Comparison of Four Bleeding Risk Scores to Identify Rivaroxaban-treated Patients With Venous Thromboembolism at Low Risk for Major Bleeding

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Abstract

Objectives: Outpatient treatment of acute venous thromboembolism (VTE) requires the selection of patients with a low risk of bleeding during the first few weeks of anticoagulation. The accuracy of four systems, originally derived for predicting bleeding in VTE treated with vitamin K antagonists (VKAs), was assessed in VTE patients treated with rivaroxaban.

Methods: All patients treated with rivaroxaban in the multinational EINSTEIN deep vein thrombosis (DVT) and pulmonary embolism (PE) trials were included. Major bleeding was defined as ≥2 g/dL drop in hemoglobin or ≥2-unit blood transfusion, bleeding in critical area, or bleeding contributing to death. The authors examined the incidence of major bleeding in patients with low-risk assignment by the systems of Ruiz-Gimenez et al. (score ≥ 1), Beyth et al. (score = 0), Kuiper et al. (score = 0), and Landefeld and Goldman. (score ≥ 0). For clinical relevance, the definition of low risk for all scores except Kuiper includes all patients < 65 years with no prior bleeding history and no comorbid conditions (current cancer, renal insufficiency, diabetes mellitus, anemia, prior stroke, or myocardial infarction).

Results: A total of 4,130 patients (1,731 with DVT only, 2,399 with PE with or without DVT) were treated with rivaroxaban for a mean (±SD) duration of 207.6 (±95.9) days. Major bleeding occurred in 1.0% (40 of 4,130; 95% confidence interval [CI] = 0.7% to 1.3%) overall. Rates of major bleeding for low-risk patients during the entire treatment period were similar: Ruiz-Gimenez et al., 12 of 2,622 (0.5%; 95% CI = 0.2% to 0.8%); Beyth et al., nine of 2,249 (0.4%; 95% CI = 0.2% to 0.8%); Kuiper et al., four of 1,186 (0.3%; 95% CI = 0.1% to 0.9%); and Landefeld and Goldman, 11 of 2,407 (0.5%; 95% CI = 0.2% to 0.8%). At 30 days, major bleed rates for low-risk patients were as follows: Ruiz-Gimenez et al., five of 2,622 (0.2%; 95% CI = 0.1% to 0.4%); Beyth et al., five of 2,249 (0.2%; 95% CI = 0.1% to 0.5%); Kuiper et al., three of 1,186 (0.3%; 95% CI = 0.1% to 0.7%); and Landefeld and Goldman, seven of 2,407 (0.3%; 95% CI = 0.1% to 0.6%). No low-risk patient had a fatal bleed.
Three systematic reviews in patients with pulmonary embolism (PE) have suggested a very low rate of recurrent venous thromboembolism (VTE) associated with home treatment in patients identified as low risk by validated prognostic scores (e.g., Pulmonary Embolism Severity Index, Hestia criteria). However, there is uncertainty about the risk of major bleeding during the initial treatment as the third systematic review observed a high relative risk of major bleeding, albeit statistically nonsignificant (relative risk = 4.91, 95% confidence interval [CI] = 0.24 to 101.57; p = 0.30).5

The transformation of the payment structure for health care in the United States toward a fixed-sum model (e.g., accountable care organization) provides an economic incentive to treat as many VTE patients as safely possible at home. The commercial introduction of direct oral anticoagulants for treatment of VTE has facilitated the ability to treat patients with acute symptomatic deep vein thrombosis (DVT) and PE in the outpatient setting (clinic, emergency department, office or urgent care) without hospitalization.4 However, reluctance for outpatient treatment could be based on the uncertainty about the risk of major bleeding for acute patients treated with direct oral anticoagulants. Accordingly, validated clinical criteria are needed to help clinicians select patients with DVT and PE who are not only at a low risk for recurrent VTE, but also at a low risk of major bleeding.

At least four scoring systems (Landefeld and Goldman,5 Beyth et al.,6 Kuijer et al.,7 and Ruiz-Gimenez et al.8) have been derived and initially validated to predict risk of major bleeding after starting vitamin K antagonist (VKA) treatment for VTE.5-8 All of these tools share common predictors, including age, comorbid conditions and, with exception of Kuijer et al., prior bleeding history. Details of the individual scoring systems are provided in Table 1. To our knowledge, no bleeding score has been tested in patients treated with direct oral anticoagulants.

