Memory Unscented Particle Filter for 6-DOF Tactile Localization

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Abstract—This paper addresses 6-DOF (degree-of-freedom) tactile localization, i.e., the pose estimation of tridimensional objects given tactile measurements. This estimation problem is fundamental for the operation of autonomous robots that are often required to manipulate and grasp objects whose pose is a-priori unknown. The nature of tactile measurements, the strict time requirements for real-time operation and the multimodality of the involved probability distributions pose remarkable challenges and call for advanced nonlinear filtering techniques. Following a Bayesian approach, this paper proposes a novel and effective algorithm, named Memory Unscented Particle Filter (MUPF), which solves the 6-DOF localization problem recursively in real-time by only exploiting contact point measurements. MUPF combines a modified particle filter that incorporates a sliding memory of past measurements to better handle multimodal distributions, along with the unscented Kalman filter that moves the particles towards regions of the search space that are more likely with the measurements. The performance of the proposed MUPF algorithm has been assessed both in simulation and on a real robotic system equipped with tactile sensors (i.e., the iCub humanoid robot). The experiments show that the algorithm provides accurate and reliable localization even with a low number of particles and, hence, is compatible with real-time requirements.

Index Terms—Bayesian state estimation, tactile localization, particle filtering.

I. INTRODUCTION

ACCURATE perception is a necessary requirement for general operation of autonomous robots in real-world environments, and, in particular, for object manipulation. The development of new sensors and inference techniques plays a major role in improving manipulation capabilities: it enhances the robot ability to deal with uncertainties, increases flexibility and also reduces the cost required to engineer the environment in which the robot will operate. In robotics, the use of vision has been thoroughly investigated [1], while the interest in tactile sensors is quite recent. This is due to the fact that only recent advances in tactile technology have made it possible to build tactile systems that are reliable enough and can be deployed on real robots at a reasonable price [2], [3], [4]. This recent improvement of tactile sensors is one of the reasons for a surge of interest on this topic [5]. Findings in human physiology testify how humans jointly exploit vision and touch in order to accomplish manipulation tasks and how humans are even able to explore objects by means of tactile perception solely [6], [7]. Thus, improvements on the use of touch sensors will make manipulation tasks more efficient, complementing vision when unavailable or imprecise, for example due to occlusions and/or bad lighting conditions.

Due to technological limitations, tactile systems have low resolution and rarely provide other than estimation of the force normal to the surface. Object localization using tactile feedback is, therefore, challenging and requires the development of filtering techniques that allow appropriate fusion of multiple measurements, taking into account the presence of noise and the real-time requirements of the task.

This paper proposes a novel algorithm for the solution of tridimensional object tactile localization, named Memory Unscented Particle Filter (MUPF). This algorithm relies on the Unscented Particle Filter (UPF) [8], conveniently modified so as to efficiently solve the global 6-DOF (degree-of-freedom) localization problem, by exploiting contact point measurements only. The proposed MUPF algorithm has proved to be effective in experimental validation carried out in simulation as well as in a real setting using the iCub humanoid robot and its tactile system [2].

The paper is organized as follows. Section II provides an overview on tactile localization. Section III is a brief introduction to nonlinear filtering techniques useful for the subsequent developments of the paper. Section IV presents a mathematical (Bayesian) formulation of the tactile localization problem. Section V presents the novel Memory Unscented Particle Filter (MUPF) approach to 6-DOF tactile localization. Section VI and VII demonstrate the effectiveness of the proposed approach by means of simulation and, respectively, experimental tests on the iCub humanoid robot. Finally, Sections VIII and IX end the paper with concluding remarks and perspectives for future work.

II. RELATED WORK

The first contributions on tactile object localization (1980s) tackled the problem by using mostly iterative optimization methods and focused on finding a single solution best fitting the set of available measurements [9]–[11]. Since these methods tend to be trapped in local minima, low initial uncertainty is assumed so as to ensure that the optimization algorithm is initialized near the solution. In order to avoid local minima, the algorithm can be executed multiple times from different starting points.

Over the last years, Bayesian methods have been playing an important role in tactile localization [12]–[15]. In particular,
these methods are capable of working with noisy sensors, inaccurate models, moving objects and can give information on where to sense next during tactile exploration. Thus, they can be used not only to localize the object, but also to provide useful information for collecting measurements and for real exploration.

Since the localization problem is intrinsically of multimodal nature (i.e. the probability density exhibits multiple peaks), nonlinear Kalman filtering techniques (such as the extended or unscented Kalman Filter) cannot be satisfactorily used. In this respect, the Bayesian framework (e.g. particle filtering) is more appropriate, since it intrinsically handles multimodal distributions. On the other hand, its main drawback is represented by the computational complexity, which grows exponentially with the number of independent variables (DOFs) and polynomially with the size of the initial region of uncertainty. For example, recalling that the localization of an object involves 6 DOFs, a particle filter should be configured to run with a number of particles in the order of $10^9$, which might entail an unaffordable computational burden for real-time operation. In fact, most of the existing work is characterized by assumptions limiting the number of DOFs and the size of initial uncertainty.

In this respect, the first known work traces back to 2001 and is due to Gadeyne et al. [12], who performed 3-DOF localization of a box with initial uncertainty of 300 mm in position and 360 degrees in orientation. Measurements were taken by a force-controlled robot and a sampled measurement model, stored in a lookup table and constructed off-line, was used. In 2005, Chhatpar et al. [13] used particle filters to achieve 3-DOF localization with 20 mm of initial uncertainty in peg-in-hole assembly tasks. The exploited measurement model was obtained by sampling the object in advance.

An interesting approach to tactile localization makes use of the Scaling Series method [15], developed by Petrovskaya et al., by which 6-DOF localization has been achieved with large initial uncertainty of 400 mm in position and 360 degrees in orientation. This method, which combines Bayesian Monte Carlo and annealing techniques, exploits measurements of contact points and surface normals. It performs multiple iterations over the data, gradually scaling precision from low to high. For each iteration, the number of particles is automatically selected on the basis of the complexity of the annealed posterior.

In 2010, Corcoran et al. [14] used an annealed particle filter to estimate a 4-DOF pose and radius of cylindrical objects. The initial uncertainty was of 250 mm in position and unrestricted in orientation. The measurement model proposed in [15] was extended by exploiting the concept of “negative information”. To this end, a set of “no-contact measurements” is defined to account for regions explored by the robot where it is known or can be inferred that the object cannot be located, since no contacts are perceived.

In 2013, Koval et al. [17] addressed object localization during manipulation actions which involve persistent contact (e.g. pushing) and proposed a modified particle filter to estimate the state of the object. In the same year, Chalon et al. [18] presented another particle filter method including information on both object and finger movements. A recent work [19] combines global optimization methods with the Monte Carlo approach in order to provide a batch solution to the global localization problem, either improving the estimate of the object pose obtained by vision or globally estimating pose when vision is not available.

From a technological standpoint, the most commonly used sensors for tactile localization are force sensors, usually located on the end effector of industrial manipulators, which provide both contact point and surface normal measurements [12], [15], [20]. Conversely, more recent works - such as [14], [17], [18], [21] and the present paper - rely on a human-like robot hand to retrieve measurements, with a different kind of tactile sensors. An example of capacitive tactile sensors located on the fingertips of robot hands are the ones described in [2], able to retrieve the pressure exerted on the fingertip when contact is detected.

This paper proposes a novel algorithm, the Memory Unscented Particle Filter, to solve the 6-DOF object localization using tactile measurements. The algorithm was designed to exploit only the measured position of the contact points obtained from the tactile sensors on the robot unlike other work in the literature, wherein available measurements also include the surface normal at the contact points [15], [20], [22], or consist of a 6-dimensional vector including force and torque [12].

It is worth pointing out that the proposed solution is inherently recursive in that the measurements are sequentially processed in real time as they become available, and the algorithm can provide the object’s pose estimate after the processing of each measurement. There are several reasons for considering a recursive approach: the algorithm can provide the object’s pose estimate after the processing of each measurement, and not only at the final measurement acquisition time as with a batch procedure like the one in [15], [16]; it is compliant with active exploration techniques where the robot decides, at each time $t$, where to sense next on the basis of the current object’s pose estimate; it can allow stopping the object localization procedure at a given time $t$ whenever a suitable stopping criterion is satisfied.

