

**NuSTAR and Swift Observations of Swift J1357.2–0933 During an Early Phase of Its 2017 Outburst**

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**Abstract**

We present a detailed spectral analysis of *Swift* and *NuSTAR* observations of the very faint X-ray transient and black hole system, *Swift J1357.2–0933*, during an early, low-hard state of its 2017 outburst. *Swift* J1357.2–0933 was observed at \(\sim0.02\)% of the Eddington luminosity (for a distance of 2.3 kpc and a mass of 4 \(M_\odot\)). Despite the low luminosity, the broadband X-ray spectrum between 0.3 and 78 keV requires the presence of a disk blackbody component with an inner disk temperature of \(T_\text{in} \sim 0.06\) keV in addition to a thermal Comptonization component with a photon index of \(\Gamma \sim 1.70\). Using a more physical model, which takes strong relativistic effects into account, and assuming a high inclination of 70°, which is motivated by the presence of dips in optical light curves, we find that the accretion disk is truncated within a few \(R_{\text{isco}}\) from the black hole, independent of the spin.

**Key words:** binaries: close – stars: black holes – X-rays: binaries – X-rays: individual (Swift J1357.2-0933)

1. Introduction

*Swift* J1357.2–0933 is a black hole low-mass X-ray binary. It was first detected in 2011 by the *Swift* Burst Alert Telescope (Barthelmy et al. 2005; Krimm et al. 2011). The distance to the source is not well constrained and can range from \(\sim1.5–6.3\) kpc (Rau et al. 2011; Shahbaz et al. 2013). If the true distance is toward the lower end of this range, *Swift* J1357.2–0933 belongs to the rare class of very faint X-ray transients (VFXTs; Wijnands et al. 2006), which reach a peak luminosity of only \(L_\chi \sim 10^{34–36}\) erg s\(^{-1}\) (Armas Padilla et al. 2013, 2014). The mass of the black hole is estimated to be \(>3.6\,M_\odot\) by Corral-Santana et al. (2013) or \(>9.3\,M_\odot\) by Mata Sánchez et al. (2015). An orbital period of 2.8 ± 0.3 hr is obtained from time-resolved optical spectroscopy of broad, double-peaked H\(\alpha\) emission (Corral-Santana et al. 2013). In the optical light curve recurring dips on 2–8 minute timescales have been observed (Corral-Santana et al. 2013). In these dips the flux dropped by up to \(\sim0.8\) mag. This short-term variability has been addressed to *Swift* J1357.2–0933 being viewed at a nearly edge-on inclination angle (\(i \gtrsim 70^\circ\)) and the presence of a geometrically thick obscuring torus in the inner disk (Corral-Santana et al. 2013). The observed broad, double-peaked H\(\alpha\) profile supports a high orbital inclination (Torres et al. 2015). The *RXTE* and *XMM-Newton* data do not show signs of (n)either the 2.8 hr orbital period, (n)or of the recurring dips (Armas Padilla et al. 2014).

Renewed activity of *Swift* J1357.2–0933 was first reported as an optical outburst on 2017 April 20 by Drake et al. (2017). Subsequently, a *Swift/XRT* observation taken on April 21 detected a bright X-ray source at the optical position (Sivakoff et al. 2017), suggesting an early phase of an X-ray outburst. This provides a good opportunity to study a black hole X-ray transient during its rising outburst. We therefore requested a *NuSTAR* target of opportunity (ToO) observation, to observe *Swift* J1357.2–0933 during an early phase of its outburst. There are also two *Swift/XRT* observations taken simultaneously to the *NuSTAR* observation.

2. Observation and Data Analysis

2.1. *NuSTAR*

We analyzed the *NuSTAR* (Harrison et al. 2013) data taken on April 28 (obsid: 90201057002; exposure: 34.5 ks) using the *NuSTARDAS* tools nupipeline and nuproducts. To extract source photons, we used a circular region with a radius of 30″ located at the known position of *Swift* J1357.2–0933. Photons for the background spectra were extracted from a region of the same shape and size located close to the source on the same detector that was free of source photons. To investigate short-term variability we derived cospectra in the 3–30 keV band using *MaLTPyNT* (Bachetti 2015). The energy range from 3 to 30 keV comprises about 97.3% of the source photons detected with *NuSTAR* in the 3–78 keV band. The cospectrum is the cross-power density spectrum (PDS) derived from data of the two completely independent focal planes and represents a good proxy of the white-noise-subtracted PDS (Bachetti et al. 2015).

