On the relationship between aspect sensitivity, wave activity, and multiple scattering centers of mesosphere summer echoes: a case study using coherent radar imaging

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Abstract. A mesosphere-summer-echo layer, observed by the OSWIN VHF radar (54.1° N, 11.8° E) with vertical and 7° oblique radar beams, was examined using the method of coherent radar imaging (CRI). We disclosed the echo events having multiple scattering centers (MSC) in the radar volume by means of the high angular resolution of the CRI technique and found that the MSC events occurred more frequently in the upper portion of the echo layer. More examinations showed that the characteristics were different between the upper and lower portions of the layer. For example, the differences in echo power between vertical and oblique beams changed mostly from positive to negative along the increase of altitude, and strong turbulent echoes were seen in the upper portion of the layer. These observations indicate that the aspect sensitivity of the echoes became less and less with the increase of altitude. Moreover, the scattering centers of the echoes were close to zenith for the lower portion of the layer but were usually several degrees from the zenith for the upper portion of the layer. Observable wave-like variation in the scattering center was also seen in the upper part of the layer. Based on these features, we drew some conclusions for this case study: (a) the MSC events might result from the slanted layer/anisotropic structure tilted by short-wave activities, (b) the tilt angle of the layer structure could be 6°–10°, causing the echo power received by the 7° oblique beam was larger than or comparable to that received by the vertical beam, and (c) short-wave activities not only tilted the layer structure, but also induced isotropic irregularities.

Key words. Meteorology and atmospheric dynamics (middle atmosphere dynamics). Radio science (interferometry; instruments and techniques)

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1 Introduction

Polar mesosphere summer echoes (PMSE, termed by Röttger et al., 1988) are intense radar echoes that occur only during the summer period (from the mid-May to the mid-August) near the height of mesopause in the polar region (Ecklund and Balsley, 1981). Similar radar echoes can also be seen at mid latitude (termed as MSE) (e.g. Czechowsky et al., 1979; Reid et al., 1989), which are sparser than the PMSE. Both PMSE and MSE were observed mainly at VHF band and at the Northern Hemisphere, although Woodman et al. (1999) showed the first observation in Antarctica. Inhomogeneity in electron density is commonly believed to be the cause of the PMSE/MSE, which are related to the charged particles and extremely low temperature in mesopause region. Reviews of the PMSE/MSE can be found in some articles (e.g. Cho and Kelley, 1993; Cho and Röttger, 1997).

Among the PMSE/MSE investigations, many studies revealed the characteristics of aspect sensitivity of the scattering process (e.g. Czechowsky et al., 1988; Thomas et al., 1992; Thomas and Astin, 1994; Czechowsky and Rüster, 1997; Hooper and Thomas, 1997; Huaman and Balsley, 1998; Latteck et al., 1999; Zecha et al., 2001, 2003; Chilson et al., 2002). The so-called aspect sensitivity indicates the dependency of echo power on the pointing direction of radar beam. In general, VHF-radar echo power of the atmosphere decreases with the increase in the zenith angle of the radar beam (Gage and Green, 1978; Röttger and Vincent, 1978; Fukao et al., 1980). Strong aspect sensitivity is thought to be related to highly anisotropic irregularities and so suggests enhanced echo mechanism of Fresnel reflection/scattering. Conversely, an isotropic-turbulent scattering results in no aspect sensitivity. In the previous PMSE/MSE observations using VHF radar, aspect sensitivity was found to change both in altitude and time but more enhanced aspect sensitivity usually occurred in the lower part of the echo layer (Thomas and Astin, 1994; Huaman and Balsley, 1998; Zecha et al., 2003) or the lower section of a double layer (Cho and Kelley, 1993). With MF radar, the radar echo between
The height range of 70 km and 90 km also showed a dependency of aspect sensitivity on altitude, in which larger aspect sensitivity was observed in the lower range (Lesicar et al., 1994; Vandepeer and Reid, 1995). These results have encouraged further investigation of aspect sensitivity at mesospheric height by means of various methods. For example, Chilson et al. (2002) measured the aspect sensitivity of the PMSE using the technique of coherent radar imaging (CRI) and showed the same height-dependent aspect sensitivity and its relationship with wave dynamics.

