Event simulation based on three-fluid hydrodynamics for collisions at energies available at the Dubna Nuclotron-based Ion Collider Facility and at the Facility for Antiproton and Ion Research in Darmstadt

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We present a new event generator based on the three-fluid hydrodynamics approach for the early stage of the collision, followed by a particlization at the hydrodynamic decoupling surface to join to a microscopic transport model, UrQMD, to account for hadronic final state interactions. We present first results for nuclear collisions of the FAIR/NICA energy scan program (Au+Au collisions, \( \sqrt{s_{NN}} = 4 – 11 \text{ GeV} \)). We address the directed flow of protons and pions as well as the proton rapidity distribution for two model EoS, one with a first order phase transition the other with a crossover type softening at high densities. The new simulation program has the unique feature that it can describe a hadron-to-quark matter transition which proceeds in the baryon stopping regime that is not accessible to previous simulation programs designed for higher energies.

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I. INTRODUCTION

The onset of deconfinement in relativistic heavy-ion collisions and the search for a critical endpoint is now in the focus of theoretical and experimental studies of the equation of state (EoS) and the phase diagram of strongly interacting matter. This challenge is one of the main motivations for the currently running beam-energy scan at the Relativistic Heavy-Ion Collider (RHIC) at Brookhaven National Laboratory (BNL) and at the CERN Super-Proton-Synchrotron (SPS) as well as for constructing the Facility for Antiproton and Ion Research (FAIR) in Darmstadt and the Nuclotron-based Ion Collider Facility (NICA) in Dubna.

Three-fluid hydrodynamics (3FH) was derived to simulate heavy-ion collisions at moderately relativistic energies, i.e. precisely in the energy range of the expected onset of deconfinement. In recent years applications of 3FH demonstrated a strong preference of deconfinement scenarios for the explanation of available experimental data. However, up to now 3FH has been facing certain problems. From the theoretical side, the concrete models lacked an afterburner stage that can play an important role for some observables. From the practical point of view, the models were not well suited for data simulations in terms of experimental events, because the model output consisted of fluid characteristics rather than of a set of observable particles.

In this paper, we present first results obtained with the new Three-fluid Hydrodynamics-based Event Simulator Extended by UrQMD final State interactions (THESEUS) and apply it to the description of heavy-ion collisions in the NICA/FAIR energy range. This simulator provides a solution to both the above-mentioned problems. It presents the 3FH output in terms of a set of observed particles and the afterburner can be run starting from this output by means of the UrQMD model.

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Thus THESEUS as a new tool allows to discuss the multifaceted physics challenges at FAIR and NICA energies. The new simulation program has the unique feature that it can describe a hadron-to-quark matter transition of first order which proceeds in the baryon stopping regime that is not accessible to previous simulation programs designed for higher energies, like QGSM or PHSD. Besides this, with THESEUS one can address practical questions like the influence of hadronic final state interactions and of the detector acceptance, which are necessary to understand better such that the focus can lie on important physics questions. These deal with a potential discovery and investigation of the first-order phase transition line, where during a heavy-ion collision the EoS reaches its softest point \[15\]. It remains an open question how this characteristic feature of the EoS manifests itself in observables such as flow, proton rapidity distributions and femtoscopic radii. Particular emphasis is on the robustness of the "wiggle" \[16\] in the energy scan of the midrapidity curve of the proton rapidity distribution that has been suggested as a possible signal for a first order phase transition, expected just in the range of energies at NICA and FAIR experiments.

At present THESEUS is not an integrated approach. The simulation proceeds in two steps: first, a numerical solution of the 3-fluid hydrodynamics is computed with the corresponding code. Based on the output of the hydrodynamic part, a Monte Carlo procedure is used to sample the ensemble of hadron distributions and the UrQMD code is engaged to calculate final state hadronic rescatterings, as will be explained below. Another present limitation which we leave for future work is the absence of event-by-event hydrodynamic evolution. Therefore later by an event we mean a Monte Carlo sampled set of final hadrons, which correspond to the same (average) hydrodynamic evolution.

