Field theoretical approach to spin models

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We developed a systematic non-perturbative method base on Dyson–Schwinger theory and the $\Phi$-derivable theory for Ising model at broken phase. Based on these methods, we obtain critical temperature and spin spin correlation beyond mean field theory. The spectrum of Green function obtained from our methods become gapless at critical point, so the susceptibility become divergent at $T_c$. The critical temperature of Ising model obtained from this method is fairly good in comparison with other non-cluster methods. It is straightforward to extend this method to more complicate spin models for example with continue symmetry.

Keywords Ising model, mean field theory, Dyson–Schwinger equations

1 Introduction

The Ising model is the simplest spin model, and it has been studied for many years. Rigorous solutions have been given by Ising for the one-dimensional case \cite{1} and by Onsager in the case of the two-dimensional square lattice \cite{2}, which provide a benchmark for other approximate method. There are several techniques for solving such kind statistical models, such as Monte Carlo simulations, mean-field-type methods, cluster mean-field methods, and renormalization-group methods (RG). Although RG methods have a good description of system in the vicinity of the critical point, it neither predicts the behavior of the system in the area far away from critical point nor give the phase transition temperature. Many attempts to calculate quantities in the statistical systems beyond the mean field theory have been made in recent years \cite{3}.

The mean-field (MF) approach, base on one-site approximation, began from Pierre Weiss \cite{4}, which gives the well-know solution for the critical temperature of the transition from symmetry phase to broken phase $T_c/J = z$, where $z$ is the number of nearest neighbors and $J$ is the coupling strength. Wysin and Kaplan \cite{5} made a significant improvement to the MF in a simple way. Their “self-consistent correlated molecular-field theory” (SCCF) takes into account the impact of the spin state of the central spin on the effective field of neighboring spins.

They obtained more accurate critical temperature compared with some other methods, such as MF or Bethe-Peierls–Weiss (BPW) approximation (often called Bethe approximation in short) \cite{6-8}. Zhuravlev \cite{9} introduced “screened magnetic field” approximation which further improves the result of the SCCF method and allows one to obtain critical temperature with better accuracy. Beyond on-site approximation, BPW approach can be considered as the simplest case of cluster approach. Based on cluster idea, many new approximations have been proposed, such as “correlated cluster mean-field” (CCMF) theory introduced by Yamamoto \cite{10}, and “effective correlated mean-field approach” (ECMF) developed by Viana \cite{11}. For a large enough cluster, this approach can give a good estimate for critical temperature.

In this work, we use two kind field theoretical methods to treat Ising model, which is based on Schwinger–Dyson equations (1PI) approach and two-particle irreducible (2PI) $\Phi$-derivable theory \cite{12-14}, respectively. Within the approximations, both methods do not necessarily guarantee the identity which respects the fluctuation-dissipation theorem, thus the susceptibility of Ising model obtained from above methods do not diverge at critical temperature. Fortunately, for 1PI approach, a general method to preserve the identity in an approximation scheme was developed long time ago \cite{15} in the context of field theory as covariant Gaussian approximation (CGA) to solve unrelated problems in quantum field theory and superfluidity. For 2PI method, Van Hees and Knoll developed an improved $\Phi$-derivable theory which preserve the identity by approximating the 1PI functional with the 2PI functional \cite{16}. After modified procedure mentioned above, the susceptibility of Ising model diverges at critical tem-
perature for both case. With a relatively low cost, the critical temperature $T_c$ obtained from them is quite accurately comparing with other non-cluster method. More importantly, since our methods base on Hubbard–Stratonovich transformation, it is straightforward to extend these methods to more complicate models, like XY model, Heisenberg model, with preserving the identity for the fluctuation-dissipation theorem and Ward–Takahashi identity (WTI) for models with continue symmetries, which are crucial for the description of such systems.

The paper is organized as following. In Section 2 and Section 3, we derive the equations for Ising model base on 1PI approach and the $\Phi$-derivable theory respectively. Numerical results including the critical temperature, susceptibility and Green’s function are given in Section 4. Finally, we give a summary in Section 5.

