A Framework for Urban Flood Resilience Assessment with Emphasis on Social, Economic and Institutional Dimensions: A Qualitative Study

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Abstract: In recent years, the effects of climate change have become more noticeable in Iran, especially in big cities. In particular, climate-related flood risk is increasingly recognized as a potential threat in the capital city of Tehran. Accordingly, the present study aimed to provide a framework/assessment tool to measure Tehran’s resilience to flood risks. To this end, 21 professionals from different disciplines were selected through a purposive sampling procedure and were interviewed using semi-structured interviews. The analysis procedure resulted in the identification of 3 themes, 15 categories, 40 subcategories, and 235 codes. The themes were social, economic, and organizational; The identified categories were culture and education (since culture is something to be learned through formal and informal education this component has two features: culture and education), participation, trust, attitude, solidarity, resources, empowerment, flexibility, credit, supervision, intercommunication, rules, specialization, and research. Validation of the indicators and their usability based on the opinions of local experts was used to calibrate the assessment tool and ensure its context-sensitivity. The results of this research can help planners and policymakers to increase their awareness of flood resilience. The approach taken in this research may also be useful for developing flood resilience assessment tools in other Iranian cities as well as in other cities of the Global South with similar conditions.

Keywords: climate change adaptation; urban resilience; flood resilience; Tehran; resilience assessment

1. Introduction

Nowadays, cities are considered the primary human habitat [1]. According to United Nations reports, more than half of the world’s population lives in cities, and it is expected that 66% of the total world population will live in cities by 2050 [2,3]. These settlements are at the mercy of climate change, of which the changes in the hydrological cycle are a prime example [4]. To ensure a sustainable future, urban planning should be properly managed taking into account climate change and increasing urbanization [5]. Climate change is a threat that could have inevitable negative impacts on urban systems and their populations, especially in Asia, with so many megacities [6-9]. Threats and consequences of this phenomenon manifest themselves in various forms such as heatwaves, storms, and floods [10-14]. Proper planning and policymaking are particularly considerable to deal with these complex and wicked problems [15-18]. Flooding is a natural disaster that has caused great damage in Iran and its megacities in recent years [19,20], with 157 cases of flooding recorded in Tehran in five decades (1951–2000), with an increasing trend [21].
In the 1950s, 1960s, 1970s, 1980s, and 1990s, 12, 15, 26, 51, and 53 cases of devastating floods were recorded, respectively [22]. For example, the July 1954 flood resulted in the death of about 1500 people and the destruction of the Kan River. In the July 1987 flood, Golabdarreh in Tajrish Square and Shariati Street in Tehran were destroyed. In the April 1995 flood, the Kan River was destroyed and five people were killed. Finally, five deaths and significant damage to properties in Sangan, Keshar, and Sulqan villages were reported for the June 2019 flooding in the Kan River, which also destroyed construction operations on the Tehran-North road [23]. In view of increasing urbanization and the effects of climate change, flood risk is increasing worldwide [24,25]. Nowadays, floods are the most recurrent and costly natural disaster in the world in terms of damage [26]. Considering the increased risk of flooding worldwide, the benefits of investing in disaster resilience must be explored [27]. Although floods cannot be prevented, their impact can be greatly reduced by assessing the resilience of vulnerable areas to potential disasters [28–30]. Resilience is a culture-based concept, a response to disasters, and a property of systems [31]. Resilience varies from place to place [32,33]. It is the ability of a system or part of it to absorb, adapt, and recover after the occurrence of disasters [28,34]. Urban resilience is typically defined as the capabilities to increase the tolerance level of cities when disasters occur [35]. Cities should promote resilience to deal with various natural and man-made disasters that threaten their competitiveness, livability, and functionality [36,37]. A resilient city is a stable network of physical systems and human communities, representing how the main activities continue and return to their original state in times of disasters. The most relevant concept of resilience is crisis management and refers to a set of actions taken before, during, and after a disaster to reduce its impacts as much as possible [38–40]. Flood management is a major challenge in view of the increasing number of extreme storms and ongoing urbanization. Therefore, the concept of flood resilience is receiving increasing attention [30]. Various indicators have been offered to assess flood resilience in different cities.

Oladokun and Montz (2019) proposed a conceptual framework for assessing flood resilience in the United States. They explained three dimensions for analysis, including (1) risk absorption capacity, (2) access to resources, and (3) community processes and resource use. Their findings indicated that the proposed framework is an appropriate method for assessing flood resilience, even in the case of limited data availability and relevance [41].

Campbell et al. (2019) also developed a tool to assess flood resilience in large communities. The tool has been piloted in the United States, Peru, Nepal, Mexico, Indonesia, Haiti, Bangladesh, and Afghanistan. This tool contains five dimensions/capitals: (1) social capital, (2) physical capital, (3) natural capital, (4) human capital, and (5) financial capital [42].

Similarly, Tyler and Moench (2012) designed a framework for urban climate resilience. They examined and demonstrated how the general theory of urban climate resilience can be developed for planners in an operational framework. They explored these concepts and theories across a wide range of domains. This framework combined theoretical and empirical knowledge of the factors contributing to resilience. This framework also included characteristics of urban systems, factors related to these systems and their management, institutions that link systems and factors, and patterns of climate change. This urban climate resilience framework was shown to facilitate climate adaptation planning in cities and went beyond a focus on climate impacts to integrate environmental, infrastructural, social, and organizational resilience factors [9].

Moghadas et al. (2019) also evaluated Tehran’s resilience to flooding using a multicriteria system (combined AHP-TOPSIS). In this study, the resilience assessment focused on its intrinsic characteristics and capacities in the area of flooding caused by surface water or river overflow. This method was a combination of AHP for prioritizing the selected indicators and the TOPSIS tool for ranking different areas of Tehran based on their resilience. The evaluation indicators of this research consisted of six social, economic, institutional, infrastructural, environmental, and local dimensions [43].

A review of the related literature showed that various studies on flood resilience had been conducted using different indicators depending on the target area. Based on the
results, the selected assessment indicators were different in different cities and regions. Indicators derived from underlying characteristics, on the other hand, played a less considerable role. Little has been done to identify and develop new contextual indicators and criteria. Moreover, no comprehensive research has ever identified these indicators in Iran. Therefore, this qualitative study sought to explore the issue of flood resilience in Tehran for the benefit of policymakers, planners, and decision-makers. Considering Tehran’s characteristics, such as its geographical location, social, institutional, and economic conditions, and infrastructural and superstructural weaknesses, a detailed analysis is required to identify appropriate assessment indicators for this megapolis. Against this backdrop, this study aimed to identify and introduce indicators for assessing the degree of flood resilience in Tehran from the perspective of experts using the qualitative content analysis approach.

2. Materials and Methods

2.1. Study Area

With an area of 730 square kilometers, Tehran is located between 35° and 34’ to 35° and 59’ N and 51° to 51° and 53’ E (see Figure 1). The metropolis is located on the southern slope of the central Alborz Mountains and in the middle of the northern and southern areas. Its relatively high altitude from the sea level, the difference in altitude in its different zones, its expansion to an altitude of 2200 m, and its use of waterways and canals increase the risk of flooding in Tehran [44,45]. The geomorphology of the city exposes it to the risk of flooding [46,47].

