Hidden Effects of COVID-19 on Healthcare Workers: A Machine Learning Analysis

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Abstract

In this paper, we analyze some effects of the COVID-19 pandemic on healthcare workers. We specifically focus on alcohol consumption habit changes among healthcare workers using a mental health survey data obtained from the University of Michigan Inter-University Consortium for Political and Social Research. We use supervised and unsupervised machine learning methods and models such as Decision Trees, Logistic Regression, Naive Bayes classifier, k-Nearest Neighbors, Support Vector Machines, Multilayer perceptron, Random Forests, XGBoost, CatBoost, LightGBM, Synthetic Minority Oversampling, Chi-Squared Test and mutual information method to find out relationships between COVID-19 related negative effects and alcohol use changes in healthcare workers. Our findings suggest that some effects of the COVID-19 pandemic such as school closure, work schedule change and COVID-related news exposure may lead to an increase in alcohol use.

Keywords: The Covid-19 Pandemic, Healthcare workers, Alcohol Consumption Habit Changes, Machine Learning, Feature Selection, Data Analysis, Decision Trees, Logistic Regression, Naive Bayes Classifier, k-Nearest Neighbors, Support Vector Machines, Multilayer Perceptron, Random Forests, XGBoost, CatBoost, LightGBM, Synthetic Minority Oversampling, Chi-Squared Test, Mutual Information

1. Introduction

COVID-19, caused by a virus named SARS-CoV-2, was first discovered in December 2019 in Wuhan, China [1]. Since then, machine learning (ML) and artificial intelligence (AI) have been employed by various healthcare providers, scientists, and clinicians in medical industries in the fight against the novel disease. Researchers have utilized several advanced machine learning models...
and algorithms to tackle various issues related to the virus, and to better understand the pattern of viral spread.

Kushwaha et al. [3] discusses how ML algorithms can be used to understand the nature of the virus and predict the upcoming related issues. Lalmuanawma et al. [4] reviews the importance of AI and ML in screening, predicting, forecasting, contact tracing, and drug development for SARS-CoV-2 and its related epidemic. Benvenuto et al. [5] discusses an autoregressive integrated moving average model that can predict the spread of COVID-19. Using several datasets of the COVID-19 outbreak inside and outside Wuhan, Kucharski et al. [6] introduces a model that can explore the possible viral spread outside Wuhan.

The paper is structured as follows. In Section 2, we discuss the structure of the COVID Isolation on Sleep and Health in Healthcare Workers data and the process of preparing it for an analysis. In Section 3, we discuss unsupervised and supervised learning methods, algorithms, and the obtained results of a machine learning analysis on the relationship between the target variable (alcohol consumption habit changes among healthcare workers) and other variables. Section 4 discusses the findings of Section 3 in further details, and provides a few recommendations to alleviate the negative effects of COVID-19 among healthcare workers. Finally, Section 5 concludes the paper with a few closing remarks.

2. Data Resource, Data Preprocessing and Python Codes Resource

In this paper, we utilize survey data obtained from the University of Michigan’s Inter-university Consortium for Political and Social Research (ICPSR) titled COVID Isolation on Sleep and Health in Healthcare Workers. The data was collected by Deirdre Conroy [2] from the University of Michigan Department of Psychiatry and Cathy Goldstein from University of Michigan Department of Neurology. Rezapour and Hansen [7] consider Question 29a in the survey, which reads “Please tell us how your mood has changed?” as a target variable in their analysis. They utilize multiple multiclass classification machine learning models and techniques to find the most important factors (features) in predicting the mental health decline of healthcare workers. However, this paper focuses on Question 18a: “Please tell us how the amount of alcohol that you are consuming has change?” as the target variable. In this paper, we employ many supervised and unsupervised machine learning models and techniques to analyze the hidden effects of COVID-19 on alcohol consumption changes among healthcare workers.
The COVID Isolation on Sleep and Health in Healthcare Workers data is a tabular dataset with 915 rows (datapoints or participants) and 64 columns (features or attributes) such as StartDate, EndDate, IPAddress, etc. The dataset contains 14678 missing values with 480 missing values for Question 28a, 311 missing values for Question 29a, and so on. To prepare the dataset for a machine learning analysis with Question 18a as the target variable, we first remove all the rows with no value (answer) in the column corresponding to Question 18a. We then remove unrelated columns such as StartDate, EndDate, Status, etc. reducing the number of missing values to 1612. Most of the variables in the dataset are categorical (nominal or ordinal), but since this is a COVID-related analysis, we would prefer not to use machine learning techniques or models (e.g. KNN) to treat the missing values for the categorical variables. Instead, we directly remove the rows with too many missing values from the dataset, and as the result, we end up with 273 clean rows (with no missing value). Figure 1 displays columns and the first five rows of the cleaned dataset.

