Sunyaev–Zel’dovich Predictions for the Planck Surveyor Satellite using the Hubble Volume Simulations

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ABSTRACT
We use the billion-particle Hubble Volume simulations to make statistical predictions for the distribution of galaxy clusters that will be observed by the Planck Surveyor satellite through their effect on the cosmic microwave background — the Sunyaev–Zel’dovich effect. We utilize the lightcone datasets for both critical density (τCDM) and flat low-density (ΛCDM) cosmologies: a ‘full-sky’ survey out to $z \sim 0.5$, two ‘octant’ datasets out to beyond $z = 1$ and a 100 square degree dataset extending to $z \sim 4$. Making simple, but robust, assumptions regarding both the thermodynamic state of the gas and the detection of objects against an unresolved background, we present the expected number of SZ sources as a function of redshift and angular size, and also by flux (for both the thermal and kinetic effects) for 3 of the relevant HFI frequency channels. We confirm the expectation that Planck will detect around $5 \times 10^4$ clusters, though the exact number is sensitive to the choice of several parameters including the baryon fraction, and also to the cluster density profile, so that either cosmology may predict more clusters. We also find that the majority of detected sources should be at $z < 1.5$, and we estimate that around one per cent of clusters will be spatially resolved by Planck, though this has a large uncertainty.

Key words: methods: numerical – cosmology: theory

1 INTRODUCTION
One of the most exciting techniques for surveying for galaxy clusters at high redshift, which are a powerful probe of cosmology, is via the Sunyaev–Zel’dovich (SZ) effect (Sunyaev & Zel’dovich 1972, 1980; Rephaeli 1995; Birkinshaw 1999). The effect is readily measured, and indeed mapped, in known clusters (e.g. Carlstrom et al. 2000) and several experiments are in development which will be able to make surveys. The two main survey types are ground-based experiments probing small fractions of the sky at high angular resolution, including interferometers such as BIMA and AmiBA and single-dish experiments such as Viper and BOLOCAM, and satellite projects making all-sky surveys at lower resolutions, such as the Planck Surveyor satellite (hereafter referred to as Planck).

The SZ effect is the distortion in the spectrum of the cosmic microwave background (CMB) as a result of the change in energy of the CMB photons when they scatter from hot gas, usually that in galaxy clusters. The effect is typically separated into two components: the kinetic SZ effect is the Doppler shift from the bulk motion of the cluster, while the thermal SZ effect is the gain in energy that the photons experience because the gas is at a much higher temperature than the CMB. As well as being of interest in its own right, a detailed understanding of the SZ effect is necessary in order to remove its effect on primary cosmic microwave background anisotropies.

To date, two main approaches have been taken to model the SZ effect: semi-analytical and direct numerical simulation. The former method ordinarily assumes that the mass distribution of haloes at a given redshift is accurately represented using the Press–Schechter formalism (Press & Schechter 1974). Making simplifying assumptions such as isothermality allows the abundance of haloes as a function of the thermal SZ signal to be constructed (e.g. Barbosa et al. 1996; Eke, Cole & Frenk 1996). Although Press–Schechter is in good agreement with results from dark matter simulations over a wide range of mass scales, it fails for the rare, most massive, objects in a way which has now been carefully quantified (Jenkins et al. 2000), and indeed these corrections have been incorporated in a recent study by Bartelmann (2000). Such methods have the drawback of making simple assumptions about the structure of the gas within the haloes, and also cannot be used to study the kinetic effect since they do not allow a direct prediction of the halo peculiar veloci-
ity field. Although it has recently become possible to study the kinetic effect through more detailed semi-analytic techniques (e.g. Benson et al. 2000; Valageas, Balbi & Silk 2000), such treatments are extremely complex.

Numerical simulations, though much more computationally intensive, are able to directly model the formation of structure in both baryons and dark matter. Hydrodynamical N-body simulations are the natural tool; early studies were made by Thomas & Carlberg (1989) and by Scaramella, Cen & Ostriker (1993), and more recently the much-improved numerical technology has been exploited by several groups (da Silva et al. 2000a,b; Refregier et al. 2000; Seljak, Burrell & Pen 2001; Springel, White & Hernquist 2000; Gnedin & Jaffe 2000). Such work is now reaching a state of maturity where the importance of additional physics is starting to be considered. These simulations have proven capable of making maps of the SZ effect at high resolution (around one arcminute in most of the quoted papers, but at arcsecond resolution in the small-scale simulations of Gnedin & Jaffe 2000), but it has not been possible to make maps covering a large area of sky and hence capturing the rarest, brightest objects.

In this paper we take an approach which can be considered a mid-point between Press–Schechter and full hydrodynamical simulations, which is to use the largest existing N-body simulations. These are the Hubble Volume simulations, run by the Virgo Consortium (Evrard et al. 2001, in preparation; Jenkins et al. 2000; Colberg et al. 2000), for two popular cosmological models — a critical-density model with a modified power spectrum (which we refer to as ΛCDM) and a flat, low-density model with a non-zero cosmological constant (which we refer to as ΛCDM). The main advantage of these simulations over hydrodynamical simulations is their volume; typical hydrodynamical simulations are performed using a box-length of $\sim 100 \ h^{-1} \mathrm{Mpc}$; the Hubble Volume simulations are over an order of magnitude larger; both models contain $N = 10^9$ particles, within a $2h^{-1} \ \mathrm{Gpc}$ (comoving) box for the ΛCDM simulation and a $3h^{-1} \ \mathrm{Gpc}$ box for the ΛCDM simulation. In particular, this allowed “lightcones” to be constructed as the simulations were performed, obviating the need to stack simulation boxes as in the hydrodynamical simulations approach. Hence, the provision of lightcones allows us to measure the abundance of clusters over the range of appropriate redshifts, for a range of mass scales that is larger than in any of the previous work. Working with the simulation data directly avoids the need for approximate mass functions such as Press–Schechter, and also gives us direct access to the kinetic effect. However, the fact that the simulations are dark matter only means that we have to make some of the simplifying assumptions concerning cluster properties characteristic of semi-analytic methods. Therefore, such large-scale simulations are appropriate for making predictions for all-sky surveys rather than high-resolution measurements, and so our main focus of this paper is directed towards predictions for the Planck satellite. As well as source counts, we will be interested in the expected size and redshift distributions of the clusters.

