Impact of a Mortality Prediction Rule for Organizing and Guiding Antimicrobial Stewardship Program Activities

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Background. Antimicrobial stewardship program (ASP) surveillance at our hospital is supplemented by an internally developed surveillance database. In 2013, the database incorporated a validated, internally developed, prediction rule for patient mortality within 30 days of hospital admission. This study describes the impact of an expanded ASP review in patients at the highest risk for mortality.

Methods. This retrospective, quasi-experimental study analyzed adults who received antimicrobials with the highest mortality risk score. Study periods were defined as 2011–Q3 2013 (historical group) and Q4 2013–2018 (intervention group). Primary and secondary outcomes were assessed for confounders and analyzed using both unadjusted and propensity score weighted analyses. Interrupted time-series analyses also analyzed key outcomes.

Results. A total of 3282 and 5456 patients were included in the historical and intervention groups, respectively. There were significant reductions in median antimicrobial duration (5 vs 4 days; P < .001), antimicrobial days of therapy (8 vs 7; P < .001), antimicrobial cost ($96 vs $85; P = .003), length of stay (LOS) (6 vs 5 days; P < .001), intensive care unit (ICU) LOS (3 vs 2 days; P < .001), total hospital cost ($10 946 vs $9119; P < .001), healthcare facility-onset vancomycin-resistant Enterococcus (HO-VRE) incidence (1.3% vs 0.3%; P ≤ .001), and HO-VRE infections (0.6% vs 0.2%; P = .018) in the intervention cohort.

Conclusions. Reductions in antimicrobial use, hospital and ICU LOS, HO-VRE, HO-VRE infections, and costs were associated with incorporation of a novel mortality prediction rule to guide ASP surveillance and intervention.

Keywords. antimicrobial stewardship; assessment tool; mortality risk score.
we began to explore novel ways in which ASPs can improve the overall care of patients while improving and enhancing more traditional ASP surveillance efforts. Antimicrobial stewardship program surveillance and intervention at our hospital is supplemented by a proprietary, web-based, patient identification tool, referred to internally as the Quality Portal. Historically, daily antimicrobial surveillance by dedicated ASP personnel was limited to a select list of restricted antimicrobials identified by the Quality Portal (Appendix Table 1). Antimicrobial stewardship program personnel reviewed prescribing, assessed for compliance with institutional criteria, and provided feedback to prescribers, if necessary, through prospective audit and feedback. Additional antimicrobial review by clinical pharmacy staff occurred in 2 ways: (1) restricted antimicrobials (eg, vancomycin, fluoroquinolones) were identified and monitored daily for compliance with institutional criteria facilitated by commercially available pharmacy surveillance software (Sentri7 [Pharmacy OneSource; Bellevue, WA] [2011–2017] and Medmined [CareFusion Corporation; San Diego, CA] [2017–2018]); and (2) pharmacists monitored unrestricted antimicrobials on patient care services during participation in interdisciplinary rounds. Review of unrestricted antimicrobials through interdisciplinary rounds occurred Monday–Friday; however, coverage may have varied based on staffing levels and coverage assignments. For example, dedicated intensive care unit (ICU) pharmacists participated in daily (Monday–Friday) interdisciplinary rounds, whereas variable participation may have occurred on medicine services. This practice model left gaps in dedicated ASP personnel oversight when an antimicrobial was not identified in the Quality Portal.

A previously published, prediction rule for mortality within 30 days of hospital admission was developed at our facility [7]. The mortality prediction rule analyzes 24 validated patient risk factors to assign a risk-stratified score between 1 and 5 (Appendix Table 2) [7, 9]. Patients with the highest risk for mortality are assigned a score of 1 indicating an approximate 30% risk for 30-day mortality [9]. Risk-stratified 30-day mortality risk ranges from 13% to 0.3% among those with scores of 2 and 5, respectively. Researchers determined that incorporation of coordinated care processes proportional to the level of predicted risk could be considered for care planning allowing for closer monitoring in patients at highest risk of mortality [9]. Given the continued need to optimally use existing ASP resources for the most benefit, this mortality prediction rule was integrated into the Quality Portal in October 2013 to identify antimicrobials prescribed in patients with scoring indicating the highest risk for mortality. Antimicrobial stewardship program oversight subsequently expanded to include Monday–Friday review of all antimicrobials prescribed in these patients.

