Energy, Rapidity and Transverse Momentum Dependence of Multiplicity Fluctuations in Heavy Ion Collisions at CERN SPS

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Multiplicity fluctuations in the forward hemisphere were studied for positively, negatively and all charged hadrons produced in central Pb+Pb collisions at 20\(A\), 30\(A\), 40\(A\), 80\(A\) and 158\(A\) GeV. The multiplicity distributions and their scaled variances are presented as a function of collision energy, rapidity and transverse momentum. The distributions have bell-like shape and the scaled variance changes monotonously with energy in the range from 0.8 to 1.2. No indication of the critical point is observed.

The string-hadronic model UrQMD reproduces results on the scaled variance. The predictions of the hadron-resonance gas model obtained within the grand-canonical and canonical ensembles for the scaled variance disagree with the data.
1. Introduction

At high energy densities ($\approx 1 \text{ GeV}/\text{fm}^3$) a phase transition between hadron gas and quark-gluon-plasma (QGP) is expected to occur. There are indications that at RHIC and top SPS energies the quark-gluon-plasma is created at the early stage of heavy ion collisions [1, 2]. The energy dependence of various observables shows anomalies at low SPS energies [3] which might be related to the onset of deconfinement [4]. It is predicted [5] that the onset of deconfinement should lead to a non-monotonous behaviour in multiplicity fluctuations, the so-called "shark fin". Lattice QCD calculations suggest furthermore the existence of a critical point in the phase diagram of strongly interacting matter which separates the first order phase boundary at high baryo-chemical potentials and low temperature from a crossover at low baryo-chemical potential and high temperature. An increase of multiplicity fluctuations near the critical point of strongly interacting matter is expected [6].

In statistical models the widths of the multiplicity distributions are dependent on the conservation laws the system obeys. Even though the mean multiplicity is the same in the infinite volume limit for different statistical ensembles, this is not true for multiplicity fluctuations [7]. Therefore multiplicity fluctuations in nuclear collisions provide a unique tool for testing the influence of conservation laws in relativistic gases.

The predictions for multiplicity fluctuations obtained by the HSD [8] and UrQMD [9] models are different from the hadron-gas predictions. Therefore the multiplicity fluctuations might allow to distinguish between these models.

Results on the centrality dependence of multiplicity fluctuations in Pb+Pb collisions obtained by the NA49 [10] and WA98 [11] collaboration at top SPS energies show an increase of multiplicity fluctuations with decreasing centrality of the collision for forward rapidities. This increase might be interpreted as fluctuations in the number of target participants, which contribute to the projectile hemisphere [12] or as an effect of correlations between produced particles [13]. A similar increase of multiplicity fluctuations is observed at midrapidity by the PHENIX [14, 15] collaboration at RHIC energies.

In this report the energy, rapidity and transverse momentum dependence of multiplicity fluctuations in very central Pb+Pb collisions measured by the NA49 experiment is presented and compared to predictions of the UrQMD and a hadron-resonance gas model [16].

2. Measure of Multiplicity Fluctuations

Let $P(n)$ denote the probability to observe a particle multiplicity $n$ ($\sum_n P(n) = 1$) in a high energy nuclear collision.

The scaled variance $\omega$, used in this paper as a measure of multiplicity fluctuations, is defined as

$$\omega = \frac{\text{Var}(n)}{<n>} = \frac{<n^2> - <n>^2}{<n>},$$

where $\text{Var}(n) = \sum_n (n - <n>)^2 P(n)$ and $<n> = \sum_n n \cdot P(n)$ are variance and mean of the multiplicity distribution, respectively.

In many models this measure is independent of the number of particle production sources. First, in grand-canonical statistical models neglecting quantum effects and resonance decays the
multiplicity distribution is a Poisson one. The variance of a Poisson distribution is equal to its mean, and thus the scaled variance is $\omega = 1$, independently of mean multiplicity. Second, in the Wounded Nucleon Model [17], the scaled variance in $A + A$ collisions is the same as in nucleon-nucleon collisions provided the number of wounded nucleons is fixed.

