**Klebsiella pneumoniae** presents antimicrobial drug resistance for β-lactam through the ESBL/PBP signaling pathway

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**Abstract.** Overuse and misuse of antibiotics leads to antibiotic resistance which has become a significant public health concern. **Klebsiella pneumoniae** is the most common pathogenic bacteria underlying nosocomial infections due to the expression of virulence factors and occurrence of antibiotic resistance. Evidence indicates that β-lactamase is involved in the antibiotic resistance of **Klebsiella pneumoniae** to β-lactam antibiotics. The aim of the present study was to investigate the association between the molecular biological mechanisms of antibiotic resistance of **Klebsiella pneumoniae** and extended-spectrum β-lactamase (ESBL). In order to assess temporal trends in prevalence and antimicrobial susceptibility, **Klebsiella pneumoniae** bacteria were isolated and the ESBL expression level was analyzed. Susceptibility tests were performed using automated systems. The β-lactam-resistance in **Klebsiella pneumoniae** was assessed by the β-lactam agar screen plate and respective MIC values were evaluated using E-test strips. The confirmatory disk diffusion methods were applied for phenotypic identification of the ESBL production of **Klebsiella pneumoniae**. The results showed that **Klebsiella pneumoniae** bacteria exhibited higher ESBL production after treatment with β-lactam compared to the control. The ESBL gene expression was upregulated in **Klebsiella pneumoniae** after treatment with β-lactam. Results identified that penicillin-binding proteins (PBPs) were associated with the growth and resistance to β-lactams. Zinc finger nuclease markedly inhibited the antibiotic resistance of **Klebsiella pneumoniae** to β-lactam. PBP knockdown abolished the inhibitory effects of zinc finger nuclease on the growth of **Klebsiella pneumoniae** induced by β-lactam antibiotic treatment. In conclusion, these results suggest that the resistance of **Klebsiella pneumoniae** bacteria to antimicrobial drugs is through the ESBL signaling pathway, which indicates that ESBL may be a potential target for abolishing resistance to β-lactam.

**Introduction**

In the past several decades, overuse and misuse of antibiotics has led to increased antibiotic resistance which has become a significant public health concern (1). There is no systematic surveillance of antibiotic misuse and no data are available to quantify the problem (2,3). Currently, antibiotic resistance presents an increasing trend that leads to significant public health issues and the increase in healthcare-associated infections caused by antibiotic-resistant pathogens worldwide (4,5). Resistance to different antimicrobial drugs is attributed to the increased irregular employment of antibiotics that have resulted in the drug resistance of expression of virulence factors, promotion of intra-hospital cross infection and even increased challenges of clinical treatments (6-8). A previous report suggests that the major driving force for the occurrence of antibiotic-resistant pathogens is the evolution of metabolic function caused by the rapid antibiotic consumption worldwide (9). Therefore, on the one hand, health organizations are required to implement appropriate policies to supervise antibiotic usage to reduce antibiotic resistance. On the other hand, understanding the molecular biological mechanisms underlying antimicrobial resistance is imminent to evaluate the effects of intervention strategies.

Currently, antimicrobial resistance of gram-negative bacilli is a persistent issue (10). Antibiotic treatment is the most common therapeutic regimen for pulmonary infections with **Klebsiella pneumoniae** in patients with pneumonia (11). The current antimicrobial resistance and susceptibility of **Klebsiella pneumoniae** bacteria have been observed in clinical practice (12). Previous research has shown that the frequent outbreak of nosocomial infections is due to extended-spectrum β-lactamase (ESBL) produced by **Klebsiella pneumoniae** that is attributed to multiple mechanisms underlying drug resistance (13). In addition, strains of **Klebsiella pneumoniae** exhibit transferable multiple drug resistance based on clinical sepsis observation (14). Furthermore, antibacterial drug susceptibility of **Klebsiella pneumoniae** has attracted attention since pathogenic bacteria have acquired simultaneous resistance to various antimicrobial classes mediated by the

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production of ESBL. However, no precise molecular biological mechanisms underlying the antimicrobial drug resistance of *Klebsiella pneumoniae* have been reported (15).

