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Unexpected Optical Blue-Shift in Large Colloidal Quantum Dots by Anionic Migration and Exchange

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ABSTRACT:
Compositional changes taking place during the synthesis of alloyed CdSeZnS nanocrystals (NCs) allow shifting the optical features to higher energy as the NCs grow. Under certain synthetic conditions, the effect of those changes on the surface/interface chemistry competes with and dominates over the conventional quantum confinement effect in growing NCs. These changes, identified by means of complementary advanced spectroscopic techniques such as XPS (X-ray Photoelectron Spectroscopy) and XAS (X-ray absorption Spectroscopy), are understood in the frame of an ion migration and exchange mechanism taking place during the synthesis. Control over the synthetic routes, during the NCs growth, represents an alternative tool to tune the optical properties of colloidal quantum dots, broadening the versatility of the wet-chemical methods.

Semiconductor nanocrystals (NCs) have benefited from straightforward wet chemical synthetic methods that provide relatively low-cost, efficient, and high-quality emitting materials. Nowadays, improved control over particle size, shape, composition, crystallinity, and surface chemistry have triggered their applications ranging from flat-screens, to lasers or biological markers. However, these NCs are still the motivation of numerous fundamental physical-chemical studies, being the focus of very active research in the quest for materials with tunable and robust optical and electronic properties.

The diversity of synthetic procedures and suitable chemical precursors have led to a broad variety of NCs, most of them showing well-defined optical properties whose evolution is consistent with variations of composition, size or shape, and mainly explained by the quantum confinement effect. While size and composition are the two main factors affecting the optical properties, the spatial distribution of elements, and the nature of the interfaces between the different regions composing the NCs also influence the final optical response. Among these NCs, multinary ones (tertiary or quaternary in composition), are gaining interest in applications ranging from flat-screens, to lasers or biological imaging, where larger wavelengths and very stable emission are demanded. Unfortunately, since multiple elemental combinations are possible in these systems, reproducible composition and stoichiometry are still tough tasks, especially when all precursors are included in the synthesis recipient at the same time. In these NCs, the formation of different phases may not only produce the traditional core-shell-like NCs but also graded or alloyed ones with a chemical composition gradient. In all these structures the lattice mismatch at the interface between each component plays a critical role in their final optical response and, therefore, in their applicability.

The alloyed structures with a chemical composition gradient possess a smooth confinement potential and have been recently revealed as robust and efficient systems. They can be synthesized by several methods including the use of post-synthetic treatments such as annealing procedures of core-shell NCs produced by hot-injection, or ion exchange processes promoted by the NCs incubation in suitable media.

During the injection methods, NCs’ composition and optical properties are governed by synthetic parameters, being traditionally explained in terms of the used precursors, solvents, and temperature, which regulate the NCs’ nucleation and growth processes. While in these procedures, the composition of the NCs at different reaction times have been widely studied, there is a lack of a detailed explanation of the role of migration and/or exchange of elements on the compositional changes during growth. These mechanisms are well-known and are the basis of the preparation of a broad palette of NCs from previously prepared seeds, either by replacement or addition of ions to the initial
lattice. In this work, however, we report on the elucidation of these mechanisms not in previously prepared NCs, but during the growth evolution of quaternary NCs by hot injection.

It will be shown that the synthetic parameters can be programmed not only to control nucleation and growth but also as a tool to tune in situ the optical properties of alloyed structures on the basis of ion exchange and migration mechanisms. Subtle changes on precursors feeding during the synthesis of alloyed CdSeZnS allow modulating the NCS’ optical response to obtain either the customary red-shift, as previously reported, or an unexpected blue-shift in the optical properties as the NCSs grow. In this work, the traditional red-shift concomitant to the increasing NCS’ size competes with compositional changes taking place during the reaction. The upshot of this competition is mainly controlled by temperature, which defines the evolution of the NCS’s structures and optical properties. Notably, in this work the changes in composition, responsible for the intriguing blue-shifted optical response as the NCSs grow larger, have been elucidated through advanced characterization including X-Ray Photoelectron Spectroscopy (XPS), X-Ray Absorption Spectroscopy (XAS), and Time-Resolved Spectroscopy. The results are understood in the frame of ion exchange and migration mechanisms taking place, not after post-treatments, but in-situ during the synthesis of the NCSs, which provides an extra degree of freedom and increases the versatility of the hot-injection method.

