Potassium Poly(Heptazine Imide): Transition Metal-Free Solid-State Triplet Sensitizer in Cascade Energy Transfer and [3+2]-cycloadditions

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Abstract: Polymeric carbon nitride materials have been used in numerous light-to-energy conversion applications ranging from photocatalysis to optoelectronics. For a new application and modelling, we first refined the crystal structure of potassium poly(heptazine imide) (K-PHI)—a benchmark carbon nitride material in photocatalysis—by means of X-ray powder diffraction and transmission electron microscopy. Using the crystal structure of K-PHI, periodic DFT calculations were performed to calculate the density-of-states (DOS) and localize intra band states (IBS). IBS were found to be responsible for the enhanced K-PHI absorption in the near IR region, to serve as electron traps, and to be useful in energy transfer reactions. Once excited with visible light, carbon nitrides, in addition to the direct recombination, can also undergo singlet–triplet intersystem crossing. We utilized the K-PHI centered triplet excited states to trigger a cascade of energy transfer reactions and, in turn, to sensitize, for example, singlet oxygen (\(\text{^1}O_2\)) as a starting point to synthesis up to 25 different N-rich heterocycles.

Introduction

Artificial photosynthesis has been the primary area of inorganic semiconductors application in chemistry for many years.\(^[1–3]\) Similarly, carbon nitrides are traditionally associated with water splitting and CO\(_2\) conversion.\(^[4–6]\) In fact, the evolution of hydrogen from water in the case of polymeric carbon nitride impacted work in related areas, such as photoelectrochemical cells,\(^[7]\) metal-free electrodes,\(^[8,9]\) and electroluminescence devices.\(^[10]\) Applications beyond the aforementioned are autonomous actuators,\(^[11]\) photodetectors based on photon driven ion transport in asymmetric carbon nitride membranes,\(^[12]\) and light-driven ion pump.\(^[13]\) Polymeric carbon nitride materials have also been recognized as versatile and reliable heterogeneous photocatalysts for preparing value-added organic compounds.\(^[14,15]\) Ghosh, König et al. showed, for example, that mesoporous graphitic carbon nitride (mpg-CN) enables various kinds of reactions and also enables one-pot C–H bifunctionalization of organic molecules.\(^[16]\) Extending this first generation catalysts, potassium poly(heptazine imide) (K-PHI), a crystalline carbon nitride material, facilitates a remarkable number of unique reactions. Leading examples are oxidative thiolation of toluene at room temperature\(^[17]\) and multiple tandem reactions.\(^[18,19]\) It is also capable to store electrons.\(^[20,21]\)

In the context of carbon nitride photocatalysts, the overwhelming majority of reactions is based on electron transfer. Energy transfer, on the other hand, generates excited-state molecules rather than charged radicals. Therefore, new reaction paths can be designed.\(^[22]\) In terms of dioxygen, one of the greenest oxidants in organic synthesis and simplest bimodal reactant, one-electron reduction leads to the superoxide radical (O\(_2^-\)), while energy transfer from a triplet excited state sensitizer affords singlet oxygen (\(\text{^1}O_2\)). The chemistry of O\(_2^-\) and \(^1O_2\) could not be more different.\(^[23]\) O\(_2^-\) participates in proton abstraction, disproportionation, or...
nucleophilic substitution reactions,\textsuperscript{[24]} while the most prominent reactions of \textsuperscript{1}O\textsubscript{2} are Diels–Alder cycloaddition and formation of dioxetanes.\textsuperscript{[25–27]}

Numerous small organic compounds have been reported to sensitize \textsuperscript{1}O\textsubscript{2}: \text{Ir(ppy)}\textsubscript{3}\textsuperscript{[26]} \text{Ru(bpy)}\textsubscript{3}Cl\textsubscript{2}\textsuperscript{[29]} \text{Mes-Acr}\textsuperscript{[23]} \text{riboflavin tetraacetate (RFT)},\textsuperscript{[30]} just to name a few. Ease of separation, higher thermo-chemical stability, and possible application on large scale make solid-state sensitizers more attractive than homogeneous analogues. \pi-conjugated triplet sensitizers have been a topic of research in past decades and have been quite successfully used in areas such as light energy conversion, LEDs fabrication,\textsuperscript{[31,32]} photon upconversion,\textsuperscript{[33–35]} cells imaging.\textsuperscript{[36]} However, only few examples are known using such materials in photocatalysis.\textsuperscript{[37]} Notable is that their use has been restricted to “model” reactions.\textsuperscript{[38]} Most of these solid-state sensitizers are made out of soft polymer matrices with encapsulated platinum group metal complexes.\textsuperscript{[39]}

