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Development and validation of a 3D-printed neuronavigation headset for therapeutic brain stimulation

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Abstract

Background. Accurate neuronavigation is essential for optimal outcomes in therapeutic brain stimulation. MRI-guided neuronavigation, the current gold standard, requires access to MRI and frameless stereotaxic equipment, which is not available in all settings. Scalp-based heuristics depend on operator skill, with variable reproducibility across operators and sessions. An intermediate solution would offer superior reproducibility and ease-of-use to scalp measurements, without requiring MRI and frameless stereotaxy. Objective. We present and assess a novel neuronavigation method using commercially-available, inexpensive 3D head scanning, computer-aided design, and 3D-printing tools to fabricate form-fitted headsets for individuals that hold a stimulator, such as an rTMS coil, in the desired position over the scalp. Methods. 20 individuals underwent scanning for fabrication of individualized headsets designed for rTMS of the left dorsolateral prefrontal cortex (DLPFC). An experienced operator then performed three trials per participant of three neuronavigation methods: MRI-guided, scalp-measurement (BeamF3 method), and headset placement, and marked the sites obtained. Accuracy (versus MRI-guidance) and reproducibility were measured for each trial of each method. Results. Within-subject accuracy (against a gold-standard centroid of three MRI-guided localizations) for MRI-guided, scalp-measurement, and headset methods was 3.7 ± 1.6 mm, 14.8 ± 7.1 mm, and 9.7 ± 5.2 mm respectively, with headsets significantly more accurate (M = 5.1, p = 0.008) than scalp-measurement methods. Within-subject reproducibility (against the centroid of 3 localizations in the same modality) was 3.7 ± 1.6 mm (MRI), 4.2 ± 1.4 (scalp-measurement), and 1.4 ± 0.7 mm (headset), with headsets achieving significantly better reproducibility than either other method (p < 0.0001). Conclusions.
3D-printed headsets may offer good accuracy, superior reproducibility and greater ease-of-use for stimulator placement over DLPFC, in settings where MRI-guidance is impractical.

Keywords: brain stimulation, rTMS, tDCS, neuronavigation, 3D printing, 3D scanning

(Some figures may appear in colour only in the online journal)

Introduction

Non-invasive brain stimulation techniques are emerging into clinical practice as alternatives to medications and psychotherapy in the treatment of psychiatric illnesses. A leading example is repetitive transcranial magnetic stimulation (rTMS). rTMS uses powerful (1–2 T), focused magnetic field pulses to induce durable changes in the activity of a target brain region. A course of 20–30 daily sessions, targeting brain regions that regulate cognition and emotion, may have antidepressant effects in cases where medications fail. rTMS is approved and funded in an increasing number of jurisdictions worldwide as a treatment for major depression, and is under approval and funded in an increasing number of jurisdictions.

A number of newly emerging brain stimulation techniques also enter more common clinical use over the next decade. Among these, their successful use requires both the judicious choice of stimulation target in the brain, as well as a methodology for ensuring that the stimulation is delivered accurately to the desired location. For example, with rTMS in major depression, one of the most common targets is the dorsolateral prefrontal cortex (DLPFC), either left, right, or bilaterally [12–14].

With tDCS, likewise, stimulation is typically targeted upon the DLPFC in most large studies [7, 8]. Various theories exist regarding the role of the DLPFC target in depression, most proposing that it belongs to a network of brain regions that regulate cognition and/or emotional states [15, 16]. Failure to target the DLPFC accurately has been noted to result in a higher likelihood of non-response to treatment [15, 17].

To ensure that the intended area is stimulated, there are a variety of techniques currently in use for neuronavigation. On the low-cost end of the spectrum are heuristics based on scalp measurements. These include the classical ‘5 cm anterior to motor hotspot’ heuristic often used for DLPFC-rTMS [18], and the more recent BeamF3 method for localizing the F3 EEG sensor site in the international 10–20 system [19]; this site is a commonly used proxy for the DLPFC. We have previously reported [20] that a slightly modified BeamF3 heuristic shows good concordance with the current gold-standard approach, MRI-guided neuronavigation, with a discrepancy of 0.35–0.65 cm depending on which variation of the heuristic is applied. However, scalp-measurement-based methods are dependent upon the expertise of the operator, and may be variable in reproducibility both across operators and even across sessions for the same operator.

