The Farnesoid X Receptor: Good for BAD

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SUMMARY

Although bile acid diarrhea affects ~1% of the adult population, diagnosis and therapeutic approaches are still unsatisfactory. Herein, we review current concepts of bile acid diarrhea pathogenesis and the potential role for the farnesoid X receptor in its treatment.

Diarrhea is a feature of several chronic intestinal disorders that are associated with increased delivery of bile acids into the colon. Although the prevalence of bile acid diarrhea is high, affecting approximately 1% of the adult population, current therapies often are unsatisfactory. By virtue of its capacity to inhibit colonic epithelial fluid secretion and to down-regulate hepatic bile acid synthesis through induction of the ileal fibroblast growth factor 19 release, the nuclear bile acid receptor, farnesoid X receptor, represents a promising target for the development of new therapeutic approaches. Here, we review our current understanding of the pathophysiology of bile acid diarrhea and the current evidence supporting a role for farnesoid X receptor agonists in treatment of the disease. (Cell Mol Gastroenterol Hepatol 2016;2:725–732; http://dx.doi.org/10.1016/j.jcmgh.2016.08.004)

Keywords: Bile Acid Diarrhea; Enterohepatic Circulation; FGF-19; Chloride Secretion; Epithelium.

The farnesoid X receptor (FXR) is the nuclear hormone receptor that binds bile acids and regulates transcription of multiple genes that are key to many aspects of metabolism, especially those involving bile acids themselves. This review describes the involvement of FXR in the condition known as bile acid diarrhea (BAD).

BAD occurs when excess bile acids are present in the colon. The condition was first recognized in 1967,1 as a result of bile acid malabsorption in patients with ileal resection or disease, particularly those with Crohn’s disease. These patients had high concentrations of fecal bile acids and their symptoms of frequent, unformed bowel motions improved after treatment with bile acid–sequestering resins, such as cholestyramine.2 Later, it became apparent that there was an idiopathic, primary form of BAD in patients who had no obvious ileal disease but who also responded to bile acid sequestrants.3 BAD also can be found to be associated with other gastrointestinal disorders, such as radiation enteropathy or postcholecystectomy. Taking into account these differing etiologies, BAD now is classified as being type 1 (secondary to ileal disease), type 2 (primary, idiopathic), or type 3 (miscellaneous).4

Although primary BAD is common, it still often is unrecognized.5 Several systematic reviews have confirmed that its incidence is approximately 25%–32% of patients suffering from functional bowel disorders with diarrhea.6,7 This means that the population prevalence is approximately 1% (ie, it is more prevalent than Crohn’s disease or ulcerative colitis and has a similar prevalence to celiac disease). These patients commonly are labeled with diarrhea-predominant irritable bowel syndrome or functional diarrhea, and often will have experienced symptoms for many years before the correct diagnosis is made. Diagnostic methods that have been used include quantification of fecal bile acids, estimates of the retention (and loss) of the γ-emitter 75SeHCAT (a modified bile acid), or measurements of markers of new bile acid synthesis such as 7α-hydroxy-4-cholesten-3-one (C4) or fibroblast growth factor 19 (FGF19),8 however, for a variety of reasons, these tests are not widely available in the United States and Europe.

Consequently, there is a large unmet need in patients with BAD for a better diagnosis and this is coupled with the need for better therapies. Bile acid sequestrants bind bile acids in the colon and prevent them from exerting their adverse effects on water and electrolyte transport, but these drugs can be poorly tolerated, causing abdominal bloating or pain, and have significant potential to interact with other drugs and vitamins.9 Importantly, current therapies do not act on the molecular pathways underlying the pathogenesis of BAD, but studies emerging from our own and other laboratories suggest that drugs that activate the FXR may have future roles to play in this regard.

