Review

The emergence of picokelvin physics

Xuzong Chen and Bo Fan

Institute of Quantum Electronics, Department of Electronics, School of Electronics Engineering and Computer Science, Peking University, Beijing 100871, People’s Republic of China

E-mail: xuzongchen@pku.edu.cn

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Abstract

The frontier of low-temperature physics has advanced to the mid-picokelvin (pK) regime but progress has come to a halt because of the problem of gravity. Ultracold atoms must be confined in some type of potential energy well: if the depth of the well is less than the energy an atom gains by falling through it, the atom escapes. This article reviews ultracold atom research, emphasizing the advances that carried the low-temperature frontier to 450 pK. We review microgravity methods for overcoming the gravitational limit to achieving lower temperatures using free-fall techniques such as a drop tower, sounding rocket, parabolic flight plane and the International Space Station. We describe two techniques that promise further advancement—an atom chip and an all-optical trap—and present recent experimental results. Basic research in new regimes of observation has generally led to scientific discoveries and new technologies that benefit society. We expect this to be the case as the low-temperature frontier advances and we propose some new opportunities for research.

Keywords: picokelvin (pK), laser cooling, evaporative cooling, delta kick cooling, two-stage cooling, atom chip, full optical trap

(Some figures may appear in colour only in the online journal)

1. Introduction

Various temperature regimes correspond to different energy scales with distinctive classes of phenomena. Consequently, the achievement of ever lower temperatures has been a continuing goal for physicists. Superconductivity [1] and superfluidity [2] were discovered at temperatures near 1 K. The invention of laser cooling opened the way to the microkelvin regime [3–10] and to a new world of atomic quantum fluids including Bose–Einstein condensates (BECs) [11–14] and degenerate Fermi gases [15, 16]. Evaporative cooling further reduced the temperature of an atomic gas to the nanokelvin regime [11–14]. Loading degenerate atoms at nanokelvin temperatures into optical lattices made quantum simulations possible [17–31], including the phase transition from a superfluid to the Mott state [19], the topological phase transition according to the Haldane model [27] and quantum dynamic phase transitions [30, 31]. Low temperatures have also facilitated the application of new technologies. Cryogenic technology at a few kelvin enabled nuclear magnetic resonance imaging [32], superconducting quantum interference devices (SQUIDs) for high-sensitivity detection [33] and magnetic train suspension [34]. Atomic interferometry can be used to detect groundwater through gravitational anomalies [35]. Cold atoms at microkelvin temperatures enabled the creation of atomic fountain clocks with an uncertainty of one second per 30 million years [36] and can be used in global positioning and communication systems. Optical lattice clocks operating with atoms in optical lattices, such as the strontium light clock [37], can achieve an uncertainty of one second per 10 billion years, paving the way to new applications in precision frequency and length metrology.

Moving from nanokelvin to picokelvin temperatures presents a new challenge. Because laser cooling is the basis of
achieve picokelvin temperatures, we briefly review the laser cooling techniques that brought us to today’s frontier:

- Reducing the speed of atoms moving along the axis of an atomic beam by the retarding force of light by Phillips et al [3, 4, 10].
- Three-dimensional cooling of an atomic gas through the creation of an ‘optical molasses’ (Chu, Ashkin [5, 8]).
- Trapping and cooling atoms using the magneto-optical trap (Pritchard et al [6]).
- Cooling to the microkelvin regime by ‘Sisyphus cooling’ (Cohen-Tannoudji and Chu [7–9]).
- Evaporative cooling (Hess [38, 39]).
- Discovery of BECs in 1995 using evaporative cooling and subsequent cooling into the nanokelvin regime by Chu and Wieman and by Ketterle [11–14].
- Achievement of 0.45 pK temperatures with magnetic field gradients to counter gravity and then using final cooling by adiabatic expansion of the trap by Ketterle [40].

The concept of temperature implies thermal equilibrium; gaseous systems require the energy distribution of the particles to be the same in all directions. For a real atomic gas system, the idea of ‘effective temperature’ is introduced in some cases, defined by the width of the atomic velocity distribution in the system along a particular axis. This velocity may be much lower than the average velocity of the atoms in the system. For example, Raman cooling [41, 42] and velocity-selective coherence population trapping [43] can cause some atoms in the system or atoms moving in one direction to obtain nanokelvin or picokelvin temperatures. The delta-kick cooling (DKC) approach is an effective two-dimensional cooling method [44]. In 2015, Kasevich’s team used the transverse DKC approach in an atomic interferometer experiment to reduce the effective temperature corresponding to the transverse velocity of the launched atomic sample to 40 pK but retained the temperature of 1 nK in the longitudinal direction [45].

Obtaining picokelvin and femtikelvin temperatures in three-dimensional systems naturally generated ideas for eliminating the effects of gravity by cooling atoms in an apparatus under free-fall conditions, termed microgravity.

In 2006, Ertmer and Rasel of Germany used a drop tower to conduct Rb atomic cooling experiments on atom chips in the Quanlus project. (Quantus is the abbreviation of Quantengase unter Schwerelosigkeit, and ‘atom chip’ is the term adopted by the atomic community for a miniaturized magnetic atom trap generated by specially designed circuits to trap atoms). In 2010, they achieved Bose–Einstein condensation using a drop tower (120 m high); in the following year, they reached nanokelvin temperatures [47].

In 2011, Bouyer’s team in France used parabolic aircraft flight to cool Rb using all-optical techniques and demonstrate interference in a microgravity environment [48].

In 2013, Rasel’s team at University of Hannover carried out atomic BEC interference experiments using an atom chip and a drop tower. In 2017, the same team started the MAIUS-1 project that used a sounding-rocket to provide 6 min of microgravity time and carried out Rb atomic BEC testing with an atom chip. The objective was to obtain ultracold atoms with temperatures in the picokelvin regime [52, 53], and they demonstrated nanokelvin temperatures in practical experiments.

In 2015, NASA’s Jet Propulsion Laboratory (JPL) team proposed to conduct Rb and K cooling experiments on the International Space Station (ISS) with the goal of obtaining an ultracold atomic gas of 100 pK [50, 51]. In 2018, the United States launched the Cold Atom Laboratory (CAL) experimental module and carried out Rb and K cooling experiments on the ISS [51, 54, 55].

In 2018, Peking University demonstrated a scheme based on all-optical dipole traps, referred to as two-stage cooling (TSC) [56–59]. The TSC scheme was proposed in 2013 and is planned to be implemented on the Chinese Space Station (expected to launch in 2022), with a target temperature of below 100 pK. In 2018, a test of the TSC scheme was performed on the ground [59].

This review article will focus on the theoretical and experimental progress in the realization of picokelvin temperatures. Section 2 presents a summary of the principles of accomplishing this objective. In section 3, the achievement of three-dimensional picokelvin temperatures by Ketterle’s team and two-dimensional picokelvin temperatures by the Kasevich team is described. Section 4 describes the Quantus experiments of Rasel’s team using a drop tower, the MAIUS-1 experiments that employed a rocket, and finally the CAL experiments of the JPL team using the ISS. Section 5 reviews the cold atom experiments of Bouyer’s team using parabolic aircraft flight and introduces the new scheme of BEC experiments by the Peking University team using the Chinese Space Station. Section 6 describes prospects for research in science and technology that would be created by reaching the picokelvin temperature regime.

2. Basic principle of realizing picokelvin temperatures

The theory [60] states that for a number \( N \) of neutral gas atoms trapped in a simple harmonic trap with frequency \( \omega_{ho} \), if the temperature \( T \) of the atomic gas is lowered below the critical temperature \( T_c \) in some way, with the number of atoms condensed in the ground state \( N_0 \), then the number of atoms in the excited state (i.e., number of thermal atoms in the background) is

\[
N = N_0 = \zeta(3) \left( \frac{k_B T}{\hbar \omega_{ho}} \right)^3, \quad (1)
\]

where \( k_B \) is Boltzmann’s constant, \( \hbar \) is Planck’s constant divided by \( 2\pi \), \( \omega_{ho} = (\omega_{1}) \omega_{2} \) is the geometric average of the frequency of the harmonic trap, and \( \zeta(m) \) is the Riemann zeta function, \( \zeta(3) = 1.202 \). When the number of atoms in the condensed state \( N_0 \rightarrow 0 \), the corresponding temperature is the critical temperature \( T_c \), also known as the phase transition temperature:

\[
k_B T_c = \hbar \omega_{ho} \left( \frac{N}{\zeta(3)} \right)^{1/3} \approx 0.94\hbar \omega_{ho}N^{1/3}. \quad (2)
\]
It can be seen from equation (2) that the number of atoms $N$ and the frequency of the trap $\omega_{ho}$ determine the critical temperature $T_c$ of the Bose condensate bound in the trap. In practice, it is necessary to maintain a certain number of atoms, generally $N$ between $10^4$ and $10^6$, to obtain a high signal-to-noise ratio (SNR) and high phase-space density for the experimental measurements. In order to obtain a lower-temperature degenerate gas, it is necessary to maintain a certain number of atoms, geometric average frequency of the trap, $\omega$ ratio (SNR) and high phase-space density for the experimental process can continue even if the phase-space density is greater than the critical value—for instance, 1.2 for the harmonic trap. In this process, atoms with high kinetic energy fly out of the trap quickly (see figure 1), and the ratio of the energy carried out of the trap to the average kinetic energy inside the trap is the truncation coefficient: $\eta = \frac{1}{\tau_{bg}}$. In the process of evaporative cooling, if $dN$ atoms are evaporated and the energy removed is $dN\eta k_B T$, the total energy of the atoms left in the trap is decreased by $dE$:

$$dE = dN(\eta - 3)k_B T.$$  \hspace{1cm} (5)

In an elastic collision, the temperature $T$ of an atomic sample in the trap decreases by the quantity $dT$ and becomes $T'$ (see figure 1). The following relations can be obtained through energy conservation [61]:

$$3(N - dN)k_B T - dE = 3(N - dN)k_B (T - dT).$$  \hspace{1cm} (6)

Equations (5) and (6) demonstrate that the relative rate of change between the average temperature $T$ and the number of atoms $N$ of the gas remaining in the trap is constant (i.e., the scaling law) [62, 63]:

$$\alpha = \frac{d\ln(T)}{d\ln(N)} = \frac{\dot{T}/T}{N/N},$$  \hspace{1cm} (7)

where $\alpha$ is called the efficiency of evaporative cooling, which is known from theory [63] as $\alpha = \eta/3 - 1$. Because $\eta$ remains unchanged during evaporative cooling, $\alpha$ also remains unchanged. The ratio of the temperature $T(t)$ in the trap to the initial temperature $T(0)$ and the ratio of the number of atoms $N(t)$ in the trap to the initial number $N(0)$ after evaporative cooling for the period of time $t$ are related as follows:

$$\frac{T(t)}{T(0)} = \left(\frac{N(t)}{N(0)}\right)^{\alpha}.$$  \hspace{1cm} (8)

It can be seen from the above that forced evaporative cooling can reduce temperatures by lowering the optical potential and driving away high-energy atoms. In order to continue the evaporative cooling, it is necessary to increase the collision rate of atoms, $\gamma_c = n\sigma v$, in the evaporative cooling process (where $n$ is the gas density, $\sigma$ is the collision cross section and $v$ is the average relative velocity of atoms in the trap). As time passes, the runaway evaporative cooling relationship should be satisfied:

$$\frac{1}{\tau_{c}} \frac{d\gamma_c}{dt} = -\frac{1}{\tau_{ev}}(1 - \alpha) - \frac{1}{\tau_{bg}} > 0,$$  \hspace{1cm} (9)

where $\tau_{ev}$ is the relaxation time for evaporative cooling and $\tau_{bg}$ is the relaxation time for background gas collisions. For the experiment, the design of the time sequence needs to increase the atomic density $n$ at a faster rate while decreasing the temperature $T$ of atoms in the optical trap and the collision velocity...
of the atoms. The final cooling effect will lead to a continuous increase in the phase-space density of the system and ultimately meet the following requirements:

\[
\rho_{ps} = n\lambda^3 = \left(\frac{\hbar\omega}{k_B T_c}\right)^3 = \zeta(3),
\]

which is the same requirement as for equation (2).

