Original Research Article

DCE-MRI assessment of response to neoadjuvant SABR in early stage breast cancer: Comparisons of single versus three fraction schemes and two different imaging time delays post-SABR

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Abstract

Purpose: To determine the effect of dose fractionation and time delay post-neoadjuvant stereotactic ablative radiotherapy (SABR) on dynamic contrast-enhanced (DCE)-MRI parameters in early stage breast cancer patients.

Materials and methods: DCE-MRI was acquired in 17 patients pre- and post-SABR. Five patients were imaged 6–7 days post-21 Gy/1fraction (group 1), six 16–19 days post-21 Gy/1fraction (group 2), and six 16–18 days post-30 Gy/3 fractions every other day (group 3). DCE-MRI scans were performed using half the clinical dose of contrast agent. Changes in the surrounding tissue were quantified using a signal-enhancement threshold metric that characterizes changes in signal-enhancement volume (SEV). Tumour response was quantified using K trans and v e (Tofts model) pre- and post-SABR. Significance was assessed using a Wilcoxin signed-rank test.

Results: All group 1 and 4/6 group 2 patients’ SEV increased post-SABR. All group 3 patients’ SEV decreased. The mean K trans increased for group 1 by 76% (p = 0.043) while group 2 and 3 decreased 15% (p = 0.028) and 34% (p = 0.028), respectively. For v e, there was no significant change in Group 1 (p = 0.35). Groups 2 showed an increase of 24% (p = 0.043), and Group 3 trended toward an increase (23%, p = 0.08).

Conclusion: Kinetic parameters measured 2.5 weeks post-SABR in both single fraction and three fraction groups were indicative of response but only the single fraction protocol led to enhancement in the surrounding tissue. Our results also suggest that DCE-MRI one-week post-SABR may be too early for response assessment, at least for single fraction SABR, whereas 2.5 weeks appears sufficiently long to minimize confounding acute effects.

1. Introduction

The current standard of breast conserving therapy for early stage breast cancer patients is a lumpectomy followed by whole breast radiotherapy delivered over 3–6 weeks [1]. This treatment time is prohibitive long for many patients [1,2]. Accelerated partial breast irradiation (APBI) is being investigated to reduce treatment time by treating local to the tumour [3] while attempting to maintain the same efficacy as the current standard.

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treatment volume can be further reduced by treating before surgery, as in this case, the treatment volume includes only the intact tumour and, thus, does not include the surgical margins [4]. This allows large doses to be delivered per fraction and facilitating the use of stereotactic ablative radiotherapy (SABR). With pre-surgical radiotherapy it becomes possible to use imaging to assess the physiological effects of the radiation and investigate potential tumour response biomarkers. Determination of tumour control at an early stage could potentially allow patient-specific radiotherapy adaptation.

It has been shown that microvascular damage from radiotherapy plays a key role in tumour regression [5,6]. Dynamic contrast enhanced (DCE) MRI provides a means to assess microvasculature function and potential damage. Parameters extracted from DCE-MRI include the rate at which a gadolinium contrast agent exits capillaries into the extracellular-extravascular space ($K^{\text{trans}}$) and the volume of the extracellular, extravascular space ($v_e$). Using these metrics we can non-invasively assess response to the SABR treatment [7].

Pre-clinical and clinical studies have demonstrated early vs late changes in tumour response parameters related to blood flow and vessel permeability. Early changes have been attributed to acute inflammatory reactions which can cause increased vessel permeability, and endothelial cell death within irradiated volumes [5,6,8]. Determination of the optimal time post-radiotherapy for DCE-based assessment of response without confounding acute effects remains an unanswered question.

In addition to the effect of high doses of radiation within the tumour it is important to consider the influence of irradiation on the surrounding tissue, which has been seldom studied in humans. Pre-clinical studies have suggested there may exist a threshold dose (8–12 Gy) above which a cascade of events leads to endothelial apoptosis in normal vasculature [6,9–12].

