Comparison of Visual and Logical Character Segmentation in Tesseract OCR Language Data for Indic Writing Scripts

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Abstract

Language data for the Tesseract OCR system currently supports recognition of a number of languages written in Indic writing scripts. An initial study is described to create comparable data for Tesseract training and evaluation based on two approaches to character segmentation of Indic scripts; logical vs. visual. Results indicate further investigation of visual based character segmentation language data for Tesseract may be warranted.

1 Introduction

The Tesseract Optical Character Recognition (OCR) engine originally developed by Hewlett-Packard between 1984 and 1994 was one of the top 3 engines in the 1995 UNLV Accuracy test as “HP Labs OCR” (Rice et al 1995). Between 1995 and 2005 there was little activity in Tesseract, until it was open sourced by HP and UNLV. It was re-released to the open source community in August of 2006 by Google (Vincent, 2006), hosted under Google code and GitHub under the tesseract-ocr project. More recent evaluations have found Tesseract to perform well in comparisons with other commercial and open source OCR systems (Dhiman and Singh. 2013; Chattopadhyay et al. 2011; Heliński et al. 2012; Patel et al. 2012; Vijayarani and Sakila. 2015). A wide range of external tools, wrappers and add-on projects are also available including Tesseract user interfaces, online services, training and training data preparation, and additional language data.

Originally developed for recognition of English text, Smith (2007), Smith et al (2009) and Smith (2014) provide overviews of the Tesseract system during the process of development and internationalization. Currently, Tesseract v3.02 release, v3.03 candidate release and v3.04 development versions are available, and the tesseract-ocr project supports recognition of over 60 languages.

Languages that use Indic scripts are found throughout South Asia, Southeast Asia, and parts of Central and East Asia. Indic scripts descend from the Brāhmī script of ancient India, and are broadly divided into North and South. With some exceptions, South Indic scripts are very rounded, while North Indic scripts are less rounded. North Indic scripts typically incorporate a horizontal bar grouping letters.

This paper describes an initial study investigating alternate approaches to segmenting characters in preparing language data for Indic writing scripts for Tesseract; logical and a visual segmentation. Algorithmic methods for character segmentation in image processing are outside of the scope of this paper.

2 Background

As discussed in relation to several Indian languages by Govandaraju and Stelur (2009), OCR of Indic scripts presents challenges which are different to those of Latin or Oriental scripts. Recently there has been significantly more progress, particularly in Indian languages (Krishnan et al 2014; Govandaraju and Stelur. 2009; Yadav et al. 2013). Sok and Taing (2014) describe recent research in OCR system development for Khmer, Pujari and Majhi (2015) provide a survey

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1 The tesseract-ocr project repository was archived in August 2015. The main repository has moved from https://code.google.com/p/tesseract-ocr/ to https://github.com/tesseract-ocr
of Odia character recognition, as do Nishad and Bindu (2013) for Malayalam.

Except in cases such as Krishnan et al. (2014), where OCR systems are trained for whole word recognition in several Indian languages, character segmentation must accommodate inherent characteristics such as non-causal (bidirectional) dependencies when encoded in Unicode.²

2.1 Indic scripts and Unicode encoding

Indic scripts are a family of abugida writing systems. Abugida, or alphasyllabary, writing systems are partly syllabic, partly alphabetic writing systems in which consonant-vowel sequences may be combined and written as a unit. Two general characteristics of most Indic scripts that are significant for the purposes of this study are that:

- Diacritics and dependent signs might be added above, below, left, right, around, surrounding or within a base consonant.
- Combination of consonants without intervening vowels in ligatures or noted by special marks, known as consonant clusters.

The typical approach for Unicode encoding of Indic scripts is to encode the consonant followed by any vowels or dependent forms in a specified order. Consonant clusters are typically encoded by using a specific letter between two consonants, which might also then include further vowels or dependent signs. Therefore the visual order of graphemes may differ from the logical order of the character encoding. Exceptions to this are Thai, Lao (Unicode v1.0, 1991) and Tai Viet (Unicode v5.2, 2009), which use visual instead of logical order. New Tai Lue has also been changed to a visual encoding model in Unicode v8.0 (2015, Chapter 16). Complex text rendering may also contextually shape characters or create ligatures. Therefore a Unicode character may not have a visual representation within a glyph, or may differ from its visual representation within another glyph.