### 2.1 SZ definitions

The SZ effect produces a fluctuation in the surface brightness of the CMB from the inverse Compton scattering of the CMB photons off electrons within an ionized plasma. This is due to the internal (thermal) motions of the electrons and also the bulk (kinetic) motion of the plasma, relative to the CMB reference frame. The total fluctuation can be expressed as (e.g. Rephaeli 1995)

$$\delta I_\nu = I_0 [g(x)y - h(x)\beta \tau],$$

where $I_0 = 2k_B T_0^3 / h^2 c^2$, $T_0 = 2.725 \ \mathrm{K}$ is the mean temperature of the microwave background (Mather et al. 1999) and $\beta = v_r / c$ is the radial component (i.e. projected along the line of sight) of the plasma’s bulk velocity in units of the speed of light in a vacuum. The functions $g(x)$ and $h(x)$, known as spectral functions, contain frequency-dependent information for the thermal and kinetic effect respectively, with $x = \nu c / k_B T_0$. Specifically,

$$g(x) = \frac{x^4 e^x}{(e^x - 1)^2} \left[ \frac{e^x + 1}{e^x - 1} - 4 \right],$$

and

$$h(x) = \frac{x^4 e^x}{(e^x - 1)^2}.$$  

The dependence of $\delta I_\nu$ on the thermodynamic state of the gas is given by the Comptonization parameter, $y$, and the optical depth due to electron scattering, $\tau$. For the thermal effect

$$y = \frac{k_B T}{m_e c^2} \int n_e T_e \mathrm{d}l,$$

i.e. an integral of the electron pressure along the line of sight, while for the kinetic SZ effect we have

$$\tau = \sigma_T \int n_e \mathrm{d}l.$$  

The total SZ flux of a source is then the integral of $\delta I_\nu$ over its subtended solid angle

$$S_\nu(x) = S_0 \int [g(x)y - h(x)\beta \tau] \ d\Omega,$$

where $S_0 = 2.29 \times 10^4 \ \mathrm{mJy \ arcmin}^{-2}$ (1 mJy = $10^{-26}$ erg s$^{-1}$ m$^{-2}$ Hz$^{-1}$), assuming that the dimensions of $d\Omega$ are arcmin$^2$ (the bracketed terms are dimensionless). Note that $y$ and $\tau$ are positive quantities, whereas $\beta$ can be positive (if the source is moving towards the observer) or negative (if the source is moving away from the observer). The function $g(x)$ is zero for $x \simeq 3.83$ ($\nu \simeq 217 \ \mathrm{GHz}$), negative if $x < 3.83$ causing a decrement in the CMB surface brightness, and positive if $x > 3.83$, causing an increment. The function $h(x)$ is always positive. Hence, the signal due to the SZ effect can be deconvolved from the total CMB signal, into thermal and kinetic components if the signal is observed over a range of frequencies.

For the thermal effect, it is convenient to quote the frequency-independent part of equation (1).

† We use the term “flux” to mean the change in flux caused by the SZ effect relative to the mean flux of the CMB.
trapolated to $z \gtrsim \langle \rho \rangle$ over dense spheres $H$ these are available at our adopted value of 200 is around a factor of 2 larger than model (Lacey & Cole 1993). However, for the ΛCDM model ical value of 178 predicted by the spherical top-hat collapse $\sigma_{c}$ $\Gamma$, the variance $\sigma$ of linear fluctuations smoothed with a top-hat filter on the (comoving) scale of 8 $h^{-1}$ Mpc, extrapolated to $z = 0$, and the particle mass $m$.

We use the publicly-available dark matter halos catalogues from the lightcone datasets. Halos are defined as overdense spheres of particles with a mean internal density, $\rho = \Delta_{c} \rho_{c}(z)$, where $\rho_{c}(z) = 3H(z)^{2}/8\pi G$ is the critical density and $H(z)$ is the Hubble parameter. For these catalogues $\Delta_{c} = 200$, which for $\Omega = 1$ is close to the canonical value of 178 predicted by the spherical top-hat collapse model (Lacey & Cole 1993). However, for the ΛCDM model our adopted value of 200 is around a factor of 2 larger than predicted by the top-hat model at $z = 0$. For the main results presented in this paper, the choice of density threshold is only used to normalize the mass distribution within haloes and not to explicitly define their sizes.

The information extracted from the simulations is the mass, redshift and velocity distributions of the dark matter haloes. The range of mass scales for the haloes resolved by the Hubble Volume simulations is nearly two orders of magnitude ($\sim 3 \times 10^{13}$ to $10^{15} h^{-1} M_{\odot}$), covering the scales relevant to galaxy clusters. We assume that the brightest SZ sources are due to the intracluster medium (ICM) trapped within the potential wells of cluster haloes, known to be a robust approximation from the results of full hydrodynamical simulations.

We use the lightcone datasets specific to each cosmology to construct two mock cluster surveys. Firstly, we utilize the MS sphere dataset, covering the full 4$\pi$ steradians from $z = 0$ to $z = 0.44$ for τCDM and $z = 0.58$ for ΛCDM.