Participants were selected through internet profile searches and previous acquaintance of researchers. After contacting them, prior arrangements were made by telephone and email communications for an interview. Due to previous arrangements with the participants, all interviews were conducted in one session and there were no interviews that were left incomplete or conducted in several sessions. On average, each interview took one hour and 15 min. Participants were not given any special rewards or privileges for conducting the interview, but they participated in the research with enthusiasm, individual desire, and because of their common concerns. In order to gather comprehensive information on the three dimensions of resilience, related specializations were considered in the selection of participants and continued until the achievement of theoretical saturation. The interviews were conducted in person and by appointment by phone and email. The interview questions were designed as semi-structured questions. After an introductory explanation by the researchers, the first interview question was: “How significant is resilience as an expert in your field and why?” A main part of the questions was formed during the interview and the information exchanged between the researchers and the participants.
2.2. Study Design

This paper is part of a larger research project to propose a tool for evaluating Tehran’s resilience to flooding. The project was registered at Islamic Azad University, Science and Research Branch, in 2019. In this study, the conventional content analysis approach was used. The required data were collected directly from the participants without imposing predefined themes or personal views [49,50]. Data were collected by interview and analyzed by the association of meanings, with no role for pre-existing theories [51]. The knowledge obtained was thus based on the unique perspectives of the participants and from real textual data. Then, the codes and themes were inductively extracted from the raw data [52].

2.3. Participants

The participants of the study were 21 individuals (fifteen males and six females), including experts who had experience in urban flood resilience. Their age ranged from 35 to 59, and they had 3 to 15 years of professional experience. Table 1 shows the demographic characteristics of the study participants.

Table 1. Demographic characteristics of the participants (n = 21).

| Demographics                              | Categories     | n (%) |
|-------------------------------------------|----------------|-------|
| Gender                                    | Female         | 6     |
|                                           | Male           | 15    |
| Age                                       | 30–40          | 4     |
|                                           | 40–50          | 12    |
|                                           | ≥51            | 5     |
| Years of Professional Experience          | <10            | 15    |
|                                           | 10–20          | 6     |
| Education Level                           | Master’s degree| 10    |
|                                           | Doctorate degree| 11    |
Purposive sampling with a maximum variance of age, gender, occupation, educational level, and work experience was used to obtain comprehensive information.

Table 1 provides a brief profile of the characteristics of the participants so that the readers have a basic understanding of the interview conditions and the selection of the interviewees, as this information can help deepen the findings and better evaluate the present writing.

Data generation continued until data saturation was reached [53,54]. Benchmarks for selecting participants were studying floods or urban resilience in Tehran, willingness to participate, and professional experience in flood disaster management.

2.4. Data Analysis

The content analysis method was used for data analysis. The process of data analysis followed the steps suggested by Graneheim and Lundman [55], which include (1) transcribing the interviews and reviewing them several times to obtain a correct understanding of the whole text, (2) extracting unique elements and preparing initial categories, (3) finalizing the categorization and assigning appropriate labels to each category, (4) arranging subthemes based on their similarities and differences, and (5) selecting appropriate names for themes to capture the content of each theme. We have now clarified that data analysis was conducted manually.

2.5. Rigor and Credibility of Data

To increase the rigor of the data collected, Guba and Linklen’s four measures were used: credibility, dependability, transferability, and conformability [56–61]. The researchers also used other measures to increase the credibility of the data. These included engaging with the data for three months and spending sufficient time on data collection and analysis. Member checking was used to increase the dependability of the data so that participants themselves were asked to rate the degree of dependability. Transferability refers to the applicability of the study findings and means that the results can be transferred to and applied in other settings or similar groups. The researchers also provided rich descriptions about the context and participants, as well as a clear description of the barriers and limitations of the study to enhance the applicability of the study findings in other social contexts. Finally, to enhance the confirmability of the study, all steps of the study, especially the data analysis, were recorded with details so that another researcher who wishes to continue the research can follow the study by simply following the notes about the interviews, analysis, and other steps of the study.

3. Results

The analysis of the data revealed 3 themes, 15 categories, 40 subcategories, and 235 codes. The themes identified were social, economic, and institutional. The categories also included culture and education, participation, trust, attitude, solidarity, sources, empowerment, flexibility, credits, supervision, intercommunication, rules, specialization, education and organizational culture, and research.

3.1. The First Theme: Social

The social approach aimed to identify, analyze, and evaluate the social potential opportunities about Tehran’s resilience to environmental crises and natural disasters. Given this, social theme was regarded as an influential issue from the participants’ view. According to the participants’ views, the social theme has six categories of “culture and education”, “participation”, “trust”, “attitude”, “solidarity”, and “resources”. They believed that culture and the promotion of general awareness in society can be influential in promoting the constructive interrelationship of society and the environment, which in turn increases the resilience of society against natural disasters.

The precondition of “culture and education” is the existence of appropriate programs that inform the right ways of exposure to and management of floods. Thus, it is essential
to produce and perform cultural-educational programs for citizens. In line with this, one of the participants stated that “citizens need to receive information and consultation from experts”. Moreover, the existence of counseling centers can be influential in meeting these needs. The point to mention, however, is that mere performance of educational programs without attention to their content will not be that effective. Hence, producing programs with suitable content for social media, as new communication tools, can play a key role in culture and education. According to one of the participants, “nowadays, virtual space has high capacities to informing and increasing people’s awareness in critical situations such as floods. Therefore, appropriate content should be produced for it”.

Participants also cited rumors and post-disaster stress as factors influencing resilience. Therefore, rapid disaster management can prevent these rumors and stresses. In accordance with this, one of the participants contended that “citizens should be continuously informed of measures and events. Officials should use facilities such as radio, TV, and virtual channels to give precise and quick information to people”.

Using “social communication networks” can substantially improve the interaction of people and officials and could also speed up the chain of events. This, however, requires that officials have a correct understanding of the culture and social relations of citizens. One of the participants rightly asserted that “policy makers and decision makers should be thoroughly familiar with different local culture and beliefs of society to be able to make correct decisions in critical situations”. Training is one of the main criteria proposed by the participants with regard to the issue of “appropriacy of educational programs” with social conditions; one of the participants maintained that “educational programs should be in line with the audiences’ conditions and traits. For example, the age groups traits should be taken into account. Their education level is also principal. Other factors such as gender, ethnicity, social class, job, religion, skills level, etc. also merit attention”.

“Participation”, as one facet of social capital, is one of the most powerful and available sources at the time of disasters. This category in itself entails two subcategories: “citizens’ responsibility before and after flood” and “citizens’ and people-based institutions’ participation with organizations”.

Regarding the subcategory of “citizens’ responsibility before and after flood”, one of the participants stated that “community forces are available at time of disaster. Thus, if they can be effectively organized, they have high potential to deal with the disasters effectively”.

According to the participants, people-oriented organizations play a seminal role in creating constructive interactions between citizens and officials in times of crisis. More specifically, one of the participants remarked that “having active people-based groups and organizations role in enhancing the positive effective interrelationship of people and officials which, in turn, increase the effectiveness of programs related to crisis management”.

The present study revealed that attitude-related factors play a key role at the time of exposure to natural disasters such as floods. This issue was categorized with two sub-categories of “attitudes and beliefs of citizens toward factors and consequences” and “citizens’ satisfaction”. Attitudes are a series of common sense beliefs that can affect the behavior of citizens in the face of disasters; for example, when a person considers the occurrence of floods as the result of human sins and considers them subject to divine punishment and believes that God wants to punish rebellious and sinful people through floods or wash away their sins so that they can start a new life free from sin.
A part of cities’ social resilience to risk is related to citizens’ cultural attitudes and beliefs. Fatalistic beliefs in inevitable destiny in Iranian culture and tradition might hinder Iranian people from accepting scientific measures. Although fatalistic beliefs reduce the tolerance of people after the disaster, these beliefs make people not think much about prevention and preparedness before disasters. For example, one of the participants stated that “some of unscientific and traditional beliefs such [as] inevitable destiny especially in small societies can prevent people from reaching correct understanding about crises and ways of dealing with them”. Given this, it can be asserted that a lack of such attitudes and beliefs in society can make us hopeful for more effective participation of social forces and citizens in times of crisis.