MRI-guidance offers the advantage of greater accuracy in neuronavigation to specific stereotactically-or functionally-defined target brain regions, in individual patients. For this reason, MRI-neuronavigation may be the preferred technique for non-standard targets, or in research settings where field-modelling is contemplated. However, in clinical settings, it may not always be feasible to obtain an MRI for every patient undergoing rTMS, and frameless stereotactic equipment is not universally employed. Moreover, given the ~2% prevalence of medication-resistant depression in the general population [21], a requirement for MRI in all patients could pose significant logistical and economic limitations on the real-world utility of rTMS as a practical tool to reduce the overall health burden of this disease. As such, there is a need for an intermediate method that improves upon the reproducibility and operator-dependence of scalp-based methods, while at the same time offering better cost, accessibility, and ease-of-use, for implementation in high-volume community clinical settings.

An opportunity to improve on current methods arises from two enabling technologies: 3D scanning and 3D printing. Formerly expensive and used primarily in industry, both of these technologies have improved dramatically in cost and quality as they have entered the broader consumer market over the last decade. Reasonable-quality off-the-shelf 3D scanners and printers may be obtained for as little as ~$1000–2000—lower than the cost of rTMS or tDCS equipment itself. 3D scanning technologies have potential applications to improve the precision of head measurement and site specification, while 3D printing could be applied to generate form-fitted crown headsets for holding the stimulation device in place, customized to the patient head shape. 3D printed, customized neuronavigation headsets can be positioned very quickly and reliably compared to frameless stereotaxy, are less dependent
The 3D head mesh file was loaded into MATLAB (MATLAB R2016a), and a trained technician experienced in rTMS identified the coordinate of inion, nasion and tragi points on the head model. Next, an in-house MATLAB script analyzed the 3D head model to trace the three cardinal scalp measurements (head circumference, inion-nasion and tragus–tragus distances). These were input into the BeamF3 algorithm [19], applying the MRI-validated +0.35 cm extension of the radial measurement as per Mir-Moghtadaei et al [20], to define the left DLPFC stimulation target on the scalp surface near the EEG site F3 in the international 10–20 system (figure 2).

Following F3 localization, the MATLAB script then automatically constructed a personalized CAD model of a headset to place the stimulator coil over the defined site on the scalp mesh. The headset (shown in figure 3) consisted of a nose-piece formed to the participant’s nasion, a horizontal half-ring formed to the participant’s forehead with terminal hooks for fastening an elastic band to help hold the headset in place, a coil bracket centred on the left DLPFC scalp site and oriented at the standard 45° angle to midline, and a radial bracket formed to the right forehead and extending to the symmetrical right DLPFC scalp site to ensure a close fit of the crown. The left-sided and right-sided brackets had pinpoint holes at the exact left and right DLPFC sites to enable marking of the target spot on the scalp. This CAD model of the headset was used to 3D print the headset using an inexpensive (<$3000) commercially available 3D printer (FlashForge Creator Pro), with print time ~12 h.

Comparative assessment of navigation accuracy and reproducibility

After fabrication of the headset, participants returned for a second testing session comparing three methods (MRI-neuronavigated, scalp-landmark, and headset) to localize the stimulation target point. Each method was performed three times in each participant, to evaluate reproducibility and accuracy. During testing, participants wore a standard white fabric rTMS scalp cap, and the result from each trial was marked on the cap using invisible UV-fluorescent ink, so that subsequent trials would not be biased by the previous trials. The time required to complete each method was also recorded on all 3 trials for each participant.

MRI-Neuronavigation method

Each participant had previously undergone MRI on a 3 T GE Signa HDx scanner equipped with an 8-channel phased-array head coil. The anatomical scan was a T1-weighted fast spoiled gradient-echo (TE = 12 ms, TI = 300 ms, flip angle = 20°, 116 sagittal slices, thickness = 1.5 mm, no gap, 256 × 256 matrix, FOV = 240 mm). The Visor 2.0 neuronavigation suite (ANT Neuro, Enschede, the Netherlands) was used for image preprocessing, tissue segmentation, and registration into standard stereotaxic space. The stimulation target was defined in the left DLPFC at MNI coordinate [X-38 Y + 44 Z + 26].
derived from an optimal site identified by Fox et al. [15], as per our previously published work [20]. The scalp site at minimum Euclidean distance from this point was then localized and marked in the Visor 2.0 software.

Subsequently, the participant donned a fabric neuro-navigation cap (MagVenture, Farum, Denmark), and the frameless stereotaxic equipment was used to co-register the participants’ head to the MRI using the standard techniques of the Visor 2.0 suite. The sequential steps involved were first placing a headband on the participant’s head, attaching a cross with reflective marker spheres to the headband, placing the participant in view of the Visor suite’s tracking camera (Polaris Vicra, Northern Digital, Waterloo, Canada), using a pointer also equipped with reflective marker spheres to indicate the positions of the nasion and left and right ear, using the pointer to trace a shell of >50 localization points over the surface of the face and scalp, and finally using the Visor software to co-register these points to the participant’s MRI.

