THE LOW FREQUENCY OF DUAL ACTIVE GALACTIC NUCLEI VERSUS THE HIGH MERGER RATE OF GALAXIES: A PHENOMENOLOGICAL MODEL

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ABSTRACT

Dual active galactic nuclei (AGNs) are natural byproducts of hierarchical mergers of galaxies in the ΛCDM cosmogony. Recent observations have shown that only a small fraction (≈0.1%–2.5%) of AGNs at redshift z ≲ 0.3 are dual with kpc-scale separations, which is rather low compared to the high merger rate of galaxies. Here we construct a phenomenological model to estimate the number density of dual AGNs and its evolution according to the observationally estimated major merger rates of galaxies and various scaling relations on the properties of galaxies and their central massive black holes. We show that our model reproduces the observed frequency and separation distribution of dual AGNs provided that significant nuclear activities are triggered only in gas-rich progenitor galaxies with central massive black holes and only when the nuclei of these galaxies are roughly within the half-light radii of their companion galaxies. Under these constraints, the observed low dual AGN frequency is consistent with the relatively high merger rate of galaxies and supports the hypothesis that major mergers lead to AGN/QSO activities. We also predict that the number of kpc-scale dual AGNs decreases with increasing redshift and only about 0.02%–0.06% of AGNs are dual AGNs with double-peaked narrow line features at redshifts of z ∼ 0.5–1.2. Future observations of high-redshift dual AGNs would provide a solid test for this prediction.

Key words: black hole physics – galaxies: active – galaxies: interactions – galaxies: nuclei – galaxies: Seyfert – quasars: general

1. INTRODUCTION

Merger of galaxies is one of the major processes of galaxy formation in the hierarchical ΛCDM cosmogony. Galaxy mergers can naturally lead to the formation of paired and binary massive black holes (MBHs), since most galaxies, especially those with spheroidal components, host MBHs at their centers (e.g., Begelman et al. 1980; Yu 2002). If both of the merging galaxies are gas-rich, a large amount of gas can be channeled to the central region of each merging galaxy, as indicated by numerical simulations (e.g., Hernquist 1989). A dual AGN (dAGN) could then emerge if the accretion onto both MBHs is triggered during the merging process. Thus the existence of dAGNs in the universe and their demography provide an important probe not only of the hierarchical galaxy formation models but also of the triggering mechanisms of nuclear activities and the assembly history of MBHs.

In the past decade, substantial progress has been made in searching for dAGNs and binary MBHs (binary black holes, BBHs) through various possible signatures, such as double-peaked broad lines (e.g., Boroson & Lauer 2009), double-peaked narrow lines (e.g., Zhou et al. 2004; Gerke et al. 2007; Comerford et al. 2009a, 2009b; Xu & Komossa 2009; Wang et al. 2009; Smith et al. 2010; Liu et al. 2010a, 2010b; Shen et al. 2010; Fu et al. 2010; Rosario et al. 2011), and various other methods (e.g., Komossa et al. 2003; Valtonen 2008; Green et al. 2010). Following a systematic scrutiny of the NIR images and optical slit spectra of a sample of double-peaked narrow line type 2 active galactic nuclei (AGNs), it has recently been reported that roughly 0.1%–2.5% of the z ≲ 0.3 type 2 AGNs are kpc-scale dAGNs after taking into account the selection completeness (Liu et al. 2010a, 2010b; Shen et al. 2010; see also Rosario et al. 2011). This frequency is surprisingly low even if we were to assume that all of these observations are indeed of dAGNs and not as has been otherwise suggested of superposed single AGNs, bipolar jets, and accretion disks. The observed frequency of dAGN is indeed more than an order of magnitude lower than that expected from the observed major merger rate of galaxies if one makes the assumption that each major merger would yield a dAGN (Rosario et al. 2011; see also Shen et al. 2010). The low frequency of dAGNs, unlikely due to selection effect (Rosario et al. 2011), seems generally at odds with the commonly accepted scenario that AGN/QSO activities are triggered by major mergers of galaxies.