If the particles are not correlated in momentum space, the scaled variance in a limited acceptance is related to the scaled variance in full phase-space ("$4\pi$") as:

$$\omega_{\text{acc}} = p \cdot (\omega_{4\pi} - 1) + 1,$$

where $p$ denotes the mean fraction of particles measured in the corresponding acceptance. Note that effects like resonance decays, quantum statistics and energy-momentum conservation introduce correlations in momentum space. Therefore the scaling described by equation 2.2 is in general violated.

3. The NA49 Experiment

The NA49 detector [18] is a large acceptance fixed target hadron spectrometer. Its main devices are four large volume time projection chambers (TPCs). Two of them, called vertex TPCs (VTPC1 and 2), are located in two superconducting dipole magnets with a total bending power up to 7.8 Tm. The magnetic field used at 158 A GeV was scaled down in proportion to the beam energy for lower energies. The other two TPCs (MTPC-L and MTPC-R) are installed behind the magnets on the left and the right side of the beam line allowing precise particle tracking in the high density region of heavy ion collisions. The measurement of the energy loss $dE/dx$ in the detector gas allows particle identification in a large momentum range. It is complemented by time of flight (TOF) detectors measuring particles at mid-rapidity. In this analysis $dE/dx$ information is used only to reject electrons.

The target is located 80 cm in front of the first vertex TPC. Three beam-position-detectors (BPDs) allow a precise determination of the point where the beam hits the target foil. The centrality of a collision is determined by measuring the forward going energy of projectile spectators in the veto calorimeter (VCAL, see section 3.2). The acceptance of the veto calorimeter is adjusted at each energy by a proper setup of the collimator.

3.1 Event Selection

In order to get a "clean" sample of events excluding for instance collisions outside the target or event pileup, the fit of the interaction point, based on the reconstructed tracks, has to be successful and has to be close to the position obtained by extrapolation of the beam particle measured by the beam position detectors to the target foil.

The event cuts have a small influence on the scaled variance, the results differ by less than 1% when only the cut requirement of a successful fit of the main vertex is used.

3.2 Centrality Selection

Fluctuations in the number of participants lead to an increase of multiplicity fluctuations. In a superposition model the total multiplicity is the sum of the number of particles produced by
different independent particle production sources. In these models the scaled variance has two contributions. The first is due to the fluctuations of the number of particles emitted by a single source, the second is due to the fluctuations in the number of sources. In order to minimize the fluctuations of the number of sources, the centrality variation in the ensemble of events should be as small as possible.

The downstream veto calorimeter [19] of NA49, originally designed for NA5, allows a determination of the energy in the projectile spectator region [20]. A collimator in front of the calorimeter is located 25 m downstream from the target and is adjusted for each energy in such a way that all projectile spectator protons, neutrons and fragments can reach the veto calorimeter. Acceptance tables in $p$, $p_T$ and $\phi$ can be obtained on the author’s website (http://www.ikf.physik.uni-frankfurt.de/users/lungwitz/acceptance/).

The projectile centrality $C_{proj}$ of an event with a veto energy $E_{Veto}$ can be calculated using the known trigger centrality $C_{trig}$ and the veto energy distribution as:

$$C_{proj} = \frac{\sigma_{E_{Veto}}}{\sigma_{inel}} = C_{trig} \cdot \frac{\int_{E_{Veto}}^\infty dN/dE_{Veto, trig}}{\int_0^\infty dN/dE_{Veto, trig}},$$

where $dN/dE_{Veto, trig}$ is the Veto energy distribution for a given trigger.

The resolution of the veto calorimeter was estimated in [10]. In order to check this parametrization, the distribution of the spectators were simulated by the SHIELD model [21]. A simulation performed at 20A and 158A GeV including the geometry of the NA49 detector and the non-uniformity of the veto calorimeter confirms the parametrization as an upper limit. The corresponding fluctuations are expected to increase the scaled variance in the data by less than 1%, no correction (which would decrease the scaled variance) is applied to the data.

The veto calorimeter response can in principle change with time (aging effects, etc.). Therefore a time dependent calibration of the veto energy was applied. However, the effect of this calibration on the scaled variance turned out to be very small (< 1%).