Promoting citizens’ attitude is closely related to their objective and subjective evaluations. They will have more active participation in crisis management when they have enough satisfaction from the officials’ measures. Table 2 shows satisfaction criteria from the participants’ viewpoints. One of the criteria is the participation of community institutions, which can significantly promote the citizens’ attitude. As an example, one of the participants contended that “participation of community institutions can help to promote the attitude of citizens about critical situations”.

Social solidarity is another factor in social resilience. “Solidarity” involves two subcategories of “place attachment” and “readiness and communication actions of citizens and areas”. Place attachment is one of the factors that generates solidarity. In line with this, one of the participants said that “at time of crises, if citizens feel attached to their dwelling place, more solidarity is created”.

Creating cultural and social relations between citizens can be effective in maintaining their cohesion. More specifically, one of the participants stated that “staying loyal to ethnic and cultural norms can greatly help to make a constructive interaction among citizens as these norms have potential capacity to create social solidarity provided that they are used correctly”.

The resilience of communities against crises is largely dependent on the available sources. Sources such as “health and hygiene resources”, “social resources”, “mental resources”, “communication resources”, “institutional resources”, and “area safety” can lead to strong social resilience against floods.

Sources mean capacities and potential and actual opportunities that can be used for improvement of affairs. One of the participants said that “the degree of access to medical facilities and equipment at time of disasters is related to manage the situation”. Another participant stated that “creating and developing NGOs and civil institutions can help to make social capital to improve critical conditions”. In accordance with the need for psychological resources, one participant asserted that “officials should promote life expectancy among citizens by using their previous experiences. This can make them resilient psychologically”. In addition, citizens should have necessary access to information resources. One of the participants rightly stated that “the more the local information resources, the better the process of crisis management”.

“Institutional resources” should also have the capacity to cover all the victims. In line with this, one participant said that “programs that are organized to mitigate risks of crises can be more effective only when they have the capacity of covering more population”. Furthermore, appropriate and safe spots in the area should be determined to be used for reaching a stable situation. For example, regarding the issue of “area safety”, one of the participants asserted that “after disasters such as destructive floods, if citizens have access to safe places, the negative effects of the disaster will be fewer and the disasters can be managed better”. Table 2 presents the components of social theme.
Table 2. Social Theme.

| Category | Sub-Category | Code |
|----------|--------------|------|
| Culture and Education | Producing and performing educational-cum-cultural programs for citizens | Compiling and publishing books, Preparing and publishing educational brochures, Producing and publishing short educational notes, Establishing counseling centers, Compiling and providing educational content for virtual spaces, Running educational workshops. |
| Informing citizens | | Informing the citizens of disasters and furniture insurance and unemployment insurance, Existence of valid communication channels between citizens and officials, Citizens’ awareness of correct behavior at time of disaster, Installing warning and informing signs in risky spots, Holding cultural festivals related to floods such as photography and painting in cultural spaces and centers of the prone places, Informing citizens of vulnerable spots and areas. |
| Capacity of social relations networks | | Attention to local citizens’ culture and beliefs in decision-making processes related to floods, Prioritizing social networks to promote citizens’ awareness of flood terms, Using locals’ experiences, Using local public places (mosques/culture houses/religious places) for informing purposes. |
| Appropriacy of educational programs | | Appropriacy of educational and skill programs with different ages, ethnicities, educational, social class, job, and religious groups, Time suitability of educational programs. |
| Participation | Citizens’ responsibility before and after a flood | Cooperation with supportive organizations such as fire-fighting and Red Crescent, Calling for and organizing local forces, Educating and training forces, Supporting, helping, and moving damaged people to safe places. |
| | Citizens’ and people-based institutions’ participation with organizations | Participation in planning, Activating civil institutions, Using community capacities such as religious places for planning and performing operations, Citizens’ brainstorming in organizational measures, Making use of benevolent persons and charity institutions, Participation of women and youths, Providing needed facilities and equipment, Investment for promoting well-being level. |
| Citizens’ trust in supportive institutions | | Insurance organizations, Government support programs, Banks and financial institutions to compensate for damages. |
| Trust | Citizens’ trust in officials’ programs and policies | Trust in related organizations such as crisis management, police, Red Crescent, etc., Formal statistics and reports, Public organizations, Organizational programs and actions, Media regarding reflection of city environmental risks, Extant capacity and amenities, Expertise and knowledge. |
Table 2. Cont.

| Category                          | Sub-Category                                                                 | Code                                                                 |
|-----------------------------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------|
| Attitude                          | Attitudes and beliefs of citizens toward factors and consequences            | Attitude towards and belief in the probability of flood              |
|                                   |                                                                             | Lack of fatalism                                                     |
|                                   |                                                                             | General understanding of the sensitivity of situations               |
|                                   |                                                                             | Learning from experience                                             |
|                                   |                                                                             | Tendency to leave vulnerable and prone areas                         |
|                                   |                                                                             | Sensitivity to measures that lead to risks                           |
|                                   |                                                                             | Local understanding of danger                                        |
|                                   |                                                                             | Following rules and instructions, for example about construction      |
|                                   |                                                                             | standards, to head off crises                                       |
|                                   |                                                                             | Prevailing moral and cultural values                                  |
| Citizens’ satisfaction            |                                                                             | Programs and services pertinent to identification of vulnerable groups|
|                                   |                                                                             | Programs and services related to local evacuation                     |
|                                   |                                                                             | Facilities and equipment                                             |
|                                   |                                                                             | Officials’ behavior                                                  |
|                                   |                                                                             | Informing the public in a timely and correct manner                   |
|                                   |                                                                             | Timely measures by officials                                         |
|                                   |                                                                             | Supportive aid                                                       |
|                                   |                                                                             | Attention to the needs of the people                                  |
|                                   |                                                                             | Local council assistants                                             |
|                                   |                                                                             | City councils                                                        |
| Place attachment                  |                                                                             | Place identity                                                       |
|                                   |                                                                             | Place vitality                                                       |
|                                   |                                                                             | Place dependence                                                     |
|                                   |                                                                             | Place commitment and loyalty                                         |
|                                   |                                                                             | Social attachment                                                    |
| Solidarity                        | Readiness and communication actions of districts and citizens                | Keeping citizenship relations before during and after disaster       |
|                                   |                                                                             | Responding to aid needs and fast evacuation of citizens at time of   |
|                                   |                                                                             | flood                                                                |
|                                   |                                                                             | Citizens’ readiness for fast evacuation                              |
|                                   |                                                                             | Maintaining and strengthening public morale                          |
|                                   |                                                                             | Ethnic and cultural prejudices                                        |
|                                   |                                                                             | Public trust                                                         |
|                                   |                                                                             | Quality of kinship and family interactions                            |
|                                   |                                                                             | Intergenerational ties                                               |
|                                   |                                                                             | Face-to-face interactions                                             |
| Resources                         | Health and hygiene resources                                                | Food resources                                                       |
|                                   |                                                                             | Drug resources                                                       |
|                                   |                                                                             | Medical facilities                                                   |
|                                   |                                                                             | Hygienic infrastructures such as hospitals and clinics                |
|                                   |                                                                             | Hygiene-related resources such as physicians and nurses               |
|                                   |                                                                             | Physical and mental health of citizens                                |
|                                   |                                                                             | Rehabilitation services                                              |
Table 2. Cont.