2.2 Tesseract

As noted by White (2013), Tesseract has no internal representations for diacritic marks. A typical OCR approach for Tesseract is therefore to train for recognition of the combination of characters including diacritic marks. White (2013) also notes that diacritic marks are often a common source of errors due to their small size and distance from the main character, and that training in a combined approach also greatly expands the larger OCR character set. This in turn may also increase the number of similar symbols, as each set of diacritic marks is applied to each consonant.

As described by Smith (2014), lexical resources are utilised by Tesseract during two-pass classification, and de Does and Depuydt (2012) found that word recall was improved for a Dutch historical recognition task by simply substituting the default Dutch Tesseract v3.01 word list for a corpus specific word list. As noted by White (2013), while language data was available from the tesseract-ocr project, the associated training files were previously available. However, the Tesseract project now hosts related files from which training data may be created.

Tesseract is flexible and supports a large number of control parameters, which may be specified via a configuration file, by the command line interface, or within a language data file.³ Although documentation of control parameters by the tesseract-ocr project is limited, a full list of parameters for v3.02 is available.⁴ White (2012) and Ibrahim (2014) describe effects of a limited number of control parameters.

2.2.1 Tesseract and Indic scripts

Training Tesseract has been described for a number of languages and purposes (White, 2013; Mishra et al. 2012; Ibrahim, 2014; Heliński et al. 2012). At the time of writing, we are aware of a number of publicly available sources for Tesseract language data supporting Indic scripts in addition to the tesseract-ocr project. These include Parichit,⁵ BanglaOCR ⁷ (Hasnat et al. 2009a and 2009b; Omee et al. 2011) with training files released in 2013, tesseractindic⁸, and myaocr⁹. Their Tesseract version and recognition languages are summarised in Table 1. These external projects also provide Tesseract training data in the form of TIFF image and associated coordinate ‘box’ files. For version 3.04, the tesseract-ocr project provides data from which Tesseract can generate training data.

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² Except in Thai, Lao, Tai Viet, and New Tai Lue

³ Language data files are in the form <xxx>.traineddata

⁴ https://code.google.com/p/tesseract-ocr/wiki/ControlParams

⁵ http://www.sk-spell.sk.cx/tesseract-ocr-parameters-in-302-version

⁶ https://code.google.com/p/Parichit/

⁷ https://code.google.com/p/banglaocr/

⁸ https://code.google.com/p/tesseractindic/

⁹ https://code.google.com/p/myaocr/
Sets of Tesseract language data for a given language may differ significantly in parameters including coverage of the writing script, fonts, number of training examples, or dictionary data.

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Table 1: Available Indic language data for Tesseract

| Project       | v. | Languages                          |
|---------------|----|------------------------------------|
| tesseract-ocr | 3.04 | Assamese, Bengali, Gujarati, Hindi, Marathi, Odia, Punjabi, Tamil, Myanmar, Khmer, Lao, Thai, Sinhala, Malayalam, Kannada, Telugu |
| myaocr        | 3.02 | Myanmar                            |
| Parichit      | 3.01 | Bengali, Gujarati, Hindi, Oriya, Punjabi, Tamil, Malayalam, Kannada, Telugu |
| tesseractindic | 2.04 | Hindi, Bengali, Malayalam          |
| BanglaOCR     | 2    | Bengali                            |

Table 2: Tesseract error rates * from Krishnan et al. (2014) ** from Smith (2014) *** from Smith et al (2009)

| Language | Ground truth (million) | Error rate (%) |
|----------|------------------------|----------------|
|          | char | words | char | word |
| Hindi *  | -    | 0.39  | 26.67 | 42.53 |
| Telugu * | -    | 0.2   | 32.95 | 72.11 |
| Hindi ** | 2.1  | 0.41  | 6.43  | 28.62 |
| Thai **  | 0.19 | 0.01  | 21.31 | 80.53 |
| Hindi ***| 1.4  | 0.33  | 15.41 | 69.44 |

2.2.2 Visual and logical character segmentation for Tesseract

As noted by White (2013) the approach of the tesseract-ocr project is to train Tesseract for recognition of combinations of characters including diacritics. For languages with Indic writing scripts, this approach may also include consonant-vowel combinations and consonant clusters with other dependent signs, and relies on character segmentation to occur in line with Unicode logical ordering segmentation points for a given segment of text. An advantage of this approach is that Unicode standard encoding is output by the OCR system.

