Meta-analysis comparing higher and lower dose radiotherapy for palliation in locally advanced lung cancer

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The purpose of this meta-analysis was to compare higher dose (≥30 Gy) and lower dose (<30 Gy) radiotherapy (RT) on palliation of symptoms and survival in patients with locally advanced lung cancer. A search of PubMed and Google Scholar was conducted on 10 June 2013 using combinations of the search terms: radiotherapy, non-small-cell lung carcinoma, palliative, supportive, symptom relief. Inclusion criteria were: (i) palliative thoracic RT; (ii) randomized controlled trial; (iii) English language; and (iv) compared outcomes between higher dose (≥30 Gy) and lower dose (<30 Gy) RT. The primary outcome was palliation of symptoms (cough, chest pain, hemoptysis), and 1- and 2-year overall survival. Tests of heterogeneity, sensitivity, and publication bias were performed. Five randomized controlled trials with a total of 1730 patients with lung cancer were included in the meta-analysis. There were 925 patients treated with a higher RT dose (≥30 Gy) and 805 treated with a lower RT dose (<30 Gy). The combined odds ratios (ORs) indicated no significant difference in palliation of cough, chest pain, and hemoptysis between the higher dose and lower dose RT groups (combined ORs = 0.88, 1.83, 1.39, respectively). The 1- and 2-year OS rates were similar between the high and low dose RT groups (combined ORs = 1.09 and 1.38, respectively). This meta-analysis indicates that high dose (≥30 Gy) and lower dose (<30 Gy) RT provide similar symptom palliation and 1- and 2-year OS in patients with locally advanced lung cancer.

Most patients who present with inoperable, locally advanced lung cancer are treated with palliative intent. The goals of therapy are to relieve pain and other symptoms such as cough, dyspnea, and hemoptysis, and to improve or maintain quality of life (QoL). Radiotherapy (RT) has been clearly shown to improve symptoms such as hemoptysis, cough, chest pain, dyspnea, and airway obstruction in patients with lung cancer.1–3 However, the optimal dose of RT required to palliate symptoms has not been well-defined, and trials comparing different regimens have reported the conflicting results with respect to symptom palliation, survival, and QoL.1–7 Specifically, it is not clear if short protracted courses of RT provide similar results to longer courses, and if lower dose RT can provide palliation of symptoms and survival similar to higher dose RT.

Prior systematic reviews and meta-analyses have found no difference in symptom relief with high dose vs. lower dose regimens; however, a higher dose of RT has been associated with a small increase in survival, especially in patients with a better performance status.8–10 While higher dose regimens may result in an improvement in survival, they are associated with greater toxicity, especially esophagitis.9,10 Interestingly, a Cochrane review by Lester et al.9,10 indicated that because of the heterogeneity among studies no formal meta-analysis could be conducted.

Recent evidence-based clinical practice guidelines from the American Society for Radiation Oncology for palliative thoracic RT in patients with lung cancer indicated that higher dose/fractionation external beam RT (EBRT) (e.g., 30 Gy/10 fraction equivalent or greater) was associated with modest improvements in symptom scores and survival, particularly in patients with a good performance status; however, the higher doses were associated with greater esophageal toxicity.11 Because of the increased toxicity, the report suggested that shorter EBRT dose/fractionation schedules (e.g., 20 Gy in five fractions), which provide adequate symptom relief with fewer side effects should be used for patients who desire a shorter treatment course or those with a poor performance status.

Based on the 30 Gy dosage defined in the aforementioned guidelines, the purpose of this meta-analysis was to compare the effect of higher dose (≥30 Gy) and lower dose (<30 Gy) EBRT on palliation of symptoms and survival in patients with locally advanced lung cancer.

Materials and Methods

Literature search strategy. A search was conducted of PubMed and Google Scholar using combinations of the search terms: radiotherapy, non-small-cell lung carcinoma, palliative, supportive, symptom relief. The search date was 10 June 2013.
Each publication was carefully examined, including the names of all authors, to avoid duplication of data.

