Machine Learning Decomposition of the Anatomy of Neuropsychological Deficit in Alzheimer’s Disease and Mild Cognitive Impairment

Ningxin Dong1†, Changyong Fu2†, Renren Li2†, Wei Zhang2, Meng Liu2, Weixin Xiao2, Hugh M. Taylor3, Peter J. Nicholas3, Onur Tanglay3, Isabella M. Young3, Karol Z. Osipowicz3, Michael E. Sughrue3,4, Stephane P. Doyen3 and Yunxia Li2*

1 Department of Medical Imaging, Tongji Hospital, School of Medicine, Tongji University, Shanghai, China, 2 Department of Neurology, Tongji Hospital, School of Medicine, Tongji University, Shanghai, China, 3 Omniscent Neurotechnology, Sydney, NSW, Australia, 4 International Joint Research Center on Precision Brain Medicine, XD Group Hospital, Xi’an, China

Objective: Alzheimer’s Disease (AD) is a progressive condition characterized by cognitive decline. AD is often preceded by mild cognitive impairment (MCI), though the diagnosis of both conditions remains a challenge. Early diagnosis of AD, and prediction of MCI progression require data-driven approaches to improve patient selection for treatment. We used a machine learning tool to predict performance in neuropsychological tests in AD and MCI based on functional connectivity using a whole-brain connectome, in an attempt to identify network substrates of cognitive deficits in AD.

Methods: Neuropsychological tests, baseline anatomical T1 magnetic resonance imaging (MRI), resting-state functional MRI, and diffusion weighted imaging scans were obtained from 149 MCI, and 85 AD patients; and 140 cognitively unimpaired geriatric participants. A novel machine learning tool, Hollow Tree Super (HoTS) was utilized to extract feature importance from each machine learning model to identify brain regions that were associated with deficit and absence of deficit for 11 neuropsychological tests. At a network level, most parcels predictive of both presence and absence of deficit were affiliated with the Central Executive Network, Default Mode Network, and the Sensorimotor Networks. Segregating predictors by the cognitive domain associated with each test revealed areas of coherent overlap.
between cognitive domains, with the parcels providing possible markers to screen for cognitive impairment.

**Conclusion:** Approaches such as ours which incorporate whole-brain functional connectivity and harness feature importance in machine learning models may aid in identifying diagnostic and therapeutic targets in AD.

**Keywords:** cognitive impairment, neuropsychological tests, MRI, neural networks, machine learning, neuroimaging markers

**INTRODUCTION**

Alzheimer’s Disease (AD) is the most common form of dementia, affecting millions worldwide (Prince et al., 2013). AD is characterized by the deposition of β-amyloid and tau protein, which often precede the onset of dementia symptoms by at least 10–20 years (Villemagne et al., 2013). AD initially progresses through a prodromal stage of mild cognitive impairment (MCI), defined as impairment in any single cognitive domain (Vega and Newhouse, 2014). Patients with MCI may also progress to other types of dementia, remain stable, or return to a cognitively unimpaired state (Giorgio et al., 2020). There is therefore a need to disentangle higher cognitive functioning in the neurodegenerative disease states and examine overlaps and differences to provide insight into both pathological and normal age-related neurocognitive functioning. This will in turn improve diagnostic and therapeutic approaches.

Currently, the diagnosis of MCI and AD is based on clinical evaluation, while structural changes are often not detected in early disease, even when imaging is interpreted by experienced radiologists. Due to the advancement of machine learning algorithms and new data, we have the opportunity to analyze large data sets and build prediction models to inform clinical practice (Panch et al., 2018; Davenport and Kalakota, 2019). Various studies have utilized machine learning to predict the conversion of MCI to AD based on neuropsychological measures and clinical markers, with several studies focusing on neuroimaging models (Huang et al., 2020; Stamate et al., 2020; Syaifullah et al., 2020; Grueso and Viejo-Sobera, 2021). Wee et al. (2012) employed support vector machines to demonstrate that a multimodal approach combining functional and structural connectivity data improved the accuracy of MCI classification. Shi and Liu extracted features from resting-state functional magnetic resonance imaging (rsfMRI) to classify stages of MCI (Shi and Liu, 2020). Syaifullah et al. (2020) developed a technique combining SVM with voxel-based morphometry and MMSE scores which substantially outperformed radiologists in diagnosing AD. Jitsuishi and Yamaguchi investigated multiple types of modalities to demonstrate that diffusion parameters was most accurate in distinguishing early and late MCI (Jitsuishi and Yamaguchi, 2022). In contrast, most of the existing literature preselects features to focus the machine learning model’s classification. While this results in high diagnostic accuracy, potentially crucial information about the disease process may be discarded. In the case of resting state functional connectivity, several studies have demonstrated key changes in brain network architecture across several large-scale networks, including the default mode, salience and limbic networks (Prasad et al., 2013; Badhwar et al., 2017; Ye et al., 2019). Many of these changes are can also be seen up to 4 years before the symptomatic onset of AD (Wisch et al., 2020). Since these large-scale functional networks comprising the seven-network model detailed by Yeo et al. (2011) are responsible for the complex processes underlying cognition (Yeo et al., 2011), it is important to formulate a network-based model of cognition in AD. This feat is, however, complicated by the magnitude of the data, which requires sophisticated machine learning tools to both make predictions, but also identify clinically meaningful targets for treatment. Therefore, models incorporating whole-brain functional connectivity and employing a network-based analysis may provide actionable insight and guide symptom-specific therapies.

In this study, we performed functional connectivity-based analysis and utilized a recently described machine learning approach (Doyen et al., 2021) to identify commonalities and differences in brain regions across neuropsychological domains in a cohort of MCI and AD patients, and age-matched cognitively unimpaired subjects. We sought to explore patterns among these regions to identify potential markers which may be used in future studies to develop better disease classifiers. We believe our methods will provide a basis for the utility of functional connectivity in improving diagnosis and patient selection for treatment in MCI and AD.

