Infectious, atopic and inflammatory diseases, childhood adversities and familial aggregation are independently associated with the risk for mental disorders: Results from a large Swiss epidemiological study

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Abstract

AIM
To examine the associations between mental disorders and infectious, atopic, inflammatory diseases while adjusting for other risk factors.

METHODS
We used data from PsyCoLaus, a large Swiss Population Cohort Study (n = 3720; age range 35-66). Lifetime diagnoses of mental disorders were grouped into the following categories: Neurodevelopmental, anxiety (early and late onset), mood and substance disorders. They were regressed on infectious, atopic and other inflammatory diseases adjusting for sex, educational level, familial aggregation, childhood adversities and traumatic experiences in childhood. A multivariate logistic regression was applied to each group of disorders. In a complementary analysis interactions with sex were introduced via nested effects.

RESULTS
Associations with infectious, atopic and other chronic inflammatory diseases were observable together with consistent effects of childhood adversities and familial aggregation, and less consistent effects of trauma in each group of mental disorders. Streptococcal infections were associated with neurodevelopmental disorders (men), and measles/mumps/rubella-infections with early and late anxiety disorders (women). Gastric inflammatory diseases took effect in mood disorders (both sexes) and in early disorders (men). Similarly, irritable bowel syndrome was prominent in a sex-specific way in mood disorders in women, and, moreover, was associated with early and late anxiety disorders. Atopic diseases were associated with late anxiety disorders. Acne (associations with mood disorders in men) and psoriasis (associations with early anxiety disorders in men and mood disorders in women) contributed sex-specific results. Urinary tract infections were associated with mood disorders and, in addition, in a sex-specific way with late anxiety disorders (men), and neurodevelopmental and early anxiety disorders (women).

CONCLUSION
Infectious, atopic and inflammatory diseases are important risk factors for all groups of mental disorders. The sexual dimorphism of the associations is pronounced.

Key words: Neurodevelopmental disorders; Mental disorders; Substance abuse; Childhood diseases; Infectious diseases; Atopic diseases; Chronic inflammatory diseases; Risk factors

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Core tip: This study adds to the evidence that infectious, atopic and inflammatory diseases make up an important group of risk factors for neurodevelopmental and common mental disorders. They contribute independently of further major risk factors such as childhood adversities, traumatic experiences and familial aggregation. Each group of mental disorders (neurodevelopmental, early and late anxiety, mood, substance) attracts different combinations of risk factors. The sexual dimorphism of the associations is pronounced. The hypothesized biological mechanism that acts as a common denominator in this group of risk factors involves imbalances, e.g., within the development of the immune system interfering with critical stages of brain development.

INTRODUCTION
There is an increasing awareness that infectious diseases, atopies and inflammatory conditions contribute to the risk for neurodevelopmental disorders (ND) and common mental disorders (CMD). A great number of the empirical results documented below underline the eminent role of the immune system. Nevertheless considerable scepticism abounds. Among other things, it is not clear how immunological risk factors are balanced against other risk factors in ND and CMD. The main aim of this study was, therefore, to assess the associations of infectious, atopic and inflammatory diseases with ND and CMD while adjusting for socio-demographic characteristic, familial aggregation, traumatic experiences and childhood adversities. A simple vulnerability-trigger model will serve to introduce the state of empirical research, thus reducing the potential variability of single and multiple hit models to a minimal general form.
**Associations related to triggering mechanisms**

The most intuitive example of a triggering factor in CMD is a postinfectious condition such as fatigue\[^{41}\]. Infectious mononucleosis, i.e., typically an Epstein Barr virus (EBV) infection in adolescence or adulthood, is a well known cause of postinfectious fatigue. However, also several other pathogens are also able to upregulate psychiatric symptoms, such as persistent pathogens: Borna disease virus, herpes simplex virus (HSV)-1, varicella zoster virus, and *Chlamydia trachomatis*\[^{2}\]. Apart from the first attack, a reactivation of an endogenous infection can increase the risk of depression\[^{9}\].

It is noteworthy that the reciprocal causal direction also exists\[^{4-5}\]. Generally speaking, it is not only the case that pathogens can trigger psychiatric illness, but, conversely, that psychiatric disorders can lead to an increased risk of infection. The two should not be confounded, keeping in mind that the causal direction is not always clear\[^{6}\]. The examples above illustrate a trigger mechanism of ND and CMD, i.e., the second part of conventional vulnerability-trigger (or, by analogy, diathesis-stress) models.

