Should S-1 be better than capecitabine for patients with advanced gastric cancer in Asia? A systematic review and meta-analysis

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Background: S-1 or capecitabine (Cap) containing treatment is an increasingly used strategy in patients with advanced gastric cancer in Asia. It is unclear whether there is sufficient evidence to support which regimen is better.

Methods: A systematic review of retrospective studies and randomized controlled trials (RCTs) comparing S-1 with Cap containing treatment in advanced gastric cancer patients was performed. Embase, PubMed, Clinical Trials.gov, Cochrane Library, and reference lists were searched from inception until August 2018 for relevant studies. Outcomes of interest included 1-year overall survival (OS), 1-year progression-free survival (PFS), objective response rate (ORR), and adverse events. Meta-analyses of the random events were performed. We also performed sensitivity analysis to examine whether the results of the meta-analyses were robust.

Results: A total of 770 subjects from six RCTs and two retrospective studies in Asia were analyzed. Compared with S-1, Cap containing treatment had better ORR (overall risk ratio = 0.85, 95% CI: 0.72, 0.99, P = 0.003) and higher incidence of all-grade hand-foot syndrome (HFS) (overall risk ratio = 0.29, 95% CI: 0.20, 0.40, P < 0.001) and neutropenia (overall risk ratio = 0.85, 95% CI: 0.73, 0.99, P = 0.039). But there was no statistical difference in 1-year PFS, 1-year OS, incidence of other all-grade or grade 3–4 adverse events between S-1 and Cap containing arms (P > 0.05). We found no publication bias in this review.

Conclusion: This systematic review showed that for Asian patients, Cap shows superiority in ORR but not 1-year OS or PFS, and it will increase the risk of all-grade HFS and neutropenia. Until now, S-1 containing treatment might be a better choice for advanced gastric cancer patients. But more high-quality RCTs are needed to confirm these results.

Keywords: 1-year OS, 1-year PFS, adverse events, ORR, HFS, neutropenia

Introduction
Globally, gastric cancer is one of the most common cancers.1 In Asia, gastric cancer is a major health concern. The highest 5-year survival rates between 2010 and 2014 were seen in Southeast Asia: 68.9% in South Korea and 60.3% in Japan. But in China, the 5-year survival rate was only 35.9%.1 Most gastric cancer patients are symptomatic and already have advanced incurable disease at the time of presentation. The first-line regimens for advanced gastric cancer are combination chemotherapy consisting of a fluoropyrimidine (oral fluoropyrimidine or 5-fluorouracil [5-FU]) plus a platinum agent. A meta-analysis and a number of controlled trials provided evidence for the survival benefit of palliative systemic chemotherapy in patients with advanced gastric cancer.2–6

Until now, fluoropyrimidines are still the most common agents for gastric cancer in various settings. 5-FU, administered as a continuous infusion, can prolong exposure...
and moderately improve the efficacy. However, infusion is relatively unsafe and inconvenient, and it can cause more hematological toxicity, catheter-related events, and hand-foot syndrome (HFS).  

For this reason, oral fluoropyrimidine (S-1 and capecitabine [Cap]) has been studied as a substitute for continuous 5-FU infusion. S-1 is an oral formulation of the following components in a 1:0.4:1 ratio: tegafur, the prodrug for cytotoxic fluoropyrimidines; 5-chloro-2,4-dihydroxypyridine, an inhibitor of dihydroxypyridine dehydrogenase, which prevents its degradation in the gastrointestinal tract, thus prolonging its half-life; and oteracil, a specific inhibitor of one of the enzymes, orotate phosphoribosyl transferase, which phosphorylates fluoropyrimidines in the intestine. Clinical evidence showed that S-1 had an equal efficacy but better toxicity profile compared with 5-FU infusion. Cap is an oral fluoropyrimidine which is primarily metabolized in the liver and converted to 5-FU in tumor tissues. A meta-analysis showed a superior overall survival (OS) in patients treated with Cap than with 5-FU. For their oral formulations, favorable toxicity profiles, and promising efficacy, S-1 and Cap may be attractive for elderly cancer patients.