To date the modus operandi of carbon nitrides has always been linked to electron-transfer reactions, while energy-transfer reactions in most cases have not been even considered. Replacing hazardous and toxic oxidants by simpler and more sustainable chemicals, such as \textsuperscript{1}O\textsubscript{2}, is a central topic of contemporary research. By virtue of \textsuperscript{1}O\textsubscript{2} mediated reactions, which are surprisingly restricted to the synthesis of model compounds only, many questions and challenges evolve around the function of carbon nitrides. Finally, we envisioned bimodal photocatalysis, featuring a redox mediator, which is also a photosensitizer, integrated into the same material, as a tool to intensify research applied in the synthesis of organic molecules.

Herein, we address a number of questions using K-PHI (Figure 1). We refine the crystal structure of nanocrystalline K-PHI, giving special attention to understanding the defects structure of this compound, and provided unambiguous evidence that light-excited K-PHI indeed undergoes singlet-triplet intersystem crossing (ISC).

As such, we employ a cascade of energy-transfer reactions, starting with the K-PHI triplet excited states to \textsuperscript{1}O\textsubscript{2} and a subsequent quenching with aldoximes. Interaction of \textsuperscript{1}O\textsubscript{2} with aldoximes gives nitrile oxides, which triggered our interest in exploring the synthesis of various oxadiazoles-1,2,4 and isoxazoles via dipolar [3+2]-cycloaddition.

**Results and Discussion**

K-PHI was prepared from 5-aminotetrazole in LiCl/KCl eutectics using mechanochemical pre-treatment of the respective precursors,\textsuperscript{[40]} while details regarding its characterization are given in Figure S1 in the Supporting Information. At first glance, the collected X-ray powder diffraction patterns of K-PHI revealed both anisotropy in the shape of the Bragg peaks (in the 2\(\theta\) range 20–42\(^\circ\)) and a clearly pronounced diffuse halo, thus pointing towards the presence of defects/disorder (Figure 2a). Having a better understanding of the real structure of K-PHI and the degree of structural disorder is considered essential for explaining and tuning K-PHI properties.

To start building a structural model, a high-resolution transmission electron microscopy (HRTEM) study was performed. K-PHI powder consists of lamellar nanocrystallites, which form large agglomerates (Figure 3a). Fast Fourier Transforms (FFTs) obtained from the HRTEM images can be indexed in a hexagonal lattice with unit cell parameters \(a = 11.4(8)\) Å and \(c = 3.7(2)\) Å. HRTEM images reveal a layered structure with nanometer-sized domains that display unit cell
distortions, faults in the sequences of CN-layer stacking, edge and screw dislocations as well as rippling of CN-layers (Figure 3).

The XRD pattern of K-PHI can be correspondingly indexed in a hexagonal lattice with unit cell parameters: 
\[ a = 12.637(3) \text{ Å}, \ c = 3.2998(3) \text{ Å}, \] space group \( P31m \) (157), which is in good agreement with TEM data. Based on HRTEM, XRD data, and general assumptions about the structure from earlier work,\(^\text{[43]}\) the starting model for refinement was proposed to consist of heptazine units, which are placed on top of each other in a so-called AAA stacking forming continuous channels along the \( c \) direction. Models with different CN-layers stacking, in particular ccp (ABCABC), hcp (ABAB) and mixed stacking (AABB, etc.) allowed the positions and the broadening of the peaks to be partially described, but did not give a better description of the XRD pattern, suggesting the presence of stacking faults rather than a second structural modification in the sample. Potassium atoms were located closer to the center of the channels and in between layers; their positions were corrected further during refinement based on difference Fourier maps. The details of the refinement, refined atomic coordinates, and temperature factors can be found in Tables S1 and S2.

