Early Improvement and Marriage Are Determinants of the 12-Month Treatment Outcome of Paroxetine in Outpatients with Panic Disorder

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Objective: In this study, we investigated the determinants of remission and discontinuation of paroxetine pharmacotherapy in outpatients with panic disorder (PD).

Methods: Subjects were 79 outpatients diagnosed with PD who took 10–40 mg/day of paroxetine for 12 months. The candidate therapeutic determinants included the serotonin transporter gene–linked polymorphic region and the −1019C/G promoter polymorphism of the serotonin receptor 1A as genetic factors, educational background and marital status as environmental factors, and early improvement (EI) at 2 weeks as a clinical factor were assessed. The Clinical Global Impression scale was used to assess the therapeutic effects of the pharmacotherapy.

Results: Cox proportional hazards regression was performed to investigate the significant predictive factors of remission and discontinuation. EI was only a significant predictive factor of remission. EI was a significant predictive factor of remission (hazard ratio [HR], 2.709; 95% confidence interval [CI], 1.177-6.235). Otherwise, EI and marital status were significant predictive factors of the discontinuation. EI (HR, 0.266; 95% CI, 0.115-0.617) and being married (HR, 0.437; 95% CI, 0.204-0.939) were considered to reduce the risk of treatment discontinuation. In married subjects, EI was a significant predictive factor of the discontinuation (HR, 0.160; 95% CI, 0.045-0.565). However, in unmarried subjects, EI was not a significantly predictive factor for the discontinuation.

Conclusion: EI achievement appears to be a determinant of PD remission in paroxetine treatment. In married PD patients, EI achievement also appears to reduce a risk of discontinuation of paroxetine treatment.

KEY WORDS: Paroxetine; Panic disorder; Marital status; Treatment outcome; Patient dropouts; Induction of remission.

INTRODUCTION

Panic disorder (PD) is considered to be familial and heritable. In a recent epidemiological survey, lifetime prevalence estimates were 22.7% for isolated panic attacks without agoraphobia, 0.8% for panic attacks with agoraphobia without PD, 3.7% for PD without agoraphobia, and 1.1% for PD with agoraphobia.1 There is a clear sex difference, with a female: male ratio of 2.5-5.6:1.2 Family and twin studies have suggested a genetic liability for PD.3-5 There is a significant genetic component for PD, and a meta-analysis estimated a heritability of 48% for PD.6

Selective serotonin reuptake inhibitors (SSRIs) are thought to interact with the serotonergic nervous system and to be effective for treating PD. The primary target of SSRIs is the serotonin (5-HT) transporter (5-HTT), which removes 5-HT from the synaptic cleft. However, the therapeutic effects of SSRIs on anxiety disorders are thought to depend on stimulation of the postsynaptic 5-HT1A serotonin receptor in the amygdala.7,8 In the amygdala, the expression of the postsynaptic 5-HT1A receptor might be largely rigid and unchangeable in adults. The report of Gross et al.9 suggests that the amount of postsynaptic 5-HT1A receptor expressed in the amygdala might be established in the developmental period, for example, during infancy or adolescence, and might be unchanged in adulthood.

The 5-HTT gene has been extensively screened for polymorphic variants. The 5-HTT gene-linked polymorphic region (5-HTTLPR), located in the promoter region, has...
been identified as a functional polymorphism. The polymorphism consists of a 44-base pair (bp) insertion or deletion involving 6 to 8 repeat elements.\(^\text{10}\) In vitro, the basal activity of the long (L) variant is more than twice that of the short (S) one in terms of 5-HTT mRNA synthesis and 5-HTT expression.\(^\text{10}\) These two different transcriptional efficiencies suggest that 5-HTT gene transcription is modulated by 5-HTTLPR genetic variants.\(^\text{10}\) However, association studies have reported that there is no significant difference in 5-HTTLPR allele frequencies between individuals with PD and controls.\(^\text{11-14}\) A systematic review and meta-analysis also failed to provide evidence to support an association between 5-HTTLPR and PD.\(^\text{1}\)

