Are Purkinje Cell Pauses Drivers of Classically Conditioned Blink Responses?

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Abstract Several lines of evidence show that classical or Pavlovian conditioning of blink responses depends on the cerebellum. Recordings from cerebellar Purkinje cells that control the eyelid and the conditioned blink show that during training with a conditioning protocol, a Purkinje cell develops a pause response to the conditional stimulus. This conditioned cellular response has many of the properties that characterise the overt blink. The present paper argues that the learned Purkinje cell pause response is the memory trace and main driver of the overt conditioned blink and that it explains many well-known behavioural phenomena.

Keywords Purkinje cells · Classical conditioning · Cerebellum · Simple spikes · Timing

Classical Conditioning and the Cerebellum

A widely studied form of motor learning, Pavlovian or classical eyeblink conditioning, is known to depend on the cerebellum [1–3]. In this paradigm, a blink-eliciting unconditional stimulus (US) such as an air puff to the cornea is repeatedly preceded by a neutral conditional stimulus (CS) such as a tone. After a number of paired presentations, the tone will elicit a blink in advance of the air puff [4, 5]. Importantly, this learned conditioned response (CR) is adaptively timed, such that it reaches its maximum amplitude close to the expected onset of the US [5–7].

A large body of evidence has made clear that the most important neural structures involved in this learning are in the cerebellum [1–3]. The hippocampus and forebrain structures seem to be important for trace conditioning where there is a temporal gap of several hundred milliseconds between the offset of the CS and onset of the US [8, 9], but for the standard delay conditioning protocol (where CS and US overlap), the cerebellum seems to be sufficient for normal conditioning. Indeed, the similarities between intact and decerebrate cats [10–12] as well as between decorticate and intact rabbits [13, 14] or rabbits before and after decerebration [15] are quite impressive.

Work by Yeo et al. indicated that the critical learning site was in the cortical lobule HVI of the cerebellar hemisphere [16, 17]. Several papers [18–21] in the literature suggest that eyeblink conditioning relies on the cerebellar nuclei and that conditioning is possible without the cerebellar cortex. However, studies of conditioning after cortical inactivation invariably show abnormal CRs with poor or no adaptive timing [22]. We agree with a recent review by Longley and Yeo that the interpretation that makes best sense of the evidence is that the memory trace is located in the cortex [23].

Theories of cerebellar learning proposed by Marr [24] and Albus [25] suggested that the CS might be signalled to the cerebellum via the mossy fibres and the US via climbing fibres (cf. Fig. 1a). This was supported by the demonstration of a convergence in the cerebellar cortex of mossy fibres from pontine nuclei and climbing fibres from the critical part of the inferior olive [26]. These anatomical findings have later been confirmed by extensive physiological and behavioural evidence [1, 2, 27–29].

Albus also suggested that the application of a classical conditioning protocol would lead to the development of an inhibitory response to the CS in a set of Purkinje cells and that this pause in simple spike firing would drive the overt conditioned response. The purpose of the present paper is to review and evaluate the evidence for this suggestion.
Localisation of Blink Controlling Areas in the Cerebellar Cortex

In evaluating data from Purkinje cell recordings, it is important to identify the precise cerebellar circuits that control the CR. There are two suggestions in the literature for which these circuits might be. Delgado-Garcia and Gruart have proposed that the CR is modulated by the posterior interpositus nucleus [30]. This nucleus is controlled by the cortical C2 zone, which receives climbing fibres from the medial accessory olive [31–33]. The authors based their suggestion on the observation that neurons in the posterior interpositus nucleus change their firing rate during both conditioned and unconditioned blinks. Because these changes lag the movements, the authors concluded that the CR
had to be initiated elsewhere and that the posterior interpositus neurons and the corresponding Purkinje cells have a modulatory role. Consistent with this, virus tracing showed labelling of rabies virus injected into the eyelid in the posterior interpositus nucleus by Morcuende et al. [34].

An alternative circuit was first suggested by Yeo et al. who found that the critical cerebellar nucleus in rabbits was the anterior interpositus nucleus [35]. This is controlled by the C1 and C3 zones (cf. Fig. 1b), which receive their climbing fibres from the rostral dorsal accessory olive [33]. They also showed that lesions of the dorsal, but not the medial, accessory olive abolished CRs [36]. The rabies virus tracing by Morcuende et al. does not necessarily contradict this conclusion, since these authors also found labelling in the anterior interpositus. Additionally, a later study using rabies virus tracing showed labelling restricted to the anterior interpositus nucleus [37].

