The Universal Dependencies Treebank of Spoken Slovenian

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Abstract

This paper presents the construction of an open-source dependency treebank of spoken Slovenian, the first syntactically annotated collection of spontaneous speech in Slovenian. The treebank has been manually annotated using the Universal Dependencies annotation scheme, a one-layer syntactic annotation scheme with a high degree of cross-modality, cross-framework and cross-language interoperability. In this original application of the scheme to spoken language transcripts, we address a wide spectrum of syntactic particularities in speech, either by extending the scope of application of existing universal labels or by proposing new speech-specific extensions. The initial analysis of the resulting treebank and its comparison with the written Slovenian UD treebank confirms significant syntactic differences between the two language modalities, with spoken data consisting of shorter and more elliptic sentences, less and simpler nominal phrases, and more relations marking disfluencies, interaction, deixis and modality.

Keywords: dependency treebank, spontaneous speech, Universal Dependencies

1. Introduction

It is nowadays a well-established fact that data-driven parsing systems used in different speech-processing applications benefit from learning on annotated spoken data, rather than using models built on written language observation. Since the influential syntactic annotation of the Switchboard section of the Penn Treebank (Godfrey et al., 1992; Marcus et al., 1993), several syntactically annotated spoken corpora have emerged, such as the VerbMobil treebanks for English, German and Japanese (Hinrichs et al., 2000), the CGN treebank for Dutch (van der Wouden et al., 2002), the NoTa treebank for Norwegian (Johannessen and Jørgensen, 2006), the PDTSL treebank for Czech (Hajič et al., 2008), and the Rhapsodie treebank for French (Lacheret et al., 2014). However, until now, no syntactically annotated data has been available for spoken Slovenian.

In addition to differences in the underlying phrase-based or dependency-based grammatical formalisms, existing spoken treebanks vary considerably in their approach to annotation of syntactic particularities of spoken language, even though these are not generally considered as language-specific. On one side of the spectrum are annotation schemes providing syntactic analysis of all transcribed lexical tokens, typically by introduction of new labels for speech-specific phenomena, while on the other side we find schemes, in which only well-formed, written-like constructions are included in the resulting syntactic trees, disregarding disfluencies and other types of ‘noisy’ structural particularities.

This prevalent multi-layer approach has partially been motivated by the data-driven parsing systems themselves, usually adopting a two-pass pipeline architecture, in which the structural particularities are first removed and followed by parsing of normalized transcriptions (Charniak and Johnson, 2001). Recent advances in parsing systems using non-monotonic transition-based algorithms, however, show that joint treatment of disfluencies and other syntactic relations actually out-performs state-of-the-art pipeline approaches (Rasooli and Tetreault, 2013; Honnibal and Johnson, 2014). Such heterogeneity of spoken language annotation schemes inevitably leads to a restricted usage of existing spoken language treebanks in linguistic research and parsing systems alike, limiting any direct comparison between spoken language treebanks of different formalisms, modalities (spoken or written) or languages. The need for a cross-linguistically harmonized treatment of non-language-specific phenomena is even more important in the field of spoken language resources, as these are still very limited in terms of number, size and availability due to their costly construction.

To ensure its wide and long-term usability, the new treebank of spoken Slovenian adopts the recently proposed Universal Dependencies annotation scheme, aimed at cross-linguistically consistent dependency treebank annotation. In the following part of this paper, we first briefly describe the process of the treebank construction and the general principles related to its tokenization, segmentation and spelling. Given this is the first attempt to apply the Universal Dependencies scheme to extensive spoken data, we then present its adaptation for various types of speech-specific phenomena, describe the annotation process, and show how the new spoken treebank compares to its written counterpart.

