A Three-Dimensional Treatment of the Three-Nucleon Bound State

Abstract Recently a formalism for a direct treatment of the Faddeev equation for the three-nucleon (3N) bound state in three dimensions has been proposed. It relies on an operator representation of the Faddeev component in the momentum space and leads to a finite set of coupled equations for scalar functions which depend only on three variables. In this paper we provide further elements of this formalism and show the first numerical results for chiral NNLO nuclear forces.

1 Introduction

Chiral three-nucleon (3N) forces at next-to-next-to-next-to-leading order (N3LO) (see for example Refs. [1,2]) have a very rich spin and momentum structure, so calculations of the 3N system based on standard partial wave representations are very tedious [3]. Recently, the Faddeev equations for the 3N system have been formulated directly with vector variables [4,5,6,7]. In contrast to Refs. [4,5,6], where spin and isospin couplings are treated explicitly, the key...
ingredient in Ref. [7] is an operator representation of the 3N bound state. Such an operator form was given long time ago in Ref. [8] and later re-derived in Ref. [10].

Following this formulation, one represents two-nucleon (2N) \( t \)-operators, 3N forces and Faddeev components of the 3N wave function as linear combinations involving products of scalar functions and scalar operators built from the spin operators and momentum vectors. By simple manipulations it is later possible to remove the spin operators, which leads to relations among scalar functions of momentum vectors only. In this paper we provide further elements of this formalism.

An alternative formulation of the 3N bound state equation is discussed in Sect. 2. There we introduce an additional set of scalar coefficients required by the modified integral kernel. In Sect. 3 we consider the full 3N bound state and show how its scalar coefficients can be obtained from the scalar functions used to represent the Faddeev component. In the same section we discuss the normalization of the full wave function, as well as the expectation values of the kinetic energy and the 3N potential energy.

Details of our numerical performance and some numerical results are provided in Sect. 4, where we use a simpler and more efficient way of introducing 3N forces. We end with a brief summary and outlook in Sect. 5.

### 2 An alternative form of the Faddeev equation using the operator expansion

For the convenience of the reader we first remind of the building blocks of our formalism.

Working with the isospin concept, nucleons are treated as identical particles so one needs just one equation for the Faddeev component \( |\psi\rangle \). In Ref. [7] this equation is written in the following form

\[
|\psi\rangle = G_0 t P |\psi\rangle + (1 + G_0 t) G_0 V^{(1)} (1 + P) |\psi\rangle ,
\]

where \( G_0 \equiv \frac{1}{E_{3N} - H_0} \) is the free 3N propagator for the internal 3N energy \( E \), \( t \) is the 2N transition operator for nucleons in the \((2, 3)\) subsystem and \( P = P_{12} P_{23} + P_{13} P_{23} \) is the permutation operator. The term \( V^{(1)} \) is that part of the 3N force, which is symmetrical under exchange of nucleons 2 and 3. Of course, the choice of the subsystem pair \((2, 3)\) is arbitrary.

Alternatively, the Faddeev equation can be written without the transition matrix element \( t \) as

\[
|\psi\rangle = G_0 V (1 + P) |\psi\rangle + G_0 V^{(1)} (1 + P) |\psi\rangle ,
\]

using directly the 2N potential \( V \) for nucleons 2 and 3.

In order to account for charge independence and charge symmetry breaking, matrix elements of the 2N potential between the eigenstates of the 2N isospin operator are given as

\[
\langle tm_t | V | t' m_{t'}\rangle = \delta_{tt'} \delta_{m_t m_{t'}},
\]

\[
V^{tm_t}.
\]
It is well known [11] that the most general 2N force \( V_{\text{tm}} \) has in the momentum space the following form

\[
\langle p \mid V_{\text{tm}} \mid p' \rangle = \sum_{j=1}^{6} v_{j}^{\text{tm}}(p, p') w_{j}(\sigma_{(2)}, \sigma_{(3)}, p, p'),
\]

with \( v_{j}^{\text{tm}}(p, p') \) being scalar functions of the initial \( (p') \) and final \( (p) \) relative Jacobi momenta. We choose the same spin-momentum scalar operators \( w_{j}(\sigma_{(2)}, \sigma_{(3)}, p, p') \) as in Ref. [12]

\[
\begin{align*}
    w_{1}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= 1, \\
    w_{2}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= \sigma_{(2)} \cdot \sigma_{(3)}, \\
    w_{3}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= -i(\sigma_{(2)} + \sigma_{(3)}) \cdot (p \times p'), \\
    w_{4}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= \sigma_{(2)} \cdot (p \times p') \sigma_{(3)} \cdot (p \times p'), \\
    w_{5}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= \sigma_{(2)} \cdot (p + p') \sigma_{(3)} \cdot (p + p'), \\
    w_{6}(\sigma_{(2)}, \sigma_{(3)}, p, p') &= \sigma_{(2)} \cdot (p - p') \sigma_{(3)} \cdot (p - p').
\end{align*}
\]

(Note this set is different from the one used in [7].) Also for the transition operator \( t_{\text{tm}} \), generated by the Lippmann-Schwinger equation,

\[
t_{\text{tm}} = V_{\text{tm}} + V_{\text{tm}} G_{0}^{-1} t_{\text{tm}},
\]

the same expansion is valid but the corresponding scalar functions \( t_{j}^{\text{tm}}(p, p') \) depend also on the 2N energy, \( E_{2N} \).

In the next step, \( |\psi\rangle, V, t \) and \( V^{(1)} \) are expanded into the three possible 3N isospin states, \( |T \rangle \), given in terms of the subsystem isospin \( t \) and the total 3N isospin \( T \):

\[
|\psi\rangle = \sum_{TT'} \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle \langle T/2 \left| \psi_{T}\right\rangle,
\]

\[
V = \sum_{TT',TT'} \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle V_{T,T'} \langle T'/2 \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle,
\]

\[
t = \sum_{TT',TT'} \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle t_{T,T'} \langle T'/2 \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle,
\]

\[
V^{(1)} = \sum_{TT',TT'} \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle V_{T,T'}^{(1)} \langle T'/2 \left| \begin{pmatrix} T/2 \\ T' \end{pmatrix} \right\rangle.
\]

Consistent with [8], we assume that

\[
V_{T,T'} = \delta_{T'T} V_{TT'},
\]

\[
t_{T,T'} = \delta_{T'T} t_{TT'}.
\]
We consider only such 3N forces which conserve the total 3N isospin:

\[ V^{(1)}_{\epsilon T, \epsilon' T'} = \delta_{\epsilon T \epsilon'} V^{(1)}_{\epsilon' T}. \]  

(13)

The isospin matrix elements of the permutation operator \( P \) read

\[ \langle (t \frac{1}{2}) T | P | (t \frac{1}{2}) T' \rangle = \delta_{TT'} F_{TT'} \left( P_{12}^{\epsilon m} P_{23}^{\epsilon m} + (-)^{t+t'} P_{13}^{\epsilon m} P_{23}^{\epsilon m} \right), \]

(14)

where \( F_{TT'} \) are geometrical factors and \( P_{ij}^{\epsilon m} \) acts only in the spin and momentum spaces. Using the above information, the Faddeev equation (2) becomes

\[ \langle \psi_{TT'} \rangle = G_0 \sum_{T''} \langle \psi_{T''} \rangle \sum_{t'} \left( \delta_{tT} + F_{TT'} \left( P_{12}^{\epsilon m} P_{23}^{\epsilon m} + (-)^{t+t'} P_{13}^{\epsilon m} P_{23}^{\epsilon m} \right) \right) \langle \psi_{TT'} \rangle \\
+ G_0 \sum_{T''} \langle \psi_{T''} \rangle \sum_{t'} \left( \delta_{tT} + F_{TT'} \left( P_{12}^{\epsilon m} P_{23}^{\epsilon m} + (-)^{t+t'} P_{13}^{\epsilon m} P_{23}^{\epsilon m} \right) \right) \langle \psi_{TT'} \rangle \]

(15)

(The isospin projected equation (11) can be found in Ref. [7].) As expected, the charge independence and charge symmetry breaking in the 2N force leads to the coupling of total isospin \( T = \frac{1}{2} \) and \( \frac{3}{2} \).

