Cavity cooling of a trapped atom using electromagnetically induced transparency

Marc Bienert$^{1,3}$ and Giovanna Morigi$^{1,2}$

$^1$ AG Theoretische Quantenphysik, Theoretische Physik, Universitéit des Saarlandes, D-66041 Saarbrücken, Germany
$^2$ Departament de Fisica, Universitat Autonoma de Barcelona, E-08193 Bellaterra, Spain
E-mail: marc.bienert@physik.uni-saarland.de

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**Abstract.** A cooling scheme for trapped atoms is proposed, which combines cavity-enhanced scattering and electromagnetically induced transparency. The cooling dynamics exploits a three-photon resonance, which combines laser and cavity excitations. It is shown that relatively fast ground-state cooling can be achieved in the Lamb–Dicke regime and for large cooperativity. Efficient ground-state cooling is found for parameters of ongoing experiments.

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$^3$ Author to whom any correspondence should be addressed.
1. Introduction

Control of the quantum dynamics of physical objects is a prerequisite for quantum technological applications. One important requirement is high-fidelity quantum state preparation. This often relies on cooling the physical system of interest to sufficiently low temperatures, such that a single quantum state, the ground state, is occupied with probability approaching unity. Laser cooling constitutes in this respect a successful technique, which is routinely used in the preparation stage of experiments with atoms and ions [1, 2]. Furthermore, the various laser-cooling concepts and schemes that have been proposed and partly tested over the years are being considered for cooling molecules [3] and more complex objects, such as, for instance, phononic modes of micromechanical resonators to ultralow temperatures [4] and condensed-phase systems [5, 6].

The idea at the basis of laser cooling of trapped particles is to enhance scattering processes leading to a net transfer of the oscillator mechanical energy to the electromagnetic-field modes. Ground-state cooling of a harmonically trapped particle, in particular, relies on a strong enhancement of the scattering processes that cool the oscillator, over the ones that heat the motion. Several ground-state cooling techniques have been discussed that apply this basic concept in various ways [2, 7–9]. One scheme that is relevant to the study carried out in this work is known in the literature as electromagnetically induced transparency (EIT) cooling [10], and it uses coherent population trapping [10, 11] due to quantum interference between laser-driven electronic transitions, in order to tailor the scattering cross section of the atoms to pursue
ground-state cooling [12, 13]. The EIT cooling scheme extends the basic concepts of velocity-selective coherent population trapping for free atoms [14, 15] to trapped atoms and has been experimentally demonstrated in [16–18]. Further studies can also be found in [19–21].

A further tool that is being increasingly considered in order to enhance the scattering processes leading to the trapping and cooling of atoms is the strong coupling of one or more atomic transitions to a high-finesse optical resonator [22–30]. For such a system it has been predicted that quantum interference effects can emerge from the quantized nature of the cavity mode [31, 32]. When, in addition, the field is interfaced with the atomic vibration in a trap via the mechanical action of light, further interference effects can emerge that can increase the cooling efficiency [33, 34]. The most recent observation of cavity-induced EIT [35, 36], and of mechanical effects associated with it [37, 38], leads to the natural question of whether and how EIT and cavity quantum electrodynamics can concur together to provide novel tools for control of the mechanical effects of atom–photon interactions.

In this paper, we present a theoretical study of the mechanical effects of light on a trapped atom in a setup that supports cavity-induced EIT. We show that the combination of EIT and cavity quantum electrodynamics can give rise to a cooling mechanism, which for an accurate choice of parameters allows one to prepare the atoms in the ground state of the potential with a probability approaching unity. Remarkably, high efficiencies are found for the parameters of the experimental setup reported in [37]. We show that the cooling dynamics can often be explained by means of a three-photon resonance [39–42], which involves cavity and laser photons. For certain parameter choices cooling results from interference in the mechanical effects of the atomic interaction with the cavity and laser fields.

This paper is organized as follows. In section 2 the physical system and the theoretical model are introduced. The assumptions are discussed, which are at the basis of the theoretical treatment in this paper. In section 3 the dark resonances and dressed states of the Hamiltonian for the electronic and cavity levels are reported, and the cavity and atom excitation spectra are discussed. In section 4 the basic equations of cooling are derived, and in section 5 the corresponding predictions are reported for experimental parameters based on [37]. The conclusions are drawn in section 6, and in the appendices the details of the calculations in sections 2–4 are presented.

2. The theoretical model

A single atom of mass $M$ is confined inside an optical resonator by an external harmonic trapping potential and is irradiated by a laser field, while the cavity is pumped by a second laser field at strength $\Omega_p$. Two atomic dipolar transitions couple to the laser and the cavity mode, respectively, and share the same excited state, forming a $\Lambda$-shaped configuration of levels. The atomic center-of-mass motion is treated in one dimension along the $x$-axis. Although the one-dimensional treatment seems to be a strong restriction, in the Lamb–Dicke regime that we will assume here, the rate equations for the cooling dynamics can be split into three independent sets of rate equation, one for each direction of motion. Thus, in this limit each spatial dimension can be treated separately [43]. Figure 1 illustrates the setup and the level configuration, highlighting the geometry of the laser beam and the cavity axis with respect to the axis of the motion.

In the following, we report some of the relevant parameters and introduce the basic notation. The atomic level configuration is reported in figure 1(b). The atomic levels are denoted by the stable states $|g_1\rangle$ and $|g_2\rangle$ which are coupled by a dipolar transition with moments $\vec{\mu}_1$ and $\vec{\mu}_2$, respectively, to the common excited state $|e\rangle$. We denote the level frequencies by $\omega_1$, $\omega_2$.
Figure 1. (a) The setup of the system. An atom is confined inside an optical resonator by a harmonic trap with frequency $\nu$. The atom is transversally driven by a laser at the Rabi frequency $\Omega_L$ and couples to the cavity mode with the vacuum Rabi frequency $g$. The cavity mode is pumped by a laser (coupling strength $\Omega_P$) and decays with rate $\kappa$. $\phi_L$ ($\phi_C$) denotes the angle between the axis of the motion and the laser (cavity) wave vectors. (b) Relevant electronic transitions. The transverse laser (cavity mode) drives the transition $|g_1\rangle \rightarrow |e\rangle$ ($|g_2\rangle \rightarrow |e\rangle$). The excited state $|e\rangle$ decays spontaneously into the stable states $|g_1\rangle$ and $|g_2\rangle$ with rates $\gamma_1$ and $\gamma_2$, respectively.

The atomic center-of-mass motion is confined by a harmonic potential of frequency $\nu$ which is independent of the electronic state of the atoms. This situation can be realized when the single particle is an ion in a Paul or Penning trap [44], or a neutral atom when the confining potential is a dipole trap under specific conditions (such as the magic wavelength) [45, 46]. The geometry of the setup is fixed by the angles $\phi_L$ and $\phi_C$ which give the orientations of the laser and cavity wave vectors with respect to the axis of motion; see figure 1.

The dynamics of the system include the mechanical coupling of the atom with the electronic transition via absorption and/or emission of photons. Moreover, it describes the

$^4$ The assumption of a closed configuration is not necessary if an appropriate pumping scheme can be used.
coupling of the cavity mode with the longitudinal modes of the electromagnetic field by the finite transmittance of the mirrors. Correspondingly, the Hamiltonian \( \mathcal{H} \) governs the dynamics in the Hilbert space of the degrees of freedom of the atom, the cavity mode and external modes of the electromagnetic field. It can be decomposed into the sum

\[ \mathcal{H} = H + W_p + W_\kappa + H_{\text{emf}}. \]  

(1)

Here, \( H \) is the Hamiltonian for the dynamics of the system, composed of the cavity mode, electronic bound states and center-of-mass motion of the atom, and contains the coupling with the lasers, which are treated as classical fields. The term \( H_{\text{emf}} \) describes the Hamiltonian of the modes of the electromagnetic field external to the resonator. The coupling between the atomic dipole transitions and these modes is given by \( W_p \), which is responsible for the radiative instability of excited state \(|\epsilon\rangle\) at rate \( \gamma \). The longitudinal modes of the external electromagnetic field couple to the cavity mode via the finite mirror transmittance. This coupling is incorporated by the term \( W_\kappa \) and gives rise to cavity losses at rate \( \kappa \). In what follows, we discuss in detail the form of the individual terms.

