ABSTRACT: It is a generally accepted perspective that type-II nanocrystal quantum dots (QDs) have low quantum yield due to the separation of the electron and hole wavefunctions. Recently, high quantum yield levels were reported for cadmium-based type-II QDs. Hence, the quest for finding non-toxic and efficient type-II QDs is continuing. Herein, we demonstrate environmentally benign type-II InP/ZnO/ZnS core/shell/shell QDs that reach a high quantum yield of ∼91%. For this, ZnO layer was grown on core InP QDs by thermal decomposition, which was followed by a ZnS layer via successive ionic layer adsorption. The small-angle X-ray scattering shows that spherical InP core and InP/ZnO core/shell QDs turn into elliptical particles with the growth of the ZnS shell. To conserve the quantum efficiency of QDs in device architectures, InP/ZnO/ZnS QDs were integrated in the liquid state on blue light-emitting diodes (LEDs) as down-converters that led to an external quantum efficiency of 9.4% and a power conversion efficiency of 6.8%, respectively, which is the most efficient QD-LED using type-II QDs. This study pointed out that cadmium-free type-II QDs can reach high efficiency levels, which can stimulate novel forms of devices and nanomaterials for bioimaging, display, and lighting.

KEYWORDS: indium phosphide, quantum dots, type-II band alignment, liquid LED, color conversion

INTRODUCTION

Semiconductor nanocrystal (NC) quantum dots (QDs) have attracted significant attention for light-generating devices such as luminescent solar concentrators,4,5 light-emitting diodes (LEDs),4−7 and lasers.8,9 They show advantageous properties such as tunable emission color due to the quantum confinement effect, high photochemical stability, and solution processability.10−12 Especially, high photoluminescence quantum yield (PLQY), defined as the ratio of the number of photons emitted to the total number of generated excitons, is a key figure of merit. To reach high PLQYs, type-I QDs with straddling alignment that localize the electron and hole inside the core are the most widely investigated heterostructures.

Alternatively, type-II QDs have conduction and valence band extrema in different materials, and it is a generally accepted perspective that type-II QDs result in low PLQYs. So far, a wide variety of type-II heterojunctions composed of CdSe, CdS, CdTe, and ZnTe (e.g., CdTe/CdSe and ZnTe/CdSe) have been examined.14−17 However, their PLQY remained low, which is attributed to the spatial separation of the electrons and holes that leads to the increase in the nonradiative recombination rates and decrease in PLQY. Recently, high PLQY values of 61,18 68,19 and 88%20 were achieved with ZnSe/CdS/ZnS, CdSe/CdTe, and CdₓZn₁−ₓS/ZnSe/ZnS type-II QDs, respectively. However, the aforementioned type-II QDs have highly toxic heavy metal of cadmium.21−23 Alternatively, there were also a few cadmium-free QDs (e.g., ZnTe/ZnSe) investigated, but their PLQY reached up to 36%.24,25 Therefore, the quest for finding efficient and Cd-free type-II QDs, which can find widespread use to form environmentally benign light-generating devices and biocompatible luminescent markers, is continuing.

In this work, we demonstrate InP/ZnO/ZnS core/shell/shell QDs, which have type-II staggered line-up heterojunctions. We synthesized the ZnO shell with thermal decomposition and grow subsequent multiple ZnS shells with the Successive Ionic Layer Adsorption (SILAR) method. We optimized the ZnO and ZnS layer thickness that led to a high efficiency PLQY of 90.8%.

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Moreover, QDs were integrated in the liquid state on blue LEDs and QD-LEDs, demonstrating an external quantum efficiency (EQE) and a power conversion efficiency (PCE) of 9.4 and 6.6%, respectively.

