**In situ** and controllable synthesis of Ag NPs in tannic acid-based hyperbranched waterborne polyurethanes to prepare antibacterial polyurethanes/Ag NPs composites

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In this research, a simple and facile method was developed for preparation of antibacterial polyurethanes/Ag NPs composites, where tannic acid-based hyperbranched waterborne polyurethanes (THWPU) was employed as both reductant and stabilizer to **in situ** and controllably synthesize Ag NPs at mild room temperature. The resultant Ag NPs in THWPU was confirmed by UV spectrophotometer, SEM and EDX. The effects of reaction temperature on the properties of the formed Ag NPs were investigated, and the results showed that the formed Ag NPs under room temperature and 80 °C were both spherical, whereas increasing the reaction temperature benefits for promoting the formation of Ag NPs and narrowing the size distribution of the formed Ag NPs. Furthermore, triangular Ag NPs were **in situ** synthesized in THWPU via adding strong reductant (NaBH₄) during the formation of Ag NPs. Both spherical and triangular Ag NPs enhanced the mechanical property, thermal stability and antibacterial performance of THWPU. More importantly, our results demonstrated that formation of spherical Ag NPs in THWPU were beneficial for improving the mechanical property and thermal stability of THWPU, while the formation of triangular Ag NPs enabled THWPU better antibacterial performance against E. coli and S. aureus. Given these advantages, our obtained Ag NPs nanocomposites could be suitable for a broad range of commercial applications, such as medical products, children’s products.

Introduction

Antimicrobial waterborne polyurethanes/silver nanoparticles (WPUs/Ag NPs) composites have been widely used in coatings, adhesives, and biomedical applications due to the enhanced mechanical and thermal stability as well as excellent antibacterial properties.1–3 Up till now, the most common approach to prepare WPUs/Ag NPs composites is blending the pre-prepared Ag nanoparticles into the WPUs matrix.4–6 Nevertheless, a major concern for this method is that the blended Ag NPs are prone to agglomerate, which results in a nonuniform distribution of Ag NPs in WPUs matrix and deteriorates the antibacterial properties of the prepared WPUs/Ag composites significantly.7,8 Furthermore, this method is more complicated and time-consuming due to involving the synthesis and purification processes of Ag NPs, further limiting the practical applications of WPUs/Ag NPs composites.9 Therefore, it is desperate to develop simple and effective techniques to fabricate high-performance WPUs/Ag NPs composites equipped with outstanding thermal, mechanical and antibacterial properties.

Recently, an alternative and promising strategy for the preparation of WPUs/Ag NPs composites is **in situ** synthesis of Ag NPs using WPUs as a stabilizing agent.9–11 The formed Ag NPs were demonstrated the homogeneous distribution in the WPUs matrix and displayed excellent stability without any agglomeration.12 For example, an anionic WPU with carboxylate-functional group was tailored synthesized via the introduction of bis (hydroxymethyl) propionic acid (DMPA) in the backbone of WPU and was employed as a stabilizing agent to **in situ** form Ag NPs for preparation of WPUs/Ag NPs composites. The prepared WPUs/Ag NPs composite films displayed improved thermal stability, mechanical performance and water resistance property as well as excellent antibacterial behavior against E. coli.13–15 Benefiting from these charming attributes, various WPUs have been synthesized and served as stabilizing agents to **in situ** synthesize Ag NPs in the WPUs matrix.15,16 Despite the fruitful research conducted on the preparation of WPUs/Ag NPs composites, the majority of the used WPUs were used as stabilizers and limited WPUs as both reductant and stabilizer for **in situ** formation of Ag NPs have been reported.14 In addition, the properties of the formed Ag
NPs in WPUs matrix including size and shape play critical roles in the thermal stability, mechanical performance, water resistance property and antibacterial behaviour of the obtained WPUs/Ag NPs composites. Regrettably, there are few studies to tune and control the properties of the formed Ag NPs in WPUs matrix during the in situ synthesis process of Ag NPs.

Herein, a tannic acid (TA)-based hyperbranched WPU (THWPU) was synthesized by using TA as a bio-based component and then was used to synthesize Ag NPs as both reductant and stabilizer. The effects of reaction time and temperature on the size and size distribution of the formed Ag NPs were investigated. The control method for the shape of Ag NPs formed in the THWPU was studied. Furthermore, the stability, mechanical properties and antibacterial properties of the prepared THWPU/Ag NPs composites with different shapes of Ag NPs were evaluated.

