Mortality risk in patients on hemodiafiltration versus hemodialysis: a ‘real-world’ comparison from the DOPPS

Francesco Locatelli1, Angelo Karaboyas2, Ronald L. Pisoni2, Bruce M. Robinson2,3, Joan Fort4, Raymond Vanholder5, Hugh C. Rayner6, Werner Kleophas7,8, Stefan H. Jacobson9, Christian Combe10, Friedrich K. Port2 and Francesca Tentori1,11

1Department of Nephrology and Dialysis, Alessandro Manzoni Hospital, ASST Lecco, Lecco, Italy, 2Arbor Research Collaborative for Health, Ann Arbor, MI, USA, 3Department of Internal Medicine, University of Michigan, Ann Arbor, MI, USA, 4Nephrology Department, University Hospital Vall d’Hebron, Barcelona, Spain, 5Department of Nephrology, University Hospital, Ghent, Belgium, 6Heart of England NHS Foundation Trust, Birmingham, UK, 7MVZ DaVita Rhein-Ruhr, Dusseldorf, Germany, 8Department of Nephrology, Heinrich-Heine-University, Dusseldorf, Germany, 9Division of Nephrology, Karolinska Institute, Danderyd Hospital, Stockholm, Sweden, 10Centre Hospitalier Universitaire de Bordeaux, Bordeaux, France and 11Vanderbilt University, Nashville, TN, USA

Correspondence and offprint requests to: Francesco Locatelli; E-mail: f.locatelli@asst-lecco.it

ABSTRACT

Background. With its convective component, hemodiafiltration (HDF) provides better middle molecule clearance compared with hemodialysis (HD) and is postulated to improve survival. A previous analysis of Dialysis Outcomes and Practice Patterns Study (DOPPS) data in 1998–2001 found lower mortality rates for high replacement fluid volume HDF versus HD. Randomized controlled trials have not shown uniform survival advantage for HDF; in secondary (non-randomized) analyses, better outcomes were observed in patients receiving the highest convection volumes.

Methods. In a ‘real-world’ setting, we analyzed patients on dialysis >90 days from seven European countries in DOPPS Phases 4 and 5 (2009–15). Adjusted Cox regression was used to study HDF (versus HD) and mortality, overall and by replacement fluid volume.

Results. Among 8567 eligible patients, 2012 (23%) were on HDF, ranging from 42% in Sweden to 12% in Germany. Median follow-up was 1.5 years during which 1988 patients died. The adjusted mortality hazard ratio (95% confidence interval) was 1.14 (1.00–1.29) for any HDF versus HD and 1.08 (0.92–1.28) for HDF >20 L replacement fluid volume versus HD. Similar results were found for cardiovascular and infection-related mortality. In an additional analysis aiming to avoid treatment-by-indication bias, we did not observe lower mortality rates in facilities using more HDF (versus HD).

Conclusions. Our results do not support the notion that HDF provides superior patient survival. Further trials designed to test the effect of high-volume HDF (versus lower volume HDF versus HD) on clinical outcomes are needed to adequately inform clinical practices.

Keywords: anemia, chronic kidney disease, dialysis, hemodiafiltration, high-flux dialysis

INTRODUCTION

Due to its convective component, hemodiafiltration (HDF) provides higher clearance of middle molecular solutes
compared with low- or high-flux hemodialysis (HD) [1], and may plausibly improve clinical outcomes. At present, ~18% (~70,000 patients) of all dialysis patients in Europe receive online HDF, with substantially lower rates in Japan (8%; ~35,000 patients) and no use in the USA [2]. This large variation across countries is due in part to differences in regulatory approval for online production of replacement fluid and other technique-related issues (relative complexity, cost, safety concerns). Another major reason is the lack of definitive evidence demonstrating the superiority of HDF. Several studies, including a prior analysis of Dialysis Outcomes and Practice Patterns Study (DOPPS) data, have suggested that HDF may result in better outcomes than HD [3–7], but these findings may be prone to residual confounding and selection bias. Results from randomized trials were inconclusive, with only one study demonstrating a survival benefit of HDF versus HD [8–10]. The most recent French randomized controlled trial (RCT) [11], which focused on elderly patients, did not find a significant difference in either all-cause or cardiovascular mortality between HD and HDF, or between HDF patients with convective volume below versus above 20 L.

