Efficient near-infrared quantum cutting by cooperative energy transfer in Bi$_3$TeBO$_9$:Nd$^{3+}$ phosphors

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ABSTRACT

An efficient near-infrared quantum cutting process by cooperative down-conversion of active Bi$^{3+}$ and Nd$^{3+}$ ions was demonstrated in Bi$_3$TeBO$_9$:Nd$^{3+}$ phosphors. In particular, the near-infrared emission of Nd$^{3+}$ ions enhanced by Bi$^{3+}$ ions of a series of novel Bi$_3$TeBO$_9$:Nd$^{3+}$ microcrystalline powders doped with Nd$^{3+}$ ions in various concentrations was investigated. In order to investigate the luminescent properties of BTBO:Nd$^{3+}$ powders, the excitation and emission spectra and the fluorescence decay time were measured and analyzed. In particular, the emission of Bi$_3$TeBO$_9$:Nd$^{3+}$ at 890 and 1064 nm was excited at 327 nm (via energy transfer from Bi$^{3+}$ ions) and at 586.4 nm (directly by Nd$^{3+}$ ions). The highest intensity emission bands in near-infrared were detected in the spectra of Bi$_3$TeBO$_9$:Nd$^{3+}$ doped with 5.0 and 0.5 at.% of Nd$^{3+}$ ions upon excitation in ultraviolet and visible spectral range, respectively. The fluorescence decay lifetime monitored at 1064 nm for Bi$_3$TeBO$_9$:Nd$^{3+}$ powders shows the single- or double-exponential character depending on the concentrations of Nd$^{3+}$ ions. The possible mechanisms of energy relaxation after excitation Bi$_3$TeBO$_9$:Nd$^{3+}$ powders in ultraviolet or visible spectral range were discussed. The investigated Bi$_3$TeBO$_9$:Nd$^{3+}$ phosphors efficiently concentrate the ultraviolet/visible radiation in the near-infrared spectral range and can be potentially used as effective spectral converters.
Introduction

The efficiency of spectral converters based on rare earth (RE) ions doped crystalline materials is related to the characteristic energy level structures of RE ions, which allows up- and/or down-conversion processes by resonant energy transfer between lanthanide ions [1]. Besides many other applications of such materials, they can be used in the solar cells technology to achieve high efficiency in conversion of solar energy into electric current, by the concentration of solar radiation in the appropriate spectral range, leading thus to enhancement of the photovoltaic effect. Solar energy near the Earth’s surface is distributed in a wide spectral range from 300 to 2500 nm and consists of ultraviolet (UV) in 7%, visible (VIS) in 47% and infrared (IR) in 46% radiation [2]. Conventional single-junction semiconductor solar cells effectively convert only the photons of energies close to the semiconductor band gap ($E_g$) as a result of the mismatch between the incident solar spectrum range and the spectral absorption range of the semiconductor material [3]. The photons with energies smaller than $E_g$ are not absorbed and their energy is not used for electrons generation, while the photons with energies higher than $E_g$ are absorbed, but the excess energy is lost due to thermalization of the generated electrons [4]. These spectral losses in a single-junction crystalline silicon (c-Si) solar cells limit the efficiency of solar energy conversion into electricity. One of the solutions to overcome this limitation is to use the RE doped materials as spectral converters in c-Si solar cells technology, which concentrate the solar radiation into the required NIR spectral range in the process of down-conversion (known as quantum cutting process) [1]. In other words, the high-energy region of the solar spectrum cannot be utilized by conventional c-Si solar cells as silicon has a band gap $E_g = 1.12$ eV, corresponding to the absorption in the NIR spectral range $\approx 1100$ nm [5]. The proposed solution is to convert the high-energy part of the solar spectrum into the low energy NIR region, from which the solar energy can be efficiently absorbed by the c-Si solar cells.

In this study, we used a complex borate $\text{Bi}_3\text{TeBO}_9$ (abbreviated as BTBO) micro-crystals doped with Nd$^{3+}$ ions. Oxide crystals are considered important for photonic applications, thanks to the excellent transparency window from UV to NIR as well as high RE$^{3+}$ doping ability [6–8]. The synthesis, crystal structure, electronic structure, some optical and spectroscopic properties of undoped BTBO were already reported [9–12]. Recently, the first complex study of vibrational properties based on experimental results of IR and Raman spectroscopy supported by the ab initio calculations for undoped BTBO micro-crystalline powders were reported by us [13].
Among the RE$^{3+}$ ions, Nd$^{3+}$ ones are particularly attractive as spectral converters because of their characteristic electronic energy level structure, which allows efficient down-conversion of the electromagnetic spectrum from UV/VIS to NIR [14]. In this study the specific Nd$^{3+}$ emissions of the $^4F_{3/2} \rightarrow ^4I_{15/2}$ and $^4F_{3/2} \rightarrow ^4I_{11/2}$ transitions under excitation in UV and VIS spectral range were investigated in BTBO: Nd$^{3+}$ system. Moreover, there are many reports available on the use of Bi$^{3+}$ ions (present in BTBO matrix) as sensitzers for RE$^{3+}$ ions [15, 16].

The ground state of Bi$^{3+}$ ion with 6s$^2$ electronic configuration is expressed as $^1S_0$. The 6s6p excited states consist of $^1P_1$, $^3P_2$, $^3P_1$ and $^3P_0$ states. The transition from $^1P_1$ to the ground state generally occurs in the UV region, whereas the location of the emission band originating from $^3P_1$ and $^3P_0$ can be observed in the range of shorter wavelengths (in UV or VIS range) depending on the host lattice. Although the transition from the ground state to the first excited level ($^1S_0 \rightarrow ^3P_0$) of Bi$^{3+}$ is strongly forbidden, the transitions from the $^1S_0$ ground state to the $^3P_2$, $^3P_1$ and $^3P_0$ states are observed in optical excitation spectra.

Depending on the host material, the structure of the energy levels of Bi$^{3+}$ ions may change and a lowering of the excited states energy is typically observed in comparison with the structure of electronic levels of isolated Bi$^{3+}$ ions [17–19]. Particularly, for oxide-based lattices, the structure of electronic levels of Bi$^{3+}$ ions strongly depends on the configuration of the nearest oxygen surrounding of Bi$^{3+}$ ions [17]. In the BTBO structure, Bi$^{3+}$ ions occur in octahedral coordination BiO$_6$ being six-coordinated by the oxygen atoms forming BiO$_6$ octahedra [13]. Due to the close location of some excited states of Bi$^{3+}$ and Nd$^{3+}$ ions in the considered BTBO: Nd$^{3+}$ system, the orbitals for energy transfer from the Bi$^{3+}$ to the RE$^{3+}$ levels overlap and an efficient NIR quantum-cutting process (converting photons of high energy into photons of lower energy) is possible [15]. Thus, the synergy effect of cooperative down-conversion of Bi$^{3+}$ and Nd$^{3+}$ ions from UV/VIS to NIR spectral range results in efficient NIR emission in BTBO: Nd$^{3+}$ system.

Efficiency of the spectral conversion of the proposed BTBO: Nd$^{3+}$ system strongly depends on the concentration of RE$^{3+}$ active ions. Usually, increase in the RE$^{3+}$ ions concentration should improve the luminescence. However, too short distances between the active RE$^{3+}$ ions in the host matrix may increase the probability of energy transfer between them, which results in luminescence suppression. This phenomenon, known as Luminescence Concentration Quenching (LCQ), was widely observed in lanthanide-based luminescent materials [20, 21], but it can be avoided by using limited RE$^{3+}$ concentration [22, 23]. To obtain the optimal concentration of active Nd$^{3+}$ ions, we investigated BTBO microcrystalline powders doped with different concentrations of Nd$^{3+}$ ions (0.5, 1.0, 2.5, 5.0, 7.5 and 10.0 at. %). On the other hand, another condition required for efficient RE$^{3+}$ luminescence emission of the doped phosphors is a long emission lifetime, which significantly depends on the lack of radiationless transitions. The phosphors radiationless transition occurs due to the multiphonon relaxation from the excited states of active ions to the matrix, or to the above-mentioned energy transfer process between the excited and unexcited states of RE$^{3+}$ active ions. These processes may also quench the emission of RE$^{3+}$ active ions and are undesirable for achieving efficient emissions of RE$^{3+}$ ions in the host matrix. The most desirable are the matrices with lower phonon energy as well as optimal separation between the active dopant ions.