The correlation between antimicrobial drug resistance and biofilm formation along with ESBL lactamase produced in *Escherichia coli* has been demonstrated in a previous study (16). Recently, the increase in drug resistance among *Klebsiella pneumoniae* has caused a great problem in the treatment of pneumonia (17). The mechanisms involved when β-lactamase hydrolyzes β-lactam antibiotics have been investigated by performing different experiments (18). Previous research indicates that ancient evolutionary relationships between β-lactamases and antibiotic-producing bacteria are relatively conservative (19). In any way, antibiotic-resistance genes originate in antibiotic-producing microorganisms and subsequently integrate into the genome of other pathogens through transduction and/or transformation (20, 21). Research has found that penicillin-binding proteins (PBPs), membrane-associated macromolecules, play key roles in the cell wall synthesis process (22). In addition, zinc finger nuclease is a new approach to overcome β-lactam antibiotic resistance (23).

In the present study, it was hypothesized that interfering with ESBL synthesis could decrease antimicrobial drug resistance leading to the control of nosocomial infections, transmission and cross infection. The study also investigated the association between the molecular biological mechanism underlying the antibiotic resistance of *Klebsiella pneumoniae* and the ESBL/PBP signaling pathway. The present study was designed to elucidate β-lactam resistance and to understand the efficacy of PBPs and zinc finger nuclease in increasing ESBL expression.

**Materials and methods**

*Klebsiella pneumoniae* culture and reagents. Natural being *Klebsiella pneumoniae* (NB-K.p) bacteria were purchased from American Type Culture Collection (*Klebsiella pneumoniae subsp. Pneumoniae*; ATCC® 43816™). *Klebsiella pneumoniae* bacteria from patients with pneumonia (PD-K.p) were isolated from a 56-year male patient with pneumonia who suffered from the disease for approximately 30 years. Cells of *Klebsiella pneumoniae* were grown in LB medium at 37°C for 24 h.

Growth potential assay. The *Klebsiella pneumoniae* bacteria were cultured in 10 mg/ml penicillin medium with or without penicillin-binding proteins (PBPs, 0.67 µg/ml, Sigma-Aldrich; Merck KGaA) for 24 h. The number of *Klebsiella pneumoniae* cells was calculated in the agar plating. The detailed procedures were conducted according to a previous study (24).

Plasmid construction. To investigate the site of the zinc finger nuclease, a recombinant plasmid expressing GFP and ZFN (rpGFP-ZFN) was constructed. All plasmids were purchased from Invitrogen (Thermo Fisher Scientific, Inc.) and are listed in Table I. PCR amplification was followed by preliminary denaturation at 94°C for 2 min, followed by 45 cycles of 95°C for 30 sec; annealing temperature was reduced to 57°C for 30 sec, and 72°C for 30 sec. A volume of 20 µl containing 50 ng of genomic DNA, 200 µM dNTP, 2.5 units of *Taq* DNA polymerase, and 200 µM primers was used. Relative mRNA expression level changes were calculated using the 2^ΔΔCq method (25). The results are expressed as n-fold compared with the β-actin control.

Western blot analysis. *Klebsiella pneumoniae* cells were homogenized using RIPA buffer (M-PER reagent for the cells and T-PER reagent for the tissues; Thermo Fisher Scientific, Inc.) and centrifuged at 6,000 x g at 4°C for 15 min. The protein concentration was measured using a BCA protein assay kit (Thermo Fisher Scientific, Inc.). A total of 30 µg protein extracts was electrophoresed on 12% polyacrylamide gradient gels and then transferred to polyvinylidene fluoride (PVDF) membrane. The membranes were incubated in 5% BSA (Sigma-Aldrich; Merck KGaA) for 2 h at 37°C and then incubated with primary antibodies (dilution 1:1,000, cat. no. ab11251; Abcam) or β-actin (dilution 1:1,000, cat. no. ab8226; Abcam) for 2 h at 37°C. After washing with PBS three times, the membranes were then incubating with secondary antibodies (dilution 1:1,000, cat. no. SABI1045182; Sigma-Aldrich; Merck KGaA) for 12 h at 4°C. After three washings in PBST, the membranes were developed using a chemiluminescence assay system (Roche) and exposed to Kodak exposure film. Densitometric quantification of the immunoblot data was performed by using Quantity-One software (version 1.2; Bio-Rad Laboratories, Inc.).