The main novelties of this work are:

1) the adoption of the Unscented Particle Filter (UPF) [8], i.e. a variant of the particle filter exploiting an UKF for each particle, for tactile object localization;
2) the definition of an appropriate measurement model for the touch sensor necessary for the application of UPF to tactile-based localization;
3) a suitable modification of UPF to enforce memory of past measurements in the update of the importance weights.

Experiments in simulations as well as with a real robotic platform show that, with respect to the state-of-the-art, MUPF is significantly more reliable, requires much less parameter tuning and is able to localize the object with comparable precision.

III. Mathematical Background

Hereafter, tactile localization is cast into the Bayesian framework and addressed as a nonlinear multimodal filtering
and respectively Chapman-Kolmogorov equations: consisting of two functional equations, i.e. the following Bayes Bayesian recursion, starting from the initial prior PDFs problem is to recursively compute the following conditional problem. Recall that filtering is the problem of recursively given that the state is equal to probability that the measurement at time \( t \) \( x \) \( ϕ \) \( w \) where \( ϕ \) \( \phi \) of particles. Among the most effective variations, there is the unscented particle filter (UPF) which exploits the UKF in the proposal distribution to improve performance [8]. In the following part of this section, an outline of the UPF algorithm is provided. The UPF propagates a set of extended particles \( P_t = \{ P_1 \mid t, ..., P_N \mid t \} \), each one comprising a weight \( w_t \) \( i \) \( x_t \) \( P_t \mid i \) \( \{ \dot{w}_t, x_t, P_t \mid i \} \). Given the set of particles at time \( t - 1 \), the UKF prediction and correction steps are applied to each particle mean and covariance so as to move the particle towards the measurements. Then, for each \( i \), a new particle is sampled using \( N(x_t; x_t, P_t) \) as proposal distribution where \( x_t \) is the updated mean after the measurement correction, \( P_t \mid i \) is the updated covariance, and \( N(x; \tilde{x}, P) \) denotes the normal distribution with mean \( x \) and covariance \( P \), thus achieving a more dense sampling in the most relevant areas of the search space. In order to apply the UKF to each particle, it is necessary to assume that the Markov transition density \( ϕ_{t+1} | x_t \mid | x_t \) and measurement likelihood function \( ϕ_t | y_t \mid x_t \) are generated by a state transition and, respectively, measurement equation, so that the time evolution of \( x_t \) and \( y_t \) can be described by the discrete-time dynamical system \( x_{t+1} = f_t(x_t, \omega_t) \) \( y_t = h_t(x_t, \nu_t) \). In principle, the particle approximation [4] can be computed by drawing a set of independent and identically distributed samples \( \{ x_{i,t}, i = 1, ..., N \} \) from the posterior \( p_t(x) \). While such a solution is not feasible because \( p_t(x) \) is not known, the difficulty can be circumvented by sampling each particle \( i \) from a known, easy-to-sample, proposal distribution \( q((x_i) | y^t) \), and then compute the normalized importance weights as \( \bar{w}_t \) \( i \) \( \bar{w}_t \) \( i \) \( j \) \( i \), i.e., \( \bar{w}_t = \frac{1}{N} \sum_i w_t(i) \). In fact, by comparing (5) with (2) and (3), it is an easy matter to see that the resulting particle-based description approximates the true posterior \( p_t(x) \) at time \( t \). A. The Unscented Particle Filter The main drawback of particle filtering techniques is that, unless special care is taken, the number \( N \) of particles needed to make the approximation (4) sufficiently accurate can increase exponentially with the dimension \( n \) of the vector to be estimated (since it is required to sample in a subset of \( \mathbb{R}^n \)). In this respect, a critical point of particle filtering is how to choose the proposal distribution \( q((x_i) | y^t) \) so as to approximate the posterior reasonably well with a moderate number of particles. Among the most effective variations, there is the unscented particle filter (UPF) which exploits the UKF in the proposal distribution to improve performance [8]. In the following part of this section, an outline of the UPF algorithm is provided. The UPF propagates a set of extended particles \( P_t = \{ P_1 \mid t, ..., P_N \mid t \} \), each one comprising a weight \( w_t \) \( i \) \( x_t \) \( P_t \mid i \) \( \{ \dot{w}_t, x_t, P_t \mid i \} \). Given the set of particles at time \( t - 1 \), the UKF prediction and correction steps are applied to each particle mean and covariance so as to move the particle towards the measurements. 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The Unscented Particle Filter The main drawback of particle filtering techniques is that, unless special care is taken, the number \( N \) of particles needed to make the approximation (4) sufficiently accurate can increase exponentially with the dimension \( n \) of the vector to be estimated (since it is required to sample in a subset of \( \mathbb{R}^n \)). In this respect, a critical point of particle filtering is how to choose the proposal distribution \( q((x_i) | y^t) \) so as to approximate the posterior reasonably well with a moderate number of particles. Among the most effective variations, there is the unscented particle filter (UPF) which exploits the UKF in the proposal distribution to improve performance [8]. In the following part of this section, an outline of the UPF algorithm is provided. 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Notice that in system (7)-(8), the probabilistic nature of the model is captured by the process disturbance $\omega_t$ and measurement noise $\nu_t$, which are supposed to be sequences of independent random variables with known probability density functions.

The UKF does not directly approximate the nonlinear process and observation models, but exploits the nonlinear models, approximating the distribution of the state. This is made possible by means of the scaled unscented transformation (SUT) (26), which is a tool for computing the statistics of a random variable undergoing a nonlinear transformation. Specifically, the state distribution is specified using a minimal set of deterministically chosen sample points. Such sample points exactly provide the true mean and covariance of such a variable and, when propagated through the nonlinear transformation, they approximate the posterior mean and covariance accurately to the 2nd order for any nonlinearity. For the reader’s convenience, a brief review of the SUT is provided hereafter.

Let $x \in \mathbb{R}^{n_x}$ be a random variable, with mean $\bar{x}$ and covariance $P_x$, and $g : \mathbb{R}^{n_x} \rightarrow \mathbb{R}^{n_y}$ an arbitrary nonlinear function. The goal is to approximate the mean value $\bar{y}$ and covariance of the variable $y = g(x)$. A set of $2n_x + 1$ weighted samples or sigma points $S_t = \{W_i, \chi_i\}_{i=0}^{2n_x}$ are chosen to completely represent the true mean and covariance of the variable $x$, i.e.

\[
X_0 = \bar{x} \\
X_i = \bar{x} + \sqrt{(n_x + k)P_x}, \quad i = 1, \ldots, n_x \\
X_i = \bar{x} - \sqrt{(n_x + k)P_x}, \quad i = n_x + 1, \ldots, 2n_x \\
W_0^{(m)} = \lambda/(n_x + \lambda) \\
W_0^{(c)} = \lambda/(n_x + \lambda) + (1 - \alpha^2 + \beta) \\
W_i^{(m)} = W_i^{(c)} = 1/[2(n_x + \lambda)], \quad i = 1, \ldots, 2n_x,
\]

where: $\lambda = \alpha^2(n_x + k) - n_x$; $\alpha > 0$ provides one more degree of freedom to control the scaling of the sigma points and to avoid the possibility of getting a non-positive semi-definite covariance; $\kappa \geq 0$ is another scaling parameter; $\beta$ affects the weighting of the zero-th sigma point.

Each sigma point is then propagated through the function $g(\cdot)$ ($\chi_i = g(X_i)$, for $i = 0, \ldots, 2n_x$) and the estimated mean and covariance of $y$, as well as the cross-covariance between $x$ and $y$, are computed as follows:

\[
\begin{align*}
\bar{y} &= \sum_{i=0}^{2n_x} W_i \mathcal{Y}_i, \\
\bar{y} &= \sum_{i=0}^{2n_x} W_i(\mathcal{Y}_i - \bar{y})(\mathcal{Y}_i - \bar{y})^T, \\
P_{xy} &= \sum_{i=0}^{2n_x} W_i(\chi_i - \bar{x})(\chi_i - \bar{y})^T.
\end{align*}
\]

The Unscented Kalman Filter is obtained by applying the SUT to the nonlinear functions $f_t$ and $h_t$ in (7)-(8).

