Long-term outcomes and prognosis for patients with sarcomatoid hepatocellular carcinoma

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Background: Characterized by spindle cell composition in hepatocellular carcinoma tumor, sarcomatoid hepatocellular carcinoma (SHC) is a rare malignant with poor prognosis. In this study, we aimed to evaluate the clinical and pathological features of SHC and establish a nomogram that can predict long-term outcomes of the disease.

Methods: We retrospectively analyzed 63 patients who were diagnosed with SHC between October 2007 and November 2016 and used immunohistochemistry (IHC) to assessed various markers in liver samples. The clinical data and the histological and pathological findings were collected and used to build a nomogram to predict survival.

Results: The median overall survival (OS) and the recurrence-free survival (RFS) in SHC were 23.2 and 8.4 months, respectively. High expression levels of tyrosine-protein kinase Met (17/63, 27.0%) were associated with poorer RFS (P=0.040). A panel of markers, consisting heat-shock protein 70 (HSP70), glutamine synthetase (GS), and glypican-3 (GPC3), merged as an independent risk factor for treatment outcomes. The nomogram, which including this panel of markers, predicted OS times with a concordance-index (C-index) score of 0.758 (95% CI: 0.672–0.843) in the training set and 0.832 (95% CI: 0.712–0.952) in the validation set. The use of the nomogram showed marked improvements in the prediction of patient outcomes compared with conventional staging systems (P<0.05).

Conclusions: Diagnosis of SHC is rare and has a relatively poor prognosis. A panel of markers HSP70, GS and GPC3 served as an independent prognostic factor for SHC.

Keywords: Sarcomatoid hepatocellular carcinoma (SHC); heat-shock protein 70 (HSP70); glutamine synthetase (GS); glypican-3 (GPC3); nomogram

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Introduction
Sarcomatoid carcinoma is an extremely rare tumor composed of carcinomatous and sarcomatoid elements. Sarcomatoid hepatocellular carcinoma (SHC), a type of sarcomatoid carcinoma composed of malignant spindle cells, was included in the World Health Organization’s classification of liver tumors in 2010 (1). Previous reports of SHC have consisted mostly of single case reports, and have referred to SHC by various names, including spindle cell carcinoma (2), sarcomatoid carcinoma (3), pseudosarcoma (4), and carcinosarcoma (5).

Histologically, the most significant pathological feature of SHC is the presence of transitional zones between epithelial and mesenchymal cells; however, these zones are not always observed (6). Diagnosis of SHC is confirmed by spindle cell composition in hepatocellular carcinoma (HCC) (1,7), and no valuable tumor markers associated with SHC have been reported thus far.

In clinical settings, SHC has been found in 3.99.4% of HCC autopsies (8) and approximately 1.8% of surgically resected HCC specimens (2,9). The clinical manifestations of SHC are similar to those of conventional HCC (2,3,9-12), but the scant data available suggest that the prognosis is significantly poorer (9,12,13). Apart from radical resection, no effective treatment or prognosis prediction model for SHC has been established to date.

In the present study, we assessed the clinical features of SHC and examined some pathological markers of primary liver cancers to gain a better understanding of this rare tumor. We present the following article in accordance with the TRIPOD reporting checklist (available at https://atm.amegroups.com/article/view/10.21037/atm-21-4322/rc).

Methods
Patients
The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Ethics Committee of Zhongshan Hospital, Fudan University (No. B2021-774) and individual consent for this retrospective analysis was waived. From April 2007 to November 2016, 12,012 consecutive patients who underwent surgical resection were diagnosed with HCC after pathological examination in the Department of Liver Surgery, Zhongshan Hospital, Fudan University. A total of 69 patients with SHC were subsequently identified from this initial patient population. The cumulative incidence of SHC in patients with surgically resected HCC was 0.57%. We excluded 4 patients with a previous history of primary lung cancer or rectal cancer, and 2 patients were lost to follow-up. The medical records of the 63 remaining patients were retrospectively reviewed. To establish a nomogram that would serve as a quantitative tool to predict survival of patients with SHC, the patients were divided into a training set (n=47) and an independent internal validation set (n=16) at a ratio of 3:1. All patients were followed-up until June 2019. A flowchart of the patient selection process is shown in Figure 1.