**MATERIALS AND METHODS**

**Patient Cohort**

Participants were recruited from the Department of Neurology and Memory Clinic in Shanghai Tongji Hospital between September 2017 and January 2021. All participants were Chinese, right-handed, and between 50 and 85 years old. The participants were divided into three groups: AD group, MCI and control group.

Exclusion criteria included: (1) definite history of stroke; (2) definite history of other diseases of the central nervous system such as infection, demyelinating diseases, and Parkinson’s disease; (3) definite history of mental illness such as schizophrenia, major depressive disorder; (4) serious physical disease; (5) alcohol or drug addiction; (6) unable to cooperate with neuropsychological tests; (7) MRI contraindication; (8) iodine allergy.
Subjects included in the AD group had to meet the clinical diagnostic criteria set out by the National Institute on Aging and the Alzheimer’s Association (NIA-AA) (Albert et al., 2011). Inclusion in the MCI group was based on the neuropsychological Jak/Bondi criteria (Bondi et al., 2014) and the Petersen/Winblad criteria as operationalized by the Alzheimer’s Disease Neuroimaging Initiative (ADNI) (Marder, 2005). Specifically, the criteria included (a) the subject and their caregiver had complaint of memory/cognitive decline; (b) Mini-Mental State Examination (MMSE) MMSE or MoCA-B scores met the following criteria adjusted by education: MMSE ≤ 24 for Junior high school or above, or MMSE ≤ 20 for primary school, or MMSE ≤ 17 for illiteracy; or MoCA-B ≤ 24 for Bachelor degree or above, or MoCA-B ≤ 22 for middle school, or MoCA-B < 19 for primary school or below; (c) Clinical Dementia Rating Scale (CDR) of 0.5; (d) met any of the following three criteria: (1) at least two performances within a cognitive domain fell below the established cutoff (> 1SD); (2) at least two cognitive domains were impaired (> 1SD); (3) had more than one function described in instrumental activities of daily living (IADL-14) scale scored 0 point.

All participants provided informed consent. This study was reviewed and approved by the Institutional Review Board at Tongji University.

Neuropsychological Testing
All participants underwent a comprehensive Neuropsychological Test Battery (NTB) that included the MMSE, MoCA-B, 1cDR, IADL-14, and the Hachinski Ischemic Score (HIS). Memory function was assessed by the Hopkins Verbal Learning Test-Revised (HVLT-R, including immediate recall test), and the logical memory test (Wechsler memory scale). Language function was measured by the Verbal Fluency test and the Boston Naming Test (BNT; the 30-item version). Executive function was assessed using the Shape Trail Test-A and B (STT-A, STT-B). The larger scores in STT-A or STT-B test indicates longer time to complete the task and poorer executive performance. Visual space navigation function was measured by the Rey-Osterrieth Complex Figure Test (CFT, including the copy test and the recall test). The assessments were performed by a neuropsychology clinician qualified in neuropsychological assessment.

Statistical Analysis
Differences in demographic factors and neuropsychological test scores were analyzed using non-parametric tests; the Kruskal–Wallis test for continuous data, and Fisher’s Exact test for categorical data.

Imaging Protocol
All examinations were performed with a 3.0T MR system (Magneton Verio, Siemens Medical Systems, Erlangen, Germany) with an orthonormal head coil. During the MRI scan, all participants were asked to remain still in the supine position with the surrounding space being filled with sponge.

We here relied on diffusion weighted images (DWI) and rsfMRI data with the following parameters: DWI: b1 = 0 s/mm², b2 = 1,000 s/mm², b3 = 2,000 s/mm²/64 directions, Matrix = 112 × 112, FOV = 224 mm × 224 mm, TR = 2,400 ms, TE = 71 ms, 76 slices, 2 mm thickness, no gap. and rsfMRI: TR = 500 ms, TE = 30 ms, flip angle (FA) = 60°, FOV = 224 mm × 224 mm, matrix = 64 × 64, slices = 35, thickness = 3.5 mm, gap = 0.5 mm. A 3D MPRA GE (magnetization prepared rapid acquisition gradient echo) image (voxel size 1 mm × 1 mm × 1 mm, TE: 2.98 ms, TR: 2,530 ms, flip angle = 7°) was also obtained.

Diffusion Tractography Preprocessing Steps
The DWI images were processed using the Infinitome software (Omniscient Neurotechnology, 2020), which employs standard processing steps in the Python language. The processing pipeline includes the following: (1) the diffusion image is resliced to ensure isotropic voxels, (2) motion correction is performed using a rigid body registration algorithm to a baseline scan, (2) slices with excess movement (defined as DVAR S > 2 sigma from the mean slice) are eliminated, (3) the T1 image is skull stripped using the HD-BET software (Isensee et al., 2019), which is inverted and aligned to the DWI image using a rigid alignment, which is then used as a mask to skull strip the aligned DWI image, (4) gradient distortion correction is performed by applying a diffeomorphic warping registration method between the DWI and T1 images, (5) The fiber response function is estimated and the diffusion tensors are calculated using constrained spherical deconvolution, (7) deterministic tractography is performed with uniform random seeding, 4 seeds per voxel, usually creating about 300,000 streamlines per brain.

