Economic Activity and Trends in Ambient Air Pollution

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BACKGROUND: One challenge in assessing the health effects of human exposure to air pollution in epidemiologic studies is the lack of widespread historical air pollutant monitoring data with which to characterize past exposure levels.

OBJECTIVES: Given the availability of long-term economic data, we relate economic activity levels to patterns in vehicle-related particulate matter (PM) over a 30-year period in New Jersey, USA, to provide insight into potential historical surrogate markers of air pollution.

METHODS: We used statewide unemployment and county-level trucking industry characteristics to estimate historical coefficient of haze (COH), a marker of vehicle-related PM predominantly from diesel exhaust. A total of 5,920 observations were included across 25 different locations in New Jersey between 1971 and 2003.

RESULTS: A mixed-modeling approach was employed to estimate the impact of economic indicators on measured COH. The model explained approximately 50% of the variability in COH as estimated by the overall R² value. Peaks and lows in unemployment tracked negatively with similar extremes in COH, whereas employment in the trucking industry was positively associated with COH. Federal air quality regulations also played a large and significant role in reducing COH levels over the study period.

CONCLUSIONS: This new approach outlines an alternative method to reconstruct historical exposures that may greatly aid epidemiologic research on specific causes of health effects from urban air pollution. Economic activity data provide a potential surrogate marker of changes in exposure levels over time in the absence of direct monitoring data for chronic disease studies, but more research in this area is needed.

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over time, an extensive 30-year data set of monthly COH levels from 1971 to 2003 for 25 locations in New Jersey was obtained from A. Mikula at the New Jersey Department of Environmental Protection (personal communication, 2009). These locations cover all but three (Cape May, Cumberland, and Sussex) of the 21 counties in the state, which range from highly urban to suburban and rural. Table 1 provides a list of the locations and years covered by these data.

This COH data set is well suited to the task of outlining the long-term impact of the business cycle on air pollution exposure from primarily diesel-related sources over time. In this context, home heating with oil will not be as sensitive to economic variations, and heating will not affect summer air pollution levels. Although other particle-phase air pollutants have been monitored nationally over different segments of this time frame (TSP 1971–1987; PM10 1980–current; and PM2.5 1997–current), none provide the temporal and spatial resolution of the COH data for this region. In addition, an analogous data set could not be reconstructed from the mixed-particle observations of various size ranges, as the particles come from different sources and are often not highly correlated.

The completeness of the COH data enables a more thorough investigation of the long-term economic link to human health across time.

**Economic and regulatory data.** State-level unemployment rates are readily available online from the U.S. Census Bureau starting in 1970 (U.S. Census Bureau 2009a). They represent the percentage of the state population designated as unemployed during a given year. Because the majority of diesel vehicles in the United States are heavy-duty trucks, we also focused on trucking sector employment as a surrogate for the number of operating diesel vehicles in a given year. Annual county-level data on the number of trucking employees per square mile are readily available online from the U.S. Census Bureau starting in 1964 (U.S. Census Bureau 2009b). We also control for weather-related factors including temperature and precipitation. Summary statistics for these model covariates are provided in Table 2.

We further identified five regulatory periods, defined by the major federal regulations that impacted vehicle emissions for diesel as well as gasoline engines (Table 3). A lag period of 1 year was incorporated into these variables to allow the impact of the regulations to set in, although longer lag periods were also tested in the model. These regulatory periods of interest were the Clean Air Act (1970) and smoke standards for diesel engines, the 1978 passage of the Corporate Average Fuel Economy Standards (Energy Policy Conservation Act 1975), and regulations limiting PM emissions from diesel engines in 1988, 1994, and 1996. (U.S. EPA 1997).