Patient Consent Statement
The design of the work and waiver of patient consent was approved by our Institutional Review Board.

Study Design
A single-center, retrospective, quasi-experimental observational study analyzed adult patients with the highest mortality risk score (1) who received antimicrobials not assessed through our historical ASP processes. Patients were identified through health-system administrative and AST databases if they received at least 1 antimicrobial identified upon query during their inpatient stay (Appendix Table 1). Patients were excluded if they received antimicrobials historically reviewed by our ASP and no other antimicrobial prompting ASP surveillance based on mortality risk score. Study periods were defined as patients admitted and discharged between Q1 2011 and Q3 2013 (historical group) and those admitted and discharged between Q4 2013 and 2018 (intervention group) after incorporation of the mortality risk score into the Quality Portal and subsequent expanded ASP oversight. The overall goal of the study was to determine the impact of expanded ASP review on patients with the highest risk for mortality. In addition to the use of the mortality risk score that incorporates multiple patient risk factors, outcomes were assessed adjusting for confounders including demographic data, ICU stay during admission, acute and unspecified renal failure, hemodialysis, chronic kidney disease, and infectious-related diagnoses identified by Agency for Healthcare Research and Quality Clinical Classifications Software (CCS) scoring [10].

Prospective mortality risk scores were implemented for use within the institution in August 2012. A comparable, but retrospectively generated, validated, mortality risk score was used to populate a portion of the historical group when prospective scores were unavailable [9]. Study outcomes included the following: 30-day mortality and readmission; inpatient hospital and antimicrobial costs; hospital and ICU length of stay (LOS); the incidence of infectious diseases (ID) consultation and ASP interventions; incidence of healthcare facility-onset CDI (HO-CDI); incidence and infection rates for healthcare facility-onset vancomycin-resistant Enterococcus spp (HO-VRE), healthcare facility-onset extended-spectrum β-lactamase (HO-ESBL)-producing Enterobacteriaceae, and healthcare facility-onset carbapenem-resistant Enterobacteriaceae (HO-CRE); antimicrobial days of therapy (DOTs) per 1000 patient days; and antimicrobial duration of therapy per patient [11]. Thirty-day mortality and 30-day readmission were identified through an institutional database that analyzes national and statewide death indexes, along with mortality and readmission information from our statewide health-system database. Inpatient hospital cost was unavailable for patients beginning in June 2018 due to changes in hospital reporting. Patients...
with unavailable hospital cost information were excluded from analyses.

Antimicrobial use was further differentiated by antimicrobial class and spectrum of activity. Antipseudomonal agents and narrow-spectrum β-lactams were defined as agents with and without activity against Pseudomonas aeruginosa, respectively. Restricted antimicrobials were defined as antimicrobials included on the institution’s list of restricted antimicrobials (Appendix Table 1). Inpatient antimicrobial cost was determined by multiplying antimicrobial DOTs for each patient’s respective antimicrobial by the daily wholesale acquisition cost of the agent adjusted to 2019 dollars [12]. Antimicrobial DOTs, duration, and cost were analyzed per patient for their entire hospital stay. Antimicrobial duration per patient was defined as the sum of unique calendar days in which the patient received at least 1 antimicrobial. Antimicrobial DOTs per 1000 patient days and cost per patient day were also analyzed between cohorts in the aggregate by calendar quarter. Patient days were limited to the study cohort population and determined by utilizing patient-specific LOS from admission dates attributed to the admit quarter.

