Acquisition of cancer stem cell-like properties in non-small cell lung cancer with acquired resistance to afatinib

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Afatinib is an irreversible epidermal growth factor receptor (EGFR)-tyrosine kinase inhibitor (TKI) that is known to be effective against the EGFR T790M variant, which accounts for half of the mechanisms of acquired resistance to reversible EGFR-TKIs. However, acquired resistance to afatinib was also observed in clinical use. Thus, elucidating and overcoming the mechanisms of resistance are important issues in the treatment of non-small cell lung cancer. In this study, we established various afatinib-resistant cell lines and investigated the resistance mechanisms. EGFR T790M mutations were not detected using direct sequencing in established resistant cells. Several afatinib-resistant cell lines displayed MET amplification, and these cells were sensitive to the combination of afatinib plus crizotinib. As a further investigation, a cell line that acquired resistance to afatinib plus crizotinib, HCC827-ACR, was established from one of the MET amplified-cell lines. Several afatinib-resistant cell lines including HCC827-ACR displayed epithelial-to-mesenchymal transition (EMT) features and epigenetic silencing of miR-200c, which is a suppressor of EMT. In addition, these cell lines also exhibited overexpression of ALDH1A1 and ABCB1, which are putative stem cell markers, and resistance to docetaxel. In conclusion, we established afatinib-resistant cells and found that MET amplification, EMT, and stem cell-like features are observed in cells with acquired resistance to EGFR-TKIs. This finding may provide clues to overcoming resistance to EGFR-TKIs.

Lung cancer is the leading cause of cancer-related death worldwide. (1) To improve the clinical outcomes of lung cancer, new therapeutic agents have been developed including epidermal growth factor receptor (EGFR)-tyrosine kinase inhibitors (TKIs). EGFR-TKIs display significant efficacy against EGFR-mutated non-small cell lung cancers (NSCLCs) by inhibiting EGFR-AKT signaling. (2–4) However, most of these malignancies eventually acquire resistance to EGFR-TKIs. (5,6) Several mechanisms of acquired resistance to EGFR-TKIs have been elucidated, such as secondary EGFR T790M (5) and minor mutations, (6) MET amplification, (7) activation of the MET/hepatocyte growth factor axis, (8) AXL upregulation, (9) and the acquisition of epithelial-to-mesenchymal transition (EMT) features. (10,11) In addition, our group previously reported that stem cell-like properties were present in EGFR-TKI-resistant cells. (12)

Afatatinib is an irreversible TKI for EGFR and HER2 that was approved by the United States Food and Drug Administration in 2013 (13); it exhibited in vitro and in vivo activity against the T790M variant (14) and suppressed the progression of NSCLC harboring the T790M mutation in clinical use. (15) In a randomized phase III trial, afatinib improved PFS compared with placebo in patients with NSCLC who experienced disease progression after reversible EGFR-TKI treatment. (16) However, acquired resistance to afatinib was also observed in most of the patients. (13,16) Therefore, it remains a critical issue to elucidate and overcome the mechanisms of acquired resistance to irreversible EGFR-TKIs.

In this study, we established various NSCLC cell lines with acquired resistance to afatinib and investigated the molecular profile of resistant cells to uncover the mechanisms of resistance to irreversible EGFR-TKIs.

Materials and Methods

Cell lines and reagents. EGFR-mutant HCC827 (exon 19 del. E746-A750), PC-9 (exon 19 del. E746-A750), HCC4006 (exon 19 del. L747-A750, P ins), and HCC4011 (L858R) cells were used in this study. These cell lines excluding PC-9 were kindly provided by Dr Adi F. Gazdar (The University of Texas Southwestern Medical Center at Dallas, Dallas, TX, USA), who established these lines with Dr John D. Minna. (17,18) PC-9 cells were obtained from Immuno-Biological Laboratories (Takasaki, Gunma, Japan). All cell lines were cultured in RPMI 1640 medium supplemented with 10% fetal bovine serum and grown in a humidified incubator with 5% CO2 at 37°C. Acquired afatinib-resistant sublines were established using the following
procedures: (i) cells were exposed to afatinib with stepwise escalation from 10 nM to 2 μM over 6 months; (ii) cells were intermittently and briefly exposed to the drug at 2 μM for 6 months, (iii) cells were subjected to the drug with stepwise escalation from 1 nM to 2 μM for 3 months; and (iv) cells were continuously exposed to the drug at 2 μM for up to 3 months. The afatinib-resistant sublines established using method #1 were designated as the name of the parental cell lines followed by “-AR1,” e.g., HCC827-AR1. Similarly, the sublines established using methods #2, #3, and #4 were designated as the name of parental cell lines followed by “-AR2,” “-AR3,” and “-AR4,” respectively (Table 1). Of note, acquired resistance to drugs was defined as exhibiting a higher IC₅₀ value than the parental cell line.