**Experimental**

**Materials**

Poly(tetramethylene ether glycol) (PTMG, $M_w = 2000$) and isophorone diisocyanate (IPDI) were obtained from Jining Bai-chuan Chemical Co., Ltd, China. Before using, PTMG-2000 was placed in a vacuum oven at 110 °C for 2 h to remove the moisture. Bis(hydroxymethyl)propionic acid (DMPA) was purchased from Shanghai Demand Chemical Co., Ltd, China. Dibutyltin dilaurate and stannous octoate were provided by Jiangsu Yoke Technology Co., Ltd, China. Triethylamine (TEA), tannic acid (TA), diethylene glycol (DEG), tetrahydrofuran (THF) and silver nitrate ($\text{AgNO}_3$) were obtained from Sinopharm Chemical Reagent Shanghai Co., Ltd., China and used as received. *Escherichia coli* (*E. coli*, ATCC25922) and *Staphylococcus aureus* (*S. aureus*, ATCC29213) were obtained from Nanjing Bianzhen Biological Technology (China).

**Synthesis of THWPU**

To prepare THWPU, the prefabricated PTMG-2000 was placed in a 50 mL four-neck round-bottom flask under 65 °C, followed by addition of IPDI. Then the mixture was heated to 80 °C and kept for 2 h to prepare the −NCO-ended polyurethane prepolymer. After 2 h later, DMPA, DEG, dibutyltin dilaurate and stannous octoate were added for further reaction of 4 h to get the polyurethane prepolymer with −COOH groups. The mixture was cooled to 25 °C, and then the TA solution dissolved in THF was added, followed by keeping the reaction at 60 °C for 5 h. After that, the mixture was placed in a water bath until the temperature dropped to 25 °C, and was then neutralized by slowly adding TEA under stirring in 45 min. The mixture was then vigorously stirred for 30 min, followed by adding water for emulsification and vacuum evacuation to remove THF to obtain the waterborne polyurethane solution.

**In situ synthesis of Ag NPs with THWPU**

The THWPU solution with a solid content of 20% was obtained by dilution with deionized (DI) water, and then the silver nitrate solution of 0.1 mol L$^{-1}$ was added into the solution under stirring and dark condition. The preparation process was illustrated in Scheme 1a. The ratio of $\text{Ag}^+$ to Benzene ring was 1/1.5. The effects of the reaction time and temperature on the size of the formed Ag NPs were investigated. Furthermore, to tune the shapes of the formed Ag NPs, sodium borohydride as a strong reductant was added into the mixture during the reaction process, as shown in Scheme 1b. The ratio of $\text{Ag}^+/\text{benzene ring/NaBH}_4$ was 1/1.5/1.

**Antibacterial activity test of the THWPU/Ag NPs composites**

To quantitatively assess the antibacterial behaviours on the obtained THWPU/Ag NPs composite films, a shake flask method was used in this study following the test standard of ASTM E-2149 (2013). Typically, the film samples with $5 \times 5$ cm$^2$ in size were used in this method. Nutrient broth was used as a growing medium for Gram-negative *E. coli* and Gram-positive *S. aureus*, and phosphate buffered saline solution were chosen as a testing medium. In this work, the bacteria were cultivated in 5 mL of nutrient broth at 37 °C for 24 h. The film samples and initial suspended bacteria of 106 CFU mL$^{-1}$ were placed into a 250 mL flask containing 70 mL of phosphate buffer saline. The flask was shaken on a reciprocal shaker at a speed of 220 rpm at 37 °C for 1 h. The plate count agar technique was then used for quantitative assessment of testing specimens. A 10-fold serial dilution technique was used for ensuring a reliable accounting of the bacterial colonies. Then 0.5 mL of bacterial suspension after shaking was placed over the agar into sterilized Petri dishes. Then bacteria colonies were counted after incubation at 37 °C for 48 h. The antibacterial ratio was calculated as follows:

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\text{Antibacterial ratio} = \frac{N_0 - N}{N_0} \times 100\% \quad (1)
\]

where $N_0$ is the mean number of bacteria on the pristine THWPU film, and $N$ is the mean number of bacteria on the THWPU/Ag NPs composite film. This test was repeated three times for each sample.

