Relativity Restored: Dirac Anisotropy in QED$_3$

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We show that at long lengthscales and low energies and to leading order in $1/N$ expansion, the anisotropic QED in 2+1 dimensions renormalizes to an isotropic limit. Consequently, the (Euclidean) relativistic invariance of the theory is spontaneously restored at the isotropic critical point, characterized by the anomalous dimension exponent of the Dirac fermion propagator $\eta$. We find $\eta = 16/3\pi^2 N$.

Quantum electrodynamics in (2+1) dimensions (QED$_3$) has recently emerged as a low-energy effective theory of a number of condensed matter systems [1, 2, 3, 4, 5, 6]. Examples range from fluctuating d-wave superconductors in underdoped cuprates [6, 7] to pyrolitic graphite [2] to Heisenberg antiferromagnets and spin liquids [3, 4, 5, 7]. While these multiple reincarnations of QED$_3$ differ mightily in their physical content, they all share certain important formal similarities. The low energy behavior is controlled by an infra-red fixed point where the gauge field acquires a universal dimensionless coupling constant $g \propto 1/N$, $N$ being the number of Dirac fermion flavors [6, 7]. At values of $g$ larger than some critical value $g_c$ ($N < N_c$) it is believed that the theory has an instability into a state with broken chiral symmetry, with fermions spontaneously acquiring a finite dynamical mass [4]. Among the formal aspects shared by the above theories surely one of the most ubiquitous is the spacetime anisotropy – such low-energy effective theories are obviously only pretending to be “relativistic”. They hail from non-relativistic quantum Hamiltonians and are not obliged to be invariant under Lorentz transformations. Consequently, they often contain more than one “speed of light” resulting in the above anisotropy. An important question is to what extent are the properties of these effective theories similar to the genuine, isotropic QED$_3$ and, in particular, what is the nature of the critical behavior and chiral symmetry breaking when such anisotropy is present.

In this Letter we address the problem of Dirac anisotropy in QED$_3$. Our point of departure is the assumption that the symmetric (massless or critical) phase of isotropic QED$_3$, obtained when the number of fermion flavors is larger than a critical value, $N > N_c$, is controlled by a stable non-trivial infrared critical point [4, 6] characterized by the anomalous dimension exponent $\eta > 0$ of the gauge-invariant Dirac fermion propagator. Based on this assumption, which is almost certainly correct for $N \gg N_c$, we derive the following results within a $1/N$ expansion: i) When anisotropy is turned on at this interacting critical point we find it to be marginally irrelevant in a perturbative sense. This implies that the symmetric, critical phase of QED$_3$ remains unaffected by small Dirac anisotropy. In particular, the value of $\eta$ remains unchanged. ii) Going beyond the perturbative regime, and by exploring the structure of renormalization group (RG) flows, we argue that any finite anisotropy is also irrelevant. Finally, iii) we compute the explicit value of $\eta$ and find $\eta = 16/3\pi^2 N$. Our results imply that the relativistic invariance of a QED$_3$-like effective theory is itself an emergent property: it is spontaneously dynamically restored at the critical point.

The anisotropic QED$_3$ can be defined as follows:

$$\mathcal{L} = \bar{\psi}^{(n)}(\gamma_\mu \sqrt{g^{(n)}_{\mu\nu}} (\partial_\nu + ia_\nu))\psi^{(n)} + \frac{1}{2v^2}(\partial \times a)^2 \quad (1)$$

where $\psi^{(n)}$ is a Fermi field associated with a node $n$, $\gamma_\mu$ is a Dirac matrix, and $a_\mu$ is a massless $U(1)$ gauge field related to fluctuations of unbound $2 + 1$ vortex loops [4, 10]. We also introduced the diagonal “nodal” metric $g^{(n)}_{\mu\nu}$:

$$g^{(1)}_{00} = g^{(2)}_{00} = 1, \quad g^{(1)}_{11} = g^{(2)}_{22} = \nu^2, \quad g^{(2)}_{12} = g^{(2)}_{12} = v_3^2.$$ Other forms can be reduced to this one by suitable rescalings of spacetime coordinates and fermion and gauge fields.