**Associations related to vulnerability mechanisms**

The first part of the vulnerability-trigger model are vulnerability factors occurring very early in life: Infections, atopic and inflammatory processes that establish, apart from their immediate effects, a lasting, possibly life-long vulnerability for CMD. A well known example of an early vulnerability is comprised in the pediatric autoimmune neuropsychiatric disorders associated with streptococcal infections (PANDAS) model. This model has been applied in attention deficit hyperactivity disorder (ADHD), obsessive-compulsive disorder (OCD), and tic disorders such as the Gilles de la Tourette Syndrome\[^{7,8}\]. It suggests that some persons with ND or CMD might actually suffer from an autoimmune disorder due to autoantibodies directed against basal ganglia tissue and appearing after infections with group A streptococci.

Evidence for associations between early infections and ND and CMD goes far beyond PANDAS and other autoimmune processes such as NMDA receptor encephalitis\[^{9}\]. A compelling example is the link between EBV infections in childhood and risk of psychiatric experiences in adolescence demonstrated in the ALSPAC cohort\[^{10}\]. In a similar vein, studies from the Goodwin group which suggested that respiratory diseases in childhood and severe infections requiring the use of antibiotics in the first year of life increase the risk for several mental disorders such as depression, anxiety disorders and oppositional defiant disorder (ODD) later on in life\[^{11,12}\].

The temporal sequence between pathogens and CMD may apply later in life as well. For instance, Danish record linkage studies have shown that individuals hospitalized because of an infection, particularly a bacterial infection, were more likely to develop schizophrenia later in life\[^{13}\].

Apart from studies demonstrating a temporal sequence, many cross-sectional antibody based studies have pointed at associations between ND and CMD and selected pathogens. Serological studies have been particularly proliferative in psychosis research by implicating a broad spectrum of viral, bacterial and protozoan pathogens. For illustrative purposes, these are: (1) herpes viridae (cytomegalovirus\[^{14}\], human herpesvirus-6\[^{15}\], HSV-1\[^{16,17}\], EBV\[^{18}\]); (2) Toxoplasma gondii\[^{14,19-23}\], (3) *Chlamydia* infections: *trachomatis*\[^{22,24}\], *psittaci* and *pneumoniae*\[^{25,26}\]; (4) *Mycoplasma pneumoniae* (case study)\[^{27}\]; (5) *Helicobacter pylori*\[^{28}\]; and (6) gastrointestinal pathogens\[^{29,30}\].

**Associations related to parallel mechanisms**

Not only were pathogens shown to precede psychotic experiences but also atopic diseases such as asthma and atopic dermatitis\[^{21}\]. Similarly, the first occurrence of atopic dermatitis was reported to precede major depressive disorder and anxiety disorders\[^{32}\] or ADHD\[^{33}\]. Also other atopic diseases preceded ADHD\[^{34}\]. However, evidence for the converse temporal sequence between atopic diseases and ND and CMD was also found with ND and CMD occurring first\[^{35,36}\].

Again, the number of cross-sectional comorbidity studies providing evidence for a simple link between ND and CMD on the one hand and atopic diseases on the other is much greater than those focusing on temporal succession. They involve in particular asthma\[^{37-44}\], hay fever\[^{45}\], and eczemas\[^{46}\]. The association between atopic dermatitis and ADHD has gained particular attention since it emerges typically in the first years of life\[^{33,47,48}\].

Beside atopies, chronic or relapsing inflammatory diseases have been shown to be linked to a great variety of CMD, and both theoretically qualify as triggers and as vulnerability markers. Skin diseases such as acne\[^{49,50}\], psoriasis\[^{51}\] and rosacea\[^{52}\] also contribute to the list of associations. Moreover, this list includes gastric inflammatory diseases\[^{53-56}\] and gastrointestinal diseases/syndromes: Irritable bowel syndrome\[^{57,58}\], Crohn’s disease\[^{59}\], interstitial cystitis\[^{60,61}\] as well as recurrent cystitis\[^{62}\], autoimmune diseases\[^{63-65}\] and others\[^{51}\]. This is only a small selection of associations, and the list could be extended with ease.

**Aims of the analysis**

To summarize, the complex picture of associations entails any variant of temporal sequences and almost any combination between groups of somatic diseases and groups of ND and CMD. Thus, in so far as infectious, atopic and chronic inflammatory diseases precede ND and CMD or share a mutual vulnerability with them, the relevant mechanisms cannot be determined on the level of single pathogens. Taken together, the literature provides important pieces of a larger puzzle with, however, still blurred contours. Comprehensive analyses enabling a broader understanding of these links are still missing. The present study takes advantage of a
large epidemiological data base from the PsyCoLaus study[66] to further investigate whether major groups of infectious, atopic and inflammatory diseases are associated with major groups of mental disorders.

