3-CLASS FIELD TOWERS OF EXACT LENGTH 3

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Abstract. The p-group generation algorithm is used to verify that the Hilbert 3-class field tower has length 3 for certain imaginary quadratic fields K with 3-class group Cl_3(K) \cong [3,3]. Our results provide the first examples of finite p-class towers of length > 2 for an odd prime p.

1. Introduction

In 1925, Schreier and Furtwängler [7, § 15.1.1, p. 218] asked whether the ascending tower K ≤ F^1(K) ≤ F^2(K) ≤ \ldots of successive Hilbert class fields of an algebraic number field K can be infinite [9 § 11.3, p. 46]. In their famous 1964 paper [8], Golod and Shafarevich gave an affirmative answer. They did this by proving that the tower of Hilbert p-class fields K ≤ F^1_p(K) ≤ F^2_p(K) ≤ \ldots (which sits inside the tower of Hilbert class fields) is infinite if the base field K has sufficiently large p-class rank d_p(Cl(K)) where p is some prime. They combined this result with a theorem warranting large p-class rank d_p(Cl(K)) whenever sufficiently many primes ramify completely in K with exponents divisible by p, and thus showed that the 2-tower of a quadratic field K = Q(\sqrt{D}) with highly composite radicand D is infinite. For example, taking D = -2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13 = -30030 or D = 2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13 \cdot 17 \cdot 19 = 9699690 one obtains a 2-tower of length \ell_2(K) = \infty.

A year earlier, Shafarevich [18] had proved that if p is an odd prime then r = d where d = dim_{\mathbb{F}_p}(H_1(G, \mathbb{F}_p)) and r = dim_{\mathbb{F}_p}(H_2(G, \mathbb{F}_p)) are the generator and relation ranks of the p-tower group G = Gal(F_p^\infty(K)/K) and K is an imaginary quadratic field. Together with the general condition r > \frac{1}{4}d^2 for a finite p-tower group, this established the bound d < 4 for an imaginary quadratic field with finite p-tower, which was improved to d < 3 by Koch and Venkov [12] in 1975.

Since the generator rank d of G coincides with the p-class rank d_p(Cl(K)) of K, the inequality d < 3 implies that the only imaginary quadratic fields K for which the length \ell_p(K) ≥ 2 of their p-tower is an open problem (p an odd prime), are those with d_p(Cl(K)) = 2. In the case p = 3, such fields were investigated by Scholz and Taussky [19]. They proved that \ell_3(K) = 2 if the second 3-class group G_3^2(K) = Gal(F_3^2(K)/K) of K is a metabelian 3-group in Hall’s isoclinism family \Phi_6, and their proof was confirmed with different techniques by Heider and Schmithals [10] in 1982 and by Brink and Gold [5] in 1987.

No cases of p-towers of finite length \ell_p(K) > 2 (p an odd prime) were known up to now and it is the main purpose of the present article to provide the first examples with \ell_3(K) = 3. We note that examples of 2-towers of length 3 have appeared previously in [6]. The main approach is to formulate conditions (see Theorem 4.1.1) that guarantee that the Galois group G_3^3(K) = Gal(F_3^3(K)/K) has derived length 3.

The layout of the paper is as follows. In Section 2 we recall certain properties shared by the Galois groups G = G_p^\infty(K) = Gal(F_p^\infty(K)/K) when K is an imaginary quadratic field. We also introduce the notions of transfer target type and transfer kernel type for the group G and explain how these can be computed arithmetically. In Section 3 we recall how the p-group generation algorithm can be used to enumerate finite p-groups of fixed generator rank d. We also explain how some of the arithmetic data introduced in Section 2 can be used to constrain this enumeration. Finally, in Section 4 we formulate conditions on G which are sufficient to cause an enumeration.
based search to terminate allowing us to identify the group $G$ as just one of two possible groups both of derived length 3. This then yields a criterion for an imaginary quadratic field $K$ to have $\ell_3(K) = 3$.

2. ARITHMETIC RESTRICTIONS ON GALOIS GROUPS

As discussed in the introduction, the groups of interest in this paper are the pro-$p$ Galois groups $G = G_p^{\infty}(K) = \text{Gal}(F_p^{\infty}(K)/K)$ where $K$ is an imaginary quadratic field. Recall the following definition and notation.