Creation of a Personalized Brain Atlas Using Machine Learning Based Parcellation
In order to minimize the effects of gyral variation, we used a machine-learning based, subject specific version of the Human Connectome Project Multimodal Parcellation (HCP-MMP1) atlas (Glasser et al., 2016) generated based on each subject’s structural connectivity, which has been described elsewhere (Doyen et al., 2022). Figure 1 demonstrates the steps in creation of this personalized atlas. Briefly, a machine learning model was trained by entering preprocessed tractography data from 178 healthy controls obtained from the SchizConnect database to learn the structural connectivity pattern between voxels included within the 379 parcels of the HCP-MMP1 atlas. The same unaltered atlas was then warped onto each brain of the study sample. The trained machine learning model was then applied to each individual in the study sample to appoint voxels located at the endpoint of tractography streamlines to their most likely warped HCP parcellation based on the structural connectivity feature vectors, resulting in reparation of voxels. This method creates a version of the HCP-MMP1 atlas with 180 cortical parcels and 9 subcortical structures per hemisphere, along with the brainstem as one parcel.

We mapped the identified parcels to known resting-state networks based on the hierarchical structure described by Akiki and Abdallah (2019): the Default Mode Network (DMN), the...
Central Executive Network (CEN), Dorsal Attention Network (DAN), Salience Network (SN), Sensorimotor Network (SMN), and Visual Network (VN). These networks are based on the model first demonstrated by Yeo et al. (2011), who mapped specific parts of the cortex to known large-scale networks (Yeo et al., 2011). These networks have since been investigated across the spectrum of healthy and pathological cognitive states and have been demonstrated to underlie key cognitive processes. This template was therefore applied to our dataset to investigate patterns in the identified brain regions, and the networks with which they are associated.

**rsfMRI Preprocessing Steps**

The rsfMRI images were processed using standard processing steps including: (1) motion correction on the T1 and BOLD images using a rigid body alignment, (2) elimination of slices with excess movement (defined as DVARS > 2 sigma from the mean slice), (3) skull stripping of the T1 image using a convolutional neural net (CNN), which is inverted and aligned to the resting state bold image using a rigid alignment, and used as a mask to skull strip the rsfMRI image, (4) slice timing correction, (5) Global intensity normalization, (6) gradient distortion correction using a diffeomorphic warping method to register the rsfMRI and T1 images, (7) High variance confounds are calculated using the CompCor method (Behzadi et al., 2007); these confounds as well as motion confounds are regressed out of the rsfMRI image, and the linear and quadratic signals are detrended. Note this method does not perform global signal regression, (8) spatial smoothing is performed using a 4 mm FWHM Gaussian kernel. The personalized atlas created in previous steps is registered to the T1 image, and gray matter atlas regions are aligned with the gray matter regions in each subject’s scans. Thus, it is ideally positioned for extracting a BOLD time series, averaged over all voxels within a region, from all 379 regions (180 parcels from two hemispheres, plus 19 subcortical structures). The Pearson correlation coefficient is calculated between the BOLD signals
of each unique area pair (self to self-inclusive), which yields 143,641 correlations.

**Mapping of Neuropsychiatric Tests to Brain Regions Using the Hollow-Tree Super Method**

We subsequently built machine learning models to predict a subject's performance on a given test based on their functional connectome. The black box problem in machine learning generally limits the ability to utilize machine learning techniques in clinical practice, as there is generally a need-to-know which parts of the brain contribute to a given pathology. In order to address this, we used a boosted trees approach, called Hollow-tree Super (HoTS) (Doyen et al., 2021), to determine which features of each machine learning model, in this case the functional connectivity among brain regions, were contributing most to the model's prediction of performance on each neuropsychological test. Performance in each test was classified by a tertile split, with the upper and lower tertiles classed as poor and good performance, respectively. The binarization of these test scores was necessary to apply logistic regression to continuous scores. However, since the mapping of psychometric testing to brain regions using machine learning is a novel technique, the thresholds were chosen to reflect differences between the worst and best performing groups. This was done to ensure that the model was identifying biological differences which were reflective of the clear functional differences between these two groups. Test specific tertile limits were: MOCA-B (< 17, poor performance; > 24 good performance), the Clock Drawing Test (< 3, poor performance; 3, good performance), and the Hopkins Verbal Learning Test Delayed Recall (0, poor performance; > 6, good performance). The prediction performance of each model was measured by the area under the receiver-operator curve (AUC-ROC). The binarization of each test and the class balances are provided in the Supplementary Figures.

**RESULTS**

**Patient Characteristics**

Table 1 shows the demographic characteristics and median neuropsychological test scores, along with significance of differences reported on Kruskal–Wallis and Fisher's Exact Tests. Across groups, there were significant differences in age and education between control, MCI and AD subjects, with control subjects being generally younger (median ± IQR, 71 ± 10 in control; 72 ± 11 in MCI; and 75 ± 10 in AD) and having attained a greater number of years of education (12 ± 6 in control; 9 ± 3 in MCI; 9 ± 3 in AD). Additionally, there were significant group differences in all neuropsychological tests conducted, with MCI and AD subjects performing worse.

**Machine Learning Reveals Parcels Underlying Cognitive Deficits**

Applying our HoTS methodology to determine features of the functional connectome associated with performance in each neuropsychological test, eleven models had a test AUC greater than 0.65 (Figure 2A): Boston Naming Test (BNT), Boston Naming Test—Articulateness and Fluency (BNT-A), Hopkins Verbal Learning Test Immediate Recall (HVLT-I), Hopkins Verbal Learning Test 5 min Delayed Recall (HVLT-D), Rey Osterrieth Complex Figure Imitation (ROCF-I), Rey Osterrieth Complex Figure Recall (ROCF-R), Shape Trail Test Part A (STT-A), Shape Trail Test Part B (STT-B), Wechsler Memory Scale Logical Memory (WMS-LM), Clock Drawing Test (CDT), and

