Anthropometric Measures and Frailty Prediction in the Elderly: An Easy-to-Use Tool

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Purpose. Anthropometry is a useful tool for assessing some risk factors for frailty. Thus, the aim of this study was to verify the discriminatory performance of anthropometric measures in identifying frailty in the elderly and to create an easy-to-use tool.

Methods. Cross-sectional study: a subset from the Multidimensional Study of the Elderly in the Family Health Strategy (EMI-SUS) evaluating 538 older adults. Individuals were classified using the Fried Phenotype criteria, and 26 anthropometric measures were obtained. The predictive ability of anthropometric measures in identifying frailty was identified through logistic regression and an artificial neural network. The accuracy of the final models was assessed with an ROC curve.

Results. The final model comprised the following predictors: weight, waist circumference, bicipital skinfold, sagittal abdominal diameter, and age. The final neural network model presented a higher ROC curve of 0.78 (CI 95% 0.74–0.82) (P < 0.001) than the logistic regression model, with an ROC curve of 0.71 (CI 95% 0.66–0.77) (P < 0.001).

Conclusion. The neural network model provides a reliable tool for identifying prefrailty/frailty in the elderly, with the advantage of being easy to apply in the primary health care. It may help to provide timely interventions to ameliorate the risk of adverse events.

1. Introduction

Frailty is common among the elderly, and several pathophysiological processes are related to its development. It was also observed that there is a close relationship between frailty and weight loss, sarcopenia, obesity, body composition, and nutritional aspects. Frail older people are more likely to become dependent and vulnerable to adverse health outcomes, such as disability, falling, the need for long-term care, and mortality [1]. However, thus far, health care systems do not fully consider this to be an important issue [2].

Although several tools to diagnose frailty have been developed [3], most of them, including the widely accepted Fried Phenotype, can be difficult to apply in clinical practice, especially in primary health care (PHC) settings [4].

In this context, anthropometry is a useful and easy-to-apply tool to assess nutritional status, functional decline, and chronic health conditions, which are important risk factors for frailty [5]. However, in specific scientific literature related to the area of geriatrics and gerontology, there is a lack of studies that provide in-depth information about the anthropometric parameters of frailty in the elderly [6], and the World Health Organization [7] emphasizes the need for values pertaining to specific populations, such as the elderly.

Faced with the difficulty of applying the Fried Phenotype in older adults who were assisted at PHC centers, the purpose of this study was to verify the discriminatory performance of anthropometric measures in identifying frailty in the elderly assisted at the Family Health Strategy [8] and to create an easy-to-use tool for this population.
2. Materials and Methods

2.1. Study Design and Population. This is a cross-sectional study that is part of the Multidimensional Study of the Elderly in the Family Health Strategy (EMI-SUS), whose methodology is described in Gomes et al. [9]. The Family Health Strategy is part of the Brazilian Unified Health System (Sistema Único de Saúde) [8]. The sample comprised 583 older adults aged 60 years or older (sample size calculated between 418 and 799). More details about health characteristics of individuals assessed are described in Closs et al. [10].

2.2. Data Collection Procedures. Sociodemographic data were obtained through a general questionnaire administered at the subjects’ homes. A multidisciplinary team collected data for the determination of the Fried Phenotype [11] according to the following procedures: (A) self-reported unintentional weight loss in the past 12 months (weight loss); (B) grip strength (weakness); (C) self-reported exhaustion (exhaustion); (D) walking speed (slowness); (E) weekly energy expenditure (low physical activity). Frailty (outcome) was dichotomized as frailty (frailty + prefrailty ≥ 1 component) and robustness (0 components). Nutritionists, trained by the International Society for the Advancement of Kinanthropometry [12], collected 26 anthropometric measures: height; weight; knee height; circumferences of the arm, calf, forearm, hip, neck, thigh, and waist (at the umbilical level, at the smallest waist, at the midpoint between the iliac crest and the costal edge); abdominal, bicipital, calf, pectoral, suprailiac, subscapular, thigh, and triceps skinfolds; sagittal abdominal diameter at six points (at the umbilical level, at the smallest waist, at the midpoint between the iliac crest and the costal edge, at the iliac crest level, at the largest waist, and in the orthostatic position). The anthropometric measures used were chosen according to the International Standards for Anthropometric Assessment and considered all the basic measurements items, skinfolds, and circumferences most frequently used in the evaluation of adults and elderly [12].