Afatinib and crizotinib were purchased from Synkinase Pty Ltd. (San Diego, CA, USA) and Sigma-Aldrich (St. Louis, MO, USA), respectively. Docetaxel was purchased from Wako Pure Chemical Industries, Ltd. (Osaka, Japan). Bortezomib was purchased from LC Laboratories (Woburn, MA, USA).

The primary antibodies used for western blot analysis were as follows: anti-EGFR, phospho-EGFR (Tyr1068), HER-2, phospho-HER2 (Tyr877), Akt, phospho-Akt (Ser473), p44/42 mitogen-activated protein kinase (MAPK), phospho-p44/p42 MAPK, Met, and phospho-Met (Tyr1234/1235), TCF8/ZEB1, E-cadherin, vimentin (Cell Signaling Technology, Danvers, MA, USA), and β-actin (Merck Millipore, Billerica, MA, USA). The following secondary antibodies were used: goat anti-rabbit or anti-mouse immunoglobulin G-conjugated horseradish peroxidase (Santa Cruz Biotechnology, Dallas, TX, USA).

### Western blot analysis

Cells were harvested at 80–90% confluence, and cellular proteins were extracted with lysis buffer [RIPA buffer, phosphatase inhibitor cocktail 2 and 3 (Sigma-Aldrich), and Complete Mini (Roche, Basel, Switzerland)]. Total proteins were separated with electrophoresis and electrophoblated using the Trans-Blot Turbo Transfer system (Mini-PROTEAN TGX Precast Gel and Trans-Blot Turbo Mini PVDF Transfer Pack) (Bio-Rad, Hercules, CA, USA). After blocking with 5% non-fat dry milk and 0.1% Tween 20 in Tris-buffered saline, the membranes were incubated at 4°C overnight with primary antibodies. The membranes then were developed with secondary antibodies. Monoclonal anti-actin antibody was used as an equal loading control. To detect specific signals, the membranes were examined using ECL Prime Western Blotting Detection System (GE Healthcare, Amersham, UK) and LAS-3000 (Fujifilm, Tokyo, Japan).

### DNA and RNA extraction

Genomic DNAs were extracted from cell lines using a DNeasy Blood and Tissue Kit (Qiagen, Venlo, the Netherlands). Total RNAs were extracted from cell lines using an RNasy Mini Kit (Qiagen). The complementary DNA (cDNA) was synthesized from total RNA using High-Capacity cDNA Reverse Transcription Kits (Thermo Fisher Scientific), according to the manufacturer’s instructions.

### DNA analyses

EGFR exon 20 mutation was examined using direct sequencing as previously reported. The primer sequences are shown in Supplementary Table S1A. Copy number gains (CNGs) of EGFR and MET were determined by a quantitative real-time PCR assay using Power SYBR Green PCR Master Mix (Thermo Fisher Scientific) as previously reported.

### mRNA and microRNA expression analysis by quantitative reverse transcription-PCR

The gene expression of the putative stem cell markers ALDH1A1 and ABCG1 were analyzed by quantitative reverse transcription-PCR using cDNAs, TaqMan Gene Expression Assays, and the ABI StepOnePlus Real-Time PCR Instrument (Thermo Fisher Scientific). mRNA and microRNA (miR) expression was calculated using delta-delta-CT method. The glyceraldehyde-3-phosphate dehydrogenase (GAPDH) gene and miR-374 were used as the endogenous control for mRNA and miR expression analyses, respectively. The catalog numbers of TaqMan assays are shown in Supplementary Tables S1C,D.

