Assessment of Dentists’ Referral Patterns to Endodontist in Turkey

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Abstract

Objective: To determine the factors of endodontic referral from general dental practitioners in Turkey.

Methods: A questionnaire containing 39 questions was designed to determine the need for referral to endodontists and the factors that influenced the decision to refer a patient to the endodontist. The survey was sent by e-mail to be registered dentists in a database of the Turkish Dental Association, and only unequivocal responses were included in calculating the percentage data. The categorical data were analyzed with a chi-square test, and the results were presented as frequency and percentage. The significance threshold for all tests was set at p<0.05.

Results: The response rate was 5.5% (655). The majority (96.8%) of the respondents performed root canal treatment by themselves, and they refer the patient to an endodontist (92.3%) when they encounter a challenging case. Statistical analyses revealed that female general dentists referred more patients to endodontists than males (p<0.05). “Limitations in mouth opening” was the most common referral reason in the patient related factors. “Difficult diagnosis” was the most common referral reason in the teeth and diagnosis-related factors. A statistically negative correlation was found between the professional experience and patient referral (p<0.05).

Conclusion: We concluded that many patient- and tooth-related factors influence the endodontic referral of patients. For a successful treatment, a general dentist should make a proper diagnosis and refer to a specialist if necessary.

Keywords: Case management, Endodontics, Referral, Questionnaires

Introduction

There is a significant need for root canal treatment in the population. General dental practitioners (GDPs) have performed the vast majority of root canal treatments worldwide (deMoor et al, 2000; Kirkevang et al, 2000). Studies have shown that the success rate of root canal treatment in general dental practice is 60-85%, and the success rate of root canal treatment by endodontist is 98% (Friedman et al., 2003; Alley et al., 2004). Studies have shown that teeth with inadequate root canal filling and coronal restoration were significantly more likely to have apical periodontitis (deMoor et al, 2000; Kirkevang et al., 2001). Previous studies reported that high
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retreatment requirements due to the recurrent apical periodontitis among Turkish population (Gencoglu et al., 2010; Ozbas et al., 2011; Kalender et al., 2013). To avoid this complication, the GDP should become more proficient at root canal treatment or refer the patient to an endodontist.

Referral of difficult cases to the endodontist who has advanced knowledge and skill is increases the success of root canal treatment (de Moor et al, 2000; de Cleen et al., 1993). The decision to refer to an endodontist is influenced by many patient- and dentist-related factors (Maupome and Sheiham, 2000; Elderton and Nuttal, 1983; Bader and Shugars, 1993) including clinical experience, confidence, training, working environment, etc (Bader and Shugars, 1993). However, there is little information about when and why dental practitioners refer to an endodontist. There are some published guidelines in endodontic practice which were prepared by specialists to help the practitioners determine the difficulty of a root canal treatment (Rosenberg and Goodis, 1992; Falcon et al., 2001; Ree et al., 2003). The first questionnaire was prepared at the University of California for selection undergraduate student patients in the endodontic department (Rosenberg and Goodis, 1992). Later, Falcon et al. submitted a different form to determine the difficulty of endodontic cases (Falcon et al., 2001). The latest form was published by the American Association of Endodontics (AAE) “endodontic case difficulty assessment form” and was created in 1999 with updates in 2006 (available at https://www.aae.org/uploadedfiles/dental_professionals/endodontic_case_assessment/2006casedifficultyassessmenformbEdited2010.pdf). The aim of these guidelines is to make a standardized protocol that provides a systematic approach for patient evaluation and provide more objective decisions. The main advantage of this guides is to help the dental practitioners decide whether to treat or refer the patient (Messer, 1999).

To improve the quality of endodontic therapy performed by dentists, it is important to determine the need and reasons for referral to endodontists. There have been no previous studies on the factors that may influence the decision to refer a patient to endodontists in a Turkish population. The purpose of this study was to analyze the need for referral to an endodontist and to determine the factors influencing referral among Turkish dental practitioners.