2.2. *Swift*

We analyzed *Swift/XRT* (Burrows et al. 2005) monitoring data, using the online data analysis tools provided by the Leicester *Swift* data center,\(^5\) including single pixel events only (Evans et al. 2009). Two observations were taken simultaneously to our *NuSTAR* ToO observation: the first observation (obsid: 00088094002; PI: Stiele) was taken in photon counting mode, while the second observation (obsid: 00031918053; PI: Sivakoff) was taken in windowed timing mode. In the first observation, the exposure was split into two parts of 196 and 765 s, with a gap of 5615 s in between. The exposure of the second observation was 524 s.

For the *Swift/UVOT* (Roming et al. 2005) data, we used the task *uvot2pha* to extract spectral information. In the first observation images in the U and UVW1 filter are taken, while

\(^5\) http://www.swift.ac.uk/user_objects/
in the second observation B, V, U, UVW1, UVW2, and UVM2 images are available.

3. Results

3.1. Timing Analysis

The Swift/XRT longterm light curve is shown in Figure 1. The NuSTAR observation was taken near the outburst peak.

For the NuSTAR data, light curves in three energy bands with a binning of 240 s, and corresponding hardness ratios are shown in Figure 2. We derived cospectra in the 3–30 keV range, using time bins of 2^{-8} s and of 0.5 s and stretches of 512 s, corresponding to frequencies between ~2^{-9} Hz and 128 or 1 Hz, respectively (Figure 3). The cospectra can be fitted with two zero-centered Lorentzians, modeling band-limited noise (BLN) components, and one Lorentzian to fit a peaked noise (PN) component. The BLN components have an rms variability of \(18.9^{\pm1.4}\%\) and \(23.0^{\pm0.9}\%\), respectively, and a characteristic frequency of \(\nu = 6.0^{\pm1.5}\) mHz and \(\nu = 0.31^{\pm0.08}\) Hz, respectively. The PN component has a centroid frequency of \(\nu_0 = 20.3^{\pm1.8}\) mHz, a half width at half maximum of \(\Delta = 10.3^{\pm2.7}\) mHz, an rms variability of \(14.8^{\pm1.8}\%\), and a significance of 3.3\(\sigma\). The parameters are almost identical in both bands.

3.2. Spectral Analysis

We fit the averaged energy spectra of the NuSTAR and Swift ToO observations within isis (V.1.6.2; Houck & Denicola 2000) in the 0.3–78 keV range, where the Swift/XRT data cover the 0.3–10 keV range and the NuSTAR data cover the 3–78 keV range. We grouped the Swift/XRT data to a signal-to-noise ratio of 3 and the NuSTAR data to a signal-to-noise ratio of 5, with at least five channels per bin, both for Swift/XRT and NuSTAR. The Swift/XRT and NuSTAR spectra can be well fitted with an absorbed power-law model \((\chi^2/\text{dof}: 539.7/525; \text{Figure 4})\), where the absorption, modeled with TBabs (Wilms et al. 2000), is fixed at \(N_H = 3 \times 10^{20}\) cm\(^{-2}\). We use the abundances of Wilms et al. (2000) and the cross sections given in Verner et al. (1996). The obtained photon index is \(\Gamma = 1.679^{\pm0.006}\). The spectral parameters are given in Table 1. We also add a floating cross-normalization parameter, which is fixed to one for NuSTAR FPMA, to take uncertainties in the cross-calibration between the different telescopes into account. The absorbed flux in the 0.3–78 keV band is \(2.08 \pm 0.08 \times 10^{-9}\) erg cm\(^{-2}\) s\(^{-1}\), and the unabsorbed flux in the 0.5–10 keV band is \(2.75 \pm 0.11 \times 10^{-10}\) erg cm\(^{-2}\) s\(^{-1}\), a factor \(\sim 2.6\) bigger that the unabsorbed flux observed on April 21 (Sivakoff et al. 2017). The uncertainties on the flux are obtained following the approach presented in Wijnands et al. (2004).