Electrophysiological work on cats and ferrets in our laboratory has confirmed that microcomplexes involving the C1 and C3 zones, the anterior interpositus nucleus and the rostral dorsal accessory olive, are critical for conditioning. Electrical stimulation of the periortial area elicited climbing fibre responses (cf. Fig. 1c) mainly in lobules VI–VII, consistent with the findings of Yeo et al. [17], including not only C1 and C3 but also the C2 zone. However, electrical stimulation of the cortex could elicit delayed electromyography (EMG) responses in the eyelids only when applied to C1 or C3 (cf. Fig. 1d). These responses were probably caused by rebound activation of the nuclear neurons after being inhibited by the overlying Purkinje cells. Stimulation of the C2 zone, which projects to the posterior interpositus, had no such effect [38]. This demonstrates a signal pathway from the C3 zone via the anterior interpositus to the eyelid. Subsequent work also demonstrated that these Purkinje cells actually controlled the CR. A brief stimulus to the cerebellar cortex in C3, but not in C2, during a conditioned blink response caused complete suppression of the response [10].

A reasonable conclusion from this evidence is that Purkinje cells in the C1 and C3 zones control the orbicularis oculi muscle and the blink CR via the anterior interpositus nucleus. Although it involves different motor neurons and muscles, the rabbit nictitating membrane response (elicited by contraction of the retractor bulbi muscle) is also under the control of this cerebellar network [23]. Thus, the evidence does not exclude that the C2 zone and the posterior interpositus nucleus modulate the CR [30], but it does justify a focus on the C3 zone when studying Purkinje cells that may be involved in learning and generation of the CR.

Pause Responses in Purkinje Cells During Conditioning

Because Purkinje cells inhibit the cerebellar nuclei, the view of conditioning proposed by Albus and supported by the evidence summarised above predicts that the Purkinje cells respond to the CS with a suppression or pause in their simple spike firing. That such Purkinje cell pauses can indeed elicit blink responses was recently demonstrated in the intact mouse by Heiney et al. [39] who used optogenetic activation of inhibitory interneurons to transiently silence Purkinje cell simple spike activity.

The first study of Purkinje cell activity in conditioned rabbits was published by Berthier and Moore in 1986 [40]. Steinmetz and collaborators have since reported a series of studies of Purkinje cell responses in intact rabbits, using both single unit [41, 42] and multi unit [43] extracellular recording, as have Halverson and collaborators in a recent study [44]. In addition, there are a number of studies of decerebrate animals: cats [45], guinea pigs [46, 47] and ferrets [48–57]. Most studies have used a tone as the CS, but the work on decerebrate cats and ferrets has used electrical stimulation of the forelimb or mossy fibres (cf. Fig. 2a).

Not all published data support the prediction of a CR-related pause in firing, and a variety of different response patterns have been reported. In their pioneering study, Berthier and Moore trained rabbits with two CSs, one of which was reinforced and one of which was not. Many Purkinje cells responded differentially to the two CSs, but both increases and decreases in firing in response to the reinforced CS were observed. Also, the studies by Kotani et al. [46, 47] and by Steinmetz et al. [41–43] reported both increases and decreases.

However, when the Purkinje cells were sampled in an area of the C3 zone that controls the blink CR and receives reliable climbing fibre input from the US and mossy fibre input from the CS, the results were remarkably uniform. Virtually all Purkinje cells found so far conform to the prediction—after conditioning, they respond to the CS with a suppression, often amounting to a pause, in simple spike firing [48–57] (cf. Fig. 2b).

A plausible explanation for the discrepancies between our findings and those of other groups is that they reflect differences in the sampling of the Purkinje cells. The identification of blink areas that our own findings were based on was not attempted in most other recording studies and may be difficult to do in some species. In cats and ferrets, the blink controlling areas are partly located on the cerebellar surface, but in rabbits, they seem to be buried deep beneath the surface [58] and the technique of stimulating Purkinje cells is difficult to apply. Nevertheless, Green and Steinmetz did attempt this, and although they found Purkinje cells with both increased and decreased firing, the average effect was more similar to our findings, an increase followed by a decrease [42].

Also in agreement with our observations, recent work by Halverson et al. [44] reported that Purkinje cells that received short-latency climbing fibre input from the US and were located in the area identified as controlling the blink CR [58].
displayed reliable suppression of simple spikes just before expression of conditioned eyelid responses in the intact rabbit. We conclude that the weight of the evidence supports the working hypothesis that the Purkinje cells controlling the conditioned blink learn to respond to the CS with a suppression of simple spike firing.