In the following we will consider the above equation in the momentum space spanned by the two relative Jacobi momenta \( \mathbf{p} \) and \( \mathbf{q} \). In Eq. (11) we encounter the off-shell 2N \( t \)-matrix

\[ \langle \mathbf{pq} | t_{TT'} | \mathbf{p}' \mathbf{q}' \rangle = \delta(\mathbf{q} - \mathbf{q}') t_{TT'}(\mathbf{p}, \mathbf{p}', E_q) \]

(16)

with \( E_q \equiv E_{2N} \equiv E - \frac{1}{2} m q^2 \). In the case of Eq. (2) we only need the 2N potential matrix elements

\[ \langle \mathbf{pq} | V_{TT'} | \mathbf{p}' \mathbf{q}' \rangle = \delta(\mathbf{q} - \mathbf{q}') V_{TT'}(\mathbf{p}, \mathbf{p}') . \]

(17)

The matrices for the permutation operators read

\[ \langle \mathbf{pq} | P_{12}^{\epsilon m} P_{23}^{\epsilon m} | \mathbf{p}' \mathbf{q}' \rangle = \delta(\mathbf{p} - \pi(\mathbf{q}, \mathbf{q}')) \delta(\mathbf{p}' - \pi'(\mathbf{q}, \mathbf{q}')) P_{12}^{\epsilon m} P_{23}^{\epsilon m} \]

(18)

\[ \langle \mathbf{pq} | P_{13}^{\epsilon m} P_{23}^{\epsilon m} | \mathbf{p}' \mathbf{q}' \rangle = \delta(\mathbf{p} + \pi(\mathbf{q}, \mathbf{q}')) \delta(\mathbf{p}' + \pi'(\mathbf{q}, \mathbf{q}')) P_{13}^{\epsilon m} P_{23}^{\epsilon m} \]

(19)

with

\[ \pi(\mathbf{q}, \mathbf{q}') = \frac{1}{2} \mathbf{q} + \mathbf{q}' \]

(20)

\[ \pi'(\mathbf{q}, \mathbf{q}') = - \mathbf{q} - \frac{1}{2} \mathbf{q}' . \]

(21)

As pointed out in Ref. [7], it is crucial for the present formulation to write the Faddeev amplitude \( \psi_{TT}(\mathbf{p}, \mathbf{q}) \equiv \langle \mathbf{pq} \mid \psi_{TT} \rangle \) in the operator form [10]. It reads

\[ \psi_{TT}(\mathbf{p}, \mathbf{q}) = \sum_{i=1}^{8} \phi_{TT}(\mathbf{p}, \mathbf{q}) O_i \chi^m , \]

(22)
where $|\chi^m\rangle = |(0\uparrow 1/2)^m\rangle$ is a specific state in which the three spin-1/2 states are coupled to the total angular momentum quantum numbers of the 3N bound state. The functions $\phi^{(1)}_{ij}(p,q) \equiv \phi^{(1)}_{ij}(|p|,|q|,\hat{p} \cdot \hat{q})$ are scalar functions and the operators $O_i$ are given as

\begin{align*}
O_1 &= 1, \\
O_2 &= \frac{1}{\sqrt{3}} \sigma(23) \cdot \sigma(1), \\
O_3 &= \sqrt{\frac{3}{2}} \sigma(1) \cdot (\hat{p} \times \hat{q}), \\
O_4 &= \frac{1}{\sqrt{2}} \left[ \sigma(23) \cdot (\hat{p} \times \hat{q}) - (\sigma(1) \times \sigma(23)) \cdot (\hat{p} \times \hat{q}) \right], \\
O_5 &= \frac{1}{\sqrt{2}} \left[ \sigma(23) \cdot (\hat{p} \times \hat{q}) - \frac{i}{2} (\sigma(1) \times \sigma(23)) \cdot (\hat{p} \times \hat{q}) \right], \\
O_6 &= \sqrt{\frac{3}{2}} \left( \sigma(23) \cdot \hat{p} \sigma(1) \cdot \hat{q} - \frac{1}{3} \sigma(23) \cdot \sigma(1) \right), \\
O_7 &= \sqrt{\frac{3}{2}} \left( \sigma(23) \cdot \hat{q} \sigma(1) \cdot \hat{q} - \frac{1}{3} \sigma(23) \cdot \sigma(1) \right), \\
O_8 &= \frac{3}{2} \sqrt{\frac{3}{2}} \left( \sigma(23) \cdot \hat{q} \sigma(1) \cdot \hat{q} + \sigma(23) \cdot \hat{p} \sigma(1) \cdot \hat{q} - \frac{1}{3} \sigma(23) \cdot \sigma(1) \right),
\end{align*}

where $\sigma(23) \equiv \frac{1}{2}(\sigma(2) - \sigma(3))$. We use unit vectors, $\hat{p}$ and $\hat{q}$. Note that we actually use the second set of operators from Ref. \[7\]. Note also that several misprints in Eq. (31) of Ref. \[7\] has been now corrected using \[10\].

As already mentioned, we can use the most general operator structure of the 2N t-matrix $t_{T'T}(p,p',E_q)$, which is given by

$$t_{T'T}(p,p',E_q) = \sum_{j=1}^{6} t^{(j)}_{T'T}(p,p',E_q) w_j(\sigma(2),\sigma(3),p,p').$$

Here the matrix elements $t^{(j)}_{T'T}(p,p',E_q)$ are scalar functions and the operators $w_j(\sigma(2),\sigma(3),p,p')$ are given in Eq. \[5\].

In principle, the 3N force operator $V_{T'T}(p,q,p',q')$ also allows for an expansion

$$V_{T'T}(p,q,p',q') = \sum_{l} v^{(l)}_{T'T}(p,q,p',q') \Omega_l(\sigma(1),\sigma(2),\sigma(3),p,q,p',q')$$

with a strictly finite number of terms \[9\] but for computational reasons we treat it as one object and do not use any expansion. This and the fact that our integral kernel is simpler than in Ref. \[7\], leads to a substantial simplification and a reduction of the number of scalar coefficients introduced in Ref. \[7\].
Using exactly the same algebra as in Ref. [7], we arrive at the final form of the Faddeev equation [8], which corresponds to Eq. (50) in Ref. [7]:

\[
\sum_{i=1}^{8} C_{ki}(pq, pq) \phi^{(i)}_{it}(p, q) = \\
\sum_{T'} \sum_{k'=1}^{8} \frac{1}{E - \frac{p_{iT}^2}{m} - \frac{\Delta}{4m^2} q^2} \int d^3q' \left( \sum_{T'} \sum_{k'=1}^{8} \left( v^{(j)}_{iTTR}(p, \pi(q, q')) D_{kjT}^T(pq, pq, q', q') \right) \\
+ (-1)^{j} v^{(j)}_{iTTR}(p, -\pi(q, q')) D_{kjT}^T(pq, pq(-\pi(q, q')), \pi'(q, q')q') \\
\times \sum_{T'} F_{iT}^T \phi^{(k')}_{iT'(p', \pi'(q, q')q')} \\
\right) \\
+ \frac{1}{E - \frac{p_{iT}^2}{m} - \frac{\Delta}{4m^2} q^2} \int d^3p' \sum_{T'} \sum_{k'=1}^{8} v^{(j)}_{iTTR}(p, p') L_{kjT}^T(pq, pq', p'q') \phi^{(k')}_{iT'}(p'', q) \\
+ \frac{1}{E - \frac{p_{iT}^2}{m} - \frac{\Delta}{4m^2} q^2} \int d^3p' d^3q' \sum_{k'=1}^{8} E_{k'k}^T(pq, pq', p'q') \phi^{(k')}_{iT'}(p', q') \\
+ \frac{1}{E - \frac{p_{iT}^2}{m} - \frac{\Delta}{4m^2} q^2} \int d^3q' d^3q'' \sum_{k'=1}^{8} \left( G_{k'k}^T(pq, pq\pi(q', q'')) q', \pi'(q', q'')q'' \right) \\
\times \sum_{T''} F_{iT''}^T \phi^{(k')}_{iT''}(p'(q', q''), q') \\
\right) (26)
\]