However, on the ground, the optical trap is not symmetrical. Under the influence of gravity, a symmetrical trap will be transformed into the trap potential shown in figure 27(b) (blue solid line), and a gap will be created in the gravitational direction of the trap potential, from which the atoms will leak out (figure 27(c)). The above analysis tells us that the requirement for runaway evaporative cooling, equation (9), needs to be satisfied in order to effectively reduce the temperature of the atomic gases, i.e., a high density \( n \) should be maintained during the cooling process. Therefore, in practical experiments, we must make the height of the trap potential barrier greater than the critical value to prevent atoms from escaping from the gap generated by gravity and reducing the density \( n \) (for detailed analysis see section 5.2). If there are \( 10^5 \) Rb atoms, the frequency of the trap used on the ground would be approximately 100 Hz (to prevent atoms from leaking from the trap). From equation (2), we can calculate that the corresponding \( T_c \) is around 100 nK, which is consistent with the phase transition temperature in our cold atom laboratory.

According to equation (4) or (2), in order to further reduce the temperature, one must decrease the frequency of the trap \( \omega_{ho} \) while maintaining the number of uncondensed atoms \( N - N_0 \). Microgravity conditions provide us with an ideal environment. By eliminating the influence of gravity, the gap in the potential well can be removed. In principle, the optical trap frequency can be reduced to \( \omega_{ho} = 0.001 \) Hz, with a corresponding critical temperature of \( T_c = 1 \) pK. Therefore, under microgravity conditions, it is possible to obtain ultracold atomic gases of picokelvin magnitude with different traps.

However, if the trap frequency is reduced too much the evaporative cooling process will stop, the atomic collision frequency will decrease rapidly, and the equilibrium time for cooling would need to be greatly increased. For instance, if the trap frequency \( \omega_{ho} = 0.001 \) Hz, it would take a collision time of over 1000 s to obtain picokelvin temperatures. Therefore, a novel fast cooling method is needed for these experiments that can also reduce the temperature to the picokelvin level.

There are two ways to realize fast cooling after evaporative cooling that could enable atoms to reach picokelvin temperatures: controllable decompression cooling and DKC. The principle of controllable decompression cooling is that the atoms lose energy during adiabatic expansion when the system is decompressed. Decompression cooling in an optical trap is realized by controlling two parameters, laser power and laser beam diameter, which can effectively reduce the potential energy of the atoms during the transformation from one optical trap to another. By controlling the depth and size of the optical trap, atoms with large kinetic energy can be driven out of the trap to rapidly cool the atomic system.

Decompression cooling is commonly conducted after evaporative cooling in an all-optical trap, and is also called TSC. The principle of DKC is to release the atoms in the trap so that their kinetic energy distribution can be transformed into a spatial position distribution. After applying an additional pulsed potential well, atoms in different positions can be subject to different forces so that the speed of the atoms in various positions can be reduced to zero. This type of deep cooling procedure could further reduce the temperature of the entire system.

In the process of evaporative cooling, the decrease in the atomic velocity is accompanied by an increase in the phase-space density. This means that atoms gather not only in momentum space, but also in position space, and the entropy of the system decreases simultaneously. However, DKC and decompression cooling can reduce the kinetic energy of an atom and concentrate it in momentum space, but the atom expands in position space. Therefore, the phase-space density of the system does not increase, and the entropy does not change significantly.

Currently, there are two kinds of traps for deep cooling under the condition of microgravity: an atom chip with a magnetic trap plus microwaves and an all-optical trap with crossed laser beams. The corresponding cooling methods are also different. DKC is primarily used for atom chips, while TSC is mostly used for all-optical traps. Because microgravity experiments need to be carried out on a space station or a rocket, comparisons on the ground are more complex. Several groups have carried out relevant experiments on the ground or in space. We will elaborate on their basic principles and experimental progress in the following sections.

3. Ground-based experiments for accessing the picokelvin temperature regime

3.1. Achieving 450 pK using Na in a magnetic trap [40]

Section 2 mentions that due to the influence of the Earth’s gravity, the trap frequency of the loaded atoms should not be too low, so the ultimate cooling temperature limit is about 100 nK. In order to further reduce the temperature, gravity must be counteracted on the ground so that the frequency of the trap can be reduced. In 2002, Ketterle’s team conducted their first experiment, reducing the temperature of a confined atomic Na gas to below 500 pK. The key step to achieving a temperature of 500 pK is that they implemented two stages of decompression cooling after the a evaporative cooling phase (figure 2).

Ketterle’s team trapped sodium atoms using Ioffe–Pritchard magnetic traps and then used radio-frequency evaporative cooling to obtain cooler atomic gases. The atomic gases were transported to a separate chamber—the scientific chamber—using optical tweezers [64], a system for manipulating cold atoms using the force of light. A coil set with a balanced-gravity functional magnetic field, as shown in figure 3(A), was installed in the science chamber. The small coils generated a vertical bias field \( B_z \) and provided a vertical magnetic field gradient \( B_z' = 8 \) G cm\(^{-1}\) to balance the force of gravity by producing an upward force, suspending
the atom 0.5 cm above the small coil. The small coil also produced magnetic field gradients in the radial direction (x, y direction). The final result was a simple harmonic potential away from the center position (figure 3(B)). Two additional coils above and below the small coil generated an additional controlled magnetic field, $B_r$, and a magnetic field gradient, $B_z$, with currents $I_U$ and $I_L$, respectively; they also produced magnetic field gradients in the radial (x, y) direction. The three-dimensional harmonic magnetic trap with balanced gravity could be generated by adjusting the currents $I_S$, $I_U$, and $I_L$.

The gravitomagnetic trap was switched on to load the atoms into the chamber with the optical tweezers. The trap was spherically symmetric, with a frequency of $\omega_z \approx \omega_r \approx 2\pi \times 8$ Hz. When $5 \times 10^5$ sodium atoms were loaded into the gravitomagnetic trap, a 5 s delay was required to dampen the excited atoms. The critical temperature of the BEC was then $T_c = 30$ nK. In the experiments, the temperature $T$ of atomic gases was always maintained such that $0.5 < T/T_c < 1$.

Further cooling consisted of two decompression processes of 5 s each. In the first stage, the current of the small coil $I_S$ was reduced to 1/10, and the currents of the other two large coils, $I_L$ and $I_U$, were increased simultaneously so that the longitudinal frequency of the potential well was reduced to $\omega \approx 2\pi \times (1.81 \pm 0.05)$ Hz. Thus, the primary gradient effect of the magnetic well was transferred from the small coil to the two additional large coils. In the second stage, the radial frequency of the trap was reduced by increasing $I_L$ and decreasing $I_U$ by the same amount. The three currents were set so that the final gravitomagnetic trap frequency was $\bar{\omega} = 2\pi \times (1.12 \pm 0.08)$ Hz and the axial bias magnetic field was $B_L = 17$ G. Following this decompression process, there were $2 \times 10^5$ condensed sodium atoms with a critical temperature of $T_c = 3$ nK. According to equation (4) or (2), if the number of atoms is further reduced, the temperature would also decrease. In the experiment, the elastic collision rate (between thermal atoms and the condensates) decreased from 0.25 Hz to 0.01 Hz over 10 s of microwave radio-frequency evaporation, with a substantial decrease in the collision rate for thermal equilibrium and the rate of evaporative cooling. Nevertheless, when the number of atoms dropped to 28 000, the temperature of the atomic gas was below 1 nK (figure 4(A)), if the number of atoms was further reduced to 16 000, the temperature of the atomic gas would be reduced to 780 $\pm$ 50 pK (figure 4(B)). The lowest temperature obtained was 450 $\pm$ 80 pK (figure 4(C)) with 2500 atoms remaining. The relationship between the temperature and the number of atoms in the trap is shown in figure 5.

When the temperature of an atomic gas is lower than 1 nK, it is difficult to measure it by the time-of-flight (TOF) technique. While detecting the atomic vapor temperature with TOF on the ground, atoms fall out of the CCD detection area due to gravity after 20 ms. From table 1 in section 5.2, it can be seen that the size of the atom cloud changed by only 16 $\mu$m in 10 ms when the temperature was less than 10 nK. Therefore, it is difficult
to obtain an image with CCD when the temperature is lower than this.

For this reason, they used equation (3), which could be used when the number of atoms of the condensate $N_0$ and the number of background thermal atoms $N_{th}$ were measured, to determine the temperature. In the experiment, the critical temperature for condensation $T_c$ was measured initially. The atomic velocity distribution transferred in space is shown in Figure 4(A)–(C). The temperature of the atomic vapors was extracted by fitting the bimodal distribution (figure 4) using the equation

$$n(x) = N_0 \Psi_0^2 + \frac{N_{th}}{\sqrt{\pi} w_0} e^{-\frac{x^2}{w_0^2}},$$

(11)

where $\Psi_0$ is a bell-shaped function, whose width $w_0$ describes the peak value of the condensate $\Psi_0^2 = \frac{16}{15} w_0 - 1 \max \left( 1 - \frac{x^2}{w_0^2}, 0 \right)^2$ of a Thomas–Fermi gas $\Psi_0^2 = w_0^{-1} x^{1/2} e^{-\frac{x^2}{w_0^2}}$ for an ideal gas. Figure 4 demonstrates that there is a two-mode density distribution in the condensate. The temperature information could be obtained by fitting the experimental data with equation (11), and is consistent with the temperature determined by equation (3). Finally, the lowest temperature of 450 pK was achieved.

### Table 1. Relation between temperature and atomic speeds [65]

| $T$ (nK) | $V_{1D}$ (µm ms$^{-1}$) | $V_{1D}$ (µm ms$^{-1}$) |
|----------|-------------------------|-------------------------|
| 100      | 5.354                   | 3.091                   |
| 10       | 1.693                   | 0.977                   |
| 1        | 0.535                   | 0.309                   |
| 100      | 0.169                   | 0.098                   |
| 10       | 0.053                   | 0.031                   |
| 1        | 0.017                   | 0.010                   |

3.2. Transverse cooling to 50 pK by delta-kick cooling [45]

In addition to obtaining ultralow-temperature atomic samples in ground-based experiments by forced evaporative cooling while balancing gravity with magnetic forces, a separate method for cooling is the DKC method. In 2015, the Kasevich team achieved a transverse temperature of 50 pK for falling atoms using this method. DKC is a new cooling method for sub-photon-reclel temperatures proposed by Ammann and Christensen in 1997 [44]. The basic idea of the method is to trap atoms using an optical trap, then suddenly switch off the trap for a time $t$, during which the atoms will freely diffuse. Subsequently, the optical trap is turned on for a short time $\delta t$ so that the diffused atomic gas is cooled by a force opposite to the direction of diffusion. Compared with evaporative cooling, DKC cooling has the advantages of short cooling time and low number of atoms lost. We can use a simple theory to describe its principle.

Assuming that an atom of mass $m$ is bound in the trap potential $U(x)$, the Hamiltonian can be written as [44]

$$H_0 = \frac{p^2}{2m} + V(x).$$

(12)

This represents the atomic state at $t < 0$. The trap potential $U(x)$ is turned off at $t = 0$, and then turned on at time $t_0$ for a short interval $\delta t$. The process can be described by $U(x) \exp[-(t - t_0)^2/2\tau_p^2]$. If the time interval $\delta t$ is short enough, then the Gaussian pulse is equivalent to a delta function $\delta t$. Therefore, the Hamiltonian of the system for $t > 0$ can be expressed as

$$H_k = \frac{p^2}{2m} + V(x) \delta (t - t_0),$$

(13)

where $V(x) = \sqrt{2\pi} T_0 U(x)$.

