The interrelation of sleep and mental and physical health is anchored in grey-matter neuroanatomy and under genetic control

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Humans need about seven to nine hours of sleep per night. Sleep habits are heritable, associated with brain function and structure, and intrinsically related to well-being, mental, and physical health. However, the biological basis of the interplay of sleep and health is incompletely understood. Here we show, by combining neuroimaging and behavioral genetic approaches in two independent large-scale datasets (HCP \((n = 1106)\), age range: 22–37, eNKI \((n = 783)\), age range: 12–85), that sleep, mental, and physical health have a shared neuro-biological basis in grey matter anatomy; and that these relationships are driven by shared genetic factors. Though local associations between sleep and cortical thickness were inconsistent across samples, we identified two robust latent components, highlighting the multivariate interdigitation of sleep, intelligence, BMI, depression, and macroscale cortical structure. Our observations provide a system-level perspective on the interrelation of sleep, mental, and physical conditions, anchored in grey-matter neuroanatomy.
Sleep plays an active role in providing adaptive physiological functions, consolidating and retaining new memories, metabolite clearance, hormones’ secretion, and synaptic hemostasis. The National Sleep Foundation suggests 7–9 h of sleep per night for adults (18–64) and 7–8 h for older adults (65+). For school aged children (6–13 years) this is 9–11 h, and for teenagers 8–10 h. However, people in modern societies are suffering from inadequate sleep and its consequences. Sleep loss is associated with impairment in cognitive performance, motor vehicle accidents and poor quality of life; and contributes to heightened socioeconomic burden. Beyond the quantity of sleep (sleep duration), quality of sleep includes sleep onset latency (i.e., time between going to bed and falling asleep), sleep efficiency (i.e., the percentage of time in bed during which someone is asleep), sleep disturbances, use of sleeping medication, and daytime dysfunction, all interacting with individual health and well-being. Of note, it has been revealed that poor sleep quality is associated with higher rate of depressive symptoms in healthy subjects, and sleep disturbances are common in mood (e.g., major depression) and cognitive disorders. Individual differences in sleep behaviors are heritable, and various genetic, metabolic, behavioral, and psychological risk factors have been suggested for the development and maintenance of poor sleep quality and sleep disorders. For example, genome wide association studies have associated insomnia disorder to structure of the striatum, hypothalamus, and claustrum, where gene expression profiles show association with the genetic risk profile of such individuals. Moreover, sleep can have a bidirectional relation with health. Not only is sleep disturbance linked with hypertension, diabetes, and obesity, but also depressive symptoms, physical illness, and fatigue were reported as associated factors for both poor sleep quality and short sleep duration. A meta-analysis reported that insomnia disorder is associated with alterations in widespread brain structure and function. In addition, other neuroimaging meta-analyses have implicated structural and functional abnormalities in the hippocampus, amygdala, and insula in patients with sleep apnea and have indicated convergent functional brain alterations in the inferior parietal cortex and superior parietal lobule, following acute sleep deprivation. Moreover, white matter integrity underlying prefrontal areas has been associated with sleep duration and sleep quality. Lastly, lower prefrontal gray matter volume has been associated with greater sleep fragmentation in older individuals.

Importantly, it has been demonstrated that macroscale gray matter neuroanatomy is heritable, indicating part of the variance in brain structure can be related to additive genetic effects. Indeed, genetic factors influence cortical thickness in a systematic fashion where both functional and geometric constraints influence genetic correlation between and within brain systems. Recent studies have indicated that phenotypic correlation between cortical thickness and intelligence, as well as BMI, is driven by additive genetic factors suggesting a shared genetic basis of cortical thickness and non-brain traits. This raises the question whether the interrelation of sleep, mental, and physical health can be linked to the shared neurobiological mechanisms; and whether these relationships are driven by shared genetic factors.

To answer this question, we combined structural neuroimaging data from two independent samples: the Human Connectome Project (HCP unrelated sample \( n = 424 \)) and the enhanced NKI Rockland sample \( (eNKI: n = 783) \) to explore whether the interrelation of sleep, mental, and physical health can be linked to a shared macroscale neurobiological signature. The HCP sample consists of young adults only, whereas the eNKI sample consists of adolescents, younger and older adults, enabling us to evaluate the generalizability of the interrelation of sleep, health and local brain structure. We conducted genetic correlation analysis in the complete HCP sample \( (n = 1105) \), which included twins and siblings. Sleep variation was assessed using the Pittsburg Sleep Quality Index (PSQI), a widely used questionnaire summarizing self-reported indices of sleep. Our main measures of interest were sleep quantity (self-reported sleep duration) and global sleep quality (total PSQI score, as previous work has associated these factors with brain structure and genetic variation). Based on previous literature and data-driven phenotypic correlations in the HCP sample, we selected BMI, intelligence and depression scores to evaluate the potential existence of a shared neuroanatomical basis of sleep and mental and physical aptitudes. In the HCP sample, intelligence was summarized as Total Cognitive Score, based on the NIH Toolbox Cognition, whereas in the eNKI sample, intelligence was measured using the Wechsler Abbreviated Scale of Intelligence (WASI-II). Depression was measured using the ASR depression DSM-oriented scale for Ages 18–59 in the HCP sample. In the eNKI sample the Beck Depression Inventory (BDI-II) was used. BMI was calculated at weight/squared (height) in both datasets. Based on previous knowledge, we expected to observe phenotypic relationships between sleep duration/quality and markers of mental and physical health. Moreover, we expected to observe a phenotypic relation between sleep and local gray matter structure.

