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Did the Tokyo Olympic Games enhance the transmission of COVID-19? An interpretation with machine learning

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\textbf{ABSTRACT}

\textbf{Background:} In the summer of 2021, the Olympic Games were held in Tokyo during the state of emergency due to the spread of COVID-19 pandemic. New daily positive cases (DPC) increased before the Olympic Games, and then decreased a few weeks after the Games. However, several cofactors influencing DPC exist; consequently, careful consideration is needed for future international events during an epidemic.

\textbf{Methods:} The impact of the Olympic Games on new DPC were evaluated in the Tokyo, Osaka, and Aichi Prefectures using a well-trained and -evaluated long short-term memory (LSTM) network. In addition, we proposed a compensation method based on effective reproduction number (ERN) to assess the effect of the national holidays on the DPC.

\textbf{Results:} During the spread phase, the estimated DPC with LSTM was 30\%–60\% lower than that of the observed value, but was consistent with the compensated value of the ERN for the three prefectures. During the decay phase, the estimated DPC was consistent with the observed values. The timing of the decay coincided with achievement of a fully-vaccinated rate of 10\%–15\% of people aged <65 years.

\textbf{Conclusions:} The up-and downsurge of the pandemic wave observed in July and September are likely attributable to high ERN during national holiday periods and to the vaccination effect, especially for people aged <65 years. The effect of national holidays in Tokyo was rather notable in Aichi and Osaka, which are distant from Tokyo. The effect of the Olympic Games on the spread and decay of the pandemic wave is neither dominant nor negligible due to the shifting of the national holiday dates to coincide with the Olympic Games.

1. Introduction

The Tokyo Olympic Games were held in Tokyo, Japan from July 23 to August 8, 2021, one year behind schedule (originally 2020) due to the COVID-19 pandemic caused by SARS-CoV-2. Just before the Games, the fifth wave of COVID-19, attributable to the new Delta viral variant (B.1.617.2), started to spread. Some dispute as to the possibly-significant contribution of the Olympic Games to the epidemic spread was noted before and during the event and the editorials was published on the safety issue of the Games [1]. Some newspapers reported “Tokyo fears that Games spread COVID-19” (August 8, 2021, The Japan Times). Similar articles have appeared worldwide and the topic has been debated scientifically [2–4]. The Games were held with limited physical public access and without spectators for the safety of participants and residents.

Although more than 320 cases have been linked to the Olympic Games [5], the event’s impact on the local community is unclear. In addition, new daily positive cases (DPC) in Tokyo have decreased significantly since the end of August 2021. After the peak DPC of 5773 cases was reached on August 13, 2021, new DPCs rapidly decreased to 219 at the end of September. The Ministry of Health Labor and Welfare of Japan mentioned in its national advisory board meeting (September 12, 2021) that “the reason for the abrupt decrease in DPC is unclear.” It is not straightforward to resolve the open question of whether the DPC increase is attributable to the Olympic Games is complicated by several cofactors. It is crucial to better understand the effects of these pandemic cofactors on future international events, as well as their economic impacts [6], including medical resource allocation [7–9]. We have identified several parameters influencing new DPCs in our previous studies based on machine learning prediction (nonlinear regression)
In our previous study [11], the proposed framework provided more accurate and consistent estimations than those provided by Google Cloud for four-week estimation. The two-week projected DPC can be compared with other related methods can be found in Refs. [11,26]. The model’s major principle is that current measurements with a short time period (two weeks) can be efficiently used to predict a future short time period (two weeks) using a set of LSTMs and a fully-connected layer. The design of the LSTM layer efficiently recognizes the time series data and the contribution of different inputs to the target output (the two-week predicted DPC). Different data paths with different sequence directions improve the prediction accuracy. Using a long training dataset period, spread- and decay-pandemic phases are efficiently recognized by the network. The fully-connected layer includes additional training parameters useful in handling training bias and data noise. An open-source software is available at https://github.com/erashed/CovidNet.