From equation (13), it is evident that the strength of the impact can be adjusted by changing the pulse width $\tau_p$. Assume that atoms begin to accumulate at the minimum of the trap potential $U(x)$. If the trap is turned off, after $t_0$, atoms of different momenta will reach separate positions in space so that the momentum $p$ becomes a linear function of the position $x$: $p(x) = mx/t_0$. An applied pulsed optical trap can be approximated using a simple harmonic well: $U(x) \approx m\omega^2x^2/2$. This pulsed trap will change the momentum of the atom as $\Delta p \propto \Delta U/\Delta x \propto x \propto p$. Consequently, during the time interval $\delta t$, the force acting on the atom at $x$ is $F(x) = -\frac{\Delta U}{\Delta x} = -m\omega^2x$, meaning that an atom at position $x$ will have an acceleration of $-\omega^2x$. It is assumed that the atoms do not move significantly during the time interval $\delta t$, so the change in the atomic momentum is

$$F(x) \delta t = -m\omega^2x \delta t = p(x, t_0 + \delta t) - p(x, t_0),$$

(14)

where $p(x, t_0 + \delta t)$ is the final momentum of the atom after the pulsed trap is applied, and $p(x, t_0)$ is its initial momentum before it is applied, if the following condition is met:

$$\omega^2 t_0 \delta t = 1.$$  

(15)

The final momentum of the atoms can be represented by $p(x, t_0 + \delta t) = mx \left( \frac{1}{t_0} - \omega^2 \delta t \right) = 0$. Thus, the kinetic energy...
of the atom will be reduced to zero. The energy of atoms decreases to zero when only those atoms at the origin meet the condition of equation (15). By the above analysis, the DKC process clearly cools atoms by converging their momenta to zero. This effect is similar to an optical lens; therefore, the DKC process is also referred to as the ‘lens’ effect in some literature. For radial cooling, DKC is also called ‘collimation’ [66–73]. There are three kinds of trap potentials that can generate lens pulses: magnetic trap [68, 74, 75], electrostatic trap [76] and optical dipole trap (ODT) [45, 66]. The ODT was used in the experiments of the Kasevich team [45].

In the Kasevich experiment, the atoms at the bottom of the trap were distributed in a certain range around the origin; therefore, the condition of equation (15) could not be fully satisfied. Additionally, even if atoms are concentrated at the origin of the trap potential, it is difficult to obtain an ideal harmonic trap because there will always be additional harmonic components. One can obtain an intuitive picture of the momentum or velocity distribution of atoms in phase space. Ammann in reference [44] calculated the variation in the momentum  \( \sqrt{p_x^2} \) and position  \( \sqrt{x^2} \) distributions of atoms in the DKC process (see figure 6). In phase space, the atoms around the origin are initially distributed spherically about it. After a time  \( t_0 \) of free evolution following shutdown of the trap, the atomic spatial distribution becomes wider and the distribution in phase space becomes obliquely elliptical. During the time interval  \( \delta t \) of the pulse, the momentum distribution reduces, while the distribution in phase space becomes a positive ellipse, which indicates that the momentum or velocity distribution is compressed, and the position distribution remains unchanged. Therefore, the phase space density (PSD) does not change during the DKC process. In phase space, the longer the diffusion time  \( t_0 \), the wider the positions are spread, the narrower the momentum distribution is compressed, and finally the more the temperature is decreased (figure 6).

Figure 7(a) is a diagram of an experimental setup consisting of an atom interferometer with a 10 m vertical vacuum tube. The atomic source was generated from a time-orbiting potential (TOP) trap, a vertically oriented quadrupole trap and a horizontal top coil pair. A group of 10\(^6\) Rb atoms were evaporatively cooled by TOP to achieve an effective temperature of 1.6 ± 0.1 nK. A chirped optical lattice [78, 79] (blue detuning optical lattice with the upward arrow in figure 7(a)) is used to project cold atoms upward, and a 3 W laser is mirrored from the bottom to the top and interacts with the cold atomic cloud (for clarity, the angle between the reflected laser beams is 1 mrad, and the beam angle is magnified in figure 7(b)). The reflected laser acts as a dipole lens, and the dipole lens potential [67] is produced by the transverse intensity distribution of the Gaussian beam, providing two-dimensional cooling. The laser has a waist of 3.4 mm, and the frequency is detuned to 1.0 THz with respect to the \(^{87}\)Rb D\(_2\) line. The atoms are launched upward into the 10 m vacuum tube. After 2.8 s, they fall back to the detection zone, where they are imaged by two CCD cameras with a vertical fluorescent beam (y-axis camera imaging the x–z plane, x-axis camera imaging the y–z plane). Figure 7(c) is the fluorescence image of the cloud after launching the atoms upward. Figure 7(d) shows the spatial distribution of the atoms refocused with a dipole lens from figure 7(c). By analyzing the interference pattern, the effective temperature was found to be 1.6 nK (figure 7(c)).

After the optical trap is switched off, the atom is launched forward. In addition to free diffusion, the atoms will fall freely due to the influence of gravity. The falling distance is approximately 5 m in 1 s. Therefore, in order to position the atoms in the region of optical trap, the diffusion time  \( t_0 \) can only be about 10 ms. Therefore, the efficiency of DKC cannot be very high, and significantly low temperatures cannot be reached. In 2015, the Kasevich team achieved a long-term drop process.
to obtain a long diffusion time \( t_0 \) for free-fall atoms, during which radial DKC was performed such that the atomic free-diffusion time \( t_0 \) was greater than 1 s, and thus achieved an ultralow transverse temperature.

At the beginning of the experiment, the size of the atomic cloud is \( \Delta x_0 \) and the velocity distribution is \( \Delta v_0 \). The atom is freely diffused in the radial direction when being launched upward. After the diffusion time \( t_0 \) (also referred to as the object time), an optical trap pulse was applied (herein we say that the lens is applied, and the lens time is denoted as \( \delta t \)). At this point, the size of the atomic cloud becomes \( \Delta x_1 \), the velocity distribution becomes \( \Delta v_1 \), and the corresponding temperature ratio is \( \eta \equiv (\Delta v_1/\Delta v_0)^2 \). For an ideal simple harmonic trap, the minimum velocity distribution can be obtained as \( \Delta v_1 \) (or collimation condition), but the corresponding temperature has a lower limit than \( \eta_k \), which is \( \eta_k \equiv (\Delta x_0/\Delta x_1)^2 \). From this equation, we know that the atomic cloud needs to have a larger diffusion scale and a longer diffusion time to acquire a lower temperature: \( \Delta x_1 \approx \Delta v_0/\eta \gg \Delta x_0 \). After the diffusion, the optical potential well is turned on, and the force acting on an atom is \( F(x) = -m_0\omega^2x \), and the velocity changes to \( \delta v(x) = -\omega^2\delta t x \). In this way, if the atom is a point source at \( t_0 \), when the diffusion time of the atom is \( t_0 = 1/\omega^2\delta t \) (for a 2D case, which is also called the collimation condition), the ideal collimation of the atomic cloud will be obtained; that is, the transverse effective temperature of the atomic group tends to absolute zero. Because the effect of the trap potential on the transverse velocity of the atom is similar to that of the collimation of the optical lens, the optical trap potential effect is called the lens effect in [45].

As mentioned above, when the temperature of the atomic cloud is less than 1 nK, it takes a long time to observe the scale change of the atomic cloud, which takes about 10 s. To overcome this difficulty, the turn-on time of the optical trap was extended so that the lens time was greater than \( \delta t = \frac{\omega^2}{2} \) and the atomic cloud would be refocused. As in geometric optics, the smallest size of imaging is the point focus of the atoms after cooling (or collimation). This method has been used for temperature measurements of electron beams [80].

Figure 8 is a diagram corresponding to this measurement. Atoms fall from the starting point (called the object). After the diffusion time \( t_0 \), the encounter of the lens (optical trap potential) within the time interval \( \delta t \) (equivalent to the thickness of the lens) begins to converge. After the time \( t_i \), it converges into an atomic cloud on the image side. In fact, the minimum size of the image \( (\Delta x_i)_{\min} \) corresponds to the minimum velocity distribution \( \Delta v_i \):

\[
(\Delta v_i)^2_{\text{bound}} \equiv \frac{(\Delta x_i)_{\min}^2}{t_i^2} = \Delta v_i^2 + \delta A \geq \Delta v_i^2. \tag{16}
\]

Here, \( t_i \) is called the image time and \( \delta A \) represents the aberration of the lens. Because \( \delta A \) is positive, \( (\Delta v_i)^2_{\text{bound}} \) is the upper bound of the collimation temperature. In the experiment, \( t_0 = 1.1 \) s and \( t_i = 1.8 \) s, and with different lens durations \( \delta t \), one can get different sizes of atom images.

Figure 9 shows the change in the lens duration \( \delta t \) corresponding to the size of the atomic cloud on the image side. When \( \delta t = 35 \) ms the smallest image size is obtained: \( (\Delta x_i)_{\min} = 70 \) µm. The upper limit of the transverse collimation temperature can be estimated from equation (16) [81]. For the \( x \)-axis, \( (\Delta v_i)^2_{\text{bound}} = (\Delta x_i)_{\min}/h = 65 \pm 20 \) µm s\(^{-1} \), and for the \( y \)-axis, \( (\Delta v_i)^2_{\text{bound}} = 75 \pm 25 \) µm s\(^{-1} \). The effective temperature should be \( T_{\text{bound}} \equiv m(\Delta v_i)^2_{\text{bound}} = 40^{+40}_{-20} \) pK, 50\(^{+50}_{-30} \) pK, corresponding to the \( x \)-axis and \( y \)-axis, respectively. The effective temperature is the transverse temperature in the \( x \) and \( y \) directions, respectively, and the temperature in the gravity direction (\( z \) direction) is still approximately 1 nK. Figure 7(c) is a TOF image of a 1.6 nK atomic cloud at 2.8 s after it was launched upward, and figure 7(d) is a TOF image of the atomic cloud with a lens process during the upward-launching phase of 2.8 s. The temperature in the \( x \) direction is reduced (estimated to be around 40 pK), and there is no significant change in the \( z \) direction.

The overall effect is that the peak of the atomic distribution becomes higher, which help to improves the SNR for the next step of the experiment.

4. Accessing the picokelvin regime using gravity-free techniques

The lowest temperature achieved by a ground-based experiment was 500 pK [40]. Further progress would require gravity-free or microgravity methods using a satellite, rocket or orbiting space station. The depth of the trap (including the optical trap and magnetic trap) can be further reduced to less than 1 Hz, with the goal of obtaining a temperature below 500 pK. Second, with microgravity, the atom is almost stationary, and the preparation and detection times can be increased. For DKC, it is easy to obtain a longer release time or lens time.
under microgravity conditions and increase the measurement or image time. There are currently two schemes for achieving lower temperatures. The first is an atom chip technique, using DKC or adiabatic release, currently employed by German and American teams. The second is an all-optical trap technique, using a two-stage cross-beam cooling method, referred to as TSC or TSCBC, primarily used by French and Chinese teams.

4.1. Experiments for Quantus and MAIUS-1

Atom-chip cooling employs a highly miniaturized magnetic trap formed by conductors on the surface of a microchip. The chip typically traps $10^4$ to $10^7$ atoms. The experimental study of atom-chip cooling of atoms under microgravity conditions was first carried out by the Rasel group at the University of Hannover. With the support of the German Space Agency (DLR), they conducted a series of experiments on the QUANTUS project. In 2010, they implemented BEC on the drop tower at the Center of Applied Space Technology and Microgravity (ZARM) in Bremen [47]. The temperature of the atomic gas was around 9 nK. In 2013, they successfully used the DKC process on the drop tower, obtained BEC, and reduced the temperature of the atomic gas to about 1 nK [49]. They also conducted an atomic interference experiment based on BEC. In 2017, they employed DKC on a sounding rocket and obtained BEC, reducing the temperature of the atomic gas to about 1 nK with an extended experiment time [52]. In 2018, NASA’s JPL installed the Cold Atom Lab (CAL) on the ISS, and performed $^{87}$Rb, $^{39}$K and $^{41}$K gas cooling experiments using an atom chip to obtain an extended experimental time [51, 54, 55]. The goal of the above experiments was to break the limit of 500 pK minimum temperature on the ground. The experiment is still being further improved with the goal of entering the picokelvin temperature regime in the microgravity environment. The specific experimental progress of the above two teams is described below. Both the German and American teams use atom chips, a solution that uses a magnetostatic trap plus microwave for cooling.