Treatment
All patients underwent routine examinations prior to the surgical resection, including liver function tests, tumor marker tests, hepatitis serology, chest radiography, and abdominal computed tomography or magnetic resonance imaging scanning. The treatment strategy was similar to that of conventional HCC and involved a hepatectomy procedure that has been previously described in detail (14). After surgery, any patient who met the antiviral therapy criteria of the Asian Pacific Association for the Study of the Liver (15) received either lamivudine (100 mg) or entecavir (0.5 mg) daily.

Endpoints
The primary endpoint of the present study was overall survival (OS). The secondary endpoint was recurrence-free survival (RFS). OS was defined as the time from the date of surgery until the date of the last follow-up or death, regardless of the cause of death. RFS was defined as the time from the date of surgery to the date of the first documented disease recurrence by independent radiological or pathological assessment, or death by any cause, whichever occurred first (16).

Definition and diagnosis
The tumors were identified by imaging studies, and diagnoses for all patients were based on the pathological and immunohistochemical (IHC) staining results. SHC was diagnosed as conventional HCC with spindle cell foci (1), with the spindle cell component staining positive for keratin (1,7). Microvascular invasion was determined according to methods described in previous studies (17).
IHC staining

Immunostaining for biomarkers was performed on whole paraffin-embedded liver sections. The primary antibodies used are listed in Table S1. All immunostaining results were independently reviewed by 2 pathologists. If greater than 10% of the tumor cells showed cytoplasmic staining for heat-shock protein 70 (HSP70), glutamine synthetase (GS), or glypican-3 (GPC-3), the results were considered positive. Only those cases showing positive cytoplasmic staining in greater than 5% of tumor cells were considered positive for hepatocyte paraffin 1 (HepPar1), alpha-fetoprotein (AFP), cytokeratin 7 (CK7), or cytokeratin 19 (CK19). Positive immunostaining for Ki-67 was defined as the presence of nuclear staining. The expression level of tyrosine-protein kinase Met (c-Met) was evaluated by an H-score assessment of its staining intensity (0–3) and the percentage of positively stained cells (0–100%) (18). Each intensity level was multiplied by the percentage of positively stained cells, and all values were added to obtain the final IHC score (0 to 300). IHC scores over 150 were considered positive for c-Met staining. The final score was calculated from the assessment scores of the membrane and cytoplasmic expression levels (Figure 2A). The staining intensity of programmed death ligand-1 (PD-L1) expression was assessed in neoplastic cells (Figure 2B). The percentage of cells displaying unequivocal membranous staining was recorded, with tumors containing more than 1% of stained cells classified as positive (19).

Data collection and follow-up

The medical records of all patients were retrospectively reviewed. Patients were followed-up in our clinic every 23 months during the first year and once every 3–4 months thereafter. The results of the liver function tests, tumor marker analyses, and haematological parameters were examined. Computed tomography of the chest, abdomen, and pelvis was performed once every 6 months. If tumor recurrence to the liver was suspected, computed tomography, magnetic resonance imaging, or biopsy of the lesions was performed to exclude metastasis.

Statistical analysis

The baseline patient characteristics are reported as the median (range) or percentage, as appropriate. The best cut-off values for continuous variables were obtained using X-tile, version 3.6.1 (Yale University, New Haven, CT, USA). The Student’s t-test was employed to compare continuous variables. OS and RFS were examined using the Kaplan-Meier method with log-rank tests. A nomogram was formulated based on the results of the multivariate analysis using the rms package in R, version 3.6.3 (The R Foundation for Statistical Computing, Vienna, Austria). The final selection of the model was performed using a backward stepdown selection process with the Akaike information criterion. Bootstrap resampling of 1,000 samples was used for these activities. The larger the concordance index (C-index), the more accurate the prognostic prediction. During the validation of our nomogram, the total points for each patient in the validation set were calculated according to the established nomogram, and then a Cox regression of this set was performed using the total points as a factor. A P value less than 0.05 was considered statistically significant.

Figure 1 Patient selection flowchart. SHC, sarcomatoid hepatocellular carcinoma.
Figure 2 Typical pathology pictures. Consecutive sections were used for immunohistochemical study on expression of c-Met (A) and PD-L1 (B). Positive cells were stained brown. Magnification: ×200. PD-L1, programmed death ligand-1.

