Exact and efficient solution of the radiative transport equation for the semi-infinite medium

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An accurate and efficient solution of the radiative transport equation is proposed for modeling the propagation of photons in the three-dimensional anisotropically scattering half-space medium. The exact refractive index mismatched boundary condition is considered and arbitrary rotationally invariant scattering functions can be applied. The obtained equations are verified with Monte Carlo simulations in the steady-state, temporal frequency, and time domains resulting in an excellent agreement.

In many research fields the radiative transport equation (RTE) is the fundamental equation for describing particle transport like neutron propagation in reactor physics or photon propagation in atmospheric physics, in astronomy, or in biophotonics. For quantitative investigations of the photon transport in biological media the RTE is used for all scales, i.e. for macroscopic, mesoscopic, and microscopic applications, where interference effects don’t have to be taken into account. For example, it is applied for non-invasive investigations of the light propagation in the human brain, in small animals, or for quantitative microscopy.

The RTE is usually solved with numerical methods. In the case of isotropic scattering half-space media the RTE can be solved analytically as it is reported in the publications. In the field of biophotonics mainly the Monte Carlo (MC) method is applied. Due to the long simulation time needed with numerical methods until accurate results are received the RTE is often approximated by the diffusion equation. Within the diffusion approximation (DA) analytical solutions can be obtained for several geometries. However, it is well-known that the DA fails in the high spatial and temporal frequency domain (TFD) resulting in a breakdown of the diffusion theory for small distances to sources and boundaries as well as for short time values. The fact that adequate analytical solutions are still rare but of great interest is underlined by many recently published articles which present diffusion theory based models or approximated solutions of the RTE.

In this article we report on radiative transport solutions for describing the propagation of photons in the three-dimensional anisotropically scattering half-space medium. The effect of Fresnel reflection at the interface which is present in nearly all measurements is also considered. The semi-infinite geometry is abundantly used in all three measurement domains. The accuracy of the derived equations is demonstrated in the figures below by comparisons with Monte Carlo simulations showing an excellent agreement.

Results

In the following we give an overview regarding the applied analytical approaches and the appropriate numerical calculation steps for obtaining the proposed radiative transport solution. We start with the derivation in the TFD where the quantities of interest are the demodulation and the temporal phase shift between the injected specific intensity and the measured signal.

The specific intensity caused by a sinusoidally modulated pencil beam

\[ I_{\text{inc}}(\rho, \hat{s}, t) = \delta(\rho) \delta(\hat{s} - \hat{z}) \exp(i \omega t) \]  

which enters the boundary of the semi-infinite scattering medium \( z \geq 0 \) becomes \( G(r, \hat{s}, t) = G(r, \hat{s}) \exp(i \omega t) \), where \( \rho \in \mathbb{R}^2 \) and \( \omega \in \mathbb{R} \) is the angular modulation frequency. The complex amplitude obeys the RTE

\[ \hat{s} \cdot \nabla G(r, \hat{s}) + \mu, G(r, \hat{s}) = \mu_0 \int_{S^2} G(r, \hat{s}') f(\hat{s} \cdot \hat{s}') \, d\hat{s}', \]  

where \( \mu = \mu_a + \mu_s + i \omega / c \) is the frequency dependent total attenuation coefficient, \( \mu_a \) the absorption coefficient, \( \mu_s \) the scattering coefficient, \( c = c_0 / n \) is the velocity of light in the scattering half-space with refractive index \( n \). The
detection of the specific intensity takes place at the spatial position \( \mathbf{r} \in \mathbb{R}^3 \), whereas the unit vector \( \mathbf{s} \in S^2 \) specifies the direction of the photon propagation and \( f(\mathbf{s}, \mathbf{s}') \) is the scattering phase function which is normalized to unity. The exact boundary condition (BC) corresponding to a pencil beam which enters the half-space \( z \geq 0 \) is defined in the angular domain \( \mathbf{s} \cdot \mathbf{z} > 0 \) and is given by

\[
G(\rho, 0, \mu, \phi) = R(\mu) G(\rho, 0, -\mu, \phi) + \delta(\rho) \delta(\mathbf{s} \cdot \mathbf{z}),
\]

where \( R(\mu) \) is the Fresnel reflection coefficient\(^2\) and \( \mu = \cos \theta \). Here Green’s function in the frequency domain is separated into its ballistic and diffuse contribution\(^3\) according to \( G(\mathbf{r}, \mathbf{s}) = G(\mathbf{r}, \mathbf{s}) + I(\mathbf{r}, \mathbf{s}) \). By substituting this ansatz in (2) we obtain the first RTE

