Insulin Gene Therapy for Treating Type I Diabetes Mellitus: History, Progress and Future Challenges

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Abstract

Type 1 Diabetes Mellitus (T1DM) results from the autoimmune destruction of insulin-producing pancreatic β cells. Despite advances in our understanding of both the pathogenesis and management of diabetes and treatment options, which mainly include injectable insulin and whole pancreas transplant, these advancements remain unsatisfactory and only a small portion of patients achieve their glycemic goals. Insulin gene therapy refers to the development of β cell substitutes by introducing an insulin producing gene into endogenous cell populations that do not normally produce insulin. This strategy has the promise to overcome the limitations of current treatments. Here, we present an overview of the history of insulin gene therapy and review current achievements. We also briefly discuss areas for improvement and potential future directions.

Keywords: Gene therapy; Insulin; Type 1 diabetes mellitus; Adeno-associated virus

Introduction

Type 1 Diabetes Mellitus (T1DM), is a chronic disease caused by autoimmune destruction of insulin-producing pancreatic β cells, resulting in progressive and irreversible failure of insulin production [1,2]. The National Diabetes Statistics Report estimated that about 1.25 million individuals in the U.S. are living with T1DM, including about 200,000 people aged <20 years and that each year approximately 40,000 individuals are diagnosed with T1DM [3]. The incidence of T1DM has been increasing dramatically and if this trend continues, 5 million people in the U.S. are expected to have T1DM by 2050 [4,5]. This increase has serious short-term and long-term health implications and will have a substantial impact on the cost of healthcare [6,7].

Since the discovery of insulin by Banting FG and Best CH [8], exogenous insulin therapy has been the main treatment to control hyperglycemia in patients with T1DM. However, exogenous insulin treatment cannot quickly and accurately respond to fluctuations in blood glucose levels, resulting in both frequent hyperglycemic excursions and rarer but potentially life-threatening hypoglycemic events. β cell replacement, either through solid pancreas transplantation or islet transplantation, can effectively control hyperglycemia, but the usefulness of this therapy is limited by the shortage of donor organs and the need for lifelong immunosuppression [9,10]. Several other therapies that do not rely on donor organs have been explored to improve diabetes therapy, including regeneration of β cells. Unfortunately, most existing therapies fail to provide adequate control and much work still needs to be done to eliminate this disease [11].

Insulin gene therapy refers to the expression of the insulin gene in a cell type that does not ordinarily produce insulin, for the purpose of restoring euglycemia in diabetic patients. It is currently the most promising approach to accomplish a cure for T1DM in a safe, specific and efficient manner. However, mimicking some of the more complex aspects of insulin production and secretion in non-β cells has remained a challenge.

The first challenge that must be surmounted is the production of functional insulin. Insulin is synthesized as a single-chain precursor, pro-insulin, consisting of A and B chains separated by a connecting (C)-peptide as well as a signal peptide. Cleavage of the signal peptide from pro-insulin yields proinsulin which undergoes further maturation through the proteolytic action of two specific prohormone convertases PCI/3 and PC2 [12,13]. Thus, in order for an insulin gene therapy to be successful, not only must the insulin gene be expressed, but it must also undergo appropriate post-translational processing. The prohormone convertases are only expressed in β cells and other cells with the regulated secretory pathway, like pituitary cells and intestinal K cells [14]. Thus, insulin gene therapy strategies must either target cells that express the prohormone convertases endogenously, or use other approaches to circumvent the need for their activity.

