Chitosan-Based Thermo-Responsive Scaffold for Wound Healing Provides Dexketoprofen Trometamol Controlled Release for 24 Hour-Use

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Abstract: Chronic and non-healing wounds demand personalized and more effective therapies for treating complications and improve patient adherence. This work aims to develop a suitable chitosan-based scaffold to provide 24 hours controlled release of DKT, by taking advantage of chitosan’s thermo-responsive behavior as well as local hyperthermia in wounds. Three formulation prototypes were developed using chitosan (F1), 2:1 chitosan: PVA (F2), and 1:1 chitosan:gelatin (F3). Compatibility tests were done by DSC, TG, and IR spectroscopy. SEM was employed to examine the morphology of the surface and inner layers from the scaffolds. In vitro release studies were performed at 32 °C and 38 °C to evaluate the release profiles, which were later adjusted to different kinetic models for the best formulation. F3 showed the most controlled release of DKT at 32 °C for 24 hours (77.75 ± 2.72 %), and reduced the burst release in the initial 6 hours (40.18 ± 1.00 %), while at 38 °C the release reached 88.52 ± 2.07 % at 12 hours. The release profile for this formulation fits with Hixson-Crowell and Korsmeyer-Peppas kinetic models at both temperatures. Therefore, the developed chitosan/gelatin thermo-responsive scaffold provides a suitable system for wound healing with a controlled release of DKT for 24 hour-use, which can overcome adherence issues and wound complications.

Keywords: Chitosan; dexketoprofen trometamol; drug delivery; gelatin; NSAIDs; personalized medicine; smart polymers

1. Introduction

Wound healing involves coagulation, inflammation, epithelization, proliferation, and remodeling [1]. The early inflammatory process is characterized by five classical symptoms: redness, swelling, heat, pain, and loss of tissue function [2]. Moreover, chronic and non-healing wounds caused by different diseases (e.g., diabetes) demand special therapies for treating chronic inflammatory processes, infections and poor tissue regeneration [3]. Despite current interventions, there is a growing demand for personalized and more effective therapies for healing wounds that improve drug delivery, life quality, and patient adherence to the treatment [4].
Scaffolds composed of thermo-responsive polymers are excellent options for wound healing. These structures provide physical support that reproduces an extracellular matrix environment, enhancing tissue regeneration and cell growth [5,6]. Also, they represent an outstanding alternative for developing drug delivery systems due to the amphiphilic nature of the polymers and the ease of tuning their physicochemical properties [7,8]. A relevant property is the critical solution temperature (i.e., the temperature at which exists a balance in the competition between hydrophilic and hydrophobic polymer chains), which defines the volume phase transition suffered by these polymers, being classified as lower (LCST) or upper critical solution temperature (UCST) [9].

Chitosan or β-(1-4)-2-amino-2-deoxy-D-glucopyranose, a chitin derivative, is a promising thermo-responsive polymer for wound healing with interesting properties that can vary according to the degree of deacetylation (i.e., the number of N-glucosamine units) but in general, it exhibits a LCST around 32 °C [10]. The polymer’s easy processability into hydrogels and scaffolds make it suitable for the development of drug delivery systems loaded with non-steroidal anti-inflammatory drugs (NSAIDs) [11]. NSAIDs reversibly inhibit the cyclooxygenase (COX) enzyme, thereby reducing the levels of prostaglandins, allowing the treatment of acute and chronic inflammation, pain, fever and wound healing [12].

Dexketoprofen (DK) -a non-selective COX inhibitor- is the (S)-(+) enantiomer of ketoprofen. It is used for the rapid management of mild to moderate pain with a maximum daily dose of 75 mg, administered as the tromethamine salt derivate, Dexketoprofen trometamol (DKT), that is a class 1 drug (i.e., high solubility and high permeability) according to the Biopharmaceutical Classification System (BCS) [13]. Different approaches to the delivery of DKT have been made, especially for the development of oral formulations [14,15]. However, little research has been reported for transdermal delivery, where none include the use of chitosan [16].