2. Treebank Construction

The Spoken Slovenian Treebank is a sample of the Gos reference corpus of Spoken Slovenian (Zwitter Vitez et al., 2013), a collection of audio recordings and transcripts of approximately 120 hours (1 million words) of monologic, dialogic and multi-party spontaneous speech in different everyday situations. The reference corpus was balanced to be representative of speakers (sex, age, region, education), communication channels (TV, radio, telephone, personal contact) and spoken communication settings, broadly categorized into public informative and educational (TV and
radio shows, interviews, debates; school lessons, academic lectures), public entertainment (talk shows, morning radio shows, sports broadcasting), non-public non-private (work meetings, consultations, sale and other services) and non-public private (conversations between friends or family).

To ensure a similar distribution of text type, channel and speaker demographics to the reference corpus, the Spoken Slovenian Treebank was sampled by taking a random segment with a proportional number of tokens from each of the 287 texts in the original corpus. Each sampled text segment consists of one or more subsequent turns (units of speech by one speaker), which in themselves consist of one or more utterances (semantically, syntactically and acoustically delimited units, roughly corresponding to written sentences).

Thus, the large majority of texts in the treebank include longer continuous spans of complete turns by one or more speakers, enabling posterior extension, re-segmentation or addition of other layers of linguistic annotation, such as discourse relations annotation or dialogue act annotation. A detailed description of the sampled treebank, currently amounting to 3,188 utterances or 29,468 tokens, is given in Table 1.

| Type     | Texts | Speak. | Turns | Utter. | Tokens |
|----------|-------|--------|-------|--------|--------|
| PI       | 129   | 263    | 703   | 959    | 9,898  |
| PE       | 42    | 78     | 499   | 726    | 6,826  |
| NN       | 45    | 102    | 425   | 497    | 4,535  |
| NP       | 71    | 163    | 833   | 1,006  | 8,209  |
| Total    | 287   | 606    | 2,460 | 3,188  | 29,468 |

Table 1: Treebank size by text type: PI = public informative and educational; PE = public entertainment; NN = non-public non-private; NP = non-public private.

### 3. Segmentation, Tokenization and Spelling

Typically, spoken language annotation denotes annotation of its representation in the form of written transcription. In the Spoken Slovenian Treebank, the spelling, tokenization and segmentation principles follow the transcription guidelines of the reference Gos corpus (Verdonik et al., 2013). The syntactic trees in the treebank span over individual utterances, manually delimited in the process of reference corpus transcription. Nevertheless, given the notoriously difficult task of speech segmentation, the turn-based sampling of the treebank enables posterior re-segmentation of utterances into longer or shorter units, if necessary.

Among the two types of Gos transcriptions (pronunciation-based and normalized spelling, both in lowercase only), the morphological and syntactic analysis is performed on top of normalized transcriptions that reduce the number of token types due to regional, colloquial and other pronunciation variation. In case of tokenization discrepancies between the two levels of transcription (e.g. between the colloquial *naš* ‘you won’t’ and the normalized *ne boš* ‘you will not’), the normalized tokenization is selected, but the mapping of both spellings is maintained.

In addition to lexical tokens (words), the transcripts also include tokens signalling filled pauses (fillers), unfinished or incomprehensible words, as well as extralinguistic tokens marking basic prosodic information, such as exclamation or interrogation intonation markers, silent pauses (if longer than 1.5 sec), non-turn taking speaker interruptions, vocal sounds (e.g. laughing, sighing, yawning) and non-vocal sounds (e.g. applauding, ringing). In the treebank, all transcription tokens are considered nodes of dependency trees, however, it is a straightforward task to filter out the non-lexical tokens and obtain representations with words only.

### 4. Annotation Scheme

#### 4.1. Universal Dependencies

Universal Dependencies’ is a recently proposed annotation scheme for development of cross-linguistically consistent treebank annotation for many languages, with the goal of facilitating multilingual parser development, cross-lingual learning, and parsing research from a language typology perspective (Nivre, 2015). It is the result of previous similar standardization projects (Zeman, 2008; Petrov et al., 2012; Marneffe et al., 2014) and has already been applied to more than 30 different languages (Nivre et al., 2015), including (written) Slovenian. A detailed description of the design principles and the relation taxonomy is given in Nivre (2015) and Nivre et al. (2016), with the main principles being that dependency relations hold primarily between content words, function words attach to the content word they specify and punctuation marks attach to the clause or phrase to which they belong. The basic dependency representation forms a tree, but additional dependencies can be added in the so-called enhanced representation.