2.3. Statistical Analysis. Data were presented as mean (standard deviation) or frequency (percentage). To build a predictive rule to detect frailty, we used both logistic regression (LR) and artificial neural network (NN). NN can generate models with better results since it can include interactions among predictors [13–15]. Predictive models should be tested in order to evaluate their prognostic ability. Therefore, the original sample (N = 583) was divided into a learning sample (n = 439) and a testing sample (n = 144). The common rule of four participants in the learning group to one participant in the test group was used and the samples were selected, divided by the frailty subgroups. That is, approximately 80% of the individuals classified as frail and 80% of those classified as robust were randomly selected from the original sample to form the learning sample. The learning sample was used to develop the tool to identify frailty and the test sample was used to compare the prognostic ability of the tool. LR models (unadjusted and adjusted for age and gender) were constructed for each anthropometric predictor (considered to be continuous) to evaluate their predictive ability with regard to frailty. The LR linear assumption was checked by incorporating a quadratic effect in the model and testing its significance (P < 0.05). In addition, the accuracy of each univariate model in correctly identifying older adults with frailty was assessed by estimating the area under the Receiver Operating Characteristic (ROC curve). ROC curves were generated using the predicted probabilities estimated by the LR models. Potential predictors to build a multivariable model were selected based on the significance level and clinical practice. First, predictors with P > 0.20 in the univariable model were discarded. Second, for the measurements that were taken at more than one distinct anatomical point, just the one most cited in the literature (including international guidelines) was selected.

The multivariable model was built using a backward strategy and keeping age and gender as potential confounders. Neural networks are generally formed by a three-layer neuron structure, and a similar network structure was used in this study. The Multilayer Perceptron (MLP) NN is formed of neurons in the input, hidden, and output layers. A feed-forward NN with the backpropagation learning algorithm was used [13]. The final model was selected after a series of tests with different configurations over the hidden layer. The accuracy of the final models was assessed by ROC curve, sensitivity (Se), specificity (Sp), and predictive values (positive and negative) [16]. ROC > 0.7 was considered to indicate sufficient predictive accuracy [16]. Predictive rules were constructed based on the multivariable LR model and the NN model using three criteria: higher Youden Index (YI), Se of at least 0.80 (SeC), and Sp of at least 0.60 (SpC) [17]. All data analysis was performed using SPSS for Windows 17.0 [18] and R 3.1.1 [19].

2.4. Ethical Considerations. The study was approved by the Research Ethics Committee of PUCRS (protocol number CEP-10/04967) and by the Municipal Health Secretary of Porto Alegre (protocol number 001.021434.10.7). Informed consent was obtained from all participants.

3. Results

A total of 439 older adults (learning sample) (63.8% female) with a mean age of 68.7 ± 7.2 years (ranging from ages 60 to 103) were included in the study. The estimated prevalence of frailty (frailty + prefrailty) among the elderly was 70.8% (95% CI: 66.3–75.1). Table 1 presents the characteristics of the participants according to the frailty diagnosis.

Table 2 presents the results for both unadjusted and age-adjusted LR univariable models and the ROC. The sample size varied for each predictor due to missing values. Those results show the ability of each anthropometric variable as predictor of prefrailty + frailty.