2.1. The Hamiltonian of the atom–cavity system

The Hamiltonian

\[ H = H_{\text{ext}} + H_{\text{int}} + H_{\text{cav}} + W \]  

(2)

governs the dynamics of the composite system of the atom and the cavity. In a frame rotating with the lasers’ frequencies, the individual terms on the right-hand side of equation (2) read

\[ H_{\text{ext}} = \hbar \nu (b\dagger b + \frac{1}{2}), \]  

(3)

\[ H_{\text{int}} = -\hbar \delta_{c2}|\epsilon\rangle\langle \epsilon| + \hbar [\delta_1 - \delta_{c2}]|g_1\rangle\langle g_1| + \hbar \Delta|g_2\rangle\langle g_2|, \]  

(4)

\[ H_{\text{cav}} = -\hbar \Delta a\dagger a \]  

(5)

and describe the center-of-mass motion of the atom in the harmonic potential of frequency \( \nu \), the unperturbed dynamics of the internal electronic states and the dynamics of the cavity mode, respectively. The operators \( b \) and \( b\dagger \) in equation (3) annihilate or create single vibrational excitations and are connected with the atom’s position operator by the relation

\[ x = \xi (b + b\dagger), \]  

(6)

where \( \xi = \sqrt{\hbar/2M\nu} \) denotes the size of the ground-state wave packet. The operators \( a \) and \( a\dagger \) in equation (5) annihilate and create, respectively, a photon in the considered mode, and

\[ \Delta = \omega_P - \omega_C \]  

(7)

is the detuning between the cavity and the probe. The other detunings occurring in equation (4) are

\[ \delta_1 = \omega_L - (\omega_e - \omega_1), \]  

(8)

\[ \delta_{c2} = \omega_C - (\omega_e - \omega_2). \]  

(9)

The interaction part

\[ W = W_p + W_L + W_C \]  

(10)
is composed of
\[ W_p = \frac{\hbar \Omega_p}{2} [a + a^\dagger], \]
\[ W_L(x) = \frac{\hbar \Omega_L}{2} [|e\rangle\langle g_1| e^{i k x \cos \phi_k} + \text{H.c.}], \]
\[ W_C(x) = \hbar g(x) [|e\rangle\langle g_2| a + \text{H.c.}], \]
where \( W_p \) is the drive of the cavity field by the probe of power \( P \) with strength \( \Omega_p = 2\sqrt{P/k}h\omega_p \), \( W_L(x) \) is the coupling of the atomic dipole \( |g_1\rangle \leftrightarrow |e\rangle \) to the control laser with Rabi frequency \( \Omega_L \), and \( W_C(x) \) is the Jaynes–Cummings interaction between the cavity mode and the transition \( |g_2\rangle \leftrightarrow |e\rangle \) with the coupling constant \( g(x) = g \cos(k x \cos \phi_C + \phi) \).

The quantity \( k \) is the wavenumber of the control laser field and of the cavity mode\(^5\). Moreover, \( \phi \) determines the equilibrium position of the atom in the trapping potential with respect to the cavity mode function.

### 2.2. Coupling to the electromagnetic field external to the resonator

We include the electromagnetic field outside the cavity into the theoretical model using a Hamiltonian description instead of a master equation. This provides more insight into the basic processes underlying the cooling dynamics. The Hamiltonian
\[ H_{\text{emf}} = \sum_{\mathbf{k}, \epsilon} \hbar [\omega_{\mathbf{k}} - \omega_\epsilon] c_{\mathbf{k}, \epsilon}^\dagger c_{\mathbf{k}, \epsilon} + \sum_{\mathbf{k}, \epsilon} \hbar [\omega_{\mathbf{k}} - \omega_p] c_{\mathbf{k}, \epsilon}^\dagger c_{\mathbf{k}, \epsilon}^\dagger c_{\mathbf{k}, \epsilon} + \sum_{\mathbf{k}, \epsilon} \hbar [\omega_{\mathbf{k}} - \omega_p] c_{\mathbf{k}, \epsilon}^\dagger c_{\mathbf{k}, \epsilon} \]
accounts for the energy of the transversal and longitudinal field modes that couple independently to the atomic dipoles and the cavity, respectively. The superscript \( \gamma_j(\kappa) \) indicates that the sum is restricted to the modes that couple quasi-resonantly with the transition \( |g_j\rangle \leftrightarrow |e\rangle \) (with the cavity mode) and the Hamiltonian is reported in the reference frame at which the corresponding transition rotates at the frequency of the driving laser. The quantity \( \omega_{\mathbf{k}} = c|\mathbf{k}| \), with the speed of light in vacuum \( c \), denotes the frequency of the electromagnetic-field mode, and \( c_{\mathbf{k}, \epsilon} \) is the annihilation operator of the mode labeled by the wavevector \( \mathbf{k} \) and polarization \( \mathbf{\epsilon} \).

The interaction between the atomic dipole \( |g_j\rangle \leftrightarrow |e\rangle \) and the external electromagnetic field reads
\[ W_j(x) = W_{j1}(x) + W_{j2}(x) \]
\[ = \sum_{j=1,2} \sum_{\mathbf{k}, \epsilon} \hbar [g^{(\gamma_j)}_{\mathbf{k}, \epsilon} |e\rangle\langle g_j| e^{i(k \mathbf{\epsilon})} x c_{\mathbf{k}, \epsilon}^\dagger c_{\mathbf{k}, \epsilon} + \text{H.c.}], \]
where the coupling constant \( g^{(\gamma_j)}_{\mathbf{k}, \epsilon} = \tilde{g}_j \cdot \mathbf{\epsilon}_{\mathbf{k}, \epsilon} \mathcal{E}_x / \hbar \) is proportional to the scalar product of the atomic dipole moment \( \tilde{g}_j \) with the vacuum electric field \( \mathcal{E}_x \mathbf{\epsilon}_{\mathbf{k}, \epsilon} \). The coupling of the cavity mode to the longitudinal modes of the external electromagnetic field is given by
\[ W_k = \hbar \sum_{\mathbf{k}, \epsilon} [g^{(\kappa)}_{\mathbf{k}, \epsilon} a^\dagger c_{\mathbf{k}, \epsilon}^\dagger + \text{H.c.}], \]
\[ \]
\(^5\) We assume that the two lower states \( |g_j\rangle \) are atomic hyperfine states. Then, the wavenumbers of cavity \( k_C \) and the lasers \( k_L, k_p \) can be assumed to be approximately equal: \( k \approx k_C \approx k_L \approx k_p \).
with coupling constant $g_{\vec{k},\epsilon}$ (a detailed form in terms of the physical parameters can be found, for instance, in [47]). We remark that the operator $W_\epsilon$ does not depend on the atomic motion, but describes dissipation processes that will be instrumental for cooling the atomic motion [24]. We furthermore emphasize that quantum noise due to fluctuations of the atomic dipoles and the cavity field is systematically incorporated in the theoretical description by the coupling equations (15) and (16).

2.3. Basic assumptions and perturbative expansion

Throughout the paper we focus on the regime where: (i) the atom is tightly confined: the size of the atomic wavepacket $\Delta x$ is much smaller than the lasers’ wavelengths. This is the so-called Lamb–Dicke regime [2, 48]. It is found when the inequality $k\Delta x \ll 1$ is satisfied. Using that $\Delta x = \xi \sqrt{2\langle m \rangle + 1}$ by averaging over a thermal state with mean occupation number $\langle m \rangle$, the inequality can be rewritten as

$$\eta \sqrt{2\langle m \rangle + 1} \ll 1,$$

where $\eta = k\xi$ is the Lamb–Dicke parameter, which scales the mechanical effects of light on the atomic motion [48]. For later convenience we define

$$\eta_L = \eta \cos \phi_L,$$

$$\eta_C = \eta \cos \phi_C,$$

which account for the geometry of the mechanical coupling of laser and cavity to the axis of motion, respectively.

We further assume that (ii) the laser driving the cavity is sufficiently weak such that the average photon number of the cavity mode is much smaller than unity. This corresponds to taking the small parameter

$$|\epsilon|^2 \equiv \left| \frac{\Omega_p/2}{\Delta + i\kappa} \right|^2 \ll 1,$$

which gives the mean number of intracavity photons when no atom is present. The requirement (18) can be achieved for high-finesse cavities by adjusting the parameters $\Omega_p$ and $\Delta$ accordingly.

This regime allows for a perturbative treatment of the dynamics. We expand the total Hamiltonian into different orders of the Lamb–Dicke parameter by performing a Taylor expansion in $\eta$ of the exponentials $\exp[\ldots x]$ in equations (12) and (15) and the function $g(x)$ in equation (13) [49]. Moreover, we separate the weak driving term $W_\epsilon$, i.e. the first-order term in $|\epsilon|$, from $\mathcal{H}_0$, so that the Hamiltonian takes on the form

$$\mathcal{H} = \mathcal{H}_0 + W_\gamma(0) + W_\epsilon + O(\eta^2).$$

The term $\mathcal{H}_0$ is in lowest order in $\eta$ and $|\epsilon|$ and reads

$$\mathcal{H}_0 = H_0 + W_\gamma(0) + W_\epsilon.$$

Here,

$$H_0 = H_{\text{ext}} + H_{\text{opt}} + H_{\text{emf}}$$

(21)

$$H_{\text{opt}} = H_{\text{int}} + H_{\text{cav}} + W_L(0) + W_C(0),$$

(22)
which is given in zero order in the perturbative expansion. In this order of perturbation theory, the stable state of the atom–cavity system is

$$|\Psi_{st}\rangle = |g_2, 0\rangle,$$

which is the product state of the atom in $|g_2\rangle$ and no cavity photon, $|n = 0\rangle$. Under the influence of $H_0$ (assuming the regime in which the Wigner–Weisskopf approximation can be applied [47]), the atom–cavity system relaxes into the state $|\Psi_{st}\rangle$, while the atomic center-of-mass motion evolves coherently and is decoupled from the electronic dynamics.

Coupling between the center-of-mass motion and the light is introduced in first order in the Lamb–Dicke parameter $\eta$. The corresponding term of the total Hamiltonian reads

$$H_1 = F x + \sum_{j=1,2} F_{\gamma j} x.$$ (24)

It accounts for the mechanical effects due to absorption and emission of photons from/to the control laser and the cavity mode, where the operator $F$

$$F = F_L + F_C$$

$$= \frac{d}{dx} (W_L(x) + W_C(x))|_{x=0}$$ (25)

can be interpreted as a force operator [50], while

$$F_{\gamma j} = \tilde{e}_x \cdot [\nabla W_{\gamma j}(x)]|_{x=0}$$ (26)

gives rise to the stochastic force, which is associated with the recoil due to spontaneous emission [50]. Here, $\tilde{e}_x$ is the unit vector along the axis of the motion.