The Dirac anisotropy of $\mathcal{L}$ [4] is more sinister that its bosonic kin [10]. In the Higgs-Abelian gauge theory the anisotropy can be fully rescaled out of the matter part of the action leading to the new effective action with the anisotropy stored only in the gauge field Maxwellian action. Since matter cannot generate any anisotropic contribution to the gauge field and by the virtue of the isotropic charge being a relevant operator, it is easy to show that anisotropy of the bosonic theory is marginally irrelevant.

In the fermionic QED$_3$ [10] the above simple procedure does not work because one cannot simultaneously rescale the kinetic energy for all fermion species. We therefore keep the anisotropy confined to the matter part of [10] and proceed from there. The two-point vertex function of the
non-interacting theory for, say, \((1, \bar{1})\) Dirac fermions is
\[
\Gamma^{(2)}_{11}^{\text{free}} = \gamma_0 k_0 + v_F \gamma_1 k_1 + v_\Delta \gamma_2 k_2
\] (2)
and the corresponding Green function equals
\[
G_0^\mu(k) = \frac{\sqrt{g^\mu_\nu} \gamma^\nu}{k_\mu g_{\mu\nu} k_\nu} = \frac{\gamma^\mu_\nu}{k_\mu g_{\mu\nu} k_\nu} .
\] (3)

In what follows we assume that both \(v_F\) and \(v_\Delta\) are dimensionless and that eventually one of them can be chosen to be unity by the appropriate choice of the "speed of light". The anisotropy parameter \(\alpha_D = v_F/v_\Delta \neq 1\) breaks the Lorentz invariance of the theory \([4]\). However, the theory still respects time-reversal and parity and for \(N > N_c\) the system is in its chirally symmetric phase \([1]\). These symmetries force the fermion self-energy of the interacting theory to assume the following form:
\[
\Sigma_{11} = A(k) \left( \gamma_0 k_0 + v_F \gamma_1 k_1 + v_\Delta \gamma_2 k_2 \right) ,
\] (4)
The coefficients \(\zeta_i\) are in general different from unity.

Furthermore, there is a discrete spatial symmetry which relates flavors \((1, \bar{1})\) and \((2, \bar{2})\) to the \(x\) and \(y\) directions in such a way that
\[
\Sigma_{22} = A'(k) \left( \gamma_0 k_0 + v_\Delta \gamma_2 k_1 + v_F \gamma_1 k_2 \right) .
\] (5)

In the computation of the fermion self-energy, this discrete symmetry allows us to concentrate on a particular pair of nodes without any loss of generality.

Next, we turn to the gauge field propagator. We first work in the Lorentz gauge \((k_\mu a_\mu = 0)\) and then extend our results to a general covariant gauge. To one-loop order the fermionic "screening" of the gauge field is given by the polarization function
\[
\Pi_{\mu\nu}(k) = \frac{N}{2} \sum_{n=1,2} \int \frac{d^3q}{(2\pi)^3} T r[G_0^{\mu}(q) \gamma_\mu G_0^{\nu}(q + k) \gamma_\nu].
\] (6)
The above expression can be evaluated by observing that it reduces to the isotropic \(\Pi_{\mu\nu}(k)\) once the integrals are properly rescaled \([3]\). The result is:
\[
\Pi_{\mu\nu}(k) = \sum_n \frac{N}{16 v_F v_\Delta} \sqrt{k_\alpha g_{\alpha\beta}^n k_\beta} \left( g_{\mu\nu}^n \frac{g_{\mu\nu}^n k_\alpha g^{\alpha\beta}_\mu k_\beta}{g_{\alpha\beta}^n k_\alpha g^{\alpha\beta}_\mu k_\beta} \right) ,
\] (7)
where we have taken the advantage of the "nodal" metric \(g_{\mu\nu}^n\) \([3]\). This expression is explicitly transverse, i.e. \(k_\mu \Pi_{\mu\nu}(k) = \Pi_{\mu\nu}(k) k_\nu = 0\) and symmetric in its space-time indices. It also properly reduces to the isotropic expression when \(v_F = v_\Delta = 1\).