\[
\mathbf{s} \cdot \nabla G_{0}(\mathbf{r}, \mathbf{s}) = -\mu_{l} G_{0}(\mathbf{r}, \mathbf{s}),
\]

whose solution under consideration of the BC (3) yields the ballistic component

\[
G_{0}(\mathbf{r}, \mathbf{s}) = \delta(\rho) e^{-\mu_{l} z} \Theta(z) \delta(\mathbf{s} \cdot \mathbf{z}),
\]

where \( \Theta(z) \) denotes the Heaviside step function. Taking into account this component we obtain the second RTE for the diffuse part

\[
\mathbf{s} \cdot \nabla I(\mathbf{r}, \mathbf{s}) + \mu_{l} I(\mathbf{r}, \mathbf{s}) = \mu_{s} \int_{S^2} I(\mathbf{r}, \mathbf{s}') f(\mathbf{s}, \mathbf{s}') d\mathbf{s}' + \mu_{s} \delta(\rho) e^{-\mu_{l} z} \Theta(z) f(\mathbf{s}, \mathbf{z}),
\]

which has to be solved subject to the BC

\[
I(\rho, 0, \mu, \phi) = R(\mu) I(\rho, 0, -\mu, \phi), \quad \mathbf{s} \cdot \mathbf{z} > 0.
\]

The particular solution of (6) can be evaluated rapidly by performing a similarity ansatz. It can be shown that

\[
I^{(P)}(\mathbf{r}, \mathbf{s}) = e^{-\mu_{l} z} \Theta(z) \sum_{lm} I_{lm}(\rho) Y_{lm}(\theta, \phi)
\]

with Hankel transformed coefficients

\[
I_{lm}(\rho) = \frac{\rho^{m}}{2\pi} \int_{0}^{\infty} I_{lm}(\rho, q) q dq
\]

is a complete and exact solution of the RTE (6) for appropriate spectral components \( I_{lm}(q) = (-1)^{m} I_{-l-m}(q) \). Moreover \( Y_{lm}(\theta, \phi) \) with \( \gamma = \phi - \phi_{0} \) are the spherical harmonics (SH) and \( J_{m}(\gamma) \) are the Bessel functions of the first kind. Inserting (8) together with (9) in (6) and making use of recurrence relations for the SH and the Bessel functions leads to the following set of linear equations labeled by \( l = m, m + 1, \ldots \) for \( m = 0, 1, \ldots \) according to

\[
\begin{align*}
-\mu_{l} & \left[ \frac{\rho m}{\sqrt{2l+1}} I_{l-m}(q) + \frac{\rho i+m+1}{\sqrt{2l+3}} I_{l+1-m}(q) \right] \\
& + i q \left[ b_{l,m} I_{l+m+1}(q) - c_{l,m} I_{l+m+1}(q) \right] \\
& + i q \left[ b_{l+1,m} I_{l+1-m-1}(q) - c_{l+1,m} I_{l+1-m-1}(q) \right] \\
& + \sqrt{2l+1} \sigma_{l} I_{lm}(q) = (2l+1) i \delta_{m0} \mu_{s} \sqrt{4\pi},
\end{align*}
\]

with the quantities \( \sigma_{l} = \mu_{s} - j_{l} \mu_{s} \). The remaining quantities are given by \( a_{lm} = \sqrt{B - m^{2}} \), \( b_{lm} = c_{l-m} \) and \( c_{lm} = \sqrt{(l+m)(l+m+1)/(2l+1)/2} \). The expansion coefficients of the scattering phase function are defined as

\[
f_{l} = \int_{S^2} f(\mathbf{s}, \mathbf{s}') P_{l}(\mathbf{s}, \mathbf{s}') d\mathbf{s}'
\]

where \( P_{l}(x) \) are the Legendre polynomials. The system of linear equations (10) written in matrix notation becomes

\[
( -\mu_{l} A + i Q B + S ) I(q) = \{ c \}.
\]