In this paper, we construct a phenomenological model to address the observed frequency of kpc-scale dAGNs, by taking into account the following factors: (1) the merger rate of galaxies; (2) the types of galaxy mergers that can trigger the nuclear activities of both progenitors; (3) when and where significant nuclear activities are triggered (by “significant” nuclear activities we mean that the Eddington ratios of the nuclear luminosities are close to 1 (e.g., 0.1–1), rather than 10−3 or less); and (4) how long the nuclear activities of both progenitors can last before the merger of the two MBHs. After the merger of two MBHs, the nucleus of the merged galaxy is likely to still be active, but it only appears as a single AGN. In Section 2, we show how these factors are adopted in our model of the dAGN distribution. Under the assumption that significant nuclear activities can be triggered only in gas-rich progenitor galaxies with central MBHs when their companion galaxies are sufficiently close to them, the dAGN frequency and their separation distribution are obtained in Section 3. By comparison with observations, we show that the observational frequency of dAGNs is consistent with current observational constraints on the merger rates of galaxies and the scenario that major mergers of galaxies lead to significant nuclear activities. In Section 3, we also present our model predictions for the dAGN distribution at higher redshifts. We further discuss the
uncertainties in our estimates of the dAGN frequency due to different processes, which are not included in our model, such as mergers of progenitor galaxies whose nuclei have already been activated in previous mergers and also tidally induced nuclear activities in two galaxies which are still far away from each other. Conclusions are given in Section 4.

2. A PHENOMENOLOGICAL MODEL OF DUAL AGNs

In this section, we introduce a phenomenological model to estimate the frequency of dAGNs, by taking into account the factors mentioned in Section 1. The crucial elements of this model are described as follows.

2.1. Merger Rates of Galaxies

For galaxies with stellar mass $\geq M_*$, we describe their merger rate at a given time by the number fraction of those galaxies for which a galaxy merger completed per unit time, and denote the merger rate by

$$R(\gtrsim M_*, x, z) \equiv \frac{1}{n_{\text{tot}}(\gtrsim M_*, z)} \frac{dn_{\text{mrg}}(\gtrsim M_*, x, z)}{dz},$$

where $t_z = \int_0^z \frac{dt}{dz}$ is the cosmic time at redshift $z$, $x (\leq 1)$ represents the mass ratio of two merging galaxies, $n_{\text{tot}}(\gtrsim M_*, z)$ is the comoving number density of galaxies with stellar mass $\gtrsim M_*$ at redshift $z$, and $dn_{\text{mrg}}/dz$ gives the comoving number density of those galaxies that are products of mergers of two progenitor galaxies with mass ratio $\geq x$ and with their mergers being completed over a cosmic time from $t_z$ to $t_z + dt_z$.

In the past several years, tremendous efforts have been made in estimating the merger rate of galaxies either through close pairs of galaxies (e.g., Kartaltepe et al. 2007; Lin et al. 2004, 2008; de Propris et al. 2005; Bell et al. 2006a, 2006b; Bundy et al. 2009; López-Sanjuan et al. 2009; de Ravel et al. 2009; Patton et al. 2011) or through morphological disturbances of galaxies found in various deep surveys (e.g., Cassata et al. 2005; Bundy et al. 2005; Wolf et al. 2005; Bridge et al. 2007, 2010; Lotz et al. 2008; Conselice et al. 2008, 2009). The dependence of the merger rate $R(\gtrsim M_*, x, z)$ on mass ratio $x$ can be absorbed in a function $f(x)$, which describes the fraction of mergers with mass ratio larger than $x$, i.e.,

$$R(\gtrsim M_*, x, z) = f(x)R(\gtrsim M_*, z).$$

For major mergers (usually defined by $x \geq 1/3$), observational estimates can be roughly fitted by the simple formula,

$$R(\gtrsim M_*, z) = \Lambda(M_*) \beta(M_*)^{1+z},$$

where $\Lambda(M_*) \approx 0.2 [1 + (M_*/M_0)^{0.5}]$ Gyr$^{-1}$, $\beta(M_*) \approx 1.65 - 0.15 \log(M_*/M_0)$, and $M_0 = 2 \times 10^{10} M_\odot$ (Hopkins et al. 2010). The normalization of the merger rate $\Lambda(M_*)$ is uncertain by a factor of about two due to systematic errors and the uncertainty in the evolution slope $\beta(M_*)$ is $\Delta \beta \sim 0.15 - 0.20$. The dependence of the merger rates on mass ratio $x$ can be approximated by $f(x) \propto x^{0.53}(1 - x)$ (Stewart et al. 2009; Hopkins et al. 2010).