Our analyses revealed a phenotypic relationship between sleep and depression, BMI, and intelligence in both the HCP and the eNKI sample. Subsequently, we demonstrated our sleep markers, depression, BMI, and intelligence were heritable and we observed a genetic correlation between sleep quantity and quality, BMI, and intelligence in the twin-based HCP sample, indicating that sleep hygiene displays pleiotropy with these factors in the mentioned sample. Analysis of heritability and genetic correlation were performed with maximum likelihood variance-decomposition methods using Sequential Oligogenic Linkage Analysis Routines (www.solar-eclipse-genetics.org; Solar Eclipse 8.4.0.). Heritability \((h^2)\) is the total additive genetic variance and genetic \((\rho_g)\) correlations were estimated using bivariate polygenic analysis. Using an atlas-based approach to summarize cortical thickness, we observed local associations between sleep duration and cortical structure in both samples which were, in part, driven by additive genetic factors. Post-hoc analysis indicated that variance in intelligence and BMI also related to thickness in areas associated with sleep duration. Subsequently, based on our observation that sleep relates to BMI, intelligence, and depression, as well as to cortical thickness, we performed partial least squares (PLS) analysis, in order to identify latent relationships between these factors. PLS is a multivariate data-driven approach, enabling simultaneous linking of behavioral measures to brain structure. We identified two robust latent factors, spanning distinct neurocognitive dimensions. Using the twin-structure of HCP, we observed these factors were heritable and their relation driven by shared genetic effects. Taken together, the current study highlights the interrelation of sleep, mental and physical health, which is reflected by shared neurobiological signatures.

**Results**

**Data samples.** We studied two independent samples from openly-shared neuroimaging repositories: HCP and eNKI. HCP (http://www.humanconnectome.org/) comprised data from 1105 individuals (599 females), 285 MZ twins, 170 DZ twins, and 650 singletons, with mean age 28.8 years \((SD = 3.7, range = 22–37)\). For phenotypic analysis, we selected unrelated individuals, resulting in a sample of 424 (228 females) individuals with a mean age of 28.6 years \((SD = 3.7, range = 22–36)\). Our second sample was based on the eNKI sample, made available by the Nathan-Kline Institute (NKY, NY, USA). This sample consisted
of 783 (487 females) individuals with mean age of 41.2 years (SD = 20.3, range = 12–85), enabling us to identify life-span relations between sleep, brain structure and behavior. Details on the sample characteristics can be found in the Methods section.

### Relation between sleep, mental and physical health

First, we sought to evaluate whether our measures of mental and physical status are related to sleep quantity and quality. Here, we correlated sleep duration and global sleep quality to phenotypic variation in cognition, mental, and physical health (for selection of markers see Supplementary Table 1). This data-driven analysis in the HCP phenotypic data revealed that cognitive, mental and physical phenotypic variation have a strong relation to variation in sleep (Supplementary Table 2 and Supplementary Table 3). Given the marked role of both depression and BMI on both sleep duration and global sleep quality, we selected these as phenotypes of interest for further analyses. As several cognitive factors were related to sleep duration and sleep quality, we selected general intelligence in this study, as this marker has been shown to be highly heritable and consistently relates to brain structure.\(^2\) Next, we demonstrated that depression, IQ, and BMI have moderate phenotypic inter-correlations in unrelated HCP, eNKI, as well as full HCP samples (Supplementary Table 4). Evaluating the relation between sleep and our selected markers in eNKI, in addition to HCP, we observed that, sleep duration had a consistent negative phenotypic relation to both BMI and depression, and a positive relation to IQ (Table 1).

Taking advantage of the pedigree-structure of the full HCP sample, we observed that depression, IQ, and BMI were all heritable (Table 1); and we observed a negative genetic correlation between BMI and IQ (\(\hat{\rho}_g = -0.27, p < 0.0001\)) (Supplementary Table 4). Moreover, sleep duration was heritable (h² = 0.24, p < 0.001), and phenotypic correlations were mirrored by genetic correlations. We observed sleep duration to show a positive genetic correlation with IQ (\(\hat{\rho}_g = 0.42, p < 0.0001\)), but negative with BMI (\(\hat{\rho}_g = -0.33, p < 0.005\)) (Table 1). Depression showed a weak environmental correlation with sleep duration (\(\hat{\rho}_e = -0.16, p < 0.01\)).

Global sleep quality showed comparable relations to depression, IQ and BMI, with strong phenotypic correlation between poor sleep quality (higher total PSQI score) and higher depression and BMI scores, as well as between poor sleep quality and lower IQ across samples (Table 1). Global sleep quality was also influenced by additive genetic effects (h² = 0.12, p < 0.05), but less so than sleep duration. Phenotypic correlations were paralleled by genetic correlations, were poor sleep quality were genetically correlated with lower IQ (\(\hat{\rho}_g = -0.59, p < 0.0001\)) and higher BMI (\(\hat{\rho}_g = 0.41, p < 0.025\)). Again, depression only showed environmental correlation with global sleep quality (\(\hat{\rho}_e = 0.38, p < 0.0001\)) (Table 1).

### Phenotypic correlation between sleep and brain structure in two independent samples

Next, we evaluated the phenotypic relation between sleep indices (global sleep quality and sleep duration) and cortical thickness in both the unrelated subsample from HCP (\(n = 424\)) and eNKI (\(n = 783\)). Behaviorally, we observed a strong negative correlation (Spearman \(r = -0.51 [-0.59 -0.44], p < 0.0001\)) between global sleep quality and sleep duration (Fig. 1a). Correlation of sleep indices with brain structure demonstrated a negative link between left superior frontal cortex (area 6d2 and pre-supplementary motor area) and sleep quality (Spearman \(r = -0.17 [0.04 0.46], p < 0.02\)), that remained significant when controlling for self-reported depressive symptoms, as well as intake of sleep medications, intelligence, and BMI. Global sleep quality did not relate to local variations in cortical thickness (Fig. 1c). When evaluating the relationship in the complete HCP sample, including twins and siblings, we observed only a trending relation between sleep duration and cortical thickness (Supplementary Fig. 1).

In eNKI, we replicated the negative behavioral correlation between sleep duration and global sleep quality (Spearman \(r = -0.53 [-0.58 -0.47], p < 0.0001\)) (Fig. 1d). Though we again found no relation between global sleep quality and cortical brain structure (Fig. 1f), sleep duration showed a positive link between bilateral inferior temporal regions (left: Spearman \(r = 0.13, FDR q < 0.02\), right: Spearman \(r = 0.12, FDR q < 0.02\)) and right occipital cortex (Spearman \(r = 0.14, FDR q < 0.02\) (Fig. 1e)). Findings remained significant when controlling for self-reported depressive symptoms, as well as intake of sleep medications, intelligence, and BMI.