When fixing the projectile centrality $C_{proj}$ by equation 3.1, which fixes the number of projectile participants $N_{proj}^P$, the number of target participants $N_{Targ}^P$ can still fluctuate. This means that the total number of participants is not rigorously constant which may induce residual fluctuations. The fluctuations of the target participants obtained by UrQMD and HSD simulations [8], expressed as their scaled variance $\omega_{Targ} = Var(N_{Targ}^P)/<N_{Targ}^P>$, are shown in figure 1. For non-central collisions the target participants strongly fluctuate, even for a fixed number of projectile participants. In the experimentally observed centrality dependence of multiplicity fluctuations [10], an increase of scaled variance in the forward hemisphere with decreasing centrality is detected, which might be related to the increasing target participant fluctuations [12].

For further analysis, the 1% most central collisions (according to their veto energy) are selected in order to minimize the fluctuations in the number of participants. For these very central collisions, the fluctuations in the number of target participants are expected to be smallest and its scaled variance $\omega_{Targ}^P$ is expected to be about 0.1.

In order to study the influence of target participant fluctuations and non- spectator particles in the veto calorimeter, the energy dependence of the scaled variance is calculated in the UrQMD 1.3 model both for collisions with zero impact parameter and for collisions selected according to their veto energy. The difference in the scaled variance in the forward acceptance is smaller than 2%
for negative hadrons, smaller than 3% for positive and smaller than 4% for all charged hadrons. In the midrapidity region the influence of the fluctuations of target participants to the scaled variance is expected to be much larger. Indeed, the scaled variance in UrQMD simulation when selecting events by their veto energy are up to 6% for negative, 9% for positive and up to 13% for all charged hadrons larger than the corresponding values for a zero impact parameter of the collision.

In order to check the influence of the centrality selection, in addition the scaled variance for the 0.5% most central collisions was determined. The difference to the values obtained for the 1% most central collisions is smaller than 3% for positive, 2% for negative and 5% for all charged hadrons.

### 3.3 Track Selection

Since detector effects like track reconstruction efficiency might have a significant influence on multiplicity fluctuations, it is important to select a very clean track sample for the analysis.

Reconstruction inefficiencies mostly occur for tracks with a very low number of points in the time projection chambers (TPCs) or for tracks which only have points in the first vertex TPC (VTPC1) or in the main TPC. These tracks are not used for this analysis. Acceptance tables in $y(\pi)$, $p_T$ and $\phi$ can be obtained at the author’s website (http://www.ikf.physik.uni-frankfurt.de/users/lungwitz/acceptance/). Only tracks in the rapidity interval starting at midrapidity and ending at beam rapidity are taken.

In order to study the multiplicity fluctuations differentially, the total rapidity interval $0 < y(\pi) < y_{beam}$ is divided into two parts, the "midrapidity" ($0 < y(\pi) < 1$) and the "forward" ($1 < y(\pi) < y_{beam}$) region. The fraction of $4\pi$ multiplicity, which is accepted in the different acceptance intervals, is shown in figure 1. Note that the acceptance used for this analysis is larger than the one used for the preliminary data shown in [22, 23].

In order to decrease the contribution of weakly decaying particles, the measured tracks are extrapolated back to the target plane. The distance of the point, where the extrapolated track hits the target plane, to the main vertex of the collision is called track impact parameter. All tracks with too high track impact parameters are rejected. For removing the electron contribution, tracks with a too high energy loss in the detector gas are rejected. The influence of both cuts on the resulting scaled variance is small (see chapter 3.4).
3.4 Systematic Errors

The influence of several effects on the scaled variance have been studied. These include the event selection criteria, the resolution and the calibration of the veto calorimeter and the track selection criteria. The total systematic error is estimated as the maximum of these effects. It is 2% for positively and negatively charged hadrons and 3% for all charged hadrons.

In order to estimate the effect of centrality selection, the 0.5% most central collisions are selected. The scaled variance for this, stricter, selection is up to 5% different from the scaled variance obtained for the 1% most central collisions. As the centrality selection is a well-defined procedure and can be repeated in model calculations, the difference of the 0.5% and 1% most central collisions is not part of the systematic error.

In the midrapidity region at top SPS energy (158A GeV) a high track density causes track losses in events with a high multiplicity. This effect can be seen as an asymmetry in the ratio of the measured multiplicity distribution to the Poisson distribution. Therefore the results on the scaled variance in the midrapidity and full experimental acceptance at 158A GeV are not shown in this paper.

