Uniform Atmospheric Retrieval Analysis of Ultracool Dwarfs. II.
Properties of 11 T dwarfs

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Abstract

Brown dwarf spectra are rich in information revealing of the chemical and physical processes operating in their atmospheres. We apply a recently developed atmospheric retrieval tool to an ensemble of late-T dwarf (600–800 K) near-infrared (1–2.5 μm) spectra. With these spectra we are able to directly constrain the molecular abundances for the first time of H2O, CH4, CO, CO2, NH3, H2S, and Na+K, surface gravity, effective temperature, thermal structure, photometric radius, and cloud optical depths. We find that ammonia, water, methane, and the alkali metals are present and that their abundances are well constrained in all 11 objects. We find no significant trend in the water, methane, or ammonia abundances with temperature, but find a very strong (>25σ) decreasing trend in the alkali metal abundances with decreasing effective temperature, indicative of alkali rainout. As expected from previous work, we also find little evidence for optically thick clouds. With the methane and water abundances, we derive the intrinsic atmospheric metallicity and carbon-to-oxygen ratios. We find in our sample that metallicities are typically subsolar (–0.4 < [M/H] < 0.1 dex) and carbon-to-oxygen ratios are somewhat supersolar (0.4 < C/O < 1.2), different than expectations from the local stellar population. We also find that the retrieved vertical thermal profiles are consistent with radiative equilibrium over the photospheric regions. Finally, we find that our retrieved effective temperatures are lower than previous inferences for some objects and that some of our radii are larger than expectations from evolutionary models, possibly indicative of unresolved binaries. This investigation and method represent a new and powerful paradigm for using spectra to determine the fundamental chemical and physical processes governing cool brown dwarf atmospheres.

Key words: brown dwarfs – infrared: stars – methods: statistical – radiative transfer – stars: abundances – stars: atmospheres

Supporting material: tar.gz file

1. Introduction

Brown dwarf spectra contain a wealth of information about the physical and chemical processes occurring in their atmospheres and possible formation avenues. Such processes include, but are not limited to, vertical atmospheric energy balance, disequilibrium chemistry, cloud formation/distribution and subsequent impact on molecular composition, and variability, and they contain both chemical and physical links to their formation and evolution (see the review by Marley & Robinson 2015). The main diagnostic quantities that can be obtained directly from a spectrum are the vertical thermal structure, molecular abundances, spectroscopic radius, and cloud properties. Deriving these diagnostic quantities is no simple task. Progress in characterization always involves the interplay between observational and modeling efforts. It is from the comparison with models that we aim to understand the underlying physics and chemistry in substellar atmospheres.

The classic modeling approach for interpreting brown dwarf spectra is to generate a set of predictive self-consistent radiative-convective-thermochemical equilibrium models that can be compared to the data (Allard et al. 1996; Marley et al. 1996; Tsuji et al. 1996; Burrows et al. 2001). Grids of model spectra are typically generated by varying only a few parameters, usually gravity and effective temperature, with all of the physics and chemistry in the atmospheres assumed (e.g., self-consistent radiative equilibrium plus equilibrium chemistry is used to predict the thermal structures and molecular abundances). These models are then scanned or interpolated along these parameters via a chi-squared search until a best fit, or range of best-fit parameters, is found (e.g., Cushing et al. 2008; Stephens et al. 2009; Rice et al. 2010; Liu et al. 2011). In some cases, these few parameters are not enough to adequately explain the spectra. Often times, the fits are rather poor, making any inference about these quantities and their corresponding uncertainties invalid (e.g., Czekala et al. 2015). This suggests that the physical assumptions made...
within the grid models are not adequate to describe the data and that the grid models fail to use the full information content of the data.

To remedy these issues, some modelers chose to add additional physical parameters to their models such as disequilibrium chemistry via an eddy diffusivity (Sauzon et al. 2006; Stephens et al. 2009) and more sophisticated cloud parameterizations (Ackerman & Marley 2001; Cushing et al. 2008). In these cases, it is possible to obtain better fits, but still with unphysical values for some parameters, suggesting that something else is missing. Furthermore, often-unknown differing assumptions within the models from different groups, given the same sets of basic parameters, do not agree, resulting in inconsistent interpretations of the same data sets (Figure 8, Patience et al. 2012).

Motivated by these shortcomings, Line et al. (2014a, 2015) (Line et al. 2015 referred to as “Part I” hereafter) built upon the success of atmospheric retrieval methods applied to Earth (Rodgers 1976, 2000, Twomey 1977, Crisp et al. 2004), solar system bodies (Conrath et al. 1998; Fletcher et al. 2007; Irwin et al. 2008; Greathouse et al. 2011), and exoplanet atmospheres (Benneke & Seager 2012; Lee et al. 2012; Line et al. 2012, 2013; Barstow et al. 2013, 2014; Waldmann et al. 2015a, 2015b) to develop a framework for retrieving the molecular abundances, vertical temperature structure, gravity, and other quantities. Such an approach is independent of the detailed physical and chemical mechanisms governing the atmosphere; rather it allows the data to directly determine the fundamental quantities that impact the emergent spectrum, such as the opacities (governed by molecular abundances and cloud properties) and radiative source functions (governed by the thermal structure). It is from these diagnostic quantities that we can infer the properties of chemical and physical processes that are typically assumed in grid models. In short, self-consistent predictive grid models describe the state of the atmosphere with far fewer parameters (e.g., effective temperature and gravity), but incorporate more assumed physical processes that map these parameters onto the observable. The retrieval approach requires many more parameters but fewer assumptions.

Part I leveraged the power of two benchmark brown dwarf-stellar systems (Gl570 and HD3651) for which we know the host star ages, metallicities, and a new dimension, carbon-to-oxygen ratios (C/Os). The goal of Part I was to demonstrate the feasibility of our approach by retrieving these quantities from the companion brown dwarfs. Assuming coevolution, the brown dwarfs should have the same ages, metallicities, and C/Os as the host star. With low-resolution near-infrared SpeX data of Gl570D and HD3651b, Part I was able to show that the retrieval method was indeed able to reproduce these quantities to within the errors, thus validating the approach. We also showed, for the first time, that one could robustly detect the presence of ammonia in low-resolution near-infrared data, without resolving individual spectroscopic features. This work demonstrated the power of using a Bayesian approach to detect molecules that would otherwise have only been detected at higher resolution (e.g., Canty et al. 2015) or at longer wavelengths (e.g., Sauzon et al. 2006; Hubeny & Burrows 2007). Furthermore, we were able to rule out the presence of optically significant clouds within the observable portion of the atmosphere of these objects. Finally, via the retrieved temperature structures, we were able to validate the radiative equilibrium assumption commonly made in self-consistent grid models.

To illustrate the differences between a model fit obtained within a typical “grid-model” framework and one within a “retrieval” framework, we compare a representative grid-model best fit (Morley et al. 2012) to a representative retrieval model (Part I) best fit in Figure 1 on the benchmark T dwarf, Gl570D. The grid models are based on Morley et al. (2012), with the spectra being computed on a grid as a function of 4 free parameters: effective temperature, gravity, cloud sedimentation (Ackerman & Marley 2001), and a flux scaling factor. The nominal best-fit grid model (effective temperature = 900 K, log gravity = 5, no cloud) results in a chi-square per data point of 14.5, with 139 data points. With 4 free parameters, this results in a Bayesian information criterion value of 2035.2. In contrast, the retrieval model (red) with 27 free parameters produces a chi-square per data point of 5.0 and a BIC of 832.4. Despite the factor of 7 in the number of free parameters, the retrieval model is overwhelmingly favored with a ∆BIC of ~1200, which would be considered “Very Strong” on the Jeffery scale.
are not always immune to this either. As shown in Part I, the solutions obtained with our method are indeed chemically and physically plausible. We elaborate on this further in Section 4.2.1.

In this manuscript we apply the Part I retrieval methods to a small sample (11) of late-T dwarfs (T7–T8) within the SpeX Prism Library (Burgasser 2014) in order to identify diagnostic physical/compositional trends. The power of applying the same model to data taken with the same instrument is that the results can be readily intercompared, without worry of unknown model or data differences skewing intercomparisons.

We focus our analysis on late-T dwarfs in this investigation for several reasons: first, they have deep spectral features due to strong water absorption and relatively cloud-free atmospheres, directly translating into a wide range of atmospheric pressures probed. This in turn should provide strong leverage on temperature–pressure (TP) profile constraints. Second, the presumed relatively cloud-free atmospheres (e.g., Allard et al. 1996; Marley et al. 1996) mitigate significant temperature-cloud-gas abundance degeneracies. Third, the cool temperatures (∼600–800 K) of late-T dwarfs are thermochemically predicted to result in large methane and water abundances (Lodders & Palme 2009). These species are both spectrally prominent with multiple bands across the near-infrared, and are the dominant carbon and oxygen reservoirs, untainted by disequilibrium chemistry, allowing for robust metallicity and C/O determinations. Finally, this temperature range encompasses the peak of the equilibrium temperatures spanned by most transiting exoplanets (Batalha 2014). Understanding the physical and chemical processes in these unirradiated T dwarf laboratories, with data of similar spectral quality as anticipated to come from the James Webb Space Telescope, will doubtlessly provide a solid foundation and context on which we can base our planetary atmosphere inferences in the not too distant future.