From the perspective of spoken language annotation, the two most important features are that the universal taxonomy already includes labels for several speech-specific loose-joining syntactic relations, such as *reparandum*, *parataxis*, *discourse*, *dislocated*, and *vocative*, and that the scheme design allows for language-specific extensions, when necessary. Although metadata for UD release v1.2 shows that treebanks for Estonian, Greek, Danish and Persian also include some spoken texts, the lack of mention of this modality in the corresponding treebank documentations suggests this is the first systematic application of the Universal Dependencies scheme to extensive amount of spoken data.

#### 4.2. Adaptation to Speech

As we have already emphasized in the introduction section, our ambition is to account for all syntactic phenomena in speech in a unified dependency annotation scheme, in which all tokens are treated as dependents belonging to the same syntactic tree. In the following section, we present Universal Dependency annotation principles for the most frequent speech-specific constructions, addressing both structural (‘malformed’) and pragmatic (‘well-formed’) particularities. The necessary adaptations of the

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1 The only exception are monologue-like academic lectures, in which turns by one speaker often span over entire speech events, so sampling of an incomplete turn was allowed (5.5% of all sampled turns)

2 [http://universaldependencies.org/](http://universaldependencies.org/)
scheme are done either by extending the scope of application of an existing universal label or by introducing a new speech-specific extension, represented as a concatenation of the governing universal label, a colon mark and the extension sub-label, e.g. label:sub-label.

4.2.1. Extralinguistic Tokens
The Spoken Slovenian Treebank includes the following groups of extralinguistic tokens: markers of interrogative (?) and exclamatory (!) intonation, markers of silent pauses ([pause]), markers of unfinished or incomprehensible speech fragments ([gap]), markers of vocal ([:voice]) and non-vocal sounds ([incident]), markers of laughter ([speaker:laughter], [audience:laughter], [all:laughter]), and markers of non-turn taking speaker interruptions (…). These tokens, tagged as X or PUNCT (?,?,!,…), are labeled as punct on the dependency layer and follow the general UD principle of attaching punctuation nodes to the highest relevant node that preserves projectivity.

4.2.2. Disfluencies
On the general level, our annotation scheme distinguishes two different types of syntactic disfluencies in speech: repairs and restarts. Repairs are instances where an edited unit (the reparandum) gets overridden by a new unit (the repair). Reparandums can be edited either by repetition of the same word or strings of words, usually to gain planning time, by substitution with a different word form, usually to correct its grammatical features (Figure 1), or by reformulation with a new word or phrase, to modify the intended verbalisation (Figure 2). Regardless of the type of repair (repetition, substitution, reformulation), and the type of reparandum (complete or incomplete; word fragment, word or phrase), the head of the edited unit is labeled as reparandum and depends on its repair.3

![Figure 1: Annotation of substituted units by reparandum.](image)

In cases when the edited unit is a syntactically incomplete string of nodes (as in the example in Figure 2, we follow the principle of head promotion in ellipsis (see the following section), and attach the reparandum to the highest node of the following unit that preserves projectivity. This includes repairs of speech fragments (otherwise tagged as X).

![Figure 2: Annotation of syntactically incomplete edited units by reparandum.](image)

The second type of self-editing disfluencies are restarts (termed deletions by Shriberg (1996)), in which an unfinished clause is abandoned and replaced by a new sentence, as in the example in Figure 3. We label such paratactical restarts with a speech-specific label parataxis:restart that spans from the predicate head of the unfinished clause.

![Figure 3: Annotation of abandoned sentences by parataxis:restart.](image)

Given the relatively arbitrary position of interruption points, at which a phrase or a clause is being abandoned and replaced by its edit, the edited unit is therefore often left syntactically incomplete, as in Figures 2 and 3. The randomly elliptical nature of trees involving the reparandum and parataxis:restart labels should thus be given appropriate attention in both the process of parser training and evaluation. Similarly, the intended syntactic function of an incomplete reparandum unit, such as advmod in Figure 2 cannot be directly inherited from its repair (as with a syntactically complete reparandum in Figure 1), but explicit relations or attachments to nodes outside the repair could later be added as part of the enhanced representation.

