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Spectral lines of extreme compact objects

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We study the absorption of scalar fields by extreme/exotic compact objects (ECOs) – horizonless alternatives to black holes – via a simple model in which dissipative mechanisms are encapsulated in a single parameter. Trapped modes, localised between the ECO core and the potential barrier at the photonsphere, generate Breit-Wigner-type spectral lines in the absorption cross section. Absorption is enhanced whenever the wave frequency resonates with a trapped mode, leading to a spectral profile which differs qualitatively from that of a black hole. We introduce a model based on Nariai spacetime, in which properties of the spectral lines are calculated in closed form. We present numerically-calculated absorption cross sections and transmission factors for example scenarios, and show how the Nariai model captures the essential features. We argue that, in principle, ECOs can be distinguished from black holes through their absorption spectra.

Introduction. The recent detections of gravitational waves (GWs) have strongly reinforced the position of general relativity (GR) as the canonical theory of gravity [1–4]. In the GW150914 event, the loudest thus far, no significant evidence for violations of GR has been found [5, 6], and the dynamics appears fully consistent with the coalescence of two black holes (BHs). In 2017, alternative theories of gravity were strongly constrained by the near-coincident arrival of GWs and gamma rays from a binary neutron star inspiral [7].

GW signals probe the spacetime up to the photonsphere, rather than the event horizon itself, as has been argued [8]. The possibility lingers that the progenitors of e.g. GW150914 are extreme/exotic compact objects (ECOs) which mimic properties of BHs. The next decade will see a concerted effort to address the question of whether event horizons truly form in nature; and whether horizons are ‘clean’, i.e., free from non-canonical features such as firewalls [9]. This effort necessitates a clear understanding of the generic properties of horizonless alternatives to BHs.

The standard picture for the evolution of BH binaries is divided into three main stages: (i) Inspiral, (ii) merger, and (iii) ringdown. The ringdown signal can be modelled through a combination of the final object modes, known as quasinormal modes [10]. The ringdown phase for signals with large signal-to-noise ratio can shed light on the nature of the remnant compact objects, and on gravity itself [11].

There are several proposals for alternatives to BHs, that nevertheless produce ringdown signals that closely mimic those of BHs in GR at early times. To assess these alternatives, it is necessary to analyse the subtle differences between the signatures of BH mimickers and true BHs [8]. Generically, compact horizonless objects ($R < 3M$) possess long-lived modes, which are related to trapped $\omega$-modes [12–14]. These modes are associated with a minimum in the effective potential, that (in the eikonal limit) corresponds to a stable null geodesic present within the stellar configuration [14]. In GW binaries, long-lived modes are expected to leave imprints in the phenomenology, most notably in resonant configurations [15–17].

Heuristically, one may categorize ECO candidates as either UltraCompact objects (UCOs) or Clean Photonsphere Objects (ClePhOs) [18]. UCOs are compact enough such that the spacetime presents a photonsphere ($R < 3M$). ClePhOs possess not only a photonsphere, but also a spectrum of modes trapped within it that may provide a clean signal. UCOs and ClePhOs may appear in near-horizon modifications of the gravitational collapse [19, 20], or as exotic compact objects such as gravastars (gravitational vacuum stars) [21] and boson stars [15, 22]. There has been much recent interest in searches for evidence of echoes from ECOs in gravitational-wave data (see Ref. [23], and Ref. [24] for a critique).

As Fig. 1 illustrates, the key characteristic of a ClePhO is an effective ‘cavity’ in the high-redshift region between the object’s surface and the maximum of the potential barrier defining the photonsphere. For static objects of mass $M$, the cavity width is characterised by the ‘tortoise coordinate’ of the surface, $x_0 = x(R)$, with

$$x(r) \equiv r + r_h \ln(r/r_h - 1) + \kappa,$$

choosing the constant $\kappa = -(3 - 2 \ln 2)/M$ such that the peak of the potential is at $x = 0$ (in the eikonal limit). We adopt units $G = c = 1$, such that the event horizon lies at $r = r_h = 2M$, and the surface lies at $R = r_h + \delta R$. The cavity is associated with long-lived modes that correspond to poles of the scattering matrix $S$ [25–27]. Heuristically, the width of the cavity determines the angular-frequency-spacing of the trapped modes: $\text{Re} \, \omega_n \approx \pi (n + 1/2)/|x_0|$, where $n$ is the overtone number. Speculatively, a ClePhO may possess an effective cavity of width up to $x_0 \sim -185M$, if its surface (or firewall) lies at the Planck scale beyond the horizon ($\delta R \sim 10^{-40}M$).