A questionnaire containing 39 questions was given to GDPs to identify the factors that influence the referral decision. A short explanation containing the purpose of the questionnaire was added. The name and other information concerning the identity of the participants were not requested.

The questionnaire was modified from the “endodontic case difficulty assessment form” created by AAE. A pilot study including 50 dentists was performed to understand whether the questions were easy to understand. Based on these responses, necessary revisions were performed in the questionnaire.

In the first part of the questionnaire, the demographic information of the participants including clinical experience and gender of GDP were evaluated. According to the clinical experience, the dentists were divided into four groups: group A (one year in practice), group B (2–10 years), group C (11–19 years), and group D (more than 20 years). In the second part of questionnaire, the “endodontic case difficulty assessment form” was applied and the endodontic referral needs were analyzed. Here, the question about patient-related factors, diagnostic and treatment considerations and additional considerations were asked, and the results were evaluated for each participant. The survey was sent by e-mail to each dentist registered in the database of Turkish Dental Association. Blank or twice answered surveys were excluded from the study. Only unequivocal responses were included in calculating the percentages.

Data analysis
95% confidence to participate in the survey, 655 people were selected ± 3.7% with acceptable error. The data were analyzed with the statistical software IBM SPSS V21 (Chicago, USA). Categorical data were analyzed with chi-square test, and the results were presented as a frequency and a percentage. The significance threshold for all tests was set at p<0.05. We used a binary comparison of categorical data held in Minitab Statistical Software 15 software package to compare to Fisher’s Exact (Two Tailed) test.

Results
The response rate was 5.5% (655). The ratio of female-to-male respondents was 298(45.5%):356(54.4%). Statistical studies showed that female GDPs referred (97.3%) more patients to endodontist than males (89.9%) (p<0.05) (Table 1).

Methods
The local university clinical research ethics committee approved the protocol of this study (Decision date: 15.04.2015, Decision no: 2015/125).
Table 1. The effect of gender to patient referral

| Referral Rate | % of total | p value |
|---------------|------------|---------|
| Female        | 97.3%      |         |
| Male          | 89.9%      | 0.0001  |

The majority of the respondents (37.7%) were group B dentists; 27.5% were group C, 26.1% were group D, and the minority were group A (8.5%). A statistically negative correlation was found between the professional experience and patient referral (p<0.05). Responses from group A dentists were more likely than group D. Group B dentists more often referred patients to endodontist than Group D dentists (Table 2).

Table 2. Experience in the profession and patient referral

| Year of graduation | Referral rate | p value |
|--------------------|---------------|---------|
| Group A            | 100% (56)     |         |
| Group B            | 94.7% (234)   | 0.008   |
| Group C            | 93.9% (169)   |         |
| Group D            | 88.3% (151)   |         |

In the column: a: Comparison between group A and Group B, b: Comparison between group A and Group C, c: Comparison between group B and Group D, d: Comparison between group B and Group C, e: Comparison between group B and Group D, f: Comparison between group C and Group D

3.2% of dentists had never performed a root canal treatment. In any given week 57.4% of dentists treated between 1-10 root canals, and 32.5% of dentists treated between 10-25; 6.4% treated more than 25. In terms of referral, 6.7% did this never, 69.5% rarely, 17.4% occasionally, 4.9% often, and 0.6% usually (Table 3).

Table 3. Frequency of referral

| Frequency     | % of total |
|---------------|------------|
| Never         | 6.7        |
| Rarely        | 69.5       |
| Occasionally  | 17.4       |
| Often         | 4.9        |
| Usually       | 0.6        |

Table 4. The most common patient-based reasons were significant limitations in mouth opening (73.3%) and extreme gag reflex (66.4%). The most common diagnostic and treatment considerations were difficult diagnosis (60.6%) and non-visible canals on the radiograph (55.4%). When additional considerations were evaluated, the most common referral reasons were alveolar fracture (56.7%) and external resorption (54.8%).