The stellar mass function of galaxies, involved in Equation (1), has been estimated over a large redshift range from various galaxy redshift surveys. In our Monte Carlo calculations below, we adopt the latest stellar mass function obtained from the S-COSMOS survey (Spitzer-Cosmic Evolution Survey) for galaxies at redshift ranges 0.2–0.4, 0.4–0.6, 0.6–0.8, 0.8–1.0, and 1.0–1.2 (Ilbert et al. 2010), and that obtained from the Sloan Digital Sky Survey (SDSS) for galaxies in the local universe ($z \sim 0.1$) (Bernardi et al. 2010), respectively. According to Equation (1) and the stellar mass functions, our simulations generate a large number of merging pairs of galaxies over redshift 0–1.2. The masses of the two progenitors are assigned by $M_{a,1} = \max\left(\frac{M_1}{M_\odot}, M_{fr,1}\right)$ and $M_{a,2} = \min\left(\frac{M_2}{M_\odot}, M_{fr,2}\right)$, respectively, where $x$ is randomly selected according to its distribution function $f(x)$.

2.2. Triggering of Nuclear Activity and Mergers of Galaxy Pairs with Various Morphologies

During the merging process of two galaxies, whether significant nuclear activities at their centers can be triggered depends on the two factors: (1) whether MBHs are initially hosted in both nuclei or whether the MBHs are massive enough and (2) whether sufficient gaseous materials can be quickly delivered into the vicinity of the MBHs. Those two factors are closely related to the progenitor morphologies of the merging pairs.

2.2.1. The Mass of the Initial MBHs

Observations have shown that the masses of MBHs, $M_{\bullet}$, in the centers of nearby galaxies are tightly correlated with the stellar mass of the spheroidal components of the galaxies $M_{\bullet, \text{bulge}}$ (Haring & Rix 2004):

$$\log M_{\bullet} = 8.28 + 1.12(\log M_{\bullet, \text{bulge}} - 11),$$

with an intrinsic scatter of 0.3 dex in log $M_{\bullet}$. Subsequently, given the stellar mass of the spheroidal component $M_{\bullet, \text{bulge}}$, the mass of each progenitor of a merging pair, we use Equation (3) to estimate the central black hole (BH) mass $M_{\bullet,i} (i = 1, 2)$ in the progenitor at redshift $z$, by assuming that the scatter of the correlation follows a normal distribution and adopting a small evolution correction of $M_{\bullet,i} \propto (1 + z)^{0.08}$ (e.g., Merloni et al. 2010).

Note that the following elements are involved to estimate the distribution of $M_{\bullet, \text{bulge}}$.

1. The bulge to total stellar mass ratio ($B/T$). Given the stellar mass $M_*$ of a galaxy, the mass $M_{\bullet, \text{bulge}}$ can be estimated according to the morphology of the galaxy, as the $B/T$ ratios are different for galaxies with different morphologies (Weinzirl et al. 2009; see also Graham & Worley 2008). Based on the detailed analysis of a sample of nearby galaxies, it has been found that $B/T = 0.22$ and 0.05 with variances of 0.05 and 0.02 for Sb-Sd and Sc-Sd, respectively (Weinzirl et al. 2009). We assume that $B/T = 1$ for elliptical and S0 galaxies and $B/T = 0$ for irregular galaxies, respectively.

2. Distribution of morphological combinations of merging galaxy pairs. It is possible that the two progenitors of a merging galaxy pair have different morphologies. Currently detailed statistics on the morphological combinations of the pairs are not available, although there are quite a number of constraints on the merger rates of red galaxies, blue galaxies, and mixed ones (e.g., Lin et al. 2004, 2008).

Here we simply assume that the morphological types of...
the two progenitors are independent of each other, and consequently the fraction of the merger rates of the pairs with any specific morphological combination to the total merger rates only depends on the fraction of these types to the total number of galaxies. This assumption appears consistent with the current observations that the fractions of different morphological types for galaxies in pairs are similar to those for field galaxies (de Propris et al. 2005).

3. Stellar mass functions of galaxies with different morphological types. The galaxy luminosity function (LF) for four spectral types of galaxies over $z \sim 0.2-1.2$ was previously estimated (see Table 3 of Zucca et al. 2006). These four spectral types roughly correspond to the morphological types E/S0, Sa-Sb, Sc-Sd, and Irr, respectively. For each type of galaxies, the mass-to-light ratio can be estimated through their average colors (e.g., $B - V$; see Bell et al. 2003; Bernardi et al. 2010; Fukugita et al. 1995), and their LFs (Zucca et al. 2006) can thus be converted to the stellar mass functions. According to these mass functions, the fraction of each type of galaxies to the total galaxies can be obtained at any given $M_*$ over $z \sim 0.2-1.2$. For galaxies at redshifts $z < 0.2$, we adopt the stellar mass functions for different morphological types given by Bernardi et al. (2010, see their Table B2) and estimate the fraction of each type to the total at any given $M_*$. In our calculations below, for each merger generated as that described in Section 2.1, we randomly set the morphological type to each progenitor according to the fractions of each type of galaxies to the total at $M_{*,1}$ and $M_{*,2}$, respectively.