**RESULTS AND DISCUSSION**

**Synthesis of InP/ZnO/ZnS Core/Shell/Shell QDs.** InP core QDs were synthesized via a hot-injection method. For that, zinc undecylenate was used to passivate InP cationic dangling bonds and decrease trap states, and oleylamine (OAM) and oleic acid (OA) were used as stabilizing agents. In brief, indium chloride (InCl$_3$), OAM, OA, and zinc undecylenate were dissolved in the 1-octadecene (ODE) solvent in a glovebox at room temperature. After degassing and heating to 200 °C, tris(trimethylsilyl)phosphine [P(TMS)$_3$] was injected into the reaction mixture to initiate the nucleation and growth. To generate a type-II band alignment, the ZnO shell was selected; by considering the successful growth of CdTe/ZnSe core/shell QDs with a lattice mismatch of 14%, ZnO growth on InP with a lattice mismatch of 11% is also feasible. In this regard, ZnO was grown on the InP core by thermal decomposition of zinc acetylacetonate [Zn(acac)$_2$]$_2$. During the synthesis, OAM was used as the stabilizing agent in order to hinder ZnO aggregation. Furthermore, different amounts of ZnO precursor solution was added for reaching the highest PLQY (see Table S1). To further increase the PLQY, we performed ZnS growth on the InP/ZnO core/shell structure using a SILAR technique. For that, the reaction solution of InP/ZnO was cooled to 170 °C, zinc stearate−ODE [Zn(St)$_2$−ODE] and sulfur−trioctylphosphine (S−TOP) stock solutions were added to reaction solution, and temperature was increased to 250 °C for 30 min. Similarly, to grow additional ZnS shells, the temperature followed the same thermal cycling.

**Quantum Mechanical Calculations and Optical Analysis.** To understand the optical properties of the synthesized QDs, we calculated their quantum mechanical properties by self-consistently solving Poisson−Schrodinger equations in the effective mass approximation (Figure 1b−d) and correlated them with the optical measurements (Figure 2). InP core QD has type-I band alignment that led the confinement of electrons and holes in the same spatial core region with a high overlap of the wavefunctions of ~90% (Figure 1b). After ZnO shell growth, the band alignment of the nanostructure transit from type-I to type-II, and while hole wavefunction is confined completely in the core region like in InP core QD, electron wavefunction expands toward the ZnO shell that reduces the wavefunction overlap to 60% (Figure 1c). Since the delocalization of the electron decreases the confinement energy level within the conduction band and the exciton binding energy, the photo-
luminescence peak red-shifted from 585 to 613 nm, while the full width at half-maximum (fwhm) was maintained at ∼74−75 nm for core and core/shell nanostructures. We investigated the PLQY of ZnO shell growth under different ZnO molar ratios, PLQY reached 25.8% (Figure 2aa n ds e e Supporting Information, Table S1).

Afterward to reach higher PLQYs, a variety of ZnS shell thickness was investigated. From 1 to 4 cycles of ZnS shell growth, the PLQY increased due to effective confinement of electron−hole pairs in the InP−ZnO nanostructure and surface passivation (Figure 2c).35 After the fourth growth cycle of ZnS, PLQY of InP/ZnO/4ZnS NCs (QD-4ZnS NCs) started to decrease, possibly due to higher interfacial strain caused by dislocations.29,36 By the fourth ZnS shell, PLQY reached the maximum level of 90.8%. QD-4ZnS showed a PL peak at 618 nm, which is slightly red-shifted (5 nm) with respect to InP/ZnO QDs due to the lower wavefunction overlap of 49%. We also investigated the effect of ZnO and ZnS shell growth on the normalized quenching factor \[ R \times (1-\text{PLQY}) \] for core and core/shell structures to select the appropriate nanostructure (Figure 2b).