4. Results on Multiplicity Fluctuations

In this chapter the results on the multiplicity fluctuations for negatively, positively and all charged hadrons are presented for Pb+Pb collisions at 20A, 40A, 80A and 158A GeV. In order to minimize the fluctuations in the number of participants, the 1% most central collisions according to the energy of projectile spectators measured in the veto calorimeter are selected (see section 3.2). For a more differential study, the phase-space is divided into three different rapidity intervals: $0 < y(\pi) < y_{beam}$, $0 < y(\pi) < 1$ and $1 < y(\pi) < y_{beam}$ (see section 3.3).

4.1 Multiplicity Distributions

As an example, the multiplicity distribution in the forward acceptance at 158A GeV is shown in figure 3.

The multiplicity distributions all have a bell-like shape, no significant tails or events with a very high or very low multiplicity are observed. They are compared to a Poisson distribution with the same mean multiplicity.
The measured multiplicity distributions are significantly narrower than the Poisson ones in the forward acceptance for positively and negatively charged hadrons at all energies. In the midrapidity acceptance the measured distributions are wider than the Poisson ones. The distributions for all charged hadrons are broader than the ones for a single charge.

4.2 Energy Dependence of Scaled Variance

The energy dependence of the scaled variance for negatively, positively and all charged particles for three different acceptances is shown in figures 3-5.

For positively and negatively charged hadrons the scaled variance is similar and smaller than 1 in the forward acceptance at all energies. At midrapidity, it is larger than 1. For all charged particles the scaled variance is higher than for one single charge.

No significant structure or non-monotonous behaviour at a specific energy is observed.
4.3 Rapidity Dependence

The rapidity dependence of the scaled variance for 158A GeV central Pb+Pb collisions is shown in figure 6. For this energy only the rapidity interval $1 < y(\pi) < 1.75$ is used because the midrapidity region suffers from reconstruction inefficiency (see section 3.4). In order to get rid of the "trivial" dependence of the scaled variance on the fraction of accepted tracks (see equation 2.2), the rapidity bins are constructed in such a way that the mean multiplicity in acceptance for each bin is the same. If there would be no correlations in momentum space, the scaled variance in figure 6 would be independent of rapidity. This is not the case, the experimental data show an increase of the scaled variance towards midrapidity for all charges.

4.4 Transverse Momentum Dependence

The transverse momentum dependence of the scaled variance at top SPS energy is shown in figure 7. Only a small rapidity interval in the forward acceptance ($1.25 < y(\pi) < 1.75$) is used for this study. A larger rapidity interval might cause a bias because the acceptance in rapidity is different for different transverse momenta.

The scaled variance increases with decreasing transverse momentum.
5. Model Comparison

5.1 Hadron-Resonance Gas Model

In a hadron gas model statistical equilibrium is assumed. Three different statistical ensembles can be used, the grand-canonical, the canonical and the micro-canonical ensemble, which differ in the way the conservation laws are taken into account. In the grand-canonical ensemble all conservation laws are fulfilled only on average, not on an event-by-event basis, whereas in the canonical ensemble the baryon number, the strangeness and the electrical charge have to be conserved in each event. In the micro-canonical ensemble in addition the energy and the momentum are conserved.

In [16] the fluctuations of particle multiplicity in the full phase-space were calculated using the three different statistical ensembles. Quantum-statistic-effects and resonance decays are included in this model. The scaled variance of negatively charged hadrons is shown in figure 8.

The scaled variance is largest in the grand-canonical ensemble, where all conservation laws are fulfilled only on average, not on an event-by-event basis. In the canonical ensemble, the electric charge, the baryon number and the strangeness is conserved in each event. These constraints strongly suppress the multiplicity fluctuations. In the micro-canonical ensemble also energy and momentum are conserved in each collision. The fluctuations are the smallest in this ensemble.

In order to compare the hadron-resonance gas model predictions with experimental data, the scaled variance calculated in full phase space is extrapolated to experimental acceptance using equation 2.2. For the micro-canonical ensemble the presence of energy and momentum conservation laws introduce strong correlations in momentum space, therefore equation 2.2 is not applicable. Resonance decays introduce only a weak correlation in momentum space for positively and negatively charged hadrons, because only a small number of resonances decay into two particles with the same charge. In contrast a large number of resonances decay into two oppositely charged hadrons, therefore equation 2.2 is not valid for the scaled variance of all charged hadrons. Quantum correlations, which introduce correlations in momentum space, are expected to have a small effect on multiplicity fluctuations [16].

