Organic solar cells based on acceptor-functionalized diketopyrrolopyrrole derivatives

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Abstract. The synthesis and characterization of three solution processable diketopyrrolopyrrole (DPP) derivatives featuring acceptor units attached to the core by alkyne linker units is reported. Cyclic voltammetry and density functional theory calculations indicate that the DPP derivatives possess similar HOMO and LUMO energies. Solar cells were fabricated by blending the synthesized DPP derivatives with [6,6]-phenyl-C71-butyrate methyl ester. The influence of donor:acceptor blend ratio, film thickness, annealing temperature, and annealing time on device performance was studied. Differences in device performance were related to atomic force microscopy measurements of the films. The highest power conversion efficiency of 1.76% was achieved for the DPP derivative functionalized with an aldehyde electron-withdrawing group with a 1:0.7 donor:acceptor ratio when the active layer was annealed for 10 min at 110°C. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JPE.5.057215]

Keywords: diketopyrrolopyrrole; acceptor-functionalized; small molecule; solar cell; [6,6]-phenyl-C71-butyrate methyl ester.

1 Introduction

Solution-processed organic solar cells based upon bulk heterojunction (BHJ) architectures continue to attract significant interest due to their potential for low-cost fabrication by simple printing techniques and the ability to produce flexible and lightweight devices. Significant progress was achieved in the development of BHJs featuring reasonable power conversion efficiencies (PCEs) from conjugated polymers as the donor component and methyl [6,6]-phenyl-C71-butyrate methyl ester (PC71BM) as the acceptor. However, conjugated polymers have some important drawbacks, including limited batch-to-batch reproducibility and laborious purification, which limit their large-scale synthesis. BHJs featuring small molecule donors have emerged as important alternatives to conjugated polymer-based solar cells due to their better synthetic reproducibility, well-defined structure, and ability to be produced with high purity. Significant progress has recently been made in the reported power conversion efficiencies of small molecule-based devices, which has resulted in systems of this type becoming viable alternatives for fabricating active layers within BHJs.

In this article, we describe the synthesis and characterization of three small molecule diketopyrrolopyrrole (DPP) derivatives (DPP-BZ, DPP-ES, and DPP-AM) and their subsequent fabrication as BHJ devices with PC71BM. DPP was selected as the core moiety for this...
study in view of its convenient synthesis, excellent light absorption properties, good photochemical/thermal stability, and excellent charge carrier mobility.9–12 We have further functionalized the DPP core with electron-withdrawing aldehyde (DPP-BZ), cyanoacrylic ester (DPP-ES), and cyanoacrylic amide (DPP-AM) units (Fig. 1) in an effort to tune the optical and redox properties of the DPP core. Furthermore, for DPP-AM and DPP-ES, we have included 2-ethylhexyl side chains to promote good solubility of these derivatives and, in the case of DPP-AM, an amide unit that could have the propensity to participate in intermolecular hydrogen bonding.

2 Experimental Details

2.1 Synthesis

All melting points are uncorrected. The NMR spectra were recorded on a Bruker AVIII 500 MHz spectrometer as CDCl3 solutions. The 1H and 13C spectra were recorded at 500 and 125 MHz, respectively, with either residual nondeuterated chloroform [δ 7.26 (1H) and δ 77.0 (13C)] or tetramethylsilane as the internal standard. Mass spectrometry measurements electrospray ionisation (ESI) were undertaken using a Bruker microTOFq high-resolution mass spectrometer. Reactions were performed under an atmosphere of nitrogen (oxygen-free) using oven-dried glassware (dried for 24 h at 100°C). Dry solvents were obtained using the Pure-Solv™ Solvent Purification System (Innovative Technology). 2-ethylhexyl-2-cyanoacetate,13 N-(2-ethylhexyl)-2-cyanoacetamide,14 and DPP-Br215 were synthesized according to literature procedures.