### 2.2 Simulation details and object selection

We consider both cosmological models adopted for the Hubble Volume simulations, labelled τCDM and ΛCDM respectively. Key parameters of the simulations are listed in Table 1, namely the density parameter $\Omega_{0}$, the cosmological constant $\Omega_{\Lambda} \equiv \Lambda/3H_{0}^{2}$, the Hubble constant $h \equiv H_{0}/100$ km s$^{-1}$ Mpc$^{-1}$, the power spectrum shape parameter $\Gamma$, the variance $\sigma$ of linear fluctuations smoothed with a top-hat filter on the (comoving) scale of 8 $h^{-1}$ Mpc, extrapolated to $z = 0$, and the particle mass $m$.

$$ Y = d_{A}^{-2} \int y dA, $$

where $d\Omega = dA/d_{A}^{2}$, $d_{A}$ is the (cosmology-dependent) angular diameter distance to the source and the integral is performed over the source’s projected area. Since $y$ is dimensionless, the dimension of $Y$ is that of a solid angle. Hence, $Y$ represents a weighted measure of the solid angle of the CMB that is obscured by the given source. Note that although $y$ is independent of cosmology (except through the expected distribution of sources), $Y$ depends on cosmological parameters via the relation between the (inferred) physical and (observed) angular size of the source, through $d_{A}$.

### Table 1. Key parameters of the Hubble Volume simulations.

| Model       | $\Omega_{0}$ | $\Omega_{\Lambda}$ | $h$  | $\Gamma$ | $\sigma$ | $m/10^{12} h^{-1} M_{\odot}$ |
|-------------|--------------|---------------------|------|----------|----------|-----------------------------|
| τCDM        | 1.0          | 0.0                 | 0.5  | 0.21     | 0.6      | 2.22                        |
| ΛCDM        | 0.3          | 0.7                 | 0.7  | 0.21     | 0.9      | 2.25                        |

Beyond these redshift limits, we graft on both PO & NO octant datasets, spanning a total of $\pi$ steradians between $z = 0.44$ ($z = 0.58$) and $z = 1.25$ ($z = 1.44$) for τCDM (ΛCDM). Finally, we use the DW datasets which cover 100 square degrees and extend to $z = 4.37$ for τCDM and $z = 4.6$ for ΛCDM. Combining the datasets allows us to have a mass-limited survey beyond the redshifts where the first resolved structures in the simulations form.

We summarize key properties of each dataset used in Table 2. Columns 1 & 2 give the cosmological model and dataset label respectively; Columns 3 & 4 list the redshift limits used in the surveys; Column 5 gives the solid angle of each dataset and Column 6 lists the number of objects extracted from each dataset.

### Table 2. Key properties of the lightcone datasets.

| Model       | Dataset | $z_{\text{min}}$ | $z_{\text{max}}$ | $\Omega_{s}/\pi$ | $N_{\text{obj}}$ |
|-------------|---------|------------------|------------------|-------------------|------------------|
| τCDM        | MS      | 0.00             | 0.44             | 4$\pi$            | 1136333          |
| τCDM        | NO      | 0.44             | 1.25             | $\pi/2$           | 227525           |
| τCDM        | PO      | 0.44             | 1.25             | $\pi/2$           | 225820           |
| τCDM        | DW      | 1.25             | 4.37             | 0.03              | 199              |
| ΛCDM        | MS      | 0.00             | 0.58             | 4$\pi$            | 1539281          |
| ΛCDM        | NO      | 0.58             | 1.46             | $\pi/2$           | 625138           |
| ΛCDM        | PO      | 0.58             | 1.46             | $\pi/2$           | 612549           |
| ΛCDM        | DW      | 1.46             | 4.60             | 0.03              | 2689             |

By observing the mass and redshift of each halo, calculating the resulting SZ fluxes requires knowledge about the thermodynamic (both density and temperature) state of intracluster gas. Although the dimensions of the simulations are ideal for our purposes, they did not explicitly model a baryonic component. Therefore, we need to make some of the same assumptions made in analytical calculations. The first of these is to define the relationship between the electrons and baryons, and for this, we assume that the ICM is fully ionized, so that $n_{e} = 0.88 \rho/m_{H}$ (assuming a helium mass fraction, $Y = 0.24$), where $m_{H}$ is the mass of a hydrogen atom and $\rho$ is the baryon mass density.

To relate the baryonic mass to the total mass of each cluster, we assume a global baryon fraction, $f_{b} = M_{b}/M_{\text{tot}}$. We neglect the presence of cold gas and stars, which only reduces the gas fraction by around 20 per cent for the mass range of clusters relevant to this study (e.g. Balogh et al. 2001). The SZ signal simply scales proportional to $f_{b}$, so theoretical predictions can readily be rescaled to a different value (though ultimately there are nontrivial effects in deciding whether extended sources are detectable against a background). As emphasized recently by Bartelmann (2000), the choice of baryon fraction can make a significant difference when contrasting cosmologies. The two main observational constraints of $f_{b}$ are from X-ray observations of clusters (e.g. White et al. 1993; Ettori & Fabian 1999) and from

\[ Y = d_{A}^{-2} \int y dA, \]
primordial nucleosynthesis calculations (e.g. Burles & Tytler 1998), assuming $f_b = \Omega_b/\Omega_m$ and that the mix in clusters represents the cosmic mean. Both determinations are concordant with a low-density cosmology but discrepant if there is a critical density (the so-called baryon catastrophe). Therefore, it appears that if critical density is to have any chance of fitting the whole range of available observations, then the baryon density must be higher than nucleosynthesis allows, and we note in passing that there are some moderately well motivated modifications of standard nucleosynthesis which make the element abundances compatible with a higher baryon density (see e.g. Kang & Steigman 1994; Lesgourgues & Peloso 2000; Kaplanlhat & Turner 2001). We therefore adopt the observed baryon fraction from clusters when plotting results, taking the value from Ettori & Fabian (1999) of $f_b = 0.06 h^{-3/2}$. This gives $f_b = 0.10$ for the low-density case and $f_b = 0.17$ for the high-density case. The former is in reasonable agreement with the preferred standard nucleosynthesis value $\Omega_b = 0.019 h^{-2}$ (Burles & Tytler 1998). In the critical-density case our main results will assume the cluster baryon fraction number, but occasionally we will show results based instead on the nucleosynthesis value $f_b = 0.076$ for comparison.