The DOPPS is an international prospective cohort study of patients aged 18 years or older treated with in-center HD or HDF. Patients were randomly selected from national samples of dialysis facilities within each country [12, 13]. In this analysis, data from participants in seven European countries (Belgium, France, Germany, Italy, Spain, Sweden and the UK) in DOPPS Phase 4 (2009–11) and Phase 5 (2012–15) were used. Data on demographics, comorbid conditions, laboratory values and prescriptions were abstracted from medical records using uniform and standardized data collection tools. Mortality events were collected during study follow-up. Among 11,885 potential subjects, we excluded patients with vintage <90 days, not dialyzing three times per week, missing type of dialysis (HDF versus HD) and with no follow-up; 8,567 patients remained eligible and were used in our analysis. In a separate analysis, DOPPS 2014 Medical Director Survey (MDS) data from 92 facilities across these seven European countries were used to summarize responses to the question, ‘To what extent do you consider the following clinical conditions to be indications for HDF or hemofiltration in your facility?’ for 12 patient characteristics.

Defining HDF

Two separate variables were abstracted from the DOPPS medical questionnaire: (i) whether the patient was receiving HDF and (ii) if so, how many liters of intravenous replacement fluid were prescribed: <4.0 L, 4.0–8.0 L, 8.1–15.0 L, 15.1–20.0 L, 20.1–30.0 L or >30.0 L. We prioritized the latter question, categorizing a patient with at least 4.0 L of replacement fluid volume as HDF and <4.0 L as HD. If fluid replacement volume was missing, then the former question was used to define HDF versus HD, resulting in some patients classified as HDF but missing replacement fluid volume. Patients missing data on both variables were excluded. For analysis, replacement fluid volume categories were condensed into three groups to avoid sparse cells: 4.0–15.0 L, 15.1–20.0 L and >20.0 L. Facility percentage of patients on HDF (any volume >4 L) was calculated for facilities with more than five eligible patients. Regarding HD, patient-level data on high versus low-flux were not available in this cohort. However, responses from the 2014 MDS among European DOPPS facilities indicated that high-flux HD was used for the majority of patients in ~85% of facilities, including ~70% of facilities where high-flux HD was used for all or almost all patients. These survey data suggest that our reference group of HD patients was primarily using high-flux HD.

Data source

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Statistical analysis

We used Cox regression to estimate the association between HDF (versus HD) and all-cause mortality. All models were stratified by DOPPS phase and country, and accounted for facility clustering using robust sandwich covariance estimators. Models were progressively adjusted for the following potential confounders: age, sex, dialysis vintage, 13 summary comorbidities (listed in Table 1), vascular access, blood flow rate, body mass index (BMI), serum albumin and hemoglobin. Time at risk started at study enrollment and ended at the time of death, 7 days after leaving the facility due to transfer or change in kidney replacement therapy modality, loss to follow-up, transplantation or end of study phase (whichever event occurred first).

Similar models were used to assess the association between HDF and cause-specific mortality: cardiovascular-related mortality and infection-related mortality. In addition to analyzing any HDF versus HD, we also investigated differences by HDF replacement fluid volume; HD was treated as the reference and hazard ratios (HRs) and 95% confidence intervals (CIs) were estimated for three groups of HDF: 4–15 L, 15.1–20 L and >20 L. We also tested effect modification by six factors: congestive heart failure, catheter use, albumin (≥4 versus <4 g/dL), age (>70 versus ≤70 years), sex and diabetes.

