NEVANLINNA THEORY OF THE ASKEY-WILSON DIVIDED DIFFERENCE OPERATOR

YIK-MAN CHIANG AND SHAO-JI FENG

Abstract. This paper establishes a version of Nevanlinna theory based on Askey-Wilson divided difference operator for meromorphic functions of finite logarithmic order in the complex plane \( \mathbb{C} \). A second main theorem that we derived allows us to define an Askey-Wilson type Nevanlinna deficiency which gives a new interpretation that one should regard the zero/pole-sequences of many important infinite products arising from the study of basic hypergeometric series as nonexistence. That is, their zero/pole are indeed deficient in the sense of Askey-Wilson. A natural consequence is a version of Askey-Wilson type Picard theorem. We also give an explicit characterisation of the kernel functions of the Askey-Wilson operator. As a result, we have derived a number of interesting relationships exist amongst families of \( q \)-infinite products which include some of the well-known Jacobi theta-functions identities. We have also established a version of unicity theorem in the sense of Askey-Wilson. This paper concludes with an application to difference equations generalising the Askey-Wilson second-order divided difference equation.

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1. Introduction

Without loss of generality, we assume $q$ to be a complex number with $|q| < 1$. Askey and Wilson evaluated a $q$ beta integral ([6, Theorem 2.1]) that allows them to construct a family of orthogonal polynomials ([6, Theorems 2.2–2.5]) which are eigen-solutions of a second order difference equation ([6, §5]) now bears their names. The divided difference operator $\mathcal{D}_q$ that appears in the second-order difference equation is called Askey-Wilson operator. These polynomials, their orthogonality weight, the difference operator and related topics have found numerous applications and connections with a wide range of research areas beyond the basic hypergeometric series. These research area includes Fourier analysis ([11]), interpolations ([37], [30]), combinatorics ([18]), Markov process ([12], [46]), quantum groups ([34], [41]), double affine Hecke (Cherednick) algebras ([15], [16], [33]), etc.

In this paper, we show that there is a very natural function theoretic interpretation of the Askey-Wilson operator (abbreviated as AW-operator) $\mathcal{D}_q$ and related topics. It is not difficult to show that the AW-operator is well-defined on meromorphic functions. In particular, we show that there is a Picard theorem associates with the Askey-Wilson operator just as the classical Picard theorem is associated with the conventional differential operator $f'$. Moreover, we have obtained a full-fledged Nevanlinna theory for slow-growing meromorphic functions with respect to the AW-operator on $\mathbb{C}$ for which the associated Picard theorem follows as a special case, just as the classical Picard theorem is a simple consequence of the classical Nevanlinna theory ([39], see also [40] and [26]). This approach allows us to gain new insights into the $\mathcal{D}_q$ and that give a radically different viewpoint from the established views on the value distribution properties of certain meromorphic functions, such as the Jacobi theta-functions, generating functions of certain orthogonal polynomials that were used in L. J. Rogers’ derivation of the two famous Rogers-Ramanujan identities [42], etc. We also characterise the functions that lie in the kernel of the Askey-Wilson operator, which we can regard as the constants with respect to the AW-operator.

A value $a$ which is not assumed by a meromorphic function $f$ is called a Picard (exceptional) value. The Picard theorem states that if a meromorphic $f$ that has three Picard values, then $f$ necessarily reduces to a constant. For each complex number $a$, Nevanlinna defines a deficiency $0 \leq \delta(a) \leq 1$. If $\delta(a) \sim 1$, then that means $f$ rarely assumes $a$. In fact, if $a$ is a Picard value of $f$, then $\delta(a) = 1$. If $f$ assumes $a$ frequently, then $\delta(a) \sim 0$. Nevanlinna’s second fundamental theorem implies that $\sum_{a \in \mathbb{C}} \delta(a) \leq 2$ for a non-constant meromorphic function. Thus, the Picard theorem follows easily. For each $a \in \mathbb{C}$, we formulate a $q$-deformation of the Nevanlinna deficiency $\Theta_{AW}(a)$ and Picard value which we call AW-deficiency and AW-Picard value respectively. Their definitions will be given in [38]. The AW-deficiency also satisfies the inequalities $0 \leq \Theta_{AW}(a) \leq 1$.

A very special but illustrative example for $a \in \mathbb{C}$ to be an AW-Picard value of a certain $f$ if the pre-image of $a \in \mathbb{C}$ assumes the form, with some $z_a \in \mathbb{C}$,

$$x_n := \frac{1}{2}(z_a q^n + q^{-n}/z_a), \quad n \in \mathbb{N} \cup \{0\}.$$  \hfill (1.1)

This leads to $\Theta_{AW}(a) = 1$. 
We illustrate some such AW–Picard values in the following examples from the viewpoint with our new interpretation. Let us first introduce some notation.

We define the $q$–shifted factorials:

\[(a; q)_0 := 1, \quad (a; q)_n := \prod_{k=1}^{n} (1 - aq^{k-1}), \quad n = 1, 2, \ldots,\]

and the multiple $q$–shifted factorials:

\[(a_1, a_2, \cdots, a_k; q)_n := \prod_{j=1}^{k} (a_j; q)_n.\]

Thus, the infinite product

\[(a_1, a_2, \cdots, a_k; q)_\infty = \lim_{n \to +\infty} (a_1, a_2, \cdots, a_k; q)_n\]

always converge since $|q| < 1$.

The infinite products that appear in the Jacobi triple-product formula ([21], p. 15]

\[(1.4) \quad f(x) = (q; q)_\infty(q^{1/2}z, q^{1/2}/z; q)_\infty = \sum_{k=-\infty}^{\infty} (-1)^k q^{k^2} z^k,\]

can be considered as a function of $x$ where $x = \frac{1}{2}(z + z^{-1})$. The corresponding (zero) sequence is given by

\[x_n := \frac{1}{2}(q^{1/2+n} + q^{-1/2-n}), \quad n \in \mathbb{N} \cup \{0\}\]

where $z_0 = (q^{1/2} + q^{-1/2})/2$. Thus 0 is an AW–Picard value of $f$ when viewed as a function of $x$, and hence $f$ has $\Theta_{AW}(0) = 1$.

Our next example is a generating function for a class of orthogonal polynomials known as *continuous $q$–Hermite polynomials* ([5]) first derived by Rogers in 1895 ([2])

\[f(x) = \frac{1}{(te^{i\theta}, te^{-i\theta}; q)_\infty} = \sum_{k=0}^{\infty} \frac{H_k(x \mid q)}{(q; q)_k} t^k, \quad 0 < |t| < 1,\]

where

\[H_n(x \mid q) = \sum_{k=0}^{n} \frac{(q; q)_n}{(q; q)_k (q; q)_{n-k}} e^{i(n-2k)\theta}, \quad x = \cos \theta.\]

We note that Rogers did not know the polynomials are orthogonal. We easily verify that the $\infty$ is an AW–Picard value of $f$ when viewed as a functions of $x$ with the pole-sequence given by

\[(1.5) \quad x_n := \frac{1}{2}(t q^n + q^{-n}/t), \quad n \in \mathbb{N} \cup \{0\},\]

where $z_\infty = (t + t^{-1})/2$. This implies $\Theta_{AW}(\infty) = 1$. 


Our third example has both zeros and poles. It is again a generating function for a more general class of orthogonal polynomials also derived by Rogers in 1895 [42]. That is,

$$H(x) := \frac{(\beta e^{it}, \beta e^{-it}; q)_{\infty}}{(e^{it}, e^{-it}; q)_{\infty}} = \sum_{n=0}^{\infty} C_n(x; \beta | q) t^n, \quad x = \cos \theta,$$

where

$$C_n(x; \beta | q) = \sum_{k=0}^{n} \frac{(\beta; q)_k(\beta; q)_{n-k}}{(q; q)_k(q; q)_{n-k}} \cos(n-2k)\theta$$

$$= \sum_{k=0}^{n} \frac{\beta^k q^k (\beta; q)_{n-k}}{(q; q)_k(q; q)_{n-k}} T_{n-2k}(x)$$

is called \textit{continuous} \textit{q} \textit{–}ultraspherical polynomials by Askey and Ismail [3]. Here the $T_n(x)$ denotes the $n$–th Chebychev polynomial of the first kind. Rogers [42] used these polynomials to derive the two celebrated Rogers-Ramanujan identities

$$\sum_{n=0}^{\infty} q^{2n} = \frac{1}{(q; q)_{n}(q^4; q^4)_{n}} \quad \text{and} \quad \sum_{n=0}^{\infty} q^{n^2+n} = \frac{1}{(q^2; q^2)_n(q^3; q^3)_{n}}.$$

One can find a thorough discussion about the derivation of these identities in Andrews [3], §2.5]

The zero- and pole-sequences of $H(x)$ in the $x$–plane are given, respectively, by

$$(1.7) \quad x_n := \frac{1}{2} (\beta t q^n + q^{-n}/(\beta t)), \quad n \in \mathbb{N} \cup \{0\}.$$

and $$(1.5).$$ The point is that we have both 0 and $\infty$ to be the AW–Picard values according to our interpretation. Thus $\Theta_{AW}(0) = 1$ and $\Theta_{AW}(\infty) = 1$ for the generating function $H(x)$.

Our Askey-Wilson version of Nevanlinna’s second fundamental theorem (Theorem 7.1) for slow-growing meromorphic functions not belonging to the kernel of $D_q$ also implies that

$$(1.8) \quad \sum_{a \in \mathbb{C}} \Theta_{AW}(a) \leq 2.$$

This new relation allows us to deduce a AW–Picard theorem (Theorem 10.2).

Suppose a slow-growing meromorphic function $f$ has three values $a, b, c \in \mathbb{C}$ such that $\Theta_{AW}(a) = \Theta_{AW}(b) = \Theta_{AW}(c) = 1$. Then $f$ lies in the kernel of $D_q$.

Note that, what Nevanlinna proved can be viewed when a meromorphic function has three Picard values then the function lies in the kernel of differential operator.

By the celebrated Jacobi triple-product formula [3, 497], we can write the Jacobi theta-function $\vartheta_4(z, q) = 1 + 2 \sum_{k=1}^{\infty} (-1)^n q^{k^2} \cos 2kz$ in the infinite produce form
holds for |x| = r “almost everywhere”.

Similar estimates for the simple difference operator $\Delta f(x) = f(x+\eta) - f(x)$ for a
fixed $\eta \neq 0$, were obtained by Chiang and Feng in [19, 20], and by Halburd and Ko-
ronen [22] independently in a slightly different form for finite -order meromorphic
functions. Halburd and his associates showed the same for the case of $q$–difference
operator $\Delta_q f(x) = f(qx) - f(x)$ in [23] for zero-order meromorphic functions, whilst
Cheng and Chiang [13] showed that a similar logarithmic difference estimate again holds for the Wilson operator.

There has been a surge of activities in extending the classical Nevanlinna theory which is based on differential operator to various difference operators in recent years such as the ones mentioned above. The idea has been extended to tropical functions [25]. The original intention was to apply Nevanlinna theory to study integrability of non-linear difference equations ([1], [23]). But as it turns out that difference type Nevanlinna theories have revealed previously unnoticed complex analytic structures of seemingly unrelated subjects far from the original intention, such as the one represented in this paper.

This paper is organised as follows. We will introduce basic notation of Nevanlinna theory and Askey-Wilson theory in §2. The AW-type Nevanlinna second main theorems will be stated in §3 and §7. The definition of AW-type Nevanlinna counting function is also defined in §2. The proofs of the logarithmic difference estimate (1.10) and the truncated form of the second main theorem are given in §4 and §7 respectively. The AW-type Nevanlinna defect relations as well as an AW-type Picard theorem are given in §8. These are the so-called AW-constants. We also illustrate how these functions are related to certain classical identities of Jacobi theta-functions there. It is known that the Askey-Wilson orthogonal polynomials are eigen-functions to a second-order linear self-adjoint difference equation given in [6]. In §11 we demonstrate that if two finite logarithmic order meromorphic functions such that the pre-images at five distinct points in $\mathbb{C}$ are identical except for an infinite sequence of the form as given in (1.1), then the two functions must be identical, thus giving an AW–Nevanlinna version of the famous unicity theorem. We study the Nevanlinna growth of entire solutions to a more general second-order difference equation in §12 than the Askey-Wilson self-adjoint Strum-Liouville type equation using the tools that we have developed in this paper.

2. Askey-Wilson Operator and Nevanlinna Characteristic

Let $f(x)$ be a meromorphic function on $\mathbb{C}$. Let $r = |x|$, then we denote $\log^+ r = \max\{\log r, 0\}$. We define the Nevanlinna characteristic of $f$ to be the real-valued function

$$T(r, f) := m(r, f) + N(r, f),$$

where

$$m(r, f) = \int_0^{2\pi} \log^+ |f(re^{i\theta})| \, d\theta, \quad N(r, f) = \int_0^r \frac{n(t, f) - n(0, f)}{t} \, dt$$

and $n(r, t)$ denote the number of poles in $\{|x| < r\}$. The real-valued functions $m(r, f)$ and $N(r, f)$ are called the proximity and integrated counting functions respectively. The characteristic function $T(r, f)$ is an increasing convex function of $\log r$, which plays the role of $\log M(r, f)$ for an entire function. The first fundamental theorem states that for any complex number $c \in \mathbb{C}$
\[ T(r, c) := T(r, \frac{1}{f - c}) = T(r, f) + O(1) \]

as \( r \to +\infty \). We refer the reader to Nevanlinna’s [40] and Hayman’s classics [26] for the details of the Nevanlinna theory.

We now consider the Askey-Wilson operator. We shall follow the original notation introduced by Askey and Wilson in [6] (see also alternative notation in [29, p. 300]) with slight modifications. Let \( f(x) \) be a meromorphic function on \( \mathbb{C} \). Let \( x = \cos \theta \). We define
\[
\tilde{f}(z) = f\left(\left(\frac{z + 1}{z}\right) / 2\right) = f(x) = f(\cos \theta), \quad z = e^{i\theta}.
\]

That is, we regard the function \( f(x) \) as a function \( \tilde{f}(z) \) of \( e^{i\theta} = z \). Then for \( x \neq \pm 1 \) the \( q \)-divided difference operator
\[
(D_q f)(x) := \frac{\delta_q \tilde{f}}{\delta_q z} := \frac{\tilde{f}(q^{1/2} e^{i\theta}) - \tilde{f}(q^{-1/2} e^{i\theta})}{e(q^{1/2} e^{i\theta}) - e(q^{-1/2} e^{i\theta})}, \quad z = e^{i\theta},
\]

where \( e(x) = x \) is the identity map, is called the Askey-Wilson divided difference operator. In these exceptional cases, we have
\[
(D_q f)(\pm 1) = \lim_{x \to \pm 1} (D_q f)(x) = f'(\pm (q^{1/2} + q^{-1/2}) / 2) \]

which is well-defined instead. It can also be written in the equivalent form
\[
(D_q f)(x) := \frac{\tilde{f}(q^{1/2} e^{i\theta}) - \tilde{f}(q^{-1/2} e^{i\theta})}{(q^{1/2} - q^{-1/2})(z - 1/z) / 2}, \quad x = (z + 1/z) / 2 = \cos \theta.
\]

Since there are two branches of \( z \) that corresponds to each fixed \( x \), we choose a branch of \( z = x + \sqrt{x^2 - 1} \) such that \( \sqrt{x^2 - 1} \approx x \) as \( x \to \infty \) and \( x \not\in [-1, 1] \). We define the values of \( z \) on \([-1, 1]\) by the limiting process that \( x \) approaches the interval \([-1, 1]\) from above the real axis. Thus, \( z \) assumes the value \( z = x + i\sqrt{1 - x^2} \) where \( x \) is now real and \( |x| \leq 1 \). So we can guarantee that for each \( x \) in \( \mathbb{C} \) there corresponds a unique \( z \) in \( \mathbb{C} \) and \( z \to \infty \) as \( x \to \infty \). Finally we note that if we know that \( f(x) \) is analytic at \( x \), then
\[
\lim_{q \to 1} (D_q f)(x) = f'(x).
\]

We can now define a polynomials basis
\[
\phi_n(\cos \theta; a) := (ae^{i\theta}, ae^{-i\theta}; q)_n = \prod_{k=0}^{n-1} (1 - 2axq^k + a^2q^{2k});
\]

which plays the role of the \((1 - x)^n\) in conventional differential operator. Askey and Wilson [6] computed that
for each integer \( n \geq 1 \). In particular, the \( D_q \) acts naturally on the Chebyshev polynomials:

\[
D_q T_n(x) = \frac{q^{n/2} - q^{-n/2}}{q^{1/2} - q^{-1/2}} U_{n-1}(x),
\]

where the \( T_n(x) \) and \( U_n(x) \) are the Chebyshev polynomials of the first and the second kinds. Ismail and Stanton [30] established that if \( f(x) \) is an entire function satisfying

\[
\limsup_{r \to \infty} \frac{\log M(r, f)}{(\log r)^2} = c < \frac{1}{2 \log |q|},
\]

where \( M(r, f) := \max_{|x|=r} |f(x)| \) denotes the maximum modulus of \( f \), then one has

\[
f(x) = \sum_{k=0}^{\infty} f_{k, \phi}(ae^{i\theta}, ae^{-i\theta}; q)\phi_k(x)
\]

where the \( f_{k, \phi} \) is the \( k \)-th Taylor coefficients and the \( x_k \) is defined by

\[
x_k := \frac{(aq^{k/2} + q^{-k/2}/a)/2}{2}, \quad k \geq 0.
\]

We note, however, that the interpolation points \( x_k \) (1.1) are those points with \( k \) being even.