Now the solution to the boundary-value problem (7) can be achieved by considering additionally the general solution to the homogeneous RTE. In our recent study\(^8\) we solved the homogeneous problem in the steady-state domain by applying the method of rotated reference frames\(^24\)\(^25\). Although the obtained solution is formally exact its successful application is impossible for several situations of high practical importance due to numerical shortcomings. Therefore, we reconsidered the homogeneous problem in the TFD and obtained the following complete new result

\[
I^{(h)}(\mathbf{r}, \mathbf{s}) = \sum_{lm} \psi_{lm}(\rho, z) Y_{lm}(\theta, \phi),
\]

where

\[
\psi_{lm}(\rho, z) = \frac{i^{m}}{2\pi} \int_{0}^{\infty} \psi_{lm}(q, z) I_{lm}(q) q dq
\]

with

\[
\psi_{lm}(q, z) = \sum_{\xi_{l} > 0} C_{l}(q) \exp(-\xi_{l} z) \psi^{(i)}_{lm}(q)
\]

and \( \xi_{l} = \text{Re}(\xi_{l}) \). The eigenvalues \( \xi_{l} \) and the eigencomponent \( \psi^{(i)}_{lm}(q) \) follow as solution of the generalized eigenvalue problem

\[
(S + i q B) \psi(q) = \xi A \psi(q),
\]

which can be translated into a standard eigenvalue problem because det\((S + i q B) \neq 0 \). The characteristic equation of (16) is given by

\[
\prod_{\text{Re}(\xi_{l}) > 0} (1 - \xi_{l}^{2} \lambda_{l}^{2}) = 0,
\]

where \( \lambda_{l} \) are the eigenvalues of the matrix \((S + i q B)^{-1} A \). Note that in the steady-state domain which entails that \( \alpha = 0 \) the eigenvalues \( \xi_{l} = 1/\lambda_{l} \) are strictly real-valued. The corresponding nontrivial solution \( u_{l}(q) \neq 0 \) to the eigenvalue \( \xi_{l} \) is that which is given by the eigenvector to \( \lambda_{l} \). The general solution to the RTE (6) for the semi-infinite geometry is now obtained via superposition of the homogeneous and particular part.

Next, we apply the generalized Marshak BC\(^24\)\(^25\)\(^27\) for satisfying equation (7) and to determine the unknown constants \( C_{l}(q) \) within the homogeneous solution. At this stage the solution to the RTE (6) for the semi-infinite geometry is completed. In view of diagnostic and therapeutic applications the back-scattered light \( R(\rho) = -\int I(\rho, 0, 0, \mathbf{s}) d\mathbf{s} \) and the internal fluence \( \Phi(\mathbf{r}) = \int I(\mathbf{r}, \mathbf{s}) d\mathbf{s} \) are quantities of particular interest. By making use of the derived specific intensity as well as of the orthogonality relation \( \int Y_{lm}(\mathbf{s}) Y_{m}^{*}(\mathbf{s}) d\mathbf{s} = \delta_{lm} \delta_{nm} \), we find the following expressions for the reflectance

\[
R(\rho) = -2 \sqrt{\pi/3} |\psi_{10}(\rho, 0) + I_{10}(\rho)|
\]

and for the fluence in \( z \geq 0 \)

\[
\Phi(\mathbf{r}) = \delta(\rho) e^{-\mu_{l} z} + 4\pi |\psi_{00}(\rho, z) + e^{-\mu_{l} z} I_{00}(\rho)|.
\]

The above derived solution can be evaluated for an arbitrary angular frequency. Therefore, we can reconstruct the time-resolved impulse response or Green’s function for the diffuse specific intensity according to

\[
I(\mathbf{r}, \mathbf{s}, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} I(\mathbf{r}, \mathbf{s}, \omega) e^{i\omega t} d\omega.
\]

The frequency dependent coefficients from system (10) satisfy the condition \( I_{lm}(q, \omega) = I_{l-m}(q, -\omega) \). In addition, the real and imaginary parts of \( I(\mathbf{r}, \mathbf{s}, \omega) \) are connected by the Hilbert transform so that it is sufficient to invert e.g. only the real part. Green’s function of the time-dependent RTE for the semi-infinite medium is complete by adding the ballistic contribution \( G_{b}(\mathbf{r}, \mathbf{s}, t) = e^{-\mu_{l} z} \Theta(t) \delta(\mathbf{r} - c_{l} \mathbf{r}) \delta(\mathbf{s} \cdot \mathbf{z}) \).