Structural Analysis. To understand the size and shape of the QDs, we performed small-angle X-ray scattering (SAXS) measurements in ethanol38 by probing up to 10⁶ individual particles. The scattering data after subtracting the ethanol background are shown in Figure S1, which are plotted versus the reciprocal scattering vector \( q \). As shown in Figure 3a, we plotted instead of the reciprocal form factor \( P(q) \) directly its Fourier transform, the pair distance distribution function (PDDF) in real space.38,39 The PDDF is the distribution of all distances within all single particles of the probed sample volume, which also contains the mean particle dimensions of the whole ensemble. From the maximum dimension found within the PDDF, we derive the maximum dimension found within the particle ensemble. The bell-like PDDFs of the InP-core and the InP/ZnO core/shell QDs indicate spherical QD shapes, whereas the asymmetric shape of the QD-4ZnS-PDDF is a hint for an elongated mean shape. The change from a spherical shape for the InP and InP/ZnO QDs to a more elliptical one for the QD-4ZnS NCs can also be seen in the fact that only the first two SAXS curves could be fitted with monomodal distributions of spheres, as shown in Figure 3b. In these distributions, we derive from the peak positions directly the mean radii and hence the mean diameters of the QDs, from the widths of the size distributions. For the InP-core, we get a spherical diameter of 3.6 ± 0.8 nm and for the InP/ZnO core/shell QDs 4.4 ± 0.9 nm with size distributions between 9 and 10%, which is in excellent accordance with the transmission electron microscopy (TEM) data shown in the inset of Figure 3c,d. The asymmetric PDDF of QD-4ZnS NCs, however, indicates a prolate like mean particle shape.40 The short diameter is only a bit larger than the spherical diameter of 4.4 nm found for the InP/ZnO NCs as can be deduced from the slightly shifted peak of the QD-4ZnS-PDDF with respect to InP/ZnO-PDDF (see Figure 3a). The long axis is derived from the long tail within the PDDF, from which we deduce maximum values between 9 and 10 nm. Most of the QDs within the ensemble depict long-axis values between 6 and 7 nm, which is within the broader mean size distribution found by TEM with a maximum at 7.02 ± 0.9 nm (Figure 3e).

The elongated shape of the QD-4ZnS NCs together with their chain-like fractal aggregation (see Figure S1) can be explained by a slightly inhomogeneous growth of the ZnS shell, resulting in an overall prolate like NC shape. This kind of elliptical NC shape can be found also for other core/shell NC systems with thick shells, where the shell growth along specific crystallographic directions is energetically favored.41 The ellipsoidal shape of...
nanoparticles can potentially lead to radiation polarization and additionally allowed transitions forbidden in spherical QDs.

The crystal structure of the resulting QDs was investigated by analyzing the XRD patterns (Figures 3f and S2). The black vertical dashed lines and black crosses mark the positions of the InP bulk peaks (ICDD 00-032-0452), the blue crosses of hexagonal ZnO (ICDD 00-036-1451), and the red crosses mark the positions of the cubic sphalerite phase of ZnS (a = 5.4093 Å ICDD 00-036-0309), respectively. From the only core QDs, we observed that the crystal structure corresponds to zinc blende (a = 5.869 Å, ICDD 00-32-0452). For InP/ZnO NCs, we still observed the InP zinc blende crystal structure, but due to the thin ZnO shell (~0.2–0.3 nm), its diffraction peak (ICDD 00-36-1451) cannot be detected. This is not surprising as only one monolayer (ML) shell material on a crystalline core can grow epitaxially by maintaining the lattice constant of the core. For the InP/ZnO/ZnS NCs, a shift of the InP peaks occurred in the direction of the position of ZnS in the cubic sphalerite phase (ICDD 00-036-0309). This shift can be explained by the situation that the first MLs of the ZnS shell initially maintains epilayer registry with the lattice parameter of InP and with subsequent ML of ZnS, tends to reach its bulk lattice constant. Because of the cubic lattice constant of ZnS is smaller than that of InP, the InP core is compressively strained, reflected by peak shifts to larger Q-values or diffraction angles (see Figure 3f). Additionally, we observe different peak-shift values as well as peak width values for the InP core along different crystallographic directions, indicating that the influence of the ZnS shell on the InP core is not isotropic. This result is suggestive of asymmetric ZnS shell growth as already derived from SAXS analysis.

