Pyramid-BERT: Reducing Complexity via Successive Core-set based Token Selection

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Abstract
Transformer-based language models such as BERT (Devlin et al., 2018) have achieved the state-of-the-art performance on various NLP tasks, but are computationally prohibitive. A recent line of works use various heuristics to successively shorten sequence length while transforming tokens through encoders, in tasks such as classification and ranking that require a single token embedding for prediction. We present a novel solution to this problem, called Pyramid-BERT where we replace previously used heuristics with a core-set based token selection method justified by theoretical results. The core-set based token selection technique allows us to avoid expensive pre-training, gives a space-efficient fine-tuning, and thus makes it suitable to handle longer sequence lengths. We provide extensive experiments establishing advantages of pyramid BERT over several baselines and existing works on the GLUE benchmarks and Long Range Arena (Tay et al., 2020) datasets.

1 Introduction
Transformers (Vaswani et al., 2017) have gradually become a key component for many state-of-the-art natural language representation models. A recent Transformer based model BERT (Devlin et al., 2018), and its variations, achieved the state-of-the-art results on various natural language processing tasks, including machine translation (Wang et al., 2019a; Liu et al., 2020), question-answering (Devlin et al., 2018; Yang et al., 2019), text classification (Goyal et al., 2020; Xu et al., 2019), semantic role labeling (Strubell et al., 2018), and so on. However, it takes substantial computational resources to pre-train, fine-tune, or infer such models. The complexity of Transformers is mainly due to a pipeline of encoders, each of which contains a multi-head self-attention layer. The self-attention operation scales quadratically with the input sequence length, which is a bottleneck especially for long-sequence data.

Given this challenge, intensive efforts have been focused on compressing and accelerating Transformers to reduce the cost of pre-training and fine-tuning. This work is particularly inspired by the sequence-level NLP tasks such as text classification and ranking. The state-of-the-art Transformer models for these tasks utilize a single embedding from the top encoder layer, such as the CLS token, for prediction. Under such regime, retaining full-length sequence till the last encoder creates unnecessary complexity. We follow a line of works detailed in Section 2 that aim to gradually reduce the sequence length in the pipeline of encoders. On a high level, the existing works have two components: Select : a mechanism in charge of reducing the sequence length, either by pruning or pooling, Train-Select : a training or fine-tuning procedure dedicated to this mechanism.

For Train-Select there is some variety in previous art. Some require a full pre-training procedure, and others require a fine-tuning procedure that works
on the full uncompressed model, meaning one that keeps all tokens until the final encoder layer. The high quality of our solution to Select allows us to simply avoid this additional training phase altogether. The result of this simplification is quite impactful. We obtain a speedup and memory reduction not only to the inference but to the training process itself. This makes it possible to use standard hardware (and training scripts) in the training procedure even for very long sequences.

In Section 6 we provide an empirical comparison of our technique with SOTA alternatives and show that it is superior in eliminating redundancy among the tokens, and thus greatly improves the final classification accuracy. In particular, our experiments on the GLUE benchmarks show that our method achieves up to 3-3.5X inference speedup while maintaining an accuracy (mean value over all GLUE datasets) drop of 1.5%, whereas the best baseline suffers a 2.5% drop. We show that our method can be combined with long-text Transformers such as Big Bird (Zaheer et al., 2020) and Performers (Choromanski et al., 2020) to further alleviate the quadratic space complexity of the self-attention mechanism. Empirical experiments on the Long Range Arena (LRA) (Tay et al., 2020) show that our model achieves a better trade-off between space complexity reduction and accuracy in comparison to its competitors. In particular, when working with Performers and reducing the space complexity by 70%, while baselines suffer a drop in accuracy of 4% or more, our technique actually improves the accuracy as it acts as a regularizer.

Concluding, our paper provides a novel, theoretically justified technique for sequence length reduction, achieving a speedup and memory reduction for both training and inference of transformers, while suffering significantly less in terms of predictive performance when compared to other existing techniques. Our methods are vetted via thorough empirical studies comparing it against SOTA methods and examining its different components.

2 Related Works

There have been a number of interesting attempts, that were aimed at model compression for Transformers, which can be broadly categorized into three directions. The first line of work focus on the redundancy of model parameters. Structure pruning (McCarley, 2019; Michel et al., 2019; Voita et al., 2019; Wang et al., 2019b; Fan et al., 2019; Wu et al., 2021a), which removes coherent groups of weights to preserve the original structure of the network, is one common strategy. In addition, various types of distillation techniques (Sanh et al., 2019; Sun et al., 2019; Jiao et al., 2019; Wang et al., 2020b) have been proposed to remove encoders by training a compact Transformer to reproduce the output of a larger one. Other strategies include weight quantization (Bhandare et al., 2019; Zafir et al., 2019; Shen et al., 2020; Fan et al., 2020) and weight sharing (Dehghani et al., 2018; Lan et al., 2019). The second line of work focus on reducing the quadratic operation of the self-attention matrices. The quadratic time and space complexity of the attention mechanism with respect to the input sequence serves the main efficiency bottleneck of Transformers, and thus is prohibitively expensive for training long-sequence data. One popular approach is to sparsify the self-attention operation by restricting each token to only attend a subset of tokens (Child et al., 2019; Kitaev et al., 2020; Ye et al., 2019; Qiu et al., 2019; Ainslie et al., 2020; Zaheer et al., 2020; Beltagy et al., 2020). In particular, the most recent Big Bird (Zaheer et al., 2020) and Longformer (Beltagy et al., 2020) introduce sparse models which scale linearly with the input sequence. Another popular approach (Wang et al., 2020a; Choromanski et al., 2020) is to approximate the self-attention to reduce its quadratic complexity to linear, where the most recent Performers (Choromanski et al., 2020) provides an unbiased linear estimation of the attention matrices.

The third line, which our work lies in, focus on the redundancy in maintaining a full-length sequence of token-level representation across all encoders (Dai et al., 2020; Pietruszka et al., 2020; Ye et al., 2021; Kim and Cho, 2020). This work is particularly inspired by the sequence-level NLP tasks such as text classification and ranking, where the state-of-the-art approach utilizes a single embedding from the top encoder layer of a Transformer, such as the CLS token, for prediction. Under such regime, retaining the full-length sequence till the last encoder creates unnecessary complexity. Dai et al. (2020) applied a simplest strided mean pooling to each sliding window of the sequence to gradually compress tokens. The paper proposed a specialized pre-training procedure for this process that achieves a good balance between speedup and accuracy drop. In comparison we aim to avoid the costly pre-training step and focus on a method that
requires only a lightweight fine-tuning. Wu et al. (2021b) define a centroid attention operator that, given centroids, computes their embeddings via an attention mechanism. These centroids are chosen either as a random subset of the original tokens, or via strided mean pooling. This results in a similar technique to that of Dai et al. (2020), in terms of having a naive sequence length reduction method. Pietruszka et al. (2020) provide a variant of length 2 strided mean pooling where instead of taking the unweighted average of each pair, they provide learnable weights via a differentiable linear function. In our experiment we did not compare our methods with (Wu et al., 2021b; Pietruszka et al., 2020) since both worked on a limited (non-standard) collection of datasets and at the time of writing this paper, did not provide code allowing us to reproduce their result. Since our paper is focused on methods to select a subset of tokens and these papers use either random sampling or pooling, we do not believe a thorough comparison is required.

Ye et al. (2021) proposed a reinforcement-learning based technique to rank the tokens, thereby allowing it to remove the least important ones. This RL policy must be trained in an expensive process that requires the full network structure. Since we focus on methods to improve both training and inference, we do not include it in our experiments. Vision Transformers (Pan et al., 2021; Heo et al., 2021), which apply various types of pooling techniques to reduce input length, are specially designed for image data and thus non-trivial to compare with our method. Early exiting approaches (Xin et al., 2020; Zhou et al., 2020), which allow samples to exit early based on redundancy, are orthogonal to our technique. Goyal et al. (2020) developed an attention based mechanism to progressively eliminate tokens in the intermediate encoders in the fine-tuning, while maintaining the classification accuracy.

3 Pyramid BERT

Background. A Transformer model, e.g. BERT, takes a sequence of tokens as input for each input sentence. The sequence of tokens consists of a CLS token followed by the tokens generated by tokenizing the input sentence. For batch processing, an appropriate sequence length \( N \) is chosen, and shorter input sentences are padded to achieve an uniform length \( N \). The embedding layer \( E \) embeds each token into a vector of real numbers of a fixed dimension. For each input sentence, the token embeddings are transformed through a pipeline of encoders and the self-attention mechanism. Each encoder takes all the \( N \) embeddings as input, and outputs updated \( N \) embeddings of the same dimension. The time and space complexity of the self-attention scales quadratically with the input sequence length \( N \).

