PERSonality, Ethical, and PROfessional Quality of Life in Pediatric/Adult Intensive Nurses Study: PERSEPRO PAIN Study

Yujiro Matsuishi
University of Tsukuba

Bryan Mathis
University of Tsukuba

Haruhiko Hoshino
University of Tsukuba

Yuki Enomoto
University of Tsukuba

Nobutake Shimojo
University of Tsukuba

Satoru Kawano
University of Tsukuba

Hideaki Sakuramoto
Ibaraki Christian University

Yoshiaki Inoue (✉ yinoue@md.tsukuba.ac.jp)
University of Tsukuba

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Abstract

Background Burnout syndrome (BOS) is emotional exhaustion that reduces both professional quality of life (QOL) and satisfaction derived from work. The World Health Organization (WHO) included BOS criteria in its 11th Revision of the International Classification of Diseases (ICD-11) in 2019 and nursing bodies have issued calls to action to prevent BOS. Despite this importance, the effect of social factors, personality traits and cross- interaction on professional QOL is still unclear.

Method An online survey whose enrollment criteria included registered nurses working in adult, pediatric or both ICUs. We used the ten-item personality measure (TIPI) and Type-D personality Scale-14 (DS14) then measured the ethical climate by using the Hospital Ethical Climate Survey (HECS) and the professional QOL domains BOS, secondary traumatic stress (STS), and compassion satisfaction (CS) by the Professional Quality of Life Scale Version 5 (Pro-QOL V) simultaneously. Multivariate analysis of factors, including demographic data and interaction terms, confirmed the triangle association of hospital ethical climate, personality traits and professional QOL.

Result We enrolled 310 participants from September 2019 to February 2020. Mean age was 33.1 years (± 5.9) and about 70% were female. In the multivariate analysis, neuroticism (p=0.03), Type D personality (p<0.01) were associated with BOS, and BOS-associated factors and agreeableness (p<0.01) was associated with STS. On the other hand, extraversion (p=0.01), agreeableness (p<0.01) and openness (p<0.01) were associated with CS. We also observed interactions between hospital ethical climate and conscientiousness (p=0.01) for BOS and STS. neuroticism (p<0.01) for BOS and CS, Type D personality (p<0.01) for BOS and STS.

Conclusion Hospital ethical climate strongly affects the magnitude of professional QOL in nurses with specific personality traits. Therefore, it is important for management to maintain an ethical hospital climate and consider individual personalities to maintain professional QOL and prevent BOS.

Introduction

The term “burnout” was first used in nearly half a century ago by Freudenberger 1 while Maslach defined burnout syndrome (BOS) by three symptoms: emotional exhaustion, depersonalization and reduced personal accomplishment 2,3. The World Health Organization (WHO) included BOS criteria in its 11th Revision of the International Classification of Diseases (ICD-11) in 2019 4 and the UK Health and Safety Executive (HSE) reported 15.4 million working days lost due to BOS in 2017 – 201 5. BOS in the nursing fields, especially critical care, has been recognized by national societies such as the Critical Care Societies Collaborative (CCSC) and the American Association of Critical Care Nurses (AACN) with calls to action for BOS prevention 6.

Working specialty plays a crucial role in burnout, an effect especially seen in pediatric intensive care 7 and intensive care nurses8–10. These nurses have been reported to experience intense occupational stress in
their daily work\textsuperscript{11} and, as observing death is a strong risk factor for BOS\textsuperscript{12}, places where death is frequently observed, such as the intensive care unit, makes BOS prevention challenging. The result is that patient safety can be compromised as seen in a previous study which revealed that time pressure adversely affected patient safety for nurses with BOS\textsuperscript{13}, an association confirmed by another study\textsuperscript{14}. From these reasons, further studies on BOS in intensive care nurses are required and these studies benefit both nurses and patients. Previous studies have already confirmed that BOS is related to social factors such as work environment/climate, management\textsuperscript{15,16}, and also specific personality factors\textsuperscript{17–22}.

Recently, BOS has been classified as not merely psychological but also a domain of professional quality of life (QOL). Stamm et al defined professional QOL as the feeling resulting from the relationship between caregivers and patients that includes three domains: burnout (BOS), secondary traumatic stress (STS), and compassion satisfaction (CS)\textsuperscript{23}.