Our long-term goal is to extract molecular abundances, thermal structures, cloud properties, etc., for a large number of objects by working our way up (and down) the brown dwarf spectral sequence, starting with these late-T and then Y dwarfs, followed by more cloudy mid- to early-T and finally L dwarfs. By investigating “simpler” (e.g., cloud-free) objects first, we can continue to identify the strengths and weaknesses of our model parameterization, making adjustments along the way.

The manuscript is organized as follows: Section 2 summarizes the method outlined in Part I and identifies small changes. Section 3 introduces our sample of 11 T dwarfs. Section 4 presents our retrieved quantities and the useful derived diagnostic quantities and trends. Finally, Section 5 compares our results to previous investigations, and Section 6 summarizes our findings and discusses implications.

### 2. Methods

Here we summarize the methods we developed in Part I. We couple a simple thermal emission radiative transfer forward model (Line et al. 2013, 2014a, 2014b, 2015) with the powerful EMCEE sampler (Foreman-Mackey et al. 2013). The task of the forward model is to compute the observable given a set of parameters that describe the state of the atmosphere. In this case, the observable is the disk-integrated top-of-atmosphere thermal flux with wavelength, also known as the spectrum. We neglect scattering and assume pure absorption. This assumption will break down in the presence of strongly forward-scattering clouds. The parameters that we use to describe the state of the atmosphere are the constant-with-altitude volume-mixing ratios for H2O, CH4, CO, CO2, NH3, H2S, Na, and K, surface gravity, TP profile, and the radius-to-distance dilution factor, which effectively scales the top-of-atmosphere model flux to the appropriate distance and radius. We use the same novel TP-profile retrieval scheme described in Part I. All other nuisance parameters from Part I are included (see Table 1).

We discuss a few points relevant to our modeling approach that were not discussed in Part I. First, the statistics reviewer for Part I suggested we explore the impact of alternate priors, specifically the Dirichlet prior, which is suitable for compositional mixtures. Specifically, we explored the center-log-transform implementation (Aitchison 1986; Benneke & Seager 2012) on Gl570D (from Part I) and found no meaningful difference in the posteriors, at least for the set of seven retrievable gases included in our current model. For continuity with Part I, we therefore choose to continue to use uniform-in-log priors.

Second, we explore the impact of tabulated absorption cross-section resolution. As in Part I, we use the absorption cross-section database described in Freedman et al. (2008, 2014) and references therein. Line-broadening parameters are drawn from a variety of sources discussed within Freedman et al. (2008, 2014). This database contains precomputed cross sections on a variable resolution wavenumber grid that samples the lines at one-fourth of their Voigt half widths on a pressure-temperature grid spanning 75 and 4000 K and 300-1E-6 bars (see Freedman et al. 2008 for details of the generation of the database). This resolution is fine enough to resolve the individual molecular lines over the wavelengths and environmental conditions we are interested in, and for all intents and purposes can be considered line-by-line. Of course we do not perform our retrievals at such fine resolution as that would result in optical depth computations for ∼10⁶ lines—this is not computationally practical for a retrieval. Instead we use a cross-section sampling method to

### Table 1

| Parameter                  | Description                                                                 |
|----------------------------|------------------------------------------------------------------------------|
| log(f_i)                   | log of the uniform-with-altitude volume-mixing ratios of H2O, CH4, CO, CO2, NH3, H2S, and alkali (Na-K) |
| logg                       | log gravity (cm s⁻²)                                                         |
| (R/D)(2)                   | radius-to-distance scaling (R_p/rc)                                          |
| Tc                         | temperature at 15 pressure levels (K)                                        |
| Δλ                         | uncertainty in wavelength calibration (nm)                                    |
| b                          | error bar inflation exponent                                                 |
| γ, β                       | TP-profile smoothness hyperparameters                                        |
| ρ_P, P_d, α                | Cloud opacity profile parameters                                             |

Notes.

- Part I had 27 free parameters for the cloud-free model. The additional parameters here are the second smoothing prior hyper parameter (see footnote (b)) and the three-cloud opacity profile parameters.
- This is a new parameter not included in Part I. It adds flexibility to the inverse gamma hyper-prior distribution on γ. It could be considered a “hyper-hyper-parameter.” However, its influence was found to be negligible when comparing it to the nominal fixed value in Part I (see Table 2 in Part I).
reduce this number. We employ the method described in Sharp & Burrows (2007), where we downsample the high-resolution cross-section database to 1 cm\(^{-1}\) resolution by interpolating the line-by-line grid to the courser resolution grid. This has been suggested in Sharp & Burrows (2007) to be a good balance between accuracy and efficiency. Figure 2 shows a model spectrum convolved to a typical low-resolution SpeX observation (wavelength-dependent resolving power, \(R \sim 80–120\)) under 0.01, 0.1, and 1 cm\(^{-1}\) sampling resolutions. We find that the residuals with respect to the line-by-line model (taken to be the 0.01 cm\(^{-1}\) resolution) are minimal (typically an order of magnitude less relative uncertainty) relative to typical observational uncertainties, and therefore have no impact on our retrieved quantities.

Part I used a simple gray slab, non-scattering cloud scheme and found its inclusion had virtually no impact on any of the other retrieved quantities for Gl570D and HD3651b. Because there are warmer T dwarfs in our new sample, where clouds may potentially become more important, we use a somewhat more complex cloud parameterization (although it turns out not to matter) to account for possible vertical variation in the cloud profile. We use a similar parameterization as Burrows et al. (2006), where the cloud opacity, \(\kappa_c(P)\), is given by

\[
\kappa_c(P) = \kappa_{P_0}\left(\frac{P}{P_0}\right)^\alpha,
\]

where \(P_0\) is the cloud base pressure, \(\kappa_{P_0}\) is the specific absorption coefficient (area/mass) at the base of the cloud, and \(\alpha\) describes shape of the cloud profile (or how quickly the cloud opacity decreases with decreasing pressure from the base of the cloud). Given the lack of evidence for clouds in the two objects explored in Part I and the lack of detailed cloud-diagnosing spectroscopic features over the SpeX wavelengths, more complex parameterizations are unjustified (e.g., Morley et al. 2012).

3. Late-T sample

As in Part I, we draw from the SpeX Prism Library\(^{14}\) (Burgasser 2014) because it offers continuous spectral coverage and instrumental uniformity across hundreds of objects. We selected 11 objects with known parallaxes that fell within the T7–T8 spectral classes and had near-infrared spectra in the original SpeX Prism Library as of 2015. The normalized spectra are calibrated to flux units using the approach in Part I, but instead of using 2MASS photometry, we now use \(H\)-band photometry from the literature on the Mauna Kea Observatories (MKO) photometric system (Tokunaga et al. 2002; Simons & Tokunaga 2002). We briefly describe the state of knowledge of each of the objects below, focusing on metallicity, multiplicity, variability, and evolutionary properties (which is very heterogeneous). Table 2 provides the spectral types, the MKO \(H\) magnitude, parallaxes, and associated references required for this study, and Table 3 shows the published grid-model atmosphere fits of these objects spectra and the resulting physical parameters, typically gravity and effective temperature. Derivations of physical parameters using evolutionary models, i.e., based on the object’s bolometric luminosities and assumed ages, are not included.

HD 3651B (T7.5): This is a wide (43\(^{\circ}\); 480 au) substellar companion to a K0V star, which also hosts a radial velocity exoplanet (\(M \sin i = 0.229 \pm 0.015 M_{\text{Jup}}\); Fischer et al. 2003). The stellar parameters and activity of the primary star have been well studied. Liu et al. (2007) summarized the available age data for the primary based on chromospheric activity, X-ray emission, gyrochronology, and stellar-isochrone fitting, adopting the last method’s result of 3–12 Gyr from Valenti & Fischer (2005). Based on this, Liu et al. (2007) used evolutionary models and the T dwarf bolometric luminosity to derive \(T_{\text{eff}} = 810 \pm 30\ \text{K}\) and \(\log(g) = 5.3 \pm 0.2\). More recent isochrone-based analyses give ages of 5.13 Gyr (Casagrande et al. 2007), 6.059 Gyr (Fernandes et al. 2011), 6.9 ± 2.8 Gyr (Bonfanti et al. 2016), and 10 ± 3.5 Gyr (Ligi et al. 2016; which includes a direct stellar radius measurement from interferometry) Mamajek & Hillenbrand (2008) estimate an age of 6.4–7.7 Gyr from chromospheric activity. The primary star is well established to have supersolar metallicity, [Fe/H] = 0.12–0.18, as summarized in Part I, which also analyzes the C and O abundances. 2MASS J00501994–3322402 (T7): Radigan et al. (2014) report no variability in