In both samples, most individuals (>65%) slept less than the recommended 7–9 h (Supplementary Table 5) and only a small proportion of both samples (<9%) slept 9 h or more. Post-hoc analysis evaluating the linear relationship between short and long sleep duration and local brain structure replicated overall effects between sleep duration and local brain structure in individuals who reported to sleep less than 9 h per night (short-to-normal sleep duration), but not in individuals sleeping more than 7 h per night (normal-to-long sleep duration) (Supplementary Fig. 2).

### Table 1 Phenotypic and genetic correlations between sleep and depression, BMI, and IQ.

| Sample                              | Depression (h² = 0.24 ± 0.06) | BMI (h² = 0.68 ± 0.04) | IQ (h² = 0.66 ± 0.04) |
|-------------------------------------|-------------------------------|------------------------|-----------------------|
| HCP (unrelated sample)              |                               |                        |                       |
| (n = 419)                           | \(\hat{\rho}_g = -0.09 [-0.19 0.00], p = 0.06\) | \(\hat{\rho}_g = -0.11 [-0.21 -0.02], p < 0.025^*\) | \(\hat{\rho}_g = 0.11 [0.01 0.19], p < 0.05^*\) |
| eNKI                                |                               |                        |                       |
| (n = 782)                           | \(\hat{\rho}_g = -0.16 [-0.24 -0.09], p < 0.001^*\) | \(\hat{\rho}_g = -0.17 [-0.24 -0.09], p < 0.001^*\) | \(\hat{\rho}_g = 0.11 [0.04 0.18], p < 0.005^*\) |
| HCP (total sample)                  |                               |                        |                       |
| (n = 1050)                          | \(\hat{\rho}_g = -0.07 [-0.13 -0.02], p < 0.025^*\) | \(\hat{\rho}_g = -0.14 [-0.19 -0.08], p < 0.005^*\) | \(\hat{\rho}_g = 1096 [0.09 [0.03 0.15], p < 0.005^*\) |
| Genetic correlation (HCP)           | 0.17 [0.20, p > 0.1]          | 0.01 [0.07, p > 0.1]   | 0.19 [0.06, p < 0.005^*] |
| Environmental correlation (HCP)     | -0.16 [0.06, p < 0.01^*]      |                        |                       |

We performed phenotypic (HCP unrelated sample, eNKI sample, HCP total sample) and genetic correlation (HCP total sample) analysis of the association between sleep duration and global sleep quality on the one hand, and depression, BMI, and IQ on the other, including 95% confidence intervals. Asterisks (\(^*\)) indicates FDR \(q < 0.05\) and asterisk (\(^\text{**}\)) indicates association at trend – level \(p < 0.05\). Sample sizes are reported for each analysis.

Global sleep quality (h² = 0.12 ± 0.06)

| Sample                              | Depression | BMI | IQ |
|-------------------------------------|------------|-----|----|
| HCP (unrelated sample)              |            |     |    |
| (n = 419)                           | 0.37 [0.29 0.45], p < 0.0001^* | 0.14 [0.04 0.23], p < 0.0001 | 0.11 [0.01 0.19], p < 0.05^* |
| eNKI                                |            |     |    |
| (n = 782)                           | 0.31 [0.25 0.38], p < 0.0001^* | 0.09 [0.02 0.17], p < 0.0001 | 0.11 [0.04 0.18], p < 0.005^* |
| HCP (total sample)                  |            |     |    |
| (n = 1112)                          | 0.35 [0.30 0.40], p < 0.0001^* | 0.10 [0.04 0.16], p < 0.0001 | 0.10 [0.06 0.14], p < 0.0001^* |
| Genetic correlation (HCP)           | 0.32 [0.26, p > 0.1] | 0.41 [0.16, p < 0.025^*] | -0.59 [0.20, p < 0.0001^*] |
| Environmental correlation (HCP)     | 0.38 [0.05, p < 0.0001^*] | 0.03 [0.07, p > 0.1] | 0.17 [0.06, p < 0.007^*] |
the eNKI sample had a broad age range from 12 to 85 years of age, we performed several stability analyses to evaluate the relationship between sleep duration and brain structure in youths, adults and elderly populations (Supplementary Table 6). Here, we did not observe differential sleep duration effects in each sub-group, as well as differences between age-groups (Supplementary Fig. 3).

Replication analysis of correspondence between sleep duration and cortical thickness. As we found divergent local phenotypic correlations between sleep duration and cortical thickness in two large-scale independent samples, we evaluated the inconsistencies across samples more precisely. Indeed, post-hoc analysis indicated that local effects of phenotypic correlations varied strongly.
in magnitude across samples in phenotypic analysis (Table 2). At the same time, we observed a high overlap between spatial distribution of phenotypic correlations between sleep duration, but not global sleep quality, and cortical thickness across samples and sub-samples, indicating that the direction of sleep thickness associations is similar across both samples (Supplementary Table 7). This suggests that the relation between sleep and cortical thickness might be robust at the inter-regional level rather than in local effects only. In addition, we observed that both intelligence and BMI related to local thickness associated with sleep duration (Supplementary Table 8), suggesting that sleep, intelligence and BMI are dependent on overlapping macro-anatomical structures.