Immunofluorescence. *Klebsiella pneumoniae* cells were prepared as standard operation. *Klebsiella pneumoniae* cells were fixed with formaldehyde solution (10%). Rehydrated slides or cells were incubated with primary antibodies: Anti-PBP with penicillin by using ELISA Kit (cat. no. B21210; R&D Systems). The ELISA assays were performed according to the manufacturer's protocols. The results were measured at 450 nm in an ELISA reader.
Table I. Primers used for quantitative real-time PCR.

| Gene name | Reverse | Forward |
|-----------|---------|---------|
| BlaR1     | 5’-TCTAGAGGATCATATTACATAATCCAGCTC-3’ | 5’-GAGCTCGTATTGTAATATGATCCTCTAGA-3’ |
| Bla1      | 5’-CGCTTAAITCAGCATAAACC-3’ | 5’-GAGCTCGTATTGTAATATGATCCTCTAGA-3’ |
| β-actin   | 5’-CGGAGTCAACGGATTTGGTC-3’ | 5’-AGCCCTTCCATGGTCTGTA-3’ |

Electrospray ionization-mass spectrometric analysis.

The mass spectrometry was recorded using a quadrupole time-of-flight mass filter (Micromass, UK). Spectrometric analysis was scanned over a range 100-2,000 units of ratio m/z of mass to charge, with scan step 2 sec and interscan 0.1 sec/step. The quadrupole scan mode was performed under an electrospray voltage 3 kV at the tip of a stainless-steel capillary needle. The elution conditions were acetonitrile (50%) containing formic acid (0.1%) at rate 2 µl/min.

Knockdown gene-coding PBPs. A total of 1x10⁶ cells in 100 µl H₂O were transfected with control siRNA negative control (control, 30 nM, 5’-UCACA ACCUCCUAGA AAGAGU AGA-3’) or siRNA targeting PBPs (PBP, 30 nM, 5’-CUGAAG UGAUGUGUACUGACG-3’) using Hyperfect (Qiagen) transfection reagent. After 48 hours of transfection, the transfected cells were treated with zinc finger nuclease (0.5 µg/ml, Sigma-Aldrich; Merck KGaA) for 12 h at 37°C.

Statistical methods. All presented data are reported as means ± SEM. Unpaired data were analyzed by Student's t-test. Comparisons of data between multiple groups were analyzed by analysis of variance (ANOVA) followed by Tukey post hoc test. *P<0.05 and **P<0.01 were assigned to indicate statistical significance and are denoted with the relevant asterisks in the figures.

Results

Identification of ESBL gene expression and antimicrobial drug resistance of Klebsiella pneumoniae. In order to analysis the antimicrobial drug resistance of Klebsiella pneumoniae for β-lactam, we first determined ESBL gene expression and evaluated β-lactamase activity. The results in Fig. 1A demonstrate that both the mRNA and protein expression levels of ESBL were higher in Klebsiella pneumoniae isolated from patients with pneumonia (PD-K.p) than natural being Klebsiella pneumonia (NB-K.p). A band at 42 kDa protein was identified in the cell extract of Klebsiella pneumoniae. To determine the role of ESBL in the antimicrobial resistance of Klebsiella pneumoniae, the growth of Klebsiella pneumoniae was assessed. Our data showed that β-lactamase could hydrolyze the β-lactam ring, as determined by electrospray ionization-mass spectrometric analysis (Fig. 1B). PD-K.p presented a higher survival than NB-K.p in an environment with penicillin-based antibiotics (Fig. 1C). This may be due to the higher production of β-lactamase in PD-K.p than NB-K.p. To determine the β-lactamase activity in vivo, ampicillin was utilized as a substrate to confirm the activity of β-lactamase. The data in Fig. 1D illustrate that new signals with m/z 368 units M-H⁺ and 390 units M-Na⁺ were observed in NB-K.p after incubation with β-lactamase protein at 25°C for 30 min (m/z of ampicillin is 150 units M-H⁺ and 172 units M-Na⁺ of PD-K.p). These data suggest that β-lactamase hydrolyzed the amide bond of the β-lactam ring and promoted antimicrobial drug resistance of PD-K.p.