The fluctuations of target participants are not included in the statistical model. UrQMD simulations (see section 3.2) suggest that this effect is small in the forward region, but target participant fluctuations would increase the scaled variance at midrapidity significantly. The influence of target participant fluctuations is estimated by the UrQMD model and shown as open boxes in figure 8.
At forward rapidity, the fluctuations are overpredicted by the grand canonical model. The canonical model is closer to data, but overpredicts them, too. A micro-canonical ensemble predicts smaller fluctuations than the canonical one in $4\pi$, but a quantitative comparison with data is not possible yet, because correlations in momentum space do not allow to extrapolate to experimental acceptance using equation 2.2. At midrapidity the scaled variance in data is much higher than in the forward region. This is in contradiction to the grand-canonical and canonical statistical model, because these ensembles predict a similar value of the scaled variance in both regions of the phase-space. The data points at midrapidity corrected for target participant fluctuations lie between the predictions of the grand-canonical and canonical model.

The canonical and grand canonical statistical model predict no dependence of scaled variance on rapidity and $p_T$. This is in contradiction to experimental data shown in figures 8 and 9. The hadron-resonance gas model in the micro-canonical ensemble predicts an increase of fluctuations near midrapidity and for low $p_T$ [24], as it is seen in data, as an effect of energy and momentum conservation.

5.2 The UrQMD Model

The UrQMD (v1.3) [25, 26] microscopic transport approach is based on the covariant propagation of constituent quarks and di-quarks accompanied by mesonic and baryonic degrees of freedom. It simulates multiple interactions of in-going and newly produced particles, the excitation and fragmentation of colour strings and the formation and decay of hadronic resonances. Towards higher energies, the treatment of sub-hadronic degrees of freedom is of major importance. In the present model, these degrees of freedom enter via the introduction of a formation time for hadrons pro-
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Figure 10: UrQMD results [9] of scaled variance of negatively charged hadrons in full phase space in inelastic p+p, p+n interactions and central Pb+Pb collisions as a function of collision energy in comparison to hadron-resonance gas model predictions [16] for Pb+Pb collisions. For all charged hadrons the model predictions for p+p are compared to a parametrization [27] of experimental data.

duced in the fragmentation of strings. A phase transition to a quark-gluon state is not incorporated explicitly into the model dynamics.

For p+p and p+n interactions all inelastic collisions are selected. For Pb+Pb the impact parameter of the collisions are set to \( b = 0 \). The calculations were performed for AGS, SPS and RHIC energies. The scaled variance predicted by the UrQMD model [9] is shown in figure 11.

In the UrQMD model the multiplicity fluctuations are similar in nucleon-nucleon interactions and central heavy ion collisions. Thus with respect to the scaled variance of multiplicity distributions UrQMD behaves as a superposition model. For positively and negatively charged hadrons the scaled variance is similar, whereas the values are about twice as high for all charged hadrons.

The energy dependence of the scaled variance is different from the predictions of the hadron-resonance gas model: the scaled variance in UrQMD shows a strong increase with collision energy, whereas the hadron-resonance gas model has a much weaker energy dependence.

In order to compare the UrQMD model to the experimental data, both the acceptance and the centrality selection of the NA49 experiment have to be taken into account. The predictions of the model, published in [9], are compared to the experimental data in figures 3-5.

Two different centrality selections (see section 3.2) are used in the model: first, collisions with zero impact parameter (open symbols), second 1% central collisions selected in the same way as it is done in the data using a simulation of the acceptance of the veto calorimeter (full symbols).

The UrQMD model with collisions selected by their energy in the veto calorimeter is in agreement with data for all energies, acceptances and charges. In the forward acceptance a UrQMD simulation for events with zero impact parameter \( b = 0 \) gives similar results, whereas the scaled variance for \( b = 0 \) is smaller in the midrapidity and the full experimental regions, probably due to target participant fluctuations.

In the experimental data an increase of fluctuations is observed with decreasing rapidity (figure 6). The rapidity dependence of the scaled variance in data is reproduced by the UrQMD model with a similar centrality selection as in the data.