All anthropometric measures individually lacked adequate predictive accuracy (ROC > 0.7). Therefore, a multivariable model was adjusted. Three predictors (neck circumference, suprailiac skinfold, and abdominal skinfold) were discarded because they present P > 0.20. The three waist circumference measurements generated P < 0.20. For the final
Table 1: Sociodemographic characteristics of older adults who were assisted at primary health care centers.

| Characteristics            | Total N (%) | Frail + prefrail N = 311 (%) | Robust N = 128 (%) |
|----------------------------|-------------|-------------------------------|-------------------|
| Gender (female)            | 280 (63.8)  | 216 (69.5)                    | 64 (50.0)         |
| Age in years (mean ± SD)   | 68.7 ± 7.2  | 69.4 ± 7.7                    | 66.7 ± 5.3        |
| Age group (years)          |             |                               |                   |
| 60–64.9                   | 158 (36.0)  | 105 (33.8)                    | 53 (41.4)         |
| 65–69.9                   | 109 (24.8)  | 70 (22.5)                     | 39 (30.5)         |
| 70–74.9                   | 82 (18.7)   | 59 (19.0)                     | 23 (18.0)         |
| 75–79.9                   | 52 (11.8)   | 42 (13.5)                     | 10 (7.8)          |
| ≥80                       | 38 (8.7)    | 35 (11.2)                     | 3 (2.3)           |
| Race/ethnicity             |             |                               |                   |
| White                     | 290 (67.3)  | 191 (62.6)                    | 99 (78.6)         |
| Black                     | 76 (17.6)   | 44 (14.4)                     | 10 (7.9)          |
| “Mulatto”/brown-skinned   | 54 (12.5)   | 60 (19.7)                     | 16 (12.7)         |
| Native Indian             | 11 (2.6)    | 10 (3.3)                      | 1 (0.8)           |
| Education                 |             |                               |                   |
| Illiterate                | 72 (16.7)   | 65 (21.3)                     | 7 (5.5)           |
| Incomplete elementary     | 117 (27.1)  | 88 (28.9)                     | 29 (22.8)         |
| Complete elementary        | 180 (41.7)  | 114 (37.4)                    | 66 (52.0)         |
| Complete middle school     | 38 (8.8)    | 23 (7.5)                      | 15 (11.8)         |
| Complete high school       | 21 (4.9)    | 13 (4.3)                      | 8 (6.3)           |
| Higher education           | 4 (0.9)     | 2 (0.7)                       | 2 (1.6)           |
| Marital status            |             |                               |                   |
| Married                   | 162 (37.3)  | 107 (34.7)                    | 55 (43.7)         |
| Separated/divorced        | 71 (16.4)   | 45 (14.6)                     | 26 (20.6)         |
| Single                    | 71 (16.4)   | 52 (16.9)                     | 19 (15.1)         |
| Widowed                   | 130 (30.0)  | 104 (33.8)                    | 26 (20.6)         |
| Monthly income (MS\(^{†}\))|            |                               |                   |
| Up to 2                   | 382 (93.4)  | 273 (93.5)                    | 109 (93.2)        |
| > 2 MS to 4               | 20 (4.9)    | 15 (5.1)                      | 5 (4.3)           |
| > 4 MS to 6               | 7 (1.7)     | 4 (1.4)                       | 3 (2.6)           |

Notes: *The number of subjects with missing values was eight for race/ethnicity, seven for education, five for marital status, and 30 for monthly income. "MS: minimum salary = R$ 540 (=US$270)."
The final multivariable LR model presented an ROC of 0.71 (95% CI 0.66–0.77). In order to further explore the predictive ability of the anthropometric predictors five predictors left at the final multivariable LR model were used as the input layer of NN models that were built using an input layer, a hidden layer, and a single, continuous, output layer. We ran MLP models using three to six hidden layers. These limits were selected based on the amount of data available. Figure 1 presents the architecture of the models.

The result from the final NN (output neuron) model is a continuous value ranging from zero (robust) to one (frail) depending on the predictors values. Although those values are not estimated as probabilities they have similar interpretation as the probabilities estimated by the LR model.