In this work we highlight a key observational signature of a ClePhO that would unambiguously distinguish it from a true BH. The absorption cross section of a ClePhO is characterized (in principle) by spectral lines that are somewhat reminiscent of atomic/molecular absorption lines. These spectral lines arise directly from the trapped-mode spectrum $\{\omega_n\}$, as we shall show. An observation of absorption lines would reveal not just the width of the ClePhO cavity, but also the degree of dissipation at the ClePhO surface (or firewall), as

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shows the effective potential for the Schwarzschild condition on the field at the object’s surface. The Schwarzschild BH [solid] and Pöschl-Teller (PT) [dashed] potentials are shown as a function of tortoise coordinate $x$ in Eq. (1). Shaded regions indicate the surface radii for classes of compact stars.

the latter determines the width of the spectral lines. We show below that the modal transmission amplitudes $\Gamma_{\omega l}$ bear the imprint of the Breit-Wigner-type resonances [28], familiar from e.g. nuclear scattering theory [29, 30], viz.,

$$\Gamma_{\omega l} \approx \sum_{n=0}^{\infty} \frac{A_{n}}{(\omega - Re \omega_{n})^{2} + (Im \omega_{n})^{2}}. \tag{2}$$

Our aim below is to obtain closed-form approximations for the mode spectrum $\omega_{n}$ and amplitudes $A_{n}$; and to compute the absorption cross section numerically for some example scenarios.

Model and assumptions. Spherically-symmetric spacetimes can be generally described by the line element

$$ds^{2} = -A(r)dt^{2} + B(r)^{-1}dr^{2} + r^{2}d\Omega^{2}. \tag{3}$$

The functions $A(r)$ and $B(r)$ are determined by solving the field equations for a given theory within the star. In the standard picture, GR is assumed to be valid outside the compact object, with the solution given by the Schwarzschild spacetime, i.e., $A(r) = B(r) = 1 - 2M/r$, where $M$ is the total mass of the ECO. The inner structure of the spacetime depends on the model; this can be translated into a boundary condition on the field at the object’s surface.

The field may be written in separable form as

$$\Phi = \sum_{l=0}^{\infty} a_{\omega l} \frac{u_{\omega l}(r)}{r} Y_{l0}(\theta, \varphi)e^{-i\omega t}, \tag{4}$$

where $a_{\omega l}$ are coefficients. We choose boundary conditions such that, far from the ECO, $\Phi$ is the sum of a (distorted) planar wave and an outgoing scattered component [31].

The scalar field is governed by the Klein-Gordon equation

$$\Box_{g} \Phi = 0. \text{ Inserting Eq. (4) leads to a radial equation}$$

$$\left[ \frac{d^{2}}{dr^{2}} + \omega^{2} - V(r) \right] u_{\omega l} = 0, \quad V \equiv A \left[ \frac{l(l+1)}{r^{2}} + (AB)^{\prime} \right]. \tag{5}$$

Figure 1 shows the effective potential for the Schwarzschild spacetime.