2 1PI formalism

The Hamiltonian of the Ising model in a two-dimensional square lattice can be expressed as

$$ H = \frac{1}{2} \sum_{i,j} J_{i,j} \sigma_i \sigma_j - \sum_i \sigma_i h_i, \tag{1} $$

where $J_{i,j}$ is the coupling strength between $i$ and $j$, which equal to $J$ for any two nearest neighboring sites, otherwise equal to zero. The spin $\sigma_i$ takes either $+1$ or $-1$.

After Hubbard–Stratonovich transformation, the grand-canonical partition function of this system can be written as path integral over continue variable parameter $\phi$ [17]:

$$ Z(h) = \sum_{\{\sigma_i\}} \exp(-\beta H) $$

$$ = \int D(\phi) \exp \left( -\frac{\beta}{2} \sum_{i,j} J_{i,j}^{-1} \phi_i \phi_j - \phi_i h_i + \sum_n \ln[\cosh(\beta \phi_n)] \right). \tag{2} $$

Based on the above formula, we can get the relationship between $\sigma$ and $\phi$ for zero-external field case, i.e., $h_i = 0$ for each $i$,

$$ \langle \sigma_m \rangle = \sum_i J_{m,i}^{-1} \langle \phi_i \rangle, \tag{3} $$

$$ \langle \sigma_m \sigma_n \rangle_c = \sum_{i,j} J_{m,i}^{-1} J_{n,j}^{-1} \langle \phi_i \phi_j \rangle_c - \beta^{-1} J_{m,n}^{-1}. \tag{4} $$

Here we have used the property $J_{i,j} = J_{j,i}$, thus $J_{i,j}^{-1} = J_{j,i}^{-1}$.

For zero-external field case, we add a new auxiliary source $H_i$ to generate Green function. This auxiliary source has to be set to zero at the end of the calculation.

The partition function can be rewritten as

$$ Z(H) = \int D(\phi) \exp \left( -\frac{\beta}{2} \sum_{i,j} J_{i,j}^{-1} \phi_i \phi_j + \sum_n \ln[\cosh(\beta \phi_n)] - \sum_i H_i \phi_i \right). \tag{5} $$

The generating functional $W$ for connected diagrams reads $W(H) = -\ln Z(H)$, From this we can define the mean field and the connected Green’s function:

$$ \varphi_i = \frac{\delta W(H)}{\delta H_i} = \langle \phi_i \rangle, \tag{6} $$

$$ G_{ij} = -\frac{\delta^2 W(H)}{\delta H_i \delta H_j} = \langle \phi_i \phi_j \rangle - \langle \phi_i \rangle \langle \phi_j \rangle. \tag{7} $$

By a functional Legendre transformation on $\varphi$ one obtains the effective action:

$$ \Gamma(\varphi) = W(H) - \sum_i H_i \varphi_i. \tag{8} $$

The first equation in the series of the DS equations, i.e., the off-shell $(H \neq 0)$ “shift” equation is

$$ 0 = H_m + \beta \sum_i J_{m,i}^{-1} \varphi_i - \beta \langle \tanh(\beta \phi_m) \rangle. \tag{9} $$

Higher-order DS equations in the cumulant form are obtained by differentiating the equation above. The second DS equation is

$$ \Gamma_{ij} = -\frac{\delta H_i}{\delta \varphi_j} = \beta J_{i,j}^{-1} - \beta \frac{\langle \tanh(\beta \phi_i) \rangle}{\langle \phi_j \rangle}. \tag{10} $$

$\Gamma_{ij}$ is the inverse of $G_{ij}$ since

$$ \sum_n G_{in} \Gamma_{nj} = \sum_n \frac{\delta^2 W(H)}{\delta H_i \delta H_n} \frac{\delta H_n}{\delta \varphi_j} = \sum_n \frac{\delta H_n}{\delta \varphi_j} \frac{\delta H_n}{\delta H_i} = \delta_{ij}. \tag{11} $$

