Research Article

Tumor Microenvironment Varies under Different TCM ZHENG Models and Correlates with Treatment Response to Herbal Medicine

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In traditional Chinese medicine (TCM), diagnosis of pathology and choice of treatment prescriptions are based on a method of differentiation of signs and symptoms known as syndrome differentiation or ZHENG. The cornerstone of TCM, ZHENG, relies on the gathering of clinical information through inspection, auscultation and olfaction, inquiry, and palpation. However, the biomolecular basis of the ZHENG remains unclear. In this study, we established mouse xenograft pancreatic cancer models with Shi-Re (Dampness-Heat), Pi-Xu (Spleen-Deficiency), or Xue-Yu (Blood-Stasis) ZHENG, which are regarded as the three major ZHENGs in pancreatic cancer. We found that tumors of the different ZHENG models exhibited significantly altered cancer-associated fibroblast (CAF) proliferative activity and tumor-associated macrophage (TAM) infiltration, which led to altered levels of CAF- and TAM-derived secreted cytokines such as SDF-1 and CCL5. The ZHENG model type also significantly influenced tumor growth, and administration of herbal medicine to the ZHENG model modified the tumor microenvironment. Therefore, this study partially unveiled the molecular basis of TCM ZHENG in pancreatic cancer.

1. Introduction

Traditional Chinese medicine (TCM) has a history of over 3000 years. A holistic form of medicine, TCM, emphasizes bringing the patient’s body, mind, and spirit into harmony. The theory and application of TCM are one of constant summarizing, inducing, and refining of the experiences accumulated in preventing and treating diseases in daily life and medical practice.

TCM rests squarely on ZHENG (syndrome) differentiation, a process of analyzing data collected through four combined diagnostic methods: inspection, auscultation and olfaction, inquiry, and palpation. All diagnostic and therapeutic methods in TCM are based on the differentiation of ZHENG. In modern times, TCM has become popular worldwide because of its reliable therapeutic efficacy [1]. However, diagnosis in TCM depends on the intuition and experience of the physician grounded in TCM theory, and this method seems to lack objectivity, accuracy, and reproducibility in the face of biomolecular science and Western-based medicine.

Furthermore, the concept of ZHENG is often misinterpreted and unclear. For all these reasons, researchers from China and elsewhere have begun to investigate the ZHENG of TCM for a molecular foundation.

Tumors are now recognized as structures of multiple cell types, comparable to organs in complexity, which during tumorigenesis recruit the involvement of surrounding normal cells to construct and interact within a tumor microenvironment [2]. Continuous paracrine signaling with feedback within this microenvironment eventually leads to the end stages of cancer progression [3]. As cancer is no longer considered a discrete entity defined only by the traits of cancer cells within the tumor but may eventually involve the entire organism, TCM offers a holistic approach whose goal is regulating the integrity of all body functions as well as the interaction between the human and surrounding environment.

We have previously shown that the presence of ZHENG may influence tumor growth in pancreatic cancer. We also found that this effect might correlate with the CC chemokine
(β-chemokine) family [4]. This finding suggests an involvement between ZHENG and the tumor microenvironment and deserves further research. Accordingly, in the present study we evaluated the tumor microenvironment under different ZHENG conditions, specifically noting changes in the proliferative activity of cancer-associated fibroblasts (CAF) and the infiltration of tumor-associated macrophages (TAM). We confirm here that characteristics of the tumor environment correlated with the ZHENG of TCM, and herbal treatments modified the tumor microenvironment.

2. Materials and Methods

2.1. Cell Lines and Mice. Samples of the pancreatic cancer cell line Panc02 were obtained from the Cancer Research and Development Center and grown in complete growth medium as recommended by the manufacturer. The cultured cells were maintained in a humidified 5% CO₂ atmosphere at 37°C. All cells were regularly authenticated by observing cell morphology and tested for the absence of mycoplasma contamination using a MycoAlert Mycoplasma Detection kit (MycoAlert, Lonza, Rockland, ME, USA).

Male C57 mice, 4- to 6-week old, were obtained from the Shanghai Institute of Materia Medica at the Chinese Academy of Sciences (Shanghai, China) and housed in laminar flow cabinets under specific pathogen-free conditions with food and water supplied ad libitum. All experiments on mice were conducted in accordance with the guidelines of the National Institutes of Health (NIH) for the Care and Use of Laboratory Animals. The Committee for the Use of Live Animals in Teaching and Research, Fudan University, Shanghai approved the study protocol.