Beyond the scope of this paper but of interest for future research are nonequilibrium effects at the first-order phase transition due to nucleation and spinodal decomposition \[17\]–\[20\]. It is expected to observe large density inhomogeneities, droplet formation and an amplification of low-momentum modes \[21\]–\[29\] as a consequence. In order to be able to deal with these higher-order effects it is of greatest importance to correctly treat effects on the level of the EoS and sources of fluctuations like the initial stage of the collision. Within the 3-fluid approximation a generally nonequilibrium distribution of baryon-rich matter is simulated by counter-streaming baryon-rich fluids initially associated with constituent nucleons of the projectile (p) and target (t) nuclei. Therefore, the initial conditions for the fluid evolution are two Lorentz contracted spheres with radii of corresponding density and zero diffuseness, baryon density \(n_B = 0.15\) fm\(^{-3}\) and energy density \(m_N n_B \approx 0.14\) GeV/fm\(^3\). In addition, newly produced particles, populating the midrapidity region, are associated with a fireball (f) fluid. Each of these fluids is governed by conventional hydrodynamic equations. The continuity equations for the baryon charge read

\[
\partial_\mu J_\mu^n(x) = 0, \quad (1)
\]

for \(\alpha = p, t\), where \(J_\mu^n = n_\alpha u_\alpha^\mu\) is the baryon current defined in terms of proper (i.e. in the local rest frame) net-baryon density \(n_\alpha\) and 4-velocity \(u_\alpha^\mu\) normalized as \(u_\alpha^\mu u_\alpha^\mu = 1\). Eq. (1) implies that there is no baryon-charge exchange between p-, t- and f-fluids, as well as that the baryon current of the fireball fluid is identically zero, \(J_\mu^f = 0\), by construction. Equations of the energy–momentum exchange between fluids are formulated in terms of energy–momentum tensors \(T_\mu^\nu\) of the fluids

\[
\partial_\mu T_\mu^\nu(x) = -F_\mu^\nu(x) + F_\mu^\nu(x), \quad (2)
\]

\[
\partial_\mu T_\mu^\nu(x) = -F_\mu^\nu(x) + F_\mu^\nu(x), \quad (3)
\]

\[
\partial_\mu T_\mu^\nu(x) = -F_\mu^\nu(x) - F_\mu^\nu(x)
\]

\[
+ \int d^4x' \delta^4(x - x') U_F(x') \tau_F
\]

\[
\times \left[ F_p(x') + F_t(x') \right], \quad (4)
\]

where the \(F_\mu^\nu\) are friction forces originating from inter-fluid interactions. \(F_p^\nu\) and \(F_t^\nu\) in Eqs. (2)–(3) describe energy–momentum loss of the baryon-rich fluids due to their mutual friction. A part of this loss \(|F_p^\nu - F_t^\nu|\) is transformed into thermal excitation of these fluids, while another part \((F_t^\nu + F_p^\nu)\) gives rise to particle production into the fireball fluid (see Eq. (1)). \(F_p^\nu\) and \(F_t^\nu\) are associated with friction of the fireball fluid with the p- and

**II. DESCRIPTION OF THE EVENT GENERATOR THESEUS**

**A. The 3FH model**

The 3FH model treats the collision process from the very beginning, i.e. from the stage of cold nuclei, up to the particlization from the fluid dynamics. This model is a straightforward extension of the two-fluid model with radiation of direct pions \[30\]–\[31\] and of the \((2 + 1)\)-fluid model of Refs. \[32\]–\[33\]. The 3-fluid approximation is a minimal way to simulate the finite stopping power at the initial stage of the collision. Within the 3-fluid approximation a generally nonequilibrium distribution of baryon-rich matter is simulated by counter-streaming baryon-rich fluids initially associated with constituent nucleons of the projectile (p) and target (t) nuclei. Therefore, the initial conditions for the fluid evolution are two Lorentz contracted spheres with radii of corresponding density and zero diffuseness, baryon density \(n_B = 0.15\) fm\(^{-3}\) and energy density \(m_N n_B \approx 0.14\) GeV/fm\(^3\). In addition, newly produced particles, populating the midrapidity region, are associated with a fireball (f) fluid. Each of these fluids is governed by conventional hydrodynamic equations. The continuity equations for the baryon charge read

\[
\partial_\mu J_\mu^n(x) = 0, \quad (1)
\]
t-fluids, respectively. Here $\tau_f$ is the formation time, and

$$U^\tau_{F}(x') = \frac{u^\nu_{F}(x') + u^\tau_{F}(x')}{|u^\nu_{F}(x') + u^\tau_{F}(x')|}$$

(5)

is the 4-velocity of the free propagation of the produced fireball matter. In fact, this is the velocity of the fireball matter at the moment of its production. According to Eq. (4), this matter gets formed only after the time span $U^\tau_{F}(x') \tau_f$, upon the production, and in a different space point $x' - U^\tau_{F}(x') \tau_f$, as compared to the production point $x'$. The friction between fluids was fitted to reproduce the stopping power observed in proton rapidity distributions for each EoS, as it is described in Refs. [5, 6] in detail.