**Definition 2.1.** The derived series of a pro-$p$ group $G$ is defined recursively by $G^{(0)} = G$ and $G^{(n)} = [G^{(n-1)}, G^{(n-1)}]$ for $n \geq 1$ where $[H, K]$ denotes the closed subgroup generated by all commutators $[h, k] = h^{-1}k^{-1}hk$ with $h \in H, k \in K$. If $G^{(n-1)} \neq 1$ and $G^{(n)} = 1$ then we say that $G$ has derived length $n$.

By class field theory, the abelianization $G^{ab} = G/G^{(1)}$ is isomorphic to the $p$-class group $\text{Cl}_p(K)$. In particular, it is a finite abelian $p$-group. This assertion also extends to each finite index subgroup $H$ of $G$ whose abelianization is isomorphic to the $p$-class group of the associated extension of $K$. Focusing on the subgroups in the derived series, one observes that these subgroups correspond to the fields in the $p$-class tower of $K$ and that this tower is finite exactly when $G$ is a finite $p$-group. In particular, the length of a finite tower corresponds to the derived length of $G$.

In [12] the notion of a Schur $\sigma$-group was introduced. We recall the definition below.

**Definition 2.2.** A pro-$p$ group $G$ is called a Schur $\sigma$-group if the following conditions hold:

1. The generator rank $d$ and relation rank $r$ of $G$ are equal.
2. $G^{ab}$ is finite.
3. There exists an automorphism $\sigma$ of order 2 on $G$ which induces the inverse automorphism $x \mapsto x^{-1}$ on $G^{ab}$.

After observing that $G = G_p^{\infty}(K)$ is a Schur $\sigma$-group, one of the main results in [12] is that such a group must be infinite if $d \geq 3$. In particular, this means that the $p$-class tower of $K$ is infinite whenever the generator rank of $\text{Cl}_p(K)$ is greater than or equal to 3, and that $d = 1$ or 2 must hold whenever $K$ has a finite tower. If $d = 1$, then $G$ is a finite cyclic group and so the associated tower has length 1. We conclude that all finite towers of length greater than 1 must have associated Galois group $G$ with $d = 2$. In general, it is difficult to find finite towers of any appreciable length. For $p = 2$, examples of length 3 have been given in [6]. In this paper, we give the first examples of length 3 where $p = 3$ (the odd prime case).

The maximal subgroups in $G$ are normal of index $p$ and are thus in one-to-one correspondence with the maximal subgroups in $G/\Phi(G)$ where $\Phi(G) = G^p[G, G]$ is the Frattini subgroup. It follows that there are $m = (p^d - 1)/(p - 1)$ such subgroups in $G$ and we denote them by $M_1, \ldots, M_m$. Each subgroup $M_i$ corresponds to an unramified cyclic extension of $K$ of degree $p$ which we denote by $K_i$. As noted earlier, we have $M_i^{ab} \cong \text{Cl}_p(K_i)$. We now recall some facts about the transfer mapping and some terminology and notation introduced by the second author in earlier work [14] [15].

Given a group $G$, a subgroup $H$ of finite index $n$ and a left transversal $g_1, \ldots, g_n$ of $H$ in $G$, the transfer (or Verlagerung) map $V : G^ab \to H^{ab}$ is defined by $V(gG^{(1)}) = h_1h_2\ldots h_nH^{(1)}$ where $h_i \in H$ satisfies $g_i = g_{\sigma(i)}h_i$ for some $\sigma \in S_n$. One can check that the map $V$ is well defined and does not depend on the choice of left transversal. The same map is also obtained if one uses right transversals.

**Definition 2.3.** Given a $d$-generated pro-$p$ group $G$ with maximal subgroups $M_1, \ldots, M_m$, the transfer target type (TTT) of $G$ (denoted $\tau(G)$) is the sequence of abelianizations $M_i^{ab}, \ldots, M_m^{ab}$. The transfer kernel type (TKT) of $G$ (denoted $\kappa(G)$) is the sequence $N_1, \ldots, N_m$ where $N_i$ is the kernel of the transfer map $V_i : G^{ab} \to M_i^{ab}$ for $1 \leq i \leq m$.