2.2. Drugs and Reagents. Individual packets of herb powders for each herb were produced by Jiangyin Tianjiang Pharmaceutical. The final decoction of each prescription was produced by Jiangyin Tianjiang Pharmaceutical. The final decoction of each prescription was produced by Jiangyin Tianjiang Pharmaceutical. The final decoction of each prescription was produced by Jiangyin Tianjiang Pharmaceutical. The final decoction of each prescription was produced by Jiangyin Tianjiang Pharmaceutical. Individual packets of herb powders were blinded to the model/treatment type for this series of experiments performed in triplicate. The statistical analyses protocol of the manufacturer. Briefly, frozen tumor tissue samples were determined using a sandwich ELISA kit (DuoSet; R&D Systems, Minneapolis, MN) according to the protocol of the manufacturer. Briefly, frozen tumor tissue was homogenized in lysis buffer and thereafter centrifuged at 12,000 rpm for 15 minutes at 4°C; 50 μL of the supernatant was used for ELISA. Concentrations of immunoreactive SDF-1 and CCL5 were expressed as pg/mL.

2.3. Establishment of TCM ZHENG Model. We developed three types of TCM ZHENG models in respective mouse groups, namely, Shi-Re (Dampness-Heat), Pi-Xu (Spleen-Deficiency), and Xue-Yu (Blood-Stasis). The Shi-Re and Pi-Xu ZHENG models were established as we described previously [4]. Briefly, the Shi-Re condition was established by the wine and the pork fat combination (day 1 to day 7, 0.2 mL), and the food and honey-water were provided. Pi-Xu was developed by feeding the mice with a decoction of mirabilite and Chinese rhubarb, 0.2 mL for each mouse (day 1 to day 7). The Xue-Yu ZHENG was established by subcutaneous injection of 0.01% adrenaline (0.13 mg/kg) for each mouse (day 1 to day 7), as we described previously [5].

2.4. Subcutaneous Xenograft Tumor Model. Panc02 cells (2 × 10⁶ cells in 200 μL) were injected subcutaneously into the right axilla of each C57 mouse. The length and width of tumors (in millimeters) were measured weekly with calipers. Tumor volume was calculated by the formula \( (a \times b^2) \times 0.5 \), where \( a \) and \( b \) were the long and short dimensions, respectively. Mice were euthanized under anesthesia when tumors reached 1.5 cm in diameter. The tumors were then resected and weighed. Each group had ≥10 mice.

2.5. Immunohistochemical Analysis. Specimens of tumor tissue were fixed in 10% formalin and embedded in paraffin wax. Unstained 3 μm sections were then cut from paraffin blocks for immunohistochemical (IHC) analysis. The sections were stained with rabbit anti-vimentin (1:100), rabbit anti-α-SMA (1:100), rabbit anti-CD68 (1:200), rabbit anti-CXCR4 (1:200), and rabbit anti-CCR5 at 4°C overnight. The secondary antibody and avidin-biotin peroxidase complex method was used according to the standard protocols provided by the manufacturer (Vector Laboratories, CA, USA). An immunoglobulin-negative control was used to rule out nonspecific binding. Two independent assessors and one pathologist performed all procedures, all of whom were blinded to the model/treatment type for this series of specimens.

To quantitatively evaluate the CAF proliferative activity and TAM infiltration in each group, we calculated the ratio of the area positive for vimentin or CD68 staining to the total area in histological sections from ten fields under light microscopy (200x). The procedure for evaluation of CXCR4 and CCR5 expression followed that of our previous report [4].

2.6. Enzyme-Linked Immunosorbent (ELISA) Assay for Cytokine Release. The concentrations of SDF-1 and CCL5 in the tumor samples were determined using a sandwich ELISA kit (DuoSet; R&D Systems, Minneapolis, MN) according to the protocol of the manufacturer. Briefly, frozen tumor tissue was homogenized in lysis buffer and thereafter centrifuged at 12,000 rpm for 15 minutes at 4°C; 50 μL of the supernatant was used for ELISA. Concentrations of immunoreactive SDF-1 and CCL5 were expressed as pg/mL.

2.7. Statistical Analyses. The data are expressed as the mean ± standard error (SE) of three or more independent experiments performed in triplicate. The statistical analyses
were performed using analysis of variance (ANOVA) models and Student’s t-tests. A P value <0.05 was accepted as statistically significant.