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**TABLE 1 | Subject demographics.**

|                          | Control ($n = 140$) Medain (IQR) | MCI ($n = 149$) Median (IQR) | AD ($n = 85$) Median (IQR) | $p$-value |
|--------------------------|----------------------------------|-----------------------------|----------------------------|-----------|
| Age (years)              | 71 (65, 75)                      | 72 (70, 81)                 | 75 (67, 77)                | <0.001    |
| Education (years)        | 12 (9, 15)                       | 9 (9, 12)                   | 9 (9, 12)                  | <0.001    |
| Sex (%)                  |                                  |                             |                            | 0.130     |
| Female                   | 68 (48.6)                        | 81 (54.7)                   | 53 (62.4)                  |           |
| Male                     | 72 (51.4)                        | 67 (45.3)                   | 32 (37.6)                  |           |
| Missing                  | 0                                | 1                           | 0                          |           |
| MMSE                     | 27.5 (26, 28)                    | 24 (22, 26)                 | 15 (11, 19)                | <0.001    |
| MOCA-B                   | 24 (22, 26)                      | 16 (14, 19)                 | 8 (5, 11)                  | <0.001    |
| Wechsler Memory Scale Logical Memory | 9 (7, 11)    | 6 (4, 7.25) | 2 (1, 4)                | <0.001    |
| Hopkins Verbal Learning Test (Immediate) | 19 (16, 22) | 14 (11, 17) | 7 (3, 10) | <0.001 | |
| Hopkins Verbal Learning Test (Delayed 5 min) | 7 (5, 8) | 3 (0.5) | 0 (0.0) | <0.001 |
| Hopkins Verbal Learning Test (Delayed 20 min) | 7 (5, 8) | 3 (0.5) | 0 (0.0) | <0.001 |
| Boston Naming Test       | 24 (20, 26)                      | 21 (17, 24)                 | 15 (11.5, 20)              | <0.001    |
| Boston Naming Test Articulateness and Fluency | 15 (12, 17) | 12 (9, 14) | 6 (4, 9) | <0.001 |
| Rey Osterrieth Complex Figure Imitation | 32 (6, 35) | 21 (6, 33.5) | 6 (1, 22) | <0.001 |
| Rey Osterrieth Complex Figure Recall | 11 (5, 19) | 4 (0, 10) | 0 (0.0) | <0.001 |
| Shape Trail Test Part A  | 56 (44, 73)                      | 73 (58, 94.75)              | 108.5 (80.75, 140.5)       | <0.001    |
| Shape Trail Test Part B  | 145 (109, 179)                   | 181 (153, 230)              | 232 (189.5, 273)           | <0.001    |

*Non-parametric tests conducted, with median and interquartile range (IQR) reported. MCI, mild cognitive impairment; AD, Alzheimer’s Disease.*
MOCA-B. Results were categorized into the domains of Language (BNT), Verbal Learning and Memory (HVLT-I, HVLT-D, WMS-LM), Attention and Executive Function (BNT-A, STT-A, STT-B), Visuospatial Function (ROCF-I, ROCF-R, CDT), and MOCA-B as a standalone general cognitive test.

**Injury to Sensory and Higher Order Association Regions Underlie Naming Deficits in AD**
Right 3a (SMN), right 10r (DMN), left OP1 (SMN), and left 9–46 days (SN) were most predictive of poor performance in the BNT, whereas there were no predictors of absence of deficit. **Supplementary Figure 1** shows the log odds of each parcel as a predictor for performance in the BNT.

**DLPFC and IFOF-Connected Regions Are Abnormal in People With Impaired Verbal Recall**
Table 2 lists the parcels identified by each model for tests associated with verbal learning and memory. Poor performance in the HVLT-I was associated with multiple core networks, including DMN, CEN, SN, and VN. Anatomically, a subset of these parcels were in the right dorsolateral prefrontal cortex (DLPFC) (right 8Av, right 8Ad, right p9–46v), right premotor area (area 6d, area 6a, area 6r), right insula (right PoI2), left DLPFC (left 46), left medial frontal lobe (left 10r) and left occipital cortex (left V1, left V3B, left FST). The distribution of these parcels suggest that the model may be highlighting alterations in the connectivity of the inferior fronto-occipital fascicle (IFOF).

**Deficits in Delayed and Episodic Verbal Recall Highlight Possible Functional Compensation**
The CEN and DMN were most associated with deficits in the HVLT-D, whereas the VN, SN, and DMN were linked to absence of deficits. Notably, the right-sided analogs of speech areas, right 55b, and right 45 were associated with absence and presence of deficit, respectively.

When looking at the substrates for verbal episodic memory, presence of deficit in the WMS-LM was associated with the DMN, CEN, SMN and VN; while absence of deficit was associated with parcels in the medial temporal lobe and limbic components of the DMN, CEN, SN and the right Amygdala. **Supplementary Figures 2–4** show the log odds of each parcel as a predictor for performance in each language test.

**Performance in Executive Function Is Associated With Executive and Sensory Networks**
The parcels our models associated with performance in each test associated with attention and executive function are listed in **Table 3**. For the BNT-A, parcels predictive of deficit were affiliated with the CEN, DMN, DAN, and SMN. Conversely, parcels associated with absence of deficit were mostly associated with the DMN, especially its limbic components.

Furthermore, deficits in the STT-A were associated with several networks, including the DMN, CEN, DAN, and SMN, while absence of deficit was predicted by parcels in the CEN, and SMN. In the STT-B, the DAN, CEN, DMN, SMN, and VN were associated with deficit; whereas the SN, DAN and SMN were associated with absence of deficit. Both tests demonstrate impairment in areas known to be associated with executive function, though there was also involvement of unexpected networks such as the SMN. **Supplementary Figures 5–7** detail the feature importance of each parcel as a predictor in the models for the attention and executive function tests.