**Statistical modeling approach.** The location data were not uniformly distributed over time; for this reason a mixed-modeling approach was applied using the methods developed by Baltagi and Wu (1999). This approach accounts for unequally spaced panel (longitudinal) data using generalized least squares estimation procedures and incorporates a first-order autoregressive error term \( \text{AR}(1) \). The “xtregar” command in Intercooled STATA Version 10.0 (College Station, TX) was used to estimate the following equation:

\[
\ln(COH_i) = \beta_0 + \alpha_i + \beta_2 N_i + \beta_3 P_i + \epsilon_i \text{ } \text{ } \text{ } [1]
\]

where \( \epsilon_i = \rho \epsilon_{i-1} + \eta_i \), \( \alpha_i = \) random location effect, \( N = \) weather variables, \( E = \) economic variables, \( P = \) regulatory periods, \( i = \) location, and \( t = \) month/year.

The COH data were approximately log normally distributed and were log transformed. \( \alpha_i \) represents a random-location effect that controls for differences across the 25 different monitoring locations, and the error term \( \epsilon_i \) is a function of both the previous period \( \epsilon_{i-1} \) (where \( p \) is the correlation across time periods) and a random component \( (\eta_i) \). \( N \) includes temperature and precipitation, whereas \( E \) incorporates both statewide unemployment as well as county-specific trucking employment. Finally, the regulatory periods were included as dummy variables in \( P \) to

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**Table 1. Description of COH data in New Jersey.**

| Location                     | County    | Years     | Population density* | Median COH⁴ |
|------------------------------|-----------|-----------|---------------------|--------------|
| Ancora State Hospital        | Camden    | 1971–2001 | 2,326               | 0.33         |
| Ashbury Park                 | Monmouth  | 1971–1983 | 1,346               | 0.49         |
| Atlantic City                | Atlantic  | 1971–1986 | 484                 | 0.70         |
| Bayonne                      | Hudson    | 1971–1983 | 12,875              | 0.92         |
| Burlington                   | Burlington| 1971–2003 | 560                 | 0.43         |
| Camden (two sites)           | Camden    | 1971–2003 | 2,325               | 0.33         |
| East Orange                  | Essex     | 1980–1983 | 6,225               | 0.64         |
| Elizabeth (two sites)        | Union     | 1971–2003 | 5,142               | 0.72         |
| Flemington                   | Hunterdon | 1980–2003 | 304                 | 0.22         |
| Freehold                     | Monmouth  | 1971–2003 | 1,346               | 0.49         |
| Hackensack                   | Bergen    | 1971–2003 | 3,860               | 0.54         |
| Jersey City                  | Hudson    | 1971–2003 | 12,875              | 0.92         |
| Morristown                   | Morris    | 1971–2003 | 1,052               | 0.54         |
| Nacote Creek                 | Atlantic  | 1979–1983 | 484                 | 0.70         |
| Newark                       | Essex     | 1971–2003 | 6,225               | 0.64         |
| Paterson                     | Passaic   | 1971–1982 | 2,682               | 1.03         |
| Paulsboro                    | Gloucester| 1971–1980 | 889                 | 0.83         |
| Penns Grove                  | Salem     | 1971–1985 | 197                 | 0.54         |
| Perth Amboy                  | Middlesex | 1971–2003 | 2,541               | 0.49         |
| Phillipsburg                 | Warren    | 1971–1982 | 310                 | 0.74         |
| Somerville                   | Somerset  | 1971–1982 | 1,084               | 0.63         |
| Toms River                   | Ocean     | 1971–1998 | 694                 | 0.72         |
| Trenton                      | Mercer    | 1971–1981 | 1,627               | 0.68         |

*Represented by the number of people per square mile in 2000 (U.S. Census Bureau 2009c). ⁴Data provided by A. Mikula, New Jersey Department of Environmental Protection (personal communication).

