The why and wherefore of hepatic encephalopathy

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Abstract: Hepatic encephalopathy is a common neuropsychiatric abnormality, which complicates the course of patients with liver disease. It was probably first described by Hippocrates over 2000 years ago, who said that “those whose madness arises from phlegm are quiet and neither shout nor make a disturbance, while those whose madness arises from bile shout, play tricks and will not keep still, but are always up to some mischief”. He was presumably describing the differences between patients with pneumonia and acute liver failure. Despite the fact that the syndrome was probably first recognized thousands of years ago, the exact pathogenesis still remains unclear. Furthermore, a precise definition of the syndrome is lacking, as are definitive methods of diagnosing this condition. It is important as both patients with cirrhosis and the general population with whom they interact may be affected as a consequence. At a minimum, the individual may be affected by impaired quality of life, impaired ability to work, and slowed reaction times, which are relevant to the population at large if affected individuals operate heavy machinery or drive a car. Pathogenic mechanisms, diagnostic tools, and treatment options are discussed.

Keywords: hepatic encephalopathy, cirrhosis, ammonia, pathology, treatment, rifaximin, lactulose

Background
Hepatic encephalopathy (HE) is a complex, reversible neuropsychiatric syndrome, complicating the course of liver disease. In recent guidelines published jointly by the European and American Associations for the Study of the Liver, HE was defined as “brain dysfunction caused by liver insufficiency or portal systemic shunting”. Despite the fact that the syndrome was probably recognized thousands of years ago, the exact pathogenesis remains unclear.

The pathogenesis is thought to be attributable to both neurochemical and neurophysiological disorders of the brain. The essentially reversible nature suggests a metabolic cause. The broad spectrum of cerebral disturbance is likely to be a reflection of the range of metabolic disturbances responsible for the syndrome, rather than one causal abnormality.

One of the central factors contributing to HE in cirrhosis is unfiltered blood from the portal system reaching the brain. Neuropathologically, the most frequently described change is to astrocytes, which undergo cell swelling. The morphological change seen is known as Alzheimer type II astrocytosis. However, neurons remain structurally normal. Theories on pathogenesis of HE are outlined in Table 1.
Gut-derived neurotoxins

Ammonia has been the most studied gut-derived neurotoxin, produced from the breakdown of proteins and amino acids. Ammonia is produced by the gastrointestinal tract in two ways: by direct ammonia liberation from breakdown products of dietary protein and metabolism of circulating glutamine (GLN) and by the gut microbiome acting upon urea and ingested food. Concentrations of ammonia are kept relatively constant in the blood by efficient detoxification processes, involving hepatic production of urea and synthesis of GLN from glutamate (GLU) by the action of glutamine synthetase (GS), which is located in the liver, muscle, and brain. Hyperammonemia is commonly seen in chronic liver disease, as are high levels of circulating GLU. GLU is the predominant excitatory neurotransmitter in the brain,22 binding to specific GABA receptors, which are part of larger receptor complexes, activated by benzodiazepines and barbiturates. For the most part, GABA is synthesized by gut bacteria and would normally enter the portal vein to be metabolized by the liver. In cirrhosis, blood bypasses the liver by collaterals and enters the systemic circulation. Increased plasma levels of GABA have been observed in patients with HE.23 However, autopsied brain tissue from HE patients did not support GABA involvement.24

A rise in arterial blood ammonia in dogs was associated with behavioral disturbances after high protein meals.8 Fifty years later, Gabuzda et al inadvertently produced HE in chronic liver disease patients, treated with ion-exchange resins to diminish ascites.9 The resins absorbed sodium, but released ammonium ions, bringing about HE.

