Chromosomal-level genome assembly of the orchid tree *Bauhinia variegata* (Leguminosae; Cercidoideae) supports the allotetraploid origin hypothesis of *Bauhinia*

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Abstract

Cercidoideae, one of the six subfamilies of Leguminosae, contains one genus *Cercis* with its chromosome number $2n = 14$ and all other genera with $2n = 28$. An allotetraploid origin hypothesis for the common ancestor of non-*Cercis* genera in this subfamily has been proposed; however, no chromosome-level genomes from Cercidoideae have been available to test this hypothesis. Here, we conducted a chromosome-level genome assembly of *Bauhinia variegata* to test this hypothesis. The assembled genome is 326.4 Mb with the scaffold N50 of 22.1 Mb and contains 37,996 protein-coding genes. The Ks distribution between gene pairs in the syntenic regions indicates two whole-genome duplications (WGDs): one is *B. variegata*-specific, and the other is shared among core eudicots. Although Ks between gene pairs generated by the recent WGD in *Bauhinia* is greater than that between *Bauhinia* and *Cercis*, the WGD was not detected in *Cercis*, which can be explained by an accelerated evolutionary rate in *Bauhinia* after divergence from *Cercis*. Ks distribution and phylogenetic analysis for gene pairs generated by the recent WGD in *Bauhinia* and their corresponding orthologs in *Cercis* support the allopolyploidy origin hypothesis of *Bauhinia*. The genome of *B. variegata* also provides a genomic resource for dissecting genetic basis of its ornamental traits.

Key words: *Bauhinia variegata*, genome assembly, whole-genome duplication, allopolyploidization, rapid evolution

1. Introduction

Leguminosae is an economically and agronomically important family, with six subfamilies (Papilionoideae, Caesalpinioideae, Detarioideae, Cercidoideae, Dialioideae and Duparquetioideae), ca. 770 genera and 20,000 species.¹,² Some legumes are major sources of plant protein and micronutrients, and have been used as high-quality food and fodder.³
Many legumes show high horticultural value and have been cultivated throughout the world. Given their economical and agronomical significance, genome sequencing has been conducted for quite a few legumes, mainly from Papilionoideae, including *Glycine max,*  
*Caesalpinia*  
*Arachis hypogaea,*  
*Pisum sativum,*  
*Lotus japonicus*  
and *Medicago truncatula.*  
In contrast, draft genome sequences are available for only two species (*Mimosa pudica* and *Chamaecrista fasciculata*) of Caesalpinioideae and one species (*Cercis canadensis*) of Cercidoideae.  
Whole-genome duplication (WGD) plays important roles in plant genome evolution and diversification.  
A previous study showed that a WGD occurred in the common ancestor of all papilionoids (i.e. Papilionoideae) and several independent WGDs near the base of Caesalpinioideae, Detarioideae and Cercidoideae.  
Cercidoideae is the earliest-diverging subfamily among the six subfamilies of Leguminosae. Here, we assembled the chromosomal-level genome of *Bauhinia variegata* using PacBio and Illumina sequencing, and Hi-C scaffolding technologies. Genome evaluation and annotation, phylogenomic analysis, gene family evolution and intra- and inter-genome synteny analysis were performed. We aimed to test the hypothesis of the allo-tetraploid origin of *Bauhinia* with the high-quality genome.

2. Materials and methods

2.1. Sampling and sequencing

Samples of an individual of *B. variegata* used for whole-genome and transcriptome sequencing were obtained from Sun Yat-sen University campus, Guangzhou, China. Genomic DNA was extracted from the leaves. RNAs were isolated from four fresh tissues, i.e. flower, fruit, leaf and root. A DNA library with an insert size of 30 kb was constructed and then sequenced on the PacBio Sequel II System and 175.4 Gb reads were generated. To perform the genome survey, a short genome fragment library with an insert size of 350 bp was constructed and then sequenced on an Illumina NovaSeq platform, and 48.8 Gb paired-end reads of 150 bp were generated. Transcriptome sequencing was also conducted on the same Illumina NovaSeq platform and about 6 Gb sequence data were generated for each tissue.