We selected a simple single-injection method to produce CdSeZnS NCSs following previously reported procedures with some modifications. Briefly, the Cd and Zn precursors (CdO (0.05 mmol) and Zn stearate (2 mmol), respectively) were placed in a three neck round flask in the presence of oleic acid (OA, 8 mmol) and the mixture was heated up to 150 °C under nitrogen atmosphere. Once this temperature was reached, the solution was degassed under vacuum for 30 minutes. Further, octadecene (ODE, 7.5 ml) was added as reaction media and the temperature was raised to 300 °C. At this stage, the injection of Se (0.2 mmol) and S (1.5 mmol) precursors in a trioctylphosphine (TOP, 2 mmol) solution was quickly performed. After injection, the temperature was decreased 10 °C, favoring the growth process. Finally, the reaction was quenched using a cold-water bath and the addition of dodecanethiol (DDT, 6 mmol) at 200 °C. Aliquots at 2 minutes intervals were taken during the first 10 minutes of reaction to investigate the NCS’s evolution.

The accepted formation mechanism for these NCSs is explained as follows: Cd and Zn precursors in the presence of OA form the cationic complexes Cd-OA and Zn-OA. Separately, by dissolving elemental sulfur and selenium powders in TOP, Se-TOP and S-TOP are formed. Once the injection is performed at high temperature (300 °C), the nucleation process starts, which will be driven by Cd and Se reaction since their reactivity is higher than that of Zn and S. This fact is explained by the higher binding energy of Zn and S with the surfactants than those of Cd and Se precursors. On the other hand, it has been proved that, at this temperature, the reactivity of Se precursor is higher than that of S, showing a higher consumption of Se during the first stage of the reaction. Taking into account this reactivity, the structure of the expected NCS would be similar to the extensively reported alloyed systems in which a CdS-rich core is surrounded by a ZnS-rich shell. Under this assumption, a type I NC with a CdSe core and a shell with composition gradient from CdS to ZnSe and, finally, ZnS in the outer part of the shell is predictable. During the NCSs growth, the leakage of excitons in the graded shell produces the well-observed and reported red-shift of the optical properties (absorption edge and photoluminescence peak).

In this work, however, an anomalous evolution of the optical properties is reported for alloyed CdSeZnS NCSs. In Figure 1, the absorption and emission spectra of NCSs produced after 2, 4, 6, 8 and 10 minutes of reaction (Figure 1a-e) are shown. Their corresponding size histograms (Figures 1f-j) and Transmission Electron Microscopy (TEM) images (Figures 1k-o) were also included in this figure. High Resolution-TEM (HRTEM), and Scanning (STEM) images can be found in the Supporting Information (Figure S1, SI). In Figure 1 it can be observed that the optical properties follow a trend drastically different from that expected for a regular alloyed system with a chemical composition gradient where the absorption edge and the photoluminescence (PL) red-shift with the increasing size. As evidenced, the properties of these NCSs are not governed solely by the expected quantum confinement effect, since the bigger the NCSs, the more energetic the optical feature (see dotted line in Figures 1a-e) with a mean blue shift of 15 nm.

