Mucosal Tolerance to a Combination of ApoB and HSP60 Peptides Controls Plaque Progression and Stabilizes Vulnerable Plaque in Apob\(^{\text{tm25gy}}\)Ldlr\(^{\text{tm1Her}}\)/J Mice

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Abstract

Oral tolerance to auto antigens reduces the development of atherosclerosis in mouse models. However, the effect of immune tolerance to multiple self antigenic peptides in plaque progression and stabilization is not known. We studied the protective effect of mucosal tolerance to peptides from apolipoprotein B (ApoB; 661–680) and heat shock protein 60 (HSP60; 153–163), in combination with diet, in the prevention of atherosclerotic lesion progression and plaque stabilization in Apob\(^{\text{tm25gy}}\)Ldlr\(^{\text{tm1Her}}\)mice. We found that oral administration of five doses of a combination of ApoB and HSP60 peptides (20 \(\mu\)g/mice/dose) induced tolerance to both the peptides and reduced early plaque development by 39.9% better than the individual peptides (ApoB = 28.7%; HSP60 = 26.8%) (\(P<0.001\)). Oral tolerance to combination of peptides along with diet modification arrested plaque progression by 37.6% which was associated with increases in T-regulatory cell and transforming growth factor-\(\beta\) expression in the plaque and peripheral circulation. Reduced macrophage infiltration and tumor necrosis factor-\(\alpha\) expression in the plaque was also observed. Tolerance with continued hypercholesterolemia resulted in 60.8% reduction in necrotic core area suggesting plaque stabilization, which was supported by reduction in apoptosis and increased effectorcytosis demonstrated by greater expression of receptor tyrosine kinase Mer (MerTK) in the plaque. Tolerance to the two peptides also reduced the expression of matrix metalloproteinase 9, tissue factor, calprotectin, and increased its collagen content. Our study suggests that oral tolerance to ApoB and HSP60 peptide combination induces CD4\(^+\)CTLA4\(^+\) Tregs and CD4\(^+\)CD25\(^+\)Foxp3\(^+\) Tregs secreting TGF-\(\beta\), which inhibit pathogenic T cell response to both peptides thus reducing the development and progression of atherosclerosis and provides evidence for plaque stabilization in Apob\(^{\text{tm25gy}}\)Ldlr\(^{\text{tm1Her}}\)mice.

Introduction

Atherosclerosis is a chronic inflammatory disease of the arterial wall characterized by accumulation of lipids and immune inflammatory cells \([1,2,3]\). Inflammation mediated by a pathogenic T-cell response to autologous antigens like modified low density lipoproteins (LDL) and heat shock proteins (HSP) as well as exogenous antigens from pathogens, have been implicated in the initiation of an autoimmune response during atherogenesis \([4,5,6,7,8]\). HSP60 is a highly conserved protein expressed by cells in response to stress. Recently it was shown that HSP60-reactive T-cells can initiate atherosclerosis by recognizing atherogenic HSP60 epitopes in the intima \([9]\). Retention of LDL in the arteries and its modification are early events during atherogenesis which expose neo epitopes from ApoB 100 and Oxidized LDL, initiating inflammatory adaptive T cell response \([7,10]\). Pro-inflammatory Th1 response to these antigens thus predominates during the progression of the disease.

The immune system generates regulatory T cells (Tregs), which actively suppresses immune activation and maintains immune homeostasis \([11,12]\). Imbalance in the pathogenic T cells producing proatherogenic mediators and Tregs with immunosuppressive properties is well established during the development of disease \([2,13,14]\). It is now established that loss of immunological tolerance against antigens in the plaque results in proinflammatory autoimmune response \([15,16]\). Immunotherapy for atherosclerosis is directed toward inducing tolerance to self-antigens mediated by protective antibodies or increasing the number of antigen-specific Treg cells, which can suppress the proatherogenic T-effector cells \([16,17,18,19]\).

Mucosal route of administration is an attractive method of inducing antigen-specific peripheral tolerance to treat autoim-
mune diseases [20]. The effects of tolerance are measured as reduction in systemic T cell response, secretion of the anti-inflammatory cytokines interleukin-10 (IL-10) and transforming growth factor-β (TGF-β), and suppression of antibody response [21]. Several studies have demonstrated effective early reduction of atherosclerosis in hyperlipidemic mouse models by inducing tolerance to modified lipids and peptides derived from apolipoprotein B (ApoB) 100, HSPs 60/65, and β2-glycoprotein (GGP) [22,23,24,25,26,27,28]. However, the effect of immune tolerance on plaque regression and stabilization has not been studied in detail.

Vulnerable plaques are characterized by a thin fibrous cap, higher expression of markers such as calprotectin, (Mrp8/14) [29], matrix metalloproteinases (MMPs), extensive calcification [30], inflammatory cytokines, and apoptosis of intimal cells, leading to an expansion of a lipid-laden necrotic core [31]. Endoplasmic reticulum stress-induced apoptotic cell death in advanced atherosclerotic plaques, coupled with defective clearance (efferocytosis) resulting in the release of proinflammatory and prothrombotic markers, has been reported in several studies [32,33].

We have earlier reported that repeated subcutaneous immunization with a combination of peptide epitopes from ApoB100 and HSP60 could significantly reduce early atherosclerosis development in mice compared to individual peptides [34]. We chose the ApoB peptide based on previous observations that immunization with this peptide (AA 661-680) was atheroprotective [35]. The human HSP60 epitope was chosen based on its sequence similarity with mouse HSP60 and high degree of homology with those from other eukaryotes and prokaryotes. We believe that a multifaceted disease like atherosclerosis should be addressed with multiple antigenic epitopes to have maximum efficacy.