**Remark 2.1.** The sequences appearing in the definitions of $\tau(G)$ and $\kappa(G)$ depend on the initial choice of an ordering on the subgroups $M_1, \ldots, M_m$. We will regard sequences obtained by making
different choices as equivalent and will view \( \tau(G) \) and \( \kappa(G) \) as equivalence classes represented by the specified sequences.

**Definition 2.4.** If \( K \) is a number field and \( p \) is some fixed prime then we define \( \tau(K) \) to be the transfer target type of the Galois group \( G = G_p^\infty(K) \). We define \( \kappa(K) \) similarly.

**Remark 2.2.** As noted earlier, the components \( M_i^{ab} \) of \( \tau(K) \) can be evaluated by computing \( \text{Cl}_p(K_i) \) for the unramified cyclic extensions \( K_i \) of degree \( p \) over \( K \). Class field theory also provides an arithmetic interpretation for the transfer kernels. The transfer map \( V_i : G^{ab} \to M_i^{ab} \) corresponds to the extension homomorphism \( \text{Cl}_p(K) \to \text{Cl}_p(K_1) \) and thus the kernel of \( V_i \) can be viewed as the subgroup of ideal classes which become trivial when extended to the larger class group. (These classes are said to capitulate.)

For the remainder of this section, we restrict attention to the situation where \( p = 3 \) and \( K \) is an imaginary quadratic field with \( \text{Cl}_3(K) \cong [3, 3] \) or, equivalently, \( G^{ab} \cong [3, 3] \). Here we are using the standard convention of listing the orders of cyclic factors in a direct sum decomposition to specify an abelian group. Since \( d = 2 \), there are four maximal subgroups thus \( \tau(G) \) and \( \kappa(G) \) are sequences of length 4. Observe that each component of the TKT is a subgroup of \([3, 3]\). There are five possible nontrivial subgroups. For ease of reference, the four subgroups of order 3 (which correspond to the maximal subgroups in \( G \)) will be labeled 1, \ldots, 4 and the whole group \([3, 3]\) will be labeled 0. We do not introduce a label for the trivial subgroup since it will not arise in our later considerations as a consequence of Hilbert’s Theorem 94. Having fixed an ordering on the maximal subgroups, a TKT can now be specified by listing the kernels of the corresponding transfer maps, in order, using these labels.

**Example 2.1.** The field \( K = \mathbb{Q}(\sqrt{-9748}) \) has \( \text{Cl}_3(K) \cong [3, 3] \). After computing the 4 unramified cyclic extensions \( K_1, \ldots, K_4 \) using Magma, one can compute their 3-class groups. These turn out to be: \([3, 9]^3\), \([9, 27] \) where the exponent indicates repeated occurrences of the same invariants. If one now computes the kernels of the extension homomorphisms \( \text{Cl}_3(K) \to \text{Cl}_3(K_i) \) then one obtains the kernels of the transfer maps \( V_i : G^{ab} \to M_i^{ab} \) for \( 1 \leq i \leq 4 \). For our chosen ordering of the subgroups, we obtained \( \ker V_1 = M_1, \ker V_2 = M_4, \ker V_3 = M_3 \) and \( \ker V_4 = M_1 \). Using the indices of the subgroups \( M_i \) as the labels we can summarize this information by writing \( \kappa(K) = (1, 4, 3, 1) \). As noted in Remark 2.1 above, this tuple depends on the chosen labeling and should be viewed as an equivalence class representative. As an illustration, if the subgroup \( M_2 \) was denoted 3 and the subgroup \( M_3 \) was denoted 2 then we would see that \( (1, 4, 3, 1) \sim (1, 2, 4, 1) \). If instead we swapped the labels on \( M_1 \) and \( M_2 \), then we would see that \( (1, 4, 3, 1) \sim (4, 2, 3, 2) \).

3. **The \( p \)-group generation algorithm**

The main result in the next section will be verified computationally using a backtrack search based on the \( p \)-group generation algorithm. In this section, we will give a brief overview of the algorithm and explain how the arithmetic restrictions discussed in the previous section can be used to constrain the search. The idea of using the \( p \)-group generation algorithm together with arithmetic information to search for certain Galois groups first appears in [3]. Further examples can be found in [1] [2] [3] [4] [10].