Since the mental health dataset contains categorical and ordinal variables, we first encode them to numbers, and we then use several encoding techniques such as one-hot-encoding or dummy variable encoding as well as several packages in Python such as OneHotEncoder, LabelEncoder or OrdinalEncoder from sklearn.preprocessing to prepare the dataset for analysis. Python codes for the data preparation process and other supervised and unsupervised machine learning analysis are available at [26].

3. Methods

In this section, we utilize many supervised and unsupervised learning models and methods to identify the most important (hidden) factors in alcohol consumption habit changes among health-
3.1. **Unsupervised Feature Selection**

In this subsection, we employ two unsupervised learning methods, Chi-squared test and mutual information, to find out the relationship between the target variable and the rest of variables.

3.1.1. **Chi-Squared Test**

Since the target variable and the majority of input variables are categorical (nominal or ordinal), we first apply a Chi-squared test under the null hypothesis $H_0$: Question 18a is independent of Question $i$, where $i \in \{2, 3, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29\}$ (categorical variables). Our goal is to determine whether the target variable, alcohol consumption habit changes, is independent of the input variables. By a significance level of $\alpha = 0.05$, it turns out that the null hypothesis $H_0$ is rejected for Questions 11: “Are children home from school in the house?” (p-value= 0.048), Questions 15: “Have you varied your work schedule?” (p-value= 0.037), and Questions 20: “In the last month, approximately how often did you have a drink containing alcohol?” (p-value= 0.000). It is obvious that there is a significant association between Question 18a and Question 20. But an interesting result is the existence of sufficient statistical evidence that leads to rejection of hypothesis that Question 18a is independent of Question 11. It raises a question about the relationship between COVID-related school closures and alcohol consumption habit changes among healthcare workers. By means of bar graph, Figure 2 displays the relationship between alcohol consumption habit changes and COVID-related school closures. One may consider parenting stress associated with COVID-related school closures as a hidden effect of the COVID-19 pandemic.
Another question that arises is whether there is a relationship between Question 29: “Has your mood changed?” and Question 20: “In the last month, approximately how often did you have a drink containing alcohol?” Since both variables are categorical (nominal or ordinal), we employ the Chi-squared test under the null hypothesis $H_0$ : Question 20 is independent of Question 29 with a significance level of $\alpha = 0.05$ to answer the question. It turns out that the null hypothesis is rejected with a p-value equals 0.025, which indicates that there is a statistically significant association between the shifts in mood and alcohol consumption frequency (see Figure 3).
3.1.2. Mutual Information

The mutual information method utilizes information gain to score features based on their importance. It can be used to measure the dependence of two variables. We use `mutual-info-classif` from `sklearn.feature-selection` in Python to find the most related features to Question 18a. It turns out that Question 20: “In the last month, approximately how often did you have a drink containing alcohol?,” Question 11: “Are children home from school in the house?” and Question 15: “Have you varied your work schedule?” obtain the highest scores among all features.

Like Chi-squared test, the mutual information method also emphasizes that there exists a relationship between the parenting stress associated with COVID-related school closures and alcohol consumption changes. The parenting stress might contribute to an increase in alcohol consumption and a decline in the mental health of healthcare workers too. The findings in [7] indicate that alcohol consumption is an important feature (factor) that may contribute to a decline in the mental health of healthcare workers.