\(^{14}\) http://pono.ucsd.edu/~adam/browndwarfs/spexprism/html/dwarf.html
Table 2
Summary of Basic Sample Properties

| Object          | SpT Near-IR | $H_{\text{MKO}}$ (mag) | $d_\circ$ (pc) |
|-----------------|-------------|------------------------|---------------|
| HD 3651B (1)    | T7.5 (9)    | 16.68 ± 0.04 (9)       | 11.06 ± 0.04 (17) |
| 2MASS J00501994−3322402 (2) | T7 (10) | 16.04 ± 0.10 (11) | 10.57 ± 0.27 (13) |
| 2MASSI J0415195−093506 (3) | T8 (10) | 15.67 ± 0.01 (12) | 5.71 ± 0.06 (13) |
| 2MASSI J0727182+171001 (3) | T7 (10) | 15.67 ± 0.03 (12) | 8.89 ± 0.07 (13) |
| 2MASS J07290002−3954043 (4) | T8 pec (4) | 16.05 ± 0.18 (13) | 7.92 ± 0.52 (18) |
| 2MASS J09393548−2448279 (2) | T8 (10) | 15.96 ± 0.09 (14) | 5.34 ± 0.13 (19) |
| 2MASS J11145133−2618235 (5) | T7.5 (2) | 15.82 ± 0.05 (11) | 5.58 ± 0.04 (13) |
| 2MASSI J1217110−031113 (5) | T7.5 (10) | 15.98 ± 0.03 (15) | 11.01 ± 0.27 (20) |
| ULAS J141623.94+134836.3 (6) | T7.5 (7), (21) | 17.58 ± 0.03 (6) | 9.12 ± 0.11 (13) |
| Gliese 570D (8) | T7.5 (10) | 15.28 ± 0.05 (16) | 5.84 ± 0.03 (17) |
| 2MASS J1553022+153236AB (3) | T7 (10) | 15.76 ± 0.03 (12) | 13.32 ± 0.11 (13) |

References. (1) Mugrauer et al. (2006), (2) Tinney et al. (2005), (3) Burgasser et al. (2002), (4) Looper et al. (2007), (5) Burgasser et al. (1999), (6) Burningham et al. (2010), (7) Scholz et al. (2010), (8) Burgasser et al. (2000), (9) Luhman et al. (2007), (10) Burgasser et al. (2006b), (11) Leggett et al. (2010), (12) Knapp et al. (2004), (13) Dupuy & Liu (2012), (14) Leggett et al. (2009), (15) Leggett et al. (2002), (16) Leggett et al. (2010), (17) van Leeuwen (2007), (18) Faherty et al. (2012), (19) Burgasser et al. (2008), (20) Tinney et al. (2003), (21) Burgasser et al. (2010b).

J band, while Wilson et al. (2014) find a 10.8% amplitude; reanalysis of the latter’s data by Radigan (2014) suggests that the variability detection is spurious. Metchev et al. (2015) robustly find a mid-IR [4.5] variability of 1.1% with a periodicity of 1.55 hr, which is among the shortest periods identified in optical/IR photometry of ultracool dwarfs. Of the objects with mid-IR variability, 2MASS J0050−33 is unique in that it is only variable at [4.5] and not at [3.6].

2MASS J0415195−093506 (T8): This well-studied object is the T8 spectral standard for the Burgasser et al. (2006b) near-IR classification scheme. From near- and mid-IR data, Saumon et al. (2007) inferred a likely supersolar metallicity ([Fe/H] = 0.0−0.3). Based on IR colors and magnitudes, Leggett et al. (2010) use models to infer that this object is slightly cooler and probably more metal-rich than Gl 570D, in agreement with the Saumon et al. (2007) spectroscopic analysis. Yamamura et al. (2010) analyze 2.5−5.0 μm AKARI spectra and find the non-equilibrium presence of CO and CO2 bands likely due to significant vertical mixing, in accord with the low abundance of NH3 in this object’s mid-IR spectrum (Saumon et al. 2006).

2MASS J0727182+171001 (T7): This object is the T7 spectral standard for the Burgasser et al. (2006b) near-IR classification scheme. Based on a semi-empirical analysis of the near-IR spectrum using model atmospheres from the Tucson group (e.g., Burrows et al. 2006), Burgasser et al. (2006a) infer a solar metallicity for the object.

2MASS J07290002−3954043 (T8 pec): Looper et al. (2007) suggest this object may be old, as its anomalously brighter Y-band and fainter K-band peaks are suggestive of slightly low metallicity and/or high gravity.

2MASS J09393548−2448279 (T8): This object’s near-IR spectrum and luminosity are consistent with other objects of the same spectral class, but its mid-IR spectrum and unusually bright mid-IR flux point to a cooler object. Burgasser et al. (2006a) suggest high surface gravity and slightly subsolar metallicities given its anomalous (broad) Y-band and (faint) K-band peaks compared to objects of comparable spectral type. Leggett et al. (2007a) reach the same conclusion, and also estimate [M/H] ≈ −0.3 from fitting the near-IR spectrum. Similarly, Leggett et al. (2009) compare the IR colors with models and find a higher gravity and/or lower metallicity than other late-T dwarfs.

Fitting the absolutely flux-calibrated near-IR and mid-IR data with model atmospheres, Burgasser et al. (2008) suggest the source is a low-metallicity ([M/H] ≈ −0.3) unresolved equal-mass binary, based on the unrealistically large fitted radius. Analyzing the same data, Leggett et al. (2009) also favor a binary solution, either a pair of similar 600 K dwarfs (whose temperatures would then be in discord with the near-IR type of T8) or a dissimilar pair of 700 and 500 K dwarfs. In either binary case, Leggett et al. infer slightly subsolar metallicity ([M/H] ≈ −0.3−0.0). The source is unresolved in near-IR adaptive optics imaging down to 0″07 resolution (M. Liu 2017, private communication).

Khandrika et al. (2013) report a marginal detection of K-band variability with large (0.3 mag) amplitude and indeterminate period. However, Radigan et al. (2014) do not find any J-band variability down to ≈0.02 mag, nor do Wilson et al. (2014) report any J-band variability.

2MASS J11145133−2618235 (T7.5): Like 2MASS J0939−24, Burgasser et al. (2006a) suggest high surface gravity and slightly subsolar metallicity for this object based on its anomalously broad Y-band and faint K-band peaks. Leggett et al. (2007a) agree with this assessment and also estimate [M/H] ≈ −0.3 from fitting the near-IR spectrum.

2MASS J1217110−031113 (T7.5): Burgasser et al. (2003) report this has a faint 0″21 (2.3 au) companion in their HST optical imaging, but Burgasser et al. (2006c) conclude this was in fact an uncorrected cosmic ray, based on a non-detection in their follow-up HST near-IR imaging. However, given the 4-year gap between the optical and near-IR imaging, another possibility is that orbital motion moved the companion too close to the primary to be observed in the follow-up epoch. Assuming a ratio of 1.08 between the semimajor axis and projected separation for the moderate-discovery bias case of Dupuy & Liu (2011), the estimated orbital period would be 14–23 years for a total system mass of 30–80 $M_{\text{Jup}}$, so significant orbital period over 4 years is plausible. The H-band absolute magnitude (15.77 mag) is somewhat brighter than typical for T7.5 objects (from Dupuy & Liu 2012: $M_H = 16.3$ mag using polynomial fit, 16.4 mag using averages of all T7.5s), although
within the rms of these averages (±0.5 mag). Thus, binarity remains a possibility.

Burgasser et al. (2006a) note that the brighter $K$-band peak suggests reduced surface gravity compared to other late-T dwarfs, and their spectral analysis infers solar metallicity. The analysis reported by Saumon et al. (2007) of the near-IR spectrum indicates enhanced metallicity, with [Fe/H] close to +0.3, which is corroborated by the $H − [4.5]$ color analysis.
of Leggett et al. (2010). The Saumon et al. (2007) Spitzer mid-IR spectrum is not good enough to constrain the NH$_3$ abundance and thus the degree of chemical disequilibrium, although the latter is suggested by the relatively faint IRAC [4.5] absolute magnitude in comparison to the models.

Leggett et al. (2007b) note that this object’s mid-IR colors (based on $I'$, [3.6], and [4.5]) make it an outlier, not readily explained by gravity, metallicity, or binarity effects. These data may reflect non-equilibrium effects, although the Saumon et al. (2007) modeling does not really match the data, with possible $K_{\nu}$ values spanning an uncommonly large range of $10^2$ to $10^6$ cm$^2$ s$^{-1}$.

Wilson et al. (2014) report possible $J$-band variability with full amplitude of 4.2% ± 1.1%, while Radigan et al. (2014) report no $J$-band variability at the ≈0.03 mag level. Radigan et al. (2014) reanalyzed the strongly variable sources from Wilson et al. (2014) and found that many were not in fact variable, although her reanalysis did not include this object.

ULAS J141623.94+134836.3 (T7.5) This is a very peculiar object. Its very blue $J - K$ and very red $H - [4.5]$ colors suggest low metallicity, as does the unusual shape of its $J$-band peak and the suppressed $K$-band flux (Burningham et al. 2010). Fits to the near-IR spectrum by Burgasser et al. (2010a) indicate both high surface gravity and low metallicity ($[M/H] < -0.3$). Note that the spectra of Burningham et al. (2010) and Burgasser et al. (2010a) significantly disagree about the measurement of the red wing of the $J$-band methane absorption, an unexplained anomaly. The object is a 9" separation (82 au) companion to the peculiar blue late-L dwarf SDSS J141624.08+134826.7 (Bowler et al. 2010; Schmidt et al. 2010), which also suggests low metallicity, although its kinematics are characteristics of a thin-disk object.

