Antibiotic Resistance and Molecular Biological Characteristics of Non-13-Valent-Pneumococcal Conjugate Vaccine Serogroup 15 *Streptococcus pneumoniae* Isolated From Children in China

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**Background:** The isolation rate of serogroup 15 *Streptococcus pneumoniae* has been increasing since developing countries began administering the 13-valent pneumococcal conjugate vaccine.

**Methods:** We detected the antibiotic resistance and molecular characteristics of 126 serogroup 15 *S. pneumoniae* strains isolated from children in China. Serotypes were determined via the Quellung reaction. Antibiotic resistance was tested using the *E*-test or disc diffusion method. Sequence types were assigned via multilocus sequence typing. Data were analyzed using WHONET 5.6 software.

**Results:** The frequencies of *S. pneumoniae* serotypes 15A, 15B, 15C, and 15F were 29.37, 40.48, 28.57, and 1.59%, respectively. Continuous-monitoring data from Beijing showed that the annual isolation rates of serogroup 15 *S. pneumoniae* were 7.64, 7.17, 2.58, 4.35, 3.85, 7.41, and 10.53%, respectively, from 2013 to 2019. All 126 serogroup 15 strains were susceptible to vancomycin and ceftriaxone. The non-susceptibility rate to penicillin was 78.57%. All strains were resistant to erythromycin with high minimum inhibitory concentrations (MICs). The multidrug resistance rate was 78.57%. The most common clonal complexes were CC3397, CC6011, CC10088, CC9785, and ST8589.

**Conclusion:** Serogroup 15 *S. pneumoniae* is common among children in China, and these strains should be continuously monitored.

**Keywords:** *Streptococcus pneumoniae*, serotype 15, PCV13, vaccine, antibiotic resistance, children
INTRODUCTION

Streptococcus pneumoniae (S. pneumoniae) is among the most important pathogenic bacteria in children. More than 100 serotypes within 46 serogroups have been discovered according to the biochemical structure of the capsular polysaccharide (Ganaie et al., 2020). As of 12 October 2020, the 13-valent pneumococcal conjugate vaccine (PCV13), which protects against 13 serotypes (1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) has been incorporated into national immunization programs in 160 countries (World Health Organization, 2020). Research on the efficacy of PCV13 showed that PCV13 can reduce the incidence of pneumococcal disease and cause changes in the serotype distributions of pathogenic S. pneumoniae strains (Janoir et al., 2016; Schroeder et al., 2017; Ubukata et al., 2018; Kim et al., 2020). Many regions worldwide have reported increased isolation rates of serogroup 15 S. pneumoniae strains after PCV13 use (Linden et al., 2015; Liyanapathirana et al., 2015; Sheppard et al., 2016; Lo et al., 2019; Nakano et al., 2020).

PCV13 was approved in China in November 2016 and was available in the country in May 2017. Because PCV13 is expensive and has not been included in China’s national immunization program, the PCV13 vaccination rate among Chinese children is low. Another 23-valent pneumococcal polysaccharide vaccine, PPV23, which protects against serotypes 1, 2, 3, 4, 5, 6B, 7F, 8, 9N, 9V, 10A, 11A, 12F, 14, 15B, 17F, 18C, 19A, 19F, 20, 22F, 23F, and 33F, is available in China for children aged > 2 years. The vaccination rates for both vaccines are low. Recipients are concentrated in cities and are children whose parents can afford to pay for the vaccines. Reports on the vaccination data are scarce, and the vaccine coverage is unclear.

We sought to determine the prevalence of serogroup 15 S. pneumoniae among Chinese children as well as the characteristics of these strains. Here, we report the serotype distribution, antibiotic-resistance patterns and molecular biological characteristics of 126 serogroup 15 S. pneumoniae strains collected from children in China.

MATERIALS AND METHODS

Bacterial Strains

We collected 126 unduplicated strains of serogroup 15 S. pneumoniae from Beijing (Beijing Children’s Hospital affiliated to Capital Medical University; hereinafter referred to as Beijing Children’s Hospital), Zhongjiang (People’s Hospital of Zhongjiang County), Youyang (People’s Hospital of Chongqing Youyang County), Wulumuqi (Wulumuqi Children’s Hospital) and Shenzhen (Shenzhen Children’s Hospital) from 2013 to 2019. Table 1 lists the detailed clinical information on these children, including age, sex, culture time and specimen type isolated.