3.2. Supervised Feature Selection

In this section, we apply supervised machine learning models and techniques to find the most important factors of alcohol consumption changes among the healthcare workers during COVID-19. Notice that Question 18a has two classes, which implies that binary classifiers must be employed for analysis. We consider an accuracy score threshold to determine whether a feature score analysis for a trained model is worthwhile or not. If accuracy score of a supervised model is above (or close to) 95%, we analyze its feature importance scores.

3.2.1. Logistic Regression

Logistic regression [9][10] is one of the simplest supervised learning classification algorithms that can be used for a binary classification. Using logistic regression model, we can find out the relationship between the target variable and input variables because not only does it give inference about the importance of each feature, but it also gives the direction of association. It turns out that Logistic regression accuracy on our test set is 89.01% (see Figure 4), which prevents us from diving into further details about feature importance scores of this model.
3.2.2. Support Vector Machines

Support vector machines (SVM) [11, 12] is another supervised learning algorithm that can be used for both regression and classification. It is very effective in cases where the number of features is greater than the number of datapoints. SVM algorithm can be used for binary classification where it finds a separating line (or hyperplane for higher dimensions) between datapoints of two classes. A SVM algorithm finds the optimal separating hyperplane by finding the closest points (support vectors) to the hyperplane, and then maximizing the distance (margin) between the hyperplane and the support vectors. The interesting strategy that SVM algorithm utilizes for binary classification is that if the data is not linearly separable, then SVM transforms the data to a higher dimensional space, where the transformed data is linearly separable. It turns out that SVM accuracy on test set is 86.81%, which is below our threshold for feature importance analysis (see Figure 4).

3.2.3. Multilayer Perceptron

Multilayer perceptron (MLP) [13, 14, 15, 16, 17, 18] is a class of feedforward artificial neural-networks that contains three types of layers (the input layer, hidden layers and output layer). In an MLP, the data flows from input to output layer, and it can be used for binary classification problems whose data is not linearly separable. One of advantages of using MLP is that it does not make any assumption regarding the underlying probability density functions. Despite MLP achieves a better accuracy on test set, 90.11%, it does not satisfy our criteria for feature importance analysis (see Figure 4).

3.2.4. K-Nearest Neighbors

The k-nearest neighbors (KNN) algorithm [19] is a simple supervised learning algorithm that can be used for both classification and regression. For a classification, the KNN algorithm finds the distance (e.g. Euclidean) between a query and all datapoints, and it then makes a sample space with the k nearest datapoints to the query, and it finally returns the majority class as the prediction for the class of the query. The KKN algorithm is often slow, computationally expensive, and it may not work well with large datasets. Like the previous supervised models, KNN accuracy on test set, 85.71%, is not good enough for going through further details of the results obtained by the model (see Figure 4).
3.2.5. Decision Tree

Decision trees (DT) [19] are nonparametric supervised learning models that can be used for both classification and regression. A DT contains nodes (the root node, intermediate nodes, and leaf nodes) and branches. For categorical decision trees, certain metrics, such as the Gini index or the Entropy, are used to split the training data into smaller and smaller subsets containing data points that are more homogenous. A DT can be used for finding out the relationship between the target variable and the input variable, or feature selection. It turns out that DT accuracy on the test set is 91.21% (see Figure 4), but it is not satisfactory.

![Figure 4 Accuracy scores of some supervised machine learning models](image)

3.2.6. Extreme Gradient Boosting (XGBoost)

XGBoost [20, 21] is a decision-tree based ensemble supervised learning algorithm that follows the principle of gradient boosting, but it is more regularized. XGBoost was initially introduced to improve GBM’s training time, and it combines the estimates of a set of decision trees (weaker learner) to predict the output of a target variable. In the learning process, XGBoost minimizes a regularized loss function. In the learning process, new decision-trees are added iteratively, one
by one, in order to correct the prediction of the previous trees in the model. XGBoost algorithm has several hyperparameters such as number of trees, depth of each tree and number of datapoints used to train the model. To control number of datapoints used for training process, we combine a XGBoost and \( k \)-fold cross-validation \([42]\). Figure 5 displays accuracy scores of a XGboost with 100 trees with depth 3, and \( k \)-fold cross-validation, where \( k \) changes between 2 and 40.