**Definition 3.1.** The lower exponent-\( p \) central series of a finite \( p \)-group \( G \) is defined recursively by \( F_0(G) = G \) and \( P_n(G) = F_{n-1}(G)^p|G, P_{n-1}(G)| \) for \( n \geq 1 \). If \( P_c(G) = 1 \) and \( P_{c-1}(G) \neq 1 \) then we say that \( G \) has \textit{p-class} \( c \).

**Remark 3.1.** If \( G \) is a \( p \)-group then we can extend the definitions by taking the closures of the corresponding subgroups. If \( G \) is finitely generated then the subgroups in the lower exponent-\( p \) central series are of finite index and so are also open subgroups. Thus a finitely generated pro-\( p \) group has finite \( p \)-class if and only if it is a finite \( p \)-group.

If \( G \) has \( p \)-class \( k \) and \( 1 \leq c \leq k \) then it is straightforward to show that the quotient \( G/P_c(G) \) has \( p \)-class \( c \). Moreover, this is the maximal possible quotient with this \( p \)-class.
Definition 3.2. If $G$ and $Q$ are finite $p$-groups and $G/P_c(G) \cong Q$ then we say that $G$ is a descendant of $Q$ and that $Q$ is an ancestor of $G$. If $G$ has $p$-class $c + 1$ then we say that it is an immediate descendant of $Q$.

Fixing $d$ we can visualize the finite $d$-generated $p$-groups as arranged in a tree with the elementary abelian $p$-group of rank $d$ as the root and the groups of successively larger $p$-class in levels below. We connect two groups with an edge if one is an immediate descendant of the other. The $p$-group generation algorithm \cite{17} allows one to compute all groups in this tree down to any desired $p$-class. The main idea behind the algorithm is that each finite $p$-group $G$ has only finitely many immediate descendants and these all arise as quotients of a certain uniquely determined covering group $G^*$. Moreover, there is an effective algorithm for computing this covering group and determining when two normal subgroups give rise to the same quotient. Given a presentation $G = F/R$, the covering group is defined $G^* = F/R^*$ where $R^* = [F,R]R^p$. Two quantities that play an important role in the algorithm are the $p$-multiplier, which is the subgroup $R/R^*$ of $G^*$, and the nucleus, which is the subgroup $P_c(G^*) \cong P_c(F)R^*/R^*$ where $c$ is the $p$-class of $G$. For a more detailed description of the algorithm see \cite{17} and \cite{11} Chapter 9, pp. 353–372.

The remaining results in this section explain how constraints on the structure of a group $G$ place constraints on the structure of its ancestors $G_c = G/P_c(G)$. The first lemma has been used explicitly or implicitly in a number of papers applying $p$-group generation to the computation of Galois groups.

Lemma 3.1. Let $f : G \to Q$ be a group epimorphism. Let $N$ be a subgroup of finite index in $Q$ and $M = f^{-1}(N)$. Then $N^{ab}$ is a quotient of $M^{ab}$.

Proof. This is clear since the composition of the restriction of $f$ from $M$ to $f(M) = N$ and the natural epimorphism $N \to N^{ab}$ is a surjection from $M$ onto the abelian group $N^{ab}$ and must factor through $M^{ab}$.

Corollary 3.0.1. Let $H$ be an ancestor of $G$. Then $H^{ab}$ is a quotient of $G^{ab}$ and the abelian groups appearing in $\tau(H)$ must be quotients of those appearing in $\tau(G)$.

As a simple example of how this result will be helpful, if we’re searching for groups $G$ with $G^{ab} \cong [3,3]$ and we encounter a group $H$ with $H^{ab} \cong [3,9]$ or $[3,3,3]$ (or larger still) then we can eliminate $H$ and all of its descendants from our search.

The next lemma and subsequent corollary are the new ingredients in this paper and play a crucial role in the final stages of the proof of our main result.