Our results are in good agreement with the model presented by Lotsch et al.\(^\text{[43]}\) However, we believe that describing the nanocrystalline sample based on the model obtained for larger crystals\(^\text{[42]}\) would not be totally correct in our case. We think that a high symmetry description with defects and disorder included is closer to the real structure of the nanocrystalline sample and assists in explaining the high catalytic activity of K-PHI.

From Table S2 we derive that the highest probability of finding any K atoms corresponds to the K(1) positions (brown spheres on Figure 2), suggesting that K atoms tend to sit closer to the center of the channels so that they can form bonds with bridging nitrogen atoms N(1).

Note that the structural model obtained is still idealized; in the real compound, there is a high degree of disorder, which is associated with 1) the stacking of PHI layers, and 2) a disordered distribution of K atoms (the low probabilities to find K(2) and K(3) at particular position suggests that atoms can be randomly distributed in between layers). Taking earlier reports into account, an optimum size of K-PHI crystallites exists in terms of highest-performing photocatalysis, which is based on electron transfer, such as dehydrogenation of alcohols.\(^\text{[40]}\)

Diffuse reflectance UV-vis (DRUV-vis) spectrum suggests that in the K-PHI structure at least two band gaps exist (Figure 4 a). Of great relevance is the onset of absorption with an energy of 2.64 eV; it relates to the intrinsic optical band gap seen typically for graphitic carbon nitrides.\(^\text{[4]}\) A smooth onset at around 1.86 eV stands for low-energy transitions, which involve intraband states (IBS).\(^\text{[43]}\)

Room-temperature steady-state phosphorescence spectrum of K-PHI is more complex compared to, for example, \( g\)-CN\(_x\),\(^\text{[43]}\) and involves at least 4 major transitions. The peaks at 2.76 eV, 2.53 eV, and 2.43 eV correspond to CBM-to-VBM transitions whereas that at 2.05 eV is assigned to CBM-to-IBS transitions (Figure 4b).

To correlate the 600 ps \( \tau_{\text{PL}}\),\(^\text{[17]}\) which is significantly shorter than typically observed for carbon nitride materials, (normally over 1 ns), with the photocatalytic activity of K-PHI (shown below), we performed further spectroscopic characterization. The fluorescence internal quantum efficiency (IQE) of K-PHI is 0.072 %. Relatively low IQE values speak for non-radiative deactivations in carbon nitride once in the singlet excited state. Taking the extremely short fluorescence lifetime of 600 ps into account, we conclude that only 1 out of 1000 excitation separation events leads to recombination and that the vast majority of excitons reaches non-radiative trap states in a matter of sub-nanosecond time frame. However, it still remains unclear what is the quantum state of those.

By applying a 10 ns delay, the phosphorescence spectrum was recorded (Figure 4b). The phosphorescence features are red-shifted compared to the fluorescence. Given that fluorescence spectrum of K-PHI comprises at least four transitions, with 47.7% contribution of the peak at 2.05 eV to the total fluorescence (Table S3), ISC is more favorable when additional states are associated with this transition. In this view, the singlet-triplet energy gap in K-PHI was calculated to be 0.2 eV (Figure 4b). Earlier reported singlet-triplet energy gaps for graphitic carbon nitride range from 0.156 to 0.248 eV.\(^\text{[36]}\)

To address the question on the quantum state of excitons in non-radiative traps and to obtain insights into the excited state dynamics in K-PHI, we conducted transient absorption measurements (Figure 4c and Figure S6). Upon excitation at 387 nm, a broad minimum centered at 525 nm and a maximum

**Figure 3.** HRTEM images of K-PHI: a) agglomerates of lamellar crystallites, b),c) view along (001) direction and d) corresponding FFT, e),f) view along (010) direction and g) corresponding FFT. White arrows indicate dislocations and rippling of CN-layers.
at $\lambda > 1100$ nm evolve. The minimum is assigned to ground state bleaching of the singlet excited state, while the maximum is assigned to excited-state absorptions. Within a few hundred picoseconds, all of the aforementioned features transform into a broad negative transient stretching over the entire spectrum and minimizing at around 600 nm. This signal decays to zero after 10 $\mu$s. The steady deactivation of the short-lived transient together with the evolution of the long-lived transient points to a population of atriplet from the former singlet excited state.