**MATERIALS AND METHODS**

**The Colaus/PsyCoLaus study**

The data used in this analysis stem from CoLaus/PsyCoLaus[66,67], a cohort study designed to study mental disorders and cardio-vascular risk factors in the community and to determine their associations. The sample was randomly selected from the residents of the city of Lausanne (Switzerland) from 2003 to 2006 according to the civil register. Sixty-seven percent of the 35 to 66 years old participants of the physical baseline exam (n = 5535) also accepted the psychiatric evaluation, which resulted in a sample of 3720 individuals who underwent both the somatic and psychiatric exams.

**Measures**

A French version of the semi-structuredDiagnostic Interview for Genetic Studies (DIGS)[68] was used in the PsyCoLaus study to assess a broad spectrum of lifetime DSM-IV Axis I criteria. The French version has shown excellent inter-rater and adequate test-retest reliability for major mood and psychotic disorders[69] as well as for substance use disorders[70]. Moreover, the DIGS allowed for gathering additional information on the course and chronology of comorbid features[66]. However, the brief phobia section of the DIGS was replaced by the corresponding sections from the Schedule for Affective Disorders and Schizophrenia - Lifetime Version (SADS-L)[71] in the current study. The anxiety sections of the French version of the SADS-L also revealed satisfactory reliability[72]. All diagnoses were lifetime diagnoses.

**Grouping of mental disorders**

We considered the following major groups of mental disorders based on the typical age of onset and common classifications: (1) neurodevelopmental diseases [typically starting during childhood: Tic disorders, ADHD, conduct disorder (CD), ODD]; (2) early-onset anxiety disorders (typically starting during childhood: separation anxiety disorder, overanxious disorder, animal phobias, social phobia); (3) late-onset anxiety disorders [typically starting after adolescence: Generalized anxiety disorder (GAD), panic, agoraphobia, specific phobias (excl. animal phobias[67,68]); (4) mood disorders (typically starting after adolescence: major depressive disorder, dysthymia, bipolar disorders); and (5) substance use disorders (typically starting after adolescence: alcohol, cannabis, other illicit drug abuse/dependence).

Disorders with low frequencies (schizophrenia, schizoaffective disorders) or inadequately fitting in with the major groups (OCD, personality disorders, eating disorders) were not included in the analyses.

**Assessment of infectious, atopic and inflammatory diseases**

The information on infectious diseases and related conditions was derived using an extended version of the medical history parts of the DIGS and the SADS-L and was based on self-reporting. In the interview participants were asked questions about ever having been diagnosed with various infectious diseases, diseases of the nervous system, cardiovascular, respiratory, gastrointestinal, metabolic and dermato-logical conditions as well as allergies and hormonal problems. For each disease, a screening question was asked and followed up in the case of an affirmative response.

In the current analyses the infectious diseases and related conditions were selected: (1) diseases typically related to streptococcal infections of the respiratory tract (scarlet fever, tonsillitis, rheumatic fever); (2) measles/mumps/rubella (MMR); the age range of the sample implies that most participants had not received an MMR vaccine in childhood, as routine measles and later MMR vaccinations schedules were only introduced by the Swiss government only in the 1960s; (3) urinary tract infections (UTIs) (cystitis, pyelitis, pyelonephritis, other nephritis, urethritis, prostatitis); (4) irritable bowel syndrome; (5) peptic ulcer/gastritis; (6) asthma and atopic diseases; (7) acne; and (8) psoriasis.

**Covariates**

We adjusted the analysis for the following variables which might account for the relationship between infectious diseases and mental disorders: (1) sex; (2) education level (low: Basic school and apprenticeship level; medium: Pre-university and high-level technical schools; high: University); (3) familial aggregation assessed by the semi-structured Family History - Research Diagnostic Criteria[74,75] which includes information on first and second degree relatives; subtypes parallelized to the groups of mental disorders mentioned above; dichotomized into any vs none; (4) childhood adversities dichotomized into any vs none if one of the following questions was confirmed: Did your parents fight frequently amongst themselves (interparental violence)?; Did your parents ever do anything that frightened you (like lock you in a closet)? (fear of violence)?; Did your parents ever do anything that made you unhappy (unhappy children); and (5) traumatic experiences in childhood below the age of 10 (serious accident or disaster, victim of violent attacks (self or loved ones), witnessed homicide or other forms of violent deaths; the age limit was chosen in order to focus on experiences mostly generating a vulnerability for mental disorders instead of acting as a trigger.
themselves); the questions were taken from the French version of the SADS-LA (see above) and dichotomized into any vs none.