Motivation. The state-of-the-art BERT utilizes only the CLS token from the top encoder layer for tasks such as classification and ranking. A natural question is: do we need to propagate all the token embeddings through the entire pipeline of encoders when only the top layer CLS embedding is used for prediction? In general, yes, since the self-attention transforms all the embeddings together, updating each one by capturing information from all the others. However, if two or more tokens are exact duplicates of each other, ignoring the positional embedding, then one can easily remove the duplicates from the input and modify the self-attention appropriately to get the same CLS embedding at the top layer, and hence the same prediction. This would reduce the number of FLOPs carried out in each self-attention layer.

In general, input sentences do not contain duplicate tokens. However, a preliminary study of token embeddings show that as the embeddings propagate through the pipeline of encoders, they become more similar to the CLS token. Figure 1 (top row). A deeper investigation shows that they also become more similar with each other and form clusters among themselves, Figure 1 (bottom row).

In this work, we exploit these observations, and present pyramid BERT, a novel BERT architecture, that reduces computational and space complexity of fine-tuning and inference of BERT while incurring minimal performance degradation. The pyramid-
mid BERT works for all the downstream tasks that only use the top layer CLS token for prediction, such as classification and ranking.

Architecture. The pyramid BERT successively selects subsets of tokens in each encoder layer to propagate them to the next encoder. An illustration of pyramid BERT is shown in Figure 2. It involves two main components over BERT: (a) A sequence-length configuration: a monotonically decreasing sequence $\ell = (\ell_1, \ell_2, \cdots, \ell_L)$ that specifies the number of tokens to retain in each of the $L$ encoders, for all the input examples. (b) A core-set based token selection methodology which in each $j$-th encoder, given $\ell_{j-1}$ token embeddings from the $(j-1)$-th encoder selects a subset of it of size $\ell_j$ to propagate them to the next encoder. Note that the rest of pyramid BERT architecture is same as the BERT, and it has exactly the same number of parameters as the BERT.

In Section 4, we provide a theoretical derivation of the core-set based token selection methodology by minimizing an upper bound of successive token selection loss. To computationally perform the core-set based token selection, we provide a greedy $k$-center algorithm in Section 5.1. In Section 5.2, we present a simple yet effective approach to select the sequence-length configuration $\ell$ for a desired time/space complexity reduction.

4 Coreset Based Token Selection

Problem Definition. We are interested in a $C$ class classification problem defined over a compact space $\mathcal{X}$ and a label space $\mathcal{Y} = \{1, 2, \cdots, C\}$. We consider a loss function $\mathcal{L}_w(\cdot, \cdot): \mathcal{X} \times \mathcal{Y} \rightarrow \mathcal{R}$ which is parametrized over the hypothesis class $\mathcal{H} = \{w\}$, the parameters of the transformer network (e.g. BERT), and a set of training data points sampled i.i.d. over the space $\mathcal{D} = \mathcal{X} \times \mathcal{Y}$ as $\{(x_i, y_i)\}_{i \in [n]} \sim p_{\mathcal{D}}$ where $[n] = \{1, 2, \cdots, n\}$.

Let $T$ denote a token selection algorithm. For an example input $x_i$, let the input and output embeddings of the token selection algorithm $T$ at encoder $j$ be $S_j$ and $\hat{S}_j$ respectively. The size of the two sets are $|\hat{S}_j| = \ell_j$, and $|S_j| = \ell_j$. In particular, at each encoder $j$, the algorithm $T$ selects $\ell_j$ embeddings of the input set $\hat{S}_j$ as the output set $S_j$, and eliminates the remaining $\ell_j - \ell_j$ embeddings in $\hat{S}_j$. Let $\bar{S} = \{\hat{S}_j\}_{j \in [L]}$, and $S = \{S_j\}_{j \in [L]}$. Given the underlying BERT parameters $w$, the sequence length configuration $\ell$, and the classification loss $\mathcal{L}_w$, pyramid BERT solves the token selection problem by minimizing the population risk as follows:

$$
\min_{\{S:|S_j| \leq \ell_j\}} \mathbb{E}_{x, y \sim p_{\mathcal{D}}} \left[ \mathcal{L}_w(x, y, \bar{S}, S) \right].
$$

(1)

Method. In order to design an optimal token selection algorithm $T$, we consider the following upper bound of the token selection loss defined in (1):

$$
\mathbb{E}_{x, y \sim p_{\mathcal{D}}} [\mathcal{L}(x, y, \bar{S}, S)] \leq \frac{1}{n} \sum_{i=1}^{n} \mathcal{L}(x_i, y_i) + \left[ \frac{1}{n} \sum_{i=1}^{n} \mathcal{L}(x_i, y_i, \bar{S}_i, S_i) \right] + \frac{1}{n} \sum_{i=1}^{n} \mathcal{L}(x_i, y_i) - \mathcal{L}(x_i, y_i, \bar{S}_i, S_i).
$$

(2)

For ease of notation, we write $\mathcal{L}_w$ as $\mathcal{L}$. The above bound follows immediately from the triangle inequality. For the first two terms in the above bound: the BERT training error is a constant for fixed parameters $w$, and the generalization error of models like BERT is known to be small (Hao et al., 2019; Jakubovitz et al., 2019). Therefore, we redefine the token selection problem, Equation 1, to minimize the third term, the pyramid BERT token selection loss

$$
\min \frac{1}{n} \sum_{i=1}^{n} \min_{\{S_i:|S_i| \leq \ell_j\}} \left[ \mathcal{L}(x_i, y_i) - \mathcal{L}(x_i, y_i, \bar{S}_i, S_i) \right].
$$

(3)

To solve Equation 3, we first optimize a slightly different token selection algorithm $T'$ for a model...
pyramid+ BERT. In pyramid+ the embedding sequence length is not reduced across the encoder layers. Let $S_j^*$ and $S_j'$ denote the set of input and output embeddings of $T^*$, where the size of the two sets is equal to the input sequence length $N$, $|S_j^*| = |S_j'| = N$. Given an input set $S_j$ in encoder $j$, the algorithm $T^*$ first selects a subset of it of size $\ell_j$, which is exactly same as $S_j$ selected by $T$ in pyramid BERT. Next, instead of eliminating the remaining $N - \ell_j$ embeddings in $S_j^*$ as is done by $T$, the $T^*$ replaces them with their nearest embedding in $S_j$ to form the output set $S_j'$. The unique embeddings of the output set $S_j'$ of pyramid+ BERT are exactly same as the embeddings of output set $S_j$ of pyramid BERT, that is $\text{unique}(S_j') = S_j$. We make the following observation.

**Remark 1** A pyramid+ BERT can be reduced to a pyramid BERT with a weighted self attention network. The weighted self attention network weights attention scores according to the duplicity of the tokens in the embeddings of pyramid+ BERT.

Given the above remark, we optimize the token selection problem for pyramid+ BERT. In the theorem below, we give an upper bound for the token selection loss stated in (3) for pyramid+ BERT. The proof relies on $\lambda$-Lipschitz continuity of the encoder and classification layers. A function $f$ is $\lambda$-Lipschitz continuity if, $\|f(x) - f(x')\| \leq \lambda \|x - x'\|$, for all $x, x' \in \text{domain}(f)$.

**Theorem 1** If the classification layer is $\lambda_C$-Lipschitz, and for all $j \in [L]$, the encoder $E_j$ is $\lambda_j$-Lipschitz continuous for all its parameters, and $T^*$ is a token selection algorithm such that the unique elements of the output embedding set $\text{unique}(S_j')$ is a $\delta$-cover of the input embedding set $S_j^*$, and the $N - \ell_j$ remaining elements in $S_j^* \setminus S_j'$ are replaced in $S_j'$ by their nearest elements in unique($S_j'$), then for all $i$ such that $x_i$ is bounded, the following holds:

$$\left| \mathcal{L}(x_i, y_i) - \mathcal{L}(x_i, y_i, \tilde{S}_j^*, S_j') \right| \leq \delta \lambda_C \sum_{j=1}^L \left( (N - \ell_j) \prod_{a=j}^L \lambda_a \right).$$

(4)

We visualize the concept of $\delta$-cover in Figure 3. The set of red points (i.e., token embeddings in our case) with radius $\delta$ covers the entire set of points. Theorem 1 suggests that we can bound the token selection loss of algorithm $T^*$ for pyramid+ BERT with the $\delta$-cover core-set token selection. The loss goes to zero as the covering radius $\delta$ goes to zero. A proof of the theorem is given in Appendix A.2.

From Remark 1, the optimal choice of token selection for pyramid BERT is same as the optimal choice of unique tokens selected in pyramid+ BERT, up to weighing of self-attention. However, in numerical experiments we found that fine-tuning pyramid BERT with the core-set based token selection performs better than weighing the self-attention. The $\delta$-cover core-set token selection problem is equivalent to the $k$-Center problem (also called min-max facility location problem) (Wolf, 2011). We explain how we solve the $k$-Center problem using a greedy approximation algorithm in §5.1.