Results

Clinical characteristics

Clinical characteristics of patients were summarized in Table 1. The median age was 59 years (range, 35–78 years), and the sex ratio (male:female) was 2.3:1.0 (44:19). Most patients (68.3%, 43/63) were asymptomatic and had their tumors discovered during a routine medical examination. The hepatitis B surface antigen was detected in 71.4% (45/63) SHC with SHC; the corresponding statistic in our hospital was 76.8% (721/939) (14). The percentages of patients who were positive for serum AFP (>20 ng/mL), serum carcinoembryonic antigen (≥5 ng/mL), and serum carbohydrate antigen 19-9 (≥37 ng/mL) were 36.5% (23/63), 7.9% (5/63), and 19.0% (12/63), respectively. In contrast, AFP positivity has been found in 67.9% (679/1,000) of patients with conventional HCC (14). Extrahepatic spread was found in 11 patients (6 to lymph nodes, 2 to adrenal glands, 2 to the diaphragm, and 1 to the greater omentum). According to the Child-Pugh classification, 81.0% (51/63) of patients could be categorized as class A and 19.0% (12/63) of patients could be categorized as class B.

Histological and pathological findings

Some IHC markers relating to the diagnosis or prognosis of primary liver cancers were examined. IHC analyses showed that HepPar1 was positive in only 38.1% of patients (24/63) and AFP was positive in 11.1% of patients (7/63). GPC3, HSP70, and GS have been recommended as the most valuable diagnostic combination for HCC detection (20). GPC3, HSP70, and GS were positive in 41.3% (26/63), 82.5% (52/63), and 77.8% (49/63) of patients, respectively. The presence of at least two immunoreactive markers, which was used in early HCC diagnosis, was identified in 79.4% (50/63) of patients. Notably, the positivity of this panel indicated a better prognosis of SHC [hazard ratio (HR) =0.31, P<0.05]. The expression of CK7 and CK19 was classified as positive in 55.6% (35/63) and 57.1%
In addition, 34.9% (22/63) patients were CK7 and CK19 double-positive. C-Met is a therapeutically relevant target in HCC, with important roles in proliferation, motility, and invasion. Overall, 27.0% (17/63) of patients were positive for c-Met. High c-Met expression levels were associated with larger tumor size (P=0.05). PD-L1, an important immune checkpoint, was positive in 19.0% (12/63) of patients. Approximately 90.5% (57/63) of patients had a high level of Ki-67.

Outcomes and factors associated with patient survival

With a follow-up period lasting a median of 37.3 months (range, 1.4–55.6 months), the median OS and RFS in the 63 study patients were 23.2 and 8.4 months, respectively. The 1-, 2-, and 3-year OS rates were 59.0%, 45.4%, and 34.4%, respectively. The 1-, 2-, and 3-year RFS rates were 36.3%, 26.1%, and 22.4%, respectively. Thirty-day morbidity was negligible.
The results of the univariate and multivariate analyses of OS and RFS are listed in Tables 2, 3. In the OS univariate analysis of all patients, maximal tumor size, the presence of a tumor capsule, and the panel of GPC3, HSP70, and GS biomarkers were all associated with survival (all P<0.05). In the RFS univariate analysis, 5 risk factors, including the presence of a tumor capsule, maximal tumor size, Child-Pugh classification, c-Met expression level, and the GPC3, HSP70, and GS biomarkers were all associated with RFS (all P<0.05). Postoperative treatments, including transarterial chemoembolization (TACE) and chemotherapy, did not confer any survival advantage (all P>0.05). In the adjusted Cox proportional hazards model, an incomplete tumor capsule, a larger maximal tumor size, and the presence of 2 positive markers (out of GPC3, HSP70, and GS) were all associated with poorer OS and RFS (Tables 2, 3).