For those irregular or purely disk-like galaxies, we set the initial mass of their MBHs to be $10^5 M_\odot$ (Ho 2008; Kormendy et al. 2011; see also the discussion in Graham et al. 2011 and references therein) or zero. Here, these two different initial values do not lead to significantly different results.

2.2.2. Triggering of the Nuclear Activity

During the merger of late-type gas-rich galaxies (Sa-Sb, Sc-Sd, or Irr) with other galaxies, significant nuclear activities may be triggered rapidly. The reason lies in the fact that late-type galaxies contain significant amount of gaseous materials which could lose angular momentum and sink under dynamical friction during the galaxy merger. Early-type gas-poor (red/elliptical) galaxies may be able to capture some gas from gas-rich encounters during the merging process, but the time for the gas to reach the center is likely to be comparable to the merging timescale (see Section 2.3). Therefore, the nuclear activities in these galaxies may start only after the mergers have almost completed. In addition, the host galaxies of all the confirmed dAGNs obtained from the Millennium Simulation, it has been found that the average merging time of paired galaxies can be fitted by

$$\langle T_{\text{merg}}(r_p) \rangle = 2.2 \text{ Gyr} \left( \frac{r_p}{50 \text{ kpc}} \right) \left( \frac{M_*}{4 \times 10^{10} h^{-1} M_\odot} \right)^{-0.3} \left( \frac{1 + z}{8} \right),$$

where $r_p$ is the projected separation of the pairs (Kitzbichler & White 2008). This result is about 15%-30% larger than that obtained from higher resolution simulations of galaxy mergers (Lotz et al. 2010). Correcting this difference and assuming that the orientations of galaxy pairs are isotropically distributed, the merging time as a function of three-dimensional separation $D$ is smaller than that given by Equation (5) for a projected separation $r_p = D$ by about a factor of two, i.e.,

$$\langle t_{\text{merg}}(D) \rangle \simeq 0.5 \langle T_{\text{merg}}(r_p = D) \rangle.$$  

Note that the merger timescale above is linear with the separation $D$, instead of being proportional to $D^2$ as simply expected from the dynamical friction formula (e.g., Equation (8.12) in Binney
& Tremaine 2008). A simple model presented in Section 4 of Yu (2002) also gives the linear relation with the separation, which can be understood in the following picture. Considering the relatively small component of the two merging galaxies is migrating into the center of their common gravitational potential by dynamical friction. During the process, if the mass of the migrating component does not change, then the dynamical friction timescale can be proportional to $D^2$. But in reality the stars in the outer parts of the small component are gradually tidally stripped off, and the simple model presented in Yu (2002) gives that the stellar mass gravitationally bound to the migrating component decreases and is roughly proportional to $D$, which cancels out one $D$ in $D^2$ (see also Figure 3 in Yu 2002).

The period that a merging system may appear as a double-peaked narrow line dAGN varies from $\delta t = 0$ to $\delta t = \tau_{\text{mf}}(D_e) - \tau_{\text{mf}}(D_L)$, where $D_L$ is the lower limit of the separation that the narrow line regions associated with the two nuclei begin to overlap and the line profile becomes more complicated, and $\delta t$ is the time elapsed since the activities of both the nuclei were triggered. Here we set $D_e = 0.5$ kpc since the typical size of narrow line regions of AGNs is about a few tens to a few hundreds of parsecs (Peterson 1997).