4.2.3. Ellipsis
In addition to ellipsis due to self-editing, interruptions or unfinished utterances, there are also many instances of predicate ellipsis due to inference from the textual or situational context, such as in continuation of a topic (pri nas pa občasno ‘we (do it) only once in a while’), formulaic answers or replies (kam pa? ‘where to?’, zakaj ne? ‘why not?’, upam da ‘I hope so’), introductions to reported speech (in pol jaz njemu ‘and then I (say) to him’), sports commentaries (tudi francozinja v težavah ‘the French (is) also in troubles’), etc. Following the general UD guidelines for treatment of ellipsis, the orphan node gets promoted to the place of the missing parent. In case of several same-level dependents of the missing head, elements of the verb phrase are given priority over other constituents, content

3For syntactically complete reparandum units, we currently consider its repair to be the equivalent syntactic unit, even if the repair itself is a constituent of larger units. We thus give preference to syntactic equivalence, e.g. between repaired modifiers or function words, rather than to high attachment.
words over function words, core arguments over non-core arguments, propositional adverbials (adjuncts) over non-propositional (disjuncts), and so on, as in the examples in Figures 2 and 3, in which the adverb and the auxiliary have been promoted to the position of the missing main verb.

4.2.4. Discourse Elements

On the lexical level, spoken communication includes many words and expressions that do not contribute much to the propositional content of what is being said, but instead function on some level of discourse organisation, as explicit markers of discourse relations, discourse structure, interaction management, speaker attitudes, etc. Different theories define and categorise these expressions differently, with often overlapping terminology (such as discourse connectives, discourse/modal particles, discourse/pragmatic markers, etc.) and the acknowledgment of fuzzy boundaries between them (Degand et al., 2013; Fischer, 2006). The highly multifunctional nature of discourse-related lexica therefore renders its part-of-speech and syntactic categorisation particularly difficult.  

In the Spoken Slovenian Treebank, three groups of discourse-related problematic expressions have been identified and addressed. For non-relation-based discourse elements, such as interjections (aha 'uh-huh', opsala 'oops'), response tokens (ja 'yes', itak 'sure'), expressions of politeness (hvala 'thanks', adijo 'bye') and prototypical non-clausal discourse markers (no 'well', zdaj 'now', a ne 'right?'), we use the discourse label, and a newly introduced extension discourse:filler for filler sounds (ee 'uh'). Both labels attach to the highest relevant unit preserving projectivity, which can either be a predicate, as in the case of discourse markers or response tokens (Figure 4), or any other constituent, as in the case of repairs, where fillers or other editing signals attach to the repair (Figure 1).

![Figure 4: Annotation of discourse elements by discourse.](image)

The second group are connective adverbials that can appear in various syntactic positions without necessarily changing their discourse-relating meaning, such as torej 'thus', zato 'therefore', vendaj 'however', pa (multi-functional particle), se pravi 'that is to say', tako da 'so', s tem da 'given that'. Following the principles of the JOS annotation scheme, we distinguish between different syntactic positions and label such connectives as coordinating conjunctions (ec) when used in clause- or sentence-initial position (Končali smo, torej pojdim domov: 'We have finished, thus let’s go home.') or as adverbial modifiers (advmod) when used in clause-medial positions (Končali smo, pojdim torej domov. 'We have finished, let’s thus go home.'). What is more, some of these items can also appear in utterance-initial position as markers of discourse organisation (Torej, kako ste? 'So, how are you?'), in which case we label them as discourse.