The Lamb–Dicke regime is found when the condition set by equation (17) is fulfilled, and it allows one to assume the separation of time scales which determine the center-of-mass motion of the atom, and the common, internal-state dynamics of the atom–cavity system. At lowest order in the Lamb–Dicke expansion, the internal and external atomic degrees of freedom evolve independently. The relevant time scale is given by the smallest relaxation time of the atom–cavity system. At higher order, the atomic center-of-mass motion experiences the force due to the gradient of the electromagnetic field over the extension of its wave packet. These processes take place on a time scale that is slower by a factor of $\eta^2$ with respect to the time scale of the internal motion. In this regime the internal motion follows the external motion adiabatically [13, 48, 51]. It is therefore instructive to first study the internal dynamics, neglecting the coupling with the external motion: this permits one to identify scattering processes which leads to cooling.

3. Level structure and properties of the atom–cavity system

We now discuss the dressed states of the Hamiltonian $H_{\text{opt}}$, equation (22). Condition (18) allows one to restrict the cavity–atom Hilbert space to states that contain at most one excitation of the cavity mode. The dynamics then takes place within the subspace spanned by the states

$$\{|g_2, 1\rangle, |e, 0\rangle, |g_1, 0\rangle, |g_2, 0\rangle\}.$$ 

These coupled levels constitute an effective four-level system, which is depicted in figure 2. Such a configuration of levels has been studied in the literature for the case when the states are
Figure 2. Level scheme of the atom–cavity system in (a) the laboratory and (b) the rotating frame. The vertical axis gives the frequency \( \omega \). Downward arrows denote negative detuning. In (b) the dressed states \( |g_1, \pm \rangle \) and the states \( |g_2, \epsilon' \rangle \) with their corresponding frequency shifts are reported (see text). The detunings are defined in equations (7)–(9) and (29).

four different electronic levels that are coupled by classical laser fields \([39–42, 52]\). For this case it has been found that this level scheme can exhibit dark resonances due to quantum interference between excitation paths involving three photons. The purpose of this section is to analyze the spectroscopic properties of the four-level system arising from the atom–cavity coupling, in the regime in which we can neglect the coupling with the center-of-mass motion. We will focus, in particular, on the conditions under which dark states exist as they will turn out to be relevant for the cooling dynamics; for an extensive analysis, see \([42]\).

The eigenspectrum of \( H_{\text{opt}} \), equation (22), within the considered subspace is displayed in figure 3 as a function of the frequency of the transverse laser, under the assumption that the state \( |g_2, 0 \rangle \) is weakly coupled to the other states. The frequencies of the states \( |e, 0 \rangle, |g_1, 0 \rangle, |g_2, 1 \rangle \), which diagonalize \( H_{\text{opt}} \) when the coupling to laser and cavity is set to zero, are indicated by the dashed curves. In the presence of the coupling with the fields, the frequencies are shifted and degeneracies become avoided crossings. The resulting eigenfrequencies are \( \omega_{\pm} \), \( \omega_c \), which correspond to the eigenvectors \( |\pm\rangle \) and \( |\circ\rangle \), being a superposition of the three states \( |e, 0 \rangle, |g_1, 0 \rangle, |g_2, 1 \rangle \). The horizontal part of the curves, in particular, denotes the frequency of the dressed states of the Jaynes–Cummings Hamiltonian, namely a superposition of the states \( |g_2, 1 \rangle \) and \( |e, 0 \rangle \). These states appreciably mix with state \( |g_1, 0 \rangle \) at the level crossing with the curve \( \delta_1 - \delta_{c2} \). This is also visible by inspecting the linewidth, indicated in the figure by the breadth of the curves.

We now analyze the excitation spectra of the cavity and the atom, namely the rate of photon emission by the cavity and the atom, as a function of the probe frequency \( \Delta \).
Figure 3. Frequencies and linewidths of the dressed states of the atom–cavity system as a function of $\delta_1$. The frequencies are found diagonalizing $H_{\text{opt}}$, equation (22), over the basis of the unperturbed states $\{|e, 0\rangle, |g_1, 0\rangle, |g_2, 1\rangle\}$, and correspond to the centers of the black curves. The corresponding linewidths determine the curves’ width. The horizontal dashed-dotted lines and the dashed diagonal line give the frequencies of the unperturbed states as a function of $\delta_1$. The arrows mark the frequencies of the resonances shown in figure 4. The parameters are $\kappa = 2\nu$, $\gamma = 10\nu$, $g = 20\nu$, $\Omega_1 = 12\nu$, $\varphi = 0$ and $\delta_{c2} = 20\nu$.

They are evaluated for the stationary state of the (internal) atom–cavity system, and are proportional to the probability that the cavity contains one photon and that the atom is in the excited state, respectively. In appendix A a detailed calculation is reported, which shows that they take on the form

$$\delta^c_{\text{exc}}(\Delta) \propto |\mathcal{F}_c(\Delta)|^2, \quad (27a)$$

$$\delta^\gamma_{\text{exc}}(\Delta) \propto |\mathcal{F}_\gamma(\Delta)|^2 \quad (27b)$$

with

$$\mathcal{F}_c(\Delta) = \frac{(\delta_{c2} + \Delta - \delta_1) \left[ \delta_{c2} + \Delta + i\frac{\Omega_1^2}{4} \right] - \frac{\Omega_1^2}{4}}{f(\Delta)}, \quad (28a)$$

$$\mathcal{F}_\gamma(\Delta) = \frac{g_2 \delta_{c2} + \Delta - \delta_1}{f(\Delta)}, \quad (28b)$$

where the superscript $\kappa (\gamma)$ indicates that it refers to cavity (atom) emission; see appendix A for details. The relevant resonances can be identified with the poles of the function $f(\Delta)$ that correspond to the frequencies of the dressed states of $H_{\text{opt}}$.

The excitation spectra for the cavity and the atom are displayed in figures 4(a) and (b), respectively, as a function of $\Delta$. We recall that in the absence of the atom, the cavity excitation spectrum is a Lorentz curve centered at the mode frequency with full-width $2\kappa$, and corresponds to the gray curve reported in the figure. The solid curve corresponds to the cavity excitation spectrum in the presence of the atom. The three peaks are identified with the dressed states of
Figure 4. Excitation spectra of (a) the cavity and (b) the atom, in arbitrary units, as a function of the probe frequency $\Delta$, in units of $\nu$, for $\delta_{c2} = 20\nu$ and $\delta_1 = 10\nu$ (black solid line) and $\delta_1 = \delta_{c2} = 20\nu$ (dashed line). The gray curve in (a) gives the Lorentzian excitation spectrum of the unperturbed cavity and is plotted for comparison. The spectra consist of three peaks at the frequencies of the dressed states of the manifold $\{|e, 0\rangle, |g_1, 0\rangle, |g_2, 1\rangle\}$, which are marked with arrows. The circles mark the frequencies for which the excitation spectra exhibit local minima, corresponding to approximate dark resonances. In (b) the atomic excitation spectrum vanishes at $\Delta = \delta_1 - \delta_{c2}$ (small circle), due to three-photon resonance between $|g_2, 0\rangle$ and $|g_1, 0\rangle$. The other parameters are $\kappa = 2\nu$, $\gamma = 10\nu$, $g = 20\nu$, $\Omega_L = 12\nu$ and $\varphi = 0$.

Additionally, we observe that the spectrum vanishes at a value of the probe frequency, and in this frequency region it exhibits a Fano-like profile. This is verified when the three-photon resonance condition is fulfilled, namely when states $|g_2, 0\rangle$ and $|g_1, 0\rangle$ are resonantly coupled, and corresponds to

$$\delta_{TP} \equiv \delta_{c2} + \Delta - \delta_1 = 0.$$

Moreover, the cavity excitation spectrum exhibits two points, where the cavity response is minimal. These minima are marked with two circles in figure 4(a).

The Fano-like profile and the minima in the cavity excitation spectrum can be understood in terms of quantum interference between the dressed states and state $|g_2, 0\rangle$, which can give...
rise to significant effects even though state $|g_2, 0\rangle$ is very weakly coupled to the other levels. In order to gain more insight, we first analyze the atom–cavity levels in a unitarily equivalent scheme, where $H_{\text{cav}} + W_P$ is diagonal. We consider the states

$$|g_j, \epsilon'\rangle = D_c(\epsilon')|g_j, 0\rangle \quad (j = 1, 2)$$

(30)

with the displacement operator $D_c(\epsilon) = \exp(\epsilon a^\dagger - \epsilon^* a - i\Delta_1 |\epsilon|^2 t)$ for the cavity mode and $\epsilon' = \Omega_P/2\Delta$. For $\delta_c + \Delta - \delta_1 = 0$, the states equation (30) are resonantly coupled, such that

$$|D\rangle \propto \epsilon' g \cos \varphi |g_1, \epsilon'\rangle - \frac{\Omega_L}{2} |g_2, \epsilon'\rangle$$

(31)

is an eigenstate of the Hamiltonian $H_{\text{opt}}$ that has zero projection onto the electronic excited state. In the limit in which the pump is weak and out of resonance, the state $|D\rangle$ is to a good approximation the stationary state of the system and is in a dark state. Equation (29) then gives the correct resonance condition and explains the position of the minimum in the atomic excitation spectrum. Similarly, the cavity excitation spectrum exhibits minima when the state $|g_2, 0\rangle$ is resonantly coupled to one of the dressed states $|g_1, \pm\rangle$, which diagonalize the laser interaction $H_{\text{int}} + W_L(0)$.