The CRI is an improved technique of spatial domain radar interferometry (RI or SDI). With multiple receivers (more than three) and sophisticated algorithms, the CRI can resolve multiple scattering centers in the radar scattering volume, which is not possible to achieve just using three receiving channels and traditional procedures of radar interferometry. The information of multiple scattering centers can be of great use to understand the scattering mechanisms and dynamics of some phenomena, such as the PMSE in the atmosphere (Yu et al., 2001). In this study, we were interested in finding the relationship between aspect sensitivity, wave activity, and multiple scattering centers for the PMSE/MSE. An MSE layer, which was observed by the OSWIN VHF radar on 15 July 2000, was examined in the study. More examinations are expected in the future. Radar facility and CRI technique are described briefly in Sect. 2. Section 3 shows the observational results. Discussion and conclusions are given in Sects. 4 and 5, respectively.

2 Radar facility and CRI technique

2.1 OSWIN VHF radar

The OSWIN VHF Radar (54.1° N, 11.8° E), operated at a central frequency of 53.5 MHz, is located near the small town of Kühlungsborn on the northern coast of Germany. The antenna array consists of 144 four-element Yagi antennas ordered in a squared grid (12 × 12) and can be divided into six subarrays for transmission and reception (Fig. 1). Six transmitting/receiving channels are linked to the six subarrays. The transmitting half-power beam width is 6°. Both the spaced antenna (SA) and Doppler beam swinging (DBS) operations are available. In the SA operation, the radar beam is vertical and each subarray consists of 4 × 6 Yagis. On the other hand, the array for the DBS operation is divided into six sections in north-south (12 × 2 Yagis for each) or east-west (2 × 12 Yagis for each) directions, which are distinguished into COL (column) and ROW (row) modes, respectively. Moreover, the radar beam used for the DBS operation can be steered to east and west in off-zenith angles of 7°, 14°, and 21° (ROW mode), and to north and south in off-zenith angles of 7°, 14° and 21° (COL mode). However, only the 7° oblique beams were used for the DBS operation in the MSE observation carried out in 2000. Other detailed information of OSWIN VHF radar can be found in the website http://www.iap-kborn.de. Table 1 lists some important radar parameters for the data used in the study. Notice that the SA and DBS observations were carried out alternatively in the experiment. The time duration of the whole cycle (SA, and DBS mode for different directions) was about 2 min.

Table 1. Radar parameters used in observation.

| Parameter                  | Value         |
|----------------------------|---------------|
| Sampling resolution        | 300 m         |
| Coherent integration       | 5             |
| Sampling time              | ~0.015 s      |
| Range height               | 74 km–98 km   |
| Acquisition sequence       | SA, COL (vertical, north, south); ROW (vertical, east, west); 7° off-zenith for oblique beam; ~16 s for each operational mode |

Fig. 2. Two maps of brightness distribution resulted from the CRI-Capon’s method.
Fig. 3. Upper panel: height-time intensity plot of signal-to-noise ratio (SNR) of the MSE layer, with a 2-s time resolution (128 points) and for the SA data. Asterisks show the locations of the multiple-scattering-center events. Lower panel: histograms of SNR for all MSE echoes (solid line) and for MSC events (dashed line).

2.2 Coherent Radar Imaging (CRI)

The CRI technique was applied to the atmosphere in the early 1990 (Kudeki and Sürucü, 1991). Some sophisticated algorithms, such as maximum entropy (Hysell, 1996; Hysell and Woodman, 1997), Capon’s method (e.g. Palmer et al., 1998), MUSIC (Multiple-Signal Classification) algorithm (Héral et al., 2001), and Gaussian-blob fitting (Chau and Woodman, 2001), have demonstrated the superior ability of the CRI technique in revealing the structure of scattering media in the radar volume. Excellent descriptions/reviews of the CRI can be found in many papers (e.g. Yu et al., 2000; Chau and Woodman, 2001; and Héral et al., 2001). In brief, the purpose of the CRI is to find the average signal power density (termed as brightness distribution) as a function of angle and Doppler shift from the signals received by spatially separated receivers. To this end, an appropriate weighting function vector is introduced onto the signals received by different receivers to form a synthesized beam. By changing the scanning direction in the weighting function vector, the angular direction of the synthesized beam varies as well. Among the above high-resolution algorithms, Capon’s method (Capon, 1969) was demonstrated to consume less time but still result in excellent brightness function (Yu et al., 2000). In view of this, Capon’s method was utilized in this study. Mathematically, Capon’s method is obtained from the optimally constrained approach; for the details of this method, see Palmer et al. (1998). The final equation for estimating the brightness value is

