Role of the Self-Administered, Self-Reported History Questionnaire to Identify Types of Lumbar Spinal Stenosis: A Sensitivity Analysis

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Study Design: Case-control design.

Purpose: To evaluate the role of the self-administered, self-reported history questionnaire (SSHQ) in identifying types of lumbar spinal stenosis (LSS).

Overview of Literature: Diagnosis of types of LSS is controversial.

Methods: A total of 235 patients with LSS were asked to respond to the SSHQ. All of these patients recovered following surgical treatment. The classification of LSS patients was based on history, physical examinations, and imaging studies. It is considered to be the gold standard. Radicular and neurogenic claudication types of LSS were based on the SSHQ developed by Konno et al. Two categories of LSS were determined based on the SSHQ tool and gold standard. Finally, a sensitivity analysis was carried out to evaluate the diagnostic value of the SSHQ.

Results: The mean age of patients was 59.4 years. According to the criteria for gold standard, patients were diagnosed with the radicular type (n=103), and neurogenic claudication type (n=132). The questionnaire had desirable sensitivity, specificity, and accuracy in categorizing the two types of LSS: 97.8%, 66.6%, and 96.8% for the radicular type, and 97.0%, 80.0%, and 95.7% for the neurogenic claudication type.

Conclusions: Our findings indicate that the SSHQ is a reliable and a valid measure and it may be a clinical diagnosis support tool for identifying patients with two types of LSS.

Keywords: Lumbar spinal stenosis; Self-reported history questionnaire; Neurogenic claudication; Radicular pain

Introduction

Lumbar spinal stenosis (LSS) is a common spine disorder in the elderly, and it includes patients with symptoms related to narrowing of the spinal canal with subsequent neural compression [1]. To establish a diagnosis of LSS, clinical history, physical examination findings, electrodiagnostic test, questionnaires, and radiological changes may be used, either separately or in combination [2]. However, there are still no widely accepted diagnostic or classification criteria for the diagnosis of LSS [3]. Recently, for the diagnosis and categorization of LSS patients, the self-administered, self-reported history questionnaire (SSHQ) has been developed. The SSHQ is a simple, reliable, and a user-friendly clinical tool for diagnosis of LSS in patients, as suggested previously [4]. The aim of this
study was to evaluate the role of the SSHQ in identifying patients with two types of LSS such as the radicular and neurogenic claudication types.

**Materials and Methods**

1. **Patients and data collection**

Between June 2009 and January 2013, a sample of LSS patients who attended the neurosurgery clinic of a teaching hospital in Tehran, Iran, was studied. The LSS patients were diagnosed and categorized into the radicular and neurogenic claudication types based on history, clinical symptoms, neurological examinations, and imaging studies including plain radiography, magnetic resonance imaging (MRI) and computer tomography (CT) of the lumbar spine before surgery. All of the patients had typical symptoms of LSS, such as leg pain and/or numbness and neurogenic claudication. The diagnosis was established by more than one spine surgeon in all of the cases, and their opinions were considered as the gold standard for diagnosis of LSS. In the absence of a universally accepted gold standard in diagnosis of LSS, the impression of expert physicians provides a practical method of establishing a clinical diagnosis. The stenosis level(s) were studied on the CT or MRI images. All of the patients recovered following surgical treatment. There were no limitations on patient selection with respect to mild, moderate, or severe LSS or age. The exclusion criteria were diabetic neuropathy, peripheral vascular disease, cervical myelopathy, previous surgery, inflammatory disorders, and other types of low back pain such as lumbar disc herniation. Data including gender, age, and body weight were recorded. The duration of symptoms (month) and walking distance (meter) were assessed.

2. **Additional measure (SSHQ)**

The SSHQ is a specific diagnostic tool for identifying patients with LSS and then distinguishing between patients with radicular type and those with neurogenic claudication. It consists of ten questions. Each item is scored using a yes/no response. Answering “yes” to questions 1 through 4 and “no” to questions 5 through 10 indicates

| Question                                                                 | Yes | No  |
|-------------------------------------------------------------------------|-----|-----|
| 1. Numbness and/or pain in the thighs down to the calves and shins.     |     |     |
| 2. Numbness and/or pain increase in intensity after walking for a while, but are relieved by taking a rest. |     |     |
| 3. Standing for a while brings on numbness and/or pain in the thighs down to the calves and shins. | Yes | No  |
| 4. Numbness and/or pain are reduced by bending forward.                  |     |     |
| 5. Numbness is present in both legs.                                    | Yes | No  |
| 6. Numbness is present in the soles of both feet.                       |     |     |
| 7. Numbness arises around the buttocks.                                 | Yes | No  |
| 8. Numbness is present, but pain is absent.                             |     |     |
| 9. A burning sensation arises around the buttocks.                      | Yes | No  |
| 10. Walking nearly causes urination.                                    |     |     |