To our knowledge, only one study has investigated DCE-MRI after SABR in the context of breast cancer [13]. DCE-MRI parameters ($K^{\text{trans}}$, $v_e$, area under enhancement curve) were quantified one week following single-fraction SABR (15, 18, or 21 Gy). Measurements were limited to region-of-interest enhancement curves (rather than voxel-wise curves) for the gross, clinical, and planning target volumes (GTV, CTV, PTV). However, no values of $K^{\text{trans}}$ or $v_e$ for the GTV, which most closely relates to the tumour region, were reported. Finally, the authors used an arterial input function proposed by Weinmenn in 1984 [14] that has shown to have poor performance [15].

In this work we investigated the utility of DCE-MRI measures to detect early response to SABR in a cohort of patients who had received a single high dose (21 Gy/1fraction) and a cohort who had received a fractionated a fractionated scheme (30 Gy/3fractions every other day), with both of these cohorts imaged at approximately 2.5 weeks following SABR. While these two schemes provide approximately equivalent tumour cell killing according to the linear quadratic model and universal survival curves [2], we wanted 1) to verify that the two schemes demonstrate a measurable tumour response as assessed by DCE-MRI and 2) to determine if DCE-MRI measures are consistent with expectations that the fractionated scheme would lead to a differential effect in the surrounding tissue due to the higher biologically effective dose in the single fraction scheme in this tissue. In addition, we also acquired and analyzed DCE images from a cohort of patients scanned at approximately 1 week post single high dose SABR (21 Gy/1fraction), allowing us to compare the single fraction DCE-MRI based response at two time points post SABR. This information is important in developing a protocol for monitoring SABR response as one would hope to monitor response as early as possible while avoiding potential confounding factors (e.g., inflammatory changes). To investigate tumour response, we applied the Tofts pharmacokinetic model to assess pre to post-SABR changes within the tissue enhancing in the pre-SABR scan. To investigate the influence of SABR on tissue surrounding the tumour, the changes in enhancing tissue volume from pre to post-SABR were quantified. All scans were performed using only half the clinical dose of MRI contrast agent.

2. Materials and methods

2.1. Patients

Images were acquired as part of a phase I/II clinical trial called SIGNAL involving early stage breast cancer patients [2]. At the time of tumour core biopsy, a surgical clip was placed adjacent to or within the tumour. Patient data was acquired from two cohorts of patients and patient demographics are given in Table 1. The first cohort is from patients treated with neoadjuvant 21 Gy/1 fraction SABR and who received lumpectomy within approximately 1-week of SABR. The second cohort were from a protocol where patients were randomly assigned to either 21 Gy/1 fraction or 30 Gy/3 fractions every other day, with both groups receiving lumpectomy at approximately 3 weeks post-SABR. All patients received MRI (including DCE-MRI) prior to SABR for tumor delineation in SABR planning. In addition, patients were offered the opportunity to participate in a sub-study which involved post-SABR MRI as close to the lumpectomy as possible. The protocols, including post-SABR MRI, were approved by the Western University Human Subjects Research Ethics Board.

2.2. Image acquisition

Images were acquired on a Siemens Biograph mMR 3T-PET/MRI system (Siemens Medical, Erlangen, Germany) with a four channel prone breast coil [16].

Prior to contrast injection, native $T_1$ relaxation time ($T_1$) maps were generated using the variable flip angle (VFA) method [17] for conversion of signal to concentration [7]. $B_1$ maps were acquired to determine the true flip angle for both $T_1$ mapping and DCE-MRI [7]. Due to a scanner upgrade, two different sequences for $B_1$ quantification were used: the Actual Flip Angle (AFI) [18] and the “slice-selective pre-conditioning RF pulse” (SS-Pre) [19] methods. Imaging parameters for both methods are provided in Table 2. $T_{1\text{mp}}$ maps were reconstructed in MATLAB v. 2018a (Mathworks Inc., Natick, MA).