| Category       | Sub-Category                          | Code                                                                 |
|----------------|---------------------------------------|----------------------------------------------------------------------|
| Resources      |                                        | Native population                                                   |
|                |                                        | Development of civil organizations                                  |
|                |                                        | Less vulnerable populations such as elderly people, children, infants, and disabled ones |
|                |                                        | Lack of ethnic and racial minorities                                 |
|                |                                        | Low immigrant population                                             |
|                |                                        | Low cases of divorce                                                |
|                |                                        | Education                                                           |
|                |                                        | Few legal cases                                                     |
|                |                                        | The population belonging to the middle and upper classes             |
|                | Social resources                       | Population having access to housing                                 |
|                |                                        | Equal access to public transportation                               |
|                |                                        | Access to law consultants                                           |
|                |                                        | Children and elderly care centers                                   |
|                |                                        | Suitable educational facilities                                     |
|                |                                        | Suitable resources to move disabled people                           |
|                |                                        | Active population (15–64)                                            |
|                |                                        | Women employment                                                    |
|                |                                        | The power of fast voluntary reactions                               |
|                |                                        | Citizens covered by social security and medical services and health insurances |
|                |                                        | Diversity of knowledge and expertise of citizens                    |
|                |                                        | Balanced population distribution                                    |
|                |                                        | Cultural diversity                                                  |
|                |                                        | Religious ties                                                      |
|                |                                        | Social ties between tribes and relatives                             |
|                |                                        | Peace and security in society                                       |
|                |                                        | Educational justice                                                 |
|                | Mental resources                       | Citizens’ psychological calmness during and after the disaster       |
|                |                                        | Encouraging a passion for life in the affected areas by officials drawing on their previous experiences |
|                |                                        | Psychological counseling centers                                    |
|                |                                        | Mental health services                                              |
|                | Communication resources                | Citizens’ communication skills (fast communication and expansion of relations) |
|                |                                        | Presence and diversity of local media                               |
|                |                                        | Presence and diversity of online/virtual media                       |
|                |                                        | Language proficiency                                                |
|                |                                        | Sociability                                                         |
|                | Institutional resources                | Skilled and specialized population                                  |
|                |                                        | Continuous compensation for damages based on rebuilding progress     |
|                |                                        | Motivators by municipality and city council volition for owners in line with compatibility measures |
|                |                                        | Budget dedication to educate citizens                               |
|                |                                        | Population working in emergency services                             |
|                |                                        | Covered population in risk mitigation programs                      |
|                | Area safety                            | Citizens’ access to safe spots                                      |
|                |                                        | Population dwelling in safe zones                                   |

3.2. The Second Theme: Economic

According to the participants, economic capabilities can be divided into three categories: “empowerment”, “flexibility”, and “credits”. “Empowerment”, which can be divided into two subcategories of “citizens’ financial capability” and “active economy”, indicates the citizens’ economic capacity for resilience against floods and other natural disasters.

“Citizens’ financial capability” is an economic element to manage crises. According to one of the participants, “if citizens have high financial capabilities, they can adapt
themselves with critical conditions better. For example, if their properties stay safe from damage, they can help others to return to desirable conditions and adapt to the situation”.

“Citizens’ financial capability” is closely related to presence of dynamic economic resources and “active economy”. In line with this, one of the participants stated that “economic dynamics in the area largely depends on factors such as existence of active big and small industries as well as service and productive corporations that can make citizens powerful economically against disasters”.

One of the participants rightly stated that “if different groups of society have equal decision making power, their reactions at time of crises will be more effective”.

Economy can be resistant against crises only when it has the “flexibility” capability. In the participants’ views, this capability can be achieved by the “ability to return to employment and income conditions”, “financial-credit supports”, and “insurances performance”.

“Flexibility” means improvement and corrections of the area economy and plays a key role in rebalancing and resilience against crises. One of the participants expressed that “after any disaster, it is essential to return to normal conditions. Specifically, improvement and reopening of jobs and shopping centers can both help to return the macro-level economy of the society and increase the adaptability of citizens”. “Financial-credit supports” are also determinant. According to one of the participants, “organizations and institutions that are responsible for crisis management need to have enough budget and equipment to be able to fulfill their duties effectively”. Another participant hinted at the role of “insurances performance”, saying that “if insurances have adequate efficiency and power, it can be expected to improve the conditions sooner”. Similarly, another participant stated that “insurances are of great importance to support the suffered people and their effective performance can substantially help to return the damaged people and institutions to normal conditions”.

Since organizations that are in charge of crisis management—such as fire stations, emergency services, welfare services, etc.—do not have predictable budgets, they often lament about the shortage of credits and budget that negatively influences their performance. Allocating enough of a budget can help organizations purchase suitable equipment and facilities which, in turn, enables them to perform more effectively at the time of disasters. According to the participants, “credits”, which incorporates two subcategories of “supplying necessary facilities for responsible organizations” and “specific budget for research”, is another factor. For example, one of them expressed that “the budget that is allotted to responsible organizations should be proportionate to their duties. Often, this budget is not enough”. Another participant contended that “holding conventions and seminars can greatly help to publish information and increase knowledge of related managers and staff. Thus, sufficient budget should also be dedicated to these kinds of seminars”. Table 3 shows the economic facet structure.
### Table 3. Economic Theme.

| Category                        | Sub-Category                                                                 | Code                                                                 |
|---------------------------------|------------------------------------------------------------------------------|----------------------------------------------------------------------|
| Economic                        | Citizens’ financial capabilities |                                                                 | Income level                                                                 |
|                                 |                                | Financial resources status                                           |                                                                 |
|                                 |                                | Properties status                                                   |                                                                 |
|                                 |                                | Goods and services price                                            |                                                                 |
|                                 |                                | Area economic balance                                               |                                                                 |
|                                 |                                | Low Gini coefficient                                                |                                                                 |
|                                 |                                | Low poverty rate                                                    |                                                                 |
|                                 |                                | Active stores and shopping centers                                  |                                                                 |
|                                 |                                | Diversity of jobs and livelihood methods                            |                                                                 |
|                                 |                                | Development of employment opportunities in cities (big and small      |                                                                 |
|                                 |                                | industries/production and service-giving corporations)              |                                                                 |
|                                 |                                | Business opportunities                                             |                                                                 |
|                                 |                                | Continuous promotion of production capacities                       |                                                                 |
|                                 |                                | Internal investment                                                |                                                                 |
|                                 |                                | Employment of local forces                                          |                                                                 |
|                                 |                                | Link to the regional economy                                        |                                                                 |
|                                 |                                | Economic cooperation with other countries                           |                                                                 |
|                                 |                                | Private and public sector participation in local businesses         |                                                                 |
|                                 |                                | Financial stability and flexibility                                 |                                                                 |
|                                 |                                | Economic self-sufficiency                                           |                                                                 |
|                                 |                                | Distribution of employment opportunities and industries in different |                                                                 |
|                                 |                                | regions                                                            |                                                                 |
|                                 |                                | Internal gross production                                           |                                                                 |
|                                 |                                | Development of economic structures (shopping centers/rules/economic  |                                                                 |
|                                 |                                | ties)                                                              |                                                                 |
|                                 |                                | Sustainable employment                                             |                                                                 |
|                                 |                                | Sustainable employment of municipalities                           |                                                                 |
|                                 |                                | Employed population                                                 |                                                                 |
|                                 |                                | Expansion of non-urban production conditions (active agriculture/     |                                                                 |
|                                 |                                | fertile lands)                                                      |                                                                 |
|                                 |                                | Production, supply, and consumption chains                          |                                                                 |
|                                 |                                | Exploiting tourism capacities                                       |                                                                 |
|                                 |                                | Appropriate tax incomes                                             |                                                                 |
|                                 |                                | Appropriate economic networks                                       |                                                                 |
|                                 | Flexibility                    | Reopening of jobs                                                   | Proportion of organizations’ budget to their duties                  |
|                                 |                                | Power of reopening educational centers                              | Bestowing low-interest loans                                         |
|                                 |                                | Power of reopening buying and selling centers                       | Financial supply for developing urban infrastructures                |
|                                 |                                | Compensation for vulnerable businesses                             | Subsidies and non-cash supports                                     |
|                                 |                                | Re-booming of markets                                               |                                                                 |
|                                 |                                | Prevention from work force reduction                                |                                                                 |
|                                 |                                | Flexibility of local businesses and economies                       |                                                                 |
|                                 | Financial aid/credit supports  | Proportion of organizations’ budget to their duties                  |                                                                 |
|                                 |                                | Attention/economiclivelihood aids to vulnerable people              | Bestowing low-interest loans                                         |
|                                 |                                | Compensation for vulnerable businesses                             | Financial supply for developing urban infrastructures                |
|                                 |                                | Re-booming of markets                                               | Subsidies and non-cash supports                                     |
|                                 |                                | Prevention from work force reduction                                |                                                                 |
|                                 |                                | Flexibility of local businesses and economies                       |                                                                 |
|                                 | Insurance performance          | Insurance organizations’ supports                                   |                                                                 |
|                                 |                                | Unemployment insurance performance                                  |                                                                 |
|                                 |                                | Insurance of land use                                               |                                                                 |
|                                 | Credits                        | Suppling necessary facilities for responsible organizations          | Accountability of relevant officials                                |
|                                 |                                | Crisis management budget share                                      |                                                                 |
|                                 |                                | Bank facilities’ conditions                                         |                                                                 |
|                                 |                                | Specific budget for research                                        |                                                                 |
|                                 |                                | Holding seminars and conventions                                    |                                                                 |
|                                 |                                | Research and development                                            |                                                                 |

