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ORIGINAL ARTICLE

Airways management in SARS-COV-2 acute respiratory failure: A prospective observational multi-center study

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KEYWORDS
Airway management; Critical care; Respiratory failure; SARS-CoV infection; Tracheal intubation

Abstract
Objective: Few studies have reported the implications and adverse events of performing endotracheal intubation for critically ill COVID-19 patients admitted to intensive care units. The aim of the present study was to determine the adverse events related to tracheal intubation in COVID-19 patients, defined as the onset of hemodynamic instability, severe hypoxemia, and cardiac arrest.
Setting: Tertiary care medical hospitals, dual-centre study performed in Northern Italy from November 2020 to May 2021.
Patients: Adult patients with positive SARS-CoV-2 PCR test, admitted for respiratory failure and need of advanced invasive airways management.
Interventions: Endotracheal Intubation Adverse Events.

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Abbreviations: ETT, Emergency Endotracheal intubation; COVID-19, Coronavirus disease 2019; SARS-Cov2, severe acute respiratory syndrome coronavirus 2; ARDS, acute respiratory distress syndrome; HR, heart rate; ICU, intensive care unit; SpO2, Peripheral oxygen saturation; SBP, systolic blood pressure; DBP, diastolic blood pressure; RR, respiratory rate; PaO2/FIO2, arterial partial oxygen pressure / fraction of inspired oxygen; HFNO, High flow nasal oxygen; CPAP, continuous positive airways pressure; NIV, noninvasive ventilation; PCR, polymerase chain reaction; ECG, electrocardiography; EtCO2, end-tidal carbon dioxide; OR, odds ratio; CI, confidence interval.
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Main variables of interests: The primary endpoint was to determine the occurrence of at least 1 of the following events within 30 minutes from the start of the intubation procedure and to describe the types of major adverse peri-intubation events: severe hypoxemia defined as an oxygen saturation as measured by pulse-oximetry <80%; hemodynamic instability defined as a SBP 65 mmHg recorded at least once or SBP < 90 mmHg for 30 minutes, a new requirement or increase of vasopressors, fluid bolus >15 mL/kg to maintain the target blood pressure; cardiac arrest.

Results: Among 142 patients, 73.94% experienced at least one major adverse peri-intubation event. The predominant event was cardiovascular instability, observed in 65.49% of all patients undergoing emergency intubation, followed by severe hypoxemia (43.54%). 2.82% of the patients had a cardiac arrest.

Conclusion: In this study of intubation practices in critically ill patients with COVID-19, major adverse peri-intubation events were frequent.

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PALABRAS CLAVE
Manejo de la vía aérea;
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Infección por SARS-CoV;
Intubación traqueal

Manejo de las vías respiratorias en la insuficiencia respiratoria aguda SARS-COV-2: un estudio observacional prospectivo multicéntrico

Resumen
Objetivo: Pocos estudios han informado las implicaciones y los eventos adversos de realizar una intubación endotraqueal para pacientes críticos con COVID-19 ingresados en unidades de cuidados intensivos. El objetivo del presente estudio fue determinar los eventos adversos relacionados con la intubación traqueal en pacientes con COVID-19, definidos como la aparición de inestabilidad hemodinámica, hipoxemia severa y paro cardíaco.

Ámbito: Hospitales médicos de atención terciaria, estudio de doble centro realizado en el norte de Italia desde noviembre de 2020 hasta mayo de 2021.

Pacientes: Pacientes adultos con prueba PCR SARS-CoV-2 positiva, ingresados por insuficiencia respiratoria y necesidad de manejo avanzado de vías aéreas invasivas.

Intervenciones: Eventos adversos de la intubación endotraqueal.

Principales variables de interés: El punto final primario fue determinar la ocurrencia de al menos 1 de los siguientes eventos dentro de los 30 minutos postintubación: hipoxemia severa definida como una saturación de oxígeno medida por pulsioximetría <80%; inestabilidad hemodinámica definida como PAS 65 mmHg registrada al menos una vez o PAS < 90 mmHg durante 30 minutos, nuevo requerimiento o aumento de vasopresores, bolo de líquidos > 15 mL/kg para mantener la presión arterial objetivo; paro cardíaco.