**Table 2. Summary statistics.**

| Variable                        | Obs | Mean | Median | SD  | Scale      | Source                        |
|---------------------------------|-----|------|-------|-----|------------|-------------------------------|
| COH                             | 6,232 | 0.64 | 0.55  | 0.40| City/monthly | A. Mikula, personal communication |
| Temperature (°F)                | 6,232 | 52.8 | 52.7  | 15.4| State/monthly | NCDC 2009                     |
| Precipitation (inches)          | 6,232 | 3.9  | 3.8   | 1.8 | State/monthly | NCDC 2009                     |
| Unemployment rate⁴              | 5,920 | 6.5  | 6.4   | 1.8 | State/annual | U.S. Census Bureau 2009a      |
| Trucking employees⁴            | 6,232 | 32.4 | 6.4   | 69.7| County/annual | U.S. Census Bureau 2009b      |

Abbreviations: NCDC, National Climatic Data Center; Obs, number of observations. ⁴State-level unemployment statistics were not available from the U.S. Census Bureau for 1990 and 1998. ⁵Represented by the number of trucking employees per square mile.

**Table 3. Regulatory time points.**

| Time period        | Description                                      |
|--------------------|--------------------------------------------------|
| 1971–1978          | 1970: U.S. EPA created, first Clean Air Act passed, and smoke standards set for diesel engines⁴ |
| 1979–1988          | 1978: Implementation of Corporate Average Fuel Economy fuel standards⁵ |
| 1989–1994          | 1988: standards for PM emitted from diesel engines strengthened to 0.6 g/bhp-hr⁶ |
| 1995–1998          | 1994: standards for PM emitted from diesel engines (trucks) strengthened to 0.1 g/bhp-hr⁶ |
| 1997–2003          | 1998: standards for PM emitted from diesel engines (buses) strengthened to 0.05 g/bhp-hr⁶ |

⁴Clean Air Act (1970). ⁵Energy Policy Conservation Act (1975). ⁶U.S. EPA (1997).
control for their impact over time. For estimation purposes, the initial regulatory period was excluded, and all later periods were judged against the first period.

Results

Figure 1 provides a graphical display of the monthly COH trends in New Jersey. We found a strong downward trend in COH over time, with an approximate 75% decline between 1971 and 2003. A relatively large decline was observed in the 1970s, followed by a leveling off during the 1980s, and another steadily decreasing trend in the 1990s. The variability in monthly location-specific COH levels around the annual mean was measured by the annual coefficient of variation [COV; ratio of the standard deviation and the annual mean (σ/μ)]. Overall, the COV averaged around 45% across the 30-year period, with a slight increasing trend in the later years. Therefore, although the graph shows a decline in absolute variability and noise over time, the relative variability (variation around the annual mean) was fairly stable.

When we matched data on statewide unemployment and county-level trucking employment, a visible pattern emerged to suggest a relationship between these economic indicators and COH levels. In Figure 2, short-term highs and lows in state-level unemployment in New Jersey were negatively associated with the highs and lows in statewide annual average COH levels. Beginning in the initial period (1971–1974), low unemployment rates corresponded to relatively high levels of COH. As the unemployment rate increased by approximately two thirds over the following years (1975–1977), COH levels had a short-term drop of approximately 35% during this same period. Extremes in the unemployment rates during 1982 and 1987–1989 displayed a similar relationship between COH and unemployment over the short term, with high levels of unemployment corresponding to decreased levels of COH and low unemployment corresponding to increased COH. However, this relationship appeared to break down beginning in the early 1990s.

In Figure 3, a similar pattern was distinguishable between COH and trucking employment, with the sharp decline in COH levels occurring around the same period of abnormally low trucking employment. In the 1980s, this relationship continued with a strong positive association between trucking employment and COH, that is, dips in COH were associated with similar declines in trucking employment and vice versa.

The Pearson correlation coefficients in Table 4 provided further quantitative evidence of these trends. To be consistent with the later statistical model, the correlation between employment indicators and COH (log transformed) were calculated within each regulatory period, although similar results were found using different time scales, for example, correlations within 5-year increments. The relationship between unemployment and COH was relatively strong during the 1970s. However, this relationship broke down over later periods. The opposite was true for trucking employment per square mile, where the relationship with COH became progressively stronger through time. When we looked more closely at the yearly correlation coefficients for COH and trucking employment density displayed in Figure 4, this relationship showed consistently positive correlations across all years except 1978 (r = –0.12).