### Phenotypic correlations between sleep and cortical thickness are driven by additive genetic effects

Next, we explored whether phenotypic correlations between sleep duration and cortical thickness were mirrored by additive genetic effects using the twin-structure of the HCP dataset. First, we confirmed that cortical thickness was heritable in this sample (Supplementary Fig. 4, Supplementary Table 9). Second, we assessed whether phenotypic correlations observed in Fig. 1 were driven by shared additive genetic effects. We found that both frontal cortex (based on HCP), as well as right occipital cortex and right inferior temporal cortex (based on eNKI) showed a trend-level genetic correlation ($p < 0.05$) with sleep duration (Table 3). Using a whole-brain approach, we identified a negative genetic correlation between sleep duration and bilateral frontal cortices thickness, mainly in the bilateral superior frontal gyrus and frontal pole, areas p32 and Fp2 (left: $p_e = 0.12$, $p < 0.06$, $p_g = -0.46$, FDR $q < 0.025$; right: $p_e = 0.15$, $p < 0.01$, $p_g = -0.46$, FDR $q < 0.025$) (Supplementary Fig. 1).

### Latent relation between sleep, brain and behavior

As we observed (1) phenotypic and (2) genetic correlations between sleep, intelligence, BMI, and, in part, depression, as well as (3) an inconsistent relation between sleep duration and cortical thickness, we utilized a multivariate data-driven approach to evaluate the latent relationship between sleep, intelligence, BMI and depression on the one hand, and cortical thickness on the other (Fig. 2). Indeed, it has been suggested multiple comparison corrections in mass univariate analysis may result in missing effects and thus inconsistencies in the results and a more comprehensive picture of the associations could be gained by a multivariate approach$^{32}$. Here, our primary analysis sample is the eNKI sample, as this enables us to replicate and evaluate phenotypic and genetic correlations between latent structures using the full HCP sample.

In the eNKI sample, we observed two latent relations between our behavioral phenotypes and cortical thickness, controlling for effects of age, sex and global thickness, explaining, respectively, 41% of the shared variance (first latent component; $p < 0.001$, association between behavior and brain saliencies: Spearman $r = 0.38$), and 25% of the shared variance (second latent component; $p < 0.01$, association between behavior and brain saliencies: Spearman $r = 0.29$). The first component had a positive relation with both sleep duration (Spearman $r = 0.49$) and intelligence (Spearman $r =$...
0.83), and a negative relation with sleep quality (Spearman $r = -0.43$), BMI (Spearman $r = -0.46$) and depression (Spearman $r = -0.21$). The brain saliency loadings were positively (bootstrap ratio $> 2$) associated sensory-motor areas, as well as superior temporal areas, and parahippocampal structures, and negatively with lateral and medial frontal cortex, as well as inferior temporal lobe, precuneus, and posterior parietal cortex. Further qualifying the brain saliency, we observed that positive relations were mainly in visual, sensorimotor and limbic functional networks, whereas negative relations were predominantly located in the dorsal-attention, fronto-parietal and default-mode networks. Replicating the association in HCP using the behavioral and brain loadings, we identified a relation between latent brain and behavioral factors in this sample as well (component 1: Spearman $r = 0.25$, $p < 0.001$). Moreover, both brain and behavioral latent factors were heritable (brain saliency: $h^2 \pm $ std $0.63 \pm 0.04$; behavior saliency: $h^2 \pm $ std $0.76 \pm 0.03$) and showed genetic correlation ($\rho_n = -0.07 \pm 0.07$, $p = n.s$, $\rho_b = 0.38 \pm 0.05$, $p < 0.0001$). The first brain-behavior component seems to reflect a positive-negative axis of behavior, relating high sleep quantity to positive behaviors whereas low sleep quality related negatively to this factor (Fig. 2a).

The second component related positively to depression (Spearman $r = 0.70$), BMI (Spearman $r = 0.50$), intelligence (Spearman $r = 0.16$), and reduced sleep quality (Spearman $r = 0.69$), and negatively to sleep duration (Spearman $r = -0.43$). Positive brain loadings (bootstrap ratio $> 2$) were located in the left sensorimotor areas, right precuneus, and right parietal areas. Negative loadings (bootstrap ratio $< -2$) were located in left dorsolateral areas, left mid-cingulate, right dorsolateral frontal cortex, and left anterior-mid cingulate. Qualitative analysis revealed positive loading were predominantly in sensorimotor, visual, dorsal attention and default networks, whereas negative loadings were associated with the fronto-parietal, ventral attention, limbic and default networks. Again, we replicated this association in HCP using the behavioral and brain loadings (Spearman $r = 0.10$, $p < 0.002$). Both brain and behavioral saliency of the second component were heritable (brain saliency: $h^2 \pm $ std $0.72 \pm 0.03$; behavior saliency: $h^2 \pm $ std $0.51 \pm$
0.05) and showed genetic correlation ($p_g = -0.11 \pm 0.07$, $p = ns$, $p_h = 0.22 \pm 0.07$, $p < 0.0001$). This time, sleep quality, depression, BMI, and intelligence showed positive latent relations, but duration had a negative relation to the behavioral saliency, suggesting that sleep quality has both positive and negative relationships to intelligence (Fig. 2b).

### Discussion

Sleep is key for normal human functioning and associated with brain structure and function. At the same time, individual differences in sleeping behavior are heritable and have substantial overlap with cognition, physical, and mental health. This raises the question whether shared variance in sleep, intelligence, BMI, and depression could be due to a shared relationship to macroscale gray-matter anatomy. Here, we combined computational approaches from behavioral genetics and big-data neuroimaging to evaluate the interrelation between sleep, macroscale brain structure, and mental and physical health. Indeed, in two large-scale independent samples, we observed that sleep duration, as well as global sleep quality, had a phenotypic relation with intelligence and BMI, which was mirrored by additive genetic effects. Depression showed only a phenotypic correlation with sleep. Following, we demonstrated that sleep duration, but not global sleep quality, had a (inconsistent) relation with local variance in cortical thickness in two samples. Three out of four phenotypic relations between sleep duration and local cortical thickness were driven by additive genetic factors. At the same time, both intelligence and BMI related to variance in cortical thickness in these regions, suggesting that these factors might have an overlapping neuroanatomical basis. Consistent with these results, a comprehensive multivariate analysis revealed two robust and heritable signatures, highlighting shared relationships between macroscale anatomy and sleep, intelligence, BMI and depression. Both components featured brain structures in both unimodal and heteromodal association areas, and underlined the embedding of nocturnal behavior in daytime functioning. Collectively, our multi-sample approach provides evidence that sleep is intrinsically interrelated with macroscale gray matter structure, mental, and physical health.