Multi-wavelength analysis of the time-absorption profiles yields five lifetimes (Figure 4d). The ground-state recovery from the singlet excited state occurs with two lifetime components of $t_1 = 1.4$ ps and $t_2 = 12.1$ ps. A triplet excited state is populated via intersystem crossing within a lifetime of $t_3 = 470$ ps. The triplet finally deactivates with two lifetime components $t_4 = 161$ ns and $t_5 = 1.9$ $\mu$s. The presence of two lifetime components indicates the presence IBS.

Such a complex excited-state dynamics of K-PHI is very different from a carbon nitride reported by Wu et al. and Durrant et al., who described the excited state deactivation by a single exponential fitting function. On the other hand, Xie et al. also observed by TAS formation of triplet states in carbon nitride, albeit intersystem crossing occurs within a few picoseconds. Figure 4e summarizes the excited state dynamics in K-PHI.

To explore the potential of the non-radiative triplet excited states in K-PHI to sensitize, for example, $^{1}$O$_2$, we monitored the emission of K-PHI suspension in MeCN saturated with O$_2$ at 1271 nm. Indeed, we recorded the distinct $^{1}$O$_2$ fluorescence pattern (Figure 4f). mpg-CN was also able to produce $^{1}$O$_2$ albeit with significantly lower efficiency. Sensitization of $^{1}$O$_2$ thereby evidences that triplet states in carbon nitride materials may be used for energy transfer in photocatalytic reactions as will be shown below.

To characterize the non-radiative trap states, we invoked theoretical modelling of the K-PHI structure. To date, the density functional theory (DFT) modeling has been carried out for diverse carbon nitrides. Considering, however, the unique chemical structure of K-PHI, we want to obtain a cohesive picture of its electronic structure.

Using the refined crystal structure, we performed periodic DFT calculations to obtain the total and partial density of states (DOS) of K-PHI. For our calculations, we took the K-PHI structure bearing three K(1) potassium atoms (those with a fraction 0.7032 in Table S2, brown spheres on Figure 2b). The DOS profile (Figure 5a) reveal that the conduction band of K-PHI is formed by s- and p-orbitals of nitrogen (55%) and carbon (26%) followed by potassium s, p, and d-orbitals (19%) with the edge located at $-0.81$ eV. The experimentally determined flat-band potential is $-0.50$ V versus the normal- ized hydrogen electrode (NHE).

By means of ultraviolet photoelectron spectroscopy (UPS), the valence band maximum is determined at +2.68 eV (Figure 5b). However, signal starts to develop at around +1.45 eV. This is indicative for the existence of IBS.
Taking UPS and DRUV-vis data as well as theoretical DOS modeling of K-PHI (Figure 5a) into account, we conclude that the IBS are located at approximately +1.45 eV. In the DOS of K-PHI, IBS are observed as an “island” emerging at +1.00 eV and stretching up to +2.42 eV (Figure 5a,c). Presence of a “valley” between +2.42 eV and +2.63 eV underlines that the states are well-separated from the VB. The IBS are not caused by the introduction of potassium itself, as their corresponding contributions in the range of energies from +0.93 to +2.5 eV are negligibly small (0.48%). Instead, we ascribe them to n-π* transitions.\[48\]

In K-PHI, the heptazine units are arranged in large macrocycles and each of them is formed by 6 heptazine units (Figure 2b). Therefore, the K-PHI structure is more flexible compared to the conventional graphitic carbon nitride, in which heptazine units either form a rigid conjugated 2D structure or are best described by chains of heptazine units bound by hydrogen bonds.\[49\] Therefore, ensembles of heptazine units in K-PHI can adopt out-of-plane conformations, which, in turn, facilitates the otherwise restricted n-π* transitions.\[50\]

Figure 5c summarizes the experimentally determined CBM and VBM in K-PHI with that obtained from the DFT calculations. TDOS on the right is given as a guide for CBM, IBS, and VBM. Experimental data set: CB potential determined by Mott-Schottky analysis.\[51\] Uncertainty of IBS onset and VBM determination due to smooth signal onset in UPS and presence of several emissive states near CBM (Figure 4b) are denoted by closely lying levels.