In Asia, both S-1 and Cap containing regimens are recommended for advanced gastric cancer patients, but there is no clear consensus as to which regimen is better. Recently, a meta-analysis indicated that S-1-based regimens had a similar efficacy compared with Cap-based regimens, but was associated with a significant lower rate of grade 1–2 HFS and grade 3–4 neutropenia. However, only three randomized controlled trials (RCTs) were included. As there is now more data available, a systematic review and meta-analysis was performed in order to assess whether or not S-1 should be considered better than Cap in Asian patients with advanced gastric cancer.

**Methods**

**Search strategy**

To examine the differences between S-1 and Cap containing treatments, a comprehensive literature search of Embase, PubMed, the ClinicalTrials.gov (http://ClinicalTrials.gov/), and the Cochrane Library published up to August 2018 was conducted. The search terms included: “S-1”, “capecitabine”, “gastric cancer”, “gastric carcinoma”, “gastric tumor”, or “stomach cancer”. We also screened the reference lists of review articles. Additional studies were also retrieved by handsearching of relevant journals. We exclusively included studies published in English and Chinese.

**Inclusion and exclusion criteria**

Studies were selected according to the PRISMA statement. Clinical trials which met the following criteria were included: 1. Randomized Phase II, III, and IV trials 2. Adults with advanced gastric cancer and received S-1 and Cap containing treatments 3. Sample sizes, events, and event rates were available for drug safety and efficacy.

Exclusion criteria were as follows: 1) animal research; 2) reviews; 3) only have abstracts; 4) overlapping data; and 5) studies without risk ratio, OR, or HR with 95% CI.

**Data extraction and quality assessment**

Two reviewers independently conducted the literature screening, data extraction, and quality assessment of the trials. A third reviewer intervened when reviewers disagreed until a consensus was reached. We extracted the following information from each article: first author’s name, year of publication, study type, disease type, number of patients, trial phase, treatment and control arms, the number of patients with 1-year OS, 1-year progression-free survival (PFS), objective response rate (ORR), and adverse events. If the studies did not provide the 1-year OS or PFS data, we estimated those values from the Kaplan–Meier curve by using Engauge Digitizer software. The quality of the methodology in prospective trials was assessed by the Jadad criteria. The quality of each trial was graded as high-quality trial (score ≥3) and low-quality trial (score ≤2). The Newcastle-Ottawa Scale (NOS) criteria (http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp) were used to assess the quality of the methodology in retrospective studies (range 0–9 stars). The studies were classified as high quality if they scored ≥7 stars.

**Statistical analysis**

Data on patients with 1-year OS, 1-year PFS, ORR, and adverse events were extracted from all of the included trials; risk ratio and 95% CI were calculated to assess the association strength of these two regimens with outcomes. The Q statistic and I² statistic were used to assess the heterogeneity. I² >50% indicated statistically significant heterogeneity. The random-effect model was used in these meta-analyses for conservative statistics. A funnel plot was used to assess the publication bias. We also performed sensitivity analysis of the trials included in our meta-analysis to examine whether the results of the meta-analysis were robust. Begg’s adjusted rank correlation test and Egger’s regression test were used to assess the funnel-plot asymmetric. A statistical test with a P<0.05 was considered significant. STATA statistical
version 12.0 was used to perform all the statistical analyses (Stata Corporation, College Station, TX, USA). All P-values were two-sided.

Results
Characteristics of included studies
Our search yielded 614 potentially relevant clinical trials with S-1 and Cap containing treatment. After screening, eight primary clinical trials, which included 770 patients, met our inclusion criteria\(^{17-24}\) and were pooled for the meta-analysis (Figure 1). The characteristics of six RCTs and two retrospective trials are shown in Table 1. All trials included were open label, but all trials were of high-quality with Jadad score 3 or NOS score 8. According to the eligibility criteria of the majority of the trials, patients with impaired hepatic, renal, or bone marrow function were excluded and most of the patients had Eastern Cooperative Oncology Group performance status scores of 0 or 1. This systematic review followed the guidelines of the PRISMA statement.