More recent animal studies using portacaval shunts showed blood and brain ammonia concentrations to be increased two- and three folds, respectively.10 Primary cultured astrocytes exposed to ammonia have been shown to develop features of Alzheimer type II astrocytosis seen neuropathologically in human beings.11

Short- and medium-chain fatty acids are increased in the blood of patients with HE.12 These fatty acids produce coma in animal models and possibly interfere with ureagenesis.13

Nevertheless, these agents have been given to patients without any adverse consequences.14

Amino acid disturbances have been observed in HE patients, typically increased aromatic amines and decreased or normal levels of branched-chain amino acids (BCAAs).15,16

Low plasma concentrations of BCAAs are a result of increased use by muscle, heart, kidney, and adipose tissue as an energy source.17 Since alternatives such as glucose and ketone bodies are reduced in liver disease. Hyperammonemia results in increased brain uptake of aromatic acids, including tryptophan, the precursor of the neurotransmitter, serotonin. Tryptophan’s increased availability leads to altered serotonin synthesis, increasing neuroinhibition.18

Cerebral neurotransmission

GLU is the predominant excitatory neurotransmitter in the brain. In HE, astrocytes play an important role in detoxification of ammonia through conversion of GLU to GLN. Astrocytic GLU reuptake mechanisms may become impaired; thereby increasing extracellular concentrations of GLU.19 Furthermore, GLU binding sites appear to be downregulated on postsynaptic neurons. This, therefore, contributes to decreased neuroexcitatory activity20 and increased cerebral GLN.21

γ-Aminobutyric acid (GABA) is the predominant inhibitory neurotransmitter in the brain,22 binding to specific GABA receptors, which are part of larger receptor complexes, activated by benzodiazepines and barbiturates. For the most part, GABA is synthesized by gut bacteria and would normally enter the portal vein to be metabolized by the liver. In cirrhosis, blood bypasses the liver by collaterals and enters the systemic circulation. Increased plasma levels of GABA have been observed in patients with HE.23 However, autopsied brain tissue from HE patients did not support GABA involvement.24

Translocator proteins (TSPOs), formerly known as peripheral benzodiazepine binding sites, may play an important role in neuroinhibition. TSPOs are 18 kDa proteins, located on the outer mitochondrial membrane of astrocytes, and facilitate cholesterol ingress into mitochondria, among other roles.25 TSPOs are widely distributed throughout the body and are also present in microglia.26 TSPOs are upregulated by ammonia27 and have been shown to be present in increased density in autopsied brains of HE patients.28 TSPO upregulation promotes synthesis of neurosteroids, such as tetrahydroprogesterone and tetrahydrodeoxycorticosterone, which are potent agonists of GABA_A receptors (Figure 1). In vivo positron emission tomography (PET) studies support the hypothesis that TSPOs could play an active role in impaired brain functioning in HE.29

| Table 1 | Theories for pathogenesis of HE |
|---------|-------------------------------|
| Location of alteration | Suggested mechanism |
| Gut-derived neurotoxins | Ammonia, Short- and medium-chain fatty acids, Amino acid disturbances |
| Cerebral neurotransmission | GLU, GABA, Peripheral benzodiazepine binding sites |
| Cerebral energy metabolism | Cerebral blood flow changes, Alteration in cerebral glucose metabolism |
| Blood–brain barrier | Functional changes |
| Low-grade cerebral edema | Astrocyte swelling |

Abbreviations: HE, hepatic encephalopathy; GLU, glutamate; GABA, γ-aminobutyric acid.
Inflammation
It is frequently observed that cirrhosis patients with active infections may exhibit HE, leading several investigators to consider a direct link between HE and inflammation. One group medically induced hyperammonemia, using amino acid solution, in a group of stable cirrhosis patients. Patients with abnormal psychometric performance had more markedly elevated inflammatory markers (white cell count, neutrophil count, C-reactive protein, nitrate/nitrite, and interleukin [IL]-6). Psychometric performance deteriorated in 44.8% of patients with the amino acid solution. In “deteriorators”, there was an increase in inflammatory markers, compared to “nondeteriorators”. Further support for this theory is provided by another group, which studied effects of alteration of gut flora with administration of fiber and probiotics upon HE patients. They showed that improvement in HE was associated with reductions in venous endotoxin and ammonia levels. This is an area of increasing interest that requires further investigation.