For high-throughput Chromatin Conformation Capture (Hi-C), fresh leaves were cut into small pieces and infiltrated in 2% formaldehyde. Glycine was added to stop crosslinking. The tissue was ground to powder and nuclei isolation buffer was then added to obtain a nuclei suspension. Nuclei were digested with HindIII restriction endonuclease. DNA fragments of 150–300 bp were purified, and PCR amplification was performed after adapters were ligated to the Hi-C products. The PCR products were purified, and the Hi-C libraries were quantified by quantitative PCR for Illumina HiSeq X Ten sequencing. Finally, a total of 31.3 Gb paired-end reads of 150 bp were generated.

2.2. Genome size estimation

Genome survey analysis was performed using clean Illumina reads filtered by fastp 0.20.1 and FastUniq with default parameters. K-mers were counted and k-mer count histogram was produced with Jellyfish v.2.3.0 for 48.8 Gb Illumina reads with k-mer length of 17. Genome size was estimated based on k-mer frequency distributions by GenomeScope 1.0 (https://qb.cshl.edu/genomescope/).

2.3. Genome assembly

The PacBio reads were corrected, trimmed and assembled into contigs using Canu v2.0 with the parameters correctedErrorRate = 0.035 and minReadLength = 2,000. The primary assembly was polished by referring to the PacBio reads and Illumina reads with NextPolish 1.2.0 with default parameters. Finally, haplotigs and contig overlaps in the polished assembly were purged based on read depth using Purge_Dups (https://github.com/dfguan/purge_dups).

Hi-C unique reads were used to scaffold the PacBio assembly contigs using 3D-DNA pipeline. Hi-C scaffolding based on the chromatin contact matrix in Juicebox.

2.4. Genome quality evaluation

The quality of the *B. variegata* genome was further evaluated based on eudicotsodb10 database (2326 BUSCOs) and fabales_odb10 database (5366 BUSCOs) using Benchmarking Universal Single-Copy Orthologs (BUSCO) programme with default parameters. The same evaluation was also performed for the genomes of *C. canadensis,* *C. fasciculata,* *G. max* and *M. truncatula.*

2.5. Genome annotation

Known repeat sequences were identified by RepeatMasker v 4.1.1 (http://www.repeatmasker.org) with the Repbase library. A de noo repeat library was constructed using RepeatModeler v 2.0.1. RNA-seq data from four tissues were mapped to the genome by HISAT2 merged by SAMtools, and then transcripts were extracted by StringTie v 2.1.3 and coding regions in the transcripts were predicted by TransDecoder (https://github.com/TransDecoder/TransDecoder). The training result of RepeatModeler and the coding sequence from TransDecoder v 5.5.0 were supplied to EDTA to identify repetitive sequences.

We predicted protein-coding genes using a combination of homologous-sequence search, *ab initio* gene prediction, and transcriptome-data comparison in an automatic genome annotation tool GETA v2.4.5 (https://github.com/cchenliang/geta). Illumina RNA-seq reads from different tissues were used to assemble transcripts and predict genes using HISAT2 and TransDecoder. Protein sequences from Swiss-Prot plant database (https://www.uniprot.org/) and four legumes (*Arachis hypogaea,* *G. max,* *M. truncatula* and *Vigna unguiculata*) (Table 1) were compared for homology-based prediction with GeneWise (https://www.ebi.ac.uk/~birney/wise2/). *Ab initio* prediction was performed in Augustus v3.3.3, trained with intron and exon information generated above. These prediction
results were integrated and then were searched against the Pfam database for screening to get the final gene prediction result. Functional annotation of genes was also performed by using InterProScan, 32, eggnog-mapper (http://eggnog-mapper.embl.de/), PANNZER2 23 and Mercator4 v3.0.31. The functional annotation results were then integrated by an in-house script.