Considering leading correction to mean field theory, the $\langle \tanh(\beta \phi_i) \rangle$ can be expanded as

$$ \langle \tanh(\beta \phi_i) \rangle = \tanh(\beta \phi_i) - \beta^2 \text{sech}^2(\beta \phi_i) \tanh(\beta \phi_i) G_{ii}. \tag{12} $$

Substitute Eqs. (12) into Eq. (9) and (10), and neglect the derivative of $G_{ii}$ with respect to $\varphi_j$ according to leading order approximation. Now we could set $H = 0$, for homogeneous system we have $\varphi_i = \varphi$ for any site $i$. Thus, we could express above equations in momentum space using Fourier transformation $G_{ij} = \sum_{\alpha=x,y} \int_0^{\pi} \frac{d^2k}{2\pi} \exp(-i\alpha(x - j)) G(k)$:

$$ 0 = \frac{\beta \varphi}{4J} - \beta \tanh(\beta \varphi) + \beta^3 G_{ij} \text{sech}^2(\beta \varphi) \tanh(\beta \varphi), \tag{13} $$

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\[ \Gamma(k) = \beta J^{-1}(k) - \beta^2 \text{sech}^2(\beta \varphi) + \beta^4 G_{ii} \left[ \text{sech}^4(\beta \varphi) - 2\text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi) \right], \]

where \( J^{-1}(k) = \left[ 2J \cos k_x + \cos k_y \right]^{-1} \), \( G_{ii} = \int_{-\pi}^{\pi} \frac{d^2k}{(2\pi)^2} G(k) = \int_{-\pi}^{\pi} \frac{d^2k}{(2\pi)^2} \Gamma^{-1}(k) \). Notice \( G_{ii} \) is not a function of \( i \) due to translation invariance of system. Then we can get \( \varphi \) and \( G \) at fixed \( \beta \) and \( J \) with Eq. (13) and Eq. (14).

The susceptibility obtained from above calculation do not diverge at phase transition temperature since the truncation applied to the formula (12) and (14) will break the fluctuation–dissipation theorem. It is not surprising since such a method does not respect also WTI for systems with continue symmetry and there is not Goldstone mode for broken phase [18].

A general method to preserve both identities in an approximation scheme was developed long time ago [15, 19], in the context of field theory as the covariant Gaussian approximation (CGA). In this improved method, the full covariant correlator is defined by functional derivative:

\[ (G_{\text{full}})^{-1}_{ij} = \frac{\delta H_i}{\delta \varphi_j} = \Gamma_{ij} + \beta^3 \Lambda_{ij} \text{sech}^2(\beta \varphi_i) \tanh(\beta \varphi_i), \]

where \( \Lambda_{ij} = \frac{\delta G_{ii}}{\delta \varphi_j} \),

\[ \Lambda(k) = -\frac{1}{1 + I(k)} \left\{ \beta^3 \text{sech}^2(\beta \varphi) \tanh(\beta \varphi) + \beta^4 G_{ii} \left[ -8\beta^2 \text{sech}^4(\beta \varphi) \tanh(\beta \varphi) + 4\beta^2 \text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi) \right] \right\}, \]

where \( I(k) \) is defined as

\[ I(k) = \frac{1}{(2\pi)^2} \int_{-\pi}^{\pi} d^2p G(k + p)G(p). \]

Substituting Eq. (22) back to Eq. (20), we can get full covariant Green’s function. And the susceptibility obtained by this method will diverge at phase transition point.

### 3 2PI formalism

The \( \Phi \)-derivable approximation possesses several intriguing features. Approximations of this kind are the so-called conserving approximations [13, 16], which means it is consistent with the conservation laws that follow from the Noether’s theorem (current conservation, total momentum, total energy, etc). The usual thermodynamic relations between pressure, energy density and entropy hold exactly within this approximation.
The 2PI functional $\Gamma[\varphi, G]$ is defined by the double Legendre transformation and can be written in the form

$$\Gamma[\varphi, G] = S[\varphi] + \frac{1}{2} \text{Tr} \left[ D^{-1}(G - D) \right]$$

$$\quad + \frac{1}{2} \text{Tr} \ln (G^{-1}) + \Phi[\varphi, G],$$

(27)