In the present study we show that oral tolerance to a combination of ApoB and HSP60 peptides induces an antigen-specific Treg response, arrests progression of the established plaque, and stabilizes the mature plaque as evidenced by reduction in the necrotic area, apoptosis, and reduction in the expression of plaque vulnerability markers in a double-gene knockout in the necrotic area, apoptosis, and reduction in the expression of plaque, and stabilizes the mature plaque as evidenced by reduction in the necrotic area, apoptosis, and reduction in the expression of plaque vulnerability markers in a double-gene knockout in the necrotic area, apoptosis, and reduction in the expression of plaque vulnerability markers, has been reported in several studies [32,33].

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In the present study we show that oral tolerance to a combination of ApoB and HSP60 peptides induces an antigen-specific Treg response, arrests progression of the established plaque, and stabilizes the mature plaque as evidenced by reduction in the necrotic area, apoptosis, and reduction in the expression of plaque vulnerability markers in a double-gene knockout (ApoB<sup>tm2Sgy/Ldlrtm1Her</sup>) mouse model. This model was chosen as it expresses only ApoB-100 and is deficient in the LDL receptor, neutral buffered phenol (NBF) after embedding in paraffin blocks. Aortic root sections (10 μm) were cut from the hearts embedded in an OCT medium in frozen conditions using a cryotome (Leica CM 1900 UV Cryotome) and from hearts in neutral buffered formalin, and stained with Elastica van Geison (EVG). Total area and area negative acellular area, as described previously [37]. Whole aortas were collected in NBF and used for enface analysis using Oil red-O staining as described previously [38]. Briefly, the aortas were removed, cut open, fixed in 10% buffered formalin, and stained with Oil red-O. The en-face lesion area of the aorta was quantified relative to its surface area using Image-Pro Plus software. Lesion area was expressed as a percentage of total plaque area. Three aortas from each group of mice were collected in RNA later (Ambion, Austin, TX, USA) and snap frozen for RNA extraction.

Methods

Animals

This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA), Ministry of Environment, Government of India and conforms to the Guide for the Care and Use of Laboratory Animals published by the US National Institutes of Health (NIH Publication, 8th Edition, 2011). The protocol was approved by the Institutional Animal Ethics Committee of the Thrombosis Research Institute (Registration Number: 1261/c/09/CPCSEA).

ApoB<sup>tm2Sgy/Ldlr<sup>tm1Her</sup></sup> knockout mice on a C57BL/6 background (Jackson labs) were kept under standard laboratory conditions and fed a normal chow diet (Nutrilab, India) or a high-fat diet (Harlan, TD 96121 Indianapolis, USA). Food and water were administered ad libitum. Tolerance induction was carried out twice in groups of 6–8 mice/group per experiment.

Antigens and Experimental Design

The antigens used were apolipoprotein B -keyhole limpet hemocyanin (ApoB-KLH) peptide (ApoB-100 amino acids 661–680) conjugated to KLH, used at 1 mg/mL, dissolved in phosphate-buffered saline (PBS) and heat shock protein 60-KLH (HSP60-KLH) peptide (HSP60 amino acids 153-163) conjugated to KLH, used at 1 mg/mL, dissolved in PBS (Severn Biotech, Worcester, UK). The two peptides were combined in equal concentrations (10 μg each) and 20 μg of the combination was administered orally, per animal, per dose. KLH (Sigma chemicals, St. Louis, USA) was used as the control, at 20 μg per animal, per dose. Five- to 6-week-old ApoB<sup>tm2Sgy/Ldlr<sup>tm1Her</sup></sup> J mice in a C57Bl6/J background were given five doses of a combination of peptides or KLH. Mice were fed either a chow diet or a diet high in fat and cholesterol (Harlan, TD 96121 Indianapolis, USA (21% fat and 1.25% cholesterol), as described in the figures 1A, 2A and 4A.

Lipid Analysis

Blood was collected by retro-orbital venous plexus under 3% Isoflurane inhalant anesthesia in oxygen as per American Veterinary Medical Association guidelines (June 2007). Lipid concentrations of plasma total were determined using the Cobas FarA II Clinical Chemistry auto analyzer (F. Hoffman La Roche Ltd, Basel, Switzerland), following the manufacturer’s instructions.

Atherosclerotic Lesion Assessment

Quantification of atherosclerotic lesions was carried out as per the protocol approved by the Animal Models of Diabetic Complications Consortium (http://www.diacomp.org). Mice were euthanized humanely using an overdose of isoflurane inhalant anesthetic (15%) as per American Veterinary Medical Association guidelines (June 2007). Mouse hearts were perfused with 10 mL of PBS and collected in either optimal cutting temperature (OCT) medium (Tissue Tek, Leica, Germany) and neutral buffered phenol (NBF). Aortic root sections (10 μm) were cut from the hearts embedded in an OCT medium in frozen conditions using a cryotome (Leica CM 1900 UV Cryotome) and from hearts in neutral buffered phenol (NBF) after embedding in paraffin blocks. For lesion analysis in each mouse, five sections 80 μm apart were stained with Elastica van Gieson (EVG). Total area and area covered with Lesion were calculated using Image-Pro Plus software (Media Cybernetics, Bethesda, MD). Plaque necrosis was quantified by measuring the size of the hematoxylin and eosin-negative acellular area, as described previously [37]. Whole aortas were collected in NBF and used for enface analysis using Oil red-O staining as described previously [38]. Briefly, the aortas were removed, cut open, fixed in 10% buffered formalin, and stained with Oil red-O. The en-face lesion area of the aorta was quantified relative to its surface area using Image-Pro Plus software. Lesion area was expressed as a percentage of total plaque area. Three aortas from each group of mice were collected in RNA later (Ambion, Austin, TX, USA) and snap frozen for RNA extraction.

