CONSTRANTS ON THE MASS ACCRETION RATE OF NEUTRINO-COOLED DISKS IN GAMMA-RAY BURSTS

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Received 2007 September 3; accepted 2007 December 3

ABSTRACT

We present a unified description of all the three known classes of optically thick accretion disks around black holes, namely Shakura-Sunyaev disks, slim disks, and neutrino-dominated accretion flows (NDAFs). It is found that NDAFs have both a maximal and a minimal possible mass accretion rate at each radius. This may suggest an interpretation for the origin of X-ray flares observed in gamma-ray bursts.

Subject headings: accretion, accretion disks — black hole physics — gamma rays: bursts — neutrinos

1. INTRODUCTION

Recently, Gu & Lu (2007) addressed a theoretical problem concerning the slim accretion disk model in the fundamental sense. In this model (e.g., Abramowicz et al. 1988; Kato et al. 1998, p. 242), in dealing with the vertical hydrostatic equilibrium of the disk, the well-known pseudo-Newtonian potential of Paczyński & Wiita (1980),

\[ \psi(r,z) = -\frac{GM}{\sqrt{r^2 + z^2} - r_g}, \]  

(1)

was approximated in the form suggested by Hoshii (1977), i.e.,

\[ \psi(r,z) = \psi(r,0) + \Omega_K^2 z^2/2, \]  

(2)

where \( r \) and \( z \) are cylindrical coordinates, \( M \) is the mass of the central black hole, \( r_g = 2GM/c^2 \) is the Schwarzschild radius, and \( \Omega_K = (GM/r)^{1/2}(r - r_g) \) is the Keplerian angular velocity. As shown by Gu & Lu (2007), equation (2) is valid only for geometrically thin disks such as Shakura-Sunyaev disks (SSDs; Shakura & Sunyaev 1973), i.e., with \( H \ll r \), where \( H \) is the half-thickness of the disk; this equation is invalid for slim disks that may have \( H \ll r \) or \( H \sim r \), because in this case it would greatly magnify the gravitational force in the vertical direction of the disk, \( \partial \psi/\partial z \). When the explicit form of Paczyński-Wiita potential (eq. [1]) is used to calculate the vertical gravitational force, the relationship that is obtained with equation (2) is applicable only for geometrically thin disks, i.e., \( c_s/\Omega_k H = \text{constant} \), where \( c_s \) is the sound speed, does not hold for slim disks. Accordingly, and more seriously, it is found that slim disks cannot exist at large radii of black hole accretion flows with large accretion rates, and only the inner regions of these flows can possibly take the form of slim disks, provided accretion rates are effectively reduced by outflows from the outer regions.

Slim disk are optically very thick in the vertical direction, such that photons are sufficiently trapped within the disk and advected along with the disk matter into the black hole. In this paper, we extend the work of Gu & Lu (2007) into another class of accretion disks, namely neutrino-cooled accretion disks (or neutrino-dominated accretion flows, NDAFs) around stellar mass black holes. These disks are known to be plausible candidates for the central engines of gamma-ray bursts (Popham et al. 1999; Narayan et al. 2001; Kohri & Mineshige 2002; Di Matteo et al. 2002; Kohri et al. 2005; Lee et al. 2005; Gu et al. 2006; Chen & Beloborodov 2007; Liu et al. 2007; Kawanaka & Mineshige 2007; Janiuk et al. 2007). They can be regarded as an even more extreme case of optically thick disks, since their density and temperature are so high (\( \rho \sim 10^{10} \, \text{g cm}^{-3}, T \sim 10^{10} \, \text{K} \)) that photons are completely trapped and only energetic neutrinos are emitted away.

2. EQUATIONS

NDAFs may have \( H \) comparable to \( r \) (e.g., Popham et al. 1999; Chen & Beloborodov 2007; Janiuk et al. 2007), so their hydrodynamics and thermodynamics are expected to be similar to those of slim disks. We write the continuity, radial momentum, angular momentum, and energy equations of NDAFs in the formalism of Gu & Lu (2007) for slim disks, where equation (1) was used to integrate the vertical hydrostatic equilibrium equation and the simple relation \( c_s^2/\Omega_k H = \text{constant} \) was abandoned:

\[ \dot{M} = -2\pi r \Sigma v_r = \text{constant}, \]  

(3)

\[ v_r \frac{d v_r}{d \ln r} + c_s^2 \frac{d}{d \ln r} \left( \frac{\rho}{\Omega^2} \right) = -\frac{\Omega^2 (r - r_g)^2}{\Sigma} \]  

\[ \times \int_{-H}^{H} \frac{\rho}{\sqrt{1 + (z/r)^2} - r_g/r} \sqrt{1 + (z/r)^2} dz, \]  