2.4 Cluster temperatures

To evaluate the integral in equation (3), requires knowledge of the electron pressure profile of the cluster. To simplify the discussion, we assume that the ICM can be modelled as isothermal for all but the outer regions of clusters. $T_e$ can then be removed from the integral in equation (4), and in equation (3) the thermal component scales proportional to the total energy of the cluster and the kinetic as the cluster mass. Hydrodynamical simulations have confirmed that radial variations in the ICM temperature are weak, being within a factor of two out to the virial radius (e.g. Eke, Navarro & Frenk 1998; Pearce et al. 2000). We have calibrated temperature to mass using the relation

$$M_{200} = 2.5 \times 10^{13} (T_e/\text{keV})^{1.5} (1+z)^{-3/2} h^{-1} M_\odot,$$

where $M_{200}$ is the mass within each halo where the mean internal density, $\langle \rho \rangle = 200 \rho_{200}$, as defined above. Equation (3) is consistent with the observed relation derived by Horner, Mushotzky & Scharf (1999), using spatially-resolved ASCA X-ray temperature data for a cluster sample with $z < 0.1$. (Note that we assume equivalence between the observed emission-weighted temperatures and the intrinsic electron temperature of the gas.) To account for non-zero redshift scaling, we assume that $T \propto M_{200}/R_{200}$ (the virial relation) and

$$R_{200} = \left(\frac{2G M_{200}}{200 H^2(z)}\right)^{1/3}.$$

We note that $H^{-2/3}(z) \propto \Omega^{1/3}(z)/(1+z)$ and neglect the weak dependence on $\Omega$. This results in the presence of the $(1+z)^{-3/2}$ term on the right-hand side of equation (3).

2.5 Density profiles

For our main results, we consider two forms for the distribution of baryons within cluster potential wells, since the precise form of $\rho(r)$ is not yet well determined from X-ray observations (although significant improvements should come from Chandra and XMM–Newton observations). Historically, cluster ICM density profiles are obtained by fitting a $\beta$ model to the (azimuthally-averaged) observed surface brightness distribution and making an assumption regarding the temperature distribution (usually isothermality). However, most of these observations suffer from the inability to probe the outer radii of clusters because of the high X-ray background (which is a combination of instrumental noise and the intrinsic X-ray background of unresolved sources), particularly in low temperature systems. Consequently, the outer slope of the density profile is usually ill-constrained. In light of these difficulties, we choose to consider two density profiles for the gas that are already well documented in the literature. The first of these is the $\beta$ model profile, the standard model for fitting the observed ICM surface brightness distributions outwith cooling flow regions

$$\rho_\beta(r) = \frac{\rho_\beta(0)}{[1+(r/r_0)^2]^{3\beta/2}}, \quad \text{(10)}$$

where the parameters $r_0$ and $\beta$ determine the core radius and the asymptotic slope of $\log(\rho)$ at large radii respectively. We calculate $\rho_\beta(0)$ assuming that the integrated baryonic mass of the cluster should equal $f_b M_{200}$ at $R_{200}$. For the core radius, we set $r_0 = 0.07 R_{200}$ (e.g. Lloyd–Davies, Ponman & Cannon 2000). Measured $\beta$ values for individual clusters are typically between 0.5 and 0.8 (e.g. Jones & Forman 1984, 1999; Horner et al. 1999; Mohr, Mathiesen & Evrard 1999; see also Vikhlinin, Forman & Jones 1999). For the results presented in this paper we adopt $\beta = 5/6 \sim 0.83$ inferring an asymptotic slope at large radii of $-2.5$. Although this is rather high, we note that our assumption of isothermality is problematic when calculating $y$ for shallow density profiles, since the temperature should drop significantly outside the virial radius (this is verified by simulations). Therefore, we choose to interpret our choice of $\beta$ as a way in which to parameterize the radial fall-off of the ICM pressure, $\rho T$, at large radii.

The second density profile considered in this paper is well-motivated by results from numerical simulations: the NFW profile (Navarro, Frenk & White 1997), which provides a good fit to average dark matter halo density profiles over a wide range of radii, for all popular CDM and scale-free cosmological models

$$\rho_{\text{NFW}}(r) = \frac{\rho_{\text{NFW}}(0)}{(r/r_s)(1+r/r_s)^2} \quad \text{(11)}$$

The NFW model contains just one adjustable parameter, known as the concentration parameter, $c = R_{200}/r_s$ and determines the radius at which the density profile steepens from a slope of $-1$ to an outer slope of $-3$. We normalize the profile as for the $\beta$ model and calculate $c$ as a function of halo mass and redshift using the method described in Appendix A of Navarro et al. (1997).

To summarize, the inner regions of clusters are modelled as isothermal with either a $\beta$ model (constant core) or NFW (cuspy) density profile. In the outer regions of clusters, the NFW profile is sufficiently steep that variations in temperature are unimportant, whereas a value of $\beta$ has been chosen in order to represent what we anticipate as the weak-
est dependence of $y$ with radius. Hence, we argue that the two models should span a reasonable distribution of what the true SZ surface brightness profiles are likely to be.