The \(-1019C/G\) (rs6295) promoter polymorphism of the 5-HT1A gene has been found to be associated with major depression and anxiety.\(^\text{10}\) Freitag et al.\(^\text{17}\) reported that the combination of the \(-1019C/G\) (rs6295) promoter gene and the COMT gene (472GA) is associated with PD, and especially PD without agoraphobia in Caucasians. Rothe et al.\(^\text{18}\) reported a possible association between the mutated G allele of the \(-1019C/G\) 5-HT1A gene promoter polymorphism and PD with agoraphobia (\(p=0.03, n=101\)).

The complex genetic architecture of PD might include the effect of a gene×environment interaction on PD development. Potential environmental factors included stressful life events,\(^\text{19-21}\) or marital separation,\(^\text{21}\) marital status\(^\text{22}\) are associated with PD. In addition, Manuck et al.\(^\text{23}\) reported that socioeconomic status defined by levels of income, economic disadvantage, housing costs, and educational attainment also affects brain serotonergic function and psychiatric disorders. Recent gene×environment interaction studies have also provided evidence of the influence of environmental factors such as separation life events on PD development.\(^\text{24}\) It is possible that separation life events or marital status might affect not only symptoms but also therapeutic outcome in PD.

Additionally, low educational background interacts with psychiatric disorders.\(^\text{25}\) And it has been reported that smoking is associated with an increased risk of panic attacks.\(^\text{26}\) A close relationship between alcohol use and PD has been suggested and brain white matter microstructural changes are associated with alcohol use in patients with PD.\(^\text{27}\) Therefore, these factors might affect therapeutic outcome in PD.

Recent investigations have also focused on the impact of genetic polymorphisms in 5-HT-related genes on the clinical effect of SSRIs in PD patients because 5-HTT is the primary target of SSRIs. Perna et al.\(^\text{28}\) investigated the relationship between an allelic variation in the 5-HTTLPR and the clinical response to paroxetine (PAX), one of the representative SSRIs, in 92 patients with PD who completed a treatment with variable doses of PAX for 12 weeks. L/L and L/S genotypes showed a better response to PAX than did the S/S genotype (\(p<0.03\)). This result emerged in the entire sample but was related to only female patients (\(p<0.02\)). Yevtushenko et al.\(^\text{29}\) reported an association in 102 PD patients between the clinical response to SSRIs (sertraline or PAX) and the 5-HT1A receptor \(-1019C/G\) polymorphism after 6 weeks of pharmacotherapy.

Early improvement (EI) with antidepressants has been reported to predict late outcomes in major depressive disorders (MDDs). According to a meta-analysis,\(^\text{30}\) EI (\(\geq 20\%\) score reduction from baseline on the 17-item Hamilton Rating Scale for Depression [HAM-D] within 2 weeks of treatment) with antidepressant medication could predict subsequent treatment outcomes (response and remission after 4 or 8 weeks) with sensitivities of \(\geq 81\%\) and \(\geq 87\%\), respectively) in patients with MDD (Diagnostic and Statistical Manual of Mental Disorder [DSM] III-R or DSM-IV criteria). In addition, Cusin et al.\(^\text{31}\) reported that early clinical worsening is associated with a decreased likelihood of achieving remission in outpatients diagnosed with a DSM-III-R – defined major depressive episode and treated with fluoxetine (20 mg/day) for up to 12 weeks. Gene×early partial improvement (\(\geq 20\%\) score reduction from baseline on the 21-item HAM-D within 2 weeks of treatment) interaction studies have also provided evidence of the influence of the interactions between candidate genes including 5-HTTLPR, \(-1019C/G\) (rs6295), and early partial improvement and the outcome (percent change in HAM-D at 6 weeks) of treatment of SSRI and a serotonin-norepinephrine reuptake inhibitor, milnacipran.\(^\text{32}\)

The purpose of the present study was to determine whether serotonin-related genes (5-HTTLPR, \(-1019C/G\)), environmental factors, alcohol, smoking and EI affect the 12-month clinical course of PAX treatment in outpatients with PD.