Khandrika et al. (2013) find possible variability with a 30-minute timescale and ~11% amplitude, and Radigan et al. (2014) find possible variability with ~1% amplitude.

2MASSI J1553022+153236AB (T7) This is a 0.07349 binary resolved with HST imagery and presumed to be a nearly equal-mass T7/T6.5 pair, with $\Delta F 110W = 0.30$ mag, estimated component spectral types of T6.5 and T7, and an estimated mass ratio of 0.90 ± 0.02 (Burgasser et al. 2006c).

Gliese 570D (T7.5): This is an extremely well-studied object, given its brightness and the fact that it is a member of a quadruple system that includes an M1V+M3V spectroscopic binary and a K4V primary (GL 570A). Liu et al. (2007) estimate an age of 1–5 Gyr based on the available age data at the time, with more recent estimates of 1.36 Gyr from stellar activity (Vican 2012), 6.7$^{+2.5}_{-1.3}$ Gyr from stellar isochrones (Casagrande et al. 2011), and 3.7 ± 0.6 Gyr from gyrochronology (Barnes 2007). Saumon et al. (2006) averaged metallicity data from the recent literature to give [Fe/H] = 0.09 ± 0.04, with more recent determinations of 0.31 from Casagrande et al. (2011) and −0.05 ± 0.17 from Part I.

4. Results

Here we present the retrieval results for the spectra of 11 objects (Figure 3). The relevant retrieved and subsequently derived quantities are summarized via marginalized posterior distributions (Figure 4). The retrieved temperature profiles are summarized in Figure 5. We then present the molecular abundance results, their chemical plausibility, derived elemental abundances, resulting diagnostic trends, implications for cloud formation, and finally comment on the retrieved evolutionary related parameters (gravity, effective temperature, and radius). All quoted and numerical values for retrieved/derived quantities refer to the median and 68% confidence interval width derived from the posteriors of each parameter. Similarly, error bars in all figures represent the 68% confidence interval about the median value for that quantity. The full posterior and correlations can be found in the online supplementary tar.gz archive file. There we also present Python pickle files that contain the Markov chain Monte Carlo (MCMC) derived posterior and some summary data files.

4.1. Thermal Structures

Understanding atmospheric energy balance requires knowledge of the TP profiles. The TP profile of asubstellar atmosphere is largely governed by the opacity structure and internal heat flux, which in turn is dictated by the mass and age (e.g., Allard et al. 1996; Burrows et al. 2001; Saumon & Marley 2008). The two dominant energy transport mechanisms are radiation and convection. Radiative energy transport tries to eliminate any vertical radiative flux divergence. Thermal structures that meet this criterion are said to be in radiative equilibrium. At deep enough layers, where the mean opacities are high, radiative energy transport is no longer effective at carrying the heat flux. At this point, convective energy transport dominates. The location of this radiative-convective boundary for late-T dwarfs is predicted to occur at pressure levels deeper than ~50 bars, or at atmospheric temperatures of ~1500 K (Burrows et al. 2006). Additional heating due to enhanced opacity from cloud particles can sometimes result in multiple convection zones separated by a radiative zone (Burrows et al. 2006).

Other non-traditional sources of heating/cooling that could impact the TP profiles and/or their time dependence (e.g., Robinson & Marley 2014) have been hypothesized. Latent heat release due to cloud condensation can result in a shallower adiabat than predicted from pure gas-phase chemistry alone (Tan & Showman 2017), although the latent heat of typical L and T dwarf clouds is not particularly high (say, compared with water or ammonia clouds, which are important in cold solar system gas-giant atmospheres). Double-diffusive convection resulting from vertical composition gradients can also result in shallower TP profiles in middle to deep atmospheres (Tremblin et al. 2015). Chromospheric heating can cause a more isothermal behavior in the upper (P < ~1 bar) atmospheres (Sorahana et al. 2014). Additional dynamical effects like gravity-wave breaking and horizontal dynamics can also cause perturbations to the TP profile beyond the traditional assumption of 1D radiative-convective equilibrium.

Retrievals are in general agnostic to the physical mechanisms governing the TP profile. Therefore, empirically deriving the TP profiles through retrievals over a wide range of altitudes/pressures and identifying where these retrieved profiles differ from predictions made from typical self-consistent radiative-convective equilibrium profiles (which do not typically account for such additional energy sources) will allow us to diagnose where in the atmosphere “non-standard” atmospheric energetic processes may play a role. Such deviations, if at all present, motivate the addition of new physics to predictive forward grid-models.

Late-T dwarfs (and Y dwarfs) offer the best chances of learning about the TP profiles of brown dwarfs because of the large spectral dynamic range sculpted by strong water vapor absorption and their predominantly cloud-free atmospheres. This high spectral dynamic range provides leverage on the TP
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Figure 3. SpeX data along with their fits, sorted by effective temperature. The data are indicated with the gray diamonds with error bars (typically equal to or smaller than the point size). The retrieval fits are summarized with a median (blue), 1σ, and 2σ confidence intervals (red and pink, respectively) for spectra computed from 1000 random parameter vectors drawn from the posterior. Note the small spread. Residuals are shown below the spectra to illustrate the good quality of the fits. The near-infrared spectral type is given in the upper left-hand corner and the object name in the upper right. The retrieved log g (cgs) and derived effective temperature (K) and their uncertainties are also given.

profile over a wide range of photospheric pressures. Figure 5 shows the TP profiles for the 11 T dwarfs in our sample. We also show a nominal profile from the Saumon & Marley (2008) grid (interpolated to the median retrieved effective temperature and gravity). Thermal emission contribution functions are shown to indicate the location of the photosphere, typically between ∼50–0.3 bar (∼5 scale heights). The emergent Y- and J-band spectra are shaped by the deepest, highest pressure layers, while the high-opacity regions (dominated by water vapor) between these bands are shaped by the lowest pressure levels (highest altitudes). The TP profiles that overlap most with the thermal emission contribution functions are considered the most robust. Typical 1σ photospheric temperature uncertainties are ∼30–100 K (Figure 5). TP-profile information outside of these regions (P > 50 bar and P < 0.3 bar) are largely driven by our TP-profile parameterization (via the smoothing prior described in Part I) and should not be too

Because it is hard to formally define a photosphere for a brown dwarf given that the spectra probe a broad range of altitudes/pressures, we refer to the photosphere here as the location where a bulk of the thermal emission contribution functions peak.
heavily interpreted. We also show the equilibrium condensation curves for KCl, Na2S, and MgSiO3.

In general, as we found for the two objects explored in Part I, the retrieved TP profiles and predicted radiative-convective equilibrium grid models (Saumon & Marley 2008) agree quite well over the pressure levels that are densely probed by the spectra. Deviations begin to occur both above and below where the contribution functions begin to wane. In Part I we suggested
that the less steep TP-profile gradient at pressures deeper than the \( \sim 50 \) bar level may be indicative of the double-diffusive convection suggested by Tremblin et al. (2015). However, there is very little contribution to the overall emission at these deeper levels due to the increasing opacity of the collision-induced molecular hydrogen along with the alkali metal and water opacities at the \( J \)- and \( Y \)-band peaks. At low pressure levels (\( P < 0.3 \) bar), we typically find that the TP profiles become more isothermal than predicted from radiative-convective equilibrium, possibly hinting at some sort of additional heating, but again temperature constraints are unreliable in this region.

**4.2. Composition and Chemistry**

We show, for the first time, the molecular abundances derived for an ensemble of T dwarfs. Molecular abundance determinations are diagnostic of the atmospheric chemical processes as well as the underlying elemental abundances. We
present the retrieved constant-with-altitude molecular abundances for seven gases: H₂O, CH₄, CO, CO₂, NH₃, H₂S, and Na+K, where Na+K represents the combination of sodium and potassium, where we assume their ratio is solar.\(^{16}\) Figure 4 show the marginalized gas posterior distributions for the 11 objects. As in Part I, we find that H₂O, CH₄, NH₃, and the alkalis are the only species with bounded constraints on their abundances. We can derive only upper limits on the abundances of other species (CO, CO₂, and H₂S), consistent with a non-detection of these gases (e.g., see the Bayesian factor analysis in Part I). This is unsurprising given their anticipated low-equilibrium abundance-weighted cross sections (Lodders & Fegley 2002) at near-infrared wavelengths. In the following subsections we use our retrieved molecular abundances to assess their chemical plausibility with respect to equilibrium chemistry, identify trends driven by chemical processes with effective temperature, and determine elemental abundances (metallicities and C/Os).