### 2.6 SZ Fluxes and object sizes

We concentrate on calculating fluxes for 3 frequencies: 143, 217 and 353 GHz. These frequencies correspond to the central values of 3 channels on the Planck HFI detector (Puget et al. 1998). Although there are other channels at higher frequencies (545 and 857 GHz), the signal at those frequencies will be dominated by dust emission. These frequencies have been specifically chosen in order to allow the best separation of the signal due to the SZ effect and the true primordial temperature fluctuations. 143 GHz corresponds to the maximum decrement in the CMB spectrum that is induced by the thermal SZ effect. At 217 GHz, there is no signal due to the thermal SZ effect, allowing the contribution from the kinetic effect to be measured. 353 GHz corresponds to the maximum increment in the CMB spectrum induced by the thermal SZ effect.

The Planck HFI detector will not measure temperature fluctuations only at these precise frequencies. Rather, each channel will have its own specific frequency response function. We model each response function as a Gaussian and assume that the full-width half-maximum (FWHM), $2(\nu - \nu_0)/\nu_0 = 0.37$ (Hobson et al. 1998), where $\nu_0$ is the central frequency. We calculate the average fluxes that are measured for each central frequency by replacing $g(x)$ (equation 2) and $h(x)$ (equation 3) with

$$G(x_0) = \frac{1}{\sqrt{2\pi}\sigma} \int_0^\infty g(x) \exp\left(-\frac{(x-x_0)^2}{\sigma^2}\right) \, dx,$$

and

$$H(x_0) = \frac{1}{\sqrt{2\pi}\sigma} \int_0^\infty h(x) \exp\left(-\frac{(x-x_0)^2}{\sigma^2}\right) \, dx,$$

where $\sigma = 0.37\nu_0/2\sqrt{\ln 2}$. For an ideal detector of width $\nu - \nu_0 \rightarrow 0$, (i.e. measuring the flux at a single frequency), the response function would approach the Dirac delta function and $g(x)$ and $h(x)$ would both be recovered.

The simplest model used to construct SZ fluxes is to assume that the size of each halo is $R_{200}$, in which case the total flux (equation 4) does not depend on the thermal distribution of the intracluster gas. For the thermal SZ effect, we can define the integrated $y$ out to $R_{200}$, $Y_{200}$, by combining equations 4, 5 and 6 to obtain

$$Y_{200} = 1.7 \times 10^{-3} \frac{\Omega_{b}}{\Omega} \left(\frac{M_{200}}{10^{14}}\right)^{5/3} \left(\int_{\Omega_{b}} d\Omega \right)^{-2} (1+z) \text{arcmin}^2,$$

where $M_{200}$ is in units of $h^{-1} M_\odot$ and $d_{\Omega}$ is in units of $h^{-1}$ Mpc. This model assumes that there is negligible signal from haloes outside $R_{200}$, which would be the case if the gas is only ionized inside $R_{200}$ (i.e. with a temperature significantly greater than $10^8$ K). However, this approach does not allow us to account for beam convolution, which requires the exact distribution of the gas to be modelled, and so we choose to present this model only to contrast with our main results.

The total SZ flux from each cluster is calculated by first defining a beam-convolved $y$ profile, assuming that the Planck beam profile is adequately represented by a Gaussian

$$y_c(\theta) = \int y(\theta') b(|\theta - \theta'|) \, d^2\theta',$$

where $\theta = |\theta|$ is the projected angular radius of the cluster and

$$b(|\theta - \theta'|) = \frac{1}{\pi^2} \exp\left(-\frac{\theta^2}{\sigma^2}\right).$$

Standard deviations are calculated using the full-width half-maxima quoted in the literature, i.e. approximately 8 arcminutes for the 143 GHz channel and 5 arcminutes for the 217 and 353 GHz channels. The total SZ flux is then

$$S_c(x_0) = S_0 \int_{R_{bg}}^{0} 2\pi \theta |G(x_0)y_c(\theta) - H(x_0)\tilde{\tau}_c(\theta)| \, d\theta.$$

where the beam-convolved optical depth, $\tau_\text{c} \propto y_c/T$ in the isothermal limit. The upper limit in equation 17, $\theta_{bg}$ is defined as the angular radius where $y_c$ equals the background due to unresolved sources, $y_{bg}$. Values of $y_{bg}$ are not easily established and one must account for not only the mean $y_c$, (y) but also fluctuations about $y_c$, which will depend on the beam-size. At present, only an observed upper limit exists to $y_c$, of $(y) < 1.5 \times 10^{-5}$ from the FIRAS instrument on the COBE satellite (Fixsen et al. 1996), while fluctuations about $y_c$ are constrained only on very large angular scales. However the background can be predicted from both the Press-Schechter approach (Bartelmann 2000) and from hydrodynamical simulations (da Silva et al. 2000b). We adopt values from the latter and choose to define the background, $y_{bg}$ as the 3 sigma fluctuation above $y_c$. This value is approximately $y_{bg} = 5 \times 10^{-6}$ for a Gaussian beam with a FWHM of 5 arcminutes.

Finally, to estimate the sizes of objects that will be observed by Planck, we cannot simply take the $\theta_{bg}$ values, since this does not give information regarding whether a source is either a point source (which may be extended due to the beam convolution) or actually resolved by the beam. Instead, we define the angular size of each object, $\theta_s$, to be the angular radius at which $y_c(\theta) = y_c(0)/2$, i.e. the half-width half-maximum. For objects where $\theta_s > \theta_{bg}$ (i.e. $y_{bg} > y_c(0)/2$), then $\theta_s$ is set to $\theta_{bg}$. We define sources with $\theta_s$ greater than the beam FWHM as resolved, since this will produce flux in more than one detector pixel (which will typically have a size of order the beam FWHM).