Immunohistochemical Analysis of Atherosclerotic Lesions

Immunofluorescence on frozen sections was carried out using an indirect immunofluorescence technique. The frozen sections on poly-l-lysine-coated slides (poly-prep-slides Sigma) were permeabilized using 0.2% of Triton X 100 for 30 min, fixed with ice-cold acetone, and blocked with 5% goat serum or 5% rabbit serum depending on the secondary antibody used. Further, the sections were incubated with primary antibodies (Rat Anti-mouse CD68 (AbD Serotech, Oxford, UK) Mouse monoclonal to TNF-α...
Figure 1. Oral Administration of Combination of ApoB and HSP60 Peptides Provides Improved Efficacy against Atherosclerosis Compared to Individual Peptides. A. Experimental design. B. Representative photomicrographs of EVG stained plaque area and its quantitative analysis in aortic sinus of 18 week old ApoB^{tm25gy} LDL^{tm1Her} mice (n = 10 per group). Scale bar represents 200 µm. C. Percentage of CD25^{+}Foxp3^{+} cells (P<0.05) within the CD4 population in spleen at the end of the study using flow cytometry analysis (n = 6 per group).

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(Abcam, Cambridge, UK). Rabbit Polyclonal to TGF-beta Abcam, Cambridge, UK) Mouse monoclonal to macrophage L1 protein calprotectin (Abcam, Cambridge, UK) for 2 h followed by incubation with an appropriate secondary antibody (Alexa-633 Invitrogen, Carlsbad, California, USA) for 1 h. Sections were mounted with Vector Shield. Images were captured using a Leica...
Figure 2. Oral Administration of ApoB-HSP60 Peptides Induces Tolerance to Both Peptides and attenuates inflammation. A. Flow cytometry analysis: Percentage of CD25<sup>+/-</sup> Foxp3<sup>-</sup> cells (**P = 0.035) and CTLA-4<sup>-</sup> cells (**P < 0.0001) within the CD4 population in spleen at the end of the study (n = 6 per group). B. Relative mRNA expression of IFN-γ (**P < 0.0001), TGF-β (**P < 0.005), and CTLA-4 (**P < 0.005) in the ascending aorta quantified by RT-PCR analysis and normalized to GAPDH. Fold-changes in their expression in ApoB+HSP60-tolerized mice relative to controls are shown (n = 5 per group). C. Splenic effector cells were generated from ApoB/Ldlr<sup>−/-</sup> mice immunized subcutaneously with the peptides. Addition of purified Treg cells from oral tolerant mice is indicated at different ratios to effector cells. Proliferation of effector-cell alone is indicated by the white bar; proliferation index represents the percentage carboxyfluorescein succinimidyl ester reduction in culture stimulated with ApoB or HSP60 peptides (10 μg/mL) relative to unstimulated culture (n = 4 per group). *P < 0.05 D. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with CD68 (red) and its quantitative analysis (n = 10 per group). *P = 0.02. E. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with TGF-β (red) and its quantitative analysis (n = 6 per group). *P = 0.02. F. Representative photomicrographs showing calcium deposition (stained red) to the total plaque area using Alizarin Red S for 3 min followed by acetone and xylene treatment and mounted in DPX (mixture of paraffin, toluene, and xylene) and paraffin embedded for histological analysis. The concentrations of interferon (IFN)-γ, interleukin (IL) 10 were also measured in the supernatant by ELISA as per the manufacturer’s instructions (eBiosciences, California, USA). The antibodies used were as follows: Fluorescein isothiocyanate (FITC)-conjugated CD4 (clone RM4-5; eBiosciences, San Diego, CA, USA), allophycocyanin (APC)-anti CD25 (clone PC61.5; eBiosciences), phycoerythrin (PE)-anti-mouse Foxp3 (BioLegend, San Diego, CA, USA), and phycoerythrin (PE)-anti-CD152 (clone UC10-4F10-11), and isotype-matched control antibodies. Intracellular staining of Foxp3 was performed using the Foxp3-staining buffer set (eBiosciences) according to the manufacturer’s instructions. Surface staining was performed according to standard procedures at a density of 1×10<sup>6</sup> cells/100 μL, and volumes scaled up accordingly.

Masson’s Trichrome Staining for Collagen Content

Tissue sections were treated with Bouin’s solution to intensify the final color. Nuclei were stained with Weigert’s iron hematoxylin, and cytoplasm and muscle were then stained with Bielbrich Scarlet-Acid Fuchsin after treatment with phosphotungstic/phosphomolybdic acid. The presence of collagen was demonstrated by staining with anilin blue.

Plasma Cytokine Concentrations

Cytokine concentrations in the plasma of individual mice such as IL-10, TGF-β, IFN-γ, and TNF-α were measured using paired antibodies specific for the corresponding cytokines by ELISA kits, as per the manufacturer’s instructions (eBiosciences, CA, USA).

Apoptosis Assay

Caspace-3 staining was performed using an immunohistochemical staining protocol on paraffin sections of the aortic sinus by Caspace-3 mouse monoclonal antibody reacting to both pro- and caspase-3 and activated Caspace 3 larger subunit (Imgenex San Diego, California, USA) as described in the immunostaining section. Double-staining for αSMA (Abcam, Cambridge, UK) and caspase-3 or F4/80 (Abcam, Cambridge, UK) and caspase-3 were carried out using double indirect immunofluorescence. When counting the numbers of cells in atherosclerotic lesions, the 10 to 12 largest lesions present in aortic root were selected for analysis. Cell numbers were counted, calculated and expressed as the Mean ± SEM.