Three-dimensional fat suppressed fast low angle shot (FLASH) images were acquired with field-of-view = 38x38x cm, slab thickness = 13.4 cm, time of acquisition = 20 s using 6/8 partial Fourier in two directions and an acceleration factor of two. The DCE series included a pre-contrast and 28-post gadobutrol (Gadavist®) contrast injection images using a dose of 0.05 mmol/kg (half the clinical dose), and an injection rate of 0.75 ml/s for patients in the DCE-MRI sub-study [20]. Immediately after injection but prior to post-contrast images, single slice half-fourier acquisition single-shot turbo spin echo (HASTE) images, positioned at the arch of the aorta were acquired for approximately 19 s.

2.3. Image registration

To correct for intra-session motion, DCE images were deformably registered to the mid-time point post-contrast image using 3DSlicer v4.8.0 [21–23]. To correct for patient movement between the $B_0$ and $T_{1\text{mp}}$ mapping scans relative to the DCE-MRI, deformable registration was performed using MIM (v6.8.5, MIM Software, Cleveland, USA) and its multi-modality metric [24,25].

Following intra-session registration, a post-to pre-radiotherapy registration was performed. The post-radiotherapy, pre-contrast
image was deformably registered to the pre-radiotherapy pre-contrast image (MIM v6.8.5, MIM Software, Cleveland, USA).

2.4. Analysis

2.4.1. Signal enhancement volume

The purpose of this analysis was to assess the change in the volume of enhancement pre- to post-SABR. A 3D rectangular cuboid centered on the tumor and sized to encompass all visibly enhancing tissue (excluding skin) was manually drawn on the 9th post-contrast (3.3 min post-injection) DCE-MRI image in the pre-radiotherapy setting. The same sized cuboid was used for the post-radiotherapy data for a given patient. A signal-enhancement map was calculated by dividing the 9th post-contrast DCE-MRI image by the pre-contrast for both the pre and post-SABR data. Within the cuboid, the signal-enhancement volume ≥ signal enhancement thresholds ranging from 1 to 5 with increments of 0.01 was calculated, generating threshold vs signal enhancement volume curves. The area under these curves (AUC) was calculated (higher values indicating greater volume of enhancement). The percent change in AUC from pre- to post-SABR was calculated and used for group comparisons.

2.4.2. Tumour segmentation

The tumour was automatically segmented in the pre-SABR DCE-MRI images (Fig. 1A). Initial filtering was performed using Otsu’s segmentation method [26] on a subtraction image (last post-contrast – pre-contrast). K-means clustering using three clusters was applied using five features: 1–3) XYZ spatial position, 4) the entropy of a voxel (MATLAB entropyfilt with 9,9 neighbourhood) [27], and 5) the signal intensity of a mean subtraction image (average of the last 5 post-contrast images subtracting the pre-contrast image). Each feature was normalized to have a mean of zero and standard deviation of 1 [28]. The post- to pre-SABR deformation field was applied to the pre-SABR tumour contour resulting in a post-SABR tumour contour (Fig. 1B).

2.4.3. Kinetic analysis

Using the tumour contour, the surgical clip was automatically segmented and removed followed by a 2 pixel in-plane erosion to remove partial volume voxels. The average B1 and T10 over each tumour contour was calculated. Changes in B1 and T10 pre- to post-SABR data were compared to determine if differences were statistically significant. Following this, the average across all patients was calculated, and this population-derived T10 [29] was used to convert signal enhancement to concentration [7] using a relaxivity of 4.5 s⁻¹ mM⁻¹ [30].

The Tofts model [31] was applied voxel-by-voxel to concentration curves within the tumour contour using a population-derived arterial input function (AIF) [32], with amplitude adjusted to our dose. An adjustable fit parameter was included to account for the delayed bolus arrival with a lower time bound set using contrast agent arrival time at the aortic arch from the HASTE images. Ktrans and ve were extracted from the model fit. For each tumour, the mean (Ktrans, ve) and standard deviation (σKtrans, σve) of the parameters were calculated. The median absolute difference method [33] was used to remove ve values that were outliers in each tumour. The rationale for outlier removal was to eliminate the influence of very high (unphysical) voxel values of ve on the contour mean value of ve. These high values occurred in voxels with slow washout kinetics for which the acquisition time was