3.2. Vaccination rate and effectiveness at the population level

Vaccination effectiveness at the population level in each prefecture was used to approximate herd immunity, which is assumed as follows:

\[
E(d) = \frac{\sum_{t=1}^{T} \sum_{i=0}^{d} (N_i(d-i) \cdot e_i(i))}{P},
\]

where \(d\) is day and \(N_i\) denotes the number of people who take newly-administered vaccination shot dose \(t\). The variable \(e_i\) denotes the vaccination effect \(i\) days after inoculation; parameters \(a, b,\) and \(s\) are adjusted to reach a peak 14 days after inoculation, subsequently decreasing linearly. The variable \(P\) denotes the deemed population, expressed as the summation of the population of the entire prefecture and cumulative number of the second and third doses. The values of the parameters \(a_1\) and \(a_2\) for the Delta variant were defined as 0.605 and 0.756, respectively, based on a meta-analysis of systematic review (11 study groups) [27]. The parameter \(a_3\) was defined as 0.956 based on information provided in the press release by Pfizer and BioNTech [28]. Note that the vaccination rate of BNT162b2 in Japan is approximately 90%. Furthermore, based on the waning immunity for infection protection, \(s\) was defined as 0.24 to match the model [29,30].

3.3. Estimation of compensated ERN with regression line

To validate the main factor triggering the increase in DPC during the
Olympic Games, we first studied the relationship between public mobility change and the effective reproduction number (ERN) in Tokyo, Osaka, and Aichi. We found a consistent linear correlation in most cases. Therefore, it becomes feasible to recompute ERN on specific days (national holidays) to eliminate the effect of public mobility change during holidays in DPC upsurges. The computation was performed for different regions to highlight the observed effect of the Olympic Games hosted in Tokyo but not observed in other regions.

### 3.4. Data

Data from three prefectures of Japan (Tokyo, Osaka, and Aichi) were used; these prefectures are the central districts in different areas, and are distant from each other. Thus, the mobility change attributable to the Olympic Games may be marginal in Osaka and Aichi. Data regarding the recorded COVID-19 DPCs were obtained by a dedicated online service provided by the Japanese Ministry of Health, Labor, and Welfare (https://www.mhlw.go.jp/stf/covid-19/open-data.html) (accessed on December 24, 2021) with local district websites.

From the viewpoint of mathematical representation of epidemics, ERN, which corresponds to the rate of change of new cases, is essential data rather than the new DPC itself. Different definitions of ERN, also defined as \( R_t \) [31–33], ERN \( R_t \) was computed using the following equation:

\[
R_t = \left( \frac{\sum_{i=1}^{s} DPC_{t-i}}{\sum_{i=s+1}^{2s} DPC_{t-i}} \right)^{1/\mu},
\]

where \( s = 7 \) means the number of days during a specified temporal period, and \( \mu = 5 \) (days) denotes the mean latency after COVID-19 infection.

Data on the weather conditions recorded at the main cities of interest within the target regions of this study were obtained from the Japan Meteorological Agency (https://www.jma.go.jp/jma/indexe.html) (accessed on December 27, 2021). Data from the largest cities (Tokyo, Osaka, and Aichi) were used as representative for the whole prefecture.

We also used data on public movements recorded by Google mobility reports from February 15, 2020 [34]. The mobility changes are labeled as retail and recreation, grocery and pharmacy, parks, transit stations, workplaces, and residential areas, and provided as the percentage of change compared to day-of-week mean values (baseline) from the five-week period comprising January 3 to February 6, 2020. The last data points corresponded to the baseline data in this study.

Fig. 1 shows the Google mobility data and DPC in Tokyo, Osaka, and Aichi for the summers of 2020 and 2021. The mobility effect was a prevalent factor in viral diffusion as a replacement for the degree of social distancing. In order to use the mobility as an indicator of viral diffusion, the impact of the incubation period must be considered. We defined the effective mobility values as an eight-day average, and considered mobility to have a five-day stride, i.e., 6–13 days before the corresponding day. Although not shown here, our results show that small shifts in this parameter do not significantly influence the correlation with \( R \) values [35].

Vaccination rates were obtained from the Government of the Chief Information Officers Portal, Japan (https://cio.go.jp/c19vaccine.opendata).

The time course of new DPCs is plotted in Fig. 1, along with the relationship between the time-averaged mobility of different zoning categories in the aforementioned prefectures.

### 3.5. Scenarios

The replication of DPC was conducted using two scenarios. First, the DPCs was estimated through the adjustment of the ERN such that the
influence of public movements on national holidays was excluded. The adjusted ERN estimated from the regression line represents the relationship between the mobility change rate at transit stations and their corresponding ERN. Second, the machine learning model based on LSTM was trained using meteorological data, mobility estimates, day labels, and corresponding DPC number. Training data comprised August 1, 2020 to July 22, 2021, and validation was considered from July 23, 2021.