Of the 126 strains isolated, 63 were collected via continuous surveillance in Beijing Children's Hospital. Beijing Children's Hospital is a national children's medical center, with more than 3 million outpatients and 70,000 inpatients annually. Since 2013, Beijing Children’s Hospital has been monitoring the serotype and antibiotic susceptibility characteristics of S. pneumoniae strains isolated from children in the hospital. In this study, the isolation rate of serogroup 15 S. pneumoniae strains from Beijing Children’s Hospital was used to reflect the isolation of serogroup 15 S. pneumoniae in children in China.

A parent and/or legal guardian of each participant signed a written informed consent document before enrollment and before the study procedures were performed. The Ethics Committees of Beijing Children’s Hospital affiliated to Capital Medical University, People’s Hospital of Zhongjiang County, People’s Hospital of Chongqing Youyang County, Wulumuqi Children’s Hospital, and Shenzhen Children’s Hospital approved the study. No ethical problems were encountered.

Serotyping

Serotypes were determined using the Quellung reaction with Pneumotest kits (Statens Serum Institute, Copenhagen, Denmark). Serotyping was interpreted based on capsular swelling under phase-contrast microscopy with an oil-immersion lens (magnification, 100×), as described previously (Sørensen, 1993).

Antimicrobial Susceptibility Testing

The minimum inhibitory concentrations (MICs) of penicillin, amoxicillin, ceftriaxone, imipenem, vancomycin and erythromycin for each strain were determined using E-test strips (AB Biodisk, Solna, Sweden). Disc diffusion tests (Oxoid Ltd., Basingstoke, United Kingdom) were performed to ascertain antimicrobial susceptibilities to tetracycline, sulfamethoxazole-trimethoprim, and chloramphenicol. The results were interpreted in accordance with the Clinical and Laboratory Standards Institute 2019 guidelines, and oral breakpoints (susceptible, \( \leq 0.06 \) mg/L; intermediate, 0.12–1.0 mg/L; resistant, \( \geq 2.0 \) mg/L) were used for penicillin (Clinical Laboratory Standards Institute [CLSI], 2019). S. pneumoniae American Type Culture Collection 49619 was used as the quality-control strain and was included in each test set to ensure accuracy of the results. Multidrug-resistant S. pneumoniae (MDRSP) was defined as S. pneumoniae isolates that were resistant to three or more kinds of antibiotics tested in this study.

Multilocus Sequence Typing

Strains were characterized using multilocus sequence typing (MLST). Chromosomal DNA was extracted from overnight cultures of S. pneumoniae strains grown on 5% trypticase soy agar (Oxoid Ltd.) using the SiMax™ Genomic DNA Extraction Kit (SBS Genetech Co., Ltd., Beijing, China) per the manufacturer’s instructions. Seven housekeeping genes (aroE, gdh, gki, recP, spi, xpt, and ddl) were amplified via polymerase chain reaction from the chromosomal DNA as described previously (Enrigh and Spratt, 1998). The products were sent to BGI Company (Beijing, China) for sequencing of both strands. The resulting sequences were compared with those of all known alleles at
TABLE 1 | Clinical information on the strains included in this study.

| Age (year) | Beijing (n = 63) | Youyang (n = 27) | Zhongjiang (n = 17) | Wulumuqi (n = 13) | Shenzhen (n = 6) | Total (n = 126) |
|------------|-----------------|-----------------|-------------------|------------------|----------------|----------------|
| ≤ 1        | 32              | 22              | 11                | 7                | 1              | 73 (57.94%)    |
| ~2         | 7               | 2               | 1                 | 0                | 0              | 10 (7.94%)     |
| ~3         | 9               | 1               | 1                 | 2                | 3              | 16 (12.70%)    |
| ~4         | 3               | 2               | 2                 | 1                | 2              | 10 (7.94%)     |
| ~5         | 4               | 0               | 2                 | 2                | 0              | 8 (6.35%)      |
| >6         | 8               | 0               | 0                 | 0                | 0              | 9 (7.14%)      |

**Gender**
- Male: 36, 17, 12, 6, 4, 75 (59.52%)
- Female: 27, 10, 5, 7, 2, 51 (40.48%)

**Collecting year**
- 2013: 11
- 2014: 16
- 2015: 4
- 2016: 6
- 2017: 4
- 2018: 14
- 2019: 8

**Specimen types**
- Nasopharyngeal swabs: 12, 27
- Sputum: 29
- Bronchoalveolar lavage fluid: 19
- Venous blood: 2
- Cerebrospinal fluid: 1

Statistical Analysis
Antimicrobial susceptibility and MLST data were analyzed using WHONET 5.6 as recommended by the World Health Organization.