Low-grade cerebral edema
It has been proposed by Häussinger et al that a major contributory event to the development of HE in patients with chronic liver disease is an increase in astrocyte hydration (low-grade cerebral edema without a clinically overt increase in intracranial pressure). This may occur as a consequence of astrocytic ammonia uptake and subsequent detoxification by GS, forming GLN from GLU. This deamination process is thought to result in accumulation of GLN within astrocytes, acting as a cerebral osmolyte. The cells respond by expelling osmolytes, myo-inositol (mI), choline (cho), and GLN, but the membrane transport systems are not able to keep up with greatly increased production of GLN. Equilibrium cannot be re-established and there is resultant cell swelling (Figure 2). Cerebral magnetic resonance spectroscopy (MRS) studies in vivo demonstrated reduced levels of mI and increased GLN and GLU (Glx). Additionally, benzodiazepines, hyponatremia, and inflammatory cytokines can induce astrocyte swelling in vitro, so these factors may act synergistically with ammonia to produce low-grade cerebral edema.

Clinical features
Recognized clinical features of HE are listed in Table 2. The first widely accepted clinical grading system to attempt to categorize HE was described by Parsons-Smith et al. This classification system was later modified and is now known as either the “modified Parsons-Smith” or West Haven criteria (Table 3).

Minimal hepatic encephalopathy
The first published work to propose that subtle mental changes can occur before the onset of clinically detectable neurological changes was by Zeegen et al in 1970. They performed paper-based psychometric tests, consisting of the construction of five-pointed stars and modified “Reitan” trail making tests on a population of patients after portal decompression surgery. They found that 13 out of 34 patients (38%), without clinical signs of HE on standard clinical examination, had impaired performance on Reitan trail making tests.

Nomenclature
Subsequently, the presence of these subtle abnormalities has been observed by numerous investigators and gaged by a variety of psychometric tests. The presence of this abnormality was first termed subclinical or latent HE owing to the lack of clinical signs on examination. Subsequently, nomenclature was replaced by the term “minimal” HE
(mHE)\textsuperscript{39} (Table 4). To confuse matters further, the 2014 AASLD/EASL guidelines also suggest an alternative classification considering mHE (West Haven grade 0) and West Haven grade 1 overt HE as “covert” HE, while West Haven grade 2 and above are considered as “overt” HE.\textsuperscript{1} However, this classification is rarely used outside the United States.

**Clinical significance**

mHE has been shown to have effects upon quality of life, earning potential, driving performance, and possibly survival.

**Quality of life**

Groeneweg et al used the “Sickness Impact Profile” (SIP) to determine the effects of mHE on patients’ “activities of daily living”\textsuperscript{40}. The SIP is a questionnaire assessing influence of the disease and treatment on daily functioning.\textsuperscript{41} The questionnaire consists of 136 items, grouped into 12 scales encompassing different aspects of daily living, eg, sleep, eating, social interaction, and emotional behavior. They found that mHE patients performed significantly worse in every dimension of the SIP.\textsuperscript{40}

**Earning potential**

Schomerus and Hamster selected 110 ambulatory outpatients with cirrhosis, who were part of a larger study undergoing psychometric testing.\textsuperscript{42} Of white-collar workers, 40% had abnormal psychometry, but only 20% were unable to continue

### Table 2 Recognized features of HE

| Affected domain         | Symptom/sign                           |
|-------------------------|----------------------------------------|
| Disturbed consciousness | Reversal of sleep pattern, Drowsiness, Coma (later stages) |
| Personality changes     | Irritability, Irrational behavior       |
| Intellectual impairment | Reduced attention span, Impaired mental agility, Impaired working memory |
| Speech                  | Slow, Slurred                          |
| Motor                   | Asterixis/flapping tremor, Ataxic gait, Exaggerated tendon reflexes |

**Abbreviation:** HE, hepatic encephalopathy.

### Table 3 Modified Parsons-Smith or West Haven criteria for HE

| Grade 0          | No abnormality detected (mHE)         |
|------------------|---------------------------------------|
| Grade 1          | Trivial lack of awareness, Euphoria or anxiety, Shortened attention span, Impairment of addition or subtraction |
| Grade 2          | Lethargy, Disorientation for time, Obvious personality change, Inappropriate behavior |
| Grade 3          | Somnolence to semistupor, Responsive to stimuli, Confused, Gross disorientation, bizarre behavior, Coma, unable to test mental state |

**Note:** This article was published in Gastroenterology, vol 72, Conn HO et al, 1977, Comparison of lactulose and neomycin in the treatment of chronic portal-systemic encephalopathy. A double blind controlled trial, pp573 to 583.\textsuperscript{43} Copyright Elsevier © 2013.