X-ray photoelectron spectroscopy (XPS) analysis was performed to confirm the elemental surface chemistry of the InP core, InP/ZnO core/shell, and QD-4ZnS core/shell/shell NCs, respectively. For the analysis, all of the peaks have been spectrally corrected according to the carbon-1s standard peak. For the InP core QDs, the In 3d spectrum exhibits two peaks located at 444.5 eV (3d5/2) and 452.1 eV (3d3/2) (Figure 3g,h), which can be assigned to InP. The P 2p spectrum shows two doublets, which are related to the two different chemical environments of the phosphorus atoms. The first pre-dominant doublet that occurs at 127.8–128.9 eV (2p1/2,3/2) is the characteristic peak for InP. The doublet in the 132.4–133.4 eV range is associated with the P atoms in an oxidized medium, most probably InPOx. In the XPS spectra of InP/ZnO core/shell QDs, the peaks corresponding to Zn 2p are observed which indicates the Zn2+ bound to oxygen in the ZnO. For the InP/ZnO core/shell QDs, the In 3d peak shifts to higher binding energies located at 444.7 eV (3d5/2) and 452.5 eV (3d3/2), compared to the InP core QD. Furthermore, shifting to higher binding energies located at 127.9 and 132.4 eV also occurs in P 2p spectrum (Figure S3b). Similarly, the O 1s peak shifted to higher binding energy from 530.9 to 531.3 eV after formation of the InP core and InP/ZnO core/shell QDs, InP/ZnO core/shell, and QD-4ZnS core/shell/shell NCs. XPS analysis of InP core QDs, (g) In 3d spectrum and (h) P 2p spectrum.

Ultrafast Decay Dynamics. The effect of shell growth on the ultrafast decay dynamics and the nonlinear absorption of QDs was further investigated using femtosecond pump–probe spectroscopy. In the experiments, the QD solutions (InP core, InP/ZnO core/shell, and QD-4ZnS core/shell/shell NCs) were excited with 320 nm, femtosecond pump pulses (incident fluence is 480 μJ·cm−2) and the difference between the pumped and unpumped absorbance (ΔA) of the QDs was measured over the 450–800 nm spectral range using a femtosecond visible continuum probe. Figure 4 summarizes the results of the

Figure 3. (a) PDDFs of the InP core (black), InP/ZnO core/shell QD (blue), and QD-4ZnS core/shell/shell NCs (red), as resulting from the P(q)-curves shown in Figure S1b. (b) Distributions of spheres that can describe the measured SAXS curves of the InP core and InP/ZnO-core/shell QDs. Size distribution histograms of the (c) InP core (inset: TEM image, scale bar is 2 nm), (d) InP/ZnO core/shell (inset: TEM image, scale bar is 2 nm), and (e) QD-4ZnS core/shell/shell NCs (inset: TEM image, scale bar is 2 nm) by high-resolution TEM measurements. Total number of 200 QDs are counted for size distribution. (f) XRD of InP, InP/ZnO, and InP/ZnO/4ZnS core/shell/shell NCs, (g) In 3d spectrum and (h) P 2p spectrum.
femtosecond transient absorption (TA) measurements. Measured $\Delta A$ spectrum, temporal evolution of $\Delta A$ at the wavelength of maximum bleaching, and two-dimensional variation of $\Delta A$ as a function of probe delay and wavelength for: InP core (a–c), InP/ZnO (d–f), and QD-4ZnS (g–i) NCs for InP, InP/ZnO, and QD-4ZnS NCs, respectively. Measured TA spectra of (a) InP core; (d) InP/ZnO and (g) QD-4ZnS at different probe delays. The excitation wavelength was 320 nm. The measured peak wavelength of the nonlinear absorption changed and matched the bleach peak after the shell growth which can be explained by the reduction of the carrier-trap-induced stark effect (Figure 4a,d,g). The TA measurements due to the intra-band decay of the excited carriers to the conduction band edge (Figure 4a,d,g). The peak wavelengths of the measured $\Delta A$ spectra of the QDs exhibited a red-shift as a function of the probe delay and wavelength (Figure 4a,c,e). The peak wavelengths after the shell growth reduced the trap states and hence, $\tau_1$ and $\tau_2$ increased to 1.5 and 76.5 ps, respectively. The multiple ZnS shell growth caused further trap passivation, resulting in short ($\tau_1$) and long ($\tau_2$) lifetimes of 3.4 and 84.3 ps, respectively. (c,f,i) Surface plots of the measured $\Delta A$ with respect to the probe delay and wavelength.

