Experimental Analysis of the Shot Peening Particle Stream Using Particle Tracking and Digital Image Correlation Techniques

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Abstract
The conventional air pressure shot peening process consists of multiple impacts of particles propelled with pressurized air through a nozzle at the surface of mechanical components. An experimental study of the flow of particles exiting the nozzle was conducted. A high speed camera was used for image acquisition of the particle flow. This particle flow was analyzed using a particle tracking (PT) technique and using a digital image correlation (DIC) technique. Those two methods were compared and applied to the characterization of an industrial shot peening flow with several parameters of jet pressure and mass flow rate.

Keywords Shot peening flow · Velocimetry · Particle tracking · Digital image correlation

Introduction

Shots of particles are present in the study of many processes (particle injection, spray combustion, particle laden flows, blasting and conventional shot peening) at many scales. The interest of the analysis of particle flow lies in the control of the particle kinematics and the ability to predict the particle density and the shape of the stream. This study aims to analyze the particle flow ahead of a shot peening nozzle where steel beads (i.e. particles) are projected on a mechanical surface. Developing experimental methods to characterize a particle flow is useful to obtain the contact conditions (location, velocity vector) of any interesting geometry submitted to a shot peening flow.

In jet flow engineering [1], the jet ahead of the nozzle is described by a turbulent behavior. Figure 1 shows the structure of the turbulent jet [2]. A potential core region is formed near the nozzle exit. In this region, the velocity is usually assumed to be constant. This potential core region is surrounded by a mixing region until the jet becomes fully developed forming the developed region. Shakouchi [1] expresses the size of the core region as $5.d$ independently of the air pressure, where $d$ is the diameter of the nozzle.

Tsuji et al. [3] carried out measurements in an axisymmetric jet laden with coarse particles (170 – 1400 $\mu$m) to measure air velocimetry, particle velocity and concentration using a Laser Doppler Velocimeter (LDV), a Pitot tube and a fiber probe. They showed that the particle velocity is smaller than the air velocity at the exit of the nozzle. At a distance from the nozzle exit, particles accelerate and their velocities become greater than the air velocity. They observed that the velocity of the particles is at its highest in the centerline of the stream.

Green et al. [4] used electrodynamic electrodes mounted on an extension 90 mm from the nozzle and monitored the signal to control the mean velocity of the particles. Capacitive [5] and electrostatic [6, 7] sensors were frequently used to measure online the continuous velocity of particles in pneumatic pipelines. In application to a shotpeening blast, [8] used two methods to measure particle velocity: a mechanical measuring device based on a rotating disc principle, an electronic particle velocity measurement system with two microphone-based impact sensors. The
In fluid mechanics, stereo-imaging is generally adopted for measurements of profiles and velocity. A particle image velocimetry (PIV) technique is often used in the fluid mechanics community. PIV is used to measure the velocity of the fluid. Particle tracking velocimetry (PTV) is used to track particles that are resident within a fluid and measure their velocity. Close to the presented study, PTV has been used to analyze the velocities of particles in the cold spray process with a transparent nozzle [18]. Particles velocities from a multiphase flow were supersonic at their maximum and their probability distribution function followed a Gaussian fit.

Digital Image Correlation (DIC) is widely adopted by the solid mechanics community and applied to measure displacement fields [19–21]. With less information than PTV, DIC can be a simple tool for characterizing the global motion of particles. Passieux et al. [22] used the DIC technique to measure the rigid body motion of an impactor. A pattern is sprayed on a spherical projectile to track its rigid body motion in terms of translations and rotations. Optical flow technics tracking the movement of brightness patterns can also be used to track particles [23].

DIC has been used to measure velocities of gas bubbles in water [24]. A 3D DIC method has been applied to measure the displacement at the surface of a sloshing liquid [25]. Chatellier et al. [26] have combined DIC and PIV methods in the field of fluid mechanics. Zhao et al. [27] studied particle flow in a fluid by implementing stereo-based particle tracking in a friction extrusion process.

Kato et al. [2] modeled the particle flow exiting a nozzle using motion of particle equations [28], considering the air velocity in each region that was creating a drag force and the transverse force acting on particles leading to a divergent jet.