An alternate approach in developing a training set for Tesseract is to determine visual segmentation points within the writing script. This approach has been described and implemented in several external language data projects for Tesseract, including Parichit, BanglaOCR, and myaocr. Examples of logical and two possible approaches to visual segmentation for selected consonant groupings are shown in Figure 1. A disadvantage of visual segmentation is that OCR text outputs may require re-ordering processing to output Unicode encoded text.

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10 Tesseract v3.03 or v3.04
11 Tesseract v3.00
12 Hindi and Arabic language data for Tesseract v3.02 used a standard conventional neural network character classifier in a ‘cube’ model. Although, Smith (2014) states that this model achieves ~50% reduction in errors on Hindi when run together with Tesseract’s word recognizer, the training code is unmaintained and unutilised, and will be removed from future tesseract-ocr versions.
13 Tesseract v3.02
14 The Khmer OCR project led by Mr. Danh Hong begun in 2012 is described by Mr. Ly Sovannra in Tan (2014) and at [http://www.khmertype.org](http://www.khmertype.org)
Mishra et al. (2012) describe creating language data for Hindi written in Devanagari script that implemented a visual segmentation approach in which single touching conjunct characters are excluded from the training set. Therefore, Tesseract language data could be created that included only two or more touching conjunct characters, basic characters and isolated half characters. This had the effect of reducing the Tesseract training set\(^{15}\) and language data size, and increasing recognition accuracy on a test set of 94 characters compared with the tesseract-ocr (Google) and Parichit language data as shown in Table 3.\(^{16}\)

| Language data | Training set size | Accuracy (%) |
|---------------|-------------------|--------------|
| tesseract-ocr v3.01 | 1729 | 45.2 |
| Parichit | 2173 | 22.3 |
| Mishra et al. (2012) | 786 | 90.9 |

Table 3: Comparison of training set, language data and accuracy from Mishra et al. (2012)

The implementation also included language-specific image pre-processing to ‘chop’ the Shirorekha horizontal bar connecting characters within words. This was intended to increase the likelihood of Tesseract system segmentation occurring at these points. Examples of words including Shirorekha are shown in Figure 2.

![Shirorekha level](image)

Figure 2: Examples of Shirorekha in Devanagari and Gurumukhi scripts

3 Comparison of visual and logical segmentation for Tesseract

An initial study was conducted to determine the potential of implementing a visual segmentation approach, compared to the logical segmentation approach in Tesseract for languages with Indic scripts. Languages written with Indic scripts that do not use the Shirorekha horizontal bar were considered. Re-ordering of OCR text outputs for visual segmentation methods is outside the scope of this study. The term glyph is used in this section to describe a symbol that represents an OCR recognition character, whether by logical or visual segmentation.

3.1 Method

This section describes ground truth and evaluation tools used, and the collection and preparation of glyph, Tesseract training, and OCR ground truth data. Three Indic languages were selected to estimate the potential for applying visual segmentation to further languages. Firstly, corpora were collected and analysed to compare glyphs found by each segmentation approach. Secondly, Tesseract recognition and layout accuracy was evaluated based on the coverage of those glyphs in the corpus. The accuracy of tesseract-ocr project v3.04 language data is also measured against the same ground truth data for a wider selection of Indic languages.

3.1.1 Glyph data

In order to estimate the number and distribution of glyphs in selected Indic languages, language specific corpora were sought. A web crawler was implemented using the crawler4j library\(^{17}\), which restricted the crawl domain to the seed URL. The boilerpipe\(^{18}\) library was then used to extract textual content from each web page. For each language, a corpus was then collected by using the relevant Wikipedia local language top page as the seed for the crawler.

The Lucene library\(^{19}\) was used to index corpus documents. Language specific processing was implemented supporting grouping of consonant-vowel combinations, consonant clusters and dependent signs into logical order glyphs. Additional processing to separate those groupings in line with the visual segmentation approach was also implemented.