The second major challenge is to achieve glucose-responsive secretion of insulin. Pancreatic β cells have the ability to sense fluctuating levels of glucose through the activity of glucose transporter-2 (GLUT2) and glucokinase; and respond to physiological glucose concentrations by releasing premade insulin stored within secretory granules [15]. Both of these features are critical to the maintenance of euglycemia. Mimicking glucose-responsive insulin secretion in a cell type that does not normally produce insulin, could lead to the development of therapeutic strategies to restore insulin production and secretion in patients with T1DM.
Production of biologically active insulin

The molecular structure of functionally active insulin requires proteolytic cleavage of the precursor pre-proinsulin molecule at specific sites. Because the majority of cells lack the specific proteases required for insulin maturation, various groups have devised novel strategies to overcome this problem. The principal way to make active hormone has involved the introduction of proteolytic cleavage sites into the proinsulin molecule that are recognized by furin, a protease native to many tissues including hepatocytes. Furin recognizes the consensus cleavage site which consists of the amino acid residues, Arg-X-Lys-Arg, which is absent in human proinsulin and exists in one copy in rat proinsulin. Gorman CM, et al. [26] used site-directed mutagenesis to introduce furin consensus cleavage sequences into human proinsulin cDNA. When transfected into transformed human kidney cells, the variant human proinsulin cDNA was processed into mature and functional human insulin. Receptor binding and autophosphorylation assays demonstrated that this insulin variant binds and activates the insulin receptor similarly to native insulin. The introduction of furin consensus sequences has been shown to increase the processing of proinsulin to insulin in a wide variety of non-neuroendocrine cells, including hepatocytes [27], fibroblasts [28], myoblasts [29].

Glucose-responsive insulin transgene expression

A major challenge for insulin gene therapy is the ability to synthesize and secrete insulin from non-β cell types in response to changes in levels of glucose.

Pancreatic β cells possess unique features that allow the regulation of insulin synthesis and secretion in response to physiological glucose concentrations. β cells are able to monitor circulating glucose concentrations over a broad range of physiological concentrations (2-20 mM) through the activity of GLUT2 and glucokinase [30]. Similarly, hepatocytes, cells of the hypothalamus, and K cells of the small intestine all express GLUT2 or glucokinase, and can respond to fluctuations in blood glucose concentration [31]. β cells also have control of insulin biosynthesis at the transcriptional, post-transcriptional, translational, and post-translational levels in response to glucose and other metabolites. Furthermore, β cells, unlike other surrogate cells which have difficulty with secondary stimuli, have the capacity to store insulin within secretory vesicles and generate secondary stimulus secretion coupling signals to activate rapid insulin exocytosis [32].

Because achieving glucose-responsive insulin release in non-β cells has proven difficult, much attention has been focused on achieving glucose-responsive insulin production via regulation of transcription of the insulin transgene. For example, Glucose-inducible Response Elements (GIREs) are glucose-responsive DNA motifs found in the promoter region of glucose-inducible genes such as LPR, S14, fatty acid synthase, and acetyl-CoA carboxylase [33]. GIREs are composed of two tandem repeats of 5'-CACGTG known as E boxes, separated by 5 bp. When a specific transcription factor, Carbohydrate Response Element-binding Protein (ChREBP), recognizes E box sequences, it results in glucose-responsive control of gene transcription [34,35]. Subsequently, GIRE functions have been confirmed in primary cell culture and transgenic mice [36]. These experiments were the predecessor of many others that have been reported in the literature for successfully using GIREs in insulin gene therapy.

Alam T, et al. [37] reconstituted an insulin expression cassette containing GIREs from the S14 gene under the control of the liver-specific albumin promoter. The in vivo delivery of the insulin expression cassette by multiple vehicles including minicircle DNA [37], adenovirus, and lentivirus [38,39], to the livers of diabetic rats was...
studied as a potential method of correcting hyperglycemia. Delivery of the transgene resulted in restoration of normoglycemia, improved glucose tolerance, and normal rate of weight gain.

More studies on this system have been reported by Alam T, et al. [37]. They harnessed GIREs from the LPK gene to the liver-specific insulin-like Growth Factor Binding Protein-1 (IGFBP1) promoter and conferred a glucose-inducible, insulin-suppressible response following delivery to hepatocytes. They demonstrated that injecting their insulin gene construct in Streptozotocin (STZ)-induced diabetic rats and mice resulted in restoration of near-normal glycemia [40,41].