$$ B(k, f) = \frac{1}{e^{\mathbf{V}^{-1}\mathbf{e}}} $$

where $B$ is the brightness function, $k$ is the wave number vector in the direction where the radar beam is steered, $k = (2\pi/\lambda)[\sin \theta \sin \varphi \ \sin \theta \cos \varphi \ \cos \theta]$, $\lambda$ is the radar wavelength and $\theta$ and $\varphi$ are, respectively, the zenith and azimuth angles of the steered radar beam, $f$ is the Doppler frequency, the symbols of $+$ and $-$1 represent Hermitian operator and inverse of matrix, respectively. Matrices $\mathbf{e}$ and $\mathbf{V}$ have the following forms:

$$\mathbf{e} = [e^{i k \cdot D_1} e^{i k \cdot D_2} ... e^{i k \cdot D_n}]^T,$$
\[
V(f) = \begin{bmatrix}
V_{11}(f) & V_{12}(f) & \ldots & V_{1n}(f) \\
V_{21}(f) & V_{22}(f) & \ldots & V_{2n}(f) \\
\vdots & \vdots & \ddots & \vdots \\
V_{n1}(f) & V_{n2}(f) & \ldots & V_{nn}(f)
\end{bmatrix},
\]

where \(D\) is the position vector of receiver antenna, upper index \(T\) is the transpose operator, \(V\) is a visibility matrix defined by the visibility function \(V_{ij}\), where \(V_{ij}\) is the cross-correlation function of the signals received by receivers \(i\) and \(j\). Notice that (1) and (2) deal with the brightness for each Doppler frequency. We can also estimate the brightness distribution with the following equation

\[
B(k) = \frac{1}{e^{+R^{-1}e}},
\]

where \(R\) is the matrix having the similar form as (2) but is composed of the cross-correlation functions of signals in time domain. No matter whether \(R\) or \(V\) is used, only the cross-correlation value at zero time lag is needed; (3) was employed in this study.

Once the brightness distribution as the function of angle is obtained, a two-dimensional Gaussian function can be used to fit the brightness distribution to find the mean angle of arrival of the echoes. We can also obtain the width of the brightness distribution by means of the deviation of the fitted Gaussian function. However, such fitting usually fails for the situation of multiple scattering centers. This shortcoming, nevertheless, becomes beneficial for the examination of single-scattering-center event, due to the exclusion of the situation of multiple scattering centers in the fitting. To find the situation of multiple scattering centers, we used another approach that is presented in the next section.

In using (1)–(3), one should consider the system phase difference between the receivers. Deviation in angle of arrival could result from such phase difference and therefore, many methods have been applied to the phase calibration (e.g., Chen et al., 2002). We carried out the phase calibration by simply injecting a common signal to the receivers and measuring the phase differences between the outputs. The measured phase differences were then taken into account in our CRI analysis.
3 Observational results