**Abbreviations:** HE, hepatic encephalopathy; mHE, minimal HE.
Hepatic encephalopathy

Working, whereas 55% of blue-collar workers had abnormal psychometry and 60% were unable to earn their living.42

Driving ability
Wein et al measured “on-the-road” driving performance. Of 274 consecutive patients with cirrhosis, 48 fulfilled medical and driving inclusion criteria, 14 of them with mHE and 34 without mHE. The likelihood of an accident in mHE patients was nearly ten times higher than that of patients without mHE.43 Bajaj et al studied self-reported driving behavior in postal questionnaires. Patients with cirrhosis had a higher percentage of driving violations over the previous year (13%), previous 5 years (25%), and a higher number of accidents at 1 year (9%) and 5 years (17%).44

Diagnosis
Despite agreement between investigators that mHE warrants further investigation and probably screening and treatment, there is little consensus as to the optimal instruments with which to diagnose and monitor the condition.39 This has a significant role in the discrepancy between studies, as to the prevalence of mHE, with quoted prevalence varying from 27% to 75% according to the battery of tests used, interpretation of these tests, and populations studied.45,46 Some studies have used just one psychometric test,47 whereas other studies have used up to 26 tests.48 In the latter case, tests needed to be spread over 2 days to avoid fatigue.

In response to the variability of diagnostic tests being used to define mHE, a consensus was reached by a working party,39 which proposed use of a psychometric hepatic encephalopathy score, based on the results of five neuropsychometric tests.49 They also suggested that when possible, quantitative neurophysiological tools, such as electroencephalography (EEG) with mean dominant frequency and P300 auditory evoked potentials, should be used.1,2

Assessment of HE
HE affects cognitive, affective/emotional, behavioral, and bioregulatory domains.39 Each broad domain may be subdivided into various components. For example, cognition may include evaluation of psychomotor speed, visuopraxis, attention, concentration, and level of consciousness.39 Overt or clinically apparent HE should be excluded by careful and detailed neuropsychiatric examination and amnestic enquiry. Particular attention should be paid to cognitive and motor function, ability to perform activities of daily living, and sleep–wake cycle abnormalities. To diagnose mHE, at least two neuropsychological tests from the following psychometric hepatic encephalopathy score system should be used:
1. Number connection test-A (NCT-A);
2. NCT-B;
3. Block design test;
4. Digit symbol substitution test.10

The recommendation was to use a standardized battery including NCT-A and NCT-B, the line tracing, serial dotting, and digit symbol substitution tests.1,2 This battery is easy to apply, but reference test scores are normalized for German populations, so it may be necessary to adjust these for other patient populations. Reference ranges are now available for Spanish,51 Italian, and British populations.52

Paper-based tests
The theoretical advantages of paper-based tests are that they are easy to administer, require no sophisticated technical equipment, and can be performed at the bedside. However, in practice, they may be subject to a greater degree of subjectivity. Ideally, they should be performed in a quiet, well-lit room, under standardized conditions, which apply to both examiner and examinee. In practice, these conditions do not exist in hospital wards. The paper-and-pencil tasks may be affected by subjective “one-off influences”, such as lack of sleep, recent arguments, or hunger. Theoretically,
the tests should also be easily interpretable, but reference scores should take account of education, cultural background, and language difficulties.

**Quality of life scores**

Other paper-based assessments of mHE include nonpsychometric tests such as validated quality of life scores, such as the short form 36 health survey, SIP, and fatigue impact questionnaires.53–55

**Computer-based psychometric tests**

The use of computerized psychometric testing is widespread in both clinical research settings and for monitoring effects of pharmacological compounds upon cognitive function.56 The choice of system varies from center to center, depending upon investigators' personal experience, developmental input, and financial restraints. The advantages of computerized systems above conventional paper-and-pencil tests include reproducibility of stimulus presentation, accurate recording of responses/reaction times, and easier to facilitate data analysis. Furthermore, some tests are entirely visual and therefore do not depend on literacy and numeracy, which can hamper the interpretation of paper-based tests. For example, the use of the Stroop test on smartphones and computer tablets has proven to be an easily accessible, useful tool in many countries of the developed world.57