## 5 Pyramid BERT: Algorithm

Given a pre-trained BERT, we create a fine-tuned pyramid BERT as follows. For the core-set selection module shown in Figure 2, we implement a $k$-Center-greedy-batch-$m$ algorithm, Section 5.1, to approximately select the core-set of embeddings. We fine-tune all the trainable parameters of the pyramid BERT for different choices of sequence-length configurations $\ell$, according to approach given in Section 5.2. We select the optimal configuration $\ell$ satisfying the required inference speed-up or the space complexity reduction. The selected optimal choice of $\ell$ is kept fixed during inference. We note that our practical implementation of pyramid BERT, proposed here, is not exactly the same as the theoretical token selection algorithm analyzed in the previous section. In Appendix A.1, we justify the differences between the two.

### 5.1 Token Selection Algorithm

The $\delta$-cover core-set problem is equivalent to $k$-Center problem which is NP-Hard. However, it is possible to obtain a $2 \times \text{OPT}$ solution of $k$-Center using a greedy approach (Cook et al., 2009). The greedy approach selects the core-set of size $k$ one-by-one, making it un-parallelizable, and hence runs
We plug-in our core-set Arena (LRA), a collection of challenging long range context tasks (Tay et al., 2020). For the backbone Transformer, we choose BERTBase (Devlin et al., 2018) for the GLUE benchmarks, and two state-of-the-art long-text Transformers Big Bird (Zaheer et al., 2020) and Performers (Choromanski et al., 2020) for the LRA. For dataset statistics such as the number of classes and input sequence length, and the details of the backbone Transformers, see Appendix C.

For sequence-length configurations, we generate a set $\mathcal{F}$ of 30 sequence-length configurations using Equation 5, the details of which are listed in Table 9 Appendix C. The high level idea is to cover multiple tradeoffs between efficiency and accuracy.

For each token selection method, we show the predictive performance for $1.5X$, $2X$, $3X$, and $3.5X$ speedup. Similarly, we show the predictive performance for $30\%$ and $70\%$ space complexity reductions of the attention layer. The reason we consider the space reduction for the attention layer alone is that its quadratic complexity serves the main bottleneck for long sequences. For details on how to get the performance at different speedup and mathematical formula for computing speedup and space complexity reduction, see Appendix C.

5.2 Sequence-length Configuration

For the sequence-length configuration $\ell$, we restrict the sequences to be exponentially decaying. Specifically, a valid sequence is determined by two parameters. The target pruning ratio $0 < p < 1$, and the index of the layer after which we stop reducing the sequence length $1 \leq l_{\text{prune-upto}} \leq L$. The sequence lengths are defined as

$$l_j = \left\lceil N \cdot \frac{\min(1, l_{\text{prune-upto}})}{p} \right\rceil, \ j = 0, 1, \cdots, L, \ (5)$$

where $j = 0$ corresponds to the input layer. We found that this strategy provides a good balance between the need to reduce the length quickly while retaining information. It involves hyperparameter tuning with two HPs: $p$, $l_{\text{prune-upto}}$, and allows for an efficient training procedure. In Appendix B we provide a study comparing this restriction to possible alternatives showing its advantages. In addition we discuss how it compares to recent approaches (Goyal et al., 2020; Ye et al., 2021).

6 Experiments

We plug-in our core-set based token selection method and the other competitive methods into encoder layers of a backbone Transformer, and after fine-tuning evaluate their performance on a wide range of natural language classification tasks. Specifically, we conduct the evaluations on two popular benchmarks: (1) the General Language Understanding Evaluation (GLUE) benchmark (Wang et al., 2018), and (2) the Long Range Arena (LRA), a collection of challenging long range context tasks (Tay et al., 2020). For the slow on GPUs. For pyramid BERT, we developed a parallelizable version of this greedy approach, Algorithm 1, which selects $m$ centers at a time.

Algorithm 1: $k$-Center-greedy-batch-$m$

| Data: Input set $\mathcal{S}$, the number of centers to add per iteration $m$. | Result: Output set $S$, with $|S| = k$. |
| Initialize $S = \{\text{CLS embedding}\}$ |  
| while $|S| < k$ do |  
| $M = \{\}$ |  
| while $|M| \leq m$ do |  
| $s = \arg \max_{u \in S \setminus M} \min_{v \in S} \text{distance}(u, v)$ |  
| $M = M \cup \{s\}$ |  
| end |  
| $S = S \cup M$ |  
| end |  
| return $S$ |
Coreset-select-x where \( x \in \{1, 0.1, 0.2, 0.3, 0.4\} \). We use Coreset-select-opt (CS-opt) to represent the best value from the Coreset-select-k-1 and Coreset-select-x. In what follows, in tables representing results we refer to the methods by their shortened (bold) names.

6.2 Implementation

To fairly evaluate our method against the baselines, we use the same set of hyperparameters for all the methods, for a given dataset. For details, see Appendix D. The code for Pyramid-BERT is made available as a supplementary material with the submission. The training and inference jobs are run separately on a NVIDIA Tesla V100 GPU machine and a Intel Xeon Platinum 8000 series CPU machine respectively. All the accuracy and speedup scores are averaged over 20 trials.

6.3 Results on GLUE benchmarks

We first examine the trade-off between accuracy and speedup. The accuracy results for 3X and 1.5X speedup are summarized in Table 1 and 2 respectively. The results for 3.5X and 2X speedup are given in the Table 11 and 13 in Appendix E. We observe that as the speedup increases the gap between our Coreset-select-opt and its competitors becomes large, where for 3X speedup, Coreset-select-opt outperforms the second best method Attention-select by 1% accuracy in average and beats the standard baselines by 2% or more. The Average-pool performs the worst in average across the GLUE benchmarks, specially on the COLA dataset. For detailed justification, see Appendix E. To better understand the performance of Coreset-select-opt with different values of \( m \), an ablation study is shown in Section 7. For mild speedup of 1.5X, we note that all methods (except Average-pool) suffer only a small loss in accuracy and our method suffers no loss. A similar situation occurs when viewing the tradeoff between space complexity and accuracy, where we provide results for a memory reduction of 70% and 30% in the Tables 3 and 16 (in § E).

6.4 Results on Long Range Arena

We show results on the following three datasets of LRA benchmark: (1) byte-level text classification using real-world data (IMDB), (2) Pathfinder task (long range spatial dependency problem), and (3) image classification on sequences of pixels converted from CIFAR-10.

Table 1: GLUE dev performance at 3X speedup. Here and everywhere else, F1 scores are reported for QQP and MRPC. Spearman correlations are reported for STS-B. Matthew’s correlations are reported for COLA, and accuracy scores are reported for the other tasks. Each value is averaged over 20 trials. Larger values indicates better performance.

| Dataset | 1st-I | 1st Rand | Pool | Att | CS-opt | BERTbase |
|---------|-------|---------|------|-----|--------|----------|
| STS-B   | 86.0  | 84.0   | 86.8 | 81.6 | 87.0   | 87.0     |
| MRPC    | 84.0  | 80.0   | 81.3 | 81.9 | 86.0   | 86.0     |
| SST-2   | 83.8  | 84.4   | 85.6 | 85.2 | 86.0   | 87.0     |
| QNLI    | 84.0  | 84.0   | 84.1 | 86.0 | 87.0   | 87.0     |
| COLA    | 83.0  | 82.0   | 81.5 | 82.0 | 83.0   | 83.0     |
| RTE     | 83.0  | 83.0   | 83.0 | 83.0 | 83.0   | 83.0     |
| MNLI_M  | 75.0  | 75.0   | 75.0 | 75.0 | 76.0   | 76.0     |
| MNLI_MM | 75.0  | 75.0   | 75.0 | 75.0 | 76.0   | 76.0     |

| Dataset | 1st-I | 1st Rand | Pool | Att | CS-opt | BERTbase |
|---------|-------|---------|------|-----|--------|----------|
| STS-B   | 87.0  | 87.0   | 87.0 | 87.0 | 87.0   | 87.0     |
| MRPC    | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |
| SST-2   | 92.0  | 91.0   | 91.0 | 91.0 | 92.0   | 92.0     |
| QNLI    | 93.0  | 93.0   | 93.0 | 93.0 | 93.0   | 93.0     |
| COLA    | 93.0  | 93.0   | 93.0 | 93.0 | 93.0   | 93.0     |
| RTE     | 93.0  | 93.0   | 93.0 | 93.0 | 93.0   | 93.0     |
| MNLI_M  | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |
| MNLI_MM | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |

Table 2: GLUE dev performance at 1.5X speedup.