The results from the random-effects model are presented in Table 5. A total of 5,920 observations were included across 25 different locations, and the model explained approximately 50% of the variability in COH as estimated by the overall R² value. All coefficients had the appropriate sign, with the expected winter heating effect at colder temperatures (lower temperatures are predictive of higher COH levels), and the negative impact of precipitation (effectively cleaning particles out of the air). Unemployment had the expected negative impact on COH. The regression results estimated that a 1-SD increase above the mean unemployment rate (from 6.5 to 8.3%) would lead to a 5.8% decline in COH levels. The density of trucking employees was also in line with expectations and showed a positive and significant coefficient. A 1-SD increase in the density of trucking employment above
average levels (from 32.4 to 102.1 employees per square mile) would subsequently increase expected COH levels by 7.8%.

The regulatory periods of interest played a large and significant role in predicting COH levels. Each regulatory period was associated with a significant decline in COH against the initial baseline period (1971–1978), although the marginal effect of regulations decreased through time (period 2: −29%; period 3: −15.1%; period 4: −14.9%; and period 5: −10.2%). A lag period of 1 year was incorporated into these variables to allow the impact of the regulations to set in, although longer lag periods were also tested in the model. The regression results were robust to the different lag specifications, with similar coefficient effect sizes and significance levels. However, the overall fit declined slightly with increasing time from the initial period of regulation ($R^2 = 0.46$: 1-year lag; $R^2 = 0.43$: 2-year lag; $R^2 = 0.41$: 3-year lag, etc.), suggesting that the 1-year lag provided the best fit for this model. Finally, although the marginal impact of each regulation decreased through time, all had the expected negative and significant coefficient sign, which suggests that federal regulations were ultimately successful at lowering COH ambient concentrations in New Jersey.

Separate random-effects models were also run within each regulatory period, and these results are shown in Table 6. The goal of the additional analyses was to explore the changing relationship between economic indicators and COH over time, as well as isolate the partial effect of the economic variables by estimating the relationship within (as opposed to across) regulatory periods. The $R^2$ values over the five separate regression models ranged from 0.20 to 0.43. The coefficients mirrored the results discussed earlier with positive and significant coefficients for trucking employment density and negative and significant coefficients on unemployment in the earlier but not later periods.

Discussion

Economists have long speculated about the impact of business cycles on human health. Most evidence has suggested a counterintuitive positive relationship between economic downturns and health, that is, people are healthier in bad economic times (Brenner 1971, 1973, 1975, 1979, 1987, 1995; Eyer 1977; Forbes and McGregor 1984; Gerdtham and Johannesson 2005; Gravelle et al. 1981; Johannsson 2004; Joyce and Mocan 1983; Laporte 2004; McAvinue 1988; Neumayer 2004; Ogborne and Thomas 1922; Ruhm 2000, 2003, 2005; Thomas 1927). Economic theory typically predicts a positive association between consumption and income, that is, people consume more at higher income levels. This pattern applies equally to products that are bad for your health, such as dining out, cigarettes, and alcohol. In periods of economic growth, the theory supports an increase in “bad behaviors” and adverse health effects, whereas the opposite would occur during recessionary periods.

In this paper, we present an alternative hypothesis to explain the apparent relationship between cyclical economic activity and human health that suggests that changes in ambient pollution levels are responsible, at least in part, for this apparent link. Other supportive evidence from the health and economics literature is consistent with the theory that economic conditions impact human health through changes in air pollution...
exposure. Pope (1989) showed that reductions in the criteria air pollutant PM2.5 after the closure of a major industrial polluter in Utah was associated with health improvements in the local population as measured by the number of hospital admissions for respiratory distress. Clancy et al. (2002) provided evidence that improvements in cardiovascular and respiratory health outcomes were the direct result of a 1990 regulatory decision to ban the sale of coal in Dublin, Ireland, and work by Chay and Greenstone (2003) further linked reductions in infant mortality with the 1981–1982 recession and declining industrial output.