Findings – 1-year OS, 1-year PFS, and ORR
A total of 669 Asian patients treated with S-1 or Cap containing treatment from seven trials were included for analysis of 1-year OS and 1-year PFS. As shown in Figure 2, the overall risk ratios of 1-year OS and 1-year PFS between S-1 and Cap containing therapies were 0.97 (95% CI: 0.84, 1.14, \(I^2=0\%\)) and 1.17 (95% CI: 0.83, 1.65, \(I^2=0\%\)), respectively. The results showed no statistical difference in 1-year OS and 1-year PFS between S-1 and Cap containing treatments (\(P=0.746\) and 0.380, respectively).

A total of 770 Asian patients treated with S-1 or Cap containing treatment from eight studies were included for analysis of ORR. The ORRs in each trial were 40% vs 43%,\(^{18}\) 29% vs 26%,\(^{19}\) 41% vs 55%,\(^{20}\) 44% vs 50%,\(^{21}\) 42% vs 69%,\(^{22}\) 28% vs 26%,\(^{23}\) 51% vs 53%, and 46% vs 49%.\(^{17}\) As shown in Figure 2, the overall risk ratio of ORR between S-1 and Cap containing therapies was 0.85 (95% CI: 0.72, 0.99, \(I^2=0\%\)). The results showed that Cap containing treatment had a significantly better ORR than S-1-based therapy (\(P=0.043\)).

Findings – adverse events
Hematologic toxicities and HFS were adverse events of most concern for oral fluoropyrimidines, which always seriously affected the patients’ quality of life or interrupted the treatments. For these reasons, patients treated with S-1 or Cap containing treatment from eight studies were included for analysis of all-grade or grade 3–4 adverse events. In seven trials included in our manuscript, the incidence of neutropenia

\[\text{Figure 1} \quad \text{Flow chart for eligible studies.} \]
\[\text{Abbreviation: Cap, capecitabine.}\]
in each trial was 57% vs 62%,18 22% vs 28%,19 28% vs 20%,20 60% vs 73%,21 45% vs 65%,22 32% vs 33%,23 and 54% vs 60%.24 In six trials included in our manuscript, the incidence of HFS in each trials were 3% vs 25%,18 16% vs 56%,19 6% vs 30%,20 8% vs 46%,21 9% vs 40%,22 and 24% vs 57%.23 As shown in Table 2, the overall risk ratio of all-grade neutropenia and HFS between S-1 and Cap containing therapies were 0.85 (95% CI: 0.73, 0.99, I²=0%) and 0.29 (95% CI: 0.20, 0.40, I²=0%), respectively, and the results showed that S-1 containing treatment had a significant lower all-grade neutropenia and HFS incidence than Cap containing therapy (P=0.039 and P<0.001). Nevertheless, the results showed no statistical difference between S-1 and Cap containing therapies with regard to other related adverse events (P>0.05).

Sensitivity analysis

The results of sensitivity analysis of ORR, which included all eight trials, showed that no particular study affected the overall significance of the pooled estimates and that the results of the meta-analysis were robust (Figure 3).

Publication bias

The shape of the funnel plot did not display any evidence of apparent asymmetry. Furthermore, the formal tests also showed no substantial publication bias (P=0.136 for the Egger’s test; P=0.174 for the Begg’s test) (Figure S1).

Discussion

Fluorouracil-based treatment is the most commonly used treatment for advanced gastric cancer. Several orally active fluorouracils (such as S-1 and capecitabine) are available, which, as single agents, are associated with response rates as high as 41%.8-10,19,25-28 As a substitute for continuous 5-FU infusion, S-1 or Cap plus platinum are recommended for advanced gastric cancer patients in Asia. But until now, no definitive conclusion about which regimen was better for patients with advanced gastric cancer could be reached. Here, we performed a systematic review on the efficacy and safety of S-1 and Cap containing regimens in advanced gastric cancer based on eight clinical studies, which included 770 subjects. Our results indicated that: 1) Cap containing treatment showed significantly better ORR than S-1-based...
regimen, but there was no statistical difference in 1-year OS and 1-year PFS between S-1 and Cap containing regimens; 2) S-1 containing treatment showed significantly lower incidence of all-grade neutropenia and HFS than Cap containing therapy, but there was no statistical difference in other adverse events between S-1 and Cap containing regimens.