We also try to fit a cut-off power law to the Swift and NuSTAR spectra. This model gives a cut-off energy \(\geq 428\) keV, outside the energy range covered by the data. The values of all other parameters are similar to the one found using the power-law model (see Table 1). The cut-off power law is not statistically required compared with the simple power-law model \((\chi^2/\text{dof}: 541.3/524)\).

Using a broken power law \((\text{bknpower})\), we obtain a statistically improved fit \((\chi^2/\text{dof}: 526.1/523)\). According to the sample-corrected Akaike Information Criterion (AIC; Akaike 1974), this is an improvement of \(\Delta \text{AIC} = 9.5\), i.e., a 115 times better model (Burnham et al. 2011). The spectral parameters and the flux is given in Table 1.

As the broken power law provides a much better fit than the simple power law, we also fit the spectra with an absorbed disk blackbody (\(\text{diskbb}\)) plus thermal Comptonization model (\(\text{nthcomp};\) Zdziarski et al. 1996; Zycki et al. 1999). This results in a further improved fit of \((\chi^2/\text{dof}: 520.3/522)\). The spectral parameters and the flux can be found in Table 1. To investigate a possible dependency of the disk parameters on the absorption, which is low (Armas Padilla et al. 2014; Plotkin et al. 2016), we fit the spectra with rather extreme absorptions of \(N_H = 0\) and \(1 \times 10^{21}\) cm\(^{-2}\), and find that the disk temperature increases, while the disk radius decreases, with increasing absorption, but that the obtained parameters are consistent within errors.

While the phenomenological models presented above provide a statistically very good fit, they do not contain information about the geometry of the X-ray producing region. To obtain information about the geometry, we need to study the strong relativistic effects close to the black hole. We model the relativistic effects using the relxill model (Dauser et al. 2014; García et al. 2014). We use an emissivity index of 3, which is appropriate for a standard Shakura–Sunyaev accretion disk and an extended corona (Reynolds & Begelman 1997). Due to the low count rates and the degeneracy of different spectral parameters of the model, we need to fix some values. Because the cut-off power-law model gives a high cut-off energy, we keep the cut-off energy in the relxill model at 300 keV. We also set the outer disk radius to \(r_{\text{out}} = 400 R_g\). We try two different inclinations of 30° and 70°, where the higher inclination is motivated by dip-like features observed in optical light curves. As there are no measurements of the spin available from the literature, we try values of zero (Schwarzschild black hole), 0.8, 0.9, and 0.95 for the spin.

Using the higher inclination, we obtain a photon index of \(\Gamma \sim 1.70\), an inner disk temperature of \(T_{\text{in}} \sim 56\) eV and a low reflection of \(R_{\text{rel}} \sim 0.16\). The iron abundance \((A_{\text{Fe}} < 0.8)\), and the ionization parameter \((\log(\xi/(\text{erg cm s}^{-1})) \sim 1.0)\) are not well constrained. That the presence of a disk component hampers constraining the ionization parameter has already been
noticed in previous studies (Basak & Zdziarski 2016; Stiele & Kong 2017). The values of the spectral parameters are consistent for the different spin values (see Table 2). The inner radius of the reflection disk (measured in $R_{\text{ISCO}}$) increases with increasing spin, but the data prefer an accretion disk truncated close (within $< 5 R_{\text{ISCO}}$) to the black hole. We show in Figure 5 how $\chi^2$ changes with the inner disk radius compared to the best-fit $\chi^2$ value. These relations confirm that a disk truncated close to the black hole is preferred, independent of the spin value. The flux of the direct disk component contributes about one-third of the total flux in the 0.3–1 keV band. For the lower inclination of $30^\circ$, which gives statistically slightly worse fits with $\Delta \chi^2 = +1 - 2$ (for the same dof), we obtain a photon index of $\Gamma \sim 1.68$, an inner disk temperature of $T_{\text{in}} \sim 59$ eV and an even lower reflection fraction of $R_{\text{refl}} \sim 0.11$, independent of the spin. The iron abundance ($A_{\text{Fe}} < 0.9$) and the ionization parameter ($\log(\xi/\text{erg cm s}^{-1}) \sim 2.0$) are not well constrained. The inner disk radius is also not well constrained, but now the fits prefer a bigger inner disk radius with a disk truncated above $\sim 3 R_{\text{ISCO}}$.