We record here some simple observations about the operator \( D_q \) acting on meromorphic functions, the proof of which will be given in the Appendix A. We first need the averaging operator [29, p. 301]:

\[
(A_qf)(x) = \frac{1}{2} [\tilde{f}(q^{1/2}z) + \tilde{f}(q^{-1/2}z)].
\]

**Theorem 2.1.** Let \( f \) be an entire function. Then \( A_qf \) and \( D_qf \) are entire. Moreover, if \( f(x) \) is meromorphic, then so are \( A_qf \) and \( D_qf \).

The useful product/quotient rule [29, p. 301] is given by

\[
D_q(f/g) = (A_qf)(D_q1/g) + (A_q1/g)(D_qf).
\]
Since we consider meromorphic functions in this paper so we extend the growth restriction on \( f \) from (2.9) to those of finite logarithmic order \([14],[9]\) defined by

\[
(2.14) \quad \limsup_{r \to \infty} \frac{\log T(r, f)}{\log \log r} = \sigma_{\log}(f) = \sigma_{\log} < +\infty.
\]

It follows from an elementary consideration that the logarithmic order \( \sigma_{\log}(f) \geq 1 \) for \( f \) transcendental, except for rational functions or constant functions for which we have \( \sigma_{\log} < 1 \). We note that the growth assumption in (2.9) is a special case of our (2.14).

Now let us suppose that \( f(x) \) is a meromorphic function that satisfies (2.14), then \( f \) has order zero (in the proper Nevanlinna order sense (see [26])). It follows from a quotient representation result by Miles [38] that \( f \) can be represented as a quotient \( f = g/h \) where both \( g \) and \( h \) are entire functions that again satisfy (2.14). Thus the Askey-Wilson operator is well-defined on the class of slow-growing finite logarithmic order meromorphic functions. We refer the reader to [8],[14],[9] and [27] for further properties of slow-growing meromorphic functions.

### 3. Askey-Wilson type Nevanlinna theory – Part I: Preliminaries

Nevanlinna’s second main theorem is a deep generalisation of the Picard theorem. Nevanlinna’s second main theorem implies that for any meromorphic function \( f \) satisfies the defect relation \( \sum_{c \in \hat{C}} \delta(c) \leq 2 \). That is, if \( f \neq a, b, c \) on \( \hat{C} \), then \( \delta(a) = \delta(b) = \delta(c) = 1 \). This is a contradiction to Nevanlinna’s defeat relation. The proof of the Second Main Theorem is based on the logarithmic derivative estimates \( m(r, f'/f) = o(T(r, f)) \) which is valid for all \( |x| = r \) if \( f \) has finite order and outside an exceptional set of finite linear measure in general. We have obtained earlier that for a fixed \( \eta \neq 0 \) and any finite order meromorphic function \( f \) of finite order \( \sigma \), and arbitrary \( \varepsilon > 0 \) the estimate \( m(f(x+\eta)/f(x)) = O(r^{\sigma-1+\varepsilon}) \) valid for all \( |x| \). Halburd and Korhonen [22] proved a comparable estimate independently for their pioneering work on a difference version of Nevanlinna theory [23] and their work on the integrability of discrete Painlevé equations [24]. Here we also have a AW–logarithmic difference lemma:

**Theorem 3.1.** Let \( f(x) \) be a meromorphic function of finite logarithmic order \( \sigma_{\log} \) such that \( \mathcal{D}_\eta f \neq 0 \). Then we have, for each \( \varepsilon > 0 \), that

\[
(3.1) \quad m \left( r, \frac{(\mathcal{D}_\eta f)(x)}{f(x)} \right) = O \left( (\log r)^{\sigma_{\log} - 1 + \varepsilon} \right)
\]

holds for all \( |x| = r > 0 \) outside an exceptional set of finite logarithmic measure.

This estimate is crucial to the establishment of the Nevanlinna theory in the sense of Askey-Wilson put forward in this paper. The estimate follows directly from the following pointwise estimate.
Theorem 3.2. Let \( f(x) \) be a meromorphic function of finite logarithmic order \( \sigma_{\log} \) such that \( D_q f \neq 0 \). Then we have, for each \( \varepsilon > 0 \), that
\[
\log^+ \left| \frac{(D_q f)(x)}{f(x)} \right| = O((\log r)^{\sigma_{\log} - 1 + \varepsilon})
\]
holds for all \(|x| = r > 0\) outside an exceptional set of finite logarithmic measure.

We will prove this theorem in section 4.

Theorem 3.3. Let \( f \) be a meromorphic function of finite logarithmic order \( \sigma_{\log} \).
Then, for each \( \varepsilon > 0 \),
\[
N(r, D_q f) \leq 2N(r, f) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r).
\]

We shall prove this theorem in 5.

Theorem 3.4. Let \( f \) be a meromorphic function of finite logarithmic order \( \sigma_{\log} \).
Then, for each \( \varepsilon > 0 \),
\[
T(r, D_q f) \leq 2T(r, f) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r).
\]

In particular, this implies
\[
\sigma_{\log}(D_q f) \leq \sigma_{\log}(f) = \sigma_{\log}.
\]

Proof. We deduce from Theorem 3.3 and Theorem 3.4 that
\[
T(r, D_q f) \leq m\left(r, \frac{(D_q f)(x)}{f(x)}\right) + m(r, f) + N(r, D_q f)
\]
\[
\leq m(r, f) + 2N(r, f) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r)
\]
\[
\leq 2T(r, f) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r),
\]
as required. \(\square\)

We are now ready to state our first version of the Second Main Theorem whose proof will be given in 6.

Theorem 3.5. Suppose that \( f(z) \) is a meromorphic function of finite logarithmic order \( \sigma_{\log} \) such that \( D_q f \neq 0 \). Let \( A_1, A_2, \ldots, A_p \) (\( p \geq 2 \)), be mutually distinct elements in \( \mathbb{C} \), then we have for every \( \varepsilon > 0 \)
\[
m(r, f) + \sum_{\nu=1}^{p} m(r, A_{\nu}) \leq 2T(r, f) - \mathfrak{N}_{AW}(r, f) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon})
\]
holds for all \( r = |x| > 0 \) outside an exceptional set of finite logarithmic measure, where
\[
\mathfrak{N}_{AW}(r, f) := 2N(r, f) - N(r, D_q f) + N\left(r, \frac{1}{D_q f}\right).
\]
4. **Pointwise logarithmic difference estimate and proof of Theorem 4.2**

We recall the following elementary estimate.

**Lemma 4.1** ([19]). Let \( \alpha, 0 < \alpha \leq 1 \) be given. Then there exists a constant \( C_\alpha > 0 \) depending only on \( \alpha \), such that for any two complex numbers \( x_1 \) and \( x_2 \), we have the inequality

\[
\left| \log \left| \frac{x_1}{x_2} \right| \right| \leq C_\alpha \left( \frac{|x_1 - x_2|}{x_2} + \left| \frac{x_2 - x_1}{x_1} \right|^\alpha \right).
\]

In particular, \( C_1 = 1 \).

**Theorem 4.2.** Let \( f(x) \) be a meromorphic function of finite logarithmic order \( \sigma_{\log} \) such that \( D_q f \neq 0 \) and \( \alpha \) is an arbitrary real number such that \( 0 < \alpha < 1 \). Then there exist a positive constant \( D_\alpha \) such that for \( 2(|q^{1/2}| + |q^{-1/2}|)|x| < R \), we have

\[
\log^+ \left| \frac{(D_q f)(x)}{f(x)} \right| \leq \frac{4R(|q^{1/2} - 1| + |q^{-1/2} - 1|)|x|}{(R - |x|)(R - 2(|q^{1/2}| + |q^{-1/2}|)|x|)} \left( m(R, f) + m(R, \frac{1}{f}) \right) + \frac{2(|q^{1/2} - 1| + |q^{-1/2} - 1|)|x|}{R - |x|} \left( \frac{1}{R - |2(q^{1/2} + |q^{-1/2}||x|)} \right) \left( n(R, f) + n(R, \frac{1}{f}) \right) + D_\alpha (|q^{1/2} - 1| + |q^{-1/2} - 1|) \log^{\sigma_{\log}}(|x| + 3) \left( n(R, f) + n(R, \frac{1}{f}) \right) + \log 2
\]

holds for \( |x| \) outside an exceptional set of finite logarithmic measure.

**Proof:** We start by expressing all the logarithmic difference in terms of complex variables \( x \) as well as in \( z \) in the Askey-Wilson divided difference operator. So it follows from (2.6) that

\[
(D_q f)(x) = \frac{\bar{f}(q^{\frac{1}{2}}e^{i\theta}) - \bar{f}(q^{-\frac{1}{2}}e^{i\theta})}{f(x)(q^{\frac{1}{2}} - q^{-\frac{1}{2}})(z - 1/z)/2} = \frac{f([q^{1/2}z + q^{-1/2}z^{-1}]/2) - f([q^{-1/2}z + q^{1/2}z^{-1}]/2)}{f(x)(q^{\frac{1}{2}} - q^{-\frac{1}{2}})(z - 1/z)/2} = \frac{1}{(q^{\frac{1}{2}} - q^{-\frac{1}{2}})(z - 1/z)/2} \left( \frac{f([q^{1/2}z + q^{-1/2}z^{-1}]/2)}{f(x)} - \frac{f([q^{-1/2}z + q^{1/2}z^{-1}]/2)}{f(x)} \right)
\]

where we recall that we have fixed our branch of \( z \) for the corresponding \( x \) in the above expressions. Let

\[
c(q) = \frac{q^{1/2} - q^{-1/2}}{2}.
\]
We deduce from (4.3) that, by letting $|x|$ and hence $|z|$ to be sufficiently large,

\[ \log^+ \left| \frac{(D_q f)(x)}{f(x)} \right| \leq \log^+ \left| \frac{1}{(q^{1/2} z + q^{-1/2} z^{-1})/2} \right| + \log^+ \left| \frac{f([q^{1/2} z + q^{-1/2} z^{-1})]/2}{f(x)} \right| \]

\[ + \log^+ \left| \frac{f([q^{-1/2} z + q^{1/2} z^{-1})]/2}{f(x)} \right| + \log 2 \]

\[ \leq \log^+ \frac{2}{c(q)z} + \log^+ \left| \frac{f([q^{1/2} z + q^{-1/2} z^{-1})]/2}{f(x)} \right| \]

\[ + \log^+ \left| \frac{f([q^{-1/2} z + q^{1/2} z^{-1})]/2}{f(x)} \right| + \log 2 \]

\[ = \log^+ \left| \frac{f([q^{1/2} z + q^{-1/2} z^{-1})]/2}{f(x)} \right| + \log^+ \left| \frac{f([q^{-1/2} z + q^{1/2} z^{-1})]/2}{f(x)} \right| + \log 2 \]

For $|x|$ and hence $|z|$ to be sufficiently large,

\[ |q^{1/2} z + q^{-1/2} z^{-1}/2| = |q^{1/2} x + (q^{-1/2} - q^{1/2}) z^{-1}/2| \leq 2|q^{1/2} x| < R. \]

Similarly

\[ |q^{-1/2} z + q^{1/2} z^{-1}/2| = |q^{-1/2} x + (q^{1/2} - q^{-1/2}) z^{-1}/2| \leq 2|q^{-1/2} x| < R. \]

It is obvious that $|x| < R$. We apply Poisson-Jensen formula (see e.g., [26, p. 1]) to estimate the individual terms on the right-hand side of the above expression (4.5).

\footnote{It is known that $\prod_{k=1}^n \log^+ |a_k| \leq \sum_{k=1}^n \log^+ |a_k|$ and $\log^+ \sum_{k=1}^n a_k \leq \sum_{k=1}^n \log^+ |a_k| + \log n$ (see e.g., [26, p. 5]).}
Thus, 
(4.8)
\[
\log \left| \frac{f((q^{1/2} z + q^{-1/2} z^{-1})/2)}{f(x)} \right| = \log \left| \frac{f((q^{1/2} z + q^{-1/2} z^{-1})/2)}{f(x)} \right| - \log |f(x)|
\]
\[
= \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| |R(Re^{i\phi} + (q^{1/2} z + q^{-1/2} z^{-1})/2)| d\phi
\]
\[
- \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| |R(Re^{i\phi} - x)| d\phi
\]
\[
+ \sum_{|b_\mu| < R} \log \left| \frac{R^2 - b_\mu^2(q^{1/2} z + q^{-1/2} z^{-1})^2}{R^2 - b_\mu x} \right| + \sum_{|a_\nu| < R} \log \left| \frac{R^2 - a_\nu x}{R^2 - a_\nu} \right|
\]
\[
= \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| |R(Re^{i\phi} (q^{1/2} z + q^{-1/2} z^{-1} - 2x))| d\phi
\]
\[
+ \sum_{|b_\mu| < R} \log \left| \frac{R^2 - b_\mu^2(q^{1/2} z + q^{-1/2} z^{-1})^2}{R^2 - b_\mu x} \right| + \sum_{|a_\nu| < R} \log \left| \frac{R^2 - a_\nu x}{R^2 - a_\nu} \right|
\]
\[
= \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| |R(Re^{i\phi} (q^{1/2} x + (q^{-1/2 - q^{-1/2}} x)^{-1}))| d\phi
\]
\[
+ \sum_{|b_\mu| < R} \log \left| \frac{R^2 - b_\mu^2(q^{1/2} x + (q^{-1/2 - q^{-1/2}} x)^{-1})^2}{R^2 - b_\mu x} \right| + \sum_{|a_\nu| < R} \log \left| \frac{R^2 - a_\nu x}{R^2 - a_\nu} \right|
\]
\[
= \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| |R(Re^{i\phi} (q^{1/2} x + c(q) z^{-1}))| d\phi
\]
\[
+ \sum_{|b_\mu| < R} \log \left| \frac{R^2 - b_\mu^2(q^{1/2} x + c(q) z^{-1})^2}{R^2 - b_\mu x} \right| + \sum_{|a_\nu| < R} \log \left| \frac{R^2 - a_\nu x}{R^2 - a_\nu} \right|
\]
where we have made the substitution \((4.3)\).

We let \(|x|\) and hence \(|z|\) be sufficiently large, so we may assume that

\[
|c(q)z^{-1}| < \min(|q^{-1/2}x|, |q^{1/2}x|, |(q^{1/2} - 1)x|, |(q^{-1/2} - 1)x|)
\]

in the following calculations.