**Visuospatial Deficits Are Associated With Multiple Large-Scale Networks**
Table 4 shows the identified parcels associated with performance in visuospatial tests, along with their network affiliation. Presence of deficit in the ROCF-I was mainly associated with subcortical structures and SN; while absence of deficit was mostly linked to the VN and DMN. Functional connectivity of parcels in the DAN and VN were predictors of deficit in ROCF-R, whereas absence of deficit was linked to the limbic and language components of the DMN and CEN.

Moreover, language regions of the DMN and the SMN were associated with deficit in the CDT, whereas the SMN, CEN, and DMN were associated with absence of deficit.

Log odds of each parcel as a predictor for performance for the visuospatial tests are shown in **Supplementary Figures 8–10**.

**Parcels Associated With Performance in MOCA-B May Provide Markers of Cognitive Decline**
Finally, poor performance in the MOCA-B was associated with the CEN (right 13l, left POS2, right 8Av, right p9–46v, left PFm), VN (left V1, right VIP, left V7), and SMN (right 4, right Pir, left 7PC); and absence of deficit was linked to the SMN (right FOP2, left MBelt), SN (left FOP1), DMN (right PCV) and VN (right PIT). Although the AUC of 0.68 for this model was relatively low, these areas may be studied further as possible markers to predict cognitive decline. The log odds for each parcel is provided in **Supplementary Figure 11**.

**Overlaps and Differences Across Cognitive Domains May Provide Insight Into Distinct Progression Trajectories in AD**
We next explored overlaps between parcels associated with each neuropsychological test to derive patterns which may aid in diagnosis. At a network level, predictors of deficit in each test were affiliated with a variety of networks, although the CEN was most common, followed by the DMN (Figure 2B). We identified 20 parcels which were predictors of poor performance in at least two neuropsychological tests (Figure 2C). Most of these were affiliated with the CEN, DMN, and DAN. When examining parcels associated with
absence of deficit, the DMN and CEN were also over-represented (Figure 3A). 14 parcels were predictors of good performance in at least two neuropsychological tests (Figure 3B), and most parcels were associated with temporal structures affiliated with the DMN.

Although each neuropsychological test assesses distinct functions, to identify commonalities and differences in our data, we grouped parcels by the cognitive domain associated with each test. We then identified parcels which were predictors of performance in at least two neuropsychological tests within each domain. Language and the MOCA-B were left out of this analysis as only one model was associated with each of these. Within Verbal Learning and Memory, four out of six parcels were associated with poor performance in at least two tests, whereas right 6d was associated with poor performance in the WMS-LM and good performance in HVLT-I, and left 23d was associated with good performance in both the WMS-LM and HVLT-I (Figures 4A,B). Common parcels associated with attention and executive function were located within the frontal and parietal lobes (Figures 4C,D). All parcels were associated with poor performance, except right 43, which was associated with poor performance in the STT-A, but good performance in STT-B. Given the difference between the two tasks is the cognitive flexibility required in STT-B, which is the harder task, this region may be indicating initial functional compensation which is successful in some individuals. Finally, all common parcels associated with visuospatial function were in the temporooccipital region, with the addition of the right Thalamus (Figures 4E,F). All parcels identified were common predictors of absence of deficit, except right V8, which was associated with absence of deficit in the CDT and presence of deficit in the ROCF-D; and left EC, which was associated with absence of deficit in the CDT and presence of deficit in the ROCF-I. These differences may again be indicative of different stages or
Baseline resting-state fMRI parcels predicting absence of deficits in neuropsychological tests. (A) Parcel to network mapping showed that parcels in the CEN and DMN were most frequently associated with absence of deficit in neuropsychological testing, and these networks were the most common overall. (B) Neuropsychological test to parcel mapping of fourteen parcels which were predictors of good performance in at least two tests. The parcels have been placed in relative anatomical positions, while the colors of the arrows represent the networks associated with the parcels. Each arrow is drawn from the neuropsychological test (rectangle) to a single parcel (oval). CEN, Central Executive Network; DAN, Dorsal Attention Network; DMN, Default Mode Network; BNT-A, Boston Naming Test—Articulateness and Fluency; BNT, Boston Naming Test; HVLT-I, Hopkins Verbal Learning Test—Immediate Memory; HVLT-D, Hopkins Verbal Learning Test—Delayed Recall; ROCF-I, Rey Osterrieth Complex Figure Imitation; ROCF-R, Rey Osterrieth Complex Figure Recall; STT-A, Shape Trail Test—Part A; STT-B, Shape Trail Test Part B.

Overlaps within cognitive domains may provide insight into functional compensation and cognitive trajectories in AD. Parcels associated with performance in at least two neurocognitive tests associated within each cognitive domain: Verbal Learning and Memory (A), Attention and Executive Function (C), and Visuospatial Function (E). Each arrow is drawn from the neuropsychological test (rectangle) to a single parcel (oval). The arrows are colored by whether the association was to poor performance (Deficit Present) or good performance (Deficit Absent) as defined in the methodology for each test, while the parcels are colored by their associated networks. Anatomical locations of the given parcels are also shown on a T1 MRI (B, D, F). BNT-A, Boston Naming Test; HVLT-I, Hopkins Verbal Learning Test—Immediate Memory; HVLT-D, Hopkins Verbal Learning Test—Delayed Recall; ROCF-I, Rey Osterrieth Complex Figure Imitation; ROCF-R, Rey Osterrieth Complex Figure Recall; STT-A, Shape Trail Test—Part A; STT-B, Shape Trail Test Part B, WMS-LM, Wechsler Memory Scale—Logical Memory.
### TABLE 2 | Predictors of performance in neuropsychiatric tests associated with verbal learning and memory.