Han and coworkers generated a synthetic promoter (SP23137) containing GIREs. The reversal of hyperglycemia and improved glucose tolerance in STZ-induced diabetic mice following liver-directed adenoviral delivery was demonstrated [42]. Similarly, Zhang and Dong have cloned the GIREs from the promoter of the Acetyl-CoA Carboxylase (ACC) gene, and showed glucose-responsive production of insulin in hepatocytes. Permanent reversal of diabetes was characterized by glucose tolerance tests in the STZ-induced diabetic and autoimmune non-obese diabetic (NOD) mice [43].

Inherently glucose-responsive, liver-specific promoters have also been investigated with respect to insulin gene expression. Chen et al. demonstrated glucose-stimulated and insulin feedback regulated production of insulin in the liver of STZ-induced diabetic rats driven by the Glucose-6-phosphatase (G6Pase) promoter. They have reported amelioration of hyperglycemia [44,45]. Insulin expression by the G6Pase promoter was low in this approach due to negative feedback produced by insulin. Thus, further enhancement of this system has been suggested. The glucose-inducible, insulin-repressive GLUT2 promoter [46], and both glucose and insulin inducible insulin-1 promoter [47] have also been explored in the context of gene therapy.

Despite the above-mentioned data, the slow kinetics and latencies of transcriptionally regulated insulin secretory responses may result in a delay in correcting hyperglycemia and continuous production of insulin in the post induction phase can lead to hypoglycemia. Because plasma insulin has a short half-life, it might be possible to mimic insulin secretion by controlling the half-life of transgenic insulin mRNA. Thulé PM, et al. [48] tested this hypothesis by creating an insulin construct producing a destabilized proinsulin message and compared the results with their standard construct. They showed that the construct producing a destabilized pro-insulin has improved glycemic responsiveness both in vitro and in vivo.

In a different approach, Gan SU, et al. [49] developed a Tet-inducible insulin expression system, as a safety mechanism to turn off insulin secretion in hypoglycemic conditions. They demonstrated that insulin gene expression can be turned off with doxycycline. Furthermore, they found that expression can be reactivated by withdrawing doxycycline in STZ-induced diabetic mice.

**Target cells for insulin transgene expression**

A variety of cell types have been targeted to produce insulin under insulin gene therapy, the characteristics of which are summarized in table 1.

| Characteristics            | Target cell |
|----------------------------|-------------|
|                           | Liver | Muscle | Pituitary | K cells |
| Glucose-sensing system    | Yes   | No     | No        | Yes     |
| Glucose-regulatable promoter | Yes   | No     | Yes       | No      |
| Proinsulin processing enzymes | No    | No     | Yes       | Yes     |
| Secretory system         | No    | No     | Yes       | Yes     |
| Derived from endodermal origin | Yes   | No     | No        | No      |

Table 1: Characteristics of target cells for insulin gene therapy.

regulation of the GIP promoter by sources other than glucose [51], accessibility of K cells by viral vectors, and cell-targeting difficulties related to the rapid turnover rate of K cells [38,52].

Other cell types including pituitary cells and myocytes have also been examined as targets for insulin gene therapy. Early experiments of insulin gene therapy were carried out in the neuroendocrine cell line, AtT-20, which is derived from ACTH-secreting cells of the anterior pituitary. Moore HP, et al. [22] demonstrated that stable transfection of AtT-20 cells with a plasmid containing the human proinsulin cDNA resulted in cell lines that secreted the correctly processed and mature insulin polypeptide. Although insulin secretion from AtT-20 cells can be stimulated by agents such as forskolin or isobutyl methylxanthine (IBMX), AtT-20 cells do not respond to glucose as a secretagogue. Hughes SD, et al. co-transfected the insulin gene with GLUT2 and glucokinase in AtT20 cells to achieve glucose-responsive responsiveness [53]. However, the secretion of adrenocorticotropic hormone which stimulated glucocorticoid synthesis and inhibited insulin action limited the effectiveness of this approach. Genetic engineering of skeletal myocytes to counteract hyperglycemia was also tested. Skeletal myocytes do not express GLUT2 or glucokinase. Instead, they express GLUT4 and hexokinase, which each have a higher affinity (i.e., lower Km) for glucose. Bosch and colleagues reversed hyperglycemia in STZ-induced diabetic mice by co-expression of insulin with glucokinase, progressing to experiments in dogs [54]. In 2017, the group demonstrated long-term efficacy of insulin gene therapy in dogs for up to 8 years [55].