Raman investigation presented in this paper shows that BTBO has a low-energy phonon matrix.

The mentioned above new BTBO: Nd$^{3+}$ powders were synthesized by means of the modified Pechini method. In this paper we present for the first time the synthesis as well as structure, morphology, $\mu$-Raman and luminescence properties of BTBO: Nd$^{3+}$ powders. In particular, the excitation spectra monitored at 1064 nm, emission in NIR under excitation at 327 and 586.4 nm as well as decay lifetimes monitored at 1064 nm for BTBO: Nd$^{3+}$ powders are presented. Moreover, the energy transfer mechanism between Bi$^{3+}$ and Nd$^{3+}$ ions, which leads to NIR emission of BTBO: Nd$^{3+}$, was discussed. Additionally, using the Inokuti-Hirayama model, the kinetics of the luminescence was analyzed and some parameters related with the energy transfer processes (from excited to neighboring unexcited Nd$^{3+}$ ions) were determined for BTBO: Nd$^{3+}$ powder (0.5 at.%).

**Synthesis procedure**

BTBO powders were synthesized by means of the modified Pechini method [24]. The following precursor materials were used for the synthesis without additional purification: commercial bismuth oxide...
(Bi$_2$O$_3$, SIAL, 99.9%), tellurium dioxide (TeO$_2$, MERCK, 99.99%), neodymium oxide (Nd$_2$O$_3$, ALFA 99.999%), boric acid (H$_3$BO$_3$, STENMARK, 99.5%), citric acid (C$_6$H$_8$O$_7$, CHEMDREAMLAND, 99.5%), mannitol (C$_{6}$H$_{14}$O$_{6}$, CHEMDREAMLAND, 99.5%), deionized water (MILIPORE, resistivity $\rho = 15$ MΩ-cm) and nitric acid (HNO$_3$, 65% wt., POCH, pure p.a.). In order to prepare the initial solutions, the proper quantities of the oxides: Bi$_2$O$_3$, (along with proper amount of Nd$_2$O$_3$ dopant) and TeO$_2$ were dissolved in a minimal volume of HNO$_3$ in the presence of citric acid (complexing agent) upon stirring and heating at 80 °C. Tellurium source must be provided in proper excess (here 12% mol.) because of its considerable volatility during pyrolysis in the Pechini method (not observed while heating the individual raw substrate). Citric acid was chosen as a complexing agent because citrate ligands can form rather stable complexes of both Bi$^{3+}$ and Te$^{4+}$ in strongly acidic solutions as well as of Nd$^{3+}$ ions [25]. The molar ratio between citric acid and metal cations was set to be 3:1. Under these conditions, the complete dissolution of the metal oxides was secured. When transparent and homogeneous solutions containing metal complexes were obtained, a proper amount of H$_3$BO$_3$ (with required 10.0% mol. boron excess metal complexes were obtained, a proper amount of transparent and homogeneous solutions containing dissolution of the metal oxides was secured. When set to be 3:1. Under these conditions, the complete dissolution of B$_2$O$_3$ at elevated temperatures) was charged applied to prevent non-stoichiometry due to the presence of adsorbed water and a possible evaporation of H$_2$O at elevated temperatures) was charged into the solution and the stirring was kept on. The overall molar ratio of the used Bi$_2$O$_3$, TeO$_2$ and H$_3$BO$_3$ substrates was 3:2:24:2:2, respectively (counted for undoped BTBO). After secondary homogenization, mannitol (polymeric agent) was added into the solution. In all the syntheses carried out, the molar ratio of citric acid to mannitol was constant and set to 3:1. After dissolving all the reagents, the solution mixing was continued for about 1 h at 90 °C in order to initialize the polyesterification. Each prepared sol was then transferred into an alumina crucible (INACERAMICS S.A.) and put inside a furnace equipped with an EUROTherm 906S temperature controller. The content was kept for about 24 h at 100 °C in order to evaporate the solvents (H$_2$O-HNO$_3$ azeotrope) and to complete the polyesterification. A yellowish, foamy-like viscous gel was obtained. The temperature was raised up to 350 °C for 3 h in order to remove the water residuals and dry the gel until it turned into black, brittle resin. To start the pyrolysis process and to get rid of the organic part, the temperature was gradually raised up to 500 °C and kept for 24 h. After that, the temperature was increased to 650 °C and the process was still carried out for 48 h. In between these stages and after their completion, the powder materials were thoroughly ground in an agate mortar. Such a long duration of the synthesis (including 72 h of pyrolysis) is required to ensure complete oxidation from Te$^{4+}$ to Te$^{6+}$. From the analytical point of view, the chemical reactions that take place between the initial reagents during the synthesis at a proper temperature and within a sufficient process time according to the Pechini method (for undoped BTBO) can be written by the following equations:

$$Bi_2O_3(s) + TeO_2(s) \xrightarrow{T_1} Bi_2TeO_5(s)$$  \hspace{1cm} (1)

$$Bi_2TeO_5(s) + TeO_2(s) + \frac{1}{2} O_2(g) \xrightarrow{T_1} Bi_2Te_2O_8(s)$$  \hspace{1cm} (2)

$$Bi_2Te_2O_8(s) + 2Bi_2O_3(s) + 2H_3BO_3(s)$$
$$+ \frac{1}{2} O_2(g) \xrightarrow{T_1} 2Bi_3TeBO_9(s) + 3H_2O(g) \uparrow$$  \hspace{1cm} (3)

$$3Bi_2O_3(s) + 2TeO_2(s) + O_2(g)$$
$$+ 2H_3BO_3(s) \xrightarrow{T_1} 2Bi_3TeBO_9(s) + 3H_2O(g) \uparrow$$  \hspace{1cm} (4)

The sequence given above is the most probable, while BTBO is the only documented existing phase that consists of bismuth, tellurium and boron at the same time. Tellurium ions can be oxidized from +4 to +6 state (through the intermediate Bi$_2$Te$_2$O$_8$ phase), containing a tellurium pair at both +4 and +6 states), thanks to the presence of oxygen/air atmosphere at high temperature. It explains such a long duration of this process. Even if reaction (1) can occur at T$_1$ (below 500 °C), reactions (2) and (3) can run simultaneously, but only at a suitably high temperature T$_2$. Reaction (4) describes the summary reaction taking place in this process. One can see that the final product (BTBO) is formed as a result of redox reaction. In our study, T$_1$ was equal to 500 °C and T$_2$ was set to 650 °C. After the reaction completion, the crucible was taken out of the furnace and rapidly cooled to room temperature. Its contents were crushed and ground in an agate mortar and very fine, almost colorless BTBO:Nd$^{3+}$ powders were obtained. Synthesis procedure provided above makes it possible to determine and calculate the precise amounts of Nd$_2$O$_3$ and Bi$_2$O$_3$ one should use in the synthesis of each of the BTBO:Nd$^{3+}$ systems (for 0.5,
1.0, 2.5, 5.0, 7.5 and 10.0 at.% of Nd$^{3+}$). According to the literature data given for other borates, i.e. Bi$_2$ZnOB$_2$O$_6$ [8] or δ-Bi$_3$O$_6$ [26], we assumed that it is possible to substitute effectively the Bi$^{3+}$ with Nd$^{3+}$ ions, what was later confirmed by XRD measurements. In this work, the Nd dopant concentration is expressed in at.% of Nd$^{3+}$ ions substituting Bi$^{3+}$ ions, which is determined as Nd and Bi atoms content, relative to three Bi atoms in the structure unit of Bi$_3$TeBO$_9$ (Table 1).