Physiology of the Enterohepatic Circulation

The FXR plays key roles in regulating bile acid metabolism (Figure 1). The primary bile acids in human beings, chenodeoxycholic acid (CDCA) and cholic acid (CA),...
are synthesized in the liver by way of a multistep process, starting from cholesterol. The cytochrome enzyme CYP7A1 is the key regulatory step; C4 is a marker of new bile acid synthesis measurable in serum. CA and CDCA are conjugated with either glycine or taurine, and these more hydrophilic bile acids are secreted from the hepatocyte through the bile salt export protein and are stored as a component of bile in the gallbladder.

Upon ingestion of a meal, gallbladder contraction is induced by cholecystokinin and bile acids are released into the small intestine where they are critical for lipid digestion and absorption. In the ileum, they are reabsorbed, with approximately 95% being recovered. The molecular pathway for bile acid uptake in ileal enterocytes comprises the apical sodium-linked bile transporter (ASBT, also called ileal bile acid transporter, gene symbol SLC10A2), the ileal bile acid binding protein (gene symbol FABP6), and the basolateral membrane organic solute transporters α and β. Bile acids enter the portal blood, are taken back up in the liver by the Na\(^+\)-taurocholate co-transporting polypeptide (SLC10A1), and are recycled; a process known as enterohepatic circulation (EHC). Recycling of bile acids is very efficient and the daily amount of bile acids entering the liver is 4–6 times that of the total bile acid pool size, with new bile acid synthesis contributing to only a small proportion of this.

With each cycle of the EHC a small proportion (~5%) of circulating bile acids enter the colon. Here, conjugated primary bile acids are metabolized by enzymes in the microbiota through deconjugation, dehydroxylation, and epimerization to form the secondary bile acids, most notably in human beings, deoxycholic acid (DCA) from CA, and ursodeoxycholic acid and lithocholic acid (LCA) from CDCA. Metabolism of bile acids by the microbiota in this way makes them more lipophilic, enabling their passive reabsorption in the colon and recirculation to the liver where they are reconjugated and re-used in the bile. In human beings, the predominant colonic bile acid is normally DCA, and is present in the range of 50–200 μmol/L in the cecal water. However, it should be noted that considerable differences in the nature of the colonic bile acid pool exist between different species, a fact that must be taken into account when translating experimental observations from animal models into human beings. For example, in mice, muricholic acid is a major primary bile acid, and is present in the colon at concentrations similar to those of DCA, but has opposing effects on FXR activation.

At the molecular level, regulation of the EHC is very complex and is still not fully understood. However, it is now clear that the FXR has key roles to play (Figure 1). The involvement of FXR as a bile acid receptor was first recognized in 1999. FXR dimerizes with the retinoid X receptor, another zinc-finger DNA-binding nuclear hormone receptor, and the heterodimer binds to specific bile acid response elements and regulates the transcription of many genes. One important FXR response gene is short heterodimeric partner. This has been shown to down-regulate CYP7A1 expression in the liver and also ASBT expression in the ileum. Organic solute transporter α and ileal bile acid binding protein in the ileum both are transcriptionally up-regulated by FXR.

The most FXR-responsive gene in human ileum is FGF19, and the rodent orthologue is FGF15. FGF19 is a

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**Figure 1.** The FXR in regulation of the enterohepatic circulation. Bile acids (BAs) are synthesized in the hepatocyte by CYP7A1 and secreted into the enterohepatic circulation (orange arrows) by the basolateral membrane organic solute transporter (OST)α-OSTβ dimer. BAs are taken back up into hepatocytes by the Na\(^+\)-taurocholate co-transporting polypeptide (NTCP). Treatment with FXR agonists (blue lines) induces expression of short heterodimeric partner (SHP) in the ileum and of FGF19, IBABP, OSTα-OSTβ, and SHP in ileal enterocytes. FGF19 enters the portal circulation and acts on FGF receptor 4-Klothoβ receptors in hepatocytes (purple arrows) to inhibit CYP7A1 expression. SHP also down-regulates CYP7A1 expression in hepatocytes, further dampening BA synthesis. With each cycle of the EHC, a small proportion of the bile acid pool enters the colon where it is metabolized by the resident microbiota into deconjugated secondary bile acids. In primary BAD, impaired FGF19 production results in increased CYP7A1 expression and enhanced hepatic synthesis of bile acids. In turn, this results in a larger bile acid pool with increased colonic delivery.
Pathophysiology of Bile Acid Diarrhea