Cell Proliferation and Cytokine Assays

Cell culture experiments were performed in Rosewell Park Memorial Institute (RPMI) 1640 medium (Bio Whittaker,Walkersville, MD, USA) supplemented with 10% Fetal bovine serum, 2 mM glutamine, 10 mM HEPES (4-[2-hydroxyethyl]-1-piperazinethanesulfonic acid), sodium pyruvate, and antibiotics. X vivo 20 (Lonza, Basel, Switzerland) was used for the assays whenever the supernatants were collected for cytokine analysis. Splenocytes and lymph node cells were passed through a sterile cell strainer, washed twice with Hanks balanced salt solution and plated in culture dishes at a concentration of 1×10<sup>5</sup> cells/mL in RPMI medium and stimulated with 10 μg/mL of concavalin A (ConA; Merck, New Jersey, USA). Culture supernatants were collected at 72 h, acidified by the addition of HCl, and neutralized with NaOH. Transforming growth factor (TGF)-β concentrations were measured by enzyme-linked immunosorbent assay (ELISA) kits, as per the manufacturer’s instructions (eBiosciences, California, USA). The concentrations of interferon (IFN)-γ and interleukin (IL) 10 were also measured in the supernatant by ELISA as per the manufacturer’s instructions (eBiosciences, California, USA).

Functional Immunoassays

To generate effector T-cells, groups of six mice were immunized with either ApoB–KLH peptide or HSP60–KLH peptide (50 μg/
Mucosal Tolerance and Plaque Stabilization

A

| Chow diet | High fat diet | Chow diet |
|-----------|---------------|-----------|
| Birth     | 5-6 weeks     | 8 weeks   |

KLH / ApoB+HSP60

Sacrifice

1.5 weeks 10 weeks

B

Baseline

KLH

ApoB + HSP60

% of lesion in total aortic sinus area

Baseline KLH ApoB+ HSP60

C

Percentage of CD4+CD25+ cells

Foil3 CTLA-4

**

* E

KLH

ApoB+HSP60

CD4 FoxP3 Enlargement

Relative mRNA expression (fold Change)

Foxp3 CTLA4 TGF-β

**

***

D

No of CD4+ Cells/mm² in lesion

No of CD4+FoxP3 cells/mm² in lesion

***
animal) via the subcutaneous route with complete Freund’s adjuvant. The animals were given two booster doses of the same antigen (25 μg/animal) in incomplete Freund’s adjuvant 3 weeks apart. Six days after the last immunization, the splenocytes were collected and used as effector cells. Oral tolerance was induced in a second set of mice as described earlier. The spleen cells were collected from tolerated mice and regulatory T cells were isolated using a CD4+CD25+ regulatory T Cell Isolation Kit (Miltenyi Biotec, Teterow, Germany). The CD4+CD25+ regulatory cells were labeled with 6 μM PKH26 (Sigma chemicals, St. Louis, USA) to discriminate the effector and regulatory CD4 population. The effector cells were labeled with 10 μM CFSE (Sigma chemicals). The effector cells (1×106) and regulatory cells were taken in different ratios, and activated with 10 μg/mL of antigen (ApoB100 peptide and HSP60 peptide). After 5 days of incubation, cells were stained with CD4-APC (eBiosciences, California, USA) [39]. The lymphocytes were gated using forward and side-scatter plots. PKH26 (Sigma, USA)-stained CD4 cells were excluded from the analysis. Proliferation of CD4 effector cells was measured by flow cytometry (Figure 1C). As the lesion area in KLH-treated mice was comparable to PBS, KLH was taken as the control in all experiments (Figure S1B). Since the combination of peptides was more effective in reducing early atherosclerotic development we used only the peptide combination for subsequent experiments.

Real-time Reverse Transcription Polymerase Chain Reaction (RT-PCR) Analysis

Total RNA was extracted from the ascending part of the aorta. RNA was extracted using TRIzol reagent (Invitrogen, Carlsbad, California, USA). RT-PCR was performed with two-step EXPRESS SYBR superscript RT-PCR kit (Invitrogen, Carlsbad, California, USA) using the ABI PRISM 7500 sequence detection system (Applied Biosystems, 7500 real time PCR system) according to the manufacturers protocol using the standard cycling program. Amplification reactions were performed in triplicates from RNA isolated from three mice per experimental group and the fluorescent curves were analyzed with the included software. The sequences of primers used are given in Methods S3.

Statistical Analysis

Data are expressed as Mean ± SEM. Differences between control and treated groups were evaluated by Mann-Whitney test and were and are considered statistically significant at P<0.05. Statistical analyses were performed using Graph Pad prism software version 5.01(GraphPad Software, Inc., La Jolla, CA, USA).
Figure 4. Shift in the Balance between Pro- and Anti-Inflammatory Markers in Association with Plaque Regression. A. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with CD68 (red) and its quantitative analysis (n = 10 per group). **P = 0.025 B. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with TNF-α (red) and its quantitative analysis (n = 6 per group). ***P = 0.001 C. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with TGF-β (red) and its quantitative analysis (n = 6 per group). ***P = 0.003 D. Paraffin-embedded aortic sinus sections stained with Masson’s trichrome (light blue) and quantitative analysis of collagen in lesion area (n = 6 per group). **P = 0.008. Scale bar represents 200 μm. Scale bar represents 50 μm for the immunofluorescence staining.