Another dark resonance is found when the states $|g_2, 1\rangle$ and $|g_1, 0\rangle$ are resonantly coupled, namely when $\delta_1 = \delta_c$. We denote this situation by ‘two-photon resonance’. In this case the state

$$|D_M\rangle \propto g \cos \varphi |g_1, 0\rangle - \frac{\Omega_L}{2} |g_2, 1\rangle$$

(32)

is an eigenstate of the Hamiltonian $H_{\text{opt}}$ and is stable over a time scale, in which cavity decay can be neglected. This situation is reported by the dashed curves in figures 4(a) and (b). In this case, the atomic excitation spectrum exhibits only two peaks, and the dark resonance is at the frequency where the cavity output is maximal.

In the next section we take into account the motion of the atom, and trace back the properties of the rates of heating and cooling transitions to the characteristics identified in the excitation spectra.

4. Theory of cooling in the Lamb–Dicke limit

In the Lamb–Dicke regime, the atom’s external and internal degrees of freedom are weakly coupled. In this limit, one can assume that the system, composed of cavity and electronic excitations of the atom, reaches a steady state over a time scale that is much faster than the one at which the motion evolves. The corresponding theoretical treatment has been discussed in detail in [13, 51, 53] for instance. This justifies the formulation of the dynamics of the external degrees of freedom in the form of a rate equation for the occupations $p_m(t)$ of the vibrational state $|m\rangle$, which reads

$$\frac{dp_m}{dt} = (m + 1) A_- p_{m+1} - [(m + 1) A_+ + mA_-] p_m + mA_+ p_{m-1}.$$  

(33)

The average phonon number obeys the equation $\langle m \rangle(t) = -\Gamma \langle m \rangle(t) + A_+$ with the cooling rate

$$\Gamma = A_+ - A_+.$$  

(34)
When $\Gamma > 0$, i.e. $A_- > A_+$, a stationary state exists. At steady state, the flow of population fulfills the detailed balance condition, and the mean occupation at the steady state reads \[ P_m^{\text{st}} = \left(1 - \frac{A_+}{A_-}\right) \left(\frac{A_+}{A_-}\right)^m \] \hfill (35)

with mean phonon occupation \[ \langle m \rangle_{\text{st}} = \frac{A_+}{A_- - A_+}. \] \hfill (36)

These formulae show that high cooling rates are reached by maximizing the value of $A_-$ as well as the ratio $A_-/A_+$, while the ratio $A_-/A_+$ alone controls the final temperature. Various cooling schemes resort to different control tools in order to achieve either fast cooling and/or low temperatures. In the following, we will characterize the dynamics which can lead to efficient ground-state cooling in this setup.

4.1. Cooling and heating rates

The transition rates $A_\pm$ in equation (33) can be calculated in perturbation theory using the resolvent of the Hamiltonian; for the detailed evaluation see appendix A. They describe absorption of a photon of the probe laser pumping the cavity, followed by emission into the modes of the external field either by atomic or by cavity decay, and can be written as the sum of various contributions of different physical origin:

\[ A_\pm = D + \sum_{r=1,2} \gamma_r |\tilde{T}_L^{\pm}\| + |\tilde{T}_C^{\pm}\| + 2\kappa |\tilde{T}_L^{\pm}\| + |\tilde{T}_C^{\pm}\|^2. \] \hfill (37)

The quantity $D$ is a diffusion coefficient, originating from the mechanical effects of the spontaneously emitted photon, while $\tilde{T}_F^{\pm}$ denote the transition amplitude associated with the absorption (−) or emission (+) of a vibrational phonon either by scattering the probe photon into the external modes by cavity decay ($\ell = \kappa$) or by spontaneous emission along one of the two transitions ($\ell = \gamma_{1,2}$). The subscript $F$ indicates whether the mechanical effect is due to the laser photon ($F = L$) or to the cavity photon ($F = C$). For a given scattering process, these mechanical effects can interfere constructively, as one observes from the fact that the corresponding contribution, $|\tilde{T}_L^{\pm}\| + |\tilde{T}_C^{\pm}\|^2$, is the coherent sum of the individual amplitudes.

A schematic representation of the corresponding scattering processes is depicted in figure 5.

A similar decomposition as the one in equation (37) was identified for the heating and cooling rates of a trapped atom whose two-level, dipole transition couples simultaneously to the cavity mode and to a transversal laser [53]. In such a setup several interference processes have been identified which can be tuned in order to enhance the cooling efficiency. In the present system, where an additional electronic transition is involved, a further interference process can take place, which is analogous to EIT.

Below we discuss in detail the individual terms for the system considered in this work. In the following, we neglect the decay along the transition $|e\rangle \rightarrow |g_1\rangle$, and take $\gamma_2 = \gamma$, unless otherwise specified. This assumption leads to a considerable simplification of the analytical expressions we are going to report and does not affect qualitatively the cooling dynamics for the parameter regime explored here, as numerical checks show.
Figure 5. Schematic representation of the scattering processes leading to a change of the motional state, corresponding to equations (39), (40) and (42). The arrows show the sequence of processes leading to a transition $|\Psi_{st}, m\rangle \rightarrow |\Psi_{st}, m \pm 1\rangle$. The intermediate internal states are denoted by the rounded box. The process in (a) terminates by a spontaneous decay, in which the mechanical effect is due to the spontaneously scattered photon. In (b) and (c), the transition is terminated by the emission of a photon by spontaneous emission and cavity decay, respectively, and the mechanical effects are due either to absorption or emission of a photon of the control laser ($F = L$) or of the cavity mode ($F = C$).

We first consider the diffusion term $D$ in equation (37). This term describes scattering processes where a probe photon is spontaneously emitted by the atom and where the mechanical effect is due to photon recoil by spontaneous decay. It can be written in the form

$$D = \gamma W_2 |\tilde{T}_D|^2,$$

(38)

where $W_2$ is a geometrical factor that depends on the atomic dipole pattern of radiation and

$$\tilde{T}_D = -i\eta \frac{\Omega_p}{2} \cos \varphi \mathcal{F}_\varphi (\Delta)$$

(39)

is the transition matrix element (apart from some constant factors), where the function $\mathcal{F}_\varphi (\Delta)$ is given in equation (28b). Hence, $D$ is proportional to the atomic excitation spectrum in equation (27a), and thus to the probability that the excited state is occupied. In particular, it vanishes at a node of the cavity mode function where $\cos \varphi = 0$, since there, the state $|g_2\rangle$ does not couple to the excited state in zero order in the Lamb–Dicke expansion. Moreover, for general values of $\varphi$, $D$ becomes zero when the atomic excitation spectrum vanishes, which is verified when $\Delta = \Delta_0$, with

$$\Delta_0 \equiv \delta_1 - \delta_{c2},$$

namely when states $|g_2, 0\rangle$ and $|g_1, 0\rangle$ are resonantly coupled. For these parameters the population of the atomic excited state is zero because of destructive interference between the excitation paths. Consequently, diffusion can be suppressed. This property is also at the basis of the so-called EIT-cooling mechanism [13]. Differing from EIT cooling, suppression of diffusion is here due to a three-photon interference process.
We now turn our attention to the transition amplitudes

\[
\tilde{T}_\gamma^{\pm} = \mp \eta_L \frac{\Omega_p \Omega_i^2}{4} \frac{\nu \{ \kappa \pm \Delta \mp \nu \} g \cos \varphi}{f(\Delta \mp \nu) f(\Delta)}, \tag{40a}
\]

\[
\tilde{T}_\kappa^{\pm} = \mp \eta_L \frac{\Omega_p \Omega_i^2}{4} \frac{\nu g^2 \cos^2 \varphi}{f(\Delta \mp \nu) f(\Delta)}. \tag{40b}
\]

They describe the scattering processes where absorption or emission of a photon of the transverse laser leads to a change in the vibrational motion and are depicted in figures 5(b) and (c). These scattering amplitudes vanish at the node of the mode function where \(\cos \varphi = 0\), and when the control laser is perpendicular to the axis of motion (\(\eta_L = 0\)), namely when there is no mechanical effect of the control laser along the direction of the motion. When this is not verified, these amplitudes may become maximal when the parameters are chosen so that the energy defect of the intermediate scattering states becomes minimal. Cooling transitions are enhanced over heating transitions, in particular for the parameters for which the equation

\[
\text{Re}\{f(\Delta + \nu)\} = 0 \tag{41}
\]

is fulfilled.