![Figure 5](image)

**Figure 5** Accuracy scores of XGboost (100 trees, depth=3) \( k \)-fold cross validation when \( k \) is changing between 2 and 40

Figure 5 indicates that a XGboost with 100 trees with depth 3 and \( k = 9 \) cross-validation returns more accurate predictions. The accuracy of the model is equal to 95.5\%. Figure 6 displays the feature importance scores of the model. Figure 7 spells out why the feature importance score of Question 18 is zero.

![Figure 6](image)

**Figure 6** Feature scores of XGboost with 100 trees, depth equals 3, and \( k = 9 \) cross-validation
Question 19: “In January 2020, approximately how often did you have a drink containing alcohol?” Question 20: “In the last month, approximately how often did you have a drink containing alcohol?” and Question 17: “Has the number of naps you are taking changed?” are the most important features of XGBoost. However, to get a good understanding of other features and find out the relation between alcohol consumption habit changes and other variables, we remove Questions 19 and 20, and retrain a new XGBoost on the remaining. As the result, the feature importance scores of XGBoost in absence of Questions 19 and 20 are shown in Figure 8.

Figure 8 indicates that Question 16: “Have your sleep patterns changed?” is the most important
feature of the new model. It seems that there is a relationship between the sleep pattern and alcohol consumption habit changes among healthcare workers.

3.2.7. LightGBM

LightGBM [23] is another leaf-wise decision-tree based algorithm, and it works based on two novel techniques, Gradient-based One-Side Sampling (GOSS) and Exclusive Feature Bundling (EFB). The GOSS reduces the complexity by down sampling to remove examples with small gradients. The EFB improves the speed of the algorithm and reduces the complexity of it by down sampling features. In other words, the EFB bundles exclusive features into a single feature to reduce the complexity. LightGBM may outperform XGBoost, and it is often faster than XGBoost.

One of the most important hyperparameters of LightGBM is number of trees. To find the most robust and accurate model, we tune number of trees between 2 and 40 while the number of leaves and the depth of each tree is left with no limit. Figure 9 displays the accuracy scores of LightGBM as number of trees changes. It turns out that LightGBM with 20 trees performs better with an accuracy score of 94.51%. Figure 10 displays the feature importance scores of LightGBM with 20 trees.

![Figure 9 Accuracy of LightGBM for many different numbers of trees](image)

Figure 9 Accuracy of LightGBM for many different numbers of trees.
We now control the number of leaves and the depth of trees to see whether we can improve the performance of LightGBM. Figure 11 displays the accuracy scores of LightGBM with 20 trees as the depth of each tree and number of leaves change. Note that, we define number of leaves to be twice as large as the maximum depth of trees.

It turns out that LightGBM with 20 trees, where the depth of each tree is 4, obtains an accuracy of 95.6%. Figure 12 displays the feature importance scores of the LightGBM with 20 trees with depth 4.
Figure 12 indicates that LightGBM is significantly dependent on Questions 19 and 20 in the training process. To allow the model to explore further in details of other features, we remove questions 19 and 20 and retrain LightGBM on the remaining features. Figure 13 displays the feature scores of LightGBM with 20 trees in absence of Questions 19 and 20.

3.2.8. CatBoost

CatBoost \cite{catboost} is another algorithm for gradient boosting on decision trees. It is a weighted sampling version of Stochastic Gradient Boosting that uses one-hot-encoding for categorical data. Cat-
Boost utilizes two feature importance methods, the prediction-value-change and the loss-function-change. The prediction-value-change sorts features based on obtained prediction changes if a feature value changes. On the other hand, the loss-function-change sorts features based on the difference between loss value of the model with and without a feature. Number of trees and the depth of each tree are two important hyperparameters of CatBoost. Figure 14 displays accuracy scores of CatBoost model while number of trees changes and the depth of each tree is fixed to be 6. It turns out that CatBoost with 11 trees reaches the maximum accuracy of 95.3%. Figure 15 displays feature scores of CatBoost with 11 trees.