In the case of shot peening, the interactions between the stream of shots and a mechanical part result in a coverage rate and a transferred energy to the material generating residual mechanical fields in the subsurface of the material. Badreddine et al. [29] developed a kinematical numerical model to predict the interaction of shots with parts of complex geometry in the case of ultrasonic shot peening. They obtained a map of the coverage on the part and of the resulting velocity. Nguyen et al. [30] used multiphase computational fluid dynamics to predict the coverage as a function of the process parameters. They used ANSYS-Fluent to compute the trajectories of the particles in a multiphase air flow charged with particles.

The presented work aimed at characterizing the velocity of particles ahead of a shot peening nozzle for different process parameters with two different techniques: particle tracking (PT) velocimetry and digital image correlation (DIC). From the images acquired using a high speed camera, those techniques enabled to obtain 2D projections of the velocity contours and density contours. The

![Fig. 1 Description of the structure of a turbulent jet ahead of a nozzle [2]](image-url)
Experimental set up is presented in Section “Experimental Set Up”. Section “Analysis Using Particle Tracking (PT) Velocimetry” is dedicated to the presentation of the particle tracking method and to the analysis of the results in terms of velocity distribution in the stream ahead of the nozzle. Section “Analysis Using Digital Image Correlation (DIC)” presents the DIC method applied to the measurement of the velocity in the stream using the same acquired images. The results from the two techniques were compared and discussed. A phenomenological model for predicting the average stable particle velocity as a function of the process parameters is proposed in this section.

**Experimental Set Up**

The shot peening machine used was a Wheelabrator Sisson Lehmann installed at IRT M2P. Conventional peening with a straight nozzle was studied in this work. Figure 2 shows the experimental set-up used for the high speed video acquisitions. The lighting of the scene was set inside the peening chamber with a Decool projector positioned behind the nozzle and a LED projector at the top of the particle flow (Fig. 2(a)). A high speed Photron SA5 camera was positioned outside the peening cabine in front of the window using a 28-105 mm lens at a working distance of approximatively 1 m. A white background was set behind the blast parallel to the nozzle direction. A scale was affixed to this background to calibrate the measurements. Images with a size of 896×704 mm were taken with frame rate of 12 000 images per second and an aperture time of 1/69000 s. An average of 4000 images were taken per acquisition.

A single straight nozzle with a 10 mm diameter was used with S230 steel shots with an average diameter of 584 µm. Two zones of interest were observed in the blast ahead of the nozzle. Zone 1 and zone 2 were respectively between 0 and 120 mm ahead of the horizontal nozzle and between 120 and 240 mm. The field depth was adjusted to obtain a good focus on particles close to the centerline of the stream. Direction X was along the flow direction and direction Y was the transverse perpendicular direction in the plane of observation.

The tested parameters were the jet pressure $P$ in bars, the mass flow rate $D$ of shots in kg/min. The pressure was measured by a manometer placed in the pneumatic system before the nozzle. The mass flow rate was measured by a scale located after the shot selectors. For the parametric study, the jet pressure is set at 1, 3 and 5 bars and mass flow rate at 3, 6, 9 and 13 kg/min. Figure 3 shows the investigated parameters in the different zones. Additional sets of parameters were analyzed in zone 2 compared to zone 1. In order to assess the effect of the process parameters $D$ and $P$, some results will be presented in the same chart as proposed in Fig 3.
A series of images was obtained for each selected process parameter allowing representative measurements of the kinematics of different particles at several positions in the flow. Figure 4 shows some representative observations of the flow for the different process parameters \((D, P)\) respectively in zone 1 and in zone 2. From a qualitative point of view, it was observed that the higher the mass flow rate, the more dense the flow was. Moreover, for a given mass flow rate, a higher pressure will distance the particles from each other.

Analysis Using Particle Tracking (PT) Velocimetry

This section presents the particle tracking velocimetry (PTV) technique and the analysis of the acquired images. The velocity contours projected in direction \(X\) and \(Y\) were investigated. More focus was brought on the component \(V_X\) of the velocity. The evolution of \(V_X\) was measured as a function of the distance to the nozzle. A particle count was also performed.