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**Table 1**

| Group 1 | # Patients | Dose Scheme | Median (Range) Imaging Time Post-treatment (days) | Breast affected | Mean Age |
|---------|------------|-------------|--------------------------------------------------|----------------|----------|
| Group 2 | 6          | 21 Gy/1FX   | 6 (6–7)                                          | 3L/3R          | 69       |
| Group 3 | 6          | 21 Gy/1FX   | 16 (16–19)                                      | 3L/3R          | 69       |

**Table 2**

| Acquisition | Nominal FA | TR (ms) | TE (ms) | Spatial Resolution (mm) | Interpolated voxel size (mm) |
|-------------|------------|---------|---------|-------------------------|------------------------------|
| HASTE       | 10°        | 489.6/521.4 | 1.16/1.36 | 1.4 × 2 × 5 | 1.4 × 1.4 × 5 |
| DCE-MRI     | 15°        | 4.1     | 1.5     | 1.0 × 2.1 × 2.1 | 1.0 × 1.0 × 1.2 |
| VFA (T1_map)| 6 FAs (1° to 17°) | 4.9 or 5.1 | 1.9 or 2.5 | 1.0 × 1.1 × 2.1 | 1.0 × 1.0 × 1.0 |
| AFI B1_Map  | | TR1 = 20 TR2 = 100 | 2.46 | 2.4 × 4.0 × 2.4 | 2.4 × 2.4 |
| SS-Pre B1_Map | 80° | 10,000 | 2.0 | 5.94 × 5.94 × 5 | N/A |

**Fig. 1.** Schematic representation of the process in generating the (A) pre-SABR tumour contour and (B) post-SABR tumour contour. The pre-SABR contour was generated using a k-means clustering algorithm with 5 features. Following this, the post-SABR pre-contrast image was registered to the pre-SABR pre-contrast image and the deformation field was applied to the pre-SABR contour.
not sufficient to accurately measure $k_{ep}$, often leading to extremely low $k_{ep}$ values and hence high $v_e$ values ($v_e = K_{trans}/k_{ep}$). Changes in kinetic parameters between pre- and post-SABR scans are reported as the percent change in the mean of the group.

2.4.4. Statistical analysis

A Wilcoxon signed-rank test was used to test for significant within-subject changes between pre- and post-SABR kinetic parameters ($\alpha = 0.05$, SPSS Inc. V25.0 Chicago). For $T_{10}$, differences between pre- and post-SABR in group 1 could not be tested in 3 out of 5 patients due to small tumours as well as clip placement. Finally, for the signal enhancement volume and kinetic parameters, the percent change from pre- to post-SABR was calculated per patient, and the groups were compared with each other using a Mann-Whitney $U$ test.

3. Results

A total of 17 patients were recruited for this DCE-MRI study. The first 5 patients were from the first cohort (21 Gy/1 fraction, lumpectomy at approximately 1 week post SABR), and 12 patients from the second cohort (lumpectomy at approximately 3 weeks post-SABR). Six patients received 21 Gy/1 fraction and 6 patients received 30 Gy/3 fractions. Patients are categorized into three groups as indicated in Table 1. The mean (standard deviation) time between initial biopsy and pre-SABR imaging was 35 (9) days. The mean time between SABR and DCE-MRI for groups 2 and 3 was 17 days which was substantially longer than for group 1 (6 days).

Table 3 shows signal enhancement volume AUC percent change values and the pre-SABR contour volume for each patient by group. The AUC increased in all group 1 patients and in 4/6 group 2 patients though we found a significance difference ($p = 0.018$) in the percent changes in AUC between these two groups. On the other hand, group 3 patients all showed decreases in the percent change of AUC, and these values were different than those of groups 1 and 2 ($p = 0.006$ and 0.016, respectively). Fig. 2a shows subtraction images from three representative patients for the three groups pre and post-SABR, demonstrating the increased enhancement in the tissue surrounding the tumour for single fraction patients. Fig. 2b shows representative signal enhancement threshold volume versus threshold curves.

