Modelling the galaxy–halo connection with machine learning

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ABSTRACT
To extract information from the clustering of galaxies on non-linear scales, we need to model the connection between galaxies and haloes accurately and in a flexible manner. Standard halo occupation distribution (HOD) models make the assumption that the galaxy occupation in a halo is a function of only its mass, however, in reality; the occupation can depend on various other parameters including halo concentration, assembly history, environment, and spin. Using the IllustrisTNG hydrodynamical simulation as our target, we show that machine learning tools can be used to capture this high-dimensional dependence and provide more accurate galaxy occupation models. Specifically, we use a random forest regressor to identify which secondary halo parameters best model the galaxy–halo connection and symbolic regression to augment the standard HOD model with simple equations capturing the dependence on those parameters, namely the local environmental overdensity and shear, at the location of a halo. This not only provides insights into the galaxy formation relationship but also, more importantly, improves the clustering statistics of the modelled galaxies significantly. Our approach demonstrates that machine learning tools can help us better understand and model the galaxy–halo connection, and are therefore useful for galaxy formation and cosmology studies from upcoming galaxy surveys.

Key words: methods: numerical – galaxies: haloes – large-scale structure of Universe – cosmology: theory.

1 INTRODUCTION
As we aspire to solve some of astronomy’s toughest challenges, such as constraining cosmological parameters, measuring neutrino masses or determining the nature of dark energy, the community has planned galaxy surveys of unparalleled depth [e.g. Euclid (Tutusaus et al. 2020) and DESI (Collaboration et al. 2016)]. These surveys will provide us with far more accurate and precise measurements of the large-scale distribution of matter and will primarily use galaxy clustering statistics to study galaxy formation and cosmology. We therefore want to make sure that our statistical models and systematic uncertainties are robust enough to handle the precision needed to achieve an accuracy of order 1 percent.

An important step in fully realizing the statistical power of future surveys is to achieve a precise understanding of the galaxy–halo connection. One such example is the standard halo occupation distribution (HOD) model (Peacock & Smith 2000; Scoccimarro 2000; Seljak 2000; Berlind & Weinberg 2002), which predicts the number of galaxies that reside within a dark matter halo, and in its simplest form depends only on halo mass. We can use the number of galaxies per halo given by the HOD model as weights assigned to haloes for statistics in order to predict the clustering of galaxies.

However, the clustering of haloes does not trace the distribution of matter exactly but is instead ‘biased’ relative to it in a manner that depends on properties of the halo beyond simply its mass (so-called halo assembly bias). Furthermore, the galaxy occupancy per halo has also been found to depend on more than just halo mass (Norberg et al. 2001; Zehavi et al. 2002). Thus, in order to recover the true clustering bias of galaxies (as predicted, say, by hydrodynamical simulations) relative to the underlying matter distribution, we need to also assign galaxy occupation based on secondary halo parameters other than halo mass.

Several studies have tried to incorporate secondary halo parameters into an HOD framework to account for the effects of assembly bias. Halo concentration, for example, has been a popular secondary parameter (Croton, Gao & White 2007; Paranjape et al. 2015; Wechsler & Tinker 2018; Vikili & Hahn 2019; Kobayashi et al. 2020), although numerous recent studies have shown that the environment of the haloes plays a significant role in determining the galaxy distribution (AbbAs & Sheth 2007; Pujol & Gaztañaga 2014; McEwen & Weinberg 2018; Hadzhiyska et al. 2020a,c, 2021; Xu, Zehavi & Contreras 2020; Yuan et al. 2020; Salcedo et al. 2020b,c). There also have been multiple prescriptions for including the halo environment in the HOD model (McEwen & Weinberg 2018; Hadzhiyska et al. 2020b; Salcedo et al. 2020a, b, c; Xu et al. 2020; Yuan et al. 2020).
High-resolution hydrodynamical simulations such as IllustrisTNG (TNG; Springel 2010; Nelson et al. 2018) give us a plausible prediction of what the galaxy–halo connection should be and can therefore be used as a testing ground for theory. By identifying observables that will best allow us to model galaxy assembly bias we may improve upon our current models and test their statistical power against hydrodynamical simulations.

We have two primary goals in this work:

(i) Determine which secondary halo properties, in addition to halo mass, best model the galaxy–halo connection. Because there is no first-principles explanation as to which properties to use, we model the number of galaxies using machine learning (ML) by approximating the function

\[ N_{\text{galaxies}} = f(M_{\text{halo}}, \{I_{\text{halo}}\}), \]

where \(M_{\text{halo}}\) is the total mass of the halo and \(\{I_{\text{halo}}\}\) is the set of various secondary halo properties: the overdensity and anisotropy of its environment at various scales, its concentration, spin, velocity dispersion, and so on. The high dimensionality of the input space makes this a complex and challenging problem. Correlations between different input parameters (i.e. the concentration of a halo is related to its assembly history and its environment) further add to the difficulty.

(ii) Augment the standard HOD model with simple equations that incorporate the effects of the secondary halo properties. As central and satellite galaxies can have a different dependence on halo properties, we train over models separately for centrals and satellites throughout this paper. It is worth noting that our approach is similar to Wadekar et al. (2020) who used ML to model the neutral hydrogen content of the halo as a function of halo mass and secondary properties.

The layout of the paper is as follows. In Section 2, we describe the simulations, our benchmark model, how we used ML algorithms to obtain augmented models, the summary statistics used and a description of the secondary halo properties considered in this work. In Section 3, we present our results. Section 4 provides discussion on how our work compares to previous studies and describes the limitations of our methods. In Section 5, we summarize our findings and conclude.