Resultados: Entre 142 pacientes, el 73,94% experimentó al menos un evento periintubación adverso importante. El evento predominante fue la inestabilidad cardiovascular, observada en el 65,49% de todos los pacientes sometidos a intubación de urgencia, seguido de la hipoxemia severa (43,54%). El 2,82% de los pacientes tuvo un paro cardíaco.

Conclusión: En este estudio de prácticas de intubación en pacientes críticos con COVID-19, los eventos adversos periintubación mayores fueron frecuentes.

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Introduction

COVID-19 pneumonia

Coronavirus disease 2019 (COVID-19) is characterized mainly by moderate/severe pneumonia due to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in 42% of patients, and among them 61–81% of patients require intensive care unit (ICU) admission. COVID-19 pneumonia, and related hypoxemia and desaturation patterns, are atypical and not comparable to that of the “standard” acute respiratory distress syndrome (ARDS) patients, as these patients show a low arterial partial oxygen pressure / fraction of inspired oxygen (PaO2/FiO2) ratio and initially higher compliance and pulmonary shunt fraction.
Emergency Endotracheal intubation in COVID-19

The Emergency Endotracheal intubation (ETT) of SARS Cov2 patient is a high-risk procedure and represent an additional challenge to all intensivists due to barrier enclosures. Silent hypoxia often leads to late intubation which is linked to a high risk of complications. Non-survivors showed worse blood gas analyzes than survivors, both before and after intubation. Few studies reported the implications and adverse events of performing endotracheal intubation for critically ill COVID-19 patients admitted to ICUs. The choice of supplementary oxygen delivery interface is challenging and the decision to provide invasive ventilatory support is crucial.

Preoxygenation in COVID-19

The application of non-invasive support, with e.g. as high-flow nasal oxygen (HFNO), continuous positive airway pressure (CPAP) and non-invasive ventilation (NIV), might correct hypoxemia and could avoid endotracheal intubation. The Italian outbreak experience resulted in a series of clinical protocols, suggesting the use of a short NIV trials with the need of intubation with a PaO2/FiO2 below 150. In this setting, it is advocated to prefer anticipated endotracheal intubation in order to minimize related complications. In the setting of critically ill-patients’ intubation, hypoxia, hemodynamic failure and cardiac arrest are common and well described events and given the COVID-19 physio-pathological evidence, these might be much more common in this context due to limited safe apnea time and poor oxygenation reserve. The aim of the present study was to determine the incidence of major adverse events related to tracheal intubation in COVID-19 patients defined as the onset of severe hypoxemia, hemodynamic instability and cardiac arrest within 30 minutes from the procedure.

Patients and Methods

Study design and setting

This was a prospective, observational, dual-center study. Data were collected prospectively on patients admitted into two ICUs. The study was designed in accordance with the Declaration of Helsinki. The ethics committees waived the need for written consent, allowing constant verbal consent (N: 125/20 of December 15, 2020). This was attained from all participants after explaining purposes, significance and advantages of the research by the trained data collectors and through a covering letter provided to each respondent. Patients were also informed that they had the right to withdraw from the study at any time. For each enrolled patient, their personal information was recorded and kept in a secure folder. To protect their privacy, patients’ information were only accessible to the researchers. Reporting was adapted from the STROBE checklist for observational research. The study was registered (ClinicalTrials.Gov Trials Register NCT04909476) on June 01, 2021.

Participants

All patients admitted for respiratory failure that needed advanced airways management were eligible for the study. Inclusion criteria were: 18 years of age and older; a positive SARS-CoV-2 polymerase chain reaction (PCR) test; intubation for respiratory failure or muscle exhaustion. Exclusion criteria were:

- a negative SARS-CoV-2 PCR test;
- intubated outside the hospital, thus secondary transfer;
- intubated because of a cardiac arrest;
- undergoing general anaesthesia for surgery;
- incomplete data for enrollment.