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Figure 5. Oral Tolerance with Continued Hypercholesterolemia Stabilizes Vulnerable Plaque. A. Experimental design. B. Representative photomicrographs of plaque area stained with EVG and its quantitative analysis in aortic sinus of 26 week old ApoB^{m25γ/LDLr^{m1Her}} mice (n = 10 per group). Scale bar represents 200 μm. C. Percentage of acellular necrotic core area in total plaque area. *P = 0.012. D. Representative photomicrographs showing double immunofluorescence staining of aortic sinus sections with CD4 (green) and Foxp3 (red). Scale bar represents 50 μm. Enlarged region to show double immune staining. Scale bar represents 6.25 μm. Right panel: Number of CD4-positive cells/mm<sup>2</sup> and CD4<sup>+</sup>Foxp3<sup>+</sup> cells/mm<sup>2</sup> (n = 9 per group). ***P < 0.001. E. Percentage of CD25<sup>+</sup>Foxp3<sup>+</sup> cells (*P < 0.009) and CTLA-4 (NS) within the CD4 population in spleen (n = 6 per group). F. Expression of mRNA of Foxp3 (**P = 0.003), CTLA-4 (P = NS), and TGF-β (**P = 0.005) in the ascending aorta quantified by RT-PCR and normalized to GAPDH. Fold-changes in their expression in ApoB+HSP60-tolerized mice relative to controls (n = 4 per group).

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Figure 6. Reduction in Plaque Vulnerability Markers in Tolerized Mice. A. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with MMP9 (red) and its quantitative analysis (n = 10 per group). *P = 0.035 B. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with tissue factor (red) and its quantitative analysis (n = 6 per group). *P = 0.028 C. Representative photomicrographs showing immunofluorescence staining of aortic sinus sections with calprotectin (MRP8/14) (red) and its quantitative analysis (n = 6 per group). *P = 0.045 D. Representative photomicrographs of aortic sinus sections stained with alizarin-red S. (n = 6 per group) Arrows indicate calcium depositions and its quantitative analysis. *P = 0.018. Scale bar represents 150 μm. E. Representative photomicrographs of aortic sinus sections stained with Masson’s trichrome and its quantitative analysis (n = 8 per group). **P = 0.002. Lower photomicrograph shows picrosirius staining for collagen content Scale bar represents 200 μm. Scale bar represents 50 μm for the immunofluorescence staining.

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Figure 7. Plaque Stabilization Associated with Reduced Apoptosis. A. Representative photomicrographs showing double immunofluorescence staining of aortic sinus sections with αSMA (red) and Caspase 3 (green). Scale bar represents 50 μm. Enlarged region to show double immune staining. Scale bar represents 6.25 μm. Number of Caspase 3 positive cells/mm² (n = 12). *P = 0.017. Number of αSMA-positive smooth muscle cells/mm² (n = 11) (***P < 0.001) and αSMA+ Caspase+ cells/mm² (n = 11 per group). ***P < 0.001 for APOB+HSP60 tolerized animals compared with...
compared with controls (P = 0.017), while IL-10 concentrations did not differ between the two groups (Figure S2D).

We next examined the cytokines and macrophage infiltration in the lesion by immunohistochemical analysis of aortic sinus. The percentage of CD68-positive and TNF-α-positive areas was reduced significantly, by 77% (P = 0.02) and 64% (P = 0.04), respectively (Figure 2D and 2E), while an 80% increase (P = 0.03) in the TGF-β-positive area was observed in the aortic sinus of peptide-tolerated mice compared with controls (Figure 2F). Plasma concentrations of IFN-γ were lower (P = 0.02) while that of TGF-β were significantly higher in the peptide-tolerated mice (P = 0.01) compared to KLH (Figure S2E, and S2F).

Oral Tolerance to ApoB+HSP60 Peptides in Combination with Diet Modification Arrests Plaque Progression

To study the effect of oral tolerance to the peptides on plaque progression, ApoB\textsuperscript{tm2Sgy/Ldlrtm1Her\textsuperscript{2}} mice were fed a high-fat diet for 10 weeks, to establish atherosclerotic lesion. In the last 1.5 weeks, mice were orally dosed with peptides or KLH followed by a shift to chow diet for the next 10 weeks (Figure 3A). At baseline (before shifting the mice to chow diet), the mice had a plasma cholesterol concentration of 14.10 ± 0.52 mMol/L and had established atherosclerotic lesions in the aortic sinus (29.48 ± 3.6%) (Figure 3B). Total plasma cholesterol reduced in both control and treated groups on shifting to chow diet (10.79 ± 2.65 mMol/L in controls and to 9.91 ± 0.15 mMol/L with peptide treatment) (Table S1B). Despite diet control, the lesion continued to increase in KLH (42.93 ± 2.9%) and PBS control mice (38.49 ± 2.62%) (Figure S3A). Tolerance to peptides

Figure 8. Model Depicting Oral Tolerance-Induced Prevention and Stabilization of Atherosclerotic Lesion Development. Oral administration of peptides (ApoB+HSP60) induces a regulatory T-cell response, which is maintained for 10 weeks and results in prevention of early atherosclerotic lesions. Oral administration of peptides in mice with established lesions in combination with diet modification also induces a Treg-cell response with an increase in CD4+CD25+Foxp3 T cells, CTLA-4 expression, and increased TGF-secretion in the lesion and the peripheral circulation. It is likely that both CTLA-4- and TGF-mediated suppression are required for early lesion reduction and inhibition of plaque progression. With continuous hypercholesterolemia, the CTLA-4 expression remains unaltered, while there is an increase in Foxp3 and TGF expression in tolerized mice. We propose that the TGF-mediated decrease in inflammatory activity results in plaque stabilization.