Due to the observational nature of this study, covariate adjustment for a wide set of covariates may not account for all of the differences between HDF and HD patients; we thus provide an alternate approach utilizing practice differences between DOPPS facilities. To avoid the treatment-by-indication bias resulting from patients being selected as good candidates for HDF within each facility, we divided facilities into four groups based on their propensity to prescribe HDF to patients. Among the 258 facilities with more than five eligible patients, 30% did not prescribe HDF to any patients, 27% prescribed HDF to 1–14% of patients, 24% prescribed HDF to 15–49% of patients and 19% prescribed HDF to at least 50% of patients. Because confounding is more likely at the facility level than at the patient
level in this model, we additionally adjusted for five facility-level covariables likely associated with mortality: the percentage of patients in a facility with a catheter, with single-pool $Kt/V$ ($spKt/V$) < 1.2, with albumin < 3.5 g/dL and with phosphorus $\geq$ 5.5 mg/dL, and mean within-facility hemoglobin level. We performed a sensitivity analysis assessing patient-level HDF and mortality after excluding the 30% of facilities that did not prescribe HDF to any patients. We also performed a sensitivity analysis excluding HDF patients for which post-dilution could not be confirmed as the typical location used for replacement fluid by DOPPS MDS data.

Missing covariate values were addressed by multiple imputations using the Sequential Regression Multiple Imputation Method [14] by IVWare [15]. Results from 20 such imputed datasets were combined for the final analysis using Rubin’s formula [16]. The proportion of missing data was < 5% for all model covariables, with the exception of albumin (12%), BMI (8%) and blood flow rate (6%). All analyses were conducted using SAS, version 9.4 (SAS institute, Cary, NC, USA).

RESULTS

HDF use

Our study sample included ~20 HD facilities from each of the seven European DOPPS countries, for a total of 135 dialysis facilities in DOPPS Phase 4 and 128 in DOPPS Phase 5; 8567 patients were included, of whom 2012 (23%) were on HDF. We observed wide variation in the proportion of patients prescribed HDF across facilities (Figure 1). A handful of sampled facilities common in Sweden (52%) and least common in Germany (13%). Low-volume HDF (4–15 L replacement fluid, including...
acetate-free biofiltration) was fairly common in Italy and the
UK, but in the three countries with the greatest HDF usage
(Sweden, France and Belgium), nearly all HDF patients had
>15 L replacement fluid volume.

**Patient characteristics**

Among 2012 HDF patients, replacement fluid volume was
4–15 L for 314 patients (16%), 15.1–20 L for 538 patients (27%)
and >20 L for 1010 patients (50%) [including 279 with >30 L
(14%)]. Information on replacement volume was missing for
150 patients (7%); these patients were included in the primary
analysis of any HDF versus HD but excluded from analyses by
replacement fluid volume. Table 1 displays patient characteris-
tics by replacement fluid volume. Compared with HD, HDF
patients tended to be younger and were more likely to be male,
especially those on the highest volume HDF. HDF patients had
longer dialysis vintage, and were less likely to have residual kid-
ney function or to dialyze with a catheter. Blood flow rate and
$Kt/V$ were higher for HDF patients, particularly for high-vol-
ume HDF. Most laboratory values were comparable between
HDF and HD patients; similarly, the presence of comorbid condi-
tions varied slightly between groups. Intradialytic weight loss
(IDWL) was ~2.5% of body weight—equal to 2 L for an 80 kg
patient—among patients on HDF >20 L and so convection vol-
ume (replacement fluid volume + IDWL) was approximately
>22 L in this group.

