DIAGNOSIS REVIEW

Prediction of male infertility by the World Health Organization laboratory manual for assessment of semen analysis: A systematic review

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ABBREVIATIONS
ASA, anti-sperm antibodies; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyse

Abstract Objective: To discuss the role, reliability and limitations of the semen analysis in the evaluation of fertility with reference to the World Health Organization (WHO) fifth edition guidelines, with semen analysis reference values published in 2010. We also discuss the limitations of using a single threshold value to distinguish ‘abnormal’ and ‘normal’ parameters.

Methods: The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines were used to search the MEDLINE, EMBASE, and the Cochrane electronic database for articles discussing the effectiveness of semen analysis.

Results: Limitations affecting the reliability of semen analysis as a predictor of fertility were found. These include: the lack of consideration of the female factor, the vaguely defined threshold values, and the intra-individual variation in semen parameters.

Conclusions: Impaired semen parameters alone cannot be used to predict fertility as these men still have a chance of being fertile, except when a man has azoospermia, necrospermia or globozoospermia.

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Introduction

Semen analyses have been the test of choice for assessing the male partner in an infertile couple. Studies linking quality of the ejaculate and fertility have been around since the 1930s [1]. In 1980, the WHO recruited a team of physicians and scientists to publish a manual describing in detail what the normal semen parameters of a fertile man should be and how to analyse semen in the laboratory. Since then, they have updated the manual four times, with the latest being in 2010 [2]. Although analysing semen samples can provide valuable information about the fertility of the male in certain situations, it does have several limitations [3]. The goal of the present review is to discuss the role, reliability and limitations of semen analyses in the evaluation of infertility, as well as the disadvantages of using a single threshold value to distinguish abnormal and normal parameters.

What is a semen analysis?

Semen consists of two components: the spermatozoa made by the seminiferous tubules of the testis, and the seminal fluid produced by the accessory glands that nourishes sperm and has a role in interacting with the female reproductive tract to influence fertility [4]. These components are reflected in the semen analysis by the sperm count, which reflects the number of spermatozoa in the semen sample; and the volume of the semen, which reflects the amount of seminal fluid produced [2]. Sperm motility is defined as the percentage of sperm that show signs of movement, whilst the sperm morphology is the percentage of sperm that appears to have a normal cellular structure. Sperm vitality is defined as the percentage of sperm that are viable in the sample.

A semen sample is collected by masturbation after an abstinence period of 2–7 days, preferably near the laboratory to limit the time between collection and analysis. The physical characteristics of the semen sample, such as the volume, pH, colour, liquefaction and viscosity is measured, and the sample is then evaluated under a microscope to determine the motility, vitality, concentration, and morphology [5]. The values obtained are compared to the reference values determined by the WHO manual. The reference ranges for semen characteristics are given in Table 1.

Studies have shown that the total motile sperm count (volume × concentration × motility) has been the most predictive factor in determining fertility compared to volume, concentration, and motility individually [6].

Determining normal semen parameters

The reference values for the semen parameters established in the 2010 WHO manual were described in a report by Cooper et al. [7] in 2010. The study analysed the semen analysis data from 1953 men from five studies across three continents. The 1953 men included in the study had got their partner pregnant in ≤12 months ensuring that all these men were fertile. After the semen analysis the data were analysed, the 5th centile was calculated and it was used as the lower reference limit in the fifth edition manual published by the WHO. The 5th centile for reference ranges was used to match what is widely accepted in clinical chemistry, which states that 95% of the data should be included in the reference interval.

There are many limitations with the study, some of which were discussed by the authors themselves. The lack of an equal population distribution means that some areas of the world are over-represented, whilst others are under-represented [7]. For example, only 10% of the population involved in the study was from the southern hemisphere, and most of the included population was from Europe [5].

Another limitation is that only fertile couples were included in the evaluation of normal values. Whilst the WHO study did account for this by comparing it to a normal ‘unscreened’ group that represents the general population, they never compared their values to that of infertile men. Because of this, it is difficult to accurately predict fertility with the WHO reference values [7].

Table 1  Semen analyses lower reference limits defined by the WHO laboratory manual for the examination and processing of human semen in 2010.

| Semen characteristic                                      | Lower reference limit |
|----------------------------------------------------------|-----------------------|
| Volume, mL                                               | 1.5                   |
| Sperm concentration, 10^6/mL                             | 39                    |
| Total sperm number, 10^8                                 | 15                    |
| Total motility (PR + NP), %                              | 40                    |
| Progressive motility (PR), %                             | 32                    |
| Vitality (live spermatozoa), %                           | 58                    |
| Sperm morphology (normal forms), %                       | 4                     |
| pH                                                       | ≥7.2                  |
| Seminal fructose, μmol/ejaculate                         | ≥13                   |

PR, progressive motility; NP, non-progressive motility.