Different equations of state (EoS) can be applied within the 3FH model. The recent series of simulations [6–13] was performed employing three different types of EoS: a purely hadronic EoS [34] (hadr. EoS) and two versions of the EoS involving deconfinement [35]. The latter two versions are an EoS with a first-order phase transition (2-phase EoS) and one with a smooth crossover transition (crossover EoS). The hadronic EoS is well in agreement with knowns constraints [36], in particular the flow constraint by Danielewicz et al. [37], see [38] for an explicit comparison. Figure 1 illustrates the differences between the three considered EoS.

![FIG. 1: Pressure scaled by the product of normal nuclear density ($n_0 = 0.15 \text{ fm}^{-3}$) and nucleon mass ($m_N$) versus baryon density scaled by the normal nuclear density for three different temperatures $T = 10, 100$ and 200 MeV (from bottom upwards for corresponding curves).](image)

The numerical scheme of the code is based on the modified particle-in-cell method [39] which is an extension of the scheme first applied in Los Alamos [40]. In the particle-in-cell method the matter is represented by an ensemble of Lagrangian test particles. They are used for calculation of the drift transfer of the baryonic charge, energy, and momentum. In the present scheme the test particle has the size of the cell. Therefore, when a single test particle is moved on the grid, it changes quantities in eight cells, with which it overlaps. These spatially extended particles make the scheme smoother and hence more stable. The transfer because of pressure gradients, friction between fluids and production of the fireball fluid, is computed on the fixed grid (so called Euler step of the scheme). Simulations are performed in the frame of equal velocities of colliding nuclei. The numerical-scheme input of the present 3FH calculations is described in detail in Ref. [5].

An application of the 3FH model is illustrated in Fig. 2 where the evolution of the proper (i.e. in the local rest frame obtained by diagonalization of the energy-momentum tensor, see the next subsection) baryon density in the reaction plane is presented for a semi-central (impact parameter $b = 6 \text{ fm}$) Au+Au collision at $\sqrt{s_{NN}} = 6.4 \text{ GeV}$ ($E_{lab} = 20 \text{ A GeV}$). The simulation was performed with the crossover EoS without freeze-out. As can be seen from that figure, very high baryon densities are reached in the central region of the colliding system.

The freeze-out criterion used in the 3FH model is $\varepsilon < \varepsilon_{\text{frz}}$, where $\varepsilon$ is the total energy density of all three fluids in their common rest frame. More details can be found in Refs. [41, 42]. The freeze-out energy density $\varepsilon_{\text{frz}} = 0.4 \text{ GeV/fm}^3$ was chosen mostly on the condition of the best reproduction of secondary particle yields for all considered scenarios, see [5]. An important feature of the 3FH freeze-out is an antibubble prescription, preventing the formation of bubbles of frozen-out matter inside the dense matter while it is still hydrodynamically evolving. The matter is allowed to be frozen out only if it is located near the border with the vacuum (this piece of matter gets locally frozen out). The thermodynamic quantities of the frozen-out matter are recalculated from the in-matter EoS, with which the hydrodynamic calculation runs, to the hadronic gas EoS$^1$. This is done because a part of the energy is still accumulated in collective mean fields at the freeze-out instant. This mean-field energy needs to be released before entering the hadronic cascade in order to facilitate energy conservation.

The output of the model is recorded in terms of Lagrangian test particles (i.e. fluid droplets) for each fluid $\alpha$ (i.e. $p$, $t$ or $f$). Each particle contains information on space-time coordinates ($t, x$) of the frozen-out matter, proper volume of the test particle of the $\alpha$ fluid ($V^pr$),

$^1$ In this gas EoS 48 different hadronic species are taken into account. Each hadronic species includes all the relevant isospin states; e.g., the nucleon species includes protons and neutrons.
hydrodynamic velocity ($u^\mu_\alpha$) in the frame of computa-
tion, temperature ($T_\alpha$), baryonic ($\mu_{B\alpha}$) and strange ($\mu_{S\alpha}$) chemical potentials.

B. Particlization

In the multi-fluid approach one simulates the heavy ion collision from its very first moment using fluid dy-
namics. However, once the system becomes too dilute, the fluid approximation loses its applicability and indi-
vidual particles are the relevant degrees of freedom. The
process of changing from a fluid to a particle descrip-
tion is called "particlization" [43]. Since we supplement
the 3FH with a hadronic cascade, the particlization is
not freeze-out anymore. By definition there are only res-
sonance decays after freeze-out, whereas in the present
generator final state hadronic rescattering processes are
simulated as well using the UrQMD code.