Particle Tracking Technique

Particle tracking velocimetry (PTV) was carried out on the obtained 2D images using TrackMate module [31] available in FIJI software [32]. TrackMate module performs single particle tracking and follows the different detected spots by extracting the \(X,Y\) coordinates over time and rebuilds the trajectory of the particles as presented in Fig. 5. Preprocessing of the raw images (Fig. 5(a)) was performed before using TrackMate and images with white spots over a black background are used (Fig. 5(b)). The trajectories over
400 images were detected by particle linking and filtered in order to eliminate spurious paths after superimposition of two particles that were not in the same plane (Fig. 5(c)). Two consecutive images were compared in order to obtain the velocities in direction $X$ and direction $Y$ projected in the 2D plane of view. The calculated value of velocity was plotted at the position of the particle in the first image. The evolution of the velocities along the trajectory was obtained as a contour map.

Since TrackMate detects the position of the particles, a particle count was carried out. All the post-processings and the contour mappings were performed with Matlab. The data were plotted over a surface of area $h_x \times h_y = 2 \times 2 \text{mm}^2$.

**Results: Velocity Fields in Zone 1 and 2**

Figures 6 and 7 present the average velocity $V_X$ contours of the particles detected at each position ($h_x \times h_y = 2 \times 2 \text{mm}^2$) in zone 1 and zone 2 respectively. In Fig. 6, the position of the nozzle at $X = 0$ can be observed. In Fig. 7, position $X = 0$ in zone 2 corresponds to position $X = 60$ in zone 1. Extrapolating to 3D, the jet seemed to adopt a conical shape. The velocity of the shots was minimal at the exit of the nozzle and the shots accelerated ahead of the nozzle. This stream corresponded to the turbulent jet observed in fluid mechanics ahead of a nozzle. A potential core where the velocity is constant was observed at the exit of the nozzle. The size of the potential core was bigger as the jet pressure $P$ increased. The potential core was bounded by two mixing layers between the jet and the quiescent medium, where the particles accelerated. Beyond a critical distance $x_0$, a fully established flow occurred.

The obtained data were post-treated in order to plot the average velocity of particles as a function of the distance to the nozzle as sketched in Fig. 8. A mean value of velocity at a position $X$ was obtained by excluding zero values. The standard deviation is also plot in Fig. 8. The standard deviation represents the deviation of the velocity from the mean value at a distance $X$ from the nozzle head. It can be observed that the deviation from the mean velocity is larger at higher pressures and closer to the nozzle where the jet is still turbulent. This deviation gives rise to noise on the mean velocity curve that is explained by turbulent areas close to the nozzle. Moreover for higher pressure, more interactions between shots may affect the average value of velocity. Those particle tracks where interactions occurred were mainly taken out of the analysis by the automatic filtering procedure, but some of them still remained as...
observed for D6P3. For a mass flow rate of 13 kg/min, Fig. 8 shows that particles accelerated at the nozzle exit. Figures 9(a) and (b) show the mean velocity $V_X$ along direction $X$ for the different process parameters ($D, P$) respectively in zone 1 and zone 2. It was observed that for a pressure of 1 bar the mean velocity reached a maximum and was stable after a position $X = 20$. This location corresponded to the end of the potential core as previously observed in Fig. 6. The length of the potential core increased with the mass flow rate $D$. For the same pressure (1 bar), the mass flow rate $D$ had also an effect on the mean velocity. The denser the flow was, the lower the average velocity.

Fig. 6 Particle tracking: $V_X$ velocity contours in the flow ahead of the nozzle in zone 1 for different process parameters. Average over a surface $S$ of 4 mm$^2$, $h_x = h_y = 2$mm

Fig. 7 Particle tracking: $V_X$ velocity contours in the flow ahead of the nozzle in zone 2 for different process parameters. Average over a surface $S$ of 4 mm$^2$, $h_x = h_y = 2$mm. Position $X = 0$ in zone 2 corresponds to position $X = 60$ in zone 1
For all the tested parameters, it could be observed that the mean velocity did not decrease until the end of zone 2 (X_{tot} = 120). For a higher pressure of the jet (P = 3 bars), the flow stabilized only at the end of zone 1 (X_{tot} = 60). For a pressure P = 5 bars, looking at the level and considering the standard deviations, the velocity reached its maximum only in zone 2 at a position X_{tot} = 90. The maximum mean velocity was 70 m/s with some individual particles reaching 80 m/s. Since the drop of the mean velocity at the beginning of zone 2 for a pressure of 5 bars lied within the standard deviation which was important at the beginning of zone 2 (+/- 20 m/s), this drop was not analyzed in this study.