2.1.1 DPP-BZ

DPP-Br2 (300 mg, 0.44 mmol) and 4-ethynylbenzaldehyde (126 mg, 0.97 mmol) were dissolved in dry tetrahydrofuran (10 mL) and dry triethylamine (10 mL) under N2, and the solution was degassed with N2 for 15 min. Pd(PPh3)2Cl2 (18 mg, 0.026 mmol) and CuI (2.5 mg, 0.013 mmol) were then added and the solution was stirred at room temperature overnight. The reaction was then diluted with diethyl ether (100 mL) and washed with brine (3 × 100 mL). The organic layer was dried with MgSO4, filtered, and the solvent removed under reduced pressure. The crude product was purified by column chromatography [Silica, 100% dichloromethane (DCM)] to give the desired product as a blue solid (261 mg, 76%), with a melting point (m.p.) 211°C to 212°C. 1H NMR (500 MHz, CDCl3) δ: 0.77 to 0.87 (12H, m, CH3, 1.15 to 1.35 (16H, m, CH2), 1.82 (2H, m, CH2), 3.93 (4H, m, CH2), 7.35 (2H, d, J = 4.1 Hz, ArH), 7.61 (4H, d, J = 8.2 Hz ArH), 7.81 (4H, d, J = 8.2 Hz ArH), 8.83 (2H, d, J = 4.1, ArH), 9.95 (1H, s, CH=O); 13C NMR (125 MHz, CDCl3) δ: 10.4, 14.0, 23.0, 23.6, 28.3, 50.1, 39.1, 46.6, 86.0, 96.6, 109.16, 127.6, 128.4, 129.6, 131.4, 132.0, 133.8, 135.5, 135.9, 139.5, 161.4, 191.2; high resolution mass spectrometry m/z [ESI (M + Na+)]: 803.2913 (C48H48N2S2O4Na requires 803.2948).

2.1.2 DPP-ES

DPP-BZ (260 mg, 0.33 mmol), piperidine (7 μL, 0.066 mmol), acetic acid (30 μL, 0.53 mmol), and MgSO4 (33 mg, 0.13 mmol) were dissolved in dry toluene (5 mL) under
2-ethylhexyl-2-cyanoacetate (0.14 mg, 0.73 mmol) was added to the mixture and the solution was heated at 110°C for 4 h. After cooling to room temperature, the mixture was diluted with DCM (50 mL) and brine (50 mL), and the aqueous layer was washed with DCM (3 × 50 mL). The organic extracts were combined, dried with MgSO₄, filtered, and the solvent was removed under reduced pressure. The crude product was purified by column chromatography (SiO₂, 100% DCM) to give the desired product as a blue solid (258 mg, 69%), m.p. 219°C to 220°C. ¹H NMR (500 MHz, CDCl₃) δ: 0.78 to 0.91 (24H, m, CH₂), 1.14 to 1.43 (32H, m, CH₂), 1.65 (2H, m, CH), 1.83 (2H, m, CH), 3.95 (4H, m, CH₂), 4.18 (4H, m, CH₂), 7.37 (2H, d, J = 4.1 Hz, ArH), 7.57 (4H, d, J = 8.4 Hz, ArH), 7.93 (4H, d, J = 8.4 Hz, ArH), 8.14 (1H, s, CH=C) 8.84 (2H, d, J = 4.1, ArH); ¹³C NMR (125 MHz, CDCl₃) δ: 10.5, 11.0, 14.0, 22.9, 23.0, 23.6, 23.8, 28.3, 28.9, 30.2, 30.3, 38.8, 39.2, 46.2, 69.2, 86.4, 96.8, 103.7, 109.2, 115.2, 127.2, 127.6, 131.0, 131.5, 131.6, 132.1, 133.9, 135.6, 136.9, 153.3, 161.5, 162.4. MS (MALDI) m/z 1138.6 (M⁺).

