Weak transition form factors of heavy-light pseudoscalar mesons for space- and timelike momentum transfers

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This paper deals with the description of weak $B^+ \to D_0^\pi$ and $D^- \to K^\pi$ transition form factors in both the space- and timelike momentum transfer regions, within a constituent-quark model. To this aim, neutrino-meson scattering and semileptonic weak decays are formulated within the framework of point-form relativistic quantum mechanics to end up with relativistic invariant process amplitudes from which meson transition currents and form factors are extracted in an unambiguous way. For spacelike momentum transfers, these form factors depend on the frame in which the WMM vertex is considered. On physical grounds, such a frame dependence is expected from a pure valence-quark picture, since a complete, frame independent description of form factors is supposed to require valence as well as nonvalence contributions. Nonvalence contributions, the most important being the $Z$ graphs, are, however, suppressed in the infinite-momentum frame ($q^2 < 0$). On the other hand, they can play a significant role in the Breit frame ($q^2 < 0$) and in the direct decay calculation ($q^2 > 0$), as a comparison with the infinite-momentum-frame form factors (analytically continued to $q^2 > 0$) reveals. Numerical results for the analytically continued infinite-momentum-frame form factors are found to agree very well with lattice data in the timelike momentum transfer region, and also, the experimental value for the slope of the $F_{B^+\to D}$ transition form factor at zero recoil is reproduced satisfactorily. Furthermore, these predictions satisfy heavy-quark-symmetry constraints, and their $q^2$ dependence is well approximated by a pole fit, reminiscent of a vector-meson-dominance-like decay mechanism. We discuss how such a decay mechanism can be accommodated within an extension of our constituent-quark model, by allowing for a nonvalence component in the meson wave functions, and we also address the question of wrong cluster properties inherent in the formulation of relativistic quantum mechanics employed in this article.

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I. INTRODUCTION

This paper continues previous work in which semileptonic weak $B \to D, \pi$ and $D \to K, \pi$ decays [1–4] have been investigated within a constituent-quark model making use of the point form of relativistic quantum mechanics [5–8]. In this paper, we study $B \to D, \pi$ and $D \to K, \pi$ transition form factors for space- and timelike momentum transfers, as can be measured in neutrino scattering and semileptonic weak decays. Relativistic invariance of our approach is guaranteed by means of the, so-called, “Bakamjian-Thomas construction” [9]. Starting from a multichannel mass operator with an instantaneous confining interaction between the quarks and appropriate vertex interactions for the coupling of the different channels, one ends up with a relativistic invariant amplitude. The weak four-vector hadron current can then be extracted from this amplitude in a unique way, and the hadron transition form factors are obtained by the covariant decomposition of this current.
The same kind of approach has also been applied to calculate electromagnetic form factors of $\pi$ [10], $\rho$ [11], $B$, and $D$ mesons [1] for spacelike momentum transfers. There it turned out that, although the amplitude for electron meson scattering was relativistic invariant, the extracted electromagnetic hadron current did not have all the properties one would expect. It is a four-vector current, but supposedly due to wrong cluster properties, inherent in the Bakamjian-Thomas construction, the hadron current is not just a function of in- and outgoing hadron momenta, but exhibits also a slight dependence on the momenta of the in- and outgoing electron. This spurious dependence on the electron momenta shows up in the covariant decomposition of the current, which requires also covariants that involve the electron four momenta. Furthermore, a dependence of the form factors on Mandelstam $s$, the invariant mass squared of the whole electron-meson system, is observed. This $s$ dependence can be reinterpreted as a dependence on the frame in which the $\gamma M \to M$ subprocess is considered. Such a frame dependence of the form factors is not just a speciality of our approach but is rather a general property of any attempt to calculate hadron form factors within constituent-quark models using just a one-body current. As we will show in the following discussion, one would even expect it on physical grounds if one tries to extract the form factors from a pure one-body current involving only valence degrees-of-freedom.

Several papers address the dependence of elastic and transition form factors of hadrons on the used frame and on the current components from which they are extracted (see, e.g., Refs. [12–16]). These analyses make use of front-form dynamics, and the main conclusion is that the construction of a frame independent covariant meson (transition) current requires the inclusion of the, so-called, “Z-graph” contribution. This can already be seen in a simple $\phi^3$ field-theoretical model by calculating the electromagnetic current and form factor from the Feynman triangle diagram and decomposing this (covariant) diagram into time ordered contributions, as is graphically done in Fig. 1. The relative importance of the different time-ordered contributions will then depend on the reference frame in which they are calculated and also on the form of relativistic dynamics which is employed. In front form, e.g., only the contributions (a) and (b) survive, since a massive particle-antiparticle pair cannot be created out of the vacuum due to three-momentum conservation at the vertex. It is, in particular, conservation of the $P^+$ component, that is always positive for massive particles, which forbids the creation of massive particles out of the vacuum. In the $q^+ = 0$ Drell-Yan-West frame, in which no momentum is transferred in longitudinal direction, even graph (b)—this is the one usually termed “Z graph”—becomes negligible in the $J^+$ current component, which is usually used for the extraction of the form factors [18]. The role of $Z$-graph contributions, however, increases the more momentum is transferred in longitudinal direction (see, e.g., [14–16]). A special $q^+ = 0$ frame is the infinite-momentum frame (IMF), in which the incoming and outgoing hadron moves with large momentum into a fixed direction and momentum is transferred transverse to this direction. It is this particular frame which also allows us to connect front-form with instant-form or point-form calculations. In Ref. [7], e.g., it has been shown that the analytical expression for the electromagnetic pion form factor, derived within the point form in the IMF, is connected with the corresponding front-form expression by a simple change of momentum variables. Like in front form, only graph (a) survives, if IMF kinematics is used to calculate the electromagnetic current in instant or point form [19]. The reason is simply that in all other graphs at least one

\[ \text{FIG. 1. Decomposition of the covariant triangle diagram into time-ordered contributions. Time is running from left to right.} \]

An instructive example for the interplay of the two time ordered contributions occurring in leading-order two-particle scattering within a simple scalar model is given in Ref. [17]. There, variations of the reference frame and the form of dynamics are considered.
FIG. 2. Possible mechanisms for the semileptonic $B^- \rightarrow D^0 e^- \bar{\nu}_e$ decay within a constituent-quark model, allowing for a nonvalence $\bar{q}Q\bar{Q}'Q'$ Fock component in the initial and final state. Diagrams (a), (b), (c), and (d) are the counterparts to the corresponding time-ordered diagrams in the $\phi^3$ model shown in Fig. 1.

constituent has to move into a direction opposite to the one of the incoming particle. If the momentum of the incoming (or outgoing) particle goes to infinity, the probability for finding a constituent that moves in opposite direction to its parent particle vanishes.

If one, however, is interested in form factors for timelike momentum transfers, as measured in decay processes, the decay kinematics requires $q^+ > 0$. This means that also the $Z$ graph (b) will provide a nonvanishing contribution to $J^+$, and the resulting form factor for timelike momentum transfers, if the calculation is done in front form [12,14–16]. In instant and point form, all possible time orderings are, in principle, necessary for timelike momentum transfers to end up with the complete, covariant triangle diagram. In a constituent-quark model, the direct diagram (a) corresponds to the usual valence-quark contribution, whereas the other diagrams, in particular the $Z$ graph (b), are associated with higher Fock states. This is graphically represented in Fig. 2 for the semileptonic $B^- \rightarrow D^0 e^- \bar{\nu}_e$ decay. The $Z$-graph contribution to this decay connects the $b\bar{u}c\bar{c}$ nonvalence Fock state of the $B^-$ with the $c\bar{u}$ valence Fock state of the $D^0$. Graph (c) connects the $b\bar{u}$ valence Fock state of the $B^-$ with the $c\bar{u}b\bar{b}$ nonvalence Fock state of the $D^0$. All the remaining graphs involve nonvalence Fock states of $B^-$ and of $D^0$. This means that the $Z$ graph (b) provides presumably the most important nonvalence contribution to the current. All other nonvalence contributions are most likely much smaller, since they involve Fock states with an additional $b\bar{b}$ pair which have tiny probability.

But let us now have a closer look at the $Z$ graph (b). The quarks in the intermediate $b\bar{u}c\bar{c}$ state are subject to a confining interaction. In the simplest case the confining interaction acts between $c$ and $\bar{u}$ to give the $D^0$ and between $b$ and $\bar{c}$ to give the series of $B^+_c$ resonances which can fluctuate into $W^–$. This leads to a vector-meson-dominance-like picture for the decay process and associated poles in the form factors which are located at $q^2 = m^2_{B^+_c}$, i.e., at unphysical values of the timelike momentum transfer. The most important pole is the one closest to the zero-recoil point $q^2_{\text{max}} = (m_B - m_{D^0})^2$, where $q^2$ reaches its maximum value. It comes from the $B^+_c$ ground state. This pole is still far away from $q^2_{\text{max}}$, so that one would not expect substantial effects on the form factors. For the $B \rightarrow \pi$ decay, however, the nearest pole comes from $B^0$. It is already much closer to the zero-recoil point, and hence, the $Z$-graph contribution to the form factors gains importance as compared to the valence contribution [20].