The particlization criterion is chosen to be the same as
freeze-out criterion in [5], e.g.,

$$\varepsilon_{\text{tot}} < \varepsilon_{\text{frz}},$$

where $\varepsilon_{\text{tot}}$ is defined as:

$$\varepsilon_{\text{tot}} = T^{*00} + T^{*00} + T^{*00}$$

and the asterisk denotes a reference frame where the non-
diagonal components of the total energy momentum ten-
sor are zero. This choice allows to study the influence of
hadronic rescatterings to the observables by comparing
them with the ones calculated in previous 3-fluid hydro-
dynamic models.

For the details of fluid to particle conversion the reader
is referred to [5], whereas here we repeat the details im-
portant for the construction of the Monte Carlo sampling
procedure. Both the baryon-rich projectile and target
fluids as well as the fireball fluid are being frozen out in
small portions, therefore the output of the particlization
procedure is a set of droplets (or surface elements). Each
droplet is characterized by its proper volume $V^{pr}$, tem-
perature $T$, baryon, $\mu_B$, strange chemical potentials $\mu_S$, and the collective flow velocity $u^\mu$.

The thermodynamic parameters of the droplets cor-
respond to a free hadron resonance gas. Therefore, we
proceed with sampling the hadrons according to their
phase space distributions (see Eq. (33) in [5]), which are
expressed in the rest frame of the fluid element (FRF) as

$$p^{*0} d^3 N_i = \sum_\alpha g_i V^{pr}_{\alpha} (2\pi)^3 \exp \left[ \frac{(p^{*0} - \mu_{\alpha i})}{T_\alpha} \right] \pm 1$$

where the asterisk denotes momentum in the fluid rest
frame, where $u^\mu_\alpha = (1, 0, 0, 0)$, $\mu_{\alpha i} = B_i \cdot \mu_{B\alpha} + S_i \cdot \mu_{S\alpha}$ is the chemical potential of hadron $i$ with baryon number $B_i$, strangeness $S_i$, degeneracy factor $g_i$, and the $\alpha$ summation runs over droplets from all (p, t and f) fluids.

The use of temperature and chemical potentials implies
a grand canonical ensemble for each surface element. The
sampling is therefore organized as a loop over all droplets,
every iteration of which consists of the following steps
[44, 45]

- average multiplicities of all hadron species are cal-
  culated according to

$$\Delta N_{i,\alpha} = V^{pr}_{\alpha} n_{i,\text{th}}(T, \mu_i),$$

together with their sum $\Delta N_{\text{tot,}\alpha} = \sum_i \Delta N_{i,\alpha}$;

- total (integer) number of hadrons from each surface
element is sampled according to Poisson distribu-
tion with mean $\Delta N_{\text{tot,}\alpha}$.

If the number is greater than zero, sort of hadron is
randomly chosen based on probabilities $\Delta N_{i,\alpha} / \Delta N_{\text{tot,}\alpha}$;

- hadron’s momentum in FRF $p^*$ is sampled accord-
ing to $\theta$, which is isotropic in momentum space;

- momentum vector is Lorentz boosted to the global
frame of the collision.
FIG. 3: (Color online) Transverse momentum spectrum for pions (a) and kaons (b) for central Au+Au collisions (b = 2 fm) at \(E_{\text{lab}} = 30\) A GeV for the 2-phase EoS. Comparison between results from the 3FH model (black solid lines) and THESEUS without UrQMD (red dashed lines) show excellent agreement. Comparing these results with the full THESEUS result (green dashed line) shows that the UrQMD hadronic rescattering leads to a slight steepening of the pion \(p_T\) spectrum.

FIG. 4: (Color online) Rapidity distribution for pions (a) and kaons (b) for central Au+Au collisions (b = 2 fm) at \(E_{\text{lab}} = 30\) A GeV for the 2-phase EoS. Comparison between results from the 3FH model (black solid lines) and THESEUS without UrQMD (red dashed lines) show excellent agreement. Comparing these results with the full THESEUS result (green dashed line) shows that the UrQMD hadronic rescattering smears out the double-peak structure in the kaon rapidity spectrum.

In the present version of the generator, also from the arguments of consistency with preceding hydrodynamic evolution, we do not apply any corrections over the grand canonical procedure to account for effects of charge or energy conservation. Therefore, particle multiplicities fluctuate from event to event according to the composition of grand canonical ensembles given by the individual droplets.