**Selection criteria.** Studies were selected for inclusion in this analysis based on the following criteria: (i) palliative thoracic RT; which was defined as RT given to the chest by an external beam with a palliative intent (i.e., with the intent of controlling symptoms, not cure); (ii) randomized controlled trial; (iii) English language; and (iv) compared outcomes between higher dose ($\geq 30$ Gy) and lower dose ($<30$ Gy) RT. Exclusion criteria for this analysis were as follows: (i) endobronchinal brachytherapy, also known as internal radiotherapy (the radiation source is placed inside the bronchus); (ii) prospective non-randomized study; (iii) retrospective study; (iv) one arm study; and (v) letters, comments, editorials, case reports.

**Data extraction.** Two independent reviewers extracted the data from eligible studies. A third reviewer was consulted for resolution of disagreement. Data extracted included author, year of publication, study type, stage of lung cancer, RT regimen, number of cases, age and gender of the patients, palliation of cough, chest pain, and hemoptysis, 1- and 2-year overall survival, and treatment related toxicities.

**Quality assessment and data analysis.** The Delphi list was used for the quality assessment of randomized clinical trials. Two outcome measures were used to evaluate the clinical effectiveness of two different doses of RT. The primary outcome was palliation of symptoms (cough, chest pain, and hemoptysis), and the secondary outcomes were 1- and 2-year overall survival (OS) rates. Odds ratios (OR) with 95% confidence intervals (CIs) were calculated for binary outcomes and compared between patients treated with higher dose ($\geq 30$ Gy) and those treated with lower dose ($<30$ Gy) RT; an OR $>1$ indicates that the higher RT dose is favored. Heterogeneity among the studies was assessed by calculating Cochran $I^2$ statistic. For the $Q$ statistic, $P < 0.10$ was considered to indicate statistically significant heterogeneity. The $I^2$ statistic indicates the percentage of the observed between-study variability caused by heterogeneity. Heterogeneity determined using the $I^2$ statistic was defined as: 0–24% = no heterogeneity; 25–49% = moderate heterogeneity; 50–74% = large heterogeneity; and 75–100% = extreme heterogeneity. If either the $Q$ statistic ($P < 0.1$) or $I^2$ statistic ($>50$%) indicated heterogeneity existed between studies, the random-effects model (DerSimonian-Laird method) was used. Otherwise, the fixed-effects model was used (Mantel-Haenszel method). Pooled ORs for all binary outcomes were calculated and a two-sided $P$-value $< 0.05$ was considered to indicate statistical significance. Sensitivity analysis was performed for the primary outcome based on the leave-one-out approach. All statistical analyses were performed using the Comprehensive Meta-Analysis statistical software, version 2.0 (Biostat, Englewood, NJ, USA).

**Results**

**Literature search and study characteristics.** A total of five studies$^{(13-17)}$ met the inclusion criteria, and were included in this analysis. A flow diagram of the study selection is shown in Figure 1. The basic characteristics of the studies included in the meta-analysis are summarized in Table 1. Among the five studies included, there were two equivalent and three non-inferior randomized controlled trials. A total 1730 patients with non-small cell lung cancer were enrolled in the five studies, consisting of 925 treated with a higher RT dose ($\geq 30$ Gy) and 805 treated with a lower RT dose ($<30$ Gy). The total number of patients in each of the studies ranged from 148 to 509. For patients treated with a higher RT dose, palliation of cough ranged from 49% to 58%, palliation of chest pain from 64% to 86%, and palliation of hemoptysis from 80% to 97%; for patients treated with a lower RT dose, palliation of cough ranged from 47% to 65%, palliation of chest pain from 50% to 81%, and palliation of hemoptysis from 75% to 95%. For patients treated with higher dose RT, 1-year OS ranged from 11% to 36%, and 2-year OS ranged from 8% to 12%; for patients treated with lower dose RT, 1- and 2-year OS ranged from 19% to 29% and 4% to 9%, respectively.