Compared with traditional BEC magnetostatic trap experiments, the atom chip technique has several advantages [46]. First, approximately 1 kW of power is required to achieve all the magnetic field configurations required to generate BEC with coils in a conventional setup, while an atom chip only needs 10 W. However, the coils used for the external bias field and the outer quadrupole coils of the magneto-optical trap (MOT) of an atom chip consume approximately 350 W of power. Second, the limit in the magnetic trap generated by the atom chip is an order of magnitude stronger than that of a typical magnetic trap in a conventional system, so the rethermalization is faster, and the evaporation time of the magnetic trap realized by the atom chip is shorter. Third, experiments on ultracold atoms in space must consider the miniaturization of the system. Therefore, atom chips are one of the best options [82–84].

Atom chips are microfabricated, integrated devices in which magnetic, electric and optical fields can confine, control and manipulate cold atoms. A magnetic trap is a commonly used device to trap atoms, and most magnetic traps are made of large-scale electric-current-driven coils. The micro magnetic trap of an atom chip uses the etching technology of microelectronics, which etches the metal wire on a chip of several square centimeters (figure 10(a)) and combines the thin wire on the chip with the external magnetic coils above the chip to form the magnetic trap. The outstanding advantage of this kind of magnetic trap is that it can produce a considerable magnetic field gradient with a low current and form different configurations of trap potential wells. It can produce a magnetic field gradient of 500 G cm$^{-1}$ when the current is only 1 A and the magnetic field is only 10 G. The most prominent feature of an atom chip is that it can easily generate a larger magnetic field gradient than standard magnetic coils.

There are two wire configurations on atom chips to produce different magnetic traps: U-shaped and Z-shaped. A U-shaped
wire plus a pair of coils, which produce a parallel magnetic field, can form a quadrupole trap (figure 10(b)) for the operation of an on-chip MOT with the help of laser beams and produce the magnetic field shown in figure 10(d). A Z-shaped wire plus a pair of coils can form an Ioffe trap (figure 10(c)) and produce the magnetic field shown in figure 10(e). In comparing the two wire traps, it can be seen from figure 10(e) that there is no zero point of the magnetic field for the Z-shaped wire trap. This trap can produce the Ioffe field for the operation of evaporative cooling with the help of an RF field. Because the atom chip can produce a tightly bound magnetic trap near the surface, it provides a fast and efficient way to generate BEC. After obtaining BEC, the chip trapping potential can be significantly reduced and the trapped atoms can be separated from the surface to avoid surface effects, which usually disturb them.

Figure 10(a) shows the scheme of the atom chips used by the German team. They selected a magnetic trap on the chip to meet the requirements of small size and low power. The chip contained a U-shaped wire that produced a quadrupole field of the MOT and a Z-shaped wire for generating an Ioffe-type magnetic trap. An additional straight wire created a strongly confining ‘dimple’ in the weak axis of the Ioffe trap and also significantly reduced the trap’s aspect ratio. The dimple trap provided a trapping frequency of about (1, 3, 3) kHz through the Z-shape and the straight line in the (x, y, z) direction from currents of 2 A and 1 A respectively and allowed evaporative cooling in 1 s.

The 2010 drop tower experiment used a 146 m high tower with a useful drop time of 4.6 s. The atom chip was mounted in the vacuum chamber of figure 11(C), which was fixed in the drop capsule (figure 11(B)) [47]. The atom chip was combined
with a mirrored MOT, which was loaded with approximately $1.3 \times 10^7 ^{87}$Rb atoms from the background gas. The MOT required 10 s to load the atoms, after which the drop capsule was released. The microgravity environment provided an effective acceleration of $a = 10^{-4} g$. In the first second of free fall, the atoms were fixed in the mirrored MOT while the initial vibration of the drop capsule weakened. The atoms were further cooled with the optical molasses process and transferred to the Ioffe–Pritchard trap on the chip (figure 12(A)). The atoms were prepared in the hyperfine state with $F = 2, m_F = 2$ (where $F$ and $m_F$ are the total angular momentum and the magnetic quantum number of the Zeeman sub-level, respectively). A BEC of about $10^4$ atoms is usually obtained after 1 s of magnetic trap compression and RF-induced evaporation. The lifetime of the condensate in the potential trap is 3 s. The BEC is released by turning off the magnetic field current and then observed using absorption imaging techniques (figure 12(B)). In the experiment, the kinetic energy of the atom was quite low (about 9 nK). After 1 s of expansion time, the BEC was observed to form a non-localized wave packet extending more than 2 mm; this was the first experiment to obtain BEC using a drop tower. The total time
from the capsule release to the final observation was 3 s. Because the evaporative cooling time is limited to 1 s, further cooling to lower temperatures is limited. Nevertheless, the experiment provided strong evidence for the effectiveness of the atom chip in BEC-related technology under microgravity conditions.

As an extension of the Quantas program, the German team conducted a BEC-based interference experiment at the drop tower in the University of Bremen’s Center of Applied Space Technology and Microgravity (ZARM) in 2013 [49]. The drop time was increased to 4.7 s, allowing additional time to implement the DKC approach in the cooling process [66, 67, 69]. By coupling the Zeeman level to a chirp RF pulse (adiabatic fast passage), the BEC was transferred to the non-magnetic state of \( F = 2, m_F = 0 \). This effectively eliminated the harmful effects of residual magnetic fields [86]. Through the above measures, the temperature of the atomic gas was reduced to about 1 nK.

An atomic interference experiment was also performed using the ultracold BEC to improve the SNR.

Figure 13 illustrates the 2013 microgravity experiment [49], with figure 13(a) showing the complete time series. The difference from the 2010 experiment was that the DKC process was employed. After evaporative cooling, the trap potential was turned off and the atoms were freely released for 30 ms. The trap potential was then turned on for 2 ms (DKC pulse), with the frequency of the trap being (10, 22, 27) Hz. This atom was further cooled and finally achieved a temperature of 1 nK. In a subsequent interference experiment, an image based on a lower temperature was obtained. The release time of the interference experiment was prolonged, yielding a high SNR (figure 14(a)).

The interference experiment was carried out using the protocol of the asymmetric Mach–Zehnder interferometer (AMZI) [87, 88]. According to the AMZI time series in figure 14, after the BEC was released for a time \( t_0 \), the laser was irradiated three times and absorption imaging of the single laser pulse was used as in figure 14(b) to detect the atomic interference image. Figure 14(c) shows a typical image and corresponding density distribution for two different BEC interferences.

Figure 13(d) shows the spatial period of the observed fringe pattern as a function of the expansion time \( t \). The experimental results (blue circles, red squares, black triangles) are compared with the corresponding theoretical predictions (blue and red solid lines). The blue line is derived from a model based on the scale method [89–92] and describes the interference pattern of two condensates originally separated by a distance \( d \). Their initial shape is derived from a numerical model of the atom chip potential. On large time scales, the observed fringe spacing (blue dots) is identical to the theoretical model [89] and the linear far-field prediction of the double slit (blue dotted line). These experiments show that the DKC process under microgravity conditions provides a clear atomic interference fringe, demonstrating that ultralow-temperature atoms at the picokelvin level can be achieved with further improved system performance.

In order to further extend the cooling time, on 23 January 2017, the German team used a sounding rocket to perform a 6 min microgravity experiment in space [52]. As part of this mission, MAIUS-1, they obtained BECs in a microgravity environment to study the phase transition from a thermal ensemble to a BEC. They also studied the collective dynamics of the condensate and matter-wave interference. Because the sensitivity of measuring the inertial force with the matter-wave interferometer is proportional to the square of the time it takes for the atom to travel in the interferometer, the free-fall time for the atom in the interferometer under microgravity greatly impacts the measurements. The goal of the experiment was to explore whether Bose–Einstein condensation can be combined with DKC collimation techniques to obtain a slowly expanding ensemble with pico- or femtokelvin temperatures. This method would allow the atoms to remain in the interferometer for an extended period, improving the precision of the interferometery. This experiment established the possibility of performing quantum gas experiments under microgravity conditions of satellite-borne BECs.

Figure 15 shows the sequence for the Maius-1 sounding rocket mission. There were three phases: boost (lower left corner), 6 min of microgravity (blue shaded area) and re-entry and landing (lower right corner). The test included 6 min of microgravity, and 110 related atom-optics experiments were performed; the experiments discussed here are marked in red. Above the Karan line—100 km off the ground—inertial disturbances are reduced to millionths of the gravity on the ground.

Figure 16 depicts the launch rocket and the load [93–95]: (a) is the rocket, (b) is the payload, (c) is the vacuum system, (d) is the core part of the experimental device, a multi-layered atom chip [82, 84, 96, 97], (e) is an image of the BEC in space, and (f) is a Bragg scattering image of the BEC. On the atom chip an MOT is formed by the laser beam (C) and magnetostatic field from the loading atomic beam (A). Subsequently, the magnetic trap on the atom chip performs evaporative cooling to produce the BEC, which is then transported to the region generating interferometry and imaging. Two additional optical lasers (BD) illuminate the BEC to produce Bragg diffraction, and the laser beam (D) illuminates the BEC to produce an absorption image, which is recorded by a CCD.
Figure 16. Set-up for space-borne Bose–Einstein condensation. The rocket (a) carried the payload (b), including the vacuum system (c) that houses the atom chip (d), into space. On the atom chip, a magneto-optical trap formed by laser beams (C) is first loaded from the cold atomic beam (A). (e) Greyscale absorption image of the spatial density of the BEC in space and its one-dimensional density profile, which were sent to ground control in low resolution. (f) Demonstration of Bragg scattering, apparent in the momentum distribution of the BEC. Reprinted by permission [52] from Springer Nature Customer Service Centre GmbH: Nature. © 2018

Figure 17. (a) Phase transition to BEC in space and on the ground, controlled by the final radio frequency of forced evaporation. Spatial atomic density (color scale) and corresponding line integrals (solid grey lines), as well as Gaussian fits (red lines) and parabolic fits (blue lines) of the line integrals of the thermal and condensed atoms, respectively, and their sum (violet lines), for cases in space where 8% (i), 20% (ii) and 41% (iii) of the atoms are in the BEC state. (b) and (c) The number of magnetically trapped atoms in the thermal ensemble (red squares, left axis) is higher in space (b) than on the ground (c), resulting in more atoms in the BEC (blue triangles, left axis) in space; for a comparable BEC fraction, there are 64% more atoms in the BEC in space than on the ground. Reprinted by permission [52] from Springer Nature Customer Service Centre GmbH: Nature. © 2018

camera. Figure 16(e) is the visible greyscale absorption image of the BEC in spatial density (top: white corresponds to the highest density) and its one-dimensional density profile (bottom: integrated from top to bottom of the image) that were sent to the ground control station with low resolution. Figure 16(f) is the Bragg scattering, with an observable BEC momentum distribution. The time interval between the two BEC peaks is 70 ms, and the gradation shows the spatial density of the cloud (blue for low density, red for high).

This experiment studied the phase transition from a thermal atom ensemble to a BEC in space for the first time. The atoms were cooled by forced RF evaporation, and the BEC was detected in the atom chip magnetic trap. Figure 17(a) demonstrates the spatial atomic density of the thermal ensemble and BEC at three different final radio frequencies during forced evaporation (in the final cooling phase). During the phase transition, with the temperature decreasing, the number of atoms in the thermal ensemble (using Gaussian fitting...
extraction, the red curve in figure 17(a)) is significantly reduced, while the number of atoms in the BEC is significantly increased (parabolic fit, the blue curve in figure 17(a)). Figures 17(b) and (c) show a comparison of the formation of the BEC in space and on the ground and also plot the proportion of atoms in the BEC relative to the total number of atoms. Comparing the results in figures 17(b) and (c), one can easily find that at the same RF frequency, the observed ratio of thermal atoms and condensed atoms is lower in space than on the ground. This difference may be due to changes in the magnetic field in space relative to that on the ground. Additionally, the number of atoms in the thermal ensemble and BEC in the microgravity environment is 64% higher than the same number on the ground. The increase in the BEC is most likely due to more efficient loading of atoms into the magnetic trap without the effects of gravity.