The word “compassion” describes the sympathetic awareness of another’s distress and STS is compassion fatigue defined as the emotional withdrawal experienced by caregivers of traumatized people. Although it may be an expected side effect of caregiving, nursing is still a high risk cohort for having STS in spite of efforts and training to prevent it\textsuperscript{24}. Balancing STS is CS, which is a positive feeling of accomplishment gained through the caregiving profession. Nurses must constantly walk this tightrope between STS and CS and thus measurements of professional QOL\textsuperscript{23} must observe BOS, STS and CS simultaneously to analyze the balance between these three domains.

Even with an understanding of the association between BOS and its associated domains (STS and CS), associated factors for professional QOL are still unclear. A recent study revealed that lifestyle and demographic information were associated with a poor professional QOL\textsuperscript{25} but the impact and interaction of factors such as hospital ethical climate and personality traits on BOS is underreported.

Therefore, we hypothesize that:

1. The ethical climate of a hospital (hospital ethical climate; HEC) is an independent risk factor for professional QOL (BOS, STS and CS).
2. Specific personality traits are independent risk factors for professional QOL (BOS, STS and CS).
3. There are interactions between hospital ethical climate and specific personality traits for professional QOL, indicating that hospital ethical climate strongly affects professional QOL in nurses with specific personality traits.

**Methods**

**Participant selection**

We used an online survey to solicit participants and enrolled registered nurses working in adult, pediatric or hybrid ICUs from September 2019 to February 2020. The survey was distributed via the official laboratory social network services (SNS) account to recruit participants. Informed consent (via an online
form) was taken before the online survey and ethical approval was obtained. We also distributed the survey to professional mailing lists consisting of Japanese intensive care nurses through a nonprofit organization called the Japanese Society of Education for Physicians and Trainees in Intensive Care (JSEPTIC). All surveys were conducted with Japanese domestic nurses.

Demographic data

We extracted characteristics, including age, sex, marital status, number of children, hobbies, exercise habits, annual income, academic degree, years of nursing experience, and years of ICU experience.

Measurement of personality traits

When choosing tools to assess personality traits, we relied on both the Big Five and Type-D personality theories. The Big Five theory is widely used in psychology and is one of the most established approaches to describe individual personality traits. On the other hand, the Type D personality theory was used to initially evaluate the association between patients with heart disease and mortality; however, a recent study revealed an impact of Type D personality on other chronic diseases. As BOS and other occupational stress is often chronic, we thus assumed that Type D personality would also affect nurse BOS and post-traumatic stress disorder symptoms (PTSD). The already-validated Japanese version of the ten-item personality measure (TIPI) is a measurement tool based on the Big Five theory consisting of 10 items and a 7-point Likert-scale to assess extraversion, agreeableness, conscientiousness, emotional stability and openness to experience. We applied the original cutoff point for four subjective degrees ("Low", "Medium Low", "Medium High", "High") for each personality trait but treated them as dichotomous (low and medium low as low, medium high and high as high) since skewed distributions and outliers might impart bias to the association between personality, ethical climate and BOS symptoms. Treating these personality traits as dichotomous increases the robustness of the interpretation. We also used the already-validated Japanese version of the Type-D personality Scale-14 (DS14) to test for Type D personalities. The DS14 consists of 14 items on a 5-point Likert scale to assess Negative Affectivity (NA) and Social Inhibition (SI). Scores equal to or above 10 on both NA and SI indicate a Type D personality.

Measurement of ethical climate and professional QOL

We measured HEC by the already-validated Japanese version of the Hospital Ethical Climate Survey (HECS) which consists of 26 items to assess the ethical climate of a workplace. HECS is the one of the most widely used surveys, having been translated into many languages, and it is recognized as having good psychometric properties for measuring ethical climates in health care organizations such as hospitals. There are five domains, including peers, patients, managers, hospital and physicians, and a 5-point Likert scale that measures agreement with statements for each domain. We measured professional QOL by the already-validated Japanese version of the Professional Quality of Life Scale Version 5 (Pro-QOL V). The Pro-QOL V is the commonly used to measure BOS, STS, and CS in nurses,
and consists of 30 items (10 items for each symptom) and a 5-point Likert scale that measures agreement with statements for each item\textsuperscript{40}. Each subscale score of 22 or less were considered “low”, levels of 23–41 were considered “moderate” and levels of 42 and above suggested “high” levels of the subject symptom.