On the basis of synthesis reaction stoichiometry (Reaction (4)) and mutual molar proportions, it can be calculated that 0.69894 g of Bi$_2$O$_3$ should be used for the synthesis of 1 mmol of undoped BTBO, while for the synthesis of the same amount of doped BTBO:Nd$^{3+}$ with Nd$^{3+}$ concentration equal to 5.0% at, it requires 0.66399 g of Bi$_2$O$_3$ and 0.02524 g of Nd$_2$O$_3$, respectively. These calculations show that the molar ratio of Nd$^{3+}$ to Bi$^{3+}$ ions in target BTBO:Nd$^{3+}$ systems should be exactly the same as the molar ratio of used oxides: Nd$_2$O$_3$ and Bi$_2$O$_3$ in the initial mixture of needed reagents. Based on the above mentioned (and the information concerning the necessary excesses of Te and B), it is possible to determine the absolute masses of all reagents needed for the process.

**Characterization**

**Experimental methods**

The diffraction patterns of the synthesized BTBO:Nd$^{3+}$ powders were collected using the BRUKER D8 Discover diffractometer equipped with the CuK$_\alpha$ radiator ($\lambda_{K\alpha 1}=1.540598$ Å, $\lambda_{K\alpha 2}=1.544426$ Å, Siemens KFL CU 2 K, 40 kV voltage and 40 mA current in operating mode) and Göbel FGM2 mirror. The Bragg–Brentano diffraction geometry was applied. The diffraction angle 2$h_B$ ranged from 18 to 70° with a step of 0.01° and acquisition time of 2 s per step. All the measurements were carried out at 298 K in the temperature-stabilized Anton Paar HTK 1200 N chamber. For data processing, the DIFFRAC.SUITE EVA application was used, allowing the $\lambda_{K\alpha 2}$ signal component deconvolution and removal, background subtraction and data smoothing (using the fast Fourier transform). The phase analysis was made with the support of the Crystallography Open Database (COD). For crystallographic calculations, the FullPROF ver. 3.0 program was used. This application allowed the Rietveld refinement and calculation of the precise unit cell parameters according to the diffraction profile fitting.

The microscopic analysis of BTBO:Nd$^{3+}$ powders was performed with the scanning electron microscope (SEM/FEI Quanta 250FEG). The samples were analyzed in the low vacuum mode at the pressure 70 Pa. The images were recorded in secondary electron mode at an accelerating voltage of 5 kV. Energy dispersive X-ray (EDX) analysis was performed with the Edax Octane SDD system at an accelerating voltage of 30 kV.

The Raman investigations were performed in the back-scattering geometry using the Renishaw InVia Raman microscope equipped with the confocal the DM 2500 Leica optical microscope and the CCD detector. The unpolarized Raman spectra were excited with the Ar laser emitting light of 488 nm wavelength and recorded in single scan with 30 s exposure time and 1 mW of the applied power. The position of the Raman peaks was calibrated before data collection using the Si reference sample as an internal standard with the peak position at 520.3 cm$^{-1}$. The diffraction-limited optical spatial resolution depended on the excitation wavelength and for the used 50 X LWD objective it was approximately equal to 1.5 μm. The instrumental resolution of the spectrometer was better than 2 cm$^{-1}$. Spectral analysis was performed with the software packages WiRE3.1/Renishaw.

The reflectance spectra were measured with the Varian Model 5E UV–VIS–NIR spectrophotometer equipped with two excitation sources: the tungsten halide lamp in NIR/VIS and the deuterium arc UV range. As detectors were used the Hamamatsu R928 PMT in UV/VIS and Peltier-cooled PbS photocell in NIR range. All spectra were corrected for sources,

| Table 1 | The Nd and Bi content of the series of investigated BTBO:Nd$^{3+}$ samples |
|----------|-------------------------------------------------|
| BTBO:Nd$^{3+}$ | 0.5 at.% | 1.0 at.% | 2.5 at.% | 5.0 at.% | 7.5 at.% | 10.0 at.% |
| Nd | 0.015 | 0.030 | 0.075 | 0.150 | 0.225 | 0.300 |
| Bi | 2.985 | 2.970 | 2.925 | 2.850 | 2.775 | 2.700 |
detectors and gratings characteristics. The excitation and emission spectra as well as decay kinetics and quantum yield measurements of BTBO:Nd$^{3+}$ crystalline powders were recorded using the FLS980 Fluorescence Spectrometer (Edinburgh Instruments) equipped with the holographic grating of 1800 lines/mm, blazed at 300 mm focal length monochromators in Czerny Turner configuration. The excitation and emission spectra were obtained using a 450 W Xenon lamp. For decay times measurements, the 450 W excitation source was replaced with the 150 W lamp ($\mu$F2) with external triggering. The emission spectra and decay times in the visible range were recorded on the Hamamatsu C5680 streak camera with time resolution below 50 ps and effective spectral range from 200 to 1000 nm. An excitation source was the Coherent Libra-S-laser (femtosecond laser, wavelength 800 nm, pulse width of less than 100 fs and energy of 1 mJ at 1 kHz repetition rate) paired with the Coherent OPerA Solo Optical Parametric Oscillator (two-stage amplifier of white continuum) that allows generation of pulses in the range of wavelengths from 230 to 2800 nm.

Results and discussion

Crystal structure

BTBO crystallizes in hexagonal structure of $P\bar{6}_3$ space group with two formula units in the unit cell. The unit-cell parameters of BTBO crystal are: $a = 8.7510(12)$ Å, $c = 5.8981(12)$ Å and $V = 391.16$ Å$^3$ [9]. The crystal structure of BTBO is formed by two covalently bonded ionic complexes, octahedral TeO$_6^{6-}$ and trigonal-planar BO$_3^{3-}$ groups. In particular, B atoms are surrounded by three O atoms to form the trigonal-planar BO$_3$ groups with uniform B – O bond lengths, while Te$^{6+}$ cations are coordinated by six O atoms with different Te – O bond lengths. (The TeO$_6$ octahedra are slightly deformed from the regular octahedral configuration.) Owing to the size similarity of the ionic radii of the Nd$^{3+}$ and Bi$^{3+}$ ions, in the doped BTBO microcrystals, Bi$^{3+}$ ions can be efficiently substituted by Nd$^{3+}$ ions. In the crystal structure of BTBO, the BO$_3$ layers are alternate with the cationic layers made of TeO$_6$ octahedra and Bi$^{3+}$ ions along the z-axis. The BO$_3$ triangles are placed in staggered arrangement along the z direction. Moreover, the BO$_3$ and TeO$_6$ groups are arranged similarly along the x and y axis, creating the alternate rows of BO$_3$ and TeO$_6$ groups (Fig. 1) [10, 13]. The structure of BTBO crystal presented in Fig. 1 was prepared based on the structure data involved in the Ref. [9]. The reference system (xyz) was defined by the following convention: x is parallel to a, y is perpendicular to a and z is parallel to c and perpendicular to the xy plane. In the used notation, a and c denote the crystallographic axes of the hexagonal system.

In particular, Fig. 1a presents the perspective view of BTBO structure along the c axis with the following coordination of B, Te and Bi atoms: (0, 0, 0.425(3)), (2/3, 1/3, 0.0813(2)) and (0.29827(3), 0.34622(3), 0.10689(12)), respectively [9]. In Fig. 1b, the structure projection according to the xyz orientation with some planar BO$_3$ groups and TeO$_6$ octahedra is presented. Moreover, we have already presented the detailed
description of BTBO structure in our previous paper [13].

The results of diffraction measurements of BTBO:Nd$^{3+}$ powders are presented in Fig. 2. All presented diffraction patterns of the synthesized BTBO:Nd$^{3+}$ are in good agreement with the reference COD card (No. 96-412-5286), what means that all of them represent hexagonal class with $P6_3$ (173) space group ($Z = 2$). No diffraction lines originating from impurities, side products or unreacted reagents are observed, what indicates that BTBO:Nd$^{3+}$ is the only reaction product. The reference Crystallographic Information File (CIF) of BTBO phase used for Rietveld refinement was received from the supplementary data given in Ref. [9]. The results for BTBO:Nd$^{3+}$ compounds show that the original symmetry group of the crystal remains undisturbed when the Nd$^{3+}$ ions are incorporated into the BTBO matrix.