In the present paper, we will not follow the strategy to calculate the $Z$-graph contribution explicitly, but we will rather try to estimate it and see whether our estimate exhibits a monopolelike behavior, as one would expect from a vector-meson-dominance-like mechanism. The idea is to derive analytical expressions for the weak transition form factors for spacelike momentum transfers in the IMF, where the $Z$ graph is suppressed, and continue these form factor expressions analytically to timelike momentum transfers. Provided the analytical continuation is done correctly, this should already give the complete transition form factors for the decay. We can then compare the analytic continuation of the IMF result with the outcome of a direct decay calculation in which just the valence contribution is taken into account. Finally, we check, whether the differences between these two ways to compute the decay form factors exhibit approximately a monopolelike $q^2$ dependence, which would be typical for the missing $Z$-graph contribution in the direct decay calculation.

The general relativistic framework, in particular the point-form version of the Bakamjian-Thomas construction and the velocity-state representation, is summarized in Sec. II. Section III is devoted to the multichannel formulation of neutrino-meson scattering, $\nu_e M \rightarrow e^- M'$, within our constituent-quark model, the extraction of the meson transition current from the scattering amplitude and its
covariant decomposition, which leads to weak transition form factors for spacelike momentum transfers. Using the same model, the amplitude for the semileptonic $M \to M' e^- \bar{\nu}_e$ decay is derived in Sec. IV, and the form factors for timelike momentum transfers are extracted from the weak transition current. Numerical studies of weak transition form factors for $B^\to D^0, B^\to \pi^0, D^\to K^0$, and $D^\to \pi^0$ are presented in Sec. V. This starts with the introduction of the kinematics. In the following, the phase dependence of the form factors is studied for spacelike momentum transfers by comparing IMF results with Breit frame (BF) results. The difference gives already a clue of the possible size of the $Z$-graph contribution for spacelike momentum transfers in frames different from the IMF. The spacelike form factors are then analytically continued to timelike momentum transfers and compared with the form factors obtained from the decay amplitude. This is first done for BF kinematics, which is closest to the decay kinematics, to check the analytic continuation procedure. The comparison of the analytically continued IMF form factors with the decay form factors will then give an estimate of the $Z$-graph contribution to the timelike form factors in the rest frame of the decaying particle. In the sequel, we will check whether this difference exhibits a monopolelike behavior so that it can be ascribed to a missing nonvalence $Z$-graph contribution in the decay calculation. In Sec. VI, we consider the heavy-quark limit and convince ourselves that the form factors tend to the Isgur-Wise function, and that the differences between the analytically continued IMF form factors and the decay form factors vanish. This indicates that the $Z$ graph is suppressed in the heavy-quark limit, as one would expect. Section VII contains our conclusions and an outlook.

II. GENERAL FRAMEWORK

For a proper relativistic description of the weak transition processes we are interested in, we make use of the Bakamjian-Thomas construction [9] and choose the point form of relativistic dynamics [5]. The point form has the feature that, from the ten generators of the Poincaré group, those for the entire Lorentz subgroup are kinematic (i.e., these generators do not contain interactions), whereas the four components of the four-momentum operator $\hat{P}^\mu$ are dynamic (i.e., contain interaction terms). One main advantage of using the point form is that boosts of wave functions are simpler than in other forms of dynamics and that the addition of angular momenta is also facilitated.

In the point-form version of the Bakamjian-Thomas construction, the four-momentum operator is factorized into an interaction-dependent mass operator and a free four-velocity operator [6],

$$\hat{P}^\mu = \hat{\mathcal{M}} \hat{V}_\text{free}^\mu = (\hat{\mathcal{M}}_\text{free} + \hat{\mathcal{M}}_\text{int}) \hat{V}_\text{free}^\mu. \quad (1)$$

In this way, the dynamics of the system is completely encoded in the mass operator.

Creation and annihilation of particles is described by means of a coupled-channel framework. This means that the mass operator $\hat{\mathcal{M}}$ acts on a direct sum of multiparticle Hilbert spaces. The diagonal matrix elements of $\hat{\mathcal{M}}$ are the sums of the relativistic kinetic energies of the particles in the corresponding channel plus instantaneous interactions between them (if present), like the quark-antiquark confinement potential. The off diagonal matrix elements of $\hat{\mathcal{M}}$ are vertex operators $\hat{K}, \hat{K}^\dagger$, which describe the absorption or emission of particles, giving rise to the transition from one channel to another. These vertex operators can be constructed starting from common field theoretical interaction-Lagrangian densities [21].

In this framework, the most convenient representation of the Poincaré algebra is accomplished by means of velocity states [22]. These are multiparticle momentum states in the rest frame,

$$|k_i, \mu_i\rangle \equiv |k_1, \mu_1; k_2, \mu_2; \ldots; k_n, \mu_n\rangle, \quad (2)$$

with $\sum_{i=1}^n k_i = 0$ and $\mu_i$ being the $z$ projection of the (canonical) spin, which are boosted to an overall four velocity $V^\mu$ (with $V^\mu V_\mu = 1$) by means of a rotationless (canonical) boost $B_c(V)$,

$$|V; k_1, \mu_1; k_2, \mu_2; \ldots; k_n, \mu_n\rangle := \hat{U}_{B_c(V)} |k_1, \mu_1; k_2, \mu_2; \ldots; k_n, \mu_n\rangle. \quad (3)$$

Our vertex operators are obtained from usual field theoretical Lagrangian densities. This is done by relating the matrix elements of a vertex operator between velocity states to the respective interaction-Lagrangian density in the following way [21]:

$$\langle V'; k_i', \mu_i' | \hat{K} | V; k_i, \mu_i \rangle = N V^0 \delta^3(V - V') \langle k_i', \mu_i' | \hat{L}_\text{int} | k_i, \mu_i \rangle. \quad (4)$$

The normalization factor $N$ is determined by the normalization of the velocity states. The velocity-conserving delta function guarantees overall four-velocity conservation at the interaction vertices and thus, the Bakamjian-Thomas-type structure of the four-momentum operator as given in Eq. (1). Explicit expressions for such vertex matrix elements for the coupling of a photon or $W$ boson can be found in Refs. [10,1], respectively.
III. NEUTRINO-MESON SCATTERING

A. The 1W-exchange amplitude and the weak current

To extract the weak $M \rightarrow M'$ transition current and the corresponding weak transition form factors for spacelike momentum transfers, we first calculate the invariant 1W-exchange amplitude. Without a loss of generality, let us consider $\nu_e B^- \rightarrow e^- D^0$ scattering, where the $B^-$ consists of a heavy $b$ and a light $\bar{u}$ quark, whereas the $D^0$ contains a heavy $c$ and a light $\bar{u}$ quark in our constituent-quark picture. The transition amplitude can be decomposed into the two time-ordered graphs shown in Fig. 3.

The matrix mass operator, which is our starting point for the calculation of the 1-W-exchange contribution to $\nu_e B^- \rightarrow e^- D^0$ scattering, reads

$$\hat{M} = \begin{pmatrix} \hat{M}^{\text{conf}}_{\bar{u}b\nu_e} & 0 & \hat{K}_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} & \hat{K}_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} \\ 0 & \hat{M}^{\text{conf}}_{\bar{u}b\nu_e} & 0 & \hat{K}_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} \\ \hat{K}^\dagger_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} & \hat{K}^\dagger_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} & \hat{M}^{\text{conf}}_{\bar{u}c\nu_e} & \hat{K}_{\bar{u}c\nu_e \rightarrow \bar{u}c\nu_e} \\ \hat{K}^\dagger_{\bar{u}c\nu_e \rightarrow \bar{u}c\nu_e} & \hat{K}^\dagger_{\bar{u}c\nu_e \rightarrow \bar{u}c\nu_e} & \hat{M}^{\text{conf}}_{\bar{u}c\nu_e} & \hat{K}_{\bar{u}c\nu_e \rightarrow \bar{u}c\nu_e} \end{pmatrix} .$$

The diagonal matrix elements contain the kinetic energies of the respective particles and an instantaneous confinement potential between the quark-antiquark pair, which is indicated by the label “conf.” The resulting mass-eigenvalue equation is then

$$\hat{M} \begin{pmatrix} \psi_{\bar{u}b\nu_e} \\ \psi_{\bar{u}b\nu_e} \\ \psi_{\bar{u}c\nu_e} \\ \psi_{\bar{u}c\nu_e} \end{pmatrix} = m \begin{pmatrix} \psi_{\bar{u}b\nu_e} \\ \psi_{\bar{u}b\nu_e} \\ \psi_{\bar{u}c\nu_e} \\ \psi_{\bar{u}c\nu_e} \end{pmatrix} ,$$

where $m$ is the invariant mass of the whole system. By means of a Feshbach reduction, the channels containing the $W$ can be eliminated, and one ends up with an optical potential that describes the transition between the $\bar{u}b\nu_e$ and the $\bar{u}c\nu_e$ channel (for a graphical representation, see Fig. 3),

$$\hat{V}_{\text{opt}}^{\bar{u}b\nu_e \rightarrow \bar{u}c\nu_e} (m) = \hat{K}_{\bar{u}c\nu_e \rightarrow \bar{u}b\nu_e} (m - \hat{M}^{\text{conf}}_{\bar{u}b\nu_e})^{-1} \hat{K}^\dagger_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} \hat{K}_{\bar{u}c\nu_e \rightarrow \bar{u}c\nu_e} (m - \hat{M}^{\text{conf}}_{\bar{u}c\nu_e})^{-1} \hat{K}^\dagger_{\bar{u}b\nu_e \rightarrow \bar{u}b\nu_e} .$$

