Analysis of Fresnel Loss at Splice Joint Between Single-Mode Fiber and Photonic Crystal Fiber

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Abstract An analysis of Fresnel loss at splice joint of single-mode fiber (SMF) and two types of photonic crystal fibers (PCFs) using improved full-vectorial effective index methods is presented. The effects of air-hole size \(d\), air-hole spacing \(\Lambda\), longitudinal misalignment between spliced SMFs and PCFs on the Fresnel loss is analyzed and is shown that when \(\Lambda\) and the dopant concentration in the core of PCFs increases or the ratio \(d/\Lambda\) decreases, the Fresnel loss will decrease. The results of the analysis may be used by network designers to predict overall loss when employing PCF-based devices in optical fiber networks.

Keywords Fresnel loss, Single-mode fiber, Photonic crystal fiber

1. Introduction

In recent years, due to growth of optical communication networks, the splice joint between single-mode fibers (SMFs) as a transmission medium, and photonic crystal fiber (PCF) based devices has attracted more research work. One of the issues at the splice joint of SMFs and PCF-based devices worthy to attend is the reflection of light ray called as Fresnel reflection which is due to a possible change of refractive index at the splice point[1]. This phenomenon causes development of extra loss at the joint.

In the early research works, losses due to Fresnel reflection between SMFs were well reported[2-4]. The recent reports focused on the loss at the splice joints between SMF and PCF, where the attempts were made to optimize the spliced PCF parameters such as air-hole spacing \(\Lambda\) and air-filling factor \(d/\Lambda\) for reduction of the loss resulting from coupling mechanism between them[5-8].

In the reported investigations[5, 8], the core refractive index of the spliced fibers was assumed to be equal and there was no indication of the influence of effective refractive index of PCFs on the loss due to Fresnel reflection. By creating a critical angle of 8 degrees at the end faces of spliced SMFs and hollow core PCFs, the Fresnel reflection may be avoided [1].

In connecting the PCF-based devices to SMFs, we should consider the influences of \(\Lambda\) and \(d/\Lambda\) on the Fresnel loss at the joint [9]. The presence of air-holes in the cladding region of the PCFs causes change in behavior of the joint which can be studied using effective refractive indices of the spliced fibers[5, 6]. In our previous reports, a mechanism was proposed using improved fully vectorial effective index method (IVEIM) to optimize splice joint of PCFs and SMFs[10]. In this paper, for the first time to our knowledge, we report an analysis of Fresnel loss at the splice joint of SMF and solid core PCFs.

In the analysis of the present paper, by using IVEIM[11, 12], we will consider the splice joints between single-mode fiber and two structurally different PCFs, i.e., conventional PCF (CPCF) and raised-core PCF (RCPF)[13] to investigate the influences of PCFs parameters \(\Lambda\) and \(d/\Lambda\) on reduction of Fresnel loss at the splice joint.

2. Formulation of Fresnel loss for Splice joint

To calculate the Fresnel loss, Fig. 1 is considered for a splice of the fibers where their end facets, at a distance \(D\) apart, are assumed parallel to each other. The incident rays are assumed perpendicular to the end facets. The ray transmission from fiber 1 to fiber 2 experiences reflections twice, one at the interface between end facet of fiber 1 and the air gap, and the other between the air gap and the entering facet of fiber 2.

In general, in Fig. 1(a), the two co-directional rays (one of them is shown), normal to the plane wave front in fiber 1 after crossing medium \(n_2\), strike the front end of fiber 2 and then are transmitted partially into medium \(n_3\). For the net amplitude reflection coefficient \(r\), the Fresnel coefficients for each boundary are used with a phase difference of \(\beta\). We note that the Fresnel coefficients are different for \(s\)- and \(p\)-polarization.