**HDF and mortality**

Median follow-up was 1.5 years (interquartile range: 0.7–
2.5) and 1988 patients died, resulting in a mortality rate of 14.8
per 100 patient-years. In unadjusted models, only stratified by
DOPPS phase and country (Table 2, Model 1), the HR (95%
CI) of HDF versus HD was 1.01 (0.88–1.16) and the HR (95%
CI) of high volume (>20 L) HDF versus HD was 0.90 (0.75–
1.08). Because of differences in patient characteristics shown in
Table 1, adjustment for confounding factors results in less
biased effect estimates. The adjusted HR (95% CI) was 1.14
(1.00–1.29) for HDF versus HD and 1.08 (0.92–1.28) for HDF
>20 L versus HD (Table 2, Model 5). In a sensitivity analysis
excluding 30% of facilities that did not prescribe HDF to any
patients, we observed an HR (95% CI) of 1.11 (0.98–1.26) for
HDF versus HD. We found no evidence of effect modification
by congestive heart failure, catheter use, albumin, sex or dia-
betes (P for interaction >0.3). The HR (95% CI) for HDF versus
HD was 1.23 (1.06–1.43) among age >70 years and 0.99 (0.82–
1.19) among age ≤70 years (P for interaction = 0.05).

In similarly adjusted models analyzing cardiovascular-
related mortality ($n = 758$ events; 9% of patients) and infection-
related mortality ($n = 330$ events; 4% of patients), we observed
an HR (95% CI) for HDF versus HD of 1.20 (1.01–1.43) and
1.14 (0.83–1.56), respectively.

In an additional analysis using facility percentage of patients
prescribed HDF as the exposure, with facilities that do not

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**FIGURE 1:** Dialysis facility use of HDF, by country and DOPPS phase. DOPPS Phase 4: 2009–11; DOPPS Phase 5: 2012–15. Data suppressed from five facilities with sparse data (five or fewer eligible patients).

**FIGURE 2:** HDF replacement fluid volume, by country and DOPPS phase. DOPPS Phase 4: 2009–11; DOPPS Phase 5: 2012–15.

**Table 2.** HDF and all-cause mortality, by level of adjustment

| Model 1 | Model 2 | Model 3 | Model 4 | Model 5 |
|---------|---------|---------|---------|---------|
| Overall | Any HDF versus HD | +Age, sex, vintage | +13 summary comorbidities | +Vascular access, blood flow rate | +BMI, Hgb, albumin |
| HD (Ref.) | 1.01 (0.88–1.16) | 1.08 (0.95–1.23) | 1.09 (0.97–1.24) | 1.14 (1.01–1.29) | 1.14 (1.00–1.29) |
| HD, 4.0–15.0 L | 1.35 (1.03–1.74) | 1.30 (1.04–1.62) | 1.28 (1.03–1.58) | 1.28 (1.03–1.58) | 1.26 (1.01–1.57) |
| HD, 15.1–20.0 L | 1.04 (0.86–1.25) | 1.09 (0.91–1.31) | 1.10 (0.92–1.32) | 1.15 (0.96–1.37) | 1.16 (0.97–1.40) |
| HD, >20.0 L | 0.90 (0.75–1.08) | 1.00 (0.84–1.19) | 1.02 (0.86–1.21) | 1.09 (0.92–1.29) | 1.08 (0.92–1.28) |

Values are presented as HR (95% CI); Hgb, hemoglobin; 13 comorbidities listed in Table 1.
Table 3. Facility percentage of HDF use and mortality

| Facility percentage of patients using HDF | All-cause mortality | CV-related mortality | Infection-related mortality |
|----------------------------------------|---------------------|----------------------|-----------------------------|
| 0% (all HD)                             | 78 (30%)            | 1 (Ref.)             | 1 (Ref.)                    |
| 1–14%                                  | 69 (27%)            | 1.17 (1.00–1.37)     | 1.33 (1.04–1.72)            |
| 15–49%                                 | 61 (24%)            | 1.09 (0.92–1.30)     | 1.11 (0.83–1.47)            |
| ≥50%                                   | 50 (19%)            | 1.31 (1.08–1.57)     | 1.37 (1.03–1.81)            |

Values are represented as HR (95% CI): Cox models stratified by DOPPS phase and country, adjusted for age, sex, vintage, 13 comorbidities (listed in Table 1), vascular access, blood flow rate, BMI, serum albumin, hemoglobin and five facility-level characteristics: percentage of patients in a facility with a catheter, with sp2/V <1.2, with albumin <3.5 g/dL and with phosphorus ≥5.5 mg/dL, and mean within-facility hemoglobin level; n = 8549 patients from 258 facilities (five facilities with less than or equal to five eligible patients excluded). CV, cardiovascular.