4. Results

4.1. Relationship between mobility and ERN

Fig. 2 shows the correlation between time-averaged mobility at transit stations and the ERN in the three prefectures. The determination coefficient and parameters of the regression lines of the three areas are listed in Table 1. Statistical significance was accepted at \( p < 0.05 \). From Fig. 2, similar singular behaviors were observed from August 1–4, 2021. During this period, the ERN increased despite a decrease in the mobility change rate. When considering the virus incubation period of 5–6 days \([36,37]\), including a 7–10 day lag before going to hospital, this singular behavior approximately corresponded to the period of July 22–27, 2021, and matches the national holidays (July 22–23, 2021) and weekend (July 24–25, 2021), the dates of which were adjusted for the opening of the Olympic Games. However, this ERN upsurge becomes modest around August 5, 2021 and buried in the plots on the regression line of the fifth wave. In addition, the “Obon” holiday took place from August 13–15, 2021, and was somewhat extendable from August 7, 2021 (Saturday) to match the period of the Olympic Games; August 8, 2021 was changed to a national holiday to accommodate the Olympic Games. A similar tendency of ERN upsurge was observed even for this period. The ERN during the above holidays was 30%–50% higher than the regression line to characterize the relationship between ERN and mobility at the transit stations.

4.2. Effect of national holidays and vaccination on new DPC

The aforementioned observation highlighted that national holidays may have contributed to the DPC upsurge. To investigate the impact of the abovementioned holidays, the ERN was adjusted in these periods. As shown in Fig. 3 A, the ERN of spread duration from August 1–4 and August 16–22, 2021 were determined using the regression line. To maintain consistency, the ERN were also adjusted for the three days before and after these periods. Fig. 3 A–C shows that in all three prefectures, new DPCs decreased after adjusting for the effect of holidays. Peak new DPCs decreased by 53.4%, 33.8%, and 60.4% in Tokyo, Osaka, and Aichi, respectively. In the same figure, the estimation using machine learning was also plotted. The estimated values were consistent with the two adjusted curves, whereas the observed values were higher.

Fig. 4 shows that some discrepancies between the observed and estimated values were observed in September. In this figure, the observed mobility was used, whereas the remaining parameters were the observed values (before August 31, 2021). The estimated values in Fig. 4 were consistent with measured values, in contrast to those from mid-July.

4.3. Effect of vaccination effectiveness and mobility on ERN

Fig. 5 shows the estimated new DPCs when the vaccination effectiveness at the population level was maintained at a constant level after
Olympic Games, held from July 23 to August 8, 2021. It was crucial to estimation with adjusted ERN excluding the effect of holidays.

Aichi, suggesting that social behavior differs between prefectures. (August 13–15, 2021) in Tokyo, whereas it was notable in Osaka and Aichi, and only during the holidays, suggesting that this upsurge may not be fully-attributable to the Olympic Games. Instead, some holiday dates were adjusted to the Olympic Games; thus, the contribution may not be neglected from the viewpoint of human behavior. Note that the effect of imported new cases linked to the Olympics was 320 cases (from July 1, 2021), which is smaller than the new DPC (a few thousand cases daily) [40].

5.2. Superspreading during the spread phase

A similar upsurge was observed in the fourth wave, which appeared towards the end of December and New Year Day; at that time the ERN was 20%-60% higher than the regression line. This upsurge was not observed in the holidays during the earlier (1st to 3rd) waves, as people were more self-constraining [41]. Model accuracy marginally improved (less than 10%) for the training period after the fourth wave (i.e., early 2021); only one period for national holiday. If the training period was from this third wave, this improvement was not observed. This data insufficiency regarding the holidays prevents the improvement of accuracy of the LSTM method. One potential reason for this upsurge during the holidays after the fourth wave may be attributable to the frequency of human contact in different communities. In Ref. [42], it has been modified to represent the social behaviors of people where the generated communities are restricted and reflect spatiotemporal constraints in real life. They concluded that the bridge between infected and fresh clusters may trigger new virus spread. This may happen especially during holidays of Japan because unlike in other countries, holidays are usually simultaneously taken all at once by the general population [43]. Even in other countries, the ERN has been influenced by policy, holidays, etc., and consistent with that in Japan [44,45], which changed time by time, and thus this topic remains still open question to be resolved.

5.3. Decay phase

New DPCs suddenly decreased in September, sparking debate as to its cause. Our machine learning estimation suggests that this decrease was mainly due to vaccination, which had been increasing linearly (Fig. 4 A), and is consistent with forecasting in the end of August (Fig. 4 B-D). Thus, the abrupt decay, reported by newspapers as “mysterious” [46], was mainly attributable to two factors: (1) vaccination, and (2) the decrease of the ERN, which had temporarily increased due to the holidays. The latter factor vanished with latency immediately post-holidays. However, some discrepancy still exists, which may be attributable to the age category (i.e., vaccination strategy first focused on the elderly), the age-dependent effect, and cluster infections [47].