The link between the economic indicators and air pollution has also been noted in the exposure science literature. In a study of ozone levels in Switzerland (Kuebler et al. 2001), concentrations were highly correlated with the European Union gross national product and industrial production growth rates, both indicators of economic activity in the region. Furthermore, a recent study of elemental carbon in the U.S. trucking industry (Davis et al. 2006, 2007) showed that job-related exposure to vehicle exhaust was significantly related to business activity at individual trucking terminals, such as the size of operation and number of employees. These studies provide direct evidence in support of the hypothesis that economic and regulatory activities have a significant impact on exposure to pollution and ultimately human health.

Our results provide evidence of a significant relationship between employment characteristics and COH levels. Peaks and lows in unemployment are negatively associated with similar extremes in COH, and county-level trucking employment levels are positively associated with COH. The relationship between unemployment and COH starts to become less apparent after the oil crises of the 1970s, whereas the opposite is true for the relationship between trucking employment and COH, which strengthens through time. One plausible explanation for the declining impact of unemployment is related to changing patterns of consumer spending over time, and in particular evolving attitudes and price sensitivity toward gasoline prices. Hughes et al. (2008) noted that although a 20% increase in gasoline prices in 1975–1980 resulted in a 6% decline in consumption nationwide, the same relative price increase in 2001–2006 resulted in a more modest 1% decline. This suggests that consumer spending on pollution-generating transportation fuel was more responsive to changing economic conditions in the past, which is reflected by the relatively strong relationship between unemployment and COH in the 1970s. In contrast, the density of trucking employment is more broadly linked to overall economic activity and less susceptible to the price sensitivity specific to gasoline prices, as noted for unemployment. Trucking companies respond quickly to an economic downturn by reducing labor costs, and lower employment levels in the industry are significantly related to economic output and pollution-generating activities. One possible explanation for the growth in the correlation of trucking employment density and COH over time is the declining influence of labor unions. Labor unions were more active during earlier periods, and their advocacy significantly reduces the ability of trucking companies to respond to worsening economic conditions by firing workers.

A strong association was also seen between regulatory policies and COH levels in New Jersey. Federal air-quality regulations were significantly predictive of the sharp decline in COH levels seen in New Jersey over the study period. The early regulations of the 1970s and 1980s had the largest impact on air quality, with the marginal impact of each additional regulatory policy declining through time. However, it is unclear to what extent the marginal effects were attributable to the specific legislation or were influenced by the regulatory inertia created by early regulations. The strength of the association across regulatory periods and COH trends suggests that the federal policies were ultimately successful in their goal of improving air quality and essentially tempered the increasing trend in pollution that otherwise would have resulted from economic growth. These results provide strong evidence to support the inclusion of relevant policy changes in chronic dose estimation for epidemiological studies of environmentally related diseases.

COH declined sharply in New Jersey over the study period—approximately 75% between 1971 and 2003. However, there was a noticeable slowing in the otherwise steady decline in COH that occurred during the 1980s. Kirchstetter et al. (2008) noted a similar leveling out of black carbon concentrations during this time period in San Francisco, California. This may be explained in part by the sporadic changeover to diesel-fueled vehicles in the U.S. trucking fleet during that period. Although long-distance trucks (travel >100 miles) had been using diesel fuel well before 1970, it was not used extensively in the local intercity delivery trucks until the 1980s (Garshick et al. 2002). The influx of diesel-fueled trucks during this period may have been responsible for the stall in the decreasing trend that otherwise occurred throughout the entire study period.