RESULTS

Serotype Distribution and Antimicrobial Susceptibility
Among the 126 strains, the proportions of serotypes 15A, 15B, 15C, and 15F were 29.37% (37/126), 40.48% (51/126), 28.57% (36/126), and 1.59% (2/126), respectively. Table 2 shows the antimicrobial susceptibility testing results, including MIC values, for the serotypes. All strains were susceptible to vancomycin and ceftriaxone; none were susceptible to amoxycillin. The non-susceptibility rate to penicillin was 78.57%. All 126 strains were resistant to erythromycin, with high MIC values of 24 mg/L for one strain and > 256 mg/L for all other strains. The resistance rate to tetracycline reached 92.86%.

The multidrug-resistance rate was 78.57% (99/126). Figure 1 shows the antibiotic-resistance pattern distributions of the MDRSP strains. The most common multidrug-resistance pattern was macrolides-β-lactams-tetracyclines, accounting for 64.65% (64/99) of the MDRSP.

Multilocus Sequence Typing
Thirty-one STs were identified among the 126 strains; the most common were ST3397 (n = 16, 12.70%), ST6011 (n = 16, 12.70%), ST11972 (n = 15, 11.90%), ST7768 (n = 14, 11.11%), ST6555 (n = 12, 8.73%), ST10088 (n = 6, 4.76%). The 31 STs were assigned to five CCs and nine singletons using goeBURST analysis (Figure 2). The most predominant CCs were CC3397 (n = 60, 47.62%) and CC6011 (n = 31, 24.60%), constituting 72.22% of all strains.

Table 3 shows the CCs/ST distribution by serotype. Each serotype had a predominant CC that included most of the isolates. CC6011 was the main CC for the 15A strains (31/37). CC3397 was the main CC for 15B and 15C, accounting for 66.67% (20/30) of these strains, respectively.

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1https://pubmlst.org/spneumoniae/
2http://www.phyloviz.net/goeburst/
TABLE 2 | Antimicrobial susceptibility pattern of the 126 serogroup 15 Streptococcus pneumoniae strains.

| Antimicrobial Parameter | Total (n = 126) | 15A (n = 37) | 15B (n = 51) | 15C (n = 36) | 15F (n = 2) |
|------------------------|----------------|-------------|-------------|-------------|-----------|
| Penicillin S           | 27 (21.43)     | 17 (45.95)  | 4 (7.84)    | 5 (13.89)   | 1 (50.00) |
| I                      | 55 (43.65)     | 18 (48.66)  | 24 (47.06)  | 13 (36.11)  | 0         |
| R                      | 44 (34.92)     | 2 (5.41)    | 23 (45.10)  | 18 (50.00)  | 1 (50.00) |
| MIC50                  | 1             | 0.125       | 1           | 1           |           |
| MIC90                  | 2             | 1           | 2           | 2           |           |
| MIC range              | <0.016–4      | <0.016–2    | <0.016–4    | <0.016–4    | 0.016, 2  |
| Amoxicillin S          | 116 (92.06)   | 37 (100.00) | 45 (88.24)  | 32 (88.89)  | 2 (100.00)|
| I                      | 10 (7.94)     | 0           | 6 (11.76)   | 4 (11.11)   | 0         |
| R                      | 0             | 0           | 0           | 0           | 0         |
| MIC50                  | 1             | 0.25        | 1           | 2           |           |
| MIC90                  | 4             | 1           | 4           | 4           |           |
| MIC range              | <0.016–4      | <0.016–2    | <0.016–4    | <0.016–4    | 0.016, 2  |
| Ceftriaxone S          | 115 (91.27)   | 35 (94.59)  | 47 (92.16)  | 31 (86.11)  | 2 (100.00)|
| I                      | 0             | 0           | 0           | 0           | 0         |
| R                      | 11 (8.73)     | 2 (5.41)    | 4 (7.84)    | 5 (13.89)   | 0         |

MIC, minimum inhibitory concentrations.

Relationship Between Antimicrobial Susceptibility and Clonal Complexes

Table 4 summarizes the antibiotic-resistance profiles according to CCs. Different CCs showed different antimicrobial-resistance characteristics. No CC3397 strains were susceptible to penicillin or tetracycline, and all were 100% resistant to sulfamethoxazole-trimethoprim and 100% sensitive to chloramphenicol. All CC6011 and CC10088 strains were susceptible to amoxicillin and resistant to imipenem. All CC10088 strains were intermediate to penicillin, resistant to tetracycline and susceptible to chloramphenicol. All CC9785 strains were resistant to penicillin. All ST8589 strains were susceptible to penicillin, amoxicillin, and imipenem and resistant to tetracycline, sulfamethoxazole-trimethoprim, and chloramphenicol.