Therefore, this work aims to develop a suitable chitosan-based scaffold to provide 24 hours controlled release of DKT for wound healing. Compatibility tests were done by thermal analysis and infrared spectroscopy (IR) to assess physicochemical interactions between the components of the prototype formulations. Scanning Electron Microscopy (SEM) was employed to examine the morphology of the surface and inner layers of the scaffolds. Additionally, in vitro release studies were performed at 32 °C and 38 °C to evaluate the release profiles, which were later adjusted to zero order, first order, Hixson-Crowell, Higuchi, and Korsmeyer-Peppas kinetic models for the best formulation.

To our knowledge, no other paper describes a transdermal drug delivery system, consisting of a thermo-responsive chitosan-based scaffold loaded with DKT for wound healing and inflammation treatment for 24 hour-use.

2. Materials and Methods

2.1. Materials

DKT (99.8% purity) was provided by Laboratorios Infarma LTDA (Costa Rica). Chitosan (MW: 100000-300000), polyvinyl alcohol (PVA) (MW: 89000-98000) and gelatin were purchased from Sigma Aldrich; and citric acid monohydrate and benzoic acid from Merck. Distilled water was obtained from LABIOFAR facilities.

2.2. Preformulation and Formulation

Literature research was done to obtain information on the potential excipients and manufacturing process that could be used for developing 25.0 ml units of the thermo-responsive transdermal delivery system to be loaded with 110.9 ± 5.5 mg of DKT (equivalent to 75 mg of DK). Special attention was paid to possible physicochemical incompatibilities and technological disadvantages. Therefore, the following prototype formulations (table 1) were evaluated throughout the study:
Table 1. Thermoresponsive scaffolds prototypes for DKT controlled release.

| Composition (w/v %) | F1     | F2     | F3     |
|---------------------|--------|--------|--------|
| DKT                 | 0.44   | 0.44   | 0.44   |
| Chitosan            | 4      | 4      | 4      |
| Polyvinyl alcohol   | -      | 2      | -      |
| Gelatin             | -      | -      | 4      |
| Citric acid         | 5      | 5      | 5      |
| Benzoic acid        | 0.2    | 0.2    | 0.2    |
| Distilled water     | q.s 25,0 ml | q.s 25,0 ml | q.s 25,0 ml |

DKT: dexketoprofen trometamol, F1: Formulation 1, F2: Formulation 2, F3: Formulation 3.

Scaffolds were prepared by the solvent casting method. Citric acid was dissolved in distilled water to provide a medium with the desired pH. Then, the solution was heated and maintained at 75 °C for the entire process. DKT was added with continuous agitation until complete dissolution. Benzoic acid, previously dissolved in a small volume of distilled water, was incorporated as well. Chitosan was slowly and steadily added until complete dissolution, avoiding the formation of lumps. For F2 and F3, the secondary polymer was added as done for chitosan. The formed hydrogel was kept with continuous agitation for 2 hours and then, subjected to ultrasonication for 1 hour to get rid of air bubbles. The formulations were then poured into watch glasses and placed into the oven at 80 °C for 5-6 hours to eliminate water. The obtained scaffolds were stored in a desiccator protected from light for 24 hours until their use for compatibility tests, SEM analysis or in vitro release studies.

2.3. Compatibility tests

The compatibility between excipients and the active pharmaceutical ingredient (API) was evaluated by analyzing three replicas of each raw material and the formulations presented in table 1. The analyses were performed under thermal and Fourier Transformed Infrared (FT-IR) spectroscopy techniques as explained in the following sections.

2.3.1. Thermal characterization

Thermogravimetric (TG) analyses were performed using a TA Instruments Q500, under an inert nitrogen atmosphere with a 90 ml.min⁻¹ sample gas and 10 ml.min⁻¹ of balance gas. A sample of approximately 5 mg was added to a platinum crucible and subjected to analysis in the temperature range from 20-800 °C with a heating rate of 10 °C.min⁻¹. Also, Differential Scanning Calorimetry (DSC) was performed using a TA instruments Q200, under an inert nitrogen atmosphere with a 50 ml.min⁻¹ gas flow. A sample of approximately 6 mg was added to an aluminum capsule and subjected to an initial isotherm at 20 °C for 5 min, and then to a heating rate of 5 °C.min⁻¹ in the temperature range from 20-350 °C.