It is more difficult to evaluate the role of Purkinje cells that respond to the CS with an increase in activity rather than a decrease, but a plausible speculation is that they are involved in suppressing antagonistic responses, e.g. activation of the levator palpebrae muscle, that would interfere with the blink response. Most behaviours require complex coordination of different muscle responses, and in that context, excitatory Purkinje cell responses in some microzones and pausing in other microzones may be important.

**Conditioning of Purkinje Cell Responses**

**Acquisition**

The observation by Berthier and Moore that Purkinje cells responded differently to reinforced and unreinforced CSs suggests that the responses were learned. Even clearer evidence for this comes from studies in which it was possible to record from Purkinje cells for several hours and thus to follow changes in responsiveness to the CS during paired and unpaired CS-US presentations [49]. In intact animals, as well as in decerebrate ferrets, paired stimulation causes acquisition and unpaired stimulation causes extinction of the blink CR. These changes were closely mirrored by the pause responses in Purkinje cells recorded in decerebrate ferrets [49].
recordings were made before conditioning from Purkinje cells in a blink-related area in the C3 zone, the cells initially either did not respond at all to the CS or responded with a weak excitation (cf. Fig. 2b). During training with paired CS-US presentations every 15–20 s and an CS-US interval of 300 ms, Purkinje cell CRs usually begin to appear after a couple of hours of training and then gradually grow stronger into a full-blown pause during the next hour. Figure 2e shows the gradual acquisition of Purkinje cell CRs in the total sample from [49], where clear pause responses begin to appear after about 350 trials. This is quite similar to the acquisition of blink CRs in the same preparation in other studies, where clear blink CRs were observed after at least 2 h and 350–500 trials of training, although occasionally it could take more than 1,000 trials and 7–8 h [59, 60].

**Extinction and Savings**

Unpaired CS-US presentations lead to extinction of blink CRs at a rate comparable to acquisition, and this was also observed with Purkinje cell CRs [49], illustrated in Fig. 2d. Furthermore, behavioural studies have shown that reacquisition of CRs after extinction is very fast. This phenomenon, known as savings, was also reproduced in Purkinje cells, which in some cases could reacquire previously learned and extinguished CRs in less than ten trials [49], illustrated in Fig. 2c. Thus, three of the most fundamental associative characteristics of classical conditioning—gradual acquisition and extinction and rapid reacquisition—were observed in the individual Purkinje cell.

**Minimum Interstimulus Interval for Learning**

It has long been known that eyeblink conditioning does not occur if the CS-US interval is shorter than about 80–100 ms [61, 62]. This makes physiological sense, because the latency of a normal blink CR is so long and the rise time so slow that the CR could hardly reach a sufficient amplitude to protect the eye from a US occurring less than 80 ms after the CS onset [5]. It is also puzzling, however, because the mechanism usually invoked to account for cerebellar learning, long-term depression of parallel fibre to Purkinje cell synapses, would be expected to work just as well for these shorter CS-US intervals [63]. We tested acquisition of Purkinje cell CRs when the CS-US interval was 0, 50, 100 and 150 ms. At 0- and 50-ms intervals, no acquisition of Purkinje cell CRs was observed. Indeed, Purkinje cells instead increased their firing in response to the CS after training with a CS-US interval of 50 ms (cf. Fig. 3e). With an interval of 150 ms, learning was normal. The graphs in Fig. 3f, showing the relationship between CS-US interval and learning rate in behavioural experiments with rabbits versus the magnitude of Purkinje cell CRs in decerebrate ferrets, are strikingly similar [57].

**Temporal Properties of Purkinje Cell CR**

**Adaptive Timing**

One of the most distinctive features of conditioned responses is their adaptive timing, i.e. they tend to reach their peak amplitude close to the time of the US [4, 5]. This means that the time course of the CR is a learned feature of the response and dependent on the CS-US interval [5–7]. Recordings in decerebrate ferrets have shown highly consistent results, where onset latencies to start and peak for Purkinje cell CRs were closely related to the CS-US interval used during training [50] (see Fig. 3a–d). Also, if the CS-US interval is increased and training is continued with the longer interval, then the temporal profile of the response will become adapted to the new longer interval [50].

After learning, the time course of Purkinje cell CRs and blink CRs can vary between different animals, as well as between individual trials in the same animal, but the best timing estimates are consistent with the causal direction being from Purkinje cells to eyelid movements. In studies of blink CRs measured by EMG recordings in decerebrate ferrets, the blink CR onset latencies after CS onset were usually around 100 ms and the duration was typically 150–200 ms [27, 59, 60, 64, 65] after conditioning with a CS-US interval of 300 ms. In later studies in which Purkinje cells had been trained with the same interval, average onset latencies were 50–120 ms and durations were 150–200 ms or longer [49, 50, 52].