Figure 2. (A) Head circumference; inion-nasion and tragus–tragus distances were measured on the 3D scan of the head using an in-house MATLAB script. (B) These measurements were input into the BeamF3 heuristic [19] (incorporating the +0.35 cm radial extension of Mir-Moghtadaei et al. [20]) to generate circumferential and radial measures to localize the F3 scalp site, and the script identified the point on the mesh specified by these measures. (C) and (D) Measurement of panels (A) and (B) depicted on a schematic of the international 10–20 EEG montage.

Figure 3. Automated design, fabrication, fitting, and use of the 3D printed rTMS coil-positioning headset. (A) An in-house CAD algorithm generated a headset fitted to the participant’s nasion and forehead, incorporating a fitted bracket for holding the coil centred over the F3 location specified in the steps shown in figure 2. (B) The headset was 3D printed in PLA filament on a commercially available 3D printer. (C) The printed headset was positioned on the participant’s head to verify a close and comfortable fit and to assess accuracy and reproducibility of specification of the F3 site. (D) During rTMS treatment, the stimulator coil is fitted to the headset to ensure that it is maintained accurately and reproducibly over the desired site of stimulation.
Following co-registration, the pointer was used to localize the previously-marked scalp site at minimum distance from the DLPFC target coordinate, as above. Finally, with the pointer maintained in place, the indicated point was marked on the cap on the participant’s head using a UV-fluorescent marker. This entire process was timed. The cap and markers were then removed, and the software was completely reset. The method was repeated three times in each participant, to assess the reproducibility of the MRI-guided navigation procedure.

Scalp-landmark method

Each participant donned once again the same fabric cap, and an experienced (>5000 sessions) rTMS technician performed the three cardinal scalp measurements (nasion-inion, tragus–tragus, and head circumference) manually and input these measurements into the BeamF3 algorithm [19] (applying the +0.35 cm radial adjustment of Mir-Moghtadai et al [20]) to generate the circumferential and radial measurements for localizing the DLPFC scalp site. The technician then marked this spot with a different-colored UV-fluorescent invisible marker. This entire process was timed. The cap was then removed, and the entire process was repeated for a total of three times, to assess the reproducibility of the scalp-landmark procedure.

Headset method

Each participant once again donned the same fabric cap, and the technician placed the headset on the patient’s head, then marked the spot in the pinhole center of the coil bracket using a different-colored UV-fluorescent invisible marker. This process was timed. The headset and cap were then removed and all the steps were repeated for a total of three times.

Data analysis

Following completion of all three navigation methods, the invisible markings on the cap were visualized with a UV light source, and marked with a regular visible marker. The caps were then scanned with a commercially available 600 dpi document scanner (Brother MFC-9130WC). A MATLAB script was then applied to the image file, to extract the coordinates of the points marked on the caps and measure their distances relative to each other, and to calculate the centroid of the triangle formed by each set of three points. The centroid of the three MRI navigation measurements was defined as the gold-standard location of the scalp site for all subsequent assessments of accuracy. All statistical comparisons of data during analysis were performed in MATLAB.

Results

Headset fabrication

Headsets took about 12 h to print and were printed overnight to minimize delay to readiness-for-use. About 100 g of standard polylactic acid (PLA) filament was used for printing each headset. PLA filament costs approximately $40 per kilogram and, thus, the cost of material for each headset is about $4. After optimizing the printer and printing settings, there were no failed print attempts.

Navigation accuracy and reproducibility

For each participant, the gold-standard scalp point was defined as the centroid of the three points obtained by MRI-neuronavigation. Using the MRI-centroid point as the origin, the minimum, mean and maximum distance to the three points obtained via each method was then calculated in each subject as a measure of overall accuracy (table 1).

For MRI-guided neuronavigation, across each of the 20 subjects, the mean distance from MRI-centroid was 3.7 ± 1.6 mm, the minimum distance was 1.4 mm, and the maximum distance was 7.4 mm. For the scalp-landmark BeamF3 method, the mean distance from MRI-centroid was 14.8 ± 7.1 mm, the minimum distance was 6.0 mm, and the maximum distance was 30.4 mm. For the headset method, the mean distance from MRI-centroid was 9.7 ± 5.2 mm, the minimum distance was 2.1 mm, and the maximum distance was 20.2 mm (table 1, figure 4(A)).