### Cell proliferation assay

Cell proliferative ability was determined by a modified MTS assay using CellTiter 96 AQueous

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**Table 1. Afatinib-resistant cell lines and resistant mechanisms**

| Cell lines | Method of drug exposure | EGFR T790M mutation | MET amplification | EMT | Stem cell markers |
|------------|-------------------------|---------------------|------------------|-----|------------------|
| HCC827     | N/A                     | –                   | –                | –   | –                |
| HCC827-AR1 | Stepwise               | –                   | –                | –   | +                |
| HCC827-AR2 | Intermittent (briefly) | –                   | –                | –   | +                |
| HCC827-ACR | Crizotinib              | –                   | –                | –   | –                |
| HCC827-AR3 | Stepwise               | –                   | –                | –   | +                |
| HCC827-AR4 | (as long as possible)  | –                   | –                | –   | +                |
| PC-9       | N/A                     | –                   | –                | –   | –                |
| PC-9-AR1   | Stepwise               | –                   | –                | –   | –                |
| PC-9-AR2   | Intermittent (briefly) | –                   | –                | –   | –                |
| HCC4006    | N/A                     | –                   | –                | –   | –                |
| HCC4006-AR1| Stepwise               | –                   | –                | –   | +                |
| HCC4006-AR2| Intermittent (briefly) | –                   | –                | –   | +                |
| HCC4011    | N/A                     | –                   | –                | –   | –                |
| HCC4011-AR1| Stepwise               | –                   | –                | –   | +                |
| HCC4011-AR2| (briefly)              | –                   | –                | –   | –                |

EGFR, epidermal growth factor receptor; EMT, epithelial to mesenchymal transition; N/A, not applicable.
One Solution Reagent (Promega, Fitchburg, WI, USA) as previously reported. The antiproliferative effects are shown as the 50% inhibitory concentration (IC50).

DNA methylation analysis. The DNAs were subjected to bisulfite treatment using an Epitect Bisulfite Kit (Qiagen). The DNA methylation statuses were analyzed using these bisulfite DNAs and methylation-specific PCR as previously reported. The primer sequences are shown in Supplementary Table S1E.

Cell growth assay. Cells were plated in 96-well plate at a density of $2.0 \times 10^3$ cells per well and cultured for 120 h at drug-free condition. Four images per well were taken every 6 h using IncuCyte ZOOM. (Essen BioScience, Ann Arbor, MI, USA), and cell confluence was evaluated using IncuCyte ZOOM 2015A software (Essen BioScience) as previously reported.

Soft agar colony formation assay. Cells were plated in 24-well plate with Ultra-Low Attachment surface (Corning Inc., Corning, NY, USA) at a density of $2.5 \times 10^3$ cells per well and cultured for 21 days in RPMI1640 with 10% FBS and 0.3% agarose. RPMI1640 with 10% FBS were added to the top of agar layer and exchanged every 3 days. Nine images per well were taken every day using IncuCyte ZOOM. The colony formation was defined as the cell aggregates occupying an area at least $8000 \mu^2$ (about $100 \mu$ diameter) and the occupied area were measured using IncuCyte ZOOM 2015A software.

Antibody array. Cells were cultured with or without 2 mM afatinib for 6 h. After that, they were harvested at 80–90%

![Fig. 1. Copy number gains of MET and EGFR in EGFR-mutant lung cancer cell lines and their afatinib-resistant sublines. (a) The copy numbers of MET examined by real-time PCR were significantly amplified in HCC827-AR2, -AR3 and -ACR cells and slightly amplified in HCC4011-AR1 cells. (b) The copy number of EGFR in HCC827-ACR cells was approximately half of that in the parental cell line. All experiments were performed at least three times, and error bars indicate standard deviations.](image)