Secondary analyses reviewed outcomes in cohorts of patients with 3 common infectious diagnoses: septicemia (except in labor), pneumonia (except that caused by tuberculosis or a sexually transmitted disease), and urinary tract infections (UTIs) [10]. The incidence of HO-CDI was determined from institutional reports by trained infection preventionists to the CDC’s National Healthcare Safety Network (NHSN) beginning in 2013 [11]. Institutional diagnostic testing changed in November 2012 from glutamine dehydrogenase plus enzyme immunoassay (EIA) with cytotoxicity assay (CTA) for discordant results to the use of polymerase chain reaction confirmation for discordant results. There were no other changes to HO-CDI diagnostic testing during the study time period. A query of the electronic medical record for any positive EIA or CTA results were used to identify patients before NHSN reporting. In addition, retrospective chart review was used to identify positive CDI testing in patients in which queries of EIA or CTA testing results were unavailable. Identification of CDI diagnostic testing was unavailable before 2012; therefore, 2011 was excluded from HO-CDI analyses. The incidence of HO-VRE, HO-ESBL, and HO-CRE was also obtained using NHSN reporting definitions and further classified through retrospective chart review as infection or colonization. Infection was defined as treatment deemed necessary by the primary medical team and/or ID consultation. Identification of HO-VRE and HO-ESBL was unavailable for patients in 2011. These patients were excluded from analyses. Healthcare facility-onset vancomycin-resistant Enterococcus was not included in the cohort analysis of patients with pneumonia.

Antimicrobial interventions and ID consultation were obtained from Quality Portal documentation by ASP team members. Interventions were tracked during daily surveillance throughout the course of the intervention time period. A pharmacy or ASP intervention was defined as any documented change in antimicrobial therapy in patients without ID consultation. Interventions and ID consultation were reported as a percentage of the total cohort population.

Statistical Analyses
Continuous outcomes were evaluated using a t test or Wilcoxon rank-sum test to establish the effect of the expanded ASP review. The χ² test or Fisher’s Exact test was used to evaluate categorical outcomes. Statistical analysis was performed using R version 3.6.0 and Microsoft Excel 2016 (Redmond, WA). All P ≤ .05 were considered statistically significant.

Potential confounding variables listed in Table 1 were adjusted for using propensity score methods. Propensity scores were calculated for secondary analyses involving disease state cohorts.

Interrupted time series (ITS) analyses were performed on infection and antimicrobial utilization variables. Plots of the quarterly rate of each outcome were produced to visualize the trends before and after the expanded ASP review was implemented. Segmented least squares regression models were fit to the quarterly series for each outcome. The models assumed linearity of the trend lines within each intervention period and included parameters for an intercept, the preintervention trend, and the change in both level and trend after the intervention. If there was evidence of autocorrelation within the series from a Durbin Watson test, an autoregressive correlation structure was used when fitting the model, otherwise an unstructured correlation matrix was specified.

RESULTS

A total of 3282 and 5456 patients were included in the historical and intervention groups, respectively (Table 1; Appendix Figure 1). The groups were adequately balanced on demographic and clinical confounding variables after propensity score weighting. The LOS (6 vs 5 days; P < .001), ICU LOS (3 vs 2 days; P < .001), and total hospital cost ($10 946 vs $9119; P < .001) were significantly reduced in the intervention cohort (Table 2). There were significant reductions in median antimicrobial duration per patient (5 vs 4 days; P < .001), antimicrobial DOTs per patient (8 vs 7; P < .001),
and antimicrobial cost per patient ($96 vs $85; P = .01). There were no significant differences observed in 30-day mortality or 30-day readmissions. The incidence of HO-VRE (1.4% vs 0.3%; P ≤ .001) and HO-VRE infections (0.6% vs 0.2%; P = .018) were significantly decreased in comparisons between cohorts; however, when