As an additional summary statistic, we also calculated 95% confidence ellipses for the overall distribution of points for each method (figures 4(A) and (B)), defining axes along the first and second principal components of the set of all 60 measurements for each modality. Regarding overall accuracy (i.e. in a frame of reference centered with the origin at the MRI-guided centroid for each participant), the axes of the 95% confidence ellipses for each method measured 17.0 mm × 13.8 mm for MRI-guidance, 50.8 mm × 40.9 mm for the BeamF3 method, and 43.2 mm × 27.5 mm for the headset method (figure 4(A)). Regarding reproducibility (i.e. in a frame of reference centered separately for each method, with the origin at the centroid of its own method for each participant), the axes

| Table 1. Summary statistics for F3 sites obtained via the MRI-guided, scalp-landmark, and headset methods in terms of accuracy (distance to gold-standard MRI-guided centroid), reproducibility (distance to method’s own centroid) and time required to perform each trial of the method. ± values indicate standard deviations from the indicated mean. |
|---------------------------------|-------------------|-----------------|-----------------|
| | MRI-guided | Scalp landmark | Headset |
|-------------------|-------------------|-----------------|-----------------|
| Distance to MRI-guided centroid | | | |
| Mean | 3.7 ± 1.6 mm | 14.8 ± 7.1 mm | 9.7 ± 5.2 mm |
| Min | 1.4 mm | 6.0 mm | 2.1 mm |
| Max | 7.4 mm | 30.4 mm | 20.2 mm |
| Distance from method’s own centroid | | | |
| Mean | 3.7 ± 1.6 mm | 4.2 ± 1.4 mm | 1.4 ± 0.7 mm |
| Min | 1.4 mm | 1.3 mm | 0.5 mm |
| Max | 7.4 mm | 7.1 mm | 3.2 mm |
| Required time to perform method | 113.4 ± 14.9 s | 122.9 ± 54.4 s | 13.0 ± 5.3 s |
of the 95% confidence ellipses for each method measured 17.0 mm × 13.8 mm for MRI-guidance, 19.3 mm × 13.3 mm for the BeamF3 method, and 8.0 mm × 2.6 mm for the headset method (figure 4(B)).

Considering all 60 measurements of distance from the MRI-centroid in each modality (within-subject accuracy), there was a significant effect of positioning method (F(2,52) = 22.95, p < 0.0001). Post-hoc comparison using Tukey’s multiple comparison showed accuracy was significantly better for the MRI-guided over the BeamF3 method (M = −11.0, p < 0.0001), for the headset over the BeamF3 method (M = 5.1, p = 0.008), and for the MRI-guided over the headset method (M = −6.0, p = 0.0016).

We next assessed the significance of the differences in reproducibility, calculated as the distance of each measurement from the centroid of its own modality, for each of the 20 subjects. For the BeamF3 method, the mean distance from BeamF3-centroid was 4.2 ± 1.4 mm, the minimum distance was 1.3 mm, and the maximum distance was 7.1 mm. For the headset method, the mean distance from headset-centroid was 1.4 ± 0.7 mm, the minimum distance was 0.5 mm, and the maximum distance was 3.2 mm. (table 1, figure 4(D); N.B., for MRI-neuronavigation, values are as already given above). Reproducibility showed significant differences across navigation methods (F(2,57) = 27.35, p < 0.0001). Post-hoc comparisons via Tukey’s multiple comparison showed that reproducibility was significantly better for the headset over BeamF3 method (M = 2.8, p < 0.0001) and for the headset over the MRI-guided method (M = 2.3, p < 0.0001), but not significantly better for the MRI-guided over the BeamF3 method (Tukey’s multiple comparison, M = −0.5, p = 0.45).

Across each of the 20 subjects, the average time required to complete the procedure was 113.4 ± 14.9 s for MRI-guidance, 122.9 ± 54.4 s for the BeamF3 method, and 13.0 ± 5.3 s for the headset method (table 1, figure 4(E)). The time requirements were significantly different between the groups (F(2,52) = 69.42, p < 0.0001). Post-hoc Tukey’s comparisons indicated that the time requirement was significantly shorter for the headset method over either the MRI-guided method (M = 100.4, p < 0.0001) or the BeamF3 method (M = 109.9, p < 0.0001), but not significantly different for the MRI-guided versus the BeamF3 method (M = −9.5, p = 0.63).