Our observations highlight the key relation between intelligence, mental and physical health and sleep profile in healthy subjects. Previous work has implicated the important role of sleep on life functioning, such as cognitive performance and quality of life, as well as higher rate of depressive symptoms, hypertension, diabetes, and obesity. Indeed, clear associations of sleep, cognitive performance and behavioral problems have been observed in children, adults, and elderly. It has been revealed that short-term sleep deprivation has a deleterious effect on a broad range of cognitive domains and short sleep duration is associated with poor overall IQ/cognitive performance in healthy children.

There are various hypotheses on the biological processes underlying the important role of sleep in the neuronal processing of information and consequently mental processing. The trace reactivation or replay hypothesis suggests that sleep helps memory consolidation through reactivation of traces of neuronal activity patterns, encoding information. The synaptic homeostasis hypothesis proposes that sleep is necessary to counterbalance the increase of synaptic connectivity. Converging evidence suggests a role of sleep in maintaining functional integrity of the frontoparietal networks, that support sustained attention, as well as default mode network, which is a brain network, implicated in task-unrelated thought. Indeed, in our multivariate analysis, we observed a shared relation of intelligence and sleep with cortical thickness in these networks. Importantly, we observed a positive phenotypic relationship between amount of sleep and domain-general cognitive skill in both the HCP sample, consisting of young healthy adults, and in the eNKI sample, which included a broad age-range with children, adults and elderly. Of note, though the measurement of domain-general cognition was not consistent across two samples, as we used NIH Toolbox Cognition in HCP and the WASI-II in eNKI, both tests have been validated for different age-ranges. Further studies are needed to uncover the causal and longitudinal relationship between sleep and cognitive skill across the life-span.

At the same time, our work highlights that inadequate sleep is linked with increased BMI. Previously, it has been shown that BMI is associated with abnormal sleep duration and vice versa. Short term sleep restriction is associated with impaired glucose metabolism, dysregulation of appetite, and increased blood pressure, and prospective studies found increased risk of weight gain associated with inadequate sleep. In the same vein, various studies have related BMI to brain structure and function, suggestive of a bidirectional relation between sleep, BMI, and the brain. Last, we observed a relation between sleep and depressive symptoms. A recent meta-analysis implicated both long and short sleep to be associated with increased risk of depression in adults. Though the mechanisms underlying this association are not fully understood, daytime tiredness, resulting in increased negative events and emotions, has been shown to be predictive of poor outcome of depression. Next to this, sleep abnormalities relate also to low physical activity, which in turn modulates risk of depression. Importantly, sleep factors can predispose, precipitate, and perpetuate depression and in our multivariate model, we observed both neutral and positive associations between depression and unhealthy sleep behaviors, highlighting the complex relation between sleep and mental health.

Though we could establish phenotypic and genetic correlations between sleep duration and local cortical thickness in two independent samples, findings were inconsistent. In the HCP sample, but not in the eNKI sample, sleep duration was linked to thickness in the frontal areas. The important role of frontal cortex in sleep is previously well-documented. For example, sleep deprivation influences frontal executive functions in both healthy individuals and patients with insomnia disorder. In addition, sleep deprivation leads to lower metabolism in the frontal cortex, while sleep recovery moderately restores frontal lobe functions. Function abnormalities are also mirrored by abnormalities in macro-anatomical structure, where cortical thinning in bilateral precentral cortex and the superior/mid frontal cortex related to insomnia symptoms and patients with insomnia disorder showed gray matter abnormalities in the frontal cortices. On the other hand, phenotypic analyses in the eNKI sample demonstrated that sleep duration had a positive link with thickness in bilateral inferior temporal regions and right occipital cortex. Also function and structure of temporal and occipital areas has been associated with sleep patterns. For instance, older adults with short or long sleep duration had higher rates of cortical thinning in the frontal and temporal regions, as well as the inferior occipital gyrus relative to older adults with normal sleep duration. Also, insomnia disorder has been related to functional abnormalities in the temporal and occipital areas, beside the frontal regions. These activations have been associated with excessive hyperarousal, impaired alertness, auditory-related and vision-related inattention, and experiencing negative moods in such patients. Possible causes for divergence could be sample characteristics, as well as confounding effects. However, even when controlling for age, intelligence, BMI, and depression, findings remained dissimilar between samples. Only when evaluating spatial patterns of relationships between sleep...
duration and cortical thickness, we observed cross-sample consistency, suggesting that the degree of impact of sleep duration on local brain structure varied across samples, but that the direction of the relation between sleep and cortical thickness was comparable across the cortex. Of note, though we observed diverging phenotypic relationships across samples, three out of four local relationships between sleep and cortical thickness were observed driven by additive genetic factors, suggestive of a system-level impact of sleep on brain structure, with modest but robust underlying local genetic associations. Nevertheless, local, univariate, associations between sleep and cortical thickness should be interpreted with caution, as they were not consistent across samples. It is of note that detrimental effects of both short and long sleep have been reported previously \(^{46,75}\) and in the current study, the large majority of individuals (>65% for all samples) reported less than 7 h of sleep whereas only a small proportion (<9%) slept 9 h or more in both samples. Indeed, post-hoc analysis indicated associations between local brain structure and sleep duration reflected patterns of short-to-normal sleep duration. Further studies in samples reporting long sleep duration are needed to evaluate the differential effects of short and long sleep duration on local brain structure.

Univariate relationships between sleep, brain structure, and behavior in two independent samples were further corroborated by our multivariate analysis. We could identify multivariate, latent, relationships between cortical thickness on the one hand and sleep, intelligence, BMI, and depression on the other one. In the first factor, reflecting a positive-negative axis of behavior, where sleep duration, together with intelligence, low BMI, and high sleep quality, showed a negative relation to thickness in the frontal and parietal areas, but a positive relation to the sensory-motor and parahippocampal areas. These latent relationships were robust across samples and driven by shared additive genetic effects. Behaviorally, the observed latent factor mirrors the previously reported positive-negative axis of behavior previously defined in a sub-sample of the HCP using functional connectivity. Here, the axis related to increased functional connectivity of the default mode network and negative associations in the sensorimotor networks. \(^76\) We observed a second axis with a positive link between low sleep quality, high intelligence, high depression, and high BMI score and thickness of dorsolateral frontal cortex, accompanied by negative relation to thickness in sensorimotor areas. This axis related positively to intelligence and depression.