Table 1. Demographics and Clinical Characteristics by Cohort

| Variable (Label) | Historical (N = 3282) | Intervention (N = 5456) | Unadjusted P Value | PS Weighted P Value |
|------------------|-----------------------|-------------------------|--------------------|---------------------|
| Gender           |                       |                         |                    |                     |
| Male             | 1801 (54.9)           | 2846 (52.2)             | .015               | .599               |
| Female           | 1481 (45.1)           | 2610 (47.8)             | .492               | .661               |
| Age, yearsb      | 76.4 (12.8)           | 77.7 (12.7)             | <.001              | .887               |
| Race             |                       |                         |                    |                     |
| White            | 2870 (87.5)           | 4742 (86.9)             | .429               | .691               |
| Other            | 412 (12.6)            | 714 (13.1)              | .756               | .964               |
| ICU stay         | 1302 (39.7)           | 1908 (35)               | <.001              | .639               |
| Acute and unspecified renal failure | 1429 (43.5) | 2550 (46.7) | .004 | .449 |
| Chronic kidney disease | 1385 (42.2) | 2594 (47.5) | <.001 | .411 |
| Hemodialysis     | 796 (24.3)            | 1700 (31.2)             | <.001              | .399               |
| Infections       |                       |                         |                    |                     |
| Septicemia       | 1355 (41.3)           | 2146 (39.3)             | .075               | .96                |
| Pneumonia        | 1130 (34.4)           | 1736 (31.8)             | .013               | .478               |
| Urinary tract infection | 792 (24.1) | 1136 (20.8) | <.001 | .588 |
| Intestinal infection | 296 (9)     | 342 (6.3)               | <.001              | .469               |
| Bacterial infection (unspecified site) | 281 (8.6) | 622 (11.4) | <.001 | .253 |
| Skin and subcutaneous tissue infections | 173 (5.3) | 296 (5.4) | .795 | .579 |
| Peritonitis and intestinal abscess | 62 (1.9) | 84 (1.5) | .251 | .832 |
| Other Infections (including parasitic) | 45 (1.4) | 83 (1.5) | .636 | .576 |
| Other upper respiratory infections | 24 (0.7) | 78 (1.4) | .005 | .311 |

Abbreviations: ICU, intensive care unit; PS, propensity score.

aData are no. (%) unless otherwise specified.
bData are summarized with mean (standard deviation).

cAnalysis conducted 2012–2018.

dData are presented as median (interquartile ratio).

Table 2. Outcomes by Cohort (Unadjusted and Propensity Score-Weighted)

| Variable (Label) | Historical (N = 3282) | Intervention (N = 5456) | Unadjusted P Value | PS Weighted P Value |
|------------------|-----------------------|-------------------------|--------------------|---------------------|
| Categorical Outcomes |                       |                         |                    |                     |
| 30-day mortality | 1191 (36.3)           | 1919 (35.2)             | .302               | .812               |
| 30-day readmission | 676 (20.6)           | 1098 (20.1)             | .614               | .684               |
| HO-CDIb          | 52 (2.6)              | 121 (2.2)               | .357               | .43                |
| HO-VREc          | 26 (1.3)              | 16 (0.3)                | <.001              | <.001              |
| HO-VRE infectionsc | 12 (0.6)            | 8 (0.2)                 | .002               | .018               |
| HO-CRE           | 6 (0.2)               | 3 (0.1)                 | .089               | .18                |
| HO-CRE infections | 5 (0.2)              | 1 (<0.1)                | .031               | .075               |
| HO-ESBL           | 9 (0.5)               | 13 (0.2)                | .205               | .217               |
| HO-ESBL infections | 7 (0.4)             | 8 (0.2)                 | .138               | .146               |
| Continuous Outcomes |                       |                         |                    |                     |
| Hospital LOS, days | 6 (3–9)              | 5 (3–8)                 | <.001              | <.001              |
| ICU LOS, days     | 3 (2–6)               | 2 (1–4)                 | <.001              | <.001              |
| Inpatient hospital cost, $ | 10 946 (6329–19 750) | 9119 (6603–15 371) | <.001 | <.001 |
| Antimicrobial cost, $ | 96 (28–237)        | 85 (28–189)             | <.001              | .01                |
| Antimicrobial duration, days | 5 (3–8)            | 4 (2–7)                 | <.001              | <.001              |
| Antimicrobial days of therapy | 8 (4–14)        | 7 (3–12)                | <.001              | <.001              |