For a better understanding of the evolution of the optical response Time-Resolved PL measurements were performed to study the NCSs’ growth (see Figure S2, SI). The observed decrease in the life time values with reaction time (34.4, 20.3 and 17.3 ns for 2, 4 and 8 minutes NCSs, respectively) represents the expected trend to what Fermi’s Golden rule predicts for an ideal two-level quantum emitter, that is faster decay times accompanying a blueshifted emission. However while this trend does not correspond to an increased NC size where a reduced quantum confinement is expected, it is in accordance with recent reports for NCSs of different nature. For single composition and core-shell systems a similar
trend has been associated with the role of non-radiative processes\textsuperscript{29} and for the case of alloyed NCs compositional changes have been shown to drastically modify a given tendency of exciton radiative lifetime with NCs size.\textsuperscript{30} Thus, while NC size is well known to affect its optical response, the existence of compositional changes throughout the growth process (see below) will also determine the final properties of the NCs.

To investigate the evolution of the NC's elemental composition, aliquots of 2 and 10 minutes of reaction were analyzed by XPS, XAS and Total Reflection X-Ray Fluorescence (TXRF). Figure 2 shows the XPS spectra of 2 and 10 minutes NCs (green and red lines, respectively) obtained for the Cd 3d (Figures 2a, 2e and 2g), Zn 2p\textsubscript{3/2} (Figure 2b, 2f and 2h), and Se 3p - S 2p (Figures 2i and 2j) core levels, along with those spectra corresponding to the X-ray Auger electron (XAES) emission from Cd and Zn atoms (Figures 2c and 2d, respectively). Although all these spectra evidence the presence of Cd and Zn sulfides and selenides in both samples,\textsuperscript{31, 32} the chemical shift difference of the possible species is very small and an unequivocally assignation to the different contributions is not straightforward. Indeed, a chemical differentiation is unachievable when non-monochromatic radiation is used in the XPS experiments (see SI for further information, Figure S3). Having said that, some differences in terms of line-shape and widths as the reaction proceeds can be readily distinguished when monochromatic Al K\(_\alpha\) radiation is applied and high-resolution XPS measurements are performed, as the case here reported.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure2.png}
\caption{XPS spectra of 2 (green lines) and 10 minutes (red lines) NCs for the Cd 3d (a, e and g) and Zn 2p\textsubscript{3/2} regions (b, f and h). Cd MNN (c) and Zn LMM (d) XAES emissions. Se 3p/S 2p core levels for 2 (i) and 10 minutes (j) NCs.}
\end{figure}

Beside the determination of NCs' composition, we can take advantage of the XAES emission to extract further useful information. On the one hand, the absence of the Cd-MNN transition in both samples (Figure 2c), confirms that the Cd atoms are mainly located in the inner core of the NCs as the low kinetic energy of those Auger electrons allows their detection exclusively when the atoms are located at the outermost layers. On the other hand, the Zn-LMM transition provides chemical information complementary to that of Zn 2p signals, and its line-shape depends on the chemical environments.\textsuperscript{33, 34} In this case, the line-shape of Zn-LMM signals recorded for both NCs (Figure 2d) is similar to that of a semiconducting phase such as ZnSe and/or ZnS\textsubscript{2}, discarding the existence of Zn in a metallic state.\textsuperscript{33} Indeed, the line-shape of the spectrum after 10 minutes is modified probably due to a superposition of different XAES emissions corresponding to two chemical environments (ZnSe and ZnS\textsubscript{2}).\textsuperscript{33, 34} Additionally, as the kinetic energy of the electrons involved in the LMM-transition is high, these signals are emitted from deeper regions and, in this particular case, from all layers throughout the NCs.

Thus, XAES confirms the core-shell-like nature of our NCs and suggests different chemical environments for Zn atoms depending on the reaction time. The curve fitting of the different core levels for both NCs allow the accurate chemical identification of the different species. As shown in Figures 2e and 2f, for 2 minutes NCs two symmetric components with FWHM values similar to those previously reported elsewhere\textsuperscript{34-36} are required to properly fit both Cd and Zn core levels. After 10 minutes of reaction a single contribution provides a reasonable fit for the Cd 3d spectrum (Figure 2g) while two components are needed to appropriately fit the Zn 2p\textsubscript{3/2} spectrum (Figure 2h). As shown by these results, as the reaction evolves, the number of Cd species is reduced while Zn atoms maintain their chemical environments. Comparing the obtained results with previously reported ones,\textsuperscript{32, 36-38} the contribution at high binding energy values (pink and violet solid areas) could be ascribed to Cd and Zn selenides (CdSe and ZnSe, respectively) while the others (yellow and blue solid areas) could be ascribed to Cd and Zn selenides (CdSe and ZnSe, respectively). According to this assignment, after 10 minutes of reaction the existence of CdSe is discarded while CdS is currently detected. This behavior points to a reduction in the Cd species diversity by the migration and exchange of ions, although disordered regions with defect-like bonding expected in the outer surface of the 2 minutes NCs might also contribute to the spectral modification.