### 3.3. The Third Theme: Institutional

Based on the participants’ attitudes, the institutional theme entails seven categories: “regulation”, “intercommunication”, “rules”, “specialization”, “education and organizational culture”, and “research”. The “regulation” facet, in itself, incorporates two subcategories of “continuous review of urban rules” and “supervising the responsible’s measures by regulatory institutions”.

For example, one of the participants stated that “the extant rules might not be effective at present conditions and do not adapt with social, economic, and place conditions. Thus, they should be revised and modified”.

Monitoring the performance of agents is also determinant in that it can help to evaluate their actions by comparing the performed measures. Accordingly, one of the participants expressed that “each of the defined responsibilities in crises management should be given specific ID and code so that they can be easily assessed”.

In a time of disaster, different organizations play different roles. It is often observed that their duties are not clear enough, which causes interference and ambiguity among staff. Therefore, “intercommunication”—with its four subcategories of “cooperation of relevant organizations in planning and research”, “maintaining reliability and continuity of services provided by organizations”, “cooperation of officials and executives with public groups and local businesses”, and “collaboration to facilitate law enforcement”—has been identified as a factor of resilience.

Joint cooperation of institutions can have varying aspects, one of which is planning and research. This cannot be achieved unless the responsibilities and roles of different staff members are clearly pinpointed by holding primary meetings and sessions. In line with this, one of the participants contended that “using the knowledge and experience of experts requires meetings with scholars and experts”.

Cooperation among different organizations and units can be achieved by analyzing the strong and weak points of previous experiences and preparing a guideline for future cooperation. One of the participants rightly pointed out that “the more the cooperation and interaction among responsible groups, the more their efficiency and effectiveness”.

On the impact of interactions between related organizations, one of the participants believed that “in order to support local businesses and to prepare them to effectively deal with crises, planning special policies and programs in this regard is vital”. The participants also believed that constructive interaction of the responsible groups should facilitate law enforcement. For example, one of the participants stated that “sometimes, there are strict and effective rules to deal with and prevent floods. However, these rules become useless as a result of lack of collaboration among different groups and units. Thus, cooperation and collaboration among organizations is essential to prevent flood”. In general, despite the presence of sound regulation, its efficiency might reduce due to lack of collaboration among responsible organizations and units.

Participants also identified “rules” as another category of resilience which entails two sub-categories of “capacity” and “power”. The “capacity” of rules includes different issues such as “transparency”, “comprehensiveness”, “inclusive”, and “balance” to cover all relevant officials’ and managers’ needs. Transparent rules enhance managers’ innovation ability and pave the way for better interactions with other groups and units. Additionally, the “comprehensiveness” of rules can neutralize any ambiguity in activists’ measures. One of the participants similarly stated that “in some cases, defect in rules and lack of comprehensiveness of rules make the officials perplexed to take effective immediate decisions”.

“Power” of rules and regulation refers to their enforcement ability and efficiency to manage crises. Regarding this, the participants pointed to the sub-categories of “efficiency” and “executive guarantee”. They believed that rules should not only be efficient enough to respond to the stakeholders’ needs, but also have necessary executive guarantees for applicability. To be more specific, one of the participants expressed that “rules are often only on paper and there are many hurdles for them to be executed thoroughly”.

The participants of this study pointed to “specialization” with two sub-categories of “management and executive ability” and “awareness and belief of managers and decision makers”. Non-specialized managers in executive and headquarters sections often have experimental training and learn methods and techniques of crisis management through trial and error. In addition, in general, one criterion to manage crises such as floods is making use of management and executive abilities of specialized and capable managers.
In accordance with this, one of the participants stated that “the degree of expertise of managers and officials to deal with disasters and crises tightly bounds with their level of skill and experience”.

“Degree of skill of managers and officials for flood management”, “determining and forming flood disaster management committee with high interdisciplinary technical-academic knowledge”, and “degree of managers’ and decision makers’ beliefs in watershed management” are other factors mentioned by the participants in this regard. One of them expressed that “to better manage floods, there should be formed a committee with experienced and knowledgeable members”.

Needless to say, without “education and organizational culture”, the application of “educational-cum-cultural programs”, and the “promotion of informing culture, informing and sharing information”, organizations cannot achieve much. The participants of the study have also referred to these issues, as well. One of the participants, for instance, asserted that “a part of the managers’ responsibilities and duties is dependent on the way they interact with society. Managers, then, should have complete knowledge and understanding regarding psychological management strategies which can be fulfilled by running appropriate educational programs”. Other strategies that have been identified by the participants within the category of “promotion of informing culture, informing and sharing information” are “tendency of related organizations to educate and inform citizens”, “tendency to innovation in institutions”, “culture of information sharing in society”, “culture of organizational informing”, “presence of a specific system/institution to manage public thoughts and deal with psychological operations”, “unity of procedure in informing”, and “culture of cooperation”. In line with this, one of the participants stated that “in our organization, people work only within their own duties and lack of cooperation culture hinders them from using each other’s capacities”.

According to the viewpoints of the participants, the capability of organizations and institutions to manage crises such as floods is largely dependent on their ability in research activities. Research in itself incorporates three subcategories of “supportive framework”, “sharing knowledge and information between scientific and organizational centers”, and “experiencing”.

Institutional and material support is principal for research. For example, one of the participants explained the issue of “supportive framework” as follows: “Organizations responsible for crisis management should have a clear program to conduct research and update their knowledge about crisis management”.