Biological functions of PBPs in Klebsiella pneumoniae growth. Penicillin-binding proteins (PBPs), bacterial peripheral membrane enzymes, play an essential role in catalysis in the final steps for the biosynthesis of the essential bacterial cell wall peptidoglycan. PBPs are involved in antimicrobial drug resistance. In this study, we aimed to ascertain whether PBPs (25 mg/ml) could attenuate antimicrobial drug resistance of Klebsiella pneumoniae for β-lactam antibiotics. Our results showed that PBPs could bind with β-lactam antibiotic (penicillin) in vitro (Fig. 2A). It was also found that PBPs inhibited Klebsiella pneumoniae growth (PD-K.p and NB-K.p) compared to the control after incubation with penicillin (Fig. 2B). This revealed that PBPs significantly inhibited the growth of PD-K.p compared to NB-K.p (P<0.01 vs. NB-K.p). In addition, we also found that penicillin could bind the FITC-labeled PBP-specific antibody, and these results suggested that PBPs were expressed and presented at the cell surface of Klebsiella pneumonia, as determined by confocal fluorescence microscope. Furthermore, PBPs downregulated expression of β-lactamase (Fig. 2D). These results suggest that the binding of PBPs to β-lactam may interfere with the synthesis of β-lactamases.

Efficacy of zinc finger nuclease for growth of Klebsiella pneumoniae. A previous study indicates that zinc finger nuclease technology is able to target and disrupt gene-encoded β-lactamase, which prevents horizontal gene transfer-mediated evolution of antibiotic resistant bacteria and antibiotic resistance genes (23). Therefore, we analyzed the function of zinc finger nuclease in Klebsiella pneumoniae. Fig. 3A shows the antimicrobial susceptibility profile of Klebsiella pneumoniae. The results revealed that Klebsiella pneumoniae
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Figure 1. ESBL expression in Klebsiella pneumoniae isolated from a patient with pneumonia. (A) ESBL mRNA and protein expression levels were analyzed in NB-K.p and PD-K.p (B) Detection of the decomposition product by β-lactamase. (C) β-lactamase allowed PD-K.p to survive in an environment with penicillin-based antibiotics. (D) M/Z values as analyzed in NB-K.p and PD-K.p for penicillin. Data are presented as the mean ± SEM. *P<0.05, statistically significant difference. NB-K.p, natural being Klebsiella pneumoniae; PD-K.p, Klebsiella pneumoniae from a patient with pneumonia; ESBL, extended-spectrum β-lactamase.

Figure 2. Biological functions of PBPs in regards to Klebsiella pneumoniae growth. (A) ELISA was used to analyze the affinity between PBPs and β-lactam antibiotic (penicillin) in vitro. (B) PBP efficiently inhibited the growth of NB-K.p and PD-K.p at a concentration of 25 mg/ml. (C) PBP expression was located on the cell surface of Klebsiella pneumonia as determined by confocal fluorescence microscope. (D) PBP treatment downregulated expression of β-lactamases. Data are presented as the mean ± SEM. *P<0.05, **P<0.01, statistically significant difference. NB-K.p, natural being Klebsiella pneumoniae; PD-K.p, Klebsiella pneumoniae from a patient with pneumonia; ESBL, extended-spectrum β-lactamase; PBPs, penicillin-binding proteins.
bacteria exhibit varying degrees of multi-drug resistance (CD, clindamycin; E, erythromycin; LZD, linezolid; PG, penicillin G; RP, rifampin; OX, oxacillin; concentrations of all the antibiotics were 1 mg/ml). However, zinc finger nuclease treatment decreased drug resistance of *Klebsiella pneumoniae* compared to control. Fig. 3B indicates that the growth of *Klebsiella pneumoniae* was inhibited after transduction of plasmids containing zinc finger nuclease. The optical density experiment demonstrated a marked decrease in *Klebsiella pneumoniae* growth after treatment with ampicillin compared with the control groups (P<0.01) at the indicated temperature, which was consistent with the results in Fig. 3A (Fig. 3C). In addition, the kinetics of zinc finger nuclease in *Klebsiella pneumoniae* was analyzed. The data showed that zinc finger nuclease markedly increased the binding potential of PD-K.p to penicillin compared with PBS (control) (Fig. 3D). The data suggest that zinc finger nuclease inhibited *Klebsiella pneumoniae* growth and enhanced the binding capacity of penicillin to *Klebsiella pneumoniae*.