where $(D^{-1})_{ij} = \frac{\delta^2 S[\varphi]}{\delta \varphi_i \delta \varphi_j}$ and $\Phi_i = \frac{\delta W[H, B]}{\delta H_i}$ = $(\phi_i)$, and $G_{ij} = \langle \phi_i \phi_j \rangle - \langle \phi_i \rangle \langle \phi_j \rangle$. $\Phi[\varphi, G]$ can be calculated approximately with well-known standard techniques [16]. We generalize the work [16] by Van Hees and Knoll to arbitrary interaction form, and we find the lowest order approximation of $\Phi[\varphi, G]$ can be demonstrated to be equal to

$$\Phi[\varphi, G] = \frac{1}{8} \sum_i S^{(4)}(\varphi_i)G_{ii}G_{ii}.$$ 

(28)

Here $S^{(4)}[\varphi]$ stands for the fourth derivative of $S^{(4)}(\varphi)$. The above expression will allow us obtaining the results with $O(N)$ Linear–Sigma model in Ref. [16], but for our case:

$$\Phi[\varphi, G] = \frac{\beta^4}{4} \sum_i (G_{ii})^2 \text{sech}^4(\beta \varphi_i) - 2 \text{sech}^2(\beta \varphi_i) \cdot \text{tanh}^2(\beta \varphi_i).$$

(29)

Then the equations are now given by the fact that we wish to study the theory with vanishing auxiliary sources $H$ and $B$,

$$\frac{\delta \Gamma[\varphi, G]}{\delta \varphi_i} = -H_i - \frac{1}{2} \sum_m B_{m} \varphi_m - \frac{1}{2} \sum m B_{mi} \varphi_m \equiv 0,$$

(30)

$$\frac{\delta \Gamma[\varphi, G]}{\delta G_{ij}} = \frac{1}{2} B_{ij} = 0.$$ 

(31)

Then from Eq. (30) and Eq. (31) we get the “shift” equation and gap equation:

$$0 = \beta \sum_j J_{ij}^{-1} \varphi_j - \beta \tanh(\beta \varphi_i)$$

$$\quad + G_{ii} \beta^3 \text{sech}^2(\beta \varphi_i) \tanh(\beta \varphi_i)$$

$$\quad - \beta^5 G_{ii} G_{ii} \text{sech}^4(\beta \varphi_i) \tanh(\beta \varphi_i)$$

$$\quad - \text{sech}^2(\beta \varphi_i) \tanh^2(\beta \varphi_i),$$

(32)

$$G_{ij}^{-1} = \beta J_{ij}^{-1} - \delta_{ij} [\beta^2 \text{sech}^2(\beta \varphi_i)]$$

$$\quad + \delta_{ij} \beta^4 G_{ii} \text{sech}^4(\beta \varphi_i)$$

$$\quad + \beta^6 G_{ii} G_{ii} \text{sech}^6(\beta \varphi_i) \tanh(\beta \varphi_i)$$

$$\quad - 2 \text{sech}^2(\beta \varphi_i) \tanh^2(\beta \varphi_i),$$

(33)

and in Fourier space the equations reads

$$0 = \frac{\beta \varphi}{4J} - \beta \tanh(\beta \varphi) + \beta^3 G_{ii} \text{sech}^2(\beta \varphi) \tanh(\beta \varphi)$$

$$\quad - \beta^5 G_{ii} G_{ii} \text{sech}^4(\beta \varphi) \tanh(\beta \varphi)$$

$$\quad - \beta^7 G_{ii} G_{ii} G_{ii} \text{sech}^6(\beta \varphi) \tanh(\beta \varphi)$$

$$\quad + \beta^9 G_{ii} G_{ii} G_{ii} G_{ii} \text{sech}^8(\beta \varphi) \tanh(\beta \varphi)$$

$$\quad - 2 \text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi)$$

$$\quad - \text{sech}^4(\beta \varphi) \tanh^2(\beta \varphi).$$

We can get $\varphi$ and $G$ from Eq. (34) and Eq. (35) at fixed $J$ and $\beta$. In general the solution of Eq. (34) and Eq. (35) do not respect symmetry of system for truncated $\Phi[\varphi, G]$. In order to cure this problem we supplement the 2PI approximation scheme by an additional effective action defined with respect to the self-consistent solution as [16]

$$\Gamma(\varphi) = \Gamma[\varphi, \tilde{G}(\varphi)],$$

(36)

where $\tilde{G}[\varphi]$ is defined by

$$\frac{\delta \Gamma[\varphi, G]}{\delta G} \bigg|_{G=\tilde{G}[\varphi]} = 0.$$