2.4. Luminosity Evolution of Nuclear Activities

Once the nuclear activity is triggered in the center of a merging component, the material available for accretion initially is expected to be abundant. The situation of these merging systems may be similar to that of high-luminosity QSOs, which also have plentiful gas supplies and accrete at relatively high rates. The Eddington accretion rate is $M_{\text{Edd}} \simeq 0.22 M_\odot \text{yr}^{-1}(e^{-1} - 1)(M_*/10^8 M_\odot)$, where $e \sim 0.1$–0.16 is the mass-to-light conversion efficiency (e.g., Yu & Tremaine 2002; Yu & Lu 2008). Here we assume that the accretion rate is $\lambda M_{\text{Edd}}$ for the MBH in each component of a merging pair after its nuclear activity has been triggered, and we set $\lambda = 0.25$ (e.g., as shown in Kollmeier et al. 2006; Shen et al. 2008) unless otherwise stated. Thus the MBH should grow exponentially with the elapsed time as $\exp(\lambda \delta t/\tau_{\text{Sal}})$, where the Salpeter timescale $\tau_{\text{Sal}}$ is about $4.5 \times 10^7 (L/L_\odot) \text{yr}$. We assume that the accretion switches off if the total mass of the two MBHs is larger than the expectation from Equation (3) for the final merged galaxy, taking into account the scatter in the relation.5 The bolometric luminosity is $L_{\text{bol}} = \lambda L_{\text{Edd}}(M_*)$, where $L_{\text{Edd}} \simeq 1.3 \times 10^{36} \text{erg s}^{-1}(M_*/M_\odot)$. For the purpose of this paper, we need to calculate the [O iii] luminosity, which can be converted from the bolometric luminosity by $L_{\text{O iii}} = L_{\text{bol}}/3500$ with a scatter of $\sim 0.38$ dex (assumed to have a lognormal distribution; Heckman et al. 2004).

2.5. Velocity Separation

In recent systematic searches, double-peaked narrow lines have been adopted as the indicator to search for dAGNs from parent SDSS AGN samples (Shen et al. 2010). In this search the dAGNs with velocity separation $v_{\text{sep}} < 150 \text{ km s}^{-1}$ or $v_{\text{sep}} < \max(\sigma_{e,1}, \sigma_{e,2})$ cannot be detected. The velocity dispersions of the primary and the secondary progenitor galaxies (or their bulges) of the pair, $\sigma_{e,1}$ and $\sigma_{e,2}$ respectively, characterize the widths of the two narrow line components. In order to extract the dAGN frequency from these searches, it is necessary to estimate $v_{\text{sep}}$, $\sigma_{e,1}$, and $\sigma_{e,2}$ of the two merging components.

As both components of a pair move in their common dark matter halo with a velocity of the order of the circular velocity $v_{\text{circ}}$ of the halo (i.e., the merged galaxy), we first assume that the relative velocity of the two components $v_{\text{rel}} \sim 2v_{\text{circ}}$ and later discuss the consequences of this assumption in Section 3. It is plausible to assume that the orbital orientation of the two merging components and their relative position on the orbital plane are isotropically distributed. Subsequently, the velocity separation of the two components of the double-peaked lines, i.e., the relative velocity component projected onto the line of sight, as well as their projected separation perpendicular to the line of sight, can be taken into account for each pair through Monte Carlo simulations. For early-type galaxies, $v_{\text{circ}}$ can be estimated from $v_{\text{circ}} \sim 1.54 \sigma_e$ (Dutton et al. 2010). The velocity dispersion $\sigma_e$ of the merger result which is supposed to be an elliptical can be estimated from stellar mass $M_*$ by the following empirical relation:

$$\log \left( \frac{\sigma_e}{\text{km s}^{-1}} \right) = 2.23 + 0.37 \log \left( \frac{M_*}{10^{10.9} M_\odot} \right) - 0.19 \log \left[ \frac{1}{2} + \frac{1}{2} \left( \frac{M_*}{10^{10.9} M_\odot} \right) \right],$$

for nearby SDSS galaxies which has an intrinsic scatter of roughly 0.071 dex (Gallazzi et al. 2006). For galaxies at higher redshifts, we add an evolution correction to the above relation by interpolating its measured deviations to higher redshifts (Dutton et al. 2011, see their Table 3). For a late-type galaxy, the velocity dispersion of its bulge (if any) can also be estimated from Equation (7) after replacing $M_*$ there by $M_{*,\text{bulge}}$, as bulges appear to follow the same Faber–Jackson relation as faint ellipticals (Balcells et al. 2007).

2.6. Monte Carlo Simulations of dAGNs

We summarize the procedures to generate dAGNs in our Monte Carlo simulations as follows.