**METHODS**

**Patients**

Patients were recruited to this study from July 13, 2004 to February 16, 2012. Seventy-nine unrelated Japanese patients who met the DSM fourth edition, text revision (DSM-IV-TR; American Psychiatric Association, Arlington, 2000) criteria for PD diagnosis and who were receiving...
PAX (paroxetine HCl; Paxil, GlaxoSmithKline K.K., Tokyo, Japan) were investigated.

Patients were excluded from the study for the following reasons: (1) Axis I diagnosis other than PD or MDD; (2) Axis II diagnosis; (3) severe physical illness or major laboratory test abnormalities; (4) suicide risk; (5) history of substance abuse; (6) use of antidepressants, antipsychotics, benzodiazepines, or 5-HT agonists before study entry; (7) pregnancy.

Written informed consent was obtained from each subject after a full explanation of the procedure. The Ethics Committee of Dokkyo Medical University Hospital (approval number 1948) and Mental Health Clinic SAKURA-RA (approval number 1) approved this study. PAX pharmacotherapy, evaluation of PD symptoms, and routine blood sampling tests, including blood cell count, liver function, renal function, electrolytes, urinalysis, blood sugar, and thyroid function were performed at the first hospital visit.

Treatment

For the first 4 weeks, subjects were administered PAX (10 mg/day) at bedtime. For the next 4 weeks, the clinician could increase PAX to 20 mg/day if patients could tolerate the higher dose. Two months after the start of the treatment, PAX could be increased to 40 mg/day if tolerated. Subjects were required to maintain a minimum daily dose of PAX (10 mg/day). Subjects who could not tolerate the minimum daily dose of PAX and switched to another medication were withdrawn from the study. Subjects with insomnia were prescribed brotizolam 0.25 or 0.5 mg at bedtime, and subjects were permitted to take low doses of lorazepam (<2.0 mg/day) when they experienced a panic attack.

Clinical Assessment

The Clinical Global Impression scale (CGI-S) was used by an experienced psychiatrist to assess severity and improvement of PD. The Clinical Global Impression-Severity (CGI-S) assessment, which is rated on a 7-point scale (1=normal, not at all ill; 2=borderline mentally ill; 3=mildly ill; 4=moderately ill; 5=markedly ill; 6=severely ill; 7=among the most extremely ill patients), was used to assess severity at baseline, 2 weeks, 1 month, 2 months, 3 months, 6 months, and 12 months. CGI-S scores of 1 (normal, not at all ill) and 2 (borderline mentally ill) were defined as remission, and the proportion of patients in remission was calculated. The Clinical Global Impression-Improvement (CGI-I), which is rated on a 7-point scale (1=very much improved since the initiation of treatment; 2=much improved; 3=minimally improved; 4=no change from baseline [the initiation of treatment]; 5=minimally worse; 6=much worse; 7=very much worse since the initiation of treatment), was used to assess improvement at 2 weeks, 1 month, 2 months, 3 months, 6 months, and 12 months. EI was defined as follows: a CGI-I score of 1 (very much improved), 2 (much improved), or 3 (minimally improved) at 2 weeks.

Sampling

Seven milliliters of venous blood was collected from all patients into Venoject tubes containing EDTA-Na (Terumo Japan, Tokyo, Japan). Samples were centrifuged at 3,000×g for 10 minutes, and aliquots of plasma and cell fractions were separated and frozen at −80°C until analysis. The procedure of the present study was different from that of our previous studies in that the PAX plasma concentration was not determined in this study.