Particle tracking using FIJI software also permitted to obtain the average velocity of each detected particles over an observed zone. The frequency and the cumulative frequency normalized distributions are plotted in Fig. 10 for zone 1 and zone 2 respectively.

In zone 1 just at the nozzle exit (0 < X < 60), for a low jet pressure (P = 1 bar), the velocity distribution was unimodal with an average velocity that decreased when the mass flow rate increased. For a pressure P = 3 bars, the velocity distribution became bimodal. The separation of the distributions in the bimodal distribution became greater when the mass flow rate increased. At a pressure P = 5 bars, the distribution was clearly multimodal. This multimodal effect of the frequency distribution of the average velocity of the particles was due to the potential core region where the particles had a lower velocity compared to particles that had their track in the mixing region. In zone 2, the multimodal effect of the velocity distribution of a particle track was less pronounced than in zone 1. It could only be observed for the greatest pressure (P = 5 bars), where the width of the distribution was increased.
Results: Velocity Profiles

The velocity profiles were also analyzed in the transverse direction of the flow (direction \( Y \)). Figure 11 presents the \( V_X \) velocity profiles as a function of \( Y \) position for different distances \( X \) respectively for zone 1 and zone 2. The velocity profile was generally at its maximum at the center of the particle flow. It was observed that close to the nozzle in zone 1, there was a velocity drop especially for high pressures (Fig. 11(a)). This drop of velocity defines the potential core region. For low velocities \( V_{X_{\text{max}}} \) under 25 m/s (case \( D13P1 \)), the velocity profile appeared non-symmetric. The velocity was slightly higher for particles in the upper part of the flow. The \( D13P1 \) experiment, as observed in Fig. 4, has the flow with the highest density of particles. The particle density at a given time appeared inhomogeneous in the stream, higher towards the bottom part of the nozzle exit with the particles being closer to each other. This asymmetry of local particle density, phenomenon usually called “clustering” or “preferential concentration” [33] led to a lower velocity in the bottom part of the flow. This could be caused by more frequent collisions of particles and the interactions of particles with the straight nozzle during their acceleration in the nozzle. In order to understand the “clustering” phenomenon occurring at low velocities, a more detailed fluid mechanics analysis should be performed on the air jet and in the nozzle.

Results: Particle Count in Zone 1 and 2

Particle Tracking (PT) technique enabled to obtain the position of each particle. A particle count was performed other the same 400 images used to obtain the velocity of each particle and its mean value over the particle path. Individual particles were counted over surfaces \( S \) of area 4 mm\(^2\) over a duration. Individual particles were counted even if they did not belong to a path considered for velocity measurement after filtering. This led to a higher number of particles being taken into account for particle count.

Figure 12 presents the particle count contours respectively in zone 1 and zone 2. In zone 1, it was difficult to analyze the data for a low pressure \( P = 1 \) bar. The number of particles over a period of time was greater near the centerline, which was due to the 2D projection of particles of the axisymmetric stream. For jet pressures of \( P = 3 \) and 5 bars, it seemed logical that the number of particles per projected area decreases as the distance to the nozzle increases. For zone 2 and jet pressure of 3 and 5 bars, it appeared that
fewer particles are present in the centerline of the stream. Particles were located on a ring next to the centerline of the stream. Lau and Nathan [14] suggested a process of particle reorganisation (particle size < 40 μm) selected by Stokes number, resulting in significant particle migration to the jet axis or away from the centreline respectively for low values...
of Stokes number or for high values of Stokes number. For a given particle type and size in a fluid, the Stokes number increased with the mean velocity of the gas. A more detailed fluid dynamics investigation has to be performed to analyze this effect where particles have a bigger size (584 μm).