**Electroencephalography**

The EEG detects and records patterns of electrical activity within the brain through electrodes placed in multiple areas on the scalp. EEG abnormalities in hepatic coma were described in 1950.58 One of the more constant features in the studies of HE is the presence of a generalized slowing of background EEG activity, which appears to be a constant, progressive, and a quantifiable finding. It is important to note that EEG slowing is nonspecific as it is observed in other metabolic and drug-induced encephalopathies.4

**Evoked potentials**

Evoked potentials are electrical potentials, elicited in conjunction with sensory, motor, and cognitive events. They are a measure of the conduction and function of afferent pathways between stimulated peripheral nerves and the cortex. Abnormalities of visual evoked potentials, brainstem auditory evoked potentials, and somatosensory evoked potentials have been demonstrated in HE, but the sensitivity of the techniques appear to vary significantly between studies.4 P300 is a cognitive evoked potential elicited when a subject receives an infrequent stimulus embedded within a group of irrelevant stimuli (oddball paradigm). The subject is asked to identify the oddball stimulus and a potential is elicited independent of the sensory modality being stimulated.5 The potential occurs ~300 ms after the stimulus, hence its name. The P300 appears to be useful as an investigative research tool in lower grades of HE.3

**Critical flicker frequency**

The critical flicker frequency (CFF) of an individual is the highest frequency in Hertz that an individual perceives the “flicker” of a flickering light. All frequencies above that threshold will be observed to be continuous. CFF is thought to reflect both the efficiency of the visual pathways and the cerebral cortex. A single study has used CFF in a well-characterized cohort of patients with cirrhosis and healthy controls.59 CFF thresholds were found to be significantly lower in patients with mHE and overt HE than in healthy controls or unimpaired patients. Furthermore, they were able to define a threshold value of 39 Hz, which divided impaired from unimpaired patients.

**Brain imaging**

Currently, there is no established imaging modality or technique for the assessment of HE in clinical practice. The most widely utilized modality is magnetic resonance imaging (MRI), with the most consistently reported sequence being MRS. MRS is a noninvasive technique used to determine the biochemical profile of a region of interest in vivo, thus giving information regarding the metabolic processes within that region. Several investigators have found consistent abnormalities in the MRS data from patients with HE.60

Other imaging modalities, such as PET, have been used in one case series,29 but have not been replicated by other groups. Similarly, techniques such as magnetoencephalography have been described in the research setting,61 but worldwide, very few centers have this equipment available.

**Treatment of hepatic encephalopathy**

No truly specific treatment for HE exists, as the exact pathogenesis is unknown. The pharmacological treatments that are currently used in clinical practice are directed toward reducing the production and absorption of gut-derived ammonia.

**Antibiotics**

Antibiotics have been used to treat HE since the 1950s.62 The nonabsorbable antibiotic, neomycin, reduces bacterial
ammonia production in the colon, by altering the normal gut flora. Neomycin is considered to be nonabsorbable, but a small percentage is absorbed, causing ototoxic and nephrotoxic side effects. Neomycin is now rarely used as rifaximin, which lacks these side effects, has become available more recently.

Rifaximin is a nonabsorbable derivative of rifamycin with a broad spectrum of activity against aerobic and anaerobic gram-positive and gram-negative organisms. It has a bioavailability of <0.4%, and ~97% of the drug is excreted in feces unchanged. These pharmacokinetic properties make it an attractive option for the treatment of HE. Clinical trials have compared rifaximin to lactulose or neomycin for treatment of HE. Rifaximin demonstrates a general trend toward better efficacy, compared with lactulose or neomycin, but long-term safety data are lacking. More recent papers have found rifaximin to be highly effective in the management of HE for the treatment, maintenance of remission, and reduction in hospitalizations of chronic liver disease patients. However, the recent EASL/AASLD guidelines only recommend its use as an add-on therapy to lactulose, not as a first-line or sole treatment agent.