2.3.2. Fourier Transformed Infrared Spectroscopy

Each sample was analyzed using the transmission technique in a Thermo Scientific Nicolet 6700 model in the range 500-4000 cm⁻¹, with 200 scans per sample. Room conditions were maintained at 25 °C and 30 % relative humidity.

2.4. Microscopic characterization

The samples of F1, F2 and F3 scaffolds were metalized with a thin layer of gold by sputtering, with an exposure time of 3 min at 20 mA. The analyses were performed by a scanning electron microscope of the JSM-6390LV, Jeol, using an acceleration voltage of 5 kV and spot size of 60.
2.5. In vitro Release studies

The release profile was initially evaluated to 6 units of F1 scaffold prototype, then to 6 units of F2, and finally to F3 units. The study was done according to USP 42 general chapter (724) Drug Release, using Transdermal Sandwich™ devices (Hanson Research Corp), VARIAN dissolution equipment VK7010 with automatic sampling, and apparatus II USP (paddles) at 50 rpm distanced by 25 ± 2 mm from the release device surface. Sink conditions were achieved by using 900.00 ml of distilled water at 32 ± 0.5 °C as the medium and adjusted to pH 6.8. A 10.00 ml aliquot from each vessel was taken at 1, 3, 6, 12, 18 and 24 hours (volume was not replaced). A 2.00 ml aliquot from each 10.00 ml sample was taken and diluted in a 10.00 ml volumetric flask. Samples were analyzed using a Thermo Fisher UV-Vis spectrophotometer AQUAMATE at 260 nm. According to Lambert-Beer’s law, a calibration curve was performed using five standard solutions of 2.96, 8.89, 14.82, 20.74 and 26.67 μg.ml⁻¹ to obtain the following equation ($R^2 = 0.9999$):

$$\text{Absorbance} = (0.04452 ± 0.00031) \times \text{Concentration (μg/ ml)} - (0.0297 ± 0.0053) \quad (1)$$

Sample concentration was calculated by substituting the absorbance of the diluted solution in the calibration curve and using a dilution factor of 5. The released mg of DKT were obtained by using the sample’s concentration and the remaining volume at each time. By taking into account the drug’s loaded dose in each unit, it was possible to calculate the average released percentage for the three prototypes over 24 hours. The scaffold prototype that exhibited: a) the best performance in terms of controlled release, b) the major reduction regarding burst release, and c) no physicochemical incompatibility, was selected for further studies to 6 new units following the previously described method, but using the medium at 38 ± 0.5 °C.

2.6. Kinetic release models

Data from the selected prototype were adjusted to zero order, first order, Hixson-Crowell, Higuchi, and Korsmeyer-Peppas kinetic models to obtain the release rate at 32 °C and 38 °C. The best models were considered to have the highest $R^2$ and the lowest Akaike information criterion (AIC), which takes into consideration the residual sum of squares (RSS), number of parameters ($p$) and the number of observations ($n$) as follows:

$$\text{AIC} = n \times \ln(\text{SSR}) + 2p \quad (2)$$

3. Results and Discussion

3.1. Preformulation and Formulation

Chitosan was chosen as the main polymer of the prototypes due to its outstanding properties for wound healing such as low toxicity, biocompatibility, biodegradability and antimicrobial activity [17,18]. The polymer is soluble in aqueous solutions of organic acids, such as citric acid at pH 1-4 due to its primary amino group [19]. All developed prototypes in the hydrogel form presented a pH within the intended 3.0-3.5 range to guarantee chitosan dissolution. However, its incorporation into the formulations represented a challenge in terms of solubility since it requires a different pH compared to the involved API and its concentration. DKT is a weak acid salt, and the pH value of precipitation (pHp) for this substance in the formulations (i.e., the lowest possible pH where the API is still ionized) is 6.12. As a result, a lower pH can cause the precipitation of the API as DK, the lipophilic-weak acid [13].

This technological issue was addressed by heating the solution at 75 °C, which allowed dissolving the DKT at a starting pH of 2.5 at which chitosan is soluble. The initial colloidal solution propitiated the formation of bushy polymeric structures, which combined with chitosan high water absorption, allowed trapping the drug within the
macroscopic network, and thus, preventing its precipitation [20]. Fig. 1 shows the obtained scaffolds after ultrasonication and dehydration. These prototypes have 7.8 cm of diameter, presented an amber color, a flexible but not elastic consistency, and exhibited adhesiveness upon contact to fluids, which is relevant for the desired therapeutic outcome.

