Keratinocyte differentiation antigen-specific T cells in immune checkpoint inhibitor-treated NSCLC patients are associated with improved survival

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ABSTRACT

Immune checkpoint inhibitors (ICIs) have improved the survival of patients with non-small cell lung cancer (NSCLC) by reinvigorating tumor-specific T cell responses. However, the specificity of such T cells and the human leukocyte antigen (HLA)-associated epitopes recognized, remain elusive. In this study, we identified NSCLC T cell epitopes of recently described NSCLC-associated antigens, termed keratinocyte differentiation antigens. Epitopes of these antigens were presented by HLA-A 03:01 and HLA-C 04:01 and were associated with responses to ICI therapy. Patients with CDB8 T cell responses to these epitopes had improved overall and progression-free survival. T cells specific for such epitopes could eliminate HLA class I-matched NSCLC cells ex vivo and were enriched in patient lung tumors. The identification of novel lung cancer HLA-associated epitopes that correlate with improved ICI-dependent treatment outcomes suggests that keratinocyte-specific proteins are important tumor-associated antigens in NSCLC. These findings improve our understanding of the mechanisms of ICI therapy and may help support the development of vaccination strategies to improve ICI-based treatment of these tumors.

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Introduction

The use of immune checkpoint inhibitors (ICIs) has revolutionized therapy for patients with non-small cell lung cancer (NSCLC). Compared to standard chemotherapy, overall survival (OS) and progression-free survival (PFS) have significantly increased with ICIs.1–4 However, very few NSCLC antigens and specific epitopes have been identified.5–7 The identification of novel NSCLC antigens and related epitopes can improve the efficacy of immunotherapy, for example by combining ICIs with anticancer vaccination or with adoptive cell transfer of T cells expressing synthetic T cell receptors (TCRs). In addition, the validation of new tumor targets may help explain the mechanisms of ICI-induced immune-related adverse events (irAEs), which frequently occur in patients treated with ICIs.8 In a previous study, we identified nine novel NSCLC antigens that likely mediate ICI-induced autoimmune skin toxicity, and possibly anti-tumor responses.8 Here, we aimed to determine specific HLA-associated epitopes of the identified tumor antigens as recognized by CDB8 T cells identified in human NSCLC tissues and associate these with survival of ICI-treated patients with NSCLC.

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Results

Screening of predicted lung tumor CD8 epitopes

We aimed to further elaborate on the role of the recently described NSCLC-associated antigens in ICI therapy. These tumor antigens were identified using a novel approach of tissue profiling and bioinformatics, as recently described, and had to fulfill the following properties: evidence of self-immunogenicity, high expression in NSCLC, and skin or lung specificity. The antigens were more highly expressed in lung squamous cell carcinoma compared to adenocarcinoma (Supplementary Fig. S1). As these antigens are also expressed in skin keratinocytes, we named this group of tumor-associated antigens (TAAs) keratinocyte differentiation antigens (KDAs). This is an analogy to the extensively studied melanocyte differentiation antigens in melanoma. We found that these antigens link the appearance of skin irAEs with responses to ICIs. Here, we used these antigens in T cell stimulation assays by testing overlapping 15-mer peptide pools. These assays were carried out with PBMCs from patients with NSCLC under treatment with an ICI, to determine whether the antigens could elicit CD8+ T cell activation. Indeed, all of the previously described candidate antigens were able to stimulate IFN-γ secretion in CD8+ T cells. Six of nine antigens tested lead to a significantly higher frequency of CD8+ IFN-γ+ T cells compared to the medium-only negative control (Figure 1a). While healthy donors also showed CD8+ T cell responses to the antigens, the frequencies were significantly lower compared to NSCLC patients (Supplementary Fig. S2a-b). In addition, we found that the antigens could also elicit CD4+ T cell activation and that the frequencies were again significantly higher in NSCLC patients compared to healthy donors (Supplementary Fig. S2c-e). When comparing the CD8+ IFN-γ+ T cell frequencies before and during therapy in NSCLC patients, we found that the differences were not significant, showing that patients harbored these antigen-specific T cells before the start of therapy (Supplementary Fig. S3). The fact that patients harbor tumor-specific T cells before the start of treatment is not unusual, and has been previously exploited for the expansion and transfer of tumor-infiltrating lymphocytes in melanoma patients.