2 METHODS

2.1 IllustrisTNG simulation

The Next Generation Illustris, IllustrisTNG (hereafter, TNG) (Marinacci et al. 2018; Naiman et al. 2018; Nelson et al. 2018, 2019a,b; Pillepich et al. 2018; Springel et al. 2018; Pillepich et al. 2019) are cosmological, hydrodynamical simulations run with the AREPO code (Springel 2010; Weinberger, Springel & Pakmor 2020), which utilizes a hybrid tree/particle-mesh scheme to solve for gravitational interactions of dark matter particles and an unstructured, moving mesh to solve the equations of hydrodynamics. Compared to the galaxy formation model of its predecessor, Illustris (Genel et al. 2014; Vogelsberger et al. 2014a,b), the model in TNG has updated implementations of active galactic nucleus feedback (Weinberger et al. 2017) and galactic winds (Pillepich et al. 2018) and incorporates magnetic fields (Pakmor, Marinacci & Springel 2014). The TNG suite consists of three simulation volumes: TNG50, TNG100, and TNG300 each run at three different resolutions. In this work, we use the TNG300-1 simulation, a periodic box of length \(L_{\text{box}} = 205 h^{-1} \text{Mpc} \approx 300 \text{Mpc}\), containing \(2 \times 2500^3\) resolution elements with a mass resolution of \(7.6 \times 10^6 h^{-1} M_\odot\) for baryons and \(4.0 \times 10^7 h^{-1} M_\odot\) for dark matter.

The initial conditions of the TNG suite were generated at \(z = 127\) and assume Planck parameters (Planck Collaboration XIII 2016). Each hydrodynamical simulation (henceforth referred to as FP for ‘full-physics’) also has a counterpart generated from the same initial conditions but evolved with dark matter only (N-body, DMO). Haloes in TNG are identified using the ‘friends-of-friends’ (FOF) algorithm that forms groups by connecting together dark matter particles separated by at most 20 percent of the mean interparticle separation. Subhaloes are identified using the SUBFIND algorithm, which requires that each subhalo contain at least 20 dark matter particles that are gravitationally bound. A galaxy is defined as the constituent baryonic particles (those which make up stars, gas and black holes) associated with the subhalo.

For this work, we take advantage of the initial-conditions matched between FP and DMO simulations to create bijective matches of haloes between the two runs, as outlined in Hadzhiyska et al. (2020c). This allows us to mimic the standard implementation of the HOD for the DMO run by populating its haloes with galaxy occupation numbers per halo mass bin derived from the FP run. Specifically, we use the TNG300-1 DMO simulation populated with its bijectively matched haloes (henceforth TNG300-matchet or TNG300).

2.2 Benchmark model: HOD

The standard HOD model posits that the number of galaxies residing in a halo depends solely on the mass of that halo. We construct the HOD using TNG300 populated with bijectively matched haloes as described in Section 2.1. In order to emulate the kinds of galaxy samples that will be detected by surveys like DESI, we consider luminous red galaxies (LRG-like), which are stellar-mass selected, and emission-line galaxies (ELG-like), which are based on colour cuts and chosen as described in Hadzhiyska et al. (2020b). However, we note that it has been shown that clustering in ELGs is not sensitive to secondary halo parameters. For this reason, we dedicate our discussion primarily to the LRG-like sample and show corresponding results from the ELG-like sample in Appendix C. A more comprehensive study of ELGs will be the subject of a future investigation.

Our LRG-like galaxies are defined as subhaloes with at least \(10^4\) gravitationally bound star particles corresponding to a stellar mass of \(M_* \approx 5 \times 10^{10}[h^{-1} M_\odot]\) and we calculate the HOD for haloes with dark matter mass greater than \(10^{13} h^{-1} M_\odot\), corresponding to a number density \(n_{\text{gal}} \approx 1.4 \times 10^{-3}[h \text{ Mpc}^{-3}]\) for both \(z = 0\) and \(z = 0.8\) samples.

We then fit a five-parameter HOD model, splitting the mean occupation per halo mass into contributions from centrals, \(N_{\text{cen}}\), and satellites, \(N_{\text{sat}}\) as described in Zheng et al. (2005):

\[
N_{\text{HOD}}(M_h) \equiv \frac{1}{2} \left[ 1 + \text{erf} \left( \frac{\log M_h - \log M_{\text{min}}}{\sigma_{\log M}} \right) \right] \quad (2a)
\]

\[
N_{\text{HOD}}(M_h) \equiv \left( \frac{M_h - M_{\text{cut}}}{M_1} \right)^a \quad (2b)
\]

Here, \(M_h = M_{\text{DMO}}\) is the total mass enclosed by a sphere with mean density 200 times the background density of the universe, \(M_{\text{min}}\) is the characteristic minimum mass of haloes that host central galaxies, \(\sigma_{\log M}\) is the width of this transition, \(M_{\text{cut}}\) is the characteristic cut-off scale for hosting satellites, \(M_1\) is a normalization factor, and \(\alpha\) is the power-law slope. The best-fitting parameters for \(\log M_{\text{min}},\)
The mean galaxy occupation of TNG300 as a function of the halo mass. The solid lines are the true occupations of TNG300, the dashed lines are the predicted occupations by the RF using halo mass as a training feature, and the dotted lines are the predicted occupations using the standard HOD mass only model. As a consistency check, we see that the RF is able to predict the mean galaxy occupation fairly accurately.

\[ \sigma_{\log M_{\text{halo}}, \log M_{\text{cen}}, \log M_{\text{sat}}, \alpha} = \{12.64, 0.26, 12.65, 13.65, 1.03\} \text{ for } z = 0.0 \] and \[ \{12.48, 0.26, 12.54, 13.30, 0.95\} \text{ for } z = 0.8 \]

It is important to note that the HOD parameters have been tuned to match the summary statistics like the power spectrum (as is typically done in the case of galaxy survey data analysis), rather than the standard HOD fitted to the halo occupation of the TNG300 data according to halo mass. Our goal here is to test the standard HOD model and improve its accuracy by augmenting with secondary parameters.

Fig. 1 shows the HODs (for centrals, satellites, and combined) constructed using TNG300. We note that this figure is only for 30 per cent of the TNG300 volume, corresponding to the test-set for our ML techniques (see Section 2.3).