(4)

\[ \dot{M}(\Omega r^2 - j_0) = 2\pi \alpha r^2 \Pi, \]  

(5)

\[ Q_{\text{vis}} = Q_{\text{adv}} + Q_{\nu}. \]  

(6)

In these equations, \( \dot{M} \) is the mass accretion rate, \( v_r \) is the radial velocity, \( \Omega \) is the angular velocity, \( \Sigma = \int_{-H}^{H} \rho dz \) is the surface density, \( \Pi = \int_{-H}^{H} \rho dz \) is the vertically integrated pressure, \( \rho \) is the mass density, \( p \) is the pressure, the sound speed is defined as \( c_s = (\Pi/\Sigma)^{1/2} \), \( j_0 \) is an integration constant representing the specific angular momentum accreted by the black hole, and \( \alpha \) is the Shakura-Sunyaev viscosity parameter. The viscous heating rate is \( Q_{\text{vis}} = \dot{M} \Omega^2 f g/2\pi \), where \( f = 1 - j/\Omega_k r^2, j = j_0/\omega, \omega = \Omega/\Omega_k \) is assumed to be a constant that is smaller than 1 (sub-Keplerian rotation), and \( g = -d \ln \Omega_k /d \ln r \); the advective cooling rate is \( Q_{\text{adv}} = \xi M c_s^2/2\pi r^2 \), with \( \xi \) being a dimensionless quantity of the order of unity (Kato et al. 1998, p. 272). All these definitions and relations are similar to those for slim disks. What is new in the case of NDAFs, however, is that in the energy equation (6) there is a cooling term due to neutrino radiation, \( Q_{\nu}, \)

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instead of the cooling term due to photon radiation, \( Q_{\text{rad}} \), because of the photon trapping. The neutrino cooling is expressed by a bridging formula that is valid in both the neutrino optically thin and thick regimes:

\[
Q_i = \sum \frac{(7/8)\alpha T^4}{(3/4)[\tau_{\nu_i}/2 + 1/\sqrt{3} + 1/(3\tau_{\nu_i})]}
\]

(Kohri et al. 2005), where \( T \) is the temperature, \( \tau_{\nu_i} \) is the total optical depth for neutrinos, \( \tau_{\nu_i} \) is the absorption optical depth for neutrinos, and the subscript \( i \) runs over the three species of neutrinos \( \nu_e, \nu_{\mu}, \) and \( \nu_\tau \) (see, e.g., Kohri et al. [2005] and Liu et al. [2007] for detailed analyses and calculations of these optical depths). Accordingly, the equation of state is also different from that for slim disks, and is written as

\[
p = p_{\text{gas}} + p_{\text{rad}} + p_e + p_{\nu_i},
\]

where \( p_{\text{gas}}, p_{\text{rad}}, p_e, \) and \( p_{\nu_i} \) are the gas pressure from nucleons, radiation pressure of photons, degeneracy pressure of electrons, and radiation pressure of neutrinos, respectively. Of these four pressure components, only the first two are for slim disks, while the last two are newly appearing for NDAFs. Detailed expressions for these four components are also given in, e.g., Kohri et al. (2005) and Liu et al. (2007). Also note that for the energy equation (6), for simplicity, we ignore another cooling term due to photodisintegration of \( \alpha \)-particles and other heavier nuclei; in other words, we assume that in NDAFs all heavy nuclei are already disintegrated into nucleons.

3. UNIFIED DESCRIPTION OF SSDs, SLIM DISKS, AND NDAFs

With a procedure similar to that of Gu & Lu (2007), thermal equilibrium solutions at a certain radius can be obtained from equations (3)–(6) and (8), with given constant parameters \( M, M, \alpha, \) and \( j \). In our calculations we take \( M = 3 M_\odot, \alpha = 0.1, \) and \( j = 1.83 \alpha r_g \).