The unit cell parameters of each synthesized sample are presented in Table 1. The values of effective ionic radius of Bi$^{3+}$ and Nd$^{3+}$ are equal to 1.03 and 0.983 Å, respectively [28]. Smaller Nd$^{3+}$ ions radius can suggest that isovalent substitution of Bi$^{3+}$ ions with Nd$^{3+}$ should lead to progressive decrease in the BTBO:Nd$^{3+}$ unit cell volume.

The results in Table 2 reveal irregular changes in the BTBO:Nd$^{3+}$ unit cell parameters and its volume vs. the content of Nd$^{3+}$ ions. In particular, the lattice constant $a$ increases with increasing Nd$^{3+}$ content up to 5.0 at.% Nd$^{3+}$ ions in BTBO:Nd$^{3+}$ and then decreases, while $c$ shows a distinguished decrease with increasing Nd$^{3+}$ content. It is also seen that the only factor that increases progressively with Nd$^{3+}$ content is the $a/c$ ratio, and at around 10 at.% Nd$^{3+}$ it stabilizes, as shown in Table 2. It may suggest that the geometry of BTBO:Nd$^{3+}$ unit cell is slightly changing (with unchanged symmetry class) and can affect its non-centrosymmetry. Different character of the courses of changes in the lattice constants $a$ and $c$ can be explained by the anisotropic behavior of these structure parameters and various distribution of Nd$^{3+}$ dopant in the BTBO:Nd$^{3+}$ microcrystals on the surface and the volume of the grains, depending on the Nd$^{3+}$ concentration. The threshold Nd$^{3+}$ concentration in BTBO:Nd$^{3+}$ from which this volume compression starts is ca. 2.5 at. % Nd$^{3+}$ ions. In particular, due to microscale of investigated polycrystalline materials, the distribution of Nd$^{3+}$ dopant may vary within a single grain and a significant increase in their effective surface area takes place. As a result, the Nd$^{3+}$ dopant may more preferably concentrate closer to the surface of the BTBO:Nd$^{3+}$ microcrystals and then it is more bound to its surface than in its volume. Especially, when the concentration of Nd$^{3+}$ ions is low, it may more readily build up

Table 2  Calculated unit cell parameters of BTBO:Nd$^{3+}$ powders

| Compound | Unit cell parameters [Å] | V/Å$^3$ |
|----------|--------------------------|---------|
|          | $A$                       | $c$     | $a/c$ |
| BTBO [9] | 8.7510(12)               | 5.8981(12) | 1.4837 | 391.16 |
| BTBO [13]| 8.7505(2)                | 5.8871(2) | 1.4864 | 390.39 |
| BTBO:Nd$^{3+}$ (0.5 at.%) | 8.7483(2) | 5.8879(2) | 1.4858 | 390.25 |
| BTBO:Nd$^{3+}$ (1.0 at.%) | 8.7520(1) | 5.8877(1) | 1.4865 | 390.56 |
| BTBO:Nd$^{3+}$ (2.5 at.%) | 8.7570(3) | 5.8855(4) | 1.4879 | 390.86 |
| BTBO:Nd$^{3+}$ (5.0 at.%) | 8.7592(1) | 5.8716(2) | 1.4918 | 390.12 |
| BTBO:Nd$^{3+}$ (7.5 at.%) | 8.7544(2) | 5.8639(2) | 1.4929 | 389.20 |
| BTBO:Nd$^{3+}$ (10.0 at.%) | 8.7523(3) | 5.8618(3) | 1.4931 | 388.87 |
in the near-surface regions. Then, despite maintaining the parental BTBO (hexagonal) structure, the local strains in the crystal lattice may occur, which will result in an increase in the lattice constants and volume of the BTBO:Nd3+ unit cell. On the other hand, as the concentration of the Nd3+ dopant increases, the possibility of filling the near-surface regions with it begins to decrease, which in turn necessitates the need for further incorporation of the dopant into the BTBO:Nd3+ microcrystals volume. Then, the changes in geometry of the BTBO: Nd3+ cell are closer to those predicted theoretically, showing progressive compression of BTBO. Moreover, for BTBO samples with higher Nd3+ concentrations (5.0, 7.5 and 10.0 at.%), we observed decreasing unit cell volume as a result of the smaller Nd3+ ionic radius (substituting ions) in comparison with the substituted Bi3+ ions. As a result, Nd3+-Nd3+ distances are shortened with increasing Nd3+ concentration in BTBO matrix. This effect can consequently lead to LCQ and different character of luminescence decay time of excited Nd3+ ions for samples doped with 5.0, 7.5 and 10.0 at.% of Nd3+ ions.

**SEM and EDX analysis**

Microscopic observation of the BTBO:Nd3+ samples revealed their morphology. All the materials discussed had different irregular microstructures (Fig. 3).

The sample BTBO:Nd3+(0.5 at.%) (Fig. 3a) has both large grains from 7 to 15 microns in size and smaller grains between 3 and 5 microns in size. The large grains are often agglomerations of much smaller ones, of up to a micrometer in size. However, large portions of the large grains are monolithic. The sample BTBO:Nd3+(1.0 at.%) (Fig. 3b) has a very similar microstructure to that previously described. The only significant difference is that the sizes of the largest agglomerates reach 30 microns. The sample BTBO:Nd3+(2.5 at.%) (Fig. 3c) has a similar microstructure. Compared to previous ones, there are no such large agglomerates made of small grains, and the largest observed grains are monolithic. Their diameters are between 7 and 14 microns. The sizes of small, non-aggregating grains are mostly between 0.5 and 2.5 microns. From among all analyzed samples, BTBO:Nd3+(5.0 at.%) (Fig. 3d) has the morphology most closely resembling large crystals with clearly visible faces. The sizes of their edges are from 2 to 8 µm. For BTBO:Nd3+(7.5 at.%) (Fig. 3e), we observe the largest grains with the size of tens of micrometers with a small amount of grains with the size of 1–2 µm. Increase in the Nd3+ content to 10.0 at% (Fig. 3f) resulted in a reduction of the grains size to 1–4 µm and a change in their edges that became strongly curved. However, their crystalline nature can be observed, but is less visible than for the sample in Fig. 3d. Moreover, in the SEM images Fig. 3a-f of all samples, the nano-sized crystals can be also observed.

The EDX analysis of investigated samples allows to determine the elements content for BTBO:Nd3+ systems. In Fig. 4, the EDX spectrum for the selected BTBO:Nd3+(10.0 at.%) sample is presented.

Selected scanned areas of the sizes $28 \times 36 \text{ µm}^2$ of all investigated samples were subjected to the EDX analysis. It should be noted that due to inhomogeneous morphology of the investigated samples, the EDX analysis of Nd atoms used at a low content, provides very local information. Moreover, the EDX...
measurement of elements present at very low concentrations is charged with a relatively large uncertainty. The weight and atomic content of particular elements (relative to the structure unit $\text{Bi}_3\text{TeBO}_9$) in a selected exemplary BTBO:Nd$^{3+}$ (10.0 at.%) sample are given in Table 3.

The theoretically calculated content of Nd and Bi atoms, relative to all atoms in the structure unit of $\text{Bi}_3\text{TeBO}_9$ (three Bi atoms, one Te atom, one B atom and nine O atoms) and the experimentally measured content of Nd atoms (on the basis of EDX data) for the series of investigated samples are given in Table 4.

As seen from Table 4, the Nd content in the studied samples increases with increasing concentration from 0.5 to 10 at.%, both for theoretical as well as experimental values, as expected. The same Nd content observed for experimental value for 7.5 and 10.0 at.% may be results on the measured data mostly from the surface than from volume of microcrystals. As already mentioned, the volume in comparison with surface location of Nd$^{3+}$ ions are more preferred in higher Nd$^{3+}$ doped samples.