Hepatocytes have long been a preferred target as sources of surrogate β cells. They are long-lived and robust protein factories that are capable of the production and secretion of therapeutic proteins. Additionally, hepatocytes receive an extensive blood supply and are accessible by blood-borne particles, such as viruses. Moreover, they express the glucose-sensing molecules nearly identical to those in the pancreas (i.e., GLUT2, glucokinase), and thus have the intrinsic ability to respond to changes in blood glucose concentration. Finally, GIREs and glucose-sensitive gene promoters can be utilized to engineer insulin transgenes to be glucose concentration-sensitive. Although hepatocytes lack the proinsulin processing enzymes, this limitation has been largely overcome by various strategies. A number of researchers have transduced hepatocytes with an insulin gene [37-47].

**Methods for gene delivery**

Lasting and effective gene therapy requires efficient delivery of nucleic acid sequences to target cells, adequate and prolonged expression of the introduced gene, without any toxic side-effects. Gene delivery can be performed by many methods, including viral or non-viral vectors.

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Non-viral vectors have the advantages of safety, easy of manufacturing, and production scale, but are limited by inefficient delivery to target cells. Alam T, et al. [37] explored the potential of hydrodynamic delivery of insulin genes in the form of minicircle DNA. In STZ-induced diabetic rats, this approach corrected diabetic hyperglycemia in a dose-dependent fashion for about a month. The reduced immunogenicity of minicircle DNA allowed for repeated administration, with diminished efficiency after the first.

Other studies using non-viral vectors have also been reported. He CX, et al. [56] transfected an insulin expressing plasmid to STZ-induced diabetic mice by hydrodynamic procedure. The delivery of the plasmid to the liver cells increased the plasma insulin levels and reduced the blood glucose levels. Niu L, et al. [57] transfected NIH3T3 cells with chitosan nanoparticles and observed an increase in insulin levels. The in vivo administration of chitosan nanoparticles by lavage and coloycolysis resulted in the reduction in blood glucose of STZ-induced diabetic rats after five days [58]. Similarly, Rasooli M and colleagues [59] used chitosan nanoparticles containing the insulin gene and investigated the ability of K and L cells to produce insulin in diabetic mice. The reduced blood glucose levels and increased insulin expression was observed. Sato M, et al. [60] administered a circular plasmid DNA which contained the Green Fluorescent Protein (GFP) gene, directly into the pancreatic parenchyma of ICR mice by electroporation. GFP was observed one day after gene delivery with its expression reduced to baseline within one week.

Viral vectors are the most efficient systems to deliver a gene into a cell. Wild-type virus is genetically modified to be rendered non-pathogenic and unable to replicate, while retaining infectivity. The most progress in vector development has been achieved using lentivirus, adenovirus and adeno-associated virus. Each viral vector type has its inherent advantages and disadvantages. The main types of viral vectors used for gene therapy are summarized in table 2.

Retrovirus (RV) vectors have been used as viral vectors for insulin gene delivery. RV vectors are derived from disabled murine virus and are associated with many advantages such as stable integration into host chromosomal DNA and long-term expression of the gene. However, insertional mutagenesis presents a great risk. This risk was prominently noted in 1999 following the development of leukemia in patients treated for severe-combined immunodeficiency [61]. Another disadvantage of retroviral gene transfer is the requirement for target cells to be in an active state of proliferation. As most cells of the body are either non-dividing or slowly dividing, the potential utility of retroviral vectors for treatment of T1DM is limited [62,63].