Utilizing the information of other organizations will be very effective in organizational research. One of the participants pointed out that “academic centers need reliable data and information for better analysis of affairs related to crises. These kinds of information should be provided for them by related organizations”. More specifically, one of the participants expressed that “the experience of managers who have already acted successfully at time of crises should be used”. Table 4 presents the structure of institutional theme.
| Category                          | Sub-Category                                             | Code                                                                 |
|----------------------------------|----------------------------------------------------------|----------------------------------------------------------------------|
| Regulation                       | Continuous review of urban rules                          | Continuous survey of rules’ strengths and weaknesses                  |
|                                  |                                                          | Adaptation of rules with upstream documents                           |
|                                  |                                                          | Adaptation of rules with time conditions, social, place, and         |
|                                  |                                                          | environmental needs                                                   |
|                                  |                                                          | Controlling (programs and plans) illegal development                 |
|                                  |                                                          | Using measures of punishment and protection                           |
|                                  | Supervising the responsibilities and measures by         | Supervising the rebuilding process of damaged regions                |
|                                  | regulatory institutions                                  | Suitable supervisory structure to assess measures                     |
|                                  |                                                          | Assigning responsibility IDs for officials of crisis management      |
|                                  |                                                          | Feasibility of approved programs about management and                |
|                                  |                                                          | exposure                                                             |
|                                  |                                                          | Supervision and assessment of pollution clearing after flood         |
|                                  |                                                          | Obligation to enforce disaster insurance                             |
| Intercommunication               | Cooperation of relevant organizations in planning and    | Participation of scientific parks and academic centers                |
|                                  | research                                                | Holding specialized sittings (experience exchange) with               |
|                                  |                                                          | international experts and academic professors                        |
|                                  |                                                          | Attention to participatory planning                                   |
|                                  | Maintaining reliability and continuity of services       | Interorganizational coordination                                      |
|                                  | provided by organizations                                | Coordination of rules in different units and sections                 |
|                                  |                                                          | Joint organizational interactions                                     |
|                                  |                                                          | Technical and organic work distribution among organizations          |
|                                  |                                                          | Continuity of organizational programs                                |
|                                  | Cooperation of officials and executives with public      | Cooperation of executors and officials with elites and public         |
|                                  | groups and local businesses                             | groups                                                                |
|                                  |                                                          | Taking adaptive policies for local businesses and economy             |
|                                  |                                                          | Participation of local institutions and government to supply insurance financial portion |
|                                  | Collaboration to facilitate law enforcement              | Cooperation of organizations to facilitate rules                      |
|                                  |                                                          | Inter-institutional cooperation to prevent occurrence                |
| Rules                            | Capacity                                                 | Transparency                                                          |
|                                  |                                                          | Comprehensiveness                                                     |
|                                  |                                                          | Inclusive                                                             |
|                                  |                                                          | Balance                                                               |
|                                  | Power                                                    | Efficiency                                                             |
|                                  |                                                          | Executive guarantee                                                   |
| Specialization                   | Management and executive ability                         | Specialized local decision makers and policy makers                    |
|                                  |                                                          | Specialized managers and executors and personnel at macro-level      |
|                                  |                                                          | Awareness of management strategies and urban watershed management    |
|                                  |                                                          | Leadership power                                                      |
|                                  | Awareness and belief of managers and decision makers     | Job skills of managers and responsible authorities                    |
|                                  |                                                          | formation of crisis management committee with experienced and academic members |
|                                  |                                                          | Belief in management and exposure strategies such as watershed science|
|                                  | Educational-cum-cultural programs                       | Holding disciplined preparation maneuvers in the organizations         |
|                                  |                                                          | Compiling educational content of standards                            |
|                                  |                                                          | Running educational programs for citizens                             |
|                                  |                                                          | Compiling and running educational programs about mental-social        |
|                                  |                                                          | strategies                                                            |
|                                  |                                                          | Holding educational workshops                                         |
|                                  |                                                          | Holding joint meetings to share new scientific findings              |
| Education and                    | Promotion of informing culture, and sharing              | Individual, collective, and organizational learning capacity          |
| organizational culture           | information                                              | Tendency of related organizations to educate and inform citizens      |
|                                  |                                                          | Tendency to innovation in institutions                                |
|                                  |                                                          | Culture of information sharing in society                             |
|                                  |                                                          | Culture of organizational informing                                   |
|                                  |                                                          | Presence of a specific system/institution to manage public           |
|                                  |                                                          | thoughts and deal with psychological operations                      |
|                                  |                                                          | Unity of procedure in informing                                       |
|                                  |                                                          | Culture of cooperation                                                 |
Table 4. Cont.

| Category                                | Sub-Category                                                                 | Code                                                                 |
|-----------------------------------------|------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Supporting framework                    | Research need assessment                                                     | height of obtaining results                                         |
|                                          | Compiling a comprehensive program to follow research results                 | height of obtaining results                                         |
|                                          | Pinpointing research program frameworks                                      | height of obtaining results                                         |
| Sharing knowledge and information between scientific and organizational centers | Data, statistics, and data base                                              | height of obtaining results                                         |
|                                          | Geographic information system                                               | height of obtaining results                                         |
|                                          | Strengthening academic and scientific centers                               | height of obtaining results                                         |
|                                          | Providing basic information and facilitating requests from scientific centers | height of obtaining results                                         |
| Experiencing                            | Recording the history of flood events                                       | height of obtaining results                                         |
|                                          | Using the experience of successful countries in dealing with floods          | height of obtaining results                                         |
|                                          | Adapting programs to circumstances                                          | height of obtaining results                                         |
|                                          | Inviting experienced managers and activists to draw on their experience      | height of obtaining results                                         |

4. Discussion

Paying attention to the social, economic, and institutional dimensions of resilience is a very complex task because these dimensions are intertwined. In fact, many of the concepts used in the present study are not easily distinguishable in practice. Therefore, the classification of concepts into three categories of social, economic, and institutional is useful to understand them from a theoretical point of view and to identify the not-so-clear boundaries of these dimensions. Therefore, the classification presented may be challenged by some researchers and scholars or may not be in complete agreement with some other classifications in the existing literature. However, in addition to emphasizing the important points, an attempt has been made to make the correct division based on their comparison with the existing literature so that in practice, it can be used as an analytical tool to explain the issue of urban resilience and gather the required information.

In the social approach, the nature of the relationship of people and society with the environment and ecosystem was considered [62–65]. In this approach, various factors such as human actions, social structures and capacities, cultural contexts of society, and ideology that can pave the way for continuous exploitation of the environment are discussed to define the nature of this relationship.

Considering social aspects such as population growth, presence of deteriorating urban structures, multicultural character and structure of social classes, marginalization, speed of geographical expansion, suburban cities and industries, and problems related to urban life, Tehran has always been identified as factor of environmental degradation, and consequently, its vulnerability to natural disasters has been considered high [66,67]. Thus, it has been a case to study in social and environmental fields [68–71]. It seems that improving the behavioral patterns and lifestyle of its citizens can help to increase its adaptation capacity after natural disasters such as floods. Culture and education constitute an ongoing process which should be started from the beginning stages of socialization through schools and families [72–76]. Counseling centers and workshop educational programs can also be effective during and after crises [3,77–81].

Rumors and stress related to a disaster might negatively affect the service-giving process [82–84]. Therefore, quick and precise information-giving should be performed at the time of a flood. Similarly, on-time and correct management of information before, during, and after the disaster, along with suitable education through valid communication channels between citizens and officials, can substantially mitigate its negative consequences [85–88].

Given its excessive immigration in recent decades, Tehran has turned into a multicultural megacity [89–92]. Hence, paying attention to its different cultural dimensions in times of disaster is highly determinant.
Education is dynamic and flexible, meaning that its efficiency is dependent on the time, place, conditions, audiences, and general needs of the target society [35]. Education in times of disaster is of greater importance in that in these situations, education should happen sooner and the results should become clear faster [79]. Training can be started immediately after the rescue operation and temporary accommodation of the injured; for example, training regarding cold and heat care, animal care, health care, and how to interact with government agencies to provide assistance, etc. Since a significant portion of the residents of Tehran’s vulnerable areas have low social skills and academic qualifications, attempts to increase their awareness and information can do much to improve social resilience. Tehran’s citizens should have up-to-date and adequate information on how to deal with flood disasters, and should also be aware of the support organizations they can turn to for help in times of disaster. Both citizens’ individual skills and their interaction networks are key to effectively manage risks and crises, as these skills can be used not only to help victims but also as a way of education and knowledge transfer. Social relationship networks have a high potential to transfer local knowledge.