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resulted in a 37.6% reduction in lesion progression compared with KLH in the aortic root (42.93±2.9% vs. 26.8±4.4%, P = 0.01) (Figure 3B) and descending aorta (Figure S3B).

Flow cytometry analysis of revealed an increase in CD4+ CD25+ T cells expressing Foxp3 (P = 0.011) and CTLA-4 (P = 0.005) in the spleens of tolerized animals (Figure 3C and S4A). Consistent with these observations, the expression of regulatory cell markers Foxp3, CTLA-4 and TGF-β were significantly higher in aorta as shown by RT-PCR analysis (Figure 3D and the number of CD4+ Foxp3+ regulatory T cells was higher (2.5±0.14 vs. 12.09±0.43, P <0.001) in the aortic sinus of the peptide-tolerized mice as observed by immunohistochemistry (Figure 3E).

**Shift in the Balance between Pro- and Anti-Inflammatory Markers in Association with Plaque Regression**

To confirm that the arrest in plaque progression is actually due to reduced inflammation in the plaque, we studied macrophage infiltration and cytokine expression in the aortic sinus. The percentage of macrophage CD68 and TNF-α-positive areas was reduced significantly, by 58.8% (P = 0.025) and 67.12% (P = 0.001), respectively, following oral tolerance (Figure 4A, 4B). The reduction of inflammation was also supported by a 79.8% increase (P = 0.003) in the TGF-β-positive area in the aortic sinus of peptide-tolerized mice compared with controls (Figure 4C). Plasma concentration of TGF-β (P = 0.02) was higher in tolerized-mice compared to controls (Figure S4B). Tolerance to peptides also resulted in a 33.3% increase in collagen content in the lesion (P = 0.000), suggesting plaque stability (Figure 4D).

**Oral Tolerance to ApoB+HSP60 Peptides Stabilizes Advanced Atherosclerotic Lesion**

To study the effect of tolerance on advanced lesions, ApoB/ LDLr−/− mice were fed a high-fat diet for 10 weeks, to establish lesion, orally dosed with peptides or KLH in the last 1.5 weeks, and continued this diet for the next 10 weeks (Figure 5A). Cholesterol concentrations in the plasma increased to 20.96±1.67 mMol/L for KLH and 21.16±1.59 mMol/L for peptide-tolerized mice (Table S1B). Both groups showed an increase in lesion area in the aortic sinus (61.03±1.61% and 58.69±1.40%, respectively) (Figures 3B and S5). Close observation of the aortic sinus revealed a 60.8% reduction in the percentage of necrotic core areas in peptide-tolerized mice compared with controls (6.5±1.4% vs. 16.6±2.5%, P = 0.012) (Figure 5C).

We then examined the effect of oral dosing on regulatory T cells in advanced atherosclerosis. The number of CD4+ cells expressing Foxp3 regulatory T-cell markers were higher (10.5±1.5% vs. 2.6±0.2%, P<0.001) in the aortic sinus of the peptide-tolerized mice, compared with the controls (Figure 5D). Flow cytometry analysis of splenocytes also indicated a significant increased expression of Foxp3 in CD4+CD25+ cells in spleen (P = 0.009), but the increase in CTLA-4 expression was not significant (Figure 5E, and S6A). Consistent with these results, we observed an increase in Foxp3 (2.5-fold), TGF-β (3 fold) expression in the aorta by RT-PCR analysis, but CTLA-4 expression was comparable between the groups (Figure 5F). Plasma TGF-β concentrations were not found to be significantly different (Figure S6B).

**Plaque Vulnerability Markers are Reduced in ApoB+HSP60 Peptide Tolerized Mice**

Next we examined the markers of plaque vulnerability in aortic sinus from both groups of mice by immunohistochemistry to correlate their expression with plaque stability. Expression of MMP9 (P = 0.035), tissue factor (P = 0.028), and Mrp8/14 (P = 0.045) was significantly lower in peptide-tolerized mice compared with controls (Figure 6A-C). Extensive calcification, as seen by Alizarin red staining was observed in KLH-control mice, while it was 80% lower in peptide tolerized mice (P = 0.010) (Figure 6D). In contrast, collagen staining using Masson’s trichrome as well as picrosiris-red revealed increased plaque collagen content peptide-tolerized mice compared with KLH-control, suggesting features of plaque stabilization in these animals (Figure 6E).

**Plaque Stabilization is Associated with Reduced Apoptosis**

To understand the possible mechanism of plaque stabilization following oral tolerance, we studied extent of apoptosis in the advanced aortic lesions by immunohistochemical analysis. The number of apoptotic cells as seen by Caspase3 staining were significantly lower in peptide tolerized mice compared to control (86.38±4.3 vs. 71.55±3.48, P = 0.017) (Figure 7A). We also observed a reduction in TUNEL positivity in the peptide-tolerized mice (Figure S7). We then examined the number of cells which are undergoing apoptosis in the advanced lesion by double immuno staining for macrophages and smooth muscle cells (SMC) along with anti caspase3 antibodies. Apoptosis of SMCs was significantly increased in KLH-control mice compared with ApoB+HSP60-tolerized animals (31.15±2.97 vs. 16.55±0.95, P<0.001). The number of SMCs were also observed to be higher in ApoB+HSP60 tolerized mice (64.7±6.3 % vs. 136.3±6.19, P<0.001) (Figure 7A). To our surprise the number of apoptotic macrophages were higher in tolerized mice (61.36±4.5 vs. 44.9±4.4, P = 0.03), although the number of macrophages was significantly lower in the plaques of peptide-tolerized mice compared with controls (159.9±11.77 vs. 197.7±10.56, P = 0.02) (Figure 7B). We then examined the expression of receptor tyrosine kinase Mer (MerTK) in the lesions by RT–PCR analysis. The expression of MerTK was significantly lower in control mice compared with peptide-tolerized animals, suggesting a defective clearance of apoptotic cells (Figure 7C).