In addition, in the Zn 2p\textsubscript{3/2} spectra it is observed that the ratio of ZnSe to ZnS\textsubscript{2} is decreased after 10 minutes of reaction (Figures 2f and 2h), suggesting a thicker layer of ZnS\textsubscript{2} in 10 minutes NCs and supporting the observed increasing NCs' size. These features are also in accordance with the results shown for Se 3p and S 2p core levels (Figures 2i and 2j) where two different contributions were required to properly fit both S 2p spectra and the ratio between both sulfides change with time. As shown in Figure 2j, although both CdS and ZnS are detected, a thick layer of ZnS after 10 minutes of reaction is confirmed again. Finally, in these figures it can be observed that the contribution of Se 3p to these spectra is increased after 10 minutes of reaction, (grey solid areas), once more supporting the increasing NCs' size observed by TEM. For comparison, the spectra of the Se 3d region for both 2 and 10
minutes NCs can be seen in Figure S3, SI. It is worth mentioning that, although a qualitative analysis of the results is allowed, the direct quantitative comparison of the contents between the two samples is not possible. The different NCs size and subsequent surface-to-volume ratio define different density of ligands at the NCs surface which can cover-up the real intensity of XPS signals.

To complete and complement the structure elucidation in the whole NCs volume, XAS characterization was performed using synchrotron radiation at SX5 and XAFS2 beamlines of LNLS (Campinas, Brazil). In particular, X-ray Absorption Near Edge Structure (XANES) spectra for 2 and 10 minutes NCs were recorded, some of them included in Figure 3, where the spectra for aliquots and reference compounds (CdSe NCs, ZnS and ZnSe) at the Zn K-edge and at the Se K-edge are shown (Figure 3a and 3b, respectively). Additional XANES spectra at the S K- and Cd L$_1$-edges along with further experimental details can be found in the Supporting Information (see Figure S4). Inspecting the XANES spectra taken at the Zn K-edge, ZnS and/or ZnSe seem to be present in both samples. Meanwhile, the results obtained at Se K-edge suggest that our samples are slightly more similar to the ZnSe reference compound, although the presence of CdSe cannot be completely ruled out. Complementarily, comparing XANES results obtained at the S K- and Cd L$_1$-edges (SI, Figure S4) with information of several standards and samples reported in the literature, a clear identification of species is not straightforward. However, as shown above, XPS experiments allow the chemical differentiation, ruling out the existence of CdSe after 10 minutes of reaction and confirming the presence of ZnS and ZnSe independently of the reaction time. Meanwhile, CdSe is exclusively detected in 2 minutes NCs.

In order to shed light on the NCs structural conformation, Extended X-ray Absorption Fine Structure (EXAFS) measurements were performed at the Zn and Se K-edges. While Zn atoms could be forming ZnS and ZnSe, as it was previously stated by XPS and XANES results, the peak position at the Fourier Transform (FT) of the EXAFS oscillation, at the Zn K-edge shown in Figure 3c, provides an average coordination number (ACN) for ZnS of 3.0 and 3.6 for the 2 and 10 minutes NCs, respectively. The obtained ACNs are lower than that corresponding to the ZnS reference compound (ACN = 4) indicating that, in our samples, an important fraction of Zn atoms is coordinatively unsaturated, presumably by being on the NCs’ surface. In addition, the Zn-Se bond distance in both samples is in agreement with the corresponding distance in ZnS (see Table S1, SI).