For baselines, we include First-k-select and Random-select methods, but fail to include Attention-select. Attention-select requires a full attention matrix for selecting tokens which is not available in Big Bird (Zaheer et al., 2020) and Performers (Choromanski et al., 2020). In addition, the Transformers including Big Bird and Performers in LRA have shallow architectures because of no pre-training: the default number of encoders for text classification, path finder, and image classification datasets are four, four, and one, respectively. Thus, for both baselines and our method, we only reduce sequence length in the input layer, which is before the first encoder. For the sequence-length configurations, see Appendix C.2. For Average-pool, due to its worst performance on the GLUE benchmarks and the shallow architectures of the models in LRA, we exclude it from the baselines.

| Dataset | 1st-I | 1st Rand | Pool | Att | CS-opt | BERTbase |
|---------|-------|---------|------|-----|--------|----------|
| STS-B   | 85.0  | 85.0   | 85.0 | 85.0 | 85.0   | 85.0     |
| MRPC    | 84.0  | 84.0   | 84.0 | 84.0 | 84.0   | 84.0     |
| SST-2   | 83.0  | 83.0   | 83.0 | 83.0 | 83.0   | 83.0     |
| QNLI    | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |
| COLA    | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |
| RTE     | 86.0  | 86.0   | 86.0 | 86.0 | 86.0   | 86.0     |
| MNLI_M  | 75.0  | 75.0   | 75.0 | 75.0 | 76.0   | 76.0     |
| MNLI_MM | 75.0  | 75.0   | 75.0 | 75.0 | 76.0   | 76.0     |

Table 3: GLUE dev performance at 70% space complexity reduction.
The results of accuracy scores for space complexity reduction at 70% and 30% are presented in Table 4 and Table 18 (in Appendix E), respectively. The Coreset-select-opt here represents the Coreset-select with \( m = 1 \) because of its superior performance over other \( m \in \{0.1k\}, [0.2k], [0.3k], [0.4k]\). We observe a similar pattern as discussed in GLUE benchmark evaluations: at high space complexity reduction 70%, Coreset-select-opt significantly outperforms its competitors First-k-select and Random-select by 12% and 2.5% in average for Big Bird (12.3% and 5.5% in average for Performers). Moreover, on CIFAR-10, our Coreset-select-opt is even better than the Big Bird and Performers without any sequence reduction with accuracy gain 2.4% and 2.6%, respectively (similarly for Performers on PATHFINDER-32). On the other hand, different from the GLUE evaluations, Coreset-select-k-1 does not show any significant advantages over the baseline methods. Our conjecture is that the input in the LRA datasets contain too many noisy or low level information which is not helpful for predicting the target. For an example, each pixel of an image (CIFAR-10) or a character in the byte-level text classification represents a token as the input. Our Coreset-select based strategy with \( m = 1 \) does the most fine-grained token-level selection than its baselines and thus filter out the noisy information. Note, we do not include accuracy and speedup tables because of insignificant gains observed in speedup due to the shallow architectures of Transformers in LRA.

### Ablation Studies

We conduct four ablation studies to better study pyramid-BERT: (1) Performance comparisons for Coreset-select with different values of \( m \), the number of centers to add per iteration. (2) Justification on the token importance measured by the Coreset-select. (3) Comparison of applying Coreset-select at both fine-tuning and inference versus at only inference to justify the necessity of fine-tuning in selecting tokens. (4) Exploring the position to plug in the Coreset-select in the encoder.

The result for the first ablation study is presented in Table 5 We can see that Coreset-select with \( m = 1 \) gives the best performance but with the smallest speedup for MNLI-M/MM datasets.

Next, we conduct a study to validate the importance of tokens measured by our Coreset-select strategy. We consider a trained BERT that has been fine-tuned on a downstream dataset without any sequence length reduction. During inference, given a encoder \( j \) and input example consist of a sequence of tokens, we eliminate the \( k \)-th most important token measured by the core-set selection method with \( 1 \leq k \leq L \), and obtain a classification output (prediction label). The classification outputs for all input examples are then compared with those generated by BERT without any sequence length reduction. The comparison between the two classification outputs is measured by the mutual information (Shannon, 2001). Larger mutual information indicates more similarity between the two classification outputs. The importance score is specifically the order of tokens (centers) added by the core-set selection method. For a batch of size \( m \) tokens that are added at the same time, their importance is determined by the maximum distance between the token and its nearest centers that have already been added. The expectation is that the
importance of tokens is negatively correlated with the corresponded mutual information. For an example, reducing the least important token for each input example should make the classification outputs have the least difference from those generated without reducing any token, and thus result in the largest mutual information.

A result on SST-2 dataset is presented in Figure 4. The input sequence length \( L \) is set as 64, and thus \( k \in [1, 64] \). The encoder index \( j \) takes value \{1, 3, 6, 9\}. Since the target variable of SST-2 is a relatively balanced binary value, the largest mutual information, which corresponds to no difference between the classification outputs, is \( \ln(2) \approx 0.69 \). For the token selection method, we choose Coreset-select with \( m = 1 \). The pattern shown in the figure aligns with our expectation that the importance of tokens is negatively correlated with the mutual information. Same pattern is observed for Coreset-select-k-1. See Figure 5.

Next, we study the difference between applying Coreset-select at both fine-tuning and inference, and at only inference. Table 6 justifies the necessary of fine-tuning in sequence length reduction.

Finally, we study the position to insert the Coreset-select method in the encoder. Two choices of position have been considered. The first option is to plug-in the token selection method right after the attention layer and before the feed-forward network, and the second option is to place it at the end of the encoder. The results is shown in Table 7. The experiment shows that placing the token selection method right after the attention layer gives better performance than placing it at the end of encoder.

### 8 Conclusion

We provide pyramid-BERT, a theoretically justified technique for sequence length reduction, achieving speedup and memory reduction for both training and inference of transformers, while incurring significantly less accuracy drop than competitive methods. However, this technique can be applied only for classification and ranking tasks which use single embedding from the top layer for prediction. Also, our token selection approach requires fine-tuning the network. An interesting future study would be to eliminate the need of fine-tuning.
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A Appendix: Theory

A.1 Difference between the Theoretical and Practical Algorithm

There are two main aspects in which our practical implementation of pyramid BERT token selection differ from its theoretical one, for which we have provided guarantees in Theorem 1. Below we explain the differences and justify them.

1. In the theoretical implementation of pyramid BERT token selection, for which we have provided guarantees in Theorem 1, the original BERT parameters, $w$, are kept fixed, and the self-attention is weighted according to the duplicity of the tokens in the corresponding pyramid BERT. Whereas in the practical implementation we remove the weighing of self-attention and offset it by fine-tuning the original BERT parameters $w$. We make this deviation as we found that fine-tuning BERT not only obviates the need of weighing self-attention but also reduces the performance degradation incurred due to token selection. We note that it is intractable to analyze this deviation in theory as BERT fine-tuning is a non-convex optimization.

2. In the theoretical implementation of pyramid BERT, a $\delta$-cover core-set of tokens is selected. The $\delta$-cover core-set token selection is equivalent to the $k$-Center problem. The theoretical guarantees assume that we can get the optimal solution of the $k$-Center problem. However, the $k$-Center problem is NP-hard and a best known algorithm of it $k$-Center-greedy gives a $2 \times \text{OPT}$ solution. In our practical implementation, we go a step beyond the greedy approach and propose a parallelizable version of the $k$-Center-greedy algorithm that takes in an additional hyper-parameter $m$. The hyper-parameter $m$ sets the level of parallelization and the choice of $m = 1$ reduces it to the original $k$-Center-greedy. In the numerical experiments, we report the accuracy for the best pyramid BERT by optimizing over the hyper-parameter $m$.

We note that despite the above mentioned differences of our practical algorithm from the theoretical one, the theoretical guarantees achieved in Theorem 1 do justify the approach of core-set based token selection. The theorem establishes that the token selection loss of the pyramid BERT is bounded by the $\delta$-cover of the core-set. Informally, if the input sentence comprises near-duplicate tokens, in pyramid BERT, the loss incurred by the token selection method goes to zero.

A.2 Proof of Theorem 1

On a high level, theorem follows from (1) the definition of Lipschitz continuity, (2) the definition of the token selection algorithm $\mathcal{T}^*$ of pyramid BERT, and (3) the fact that the loss function $L_w$ comprises a sequence of encoder layers stacked on top of each other.

We introduce two new notations. Let $O_j$ denote the BERT model up to the output of the $j$-th encoder, and $E_j$ denote the BERT network up to the input of the $j$-th encoder. We assume that the token selection algorithm $\mathcal{T}^*$ operates on the embeddings before they are inputted to the encoder. Also, for ease of notation we omit the subscript $i$ denoting the $i$-th training example from $(x_i, y_i)$.