**Discussion**

This study presents a new concept that oral tolerance to a combination of ApoB and HSP60 peptides can control progression of atherosclerotic lesions and stabilize unstable plaque.

Several studies have highlighted the importance of oral tolerance in preventing the development of atherosclerosis in animal models. Induction of oral tolerance to oxidized low-density lipoprotein (LDL) in LDLr−/− mice was associated with an increase in the number of Foxp3+ cells in the spleen and lymph nodes, and increased TGF-β production [23]. Oral administration of HSP60 peptide (253–268) resulted in increase in Treg cells and significant reduction in atherosclerosis in carotid arteries as well as aortic root [24]. Recently, we have also shown that oral tolerance to ApoB and HSP 60 peptides can prevent early development of atherosclerosis in mice [28]. Regulatory T-cell response to Apolipoprotein B100-derived peptides was shown to reduce the development and progression of atherosclerosis in Apoe−/− mice [41]. However the role of tolerance on plaque stability is yet to be established. Therefore we focused on the effect of oral tolerance to autoantigens on plaque regression and its stabilization. We induced tolerance to a combination of ApoB and HSP60 peptides in two experimental groups of hypercholesterolemic mice with established atherosclerotic plaque. One group was continued on high fat diet while the other was shifted to normal diet to...
understand the role of tolerance with diet control on plaque progression.

Oral treatment with peptide epitopes from ApoB and HSP60 induced expansion of Treg cells with antigen-specific suppressor properties for both antigenic peptides. Oral tolerance to the two peptides combined with diet control arrested the progression of lesion development. This was also associated with an increase in CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup>CTLA-4<sup>+</sup>Treg cells in the periphery and increased expression of CTLA-4, Foxp3, and TGF-β in the lesion. Recently, Maganto-García et al. [42] showed that reversal hypercholesterolemia through a normal diet can prevent the loss of lesional Treg cells, resulting in reduction of lesion progression. Our results support this observation and suggest that diet modification in combination with oral tolerance can prevent the progression of established lesion, most likely mediated by both natural as well as adaptive Th3 Treg cells (Figure 8).

Oral tolerance was unable to control lesion progression with continued hypercholesterolemia, but we observed a considerable reduction in the necrotic core in the aortic sinus. The expression of Foxp3 and TGF-β was higher in the aorta and peripheral lymphocytes of peptide-tolerized mice compared with controls, but no significant up-regulation of CTLA-4 was observed. It is likely that the TGF-β-secreting Tregs, which were induced upon oral immunization, are not sufficient to control lesion progression with continued hypercholesterolemia but play a significant role in stabilizing the plaque.

Recent reports have demonstrated an atheroprotective role of natural Treg (n Treg) cells expressing CD25 and the transcription factor fork head box p3 (Foxp3), which controls the expression of genes associated with regulatory function including cytotoxic T-lymphocyte antigen 4 (CTLA-4) and glucocorticoid-induced tumor necrosis factor receptor [14,43]. Besides n Treg-adaptive regulatory cells, Th1 and Th3 cells secreting IL-10 and TGF-β, respectively, have also been implicated in protection against atherosclerosis [23,26,27]. The mechanisms involved in Treg-mediated suppression of atherosclerosis are still unclear. Does atheroprotection involve contact-dependent suppression, or is it mediated by anti-inflammatory cytokines, or are both required for effective protection? Our results suggest that both types of activity may be required for effective reduction of lesion development. The atheroprotective effect of oral tolerance to the combination of HSP60 and ApoB peptides paralleled the induction of CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup>Treg cells with immunosuppressive activity against both ApoB100 and HSP60 effector cells. We observed an increase in TGF-β mRNA concentration and protein expression in the lesion, suggesting the role of Th3 cells; and increased expression of CTLA-4 in the lesion and in peripheral CD4 cells, suggesting the role of contact-dependent suppression in reducing early lesion formation (Figure 8). TGF-β is a potent anti-atherogenic cytokine and a key molecule contributing to peripheral tolerance [44]. Recent reports suggest that TGF-β-producing Th3 cells play a crucial role in inducing and maintaining peripheral tolerance by helping the differentiation of antigen-specific Foxp3<sup>+</sup>cells in the periphery [45]. TGF-β can suppress the recruitment of macrophages into the lesion, uptake of oxidized LDL, formation of foam cells, and can activate Treg cells, phagocytosis, and collagen biosynthesis, thus resolving inflammation [46,47].