3.1 Multiple-scattering-center event (MSC)

The procedure using a two-dimensional Gaussian function to fit the brightness distribution is obviously not available to find the multiple-scattering-center event (MSC). Chau and Woodman (2001) implemented a fitting technique using a number of anisotropic Gaussian blobs. Nevertheless, a direct investigation of the map of brightness distribution is no doubt a more reliable manner for our present study. Moreover, the grating pattern, which depends on the configuration and baseline length of receiver antennas (Yu et al., 2000), should be recognized in determining the number of scattering centers from the brightness map. Figure 2 exhibits two typical examples of brightness maps. The right panel of Fig. 2 shows a grating pattern with nine brightness centers within the angular region of $\pm 20^\circ$, in which the central one is the true scattering center. The other case shown in the left panel of Fig. 2 is a two-blob pattern that repeats at different angular locations. Obviously, this is an MSC event (two scattering centers). In this way, we are able to find the MSC event. We implemented the above investigation for the data of SA, vertical COL and ROW modes. Figure 3 presents the height-time intensity of signal-to-noise ratio (SNR) of the MSE layer observed on 15 July 2000 by SA mode (upper panel). Also shown in the intensity plot are the MSC events (indicated with asterisks). Time resolution was about 2 s (128 data points for an estimation) and only the data with SNR larger than 0 dB were accepted. The lower panel of Fig. 3 is the histogram of SNR. At a glance of the locations of MSC events, one observes that the MSC events occurred through the echo layer but were more frequent in the upper portion. Moreover, the histogram of SNR indicates that the MSC events arose mostly at low SNR. Figure 4 presents the same data set but with a time resolution of about 16 s (1024 data points). We can see now from Fig. 4 that the MSC events are located mainly in the upper portion. Notice that the MSC events occurring around the time of 11:30 UT might be related to meteor or interference signal, according to the height-time intensity of radar echo (not shown). To verify the MSC events seen in Fig. 4, we examined again the data sets collected by vertical ROW and COL modes. Because the six receiving subarrays are aligned in the east-west direction for ROW mode and in the north-south direction for COL mode, we can see only one-dimensional brightness
distribution. Nevertheless, the angular resolution in the resolvable dimension is higher than that of SA mode. Figure 5 shows the result of ROW mode. As seen, the occurrences of MSC events were similar to those shown in Fig. 4. For the COL-mode data, same characteristic was also observed (not shown). Since the three observational modes show the same results, the MSC events observed were likely true. As for the differences between the occurrences of MSC events for short and long integration times, it will be discussed in Sect. 4.

3.2 Aspect sensitivity

There have been many methods that can examine the aspect sensitivity of atmospheric irregularities, for example, comparison between echo power and spectrum width, and comparison between echo powers of vertical and oblique beams. Note that the latter method was applied to disclose the height-dependent aspect sensitivity of the PMSE/MSE (e.g. Czechowsky et al., 1988; Huaman and Balsley, 1998). Although the two methods are simple, they can give a sketch of aspect sensitivity of the refractivity irregularities.

3.2.1 Comparison between echo power and spectrum width

Atmospheric stability can be examined by the spectrum width of radar echoes because the spectrum width contains the contribution from turbulence. The radar echoes scattered/reflected from a stable atmosphere are aspect sensitive and usually have narrow spectrum width. For such radar echoes, an inverse relationship between echo power and spectrum width is typically seen. Conversely, a turbulent atmosphere results in no aspect sensitivity and incurs a direct correlation between echo power and spectrum width. It should be noted that factors other than the random motion of the scatterers (turbulence) can also contribute to the observed spectrum width, for example, waves, wind shear, and radar beam width (Hocking, 1983; Nastrom and Eaton, 1997). However, radar beam width is usually much important than others. In this study we removed the contribution of radar beam width from the spectrum width according to the horizontal wind field estimated. The above two relationships between echo power and spectrum width have been observed many a time for the PMSE (e.g. Röttger and Lahoz, 1990; Hoppe et al., 1990; Thomas et al., 1992). In the
Fig. 7. Histograms of echo power differences between the vertical and northern beams (left panel), the vertical and southern beams (middle panel), and the northern and southern beams (right panel), respectively, for the data set shown in Fig. 3.

Literature, Blix (1999) categorized the two relationships as type I (direct correlation) and type II (inverse relationship), respectively. We continue using this classification in this paper. Figure 6 shows the scatter diagram of echo power versus spectrum width for each range gate. As seen, the scatter feature varied with height. In the upper region, both types I and II can be seen clearly. In contrast, it is difficult to categorize the relationship between 84.2 km and 85.4 km due to an intermixture of the two types of relationships. In the region below 84.2 km, however, the type II relationship was dominant. Based on these characteristics, we can recognize that aspect sensitivity was indeed enhanced in the lower portion of the echo layer, but became less and less with the increase of height.
Fig. 8. Height-time variations of the off-zenith angles for the single-scattering-center events, observed by the SA mode. Time resolution is 16 s. The two numbers at the right end of the first gate indicate the angle range in degree.
3.2.2 Comparison between the echo powers of vertical and oblique beams