LED Applications. To prevent any efficiency drop due to the host material effect, we designed an architecture that can maintain QD solution in the liquid state on top of the blue LED (Figure 5a). For that we used a transparent polymeric lens made of polydimethylsiloxane (PDMS) due to its scalable and low cost fabrication. We placed the lens on top of the blue LED chip and sealed the edges with the UV-curable polymer. After the curing process was finished, we injected red-emitting QDs using a microsyringe into the blue LED capped with a PDMS polymeric lens. When the blue LED is turned on, the blue electroluminescence generated from the blue LED die optically pumps the QDs in the solution state, which generates photoluminescence in the red spectral region (Figure 5a,b). To analyze the optical performance of the fabricated QD-LED, we injected the QD solution with different optical densities. While the optical density increases, red emission becomes stronger in comparison with the blue electroluminescence.
the sequential growth of ZnO and ZnS shells led to a high PLQY of 90.8%. ZnO and ZnS shell formation were confirmed by optical analysis that is supported by quantum mechanical simulations. Moreover, SAXS measurements showed that the ZnS shell induced the transition of the NC ensemble from spherical to elliptical shapes. Finally, we integrated the core/shell/shell QDs into LED die in the liquid form to reduce the host-material effect. The fabricated liquid-LED device demonstrated 9.4% of EQE and 6.8% of PCE, respectively, which is the most efficient type-II QD-based LED reported till date. Type-II QDs show high promise for future bioimaging, display, and laser applications.

**EXPERIMENTAL SECTION**

**Chemicals.** Zinc undecylenate (99%), OA (99%), OAM (99%), ODE (90%), indium(III) chloride (InCl3) (99%), P(TMS)3 (95%), Zn(S)2, TOP, sulfur (S) (reagent grade, powder, purified by refining, ~100 mesh particle size), and zinc acetylacetonate hydrate [Zn(acac)2] (99.995%) were purchased from Sigma-Aldrich. ODE was purified at 120 °C by evacuating and refilling repeatedly with nitrogen for 1 h. All the procedures were performed in a glovebox under a nitrogen atmosphere.

**Synthesis of InP Core.** First, 43 mg of zinc undecylenate, 32 μL of OA, 66 μL of OAM, and 22 mg of InCl3 were mixed in 3 mL of ODE and heated to 120 °C. The flask was degassed 20 min at 120 °C and refilled with nitrogen. Afterward, it was heated to 200 °C, and 500 μL of phosphine stock solution [P(TMS)3–ODE 0.2 mmol mL–1] was rapidly injected and mixed 30 min at 200 °C. Then, the solution was cooled to 80 °C, and an aliquot was taken from the sample.

**Synthesis of InP/ZnO and QD-42ZnS Core/Shell/Shell NCs.** In order to prepare the ZnO stock solution, 245 μL of OAM, 8 μL of OA, and 6.5 mg of Zn(acac)2 were mixed in 1.6 mL of ODE at 80 °C. 500 μL of ZnO stock solution was added to InP core solution at 80 °C and heated to 250 °C. It was mixed 30 min at this temperature and cooled to ~170 °C. For the zinc (Zn) and sulfur (S), precursor solutions were prepared separately. 510 mg of Zn(S)2 and 27 mg of S powder were dissolved in 8 mL of ODE and 8 mL of TOP, respectively. It should be noted that for fully dissolving sulfur in TOP, we applied heat which was around 100 °C. After preparation of stock solutions, they were added to InP/ZnO solution in a row and heated to 250 °C. The solution was mixed at 250 °C for 30 min. For each shelling procedure, the same method was employed. The injection amounts of precursors are shown in Table S2.

**Purification and Storage.** After synthesis, QD solution was directly centrifuged at 9000 rpm for 10 min twice. The precipitated part was removed from the QD solution, and ethanol was added to the solution until it became turbid and centrifuged again with same parameters. The precipitated QDs were dispersed in hexane and kept at 4 °C and covered with the aluminum foil.