Particle tracking using 2D high speed observations was feasible and allowed a deterministic characterization of an axisymmetric stream of particles outside of a nozzle by their velocity and their position for particle count. For the process parameters used, the higher the pressure, the greater the velocity $V_X$. The mass flow rate $D$ had an inverse effect on the velocity. Indeed, the velocity decreased as the mass flow rate increases. This was due to less force of the air pressure acting on each particle that were potentially hidden by others when the mass flow rate becomes higher. In zone 1, at the nozzle exit, the potential core region was clearly observed by particle tracking analysis. The length of the core region increased with the pressure and with the mass flow rate. Zone 2 did not show the potential core region for any of the tested process parameters, which means that the flow was fully established. By looking at the frequency and cumulative distribution of the average velocity of particle tracks, it was observed in zone 1, that some particles remained in the potential core region and had a much lower velocity. A multimodal frequency distribution of velocities was thus observed. However, in zone 2, further away from the nozzle the multimodal distribution of velocity almost disappeared. The frequency distribution of velocities became wider as the pressure increased. The mass flow rate $D$ did not have a significant effect on the width of the frequency distribution.

To progress in the field of particle tracking applied to shot peening nozzle, 3D analysis with 2 synchronized high speed cameras or using mirrors to obtain another field of
Table 1  DIC parameters in Ncorr with RG-DIC method

| Ncorr parameters | Value |
|------------------|-------|
| cutoff_diffnorm   | $10^{-6}$ |
| cutoff_iteration  | 50    |
| total_threads     | 6     |
| stepanalysis      | enabled - SeedPropagation |
| subsettrunc       | disabled |
| cutoff_corrcoef   | 0.5   |
| lenscoef          | 0     |

DIC Technique on Particles in a Flow

Digital Image Correlation (DIC) was applied on the same sets of 2D images obtained with the high speed camera. A displacement field was calculated by comparing the obtained patterns between two subsequent images. The open source 2D DIC software Ncorr v1.2 [34] within MATLAB environment was used to calculate the displacement fields. The obtained fields were post-treated in MATLAB. Ncorr uses the reliability-guided digital image correlation (RG-DIC) technique [35]. Table 1 presents the different parameters used in Ncorr. Seeds were placed regularly on the centerline of the stream, so that the region of interest was partitioned evenly.

The pattern relied on the particles with grey colors on a white background. Those particles were moving in mass inside the stream. The time between images was small enough so that the pattern could be followed between two images. Figure 13 presents the application of DIC in the stream ahead of the nozzle (zone 1). A subset radius of 39 pixels with subset spacing of 3 pixels gave the best results (Fig. 13(a)). In order to increase the accuracy, the subset spacing was less than the diameter of the particles observed in the images. The smallest subset possible was selected which does not result in too noisy displacement data. The displacement $U_X$ was calculated along the stream.

Analysis Using Digital Image Correlation (DIC)

This section intends to present the feasibility of another technique to investigate the velocity field of particles ahead of a shot peening nozzle. The obtained results were compared to the ones obtained with Particle Tracking.

Figure 13  DIC in the stream ahead of the nozzle in zone 1. a Subset radius 39 pixels, subset spacing 3 pixels. b Displacement field $U_X$ (mm) between image 1 and image 2. c Displacement field $U_X$ (mm) between image 1 and image 9.
DIC: $V_X$ velocity contours in the flow ahead of the nozzle in zone 1 for different process parameters. Subset radius 39 pixels, subset spacing 3 pixels. Calculation between image 1 and 2 by comparing two images. Figure 13(b) and (c) presented respectively the displacement field between images 1 and 2, and images 1 and 9. The calculated displacement was assigned at the position of the subset in the first image. It was observed that with 9 images, particles still remained in zone 1 except for particles on the far right side of zone 1. The displacement $U_X$ was calculated between the first 9 subsequent images.

For the process parameters tested in zone 1 (see Fig. 3), Fig. 14 presents the velocity fields between image 1 and image 2. For the process parameters ($D6P5$), the pattern could not be followed by the DIC software. This problem occurred when the apparent density of particles linked to the mass flow rate was too low for a given velocity. The DIC results were compared to the velocity contours obtained by PT in zone 1 (Fig. 6). It was clearly observed that DIC gave less detail concerning the velocity of individual particles. Though an acceleration at the exit of the nozzle was still observed with DIC, the size of the core potential region was not as clear as for PT. For DIC, the shape of the stream was less accurate.

Figure 15 presents the case ($D9P1$) in zone 1 and zone 2. The average velocity $V_X$ in a section was measured versus the distance to the nozzle by using the differences of displacement for 10 subsequents images (1-2, 2-3, ..., 9-10). 9 profiles of average velocity $V_X$ along the stream are presented in Fig. 15. A mean value was determined over those 9 profiles.
Comparisons with PT and Calibration of a Phenomenological Model

The obtained DIC data were post-treated to obtain the stabilized value of velocity $V_X$ for the different process parameters. A total of 18 sets of images were post-treated in zones 1 and 2.