As opposed to the isotropic case, it is not quite as straightforward to determine the gauge field propagator \(D_{\mu\nu}\). To proceed we first integrate out the fermions and expand the effective action to one-loop order
\[
\mathcal{L}_{\text{eff}}[a_\mu] = (\Pi_{\mu\nu}^{(0)} + \Pi_{\mu\nu}) a_\mu(k) a_\nu(-k) ,
\] (8)
where the bare gauge field stiffness is
\[
\Pi_{\mu\nu}^{(0)} = \frac{1}{2 v^2} k^2 \left( \delta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) .
\] (9)
Now we introduce the dual field \(b_\mu = \epsilon_{\mu\nu\lambda} q_\nu a_\lambda\), which is related to the physical fluctuating vorticity in the theory of Ref. \([4]\). We are free to integrate over \(b_\mu\) with the restriction that it is transverse \((k_\mu b_\mu = 0)\). Note that
\[
\mathcal{L}_{\text{eff}}[b_\mu] = \chi_0 b_\mu^2 + \chi_1 b_\mu^2 + \chi_2 b_\mu^2 ,
\] (10)
where \(\chi_\mu\) are functions of \(k_\mu\):
\[
\chi_\mu = \frac{1}{2 v^2} + \frac{N}{16 v_F v_\Delta} \sum_{n=1,2} \frac{g_{\mu\nu}^n g_{\alpha\beta}^n}{\sqrt{k_\alpha g_{\alpha\beta}^n k_\beta}} ; \mu \neq \nu \neq \lambda \in \{0, 1, 2\}.
\] (11)

At low energies we can neglect the non-divergent bare stiffness and thus we set \(1/v^2 = 0\) in the above expression.

The expression \((11)\) is manifestly gauge invariant and has the merit of not only being quadratic but also diagonal in the individual components of \(b_\mu\) which greatly simplifies the computation of the \(b_\mu\) correlation function:
\[
\langle b_\mu b_\nu \rangle = \frac{\delta_{\mu\nu}}{\chi_\mu} \frac{k_\mu k_\nu}{\chi_\mu \chi_\nu} \left( \sum_i \frac{k_i^2}{\chi_i} \right)^{-1} .
\] (12)
The repeated indices are not summed over in the above expression.

After this little trick with the integration over \(b_\mu\), it is now quite simple to compute the propagator for the original gauge field \(a_\mu\) and in the Lorentz gauge we obtain:
\[
D_{\mu\nu}(q) = \langle a_\mu a_\nu \rangle = \epsilon_{\mu\alpha\beta} \epsilon_{\nu\lambda\rho} \frac{q_\alpha q_\rho}{q^2} \langle b_\beta b_\rho \rangle .
\] (13)

By employing the transverse character of \(\langle b_\mu b_\nu \rangle\) (which is independent of the gauge) the above expression can be further reduced to
\[
D_{\mu\nu}(q) = \frac{1}{q^2} \left( \delta_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) \langle b^2 \rangle - \langle b_\mu b_\nu \rangle .
\] (14)
We use the above result to define a general "covariant" gauge for the anisotropic theory as
\[
D_{\mu\nu}(q) = \frac{1}{q^2} \left( \delta_{\mu\nu} - (1 - \frac{\xi}{2}) \frac{q_\mu q_\nu}{q^2} \right) \langle b^2 \rangle - \langle b_\mu b_\nu \rangle .
\] (15)
where \(\xi\) is a continuous gauge fixing parameter. This expression is justified by the Fadeev-Popov procedure applied to the Lagrangian
\[
\mathcal{L}_{\text{eff}}[a_\mu] = \left( \Pi_{\mu\nu} + \frac{1}{\xi} \frac{2 k^2}{(b^2)} \frac{k_\mu k_\nu}{k^2} \right) a_\mu(k) a_\nu(-k) .
\] (16)
Note that $\langle b^2 \rangle$ can be determined without ever considering the gauge fixing terms. The expression [13] is our final result for the gauge field propagator in an anisotropic “covariant” gauge.

