Surgery in asymptomatic patients with colorectal cancer and unresectable liver metastases: the authors’ experience

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Purpose: In asymptomatic patients with Stage IV colorectal cancer, the debate continues over the efficacy of primary resection compared to chemotherapy alone. The aim of this study was to define the optimal management for asymptomatic patients with colorectal cancer and unresectable liver metastases.

Patients and methods: Patients receiving elective surgery (n=17) were compared to patients receiving chemotherapy only (n=31). Data concerning patients’ demographics, location of primary tumor, comorbidities, performance status, Child–Pugh score, extension of liver metastases, size of primary, and other secondary locations were collected.

Results: Thirty-day mortality after chemotherapy was lower than that after surgical resection (19.3\% versus 29.4\%; not significant). In patients with >75\% hepatic involvement, mortality at 1 month was higher after receiving surgical treatment than after chemotherapy alone (50\% versus 25\%). In patients with <75\% hepatic involvement, 30-day mortality was similar in both groups (not significant). Thirty-day mortality in patients with Stage T3 was lower in those receiving chemotherapy (16.7\% versus 30\%; not significant). Overall survival was similar in both groups. The risk of all-cause death after elective surgery (2.1) was significantly higher than in patients receiving chemotherapy only (P = 0.035).

Conclusion: This study demonstrated that in palliative treatment of asymptomatic unresectable Stage IV colorectal cancer, the overall risk of death was significantly higher after elective surgery compared to patients receiving chemotherapy alone. However, in the literature, there is no substantial difference between these treatments. New studies are required to better evaluate outcomes.

Keywords: large bowel, tumor, inoperable liver replacement, palliative surgery, 30-day mortality

Introduction

Colorectal cancer (CRC) represents more than 9\% of all new cancer cases worldwide,\textsuperscript{1} and in 2002, more than 1 million new cases were diagnosed. In the US, the incidence of this malignancy has decreased by 3.0\% in men and 2.2\% in women in 1998–2006, with a reduction in male mortality of 3.9\% in 2002–2006.\textsuperscript{2} However, an increased incidence of CRC has occurred in Europe, particularly in the southeastern countries.\textsuperscript{3} Among patients with newly diagnosed CRC, 20\%–30\% have liver metastases,\textsuperscript{4,5} 10\%–15\% have peritoneal carcinomatosis,\textsuperscript{6,7} and 10\%–25\% have lung metastases.\textsuperscript{8-10}

According to the European Society for Medical Oncology guidelines, hepatectomy for patients with metastatic CRC is to be performed only with curative intent following the criteria of oncological radicality and if it is indicated that there is enough remnant...
liver parenchyma following the resection (>30%) and/or in the absence of unresectable multivisceral spreading of the disease or carcinomatosis.\textsuperscript{11,12}

Treatment of advanced stages of CRC, especially in patients not eligible for curative surgery, consists of medical therapies. The availability of new polychemotherapeutic regimens (5-fluorouracil, folic acid, and oxaliplatin; FOLFOX) in combination with biologic agents (monoclonal antibodies such as bevacizumab, cetuximab, and panitumumab) has markedly improved the median survival of patients in advanced stages, with no increase in the incidence of complications.\textsuperscript{13–16}

In a retrospective study, 93% of patients with Stage IV CRC on chemotherapy did not develop complications related to the primary tumor.\textsuperscript{17} In patients with obstructive tumor, the use of stents allowed them to be quickly started on systemic chemotherapy.\textsuperscript{18,19} Nevertheless, in asymptomatic patients with Stage IV CRC, the debate continues over the efficacy of primary neoplasia resection compared to chemotherapy alone.\textsuperscript{20}

The aim of this study was to determine if there was any improvement in overall survival and a reduction of posttreatment mortality after resection of the primary tumor, with respect to nonoperative treatment in patients with Stage IV CRC and unresectable liver metastases.

### Patients and methods

Data on patients with synchronous unresectable liver metastases from CRC who consecutively underwent palliative therapy between January 2010 and December 2011 were retrospectively reviewed. Among patients with rectal cancer, only those with intraperitoneal localization were selected because extraperitoneal rectal cancer with unresectable liver metastases is best treated with chemotherapy and radiation therapy as an effective palliative treatment. None of the 48 patients included in this multicentric study had bowel obstruction, bleeding, or perforation.