Environmental Factors and Other Information

Patients were also interviewed about environmental factors such as educational background, marital status (being married or being never married and marital separation; separated, divorced, or widowed), and other information including habitual use of alcohol, smoking, and comorbid physical illnesses. The marital status was categorized in details as follows: married, never married, divorced, separated, or widowed.

Genotyping

DNA was isolated from blood cell fractions using the QIAamp Blood kit (QIAGEN, Hilden, Germany). The 5-HTTLPR genotypes (L and S alleles) were determined by polymerase chain reaction (PCR) as described by Lesch et al. and Heils et al. with minor modifications. Oligonucleotide primers flanking the 5-HTTLPR and corresponding to nucleotide positions −1416 to −1397 (LPR5; 5′-GGCGTTGCGCTCTGAATTGC) and −910 to −889 (LPR3; 5′-GAGGGACTGAGCTGGACAACC CAC) of the 5-HTT gene regulatory region were used to generate a 484-/528-bp fragment. PCR amplification was carried out in a final volume of 12.5 μl containing 20 ng genomic DNA, 0.8 mM dNTP mixture, 0.05 μg sense and antisense primers (LPR5 and LPR3), 1×PCR buffer, 1.5 mM MgCl2, 5% dimethyl sulfoxide, and 0.5 U AmpliTaq DNA polymerase (Applied Biosystems, Foster City, CA, USA). The PCR conditions consisted of 35 cycles of annealing at 60°C for 30 seconds, extension at 72°C for 1 mi-
Determinate of Treatment Outcome of Paroxetine in PD

Table 1. Characteristics of the sample

| Characteristic                          | Data          |
|----------------------------------------|---------------|
| Number of patients                     | 79            |
| Male/female                            | 39/40         |
| Age (yr)                               | 35.4±10.6     |
| Body weight (kg)                       | 58.0±11.0     |
| With/without MDD                       | 13/66         |
| With/without agoraphobia               | 63/16         |
| CGI-S at baseline                      | 4.34±0.86     |
| With/without physical illness          | 23/56         |
| Married/unmarried                      | 50/29         |
| Smoker/nonsmoker                       | 23/56         |
| Habitual user of alcohol/non-user      | 10/69         |

Data are expressed as number only or mean±standard deviation. MDD, major depressive disorder; CGI-S, the Clinical Global Impression-Severity.

RESULTS

The subjects (30 men and 49 women; age, 35.4±10.6 years; age range, 20-72 years) were psychiatric outpatients at Dokkyo Medical University Hospital (n=61) and Mental Health Clinic SAKURA-RA (n=18). The body weights of the patients ranged from 40 to 95 kg (58.0±11.0 kg). Subject characteristics are shown in Table 1. Thirteen patients had comorbid MDD. Twenty-three patients were smokers (number of cigarettes per day, 15.0±7.4; range, 5-40). Fifty subjects were married. And 23 subjects were never married, 6 subjects were unmarried with marital separation (separated=1, divorced=3, widowed=2). Regarding educational background, 46 subjects had an education level of high school graduate or less, and 33 subjects were college or university graduates. The 5-HTTLPR (L/L=3, L/S=26, S/S=50) and −1019C/G 5-HT1A (C/C=35, C/G=39, G/G=5) genotypes were determined for each subject.

Stepwise multiple regression analysis was performed to analyze the relationship between independent variables (sex, age, body weight, smoking habit, habitual use of alcohol, educational background, marital status, marital separation, comorbid physical illness, comorbid MDD, comorbid agoraphobia, 5-HTTLPR genotype, 5HT1A −1019 genotype) and the subject-dependent variable (CGI-S score at baseline). The stepwise multiple regression analysis revealed that comorbid MDD, marital status, and 5-HTTLPR genotype were significant factors, and R for the full model was 0.525, indicating that these factors accounted for 27.6% (coefficient of determination R^2=0.276) of the variability of the CGI-S score at baseline. The final model was described by the following equation (p<0.001): CGI-S score at baseline=4.028 +1.069×(without MDD=0, with MDD=1)+0.452×(unmarried=0, married=1)−0.404×(L/S and L/L=1, S/S=0).