Since we are only interested in the Born term for $\nu_e B^- \rightarrow e^- D^0$ scattering, we just have to consider on shell matrix elements $(m = k_B^0 + k_{\nu_e}^0 = k_D^0 + k_{\nu_e}^0)$ of $\hat{V}_{\text{opt}}^{\bar{u}b\nu_e \rightarrow \bar{u}c\nu_e}$ between (velocity) eigenstates $\ket{V; k_B; k_{\nu_e}, \mu_{\nu_e}}$ and $\ket{V'; k_D; k_{\nu_e}, \mu_{\nu_e}}$ of $\hat{M}^{\text{conf}}_{\bar{u}b\nu_e}$ and $\hat{M}^{\text{conf}}_{\bar{u}c\nu_e}$, respectively,

$$\langle V'; k_D; k_{\nu_e}, \mu_{\nu_e} | \hat{V}_{\text{opt}}^{\bar{u}b\nu_e \rightarrow \bar{u}c\nu_e} (m) | V; k_B; k_{\nu_e}, \mu_{\nu_e} \rangle .$$

For better readability, we have suppressed all discrete quantum numbers, which are necessary to specify the meson states uniquely. Note that incoming and outgoing states contain $B$ and $D$ mesons, rather than quarks, since they are eigenstates of mass operators containing a confining interaction between quark and antiquark. Inserting pertinent completeness relations into Eqs. (7) and (8) gives us an expression for the leading-order $\nu_e B^- \rightarrow e^- D^0$ amplitude in terms of vertex matrix elements and the $\bar{q}Q$ wave functions (for a detailed derivation, see Ref. [1]). The resulting transition amplitude looks like the leading order perturbative result in quantum field theory. It is essentially the contraction of the leptonic current with a hadronic current, multiplied with the $W$ propagator.

\[ \]
\begin{equation}
\langle V'; k_D; k_e, \mu_e | \tilde{\tilde{V}}_{\text{opt}}^{\bar{a}b v_\nu \rightarrow \bar{u}c} (m) | V; k_B; k_{\nu}, \mu_{\nu}\rangle = V^0 \delta^3 (V - V') \frac{(2\pi)^3}{\sqrt{(k_D^0 + k_B^0)^3 (k_{\nu}^0 + k_{\nu}^0)^3}} \\
\times \frac{e^2 V_{cb}}{2 \sin \theta_W} \sqrt{\frac{2}{\pi}} \bar{u}_{\mu_c} (k_e) \gamma^\mu (1 - \gamma^5) u_{\mu_e} (k_e) \frac{1}{q^2 - m_W^2} \frac{g_{\mu \nu} + \frac{e v_e}{m_W}}{m_W^2} \frac{1}{2} \tilde{J}_{B \rightarrow D} (k_D; k_B).
\end{equation}

Here, $\theta_W$ denotes the electroweak mixing angle, $e$ the elementary electric charge, and $V_{cb}$ the CKM (Cabibbo-Kobayashi-Maskawa) matrix element occurring at the $Wbc$ vertex. The hadronic (transition) current, containing the $Q\bar{q}$ wave functions, results as

\begin{equation}
\tilde{J}_{B \rightarrow D} (k_D; k_B) = 2 \sqrt{\frac{k_D^0 k_B^0}{2k_b^0}} \int d^3 k_d^0 \int d^3 k_b^0 \sqrt{\frac{k_d^0 + k_b^0}{k_d^0 + k_b^0}} \sqrt{\frac{k_d^0 + k_b^0}{k_d^0 + k_b^0}} \sqrt{\frac{k_d^0 k_b^0}{k_d^0 k_b^0}} \sqrt{\frac{k_d^0 k_b^0}{k_d^0 k_b^0}} \\
\times \sum_{\mu \mu'} \bar{u}_{\mu_c} (k_e') \gamma^\mu (1 - \gamma^5) u_{\mu_b} (k_b) \Psi^*_{\mu \mu'} (\bar{k}_b) | \Psi_{\mu \mu'} (k_B)\rangle \\
\times D_{\mu \mu'}^3 \left[R_W (\bar{v}_b, B_c (v_{\bar{a}b}))) R_W^{-1} (\bar{v}_d, B_c (v_{\bar{a}b}))) R_W (\bar{v}_c', B_c (v_{\bar{a}b}))) R_W^{-1} (\bar{v}_c', B_c (v_{\bar{a}b})))\right].
\end{equation}

The spin rotation matrix $D^{1/2}$ with matrix elements $D_{\mu \mu'}^{3}$ describes how the spin orientation of the constituent quarks is affected by boosting the mesons from rest to their respective momenta $k_B$ and $k_D$. The argument of the spin rotation matrix is a series of Wigner rotations $R_W (v, \Lambda) = B_c^{-1} (\Lambda v) \Lambda B_c (v)$. For the practical calculation of $D^{1/2}$, we refer to Ref. [23]. It should also be noted that $\tilde{J}_{B \rightarrow D} (k_D; k_B)$ does not transform like a four vector under Lorentz transformations, but rather by means of a Wigner rotation [10]. The reason is that we have been using velocity states for the derivation of the current [see Eq. (9)]. A four-vector current is obtained by going back to the physical meson momenta, $p_B = B_c (V) k_B$ and $p_D = B_c (V) k_D$, by means of a canonical boost $B_c (V)$ with the overall velocity $V$ of the electron-meson system. The resulting four-vector transition current then reads

\begin{equation}
\tilde{J}_{B \rightarrow D} (p_D; p_B) = B_c (V) \gamma^{\mu} \tilde{J}_{B \rightarrow D} (k_D; k_B).
\end{equation}

It should be quite obvious, how all these considerations generalize to weak transitions involving mesons different from $B^-$ and $D^0$. 

### B. Weak transition form factors

Having now an expression for the weak transition current between pseudoscalar quark-antiquark bound states in terms of constituent currents and bound-state wave functions, we can calculate the current numerically and extract
weak transition form factors. Such form factors could, in principle, be measured in different neutrino-meson scattering processes like, e.g., $\nu, B^- \rightarrow e^- D^0$, $\nu, B^- \rightarrow e^- \pi^0$, or $\nu, D^- \rightarrow e^- \pi^0$. The general covariant structure of a pseudoscalar weak transition current is determined by two covariants, the sum and the difference of the incoming and outgoing meson momenta, respectively. Each covariant is multiplied with a Lorentz invariant decomposition of the (conserved) current requires one additional spurious covariant, namely the sum of the spectator is present in a Bakamjian-Thomas type mass operator that yields the invariant amplitude $\mathcal{M}$. This delta function is necessary to extract the form factors in the limit $s \rightarrow \infty$. The corresponding kinematics corresponds to the IMF, in which the incoming and outgoing hadron moves with large momentum into a fixed direction and momentum is transferred by the $W$-boson transverse to this direction. As already mentioned in the Introduction, the IMF has also the advantage that the nonvalence $Z$-graph contribution is suppressed. As long as one works within a valence-quark picture, it thus seems to be preferable to work with IMF kinematics when calculating hadron form factors. In reference frames different from the IMF, the $W$-graph is likely to play a non-negligible role and a pure valence-quark description may miss part of the physics.

In addition, the form factors are observed to be not only functions of the four-momentum transfer squared, but they depend also mildly on Mandelstam $s$, the invariant mass of the electron-meson system. For the weak pseudoscalar transition current, there is no current-conservation condition, and thus, its covariant decomposition involves already two covariants, the sum and the difference of incoming and outgoing meson momenta [see Eq. (13)]. These two covariants are also sufficient for a complete covariant decomposition of our model current. The dependence on the lepton momenta, however, still enters the form factors via the Mandelstam $s$ dependence. The general covariant decomposition of our model current, $J^\nu_{M \rightarrow M'}$, as given in Eqs. (11) and (12), is thus

$$J^\nu_{M \rightarrow M'}(p_{M'}; p_M) = \left( (p_M + p_{M'})^\nu F^+(q^2) + (p_M - p_{M'})^\nu F^-(q^2) \right).$$

The $s$ dependence of the form factors may also be interpreted as a dependence on the frame in which the $WM \rightarrow M'$ subprocess is considered. In our case, this frame dependence is well under control, since we observe that for large enough Mandelstam $s$ it becomes negligible. This suggests to extract the form factors in the limit $s \rightarrow \infty$. The corresponding kinematics corresponds to the IMF, in which the incoming and outgoing hadron moves with large momentum into a fixed direction and momentum is transferred by the $W$-boson transverse to this direction. As already mentioned in the Introduction, the IMF has also the advantage that the nonvalence $Z$-graph contribution is suppressed. As long as one works within a valence-quark picture, it thus seems to be preferable to work with IMF kinematics when calculating hadron form factors. In reference frames different from the IMF, the $Z$-graph is likely to play a non-negligible role and a pure valence-quark description may miss part of the physics.

### IV. SEMILEPTONIC MESON DECAYS

Next, we want to describe the semileptonic meson decay $M \rightarrow M' e^- \bar{\nu}_e$ within our valence-quark picture, in order to extract the weak $M \rightarrow M'$ transition form factors for timelike momentum transfers. Again, the Bakamjian-Thomas mass operator that yields the invariant amplitude for the semileptonic weak decay of a meson $M$ into another meson $M'$ requires four channels to allow for the two possible time orderings of the $W$-boson exchange. Without loss of generality, we consider the $B^- \rightarrow D^0 e^\nu_e$ transition. The corresponding matrix mass operator has the form,

$$J^\nu_{M \rightarrow M'}(p_{M'}; p_M) = \left( (p_M + p_{M'})^\nu \frac{m^2_M - m^2_{M'}}{q^2} F_1(q^2, s) + \frac{m^2_M - m^2_{M'}}{q^2} q^\nu F_0(q^2, s) \right).$$

The occurrence of an additional covariant parallels the spurious dependence of currents on the orientation of the light front in the covariant light-front approach discussed in Ref. [13].
The label “conf” indicates again that an instantaneous confining potential between quark and antiquark is included in the diagonal matrix elements.