Seventeen patients (operative group) underwent elective palliative surgery consisting of colonic resection, 14 of whom had open surgery by median laparotomy, two with primary tumor in rectosigmoid colon underwent laparoscopic colorectal dissection followed by suprapubic laparotomy in which total mesorectal excision and colorectal anastomosis were performed, and one with right CRC had laparoscopic exploration followed by total laparotomic right colectomy due to local extension of the disease. Seven of the patients who underwent elective surgery had neoplastic stenosis; however, despite this, obstinate constipation was reported.

Thirty-one patients (nonoperative group) received chemotherapy alone, leaving the primary tumor in place, i.e., nonoperative management (NOM). In this group, 24 patients received FOLFOX plus bevacizumab as first-line therapy. FOLFOX only was administered to the remaining seven patients who were not able to tolerate the combination. Switching to second-line therapy was not needed. Administration of bevacizumab was suspended at 3 months in six patients because of drug-related toxicity or worsening of their condition and in two patients because disease control was reached.

Age, comorbidities, and performance status, and the risk of complications (obstruction and perforation) were the criteria used to choose between the resection of the primary tumor and NOM (Table 1). Thirty-day overall mortality and overall survival were the primary and secondary endpoints assessed.

Statistics on the clinical characteristics of patients were calculated by Fisher’s exact test for 2 × 2 comparisons and by Pearson’s Chi-squared test for comparisons greater than 2 × 2 (95% confidence interval, $\alpha = 0.05$). A multivariate analysis for 30-day mortality and overall survival was performed by Cox logistic regression.

The mean age of the patients was lower in the operative group (Table 1). Local extension of the disease was

### Table 1 Clinical characteristics of the patients according to treatment

| Clinical characteristic | Elective surgery | NOM | $P$ |
|-------------------------|------------------|-----|-----|
| Average age (range), years | 70 (54–84) | 73 (60–87) |     |
| Primary tumor localization | | | |
| Right colon | 4 (23.5%) | 7 (22.6%) | 0.22 |
| Left colon | 7 (41.4%) | 6 (19.4%) |     |
| Rectosigmoid colon | 6 (35.3%) | 18 (58%) |     |
| Number of comorbidities | | | |
| 0 | 4 (23.5%) | 7 (22.6%) | 0.60 |
| 1 | 9 (52.9%) | 11 (35.5%) |     |
| 2 | 3 (17.6%) | 10 (32.2%) |     |
| 3 | 1 (6%) | 3 (9.7%) |       |
| Performance status | | | |
| ASA I/ECOG 0 | 6 (35.3%) | 7 (22.6%) | 0.60 |
| ASA II/ECOG 1 | 8 (47.1%) | 16 (51.6%) |     |
| ASA III/ECOG 2 | 3 (17.6%) | 8 (25.8%) |       |
| Child before treatment | | | |
| A | 11 (64.7%) | 14 (45.2%) | 0.24 |
| B | 6 (35.3%) | 17 (54.8%) |       |
| Hepatic parenchyma replaced by metastases | | | |
| <50% | 9 (53%) | 13 (42%) | 0.51 |
| 50%–75% | 6 (35%) | 10 (32%) |     |
| >75% | 2 (12%) | 8 (26%) |     |

**Abbreviations:** ASA, American Society of Anesthesiologists; ECOG, Eastern Cooperative Oncology Group; NOM, nonoperative management.
determined by computed tomography scan and pathological examination in the operative group, and by computed tomography scan only in the NOM group (Table 1).

Results

Overall mortality within 30 days of commencing palliative chemotherapy was lower than that of surgical resection (five versus six), even though this outcome was not statistically significant. In the operative group, three patients died of liver failure presenting hepatorenal syndrome, two patients died of heart failure, and six patients had postoperative complications (three wound infections, one urinary tract infection, and two with bronchopneumonia). Of the six patients who received chemotherapy only, four died of hepatorenal syndrome and two died of heart failure.

In patients with >75% of the parenchyma replaced by metastases, mortality after treatment was found to be 50% if on elective surgery and 25% if on chemotherapy alone. The reported difference between the two groups of patients for <75% hepatic involvement of the volume was minimal (50%–75%: 2/6 versus 2/10 and <50%: 2/9 versus 2/13 for elective surgery and NOM, respectively) (Tables 2 and 3).