Based on the above notations, for BERT we have

$$\left\lVert E_j(x, y, \tilde{S}^*, S^*) - O_{j-1}(x, y, \tilde{S}^*, S^*) \right\rVert \leq \delta(N - \ell_j).$$

(6)

The above equation uses the fact that at most $(N - \ell_j)$ tokens are replaced with their corresponding core-set center token, which is at most $\delta$ away from them. The Equation (7) follows immediately from the definition of Lipschitz continuity of the classification layer.

$$\left| L(x, y) - L(x, y, \tilde{S}^*, S^*) \right| \leq \lambda \left\lVert O_L(x, y) - O_L(x, y, \tilde{S}^*, S^*) \right\rVert.$$  

(7)

The Equation (8) follows from the Lipschitz continuity of the $L$-th encoder. The Equation (9) follows from Equation (6). The Equation (10) follows from the repeated application of Equation (9) over the next encoder layer. The Equation (11) follows from the repeated application of Equation (9) over the subsequent encoder layers, and the fact that $O_0(x, y) = O_0(x, y, \tilde{S}^*, S^*)$, as input to the first encoder layer is same for BERT and pyramid BERT.
\[
\|O_L(x, y) - O_L(x, y, \tilde{S}^*, S^*)\| \\
\leq \lambda_L \|E_L(x, y) - E_L(x, y, \tilde{S}^*, S^*)\| \\
\leq \lambda_L \delta(N - \ell_L) \\
+ \lambda_L \|O_{L-1}(x, y) - O_{L-1}(x, y, \tilde{S}^*, S^*)\| \\
\leq \lambda_L \delta(N - \ell_L) + \lambda_L \lambda_{L-1} \delta(N - \ell_{L-1}) \\
+ \lambda_L \lambda_{L-1} \|O_{L-2}(x, y) - O_{L-2}(x, y, \tilde{S}^*, S^*)\| \\
\leq \lambda_L \delta(N - \ell_L) + \lambda_L \lambda_{L-1} \delta(N - \ell_{L-1}) \\
+ \cdots + \left( \prod_{j=0}^{L-1} \lambda_{L-j} \right) \delta(N - \ell_1) \\
= \delta \sum_{j=1}^{L} \left( N - \ell_j \right) \prod_{i=j}^{L} \lambda_i. 
\]

The theorem follows by combining Equations (7) and (12).

## B Appendix: Evaluation on the Sequence-length Generation Function

We note that most recent approaches such as (Goyal et al., 2020; Ye et al., 2021) try to learn a task-dependent sequence-length configuration with a cost of fine-tuning more than twice on the downstream data, where the first fine-tuning trains a full model without any sequence-length reduction, with additional parameters that often requires delicate tuning. This approach does not help the training process and in fact increase its cost whereas our goal is to improve the training procedure. Furthermore, there is still an amount of HP tuning involved in order to find the right ratio of acceleration (or memory reduction) to accuracy. Our technique involves hyperparameter tuning but with two HPs: \( p, i_{\text{prune-upto}} \), and allows for an efficient training procedure.

We conduct an experiment to validate the sequence-length generation function, in comparison to a random method that gives configurations for all encoders. Given a dataset we use the retention generation function in Equation 5 and random method to generate a fix number of sequence-length configurations, separately. Then we apply the same core-set based method on the dataset with each sequence-length configuration and compute the statistics of accuracy and speedup for our and random method. We repeat the random method for three times. The number of sequence-length configurations is set as 30, and details of those generated by the Equation 5 is presented in Table 9 in Appendix C.1. The results for SST-2 dataset are shown in Figure 6. We can see that the sequence-length configurations generated by our method provide wider searching range of accuracy and speedups than those generated by the random method.

### C Appendix: Experiments Setup

Data statistics such as the number of classes and input sequence length are specified in Table 8.

The details of the backbone Transformer used in GLUE(Wang et al., 2018) and LRA (Tay et al., 2020) are presented as follows: For BERT\textsubscript{Base}, it was pre-trained on the BooksCorpus and English Wikipedia with \( L = 12 \) encoders, \( A = 12 \) self-attention heads per encoder and hidden size \( H = 768 \). For Big Bird (Zaheer et al., 2020) and Performers (Choromanski et al., 2020), we follow...
the original implementation in LRA (Tay et al., 2020) that models are fine-tuned from scratch on each task without any pre-training.

C.1 GLUE Benchmarks

For experiments on GLUE benchmarks, the sequence-length configurations \( \mathcal{F} \) generated by Equation 5 are presented in Table 9. For each dataset and Transformer model with a token selection method, the same set of sequence-length configurations are used.

For each sequence-length configuration in \( \mathcal{F} \) a Transformer with a token selection method is applied at both fine-tuning and inference. Next, for each token selection method we select the accuracy scores when there are speedup 1.5\( X \), 2\( X \), 3\( X \), and 3.5\( X \) over the Transformers without any sequence reduction. To get the accuracy score at the exact speedup \( X \), a linear interpolation is used when necessary. However, for objective evaluation we do not extrapolate the results. For details on how to get accuracy scores at different speedup number, see Figure 8 and 9 in Appendix E. Similarly, we select the accuracy scores when the space complexity reductions for the attention layer are 30\% and 70\%. The reason why we only focus the space reduction for the attention layer is that its quadratic complexity serves the main efficiency bottleneck for Transformers.

For Input-first-k-select, since it does not rely on \( \mathcal{F} \) but different truncated input sequence lengths, we specify its configuration as following: For dataset with \( N = 256 \), we try truncated sequence lengths of \( \{240, 224, \cdots , 48, 32, 16\} \). For dataset with \( N = 120 \), we try truncated sequence lengths of \( \{112, 96, 80, 48, 32, 16, 8\} \). And for dataset with \( N = 64 \), we try truncated sequence lengths of \( \{48, 32, 16, 8, 4\} \).

Similarly, Average-pool does not rely on \( \mathcal{F} \) but the window size and encoder layer(s) to apply the pooling. To get the accuracy scores at various speedups, we try the average pooling with different window sizes of \( \{2, 3, 4, 5, 6\} \) on various encoder layer(s). The window size is set equal to the stride size.

The mathematical formulas for speedup and space complexity reduction are presented as below. Consider BERT\(_{\text{Base}}\) as the backbone Transformer for an example, and denote the BERT\(_{\text{Base}}\) with a token selection method as BERT\(_{\text{Token-select}}\). The speedup is computed as \( T(\text{BERT}\_\text{Base}) / T(\text{BERT}\_\text{Token-select}) \), where \( T(\cdot) \) is the time duration in inference. Larger value indicates higher inference speedup for BERT\(_{\text{Token-select}}\) over BERT\(_{\text{Base}}\). The space complexity reduction for the attention layer is computed as \( 1 - S(\text{BERT}\_\text{Token-select}) / S(\text{BERT}\_\text{Base}) \), where \( S(\cdot) = \sum_{j=1}^{L} t_{j}^{2} + l_{j}d \), \( j = 1, 2, \cdots , L \), and \( l_{j} \) and \( d \) denotes the number of tokens to select at encoder \( j \) and the hidden dimension (dimension of the latent representation), respectively. Larger value indicates higher space complexity reduction for BERT\(_{\text{Token-select}}\) over BERT\(_{\text{Base}}\). For simplicity, the “space complexity reduction” refers to the reduction for the attention layer in the following discussion.