One type of retroviral vector, lentivirus (LV), is derived from the Human Immunodeficiency Virus (HIV) and has the advantage of being able to transduce both dividing and non-dividing cells. Due to their derivation from HIV, biosafety was a concern for their application as therapeutics; however, several modifications were introduced to reduce the possibility of producing replication-incompetent virus and improve safety [64]. LV has been used to deliver the insulin gene by Handorf AM, et al. [39] who expressed insulin under the control of the albumin promoter in the livers of STZ-induced diabetic rats. Insulin secretion in these rats was glucose-responsive and was maintained for 482 days while reducing weight gain in a dose-dependent fashion. Ren B and colleagues [65] have also shown the long-term correction of hyperglycemia in STZ-induced diabetic rats and NOD mice [66] after the intraperitoneal administration of lentiviral vector via unregulated expression of furin-cleavable insulin gene by using the Cytomegalovirus (CMV) promoter. However, predictably, the constitutive insulin secretion was not glucose responsive. Additionally, when the group used the same method for gene transfer to the liver of nine pancreatectomized pigs, only one pig maintained normoglycemia for a short-term [67].

Adenovirus constitutes another type of viral vector delivery. Adenoviral vectors have the ability to transduce both dividing and non-dividing cells with high efficiency and provide high levels of transgene expression with the ability to contain large transgenes. Adenoviral vectors do not integrate into the host genome [68]. Moreover, development of a strong cellular immune response to viral capsid proteins or in some cases the transgenes themselves; prevent long term gene expression of transgene as well as re-administration of the vector [68-71].

To address the immunogenicity of the viral capsid proteins, new generation of “gutless” adenovirus vectors have been developed. These vectors are completely devoid of all viral protein coding sequences and are therefore less immunogenic and known to have a prolonged expression of the transgene [71].

Handorf AM, et al. [38] used adenoviral vectors to transduce livers of STZ-induced diabetic rats with their insulin expression cassette. However, normoglycemia only persisted for about a month. Adenoviral vectors were also used by Short DK, et al. [72] to reverse hyperglycemia in diabetic mice. As expected, reduction in blood glucose levels were observed for about a month.

Adeno-associated Virus (AAV) vectors are becoming the preferred and most commonly used viral vectors for both research and clinical applications. They were first described about 50 years ago as a contaminant of adenoviral preparations, therefore named adeno-associated virus [73]. AAV is a helper-dependent parvovirus containing a single-stranded, linear DNA genome. They are non-pathogenic and can infect both dividing and non-dividing cells. In many cases the wild-type AAV genome integrates into a region of the chromosome in a site-specific manner. On the other hand, recombinant AAV (rAAV) vector integrates non-specifically into the host genome or might stay in the episomal state [74,75].

One disadvantage of AAV is its relatively small packaging capacity (4.7 Kb), which limits the size of the transgene that can be inserted into it. However, the pre-proinsulin gene is small enough, so even when the gene is accompanied with multiple regulatory elements in a complex expression cassette, size limitation is unlikely to become an issue for applicability of the virus for gene transfer experiments.

| Vector Type       | Packaging capacity | Transfers gene to nondividing cells | Expression | Chromosomal integration | Immunogenicity |
|-------------------|--------------------|------------------------------------|------------|-------------------------|---------------|
| Adenovirus        | 7.5 kb             | Yes                                | Transient  | No                      | High          |
| Adeno-associated virus | 4.5 kb             | Yes                                | Transient and stable | No          | Low           |
| Lentivirus        | 8 kb               | Yes                                | Stable     | Yes                     | Low           |
| Retrovirus        | 8 kb               | No                                 | Stable     | Yes                     | Moderate      |