In practice, in the UPF algorithm, given the mean $\bar{x}_{t-1}^{(i)}$ and covariance $P_{t-1}^{(i)}$ at time $t - 1$, as well as the mean and covariance of the process disturbance $\omega_{t-1}$, application of the SUT to the state transition equation (7) allows to compute an approximation of the predicted mean $\bar{x}_{t|t-1}^{(i)}$ and covariance $P_{t|t-1}^{(i)}$ at time $t$. In turn, given $\bar{x}_{t|t-1}^{(i)}$ and $P_{t|t-1}^{(i)}$ as well as the mean and covariance of the measurement noise $\nu_t$, application of the SUT to the measurement equation (8) allows to provide an approximation of the predicted measurement mean $\bar{y}_{t|t-1}^{(i)}$ and covariance $S_{t|t}^{(i)}$ as well as of the state-measurement cross-covariance matrix $\Gamma_t^{(i)}$. Then, the updated mean $\bar{x}_{t|t}^{(i)}$ and covariance $P_{t|t}^{(i)}$ are obtained by applying the standard Kalman filter correction step.

Since in practice it can happen that, after a few iterations, one of the normalized weights tends to 1, while the remaining weights tend to zero (weight degeneration), a selection or resampling stage is usually included in the particle filtering algorithm, in order to eliminate samples with low importance weights and replicate samples with high importance weights. Summing up, the resulting algorithm is reported in Table 1.

\begin{table}[h]
\centering
\caption{The unscented particle filter}
\begin{tabular}{l}
\hline
for $i = 1, \ldots, N$ draw the state particles $x_{0|0}^{(i)}$ from the prior $p_{t0}(x)$ and set $P_{0|0}^{(i)} = P_0, \quad \bar{w}_{0}^{(i)} = 1/N$
\hline
for $t = 1, 2, \ldots, do$
\hline
1) UKF prediction and correction
\hline
for $i = 1, \ldots, N$ do
\hline
\textbf{-Time update:} given $\{x_{t-1|t-1}^{(i)}, P_{t-1|t-1}^{(i)}\}$, compute $\{x_{t|t-1}^{(i)}, P_{t|t-1}^{(i)}\}$ by applying the SUT to the state transition equation (7). \hline
\textbf{-Measurement prediction:} given $\{x_{t|t-1}^{(i)}, P_{t|t-1}^{(i)}\}$, compute $\{y_{t|t-1}^{(i)}, S_{t|t}^{(i)}, \Gamma_t^{(i)}\}$ by applying the SUT to the measurement equation (8). \hline
\textbf{-Measurement update:} set
\hline
$K_t^{(i)} = \frac{1}{P_{t|t}^{(i)}} \left( S_{t|t}^{(i)} \right)^{-1}$
\hline
$\bar{x}_{t|t}^{(i)} = x_{t|t-1}^{(i)} + K_t^{(i)}(y_t - y_{t|t-1}^{(i)})$
\hline
$P_{t|t}^{(i)} = P_{t|t-1}^{(i)} - K_t^{(i)} S_{t|t}^{(i)} K_t^{(i)^T}$
\hline
end for
\hline
2) Weight update
\hline
for $i = 1, \ldots, N$ do
\hline
sample from the proposal distribution:
\hline
$\hat{x}_{t|t}^{(i)} \sim q(\cdot | y_t) = \mathcal{N}(\cdot; \hat{x}_{t|t}^{(i)}, P_{t|t}^{(i)})$
\hline
evaluate and normalize the importance weights:
\hline
$w_{t}^{(i)} = \hat{w}_{t}^{(i)} = \frac{\ell_t(y_t, \hat{x}_{t|t}^{(i)}) \psi_{t|t-1}^{(i)}(\hat{x}_{t|t}^{(i)}, x_{t|t-1}^{(i)})}{\mathcal{N}(\hat{x}_{t|t}^{(i)}; \bar{x}_{t|t}^{(i)}, P_{t|t}^{(i)})}$
\hline
$\tilde{w}_{t}^{(i)} = \frac{w_{t}^{(i)}}{\sum_{j=1}^{N} w_{t}^{(j)}}$
\hline
end for
\hline
3) Resampling
\hline
for $i = 1, \ldots, N$ do
\hline
draw $j \in \{1, \ldots, N\}$ with probability $\tilde{w}_{t}^{(j)}$ and set:
\hline
$z_{t}^{(i)} = \hat{x}_{t|t}^{(j)}$, $P_{t|t}^{(i)} = P_{t|t}^{(j)}$, $\tilde{w}_{t}^{(j)} = 1/N$
\hline
end for
\hline
\end{tabular}
\end{table}
IV. Problem formulation

The object to be localized is assumed to be static during the measurement collection. This assumption is common to other works \cite{12,15,16,20} and is realistic, for instance, if the object is very heavy or is stuck on a support preventing any possible movement. Hence, the goal of the 6-DOF object tactile localization problem is to estimate in real-time the pose $\mathbf{x} \in \mathbb{R}^6$ of an object $O$ of known shape, on the basis of the tactile measurements $y'_t = \{y_1, \ldots, y_l\}$ collected up to the current time instant $t$. The minimal pose representation of the object is given by the 6-dimensional state vector $\mathbf{x}$, consisting of the coordinates of the center of the reference system attached to the object and the three Euler angles representing the orientation, i.e.

$$\mathbf{x} = [x, y, z, \phi, \theta, \psi]^T.$$

The measurements are collected by touching the object with the end effector of the robot. Each measurement $y_t$ consists of the acquired Cartesian position of the contact point, i.e.:

$$y_t = [x_t, y_t, z_t]^T.$$

It is worth noticing that the exploited measurements consist only of tridimensional contact point vectors. Notice also that, while for ease of presentation it is assumed that a single measurement consists of a single contact point, the proposed approach is well-suited to being extended to consider measurements consisting of multiple contact points (corresponding to different fingertips touching the object). This would simply amount to processing, at each time instant $t$, a measurement vector of size $3n_t$, $n_t$ being the number of fingertips touching the object at that time. Finally, notice that, in the sequel, all measurements and the object pose will be assumed to be expressed in the same, fixed, reference system.

A. Considerations on the motion model

Since the object is assumed to be static, the 6-DOF object tactile localization problem is basically a static parameter estimation problem. In this respect, it is well known that the use of particle filtering techniques for estimating static parameters requires special care, because a direct application of these techniques to the constant state equation $x_{t+1} = x_t$, corresponding to the Markov transition density $\pi_{t+1}(x|\xi) = \delta(x - \xi)$, would incur in the so-called weight-degeneracy phenomenon. Many solutions have been proposed in the literature to circumvent such a problem, see for instance \cite{27} and the references therein. A simple but effective approach consists in adding an artificial dynamic noise on the static parameter by considering a state-transition equation of the form

$$x_{t+1} = x_t + \omega_t,$$

where $\omega_t$ is the artificial dynamic noise which is modeled as a Gaussian random variable with zero mean and covariance $Q_t$. The idea is that the artificial evolution provides a mechanism for generating at each time instant new particles with a sufficiently diffuse distribution. In this paper, a time-invariant covariance matrix is used, i.e. $Q_t = Q$, since it proves effective in the considered case studies. However more elaborated solutions can be easily incorporated within the proposed algorithm \cite{27}.

Some considerations on the possibility of extending the approach to the case of moving object localization are provided in Remark 2.

B. Measurement Model

In order to apply the UPF to the tactile localization problem under investigation, it is necessary to define the measurement model both in terms of a likelihood function $\ell_t(y_t|x_t)$ and of a measurement function $h_t(\cdot, \cdot)$. The proposed likelihood function is based on the so-called proximity model, in which the measurements are considered independent of each other and corrupted by Gaussian noise. For each measurement, the likelihood function depends on the distance between the measurement and the object, hence the name “proximity”. This model is the adaptation of the likelihood proposed in \cite{13} to the case of contact point measurements only.