### 3 RESULTS

#### 3.1 Halo distributions

Fig. 4 shows the number of haloes per unit redshift per square degree, as a function of redshift, for all haloes extracted from both simulations. Firstly, the area under the $\Lambda$CDM redshift distribution is greater than for $\tau$CDM due to the larger number of objects in the $\Lambda$CDM catalogue ($\sim 2.8 \times 10^5$, as opposed to $\sim 1.6 \times 10^5$). Secondly, the peak of the $\Lambda$CDM distribution is higher than for the $\tau$CDM case. For example, the $\tau$CDM simulation predicts that the number of objects per unit redshift is around 100 per square degree, whereas the $\Lambda$CDM simulation predicts that the peak number is around 50 per cent larger. Secondly, the peak of the $\tau$CDM distribution occurs at $z \sim 0.4$, whereas the
peak of the ΛCDM distribution occurs at $z \sim 0.8$, resulting in a much broader redshift distribution. Consequently, the $τCDM$ survey extends to $z \sim 2$ whereas the ΛCDM survey extends to $z \sim 3$. These differences arise due to the slower rate of structure formation in the low-density case, which requires a larger normalization of density fluctuations (through $σ_8$) in order to produce the same $z = 0$ abundance of rich clusters as in the critical-density model.

Differential mass functions of haloes are illustrated in Fig. 1 for $τCDM$ (top panel) and for ΛCDM (bottom panel). The solid line in each figure illustrates the mass function for the complete survey, in addition to contributions from the MS dataset (dotted line), the combined PO & NO dataset (short-dashed line) and the DW dataset (long-dashed line). Hence, each component illustrates the contribution from 3 redshift intervals. The majority of high-mass objects ($> 10^{17} h^{-1} M_\odot$) are at low redshift and the majority of haloes at lower masses come from intermediate redshift. This is due to the characteristic halo mass (known as $M^*$, whose value roughly coincides with the knee of the halo mass function) decreasing with increasing redshift, as is the case in all hierarchical models.

We also note that the haloes with redshifts above $z \sim 1.2 – 1.5$ (i.e. from the DW dataset) make only a small contribution to the total mass function in either model. The amplitude of the DW mass function is at least a factor of 5 lower than the total mass function in the ΛCDM case, and over a factor of 20 lower in the $τCDM$ case, where structure evolves more rapidly with redshift.

The cumulative number of haloes above $Y_{200}$ is plotted in Fig. 1. We note that our datasets are mass-limited and not flux-limited, as is the case with real surveys, and so if we choose to consider the full mass range of haloes, we may be incomplete in flux due to the absence of smaller mass sources that are sufficiently close to $z = 0$ to have $Y$ values comparable to our resolved sources. As noted by Bartelmann (2000), a conservative sensitivity limit of forthcoming Planck surveys is around $Y_{lim} = 3 \times 10^{-4} $arcmin². By considering only haloes with $Y_{200} > Y_{lim}$, we find that 90 per cent of these objects have masses greater than 25 particles for $τCDM$ and 40 particles for ΛCDM respectively (the mass limit is equivalent to 12 particles). Hence, our constructed surveys should be sufficient to describe the distribution of objects with $Y > 3 \times 10^{-4} $arcmin². The vertical dashed line in Fig. 1 denotes $Y_{lim}$.

The solid and dashed lines in Fig. 1 represent the source counts above a given $Y_{200}$ for the $τCDM$ and ΛCDM surveys respectively, assuming a value of $f_b$ calculated from clusters. The number of sources declines more rapidly for the ΛCDM case than for the $τCDM$ case. For $Y_{200} > 10^{-4}$arcmin² both surveys predict approximately 10 clusters per square degree, but for $Y_{200} > 0.1$arcmin² the $τCDM$ survey predicts around an order of magnitude more objects than the ΛCDM survey. This is because the most massive clusters in the ΛCDM model are, on average, at higher redshift than those in the $τCDM$ model. Furthermore, the angular diameter distance at fixed redshift is larger for the ΛCDM model than for the $τCDM$ model. These two differences conspire to produce smaller $Y_{200}$ values for the largest clusters in the ΛCDM model than in the $τCDM$ model. However, for lower $Y_{200}$ values, a larger contribution comes from high redshift, where the space density of clusters is higher in the ΛCDM model (beyond $z \sim 0.4$ – see Fig. 1), causing the ΛCDM curve to rise more steeply than the $τCDM$ curve.

As noted in Bartelmann (2000), the number of sources above a given $Y$ is sensitive to the adopted value of the baryon fraction. To illustrate this, we have also plotted the $τCDM$ counts with a lower baryon fraction, which is in conflict with cluster measurements but consistent with nucleosynthesis determinations. As stated before, using $Ω_b = 0.019h^{-2}$ (Burles & Tytler 1998) and taking $h = 0.5$ implies $f_b = 0.076$. Since $Y$ scales linearly with $f_b$, the factor...
of $\sim 2$ difference between the baryon fractions scales the counts horizontally by the same factor. However, from Fig. 3, the cumulative number of sources scales approximately proportional to $Y_{200}^{-4/3}$ for the $\tau$CDM case. The change in the predicted counts when approximately doubling $f_b$ is an increase by a factor of $\sim 2.7$. Hence, the degeneracy between $f_b$ and $\Omega_0$ implies that the projected source counts is not a good constraint on one without accurate prior knowledge of the other. This is especially true because the counts are also expected to be quite sensitive to the value of $\sigma_8$.

### 3.2 Source counts

The number of sources per square degree above a given absolute flux, in mJy, is plotted in Fig. 4 for two models: one assuming an NFW density profile and one assuming the $\beta$ model density profile (we will subsequently refer to these models as “NFW” and “$\beta$”). The left column illustrates results for the $\tau$CDM models and the right column for $\Lambda$CDM models. From top to bottom, results are for central frequencies of 143, 217 and 353 GHz respectively, assuming a beam FWHM of 8 arcminutes for the 143 GHz results and 5 arcminutes for the 217 and 353 GHz results. Within each panel, results are plotted for the NFW model (solid lines correspond to total absolute fluxes and dotted lines correspond to the kinetic fluxes) and $\beta$ model (long-dashed and short-dashed lines correspond to total and kinetic fluxes).