**Lens Making Procedure.** In order to make hemispherical lens with outer diameter of 9 nm and inner diameter of 7 nm, the PDMS-SYLGARD 184 elastomer was mixed with the SYLGARD 184 curing agent with a ratio of 10:1, and then, the mixture was stirred vigorously until the bubbles appear. Afterward, to dispel the bubbles completely, the mixture was degassed 20 min. The mixture was poured into the aluminum mold and cured at 70 °C 1 h. After curing, lens was taken out from the mold. The thickness of the as-prepared lens is 1 mm.

**Fabrication of LED Devices and Close-Packed LEDs.** Before soldering of the electrical wires for connection to the voltage supply, the blue chip was mounted on board. Afterward, PDMS lens was attached on LED by using an NOA 68 UV-curable polymer. To cure LEDs with PDMS, lens were kept under UV radiation at 365 nm for 20 min. To prevent any leakage from the PDMS lens, dripping of the curable polymer and keeping under radiation were performed two times.

**LED Measurements.** For LED measurements, an EP-B4040F-A3 InGaP/GaN blue LED chip from Seoul Company with an illumination wavelength at 465 nm was used. LED measurements were performed with a multi-port Ocean Optics integrating sphere. The detector was an
Ocean Optics Torus with an optical resolution of 1.6 nm fwhm. The signal to noise ratio was 250:1. The EQE was calculated based on the literature, as mentioned above.

**Structural and Optical Characterizations of QDs.** Powder X-ray diffraction (XRD) measurements of the InP, InP/ZnO, and QD-4ZnS NCs were performed by a Bruker D8 eco X-ray diffractometer (λCuKα = 1.54 Å) with a scan speed of 0.14° min⁻¹. Before XRD measurements, they were purified by three times to remove excess impurities. Then, the colloidal NC dispersion was drop-cast on a Si-miscut wafer, a zero-background holder. XRD measurements were carried out at room temperature. Steady-state absorbance and PL measurements were performed using an Edinburgh Instruments FL-50 spectrophotometer with an excitation wavelength of 375 nm. QE measurements were carried out using an integrating sphere with an inner diameter of 150 mm.

For TEM measurements, samples were deposited as 10 μL of 1 mM of QD in hexane solution on a copper support grid. TEM analysis was performed using a JEOL JEM-ARM200CFEG UHR microscope with a spherical aberration-corrected probe and equipped with a Gatan UltraScan camera model 994 US1000X.

Bright-field images were collected using an accelerating voltage of 200 keV. For the SAXS measurements about 100 μL of QD-dispersions have been filled in quartz glass capillaries with 1.5 mm diameter and have been sealed with epoxy resin. The capillaries have been inserted into our laboratory SAXS system (Nanostar from Bruker AXS) and have been measured in vacuum to suppress air scattering. Two-dimensional SAXS images have been recorded using Cu Kα X-ray radiation with a wavelength of 1.5 Å and a 2D Vantec detector. The 2D scattering patterns have been azimuthal integrated to obtain 1D scattering intensity I(q) data as a function of the reciprocal scattering vector q. All 1D data have been corrected for the different X-ray transmission values.

Transient absorption (TA) studies were performed with an ultrafast pump–probe spectrometer to measure the change ΔA in the nonlinear absorbance spectrum (ΔA = A_pumped − A_unpumped; A_pumped = absorbance spectrum of the pumped sample and A_unpumped = small-signal absorbance spectrum). Further details of the pump–probe setup and measurement procedures are described in ref 37. Here, free charge carriers were excited with a 320 nm femtosecond pump pulse (fluence of 480 μJ·cm⁻²), and the resulting nonlinear absorbance change was measured with a femtosecond white-light probe in the 450–800 nm spectral window.

**ASSOCIATED CONTENT**

*Supporting Information* The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsami.1c08118.

PLQY values according to the injected ZnO precursor; zinc and sulfur precursor solution concentration for ZnS shells; SAXS data (symbols) and fits of InP core, InP/ZnO, and QD-4ZnS; XRD of InP core, InP/ZnO, and QD-4ZnS; XPS analysis of InP/ZnO QDs; XPS analysis of QD-4ZnS QDs; and surface plots of the measured TA spectra (PDF).

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**Notes**

The authors declare no competing financial interest.

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