**Primary outcome: palliation of symptoms.** One study$^{(13)}$ did not report relevant data with respect to the primary outcome of palliation of cough; thus, four studies were included in the analysis of palliation of cough. After pooling of data, no significant heterogeneity among the studies was found ($Q = 4.30$, df $= 3$, $P = 0.230$; $I^2 = 30.30$%); therefore, a fixed-effects model was used for the analysis. The combined OR revealed no significant difference in palliation of cough between patients treated with a higher RT dose compared to those treated with a lower RT dose. Among the four studies, ORs ranged from 0.69 to 1.33, with the combined OR $= 0.88$ (95% CI $= 0.71-1.08$, $P = 0.217$, Fig. 2a).

Two studies$^{(13,15)}$ did not report relevant data with respect to palliation of chest pain; thus, three studies were included in the analysis of palliation of chest pain. After pooling of the data, significant heterogeneity among the studies was found ($Q = 15.42$, df $= 2$, $P < 0.001$; $I^2 = 87.03$%); therefore, a
Table 1. Characteristics of studies included in the meta-analysis

| Reference number | First author (year) | Study type | Type of lung cancer | Study type | RT regimen | Number of cases (higher versus lower dose) | Palliation of symptoms (%) | Overall survival rate (%) | Treatment-related toxicity |
|------------------|---------------------|------------|---------------------|------------|------------|-------------------------------------------|-----------------------------|--------------------------|--------------------------|
|                  |                     |            |                     |            | Higher dose group (RT dose ≥30 Gy) | Lower dose group (RT dose <30 Gy) | Age (years), median | Gender, male (%) | Cough | Chest pain | Hemoptysis | 1-year | 2-year |               |
| 13               | Kramer (2005)       | RCT, non-inferior | Inoperable, stage IIA/B, IV | NA | 30 Gy in 10 fractions | 16 Gy in two fractions | 148 vs. 149 | 68.9 vs. 69.3 | 79.7 vs. 79.9 | NA | NA | NA | 11 vs. 20 | NA | NA |
| 14               | Erridge (2005)      | RCT, non-inferior | NA | 30 Gy in 10 fractions | 16 Gy in two fractions | 74 vs. 74 | Mean: 67.7 vs. 66.2 | 73.0 vs. 62.2 | 58 vs. 51 | 84 vs. 50 | 97 vs. 88 | 28 vs. 19 | 8 vs. 4 |               |
| 15               | Sundstrøm (2004)    | RCT, equivalent | Locally advanced, stage III, IV | NA | 42 Gy in 15 fractions; 50 Gy in 25 fractions | 17 Gy in two fractions | 264 vs. 143 | 68.5 vs. 68 | 74.3 vs. 77.0 | 49 vs. 47 | NA | 91.5 vs. 82 | 30 vs. 29 | 12 vs. 8 | NA |
| 16               | Macbeth (1996)      | RCT, non-inferior | Inoperable | 39 Gy in 13 fractions | 17 Gy in two fractions | 254 vs. 255 | NA | 79 vs. 79 | 49 vs. 55 | 64 vs. 65 | 89 vs. 95 | 36 vs. 31 | 12 vs. 9 | Nausea (20%), anorexia (34%), dysphagia (41%) versus nausea (24%), anorexia (37%), dysphagia (29%) |
| 17               | Medical Research Council (1991) | RCT, equivalent | Inoperable | 30 Gy in 10 fractions | 17 Gy in 2 fractions | 185 vs. 184 | NA | NA | 56 vs. 65 | 86 vs. 81 | 80 vs. 75 | 23 vs. 20 | 5 vs. 5 | NA |

Data for number of cases, age, gender, palliation of individual symptoms, survival rates and treatment-related toxicity are presented as higher versus lower dose of RT. NA, non-available; RCT, randomized controlled trial; RT, radiotherapy.
random-effects model was used for the analysis. The combined OR revealed no significant difference in palliation of chest pain between patients treated with a higher RT dose compared to those treated with a lower RT dose. Among the three studies, the ORs ranged from 0.96 to 1.44, with the combined OR = 1.83 (95% CI = 0.76–4.38, P = 0.176, Fig. 2b).