### Table 3

| BTBO:Nd$^{3+}$(10.0 at.%) | Element | Weight % | Atomic % | Error % |
|---------------------------|---------|----------|-----------|---------|
|                           | B       | 8.26     | 37.85     | 4.63    |
|                           | O       | 13.42    | 41.55     | 9.31    |
|                           | Te      | 11.26    | 4.37      | 5.32    |
|                           | Nd      | 3.08     | 1.06      | 6.89    |
|                           | Bi      | 63.98    | 15.17     | 2.61    |

### Table 4

| Compound                  | Content of Nd atoms in the structure unit |
|---------------------------|------------------------------------------|
|                           | Theoretical value | Experimental value |
| BTBO:Nd$^{3+}$ (0.5 at.%) | 0.107 | 0.03 ± 0.01 |
| BTBO:Nd$^{3+}$ (1.0 at.%) | 0.214 | 0.12 ± 0.01 |
| BTBO:Nd$^{3+}$ (2.5 at.%) | 0.536 | 0.23 ± 0.02 |
| BTBO:Nd$^{3+}$ (5.0 at.%) | 1.071 | 0.52 ± 0.05 |
| BTBO:Nd$^{3+}$ (7.5 at.%) | 1.607 | 1.06 ± 0.08 |
| BTBO:Nd$^{3+}$ (10.0 at.%)| 2.143 | 1.06 ± 0.07 |

### μ-Raman investigation

BTBO crystalizes in hexagonal structure with $P6_3$ space group. The primitive unit cell of BTBO crystal comprises two formula units and contains 28 atoms engaged in 84 fundamental vibrations. The zone-center optic modes predicted from the group theory analysis for BTBO crystals can be classified according to the following formula: $14\text{A} + 14\text{B} + 14\text{E}_1 + 14\text{E}_2$. The phonon modes of $\text{A}$, $\text{E}_1$ and $\text{E}_2$ types are Raman active, whereas the modes of $\text{A}$ and $\text{E}_1$ species are IR
active. The modes of B symmetry are inactive both in the Raman and IR spectra [13, 29, 30]. The BTBO structure is formed by octahedral TeO$_6$ and trigonal-planar BO$_3$ molecular groups, which have their own characteristic internal vibrations. In the ideal, isolated octahedra TeO$_6$ unit with point group symmetry $O_{h}$, the internal vibrations can be classified into six fundamental modes: three Raman-active—the symmetric stretching vibrations $v_1$ ($\sim 700$ cm$^{-1}$), doubly degenerate asymmetric bending vibrations $v_2$ (500–650 cm$^{-1}$) and triply degenerate symmetric bending $v_5$ modes (below 400 cm$^{-1}$); two IR-active modes—the triply degenerate asymmetric bending vibrations $v_3$ (500–650 cm$^{-1}$), triply degenerate asymmetric bending vibrations $v_4$ (400–500 cm$^{-1}$) and one $v_6$ internal mode inactive both in the Raman and IR spectra [31]. The triangular planar BO$_3$ groups with $D_{3h}$ symmetry have their four characteristic internal vibrations named: $\mu_1$, $\mu_2$, $\mu_3$, $\mu_4$. Some of them are Raman-active ($\mu_1$, $\mu_3$, $\mu_4$) and/or IR-active modes ($\mu_2$, $\mu_3$, $\mu_4$). The vibrations of an ideal, isolated BO$_3$ group can be classified into four fundamental modes: symmetric stretching mode $\mu_1$ ($\sim 950$ cm$^{-1}$), doubly degenerate asymmetric stretching mode $\mu_3$ (1250–1400 cm$^{-1}$), doubly degenerate planar bending mode $\mu_4$ ($\sim 600$ cm$^{-1}$) and infrared active out-of-plane bending mode $\mu_2$ (700–800 cm$^{-1}$) [13, 32, 33]. Moreover, the frequencies of external lattice vibrations (translations or librations of Bi (Nd) or Te atoms and BO$_3$ and TeO$_6$ groups) are located below $\sim 350$ cm$^{-1}$.

The unpolarized Raman spectra of undoped BTBO and BTBO:Nd$^{3+}$ crystalline powders were recorded in the 100–1500 cm$^{-1}$ spectral range at room temperature (Fig. 5).

The spectroscopic parameters of all Raman-active bands were analyzed by the fitting procedure using the Lorentzian-like function. The detailed assignment of all Raman-active bands and their determined wavenumbers values are presented in Table S1. As follows from Fig. 5, Table S1 and the data given for undoped BTBO powder presented in our previous paper [13], the bands from the spectral range 1500 – 600 cm$^{-1}$ were assigned to the stretching vibrations of BO$_3$ groups or TeO$_6$ octahedra. The medium intensity bands at 1297, 1283, 1262, 1241 cm$^{-1}$ and weak bands at 1221 cm$^{-1}$ were assigned to the stretching modes of BO$_3$ units. The very weak Raman band at 1384 cm$^{-1}$ is probably a result of higher order Raman scattering (according to our ab initio calculations) [13]. The very weak intensity modes detected at 926 cm$^{-1}$ originate from A type $\mu_1$ internal stretching vibration of BO$_3$ complex. The modes in the spectral range 690–350 cm$^{-1}$ correspond to the vibrations of BO$_3$ and TeO$_6$ groups. Many bands in this spectral range have the mixed character. The bands detected in the Raman spectra in the spectral region 690–600 cm$^{-1}$ originate from the stretching vibrations $\mu_4$ and $\mu_2$ of BO$_3$ or $v_3$ and $v_1$ of TeO$_6$ groups. In particular, the most intensive modes recorded in this spectral range at 691 cm$^{-1}$ and the medium modes occurring at 649 cm$^{-1}$ were assigned to the $v_1$ and $v_3$ stretching vibrations of TeO$_6$ groups, respectively. The weak modes at 620 cm$^{-1}$, the medium modes at 604 cm$^{-1}$ and the weak modes at 564 cm$^{-1}$ detected in the spectra were attributed to the $\mu_4$ bending vibrations of BO$_3$ groups. The other bands in the Raman spectra in the considered spectral range of 660–350 cm$^{-1}$ were assigned to the vibrations of TeO$_6$ octahedra. In particular, the shoulder at 596 cm$^{-1}$ and the weak mode at 537 cm$^{-1}$ detected in the spectra can be assigned to the bending $v_2$ vibrations; the very strong modes centered at 497 cm$^{-1}$ and a shoulder at 414 cm$^{-1}$ arise from the $v_4$ vibrations; the medium-intensity modes at 401 cm$^{-1}$ in the spectra were assigned to the $v_5$ vibrations. According to the analysis of the calculated eigenvectors, the lowest frequency part of the spectrum, below $\sim 350$ cm$^{-1}$, originates from the external lattice vibrations of Bi(Nd) or Te atoms and TeO$_6$ and BO$_3$ complexes, as the rigid units, and mainly corresponds to the librational or translational motions of these molecular groups. In particular, the
highest intensity modes in this range were centered at 124 and 154 cm\(^{-1}\) in the spectra. The low wavenumber/energy phonons detected in the Raman spectra in the range below \(\sim 360\) cm\(^{-1}\) are of mixed type and are related to the relatively large atomic masses of Bi and Te atoms \[8\]. All above-given wavenumbers were determined for BTBO:Nd\(^{3+}\) (0.5 at.\%) sample. Moreover, the spectral analysis of the Raman spectra of undoped and Nd\(^{3+}\)-doped BTBO powders allows to conclude that low Nd\(^{3+}\) concentrations used in this study do not influence on vibrational properties of BTBO matrix. The results of Raman scattering method show the low phonon energy of BTBO matrix, which is important in phonon-assisted energy transfer processes between active Bi\(^{3+}\) and Nd\(^{3+}\) ions.

**Luminescence**

All the bands present in the reflectance, excitation and absorption spectra, assigned to the energy levels of active Nd\(^{3+}\) ions, were identify on the basis of the Dieke’s diagram \[33\].