We can define external Green’s function by the usual definition as double derivatives of $\Gamma(\varphi)$ as

$$\langle G_{\text{ext}} \rangle_{ij} = \frac{\delta^2 \Gamma(\varphi)}{\delta \varphi_i \delta \varphi_j} = G_{ij}^{-1} + \frac{\delta \Phi[\varphi, G]}{\delta \varphi_i} + \sum_{m,n} \frac{\delta^2 \Gamma[\varphi, G]}{\delta \varphi_i \delta G_{mn}} \Lambda_{mnj},$$

(37)

where $\Lambda_{mnj} = \frac{\delta G_{mn}}{\delta \varphi_j}$. And

$$\frac{\delta^2 \Gamma[\varphi, G]}{\delta \varphi_i \delta G_{mn}} = \delta_{in} \delta_{mn} \{ \beta^3 \text{sech}^2(\beta \varphi_i) \tanh(\beta \varphi_i) \}.$$
\[-G_{mm}[4\beta^3 \text{sech}^4(\beta \varphi) \tanh(\beta \varphi) \\
-2\beta^5 \text{sech}^2(\beta \varphi) \tanh^3(\beta \varphi)] \}
\tag{38}
\]
due to the property of Kronecker delta only \( \Lambda \)'s whose first and second indices are coincident contribute to Eq. (37).

\[
\delta \Gamma_{ij} = \frac{\delta}{\delta \varphi_m} \left( \frac{\delta^2 \Gamma[\varphi, G]}{\delta \varphi_i \delta \varphi_j} \right)_{G=G(\varphi)} = -\beta^2 \frac{\delta \text{sech}^2(\beta \varphi)}{\delta \varphi_m} \delta_{ij} + \beta^3 G_{ii} \frac{\delta \left[ \beta \text{sech}^4(\beta \varphi) - 2\beta \text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi) \right]}{\delta \varphi_m} \delta_{ij} + \beta^3 \Lambda_{iim} \left[ \beta \text{sech}^4(\beta \varphi) - 2\beta \text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi) \right] \delta_{ij} .
\tag{40}
\]

In Fourier space the external Green’s function can be written as
\[
G_{ext}^{-1}(k) = G^{-1}(k) + \left\{ \beta^3 \text{sech}^2(\beta \varphi) \tanh(\beta \varphi) \\
-\beta G_{ii} \left[ 4\beta^3 \text{sech}^4(\beta \varphi) \tanh(\beta \varphi) \\
-2\beta^5 \text{sech}^2(\beta \varphi) \tanh^3(\beta \varphi) \right] \right\} \Lambda(k) \\
-\beta^6 G_{ii} G_{jj} [2 \text{sech}^4(\beta \varphi) - 2 \text{sech}^2(\beta \varphi) \tanh^2(\beta \varphi)] \\
+11 \text{sech}^4(\beta \varphi) \tanh^2(\beta \varphi) \\
+2 \text{sech}^2(\beta \varphi) \tanh^4(\beta \varphi) ,
\tag{41}
\]
where \( \Lambda(k) \) of 2PI method has the same expression as Eq. (22) obtained in 1PI approach.

\section{Numerical results}

We solve 1PI equations (13, 14), and 2PI equations (34, 35), respectively. The results are shown below in \( k_B = J = 1 \) unit. \( \varphi \) as a function of temperature is presented in Fig. 1. The equation ceases to have a solution at \( T_c \), which is the end point of the break phase and is actually the critical point of a second-order phase transition. For a given \( \varphi \), we can get \( \langle \sigma \rangle \) from Eq. (3), however it is not exactly equal to the spontaneous magnetization and needs corrections to get the exact \( \langle \sigma \rangle \) just like \( G \) needs corrections to get the exact Green function.