**Statistical analysis**

The data were analyzed using binary logistic regression and displaying odds ratios (OR) and 95%CI. The regression analysis was redone for men and women separately before including interaction effects. In order to better figure out the source of sex-specific divergences - either men or women - the interaction effects were modeled via nested effects, i.e., by nesting each infectious, atopic and inflammatory variable in men and in women. All analyses were carried out using SAS version 9.3. The statistical analysis was reviewed by Viktor von Wyl from the Epidemiology, Biostatistics and Prevention Institute of the University of Zürich.

**RESULTS**

Table 1 shows the overall and sex-specific prevalence estimates for five major groups of mental disorders (neurodevelopmental, early-onset anxiety, late-onset anxiety, mood and substance disorders) together with education level, familial aggregation, trauma below the age of 10, childhood adversities and various infectious and atopic/inflammatory diseases. In bivariate analyses, mental disorders were consistently associated with familial aggregation, trauma and childhood adversities. Trauma showed distinct sex-specific associations in early disorders and in substance abuse. The associations of ND and CMD with infectious, atopic and inflammatory diseases spread across the whole table in a less consistent way. Moreover, they displayed more sex-specific divergencies. Therefore, and since some variables, e.g., UTI, are skewed by sex, an additional look at the sex-specific associations was necessary in multivariate analyses.

In multivariate analysis (Table 2), the associations with familial aggregation and childhood adversities remained relatively stable across all five models for each group of mental disorders (ORs up to 3). The effect of trauma clearly diminished. Each group of ND/CMD displayed associations with any of the infectious, atopic and inflammatory diseases included in the analysis. Many associations occurred at trend level, thus suggesting more in-depth analyses either related to sex-specific associations or to the level of specific disorders.

Analyses involving interaction effects by nesting infectious, atopic and inflammatory diseases within sex (Table 3) uncovered further heterogeneity. In detail, ND disorders were associated with streptococcal infections specifically in men (OR = 1.98, 95%CI: 1.08-3.66) but not in women. Peptic ulcer/gastritis was significant only in the men model (OR = 1.95, 95%CI: 1.08-3.53), and showed a similar tendency in women. The opposite applies for UTI, where only women (OR = 1.68, 95%CI: 1.11-2.54) reached the conventional significance level.

Early-onset anxiety disorders showed associations with MMR, which were similar in both groups; again only women (OR = 1.46, 95%CI: 1.01-2.10) reached the conventional significance level. Another shared issue is irritable bowel syndrome with a strong impact in men (OR = 3.15, 95%CI: 1.58-6.28) and a trend level impact in women. Associations found specifically in men comprise peptic ulcer/gastritis (OR = 1.85, 95%CI: 1.13-3.05), psoriasis (OR = 2.02, 95%CI: 1.20-3.39) and, at trend level, acne. Moreover, associations with UTI emerged specifically in women (OR = 1.44, 95%CI: 1.16-1.79), at trend level also with atopic disease, but not in men.

In late-onset anxiety disorders, UTI (OR = 2.13, 95%CI: 1.19-3.82) were predictive not in women but in men. The significant predictors in women comprise MMR (OR = 1.81, 95%CI: 1.12-2.90) and peptic ulcer/gastritis (OR = 1.60, 95%CI: 1.02-2.51), whereas irritable bowel syndrome and atopic disease remain significant at the trend level.

Mood disorders were associated with UTI in women (OR = 1.47, 95%CI: 1.19-1.81) and in men (OR = 1.63, 95%CI: 1.00-2.65). Also the impact of peptic ulcer/gastritis is apparent in both groups (in women: OR = 1.58, 95%CI: 1.02-2.46, and in men: OR = 1.98, 95%CI: 1.26-3.09). Acne (1.96, 95%CI: 1.35-2.85) predicts mood disorders in men, whereas irritable bowel syndrome (OR = 2.25, 95%CI: 1.35-3.76) and psoriasis (OR = 2.02, 95%CI: 1.14-3.58) contribute in women.

Finally, substance abuse/dependence did not yield any relevant associations in women. In men, it was linked with peptic ulcer/gastritis (OR = 1.88, 95%CI: 1.18-2.99) and with acne (OR = 1.74, 95%CI: 1.17-2.59).