### 2.3 ML models

A supervised ML algorithm trains a model by providing it a subset of input variables (henceforth called ‘features’) and output variables (henceforth called ‘target’). The algorithm uses this subset as a training set to learn the relation between the features and the targets. The trained model is then used to predict the targets for a different subset (test set) of features.

In this work, we use two ML algorithms to achieve the aforementioned goals. We use the random forest regressor (RF) to identify secondary halo properties that affect the galaxy–halo connection and we use symbolic regression to augment the standard HOD model with simple equations that incorporate the secondary halo parameters identified by the random forest. Both of these algorithms are described below.

#### 2.3.1 Random Forest

We use the random forest algorithm from the publicly available package SCIKIT-LEARN (Pedregosa et al. 2011). A random RF is a collection of decision trees; each tree is in itself a regression model and is trained on a different random subset of the training data (Breiman 2001). The output from an RF is the mean of the predictions from the individual trees (a single decision tree is prone to overfitting and therefore the ensemble mean of the different trees is used). RFs have been used for applications to various cosmological problems (Lucie-Smith et al. 2018; Nadler et al. 2018; Cohn & Battaglia 2020; Moster et al. 2020; Macesh et al. 2021).

This method has a few key advantages over other ML models: (1) little hyper-parameter tuning is required, (2) it is computationally efficient, and (3) its ensemble characteristic lessens overfitting.

We design 30 per cent of the TNG300 volume as the test set and use the remaining 70 per cent of the volume as the training set, which is used to train the RF to predict \( N_{\text{gals}} \). Because there are no first principles as to which secondary halo properties best describe the galaxy–halo connection, we begin by training a mass only model, and in each subsequent model we implement an additional secondary halo property (halo properties described in Section 2.5). Essentially, the RF will approximate equation (1).

We test the predictive power of our models on the clustering of the haloes by computing the correlation function weighted by the \( N_{\text{gals}} \) predictions of the RF. We compare the different models to TNG300 and apply the properties from the best-performing model for use with symbolic regression, described below.

#### 2.3.2 Symbolic regression

Symbolic regression (SR) is a novel ML technique that approximates the relation between an input and an output through analytic mathematical formulae (Schmidt & Lipson 2009; Wu & Tegmark 2018; Cranmer et al. 2019, 2020; Kim et al. 2019; Liu & Tegmark 2020; Udrescu & Tegmark 2020; Villaescusa-Navarro et al. 2020). The advantage of using SR over other ML regression models like RF or deep neural networks is that it provides analytic expressions that can be readily generalized and that facilitate understanding the underlying physics. Furthermore, SR is shown to outperform other ML models when the size of data set is small (Wilstrup & Kasak 2021). In our case, we first use RF to get an indication of which parameters in the set of \( \{i_k\} \) in equation (1) have the largest influence on \( N_{\text{gals}} \). We then compress the \( \{i_k\} \) set to include only the most important parameters. Finally, as discussed in Section 3.2, we use SR on the compressed set to obtain an explicit functional form to approximate \( f \) from equation (1).

In this study, we use the symbolic regressor based on genetic programming (an algorithm that searches equation space for a best fit) implemented in the publicly available PySR package1 (Cranmer 2020; Cranmer et al. 2020).

#### 2.4 Summary statistics for clustering

The goals of this work are (1) to determine which secondary halo properties, in addition to halo mass, best model the galaxy–halo connection and (2) augment the standard HOD model with simple equations that incorporate the effects of secondary halo properties.

As the goal of this study is to compare the predictive power of these augmented models on the clustering, we use the two-point correlation function in real- and Fourier-space as our summary statistics to determine the best model.

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1https://github.com/MilesCranmer/PySR
2.4.1 Correlation function

We test the predictive power of our models on the clustering of the haloes by computing the two-point correlation function weighted by $N_{\text{gal}}$. For volumes with periodic boundary conditions, we use the natural estimator:

$$\xi(r) = \frac{\langle \text{DD}(r) \rangle}{\langle \text{RR}(r) \rangle} - 1,$$

where $\text{DD}$ is the number of halo pairs found at a separation radius, $r$, and $\text{RR}$ are the number of random points found at the same separation.

We note that for our work utilizing the RF, our training and testing volumes do not have periodic boundary conditions and we use the Landy–Szalay (Landy & Szalay 1993) estimator as follows:

$$\xi(r) = \left( \frac{N_{\text{rand}}}{N_{\text{data}}} \right)^2 \frac{\langle \text{DD}(r) \rangle}{\langle \text{RR}(r) \rangle} - 2 \frac{N_{\text{rand}}}{N_{\text{data}}} \langle \text{DR}(r) \rangle + 1,$$

where $N_{\text{rand}} = 15 N_{\text{data}}$ random points and $\langle \text{DR}(r) \rangle$ is the number of data-random pairs at separation $r$.

2.4.2 Power spectrum

The power spectrum $P(k)$ is the Fourier transform of the correlation function $\xi(r)$. We use the publicly available Pylians3 libraries\(^2\) for calculating power spectra, wherein we first interpolate the galaxies on to a grid using cloud-in-cell (CIC) method and then use FFTs to find Fourier modes. We also subtract shot noise from the power spectrum using

$$p_{\text{SN}} = \frac{\sum_i \left( N_{i,\text{gal}} \right)^2}{\left[ \sum_i N_{i,\text{gal}} \right]^2} V_{\text{box}},$$

where $N_{i,\text{gal}}$ is the galaxy occupation of the $i$th halo and $V_{\text{box}}$ is the volume of the simulation box. As a complement to the results from $\xi(r)$, we will later show in Fig. 9 the $P(k)$ results for scales larger than those compared in the plots for $\xi(r)$.