Lactulose
Lactulose is a synthetic disaccharide taken orally, primarily used to treat constipation. It has been used to treat HE since the 1960s. When it reaches the colon, lactulose is metabolized by bacteria, predominantly to lactic acid. The fecal pH drops, favoring growth of lactose-fermenting organisms and suppressing organisms such as Bacteroides spp., which are ammonia formers. Additionally, it is thought that fecal acidity reduces ionization and subsequent absorption of ammonia. It has been demonstrated that lactulose at least doubles the colonic output of bacterial mass and soluble nitrogen, which can then no longer be absorbed. Side effects of treatment include flatulence, diarrhea, and abdominal pain.

L-Ornithine L-aspartate
L-Ornithine L-aspartate (LOLA) is a treatment directed at removal of circulating ammonia. Ornithine promotes hepatic removal of ammonia by stimulating residual hepatic urea cycle activity through action of ornithine carbamoyltransferase and carbamoylphosphate synthetase. Additionally, ornithine and aspartate are both substrates for the urea cycle. In perivenous hepatocytes, ornithine and aspartate combine with α-ketoglutarate to produce GLU. GLU is used by skeletal muscle and brain to use ammonia, via the action of GS to produce GLN, reducing the amount of circulating ammonia. One study has demonstrated that intravenous LOLA ameliorates deleterious psychometric effects of GLN in Child’s grade B and C patients. A study of overt HE patients randomized to receive either oral LOLA or lactulose for 2 weeks found that while both agents reduced circulating ammonia levels, use of LOLA was also associated with significant improvements in mental status, psychometric parameters, and EEG activity. A meta-analysis of three randomized, controlled studies of the LOLA use in 212 patients with HE concluded that LOLA is of benefit in overt HE, but the currently available data do not support its use in mHE.

Nutritional therapies
Vegetable-based protein
Contrary to previous reports, patients with HE should not be protein restricted. They are often in a catabolic state and require 1–1.5 g/kg of protein per day. Vegetable protein tends to be better tolerated than meat protein. Additionally, due to their high fiber content, vegetable proteins increase colonic motility, as well as improve fecal nitrogen output. However, the clinical utility of a vegetable protein-based diet is limited by poor patient compliance and is not used routinely in many centers.

Prebiotics, probiotics, and symbiotics
Prebiotics are nondigestible food ingredients, stimulating growth of select colonic bacteria to improve host health, whereas probiotics are live microbial food supplement improving host gut microbial balance. Symbiotics are a combination of the two. A meta-analysis demonstrated that supplementing the diet with pre-, pro-, and symbiotics can lead to significant improvements in patients with MHE, suggesting that their inclusion may have clinical benefits. Furthermore, the supplements were well tolerated.

Branched-chain amino acids
Administration of preparations high in BCAAs and low in aromatic amino acids (AAAs) is based on reducing transmission of AAAs across the blood–brain barrier, thus reducing production of false neurotransmitters, which can contribute to encephalopathy. Although BCAAs have been shown to improve both recovery and duration of hospital admissions, a Cochrane systematic review found that BCAAs do not have significant beneficial effects on patients with HE. Studies involving BCAAs tend to be limited by short follow-up periods and poor methodological quality. Higher quality studies are required in this area.
Orthotopic liver transplantation
Orthotopic liver transplantation (OLT) is the definitive treatment for HE arising in both acute liver failure (ALF) and chronic liver disease (CLD). However, its urgency depends on the cause and nature of liver failure. In patients with ALF who are progressing to cerebral edema and HE, an OLT is crucial to survival and urgent discussion with a liver transplant center is required to prevent deterioration to cerebral coning and brain death. In patients with CLD, HE tends to be chronic and fluctuating. It is considered to be one of the cardinal manifestations of decompensating liver disease. OLT in this group of patients tends to be determined according to need and prospective survival via established CLD criteria.79

Conclusion
HE is a neuropsychiatric syndrome, with symptoms existing on a continuum. Early recognition and management is imperative in optimizing outcome, particularly in the context of the timing of OLT. With the right treatment, most patients with overt HE can lead relatively normal lives with reasonable neuropsychological function. Stability of underlying liver function and prompt treatment of precipitating factors, such as variceal bleeding and infections, is crucial to this. However, it should be remembered that in the acute setting, HE is the hallmark of acute liver failure and that urgent OLT may be required.

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