**4.2.1. Comparison to Equilibrium Chemistry Predictions**

Because we are retrieving each gas-phase absorber individually, it is possible to obtain chemically implausible combinations (e.g., Stevenson et al. 2014; Heng & Lyons 2016). It is therefore prudent to check the plausibility of our results against a chemical model. For simplicity, we compare our results to predictions from a thermochemical model (Chemical Equilibrium with Applications Code 2, Gordon & McBride 1994; see Part I for implementation details and relevant references) in Figure 6. Briefly, the model computes the thermochemical equilibrium molecular ratios at a given T–P pair and set of elemental abundances (Lodders & Fegley 2002). The model accounts for both gas-phase and condensed-phase species in local thermochemical equilibrium, but does not take into account the rainout paradigm whereby elemental species are depleted due to their sequestration into condensates that form large droplets and “rain” out into the deeper unobservable atmosphere (e.g., Fegley & Lodders 1994; Marley & Robinson 2015). We do account for the influence of the main rainout process (enstatite and forsterite condensation, Fegley & Lodders 1994) on the elemental abundances (and subsequent equilibrium molecular abundances) by manually removing 3.28 oxygen atoms per silicon atom (Burrows & Sharp 1999).

As in Part I, with the retrieved TP profiles we can postcompute the expected thermochemical equilibrium molecular abundances along the median of the retrieved TP profiles for each object (from Figure 4). We compare the retrieved molecular abundances for only the well-constrained species (shaded regions in Figure 6) to the model thermochemical equilibrium composition computed using solar elemental ratios (solid curves in Figure 6). In general, we find that the retrieved mixing ratios qualitatively agree with what is expected from thermochemical equilibrium at these temperatures: CH₄ and H₂O are the most abundant species, followed by NH₃ and then the alkalis. We emphasize that both our retrieved and thermochemically calculated mixing ratios are really only relevant over the region of atmosphere probed by the spectra (~30–0.3 bar, Figure 4). Upon more careful inspection, we find some inconsistencies between the thermochemical predictions at solar composition and the retrieved mixing ratios. We then adjust the metallicity ([M/H])\(^{18}\) of the thermochemical model by rescaling all elements heavier than H and He and the C/O to by-hand “fit” the thermochemical model (dashed curves in Figure 6 corresponding to the inset values) to the retrieved mixing ratios, as was done in Part I. This is by no means a rigorous fit to the retrieved mixing ratios (see Section 4.2.3 for a more quantitative analysis), but is used only to show that we can obtain consistency between the thermochemical prediction and the retrieved mixing ratios by adjusting these two quantities, suggesting that we have retrieved chemically plausible molecular abundance combinations.

Finally, we find some inconsistencies between predicted and retrieved alkali abundances. This could be due to the difficulties in determining an appropriate description for the pressure-broadened wings of the alkali lines (Sharp & Burrows 2007; Allard et al. 2016) and/or the details of condensate rainout chemistry (which our model does not account for). Furthermore, brown dwarf abundance determinations typically assume that elemental metal ratios are solar, i.e., if we increase the metallicity, all metal abundances increase by that same factor. However, this need not be the case within the context of galactic chemical evolution. For instance, Figure 16 of Hinkel et al. (2014) shows a ~0.8 dex spread in the potassium-to-iron ratio for stars in the solar neighborhood. Such a spread could also imprint itself onto the brown dwarf population.

**4.2.2. Retrieved Species Trends and Possible Direct Evidence of Condensate Sequence**

An advantage of retrieval methods over grid models is that we can directly constrain the molecular abundances rather than assume/compute them. From trends in these abundances (e.g., with temperature, gravity, etc.) we can infer physical/chemical processes. As an initial look, we focus on molecular abundance trends with effective temperature (Figure 7). Chemical processes are predicted to depend strongly on temperature (e.g., Burrows & Sharp 1999, Lodders & Fegley 2002); we would therefore expect some molecular abundances to vary with effective temperature. Specifically, we focus on trends associated with species that are well constrained, which includes H₂O, CH₄, NH₃, and the alkali metals. The other species (CO, CO₂, and H₂S), while chemically interesting, do not have the retrieved abundance constraints required to identify diagnostic trends.

We would predict water and methane to have little or no trend with temperature over our sample (~600–850 K) as they are thermochemically constant (e.g., Burrows & Sharp 1999, Figure 5) and largely unaffected by disequilibrium processes (Saumon et al. 2006) at these temperatures. We indeed do not find any statistically robust trends of water and methane with effective temperature, as both are consistent with the null (flat line not rejected to >3σ) hypothesis (Figure 7).

Ammonia (Figure 7, bottom left), on the other hand, is thermochemically predicted to decrease by nearly an order of

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\(^{16}\) We do this because the low-resolution SpeX data are really only sensitive to the red pressure-broadened wings of these species, and to reduce the overall number of retrieved gases. Certainly the sodium-to-potassium ratio need not be solar, a quantity worth deriving from higher resolution spectra.

\(^{17}\) Parameter correlations for these objects are quite similar to those shown in the stair-step plots for GJ570D and HD3651B in Part I. The main correlations are between the constrained species in gravity—e.g., a “metallicity versus gravity” correlation, and some correlation among the gases themselves. The full posteriors are shown in the supplementary material.

\(^{18}\) “M” is the sum of all elements other than H and He. In this work, M is dominated by O, C, N, and Na+K.
magnitude over this effective temperature range at the photospheric pressure levels (Burrows & Sharp 1999). Given the uncertainties, we should be able to identify such a trend if it existed; the lack of trend is interesting. Perhaps the lack of trend is speaking to perturbations due to disequilibrium chemistry dredging up ammonia from these deeper layers, although further work is necessary to robustly connect disequilibrium chemistry to the observed lack of trend. Deriving the ammonia abundance for more objects over this temperature range should help refute or identify any trend if it existed.

Figure 6. Comparison of retrieved abundances for well-constrained species (Na/K, CH₄, H₂O, and NH₃) to thermochemical model predictions, sorted by effective temperature. The 1σ uniform-with-altitude mixing ratios retrieved from the data are indicated by the shaded rectangles. Thermochemical equilibrium molecular mixing ratios computed along the median TP profiles assuming solar elemental abundances are shown as the solid curves. Finally, the dashed curves are the thermochemical equilibrium mixing ratios computed along the median TP profiles assuming the metallicity, [M/H], and C/O values indicated in each panel. These quantities were adjusted to achieve a “by-eye” good match to the retrieved mixing ratios. The metallicity here, [M/H], is defined as the log of all species heavier than hydrogen and helium relative to hydrogen, relative to that ratio for solar, e.g., [M/H] = 0, and C/O = 0.55 is solar, whereas [M/H] = 1 would be 10 times the solar metal abundance. In general, the retrieved mixing ratios can be reproduced thermochemically given a proper tuning of the metallicity and C/O ratio, suggesting that the retrieved mixing ratios are thermochemically plausible. Note that we do not treat rainout here, but instead adopt pure local thermochemical equilibrium for all ~2000 species in the CEA/Thermobuild library (Gordon & McBride 1994). We do, however, account for the depletion of oxygen due to enstatite rainout, which is predicted to remove 3.28 oxygen atoms per silicon atom (Burrows & Sharp 1999). The shaded gray region represents the approximate atmospheric pressures probed by the observations (see Figure 5).
A more striking possible trend is that of the alkali metal abundances with temperature (Figure 7, bottom right), with the null hypothesis being rejected at $>25\sigma$. Over our effective temperature range, the alkali metal abundance varies by nearly an order of magnitude. We attribute this trend to the sequestration of Na and K into salt sulfide ($\text{Na}_2\text{S}$) and potassium chloride ($\text{KCl}$) condensates (Lodders 1999, Leggett et al. 2012, 2014; Liu et al. 2012; Schneider et al. 2015; dominated by the KCl condensation as K dominates the alkali absorption on the blue edge of the Y-band by a few to about one order of magnitude over Na at solar Na/K ratios). As these objects cool, the TP-profile-condensate intersection point ($P_{\text{cond}}$) moves deeper into the atmosphere (as seen in Figure 5, where the dashed curves intersect the blue solid blue curve). Gas-phase sodium and potassium only exist in appreciable quantities at pressure levels deeper than $P_{\text{cond}}$. In cooler atmospheres, $P_{\text{cond}}$ occurs at higher pressures deeper in the atmosphere, resulting in less alkali gas and more condensate over the portion of atmosphere probed by the spectra.

In Figure 8 we show how the $P_{\text{cond}}$ for $\text{Na}_2\text{S}$ and KCl changes with effective temperature (left panel) and how the gas-phase alkali abundances in turn depend on $P_{\text{cond}}$ (right panel). As expected, as cooler temperatures occur at higher pressures (deeper atmosphere) for cooler objects, the condensates are in turn expected to form at higher pressures (deeper atmosphere), thus resulting in a reduced gas-phase column abundance of alkali metals, in turn resulting in the observed increasing trend in the alkali abundances with decreasing $P_{\text{cond}}$.

There have long been two approaches of how condensate chemistry should be treated in brown dwarf self-consistent atmospheric forward models. With the pure-equilibrium approach, condensates are considered to continue to be in chemical equilibrium with their surrounding gas, even after condensation (for example, Allard et al. 2001; Burrows & Sharp 1999). With the second approach, commonly followed in solar system chemistry (Fegley & Lodders 1994), condensed
species "rain out" of the atmosphere and are no longer available to react with surrounding gas at lower effective temperatures.