Table 4. Nephrologists’ perception on clinical indications for HDF use

| Patient characteristic | All   | Belgium | France | Germany | Italy | Spain | Sweden | UK   |
|------------------------|-------|---------|--------|---------|-------|-------|--------|------|
| Dialysis-related amyloidosis (%) | 84    | 86      | 100    | 67      | 73    | 94    | 88     | 82   |
| Polineuropathy (%)       | 77    | 79      | 80     | 67      | 73    | 100   | 76     | 65   |
| Hemodynamic instability (%) | 75   | 57      | 100    | 50      | 82    | 88    | 81     | 76   |
| Longer life expectancy (%) | 74  | 64      | 100    | 33      | 73    | 100   | 81     | 71   |
| Has been on dialysis 10 years (%) | 63  | 50      | 80     | 25      | 27    | 82    | 81     | 82   |
| Heart failure (%)        | 58    | 50      | 60     | 42      | 64    | 82    | 56     | 47   |
| Has been on dialysis 5 years (%) | 58  | 50      | 80     | 17      | 27    | 71    | 69     | 82   |
| Worsening nutritional status (%) | 55  | 43      | 100    | 25      | 55    | 100   | 38     | 47   |
| Coronary artery disease (%) | 51   | 36      | 80     | 33      | 55    | 76    | 56     | 35   |
| Diabetes (%)             | 51    | 36      | 80     | 25      | 64    | 76    | 50     | 41   |
| Large patient size (%)   | 49    | 57      | 40     | 8       | 27    | 88    | 38     | 59   |
| Elderly (%)              | 28    | 21      | 40     | 25      | 18    | 35    | 25     | 35   |

*As reported by medical directors at DOPPS facilities in Phase 5 (2014) MDS; n = 92 medical directors surveyed: 14 in Belgium, 5 in France, 12 in Germany, 11 in Italy, 17 in Spain, 16 in Sweden and 17 in the UK; the proportion of medical directors in each country who indicated that the given patient characteristic was a ‘strong indication’ for HDF is reported.

**DISCUSSION**

The current study of a contemporary cohort of patients across seven countries found no evidence of a survival benefit with HDF, even at replacement fluid volumes >20 L (convection volumes above ~22 L after accounting for ~2 kg ultrafiltration). Our findings are in contrast to prior cohort studies that suggested better outcomes for HDF versus HD, including a prior analysis of DOPPS data [3–7].

In recent years, three RCTs tested the impact of HDF on mortality [8–10]. However, results of these studies were inconsistent, with only one study indicating a survival benefit [10] although with some methodological drawbacks [17]. Two meta-analyses [18, 19] of the same RCTs concluded that HDF resulted in lower mortality, especially among patients receiving the highest convection volume. One potential explanation for the discrepancy between results of the meta-analyses and those from the two RCTs included in the meta-analyses could be that the majority of patients in these two trials [8, 9] did not reach the target replacement fluid volume. In the ESHOL trial [10], the only study showing a positive effect of HDF on survival at primary analysis, patients were excluded after randomization if the pre-set 18 L volume of replacement fluid was not reached. Patients with better vascular access flow and intradialytic cardiovascular stability, who also tend to be generally healthier, would be more likely to achieve larger replacement fluid volumes, resulting in a potential selection bias [17].

Three other meta-analyses [20–22] have emphasized important methodological limitations of the RCTs. Peters et al.
showed better survival in the primary analysis; however, the secondary analyses considered achieved convection volumes and thus no longer followed the randomization protocol. As RCT data outside of the context of randomization are considered to reach only observational evidence levels with insufficient data on potential confounders, their conclusions should be interpreted with caution.