### Development and validation of the prognostic nomogram

The clinical characteristics of patients with SHC in the training and validation sets are summarized in Table 1. In the training set, the follow-up period lasted a median of 38.4 months (range, 1.4–55.6 months). The 1-, 2-, and 3-year OS rates were 56.5%, 45.1%, and 30.5%, respectively. OS univariate analysis of patients in the training set revealed that maximal tumor size, the presence of a tumor capsule, Child-Pugh classification, and a combination of the markers HSP70, GS, and GPC3 were all associated
| Variables                                | Univariate analysis |                      | Multivariate analysis |                      |
|------------------------------------------|---------------------|----------------------|-----------------------|----------------------|
|                                          | HR                  | 95% CI               | P                     | HR                  | 95% CI               | P                     |
| Sex of male                              | 0.729               | 0.384–1.386          | 0.335                 |                     |                      |                       |
| Age ≥60 years old                        | 1.089               | 0.613–1.936          | 0.772                 |                     |                      |                       |
| Tumor number ≥2                          | 1.456               | 0.843–2.515          | 0.178                 |                     |                      |                       |
| Maximal tumor size ≥5 cm                 | 1.801               | 1.201–2.703          | 0.004                 | 1.649               | 1.023–2.657          | 0.040                 |
| Extrahepatic metastasis                  | 1.805               | 0.864–3.772          | 0.116                 |                     |                      |                       |
| HBSAg positive                           | 1.188               | 0.635–2.222          | 0.589                 |                     |                      |                       |
| Two positive markers (out of HSP70, GS and GPC3) | 2.462               | 1.272–4.767          | 0.004                 | 2.791               | 1.064–7.319          | 0.037                 |
| Cirrhosis                                | 1.049               | 0.585–1.881          | 0.873                 |                     |                      |                       |
| Microvascular invasion                   | 1.013               | 0.571–1.798          | 0.965                 |                     |                      |                       |
| Incomplete tumor capsule                 | 2.597               | 1.282–5.263          | 0.008                 | 2.661               | 1.251–5.658          | 0.011                 |
| Child classification of B                | 2.180               | 1.097–4.332          | 0.026                 |                     |                      |                       |
| High c-Met expression                    | 2.006               | 1.018–3.954          | 0.044                 |                     |                      |                       |
| PD-L1 positive                           | 0.610               | 0.239–1.558          | 0.301                 |                     |                      |                       |
|AFP ≥20.0 ng/mL                           | 0.875               | 0.460–1.664          | 0.197                 |                     |                      |                       |
| Postoperative treatment                  | 1.296               | 0.722–2.324          | 0.385                 |                     |                      |                       |
| HepPar1 positive                         | 1.049               | 0.596–1.846          | 0.868                 |                     |                      |                       |
|AFP positive                              | 0.970               | 0.344–2.734          | 0.954                 |                     |                      |                       |
|CK7 positive                              | 1.011               | 0.550–1.858          | 0.972                 |                     |                      |                       |
|CK19 positive                             | 1.144               | 0.641–2.041          | 0.650                 |                     |                      |                       |
|Ki-67 positive                            | 1.469               | 0.452–4.776          | 0.522                 |                     |                      |                       |

HR, hazard ratio; CI, confidence interval; HBsAg, Hepatitis B surface antigen; HSP70, heat-shock protein 70; GS, glutamine synthetase; GPC3, glypican-3; PD-L1, programmed death ligand-1; AFP, alpha-fetoprotein; HepPar1, hepatocyte paraffin 1; CK, cytokeratin.

with an increased mortality rate (all P<0.05). The other characteristics listed in Table 4 were not considered significant predictors of OS. Factors with a P value less than 0.10 were included in the multivariate analysis, and maximal tumor size, the presence of a tumor capsule, and 2 positive immunoreactive markers (out of GPC3, HSP70, and GS) were independent risk factors for OS (Table 4).

A prognostic nomogram that integrated all significant independent factors for 1- and 2-year OS in the training set is shown in Figure 3. The C-index for OS prediction was 0.758 (95% CI: 0.672–0.843). A calibration plot for the probability of survival at 1 and 2 years after surgery showed an optimal agreement between the prediction by the nomogram and actual observations (Figure 4).

In the validation set, the median OS was 26.9 months (range, 1.4–55.3 months), and the postoperative 1-, 2-, and 3-year OS rates were 75.0%, 58.3%, and 33.3%, respectively. The C-index of the nomogram for predicting OS was 0.832 (95% CI: 0.712–0.952). A calibration curve showed good agreement between the prediction of survival by the nomogram and observations of the probability of 1-year survival (Figure 5).