2.5 Secondary halo properties

TNG provides a model for the galaxy–halo connection. The standard HOD model, as stated above, is dependent solely on halo mass. However, work such as that by Hadzhiyska et al. (2020c) show that there is ~15 per cent discrepancy in the two-point correlation function between standard HOD theory and TNG. One of the objectives of this work is to determine which secondary halo properties reduce the discrepancy. In this section, we outline the various secondary halo properties considered in this study:

(i) Local environment is the large-scale environment in which a halo is embedded. For each halo in the DMO simulation, we define the environment as $f_{\text{env}} = \rho_R / \overline{\rho}$, where $\rho_R$ is the mass density in a sphere of radius $R$ and $\overline{\rho}$ is the mass density in the entire simulation volume. We furthermore use a tophat definition of environment with a smoothing radius at 5 Mpc from the centre of the halo.

The central panels of Figs 2 and 3 examine the effect of environment on the number of satellite and central galaxies, respectively. We see that both satellites and centrals preferentially occupy overdense regions. This may be due to overdense regions experiencing an increased number of mergers (Bose et al. 2019; Hadzhiyska et al. 2020c).

An alternate definition of local environment explored in this work, and discussed in Appendix A, is that of the annulus environment. This definition is similar to that defined above, but limited to the density inside an annulus of $R_{\text{200a}}$ to a radius of 5 Mpc $h^{-1}$ surrounding the halo, where $R_{\text{200a}}$ is the radius of a sphere whose density is 200 times the mean density of the Universe.

(ii) Environmental shear. To quantify the anisotropy, we first calculate a dimensionless version of the tidal tensor as $T_{ij} = \delta^2 \phi_R / \delta x_i \delta x_j$, where $\phi_R$ is the dimensionless smoothed potential field calculated using Poisson’s equation: $\nabla^2 \phi_R = \delta_R / \rho_R$ is calculated by first interpolating the density field on to a grid and then smoothing it in Fourier space by a top-hat filter with radius $R$. Note that for calculating $\delta_R$ or $\phi_R$, we have not assumed spherical symmetry; instead we used the three-dimensional particle distribution in the simulation snapshot. It is convenient to calculate the tidal tensor using the inverse Fourier transform as $T_{ij}(k) = \text{IFT} \{ \delta_R(k) k_i k_j / k^2 \}$ (Paranjape, Hahn & Sheth 2018).

We calculate the tidal shear $q_k$ using\(^3\) (Heavens & Peacock 1988; Catelan & Theuns 1996)

$$q_k^2 \equiv \frac{1}{2} \left[ (\lambda_2 - \lambda_1)^2 + (\lambda_3 - \lambda_1)^2 + (\lambda_3 - \lambda_2)^2 \right],$$

\(^3\)It is worth noting that perturbation theory-based models use a closely related variable $s^2 \equiv 2q_k^2/3$ for studying the non-local bias (e.g. Baldauf et al. 2012; Chan, Scoccimarro & Sheth 2012).

\(^2\)https://github.com/franciscovillaescusa/Pylians3

\(^3\)https://academic.oup.com/mnras/article/515/2/2733/6648805 by University of Durham user on 05 September 2022.
where \( \lambda_i \) are the eigenvalues of \( T_0 \). Note that any spherically symmetric contribution to \( q_L^2 \) is automatically cancelled, so \( q_L^2 \) is sensitive to the anisotropy of the field. We first calculate \( q_L^2 \) at multiple \( R \) (e.g. 0.5 \( h^{-1} \) Mpc, 1 \( h^{-1} \) Mpc,...). We then interpolate over these values in order to calculate the shear at \( R_{200m} \), for consideration in this study.

The dependence of the number of satellites of a halo with its secondary properties is shown in Fig. 2. We find \( N_{\text{Sat}} \) has a strong dependence on the tidal shear (see also Fig. D1 for the dependence of \( N_{\text{Sat}} \) on the radius at which the shear is calculated).

It is worth noting that some studies using SAMs have found an effect of the halo environment on satellites is weak (e.g. McEwen & Weinberg 2018), while some others find a strong effect (e.g. Xu et al. 2020, 2021).

For the non-linear dark matter field (i.e. at small scales), \( q_L^2 \) is strongly correlated with \( \delta_R \) as inferred from Lagrangian perturbation theory (see Paranjape et al. 2018). This is also seen in Fig. 4.

(iii) Concentration characterizes the density distribution of the halo. We use concentration calculated by fitting the NFW (Navarro, Frenk & White 1996, 1997) profile to the halo using the phase space, temporal halo finder, ROCKSTAR (Behroozi, Wechsler & Wu 2013).

(iv) Spin is a measure of the angular momentum acquired by the halo. We adopt the following definition of dimensionless spin, \( \lambda \), as in Bullock et al. (2001)

\[
\lambda = \frac{J_{\text{vir}}}{\sqrt{2}M_{\text{vir}}V_{\text{vir}}},
\]

where \( J_{\text{vir}} \) is the angular momentum inside a sphere of radius \( R_{\text{vir}} \) of mass \( M_{\text{vir}} \) and with halo circular velocity \( V_{\text{vir}} = \sqrt{2GM_{\text{vir}}/R_{\text{vir}}} \).

(v) Velocity dispersion provides the one-dimensional dispersion of dark matter particle velocities associated with the central galaxy of the halo.

Fig. 4 displays the correlations of several halo properties including those discussed above. Examining these correlations aids in choosing properties for use with ML. Halo properties that are only weakly correlated, or not correlated, allow for better interpretation of the RF results, as we see in the next section.

3 RESULTS

We present an analysis of the summary statistics computed with predicted \( N_{\text{gal}} \) by ML algorithms as described in the methods section above.

3.1 Random forest results

As stated in Section 2.4, one of the goals of this work is to determine which secondary halo properties, in addition to halo mass, best model the galaxy–halo connection and reduce the discrepancy between the standard, mass-only HOD, and TNG. Because there are no first principles as to which secondary halo properties best achieve this goal, we utilize the RF to aid in determining these properties. We present the RF results in this subsection, emphasizing that these are using the RF test set, which makes up only 30 per cent of the TNG300 volume.