Our multivariate observations are broadly in line with our univariate results. Indeed, the first brain factor again highlights a negative relation between frontal thickness and sleep duration, whereas temporal-occipital regions show a positive relation with duration of sleep, reconciling divergent findings in the two independent samples. However, our latent model also provided a system-level perspective on the relation between sleep, behavior, and brain structure. Here, unimodal and heteromodal association cortices revealed an inverse relation to sleep and behavioral variability. A previous body of literature have put forward a so-called hierarchical model of brain function stretching from unimodal to transmodal cortices, enabling both externally, as well as internally oriented processing. \(^77,78\) Indeed, it is likely that sleep, intelligence, BMI, and depression do not only relate to internally oriented processes, but also functional processes focused on the external world supported by the somatosensory cortices. For example, previous work has implicated sleep deprivation in sensorimotor coupling, reporting that sleep deprived individuals showed difficulties standing upright. \(^79\) Likewise, memory consolidation processes during sleep have been linked to primary and secondary sensorimotor cortices. For example, in mice, inhibition of projecting axons from motor cortex to somatosensory cortex impaired sleep-dependent reactivation of sensorimotor neurons and memory consolidation. \(^80\) Similarly, other studies applying multivariate methods to understand the relation between system-level brain function and complex behavior also have implicated alterations of inter-network relationships between somatosensory and heteromodal association cortices in mental function and dysfunction. \(^81,82\) It is possible that such disruptions are due to dissociable neurodevelopmental as well as genetic effects affecting the hierarchical interrelation of these brain systems. \(^77\) Future research on the neurobiology of sleep requires to be conducted with functional and structural connectivity data enabling more direct analysis of the relation between system-level connectivity, sleep, and behavior.

In addition to providing evidence for a shared neurobiological basis of sleep, mental and physical health, we observed that, in line with previous literature \(^17,18,46\), variance in global sleep quality and sleep duration was in part driven by additive genetic effects. A recent GWAS study using 446,118 adults from UK Biobank identified 78 loci, mainly PAX8 locus, for self-reported habitual sleep duration. \(^46\) Moreover, Dashti et al. observed, similar to our observations, genetic overlap between sleep, markers of mental and physical health as well as education attainment. It is likely that the observed genetic correlation within our sample between cortical thickness, intelligence, BMI, and sleep is due to mediated pleiotropy (a gene affects A, which affects B). Thus, it could be that a genetic mechanism affects gray matter macrostructure and associated function and, as a consequence, sleep duration. Alternatively, genetic variation might affect brain function, which in turn modulates both macroscale structure and sleep duration, or a genetic mechanism affects sleeping behaviors through non-brain processes and in turn affects brain function and structure. However, it is worthy to mention that our genetic correlations analysis does not provide causal mechanisms on the relation between brain structure, sleep, and behavior. Indeed, there is genetic evidence for a bidirectional relationship between sleep duration and schizophrenia, \(^46\) as well as smoking behavior, \(^83\) highlighting the complex interplay between and behavior. Next to this, though there is a negative genetic correlation between short sleep duration and long sleep duration, suggestive of shared biological mechanisms, it is possible that both relate to partly distinct underlying biological mechanisms. Further studies will be needed to investigate whether shorter and longer sleep duration differentially affect brain and behavior, and investigate the relation between sleep, health, and brain in longitudinal datasets with imaging and deep phenotyping to further disentangle causal relationships between sleep, brain structure, and function.

Our integrative perspective on sleep, behavior, and brain structure may be relevant for future work targeting the relation between sleep and neurodevelopment. For example, studies on development have indicated a close relation between sleep, behavioral problems, and school performance in children. \(^53\) As childhood is an essential time for neurodevelopment, \(^84,85\) combining these two lines of research might help to understand how healthy and abnormal sleep patterns relate to neurodevelopment in youth. At the same time, sleep disturbances have been related to neurodegenerative conditions, and may drive early-onset pathogenesis. For example, sleep disruption has been observed to upregulate neuronal activity, which increases the production of amyloid-beta proteins resulting in exacerbated tau pathology in various mouse models. \(^46\) and sleep disturbances in ageing might directly influence synaptic homeostasis and cognitive function. By providing system-level evidence integrating cortical thickness with sleep and behavior, follow-up research could further disseminate how brain anatomy relates to sleep and general functioning during development and ageing and identify functional and structural mechanisms that explain the interrelation between sleep, development and ageing.
Notably, we refrain from interpreting environmental correlations, as the environmental component includes environmental factors, but also measurement errors. In a previous work\textsuperscript{87}, we have shown that a model based on genetic and environmental factors only was more parsimonious compared to a model including common household effects in extended family samples such as the HCP sample. Nevertheless, as individual variance in sleep duration and quality was only in part explained by genetic factors, future longitudinal models might help uncover relevant familial and non-familial environmental effects relating sleep to mental and physical health.

Taken together, our study on the interrelation between sleep, mental, and physical health and brain structure was made possible by the open HCP and eNKI neuroimaging repositories. These initiatives offer cognitive neuroimaging communities a unique access to large datasets for the investigation of the brain basis of individual difference. The use of multiple datasets has enabled us to highlight variability across samples, and allowed us to preform validation experiments to verify stability of our observations. Given that reproducibility is increasingly important nowadays, our study illustrates the advantages of open data to increase our understanding of complex traits.