Assuming a lamp-post geometry and an inclination of $70^\circ$, we find upper limits of 20.01, 15.47, 18.53, and 19.47 $R_g$ for spin values of 0, 0.8, 0.9, and 0.95, respectively. For an inclination of $30^\circ$, the inner disk radius is unconstrained and we obtain lower limits on the height of the accretion disk of 5.78, 6.18, and 6.34 $R_g$ for spin values of 0.8, 0.9, and 0.95, respectively. For a Schwarzschild black hole the disk height is unconstrained.

Adding the Swift/UVOT data points and using the absorbed power-law and broken power-law models, we obtain photon indices and a break energy consistent with those of the Swift/XRT and NuSTAR fit with the same model given in Table 1. Fitting just the Swift/UVOT data points with a power-law model results in a statistically unacceptable fit with a photon index inconsistent with $1.6 \pm 1.7$, which confirms a change of the spectral shape at soft energies. Fitting the combined Swift/UVOT, XRT, and NuSTAR spectra with an absorbed disk blackbody plus thermal Comptonization model, where we used the REDDEN component (Cardelli et al. 1989) for the interstellar extinction in the UVOT filters, we obtain spectral parameters consistent within errors with those of the Swift/XRT and NuSTAR fit with the same model (see Table 1). We also fit the spectra with the DISKIR model (Gierliński et al. 2008, 2009), which takes irradiation of the accretion disk into account. The

![Figure 2. NuSTAR FPMA and FPMB light curves (240 s bins) in the low 3–10 (red filled dots), medium 10–25 (green open squares), and high 25–78 keV (blue crosses) bands. In the lower panels, hardness ratios are shown (HR1 = L/M; HR2 = M/H).](image-url)
obtained parameters can be found in Table 1. Including disk irradiation gives a higher disk temperature and a smaller disk radius, but the obtained parameters are consistent within errors with those of the Swift/XRT and NuSTAR fit with the absorbed disk blackbody plus thermal Comptonization model. Armas Padilla et al. (2013) found that the correlation between Swift/UVOT v-band and XRT data is consistent with a non-irradiated accretion disk. The data point obtained from the 2017 outburst lies below the correlation shown in Armas Padilla et al. (2013). Because we only have this data point, the correlation between v-band and XRT flux does not help us to decide if disk irradiation plays a role in the 2017 outburst. The obtained interstellar extinction is at least as big as the Galactic reddening in the direction of Swift J1357.2–0933 ($E(B - V) = 0.04$ mag; Schlegel et al. 1998).

4. Discussion

While these phenomenological models provide statistically good fits, they do not allow us to learn anything about the accretion geometry of the system. Because we need to study the strong gravity effects close to the black hole to obtain information about the geometry, we fitted the spectra with an absorbed disk blackbody plus relxill model. Assuming a high inclination of 70°, which is motivated by the observed dips in optical light curves (Corral-Santana et al. 2013), we find that the disk is truncated close to the black hole within a few $R_{\text{ISCO}}$ independent of the spin. The presence of an accretion disk truncated within a few $R_{\text{ISCO}}$ from the black hole is consistent with the signs of direct disk emission in the X-ray spectra. If Swift J1357.2–0933 is located close to us, so within 2.3 kpc, and contains a black hole with more than 4 $M_{\odot}$, we observed it at less than 0.02% Eddington luminosity. At such low luminosities, one expects to find a disk truncated far away from the black hole, as the disk is found to recede in the quiescent state (McCintock et al. 1995, 2001, 2003; Narayan & Yi 1995; Narayan et al. 1996; Esin et al. 1997, 2001). A disk truncated at 5–12 $R_{\text{ISCO}}$ has been observed at $\sim0.02% L_{\text{Edd}}$ in GRS 1739–278 (Fürst et al. 2016). Even if Swift J1357.2–0933 is located at $\sim6$ kpc, the luminosity is less than 0.15% Eddington and the disk should be truncated far away from the black hole. In GX 339-4, a disk truncated $\gtrsim35R_{g}$ has been observed at $\sim0.14% L_{\text{Edd}}$ (Tomśick et al. 2009). Disk radii consistent with a disk extending down to the ISCO in the LHS have been reported in GX 339-4 at luminosities $\gtrsim1% L_{\text{Edd}}$ (Miller et al. 2006; Tomśick et al. 2008; Petrucci et al. 2014). Done & Diaz Trigo (2010) argues that the small disk truncation radius observed in Miller et al. (2006) and Tomśick et al. (2008) is an artifact caused by severe pile-up in the XMM-Newton MOS data, which broadens the iron line. Because we observe Swift J1357.2–0933 at much lower luminosity and with NuSTAR, we can exclude that our spectral fits are affected by pile-up, and hence the small observed disk radius cannot be attributed to this effect.