Having determined the free fermion and screened gauge field propagators of the anisotropic theory, we can now compute the Dirac fermion self-energy generated by the photon exchange to leading order in $1/N$:

$$\Sigma_n(q) = \int \frac{d^3k}{(2\pi)^3} \eta_{\mu}G^0_\alpha(q - k)\gamma_\alpha D_{\mu\nu}(k),$$

(17)

where $n$ is the node index. After some tedious algebra this can be manipulated into:

$$\Sigma_n(q) = -\sum_\mu \eta_{\mu}^n \left( \frac{\Lambda}{\sqrt{\eta_0 G_{\alpha\beta} q_{\beta}}} \right).$$

(18)

Here $\Lambda$ is the ultraviolet cutoff and the coefficients $\eta_{\mu}$ are functions of the bare anisotropy which can be reduced to quadratures. In case of weak anisotropy ($v_F = 1 + \delta, \nu_\Delta = 1$) to second order in $\delta$:

$$\eta_{0}^{11} = -\frac{8}{3\pi^2 N} \left( 1 - \frac{3}{2} \xi - \frac{1}{35} (40 - 7\xi) \delta^2 \right),$$

(19)

$$\eta_{1}^{11} = -\frac{8}{3\pi^2 N} \left( 1 - \frac{3}{2} \xi + \frac{6}{5} \delta - \frac{1}{35} (43 - 7\xi) \delta^2 \right),$$

(20)

$$\eta_{2}^{11} = -\frac{8}{3\pi^2 N} \left( 1 - \frac{3}{2} \xi - \frac{6}{5} \delta - \frac{1}{35} (1 - 7\xi) \delta^2 \right).$$

(21)

In the isotropic limit ($v_F = \nu_\Delta = 1$) we regain $\eta_0^a = -8(1 - \frac{3}{2} \xi)/3\pi^2 N$ as previously found by others [8, 9].

We are now in position to turn to our main concern: the effect of anisotropy at the above critical point of isotropic QED$_3$. Before plunging into formal analysis, we first make some general physical observations regarding the RG flow of the anisotropy. First, by examining the Eq. [18] it is clear that if $\eta_{1}^{a} = \eta_{2}^{a}$ then the anisotropy does not flow and remains equal to its bare value. This would imply that anisotropy is marginal and the theory is described by some anisotropic fixed point. For this to happen, however, there would have to be a symmetry that preserves the equality $\eta_{1}^{a} = \eta_{2}^{a}$. In the isotropic QED$_3$ the symmetry that protects the equality of $\eta_{1}^{a}$s is the (Euclidean) Lorentz invariance. In our anisotropic case this symmetry is broken and thus we generically have $\eta_{1}^{a} \neq \eta_{2}^{a}$. Therefore, the anisotropy runs in the RG sense and flows away from its bare value. If we start with $\alpha_{D} > 1$ and find that $\eta_{1}^{11} > \eta_{1}^{11}$ at some scale $p < \Lambda$, the anisotropy is marginally irrelevant and decreases towards unity as we move toward infrared. On the other hand, if $\eta_{1}^{11} < \eta_{1}^{11}$, then the anisotropy becomes marginally relevant, continues increasing beyond its bare value and the theory ultimately flows into some new, anisotropic critical point.