The transportation and shaping of BECs is a major goal of interferometry, with the key step of forming a compact BEC wave packet and transporting it from one position to another. In this experiment, the BEC was moved 0.8 mm from the chip surface. They studied the effect of the transportation process on the shape of the BEC and found that the BEC was excited after being transported. They also measured the oscillation of the centroid position after BEC excitation and compared the measured results with a theoretical model of kinetics [53]. The theoretical calculations included the process of oscillation, release and evolution of the BEC in the trap potential until it was measured. The theoretical model also included the structure of the current-carrying conductor of the experimental device, and the result was obtained by solving the Gross–Pitaevskii equation in the Thomas–Fermi region [97]. The experimental results agreed with the results of theoretical simulation (figures 18(a) and 19(a)). The motion on the atom chip caused a complex oscillation of the BEC [98] shape, which illustrates the importance of phase-stabilized manipulation in atom chip experiments.

It can be seen from figure 17 that the background temperature (Gaussian shape, red line) of the released atoms was about 100 nK, and the BEC (parabolic shape, blue line) was equivalent to a few nanokelvin of kinetic energy, which corresponds well with the theoretical calculation. Further reductions in temperature require an additional procedure, such as DKC, which is not possible to complete in a short-range rocket flight. Thus, the space station offers great potential for achieving picokelvin temperatures through DKC. The microgravity environment of the sounding rocket provides an ideal platform for comparing theoretical predictions with observations and testing various techniques. Therefore, the results of these series of experiments have important implications for future experiments, such as the NASA Cold Atomic Laboratory (CAL) on the ISS and the NASA–DLR Bose–Einstein Condensate Cold Atomic Laboratory (BECCAL), which is currently in the planning stage [136].

Corgier et al [53] systematically studied the theoretical and experimental design of deep cooling to picokelvin temperatures with atom chips in a microgravity environment. They hope to build a new generation of atomic interferometers that should be able to interfere for a few seconds. Their final design would enable controlled atomic transportation and hold-time using a well-designed, final DKC-step coordination, where the BEC can be moved by millimeters to achieve picokelvin temperatures and slowly expand. The design predicts an optimum final expansion temperature of 2.2 pK [53].

It is difficult to achieve slow adiabatic transportation of a BEC from one position to another in experiments. Therefore, Corgier et al [53] used the theory of fast transportation [99] and the shorten-to-adiabaticity (STA) scheme [100] to design experiments, and through theoretical calculations they hope to achieve fast, non-adiabatic transportation of BECs under clear boundary conditions [101].

Figure 18(A) schematically shows a Z-shaped current coil in which two wires 16 mm long are aligned along the y-axis and the wire size in the x direction is 4 mm. These wires carry a direct current of $I_0 = 5$ A. The BEC atom was initially trapped ($\tau = 0$) at a position $Z_i = 0.45$ mm from the surface of the chip, directly below the origin of the axis. By changing the position of the minimum value of the $z$ direction in the trap, the trapped atoms are transferred from $Z_i$ to the end position of $Z_f = 1.65$ mm. Transportation of the BEC occurs over a total distance of $Z_f - Z_i = 1.2$ mm, which is much larger than the typical size (micron) of BECs.

Corgier et al theoretically simulated the entire physical process, which includes the transformation of the BEC from the initial position $z_i$ to the final position $z_f$, further cooling using DKC during the hold-time and preparation for the final stage of interference. The potential produced by the atom chip consists of two parts: harmonic and non-harmonic:

$$V_0(\vec{r}, t) = \frac{1}{2} m \left[ \omega_x^2(t) x^2 + \omega_y^2(t) y^2 + \omega_z^2(t) (z - z_i)^2 \right] + \frac{1}{3} m \omega_z^2(t) \frac{(z - z_i)^3}{L_3(t)}$$

(17)
where $z_t$ denotes the minimum position of the trap potential in the $z$ direction (the non-harmonic component mainly exists in the $z$ direction) and $L_z(t)$ represents the characteristic length of the third-order non-harmonic term.

In the experiment, after evaporative cooling in the chip trap, the atomic gas became a BEC. Its wavefunction $\Psi(\vec{R},t)$ satisfies the Gross–Pitaevskii equation:

$$i\hbar \partial_t \Psi(\vec{R},t) = \left[-\frac{\hbar^2}{2m} \Delta_{\vec{R}} + V_a(\vec{R},t) + gN|\Psi(\vec{R},t)|^2\right] \Psi(\vec{R},t)$$

where $m$ represent the atomic mass, $g = 4\pi\hbar^2\alpha_s/m$ denotes the scattering amplitude, $a_s$ is the scattering length of the s-wave, $N$ means the number of atoms in the condensate, and the nonlinear term $gN|\Psi(\vec{R},t)|^2$ describes the average two-body interaction energy of the field. $\vec{R}$ in the Gross–Pitaevskii equation describes the fixed coordinate system: $\vec{R} \equiv (X, Y, Z)$.

The trap potential is described by

$$V_a(\vec{R},t) = \frac{1}{2} m \left[ \omega_x^2(t) X^2 + \omega_y^2(t) Y^2 + 2\omega_{XY}(t) XY \right.$$

$$+ \omega_z^2(t) (Z-z_0)^2 \left( 1 + \frac{2(z-z_0)}{L_3(t)} \right) \right].$$

The centroid position of the BEC is defined as $R_0 \equiv \Psi(\vec{R},t) |\vec{R}| \Psi(\vec{R},t)$. Under the simple harmonic potential approximation, the classical trajectory of BEC in the $z$ direction can be determined by the following equation:

$$\ddot{z}_a(t) + \omega_z^2(z)(z_a(t) - z_t) \left( 1 + \frac{z_a(t) - z_t}{L_3(t)} \right) = 0. \quad (20)$$

For the BEC to be stationary at the initial position $z_t$ and the final position $z_f$, the following conditions must be met:

$$z_a(0) = z_t, \quad \dot{z}_a(0) = 0 \quad \ddot{z}_a(0) = 0 \quad (21)$$

and

$$z_a(t_f) = z_t, \quad \dot{z}_a(t_f) = 0 \quad \ddot{z}_a(t_f) = 0. \quad (22)$$

The lowest position of the trap potential needs to be met:

$$z_t(0) = z_t, \quad \dot{z}_t(0) = 0 \quad \ddot{z}_t(0) = 0 \quad (23)$$

and

$$z_t(t_f) = z_t, \quad \dot{z}_t(t_f) = 0 \quad \ddot{z}_t(t_f) = 0. \quad (24)$$

Using equation (20), the trajectory of the BEC could be found, as shown in figure 18(B)(a). The red line is the result of the STA transportation sequence, and the green line is the result of the linear sequence. The variable $t (= 75 \mu s)$ represents the transportation termination time, the frequency of the corresponding potential in the $x$, $y$ and $z$ directions is (12.5, 50, 49.5) Hz, and the hold-time is 75 $\mu s$. Figure 18(B)(b) shows the deviation of the center of mass $z_a$ of the BEC from the valley $z_t$ of the trap potential during transportation. After 75 $\mu s$, $z_a$ and $z_t$ overlapped due to the effect of non-adiabatic transportation. Figure 19(a) demonstrates the complete sequence of BEC including the transportation, holding, release and delta-kick collimation. After the STA transportation sequence, the potential was turned off for a hold-time $t_{\text{hold}}$ then the atoms freely evolved for 100 ms (free, the free evolution time) and the DKC pulse was started, i.e., the trap potential was turned on for $\Delta t = 4.48$ ms. The corresponding trap potential had frequencies.
in the x, y and z directions of (1, 7, 7.2) Hz. Calculations show that the hold-time \( t_{\text{hold}} \) is very sensitive to the final temperature. Taking the scale variation of the BEC in the x direction as an example, the blue curve in figure 19(a) shows the dimensional variation of the released BEC in the x direction at three different times (\( t_{\text{free}} = 29.4, 31.4 \text{ and } 33.4 \text{ ms} \)). The best option here is to consider the release time of 31.4 ms, then the atoms would be collimated. If a slightly shorter hold-time were to immediately increase the volume of the BEC (dashed blue line in figure 19(a)), a slightly longer hold-time would result in an instantaneous compression of the BEC (dashed blue line in figure 19(a)), followed by a quick expansion.

After 100 ms of free expansion of the BEC with the optimal choice of \( t_{\text{hold}} (t_{\text{hold}} = 31.4 \text{ ms}) \), the mean-field interaction energy is almost completely in the form of kinetic energy. After applying the optical trap lens or DKC pulse (the trap has a frequency of (1.7, 1.7, 7.2) Hz and delta trap lens time = 4.84 ms), an expansion could be observed with an average velocity of approximately 25.3 \( \mu \text{m s}^{-1} \) in three spatial directions, which is equivalent to a temperature of 2.2 pK. The expansion velocities in x, y and z directions were 22.2 m s\(^{-1}\) (5.2 pK), 8.7 m s\(^{-1}\) (0.8 pK) and 8.2 m s\(^{-1}\) (0.7 pK), respectively. Figure 19(b) shows the full sequence with transportation, holding, release and delta-kick collimation, leading to an average expansion rate at the picokelvin levels from all three directions. The distribution of figure 19(c) can be obtained by optimizing the parameters. The white star marker shows the results of an expansion temperature of 2.2 pK.

4.2. Experiments for CAL [54, 55]

There are currently two options for lengthy microgravity experiments: satellite-based experiments [103–105] and experiments on the ISS [106–111, 136]. Additionally, the Chinese Space Station is scheduled for launch in 2022. Experiments based on a space station are more attractive than satellite missions in terms of cost and duration because the instruments can be adjusted and upgraded. With these advantages, the NASA Jet Propulsion Laboratory proposed the establishment of the first Cold Atomic Laboratory (CAL) on the ISS to achieve ultracold Rb and K atomic gas with a temperature of 100 pK and then use the ultracold gas for scientific experiments.

The CAL is a multi-user platform that provides quantum gas at long-lasting picokelvin-scale temperature under the microgravity conditions of space [54, 55]. It uses a compact system based on atom chips to produce degenerate gas samples of \(^{87}\text{Rb}, \, ^{39}\text{K} \), and \(^{41}\text{K} \) ultracold mixtures. The CAL consists of three subsystems: scientific modules, electronic systems and laser and optical systems. We describe only the scientific module here because it is a core part of the CAL and is crucial to achieving picokelvin temperatures.

Figure 20(A) shows the core of the scientific module [55], which consists of two glass absorption cells. The lower absorption cell is equipped with a source of Rb and K, and there are four permanent magnetic rods around the pool for the production of a 2D MOT, and the upper absorption cell is a 3D MOT. These two absorption cells are connected by a tube with an inner diameter of 0.75 mm to permit a pressure difference. The cold atoms (Rb and K) produced in the lower 2D MOT enter the upper 3D MOT chamber along the axis of the pinhole (figure 20(A)) with the help of a push light.

The CAL’s scientific absorption cell is surrounded by ten rectangular magnetic coils (figure 20(B)) that are connected to water-cooling loops through eight thermal straps. These closed coils generate the necessary magnetic fields for MOT, magnetic transport, transfer to a chip trap and Feshbach resonance detuning (figure 21).