Like XGBoost and LightGBM, CatBoost also makes its prediction mostly based on Questions 19 and 20. To find out the relationship between the target variable and other input variables, we
remove Questions 19 and 20, and retrain CatBoost with 11 trees on the remaining features. Figure 16 displays feature scores of CatBoost in absence of Questions 19 and 20.

The most important features of the retrained CatBoost model are:

- Question 24: ‘How many hours of COVID-related news or social media are you consuming on average per day?”
- Question 2: “What is your gender?”
- Question 28:” Has the amount of food you have been eating per day changed?”
- Question 1: “What is your age?”

3.2.9. Synthetic Minority Oversampling Technique (SMOTE)

SMOTE [24] is a statistical oversampling technique for increasing the number of cases in minority classes to alleviate the challenges of an imbalanced dataset. As Figure 17 illustrates, there is a significant difference in the distribution of the cases in classes of Question 18a. The dataset is biased toward the class “I am drinking more alcohol” that may cause a poor performance.
We apply SMOTE with a random forest containing 100 trees (the depth of each tree is 8). We first apply SMOTE to the dataset, then split the new dataset into training and test sets and finally we train the model on the new training set and calculate accuracy of the model on the test set (see Figure 18).

The accuracy score of the model is 96.79%. Figure 19 displays feature importance scores of the model. As Figure 19 illustrates, Questions 19, 20, 15 and 11 are the most important features of the model.
4. Discussion

As it is expected, Question 20: “In the last month, approximately how often did you have a drink containing alcohol?” is the most important feature for all supervised learning algorithms, Chi-Squared test and Mutual-Information method. Moreover, Question 19: “In January 2020, approximately how often did you have a drink containing alcohol?” is the second important feature in supervised learning algorithms. But the relationship among Question 18a, Question 19 and Question 20 is clear.

One of the most important features that appears in unsupervised learning methods as well as some supervised learning algorithms is Questions 11: “Are children home from school in the house?.” It raises a question about the relationship between COVID-19 associated school closure and alcohol consumption habit changes among healthcare workers. There may be a strong relationship between COVID-related school closure, parenting stress and alcohol consumption that requires the deep research of the topic. Avery et al. [35] examines changes in alcohol use associated with stress, and anxiety during the COVID-19 pandemic. The findings in [35] may justify the relationship between parenting stress and alcohol consumption. Therefore, we may make a conjecture about the relationship: the school closure associated with the COVID-19 pandemic can be associated with an increase in stress and anxiety among healthcare workers, which may lead to an increase in alcohol consumption as a coping mechanism. To alleviate hidden effects of COVID-19, e.g. parenting stress,
on healthcare workers, some operational strategies might be used in schools to reduce the spread of COVID-19 and maintain safe operations in school during the COVID-19 pandemic.

Both Chi-Squared test and Mutual-Information method as well as some supervised learning algorithms imply that Questions 15: “Have you varied your work schedule?” is not independent of alcohol consumption habit changes among healthcare workers. As the COVID-19 pandemic continues, healthcare workers are more in need to work shifts, and consequently healthcare workers who work shifts may consume more alcohol than dayworkers. A study from The Ohio State University College of Nursing [27] reveals how the COVID-19 pandemic associated long shifts has impacted nurses working. The findings in [27] may be used to justify alcohol use changes due to work-related stress associated with COVID-19 among healthcare workers. In another study by Cooper et al. [37], it is shown that work stressors lead to increased distress, which in turn promotes problematic alcohol use. The findings in [37] also reveals the relationship between work-related stress and an increase in alcohol consumption among healthcare professionals during the COVID-19 pandemic.

Next feature that is selected by XGBoost and LightGBM is Question 17: “Has the number of naps you are taking changed?.” Moreover, Question 16: “Have your sleep patterns changed?” is selected by XGBoost as an important feature. One way that we can justify the relationship between alcohol consumption changes and sleep pattern changes is to give close and thoughtful attention to the findings of [28]. According to a study named Alcohol Alert by the National Institute on Alcohol Abuse and Alcoholism [28], alcohol consumption can change sleep patterns. One way that healthcare workers may choose to cope with the COVID-19 associated parenting stress and long shifts is by turning to alcohol, which leads to a change in sleep pattern. So, to reduce sleep problems associated with COVID-19 among healthcare workers, some psychology-based strategies may be used in hospitals to decrease work-related stress and the usage of alcohol in healthcare workers and keep them safe.