We next aimed to identify specific CD8 epitopes of the keratinocyte differentiation antigens. Using next generation sequencing we therefore carried out human leukocyte antigen class I (HLA-I) typing (HLA-A, HLA-B and HLA-C) of our NSCLC cohort consisting of 82 patients treated with ICI therapy (nivolumab, pembrolizumab or atezolizumab) (Supplementary Table S1). Peptide epitopes were predicted for HLA-A 02:01, HLA-A 03:01 and HLA-C 04:01 which represent the most frequent HLA class I alleles in our cohort. This was initially predicted on the basis of analyses of the first patients but after typing the
entire cohort, they remained among the six most frequent HLA class I alleles expressed (Figure 1b, Supplementary Table S2). The HLA presented peptide epitopes for the keratinocyte differentiation antigens (keratin 6, keratin 14, keratin 17, ezrin, HSP27, peroxiredoxin 2, LL37, desmocollin 3 and maspin) were predicted using the IEDB epitope prediction resource, and peptides were selected based on their percentile rank and IC50 HLA-binding scores as previously described10 (Supplementary Fig. S4). For each antigen, five nonamer and five decamer peptides were selected. A list of all peptides can be found in Supplementary Table S3. T cell stimulation assays were initially carried out with pools of the predicted epitopes using cryopreserved PBMCs from HLA-A 02:01, HLA-A 03:01 or HLA-C 04:01 positive NSCLC patients, isolated while being treated with an ICI. This screening process identified the patients that had CD8⁺ IFN-γ⁺ T cell responses to the epitope pools. Of note, several patients with CD8⁺ IFN-γ⁺ T cell responses to the epitope pools were responders to ICI therapy; more specifically, of patients harboring T cell responses to the pools, 66.6% were responders to treatment (Figure 1c-e).

**CD8 epitopes of keratinocyte differentiation antigens are associated with improved survival in ICI-treated NSCLC patients**

The PBMCs of patients that showed an IFN-γ⁺ T cell response to the epitope pools were then stimulated with the single peptides, which lead to the identification of several HLA-A 03:01 and HLA-C 04:01 specific CD8 epitopes. Surprisingly, no epitopes for HLA-A 02:01 were identified. Interestingly, seven of the identified peptide epitopes induced a CD8⁺ IFN-γ⁺ T cell response in at least two patients. Four peptides were shared among HLA-A 03:01-positive patients (Figure 2a) and three were shared among HLA-C 04:01-positive patients (Figure 2b). A summary of the shared and non-shared epitopes identified is presented in Supplementary Table S4. Epitopes were identified for all keratinocyte differentiation antigens with the exception of keratin 17. Representative flow cytometry plots of the identified epitopes are shown in Supplementary Fig. S5. When comparing survival between patients harboring T cells specific for the identified epitopes, and patients where we failed to identify such T cells, we observed better overall survival (OS) (HR = 0.37 [95% CI, 0.20–0.68]; log-rank p-value =0.01) and progression-free survival (PFS) (HR = 0.37 [95% CI, 0.20–0.68]; log-rank p-value = 0.01) for patients with CD8⁺ IFN-γ⁺ T cell responses to the predicted epitope(s) (Figure 2c-d).