We notice that the integrated logarithmic average from \((4.8)\) has the following upper bound

\[
\frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| R \left( \frac{2 \text{Re}^{i\phi} \left[ (q^{1/2} - 1)x + c(q)z^{-1} \right]}{\left( \text{Re}^{i\phi} - x \right) \left( \text{Re}^{i\phi} - (q^{1/2}x + c(q)z^{-1}) \right)} \right) d\phi
\]

\[
\leq \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\phi})| \left| \frac{4R |q^{1/2} - 1| |x|}{(R - |x|)(R - 2|q^{1/2}x|)} \right| d\phi
\]

\[
\leq \frac{4R |q^{1/2} - 1| |x|}{(R - |x|)(R - 2|q^{1/2}x|)} \left( m(R, f) + m(R, \frac{1}{f}) \right).
\]

Hence

\[
\log \left| \frac{f \left[ (q^{1/2}x + q^{-1/2}z^{-1})/2 \right]}{f(x)} \right|
\]

\[
\leq \frac{4R |q^{1/2} - 1| |x|}{(R - |x|)(R - 2|q^{1/2}x|)} \left( m(R, f) + m(R, \frac{1}{f}) \right)
\]

\[
+ \sum_{|b_\nu| < R} \log \left| \frac{R^2 - \bar{b}_\nu [q^{1/2}x + c(q)z^{-1}]}{R^2 - \bar{b}_\nu x} \right| + \sum_{|b_\nu| < R} \log \left| \frac{q^{1/2}x + c(q)z^{-1} - b_\nu}{x - b_\nu} \right|
\]

\[
+ \sum_{|a_\nu| < R} \log \left| \frac{R^2 - \bar{a}_\nu [q^{1/2}x + c(q)z^{-1}]}{R^2 - \bar{a}_\nu x} \right| + \sum_{|a_\nu| < R} \log \left| \frac{q^{1/2}x + c(q)z^{-1} - a_\nu}{x - a_\nu} \right|
\]

Applying the Lemma \((4.4)\) with \(\alpha = 1\), to each individual term in the first summand of \((4.10)\) with \(|b_\nu| < R\) yields

\[
\log \left| \frac{R^2 - \bar{b}_\nu [q^{1/2}x + c(q)z^{-1}]}{R^2 - \bar{b}_\nu x} \right|
\]

\[
\leq \left| \bar{b}_\nu [(1 - q^{1/2})x - c(q)z^{-1}] \right| + \left| \bar{b}_\nu [(1 - q^{1/2})x - c(q)z^{-1}] \right| \frac{R^2 - \bar{b}_\nu x}{R^2 - \bar{b}_\nu x}
\]

\[
\leq \frac{2R |1 - q^{1/2}| |x|}{R^2 - 2R |q^{1/2}x|} + \frac{2R |1 - q^{1/2}| |x|}{R^2 - 2R |x|}
\]

\[
= 2 |q^{1/2} - 1| |x| \left( \frac{1}{R - |x|} + \frac{1}{R - 2|q^{1/2}x|} \right)
\]

Similarly, we have, for the third summand that for \(|a_\nu| < R\),
Again applying the Lemma 4.1 with $0 \leq \alpha < 1$ to each individual term in the second summand of (4.8) yields

\[
\log \left| \frac{R^2 - \bar{a}_\nu [q^{1/2} x + c(q) z^{-1}]}{R^2 - a_\nu x} \right| = 2|q^{1/2} - 1||x| \left( \frac{1}{R - |x|} + \frac{1}{R - 2|q^{1/2}x|} \right)
\]

Combining the inequalities (4.10), (4.11), (4.12), and (4.14) yields

\[
\log \frac{f\left(\left(\frac{q^{1/2} x + q^{-1/2} z^{-1}}{2}\right)\right)}{f(x)} \leq \frac{4 R |q^{1/2} - 1||x|}{(R - |x|)[R - 2|q^{1/2}x|]} \left( m(R, f) + m(R, \frac{1}{f}) \right) + 2|q^{1/2} - 1||x| \left( \frac{1}{R - |x|} + \frac{1}{R - 2|q^{1/2}x|} \right) \left( n(R, f) + n(R, \frac{1}{f}) \right) + 2C_\alpha(|q^{1/2} - 1|\alpha \max\{|x - a_\nu|, |x - b_\mu|\}) + 2C_\alpha(|q^{1/2} - 1|\alpha \max\{|x - b_\mu|, |x - a_\nu|\})
\]

where we have re-labelled all the zeros \(\{a_\nu\}\) and poles \(\{b_\mu\}\) by the single sequence \(\{c_n\}\).
Replacing $q$ by $q^{-1}$ in the (4.15), we obtain for $|x|$ sufficiently large

\begin{equation}
\left| \log \left| \frac{f(q^{-1/2}z + q^{1/2}z^{-1})/2}{f(x)} \right| \right|
\leq \frac{4R |q^{-1/2} - 1| |x|}{(R - |x|)[R - 2|q^{-1/2}x|]} \left( m(R, f) + m(R, \frac{1}{f}) \right)
+ 2|q^{-1/2} - 1||x| \left( \frac{1}{R - |x|} + \frac{1}{R - 2|q^{-1/2}x|} \right) \left( n(R, f) + n(R, \frac{1}{f}) \right)
+ 2C_\alpha(|q^{-1/2} - 1|^\alpha |x|^\alpha) \sum_{|x - c_n| < R} \left( \frac{1}{|x - c_n|^\alpha} + \frac{1}{(q^{-1/2}x - c(q)z^{-1} - c_n|^\alpha)} \right)
\end{equation}

Substituting the (4.15) and (4.16) into (4.5) yields

\begin{equation}
\log^+ \left| (D_{qf})(x) \right| \leq \frac{4R (|q^{1/2} - 1| + |q^{-1/2} - 1|)|x|}{(R - |x|)[R - 2(|q^{1/2}| + |q^{-1/2}|)|x|]} \left( m(R, f) + m(R, \frac{1}{f}) \right)
+ 2(|q^{1/2} - 1| + |q^{-1/2} - 1|)|x| \left( \frac{1}{R - |x|} + \frac{1}{R - 2(|q^{1/2}| + |q^{-1/2}|)|x|} \right) \left( n(R, f) + n(R, \frac{1}{f}) \right)
+ 2C_\alpha(|q^{1/2} - 1|^\alpha + |q^{-1/2} - 1|^\alpha)|x|^\alpha \sum_{|x - c_n| < R} \frac{1}{|x - c_n|^\alpha}
+ 2C_\alpha(|q^{-1/2} - 1|^\alpha |x|^\alpha) \sum_{|c_n| < R} \frac{1}{|x + c(q)q^{-1/2}z^{-1} - q^{-1/2}c_n|^\alpha} + \log 2
\end{equation}

In order to give an upper bound estimate for the last three summands of the above sum, we need to avoid exceptional sets arising from the sequence given by

\begin{equation}
\{d_n\} = \{c_n\} \cup \{c_n q^{1/2}\} \cup \{c_n q^{-1/2}\}.
\end{equation}

Let

\begin{equation}
E_n = \left\{ r: r \in [d_n] - \frac{|d_n|}{\log^\sigma(a)} |d_n|, |d_n| + \frac{|d_n|}{\log^\sigma(a)} |d_n| \right\}
\end{equation}

and

\begin{equation}
E = \bigcap E_n.
\end{equation}

Henceforth we consider $|x| \not\in E$. It is not difficult to see the inequality

\begin{equation}
|x - d_n| \geq |x| - |d_n| \geq \frac{|x|}{2\log^\sigma(a)(|x| + 3)}.
\end{equation}

holds for all $|x|$ sufficiently large. Thus

\begin{equation}
\sum_{|c_n| < R} \frac{1}{|x - c_n|^\alpha} \leq \frac{2^\alpha}{|x|^\alpha} \log^\sigma(a)(|x| + 3) \left( n(R, f) + n(R, \frac{1}{f}) \right).
\end{equation}
Similarly, we have

\[ |x + c(q)q^{-1/2}z^{-1} - q^{-1/2}cn| \geq |x - q^{-1/2}cn| - |c(q)q^{-1/2}z^{-1}| \]
\[ \geq \left| |x| - |q^{-1/2}cn| \right| - \left| c(q)q^{-1/2}z^{-1} \right| \]
\[ \geq \frac{|x|}{2 \log^2(|x| + 3)} - \left| c(q)q^{-1/2}z^{-1} \right| \]
\[ \geq \frac{3 \log^2(|x| + 3)}{|x|}. \]

and

\[ |x - c(q)q^{1/2}z^{-1} - q^{1/2}cn| \geq \frac{|x|}{3 \log^2(|x| + 3)}. \]

holds for |x| sufficiently large. Hence

\[ \sum_{|cn| < R} \frac{1}{|x + c(q)q^{-1/2}z^{-1} - q^{-1/2}cn|^\alpha} \leq \frac{3^{\alpha} \log^2(|x| + 3)}{|x|^\alpha} \left( n(R, f) + n(R, \frac{1}{f}) \right) \]

and

\[ \sum_{|cn| < R} \frac{1}{|x - c(q)q^{1/2}z^{-1} - q^{1/2}cn|^\alpha} \leq \frac{3^{\alpha} \log^2(|x| + 3)}{|x|^\alpha} \left( n(R, f) + n(R, \frac{1}{f}) \right). \]

We obtain from (4.17), after substituting (4.20), (4.23–4.24), the desired inequality (4.2) with

\[ D_\alpha = 4C_3^\alpha. \]

We now compute the logarithmic measure of E. To do so, we first note the elementary inequality that given δ > 0 sufficiently small, there is a positive constant C_δ so that

\[ \log \frac{1 + t}{1 - t} \leq C_\delta t \]

for 0 ≤ t < δ. We assume, in the case when there are infinitely many \{d_n\} (otherwise, the logarithmic measure of E is obviously finite), they are ordered in the increasing moduli. Then we choose an N sufficiently large such that

\[ \frac{1}{\log^2|d_N|} < \delta. \]
Hence
\[
\log\text{-}\text{meas} \ E = \int_{E \cap [1, +\infty)} \frac{dt}{t} = \int_{E \cap [1, |d_N|]} \frac{dt}{t} + \int_{E \cap [|d_N|, +\infty)} \frac{dt}{t} \\
\leq \log |d_N| + \sum_{n=N}^{\infty} \int_{E_n} \frac{dt}{t} \\
= \log |d_N| + \sum_{n=N}^{\infty} \log \left( \frac{1 + 1/\log |d_n|}{1 - 1/\log |d_n|} \right) \\
\leq \log |d_N| + C_\delta \sum_{n=N}^{\infty} \frac{1}{\log |d_n|} < \infty.
\]

\[\square\]

**Proof of Theorem 3.3** We have
\[
N(R^2, f) \geq \int_R^{R^2} \frac{n(t, f) - n(0, f)}{t} \, dt + n(0, f) \log R^2 \\
\geq n(R, f) \int_R^{R^2} \frac{1}{t} \, dt - n(0, f) \int_R^{R^2} \frac{dt}{t} + n(0, f) \log R^2 \\
\geq n(R, f) \log R.
\]

Hence given \(\varepsilon > 0\),
\[
n(R, f) \leq \frac{N(R^2, f)}{\log R} = O\left(\frac{\log R^{\alpha + \frac{2}{3}}}{\log R}\right) = O\left(\log R^{\alpha - 1 + \frac{2}{3}} R\right)
\]

Similarly, we have
\[
n\left(R, \frac{1}{f}\right) = O\left(\log R^{\alpha - 1 + \frac{2}{3}} R\right).
\]

We now choose \(\alpha = \frac{\varepsilon}{2\log \sigma}\) and substitute \(|x| = r, R = r \log r\) into Theorem 4.2 to obtain the \(\varepsilon\).

\[\square\]

5. **Askey-Wilson type counting functions and proof of Theorem 3.3**

We need to set up some preliminary estimates first.

Let \(g : \mathbb{C} \to \mathbb{C}\) be a map, *not necessary* entire. Let \(f\) be a meromorphic function on \(\mathbb{C}\) and \(a \in \hat{\mathbb{C}}\), we define the counting function \(n(r, f(g(x)) = a)\) to be the number of \(a\)–points of \(f\), counted according to multiplicity of \(f = a\) at the point \(g(x)\), in \(\{g(x) : |x| < r\}\). The integrated counting function is defined by
\[
N(r, f(g(x)) = a) = \int_0^r \frac{n(t, f(g(x)) = a) - n(0, f(g(x)) = a)}{t} \, dt + n(0, f(g(x)) = a) \log r.
\]
For $z = e^{i\theta}$, we shall write (2.5) in the following notation

\begin{equation}
(D_q f)(x) := \frac{\ddot{f}(q^\frac{1}{2} e^{i\theta}) - \ddot{f}(q^{-\frac{1}{2}} e^{i\theta})}{q^\frac{1}{2} e^{i\theta} - q^{-\frac{1}{2}} e^{i\theta}} = \frac{f(\hat{x}_q) - f(\tilde{x}_q)}{\hat{x}_q - \tilde{x}_q} = \frac{f(\hat{x}) - f(\tilde{x})}{\hat{x} - \tilde{x}}
\end{equation}

where

\begin{equation}
\hat{x} = \hat{x}_q := \frac{q^{1/2} z + q^{-1/2} z^{-1}}{2}, \quad \tilde{x} = \tilde{x}_q := \frac{q^{-1/2} z + q^{1/2} z^{-1}}{2}.
\end{equation}

Note that the maps $\hat{x}$ and $\tilde{x}$ are analytic and invertible ($\hat{x} = \tilde{x} = x$) when $|x|$ is sufficiently large.

The Theorem 5.1 is a direct consequence of the following Theorem.

**Theorem 5.1.** Let $f$ be a meromorphic function of finite logarithmic order $\sigma_{\log}(f) \geq 1$. Then for each extended complex number $a \in \hat{C}$, and each $\varepsilon > 0$, we have

\begin{equation}
N(r, f(\hat{x}) = a) = N(r, f(x) = a) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r).
\end{equation}

and similarly,

\begin{equation}
N(r, f(\tilde{x}) = a) = N(r, f(x) = a) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r),
\end{equation}

\begin{equation}
N(r, f(\hat{x}) = a) = N(r, f(x) = a) + O((\log r)^{\sigma_{\log} - 1 + \varepsilon}) + O(\log r).
\end{equation}

Here the meaning of $N(r, f(\hat{x}) = a)$ is interpreted as taking $g(x) = \hat{x}$ mentioned above. The expressions $N(r, f(\hat{x}) = a)$ and $N(r, f(\hat{x}) = a)$ have similar interpretations.

**Proof.** We shall only prove (5.3) since the (5.5) and (5.6) can be proved similarly. Let $(a_\mu)_{\mu \in \mathbb{N}}$ be a sequence of $a$-points of $f$, counting multiplicities.

Recall that for $|x|$ and hence $|z|$ to be sufficiently large we have $\hat{x} = \tilde{x} = x$. Therefore, there exists a sufficiently large $M > 1$ and for $r \geq M$,

\begin{equation}
N(r, f(\hat{x}) = a)
= \int_0^r n(t, f(\hat{x}) = a) - n(0, f(\hat{x}) = a) \, dt + n(0, f(\hat{x}) = a) \log r
= \int_0^M n(t, f(\hat{x}) = a) - n(0, f(\hat{x}) = a) \, dt + \int_M^r n(t, f(\hat{x}) = a) - n(0, f(\hat{x}) = a) \, dt + n(0, f(\hat{x}) = a) \log r
= \int_0^r n(t, f(\hat{x}) = a) \, dt + O(\log r)
= \int_0^r n(t, f(\hat{x}) = a) \, dt + O(\log r)
= \sum_{M \leq |a_\mu| < r} \log \frac{r}{|a_\mu|} + O(\log r)
\end{equation}
by the definition (5.1). Then

\[
|N(r, f(x) = a) - N(r, f(\hat{x}) = a)| = \left| \sum_{0 < |a_\mu| < r} \log \frac{r}{|a_\mu|} + n(0, f(x) = a) \cdot \log r - \sum_{M \leq |a_\mu| < r} \log \frac{r}{|a_\mu|} + O(\log r) \right|
\]

\[
= \left| \sum_{M \leq |a_\mu| < r} \log \frac{r}{|a_\mu|} - \sum_{M \leq |a_\mu| < r} \log \frac{r}{|a_\mu|} + O(\log r) \right|
\]

(5.8) \leq \left| \sum_{M \leq |a_\mu| < r, M \leq |\hat{a}_\mu| < r} \left( \log \frac{r}{|a_\mu|} - \log \frac{r}{|\hat{a}_\mu|} \right) \right| + \sum_{M \leq |a_\mu| < r, |a_\mu| \geq r, \text{ or } |\hat{a}_\mu| < M} \log \frac{r}{|a_\mu|} + O(\log r)
\]

\[
\leq \sum_{M \leq |a_\mu| < r, M \leq |\hat{a}_\mu| < r} \left| \log \frac{a_\mu}{\hat{a}_\mu} \right| + \sum_{M \leq |a_\mu| < r, |a_\mu| \geq r, |\hat{a}_\mu| \geq M} \log \frac{r}{|\hat{a}_\mu|} + O(\log r)
\]

Let us write

(5.9) \quad \hat{x} = q^{-1/2}x + \eta(x),

where

(5.10) \quad \eta(x) = \frac{q^{1/2} - q^{-1/2}}{2(x + \sqrt{x^2 - 1})}

which clearly tends to zero as \( x \to \infty \). Thus, there exists a constant \( h > 0 \) such that

(5.11) \quad |\eta(x)| \leq h

for all sufficiently large \( |x| \). Thus, it follows from Lemma 11.1 with \( \alpha = 1 \), (5.9) and (5.11) that for \( M \leq |\hat{a}_\mu|, M \leq |a_\mu|, \)

(5.12) \quad \left| \log \frac{a_\mu}{\hat{a}_\mu} \right| = \left| \log \frac{q^{-1/2}a_\mu + \eta(a_\mu)}{a_\mu} \right| = \left| \log \frac{q^{-1/2}a_\mu + \eta(a_\mu)}{q^{-1/2}a_\mu} \right| + \log \left| \frac{q^{-1/2}a_\mu + \eta(a_\mu)}{q^{-1/2}a_\mu} \right|

\leq |\log |q^{-1/2}|| + \left| \frac{\eta(a_\mu)}{q^{-1/2}a_\mu} \right| + \left| \frac{\eta(a_\mu)}{q^{-1/2}a_\mu + \eta(a_\mu)} \right|

\leq |\log |q^{-1/2}|| + \frac{h}{|q^{-1/2}| |a_\mu|} + \frac{h}{|\hat{a}_\mu|}.