### 2.2 Characterization

#### 2.2.1 Electrochemistry

Cyclic voltammetry (CV) experiments were performed using a CH Instruments 440A electrochemical workstation. All measurements were undertaken under an oxygen-free nitrogen atmosphere. A solution of electrochemical grade tetrabutylammonium hexafluorophosphate dissolved in dry DCM (0.1 M) was employed as the supporting electrolyte. A platinum disc working electrode, a platinum wire counter electrode, and a silver wire pseudo-reference electrode were used for all measurements. The half-wave potentials were referenced to ferrocene (Fc) (internal reference) with the Fc/Fc⁺ redox couple adjusted to 0 V. The HOMO and LUMO energies were estimated from the CV derived half-wave potentials of the first oxidation and reduction waves, respectively, using the following equations:

\[
E_{(\text{HOMO})} = (-4.8) - [E_{1/2(\text{ox})}],
\]

\[
E_{(\text{LUMO})} = (-4.8) - [E_{1/2(\text{red})}],
\]

where −4.8 eV is the \(E_{(\text{HOMO})}\) for Fc against the vacuum.¹⁶

#### 2.2.2 Density functional theory calculations

Calculations were performed using the Spartan '08 software suite.¹⁷ Molecular geometries were initially optimized semi-empirically (AM1) and then reoptimized using density functional theory (DFT) (B3LYP/6-31G*). The optimized structures were shown to be local minima as the
The synthesis of DPP-BZ, DPP-ES, and DPP-AM from DPP-Br2 was outlined in Fig. 2. To help promote planarity of the derivatives, the electron-withdrawing moieties18–22 were introduced using Sonogashira-coupling reactions of DPP-Br2 and 4-ethynylbenzaldehyde.23 Further, Knoevenagel condensation of DPP-BZ with 2-ethylhexyl-2-cyanoacetate and N-(2-ethylhexyl)-2-cyanoacetamide afforded DPP-ES and DPP-AM, respectively. CV was used to estimate the HOMO and LUMO energies of the DPP derivatives from the half-wave potentials of their redox waves (Table 1). The CV of DPP-BZ is provided in Fig. 3. The electrochemical data reveal similar oxidation and reduction potentials for all three materials, indicating that the differing groups at the termini of the molecules play a minimal role in modulating the ionization potential and electron affinity of these derivatives.

DFT calculations performed on the DPP derivatives indicated a planar structure for all three derivatives, and the HOMO/LUMO energy levels are shown in Table 1. The HOMO/LUMO distributions are shown in the contour maps provided in Fig. 4. For all derivatives, the HOMO is mainly localized on the DPP core and the directly attached alkyne residue. The LUMO maps are also similar for each derivative, and partially extend onto the electron-withdrawing aldehyde moieties of DPP-BZ and the cyano residues of DPP-ES and DPP-AM. The calculated LUMO/HOMO energy values are in good agreement with the CV-estimated values, further indicating that the differing electron-withdrawing units had surprisingly little effect in perturbing the electronic properties of these systems (Table 1). The optical band gaps (E_{opt}) obtained from solution UV-Vis spectra of the DPP derivatives are close to the HOMO-LUMO gaps provided by DFT and electrochemistry (∼1.8 eV).

The absorption spectra of the materials in thin films are shown in Fig. 5. They show an onset around 750 nm and peaks in the range of 600 to 700 nm. The absorption peaks of the films are
Figure 2 Synthesis of the compounds used in this study.

Table 1 Estimated HOMO and LUMO energies derived from half-wave potentials from cyclic voltammetry measurements. The concentration of the diketopyrrolopyrrole (DPP) derivative was $5 \times 10^{-4}$ M in DCM. HOMO and LUMO values in brackets are derived from DFT calculations.

| Compound | $E_{1/2, \text{ox}}$ (V) | $E_{1/2, \text{red}}$ (V) | HOMO (eV) | LUMO (eV) |
|----------|-----------------|-----------------|----------|----------|
| DPP-BZ   | 0.54            | −1.42           | −5.3 (−5.2) | −3.4 (−3.2) |
| DPP-ES   | 0.53            | −1.46           | −5.3 (−5.2) | −3.3 (−3.3) |
| DPP-AM   | 0.53            | −1.42           | −5.3 (−5.1) | −3.4 (−3.2) |
redshifted by $\sim 40$ nm compared to that in solution (data not shown). This is consistent with a more rigid and ordered structure in the solid state.\textsuperscript{24,25} In addition to this, significant broadening of the absorption in the region of 300 to 450 nm was also observed. The absorption of DPP-ES and DPP-AM is slightly redshifted compared to DPP-BZ largely due to the presence of the CN group in DPP-ES and DPP-AM.