**Keratinocyte differentiation antigen-specific T cells are enriched in patient lung tumors and mediate killing of lung tumor cells**

We next determined whether peptides of the nine keratinocyte differentiation antigens are naturally presented via HLA molecules on human lung tumor cells. We therefore performed mass spectrometry-based immunopeptidome analyses of 16 primary human NSCLC tumor samples. Indeed, we identified HLA class I naturally presented peptides from seven of the

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**Figure 2. ICI-treated patients with NSCLC harboring CD8⁺ T cell responses to predicted CD8 epitopes have improved survival.** (a) Identified CD8 epitopes for the predicted antigens for HLA-A 03:01 that are shared among patients (i.e. two or more patients showed a CD8⁺ T cell response to a specific epitope). (b) Identified CD8 epitopes for the predicted antigens for HLA-C 04:01 that are shared among patients (as in A). For (a-b) PBMCs from patients with NSCLC were stimulated with the single epitopes of the predicted tumor antigens. T cell activation upon stimulation was detected by measuring ex vivo IFN-γ production by CD8⁺ T cells. The background frequency of CD8⁺ IFN-γ⁺ cells in medium-only negative control cultures was subtracted for all stimulations. IMIT refers to the patient ID. (c-d) Patients positive for HLA-A 03:01 and HLA-C 04:01 with CD8⁺ T cell responses to the predicted epitopes (n = 17) had significantly better OS (HR = 0.37 [95% CI, 0.20–0.68], log-rank p-value = 0.01) (c) and PFS (HR = 0.37 [95% CI, 0.20–0.68], log-rank p-value = 0.01) (d) compared to patients with the same HLAs that did not have a CD8⁺ T cell response to the epitopes (n = 71).
keratinocyte differentiation antigens (desmocollin 3, ezrin, HSP27, keratin 6, keratin 14, keratin 17 and peroxiredoxin 2) on patient lung tumors (Figure 3a, Supplementary Table S5). In addition, we found naturally presented HLA class II ligands from all nine antigens on lung tumors (Supplementary Fig. S6, Supplementary Table S6). Naturally presented HLA ligands from keratin 6, keratin 14 and keratin 17 could not be assigned to a single keratin protein sequence due to their high sequence similarity and were therefore grouped together as keratins. Mapping of the tumor ligandome by mass spectrometry confirms that the identified peptides initiating CD8+ T cells responses in the earlier assays are truly presented peptides on the tumor HLA.

Next, we wanted to determine whether the identified epitopes could mediate the killing of immortalized HLA class I-matched NSCLC cells. We therefore used the predicted epitopes to stimulate the PBMCs of patients with NSCLC and pulse the NSCLC cells, and subsequently co-cultured the PBMCs and NSCLC cells overnight. After this overnight incubation, we determined the specific lysis of the target cells and found that T cells stimulated with the identified epitopes could indeed mediate ex vivo killing of NSCLC cells pulsed with the same epitope (Figure 3b). Lastly, to determine whether epitope-specific T cells could be found in patient lung tumors, we stimulated PBMCs isolated from patients with NSCLC with the identified epitopes and sorted the CD8+ IFN-γ+ T cells followed by TCR sequencing of patient lung tumors and sorted T cells. We found that tumors of patients with NSCLC harbor T cells with TCRs that are almost identical to the antigen-specific TCRs – TCRs differed by only one amino acid within the TCRβ CDR3 region. It has been shown that TCRs that differ by only one amino acid in this region usually cluster together and have the same specificity (based on so-called global similarity). These epitope-specific T cells therefore localize within tumors and likely mediate anti-tumor effects in NSCLC (Figure 3c). To further validate these findings, we interrogated a publicly available TCR database and found that NSCLC patients harbor identical KDA epitope-specific T cells at significantly higher frequencies compared to melanoma and breast cancer patients in their tumors (Supplementary Fig. S7a), showing that these TCRs are enriched in NSCLCs. In addition, we found that the frequencies of these clones were