After the molasses phase, the cold atom cloud is loaded into the trap potential produced by the atom chip with the geometric structure shown in figure 22, which provides a Z-trap, as shown in figure 18(a). The following is an analysis of how further cooling is conducted in this chip. There are two means of deep cooling: one is the DKC process already used by the Quansum group and is described above, and the other is cooling...
by adiabatic expansion. The CAL plans to conduct both cooling experiments and compare them, and it has also carried out theoretical analysis of adiabatic expansion cooling [51]. Theoretical analysis shows that the Z-trap of the atom chip can be used to obtain a three-dimensional effective temperature of 150 pK by adiabatic expansion cooling. The BEC lifetime in the CAL device is 10 s, which is the same as in the experiment of MAIUS-1 in section 4.1 (figure 18(a) introduces the same procedure in the experiment). However, the BEC trapped in the atom chip needs to move in the z direction in the actual experiment. The fundamental problem is to obtain the lowest temperature in a limited time without generating non-adiabatic excitation during the movement. If CAL initially produces $N = 10^4$ atoms in a potential trap and the condensation ratio is $N_0/N = 0.8$, these atoms will move by adiabatic expansion into a shallow trap with a frequency of 0.25 Hz. In this case, the final temperature of the system would reach 140 pK. The chemical potential of the condensate would be 28 pK, which is equivalent to the temperature of the condensate after closing the trap potential [60] to reach 8 pK. Because the atomic cloud has a 10% parametric excitation, the final temperature would increase to 155 pK and the condensing energy per atom would equal 9 pK. Then, the non-adiabatic excitation of the centroid motion would contribute 70 pK to the total energy produced by the ramp and contribute approximately 100 pK to the total energy produced by the uncompensated background gradient displacement. This gives us an ultralow temperature of 160 pK. Because the CAL studies also contain K atoms, the paper also estimates the cooling temperature of K atoms [51]. In the simple harmonic trap, the oscillation frequency of the K atoms is about 1.5 times that of Rb, so when the diffusion cooling is performed, the average frequency of the K atoms is given as 0.37 Hz. With $10^5$ K atoms, this will result in a transition temperature of 360 pK.

5. All-optical traps for deep cooling under microgravity conditions

5.1. Experiments for cold atoms in a parabolic flight plane and Einstein elevator

The atom chip uses magnetic confinement and cannot achieve a precise harmonic potential in three dimensions. Optical confinement overcomes this difficulty and has been used for deep cooling of an atomic gas under microgravity conditions. In 2011, Bouyer’s team carried out an experiment of atomic cooling with optical Raman beams [48]. They employed a parabolic flight plane to cool atoms in an MOT plus Raman beams in microgravity and obtained images of the interference (figures 23(a)–(d)). After the atoms were pre-cooled, they then applied a velocity-selective Raman light pulse carrying two counter-propagating laser fields and cooled the atoms to a temperature of 300 nK in the longitudinal velocity distribution. The device is characterized by the usage of a fiber-optic laser in the communication band to form an all-fiber optical system, which makes the optical system more compact and integrated [114].

Figures 23(a) and (b) shows the first use of a parabolic aircraft to investigate ultracold atoms and interferometers in a microgravity ($\sim 0 \text{ g}$) environment [48]. They use a plane called Novespace A300-0G [78] that can produce a 0 g environment repeatedly. During one flight on this plane, a 22 s parabolic ballistic flight ($0 \text{ g}$) and a 2 min standard gravity flight ($1 \text{ g}$) were available. With the parabolic flight, which can provide a micro-gravity environment of $10^{-2} \text{ g}$, the experiment can be repeated many times. During testing, they cooled $3 \times 10^7$ Rb atoms to 10 pK in 400 ms. Then, using a velocity-selective Raman pulse with two anti-propagation laser fields (figure 23(c)), $10^6$ of the Rb atoms with a temperature of 300 nK were obtained and an atomic interferometer was finally formed (figure 23(d)). Experimental results show that the resolution of this matter-wave interferometer based on microgravity is more than 300 times higher than the level of vibrations onboard the plane. Therefore, this experiment was a pioneer for future space missions such as the STE-QUEST proposed as ESA's candidate project of Cosmic Vision 2020–2022 [115].

To obtain colder atoms, Bouyer’s team used a microgravity simulator (termed Einstein elevator) to cool atoms in an all-optical trap in microgravity [116]. The cooling scheme relied on the combination of three optical techniques. First, they used an enhanced grey molasses to create a reservoir of cold atoms. Second, they used a 1550 nm optical dipole trap (ODT) to create a transparent volume to store the atoms in the dipole trap without emission and reabsorption of near-resonant photons. Third, they generated a time-averaged optical potential by spatially modulating the ODT beam with an acousto-optic
modulator (AOM). Both a high capture volume and fast evaporative cooling with a high collision rate for atoms in the ODT were then achieved.

They realized BECs with the all-optical trap in microgravity using a science chamber mounted on an Einstein elevator developed by the French company Symetrie (figure 24), which undergoes preprogrammed parabolic trajectories. The Einstein elevator can provide up to 400 ms in a 0 g environment every 13.5 s. The residual acceleration during the motion, as measured with a low-noise mechanical accelerometer (Colibrys SF3600), yielded a maximum amplitude of 1 m s$^{-2}$ and a root-mean-squared repeatability of 0.05 m s$^{-2}$ (figure 24(b)).

Before the pull-up phase (figure 24(b)), they used the grey molasses [117] scheme to precool the atoms to 15 µK. Grey molasses has two advantages over red molasses: shorter times for less expansion of the atom clouds and more atoms can be loaded into the optical trap after the grey molasses phase. After that, the atoms were loaded into an optical trap composed of two crossed beams (figure 25), then evaporative cooling was performed to cool them to 400 nK. During the pull-up phase, evaporative cooling was maintained by different time sequences and it was completed by ramping down the ODP power until the end of the injection phase (the acceleration decreased from 1 g to 0 g). Using this protocol, a BEC was obtained 100 ms before the 0 g phase, with $5 \times 10^4$ atoms at a critical temperature of $T_c = 140$ nK. At this point, the trap frequencies were approximately 100 Hz. At the beginning of the 0 g phase, the ODT power was decreased in 40 ms to reach the minimum value required to keep the atoms in the trap. At this stage, the BEC contained $4 \times 10^4$ atoms for a spatial expansion corresponding to 35 nK. The ODT power was 10 mW for an average trap frequency of 39 Hz. This experiment demonstrates the potential to cool atoms in microgravity with an all-optical trap.

5.2. Two-stage cooling in an all-optical trap

Evaporative cooling in an all-optical trap is an attractive technique for rapidly cooling atoms [117–121]. The principal limitation to evaporative cooling in the nanokelvin regime is the extended time required for thermalization due to the low speed
of the atoms at ultralow temperatures. For instance, at a temperature of a few nanokelvin, there are only 2.6 collisions per second on average in a system of 3000 Rb atoms in an optical trap. Such a low collision rate is not adequate for effective evaporative cooling. The useful cooling time is limited by inelastic collisions. To reach temperatures in the picokelvin regime within an acceptable time, the CAPR (Cold Atom Physics Rack) system intended for the Chinese Space Station (expected to be launched in 2022) has adopted an all-optical trap with the TSC scheme, which was proposed by Peking University team [56–59] in 2013. In the first stage of this technique, two crossed laser beams with a narrow waist and high power are used to form an optical trap for runaway evaporation cooling. Then, in the second stage, atoms with low enough temperatures are loaded into the optical trap formed by a crossed laser beam with a wide beam diameter and weak power to conduct controllable decompression cooling to finally reach a temperature below 100 pK.

Compared with the traditional method of using a magnetic trap and microwave evaporation, crossed-beam dipole trap cooling has the advantages of a small potential trap, high trap frequency, high evaporative cooling rate, easy mode matching (in the process of optical molasses, where the first stage is evaporative cooling and the second is controllable decompression cooling, the centers of the laser beams easily coincide) and convenient applications of quantum simulations in a 3D optical lattice. In contrast to the atom chip, the center of the trap potential overlaps in both the first stage of evaporative cooling and the second stage of controllable decompression cooling. Transportation of the atom cloud is unnecessary, which is convenient for operations in the ground state, and avoids heating and exciting the BEC. Therefore, the Chinese researchers proposed to perform TSC (also called the two stage-cross-beam cooling (TSCBC) method) in the CAPR system [56–59]. Compared with the scheme in the magnetic trap [40], the expansion process and decomposition cooling can be more easily and effectively controlled in all-optical trap with TSC. The advantage of TSC is that the mechanical energy of the atoms in the trap can be easily be lowered by reducing the power and increasing the diameter of the laser and optimal control of the time sequence in the second stage.

The feasibility of TSC scheme can be verified by theoretical simulation using the direct simulation Monte Carlo (DSMC) method. The simulation results show that the TSC scheme can effectively cool $^{87}\text{Rb}$, $^{133}\text{Cs}$, $^{39}\text{K}$, $^{40}\text{K}$ and other atomic systems below the temperature of 100 pK under microgravity conditions. In 2018, the Peking University team verified the feasibility of the TSC program through ground experiments [59]. Using this method, $^{87}\text{Rb}$ was cooled to a temperature of 3 nK. The following is a description of the theory of the Peking University team and the related ground experiments.

The literature [56–59] describes the basic principle and process of TSC to reduce the temperature of Rb and K atoms to the picokelvin region. In testing the TSC scheme, two mutually perpendicular intersecting beams were used (figure 26(a)). The two identical laser beams recombined at their waists to form an ODT (figure 26(a) blue part). This potential field can be expressed as [120]

$$U(r) = \frac{-3\pi c^2}{2\omega_0^3} \frac{\Gamma}{\Delta\pi\omega_0^2} e^{-\frac{\omega_0^2}{2\omega_0^2}} \left( e^{-\frac{\omega_0^2}{2\omega_0^2}} + e^{-\frac{3\omega_0^2}{2\omega_0^2}} \right),$$

where $c$ is the speed of light, $\omega_0$ denotes the center frequency of the atom transition, $\Gamma$ represents the spontaneous emission rate of the atom, $\Delta = \omega - \omega_0$ (the detuning frequency between the ODT laser and the transition frequency of atoms) and $P$ is the power of the single-beam laser. The geometric center of the crossing area can be approximated as a simple harmonic potential trap, as shown in figure 27(a) (red part). Considering
Figure 26. (a) Proposed set-up of the multi-beam dipole trap and the two-stage approach to picokelvin temperatures in microgravity. The dark dots represent the atomic ensembles. (A) Evaporative cooling in a very narrow crossed-beam dipole trap for 5 s. (B) Overlapping the thin trap with a wider and weaker one. (C) Decompression in the combined trap for 10 s. In the end, the narrow trap is shut down. Reprinted/figure with permission from [119], Copyright (2019) by the American Physical Society. (b) Trap potential corresponding to (a). (A) Tightly confined trap potential from the beginning of the trap loading atoms corresponding to (a)(A), (B) the trap potential for two overlapping traps, corresponding to (a)(B), (C) the atoms expand in the second trap potential, corresponding to (a)(C), (D) the atoms adiabatically expand in the low trap potential, corresponding to (a)(C).

the influence of gravity, the trap potential of the crossed optical trap can be expressed as

$$\ U(r) = U_0 \left[ \frac{2x^2 + x^2 + z^2}{w_0^2} - 1 \right] - \alpha mgz $$  \hspace{1cm} (26)

where $g$ is the acceleration of gravity (under microgravity conditions of a space station, preferably: $10^{-3}$ to $10^{-5} g$), $\alpha$ represents a coefficient (from $10^{-5}$ to 1), and the direction of gravity is along the $z$-axis. The trap depth should be

$$\ U_0 = \frac{3\pi c^2}{\omega_0^3} \frac{1}{\Delta \pi w_0^2} $$  \hspace{1cm} (27)

The potential energy of the simple harmonic trap at its center can be expressed as

$$\ U_c(x, y, z) = \frac{1}{2} m \left( \omega_x^2 x^2 + \omega_y^2 y^2 + \omega_z^2 z^2 \right) $$  \hspace{1cm} (28)

where $m$ is the atomic mass and the harmonic frequencies of the trap in three directions are

$$\ \omega_x = \omega_y = \frac{\omega_z}{\sqrt{2}} = \sqrt{ \frac{2U_0}{mw_0^2} } $$  \hspace{1cm} (29)

Without gravity (i.e., $\alpha = 0$), the potential is a symmetrical trap (figure 27(b), blue dashed line). With gravity (i.e., $\alpha = 1$), the bottom of the original trap potential will be displaced in the direction of gravity (assumed to be the $z$ direction) by $\Delta z = g/\omega_0^2$, forming a downwardly inclined trap potential (figure 27(b), blue solid line, sometimes called a tilted optical trap) in the direction of gravity, so that a gap is formed compared with the original trap potential (figure 27(b), blue dashed line). The trap depth at which an atom can be loaded is called the effective trap depth $U_{\text{eff}}$, which is lower than the potential $U_0$ of the original trap (blue dashed line). In a tilted optical trap, atoms with higher energy escape in the direction of gravity. At the same time, the trap frequency of an optical trap with inclination is significantly higher than that of one with the same depth but no inclination (red dashed line). In evaporative cooling, in order to keep the phase-space density large enough, a significant number of atoms and a small volume are needed to ensure a high density of atoms. Therefore, the effective trap depth $U_{\text{eff}}$ should not be a low value; it has a limit. Through analysis, we know that limitation of the effective trap depth $U_{\text{eff}}$ determines the lowest temperature of atoms on the ground (in the case without magnetic levitation). If the trap potential is less than the effective trap depth $U_{\text{eff}}$, the atoms will leak out of the trap with the action of gravity (figure 27(c)).