The three panels in Fig. 7 display the histograms of the echo power differences between the vertical and northern beams, the vertical and southern beams, and the northern and southern beams, respectively, for each sampling gate. One can observe from the left two panels that the vertical echo powers were mostly larger than the oblique ones in the lower height but were smaller than those in the upper height, in which the height \( \approx 86.6 \text{ km} \) can be the division. This feature indicates dissimilar echo structures between lower and upper portions of the echo layer. On the other hand, the histograms of the echo power difference between the northern and southern beams for each sampling gate, as shown in the right panel, were almost symmetric to zero value. This suggests that the echo structures seen by the two oblique beams, which were tilted symmetrically in the northern and southern directions, were similar. The feature that the predominance of vertical echo power decreases with height indicates that aspect sensitivity was enhanced in the lower portion of the echo layer and decreased with height. Note that this height-dependent characteristic of aspect sensitivity is in agreement with the mean behaviour of aspect sensitivity obtained from three-season data (Zecha et al., 2003). An interesting phenomenon in Fig. 7 is that the vertical echo powers were smaller than the oblique ones for the upper portion of the layer, which cannot be explained by pure isotropic structure. We will discuss this in more detail in the next section.

Same comparisons as Fig. 7 were also made for the vertical and eastern beams, vertical and western beam, and eastern and western beams. The histograms (not shown) are similar to those of Fig. 7.

4 Discussion

The MSC events in the lower portion of the echo layer shown in Fig. 3 disappeared mostly when a longer integration time was used (as shown in Fig. 4). This feature can be explained by the fact that the scattering center in a long integration time is usually the average result of the echoes scattered/reflected from the whole irregular structure, while individual scattering points in the irregular structure may be observed within a short time period. In contrast, the MSC events in the upper portion of the echo layer occurred almost continuously in the time domain, even though the integration time of estimation changed from 2 s (Fig. 3) to 16 s (Fig. 4), which points out a remarkable MSC structure. The interest is now turned to the cause of this MSC structure.

As mentioned, the vertical echo powers were mostly smaller than the oblique ones in the height range with many MSC events. This feature is difficult to explain with a pure isotropic structure. However, a tilted structure (layer or anisotropic irregularity) could be one potential cause. If the tilt angle of the structure is close to the off-zenith angle of the oblique beam, it is possible for the oblique beam to receive a stronger echo than the vertical beam. The question arises as to which mechanism tilts the echo structure. Wave activity, which can tilt the layer/anisotropic irregularity, can be a candidate. Because the off-zenith angle of the oblique beam is \( 7^\circ \), the tilt angle of the layer/anisotropic irregularity shall also be several degrees. This means the waves with short-horizontal wavelengths and observable amplitudes are preferable to cause such a tilted structure. Yu et al. (2001) made a CRI simulation and demonstrated that short-wave activities, say, with a 20 km horizontal wavelength and 200 m amplitude, can cause the MSC event. Our observation is in agreement with such a simulation result.

A survey of the scattering center can further indicate the tilt angle of the echo structure. Nevertheless, we should keep in mind that the estimated scattering center might not be the exact central location of the scattering structure because of the effects of aspect sensitivity, radar beam weighting, and so forth. The patterns of aspect sensitivity and vertical radar beam can be reasonably assumed to be Gaussian forms and center on the vertical. Moreover, the refractive scatterers in the scattering structure are also usually described as a Gaussian distribution. Based on these approximations and thus subjected to the weighting effects of the Gaussian patterns of aspect sensitivity and radar beam, the angular location of the “true” scattering center should be slightly larger than that of the CRI-estimated one and besides, the difference between the “true” and “estimated” scattering centers increases with the off-zenith location of the scattering structure. Because of the lack of true patterns for aspect sensitivity and scattering structure, it is in fact difficult to obtain the precise angular position of the scattering structure. In spite of this difficulty, the estimated scattering center should not be very far away from the true one and thus can indicate coarse variation of the angle of arrival. Figure 8 shows a height-time plot of scattering center for the SA-mode data, in which a two-dimensional Gaussian function was used to fit the brightness distribution.
and then resulted in the scattering center. Notice that such a fitting neglects the MSC events. As seen in Fig. 8, the scattering centers at the upper edge of the echo layer had larger off-zenith angles than others in the lower part of the layer and furthermore, varied violently. Also observed was the wave-like variation of the scattering center occurring just below the upper edge. The period of variation was about tens of minutes. Based on these observations, the echoes in the upper portion of the MSE layer seem to come from the direction of a fairly large off-zenith angle, and such a result could be closely related to the short-wave activities.