The optical potential that describes the transition from the $\bar{u}b$ to the $\bar{u}ce\bar{e}_e$ channel is obtained by applying a Feshbach reduction. This eliminates the $\bar{u}cW$ and $\bar{u}bWe\bar{e}_e$ channels. The transition potential has then two terms which correspond to the two possible time orderings (cf. Eq. 4). It reads

$$\hat{V}_{\text{opt}}^{\bar{u}b-\bar{u}ce\bar{e}_e}(m) = \hat{K}_{\bar{u}ce\bar{e}_e-\bar{u}cW}(m - M_{\bar{u}cW}^{-1})^{-1} \hat{K}_{\bar{u}b-\bar{u}cW}^+ + \hat{K}_{\bar{u}ce\bar{e}_e-\bar{u}bWe\bar{e}_e}(m - M_{\bar{u}bWe\bar{e}_e}^{-1})^{-1} \hat{K}_{\bar{u}b-\bar{u}bWe\bar{e}_e}^+. \tag{18}$$

The weak hadronic current and, in the sequence, the decay form factors are extracted from on-shell matrix elements of $\hat{V}_{\text{opt}}^{\bar{u}b-\bar{u}ce\bar{e}_e}(m)$,

$$\langle v'; k_D; k_c, \mu_c; k_{\bar{e}_e}, \mu_{\bar{e}_e} | \hat{V}_{\text{opt}}^{\bar{u}b-\bar{u}ce\bar{e}_e}(m) | k_B \rangle_{\text{os}}. \tag{19}$$

"On shell" means $m = m_B = k_B^0 = k^0 + k_{\bar{e}_e}^0 + k_c^0$. The analytical calculation of these on shell matrix elements is explained in some detail in Refs. [1,10,25], where also explicit expressions can be found. The final result for the invariant $B \to D$ decay amplitude looks again like the one obtained from leading order covariant perturbation theory,

$$\langle v'; k_D; k_c, \mu_c; k_{\bar{e}_e}, \mu_{\bar{e}_e} | \hat{V}_{\text{opt}}^{\bar{u}b-\bar{u}ce\bar{e}_e}(m) | k_B = 0 \rangle_{\text{os}} = v_0 \delta^3(v' - v) \frac{(2\pi)^3}{\sqrt{(k_c^0 + k_{\bar{e}_e}^0 + k_B^0)^3}} \frac{2 \sin^2 \theta_w}{e^2} \frac{1}{2} \bar{u}_{\nu_e}(k_e) \gamma^\mu \gamma^\nu v_{\mu_e}(k_{\bar{e}_e}) \frac{(-g_{\mu e})}{(k_c + k_{\bar{e}_e})^2 - m_W^2} \frac{1}{2} \hat{J}^{\bar{B} \to D}(k_D; k_B). \tag{20}$$

Assuming again that the orbital part of the $B$ and $D$ meson wave function (at rest) is a pure $s$ wave, the hadron current $\hat{J}^{\bar{B} \to D}(k_D; k_B)$ has the following structure:

$$\hat{J}^{\bar{B} \to D}(k_D; k_B = 0) = \sqrt{\frac{\lambda_{B D} \lambda_{B B}^*}{4\pi}} \int d^3\tilde{k}_D \int d^3\tilde{k}_B \frac{\tilde{k}_D^{0} \tilde{k}_B^{0}}{2k_B^{0}} \sqrt{\frac{\tilde{k}_D^{0} + \tilde{k}_B^{0}}{k_c^0 + k_{\bar{e}_e}^0}} \sum_{\mu_\mu' = \pm 1} \bar{u}_{\nu_e}(k_e') \gamma^\mu (1 - \gamma^5) u_{\mu_e}(k_{\bar{e}_e}) \times D_{\mu_\mu'}^{\bar{B} \to D} \langle \hat{K}_D | \psi_B(k_B) \rangle. \tag{21}$$

Since we are dealing with velocity states, the momenta in our decay calculation are CM momenta satisfying $0 = k_B = k_a + k_b = k_c + k_{\bar{e}_e}$, $k_D = k_c + k_{\bar{e}_e} + k'_a + k'_b$. Furthermore, momenta with tilde satisfy $\tilde{k}_a = \tilde{k}_b = 0$. Primed momenta with tilde are related to the canonical boost, i.e., $k'_i = B_i(v'_{\bar{u}c})(k_i)$, where $v'_{\bar{u}c} = (k_a + k_{\bar{e}_e})/(\sqrt{(k_a + k_{\bar{e}_e})^2})$. Note that due to the decay
kinematics \( \mathbf{k}_b = \tilde{\mathbf{k}}_b \) and \( \mathbf{k}_u = \tilde{\mathbf{k}}_u \). Using these identities, we observe that the expression (11) for the \( B \to D \) transition current that we extracted from the \( \nu_e B^- \to e^- D^0 \) scattering amplitude reduces to Eq. (21), which we got from the \( B^- \to D^0 e^- \bar{\nu}_e \) decay amplitude. Finally, a four-vector decay current \( \tilde{\mathcal{J}}_{B^- \to D} (p_D; p_B) \) with the covariant structure (14) is obtained from Eq. (21) by going back to physical particle momenta using Eq. (12).

The form factors calculated from \( \tilde{\mathcal{J}}_{B^- \to D} (p_D; p_B) \) for timelike momentum transfers are just functions of the four-momentum transfer squared. No dependence on the invariant mass of the whole system is observed as was the case for spacelike momentum transfers. This is easily understood, because the invariant mass of the decaying system is just the mass \( m_B \) of the decaying meson, which is fixed. Apart of rotations and Lorentz boosts of the whole system there is no freedom left for choosing the decay kinematics such that the four-momentum transfer is \( q^2 \). But boosting or rotating the system will not change our results for the (timelike) form factors. This is obvious from Eq. (12), which holds for arbitrary momenta of the decaying meson. In the spacelike region, on the other hand, different combinations of Mandelstam \( s \) and the scattering angle will give the same momentum transfer \( q^2 \), which leaves more freedom for choosing the kinematics for form factor calculations. This ambiguity may be considered as a drawback, but it offers also the possibility for extracting form factors in a reference frame, in which physical nonvalence contributions, in particular those responsible for \( Z \)-graphs and unphysical spurious contributions coming from violation of cluster separability, are minimized. In the following, we will give numerical predictions for weak transition form factors in the space- as well as in the timelike region, and we will try to estimate the size of the nonvalence \( Z \)-graph contributions and nonphysical effects coming from cluster-separability violation.

V. NUMERICAL STUDIES

Once we have an analytical expression for the weak transition current of a heavy-light bound state in terms of constituent currents and wave functions, we can calculate the current numerically and obtain the corresponding form factors, which can be compared with experiments. We will first calculate transition form factors for spacelike momentum transfers, as resulting from \( \nu_e M \to e^- M' \) scattering. Next, we will continue these form factors analytically to timelike momentum transfers and compare the analytic continuation with the outcome of the decay calculation \( M \to M' e^- \bar{\nu}_e \). The freedom of choosing the kinematics for scattering gives us some control on the influence of nonvalence \( Z \)-graph contributions and cluster-separability-violating effects, whereas the kinematics of the decay calculation is more or less fixed. The comparison of the analytically continued form factors with the result from the decay calculation can thus give us some clues on the size of \( Z \)-graph contributions and cluster-separability-violating effects for timelike momentum transfers.

A. Kinematics

Let us first fix the kinematics for \( \nu_e M \to e^- M' \) scattering. Since our model current \( \tilde{\mathcal{J}}_{M \to M'} \) is a four vector with the structure given by Eq. (16), form factors are neither affected by rotations or boosts. We can thus consider \( \nu_e M \to e^- M' \) scattering in the CM frame, \( p_i = 0 \), and also fix the scattering plane to the \((1,3)\) plane. Unlike the physical form factors, our model form factors exhibit a Mandelstam \( s \) dependence which can be interpreted as a frame dependence of the \( WM \to M' \) subprocess [10]. In order to obtain an estimate of this frame dependence, we will consider two extreme cases. In the first case, \( s \) has the minimal value for reaching a particular value of \( q^2 \). This corresponds to the Breit frame. In the second case, we will consider the limit \( s \to \infty \), corresponding to the IMF. For CM scattering in the \((1,3)\) plane, our kinematics are defined by

\[
\begin{align*}
 p_M &= \begin{pmatrix} \sqrt{m_M^2 + p^2} \\ p \\ 0 \\ 0 \end{pmatrix}, & p_{\nu_e} &= \begin{pmatrix} \sqrt{m_{\nu_e}^2 + p^2} \\ -p \\ 0 \\ 0 \end{pmatrix}, \\
p_{M'} &= \begin{pmatrix} \sqrt{m_{M'}^2 + p'^2} \\ p' \cos \theta_{CM} \\ 0 \\ p' \sin \theta_{CM} \end{pmatrix}, & p_e &= \begin{pmatrix} \sqrt{m_e^2 + p'^2} \\ -p' \cos \theta_{CM} \\ 0 \\ -p' \sin \theta_{CM} \end{pmatrix},
\end{align*}
\]

where \( \theta_{CM} \) is the CM scattering angle. The kinematics can also be expressed in terms of two Lorentz invariants, namely Mandelstam \( s = (p_M + p_{\nu_e})^2 = (p_{M'} + p_e)^2 \), the invariant mass squared of the whole system, and \( q^2 = (p_M - p_{M'})^2 = (p_e - p_{\nu_e})^2 \) (also termed as Mandelstam \( t \)), the four-momentum transfer squared. Neglecting the lepton masses, one has

\[
\begin{align*}
p_M &= \frac{(s - m_M^2)}{2\sqrt{s}}, & p_{M'} &= \frac{(s - m_{M'}^2)}{2\sqrt{s}}, \\
\cos \theta_{CM} &= -\frac{Q^2}{2pp'} + 1, \quad (23)
\end{align*}
\]

with spacelike \( q^2 = q^a q_a = -Q^2 < 0 \). This guarantees that \(-1 \leq \cos \theta_{CM} \leq 1\).