Abbreviations: CRE, healthcare facility-onset carbapenem-resistant Entrobacteriaceae; HO-CDI, healthcare facility-onset Clostridioides difficile infection; HO-HO-ESBL, healthcare facility-onset extended-spectrum beta-lactamase-producing Enterobacteriaceae; HO-VRE, healthcare facility-onset vancomycin-resistant Enterococci spp; ICU, intensive care unit; LOS, length of stay; PS, propensity score.

aData are no. (%) unless otherwise specified.
bP-values shown for the continuous outcomes are from the Wilcoxon rank-sum test.
cAnalysis conducted 2012–2018.
dData are presented as median (interquartile ratio).
considering the quarterly trends within each cohort in the ITS analyses, there was not a significant difference in the rate of change of these outcomes ($P = .531; P = .825$) (Appendix Figure 2). There was no difference in overall HO-CDI (2.6% vs 2.2%; $P = .43$) between cohorts; however, the ITS analysis showed a significant change in the slope of HO-CDI per 10,000 patient days ($P = .032$), because the preintervention cohort showed an increasing trend in HO-CDI, whereas the postintervention cohort showed a decreasing trend (Figure 1). The HO-CRE, HO-CRE infections, HO-ESBL, and HO-ESBL infections were unchanged.

Unadjusted antimicrobial utilization between cohorts and ITS analyses between time periods are presented in Table 3. The overall average use of antimicrobials decreased 7% between time periods (1513 vs 1411 DOTs per 1000 patient days, $P = .041$) leading to 3648 DOTs avoided. The use of institutionally restricted antimicrobials decreased 11% (937 vs 831 DOTs per 1000 patient days, $P = .009$), and antipseudomonal agent utilization decreased 8% (478 vs 442 DOTs per 1000 patient days, $P = .05$). The prescribing of fluoroquinolones and intravenous vancomycin decreased 35% (84 vs 55 DOTs per 1000 patient days, $P \leq .001$) and 23% (253 vs 194 DOTs per 1000 patient days, $P < .001$), respectively. The ITS analyses confirmed a significant trend in the decrease of intravenous (IV) vancomycin utilization ($P = .012$) (Appendix Figure 3); however, despite overall reductions, there were no significant decreases in slopes of any additional antimicrobial category, including total antimicrobial (Figure 2) and fluoroquinolone utilization ($P = .709$) (Appendix Figure 4). Clindamycin utilization decreased 44% (34 vs 19 DOTs per 1000 patient days, $P = .008$); however, the ITS slope indicated a leveling of decreases after the intervention ($P = .017$).

Interventions were documented on 13% of patients and 18% had documentation indicating ID consultation. Common interventions included discontinuing antimicrobials (7%) and changing antimicrobial selection (5%).

Analyses of cohorts with septicemia, pneumonia, and UTIs revealed similar results (Appendix Tables 3–5). After adjusting for demographic and clinical characteristics, hospital cost, LOS, ICU LOS, and antimicrobial DOTs per 1000 patient days were significantly improved in each disease-specific cohort. Antimicrobial duration and cost were improved in both the septicemia and pneumonia cohorts. The HO-VRE and HO-VRE infections were decreased in the septicemia cohort.

**DISCUSSION**

We present in this report results of an ASP’s incorporation of a mortality prediction rule into daily antimicrobial surveillance. Use facilitated targeted delivery of care for personnel with specialized expertise in ID and antimicrobial stewardship to a population at high risk for mortality. Results demonstrated improvements in several patient outcomes and costs including LOS, ICU LOS, hospital costs, antimicrobial cost, duration, and DOTs, with additional improvements in common disease states in hospitalized patients in the United States [13].