\textbf{Statistics}

\textbf{Multivariate modeling}

For dichotomous variables we used Fisher's exact test for two groups, along with t-testing and ANOVA, followed by Tukey's test for multiple comparisons of continuous variables in univariate analyses. The outcome of interest was the relationship between personality traits and professional QOL. We evaluated each personality trait based on the Big Five theory and the association between BOS and the BOS-related symptoms STS and CS. We also evaluated the association between Type D personality and BOS, STS and CS. We used age\textsuperscript{9,41,42}, sex\textsuperscript{8,9}, marital status\textsuperscript{9,43}, children\textsuperscript{8}, exercise habit\textsuperscript{44} and experience years in ICU\textsuperscript{41,45} as co-variates chosen \textit{a priori} from the current literature. We applied general additive models (GAM)\textsuperscript{46,47} to examine the relationship between objective variables (BOS, STS and CS) and explanatory variables. GAM uses a non-linear link function to estimate relationships between objective variables and a smoothed function of explanatory variables\textsuperscript{48}. A p<0.05 was considered statistically significant for all parameters.

\textbf{Interactions}

We hypothesized that HEC mediates the relationship between personality traits and each aspect of professional QOL (BOS, STS and CS). Therefore, we assumed the severity of the relationship between personality traits and professional QOL aspects is not equal by stratifying the severity of the HEC. Thus, we conducted GAM modeling, including only the main effects as above (model 1), but we also conducted interaction modeling using a thin-plate smoothing spline\textsuperscript{49} in the broad sense of smoothing splines for ANOVA (SSANOVA)(Model 2), Interaction modeling can explain the influence between the HEC level and personality traits on the outcome. All data were analyzed using the R software package.

\textbf{Sample size calculation}

Sample sizes were calculated based on the relationship between BOS and personality traits. Based on previous research, we assumed that effect size is slightly small \(f^2 = 0.02\) and we determined that 264 observations would be required for a significance level (\(\alpha\)) of 0.05 and test power (1-\(\beta\)) of 0.80.

\textbf{Ethics}

This study was carried out under laws equivalent to or derived from the principles of the Declaration of Helsinki and was approved by the University of Tsukuba Institutional Review Board (approval # R01-142).
Results

Demographic data

We enrolled 310 participants from September 2019 to February 2020 and demographic data is shown in Table 1. The mean age of the participants was 33.1 years (± 5.9) and about 70% were female. Approximately 55% of the participants were married and 65% were childless. About half (54%) of the participants had hobbies and 29% had an exercise habit. Approximately 40% of the nurses had diplomas, with 35% of the nurses having a bachelor’s degree and 1% had a doctorate. A majority of the nurses (36%) earned between 47,000-57,000 USD annually and had an average nursing experience duration of 11.1 (± 5.5) years and average ICU experience of 6.3 (± 3.3) years.

Distribution of personality traits

As shown in Figure 1, the distribution of personality traits based on the Big Five theory did not significantly differ between Adult ICU, Adult/pediatric ICU and PICU categories for extraversion (Adult ICU: 3.8 ± 1.2 vs. Adult/pediatric ICU: 3.9 ± 1.2 vs. PICU: 3.6 ± 0.9, p=0.35), agreeableness (Adult ICU: 4.1 ± 1.1 vs. Adult/pediatric ICU: 4.3 ± 1.1 vs. PICU: 3.8 ± 0.9, p=0.05), conscientiousness (Adult ICU: 3.6 ± 1.0 vs. Adult/pediatric ICU: 3.8 ± 1.0 vs. PICU: 3.5 ± 0.9, p=0.28), neuroticism (Adult ICU: 3.6 ± 1.1 vs. Adult/pediatric ICU: 3.7 ± 1.1 vs. PICU: 3.9 ± 0.9, p=0.21), and openness (Adult ICU: 3.7 ± 1.1 vs. Adult/pediatric ICU: 3.9 ± 1.2 vs. PICU: 3.7 ± 1.0, p=0.34). With regard to the distribution of personality traits based on Type-D personality shown in Figure 2, the prevalence of Type-D personality did not significantly differ between Adult ICU, Adult/pediatric ICU and PICU categories (Adult ICU: 37% vs. Adult/pediatric ICU: 44% vs. pediatric ICU: 32%, p=0.67). Differences in the NA (Adult ICU: 9.6 ± 6.5 vs. Adult/pediatric ICU: 9.2 ± 5.6 vs. pediatric ICU: 8.4 ± 5.6, p=0.37) and SI (Adult ICU: 9.6 ± 5.6 vs. Adult/pediatric ICU: 10.3 ± 5.9 vs. pediatric ICU: 9.1 ± 4.8, p=0.37) categories were also not significantly different.