For a compact object, each mode admits a regular power series expansion near its center, $u_{\omega l} \sim r^{l+1} \sum b_{k} r^{2k}$. Typically, one extends the solution – via numerical integration or otherwise – to the surface at $r = R$, and then extracts $(u_{\omega l}(R), u'_{\omega l}(R))$ to place a boundary condition on the Schwarzschild exterior. Here instead we shall start at the surface with a heuristic boundary condition, allowing us to draw a veil over the (model-dependent and unknown) interior structure. For a ClePhO, $R = r_{h} + \delta R$, where $\delta R \ll r_{h}$, and thus $V(R) \approx 0$. Thus, we may write

$$u_{\omega l}(r \approx R) \approx e^{-i\omega \delta R} + (Ke^{-2i\omega x_{0}}) e^{+i\omega \delta R}. \tag{6}$$

where $K$ is a parameter characterising the reflectivity of the body [32]. An advantage of this parametrization is that we may impose Dirichlet-type ($K = -1$), Neumann-type ($K = 1$), or BH-type ($K = 0$) boundary conditions through a single parameter $K$.

If the field interacts directly with the ECO itself, by inducing bulk motion or through some non-trivial coupling, this will likely lead to dissipative friction-like effects. To incorporate dissipation mechanisms, we consider compact objects with $|K| < 1$ (with $|K| \approx 1$ for weakly-interacting media). Our aim here is to build a heuristic understanding of the role of dissipation, without a specific model of the underlying physics. (In general, $K$ and $x_{0}$ could vary with $\omega$, but we do not consider that case here).

Absorption by an ECO. The absorption cross section for a spherical-symmetric object is

$$\sigma_{abs}(\omega) = \pi \frac{\omega}{\omega^{2}} \sum_{l=0}^{\infty} (2l + 1) \Gamma_{\omega l}, \tag{7}$$

where $\Gamma_{\omega l}$ are modal transmission factors defined by

$$\Gamma_{\omega l} \equiv 1 - \left| \frac{A_{\omega l}^{+}}{A_{\omega l}} \right|^{2} = 1 - \frac{K^{2}}{|A_{\omega l}|^{2}}. \tag{8}$$

Here $A_{\omega l}^{+}$ are modal constants obtained from solutions of the radial equation (5) obeying the ECO boundary conditions,

$$u_{\omega l}^{in} = \begin{cases} e^{-i\omega x}, & x \to x_{0}, \\ A_{\omega l}^{-} e^{-i\omega x} + A_{\omega l}^{+} e^{+i\omega x}, & x \to \infty, \end{cases} \quad u_{\omega l}^{up} = \begin{cases} e^{-i\omega x}, & x \to -\infty, \\ A_{\omega l}^{-} e^{-i\omega x} + A_{\omega l}^{+} e^{+i\omega x}, & x \to +\infty, \end{cases} \tag{9}$$

where $x_{0}$ defines the surface and $x$ is defined in Eq. (1). The mode $u_{\omega l}^{s}$ is a linear combination of the standard ‘in’ and ‘up’ solutions of the BH scattering problem, viz.,

$$\begin{align*}
  u_{\omega l}^{in} &= \begin{cases} e^{-i\omega x}, & x \to -\infty, \\ A_{\omega l}^{-} e^{-i\omega x} + A_{\omega l}^{+} e^{+i\omega x}, & x \to +\infty, \end{cases} \quad u_{\omega l}^{up} = \begin{cases} e^{-i\omega x}, & x \to -\infty, \\ A_{\omega l}^{-} e^{-i\omega x} + A_{\omega l}^{+} e^{+i\omega x}, & x \to +\infty. \end{cases}
\end{align*}$$

FIG. 1. Effective potential for the wave propagation in compact stars. The Schwarzschild BH [solid] and Pöschl-Teller (PT) [dashed] potentials are shown as a function of tortoise coordinate $x$ in Eq. (1). Shaded regions indicate the surface radii for classes of compact stars.
From the Wronskian relations between $w_{\omega l}^{in}$, $w_{\omega l}^{up}$ and $w_{\omega l}^{ec}$, it follows that $A^+ = A^+_{\omega l} = A^+_{\omega l} - A^- A^+_{\omega l} = K e^{-2i\omega x_0}$ and

$$A^- = A^-_{\omega l} - A^+_{\omega l} K e^{-2i\omega x_0}. \quad (11)$$

By inserting Eq. (11) into Eq. (8), one may compute ClePhO transmission factors directly from the standard BH ‘up’ mode coefficients. The transmission factors $\Gamma_{\omega l}$ are singular where $A^-_{\omega l}$ is zero, i.e., where

$$A^+_l/A^-_l = K e^{-2i\omega x_0}. \quad (12)$$

This condition defines a spectrum of (complex) modes $\{\omega_{ln}\}$ for a compact body.