1. Infinite-momentum frame

The infinite-momentum frame is defined by the limit \( s \to \infty \), \( Q^2 = -q^2 = \text{const.} \) This limit implies for the CM
kinematics given above that the components of the current, Eq. (14), take on the simple form,

\[ J_0(p_{M_0}, p_M) \rightarrow s_{F_1}, \]

\[ J_1(p_{M_0}, p_M) \rightarrow s_{F_1}, \]

\[ J_2(p_{M_0}, p_M) \rightarrow 0, \]

\[ J_3(p_{M_0}, p_M) \rightarrow \frac{(m_M^2 - m_{M_0}^2)F_0 - (m_M^2 - m_{M_0}^2 + Q^2)F_1}{Q}. \]

(24)

Taking \( J_0 \) and \( J_3 \) as linear independent components, the form factors are then given by

\[ F_1 = \frac{J_0(p_{M'}, p_M)}{s}, \]

\[ F_0 = \frac{\sqrt{s}J_1(p_{M'}, p_M) + (m_M^2 - m_{M_0}^2 - Q^2)J_0(p_{M'}, p_M)}{(m_M^2 - m_{M_0}^2)\sqrt{s}}. \]

(25)

FIG. 5. Spacelike form factors for the \( B^- \rightarrow D^0 \) transition calculated in the IMF (solid line) and in the BF (dashed line). The shaded area indicates the frame dependence.

2. Breit frame

What we call “Breit frame” corresponds to backward scattering, i.e., \( \theta_{CM} = \pi \). For \( M = M' \), it reduces to the usual Breit frame, which is defined by zero energy transfer. In the BF, Mandelstam \( s \) and \( Q^2 \) are related by means of Eq. (23), and we can express Mandelstam \( s \) as function of \( Q^2 \),

\[ s = \frac{1}{2} \left( m_M^2 + m_{M'}^2 + Q^2 \right) + \sqrt{(m_M^2 + m_{M'}^2 + Q^2)^2 - 4m_M^2m_{M'}^2}. \]

(26)

This is the minimum value of Mandelstam \( s \), necessary to achieve a momentum transfer \( Q^2 \). The only nonvanishing current components for this kinematics are \( J_0 \) and \( J_1 \), from which \( F_0 \) and \( F_1 \) can be determined uniquely using Eq. (16).

B. Frame dependence of spacelike transition form factors

In order to estimate the frame dependence of our form factors, we now consider the two extreme cases of minimal
s, i.e., the Breit frame, and $s \to \infty$, the infinite-momentum frame. Calculating the current \textsuperscript{(11)} in the respective frames with the wave functions and model parameters given in the Appendix and applying the covariant decomposition \textsuperscript{(16)} gives the form factor results shown in Figs. 5–8. In addition to the $B^- \to D^0$ transition, we have also considered the $B^- \to \pi^0$, the $D^- \to K^0$ and the $D^- \to \pi^0$ transitions to get a feeling on how the frame dependence is affected by the heavy-quark flavors and the masses of the initial- and final-state mesons.

What one observes are rather small differences between the IMF and the BF predictions for the $B \to D$ and the $B \to \pi$ transition form factors. The discrepancies between the two frames become a little bit more pronounced for the $D^- \to K^0$ and the $D^- \to \pi^0$ transitions to get a feeling on how the frame dependence is affected by the heavy-quark flavors and the masses of the initial- and final-state mesons.

![FIG. 7. Spacelike form factors for the $D^- \to K^0$ transition calculated in the IMF (solid line) and in the BF (dashed line). The shaded area indicates the frame dependence.](image)

The three momentum of the final-state meson $p'$, the size of the three momentum of the final-state meson $p'$, the size of the three momentum of the final-state meson [see Eq. (22)], increases. For large enough $p'$ bound-state effects, and thus also effects coming from wrong cluster properties, become negligible. Obviously, $F_0$ exhibits a stronger frame dependence than $F_1$. This is also not too surprising, since $F_0 = F_1 + F^-$ [see Eqs. (13) and (15)]. In addition, there seems to be a stronger frame dependence in the small form factor $F^-$ than in the large form factor $F_1 = F^+$. These observations are in line with foregoing work \textsuperscript{[1,7,10,11]} and suggest that the frame dependence of the form factors and hence, the influence of the $Z$-graph and unwanted effects coming from wrong cluster properties of our approach tend to diminish with increasing value of Mandelstam $s$. It occurs thus to be preferable to use IMF kinematics for the extraction of the transition form factors. A further advantage of the IMF is that a valence-quark approach catches more of the physics in the IMF than in any other reference frame. The comparison of the IMF with the BF results may thus also give us a hint on the size of $Z$-graph contributions in reference frames different from the IMF. Since physical form factors should not depend on the chosen reference frame, we consider the results obtained with IMF kinematics as the more complete one.
C. Timelike transition form factors—analytic continuation of BF results

Lepton-meson scattering amplitudes (and hence also transition currents and form factors) are meromorphic functions of Mandelstam $s$ and $t$ ($= q^2 = q^μq_μ$). It is therefore possible to continue them analytically from $q^2 \leq 0$ to $q^2 \geq 0$. This amounts to replace $Q$ by $iQ$ in the analytical expressions for the transition current and the form factors, i.e., Eqs. (11) and (16), respectively. Due to the reasons just mentioned, it seems to be preferable to perform the analytic continuation with IMF kinematics as starting point.

Nevertheless, it is also instructive to have a look at analytic continuation starting from BF kinematics. This frame is energetically closest to the decay kinematics, for which the invariant mass squared of the decaying system is $s = m_B^2 = \text{const}$. Analytic continuation of the BF results is thus expected to resemble the outcome of the direct decay calculation and, if this is the case, give us some confidence in the reliability of the analytic continuation procedure. A comparison of the analytic continuation $Q \to iQ$ of the BF results with the direct decay calculation, as outlined in Sec. IV, is shown in Fig. 9 for the $B \to D$ and $B \to π$ transitions in the physically allowed range $0 \leq q^2 \leq q^2_{\text{max}} = (m_M - m_{M'})^2$. We observe that the predictions from analytic continuation and the direct decay calculation agree at $q^2 = 0$ for both transitions. The agreement extends approximately up to $q^2 ≈ 8 \text{ GeV}^2$, where the differences start to increase with increasing $q^2$ and become significant towards the zero-recoil point $q^2_{\text{max}} = (m_B - m_D(m_π))^2$. This behavior is easily understood: the direct decay calculation is done at fixed $s = m_B^2$. In the analytically continued BF calculation, however, $s$ decreases with increasing $Q^2$ [see Eq. (26)] from $s = m_B^2$ to $s = m_Bm_D$ (or $s = m_Bm_π$). This means that the form factors obtained by analytic continuation and by the direct decay calculation are calculated for the same $q^2$ value, but for different values of Mandelstam $s$. The physical form factors should, of course, be independent of $s$ and thus independent of the frame in which the $WMM'$ vertex is considered, but as already mentioned before, our model results exhibit an $s$ dependence, which can have its origin in a missing $Z$-graph contribution and in wrong cluster properties. With increasing $q^2$ the BF kinematics differs more and more from the decay
kinematics with $s = m_B^2$ fixed and, consequently, the $s$ dependence leads then to the observed discrepancies between the analytic continuation of the BF results and the direct decay calculation.

D. Timelike transition form factors—analytic continuation of IMF results

Since cluster-separability-violating effects tend to be minimized in the IMF (i.e., for $s \to \infty$) and nonvalence $Z$-graph contributions are suppressed, it seems to be preferable to start with form factor expressions calculated in the IMF and apply analytic continuation to those expressions. In the IMF, furthermore, $s$ is fixed so that the analytic continuation procedure is less delicate. The comparison of the analytically continued IMF results with the direct decay calculation, performed in the rest frame of the decaying particle ($s = m_B^2$), will give us then an estimate of the size of cluster-separability-violating effects and the size of nonvalence $Z$-graph contributions in the rest frame of the decaying particle.