The reflectance spectra of undoped BTBO and BTBO:Nd\(^{3+}\) powders detected in 250–1000 nm spectral range at 300 K are presented in Fig. 6.

In the reflectance spectra of BTBO:Nd\(^{3+}\) powders it can be observed the \(f-f\) transitions from Nd\(^{3+}\) ions as well as band assigned to the transition from Bi\(^{3+}\) ions. The broad bands recorded in the range 200–370 nm, with the intensity maximum at about 330 nm arise from the \(1S_0 \rightarrow 3P_1\) transition of Bi\(^{3+}\) ions. All other bands detected in reflectance spectra are assigned to the \(f-f\) transitions from Nd\(^{3+}\) ions. The bands at about 515 and 530 nm are related to the \((^4G_{7/2} + ^4G_{9/2}) \rightarrow ^4I_{9/2}\) and \(^2K_{13/2} \rightarrow ^4I_{9/2}\) transitions, respectively. The most intensive peaks located in range 570–610 nm were assigned to the \((^4G_{7/2} + ^2G_{7/2}) \rightarrow ^4I_{9/2}\) transitions. The weak bands in the spectral range 670–700 nm correspond to the \(^4F_{9/2} \rightarrow ^4I_{9/2}\) transitions. The bands in the spectral range 730–775 nm and 780–835 nm are present due to the \((^4F_{7/2} + ^4S_{3/2}) \rightarrow ^4I_{9/2}\) and \((^4F_{5/2} + ^2H_{9/2}) \rightarrow ^4I_{9/2}\) transitions, respectively, and the peaks located in the spectral range 850–890 nm are attributed to the \(^4F_{3/2} \rightarrow ^4I_{9/2}\) transitions.

The excitation spectra of BTBO:Nd\(^{3+}\) powders were recorded at 300 K in the spectral range 250–900 nm by monitoring the \(^4F_{3/2} \rightarrow ^4I_{11/2}\) transition of Nd\(^{3+}\) ions at 1064 nm (Fig. 7).

As follows from Fig. 7, numerous bands were detected in the excitation spectra of BTBO:Nd\(^{3+}\) powders in the range from about 250 nm to about 900 nm. Moreover, the intensity of the bands in the spectra depends on Nd\(^{3+}\) concentration. The highest intensity excitation bands were detected in the spectrum of BTBO:Nd\(^{3+}\) powder doped with Nd\(^{3+}\) ions at the lowest concentration (0.5 at.\%). The corresponding bands in the excitation spectra of BTBO:Nd\(^{3+}\) powders were detected almost in the same positions for all Nd\(^{3+}\) concentrations. In particular, the bands recorded in the range 270 – 370 nm with the intensity maximum at about 330 nm arise from the \(^4D_{3/2} \rightarrow ^4I_{9/2}\) transitions. Moreover, the broadening of the excitation bands in this spectral range results from the interference of the mentioned above Nd\(^{3+}\) excitation bands and the excitation bands assigned to the \(1S_0 \rightarrow 3P_1\) transition of Bi\(^{3+}\) ions. Very weak peaks at

**Figure 6** The reflectance spectra of undoped BTBO and BTBO:Nd\(^{3+}\) powders detected at 300 K.

**Figure 7** Excitation spectra of BTBO:Nd\(^{3+}\) powders recorded by monitoring the emission of the \(^4F_{3/2} \rightarrow ^4I_{11/2}\) transition in Nd\(^{3+}\) ions at 1064 nm, detected at 300 K.
about 430 nm correspond to the \( ^2P_{1/2} \leftarrow ^4I_{9/2} \) transitions. The weak bands in the range from 450 to 490 nm can be assigned to the \( ^2K_{15/2} \leftarrow ^4I_{9/2} \) transitions. The strong bands at about 515 nm are related to the \((^4G_{7/2} + ^4G_{9/2}) \leftarrow ^4I_{9/2} \) transitions, while the strong peaks centered at about 530 nm arise from the \( ^2K_{13/2} \leftarrow ^4I_{9/2} \) transitions. The most intensive peaks located in range 570–610 nm were assigned to the \((^4G_{5/2} + ^4G_{7/2}) \leftarrow ^4I_{9/2} \) transitions. Moreover, the bands in the spectral range 620–640 nm (very weak) and 670–700 nm (weak) correspond to the \( ^2H_{11/2} \leftarrow ^4I_{9/2} \) and \( ^4F_{9/2} \leftarrow ^4I_{9/2} \) transitions, respectively. The very strong bands in the spectral range of 730–775 nm and 780–835 nm are due to the \((^4F_{7/2} + ^4S_{3/2}) \leftarrow ^4I_{9/2} \) and \((^4F_{5/2} + ^2H_{9/2}) \leftarrow ^4I_{9/2} \) transitions, respectively. The strong peaks located in the spectral range 850–890 nm were attributed to the \( ^4F_{3/2} \leftarrow ^4I_{9/2} \) transitions. It should be noted that the excitation spectra of BTBO:Nd\(^{3+}\) powders (Fig. 7) correspond well with the reflectance spectra (Fig. 6). However, the difference in the transitions intensity observed in the UV excitation and reflectance spectra is a result of different sensitivities of the detectors in the used equipment. It can be also observed that in NIR range, in which PbS detector is used with much lower sensitivity than R928 PMT, with decreasing Nd\(^{3+}\) concentration, the transition peaks intensity almost disappears. In the visible and UV range, the changes are less pronounced.

The emission spectra of BTBO:Nd\(^{3+}\) powders were investigated upon 327 and 586.4 nm excitation in the 800–1200 nm spectral range (Fig. 8). The emission spectra of BTBO:Nd\(^{3+}\) powders show broad and intense bands in the range of 865–925 nm and 1035–1100 nm. The broad multi-structure bands detected in the range of 865–925 nm are related to the \( ^4F_{3/2} \leftarrow ^4I_{9/2} \) transitions. The shape of these bands is a result of the Stark effect, which describes the shifting and splitting of spectral lines of active ions due to the presence of an external electric crystal field. As a result, the \( ^4F_{3/2} \) energy level of Nd\(^{3+}\) splits into several Stark components [34]. The strong bands in the range 1035–1110 nm with a maximum at 1064 nm were attributed to the \( ^4F_{3/2} \leftarrow ^4I_{11/2} \) transitions. The shape of these bands also suggests the influence of the crystal field on the electronic level \( ^4F_{3/2} \), which consists of Stark-levels, as mentioned above [35] Interestingly, the highest intensity emission bands in NIR upon the excitation in UV were detected in the spectra of BTBO:Nd\(^{3+}\) powders doped with the higher concentrations of Nd\(^{3+}\) ions (5.0, 7.5 and 10 at.%), with the maximum at 5.0 at.% concentration (Fig. 8a). Upon the excitation in VIS, the highest intensity emission bands in NIR were detected in the spectra of BTBO:Nd\(^{3+}\) powders doped with the lower concentrations of Nd\(^{3+}\) ions (0.5, 1.0 and 2.5 at.%) with the maximum at 0.5 at.% concentration (Fig. 8b). The observed phenomena result from the different energy transfer mechanisms upon the excitation in UV (by Bi\(^{3+}\) ions) and excitation in VIS spectral range (by Nd\(^{3+}\) ions).

In Fig. 9, the excitation and emission spectra registered in UV and VIS spectral range for undoped BTBO matrix are presented. In the excitation spectrum, only one band centered at about 327 nm assigned to the \( ^1S_0 \rightarrow ^3P_1 \) transition of Bi\(^{3+}\) ions was detected. It shows that Bi\(^{3+}\) ions present in BTBO

![Figure 8](image)

**Figure 8** Emission spectra of BTBO:Nd\(^{3+}\) powders upon the excitation at 327 nm (a) and 586.4 nm (b), recorded at 300 K.
matrix can be used as a UV absorption sensitizer for Nd\(^{3+}\) ions in BTBO:Nd\(^{3+}\) samples. Moreover, the emission spectrum shows the broad emission band in the 450–650 nm with the maximum at 560 nm (yellow emission) related to the \(3P_0 \rightarrow 1S_0\) transition of Bi\(^{3+}\) ions.