Finally, the transition amplitudes

\[
\tilde{T}_C^{\gamma,\pm} = -\eta_C \frac{\Omega_p}{2} \sin \varphi \mathcal{F}_\gamma(\Delta \mp \nu) \left[ g \cos^2 \varphi \mathcal{F}_\gamma(\Delta) + (\kappa + \Delta \mp \nu) \mathcal{F}_\kappa(\Delta) \right], \tag{42a}
\]

\[
\tilde{T}_C^{\kappa,\pm} = -\eta_C \frac{\Omega_p}{2} \sin \frac{2\varphi}{2} \left[ \mathcal{F}_\gamma(\Delta \mp \nu) \mathcal{F}_\kappa(\Delta) + \mathcal{F}_\gamma(\Delta) \mathcal{F}_\kappa(\Delta \mp \nu) \right] \tag{42b}
\]

describe the scattering processes where absorption or emission of a photon of the cavity field leads to a change in the vibrational motion. They are depicted in figures 5(b) and (c). These transition amplitudes vanish when the cavity axis is perpendicular to the axis of motion (\(\eta_C = 0\)), namely when there is no mechanical effect of the cavity photon along the direction of the motion or alternatively when the atom is situated at an antinode of the mode function, so that the derivative of the cavity–ion potential vanishes and the corresponding force operator is zero. The term \(\tilde{T}_C^{\kappa,\pm}\), equation (42b), also disappears at a node of the cavity standing wave. Interestingly, both terms are products of the Fano-like factors \(\mathcal{F}_\gamma\), equation (28b), connected with the atomic excitation spectrum, and \(\mathcal{F}_\kappa\), equation (28a), which reflects the characteristics of the excitation spectrum \(\Sigma_{\text{exc}}\), equation (27b).

We now identify some conditions under which ground-state cooling can be implemented.

4.2. Three-photon resonance: electromagnetically induced transparency cooling and beyond

In this section we study the cooling dynamics under the assumption of three-photon resonance,

\[
\delta_{\text{TP}} = \Delta + \delta_{\text{c2}} - \delta_1 = 0,
\]

corresponding to setting \(\Delta = \Delta_0\). In this case the transition rates simplify considerably: due to destructive interference of the excitation paths, the excited state \(|e\rangle\) is not occupied and the diffusion term \(D\) in equation (37) vanishes. This property also leads to a considerable
simplification of the transition amplitudes \( \tilde{T}_C^{\pm} \), since \( \mathcal{F}_\gamma (\Delta_0) = 0 \). In this case the rates take on the form\(^6\)

\[
A_\pm = |\epsilon|^2 \tilde{\eta}^2 g^2 \gamma \frac{1 + C_\pm}{v^2 \left( 1 + C_\pm \right)^2 + \left( \frac{\Omega_1^2}{4v} - v \pm \delta_1 + \frac{\gamma}{2k} C_\pm (v \mp \Delta) \right)^2}, \tag{43}
\]

where

\[
\tilde{\eta}^2 = \eta_L^2 \cos^2 \varphi + \eta_C^2 \sin^2 \varphi \tag{44}
\]

contains the dependency on the geometry of the setup, and the parameters

\[
C_\pm = C \frac{\kappa^2}{\kappa^2 + (\Delta \mp v)^2} \tag{45}
\]

are proportional to the single-atom cooperativity

\[
C = \frac{g^2 \cos^2 \varphi}{\kappa \gamma / 2}. \tag{46}
\]

The rate of cooling (heating) is maximum when state \(|g_2, 0\rangle\) is set on resonance with the red (blue) sideband of one of the dressed states of \( H_{\text{opt}} \). Cooling is enhanced, for instance, when the denominator of rate \( A_- \) in equation (43) becomes minimal, which is satisfied when the relation

\[
\delta_1 = \frac{\Omega_1^2}{4v} - v + (v + \Delta) \frac{g^2 \cos^2 \varphi}{\kappa^2 + (\Delta + v)^2} \tag{47}
\]

is fulfilled.

### 4.2.1. Cavity EIT cooling

In order to acquire a better understanding of the role of the resonator and identify the regimes in which cooling occurs, we first analyze the case \( C_\pm \ll 1 \). The parameters \( C_\pm \) become small (i) for small cooperativities \( C \), (ii) when the pump on the cavity is far-off resonant or (iii) for \( \kappa \ll |\Delta \mp v| \). Then, the rates are given by

\[
A^{\pm \kappa}_\| = |\epsilon|^2 \tilde{\eta}^2 g^2 \gamma \frac{1}{v^2 \gamma^2 + \left( \frac{\Omega_1^2}{4v} - v \mp \delta_1 + \frac{\gamma}{2k} C_\pm (v \pm \Delta) \right)^2}. \tag{48}
\]

For asymptotically small values of \( C \), case (i), or large detunings \( |\Delta| \gg v, \kappa \), case (ii), the rates assume the form

\[
A^{\pm \kappa}_\| = |\epsilon|^2 \tilde{\eta}^2 g^2 \gamma \frac{1}{v^2 \gamma^2 + \left( \frac{\Omega_1^2}{4v} - \frac{\gamma}{2k} C_\pm (v \mp \delta_1) \right)^2}. \tag{49}
\]

whose functional dependence on the parameters is the same as that in EIT cooling [13]. For analyzing case (iii), we use the definition of \( C \), equation (46), yielding

\[
A^{\pm \kappa}_\| = |\epsilon|^2 \tilde{\eta}^2 g^2 \gamma \frac{1}{v^2 \gamma^2 + \left( \frac{\Omega_1^2}{4v} + \frac{\gamma^2 \cos^2 \varphi}{v \mp \Delta} - v \mp \delta_1 \right)^2}. \tag{50}
\]

\(^6\) We note that equation (43) and the following formulae derived from it acquire the same form also for \( \gamma_1 \neq 0 \): the parameter \( \gamma \) appearing there is the total radiative linewidth of the excited state.
For $\Delta = 0$ it corresponds to EIT cooling with a modified Rabi frequency $\Omega_2^2/4 \rightarrow \Omega_2^2/4 + g^2 \cos^2 \varphi$.

All cases discussed here correspond to a mean phonon number at steady state

$$(m)_{st} = \frac{[\Omega^2/4 - v(v + \delta_1)]^2 + \gamma^2 v^2/4}{4\nu\delta_1(\Omega^2/4 - v^2)},$$

(51)

where $\Omega$ denotes the corresponding (modified) Rabi frequency. It achieves the minimum value, $(m)_{st,\text{min}} = (\gamma/4\delta_1)^2$, when $\Omega^2/4 = v(v + \delta_1)$. The corresponding cooling rate at this value reads $W = A_- - A_+ \sim |\epsilon|^2 \gamma^2 4 g^2 / \gamma$.

Resonant driving, $\Delta = 0$, also leads to EIT-like cooling even for arbitrary values of the cooperativity $C$, which is especially interesting for $C_0 = C_{\pm} |_{\Delta = 0} \gg 1$. Then, the transition rates between the vibrational levels are given by

$$A_{\pm} = |\epsilon|^2 \gamma^2 \frac{\nu^2 g^2 v^2}{\nu^2 + \left[\frac{\Omega_4^2}{4} - v(v + \delta_1) + \frac{\gamma^2 v^2}{2}\right]^2},$$

with the modified Rabi frequency $\Omega_2^2/4 \rightarrow \Omega_2^2/4 + \gamma' v^2/2\kappa$ and the modified atomic linewidth $\gamma' = \gamma C_0$. For asymptotically large $C_0$ this case leads to the minimal mean vibrational occupation number at steady state of $(m)_{st,\text{min}} = (\kappa/2v)^2$, which can be very small provided that the cavity linewidth is much smaller than the trap frequency.

4.2.2. Cavity EIT cooling in the strong coupling regime. We now consider the case of large cooperativities, $C \gg 1$, and small cavity linewidths, such that $\kappa \ll \nu$. Moreover, we assume that the system is driven at three-photon resonance, $\delta_{TP} = 0$.

When the pump is tuned on the blue sideband transition of the cavity, $\Delta = \nu$, one encounters the situation $C_+ = C$ and $C_- \approx C \frac{\kappa}{4\nu^2}$, fulfilling $C_- \gg C_-$. The resulting transition rates between the vibrational levels take on the form

$$A_+ \sim |\epsilon|^2 \gamma^2 \frac{1}{C} \frac{4}{\gamma} g^2,$$

(53)

$$A_- = |\epsilon|^2 \gamma^2 \frac{g^2 \nu^2 (1 + C_-)}{(\nu^2 + C_-)^2 + (\delta_1 - \delta_{\text{opt}})^2},$$

(54)

where

$$\delta_{\text{opt}} = \Omega_2^2/(4\nu) + g^2 \cos^2 \varphi/(2\nu) - \nu.$$

This corresponds to the case when the weak pump is in resonance with the red sideband of the dressed state $|+\rangle$ of $H_{\text{opt}}$. It becomes clear from equation (53) that the cooling is larger than the heating rate by the factor

$$\frac{A_-}{A_+} \sim \left( C^{-1} + \frac{\kappa^2}{4\nu^2} \right)^{-1}.$$

Additionally, diffusive processes are suppressed due to three-photon resonance. In this regime, the mean occupation number reads

$$\langle m \rangle_{st} = \left[ C \frac{1 + C_-}{(1 + C_-)^2 + 4(\delta_1 - \delta_{\text{opt}})^2/\gamma^2} - 1 \right]^{-1},$$

(55)
Figure 6. Transition rates of cooling $A_-$ (solid line) and heating $A_+$ (dashed line), for large cooperativity $C \gg 1$ and small cavity decay rate, $\kappa \ll \nu$. The arrows and circles mark the dressed states’ frequencies and the dark resonances, in correspondence to figure 3. The parameters are $\gamma = 10\nu$, $\kappa = \nu/10$, $g = 10\nu$, $\Omega_L = 12\nu$, $\delta_1 = \delta_{opt} = 47.5\nu$, $\delta_{c2} = \delta_1 - \nu$, $\varphi = \pi/3$ and $\phi_L = \phi_C = 0$. The transitions rates are given in units of $\alpha$, equation (57), and $\Delta$ in units of $\nu$.

and it takes on the minimal value $\langle m \rangle_{st, min} \approx 1/C$ for $\delta_1 = \delta_{opt}$ and $C_- \ll 1$. In this limit the cooling rate reads $W \sim A_- = |\epsilon|^{2}\tilde{T}_\gamma^{\gamma \pm}2/\gamma$. Figure 6 displays the transition rates $A_{\pm}$ for $\delta_1 = \delta_{opt}$. For this choice of $\delta_1$ the rate $A_-$ has a pronounced maximum at $\Delta = \nu$. This maximum is displaced from the resonance around $\Delta \approx 2\nu$ by the trap frequency $\nu$, and corresponds to the case when the pump laser is resonant with the red sideband of a dressed state. The transition rate of heating is strongly suppressed at $\Delta = \nu$ due to three-photon resonance.