We use here seven sets of scalar coefficients: \(C_{ki}, L_{kji}, D_{kji}, D'_{kji}, E_{k'ki}^T, G_{k'k}^rT\) and \(G_{k'k}^lT\). \(C_{ki}, D_{kji}, D'_{kji}, E_{k'ki}^T, G_{k'k}^rT\) and \(G_{k'k}^lT\), which are required to deal with the 3N force in the Faddeev equation, are slightly modified versions of the quantities appearing in Ref. [7], because we do not expand \(V^{(1)}_{itT} (p, q, p', q') \equiv V^{(1)}_{itT}(\sigma(1), \sigma(2), \sigma(3), p, q, p', q')\):

\[
E_{k'k}^T(pq, pq'q', p'q') = \\
\sum_{m} \left( \chi_{m}^{(n)} \right) O_{k} (\sigma(1), \sigma(2), \sigma(3), p, q) \\
\times V^{(1)}_{itT}(\sigma(1), \sigma(2), \sigma(3), p, q, p', q') \phi_{itT}(\sigma(1), \sigma(2), \sigma(3), p', q') |\chi_{m}^{(n)} \rangle, (27)
\]

\[
G_{k'k}^rT(pq, pq'q', p''q'') = \\
-\frac{1}{2} \sum_{m} \left( \chi_{m}^{(n)} \right) O_{k} (\sigma(1), \sigma(2), \sigma(3), p, q) V^{(1)}_{itT}(\sigma(1), \sigma(2), \sigma(3), p, q, p', q') \\
\times \phi_{itT}(\sigma(2), \sigma(3), \sigma(1), p', q') (1 + \sigma(2, 3) \cdot \sigma(1)) |\chi_{m}^{(n)} \rangle, (28)
\]
\[
\mathcal{G}_{kk'}^{TT'}(pq, pqp', p''q'') = \frac{1}{2} \sum_{m} \langle \chi^{m} | \hat{O}_{k}(\sigma(1), \sigma(2), \sigma(3), p, q) | \chi^{1} \rangle \langle \chi^{1} | W_{kk'}^{(1)}(\sigma(1), \sigma(2), \sigma(3), p, q, p', q') \times \hat{O}_{k'}(\sigma(3), \sigma(2), \sigma(1)) | \chi^{m} \rangle.
\]

(29)

For the relatively simple chiral NNLO 3N force [15], which we use in this paper, the coefficients \( E_{kk'}^{TT'} \), \( G_{kk'}^{TT'} \) and \( \mathcal{G}_{kk'}^{TT'} \) from Eq. (27), (28) and (29) can be easily calculated for the whole 3N force.

The only new coefficients, \( L_{kk'} \), are due to the \( G_{0} \psi | \psi \rangle \) term in Eq. (2):

\[
\sum_{m} \langle \chi^{m} | \hat{O}_{k}(\sigma(1), \sigma(2), \sigma(3), p, q) | \chi^{1} \rangle \langle \chi^{1} | W_{kk'}^{(1)}(\sigma(1), \sigma(2), \sigma(3), p', p'') \rangle = \sum_{m} \langle \chi^{m} | \hat{O}_{k}(\sigma(1), \sigma(2), \sigma(3), p', p'') | \chi^{1} \rangle \langle \chi^{1} | W_{kk'}^{(1)}(\sigma(1), \sigma(2), \sigma(3), p, q) \rangle.
\]

(30)

It is possible to further simplify Eq. (26) because of the scalar nature of the \( \phi \) functions and coefficients that one encounters there. To this aim it is convenient to choose our coordinate system in such a way that

\[
q = (0, 0, q), \quad p = (p \sqrt{1 - x^2}, 0, px),
\]

(31)

with \( x = \hat{p} \cdot \hat{q} \).

The \( C_{ki} \) coefficients on the left-hand side of Eq. (26) form a matrix with the determinant

\[
det(C) = \frac{2916}{5} | \hat{p} \times \hat{q} |^{12} = \frac{2916}{5} (1 - x^2)^{6}.
\]

(32)

It means that the \( C \) matrix might in principle cause numerical problems. However, the application of the inverse matrix \( C^{-1} \) to the both sides of Eq. (26) and combining the \( C^{-1} \) matrix elements with the \( L, D, D', E, G \) and \( G' \) coefficients leads to a scheme, which proves numerically safe. Obviously, the case \( p \times q = 0 \) has to be avoided in the numerical realization.

The 3N bound state Faddeev equation is solved by iteration [16], using a Lanczos type algorithm. This requires a choice of initial amplitudes \( \phi_{TT}(p, q) \), which guarantees the Fermi character of the 3N system. Now the operators \( O_{2}, O_{5}, O_{6} \) and \( O_{T} \) are odd under exchange of particles 2 and 3, whereas \( O_{1}, O_{4}, O_{6} \) and \( O_{6} \) are even. Thus the scalar functions must possess the following symmetry properties (see Ref. [7] for the derivation):

\[
\phi_{TT}^{(i)}(-p, q) = (-1)^{i+1} \phi_{TT}^{(i)}(p, q), \quad i = 1, 4, 5, 8,
\]

\[
\phi_{TT}^{(i)}(-p, q) = (-1)^{i} \phi_{TT}^{(i)}(p, q), \quad i = 2, 3, 6, 7.
\]

(33)

Before we embark on the description of our numerical performance, we will show how to obtain the operator form for the full 3N bound state. This actually leads to yet another numerical scheme in comparison to Ref. [7].
3 The Full 3N bound state

The total 3N state $|\Psi\rangle$ is obtained from the Faddeev component as $|\Psi\rangle = (1 + P)|\psi\rangle$. If the isospin projected Faddeev component $\psi_{iT}(p, q) \equiv \langle pq|\phi_{iT}\rangle \equiv (p q | (t/\sqrt{2}) \rangle | P \psi\rangle$. We use Eq. (13) but rewrite Eqs. (13) - (21) as

$$
\langle pq|P_{12}P_{23}^{sm}P_{23}^{s}|p'q'\rangle = \delta(p' - P_1(p, q))\delta(q' - Q_1(p, q))P_{12}^{s}P_{23}^{s},
$$

$$
\langle pq|P_{13}P_{23}^{sm}P_{23}^{s}|p'q'\rangle = \delta(p' - P_2(p, q))\delta(q' - Q_2(p, q))P_{13}^{s}P_{23}^{s}
$$

with

$$
P_1(p, q) = -\frac{1}{2}p - \frac{3}{4}q,
$$

$$
Q_1(p, q) = p - \frac{1}{2}q,
$$

$$
P_2(p, q) = -\frac{1}{2}p + \frac{3}{4}q,
$$

$$
Q_2(p, q) = -p - \frac{1}{2}q.
$$

Consequently, we get

$$
A_{iT}(p, q) = \int d^3p' \int d^3q' \sum_{t'} F_{t't} \left\{ \delta(p' - P_1(p, q))\delta(q' - Q_1(p, q))P_{12}^{s}P_{23}^{s} + (-)^{t+t'} \delta(p' - P_2(p, q))\delta(q' - Q_2(p, q))P_{13}^{s}P_{23}^{s} \right\} \psi_{iT}(p', q')
$$

$$
= \sum_{t'} F_{t't} \left\{ P_{12}^{s}P_{23}^{s} \psi_{iT}(p_1, Q_1) + (-)^{t+t'} P_{13}^{s}P_{23}^{s} \psi_{iT}(P_2, Q_2) \right\}.
$$