The RF was able to recover the mass only HODs of TNG300, as seen in Fig. 1, as well as build HOD models incorporating the secondary properties discussed in Section 2.5. Fig. 5 shows that the performance of the RF in predicting the number of galaxies for each halo in the test set is very similar to that of the standard HOD model. Fig. 6 shows the results of our various models constructed with the RF compared to TNG300. We see the \( \sim \)12 per cent discrepancy at \( z = 0.0 \) between the mass only model (green dashed line) and TNG300 (orange solid line). The other dashed colour lines show how we can improve upon our model by incorporating secondary halo parameters. The solid black line shows that using environment and shear in our model most closely matches TNG300, reducing the discrepancy by \( \sim \)9 per cent.

We note that for this study we are only focusing on the two-halo term. All of our satellite galaxies are therefore placed at the halo centre. The effect this has on the correlation function can be seen in the top panel of Fig. 6 for distances less than \( \sim 1 \) Mpc \( h^{-1} \); the correlations in the regime of the one-halo term (multiplied by \( r^2 \) to emphasize effects at different scales) is \( \sim 0 \). The bottom panel of the figure displays the ratios of the correlation functions of our various models against that of TNG300. The steep dip we see between 1 and 2 Mpc \( h^{-1} \) is the effect of transitioning from the regime of the one-halo term to the two-halo term. Here, the ratio of the two quantities is very noisy as both the numerator and the denominator are close to 0.

Fig. 7 is the same as the bottom panel in Fig. 6 but specifically examines the difference between using environmental overdensity and concentration as features for the RF. Previous works have suggested that these two halo properties are influential in the galaxy–halo connection (Artale et al. 2018; Zehavi et al. 2018; Bose et al. 2019). We find that the RF does not estimate any statistically significant improvement to the mass only model by incorporating concentration; however, there is improvement by incorporating environment. Furthermore, we examine three different definitions of environment (Gaussian smoothed, annular, and tophat), and while
Figure 4. The correlations of several halo properties considered for use as features with which to train the RF. The four matrices show the correlations between each of these parameters for haloes in different mass ranges. The top left matrix corresponds to the mass range of haloes considered in this work. The colour bar shows the strength of the correlation between any two parameters, where 1.0 is a perfect positive correlation. As we see in this diagram, the three parameters we consider in our final analysis (mass, environment, and shear) have very little correlation with one another, allowing us to use these as independent parameters.

The RF results suggest that a Gaussian smoothed definition of environment best-matched TNG300, we were unable to converge on a reproducible result with symbolic regression for this definition of environment (discussed further in Section 3.2). We therefore use a tophat definition of environment which gave the second best results, as indicated by the solid black line.

Fig. 8 shows the RF results using the best model, incorporating environment and shear in addition to halo mass, for LRG-like galaxies at two different redshifts. For consistency, the standard, mass only model is shown in a green dashed line, our model is in a solid black line and a perfect agreement between the RF and TNG300 is the solid orange line. The top panels show results at \( z = 0 \) and the bottom panels at \( z = 0.8 \). The RF is able to estimate the contribution of the secondary halo parameters to \( N_{\text{gal}} \), which improves clustering of the mass only model at distances greater than 1.5 Mpc h^{-1} by \( \sim 9 \) per cent at \( z = 0.0 \) and \( \sim 7 \) per cent at \( z = 0.8 \).

### 3.2 Symbolic regression results

The second goal of this work is to augment the standard, mass only HOD with simple equations incorporating secondary halo properties. We chose to implement environmental overdensity and shear, as per the results of the RF, and used symbolic regression (SR) to obtain the augmented equations. We present the analysis of our SR results in this subsection, noting that we utilized the full volume of TNG300 for this final part of the study.

Before we input the various environmental parameters into the symbolic regressor, we re-scale the parameters by using logarithms to shorten the range over which these parameters vary: \( q' = \log_{10}(1 + q_{\text{L/200m}}) \) and \( \delta_{\text{env}}' = \log_{10}(1 + \delta) \) and find

\[
N_{\text{sat}}(M_h) = N_{\text{sat}}^\text{HOD}(M_h) \times (q' - A),
\]

\[
N_{\text{cen}}(M_h) = N_{\text{cen}}^\text{HOD}(M_h) \times \left[ 1 + B(\delta_{\text{env}}' - \bar{\delta}_{\text{env}}') \left(1 - N_{\text{cen}}^\text{HOD}\right) \right].
\]

\( A \) and \( B \) are constants that are fitted simultaneously with the other HOD parameters. The value of the constant \( A \) is fixed such that the total number of satellites in the sample is roughly unchanged. The value of \( B \) is similarly fixed, as well as to keep the minimum and maximum number of centrals 0 and 1, respectively. The results are shown in Fig. 9. The slight discrepancy in the power spectrum at low-\( k \) could be due to finite volume of the TNG box which leads to very few low-\( k \) modes being sampled.

In order to estimate the error bars for the summary statistics, we sample multiple realizations of the galaxy occupation. We use the mean galaxy occupation given by the HOD model and assume the
centrals follow a Bernoulli distribution and the satellites follow a Poisson distribution. We only show the error bars for the standard HOD case as the errors for the symbolic regression case are similar. Note that there is no error contribution from cosmic variance because all the cases are evolved from the same initial conditions.

It is important to note that the expressions in equation (8a) are not unique. We have also found expressions that fit the TNG data better; however, their form is relatively much more complex and are therefore prone to over-fitting. We show the results for these alternative expressions in Appendix A. Furthermore, there is a large degeneracy between the environmental and shear parameters.

3.2.1 AICc comparison

To compare the performance of various models, we calculate the Akaike information criterion corrected for small sample size (AICc). The AICc is an estimator of prediction error and penalizes a model for increased complexity. In other words, the AICc will give a lower score to a “better” model.

Because we are using the two-point correlation function as a measure for the predictive power of our models, we perform the AICc on the correlation function weighted by the predicted counts of our HOD models, not on the HOD models themselves. We note that we calculated the AICc using clustering at the reliable spatial range indicated by the grey-shaded region in Fig. 9, approximately (2.0–20.0) Mpc.

