Quantum Fields as Category Algebras

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Abstract: In the present paper, we propose a new approach to quantum fields in terms of category algebras and states on categories. We define quantum fields and their states as category algebras and states on causal categories with partial involution structures. By utilizing category algebras and states on categories instead of simply considering categories, we can directly integrate relativity as a category theoretic structure and quantumness as a noncommutative probabilistic structure. Conceptual relationships with conventional approaches to quantum fields, including Algebraic Quantum Field Theory (AQFT) and Topological Quantum Field Theory (TQFT), are also be discussed.

Keywords: quantum field; category; category algebra; state on category

1. Introduction

Quantum fields are the most fundamental entities in modern physics. Intuitively, the notion of quantum field is the unification of relativity theory and quantum theory. However, the existence of a non-trivial interacting quantum field model defined on a four-dimensional Minkowski spacetime, which is a covariant with respect to the Poincaré group, has not yet been proven. In axiomatic approaches to the quantum field theory, there have been shown many fundamental theorems including no-go theorems such as Haag’s theorem [1,2], which implies that the “interaction picture exists only if there is no interaction” [3] through the clarification of the concept of the quantum field (see [3,4] and references therein). To put it roughly, we cannot go beyond the free fields if we remain at the axioms that we take for granted in conventional quantum field theories.

In this paper, we propose a new approach to quantum fields: The core idea is to investigate quantum fields in terms of category algebra, which is noncommutative, in general, over a rig (“ring without negatives”), i.e., an algebraic system equipped with addition and multiplication, in which the category and rig correspond to the “relativity” aspect and the “quantum” aspect of nature, respectively. By utilizing category algebra and states on categories instead of simply considering categories, we can directly integrate relativity as a category theoretic structure and quantum nature as a noncommutative probabilistic structure. The cases in which the rig is an algebra over \( \mathbb{C} \), the field of complex numbers become especially important for our approach to quantum fields. For other regions of physics, such as classical variational contexts, the tropical semiring (originally introduced in [5]), i.e., a rig with “min” and “plus” as addition and multiplication, will be useful. The author believes that it is quite interesting to see the quantum–classical correspondence from the unified viewpoint of the category algebras over rigs.

As is well known, the essence of the relativity is nothing but the structure of the possible relationships between possible events. If we assume the structure of the relationships between events, we can essentially reconstruct the relativity structure. More concretely, in [6], it is shown that two future-and-past-distinguishing Lorentzian manifolds are conformally equivalent if and only if the associated posets are isomorphic, where the poset consists of events and of the order relation defined by the existence of future-directed causal curves, based on [7]; what really matters are the causal relationships (for details, see [8] and reference therein). This viewpoint is quite essential and there is an interesting
order-theoretic approach to spacetime (for example, the “causal set” approach [9]). However, to investigate the off-shell nature of quantum fields, which seems to be essential in modeling interacting fields on the spacetime, we need to take not only causal relationships but also more general relationships between spacelike events into consideration. Then, the question arises: how should we generalize a framework of previous approaches?

The strategy we propose is to think categories, which are generalizations of both of ordered sets (causality structures) and groups (symmetry structures), “as” relativity in a generalized sense. More concretely, we identify the notion of causal category equipped with partial involution structure, introduced in Section 2, as the generalized relativity structure. To combine this relativity structure with quantum theory, which can be modeled by noncommutative rigs, especially effectively by noncommutative algebras over $\mathbb{C}$ as history has shown, we need noncommutative algebras that reflect the structures of categories. Category algebras are just such algebras. As categories are generalized groups, category algebras are generalized group algebras.

The above discussion intuitively explains why we use category algebras to model quantum fields. For simplicity, in this paper, we focus on a category algebra which satisfies a suitable finiteness condition. Importantly, the category algebras can be considered as generalized matrix algebras over $\mathbb{R}$ as well as generalized polynomial algebras [10], which provides a platform for concrete and flexible studies as well as calculations. The extension to larger algebras is, of course, of interest but the category algebras we focus on already have rich structures as covariance and local structures of subalgebras reflect the causal and partial involution structure of the category, as we will see in Section 3. By focusing on these structures, we can also see the conceptual relationship between our approach and the preceding approaches such as Algebraic Quantum Field Theory (AQFT) [4,11] and Topological Quantum Field Theory (TQFT) [12,13].