| Area | Network | Area | Network | Area | Network | Area | Network | Area | Network |
|------|---------|------|---------|------|---------|------|---------|------|---------|
| L_V1 | Visual  | L_PHA1 | DMN     | R_H  | DMN     | L_PHA1 | DMN     | L_9-46d | Salience |
| R_TE1p | CEN     | R_TF  | DMN     | R_23d | CEN     | R_p10p | CEN     | R_LIPd | CEN     |
| R_6d  | SMN     | R_AAIc | CEN     | R_8Av | CEN     | L_23d  | CEN     | R_6r   | DAN     |
| R_47m | DMN     | R_9a  | DMN     | R_6a  | DAN     |       |         | L_111  | CEN     |
| L_FST | Visual  | L_MI  | Salience| R_pOFC | CEN     |       |         | R_7AL  | SM      |
| L_8Bl | DMN     | L_RSC | CEN     | R_6d  | SM      |       |         | R_45   | DMN     |
| R_111 | CEN     | R_PHA1 | DMN     | R_Accumbens | SC | L_23d  | CEN     | L_10pp | DMN     |
| L_1   | SMN     | L_23d | CEN     | L_FST | Visual  |       |         | L_PEF  | DAN     |
| L_3b  | SMN     | R_Amygdala | SC |       |         |       |         | R_PGs  | CEN     |
| R_STSva | DMN   |       |         |       |         |       |         | R_13l  | CEN     |
| R_8Ad | DMN     |       |         |       |         |       |         | R_V4t  | Visual  |
| L_IFJp | CEN     | L_SCEF | Salience| L_V3B | Visual  | R_p9-46v | CEN | L_p32 | DMN     |
| L_46  | Salience| L_46  | Salience| R_Pg1 | Salience|         |         |         |         |

CEN, Central Executive Network; DAN, Dorsal Attention Network; DMN, Default Mode Network; SC, Subcortical Deficit Present refers to good performance, whereas Deficit Absent refers to poor performance in a given test, as defined in the methodology.

traces of disease, though the lack of longitudinal data limited further analysis.

We finally looked at commonalities between domains by classifying the 20 parcels associated with presence of deficit in two or more tests into five domains based on the associated neuropsychological test (Figure 5A). The common areas tended to be in the frontal lobe and affiliated with the CEN (right p9-46v, right 13l, right 8Av; left 11l), DAN (right 6a, right 6d), DMN (right 8BL, left 7Am), SMN (right 4, right 6a), SN (left 9–46d), and right Accumbens. Interestingly, we noted a convergence of verbal learning and visuospatial function at areas right 6a, right 6d and right 6r. Furthermore, a similar grouping of parcels associated with absence of deficit (Figure 5B) revealed several temporal and perisylvian regions within the DMN (R_TF, R_PHA1, left PGs, left STGa), SMN (left MBelt, right PBelt, right FOP2), DAN (right PHT), CEN (left IFJa) and VN (right PH).

### DISCUSSION

Despite rising prevalence and ongoing efforts, we still lack adequate tools to track the progression of MCI and diagnose AD early in its pathological stage. Data-driven methods are needed to identify pathological progression and functional decline and enable premorbid treatment. Our machine learning models demonstrate that different neurocognitive deficits in AD are associated with functional connectivity abnormalities in multiple bi-hemispheric neural networks. Notably, the limbic components of the DMN and CEN, and the DAN were most associated with performance measures. Deficits in multiple domains revealed impairments in top-down processing, and possible recruitment of analog areas in the contralateral hemisphere, which may underlie response to injury in AD. We propose these regions may serve as possible neuroimaging markers of disease progression, and aid in establishing clinical trajectories, though longitudinal data are needed to explore this further. Ultimately, we demonstrate the utility of data-driven methods to explore the neural networks underlying functional and cognitive deficits in AD. Further analyses have the potential to revolutionize diagnosis and treatment, and improve quality of life in AD.

**Cognitive Deficits in AD Arise From Impairment in Multiple Networks**

A commonality between all the models in our analysis was the involvement of multiple networks in each cognitive task, and the involvement of areas which would not classically be associated with a given task. For example, deficits in naming were associated with regions outside of language or motor planning areas. Similarly, our data suggest that fluency and articulation deficits in AD may be caused by cognitive abnormalities in motor planning, with several premotor area parcels being associated with deficit. The same premotor regions were also associated with visuospatial deficits in our dataset. Previous studies have identified a possible role of the premotor area in articulation planning (Paulesu et al., 1993; Marvel and Desmond, 2012; Liao et al., 2014). This may suggest that fluency and articulation difficulties in AD stem from a phenomenon paralleling ideational apraxia,
TABLE 3 | Predictors of performance in neuropsychiatric tests associated with attention and executive function.

| Deficit Present | Area | Network | Deficit Absent | Area | Network |
|----------------|------|---------|----------------|------|---------|
| Boston naming test articulateness and fluency | AUC = 0.71 | | | Shape trail test (Part A) | AUC = 0.68 | | Shape trail test (Part B) | AUC = 0.65 |
| R_IFSa | DAN | | R_IFSa | CEN | |
| R_47′a | DMN | | r_13I | CEN | |
| L_9a | DMN | | R_LBelt | SMN | |
| R_2 | SMN | | L_AIP | DAN | |
| L_8mp | SMN | | L_P9-46v | Salience | |
| L_ULPv | Visual | | R_P9-46v | CEN | |
| R_6a | DAN | | R_8a | DAN | |
| R_OFc | CEN | | R_43 | SMN | |
| L_IFSa | CEN | | L_9a | DMN | |
| R_9-46d | CEN | | R_6a | DAN | |
| L_AV1 | CEN | | R_9-46d | CEN | |
| L_AIP | DAN | | R_43 | SMN | |

CEN, Central Executive Network; DAN, Dorsal Attention Network; DMN, Default Mode Network; SC, Subcortical Deficit Present refers to good performance, whereas Deficit Absent refers to poor performance in a given test, as defined in the methodology.