The above arguments concerning $\eta_{1}^{a} - \eta_{1}^{a}$ and RG flows are on solid ground physically only if they can be made in a gauge-independent way. This condition appears compromised by the fact that $\eta_{1}^{a}$’s are gauge dependent quantities and explicitly include the gauge fixing parameter $\xi$. However, the difference $\eta_{1}^{a} - \eta_{1}^{a}$ is itself gauge-invariant. This is seen directly from Eqs. [19-21] where the $\xi$ dependence of all $\eta$’s is exactly the same. This cancellation of $\xi$ dependent terms in $\eta_{1}^{a} - \eta_{1}^{a}$ occurs not only for $\delta < 1$ but is the general feature of $\eta_{1}^{a}$’s to all orders in anisotropy and for any choice of “covariant” gauge fixing. Therefore, the RG analysis that follows is fully gauge invariant as it should be.

The renormalized two-point vertex function is related to the “bare” vertex via a fermion field rescaling factor $Z_\psi$ as $\Gamma^{(2)}_R = Z_\psi \Gamma^{(2)}$. It is natural to demand that at some renormalization scale $p$, $\Gamma^{(2)}_R(p)$ have the form (at nodes 1 and 1): $\Gamma^{(2)}_R(p) = \gamma_0 p_0 + v_F^2 \gamma_1 p_1 + v_A^2 \gamma_2 p_2$, where $v_F^R$ and $v_A^R$ are the renormalized velocities. The above equation corresponds to our renormalization condition through which we can eliminate the cutoff dependence and compute the RG flows.

To order $1/N$ we can write

$$\Gamma^{(2)}_R(p) = Z_\psi \gamma_{\mu} p_{\mu} \left( 1 + \eta_0^a \ln \frac{\Lambda}{p} \right),$$

(22)

where we have used the self-energy $[18]$. Multiplying both sides by $\gamma_0$ and taking the trace determines the field strength renormalization:

$$Z_\psi = \frac{1}{1 + \eta_0^a \ln \frac{\Lambda}{p}} \approx 1 - \eta_0^a \ln \frac{\Lambda}{p}. \quad (23)$$

We can now determine the renormalized Fermi and gap velocities:

$$\frac{v_F^R}{v_F} \approx (1 - \eta_0^1 \ln \frac{\Lambda}{p})(1 + \eta_1^1 \ln \frac{\Lambda}{p}) \approx 1 - (\eta_0^1 - \eta_1^1) \ln \frac{\Lambda}{p},$$

(24)

and

$$\frac{v_A^R}{v_\Delta} \approx (1 - \eta_0^1 \ln \frac{\Lambda}{p})(1 + \eta_2^1 \ln \frac{\Lambda}{p}) \approx 1 - (\eta_0^1 - \eta_2^1) \ln \frac{\Lambda}{p}. \quad (25)$$

The corresponding renormalized Dirac anisotropy is therefore

$$\alpha_D^R \equiv \frac{v_F^R}{v_\Delta} \approx \alpha_D \left[ 1 - (\eta_0^1 - \eta_1^1) \ln \frac{\Lambda}{p} \right]. \quad (26)$$

The RG beta function for the anisotropy is given by:

$$\beta_{\alpha_D} = \frac{d\alpha_D^R}{d\ln p} = \alpha_D (\eta_2^1 - \eta_1^1). \quad (27)$$
FIG. 1: The RG $\beta$-function for the Dirac anisotropy in units of $8/3\pi^2N$. The solid line is the numerical integration while the dash-dotted line is the analytical expansion around the small anisotropy (see Eq. (22)). At $\alpha_D = 1$, $\beta_{\alpha_D}$ crosses zero with positive slope, and therefore at large lengthscales the anisotropic QED$_3$ scales to an isotropic theory.