Similarly, expressions of modality, such as seveda 'of course', v bistvu 'in fact', pač 'well', res 'really', vsese 'still', are annotated as adverbal or nominal modifiers, unless they appear in prosodically distinct utterance-initial or phrase-medial positions (analogue to comma punctuation), in which case they are also annotated as discourse. In future work on the treebank and the UD guidelines in general, however, we might reconsider the extent of differentiation between the various functions of discourse-related lexica on the morphological and syntactic layer, as well as the formal tests associated with it.

4.2.5. Sentential Parentheticals

Spoken utterances often include sentential parentheticals appearing in clause-medial position, and performing different appositional and commenting functions. These are labeled parataxis and attach to the main predicate, regardless of their (non-)projectivity. A separate parataxis:discourse label is introduced for the most frequent sentential parentheticals that have been grammaticalized into semantically bleached fixed expressions with discourse marking functions, such as ne vem 'I don’t know', (a) veš 'you know', mislim 'I think', recimo 'say', prosim 'please', glej 'listen', and often appear in clause-medial positions, following the same attachment principles as non-clausal discourse markers.

Parenthetical clauses introduced by subordinating conjunctions, such as conditional speech acts (če smem vprašati ‘If I may ask’) or comment clauses (če se prav spomnim, ‘If I remember correctly’, kolikor vem, ‘as far as I know’, kot rečeno ‘as previously said’), are labeled as adverbal clausal modifiers (advcl), regardless of their degree of grammaticalization.

4.2.6. Asyndetic Coordination and General Extenders

Speakers often use strings of syntactically parallel constituents with no explicit coordinating conjunction between them, either as means of reformulation, specification or stylistic effect, or as a consequences of coordination being implied by prosody, e.g. nobena muca, nobene miške, nobeni zajčki 'no cats, no mice, no rabbits'. We annotate such asyndetic coordination structures as (conj), unless the parallelisms are instances of clausal juxtaposition or nominal apposition, in which the parataxis and appos labels are used, respectively.

Another group of frequent expressions treated as coordination are general extenders, expressions such as in tako naprej ‘and so on’, and ali nekaj takega ‘or something like that’, introduced by either coordinating or disjunctive conjunctions that typically attach to grammatically complete phrases or utterances. Although general extenders have a predominantly discourse managing function, we analyze them as a special type of coordinating conjuncts.
In the last stage of the annotation process, the automatically parsed treebank was imported to WebAnno (Yimam et al., 2013), a general purpose web-based linguistic annotation tool, for final manual corrections. In addition to correcting parser mistakes and implementing speech-specific enhancements of the annotation scheme presented in the section above, syntax-dependent POS tags that could not have been adequately converted due to lack of syntactic information in the original corpus, such as auxiliaries and determiners, were also manually corrected. Proper names anonymized in audio recordings and transcribed as non-linguistic tokens (e.g., [name:personal]) were also given the missing POS and feature information. An example of an annotated utterance in WebAnno is illustrated in Figure 7.

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\text{(so the next family are fabaceae)}
\]

Figure 7: An example of an annotated utterance in WebAnno showing the full set of lemma, part-of-speech, morphology and dependency annotation layers.

6. Treebank Analysis

This section presents the initial analysis of the distribution of dependency relations in the Spoken Slovenian UD Treebank as summarized in Figure 8. To better illustrate the particularities of spoken communication in relation to the characteristics of Slovenian language in general, the analysis is made in comparison with the written Slovenian UD Treebank.

In addition to discrepancies due to the newly introduced extensions, such as \textit{conj:extend}, \textit{discourse-filler}, \textit{parataxis:discourse} and \textit{parataxis:restart}, which have not yet been retroactively implemented in the written treebank (but presumed to have a low overall frequency), the observed syntactic differences between the two modalities can be broadly categorized into two general groups: differences due to particularities of speech production and transcription (text structure) and differences due to the nature of spoken communication (text contents).

Besides the divergence in the frequency of punctuation symbols (\textit{punct}) due to specifics of Gos transcriptions that do not include punctuation characters, the most noticeable structural distinction is the difference in the size of syntactic trees (proportional number of \textit{root} nodes), since utterances in the spoken treebank are typically shorter than sentences in the written treebank (with an average length of 9.2 and 17.7 tokens respectively). The high frequency of \textit{parataxis} relation in the spoken treebank further suggests that spoken utterances are not only shorter but also more fragmented, containing sequences of juxtaposed sentences.