Close to a trapped-mode frequency $\omega_{ln}$, one has $A^-_{\omega l} \approx (\omega - \omega_{ln}) \partial A^-_{\omega l}/\partial \omega_{ln}$. By using Eq. (8) it follows, for real frequencies, that $\Gamma_{\omega l}$ takes the Breit-Wigner form (2), with $A_{ln} = \{1 - K^2\} |\partial_x A^-_{\omega l}|^{-2}$. For insight into the spectrum and the amplitude $\hat{A}_{ln}$, we now turn to an approximate model; and then numerical methods.

The comparison problem: Nariai spacetime. We now consider the Nariai spacetime $(dS_2 \times S_2)$ in which the transmission/reflection problem can be solved in closed form. This spacetime, a limit of Schwarzschild-de Sitter, has line element

$$ds^2 = -F(y)dt^2 + F^{-1}(y)dy^2 + d\Omega_2^2,$$

where $F(y) = 1 - y^2$ and $y \in (-1,+1)$. The Klein-Gordon equation $(\Box + \frac{1}{8} R)\Phi = 0$ generates the radial equation

$$\frac{d^2}{dx^2} + \omega^2 - L^2/\sinh^2(x) \hat{u}_{\omega l} = 0, \quad (14)$$

where $\hat{x} = \tanh^{-1} y$ and $L \equiv l + 1/2$. The potential barrier, which is of Pöschl-Teller type [33], is similar in structure to the Schwarzschild barrier (see Fig. 1), with a closest match for $x = x/\nu$, $\hat{\nu} = \nu \omega$ where $\nu = \sqrt{2\pi M}$. Standard solutions $\hat{u}_{ln}^0$ and $\hat{u}_{ln}^{up}$ are defined by analogy to Eq. (10). These are known in closed form in terms of Legendre functions [34]. The coefficients are

$$A^+_l = \frac{\Gamma(-i\hat{\omega})}{\Gamma(\frac{1}{2} + iL - i\hat{\omega}) \Gamma(\frac{1}{2} - iL - i\hat{\omega})}, \quad (15a)$$
$$A^-_l = \frac{\Gamma(i\hat{\omega})}{\Gamma(\frac{1}{2} + iL + i\hat{\omega}) \Gamma(\frac{1}{2} - iL + i\hat{\omega})}. \quad (15b)$$

where $\Gamma(\cdot)$ denotes the Gamma function. As the Nariai potential is symmetric under $x \leftrightarrow -x$, it follows that $\hat{A}^+_l = \hat{A}^+_l$.

A closed-form expression for the transmission factor $\Gamma_{\omega l}$ is found by inserting Eqs. (15) into Eqs. (11) and (8).

The standard BH quasinormal modes are defined by $\hat{A}^-_{\omega l}/\hat{A}^+_{\omega l} = 0$, yielding a spectrum $\omega_{ln} = \pm (l + 1/2) - i(n + 1/2)$, where $n \in \mathbb{Z}$. Conversely, compact star trapped-modes are defined instead by Eq. (12), yielding the condition

$$\frac{\Gamma(-i\hat{\omega}) \Gamma(\frac{1}{2} + iL + i\hat{\omega})}{\Gamma(+i\hat{\omega}) \Gamma(\frac{1}{2} + iL - i\hat{\omega})} = K e^{-2i\hat{\omega}x_0}. \quad (16)$$

In the regime $\hat{\omega} \ll L$, the left-hand side is approximately $-1$, and the spectrum is approximated by

$$\omega_{ln} \approx \frac{\pi(n + 1/2)}{|\hat{x}_0|} + i \ln |K| 2|\hat{x}_0|, \quad (17)$$

i.e., an evenly-spaced spectrum of resonances with approximately constant Lorentzian width set by $\ln |K|$.