Let

(5.13) \quad c = |\log |q^{-1/2}|| + |\log |q^{1/2}|| + \frac{h|q^{1/2}|}{M} + \frac{h|q^{-1/2}|}{M} + \frac{h}{M}.
Then,

\[(5.14) \quad \sum_{M \leq |a_\mu| < r, \ M \leq |\hat{a}_\mu| < r} \log \left| \frac{a_\mu}{\hat{a}_\mu} \right| \leq c \left( \sum_{M \leq |a_\mu| < r, \ M \leq |\hat{a}_\mu| < r} 1 \right) \]

Similarly we have

\[(5.15) \quad \sum_{M \leq |a_\mu| < r, \ |a_\mu| \geq r} \log \left| \frac{\hat{a}_\mu}{a_\mu} \right| \leq c \left( \sum_{M \leq |a_\mu| < r, \ |a_\mu| \geq r} 1 \right) \]

and

\[(5.16) \quad \sum_{M \leq |\hat{a}_\mu| < r, \ |\hat{a}_\mu| \geq r} \log \left| \frac{\hat{a}_\mu}{a_\mu} \right| \leq c \left( \sum_{M \leq |a_\mu| < r, \ |a_\mu| \geq r} 1 \right) \]

Combining the (5.8), (5.14), (5.15) and (5.16) yields

\[(5.17) \quad \left| N(r, f(x) = a) - N(r, f(\hat{x}) = a) \right| \leq c \left( \sum_{M \leq |a_\mu| < r, \ M \leq |\hat{a}_\mu| < r} 1 + \sum_{M \leq |a_\mu| < r, \ |a_\mu| \geq r} 1 \right) + O(\log r). \]

For $M \leq |\hat{a}_\mu| < r$ and $r$ large enough and taking into account of the (5.11),

\[(5.18) \quad |a_\mu| \leq |q^{1/2} \hat{a}_\mu| + |\eta(\hat{a}_\mu)| \leq 2 |q^{1/2}| r. \]

This together with (5.17) and an inequality similar to (4.28) imply that, for every $\varepsilon > 0$, we have

\[(5.19) \quad \left| N(r, f(x) = a) - N(r, f(\hat{x}) = a) \right| \leq c \left( \sum_{|a_\mu| < r} 1 + \sum_{|a_\mu| < 2 |q^{1/2}| r} 1 \right) + O(\log r) \]

\[= c \left[ n(r, f(x) = a) + n(2 |q^{1/2}| r, f(x) = a) \right] + O(\log r) \]

\[= O((\log r)^{\sigma_{\log^{-1+\varepsilon}}} + O(\log r). \]

This completes the proof. \qed

The above estimate should be compared with the estimate of $N(r, f(x + \eta)) = N(r, f(x)) + O(r^{\sigma_{\log^{-1+\varepsilon}}})$ obtained by the authors in [19, Theorem 2.2] for a meromorphic function of finite order $\sigma$, where $\eta$ is a fixed, though arbitrary non-zero, complex number.
6. Proof of the Second Main theorem

We shall follow Nevanlinna’s argument by replacing the $f'(x)$ by the AW-operator $D_qf$ [40, pp. 238–240]. The methods used in [7] and [24] were based on Mohon’ko’s theorem (see [35, p. 29]). We let

$$F(x) := \sum_{\nu=1}^{p} \frac{1}{f(x) - A_{\nu}}.$$  \hfill (6.1)

We deduce from [26, p. 5] that

$$m(r, F) = m\left(r, F D_q f \cdot \frac{1}{D_q f}\right) \leq m\left(r, \frac{1}{D_q f}\right) + m\left(r, \sum_{\nu=1}^{p} \frac{D_q f}{f - A_{\nu}}\right).$$  \hfill (6.2)

On the other hand, for a given $\mu$ amongst $\{1, \cdots, p\}$ we write $F$ in the form

$$F(x) := \frac{1}{f(x) - A_{\mu}} \left(1 + \sum_{\nu=1}^{p} \frac{f(x) - A_{\mu}}{f(x) - A_{\nu}}\right).$$  \hfill (6.3)

Let $\delta = \min\{|A_h - A_k|, 1\}$ whenever $h \neq k$. We follow the argument used by Nevanlinna [40, pp. 238–240] to arrive at the inequality

$$m(r, F) > \sum_{\mu=1}^{p} m(r, A_{\mu}) - p \log \frac{2p}{\delta} - \log 3.$$  \hfill (6.4)

Combining this inequality with (6.2) yields

$$m(r, \frac{1}{D_q f}) > \sum_{\mu=1}^{p} m(r, A_{\mu}) - m\left(r, \sum_{\mu=1}^{p} \frac{D_q f}{f - A_{\mu}}\right) - p \log \frac{2p}{\delta} - \log 3.$$  \hfill (6.5)

Let us now add $N(r, 1/D_q f)$ on both sides of this inequality and utilizing the first main theorem [39] (see also [26] and [40]), we deduce

$$T(r, D_q f) = T\left(r, \frac{1}{D_q f}\right) + O(1) = m\left(r, \frac{1}{D_q f}\right) + N\left(r, \frac{1}{D_q f}\right) + O(1)$$

$$> N\left(r, \frac{1}{D_q f}\right) + \sum_{\nu=1}^{p} m(r, A_{\nu}) - m\left(r, \sum_{\nu=1}^{p} \frac{D_q f}{f - A_{\nu}}\right) + O(1).$$

But is it elementary that

$$T(r, D_q f) = m(r, D_q f) + N(r, D_q f)$$

$$\leq N(r, D_q f) + m(r, D_q f/f) + m(r, f).$$  \hfill (6.6)

\hfill 2

According to [40, pp. 238–240] Nevanlinna proved the original version of (6.1) for $p = 3$ in 1923 and the general case for $p > 3$ for entire functions was due to Collingwood in 1924 [17].
Eliminating the $T(r, D_q f)$ from the inequalities (6.6) and (6.5), adding $m(r, f)$ on both sides of the combined inequalities and rearranging the terms yield

\begin{equation}
m(r, f) + \sum_{\nu=1}^{p} m(r, A_\nu) \leq 2T(r, f) - \left(2N(r, f) - N(r, D_q f) + N \left( r, \frac{1}{D_q f} \right) \right) + m \left( r, \frac{D_q f}{f} \right) + m \left( r, \sum_{\nu=1}^{\nu} \frac{D_q f}{f - A_\nu} \right) + O(1).
\end{equation}

The inequality (3.7) now follows by noting the

\begin{equation}
m \left( r, \sum_{\nu=1}^{p} \frac{D_q f}{f - A_\nu} \right) = m \left( r, \sum_{\nu=1}^{\nu} \left( \frac{D_q f}{f - A_\nu} \right) \right) \leq \sum_{\nu=1}^{\nu} m \left( r, \frac{D_q (f - A_\nu)}{f - A_\nu} \right).
\end{equation}

Theorem 3.1 and the (3.8).

\section{7. Askey-Wilson type Second Main theorem – Part II: Truncations}

We recall that in classical Nevanlinna theory, for each element $a$, the counting function $\bar{n}(r, \frac{1}{f})$ counts distinct $a-$points for a meromorphic function $f$ in $\mathbb{C}$ can be written as a sum of integers “$h - k$” summing over all the points $x$ in $\{|x| < r\}$ at which $f(x) = a$ with multiplicity “$h$”, and where “$k = h - 1$” is the multiplicity of $f'(x) = 0$ where $f(x) = a$. We define an Askey-Wilson analogue of the $\bar{n}(r, f)$.

We define the Askey-Wilson-type counting function of $f$

\begin{equation}
\tilde{n}_{AW} (r, f = a) = \tilde{n}_{AW} \left( r, \frac{1}{f - a} \right)
\end{equation}

to be the sum of integers of the form “$h - k$” summing over all the points $x$ in $\{|x| < r\}$ at which $f(x) = a$ with multiplicity “$h$”, while the $k$ is defined by $k := \min \{ h, k' \}$ and where “$k'$” is the multiplicity of $D_q f(\hat{x}) = 0$ at $\hat{x}$.

Similarly, we define

\begin{equation}
\tilde{n}_{AW} (r, f) = \tilde{n}_{AW} (r, f = \infty) = \tilde{n}_{AW} \left( r, \frac{1}{f} = 0 \right)
\end{equation}

to be the sum of integers “$h - k'$”, summing over all $x$ in $|x| < r$ at which $(1/f)(x) = 0$ with multiplicity “$h$”, $k := \min \{ h, k' \}$ and where “$k'$” is the multiplicity of $D_q (1/f)(\hat{x}) = 0$ at $\hat{x}$.

We define the Askey-Wilson-type integrated counting function of $f(x)$ by

\begin{equation}
\tilde{N}_{AW} (r, f = a) = \tilde{N}_{AW} \left( r, \frac{1}{f - a} \right) = \int_{0}^{r} \frac{\tilde{n}_{AW} (t, f = a) - \tilde{n}_{AW} (0, f = a)}{t} dt + \tilde{n}_{AW} (0, f = a) \log r,
\end{equation}
and

\[(7.4) \quad \tilde{N}_{AW}(r, f) = \int_0^r \frac{\tilde{n}_{AW}(t, f) - \tilde{n}_{AW}(0, f)}{t} dt + \tilde{n}_{AW}(0, f) \log r.\]

The (7.3) and (7.4) are respectively the analogues for the \(\tilde{N}(r, f = a)\) and \(\tilde{N}(r, f)\) from the classical Nevanlinna theory.

We are now ready to state an alternative Second Main Theorem in terms of the \(AW\)-type integrated counting function defined above. The theorem could be regarded as a truncated form of the original Second Main Theorem, the Theorem 3.5.

**Theorem 7.1.** Suppose that \(f(z)\) is a non-constant meromorphic function of finite logarithmic order \(\sigma = \sigma_{\log}(f) \geq 1\) as defined in (2.14) such that \(Dq f \not\equiv 0\), and let \(a_1, a_2, \ldots, a_p\) where \(p \geq 2\), be mutually distinct elements in \(\mathbb{C}\). Then we have, for \(r < R\) and for every \(\varepsilon > 0\),

\[(7.5) \quad (p - 1 + o(1)) T(r, f) \leq \tilde{N}_{AW}(r, f) + \sum_{\nu=1}^p \tilde{N}_{AW}(r, f = a_{\nu}) + S_{\log}(r, \varepsilon; f)\]

where \(S_{\log}(r, \varepsilon; f) = O((\log r)^{\sigma_{\log}-1+\varepsilon}) + O(\log r)\) holds outside a set of finite logarithmic measure, where \(\tilde{N}_{AW}(r, f = a_{\nu})\) and \(\tilde{N}_{AW}(r, f)\) are defined by (7.3) and (7.4), respectively.

This truncated form of the Second Main Theorem leads to new interpretation of Nevanlinna’s original defect relation, deficiency, etc, and perhaps the most important of all, is a new type of Picard theorem gears toward the Askey-Wilson operator. We will discuss the functions that lie in the kernel of the \(AW\)-operator in §10. We note that Halburd and Korhonen [23] was the first to give such a truncated form of a Second Main theorem for the difference operator \(\Delta f(x) = f(x + \eta) - f(x)\). However, both the formulation of our counting functions \(\tilde{N}_{AW}(r)\) and the method of proof differs greatly from their original argument.

**Proof of the Theorem 7.1.** We are ready to prove the Theorem 7.1.

Adding the sum

\[(7.6) \quad N(r, f) + \sum_{\nu=1}^p N(r, f = a_{\nu})\]

on both sides of (7.7) and rearranging the terms yields

\[(7.7) \quad (p - 1 + o(1)) T(r, f) \leq N(r, f) + \sum_{\nu=1}^p N(r, f = a_{\nu}) - \tilde{N}_{AW}(r, f) + O((\log r)^{\sigma_{\log}-1+\varepsilon})\]

holds for all \(|x| = r > 0\) outside an exceptional set of finite logarithmic measure. It remains to compare the sizes of (7.6) and
\[ (7.8) \quad \tilde{N}_{\text{AW}}(r, f) + \sum_{\nu=1}^{p} \tilde{N}_{\text{AW}}(r, f = a_{\nu}). \]

Subtracting (7.8) from (7.9) yields

\[ (7.9) \quad (N(r, f) - \tilde{N}_{\text{AW}}(r, f)) + \sum_{\nu=1}^{p} (N(r, f = a_{\nu}) - \tilde{N}_{\text{AW}}(r, f = a_{\nu})). \]

It follows from the definitions of \( \tilde{n}_{\text{AW}}(r, f) \) and \( n_{\text{AW}}(r, f) \) that the difference \( n(r, f) - \tilde{n}_{\text{AW}}(r, f) \) enumerates the number of zeros of \( D_{q}(1/f)(\hat{x}) \) at which \( (1/f)(x) \) has a zero in the disk \( |x| < r \), with due count of multiplicities, while the difference \( n(r, f = a_{\nu}) - \tilde{n}_{\text{AW}}(r, f = a_{\nu}) \) enumerates the number of zeros of \( D_{q}f(\hat{x}) \) in the disk \( |x| < r \) at which \( f(x) = a_{\nu} \), with due count of multiplicities, and those points \( x \) in \( |x| < r \) that arise from the common \( a_{\nu} \)-points of \( f(\hat{x}) \) and \( f(x) \), respectively.

We have

\[ (7.10) \quad \left( D_{q} \frac{1}{f} \right)(\hat{x}) = \frac{f(x) - f(\hat{x})}{(\hat{x} - x)f(x)f(\hat{x})} = \frac{-D_{q}f(\hat{x})}{f(x)f(\hat{x})}. \]

Recall that the maps \( \hat{x} \) and \( \tilde{x} \) are analytic and invertible \( (\hat{x} = \tilde{x} = x) \) when \( |x| \) is sufficiently large. It follows (7.10) that the zeros of \( (D_{q}1/f)(\hat{x}) \) originate from the poles of \( f(x) \), \( f(\hat{x}) \) or from the zeros of \( (D_{q}f)(\hat{x}) \). On the other hand, the poles of \( (D_{q}f)(\hat{x}) \) must be amongst the poles of \( f(x) \) and/or poles of \( f(\hat{x}) \), and in this case, the multiplicity of zeros of \( (D_{q}1/f)(\hat{x}) \), which is non-negative, equals to subtracting the multiplicity of poles of \( (D_{q}f)(\hat{x}) \) from the sum of multiplicities of the poles of \( f(x) \) and the poles of \( f(\hat{x}) \). It follows from this consideration and the definitions of (7.1) and (7.2) that

\[ (7.11) \quad (N(r, f) - \tilde{N}_{\text{AW}}(r, f)) + \sum_{\nu=1}^{p} (N(r, f = a_{\nu}) - \tilde{N}_{\text{AW}}(r, f = a_{\nu})) \]

\[ \leq N(r, f(x)) + N(r, f(\hat{x})) + N(r, \frac{1}{D_{q}f(\hat{x})}) = N(r, D_{q}f(\hat{x})). \]

holds. We deduce from Theorem 8.1 and Theorem 8.3 that the followings

\[ (7.12) \quad N(r, f(\hat{x})) = N(r, f(x)) + O((\log r)^{\sigma_{\log^{-1+\epsilon}}}) + O(\log r); \]

\[ (7.13) \quad N(r, D_{q}f(\hat{x})) = N(r, D_{q}f(x)) + O((\log r)^{\sigma_{\log^{-1+\epsilon}}}) + O(\log r); \]

and
(7.14) \[ N\left(r, \frac{1}{D_qf(x)} \right) = N\left(r, \frac{1}{D_qf(x)} \right) + O\left((\log r)^{\sigma_{\log}^{-1}+\varepsilon}\right) + O(\log r) \]

hold. Substituting the (7.12), (7.13) and (7.14) into (7.11) yields

(7.15)

\[ (N(r, f) - \bar{N}_{AW}(r, f)) + \sum_{\nu=1}^{p} (N(r, f = a_{\nu}) - \bar{N}_{AW}(r, f = a_{\nu})) \]

\[ \leq 2N(r, f(x)) + N\left(r, \frac{1}{D_qf(x)} \right) - N(r, D_qf(x)) + O\left((\log r)^{\sigma_{\log}^{-1}+\varepsilon}\right) + O(\log r) \]

\[ = \mathfrak{N}_{AW}(r, f) + O\left((\log r)^{\sigma_{\log}^{-1}+\varepsilon}\right) + O(\log r), \]

where the \( \mathfrak{N}_{AW}(r, f) \) is given by (3.8). Combining the (7.7) and (7.15) gives the desired inequality (7.5).

\[ \Box \]

8. ASKEY-WILSON-TYPE NEVANLINNA DEFECT RELATION

We recall Nevanlinna’s original deficiency, multiplicity index and ramification index are defined, respectively, by

\[ \delta(a) = \delta(a, f) = 1 - \lim_{r \to \infty} N(r, f = a)/T(r, f), \]

\[ \vartheta(a) = \vartheta(a, f) = \lim_{r \to \infty} (N(r, f = a) - \bar{N}(r, f = a))/T(r, f) \]

and

\[ \Theta(a) = \Theta(a, f) = 1 - \lim_{r \to \infty} \bar{N}(r, f = a)/T(r, f). \]

Nevanlinna’s second main theorem implies

\[ \sum_{a \in \mathbb{C}} (\delta(a) + \vartheta(a)) \leq \sum_{a \in \mathbb{C}} \Theta(a) \leq 2. \]

We define the AW—multiplicity index and AW—deficiency by

\[ \vartheta_{AW}(a) = \vartheta_{AW}(a, f) = \lim_{r \to \infty} \frac{N(r, f = a) - \bar{N}_{AW}(r, f = a)}{T(r, f)}, \]

and

\[ \Theta_{AW}(a) = \Theta_{AW}(a, f) = 1 - \lim_{r \to \infty} \frac{\bar{N}_{AW}(r, f = a)}{T(r, f)} \]

respectively.