On the other hand we write $A_{iT}(p, q)$ directly in terms of some $\alpha_{iT}^{(i)}(p, q)$ scalar coefficients

$$
A_{iT}(p, q) = \sum_{i=1}^{8} \alpha_{iT}^{(i)}(p, q) O_i |\chi^m\rangle.
$$

Combining (22), (39) and (40) we get:

$$
\sum_{i=1}^{8} \alpha_{iT}^{(i)}(p, q) O_i \langle \sigma_1(1), \sigma_2(2), \sigma_3(3), p, q \rangle |\chi^m\rangle
$$

$$
= \sum_{t'} F_{t't} \left\{ P_{12}^{s}P_{23}^{s} \sum_{i=1}^{8} \phi_{iT}^{(i)}(P_1, Q_1) O_i \langle \sigma_1(1), \sigma_2(2), \sigma_3(3), P_1, Q_1 \rangle |\chi^m\rangle + (-)^{t+t'} P_{13}^{s}P_{23}^{s} \sum_{i=1}^{8} \phi_{iT}^{(i)}(P_2, Q_2) O_i \langle \sigma_1(1), \sigma_2(2), \sigma_3(3), P_2, Q_2 \rangle |\chi^m\rangle \right\}.
$$
In order to get the $a^{(i)}_{kT}(p,q)$ functions we first project Eq. (41) from the left with $\langle \chi^m | O_k(\sigma_1, \sigma_2, \sigma_3, p, q) \rangle$ and sum over $m$. We encounter again the $C_{ki}$ coefficients together with the new coefficients

$$W_{ki}(pq, P_1Q_1) = \sum_m \langle \chi^m | O_k(\sigma_1, \sigma_2, \sigma_3, p, q) \rangle$$

$$P_{12}^s P_{23}^s O_l(\sigma_1, \sigma_2, \sigma_3, P_1, Q_1) | \chi^m \rangle,$$  \hspace{1cm} (42)

$$Z_{ki}(pq, P_2Q_2) = \sum_m \langle \chi^m | O_k(\sigma_1, \sigma_2, \sigma_3, p, q) \rangle$$

$$P_{13}^s P_{23}^s O_l(\sigma_1, \sigma_2, \sigma_3, P_2, Q_2) | \chi^m \rangle.$$  \hspace{1cm} (43)

In order to calculate $W_{ki}(pq, P_1Q_1)$ and $Z_{ki}(pq, P_2Q_2)$ the following identities can be used, again following [7]

$$P_{12}^s P_{23}^s O_k(\sigma_1, \sigma_2, \sigma_3, p, q) | \chi^m \rangle =$$

$$-\frac{1}{2} O_k(\sigma_2, \sigma_3, \sigma_1, p, q) (1 + \sigma^{(23)} \cdot \sigma^{(1)}) | \chi^m \rangle.$$  \hspace{1cm} (44)

$$P_{13}^s P_{23}^s O_k(\sigma_1, \sigma_2, \sigma_3, p, q) | \chi^m \rangle =$$

$$-\frac{1}{2} O_k(\sigma_3, \sigma_1, \sigma_2, p, q) (1 - \sigma^{(23)} \cdot \sigma^{(1)}) | \chi^m \rangle.$$  \hspace{1cm} (45)

Then $W_{ki}$ and $Z_{ki}$ will be calculated as

$$W_{ki}(pq, P_1Q_1) = -\frac{1}{2} \sum_m \langle \chi^m | O_k(\sigma_1, \sigma_2, \sigma_3, p, q) \rangle$$

$$\times O_l(\sigma_2, \sigma_3, \sigma_1, P_1, Q_1) (1 + \sigma^{(23)} \cdot \sigma^{(1)}) | \chi^m \rangle,$$  \hspace{1cm} (46)

$$Z_{ki}(pq, P_2Q_2) = -\frac{1}{2} \sum_m \langle \chi^m | O_k(\sigma_1, \sigma_2, \sigma_3, p, q) \rangle$$

$$\times O_l(\sigma_3, \sigma_1, \sigma_2, P_2, Q_2) (1 - \sigma^{(23)} \cdot \sigma^{(1)}) | \chi^m \rangle.$$  \hspace{1cm} (47)

Using the above definitions, Eq. (41) can be written as

$$\sum_{i=1}^8 C_{ki}(pq, pq) \ a^{(i)}_{kT}(p,q) = \sum_{l'=l+1}^8 F_{l'u'T} \sum_{i=1}^8 \left\{ W_{ki}(pq, P_1Q_1) \phi^{(l')}_{kT}(P_1, Q_1) \right.$$  

$$+ (-)^{l+l'} Z_{ki}(pq, P_2Q_2) \phi^{(l')}_{kT}(P_2, Q_2) \right\}.$$  \hspace{1cm} (48)

The $C_{ki}(pq, pq)$ are known analytically. Thus we can easily find the inverse coefficients $C^{-1}_{ki}(pq, pq)$, which obey

$$\sum_{k=1}^8 C^{-1}_{mk}(pq, pq) \ C_{ki}(pq, pq) = \delta_{mi}.$$  \hspace{1cm} (49)
Using (49) we finally get

\[ \alpha_{ttT}^{(m)}(p, q) = \sum_{t'} F_{tt'} \sum_{k=1}^{8} C_{mk}^{-1}(pq, pq) \sum_{l=1}^{8} \left\{ W_{kl}(pq, P_1 Q_1) \phi_{ttT}^{(l)}(P_1, Q_1) + (-)^{t+t'} Z_{kl}(pq, P_2 Q_2) \phi_{ttT}^{(l)}(P_2, Q_2) \right\} \]

It is then clear that in order to calculate \( \alpha_{ttT}^{(m)}(p, q) \) we need three-dimensional interpolations. In our numerical realization we use the cubic Hermite spline interpolation method, described for example in Ref. [17].

Now we would like to pose the question of the 3N wave function normalization. The obvious starting point is

\[ 1 = \langle \Psi | \Psi \rangle = \langle \psi(1 + \hat{P}) | (1 + \hat{P}) \psi \rangle = 3 \langle \psi | \Psi \rangle. \]  

(51)

The full 3N bound state \(|\Psi\rangle\) can be written as

\[ |\Psi\rangle = \sum_{tt'} \int d^3p \int d^3q \ | pq \rangle \ \beta_{tt'}^{(1)}(p, q) \ O_i \ (\sigma(1), \sigma(2), \sigma(3), p, q) \ |\chi^m\rangle, \]

(52)

where

\[ \beta_{tt'}^{(1)}(p, q) = \phi_{ttT}^{(1)}(p, q) + \alpha_{ttT}^{(1)}(p, q) \]

(53)

and the \( \alpha_{ttT}^{(1)}(p, q) \) functions are given by Eq. (50). Because of the orthogonality of the \(|(1/2) T\rangle\) and \(| pq \rangle\) states, inserting (52) into (51) yields

\[ 1 = 3 \sum_{tt'} \int d^3p \int d^3q \ \sum_{i, j=1}^{8} \phi_{ttT}^{(1)}(p, q) \ \beta_{tt'}^{(2)}(p, q) \langle \chi^m | O_i^\dagger \ (\sigma(1), \sigma(2), \sigma(3), p, q) \ O_j \ (\sigma(1), \sigma(2), \sigma(3), p, q) \ |\chi^m\rangle, \]

(54)

where the conjugate operators \( O_i^\dagger \ (\sigma(1), \sigma(2), \sigma(3), p, q) \) are

\[
\begin{align*}
O_1^\dagger &= O_1, \\
O_2^\dagger &= O_2, \\
O_3^\dagger &= -O_3, \\
O_4^\dagger &= \frac{1}{\sqrt{2}} \left[ -i \sigma(23) \cdot (\hat{p} \times \hat{q}) - (\sigma(1) \times \sigma(23)) \cdot (\hat{p} \times \hat{q}) \right], \\
O_5^\dagger &= -\frac{1}{i} \left[ \sigma(23) \cdot (\hat{p} \times \hat{q}) + \frac{i}{2} (\sigma(1) \times \sigma(23)) \cdot (\hat{p} \times \hat{q}) \right], \\
O_6^\dagger &= O_6, \\
O_7^\dagger &= O_7, \\
O_8^\dagger &= O_8.
\end{align*}
\]