As a side effect of the analysis involving interaction effects, the sex main effect in early and late anxiety disorders disappeared and greatly diminished in mood disorders. The models proved to be stable even when the strongest predictors in each model were omitted. Preliminary analyses on a more detailed level focusing on specific ND and CMD revealed a heterogeneity of results that clearly surpassed the findings presented in this study (results not shown).

**DISCUSSION**

This is the first study to apply a comprehensive epidemiological perspective on the associations of major groups of ND and CMD with infectious, atopic and inflammatory diseases. It adds to the evidence that infectious, atopic and inflammatory diseases make up an important group of risk factors. The main outcome was the great range of associations although the statistical models had been adjusted for trauma, childhood adversities, familial aggregation and education. Provided that the analyses were carried out on grouped CMD and somatic diseases, the results reported in this study represent only the tip of an iceberg. In addition,
Table 1  Groups of mental disorders and risk factors in the PsyCoLaus study: Frequencies and crude odds ratios (with 95%CI), overall and by sex

| Category                        | Male   | Female | Overall | OR (95%CI)          |
|---------------------------------|--------|--------|---------|---------------------|
| **Education Level**             |        |        |         |                    |
| Low                              | 37.2   | 33.1   | 35.6    | 1.03 (0.67-1.57)    |
| Medium                          | 36.4   | 36.4   | 36.4    | 1.03 (0.67-1.57)    |
| High                            | 26.4   | 20.5   | 23.1    | 1.32 (0.77-2.25)    |
| **Family history**              |        |        |         |                    |
| Atopic                           | 8.5    | 9.3    | 8.9     | 1.11 (0.77-1.61)    |
| **Urinary tract infections**    |        |        |         |                    |
| Gastritis                        | 3.0    | 3.1    | 3.0     | 1.15 (0.85-1.56)    |
| **Anxiety disorders**           |        |        |         |                    |
| Generalized anxiety             | 3.6    | 4.3    | 3.9     | 1.11 (0.77-1.61)    |
| Separation anxiety disorder     | 1.9    | 2.4    | 2.1     | 1.13 (0.79-1.63)    |
| Social phobia                   | 2.4    | 2.1    | 2.2     | 1.09 (0.77-1.54)    |
| Specific phobias (animals)      | 1.9    | 1.6    | 1.8     | 1.10 (0.77-1.56)    |
| **Mood disorders**              |        |        |         |                    |
| Major depression                | 3.1    | 4.4    | 3.8     | 1.23 (0.86-1.75)    |
| Dysthymia                       | 3.6    | 4.3    | 3.9     | 1.11 (0.77-1.61)    |
| **Substance use disorders**     |        |        |         |                    |
| Alcohol abuse/dependence        | 1.6    | 1.6    | 1.6     | 1.00 (0.82-1.24)    |
| Cannabis abuse/dependence       | 1.5    | 1.5    | 1.5     | 1.00 (0.82-1.24)    |
| Other drug abuse/dependence     | 1.5    | 1.5    | 1.5     | 1.00 (0.82-1.24)    |
| **Atopic**                      |        |        |         |                    |
| Anaphylaxis                      | 1.7    | 2.0    | 1.9     | 1.11 (0.77-1.61)    |
| **Psychiatric disorders**       |        |        |         |                    |
| Childhood trauma                | 0.9    | 1.2    | 1.0     | 1.11 (0.77-1.61)    |
| Early family history            | 3.9    | 4.5    | 4.2     | 1.11 (0.77-1.61)    |
| Overall figures                 | 382 (neurodevelopmental) | 382 (neurodevelopmental) | 382 (neurodevelopmental) | 1.00 (0.82-1.24) |
| Early Family history            | 1110 (early anxiety) | 1110 (early anxiety) | 1110 (early anxiety) | 1.00 (0.82-1.24) |
| Overall figures                 | 349 (substances) | 349 (substances) | 349 (substances) | 1.00 (0.82-1.24) |