As our main result, Figure 1 shows thermal equilibria of NDAFs at each radius \( r \) with corresponding accretion rates \( \dot{M} \). The left and right vertical axes are for \( \dot{M} \) in units of the Eddington accretion rate \( \dot{M}_{\text{edd}} = 64\pi GM/c_{\text{esc}} \), where \( c_{\text{esc}} = 0.34 \text{ cm}^2 \text{ g}^{-1} \) is the electron scattering opacity, and of \( M_\odot \text{ s}^{-1} \), respectively. To have a complete picture of all the known classes of optically thick accretion disks around black holes, the results of Gu & Lu (2007) for SSDs and slim disks are also included in the figure. It is seen that the \( \dot{M}-r \) plane is divided into four regions by five lines: \( a, b, c, d, \) and \( e \). As described in Gu & Lu (2007), the region below line \( a \) is for stable, photon-radiation cooled and gas-pressure supported SSDs; the region between lines \( a \) and \( b \) is for unstable, photon-radiation-pressure supported but not yet advective-cooling dominated SSDs; the region between lines \( b \) and \( c \) is for stable, advective-cooling dominated and photon-radiation-pressure supported slim disks; and line \( c \) represents a maximal possible accretion rate for each radius, above which no thermal equilibrium solutions exist because the viscous heating \( Q_{\text{vis}} \) is always larger than the total cooling \( (Q_{\text{adv}} + Q_{\text{rad}}) \). What is new in the figure is lines \( d \) and \( e \). The “no solution” region above line \( c \) extends upward until line \( d \), above which the cooling due to neutrino radiation, \( Q_{\nu} \), becomes important or even dominant, such that thermal equilibrium solutions can be established again, and these are exactly NDAF solutions. Thus, line \( d \) represents the lower limit of \( M \) needed for NDAFs to be realized. Similar to line \( c \), line \( e \) shows the upper limit of \( M \) for NDAFs, beyond which the heating \( Q_{\text{vis}} \) is always too large to be balanced by the total cooling \( (Q_{\text{adv}} + Q_{\nu}) \), and no thermal equilibrium solutions can exist. Therefore, the joining point of lines \( d \) and \( e \) at \( r \approx 185 r_g \), marked by a filled circle, defines the maximal possible outer boundary of an NDAF.

We repeat that finding a radius-dependent maximal possible accretion rate, \( M_{\text{max}}(r) \), for slim disks as well as for NDAFs (i.e., lines \( c \) and \( e \)) is possible through equation (1). The physical reason for the existence of \( M_{\text{max}}(r) \) is that the black hole’s gravitational force in the vertical direction, correctly calculated from equation (1), can only gather some limited amount of accreted matter; beyond this limit the pressure force would be too large to be balanced by the gravitational force, and outflows are likely to be produced as a result.

Note that in Figure 1 the upper limit line \( c \) for slim disks and the lower limit line \( d \) for NDAFs join into a single line at \( r \approx 18.6 r_g \), and the region for slim disk solutions and that for NDAF solutions combine into a single region, ranging over several orders of magnitude in \( M \). There is no boundary separating these two seemingly very different classes of black hole accretion disks, slim disks and NDAFs. Both are optically very thick for photons. As the accretion rate increases, the processes of neutrino emission operate and become important, and the accretion flow changes from the slim disk form to the NDAF form. By also including SSD solutions, which are separated from slim disk solutions by the unstable region and can possibly connect slim disk solutions via limit-cycle oscillations (e.g., Suzsukiewicz & Miller 2001; Li et al. 2007), Figure 1 does provide a unified description of all the three known classes of optically thick accretion disks around black holes.

Line \( e \) in Figure 1 seems to indicate that for very small radii, the allowed accretion rate of NDAFs could be as high as \( \sim 10^5 - 10^6 M_\odot \text{ s}^{-1} \). One might worry that the density of the matter flow there could reach up to the same level as or even larger than the nuclear density; then there would be uncertainties in calculating the total pressure, the neutrino cooling rate, and so on, because such calculations require information about the nuclear equation of state in detail, which is highly model-dependent and unclear.
at present. Our answer to this problem is that such a high accretion rate for a small radius is only a theoretical upper limit and is unlikely to be astrophysically realizable. All the related theoretical models and numerical calculations have agreed that the accretion rate needed to power a GRB is in the range of $\sim 0.01 - 10 M_\odot s^{-1}$ (e.g., Popham et al. 1999; Kawanaka & Mineshige 2007). We plot in Figure 2 the mass density on the equatorial plane, $\rho_0$, as functions of $r$ for four different values of $M$: 0.01, 0.1, 1, and $10 M_\odot s^{-1}$. It can be seen that even for $M = 10 M_\odot s^{-1}$, $\rho_0$ is far below the nuclear density, which is $\sim 10^{14} \text{ g cm}^{-3}$. Our calculations also give that (not drawn in Fig. 2) only for an unrealistically high accretion rate $M \sim 10^6 M_\odot s^{-1}$, $\rho_0$ could reach up to $\sim 10^{14} \text{ g cm}^{-3}$ in a very small region, $r \leq 4r_g$. Thus, Figure 1 does not seem to suffer the density problem.