Plaque necrosis in advanced atheroma is caused by apoptosis of macrophages, T lymphocytes, and smooth muscle cells (SMCs), coupled with defective phagocytic clearance of dead cells [48,49]. Macrophages can trigger the apoptosis of SMCs in vulnerable plaques by secreting pro-apoptotic cytokines including TNF-α and the release of reactive oxygen species [50]. Apoptotic cells are normally phagocytosed by dendritic cells and macrophages, which in turn inhibit the production of proinflammatory cytokines involving the secretion of TGF-β [51]. Defective clearance of apoptotic cells (or efferocytosis) results in a persistent inflammatory state, causing the formation of the necrotic core or vulnerable plaque [32]. Prolonged failure of efferocytosis leads to post-apoptotic secondary necrosis and the release of matrix proteases and tissue factor, which can amplify the inflammation. MerTK is a tyrosine kinase receptor for the phosphatidylserine-binding protein Gas6, which bridges apoptotic cells to phagocytes [33]. Absence of the MerTK receptor reduces the efficiency of efferocytosis and promotes apoptotic cell accumulation and plaque necrosis in atherosclerotic lesions of ApoE<sup>−/−</sup>mice [34]. In the present study we observed a higher number of SMCs in the plaque, a decrease in their apoptosis in association with plaque stabilization, and a 400-fold higher expression of MerTK in the aorta of tolerated mice, suggesting that efficient efferocytosis prevents accumulation of apoptotic cells in the lesion, which in turn resolves plaque inflammation. Despite higher expression of MerTK, we observed a higher percentage of apoptotic macrophages in the lesions of peptide-tolerized mice, but a significant reduction in apoptotic SMCs. A recent study by Stoneman et al. [55] suggests that macrophages can be cleared from atherosclerotic plaques in a clean and safe way via selective induction of macrophage apoptosis. It is likely that the macrophages are effectively cleared in the peptide-tolerized mice and thus prevent secondary necrosis by inducing apoptosis in SMCs.

One possible mechanism by which oral immunization could have stabilized the lesion may be mediated by TGF-β. Indeed, we found a higher level of TGF-β mRNA in the aorta of tolerated mice. The expression of CTLA4 was similar to that in controls, suggesting a defect in contact-mediated pathogenic effector-cell suppression. TGF-β-mediated efferocytosis and collagen synthesis by fibroblasts could possibly have prevented the uncontrolled inflammation and stabilization of plaque, though this was not sufficient to reduce the progression. Thus oral immunization with a combination of ApoB and HSP60 peptides attenuates atherosclerotic lesion formation and its progression, which is associated with an increase in numbers of nTreg and Th3 cells. These cells are probably generated in gut-associated lymphoid organs and migrate to other peripheral lymphoid tissues and then to the atherosclerotic plaque, leading to suppression of the pathogenic T-cell response and promoting an anti-inflammatory milieu with an atheroprotective effect.

Atherosclerosis starts at an early age in humans and is a slow progressing disease.

Vaccination would be a successful approach to treat the disease provided that it is effective at various stages of the disease process. Our data suggest that oral tolerance to multiple self-epitopes can stabilize the growing plaque and control plaque progression in conjunction with diet control. No detectable difference was observed in antibody levels to the peptides, as reported in earlier studies with oral tolerance to oxidized LDL and HSP60 [23,24]. Further studies directed at refining the protocol for tolerance induction, developing appropriate formulations and evaluating efficacy in different animal models and understanding the mechanism of protection are required to establish oral tolerance as a successful immune therapy for atherosclerosis.

Supporting Information

Figure S1 Quantification of atherosclerosis in descending aorta in ApoB− HSP60 peptides tolerized mice compared to control. A. Enface staining. Left panels: En-face
staining: whole aortas were collected in NBF and used for en-face analysis using Oil red-O staining. Right panel: Lesion area of the aorta was quantified relative to its surface area using Image-Pro Plus software (n = 4 per group). B. Comparison of lesion area in PBS and KLH-treated animals. Left panels: Representative photomicrographs of aortic sinus plaque area stained with EVG (hearts were sectioned at the end of the study). Scale bar represents 200 μm. Right panel: Percentage of plaque area in total aortic sinus. Right panel: Percentage of plaque area in total aortic sinus (n = 6 per group).

Figure S2 Flow cytometry analysis of splenocytes and plasma cytokine concentrations following oral tolerance to peptides. Splenocytes was prepared at week 20, after 10 weeks of a high-fat diet following oral tolerance induction. A. Representative FACS dot plots showing CD4, CD4+ Foxp3+ and CD4+ CTLA4+ from spleen and thymus (n = 6 per group). B. Plasma cytokine concentrations: whole aortas were collected in NBF and used for en-face analysis using Oil red-O staining. Right panel: Lesion area of the aorta was quantified relative to its surface area using Image-Pro Plus software (n = 4 per group). B. Comparison of lesion area in PBS and KLH-treated animals. Left panels: Representative photomicrographs of aortic sinus plaque area stained with EVG (hearts were sectioned at the end of the study). Scale bar represents 200 μm. Right panel: Percentage of plaque area in total aortic sinus. Right panel: Percentage of plaque area in total aortic sinus (n = 6 per group).

Figure S3 Quantification of atherosclerosis in descending aorta in mice tolerized to ApoB+HSP60 peptides in Combination with Diet Modification. Mice were fed with a high-fat diet to establish lesion, orally dosed with KLH or peptides (ApoB+HSP60) and shifted to chow diet at the end of 10 weeks. A. Comparison of KLH and PBS control for lesion development: Representative photomicrographs of EVG stained aortic sinus plaque area and its quantitative analysis in aortic sinus of 26-week-old ApoB
mLDL
m1H mice. Scale bar represents 200 μm. Right panel: Percentage of plaque area in total aortic sinus. Right panel: Percentage of plaque area in total aortic sinus (n = 6 per group). B. En-face analysis of the aorta from mice immunized orally with peptides (ApoB+HSP60) or KLH, after establishment of lesion, and fed a normal chow diet following tolerance induction. Left panel: Whole aortas were collected in NBF and used for en-face analysis using Oil red-O staining. Right panel: Lesion area of the aorta was quantified relative to its surface area using Image-Pro Plus software (n = 3 per group).
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