3. Results

3.1. ZHENG Distribution in Pancreatic Cancer Patients. We firstly investigated the distribution of ZHENG conditions in populations of pancreatic cancer patients based on reports published from January 1, 1998 to December 31, 2008. Sixty-nine studies were identified by electronic and hand searches, among which 34 clinical articles were included for our study. Data on ZHENG distribution were extracted and analyzed. Twenty-seven ZHENGs were identified. The three ZHENGs in pancreatic cancer that were most reported were Dampness-Heat (in Chinese, Shi-Re; 33.9% of studies), Spleen-Deficiency (Pi-Xu; 29.10%), and Blood-Stasis (Xue-Yu; 19.8%; Figure 1).

3.2. Alteration of Tumor Microenvironment under Different ZHENG Conditions. The tumor microenvironment plays an important role in the development and progression of cancer [6, 7]. Pancreatic carcinomas are surrounded by desmoplastic stroma consisting of fibroblasts, immune cells, endothelial cells, and pericytes [8]. We hypothesized that the tumor microenvironment would be altered under different ZHENG conditions. To verify this hypothesis, we first established 3 subcutaneous tumor models of pancreatic cancer in mice that exemplified the ZHENG conditions Shi-Re, Pi-Xu, and Xue-Yu, respectively. We sought to investigate the differences in the tumor microenvironment among these ZHENG models.

As it is recognized that in many tumors the stroma is characterized by an increase in fibroblast proliferation, we immunostained CAFs using the fibroblastic marker vimentin combined with the defined myofibroblast marker α-smooth muscle actin (α-SMA) to investigate the proliferative activity of CAF [9]. We found that the number of both vimentin- and α-SMA-positive cells was decreased in tumors from the Shi-Re and Pi-Xu ZHENG models of pancreatic cancer compared with the control tumor, while tumors from the Xue-Yu model exhibited no changes in CAF activity (Figures 2(a) and 2(b)). This observation suggested that CAF proliferative activity in tumors was altered differently on the basis of ZHENG conditions.

It is accepted that, in general, cancer- and host-cell-derived signals program TAMs to acquire an M2-like polarized and otherwise tumor-supportive phenotype [10]. In many cases increased numbers of TAMs are associated with a poorer prognosis [11, 12]. Therefore, we evaluated tumors in the different ZHENG models for TAM infiltration by staining for CD68 (also known as macrodialin in mice), a glycoprotein expressed on macrophages. We found that, compared with the control group, the number of macrophages was dramatically less in the Shi-Re group, followed by lesser degrees of decrease in the Pi-Xu groups (Figures 2(a) and 2(b)). This observation suggested relatedness between the inflammation characteristics of tumor microenvironments and the specific

ZHENG conditions tested. Altogether, our study demonstrated a correlation between ZHENG conditions and the microenvironment of tumors in pancreatic cancer.

3.3. Correlation between Tumor Microenvironment and Growth under Different ZHENG Conditions. CAFs stimulate tumor cell proliferation and invasion through various growth factors, hormones, and cytokines [13]. SDF-1 is a CAF-derived chemokine that has been shown to directly boost the proliferation and invasion of pancreatic cancer cells [14]. Thus, we evaluated the levels of secreted SDF-1 in tumors under different ZHENG conditions, and the expression of CXCR4, the SDF-1 cognate receptor, in tumor cells. The results of ELISA assays showed decreased levels of SDF-1 released in tumors in the Shi-Re and Pi-Xu groups compared to the control mice. This was not observed in the Xue-Yu tumors (Figure 3(a)). This result was consistent with the observation that the Shi-Re and Pi-Xu tumors exhibited decreased CAF proliferative activity. However, there was no difference in CXCR4 expression among the ZHENG models and control tumor cells (Figures 3(b) and 3(c)).

Similarly, we wanted to verify whether the decreased TAM infiltration we observed above led to a reduction in the levels of the TAM-derived cytokine CCL5. We found that secreted CCL5 decreased dramatically in tumors under Shi-Re and Pi-Xu ZHENGs. This was also observed in the Xue-Yu tumors, although the difference was not significant (P = 0.083) (Figure 3(a)). We also found that tumor cells from the Shi-Re, Pi-Xu, and Xue-Yu models exhibited decreased CCR5 expression, especially for Shi-Re (Figures 3(b) and 3(c)).