Thirty-day mortality in patients with Stage T3 was lower in the group receiving chemotherapy, although this outcome was not statistically significant (3/10 versus 2/12 for elective surgery and NOM, respectively). In patients with Stage T4, local extension of the tumor did not appear to affect 30-day mortality since the difference between the two groups was minimal (2/7 versus 4/19 for elective surgery and NOM, respectively) (Tables 2 and 3).

Secondary localizations in addition to hepatic replacement did not affect 30-day mortality. The two groups were not comparable because not all patients presented extrahepatic metastases (Tables 2 and 3).

Of the patients who underwent elective surgery, eleven started palliative chemotherapy (six received FOLFOX plus bevacizumab and five received FOLFOX only) and six died of disease or complications before starting it. The mean interval between surgery and starting postoperative chemotherapy was 5 weeks.

The mean and median follow-up was 8 months and 7 months, respectively. The mean and median overall survival of the patients receiving elective surgery was 6 months and 4 months, respectively. For the patients who underwent palliative chemotherapy only, the mean and median overall survival was 7 months and 5 months, respectively. The 1-year overall survival rate was 17.6% versus 19.4% for elective surgery and chemotherapy alone, respectively (Figure 1).

Multivariate analysis of the data demonstrated that there were no statistically significant differences in 30-day mortality. On the other hand, data analysis using the Cox regression model demonstrated that the risk of all-cause death was significantly higher after elective surgery (2.1; 95% confidence interval 1.06–4.5; \( P = 0.035 \); adjusted to liver replacement

| Patient (by date) | Overall survival from the start of therapy (months) | Hepatic involvement | Local extension (T) | Lung metastases | Peritoneal metastases |
|------------------|-----------------------------------------------------|---------------------|---------------------|----------------|----------------------|
| 1                | 1                                                   | <50%                | 4                   | x              | x                    |
| 2                | 2                                                   | 50%–75%             | 4                   |                | x                    |
| 3                | 9                                                   | <50%                | 3                   | x              |                      |
| 4                | 1                                                   | 50%–75%             | 3                   |                | x                    |
| 5                | 8                                                   | <50%                | 4                   |                |                      |
| 6                | 15                                                  | <50%                | 3                   |                |                      |
| 7                | 3                                                   | <50%                | 3                   | x              | x                    |
| 8                | 4                                                   | 50%–75%             | 3                   |                |                      |
| 9                | 1                                                   | 50%–75%             | 4                   |                | x                    |
| 10               | 20*                                                 | <50%                | 3                   |                |                      |
| 11               | 1                                                   | >75%                | 3                   |                |                      |
| 12               | 10                                                  | <50%                | 3                   |                |                      |
| 13               | 3                                                   | >75%                | 4                   |                |                      |
| 14               | 12                                                  | <50%                | 3                   |                |                      |
| 15               | 4                                                   | 50%–75%             | 4                   |                | x                    |
| 16               | 1                                                   | <50%                | 4                   |                | x                    |
| 17               | 6                                                   | 50%–75%             | 3                   |                |                      |

Note: *Alive October 2012.

Abbreviations: X, positive; –, negative.
Table 3 Factors affecting 30-day overall mortality and overall survival after nonoperative management

| Patient (by date) | Overall survival from the start of therapy (months) | Hepatic involvement | Local extension (T) | Lung metastases | Peritoneal metastases |
|------------------|-----------------------------------------------|-------------------|------------------|--------------|----------------------|
| 1                | 11                                            | <50%              | 3                |              |                      |
| 2                | 1                                             | 50%–75%           | 4                | x            | x                    |
| 3                | 22                                            | <50%              | 4                |              |                      |
| 4                | 7                                             | >75%              | 3                | x            |                      |
| 5                | 4                                             | >75%              | 4                |              | x                    |
| 6                | 11                                            | <50%              | 4                |              |                      |
| 7                | 3                                             | >75%              | 4                | x            | x                    |
| 8                | 12                                            | <50%              | 4                |              |                      |
| 9                | 4                                             | 50%–75%           | 3                |              | x                    |
| 10               | 1                                             | >75%              | 3                |              |                      |
| 11               | 8                                             | <50%              | 4                |              |                      |
| 12               | 6                                             | <50%              | 3                |              | x                    |
| 13               | 2                                             | >75%              | 4                |              | x                    |
| 14               | 1                                             | 50%–75%           | 3                |              | x                    |
| 15               | 16                                            | <50%              | 3                |              |                      |
| 16               | 5                                             | >75%              | 4                |              |                      |
| 17               | 19*                                           | <50%              | 3                |              |                      |
| 18               | 1                                             | 50%–75%           | 4                |              | x                    |
| 19               | 4                                             | 50%–75%           | 4                |              | x                    |
| 20               | 13                                            | <50%              | 3                |              |                      |
| 21               | 5                                             | 50%–75%           | 4                |              | x                    |
| 22               | 1                                             | >75%              | 4                |              |                      |
| 23               | 3                                             | 50%–75%           | 3                |              | x                    |
| 24               | 8                                             | <50%              | 4                |              |                      |
| 25               | 2                                             | >75%              | 4                |              | x                    |
| 26               | 10                                            | <50%              | 4                |              |                      |
| 27               | 3                                             | 50%–75%           | 4                |              | x                    |
| 28               | 12*                                           | <50%              | 3                |              |                      |
| 29               | 1                                             | 50%–75%           | 4                |              | x                    |
| 30               | 4                                             | 50%–75%           | 4                |              | x                    |
| 31               | 7                                             | <50%              | 3                |              |                      |