If we assume a low inclination of 30°, and a spin value of $a \gtrsim 0.9$, we find an accretion disk being truncated above 4–5 $R_{\text{ISCO}}$, consistent with the expectations for the truncation radius at such low luminosities. However, such a low inclination does not agree with the value derived from optical light curves. Regarding the inclination of Swift J1357.2–0933, the exact geometry is still puzzling, as the dips that are observed in optical light curves and that suggest a high inclination do not show up in X-ray light curves (Corral-Santana et al. 2013; Armas Padilla et al. 2014). Unfortunately, the light curve of the NuSTAR data used in this study is not conclusive, regarding the presents of dip-like features.

We observed in the NuSTAR cospectra a peaked noise component at $\sim20$ mHz, but cannot conclusively relate it to the optical dip features, which show up at frequencies of $\sim5$–10 mHz in a power spectrum of SALT data, obtained simultaneously with our NuSTAR observation (D. Buckley 2017, private communication). So the variability feature in the X-rays does not seem to be a harmonic of the ones seen in the optical band. We would also like to mention that the NuSTAR cospectra do not show the presence of a mHz QPO, which has been observed close to outburst peak in 2011 at a luminosity of $\sim10^{35}$ erg s$^{-1}$ (when the source was about a factor of 51.5 brighter than during the NuSTAR observation; assuming a distance of 1.5 kpc; Armas Padilla et al. 2014).

The NuSTAR cospectra show, in addition to the peaked noise range of 2–128 Hz (upper panel) and 2–1 Hz (lower panel) ranges.
component, two BLN components at characteristic frequencies of $\nu = 6.0 \pm 1.1$ mHz and $\nu = 0.31 \pm 0.06$ Hz, respectively. Two BLN components have also been detected in RXTE PDS of the 2011 outburst, but at higher frequencies of $\nu = 39 \pm 7$ mHz and $\nu = 0.6 \pm 1$ Hz (Armas Padilla et al. 2014). An increase of the characteristic frequency of

Figure 4. Swift/XRT and NuSTAR energy spectra fitted with an absorbed diskbb + nthcomp (upper left panel) and diskbb + relxill model, with $i = 70^\circ$ and $a = 0.9$ (upper right panel). The disk component and the reflection component are indicated by dashed lines. The lower panel shows the Swift/UVOT, XRT, and NuSTAR energy spectra fitted with the diskir model.
Table 1
Averaged Swift and NuSTAR Spectra of Swift J1357.2–0933 Fitted with Different Phenomenological Models