To deduce the amplitude $\hat{A}_{ln}$, we use $\partial_x A^-_{\omega l} \approx \hat{A}^+_l - \partial_x \ln \alpha$, where $\alpha = \hat{A}^-_l/\hat{A}^+_l$. The former term dominates over the latter for $\hat{\omega} \ll L$. If $\Re \omega_{ln} > 0$, we may evaluate $|\hat{A}^+_l|^2$ for real frequencies without substantial loss of accuracy, to obtain an expression for the amplitude in the Breit-Wigner formula (2),

$$\hat{A}_{ln} \approx \frac{1 - K^2}{4\nu^2} \sinh^2(\pi \hat{\omega}) \cosh(\pi(L - \hat{\omega})) \cosh(\pi(L + \hat{\omega})). \quad (18)$$

For $L \gg \hat{x}$, the spectral lines are exponentially suppressed, as from Eq. (18) the amplitude scales with $\exp(2\pi \hat{\omega})$ in this regime. The spectral lines become significant for $\hat{\omega} \sim L$.

Numerical method. We computed the absorption cross section $\sigma_{abs}$, given by Eq. (7), and the mode spectrum using numerical techniques. The task, in outline, was to find the transmission factors $\Gamma_{\omega l}$ via Eq. (8) by first solving the radial equation (5) to obtain the ingoing and outgoing coefficients $A^\pm_{\omega l}$ in Eq. (9).

In the region far from the object, the potential $V$ approaches zero (cf. Fig. 1), and the mode can be written as $u_{\omega l} \approx A^- R_{\omega l} + A^+ R_{\omega l}(-\omega l)$, where $R_{\omega l} = e^{-i\Omega n} \sum_{j=0}^{N} B(j)r^{-j}$. The coefficients $B(j)$ were obtained iteratively, by expanding Eq. (5) in powers of $r^{-1}$ in the asymptotic regime. Typically, we truncated at order $N = 15$, guaranteeing numerical convergence of the solution. To obtain the numerical coefficients $A^\pm_{\omega l}$, we integrate the differential equation (5) from the surface of the star up to a region in which $\omega^2 \gg V(r)$, matching the numerical solution onto the asymptotic form above. (We have checked the stability of the solutions by changing the asymptotic radius in the integrations.)

Results. At low frequencies $M\omega \ll 1$, we find via the methods of Ref. [35] that the scalar absorption cross section reduces to

$$\lim_{M\omega \gg 0} \sigma_{abs} = \frac{1 - K}{1 + K} \sigma_{BH}, \quad (19)$$

where $\sigma_{BH} = 2\pi M^2$. Absorption at low frequencies occurs, for example, by fluid accretion onto a moving object [36]. At high frequencies, $\sigma_{abs}$ fluctuates around the value

$$\sigma_{abs} \sim \sigma_{BH}(1 - K^2). \quad (20)$$

Between these limits, there is significant structure in $\sigma_{abs}$.

Figure 2 shows the absorption cross section of ECOs with $\delta R = 10^{-6} M$ for mild ($K = 0.95$) and strong ($K = 0.5$) dissipative effects. In both cases, $\sigma_{abs}$ exhibits distinct peaks.
For a given \( K = 0 \), the transmission factor shows multiple evenly-spaced Breit-Wigner spectral peaks, of approximately similar width. The amplitude of these peaks increases exponentially with \( \omega \), initially, before levelling off at \( 1 - K^2 \) for \( \omega \approx (l + 1/2)/\sqrt{27M} \), i.e., once the energy \( \omega^2 \) exceeds the height of the potential barrier, \( V_{\text{max}} = L^2/(27M^2) \). At this point the peaks become wider and less distinct. These qualitative features were all anticipated in Eqs. (2), (17) and (18).