C. UrQMD simulation of final state interactions

The Ultra-relativistic Quantum Molecular Dynamics (UrQMD) approach [14] treats hadrons and resonances up to a mass of \(\sim 2.2\) GeV. All binary interactions are treated via the excitation and decay of resonances or string excitation and decay and elastic scatterings. It is crucial for a state-of-the-art event generator to treat the interactions during the late non-equilibrium hadronic stage of heavy ion reactions properly. At RHIC and LHC notable differences in the proton yields have been observed and the identified particle spectra and flow observables show an effect of the hadronic rescattering (for a review of hybrid approaches see [46]). At lower beam energies as they are investigated in this work, the hadronic stage of the reaction is of utmost importance. In [47] it has been shown, that the excitation function of elliptic and triangular flow can only be understood within a combined hydrodynamics+transport approach. UrQMD constitutes an effective solution of the relativistic Boltzmann equation and therefore provides access to the full phase-space distribution of all individual particles at all times. In this work the effect of hadronic rescattering in the final state on the identified particle spectra and the rapidity dependent directed flow is demonstrated in detail.
III. RESULTS

In this section we present a selection of first results from THESEUS for the energy scan ($\sqrt{s_{NN}} = 4 - 11$ GeV) planned at the NICA-HPD collider experiment, which has overlap with the energy range that will become accessible in the fixed target experiments at FAIR-CBM, see table I.

| $\sqrt{s_{NN}}$ [GeV] | 4.3  | 4.7  | 5.6  | 6.4  | 7.7  | 9.2  | 11.6 |
|------------------------|------|------|------|------|------|------|------|
| $E_{lab}$ [A GeV]      | 8    | 10   | 15   | 20   | 30   | 43   | 70   |

TABLE I: Center of mass energies $\sqrt{s_{NN}}$ for the NICA-HPD energy scan with Au+Au collisions (upper row) and their equivalent fixed target energies in the laboratory system (lower row).

A. Tests of the particlization routine: Spectra of pions, kaons and protons

We start by showing the transverse momentum distributions of pions ($(\pi^+ + \pi^0 + \pi^-)/3$) and kaons ($(K^+ + K^0)/2$) in Fig. 3. They are calculated from a sample of 30000 events generated according to the Monte Carlo procedure described above, and are compared in the plot to 3FH and THESEUS w/o UrQMD, where “3FH” corresponds to the model in which particle spectra are obtained from direct integration of Eq. (6), and ”THESEUS w/o UrQMD” means that particles are obtained from Monte-Carlo sampling of Eq. (6). The 3FH evolution simulates Au+Au collisions at $E_{lab} = 30$ A GeV with the two-phase EoS. We observe excellent agreement up to $p_T = 2.2$ GeV, which is limited by the generated event statistics. In Fig. 4 we show the rapidity distributions for the same setup. The rapidity distributions reveal a small difference in kaon yields, and an even smaller one for pions, which is attributed to differences in the large mass sector of the resonance tables and branching ratios. Nevertheless, the shapes of rapidity distributions agree beautifully. In Figs. 3 and 4 we show also the effect of the UrQMD hadronic final state interactions which are included in THESEUS. They lead to a slight steepening of the $p_T$ spectrum for pions and to a reduction of the double-peak structure in the kaon rapidity spectrum.

B. Directed flow of protons and pions

Next we test whether more subtle features of particle distributions are preserved by the particlization procedure and how they are affected by the hadronic cascade.

First we calculate the directed flow coefficient $v_1$ for pions and protons as a function of rapidity using the reaction plane method

$$v_1(y) = \langle \cos(\phi - \Psi_{RP}) \rangle = \left\langle \frac{p_x}{\sqrt{p_x^2 + p_y^2}} \right\rangle,$$

where $\Psi_{RP} = 0$ in the model, since the impact parameter is always directed along x-axis. Although the generator makes it possible to apply different methods of flow analysis over generated events, we use the reaction plane method in order to perform a one-to-one comparison between results from THESEUS with and without UrQMD and the corresponding ones from the basic 3FH model.

The rapidity dependent directed flow $v_1(y)$ for protons and pions for different collision energies, impact parameters and EoS can be found in Appendix A while the net proton rapidity distributions for different collision energies and EoS are given in Appendix B. In Figs. 5 and 6 we present the distributions in a condensed form using the slope of the directed flow at midrapidity $dv_1/dy$ calculated in the interval $|y| < 0.5$ around midrapidity. Dashed lines show the results from THESEUS without hadronic cascade, where we quantitatively reproduce the results from basic 3FH model, including the dip in the $dv_1/dy$ of (net-)protons in semi-central events for the EoS with a first-order phase transition denoted as "2-phase EoS". We would like to note that a similar flow pattern appears also for the light nuclear clusters such as deuterons.