To find more information about the echo structure, we also examined the MSC events. For the data observed by 16 s SA mode, the two-scattering-center event was only found. However, the cases with three and four scattering centers were often seen in the data of ROW and COL modes. This difference should be due to the coarser spatial resolution of the SA mode \((2 \times 3\) configuration) than the ROW/COL mode \((6 \times 1\) or \(1 \times 6\) configuration). However, the SA-mode data were used here because they can provide two-dimensional angle of scattering center. We measured the off-zenith angle of scattering center directly from the map of brightness distribution (as those shown in Fig. 2). A resultant histogram of scattering centers is shown in Fig. 9. Amazingly, the off-zenith angles were grouped into two locations: one was close to the zenith and the other was around \(8^\circ\) far away the zenith. Although not very precise, the \(8^\circ\) group suggests that the tilt angle of the echo structure may be close to the pointing direction of the oblique beam \((7^\circ\) off zenith), causing the stronger echo received by the oblique beam while the weaker echo is received by the vertical beam. We can perform a simple estimation, as follows, to validate the large tilt angle of the layer caused by short-wave activities. Inspection of Fig. 8 found that in the upper portion the period of variation in the scattering center was about tens of minutes. This indicates that the oscillation period of the wave motion was also about tens of minutes. Inspecting the vertical velocity field (not shown) of the present data set, the vertical motions with several meters per second were often found, which are in agreement with the observations published in the literature (e.g. Hoppe and Fritts, 1995; Fritts and Hoppe, 1995). By assuming a wave motion with a 20-min oscillation period and 4-m/s vertical velocity amplitude, one can estimate a vertical excursion \(\approx 1.5\) km by integrating \(4 \sin(\pi t/600)\) from \(t = 0\) to \(600\) s \((600\) s is the half period of the wave motion). Assuming that the wave motion has a horizontal wavelength of 20 km, then the tilt layer caused by the wave motion will have a tilt angle of \(\tan^{-1}(1.5\) km/10 km) or approximately \(8.5^\circ\). This comes out in rather good agreement with Fig. 9.

Finally, after the observation that the MSC events come with the coexistence of the echoes from isotropic and highly anisotropic irregularities, it is natural to draw a conclusion for this case that short-wave activities not only tilted the echo structures but also caused turbulent irregularities.

5 Conclusions

In this study the multiple-scattering-center (MSC) events were examined using the technique of coherent radar imaging (CRI). In the case study, many MSC events were found to occur in the upper portion of the MSE layer. In the upper region it was also found that (1) both echoes from isotropic and anisotropic irregularities coexisted, (2) the echoes often came from the direction of a large off-zenith angle, (3) the echo power of vertical beam was usually smaller than that of oblique beam, and (4) there were observable short-wave activities. These features indicate that (a) the MSC events might result from the slanted layer/anisotropic structures tilted by short-wave activities, (b) the tilt angle of the layer/anisotropic structure might be close to the off-zenith angle \((7^\circ)\) of the oblique beam, causing the echo power received by the oblique beam to be larger than or comparable to that received by the vertical beam, (c) short-wave activities not only tilted the layer/anisotropic structures but also induced isotropic irregularities. Conclusions (b) and (c) can explain the characteristic of less aspect sensitivity in the upper portion of the echo layer.

More investigations of the MSE/PMSE using the CRI technique are worth carrying out in the future, which will verify the results presented in this paper. The examination can focus on the MSC events and their relationship to the echo structures associated with short-wave activities.

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