Let the 3D object model be represented by a polygonal mesh consisting of faces $\{f_i\}$. For each face $f_i$, let $\ell_{t,i}(y_t|x_t)$ be the likelihood of the measurement $y_t$ relative to that face when the object is in the pose $x_t$. Then, the likelihood of the measurement is defined as the maximum likelihood over all faces, i.e.

$$\ell_t(y_t|x_t) = \max_i \ell_{t,i}(y_t|x_t),$$

apart from a normalizing factor which, however, is independent of the state $x_t$ and needs not necessarily be computed. Each likelihood is assumed to be Gaussian, with variance $\sigma^2_{t,i}$, and can be computed as follows:

$$\ell_{t,i}(y_t|x_t) = \frac{1}{\sqrt{2\pi}\sigma_{i}} \exp \left( -\frac{1}{2} \frac{\|y_t - \bar{y}_t\|^2}{\sigma^2_{i}} \right),$$

where the quantity $d_i(y_t, x_t)$ is the shortest Euclidean distance of $y_t$ from the face $f_i$ when the object is in the pose $x_t$. For instance, supposing that $f_i$ is the representation of the i-th face in the object reference system, the distance $d_i(y_t, x_t)$ can be computed as

$$d_i(y_t, x_t) = \min_{p \in f_i} \|y_t - y_t^p\|,$$

where $\|\cdot\|$ is the Euclidean norm and $y_t^p$ denotes the transformation of the measurement $y_t$ using the roto-translation matrix corresponding to the state $x_t$.

Notice that the considered measurement model does not take negative information into account. In other words, the points of the search space exploited to compute the likelihood function are only the ones on the object surface touched during the collection of measurements, while the information provided by the lack of contact in some sub-regions of the search space is not taken into account in the likelihood function. Even if the negative information can also support object localization, it is not exploited in this method in order to keep the computational complexity moderate.

As previously pointed out, the use of the UPF requires also the definition of a measurement function, namely a mathematical mapping giving the measurement $y_t$ as a function of the current state $x_t$ and a measurement noise $\nu_t$, see \cite{8}. For the
sake of simplicity, a measurement equation with additive noise is taken into account, i.e.,
\[ y_t = h_t(x_t) + \nu_t. \]  \tag{15}

In particular, the measurement function is required to compute the Scaled Unscented Transform (SUT) in the measurement prediction step of the Unscented Kalman Filter.

It is important to highlight how the definition of a measurement equation is different from the one of a likelihood function: given the state and the measurement noise, the measurement equation provides a measurement value - a contact point in the present case - whereas the likelihood function is proportional to the probability of having a certain measurement for a given state.

Tactile sensors are atypical sensors from this standpoint. In fact, typical sensors, e.g. radars, are characterized by a mathematical relationship between the current state of the object and the provided measurement: given the state of the object, the measurement of the object position and orientation supplied by the sensor remains unchanged (neglecting the measurement noise).

On the other hand, the employment of tactile sensors makes the scenario quite different. The measurement is given by the tactile sensor pose itself, i.e., the forward kinematics of the end effector of the robot, only if the robot actually touches the object. Thus, if the object is in a generic state and the sensor in a specific pose, it cannot be taken for granted that such a configuration provides a contact measurement. Moreover, the sensor moves during the measurement collection, while the object is motionless. It is not possible to predict unambiguously the measurement value without a model of the sensor motion: given the pose of the sensor and the object distance from it, the predicted measurement is not unique, since the sensor could touch the object in different points.

Nevertheless, in order to compute a predicted measurement for each possible configuration \( x \), it is necessary to define a measurement equation capable of handling also the case in which there is no actual contact between the sensor and the object in the considered pose \( x \) (in particular, the sigma point of the \( i \)-th predicted particle). Further, the predicted measurement should be consistent with the proximity-based likelihood \( \ell \).

To this end, it is useful to provide an alternative interpretation of the likelihood \( \ell \). Notice first that, due to the measurement noise, the measurement \( y_t \) does not represent the actual contact point between the sensor and the object, which however will be in the neighborhood of \( y_t \). The proximity model assumes that the actual contact point is the point on the object surface which is closest to the measurement \( y_t \). In fact, equation \( \ell \) can be rewritten as
\[ \ell_t(y_t|x_t) \propto \exp \left( -\frac{1}{2\sigma_p^2} \| y_t - h_t(x_t) \|^2 \right) \]  \tag{16}
where
\[ h_t(x_t) = \arg \min_{p \in \partial O} \| y_t - p \| \]  \tag{17}
and \( \partial O \) represents the object boundary in the pose \( x_t \) with respect to the robot reference system. Then, the likelihood of the measurement \( y_t \) depends on its distance from such a hypothetical contact point according to a Gaussian distribution. Accordingly, given a configuration \( x_t \), the corresponding predicted measurement is selected as the point of the object surface which is closest to the measurement \( y_t \). Such a choice turns out to be consistent with the proximity likelihood model. In fact, by taking the additive measurement noise \( \nu_t \) in \( y_t \) as a Gaussian random variable with zero-mean and covariance \( \sigma^2 \), with \( I \) the identity matrix, it is an easy matter to see that \( \ell \) and \( \ell_t \) give rise precisely to a likelihood of the form \( \ell_t \).

V. THE MEMORY UNSCENTED PARTICLE FILTER

The main challenges of the 6-DOF tactile localization problem are its dimension (6-DOFs), its multimodal nature, and the fact that individual measurements are relatively uninformative, since they are tridimensional vectors in a 6-DOF space. In particular, the latter fact implies that the standard UPF algorithm is not well suited to this problem. In fact, Algorithm I uses, at each time instant \( t \), only the current measurement \( y_t \) in order to compute the importance weights \( w_t^{(i)} \). However, since a single contact point measurement is unable to completely characterize the object’s pose (lack of observability), the standard weights need not do provide enough information to understand which particles must be replicated and which ones must be eliminated in the subsequent resampling step. Thus, performing the standard resampling step and then discarding some particles - on the basis of such weights is problematic: some potential representative particles could be cut off and the algorithm could limit the search to wrong sub-regions.

In order to overcome such a drawback, this paper proposes a novel variant of the UPF, referred to as Memory UPF (MUPF). The idea is to use also past measurements to update particle weights so as to preserve their ability to characterize particle goodness. Since the object is static, all the measurements refer to the same pose and, in principle, at each time \( t \) all the measurements \( y_t \) collected up to the current time could be used to compute the importance weights. However, this solution would entail a computational effort growing in time. To avoid such a growth of complexity, the proposed approach follows a moving window strategy, i.e., by using, at each time instant, a sliding window consisting of the most recent \( m \) measurements. In this way, at each time instant, the weight computation requires \( O(Nm) \) likelihood evaluations, and the size \( m \) of the sliding window can be chosen according to the available computational capabilities.

In practice, the particles \( \{ \hat{x}_t^{(i)} \}_{i=1}^N \) and the set of independent measurements \( \{ y_t \} \), collected up to the current instant \( t \), are used to compute the weights by:
\[ w_t^{(i)} = \frac{\tilde{w}_t^{(i)} \prod_{k=k(t)}^t \ell(y_k|\hat{x}_t^{(i)})}{N(\hat{x}_t^{(i)}|\hat{x}_t^{(i)}; P_t^{(i)}),} \]  \tag{18}
\[ \tilde{w}_t^{(i)} = \frac{w_t^{(i)}}{\sum_{j=1}^N w_t^{(j)}} \]  \tag{19}
for $i = 1, \ldots, N$, where
\[
\kappa(t) = \begin{cases} 
   t - m + 1, & \text{if } t - m + 1 \geq 1 \\
   1, & \text{otherwise}
\end{cases}
\] (20)

Of course, the reuse of measurements in the update of the particles’ weights modifies the nature of the approximation, and hence special care needs to be taken in order to retrieve the pose estimate in a theoretically sound way. To see this, observe preliminarily, that the addressed problem is inherently of a multimodal nature, since in the presence of symmetries in the object, there might exist multiple values of $x$ compatible with the measurements. Then, taking the expected value as estimate is not meaningful. Instead, a maximum \textit{a posteriori} probability (MAP) criterion can be followed by taking as pose estimate at time $t$ the corrected particle $\hat{x}_t^{(i)}$ corresponding to the highest value of the estimated posterior distribution \cite{28}.