![Fig. 1. The English original version of the self-administered, self-reported history questionnaire. Based on the authors (6) a score of 4 points on questions 1 through 4 indicates the presence of lumbar spinal stenosis (LSS); a score of 4 on questions 1 through 4 and “no” to questions 5 through 10 indicates the radicular type of LSS. Answering “yes” to at least one of questions 1 through 4, and at least two of questions 5 through 10 indicates the neurogenic claudication (referred as cauda equina in their manuscript) type of LSS.](image-url)
the radicular type of LSS. Answering “yes” to at least one of the questions 1 through 4, and at least two of the questions 5 through 10 indicates the neurogenic claudication (referred to as cauda equina in their manuscript) type of LSS (Fig. 1) [4]. The patients completed the SSHQ before surgery.

3. Statistical analysis

Sensitivity analysis: First, patients were classified based on their SSHQ classification as proposed by Konno et al. [4]. Then, the actual classification was based on history, physical examinations, and MRI as the gold standard for classification of patients into the radicular and neurogenic claudication types.

Finally, the results obtained from the estimated and actual categorizations were compared using the sensitivity analysis. In fact, with respect to the actual classification score for each case, the estimated classifications were tested and designated as true positive, true negative, false positive, or false negative in order to calculate the sensitivity, specificity, and accuracy for the estimated classifications [5].

All statistical analyses were performed using the PASW ver. 18.0 (SPSS Inc., Chicago, IL, USA).

4. Ethics

The Ethics Committee of Shahid Beheshti University of Medical Sciences, Tehran, Iran, approved the study.

Results

Table 1. The characteristics of the study sample (n=235)

| Characteristic                        | Value                       |
|--------------------------------------|-----------------------------|
| Age group (yr)                       | 59.4±11.3 (32–84)           |
| Sex                                  |                             |
| Male                                 | 98 (41.7)                   |
| Female                               | 137 (58.3)                  |
| Body weight (kg)                     | 3.3±10.6                    |
| Walking distance (m)                 | 362.1±256                   |
| Lumbar stenosis level (%)            |                             |
| One                                  | 25 (10.6)                   |
| Two                                  | 89 (37.9)                   |
| Three                                | 78 (33.2)                   |
| Four                                 | 43 (18.3)                   |
| Types of LSS as classified by SSHQa  |                             |
| Radicular type                       | 95 (40.4)                   |
| Neurogenic claudication type         | 140 (59.6)                  |
| Types of LSS as classified by gold standardb |                   |
| Radicular type                       | 103 (43.8)                  |
| Neurogenic claudication type         | 132 (56.2)                  |

Values are presented as mean±standard deviation (range) or number (%). LSS, lumbar spinal stenosis; SSHQ, self-administered, self-reported history questionnaire.

aEstimated of categorization of LSS based on the SSHQ; bActual of categorization of LSS based on history, physical examinations, and magnetic resonance imaging.

Discussion

The results obtained in the present study showed that the SSHQ tool is reliable and valid, and it may improve the accuracy in categorizing different types of LSS.

Konno et al. [4] developed a simple clinical diagnostic support tool to identify patients with LSS [6]. The SSHQ was developed successfully as a diagnostic support tool to identify patients with LSS and to differentiate between patients with radicular compression and neurogenic claudication (referred to as “cauda equina” symptoms in their manuscript). They showed that this tool satisfactorily assessed the diagnostic value of the history of the patient for categorization of LSS. They found that the sensitivity and specificity of the SSHQ were 84% and 78%, respectively, in the validation data set, which is in line with our findings. In the current study, a sensitivity analysis was performed, and it showed that the SSHQ is a sensitive questionnaire for discriminating between patients. We could not find...
any other studies on sensitivity analysis of the SSHQ score in order to compare with our results. However, these results suggest that the SSHQ can be useful for identifying the types of LSS.