Solar cells containing each of the DPP materials as the electron donor blended with PC\textsubscript{71}BM as the electron acceptor were fabricated to evaluate the photovoltaic properties of the materials. The $J$–$V$ characteristics of the devices using DPP-ES:PC\textsubscript{71}BM and DPP-AM:PC\textsubscript{71}BM blends as the photoactive layer [1:0.7 donor:acceptor (D:A) ratio and 83 nm thick] are shown in Fig. 6. Both the devices show poor performance with low short circuit current density ($J_{sc}$) and, hence, very low overall PCE of 0.08 to 0.10%.

The poor device performance was investigated by recording AFM images. Figure 7 shows that both active layers have similar morphologies with domains around 150 to 250 nm in diameter. Smaller aggregates are desirable allowing increased interfacial area and better charge separation.\textsuperscript{26} This, in general, results in an increase in $J_{sc}$ and hence an enhanced overall device performance.\textsuperscript{27} The domain sizes in the present study are much larger than the optimal required for efficient exciton dissociation. This limits the overall performance of these devices. In addition, there was substantial surface roughness of the blend films: the RMS roughness values were
18.6 nm for DPP-ES:PC$_{71}$BM and 19.6 nm for DPP-AM:PC$_{71}$BM in as coated films. Upon annealing, the roughness does not significantly change. This roughness is undesirably high as it is known that smooth active layers are essential for optimum device performance. A possible reason for the larger than desirable phase separation could be the intramolecular dipoles due to the cyano units. Dipole–dipole interactions could facilitate aggregation and discourage mixing with the acceptor.

Figure 8 shows the $J$–$V$ characteristics of the devices using a DPP-BZ:PC$_{71}$BM blend as the photoactive layer under 1 sun illumination. The effect of D:A blend ratios on device performance was investigated for films of thickness 83 nm and the results are shown in Table 2. As the acceptor proportion decreases, the highest PCE was obtained for a 1:0.7 D:A ratio annealed at 110°C for 10 min. With a 1:2 D:A ratio, an overall PCE of 0.73% was obtained with a short-circuit current density of 2.45 mA/cm$^2$, an open circuit voltage ($V_{oc}$) of 0.79 V, and a fill factor (FF) of 37.4%. Further reductions in PC$_{71}$BM content reduces the performance. With a 1:0.6 blend, a PCE of 1.44% was obtained.

AFM measurements were performed to investigate the effect of the D:A ratio on the blend film morphology (Fig. 9). Blend films with a 1:2 D:A ratio show aggregates of size 150 to 250 nm in diameter. Upon reducing the content of PC$_{71}$BM in the blend films, the domain size decreases considerably. It is, therefore, clear that with reduced PC$_{71}$BM content, the donor and acceptor are more homogeneously mixed. This is crucial for efficient charge separation in BHJ devices. Upon thermal annealing, the pore size and pore density at the film surface decreased. Thermal annealing also helps in the removal of air voids formed due to inherent instability involved in the spin-coating process. For a 1:0.7 D:A ratio, the homogeneity of
Fig. 7 Atomic force microscopy (AFM) images. The z-scale is 95 nm for (a) as coated and (b) annealed DPP-ES:PC$_{71}$BM blends. The z-scale is 110 nm for (c) as coated and (d) annealed DPP-AM:PC$_{71}$BM blends. The scale bar shows a length of 0.5 μm.