In Figs. 10–13, the analytic continuation of the IMF results for $F_0$ and $F_1$ is compared with the direct decay calculation for various heavy-light transitions. What one observes is, that the differences between the analytic continuation and the direct decay calculation increase with increasing $q^2$ and are, in general, smaller for $F_0$ than for $F_1$. The differences become also larger, when the mass of the outgoing or decaying meson becomes smaller. For the $B \to \pi$ and $D \to \pi$ transitions, a strong increase of the IMF result near the zero-recoil point $q^2_{\text{max}} = (m_B(m_D) - m_\pi)^2$ can be observed for $F_1$ which resembles a polelike behavior. One can find several constituent-quark-model calculations for the transition-form factors considered in this paper in the literature. The outcome of these calculations resembles very strongly our IMF results. In order to show the variations between different, more recent model predictions, we have summarized numerical form factor values at zero momentum transfer in Table I.

Since we are not aware of experimental data away from the zero-recoil point to which we could compare our predictions, we make a comparison with lattice data which are given in Ref. [39] for different values of $q^2$. This is done in Table II. In most cases, our predictions are within the statistical errors given for the lattice data. Actually, the uncertainties in lattice calculations seem to be a little bit larger, as can be seen from Fig. 7 of Ref. [40], where different lattice calculations, including Ref. [39], are compared for the $B \to \pi$ transition form factors. For a comprehensive and actual discussion of the problems connected with the determination of weak $B$ and $D$
transition form factors on the lattice, we refer to the FLAG Review 2019 [41].

The agreement with other model calculations and with lattice data gives us some confidence that analytic continuation of our spacelike transition form factors, calculated in the IMF, is an appropriate procedure to end up with a physically sensible model for the transition form factors in the timelike domain. It still remains to be seen, whether the difference between the analytically continued IMF result and the direct decay calculation can be mainly attributed to a missing nonvalence $Z$-graph contribution in the direct decay calculation, as often asserted. This question will be dealt with in the sequel.

### E. $Z$ graph and meson pole

As already discussed in the introduction, the $Z$-graph contribution is well approximated by a vector-meson-dominance-like decay mechanism. As a consequence, the form factors exhibit a pole at an unphysical value of $q^2 > 0$, which should be observable as an enhancement of the form factors near the zero-recoil point $q^2_{\text{max}}$. Due to the confining

| $q^2$ | Lattice [39] | IMF (this work) |
|-------|---------------|-----------------|
| 0.19  | $F_1 = 0.70(5)(0)$ | $F_1 = 0.79$ |
| 0.69  | $F_0 = 0.68(4)(0)$ | $F_0 = 0.76$ |
| 1.7   | $F_1 = 1.29(7)(0)$ | $F_1 = 1.27$ |
|       | $F_0 = 0.96(4)(0)$ | $F_0 = 0.84$ |

### Table I. Form factors at zero momentum transfer, $F_i(0) = F_0(0)$, for $B \to D, \pi$ and $D \to K, \pi$ transitions, as predicted by our model, in comparison with other constituent-quark-model results.

| Transition | This work | Zhang et al. [26] | Choi et al. [27,28] | Faustov et al. [29–32] | Verma et al. [33] | Cheng et al. [34] | Ivanov et al. [35–37] | Wu et al. [38] |
|------------|-----------|-----------------|-----------------|-----------------|-----------------|----------------|----------------|----------------|
| $B \to D$  | 0.70      | 0.67            | 0.6969          | 0.63            | 0.67(1)         | 0.67           | 0.78           | 0.285 $^{+0.016}_{-0.015}$ |
| $B \to \pi$| 0.26      | 0.25            | 0.217           | 0.25            | 0.25            | 0.28           | 0.661 $^{+0.067}_{-0.066}$ |
| $D \to K$  | 0.75      | 0.79            | 0.744 $^{+0.16}_{-0.08}$ | 0.716           | 0.79(1)         | 0.78           | 0.77 $^{\pm}0.11$ | 0.635 $^{+0.060}_{-0.057}$ |
| $D \to \pi$| 0.64      | 0.66            | 0.613 $^{+0.21}_{-0.22}$ | 0.640           | 0.66(1)         | 0.67           | 0.63 $^{\pm}0.09$ | 0.285 $^{+0.016}_{-0.015}$ |
forces, the nonvalence degrees of freedom have to recombine with the valence $Q\bar{q}$ pair to color singlet hadrons. In the simplest case, they recombine to the outgoing meson $M'$ and a vector-meson $M^*$ which fluctuates into a $W$ that decays in the sequel into $e\bar{\nu}_e$. This is depicted in Fig. 14 for the $B^- \rightarrow D^0 e^-\bar{\nu}_e$ decay.

In the literature, the meson-pole contribution is included in different ways. In Ref. [20], the valence contribution and the $B^0$ pole contribution to the $\bar{B}^0 \rightarrow \pi^+ e^-\bar{\nu}_e$ decay are calculated within a nonrelativistic constituent-quark model. Thereby, the $B^0\bar{B}^0\pi^+$ transition is modeled by means of a quark-pair-creation mechanism [42] leading to a soft form factor at the $\bar{B}^0\pi$ vertex that suppresses the $B^0$ pole contribution away from the zero-recoil point. Reference [43] uses relativistic front-form dynamics to analyze the $B^0 \rightarrow \pi^+ e^-\bar{\nu}_e$ decay. The valence contribution is described by means of a constituent-quark model, whereas the $B^*$-pole contribution is treated on hadron level with a phenomenological form factor at the $B^* B\pi$ vertex. In this paper, also renormalization of the valence Fock state due to the presence of the $B^* D$ nonvalence component is taken into account. The authors found that the valence contribution to the form factor $F_1$ in the low $q^2 = 0$ regime is well approximated by a function of the form,

$$F_1^\text{pole} (q^2) = \frac{F_1(0)}{1 - \frac{q^2}{m_{\text{pole}}^2}} \alpha,$$  \hspace{.5cm} (27)$$

with $\alpha = 1.6$ and $m_{\text{pole}} = 5.32 \text{ GeV}$. Towards the zero-recoil point, the valence contribution to $F_1$ starts to decrease and deviates from this parametrization. Near zero recoil, the nonvalence $B^*$ pole contribution begins to dominate. The combined valence and $Z$-graphs results are also reasonably well approximated in the whole range $0 \leq q^2 \leq q^2_{\text{max}}$ by means of Eq. (27) with parameters $\alpha = 2.0$ and $m_{\text{pole}} = 5.6$–$5.8 \text{ GeV}$, depending on the strength of the $B^* B\pi$ coupling.

Following Ref. [43], we have also tried to parametrize our IMF results by means of Eq. (27). But we have rather fixed the pole mass to the lightest vector-meson mass $M^*$ and left the power $\alpha$ as a free parameter. The outcome of our attempt is shown in Fig. 15 for the $B^- \rightarrow D^0$ and the $D^- \rightarrow K^0$ transitions. With parameters $\alpha_{B^- D} = 1.55$ and $\alpha_{D^- K} = 1.09$, we find that our analytically continued IMF results follow Eq. (27) surprisingly well in the plotted kinematic range. The closer the pole is to the zero-recoil point, the more the form factor $F_1$ tends to exhibit a monopole-like behavior as one would expect from a meson-pole contribution. The masses of the incoming- and outgoing pseudoscalar mesons for various decays are given in Table III together with the corresponding intermediate-state vector meson masses and the distance of the pole from the zero-recoil point. Looking at this table, it is obvious that the $B^- \rightarrow D^0$ decay form factor deviates more from a pure monopole behavior than the $D^- \rightarrow K^0$ decay form factor. One must also keep in mind that we parametrize the whole form factor and not just the pole contribution and that the valence contribution gains importance with increasing vector-meson mass. It is, however, very remarkable that the analytic continuation of the IMF results provides an enhancement of the timelike form factors near zero recoil which resembles the $q^2$ behavior of a vector-meson-dominated decay mechanism, although we started with pure valence degrees-of-freedom and no additional dynamical input was explicitly introduced during the analytic continuation procedure. How this can happen and whether the analytically continued IMF result for the form factors can really be understood as the sum of a direct decay and a decay

\begin{figure}
\centering
\includegraphics[width=\textwidth]{fig14}
\caption{Approximation of the nonvalence $Z$-graph contribution to the $B^- \rightarrow D^0 e^-\bar{\nu}_e$ decay by means of a vector-meson-dominance-like mechanism.}
\end{figure}

\begin{figure}
\centering
\includegraphics[width=\textwidth]{fig15}
\caption{Transition form factor $F_1$ for space- and timelike momentum transfers obtained by analytic continuation of the IMF result (thick, blue line) and pole fit (thin, red line) with $\alpha_{B^- D} = 1.55$, $m_{\text{pole}} = m_{B^*} \approx m_{B^-} = 6.274 \text{ GeV}$ (left) and $\alpha_{D^- K} = 1.09$, $m_{D^-} = 2.112 \text{ GeV}$. The dashed, vertical lines indicate the position of $q^2_{\text{max}}$ and $m^2_{\text{pole}}$.}
\end{figure}
The heavy-quark limit from the intermediate vector-meson (cf. Fig. 14), calculated in the heavy-quark limit. How the rescaled form factors tend to the Isgur-Wise function, the Isgur-Wise function, and the spin of the heavy quarks as the heavy-quark masses grow to infinity [44]. As one consequence, electromagnetic and weak hadron form factors (multiplied with appropriate kinematical factors) approach a universal function, the Isgur-Wise function [45]. In Refs. [1,25], it has already been demonstrated that the electromagnetic and weak-decay form factors of heavy-light pseudoscalar and vector mesons, calculated within our (pure valence-quark) approach, satisfy the heavy-quark-symmetry constraints. Interestingly, the heavy-quark limit removes the frame dependence of the form factors for spacelike momentum transfers, indicating that $Z$-graph contributions are suppressed in the heavy-quark limit. This is what one would expect on physical grounds, since the probability for a higher Fock state containing an infinitely heavy quark-antiquark pair is strongly suppressed as compared to the valence Fock state. If this is the case, our analytically continued IF result and the result from the direct decay calculation should also agree in the heavy-quark limit and coincide with the Isgur-Wise function. This is what we are going to show now.