4.3. Double dark resonances

A peculiar characteristic of this specific setup is found when the mechanical effects are predominantly governed by the interaction with the cavity field. In this case the transition rates read

$$A_{\pm} \approx A_{C,\pm} = \gamma_2 |\tilde{T}_C^{\gamma,\pm}|^2 + 2\kappa |\tilde{T}_C^{\kappa,\pm}|^2$$

(56)

with the amplitudes $\tilde{T}_C^{\gamma,\pm}$, $\tilde{T}_C^{\kappa,\pm}$, given in equations (42). These scattering amplitudes are connected to products of the functions $\mathcal{F}_\gamma$ and $\mathcal{F}_\kappa$, equations (28), which determine the excitation spectra of the atom and the cavity.

Figure 7 displays the rates $A_{C,\pm}$ for different values of the detuning $\delta_1$ and as a function of $\Delta$. Cooling is found whenever $A_{C,-} > A_{C,+}$, i.e. when the black curve overtops the dashed curve. This is the case on the left edge of the broad resonance around $\Delta \approx 4\nu$. More interesting is the behavior around three-photon resonance $\Delta = \delta_1 - \delta_{c2}$, marked by the black arrow. In particular in the graphs (b) and (c), the rates $A_{C,\pm}$ oscillate with poles at a distance of the order of the trap frequency $\nu$. These oscillations are a consequence of Fano-like profiles in the scattering cross-section of the system and are visible in the excitation spectra of the atom and the cavity.

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Figure 7. Transition rates of cooling $A_{C-}$ (solid line) and heating $A_{C+}$ (dashed line) in units of $\alpha$, equation (57), as a function of $\Delta$ in units of $\nu$. The rates are evaluated from equation (56) for (a) $\delta_1 = \delta_{c2}/2$, (b) $\delta_1 = \delta_{c2}$ and (c) $\delta_1 = 3\delta_{c2}/2$. The other parameters are $\gamma = 10\nu$, $\kappa = 2\nu$, $g = 20\nu$, $\Omega_L = 12\nu$, $\delta_{c2} = 20\nu$, $\mathcal{W}_2 = 1$, $\varphi = \pi/3$, $\phi_C = 0$. The small arrows in the plots mark the positions of three-photon resonance (black) and of the corresponding sidebands, shifted by $\pm\nu$ (gray).

Here, these approximate double dark resonances lead to alternating cooling and heating regions around three-photon resonance.

It is interesting to consider whether the parameter can be adjusted, to suppress both carrier and blue sideband transitions, thereby increasing the cooling efficiency. An example of such a situation is the cooling scheme discussed in section 4.2.2. This could constitute a more accessible realization of a cooling process, which has been proposed so far in a tripod level configuration [20] and for a two-level atomic transition confined in a resonator and at the node of the laser standing wave [34].
4.4. Large three-photon detuning

The case when the control laser is far detuned from the atomic transition $|g_1⟩ \leftrightarrow |e⟩$ corresponds to a closed two-level system consisting of the atomic levels $|g_2⟩$ and $|e⟩$ (in the presence of a finite decay probability into state $|g_1⟩$, the control laser acts as a repump). In our model, this case corresponds to taking large three-photon detunings $δ_{TP}$ and large values of the detuning $|δ_1|$. In this limit the mechanical effects are due to coherent atom–cavity interaction and to spontaneous decay, while the effect of the transverse laser coupling to the atom can be neglected. The atomic system thus reduces to an effective two-level transition coupled to a cavity which is pumped. This situation differs from the one considered in [34, 53] where the two-level atom is driven by a transverse laser. The case of a trapped two-level system whose center-of-mass motion is cooled in a driven cavity shows interesting features on its own, and its dynamics will be the object of future investigations.

5. Discussion of the results

In this section we report the graphs of the cooling rate and the average vibrational number at steady state, $⟨m⟩_s$. These quantities, defined in equations (34) and (36), determine the velocity of the cooling process and the final temperature, and are found by evaluating the rates in equations (37) as a function of the physical parameters. For convenience, we report the cooling rate $Γ$ scaled with the frequency $\alpha = \frac{η^2 Ω_{P}^2}{ν}$. (57)

The parameters we consider are based in most cases on the experiment in [37]. Typical values for $α$, which are in accordance with equation (18), are of the order of $10^{-6}ν$ to $10^{-4}ν$.

We first consider the three-photon resonance condition. This is achieved by appropriately setting the frequencies of the transverse laser and of the laser pumping the cavity. In this regime we expect that cooling is dominated by the mechanical effect stemming from the laser and cavity interaction, which mutually interfere, while the diffusion processes due to spontaneous emission are suppressed.

Figure 8 displays the contour plots of the cooling rate $Γ$ and of the corresponding stationary mean phonon number $⟨m⟩_s$ as a function of the detuning $Δ$ between the probe and the cavity, and of the detuning $δ_1$ between control laser and the atomic transition $|g_1⟩ \leftrightarrow |e⟩$. The solid line corresponds to the condition given in equation (47), which relates $Δ$ and $δ_1$ and which maximizes the value of $A_−$. Indeed, the lowest values of $⟨m⟩_s$, and correspondingly the maxima of the cooling rate, are found along the solid line. The largest value of the cooling rate $Γ$, figure 8(a), is found at the intersection between this curve and $Δ = 0$, namely when the pump laser drives resonantly the cavity mode and the states $|g_2⟩, 1⟩$ and $|g_1⟩, 0⟩$ are hence also resonantly coupled. This behavior can be understood considering that the atom appears transparent to the cavity at three-photon resonance and in zero order in the Lamb–Dicke expansion. In this regime, hence, the cavity resonance line, which scales the cooling rate, is given by a Lorentzian shape and has its maximum when $Δ = 0$. Figure 8(c) displays the mean phonon number as a function of $δ_1$ when $Δ = 0$ (solid curve). This value is compared with that one found for $Δ(δ_1)$, which satisfies equation (47) and is indicated by the dashed curve. In both cases the system is driven at three-photon resonance. One observes that the temperature is minimal for the values predicted by equation (47).
Figure 8. (a) The cooling rate $\Gamma$ and (b) mean phonon number $\langle m \rangle_{st}$ as a function of the detunings $\delta_1$ and $\Delta$ when the three-photon resonance condition is satisfied, $\delta_{TP} = 0$. The solid curves in (a) and (b) correspond to the resonance condition from equation (47) which maximizes $A_-$ (the dashed line reports the curve where $A_+$ is maximum). In the hatched area the cooling rate is negative and corresponds to the region where the atomic motion is heated. (c) $\langle m \rangle_{st}$ as a function of the detunings $\delta_1$ for $\Delta$ fulfilling equation (47) (solid line) and $\Delta = 0$ (broken line). The other parameters are $\gamma = 2\nu$, $\kappa = \nu/4$, $g = 12\nu$, $\Omega_L = 11.2\nu$, $\varphi = \pi/3$.

Figure 9 displays the cooling rate and mean vibrational number at steady state for the same parameters as in figure 8, but a larger spontaneous emission rate $\gamma = 20\nu$ and a smaller cavity decay rate $\kappa = 0.01\nu$. With respect to the results found in figure 9, we observe that the cooling region about $\Delta = -\nu$ and $\delta_1 < 0$ becomes smaller. More striking is the appearance of a cooling region which stretches around $\Delta = \nu$ across all considered values of the detuning $\delta_1$. This is understood in terms of the cooling dynamics discussed in section 4.2.2: in fact, for the considered parameters the rate $A_-$ is more than two orders of magnitude larger than the rate $A_+$. In this case, the cooling transition is enhanced because the pump is tuned to the red sideband of a resonance, while the heating is suppressed because the corresponding transition coincides with a dark resonance.

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Figure 9. The same as figure 8 but for $\kappa \ll \nu$. The plot (c) shows cuts along the line $\Delta = 0$ (broken), $\Delta = \nu$ (dash-dotted) and for $\Delta$ fulfilling equation (47) (solid line). The other parameters are $\gamma = 20\nu$, $\kappa = \nu/100$, $g = 12\nu$, $\Omega_L = 11.2\nu$, $\varphi = \pi/3$.