![Figure 1](image)

**Figure 1.** Thermoresponsive scaffold prototypes for DKT controlled release: (a) F1; (b) F2; (c) F3.

### 3.2. Compatibility tests

As can be seen in Fig. 2 (a and b), it is not possible to identify DKT melting point in the formulations at 107.33 °C as obtained in the DSC analysis for the raw material due to its low concentration. In addition, polymerization phenomena due to the agitation and heating time could have caused a series of shifts in different thermal events from the constituent materials [21,22]. As a result, it is not possible to appreciate chitosan’s endotherm at 90.28 °C or close in any of the formulations. Also, all scaffolds show a shift in chitosan exotherm at 305.36 °C to 340-350 °C.

F1 (Fig. 2b) shows a crystalline endootherm at 156.45 °C that seems to correspond with citric acid melting point (154.11 °C). On the other hand, F2 shows a shift in PVA endotherm at 194.30 °C to 177.06 °C, which can be due to the polymerization process and cross-linking with citric acid [22]. However, in this formulation, it is not possible to see PVA second endotherm at 323.61 °C or any other related to chitosan and citric acid. Furthermore, F3 exhibits at 53.56 °C a small endotherm that can be linked to citric acid first endotherm (57.84 °C), and another one at 174.31 °C that can be a shift from gelatin’s endotherm at 223.81 °C.

Regarding TG (Fig. 2. c and d), all the formulas exhibited a thermal degradation behavior related to their constituent materials without showing or suggesting any physico-chemical incompatibility between them. Likewise, the scaffolds also showed increased thermal stability due to crosslinking [23]. At 219.03 °C, F1 had lost more than 30 % of its weight mainly due to the decomposition of citric acid around 210.18 °C. In addition, at 351.41 °C the sample weight was reduced up to 40 %, which is explained by the decomposition of more than 50 % of the chitosan. F2 shows the same explained degradation behavior, presenting thermal degradation events at 227.45 °C and 331.51 °C. However, for the signal around 406.50 °C, it is important to mention that the sample has only a 36.16 % of its initial mass, which is due to a great decomposition of PVA. F3 also showed the mentioned behavior regarding the first two main events, but at 335.34 °C it had only 50.98 % of its mass due to gelatin decomposition at 308.56 °C.

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Likewise, FTIR evaluation (Fig. 3) supports the obtained results by the thermal analysis. In the near IR region, DK strong bands are seen in the three formulations around 1060 cm\(^{-1}\) with vibrations at 1568, 1538 and 771 cm\(^{-1}\), which are also indicative of the raw material purity [24]. Chitosan’s O-H stretching close to 3300 cm\(^{-1}\) and the C-H vibrations close to 2900 cm\(^{-1}\) are observed in the three formulations [25,26]. Regarding F2, C-H alkyl stretching of PVA can be observed near 2900 cm\(^{-1}\), and also, its typical broad band at 3000-3500 cm\(^{-1}\) [27]. On the other hand, F3 conserves gelatin’s characteristic bands of the amide group close to 3434, 1600 and 1550 cm\(^{-1}\), and the asymmetric stretching vibrations are represented with bands between 2950-2800 cm\(^{-1}\) [28]. It is important to emphasize that F3 is the one that preserves best the bands of the raw materials used. However, all formulations showed a decrease in the vibration peak of the O-H stretch (3000-3500 cm\(^{-1}\)) related to the reduced number of O-H bonds due to crosslinking [27].
3.3. Scanning Electron Microscopy characterization

As can be seen in Fig. 4 (a, b, and c), the surface of the three scaffold samples presents a relatively smooth morphology; however, scaffold 1 (Fig. 4a) showed bubbles on the surface with diameters in the range 5–10 µm, possibly due to small variations in the drying process and lower efficiency of the ultrasonication process for that formulation. Likewise, the addition of polyvinyl alcohol (Fig. 4e) and gelatin (Fig. 4f) in the formulations leads to changes in the morphology of the scaffold observed by the cross section.