The significant relationships observed for both measures of employment and COH levels provide some evidence to suggest that economic trends may be useful in historical exposure modeling and chronic dose estimation in epidemiologic studies. Because of the lack of available retrospective exposure data, epidemiologic studies often use currently measured point estimates or exposure averages to recreate lifetime exposures that may occur over many decades. In these cases, economic data may be used to update the exposure averages and incorporate greater spatial and temporal resolution. For example, exposure estimates for individuals within an epidemiologic cohort may be based primarily on an exposure model constructed from available recent exposure data, and these estimates may be adjusted historically (or spatially) using the more readily available historical economic data. This work may be especially useful for updating cumulative exposure estimates in occupational studies, where the link between economic activities as a pollution-generating source is stronger than general population studies. The enhanced spatial and temporal resolution in the cumulative dose estimation would reduce misclassification bias across study subjects, and the application would therefore be more broadly useful for risk assessment and policy purposes.

Further work is needed to validate the trends observed in this study to other regions

### Table 5. Random effects estimation of COH.

| Variable            | Coefficient | SE  |
|---------------------|-------------|-----|
| Temperature         | -0.01**     | 0.003|
| Precipitation       | -0.01**     | 0.002|
| Unemployment rate   | -0.03**     | 0.01|
| Truck employment per square mile | 0.001** | 0.0003|
| Regulatory period 2a | -0.34**     | 0.02|
| Regulatory period 3a | -0.58**     | 0.03|
| Regulatory period 4a | -0.89**     | 0.04|
| Regulatory period 5a | -1.18**     | 0.03|
| Constant            | 0.29**      | 0.08|

Number of observations = 5,920; number of locations = 25; 
Prob > $R^2$ = 0.000; $R^2$ overall = 0.45.

*Regulatory periods identified in Table 3. **p < 0.05. ***p < 0.01.

### Table 6. Random effects estimation of COH by regulatory period.

| Regulatory period | 1 (1971–1978) | 2 (1979–1988) | 3 (1989–1994) | 4 (1995–1996) | 5 (1997–2003) |
|-------------------|---------------|---------------|---------------|---------------|---------------|
| Observations | 2,053 | 1,963 | 780 | 311 | 813 |
| Unemployment rate | -0.07 (0.01)** | 0.05 (0.01)** | -0.35 (0.01)** | -0.28 (0.01) | -0.01 (0.02) |
| Trucking employment per square mile | 0.001 (0.0003)** | 0.001 (0.0001)** | 0.002 (0.001)** | 0.003 (0.001)** | 0.004 (0.001)** |
| $R^2$ | 0.26 | 0.20 | 0.30 | 0.40 | 0.43 |

*Marginally significant ($p = 0.09$). **p < 0.05. ***p < 0.01
of the United States. Work is currently underway to replicate this model with a second extensive COH data set from the state of California. This research clearly demonstrates that the relationship between COH and economic trends is not constant through time and that this temporal variability must be accounted for if these data are to be adequately used to update historical exposure models. Although these data are widely available in the United States, their accessibility in other parts of the world is highly country-specific; there are likely to be wide gaps in data availability and error in less-developed countries.

Conclusions

Nearly half of the variability in COH levels is explained by this parsimonious model using economic activity levels, environmental regulations, and weather characteristics. Therefore, we conclude that this method may potentially be useful for estimating historical exposures to air pollution for chronic epidemiologic studies. However, further research in this area is necessary to fully illuminate the relationship between economic trends and air pollution levels. More specifically, it would be useful to expand on the current mixed-modeling approach to incorporate more site-specific sources around each of the monitoring locations within a land use regression-style framework. In addition, a comparative analysis of the historical COH trends between the East and West Coast of the United States (New Jersey and California) would provide important information on the changing mix of sources across time and geography. Finally, it would be useful to test this relationship for other air pollutants, such as ozone and sulfur dioxide, as well as to incorporate health outcomes data to examine whether this alternative approach provides a stronger link between cumulative exposure and environmentally related chronic diseases.

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