C.2 LRA

We run a set of sequence-length configurations where the number of tokens to select on the input layer is \( \{[0.1 \cdot N], [0.2 \cdot N], \cdots , [0.9 \cdot N]\} \) and \( N \) is the input sequence length. The Coreset-select-opt here represents the core-set token selection method with \( m = 1 \) because we observe it gives the best performance than \( m \in \{[0.1 \cdot k], [0.2 \cdot k], [0.3 \cdot k], [0.4 \cdot k]\} \). The results of accuracy scores for space complexity reduction at 70\% and 30\% are presented in Table 4 and 18, respectively. We observe a similar pattern as shown in Section 6.3; at high space complexity reduction 70\% in Table 4, Coreset-select-opt significantly outperforms its competitors First-k-select and Random-select by 12\% and 2.5\% in average for Big Bird (12.3\% and 5.5\% in average for Performers). Moreover, on CIFAR-10, our Coreset-select-opt is even better than the Big Bird and Performers without any token selection with accuracy gain 2.4\% and 2.6\%, respectively. Similarly on PATHFINDER-32, the Coreset-select-opt shows 1.5\% accuracy gain over the Performers without any token selection. On the other hand, different from Section 6.3, Coreset-select-k-l does not show any significant advantages over the baseline methods. Our conjecture is that the input in the LRA tasks contain too many noisy or low level information which is not helpful for predicting the target. For an example, each pixel of an image (CIFAR-10) or a character in the byte-level text classification represents a token in the input for the Transformer. Our core-set based strategy, especially with \( m = 1 \), does the most fine-grained token-level selection than its baselines and thus filter out the noisy information. At low space complexity reduction 30\%
The last layer index to apply token selection | The proportion of input tokens to retain at \( l_{prune-upto} \) | layer 1 | layer 2 | layer 3 | layer 4 | layer 5 | layer 6 | layer 7 | layer 8 | layer 9 | layer 10 | layer 11 | layer 12
---|---|---|---|---|---|---|---|---|---|---|---|---|---
2 | 0.15 | 49 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19
3 | 0.15 | 68 | 36 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19
4 | 0.15 | 79 | 49 | 30 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19 | 19
5 | 0.10 | 59 | 27 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12
6 | 0.10 | 71 | 40 | 22 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12
7 | 0.20 | 74 | 43 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25
8 | 0.20 | 85 | 57 | 38 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25 | 25
9 | 0.17 | 70 | 39 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | 21
10 | 0.18 | 72 | 40 | 23 | 23 | 23 | 23 | 23 | 23 | 23 | 23 | 23 | 23 | 23
11 | 0.19 | 73 | 42 | 24 | 24 | 24 | 24 | 24 | 24 | 24 | 24 | 24 | 24 | 24
12 | 0.22 | 77 | 46 | 28 | 28 | 28 | 28 | 28 | 28 | 28 | 28 | 28 | 28 | 28
13 | 0.25 | 80 | 50 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32
14 | 0.25 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32
15 | 0.25 | 64 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32
16 | 0.25 | 80 | 50 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32
17 | 0.25 | 97 | 73 | 55 | 42 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32 | 32
18 | 0.25 | 109 | 94 | 80 | 69 | 59 | 50 | 43 | 37 | 32 | 32 | 32 | 32 | 32
19 | 0.25 | 109 | 94 | 80 | 69 | 59 | 50 | 43 | 37 | 32 | 32 | 32 | 32 | 32
20 | 0.25 | 112 | 99 | 87 | 77 | 68 | 60 | 52 | 46 | 41 | 36 | 32 | 32 | 32
21 | 0.50 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64
22 | 0.50 | 90 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64
23 | 0.50 | 101 | 80 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64
24 | 0.50 | 111 | 97 | 84 | 73 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64
25 | 0.50 | 118 | 109 | 101 | 94 | 87 | 80 | 74 | 69 | 64 | 64 | 64 | 64 | 64
26 | 0.50 | 120 | 112 | 105 | 99 | 93 | 87 | 82 | 77 | 72 | 68 | 64 | 64 | 64
27 | 0.75 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96
28 | 0.75 | 100 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96
29 | 0.75 | 116 | 105 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 96
30 | 0.75 | 122 | 117 | 113 | 108 | 104 | 100 | 96 | 96 | 96 | 96 | 96 | 96 | 96
31 | 0.75 | 123 | 120 | 116 | 112 | 109 | 105 | 102 | 99 | 96 | 96 | 96 | 96 | 96
32 | 0.75 | 124 | 121 | 118 | 115 | 112 | 109 | 106 | 103 | 101 | 98 | 96 | 96 | 96

Table 9: Sequence-length configurations \( F \) for experiments on GLUE benchmarks. Each sequence-length configuration is determined by the configuration generation function (Equation 5) which requires two hyperparameters “the last layer index to apply token selection \( i_{prune-upto} \)” and “the proportion of input tokens \( p \) to retain at \( l_{prune-upto} \)”.

D Appendix: Hyperparameters

To fairly evaluate our method, we do not tune any hyperparameters for both GLUE and LRA benchmarks, i.e., the same set of hyperparameters are used for each dataset across every competing method. For GLUE benchmarks, the learning rate and number of epochs are set as \( 2 \times 10^{-5} \) and 3, respectively. The batch size in training is set as 48 for all except MNLI-M/mm and RTE, which are set as 32 and 16, respectively. The batch size in inference is uniformly set as 128. For LRA (Tay et al., 2020), we follow the exact settings for the task-specific hyperparameters and models configurations provided in its official github repository, except reducing the number of encoders in the backbone Transformer (Zaheer et al., 2020; Choromanski et al., 2020) for certain tasks to allow more efficient learning. The details of the hyperparameters and model configurations are presented in Table 10.

Note, the learning rate, number of epochs, batch size are all consistent with the default settings in LRA for both Big Birds (Zaheer et al., 2020) and Performers (Choromanski et al., 2020). For the rest of model configurations, see (Tay et al., 2020).

E Appendix: Experimental Results

First, the GLUE dev performance at 3.5X, 3X, 2X, and 1.5X speedup are shown in Table 11, 12, 13, and 14. The same conclusion as discussed in Section 6.3 is reached. Similarly, the GLUE dev performance at 70% and 30% space complexity reduction are presented in the Table 15 and 16. In particular, the baseline Average-pool performs the worst in average across the GLUE benchmarks. This aligns with the proposed method from Dai et al. (2020) that a pre-training step is necessary to make the simple pooling method perform competitive. Especially for COLA dataset, Average-pool shows the matthews correlation coefficients at most 25.6 when the speedup is higher than 1.5X. At 30% space reduction in Table 16, the

in Table 18, the advantages for Coreset-select-opt over its baselines becomes smaller, which matches our expectations in the mild sequence-length reduction regime. Note, we do not include accuracy and speedup tables because of insignificant gains observed in speedup due to the shallow architectures of Transformers in LRA and the usage of the slowest coreset based method with \( m = 1 \).
Table 10: LRA hyperparameters and model configurations for Big Bird (left) and Performers (right). Note. The learning rate, number of epoch, and the batch size are all consistent with default settings in LRA (Tay et al., 2020). For the rest of model configurations, please see (Tay et al., 2020).

| Hyperparameters                  | Big Bird | Performers |
|----------------------------------|----------|------------|
|                                  | Cifar 10 | Path Finder 32 | Text Classification | Cifar 10 | Path Finder 32 | Text Classification |
| Learning Rate                    | 5e−4     | 5e−4       | 2.5e−2         | 5e−4     | 5e−4       | 2.5e−2         |
| # of Epoch                       | 200      | 200        | 625            | 200      | 200        | 625            |
| Train & Infer Batch Size         | 256      | 512        | 32             | 256      | 512        | 32             |
| # of Layers                      | 1        | 4          | 1              | 1        | 4          | 1              |
| # of Heads                       | 4        | 2          | 4              | 8        | 8          | 4              |
| Embedding Dimension              | 128      | 64         | 256            | 128      | 32         | 256            |
| Query/Key/Value Dimension        | 64       | 32         | 256            | 64       | 16         | 256            |
| Feedforward Network Dimension    | 128      | 64         | 1024           | 128      | 32         | 1024           |
| Block Size (specific to Big Birds) | 8       | 8          | 64             | –        | –          | –              |

pooling method shows a relatively high Matthews correlation coefficient of 44.6, which is still the worst among all the other methods. We conjecture that the poor performance comes from the following reasons: (1) The pooling method, when applied at a encoder, significantly reduces the sequence length at least by half (as the least sliding window size is 2). Additionally, the COLA dataset has the shortest sequence length in average in the GLUE benchmarks, making the reduced sequence length from the pooling even shorter. This fails the model to learn any useful pattern from the data. For the sequence length distributions of GLUE benchmarks, see Figure 7. (2) The reason the pooling method shows a relatively high Matthews correlation coefficient at 30% space reduction in Table 16 is that the pruning scenario corresponds to a “mild” one where the pooling is applied near the top encoder layer (after 8th encoder) and the corresponded speedup is significantly less than 1.5X. We also observed that the SST-2, which has the second shortest sequence length in average in the GLUE benchmarks, does not suffer similarly as the COLA for the pooling method. We conjecture that this is due to the task of sentiment analysis for SST-2 is much easier than that of judging the grammatical correctness of a sentence for COLA. In summary, the Average-pool method merges the consecutive tokens together, according to the window length. However, in English text usually it is not the case that the consecutive tokens are similar and can be merged into one without significant loss in information.

Second, we demonstrate how to generate the accuracy and inference speedup table as shown in Table 1, 2, 11, and 13. A demonstration for dataset SST-2 and MRPC are presented in Figure 8 and 9, respectively. Specifically, for each sequence-length configuration shown in Table 9, we fine-tune a Transformer model with a token selection method on a GLUE train set. Then we compute accuracy score and inference speedup number on its dev set. A scatter plot of accuracy vs. speedup is made where each point corresponds to a sequence-length configuration. Next, among the scatter plot we find a pareto curve where accuracy scores at speedup $1.5X, 2X, 3X, 3.5X$ are obtained. A linear interpolation is applied based on the pareto curve when necessary. However, we do not extrapolate the results for objective evaluation purpose. For Coreset-select-opt, given a sequence-length configuration we choose the model that has the best accuracy score for $m \in \{0.1 \cdot k, 0.2 \cdot k, 0.3 \cdot k, 0.4 \cdot k\}$.

Third, for LRA, the results of accuracy scores for space complexity reduction at 70% and 30% are presented in Table 17 and 18. At low space complexity reduction 30%, the advantages for Coreset-select-opt over its baselines becomes
smaller, which matches our expectations in the mild sequence-length reduction regime.