Recalling that each corrected particle can be considered corresponding to a Gaussian distribution with mean $\bar{x}_t^{(i)}$ and covariance $P_t^{(i)}$, one might be tempted to take as estimated posterior $\hat{p}_{t|t}(\cdot)$ the function
\[
\hat{p}_{t|t}(x) = \sum_{i=1}^{N} \tilde{w}_t^{(i)} N(x; \bar{x}_t^{(i)}, P_t^{(i)}).
\] (21)

Unfortunately, such a choice would not be theoretically sound due to the multiple use of measurements in the weight computation. In this respect, notice first that, since the object is static, the 6-DOF localization problem is a parameter estimation problem and, hence, the true posterior $p_{t|t}(\cdot)$ at time $t$ takes the form
\[
p_{t|t}(x) \propto \prod_{k=1}^{t} \ell(y_k|x) p_0(x),
\] (22)
where $p_0(\cdot)$ is a PDF reflecting the prior knowledge on the object configuration. Since at each time instant multiple measurements are used in the weight computation, the estimated posterior $\hat{p}_{t|t}(\cdot)$ does not approximate the true one $p_{t|t}(\cdot)$ but instead it approximates the PDF
\[
\hat{p}_{t|t}(x) \propto \prod_{k=1}^{\bar{k}(t)-1} \ell(y_k|x)^m \prod_{k=\bar{k}(t)}^{t} \ell(y_k|x)^{t+1-k} p_0(x),
\] (23)
where $p_0(x)$ is the prior density used in the generation of the initial particles, thus introducing an undesired warp in the form of the estimated posterior PDF.

This drawback can be circumvented by computing special weights $\tilde{w}_t^{(i)}$, used only for the purpose of pose estimation extraction but not propagated in the recursion. In fact, by setting
\[
\tilde{w}_t^{(i)} = \frac{\tilde{w}_t^{(i)} \prod_{k=\kappa(t)}^{t} \ell(y_k|x)^{t+1-k} p_0(x)}{N(\bar{x}_t^{(i)}; \bar{x}_t^{(i)}, P_t^{(i)})},
\] (24)
\[
\bar{w}_t^{(i)} = \frac{\tilde{w}_t^{(i)}}{\sum_{j=1}^{N} \tilde{w}_t^{(j)}},
\] (25)
for $i = 1, \ldots, N$, and using the estimated posterior
\[
\hat{p}_{t|t}(x) = \sum_{i=1}^{N} \bar{w}_t^{(i)} N(x; \bar{x}_t^{(i)}, P_t^{(i)})
\] (26)
in place of (21), it turns out that such a $\hat{p}_{t|t}(\cdot)$ approximates the PDF
\[
\tilde{p}_{t|t}(x) \propto \prod_{k=1}^{t} \ell(y_k|x)^m p_0(x)
\] (27)
so that all measurements provide the same contribution to the estimation problem. Then, by choosing $p_0(x) \propto p(x)^m$, we obtain $\tilde{p}_{t|t}(x) \propto p_{t|t}(x)$ which implies that $\hat{p}_{t|t}(x)$ and $p_{t|t}(x)$ share the same maximum points. In turn, this implies that application of the MAP estimation criterion to $\hat{p}_{t|t}(x)$ is equivalent to computing the MAP estimate according to $p_{t|t}(x)$. These considerations allow concluding that, with the choice $p_0(x) \propto p(x)^m$, the MAP estimate $\hat{x}_t$ corresponding to the particle with the maximum a posteriori probability according to (26)
\[
\hat{x}_t = \arg \max_j \hat{p}_{t|t}(\hat{x}_t^{(j)})
\] (28)
\[
= \arg \max_j \sum_{i=1}^{N} \bar{w}_t^{(i)} N(\hat{x}_t^{(j)}; \bar{x}_t^{(i)}, P_t^{(i)}).
\] (29)
is coherent with the true posterior PDF.

Remark 1. The fact that (26) approximates (27) can be shown by noting that $\tilde{p}_{t|t}(x)$ can be decomposed as follows
\[
\tilde{p}_{t|t}(x) \propto \prod_{k=\kappa(t)}^{t} \ell(y_k|x)^{t-k+1} \times \prod_{k=\kappa(t)}^{t} \ell(y_k|x)^{t+1-k} \prod_{k=1}^{\bar{k}(t)-1} \ell(y_k|x)^m p_0(x)
\]
\[
= \prod_{k=\kappa(t)}^{t} \ell(y_k|x)^{m-t+k-1} \tilde{p}_{t|t}(x)
\]
which precisely corresponds to the weight update in (24).

A further modification, as compared to the standard UPF, pertains to the resampling step. Since in the first iterations only few measurements are available (thus providing insufficient information), all the particles are retained so as to account for more possible solutions, in accordance with the multimodal nature of the problem. This amounts to skipping the standard resampling step for a certain number $t_0$ of initial time instants (in the experimental results reported in the following sections, for the first two time instants). The degeneration of the weights in the first iterations is avoided by setting the weights of all particles equal to $1/N$.

Summing up, the proposed MUPF algorithm is shown in Table III. The term \textit{Memory}, in the name of the proposed algorithm, is due to the computation of the weights: at each iteration a non-decreasing number of measurements is exploited to evaluate the likelihood function. Notice also that the computation of the weights $\bar{w}_t^{(i)}$ is optional (since they are not
TABLE II
THE MEMORY UNSCENTED PARTICLE FILTER

for \( i = 1, \ldots, N \) draw the state particles \( \mathbf{x}^{(i)}_t \) from the prior \( p(0|0)(\mathbf{x}) \) and set \( P^{(i)}_0 = \mathbf{P}_0 \) and \( \mathbf{u}^{(i)}_0 = 1/N \)

for \( t = 1, 2, \ldots \) do
1) UKF prediction and correction
   for \( i = 1, \ldots, N \) do
      - Time update: set \( \mathbf{x}^{(i)}_{t|t-1} = \mathbf{x}^{(i)}_{t-1|t-1} \) and \( P^{(i)}_{t|t-1} = P^{(i)}_{t-1|t-1} + Q \)
      - Measurement prediction: like in Table I;
      - Measurement update: like in Table I;
   end for
2) Weight update
   for \( i = 1, \ldots, N \) do
      sample from the proposal distribution:
      \[ \hat{x}_t^{(i)} \sim \mathcal{N}(\cdot|y') = \mathcal{N}(\cdot; \mathbf{x}_t^{(i)}, P^{(i)}_{t|t}) \]
      evaluate and normalize the modified importance weights via [18] and [19].
   end for
3) Estimated pose extraction (optional)
   for \( i = 1, \ldots, N \) do
      compute the estimated pose \( \hat{x}_t \) via [28];
   end for
4) Resampling
   for \( i = 1, \ldots, N \) do
      if \( t > t_0 \) then
         draw \( j \in \{1, \ldots, N\} \) with probability \( \mathbf{w}^{(i)}_t \)
         then set:
         \[ \mathbf{x}^{(i)}_{t|t} = \hat{x}_t^{(j)} \]
         \[ P^{(i)}_{t|t} = P^{(j)}_{t|t} \]
         \[ \mathbf{w}^{(i)}_t = \frac{1}{N} \]
      else
         set:
         \[ \mathbf{x}^{(i)}_{t|t} = \mathbf{x}^{(i)}_t \]
         \[ \mathbf{w}^{(i)}_t = \frac{1}{N} \]
      end if
   end for
end for

used in the time propagation from \( t \) to \( t+1 \) and can be limited only to those time instants in which one wants to extract an estimate \( \hat{x}_t \) of the object’s pose from the approximated posterior.

Remark 2. While the considered framework deals with static objects, the proposed algorithm is well-suited to being extended to the case of moving objects since it is based on Bayesian filtering and is inherently recursive in nature. When the object is not static, however, the use of a sliding window of the most recent measurements in the weight computation requires some caution because the past measurements do not refer to the current pose. In principle, this problem can be circumvented by considering particle states consisting of the whole object trajectory in the sliding window (similarly to what happens in particle-filtering-based solutions to the SLAM problem) so that the likelihood, with respect to the measurements in the sliding window, can be correctly computed. Further, when the object is static, a simple motion model like [12] makes sense only to model small random movements caused by probing. For truly moving objects (for example a rolling ball), more complex motion models are required including also the object velocity. Of course, the main challenge in this case is the increased complexity due to such modifications. Such generalizations are left for future research.