Figure 3 shows thermal equilibrium solutions in the $\dot{M} - \Sigma$ plane for fixed radii $r = 5r_g$ (solid line) and $r = 100r_g$ (dashed line). Such a design is widely found in the literature, as it is a useful tool for the local stability analysis: solutions on the lines with a positive derivative ($\partial M / \partial \Sigma > 0$) are viscously stable, while those with a negative derivative ($\partial M / \partial \Sigma < 0$) are viscously unstable (e.g., Kato et al. 1998). As seen from the figure, for a small radius ($r = 5r_g$) there is a continuous $S$-shaped sequence of thermal equilibria, of which the lower and middle branches correspond to stable SSDs and unstable SSDs, respectively; the upper branch extends from stable slim disk solutions to stable NDAF solutions with increasing $M$ and ends at the maximal possible $M$ for NDAFs (i.e., line $e$ in Fig. 1). For a large radius ($r = 100r_g$), however, the solution sequence is no longer continuous, but is broken into two parts by the “no solution” region shown in Figure 1: the lower part is still for stable SSDs and unstable SSDs, and the upper part is for stable NDAFs and is bounded by the minimal and maximal possible $M$ (i.e., lines $d$ and $e$ in Fig. 1). Previous works (Kohri & Mineshige 2002; Di Matteo et al. 2002; Kawanaka & Mineshige 2007) have proved that NDAFs are stable. Our results here confirm this conclusion, but with an additional remark that for NDAFs the allowed accretion rate has both a lower and an upper limit.

All the above results are obtained with a one-dimensional (1D) analytical model, that is, as in most previous works on slim disks as well as NDAFs (see the references in § 1), we solve the vertically integrated equations and do not consider the vertical structure of the disk. Strictly speaking, simple 1D models can be valid only for geometrically thin disks such as SSDs. For slim disks and NDAFs, which are geometrically not thin, the multidimensional effects on the disk’s basic properties, e.g., the various timescales and the cooling rates, can be important or even crucial, so the validity of 1D approximations should be checked by at least two-dimensional (2D) studies. Recently, 2D radiation-hydrodynamical numerical simulations and analytical treatments of supercritical accretion flows, to which slim disks and NDAFs belong, have been made (Ohsuga et al. 2005; Ohsuga 2007; Kohri et al. 2007). These works showed that it is indeed quite important to consider carefully the vertical dependence of fluid quantities and the radiative diffusion in the vertical direction. Compared with the correct 2D results, the 1D slim disk model (Abramowicz et al. 1988; Kato et al. 1998) tends to overestimate $Q_{\text{rad}}$, and hence underestimate $Q_{\text{adv}}$, and overestimate the luminosity. Another important multidimensional effect for slim disks is that photon trapping modifies the spectral energy distribution. For NDAFs, however, similar multidimensional effects were only predicted, but not proved (Kohri et al. 2007). Having mentioned these recent 2D works, we think that the validity of our 1D results here is unlikely to be affected. We do not calculate the luminosity or the radiation spectrum. What we have done is a dynamical study. We pay attention to the thermal equilibrium between the viscous heating $Q_{\text{vis}}$ and the total cooling, which is the sum of $Q_{\text{rad}}$ and $Q_{\text{adv}}$ for slim disks and the sum of $Q_{\text{d}}$ and $Q_{\text{adv}}$ for NDAFs. Even though $Q_{\text{rad}}$ (and perhaps $Q_{\text{d}}$ as well) may be overestimated and $Q_{\text{adv}}$ underestimated in the 1D calculations, these two inaccuracies should tend to cancel each other, such that the resulting total cooling is likely to remain a reasonable estimate. From the analysis of thermal equilibrium solutions we find, as our main result, that NDAFs have both a maximal and a minimal possible accretion rate at each radius. This result implies that outflows driven by the pressure force are likely to originate from accretion flows with an accretion rate exceeding its allowed upper limit. Such outflows have been found in the 2D simulations of supercritical accretion flows (Ohsuga 2007).
4. ON THE ORIGIN OF X-RAY FLARES IN GAMMA-RAY BURSTS

