefficient (ADC), the quantitative parameter of DWI, has been regarded as a reasonable imaging biomarker, which could quantitatively measure random diffusion of water molecules in biological tissues. The decrease in tissue cellularity and the increase of extracellular space after therapy may increase ADC values (Figure 3).

Several studies have demonstrated the potential of ADC in distinguishing pCR from non-pCR. In most studies, the percentage changes of ADC values before and after NAT can help identify pCR of LARC, with better diagnostic performance than pre- and post-ADC values.\textsuperscript{8,28,32–35} However, the results of pre-NAT and post-NAT ADC values remain very inconsistent. Several studies found lower pre-ADC values\textsuperscript{8,33,36,37} and higher post-ADC values\textsuperscript{28,32–34,36,38,39} in pCR group than non-pCR group, but several studies found no significant difference in pre-ADC values\textsuperscript{8,32,34,38–40} and post-ADC values\textsuperscript{8,37,40,41} between these two groups. This discrepancy suggested that evaluation of treatment response may be limited when simply using pre- and post-ADC values.

Histogram parameters may help improve this issue and provide more reliable information on tumors. In histogram

![Figure 4](https://doi.org/10.2147/CMAR.S309252)

**Figure 4** A 55-year-old man with LARC who achieved pCR after therapy. IVIM parameters derived from DWI before (A–C) and after (D–F) NAT. (A and D) Oblique axial D map; (B and E) oblique axial D* map; (C and F) oblique axial f map.
analysis of ADC, Yang et al.\textsuperscript{28} found the 95th percentiles of post-NAT ADC value, and its percentage change showed a comparative good diagnostic performance and a higher specificity in assessing pCR. Choi et al.\textsuperscript{30} showed that post-NAT ADC histogram analysis with 25th percentiles had the best diagnostic performance for detecting pCR. Enkhbaatar et al.\textsuperscript{35} demonstrated that post-NAT skewness derived from the ADC histogram was a useful tool for predicting a favorable response to NAT.

Limitation: the discordant results may be because the ADC value could reflect the rate of diffusion in cellular tissues but failed to distinguish the perfusion effects from true tissue diffusion, thereby introducing the signal attenuation of imaging.

**DWI-Derived IVIM**

Intravoxel incoherent motion (IVIM) model, could distinguish perfusion information from diffusion using a biexponential model and multi-b-value sampling. Hence, the true molecular diffusion and microcirculation perfusion could be obtained simultaneously.\textsuperscript{42} The parameters of the IVIM model mainly include $D$, $D^*$, $f$ values. The $D$ value is the diffusion coefficient of slow or nonperfusion-related diffusion, which represents true molecular diffusion, while $D^*$ value is the diffusion coefficient of fast or perfusion-related diffusion, and $f$ (given as a percentage) is the perfusion-related diffusion fraction, which represents fractional volume occupied in the voxel by flowing spins (Figure 4).

The most promising IVIM-derived parameter in predicting complete response of rectal cancer might be $D$ values. The lower pre-$D$ values,\textsuperscript{8,37} higher percentage changes of $D$ values,\textsuperscript{8} and the higher post-$D$\textsuperscript{43} values have been found in pCR group compared with the non-pCR group. Conversely, several studies reported that pre-$D$ values\textsuperscript{43,44} and post-$D$\textsuperscript{8,44} values were not associated with the pCR status.

![Figure 5](https://doi.org/10.2147/CMAR.S309252)

**Figure 5** A 55-year-old man with LARC who achieved pCR after therapy. DKI parameters derived from DWI before (A and B) and after (C and D) NAT. (A and C) Oblique axial D map; (B and D) oblique axial K map.
The results of $f$ values in assessing treatment response have been variable across different studies. The higher pre- and higher post-$f$ were found in the pCR group of rectal cancer, whereas other studies found that $f$ value was not useful in assessing the treatment response in rectal tumor. These conflicting results might be because $f$ value represented the signal intensity ratio of blood capillaries and tumor tissues, which were both affected by the T2 contribution. After treatment, the T2 values of the tumor tended to increase, leading to an underestimation of the increase in $f$ value. Thus, the utility of $f$ is of limited significance in clinical practice.