Lemma 3.2. Let $f : G \to Q$ be a group epimorphism. Let $N$ be a subgroup of finite index in $Q$ and $M = f^{-1}(N)$. We have induced maps $\overline{f} : G^{ab} \to Q^{ab}$ and $\overline{f} : M^{ab} \to N^{ab}$. If we let $V_G : G^{ab} \to M^{ab}$ and $V_Q : Q^{ab} \to N^{ab}$ denote the transfer maps then

$$V_Q \circ \overline{f} = \overline{f} \circ V_G.$$

Proof. Let $g_1, \ldots, g_n$ be a left transversal for $M$ in $G$ where $n = [Q : N] = [G : M]$ and define $g_i = f(g_i)$ for $1 \leq i \leq n$. Then $g_1, \ldots, g_n$ form a left transversal for $N$ in $Q$.

Let $g \in G$ and suppose that $gg_i = g_{\sigma(i)}m_i$ for some $\sigma \in S_n$ and $m_i \in M$. From the definition of $V_G$, we have

$$\overline{f} \circ V_G)(gG^{(1)}) = \overline{f}(m_1m_2 \ldots m_n M^{(1)}) = f(m_1) \ldots f(m_n)N^{(1)}.$$

On the other hand, we have

$$(V_Q \circ \overline{f})(gG^{(1)}) = V_Q(f(g)Q^{(1)}) = f(m_1) \ldots f(m_n)N^{(1)}$$

since $f(g)g_i = f(gg_i) = f(g_{\sigma(i)}m_i) = g_{\sigma(i)}f(m_i)$ for $1 \leq i \leq n$. It follows that $V_Q \circ \overline{f} = \overline{f} \circ V_G$. \hfill $\square$

Corollary 3.0.2. Suppose that, in addition to the conditions specified in the preceding lemma, the map $\overline{f} : G^{ab} \to Q^{ab}$ is an isomorphism. Then $\ker V_G \subseteq \ker V_Q$ (using the isomorphism to view these as subgroups of the same group) and so each subgroup appearing in $\kappa(G)$ must be contained in the corresponding subgroup in $\kappa(Q)$. 
Proof. This follows since
\[ \ker V_G = V_G^{-1}(1) \subseteq (\overline{T} \circ V_G)^{-1}(1) = \overline{T}^{-1}(\ker V_Q). \]

Next we have a statement about \( \sigma \)-automorphisms.

**Lemma 3.3.** Let \( G \) be a pro-\( p \) group and let \( f : G \to G_c \) be the natural epimorphism. If \( G \) possesses an automorphism \( \sigma \) which has order 2 and which restricts to the inversion automorphism on \( G^{ab} \) then so does \( G_c \).

**Proof.** The subgroup \( P_c(G) \) is characteristic for all \( c \) so \( \sigma \) has a well defined restriction to \( G_c \) whose order must be either 1 or 2. Observe that \( \sigma \) can be restricted further to \( G_c^{ab} \) and that the restriction map on automorphisms passes through \( G^{ab} \). Since the restriction of \( \sigma \) to \( G^{ab} \) is the inversion map, the same statement holds for \( G_c^{ab} \) and so the restriction has order 2. \( \square \)

We also need the following results. The first is proved in [4] Proposition 2]. The second appears in [16] Theorem 4.4. We outline the proof of the second result for the reader’s convenience.

**Proposition 3.1.** Let \( G \) be a pro-\( p \) group with finite abelianization. For all \( c \geq 1 \) the difference between the ranks of the \( p \)-multiplicator and the nucleus of \( G_c \) is at most \( r(G) = \dim H^2(G, \mathbb{F}_p) \).

**Theorem 3.1.** Let \( G \) be a pro-\( p \) group, \( N \) a finite index normal subgroup, and \( V \) a word, and assume \( P_c(G) \leq N \). If \([G_c : V(N/P_c(G))] = [G_{c+1} : V(N/P_{c+1}(G))]\) then
\[ \frac{N/P_c(G)}{V(N/P_c(G))} \cong \frac{N/V(N)}{V(N/P_c(G))}. \]

It follows that
\[ \frac{N/P_c(G)}{V(N/P_c(G))} \cong \frac{N/V(N)}{V(N/P_c(G))} \]
for all \( c' \geq c \).

**Proof.** We first remark that \( V(G) \) denotes the verbal subgroup of \( G \) generated using the word \( V \). Recall that verbal subgroups are characteristic and that if \( f : G \to Q \) is an epimorphism then \( f(V(G)) = V(Q) \).