Fig. 8 $J$–$V$ characteristics of the devices using DPP-BZ:PC$_{71}$BM blend as the photoactive layer. Inset shows the external quantum efficiency of best performing device having PCE = 1.76%.

Table 2 Performance parameters of the devices, using DPP-BZ:PC$_{71}$BM blends as the photoactive layer of different donor:acceptor (D:A) ratios.

| D:A ratio | PCE (%) | $J_{sc}$ (mA/cm$^2$) | $V_{oc}$ (V) | FF (%) |
|-----------|---------|----------------------|-------------|--------|
| 1:2       | 0.73    | 2.45                 | 0.79        | 37.4   |
| 1:1.5     | 0.83    | 2.79                 | 0.79        | 37.7   |
| 1:1       | 1.05    | 3.23                 | 0.77        | 41.9   |
| 1:0.7     | 1.76    | 4.42                 | 0.85        | 47.2   |
| 1:0.6     | 1.44    | 3.90                 | 0.81        | 45.5   |
the film is considerably enhanced and the RMS roughness reduces considerably upon thermal annealing. For the as coated film, the RMS roughness is 7.4 nm compared to 4.9 nm after thermal annealing. A significant change in the height profile upon thermal annealing [Fig. 9(g)] shows enhanced homogeneity and improved surface smoothness of the blend films due to thermal annealing. The reverse saturation current is a measure of the number of carriers that can overcome the energetic barrier created by the p-n junction in the reverse bias condition. In the device with a $1:0.7$ D:A, the rectification ratio improved by a factor of 29 compared to that of a $1:2$ D:A ratio device at $\pm 1$ V. This is an indication of the large reduction in reverse saturation current in the devices with lower PC$_{71}$BM content. For further reduced ratios of PC$_{71}$BM, the films were smoother, but the solar cell performance decreased. The effect of annealing conditions was also explored. Devices annealed for 5 and 15 min at 110°C (1:0.7

![AFM topography images of DPP-BZ:PC$_{71}$BM blends: (a) and (b) with donor:acceptor (D:A) ratio of 1:2, z-scale of 95 nm, (c) and (d) with D:A ratio of 1:1.5, z-scale of 90 nm, (e) and (f) with D:A ratio of 1:0.7, z-scale of 40 nm. (a), (c), and (e) are as coated, and (b), (d), and (f) are annealed films. Scale bar = 0.5 μm. The height profile along the grey line of (e) and (f) is shown in (g).](image)

**Fig. 9** AFM topography images of DPP-BZ:PC$_{71}$BM blends: (a) and (b) with donor:acceptor (D:A) ratio of 1:2, z-scale of 95 nm, (c) and (d) with D:A ratio of 1:1.5, z-scale of 90 nm, (e) and (f) with D:A ratio of 1:0.7, z-scale of 40 nm. (a), (c), and (e) are as coated, and (b), (d), and (f) are annealed films. Scale bar = 0.5 μm. The height profile along the grey line of (e) and (f) is shown in (g).
D:A ratio) showed reduced performance mainly due to a decrease in $J_{sc}$. Upon annealing for 5 and 15 min, the $J_{sc}$ was 4.0 mA/cm$^2$ and 3.9 mA/cm$^2$, respectively, which is $\sim$10% lower than when annealed for 10 min. The PCEs for devices where the active layer was annealed for 5 and 10 min were 1.55 and 1.44%, respectively. Thermal annealing mainly affects the donor and acceptor crystallinity and their phase separation. Annealing at a particular temperature and for a specific time gives optimum phase separation and crystallinity and, hence, improved device performance. Devices annealed at higher temperatures also showed reduced $J_{sc}$ and, hence, lower PCE. Figure 10 shows the absorption spectra of the blend films of various D:A ratios before and after thermal annealing at 110°C for 10 min. For the 1:0.7 ratio of DPP-BZ and PC$_{71}$BM, the $J_{sc}$ and PCE obtained was 0.99 mA/cm$^2$ and 0.28% for the as coated photoactive layers. Upon thermal annealing at 110°C for 10 min, these improved to 4.42 mA/cm$^2$ and 1.76%, respectively. The shoulders/peaks corresponding to DPP-BZ absorption become prominent/sharp upon thermal annealing, which indicates improvement in the crystalline order in DPP. This, in turn, improves the charge carrier mobility in the donor phase. The absorption of the films in the visible region also increases. The AFM topography images indicate that the blend films become more compact and homogeneous upon thermal annealing. The above changes in the film properties upon thermal annealing result in large improvements in $J_{sc}$ and FF and, hence, also in the PCE.32,33 The inset in Fig. 8 shows the EQE of the best performing device. The quantum efficiency reaches a maximum of 28.2% at 615 nm. The DPP absorption in blend films is slightly blueshifted compared to that of pristine DPP films. The blueshift is more pronounced in films with a 1:2 D:A ratio compared to 1:0.7 D:A ratio blend films. It has been suggested that fullerene acceptors in the blend films distort the molecular packing of the donor polymer/small molecule and, thereby, decrease the effective conjugation length.24,34 This effect would be larger for higher fullerene concentrations.