With the pure-equilibrium approach, gaseous Na and K react with previously condensed aluminum and silicate compounds to form feldspar minerals such as sanadine and albite (see Burrows et al. 2001 for a discussion). The formation of these condensates would result in a depletion of the gas-phase alkali abundances at temperatures between 1200 and 1400 K (Figure 13 of Burrows et al. 2001), while with the rainout approach, the aluminum oxides and silicates rain out of the atmosphere after they condense and the feldspars do not form. Instead, the gaseous Na and K form chloride salts and only begin to deplete the gas-phase alkalis below effective temperatures of ∼1000 K (Figure 12 of Lodders 1999; Burrows et al. 2001). A number of indirect lines of evidence (Marley et al. 2002; Morley et al. 2012; Schneider et al. 2015) have supported the rainout paradigm, but a conclusive measurement of the Na and K depletion over the expected temperature range has been somewhat lacking. With the decrease in the retrieved alkali abundances with decreasing effective temperature and their correlation with the condensate base pressure at effective temperatures below 1000 K (otherwise we would detect no gas-phase alkalis), we have shown that the rainout paradigm is indeed correct.

### 4.2.3. Metallicity and C/O Determination

The elemental abundances of a star or substellar object are diagnostic of its formation history and evolution as well as atmospheric chemical and physical processes (Burrows et al. 2001; Bond et al. 2010; Marley & Robinson 2015). Detailed stellar/substellar metal abundances are also critical to the understanding of galactic chemical evolution (Timmes et al. 1995; McWilliam 1997; Venn et al. 2004). There has been a push to measure the elemental abundances present in stars in order to inform planet formation theories (e.g., Petigura & Marcy 2011; Fortney 2012; Teske et al. 2014). Much work has gone into determining stellar abundances, but it is by no means a solved problem as different methods and stellar parameter assumptions can result in different elemental abundance determinations (e.g., Hinkel et al. 2016).

While metallicity measurements for solar-type stars have received much attention, little work has been done in determining brown dwarf elemental abundances beyond their metallicities (e.g., Cushing et al. 2008; Stephens et al. 2009). Planets have been hypothesized (Payne & Lodato 2007), and some were detected (Han et al. 2013), around brown dwarfs. Brown dwarfs will eventually provide a unique opportunity to detect/explore habitable planets via the transit method due to their favorable separation and radius ratios (Belu et al. 2013). We would therefore expect that in the not too distant future, brown dwarf elemental abundances determinations will become increasingly more important for planet formation theories, especially in light of the recently discovered TRAPPIST-1 planetary system (Gillon et al. 2016, 2017), which is hosted by a late-M dwarf.

The challenging aspect of elemental abundance determinations in cool objects is the presence of molecular species and condensates. Molecular species and condensates sequester the elements that would otherwise be available to form atomic absorption lines. Furthermore, broad molecular bands obscure the precious continuum so often required in classic stellar elemental abundance analysis (e.g., Bean et al. 2006). Therefore, novel methods need to be employed in order to translate the observed molecular absorption into the intrinsic elemental abundances. Even then, molecular abundances can be perturbed by atmospheric processes like vertical mixing and rainout condensate chemistry. These processes need to be taken into account when inferring elemental abundances from molecular absorption.

We derive the elemental abundances from the molecular abundances using the “chemical retrieval-on-retrieval” method described in Line et al. (2016) with the thermochemical equilibrium chemistry model described in Section 4.2.1. We use only CH4 and H2O as the metallicity and C/O diagnostics since their mixing ratios are not strongly influenced by chemical quenching at these temperatures (in contrast to, say, NH3 and CO).20

20 These molecules, and all others, other than water and methane, are excluded from our elemental abundance analysis.
The basic method is to fit the retrieved water and methane mixing ratios with the thermochemical equilibrium model, with the free parameters being the C/O and metallicity (scaling to all elements heavier than H/He using the Lodders & Palme (2009) abundances) using EMCEE. The exact “data” being fit here are the water and methane histograms from Figure 4. At each MCMC step, a TP profile is randomly drawn from the retrieval posterior in order to propagate the TP-profile uncertainty into the C/O-metallicity results. Given the randomly selected TP profile, the C/O, and metallicity for that MCMC step, the thermochemical abundances of methane and water are computed at a representative photospheric pressure (3 bars, and the corresponding temperature at that pressure level), and we evaluate their probability of occurrence from the methane and water histograms. These two probabilities are then multiplied and logged to compute the equivalent of “chi-square.” Note that we could have a priori fit the water and methane histograms with a Gaussian ahead of time to derive a “data point” with an error bar of which we could then use the chi-square formula. However, the “fit the histogram” approach is more rigorous because it can account for any arbitrarily shaped distribution (see Line et al. 2016 for details) and is fundamentally what minimizing chi-square tries to do anyway.

We apply this procedure under the two different condensate rainout paradigms. The first assumes no rainout, e.g., pure equilibrium. All condensates remain in the atmospheric layer at which they formed. The second is the silicate rainout paradigm, whereby we remove 3.28 oxygen atoms per silicon atom (as was done in Section 4.2.1). Silicate condensation, and subsequent rainout, occur at the deepest layers that are inaccessible to the spectra, where the TP profiles intersect the silicate condensate curves. Essentially, we are exploring the effect that different condensation assumptions can have on the derived elemental abundances. Unseen processes occurring at pressure levels above or below where the spectra probe can impact the molecular abundances that we retrieve. With our two possible rainout assumptions, we are attempting to account for these processes.

Figure 9 summarizes the results of this analysis. We derive subsolar metallicity and supersolar C/O for the majority of the late-T dwarfs studied in this investigation. Given that the retrieved water abundances for all objects are greater than or equal to the methane abundance, it is unsurprising that we in turn derive a supersolar C/O. We also find that the rainout assumption can have a fairly significant effect on the derived C/O and metallicity. Increasing the amount of oxygen lost because of rainout “shifts” the population toward more solar-like abundances. One could imagine that if an even more efficient mechanism for oxygen sequestration existed (e.g., formation of more highly hydrated minerals, e.g., Montmorillonite) than predicted by equilibrium chemistry, the abundances in these objects would be further shifted toward the solar values.

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21 We are relatively insensitive to this value as the water and methane abundance profiles are fairly constant with temperature and pressure.

22 We only account for silicate rainout as it is really the only set of condensates that impact the oxygen abundance. The alkali rainout discussed in Section 4.2.2 will not impact the metallicities and C/O ratios derived from water and methane.

23 Rainout likely happens for all condensates. Here we are only exploring the consequence of enstatite/forsterite rainout on the oxygen abundance.

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In Figure 9 we compare the brown dwarf metallicities and C/Os to those of the local FGK stellar population derived from the Hypatia catalog (Hinkel et al. 2014). Stellar abundance determinations are no trivial task, and often different data sets and methods can lead to inconsistent results (e.g., Hinkel et al. 2016), resulting in relatively large systematic abundance uncertainties per any given star. In general, we find that the objects in our sample tend to lie toward lower metallicities and higher C/Os than the bulk of the stellar population. The reason for this is unknown, but we again hypothesize that it may have to do with how efficient oxygen is sequestered into condensates, preventing us from determining the true oxygen inventory. More detailed thermochemical condensate modeling is required to identify additional sinks of oxygen.

It is also possible that an object could have been formed with solar metallicity and ended up with a non-solar Si/O ratio (e.g., Hinkel et al. 2014), which could alter the amount of oxygen sequestered in silicates. With a higher Si/O, more oxygen could be depleted through silicate rainout. Both [Si/Fe] and [O/Fe] are known to decrease with decreasing metallicity (Fe/H) in the stellar population (Figures 5 and 7 in Hinkel et al. 2014) because they are α-elements (e.g., Gratton & Ortolani 1986; Marcolini et al. 2009). However, oxygen tends to decrease more than silicon with decreasing [Fe/H], suggesting that lower metallicity objects should have a higher proportion of O relative to Si than at higher metallicities. A good reason as to why the intrinsic Si/O might be preferentially higher among brown dwarfs is currently unknown.

4.3. Clouds

As demonstrated in the preceding sections (Sections 4.2.3 and 4.2.2), condensates are likely responsible for the depletion
of oxygen and the alkali metal trend. We might anticipate the resulting clouds to impact the emergent spectrum. As such, we have included a non-scattering gray cloud in our retrieval scheme (Equation (1)). To investigate the importance of clouds, we can reconstruct the cloud optical depth \( \tau_{\text{cloud}} \). Figure 4 derived from the posteriors of the three retrieved cloud parameters (cloud base pressure \( P_b \), cloud base opacity \( k_{PB} \), and cloud profile shape factor \( \alpha_i \)) in Equation (1). The cloud optical depth histograms for each object all show a dramatic decline in probability near optical depths of unity (vertical dotted lines). This suggests that for these objects, the data indicate that optically thick clouds are not present. This is consistent with our findings in Part I, where we applied Bayesian hypothesis testing to show that the inclusion of clouds for Gl570D and HD3651B was not justified given the data, nor did their inclusion impact the retrieved values for any of the other parameters. We note that for some objects there is a small trickle of probability toward higher optical depths, although this is not significant relative to the total integrated probability.