Methods

We reviewed the databases of MEDLINE, EMBASE, and the Cochrane Library as per the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines on 15 August, 2017 (Fig. 1) [8]. The search was conducted with the following keywords: ‘semen analysis’, ‘World Health Organization’ and ‘infertility’. Articles were evaluated based on their title or abstract and were included if they discussed the reliability or the limitations of semen analysis. Articles were excluded if they were not written in English.
Results

We identified 649 articles across the three databases and after reviewing the titles and abstract for the articles, we were left with six articles that met the inclusion criteria (Table 2) [3,5,6,9–11]. Two of the articles were review articles, another two were prospective cohort studies, and the other two were case–control studies. We reviewed each article and determined the biggest limitations to be the lack of consideration of the female factor, the vaguely defined threshold values, and the intra-individual variation in semen parameters. These will be discussed below.

Discussion

Limitations of semen analyses

The female factor

About half of the infertile couples have a male component of infertility and only 30% of infertility in couples is due to male factors alone [12]. The Center for Disease Control (CDC) reports that 12.1% of females aged 15–44 years in America have impaired fecundity [13]. Age is a strong factor that affects fertility in females. This is mainly due to a decrease in oocyte number and quality with age [14,15]. An example of the decrease in quality is the increased incidence of autosomal trisomies with age that has been proven in a variety of study populations [16,17].

The WHO fifth edition manual used studies that did not emphasise female age. Most of the studies only included pregnant women and only had age-limiting inclusion criteria for men; the studies that did provide information about the female partner had a mean female age of ~29 years, and showed a low percentage of females aged >35 years [11,18].

Female age must be considered when talking about a couple’s fertility. Males with poor quality sperm could conceive when their relative subfertility is compensated by a young female with a high probability of conception [19]. That same man may experience problems with conception if his partner is a 45-year-old woman [14,15]. In this case, poor results in a semen analysis could skew physicians to believe that the male is the cause of the couple’s infertility. It is important to remember that infertility itself is a couple’s issue and it must be treated as such.

Re-defining the threshold values

There is a large controversy in the value of the fixed thresholds with semen analyses in the evaluation of fertility. The use of the 5th centile in the WHO manual means that, out of the 1953 fertile men, 5% of them had semen parameters below the lower reference limit.

| Table 2 | List of studies included in the review with comments. |
|---------|--------------------------------------------------|
| Reference | Study type | Comments |
| Bonde et al. (1998) [6] | Prospective cohort | This study challenged the use of semen analysis as a predictor for fertility, as it found that even men with semen parameters above the lower limit for normal had a chance of being infertile, albeit a lower one |
| Esteves et al. (2012) [5] | Review | This review critically commented on the fifth edition WHO manual guidelines for semen analysis |
| Guzick et al. (2001) [9] | Case control study | This study evaluated and challenged the idea of using a single threshold value to differentiate between ‘normal’ and ‘abnormal’, instead using two threshold values to separate three groups of individuals. These groups are ‘fertile’, ‘indeterminate’ and ‘subfertile’ |
| Leushuis et al. (2014) [10] | Prospective cohort | This prospective cohort study evaluated how much more effective two semen analyses were in predicting natural conception, concluding that it did not improve prediction |
| Slama et al. (2002) [11] | Case control study | The study evaluated how semen parameters affected time to pregnancy in fertile couples and found an association between semen parameters and time to pregnancy |
| Wang and Swerdloff (2014) [3] | Review | This review discussed problems with using semen analysis as a test for male fertility and the importance of viewing the problem of infertility as a couple’s issue. They also discuss the value of a semen analysis in extreme cases like azoospermia |
for normal. Large variations in semen analysis results are seen in the fertile population and whilst there is an increased likelihood of conception with an increased sperm count, males with lower sperm counts can still conceive.

A 1998 study by Bonde et al. [6] investigated 430 first-pregnancy couples and their results showed that ~65% of the males with sperm concentrations > 20 million/mL conceived and 36% of males with concentrations below that conceived. This shows that it is impossible to determine what a low sperm count means for an individual man, as there are too many other factors that affect fertility. The reverse is also true in that a man with a normal sperm count may not be able to conceive a child due to factors such as sperm aneuploidy and high sperm DNA fragmentation.

Guzick et al. [9] studied the differences in semen parameters between fertile and infertile couples after excluding the female factor by fertility evaluation. They discussed that it is more appropriate to separate semen measurements into three groups: fertile, indeterminate, and subfertile, rather than using a single reference value to delineate normal and abnormal values, as done in the current WHO guidelines. The reference values for these three groups are shown in Table 3 [9].