Taking advantage of data from the EINSTEIN DVT and EINSTEIN PE clinical trials, we sought to measure the incidence of major bleeding for patients treated with rivaroxaban who had a low risk for major bleeding according to these scores.5-11 In addition, we present these incidences for patients who did not have low bleeding risk scores and for patients who were treated with enoxaparin/VKAs.

METHODS

Study Design

This was a secondary analysis of data pooled from EINSTEIN DVT and EINSTEIN PE, which were multinational, phase III randomized, open-label trials. Both trials (NCT numbers 00439777 and 00440193) were sponsored by Bayer Healthcare and Ortho-McNeil.9-11 Protocols were approved by institutional review boards, and patients provided written informed consent.

Study Setting and Population

Patients were potentially eligible if they had objectively confirmed symptomatic DVT and/or PE. Patients were excluded for the following reasons: 1) received a therapeutic dose of low-molecular-weight heparin, fondaparinux, or unfractionated heparin for more than 48 hours; 2) received more than a single dose of a VKA; 3) the qualifying VTE having been treated with thrombectomy, a vena cava filter, or fibrinolytic therapy; 4) any contraindication listed in the local labeling of enoxaparin, warfarin, or acenocoumarol; 5) an estimated creatinine clearance < 30 mL/min; 6) clinically significant liver disease (e.g., acute hepatitis, chronic active hepatitis, or cirrhosis); 7) systolic blood pressure greater than 180 mm Hg or diastolic blood pressure greater than 110 mm Hg; 8) childbearing potential without proper contraceptive measures, pregnancy, or breast-feeding; 9) concomitant use of strong cytochrome P-450 3A4 inhibitors (e.g., human immunodeficiency virus protease inhibitors or systemic ketoconazole) or inducers (e.g., rifampicin, carbamazepine, or phenytoin); and 10) life expectancy of less than 3 months.

Study Protocol

Patients assigned to rivaroxaban were given 15 mg orally twice daily for the first 3 weeks, followed by 20 mg once daily for the intended treatment duration of 3, 6, or 12 months, as determined locally. Patients who were assigned to standard therapy received subcutaneous enoxaparin, 1.0 mg/kg body weight twice daily, and either warfarin or acenocoumarol, started within 48 hours after randomization. Enoxaparin was discontinued when the international normalized ratio (INR) was 2.0 or more for two consecutive days and the patient had received at least 5 days of enoxaparin treatment. The dose of the VKA was adjusted to maintain an INR of 2.0 to 3.0. The INR was determined at least once per month.

Surveillance for Bleeding. In both studies, patients were followed for the intended treatment duration and seen at fixed intervals that were identical for the rivaroxaban and comparison groups, at which time a checklist that included specific questions about bleeding, including its location, severity, and treatment, was completed. Patients were instructed to report to the study center immediately if any bleeding occurred. Bleeding was defined as major if it was clinically overt and...
associated with a decrease in hemoglobin level of ≥2.0 g/dl; if bleeding led to the transfusion of ≥2 units of red cells; or if bleeding was intracranial or retroperitoneal, occurred in another critical site, or contributed to death. Clinically relevant nonmajor bleeding was defined as overt bleeding that did not meet the criteria for major bleeding but was associated with medical intervention, unscheduled contact with a physician, interruption or discontinuation of study drug, or discomfort or impairment of activities of daily life.

**Results**

In the pooled sample, a total of 8,281 patients with valid informed consent were randomized at 314 sites in 38 countries. Figure 1 shows the flow of patients, of whom 4,130 received treatment with rivaroxaban and 4,116 enoxaparin/VKA, for mean (±SD) durations of 207.6 (±95.9) and 203.8 (±97.4) days, respectively. Table 1 shows the distribution of the components of the four scoring systems, including the numbers of missing data. No patients had recent bleeding as this was an exclusion to enrollment. Table 2 shows the demographic features and past medical history of patients with DVT only (EINSTEIN DVT) or PE with or without DVT (EINSTEIN PE) and the pooled sample. The proportions of patients with older age, recent surgery, active cancer, and immobility were not different between the treatment groups and between the EINSTEIN DVT and EINSTEIN PE studies.