Comparison of predictive accuracy between the nomogram and conventional staging systems

Despite similar clinical symptoms, the clinical and pathological characteristics of SHC differ from those of
HCC (13). Therefore, conventional staging systems may not be appropriate for SHC. The C-index of our nomogram was 0.758 (95% CI: 0.672–0.843), which was higher than that of other staging systems, including the Barcelona-Clinical Liver Cancer (BCLC) staging system (21), and the American Joint Committee on Cancer (AJCC), eighth edition (22). The C-indices of these staging systems were 0.591 (BCLC) and 0.587 (AJCC) in all patients. The C-index of our nomogram was significantly higher than that of conventional staging systems for HCC (all P<0.05). The addition of the panel of markers (GPC3, HSP70, and GS) improved the prognostic accuracy of the nomogram model.

### Discussion

The present study, which is the largest to date investigating pathological features of SHC, included 63 who were diagnosed with SHC between 2007 and 2016. The clinical symptoms of SHC in our study were similar to those of conventional HCC, as most cases (43/63) were asymptomatic and were found during routine examinations. However, the serum tumor markers and immunohistochemical markers differ significantly between SHC and conventional HCC. In conventional HCC, abnormal AFP (>20 ng/mL) levels were found in more than 70% of patients (23). In our study, only 36.5% of patients with SHC showed abnormal AFP levels. Similarly, in conventional HCC, HepPar1 and AFP both have a high positive rate, with a rate of 100% (50/50) for HepPar1 (20) and 56.8% (21/37) for AFP (21). In our study, the positive rates of HepPar1 and AFP were both very low. The combination of GPC3, HSP70, and GS has been used in

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**Table 4** Univariate and multivariate analysis for overall survival in the training cohort

| Variables                        | Univariate analysis | Multivariate analysis |
|----------------------------------|---------------------|-----------------------|
|                                  | HR                  | 95% CI                | P        | HR                  | 95% CI                | P        |
| Sex of male                      | 1.28                | 0.60–2.70             | 0.52     |                      |                      |          |
| Age ≥60 years old                | 1.09                | 0.55–2.16             | 0.81     |                      |                      |          |
| Tumor number ≥2                  | 1.01                | 0.90–1.14             | 0.85     |                      |                      |          |
| Maximal tumor size               | 2.21                | 1.14–4.19             | 0.00     | 2.30                | 1.37–3.88             | 0.00     |
| Extrahepatic metastasis          | 1.66                | 0.72–3.86             | 0.24     |                      |                      |          |
| HBsAg positive                   | 0.86                | 0.44–1.68             | 0.65     |                      |                      |          |
| Two positive markers (out of HSP70, GS and GPC3) | 3.22                | 1.54–6.74             | 0.00     | 4.11                | 1.80–9.37             | 0.00     |
| Cirrhosis                        | 0.80                | 0.39–1.65             | 0.54     |                      |                      |          |
| Microvascular invasion           | 1.82                | 0.91–3.62             | 0.53     |                      |                      |          |
| Incomplete tumor capsule         | 2.70                | 1.16–6.35             | 0.02     | 3.99                | 1.59–10.03            | 0.00     |
| Child classification of B        | 2.09                | 0.90–4.89             | 0.09     | 1.56                | 0.58–4.16             | 0.23     |
| High c-Met expression            | 1.39                | 0.61–3.13             | 0.43     |                      |                      |          |
| PD-L1 positive                   | 1.16                | 0.45–2.97             | 0.76     |                      |                      |          |
| AFP ≥20.0 ng/mL                  | 1.10                | 0.56–2.18             | 0.78     |                      |                      |          |
| HepPar1 positive                 | 0.82                | 0.41–1.63             | 0.57     |                      |                      |          |
| AFP positive                     | 0.43                | 0.10–1.82             | 0.25     |                      |                      |          |
| CK7 positive                     | 1.46                | 0.44–4.91             | 0.54     |                      |                      |          |
| CK19 positive                    | 0.70                | 0.34–1.45             | 0.34     |                      |                      |          |
| Ki-67 positive                   | 0.97                | 0.47–1.98             | 0.93     |                      |                      |          |