The density of genes, repeats, genes located in syntenic regions (see below) and GC content in 14 pseudo-chromosomes were calculated in a 100-kb sliding window with BEDTools v2.30.035 and were plotted with Circos v 0.69.8.36

2.6. Phylogenomic analysis
The longest protein or transcript data from nine legume species (G. max, M. truncatula, L. japonicus and Xanthocercis zambesiaca from Papilionoideae; Acacia pycnantha, C. fasciculata and Gleditsia triacanthos from Caesalpinioideae; C. canadensis from Cercidioideae and Copaifera officinalis from Detarioideae) and one outgroup (Quillaja saponaria) were downloaded (Table 1). All-against-all comparison was performed in OrthoFinder2 37 with default parameters based on protein sequences of the 11 species. For each ortholog, the protein sequences were aligned using PRANK, 38 and then converted into nucleotide sequence alignments using pal2nal.pl script. 39 All the sequence alignments were then concatenated into a supermatrix, and used for phylogenomic analyses. ModelTest-NG40 with the YN model and the distribution of Ks values of all gene pairs was plotted using R package ggplot2. 48 Intragenomic synteny was plotted with Circos v 0.69.8.36

Meanwhile, inter-genomic synteny blocks between B. variegata and C. canadensis were searched, and the Ks values between syntenic gene pairs were calculated as stated above. To show the genomic synteny between the two species, syntenic regions between the 14 chromosomes of B. variegata and 11 longest contigs of C. canadensis were identified and plotted with MCScan pipeline.49

2.9. Testing the allopolyploidy origin hypothesis
To test the allopolyploidy origin hypothesis of Bauhinia, gene pairs with the Ks range of 25% greater and lower than the Ks peak value for the B. variegata-specific WGD were extracted, and each of the extracted gene pairs was randomly assigned to two groups (B1 and B2). Orthologs were identified respectively with OrthoFinder2 for each of the two groups and two closely related species (C. canadensis and C. fasciculata). Shared single copy orthologs for the two groups were used for further analyses.

Amino acid sequences of each single-copy ortholog (homeologous B1 and B2 for B. variegata and their corresponding ortholog in C. canadensis) were aligned with MAFFT v 6.8.40 and then converted into nucleotide sequences using ParaAT. 51 Ks values between B1 and B2, B1 and C. canadensis, and B2 and C. canadensis for each gene were calculated using the same method mentioned above. Ks distribution was plotted by R package ggplot2.

For phylogenetic analysis among B1, B2 and C. canadensis, one maximum likelihood tree was constructed with RAxML-NG43 based on coding region sequences of each single copy ortholog, with C. fasciculata as an outgroup. The number of each tree topology was counted.

3. Results and discussion

3.1. Genome assembly and assembly quality assessment
We generated 175.4 Gb PacBio and 48.8 Gb Illumina reads from an individual of B. variegata and used them to assemble its genome. Genome survey of Illumina reads indicated that B. variegata has a genome size of 327.00 Mb (Fig. 1A). We obtained a genome assembly of 411 contigs with a total size of 326.4 Mb (Table 2), representing 99.8% of the estimated genome size. 92.2% (300.8 Mb) of sequences were anchored to the 14 pseudo-chromosomes based on the Hi-C data. The scaffold N50 and contig N50 are 22.09 Mb and 4.55 Mb, respectively. The overall GC content of the B. variegata genome is 35.0% (Table 2). This is the first chromosomal-level genome assembly for the subfamily Cercidioideae. Bauhinia variegata has the second smallest genome size among legumes with available genome

| Table 1. Sources of genomic and transcriptomic data of other species included in the study |
|-----------------|-----------------|------------------|
| Species         | Sequence type   | Source           |
| Glycine max     | Genomic         | Phytozone        |
| Medicago truncatula | Genomic        | Phytozone        |
| Lotus japonicus | Genomic         | Phytozone        |
| Vigna unguiculata| Genomic         | Phytozone        |
| Cercis canadensis| Genomic         | GigaDB           |
| Chamaecrista fasciculata | Genomic | GigaDB           |
| Acacia pycnantha | Transcriptomic  | http://www.onekp.com |
| Copaifera officinalis | Transcriptomic | http://www.onekp.com |
| Gleditsia triacanthos | Transcriptomic | http://www.onekp.com |
| Quillaja saponaria | Transcriptomic  | http://www.onekp.com |
| Xanthocercis zambesiaca | Transcriptomic | http://www.onekp.com |
The BUSCO analysis recovered 2,297 (98.7%) universal single copy genes of eudicots_odb10 dataset (2,326 genes) and 5,043 (94.0%) of fabales_odb10 (5,366 genes) in *B. variegata* (Fig. 1), indicating high completeness of the genome assembly. Comparative analysis among 10 legumes showed that *B. variegata* had the second highest proportion of duplicated complete BUSCOs (24.2% in eudicots_odb10 and 36.2% in fabales_odb10), only lower than soybean (58.2% and 62.5%, respectively), which has experienced two WGDs after the origin of legumes. The high proportion of duplicated BUSCOs in *B. variegata* implies that there might be WGD(s) in this species (see below).