Fluctuation of Serotypes by Years

We isolated 1029 S. pneumoniae strains from Beijing Children’s Hospital during the study period, and 63 were determined to be serogroup 15. The proportion of serogroup 15 S. pneumoniae strains was 6.12%. Figure 3 shows the number of S. pneumoniae strains collected yearly and the serogroup 15 isolation rates.

In 2014 and 2015, the proportions of serogroup 15 S. pneumoniae were 6.67% (2/30) and 12.20% (25/205),
respectively, in Youyang and 6.25% (1/16) and 9.09% (16/176), respectively, in Zhongjiang. In 2015, 2016, and 2018, the proportions of serogroup 15 were 6.25% (1/16), 12.50% (2/16), and 3.88% (10/258), respectively, in Wulumuqi. Six of 66 (9.09%) strains were isolated in Shenzhen in 2018.

DISCUSSION

Since the introduction of PCV13, many countries and regions that included PCV13 in their national immunization programs have reported increased infections from serogroup 15 \textit{S. pneumoniae}, which is not covered by the PCV13 vaccine (Linden et al., 2015; Sheppard et al., 2016; Nakano et al., 2020). Serogroup 15 \textit{S. pneumoniae} strains have caused outbreaks (Katherine et al., 2012) and deaths (Arushothy et al., 2020) among children. China has not yet included PCV13 in the national immunization program, and the PCV13 vaccination rate among Chinese children is low. Additionally, the epidemiological monitoring data for \textit{S. pneumoniae} in Chinese children are inexact; thus, the prevalence of serogroup 15 \textit{S. pneumoniae} and the characteristics of these strains are unclear. Here, we reported the serotype distribution, antibiotic-resistance patterns, and ST characteristics of 126 serogroup 15 \textit{S. pneumoniae} strains isolated from children in China. Half of these strains came from the continuous monitoring of Beijing Children’s Hospital, which is one of the few continuous-monitoring data programs in mainland China and can partially reflect the prevalence of \textit{S. pneumoniae} in Chinese children. During the study period, the overall isolation rate of serogroup 15 \textit{S. pneumoniae} in the child population of Beijing Children’s Hospital was 6.12%. After PCV13 was launched in China in May 2017, the isolation rates of serogroup 15 \textit{S. pneumoniae} in 2018 and 2019 were 7.41 and 10.53%, respectively, showing an increasing trend.

The Pneumococcal Molecular Epidemiology Network (PMEN)\textsuperscript{3} was established in 1997 for global surveillance of antibiotic-resistant \textit{S. pneumoniae} and to standardize the nomenclature and classification of resistant clones. One 15A serotype was included among the 43 drug-resistant clones spread worldwide and published by the PMEN: Sweden\textsuperscript{15A-25 (ST63}. Over the past few years, several studies have reported increasing numbers of serotype 15A-ST63 strains (Ho et al., 2015; Sheppard et al., 2016; Nakano et al., 2018, 2019, 2020). In this study, ST63 accounted for 5.41% (2/37) of the serotype 15A strains, which was far lower than the 88.24% reported in Hong Kong (Ho et al., 2015) and the 98.28% reported in Japan (Nakano et al., 2020). Some studies

\textsuperscript{3}https://www.pneumogen.net/pmen
FIGURE 2 | Distribution of sequence types (STs) amongst the clonal complexes of serogroup 15 Streptococcus pneumoniae strains. goeBURST analysis was performed on the multilocus sequence typing data generated from all 126 strains analyzed. STs linked by a line belong to the same cluster.

TABLE 3 | CC/ST distribution of serogroup 15 S. pneumoniae by serotype.

| Serotypes | No. | CC3397 | CC6011 | CC10088 | CC9785 | ST8589 | Others |
|-----------|-----|--------|--------|---------|--------|--------|--------|
| 15A       | 37  | 0      | 31 (83.78%) | 0       | 0      | 0      | 6 (16.22%) |
| 15B       | 51  | 35 (68.63%) | 0      | 7 (13.73%) | 3 (5.88%) | 3 (5.88%) | 3 (5.88%) |
| 15C       | 36  | 24 (66.67%) | 0      | 2 (5.56%) | 4 (11.11%) | 4 (11.11%) | 2 (5.56%) |
| 15F       | 2   | 1 (50.00%) | 0      | 0       | 0       | 1 (50.00%) | 1 (50.00%) |
| Total     | 126 | 60     | 31     | 9       | 7       | 7       | 12 |

%, number of serotypes divided by number of CCs/STs.

reported that ST63 showed high multidrug-resistance rates (Sheppard et al., 2016; Nakano et al., 2018). Both ST63 strains in this study were resistant to erythromycin; one of these was also resistant to tetracycline, and the other was non-susceptible to imipenem, but both strains were sensitive to other antibiotics. The significant differences in isolation
rates and antibiotic susceptibility characteristics of ST63 between our study and the other studies may be due to regional differences.