It follows from the definition of \( \bar{N}_{AW}(r, f = a) \) that we have the relationship

\[ 0 \leq \vartheta_{AW}(a, f) \leq \Theta_{AW}(a, f) \leq 1. \]

Dividing the inequality (8.2) in Theorem 7.1 by \( T(r, f) \) and rearrange the terms yields

\[ 1 - \frac{\bar{N}_{AW}(r, f = a)}{T(r, f)} + \sum_{\nu=1}^{p} \left( 1 - \frac{\bar{N}_{AW}(r, f = a)}{T(r, f)} \right) \leq 2 + S_{\log}(r, \varepsilon; f)/T(r, f). \]

Taking limit infimum on both sides as \( r \to +\infty \) yields the following theorem.
Theorem 8.1. Suppose that \( f(z) \) is a transcendental meromorphic function of finite logarithmic order, such that \( D_qf \neq 0 \). Then

(8.5) \[
\sum_{a \in \hat{\mathbb{C}}} (\delta(a) + \theta_{\text{AW}}(a)) \leq \sum_{a \in \hat{\mathbb{C}}} \Theta_{\text{AW}}(a) \leq 2.
\]

Remark 8.2. We note that Chern showed in [14, Theorem 8.1] that for entire function \( f \) of finite logarithmic order growth with its log-order \( \sigma_{\log} \) and lower order \( \nu = \lim \inf_{r \to \infty} \log T(r, f)/\log \log r \) satisfying \( \sigma_{\log} - \mu < 1 \), must have

\[
N(r, f = a) \sim T(r, f).
\]

This implies that the two quantities \( \theta_{\text{AW}}(a) \) and \( \Theta_{\text{AW}}(a) \) are identical for any finite \( a \).

Definition 8.3. We call a complex number \( a \in \mathbb{C} \) a

1. AW–Picard value if \( \tilde{n}_{\text{AW}}(r, f = a) = O(1) \) (Note that this is equivalent to \( \tilde{N}_{\text{AW}}(r, f = a) = O(\log r) \)),

2. AW–Nevanlinna deficient value if \( \Theta_{\text{AW}}(a) > 0 \).

We remark that \( a \) is an AW–Picard value of \( f \) means that except for at most a finite number of points, the multiplicity “\( h \)” of \( f(x) = a \) at \( x \) is not larger than “\( k \)”, the multiplicity of \( D_qf(\hat{x}) = 0 \) at \( \hat{x} \). We also note that for a transcendental function \( f \) to have AW–Picard value \( a \) implies that \( \Theta_{\text{AW}}(a) = 1 \).

We immediately deduce from Theorem 8.1 the following AW–type Picard theorem for finite logarithmic order meromorphic functions.

Theorem 8.4. Let \( f \) be a meromorphic function with finite logarithmic order, and that \( f \) has three distinct AW–Picard values. Then \( f \) is either a rational function or \( f \in \ker D_q \).

We also deduce from the Theorem 8.1 the following

Theorem 8.5. Let \( f \) be a transcendental meromorphic function with finite logarithmic order. Then \( f \) has at most a countable number of AW–Nevanlinna deficient values.

Remark 8.6. Suppose \( f \) is a meromorphic function of finite logarithmic order, and an extended complex number \( A \). If there exists \( 0 < q < 1 \), \( f(x) \) has most finitely many \( A \)--points, or there exists positive integer \( J \), complex numbers \( a_j \ (1 \leq j \leq J) \), and each \( j \) associates an integer \( d_j \ (1 \leq j \leq J) \), such that, except for at most finitely many points, the \( A \)--points of \( f(x) \) situate at the sequences

(8.6) \[
\frac{1}{2} (a_j q^n + a_j^{-1} q^{-n}), \quad j = 1, 2, \ldots, J, \quad n = 1, 2, 3, \ldots
\]
with multiplicity \(d_j, j = 1, 2, \cdots, J\). Then it is easy to check that \(A\) is an AW−Picard exceptional value.

We note that the definition of AW−type exceptional values includes the classical definition of Picard exceptional value, namely that the meromorphic \(f(x)\) equals to \(A\) at most finitely many times. It is known that for meromorphic functions of finite logarithmic order of growth, one needs only two Picard-exceptional values in order for \(f\) to reduce to a (genuine) constant [14]. Thus, when interpreted in the classical (most restricted ) setting, one needs only two classical Picard exceptional values in order for \(f\) to reduce to a constant. However, as exhibited in earlier example of the generating function discovered by Rogers (1.6), when interpreted in the Askey-Wilson (most general) setting, one needs three AW−Picard exceptional values in order to conclude that \(f \in \ker D_q\).

9. Askey-Wilson type Nevanlinna deficient values

We construct two kinds of examples below that both give AW−Nevanlinna deficiencies at \(x = 0\) as arbitrary rational number, that is, \(\Theta_{\text{A W}}(0) = \frac{m}{n} > 0\). The first category of examples is based on the definition [3] that if the pre-image of zero for certain function \(f\) lies on an infinite sequence of the form (1.1), then \(\Theta_{\text{A W}}(0) = 1\). Our second category example is based on constructing multiple zeros interpreted in the conventional sense (that is, in the sense of differentiation).

Here comes with our first example. All the zeros are simple when interpreted in the conventional sense, but when some of them are grouped into an infinite union of certain finite sequences are in fact multiple zeros when interpreted in the sense of Askey-Wilson.

**Example 9.1.** Let \(n\) be a positive integer. Then the function

\[
(9.1) \quad f_{\frac{n-1}{n}}(x) = \prod_{k=0}^{n-1} (q^k e^{i\theta}, q^k e^{-i\theta}; q^{n+1})_\infty.
\]

has

\[
\Theta_{\text{A W}}(0) = \frac{n-1}{n},
\]

according to the definition of \(\tilde{N}_{\text{A W}}(r, f = a)\) in (7.3).

**Proof.** We first note that

\[
(q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty = \prod_{n=1}^{\infty} (q^{2k+2j(n-1)} + 1) \left(1 - \frac{x}{2(q^{k+j(n-1)} + q^{-k-j(n-1)})}\right).
\]

Let \(n(r)\) denote the number of zeros of \((q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty\) in \(|x| < r\). Then we clearly can find constants \(c_1\) and \(c_2\) such that

\[
(9.2) \quad c_1 \log r \leq n(r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty) \leq c_2 \log r.
\]

Hence there are constants \(C_1\) and \(C_2\) such that
(9.3) \[ C_1 (\log r)^2 \leq N(r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_{\infty}) \leq C_2 (\log r)^2. \]

Similarly

(9.4) \[ D_1 (\log r)^2 \leq \tilde{N}_{AW} (r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_{\infty} = 0) \leq D_2 (\log r)^2, \]

for some positive constants \( D_1 \) and \( D_2 \). On the other hand, it also follows from the definition of (8.3) and a simple observation from (9.1) that

(9.5) \[ \frac{1}{n} (1 - o(1)) \leq \tilde{N}_{AW} (r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_{\infty} = 0) \leq \frac{1}{n} (1 + o(1)) \]

as \( r \to +\infty \).

\[
\log |(q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_{N}| \leq \sum_{n=1}^{N} \log \left(1 + \left|\frac{x}{2(q^{k+j(n-1)} + q^{-k-j(n-1)})}\right|\right) \\
+ \sum_{n=1}^{N} \log |1 + q^{2k+2j(n-1)}| \\
\leq \int_{0}^{r} \log \left(1 + \frac{|x|}{t}\right) \ dn(t) + \sum_{n=1}^{N} |q|^{2k+2j(n-1)} \\
= n(r) \log \left(1 + \frac{|x|}{r}\right) + \int_{0}^{r} \frac{|x| n(t)}{t(t + |x|)} \ dt + |q|^{2k} \sum_{n=1}^{N} |q|^{2j(n-1)}.\
\]

Taking limits of \( N \to +\infty \) on both sides of the above inequality and with reference to (9.2) yield

\[
\log |(q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_{\infty}| \leq |x| \int_{0}^{\infty} \frac{n(t)}{t(t + |x|)} \ dt + C \\
\leq \int_{0}^{|x|} \frac{n(t)}{t} \ dt + |x| \int_{|x|}^{\infty} \frac{n(t)}{t^2} \ dt + \frac{|q|^{2k}}{1 - |q|^{2j}}.\
\]

It is a standard technique to apply integration-by-parts repeatedly on the second integral above (see e.g. [9, Lemma 5.1], [14, Theorem 7.1]) to yield, for some positive constant \( B \) that
\[ (9.6) \]
\[ T[r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty] \leq \log M[r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty] \]
\[ \leq N[r, (q^k e^{i\theta}, q^k e^{-i\theta}; q^j)_\infty = 0] + [O(\log r)^{\sigma - 1+\varepsilon} + O(\log r)^{\sigma - 2+\varepsilon} \cdots + O(\log r)] + B, \]

where \( \sigma = \sigma_{\log} = 2. \) It follows from (9.6) and (9.1) that
\[ N(r, f) \leq T(r, f) \leq N(r, f) + O(\log r). \]

Hence if follows from (9.5) \[ \Theta_{AW}(0) = 1 - \frac{1}{n}. \]
as asserted. \( \square \)

**Example 9.2.** Applying similar idea used in the last example, we can show that the function
\[ f(x) = (e^{i\theta}, e^{-i\theta}; q^{2n-1})_\infty \prod_{k=1}^{n}(q^{2k-1} e^{i\theta}, q^{2k-1} e^{-i\theta}; q^{2n-1})_\infty \]
has
\[ \Theta_{AW}(0) = \frac{1}{n}. \]
Again, one can generalise the above idea to construct an entire function with arbitrary rational \( AW-\)Nevanlinna deficient value.

**Example 9.3.** Let \( m, n \) be positive integers such that \( 1 \leq m < n. \)
\[ f(x) = \prod_{k=0}^{m}(q^k e^{i\theta}, q^{2k-1} e^{-i\theta}; q^{2n-m})_\infty \]
\[ \times \prod_{k'=1}^{n-m}(q^{m+2k'} e^{i\theta}, q^{m+2k'} e^{-i\theta}; q^{2n-m})_\infty. \]
Then
\[ \Theta_{AW}(0) = \frac{m}{n}. \]

We next consider an example of different type.

**Example 9.4.** Let \( M, N \) be non-negative integers such that \( M > N. \)
\[ f(x) = [(e^{i\theta}, e^{-i\theta}; q)_\infty]^M [(qe^{i\theta}, qe^{-i\theta}; q)_\infty]^N. \]
It follows from the above construction of \( f \) and the definition of \( \tilde{N}_{AW}(r, f = a) \) in (7.3) that
\[
\Theta_{AW}(0) = 1 - \frac{M - N}{M + N} = \frac{2N}{M + N}.
\]

Proof. We skip the derivation. \( \square \)

10. The Askey-Wilson kernel and theta functions

A way to look at the classical small Picard theorem is that when a meromorphic function omits three values in \( \mathbb{C} \), then the function belongs to the kernel of conventional differential operator, that is, it is a constant. We now show that the “constants” for the AW-operator \( D_q \) are very different.

Theorem 10.1. Let \( f(x) \) be an entire function in \( \mathbb{C} \) that satisfies \( (D_q f)(x) \equiv 0 \). Then \( f(x) = c \) throughout \( \mathbb{C} \) for some complex number \( c \).

Proof. We recall our initial assumption that \( |q| < 1 \). Let \( f \) be an entire function that lies in the kernel of \( D_q \), that is, \( D_q f \equiv 0 \). Hence for every complex number \( z \neq 0 \), we have
\[
f\left(\frac{z + 1/z}{2}\right) = f\left(\frac{qz + q^{-1}/z}{2}\right).
\]

We deduce easily by induction that, for every integer \( n \), the equality
\[
(10.1) \quad f\left(\frac{z + 1/z}{2}\right) = f\left(\frac{q^n z + q^{-n}/z}{2}\right).
\]
holds.

For each \( x \in \mathbb{C} \), we can find a non-zero \( z \in \mathbb{C} \) such that
\[
x = \frac{z + 1/z}{2}.
\]
Let
\[
m = \left\lfloor \frac{\log |z|}{\log \left|\frac{1}{q}\right|} \right\rfloor
\]
be in \( \mathbb{Z} \), where \([\alpha]\) denote the integral part of real number \( \alpha \). Then we have
\[
(10.3) \quad 1 \leq |q^m z| \leq \left|\frac{1}{q}\right|.
\]
Noting that the real-valued function \( t + \frac{1}{t} \) is increasing for \( t \geq 1 \), we deduce that
\[
(10.4) \quad \left|\frac{q^m z + q^{-m}/z}{2}\right| \leq \frac{|q| + |q|^{-1}}{2}
\]
holds. Therefore
\[
(10.5) \quad |f(x)| = \left|f\left(\frac{q^m z + q^{-m}/z}{2}\right)\right| \leq M := \max_{|y| \leq \frac{|q| + |q|^{-1}}{2}} |f(y)|.
\]
Since \( x \) is arbitrary, we have shown that \( f(x) \) is a bounded entire function and so it must reduce to a constant function. \( \square \)
The example (1.9) of meromorphic function that satisfies \( D_q f \equiv 0 \) given by Ismail [29, p. 365] has finite logarithmic order. We call these functions AW-constants. For the sake of simlicility, we adopt Ismail’s notation that (1.9) can be rewritten in the form

\[
(10.6) \quad f(x) = \left( \cos \theta - \cos \phi \right) \frac{\phi_D(\cos \theta; qe^{i\phi}) \phi_D(\cos \theta; qe^{-i\phi})}{\phi_D(\cos \theta; q^{1/2}e^{i\phi}) \phi_D(\cos \theta; q^{1/2}e^{-i\phi})}.
\]

We show below that all functions in the \( \ker D_q \) are essentially functions made up of this form.

**Theorem 10.2.** Let \( f(x) \) be a meromorphic function in \( \mathbb{C} \) that satisfies 
\( (D_q f)(x) \equiv 0 \). Then there exist a nonnegative integer \( k \) and complex numbers \( a_1, a_2, \ldots, a_k; b_1, b_2, \ldots, b_k; C \) such that

\[
(10.7) \quad f(x) = C \prod_{j=1}^{k} \left( \frac{\phi_D(\cos \theta; a_j) \phi_D(\cos \theta; q/a_j)}{\phi_D(\cos \theta; b_j) \phi_D(\cos \theta; q/b_j)} \right)
\]

where \( x = \frac{1}{2}(e^{i\theta} + e^{-i\theta}) \), and \( \phi_D(x; a) := \left( ae^{i\theta}, ae^{-i\theta}; q \right)_\infty \). That is, each AW-constant assumes the form (10.7).