5 http://www.korpus-gos.net
Figure 8: Comparison of dependency relations in the spoken and written Slovenian UD treebanks. N-spoken = 29,488; N-written = 140,418.

7. Format and Availability

The first version of the Spoken Slovenian Treebank is planned to be released as part of the UD release v1.3 under the CC-BY-NC-SA 4.0 licence. In addition to the standard metalinguistic information specified by the CONLL-U format, such as normalized word forms, lemmas, UD POS tags, JOS morphosyntactic tags, UD features and UD dependencies, the treebank also includes information on pronunciation-based word form transcriptions and tokenization (as part of the MISC column), thus ensuring compatibility with the original Gos corpus in TEI XML format. All metadata on individual utterances, such as information about text type, speaker demographics, recording region, channel etc., can be accessed through the unique utterance identifier in the comment line pointing to its full description in the original TEI header.

8. Conclusions and Future Work

The construction of the first dependency treebank of spoken Slovenian resulted in several important contributions without any explicit conjunction. Furthermore, although the root node is much more frequent in spoken than in written language, nsubj and dobj have very similar frequencies. This indicates that, while spoken language has shorter sentences, many of them are either elliptic or do not contain a verbal predicate with a subject and an object.

Other structural particularities of the spoken treebank include high numbers of repairs (reparandum) and fillers (discourse-filler), absent from the written treebank, as well as a higher frequency of dislocated sentence elements (dislocated) and spelled-out numbers (compound) in comparison with the written treebank.

As expected, content-dependent differences show a distinctively high number of elements of interaction, such as discourse and vocative relations, in comparison with the written treebank, where such constructions mainly appear in spoken-like dialogues. The comparison also shows that written Slovenian is significantly more nominal than spoken Slovenian with a higher share of nominal and prepositional phrases (the nmod and case relations) that are also more complex in terms of the number of adjectival (amod) or clausal (acl) attributives. On the other hand, the spoken treebank contains notably more adverbial modifiers (advmod), which mostly include expressions of deixis, discourse cohesion and modality. Grammaticalized expressions of interaction, discourse relations and modality are also those that constitute the majority of speech-frequent multi-word expressions (mwe).

8As we have already explained in Section 4.2.4, the lack of explicit coordinating conjunctions does not, however, indicate the absence of cohesion between paratactical sentences in speech, as discourse relations in Slovenian are often expressed with clause-medial constructions, annotated as adverbial modifiers (advmod). Their host clauses are currently annotated as parataxis, rather than instances of asyndetic coordination (conj).

9The difference in token count between the original treebank after sampling and the final annotated treebank is due to changes in tokenization (splitting of fused word forms).

*http://universaldependencies.org/format.html*
both in the field of Slovenian language resources and spoken language resources in general, namely the adaptation of the Universal Dependencies annotation scheme to particularities of spoken communication, the construction of the treebank, and its manual lemmatization, morphology and dependency annotation. The initial analysis of the Spoken Slovenian Treebank in comparison with the written Slovenian UD treebank confirmed significant linguistic differences between the two modalities, which not only reasserts the importance of development of speech-specific language resources, but also motivates several lines of future work. From the local perspective, future work on the treebank should include its continual expansion, revisions of the annotation scheme, addition of new layers of linguistic annotation, comprehensive corpus-based analysis of spoken communication in Slovenian, and its comparisons with written language. From the global perspective, the proposed Universal Dependencies annotation scheme could be applied to spoken language treebanks for other languages, to further consolidate a standardised annotation of universal syntactic phenomena in speech and enable contrastive linguistic analyses. Last but not least, the Universal Dependencies Treebank of Spoken Slovenian represents an especially valuable resource for future experiments in data-driven speech processing, including novel explorations in cross-modal and cross-lingual spoken language dependency parsing.

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