(55)
If we sum both sides of (54) over $m$, then we are led to

$$2 = 3 \sum_{T} \int d^3p \int d^3q \sum_{i,j=1}^{s} \phi_{iT}^{(i)} (p, q) \beta_{iT}^{(j)} (p, q) \tilde{C}_{ij} (pq, pq),$$

(56)

where

$$\tilde{C}_{ij} (pq, pq) = \sum_{m} \langle \chi^m | O_{i}^{\dagger} (\sigma_{(1)}, \sigma_{(2)}, \sigma_{(3)}, p, q) O_{j} (\sigma_{(1)}, \sigma_{(2)}, \sigma_{(3)}, p, q) | \chi^m \rangle.$$  

(57)

Now all the three functions in (56) are scalar, so we end up with the following normalization condition:

$$1 = 12 \pi^2 \sum_{T} \int d^3p \int d^3q \int_{0}^{1} \int_{-1}^{1} dx \phi_{iT}^{(i)} (p, q, x) \beta_{iT}^{(j)} (p, q, x) \tilde{C}_{ij} (x),$$

(58)

where (31) has been used.

Using the same $\tilde{C}_{ij}$ coefficients, we can calculate the expectation value of the kinetic energy, $\langle E_{\text{kin}} \rangle$.

$$\langle E_{\text{kin}} \rangle = \langle \Psi | H_{0} | \Psi \rangle = \langle \psi (1 + P) | H_{0} | (1 + P) \psi \rangle = 3 \langle \psi | H_{0} | \psi \rangle,$$

(59)

since $H_{0}$ and $P$ commute. Because the kinetic energy operator is diagonal for the basis states $| \langle t_{1/2} \rangle T \rangle$ and $| pq \rangle$ and does not depend on any angles, we simply get

$$\langle E_{\text{kin}} \rangle = 12 \pi^2 \sum_{T} \int d^3p \int d^3q \int_{0}^{\infty} \int_{0}^{\infty} \int_{-1}^{1} dx \phi_{iT}^{(i)} (p, q, x) \beta_{iT}^{(j)} (p, q, x) \tilde{C}_{ij} (x).$$

(60)

Next we calculate the expectation value of the 2N potential energy, $\langle E_{\text{pot}}^{2N} \rangle$:

$$\langle E_{\text{pot}}^{2N} \rangle = \langle \Psi | V_{12} + V_{13} + V_{23} | \Psi \rangle = 3 \langle \Psi | V_{23} | \Psi \rangle = 3 \langle \Psi | V | \Psi \rangle,$$

(61)

where

$$\langle \Psi | V | \Psi \rangle = \sum_{T} \int d^3p \int d^3q \sum_{k=1}^{s} \beta_{iT}^{(k)} (p, q)$$

$$\times \sum_{T'} \int d^3p' \int d^3q' \sum_{i=1}^{s} \beta_{iT'}^{(i)} (p', q')$$

$$\times \langle \chi^m | O_{k}^{\dagger} (\sigma_{(1)}, \sigma_{(2)}, \sigma_{(3)}, p, q)$$

$$\times \langle p' q' | \left( \left( \frac{1}{2} T \right) | V | \left( \frac{1}{2} T' \right) \right) | p' q' \rangle$$

$$\times O_{i} (\sigma_{(1)}, \sigma_{(2)}, \sigma_{(3)}, p', q') | \chi^m \rangle.$$  

(62)
Using Eqs. (4) and (11) we get

$$\langle p \, q \mid \left( t^1 \frac{1}{2} \right) T \mid V \mid \left( t' \frac{1}{2} \right) T' \mid p' \, q' \rangle =$$

$$\delta(q - q') \delta_{tt'} \sum_{j=1}^{6} v_{jTT'}(p, p') \, w_j(\sigma(2), \sigma(3), p, p'). \quad (63)$$

This leads to

$$\langle \Psi \mid V \mid \Psi \rangle = \sum_{TT} \int d^3p \int d^3q \sum_{k=1}^{8} \beta_{TT}^{(k)}(p, q)$$

$$\sum_{TT'} \int d^3p' \int d^3q' \sum_{i=1}^{8} \beta_{TT'}^{(i)}(p', q') \, v_{jTT'}(p, p') \times (\chi^m \mid O_k^m(\sigma(1), \sigma(2), \sigma(3), p, q) \times w_j(\sigma(2), \sigma(3), p, p') \, O_i(\sigma(1), \sigma(2), \sigma(3), p', q) \mid \chi^m). \quad (64)$$

Again we sum both sides of Eq. (64) over $m$ and can write $\langle \Psi \mid V \mid \Psi \rangle$ in terms of a new set of scalar coefficients, $\tilde{L}_{kji}$:

$$\langle \Psi \mid V \mid \Psi \rangle = \frac{1}{2} \sum_{TT} \int d^3p \int d^3q \sum_{k=1}^{8} \beta_{TT}^{(k)}(p, q)$$

$$\sum_{TT'} \int d^3p' \int d^3q' \sum_{j=1}^{6} \sum_{i=1}^{8} \beta_{TT'}^{(i)}(p', q') \, v_{jTT'}(p, p') \, \tilde{L}_{kji}(pq, pp', p') \, \beta_{TT'}^{(k)}(p, q, x) \times \beta_{TT'}^{(k)}(p', q, x') \, v_{jTT'}(p, p', y) \, \tilde{L}_{kji}(p, x, x', \phi'). \quad (65)$$

where

$$\tilde{L}_{kji}(pq, pp', p'') = \sum_{T} \sum_{m} \langle \chi^m \mid O_k^m(\sigma(1), \sigma(2), \sigma(3), p, q) \rangle w_j(\sigma(2), \sigma(3), p', p'') \times O_i(\sigma(1), \sigma(2), \sigma(3), p'', q''') \rangle \chi^m. \quad (66)$$

Taking into account the scalar nature of all the functions that appear in Eq. (65), we arrive at the final expression:

$$\langle \Psi \mid V \mid \Psi \rangle = 4 \pi^2 \sum_{TT} \int_{0}^{\infty} dp \int_{0}^{\infty} dq \int_{-1}^{1} dx \times \sum_{TT'} \int_{0}^{\infty} dp' \int_{0}^{\infty} dq' \int_{-1}^{1} dx' \int_{0}^{2\pi} d\phi' \sum_{k=1}^{8} \sum_{j=1}^{8} \beta_{TT'}^{(k)}(p, q, x) \times \beta_{TT'}^{(k)}(p', q, x') \, v_{jTT'}(p, p', y) \, \tilde{L}_{kji}(p, x, x', \phi'). \quad (67)$$
Here we use Eq. (31) and assume that the $p'$ vector, which appears in Eq. (65), is given as

$$p' = \left( p' \sqrt{1 - x'^2} \cos \phi', p' \sqrt{1 - x'^2} \sin \phi', p' x' \right),$$

which yields

$$x' = \hat{p}' \cdot \hat{q},$$

$$y \equiv \hat{p} \cdot \hat{p}' = xx' + \sqrt{1 - x^2} \sqrt{1 - x'^2} \cos \phi'.$$

Note that the 3N operators from Eq. (23) are given in terms of unit vectors, so no dependence on the magnitude of the $q$ vector appears in the $\hat{L}_{kji}$ coefficients.