Since \( V(N) \) is characteristic in \( N \) which is normal in \( G \) we can form the quotient \( G/V(N) \). It is straightforward to verify that
\[ \frac{G/V(N)}{P_c(G/V(N))} \cong \frac{G}{P_c(G)V(N)} \cong \frac{G_c}{V(N/P_c(G))} \]
for every \( c \geq 1 \). The hypotheses then imply that
\[ \frac{G/V(N)}{P_c(G/V(N))} \cong \frac{G/V(N)}{P_{c+1}(G/V(N))}. \]

This can only happen if \( P_c(G/V(N)) = 1 \) or, equivalently, \( P_c(G) \leq V(N) \). But then \( V(N/P_c(G)) = V(N)/P_c(G) \) which leads to an isomorphism
\[ \frac{N/P_c(G)}{V(N/P_c(G))} \cong \frac{N/V(N)}{V(N/P_c(G))} \]
yielding the final statement in the theorem. \( \square \)

If \( V \) is the commutator word \([x, y] = x^{-1}y^{-1}xy\) then \( V(G) = [G, G] = G^{(1)} \) and we have the following corollary.

**Corollary 3.1.1.** Let \( G \) be a pro-\( p \) group, \( N \) a finite index normal subgroup with \( P_c(G) \leq N \) and let \( N_c = N/P_c(G) \leq G_c \). If \( N_c^{ab} \cong N_{c+1}^{ab} \) then \( N_c^{ab} \cong N_{c+1}^{ab} \) for all \( c' \geq c \).

**Proof.** If \( V(G) = G^{(1)} \) then the condition in Theorem 3.1 is \([G_c : N_c^{(1)}] = [G_{c+1} : N_{c+1}^{(1)}] \). Using Lemma 3.1 one can see that this is equivalent to \( N_c^{ab} \cong N_{c+1}^{ab} \). \( \square \)
4. Criterion for a tower of length 3

We can now state our main result.

**Theorem 4.1.** Let $G$ be a Schur $\sigma$-group satisfying:

(i) $G^{ab} \cong [3, 3]$

(ii) $\tau(G) = \{[3, 9]^3, [9, 27]\}$ and $\kappa(G)$ equivalent to $(1, 4, 3, 1)$.

Then, up to isomorphism, $G$ is one of two possible finite 3-groups of order $3^8$. Both of these groups have derived length 3.

**Proof.** $G$ is 2-generated so every finite quotient $G_c = G/P_c(G)$ is a descendant of $G_1 = G/P_1(G) \cong [3, 3]$. At the outset, we do not know if there are infinite pro-3 groups or finite 3-groups of arbitrarily large 3-class satisfying the given conditions. If such groups $G$ having 3-class at least $c$ exist then the quotient $G_c$ in each case will have 3-class exactly $c$. We will proceed by finding all candidates for $G_c$ with 3-class exactly $c$, for larger and larger values of $c$. This process will terminate allowing us to deduce that $G$ is finite with bounded 3-class. We have used Magma [13] to carry out the needed descendant computations and to check various conditions.

First, $G^{ab} \cong [3, 3]$ so by Corollary 3.0.1, we can rule out all 3-groups and their descendants whose abelianization is larger than $[3, 3]$. Of the 7 immediate descendants of $G_1 \cong [3, 3]$, only two satisfy this restriction. Of these, only one possesses a $\sigma$-automorphism so by Lemma 3.3 we can eliminate the other. We conclude that the unique group remaining must be $G_2$ up to isomorphism.

To find $G_3$ we need only consider the immediate descendants of $G_2$. There are 11 such groups. Many of these have maximal subgroups of index 3 with abelianization $[3, 3, 3]$. It follows that these groups and their descendants can be eliminated by Corollary 3.0.1. This leaves only five possible groups of $p$-class 3. Three more of these can be eliminated because they fail to satisfy the bound in Proposition 3.1. This latter group (and its descendants) cannot be immediately ruled out since it might be possible that the subgroup represented by the first component becomes smaller in a descendant. In particular, the TKT $(2, 2, 3, 1)$ or $(3, 2, 3, 1)$ might appear both of which are equivalent to $(1, 4, 3, 1)$. Examining the immediate descendants more closely though, one sees that there are four of them and that they all have $\text{TTT} = \{[3, 9]^3, [27, 27]\}$. This means that these groups and their descendants can be eliminated using Corollary 3.0.1. Thus there are only two candidates for $G_3$.