Therefore, we can write the following expressions for \(r\)}
\[ r = \frac{t_2 + r_3 e^{eta}}{1 - t_2 r_3 e^{eta}} \]  

With similar derivation, we can write the net amplitude transmitted into fiber 2 as:

\[ t = \frac{t_1 t_2 + r_3 e^{0.5 \beta}}{1 + t_1 r_3 e^{0.5 \beta}} \]

where \( r_{nm} \) is the intensity reflection coefficient from medium (m) to medium (n), \( t_{nm} \) is the respective intensity transmission coefficient, and \( \beta = 2D(n_1/c)(p + iq) \) is the phase difference, where \( p = n_2 \cos(\theta_2) \) and \( q = 0 \) in a nonconducting medium for all angles of incidence, \( \phi \) is the angular frequency of light, and \( c \) is the velocity of light in a vacuum.

**Figure 1.** Demonstration of Fresnel loss at the splice joint of any two fibers (a) \( D \neq 0 \) and (b). \( D = 0 \)

In Eqs (1) and (20) the identities \( n_2 = -r_{02}, \ r_0^2 + t_0 r_2 = 1 \) are used. So based on Fresnel coefficient, we can write the intensity reflectance \( R \) as:

\[ R = \left[ \frac{r_{12} + r_{23} + 2r_1 r_2 \cos \beta}{1 + r_1 r_2 + 2r_1 r_2 \cos \beta} \right] \]

For nonconducting media 1 and 3 \( (q = 0) \), and for real \( \beta \) and real Fresnel coefficients we can write intensity reflectance and intensity transmittance as:

\[ R = \frac{R_{12} + R_{23} + 2R_1 R_2 \cos \beta}{1 + R_{12} + 2R_1 R_2 \cos \beta} \]

\[ T = \frac{1 + R_{12} R_2 - R_2 - R_{12}}{1 + R_{12} R_2 + 2R_1 R_2 \cos \beta} \]

where \( R_1 = n_1^2 \) and \( R_2 = n_2^2 \), indicate the reflectance between fiber 1 and the air-gap, and between the air-gap and fiber 2, respectively[14].

With reference to Fig. 1(a), at normal incidence for \( D \neq 0 \), the reflectance for both \( s \) - and \( p \) -polarization and the phase difference \( \beta \) can be shown as[15]:

\[ \beta = 2Dn_2 \frac{\phi}{c} \]

The corresponding Fresnel loss will be:

\[ Loss_{Fresnel} = -10 \log(1 - R) \]

where all the parameters are indicated in Fig. (1). The corresponding transmittance for \( D = 0 \) is obtained as:

\[ T = \frac{t_1}{t_m} = \frac{n_2}{n_1} 2 \frac{4n_2 n_3}{(n_1 + n_3)^2} \]

where \( t_1 \) and \( t_m \) are the transmitted and incident intensities, respectively. Then the loss due to the Fresnel reflections can be derived as[2, 15]:

\[ Loss_{Fresnel} = -10 \log \left[ \frac{4n_2 n_3}{(n_1 + n_3)^2} \right] \]

Eq. (4) shows that the reflection and the transmission are implicitly dependent on three refractive indices \( n_1, n_2 \), and \( n_3 \), as shown in Fig. 1. In addition, to eliminate the Fresnel loss at the splice joint, we should set \( T = 1 \) (or \( R = 0 \)). With this precondition, we obtain an expression for maximum transmission at the splice joint as: \( n_2 = (n_1 n_3)^{1/2} \). Since for \( D = 0 \), \( n_2 \) can be the refractive index of the air-gap \( (n_2 = 1) \), the condition for zero Fresnel loss will be \( n_1 n_3 = 1 \).

With the above discussion, let us now consider the splice between an SMF and a PCF. To be more specific on the light propagation through fibers at splice joint, we can presume that the light sees an effective refractive index rather mere core refractive index, which agrees usually with a practical condition. Under this situation, the influences of PCF parameters \( \Lambda \) and \( d/\Lambda \) can bring us the structural effects on the Fresnel loss at the splice point of the SMF and the PCF.