Letters affected by visual segmentation in each language are shown in Table 4. In Khmer, there could theoretically be up to three coeng (U+17D2) in a syllable; two before and one after a vowel. Clusters with coeng after a vowel were not additionally segmented in this implementation. The number of glyphs according to each segmentation approach was then extracted from the index for each language. Similarly, in Mala-

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\(^{15}\) Defined in Tesseract the *.unicharset file within language data

\(^{16}\) It is not stated if text output re-ordering processing for Parichit recognition output was applied before accuracy was measured.

\(^{17}\) https://github.com/yasserg/crawler4j

\(^{18}\) https://github.com/kohlschutter/boilerpipe

\(^{19}\) https://lucene.apache.org/core/
yalam dependent vowels found between consonants in consonant ligatures were not segmented.

| Language | Letters |
|----------|---------|
| Khmer    | [කො] [කෝ] [කොෝ] [කොෝෝ] |
|          | [U+17BE - U+17C3, U+17C7, U+17C8] |
|          | [U+17C4 and U+17C5] |
| Malayalam| [평가] [평가] [평가] [평가] [평가] [평가] [평가] [평가] |
|          | [U+0D02, U+0D03, U+0D3E - U+0D4C, U+0D57] |
| Odia     | [평가] [평가] [평가] [평가] [평가] [평가] [평가] [평가] |
|          | [U+0B02, U+0B03, U+0B3E, U+0B40, U+0B47 - U+0B4C] |

Table 4: Letters and consonant clusters affected by visual segmentation processing per language

The size of corpus and number of glyphs according to logical segmentation is given in Table 5.

| Language | Text corpus (Mb) | Logical glyphs (million) |
|----------|------------------|---------------------------|
| Khmer    | 252              | 137.0                     |
| Malayalam| 307              | 134.8                     |
| Odia     | 68.9             | 96.6                      |

Table 5: Text corpus size and occurrences of logical glyphs per language

3.1.2 Tesseract training data

Tesseract training data was prepared for each language using the paired sets of glyph data described in section 3.1. An application was implemented to automatically create Tesseract training data from each glyph data set, with the ability to automatically delete dotted consonant outlines displayed when a Unicode dependent letter or sign is rendered separately. The implemented application outputs multi-page TIFF format images and corresponding bounding box coordinates in the Tesseract training data format.20

Tesseract training was completed using most recent release v3.02 according to the documented training process for Tesseract v3, excluding shapeclustering. The number of examples of each glyph, between 5 and 40 in each training set, was determined by relative frequency in the corpus. A limited set of punctuation and symbols were also added to each set of glyph data, equal to those included in tesseract-ocr project language data. However, training text was not representative as recommended in documentation, with glyphs and punctuation randomly sorted.

3.1.3 Dictionary data

As dictionary data is utilised during Tesseract segmentation processing, word lists were prepared for each segmentation approach. As the separated character approach introduced a visual ordering to some consonant-vowel combinations and consonant clusters, word lists to be used in this approach were re-ordered, in line with the segmentation processing used for each language described in section 3.1. Word lists were extracted from the tesseract-ocr project v3.04 language data.

3.1.4 Ground truth data

OCR ground truth data was prepared in a single font size for each language in the PAGE XML format (Pletschacher and Antonacopoulos, 2010) using the application also described in section 3.1.2. The implementation segments text according to logical or visual ordering described in section 3.1.1, and uses the Java PAGE libraries21 to output PAGE XML documents.

Text was randomly selected from documents within the web corpora described in section 3.1. Text segments written in Latin script were removed. Paired ground truth data were then generated. For each document image, two corresponding ground truth PAGE XML files were created according to logical and visual segmentation methods.

3.1.5 Evaluation

Tesseract v3.04 was used via the Aletheia v3 tool for production of PAGE XML ground truth described by Clausner et al. (2014). Evaluation was completed using the layout evaluation framework for evaluating PAGE XML format OCR outputs and ground truth described by Clausner et al. (2011). Output evaluations were completed using the described Layout Evaluation tool and stored in XML format.

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20 Description of the training format and requirements can be found at https://github.com/tesseract-ocr/tesseract/wiki/TrainingTesseract

21 The PAGE XML format and related tools have been developed by the PRImA Research Lab at the University of Salford, and are available from http://www.primaresearch.org/tools/
3.2 Results

Results are presented in three sections; for _tesseract-ocr_ language data, for web corpora glyph data per segmentation method, and for the comparable Tesseract language data per segmentation method.