The lack of large cloud optical depth agrees with the widely recognized expectation that late-T dwarfs should have relatively clear atmospheres over the pressure levels probed by the near-infrared (Lodders 1999; Lodders et al. Fegley 2002; Visscher et al. 2006, 2010; Morley et al. 2012). Based upon the intersection of the enstatite condensation curve with the TP profiles (Figure 5), we find that any enstatite clouds should largely reside at the deepest pressure levels that are unseen by the near-infrared spectra, e.g., the gaseous opacities become optically thick at pressure levels above where the enstatite cloud should form and thus should have no impact on the emergent spectrum. This is consistent with the findings of Morley et al. (2012), where forward models of objects covering a similar temperature range suggest that the enstatite clouds largely reside below, at pressure levels deeper than those probed by near-infrared observations, except for the objects approaching \( \sim 900 \) K.

Figure 5 and the alkali metal trend (described in detail in Section 4.2.2) suggests that Na2S and KCl clouds should form within the region of atmosphere probed by the near-infrared spectra. Morley et al. (2012) showed that the largely neglected cloud species Na2S, KCl, MnS, and ZnS can form within cool dwarf atmospheres. They showed for the late-T dwarfs that depending on gravity and the degree of droplet sedimentation (higher gravity and more vertically extended clouds result in higher cloud optical depths), the optical depths of Na2S (more so than KCl) can range from negligible to a few over over wavelengths between 1 and 6 \( \mu \)m (their Figures 4 and 8). Our photospheric optical depth upper limits suggest that the cloud optical depths cannot be significantly greater than unity. This may potentially place constraints on the vertical extent of the Na2S and KCl clouds, largely favoring vertically compact clouds (thinner, higher degree of sedimentation within their modeling framework).

Our derived cloud optical depth upper limits rule out optically thick clouds but cannot rule out optically thin clouds. From the aforementioned modeling studies, Na2S and KCl condensates may form optically thin clouds as opposed to optically thick clouds. The existence of optically thin alkali clouds is consistent with our alkali abundance trend. A plausible physical picture is that the alkalis are condensing and rapidly coalescing into larger particles that sink quickly, resulting in optically thin clouds. In short, our results are internally self-consistent with regard to the alkali trend and lack of optically thick clouds. Continued work on determining more precise cloud optical depth upper limits and bounded constraints on cloud optical depths will be invaluable in constraining cloud physics in substellar atmospheres.

### 4.4. Evolutionary Diagnostics: Radius, Gravity, Effective Temperature, and Age

The radius, gravity, and effective temperature of a substellar object are diagnostic of its age, mass, and evolutionary history (Burrows et al. 2001; Chabrier & Baraffe 2000; Baraffe et al. 2002; Saumon & Marley 2008). The population of late-T dwarfs found in the field is expected to be dominated by older cool objects with higher gravity (log g \( \sim 5 \); Saumon & Marley 2008). Gravities are not typically expected to exceed \( \sim 5.3 \) even for the oldest most massive objects (e.g., Saumon & Marley 2008).

In this work the effective temperature is not a directly obtainable parameter as it is not a free parameter like ingrid-model fits. Our effective temperature \( T_{\text{eff}} \) is instead derived by equating Boltzmann’s law to the bolometric fluxes (1–20 \( \mu \)m) derived from 5000 model spectra drawn from the posterior. The photometric radius \( R \) is derived from the retrieved \( R/D \) scaling parameter given the parallax distances \( D \). Figure 10 summarizes the effective temperatures and gravities of our 11 objects compared with the evolution models of Saumon & Marley (2008; see also Figure 4 derived with the Burrows et al. 2001; analytic relations). We find that the retrieved log-gravities of the objects fall between 4.75 and 5.3. Figure 10 shows that the retrieved gravity and derived effective temperatures are consistent with ages between 1 and 5 Gyr.
masses between ~20 and 50 Jupiter radii. As an additional more quantitative check, we use the approximate evolutionary relationships (as a function of gravity and effective temperature) from Burrows et al. (2001; their Equation (4)) to derive the possible ages for each object (last column in Figure 4). Again, we find that the median ages for each object are typically greater than ~1 Gyr, but some span a wide range of possible ages due to the larger uncertainties on gravity. The gravity, effective temperatures, and ages of this sample are also in line with expectations from population synthesis evolutionary models (Figure 9 in Saumon & Marley 2008) for late-T dwarfs and observations of mass-calibrated binary systems (Dupuy & Liu 2017).

We find that the radii for most of the objects in our sample fall between ~0.7 and 1.3 Jupiter radii (Figure 4). We can test for the self-consistency of the photometrically derived radii using the analytic evolutionary relationship of radius with effective temperature and gravity (Equation (5) in Burrows et al. 2001).26 Propagating the uncertainties in gravities and effective temperatures for each object into the evolutionary relationship for radius, we find that our photometrically derived radii are systematically higher27 than expected from the evolution models (Figure 4).

The largest discrepancies (in terms of differences in the medians of the radii distributions) come from 2MASS J1553, 2MASS J1217, and to a lesser degree, from 2MASS J0939. As discussed in Section 3, 2MASS J1553 has been shown to be a binary, whereas the evidence for 2MASS J1217 and 2MASS J0939 being binaries is more circumstantial. In future work, if we a priori know the object is a binary, or like in previous works (e.g., Burgasser et al. 2008) we identify an overly large radius, we may try fitting for the atmospheric properties of two separate objects and combining them into one spectrum (as done in Leggett et al. 2009). At this time, it is unclear why the evolution-derived radii and the photometric radii are inconsistent. Perhaps some of these other objects are indeed like 2MASS J1217 and 2MASS J0939 in that they are unresolved binary systems (Aberasturi et al. 2014).

We note that the recent detection of a highly irradiated ($T_{\text{eq}} \sim 2400$ K) transiting brown dwarf, KELT-1b (Siverd et al. 2012, see also Bouchy et al. 2011), also shows a larger radius, relative to evolution models, of $1.16^{+0.028}_{-0.028}R_J$ given its mass of ~27 $M_J$ and age (~1.5–2 Gyr). However, these anomalously large radii may depend on a high degree of stellar irradiation, driving some as yet unknown inflation mechanism operating on many hot Jupiters (e.g., Laughlin et al. 2011). It is currently unclear how inflation mechanisms driven by stellar irradiation would impact higher gravity objects. (log $g$ = 4–5, transiting planets have log $g < 3.5$ with more than 3.) However, Bouchy et al. (2011) argue that large stellar insolation is not likely to significantly inflate the radii of irradiated brown dwarf mass objects. These measured radii are typically ~10% larger than expectations from evolution models given their masses and a reasonable range of ages. The large radii for the non-binary systems in our sample are in good agreement with these transiting systems. However, some transiting brown dwarf mass objects, e.g., LHS 6343ABb, which is not highly irradiated (Johnson et al. 2011; Montet et al. 2016), do not show evidence for inflated radii ($0.83^{+0.021}_{-0.021}R_J$) and are in line with evolution model expectations. Continuing to identify these benchmark systems over a range of irradiation levels will further test evolution and atmosphere models and provide context for our radius determinations from retrieval analyses.

5. Comparison with Previous Analysis

Tables 3, 4, and Figure 11 summarize our results compared with parameters from the literature derived via a variety of methods. Table 3 summarizes spectroscopically derived quantities from the literature based upon spectra-grid-model comparisons, and Table 4 compares our results to the bolometric luminosity plus evolution model results described in Filippazzo et al. (2015). There are a wide range of literature values for effective temperatures, gravities, and radii for any individual object. In a broad sense, our results are largely in line with what has been found before. However, we do find that in most cases, our effective temperatures are systematically lower by up to ~100 K when compared to previous determinations. However, the differences in the estimates from previous works can also be on the order of one to several hundred Kelvin due to different wavelength regions explored and/or different model grids. Overall, we find that our derived effective temperatures are systematically the coolest (Figure 11).

Our gravity determinations agree very well with previous estimates, with most previous estimates for each object falling within our 68% confidence interval (typically ~0.2 dex). The inferred metallicities are also typically in good agreement with previous determinations for select objects.

For objects previously reported to have low metallicities (2MASS J0939 and ULAS J1416), we too find significantly low metallicity. We find a slightly enhanced, although not significantly so, metallicity for 2MASS J0415, consistent with the 0.0–0.3 dex determination from Saumon et al. (2007). We also find that the photometric radii are consistent with previous determinations where available (2MASS J0415, with Yamamura et al. (2010) reporting 1.14$R_J$ based on AKARI data, which is within 2$\sigma$ of our value of $1.06^{+0.06}_{-0.05}R_J$) and that binaries stand out as objects with overly large photometric radii (e.g., 1.26$R_J$ for 2MASS J0939 from Burgasser et al. (2008), compared with our determination of $1.22^{+0.11}_{-0.09}R_J$).