The possible mechanisms of energy relaxation after UV or VIS excitation are illustrated schematically in Fig. 10.

Due to the close positions of electronic levels of the excited states of Bi\(^{3+}\) and Nd\(^{3+}\) ions, the energy transfer process from Bi\(^{3+}\) to Nd\(^{3+}\) ions is possible. In particular, after the excitation in UV (at 327 nm), Bi\(^{3+}\) ions are excited from the \(1S_0\) ground states to the \(3P_1\) excited states, and next, after phonon-assisted non-radiative relaxation, they relax to the \(3P_0\) excited levels by emitting photons in the yellow region or through the resonance energy transfer to the nearest Bi\(^{3+}\) or Nd\(^{3+}\) ions. The energy of excited Bi\(^{3+}\) ions can be also transferred by the energy migration process to the unexcited neighboring Bi\(^{3+}\) ions, which leads to LCQ of Bi\(^{3+}\) ions. The other possible energy transfer processes from \(3P_1\) level of Bi\(^{3+}\) ions are the relaxations to the lower-lying exciting levels of Nd\(^{3+}\) ions: \(2P_{1/2}, 2H_{15/2}, 4G_{7/2} + 4G_{9/2}, 2K_{15/2}, 4G_{7/2} + 4G_{7/2}, 2H_{11/2}, 4F_{9/2}, 4F_{7/2} + 4S_{3/2}, 4F_{5/2} + 2H_{9/2}\). The excited Nd\(^{3+}\) ions after non-radiative relaxation to \(4F_{5/2}\) level can relax through the \(4F_{3/2} \rightarrow 4I_{9/2}\) and \(4F_{3/2} \rightarrow 4I_{11/2}\) transitions with NIR emission near 878 nm and 1064 nm, respectively. The phenomena in which one photon of high energy can convert energy into two photons of lower energy is known as the quantum cutting process [36, 37]. The above-described energy transfer process from Bi\(^{3+}\) to Nd\(^{3+}\) leads to enhancement of Nd\(^{3+}\) emission in the NIR region. It should be noted that for lower concentrations of Nd\(^{3+}\) ions (0.5, 1.0 and 2.5 at.%), the mentioned energy transfer process is not effective enough because all Bi\(^{3+}\) ions are excited, but they can transfer energy only to the selected neighboring Nd\(^{3+}\) ions. The optimal Nd\(^{3+}\) concentration for the quantum cutting process to be realized by Bi\(^{3+}\)-Nd\(^{3+}\) pairs in the BTBO:Nd\(^{3+}\) system is 5.0 at.%. For higher concentration of Nd\(^{3+}\) (7.5 and 10.0 at.%) we observe the LCQ effect.

After the excitation in VIS (at 586.4 nm), the Nd\(^{3+}\) ions are excited from the ground state \(4I_{9/2}\) to the excited states \(4G_{5/2} + 4G_{7/2}\) (the most intensive bands detected in the excitation spectra of BTBO:Nd\(^{3+}\) powders recorded by monitoring the emission of the \(4F_{3/2} \rightarrow 4I_{11/2}\) transition in Nd\(^{3+}\) ions at 1064 nm). These excited Nd\(^{3+}\) ions can relax to the \(4F_{3/2}\) level through non-radiative relaxation and next the NIR emission can be observed at about 878 nm and 1064 nm by the \(4F_{3/2} \rightarrow 4I_{9/2}\) and \(4F_{3/2} \rightarrow 4I_{11/2}\) transitions, respectively. Moreover, the highest intensity NIR emission was observed for 0.5 at.% Nd\(^{3+}\) concentration. For higher concentrations of Nd\(^{3+}\) ions (1.0, 2.5, 5.0, 7.5 and 10.0 at. %), we observed a decrease in the NIR emission as a result of

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**Figure 9** The excitation and emission spectra of BTBO powder for the emission observed at 560 nm assigned to Bi\(^{3+}\) ions upon the excitation at 327 nm, recorded at 300 K.

**Figure 10** Schematic diagram of the possible ET between active ions in the BTBO:Nd\(^{3+}\) system upon the excitation at 327 and 586.4 nm.
LCQ effect due to short distances between the active Nd\(^{3+}\) ions.

The luminescence decay curves of BTBO:Nd\(^{3+}\) powders monitored for the emission at 1064 nm upon the excitation at 327 and 586.4 nm are presented in Fig. 11.

To determine the decay times, the experimental decay curves of BTBO:Nd\(^{3+}\) powders were fitted to the exponential function according to the following formula:

\[
I = I_1 \exp \left( -\frac{t}{\tau_1} \right) + I_2 \exp \left( -\frac{t}{\tau_2} \right)
\]

where \(I_1\) and \(I_2\) are the intensities of different luminescence processes at the initial time and \(\tau_1\) and \(\tau_2\) are their lifetimes, respectively [37]. For BTBO:Nd\(^{3+}\) powders doped with higher Nd\(^{3+}\) concentrations (5.0, 7.5 and 10.0 at.%), a good fit was obtained with a double exponential function, while only one exponential function was needed for lower doped powders (0.5, 1.0 and 2.5 at.%). The decay time determined by the spontaneous emission from the excited Nd\(^{3+}\) levels and the time calculated from the fit of the decay curves show the influence of the dopant concentration on the energy transfer process. The presence of two components in the decay fit is due to the different location and environment of Nd\(^{3+}\) ions incorporated into the BTBO lattice either in the volume or on the surface of the microcrystals, where Bi\(^{3+}\) ions are substituted by Nd\(^{3+}\) ions. Moreover, for higher concentrations of Nd\(^{3+}\), the surface-related effect is stronger due to higher surface to volume ratio, which results in a double exponential decay time character. The determined lifetimes monitored for the emission at 1064 nm under the excitation at 327 and 586.4 nm are given in Table 5.

Figure 11 The luminescence decay time curves of BTBO:Nd\(^{3+}\) powders recorded by monitoring the emission of the \(^4F_{3/2} \rightarrow ^4I_{11/2}\) transition in Nd\(^{3+}\) ions at 1064 nm, upon the excitation at 327 nm (a)(b) and 586.4 nm (c)(d), detected at 300 K.
As follows from Table 5, the maximum lifetimes $\tau$ equal 107 and 108 $\mu$s were obtained for the BTBO: Nd$^{3+}$ samples with the lowest concentration of Nd$^{3+}$ (0.5 at.%), both under the excitation at 327 and 586.4 nm. For the other samples (1.0 and 2.5 at.% concentration of Nd$^{3+}$), the lifetimes $\tau$ were shorter due to a weak Nd$^{3+}$–Nd$^{3+}$ interaction resulting in the LCQ effect. For the BTBO: Nd$^{3+}$ samples with higher concentration of Nd$^{3+}$ ions (5.0, 7.5 and 10.0 at.%), the lifetimes $\tau_1$ and $\tau_2$ related to the above-mentioned different location of Nd$^{3+}$ ions (in the surface and in the volume of microcrystals, respectively) were determined. Moreover, as presented in Table 2, we observed the lifetimes to decrease with increasing Nd$^{3+}$ concentration, both for $\tau_1$ and $\tau_2$.