Malabsorption of bile acids occurs when the normal ileal bile acid transport systems described earlier are impaired. In human beings, this is most likely to occur as a result of surgical resection of the small intestine or as a consequence of inflammation, such as that associated with Crohn’s disease, leading to reduced expression of ASBT.3,4 Rarely, children may be born with gene defects in ASBT.5 Inhibition of ASBT function also can be induced pharmacologically by ileal bile acid transporter inhibitors, such as elobixibat, which currently are undergoing development for the treatment of patients with constipation.6

In patients with the primary idiopathic form of BAD, the mechanism for increased colonic bile acid concentrations does not appear to be caused by impaired bile acid absorption.7,8 Instead, ileal production and reduced serum levels of FGF19 have been shown.7,9 This defect results in reduced feedback inhibition of hepatic synthesis, and, consequently, overproduction of bile acids. Previous human studies showing unimpaired bile acid uptake in the ileum and a larger pool size support this mechanism of disease pathogenesis. Similarly, studies in mouse models, where the genes for Fxr,10 Fgf15, Fgfr4, and Klb were knocked out, also showed excessive bile acid synthesis and fecal bile acid loss (reviewed by Walters5), whereas monkeys treated with antibodies to FGF1911 developed severe watery diarrhea. These findings all suggest a central role for FGF19 and FXR in the pathogenesis of BAD.

Further evidence comes from studies of human ileal biopsy specimens in short-term culture where FGF19 messenger RNA expression was shown to be stimulated potently by physiological concentrations of natural bile acids. In keeping with their known potency as FXR agonists, CDCA was the most potent natural FGF19 inducer, followed by CA, DCA, and then LCA.26 The semisynthetic bile acid, cholic acid (CA), dihydroxy bile acids, such as DCA and CDCA, being effective through both direct and indirect actions on the epithelium.33,35,36 Sulfation of bile acids abolishes their effects on fluid transport, whereas conjugated bile acids are effective only from the basolateral side of the epithelium.36,37 Thus, the metabolizing activity of the colonic microbiota, which alters bile acids by dehydroxylation, epimerization, deconjugation, and sulfation, has important consequences for their capacity to cause diarrhea. Bile acids exert their effects on colonic fluid transport through both direct and indirect actions on the epithelium. Direct effects have been investigated in cultured epithelial cell lines and primary isolated colonic crypts. When cultured monolayers of crypt epithelial cells are exposed to high concentrations of bile acids, rapid IP3-mediated increases in intracellular Ca2+ result. In turn, this leads to activation of the transport proteins that drive epithelial Cl− secretion, the primary osmotic driving force for intestinal fluid secretion.38,39 Furthermore, studies on isolated human colonic epithelial cells have shown that bile acids also inhibit Na+ absorption, the main osmotic driving force for colonic fluid absorption. This effect appears to be mediated,

Bile Acids and Colonic Fluid and Electrolyte Transport

When the EHC is functioning normally, relatively low levels of bile acids enter into the colon. Although bile acid concentrations in the duodenum can be as high as 10 mmol/L, the median concentration in the cecum is reported to be approximately 0.4 mmol/L.11 DCA is the most prominent colonic bile acid, and is present in the colonic fluid at concentrations in the range of 0.1–0.2 mmol/L.13 However, in conditions of bile acid malabsorption, levels of luminal bile acids may be higher. A study from the Mayo Clinic showed that 24-hour fecal bile acid loss was 363 µmol/24 h (interquartile range, 194–762 µmol/24 h) in healthy controls (n = 23), compared with 864 µmol/24 h (interquartile range, 453–1213 µmol/24 h) in a mixed group of patients (n = 21) with undefined diarrhea-predominant IBS.29 Because bile acids in high concentrations are toxic molecules, the body must respond appropriately to such abnormally high levels in the lumen if it is to prevent mucosal damage, ulceration, and inflammation. One of the primary mechanisms by which it does so is through the induction of diarrhea.
at least partly, by Ca\(^{2+}\)-dependent inhibition of Na\(^+\)/H\(^+\) and Cl\(^-\)/HCO\(_3\)\(^-\) exchangers in the apical membrane, transport proteins that constitute the pathway for electroneutral Na\(^+\) absorption.\(^{42,43}\)