Table 2. IC50 values (µM) against various agents in EGFR-mutant NSCLC cell lines

| Cell Lines   | EGFR-TKI | Chemotherapeutic agent | MET inhibitor | Protease inhibitor |
|--------------|----------|------------------------|---------------|-------------------|
|              | Afatinib | DOC                    | Crizotinib    | Bortezomib        |
| HCC827       | 0.0019   | 0.0031                 | 6.9           | 0.0018            |
| HCC827-AR1   | >10†     | >†                     | 7.9           | 4.8†              |
| HCC827-AR2   | 4.1†     | 0.0042                 | 4.6           | 0.00019           |
| HCC827-AR4   | >10†     | >†                     | N/A           | 6.3†              |
| HCC827-AR3   | 4.3†     | 0.0022                 | N/A           | N/A               |
| HCC827-AR4   | 4.8†     | 0.003                   | N/A           | N/A               |
| PC-9         | 0.0023   | 0.0022                 | N/A           | N/A               |
| PC-9-AR1     | 2†       | 0.0023                 | N/A           | N/A               |
| PC-9-AR2     | 2.2†     | 0.0056                 | N/A           | N/A               |
| HCC4006      | 0.0031   | 0.0062                 | N/A           | N/A               |
| HCC4006-AR1  | 3.7†     | 0.062†                 | N/A           | N/A               |
| HCC4006-AR2  | 5.4†     | 0.0011                 | N/A           | N/A               |
| HCC4011      | 0.0052   | 0.0012                 | 1.4           | 0.0041            |
| HCC4011-AR1  | 3.3†     | 0.0018                 | 1.5           | 7.1†              |
| HCC4011-AR2  | 4.1†     | 0.0019                 | 1.8           | 4.4†              |

DOC, docetaxel; EGFR-TKI, epidermal growth factor receptor-tyrosine kinase inhibitor; N/A, not applicable. †The ratio of the IC50 value in each resistant line to that in the parental line is higher than five-fold.
confluence and cellular proteins were extracted. Antibody array for these proteins were performed using PathScan RTK Signaling Antibody Array Kit (Cell Signaling Technology) as manufacturer’s recommendation.

**Next generation sequencing.** The targeted mRNAseq for 100 stem cell-related markers was performed. The libraries were prepared using TruSeq Targeted RNA Expression Kit (Illumina, San Diego, CA, USA) and sequencing were performed using MiSeq Reagent Kit v2 (Illumina) and MiSeq Desktop Sequencer (Illumina) as manufacturer’s recommendation. Data analysis was performed using Illumina MiSeq Reporter version 2.5.1 (Illumina).

**Xenograft model and immunohistochemical staining.** All experimental animals were housed under specific pathogen-free conditions and handled in accordance with the Policy on the Care and Use of Laboratory Animals, Okayama University. HCC827 and HCC827-AR1 cells were inoculated into 5–8-week-old female non-obese diabetic/severe combined immunodeficient (NOD/SCID) mice that were purchased from Charles River Laboratories Japan (Yokohama, Japan). Each suspension of cell lines was subcutaneously injected at 1 × 10^6 cells in 300 µL of serum-free RPMI 1640 medium with Matrigel Basement Membrane Matrix (Corning). After tumor formation, mice were sacrificed, and tumors were harvested. The formalin-fixed and paraffin-embedded tissues of these tumors were stained immunohistochemically using anti-ALDH1 antibody (Becton Dickinson, Franklin Lakes, NJ, USA) as previously reported.(25)

**Statistical analyses.** Statistical analysis was performed using GraphPad Prism, version 6.0.3, J (GraphPad Software, San Diego, CA, USA) and R statistical software version 3.2.0(26) with Bioconductor packages ‘Heatplus’. Values of P < 0.05 were considered as statistically significant.

**Results**

**EGFR-mutated cell lines that acquired resistance to afatinib.** We established 10 afatinib-resistant cell lines from four parental NSCLC cell lines harboring activating EGFR mutations. Initially, we tried to establish afatinib-resistant cell lines via continuous exposure to a high concentration of afatinib (2 µM), but this was unsuccessful. Therefore, we used two exposing procedures, namely intermittent high-dose exposure and stepwise dose escalation from 10 nM, which is higher than the IC50 of the parental cell lines and similar to the in vitro IC50 for EGFR with L858R/T790M mutations.(14) The characteristics of these cell lines including the IC50 values of afatinib are shown in Table 1. The representative examples of the expression of EGFR, HER2, and their downstream targets are shown in Supplementary Figure S1.

**EGFR T790M mutation and MET amplification in afatinib-resistant cell lines.** The T790M mutation was not detected in all 10 afatinib-resistant sublines by direct sequencing. The CNGs of MET were detected in HCC827-AR2 and HCC827-AR3 significantly and in HCC4011-AR1 slightly (Fig. 1).