Severity of hospital ethical climate

As shown in Figure 3, The severity of total of ethical climate did not significantly differ between categories (Adult ICU: 67.5 ± 12.1 vs. Adult/pediatric ICU: 67.3 ± 15.8 vs. pediatric ICU: 66.1 ± 10.1, p=0.69) and no significant differences were seen for each component: peers (Adult ICU: 11 ± 2.6 vs. Adult/pediatric ICU: 11.1 ± 3.2 vs. PICU: 10.5 ± 2.5, p=0.38), patients (Adult ICU: 11.5 ± 2.3 vs. Adult/pediatric ICU: 11.2 ± 2.7 vs. PICU: 11.4 ± 2.1, p=0.66), managers (Adult ICU: 14.7 ± 4.8 vs. Adult/pediatric ICU: 14.9 ± 5.0 vs. PICU: 13.8 ± 3.4, p=0.27), hospital (Adult ICU: 14.9 ± 3.8 vs. Adult/pediatric ICU: 14.5 ± 3.9 vs. PICU: 14.8 ± 3.5, p=0.79), and doctor (Adult ICU: 15.3 ± 3.5 vs. Adult/pediatric ICU: 15.4 ± 4.2 vs. PICU: 15.4 ± 3.7, p=0.97).

Average severity of BOS, STS and CS
As shown in Figure 4, the average BOS score in the PICU category was significantly lower compared with Adult ICU (p<0.05) and Adult/pediatric ICU categories (p<0.05) (Adult ICU: 32 ± 5.5 vs. Adult/pediatric ICU: 33.5 ± 5.6 vs. PICU: 28.6 ± 4.3) while the average of severity of STS in the PICU category was also significantly lower compared with Adult ICU (p<0.05) and Adult/pediatric ICU categories (p<0.05) (Adult ICU: 28.5 ± 4.6 vs. Adult/pediatric ICU: 28.8 ± 6.1 vs. PICU: 26.7 ± 3.9). Moreover, the average severity of CS in the PICU category was significantly higher compared with Adult ICU (p<0.05), and Adult/pediatric ICU categories (p<0.05) (Adult ICU: 29.3 ± 5.5 vs. Adult/pediatric ICU: 30.5 ± 6.8 vs. PICU: 32.7 ± 5.4).

Factors associated with BOS, STS and CS by univariate analysis

Exercise habits and improved total HECS scores (p=0.01), plus each component of HECS (p<0.01) were significantly associated with decreased BOS (p<0.01), neuroticism (p=0.01), and Type-D personality (p<0.01) while the components of type D personality (NA [p<0.01] and SI [p=0.01]) were associated with increased BOS. Age (p=0.02), hobby (p=0.04), years of nursing experience (p=0.04), years of ICU experience (p=0.03), extraversion (p<0.01), agreeableness (p<0.01) and improved total HECS score (p<0.01) plus each component of HECS (peers: p<0.01, patients: p=0.01, managers: p<0.01, hospital: p<0.01, doctor: p<0.01) were associated with decreased STS while female (p=0.02), neuroticism (p=0.02), Type-D personality (p<0.01) plus each component of type D personality, NA (p<0.01) and SI (p=0.02) were associated with increased STS. On the other hand, age (p<0.01), number of children (p=0.04), exercise habit (p<0.01), years of nursing experience (p=0.01), years of ICU experience (p<0.01), extraversion (p<0.01), agreeableness (p<0.01), openness (p=0.03) and improved total HECS score (p<0.01) plus each component of HECS were associated with increased CS (p<0.01 of all) but only neuroticism was associated with decreased CS (p=0.03). Complete results of this univariate modeling are available online (Supplementary File 1).