Figure 6. Photocatalytic synthesis of oxadiazoles-1,2,4 and isoxazoles. Conditions: oxime 50 μmol, K-PHI 5 mg, nitrile 3 mL (acetonitrile 3 mL was used in isoxazoles synthesis), air balloon 1 bar, 461 nm (88 mW cm⁻²), T = 35 °C, 24 h. [a] Blue phosphorescent OLEDs precursor.\[52\] [b] A potential drug for treatment of Alzheimer’s disease.\[53\] [c] A pharmaceutical drug (PTC124) precursor to target genetic disorders—was not successful.\[54\] Instead, we succeeded in synthesizing...
the corresponding methyl ester 22. The ester group of the oxadiazole is subjected to hydrolysis under alkaline conditions.[56] Furthermore, we found that the intramolecular cyclization in chalcone oximes is triggered by K-PHI under blue light irradiation. The tentative intermediate, namely 4,5-dihydroisoxasole, is further oxidized to isoxazole 24.

The reaction between benzaldehyde oxime and acetonitrile has been chosen to investigate the mechanism. The apparent quantum yield (AQY) of oxadiazole 11 reached $$(5.8 \pm 0.4) \times 10^{-3} \%$$ after 6 h of irradiation (Figure S11). The redox potentials of the reagents were determined by cyclic voltammetry (CV) in MeCN (Figure 7a). Acetonitrile is stable against oxidation and reduction in the range from $-2.1 \text{ V} \text{ t} o \text{ } +1.9 \text{ V} \text{ v} s \text{ Fc/Fc}^- \text{ (Fc} = [(\eta_5-C_5H_5)_2\text{Fe}]. \text{ Relative to the aforementioned, the standard redox potential of the O/O}^- \text{ couple is centered at } -1.27 \text{ V.}

Given that the potential of the conduction band of K-PHI is located at $-0.9 \text{ V} \text{ v} s \text{ Fc/Fc}^- \text{ (} -0.5 \text{ V} \text{ v} s \text{ NHE)}, \text{ reduction of O}$_2$ to afford O$_{2^-}$ is thermodynamically challenging. Therefore, electrochemical measurements also suggest that $O_2$ sensitization is the preferential path of O$_2$ activation. Depending on the oxime structure, the onset of irreversible oxidation occurs in the range from $+0.51 \text{ V}$ for pyrrole-2-carbonyl oxime 28a, to $+1.67 \text{ V}$ versus Fc/Fc$^-$, for pivaldehyde oxime 9a (Figure S12). Reaction in the presence of electron scavengers, such as nitrobenzene and S$_2$O$_8^-$, excluded participation of the photogenerated holes in the synthesis of oxadiazoles-1,2,4 (Table S6). Addition of DMPO to the reaction mixture did not lead to the formation of the DMPO-O$_2^-$ adduct as evidenced by EPR spectroscopy (Figure S7). On the contrary, synthesis of 9,10-diphenylanthracene endoperoxide (Table S4), $O_2$ fluorescence detection (Figure 4f), and detection of TEMPO radical (Figure 7b) formed in situ from 2,2,6,6-tetramethylpiperidine univocally confirmed participation of $O_2$ in the reaction.[57]

When present in equal amount, benzaldehyde oxime 2a is converted into oxadiazole 11 $2.9 \pm 0.15$ times faster than 2a-d$_1$ (primary kinetic isotope effect, KIE, Figure 7c). The results suggest that breaking of the C–D bond is the rate limiting step. When benzaldehyde oxime 2a was mixed with CH$_3$CN and CD$_3$CN in a 1:1 ratio, under the photocatalytic conditions using K-PHI, CH$_3$-substituted oxadiazole 11 forms $1.1 \pm 0.04$ times faster (secondary KIE) compared to the CD$_3$-substituted 17 oxadiazole. In the case of Ir(ppy)$_3$, the secondary KIE is $1.3 \pm 0.05$. Compared to the homogeneous catalysis, K-PHI reduces the isotope effect due to the polarization of the C–D bonds induced by the electrostatic field on the K-PHI surface. Zeta potential measurements resulted in a value of $-40 \text{ mV}$ for K-PHI.[58]

Even though the vast majority of oximes quench $O_2$ by means of dehydrogenation, a number of electron-rich oximes including 26a–31a fail to undergo click-heterocyclization (Figure S13). Instead, aldehydes are formed as the products of reaction. Once formed, the enriched electron density on the aldehyde adduct as evidenced by EPR spectroscopy (Figure S13). Instead, aldehydes are formed as the products of reaction.