Overall, major bleeding occurred in 40 of 4,130 (1.0%, 95% CI = 0.7% to 1.3%) patients treated with rivaroxaban during the entire study period and occurred at a mean (±SD) of 69 (±72.5) days (median 43 days, interquartile range = 7.5–117.5 days) of treatment. Of the patients treated with rivaroxaban, 2,622 (63.6%), 2,249 (54.5%), 1,186 (28.7%), and 2,407 (58.3%) qualified for the low-risk categories according to the scoring systems.
systems of Ruiz-Gimenez et al., Beyth et al., Kuijer et al., and Landefeld and Goldman, respectively. The incidences of major bleeding for the entire treatment period in these patients were as follows: Ruiz-Gimenez et al., 12 of 2,622 (0.5%, 95% CI = 0.2% to 0.8%); Beyth et al., nine of 2,249 (0.4%, 95% CI = 0.2% to 0.8%); Kuijer et al., four of 1,186 (0.3%, 95% CI = 0.1% to 0.9%); and Landefeld and Goldman, 11 of 2,407 (0.5%, 95% CI = 0.2% to 0.8%). Incidences of major bleeding during the entire treatment period in other risk categories and in the enoxaparin/VKA group are given in Table 3. Only one low-risk enoxaparin/VKA-treated patient had fatal bleeding.

The rates of clinically relevant nonmajor bleeding for the different risk categories according to time at risk (entire study period, first 30 days) are shown in Table 4. In general, patterns were similar to the results observed for major bleeding and the risks of clinically relevant

Figure 1. Flow diagram showing numbers of patients enrolled and their bleeding-related outcomes in each treatment arm. VKA = vitamin K antagonist.

Table 2
Demographic and Clinical Characteristics

| Characteristic                      | EINSTEIN DVT | EINSTEIN PE | EINSTEIN Pooled |
|-------------------------------------|--------------|-------------|-----------------|
|                                     | Rivaroxaban  | Heparin/VKA | Rivaroxaban     | Heparin/VKA | Rivaroxaban | Heparin/VKA |
|                                     | (N = 1,731)  | (N = 1,718) | (N = 2,419)     | (N = 2,413) | (N = 4,150) | (N = 4,131) |
| Male sex                            | 993 (57.4)   | 967 (56.3)  | 1309 (54.1)     | 1247 (51.7) | 2302 (55.5) | 2214 (53.6) |
| Age (yr)                            | 55.8 ± 16.4  | 56.4 ± 16.3 | 57.9 ± 17.3     | 57.5 ± 17.2 | 57.0 ± 17.0 | 57.0 ± 16.8 |
| Race (white)                        | 1,327 (76.7) | 1,319 (76.8)| 1,585 (65.8)    | 1,587 (65.8)| 2,912 (70.2)| 2,906 (70.3)|
| Recent surgery or trauma            | 338 (19.5)   | 335 (19.5)  | 415 (17.2)      | 398 (16.5)  | 753 (18.1)  | 733 (17.7) |
| Previous VTE                        | 336 (19.4)   | 330 (19.2)  | 455 (18.8)      | 489 (20.3)  | 791 (19.1)  | 819 (19.8) |
| Active cancer                       | 118 (6.8)    | 89 (5.2)    | 114 (4.7)       | 109 (4.5)   | 232 (5.6)   | 198 (4.8)  |
| Immobilization                      | 265 (15.3)   | 260 (15.1)  | 384 (15.9)      | 380 (15.7)  | 649 (15.6)  | 640 (15.5) |
| Known thrombophilic condition       | 107 (6.2)    | 116 (6.8)   | 138 (5.7)       | 121 (5.0)   | 246 (5.9)   | 237 (5.7)  |
| Unprovoked VTE                      | 1,055 (60.9)| 1,083 (63.0)| 1,566 (64.7)    | 1,551 (64.3)| 2,621 (63.1)| 2,634 (63.8)|
| Duration of treatment (days)*       | 193.6 ± 89.3 | 197.5 ± 92.5| 216.3 ± 98.7    | 214.3 ± 98.9| 207.6 ± 95.9| 203.8 ± 97.4|