### Methods

#### Participants and study design: human connectome project

We studied the publicly available Human Connectome Project dataset (HCP; [http://www.humanconnectome.org/](http://www.humanconnectome.org/)), which included data from 1206 individuals (656 females), 298 monzygotic twins (MZ), 188 dizygotic twins (DZ), and 720 singletons, with mean age of 28.8 years (SD = 3.7, range = 22–37). Participants for whom the images and data had been released ([humanconnectome.org](http://humanconnectome.org)) after passing the HCP quality control and assurance standards were included. The full set of inclusion and exclusion criteria are described elsewhere\textsuperscript{88}. All participants signed an informed consent document at the beginning of day 1 of testing.

For our phenotypic analyses, we selected an unrelated subsample with complete behavioral data (n = 457). After removing individuals with missing structural imaging our sample for phenotypic correlations consisted of 424 (228 females) individuals with mean age of 28.6 years (SD = 3.7, range = 22–36), see further Table 4. For our twin-based genetic analyses, we used the complete sample of individuals with complete structural imaging for structural gray matter and behavioral data for sleep genetic correlation analyses including 1105 individuals (599 females), 285 MZ twins, 170 DZ twins, and 650 singletons, with mean age of 28.8 years (SD = 3.7, range = 22–37), see further Table 5. Environmental correlations were also derived in this sample as a by-product of analysis of genetic correlation analysis.

#### Structural imaging processing: human connectome project

MRI protocols of the HCP are previously described\textsuperscript{39,45}. In particular, the applied pipeline to obtain the FreeSurfer-segm etation is described earlier\textsuperscript{49} and is recommended for the HCP data. The pre-processing steps included co-registration of T1 and T2 images, B1 (bias field) correction, and segmentation and surface reconstruction using FreeSurfer version 5.3-HCP to estimate cortical thickness\textsuperscript{69}.

#### Participants and study design: eNKI sample

To evaluate the cross-sample reproducibility of observations, we additionally investigated correspondence between sleep and cortical brain structure in the enhanced Nathan Kline Institute-Rockland Sample (NKI). The sample was made available by the Nathan-Kline Institute (NKY, NY, USA), as part of the ‘enhanced NKI-Rockland sample’ ([https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3472598/](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3472598/)). All approvals regarding human subjects’ studies were sought following NKI procedures. Images were acquired from the International Neuroimaging Data Sharing Initiative (INDI) online database [https://fcon_1000.projects.nitrc.or](https://fcon_1000.projects.nitrc.or/di/indi/enhanced/studies.html).

For our phenotypic analyses, we selected individuals with complete sleep and imaging data. Our sample for phenotypic correlations consisted of 783 (487 females) individuals with mean age of 41.2 years (SD = 20.3, range = 12–85). See Table 6 for demographic characteristics.

#### Structural imaging processing: NKI Rockland sample

3D magnetization-prepared rapid gradient-echo imaging (3D MP-RAGE) structural images\textsuperscript{91} were acquired using a 3.0 T Siemens Trio scanner with TR = 2500 ms, TE = 3.5 ms, Bandwidth = 190 Hz/Px, field of view = 256 × 256 mm, flip angle = 8°, voxel size = 1.0 × 1.0 × 1.0 mm. More details on image acquisition are available at [https://fcon_1000.projects.nitrc.or](https://fcon_1000.projects.nitrc.or/di/indi/enhanced/studies.html). All T1 images were visually inspected to ensure the absence of gross anatomical lesions and subsequently pre-processed using the FreeSurfer software library ([http://surfer.nmr.mgh.harvard.edu/](http://surfer.nmr.mgh.harvard.edu/)) Version 5.3.0\textsuperscript{92}.

#### Parcellation-summaries of cortical thickness

We used a parcellation scheme\textsuperscript{30} based on the combination of a local gradient approach and a global similarity approach using a gradient-weighted Markov Random models. The parcellation has been comprehensively evaluated with regards to stability and convergence with histological mapping and alternative parcellations. In the context of the current study, we focused on the granularity of 200 parcels. In order to improve signal-to-noise and improve analysis speed, we opted to average unsmoothed structural data within each parcel and cortical thickness of each region of interest (ROI) was estimated as the trimmed mean (10 percent trim).

#### Selection of behavioral markers based on HCP phenotypic traits

First, to constrain analyses, we selected primary markers for cognition, mental and physical health based on the relation of sleep to these traits in HCP. The selected traits include 38 emotional, cognitive, NEO-FFI personality, as well as the 7 PSQI sleep markers for reference, based on the unrestricted phenotypic data, as well as 46 mental and physical health markers based on the restricted phenotypic data. For more information on available phenotypes, see: [https://wiki.humanconnectome.org/display/PublicData](https://wiki.humanconnectome.org/display/PublicData).

#### Behavioral markers: HCP

Inter-individual difference in sleep quality was derived from information of the self-reported Pittsburg Sleep Questionnaire (PSQI), which is a common measure of sleep quality with significant item-level reliability and validity.

For markers of life function, we used BMI (703 × weight/height\textsuperscript{2}) and the ASR depression DSM-oriented scale for ages 18–59 ([https://ashea.org/](https://ashea.org/)). The ASR is a...
self-administered test examining diverse aspects of adaptive functioning and problems. Scales are based on 2020 referred adults and normed on 1767 non-
referred adults. The test-retest reliability of the ASR was supported by 1-week test-
retest that were all above 0.71. The ASR also has good internal consistency (0.83), and in the current study we focused on depression sub-score.

As a proxy for intelligence, we used the NIH Toolbox Cognition51, total composite score6. The Cognitive Function Composite score is derived by averaging the
normalized scores of each of the Fluid and Crystallized cognition measures
derived scale scores based on this new distribution. Higher scores indicate
higher levels of cognitive functioning. Participant score is normed to those in the
entire NIH Toolbox Normative Sample (18 and older), regardless of age or any other
variable where a score of 100 indicates performance that was at the national
average and a score of 115 or 85, indicates performance 1 SD above or below the
national average.