**Proof.** For any complex numbers \( a \) and \( b \), let

\[
(10.8) \quad f_{a,b}(x) := \frac{\phi_D(x; a) \phi_D(x; q/a)}{\phi_D(x; b) \phi_D(x; q/b)}.
\]

It is routine to check that

\[
(10.9) \quad (D_q f_{a,b})(x) \equiv 0
\]

holds. Without loss of generality, we assume that \( f(x) \neq 0 \). Let us suppose that \( x_0 = (z_0 + 1/z_0)/2 \) be a zero (resp. pole) of \( f \), then so is each point that belongs to the sequence \( \{q^n z_0 + 1/q^n/z_0 \}/2 \}_{n \in \mathbb{Z}} \) in view of (10.1) with the same multiplicity. We introduce an *equivalence relation* on all the zeros (resp. poles) of \( f \). For \( x_1 = (z_1 + 1/z_1)/2 \) and \( x_2 = (z_2 + 1/z_2)/2 \), if there exists an integer \( n \) such that \( z_1 = zq^n \), then we say \( x_1 \) and \( x_2 \) is equivalent to each other. We denote the class of zeros (resp. poles) which is equivalent to \( x_0 \) by \( \{x_0\} \). Clearly every zero (resp. pole) in an equivalent class has the same multiplicity. It follows from (10.8), that for every equivalent class of zeros (resp. poles), there exists an element \( x' \), say, such that \( |x'| \leq (q + 1/q)/2 \). Since \( f \) is meromorphic, it has at most finite number of zeros and poles in the disc \( \{|x| \leq (q + 1/q)/2\} \), and thus \( f \) has at most finitely many equivalent classes of zeros (resp. poles) in the complex plane. Denote by \( \{a_1\}, \{a_2\}, \ldots, \{a_l\} \) the equivalent classes of zeros of \( f \) and by \( \{b_1\}, \{b_2\}, \ldots, \{b_k\} \) the equivalent classes of poles of \( f \), list according to their multiplicities.
We now distinguish two cases:

**Case A:** \( l \geq k \). Set

\[
(10.10) \quad g(x) = \prod_{j=1}^{k} \frac{\phi_{\infty}(x; a_j) \phi_{\infty}(x; q/a_j)}{\phi_{\infty}(x; b_j) \phi_{\infty}(x; q/b_j)}.
\]

Then it follows from the same principle as in (10.9) that it again satisfies

\[
(10.11) \quad (D_qg)(x) \equiv 0.
\]

Notice that \( f(x)/g(x) \) is now an entire function, and it also satisfies

\[
(10.12) \quad \left( D_q \frac{f}{g} \right)(x) \equiv 0.
\]

Theorem 10.1 implies that we have

\[
(10.13) \quad \frac{f(x)}{g(x)} \equiv C.
\]

This establishes (10.7) as required.

**Case B:** \( k \geq l \). We consider the meromorphic function \( 1/f(x) \) instead. So it satisfies

\[
(10.14) \quad \left( D_q \frac{1}{f} \right)(x) \equiv 0.
\]

and has equivalent classes of zeros \( \{b_1\}, \{b_2\}, \ldots, \{b_k\} \) and the equivalent classes of poles \( \{a_1\}, \{a_2\}, \ldots, \{a_l\} \), listed according to their multiplicities. Notice that \( 1/f(x) \) falls into the category considered in case A above, so that

\[
(10.15) \quad \frac{1}{f(x)} = C \prod_{j=1}^{l} \frac{\phi_{\infty}(x; b_j) \phi_{\infty}(x; q/b_j)}{\phi_{\infty}(x; a_j) \phi_{\infty}(x; q/a_j)}.
\]

Hence

\[
(10.16) \quad f(x) = \frac{1}{C} \prod_{j=1}^{l} \frac{\phi_{\infty}(x; a_j) \phi_{\infty}(x; q/a_j)}{\phi_{\infty}(x; b_j) \phi_{\infty}(x; q/b_j)}
\]

as required.

We now explore the fact that the space \( \ker D_q \) is a linear space. This allows us to derive a number of interesting relationships amongst some arbitrary combinations of products of \( \phi_{\infty}(x; a) \phi_{\infty}(x; q/a) \) can be represented by a single such product. We shall show that many well-known identities about Jacobi theta functions can be expressed in the forms that fit those relationships.
Theorem 10.3. Given positive integer \( k \) and complex numbers \( a_j, C_j, j = 1, 2, \cdots k \), there exist complex numbers \( b \) and \( C \) such that

\[
\sum_{j=1}^{k} C_j \phi_\infty(x; a_j) \phi_\infty(x; q/a_j) = C \phi_\infty(x; b) \phi_\infty(x; q/b).
\]

Alternatively, we express this equation in \( q \)-rising factorial notation as

\[
\sum_{j=1}^{k} C_j (a_j e^{iz}, a_j e^{-iz}; q)_\infty (q)_\infty (q/a_j e^{iz}, q/a_j e^{-iz}; q)_\infty = C (b e^{iz}, b e^{-iz}; q)_\infty (q)_\infty (q/b e^{iz}, q/b e^{-iz}; q)_\infty.
\]

Proof. Let \( d \) be a complex number such that \( d \neq a_j \) for \( 1 \leq j \leq k \). Set

\[
f(x) = \sum_{j=1}^{k} C_j \frac{\phi_\infty(x; a_j) \phi_\infty(x; q/a_j)}{\phi_\infty(x; d) \phi_\infty(x; q/d)}.
\]

Then we know from (10.8) that

\[
(D_q f)(x) \equiv 0.
\]

Hence \( f(x) \) lies in the kernel of \( D_q \). We deduce from Theorem 10.2 that there exist a nonnegative integer \( m \) and complex numbers \( C, c_1, c_2, \cdots, c_k; d_1, d_2, \cdots, d_k \), listed according to their multiplicities, such that

\[
f(x) = C \prod_{j=1}^{m} \phi_\infty(x; c_j) \phi_\infty(x; q/c_j) \prod_{j=1}^{m} \phi_\infty(x; d_j) \phi_\infty(x; q/d_j).
\]

However, \( f(x) \) can only have a single equivalent class \( \{d\} \) of poles of multiplicity one. We deduce \( m = 1 \) and \( d_1 = d \), let \( b = c_1 \), we have

\[
f(x) = C \frac{\phi_\infty(x; b) \phi_\infty(x; q/b)}{\phi_\infty(x; d) \phi_\infty(x; q/d)}.
\]

Combining (10.19) and (10.22) yields (10.17). \( \square \)

Similarly we obtain the following extension but we omit its proof.

Theorem 10.4. Given nonnegative integers \( k, m \) and complex numbers \( a_{ij}, C_j, i = 1, 2, \cdots, m; j = 1, 2, \cdots k \), there exist complex numbers \( c_1, c_2, \cdots, c_m \) and \( C \) such that

\[
\sum_{j=1}^{k} C_j \prod_{i=1}^{m} \phi_\infty(x; a_{ij}) \phi_\infty(x; q/a_{ij}) = C \prod_{i=1}^{m} \phi_\infty(x; c_i) \phi_\infty(x; q/c_i).
\]
Alternatively, we express this equation in $q$–rising factorial notation as

\[(10.24)\]

\[
\sum_{j=1}^{k} C_j \prod_{i=1}^{m} (a_{ij} e^{iz}, a_{ij} e^{-iz}; q)_{\infty} (q/a_{ij} e^{iz}, q/a_{ij} e^{-iz}; q)_{\infty}
\]

\[= C \prod_{i=1}^{m} (c_i e^{iz}, c_i e^{-iz}; q)_{\infty} ((q/c_i) e^{iz}, (q/c_i) e^{-iz}; q)_{\infty}.\]

Let us now verify some well-known identities about the theta functions fit in the description of \((10.28)\) and \((10.29)\) in the Theorems above with $q$ replaced by $q^2$. But first let us write $q = e^{i\tau}$ where $\Im(\tau) > 0$, thus satisfying our hypothesis that $|q| < 1$. Then we note the following relationships derived from \([47, \text{ pp. 469–473}]:

\bullet \qquad (10.25) \quad \vartheta_4(z, q) = (q^2; q^2)_{\infty} (q e^{2iz}, q^2)_{\infty} (q e^{-2iz}, q^2)_{\infty},

\bullet \qquad (10.26) \quad \vartheta_3(z) = \vartheta_4(z + \frac{\pi}{2}) = (q^2; q^2)_{\infty} (q e^{2iz + i\pi}, q e^{-2iz - i\pi}; q^2)_{\infty},

\bullet \qquad (10.27) \quad \vartheta_1(z)/(-i q^{1/4} e^{iz}) = \vartheta_4(z + \frac{\pi \tau}{2}) = (q^2; q^2)_{\infty} (q^2 e^{2iz}; q^2)_{\infty} (e^{-2iz}; q^2)_{\infty},

and finally

\bullet \qquad (10.28) \quad \vartheta_2(z) = \vartheta_1(z + \frac{1}{2} \pi) = q^{\frac{1}{4}} e^{iz} (q^2; q^2)_{\infty} (-q^2 e^{2iz}, -e^{-2iz}; q^2)_{\infty}.

We first consider

**Example 10.5.** Consider the following theta function identity.

\[(10.29)\]

\[
\vartheta_4^2(z) \vartheta_2^2(z) \vartheta_2^2(z) \vartheta_2^2(z) = \vartheta_3^2(z) \vartheta_3^2(z),
\]

where $\vartheta_j = \vartheta_j(0)$, $j = 1, 2, 3$, as stated in \([47, \text{ p. 466}]\). We easily obtain the following relations from \((10.26)\) above:

\[(10.30)\]

\[
(q e^{iz}, q e^{-iz}; q^2)_{\infty} (q^2 / q \cdot e^{iz}, q^2 / q \cdot e^{-iz}; q^2)_{\infty}
\]

\[
= (q e^{iz}, q e^{-iz}; q^2)_{\infty} = \vartheta_4^2(z/2) / (q^2; q^2)_{\infty}.
\]

\[(10.31)\]

\[
(-q^2 e^{iz}, -q^2 e^{-iz}; q^2)_{\infty} (q^2 / (-q^2) e^{iz}, q^2 / (-q^2) e^{-iz}; q^2)_{\infty}
\]

\[
= (-q^2 e^{iz}, -q^2 e^{-iz}; q^2)_{\infty} \cdot (-e^{iz}, -e^{-iz}; q^2)_{\infty}
\]

\[
= e^{iz/2} (-q^2 e^{iz}, -e^{-iz}; q^2)_{\infty} \cdot e^{-iz/2} (-q^2 e^{-iz}, -e^{iz}; q^2)_{\infty}
\]

\[
= q^{-\frac{1}{4}} \vartheta_2(z/2) / (q^2; q^2)_{\infty} \cdot q^{-\frac{1}{4}} \vartheta_2(-z/2) / (q^2; q^2)_{\infty}
\]

\[
= q^{-\frac{1}{4}} \vartheta_2^2(z/2) / (q^2; q^2)_{\infty}.
\]
Moreover,
\[
(q^2 e^{iz}, q^2 e^{-iz}; q^2) = (q^2 e^{iz}, q^2 e^{-iz}; q^2)
\]
(10.32)

We substitute the above relations (10.30–10.32) into (10.29) to obtain

(10.33)
\[
(q^2; q^2)^2 \vartheta_1 \cdot (q e^{iz}, q e^{-iz}; q^2) \cdot (q^2/q e^{iz}, q^2/q e^{-iz}; q^2) = q^2 \cdot (q^2/q e^{iz}, q^2/q e^{-iz}; q^2)
\]

This is of the form (10.18) with \(k = 2\), \(a_1 = q\), \(a_2 = -q^2\), \(b = -q\) and \(C_1 = (q^2; q^2)^2 \vartheta_4\), \(C_2 = q^2 (q^2; q^2)^2 \vartheta_2\) and \(C = (q^2; q^2)^2 \vartheta_3\).

**Example 10.6.** Similarly, we consider

(10.34)
\[
\vartheta_1^2(z) \vartheta_3^2 + \vartheta_2^2(z) \vartheta_3^2 = \vartheta_2^2(z) \vartheta_2^2.
\]

We notice that in the case of \(\vartheta_1\),

(10.35)
\[
(q^2 e^{iz}, q^2 e^{-iz}; q^2) = \left( q^2 e^{iz}, q^2 e^{-iz}; q^2 \right)
\]

since \(\vartheta_1(z)\) is an odd function of \(z\). Substituting (10.35), (10.30) and (10.31) into (10.34) yields

(10.36)
\[
q^{1/2}(q^2; q^2)^2 \vartheta_2^2 \cdot (q e^{iz}, q e^{-iz}; q^2) \cdot (q^2/q e^{iz}, q^2/q e^{-iz}; q^2)
\]

which assumes the form (10.18) of Theorem 10.3 with \(k = 2\), that given the constants \(C_1 = q^{1/2}(q^2; q^2)^2 \vartheta_3^2\), \(C_2 = q^{1/2}(q^2; q^2)^2 \vartheta_4^2\), there exists constant \(C = (q^2; q^2)^2 \vartheta_2^2\) and \(b = q\).

We next illustrate that the following *addition formula* of theta functions
Example 10.7.

\[(10.37) \quad \vartheta_1^2(y) \vartheta_2^2(z) + \vartheta_3^2(y) \vartheta_3^2(z) = \vartheta_3(z + y) \vartheta_3(z - y) \vartheta_2^2.\]

is also a special case of the Theorem 10.3 when \(k = 2\). To do so, let us rewrite the formula \[(10.17)\] in the form with \(a_1 = q^2\), \(a_2 = -q^2\) and \(q\) replaced by \(q^2\). That is, we treat \[(10.37)\] as an identity that relates functions about \(z\). Substitute \[(10.35)\] for \(\vartheta_4(z/2)\), \[(10.32)\] for \(\vartheta_3(z/2)\) and \[(10.31)\] for \(\vartheta_2\) into \[(10.37)\] yields for the left-side:

\[(10.38) \quad \vartheta_1^2(y) \vartheta_2^2(z) + \vartheta_3^2(y) \vartheta_3^2(z) = \left[ q^{1/2}(q^2; q^2)_{\infty}^2 \right] (q^2 e^{iy}, q^2 e^{-iy}; q^2)_{\infty} (q^2/q^2 e^{iy}, q^2/q^2 e^{-iy}; q^2)_{\infty} (q^2/q^2 e^{iz}, q^2/q^2 e^{-iz}; q^2)_{\infty} \]

\[+ \left[ (q^2; q^2)_{\infty}^2 \right] (q^2 e^{iy}, -q^2 e^{-iy}; q^2)_{\infty} (q^2/(q^2 e^{iy}), q^2/(q^2 e^{-iy}; q^2)_{\infty} \]

\[\quad \times (-q^2 e^{iz}, -q^2 e^{-iz}; q^2)_{\infty} (q^2/(q^2 e^{iz}), q^2/(q^2 e^{-iz}; q^2)_{\infty} \]

\[= C_1(q^2 e^{iz}, q^2 e^{-iz}; q^2)_{\infty} (q^2/q^2 e^{iz}, q^2/q^2 e^{-iz}; q^2)_{\infty} \]

where \(C_1 = \left[ q^{1/2}(q^2; q^2)_{\infty}^2 \right] (q^2 e^{iy}, q^2 e^{-iy}; q^2)_{\infty} (q^2/q^2 e^{iy}, q^2/q^2 e^{-iy}; q^2)_{\infty}\), \(C_2 = \left[ (q^2; q^2)_{\infty}^2 \right] (q^2 e^{iy}, -q^2 e^{-iy}; q^2)_{\infty} (q^2/(q^2 e^{iy}), q^2/(q^2 e^{-iy}; q^2)_{\infty}\)

while the right-side:

\[(10.39) \quad \vartheta_3 \left( \frac{y + z}{2} \right) \vartheta_3 \left( \frac{y - z}{2} \right) \vartheta_3^2 \]

\[= \left[ (q^2; q^2)_{\infty}^2 \right] (-q e^{i(y+z)}, -q e^{-i(y+z); q^2} (q^2/(q^2 e^{i(y-z)}, -q e^{-i(y-z); q^2})_{\infty} \]

\[= \left[ (q^2; q^2)_{\infty}^2 \right] (-q e^{i(z+y)}, -q e^{-i(z+y); q^2})_{\infty} \]

\[= C \left( b e^{iz}, b e^{-iz}; q^2 \right)_{\infty} \left( (q^2/b) e^{iz}, (q^2/b) e^{-iz}; q^2 \right)_{\infty}, \]

where \(C = \left[ (q^2; q^2)_{\infty}^2 \right; b = q e^{iy} so that be^{iz} = q e^{i(z+y)}, q^2/b e^{iz} = q e^{i(z-y)}\).