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**Figure 3.** Predicted peptide antigens are naturally presented on HLA class I of human NSCLC tissue, and epitope-specific T cells are found in ICI-treated lung tumors. (a) Frequency of donors in which HLA class I ligands derived from the predicted NSCLC antigens in NSCLC tissue (n = 16) were detected by mass spectrometry. *HLA ligands from keratin 6, keratin 14 and keratin 17 could not be assigned to a single keratin protein sequence due to their high sequence similarity and were therefore grouped together as keratins. (b) PBMC cultures from patients with NSCLC (n = 3) were stimulated with single predicted CD8 epitopes and co-cultured overnight with an immortalized HLA class I-matched NSCLC cell line pulsed with the same peptides. The following day the frequency of NSCLC cell death was analyzed using the Apotracker green/propidium iodide apoptosis detection kit. (c) PBMC cultures from patients with NSCLC were stimulated with single predicted CD8 epitopes and CD8+ IFN-γ+ T cells were sorted after the stimulations and used for TCR sequencing. IMIT refers to the patient ID. The epitope-specific TCRs were compared with the TCRs found in human NSCLCs (primary lung tumors or metastases) treated with ICI therapy (n = 5). A fuzzy string matching analysis using a maximal edit distance of one was carried out to identify highly similar TCRs in sorted T cells and NSCLCs. Edit distance is defined as the Levenshtein distance, which is the number of removals, insertions, or substitutions of a character needed to transform one string into the other.
significant in blood of NSCLC patients compared to the blood of melanoma patients, and higher compared to the blood of breast cancer patients, although the difference was not significant (Supplementary Fig. S7b). Lastly, we found that these clones are enriched in NSCLCs compared to blood of NSCLC patients and adjacent lung tissue (Supplementary Fig. S7c).

Discussion

The identification of tumor antigens is crucial for a better understanding of how T cells recognize and kill tumor cells. To date, only a few NSCLC antigens have been identified.\textsuperscript{12-14} In this study we elaborate on the findings of our previous article\textsuperscript{8} where we identified NSCLC antigens and proposed that these antigens mediated autoimmune skin toxicity and probably also anti-tumor responses in ICI-treated patients with NSCLC. Here we elucidate the exact CD8 epitopes that may explain these effects in HLA-A 03:01- and HLA-C 04:01-positive patients. Of note, specific HLA alleles have been previously associated with ICI-induced irAEs such as skin toxicity and colitis.\textsuperscript{15} We also show that T cells specific for these epitopes are able to kill NSCLC cells \textit{ex vivo} and are enriched in patient lung tumors.

A major question in tumor immunotherapy is why only a subset of patients treated with ICIs develop such autoimmune toxicities, despite containing the antigen-specific T cells. This phenomenon is also observed in melanoma patients, where many patients harbor melanocyte differentiation antigen-specific T cells with only a fraction of these patients developing vitiligo. A fundamental next step is to understand the conditions required for these T cells to become activated in organs other than the tumor and whether the underlying triggers can be avoided to reduce autoimmune toxicities. This would allow further exploration of these self-antigens to further improve immunotherapy, such as exploiting them for anti-cancer vaccination or generating T cells with synthetic TCRs targeting the relevant antigens. Earlier observations suggest that self-antigens are able to induce strong anti-tumor responses, as seen with melanocyte differentiation antigens in melanoma.\textsuperscript{16} It is then important to identify which self-antigens can elicit such responses, and which antigens do not cause strong autoimmune side effects, as these can be treatment limiting. Still, under conditions of limited and acceptable autoimmune responses, strong anti-cancer immune responses will result in the best treatment outcomes. Our definition of tissue-specific antigens presented by HLAs in NSCLC patients improves our understanding of lung tumor immunology and may support future studies aimed at the development of more specific cancer vaccination or TCR transfer combination therapies.

Materials and methods

\textbf{Clinical sample collection}

A prospective cohort study of patients with NSCLC treated with an ICI was conducted in four different centers in Switzerland (Kantonsspital St Gallen, Spital Grabs, Spital Wil, and Spital Flawil) from July 1, 2016, to September 30, 2019. Patient follow-up time was at least one year. The study protocol was approved by the Ethics Committee of Eastern Switzerland (Ethikkommission Ostschweiz, EKOS 16/079) and was conducted in accordance with the Declaration of Helsinki guidelines. All patients gave written, informed consent. Peripheral blood mononuclear cells (PBMCs) were collected before the start of therapy and at each cycle of therapy. Where possible, paraffin-embedded tumor tissue from remaining tumor resection and diagnostic biopsies was used for TCR sequencing analyses.