Turning the UrQMD hadronic cascade on for the final state (dotted lines in Figs. 5 and 6) we observe that the cascade has only a small effect on the excitation function of the proton $dv_1/dy$.

However, for the pions the hadronic cascade changes flow to antiflow at low energies. This behaviour can be understood as follows. If there is only hydrodynamics, the pions are emitted along the fluid flow, while when there is rescattering they are blocked by the baryonic matter in the projectile and target region, therefore the anti-flow appears. This was first demonstrated in Ref. [2]. This effect of the pion shadowing is more spectacular in Fig. 10 where the directed flow of protons and pions at $E_{lab} = 8$ A GeV is presented. As seen, the proton $v_1$ is practically insensitive to the UrQMD afterburner, while the pion $v_1$ is strongly affected by this afterburner. The afterburner even changes the pion $v_1$ flow to an antiflow. The effect of the pion shadowing becomes weaker with the collision energy rise, as it is seen from Fig. 12 because the midrapidity region becomes less baryon abundant. Though, at larger collision energies and peripheral rapidities, this shadowing is still noticeable. As can be seen from Fig. 3 the most dramatic effect of the UrQMD hadronic rescattering is the prediction that pion antiflow persists for energies below the present limit of the STAR beam energy scan data at $\sqrt{s_{NN}} = 7.7$ GeV, for both EoS cases: first-order and crossover phase transition.
C. Baryon stopping signal for a first-order phase transition

In Fig. 7 we show the reduced curvature of the net proton rapidity distribution (see App. B for the simulation of the energy scan of the net proton rapidity distribution itself) \( C_y = y_{cm}^2 (d^3N_{\text{net, p}}/dy^3)/(dN_{\text{net, p}}/dy) \), where \( y_{cm} \) is the rapidity of the center of mass of the colliding system in the frame of the target \cite{16, 56, 57}. Because of a narrower collision energy range chosen here, we observe only the peak-dip part of the so-called “peak-dip-peak-dip” structure reported in \cite{16, 56, 57}. The reduced curvature is calculated by fitting the rapidity distribution with a 2nd order polynomial of the form \( P_2(y) = ay^2 + by + c \) for which then \( C_y = y_{\text{beam}}^2 a/c \) results.

Contrary to the basic 3FH model which can calculate \( C_y \) with any given precision, in the Monte Carlo proce-
The dependence of $\Delta C_y$ on the number of events is shown in Fig. 8. Given that $C_y$ itself has values no larger than several units in the collision energy range under consideration, one can conclude that a reliable determination of $C_y$ requires not less than $10^4$ events for central and semi-central collisions and $10^5$ events for peripheral collisions. Larger required statistics for peripheral events is a consequence of the lower average event multiplicity.

The robustness of the baryon stopping signal for a first-order phase transition against experimental cuts in the $p_T$ acceptance has been discussed in [16]. In App. C we provide results for these cuts with and without the UrQMD hadronic cascade, for three centralities. We demonstrate that the baryon stopping signal is robust against hadronic rescattering.

D. The “horn” effect?

In Fig. 9 we show the $K^+/\pi^+$ ratio. The comparison between basic 3FH calculations (black solid lines) and THESEUS with UrQMD switched off shows satisfactory correspondence. Some differences between the curves especially at lower energies can be traced back to smaller differences in kaon and pion yields we observed in Fig. 4. However, we find that turning the hadronic cascade on does not influence the kaon to pion ratio. As the 3FH model itself, also THESEUS in its present version is not yet capable of describing the “horn” effect discovered in the NA49 data for the $K^+/\pi^+$ ratio. It is interesting to note that aspects of the “horn” effect could be attributed to the hydrodynamical stage and the core-corona separation within the UrQMD hybrid model [53]. Most recently, as further aspects of the “horn” effect the chiral symmetry restoration [54] and an anomalous $K^+$ bound
FIG. 9: (Color online) Energy scan for the particle ratio $K^+/\pi^+$ in the NICA energy range for central Au+Au collisions (impact parameter $b = 2$ fm) with (blue lines) and without (red lines) the UrQMD hadronic rescattering. The calculation with a first order phase transition in the EoS (a) is compared to that with the crossover EoS (b). For comparison we show the results without particlization and UrQMD rescattering and experimental data, taken from Fig. 11 of Ref. [8]. Data from AGS experiments are shown by filled squares, data from NA49 by filled triangles.

IV. CONCLUSIONS

We have assembled the new event generator THESEUS that is based on a three-fluid hydrodynamics description of the early and dense stage of the collision, followed by a particlization as input to the UrQMD “afterburner” accounting for hadronic final state interactions.