There are no established standardized diagnostic criteria for comprehensive evaluation based on the radiographic findings and clinical indications [7]. Various diagnostic support tools for LSS such as the SSHQ have been developed. Sugioka et al. [8] prospectively assessed 468 patients with lower extremity symptoms to develop and validate a prediction rule for the identification of LSS based on self-reported patient information alone. They concluded that this self-administered questionnaire could be useful for improving the accuracy of diagnosis of spinal stenosis, and in particular to rule out LSS. Konno et al. [4,6] reported results from two studies suggesting that a constellation of variables could contribute to the diagnosis of LSS. After evaluating 468 patients, using univariate and multiple regression analyses, several key determinants were found to be predictive of LSS. The SSHQ was developed to improve the accuracy of the diagnosis of LSS, and also to differentiate between the two types of LSS, radicular type and cauda equina type [4]. However, there is insufficient evidence to make a recommendation for or against the use of self-administered questionnaires such as the SSHQ to improve accuracy of the diagnosis of spinal stenosis [9]. In this study, we observed that the SSHQ is a sensitive measure for categorization of LSS patients. Therefore, misdiagnosis of LSS at the primary care levels can be minimized and patients have a greater chance of gaining health care access; thus, improving the accuracy of the diagnosis and leading to improved quality of patient care [4,10]. The use of this simple measure is recommended in order to increase the diagnostic success of categorization of types of LSS especially in teaching hospitals and it might be more useful for the clinicians or patients.

This study has several limitations. There is no gold standard in the diagnosis of LSS; however, we believe that expert physicians provide a practical decision-making solution. We did not identify all possibly relevant variables that need to be assessed for making the diagnosis in these patients. Future studies are needed to clarify this issue. Finally, we carried out a number of limited tests to per-

**Table 2.** Two-by-two matrices of the relationship between the estimated radicular type and the actual radicular type (sensitivity analysis)

| Estimated radicular type<sup>a</sup> | Actual radicular type<sup>b</sup> | Positive | Negative | Total |
|-----------------------------------|---------------------------------|----------|----------|-------|
| Positive                          |                                 | 90 (true positive) | 1 (false positive) | 91    |
| Negative                          |                                 | 2 (false negative) | 2 (true negative) | 4     |
| Total                             |                                 | 92        | 3         | 95    |

<sup>a</sup>Estimated low risk, classified based on the self-administered, self-reported history questionnaire; <sup>b</sup>Actual radicular type, classified based on gold standard. Sensitivity=90/(90+2)=97.8%; Specificity=2/(2+1)=66.6%; Accuracy=(90+2)/(90+1+2+2)=96.8%; Positive predictive value=(true positive)/(true positive+false positive)=90/91=98.9%; Negative predictive value=(true negative)/(true negative+false negative)=2/4=50%.

**Table 3.** Two-by-two matrices of the relationship between the estimated cauda equina type and the actual neurogenic claudication type (sensitivity analysis)

| Estimated neurogenic claudication type<sup>a</sup> | Actual neurogenic claudication type<sup>b</sup> | Positive | Negative | Total |
|---------------------------------------------------|-----------------------------------------------|----------|----------|-------|
| Positive                                          |                                               | 130 (true positive) | 1 (false positive) | 131  |
| Negative                                          |                                               | 5 (false negative) | 4 (true negative) | 9    |
| Total                                             |                                               | 135      | 5         | 140  |

<sup>a</sup>Estimated low risk: classified based on the self-administered, self-reported history questionnaire; <sup>b</sup>Actual radicular type, classified based on gold standard. Sensitivity=130/(130+4)=97.0%; Specificity=4/(4+1)=80.0%; Accuracy= (130+4)/(130+1+4+5)=95.7%; Positive predictive value=(true positive)/(true positive+false positive)=130/131=99.2%; Negative predictive value=(true negative)/(true negative+false negative)=4/9=44.5%.
form this validation study. In future, it might be necessary to perform other tests to establish stronger psychometric indexes for the SSHQ in primary care settings.

Conclusions

Our findings indicate that the SSHQ is a reliable and a sensitive measure and it may be a clinical diagnosis support tool for categorizing patients with different types of LSS.

Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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