Figure 9(c) displays the mean phonon number as a function of the detuning $\delta_1$ for various values of $\Delta$. The broken line corresponds to the case $\Delta = 0$ and shows a similar behavior as in figure 8. The thick dashed-dotted line is found for $\Delta = \nu$: here, not only diffusion is suppressed due to the three-photon resonance condition, but also the heating rate is strongly reduced. Indeed, the temperature is minimal for the value of $\delta_1$ fulfilling equation (47) at $\Delta = \nu$. Further insight can be gained by inspecting the dressed states shown in figure 3: one finds that the cooling regions mostly correspond to the probe laser being set in resonance with the red sideband of a narrow-line dressed state. We note, in particular, that the condition of optimal cooling can be identified in figure 3 at the intersection of the curve $\Delta = \delta_1 - \delta_{c2}$ with the curve showing the frequency of the dressed state, shifted downwards by the trap frequency. This intersection determines the curves of optimal cooling in figure 8(b).
We finally analyze the cooling dynamics when the three-photon resonance condition is not satisfied, restricting to some specific parameter regimes. Figure 10 displays the mean phonon number at steady state as a function of $\Delta$ and $\delta_1$ at a fixed value $\delta_{c2} = 20\nu$. The solid line corresponds here to the values of $\Delta$ and $\delta_1$ fulfilling equation (41). For comparison, the curve corresponding to three-photon resonance is reported and corresponds to the diagonal line. Minimal temperatures are mostly found along this curve, demonstrating that EIT cooling is in most parameter regimes an efficient cooling scheme. Further parameter intervals where low temperatures are encountered are close to the curves $\Delta = -30\nu$ and $\Delta = 0$. These regimes correspond to dynamics which can be understood in terms of Doppler cooling: the probe laser is here tuned on the red of the corresponding dressed state, whose linewidth is larger than the trap frequency. A strikingly different behavior is found close to the intersection between the three-photon resonance curve and $\Delta = 0$: this parameter region is reported in the inset. Here, we observe that heating and cooling regions alternate. The cooling dynamics is here determined by interference processes: the corresponding rates $A_{\pm}$ are displayed in figure 7(b), showing that the cooling rate is characterized by a double Fano-like profile.

6. Conclusions

A cooling scheme has been presented and characterized, which combines cavity quantum electrodynamics and electromagnetic induced transparency. Novel dynamics have been identified, which may allow one to efficiently cool the atomic motion. These originate from the composite action of cavity, laser and quantized atomic motion, giving rise to interference processes that allow one to efficiently cool the motion. The cooling dynamics is robust against fluctuations of the parameters for most situations identified. Moreover, efficient ground-state cooling has been predicted for parameters that are consistent with these reported in [37].

The theory we presented here should also be applicable to current experimental setups, where the atom is confined in traps with different potentials for the relevant atomic states,
as is often the case for a dipolar trap setup. The fluctuations of the state-dependent trapping potential may be accounted for by a modified diffusion coefficient in the rate equations, as initial considerations suggest [13]. Moreover, the theory can be extended to the case when the motion is only strongly confined along the cavity axis. The perpendicular motion of the atom can be taken into account by averaging \( g \) over the corresponding slice of the cavity’s mode function.

Future work shall address the properties of the scattered light. For several cavity-based systems it has already been demonstrated that photons can be emitted from a single atom in a controlled way [55–57]. Furthermore, quantum interference sustains the creation of non-classical features in the emitted light [58, 59]. Therefore, the system presented here exhibits several requirements to be potentially operating as a quantum emitter. In general, given the large number of control parameters at hand, and the possibility of interfacing it with several fields, this system can result to be a very useful element for a quantum network [60] for which further building blocks based on elementary light–atom interaction have recently been realized [61–63].

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Appendix A. Evaluation of the scattering rates

A.1. Calculation of the transition amplitudes for cooling

The transition amplitudes

\[
\mathcal{T}_f = \langle \varphi_f | \left( V + V \frac{1}{E_i - \mathcal{H}} V \right) | \varphi_i \rangle
\]

(A.1)

of a scattering process are evaluated using the resolvent of the Hamiltonian [64]. The corresponding transition rates are

\[
R_f = \frac{2\pi}{\hbar} \sum_i |\mathcal{T}_f|^2 \delta(E_i - E_f),
\]

(A.2)

where the \( \delta \)-function ensures energy conservation, and the sum goes over all relevant, different final states. The initial and final states \( | \varphi_i \rangle \) and \( | \varphi_f \rangle \) have defined energies \( E_i \) and \( E_f \) with respect to the unperturbed Hamiltonian \( \mathcal{H}_0 \), equation (21). The total Hamiltonian \( \mathcal{H} = \mathcal{H}_0 + V + O(\eta^2) \) contains the interaction part

\[
V = [\mathcal{H}_0 - \mathcal{H}] + \mathcal{H}_1 + W_p
\]

\[
= W_p(0) + W_k + F_x + \sum_{j=1,2} F_{\gamma_j} x + W_p,
\]

(A.3)

which includes the mechanical effects of laser and cavity, \( F \), equation (25), and the mechanical effects denoted by \( F_{\gamma_j} \), equation (26), of the interaction with the free radiation field in first order in \( \eta \).
We regard scattering processes with initial state
\[ |\psi_i\rangle = |\Psi_{st}, m; 0_{k,e}\rangle, \quad (A.4) \]
where the atom and cavity modes are in the state \( |\Psi_{st}\rangle \), equation (23), \( m \) phononic excitations are present in the center-of-mass motion of the atom, and the free radiation field is in the vacuum state. The initial energy is \( E_i = (m + \frac{1}{2})\hbar \nu + \hbar \Delta \). We are interested in the processes that change the motional state of the atom by one phonon, corresponding to the final states,
\[ |\psi_f^{\gamma,\pm}\rangle = |\Psi_{st}, m \pm 1; 1_{k,e}^{(\gamma)}\rangle, \quad (A.5a) \]
\[ |\psi_f^{\epsilon,\pm}\rangle = |\Psi_{st}, m \pm 1; 1_{k,e}^{(\epsilon)}\rangle. \quad (A.5b) \]
These are states where a photon is scattered into the modes of the electromagnetic field external to the resonator, either by spontaneous decay along \(|e\rangle \leftrightarrow |g_2\rangle \) or cavity losses.

If one neglects the decay along the transition \(|g_1\rangle \leftrightarrow |e\rangle \), the states \( (A.5) \) are the only final states for processes in first order of \( \epsilon \) and \( \eta \) where the motional state is changed by one quantum. We make the assumption \( \gamma_1 = 0 \) to avoid multi-photon scattering at the transition driven by the strong control laser. This simplification allows for a less involved analytical treatment. Comparisons with numerical results show that the results are not significantly altered in the parameter range we are interested in.

The evaluation of the scattering amplitude \( T_0 \), equation (A.1), using the initial and final states from equations \( (A.4) \) and \( (A.5) \), is performed perturbatively in a Born series expansion
\[ T_0 \approx \langle \psi_i | [V G_0(E_i) V + V G_0(E_i) V G_0(E_i) V] |\psi_i\rangle \quad (A.6) \]
using the unperturbed resolvent
\[ G_0(z) = \frac{1}{z - H_0^{\text{eff}}}, \quad (A.7) \]
containing the effective Hamiltonian
\[ H_0^{\text{eff}} = H_0 - i\hbar \frac{\gamma}{2} |e\rangle \langle e| - i\hbar \kappa a^\dagger a, \quad (A.8) \]
which accounts for radiative losses. The evaluation of equation \( (A.6) \), using the initial and final states \( (A.4) \) and \( (A.5) \), reveals that only three processes described by the amplitudes
\[ T_0^\pm = \langle \psi_i^{\gamma,\pm} | F_{\gamma,\gamma} G_0 W_0 |\psi_i\rangle, \quad (A.9a) \]
\[ T_0^{\epsilon,\pm} = \langle \psi_i^{\epsilon,\pm} | W_\gamma (0) G_0 F G_0 W_0 |\psi_i\rangle, \quad (A.9b) \]
\[ T_0^{\epsilon,\pm} = \langle \psi_i^{\epsilon,\pm} | W_\epsilon G_0 F G_0 W_0 |\psi_i\rangle \quad (A.9c) \]
contribute. Here, \( G_0 \equiv G_0(E_i) \). Equation \( (A.9a) \) represents the diffusive process due to the mechanical effect of spontaneous emission, whereas equations \( (A.9b) \) and \( (A.9c) \) stand for the lowest order mechanical effects of the control laser and the cavity.

With the specific definitions given in this appendix, it is easy to check that the scattering amplitudes, equations \( (A.9) \), are the only contributions to light scattering including mechanical interaction up to first order in \( \eta \) and \( \epsilon \). They are straightforwardly evaluated by substituting the various operators by the explicit expressions from equations \( (11), (15), (25) \) and \( (26) \). The matrix elements of \( G_0(E_i) \) are evaluated in appendix B, in particular equations \( (B.1) \) and \( (B.4) \).
with \( E_i = \hbar \Delta + \hbar (m + \frac{1}{2}) \nu \). One finds

\[
\mathcal{T}^\pm_D = \hbar \sqrt{m + \delta_\pm [\vec{e}_x \cdot \vec{e}_{k,e}] g_{k,e}^{(\nu)} \tilde{\mathcal{T}}_D(|\vec{k}|)},
\]

\[
\mathcal{T}_F^{\nu,\pm} = \hbar \sqrt{m + \delta_\pm g_{k,e}^{(\nu)} \tilde{\mathcal{T}}_F^\nu,\pm(|\vec{k}|)},
\]

\[
\mathcal{T}_F^{\kappa,\pm} = \hbar \sqrt{m + \delta_\pm g_{k,e}^{(\kappa)} \tilde{\mathcal{T}}_F^\kappa,\pm(|\vec{k}|)},
\]

after collecting all the remaining factors—which are independent of the polarization \( \vec{e}_{k,e} \) and direction \( \vec{k}/|\vec{k}| \) of the scattered photon and the vibrational quantum number \( m \)—in the tilded quantities. The abbreviation \( \delta_\pm \) yields \( 1 \) for the ‘+’ case and otherwise vanishes.