All intubations were performed by attending physicians specialized in anesthesia and intensive care or by residents under supervision. All anesthesia and intensive care residents had at least 4 yr of intraoperative anesthesiology experience.

Variables and measurements

Demographic variables and data about the procedure were collected prospectively for all patients at intubation using a Case Report Form (CRF). Hemodynamic parameters and temperature were measured continuously through invasive monitoring. Pre-procedural collected data included date and time of the intubation, the level of monitoring, patient’s parameters, ongoing vasoactive drugs and oxygen delivery method. Before the procedure patients were evaluated for anticipated difficult airways, according to SIAARTI task force Recommendation, and based on carefully assessment of the need for tracheal intubation, blood gas analysis and radiological findings. Healthcare professional performance data included the number of attempts at intubation, the expertise of the different attempts, the number of laryngoscopies per week usually performed, the hours on shift already worked before intubation. We recorded pre-oxygenation data focusing on type and timing of the device used and the peripheral oxygen saturation (SpO2) at the end. Drugs and dosage, the device used, grading of Cormack-Lehane views, the lowest SpO2 during laryngoscopy were also recorded. End-tidal carbon dioxide (EtCO2) level was also recorded when available. We recorded the occurrence of difficult airways (more than 3 attempts of intubation), the need of front of neck airways, the onset of any arrhythmias, the detection of esophageal intubation. Within 30 minutes from the intubation, we recorded the occurrence of severe hypoxia, defined as a SpO2 <80%, hemodynamic instability defined as SBP 65 mmHg recorded at least once or SBP <90 mmHg for 30 minutes, a new requirement or increase of vasopressors, fluid bolus >15 mL/kg to maintain the target blood pressure, and the occurrence of cardiac arrest. Within 6 hours from the intubation the onset of new pneumomediastinum and/or pneumothorax was collected.

Endpoints

The primary endpoint was to determine the occurrence of at least 1 of the following events within 30 minutes from...
the occurrence of pneumothorax or pneumomediastinum within 6 hours from the intubation.

Data analysis

Statistical analysis was performed using Stata software v. 13.1 (StataCorp LP, College Station, TX, USA). The cumulative incidence of major adverse events during intubation is estimated to be 0.28. The primary endpoint of our study was to estimate the cumulative incidence of a major adverse event in patients with COVID-19, a figure currently not estimated in the literature. We assumed a larger cumulative incidence of the major adverse event in the COVID-19 patients who need intubation. We considered that the major adverse event can occur in 0.45 of COVID-19 patients who need intubation; the sample size of 80 achieves 80% power to reject the null hypothesis of zero effect size when the population effect size is 0.30 and the significance level (alpha) is 0.050 using a two-sided one-sample z test. Supplement online 1 shows the dropout-Inflated Sample Size and the estimated power for a one-sample proportion test. The primary and secondary endpoints were expressed as absolute frequency and percentage (number of each event on total intubations). Normal distribution was assessed by Shapiro-Wilk test. Continuous variables were expressed as mean ± standard deviation or median [interquartile range], as adequate. Categorical variables were shown as absolute and percentage frequencies. Two groups were identified: patients who had at least one adverse event at intubation and patients without adverse events. The descriptive comparison between the two groups was performed by Student’s T test or Wilcoxon’s Ranksum test for continuous variables.
and by the Chi-squared/Fisher’s exact test for categorical ones. A logistic regression model with stepwise forward modality was carried out to define the risk factors for the major adverse event. A multivariable logistic regression model was performed including as covariates variables with P values < 0.05 in the bivariable analyses or with a clinically relevant meaning. A post-estimation analysis was performed to evaluate the goodness of the model with the Hosmer-Lemeshow tests, Akaike’s information criterion, Bayesian information criterion, accuracy, sensitivity and specificity of the model.

Results

Participants

Between November 2020 and May 2021, we enrolled 142 COVID-19 patients needing endotracheal intubation. Fig. 1 shows the flow study population. The type of our data and the electronic health record let us to collect all data without missing. However, we exceed the estimated sample size, improving the power of the study. Demographic data are represented in Table 1.