The study flowchart is shown in Figure 1. Fifty-two patients (65.8%) achieved remission during the 12 months. Three patients worsened after they achieved remission but the other patients did not worsen after they achieved remission. According to Kaplan-Meier survival analysis,
the mean time to remission was 4.433 months (95% confidence interval [CI], 3.334-5.532) and the 50% median survival time to treatment remission was 2.000 months (95% CI, 1.638-2.362). Additionally, 43 patients (54.4%) discontinued the treatment during the 12-month study period. Kaplan-Meier survival analysis revealed that the mean time to discontinuation was 8.101 months (95% CI, 7.038-9.164) and that the 50% median survival time to treatment discontinuation was over 12 months.

At 2 weeks, six patients discontinued the treatment because of severe adverse effects (nausea=2, daytime drowsiness=2, diarrhea=1, abnormal sensation=1). Thus, 73 patients continued the treatment: 52 obtained EI (7 patients achieved remission) and 21 failed to achieve EI at 2 weeks. Multiple logistic regression analysis was performed to assess the association between independent variables (sex, smoking habit, habitual use of alcohol, educational background, marital status, marital separation, comorbid physical illness, comorbid MDD, comorbid agoraphobia, 5-HTTLPR genotype, and rs6295 genotype) and the subject-dependent variable (EI). Multiple logistic regression analysis revealed that smoking habit had a significantly negative correlation with EI ($p=0.010$). Smoking was a risk factor for failure to achieve EI, with an odds ratio to EI of 0.16 (95% CI, 0.041-0.647).

Cox proportional hazards regression was performed to investigate the significant predictive factors of remission and discontinuation among covariates: sex, smoking habit, habitual use of alcohol, educational background, marital status, marital separation, comorbid physical illness, comorbid MDD, comorbid agoraphobia, 5-HTTLPR genotype, and EI. EI was only a significant predictive factor of remission (Table 2, Fig. 2). EI group was 2.7 times more likely to get remission than non-EI
group (hazard ratio [HR], 2.709; 95% CI, 1.177-6.235).

Otherwise, EI and marital status were significant predictive factors of discontinuation (Table 3). EI (HR, 0.266; 95% CI, 0.115-0.617) and being married (HR, 0.437; 95% CI, 0.204-0.939) were considered to reduce the risk of discontinuation of the treatment (Figs. 3, 4). In married subjects, Subjects with EI were 0.16 times more likely to get discontinuation of the treatment than Subjects without EI ($p=0.004$, HR, 0.160; 95% CI, 0.045-0.565). However, in unmarried subjects, Subjects with EI were not significantly more likely to get discontinuation of the treatment than Subjects without EI ($p=0.149$, HR, 0.363; 95% CI, 0.092-1.439).

In the EI group, 13 patients discontinued the treatment after they achieved remission. In the non-EI group, 3 patients did so.