The derived solutions to the RTE are verified by comparisons with MC simulations\(^8\) in three different measurement domains. The lateral intensity profile of the collimated incident beam is modeled
by a Gaussian function according to $S(r) = \frac{2}{\pi \eta^2} \exp(-2r^2/\eta^2)$, where $\eta$ denotes the radius of the beam. Due to the convolution theorem we have to multiply the right-hand side of system (12) with the Hankel transformed distribution $S(q) = \exp(-q^2\eta^2/8)$. The ballistic specific intensity becomes now $G_b(r, s) = S(r)e^{-\mu_s r} \Theta(z - \rho) \delta(s - z)$. In most cases shown below the absorption coefficient and the reduced scattering coefficient of the semi-infinite medium are assumed to be $\mu_a = 0.01 \text{ mm}^{-1}$ and $\mu'_s = (1-g)\mu_s = 1.0 \text{ mm}^{-1}$, respectively, which are typical values for biological tissue in the near-IR spectral range. If not stated otherwise we consider the Heney-Greenstein (HG) scattering phase function for different values of the anisotropy factor $g$, where the corresponding expansion coefficients are given by $f_1 = g$. The relative refractive index of the non-scattering medium is set to 1.0 whereas the refractive index of the scattering medium is set to $n = 1.4$ if not stated otherwise. In the following we refer to the developed transport solution as the modified spherical harmonics method (MSHM).

We start with the spatially-resolved reflectance in the steady-state domain which is caused by an incident unmodulated light source having two different beam radii $\eta$. Figure 1 contains the reflectance obtained from equation (18) as well as the result of the MC method. An excellent agreement is obtained for both beam radii. Thus, we also computed the relative differences between both methods in the case of the smaller beam radius and included them in the inset. Within the stochastic nature of the MC simulations an exact agreement is found.

Figure 2 displays the steady-state reflectance as function of the radial distance for a semi-infinite medium having two different absorption coefficients. For the less absorbing tissue we computed the relative differences between the MC simulation and the MSHM which are depicted in the inset. In accordance with the first comparison the MSHM leads practically to the same reflectance as the MC simulations for both absorption coefficients.

For the next comparison the absorption coefficient of the scattering half-space is significantly increased relative to the figures above. Figure 3 shows the steady-state reflectance obtained from the MC simulation (symbols), the MSHM (solid lines) and the DA (dashed lines). In that cases the DA cannot be applied for modeling adequately the back-reflected photons which is a well-known shortcoming of the diffusion theory.

The influence of the scattering phase function on the spatially resolved reflectance is considered in figure 4. To this end we use the HG phase function with $g = 0.9$ for the case of a highly forward

![Figure 1](image1.png) Steady-state reflectance versus radial distance $\rho$ due to an incident unmodulated light source having two different beam radii $\eta$. The anisotropy factor of the HG phase function is $g = 0.8$.

![Figure 2](image2.png) Spatially-resolved reflectance as function of $\rho$ due to an incident unmodulated beam with radius $\eta = 0.3$ mm evaluated for two different absorption coefficients. The anisotropy factor of the HG phase function is $g = 0.8$. 

![Figure 3](image3.png) 

![Figure 4](image4.png)

![Figure 5](image5.png)
scattering medium and the Rayleigh function $f(\hat{s} \cdot \hat{s}) = 3[1 + (\hat{s} \cdot \hat{s})^2]/(16\pi)$ for the case of almost isotropic scattering. Within the MSHM this function is given using the expansion coefficients $f_l = \delta_l \cos \delta_l$. The solid lines correspond to the MSHM whereas the symbols display the results of the MC simulations. In addition, the reflectance in DA caused by the assumed Gaussian beam is represented by the dashed line. Again, the agreement between the analytical and the numerical solution of the RTE is excellent, whereas DA performs bad especially at short distances.

We now consider the Mie phase function i.e. the solution of Maxwell’s equations for the scattering of a plane wave by a sphere. To this end we computed the expansion coefficients according to Eq. (11). In figure 5 the spatially-resolved reflectance for the Mie phase function and the Rayleigh function are shown and compared to the results obtained by the MC method (symbols). For calculation of the Mie phase function a sphere having a diameter of 1 mm and a refractive index of 1.59 is assumed to be surrounded by water with index 1.33. The wave length of the unpolarized incident plane wave is assumed to be $\lambda = 633$ nm. The refractive index of the scattering medium is set to $n = 1.33$.

Figure 6 displays the internal steady-state fluence according to equation (19) due to an unmodulated narrow incident Gaussian light source. The anisotropy factor of the HG phase function is $g = 0.8$.
beam with radius $g = 0.05$ mm. The fluence is evaluated very close to the reflecting boundary at $z = 0.05$ mm. Similar as in the comparisons above the MSHM (solid line) coincidences excellently with the internal fluence obtained from the MC simulation (noisy curve and open circles in the inset).