As shown at the bottom of Table 3, the test sample results for SeC were slightly higher in both Se and Sp (81.7% versus 48.5%) than those obtained in the learning sample (80.1% versus 48.0%), suggesting that these values could be used for classification. The final multivariable LR model presented an ROC of 0.71 (95% CI 0.66–0.77). In order to further explore the predictive ability of the anthropometric predictors the five predictors left at the final multivariable LR model were used as the input layer of NN models that were built using an input layer, a hidden layer, and a single, continuous, output layer. We ran MLP models using three to six hidden layers. These limits were selected based on the amount of data available. Figure 1 presents the architecture of the models.
**Table 3:** Prognostic ability of anthropometric measures as predictors of prefrailty + frailty in older adults who were assisted at primary health care centers.

| Predicted probability of anthropometric measures | Total prevalence N (%) | Frailty | Yes N (%) | No N (%) | Se | Sp | PPV | NPV |
|----------------------------------------------|------------------------|---------|-----------|----------|----|----|-----|-----|
| Weight |                        |          |           |          |     |    |     |     |
| >0.6253 SeC | 335 (76.8) | 247 (80.2) | 88 (68.8) | 0.802 | 0.313 | 0.737 | 0.396 |
| >0.6777 SeC | 233 (53.6) | 183 (59.4) | 50 (39.4) | 0.594 | 0.602 | 0.785 | 0.381 |
| >0.7102 SeC | 188 (43.1) | 156 (50.6) | 32 (25.0) | 0.506 | 0.750 | 0.829 | 0.387 |
| Waist circumference at midpoint² |                        |          |           |          |     |    |     |     |
| >0.616 SeC | 303 (73.4) | 229 (80.1) | 74 (58.3) | 0.801 | 0.417 | 0.755 | 0.481 |
| >0.6508 YiC | 254 (61.5) | 197 (68.9) | 57 (44.9) | 0.689 | 0.551 | 0.775 | 0.440 |
| >0.6680 SpC | 223 (54.0) | 172 (60.1) | 51 (40.2) | 0.601 | 0.600 | 0.771 | 0.400 |
| Bicipital skinfold |                        |          |           |          |     |    |     |     |
| >0.6211 SeC | 274 (71.5) | 210 (77.5) | 64 (57.1) | 0.800 | 0.375 | 0.766 | 0.440 |
| >0.6888 SpC | 239 (55.3) | 188 (61.8) | 51 (39.8) | 0.618 | 0.602 | 0.786 | 0.399 |
| >0.7138 YiC | 199 (46.1) | 162 (53.3) | 37 (28.9) | 0.533 | 0.711 | 0.814 | 0.390 |
| Sagittal abdominal diameter at umbilical level² |                        |          |           |          |     |    |     |     |
| >0.6095 SeC | 294 (74.2) | 216 (73.5) | 78 (61.9) | 0.801 | 0.381 | 0.754 | 0.470 |
| >0.6161 YiC | 284 (71.5) | 213 (78.6) | 71 (56.3) | 0.786 | 0.437 | 0.750 | 0.486 |
| >0.6637 SpC | 207 (52.1) | 157 (57.9) | 50 (39.7) | 0.579 | 0.603 | 0.758 | 0.400 |
| Logistic regression model (learning sample) |                        |          |           |          |     |    |     |     |
| >0.6158 SeC | 282 (71.2) | 217 (80.1) | 65 (52.0) | 0.801 | 0.480 | 0.769 | 0.526 |
| >0.6486 SpC | 245 (61.9) | 195 (72.0) | 50 (40.0) | 0.720 | 0.600 | 0.795 | 0.496 |
| >0.7137 YiC | 182 (46.0) | 156 (57.6) | 26 (20.8) | 0.576 | 0.792 | 0.857 | 0.462 |
| Logistic regression model (testing sample) |                        |          |           |          |     |    |     |     |
| >0.6158 SeC | 75 (72.1) | 58 (81.7) | 17 (51.5) | 0.817 | 0.485 | 0.773 | 0.551 |
| >0.6486 SpC | 66 (63.5) | 51 (71.8) | 15 (45.5) | 0.718 | 0.546 | 0.772 | 0.473 |
| >0.717 YiC | 49 (47.1) | 38 (53.5) | 11 (33.3) | 0.535 | 0.667 | 0.775 | 0.400 |

Notes: Se: sensitivity; Sp: specificity; PPV: positive predictive value; NPV: negative predictive value; SeC: sensitivity ≈ 80%; SpC: specificity ≈ 60%; YiC: Youden Index. Exponent 2 means that the quadratic term of the predictor was included in the model, along with the linear term.