F Appendix: Experimental Details for Figure 1 in Section 3

A preliminary study of token embeddings show that as the embeddings propagate through the pipeline of encoders, they become more and more similar to the CLS token, Figure 1 (top row). A deeper investigation shows that they also become more and more similar with each other and form clusters among themselves, Figure 1 (bottom row).

To obtain Figure 1, we examine token embeddings of the SST-2 dev set after fine-tuning a BERT\textsubscript{Base} on its train set. For Figure 1 (top row), we compute the histogram of cosine similarity between the embedding of CLS and that of all the other tokens in encoder 1, 6, and 12, respectively. For Figure 1 (bottom row), we apply DBSCAN (Ester et al., 1996) to cluster the embeddings of tokens in encoder 1, 6, and 12 respectively. For the hyperparameters of DBSCAN, the maximum distance $\epsilon$ of two points in a cluster, the minimum number of points required to form a cluster are set as 0.2 and 1. The distance metric is cosine dissimilarity.
Table 11: GLUE dev performance at 3.5X speedup. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is emboldened for each column. Note, missing values indicate that no accuracy score is observed for each column.

| METHOD                     | STS-B  | MRPC   | SST-2  | QNLI   | COLA   | RTE   | MNLI_M | MNLI_MM | QQP   | MEAN |
|----------------------------|--------|--------|--------|--------|--------|-------|--------|---------|-------|------|
| Input-First-K-Select       | 80.6 ± 0.2 | –      | –      | 80.5 ± 0.1 | 47.9 ± 0.5 | –      | 75.9 ± 0.1 | 74.5 ± 0.1 | –     | 71.9 |
| First-K-Select             | 80.3 ± 0.2 | –      | –      | 80.5 ± 0.1 | 47.9 ± 0.5 | –      | 75.5 ± 0.1 | 74.7 ± 0.1 | –     | 71.8 |
| Random-Select              | 85.7 ± 0.2 | –      | –      | 96.2 ± 0.1 | 48.9 ± 0.5 | –      | 80.2 ± 0.1 | 78.1 ± 0.1 | –     | 75.8 |
| Average-Pool               | 77.6 ± 0.1 | –      | –      | 84.0 ± 0.1 | 0.0 ± 0.0  | –      | 73.3 ± 0.1 | 74.7 ± 0.0 | –     | 61.9 |
| Attention-Select           | 85.3 ± 0.1 | –      | –      | 86.7 ± 0.1 | 51.0 ± 0.4 | –      | 81.1 ± 0.1 | 81.4 ± 0.1 | –     | 77.1 |
| Coreset-Select-CLS (ours)  | 86.6 ± 0.1 | –      | –      | 87.5 ± 0.1 | 51.1 ± 0.3 | –      | 82.2 ± 0.1 | 82.4 ± 0.1 | –     | 77.9 |
| Coreset-Select-Opt (ours)  | 86.6 ± 0.1 | –      | –      | 87.5 ± 0.1 | 52.7 ± 0.3 | –      | 82.2 ± 0.1 | 82.4 ± 0.1 | –     | 78.3 |
| **BERTbase**               | 87.9 ± 0.2 | –      | –      | 90.9 ± 0.1 | 53.3 ± 0.5 | –      | 84.0 ± 0.1 | 84.6 ± 0.1 | –     | 80.2 |

Table 12: GLUE dev performance at 3X speedup. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is emboldened for each column.

| METHOD                     | STS-B  | MRPC   | SST-2  | QNLI   | COLA   | RTE   | MNLI_M | MNLI_MM | QQP   | MEAN |
|----------------------------|--------|--------|--------|--------|--------|-------|--------|---------|-------|------|
| Input-First-K-Select       | 86.4 ± 0.1 | 81.4 ± 0.2 | 83.8 ± 0.2 | 84.8 ± 0.0 | 49.7 ± 0.5 | 61.5 ± 0.5 | 77.8 ± 0.1 | 75.9 ± 0.0 | 80.8 ± 0.1 | 76.0 |
| First-K-Select             | 86.4 ± 0.1 | 80.9 ± 0.2 | 84.4 ± 0.2 | 84.4 ± 0.1 | 49.7 ± 0.5 | 61.5 ± 0.5 | 76.7 ± 0.1 | 75.6 ± 0.1 | 80.4 ± 0.0 | 76.1 |
| Random-Select              | 86.8 ± 0.1 | 83.2 ± 0.3 | 85.6 ± 0.3 | 86.4 ± 0.1 | 49.5 ± 0.5 | 62.1 ± 0.8 | 81.4 ± 0.1 | 78.7 ± 0.1 | 87.0 ± 0.1 | 77.9 |
| Average-Pool               | 81.6 ± 0.1 | 83.9 ± 0.3 | 85.2 ± 0.2 | 84.1 ± 0.1 | 3.0 ± 0.6 | 59.2 ± 0.5 | 75.4 ± 0.1 | 76.7 ± 0.1 | 79.4 ± 0.0 | 69.6 |
| Attention-Select           | 87.0 ± 0.1 | 84.6 ± 0.3 | 86.0 ± 0.2 | 86.8 ± 0.1 | 51.1 ± 0.4 | 61.4 ± 0.6 | 82.5 ± 0.1 | 82.7 ± 0.1 | 87.3 ± 0.1 | 79.0 |
| Coreset-Select-CLS (ours)  | 87.0 ± 0.1 | 86.2 ± 0.1 | 87.3 ± 0.1 | 87.8 ± 0.1 | 51.7 ± 0.3 | 63.7 ± 0.5 | 82.4 ± 0.1 | 82.6 ± 0.1 | 87.3 ± 0.0 | 79.6 |
| Coreset-Select-Opt (ours)  | 87.0 ± 0.1 | 86.9 ± 0.2 | 89.6 ± 0.1 | 87.8 ± 0.1 | 52.8 ± 0.3 | 63.7 ± 0.5 | 82.5 ± 0.1 | 82.7 ± 0.1 | 87.3 ± 0.0 | 80.0 |
| **BERTbase**               | 87.9 ± 0.2 | 87.3 ± 0.2 | 92.4 ± 0.1 | 90.9 ± 0.1 | 53.3 ± 0.5 | 65.8 ± 0.5 | 84.0 ± 0.1 | 84.6 ± 0.1 | 87.5 ± 0.1 | 81.5 |

Table 13: GLUE dev performance at 2X speedup. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is emboldened for each column.

| METHOD                     | STS-B  | MRPC   | SST-2  | QNLI   | COLA   | RTE   | MNLI_M | MNLI_MM | QQP   | MEAN |
|----------------------------|--------|--------|--------|--------|--------|-------|--------|---------|-------|------|
| Input-First-K-Select       | 87.8 ± 0.2 | 82.2 ± 0.2 | 91.8 ± 0.1 | 90.4 ± 0.1 | 51.9 ± 0.5 | 65.2 ± 0.5 | 81.9 ± 0.1 | 82.2 ± 0.1 | 85.4 ± 0.1 | 79.9 |
| First-K-Select             | 87.8 ± 0.2 | 82.1 ± 0.2 | 91.2 ± 0.1 | 90.4 ± 0.1 | 51.9 ± 0.5 | 64.9 ± 0.5 | 81.9 ± 0.1 | 82.2 ± 0.1 | 84.9 ± 0.0 | 79.7 |
| Random-Select              | 87.8 ± 0.2 | 85.6 ± 0.3 | 86.6 ± 0.1 | 86.1 ± 0.1 | 11.2 ± 0.7 | 60.2 ± 0.6 | 76.3 ± 0.0 | 82.9 ± 0.0 | 82.1 ± 0.0 | 73.4 |
| Average-Pool               | 87.8 ± 0.1 | 86.8 ± 0.2 | 91.0 ± 0.1 | 90.4 ± 0.1 | 53.2 ± 0.5 | 65.7 ± 0.6 | 83.6 ± 0.1 | 84.1 ± 0.1 | 87.3 ± 0.1 | 81.1 |
| Attention-Select           | 87.6 ± 0.1 | 86.6 ± 0.2 | 901.0 ± 0.1 | 89.4 ± 0.1 | 53.2 ± 0.5 | 64.1 ± 0.5 | 83.9 ± 0.1 | 84.3 ± 0.1 | 87.5 ± 0.1 | 80.7 |
| Coreset-Select-CLS (ours)  | 87.9 ± 0.1 | 87.2 ± 0.1 | 92.4 ± 0.1 | 89.4 ± 0.1 | 53.2 ± 0.5 | 64.9 ± 0.9 | 83.9 ± 0.1 | 84.3 ± 0.1 | 87.5 ± 0.0 | 81.2 |
| Coreset-Select-Opt (ours)  | 87.9 ± 0.2 | 87.3 ± 0.2 | 92.4 ± 0.1 | 90.9 ± 0.1 | 53.3 ± 0.5 | 65.8 ± 0.5 | 84.0 ± 0.1 | 84.6 ± 0.1 | 87.5 ± 0.1 | 81.5 |
| **BERTbase**               | 87.9 ± 0.2 | 87.3 ± 0.2 | 92.4 ± 0.1 | 90.9 ± 0.1 | 53.3 ± 0.5 | 65.8 ± 0.5 | 84.0 ± 0.1 | 84.6 ± 0.1 | 87.5 ± 0.1 | 81.5 |