Discussion

Although MRI-guided neuronavigation can be considered the gold standard for maximizing the accuracy of rTMS coil placement, logistical obstacles may render this technique impractical in many therapeutic settings, particularly for clinics outside academic or research centers. Scalp-based heuristics such as BeamF3 [19] are available for specific commonly-used targets such as the DLPFC, and may show fairly good concordance with MRI-guided neuronavigation [20] where the latter is unavailable. Yet, scalp-based heuristics are dependent on operator skill, and reproducibility may be variable both across operators and across repeated measurements. Thus, a niche exists for a technique that is less logistically demanding than frameless MRI-guided stereotaxy, yet more reproducible and less reliant on operator skill than manual scalp measurement heuristics.

The 3D-printed headset described here are offered as a novel neuronavigational technique for achieving high reproducibility and ease-of-use, with low cost and logistical burden. The 3D scanner and printer used here are commercially available at a collective cost <$5000, with the PLA material cost at <$4 per headset, and a single system is capable of fabricating 1 headset per day. MRI is not required, and the head-scanning procedure is rapid and straightforward. Placement of the headset takes on the order of 15 s, versus ~2 min for scalp measurement or MRI-guidance with an experienced operator. Although the fabric caps commonly used for clinical rTMS may also be donned rapidly, they still require the operator to position the coil manually over the marked region and maintain it there throughout treatment, leaving open the possibility of operator error in positioning the coil, or coil drift during treatment due to subject head motion. In contrast, the form-fitted headset’s coil bracket ensures that the coil is in the same orientation and position on every session, while minimizing relative motion between the coil and the patient, with less dependence on operator skill and vigilance.

Regarding accuracy (figure 4(A)), the results of the present study showed that the 3D headsets are significantly closer to the MRI-guided gold standard than standard BeamF3 measurements, albeit less accurate than MRI-guidance itself (as might be expected given that MRI-guidance was used to define the site of optimal accuracy). Regarding reproducibility, the 3D headsets actually showed significantly better consistency of positioning than either the scalp-landmark or the MRI-guided methods (figure 4(B)). The latter finding may be explained by the tolerances of calibration for MRI-neuronavigation using the frameless stereotaxy system, which allows up to 3 mm error variance in coil position calibration and up to 3 mm error variance in coil-to-target matching during stimulation. In contrast, the within-subject reproducibility of stimulation site for the form-fitted headset was measured at 1.4 mm ± SD0.7 mm in the present study.

Overall, the headset method permits accuracy intermediate between scalp-landmark and MRI-neuronavigated methods, while achieving reproducibility superior to either method. Of note, a ‘hybrid method’ could potentially achieve both the high accuracy of MRI-guidance, and the high reproducibility of the headset method, by 3D printing a headset that is centered on an MRI-specified site of stimulation, rather than on the site specified by the BeamF3 heuristic, as in the present study. This straightforward extension is beyond the scope of the present study, but would require only minor modification of the CAD algorithm.

Another straightforward extension of the CAD algorithm would be to substitute alternative coil brackets to hold other rTMS coils. In principle, any coil of any shape by any manufacturer (with the exception of whole-head helmet coils) could be readily accommodated. Going beyond rTMS coils, it is also straightforward to substitute brackets for tES electrodes into the headsets. tES headsets, being closely formed to the patient’s head and easy to use, could likely
be emplaced correctly by the patients themselves, without the need for an in-clinic operator. This would enable simple, reliable, accurate self-emplacement of tES electrodes by patients without requiring a clinic visit. 3D-printed tES headsets could thus solve one of the potential objections to home-based tES, by ensuring user-friendly, reproducible electrode placement at any desired location on the scalp, once the accurate fit of the headset has been verified in clinic in an initial instructional session. tES brackets printed from an electrical insulator (such as the PLA material of the present study) could also potentially be used to sculpt the electrical field applied to the scalp by the tES electrodes. Similarly, since PLA is non-transparent, specially designed PLA brackets might also be used with optical laser stimulation to sculpt the light field and modulate the dose and depth of stimulation.

Limitations of the present study include the relatively small sample size for the validation, which precludes an empirical re-assessment of the concordance between BeamF3 and MRI-guided methods. As per Mir-Moghtadaei et al [20], a larger sample of ~100 would have enabled empirical derivation of a scalp heuristic for left DLPFC; however, such work is beyond the scope of the present study. Another limitation is that the method applies specifically to left DLPFC; other scalp targets would require adjustment of the algorithm and a different design for the headset, depending on location. Another limitation is that the CAD algorithm is designed for a specific coil, although extension to other coils or to tES electrodes is certainly feasible, as above. Finally, a practical limitation is that some expertise is required in MATLAB and in 3D printer maintenance and operation in order to correctly fabricate the headsets. A more user-friendly version with a fully integrated
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