1. We generate $10^7$ major mergers of galaxies which are completed during each bin of the cosmic time $t_{ek}$ to $t_{ek} + \delta t_{ek}$, where $z_k = 0.1, 0.3, 0.5, 0.7, 0.9, 1.1$ for $k = 1, 2, \ldots, 6$, and 6, respectively, and $\delta t_{ek} = 10^9 \text{ yr}$. The mass and the completion time $t_{ek}$ of each major merger are randomly assigned to the merger remnant according to the major merger rate (as a function of redshift or cosmic time) and the stellar mass function given in Section 2.1. For each merger, the total stellar mass $M_*$ ($10^9 M_\odot$–$10^{10.5} M_\odot$) of the system is split into the stellar masses of its two progenitor galaxies $M_{*,1}$ and $M_{*,2}$ according to the function $f(x)$ shown in Equation (2). The total comoving volume for the $10^7$ major mergers occurring in each cosmic time bin above can be obtained from Equations (1) and (2), and this volume gives the normalization to determine the number density and the LF of dAGNs.

2. For each merging system, we assign morphological types to the two progenitor galaxies and then estimate their bulge masses and the masses of their central MBHs, as described in Section 2.2.1.

3. For each progenitor galaxy of the system, the half-light radius is estimated as described in Section 2.2.2, and their separation threshold for triggering nuclear activities is correspondingly set to each component, i.e., $D_{i,j} = K r_{h,i} (i, j = 1, 2 \text{ and } i \neq j)$, where $K$ is assumed to be the same for the two components of the major merger and is

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5 Even if these BHs can still accrete some material via lower Eddington ratios, e.g., $\sim 0.001$, our simulation results are not affected much.
calibrated by the separation distribution of observed dAGNs (see Section 3).

4. We start from the completion time of each major merger and trace back the evolution of the separation of its progenitor galaxies from zero to the values at earlier time, as described in Section 2.3. (Note that our analyses for kpc-scale dAGNs here are not affected by our extrapolation of Equation (6) to pc scales and by our simplification of detailed evolution timescales of massive bound BBHs on these small scales.) Assuming that all merging systems which complete their mergers within the cosmic time $t_{c,i}$ to $t_{c,i} + \delta t_{c,i}$ are located at the distance of redshift $z_k$, then these systems should appear in observations at a time of $\Delta t = t_c - t_{c,i}$ before the completion of the mergers, where $t_c$ is the cosmic time at which each major merger is completed. For any merger, if $\Delta t < (\tau_{mg} / D_{c,i}^{(1)})$, the nuclear activity in component $i$ has been triggered, and the time elapse since the triggering of the activity is given by $(\tau_{mg} / D_{c,i}^{(1)}) - \Delta t$.

5. The luminosity is assigned to each active component as described in Section 2.4.

6. If the nuclear activities in both components are triggered and the system satisfies the velocity threshold set in Section 2.5, it then appears as a dAGN similar to those observed by Shen et al. (2010).

In order to compare with the results given by systematic surveys by using double-peaked narrow lines (e.g., Shen et al. 2010; Rosario et al. 2011), we only count the number of those simulated dAGNs with two components having a separation within the range from 0.5 kpc to 10 kpc and comparable luminosities, i.e., the luminosity ratio of the two components is within a factor of four. And we then calculate their LF and projected separation distribution, etc., as illustrated in the following section.

3. MODEL RESULTS AND DISCUSSIONS

Figure 1 shows the [O III] LF of the bright components of the simulated dAGNs that could be selected through double-peaked narrow lines (solid and long-dashed lines) at redshifts $z = 0.1$ and 0.3, respectively. These simulated dAGNs are selected through similar thresholds as that in Shen et al. (2010) for double-peaked narrow line dAGNs (see Section 2.5). And the threshold on the separation for the nuclear activity to be triggered, $D_{c,i} = R_{bh,j}$, is set to have $K = 1.25$ (which is the reference value, see also Figure 2), where $i = 1, 2$ represent the two components of a merging pair and $i \neq j$. Note that the LF of the faint components of dAGNs is only slightly smaller than that for the bright components. The observed [O III] LFs for all AGNs, including the [O III] LFs of the type 1 AGNs and type 2 AGNs adopted from Bongiorno et al. (2010), are also shown in Figure 1. Apparently, the LF of the bright components of dAGNs is smaller than that for all AGNs by a factor of about 100–1000. Note that the bright component of an observed dAGN may be hosted in the small progenitor galaxy (Shen et al. 2010); and our model shows that about 30%–40% of simulated dAGNs have their bright components being hosted in the progenitors with relatively low total stellar masses, consistent with current observations. Here we do not try to simultaneously fit the total AGN LF, as both the detailed luminosity evolution of nuclear activities in the post-merger...
We caution here that the total number of dAGNs in Shen et al.’s (2010) sample is only 5, and the separation distribution of these dAGNs shown in Figure 2 suffers from small number statistics. Future observations which promise to find more dAGNs would provide firmer constraints on $D_c$ and would yield better statistics.