TABLE 4 | Predictors of performance in neuropsychiatric tests associated with visuospatial function.

| Deficit present | Area | Network | Deficit Absent | Area | Network | Deficit absent | Area | Network |
|----------------|------|---------|----------------|------|---------|----------------|------|---------|
| Rey osterrieth complex figure imitation | AUC = 0.70 | | Rey osterrieth complex figure recall | AUC = 0.78 | | Clock drawing test | AUC = 0.75 |
| R_Caudate | SC | L_TE1p | CEN | L_OFc | CEN | L_T9d | DMN | L_31a | DMN | L_PBelt | SMN |
| L_P32pr | Salience | L_SFL | DMN | R_6r | DAN | R_V1 | SMN | L_STSva | DMN | L_RE1m | CEN |
| Brainstem | SC | R_Pol2 | SMN | R_6a | DAN | L_TE1p | CEN | R_TE1m | CEN | L_RE1m | CEN |
| L_Caudate | SC | L_PHA1 | DMN | R_V8 | Visual | L_STGa | DMN | R_4 | SMN | |
| L_EC | DMN | R_PHT | DAN | R_6d | SMN | L_STBda | DMN | R_9-46d | CEN | L_PHA1 | DMN |
| R_13I | CEN | R_PH | Visual | L_V1 | Visual | L_Accumbens | SC | R_Jp2 | CEN | |
| R_PF | CEN | L_PH | Visual | L_T9e | DMN | L_31a | DMN | L_PHA1 | DMN | |
| L_5L | SMN | R_V2 | Visual | a32pr | CEN | | | | | |
| R_PFT | DAN | L_VIP | Visual | R_TF | DMN | | | | | |
| R_PFT | DAN | L_VIP | Visual | R.OP1 | SMN | | | | | |
| L_ULPv | Visual | L_IFSa | CEN | L_PBelt | SMN | | | | |
| L_8Av | DMN | | L_T9e | DMN | | | | | |

CEN, Central Executive Network; DAN, Dorsal Attention Network; DMN, Default Mode Network; SC, Subcortical Deficit Present refers to good performance, whereas Deficit Absent refers to poor performance in a given test, as defined in the methodology.
rather than exclusively explicit damage to language areas. Overall, these data suggest that many deficits in AD present a multi-network cognitive problem, rather than localized functional deficits. A multi-network perspective of functional deficits in AD must be assumed when describing the disease which should underlie the development of any therapeutic intervention.

**The Role of the DLPFC and IFOF in Working Memory**

Several parcels within the DLPFC were associated with poor performance in the immediate recall portion of the HVLT. The DLPFC bilaterally is known to play an important role in verbal and spatial working memory (Sisi and Yixuan, 2018). In AD, impaired plasticity of the left DLPFC, measured as potentiation of cortical evoked activity has been correlated with working memory (Kumar et al., 2017). Increased activation of the right DLPFC on the other hand has also been associated with memory deficits in AD (Erk et al., 2011; Liang et al., 2011). Whether this is a maladaptive or compensatory mechanism remains unclear. Our model for poor performance in the HVLT-I predominantly highlighted the right DLPFC, which may indicate a similar maladaptive reorganization or nociferous compensation.

Furthermore, our machine learning model associated the functional connectivity of parcels in the left occipital and frontal lobes with impaired immediate recall. The IFOF is a white matter bundle which runs between these two regions, connecting the frontopolar, orbitofrontal and inferior frontal cortices to the occipital lobe (Conner et al., 2018). The role of the IFOF remains controversial. Several neuroimaging and neuromodulation studies have suggested a role in visual semantic processing (Moritz-Gasser et al., 2013; Almairac et al., 2015; Yordanova et al., 2017). However, it is still unclear how this pathway functionally differs from the parallel, indirect path in the ventral stream, anatomically described as the inferior longitudinal fascicle (ILF)/uncinate fasciculus (UF). Since the IFOF is connected to executive areas in the frontal lobe, it is possible that the IFOF is primarily involved in top-down processing of language, whereby the frontal lobe aids in visual semantic processing by biasing the visual system toward cognitively relevant goals. Indeed, planning spoken language has been shown to require attentional control (Roelofs and Piai, 2011). Our data may therefore be pointing to a similar paradigm, whereby individuals performing poorly on a verbal working memory task have left IFOF deficits. The model for deficits in HVLT-I also identified the right insula. This may be suggestive of ineffective compensation by the right IFOF, which also has subinsular components, however, further functional and lesion studies are required to investigate these hypotheses.

**Modulating Compensatory Plasticity in AD**

Our models highlighted several possible compensatory mechanisms underlying deficits in MCI and AD, including the recruitment of alternate networks and contralateral analogous areas. Further exploring these changes in functional connectivity hold potential in targeting neuroplastic responses to injury in AD. Congruent to this, a recent study on a small cohort of patients with subjective cognitive decline (SCD), MCI, and AD demonstrated decrease in functional connectivity centrality measures in the somatomotor and visual networks in SCD patients (Wang et al., 2019). In contrast, AD patients showed an increase in centrality measures, and the authors proposed that as associative networks such as the DMN, CEN, and DAN were damaged, there was attempted compensation in the primary sensory networks. The frequent association of the sensorimotor and visual networks with presence of deficit within our data may therefore be explained by maladaptive recruitment of these networks in AD patients. These findings were echoed by another study demonstrating increased connectivity within the prefrontal, parietal and occipital lobes in AD, alongside