One study did not report relevant data with respect to palliation of hemoptysis; thus, four studies were included in the analysis of palliation of hemoptysis. After pooling of the data, significant heterogeneity among the studies was found (Q = 16.39, df = 3, P = 0.001; I² = 81.69%); therefore, a random-effects model was used for the analysis. The combined OR revealed no significant difference in palliation of hemoptysis between patients treated with a higher RT dose compared to those with a lower RT dose. Among the four studies, ORs ranged from 0.43 to 4.41, with the combined OR = 1.39 (95% CI = 0.94–2.04, P = 0.102, Fig. 3a).

Secondary outcome: OS rate. The Forest plot of the meta-analysis for the 1-year OS rate is presented in Figure 3(a). After pooling of the data, no significant heterogeneity among the studies was found (Q = 7.31, df = 4, P = 0.125; I² = 44.50%); therefore, a fixed-effects model was used for the meta-analysis of the 1-year OS rate. The combined OR revealed no significant difference in 1-year OS between patients treated with a higher RT dose compared to those with a lower RT dose. Among the five studies, ORs ranged from 0.50 to 1.66, with the combined OR = 1.09 (95% CI = 0.88–1.37, P = 0.425, Fig. 3a).

One study did not report data regarding the 2-year OS rate; thus, four studies were included in the analysis of 2-year OS. After pooling of the data, no significant heterogeneity among the studies was found (Q = 0.835, df = 3, P = 0.841; P = 0.00%); therefore, a fixed-effects model was used for the analysis. The combined OR revealed no significant difference in 2-year OS between patients treated with a higher RT dose compared to those with a lower RT dose. Among the four studies, ORs ranged from 1.00 to 2.09, with the combined OR = 1.38 (95% CI = 0.94–2.04, P = 0.102, Fig. 3b).

Sensitivity analysis. The results of the sensitivity analyses, in which the studies were omitted one-by-one, are summarized in Figure 4. For palliation of cough (Fig. 4a) and chest pain (Fig. 4b), the direction and magnitude of the pooled estimate did not vary markedly with the removal of any study, which indicates good reliability in this meta-analysis. However, for

Fig. 2. Forest plots of the meta-analysis comparing higher dose (≥30 Gy) versus lower dose (<30 Gy) radiotherapy for palliation of symptoms. (a) Cough; (b) chest pain; (c) hemoptysis. CI, confidence interval; RT, radiotherapy.
palliation of hemoptysis (Fig. 4c), the removal of the study by Macbeth et al.\(^{(16)}\) caused the pooled OR to change from non-significant (OR \(= 1.39, \text{ 95\% CI } = 0.60–3.20, P = 0.437\)) to significant (OR \(= 1.93, \text{ 95\% CI } = 1.11–3.36, P = 0.021\)).

**Quality assessment and publication bias.** Dephi assessment of the included studies indicated they were of high quality (Table 2). Due to the small number of selected studies, it was inappropriate to assess for publication bias using a Funnel plot. It has been previously shown that five or fewer studies are insufficient to detect Funnel plot asymmetry.\(^{(18)}\)

**Treatment-related toxicity.** Among the five studies included in the analysis, only two\(^{(14,16)}\) reported treatment-related toxicities. For this reason, a formal analysis could not be performed. Treatment-related toxicities were not dissimilar in the high and low dose RT groups, and the data are summarized in Table 1.

**Discussion**

The results of this meta-analysis comparing high dose (\(\geq 30\) Gy) and a lower EBRT dose (\(< 30\) Gy) in patients with locally advanced lung cancer showed that both dosages provided equal symptom relief, and 1- and 2-year OS were similar with the two dosages.

There were five studies included in the meta-analysis, two equivalent and three non-inferior randomized controlled trials. The Dutch National Study\(^{(13)}\) included 297 patients with stage III and IV non-small cell lung cancer (NSCLC). The patients were randomly assigned to receive radiotherapy in 10 fractions of 3 Gy (10 \(\times\) 3 Gy) or in two fractions of 8 Gy (2 \(\times\) 8 Gy). No difference in symptom control between groups was noted during the first 9 months; however, the patterns of control over time suggested that the higher dose group had less worsening of symptoms. One-year survival was significantly greater in the higher dose group (19.6\% vs. 10.9\%, \(P = 0.03\)).