*Note: atopy is defined as at least one positive family history, asthma, hay fever, atopic dermatitis, urticaria, and/or sneezing and rhinoconjunctivitis.**
### Table 2: Mental disorders regressed on infectious, atopic and inflammatory diseases, odds-ratios and 95%CI derived from logistic regression models

|                | Model 1 Neurodevelopmental disorders | Model 2 Early anxiety disorders | Model 3 Late anxiety disorders | Model 4 Mood disorders | Model 5 Substance abuse/dependence |
|----------------|--------------------------------------|---------------------------------|-------------------------------|------------------------|-----------------------------------|
| **Sex**        | 0.38 (0.27-0.52)                      | 1.60 (1.33-1.94)                | 1.50 (1.19-1.87)              | 2.05 (1.74-2.41)        | 0.19 (0.14-0.24)                  |
| **Education level** |                                      |                                 |                               |                        |                                   |
| Low            | 1 (ref)                               | 1 (ref)                         | 1 (ref)                       | 1 (ref)                | 1 (ref)                           |
| Medium         | 0.91 (0.66-1.24)                      | 1.24 (1.01-1.52)                | 1.11 (0.87-1.41)              | 0.86 (0.72-1.04)        | 1.19 (0.92-1.54)                  |
| High           | 0.78 (0.56-1.10)                      | 1.08 (0.87-1.34)                | 1.07 (0.83-1.39)              | 0.88 (0.72-1.06)        | 1.27 (0.98-1.66)                  |
| Familial aggregation of CMD | 1.55 (1.07-2.23) | 2.54 (2.14-3.01) | 1.75 (1.34-2.29) | 1.77 (1.52-2.06) | 2.12 (1.59-2.82) |
| Trauma below age of 10 | 1.43 (0.84-2.44) | 1.07 (0.73-1.57) | 1.12 (0.73-1.71) | 1.11 (0.76-1.62) | 1.36 (0.84-2.20) |
| Childhood adversities | 2.74 (2.09-3.60) | 1.51 (1.25-1.81) | 1.89 (1.53-2.33) | 1.87 (1.57-2.25) | 1.81 (1.45-2.27) |
| Streptococcal infections | 1.29 (0.79-2.10) | 1.11 (0.80-1.55) | 1.22 (0.84-1.78) | 0.80 (0.59-1.10) | 0.80 (0.51-1.25) |
| Mumps, measles, rubella | 1.37 (0.91-2.06) | 1.36 (1.04-1.77) | 1.33 (0.97-1.83) | 1.07 (0.86-1.34) | 1.15 (0.85-1.54) |
| Peptic ulcer/gastritis | 1.72 (1.11-2.68) | 1.23 (0.89-1.71) | 1.47 (1.03-2.11) | 1.74 (1.27-2.39) | 1.58 (1.09-2.29) |
| Irritable bowel syndrome | 1.30 (0.71-2.36) | 1.81 (1.24-2.64) | 1.74 (1.15-2.62) | 1.87 (1.26-2.79) | 1.70 (1.06-2.73) |
| Atopic diseases | 0.95 (0.73-1.25) | 1.11 (0.94-1.31) | 1.24 (1.01-1.51) | 1.06 (0.91-1.24) | 1.02 (0.83-1.26) |
| Acne | 0.83 (0.53-1.30) | 1.10 (0.85-1.43) | 1.02 (0.74-1.39) | 1.23 (0.97-1.57) | 1.27 (0.92-1.76) |
| Psoriasis | 1.22 (0.69-2.16) | 1.45 (0.99-2.11) | 1.05 (0.66-1.69) | 1.59 (1.11-2.28) | 1.41 (0.91-2.19) |
| Urinary tract infections | 1.51 (1.06-2.14) | 1.37 (1.12-1.67) | 1.06 (0.83-1.35) | 1.49 (1.22-1.80) | 1.20 (0.88-1.64) |

CMD: Common mental disorders.

### Table 3: Mental disorders regressed on infectious, atopic and inflammatory diseases, odds-ratios and 95%CI derived from logistic regression models with nested effects