**Characterization**

The particle size and size distribution of the prepared THWPU were measured by dynamic light scattering (DLS, Malvern ZEN3690) at 25 °C. Three different samples were tested repeatedly. To evaluate the relative molecular weight and its distribution of the THWPU, gel permeation chromatography (GPC) spectrum was measured in THF with a concentration of 2 mg mL$^{-1}$. The flow rate and temperature were set at 1.0 mL min$^{-1}$ and 40 °C, respectively. UV-Vis absorption spectra of the THWPU/Ag NPs composite samples were recorded on a HITACHI U-1800 spectrophotometer at room temperature with a concentration of 0.1 mg mL$^{-1}$. The X-ray diffraction (XRD) measurements were carried out by using a D/max-III A X-ray diffractometer (Rigaku Denki, Tokyo, Japan) with a Cu-K$\alpha$ radiation ($\lambda = 0.154$ nm) at a scanning rate of 4° min$^{-1}$. To observe the morphology of the THWPU/Ag NPs composite dispersion, a JEOL JEM-2100 transmission electron microscope (TEM) operating at an acceleration voltage of 120 KV was...
employed. The sample was prepared by dripping a droplet of a diluted THWPU/Ag NPs dispersion (0.5 mg mL$^{-1}$) onto a 450 nm carbon-coated copper grid and then dried at room temperature. The surface morphology of the THWPU/Ag NPs composite was observed by using a scanning electron microscopy (SEM, FEI, USA). The distribution of Ag element on the surface of THWPU/Ag NPs composite was determined via energy dispersive X-ray spectroscopy (EDX) using a Bruker X Flash Detector 6/30 instruments. To study the thermal stabilities of the THWPU and THWPU/Ag NPs composites, thermogravimetric analysis (TGA) was performed on TA Instruments Q500 (New Castle, DE, USA). The data was recorded from 20 to 800 °C with a scanning rate of 20 °C min$^{-1}$. The tensile strength, Young's modulus and elongation at break of the samples were studied by using a tensile tester (INSTRON5565, USA) at a speed of 50 mm min$^{-1}$ at room temperature. Three specimens were tested for each sample. The specimen was moulded into 45 mm long, 8 mm wide and 0.2 mm thick.

Results and discussion

Characterization of THWPU

The 20% wt THWPU solution exhibited yellow colour (Fig. 1a), which mainly derived from the TA’s colour. The average size of THWPU determined by DLS was 100 ± 5 nm, with a narrow size distribution (PDI < 0.15). The THWPU possess a negative surface potential, due to the presence of –COOH groups in the backbone of TA-based hyperbranched WPU. The GPC date shown in Fig. 1b indicated that the molecular weight of the synthesized THWPU was 28 600, $M_w/M_n$ was 1.27, and narrow molecular weight distribution of THWPU.

In situ formation of Ag nanoparticles within THWPU

In earlier work, TA have been demonstrated to reduce Ag$^+$ to Ag NPs via the reducibility of catechol groups.$^{19}$ Inspired by these findings, THWPU was prepared and used to in situ synthesize Ag NPs as both reductant and stabilizer. As shown in Fig. 2a, after adding silver nitrate into THWPU solution, the colour of the mixture solution turned from yellowish to brown and did not form precipitation in the solution. The UV-Vis absorption spectrum was measured to confirm the generated Ag nanoparticles by tracking the characteristic absorbance peaks of the surface

**Scheme 1** Schematic diagram of the in situ synthesis process of Ag NPs within THWPU to prepare THWPU/Ag composites.

**Fig. 1** The characterizations of THWPU by (a) DLS and (b) GPC analyses.
plasmon resonance of Ag NPs at around 415 nm. As shown in Fig. 2a, distinct characteristic absorption peak appeared at 418 nm, showing the formation of Ag NPs within the THWPU.

To further affirm the formation of Ag NPs, the surface morphology of the THWPU/Ag NPs composite film prepared on a carbon-coated copper grid was observed with SEM. As shown in Fig. 2b, densely populated nanoparticles were clearly observed in the film. The element composition of the observed nanoparticle was further analysed using energy dispersive X-ray spectroscopy (EDX). As illustrated in Fig. 2c, a strong characteristic peak of Ag appeared in the EDX spectrum, demonstrating that the nanoparticles observed in SEM images (Fig. 2b) were composed of silver. Furthermore, the characteristic peak of Cu was date from the copper grid, and the peak of C was date from the carbon support film on the copper grid or the THWPU. The appearance of peaks of N and O also verified the existence of THWPU which served as a protective layer of the formed Ag NPs. The mechanism of the formation of Ag NPs is that the residual catechol groups in THWPU could reduce Ag⁺ to Ag⁰ by giving electrons to Ag⁺ and conversing to quinone groups, the formed Ag⁰ would be assembled to uniform Ag NPs due to the restriction of the hyperbranched structures in WPU. The aggregated Ag NPs could be fixed in the THWPU structure and be well protected by the hyperbranched WPU, thus demonstrate excellent stability.