There is no doubt that the likelihood of infertility increases as the percentage of sperm with normal morphology, motility, and concentration decreases [9,11,18]. Both studies described above show a marked excess of fertile men with values in the fertile range; and a corresponding excess of infertile men with values in the subfertile range. However, there is an overlap in between these groups, where both fertile and infertile men are distributed in almost equal proportions. They described these values as the ‘indeterminate’ range [6,9]. They concluded that the three-group classification system would be more clinically meaningful for their data, given that semen measurements progress biologically in a continuous variation. This is in contrast with the categorical, discontinuous fashion that separates normal and abnormal semen values in the WHO guidelines [2,9].

In conclusion, caution should be undertaken whenever interpreting subfertile sperm measurements as these are not conclusive of infertility. The same goes for men whose sperm counts are above the reference range set by the WHO manual, as these men could have parameters in the indeterminate range where a significant portion of the group is infertile.

Intra-individual variability

Apart from the study by Leushuis et al. [10], many other studies also described fluctuations of semen measurement values within the same individual [20–23]. Alvarez et al. [24] discussed that sperm concentration shows the greatest intra-individual variation followed by other parameters such as: sperm count, morphology, motility, and semen volume. On the other hand, the parameters that showed the best homeostatic regulation with the least variation within an individual are sperm vitality and total motility [23,24]. All these variations are probably due to the differences in sperm production in the normal germinal epithelium [24].

The process of spermatogenesis is initiated and highly regulated by hormonal controls in the hypothalamus. GnRH from the hypothalamus triggers the release of FSH and LH, which in turn initiates spermatogenesis in the testes. GnRH stimulation is regulated by different rhythmicities in the body, one of which is the circadian rhythm with a daily peak in GnRH production during the early morning. Besides that, the pulsatile rhythm also gives a peak output of GnRH every 90–120 min [25]. Thus, we can imagine that due to the control and regulation of GnRH secretion, this will in turn influence the process of spermatogenesis resulting in the daily variations of semen parameters.

Furthermore, the Cagnacci et al. [26] study demonstrates the diurnal variation of semen quality in human males contributing to the reported variability in semen parameters. Their data document that specimens collected in the afternoon showed higher numbers and concentration of spermatozoa compared to those collected in the morning. This was also true for the progressive linear motility of spermatozoa.

In another study by Sebastian-Gambaro et al. [22], it is hypothesised that the intra-individual variations are due to the random and rhythmic fluctuations of the quantity values around a virtual homeostatic set point. Apart from the day-to-day variations, some studies have also suggested that semen analysis parameters vary according to seasons. Zhang et al. [27] discussed that semen quality in the midsummer was found to be significantly lower when compared to other periods of the year. In other studies, there was a gradual decrease in the fast forward motility and sperm concentration from spring towards autumn (fall) with recovery during winter. Furthermore, the percentage of sperm with normal morphology was found to be higher in the winter and spring compared to the summer. These seasonal sperm patterns seem to be a circannual-rhythmic phenomenon and adds to the intra-individual variation observed [28,29].

| Table 3 | ‘Fertile’, ‘indeterminate’, and ‘subfertile’ semen measurements derived from Classification-and-regression-tree analysis by Guzick et al. 2001 [9]. |
|-----------------|-----------------|-----------------|-----------------|
| **Semen measurement** | **Subfertile range** | **Indeterminate range** | **Fertile range** |
| Concentration, $10^6$/mL | <13.5 | 13.5–48.0 | >48.0 |
| Motility, % | <32 | 32–63 | >63 |
| Morphology, % normal | <9 | 9–12 | >12 |
Having large intra-individual variations weakens the strength of using a single semen analysis to assess male fertility, as it means that a man might have lower semen analysis parameters as a result of normal variation rather than problems with fertility, even after the abstinence period is controlled. At least two semen analyses are recommended to properly evaluate male fertility because of these fluctuations [30]. However, Leushuis et al. [10] showed that adding a second test does not improve the reliability of the prediction of natural conception.

In conclusion, conventional reference values for semen parameters have little diagnostic value for infertility due to their marked individuality, although it may be useful for assessing differences in serial results in the same individual, especially in terms of sperm motility and vitality [24].

**Absolute predictors of fertility on semen analysis**

Despite all the cases in which the semen analysis may not be a good predictor of fertility, there are some conditions that can lead to infertility that will be reflected in a semen analysis. These are the extremes in the values when there is azoospermia, severe asthenospermia (0% motility), or globozoospermia [3]. Men with these findings on semen analysis are guaranteed to be infertile, and they are the only cases in which semen analyses can predict infertility with absolute certainty.