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**FIGURE 5** Commonalities across cognitive domains may provide potential targets for screening, diagnosis, and treatment. The Venn diagrams show the parcels which are predictors of (A) deficit and (B) absence of deficit across at least two cognitive domains.
decreased connectivity between the frontal and prefrontal lobes (Wang et al., 2007). This phenomenon of anterior-posterior functional connectivity dysfunction (Tao et al., 2020) may also be reflected in our data set, as many parcels which were predictors of dysfunction in multiple tests were in the parietal and frontal cortices. Moreover, the use of functional connectivity measures in the diagnosis and treatment of AD may enable precision medicine to improve patient outcomes. Functional connectivity may be a marker of cognitive reserve (Topiwala et al., 2019; Ewers et al., 2021), a hypothesis which may underpin the different clinical trajectories seen in AD (van Loenhoud et al., 2019). Indeed, patients with higher cognitive reserve were seen to have a more efficient functional connectome, suggesting they are better able to cope with progressing AD pathology (Weiler et al., 2018). Exploring the individual differences in the ability of the brain to adapt to network changes may enable harnessing methods to improve compensation in response to AD.

**Predicting MCI Conversion to AD**

There have been several studies demonstrating the utility of machine learning tools in predicting MCI conversion to AD, and in diagnosing AD, with varying degrees of success (Syafullah et al., 2020; Kumar et al., 2021). Each study utilizes a different combination of features in their models, ranging from clinical data, neuropsychological testing (Battista et al., 2017; Gupta and Kahali, 2020), behavioral and psychiatric data (Gill et al., 2020; Lo et al., 2020), and various imaging modalities (Jo et al., 2019). It is however unclear how early these models can be employed to screen individuals. The heterogeneity in clinical trajectories, and the likely need to implement treatment much earlier than the onset of symptoms require biological markers which indicate risk prior to decline in neuropsychological testing. This accounts for the popularity of incorporating imaging modalities into many of these models, as they provide a minimally invasive means of diagnosis independent of neuropsychological testing. The strength of the present study is the ability of our machine learning model to provide visibility into the features of the functional connectome associated with cognitive function. In fact, the neuroanatomical information provided by this method, specifically the areas of coherent overlap between domains as highlighted in Figure 5, and differences within, and across domains can be integrated with the neuropathological perspective in AD to build a progression model which can be used in screening and diagnosis. For example, Vogel et al. (2021) identified four trajectories in AD based on tau deposition. Rate of tau deposition has also been associated with functional connectivity in AD (Franzmeier et al., 2020) and functionally connected regions demonstrated shared levels of tau (Franzmeier et al., 2019). This in turn may lead to false conclusions and prevent the implementation of machine learning in settings where it may revolutionize diagnostics. It is therefore imperative that applied methodology is rigorous and validated using independent data sets.

The novelty of the machine learning technique used in this study lies in the ability to identify which features the model is relying the most on to make its prediction. This is generally difficult to do when a model has a large number of features as its input, as is the case with functional connectivity among 379 brain regions. Traditional methods would instead attempt to identify significant features prior to feeding these into a model, therefore biasing the model to focus solely on, for example, the default mode network. This however potentially removes global network information which may reveal key insights about the pathology. Our method therefore provides an embedded solution to directly analyze rsfMRI data, though further analysis techniques are necessary to identify markers from the large amount of data that results from this method.

**LIMITATIONS**

As stated previously, machine learning models are currently unable to determine which feature of the functional connectivity of each parcel the model uses to predict the response. The models may be highlighting either reduced activation, indicating deficits in the underlying network, or alternatively, there may be increased activity due to greater reliance on networks which are compensating, albeit ineffectively. The former may be used as markers in diagnosis and screening, whereas the latter could potentially be targeted using TMS to strengthen networks and improve symptoms, though current evidence for the therapeutic value of TMS in AD remains unclear (Weiler et al., 2020). Beyond improving computational techniques, this problem could be addressed through longitudinal studies on large...
cohorts to track functional connectivity changes and connect this with clinical data and comparing these observations to neuropathological data.

Furthermore, the functional connectivity features were resolved from baseline resting-state fMRI rather than task-based fMRI. Consequently, the parcels identified by each model are not necessarily those activated when completing these tasks, but rather an association between changes in the functional connectome and the performance in each test. Finally, we did not stratify our models by diagnosis due to our limited sample size, instead favoring more stable models by opting in for classification based on best and worst performance in neuropsychiatric testing.

CONCLUSION

Diagnosing and treating AD remains one of the foremost challenges of modern medicine. We present a model of MCI and AD which provides further insight into the anatomical correlates of neuropsychological dysfunction. We demonstrate that function and dysfunction in AD is mediated by the interaction of several networks, including the DMN and CEN but also sensorimotor and visual networks. While other machine learning methods have been applied to AD with comparable performance, our machine learning model is able to peek into the black box and explain the network components contributing to models of AD directly from a whole-brain connectome. This avoids the elimination of potentially key network information which accompanies feature selection in targeted studies looking at specific networks. Focusing on whole-brain network changes in AD could potentially lead to disentangling factors contributing to a disparity between functioning individuals and those with inefficient compensation or low cognitive reserve. In turn, these insights may empower therapeutic targeting to improve disease trajectory and identify early biomarkers of disease.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee Shanghai Tongji Hospital. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

AUTHOR CONTRIBUTIONS

ND, CF, and RL collected the data and drafted and edited the manuscript. WZ, ML, and WX recruited the participants and contributed to the data collection. HT, PN, OT, IY, KO, MS, and SD provided the technical assistance with the machine learning aspects of the project. MS contributed to the manuscript draft and review. YL conceived and designed framework of this study, also funded and supervised this study, and reviewed the manuscript. All authors read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest: HT, PN, OT, IY, KO, SD, and MS are employees of Omniscient Neurotechnology, and they provided technical assistance with the machine learning aspects of the project.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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