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**Table 4:** Prognostic ability of anthropometric measures as predictors of frailty in older adults who were assisted at primary health care centers via artificial neural models.

| Predicted probability of anthropometric measures | Total prevalence N (%) | Frailty | Yes N (%) | No N (%) | Se | Sp | PPV | NPV |
|----------------------------------------------|------------------------|---------|-----------|----------|----|----|-----|-----|
| Neural network (learning sample) |                        |          |           |          |     |    |     |     |
| >0.5648 SpC | 268 (67.2) | 220 (79.7) | 48 (17.9) | 0.797 | 0.610 | 0.820 | 0.572 |
| >0.7176 YiC | 217 (54.4) | 188 (68.1) | 29 (23.6) | 0.681 | 0.764 | 0.866 | 0.516 |
| >0.5621 SeC | 271 (68.3) | 221 (80.1) | 50 (41.3) | 0.801 | 0.587 | 0.815 | 0.563 |
| Neural network (testing sample) |                        |          |           |          |     |    |     |     |
| >0.5648 SpC | 69 (68.3) | 54 (81.8) | 15 (42.9) | 0.818 | 0.571 | 0.782 | 0.625 |
| >0.7176 YiC | 53 (53.0) | 46 (69.7) | 7 (20.6) | 0.697 | 0.794 | 0.867 | 0.574 |
| >0.5621 SeC | 72 (71.3) | 54 (81.8) | 18 (51.4) | 0.818 | 0.486 | 0.750 | 0.586 |

Notes: Se: sensitivity; Sp: specificity; PPV: positive predictive value; NPV: negative predictive value; SeC: sensitivity ≈ 80%; SpC: specificity ≈ 60%. YiC: Youden Index.
used, the Se is higher for the NN model (Se = 0.797) when compared to the LR model (Se = 0.720). Also, for the SeC cut-off the NN model has Sp = 0.486 while the LR model has Sp = 0.480. In the sample test, all cut-off points resulted in better Se and Sp. The NN final model presented an ROC of 0.78 (95% CI 0.74–0.82), indicating greater predictive accuracy ($P < 0.001$) than the LR model.

4. Discussion

The purpose of this study was to investigate the ability of anthropometric measures to predict prefrailty/frailty in older adults assisted at PHC centers. The main finding was that, among the 26 anthropometric measures analyzed, those that together predicted frailty were WE, WC, BS, and SAD for both the LR and the NN model. With regard to the method used to determine the predictive value of frailty, the NN proved to be more efficient than the LR model in predicting frailty in the older adults assessed.

To the best of our knowledge, this is the first investigation carried out in a sample of older adults who were assisted at PHC centers to verify the performance of several anthropometric measures in identifying frailty from the NN model. In addition, the resulting algorithm can be applied in the evaluation of older adults from the Family Health Strategy with satisfactory results.

Surveys often investigate the relationship of frailty with measures commonly used to evaluate nutritional status, such as the body mass index or body composition [20–22]. In our study, we used pure and simple measures, not combined into equations, with the objective of obtaining an algorithm that was as simple as possible, using easily obtained measurements in the PHC. The importance of identifying prefrailty or frailty in older adults is associated with the fact that interventions can help to prevent, delay, reverse, or reduce the severity of frailty as well as preventing or reducing adverse health outcomes in those whose frailty is not reversible. Effective interventions can promote benefits for elderly individuals, their families, and the whole society [23–25].

Anthropometric measures have been studied due to their ability to identify certain health parameters, such as nutritional status, due to their relationship with diseases and with physical function status [5, 26] and all conditions involved in the course of frailty [1]. However, the molecular, physiological, and clinical course of frailty, in turn, presents nonuniform features of pathways for an individual to become frail: people with the same level of frailty may have reached this stage in a variety of ways and present decline in their physiological reserve, whether in the neuromuscular, metabolic, or immune system [1]. Concerning the relationship between frailty and anthropometric measures, we observed that frailty stems from a set of observations and that the relationship between one such observation with certain anthropometric parameters is sometimes already known, while for others it is completely unknown [1]. This set of predictors that are connected to various shapes and weights motivated the use of NN, a model capable of handling nonlinear relationships [27].