Identifying a quantum field to be a category algebra over a rig, the next problem, which is treated in Section 4, concerns how to define a state of it. In general, the notion of state on an $^{\ast}$-algebra over $\mathbb{C}$ is defined as positive normalized linear functional. We can naturally extend the notion in the context of algebras with involution over rigs [10] for details. We call the states on category algebras as states on categories. If the number of objects in the category is finite, states can be characterized by functions on arrows satisfying certain conditions [10], which is a generalization of the result in [14] for groupoids with finite numbers of objects. More generally, to define a state on a category whose support is contained in a subcategory with finite numbers of objects is equivalent to defining the corresponding function which assigns the weight to each arrow. By considering such states, we can see a quantum mechanical system as an aspect of the quantum field. This viewpoint will shed light on the foundation of quantum theory.

For the study of quantum fields, a localized notion of state, or a “local state” [15,16], is important. We can define the counterpart of the notion, originally studied in the AQFT approach, as the system of states on certain subalgebras of category algebras called local algebras, introduced in Section 3. These matters will be explained in Section 4 with more clarification of the conceptual relationship with AQFT and TQFT. The discussion in Section 4 will provide a new basis for generalizing the DHR (Doplicher–Haag–Roberts)–DR (Doplicher–Roberts) sector theory [17–23] as well as for developing Ojima’s micro–macro duality [24,25] and quadrality scheme [26] from the viewpoint of category algebras and states on categories.

In the last section, we will discuss the prospect of research directions based on our framework. In addition to the importance of mathematical research, such as taking topological or differential structures into account, there is the challenge of integrating various approaches to quantum fields and of conducting research on quantum foundations based on our framework. These are where new concepts such as quantum walks on categories will be useful. One of the most exciting problems is, of course, to construct a model of a non-trivial quantum field with interactions. The author hopes that the present paper will be a small, new step towards these big problems.
2. Structure of Dynamics as Category

In this section, the “relativistic structure” as the basic structure of dynamics, consisting of possible events and relations (or “processes”) between them, is formulated in terms of category theory.

2.1. Definition of Category

A category is a mathematical system composed of entities called objects and arrows (or morphisms) satisfying the following four conditions.

Condition 1. For any arrow \( f \), there exist an object called \( \text{dom}(f) \) and another object called \( \text{cod}(f) \), which are called the domain of \( f \) and the codomain of \( f \), respectively.

When \( \text{dom}(f) = X \) and \( \text{cod}(f) = Y \), we denote it as

\[ f : X \rightarrow Y \]

or

\[ X \xrightarrow{f} Y. \]

Arrows are also denoted in any direction, not only from left to right, as above.

Condition 2. For any pair of morphism \( f, g \) satisfying \( \text{dom}(g) = \text{cod}(f) \),

\[ Z \xleftarrow{g} Y \xleftarrow{f} X, \]

there exist an arrow \( g \circ f \)

\[ Z \xleftarrow{g \circ f} X \]

called the composition of \( f, g \).

For the composition of arrows, we assume the following conditions:

Condition 3 (associative law). For any triple \( f, g, h \) of arrows satisfying \( \text{dom}(h) = \text{cod}(g) \) and \( \text{dom}(g) = \text{cod}(f) \),

\[ (h \circ g) \circ f = h \circ (g \circ f) \]

holds.

Condition 4 (identity law). For any object \( X \), there exists an arrow called identity arrow \( 1_X : X \rightarrow X \). For any arrow \( f : X \rightarrow Y \)

\[ f \circ 1_X = f = 1_Y \circ f \]

holds.

By the correspondence from objects to their identity arrows, objects can be considered as special kinds of arrows by identifying each object \( X \) with its identity arrow \( 1_X \).

In sum, the definition of a category is as follows.