| Parameter          | Swift/XRT and NuSTAR | +Swift/UVOT          |
|--------------------|-----------------------|----------------------|
| $\Gamma$           | 1.679 ± 0.006         | 1.678 ± 0.005        | 1.703 ± 0.004 | 1.702 ± 0.005 | 1.697 ± 0.005 |
| $\Gamma_2$         | 2.46 ± 0.26           | 2.11 ± 0.22          | 2.51 ± 0.02  | --           | --           |
| $E_{\text{break}}$/keV | $\geq$427.7           | 0.57 ± 0.32          | 46.0 ± 1.11 | 32.9 ± 0.11 |
| $R_{\text{in}}$ (km) | ...                   | ...                  | 367.6 ± 109.87 | 108 ± 1.38 |
| $T_{\text{e}}$ (eV) | ...                   | ...                  | 43.5 ± 1.01 | 97.5 ± 0.11 |
| $c_\text{e}$/c     | ...                   | ...                  | ...         | 3.02 ± 0.03 |
| $R_{\text{out}}$ ($R_*$) | ...                     | ...                  | ...         | ...         |
| $b_\text{e}/b$     | ...                   | ...                  | 1.003 ± 0.001 | ...         |
| $E(B-V)$ (mag)     | ...                   | ...                  | 0.012 ± 0.002 | ...         |
| const & FMB        | 0.092 ± 0.006         | 0.092 ± 0.006        | 0.092 ± 0.006 | 0.092 ± 0.006 |
| const & FMB        | 0.541 ± 0.003         | 0.539 ± 0.002        | 0.545 ± 0.008 | 0.417 ± 0.023 |
| const & FMB        | 0.627 ± 0.002         | 0.625 ± 0.002        | 0.631 ± 0.027 | 0.455 ± 0.023 |
| $\chi^2$/dof      | 539.7/525             | 541.3/524            | 526.1/523       | 557.3/521     |
| $F^b$              | 2.08 ± 0.08           | 2.14 ± 0.04          | 2.07 ± 0.08     | ...         |

Notes.

* In units of photons keV$^{-1}$ cm$^{-2}$ s$^{-1}$ at 1 keV.
* Assuming an inclination of 70° and a distance of 2.3 kpc.
* Absorbed flux in the 0.3–78 keV band in units of 10$^{-9}$ erg cm$^{-2}$ s$^{-1}$.

Table 2
Averaged Swift/XRT and NuSTAR Spectra of Swift J1357.2–0933 Fitted with an Absorbed Relativistic Model Assuming Different Inclination and Spin

| Parameter          | $a = 0$ | $a = 0.8$ | $a = 0.9$ | $a = 0.95$ |
|--------------------|---------|----------|----------|-----------|
| $R_{\text{in}}$ (km) | 452.6 ± 282.27 | 461.3 ± 282.94 | 464.8 ± 218.77 | 441.3 ± 218.77 |
| $T_{\text{e}}$ (eV) | 56.0 ± 26.7 | 55.7 ± 15.4 | 55.7 ± 15.4 | 56.4 ± 15.4 |
| $\Gamma$           | 1.70 ± 0.02 | 1.70 ± 0.02 | 1.70 ± 0.02 | 1.70 ± 0.02 |
| $b_\text{e}/b$     | <2.75     | <2.75     | <2.75     | <2.75     |
| log(erg cm$^{-1}$) | 0.92 ± 0.02 | 0.95 ± 0.08 | 0.98 ± 0.06 | 0.98 ± 0.06 |
| $\chi^2$/dof      | 517.7/519 | 517.2/519 | 517.4/519 | 517.5/519 |
| $F^b$              | 2.08 ± 0.06 | 2.08 ± 0.06 | 2.07 ± 0.06 | 2.07 ± 0.06 |

Inclination: 70°

Notes.

* Assuming a distance of 2.3 kpc.
* Absorbed flux in the 0.3–78 keV band in units of 10$^{-9}$ erg cm$^{-2}$ s$^{-1}$.

Inclination: 30°

Notes.

* Assuming a distance of 2.3 kpc.
* Absorbed flux in the 0.3–78 keV band in units of 10$^{-9}$ erg cm$^{-2}$ s$^{-1}$.

6
BLN components with increasing source luminosity has been observed in the LHS at the beginning of an outburst of (bright) low-mass X-ray binaries like GX 339-4 (Belloni et al. 2005). Despite the much lower source luminosity in the NuSTAR data, the rms variability of the BLN components is rather similar between the 2011 RXTE PDS (23 ± 1% and 21 ± 1%; Armas Padilla et al. 2014) and the NuSTAR cospectra (19±2 ±1% and 23 ± 1%).

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Facilities: NuSTAR, Swift.

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Figure 5. Change of $\chi^2$ with different values of the disk radius seen by the reflection component.