In addition to their direct actions on epithelial cells, bile acids also can regulate colonic fluid transport indirectly through recruitment of cells within the underlying lamina propria. For example, studies in animal models show that luminal bile acids can trigger local neural reflexes that result in activation of secretomotor neurons that synapse directly with the epithelium. Such effector nerves release transmitters, such as acetylcholine, into the neuroepithelial junction to promote Cl\(^-\) secretion and enhance epithelial permeability.\(^{44-46}\) Recruitment of these reflex arcs is initiated by activation of sensory neuroendocrine cells interspersed among the transporting enterocytes. Upon activation by bile acids these cells release 5-hydroxytryptamine to stimulate afferent nerves.\(^{47}\) Bile acid activation of intrinsic neural pathways also contributes to the onset of diarrhea by enhancing colonic motility, thereby increasing the speed at which its contents are expelled from the body.\(^{46-50}\) Recent studies have shown that the G-protein–coupled bile acid receptor TGR5 is expressed on enteroendocrine cells and the ganglia of the myenteric and submucosal plexuses where it appears to mediate bile acid–induced peristalsis in the colon.\(^{47}\)

Bile acids also have been shown to regulate epithelial transport function indirectly through recruitment of mucosal immune cells. In particular, mast cells degranulate in response to increased levels of bile acids, resulting in the release of histamine, which then acts directly at epithelial H\(_{1}\) receptors to promote secretion.\(^{51}\) Other mast cell mediators with well-established actions on epithelial transport, such as prostaglandins, leukotrienes, and adenosine, also are likely to be involved, although this has yet to be shown directly. It also should be noted that enteric nerves and mucosal mast cells are located in close proximity to each other within the mucosa, enabling bidirectional communication to occur between the 2 cell types in the regulation of epithelial function.\(^{52}\) This capacity for high concentrations of luminal bile acids to recruit neuroimmune pathways in the colonic mucosa serves to amplify their direct actions on epithelial cells, rapidly leading to induction of diarrhea.

**Antidiarrheal Effects of Bile Acids**

Although high levels of colonic bile acids have long been associated with induction of diarrhea, only recently have studies from our laboratory begun to address what their role may be in regulating epithelial transport under more normal circumstances. In these studies, we found that, in contrast to their acute prosecretory actions at high concentrations, more physiologically relevant levels of bile acids exert antisercreatory actions on colonic epithelial cells. Thus, exposure of cultured monolayers of colonic epithelia to relatively low concentrations of either DCA or CDCA (50–200 \(\mu\)mol/L) led to a slow-onset (>6 h) decrease in their capacity to subsequently evoke secretory responses.\(^{73}\) We have proposed that such down-regulation of epithelial Cl\(^-\) secretion by low levels of bile acids may serve a physiological role in dampening fluid secretion into the lumen, thereby promoting normal colonic absorptive function.

Subsequent studies have shown that the antisercreatory actions of DCA and CDCA are mimicked by the FXR agonists GW4064 and OCA.\(^{54}\) Thus, activation of the FXR with either of these agonists led to a dose-dependent inhibition of subsequent Ca\(^{2+}\) and adenosine 3′,5′-cyclic monophosphate–dependent Cl\(^-\) secretory responses, both in cell culture and in ex vivo colonic tissues from mice. Further studies have shown that the antisercreatory actions of FXR activation are mediated by direct inhibition of key components of the Cl\(^-\) secretory pathway. In particular, FXR activation inhibits the activity of the cystic fibrosis transmembrane conductance regulator Cl\(^-\) channels, which are the primary exit pathway for Cl\(^-\) across the apical membrane of the colonic epithelium. FXR activation also inhibits the activity of basolateral Na\(^+\)/K\(^+\)–adenosine triphosphatase pumps, but in this case reduced expression of either the catalytic \(\alpha\) or regulatory \(\beta\) subunits of the pump does not appear to be involved. Such effects of FXR agonists on the activity and expression of the transport proteins that comprise the epithelial secretory pathway suggest that these agonists have the potential for development into a new class of directly acting drugs to treat secretory diarrhea. This idea is supported by our findings that administration of GW4064 was effective in preventing luminal fluid accumulation and severity of diarrhea in 2 different models of secretory diarrhea in mice.\(^{54}\) A schematic representation summarizing how increased colonic delivery of bile acids causes BAD and how this can be prevented by FXR agonists is shown in Figure 2.