Figure 4 shows a fit of the Breit-Wigner approximation (2) to \( l = 0, 1 \) and 2 spectral lines in the absorption cross section, after numerically fitting for the amplitude \( A_{\text{in}} \). We see that the Breit-Wigner-like formula is very effective to obtain the behavior near the peaks.

In Fig. 5 we compare the transmission factors for a ClePhO with closed-form expressions obtained for the Nariai spacetime. The plot shows that the latter serves as a robust proxy for the former, and that the Breit-Wigner formula (2) provides a good fit to the spectral lines. Although the positions of the Nariai resonances do not exactly match the positions of the Schwarzschild lines, the spacing is broadly comparable, and the amplitude approximation of (18) captures the essential features: exponential growth followed by a levelling-off at \( \Gamma_{\text{eff}} \sim 1 - K^2 \).
The transmission factors exhibit an approximate shift symmetry under \( l \rightarrow l + 1 \) and \( \omega \rightarrow \omega + 1/\sqrt{27}M \): see Figs. 3 and 5, and Eqs. (17) and (18). A consequence is that, for a given \( l \), the amplitude of the dominant peak is insensitive to \( l \). Hence we expect the amplitude of the spectral lines in \( \sigma_{\text{abs}} \) scale with \( \omega l^{-1} \) at high frequencies, allowing spectral lines to persist at frequencies substantially above \( M\omega \sim 1 \).

**Discussion and conclusion.** We have shown that small dissipative effects in ECOs will produce Breit-Wigner-type spectral lines in absorption cross sections. We have focused on a simple model that allows parametric control over dissipation. We derived exact closed-form results for the Nariai spacetime, which we studied numerically. We have argued that spectral lines are robust features in putative ECOs.

Spectral lines have a typical (angular-frequency) width of \( -\ln|K|/2x_0 \), and a typical spacing of \( \pi/|x_0| \) in the crudest approximation (17). Individual lines are resolvable if the latter exceeds the former; that is, if \( |K| \gtrsim e^{−2\pi} \approx 0.002 \). As Fig. 2 shows, narrow lines produced by weak dissipation \( (K = 0.95) \) would be substantially easier to resolve than wider lines from strong dissipation \( (K = 0.5) \).

We anticipate that the main features of scalar-field absorption will carry across to ECOs perturbed by electromagnetic \( (s = 1) \) and gravitational \( (s = 2) \) fields, with some caveats. First, the \( l < s \) modes are absent in these cases. Second, these fields probe distinct frequency ranges. GWs may have a comparable wavelength to ECOs, such that \( M\omega \sim 1 \). On the other hand, electromagnetic waves will typically be much shorter in wavelength such that \( M\omega \gg 1 \) (e.g. for the CMB and a solar-mass ECO, the dimensionless parameter is \( M\omega \sim 10^6 \)). In the high-frequency limit the amplitude of the spectral lines diminishes with \( 1/M\omega \), presumably limiting their detectability. More speculatively, primordial ECOs of masses \( M \ll M_\odot \), if extant, would produce absorption lines at frequencies in EM bands.

These results may have wider implications for known compact bodies, such as neutron stars with \( R/M \sim 6 \). Although neutron stars do not exhibit a photonsphere, they do have families of fluid modes related to their matter content, with imaginary parts that can be comparable or smaller than the ones shown in Table I, namely the \( f, p \) and \( g \) modes [12]. If one allows energy exchange between the interacting field and the neutron star, spectral lines similar to the ones presented here will also appear. This would also favor the accentuation of weakly-interacting fields, such as dark matter, as well as energy dissipation by GWs into neutron stars (through friction, for instance), whenever the wave frequency mode matches a fluid mode.

Finally, we note that ECOs may also generate emission lines in two ways. First, as \( \Gamma_\omega \) is a key ingredient in the Hawking radiation calculation, one might anticipate significant deviations from the near-blackbody spectrum for ECOs. Second, rotating ECOs suffer an ergoregion instability caused by superradiance [37], leading to the appearance of trapped modes that grow, rather than decay, with time [32]. In the planar-wave scattering scenario, stimulated excitation of the ergoregion instability should generate emission lines.

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