The results of $D^*$ values have also remained highly controversial, the higher pre-$D^*$ values had been found in pCR group of rectal cancer in several studies, but other studies found $D^*$ values were useless in predicting or identifying pCR response. These conflicting outcomes might be the result of the relatively high sensitivity of $D^*$ values to noise leading to relatively poor reproducibility.

Limitations: the post-processing techniques of IVIM are relatively complex and time-consuming. IVIM parameters exhibit poor repeatability and reproducibility, especially for $D^*$ and $f$ value. Several studies proved that whole-tumor volume measurement, histogram analysis may improve this problem and help obtain reliable results. But histogram analysis of IVIM still found the mean values were better than or equal to the histogram metrics. IVIM model required sufficient b values, however, too many b values would increase the scan time. Therefore, the proper number and interval of b values need to be explored.

**DWI-Derived DKI**

Diffusion kurtosis imaging (DKI) is an advanced non-Gaussian DWI model, which includes both diffusivity and kurtosis parameters. Diffusivity is the diffusion coefficient (D) with the correlation of non-Gaussian bias, whereas kurtosis coefficient (K) measures the deviation of tissue diffusion from a Gaussian model. (Figure 5)

![Figure 5](https://example.com/image5.png)

**Figure 5** A 61-year-old man with LARC who achieved pCR after therapy. Dynamic Contrast-Enhanced MRI before (A–C) and after (D–F) neoadjuvant chemoradiation therapy for locally advanced rectal cancer which achieved pathological complete response. (A and D) Oblique axial $K^{trans}$ map; (B and E) oblique axial $K_{sp}$ map; (C and F) oblique axial $V_e$ map.

![Figure 6](https://example.com/image6.png)

**Figure 6**
Hu et al\textsuperscript{39} found lower pre-K, lower post-K, and higher post-D values in pCR group compared with non-pCR group, and the post-K showed higher specificity and specificity than conventional DWI for assessing pCR and non-pCR in patients with LARC. Furthermore, Yu et al\textsuperscript{48} found 10th percentiles of pre-D values were significantly lower in the good responder group.

Limitations: only a few studies focused on the value of DKI in identifying complete tumor response, and the study scale was relatively small. The application of DKI technology needs higher b values and more b values, and the different combinations of b values may result in different results. Thus, the value of DKI in identifying complete tumor response still needs further research.

Dynamic Contrast-Enhanced-MRI
Dynamic contrast-enhanced (DCE)-MRI can assess tissue perfusion and oxygenation at a macroscopic level. The results of DCE-derived parameters remain controversial among different studies. Several studies found the parameters of DCE, including $K^{\text{trans}}$ (plasma-to-extravascular volume transfer), $K_{ep}$ (extravascular-to-plasma volume transfer constant), and $V_e$ (extravascular extracellular volume fraction per unit of tissue volume), $V_p$ (plasma volume), can help evaluate pCR for NAT in LARC.

The higher pre-$K^{\text{trans}}$,\textsuperscript{49} pre-$K_{ep}$,\textsuperscript{50} pre-$V_e$\textsuperscript{50} values, lower post-$K^{\text{trans}}$\textsuperscript{51} values, and the higher changes of $K^{\text{trans}}$ values after NAT\textsuperscript{52} were found in the pCR group than non-pCR group of rectal cancer. However, Gollub et al\textsuperscript{53} still found DCE-derived parameters could not distinguish between pCR group and non-pCR group. Although DCE-derived parameters may have the potential to evaluate complete tumor response in LARC, the accuracy needs to be verified (Figure 6).