|                | Model 1 Neurodevelopmental disorders | Model 2 Early anxiety disorders | Model 3 Late anxiety disorders | Model 4 Mood disorders | Model 5 Substance abuse/dependence |
|----------------|--------------------------------------|---------------------------------|-------------------------------|------------------------|-----------------------------------|
| **Sex**        | 0.41 (0.23-0.72)                      | 0.79 (0.54-1.15)                | 1.08 (0.68-1.69)              | 1.56 (1.08-2.26)        | 0.34 (0.21-0.54)                  |
| **Education level** |                                      |                                 |                               |                        |                                   |
| Low            | 1 (ref)                               | 1 (ref)                         | 1 (ref)                       | 1 (ref)                | 1 (ref)                           |
| Medium         | 1.09 (0.79-1.49)                      | 0.79 (0.65-1.08)                | 0.89 (0.70-1.13)              | 1.15 (0.96-1.38)        | 0.83 (0.64-1.08)                  |
| High           | 0.88 (0.62-1.25)                      | 0.91 (0.73-1.14)                | 0.92 (0.71-1.20)              | 1.12 (0.92-1.36)        | 0.77 (0.59-1.01)                  |
| Familial aggregation of CMD | 1.53 (1.06-2.21) | 2.53 (2.14-3.00) | 1.78 (1.36-2.33) | 1.77 (1.52-2.06) | 2.14 (1.60-2.86) |
| Trauma below age of 10 | 1.47 (0.86-2.50) | 1.08 (0.73-1.58) | 1.11 (0.73-1.71) | 1.12 (0.76-1.64) | 1.40 (0.86-2.27) |
| Childhood adversities | 2.78 (2.12-3.65) | 1.52 (1.26-1.83) | 1.91 (1.53-2.36) | 1.89 (1.59-2.23) | 1.83 (1.46-2.29) |
| Streptococcal infections | Women nested | 0.69 (0.29-1.67) | 1.15 (0.76-1.73) | 1.32 (0.84-2.07) | 0.91 (0.60-1.36) |
| Mumps, measles, rubella | Women nested | 1.98 (1.03-3.66) | 1.08 (0.60-1.92) | 1.04 (0.51-2.09) | 0.67 (0.40-1.12) |
| Peptic ulcer/gastritis | Women nested | 1.36 (0.58-2.32) | 1.46 (1.01-2.10) | 1.81 (1.12-2.90) | 0.97 (0.70-1.35) |
| Acne | Women nested | 1.47 (0.88-2.45) | 1.29 (0.88-1.91) | 0.96 (0.62-1.49) | 1.12 (0.83-1.52) |
| Psoriasis | Women nested | 1.72 (0.88-3.34) | 0.97 (0.63-1.49) | 1.60 (1.02-2.51) | 1.58 (1.02-2.46) |
| Urinary tract infections | Women nested | 1.95 (1.08-3.53) | 1.85 (1.13-3.05) | 1.25 (0.67-2.35) | 1.98 (1.26-3.09) |

CMD: Common mental disorders.

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Many associations were sex-specific. Intriguingly, accounting for interaction effects of infectious, atopic and inflammatory diseases with sex had different consequences for ND and CMD. In early and late anxiety disorders the sex main effect came down to one, meaning that the sex ratio in these disorders was fully determined by sex-specific associations with these risk factors.

**Challenges**

In view of the broad spectrum of results, the discussion will not focus on particular pathogens or findings as was done in the introduction, but will attempt to systematize them. Their interpretation encounters several basic challenges. First, the general heterogeneity of the associations between ND/CMD and infectious/atopic/chronic inflammatory diseases is enormous. The extent...
and heterogeneity of associations require appropriate, *i.e.*, neither universal nor parsimonious explanatory approaches. This methodological argument also applies also for the surprising sexual dimorphism of associations between ND/CMD and infectious, atopic and inflammatory diseases: There must be several mechanisms inducing sex-specific differences in rates of ND/CMD. Not least, this also applies to the different ages when CMD risk factors may emerge. While much attention has been paid to prenatal and perinatal events, the impact of MMR or scarlet fever in the current results shows that the age range can vary broadly. In brief: The same infectious disease or immune system imbalance could yield different vulnerability outcomes, depending on the age when it occurs.

**Interpretation approaches**

On a formal level the interpretation of the findings can follow three basic pathways (see, for example): (1) infectious, atopic and inflammatory diseases induce a risk for ND and CMD; (2) ND and CMD increase the risk for infectious, atopic and inflammatory diseases; and (3) both ND/CMD and infectious, atopic and inflammatory diseases share the same intermediate mechanisms or etiopathogenetic processes. These pathways will be used in the following to categorize and interpret the results.

Most of the current results point to the pathways one and three. In instances such as childhood infectious diseases the interpretation seems to be relatively unambiguous. Childhood infections lend themselves to the first pathway since they mostly precede other disorders or diseases. The range of potentially relevant pathogens, that figure as risk factors for mental disorders extends beyond well investigated prenatal infections (in the first place those summarized under the label TORCH - toxoplasmosis, rubella, cytomegalovirus, herpes and the PANDAS model (related to group A streptococcal infections in early childhood). In the current analysis it includes viral pathogens (MMR) in addition to streptococcal diseases. Moreover, the brief list of infectious diseases involved is to be understood as a preliminary compilation. More specific analyses, for example on anxiety disorders, would contribute additional links. In addition, several frequently occurring infectious agents in childhood cannot be adequately assessed by self-report data (*e.g.*, Haemophilus influenzae, respiratory syncytial virus, influenza).