Let us consider also the last part of the 3N Hamiltonian and calculate the expectation value of the 3N potential energy, $\langle E_{3N}^{\text{pot}} \rangle$:

$$\langle E_{3N}^{\text{pot}} \rangle \equiv \langle \psi \mid V^{(1)} + V^{(2)} + V^{(3)} \mid \psi \rangle = 3 \langle \psi \mid V^{(1)} \mid \psi \rangle,$$

where

$$\langle \psi \mid V^{(1)} \mid \psi \rangle = \sum_{TT} \int d^3p \int d^3q \sum_{k=1}^{8} \beta_{TT}^{(k)} (p, q) \times \sum_{TT'} \int d^3p' \int d^3q' \sum_{i=1}^{8} \beta_{T'T}^{(i)} (p', q') \times \langle \chi^m \mid O_k^\dagger (\sigma_1, \sigma_2, \sigma_3, p, q) \times \langle p q \mid \langle (t \frac{1}{2}) T \mid V^{(1)} \mid (t' \frac{1}{2}) T' \mid p' q' \rangle \times O_i (\sigma_1, \sigma_2, \sigma_3, p', q') \mid \chi^m \rangle. \quad (71)$$

With (13) $\langle p q \mid \langle (t \frac{1}{2}) T \mid V^{(1)} \mid (t' \frac{1}{2}) T' \mid p' q' \rangle = \delta_{TT'} V_{t'T}^{(1)} (p, q, p', q')$, we write Eq. (71) as

$$\langle \psi \mid V^{(1)} \mid \psi \rangle = \sum_{TT} \int d^3p \int d^3q \sum_{k=1}^{8} \beta_{TT}^{(k)} (p, q) \times \sum_{TT'} \int d^3p' \int d^3q' \sum_{i=1}^{8} \beta_{T'T}^{(i)} (p', q') \times \langle \chi^m \mid O_k^\dagger (\sigma_1, \sigma_2, \sigma_3, p, q) \times V_{t'T}^{(1)} (p, q, p', q') O_i (\sigma_1, \sigma_2, \sigma_3, p', q') \mid \chi^m \rangle. \quad (72)$$
Repeating standard steps (summing both sides of Eq. (72) over \( m \) and introducing yet another set of scalar coefficients, \( \tilde{E}_{kT}^{tT} \)) leads us to:

\[
\langle \Psi | V^{(1)} | \Psi \rangle = \frac{1}{2} \sum_{T} \int d^{3}p \int d^{3}q \sum_{k=1}^{8} \beta_{kT}^{(k)}(p,q)
\]

\[
\times \sum_{i'} \int d^{3}p' \int d^{3}q' \sum_{i=1}^{8} \beta_{i'T}^{(i)}(p',q') \tilde{E}_{ki}^{tt'}(pq,pqp',pq'),
\]

(73)

with

\[
\tilde{E}_{ki}^{tt'}(pq,pqp',pq') = \sum_{m} \langle \chi_{m} | O_{k}^{\dagger}(\sigma(1),\sigma(2),\sigma(3),p,q) V^{(1)}_{tt'}(p,q,p',q') \times O_{i}(\sigma(1),\sigma(2),\sigma(3),p',q') | \chi_{m} \rangle.
\]

(74)

In the last step we use the fact that the functions in Eq. (73) are scalar and reduce the number of integrals in that equation:

\[
\langle \Psi | V^{(1)} | \Psi \rangle = 4 \pi^{2} \sum_{T} \int_{0}^{\infty} dp \int_{0}^{\infty} dq \int_{-1}^{1} dx
\]

\[
\times \sum_{i'} \int_{0}^{\infty} dp'^{2} \int_{0}^{\pi} d\theta_{p'} \sin \theta_{p'} \int_{0}^{2\pi} d\phi_{p'} \int_{0}^{\infty} dq' \int_{0}^{2\pi} d\theta_{q'} \int_{0}^{2\pi} d\phi_{q'}
\]

\[
\times \sum_{k=1}^{8} \sum_{i=1}^{8} \beta_{kT}^{(k)}(p,q,x) \beta_{i'T}^{(i)}(p',q',z) \times \tilde{E}_{ki}^{tt'}(p,q,p',q',z),
\]

(75)

where

\[
z \equiv \hat{p}' \cdot \hat{q}' = \sin \theta_{p'} \sin \theta_{q'} \cos (\phi_{p'} - \phi_{q'}) + \cos \theta_{p'} \cos \theta_{q'}.
\]

(76)

This simply follows from Eq. (73) and from representing the \( p' \) and \( q' \) vectors in a spherical coordinate system as

\[
p' = (p' \sin \theta_{p'} \cos \phi_{p'}, p' \sin \theta_{p'} \sin \phi_{p'}, p' \cos \theta_{p'}). \]

\[
q' = (q' \sin \theta_{q'} \cos \phi_{q'}, q' \sin \theta_{q'} \sin \phi_{q'}, q' \cos \theta_{q'}). \]

(77)

4 Numerical performance

It is clear that our method to prepare the full 3N bound state from the Faddeev amplitude, where we applied the permutation operator "to the right", may also be used to build iterations required for Eq. (2). One first applies the \((1 + P)\) operator to the result of the previous iteration, \(|\psi^{(n-1)}\rangle\), and gets an auxiliary state \(|\psi_{aux} \rangle = (1 + P)|\psi^{(n-1)}\rangle\). Then the operators \(V\)
and $V^{(1)}$ are separately applied onto $|\psi_{aux}\rangle$ to yield $|\psi_1\rangle = V|\psi_{aux}\rangle$ and $|\psi_2\rangle = V^{(1)}|\psi_{aux}\rangle$. The result of the new iteration, $|\psi^{(n)}\rangle$, is just obtained as $G_0\left(|\psi_1\rangle + |\psi_2\rangle\right)$. This scheme appears most efficient for the Faddeev equation with a 3N force and we used it in our calculations. We would like to emphasize that the $C_{ij}$, $L_{kj}$ and $E_{kij}$ coefficients introduced in the previous section can also be used in this new treatment of the Faddeev equation [12]. One can equally well project from the left with $\langle \chi^m | \bar{O}_k | \sigma(1), \sigma(2), \sigma(3), p, q \rangle$ or with $\langle \chi^m | O_k^\dagger(\sigma(1), \sigma(2), \sigma(3), p, q)$. In the latter case we arrive at

$$
\sum_{i=1}^{8} \tilde{C}_{ki}(p, q) \phi_T^{(i)}(p, q) = \frac{1}{E - \frac{m}{2} - \frac{q^2}{4m}} \int d^3p' \sum_{j=1}^{6} \sum_{k'=1}^{8} \tilde{v}_{ij}^{(j)}(p, p') \tilde{L}_{kk'}(p, pp', p'q') \beta_{ij}^{(k)}(p', q)
$$

$$
+ \frac{1}{E - \frac{m}{2} - \frac{q^2}{4m}} \int d^3p' d^3q' \sum_{j'=1}^{8} \tilde{E}_{jj'}^{ij}(p, pp'q', p'q') \beta_{ij}^{(j'}(p', q').
$$

Eq. (78) The $\beta_T^{(i)}(p, q)$ coefficients in Eq. (78) are the scalar coefficients for the $(p q ) ((\frac{1}{2} T) | (1 + P) \psi) |$ expansion and depend on $\phi_T^{(i)}(p, q)$ as outlined in Eqs. (50) and (53). The dependence on all relevant variables in Eq. (78) can be made explicit as in the previous section.

In the case where only 2N forces are included, we obtain results based on three different iteration schemes:

1. $|\psi\rangle = G_0V(1+P)|\psi\rangle$ with $P$ applied "in both directions" as described in Eq. (25) of Sect. 2,
2. $|\psi\rangle = G_0tP|\psi\rangle$ as described in detail in Ref. [7],
3. $|\psi\rangle = G_0V(1+P)|\psi\rangle$ as outlined above.

Note that in all the three cases we use the same set of 3N operators [28] and 2N operators [4]. The first set of 3N operators introduced in Ref. [4] combined with the 2N operators from [5] led to complex scalar coefficients, which is an unwanted feature in the bound state calculations. The numerical realization of all the necessary sets of scalar coefficients that appear in various versions of the Faddeev equation and which are necessary for the full wave function were prepared using software for symbolic algebra and exporting the expressions into the Fortran form. We used Mathematica [18].