Thus, the quantitative parameters, such as ADC-, IVIM-, DKI- and DCE-derived parameters, might improve the diagnostic performance of conventional MRI and become promising predictors of complete response in rectal cancer, but the results have remained inconsistent and the post-processing techniques are relatively complex, time-consuming, and have poor repeatability.

Challenges and Future Trends
MRI evaluation of complete response in rectal cancer remains challenging. First, different MR scanning protocols, software, and equipment may induce controversial results, poor repeatability, and a variety in cut-off values. Secondly, the different study time points also influence the results in predicting and evaluating complete tumor response, such as the interval between each assessment time by MRI during NAT, the completion of NAT and restaging MRI, restaging MRI and surgery. Thirdly, the influence of neoadjuvant treatment protocol, inclusion criteria (such as mucinous adenocarcinoma, signet-ring cell

\begin{figure}
\centering
\includegraphics[width=\textwidth]{figure7.png}
\caption{The summary chart of multiple MRI methods for predicting and assessing complete tumor response after neoadjuvant therapy in locally advanced rectal cancer. Abbreviations: CATV, cylindrical approximated tumor volume; CATVRR, cylindrical approximated tumor volume reduction rate; DTA, distance from tumor to anal verge; MTA, maximum tumor area; MTARR, maximum tumor area reduction rate; MTLE, maximum tumor length; MTLRR, maximum tumor length reduction rate; MTT, maximum tumor thickness; MTTRR, maximum tumor thickness reduction rate; pCR, pathological complete response; V, volume.}
\end{figure}
carcinoma) also need to be noted in MRI evaluation. Lastly, most studies were performed in a single institution on a small scale, so multi-centered research in an independent and large multicenter patient cohort is needed in further studies.

Recent advances in several new techniques have shown great potential for complete tumor response prediction and evaluation, such as MRI-derived textural analysis, radiomics analysis, and deep learning. Texture analysis (TA), an emerging area of radiomics, has been applied in rectal cancer recently. TA can objectively assess the heterogeneousness of the tumor. Several studies found TA parameters derived from T2WI, DTI, DWI, and DCE can predict complete response in rectal cancer. Some studies indicated the radiomics analysis extracted high-dimensional imaging features of rectal cancer, rather than the relatively low dimensional

| First Author (Year) | Method | Statistically Significant MR Parameters |
|---------------------|--------|----------------------------------------|
| Zhang6 (2017) | T2WI | ↓MTApost, ↓MTLpost, ↓DTApre, ↓CATVpost, ↑MTARR, ↑MTLRR, ↑MTTRR, ↑CATVRR |
| Palmisano6 (2018) | T2WI | ↓Vpost, ↓Vpre, ↑ΔV |
| Sathyakumar26 (2016) | T2WI, DWI | ↓Vpre, ↑ΔV |
| Lambregts27 (2015), Curvo-Semedo61 (2011) | T2WI, DWI | ↓Vpost, ↑ΔV |
| De Cecco54 (2015) | T2WI, TA | ↓kurtosispre, ↑kurtosismid |
| Aker55 | T2WI, TA | ↑SDpost, ↑entropypost, ↑skewnesspost |
| Yang56 | T2WI, DWI, TA | ↑ADCpost, ↓uniformity |
| De Felice40 (2017) | DWI | ↑ADCmid |
| Kim32 (2011), Blazic34 (2016), Yang28 (2020) | DWI | ↑ADCpost, ↑ΔADC |
| Intven33 (2013) | DWI | ↓ADCpre, ↑ADCpost, ↑ΔADC |
| Lambrechts26 (2012) | DWI | ↓ADCpre, ↑ADCpost, ↑ΔADC, ↑ΔV |
| Xu6 (2018) | DWI (IVIM) | ↓ADCpre, ↓D (IVIM)pre, ↑Dpost, ↑ΔADC, ↑ΔD (IVIM), ↑Δf |
| Lu43 (2017) | DWI (IVIM) | ↑Dpre, ↑fpre, ↑D (IVIM)post, ↑ΔADC, ↑ΔD (IVIM) |
| Zhu44 (2017) | DWI (IVIM, SEM) | ↑ADCpost, ↑apost, ↑ΔADC, ↑Δt |
| Liang37 (2019) | DWI (IVIM, SEM) | ↓ADCpre, ↓DDCpre, ↓D (IVIM) pre |
| Hu39 (2017) | DWI (DKI) | ↑ADCpost, ↑ΔADC, ↓Kpre, ↓Kpost, ↑ΔD (DKI), ↑D (DKI) post, |
| Ciolina49 (2019) | DCE | ↑Ktrans pre, ↓Vepost |
| Tong50 (2015) | DCE | ↑Ktrans pre, ↑Kep pre, ↓Ve pre |
| Zou51 (2019) | DCE, TA | ↑Ktrans post, ↑Δvariance, ↑Δentropy, ↑Δcorrelation |