Along with these methodological limitations, the most plausible explanation for the discrepancies between our findings and those of the meta-analysis of Peters et al. [18] is the substantial difference in study populations due to stringent inclusion/exclusion criteria of the trials considered by Peters et al. [18]. Conversely, the DOPPS design [12, 13] results in samples of dialysis facilities and patients who are representative of practices as implemented in each country. Hence, results of this analysis may be more relevant to ‘real-world’ HD patients compared with those of clinical trials.

Our results are consistent with the Nistor et al. [20] meta-analysis and Siriopol et al. [5] study, which did not find any relation between high convection volumes and survival. Additionally, Buchanan et al. [23], using functional magnetic resonance imaging (fMRI), found no difference in cardiac wall motion abnormalities for HDF versus HD. In contrast to the secondary analyses of randomized trials [8, 9] and the Peters et al. [18] meta-analysis, we did not find any survival benefit from HDF even among patients with the highest convection volume. Patient selection for trial participation may have contributed to this discrepancy, since only ‘healthier’ patients (e.g., those with permanent vascular access, able to deliver higher blood flows) could reach the high convection volumes of HDF. The positive effect of higher convection volumes may not apply to an overall dialysis population such as the one assessed in the present study, since usually only healthier patients with good cardiovascular status are able to reach and tolerate the high convection volumes.

Physicians’ attitudes toward HDF use and its indications vary widely across countries, again reflecting the uncertainty surrounding the potential benefits of this technique. Along with complications from long time on dialysis (e.g., amyloidosis), longer life expectancy was one of the most common indications reported by medical directors, which suggests the possibility that HDF patients may be overall healthier compared with HD patients. This indication suggests an important patient selection and a potentially strong confounder that would favor better outcomes for HDF.

Our study has some strengths and weaknesses. Strengths include detailed data collection on potential confounders and causes of death. The variation in facility practice patterns allowed the facility percentage HDF use to be used as an exposure variable in secondary analyses, reducing treatment-by-indication bias. Compared with the previous DOPPS analysis [3], which showed seemingly conflicting results, the current study had a much larger sample size, a larger proportion of patients using high-volume HDF and a more contemporary cohort where the quality of HD care has improved. Therefore, the current study provides a more accurate representation of clinical practices in a contemporary care setting. One limitation of our study is that follow-up began at DOPPS entry rather than at initiation of HDF because information on the exposure prior to DOPPS entry was not available. Thus, patients for whom HDF was discontinued due to ineffectiveness or other reasons prior to DOPPS entry were misclassified as HD in the analysis. In addition, due to the observational nature of the DOPPS, it is possible that model adjustment failed to adequately account for selected confounders so that residual confounding may remain and affect our findings. However, because patients prescribed HDF tended to be slightly younger and healthier, each of these limitations would more likely bias results toward an HDF benefit. On the other hand, we were unable to account for intradialytic hemodynamic instability—an indication for HDF as shown in Table 4—in our models, which may result in bias in the opposite direction.

In conclusion, our analyses of current dialysis practices do not support the wide spread notion that HDF, especially with high convection volume, provides superior patient survival, hence supporting the equipoise of HDF and HD. Further trials specifically designed to test the effect of different convection volumes on clinical outcomes are needed in order to adequately inform clinical practices.

**AUTHORS’ CONTRIBUTIONS**

All authors were involved in: conception or design, or analysis and interpretation of data, or both; drafting the article or revising it; providing intellectual content of critical importance to the work described; and final approval of the version to be published.

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**CONFLICT OF INTEREST STATEMENT**

F.L. has received lecture fees from B. Braun and is a Member of the EUDIAL Group of ERA-EDTA. B.M.R. has received lecture fees from B. Braun.
fears from Kyowa Hakko Kirin. R.V. has received lecture fees from Fresenius Medical Care. S.H.J. has received lecture fees from Amgen. C.C. has received lecture fees from Fresenius. F.T. has received consulting fees from MedScape and is employed by Davita. W.K. is an employee of Davita Germany. All other authors have nothing to declare.

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