Data are shown as n (%) or mean ± SD.
*Duration of actual study treatment after randomization until end of treatment (safety population).
DVT = deep venous thrombosis; PE = pulmonary embolism; VKA = vitamin K antagonist; VTE = venous thromboembolism.
Table 3
Rate of Major Bleeding According to Score, Observation Period, and Treatment

| System                        | Rivaroxaban                          | H/VKA                                      |
|-------------------------------|---------------------------------------|--------------------------------------------|
|                               | Subjects per Score Category, n (%)    | Number During Days 1–30 (%) (95% CI)       | Number During Entire Study Period (%) (95% CI) |
|                               | (N = 4,130)                           |                                            |                                             |
| Ruiz-Gimenez et al.           | Low (0–1) 2,622 (63.6)                | 5 (0.2; 0.1–0.4)                           | 12 (0.5; 0.2–0.7)                           |
| Beyth et al.                  | Moderate (15–2) 569 (13.8)            | 5 (0.9; 0.3–2.0)                           | 8 (1.4; 0.4–2.4)                            |
| Kuijer et al.                 | High (≥3) 939 (22.7)                  | 7 (0.7; 0.3–1.5)                           | 20 (2.1; 1.2–3.1)                           |
| Landefeld and Goldman         | Low (0) 2,249 (54.5)                  | 5 (0.2; 0.1–0.5)                           | 9 (0.4; 0.2–0.8)                            |
|                               | Moderate (1–2) 1,850 (44.8)           | 12 (0.6; 0.3–1.1)                          | 31 (1.7; 1.1–2.3)                           |
|                               | High (≥2) 31 (0.8)                    | 0                                          | 0                                           |
| Goldman                       | Low (0) 1,186 (28.7)                  | 3 (0.3; 0.1–0.7)                           | 4 (0.3; 0.1–0.9)                            |
|                               | Moderate (1–3) 2,726 (66.0)           | 12 (0.4; 0.2–0.8)                          | 33 (1.2; 0.8–1.7)                           |
|                               | High (≥3) 218 (5.3)                   | 2 (0.9; 0.1–3.2)                           | 3 (1.4; 0.3–0.9)                            |
|                               | Low (0) 2,407 (58.3)                  | 7 (0.3; 0.1–0.6)                           | 11 (0.5; 0.2–0.8)                           |
|                               | Moderate (1–2) 1,694 (41.0)           | 10 (0.6; 0.3–1.1)                          | 29 (1.1; 1.7–2.4)                           |
|                               | High (≥2) 29 (0.7)                    | 0                                          | 0                                           |

H/VKA = heparin/vitamin K antagonist.

Table 4
Rate of Clinically Relevant Nonmajor Bleeding According to Score, Observation Period, and Treatment

| System                        | Rivaroxaban                          | H/VKA                                      |
|-------------------------------|---------------------------------------|--------------------------------------------|
|                               | Subjects per Score Category, n/N (%)  | Number During Entire Study Period (%) (95% CI) |
|                               | (N = 4,130)                           |                                            |                                             |
| Ruiz-Gimenez et al.           | Low (0–1) 2,622 (63.6)                | 180 (6.9; 5.9–7.8)                         | 2,638 (64.1)                                |
| Beyth et al.                  | Moderate (15–2) 569 (13.8)            | 56 (8.5; 7.4–8.6)                          | 617 (15.0)                                  |
| Kuijer et al.                 | High (≥3) 939 (22.7)                  | 121 (10.9; 10.7–15.0)                      | 861 (20.9)                                  |
| Landefeld and Goldman         | Low (0) 2,249 (54.5)                  | 159 (7.1; 5.6–7.7)                         | 2,274 (55.2)                                |
|                               | Moderate (1–3) 1,850 (44.8)           | 194 (10.5; 8.3–10.8)                       | 1,816 (44.1)                                |
|                               | High (≥3) 31 (0.8)                    | 4 (12.9; 3.2–26.7)                         | 26 (0.6)                                    |
| Goldman                       | Low (0) 1,186 (28.7)                  | 65 (5.5; 4.0–6.6)                          | 1,157 (28.1)                                |
|                               | Moderate (1–2) 2,726 (66.0)           | 140 (5.1; 4.1–5.7)                         | 2,778 (67.5)                                |
|                               | High (≥3) 25 (0.7)                    | 5 (11.5; 6.8–14.8)                         | 27 (1.7; 0.9–23.5)                          |

nonmajor bleeding were lowest in those patients qualifying for “low risk,” according to the scoring system.