Setting and monitoring of intubation

Airways Assessment and Indication of Intubation are shown in Table 2. Particularly, thyromental distance was calculated as a straight line from mentum to thyroid notch with mouth closed and neck fully extended. Table 3 presents intubation characteristics and performance. Midazolam was the most frequently used induction agent (110 patients (77.46%), 48 (43.63%) times in co-administration with propofol and 50 (45.45%) times in co-administration with ketamine. Rocuronium was the unique neuromuscular blocking administered with a median dose 1.11 mg/kg (0.94-1.25). The average doses for midazolam, propofol and ketamine were 0.06 (0.04-0.08) mg/kg, 1 (0.6 - 1.41) mg/kg and 1.11 (0.94 -1.33) mg/kg, respectively. Fentanyl was the only opioid used. All drugs were administered on actual body weight. Characteristics concerning methods used to confirm intubation and attempts are reported in Table 3. Particularly, waveform capnography was used in the 21.1 %, while monitor capnometry was used in 27.5 of patients. Colorimetric carbon dioxide detection was never used. Macintosh Laryngoscope was used as the primary device for tracheal intubation for 21(14.89%) patients and a video laryngoscope (GlideScope®) in 121(85.21%) patients. No patients experienced impossibility to achieve a successful tracheal intubation and adequate patient’s oxygenation. First-pass intubation success was achieved for 129 (90.84%) patients.

Adverse events

Adverse events are shown in Table 4. Particularly, among the 142 enrolled patients, 105 (73.94%) experienced at least 1 major adverse peri-intubation event, and 35 (24.65%) more than one adverse events simultaneously.

| Table 3 | Intubation Characteristics and Performance. |
|---------|---------------------------------------------|
| Variable                        | No. (%)          | (n = 142) |
| **Preoxygenation method**       |                  |
| Bag-valve mask                  | 74 (52.1)        |
| Noninvasive ventilation         | 51 (35.9)        |
| Continuous positive airway pressure | 17 (12)        |
| Apneic oxygenation              | 0 (0)            |
| **Rapid sequence induction**    |                  |
| Cricoid pressure                | 3 (2.1)          |
| Induction agent                 |                  |
| Propofol                        | 77 (54.2)        |
| Midazolam                       | 110 (77.5)       |
| Ketamine                        | 56 (39.4)        |
| **Muscle relaxant**             |                  |
| Rocuronium                      | 141 (99.3)       |
| Succinylcholine                 | 0 (0)            |
| Vecuronium                      | 0 (0)            |
| Cisatracurium                   | 0 (0)            |
| **Opioid use for intubation:**  |                  |
| fentanyl                        | 100 (70.4)       |
| **Laryngoscopy**                |                  |
| Direct laryngoscopy             | 21 (14.9)        |
| Video laryngoscopy              | 121 (85.2)       |
| Other methods                   | 0 (0)            |
| **First method used to confirm** |              |
| intubation                      |                  |
| Auscultation                    | 12 (8.4)         |
| Waveform capnography            | 30 (21.1)        |
| Colorimetric carbon dioxide detection | 0 (0)        |
| Monitor capnometry              | 39 (27.5)        |
| Rx                               | 14 (9.9)         |
| Fiberscope                      | 3 (2.1)          |
| Video-laryngoscopy              | 99 (69.7)        |
| Thoracic excursion              | 112 (78.9)       |
| Ventilator wave                 | 2 (1.4)          |
| Lung ultrasound                  | 4 (2.8)          |
| **Success**                     |                  |
| First pass                      | 129 (90.8)       |
| Second pass                     | 13 (9.1)         |
| Emergency front-of-neck access  | 0 (0)            |
| Cannot-intubate                 | 0 (0)            |
| Cannot-oxygenate                | 0 (0)            |
| Continuous variables are expressed as median [IQR], qualitative data as number (%). |

Cardiovascular instability accounted for most of the events, followed by severe hypoxemia. Four patients (2.82 %) had a cardiac arrest following tracheal intubation. Particularly, among the secondary outcomes, 13 (9.15%) patients had new onset of supraventricular arrhythmia and at six hours from intubation, 16 (11.26%) patients developed pneumothorax/pneumomediastinum.