Behavioral markers: NIK. Sleep markers were derived from the Pittsburgh
Sleep Questionnaire (see further the section on this question in the HCP
sample). Depression was measured using the Beck Depression Inventory (BDI-II). The
BDI-II is a 21-item self-report questionnaire assessing the current severity of
depression symptoms in adolescents and adults (ages 13 and up). It is not designed
to serve as an instrument of diagnosis, but rather to identify the presence and
severity of symptoms consistent with the criteria of the DSM-IV. Questions assess
the typical symptoms of depression such as mood, pessimism, sense of failure, self-
disatisfaction, self-disgust, suicidal ideation, crying, irritability, social withdrawal, insomnia, fatigue, appetite, and loss of libido. Participants are asked to pick a statement on a 4-point scale that best describes the
way they have been feeling during the past two weeks. Body-mass-index was
calculated using weight and height. These vitals are obtained and recorded by study
staff. Height was recorded in centimeters. Weight was recorded in kilograms. Body
Mass Index (BMI) was automatically calculated.

Intelligence was measured using the Wechsler Abbreviated Scale of Intelligence
(WASI-II). The WASI is a general intelligence, or IQ test designed to assess specific
and overall cognitive capabilities and is individually administered to children,
adolescents and adults (ages 6-89). It is a battery of four subtests: Vocabulary (31-item), Block Design (13-item), Similarities (24-item) and Matrix Reasoning
(30-item). In addition to assessing general, or Full Scale, intelligence, the WASI is
also designed to provide estimates of Verbal and Performance intelligence consistent with other Wechsler tests. Specifically, the four subtests comprise the full scale as described in the WASI-II manual. The Vocabulary and Similarities subtests are combined to form the Verbal Scale and yield a Verbal IQ (VIQ) score, and the Block Design and Matrix Reasoning subtests form the Performance Scale and yield a Performance IQ (PIQ) score48.

Statistics and reproducibility
Phenotypic analysis. For our phenotypic analysis in the HCP sample, we selected an
unrelated subsample to overcome possible bias due to genetic similarity of indi-
viduals. Specifically, we selected NIKI (ni), the total male sample with available data was used. To assess phenotypic relationships between sleep parameters and behavior/brain structure, we used Spearman’s correlation test to account for outliers, while controlling for age, sex, age × sex interaction, age2, age2 × sex interaction. In our structural whole
brain analysis, we additionally controlled for global thickness. Findings were
similar when additionally controlling for depression, BMI or intelligence. We
controlled for multiple comparisons at FDR < 0.05, per analysis step of univariate
analyses were conducted to estimate genetic (g) and environmental (e) correlations, based on the phenotypic correlation (r), between brain structure and sleep with the following formula:
r = \rho_g \sqrt{h_1^2 h_2^2} + \rho_e \sqrt{(1 - h_1^2)(1 - h_2^2)}
where h_1^2 and h_2^2 are the heritability’s of the parcel-based cortical thickness and the sleep parameters. The significance of these correlations was tested by comparing the log likelihood for two restricted models (with either \rho_g or \rho_e constrained to be equal to 0) against the log likelihood for the model in which these parameters were estimated. A significant genetic correlation (using a FDR q < 0.05) is evidence suggesting that both phenotypes are influenced by a gene or set of genes98.

Partial least squares. PLS is a multivariate data-driven statistical technique that aims to maximize the covariance among the matrices for a phenotypic (neuroimaging or behavioral) measure with the structure of the covariance matrix predicted by kinship. Heritability analyses were conducted with simultaneous estimation for the effects of potential covariates. For this study, we included covariates of age, sex, age × sex interaction, age2, age2 × sex interaction. When investigating cortical thickness, we additionally controlled for global thickness effects, as well as depression score, BMI, and intelligence in post-hoc tests. Heritability estimates were corrected for multiple comparisons at FDR q < 0.05, controlling for the number of parcels in case of analysis of brain structure.

We performed genetic correlation analysis to determine if variations in sleep
and cortical thickness were influenced by the same genetic factors. Specifically, bivariate polygenic analyses were conducted to estimate genetic (g) and
environmental (e) correlations, based on the phenotypic correlation (r), between
brain structure and sleep with the following formula:

r = \rho_g \sqrt{h_1^2 h_2^2} + \rho_e \sqrt{(1 - h_1^2)(1 - h_2^2)}

where h_1^2 and h_2^2 are the heritability’s of the parcel-based cortical thickness and the sleep parameters. The significance of these correlations was tested by comparing the log likelihood for two restricted models (with either \rho_g or \rho_e constrained to be equal to 0) against the log likelihood for the model in which these parameters were estimated. A significant genetic correlation (using a FDR q < 0.05) is evidence suggesting that both phenotypes are influenced by a gene or set of genes98.

Data availability
All data, analyzed in this manuscript, were obtained from the open-access HCP young
adult sample (http://www.humanconnectome.org)99,100 and enhanced NIKI-Rockland
sample (https://www.ncbi.nlm.nih.gov/prj/arcables/PM/C3472598/1). Brain images were
acquired from the International Neuroimaging Data Sharing Initiative (INDI) online
database http://icron_1000.projects.nitrc.org/indi/enhanced/studies.html. The raw data
cannot be shared by third parties due to ethics requirements, but can be downloaded
directly via the above weblinks. Spearman correlations and confidence intervals were
computed using the Robust Correlation toolbox https://github.com/CPernet/
robustcorrtool94. Heritability and genetic correlation analyses were performed using solar eclipse 8.4.0 (http://www.solar-eclipse
 genetics.org), and data on the KING pedigree analysis is available here: https://www.
nitrc.org/projects/se_linears/96,97. We performed partial least square analysis using https://
mlpb.epfl.ch/index.php/software/PLS99,100. BrainMap analysis were performed using http://
www.brainmap.org102,103.
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Author contributions
S.L.V., M.T. and F.S. designed the experiments and wrote the paper. S.L.V. performed analyses. F.H. processed imaging data. J.A.C. performed the functional decoding analysis and approved the paper. P.K. created the twin-pedigree for genetic analysis. H.K., M.Z., S.K., B.T.T.Y. and S.B.E. revised and approved the paper.

Competing interests
The authors declare no competing interests.

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