After we confirmed that CAF-related SDF-1/CXCR4 and TAM-related CCL5/CCR5 expressions were changed under different ZHENG conditions, we next investigated an association between the altered tumor microenvironments and tumor growth. We found that altered tumor microenvironments were correlated with in vivo changes in tumor growth (Figure 3(d)). Taken together, these results suggest that tumors under different ZHENG conditions exhibited different tumor microenvironments, which may finally affect tumor growth.
3.4. Tumor Response under Different ZHENG Conditions to Herbal Medicine Treatments. TCM usually means a comprehensive assessment of pathogenesis, location, and disease pathology, and the diagnosed ZHENG helps guide the application of Chinese herbal remedies. So, we used Huanglian jie du decoction (a traditional prescription used of treating Shi-Re ZHENG), Si jun zi decoction (a traditional prescription used of treating Pi-Xu ZHENG), and Tao hong si wu decoction (a traditional prescription used of treating Xue-Yu ZHENG) for Shi-Re, Pi-Xu, and Xue-Yu tumors, respectively. The herbal prescriptions used are shown in Table 1. We found that the herbal medicines had no or little effect on CAF proliferation or TAM infiltration (Figure 4(a)). We also evaluated the effects of the herbal medicines on SDF-1 secretion and CCL5 levels and found that the levels of which did not change after herbal medicine treatment (Figure 4(b)). Similarly, none of the herbal medicines had significant effects on CXCR4 or CCR5 expression in tumor cells (Figure 4(c)). These observations indicated that the herbal medicines had little effect on either the tumor or...
3.5. Herbal-Medicine-Induced Alteration of Tumor Microenvironment Is Correlated with Treatment Response. Although tumors under different ZHENG conditions demonstrated differences in tumor microenvironment which may finally be reflected in tumor growth, the ZHENG conditions themselves seemed not to promote tumor growth. Thus, herbal medicines prescribed on the basis of the ZHENG condition alone did not affect tumor growth. To further understand the relatedness of tumor microenvironment, ZHENG, and the response to herbal treatment, we employed the Qingyihuaji formula (QYHJ), a prescription based on TCM theory whereby pancreatic cancer is considered to be of Shi-Re origin. QYHJ has been used to treat pancreatic cancer for...
**Figure 4:** Response of tumors under different ZHENG conditions to herbal medicine treatments. (a) IHC staining for vimentin, α-SMA, and CD68 in tumors from the indicated group was performed for evaluating CAF proliferative activities and TAM infiltration, respectively. CAF proliferative activity and TAM infiltration were quantitatively evaluated as described in Figure 2(b). (b) The levels of SDF-1 and CCL5 in indicated tumors were evaluated with ELISA assay. Data are expressed as the mean ± SD. (c) IHC staining for CXCR4 and CCR5 on sections of indicated tumors. The positive rates of CXCR4 and CCR5 protein in tumors with indicated ZHENG was calculated. (d) Effect of herbal medicine on subcutaneously transplanted tumor with different ZHENG. The growth curves for each are shown. n.s.: not significant.
Figure 5: Treatment response to herbal medicine involved with modification of tumor microenvironment. (a) The antitumor effect of Qingyihuaji formula (QYHJ) on tumor with different ZHENG. (b) The effect of QYHJ on CAF proliferative activities and TAM infiltration were evaluated as described in Figure 2. (c) The effect of QYHJ on secreted SDF-1 and CCL5 levels were evaluated as described in Figure 3(a). *P < 0.05; **P < 0.01.
It is increasingly appreciated that, as the cancer progresses, the surrounding microenvironment is activated in support, coevolving through continuous paracrine communication and supporting carcinogenesis [3]. Pancreatic ductal adenocarcinoma is characterized by an extensive stromal response called desmoplasia. Within the tumor stroma, CAFs are the primary cell type; the importance of the role of CAFs in tumor progression is now well accepted. CAFs produce large amounts of secreted factors, including CXC, CC chemokines, and other inflammatory mediators that promote the progression of cancer cells [18]. It is also accepted that large numbers of tumor-associated leukocytes infiltrate solid tumors, and TAMs represent a major and important component of these leukocytes, which are driven toward functions that support cancer progression and poorer prognosis [12]. Therefore the stromal elements of tumors hold prognostic, as well as response-predictive, information. Abundant targeting opportunities within the tumor microenvironment are continually identified [3].