Patients were divided into responders and non-responders, according to the following criteria: responders were defined as experiencing partial remission (PR) or stable disease (SD) with progression-free survival (PFS) of over 200 days; non-responders were defined as experiencing progressive disease (PD) or SD with PFS of less than 200 days.

HLA immunoaffinity data from snap-frozen human lung tumor samples was provided by the University of Tübingen, Germany.

\textbf{Isolation of PBMCs}

Patient blood was collected into EDTA-containing tubes and PBMCs were isolated following standard Ficoll-Paque separation. After isolation PBMCs were cryopreserved at \textdegree -150\textdegree C.

\textbf{HLA sequencing}

HLA class I sequencing was performed by Histogenetics in 6-digit resolution using cryopreserved PBMCs.

\textbf{CD8 epitope prediction and synthesis}

CD8 T cell epitopes for the nine previously published shared antigens between NSCLC and skin were predicted for HLA-A 02:01, HLA-A 03:01 and HLA-C 04:01. MHC class I binding prediction was performed with IEDB Analysis Resource based on MHC class I binding affinity. The five nonamers and the five decamers with the highest immunogenicity score were selected for peptide synthesis (percentile rank < 1.5%, IC50 < 160 nm). The peptides were synthesized by GenScript and had >85% purity. Lyophilized peptides were dissolved in DMSO, diluted in RPMI, aliquoted and stored at \textdegree -20\textdegree C.

\textbf{T cell stimulation assays and flow cytometry}

Cryopreserved PBMCs of patients with HLA-A 03:01, HLA-A 02:01 and HLA-C 04:01 were plated at a concentration of 1 × 10\textsuperscript{6} cells per well in low-adherence 24-well plates (Sarstedt, ref. 83.3922.500) and resuspended in 2 mL RPMI per well containing 8% human serum (Biowest, ref. S4190-100), 1% penicillin-streptomycin, 1% L-glutamine, 1% non-essential amino acids, 1% sodium pyruvate, 0.1 mg/mL kanamycin and 0.1% β-mercaptoethanol. Cells were kept at 37\textdegree C. The following day, cells were stimulated with the predicted epitopes individually at a final concentration of 2ug/mL/peptide. After 48 hours, 1 mL medium was replaced with fresh RPMI (as above) containing IL-2 (final concentration of IL-2
was 150 U/mL). Cells were kept in culture for ten days and 1 mL medium was replaced with fresh IL-2-containing medium every second day. On day ten, cells were washed and transferred to 96-well plates and re-stimulated with each peptide individually for six hours in the absence of IL-2 at a final concentration of 2ug/mL/peptide. Brefeldin A was added at the same time of stimulation at a final concentration of 10ug/mL. After six hours cells were washed and stained for viability, CD3, CD4, CD8, CD45RA and CD14. Cells were then fixed and permeabilized and stained for IFN-γ and TNF. Samples were acquired using the BD LSRFortessa flow cytometer and data was analyzed using the FlowJo software. IFN-γ and TNF production were analyzed to identify antigen-specific T cells. For three patients, the experiment was repeated and CD8+ IFN-γ+ T cells were sorted using the BD FACSMelody flow cytometer.

HLA immunoaffinity purification

HLA-I and -II molecules were isolated from snap-frozen tissue by standard immunoaffinity chromatography17 using the mAbs W6/32, Tü39, and L243.

Mass spectrometric data acquisition

HLA ligand extracts were analyzed as previously described.18,19 Peptides were separated by nanoflow high-performance liquid chromatography. Eluted peptides were analyzed on an on-line coupled Orbitrap Fusion Lumos or an LTQ Orbitrap XL mass spectrometer (Thermo Fisher Scientific, San Jose, CA).