In the data an increase of scaled variance with decreasing transverse momentum is measured at forward rapidity (figure 7). In the UrQMD model a similar trend is observed, but the scaled variance is underpredicted at very low transverse momenta, which might be related to effects like Coulomb- and Bose-Einstein Correlations (HBT), which are not implemented in the model.
The HSD transport approach, following a similar strategy as the UrQMD model, yields similar results on scaled variance. The energy dependence of the scaled variance for central \((b = 0)\) Pb+Pb collisions obtained by the HSD model are presented in [28]. They are compared to the preliminary NA49 data on multiplicity fluctuations [22] and both are in agreement in the forward acceptance. Unfortunately HSD calculations for the larger acceptance used in this paper are not available yet.

5.3 Onset of Deconfinement

The energy dependence of various observables shows anomalies at low SPS energies which might be related to the onset of deconfinement [29, 30]. In [31] it is predicted that the onset of deconfinement should lead to additional fluctuations at medium SPS energies. A "shark-fin" structure with a maximum near 80\(A\) GeV is predicted for the variable \(R_e\) defined as:

\[
R_e = \frac{(\delta S)^2 / S^2}{(\delta E)^2 / E^2} \tag{5.1}
\]

where \(S\) is the entropy of the system and \(E\) the energy of the collision which goes into produced particles (inelastic energy). \(R_e\) is approximately 0.6 both in the hadron and quark gluon plasma phase, in the mixed phase it can reach values up to 0.8.

In [16] these fluctuations are used for an estimate of the behaviour of the multiplicity fluctuations at the onset of deconfinement. In the mixed phase, the scaled variance of negatively charged particles is expected to be increased by about 0.01. This is smaller than the systematic error on the measurement of scaled variance, therefore the data can neither support nor disprove the existence of a mixed phase at SPS energies.

5.4 Critical Point

In the phase diagram of strongly interacting matter it is expected that the hadron gas and quark-gluon-plasma regions are separated by a first order phase transition line at high baryo-chemical potentials and lower temperatures. For higher temperatures and lower baryo-chemical potentials a cross-over between both phases is expected. The first order phase transition and the cross-over should be separated by the critical point.

If the freeze-out of the matter happens near the critical point, large fluctuations, for instance in multiplicity and transverse momentum, are expected. In [32] it is estimated that the scaled variance in 4\(\pi\) should increase by at least 0.1 near the critical point. These critical fluctuations are expected to be located mainly at low transverse momenta. Although the acceptance effect is unknown, no sign of the critical point is observed in the data on scaled variance.

6. Summary

The energy dependence of multiplicity fluctuations in central Pb+Pb collisions at 20A, 30A, 40A, 80A and 158A GeV was studied for positively, negatively and all charged hadrons. The full experimental acceptance \((0 < y(\pi) < y_{beam})\) is divided into a midrapidity \((0 < y(\pi) < 1)\) and a forward rapidity region \((1 < y(\pi) < y_{beam})\). At forward rapidity a suppression of fluctuations in comparison to a Poisson distribution is observed for positively and negatively charged hadrons. At midrapidity and for all charged hadrons the fluctuations are higher. Furthermore the rapidity and
the transverse momentum dependence at 158A GeV was studied. The scaled variance increases for decreasing rapidity and transverse momentum.

The results are in agreement with a UrQMD simulation using the same centrality selection as in the data and performed in the same acceptance.

The grand-canonical and canonical formulations of a hadron-resonance gas model [16] are in disagreement with data. They both overpredict fluctuations in the forward acceptance and predict a flat behaviour on rapidity and transverse momentum. The micro-canonical formulation has a smaller scaled variance and can qualitatively reproduce the increase of fluctuations for low rapidities and transverse momenta [24], but no quantitative calculations are available yet for the limited experimental acceptance.

The predicted maximum in fluctuations due to the phase transition from hadron-resonance gas to quark-gluon-plasma [5] is expected to be smaller than the experimental errors and can therefore neither be confirmed nor disproved.

No sign of increased fluctuations as expected for a freeze-out near the critical point of strongly interacting matter was observed. The NA61 program [30] will study both the energy and system size dependence of fluctuations in order to search for the critical point.

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