**Acquired resistance to afatinib plus crizotinib in MET-amplified cell line.** HCC827-AR2 with MET amplification was sensitive to combination treatment with afatinib and crizotinib, which is a MET inhibitor (Table 2). We had an interest in whether this combined treatment completely overcomes resistance to afatinib in HCC827-AR2 cells. Therefore, HCC827-AR2 cells were treated with 2 µM afatinib and 0.2 µM crizotinib via continuous exposure. Finally, a subline resistant to afatinib plus crizotinib treatment was established from HCC827-AR2 cells within 2 months of drug exposure and was named HCC827-ACR. The CNG of MET was retained in HCC827-ACR cells, although copy number of EGRF was reduced to approximately half of that in the parental cell line (Fig. 1).

**Acquisition of EMT or other features in afatinib-resistant cell lines.** HCC827-AR1, HCC827-ACR, HCC827-AR4, and all HCC4006 sublines exhibited downregulation of E-cadherin, which is the epithelial marker, and upregulation of vimentin, which is the mesenchymal marker (Fig. 2a,b), ZEB-1, one of the regulators of EMT and a target of the miR-200c, was also expressed in cells with acquired resistance and EMT features. In fact, the expression of miR-200c was downregulated, and
the methylation of the promoter regions in miR-200c was observed in these cell lines (Suppl. Fig. S2). Of note, although HCC827-AR2 that was a MET-amplified cell line did not display EMT features, HCC827-ACR, which was derived from HCC827-AR2 by afatinib plus crizotinib exposure, acquired EMT features. For PC-9 and it resistant sublines, antibody array was performed because the mechanisms of resistance to afatinib in PC-9 sublines were not identified with other assays mentioned above. In the result, phospho-stat1 upregulation by afatinib exposure was shown in PC-9 sublines although not shown in parental cell line.

**Acquisition of stem cell-like properties in afatinib-resistant cell lines.** ALDH1A1 and ABCB1, which are putative stem cell markers, were overexpressed in HCC827-AR1, HCC827-ACR, and HCC4006-AR1 cells (Fig. 3a). These cell lines also exhibited EMT features. HCC827-AR1 and HCC827-ACR were displayed higher colony formation ability than HCC827 in soft agar assay (Fig. 3b). The mouse xenograft model of HCC827-AR1 cells displayed significant ALDH1 expression, although the parental HCC827 cell line did not exhibit ALDH1 expression by immunohistochemical staining (Fig. 3c). The fold changes of expressions of stem cell-related markers in HCC827 sublines from its parental cell line, which were explored using next generation sequencing, were shown in Figure 4. Among the 100 stem cell-related markers examined, the expressions of fibroblast growth factor receptor 1 (FGFR1), membrane metallo-endopeptidase (MME), Notch1 and CD44 in HCC827-AR1, HCC827-ACR, and HCC827-AR4 were significantly increased from parental cell lines ($P < 0.05$), but not increased in MET-amplified sublines. Additionally, HCC827-AR1 and -ACR displayed higher cell growth ability than their respective parental cell lines (Suppl. Fig. S3). These findings above supported that the established cell lines had stem cell-like properties.

**Afatinib-resistant cell lines with stem cell-like properties displayed the resistance to chemotherapeutic agents.** HCC827-AR1, HCC827-ACR, and HCC4006-AR1 cells harboring EMT feature and expressing stem cell markers acquired resistance to both afatinib and docetaxel. Additionally, all cell lines were sensitive to bortezomib, which is a proteasome inhibitor. The IC$_{50}$ values of these drugs in all cell lines are shown in Table 2.

**Discussion**

In this study, we established nine cell lines that acquired resistance to afatinib and one cell line that acquired resistance to afatinib plus crizotinib, and examined these resistant mechanisms. In the result, T790M mutation was not detected in all 10 cell lines, although acquisition of MET amplification, EMT,
and stem cell-like properties were observed. The mechanisms of resistance to afatinib in PC-9 sublines were still unclear, although upregulation of phospho-stat1 by afatinib exposure were shown in PC-9 sublines. Further study is necessary.