VI. ALGORITHM VALIDATION WITH SIMULATED MEASUREMENTS

In order to evaluate the performance of the developed Memory Unscented Particle Filter (MUPF), a C++ implementation of MUPF has been tested via simulations on different objects and collections of measurements. The tests have been run on a Linux platform, with a quadcore 3.40 GHz processor. The developed code, the exploited measurements and the reconstructed object models can be downloaded from github.

A. Simulation setup

The simulation setup consists of five objects: a rectangular box, a tetrahedron, a cleaner spray, a robot toy and a safety helmet (Fig. 1).

The mesh models of the first two objects, having a simple geometrical shape, are built from ruler measurements whereas the other three more complicated objects are approximated by triangular mesh models, reconstructed via image processing algorithms. In particular, the mesh models of the cleaner spray and safety helmet are obtained from 360 degree point clouds reconstructed with the RTM toolbox [29]. The RTM toolbox merges together several partial 3D models - i.e. different views of the object - captured by rotating the object in front of a RGB-D camera and, in a few seconds, provides a 360 degree point cloud of the object. Conversely, the more complex point cloud of the robot toy is retrieved by making use of the AutoDesk 123d catch application [1] that, in several tens of minutes, processes different object photos taken from different views with a smartphone. Thus, the triangular mesh models of the three objects are extracted by applying the Poisson Surface Reconstruction algorithm [30] to the merged point clouds. The complete pipeline for model reconstruction is outlined in Fig. 2.

The contact point measurements exploited in the simulation tests are drawn by non-uniformly sampling random points on a subset of 3D model faces.

The MUPF algorithm requires setting the following parameters: the artificial process noise covariance matrix \( Q \); the measurement noise covariance \( \sigma_p \) characterizing sensor accuracy; the initial covariance matrix \( \mathbf{P}_0 \) to quantify the initial uncertainty and, hence, the extent of the search region; the parameters of the unscented transformation \( \alpha, \beta, k \); the number of particles \( N \); the length \( m \) of the measurement window for the importance weight update.

1 DOI:10.5281/zenodo.163860.
2 Recognition Tracking and Modelling of Objects, by ACIN of Technische Universität Wien, http://www.acin.tuwien.ac.at/forschung/v4r/software-tools/rtm/
3 http://www.123dapp.com/catch.
As preliminary tests, the parameters are kept constant, as shown in Table III. In particular, the chosen matrix $Q$ is such that the artificial process disturbance spreads the particles with standard deviations of $1 \text{cm}$ in position and about $5$ degrees in rotation. Conversely, the covariance $\sigma_p$ assumes that the measurements of the end-effector position are affected by an error with standard deviation of $1 \text{cm}$ in all Cartesian coordinates. Finally, the initial matrix $P_0$ indicates an initial uncertainty of $0.4 \text{m}$ in position and $360$ degrees in orientation. The initial particles $x_{0|0}^{(i)}$ for $i = 1, \ldots, N$ are drawn from the prior distribution $\mathcal{N}(x_0|P_0)$, where $x_0$ is arbitrarily chosen (a $6$D null vector in our tests). The choices of Table III have proven effective in all the considered simulations, thus indicating that the proposed algorithm works over a broad range of problems without a case-by-case parameter tuning.

It is worth pointing out how the exploitation of the UKF step in the UPF allows to considerably reduce the number of particles to $N = 700$ (with a standard particle filter it would be in the order of $N = 10^6$ for a $6$-DOF problem). Section VII-C provides a detailed analysis about the parameters influence on MUPF performance.

### B. Performance evaluation

The performance of the proposed algorithm is assessed in terms of both effectiveness and execution time, since the ultimate aim of this work is a real-time application of the algorithm.

In this respect, algorithm reliability is measured in terms of number of successes among trials, where a trial is considered failed whenever the estimated pose is substantially different from the real one.

In simulation tests, successes and failures can be discriminated by computing the distance between the estimated and the true object poses, since the knowledge of the latter is available. The situation is different in real experiments, wherein the true pose is often difficult (if not impossible) to be measured. In this case, the distinction between a successful or a failed trial is necessarily accomplished by the user by visually inspecting that the solution found by the algorithm is consistent with the real pose of the object. In the successful cases, a numerical evaluation of the localization can be done by relying merely on measurements without the need of the ground truth. This choice is by far preferable (sometimes the only viable solution) for an experimental assessment.

These considerations suggest the definition of the following performance index:

$$I_L = \frac{1}{L} \sum_{i=1}^{L} d_i,$$  \hspace{1cm} (30)

where $L$ is the total number of collected measurements and $d_i$ the distance between the $i$-th measurement and the object in the estimated pose. In other words, given the set of measurements and the estimated pose, the proposed performance index is the average of the distances between each measurement and the object in the estimated pose.

The performance index $I_L$ has been adopted to evaluate the localization quality in simulation (together with the standard localization error measured as distance of the final estimated pose from the ground truth) and experimental tests, for the reasons listed below. First, the index $I_L$ is the only viable solution for the experimental tests, wherein the real pose cannot typically be known or measured with sufficient accuracy. Secondly, the use of a common error index for both simulation and experimental tests, makes easier the comparison between the two cases. Third, if simulation tests are carried out with noiseless measurements and a sufficient number of informative measurements is collected, then the performance index $I_L$ can be related to the distance between the estimated and the true pose.

| TABLE III | PARAMETER SET FOR THE MUPF |
|-----------|-----------------------------|
| $Q$       | $\text{diag}([10^{-5}, 10^{-5}, 10^{-5}, 10^{-4}, 10^{-4}, 10^{-4}]) [\text{m}, [\text{rad}]]$ |
| $P_0$     | $\text{diag}([0.04, 0.04, 0.04, \pi^2, (\pi/2)^2, \pi^2]) [\text{m}, [\text{rad}]]$ |
| $\sigma_p$| $10^{-4} [\text{m}]$ |
| $\alpha$  | $1$ |
| $k$       | $2$ |
| $\beta$   | $30$ |
| $N$       | $700$ |

As shown in Fig. 1, Simulation setup objects. From left to right: a rectangular box (0.1 x 0.3 x 0.2 [m]), a tetrahedron (equilateral triangular basis with the side of 0.33 [m] x height of 0.2 [m]), a cleaner spray (approximately 0.23 x 0.09 x 0.06 [m]), a robot toy (0.23 x 0.09 x 0.06 [m]), and a safety helmet (nearly a half-sphere with radius 0.1 [m]).

Fig. 2. Pipeline for real object modelling. From left to right: real objects, 360 degree point clouds (obtained with a RGB-D camera and the RTM toolbox for the cleaner spray and the safety helmet, and with 40 photos from different views and the Autodesk 123d catch app for the robot toy), triangular mesh models matching the point clouds, computed by using the Poisson surface reconstruction. On the top: the cleaner spray, whose mesh model consists of 250 faces. In the middle: the safety helmet, featured by a mesh model of 250 faces. On the bottom: the robot toy, whose mesh model is made up of 750 faces.
object poses, in the sense that $I_L$ vanishes for large $L$ if and only if the two poses coincide. Finally, the index $I_t$ is easily computable on-line at each time $t$ and could therefore be monitored in order to understand when to stop localization of the current object. As a further benefit, (30) provides a synthetic (scalar) indicator of the pose error, in terms of linear displacement (measured in units of length). Thus, the index computation is not affected by the problems related to the computation of angular displacements.

Nevertheless, it is worth pointing out that when the measurements are too inaccurate, the index (30) can be non-informative and the evaluation of the algorithm performance would necessarily require the ground truth object pose. In fact, if measurements are very noisy, the computed performance index might be low even if it is associated to local minima and corresponds to a completely wrong localization (Fig 3).

C. Simulation results

Table IV provides, for each considered object, the following metrics averaged over 50 independent trials of the MUPF: standard localization error in both position and orientation, performance index $I_L$ defined in (30), execution time and reliability. Table V reports the total number of measurements $L$ and the MUPF window size $m$ used for each object.