Now, to start with our study, we assume a perfect splice between the SMF (fiber 1) and the PCF (fiber 2), i.e., a splice with no longitudinal displacement \( (D = 0) \). Therefore, Eq. (8) reduces to:

\[ Loss_{Fresnel} = -10 \log \left[ \frac{4n_2 n_3}{(n_1 n_3)^2} \right] \]

where \( n_{eff}^{SMF} \) and \( n_{eff}^{PCF} \) are the effective refractive indices of the SMF and the PCF, respectively.

### 3. Numerical Results

We consider splicing of SMF to a CPCF and a RCPCF in a separate calculation. To determine optimal values of refractive indices of silica cores of the spliced fibers, \( n_{eff}^{SMF} \) of the SMF, \( n_{eff}^{CPCF} \) of the CPCF, and \( n_{eff}^{RCPCF} \) of the RCPCF, we used Sellmeier equation and numerical IVEIM method, respectively, which are generally utilized[10, 11, 16, 17].

The numerical results for \( n_{eff}^{CPCF} \) and \( n_{eff}^{RCPCF} \) are illustrated in Fig. 2 in terms of \( d/\Lambda \) for different values of \( \Lambda \). We note that the effective refractive index as a function of \( \Lambda \), experiences higher variations as \( d/\Lambda \) increases. The range of this variation is less in case of the RCPCF.

For the splices joints of the SMF to the CPCF and the RCPCF[10, 13], the Fresnel losses, based on Eq. (9), in terms of \( d/\Lambda \) for different values of \( \Lambda \) are illustrated in Fig. 3 using IVEIM method. When \( \Lambda \) increases, the Fresnel loss will decrease whereas increase of \( d/\Lambda \) would cause an increase in the Fresnel loss for a given \( \Lambda \), as shown in Fig. 3.

For higher values of \( \Lambda \), the slopes of the curves decrease, showing a lesser influence of the ratio \( d/\Lambda \). It is noted that with the same values of \( \Lambda \) in Fig. 3(a), the replacement of CPCF with RCPCF in a splice with SMF has caused reduc-
tions of the Fresnel loss. As $\Lambda$ increases, this effect is more prominent, as indicated in Fig. 3(b). In fact, at higher values of $\Lambda$, the Fresnel loss changes linearly and its dependency on $d/\Lambda$ will be weak. For instance, when $\Lambda = 6.30 \, \mu m$ and $d/\Lambda = 0.345$, we observe more than 50% reduction of the Fresnel loss when using RCPCF [18].

Figure 2. Calculations of the effective refractive index (a) $n_{eff}^{CPCF}$ and (b) $n_{eff}^{RCPCF}$ by using IVEIM at 1.55 $\mu m$.

Now, if there is a longitudinal displacement $(D \neq 0)$ at the splice joint between two fibers, the Fresnel loss will be determined from Eq. (6). Figure 4 illustrates the Fresnel loss for two cases: $d/\Lambda = 0.2$ and $d/\Lambda = 0.5$ for different values of $\Lambda$ at 1.55 $\mu m$. For all values of $\Lambda$ and $d/\Lambda$, all the minima at $D = 0.39, 1.16, 1.94 \, \mu m$ remain almost constant at low level 0.001 dB, as shown in Fig. 4(a). The maxima of the Fresnel losses change slightly for higher values of $\Lambda$. When $d$ increases, the maximum Fresnel loss will go higher, as shown in Figs. 4(b) and 4(c). If $d/\Lambda$ is assumed constant, the Fresnel loss maintains sinusoidal changes with almost zero values at some longitudinal displacements points that do not alter with parameter changes, as these points depend on the ratio $D/\lambda$.

In fact, for lower values of $\Lambda$, when there is longitudinal displacement at the splice joint, the Fresnel loss dose not depend on structural parameters of the PCFs. It is reminded the presence of longitudinal displacement at the splice joints of two fibers causes two reflections.