Asymptomatic infected people may be included in the machine learning model without defining them explicitly. The number of asymptomatic infected people is approximately four times larger than those with symptoms [48]. The symptomatic infected people is 1% of the total population, whereas 4% population who obtained antibodies from asymptomatic infections. This percentage is lower than the vaccination rate of 30%. Considering the incubation lag period, the decay timing also coincided with a 10%-15% fully-vaccinated rate in people aged <65 years. Vaccination prevalence among the more behaviorally-active generation (aged <65 years) may have influenced the decrease in DPC (see Figure A1).

From Fig. 5, the decrease of the ERN due to mobility and vaccination in the downsurge is associated by 38% and 253%, respectively. The
decrease of the ERN from 1.2 to 0.8 (averaged from August 20 to September 20, 2021, respectively) can be mainly explained by these two factors (Figure A1). The impact of weather on COVID-19 up- and downsurges from July to September 2021 in Japan (not shown here to avoid repetition) was <2%. This is because that the change in weather during the up- and downsurge was marginal due to its sufficiently short duration.

6. Summary

We applied a machine learning approach to replicate the DPC in three prefectures of Japan from July to September 2021, during which the Olympic Games were held. Our computational data suggests no clear evidence that the Games directly influenced the upsurge of the fifth COVID-19 infection wave because a similar tendency was observed in Osaka and Aichi, which are distant from Tokyo. Instead, the shift in holiday dates, designated to match the Olympic Games, likely contributed to the upsurge. Such superspreading events or phenomena cannot be resolved because the tendencies were different from those of earlier waves. Except for this factor, the machine learning approach would be a promising tool for estimation and management of future events during a pandemic.
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Contributors

AH, ER, SK, and YD conceived this study. YD and SK contributed data curation. ER and SK analyzed the data. AH and YD verified all the data. AH led the writing of the paper. All authors commented on the paper, and edited the final manuscript. All authors gave final approval of the version for submission.

Data statement

The main part of the code (LSTM) used in this study is made publicly available (https://github.com/erashed/CovidNet). All data processed in this study will be available with reasonable request, ending 5 years following article publication.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.compbiomed.2022.105548.

Appendix

To clarify how much vaccination effectiveness was needed to reduce infection, we investigated the correlation between the population vaccination effectiveness and the ERN. It is difficult to make direct comparisons because of the different day-to-day mobilities. Therefore, the ERN was adjusted to match ~25% based on the slope of the regression line obtained in Fig. 2.

Figure A1 shows the correlation between vaccination effectiveness and adjusted ERN. The correlation during the national holiday is different from that on other days, as shown in Fig. 2. The adjusted ERN without the national holidays starts to decrease when the population vaccination effectiveness <0.3. The rate of fully vaccinated people at that time was 40%–45% for the population of all age and 20%–30% for those aged <65 years. In addition, the vaccination effectiveness was 0.33–0.35 when the ERN is less than 1, i.e., the number of new positive cases began to decrease.

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**Table 1**

Parameters of regression line \( y = ax + b \) in Fig. 2 and corresponding coefficients of determination for different COVID-19 waves.

| Waves | a  | b   | \( R^2 \) |
|-------|----|-----|---------|
| Tokyo | 3rd| 0.0177 | 1.65 | 0.0774 (\( p = 0.035 \)) |
|       | 4th| 0.0117 | 1.46 | 0.377 (\( p < 0.0001 \)) |
| Osaka | 3rd| 0.0185 | 1.74 | 0.177 (\( p = 0.006 \)) |
|       | 4th| 0.0751 | 3.28 | 0.353 (\( p < 0.0001 \)) |
| Aichi | 3rd| 0.0164 | 1.74 | 0.473 (\( p = 0.019 \)) |

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**Fig. 5.** Daily positive cases were replicated with machine learning in Tokyo after July 23, 2021 for cases where vaccination was not conducted and mobility was assumed to be identical to that before the pandemic. The impact of vaccination is larger than that of the mobility.
Fig. A1. Correlation between effective reproduction number and (A, C) vaccination effectiveness and (B, D) rate of fully vaccinated people in three prefectures. Vaccine effectiveness and rate of fully vaccinated people are shown for (A, B) all ages and (C, D) < 65 years. Similar threshold of population vaccination effectiveness to suppress the effective reproduction number was observed for three prefectures.

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