Discussion

The dentist’s undergraduate and post-graduate education is one of the most important factors that affect the success of root canal treatment (deMoor et al, 2000). The decision to perform an endodontic treatment or refer to an endodontist depends on the GDP’s evaluation of their knowledge and skills (Peciuliene et al., 2010). Previous studies have suggested various assessment forms to determine the difficulty of root canal treatment (Rosenberg and Goodis, 1992; Falcon et al., 2001; Ree et al., 2003). In this study an “endodontic case difficulty assessment form” was utilized because it is the most recent and comprehensive assessment form.

Questionnaire surveys can be applied by face-to-face, telephone, mail and via the Internet. Questionnaires performed via the Internet are preferable because of ease, speed, and access (Schonlau et al., 2002). Thus, this survey was distributed in Turkey via the Internet. However, internet-based surveys have a low response rate. Information about the topic was added to the survey, and it was also sent to GDPs with a corporate extension to improve reliability as recommended by other studies (Avcioglu, 2014).

There are currently 11,749 dentists registered with the Turkish Dental Association. 655 questionnaires were received for a response rate of 5.5%. According to Ree et al. (20) 5858 GDPs maintain their own practice in the Netherlands, and 283 GDP (5%) participated in their survey. Our response rate was similar to Ree at al (2003).

Barnes et al. (2011) reported that 94% of GDPs referred patients to endodontists for challenging endodontic cases whereas Abbott et al. (2011) and Wolcott and Trelap (2014) reported 46% and 43% referral rates, respectively, in serial endodontic referral rate studies. The referral rates of this study (92.3%) were similar to the referral rates of Barnes et al. (21). Otherwise Abbott et al. (2011) and Wolcott and Trelap (2014) reported lower referral rates than this study. In these studies, the authors showed that one-third of the GDPs performed root canal treatment even though they think that they should have referred to an endodontist.

The results of this study showed that female GDPs were likely to refer than males. This concurs with previous surveys (Zemanovich et al., 2006; Cottrell et al., 2007). According to Abbott et al. (2011) the reason for the high referral rate of female GDPs is their preference for less risky treatment choices and more positive perception of the endodontist and their work versus male GDPs. Peciuliene et al. (2010) investigated the reasons for referral to a specialist for
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### Table 4. Factors effect on decision to refer

| Factors influence referral behavior | Referral rate (%) |
|------------------------------------|-------------------|
| **Patient Considerations**         |                   |
| Medical history                    | Complex medical history 63.7 |
| Anesthesia                         | Difficulty achieving anesthesia 28.5 |
| Patient disposition                | Uncooperative patient 49.3 |
| Ability to open mouth              | Significant limitation mouth opening 73.2 |
| Gag reflex                         | Extreme gag reflex 66.4 |
| Emergency condition                | Severe pain or swelling 38.7 |
| **Diagnostic and treatment considerations** |                   |
| Diagnosis                          | Difficult diagnosis 60.6 |
| Radiographic difficulties          | Extreme difficulty obtaining/interpreting radiographs 54.3 |
| Position in the arch               | Extreme inclination and extreme rotation (>30) 43.9 |
| Morphologic aberrations of crown   | Fusion 43.6 |
| Canal and root morphology          | Dens in dente 38.7 |
| Mandibular premolar or anterior with 2 roots | 6.4 |
| Maxillary premolar with 3 roots    | 14.5 |
| Canal divides in the middle or apical third | 32.3 |
| Very long tooth (>25mm)            | 14.6 |
| Open apex                          | 40.0 |
| Radiographic appearance of root canals | Canal(s) not visible 55.4 |
| **Additional considerations**      |                   |
| Resorption                         | Extensive apical resorption 30.0 |
| Trauma history                     | Complicated crown fracture of immature teeth 34.8 |
| Endodontic treatment history        | Horizontal root fracture 55.2 |
| Periodontal endodontic condition   | Alveolar fracture 56.7 |
|                                    | Intrusive, extrusive, lateral luxation 38.3 |
|                                    | Avulsion 38.9 |
|                                    | Perforation 26.4 |
|                                    | Ledge 23.0 |
|                                    | Separated instrument 38.7 |
|                                    | Concurrent severe periodontal disease 28.3 |
|                                    | Cracked teeth with periodontal complications 34.1 |
|                                    | Combined endodontic/periodontic lesion 45.8 |
|                                    | Root amputation prior to endodontic treatment 44.2 |