As TCM sustains systematic theories and is a holistic approach to health, and our previous study has indicated a correlation between ZHENG and levels of cytokines related to CAF and TAM [4], we hypothesized a correlation between the tumor microenvironment and the ZHENG syndromes of TCM. Thus we evaluated the tumor microenvironment by immunostaining for CAF and TAM and surprisingly found differences in microenvironment alterations under different ZHENG conditions. Furthermore, the alterations in CAF proliferative activity and TAM infiltration led to changed levels of CAF- and TAM-derived secreted cytokines which finally affected tumor growth.

Based on TCM theory and clinical experience, patients with pancreatic cancer usually exhibit Shi-Re, Pi-Xu, or Xue-Yu, and respective herbal decoctions for removing heat and dampness (Huang llian jie du), reinforcing Qi and strengthening the spleen (Si jun zi), and promoting blood circulation and removing blood stasis (Tao hong si wu) are always prescribed. However the efficacy of these remedies is not always satisfactory. In the current study, the application of decoctions of these herbal medicines had little effect on tumor growth or the tumor microenvironment. This observation seems to contradict the TCM theories of treating the same disease with different methods and treating different diseases with the same methods. There are many reasons that may account for the lack of response to the TCM treatments in the present study. One is that each prescription

Table 1: Herbal prescriptions used in this study.

| Prescription          | Contents (g)                                                                 |
|-----------------------|-----------------------------------------------------------------------------|
| Huang llian jie du decocion | Coptis root (9), baikal skullcap root (6), amur corktree bark (6), cape jasmine fruit (6) |
| Si jun zi decocion   | Ginseng (9), largehead atractylodes rhizome (9), poria (9), liquorice root (6) |
| Tao hong si wu decocion | Peach seed (9), safflower (6), Chinese angelica root (9), rehmannia root (12), rhizome of szechwan lovage (6), radix paoniae rubra (9) |
| Qingyihuaji formula  | Herba scutellariae barbatae (15), hedyotidis herba (15), amorphophallus konjac (15), coix seed (15), fiveleaf gynostemma herb (15), java amomum fruit (10) |

In this study, we established three different ZHENG mouse models according to TCM theory. We identified alterations in the tumor microenvironment under different ZHENG conditions. These tumor microenvironment modifications mediated a correlation between the ZHENG condition and response to herbal treatment. Therefore our study revealed a molecular basis for ZHENG in pancreatic cancer.

In TCM clinical practice, ZHENG helps guide the remedy prescription and therefore has an important position in the TCM system, that is, ZHENG is the key to recognizing diseases and the foundation to treat them. However, because of the complexity of the concepts (e.g., a single ZHENG involves multiple anatomical systems) and lack of nonprofessional descriptions, research of ZHENG is difficult to advance. The molecular basis underlying ZHENG in TCM remains unclear.
has its priority and focus, although they are within the same category for the same ZHENG. Another reason is that apart from the traditional relationship between disease and ZHENG, there may also exist analogous ZHENG in the same disease, which means that different patients who suffer from the same disease manifest the common basic ZHENG in spite of slight differences in their accompanying symptoms. Therefore, we can use a basic prescription with slight modifications to treat accompanying symptoms. In fact recent research emphasized the principle of analogous ZHENG existing in the same disease in TCM clinical practice [19], especially in cancer treatment. These two reasons may partially explain why the prescriptions based on ZHENG used in the present study had little effect on tumor growth.

The integration of disease diagnosis and identification of ZHENG have been widely used in cancer treatment [20]. Based on our previous studies, pancreatic cancer is characterized by dampness, heat, and toxicity and should be treated by removing heat and dampness, detoxification and resolving a mass [21]. According to this recognition, we recommend the QYHJ formula in the treatment of pancreatic cancer. The results of our clinical studies suggest that treatment with QYHJ resulted in prolonged survival time for patients with pancreatic cancer [15, 21]. Animal studies showed that QYHJ could inhibit the growth of subcutaneously transplanted pancreatic tumors in nude mice [16]. Just as we can see from this study, QYHJ had an effect on tumor growth and the tumor microenvironment, although the effect varied depending on the ZHENG type. Therefore, our study suggests an intrinsic disease-specific ZHENG, which should be considered during TCM practice. The study also indicated that the tumor microenvironment influences the tumor response to herbal medicine treatment.

In conclusion, our study showed alterations in the tumor microenvironment under different ZHENG conditions. We also confirmed a relatedness between the tumor environment and ZHENG, and herbal medicine treatments modified the tumor microenvironment. This study partially unveiled the molecular basis of TCM ZHENG in pancreatic cancer.

Acknowledgments

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