In our calculations we restrict ourselves to a chiral NNLO potential from Ref. [19], with $\Lambda = 550$ MeV/c and $\tilde{A} = 600$ MeV/c. The operator form of such a potential was briefly described in Appendix C of Ref. [12]. In the present paper we use only its neutron-proton version, neglecting the $T = \frac{1}{2}$ component in the triton.

For calculations with two nucleon forces only we use typically 36 $p$ and $q$ Gaussian points distributed in the $(0, \bar{p})$ and $(0, \bar{q})$ intervals, respectively. For the particular potential it is fully sufficient to take $\bar{p} \approx 5$ fm$^{-1}$ and $\bar{q} \approx 8$ fm$^{-1}$. We work with 40 $x$ Gaussian points to represent the scalar functions $\phi_T^{(i)}(p, q, x)$, $\alpha_T^{(i)}(p, q, x)$ and $\beta_T^{(i)}(p, q, x)$. The $\phi'$ integrations are carried out using 60 Gaussian points. We will demonstrate that our calculations lead
to fully convergent results. As already mentioned, the Faddeev equation is solved by an iterative Lanczos algorithm \[16\]. The initial, essentially arbitrary, amplitudes fulfilled, however, the symmetry properties \[33\]. We found that 20 iterations are fully sufficient. A typical job with 4096 processes for 20 iterations requires in this case less than 15 minutes on the IBM BLUE GENE/P computer of the JSC, Jülich, Germany. Iterations are in fact very fast - one can prepare and store the essential parts of the iteration kernels and deal for each iteration with only two dimensional integrations.

In the much more time-consuming calculations employing a chiral NNLO 3N force \[15\] where we deal with the integral kernel with six fold integrations we use slightly smaller numbers of \( p, q \) and \( x \) points: 32, 32 and 32, respectively. A corresponding job with 20 iterations requires a few hours on the same number of processes. In our calculations the four fold angular integrations (see for example Eq. \(75\)) are performed using altogether \(\approx 2500\) Gaussian points, which might leave room for improvement with respect to the accuracy achieved in the case without a 3N force.

In order to save computer resources, having at our disposal the PWD version of the bound state code, for a given 3N Hamiltonian, we first solve the Faddeev equation and find the triton binding energy using a partial wave representation. Then for this energy we run our three dimensional calculations and look for the eigenstate corresponding to an eigenvalue \(\eta\) closest to 1. Finally, for the three dimensional approaches, we construct the scalar coefficients for the full wave function, normalize them as in \[35\] and calculate expectation values of the kinetic and potential energies as described in Eqs. \(60\), \(67\) and \(75\). Results for the calculations with the chosen chiral NNLO 2N potential are given in Table 1. The binding energy for this case, given by the partial wave based calculations, is \(E_{3N}=-8.299\text{ MeV}\).

| \(\eta\) | PWD | Scheme 1 | Scheme 2 | Scheme 3 |
|-------|-----|---------|---------|---------|
| \(\langle E_{kin}^{3N} \rangle\) | 31.963 | 31.959 | 31.960 | 31.947 |
| \(\langle E_{pot}^{2N} \rangle\) | -40.258 | -40.242 | -40.243 | -40.230 |
| \(\langle E_{kin}^{3N} \rangle+\langle E_{pot}^{2N} \rangle\) | -8.295 | -8.283 | -8.283 | -8.283 |

Table 1 The closest to 1 eigenvalue (\(\eta\)) of the kernel of the Faddeev equation for the given 3N energy \(E_{3N}=-8.299\text{ MeV}\), expectation values of the kinetic (\(\langle E_{kin}^{3N} \rangle\)) and 2N potential (\(\langle E_{pot}^{2N} \rangle\)) energies in the triton as well as their sum (\(\langle E_{kin}^{3N} \rangle+\langle E_{pot}^{2N} \rangle\)) for the guiding partial wave based results and for the methods employing the three dimensional formulation of the Faddeev equation (see text).

Results of the calculations including additionally the NNLO chiral 3N force are shown in Table 2. Here the calculations using standard partial wave representation yield \(E_{3N}=-8.646\text{ MeV}\) for the binding energy.

Even if the new results displayed in Tables 1 and 2 show a good agreement with standard partial wave decomposition calculations, in the following we show more detailed results. We concentrate first on the case without a 3N force.

It is interesting to see, if the three quite different numerical schemes discussed above lead to the same results. They use different sets of scalar
coefficients and different forms of the permutation operator, $P$. Additionally, the second method requires the full off-shell t-matrix, while the other two methods directly use the 2N potential. Last not least, in the first two methods the symmetry properties (33) were checked after the solution had been obtained and in the third case the same symmetry properties served to reduce the CPU time. In Figs. 1–3 we show several scalar coefficients for the full wave function, $\beta^{(i)}_{\text{MT}}(p,q,x)$, first for fixed $p$ and $x$ as a function of $q$, then for fixed $q$ and $x$ as a function of $p$ and finally for fixed $p$ and $q$ as a function of $x$. In the last case the symmetry properties (33) of the final wave function coefficients are clearly visible. In all the shown cases and other (not shown) the agreement among the three methods is very good.

Finally, we show that it is possible to reproduce the standard partial wave representation of the full wave function starting from the three-dimensional wave function expansion (52). To this aim we calculate the overlap

$$\langle p\alpha JM; (t^1_2)TM_F |\Psi_{mm\ell}\rangle,$$

where $\alpha$ comprises the usual set of discrete quantum numbers for the 3N system, $\alpha = \{l,s,j,I\}$ [14]. Here $l$ denotes the orbital angular momentum in the two-nucleon subsystem, $s$ is the total spin and $j$ is the total angular momentum of this subsystem. The orbital angular momentum of the third nucleon is coupled with its spin ($\frac{1}{2}$) to give the total angular momentum $I$. Finally, $j$ and $I$ are coupled to yield the total 3N angular momentum $J$ with its projection $M$. Using recoupling from the intermediate states, where the total 3N angular momentum ($L$) and the total 3N spin ($S$) is defined, we arrive at:

$$\langle p\alpha JM; (t^1_2)TM_F |\Psi_{mm\ell}\rangle =$$

$$\delta_{M_T,m_s} \sum_{L,S} \sqrt{(2j+1)(2I+1)(2L+1)(2S+1)} \left\{ \begin{array}{ccc} l & s & j \\ \lambda & \frac{1}{2} & I \\ L & S & J \end{array} \right\}$$

$$\times \sum_{M_L=-L}^{L} C(LSJ; M_L, M - M_L, M) \int_0^\pi \cos \theta_p d\theta_p \int_0^{2\pi} d\phi_p \int_0^\pi \cos \theta_q d\theta_q \int_0^{2\pi} d\phi_q$$

$$\times Y_{M_L}^{LM_L}(\hat{p},\hat{q}) \sum_{i=1}^{8} \beta^{(i)}_{\text{MT}}(p,q)$$

$$\times \left( \frac{1}{2} \right)^{SM - M_L} |O_i (\sigma_1, \sigma_2, \sigma_3, p, q) | \chi^m \rangle,$$

where

$$Y_{M_L}^{LM_L}(\hat{p},\hat{q}) \equiv \sum_{m_i=-l}^{l} C(l\lambda L; m_i, M_L - m_i, M_L) Y_{lm_i}(\hat{p}) Y_{\lambda M_L-m_\lambda}(\hat{q})$$

$$C(j_1 j_2 j_3; m_1 m_2 m_3)$$

are the Clebsch-Gordan coefficients and $Y_{lm_i}(\hat{p})$ denote the spherical harmonics. The essential parts of the overlap (79),

$$\langle \left( \frac{1}{2} \right)^{SM - M_L} |O_i (\sigma_1, \sigma_2, \sigma_3, p, q) | \chi^m \rangle,$$
can again be prepared with help of the symbolic algebra software. It is also clear that the overlap (79) can be used for further verification of the three dimensional solution. In particular overlaps with $|pq\alpha JM\rangle \langle TM_T|$ carrying quantum numbers different than the ones of $^3\text{H}$ should be numerically zero. We verified very many cases but can show only a few examples (see Figs. 4–5), which demonstrate that agreement with standard partial wave decomposition is perfect not only for the dominant partial waves but also for the smaller triton components. For this comparison we used the three dimensional wave function obtained with the third method.