Erridge et al.\(^{(14)}\) randomly assigned 149 patients with incurable lung cancer of any type to receive EBRT of either 30 Gy in 10 fractions or 10 Gy in a single fraction. The total symptom score (TSS) was improved in 77\% of patients who received the single fraction and in 92\% that received 10 fractions (difference of 15\%, 95\% confidence interval [CI] 3–28\%). The median survival was 22.7 weeks in the 10 Gy single fraction group and 28.3 weeks in the 30 Gy 10 fraction group (\(P = 0.197\)). No differences were observed in toxicity. It should be noted that this study was conducted in May 1988 and July 1993, and was underpowered with only 148 patients. In addition, symptoms were scored by the attending physicians and not the patients.

Sundström et al.\(^{(15)}\) randomly assigned 421 patients with stage III or IV NSCLC to receive either 17 Gy in two fractions (group A), 42 Gy in 15 fractions (group B), or 50 Gy in 25 fractions (group C) and the European Organization for Research and Treatment of Cancer (EORTC) Quality of Life Questionnaire (QLQ)-C30 and EORTC QLQ-lung cancer-specific module (LC13) were used to evaluate outcomes. Health-related quality-of-life (HRQoL) and symptom relief were equivalent between the three groups, and no difference in survival was noted (median survival of group A, B, and C 8.2, 7.0, and 6.8 months, respectively). The data, however, suggested that patients with stage III disease with a good performance status may achieve greater long-term survival with protracted higher dose schedules.

The Medical Research Council (MRC) Lung Cancer Working Party study of 1996 prepared by Macbeth et al.\(^{(16)}\) randomly assigned 509 patients with inoperable locally advanced NSCLC to RT with either 17 Gy in two fractions 1 week apart.

**Fig. 3.** Forest plots of the meta-analysis comparing higher dose (\(\geq 30\) Gy) versus lower dose (\(< 30\) Gy) radiotherapy for overall survival. (a) 1-year overall survival; (b) 2-year overall survival. CI, confidence interval; RT, radiotherapy.
or 39 Gy in 13 fractions 5 days per week. Overall symptom palliation was greater in the group that received 17 Gy in two fractions, whereas survival was greater in the group that received 39 Gy in 13 fractions, with survival rates of 31% and 36% at 1 year and 9% and 12% at 2 years in the 17 Gy and 39 Gy groups, respectively. Treatment-related dysphagia, was greater in the higher dose group (41% vs. 29%).

An earlier MRC study (1991) randomly assigned 369 patients with inoperable, symptomatic NSCLC to receive RT of 17 Gy in two fractions 1 week apart or a conventional multifractionated regime of either 30 Gy in 10 fractions or 27 Gy in six fractions (a biologically equivalent dose [BED]), given daily except on weekends. The percentage of patients that achieved palliation and duration of palliation was similar between the two groups, as was median survival (17 Gy group, 179 days; 30 Gy group, 177 days).

While the meta-analysis of the studies described above indicated that there was no difference in the palliation of hemoptysis with the higher or lower dosage of RT, when the study by Macbeth et al. was excluded, the combined OR became significant in favor of higher dose RT. This finding may be due to different dosages and frequencies of RT administration used in the various studies, or due to different criteria for defining hemoptysis and its palliation. Erridge et al. used symptom scores to grade hemoptysis with a score of 0 indicating no hemoptysis and 4 indicating copious hemoptysis with an improvement of one grade or more considered a palliative response. Sundström et al. used EORTC QLQ-lung cancer-specific module (LC13) for evaluating hemoptysis and other airway symptoms and used a difference in mean score ≥10 points to indicate a clinically significant change. The 1991 MCR study simply graded hemoptysis as none, mild, moderate, and severe and considered an improvement of at least one grade as a palliative response. The 1996 MRC study prepared by Macbeth et al. on the other hand, used the Rotterdam Symptom Checklist and defined palliation as improvement of at least one category during the first 3 months of treatment.