**FXR Agonists in the Treatment of BAD**

As described earlier, the pathogenic basis of BAD has been assumed to result from a dysregulated EHC, with increased delivery of bile acids to the colon resulting from malabsorption in the ileum.\(^{4}\) This does appear to be the predominant defect occurring in Crohn’s disease or after ileal resection. However, excess bile acid synthesis, resulting from impaired feedback inhibition by FGF19, is an additional pathway that also may be involved in the diarrhea associated with Crohn’s disease,\(^{55}\) and which is likely the predominant pathophysiology underlying primary BAD.\(^{46}\) Increased colonic delivery of bile acids, leading to increased fluid secretion into the lumen, is considered to be a protective mechanism by which toxic secondary bile acids, such as DCA or LCA, are flushed from the colon before they can cause damage to the mucosa.

This hypothesis is supported by the observation that, despite the severe toxicity of bile acids, primary BAD is not associated with dramatic changes in mucosal histology.\(^{56,57}\) However, as discussed earlier, in patients with an underlying pathology that causes prolonged increases in colonic bile acids, the associated symptoms of chronic diarrhea, urgency, and incontinence can impact quality of life severely.\(^{7}\) Given that currently available therapies only address symptoms and often are tolerated poorly, new approaches to the treatment of BAD are required urgently. Based on their ability...
Figure 2. The FXR in regulation of bile acid (BA)-induced colonic fluid secretion. Increased colonic delivery of dihydroxy BAs, such as DCA and CDCA, increases epithelial levels of the intracellular second messengers, Ca\(^{2+}\) and adenosine 3',5'-cyclic monophosphate (cAMP), either by direct (solid black lines) or indirect actions, involving the enteric nervous system (ENS) and the mucosal immune system (MIS) (dashed black lines). These second messengers interact with specific transport proteins to promote transepithelial Cl\(^-\) secretion, thereby creating an osmotic driving force for fluid secretion, ultimately leading to the onset of diarrhea. Treatment with FXR agonists (blue lines) dampens epithelial fluid secretion by down-regulating the activity and expression of basolateral Na\(^+\)/K\(^+\) adenosine triphosphatase (ATPase) pumps and apical cystic fibrosis transmembrane conductance regulator (CFTR) channels, key transport proteins of the Cl\(^-\) secretory pathway.

Table 1. Natural and Synthetic FXR Agonists

| FXR agonists                      | Source                                      | References |
|-----------------------------------|---------------------------------------------|------------|
| Natural FXR agonists              |                                             |            |
| Bile acids (CDCA > CA > DCA >> LCA) | Hepatic synthesis                          | 13-15,26   |
| Farnesol                          | Metabolic compounds                        | 62         |
| Cafestol                          | Food component                             | 63,64      |
| Semisynthetic bile acids          |                                             |            |
| Obeticholic acid, Ocaliva\(^{a,b,c}\) (6a-ethyl-CDCA, INT-747) | Intercept Pharmaceuticals, Inc/Sumitomo Danippon Pharma | 26,65      |
| INT-767                           | Intercept Pharmaceuticals                   | 66         |
| Synthetic molecules               |                                             |            |
| GW4064                            | GlaxoSmithKline                             | 67         |
| Fexaramine                        | Metacrine                                   | 61         |
| LJN452\(^{a,b,c}\)                | Novartis AG                                 | 68         |
| PX20606, GS-9674\(^{b}\)          | Gilead Sciences                             | 69         |
| Px-102                            | Phenex Pharmaceuticals                       | 70         |

\(^{a}\)Licensed by the Food and Drug Administration for use in primary biliary cirrhosis.