**Azoospermia**

Azoospermia is defined as the complete absence of sperm from the ejaculate [31]. It is diagnosed based on the absence of spermatozoa on microscopic analysis after 15 min of maximum speed centrifugation of complete semen specimens. The semen analysis should be performed following the 2010 WHO guidelines, and at least two semen samples obtained more than 2 weeks apart should be examined [2,30].

Azoospermia can be classified into two broad categories: obstructive and non-obstructive. In more than 90% of cases, the patient’s history, physical examination and hormonal analysis (FSH, testosterone) will be able to define the cause of azoospermia [31]. However, a testicular biopsy is the only definitive way to diagnose azoospermia [32].

In obstructive azoospermia, there is some form of obstruction along the reproductive tract, obstructing passage of sperm from the testis to the ejaculate. These may be due to congenital causes, such as congenital bilateral absence of the vas deferens (CBAVD) in the case of cystic fibrosis; or epididymal obstruction seen in Young’s syndrome [33,34]. Obstructive azoospermia can also be acquired from previous infections, trauma, vasectomies, and iatrogenic injuries.

On the other hand, non-obstructive azoospermia is mostly due to defects in the production of spermatozoa. These may be caused by hormonal irregularities and endocrine pathologies such as: hypogonadotrophic hypogonadism (HGH), usually due to pituitary lesions; Kallmann syndrome; and anabolic steroid use. Non-obstructive azoospermia may also be due to intrinsic disorders of the testes affecting spermatogenesis such as: varicoceles, cryptorchidism, testicular torsion, gonadotoxins, and genetic abnormalities. These intrinsic abnormalities constitute 65–80% of cases for male infertility [32].

**Asthenospermia**

The most common reason for severe asthenospermia (0% motility) is epididymal or ejaculatory duct obstruction. Individuals with genital tract obstruction appear to be at an increased risk for anti-sperm antibodies (ASA), which are autoimmune antibodies against sperm cells. Elevated ASA titres have been found in 81% of men with obstruction as compared to 10% of men with other causes of infertility [35–37]. Once these antibodies are generated due to inoculation of the sperm antigens to the immune system, ASA will impair sperm motility, passage through the female reproductive tract, and will also affect the capacity for sperm to properly interact with the oocyte during fertilisation [38].

When >50% of sperm are bound to antibodies, the probability of sufficient numbers of sperm penetrating the cervical mucus is significantly reduced [39]. Experimental studies suggest that the Fc region of immunoglobulin A (IgA) binds receptors within the mucus, impairing forward progression of the sperm [40].

One of the most prominent genetic abnormalities affecting the motility of the flagellum is primary ciliary dyskinesia (PCD), or immotile cilia syndrome. It is usually associated with recurrent sinopulmonary infections, situs inversus, and male infertility (with asthenospermia and oligospermia).

Other risk factors for ASA production include prior infections, trauma or reproductive tract surgery, e.g. vasovasostomy or vasopidididymostomy. According to the AUA guidelines, ASA testing can be considered given the setting of isolated asthenospermia with normal sperm concentration [41].

**Globozoospermia**

Another absolute predictor for male infertility is the detection of globozoospermia on semen analysis. It is a rare but severe form of teratozoospermia, characterised by the presence of round-headed spermatozoa lacking an acrosome [42]. The acrosome contains the digestive enzyme, acrosin, which is essential for binding and penetration of the zona pellucida of the ovum. It also facilitates cervical mucus penetration and intratubal sperm migration. It also participates in chromatid decondensation in the oocyte [43]. Considering these factors, we can understand how globozoospermic cells
have difficulties adhering and fusing with the oocyte membrane, ultimately causing infertility. A microscopic image of globozoospermic spermatozoa can be seen in Fig. 2 [44].

In summary, abnormalities in all the three semen parameters (sperm concentration, motility and morphology) can indicate subfertility but only extreme losses can accurately predict infertility.

Conclusion

A semen analysis is only a gross estimation of fertility but it is, unfortunately, the best test we have. Semen analysis can predict fertility in men with azoospermia, severe asthenospermia and globozoospermia. In other cases, semen analyses have a limited role in the evaluation of infertility because female factors can influence fecundity in most couples. It is important to realise that all men who were included in the WHO fifth edition were fertile. Lower reference limit threshold points for semen parameters at the 5th percentile should be used as a reference for counselling couples and not be used to label men as ‘fertile’ or ‘infertile’.

Contributions

(I) Conception and design: Ranjith Ramasamy; (II) Administrative support: None; (III) Provision of study material or patients: None; (IV) Collection and assembly of data: All authors; (V) Data analysis and interpretation: All authors; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Conflict of interest

None.

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