The direct analysis of the first coordination shell for Se in both samples provides further clarifying information. In Figure 3d, the FT at the Se K-edge EXAFS spectra for the samples and reference compounds (ZnSe and CdSe) are displayed, and, as shown, remarkable differences between both NCs are now revealed. For 2 minutes NCs, the FT at the Se K-edge EXAFS spectrum does not correspond to pure ZnSe or CdSe reference compounds but to a combination of them. This result means that 2 minutes NCs are composed of both CdSe and ZnSe, as revealed by XPS measurements and expected for an alloyed NCs. According to the precursor’s reactivity and XPS results, the Se atoms in these NCs must be forming a CdSe-rich core and a ZnSe-rich shell. For this reason, two coordination shells, one of Zn atoms and other of Cd atoms were fitted, obtaining an ACN of 1.6 Zn atoms and 1.8 Cd atoms (see Table S2 in SI). It is interesting to note that the total coordination number ($N_{\text{Se,Zn}}$) is 3.4, lower than that expected for bulk ZnSe (4). This difference can be explained con-

![Figure 3](image-url)

**Figure 3.** XANES spectra of 2 (black dotted lines) and 10 minutes (red full lines) treated NCs compared with ZnS, ZnSe, SeZn and CdSe reference compounds at the Zn K-edge (a) and Se K-edge (b). Vertical dotted lines in (a) and (b) indicate the energy edge position for ZnS and ZnSe at Zn and Se K-edge, respectively. Fourier transforms of the EXAFS oscillation at the Zn (c) and Se (d) K-edges, for 2 and 10 minutes NCs along with the mentioned reference compounds.

Considering that Zn atoms are at the shell of the NC, and thus the Se atoms forming ZnSe with some of them, contribute to the $N_{\text{Se,Zn}}$ with a lower value than that expected for the inner region. Although the calculation of the percentage of Se atoms coordinated to Zn is not straightforward, it can be estimated about 50%, while the rest are forming an alloy with the Cd at the core of the NC. Indeed, the existence of CdSe at the very begging of the reaction was ascertained by XAS experiments performed on NCs grown for shorter times (0 minutes). Figure S5, SI shows the FT of the EXAFS oscillation for a sample taken a few instants after Se and S precursor injection (0 minutes NCs) compared to 2 and 10 minutes NCs samples (the corresponding fits can be also found in Table S2, SI).

From the FT of 10 minutes NCs (Figure 3d), it is evident that Se is, in this case, exclusively coordinated to Zn atoms forming ZnSe (no CdSe is detected). This asseveration was confirmed by XPS results, but also by the fitted EXAFS results (see Table S2 in SI), where only a first shell of Zn atoms was necessary to perform accurate fits. These fits provide an ACN Se-Zn of 3.9 and an
The gathered information from XPS, XANES and EXAFS results is schematically depicted in Figure 4 (top) showing that, in both NCs, Zn atoms are shared between S and Se, located at the shell of the NCs forming mainly ZnS, and in a minority fraction ZnSe (notice the thick and thin layers connecting the atoms, representing the major and minor phases present, respectively). Thus, the coordination state of Zn atoms does not suffer drastic modifications as the reaction evolves. In fact, the Se atoms are those whose coordination changes. In the case of 2 minutes NCs, Se atoms are present at the core, coordinated to some Cd atoms, while the rest of the Cd is forming CdS.

Meanwhile, for 10 minutes NCs, no evidence of CdSe was found (see dotted circle in Figure 4, top), with all Se being coordinated exclusively to Zn at the NC surface, being a minority fraction whereas ZnS maintains its dominant contribution. Meanwhile, CdS is the unique phase detected at the NCs’ core.