endodontic therapy among GDPs. The results revealed that the referral rates of less experienced GDPs were higher than experienced GDPs. In contrast, Caplan et al. (1999) reported that GDPs with 10 years or more experience were more likely to refer. Ree et al. (2003) found no significant relationship between years of experience and referral rate. Here, a statically negative correlation was found between the professional experience and patient referral. This agrees with Peciuliene et al. (2010) and disagrees with Caplan et al. (1999) and Ree et al. (2003). The difference between these studies might be the
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educational differences and/or the number of endodontics specialists in these countries. The cost and insurance coverage of endodontic treatment in these countries might be also having effect on the difference between the studies.

Here, the factors that influenced referral were examined with three main categories: “patient considerations”, “diagnostic and treatment consideration” and “additional considerations”. Patient consideration consisted of medical history, anesthesia, patients’ disposition, ability to open the mouth, gag reflex, and emergency conditions. The diagnosis, radiographic difficulties, position in the arc, morphologic aberrations of crown, canal and root morphology, and radiographic appearance of root canals were investigated under diagnostic and treatment considerations. Finally, resorption, trauma history, endodontic treatment history and periodontal/endodontic condition were investigated under additional considerations. A significant limitation in mouth opening (73.2%) and extreme gag reflex (66.4%) were the most effective factors influencing endodontic referral related to patient considerations. Difficult diagnosis (60.6%) and non-visible canals on the radiograph (55.4%) had highest referral rates in terms of diagnostic and treatment consideration. Alveolar fracture (56.7%) was most common reason for endodontic referral related to additional considerations. The “patient-related factors” were the most effective category of endodontic referral.

Several studies on endodontic referral have been published. Harty reported that most common reasons for endodontic referral were previous root fillings (19.8%), inability to control pain or swelling (13.7%) and diagnostic problems (12.8%) (Harty, 1992). Management of pain (%24), blocked canals (17%) and endodontic retreatment (%15) were the most common reasons of endodontic referral reported by Abbott in Australia (Abbott, 1994). Hommez et al. (2003) found that the most common reasons for endodontic referral were silver point retreatment (56.7%) and perforation (47.6%) in Belgium. Apicoectomy/retrofill (84.95%) and external root resorption were the top reasons for endodontic referral in the Pacific Northwest (Caplan et al., 1999). Obstruction in canals (54%) and the presence of post, crown, and bridge restoration of teeth (37%) were common reasons for endodontic referral in Holland (Ree et al., 2003). Peciuliene et al. (2010) found that the persistent symptoms (82.4%) and difficulty in diagnosis (74.2%) were common reasons for endodontic referral in Lithuania. Persistent pain (29.5%), gingival swelling and sinus tract (24.1%) were the most common reasons in Korea (Kim, 2014). In this study the most referral reasons were significant limitations in mouth opening (73.3%) and extreme gag reflex (66.4%). Different reasons and proportions of endodontic referral are due to educational differences of dental faculties between different countries. The endodontist availability could also affect the results. One limitationof this study is the low response rate (5.5%). However, low response rates are a common issue for Internet-based questionnaires (Avcioglu, 2014). Face-to-face surveys could solve this problem in further studies.

Conclusion

The major factors underlying endodontic referral were the complex medical history and significant limitations in mouth opening. Referral to an endodontist depends on many reasons like patients and tooth-related factors that were investigated here. The resources of the dental establishment for patients and financial issues including insurance coverage might also influence referral. Further studies regarding the relationship between referral behavior and dental establishments’ opportunities as well as financial issues like insurance coverage should be performed. The GDP should confirm endodontic referral for challenging cases, and dental schools should give training about endodontic referral.

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