Similar reasoning about the sequence of events also applies to atopic diseases. They often start in childhood and adolescence, *i.e.*, mostly before mood disorders (men) and late anxiety disorders (women). Thus, atopic diseases also seem to contribute to CMD rather than the other way round. However, atopic diseases represent a different type of immune system imbalance than infectious childhood diseases. It is a puzzling finding that the same disorder can be associated with risk factors which represent different, partly even antagonistic or competing immune system responses, such as Th1 vs Th2 or Th17 vs Treg.

This phenomenon can be perceived in associations related to chronic inflammatory diseases which represent pathway 3 above. For example, acne and psoriasis are assumed to be Th1/17 related skin diseases, whereas atopic eczema or the irritable bowel syndrome are considered to have mainly a Th2 related background.

Pathways 1 and 3 suggest that immunological processes are the common denominator of the related risk factors of ND/CMD. The immunological hypothesis in ND and CMD has many direct contributors, such as the TORCH (Toxoplasma gondii, rubella virus, cytomegalovirus, and herpes simplex virus) and PANDAS models in ND disorders, serological studies, for example in schizophrenia (see above), leucocyte counts in depression, gastrointestinal inflammation in psychosis, the autoantibodies link, the inflammation topic in mood disorders, and, finally, evidence for upregulated proinflammatory mediators such as IL-1β, IL-6 and TNF-α. However, in some instances such as UTI or ulcer the categorization of immune processes is less clear and may involve different basic mechanisms.

**Hypotheses regarding the neurophysiological background mechanisms**

The basic assumption of the immunological hypothesis within a two or three hit model (i.e., a vulnerability trigger model) of CMD is that immune system imbalances impact brain development during critical stages. Animal models referring to neonates have shown that bacterial infections may have an impact both on brain development and on the programming of the immune system. While this research is based on *E. coli* models, the implications might generalize to other microbes, including streptococci, as well. It has been suggested that this pathway relies on the impact of cytokines on microglia, which in turn crucially influence brain development at different stages of life by influencing cell proliferation, synaptogenesis and immune processes in exchange with astrocytes, neurons and oligodendroglia. An interesting perspective that has emerged recently is that mast cells are able to activate microglia.

In agreement with epidemiological research, the microglia pathway offers new perspectives for the understanding of the sex-ratios in mental disorders. Microglia numbers in males and females are differently skewed at different age stages. In early childhood, more microglia can be discerned in various brain regions of males, whereas in adolescence and adulthood, there are more microglia in the brains of females. If more frequent, microglia are at the same time more "active".

**Limitations**

While the promise of this study relies on a comprehensive epidemiological approach not feasible in most other subdisciplines in psychiatry, the study also has several limitations. First, all information is based on

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**References**

[1] Ajdacic-Gross V et al. Infectious, atopic and inflammatory diseases: Associations with mental disorders. www.wjgnet.com December 22, 2016 | Volume 6 | Issue 4 | 426
the self-reporting of study subjects, which implies a substantial recall bias, both regarding mental problems and infectious diseases. Provided that infectious diseases remain asymptomatic in many instances and that underreporting is the most probable biasing effect regarding adverse experiences and stigmatized issues, our results represent rather conservative approximations of the “real” associations. Second, herpes as well as measles, mumps and rubella infections were presumably reported more frequently by subjects with a more severe or an exanthematic appearance of the infection. Thus, while these infections were underreported in this study, their frequencies implicitly provide a measure of disease severity. A similar limitation also applies to UTI and streptococcal infections. Third, the age of onset in streptococcal infections, herpes infections and in UTI could not be reliably assessed, the first two because of the inclusion of related diseases and late sequels, the latter because of the large proportion of undiagnosed or asymptomatic UTI in childhood. Finally, several further infectious agents of interest could not be identified by self-report (see above) and thus could not be considered for the analysis.

In conclusion, atopic and inflammatory diseases make up an important group of potential risk factors for ND and CMD. They contribute independently of further major risk factors such as childhood adversities, traumatic experiences and familial aggregation. While the amount of evidence is enormous and continuously growing, the interpretational framework is compromised by the fact, that - similarly to research on smoking and cancer - direct experimental proofs are not feasible. Meanwhile, prevention in this field might already be going unnoticed due to classical tools such as vaccinations and appropriate treatment of infectious diseases in childhood[98].

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