Although the numbers of $p$ and $q$ points in our calculations including the chiral NNLO 3N force were smaller than in the case where only 2N force were present and the four fold angular integration in the integral kernel including the 3N force might be improved, we show in Figs. 6 and 7 that the projections of the full wave function onto the partial wave states agree quite well with the standard partial wave representation of the 3N bound state. This is remarkable, since the partial wave calculations were based on Eq. (1) and the three dimensional calculations used Eq. (2).

It is important to notice that the normalization condition (analogous to Eq. (58))

$$1 = 4\pi^2 \sum_{\alpha} \infty \int_0^\alpha \int_0^\alpha \int_0^\alpha \int_0^\alpha \frac{dx}{x} \beta_{\alpha}^{(i)}(p,q,x) \beta_{\alpha}^{(j)}(p,q,x) \tilde{C}_{\alpha}(x)$$

leads to the following normalization of the partial wave projections

$$\sum_{\alpha} \infty \int_0^\alpha \int_0^\alpha \langle pq\alpha | \Psi \rangle^2 = 1,$$

and provides an additional check of numerics.

5 Summary and Outlook

Using an expansion of the Faddeev amplitudes in products of scalar functions $\phi_{\alpha T}^{(i)}(p,q)$ with products of scalar expressions in spin and momenta as well as corresponding expansions of the 2N potential, 2N $t$-operator and 3N forces, the Faddeev equations for the 3N bound state can be reformulated into a strictly finite set of coupled equations for the amplitudes $\phi_{\alpha T}^{(i)}(p,q)$, which depend only on three variables $p$, $q$ and $\hat{p} \cdot \hat{q}$.

In this paper we discuss various forms of the Faddeev equation in the operator form. In comparison to Ref. [7] we propose two new schemes which use directly a 2N potential (without a 3N force) and a new approach to the Faddeev equation with inclusion of a 3N force. For each case we derive corresponding sets of scalar coefficients. For the three schemes without a 3N force and one scheme including a 3N force we provide numerical realizations based on the chiral NNLO 2N [19,12] and 3N [15] forces.
Table 2  The closest to 1 eigenvalue ($\eta$) of the kernel of the Faddeev equation for the given 3N energy $E_{3N}=-8.646$ MeV, expectation values of the kinetic ($\langle E_{kin}\rangle$), 2N potential ($\langle E^2_{pot}\rangle$), 3N potential ($\langle E^3_{pot}\rangle$) energies in the triton as well as their sum ($\langle E_{kin}\rangle+\langle E^2_{pot}\rangle+\langle E^3_{pot}\rangle$) for the guiding partial wave based calculations and for the method using the three dimensional formulation of the Faddeev equation with a 3N force (see text around Eq. (78)).

|       | PWD  | 3D  |
|-------|------|-----|
| $\eta$ | 1.0  | 0.99976 |
| $\langle E_{kin}\rangle$ | 33.448 | 33.412 |
| $\langle E^2_{pot}\rangle$ | -41.329 | -41.273 |
| $\langle E^3_{pot}\rangle$ | -0.765 | -0.770 |
| $\langle E_{kin}\rangle+\langle E^2_{pot}\rangle+\langle E^3_{pot}\rangle$ | -8.646 | -8.631 |

Fig. 1 Selected scalar coefficients $\beta^i_{\alpha\beta}(p, q, x)$ for $T = \frac{1}{2}$ for the full 3N wave function in the three dimensional form for $p \approx 1.4$ fm$^{-1}$ and $x \approx 0.55$ as a function of $q$ obtained with the first (dotted line), second (dashed line) and third (solid line) iteration scheme without a 3N force (see text). All three lines essentially overlap.
The present paper is a continuation of Ref. [7] and presents further elements of the three dimensional formalism. In particular we show how to obtain the total 3N bound state from the Faddeev component, using another form of the permutation operator. The paper provides also formulas for the bound state normalization and the expectation values of all the parts of the 3N Hamiltonian.

We show our numerical results for the binding energies and display examples of the scalar expansion functions for the full 3N bound state. We demonstrate stability and reliability of our three dimensional treatment of the bound state Faddeev equation by comparing results obtained with the three different methods. One of the methods required a new fast way to obtain the full off-shell t-matrix in the operator representation. We will report on these calculations in a separate paper. We give all relevant information about the number and distribution of the p, q and x points used in our calculations. We are ready for benchmark calculations of the 3N bound state in the three dimensional formalism. Our final results for the full wave function obtained in the three dimensional schemes compare very well with the standard results based on the partial wave decomposition. This is the best proof of the stability and accuracy of our numerical performance.

The next step is the extension of our calculations to include the Coulomb force in $^3$He. The inclusion of this long-ranged force might be easier in the three dimensional formalism. We also plan to apply a three-dimensional operator approach to the problem of 3N scattering and use the formalism outlined in [20].

Acknowledgments

We acknowledge support by the Foundation for Polish Science - MPD program, co-financed by the European Union within the Regional Development Fund. This work was supported by the Polish National Science Center under Grant No. DEC-2011/01/B/ST2/00578 and partially by the EU Hadron-Physics3 project "Exciting Physics Of Strong Interactions".

One of the authors (JG) would like to thank K. Sagara for the hospitality extended to him during his stay at the Kyushu University and E. Epelbaum for the hospitality extended to him during his stay at the Ruhr-Universität, Bochum. The numerical calculations were performed on the supercomputer cluster of the JSC, Jülich, Germany.

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Fig. 2 Selected scalar coefficients $\beta^{(i)}_{T}(p,q,x)$ for $T = \frac{1}{2}$ and $x \approx -0.61$ as a function of $p$. Lines as in Fig. 1.
Fig. 3 Selected scalar coefficients $\beta_{ij}(p,q,x)$ for $T = \frac{1}{2}$ for the full 3N wave function in the three dimensional form for $p \approx 2 \text{ fm}^{-1}$ and $q \approx 2.6 \text{ fm}^{-1}$ as a function of $x$. Lines as in Fig. 1.
Fig. 4 The partial wave projected full 3N wave function $\langle pq_\alpha | \Psi_\frac{1}{2}^\frac{1}{2} (t_\frac{1}{2}^\frac{1}{2}) | \Psi_\frac{1}{2}^\frac{1}{2} \rangle$ for $p \approx 0.44$ fm$^{-1}$ and four different partial wave states $\alpha$: $\alpha = 1$ corresponds to $l = 0, s = 0, j = 0, I = \frac{1}{2}, t = 1$, $\alpha = 5$ gives $l = 0, s = 1, j = 1, \lambda = 0, I = \frac{3}{2}, t = 0$, $\alpha = 18$ comprises $l = 2, s = 1, j = 2, \lambda = 2, I = \frac{5}{2}, t = 0$ and for $\alpha = 26$ we have $l = 3, s = 1, j = 3, \lambda = 3, I = \frac{7}{2}, t = 1$ as a function of $q$. The results obtained directly using the partial wave representation (dashed line) are compared with the results based on Eq. (79). Two lines practically overlap.

Fig. 5 The partial wave projected full 3N wave function $\langle pq_\alpha | \Psi_\frac{1}{2}^\frac{1}{2} (t_\frac{1}{2}^\frac{1}{2}) | \Psi_\frac{1}{2}^\frac{1}{2} \rangle$ for $q \approx 0.9$ fm$^{-1}$ and the same four different partial wave states $\alpha$ as in Fig. 4 as a function of $p$. 
Fig. 6 The same as in Fig. 5 but with inclusion of the chiral NNLO 3N force in the both types of calculations.

Fig. 7 The same as in Fig. 6 but with inclusion of the chiral NNLO 3N force in the both types of calculations.