F1 scaffold (Fig. 4d) has an interconnected network and spaces with cave aspects that can exceed 40 µm in length, very different from that observed in F2 scaffold (Fig. 4e) that has a structure like a sponge with the presence of micropores and greater roughness. Furthermore, comparing F1 and F2 with the F3 scaffold (Fig. 4f) that contains gelatin, it is
possible to identify that this formulation favored the formation of lamellar structures, composed of multiple thin layers arranged in a parallel and compact way. This last morphology could favor a slow release and a reduction of the bursting effect (i.e., an initial large drug bolus released before the stabilization of the release rate) [29].

3.4. *In vitro* Release studies

As mentioned before, chitosan exhibits a LCST of around 32 °C, which is considered to be skin normothermia. As a result, drug release below or at LCST depends on surface desorption, swelling and degradation of the chitosan polymer matrix [30]. As can be seen in Fig. 5a, F1 exhibited the fastest release of all prototypes at 32 °C, reaching an 88.40 ± 5.79 % at 12 hours and 102.18 ± 1.39 % at 24 hours. Nevertheless, this formulation did not show a controlled release, instead, burst release is notable from the first hour (31.62 ± 2.17 %) until 6 hours (75.13 ± 4.18 %). Although burst release can be optimal in wound healing for immediate relief, the high burst from this formulation cannot provide the prolonged-release that is required for gradual healing, as well as reduces the lifetime of the scaffold [31,32].

**Figure 5.** Drug release profile from the scaffolds’ prototypes: (a) Average released percentage comparison between the three prototypes at 32 °C: F1 (blue), F2 (red), F3 (green); (b) Comparison of the released percentage from F3 at 32 °C (blue) and 38 °C (green).

Due to the previous, we evaluated the impact caused by the presence of PVA and gelatin on DKT release from the chitosan-based scaffolds. These polymers were expected to physically crosslink during the manufacturing process to yield a hydrogel, providing the scaffolds with high drug loading capability to control the release [33,34]. F2 (Fig. 5.a) showed a reduction in the drug released at 24 hours (88.40 ± 3.94 %) but also reduced burst release compared to F1 (1h: 26.81 ± 3.25 %, 6h: 58.16 ± 3.07 %). This profile can be attributed to the presence of intra- and inter-crosslinked PVA tubules by the citric acid, which reduce porosity, difficult the penetration of water molecules and reduce wettability [22].

Nonetheless, F3 (Fig. 5.a) was the formulation with the best performance in terms of controlled release and reduction of the burst release. This scaffold was able to reduce the initial burst up to 18.01 ± 0.99 % in the first hour and 40.18 ± 1.00 % at 6 hours. In addition, at 12 hours, it delivered a bit more than half of the loaded drug (55.07 ± 2.43 %) and a total of 77.75 ± 2.72 % at 24 hours, approximately equal to 59 mg of DK. The previous release behavior is related to gelatin presence in the scaffold, which causes physical entrapment and absorption of the API. Initially, gelatin provides a release mostly by diffusion, while the following hours are dominated by its degradation, erosion and dissolution [35].

Since F3 provided the best performance, 6 more units were employed for further studies at 38 °C to simulate the local hyperthermia conditions caused by the typical inflammatory process presented in wound healing [36]. It is well known that thermo-responsive polymers like chitosan, experience a reversible transition under an entropy-
driven process, from a hydrophilic to a hydrophobic state upon a rise in the temperature above the LCST [37,38]. The interruption in polymer-water hydrogen bonding and the increase in hydrophobic interactions within the polymer chains caused the collapse of the structure and the consequent greater burst release compared to the analyzed units of the same formula at 32 °C (1h: 28.74 ± 2.06 %, 6h: 61.05 ± 2.59 %) (Fig. 5.b) [39]. Furthermore, it is important to notice that this initial burst is still lower than the one exhibited by F1 at 32 °C, which can be attributed to the presence of gelatin as well.