Measured layout success is a region correspondence determination. Results are given for glyph based count and area weighted arithmetic and harmonic mean layout success as calculated by the _Layout Evaluation_ tool. Weighted area measures are based on the assumption that bigger areas regions are more important than smaller ones, while the weighted count only takes into account the error quantity.

3.2.1 _Tesseract-ocr_ language data

Recognition accuracy for selected _tesseract-ocr_ project language data with Indic scripts is given in Table 6. All glyphs are segmented in line with Unicode logical encoding standards; using a logical segmentation approach, except for Thai and Lao which are encoded with visual segmentation in Unicode.

Measured Thai recognition accuracy is in line with the 79.7% accuracy reported by Smith (2014). While Hindi accuracy is far less than the 93.6% reported by Smith (2014), it is higher than the 73.3% found by Krishnan et al. (2014). Measured recognition accuracy for Telugu is also higher than the 67.1% found by Krishnan et al. (2014), although this may be expected for higher quality evaluation images. Measured Khmer recognition accuracy is in line with the 50-60% reported in Tan (2014). Bengali results are within the 70-93% range reported by Hasnat et al. (2009a), but are not directly comparable with the training approach used in _BanglaOCR_.

3.2.2 Web corpora glyphs by logical and visual segmentation

The number of glyphs and their occurrences in the collected language specific Wikipedia corpora are shown in Figure 4. These are compared to the number of glyphs in the _tesseract-ocr_ project language data recognition character set, and the number of glyphs when visual order segmentation processing is applied to that character set. Visual segmentation can be seen to significantly reduce the number of glyphs for the same language coverage in each case. The logical glyphs in common and unique to _tesseract-ocr_ and corpus based language data may be seen in Figure 3.

3.2.3 Comparable data for logical and visual segmentation

The total number of examples in the training data and size of the resulting Tesseract language data file with each approach (without dictionary data) is given in Table 7. The _tesseract-ocr_ language data sizes are not directly comparable as the training sets and fonts differ.

OCR recognition accuracy is given for each segmentation method in Table 7. Recognition accuracy was found to be higher for visual segmentation in each language; by 3.5% for Khmer, 16.1% for Malayalam, and by 4.6% for Odia.

Logical segmentation accuracy shown in Table 7 was measured against the same ground truth data reported in section 3.2.1. However, as illustrated in Figure 4, the coverage of glyphs in each set of language data differed greatly. In each case, the number of glyphs found in the collected corpus was significantly greater than in the _tesseract-ocr_ recognition set.

Recognition accuracy for _tesseract-ocr_ language data for Khmer and Malayalam was 12.2% and 13% higher respectively than for the corpus based logical segmentation language data when measured against the same ground truth. However the corpus based logical segmentation data for Odia achieved 12.2% higher recognition accuracy than _tesseract-ocr_ language data.

Dictionary data added to language data for each segmentation method was found to make no more than 0.5% difference to recognition or layout accuracy for either segmentation method.

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22 Glyphs not within the local language Unicode range(s) are not included.
| Language   | Recognition accuracy (%) | Mean overall layout success (%) | Ground truth Glyphs | Recognition glyphs |
|------------|-------------------------|---------------------------------|---------------------|-------------------|
|            |                        | Area weighted | Count weighted |                      |                   |
|            |                        | Arith.        | Har.           | Arith.             | Har.             |
| Assamese   | 26.1                   | 65.3          | 49.6           | 59.5               | 47.2             | 1080               | 1795               | 1506               |
| Bengali    | 71.8                   | 92.7          | 91.9           | 66.8               | 63.5             | 1064               | 1932               | 1451               |
| Khmer      | 52.2                   | 92.6          | 92.1           | 82.9               | 81.0             | 556                | 1099               | 3865               |
| Lao *      | 77.1                   | 96.6          | 96.5           | 85.6               | 84.1             | 1139               | 1445               | 1586               |
| Gujarati   | 1.8                    | 69.6          | 64.2           | 57.6               | 53.1             | 974                | 1729               | 1073               |
| Hindi      | 81.9                   | 89.1          | 87.4           | 58.2               | 49.4             | 952                | 1703               | 1729               |
| Lao         | 62.7                   | 90.6          | 89.2           | 82.5               | 78.1             | 552                | 1153               | 855                |
| Myanmar    | 25.6                   | 86.8          | 84.4           | 67.2               | 59.2             | 598                | 1251               | 7625               |
| Odia       | 63.7                   | 96.3          | 96.1           | 90.0               | 88.7             | 864                | 1514               | 834                |
| Punjabi ** | 0.1                    | 61.4          | 41.6           | 65.4               | 52.3             | 916                | 1569               | 1029               |
| Tamil      | 89.2                   | 95.5          | 95.0           | 93.1               | 92.4             | 798                | 1290               | 295                |
| Telugu     | 75.3                   | 78.0          | 72.6           | 55.1               | 44.2             | 877                | 1674               | 2845               |
| Thai *     | 79.7                   | 95.1          | 94.7           | 86.7               | 85.7             | 1416               | 1727               | 864                |