HR, hazard ratio; CI, confidence interval; HBsAg, Hepatitis B surface antigen; HSP70, heat-shock protein 70; GS, glutamine synthetase; GPC3, glypican-3; PD-L1, programmed death ligand-1; AFP, alpha-fetoprotein; HepPar1, hepatocyte paraffin 1; CK, cytokeratin.
the detection of early HCC with an overall accuracy of 78.4% (2 markers positive) with 100% specificity (20). In our study of SHC, the positive rate of this panel was 79.4% (50/63) in SHC, while the negativity of this panel indicated a poorer outcome. Positive staining for HSP70 or GPC3 has been reported to be an indicator of poor survival in HCC (24,25), but overall mortality is lower in GS-positive patients (26). In our study of SHC, positive staining of any 1 of these 3 markers was unrelated to survival time. These results suggest that SHC displays different pathological features to conventional HCC with poorly differentiated histology.

Our study found that the prognosis of SHC is significantly poorer than that of conventional HCC, which is consistent with findings from previous studies (3,10,11,13). In our hospital, the 3-year survival rate of conventional HCC was found to be 61.0% in our hospital (14), whereas it was only 28.2% for patients with SHC in the present study. Patients had a high rate of early tumor recurrence: more than half of the patients (37/63) had tumor recurrence or died within 1 year of surgical resection. The poor prognosis suggests that the efficacies of hepatic resection and postoperative treatments are very limited. Effective postoperative adjuvant treatments are essential to improving the outcomes of patients with SHC. However, no specific treatments for SHC are currently available. A recent study showed that patients with SHC who received adjuvant treatments after surgery had better outcomes than those who did not receive any postoperative treatment (11), but no details regarding treatment strategies were provided. In the present study, postoperative treatment, including TACE and chemotherapy, did not provide better patient outcomes (P>0.05).

Over the past few decades, research on cancer
therapeutics has mainly focused on targeted therapies and immunotherapies. c-Met was identified as a prognostic factor for HCC in 2015 (27), and it is still an active target for research in HCC. Previous studies have reported that selective c-Met inhibitors have antitumor activity in HCC and a favourable safety profile (28,29). More importantly, the activation of mesenchymal-epithelial transition (MET) in HCC indicates the resistance to sorafenib but not to cabozantinib, which has significant antitumor effects in HCC (30). In the present study, high c-Met expression levels were associated with larger tumor size ($P<0.05$), which suggests that c-Met activation results in tumor proliferation in SHC (31). High levels of c-Met indicated poorer RFS in the univariate analysis ($P<0.05$). Immune checkpoint inhibitors that target programmed cell death protein 1 (PD-1) have ushered in a new era of cancer therapies. Several studies have shown that high expression levels of PD-L1 are significantly correlated with unfavourable survival in patients with HCC (19,32,33). We found that while approximately 19.0% (12/63) of patients were positive for PD-L1, this finding was not associated with OS or RFS in these patients (all $P>0.05$).

The prognosis for patients with SHC differs from that of conventional HCC (13). Conventional staging systems may not be applicable to SHC. In this study, the C-indices of all staging systems for patients with SHC were low (all C-indices <0.70). Thus, we established a new prognostic model for SHC. Three variables were identified as critical for the prognostic score: maximal tumor size, the presence of a tumor capsule, and the panel of IHC markers (GPC3, HSP70, and GS). The presence of a tumor capsule has generally been ignored in prior predictions of survival but was tightly related to OS in SHC. The current study’s nomogram model offers superior prognostic performance for patients with SHC and may provide valuable information for outcome prediction in such patients.

Two limitations should be noted. The case numbers of SHC were very limited, and the referral bias could not be completely avoided.

In conclusion, SHC can be characterized as a rare subtype of HCC with different pathological features and extremely poor prognosis. A panel of markers, HSP 70, GS, and GPC3, can serve as an independent prognostic factor for SHC.

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Footnote

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at https://atm.amegroups.com/article/view/10.21037/atm-21-4322/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Ethics Committee of Zhongshan Hospital, Fudan University (No. B2021-774) and individual consent for this retrospective analysis was waived.

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