In order to perform the heavy-quark limit, the momentum transfer squared, $q^2 = (p_M - p_{M'})^2$, is first expressed in terms of

$$v_M \cdot v_{M'} = \frac{p_M \cdot p_{M'}}{m_M m_{M'}} = \frac{m_M^2 + m_{M'}^2}{2m_M m_{M'}} - \frac{q^2}{2m_M m_{M'}}.$$  

(28)

the product of the meson four velocities. The heavy-quark limit $m_Q, m_{Q'} \rightarrow \infty$ is then taken in such a way that $v_M \cdot v_{M'}$ stays constant and both, the binding energy and the light-quark mass $m_q$, are neglected, i.e.,

$$m_{Q'} = m_{M'}, \quad \frac{m_q}{m_{Q'}} = 0.$$  

(29)

For a general discussion of the heavy-quark limit, we refer to the review article [44]. Technical details on how the heavy-quark limit is performed within our approach can be found in Refs. [1,25]. In the following, we will focus on the $B^- \rightarrow D^0$ transition. As a consequence of heavy-quark symmetry, both transition form factors, multiplied with appropriate kinematical factors, tend to one universal function, the Isgur-Wise function,

$$R \left( 1 - \frac{q^2 (v_B \cdot v_D)}{(m_b + m_D)^2} \right)^{-1} F_0(q^2 (v_B \cdot v_D)) \xrightarrow{m_b, m_D \rightarrow \infty} \xi(v_B \cdot v_D).$$

$$F_D(v_B \cdot v_D) := RF_1(q^2 (v_B \cdot v_D)) \xrightarrow{m_b, m_D \rightarrow \infty} \xi(v_B \cdot v_D) \quad \text{with} \quad R = \frac{2 \sqrt{m_B m_D}}{m_B + m_D}.$$  

(30)

For physical quark and meson masses, deviations of these (rescaled) form factors from the Isgur-Wise function indicate the amount of heavy-quark-symmetry breaking. Figure 16 shows the Isgur-Wise function as resulting in the heavy-quark limit from the $B^- \rightarrow D^0$ decay form factors according to Eq. (30). As it turns out, it does not matter, whether one takes the form factors obtained from the direct decay calculation or the analytically continued IMF form

| Transition | Initial meson | Final meson | Meson pole | $m_{M'}^2 - q^2_{\text{max}}$ |
|------------|---------------|-------------|------------|-----------------------------|
| $B^- \rightarrow D^0$ | $m_{B^0} = 5.2793$ GeV | $m_{D_0^+} = 1.869$ GeV | $m_{B^0} \approx 6.274$ GeV | 27.73 GeV² |
| $B^0 \rightarrow \pi^+$ | $m_{B^0} = 5.2797$ GeV | $m_{\pi^+} = 0.1396$ GeV | $m_{B^0} = 5.325$ GeV | 1.935 GeV² |
| $B^0_{s} \rightarrow K^+$ | $m_{B^0_s} = 5.567$ GeV | $m_{K^+} = 0.4937$ GeV | $m_{B^0_s} = 5.525$ GeV | 4.607 GeV² |
| $D^0 \rightarrow K^+$ | $m_{D^0} = 1.864$ GeV | $m_{K^+} = 0.4937$ GeV | $m_{D^0} = 2.112$ GeV | 2.583 GeV² |
| $D^- \rightarrow \pi^0$ | $m_{D^-} = 1.869$ GeV | $m_{\pi^0} = 0.135$ GeV | $m_{D^-} = 2.010$ GeV | 1.033 GeV² |

TABLE III. Meson and pole masses.
For physical quark masses, the scaled form factors effects just affect the normalization. On the other hand, approximately for physical quark masses and breaking, this suggests that heavy-quark symmetry already holds mainly attributed to missing masses. 

Provided that the frame dependence of form factors can be restored. For physical masses of the heavy quarks, the function, which means that heavy-quark symmetry is nearly multiplied with a factor of 6. For the upscaled masses, one can already see that all form factors tend to the Isgur-Wise function, if they are normalized to 1 at \( v_M \cdot v_{M'} = 1 \).

with \( F'_1 \) meaning differentiation with respect to \( v_M \cdot v_{M'} \). For the \( B \rightarrow D \) decay, the experimental value provided by the heavy-flavor averaging group [46] is \( \rho^2_D = 1.131 \pm 0.033 \). In [1,25], the direct decay calculation involving only valence degrees of freedom gave a value of \( \rho^2_D = 0.55 \) for physical quark masses and \( \rho^2_D \rightarrow \infty \) in the heavy-quark limit. We confirm this finding. On the other hand, our analytically continued IMF form factor (for physical quark masses) has a value of \( \rho^2_D = 1.07 \), much closer to the experiment than the direct decay calculation. This is a further support of the argument that the physically most complete description of the \( M \rightarrow M' \) transition within a pure valence-quark picture is achieved in the IMF or, more generally, in a \( q^+ = 0 \) frame, if the calculation is done in front form. An equivalent description in other frames can most probably be achieved by adding the nonvalence Z-graph contribution to the valence contribution.

VII. CONCLUSIONS AND OUTLOOK

In this paper, we have investigated weak \( B \rightarrow D, \pi \) and \( D \rightarrow K, \pi \) transition form factors for space- and timelike momentum transfers, as can be measured in neutrino scattering and semileptonic weak decays. We have used the point form of relativistic quantum mechanics in connection with the Bakamjian-Thomas construction to describe these systems in a relativistic invariant way by means of a constituent-quark model. The weak hadron transition current can then be extracted in a unique way from the invariant scattering or decay amplitude, which is calculated perturbatively in leading order of the weak coupling, starting from a multichannel mass operator. A covariant decomposition of this four-vector current yields the transition form factors.

For spacelike momentum transfers \( q^2 < 0 \), these transition form factors were found to exhibit, in addition to the expected \( q^2 \) dependence, a dependence on the invariant mass squared \( s \) of the scattering system. The same observation was already made in previous work on electromagnetic form factors [1,10,11], where it was suspected that the origin of this \( s \) dependence are wrong cluster-separability properties inherent in the Bakamjian-Thomas construction. This \( s \) dependence can be reinterpreted as a dependence on the frame in which the \( WMM' \) vertex is considered. Such a frame dependence is a common phenomenon and occurs, independent of the chosen form of relativistic dynamics, in any attempt to model a bound state current solely by means of valence Fock states using a one-body current. It is a common belief, which is supported.

FIG. 16. \( B^- \rightarrow D^0 \) transition form factors as a function of \( v \cdot v' \), multiplied by appropriate kinematical factors [see Eq. (30)], in comparison with the Isgur-Wise function (green solid line). Upper panel: physical quark and meson masses. Lower panel: heavy quark masses are multiplied by a factor of 6 and the meson masses are taken to be equal to the heavy-quark masses.

\[
F_0 = \text{analytic continuation}
\]

\[
F_1 = \text{direct decay calculation}
\]

\[
\text{Isgur-Wise}
\]

\[
\rho_D^2 := -\frac{F'_1(v_M \cdot v_{M'} = 1)}{F_1(v_M \cdot v_{M'} = 1)}
\]
by the analysis of the triangle diagram in a simple $\phi^3$ model, that this frame dependence can be cured by including a $Z$-graph contribution. Such a contribution requires, however, a nonvalence component in the decaying meson. Fortunately, the nonvalence component is suppressed in the infinite-momentum frame which corresponds in our approach to the limit $s \to \infty$. It is thus often asserted that the pure valence-quark picture provides already a physically satisfactory description of form factors, if these are calculated in the IMF. In any other frame, the $Z$ graph can provide a non-negligible contribution to the form factors and should therefore be taken into account. If a missing $Z$-graph contribution is indeed responsible for the frame dependence of the form factors, its size in a particular frame will be approximately the difference between the form factors calculated in this frame and in the IMF. Our numerical comparison of transition form factors $F_0$ and $F_1$ calculated in the Breit frame (i.e., $s$ minimal) with those calculated in the IMF (i.e., $s \to \infty$) showed that the differences are marginal for $B^- \to D^0, \pi^0$ transitions, whereas they can amount to about $10\%$ for $D^- \to K^0, \pi^0$ transitions.

The importance of the $Z$-graph contribution to the form factors is supposed to increase for timelike momentum transfers $q^2 > 0$. The annihilation process of the emitted quark-antiquark system into a $W$ is dominated by intermediate vector-meson states, which allows us to approximate the $Z$-graph contribution by a vector-meson-dominance-like decay mechanism. The closer the pole of the lightest vector meson is to the physical region, the more important becomes the $Z$ graph as compared to the pure valence contribution. But in contrast to scattering, the decay kinematics does not allow for a frame in which the $Z$ graph is suppressed. The invariant mass of the whole system is fixed to the rest mass of the decaying particle. In order to estimate the $Z$-graph contribution for timelike momentum transfers, we have used the property that the form factors are meromorphic functions of $q^2$, which can be analytically continued from $q^2 < 0$ to $q^2 > 0$. Under the assumption that the pure valence-quark picture provides already a physically satisfactory description of the form factors in the IMF, proper analytic continuation should also give a reasonably complete account of the form factors at timelike momentum transfers. If this is the case, the difference between the analytically continued IMF form factors and the form factors obtained from the direct decay calculation provides again an estimate for the size of the $Z$-graph contribution in the timelike domain. As suspected, these differences are already noticeable for the $B^- \to D^0$ decay and become really appreciable for the $B^- \to \pi^0$ and $D^- \to K^0, \pi^0$ decays.