All pneumothorax/pneumomediastinum were successfully managed with chest tube drainage. The rate of major adverse events was significantly lower with first-pass intubation success than it was for patients requiring 2 attempts (67.61% vs 84.62%; p < 0.001).

Multivariable analysis is shown in Table 5. The model correctly classified the occurrence of a major adverse events with an accuracy of 82%. Overall, 97 (31.7%) of patients discharged from ICU survived, while 67 (47.18%) died in hospital. No difference was found for ICU length of stay between
patients with a major adverse peri-intubation event and patients without events: 21 (14.5-32) days vs 20 (14-32) days; (p = 0.44).

**Discussion**

The present prospective study is the first to provide data on orotracheal intubation practices in the context of the COVID-19 pandemic protocols. In this study, we observed that orotracheal intubation practices in the context of the COVID-19 pandemic protocols was associated with major adverse peri-intubation. Particularly, the most frequent and important event is represented by hemodynamic instability that occurred in 65.5% of all patients, followed by severe hypoxemia 43.5% and cardiac arrest 2.8%.

Based on the available literature, the incidence of post-intubation hemodynamic instability following ETT is between 5 to 440 cases per 1,000 intubations, with a pooled estimate of 110 cases per 1,000 intubations (95% CI 65–167). Russotto et al. reported that severe hemo-
dynamic instability occurred in 42.6 % of all patients.12 Although in literature no standard definition of blood pressure threshold for post-intubation hemodynamic instability exists, we defined hemodynamic instability according to Russotto et al.12 In a recent retrospective study of 202 COVID-19 patients undergoing ETT, Yao W. et al. reported that hypotension (SBP <90mmHg and a DBP < 60mmHg), occurred in 36 (17.8%) patients during intubation and in 45 (22.3%) patients after intubation.5 COVID-19 patients are characterized by a cardiovascular syndrome including acute myocardial injury, arrhythmias, and cardiomyopathy.15 Unfortunately, evidence for interventions aiming to achieve cardiovascular stability before tracheal intubation is currently limited. Janz DR et al. showed that administration of an intravenous fluid bolus did not decrease the overall incidence of cardiovascular collapse during tracheal intubation of critically ill adults compared with no fluid bolus.16

Hypoxemia, defined SpO2 <80%, was the second frequent complication that occurred in 43.54% of all patients. Hypoxemia is a common complication during tracheal intubation of critically ill adults,17 as suggested by 2017 guidelines a comprehensive strategy to optimize oxygenation could be hemodynamic and a severe hypoxemia during tracheal intubation could be accurately predicted.19 COVID 19 is characterized by the phenomenon of “silent hypoxemia”.18 In our study, we defined severe hypoxemia based on SpO2 <80%, considering the current target oxygen saturation range for patients with COVID-19 recommended 92% and 96%.20 Yao W. et al. defined SpO2 <90% as the target to define hypoxemia after the intubation.5 Although NIV systems and HFNC may promote microorganism aerosolisation,21 preoxygenation should be performed keeping attention to individual protection and minimizing the risk of contamination.22 Unfortunately, at this moment, it is not known which of the devices allows to perform a more equal oxygenation in COVID-19 patients.23

In our COVID-19 patients, 4 (2.82%) patients, two of which with a shockable rhythm, experienced cardiac arrest following oro-tracheal intubation, compared to 2% reported in literature2 and 2-3% reported in critically ill patients.9,23 Considering both hypotension and hypoxemia before intubation as predictors of cardiac arrest,24 it is not suggested to prolong nonresponsive NIV or HFNO.24

Minor adverse events were found to be in a minimal percentage compared to major events. Particularly, although arrhythmias are one of the major complications in COVID 19 patients,22 the occurrence of atrial fibrillation during oro-tracheal intubation was lower (9.15%) compared to critically ill patients.13 Pneumothorax/pneumomediastinum was slightly higher and occurred in 16 patients (11.26%) and are frequent complications despite the employment of a protective NIV strategy.26