Regarding $T_{10}$, the average ± standard deviation for pre- and post-SABR was 1571 ± 264 ms and 1656 ± 204 ms, respectively. This difference was not significant. Therefore, the average of these two values (1613 ms) was applied for conversion of enhancement signal to contrast concentration.

Fig. 3a and 3b illustrate pre- to post-SABR changes in the mean values of $K_{trans}$ and $v_e$, respectively, referring to the mean across each contoured region. Mean $K_{trans}$ values for group 1 increased 76% ($p = 0.043$) but decreased for groups 2 and 3 by 15% ($p = 0.028$) and 34% ($p = 0.028$), respectively. Mean values of $v_e$ increased 25% ($p = 0.046$) for group 2 and showed a trend to increase of 32% ($p = 0.075$) for group 3, but did not reach significance. Group 1 showed no significant change ($p = 0.138$).

Fig. 3c and 3d illustrate pre to post-SABR changes in $\sigma K_{trans}$ and $\sigma v_e$, respectively, representing the spatial variability across contoured regions. For group 1, $\sigma K_{trans}$ increased 100% ($p = 0.043$), but decreased by 31% ($p = 0.028$) for group 3. No significant change of $\sigma K_{trans}$ was found for group 2 ($p = 0.173$). For $\sigma v_e$ group 2 and 3 showed increases of 36% ($p = 0.046$) and 113% ($p = 0.028$), respectively. There was no significant change in $\sigma v_e$ for group 1 ($p = 0.225$).

When comparing groups 1 and 2, with regard to the pre- to post-SABR changes in parameters, we found significant difference between groups only for $K_{trans}$ ($p = 0.006$) and $\sigma K_{trans}$ ($p = 0.011$). Regarding $K_{trans}$ there was a 77% increase for group 1 and 15% decrease for group 2. For $\sigma K_{trans}$ there was a 103% increase for group 1 and 16% decrease for group 2.

4. Discussion

In this study, we investigated changes in quantitative DCE-MRI parameters post-SABR with two different fractionation schedules and two different imaging times for the single fraction scheme. Our results show a differential tumour response depending on time-delay post-SABR. In addition, we found a signal-enhancement volume increase post-single fraction SABR that was also seen 2.5 weeks post-SABR in most patients. To our knowledge, no study has reported this signal enhancement volume change (Fig. 2, Table 3).

In the context of previous studies, our results suggest that 2.5 weeks post-SABR is sufficient in order to minimize acute transient inflammatory effects. In other sites, it has been shown that at short times post-stereotactic radiotherapy (~1–2 weeks posttherapy), there are transient increases in $K_{trans}$ following therapy, primarily attributed to endothelial cell death and permeability increases accompanying acute inflammatory effects [5,13,34–38]. A recent early stage breast cancer study [13] found significant increases in the initial area under an enhancement curve for the entire GTV at one-week post-single dose SABR. However, when assessing response at later times (>1month) in other cancer sites, decreases in $K_{trans}$ were associated with pathologically complete response [39–41]. Two of these reports [39,41] also indicated that decreases in $\sigma K_{trans}$ was indicative of response.

Our results suggest that the three-fraction scheme provides an equivalent or stronger response than the single fraction scheme at three weeks post-SABR. The magnitude of change in the mean $K_{trans}$ was greater for the three-fraction group compared to the single fraction group at three weeks post-SABR (34% vs 15%). However, only the single fraction scheme led to an increase in enhancement volume for the surrounding tissue for some patients (4/6). We speculate that the absence of increased enhancement for the three fraction group is related to reduced radiation damage to the surrounding tissue due to repair mechanisms as per radiobiological theory. We suspect that this differential effect on surrounding tissue is related to an endothelial cell death dose threshold which has been hypothesized to be on the order of 8–12 Gy delivered in a single dose [6,9,10,42–45]. With endothelial cell death,
gadolinium contrast would be freer to diffuse into the extra-cellular, extra-vascular space and result in enhancement outside the tumour. Long-term follow-up studies are needed to understand the long-term implications of this in terms of post-radiotherapy toxicities.