### 3.2. Genome annotation

Transposable elements took up 27.2% of the *B. variegata* genome (Table 2; Fig. 2c), including 8.6% LTR (4.2% Gypsy, 2.6% Copia and 1.9% others) and 12.0% TIR. Tandem repeat took up 0.64% of the genome. We identified 37,996 protein-coding genes in *B. variegata* based on de novo prediction, transcript evidence and homology with other known plant proteins (Table 2; Fig. 2b); 93.9% of the predicted genes were functionally annotated by at least one of the four databases (Table 2). The mean exon and intron sizes are 297.5 bp and 382.5 bp, respectively (Table 2).

### Table 2. Statistics of the genome assembly for *Bauhinia variegata*

| Assembly features |   |
|-------------------|---|
| Genome size (bp)  | 326,375,084 |
| GC content        | 34.95%   |
| Scaffolds number  | 411      |
| Scaffold N50 (bp) | 22,089,475 |
| Scaffold L50      | 7        |
| Contig N50 (bp)   | 4,549,988 |
| Contig L50        | 21       |

| Annotation features |   |
|---------------------|---|
| Number of predicted gene models | 37,996 |
| Mean of exon number per gene | 5.4 |
| Mean of exon length (bp) | 297.5 |
| Mean of intron length (bp) | 382.5 |
| Repeat content (% of the genome assembly) | 27.22% |

| Functional annotation |   |
|-----------------------|---|
| Total number of annotated genes | 35,659 |
| Number of genes annotated by InterProScan | 35,189 |
| Number of genes annotated by EggnoG | 34,601 |
| Number of genes annotated by Pannzer2 | 29,589 |
| Number of genes annotated by Mercator4 | 26,311 |

N50: sequence length of the shortest contig/scaffold at 50% of the total genome length.
L50: the smallest number of contigs/scaffolds whose length sum makes up half of genome size.
3.3. Phylogenetic analyses and gene family evolution

We constructed a maximum likelihood tree for 10 legumes (G. max, M. truncatula, L. japonicus and X. zambesiaca from Papilionoideae; A. pycnantha, C. fasciculata and G. triacanthos from Caesalpinioideae; B. variegata and C. canadensis from Cercidoideae and C. officinalis from Detarioideae) based on 129 single-copy genes, with Q. saponaria as an outgroup. The tree topology is consistent with previous studies\(^1\) and confirms that Bauhinia is close to Cercis (Fig. 3A). Interestingly, B. variegata has a much longer (> 3-fold) branch length than C. canadensis after their divergence.

Protein sequences of the 11 species were clustered into 54,370 orthogroups, with 25,927 orthogroups with two or more members. As shown in the Venn diagram (Fig. 3B), a total of 9,119 orthogroups were shared among five legumes (B. variegata, C. canadensis, C. officinalis, C. fasciculata and G. max), and B. variegata contains 732 unique orthogroups. The estimated divergence time between B. variegata and C. canadensis was 35.9 million years ago (Ma). Gene family expansion and contraction analysis identified 369 significantly expanded and 82 significantly contracted (\(P < 0.05\)) gene families among 4,523 expanded and 345 contracted gene families of B. variegata, respectively (Fig. 3A). Compared with other legumes, B. variegata has the second highest number of expanded genes, only lower than G. max. KEGG pathway enrichment analysis indicated that significantly expanded gene families were enriched in pathways of stilbenoid, diarylheptanoid and gingerol biosynthesis, flavonoid biosynthesis, cyanogenic acid metabolism, monoterpeneoid biosynthesis, AGE-RAGE
signalling pathway in diabetic complications, tropane, piperidine and pyridine alkaloid biosynthesis, etc. (Fig. 3C), which may contribute to its biotic and abiotic resistance, and various petal colours.