Associations between personality traits and HEC for BOS, STS and CS by multivariate analysis

Table 2 shows the results of the multivariate analysis. Neuroticism (p=0.03), Type-D personality (p<0.01) plus each component of type D personality, NA (p<0.01) and SI (p=0.01), and total HECS score (p<0.01) plus each component of HECS (except Peers) were associated with increased BOS (Patients: p<0.01, Managers: p<0.01, Hospital: p<0.01, Doctor: p<0.01). All the risk factors for increased BOS were also risk factors for increased STS and agreeableness (p<0.01), and peer component of HECS (p<0.01) were an additional associated factor for STS. For CS, extraversion (p=0.01), agreeableness (p<0.01), openness (p=0.01), and total HECS score (p<0.01) plus each component of HECS were associated with increased CS (p<0.01 for all). Of all the multivariate modeling, only exercise habit was independently associated with increased BOS and STS but decreased CS. Detailed results of multivariate modeling, including covariates for each personality trait and HEC (including covariates), are available online. (Supplementary File 2)

Interaction between HEC and personality traits based on Big Five theory for BOS, STS and CS
We show the interaction between ethical climate and personality traits based on the Big Five theory for BOS, STS and CS in Figure 5. We observed an interaction between ethical climate, conscientiousness (p<0.01) and neuroticism (p=0.01) for BOS, an interaction for STS between ethical climate and conscientiousness (p<0.01), and an interaction for CS between ethical climate and neuroticism (p=0.01). This result indicated that worse ethical climates increase the magnitude of BOS, STS and CS for nurses possessing specific personality traits. We also observed that high conscientiousness nurses did not answer more than 88 out of 120 questions for HECS compared with 111 out of 120 questions in the low conscientiousness group. This difference was also seen in agreeableness as high agreeableness nurses did not answer less than 50 out of 120 questions for HECS compared with 22 out of 120 questions in the low agreeableness group. Detailed results of Interaction between HEC and personality traits based on Big Five theory for BOS, STS and CS are available online. (Supplementary File 3)

**Interaction between ethical climate and Type D personality for BOS, STS and CS**

We also show the interaction between HEC and Type D personality for BOS, STS and CS in Figure 6. An interaction between ethical climate and Type D personality for BOS (p<0.01) and STS (p<0.01) but not CS (p=0.29) was observed, indicating that a substandard ethical climate increases the magnitude of BOS and STS (but not CS) for Type D personality nurses.

**Discussion**

The present study is the first to reveal the relationship between personality traits, hospital ethical climate and professional QOL. Here, we showed that HEC is independently associated with professional QOL (BOS, STS,CS) (Hypothesis 1) and also that specific personality traits are independently associated with BOS, STS and CS (Type-D personality for BOS and STS: Extraversion for CS: Agreeableness for STS and CS: Neuroticism for BOS and STS: Openness for CS: ) (Hypothesis 2). Moreover, the interaction between HEC and specific personality traits for professional QOL (Type-D personality for BOS and STS: Conscientiousness for BOS and STS: Neuroticism for BOS and CS) indicate that HEC strongly affects the magnitude of professional QOL in nurses with specific personality traits. (Hypothesis 3)

The average BOS, STS and CS scores in our cohort were 31.5 (± 5.6), 28.1 (± 4.9) and 30.4 (± 6.0), respectively, and these average BOS and STS scores are similar to a previous report evaluating all nurses in Japan. The STS average score we obtained was also similar to a previous study from China regarding various departments, including the ICU, which indicates that our cohort was an appropriate cross section of nurses and that our results are generally applicable to Asian nursing populations.