The DSMC method, adopted from the book Molecular Gas Dynamics and the Direct Simulation of Gas Flows by Graeme A Bird (1998), can be used to calculate the average temperature after collision or expansion in an optical trap.
under different conditions of gravity (i.e., different $\alpha$ values in equation (26)). The DSMC algorithm is essentially an improved method for molecular dynamics. The atomic trajectory and its collision characteristics still follow the thermodynamic equation. However, the method does not make a pair-by-pair decision about whether the atoms collide, but divides the entire calculation area $V$ into a plurality of small calculation cells $\Delta V$, then randomly extracts $\Delta N$ collision atoms in the calculation cell $\Delta V$ to collide. At this time, the computational complexity of the entire model is $(V/\Delta V)N$, so the smaller $\Delta V$ is, the closer it is to the computational complexity of the molecular dynamics method. The size of $\Delta V$ is chosen to provide a reasonable value to improve the efficiency of program operation and to reduce redundant processing for collision-free atoms. A diagram of the DSMC simulation of ultracold atoms is shown in figure 28.

After approximating the Gaussian optical trap as a simple harmonic trap (equation (26)), the motion of the atoms in the trap can be conveniently calculated. The initial state ($r_0$, $v_0$) of the atoms are as according to the scale of the trap and the initial temperature of the atomic gas, and according to the simple harmonic equation of motion:

$$\frac{d^2 r}{dt^2} = -\omega^2 r.$$

The state of motion ($r$, $v$) of each atom after time $t$ in the optical trap is

$$r = r_0 \cos \omega t + \frac{v_0}{\omega} \sin \omega t$$

$$v = v_0 \cos \omega t - r_0 \omega \sin \omega t,$$

where $r$ and $v$ are the position and velocity of atoms in three directions, and $\omega$, is the frequency of the three directions of the trap potential determined by equation (29). When dealing with collisions between atoms, because the entire calculation region $V$ can be divided into a plurality of small cells with volume $\Delta V$, the number of collisions of atoms in a time $\Delta t$ is

$$\Delta N_c = \frac{n^2 \sigma v}{2} \Delta V \Delta t.$$

In a randomly selected cell, there are $\Delta N_c$ pairs of atoms for collision, and a collision of two atoms with random exit angle is assumed. In a real system, in addition to elastic collisions, there are also two-body inelastic collisions, background gas collisions and three-body composite collisions, all of which will decrease the number of atoms in the cell. The number of atoms lost per unit time in each cell can be estimated as

$$dn_{loss} = -K_2 n^2 - K_3 n^3 - \frac{n}{\tau_B},$$

where $n$ is the local density in the cell, $K_2$ represents the two-body loss coefficient, $K_3$ stands for the three-body loss coefficient and $\tau_B$ denotes the lifetime of the atom in the trap potential. In the simulation, $K_2$, $K_3$ and $\tau_B$ are the data obtained from the experiment and applied in the program. By changing the light intensity of the optical trap, which is equivalent to changing its depth, we can calculate the position and velocity distributions of the atoms at a certain moment $t$, and finally determine the temperature of the system.
The typical 15 s TSC cooling process can be divided into two stages. The first stage consists of a 5 s runaway evaporation process, and the second is a decompression cooling process for 10 s. Correspondingly, the tight-confining optical trap (figure 26(a)) of the first stage is constructed from two orthogonal beams of 60 μm width, 1064 nm wavelength and an initial power of 5–10 W. The wide-bound optical trap of the second stage consists of two orthogonal beams with a 3 m waist and 30 mW of initial power (figure 26(a)(C)).

At the beginning of the first stage, the 10^7 atoms that just emerged from the molasses phase with microkelvin temperatures are loaded into the tight-confining optical trap. The power of the trap beams is gradually reduced according to

$$P(t) = P_0 \times \left(1 + \frac{t}{\tau}\right)^\beta$$  

(35)

where $P_0$ is the initial power of the laser, and $\tau$ and $\beta$ represent process parameters. Figure 29(a) is a plot of laser power as a function of time described by equation (35). The optimal process parameters obtained by parameter scanning are $\tau = 0.03$ s and $\beta = 1.4$. At the end of this phase, about 10^5 ^{87}$Rb atoms with a temperature of 5.2 nK are trapped in the optical trap. The tight-confining optical trap still exists at the beginning of the second stage until the power is reduced to zero. Similar to the first stage, the power of the wide laser beams forming the wide-diameter bound optical trap still has to ramp down, but the optimal process parameters become $\tau' = 0.01$ s and $\beta' = 0.75$. Eventually, 3000 ^{87}$Rb atoms at 7 pK were obtained at the end of the second stage (figure 29(a)).

When TSC is carried out by using the crossed-laser potential well, the first stage of cooling (forced evaporation cooling, explained in detail in section 2 with equations (5)–(10)) lasts for 5 s. The duration of the second stage of cooling is generally 5–10 s, and is essentially a controlled decompression cooling process. Similar to the forced evaporation cooling process described in section 2, the ordinal evaporation cooling is unrestricted; the difference is that forced evaporation cooling must be completed within a limited time. Similarly, the second stage of controlled decompression cooling, which is conditional adiabatic expansion cooling, must be finished in a finite time. Therefore, we need to consider how to change the potential of the optical trap in a limited time to improve the cooling effect. Equation (35) reveals the optimal values in the process of the two-trap transformation.

The expansion process is realized by transferring atoms in first trap to the second trap. If the second trap has a much greater diameter than the first, the volume increases substantially, while the potential depth will decrease; the atoms in the system will lose kinetic and potential energy simultaneously (figures 26(b)(B) and (b)(C)). After the atomic expansion in the second trap, atoms will redistribute their kinetic and potential energy and begin to oscillate in the trap. Reducing the depth of the second optical trap would remove the atoms with higher energy; this procedure is the same function as evaporative cooling. The advantage of TSC is that, in the second stage, the mechanical energy of the atoms in the trap can be easily lowered by increasing the diameter and reducing the power of the laser and optimal control of the time sequence.

Through DSMC simulation, the variation of atomic temperature with time in the TSC scheme can be obtained (figure 29(c)), as well as the change in the number of atoms (figure 29(b)). The curve in figure 29(c) shows that temperature of ^{87}$Rb atoms drops from microkelvin to nanokelvin within 5 s of the first stage of cooling. Then, within 10 s of the second stage of cooling, the temperature of ^{87}$Rb atoms continues to drop from nanokelvin to picokelvin.

The DSMC simulates the position of each atom in momentum space and position space before and after the second stage of cooling in the system, which can be compared in the same coordinate system, as shown in figure 30. Before the second stage of cooling, atoms are concentrated in position space and scattered in momentum space. After this stage, the atoms are scattered in position space and the momentum space is compressed, indicating that the secondary cooling reduces the temperature of the atoms. Nevertheless, its space density is not reduced, and the entropy in the system remains mostly unchanged.

Because gravity produces a gap in the optical dipole trap (see figure 27(b)), the DSMC method can be used to calculate the final temperature of the system after TSC deep cooling under different gravity conditions $\alpha$ (figure 31). Figure 31 shows that the final average temperature of the system is lowered with the reduction of gravitational acceleration. Therefore, obtaining an ideal microgravity environment is critical for obtaining picokelvin temperatures. For the TSC process,
At extremely low temperatures, the temperature of an atom can be viewed as a statistic of kinetic energy $K$ and potential energy $U_{\text{dip}}$ in three dimensions, namely

$$\frac{3}{2}k_B T = K + U_{\text{dip}}.$$  

(37)

Taking the derivative yields

$$\frac{3}{2}k_B \frac{\partial T}{\partial P} = \frac{\partial U_{\text{dip}}}{\partial P} = -\frac{3\pi^2}{2\omega_0^3} \frac{\Gamma}{\omega - \omega_0/\pi}$$.  

(38)

With the related parameters for $^{87}$Rb atoms of $\Gamma = 6.0666$ MHz and $\omega_0 = 2\pi \times 3.84 \times 10^{14}$ rad s$^{-1}$, the laser parameters of $\omega_1 = 2\pi \times 2.82 \times 10^{14}$ rad s$^{-1}$ and $w = 60$ $\mu$m, and the constants $k_B = 1.38 \times 10^{-23}$ J K$^{-1}$ and $c = 3 \times 10^8$ m s$^{-1}$, the partial derivative of temperature to laser power can be obtained [58]:

$$\frac{\partial T}{\partial P} = 1.81 \times \left( \frac{\text{pK}}{10^{-3} \text{mW}} \right).$$  

(39)

It can be learned from equation (39) that the noise of the laser power must be accurately controlled below $10^{-3}$ mW so that the atomic temperature will be lowered to the order of 10 pK.

Additionally, the mechanical vibration of the space station will seriously affect the cooling process itself. According to the acceleration data of the ISS, the evaporation cooling process can be simulated using the DSMC method to quantitatively explore how mechanical vibrations affect the cooling process in different frequency domains. With the mechanical vibrations of the space station, the motion of the atoms in an optical dipole trap is a typical forced vibration and can be described by

$$\ddot{x} + \omega_0^2 x = A\omega_0^2 \sin(\omega t + \phi_0)$$  

(40)

and

$$x(t) + \dot{x} = -\frac{A\omega_0^2}{\omega^2 - \omega_0^2} \sin(\omega_0 t + \phi_0) + \frac{v_0}{\omega_0} \sin(\omega_0 t) + \frac{A\omega_0^2}{\omega^2 - \omega_0^2} \left[\omega_0 \cos(\omega_0 t) dt + \omega \sin(\omega_0 t) dt\right].$$  

(41)

The above theoretical calculations result in an ideal state. In reality, a space station will have a variety of factors that are not necessarily considered on the ground but affect the deep cooling, such as the fluctuation of laser light intensity, the change in the gravity field, the vibration of the space station, and other factors. The influence of these factors on cooling is analyzed below.

Firstly, the effects of fluctuations in the laser system on the atoms in the trap are considered. A theoretical estimation is made to determine the range of laser power and frequency stability that should be controlled to ensure temperature stability of atoms of the order of 10 pK.