The AICc is given by

$$\text{AICc} = 2p - 2\log{\mathcal{L}} + \frac{(2 \times p \times (p + 1))}{(n - p - 1)},$$

where \(\mathcal{L}\) is the likelihood,

$$\mathcal{L} = \prod_{i=1}^{n} \frac{1}{n} \exp\left\{ \frac{(y_i - \hat{y}_i)^2}{\sigma^2} \right\}.$$
4 DISCUSSION

We have presented an investigation of the galaxy–halo connection, whereby we used ML in conjunction with the 300 Mpc box of TNG (Nelson et al. 2018) to explore the HOD. In this section, we make comparisons to previous studies and discuss the limitations of our methods.

4.1 Comparison to previous studies

We now compare to other works that use ML to model galaxy properties in dark matter haloes.

A recent paper by Xu et al. (2021) (henceforth Xu2021) also models the galaxy occupation, in their case by using a semi-analytic model (SAM) to train a RF to predict \( N_{\text{centrals}} \) and \( N_{\text{satellites}} \) separately and populate an N-body simulation (Millennium). The box size they use is larger than ours (500 \( h^{-1} \) Mpc instead of 205 \( h^{-1} \) Mpc), which allows for more statistics and also comparison of correlation function to larger scales than in this work. They are able to recover the clustering of the SAM and galaxy assembly bias to a high precision by incorporating internal properties and formation history information. We attribute most of the qualitative differences in our RF results, as compared to Xu2021, to the nature of the method in which we map galaxies on to our N-Body simulation (TNG300); namely that we use its matched hydrodynamical simulation. While both SAMs and hydro-sims have been tuned to match observations, comparisons of the two methods in the literature have shown that there are discrepancies between them despite reasonable agreement on galaxy formation (Guo et al. 2016; Mitchell et al. 2018). Additionally, there are differences in the way we implement some of our halo properties, for example, our local environment and environmental shear as described in Section 2.5. Fig. B1 compares our fiducial model to ones with the same secondary halo properties calculated at a range of smoothing scales. Environmental shear is found to be critical term in TNG300, we therefore further look at the efficacy of a shear model augmented with a sample of secondary properties shown to be effective in Xu2021 in Fig. B2. In both instances, we see that there is a reasonable agreement between our fiducial model and other models for TNG300 at the reliable scales. We plan to revisit this work with a larger volume hydrodynamical simulation, with which we will obtain less noise and be able to do a more accurate measurement of clustering from our RF results. Lastly, that we do not use formation properties.

Figure 7. Random forest (RF) results. Similar to Fig. 6 but here we compare different definitions of environment, concentration and the standard mass only model. Concentration results in little improvement compared to the standard mass only model. While a Gaussian smoothed definition of environment (red dashed line) produced results closest to TNG300, we were unable to obtain useful results from the symbolic regression algorithm (discussed in the text). We therefore use a top hat definition of environment (black solid line) for this work.

Figure 8. Results from the random forest (RF). We compare the best resulting model as predicted by the RF, which incorporates mass, environment and shear, shown in the black solid line and a mass only model shown in the green dashed line. We show the clustering for these models at two different redshifts (\( z = 0 \) and \( z = 0.8 \)). Left: Correlation function. The three-parameter model more closely matches TNG300 at \( z = 0.0 \). Right: Power spectrum. We see similar results as the correlation function.
history as a training feature in our work is another difference worth mentioning. A previous study by Hadzhiyska et al. (2020c) showed that formation history is a weak assembly bias candidate in TNG300, we therefore omitted formation history as a parameter.

Furthermore, works such as Agarwal, Davé & Bassett (2018) use ML to populate baryonic galaxies inside dark matter haloes. This work also uses halo properties from cosmological hydrodynamical simulations as training features, such as mass, environment and spin, but differs in that it additionally incorporates properties such as growth history into their training features. Their ML algorithms were able to predict the mean baryonic properties, such as stellar mass, star formation rate, metallicity and neutral and molecular hydrogen masses, while we focus on accurately predicting the clustering of galaxies.

Most notably, the main difference between our work and the aforementioned works is that our goal is to extend what traditional ML algorithms can do, and augment the HOD model itself with equations describing the occupation dependence on secondary halo parameters (for which we used symbolic regression). Our method is similar to that of Wadekar et al. (2020), but we focus on modelling galaxies while they focus on modelling the neutral hydrogen content of the halo.

It is also worth mentioning other approaches to augment the mass-only HOD model. McEwen & Weinberg (2018), Xu et al. (2020) add an additional dependence of secondary halo properties to the HOD equations in equation (2) (e.g. $M_{\text{min}}$, $M_*$) with the halo environment, and their results are comparable to ours.

4.2 Limitations/future work

We used SR to obtain simple equations that incorporated secondary halo parameters based on data from the TNG simulation. Whether or not our equations work with different subgrid physics than used in TNG, however, needs to be tested. There were more complex equations produced by the SR algorithm than the terms we present in our equations; however, we decided on using equations with a simple form that were reproducible in multiple trials of the SR training. In this way, we avoid using models that were prone to overfitting. Furthermore, the RF ability to handle high-dimensional arrays is

![Figure 9](https://academic.oup.com/mnras/article/515/2/2733/6648805)
greater than that of SR; the dimensionality of the input space of SR needs to be relatively small. While we provided the SR algorithm with inputs of \( \sim 5 \) parameters, the simple, reproducible equations we obtained only depend on a single halo parameter. The RF, on the other hand, could be trained with all of the properties discussed in Section 2.5 simultaneously.

We note that we have ignored satellite profiles (i.e. the one halo term) in the clustering by placing all satellites at the centre of the halo. Our method of weighting the correlation function by the predicted number of galaxies from the HOD model is therefore not ideal for the clustering of the satellite galaxies. Hence, we only show scales at the two-halo term, which is relevant for the large scale clustering to be done by upcoming surveys. However, the one-halo term will also be relevant for upcoming redshift surveys, where redshift space distortion effects are sensitive to the phase space distribution of satellites inside the halo. We therefore emphasize the importance of expanding upon this work to include the one-halo term for future study.