Another important parameter is the device thickness because it affects light absorption and charge extraction. The device performance parameters with different thicknesses of active layer for 1:0.7 D:A ratio are given in Table 3. Thermal annealing was performed at 110°C for 10 min. The best device efficiency was obtained for a 83-nm-thick active layer. For higher thicknesses, the $J_{sc}$ and overall PCE decrease. The series resistance of the solar cell is contributed by three factors viz. the contact resistances between the different layers in the device, resistance of the top and rear metal contacts, and the resistance of the layers in the device including the BHJ active layer.35 The series resistance increases with increasing active layer thickness. For an active layer thickness of 83 nm, the series resistance was 8 $\Omega$cm$^2$, which increases to 18 $\Omega$cm$^2$ for a 101 nm thickness and 40 $\Omega$cm$^2$ for a 118-nm-thick active layer device. High series resistance reduces the current flow and also decreases the FF. Once the charges are separated at the D–A interface, the electric field created between the electrodes of different work functions sweeps these charges to the respective electrodes.36 For larger thicknesses of the active layer, this field decreases, which, in turn, limits the charge transport toward the respective electrodes.

**Fig. 10** Absorption spectra of DPP-BZ:PC$_{71}$BM blends with and without thermal annealing.
4 Conclusions

In conclusion, three DPP derivatives featuring electron-withdrawing units have been synthesized in respectable yields. Solution electrochemistry and DFT calculations have shown that these materials possess similar HOMO/LUMO energies and molecular orbital distributions. Solar cells have been fabricated with PC$_{71}$BM, which revealed that DPP-BZ gave the highest power conversion efficiency, while DPP-ES and DPP-AM devices had poor active layer morphology and hence low efficiency. The differences in device performance of the different materials can be explained by differences in the morphology seen in AFM measurements.

Acknowledgments

We are grateful to the EPSRC for funding (Grants EP/E036244 and EP/I00343X) and for use of the National Mass Spectrometry Facility, Swansea. I. D. W. S. is a Royal Society Wolfson Research Merit Award holder. S.S.G. thanks the North Maharashtra University, India, for providing study leave to do post-doctoral research at the University of St Andrews.

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Table 3: Device performance parameters of solar cells using DPP-BZ:PC$_{71}$BM blends (1:0.7 D:A ratio) of different thicknesses.

| Thickness (nm) | PCE (%) | $J_{sc}$ (mA/cm$^2$) | $V_{oc}$ (V) | FF (%) | $R_s$ (Ωcm$^2$) |
|---------------|---------|----------------------|-------------|--------|----------------|
| 77            | 1.42    | 3.97                 | 0.78        | 46.0   | 10             |
| 83            | 1.76    | 4.42                 | 0.84        | 47.2   | 8              |
| 101           | 1.21    | 3.38                 | 0.80        | 44.7   | 18             |
| 118           | 0.96    | 2.68                 | 0.82        | 43.7   | 40             |
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