From equation (26), the potential energy near the center of the dipole trap $U_{\text{dip}}$ can be approximated as

$$U_{\text{dip}} = -\frac{3\pi^2}{2\omega_0^3} \Gamma \frac{P}{\Delta \omega w_0^2}. $$  

(36)
Non-Isolated Rack Assessment - Low Frequency

Figure 32. Acceleration data (simulating with the red line) of the International Space Station (ISS).

\[ v(t) + dv = -\frac{A\omega_x^2}{\omega^2 - \omega_0^2}\cos(\omega t + \phi_0) + v_0\cos\omega_0 t + x_0\omega_x\sin\omega_x t + \frac{A\omega_0^2}{\omega^2 - \omega_0^2}\left[\cos\omega_0 t + \omega_0^2 - \omega_0^2\right] \]

where \( x \) and \( v \) are the atomic displacement and velocity, and \( \omega_0 \) and \( \omega \) are the trapping frequency and external vibration frequency, respectively. Before the TSC cooling, 7.5 \times 10^5 atoms at 10 \( \mu \)K are placed in an optical trap formed by crossed beams for 5 s. According to NASA’s vibration data (red line in figure 32) for the ISS, the calculation can simulate the results of the final temperature (figure 33) and the final number of atoms (figure 34).

The simulation results show that in the lower frequency range (below about 50 Hz), the vibration causes serious atomic loss so that the cooling process stops. It can be found that if the vibration acceleration is reduced by 10 times or 100 times, the serious atom loss is significantly suppressed, as shown in figures 33(a) and (b). In the design of the actual system, the low-frequency vibration must be isolated to ensure that the final temperature enters the picokelvin range (figures 39(a) and (b)).

The above analysis shows that the microgravity environment is favorable for deep cooling, but the vibration of the space station itself and the fluctuation of light intensity will affect the results of the TSC cooling scheme. In order to obtain the temperature of atomic samples below 100 \( \mu \)K, corresponding preventive procedures such as vibration isolation and laser power stabilization must be taken.

In order to verify the TSC scheme, the team at Peking University tested it through a technique with an all-optical trap plus magnetic levitation [59], and they obtained the preliminary results of 3 \( nK \). The experimental device they used for \(^{87}\text{Rb}\) Bose–Einstein condensation is shown in figure 35(a).

After the precooling process, including the MOT, dark MOT and optical molasses, 1 \times 10^7 \(^{87}\text{Rb}\) atoms at 130 \( \mu \)K were obtained. The next step is the TSC cooling sequence shown in figure 35(b). The atoms are loaded in a pair of laser beams with a diameter of 45 \( \mu \)m and power of 8 W. The evaporative cooling was performed, and at the end of the first stage, there were 10^4 \(^{87}\text{Rb}\) atoms condensed to form a BEC at a temperature of 90 \( nK \) and a 90% condensation rate. The corresponding trap frequency is 70 Hz. During the second cooling stage, a magnetic field that balances gravity is turned on. This field is generated by two pairs of coils, one of which is an anti-Helmholtz coil that generates a gradient magnetic field suspending the \(^{87}\text{Rb}\) atoms. The other pair consists of Helmholtz coils and is used to reduce the radial binding effect of the anti-Helmholtz coil so that the released atoms are more free in the radial direction. The electric field generated by Helmholtz and anti-Helmholtz coils in three-dimensional space can be expressed as

\[ U_B (x, y, z) = \mu B_z^i \left( \frac{x^2}{4} + \frac{y^2}{4} + \left( \frac{z - B_z}{B_z^i} \right)^2 \right). \]

where \( \mu = \mu_B/2 \) is the magnetic moment for \(^{87}\text{Rb}\) atoms in the \( |1, -1 \rangle \) state and \( \mu_B \) is the Boltzmann magneton. \( B_z \) is the bias magnetic field that the Helmholtz coils produce, and \( B_z^i \) is the gradient magnetic field that the anti-Helmholtz coils produce.

Using equation (43), one can estimate the radial binding frequency of the magnetic field coil:

\[ \omega_k = \omega_x = \sqrt{\frac{\partial^2 U_B (x, y, z)}{\partial x^2}} \frac{m}{x} = \sqrt{\frac{\mu B_z^i}{4 m B_z^i}}. \]

This shows that to reduce the radial limit of an atom, one must increase the bias field \( B_z \). In the experiment, \( B_z = 70 \text{ G} \), and its equivalent gradient magnetic field is 30.5 G cm\(^{-1}\), which is sufficient to balance the gravity of the \(^{87}\text{Rb}\) atoms in the ground state \( |1, -1 \rangle \).

In accordance with the timing sequence (figure 35(b)), the second pair of cross-optical dipole traps is adiabatically switched on while the gradient magnetic field is on. When the laser power reaches the maximum of 500 mW, the atoms originally in the first optical trap are converted to the second, whose diameter is 145 \( \mu \)m, and then held for 20 ms, while the first optical trap is almost turned off. The second stage of cooling, decompression cooling, is then conducted. In this process, the volume increases by nine times that of the first trap, and the potential depth decreases significantly. After the atomic expansion in the second trap, the atoms lose their kinetic and potential energy simultaneously.

The laser power is reduced following equation (35), and the optimal coefficients should be \( \tau = 0.03 \text{ s} \) and \( \beta = 1 \). Finally, the laser power of the second optical trap is reduced to 3 mW, which is equal to an optical potential depth of 1.2 \( E_r \), and the final number of atoms is \( 4 \times 10^4 \). Reducing the laser power reduces the depth of the second optical trap, which can remove the atoms with higher energy from the trap.

The final temperature can be determined using equation (4). This requires maintaining the total number of atoms \( N \) so that the atoms will oscillate in the potential well. After measuring the frequency of the trap \( \omega_B \), and the background atoms around the condensation \( N = N_0 \) in the trap, equation (4) reveals the temperature of \( T = 3.5 \text{ nK} \) for the background atoms, and the corresponding trap frequency \( \omega_\text{ho} \) is approximately 5 Hz. Figure 36(a) shows the result of the oscillation of the BEC.
Figure 33. Vibration affection analysis according to the original acceleration spectrum of international space station: (a) temperature and (b) number of $^{87}$Rb atoms after 5 s evaporative cooling. Reproduced with permission from [125].

Figure 34. Analysis of the effect of vibration according to the reduced acceleration spectrum of the ISS: number of atoms after 5 s evaporative cooling for (a) one-tenth of the original acceleration and (b) 100 times lower acceleration. Reproduced with permission from [125].

Figure 35. (a) Schematic drawing of the setup for the TSC experiment. (b) The sequence chart of the TSC process. Reprinted from [60], with the permission of AIP Publishing.

in the trap, which indicates the oscillation frequency $\omega_{bo}$ of the trap. Figure 36(b) illustrates the relationship between the variation of the trap depth $U_{dip}$ and the trapping frequency $\omega_{bo}$ of the oscillation of the condensate in the trap. The data points marked by black triangles are the measured values and the solid red line is given by equation (29). The temperature
Figure 36. (a) Oscillation of condensate in the optical trap; the temperature of atoms is around 3.5 nK. The atoms are cooled by TSC [65]. Reprinted with permission from Dr Tian Luan [65]. (b) Relationship between optical trap depth and trapping frequency; the temperature of the atoms is proportional to the trapping frequency as described by equation (4) [65]. Reproduced with permission from [66].

of the atoms in the optical trap is lowered with the decrease in trapping frequency $\omega_{3D}$ following the relation described by equation (4). The final temperature in this experiment is limited by the noise of the magnetic coils for the levitation of the atoms. The results verify that the TSC scheme in the all-optical trap is a suitable option.

6. Summary and outlook

Obtaining picokelvin temperatures not only expands our understanding of the nature of the materials but also promotes new technological applications. In terms of physical studies, figure 37 shows that there are different interactions between the atoms in the many-body system at different temperatures [123]. The system appears to have a variety of phases on different energy scales (corresponding to temperature) because of the distinct regions of interactions, which determine magnetism, spin textures and topological excitation, among other properties.

In the quantum simulation of an optical lattice (figure 38), if the temperature of the atom is low enough, the quantum tunneling through the lattice will be larger than the fluctuation caused by the temperature of the atom, and the accuracy of the experimental measurement will be greatly improved. Table 2 shows the hopping amplitude $t$ (or tunneling flow) according to the Hubbard model in the optical lattice with different optical trap depths $U_0(E_r)$, the onsite-interaction energy $U$, and the tunneling flow $J$ corresponding to the Heisenberg model. Thus, as the optical depth increases, the corresponding energy (expressed in terms of temperature) of these tunneling flows would reach the picokelvin order. If the actual temperature of the system can be reduced to the picokelvin region, the thermal fluctuation will not affect the interaction between the quantum tunnel and the field, which will greatly improve the experimental results.

In the case of phase transitions in an optical lattice with bosons (figure 39), the quantum phase transition behaves with different characteristics at a finite temperature and at absolute zero ($T = 0$ K) [26]. Figure 39 shows a simplified scheme of the phase diagram of Rb atoms at finite $T$ in an optical lattice at mean lattice occupancy number $n = 1$. Strictly, the transition from a superfluid (SF) to a Mott insulator (MI) at the critical interaction strength ($U = J_c$) in the optical lattice happens only at $T = 0$ K. For the region $U/J < (U = J_c)$, the SF phase exists up to a critical temperature $T_c$, which decreases to zero at the quantum critical point (QCP), demonstrating a drastic change in the ground state of the system. Therefore, the transition routes in figure 39 (red solid lines labeled 1 to 4) will
undergo unique features and follow separate scaling laws, and the system transitions will reveal different features while the finite temperature changes from nanokelvin to picokelvin. The article [124] shows that the Higgs amplitude mode in an optical lattice emerges at typical low temperatures, and the peaks of amplitude of the Higgs mode will vanish while the temperature $T$ is higher than the hopping amplitude $t$ in Table 2.

When the temperature of atoms decreases from nanokelvin to picokelvin, the wavelength of the matter waves further increases, improving the spatial coherence of the atom interference. Furthermore, because the accuracy of an atom interferometer is proportional to the square of the free-space evolution time, an atom interferometer at the lower temperatures of a microgravity environment will improve both coherence and measurement accuracy. This could become the basis for a next generation of high-precision basic physics applications. The interferometer could contribute to the search for dark energy [125], quantum testing of Einstein’s equivalence principle [126, 127] and long-baseline detection of gravitational waves [128, 129], helping to improve our understanding of general relativity and quantum mechanics. Additionally, an atomic clock based on ultracold atoms in microgravity [130] will provide high precision for various measurements and tests in fundamental physics.

An atomic interferometer at picokelvin temperatures could be expected to have a precision for measuring gravity better than $10^{-9}$ [35], which would be a powerful tool for earth science, for measuring gravity at the Earth’s surface and the spatiotemporal mass distribution below the surface. Beyond that, it could also monitor mass changes of icebergs [131, 132] and volcanoes [133], as well as groundwater resources [134, 135].

Thus, achieving temperatures in the picokelvin regime can stimulate not only new physics but also new technologies.

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### ORCID iDs

Xuzong Chen © https://orcid.org/0000-0002-1213-6648
Bo Fan © https://orcid.org/0000-0001-5048-6086

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**Table 2. Parameters with relative energy (temperature).**

| Optical trap depth $U_0$ | $10E_i$ | $15E_i$ | $20E_i$ |
|-------------------------|--------|--------|--------|
| $T$ (tunneling)         | 0.02$E_i$ = 2.2k$_B$ nK | 0.007$E_i$ = 0.7k$_B$ nK | 0.003$E_i$ = 0.3k$_B$ nK |
| $U$ (interaction)       | 0.28$E_i$ = 27k$_B$ nK | 0.38$E_i$ = 37k$_B$ nK | 0.47$E_i$ = 46k$_B$ nK |
| $J = \frac{t^2}{4E_i}$ (tunneling in Heisenberg model) | 0.007$E_i$ = 0.7k$_B$ nK | $5.8 \times 10^{-4} E_i = 0.056k_B$ nK | $6.6 \times 10^{-3} E_i = 0.006k_B$ nK |

*Note: $E_i$ is the photon recoil energy in the optical lattice and $k_B$ is the Boltzmann constant.*

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**Figure 39.** Diagram of finite $T$ in an optical lattice. Phase transition routes (red solid lines labeled 1 to 4) will undergo various features and follow distinct scaling laws.
Bose–Einstein condensation in a gas of sodium atoms

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