In the case of weak anisotropy ($v_F = 1 + \delta$, $v_\Delta = 1$) the above expression can be determined analytically as an expansion in $\delta$. Using Eqs. (20-21) we obtain

$$\beta_{\alpha_D} = \frac{8}{3\pi^2N} \left( \frac{6}{5} \delta (1 + \delta) (2 - \delta) + O(\delta^3) \right). \quad (28)$$

Note that this expression is independent of the gauge parameter $\xi$. For $0 < \delta < 1$ the $\beta$ function is positive which means that anisotropy decreases in the IR and thus the anisotropic QED$_3$ scales to an isotropic QED$_3$. For $-1 < \delta < 0$ the $\beta$ function is negative and in this case $\alpha_D$ increases towards the fixed point $\alpha_D = 1$, i.e. again towards the isotropic QED$_3$. Note that for $\delta > 2$, $\beta < 0$ which naively indicate that there is a fixed point at $\delta = 2$; this cannot however be trusted as it is outside of the range of validity of the power expansion of $\eta_\mu$. The numerical evaluation of the quadrature for $\eta_\mu$ shows that, apart from the isotropic fixed point and the unstable fixed point at $\alpha_D = 0$, $\beta_{\alpha_D}$ does not vanish (see Fig. 2). This indicates that to the leading order in $1/N$ expansion, the theory flows into the isotropic fixed point and relativistic invariance is dynamically restored in the IR limit.

Although $\Sigma_n(q)$ is a gauge dependent quantity we now show that it can still be put to good use in helping us extract the physical, gauge-invariant information about fermion propagation. To this end, we employ an important result derived by Brown [13] in the context of QED$_4$ which relates $G(x - x') = \langle \psi(x)\bar{\psi}(x') \rangle$ to the gauge-invariant propagator $\tilde{G}(x - x')$:

$$G(x - x') = e^{-F(x-x')}\tilde{G}(x - x') \quad (29)$$

where

$$F = \frac{1}{2} \int dzdz'J_\mu(z)D_{\mu\nu}(z - z')J_\nu(z') \quad (30)$$

and

$$J_\mu(z) = (x - x')_\mu \int_0^1 d\alpha \delta^d(z - x' - \alpha(x - x')). \quad (31)$$

The exponential factor in [24] is just the expectation value of the straight line integral of the gauge field $\langle \exp(i \int_x^{x'} ds_\mu a_\mu) \rangle$ averaged over [14].

The expressions (29-31) hold in an arbitrary covariant gauge and reflect the fact that the part of $\Sigma_n(a_\mu)$ obtained after integrating out the fermions must be purely transverse and thus the longitudinal, gauge dependent part [16], enters only at the quadratic order. The leading long wavelength dependence is then given by Eqs. (29-31). Using the long distance scaling of the gauge field propagator $D_{\mu\nu}(s(x - x')) = s^{\alpha_d}D_{\mu\nu}(x - x')$ dimensional regularization [13] it is straightforward to show that $F(r) = \frac{1}{(d-2)(d-\xi)r_\mu D_{\mu\nu}(r)r_\nu}$, where $D_{\mu\nu}(r)$ is the Fourier transform of [13] to the real spacetime. Computing this expression at the isotropic fixed point in the limit $d \to 3$ we find

$$F(r) = \frac{4(\xi - 2)}{N\pi^2} \left( \frac{r^3 - d}{3 - d} \right) - \frac{4(\xi - 2)}{N\pi^2} \left( \log(\Lambda r) + \frac{1}{3 - d} \right) \quad (32)$$

If $\xi = 2$ (Yennie gauge) $F$ vanishes and the physical gauge-invariant propagator $\tilde{G}(x - x')$ coincides with the gauge-variant one $G(x - x')$. An important observation follows from the above results: by inserting $\xi = 2$ into our expression for the gauge-variant self-energy [18-21] we obtain the anomalous dimension exponent of the physical gauge-invariant Dirac fermion propagator in isotropic QED$_3$, $\eta = 16/3\pi^2N$ ($\eta \sim 0.27$ for $N = 2$) [4, 14]. This exponent serves as the signature of the interacting infrared critical point which regulates the low-energy physics in the chiral symmetric phase (AFL of Ref. [4]) of both isotropic and anisotropic QED$_3$.

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