We also revealed differences with regard to professional QOL between adult, adult/pediatric and PICU nurses. The PICU nurses had significantly lower BOS and STS, coupled with significantly high CS, even though the demographic data and personality traits were not significantly different. As a previous study reported that those in pediatric care are subject to high levels of stress and burnout risk and a meta-analysis also revealed a BOS high prevalence in pediatric nurses, this conflicts with our results.
systematic review indicated that adult intensive care nurses are a high risk population but, as the PICU is still a new therapeutic area, there are fewer professional QOL comparisons between PICU and other nursing professions. One study that included both adult and pediatric intensive care nurses found no differences but adult intensive care unit nurses may more frequently face the deaths of their patients, impacting professional QOL. Taking into account that observing death is a strong risk factor for BOS, our finding that pediatric nurses have lower BOS and STS but higher CS is reasonable.

Regarding the risk factors for BOS and CS, exercise habits are significantly associated with BOS and CS. As a previous review already reported reductions in BOS within general occupational health, our study is in line with this review and also showed the robustness of the strong association between exercise and BOS. Interestingly, exercise was significantly associated not only with BOS but CS. As Stamm et al conceptualized BOS, CS and STS as the facets of professional QOL, it is sensible that exercise's effect on reduction of BOS could increase CS.

We also revealed an interaction between hospital ethical climate and specific personality traits for BOS, CS and STS. According to the Big Five theory, conscientiousness positively interacts with hospital ethical climate and BOS/STS which drives the idea that personality is a key factor in assessing professional QOL. Likewise, neuroticism has a similar but negative interaction with hospital ethical climate and BOS and CS. Moreover, Type D personality also interacts with ethical climate and BOS and STS which leads to the conclusion that the effect of hospital ethical climate on BOS and STS is mediated by aspects of the Type D personality.

Several studies have revealed the associations between personality and BOS and ethical climate and BOS. However, humans are dual aspect creatures living in both a social community and as individuals. Thus, the ethical climate must be thought of as a representative barometer of the atmosphere of the working place as a social community while personality traits are representative of the individual thinking. From our result, hospital ethical climate and personality traits with regard to professional QOL and its facets, including BOS, STS and CS, are thus significantly associated. Therefore, for clinical implications, we advocate that administrators take personality traits into account and also create a good hospital ethical climate to maintain professional QOL in high-stress nursing departments. Additionally, nurses possessing conscientiousness, neuroticism and Type-D personality traits are sensitive to hospital ethical climates, are at risk for BOS and should be supported to increase their professional QOL.

This observational study demonstrated links between personality traits, ethical climate and professional QOL. Further studies should be conducted on interventional approaches that consider personality traits in counseling to increase professional QOL. Because a substandard ethical climate greatly decreases professional QOL for specific personalities, this association must be considered for interpretation of any intervention-based results.

There is still a worldwide shortage of nurses, estimated to reach to 7.6 million in the year 2030. This number will invariably be inflated because of the COVID-19 pandemic and medical staff are now facing
difficulties that place tremendous pressure on professional QOL. Taking into account the close relationship between personality, compassion, ethical climate and professional QOL, new studies focusing on strategies to retain nurses will be needed to ameliorate the nursing shortage in this pandemic age.

Limitations

There are some limitations to this study. First, we conducted a cross-sectional study and therefore cannot mention any causal relationships. Second, we used an online survey system for gathering participants which might have led to self-selection and/or non-respondent bias. Self-selection and non-respondent biases are difficult to control for in questionnaire studies since we could not survey the non-responders for ethical reasons and these nurses may have refused participation because of a higher level of BOS. However, in spite of the limitations inherent in a cross-sectional study, this report still demonstrates a significant interaction between hospital ethical climate and specific personality traits with regard to professional QOL that could serve to bring insight into counteracting BOS and STS in PICU nurses.

Conclusion

We conducted a cross-sectional study showing hospital ethical climate and specific personality traits as independent factors for professional QOL. Additionally, the magnitude of professional QOL is linked to the interaction between the hospital ethical climate and these specific personality traits. Therefore, it is important for hospital management to consider the impact of individual personalities with regard to the ethical climate when planning interventions.

Declarations

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None

Conflicts of interest

None

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None

Authors’ contributions

YM, BM designed the study and carried out sample collection, data analysis, and wrote the manuscript. HS, HH, YE, NS, SK and YI participated in designing study. All authors read and approved the final manuscript.
Disclosure

None

Competing interests

The authors declare that they have no competing interests.

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Tables

Due to technical limitations, table 1,2 is only available as a download in the Supplemental Files section.