It is worth underlining how, when an adequate choice of $m$ is adopted (Section V), the localization errors averaged over trials are small (e.g. the index $I_L$ is less than 2 [mm], see Fig. 4), the execution time is acceptable and the reliability is high. In Fig. 5(a) the behavior of the performance index $I_L$ is shown as a function of the memory $m$ ranging from 1 to $L$ (the total number of available measurements). Such plots highlight how MUPF is capable of solving the problem even with small $m$ ($1 < m \ll L$) whereas the standard UPF (i.e. MUPF with $m = 1$) does not converge at all. In addition, Fig. 5(b) demonstrates that the algorithm is reliable even with small values of $m$ (provided $m > 1$).

For the sake of comparison, a simple batch baseline, the ICP algorithm (31), and a state-of-art approach, the Scaling Series algorithm presented in [15] specifically for tactile localization, have been applied to the same simulation scenario.

In order to adapt ICP to the tactile localization problem, two point clouds are considered: one consisting of the measurements, and the other representing the object model in the right pose. To this end, suitable models have been obtained by sampling 1000 points on the object mesh models of Fig. 1. However, it was found that a standard implementation of ICP does not converge in such a scenario.

The results obtained with the Scaling Series are reported in Table VI for the same sets of measurements used in the MUPF simulation tests (Table V). For the sake of conciseness, only the values of the performance index $I_L$ are shown.

Notice that the execution time of the Scaling Series algorithm significantly changes over the trials as the algorithm generates quite different numbers of particles from trial to trial. Hence, Table VI reports both average and maximum (worst-case) execution times. Nevertheless, the Scaling Series algorithm proves to be relatively faster than MUPF. In terms of localization precision in the successful trials, the MUPF and Scaling Series algorithms exhibit comparable results. It is worth pointing out, however, that in a non negligible number of trials the Scaling Series algorithm diverged and failed to find a solution. This is somewhat surprising as MUPF has always been executed with the same parameters, whereas the parameters of the Scaling Series algorithm have been specifically tuned to each case in order to achieve better performance. In summary, MUPF proved to be more reliable.
than the Scaling Series algorithm.

VII. ALGORITHM VALIDATION WITH REAL MEASUREMENTS

An extensive evaluation of the MUPF algorithm is performed by tackling the 6-DOF tactile localization problem for real objects via actual tactile measurements. For these experiments, the employed code implementation and hardware computing platform are the same ones exploited for the simulation tests.

A. Experimental setup

Four everyday objects are considered: two toys, the cleaner spray and the robot toy. The experimental tests on the safety helmet are not shown since many local minima, corresponding to different poses and featured by the same localization error, are wrongly given as possible solutions. The reasons of this behaviour will be explained in detail in Section VII-B. The mesh models of the first two objects are reconstructed from ruler measurements (Fig. 6), since they are well-represented by geometrical solid figures. The cleaner spray and robot toy mesh models are the same ones exploited for the simulation tests. Note that in order to avoid object’s slip caused by the robot’s movements, each object was strictly fixed to a support during measurement collection.

The platform used for the collection of tactile measurements is iCub, a 53 degree-of-freedom humanoid robot of the same size as a three or four year-old child [32]. Tactile measurements are supplied by fingertips on the iCub hands, that are covered with capacitive tactile sensors capable of providing accurate contact point measurements, once contact with the object is detected [32] (Fig. 7). Due to the object complexity, tactile measurements are collected through a user-guided strategy, consisting of predefined points approximately located around the objects. This strategy was necessary since a completely blind exploration of the objects turned out to be unfeasible and often caused the robot to hit the object with part of the hand not covered with sensors. It is important to remark that, for this work, the final goal of the experimental tests is the extensive evaluation of the proposed MUPF algorithm through realistic measurements, without focusing on the design of an autonomous measurement collection strategy.

Before providing experimental results, it is worth discussing the main sources of measurement uncertainty, in order to better appreciate the performance of the proposed algorithm and to understand how to set the parameters. In this respect, one relevant source of uncertainty is given by the tactile sensors themselves. The contact point measurement, in fact, is given by the kinematics of one of the fingers and
the supplied $x$, $y$, $z$ coordinates are affected by calibration offsets. In addition to this, the kinematics provides the $x$, $y$, $z$ coordinates of the center of the fingertip. Thus, the retrieved point is always the center of the fingertip even if the tactile taxel activation - and thus the contact detection - has taken place on the extremity or on the side of the fingertip. Taking into account all these considerations, tactile measurements were empirically estimated to be affected by a noise with standard deviation of $0.015 \text{[m]}$. Such sources of error and uncertainty suggest the values shown in Table VII for the covariance $\sigma_p$, that characterizes iCub tactile sensor accuracy.

### Table VII
**Parameter set for the MUPF algorithm**

| Parameter | Value |
|-----------|-------|
| $Q$       | diag([10^{-5}, 10^{-5}, 10^{-5}, 10^{-3}, 10^{-3}, 10^{-3}]) \text{[m], [rad]} |
| $R_h$     | diag([0.04, 0.04, 0.04, \pi^2, (\pi/2)^2, \pi^2]) \text{[m], [rad]} |
| $\sigma_p$ | $4 \times 10^{-3} \text{[m]}$ |
| $\alpha$  | 1     |
| $k$       | 2     |
| $\beta$   | 30    |
| $N$       | 1200  |

### B. Experimental results

In Tables VIII and IX the average performance index, along with the execution time and the algorithm reliability are provided for fifty trials of both the MUPF and Scaling Series algorithms on the four considered objects. The results obtained with the ICP algorithm are not shown due to the lack of convergence. In addition, only the performance index $I_L$ is computed in the real experiments, where the true pose is difficult to be measured. Figs. 8(a) and 8(b) show the average performance index and the reliability on fifty trials by varying $m$, ranging from 1 (standard UPF) up to the total number of measurements, $m = L$.

### Table VIII
**Experimental results for the MUPF**

| Object   | $I_L$ [m] | Time [s] | Successes/Trials | $L$ | $m$ |
|----------|-----------|----------|------------------|-----|-----|
| Lego toy | 0.0090    | 12.8     | 46/50            | 55  | 55  |
| Cylinder | 0.0063    | 6.71     | 50/50            | 30  | 18  |
| Cleaner  | 0.0090    | 13.7     | 50/50            | 62  | 30  |
| Robot    | 0.0054    | 12.3     | 43/50            | 60  | 36  |

### Table IX
**Experimental results for the Scaling Series algorithm**

| Object   | $I_L$ [m] | Time/Max. Time [s] | Successes/Trials | $L$ | $m$ |
|----------|-----------|--------------------|------------------|-----|-----|
| Lego toy | 0.0073    | 5.03 - 29.71       | 40/50            |     |     |
| Cylinder | 0.0059    | 4.02 - 13.22       | 40/50            |     |     |
| Cleaner  | 0.0139    | 4.02 - 13.22       | 23/50            |     |     |
| Robot    | 0.0027    | 0.81 - 8.72        | 43/50            |     |     |

Fig. 8. MUPF experimental results: (a) average performance index and (b) reliability (number of successes among trials) on fifty trials by varying $m$, ranging from 1 up to the total number of measurements $L$.

The experimental tests confirm the MUPF behavior exhibited in the simulation tests, even if the experimental solutions are unavoidably affected by a slightly worse performance index, due to the high measurement noise (Fig. 9). The measurement noise is also responsible for the deterioration of algorithm reliability for the Lego and robot toys. This effect can be ascribed to the fact that the measurement noise is comparable with the dimension of the distinctive details of these two objects. In fact, the distinction between a good or a wrong solution is strongly influenced by the object details, since the only exploited information consists of tridimensional points, without taking advantage of surface normals. In such scenarios, a measurement noise of the same entity of the detail dimensions prevents the user from localizing the object even via visual inspection. As mentioned above, this is also the reason why experimental tests on the safety helmet are not shown: due to the strongly symmetric shape and the measurement noise, the measurements are not informative enough in the sense that there are many different poses compatible with the measurements (i.e. corresponding to local minima).

On the contrary, the Scaling Series performance turns out to be much worse compared to what reported in the simulation tests, particularly in terms of reliability. The failures of the Scaling Series algorithm are mainly caused by the generation of an insufficient number of particles. Often, it is not simple to set the Scaling Series parameters so that the number of generated particles is sufficient to reliably localize the objects. This shows how parameter tuning can actually be a weakness
of the Scaling Series approach.