Comparing the total flux counts between the $\tau$CDM and $\Lambda$CDM results for the same density profile at 143 GHz, the same trend is apparent as in Fig. 3: at low fluxes both models predict similar source counts (e.g. the $\beta$ model predicts $0.3 - 0.4$ sources per square degree above 100 mJy), but at higher fluxes the $\tau$CDM model predicts more sources than the $\Lambda$CDM model. Using the nucleosynthesis determination of the baryon fraction for both models would lower the $\tau$CDM counts relative to the $\Lambda$CDM counts.

At 217 GHz, the signal is completely dominated by the kinetic effect, since at this frequency the thermal effect gives a negligible contribution even when accounting for the finite width of the detector’s response function. The number of sources above a given flux in this channel is at least an order of magnitude lower than in the other two channels (which can also be seen for a given frequency, when comparing total to kinetic flux), because the distortion caused by the kinetic effect is weaker than the thermal effect. As a result, the brightest sources observed at 143 and 353 GHz have their fluxes substantially reduced at 217 GHz. Furthermore, since the difference between the $\tau$CDM and $\Lambda$CDM models is greatest for the largest $Y$ values, the difference in source counts between the two cosmologies at 100 mJy is greater than at the other frequencies.

For the 353 GHz channel, the source counts are higher at constant total flux than the other two channels. Compar-
ing this to the 143 GHz results (i.e. where the signal is also dominated by the thermal effect), two differences cause the rise. First, the beam FWHM has decreased from 8 arcminutes to 5 arcminutes which allows more sources to be resolved. Second, for the constant bandwidth ($\Delta \nu/\nu = 0.37$), the dispersion of the beam $\sigma$ is proportional to $\nu$ and so the SZ flux is higher at 353 GHz than at 143 GHz.

At all frequencies and in both cosmologies, the $\beta$ model predicts more sources above a given flux than the NFW model. Since the $\beta$ model density profile is shallower at large radii than the NFW profile, corresponding fluxes in the former model are larger for individual objects which consequently increases the total number of sources above a fixed flux.

### 3.3 Redshift distributions

Redshift distributions of SZ sources are given in Fig. 3, which is split into 4 panels. The panels on the left of the figure illustrate results for the $\tau$CDM survey while the panels on the right illustrate results for the $\Lambda$CDM survey. Each sample only contains haloes with $Y > Y_{\text{lim}}$. The solid lines represent the redshift distributions of haloes assuming $Y = Y_{200}$, with no prior assumption of how the gas is distributed within $R_{200}$ (equation 3). Dotted and dashed lines correspond to distributions for the NFW and $\beta$ models respectively. The top panels assume a beam FWHM of 5 arcminutes and the bottom panels assume a beam FWHM of 8 arcminutes. (In practice the limit for detection of SZ clusters is a significant number of sources with lines represent the redshift distributions of haloes assuming a beam FWHM of 5 arcminutes which allows more sources to be resolved.)

Figure B. Redshift distributions of haloes in the $\tau$CDM survey (left column) and $\Lambda$CDM survey (right column), with $Y > 3 \times 10^{-4}$ arcmin$^2$. Each panel illustrates results for 3 different estimates of $Y$, labelled as $Y_{200}$, NFW & $\beta$ (see text for details). Results for the latter two cases were generated assuming a Gaussian beam function with a FWHM of 5 arcminutes (top panels) and 8 arcminutes (bottom panels).

We first consider the $Y_{200}$ redshift distributions, which assume no beam convolution and in effect assume all clusters are unresolved by Planck and contribute signal only from their inner regions. The amplitude of the peak in the redshift distributions for both cosmological models is over an order of magnitude lower than in Fig. 1, where haloes of all masses are considered. As is evident from Fig. 3 there is a significant number of sources with $Y < Y_{\text{lim}}$, and so the deficit in clusters in Fig. 3 is due to the removal of these sources, and this confirms the near completeness of the sample. The peak redshift values for the $Y_{200}$ distributions are $z \sim 0.1$ for $\tau$CDM and $z \sim 0.4$ for $\Lambda$CDM respectively, which are also considerably lower than when the full range of haloes are considered. Since $Y_{200} \propto M_{200}^{\beta/3} (1 + z)/d_A^2(z)$, the imposed lower limit in $Y_{200}$ implies a lower mass limit which increases with $z$, due to the effect of $d_A$. Hence, this reduces the number of clusters by a larger factor at high $z$ than at low $z$, causing the peak of the distribution to move to a lower redshift. Note in particular that the height of the $\Lambda$CDM redshift distribution is now lower than in the $\tau$CDM sample. However, at higher redshift (beyond $z \sim 0.4$) the $\Lambda$CDM model still predicts an excess of sources compared to the $\tau$CDM model.

Comparing the $Y_{200}$ redshift distributions to those produced by the NFW model (in the top panels of Fig. 3 assuming a beam FWHM of 5 arcminutes), the NFW model predicts fewer clusters per square degree than the $Y_{200}$ model for redshifts below $\sim 0.5$ for $\tau$CDM ($\sim 0.7$ for $\Lambda$CDM) but more clusters beyond these redshifts. There are several reasons for the difference.