Additionally, the presented experimental results for BTBO: Nd$^{3+}$ powders were supported by the following analysis and calculations. As mentioned above, the LCQ effect and shortening of the lifetime $\tau$ for BTBO: Nd$^{3+}$ powders strongly depend on the concentration $N_A$ of active Nd$^{3+}$ ions, which can be calculated using the following equation:

$$N_A = \frac{3Zx}{V}$$  \hspace{1cm} (6)

where $V$ is the unit-cell volume, $x$ is the dopant concentration and $Z$ is the number of formula units in the unit cell [35]. The calculated $N_A$ values for BTBO: Nd$^{3+}$ powders are equal 7.68, 15.36, 38.38, 76.89, 115.62 and 154.29 ($\times 10^{25}$ ions/m$^3$) for $x = 0.005$, 0.010, 0.025, 0.050, 0.075 and 0.100, respectively. The effective energy transfer process from the excited (donors $D$) to the unexcited (acceptors $A$) Nd$^{3+}$ ions depends on the distance between them. The average distance $R_{DA}$ between the $D$ and $A$ ions can be calculated from the following formula:

$$R_{DA} \approx 2\left(\frac{3V}{4\pi Z}\right)^{1/3}$$  \hspace{1cm} (7)

where $V$ is the unit-cell volume, $x$ is the dopant concentration and $Z$ is the number of formula units in the unit cell [35]. The average distance $R_{DA}$ for the investigated BTBO: Nd$^{3+}$ powders calculated using Eq. 7 is equal 42.1, 33.4, 24.6, 19.5, 17.1, 15.5 Å for $x = 0.005$, 0.010, 0.025, 0.050, 0.075 and 0.100, respectively. The relatively high values of $R_{DA}$ in the investigated BTBO: Nd$^{3+}$ powders indicate that the main energy transfer mechanism responsible for the LCQ effect and shortening of the lifetime $\tau$ is the electric interaction [35].

Furthermore, for BTBO: Nd$^{3+}$ powder with the lowest concentration of Nd$^{3+}$ ions (0.5 at.%), the decay curve was analyzed using the Inokuti–Hiroyama (IH) model [38]. In the IH model, the kinetics of the fluorescence intensity was described by the following equation:

$$I(t) = I_0\exp\left[-\frac{t}{\tau_0} - Q\left(\frac{t}{\tau_0}\right)^{1/2}\right] + b$$  \hspace{1cm} (8)

where $\tau_0$ is the intrinsic decay time of $D$ ions in the presence of $A$ ions; $b$ is the fitting offset; $Q$ is the energy transfer parameter. The decay curve of BTBO: Nd$^{3+}$ (0.5 at.%) powder was well fitted to the IH models for the measured fluorescence lifetime $\tau_0=108$ $\mu$s (of the $4F_{3/2} \rightarrow 4I_{11/2}$ transition upon the excitation with 586.4 nm) and $s = 6$. The value of $s = 6$, which was used during the fitting procedure, suggests the dipole–dipole interaction as the main mechanism of the energy transfer in the BTBO: Nd$^{3+}$ (0.5 at.%) powder [35]. Moreover, the energy transfer parameter $Q$ can be determined from the fitting procedure using the following formula:

$$Q = \frac{4}{3}\pi\Gamma\left(1 - \frac{3}{s}\right)^2 N_AR_o^3$$  \hspace{1cm} (9)

where $\Gamma$ is the function taking the values of 1.77 for the dipole–dipole interaction [35], $R_o$ is the critical distance and $N_A$ is the concentration of $A$ ions.

Assuming the IH model, the energy transfer rate $W_{ET}$ was calculated using the following equation:
where $R_{DA}$ is the average distance between $D$ and $A$ ions, the value of $s$ is equal to 6 for the dipole–dipole interaction [35] and $C_{DA}$ is the energy transfer micro-parameter estimated by analyzing the decay profile using the following relation [39]:

$$C_{DA} = R_0^2 	au_0^{-1}$$

where the value of $R_o = 3.48 \text{ Å}$ was calculated from the transformed Eq. 9.

Finally, according to the IH model, the following energy transfer parameters for BTBO:Nd$^{3+}$ (0.5 at.%) powder were determined: $Q = 0.12$, the energy transfer micro-parameter $C_{DA} = (1.60 \times 10^{-53}) \text{ m}^6/\text{s}$ and the energy transfer rate $W_{ET} = 0.003 \text{ s}^{-1}$. Moreover, for BTBO:Nd$^{3+}$ powders with the higher Nd$^{3+}$ concentrations (1.0, 2.5, 5.0, 7.5 and 10.0 at.%), the decay curves were not well fitted to the IH model and the observed shortening of the lifetime $\tau$ indicates some other energy transfer processes between the $D$ and $A$ ions such as the resonant energy migration or cross-relaxation [40].

### Conclusions

The investigated BTBO:Nd$^{3+}$ microcrystalline powders doped with Nd$^{3+}$ ions in various concentrations (0.5, 1.0, 2.5, 5.0, 7.5 and 10.0 at.%) were synthesized by means of the modified Pechini method. Their phase uniformity (hexagonal structure of $P6_3$ space group) was confirmed by XRD. On the basis of the Raman spectra of BTBO:Nd$^{3+}$ powders, the phonon energy of BTBO matrix associated with vibrations of the characteristic BO$_3$ and TeO$_6$ molecular groups was determined. The relatively low phonon energy of BTBO matrix allows effective phonon assisted energy transfer between Bi$^{3+}$ and Nd$^{3+}$ active ions. The excitation spectrum of BTBO for Bi$^{3+}$ ions emission in VIS (with maximum intensity at about 560 nm) shows the band at 327 nm. The excitation spectra of BTBO:Nd$^{3+}$ for Nd$^{3+}$ ions emission at 1064 nm show the bands related to the $f$-$f$ optical transitions of Nd$^{3+}$ ions as well as the bands related to the $^1S_0 \rightarrow ^3P_1$ transition of Bi$^{3+}$ ions at about 327 nm. The emission spectra of BTBO:Nd$^{3+}$ powders excited at 327 nm (by Bi$^{3+}$ ions) and at 586.4 nm (by Nd$^{3+}$ ions) show relatively strong luminescence at about 878 and 1064 nm arising from the $^4F_{3/2} \rightarrow ^4I_{9/2}$ and $^4F_{3/2} \rightarrow ^4I_{11/2}$ transitions of Nd$^{3+}$ ions, respectively. The higher intensity emission bands in NIR for the excitation in UV (by Bi$^{3+}$ ions) were detected in the spectra of the BTBO:Nd$^{3+}$ powders doped with higher concentrations of Nd$^{3+}$ ions (5.0, 7.5 and 10 at.%), with the maximum for 5.0 at.% concentration. So, the optimal Nd$^{3+}$ concentration for the quantum cutting process to be realized by Bi$^{3+}$-Nd$^{3+}$ pairs in the BTBO:Nd$^{3+}$ system is 5.0 at.%. For the excitation in UV (by Bi$^{3+}$ ions). For higher concentration of Nd$^{3+}$ (7.5 and 10.0 at.%), we observe the LCQ effect. For the excitation in VIS (by Nd$^{3+}$ ions), higher intensity of emission bands in NIR was detected in the spectra of BTBO:Nd$^{3+}$ powders doped with lower concentrations of Nd$^{3+}$ ions (0.5, 1.0 and 2.5 at.%) with the maximum for 0.5 at.% concentration. For higher concentrations of Nd$^{3+}$ ions (1.0, 2.5, 5.0, 7.5 and 10.0 at.%), we observed a decrease in the NIR emission as a result of LCQ effect due to short distances between the active Nd$^{3+}$ ions. Moreover, the longest fluorescence decay lifetimes monitored at 1064 nm are equal 107 and 108 $\mu$s for the BTBO:Nd$^{3+}$ sample with the lowest concentration of Nd$^{3+}$ (0.5 at.%), upon the excitation at 327 and 586.4 nm, respectively. For BTBO:Nd$^{3+}$ powders with higher concentration of Nd$^{3+}$ ions, the fluorescence decay lifetimes change character and decrease due to the increasing significance of the surface-related effect. Moreover, the observed phenomena result from different energy transfer mechanisms upon the excitation in UV (by Bi$^{3+}$ ions) and excitation in VIS spectral range (by Nd$^{3+}$ ions). Due to the energy transfer process from Bi$^{3+}$ to Nd$^{3+}$, the enhancement of Nd$^{3+}$ emission in the NIR region is observed in BTBO:Nd$^{3+}$ systems. As a result, due to good optical and luminescence properties, the BTBO:Nd$^{3+}$ microcrystalline powders can be potentially used as an efficient UV/VIS to NIR spectral converters for new generation c-Si solar cells.

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Declarations

Conflict of interest The authors declare that they have no conflict of interest.

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