Afatinib was effective against the NSCLC cell line harboring EGFR L858R/T790M mutations, and a transgenic mouse model of de novo EGFR L858R/T790M-driven lung cancer. In the clinical environment, there are some patients with NSCLC harboring EGFR exon 19 deletion and T790M mutation, who were sensitive to afatinib. However, Kim et al. reported acquired resistance to afatinib associated with T790M mutation. Although the reason for this discrepancy is uncertain, it may be caused by the initial concentration of afatinib, as our results along with previous reports suggest that the drug concentration affects the establishment of the mechanism of drug resistance. We previously reported that gefitinib-resistant cell lines established via step-wise exposure to the drug acquired EGFR T790M mutation or MET amplification, although those established via high-dose exposure to the drug did not acquire the T790M mutation. In this study, T790M mutation was not detected in our resistant sublines, and the initial concentrations of afatinib were higher than the IC50 for cells featuring EGFR L858R/T790M mutation in nine of the 10 cell lines. On the contrary, Kim et al. (30) started afatinib exposure using a dose of < 10 nM. These facts suggest that the first-line use of afatinib with sufficient dosage may tend to avoid acquired resistance associated with T790M mutation. Regarding the MET amplification observed in the sublines derived from HCC827 and HCC4011 in the current study, it had also been identified in the resistant sublines from same parental cell lines established with gefitinib exposure in the previous reports. (7,21)

This fact suggests that some cell lines may have preferred resistant mechanism to EGFR-TKIs.

It is uncertain whether preventing T790M mutation contributes to prolonging the overall survival of patients. NSCLC harboring activating EGFR mutation and T790M mutation exhibit indolent progression compared with NSCLC harboring only activating EGFR mutations, and the prognosis of patients with T790M after EGFR-TKI failure was better than that of patients lacking this mutation. In addition, T790M-mutant EGFR-selective EGFR-TKIs were recently developed. In the current and our previous studies, the strict selection of cancer cells with a sufficient concentration of EGFR-TKIs may prevent the acquisition of conventional resistance mechanisms, such as T790M or MET amplification, and may cause cancer cells to acquire stem cell-like properties. As these cell lines displayed higher growth rate than their respective parental cell lines (Suppl. Fig. S3) and resistance to both EGFR-TKIs and chemotherapeutic agents, the acquisition of stem cell-like properties may have an unfavorable influence on overall survival.

The HCC827-ACR cell line, the afatinib and crizotinib-resistant cell line, acquired resistance associated with stem cell-like properties, although HCC827-AR2 cells, the parental cell line of HCC827-ACR cells, harbored MET amplification but did not display EMT or stem cell-like properties. Suda et al. (35) also observed the acquisition of EMT features beyond T790M mutation and MET amplification. Previous studies attempted to overcome the conventional mechanisms of resistance to EGFR-TKIs, such as a change of conformation of EGFR (5,6) or the dependence on another receptor tyrosine kinase (RTK) (7,8,36) by targeting RTKs. (33,34,37,38) However, our findings suggest that this approach may result in resistance associated with stem cell-like properties. Therefore, strategies targeting other hallmarks of cancer (39) may be required to overcome these mechanisms of resistance. In the current study along with our previous study, (12) a proteasome inhibitor, bortezomib displayed antitumor effect in EGFR-TKI-resistant cell lines with stem cell-like properties in our study.

In conclusion, we established cell lines with acquired resistance to afatinib and one cell line that acquired resistance to the combination of afatinib plus crizotinib. As several cell lines displayed stem cell-like properties, overcoming resistance to EGFR-TKIs associated with stem cell-like properties may be a critical component of the treatment of NSCLCs.

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Disclosure Statement

None of the authors have any potential conflicts of interest to disclose.

Abbreviations

CNG - Copy number gain
Supporting Information

Additional supporting information may be found in the online version of this article:

Fig. S1. Receptor tyrosine kinases and these downstream signaling in HCC827 and its afatinib-resistant sublines (685 KB)
Fig. S2. The expressions and epigenetic silencing of miR-200c in EGFR-mutant lung cancer cell lines and their afatinib-resistant sublines (146 KB)

Fig. S3. Cell growth assay for HCC827 and its afatinib-resistant sublines (148 KB)

Table S1. Detailed information of primers and TaqMan® assays (11 KB)