The corresponding rates are found using equation (A.2), where one has to sum over all relevant polarizations and wave vectors,

\[
R^\pm_D = 2\pi (m + \delta_\pm) \sum_{k,e}^{(\nu)} [\vec{e}_x \cdot \vec{e}_{k,e}] g_{k,e}^{(\nu)} (c|\vec{k}| - \omega_{2}) |\tilde{\mathcal{T}}_D|^2,
\]

\[
R^{\nu,\pm}_F = 2\pi (m + \delta_\pm) \sum_{k,e}^{(\nu)} |g_{k,e}^{(\nu)}|^2 (c|\vec{k}| - \omega_{2}) |\tilde{\mathcal{T}}_F^{\nu,\pm}|^2,
\]

\[
R^{\kappa,\pm}_F = 2\pi (m + \delta_\pm) \sum_{k,e}^{(\kappa)} |g_{k,e}^{(\kappa)}|^2 (c|\vec{k}| - \omega_{C}) |\tilde{\mathcal{T}}_F^{\kappa,\pm}|^2.
\]

Moreover, we used \( \omega_{P} \approx \omega_{C} \approx \omega_{2} \gg \nu \) to approximate the argument of the delta function, and \( \omega_{2} = \omega_{C} - \omega_{2} \). The quantities \( \tilde{\mathcal{T}}_D, \tilde{\mathcal{T}}_F^{\nu,\pm} \) and \( \tilde{\mathcal{T}}_F^{\kappa,\pm} \) in the latter equations are evaluated at the \(|\vec{k}|\)-value determined by the delta function. Taking into account the definitions

\[
\gamma_2 = \frac{2\pi}{\hbar} \sum_{k,e}^{(\nu)} |\hbar g_{k,e}^{(\nu)}|^2 h \delta (\hbar c |\vec{k}| - \hbar \omega_{2}),
\]

\[
\kappa = \frac{\pi}{\hbar} \sum_{k,e}^{(\kappa)} |\hbar g_{k,e}^{(\kappa)}|^2 \delta (\hbar c |\vec{k}| - \hbar \omega_{C})
\]

and \( A_\pm (m + \delta_\pm) = R^\pm_D + R^{\nu,\pm}_F + R^{\kappa,\pm}_F \) [48] leads to expression (37), where \( W_2 \) was introduced as a geometrical factor characterizing the atomic dipole radiation pattern [65].

A.2. Calculation of the excitation spectra

The excitation spectra of the atom at rest give the rate of photon scattering as a function of the probe frequency \( \omega_p \) either at the cavity output, giving \( S_{exc}^\nu (\omega_p) \), or from the resonance fluorescence of the atom integrated over the solid angle involving the modes external to the resonator, which we label by \( S_{exc}^\nu (\omega_p) \). It has the form \( S_{exc}^\nu (\omega_p) \propto R^\nu (\omega_p) \), for \( j = \kappa, \gamma \), whereby \( R^\nu (\omega_p) \) is the rate of scattering a probe photon, assuming that the atom–cavity system is in the initial state \( |g_2, 0\rangle \) at energy \( E_i = \hbar \Delta \) and that the atomic motion is neglected. They are connected to the scatter amplitudes

\[
T^j = \langle \phi_i | W_j(0) G_0(E_i) W_P | \phi_i \rangle
\]

by equation (A.2), evaluated up to first order in the small parameter \( \epsilon \). These amplitudes correspond to processes where, starting from the state \( |\phi_i\rangle \), equation (A.4), the cavity is excited

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by the probe laser as described by $W_p$. The subsequent evolution determined by $G_0(E_{\ell})$ couples the states of the manifold $\{|e, 0\rangle, |g_1, 0\rangle, |g_2, 1\rangle\}$ due to the strong cavity–atom and control laser interaction. Generally, all states of the manifold can be populated at this intermediate state, and a photon can leave the atom–cavity system either by spontaneous emission ($W_j = W_\gamma(0)$) or by leaking through the cavity mirrors ($W_j = W_k$), leading to the final state $|\varphi_f \rangle = |g_2, 0, m; \tilde{E}_k \rangle$. We note that for spontaneous decay it is sufficient to take into account only $W_\gamma(0)$, even for $\gamma_1 \neq 0$: both scattering amplitudes differ only by a constant prefactor.

Evaluating equation (A.15) yields

$$T^\gamma = \hbar \frac{\Omega_p}{2} g_{k,e}^{(\gamma_2)} \hbar \langle e, 0|G^{(1)}(\hbar \Delta)|g_2, 1\rangle,$$  \hspace{1cm} (A.16)

$$T^\kappa = \hbar \frac{\Omega_p}{2} g_{k,e}^{(\kappa)} \hbar \langle g_2, 1|G^{(1)}(\hbar \Delta)|g_2, 1\rangle,$$  \hspace{1cm} (A.17)

where the required matrix elements of $G^{(1)}(\hbar \Delta)$, calculated in appendix B, give the functions $\cos \varphi \mathcal{F}_\gamma(\Delta)$, equation (28b), and $\mathcal{F}_\kappa(\Delta)$, equation (28a), respectively. Using equation (A.2) and after summing over all relevant modes $k, \epsilon$ of the emitted photon, one finds the excitation spectra in the form of equations (27).

**Appendix B. Matrix elements of the resolvent**

In this appendix the relevant matrix elements of the resolvent $G_0(z)$, equation (A.7), are calculated. We first rewrite

$$G_0(z) = G_{\text{opt}}(z - H_{\text{ext}} - H_{\text{free}})$$  \hspace{1cm} (B.1)

using $G_{\text{opt}}(z) = [z - H_{\text{opt}}]^{-1}$ and

$$H_{\text{opt}}^{\text{eff}} = H_{\text{opt}} - i\hbar \frac{\gamma}{2} |e\rangle \langle e| - i\hbar \kappa a^+ a.$$  \hspace{1cm} (B.2)

The operator $G_{\text{opt}}(z)$ has block diagonal form ($P_\ell H_{\text{opt}}^{\text{eff}} P_m = 0$ for $n \neq m$) when considering the subspaces of the state $|g_2, 0\rangle$ and the manifolds

$$\mathcal{M}_n = \{|g_2, n\rangle, |e, n - 1\rangle, |g_1, n - 1\rangle\} \quad (n > 0),$$  \hspace{1cm} (B.3)

where we have denoted the corresponding projectors by $P_0 = |g_2, 0\rangle \langle g_2, 0|$ and $P_n$, respectively.

For the dynamics analyzed in this work it is sufficient to calculate the matrix elements belonging to the case $n = 1$. The relevant matrix elements are found by inverting $z - P_1 H_{\text{opt}}^{\text{eff}} P_1$, yielding

$$G^{(1)}_{\text{opt}}(\hbar \xi) = \frac{1}{\hbar f(\xi)} \left[ |e, 0\rangle \langle e, 0| \right. \{ (\delta_{c_2} - \delta_1 + \xi)(i\kappa + \xi) \}

+ |g_2, 1\rangle \langle g_2, 1| \left. \left\{ (\delta_{c_2} + i\frac{\gamma}{2} + \xi) (\delta_{c_2} - \delta_1 + \xi) - \frac{\Omega_{11}^2}{4} \right\} \right.

+ g \cos \varphi \frac{\Omega_{11}}{2} \left( |g_1, 0\rangle \langle g_2, 1| + \text{H.c.} \right) + (i\kappa + \xi) \frac{\Omega_{11}}{2} \left( |g_1, 0\rangle \langle e, 0| + \text{H.c.} \right)

+ g \cos \varphi (\delta_{c_2} - \delta_1 + \xi) \left( |g_2, 1\rangle \langle e, 0| + \text{H.c.} \right) \right].$$  \hspace{1cm} (B.4)
All the matrix elements of equation (B.4) are inversely proportional to the function
\[ f(\zeta) = \det[\zeta - P_1 H_{\text{eff}}^{\text{opt}} P_1 / \hbar] = \prod_{\ell = \pm, 0} (\zeta - \omega_{\ell}^{\text{eff}}) = (i k + \zeta) \left\{ \left( \delta_{c2} + \zeta + i \frac{\sqrt{y}}{2} \right) \left( \delta_{c2} + \zeta - \delta_1 \right) - \frac{\Omega_1^2}{4} \right\} - g^2 \cos^2 \varphi(\delta_{c2} + \zeta - \delta_1). \]

Its poles are the complex eigenfrequencies \( \omega_{\ell}^{\text{eff}} \), \( \omega_{\circ}^{\text{eff}} \) of the non-Hermitian operator \( H_{\text{eff}}^{\text{opt}} \), equation (B.2), reduced to the states within the manifold \( M_1 \). The real and imaginary parts of these eigenfrequencies are plotted in figure 3 and determine the relevant resonances of the scattering processes.

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