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AAV’s have been used by many researchers to successfully express insulin within non-β cells. Since Sugiyama A, et al. [76] used an AAV vector to transfer the pre-proinsulin gene into the liver parenchyma of STZ-induced diabetic mice in 1997, the possible use of AAV vector to transfer the insulin gene in vivo as a gene delivery vector has been widely explored. Park et al. used AAV to deliver the insulin gene under control of the CMV promoter into STZ-induced diabetic rats and found improved glucose tolerance comparable to that of non-diabetic control rats. Additionally, they observed a less pronounced immune response using AAV when compared to the same treatment using adenoviral vectors [77]. Mas S, et al. [54] treated STZ-induced diabetic mice with insulin and glucokinase genes by using AAV vectors and observed normoglycemia, normalization of glucose tolerance, and metabolic parameters for four months. Gan SU, et al. [78] used AAV vectors to express the human proinsulin gene under a constitutive liver specific promoter to correct hyperglycemia in STZ-induced diabetic mice and achieved maintenance of euglycemia or a mild diabetic condition for 9 months accompanied by weight gain. Thulé PM, et al. [41] used self-complementary AAV vectors to express insulin transgenes in the liver of STZ-induced diabetic mice and observed variable glycemic responses. Jaén ML, et al. [55] treated two diabetic dogs with insulin and glucokinase genes by using AAV vectors and demonstrated long-term insulin expression and therapeutic benefit.

One of the major hurdles facing AAV gene delivery is the host immune response. The host defense mechanism at the adaptive level is made up of cell-mediated and humoral immunity. Although AAV has not been associated with any known illnesses in the human and animal populations, most people are exposed to wild-type AAV early in life and are positive for anti-AAV antibodies (>70%) for one or more serotypes [79]. The recombinant AAV capsid is very similar to the wild-type AAV. Thus, preexisting neutralizing antibodies against the wild-type AAV will limit vector transduction in tissues. Furthermore, even among individuals that lack preexisting anti-AAV antibodies, re-administration of the same AAV vector, should a second administration be required for treatment, is not likely to be effective.

Cell-mediated responses to AAV vectors also have been documented. Clinical trials showed that the cross-presentation of AAV capsids via major histocompatibility complex class I may be playing a role in the activation of Cytotoxic-T-lymphocyte (CTL) response [80]. Moreover, it is demonstrated that the anti-AAV CTL response is dose-dependent and could be potentially addressed by lowering the therapeutic dose with improved transduction efficiency and highly efficient AAV vectors [81].

Transduction efficiencies for AAV vectors are reported to be in the range from 25 to several hundred vector genome-containing particles per cell. This partly depends on AAV serotype and cell line, but also the wide range of methods used to generate adenovector-associated vectors that have not been standardized. The increase in usage of rAAV vectors underscores the growing need for the development of suitable quality control methods for accurate vector characterization and standardization using a set of universally accepted standards. Given that the quality of rAAV preparations could be different depending on the specific criteria set by the investigator, it is very important to develop reliable and accurate functional dose determination methods.

Concluding Remarks

Despite the progress of transforming endogenous cells into surrogates of β cells, the formation of fully functional cells perfectly replicating all aspects of endogenous β cells has remained elusive. Insulin gene therapy must fulfill the prerequisite of adequate regulation, such that the insulin-producing surrogate cells should have the ability to respond to changing blood glucose levels by modulating insulin output. Although glucose responsive expression systems have achieved auto regulated insulin secretion to some degree, the crucial limitation of the relatively slow kinetics and the lag time involved in the secretory response must be addressed. It might be possible to control the half-life of transgenic insulin mRNA by incorporating specific elements into its sequence that result in rapid degradation. In addition, the combination of elements, such as the insertion of multiple copies of GIREs, might be used to create a more glucose-responsive insulin expression cassette.

One of the key issues remaining to be overcome before implementing insulin gene therapy in the clinical setting is to develop a highly efficient, specific and standardized gene delivery system. AAV vectors have emerged as one of the most promising vectors for insulin gene therapy. The biggest challenge facing AAV gene delivery is the host immune response, which is dose-dependent. This challenge could be addressed by combining the two avenues of improving the potency and purity of AAV vectors that posses's biological function, thus lowering the therapeutic dose for treatment, and a transient immunomosuppression at the time of treatment. Predictably reliable AAV vector based treatment outcomes, depend on our ability to prepare and purify high potency AAV vectors, and accurately quantitative its biological function.

Fortunately, there are many exciting and promising advances on the horizon of insulin gene therapy that we hope with continued research could be tenable for human translation.

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