One of the approaches to nullify the Fresnel loss at the splice joint is to maintain the following condition:

$$n_2 = (n_{eff}^{SMF} n_{eff}^{PCF})^{1/2}$$  (10)

As before, this condition can be extracted from Eq. (4), and Fig. 4, as well, because as it is observed the value of $R$ can be smaller than the reflection coefficient of entering face of the fiber at the joint. According to the latter condition, the value of $n_2$ is not constant rather directly depends on $\Lambda$, $d/\Lambda$, and implicitly depends on the wavelength.

In all the calculations, it is assumed the light strikes the end facets of the fibers at right angle, whereas in practice it is not so. Now, for practical case, we consider an incident angle $\theta_1$ for the input ray for $s$- and $p$-polarization (See Fig. 1).

For a non-magnetic medium, the reflection coefficients of $s$-polarization ($R_s$) and $p$-polarization ($R_p$) with a non-zero incidence angle $\theta_1$ and refracted angle $\theta_2$ for $D = 0$ are expressed in general form as [14]:

$$R_s = \frac{(n_2 \cos \theta_1 - n_3 \cos \theta_2)^2}{(n_2 \cos \theta_1 + n_3 \cos \theta_2)^2}$$  (11)

$$R_p = \frac{(n_2 \cos \theta_1 - n_3 \cos \theta_2)^2}{(n_2 \cos \theta_1 + n_3 \cos \theta_2)^2}$$  (12)

where $n_1$ and $n_2$ are as defined in Fig. 1.

Now, by assuming $\theta_1 = \theta_2$ in Eqs. (11) and (12), the effect of incident angle on $R_s$ and $R_p$ is determined and plotted in Fig. 5 by considering $\lambda = 1.55 \, \mu m$, $n_1 = 1.4701$, $n_3 = 1.465$, $\Lambda = 6.30 \, \mu m$, and $d/\Lambda = 0.345$. It indicates that when the ray is incident at the interface between two spliced fibers with an angle of about 45 deg, the Fresnel loss will be zero. This angle is the well-known Brewster angle at which the reflectance for $p$-polarization is zero. Therefore, the validity of considering zero incident angle for small Fresnel loss may be extended to even higher incident angles.
With reference to our recent reports, we note that the optimized values of $\Lambda$ and $d/\Lambda$ for the PCF-based devices lie in the single-mode region, which are the values resulting in a low Fresnel loss with a reasonable approximation based on present results in Fig. 3 [10, 17, 13].

4. Conclusions

We presented an analysis of Fresnel loss at splice joint of SMFs and two different types of PCFs (CPCF and RCPCF) by using improved fully vectorial effective index methods. By formulating the dependency of the Fresnel loss on the PCF parameters $\Lambda$ and $d/\Lambda$ and including the influences of effective refractive index of the fibers at the splice joint of SMFs and PCFs, the parameters ranges are determined for minimum Fresnel losses.

The analysis has shown that when the air-hole radius of spliced PCFs increases or when the RCPCF is used, there will not be a suitable method. Instead, IVEIM method will present better results.

It is shown that by increasing the effective index of the core, an increase of $\Lambda$ and a decrease of $d/\Lambda$ would reduce the Fresnel loss by more than 50%. Our analysis also shows that when using RCPCF, the design and fabrication become more flexible with respect to $\Lambda$ and $d/\Lambda$. By considering a special case of $n_1=1.4701$, $n_2=1.465$, $\Lambda=6.30 \mu$m, and $d/\Lambda=0.345$ at $\lambda=1.55 \mu$m, at an incident angle of about 45 deg. the Fresnel loss will be zero. In addition, it is shown that the effect of incident angle at the splice joint on the Fresnel loss is not considerable.

To optimize the Fresnel loss at the splice joint of SMFs and PCFs, three approaches are proposed: 1) use of a material with a known refractive index at the splice joint, 2) control via longitudinal misalignment at the splice joint, and 3) control via PCF parameters $\Lambda$ and $d/\Lambda$.

With reference to our recent results [10, 17, 13], we note that the optimized values of $\Lambda$ and $d/\Lambda$ for the PCF lie in the single-mode region, which are the values resulting a low Fresnel loss with a reasonable approximation based on present results.

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