Mass spectrometric data processing

Data processing was performed as previously described17 with the Proteome Discoverer software (v1.4, Thermo Fisher Scientific, San Jose, CA) to integrate the search results of the SEQUEST HT search engine (University of Washington)20 with the human proteome (Swiss-Prot database). The false discovery rate (FDR; estimated by the Percolator algorithm 2.04)21 was limited to 5% for HLA class I and 1% for HLA class II. HLA class I annotation was performed using SYFPEITHI 1.022 and NetMHCpan 4.0.23

Analysis of target cell lysis

PBMCs were counted and plated at a concentration of 1 × 10^6 cells per well in low-adherence 24-well plates in 2 mL RPMI containing 8% human serum, 1% penicillin-streptomycin, 1% L-glutamine, 1% non-essential amino acids, 1% sodium pyruvate, 0.1 mg/mL kanamycin and 0.1% β-mercaptoethanol. After overnight incubation at 37°C single peptides were added to the wells at a final concentration of 2ug/mL/peptide. After 48 hours, 1 mL medium was replaced with fresh RPMI (as above) containing IL-2 (final concentration of IL-2 was 150 U/mL). Cells were kept in culture for ten days and 1 mL medium was replaced with fresh IL-2-containing medium every second day. On day ten, cells were collected, washed and transferred to 96-well plates before re-stimulation with peptide(s) for 6 hours in the absence of IL-2 at a final concentration of 2ug/mL/peptide. Simultaneously, target cells (EPLC-272 H, HLA-A 03:01 or NCI-H2030, HLA-C 04:01) were stained with a cell dye (detected in the APC channel) and half of the target cells were then also pulsed with the same peptide(s) for two hours at a final concentration of 2ug/mL/peptide. PBMCs and target cells were then co-cultured overnight in round-bottom 96-well plates in RPMI at an effector-to-target ratio of 10:1 or 30:1. The following day an apoptosis assay was carried out using the Apotracker green/PI kit to determine target cell death. Cells were acquired using the BD LSRFortessa flow cytometer and data was analyzed using the FlowJo software.

TCR sequencing

DNA was extracted from human NSCLC samples (formalin-fixed paraffin-embedded samples or fresh biopsy samples) and from sorted PBMCs. DNA was used for T cell receptor beta (TCRβ) sequencing using the ImmunoSEQ “Survey” resolution from Adaptive Biotechnologies. In brief, the ImmunoSEQ assay utilizes a biased-controlled multiplex PCR-based assay coupled with high-throughput sequencing and sophisticated bioinformatics to deliver highly accurate data sets. The data provided from the ImmunoSEQ platform was then analyzed using the Adaptive Biotechnologies Analyzer platform.

A fuzzy string matching analysis using a maximal edit distance of 1 was carried out to identify highly similar TCRs between sorted T cells and NSCLCs. Edit distance is defined as the Levenshtein distance, which is the number of removals, insertions, or substitutions of a character needed to transform one string into the other.

Analysis of specific TCRs using publicly available TCR database

Projects for which TCR sequences of blood, tumor and non-tumor samples of patients with NSCLC,24 25 melanoma,26 27 and breast cancer28 29 were made available online were chosen by using the TCR data base TCRdb (http://bioinfo.life.hust.edu.cn/TCRdb/#/). The TCR sequences generated during these projects were repositioned on the ImmunoSeq Analyzer platform, which were used to conduct the search for specific clonotypes. By employing the so-called CMV search tool, clones with matching sequences were identified in each sample and their total productive frequency was retrieved, which was then compared between the different compartments and tumor types.

Statistical analysis

Kaplan-Meier plots were generated for overall survival (OS) and progression-free survival (PFS) using GraphPad Prism. The association between the T cell response to the epitopes and OS or PFS was examined using the log-rank test. The hazard ratios and 95% confidence intervals were calculated using GraphPad Prism.
Student’s t-test and One-way ANOVA were also performed using GraphPad Prism.

Disclosure statement
No potential conflict of interest was reported by the author(s).

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Lukas Flatz had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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