We presented first results from THESEUS for the FAIR/NICA energy scan addressing the directed flow of protons and pions as well as the proton rapidity distribution for two model EoS, one with a first order phase transition the other with a crossover type softening at high densities. The new simulation program has the unique feature that it can describe a hadron-to-quark matter transition which proceeds in the baryon stopping regime that is not accessible to previous simulation programs that are designed for higher energies.

We have found that the hadronic cascade which is switched on after the particlization has little effect on the proton flow observables. In particular, the hadronic final state interactions preserve the characteristic non-monotonic behavior of the rapidity slope of directed flow of protons and the characteristic collision energy dependence of the wiggle in the curvature of the rapidity distribution of net protons. However, for pions in non-central collisions at lower energies the hadronic cascade leads to a qualitative change of the emission pattern (from flow to antiflow). The present analysis in the improved 3FH model THESEUS has demonstrated that the predicted baryon stopping signal for a first-order phase transition in heavy-ion collisions at NICA/FAIR energies is a robust feature.

The next steps planned in the development of THESEUS include the task to make it an integrated approach and to explore possible mechanisms that could explain the observed ”horn” effect for the $K^+/\pi^+$ ratio. Another very interesting direction concerns the production and flow of light nuclear clusters for which first results look very promising [51].

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Appendix A: Directed flow

In this Appendix we show the results of THESEUS with and without UrQMD afterburner for the directed flow $v_1$ of protons and pions at $E_{\text{lab}} = 8$ A GeV (Fig. 10), 10 and 15 A GeV (Fig. 11), 20 and 30 A GeV (Fig. 12), as well as 43 and 70 A GeV (Fig. 13), comparing the case of the 2-phase EoS (first order phase transition, upper panels) with that of the crossover EoS (lower panels) at central (left panels), semicentral (middle panels) and peripheral (right panels) Au+Au collisions.

These figures show the influence of hadronic final state interactions on the patterns of directed flow of protons and pions in the broad rapidity range $-1.5 < y < 1.5$ and how it evolves from low energies in Fig. 10 to high energies in Fig. 13. At $E_{\text{lab}} = 8$ A GeV in Fig. 10 we observe that hadronic rescattering causes the transition from flow to antiflow for pions due to the shadowing by a dense baryonic medium. The flow of protons is not affected by the hadronic rescattering, which remains so for all energies. The shadowing effect on the pion directed flow becomes less important at higher energies. At and above 30 A GeV hadronic rescattering has no effect on the directed flow of pions in the central rapidity region.

There is hardly any difference to be noticed in the pion directed flow patterns between the case of a 2-phase EoS and a crossover EoS.

The energy dependence of the slope of the proton directed flow exhibits a change of sign in the central rapidity region for semicentral collisions which is most pronounced at 20 - 30 A GeV for the 2-phase EoS. Since this pattern is absent for the crossover EoS it may be linked to the first order phase transition.

These features are displayed in a more compact manner in Figs. 5 and 6 showing the energy scan of the slope of $v_1$ at midrapidity in semicentral Au+Au collisions for protons and pions, respectively.

Appendix B: Proton rapidity distribution

In this Appendix we display the full proton rapidity distribution for seven energies of the NICA MPD energy scan (see Table 1 in Fig. 14) where for central collisions (left panels) a qualitative difference between the first order transition scenario of the 2-phase EoS (upper row) and the crossover transition scenario (lower row) can be observed. The hadronic final state interactions have only a minor effect on the ”wiggle” in the energy scan of the curvature of the proton rapidity distribution at midrapidity as a signal of the first order phase transition, see also Figs. 15 and 16 of Appendix C. For comparison the patterns at semicentral (middle panels) and peripheral (right panels) Au+Au collisions are also shown.

Appendix C: Influence of detector acceptance on the baryon stopping signal

This Appendix is devoted to the illustration of the robustness of the baryon stopping signal for the first- order phase transition. For this purpose we show the response of the energy scan of the midrapidity curvature of the proton rapidity distribution to cuts in the proton transverse momentum spectrum in Fig. 15 and to changes in centrality of the collision in Fig. 16 for both cases, the 2-phase EoS (upper panels) and the crossover EoS (lower panels). Comparing results of THESEUS (blue short-dashed lines) with those of THESEUS without final state interactions (red long-dashed lines) we confirm that the account for hadronic rescattering with the UrQMD afterburner has a minor, negligible effect which does not at all change the pattern: The 2-phase EoS produces a ”wiggle” structure while the crossover EoS results in a flat energy scan. The comparison with the existing sparse data is so far not conclusive.