LightGBM and CatBoost select Question 24: “How many hours of COVID-related news or social media are you consuming on average per day?” as one the most important features in their training process. Buchanan et al. [29] examines the emotional consequences of exposure to COVID-related news. The findings of [29] can help us have a better understanding on the relationship between COVID-related news exposure and alcohol use changes. Due to their job, healthcare workers seek out COVID-19 information as a means of coping with challenging situations, and as a result they put themselves in stressful situations. Strainback et al. [30] also explores COVID-related news effects
on mental health. Their findings show that COVID-19 media consumption leads to psychological distress, which may cause an increase in alcohol consumption. Thus, we can make a conjecture: the stress resulting from COVID-19 related news exposure may produce changes in drinking behavior.

Question 28: “Has the amount of food you have been eating per day changed?” is another important feature that is selected by LightGBM and CatBoost. An increase in alcohol consumption can cause changes in a healthcare worker diet. People who drink alcohol more frequently may choose less healthy food options that are high in fat and sugar [31]. Moreover, each gram of pure alcohol has 29 kilojoules of energy [32], and when it is mixed with sugary drinks, it contains even more calories. Since alcohol is absorbed directly in the bloodstream [33] that can lead to immediate changes on the amount of food that people eat.

Finally, Question 1: “What is your age?” and Question 2: “What is your gender?” are selected as important features by LightGBM and CatBoost. Lavretsky et al. [34] examines stress-related changes associated with aging and sex differences. Their results can help us have a better understanding about the relationship between alcohol consumption changes due to COVID-related stress, aging and gender differences. Novais et al. [36] also examines the effects of age and sex differences in the stress response. Their findings agree with the findings of [34] and lead to the same result. Therefore, regarding the relationship between age and alcohol consumption changes, we may utilize the findings of [38] to make a conclusion: the susceptibility to stress differs between young and old people, and may lead to different stress responses e.g., turning to alcohol to cope with stressful situations. Regarding the relationship between gender differences and alcohol consumption changes, we may give a close attention to the results of [39] and make a conclusion: men and women tend to react differently with stress, both psychologically and biologically, and may lead to different stress responses.

Figure 20 displays a network that can illustrate relationships between the hidden effects of the COVID-19 pandemic and alcohol consumption changes. Psychological researchers, mental health experts and COVID-19 experts may conduct research on possible ways to reduce the hidden effects of the COVID-19 pandemic on healthcare workers. With the emergence of new variants of COVID-19 such as the Omicron, we may learn from our past to alleviate the severe effects of COVID-19 on healthcare workers.
5. Conclusion and Future Work

In this paper, we have examined the effects of the COVID-19 pandemic on alcohol consumption habit changes among healthcare workers using a mental health survey data obtained from the University of Michigan Inter-University Consortium for Political and Social Research. We have utilized several supervised and unsupervised machine learning methods and models such as Decision Trees, Logistic Regression, Naive Bayes classifier, k-Nearest Neighbors, Support Vector Machines, Multilayer perceptron, Random Forests, XGBoost, CatBoost, LightGBM, Synthetic Minority Oversampling, Chi-Squared Test and mutual information method to find out how the COVID-19 pandemic causes changes in alcohol use and stress. Through the interpretation of many supervised and unsupervised methods applied to the dataset, we have concluded that some effects of the COVID-19 pandemic such as school closure, work schedule change and COVID-related news exposure may lead to an increase in alcohol use.

In future work, we are interested in analyzing more data related to healthcare workers to examine
the relationship between their mental health and other factors related or unrelated to COVID-19. We are also interested in implementing other optimization methods such as those that are introduced in [40] and [41] to see whether accuracy or speed of some models, which were utilized in this paper, can be improved.

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