For our numerical studies, we have used a harmonic-oscillator form for the quark-antiquark meson wave functions with the oscillator parameters taken from Ref. [12], where these were fitted to reproduce the known meson decay constants. With this parametrization of the meson wave functions, the analytic continuation of the IMF form factors gave quite reasonable results in the timelike region, which are in good agreement with existing lattice data [40]. Furthermore, we have also verified that the constraints put on the form factors by heavy-quark symmetry are satisfied within our approach. In the heavy-quark limit, all form factors, written as functions of $v_M \cdot v_M'$ and multiplied with appropriate kinematical factors, tend to one universal function, the Isgur-Wise function. The heavy-quark limit eliminates any frame dependence so that it even does not matter, whether the heavy-quark limit is applied to the analytically continued IMF form factors or those from the direct decay calculation. Since the $Z$ graph vanishes in the heavy-quark limit, this is a further indication that the difference between the IMF result and the direct decay calculation can be mainly ascribed to a missing $Z$-graph contribution in the decay calculation. We have also observed that approximate validity of heavy-quark symmetry holds already for physical masses of the heavy quarks in case of the IMF form factors. This allowed us to calculate $\xi(1)$, i.e., the slope of the Isgur-Wise function at zero recoil, for physical quark masses. For the $B \to D$ transition, our prediction agrees very well with the experimentally determined value. For the form factors resulting from the direct decay calculation, one has to go far beyond the physical quark masses in order to recover heavy quark symmetry. This means that the $Z$ graph could also be important to unveil heavy-quark-symmetry properties already for physical quark and meson masses.

In this paper, we have only tried to estimate the size of the $Z$-graph contribution indirectly. We have argued that the valence-quark picture gives a nearly complete description of the form factors in the IMF, since the $Z$ graph is suppressed in the IMF. If one goes to another frame, the observed deviation from the IMF result was then attributed to the missing $Z$ graph. This assumption was supported by the observation that $F_1(q^2)$ for the $B^- \to D^0$ and $D^- \to K^0$ decays is well approximated by a pole fit with the pole mass taken as the mass of the lightest intermediate vector meson and the power approaching a monopole behavior with decreasing vector-meson mass. Furthermore, the difference between the IMF result and the direct decay calculation vanishes in the heavy-quark limit, as one would expect from the $Z$ graph. But for a definite answer to the question, whether the IMF result provides indeed a complete physical picture and whether the frame dependence of the form factors, calculated within a pure valence-quark picture, can be cured by including a nonvalence $Z$-graph contribution, the $Z$ graph has to be calculated explicitly. This would require some additional modeling, allowing for a nonvalence component in the decaying meson.

The simplest way to model the dominant pole contribution, e.g., in the $B^- \to D^0$ decay, within a constituent-quark model is to assume that the physical $|B^-\rangle$ state is a superposition of the valence Fock state $|B^-\rangle_{\text{val}}$ and a
nonvalence \(|D^0 B_c^-\) state, i.e., \(|B^-\rangle = |B^-\rangle_{\text{val}} + |D^0 B_c^-\rangle\). Such a model for the \(B^-\) could, in principle, be accommodated within an extended constituent quark model, which allows for the coupling of the valence \(b\bar{u}\) channel to the nonvalence \(c\bar{u}\) channel. For instantaneous confining forces between the quarks, the corresponding mass eigenvalue problem can then be rewritten as a mass eigenvalue problem for purely hadronic degrees of freedom with the quark substructure just entering the strong interaction vertices [47]. After an appropriate truncation of the sum over intermediate states, one will end up with the simple picture of \(B^-\) being the sum of a bare \(B^-\) (i.e., the valence contribution) and a nonvalence \(D^0 B_c^-\) state. This way of including nonvalence contributions has already been pursued for the (nonperturbative) calculation of hadron decay widths [47,48] and pion-cloud effects in electromagnetic baryon form factors [49,50]. The new piece in our case would be a vertex that provides the transition from the \(b\bar{u}\) channel to the \(c\bar{u}\) channel or, rephrased in terms of hadronic degrees of freedom, the \(B_c^* B^- D^0\) vertex, which has to be expressed in terms of quark degrees-of-freedom. Since a \(c\bar{c}\) pair has to be created, a possible candidate for the vertex interaction would, e.g., be a \(^3P_0\) model [51], in which a quark-antiquark pair is created out of the vacuum. Decays with a \(\pi\) or \(K\) in the final state could be described even simpler within a chiral constituent-quark model [52] with the elementary degrees of freedom being constituent quarks and the octet of the lightest pseudoscalar mesons, which couple directly to the constituent quarks. How the substructure of the \(M^* M M'\) vertex would then look like in either the \(^3P_0\) model, or the chiral constituent-quark model, is graphically represented in Fig. 17 for the \(B^*_c B^-_{\text{val}} D^0\) and the \(B^* B^-_{\text{val}} \pi^0\) vertex, respectively. After having determined the \(M^* M M'\) vertex from its quark substructure one only has to solve a two-channel mass eigenvalue problem in which the valence component \(|M_{\text{val}}\rangle\) is coupled to the nonvalence component \(|M' M^*\rangle\). This gives the mass of the decaying meson \(|M\rangle\), the probability of the valence component and the (two-particle) wave function of the nonvalence component. With these ingredients, the \(Z\)-graph contribution to the weak transition form factors, in particular its relative importance as compared to the pure valence contribution, is determined uniquely from an underlying (extended) constituent-quark model. This kind of strategy has already been pursued within a front form approach in Ref. [43], but there the \(M^* M M'\) vertex has not been determined from an underlying quark model, but rather parametrized in a purely phenomenological way. The explicit calculation of the \(Z\)-graph contribution to meson transition form factors in a way consistent with the underlying constituent-quark model, as we have just sketched, will be the subject of future work.

At the very end of the paper, we still want to comment on the violation of cluster separability within our approach. Mass operators with good cluster properties could, in principle, be constructed from our mass operators (5) and (17) by applying a series of unitary transformations [53]. Formally, it is known how to construct these unitary transformations [54,55], but the technical difficulties become tremendous when going beyond three-body systems and, in particular, for coupled-channel problems [6].

To our knowledge, matrix elements of these unitary transformations have only been worked out explicitly for a three-body system consisting of distinguishable scalar particles [8], but we are not aware of any numerical studies that explicitly show how observables of a physical system change, when these unitary operators are applied [56]. These unitary transformations introduce many-body forces and will add an effective many-body current to the one-body meson transition current we have used up till now. We have thus two sources for deviations of our model form factors from the frame independent physical form factors one wants to end up with: wrong cluster properties of the mass operator and a missing \(Z\)-graph contribution. The latter vanishes in the IMF and investigations on electromagnetic form factors have shown that the effect of wrong cluster properties is also minimized in the IMF [7,11]. In this sense, form factors calculated in the IMF should be closest to the physical form factors we want to understand. Differences between form factors calculated in the IMF and any other frame receive, in principle, contributions from the \(Z\) graph and from cluster-separability violating effects. In the course of this paper, we have assumed that these differences are dominated by the \(Z\)-graph contribution, in order to estimate its size. This assumption is supported by the polelike form factor behavior in the timelike region. But actually one should disentangle both contributions. To this aim, it is at least necessary to determine the \(Z\)-graph contribution explicitly. If the frame dependence of the form factors, consisting of valence and \(Z\)-graph contribution, should then be negligible, it is most likely that
cluster-separability-violating effects play a minor role like, e.g., asserted for nuclear systems [56]. If this is not the case, it would be unavoidable to eliminate cluster-separability-violating effects by starting from mass operators with correct cluster properties.

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**APPENDIX: BOUND-STATE WAVE FUNCTION AND MODEL PARAMETERS**

To calculate the bound-state currents, cf. Eq. (21) and Eq. (10), we use a simple harmonic-oscillator meson wave function,

$$\psi_\alpha(\kappa) = \frac{2}{\pi^{\frac{3}{2}}a_\alpha^2} e^{-\frac{\kappa^2}{a_\alpha^2}}, \quad \text{(A1)}$$

where the subscript $\alpha$ distinguishes the different mesons. We adopt the harmonic-oscillator parameters $a_\alpha$ and the quark constituent masses from Ref. [12], where these parameters were fitted to reproduced meson decay constants. They are collected in Table IV.

**TABLE IV. Model parameters.**

| Parameter | Value |
|-----------|-------|
| $m_B$     | 5.2793 GeV |
| $m_b$     | 4.8 GeV   |
| $a_B$     | 0.55 GeV  |
| $m_D$     | 1.869 GeV |
| $m_c$     | 1.6 GeV   |
| $a_D$     | 0.46 GeV  |
| $m_s$     | 0.1396 GeV|
| $m_u,d$   | 0.25 GeV  |
| $a_s$     | 0.33 GeV  |
| $m_K$     | 0.4937 GeV|
| $m_s$     | 0.4 GeV   |
| $a_K$     | 0.38 GeV  |

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