In the present study, most of the serotype 15A strains belonged to CC6011, which was composed of ST6011 and ST11972. ST6011 was popular in both serotype 3 (Ho et al., 2019) and serogroup 15 strains. There were 28 ST6011 strains recorded in the MLST database, among which 22 were serotype 3, 5 were serotype 15A, and 1 strain was serotype 15B/15C. Both serotype 3 and serogroup 15 are non-PCV13 vaccine serotypes, and have an increasing trend in recent years (Linden et al., 2015; Liyanapathirana et al., 2015; Sheppard et al., 2016; LeBlanc et al., 2019; Lo et al., 2019; Goettler et al., 2020; Nakano et al., 2020). Therefore, close attention should be paid to ST6011 prevalent in these two serotypes.

We found that serogroup 15 S. pneumoniae strains showed good sensitivity to common antibiotics. However, the most common CC, CC3397, was 100% non-susceptible to penicillin. We wondered whether the prevalence of CC3397 in serogroup

### TABLE 4 | Antimicrobial susceptibility of the 126 strains by clonal complex.

| Antimicrobial Parameter | Isolates n (%) |
|-------------------------|----------------|
| **Total** (n = 126)     |                |
| Penicillin              |                |
| S                       | 27 (21.43)     |
| I                       | 55 (43.65)     |
| R                       | 44 (34.92)     |
| MIC50                   | 1 (0.08)       |
| MIC90                   | 2 (0.16)       |
| MIC Range               | <0.016–4       |
| Amoxicillin             |                |
| S                       | 116 (92.06)    |
| I                       | 10 (7.94)      |
| R                       | 0 (0.00)       |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 1 (0.08)       |
| MIC Range               | <0.016–1       |
| Ceftriaxone             |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Imipenem                |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Vancomycin              |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Erythromycin            |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Tetracycline            |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Trimethoprim/sulfamethoxazole |            |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |
| Chloramphenicol         |                |
| MIC50                   | 0.5 (0.04)     |
| MIC90                   | 0.5 (0.04)     |
| MIC Range               | <0.016–1       |

### Notes

MIC, minimum inhibitory concentrations.

*https://pubmlst.org
15 *S. pneumoniae* results from antibiotic pressure. Many studies have reported clonal shift phenomena in other serotypes, as CC271 replaced CC983 among serotype 19F strains (Li et al., 2013), ST81 replaced ST342 amongst serotype 23F pneumococcal isolates (Ma et al., 2013), CC320 replaced CC230 in 19A strains (Beall et al., 2011; Golden et al., 2015; Mayanskiy et al., 2017), and CC876 replaced CC875 in serotype 14 strains (He et al., 2015). These examples of clonal shift phenomena in the same serotype may be caused by antibiotic pressure, as they followed the principle that CCs/STs expressing high antibiotic resistance will replace CCs/STs with lower antibiotic resistance. Presently, the epidemiological characteristics of serogroup 15 *S. pneumoniae* are not being continuously monitored to access the changes in CC/ST prevalence in China. Whether CC3397 is the most drug-resistant of the serogroup 15 *S. pneumoniae* clones or whether CC3397 will be replaced by more drug-resistant clones in the future is unclear. Therefore, clinicians must strengthen surveillance of the antibiotic resistance and other epidemiological characteristics of serogroup 15 *S. pneumoniae*.

**CONCLUSION**

Serogroup 15 *S. pneumoniae* is common among children in China. Because CC3397, the main CC of serogroup 15 *S. pneumoniae*, showed high non-susceptibility to penicillin, long-term monitoring of antibiotic susceptibility and other epidemiological characteristics of serogroup 15 *S. pneumoniae* is essential.

**DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

**AUTHOR CONTRIBUTIONS**

WS, QD, LY, and WG conducted the experiments. WS and QW were responsible for the laboratory analysis. WS and KY designed the study, collected the data, analyzed the data, interpreted the results, and drafted the manuscript. All authors reviewed and revised the manuscript and approved the final version.

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