11. Askey-Wilson type Five-value theorem

The above consideration allows us to obtain a variation of Nevanlinna’s five-values theorem for finite logarithmic order meromorphic functions. Nevanlinna showed in 1929 [23, §2.7] that if two arbitrary meromorphic functions share five values, that is, the pre-images of the five points (so ignoring their multiplicities) in \(\mathbb{C}\) are equal, then two functions must be identical. There has been numerous generalisations of this result, including those taking multiplicities into account. Halburd and Korhonen showed that there is a natural analogue of the five-value theorem for two finite order meromorphic functions for the simple difference operator \(\Delta f\) in [23]. We show below that there is also a natural extension for the five-value theorem for two finite logarithmic order meromorphic functions with respect to the AW-operator. Our definition for two functions sharing a value in Askey-Wilson appears to be different in spirit from that given in [23].
Definition 11.1. Let $f$ and $g$ be two meromorphic functions with finite logarithmic orders. Let $a \in \mathbb{C}$. We write $E_f(a)$ to be the inverse image of $a$ under $f$, that is, it is the subset of $\mathbb{C}$ where $f(x) = a$. Then we say that $f$ and $g$ share the AW-value $a$ if $E_f(a) = E_g(a)$ except perhaps on the subset of $\mathbb{C}$ such that
\begin{equation}
\tilde{n}_{aw}(r, f = a) - \tilde{n}_{aw}(r, g = a) = O(1).
\end{equation}

We can write the above statement in the equivalent form
\begin{equation}
\tilde{N}_{aw}(r, f = a) - \tilde{N}_{aw}(r, g = a) = O(\log r).
\end{equation}

We recall from the Theorem 11.1 that the definition (11.1) means that
\[
\sum_{|x| < r} (h_f(x) - k_f(x)) - \sum_{|x| < r} (h_g(x) - k_g(x)) = O(1),
\]
where $k = \min\{h, k'\}$. We note that the definition entails that two finite logarithmic order meromorphic functions share a AW-value could be very different from two meromorphic functions share the value $a$ in the classical sense. If the pre-images of $a \in \mathbb{C}$ under $f$ and $g$ lie on a sequence defined by (11.1), then $f$ and $g$ share AW-value. On the other hand, there are many ways for which the $h_f(x) - k_f(x)$ and $h_g(x) - k_g(x)$ can behave that would lead to the upper bound stipulated in (11.2).

Theorem 11.2. Let $f_i(z), i = 1, 2$ be non-constant meromorphic functions of finite logarithmic orders such $D_qf_i \neq 0$. Suppose that $f_i(z), i = 1, 2$ share five distinct AW-points $a_{\nu}, \nu = 1, \cdots, 5$. Then $f_1 \equiv f_2$.

Proof. We follow the exposition in Hayman [26]. We suppose on the contrary that the functions $f_1, f_2$ are not identically the same. According to the assumption, we shall assume that $E_{f_1}(a_{\nu}) \equiv E_{f_2}(a_{\nu})$ except perhaps on those $x$ for which the hold with $\nu = 1, \cdots, 5$. Hence
\begin{equation}
N_{12, \nu}(r) := \tilde{N}_{aw}(r, \frac{1}{f_1 - a_{\nu}}) - \tilde{N}_{aw}(r, \frac{1}{f_2 - a_{\nu}}) + O(\log r), \quad \nu = 1, \cdots, 5.
\end{equation}

Choosing $p = 5$ in (7.5) yields
\begin{equation}
(4 + o(1)) T(r, f_i) \leq \tilde{N}_{aw}(r, f_i) + \sum_{\nu=1}^{5} N_{12, \nu}(r, f_i) + O(\log r), \quad i = 1, 2,
\end{equation}
and hence,
\begin{equation}
(3 + o(1)) T(r, f_i) \leq \sum_{\nu=1}^{5} N_{12, \nu}(r) + O(\log r), \quad i = 1, 2.
\end{equation}
Since $f_1, f_2$ are not identical, so
\begin{equation}
T\left(r, (f_1 - f_2)^{-1}\right) = T(r, f_1 - f_2) + O(1)
\end{equation}
\begin{equation}
\leq T(r, f_1) + T(r, f_2) + O(1)
\end{equation}
\begin{equation}
\leq \left(\frac{2}{3} + o(1)\right) \sum_{\nu=1}^{5} N_{12, \nu}(r).
\end{equation}
Thus except for those \( x \) for which the (11.2) may hold with \( a, \nu \) points (\( \nu = 1, \cdots, 5 \)), the zeros of \( f_1 - f_2 \) satisfy

\[
\sum_{\nu=1}^{5} N_{12, \nu}(r) \leq \tilde{N}_{AW} \left( r, \frac{1}{f_1 - f_2} \right) \leq T \left( r, (f_1 - f_2)^{-1} \right)
\]

(11.7)

\[
= T(r, f_1 - f_2) + O(1)
\]

\[
\leq \left( \frac{2}{3} + o(1) \right) \sum_{\nu=1}^{5} N_{12, \nu}(r).
\]

Thus,

(11.8)

\[
\sum_{\nu=1}^{5} N_{12, \nu}(r) = \frac{1}{3} + o(1).
\]

which is impossible if \( f_1, f_2 \) are non-constant and \( D_q f_i \neq 0, i = 1, 2 \). This completes the proof.

\[ \square \]

12. Applications to difference equations

Let

(12.1)

\[
P_n(x) = p_n(x; a, b, c, d | q) :
\]

\[
\frac{(ab, ac, ad, ; q)_n}{a^n} \phi_3 \left( q^{-n}, a b c d q^{-n - 1}, a e^{i\theta}, a e^{-i\theta} \mid q; q \right)
\]

be the \( n \)-th Askey-Wilson polynomial, where we recall that \( x = \cos \theta \). It is known from [6, Theorem 2.2] that when \(-1 < q < 1, a, b, c, d \) are real or appear in conjugate pairs, and that \( \max\{|a|, |b|, |c|, |d| \} < 1 \), then the AW-polynomials are orthogonal on \([-1, 1]\) with respect to the weight function

(12.2)

\[
\omega(x) = \omega(x; a, b, c, d | q) := \frac{\omega(x; a, b, c, d | q)}{\sqrt{1 - x^2}}
\]

\[
= \frac{(a e^{i\theta}, a e^{-i\theta}; q)_{\infty}(b e^{i\theta}, b e^{-i\theta}; q)_{\infty}(c e^{i\theta}, c e^{-i\theta}; q)_{\infty}(d e^{i\theta}, d e^{-i\theta}; q)_{\infty} \sin \theta}{(a e^{2\theta}, e^{-2i\theta}; q)_{\infty}(b e^{2\theta}, b e^{-2i\theta}; q)_{\infty}(c e^{2\theta}, c e^{-2i\theta}; q)_{\infty}(d e^{2\theta}, d e^{-2i\theta}; q)_{\infty}}
\]

Let

(12.3)

\[
\tilde{\omega}(x) := \omega(x; a q^{\frac{1}{2}}, b q^{\frac{1}{2}}, c q^{\frac{1}{2}}, d q^{\frac{1}{2}} | q),
\]

be a shifted-weight function. Askey and Wilson showed (see [6, (5.16)]) that the AW-polynomials are also eigen-solutions to the (self-adjoint) second-order difference equation

(12.4)

\[
(1 - q)^2 D_q \left[ \tilde{\omega}(x) D_q y(x) \right] + \lambda_n \omega(x) y(x) = 0
\]

where \( y(x) = p_n(x; a, b, c, d | q) \)

\[
\lambda_n = 4q^{-n+1}(1 - q^n)(1 - a b c d q^{n-1}),
\]
are corresponding eigenvalues.
We consider a self-adjoint type equation with a more general entire coefficient. To
do so we first derive a variation of an estimate given by Bergweiler and Hayman
Lemma 3] where they gave an accurate asymptotic formula for the Jacobi theta
function \( \vartheta_4(z; q) \) (see [47, p. 469]) in the punctured plane \( \mathbb{C}^* = \mathbb{C}\{0\} \) away from
the zeros when considered as the function of \( z \). If \( x = \cos \theta = (z + 1/z)/2 \), then in
our notation, their theta function \( (4.5) \) is represented as
\[
(q^2, q e^{i\theta}, q e^{-i\theta}, q^2)_\infty.
\]
We modify their argument to suit the notation we use for our infinite products
which allow for an extra non-zero parameter \( a \). Unlike the restriction that \( q \) is
required to be real and \( -1 < q < 1 \) in \( [6, Theorem 2.2] \), we allows our
\( q \) to be complex.

**Lemma 12.1.** Suppose \( a \in \mathbb{C}\{0\} \), \( x = \cos \theta = \frac{1}{2}(z + z^{-1}) \), and

\begin{equation}
(12.5) \quad f(x) = (ae^{i\theta}, ae^{-i\theta}; q)_\infty.
\end{equation}

Let \( |z| > \max\{|aq^{-\frac{1}{2}}|, |a^{-1}q^{\frac{1}{2}}|\} \), \( \nu \in \mathbb{N} \) and \( \tau \in [0, 1) \) be two numbers (both depend
on \( z \)) that satisfy

\begin{equation}
(12.6) \quad |az| = |q|^{\frac{1}{2} - \tau - \nu}.
\end{equation}

Then we have,

\begin{equation}
(12.7) \quad \log |f(x)| = \frac{\left(\log |az|\right)^2}{-2 \log |q|} + \frac{1}{2} \log(|az|) + \log |1 - aq^{-1}z| + O(1)
\end{equation}
as \( x \to \infty \) and hence \( z \to \infty \).

**Proof.** We write

\begin{equation}
(12.8) \quad \log |(ae^{i\theta}, ae^{-i\theta}; q)_\infty| = \sum_{k=1}^{\infty} \log |(1 - aq^{k-1}z)(1 - aq^{k-1}/z)|
\end{equation}
where

\begin{align*}
S_1 &= \sum_{k=1}^{\nu-1} \log |(1 - aq^{k-1}z)|, \quad S_2 = \sum_{k=\nu+1}^{\infty} \log |(1 - aq^{k-1}z)|,
S_3 &= \sum_{k=1}^{\infty} \log |(1 - aq^{k-1}/z)|.
\end{align*}
We first consider

$$S_1 = \sum_{k=1}^{\nu-1} \log |aq^{k-1}z| + \sum_{k=1}^{\nu-1} \log \left| 1 - \frac{1}{aq^{k-1}z} \right|$$

(12.9)

$$= (\nu - 1) \log |az| + (\nu - 1)(\nu/2 - 1) \log |q| + \sum_{k=1}^{\nu-1} \log \left| 1 - \frac{1}{aq^{k-1}z} \right|.$$ 

Since $k \leq \nu - 1$, so that $\nu - k \geq 1$, we have

$$\left| \frac{1}{aq^{k-1}z} \right| = |q|^{-\frac{1}{2}+\nu+\tau+(1-k)} \leq |q|^{\nu-k} \leq |q|^{\frac{\nu}{2}} < 1.$$ 

(12.10)

$$\left| \log \left| 1 - \frac{1}{aq^{k-1}z} \right| \right| < \log \left| \frac{1}{1-|q|^{\nu-k}} \right| < \frac{|q|^{\nu-k}}{1-|q|^{\nu-k}} < \frac{|q|^{\nu-k}}{(1-|q|^{\frac{1}{2}})}.$$ 

Hence

$$\sum_{k=1}^{\nu-1} \left| \log \left| 1 - \frac{1}{aq^{k-1}z} \right| \right| < \sum_{k=1}^{\nu-1} \frac{|q|^{\nu-k}}{1-|q|^{\nu-k}} < \frac{1}{|q|^{\nu-k}} \sum_{j=1}^{\infty} |q|^j.$$

(12.11)

$$= \frac{|q|^{\frac{\nu}{2}}}{(1-|q|^{\frac{1}{2}})(1-|q|)}.$$ 

We deduce that

$$|S_1 - (\nu - 1) \log |az| + (\nu - 1)(\nu/2 - 1) \log |q| | < \frac{|q|^{\frac{\nu}{2}}}{(1-|q|^{\frac{1}{2}})(1-|q|)}.$$ 

(12.12)

Now let us compute $S_2$. Since $k \geq \nu + 1$ and $\tau \in [0, 1)$, so

$$|aq^{k-1}z| = |q|^{\frac{1}{2}+k-\nu-\tau} < |q|^{k-\nu} \leq |q|^{\nu/2} < 1.$$ 

Hence

$$\left| \log \left| 1 - aq^{k-1}z \right| \right| \leq \log \left| \frac{1}{1-|q|^{k-\nu}} \right| \leq \frac{|q|^{k-\nu-\frac{1}{2}}}{1-|q|^{k-\nu-\frac{1}{2}}} < \frac{|q|^{k-\nu}}{|q|^{\frac{1}{2}}(1-|q|^{\frac{1}{2}})}.$$ 

(12.13)

We deduce

$$|S_2| \leq \frac{1}{|q|^{\frac{1}{2}}(1-|q|^{1/2})} \sum_{k=\nu+1}^{\infty} |q|^{k-\nu} = \frac{1}{|q|^{\frac{1}{2}}(1-|q|^{1/2})} \left( \sum_{j=1}^{\infty} |q|^j \right)$$

$$= \frac{|q|^{\frac{1}{2}}}{1-|q|(1-|q|^{1/2})}.$$ 

(12.14)

It remains to estimate $S_3$. According to our assumption $|z| > |aq^{-\frac{1}{2}}|$ that

$$|aq^{k-1}/z| < |q|^{k-\frac{1}{2}} \leq |q|^{\frac{1}{2}} < 1.$$ 

3Since $|\log(1+z)| < \log \left| \frac{1}{1-|z|} \right| < \frac{|z|}{1-|z|}$, (0 < |z| < 1), see e.g., [2] p. 68, (4.1.34) and (4.1.38)].
so that, we can invoke a similar argument used to estimate (12.10) to derive

\[
\sum_{k=1}^{\infty} \left| \log \left| 1 - \frac{aq^{k-1}}{z} \right| \right| \leq \sum_{k=1}^{\infty} \log \frac{1}{1 - \frac{aq^{k-1}}{z}} < \sum_{k=1}^{\infty} \log \frac{1}{1 - |q|^{k-\frac{1}{2}}}
\]

(12.15)

Combining the estimates (12.9), (12.12), (12.14) and (12.15) yields the estimate (12.17) as required. 

We recall the following estimate which can be found in both [28, p. 62] and [31, p. 66].

Lemma 12.2. Let \( \alpha, 0 < \alpha < 1 \) be given, then for every given complex number \( w \), we have

\[
\frac{1}{2\pi} \int_{0}^{2\pi} \frac{1}{|re^{i\phi} - w|^\alpha} d\phi \leq \frac{1}{(1 - \alpha)\alpha}.
\]

(12.16)

Lemma 12.3. Let \( \omega(x) \) and \( \tilde{\omega}(x) \) be as defined in (12.2) and (12.3) respectively. Then we have

\[
m(r, \frac{\tilde{\omega}}{\omega}) = O(\log r), \quad |x| = r.
\]

(12.17)

Proof. It is elementary that

\[
m(r, \frac{\tilde{\omega}}{\omega}) \leq m(r, \frac{(aq^{\frac{1}{2}}e^{i\theta}, aq^{\frac{1}{2}}e^{-i\theta}; q)_\infty}{(ae^{i\theta}, ae^{-i\theta}; q)_\infty}) + m(r, \frac{(aq^{\frac{1}{2}}e^{i\theta}, aq^{\frac{1}{2}}e^{-i\theta}; q)_\infty}{(q^2e^{i\theta}, q^2e^{-i\theta}; q)_\infty}) + m(r, \frac{(aq^{\frac{1}{2}}e^{i\theta}, aq^{\frac{1}{2}}e^{-i\theta}; q)_\infty}{(q^2e^{i\theta}, q^2e^{-i\theta}; q)_\infty}).
\]

Without loss of generality, we consider \( m(r, \frac{(aq^{\frac{1}{2}}z, aq^{\frac{1}{2}}z; q)_\infty}{(az, a/z; q)_\infty}) \). Since \( |z| = 2|x| + o(1) \) as \( |x| \to \infty \), we have by Lemma 12.2

\[
\log \left| \frac{aq^{\frac{1}{2}}z, aq^{\frac{1}{2}}z; q)_\infty}{(az, a/z; q)_\infty} \right| = - \log |1 - aq^{\nu - 1}z| + \log |1 - aq^{\nu - 1}z| + O(\log r),
\]

with \( |az| = |q|^\frac{1}{2} - 1 - \nu \), \( |aq^{\frac{1}{2}}z| = |q|^\frac{1}{2} - 1 - \nu \). By Lemma 4.1 with \( \alpha = \frac{1}{2} \), we have

\[
\log |1 - aq^{\nu - 1}z| = O \left( |aq^{\nu - 1}z|^{\frac{1}{2}} + \frac{z}{|z - a^{-1}q^{1-\nu}|^{\frac{1}{2}}} \right) = O \left( \frac{r^{\frac{1}{2}}}{|z - a^{-1}q^{1-\nu}|^{\frac{1}{2}}} \right) + o(1).
\]