Endotracheal intubation is a high-risk, lifesaving advanced airway skill, had complicated by personal protective equipment worn. Training and maintaining post training competency were also a challenging during the pandemic. However, in a recent study, we observed adherence to society guidelines regarding performance of tracheal intubation by an expert laryngoscopist and the use of videolaryngoscopy.27 The choice of induction and paralysis agents during rapid sequence intubation is based on situational needs, experience,28,29 but also patient’s characteristics.21 Yao W. et al. in their study reported a prophylactic administration of a vasopressor to mitigate the hypotensive effect of propofol (and/or other co-induction agents).30 Although guidelines recommended to use always videolaryngoscopy as the method of choice to perform endotracheal intubation in COVID-19,31 it was used in 85.21% of patients, in the remaining percentage a direct laryngoscopy was performed.

Our study presents some limitations. First, we analyzed a small cohort from a two single city, and a larger sample size from more different hospitals would be ideal for this type of study. While 65.5% of patients had hemodynamic instability, it is difficult to assess the significance of results with the lack of a control group. In addition, no data were reported on duration of hemodynamic instability, acute cardiovascular dysfunction and patterns of myocardial injury. Second, only patients who finally needed an intubation were included and followed. This study only included patients who underwent invasive mechanical ventilation, and it does not provide insights into those who responded well on non-invasive mechanical ventilation and never required intubation. In addition, during the second wave, a proportion of patients underwent delayed intubation strategy. Third, the enrolment phase was compromised by massive amount workload, probably due to the number of night shift. Fourth, we did not assess the success rate based on experience of each health care provide and the association with major complications.

Fifth, practice variation with respect drug dosing and administration may serve as a study confounder. To conclude, this study did not collect information on direct long-term consequences of adverse peri-intubation events.

| Table 5 | Multivariable Logistic Regression Analysis of Factors Associated with the occurrence of major adverse events. |
|---------|----------------------------------------------------------------------------------|
| Adverse Event | Odds Ratio | P value | [95% Conf. Interval] |
| Male | 1.25 | 0.70 | 0.40 - 3.87 |
| Age | 1.02 | 0.51 | 0.97 - 1.07 |
| DBP | 0.95 | 0.007 | 0.91 - 0.98 |
| HR | 1.04 | 0.006 | 1.02 - 1.07 |
| Lowest SpO2 | 0.89 | 0.001 | 0.83 - 0.95 |

Abbreviation: DBP: diastolic blood pressure, HR: heart rate, SpO2: peripheral oxygen saturation.

Observation n = 142; goodness of fit p = 0.98.; area under the receiver operating characteristic curve = 0.86 (CI: 0.83 – 0.89); Se = 94.34%, Sp = 35.71%, Akaike score 105.35.

Continuous variables are expressed as median [IQR], qualitative data as number (%).
Conclusions

In this prospective, observational, dual-center study of intubation practices in critically ill patients with COVID-19, major adverse peri-intubation events, such as cardiovascular instability, were frequently observed.

Authors’ contributions

All authors have contributed to this manuscript and approved this version for submission. LC and SDR designed the study. EP, FD, GB and LC contributed in recruitment of patients and data collection. FF processed analysis of results. FF, LC and SDR wrote the first draft of the manuscript. FM, KD, SM, EP and VD helped to revise the manuscript. All coauthors participated in subsequent revisions of the manuscript. All authors critically reviewed the manuscript.

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Ethics approval and consent to participate

This study will be carried out according to the Declaration of Helsinki, the NNMRC National Statement on Ethical Conduct in Research Involving Humans. The study has been approved by the Ethics Committee of all participating hospitals. The informed consents will be obtained from the eligible participants before participation in the study.

Consent for publication

The authors declare that they consent for publication.

Competing interests

FM received fees for lectures from GE Healthcare, Hamilton Medical, SEDA SpA, outside the present work. SM received fees for lectures from GE Healthcare, outside the present work. A research agreement is active between University of Pavia and Hamilton Medical. The other authors declare no conflict of interest.

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Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:https://doi.org/10.1016/j.medin.2022.07.002.

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