Other systematic reviews have been performed examining the effects of RT regimens in patients with inoperable lung cancer.

**Fig. 4.** Results of the sensitivity analysis to examine the influence of individual studies on pooled estimates for the primary outcome of palliation of symptoms as determined using the leave-one-out approach. (a) Cough; (b) chest pain; (c) hemoptysis. CI, confidence interval.
Síržén et al.⁹ performed a systematic review in 2003 that included a total of 18,301 patients, and concluded that RT for patients with inoperable disease or patients refusing surgery with stage I/II NSCLC prolongs survival and that there is some evidence that two large RT fractions may be as effective as 10–13 smaller fractions with respect to palliation of symptoms. Though no formal meta-analysis could be conducted because of heterogeneity among studies, a Cochrane review by Lester et al.⁸⁰ in 2006 concluded that no strong evidence exists that higher doses in other studies, thus heterogeneity of doses may still be present in the analysis. Of note, the study by Fairchild et al.¹⁰ (Table 3). As can be seen in Table 3, although the total dose is quite different between the higher dose RT groups and the lower dose RT groups, the calculated BED is only slightly larger for the higher dose RT groups than the lower dose RT groups. This may explain why our results show that both groups exhibit similar symptom control and overall survival. More rigorous comparison may be needed to differentiate the effects caused by higher dose RT versus lower dose RT, and whether long-course fractionated RT or hypofractionated RT is more clinically effective.

There are limitations to the current analysis that should be considered. Only five studies were included; however, all were randomized controlled trials and the total number of patients was large. There was heterogeneity of the dose regimen between studies, fractionation schedule was not taken into consideration in the analysis, and QoL life was not assessed.

| First author | Kramer (13) | Erridge (14) | Sundstrøm (15) | Macbeth (16) | Medical Research Council (17) |
|--------------|------------|--------------|----------------|--------------|-------------------------------|
| Year of publication | 2005 | 2005 | 2004 | 1996 | 1991 |
| Treatment allocation | | | | | |
| Was a method of randomization performed? | Y | Y | Y | Y | Y |
| Was the treatment allocation concealed? | D | Y | D | D | D |
| Were the groups similar at baseline regarding the most important prognostic indicators? | Y | Y | Y | Y | Y |
| Were the eligibility criteria specified? | Y | Y | Y | Y | Y |
| Was the outcome assessor blinded? | D | D | D | D | D |
| Was the care provider blinded? | D | D | D | D | D |
| Was the patient blinded? | D | D | D | D | D |
| Were point estimates and measures of variability presented for the primary outcome measures? | Y | Y | N | Y | Y |
| Did the analysis include an intention-to-treat analysis? | Y | Y | Y | Y | Y |

BED was calculated based on the formula of Fairchild et al.¹⁰: BED (Gy10) = n d [1 + d/(α/β)] – Ln 2 (T – Tko)/(A) (Tp), where: α/β ratio = 10; T, overall treatment time; Tko (kickoff time for accelerated repopulation) = 7; A = 0.35 (as a measure of intrinsic radiosensitivity); Tp (effective doubling time) = 2.5 days; d, dose per fraction; n, number of fractions; RT, radiotherapy.
The use of concurrent or adjuvant chemotherapy was not examined.\textsuperscript{19,20} Lastly, cost considerations between different RT schedules were not examined.\textsuperscript{21}

In conclusion, the results of this meta-analysis comparing high dose ($\geq$30 Gy) and a lower EBRT dose (<30 Gy) in patients with locally advanced lung cancer showed that both dosages provided equal symptom relief and similar 1- and 2-year OS rates. While prior studies have suggested a survival advantage with higher dose RT, particularly in patients with a better performance status, this must be weighed against greater toxicity, especially esophagitis and dysphasia associated with a higher dose of RT.

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**Disclosure Statement**

None.

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