On the other hand,

\[
|x - (a^{-1}q^{1-\nu} + aq^{\nu - 1})/2| = \frac{1}{2} |z - a^{-1}q^{1-\nu}||1 - aq^{\nu - 1}z| = O \left( |z - a^{-1}q^{1-\nu}| \right).
\]

Then

\[
\log |1 - aq^{\nu - 1}z| = O \left( \frac{r^{\frac{1}{2}}}{|x - (a^{-1}q^{1-\nu} + aq^{\nu - 1})/2|^{\frac{1}{2}}} \right) + o(1).
\]

(12.22)
Similarly we have

\[(12.23) \quad \log |1 - aq^{\nu_2 - \frac{1}{2}} z| = O\left(\frac{r^\frac{1}{2}}{|x - (a^{-1}q^{\frac{1}{2} - \nu_2} + aq^{\nu_2 - \frac{1}{2}})/2|^{\frac{1}{2}}}\right) + O(1).\]

Substitute (12.22) and (12.23) into (12.19) yields

\[(12.24) \quad \log \left|\frac{a q^{\frac{1}{2} z}, a q^{\frac{1}{2} z}/z; q)}{(az, a/z; q)_\infty}\right| = O\left(\frac{1}{|x - (a^{-1}q^{1 - \nu_1} + aq^{\nu_1 - 1})/2|^{\frac{1}{2}}} + \frac{1}{|x - (a^{-1}q^{\frac{1}{2} - \nu_2} + aq^{\nu_2 - \frac{1}{2}})/2|^{\frac{1}{2}}}\right) r^\frac{1}{2} + O(\log r),\]

Let \( r \) be large enough and fixed, \( x = re^{i\phi} \). We note that when \( \phi \) varies in \([0, 2\pi]\), \( |z| \) makes a corresponding small change with \( |z| = 2r + o(1) \). This may result in the change of integers \( \nu_1 \) and \( \nu_2 \) but each of them can assume at most two consecutive integer values. Thus

\[(12.25) \quad m\left(r, \frac{a q^{\frac{1}{2} z}, a q^{\frac{1}{2} z}/z; q)}{(az, a/z; q)_\infty}\right) = O\left(\sum_{j=1}^{4}\int_0^{2\pi} \frac{r^\frac{1}{2}}{|re^{i\phi} - w_j|^2} d\phi\right) + O(\log r),\]

where \( w_{1,2} = (a^{-1}q^{1 - \nu_1} + aq^{\nu_1 - 1})/2, w_{3,4} = (a^{-1}q^{\frac{1}{2} - \nu_2} + aq^{\nu_2 - \frac{1}{2}})/2 \). Hence, we deduce from Lemma 12.2 that

\[(12.26) \quad m\left(r, \frac{a q^{\frac{1}{2} z}, a q^{\frac{1}{2} z}/z; q)}{(az, a/z; q)_\infty}\right) = O(\log r).\]

Similarly we can repeat the above argument to the remaining three terms in (12.18). This completes the proof. \( \square \)

**Theorem 12.4.** Let \( A(x) \) be an entire function of finite logarithmic order \( \sigma_{\log}(A) > 1 \). Suppose that \( f \) is a entire solution to the second-order difference equation

\[(12.27) \quad D_q \left[ \omega(x) D_q y(x) \right] + \omega(x) A(x) y(x) = 0.\]

Then \( \sigma_{\log}(f) \geq \sigma_{\log}(A) + 1 \).

**Proof.** Let

\[ F(x) := \tilde{\omega}(x) D_q f(x). \]

We deduce from Theorem 4.4 that \( \sigma_{\log}(F) \leq \max\{\sigma_{\log}(\omega), \sigma_{\log}(D_q f)\} \leq \max\{2, \sigma_{\log}(f)\} \). Then by the Theorem 3.1 for each \( \varepsilon > 0 \),

\[(12.28) \quad m(r, A) = m\left(r, \frac{D_q \left[ \tilde{\omega}(x) D_q f(x) \right]}{\omega f}\right)
\leq m\left(r, \frac{D_q F(x)}{F(x)}\right) + m\left(r, \frac{\tilde{\omega}}{\omega}\right) + m\left(r, \frac{D_q f(x)}{f(x)}\right)
= O((\log r)^{\sigma_{\log}(F) - 1 + \varepsilon}) + O(\log r) + O((\log r)^{\sigma_{\log}(f) - 1 + \varepsilon})
= O((\log r)^{\max(1, \sigma_{\log}(f) - 1) + \varepsilon}).\]
Since $\sigma_{\log}(A) > 1$ and $\varepsilon > 0$ is arbitrary, so we deduce the desired result. \hfill $\square$

We further define
\begin{equation}
(12.29) \quad \tilde{\omega}_k(x) := \omega(x; a q^k, b q^k, c q^k, d q^k | q),
\end{equation}
to be the $k-$shifted weight function of (12.2), where $k \geq 1$ and $\tilde{\omega}_0(x) = \omega(x; a, b, c, d | q)$. Then Askey and Wilson [19 (5.12)] derived a Rodrigues-type formula:
\begin{equation}
(12.30) \quad (D_q)^k \left[ \omega(x; a q^k, b q^k, c q^k, d q^k | q) \right] = \left( \frac{q-1}{2} \right)^{-n} q^{-\frac{1}{2}n(n-1)} \omega(x; a q^k, b q^k, c q^k, d q^k | q) p_n(x; a, b, c, d | q)
\end{equation}
which may be regarded as a higher order difference equation. We can apply a similar technique used in the last theorem to obtain the following theorem whose proof is omitted. See also [19 Theorem 9.2]

**Theorem 12.5.** Let $k \geq 1, A_j(x), \ j = 0, 1, \cdots k-1$ be an entire functions such that $\sigma_{\log}(A_0) > \sigma_{\log}(A_j) \geq 1, \ j = 1, \cdots, k-1$. Suppose that $f$ is an entire solution to the $k-$th order difference equation
\begin{equation}
(12.31) \quad D_q^{(k)} y(x) + A_{k-1} D_q^{(k-1)} y(x) + \cdots + A_1 D_q y(x) + A_0 y(x) = 0.
\end{equation}
Then $\sigma_{\log}(f) \geq \sigma_{\log}(A_0) + 1.$

13. Concluding remarks

We have shown in this paper that the $AW-$operator naturally induces a version of difference value distribution theory on meromorphic functions of finite logarithmic order of growth. Although the finite logarithmic order growth appears to be restrictive, it turns out that this class of functions contains a large family of meromorphic functions, including the Jacobi theta functions and theta-like functions and also many $q-$series type special functions.

In particular, a Picard-type theorem based on the $AW-$operator is derived. For any complex $a$, instead of the classical Nevanlinna theory in which the Nevanlinna deficiency $\delta(a)$ plays an important role, we have shown that it is the $\Theta_{AW}(a)$ which corresponds to what we used to call the ramification index that plays the crucial role in our $AW-$Nevanlinna theory. It appears to be a proper index to consider when dealing with function theoretic problems on finite differences in general and $AW-$difference operator in particular. As a result, we have called the $\Theta_{AW}(a)$, where $0 \leq \Theta_{AW}(a) \leq 1$, the $AW-$deficiency and showed that $\sum_{a \in \mathbb{C}} \Theta_{AW}(a) \leq 2$ in this paper. Our new Picard theorem says that if a slow-growing (finite logarithmic order) meromorphic function $f$ has three such $AW-$deficient values, then $f$ belongs to $\ker D_q$. Special cases of an $a-$point being a $AW-$deficient value of $f$ include when the pre-image of an $a-$point lies on an infinite sequence $[14]$. Thus, although the equation $f(x) = a$ has infinitely many solutions, our theory suggests us to
interpret these \(a\)–points as if they are not present in the sense of Askey-Wilson. We have also given a complete characterisation of functions that lie in the \(\ker D_q\). Unlike the kernel of conventional differential operator, the \(\ker D_q\) is non-trivial. As a consequence, we have derived a number of interesting relationships exist amongst families of \(q\)–infinite products which include some of the well-known Jacobi theta functions identities.

Although one can write down an infinite convergent series given by the AW–Taylor-expansion (2.10) in terms of the AW–basis, little is is known about the value distribution of those functions. One such example is given by Koelink and Stokman in [33] where they constructed a transcendental function solution to (12.4) which is linearly independent to the Askey-Wilson polynomials (12.1). This transcendental function were further studied in [34]. But we still do not know its logarithmic order. Needless to say that much less is known about the value distribution properties of other transcendental meromorphic functions associated with the AW–operator. Our AW–Nevanlinna theory allows us to understand a little more. For example, the generating function \(H(x)\) (1.6) for \(q\)–ultraspherical polynomials found by Rogers mentioned earlier has zero-sequence and pole sequences as described by (1.7) and (1.5) respectively. However, it has \(\Theta_{\text{AW}}(0) = 1\) and \(\Theta_{\text{AW}}(\infty) = 1\) under our interpretation. Thus the \(H(x)\) can be regarded as AW–zero-free and pole-free. On the other hand, the \(H(x)\) is not in the form (10.7) described by the Theorem 10.2, so it does not belong to the \(D_q\). Hence the \(H(x)\) must assume all \(a \neq 0, \infty\) infinitely often in the sense of Askey-Wilson.

We recall that a function is called a polynomial if the function is annihilated after repeated application of conventional differentiation a finite number of times. Thus, those functions that are annihilated after a differentiation are called constants. If we replace the differential operator by the AW–operator, then the Theorem 10.2 shows that apart from the conventional constants, there are also constants (given by (10.7)) with respect to the AW–operator. So it is natural to ask what are the polynomials and transcendental with respect to the AW–operator. Since even the class of AW–constants consists of a rich collection of conventional transcendental meromorphic functions, thus it can be anticipated that these polynomials should be rich and worth exploration.

The classical Picard theorem and Nevanlinna theory are about a particular way of counting zeros/poles and their multiplicities about a meromorphic function with respect to the basis \(\{x^n\}\) \((–\infty \leq n < +\infty)\) which is natural with respect to the derivative. However, the natural basis for a difference operator is not the usual \(\{x^n\}\). It is known that some natural bases for difference operator \(\Delta f(x) = f(x + c) - f(c)\) and the AW–operator \(D_q f\) are, respectively,

1. The Newton basis: \(p_n(x) = x(x+1)\cdots(x+n+k-1)\)

2. the AW–basis: \(\phi(x; a)_n = (ae^{i\theta}, ae^{-i\theta}; q)_n\), when \(n \geq 0\). However, when defined in an appropriate manner, they can be extended to the full-range \((-\infty < n < +\infty)\). Thus it may be more appropriate to establish the various Nevanlinna theories for difference operators with respect to their natural interpolatory bases, and therefore this includes finding their appropriate residue calculus.
Appendix A. Proof of theorem (2.1)

Although the Askey-Wilson operator is defined on basic hypergeometric polynomials in their original memoir \[ \text{[16]} \], it follows from a terminating sum of \((2.10)\), that one can write \(x^n\) explicitly in terms of \(\{\phi_n(\cos \theta; a)\}\), together with \((2.8)\) show that the Askey-Wilson operator will reduce the a degree \(n\) polynomial \(f(x)\) to degree \(n - 1\). Alternatively, one can verify this directly:

\[
[q^{1/2} - q^{-1/2}]i \sin \theta \left\{ D_q x^k = (q^{1/2} z - q^{-1/2} z^{-1})^k - (q^{-1/2} z - q^{1/2} z^{-1})^k \right. \\
= \sum_{j=0}^{k} \binom{k}{j} q^{-(k-2j)/2} (2i) \sin(k - 2j) \theta.
\]

Hence

\[
D_q x^k = \sum_{j=0}^{k} \binom{k}{j} 2q^{-(k-2j)/2} q^{1/2 - q^{-1/2}} U_{k-2j}(x),
\]

where \(x = \cos \theta\) and \(U_k\) is the Chebyshev polynomial of the second kind, shows that \(D_q x^k\) is indeed a polynomial in \(x\).

Let \(f(x) = \sum_{k=0}^{\infty} a_k x^k\) be entire so \(f_N(x) := \sum_{k=N}^{\infty} a_k x^k \to 0\) uniformly on any compact subset of \(\mathbb{C}\) as \(N \to +\infty\). Thus it is clear that both \(f_N(q^{1/2} z)\) and \(f_N(q^{-1/2} z)\), and hence \(D_q f(\sum_{k=0}^{\infty} a_k x^k) \to 0\) uniformly on any compact subset of \(\mathbb{C}\) as \(N \to +\infty\). Thus \(D_q f = D_q(\sum_{k=0}^{\infty} a_k x^k)\) is analytic and hence entire.

We would like to extend the definition of \(D_q\) to meromorphic functions. To do so we first establish that given \(f(x)\) entire, then so is the

\[
(A_q f)(x) = \frac{1}{2} [\tilde{f}(q^{1/2} z) + \tilde{f}(q^{-1/2} z)],
\]

which is called the averaging operator \([29\text{ p. 301]}\). In view of the argument to justify the analyticity of \(D_q f\) above, it suffices to show that \(A_q x^k\) is again polynomial in \(x\). Since

\[
A_q x^k = \frac{1}{2} \left\{ (q^{1/2} z + q^{-1/2} z^{-1})^k + (q^{-1/2} z + q^{1/2} z^{-1})^k \right\} \\
= \sum_{j=0}^{k} \binom{k}{j} q^{-(k-2j)/2} \cos(k - 2j) \theta = \sum_{j=0}^{k} \binom{k}{j} q^{-(k-2j)/2} T_{k-2j}(x),
\]

where the \(T_k\) is the Chebyshev polynomial of the first kind. Thus \(A_q f(x)\) is again entire.

Moreover, one can check easily that

\[
(D_q f)(x) = \frac{\left(D_q f\right)(x)}{f(q^{1/2} z + q^{-1/2} z) f(q^{-1/2} z + q^{1/2} z)}
\]

(We note that it tends to \(-f'(x)/f^2(x)\) as \(q \to 1\).) We consider

\[
f(q^{1/2} z + q^{-1/2} z)/2 f(q^{-1/2} z + q^{1/2} z)/2 \\
= \left(\sum_{k=0}^{\infty} \frac{a_k}{2^k} (q^{1/2} z + q^{-1/2} z)^k\right) \left(\sum_{k'=0}^{\infty} \frac{a_{k'}}{2^{k'}} (q^{-1/2} z + q^{1/2} z)^{k'}\right) \\
= \sum_{k=0}^{\infty} \sum_{k'=0}^{\infty} \frac{a_k a_{k'}}{2^{k+k'}} (q^{1/2} z + q^{-1/2} z)^k (q^{-1/2} z + q^{1/2} z)^{k'}.
\]
We only need to consider the cases when \( k \neq k' \). Suppose \( k > k' \). We note the following term in the (A.3).

\[
\begin{align*}
(q^{1/2}z + q^{-1/2}z^{-1})^k & (q^{-1/2}z + q^{1/2}z^{-1})^{k'} + (q^{1/2}z + q^{-1/2}z^{-1})^{k'} (q^{-1/2}z + q^{1/2}z^{-1})^k \\
& = (q^{1/2}z + q^{-1/2}z^{-1})^{k'} (q^{-1/2}z + q^{1/2}z^{-1})^k \\
& \quad \times \left[(q^{1/2}z + q^{-1/2}z^{-1})^{k-k'} + (q^{-1/2}z + q^{1/2}z^{-1})^{k-k'}\right] \\
& = (x^2 + q + q^{-1} - 2)^{k'} \sum_{j=0}^{k-k'} \binom{k-k'}{j} 2q^{2j-k-k'} \cos(k + k' - 2j) \theta.
\end{align*}
\]

But \( \cos(k + k' - 2j) \theta = T_{k+k'-2j}(x) \) is a polynomial in \( x \), so that

\[
\begin{align*}
\left( q^{1/2}z + q^{-1/2}z^{-1} \right)/2 f \left( q^{1/2}z + q^{-1/2}z^{-1} \right)/2)
\end{align*}
\]

is again entire. Thus \( \mathcal{D}_q(1/f) \) is meromorphic. We note that \( f \) can be represented as a quotient \( f = g/h \) where both \( g \) and \( h \) are entire. Since

\[
(A.5) \quad (A_q1/h)(x) = \frac{(A_qh)(x)}{h(q^{1/2}z + q^{-1/2}z^{-1})h(q^{-1/2}z + q^{1/2}z)}.
\]

it is now easy to see that \( A_q1/h \) is meromorphic. Then, we deduce from the quotient rule \[29, p. 301\]

\[
(A.6) \quad \mathcal{D}_q(g/h) = (A_qg)(\mathcal{D}_q1/h) + (A_q1/h)(\mathcal{D}_qg)
\]

that \( \mathcal{D}_q f \) is a meromorphic function.

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