In rabbits, excitatory responses in the interpositus nucleus show lead times of 60–75 ms before the blink and nictitating membrane responses [66–68]. Thus, a 150–200-ms pause in Purkinje cell simple spike activity starting at 50–120 ms after CS onset would provide sufficient time for an excitatory (disinhibitory) nuclear response to elicit a well-timed blink after delays downstream through the red nucleus and facial nucleus. Indeed, Heiney et al. [39] recently demonstrated that optogenetic silencing of Purkinje cell activity in vivo in mice can produce an increase in nuclear cell activity already within 6 ms and subsequent eyelid movements within 20 ms. Additional data consistent with these estimates was recently provided by Halverson et al. [44], who reported that onset of Purkinje cell CRs in the intact rabbits preceded the onset of blink CRs by approximately 100 ms when Purkinje cell responses and blink responses were recorded simultaneously.

In our studies using the decerebrate ferret preparation, we wanted to record from Purkinje cells during many hours of conditioning in order to capture changes in Purkinje cell behaviour from the naïve state through phases of acquisition, extinction and reacquisition. We also wanted to be able to directly stimulate mossy fibre and climbing fibre afferents in order to control both the sources of input and the temporal properties of the CS and US to the Purkinje cell. This required immobilisation for tissue stability, which precluded simultaneous recording of behaviour.
Fig. 3  Adaptive timing of conditioned Purkinje cell responses. One of the most important common characteristics for both the conditioned eyeblink response and the conditioned Purkinje cell response is that the temporal response profile is adaptively timed in accordance with the CS-US interval (or interstimulus interval, ISI) indicated with blue, between presentation of the conditional stimulus (CS) and the unconditional stimulus (US). Just like conditioned eyeblink responses, conditioned Purkinje cell responses occur just prior to the expected onset of the unconditional stimulus. Panels (a–d) illustrate responses in four Purkinje cells that were conditioned using paired mossy fibre stimulation as the conditional stimulus (CS) and climbing fibre stimulation as the unconditional stimulus, separated by different CS-US intervals (interstimulus intervals, ISIs) indicated in blue—150, 200, 300 and 500 ms. The temporal profiles of the conditioned responses differ with regard to latencies to onset, maximum and offset in accordance with the interstimulus interval used during training. Longer interstimulus intervals compared to shorter caused acquisition of responses that had longer latencies to onset, maximum and offset. For each Purkinje cell, simple spike activity was recorded during 40 presentations of the conditional stimulus alone. The 40 records of 1,500 ms duration were aligned, with a 500-ms pre-stimulus period showing the Purkinje cell’s baseline simple spike activity, followed by the responses to presentations of the conditional stimulus. In the raster plots above the histograms, each dot represents the occurrence of a simple spike. Each bin in the histograms represents the average instantaneous simple spike frequency in a 10-ms time bin. Conditional stimulus durations varied between 300 and 800 ms but were always equal to or greater than the interstimulus interval. e Interstimulus intervals less than 100 ms are not conducive to conditioning of eyeblink responses. The same is true for the conditioned Purkinje cell response. Responses recorded from a Purkinje cell after conditioning to a 50-ms interstimulus interval between presentation of a 300-ms conditional stimulus, consisting of 31 electrical pulses delivered to the mossy fibres at 50 Hz, paired with electrical stimulation of the climbing fibres as the unconditional stimulus. In contrast to results after conditioning to interstimulus intervals of 150 ms or more (e–f below), training with a 50-ms interval does not produce a conditioned pause response but instead induces a learned excitatory response to the conditional stimulus. f The effects of CS-US intervals (or interstimulus interval, ISI) on conditioning of the rabbit behaviour (nictitating membrane response) are mirrored by the magnitude of conditioned Purkinje cell responses in ferrets. To compare the interstimulus interval effects on Purkinje cell responses and nictitating membrane responses, we re-plotted rabbit classical conditioning data on a reversed y-axis, as well as the mean Purkinje cell simple spike modulation as a function of interstimulus interval. (The curve showing Purkinje cell responses is shifted 5 ms backward to compensate for the shorter latency of climbing fibre activation that occurs with direct climbing fibre stimulation as the unconditional stimulus.) As can be seen, interstimulus intervals below 100 ms do not produce conditioning of either the behavioural response in the rabbit or the Purkinje cell pause response in the ferret. In fact, there is a strong match between the behavioural response frequency and the effect on Purkinje cell simple spike activity in response to the conditional stimulus, throughout the spectrum of interstimulus intervals from 0 to 300 ms. Adapted from [50, 57]
We could compare Purkinje cell data during various experimental conditions with behavioural (EMG) data from non-immobilised animals subjected to the same stimulation protocols, but this precluded trial-by-trial comparisons of comparison of Purkinje cell CRs with overt CRs. In the recent work by Halverson et al. [44], however, this was done and the data show impressive correlations between Purkinje cell and overt CRs at the trial-by-trial level.