3.5. Kinetic release models

Rational development of controlled release forms involves in vitro release tests to predict the impact on the in vivo performance of the formulation [40]. R² and AIC are two relevant values that can be used to evaluate how well a set of models fit. The first one quantifies the intensity of the linear relationship between two variables under study, while the second one is a statistical measure for time series models [41]. Table 2 summarizes the most important results from the kinetic release study for F3. For data obtained at 32 °C the fitting to the models was made using all sampled times (n=6), while at 38 °C only data until 12 hours were used (n=4) because the scaffolds had released more than 85 % of the loaded drug by that time, which is enough for making predictions regarding DKT release upon hyperthermia conditions. Regardless of that, Hixson-Crowell and Korsmeyer-Peppas possessed high values for the R² and the lowest values for the AIC at both temperatures for which these can be considered as the best fit models.

Table 2. Kinetic release models for F3 at 32 °C during 24 hours and at 38 °C during 12 hours.

| Model             | 32 °C Release rate constant | R²  | AIC | 38 °C Release rate constant | R²  | AIC |
|-------------------|-----------------------------|-----|-----|-----------------------------|-----|-----|
| Zero order        | 2.70 ± 0.25 mg.h⁻¹          | 0.9661 | 29.81 | 5.70 ± 0.43 mg.h⁻¹          | 0.9886 | 15.00 |
| First order       | 0.0557 ± 0.0016 h⁻¹         | 0.9968 | -29.01 | 0.167 ± 0.016 h⁻¹           | 0.9827 | -9.53 |
| Hixson-Crowell    | 0.0669 ± 0.0028 mg³/².h⁻¹    | 0.9931 | -24.18 | 0.1759 ± 0.0074 mg³/².h⁻¹    | 0.9965 | -17.52 |
| Higuchi           | 16.51 ± 0.41 h⁰.⁵           | 0.9976 | 14.08 | 26.1 ± 1.1 h⁰.⁵             | 0.9965 | 10.34 |
| Korsmeyer-Peppas  | 0.181 ± 0.023 h⁰.⁴⁵⁻¹        | 0.9979 | -32.48 | 0.279 ± 0.040 h⁰.⁴⁰³⁻¹       | 0.9941 | -20.06 |

Hixson-Crowell’s model states that agitation does not affect dissolution rate, which is said to be the controlling factor for the release of the drug instead of its diffusion through the polymer matrix. Although the model considers that dissolution of the matrix causes a surface reduction it requires the original geometric form of the units to persist [42]. However, Korsmeyer-Peppas (Fig. 6) seems to be a better model to explain the release profile from the F3 prototype since it has been widely used for describing the release from polymer systems. In addition, it allows explaining the release mechanism where matrix erosion or dissolution takes place. In this case, the Korsmeyer release rate constant (K<sub>r</sub>) is associated with the diffusional exponent (n) from the following equation, where M<sub>t</sub>/M<sub>∞</sub> represents the fraction of drug released at a certain time (t) [43]:

\[
\frac{M_t}{M_\infty} = K_{rP} + t^n
\]  

(3)
Figure 6. Fitted release data to Korsmeyer-Peppas kinetic model at 32 °C (blue) and 38 °C (red).

The obtained values for the exponent n at 32 °C and 38 °C were respectively 0.457 and 0.450, which represent a non-Fickian transport mechanism and a diffusion-swelling controlled process (K<sub>kp</sub> = h<sup>n-1</sup>). The previous is due to the presence of small pores filled with the dissolution medium in the polymer matrix, allowing the subsequent diffusion of DKT through the swelled structure [43]. On the other hand, the first-order model seems to fit only at 32 °C. This model is used for describing drug release from porous structures and it is conditioned by the saturation point of the drug in the medium. Nevertheless, it could not fit the profile at 38 °C since the model is not capable of adjusting the initial data at a higher release rate [42].

5. Conclusions

The developed chitosan-based scaffold performance is influenced by the constituent polymers, such as gelatin, which allows a sustained release and the reduction of the initial burst. In addition, the natural feedback from the host expressed as local hyperthermia can modify the release of the loaded drug as well. As a result, this scaffold can be used to deliver the necessary dose according to each patient in order to provide the therapeutic effect. Thus, it can be considered as a suitable system for wound healing with a controlled release of DKT for 24 hour-use that can also potentially reduce side effects, allowing overcoming adherence issues and wound healing complications. However, further studies are recommended to evaluate in vivo cell proliferation, as well as the allergenic potential that may affect sensitized individuals to seafood due to chitosan synthesis from crustacean shells.

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