The effects of reaction time and temperature on the generation of Ag NPs

The reaction time was critical to the formation of Ag NPs in THWPU. UV-Vis absorption spectra of formed Ag NPs with different reaction time were shown in Fig. 3a, the absorption peaks of Ag NPs become stronger as the reaction time increasing, indicating the population of the formed Ag NPs in THWPU rising. In addition, the peak position of the formed Ag NPs exhibited a redshift as reaction time prolonging, demonstrating the decreased size of the resulting Ag NPs. In addition, the absorption peak of Ag NPs obtained in this work (8 h) was narrower compared with that of the reported Ag NPs prepared with anionic waterborne polyurethanes as templates, indicating the narrow size distribution of the formed Ag NPs in TA-based hyperbranched WPU.

Despite the fact that Ag NPs could be obtained with THWPU as reductant under mild room temperature, the synthesis process of Ag NPs is time-consuming due to the weak reducing capacity of catechol groups in THWPU. To enhance the reaction efficiency, the reaction temperature was adjusted to 80 °C. As shown in Fig. 3b, a more obvious absorption peak at 418 nm for Ag NPs was observed with the reaction time of 25 min, indicating more Ag NPs were formed, compared with the spectrum...
within 4 h under mild room temperature (shown in Fig. 3a). In addition, the absorption peak of Ag NPs formed at 80 °C was narrower than that of Ag NPs formed at room temperature, indicating that the generation of Ag NPs with narrower particle size distribution, which was profit from increasing reaction temperature.

The morphology of Ag NPs generated at 80 °C was further characterized by TEM. As shown in Fig. 4a and b, the Ag NPs were approximate sphere with a diameter of around 10–15 nm and evenly distributed in THWPU without agglomeration. The generated Ag NPs in this work exhibited the analogous shape, yet a smaller size and narrower size distribution, compared with those anionic waterborne polyurethanes as templates with NaBH₄ as the reducing agent. Precisely because that the interior cavity of THWPU provides a moderate space for the nucleation and germinate of the Ag NPs, which is favourable for the develop of smaller Ag NPs. Moreover, the protection layer of THWPU around the Ag NPs effectively suppressed the agglomeration of the formed Ag NPs, leading to a narrow size distribution. This result was further verified by the uniform distribution of Ag element in the THWPU/Ag NPs composite film, shown in Fig. 4c. While, TEM images shows Ag NPs very diluted, which indicates that the concentration of nano-silver is low.

### Preparation of Ag NPs with different shapes in THWPU

Xia et al. reported the preparation of Ag NPs with different shapes through using Polyvinyl Pyrrolidone (PVP) as a protective agent. The shapes were regulated by adding various reducing agents at different growth stages of Ag NPs. In this research, the effects of addition of a strong reductant (NaBH₄) in the mixed water solution of THWPU and AgNO₃ on the

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**Fig. 4** (a and b) The TEM images of the formed Ag NPs in THWPU at a different scale and (c) EDX analysis of the THWPU/Ag NPs composite film.

**Fig. 5** (a) The UV-Vis absorption spectrum and (b and c) TEM images of THWPU/Ag NPs composites at a different scale using NaBH₄ as reducing agent.

**Scheme 2** Schematic diagram of Ag NPs growth mechanism using NaBH₄ as reducing agent.

**Fig. 6** The XRD spectrum of THWPU/Ag NPs composites by NaBH₄.
The growth mechanism of nano-silver is not very clear up to now, growth mechanism of Ag NPs is speculated in Scheme 2. Typically, the growth process of Ag NPs can be divided into four phases: (1) Ag⁺ is reduced triangular to Ag⁰; (2) Ag⁰ aggregates into crystal nucleus; (3) the nucleus develops to a stable seed crystal with a diameter of 2 to 6 nm; (4) the stability of the system also get changed, making some seed crystals grow along the {111} crystal planes and eventually grows into triangular Ag nanoparticles, as shown in Scheme 2.