A phenomenological model using a multiplicative power law between the mass flow rate $D$ (kg/min) and the air pressure $P$ (bars) was proposed to determine the maximum stable velocity of the stream $V_{X\text{stable}}$ (m/s), such as:

$$V_{X\text{stable}} = K \times D^m \times P^n$$

where $K$, $m$ and $n$ are constant model parameters.

#### Table 2  Model parameters for PT and DIC data

|       | K     | m      | n       |
|-------|-------|--------|---------|
| PT    | 41.7522 | -0.235109 | 0.586293 |
| DIC   | 40.213  | -0.215933 | 0.648361 |

A numerical calibration was carried out on the PT and DIC data using the fit command of Gnuplot that uses an implementation of the nonlinear least-squares (NLLS) Marquardt-Levenberg algorithm [36]. The optimized parameters using PT data and DIC data are presented in Table 2. Figure 16(a)–(c) shows the comparison between the PT and DIC data and the calibrated models. One observes that DIC data overestimate PT data for a high jet pressure $P$ of 3 and 5 bars. A cut at constant jet pressures is presented in Fig. 16(d). The average velocity $V_X$ drops with the mass flow rate $D$ and increases with the pressure $P$. The calibrated model gives a good fit for a low jet pressure $P=1$ bar, but slightly overestimates the PT data for higher jet pressures.

### Conclusions

The flow of shot peening particles ahead of a straight nozzle of diameter 10 mm with S230 steel shots was characterized in 2D, for different process parameters, using a high speed camera. The investigated process parameters were the jet...
pressure $P$ and the mass flow $D$. The velocity fields were analyzed using two techniques: Particle Tracking (PT) and Digital Image Correlation (DIC).

The conclusions of this work are:

- Using TrackMate module and Matlab, the tracks of each particle were detected for PT and a velocity field was obtained. PT enabled also particle counting to obtain an impact rate over a certain amount of time;
- DIC applied to a reduced set of images was able to capture the average displacement field of a subset of particles in motion. Measurements using DIC were feasible for almost all process parameters. For characterizing a flow of particles, the velocity field captured by DIC was less precise compared to PT but the volume of data being processed was smaller than for PT;
- The potential core region ahead of the nozzle was clearly identified with PT in zone 1 (0-120 mm). The length of the potential core region depends on the process parameters and reaches 120 mm for the parameters $D=13$ kg/min, $P=5$ bars. This value was 12 times the diameter of the nozzle ($d = 10$ mm). The potential core region can also be detected with the frequency distribution of the average velocity where a multimodal distribution appears in zone 1;
- In zone 2, far from the exit of the nozzle, the velocity profile appeared symmetric with respect to the centerline of the stream except for a low pressure or a high mass flow. The velocity was maximal on the centerline;
- DIC and PT results for axial velocity measurements were compared. Results obtained from the two techniques were in good agreement for the determination of the average stable velocity. They both predicted: - a drop of the average stable velocity with the increase of the mass flow rate $D$, - an increase of the average velocity with the jet pressure;
- A phenomenological model with three parameters ($K$, $m$, $n$) was used and calibrated to find a relationship between the process parameters ($D$, $P$) and the axial stable velocity of the particles. The model parameters were found for PT and DIC measurements. For high jet pressure, DIC gave a higher value of velocity compared to PT.

The information about the velocity of particles and their impact rate on a structure were useful to have predictive information about the initial conditions to apply to a multi-impact Finite Element model such as developed by [37], [38] or [39]. 3D measurements using two synchronized high-speed cameras or by using a mirror from another point of view, would be a perspective to obtain the complete velocity field and to focus on the particles close to the centerline compared to the ones at the edges of the stream. Additional air velocity measurements would also be helpful to understand the fluid dynamics in interaction with particles. Numerical simulations such as Discrete Element Methods, kinematical methods or standard CFD with multiphased fluids could be compared to the experimental data of this study.

Considering the coupling with online simulations of the mechanical effects of shot peening in the material, the monitoring of the flow with such non-intrusive techniques will lead to the active control of the shot peening process.

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