Effects of Conditional Stimulus Parameters

After delay conditioning, the time course of the blink CR depends mainly on the initial part of the CS. Although acquisition of the CR may require the CS to be temporally contiguous (i.e. without a trace period between the end of the CS and beginning of the US), post-training presentation of a brief part of the CS (e.g. the first 50 ms of a 300-ms CS) is sufficient to elicit a blink CR with a normal temporal profile including the characteristic latencies to onset, peak and offset [59]. This is the case also for the Purkinje cell CRs. When Purkinje cells had been trained using a specific CS-US interval, such as 200 or 300 ms, with a 50-Hz electrical stimulation of the mossy fibres as the CS, Purkinje cell CRs with essentially normal time course could be elicited by very brief CSs, sometimes only a single pulse. In addition, the Purkinje cell CRs always stopped near the expected US onset, even if the CS was continued for several hundred milliseconds after the US [51]. This means that the initial part of the CS-elicted mossy fibre activation provides the necessary and sufficient input to elicit the entire learned response, both at the cellular level and the behavioural level.

Behavioural studies of decerebrate ferrets conditioned to blink in response to electrical stimulation of the forelimb skin or mossy fibres showed that a sudden increase in CS intensity or of the stimulation frequency caused a shortening of latencies to CR onset and maximum [60]. A similar phenomenon was later observed in Purkinje cell CRs where an increase in the intensity of a forelimb electrical CS or the frequency of a mossy fibre CS caused a stronger simple spike suppression with faster onset [56].

The observations summarised so far were all made in experiments where the CS was applied to the forelimb or to the mossy fibres. However, it was recently shown that Purkinje cell CRs can also be acquired when direct parallel fibre stimulation is used as the CS and that the temporal properties of the Purkinje cell CR do not depend on a temporal code in its CS input signal. Instead, the temporal properties of the Purkinje cell CR are due to intrinsic cellular mechanisms [52, 69].

Conclusion: Purkinje Cell CRs Drive the Overt CRs

The many striking similarities between Purkinje cell CRs and overt blink CRs reviewed above strongly suggest a causal relationship but do not exclude that the Purkinje cell responses are caused by the overt CR or that both are effects of a common cause. However, a number of considerations argue against such interpretations. The most important ones are the following: (1) Purkinje cell CRs are readily learned in immobilised animals excluding the possibility that they reflect peripheral sensory feedback. (2) The fact that Purkinje cell CRs precede the overt CRs excludes that the latter drives the former, although it does not exclude a common cause. (3) Direct electrical stimulation of the cerebellar cortex as well as optogenetic activation of inhibitory interneurons demonstrates that the relevant Purkinje cells control the eyelid. (4) Interference with the Purkinje cells, by electrical stimulation of the cerebellar cortex during the CS-US interval, disrupts the overt CR. (5) The demonstration that timed Purkinje cell CRs can be obtained when the CS is direct stimulation of mossy fibres or parallel fibres where no temporal code is present shows that the temporal properties of the CR arise within the Purkinje cell.

We think that the evidence summarised here leaves little doubt that the Purkinje cell CR is the main determinant of the overt blink CR and explains much of what is known about the behaviour. In saying this, we do not wish to imply that no sites outside the cerebellar C3 zone may contribute to the learning. For instance, we know that there are cortical areas beside the one we have studied that control the eyelids [10, 38] and these areas, as well as the areas in the C2 zone, may also contribute to the learning and/or modulation of the CR. As noted above, some authors have also suggested that the cerebellar nuclei are involved in the learning. Whether or not this turns out to be the case, it is clear that a more complete account of the overt blink CR would need to take into account the processing of the Purkinje cell signal through the output pathway and the mechanics of the movement [70].

In order to understand the nature of the memory trace, the Purkinje cell CR, the most promising avenues of research would seem to be a combination of studies in transgenic animals and the development of an in vitro model of classical conditioning.

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