We here briefly discuss an astrophysical implication of our results. A new, unexpected phenomenology of gamma-ray bursts (GRBs) revealed by the Swift satellite is erratic X-ray flares following a number of long-duration and short-duration GRBs. Such flares generally rise and fall rapidly, with typical timescales much shorter than the epoch when the flare occurs. This time behavior strongly supports the “internal” origin of the flares, in contrast to the “external” origin of the power-law decay afterglows; that is, it indicates a restart of the GRB central engine (see, e.g., Proga & Zhang 2006 for references). Although long and short GRBs are likely to be associated with different types of progenitors, namely collapsars for long GRBs and mergers of compact objects for short ones; it is generally believed that the central engines of these two classes of events are in common invoking a hyperaccretion disk around a stellar mass black hole (see, e.g., Perna et al. 2006 for references). Thus, a restart of the GRB central engine is just a restart of accretion. Based on these considerations, models for a common origin of the X-ray flares in both long and short GRBs have recently been proposed, in which accretion of a black hole is repeatedly ended and then restarted either by fragmentation in the outer parts of accretion flows (Perna et al. 2006), or by magnetic flux accumulated in the vicinity of the black hole (Proga & Zhang 2006).

Taking the view that hyperaccretion disks radiate their gravitational energy as neutrinos to power GRBs (i.e., NDAFs; see references listed in § 1), our results here may be suggestive of a new and simpler interpretation for the cause of ending and restarting the accretion process. As seen from Figure 1, NDAF solutions can exist only for the region bounded by lines $d$ and $e$; the joining point (filled circle) of these two limit lines defines the largest possible outer boundary, $r^* \approx 1.85 r_g$, of an NDAF and corresponds to an accretion rate $M^* \approx 0.62 M_\odot \text{ s}^{-1}$. Thus, depending on the place where an inflow toward the black hole originally starts and the available mass supply rate, there are three distinct possible scenarios. (1) The inflow starts at a radius $r < r^*$ and the mass supply rate is within the region between lines $d$ and $e$. In this case, an NDAF forms and a GRB appears, but no flares follow. (2) The inflow either starts at $r < r^*$ with a mass supply rate below line $d$, or starts at $r > r^*$ with a mass supply rate less than $M^*$. This is the case in which no NDAFs can form and no GRBs are produced at all. (3) The inflow either starts at $r < r^*$ with a mass supply rate above line $d$, or starts at $r > r^*$ with a mass supply rate larger than $M^*$. In this case, the flow must first lose matter in the form of outflows (because the pressure force exceeds the gravitational force, as mentioned in § 3), such that its remaining matter ensures an accretion rate within the region between lines $d$ and $e$; then there is an NDAF and a GRB. This primary hyperaccretion ends when the matter in the NDAF is all swallowed by the central black hole as in case 1, but this is not all. Some or all of the outflowing matter can be attracted backward again by the black hole. It is this feedback that is responsible for restarting accretion. Such secondary accretion is expected to have a rate that is substantially lower than the primary hyperaccretion rate and that is insufficient to support another NDAF, so it is likely to be in the form of photon-radiation-cooled disks that produce X-ray flares. Along these lines, a detailed model for X-ray flares in GRBs is being worked out.

For the moment, let us add a few more words about the realistic initial conditions of hyperaccretion flows and the possibilities of the mechanism for X-ray flare production suggested here, by comparing the results for $M$ and the outer boundary of NDAFs given in Figure 1 with those existing in the literature. As already mentioned in § 3, in all the known theoretical models and numerical calculations, the $M$ needed to power a GRB is in the range of $\sim 0.01-10 M_\odot \text{ s}^{-1}$. Further, the most probable $M$ for NDAFs is in the range of $\sim 0.2-1 M_\odot \text{ s}^{-1}$ (Kawanaka & Mineshige 2007). For the outer boundary of NDAFs, defined as the radius inside which neutrino cooling is significant, Chen & Beloborodov (2007) calculated that it is $\sim 100 r_g$ for $\alpha = 0.1$ and $\sim 200 r_g$ for $\alpha = 0.01$. All these values are consistent with the NDAF region bounded by lines $d$ and $e$ in Figure 1. Regarding the realistic conditions in the progenitor systems of GRBs, it is less clear where the inflow originally starts and how large the initial mass supply rate is, but it seems plausible that short GRBs resulting from mergers of compact objects have smaller accretion disks, and long GRBs resulting from collapsars have larger ones (e.g., Popham et al. 1999; Narayan et al. 2001). In view of these facts, it should be possible for case 3 described above to be realized. For instance, if an inflow starts at $r < r^*$ with a mass supply rate above line $d$, then the resulting GRB is likely a short one with flares following it; or if an inflow starts at $r > r^*$ with a mass supply rate larger than $M^*$, then there is the chance for a long GRB instead, and with flares as well.

We thank Z. G. Dai for beneficial discussions and the referee for helpful comments. This work was supported by the National Natural Science Foundation of China under grants 10503003 and 10673009 and the Natural Science Foundation of Fujian Province of China under grant V0750001.

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