The application of \( v_e \) as a metric for response assessment remains unclear. It is expected that vascular and cellular death would lead to increases in \( v_e \). We found a significant increase in group 2 and a trend to increase in group 3, but not for group 1 (one-week post-SABR). However, Wang et al. showed a significant increase in \( v_e \) at 1 week post-SABR for the PTV and CTV, but did not report \( v_e \) for the GTV which most closely corresponds to the volumes used in our study. On the contrary, Winter et al. found significant decreases in \( v_e \) at 20 days post stereotactic radiosurgery for brain metastases. Furthermore, most studies do not find a significant change in \( v_e \) following therapy. These variable results may be due to the long scan times required for precise measurement of \( v_e \).

It is also worth noting that for our study we used half of the clinical dose of contrast agent. Even with this low contrast agent dose, we detected statistically significant changes in the kinetic DCE metrics between pre- and post-SABR scans and, for \( K_{\text{trans}} \), the direction of change was in a consistent direction for all patients. Thus, our results suggest that using a half-dose of contrast agent is sufficient to measure physiological responses to radiotherapy. In a previous study from our group, we investigated the impact of using a lower dose of contrast agent in target volume delineation for radiotherapy planning. We found that using a half clinical dose of contrast agent for target volume delineation in radiotherapy planning did not lead to decreases in the inter- and intra-observer variability. The combination of the previous study and this study is important in the context of patient safety due to long term retention of gadolinium based contrast agents.

There were some limitations to our study. First, our sample size for each group was small limiting statistical power. Even with this limited statistical power, we detected several important changes from pre- to post-SABR MRI scans (changes in \( K_{\text{trans}} \) for all groups, and changes in \( v_e, \sigma K_{\text{trans}}, \) and \( \sigma v_e \) for some groups as shown in Fig. 3). However, it is possible that some of the pre- to post-SABR comparisons that were not found to be significant here (Fig. 3) could yield significant differences with a larger sample. In addition, our small sample size required the use of nonparametric statistics. In particular, we used a rank-based test, which is not sensitive to the amount of change in parameters from pre to post SABR MRI. Finally, in regards to the small sample size, it was not possible to estimate the population variability for changes in the parameters (e.g., \( K_{\text{trans}} \)). Second, the comparison between DCE-MRI measurements at approximately 1 week versus 2.5 weeks post-single fraction SABR was based on between-subjects rather than within-subjects comparison. Third, for \( T_\text{10} \) we used an average value over all patients due to difficulties associated with the surgical clip location and the particularly small tumours in two patients. Fourth, we did not image at one-week post-SABR for the 30 Gy/3fraction treatment and cannot determine if surrounding tissue enhancement would occur in this case. Finally, we did not perform pathology-based analysis of the core biopsy and post-surgical tissue to investigate vasculature structure within the tumours and surrounding tissue. In the future, we will continue to accrue patients for investigation from the SIGNAL study. In addition, DCE-MRI with kinetic analysis will be used in a study cur-
Currently being developed at our institution that will be investigating higher risk breast cancer patients undergoing SABR. Early recurrence is more likely in these higher risk patients compared to early stage patient studied here. For this group we will determine the extent to which the kinetic parameter changes from pre- to post-SABR are predictors of patient outcome.

In conclusion, our results demonstrate that our DCE-MRI protocol is sensitive enough to detect a treatment response at approximately 2.5 weeks post SABR. (This protocol utilized ½ of the standard does of contrast agent.) Our results suggest that performing breast DCE-MRI one-week post-SABR may be too early for response assessment – at least for 21 Gy in a single fraction – whereas 2.5 weeks appears sufficiently long to minimize confounding transient acute effects in the tumour. We found that kinetic parameters measured within the tumour at 2.5 weeks post-SABR in both single fraction and three fraction groups were indicative of response, but only the single fraction protocol led to enhancement in the surrounding tissue. While both schemes may lead to similar response within the tumour, no increase in signal enhancement volume from pre- to post- SABR MRI was observed with the three fraction scheme, possibly indicative of a lower radiation impact on surrounding tissue. Longer-term follow-up of tissue toxicity is needed to confirm this potential benefit of fractionated delivery in this treatment context.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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