**Relationship among β-lactamase, PBPs and zinc finger nuclease in PD-K.p.** To understand the mechanism of zinc finger nuclease in inhibiting *Klebsiella pneumoniae* growth, we analyzed the relationship among β-lactamase, PBPs and zinc finger nuclease. First, we detected expression levels of β-lactamase and PBPs in *Klebsiella pneumoniae* after incubation with zinc finger nuclease for 24 h. Fig. 4A shows that zinc finger nuclease treatment upregulated PBP expression and downregulated β-lactamase production. Knockdown of gene-coding PBPs abrogated the inhibitory effects on zinc finger nuclease for PD-K.p (Fig. 4B). Importantly, our data showed that the inhibitory effects of zinc finger nuclease were more superior to PBPs at the same concentration (10 mg/ml) in regards to PD-K.p growth (Fig. 4C). Notably, expression levels of two members (BlaR1 and BlaI) of the β-lactamase signaling pathway in PD-K.p were decreased after zinc finger nuclease treatment (Fig. 4D). To discover the action site of zinc finger nuclease, we transfected plasmids of rpGFP-ZFN into *Klebsiella pneumoniae* with rpGFP as control. As shown in Fig. 4E, strong fluorescence was observed in surrounding *Klebsiella pneumoniae* cells delivering rpGFP-ZFN plasmid, while fluorescence was found in the cytoplasm carrying rpGFP, indicating that the action site of zinc finger nuclease is associated with the membrane of *Klebsiella pneumoniae*. Fig. 4F further confirmed that the action site of zinc finger nuclease is located in the outer membrane of *Klebsiella pneumoniae*. These results indicate that zinc finger nuclease could regulate PBPs or zinc finger nuclease expression, suggesting that it may represent a potential agent for antibiotic resistance.

**Discussion**

The mean number of gram-positive bacilli isolated from hospitalized patients has gradually revealed the different degree of antibiotic resistance since 2000 (26,27). Resistance to antimicrobial drugs is one of the greatest challenges due to the overuse and misuse of antibiotics in modern medicine, which significantly threatens public health (28,29). In the present study, the potential molecular mechanism of antibiotic resistance of
Klebsiella pneumoniae was investigated. Although a previous study has proposed a primary theory of antibiotic resistance, the main viewpoint focuses on the β-lactamase signaling (30). In the present study, extended-spectrum β-lactamase produced by Klebsiella pneumoniae was confirmed by susceptibility testing. Notably, our data indicated that penicillin-binding proteins (PBPs) enhanced the affinity between Klebsiella pneumoniae and penicillin, which led to the inhibition of the growth of Klebsiella pneumoniae. Importantly, zinc finger nuclease targeting the β-lactam resistance gene led to the downregulation of β-lactamase synthesis. We also found that expression of PBPs was decreased in Klebsiella pneumoniae after treatment with zinc finger nuclease. We concluded that zinc finger nuclease not only regulated the biological activity of β-lactamase, but also promoted expression of PBPs in Klebsiella pneumoniae.