In Table 1 we display \( T_c \) from 1PI, 2PI, as well as the SCCF and SMF results [20, 21], together with either exact or approximate values from series estimates [5]. For the 2D square lattice Ising model, 1PI gives \( T_c = 2.4606 \), and 2PI gives \( T_c = 2.4390 \), both closer to the exact result than the BPW approximation and self-consistent correlated field method(SCCF).

According to fluctuation–dissipation theorem, we can get the susceptibility \( \chi_{ij} \) with following relation:
\[
\chi_{ij} = \frac{\delta \langle \sigma_i \sigma_j \rangle}{\delta \delta_{ij}} = \beta \langle \sigma_i \sigma_j \rangle - \beta \langle \sigma_i \rangle \langle \sigma_j \rangle .
\tag{42}
\]

The Fourier transform of the susceptibility \( \chi_{ij} \) is
\[
\chi_{ij} = \sum_{\alpha=x,y} \int_{-\pi}^{\pi} \frac{d^2 k}{(2\pi)^2} \exp(-ik_\alpha(i_i - j_j)) \chi(k) .
\tag{43}
\]
\( \Lambda_{iim} \) can be obtained by solving Bathe–Salpeter equation:
\[
\Lambda_{iim} = -\sum_{k,j} G_{ik} \frac{\delta \Gamma_{kj}}{\delta \varphi_m} G_{ji} .
\tag{39}
\]
Here \( \chi \), can be obtained from Eq. (20, 41) with the following expression:
\[
\chi = \beta \sum_m \left( \langle \sigma_m \sigma_0 \rangle - \langle \sigma_0 \rangle^2 \right) \\
= \beta \sum_m \left( J_{m,i} J_{0,j} G_{ij} - \beta^{-1} J_{m,0} \right) \\
= \beta \left[ J^{-2}(0) G(0) - \beta^{-1} J^{-1}(0) \right] .
\tag{44}
\]
Here \( G(0) \) refers to \( G_{full}(0) \) or \( G_{ext}(0) \), under 1PI or 2PI approximation, respectively. The numerical results are plotted in Fig. 2, both susceptibility will diverge at its corresponding \( T_c \).

We also compare results for finite size lattices (subject to periodic boundary conditions) with Monte Carlo results. And the results are illustrated in Fig. 3, under two different temperatures. For the finite size lattice of \( N \times N \) with periodic boundary conditions, the formula in the integration shall be substituted as
\[
\frac{1}{(2\pi)^2} \int_{-\pi}^{\pi} dk_x \int_{-\pi}^{\pi} dk_y f(k_x, k_y) \rightarrow \frac{1}{N^2} \sum_{k_x, k_y} f(k_x, k_y) ,
\tag{45}
\]
where \( f(k_x, k_y) \) is a periodic function of \( k_x \) and \( k_y \) (periodicity is \( 2\pi \), and inside the summation, \( k_x = \frac{2\pi}{N} i, i =

\textbf{Fig. 2} \ \chi–T \ from \ 1PI, \ 2PI \ approaches \ and \ exact \ value \ from \ low \ temperature \ expansion \ series \ [22, 23].
to complicated spin models with continue symmetry, for example XY model and Heisenberg model, etc.

5 Conclusion

In conclusion, we calculate the critical temperature, susceptibility and Green function nonperturbatively with two kind field theories developed by the Dyson–Schwinger theory and the Φ-derivable theory at leading order fluctuation correction. With relative low cost, both method are able to give fairly good predictions of $T_c$ for the Ising model. In the area far away from critical point, the susceptibility and Green function obtained from our method is quite accurate comparing with exact solution. This is a systemic approach which can be used to treat more complex spin models. The methods will preserve fundamental identities, like the fluctuation dissipation relation and WTI identities for systems with continue symmetries, which are very crucial for giving consistent descriptions of such systems.

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