Even considering that the strength or the predictive value is established by the set of predictors, it may be interesting to analyze the individual characteristics of the measures included in the final model (WE, WC, BS, and SAD).

It is known that WE on average tends to decrease after the age of 60 years, and the contribution of fat mass to this weight loss is relatively small, but the fat tends to be redistributed toward the abdomen, that is, increasing visceral fat deposits [5]. SAD and WC are measures that are positively related to abdominal adiposity [28], and some evidence has pointed to a possible connection between abdominal adiposity, cardiovascular diseases, and frailty in older people [22, 29, 30]. However, in aging, lean body mass is lost, while fat mass may be preserved or even increased. This state is named sarcopenic obesity [31] and it is known that sarcopenia is involved in the pathogenesis of frailty [1]. Previous study, evaluating the association of anthropometric measurements with frailty, in the same population, demonstrated that frailty was associated with muscle mass loss. The frail elderly had lower measures of size and complexion [32]. This pattern of increase of intramuscular and visceral fat with aging is accompanied by changes in subcutaneous fat, which can be evaluated through the measurement of BS [33]. Many frail elderly are thin, weak, and undernourished; however, there is also strong evidence that excessive adiposity contributes to frailty [21].

When grouped, it was possible to observe that the prognostic predictive ability of the predictors achieved better performance. The result of the LR was translated into improved predictive capability for prefrailty/frailty, with similar Se but higher Sp. However, it was noted that in relation to the LR model NN showed an improved predictive capability for prefrailty/frailty. For the three cut-off points assessed, both Se and Sp were considered superior and satisfactory to avoid false negative and positive screenings [17]. The accuracy of the final NN models, as assessed by ROC, was higher than that of the LR model, overcoming the value considered to have sufficient predictive accuracy [16].
The main results of this paper were the demonstration of the following: (a) the performance capabilities of anthropometric measures to identify prefrailty/frailty; (b) the superior performance of the NN for prefrailty/frailty prediction with heterogeneous data—NN explores other relationships that can generate models with better results [15]; (c) early stages of frailty that are more commonly seen in the older adults of the community—therefore, identification and screening tools must be applied in this population [23].

This study has some positive aspects that must be noted. First, it includes the evaluation of a large set of anthropometric measurements. Second, the method used to investigate the ability of various anthropometric measures to identify prefrailty/frailty in the older adults is particularly innovative, specifically, the analytical methodology chosen to investigate this ability. Third, the model is capable of providing a noninvasive tool for the large-scale screening of prefrailty/frailty.

The limitations of the study include the following: (a) the cross-sectional design prevented the establishment of a cause-and-effect relationship; (b) the available data (small sample) restricted the configurations of the NN models. To overcome the second issue, we used the same variables of the LR model in the input layer and the backpropagation learning algorithm, method recommended for those cases [15]; however, further research should be carried out to resolve these limitations.

In conclusion, our data suggest that grouped anthropometric measures can be recommended as good predictors of prefrailty/frailty in older adults who were assisted at PHC centers. The possibility of having a simple tool in PHC to identify prefrailty/frailty allows for the implementation of an individual treatment plan that can prevent, reverse, or treat the complications that can lead to frailty while preserving the autonomy of the elderly.

In addition to promoting health benefits and quality of life in the elderly through the identification, prevention, and treatment of frailty, these measures can reduce health care costs. Further research is needed to establish the clinical utility of the instrument developed.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this article.

Authors’ Contributions

Closs, Gomes, and Schwanke were responsible for study concept and design. Closs and Gomes were responsible for data collection. Closs, Ziegelmann, and Flores were responsible for analysis and interpretation of data. Closs was responsible for initial draft of manuscript. Closs, Ziegelmann, and Schwanke were responsible for critical revision of manuscript.

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