As illustrated in the XRD pattern of the obtained THWPU/Ag NPs composite film under addition of NaBH₄ in Fig. 6, there is a strong diffraction peak at 38.1° which is corresponding to the {111} crystal planes of the Ag NPs, indicating the preferential orientation of {111} crystal planes of Ag NPs and the growth mechanism of triangular Ag NPs along the {111} crystal planes. In addition, the peaks at 20°, 44.3°, 64.4°, 77.4° and 81.5° are corresponding to the crystallized soft segment of THWPU and {200}, {220}, {311}, and {222} crystal planes of the Ag NPs, respectively.²⁶

The shape influences of the Ag NPs on the mechanical properties of the THWPU/Ag NPs composites

To evaluate the mechanical properties of THWPU and THWPU/Ag NPs composites, the tensile strength, Young's modulus and elongation at break were tested and corresponding results were shown in Fig. 7 and Table 1. The results show that both triangular Ag NPs and spherical Ag NPs in THWPU (Ag NPs (T)) could enhance the mechanical properties of the THWPU/Ag NPs composites compared with Ag NPs (S), probably due to the anisotropic stress discontinuity of triangular Ag NPs.
spherical and triangular Ag NPs could enhance the thermal stability of THWPU. The THWPU exhibited a three-stage thermal degradation process: (i) at the first stage, the decomposition of some thermolabile urethane bonds and ester bonds occurred at around 250 °C; (ii) the second stage was corresponding to the decomposition of the aromatic rings from tannic acid (TA) at around 300 °C; (iii) the third stage was at around 420 °C, involving the decomposition of the soft segment on the polyurethane backbone. The AgNPs that with different morphology can further improve the thermal stability of composites, while the main effect is reflected in the second stage of thermal decomposition of composites. And the improved thermal properties of the THWPU/Ag NPs composites were mainly caused by the interaction between Ag NPs and benzene rings in tannin acid.

**The shape influences of the Ag NPs on the antibacterial properties of the THWPU/Ag NPs composites**

The previous studies have demonstrated that the antibacterial properties of Ag NPs were highly dependent on their shape and anisotropic polyhedral. Ag NPs are reported to exhibit different bactericidal properties. Song et al. found that spherical Ag NPs and triangular flake-shaped Ag NPs are superior to rod-shaped Ag NPs in antibacterial property against *E. coli.* Herein, the antibacterial properties of the spherical and triangular Ag NPs in THWPU against *E. coli* and *S. aureus* were evaluated by the shake-flask vibration method. The inhibition rates of THWPU/Ag NPs (S) and THWPU/Ag NPs (T) against *E. coli* and *S. aureus* at different incubation time are shown in Fig. 9 and Table 3. It could be observed that the inhibition rates of MWPU/Ag NPs (T) against *E. coli* and *S. aureus* were 90.52% and 87.43% after shaking for 1 h, while the inhibition rates of MWPU/Ag NPs (S) were 88.15% and 33.33% against *E. coli* and *S. aureus*, indicating better antibacterial properties of THWPU/Ag NPs (T). The better antibacterial ability of MWPU/Ag NPs (T) was probably due to the more (111) crystal faces of the triangular Ag NPs which have a higher reactivity (Fig. 10).

**Conclusions**

In this case, a simple and facile method was developed for preparation of antibacterial polyurethanes/Ag NPs composites, where tannic acid-based hyperbranched waterborne polyurethanes (THWPU) was employed as both reductant and stabilizer to *in situ* and controllably synthesize Ag NPs. The formation of Ag NPs within THWPU was demonstrated by UV spectrophotometer, SEM and EDX. The effects of reaction temperature on the formation of Ag NPs were investigated and the results showed that the formed Ag NPs under room temperature and 80 °C were both spherical, whereas the formation of Ag NPs at 80 °C was much faster and the formed Ag NPs had narrower size distribution compared with those formed under room temperature. Furthermore, triangular Ag NPs were also synthesized in THWPU via adding strong reductant (NaBH₄) during the formation of Ag NPs. The mechanical property, thermal stability and antibacterial performance of the prepared THWPU/Ag NPs composites with different shapes were evaluated, and the results demonstrated that formation of spherical Ag NPs in THWPU were beneficial for improving the mechanical property and thermal stability of THWPU, while the formation of triangular Ag NPs enabled THWPU better antibacterial performance against *E. coli* and *S. aureus*. Given these advantages, our obtained Ag NPs nanocomposites could be suitable for a broad range of commercial applications, such as medical products, children’s products.

**Conflicts of interest**

There are no conflicts to declare.

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