Our candidate for $G_3$ has 4 immediate descendants only one of which has a Schur $\sigma$-automorphism so by Lemma 3.3, this must be $G_4$. This in turn has 14 immediate descendants all of which possess a $\sigma$-automorphism. However, only six of them satisfy the bound given in Proposition 3.1. These remaining six groups of 3-class 5 all have order $3^8$ and $\text{TTT} = \{[3, 9]^3, [9, 27]\}$. Computing the TKTs for these groups, three of them (and their descendants) can be eliminated using Corollary 3.0.2. Two of the groups that remain have TKT equivalent to $(1, 4, 3, 1)$. The third has TKT $(0, 2, 3, 1)$. This latter group (and its descendants) cannot be immediately ruled out since it might be possible that the subgroup represented by the first component becomes smaller in a descendant. In particular, the TKT $(2, 2, 3, 1)$ or $(3, 2, 3, 1)$ might appear both of which are equivalent to $(1, 4, 3, 1)$. Examining the immediate descendants more closely though, one sees that there are four of them and that they all have $\text{TTT} = \{[3, 9]^3, [27, 27]\}$. This means that these groups and their descendants can be eliminated using Corollary 3.0.1. Thus there are only two candidates for $G_5$.

Since both of the candidates for $G_5$ do not have any descendants (they are said to be terminal), we conclude that there do not exist any finite groups of 3-class 6 satisfying all of the properties necessary for them to be $G_6$. It follows that $G$ is finite with 3-class at most 5. We can also see that $G \cong G_1, G_2, G_3, G_4$ by comparing TTTs and/or observing that these groups all have relation rank $> 2$. It follows that $G \cong G_5$ and is one of the two candidate groups found above. Both groups have derived length 3.

One of these groups has the following power-commutator presentation

$$G = \langle g_1, g_2, g_3, g_4, g_5, g_6, g_7, g_8 | g_1^3 = g_2 g_6^2, \ g_2^3 = g_4 g_8, \ g_3^3 = g_5^2 g_7 g_8, \ g_4^3 = g_7^2, \ g_5^3 = g_8^2, $$

$$g_2 g_1 = g_3, \ g_3 g_1 = g_4, \ g_3 g_2 = g_5, \ [g_5, g_1] = g_7, $$

$$[g_5, g_2] = g_6, \ [g_5, g_3] = g_7, \ [g_6, g_1] = g_7, \ [g_6, g_2] = g_8 \rangle.$$
Note that relations of the form $g_i^3 = 1$ and $[g_i, g_j] = 1$ are also present but have not been displayed as is the usual convention. A presentation for the other group can be obtained by replacing the first power relation $g_i^3 = g_5g_6$ with $g_i^3 = g_5^2g_6$. Presentations for the $p$-quotients $G_p$ that appear in the intermediate stages of the computation can be obtained from the above presentations. The small group database identifiers for these quotients are: $G_1 \cong (9,2)$, $G_2 \cong (27,3)$, $G_3 \cong (243,8)$ and $G_4 \cong (729,54)$.

Theorem 4.1 leads immediately to the following sufficient criterion for an imaginary quadratic field to have a 3-class tower of length 3.

**Corollary 4.1.1.** If $K$ is an imaginary quadratic field such that $G = G_3(K)$ satisfies the conditions in Theorem 4.1 then $K$ has 3-class tower of length exactly 3. The field $K = \mathbb{Q}(\sqrt{-9748})$ is one such example.

**Remark 4.1.** In [19, p. 41], Scholz and Taussky claim that $K = \mathbb{Q}(\sqrt{-9748})$ has 3-class tower of length 2 which does not agree with the results of our work. We are not the first to observe that there may be a problem with this claim. It is shown in [15] that there is no group theoretic restriction resulting from the capitulation conditions which prevents the length from being greater than 2. We believe that we are the first to establish that the length is exactly 3 under the conditions given above.

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