3.4. Testing the allotetraploidy origin hypothesis of Bauhinia

Compared with Cercis, which has a chromosome number of \(2n = 14\), B. variegata has a chromosome number of \(2n = 28\) (CCDB; http://ccdb.tau.ac.il/). It implies that B. variegata should have undergone a WGD after divergence from Cercis. To verify this, we searched intra-genomic syntenic blocks in the B. variegata genome and identified 479 intra-genomic syntenic blocks that contain 15,791 genes pairs, with the longest block containing 969 gene pairs. On average, each syntenic block contains 33 homoeologous gene pairs. Collectively, these 479 syntenic blocks include 21,371 genes, indicating that 56.3\% of the predicted genes of B. variegata exhibit synteny-based signals.

The Ks (the number of substitutions per synonymous site) distribution between gene pairs on syntenic blocks between B. variegata and C. canadensis exhibit two peaks of 0.14 and 0.16 (Fig. 4B), much lower than Ks (0.22) between homoeologous gene pairs produced by the young WGD, suggesting the WGD might
have occurred before the divergence between *Bauhinia* and *Cercis* if the evolutionary rates for both genera are the same. However, most syntenic regions between *B. variegata* and *C. canadensis* correspond to a rate of 2:1 (Fig. 4C), suggesting that this WGD was specific to *B. variegata*. Therefore, a greater Ks value between gene pairs produced by the young WGD might be due to accelerated evolutionary rate of *Bauhinia* after it diverged from *Cercis*, as is also shown by much longer branch length than *Cercis* on the phylogenetic tree (Fig. 3A). There are two plausible scenarios (Fig. 5) for this and both scenarios involve accelerated evolutionary rate in *Bauhinia*: one is autopolyploidy in the ancestor of *Bauhinia* and the other is allopolyploidy between a progenitor of *Cercis* and another diverged diploid species (already extinct). The latter scenario has been proposed before. Our analyses support the latter scenario, as reasoned below.
First, the Ks distribution between each gene pairs of *B. variegata* produced by the young WGD and their corresponding ortholog of *C. canadensis* revealed two peaks at Ks = 0.14 and Ks = 0.16 (Fig. 4B), which suggests that the homolog pairs might not originate from the same *Bauhinia* lineage. The two peaks are also consistent with those obtained from gene pairs on syntenic blocks between *B. variegata* and *C. canadensis*, suggesting these genes of this type in *B. variegata* (showing a 1:1 ratio with *Cercis*) are remnants of duplicated genes due to homoeolog loss following the WGD. Second, phylogenetic analysis of 3,032 genes showed that one homolog of *Bauhinia* was sister to the ortholog of *Cercis* rather than the other homoeolog of *Bauhinia* for the majority of genes (73.9%, 75.7% and 74.7% genes when the bootstrap support values > 60, > 70 and > 80 are required, respectively). This is inconsistent with the model of autopolyploidy in the ancestor of *Bauhinia*, in which the two homoeologs of *Bauhinia* are expected to form sister to each other. Therefore, our genomic data support the allopolyploidy hypothesis for *Bauhinia variegata* (showing a 1:1 ratio with *Cercis variegata*), although it lacks the young WGD. We propose that genome downizing due to genetic diploidization following the WGD in *B. variegata* can accounts for this.

4. Conclusions

We provide the first high-quality chromosome-level genome for the subfamily Cercidoideae (Leguminosae). Based on the genome sequence, we identified two WGDs in *B. variegata*, a young WGD specific to *B. variegata* and an old one corresponding to the genome size (367 Mb) than *B. variegata*, although it lacks the young WGD. The high-quality genome assembly and annotation of *Bauhinia variegata* provides a valuable genomic resource for dissecting genetic basis of its ornamental traits and addressing other evolutionary and genetic questions in Cercidoideae and legumes in general.

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Conflict of interest

None declared.

Data availability

The high-quality genome assembly and annotation of *Bauhinia variegata* have been deposited in NCBI under the accession number: JAKRY10000000000 (BioProject accession: PRJNA801801). The repeats, gene annotation and the orthologs among 11 species obtained from OrthoFinder2 are available at https://doi.org/10.6084/m9.figshare.19298582.v1.

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