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Even though the vast majority of oximes quench $O_2$ by means of dehydrogenation, a number of electron-rich oximes including 26a–31a fail to undergo click-heterocyclization (Figure S13). Instead, aldehydes are formed as the products of C=N bond cleavage. A likely rationale is based on the formation of an intermediate charge-transfer complex between the electron-rich π-conjugated system (27a–30a) and $O_2$.[59,60] Once formed, the enriched electron density on oxygen facilitates nucleophilic addition to the C=N bond followed by recovery of the aldehyde. Notably, carboxylic or phenolic protons as part of oximes 26a and 31a inhibit the pathway A.[61]

Taking the experimental data into account, we gather a tentative mechanism of $O_2$ quenching by oximes, which is summarized in Figure 7d. Depending on the chemical structure of oxime, its interaction with $O_2$ is likely to follow two different pathways, namely oxidation to the nitrile oxide (pathway A) or addition to the C=N bond (pathway B). Pathway A is operative in most of the studied cases. Pathway B requires electron-rich oximes or oximes bearing...
acids protons. Independent support for our mechanistic conclusions came from solvent-screening tests (Table S10). In the absence of, for example, click-cyclizable nitriles, pathway B for benzaldehyde oxime 2a is activated exclusively. In stark contrast, solvents, which are capable of effectively quenching $^{1}\text{O}_2$, such as 1,4-dioxane, anisole, DMSO, DMF, inhibit both pathways. In the case of 1,4-dioxane, the conversion of oxime 2a is 46% next to 19% of 3,5-diphenyl-1,2,4-oxadiazole, which evolves as the product of nitro oxide dimerization.

**Conclusion**

Our investigations started with determining the crystal structure of K-PHI. We showed that it crystallizes in a hexagonal lattice with unit cell parameters: $a = 12.637$ (3) Å, $c = 3.2998(3)$ Å, space group $P\overline{3}1m$. We described stacking disorder in the PHI layers and the non-uniform distribution of $K^+$ ions in the structure. Still, with highest probability of K atoms to be found in the center of the channels so that they can form bonds with bridging nitrogen atoms N(1). Once the crystal structure of K-PHI was defined, we performed periodic DFT modelling to calculate, the electronic band structure and the density of states. We reached a sound agreement with the experiments in terms of intraband electronic states at around $+1.45$ V as the origin of sizeable absorption in the near-IR enabling energy-transfer reactions. Once excited with visible light, a broad range of photochemical responses sets in. Most interestingly is the fact that K-PHI undergoes significant singlet–triplet intersystem crossing across a 0.20 $eV$ energy gap affording a reasonably long-lived triplet excited state. In terms of dynamics, the combination of TAS and TCSPC enabled deriving 600 ps for the radiative decay of the singlet excited state, 470 ps for the non-radiative intersystem crossing, 2.07 $\mu$s for the non-radiative decay of the triplet excited state.

Our work was rounded off by utilizing the triplet excited states in K-PHI to sensitize $^{1}\text{O}_2$ and, in turn, to employ $^{1}\text{O}_2$ in its reaction with a broad range of oximes. Hereby, two pathways of $^{1}\text{O}_2$ quenching exist: first (pathway A), it is the oxime dehydrogenation, which affords nitro oxide and, second (pathway B), it is the addition to oxime C=N bond, which results in aldehyde formation. When $^{1}\text{O}_2$ quenching is performed via pathway A in, for example, the presence of reactive multiple bonds (C=N, C=C), the nitro oxides undergo [3+2]-cycloaddition. In total, 25 examples of oxadiazoles-1,2,4 and isoxazoles were synthesized in 11–82% yield.

In the context of this work, the developed photocatalytic [3+2]-cycloaddition reaction is applicable for functionalization of polymers, such as those with pendant multiple bonds. At the same time, molecules, other than $^{1}\text{O}_2$, can be used as energy acceptors to broaden classes of organic compounds accessible via carbon nitride photocatalysis. These are ongoing projects.

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**Conflict of interest**

The authors declare no conflict of interest.

**Keywords:** carbon nitrides · organic photocatalysis · potassium poly(heptazine imide) · singlet oxygen · solid-state sensitizer

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[51] For some oximes, $\text{O}_2$ quenching is more complex (Figure S14).