The results of this review partially agreed with those reported by Veer et al. In that meta-analysis, the results indicated that S-1 had similar efficacy compared to Cap, but was associated with a lower incidence of grade 1–2 HFS and grade 3–4 neutropenia. However, only three RCTs were included, which might contribute to the different results than the findings of our study. Our study included more relevant articles; although the number of trials was still small, our findings might stimulate further investigations.

OS, PFS, and ORR are the most commonly studied outcomes for determining the efficacy of a treatment. The results of the meta-analysis of the number of patients having on-study ORR showed that Cap might have superiority than S-1 in ORR. As we know, if the differences in actual ORR were <5%, the clinical relevance would be small. But these data were not consistent, as the differences in actual ORR were <5% in some trials, whereas they were not in others. So we pooled the overall risk ratio, which was found to be 0.85 ($P=0.043$). The results showed that, till date, S-1-based treatments had significantly better ORR than Cap-based regi-
mens, but we still need more high-quality RCTs to confirm this conclusion. 1-year OS and 1-year PFS results showed no evidence of difference between these two arms. As we know, OS and PFS results are much more important than ORR to evaluate the efficacy, and Cap containing treatment showed superiority only in ORR but not OS or PFS, thus a definite conclusion could not be made about the better efficacy in Cap containing arms.

Adverse events of chemotherapy regimens were of great concern for clinicians and clinical pharmacists. Hematologic toxicities and HFS are most common adverse events of fluoropyrimidines, which always seriously affected the patients’ quality of life and interrupted the treatments. A meta-analysis showed that S-1 had lower incidence of grade 1–2 HFS and grade 3–4 neutropenia. Another meta-analysis focused on both advanced gastric cancer and metastatic colorectal cancer, which showed that S-1 had a significant lower incidence of grade 3–4 HFS than Cap ($P<0.001$). But in our study, the results showed that S-1 containing treatment had lower incidence of all-grade neutropenia and HFS than Cap-based therapy, although there was no significant difference in other adverse events. This finding suggested that for some patients with higher risk of myelosuppression or HFS, S-1 containing treatment might be a better choice than Cap-based therapy. Heterogeneity was an important concern in meta-analysis. The heterogeneity might not be totally ruled out in this study.

Table 2 Meta-analysis results of the associations between S-1 or Cap containing treatments and adverse events in AGC patients