To manage and monitor the damages of natural crises such as floods, taking correct actions is of great importance. It is apparent that most crises are highly unpredictable and related organizations and officials are unable to take immediate measures. Using social capitals can compensate for this weakness. Since citizens have immediate access to damaged locations and are adequately familiar with the location conditions, they can play a key role in managing crises [93–97]. Citizens’ participation can make it faster and easier to provide necessary equipment and facilities and organize social forces. Social capital has institutional capacities, group and voluntary participation within civil groups that can play their role in times of disaster individually or collectively. If organized in people-based groups properly, social capital efficiency can markedly increase [98–100].

Trust is a facet of social capital in times of disaster as it can significantly improve the formation of positive interactions among citizens and civil organizations [101,102]. Trust in supportive institutions such as insurance companies can play a seminal role in mitigating stress and economic and social pressures in critical conditions [101]. Trust in supportive institutions means intrinsic security of citizens [103] and if citizens feel such security, they are likely to show appropriate behaviors in times of disaster. This, in turn, makes it easier for the pertinent organizations and officials to better monitor crises [104].

Attitudes and objective and subjective evaluations are among environment phenomena, and many human behaviors are a reflection of attitudes and evaluations in different situations [105–107]. Further, attitude is tightly related to history, culture, and social and environmental factors [108,109]. Since Tehran is a multicultural city, paying attention to cultural elements in urban planning to achieve resilience is more complex than in other cities, especially because a large part of Tehran’s population is made up of immigrants who are still following their local traditions. Kinds of human attitudes are relevant in determining actions, priorities, and values. Thus, attitude helps people to select their behaviors in varying situations. In critical situations such as floods, although many people’s actions and behaviors are affected by emotions and immediate reactions, their deep cultural beliefs and mental subjective evaluations can be determining factors in the kinds of actions they show. Attitudes such as fatalistic beliefs, while they may increase endurance in the face of adversity, prevent foresight, anticipation, and appropriate measures to deal with risks. Fatalism believes that all events are beyond human control [110].

Acevedo [111] considers religion and culture to be effective in shaping fateful thoughts in Islamic societies about disasters such as earthquakes and floods because they consider them as the will of God. In Morocco, for example, low-educated people consider earthquakes to be a divine will. They think that God protects good people from disasters [112]. Fatalistic attitudes prevent people from being prepared for dangers [113,114]. In this study, when the people of Agadir were asked, “Like the earthquake of 1960, will Agadir ever be hit with another big quake?”, 75% of those with less than 8 years of education chose
the option, “I will never say what only Allah says”; less than 25% of those over the age of 12 chose this option. More than 75% of those with more than 12 years of education chose the “yes, of course” option, compared to less than 25% of those with less than 8 years of education [112].

Social solidarity, which is measured by the cultural and social distance of social groups, refers to a joint interaction among social forces and different historical, cultural, and social factors, which have been considered as dimensions of resilience against floods and have been emphasized in this study. Measures of solidarity are regarded as factors influencing citizens’ commitment, responsibility, and participation in environmental psychology [99,115]. In environmental psychology, place is a linking and solidifying factor. Thus, a higher level of place attachment means a higher level of participation and increases citizens’ participation at times of crises [99]. In a megacity such as Tehran, due to its geographical expansion and existence of social and cultural distance among districts [89,116,117], “readiness and communication actions of citizens and areas” becomes more determinant. In such areas, local solidarity can be created by reducing cultural discrepancies and creating multi-cultural bonds.

Access to different resources is critical in crisis management [118–120]. Additionally, the existence and sufficiency of health and hygienic resources is also critical because most crises cause damage to people’s mental and physical health. Social resources such as NGOs and active civil institutions can also help to manage floods more effectively. Additionally, at times of crises, due to the aggravation of psychological distress and the dire need of citizens for better management of stress and anxiety [121–125], psychological sources become more determinant.

Economy is one of the main axes of sustainable development that is used to exploit environmental resources [126]. It plays a key role in planning, goal setting, and providing suitable conditions to achieve intended purposes. In the contemporary world, in which commodities and services need financial and monetary supports for production and movement, economy and fiscal capability is of great importance for governments and organizations to offer better services and make correct decisions.

In recent decades, the remarkable influx of people to Tehran and the mismatch between the growth of economic infrastructures and the growth of the city’s population has led to many problems, including but not limited to unstable livelihoods, housing shortages, inadequate hygienic services, and unemployment, all of which affect economic resilience. In addition, dependence on the single-product economy and the lack of diversity in economic activities are among the main problems facing Iranian cities, including Tehran. The US-led economic sanctions against the country have exacerbated this situation. This study found that the three factors of “empowerment”, “flexibility”, and “credit” play a key role in making Tehran economically resilient to floods. The presence of powerful economic activists such as citizens who are financially well-off and the presence of dynamic and active capacities was identified as factor of economic resilience to floods. A significant proportion of Tehran’s population is suburban. This implies a lack of financial capabilities and, consequently, their vulnerability to various crises such as floods.

Economy and its pertinent elements have a role in the resilience of societies against crises and threats. There is, in fact, a bilateral relationship between economy and natural disasters. To be more specific, a dynamic and powerful economy can increase the resilience of society against crises, and in reverse, crises can damage the economy and disrupt economic growth and development [127,128]. The participants of this study hinted to the economic capabilities of society as a factor of resilience against natural crises such as floods. Citizens’ financial capability, as an economic element to manage crises such as floods, can increase their adaptability capacity against damages [129,130]. Generally, “citizens’ financial capability” is closely related to the presence of dynamic economic resources and “active economy” in the area [120,131,132].

Additionally, economic empowerment is associated with social equities and equal opportunities in society. In neoliberalism economic structures, money and economic
capability are taken as a factor to expansion of social inequities and poverty [133–135] and can prevent different social groups from development and progress. In a large society like Tehran, with many people who are unemployed and lack relative welfare, it is conceivable to expect that distribution of opportunities was not equal and fair. A remarkable rate of people in this city live in slums and urban suburbs [136–138] that have the least facilities and equipment to deal with crises such as floods. In a study, Movahed et al. (2016) concluded that out of 6001 urban blocks in Tehran, 4361 blocks (72.6%) are blocks where poor or very poor people live. Similarly, according to the governor of Tehran, 23% of the country’s marginalized population lives in Tehran. Thus, creating necessary chances and possibilities to grow and train people’s capabilities will be an effective factor to empower them against crises.

In times of disaster, many people might lose their job and income. Thus, returning them to normal conditions requires support and rehabilitation. Experiences from previous disasters show that crises often occur in urban suburbs with vulnerable and informal jobs [139,140] whose business activities are not registered in any official institution and are not accountable to any institution.

In these areas, not only the structure of buildings and residential places may be damaged, but also many jobs and stores are shut and damaged. Thus, special attention should be paid to reopen stores and reactivate jobs so that people can meet their livelihood needs.

In extensive natural disasters, management is carried out by different institutions and organizations. Experience of severe disasters such as the destructive earthquake of Kermanshah province in November 2016 and also destructive floods in Fars, Golestan, Mazandaran, Lorestan, and Khoozestan provinces in April 2020 have indicated that crisis management has always faced numerous challenges, largely due to issues that arise from the macro-structures of society or issues that are relevant at the non-structural and micro levels [141,142].

Structural and performance problems in responsible organizations of crisis management are related to factors such as inefficiency of rules, lack of operational instructions, and lack of adequate cooperation and collaboration in performance methods among organizations [122,143,144]. Since institutions determine the rules of the game through the interaction of environment and society, organizational structures that have specific clear rules and can cooperate well with other responsible agents are more successful in crisis management [86,145–148].

The adaptation of rules with new conditions, improvement, correctness, and modifications are institutional measures that can be accomplished to ensure success in achieving intended goals [149,150]. Rules need to be in line with development actions, urban changes, and the general needs of society [151–154].