To our knowledge, this is the first report of the use of a mortality prediction rule to drive ASP surveillance and intervention; however, the concept of utilizing risk-adjusted tools to guide ASP efforts has been reported in various forms [4–6]. For example, Nault et al [14] described their experience with use of an ASP-developed CDS system that accounted for patient clinical information to guide pharmacist-led prospective audit and feedback. They showed reductions in antimicrobial use and spending, inappropriate prescriptions, and a sustained reduction in LOS. More recently, Ridgway et al [15] reported mixed results with utilization of a CDS tool incorporating patient characteristics to guide ASP recommendations for empiric prescribing. Guidelines-based interventions were associated with decreased LOS for cellulitis and decreased mortality for community-acquired pneumonia, but not with improved outcomes for UTI and abdominal-biliary infections. Results of our
analysis and others suggest ASPs may benefit from incorporation of risk-adjustment tools into their daily ASP surveillance tailored to individual program and institution goals.

There are a few limitations inherent to this analysis including the single-center, retrospective nature of the analysis. Retrospective mortality scoring was used to identify a subset of patients in our historical group. Internal analyses of the prediction rule indicate a high degree of correlation between retrospective and prospective scoring; however, the potential for variability among groups is an acknowledged limitation [9]. It is likely that many improvements are multifactorial and not solely due to improved antimicrobial use. Likewise, this study was not designed to find a causal relationship between targeted monitoring and infection rates. Although we were able to attribute DOTs by calendar quarter, our use of patient LOS from quarterly admit date to define cohort-specific quarterly patient days limited accuracy in patients’ whose stay crossed quarterly lines. This was likely mitigated by similar numbers of patients crossing quarterly thresholds; however, this is an acknowledged limitation of the ITS analyses.

The impact of ASP initiatives on reductions in incidence of resistant infections is limited. Baur et al [16] performed a systemic review and meta-analysis investigating the effect of antimicrobial stewardship on the incidence of infection and colonization with antibiotic-resistant bacteria. They found that implementation of ASPs was associated with a 48% reduction in the incidence of ESBL-producing Gram-negative bacteria [16]. Citing 3 studies reporting results, they found that VRE was not significantly changed after implementation of ASPs. De Angelis et al [17] in their meta-analysis found that hygiene measures were associated with a 47% decrease in VRE acquisition rates. Reductions in HO-VRE incidence and infections between cohorts were not confirmed via ITS analyses leaving us unable to attribute whether results were due to our intervention or simply from secular trends in changing resistance patterns and infection prevention practices. Variation in infection rates crossing quarterly thresholds; however, this is an acknowledged limitation of the ITS analyses.

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are impacted by a host of ancillary factors including improved infection prevention practices. Some of these factors changed during the study period. For instance, environmental cleaning practices and products were altered, isolation orders became automated system orders, CDI diagnostic stewardship practices changed, and large-scale educational provider campaigns for hand washing and donning and doffing personal protective equipment were implemented.

Our ASP also had several initiatives running concurrently that likely impacted results. Initiatives impacting all patients regardless of mortality scoring included extensive facility-specific guideline development, promotion of β-lactam prescribing utilizing allergy cross-reactivity guidelines, and targeted syndrome-specific interventions including diagnostic stewardship with focus on common infectious diseases such as pneumonias and UTIs [18–22].

The variation in results between propensity score weighted analyses and ITS analyses indicates that, although there was a difference between cohorts, outside of IV vancomycin and HO-CDI, there was no difference in trends between time periods. Knowing this, it is difficult to attribute results solely to HO-CDI, there was no difference in trends between time periods. Regardless of mortality scoring included extensive facility-specific guideline development, promotion of β-lactam prescribing utilizing allergy cross-reactivity guidelines, and targeted syndrome-specific interventions including diagnostic stewardship with focus on common infectious diseases such as pneumonias and UTIs [18–22].

In summary, significant reductions in antimicrobial use, HO-VRE incidence and infections, inpatient and ICU LOS, and hospital and antimicrobial costs were associated with incorporation of a novel mortality prediction rule to guide and expand ASP surveillance and intervention.

CONCLUSIONS

The ASPs that seek to focus resources and prioritize target populations may benefit from this approach.

Supplementary Data

Supplementary materials are available at Open Forum Infectious Diseases online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

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