Antibiotic resistance in Klebsiella pneumoniae is associated with high rates of morbidity and mortality in clinical patients (31). Therefore, understanding the mechanisms involved in the antibiotic resistance of Klebsiella pneumoniae are highly required to solve the antibiotic resistance problem and decrease mortality of patients infected with Klebsiella pneumoniae. β-lactam antibiotic resistance is mainly caused by two mechanisms including extended-spectrum β-lactamase (ESBL) and target mutation of gene-coding PBPs (32,33). The results of the present study found that ESBL expression was upregulated by the indiscriminate application of antibiotics, which plays a hydrolytic role in β-lactam antibiotic resistance. The expression level of β-lactamases is responsible for β-lactam resistance in Klebsiella pneumoniae by catalyzing the hydrolysis of β-lactam antibiotics to generate antimicrobiologically inactive compounds (34). In addition, a recent study indicated that the genome of the β-lactamase superfamily including RNA-metabolizing metallo-β-lactamases and β-lactamase domain-containing proteins are expanded in antibiotic-resistant Klebsiella pneumoniae (35). Furthermore, a study has showed that various types of β-lactamases are distributed in a variety of organisms (36). In the present study, it was observed that the antibiotic resistance of Klebsiella pneumoniae was associated with β-lactamase expression (37). ESBL-producing bacteria are resistant to most β-lactam antibiotics (38). ESBL-producing Klebsiella pneumoniae with genetic diversity is characterized with resistance genes, which is a significant threat for public health (39). This study found that patient-derived PD-K.p produced more ESBL than natural being NB-K.p, which contributed to the increasing of drug resistance. Future study should be specifically targeted towards the ESBL signaling pathway as it is crucial to guide antibiotic therapy for patients infected with PD-K.p.

A previous study has suggested that zinc finger nuclease may be a potential agent to overcome β-lactam antibiotic resistance (23). Antibiotic resistance of Klebsiella pneumoniae has challenged the therapeutic efficacy for patients with pneumonia (35). This preclinical study described the efficacy of zinc finger nuclease technology via targeting and disrupting the high expression of lactamase in Klebsiella pneumoniae, which prevented horizontal gene transfer-mediated antibiotic resistance. Our data showed that zinc finger nuclease targeting the β-lactam resistance gene led to downregulation of the β-lactamase signaling pathway and decreased β-lactamase
synthesis and therefore attenuated β-lactam resistance. The results also found that zinc finger nuclease promoted PBP synthesis, which enhanced the inhibitory effects of β-lactam on the growth of *Klebsiella pneumoniae*. However, the present study only analyzed the role of PBP synthesis in *Klebsiella pneumoniae* growth, and further assessment of the function of PBPs need further investigation. In addition, development of an advanced zinc finger nuclease archive to target a broader range of DNA sequences would allow zinc finger nuclease to target the catalytic domain encoding region of drug resistance, which may result in more efficient disruption of resistance. Furthermore, increasing zinc finger nuclelease expression may be a potential strategy to reduce antibiotic resistance in *Klebsiella pneumoniae*.

In conclusion, the present study elucidated the molecular mechanisms of the β-lactam resistance of *Klebsiella pneumoniae*. PBPs and zinc finger nuclease were utilized to design the drug resistance, while zinc finger nuclease was found to be a potent regulator of PBP expression. Consistent with a previous study, β-lactamases in antibiotic-resistance *Klebsiella pneumonia* are various and PBP expression is variable in the β-lactam system (40). In addition, PBPs and β-lactamases are two important resistance mechanisms in *Klebsiella pneumoniae* caused by the frequent use of antibiotics in patients with pneumonia (41). Furthermore, the rate of emergence of new antibiotic-resistant bacteria is rapidly increasing, that leads to an increase in the number of patients presenting with tolerant *Klebsiella pneumonia*. Taken together, the resistance of *Klebsiella pneumoniae* bacteria to antimicrobial drugs acts via the ESBL signaling pathway, which may be a potential target for the treatment of patients infected with *Klebsiella pneumoniae* through the β-lactamase signaling pathway.

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Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Authors' contributions

WY performed experiments and data analysis. XZ, NW and HR performed experiments, collected data and prepared the diagrams. WJ designed the experiments and wrote the manuscript.

Ethics approval and consent to participate

This study was approved by the Ethics Committee of Tianjin First Central Hospital (Tianjin, China). The patient enrolled in this study signed a written consent form before participation.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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