Table 14: GLUE dev performance at 1.5X speedup. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is emboldened for each column.
Table 15: GLUE dev performance at at 70% space complexity reduction. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is embolden for each column.

| METHOD                  | STS-B | MRPC  | SST-2 | QNLI | COLA | RTE  | MNLI-M | MNLI-MM | QQP  | MEAN |
|-------------------------|-------|-------|-------|------|------|------|--------|--------|------|------|
| Input-first-k-select    | 85.3±0.1 | 81.3±0.2 | 83.3±0.2 | 84.6±0.0 | 49.0±0.4 | 62.1±0.5 | 79.5±0.1 | 74.9±0.1 | 80.6±0.1 | 75.3 |
| First-k-select          | 85.1±0.1 | 81.5±0.2 | 84.6±0.2 | 84.3±0.1 | 49.0±0.4 | 62.0±0.5 | 76.3±0.1 | 74.5±0.1 | 80.0±0.0 | 75.3 |
| Random-select           | 85.6±0.2 | 83.3±0.3 | 84.9±0.2 | 85.1±0.1 | 48.4±0.4 | 61.8±0.5 | 79.0±0.1 | 79.3±0.1 | 86.6±0.1 | 77.1 |
| Average-Pool            | 78.7±0.1 | 83.1±0.2 | 85.1±0.2 | 84.0±0.1 | 0.0±0.0 | 59.8±0.6 | 75.2±0.1 | 76.3±0.1 | 82.9±0.1 | 69.5 |
| Attention-select        | 85.4±0.1 | 84.3±0.2 | 87.2±0.1 | 96.4±0.1 | 50.9±0.4 | 62.7±0.4 | 80.5±0.1 | 80.7±0.1 | 87.0±0.1 | 78.3 |
| CoreSet-CLS (ours)      | 86.5±0.1 | 86.0±0.1 | 86.8±0.1 | 86.6±0.1 | 51.0±0.4 | 63.6±0.4 | 80.9±0.1 | 81.6±0.1 | 87.2±0.1 | 79.0 |
| CoreSet-Opt (ours)      | 86.7±0.1 | 86.4±0.2 | 87.7±0.1 | 86.5±0.1 | 52.3±0.4 | 63.6±0.4 | 81.0±0.1 | 81.8±0.1 | 87.3±0.0 | 79.3 |

BERTBase

87.9±0.2 87.3±0.2 924.0±1 90.9±0.1 53.3±0.5 65.8±0.5 84.0±0.1 84.6±0.1 87.5±0.1 81.5 |

Table 16: GLUE dev performance at at 30% space complexity reduction. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is embolden for each column.

| METHOD                  | STS-B | MRPC  | SST-2 | QNLI | COLA | RTE  | MNLI-M | MNLI-MM | QQP  | MEAN |
|-------------------------|-------|-------|-------|------|------|------|--------|--------|------|------|
| Input-first-k-select    | 87.9±0.2 | 87.2±0.2 | 91.8±0.1 | 90.8±0.1 | 53.0±0.5 | 65.2±0.5 | 84.0±0.1 | 84.3±0.1 | 87.1±0.2 | 81.3 |
| First-k-select          | 87.9±0.2 | 87.2±0.1 | 91.3±0.1 | 90.9±0.1 | 53.0±0.5 | 65.2±0.5 | 84.0±0.1 | 84.3±0.1 | 87.4±0.1 | 81.2 |
| Random-select           | 87.8±0.1 | 87.1±0.2 | 92.1±0.1 | 90.9±0.2 | 53.1±0.4 | 65.7±0.5 | 83.9±0.1 | 84.4±0.1 | 87.4±0.2 | 81.4 |
| Attention-select        | 87.9±0.1 | 87.4±0.1 | 92.3±0.1 | 90.7±0.1 | 53.2±0.4 | 65.7±0.5 | 83.9±0.1 | 84.4±0.1 | 87.5±0.2 | 81.4 |
| CoreSet-CLS (ours)      | 87.7±0.1 | 87.3±0.2 | 92.4±0.1 | 90.9±0.1 | 53.2±0.4 | 65.6±0.5 | 84.0±0.1 | 84.3±0.1 | 87.5±0.1 | 81.4 |
| CoreSet-Opt (ours)      | 87.9±0.1 | 87.3±0.2 | 92.4±0.1 | 90.9±0.1 | 53.2±0.4 | 65.6±0.5 | 84.0±0.1 | 84.3±0.1 | 87.5±0.1 | 81.5 |

BERTBase

87.9±0.2 87.3±0.2 924.0±1 90.9±0.1 53.3±0.5 65.8±0.5 84.0±0.1 84.6±0.1 87.5±0.1 81.5 |

Table 17: LRA test set performances at 70% space complexity reduction for Big Bird (left) and Performers (right) as the backbone Transformer. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is embolden for each column.

| METHOD                  | BIG BIRD | PERFORMERS |
|-------------------------|----------|------------|
| METHOD                  | CIFAR-10 | PATHFINDER-32 | IMDB-BYTE-LEVEL | MEAN | CIFAR-10 | PATHFINDER-32 | IMDB-BYTE-LEVEL | MEAN |
| First-k-select          | 26.9±0.2 | 55.6±0.2 | 57.9±0.1 | 46.8 | 26.9±0.1 | 52.4±0.2 | 59.9±0.1 | 46.4 |
| Random-select           | 39.4±0.1 | 69.9±0.2 | 59.6±0.1 | 56.3 | 41.5±0.2 | 58.2±0.1 | 59.9±0.1 | 54.2 |
| CoreSet-CLS (ours)      | 43.8±0.2 | 71.7±0.1 | 61.4±0.2 | 58.8 | 45.5±0.1 | 67.7±0.1 | 62.8±0.1 | 58.7 |
| CoreSet-Opt (ours)      | 43.7±0.1 | 72.3±0.2 | 62.7±0.1 | 59.6 | 46.1±0.1 | 68.5±0.2 | 63.9±0.1 | 59.5 |
| Trans-No-Prune          | 40.9±0.1 | 73.5±0.2 | 63.8±0.1 | 59.4 | 42.9±0.1 | 66.2±0.2 | 64.3±0.1 | 57.8 |

Table 18: LRA test set performances at 30% space complexity reduction for Big Bird (left) and Performers (right) as the backbone Transformer. Each score with standard deviation is averaged over 20 trials. Larger score indicates better performance. The best score among each token selection method is embolden for each column.

| METHOD                  | BIG BIRD | PERFORMERS |
|-------------------------|----------|------------|
| METHOD                  | CIFAR-10 | PATHFINDER-32 | IMDB-BYTE-LEVEL | MEAN | CIFAR-10 | PATHFINDER-32 | IMDB-BYTE-LEVEL | MEAN |
| First-k-select          | 37.2±0.1 | 69.7±0.2 | 62.8±0.1 | 56.6 | 37.6±0.1 | 64.6±0.2 | 63.0±0.1 | 55.1 |
| Random-select           | 41.1±0.2 | 70.0±0.2 | 62.5±0.1 | 57.9 | 41.2±0.2 | 65.6±0.2 | 63.3±0.1 | 57.4 |
| CoreSet-CLS (ours)      | 40.4±0.2 | 73.8±0.2 | 62.1±0.1 | 58.3 | 41.9±0.2 | 66.6±0.1 | 63.1±0.1 | 57.2 |
| CoreSet-Opt (ours)      | 43.7±0.1 | 73.2±0.2 | 62.7±0.1 | 59.6 | 46.1±0.1 | 68.5±0.2 | 63.9±0.1 | 59.5 |
| Trans-No-Prune          | 40.9±0.1 | 73.5±0.2 | 63.8±0.1 | 59.4 | 42.9±0.1 | 66.2±0.2 | 64.3±0.1 | 57.8 |
Figure 8: SST-2: Pareto curves and scatter plots for accuracy vs. inference speedup trade-off. Each point corresponds to a sequence-length configuration in Table 9. The pareto curves are used to generate accuracy vs. speedup Table 1, 2, 11, 13.

Figure 9: MRPC: Pareto curves and scatter plots for accuracy vs. inference speedup trade-off. Each point corresponds to a sequence-length configuration in Table 9. The pareto curves are used to generate accuracy vs. speedup Table 1, 2, 11, 13.