Filippazzo et al. 2015 (Table 4) reconstructed the bolometric fluxes from available spectroscopic and broadband data. From the bolometric fluxes and the parallactic distances, they were able to determine the bolometric luminosities. From the luminosities combined with the evolutionary model grids of Saumon & Marley (2008), Chabrier & Baraffe (2000), and Baraffe et al. (2002), they were able to infer gravities, effective temperatures, and radii assuming typical field ages (0.5–10 Gyr). We find reasonable agreement with the Filippazzo et al. (2015) results. Our bolometric luminosities are typically higher than theirs, but are almost always within 2$\sigma$. The higher luminosities can be explained by the larger photometric radii we retrieve.

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26 As a check, we compared the radii derived from the Burrows et al. (2001) equations to the “hybrid evolution grid” from Saumon & Marley (2008) for relevant select T$_{\text{eff}}$–logg pairs. We found that for the same T$_{\text{eff}}$–logg pair, radii differences between the two models are no larger than 0.02$R_J$. This is small compared to the relative retrieved photometric radii errors of ~0.08 $R_J$.

27 We note that the opposite is true for self-luminous directly imaged planets, as their photometrically derived radii tend to be systematically smaller than predicted from evolution models (e.g., Marley et al. 2012), possibly due to model composition assumptions.
6. Summary and Conclusions

We have applied the benchmark-validated retrieval methods of Line et al. (2015: Part I) to low-resolution near-infrared spectra of 11 late-T dwarfs. A uniform analysis of objects observed with the same instrument, and interpreted using the same modeling tools provides a solid foundation for atmospheric property comparison and identification of diagnostic trends.

From this analysis, we have arrived at the following conclusions:

1. Our retrieval modeling approach can fit the data very well. The residuals are quite small and appear to be random (Figure 2). We can, in general, fit the data better than ultracool dwarf grid models (Liu et al. 2011; Morley et al. 2012), and our large number of model fitting parameters is statistically justified (Figure 1, Section 1).

2. The retrieved TP profiles are consistent with expectations from radiative-convective equilibrium temperature profiles over the pressure levels that are most densely probed by the near-infrared spectra (Figure 5). Deviations from grid-model expectations that would be potentially diagnostic of additional physics, which occur at the lowest or highest pressure levels, should not be too heavily interpreted (Section 4.1).

3. The mixing ratios of only water, methane, ammonia, and the alkalis have strong, bounded constraints (mole fractions typically constrained at 68% confidence to within \( \pm 0.2 \) dex, or a factor of 1.6). Ammonia is present and well constrained in all objects, consistent with strong detections presented via three independent methods in Part I. The retrieved molecular abundances for these species are largely consistent with thermochemical equilibrium predictions for a given TP profile, metallicity, and C/O. This suggests chemical plausibility (Figure 6, Section 4.2.1). We only obtain upper limits on the mixing ratios of the other included species (CO, CO\(_2\), and H\(_2\)S) (Figure 4, Section 5).

4. There is no detectable trend in the mixing ratios of methane, water, or ammonia with effective temperature. There is, however, a very strong trend in the alkali metal mixing ratios with effective temperature (Figure 7). This alkali trend is consistent with expectations from condensate rainout chemistry, which results in a depletion of the column-integrated mixing ratios of elemental sodium and potassium at cooler effective temperatures as the temperature-condensate intersection pressures for Na\(_2\)S and KCl move toward the deeper atmosphere (Figure 8, Section 4.2.2).

5. Our elemental abundance analysis of the retrieved methane and water mixing ratios suggests subsolar metallicities and somewhat supersolar C/Os, which are somewhat lower and higher, respectively, when compared to the field FGK stellar abundances (Section 4.2.3). One caveat is that the late-T dwarf metallicities and C/Os are sensitive to the degree of oxygen depletion in
condensates that form in the deep atmosphere (Figure 9). More efficient oxygen depletion due to additional rainout mechanisms could shift the derived metallicity and C/Os toward solar.

6. We only retrieve cloud optical depth upper limits (Figure 4). These upper limits are typically near unit optical depth, suggesting that clouds do not substantially influence the spectra of these 11 late-T dwarfs (Section 4.3). This is consistent with our findings from Part I, where the inclusion of cloud parameters was not justified given the data, nor did their inclusion, or lack thereof, influence in any way the constraints for the other parameters.

7. The retrieved gravities and derived effective temperatures are largely consistent with evolutionary models (Figure 10) and previous works, although our retrieved effective temperatures are systematically cooler than previous grid-model derivations. We also find that the photometrically derived radii of several of the objects are larger than those expected from the evolution models derived from the retrieved effective temperatures and gravities. Some of these large radii are indicative of binary systems, consistent with the literature. The other objects’ larger radii are difficult to explain, but are in line with what has been found for some transiting brown dwarfs (Section 4.4).

We emphasize that all of these constraints have been made with relatively low-resolution spectra (SpeX Prism, at \( R = 80-120 \)). High-resolution is not necessarily a requirement for obtaining useful abundance information, unlike in classic stellar abundance analysis. Broad spectral coverage spanning multiple molecular...
bands is essential for constraining molecular abundances, even with a large number of highly degenerate parameters.

7. Discussion and Future Work

While we have learned much from just this small sample, there is still very much unknown and much work to be done. Expanding our sample to more objects over a wider range of temperatures will allow us to better delineate the preliminary trends presented here. We would like to first expand our sample to more low-resolution spectra of late-T dwarfs and Y dwarfs. We have demonstrated that our methods work within this cool-temperature regime that is largely cloud-free and for low-resolution data. We should understand these simpler objects before we can move onto more complex, warmer, and cloudier objects (e.g., Burningham et al. accepted).

As we extend our analysis to cooler temperatures, we would like to definitively identify the transition effective temperature at which we can no longer determine bounded constraints on the alkali abundances. Color-spectral type analysis suggest that this transition occurs around T8, near the Y–T transition (e.g., Liu et al. 2012; Schneider et al. 2015). Measuring the transition abundance and effective temperature would place further constraints on the rainout mechanism and can be directly compared to equilibrium chemistry predictions.

As we extend our analysis toward slightly higher temperatures, we would like to identify when the strong ammonia detection disappears (i.e., becomes an upper limit), or if we can retrieve a decline in its abundance with increasing temperature (e.g., Figure 5 of Burrows & Sharp 1999). The rate of decline, and at what effective temperature this transition occurs relative to equilibrium chemistry predictions, could possibly place constraints on the role of disequilibrium nitrogen chemistry in early-T dwarf atmospheres (e.g., the dispersion in vertical mixing strengths).

As shown with our retrieval results, it is difficult to obtain reliable TP-profile information deep in the atmosphere due to the increasing significance of collision-induced opacities. Higher resolution spectra near the water band-heads (between the YJH bands) or longer wavelengths with higher opacity could allow us to probe lower pressures (higher altitudes) than probed here, permitting more precise upper atmosphere temperature constraints. Hotter objects, mid-Ts and earlier types, will present a challenge as the near-infrared spectra probe a more narrow range of pressure levels due to the presence of clouds, preventing us from accessing the deeper atmospheric levels. Any temperature profile information below optically thick clouds will have to come from extrapolation via parameterizations or will have to be assumed from physically motivated models.

Continued validation of modeling approaches using benchmark systems over a range of mass ratios, like the stellar-brown dwarf systems Gl570 and HD3651 from Part 1, or brown-dwarf brown-dwarf systems like WISE-1217+1626AB (Liu et al. 2012; Leggett et al. 2014) or WISE-0146+4234AB (Dupuy et al. 2015), is also necessary to identify improvements and/or pitfalls in our current modeling, and to understand the partitioning of elements as a function of mass-ratio.

In that regards, there are several possible avenues of modeling improvements. First, more testing and identification of robust TP profile parameterizations are needed. Our current “p-spline smoothing prior” parameterization introduced in Part I works well for late-T dwarfs and is in agreement with expectations from self-consistent cloud-free grid models. However, cloudy objects with a limited vertical dynamic range will require less flexible parameterizations with fewer free parameters (e.g., Burningham et al. accepted). Understanding the influence of these temperature profile assumptions on the abundances, gravities, cloud optical depths, etc., will become more important as we move toward hotter objects or objects with less spectral coverage and lower signal-to-noise ratio, like self-luminous directly imaged planets. The role that various cloud parameterizations play in influencing the other retrieved properties will also need to be explored (e.g., gray non-scattering versus wavelength-dependent scattering, cloud vertical profiles/particle sizes/compositions/patchiness, etc.), as has been done within grid-modeling frameworks (e.g., Burrows et al. 2006; Cushing et al. 2008; Morley et al. 2012). Finally, more sophisticated data-model comparison techniques should be explored (e.g., Czekala et al. 2015), especially when retrieving higher resolution spectra and stitching together spectra from different instruments, orders, and epochs. Failure to account for these subtleties may result in biased answers.

Despite these challenges, we are optimistic that continued improvement and application of retrieval methods to large samples of objects spanning a wide array of temperatures, gravities, and ages will undoubtedly provide new insights and surprises in physics and chemistry of brown dwarf atmospheres.

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Software: emcee (Foreman-Mackey et al. 2013), corner.py (Foreman-Mackey 2016).

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