**Note:** ↑ refers to the higher values in complete tumor response of rectal cancer; ↓ refers to the lower values in complete response of rectal cancer.

**Abbreviations:** V, volume; pre, before neoadjuvant therapy; mid, the middle of neoadjuvant therapy; post, after neoadjuvant therapy; Δ, the percentage reduction; CATV, spherical approximated tumor volume; CATVRR, cylindrical approximated tumor volume reduction rate; DTA, distance from tumor to anal verge; MTA, maximum tumor area; MTL, maximum tumor length; MTLRR, maximum tumor length reduction rate; MTTR, maximum tumor thickness reduction rate; ADC, apparent diffusion coefficient; IVIM, intravoxel incoherent motion; SEM, stretched exponential model; DDC, distributed diffusion coefficient; D (IVIM), slow diffusion coefficient; Df, fast diffusion coefficient; f, perfusion-related diffusion fraction; D (DKI), diffusion coefficient; K, kurtosis coefficient; DCE, dynamic contrast-enhanced; Ktrans, plasma-to-extravascular volume transfer; Kep, extravascular-to-plasma volume transfer constant; Ve, extravascular extracellular volume fraction per unit of tissue volume; Vp, plasma volume; TA, texture analysis.
features derived from texture analysis. Furthermore, several recent studies found the combination of the pre-treatment radiomics nomogram extracted from anatomical, diffusion, and perfusion images based on MRI can predict complete tumor response in patients with greater predictive efficacy than the utility of them alone in rectal cancer. The combination of the clinical features, MRI-derived methods, and other multiple imaging tools, which incorporate F-FDG PET/CT imaging also may achieve a greater diagnostic efficacy. Radiomics could predict response to therapy with diagnostic performance comparable to highly experienced radiologists, which indicates that radiomics has strong potential to be a decision-making tool in the clinical setting. Moreover, with the application of artificial intelligence, some recent studies found that the utility of deep learning models may enhance diagnostic performance for predicting complete response in rectal cancer. Nevertheless, the value of these methods has only been proven in a few studies, further strict and large multicenter research is still needed.

Conclusion
The evaluation of complete response is important in rectal cancer, and several MRI-derived methods are promising in predicting and identifying complete tumor response (Figure 7, Table 1). When considering patients with complete response in rectal cancer, strict criteria should be applied, especially the specificity of parameters. The misdiagnosis of complete tumor response may lead to tumor recurrence and poor prognosis. Although MRI is already the most used tool for evaluating rectal cancer, current MRI evaluation methods still have limitations. And the accurate evaluation of complete tumor response cannot be completely dependent on MRI alone currently. Researchers have found some promising MR parameters in assessing complete response of rectal cancer. And the development of new techniques, such as MRI-derived textural analysis, radiomics analysis, and deep learning, may enhance diagnostic performance, which incorporate the clinical features which may help MRI become a non-invasive and reliable decision-making tool in evaluating complete response of rectal cancer, but further exploration with larger sample sizes is still needed.

Author Contributions
All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

Disclosure
The authors report no conflicts of interest in this work.

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