Lastly, we note that our volume size is also a limitation in this study. We see in the left-hand panel of Fig. 9 that with our current volume (300 Mpc on a side) we can robustly obtain clustering out to \( \sim 30 \) Mpc. Because future surveys will be able to measure clustering out to more than 100 Mpc, we hope to improve upon our results with future simulations which are planned at the Gpc\(^3\) volume. We also hope that at these larger scales the complexity of the equations will diminish and we will obtain more generalized forms of the augmented HOD terms.

5 CONCLUSIONS

The standard HOD model, which predicts the number of galaxies that reside in a halo, is dependent only on halo mass. However, previous studies, such as those by Croton et al. (2007), Bose et al. (2019), Hadzhiyska et al. (2020c), and Xu et al. (2020), have shown that there is a discrepancy between the occupation predicted by the standard, mass-only HOD model and that predicted by simulations such as IllustrisTNG (TNG). In this paper, we have presented an investigation of the galaxy–halo connection, whereby we used ML in conjunction with the 300 Mpc box of TNG (Nelson et al. 2018) to explore the HOD. We do this for LRG-like luminous red galaxies, which are selected by stellar-mass, at redshift \( z = 0.0 \) and \( z = 0.8 \).

We used halo catalogues created by first matching haloes between the dark matter only and corresponding full physics TNG boxes, then populating the dark matter only box with well-resolved galaxies (TNG300), as described in Hadzhiyska et al. (2020c). Our objectives in this study were to (1) use a random RF to identify secondary halo properties that best reduce the discrepancy between the standard, mass only HOD model and TNG300 and (2) use symbolic regression (SR) to augment the standard HOD model with simple equations that incorporate the secondary halo properties. Some of the secondary halo properties considered in this study include the local environmental, environmental shear, spin and more as defined in Section 2.5. Our ML learning algorithms predicted the number of galaxies (\( N_{\text{gal}} \)) for a given model, which we used as weights assigned to the TNG300 boxes to predict the clustering in the full physics box. We used the predicted clustering as the baseline statistic with which to assess the quality of each augmented HOD model. Our findings are summarized below:

(i) As a consistency check, the RF is able to predict the mean number of centrals and satellites. We are thus able to recover the HODs for TNG300 as shown in Fig. 1.

(ii) Fig. 2 shows that satellite galaxies in high-mass haloes preferentially occupy anisotropic and denser environments.

(iii) Fig. 3 shows that central galaxies in low-mass haloes also preferentially occupy anisotropic and denser environments.

(iv) Fig. 4 reveals that the three parameters considered in our final analysis (mass, environment, and shear) do not have a strong correlation with one another, and were therefore favourable for use as independent parameters.

(v) The RF revealed that incorporating environmental overdensity and shear as training features, in addition to halo mass, resulted in improved prediction of \( N_{\text{gal}} \) than did using halo mass alone, resulting in an improvement of \( \sim 10 \) per cent in the clustering (Fig. 8).

(vi) Symbolic regression (SR) is useful to build flexible HOD models that are motivated by hydrodynamical simulations (our main results are in equations 8a and 8b).

(vii) Incorporating environmental overdensity and shear into the HOD equations results in 10 per cent improvement in the weighted correlation function and power spectrum compared to TNG300 at redshifts \( z = 0.0 \) and \( z = 0.8 \) (Fig. 9).

(viii) The AICc favours a model incorporating three halo properties to a mass only model at both \( z = 0.0 \) (\( \Delta \text{AICc} = 27.2 \)) and \( z = 0.8 \) (\( \Delta \text{AICc} = 26.6 \)).

For future study, it would be interesting to test the dependence of our results on astrophysical feedback parameters. One possibility could be to use the CAMELS suite of simulations (Villaescusa-Navarro et al. 2020) which contain 2000 + hydrodynamical simulations run for different astrophysical feedback and cosmology parameters. Another possibility could be to use SAMs, which are computationally inexpensive galaxy formation models (Somerville et al. 2008).

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DATA AVAILABILITY

The IllustrisTNG data is publicly available at www.tng-project.org, while the scripts and halo property data used in this project are readily available upon request.

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APPENDIX A: ALTERNATE SYMBOLIC REGRESSION EXPRESSIONS

In Section 4.2, we discuss that the equations obtained using SR are dependent on the definition of the halo parameter, i.e. we would obtain slightly different forms of an augmented $N_{\text{cent}}$ expression for a top hat definition of local environment than for an annular definition of local environment. Furthermore, the PySR package outputs a selection of expressions ranked by complexity and loss score. In this appendix, we present some of those alternate SR expressions in equations (A1) and (A2) of this appendix:

$$\xi(r) = \frac{d^{3}N_{\text{vir}}}{2\pi^{2}D^{2}}$$

$$\xi(r) = \frac{N_{\text{cent}}}{N_{\text{vir}}}$$

**Figure A1.** Difference in HODcent alternate equations obtained by symbolic regression (magenta and purple). Our fiducial augmented HOD equation with two different definitions of environmental overdensity (grey dotted and black solid lines).
Table A1. same as Table 1 but for the alternate models.

| MODEL used to weight $\xi(r)$ | AICc scores for alternate models | No. PARAMS | Score $z = 0.0$ | Score $z = 0.8$ |
|--------------------------------|----------------------------------|------------|----------------|----------------|
| HOD $M_{\text{halo}}$         |                                  | 5          | 20.0           | 14.4           |
| + Alternate equation A2(add)   |                                  | 7          | 5.04           | **-17.55**     |
| Annulus Env                    |                                  | 7          | 3.4            | -6.2           |
| + Alternate equation A2(mult)  |                                  | 7          | 2.2            | 11.7           |
| Annulus Env                    |                                  | 7          | **-7.2**       | **12.2**       |
| + equation 8a + 8b             |                                  | 7          |                |                |
| Annulus Env                    |                                  | 7          |                |                |
| + equation 8a + 8b             | Tophat Env (fiducial)            |            |                |                |

\[
N_{\text{sat}} = N_{\text{sat}}^{\text{HOD}}(M_{\delta}) \times \left( q^2 / c_1 \right),
\]

\[
N_{\text{cent}} = N_{\text{cent}}^{\text{HOD}}(M_{\delta}) \times \frac{1}{c_2} \exp(q' + c_3),
\]

\[
N_{\text{cen}} = N_{\text{cen}}^{\text{HOD}}(M_{\delta}) \times (1 + c_4 q' - c_5),
\]

\[
N_{\text{cen}} = N_{\text{cen}}^{\text{HOD}}(M_{\delta}) + (c_6 q' - c_7),
\]

where $c_1$ are constants whose value can be tuned to the particular sample being analysed.