Note: *Alive October 2012.

Abbreviations: X, positive; –, negative.

and other metastases) compared to patients receiving chemotherapy only (Tables 2 and 3).

Overall the two groups showed similar performance status. The difference between the two groups was greatest for American Society of Anesthesiologists I/ Eastern Cooperative Oncology Group 0 (Table 1). Furthermore, patients undergoing surgery had a better hepatic function since they had a smaller metastatic liver replacement than those receiving chemotherapy alone (Table 1).

Discussion

Resection of the primary tumor is necessary for patients with complications, whereas chemoradiotherapy in combination with targeted agents appears safe and seems a suitable alternative for patients without complications. After resection of the primary tumor, adjuvant therapy should be promptly undertaken as a delay may decrease its efficacy. According to Galizia et al, this occurrence may be balanced by a better response rate to chemotherapy in selected patients before primary tumor debulking. The current analysis doesn’t suggest that primary tumor resection is a safe and effective treatment of asymptomatic patients with Stage IV CRC when not radically resectable.

Liver tumor burden has been recognized as an independent risk factor for poor outcome, regardless of therapy. Thus, patients with extensive (>75%) hepatic tumor involvement carry an extremely unfavorable prognosis. Hepatic parenchymal replacement is significantly related to survival. Hepatic tumor burden > 50% is related to poor overall survival. Bilobar liver involvement is related with an even greater unfavorable prognosis.

In palliative treatment of uncomplicated patients affected by CRC with unresectable liver metastases, chemoradiotherapy combined with biological agents is
a suitable alternative to surgical resection of the primary tumor.

Asymptomatic patients with a liver metastasis involving <50% of the parenchyma, when undergoing resection of the primary tumor, display a survival rate similar to patients on chemotherapy alone (Tables 2 and 3). In asymptomatic patients with a replaced liver volume of 50%–75%, surgery showed no benefits in terms of survival over NOM (posttreatment mortality 33.3% versus 20%), as recently shown by Kleespies et al.27 This data is even more significant in patients with metastases involving >75% of the liver volume (posttreatment mortality 50% versus 25%) (Tables 2 and 3).

Local neoplastic extension is not an independent predictor of survival.29 In the current study, it was demonstrated that in Stage T3 and T4 local extension of the primary tumor, elective surgery presents no benefit in terms of survival compared to NOM (posttreatment mortality Stage T3: 30% versus 16.7% and Stage T4: 28.6% versus 21%) (Tables 2 and 3).

Peritoneal carcinomatosis is an independent prognostic factor,30 and it is also recognized as an important risk factor for obstruction.31 In the current study, peritoneal carcinomatosis appeared not to influence posttreatment mortality.

The main bias of this study was the small number of patients analyzed in a retrospective way. With the exception of overall risk of death, which was higher after elective surgery, no significant difference in 30-day mortality and overall survival was found between the two groups of patients.

Conclusion
This study shows that in palliative treatment of asymptomatic unresectable Stage IV CRC, the risk of all-cause death was significantly higher after elective surgery compared to patients receiving chemotherapy only. However, in the literature, there is no substantial difference between these two treatments.32 Therefore, it is crucial to undertake new studies to evaluate and compare the results in terms of quality of life in both groups of patients.

Disclosure
The authors report no conflicts of interest in this work.

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