From Fig. 15 one learns that a restriction to high-$p_T$ events would reduce the peak-dip difference of the wiggle structure of the 2-phase EoS and make it more similar to the flat pattern of the crossover EoS. The acceptance windows of the NICA MPD experiment [58] (second-to-left column) and of the STAR experiment at RHIC [59] (rightmost column) are suitable to disentangle both cases. In the case of the STAR experiment, the upgrade to lower energies would be required to cover the whole energy range of the wiggle.

From Fig. 16 we learn that triggering on central collisions is beneficial for the search for the wiggle signal of the first order phase transition. In this case the peak-dip structure includes a sign change of the midrapidity curvature of the proton rapidity distribution for the 2-phase EoS! While the wiggle structure remains basically intact also for noncentral collisions, it gets shifted towards positive curvatures only which would make its identification less unambiguous.
FIG. 10: (Color online) Directed flow ($v_1$) of protons (full symbols) and pions (open symbols) for central ($b = 2$ fm), semicentral ($b = 6$ fm) and peripheral ($b = 11$ fm) Au+Au collisions at $E_{\text{lab}} = 8$ A GeV. The upper row (panels (a)-(c)) is for the 2-phase EoS while the lower row (panels (d)-(f)) shows results for the crossover EoS. In each panel we show the direct comparison of THESEUS with (blue symbols) and without (red symbols) UrQMD afterburner. Remarkable is the effect of turning pion flow to antiflow due to hadronic rescattering in the dense baryonic medium.
FIG. 11: (Color online) Same as Fig. [10] for $E_{\text{lab}} = 10 \text{ A GeV}$ (upper two rows) and $E_{\text{lab}} = 15 \text{ A GeV}$ (lower two rows).
2-phase EoS, $b = 2$ fm

2-phase EoS, $b = 6$ fm

2-phase EoS, $b = 11$ fm

crossover EoS, $b = 2$ fm

THESEUS, proton

THESEUS w/o UrQMD, proton

THESEUS, pion

THESEUS w/o UrQMD, pion

2-phase EoS, $b = 2$ fm

2-phase EoS, $b = 6$ fm

2-phase EoS, $b = 11$ fm

crossover EoS, $b = 2$ fm

crossover EoS, $b = 6$ fm

crossover EoS, $b = 11$ fm

FIG. 12: (Color online) Same as Fig. 10 for $E_{\text{lab}} = 20$ A GeV (upper two rows) and $E_{\text{lab}} = 30$ A GeV (lower two rows).
FIG. 13: (Color online) Same as Fig. 10 for $E_{\text{lab}} = 43$ A GeV (upper two rows) and $E_{\text{lab}} = 70$ A GeV (lower two rows).
FIG. 14: (Color online) Proton rapidity distributions for central \((b = 2 \text{ fm})\), semicentral \((b = 6 \text{ fm})\) and peripheral \((b = 11 \text{ fm})\) Au+Au collisions for the two-phase EoS (panels (a)-(c)) and for the crossover EoS (panels (d)-(f)). Each panel shows the results of THESEUS with (solid lines) and without (dashed lines) for the NICA energy scan with \(\sqrt{s_{NN}} = 4.3, 4.7, 5.6, 6.4, 7.7, 9.3\) and 11.6 GeV (different colors from black to light blue, resp.).
FIG. 15: (Color online) Energy scan for the curvature $C_y$ of the net proton rapidity distribution at midrapidity for central Au+Au collisions with impact parameter $b = 2$ fm in different acceptance windows for the transverse momentum $p_T$. We show results of THESEUS (blue short-dashed lines) and THESEUS without UrQMD (red long-dashed lines) together with presently available data (symbols in the panels (a) and (e)). The results for the two-phase EoS (panels (a) - (d)) are compared to those for the crossover EoS (panels (e) - (h)). The "wiggle" as a characteristic feature for the EoS with a first order phase transition is rather robust against different acceptance cuts (see also Ref. [16]) and hadronic final state interactions.
FIG. 16: (Color online) Energy scan for the curvature $C_y$ of the net proton rapidity distribution at midrapidity for central Au+Au collisions with impact parameter $b = 2$ fm (panels (a) and (d)), $b = 6$ fm (panels (b) and (e)) and $b = 11$ fm (panels (c) and (f)). We compare the 3FH model result (black solid lines) with THESEUS (blue short-dashed lines) and THESEUS without UrQMD (red long-dashed lines). The results for the two-phase EoS (panels (a)-(c)) are compared to those for the crossover EoS (panels (d)-(f)). For noncentral collisions the curvature pattern is shifted towards positive values while the "wiggle" as a characteristic feature for the EoS with a first order phase transition remains rather robust.