In figure 7 we show a comparison between the MSHM and the MC method in the TFD. To this end we evaluated the reflectance $R(\rho) = A(\rho) \exp[-i\phi(\rho)]$ according to equation (18) for two values of the angular modulation frequency $\omega = 2\pi f$ which covers the typical frequencies used in diffuse optical imaging. The amplitude $A(\rho) = |R(\rho)|$ and the phase shift $\phi(\rho) = -\arg[R(\rho)]$ are verified with MC simulations. Here the corresponding MC results are obtained via a discrete Fourier transformation of the time-resolved reflectance caused by an infinitely short light pulse. The inset shows the phase shift for the smaller source-detector separations which exhibits an noticeable curved course due to the Gaussian intensity profile of the incident beam. It can be seen that the MSHM enables an accurate modeling of the sinusoidally modulated reflected light.

For the next comparison we evaluated equation (18) for a variety of angular modulation frequencies to reconstruct the time-resolved reflectance.

Figure 7 | Reflectance amplitude and temporal phase shift versus radial distance $\rho$ due to an incident modulated beam with radius $g = 0.3$ mm. The anisotropy factor of the HG phase function is $g = 0.6$.

Figure 8 | Time-resolved reflectance due to an infinitely short light pulse evaluated for two different source-detector separations $\rho$. The anisotropy factor of the HG phase function is $g = 0.6$. 

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impulse response for the reflected light $R(\rho, t)$ according to equation (20). Figure 8 shows the time-resolved reflectance for two different source-detector separations. Here the solid lines correspond to the MSHM, the noisy curves (and the symbols in the inset) are the result of the MC simulations and the dashed lines denote the solution of the diffusion equation under the extrapolated BC. The radius of the lateral intensity profile is $\eta = 0.5$ mm. While the MSHM and MC simulation are in excellent agreement for both source-detector separations the DA shows significant differences compared to the transport theory solutions even for relatively large time values.

For a fixed source-detector separation of $\rho = 4.05$ mm we investigated the influence of the reflective index mismatch on the time-resolved reflectance. Figure 9 displays the result of the MSHM (solid lines), the MC simulation (noisy curves, symbols in the inset) and the DA (dashed lines). The radius of the lateral intensity profile is $\eta = 0.3$ mm.

Similar to the previous comparison the DA cannot be applied for accurate modeling the photon propagation for both matched and mismatched BC for early times.

**Discussion**

In conclusion, we have proposed a radiative transport solution for modeling the photon propagation in the three-dimensional semi-infinite medium which is an essential advance in view of the currently available solutions and approaches for solving the three-dimensional RTE. To this end the RTE was solved subject to the exact infinite medium which is an essential advance in view of the transport theory solutions even for relatively large time values.

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**Methods**

**Implementation and evaluation.** The proposed solution was implemented with a non-optimized MATLAB script. The zero order Hankel transform which appears in Eqs. (18) and (19) was evaluated using the Gaussian Legendre quadrature method with 240 supporting points. The time-resolved reflectance was generated from the frequency domain Green’s function via the discrete Fourier transform. The computation time needed for obtaining the results in the steady-state and the TFD was about one second whereas the time-resolved reflectance required about 30 seconds. The corresponding MC simulation took about 20 hours for the steady-state domain and about four days in the TFD and time domains.

We additionally note that due to convenience the specific intensity of the incident beam which has entered the scattering medium was normalized to unity. This means that the specular reflection at the entry into the semi-infinite medium has not been considered. However, this effect can be easily taken into account by multiplying the final results above with the transmission coefficient $T = 1 - (n - 1)/(n + 1)^2$.

**The ballistic contribution.** In order to derive the ballistic contribution we can consider the inhomogeneous version of Eq. (4)

$$s = VG_i(r, \hat{s}) + \mu t G_i(r, \hat{s}) = \delta(r)\delta(s - \hat{s}).$$

One possibility to solve this equation is given by performing the Fourier transform $G_i(k, \hat{s}) = \int G_i(r, \hat{s}) e^{-i k r} dr$. Therefore, the spectral component for the infinite medium becomes

$$G_i(k, \hat{s}) = \frac{\delta(s - \hat{s})}{\mu t + i k}.$$  

whose inverse is a standard transform pair which corresponds with Eq. (5). It can be seen that the infinite-space Green’s function is simultaneously the wanted half-space Green’s function which satisfies the specular reflection BC (3).

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