\(^{b}\)Undergoing clinical trials for liver diseases including primary biliary cirrhosis and nonalcoholic fatty liver disease.

\(^{c}\)Undergoing clinical trials for BAD.
to prevent hepatic overproduction of bile acids, together with their antisecretory actions in the colon, FXR agonists have excellent therapeutic potential in this regard.

Currently, there are several FXR agonists at differing stages of therapeutic development for various hepatic, intestinal, and metabolic disorders (Table 1). In 2016, OCA became the first member of this class of drugs to receive Food and Drug Administration approval for clinical use in the treatment of primary biliary cholangitis. However, results from a recent phase II clinical trial of OCA in patients with BAD support the idea that FXR agonists also have potential for the treatment of this disease. In a population of patients with primary BAD, daily oral administration of OCA (25 mg/kg) significantly increased serum levels of FGF19, decreased bile acid synthesis (as measured by serum C4 levels), and improved stool form and symptoms of diarrhea. OCA also was effective in some patients with secondary bile acid diarrhea caused by Crohn’s disease, but only in patients with relatively short ileal resections (<45 cm). Importantly, symptomatic improvement was seen in 1 week and OCA was well tolerated, with only minor adverse effects including a predicted change in lipids, mild headache in 11% of patients, and no reports of pruritus.

These proof-of-concept studies support a future role for FXR agonists in the treatment of BAD. Further trials using a double-blind, placebo-controlled design with larger numbers of patients clearly are required for a more definitive assessment of long-term efficacy, both in patients with primary and secondary BAD. Further studies into the molecular mechanisms underlying the effects of FXR agonists also are required in both clinical and preclinical models. For example, studies of the expression and activity of epithelial transporters in patients treated with FXR agonists are necessary to define the contribution of alterations in fluid and electrolyte transport to their therapeutic actions, while at the molecular level, the mechanisms by which FXR agonists regulate transport protein function remain to be defined. Also critical to our understanding of BAD pathogenesis and how we can better diagnose and treat the disease is developing our understanding of changes that occur in the colonic microbiota and how these affect the make-up of the colonic bile acid pool. For example, changes in microbial populations that alter the expression of bile salt hydrolases will influence the hydrophobicity of luminal bile acids, in turn, altering their capacity to permeate the epithelium and activate FXR. Conversely, activation of FXR can influence antibacterial defense mechanisms in the small intestine and colon, and drugs that act in this way would be expected to alter the make-up of microbiota significantly. Although many of these complex studies can be addressed using animal models, it is important to bear in mind that significant interspecies differences exist, not only in how bile acids are synthesized and metabolized, but also in how they evoke intestinal responses. As research moves forward into the era of the microbiome and metabolome, there is a need for new models that will facilitate rapid translation of findings from in vitro and preclinical models into human beings.

It also is likely that differences will be apparent in how patients with BAD respond to different FXR agonists. It is possible that some of these drugs also may have effects at the cell surface bile acid receptor, TGR5, and bioavailability will affect their tissue distribution and site of action. For example, similar to the naturally occurring bile acids, OCA undergoes enterohepatic circulation, and therefore primarily will act in the ileum and liver, with unabsorbed drug potentially having effects in the colon. Non–bile acid agonists would not be accumulated into the EHC and therefore may have greater effects on the colon. For example, fexaramine, an FXR agonist that has not yet been studied in human beings, appears to have only intestinal actions and does not act systemically.

In conclusion, FXR agonists are an exciting new class of drug that, in addition to the several therapeutic benefits already identified in liver diseases, have significant potential for development in treating patients with diarrheal diseases. Further studies, particularly clinical trials in patients diagnosed with BAD, are likely to help understand the pathogenesis of this disorder and how to better treat it, thereby benefitting a large neglected patient population.

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