Table 6: Glyph recognition and layout accuracy for *tesseract-ocr* project v3.04 language data for selected Indic languages *languages encoded in visual segmentation in Unicode **written in Gurmukhi script

Figure 4: Comparison of logical vs. visual segmentation of glyphs in corpora

| Language   | Segmentation | Recognition accuracy (%) | Mean overall layout success (%) | Ground truth glyphs |
|------------|--------------|-------------------------|---------------------------------|--------------------|
|            |              | Area weighted | Count weighted |                      |                    |
|            |              | Arith.        | Har.           | Arith.             | Har.             |
| Khmer      | Logical      | 41.0          | 92.8           | 91.9               | 83.6             | 80.5             | 556                | 5205               |
|            | Visual       | 44.5          | 92.9           | 92.3               | 86.9             | 85.8             | 677                | 3965               |
| Malayalam  | Logical      | 54.2          | 90.2           | 88.4               | 80.4             | 74.3             | 552                | 4237               |
|            | Visual       | 70.3          | 90.8           | 89.7               | 80.5             | 77.6             | 851                | 1171               |
| Odia       | Logical      | 75.9          | 94.8           | 94.4               | 88.2             | 86.4             | 864                | 2491               |
|            | Visual       | 80.5          | 95.1           | 94.7               | 91.5             | 90.8             | 1130               | 1387               |

Table 7: Glyph recognition and layout accuracy, ground truth and language data for logical and visual segmentation
4 Discussion

Analysis of the collected glyph corpora and tesseract-ocr project language data has shown the visual segmentation significantly reduces the number of glyphs required for a Tesseract training set in each of the languages considered. When using comparative training and ground truth data, visual segmentation was also shown to reduce the size of Tesseract language data and increase recognition accuracy. The use of dictionary data was not found to significantly affect results.

The implementation for visual segmentation of glyphs led to inconsistencies between similar visual components. For example, in Khmer it was observed that the visual representation of coeng (U+17D2) was commonly segmented by Tesseract as a separate glyph using tesseract-ocr and created language data, as illustrated for Khmer in Figure 5. Further opportunities for visual segmentation were also not implemented, such as components of consonant clusters. A consistent and more sophisticated implementation of visual segmentation may further improve results.

While effort was made to estimate coverage of modern glyphs for each segmentation approach in each language, the web corpora collected may not be representative. In preparing training data for the proposed segmentation method, care must be taken to determine that isolated or combined characters in the training sets are rendered in the predicted way when combined with other characters. A further consideration when creating multi-font training data is that characters may be rendered significantly differently between fonts. Further, some scripts have changed over time. For example, Malayalam has undergone formal revision in the 1970s, and informal changes with computer-aided typesetting in the 1980s, and Devanagari has also modified specific characters during the last three decades.

5 Conclusion

Developing high accuracy, multi-font language data for robust, end-to-end processing for Tesseract was not within the scope of this study. Rather, the aim was an initial investigation of alternate approaches for logical compared to visual character segmentation in a selection of Indic writing scripts. Results in the limited evaluation domain indicate that the proposed visual segmentation method improved results in three languages. The described technique may potentially be applied to further Indic writing scripts. While recognition accuracy achieved for the reported languages remains relatively low, outcomes indicate that effort to implement language specific training data preparation and OCR output reordering may be warranted.

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