| Grade     | Adverse events     | N  | RR (95% CI)     | P-value | Test for heterogeneity |
|-----------|--------------------|----|-----------------|---------|------------------------|
|           |                    |    |                 |         |                        |
| All-grade | Leukopenia         | 5  | 0.92 (0.76, 1.12)| 0.398   | 3.22 0.521 0%          |
|           | Anemia             | 7  | 0.99 (0.94, 1.05)| 0.836   | 1.71 0.945 0%          |
|           | Neutropenia*       | 7  | 0.85 (0.73, 0.99)| 0.039   | 3.27 0.774 0%          |
|           | Thrombocytopenia   | 7  | 0.95 (0.80, 1.12)| 0.533   | 3.28 0.773 0%          |
|           | Hand-foot syndrome*| 6  | 0.29 (0.20, 0.40)| <0.001  | 4.95 0.422 0%          |
|           | Diarrhea           | 7  | 1.10 (0.90, 1.34)| 0.350   | 4.99 0.545 0%          |
|           | Anemia             | 7  | 1.03 (0.94, 1.12)| 0.542   | 5.28 0.509 0%          |
|           | Neutropenia        | 7  | 1.03 (0.88, 1.21)| 0.680   | 4.29 0.638 0%          |
|           | Anemia             | 3  | 1.00 (0.85, 1.19)| 0.971   | 1.35 0.510 0%          |
|           | Hand-foot syndrome | 4  | 0.58 (0.28, 1.18)| 0.135   | 5.10 0.165 41.1%       |
| Grade 3–4 | Leukopenia         | 6  | 1.19 (0.76, 1.87)| 0.451   | 1.78 0.878 0%          |
|           | Anemia             | 8  | 0.93 (0.69, 1.26)| 0.644   | 5.31 0.622 0%          |
|           | Neutropenia        | 7  | 0.73 (0.50, 1.07)| 0.109   | 3.79 0.705 0%          |
|           | Thrombocytopenia   | 8  | 1.00 (0.60, 1.67)| 0.985   | 1.82 0.935 0%          |
|           | Hand-foot syndrome | 8  | 0.49 (0.23, 1.04)| 0.063   | 6.63 0.356 9.6%        |
|           | Diarrhea           | 8  | 0.97 (0.52, 1.82)| 0.922   | 4.64 0.591 0%          |
|           | Anorexia           | 7  | 1.28 (0.83, 1.98)| 0.268   | 5.45 0.488 0%          |
|           | Nausea/vomiting    | 8  | 1.09 (0.81, 1.47)| 0.572   | 5.82 0.561 0%          |
|           | Anemia             | 4  | 1.04 (0.58, 1.86)| 0.886   | 3.03 0.388 0.9%        |
|           | Peripheral sensory neuropathy | 5 | 0.87 (0.49, 1.53)| 0.623   | 1.04 0.594 0%          |
|           | Increased creatinine| 4 | 0.62 (0.15, 2.53)| 0.505   | 0.03 0.862 0%          |
|           | Abdominal pain     | 5  | 1.04 (0.31, 3.46)| 0.947   | 6.19 0.185 35.4%       |
|           | Stomatitis         | 5  | 0.46 (0.10, 2.12)| 0.321   | 1.89 0.597 0%          |
|           | Fatigue            | 4  | 0.84 (0.40, 1.77)| 0.652   | 0.31 0.857 0%          |
|           | Febrile neutropenia| 4  | 1.01 (0.31, 3.28)| 0.989   | 1.34 0.720 0%          |

Note: *Statistically significant difference was found between two arms.

Abbreviations: RR, risk ratio; N, number of studies; Cap, capecitabine; AGC, advanced gastric cancer; $P_h$, P-value of the Q test for heterogeneity.
so the sensitivity analysis was used to identify the robustness of our findings. The results displayed that no study affected the overall significance of the pooled estimates, and the results of our findings were robust. Publication bias might introduce false-positive results in meta-analysis. To avoid the possible bias, all the studies included were properly assessed. Egger’s and Begg’s tests were performed for detecting publication bias and no evident bias was found. The results of publication bias and sensitivity analysis indicated that conclusions of our study were credible.

As several limitations merit consideration, the present meta-analysis should be interpreted with caution. First, the number of studies was still small, especially due to the lack of more high-quality RCTs. Second, the schedule and dosage of S-1 and Cap containing treatments in all eight studies included in this review were not consistently used. Although it was often not possible to investigate to what extent dose or schedule differences might have influenced the results, we still need more rigorously designed experiments. Third, the eight studies in our analysis were open label, which might affect the outcomes. Fourth, patients included in all studies were from Asia, so the conclusions should be made with caution for Western populations.

### Conclusion

For Asian patients, Cap containing treatment may have superiority in ORR but shows higher incidence of all-grade neutropenia and HFS compared with S-1-based therapy. There appears to be no statistical difference in 1-year OS, 1-year PFS, and incidence of other adverse events between S-1 and Cap containing treatments.

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### Disclosure

The authors report no conflicts of interest in this work.

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Supplementary material

Figure S1: Publication bias risk.

Abbreviations: RR, risk ratio; se, standard error of the mean.