These results indicate that, contrary to an expected CdSe-rich core and a ZnS-rich shell, these NCs suffer a drastic modification on their composition as the reaction proceeds, where the NCs’ electronic structure is modulated by a compositional gradient giving rise to alloyed NCs with a CdS-rich core. This modification can be explained in terms of an ion migration and exchange mechanism. In an ion migration scenario, the presence of CdSe after 2 minutes, and its absence 8 minutes later, points to a Se migration from the inner core to the outer shell. Indeed, an indication of this Se migration is evidenced in our current XPS results where a Se-rich surface is detected even for 2 minutes NCs, also in agreement with our previously reported work. To investigate if this ion migration is accompanied by an exchange of elements, NCs produced for 2 and 10 minutes of reaction were further analyzed by TXRF. Table 1 shows the % atomic contents of S, Se, Zn and Cd for 2 and 10 minutes NCs, where significant changes are exclusively observed for Se and Zn, supporting an increased NCs size, as already observed by TEM inspections. As shown, the Cd content is quantitatively consumed after the first 2 minutes of reaction suggesting that the CdS present after 10 minutes must be formed at expenses of the initial CdSe present in 2 minutes NCs and, therefore, an anion exchange mechanism of Se for S is plausible (schematically depicted in Figure 4, middle). It is worth mentioning that this mechanism cannot be extrapolated from the sulfur content values due to the impossibility to distinguish from inorganic S and thiolated ligands. Likewise, cation migration could also contribute to the structures’ modification, as similar blue-shifts were observed by the group of Eychmüller, after annealing post-treatments on previously prepared core-shell CdSe-ZnSe NCs and explained in terms of Zn migration to the inner core. We ascertained that in the synthesis reported here the migration must be triggered by the reaction temperature (300 °C), since decreasing it to 260 °C yields the expected red-shift optical properties with increasing NCs’ size.

In general, the degree of any ion migration will dictate the final contribution of a particular compound to the NCs volume and thus, influence the final optical properties accordingly. This feature gives idea of the diversity of composition in alloyed NCs. We believe that ion migration and anion exchange mechanisms are responsible for the change in the NCs’ composition and, as a consequence, in their band-gap structure as schematically depicted at the bottom of Figure 4. According to the evidences presented here, this energy band modification is responsible for the observed blue-shift in the evolution of the optical properties as the CdSe at the inner core is replaced by CdS with larger band-gap.

Table 1. TXRF % atomic contents after 2 and 10 minutes of reaction

| Sample            | S     | Se   | Zn   | Cd   |
|-------------------|-------|------|------|------|
| 2 minutes NCs     | 46.3  | 2.6  | 49.7 | 1.4  |
| 10 minutes NCs    | 36.5  | 7.3  | 54.7 | 1.4  |

In conclusion, in this work we report on the synthesis and characterization of alloyed CdSe-ZnS NCs presenting an unexpected blue-shift in their optical properties as the reaction evolves. The use of advanced XPS, XANES and EXAFS techniques provides a very comprehensive study that allows the identification of the variations on NCs composition with time. This structural modification consists on the presence of CdSe in the initial stages of the reaction and its absence after several minutes. These results clarify the feasible mechanisms of ion migration and exchange scenarios dominating the optical response. Moreover, the experiments performed at lower temperatures evidenced that these mechanisms are controllable by this parameter and validate the need of profuse characterization methodologies to fully control the properties of these nanomaterials. In particular, this work provides new insights in the characterization of alloyed NCs through the combination of
XAS and XPS techniques. Further, it demonstrates an additional route to tune the composition of QDs and to modulate the emission wavelength through band-gap engineering.

**ASSOCIATED CONTENT**

**Supporting Information**

HRTEM and STEM images of 2 and 8 minutes NCs (Figure S1). Decay dynamics measurements of 2, 4 and 8 minutes NCs (Figure S2). XPS experimental details and XPS spectra for 2 and 10 minutes NCs at the Se 3d region (Figure S3). XAS experiments details, XANES spectra at Cd L-edge and S K-edge for 2 and 10 minutes NCs (Figure S4). Fourier transforms of the EXAFS oscillation at the Se K-edges, for 0, 2 and 10 minutes NCs (Figure S5).

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