Firstly, the size of each cluster for the NFW model (i.e. the upper limit of the $Y$ integral) is no longer fixed at $R_{200}$ but is determined by angular radius at which $Y_{\text{bg}}$ (i.e. $\theta_{\text{bg}}$). This allows clusters with sizes $\theta_{\text{bg}}$ that are less or greater than $2\theta_{200}$ to be included in the sample, providing they have $Y_{\text{NFW}} > Y_{\text{lim}}$. Note also that since $y$ is an integral along the line of sight, the NFW model does not calculate $y$, assuming that each halo has a diameter $2R_{200}$ in this direction; rather, the limits are selected such that the integrated $y$ has converged.

Secondly, for a halo with constant $M_{200}$ the value of $y$ increases at fixed radius with redshift, because the density profile has a higher normalization at higher redshift. This is due to the definition of each halo being a region of large density contrast (i.e. on average 200 relative to critical). As redshift increases, the critical density increases as $\Omega_{\text{crit}}^3 (1 + z)^3$, causing an increase in the average physical density of the halo. This causes the radius at which the integrated $y$ reaches the background to grow with redshift, increasing the value of $Y$. Thirdly, when the $y$ profile is convolved with the beam function, it is flattened out to a radius approximately equivalent to the angular size of the beam. As redshift is increased, the apparent angular size of the cluster decreases and so the convolution has a significant effect on the profile out to large physical radii.

Hence, the deficit of clusters in the NFW model at low $z$, when compared to the $Y_{200}$ model, is due to low-mass objects which are not resolvable against the background when
convolved with the beam ($Y_{\text{NFW}} < Y_{\text{lim}}$). However, at higher redshifts the net effect is that the profiles become higher relative to the background (even though the convolution has a greater effect), leading to a larger angular size and consequently, for a halo of constant $M_{200}$, increasing $Y_{\text{NFW}}$ relative to $Y_{200}$.

The same argument can be applied to the $\beta$ model, albeit predicting more clusters than the NFW model for approximately $z > 0.2$. The $\beta$ model density profile decreases more weakly at large radii than the NFW profile: $\rho_\beta \sim r^{-2.5}$ for our choice of $\beta = 5/6$, whereas $\rho_{\text{NFW}} \sim r^{-3}$. Hence, $Y_\beta > Y_{\text{NFW}}$ for all but the very lowest redshifts where convolution effects dominate.

In the bottom panels of Fig. 6 results are displayed for a beam FWHM of 8 arcminutes; remember that the effective resolution of Planck for SZ will lie between 5 and 8 arcminutes. The number of clusters in both the NFW and $\beta$ models decreases when compared to the previous results (by less than a factor of 2), although the value of the peak redshift is not significantly affected. The larger beam FWHM causes the convolution to have an effect out to larger radii, which reduces the number of objects able to be resolved against the background.

### 3.4 Cluster sizes

While most clusters are effectively point sources at the Planck resolution, it is expected to resolve the nearer ones. In Fig. 6 we plot the number of clusters, as a function of their angular size $\theta_s$.

The $Y_{200}$ model shows the angular sizes corresponding to the clusters’ $R_{200}$ values, $\theta_{200} = R_{200}/d_A(z)$. The number of sources peaks at around 5–6 arcminutes for the $\tau$CDM case and around 3–4 arcminutes for the $\Lambda$CDM case. Both distributions are skewed such that there are more objects with sizes greater than the peak size than below it. Broadly speaking, the objects with low $\theta_s$ values are at high redshift (the minimum resolved angular size of a source with $Y_{200} > Y_{\text{lim}}$ strongly declines with redshift until $z \sim 0.5$, after which it flattens off), and the objects with large $\theta_s$ values are at low redshift. The $\tau$CDM cosmology predicts similar numbers of sources to the $\Lambda$CDM cosmology at the peak of the distributions but the former predicts more with high $\theta_s$ values, due to the excess of sources at low redshift relative to the $\Lambda$CDM case.

However the $R_{200}$ radii do not give a good estimate of the angular size detectable by Planck, for which we need to model the cluster profiles using $\theta_s$ as described in Section 3.6. We consider beam convolutions of 5 and 8 arcminutes, as shown by the dotted vertical lines; recall that the SZ source catalogue will arise from combining the different frequency channels and so the effective Planck resolution in SZ is expected to lie between these values. For the NFW and $\beta$ models the distributions are much narrower, and the peak number of sources higher, than for the $Y_{200}$ model. The sharp cut-off at low $\theta_s$ is due to the size at which the convolved high-redshift sources no longer contain enough flux to be detected above the sensitivity limit. For a 5 arcminute beam (top panels) this size is larger for the $\beta$ model than for the NFW model, but for an 8 arcminute beam the two are comparable. Thus, the smallest resolved size is sensitive to the inner shape of the convolved profiles, determined by both the intrinsic $y$ profile (which is different for the NFW and $\beta$ cases) and the beam size.

![Figure 6. Angular size distributions of clusters in the $\tau$CDM survey (left column) and $\Lambda$CDM survey (right column), with $Y > 3 \times 10^{-4}$ arcmin$^2$. Solid curves depict distributions assuming $Y_{200}$ values, dotted curves are for the NFW model and dashed values are for the $\beta$ model. The dotted vertical lines illustrate the value of the FWHM, which is 5 arcminutes in the top panels and 8 arcminutes in the bottom panels.](image)

### 4 CONCLUSIONS

In this paper, we have used the ‘lightcones’ generated from the largest dark matter simulations to date, the Hubble Volume simulations, in order to predict the distribution of sources that will be observable, via the Sunyaev–Zel’dovich (SZ) effect, by the forthcoming Planck Surveyor mission. We have used the simulations of a critical-density Universe with a modified initial CDM power spectrum ($\tau$CDM), and a flat low-density Universe ($\Lambda$CDM) to obtain masses, redshifts and peculiar velocities of dark matter haloes from $z = 0$ to $z \sim 4$. By making simple assumptions on the distribution of ionized gas within these haloes, we have been able to predict the expected distribution of SZ sources as a function of...
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