**DISCUSSION**

Patients with VTE who were treated with rivaroxaban, and who were at low risk for major bleeding based on scores developed for bleeding during VKA treatment, had no fatal bleeding and a low incidence of major bleeding, with the upper limit of the 95% CI excluding an incidence of higher than 1%. This result was observed for both the initial 30-day and the entire treatment period and was consistent among all four evaluated bleeding risk scoring systems. The scoring systems according to Ruiz-Gimenez et al. classified the highest number of patients as low risk and had the lowest incidence of major bleeding during the initial 30 days of treatment.

From a clinician’s stance, the important message of this analysis is that VTE patients under age 65 years with normal renal function, no anemia or history of overt bleeding, and no history of cancer or stroke, have a low 30-day risk to develop major bleeding when treated with rivaroxaban. The criteria for all scores can be obtained at the bedside and from routinely performed laboratory studies (complete blood count and renal function studies). Interestingly, the incidences of major bleeding in all low-risk categories of the four scoring systems were consistently lower in rivaroxaban recipients compared to those receiving standard anticoagula-
tion with enoxaparin, followed by VKA. In this latter group, the upper limit of the 95% CI of major bleeding in the low-risk categories of the four scores did not exclude an incidence of major bleeding of more than 1%, despite the relative large number of patients in these categories.

In current practice, physicians admit most patients with acute PE diagnosed in the outpatient or emergency care setting. However, it should be realized that many patients who have acute symptomatic DVT, including those who are currently treated on an outpatient basis, may also have concomitant asymptomatic PE. Indeed, there are risk scores to identify PE patients at low risk of recurrence who might be treated at home. In an unselected cohort of 291 patients with PE, seven (2.4%) deteriorated during the first 5 days primarily due to bleeding on heparin-VKA. The current analysis suggests that the application of a simple bleeding risk score could reduce this proportion substantially. Strengths of our analyses include the prospective data collection, monitoring for source verification, completeness of follow-up, and the independent assessment of outcomes.

LIMITATIONS

Limitations include the fact that this analysis, despite prospective data collection, was defined retrospectively and patients were not managed based on their bleeding risk scores. In addition, baseline data were missing in a fraction of patients for hemoglobin and creatinine, and we assumed that these values in those patients were normal. This constitutes a conservative bias. Moreover, some items of the bleeding risk score were not specifically asked for but were part of the elicited general medical history and were assumed to be present or absent based on this information only, which could have added noise. Finally, we analyzed major bleeding during the first 30 days of treatment and the entire treatment period, while physicians for their decision making might be most interested in major bleeding that occurs very early during treatment. It should be noted that all four scoring systems only require standard clinical data available from the patient or medical records.

Potential limitations to adoption include the fact that these data represent the result of patients who consented to participate in a randomized trial and were then monitored closely as part of the study protocol. It is possible that the EINSTEIN sample has fewer comorbidities and higher compliance rate than would occur in a real-world setting. For example, the Ruiz-Gimenez score was ≤1 in 64% (95% CI = 63% to 65%) of all patients in the present study, which appears to be significantly higher than the 44% (95% CI = 43% to 46%) who were low risk in the RIETE registry. Additionally, the present data do not assess risk of bleeding in later years of treatment.

CONCLUSIONS

All four scoring systems derived to predict low bleeding risk with vitamin K antagonist treatment excluded a higher than 1% risk of major bleeding in the low-risk category within the entire treatment period with rivaroxaban. The Ruiz-Gimenez et al. score ≤ 1 occurred in the largest fraction of patients and had the lowest rate of major bleeding at 30 days. This score could be used to supplement other criteria to select venous thromboembolism patients at low risk for complications for outpatient treatment with rivaroxaban

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