Interaction of public groups and responsible organizations is critical for resilience against crises. Using public forces and groups in all programs and measures leads to faster and more effective outcomes [85,155,156]. A lack of consistency of public groups and non-governmental organizations and also a lack of a specific method for attraction, organization, and participation of people are among the challenges of people-based groups at times of disaster [157–159]. Additionally, the interaction of officials with local businesses is also principal. As it was already pointed out, local businesses are among the most vulnerable centers at times of crises and the interaction of officials with these businesses can substantially help to mitigate damages in this domain.

Weaknesses in foresight and lack of planning by managers and officials have also been observed in the crisis management domain [160–162]. Dealing with crises requires a foresight approach by which correct predictions based on scientific precepts can be made [160,161,163,164]. However, a lack of such attitudes means that fulfilled measures are not often consistent with occurred disasters and crises. For example, in floods that have happened recently, it was noticed that waterways should be always dredged so that turbulent floods have a path for depletion. Unfortunately, however, measures such as building parks, roads, green spaces, and railways have closed these waterways. Thus,
compiling foresight and effective and comprehensive programs can substantially reduce the probability of such crises and events in the future.

In addition to having technical knowledge, managers should be adequately motivated and aware to make effective decisions and changes while managing crises. In other words, managers should enhance the culture of responsibility and accountability in themselves and also their personnel. Managers and agents should have updated knowledge about crisis management. This can be realized through educational and cultural programs.

Research, as an effective way of informing, plays a key role in the executive and management ability of informal organizations and groups. Effective measures to manage flood disasters need updated knowledge which can be acquired through research. Research, in itself, needs financial and institutional support. These organizations should also have the chance to make use of other organizations' data and documents through sharing their information and findings with one another. The degree of managers’ intention to learn from previous floods, collecting the history of floods, reviewing the programs pertinent to previous floods, comparing the previous and present management programs, inviting veteran managers to use their experience, and running systems to assess the satisfaction of citizens with the measures taken in previous and future floods are among strategies that the participants have highlighted as ways to gain experience by managers.

5. Conclusions

Despite the existence of various resilience-related analyses and models, there is no one-size-fits-all formula and guideline to which all sectors and communities can adhere, as resilience is a multidimensional concept within a complicated system consisting of economic, social, institutional, environmental, and ecological factors. Indeed, to arrive at a correct understanding of resilience, it is essential to consider the specific characteristics of the area. In the present study, an attempt has been made to identify and develop the standards and indices for assessing flood resilience based on Iranian experts’ opinions.

The existence of many rivers in Tehran has exposed the city to the risk of flooding. This risk is even more serious in the western mountainous areas of Tehran. In recent decades, Tehran has become the destination of many citizens from villages and small towns, mainly due to the unbalanced accumulation of industries and more job opportunities. This in turn has not only increased the vulnerability of the city but also the unemployed population, non-standard buildings, and social problems. Since one main factor of managing natural crises is the preparedness to deal with them as well as the resilience level of cities, the present study aimed to propose a consistent and purposeful framework to assess Tehran’s resilience. This framework can be used in the context of other megacities, especially those in Asia, as they are similar to Tehran.

As the results of the study show, resilience has several closely related facets. In other words, to deal with crises, cities need to have the necessary economic, social, and institutional capacities. In urban systems, the different components should interact well. This interaction should also exist between people and urban systems.

A city can be considered economically resilient only if it has economic innovation and production capacities. Stable economic infrastructures and a skilled workforce are characteristics of a resilient city. Adequate distribution of economic opportunities is also a key to economic growth as it not only increases economic performance but also enhances social participation and capabilities. Moreover, flexibility and the ability to return to the previous equilibrium or create a second equilibrium are among the characteristics of economic resilience. Flexibility increases the capacity of risk management in natural crises. To strengthen this capacity, supporting actors, especially supporting organizations such as insurance companies, are of great importance. A major obstacle in crisis management of major cities like Tehran is the lack of a sufficient budget and credit to support and provide adequate facilities to better cope with crises. This study has shown that sufficient budget and credit is another effective factor for economic resilience.
As mentioned earlier, the interaction of human and urban systems is a facet of resilient systems. Humans, as active and conscious actors, play a role in managing urban crises and building resilience to risks. Therefore, it is essential to pay special attention to the social structures of any society as an aspect of resilience. Social resilience deals with the dynamic system of human interaction with the environment. Kinship and family relationships can thus be used to better monitor affairs in times of disasters.

Drawing on local traditions, skills, facilities, and customs can remarkably influence crisis management, provided that participation is accompanied by trust. Social capital is utilized in the form of social participation only when there is mutual trust between social activists and social and organizational actors. Mutual trust can provide shared and sympathetic capacity and cooperation between different agents in times of disasters. This in turn increases the likelihood of better crisis management. Another aspect of social resilience is the general attitude of society towards floods. Superstition and traditional beliefs such as fatalism may cause some citizens to not take crises such as floods seriously. In addition, a lack of learning from previous events, misunderstanding of the causes of crises, insensitivity to the seriousness of the disaster, and lack of adherence to rules and instructions are among the prevalent problems in damaged areas. However, it is relevant to mention that citizens’ attitudes are highly dependent on their satisfaction with their government’s policies and programs.

When social sources are present along with social solidarity, the social capacity to prevent and handle crises is expected to increase. As Tehran is a cultural melting pot, factors such as cultural and ethnographic beliefs, low social trust, and lack of constructive relations between cultures can be considered as barriers to social participation and solidarity, which are determinant in times of disaster. Cultural diversity, then, would only work to their advantage if it brought about social solidarity.

Institutional resilience is another facet. Institutions act as behavioral models and offer instructions on putting society’s potential capabilities into action. Any institutional inadequacy in crisis management can lead to serious problems for the organizations charged with crisis management. These inadequacies may be due to poorly thought-out rules, a lack of instructions for action, or poor cooperation between the groups and institutions involved. Rules related to the prevention and management of risks play a crucial role in building resilience capacity against floods. The absence, weakness, or inefficiency of rules have always proven a daunting challenge in the crisis management system. The inadequate monitoring of these rules and the incompatibility of the rules with the needs of society can lead to gross inadequacy in the response of organizations to crises. Therefore, it is relevant to continuously review the city rules to identify their weaknesses and strengths. In addition, punitive and motivational measures should be considered when necessary to ensure that rules are properly followed. Finally, the performance of related organizations should also be continuously reviewed and evaluated.

Institutional and organizational measures are only effective if, first, there is constructive cooperation between the related groups and, second, these groups actively engage with social forces. The relationship between organizations will be only effective if the tasks of each group and organization are clear and the necessary conditions for the fulfillment of their tasks are provided. Joint organizational interactions need common leadership and goals.

Institutional groups should have knowledgeable and capable individuals who have leadership skills to carry out rules as well as extensive knowledge of their position and role. Appropriate organizational culture and leaders’ belief in flexibility and change are also factors for better management of crises. The staff needs to be trained unceasingly and their information should be updated according to needs. Since these organizations have common goals, the process of sharing information among them should be easy and smooth so that they can cooperate well. In addition, issues such as the appreciation of scientific knowledge and experts’ attitude, the sharing of knowledge and expertise, and the use of experience are factors that create resilience to floods.
Since the present study was an exploratory study, the main basis of the findings was the results of interviews with experts, which limits the possibility of explaining the findings based on previous theoretical and experimental models, which is a suggested route to take in future research. Moreover, by conducting field studies, the findings of the present study can be tested empirically and objectively, and the limitations of the analyzes on qualitative and subjective information can be removed.

Another limitation of the present study is the focus on specific geographical areas; while comparing the findings of the present study with the findings of other geographical areas can provide a good explanation of geographical, climatic, and cultural differences, so can comparative studies and meta-analysis of studies. It is recommended to remove this restriction.

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