1. The systematic error of the merger rates, estimated from observations, could affect our estimate by a factor of two. This error partly reflects the uncertainty in the merger timescale ($\tau_{\text{merg}}(D)$), (3) the setting of the separation threshold $D_c$, (4) the setting of the accretion rate $\lambda$, and (5) the approximation of the relative velocity. We discuss each of the points below.

2. The uncertainty in the settings of the separation threshold $D_c$ may be calibrated by the observational separation distribution of dAGNs. Figure 2 shows the cumulative distribution of the number of dAGNs versus the projected separation $r_p$ of its two components for both observed dAGNs and simulated dAGNs. As seen from Figure 2(a), the simulated distribution matches well the observational one if $D_{c,i}$ is set to $\sim 1.25 r_{h,j}$.\footnote{We caution here that the total number of dAGNs in Shen et al.’s (2010) sample is only 5, and the separation distribution of these dAGNs shown in Figure 2 suffers from small number statistics. Future observations which promise to find more dAGNs would provide firmer constraints on $D_c$ and would yield better statistics.} After adding the dAGN candidate found by Comerford et al. (2009b) and the two dAGNs–major merger systems recently found by Rosario et al. (2011) to the dAGN sample, the dAGN $r_p$ distribution still appears to be consistent with $D_{c,i} \sim (1–1.5)r_{h,j}$. We choose $K = 1.25$ as the reference value in this paper. A much larger or smaller $D_c$ (e.g., $K = 2$ or 0.5) appears not to be consistent with the observationally deduced distribution of projected separations (see also the discussion on tidal interactions below). According to Equation (5), the uncertainties in the settings of $D_c$ may lead to an error of about 30% in the simulated LFs of the bright (or relatively faint) components of dAGNs. Figure 2(b) shows the evolution of the dAGN separation distribution. The dAGNs at higher redshifts appear to have smaller separations, which is mainly due to the size evolution of galaxies set in the model.

3. The accretion rates of dAGNs are set to have $\lambda = 0.25$ times the Eddington rate, similar to those in observed bright QSOs (see Section 2.4). If $\lambda$ is set to be 0.1, the LFs of the simulated dAGNs move downward by a factor of 2–3 at $L_{[\text{O}III]} \sim 10^7$–$10^8 L_\odot$; and if $\lambda = 1$, the LFs of the simulated dAGNs increase by more than a factor of 10 at $L_{[\text{O}III]} \gtrsim 10^{8.5} L_\odot$ but stay the same and then decrease slightly as $L_{[\text{O}III]}$ decreases to less than $10^{7.5} L_\odot$.

4. The relative velocity $v_{\text{rel}}$ of the two components of any merging pair is set to be twice the circular velocity of their common dark matter halo. This may be an overestimate of $v_{\text{rel}}$ as the primary component may move at a lower speed than the circular velocity. However, it is hard to address the uncertainty in the dAGN LF caused by this assumption. In order to get a sense of this uncertainty, we here simply assume a case of $v_{\text{rel}} \sim 1.5 v_{\text{circ}}$ or $v_{\text{circ}}$ and find that it could lead to a decrease of the dAGN LFs by about a factor of 1.4 or 2.

Combining all of these uncertainties together, the total systematic uncertainty in the LFs of the bright components of dAGNs is likely to be a factor of 3–4 over the luminosity range $10^6$–$10^8 L_\odot$.

Figure 3 shows the evolution of the dAGN frequency, i.e., the ratio of the number density of simulated dAGNs to the number density of AGNs derived from the observational AGN LF. Open circles and squares represent the cases for the bright components of dAGNs and AGNs with luminosities $L_{[\text{O}III]} \gtrsim 10^5$ and $10^5 L_\odot$, respectively. The dAGNs are selected through the double-peaked narrow lines in our Monte Carlo simulations described in Section 3. In this figure, the separation threshold for triggering nuclear activities is set to have $K = 1.25$. The typical error on the estimated dAGN frequency is 0.5–0.6 dex (see discussions in Section 3). The total [O III] LFs of AGNs at different redshifts are adopted from Bongiorno et al. (2010). The dotted and solid crosses are the current observational constraints obtained with and without completeness correction, respectively. See details in Section 3.
with the observational estimates obtained by Shen et al. (2010), i.e., \(\sim 0.1\% - 0.5\%\) without completeness correction or \(\sim 0.5\% - 2.5\%\) after the correction of incompleteness due to the projection effect, velocity cutoff, and low signal-to-noise spectra of some dAGNs (see also Rosario et al. 2011, in which the dAGN frequency is \(\sim 0.4\%\) without the completeness correction).