Fig. A1 compares results of our fiducial augmented HOD model (black solid line) to models incorporating either an alternate form of $N_{\text{cen}}$ or alternate definition of the local environment. Those shown in the magenta and purple dotted lines are alternate expressions obtained for an annulus environment. The grey dotted line is our fiducial augmented HOD but with annulus environment as input. We see that these alternate forms are generally consistent with our fiducial model, each bringing the clustering to closer agreement with TNG300 by ~5 per cent −10 per cent. This is reinforced in Table A1, which shows the AICc scores for these alternate models are comparable to our fiducial model.

**APPENDIX B: SENSITIVITY TO PARAMETER DEFINITION**

Fig. B1 shows the RF results for models with same halo parameters as our fiducial model, but with the secondary parameters calculated at various scales. We calculate local environment, $\delta_{\text{env}}$, at three different smoothing scales (1.3, 2.6, 5.0) Mpc, and we calculate environmental shear, $q^2$, at a radius of 1.3 Mpc in addition to that calculated at $r_{\text{200m}}$. We compare the different models in dashed, coloured lines to our fiducial model shown in a black, solid line. We note several other models have reasonable agreement with our fiducial model. We will leave this for further investigation in a near future study, where we will be able to obtain clustering statistics at a wider spatial range using larger volume hydrodynamical simulations.

Fig. B2 explores the efficacy of shear models (shear is found to be a critical term in TNG300) augmented with a sample of secondary parameters found effective in Xu et al. (2021), namely $V_{\text{max}}$ and velocity dispersion. Our fiducial model is shown as a black solid line. Also included is a version of our fiducial model with a Gaussian smoothed (GS) definition of local environment. Dotted lines represent models in which the RF was trained on central galaxies and satellite galaxies separately. Dashed lines and the fiducial model show results for the RF trained on centrals and satellites together. We performed a $\chi^2$ test on these models and found our fiducial model captures clustering closer to TNG300 at the reliable scales (shaded region) with a score of 0.075, with the next best model, with a score of 0.105, being that of $q^2$, $v_{\text{disp}}$, $M_{\text{halo}}$, where centrals and satellites are jointly trained. We emphasize, however, that these are noisy results and a larger volume which would result in more data points would be beneficial for accurate measurement.
colour cuts and star formation rates as described in Hadzhiyska et al. (2020b).

It is important to note that the HOD looks different for ELGs, shown in Fig. C1, compared to LRGs, shown in Fig. 1. We also see in Fig. C1 that the RF was able to recover the galaxy occupations for the ELG-like sample. However, Fig. C2 reveals that incorporating secondary halo parameters has little effect on the clustering for ELGs, consistent with previous studies (Hadzhiyska et al. 2020b); there is a significantly smaller discrepancy ($\sim$3 per cent) from the mass-only model from ELGs as extracted from TNG300. The measurements of the correlation function are also quite noisy because of the small number of available ELGs. We again state the importance of a more comprehensive study of ELGs in future works with better statistics.

APPENDIX D: SUPPLEMENTARY OCCUPATION STATISTICS

D1 Additional dependence of $N_{gal}$ on secondary parameter

Fig. D1 compliments Fig. 2 by showing the dependence of the number of satellites on the radius at which the shear is calculated.

D2 Modelling the HOD scatter

We take advantage of the RF results to try and model the HOD scatter. We estimate the scatter as the standard deviation of the mean number of galaxies per mass bin, and compare $\sigma (N_{gal})/\sigma (N_{TNG})$, where $N_{gal}$ are the number of galaxies per mass bin predicted by the random forest, $N_{TNG}$ are the number of galaxies per mass bin according to TNG300 and $\sigma$ is the standard deviation defined as

$$\sigma_{N_{gal}} = \sqrt{\frac{1}{N_{haloes}} \sum_{i} \left( N_{i}^{\text{true}} - N_{i}^{\text{predicted}} \right)^2} .$$

Fig. D2 conveys that mass (green dashed line) is a poor predictor of the HOD scatter, as the RF was only able to capture $\sim$15 per cent of the scatter using mass alone as a feature. While we did not obtain useful results by incorporating secondary halo properties, there were some minor improvements. There seems to be an interesting tran-

APPENDIX C: RESULTS FROM THE EMISSION-LINE GALAXY SAMPLE

In this appendix, we present the random forest (RF) results using the emission-line galaxy sample (ELG-like) which are selected by...
Figure D2. The HOD scatter predicted by the RF as compared to TNG300. The mass-only model (green-dashed line) is only able to capture \( \sim 15 \) per cent of the scatter. By incorporating secondary halo parameters, environmental overdensity and shear (solid black line), the RF was able to capture \( \sim 30 \) per cent of the scatter for haloes with mass \( > 10^{13} h^{-1} M_\odot \).

We see that at lower masses, models that include \( V_{\text{max}} \) (described in Section 2.5) captured about 5 per cent more of the scatter than mass alone, while all other models only perform as well as the mass-only model. However at masses \( > 10^{13} h^{-1} M_\odot \) models that include shear performed better, with our preferred model, including environmental overdensity and shear (black solid line), capturing \( \sim 30 \) per cent of the scatter.