TABLE X
Q MATRICES FOR ALGORITHM ANALYSIS

Simulated tests

| Q    |        |        |        |        |        |        |
|------|--------|--------|--------|--------|--------|--------|
| Q_1  | diag([10^{-6}, 10^{-6}, 10^{-5}, 10^{-5}, 10^{-5}, 10^{-5}]) [m], [rad] |
| Q_2  | diag(5 \cdot [10^{-6}, 10^{-6}, 10^{-6}, 10^{-5}, 10^{-5}, 10^{-5}]) [m], [rad] |
| Q_3  | diag([10^{-5}, 10^{-5}, 10^{-5}, 10^{-4}, 10^{-4}, 10^{-4}]) [m], [rad] |
| Q_4  | diag(5 \cdot [10^{-5}, 10^{-5}, 10^{-5}, 10^{-4}, 10^{-4}, 10^{-4}]) [m], [rad] |
| Q_5  | diag([10^{-4}, 10^{-4}, 10^{-4}, 10^{-3}, 10^{-3}, 10^{-3}]) [m], [rad] |

Experimental tests

| Q    |        |        |        |        |        |        |
|------|--------|--------|--------|--------|--------|--------|
| Q_1  | diag([10^{-6}, 10^{-6}, 10^{-6}, 10^{-5}, 10^{-4}, 10^{-4}]) [m], [rad] |
| Q_2  | diag(5 \cdot [10^{-6}, 10^{-6}, 10^{-6}, 10^{-5}, 10^{-5}, 10^{-5}]) [m], [rad] |
| Q_3  | diag([10^{-5}, 10^{-5}, 10^{-5}, 10^{-4}, 10^{-4}, 10^{-4}]) [m], [rad] |
| Q_4  | diag(5 \cdot [10^{-5}, 10^{-5}, 10^{-5}, 10^{-4}, 10^{-4}, 10^{-4}]) [m], [rad] |
| Q_5  | diag([10^{-4}, 10^{-4}, 10^{-4}, 10^{-3}, 10^{-3}, 10^{-3}]) [m], [rad] |

C. Further analysis

In this section, additional results are provided, with the aim of better analyzing MUPF performance.

First, the algorithm robustness has been tested by varying some algorithm parameters, such as the covariance $Q$ of the artificial process noise and the number of particles $N$. The box-plots of Figs. 10(a) and 10(b) show how the performance index and reliability are not significantly affected by varying the covariance matrix $Q$. Fifty trials of the MUPF have been carried out for five different $Q$ matrices shown in Table X (a total of 5 x 50 trials). The performance index $\mathcal{I}_L$ and reliability averaged over the 50 trials - 5 values for each object - are used in building each box. The box-plots of Fig. 10 show the performance obtained with real measurements. Due to space considerations, we do not provide plots about the influence of the number of particles $N$ on MUPF performance, since no significant changes have been found by varying $N$ from 700 to 1200.

Secondly, MUPF execution time has been studied by varying the number of particles $N$ and the MUPF window size $m$. Figs. 11(a) and 11(b) show the average execution time over fifty trials versus $m$ and, respectively, $N$ in the case of real measurements.

Finally, given the recursive nature of the algorithm, it is worth to analyze the evolution of the performance index $\mathcal{I}_L$ during the MUPF iterations in order to check if it could be used as an appropriate stopping criterion for recursive, on-line
localization. Fig. [12] shows how the index $I_t$ evolves in time, i.e. while new measurements are being processed. It turns out that, after a burn-in period, $I_t$ quickly converges to a small value. This suggests that the localization could be terminated whenever the addition of a new measurement (or a sequence of measurements) does not correspond to a significant reduction of $I_t$.

![Performance index trend at each algorithm time step](image)

**Fig. 12.** Performance index trend at each algorithm time step (with real measurements). After a burn in period, performance index decreases and converges to a final value.

**VIII. Discussion**

The proposed solution to the 6-DOF tactile localization is based on a novel recursive Bayesian estimation algorithm, the Memory Unscented Particle Filter (MUPF). In contrast to optimization techniques, Bayesian filtering turns out to be a successful approach to account for noisy sensors and inaccurate models. A further advantage of the Bayesian approach is that it can be naturally extended to consider the case in which the object moves, by introducing a suitable probabilistic model for the object motion. The multimodal nature of the problem makes particle filtering techniques more suitable for tactile localization than nonlinear Kalman filtering approaches. However, the exploitation of standard particle filtering for 6-DOF tactile localization would require a number of particles in the order of $10^8$ which, in turn, might entail an unaffordable computational load for real-time operation.

The proposed MUPF algorithm is capable of localizing tridimensional objects through tactile measurements with good overall performance and by exploiting a reduced number of particles (in the order of hundreds). The MUPF algorithm relies on the Unscented Particle Filter suitably adapted to the localization problem of interest. The Unscented Particle Filter jointly exploits the potentials of the particle filter for approximating multimodal distributions and of the unscented Kalman filter for efficiently generating the proposal distribution. It is worth to point out that, for measurement update purposes, the particle filter requires a probabilistic sensor description in terms of likelihood (i.e. probability distribution of the sensed contact point given the object pose) while it is clearly not possible to uniquely predict the sensed contact point given the estimated object pose. To circumvent this difficulty and be able to apply UPF to tactile localization, the following idea has been pursued: for given object pose and measured contact point, define the likelihood in terms of distance between the object and the measured contact point and take the predicted contact point as the point on the boundary of the object at minimum distance from the measured contact point. As a further contribution of this paper, the standard UPF algorithm has been modified by the inclusion of a suitable sliding memory (hence the name MUPF) of past measurements in the update of the particle importance weights. In this respect, it was found that the memory feature is crucial for a careful exploitation of the available contact point measurements with consequent improvement of localization accuracy.

Furthermore, it is worth underlining how the proposed algorithm succeeds in solving the problem by using only tridimensional contact point measurements, without requiring the knowledge of surface normals.

Performance evaluation, carried out via simulation tests on two geometric objects and three everyday objects by using simulated measurements and tridimensional mesh models reconstructed by vision, demonstrates that the algorithm is reliable and has good performance with an average localization error less than 0.002 [m] and a computing time of a few seconds. Moreover, the algorithm manages to localize real objects with actual tactile measurements collected with the humanoid robot iCub. The results of experimental tests on four real objects confirm the results of the simulation tests, providing localization errors less than 0.01[m] with a computing time less than 8 [s].

The same simulation and experimental tests have been carried out also with a reference algorithm in the literature, called Scaling Series. The obtained results show how the MUPF is competitive with the state of art for 6-DOF tactile localization, and also exhibits several advantages with respect to the Scaling Series algorithm.

The contributions of this paper suggest several possible perspectives for future work on 6-DOF object tactile localization. First of all, dealing with the localization of objects in presence of slippage is fundamental in real applications. When filtering techniques (e.g. variants of particle filtering) are employed in place of optimization methods, the extension to this case can be achieved by further considering a suitable model for the object motion. Moreover, the nearly recursive nature and the promising computing time of the proposed algorithm would allow reducing localization uncertainty online during measurement collection. In addition, tactile sensors should be assisted by a stereo vision system, both during the exploration task and for the solution of the localization problem. In this respect, the measurement model exploited by the algorithms does not necessarily require tactile measurements: it is sufficient to have tridimensional points in the space. Thus, a possible solution for a high resolution algorithm for 6-DOF object localization is to feed the algorithm with measurements coming both from tactile sensors and, for example, a stereo
vision system, since cameras are often available on humanoid robots. Finally, a natural extension of the localization problem is the object recognition task. A robot able to localize an object using tactile sensors can also recognize it among a finite set of possible objects, using the same information. For example, given an effective localization algorithm, the robot could run it with different known object models and select the one that best matches the observations. In [15], an application of the MUPF algorithm to tactile object recognition is proposed and successfully tested on a challenging set of objects.

IX. CONCLUSIONS

In this paper, the 6-DOF tactile localization problem has been efficiently solved by means of a novel recursive Bayesian estimation algorithm, the Memory Unscented Particle Filter (MUPF). The algorithm is able to estimate in real-time the pose of a tridimensional object by only exploiting contact point measurements. Performance evaluation carried out both via simulation and experimental tests on differently shaped objects has demonstrated the effectiveness of the approach, also in comparison to the state of the art.

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