The reasons that a lower frequency of dAGNs is obtained from our model (compared to the simple estimates; e.g., 15\% by Rosario et al. 2011) are threefold: (1) dAGNs can be produced only if both of the two progenitor galaxies are gas-rich so that sufficient accretion materials could be provided; (2) MBHs in those gas-rich progenitor galaxies may be initially substantially smaller than their final masses, and thus the AGN phenomena triggered before MBH mergers may be substantially less luminous compared with those triggered after MBH mergers; and (3) in a significant fraction of major mergers, the masses of the MBHs hosted in the two progenitor galaxies may differ by a large factor and thus the induced dAGNs may have too large a luminosity difference to be included.

As shown in Figure 3, the modeled frequency of kpc-scale dAGNs that can be detected through the double-peaked narrow line features declines to even lower values on the order of several ten-thousandths, e.g., 0.02\%–0.06\% at redshift \(z \sim 0.5–1.2\). And the frequency of all kpc-scale dAGNs declines to 0.04\%–0.1\% at redshift \(z \sim 0.5–1.2\). This decline is mainly due to the redshift evolution of the galaxy morphology distribution (e.g., Zucca et al. 2006). Correspondingly, the major mergers at such redshifts are dominated by very late type galaxies (e.g., Sc–Sd and Irr galaxies) and the fraction of mergers that host large initial MBHs becomes substantially smaller at high redshifts. Future observations which would specifically search for high-redshift dAGNs could test this prediction.

In addition, we note here that the estimates of the dAGN LF and the separation distribution may be affected by some simplified assumptions made above. Below we discuss how our results would be affected by some other mechanisms, which may trigger nuclear activities, but is ignored in the model above.

First, we have explicitly assumed that significant nuclear activities can only be triggered in the gas-rich component of a major merger. If we relax this assumption and allow significant nuclear activities can only be triggered in the gas-rich component of a major merger, then the estimated dAGN frequency would rise to about 10\% or higher at luminosity \(L_{\text{[OIII]}} \gtrsim 10^5 L_\odot\), which is not consistent with current observations. One possible way to solve this inconsistency would be to impose that tidal interactions induce only low-level nuclear activities (e.g., with \(\lambda \sim 0.01–0.001\)) when the two progenitor galaxies are still far away from each other and the accretion rate is significantly enhanced only when the two progenitor galaxies become close enough to each other. In this case, a large number of paired AGNs on 10 kpc–100 kpc (with a frequency of several percent to ten percent) can be produced but the resulted frequency of dAGNs on kpc-scale is still the same as the current observations suggest.

Third, successive mergers, in principle, can include mergers of two progenitor galaxies whose nuclei have already been activated, which would also yield a dAGN. This scenario has not been discussed here in detail mainly for simplicity. However, according to the major merger rates of galaxies presented in Section 2, the rate of successive major mergers, within the lifetime of detectable nuclear activities (e.g., \(< 1\) Gyr), is extremely low, especially if successive merger events were assumed to be independent of each other.

Future observations which would determine the distribution of dAGNs as a function of luminosity and separation may shed light on our understanding of the triggering mechanisms, major mergers, tidal interactions, and the mergers of galaxies with already-activated nuclei.

4. CONCLUSIONS

In this paper, we have constructed a phenomenological model to estimate the number density of dAGNs and its evolution according to the observationally estimated merger rates of galaxies and various scaling relations on the properties of galaxies and MBHs. We have demonstrated that the observed frequency and separation distribution of dAGNs are compatible with the hypothesis that major mergers of galaxies lead to AGN/QSO activities and are consistent with most estimates of galaxy major merger rates. We have also predicted that the frequency of those dAGNs with double-peaked narrow line features becomes even smaller (i.e., 0.02\%–0.06\%) at higher redshifts \(z \sim 0.5–1.2\) and the frequency of all kpc-scale dAGNs is \(~0.04\%–0.1\% at \(z \sim 0.5–1.2\), which can be tested by future observations. Future observations shall provide us with much better statistics on the distribution of kpc-scale dAGNs (and pairs of AGNs on an even larger scale) as a function of luminosity and separation and hence will tighten the constraints on triggering mechanisms of nuclear activities.

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