**Table 3.** Cox proportional hazards regression to investigate factors predicting discontinuation.

| Covariates                                    | Coefficient | SE  | Wald  | p value | HR     | 95% CI       |
|-----------------------------------------------|-------------|-----|-------|---------|--------|--------------|
| Sex                                           | −0.443      | 0.405| 1.194 | 0.274   | 0.642  | 0.290-1.421  |
| Educational background                        | 0.636       | 0.387| 2.694 | 0.101   | 1.888  | 0.884-4.033  |
| Marriage                                      | 0.827       | 0.390| 4.501 | 0.034*  | 0.437  | 0.204-0.939  |
| Marital separation                            | 0.614       | 0.709| 0.751 | 0.386   | 1.848  | 0.461-7.413  |
| With agoraphobia                              | −0.064      | 0.423| 0.023 | 0.879   | 0.938  | 0.409-2.149  |
| Comorbid major depressive disorder            | 0.486       | 0.519| 0.874 | 0.350   | 1.625  | 0.587-4.497  |
| Comorbid physical illness                     | −0.299      | 0.382| 0.616 | 0.433   | 0.741  | 0.351-1.566  |
| Habitual use of alcohol                       | 0.847       | 0.538| 2.476 | 0.116   | 2.333  | 0.812-6.700  |
| Smoking habit                                 | 0.255       | 0.456| 0.313 | 0.576   | 1.291  | 0.528-3.153  |
| S-HTLPR L/L, L/S genotypes                   | 0.086       | 0.420| 0.042 | 0.838   | 1.090  | 0.478-2.485  |
| S-HT1A rs 6295 C/C genotype                   | 0.133       | 0.370| 0.128 | 0.720   | 1.142  | 0.553-2.360  |
| Early improvement                             | −1.324      | 0.429| 9.527 | 0.002*  | 0.066  | 0.115-0.617  |

SE, standard error; HR, hazard ratio; 95% CI, 95% confidence interval; L, long; S, short.

*p<0.05.


DISCUSSION

Yevtushenko et al. reported a significant association of the 5-HT1A receptor −1019C/G polymorphism with the clinical response to SSRIs (sertraline or PAX) after 6 weeks of pharmacotherapy in 102 PD patients. In their study, 20 subjects of the G/G genotype showing minimal changes in panic attack frequency with a relative risk of no response of 4.73. This association was also found for each drug independently. Moreover, in their study, no association of the 5-HTTLPR polymorphism with treatment response in the latter phase was observed, which is also in agreement with the results of our previous study. However, in the present study, both of 5-HTTLPR and 5-HT1A receptor −1019C/G polymorphisms were not associated with 12 months outcome of PAX treatment in PD.

This study is the first research that reported potential effect of EI by antidepressant to long-time outcome in PD. In MDDs, EI with antidepressants predicts later outcomes. Additionally, EI at 1 week also predicts the response at 12 weeks to escitalopram, one of the SSRIs used for social anxiety disorder. In the present study, EI could predict not only remission, but also discontinuation of PAX treatment in PD patients. The current results suggest a connection between EI and later therapeutic results that might be used to guide personalized medicine. In our results, being unmarried was also a risk factor for treatment discontinuation. Unmarried outpatients with PD might be less conservative and discontinue the treatment more easily than married outpatients.

Some patients discontinued the treatment after they achieved remission in the present study. Discontinuation of antidepressants within 12 months from initiation is thought to increase the risk of relapse in PD, and continuing SSRIs pharmacotherapy for at least 1 year was also recommended. At this point, PD patients who discontinue SSRIs within 1 year must be observed carefully. Other factors such as sex, comorbid agoraphobia, comorbid physical illness, marital separation, alcohol, smoking did not determine 12 months therapeutic results in the present study.

There are several limitations in this study. The main limitation is that only two polymorphisms were assessed. Because PD is considered to be a polygenic disorder, it is expected that other genes such as noradrenaline-related genes might contribute to PD pathogenesis and affect the clinical outcome of pharmacotherapy. Additionally, CGI scale is not a specified assessment scale for PD, unlike the Panic and Agoraphobia observer-rated Scale. However, it is an established research rating tool for psychiatric disorders that can be used by the practicing clinician to assess clinical severity and treatment effectiveness and that is the reason why it is used as main outcome in the present study and in previous researches of pharmacotherapy in PD. Furthermore, the plasma concentration of PAX was not determined in this study. Therefore, the effects of PAX pharmacokinetics or non-adherence were not assessed. However, determination of the plasma concentration of PAX is not covered by the National Health Insurance of Japan. Finally, small sample size of this study is also a limitation.

In conclusion, EI achievement appears to be a determinant of remission in PAX treatment of PD outpatients. In married PD outpatients, EI achievement also appears to reduce a risk of discontinuation of PAX treatment. However, in unmarried outpatients, this effect of EI is not recognized.

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