Definition 1 (category). A category is a system composed of two kinds of entities called objects and arrows, equipped with domain/codomain, composition, and identity, satisfying the associative law and the identity law.
In a category, we can define the “essential sameness” between objects via the notion of invertible arrows (isomorphism).

**Definition 2** (invertible arrow (isomorphism)). Let $C$ be a category. An arrow $f : X \rightarrow Y$ in $C$ is said to be invertible in $C$ if there exists some arrow $g : Y \rightarrow X$ such that

$$g \circ f = 1_X, \quad f \circ g = 1_Y.$$

An invertible arrow in $C$ is also called an isomorphism in $C$.

There are many categories whose collection of arrows is too large to be a set. In the present paper, we focus on small categories:

**Definition 3** (small category). A category $C$ is called small if the collection of arrows is a set.

Let us see the examples of small categories which are used in the present paper.

**Definition 4** (preorder). A pair $(P, \rightsquigarrow)$ of a set $P$ and a relation $\rightsquigarrow$ on $P$ satisfying $p \rightsquigarrow p$ for any $p \in P$ and

$$p \rightsquigarrow q \text{ and } q \rightsquigarrow r \implies p \rightsquigarrow r$$

for any $p, q, r \in P$ is called a preordered set. The relation $\rightsquigarrow$ on $P$ is called a preorder on $P$. The preordered set $(P, \rightsquigarrow)$ can be viewed as a category whose objects are elements of $P$ when we define the relation $p \rightsquigarrow q$ between $p, q$ as the unique arrow from $p$ to $q$. Conversely, we can define a preordered set as a small category such that for any pair of objects $p, q$, there exists at most one arrow from $p$ to $q$.

Note that the notion of preorder is a generalization of a partial order and an equivalence relation. As a special extreme case of the concept of preordered sets, we have the following.

**Definition 5** (indiscrete category and discrete category). An indiscrete category is a small category such that for any pair of objects $C, C'$, there exists exactly one morphism from $C$ to $C'$. A discrete category is a small category such that all arrows are identity arrows.

Note that an indiscrete category corresponds to a complete graph and that any set can be considered as a discrete category.

Additionally, the notion of group, which is essential in the study of symmetry, can also be defined as a small category as follows.

**Definition 6** (monoid and group). A small category with only one object is called a monoid. A monoid is called a group if all arrows are invertible.

To see the equivalence between the definition of the group as a category, define the arrows as the elements and the unique identity arrow (which can be identified with the unique object) as the identity element.

By definition, the concept of monoid is a generalization of that of a group, allowing for the existence of non-invertible arrows. The concept of groupoid is another generalization of that of a group:

**Definition 7** (groupoid). A small category is said to be a groupoid if all arrows are invertible.

As for the importance of groupoids in physics, see [14] and references therein, for example. From the mathematical point of view, the present paper is based on an extension of the previous work [14] on groupoid algebras over $\mathbb{C}$ into category algebras of an arbitrary (small) category over a (in general, noncommutative) rig $R$, i.e., “ring without negatives”
(algebraic system with addition and multiplication), which will be introduced in the next section. Even in the case of $R = C$, this extension physically means allowing for irreversible processes considering a category can be seen as a generalized groupoid allowing for invertible arrows in general. The involution structure of the category algebra is provided by the partial involution structure of the category, as we will see in the next section ($^\dagger$-category introduced later can be seen as a generalization of groupoid).

A functor is defined as a structure-preserving correspondence between two categories, as follows.

**Definition 8 (functor (covariant functor)).** Let $C$ and $C'$ be categories. A correspondence $F$ from $C$ to $C'$, which maps objects and arrows in $C$ to objects and arrows in $C'$, is said to be a covariant functor or simply a functor from $C$ to $C'$ if it satisfies the following conditions:

1. It maps $f : X \rightarrow Y$ in $C$ to $F(f) : F(X) \rightarrow F(Y)$ in $C'$.
2. $F(g \circ f) = F(g) \circ F(f)$ for any (compositable) pair of $f, g$ in $C$.
3. For each $X$ in $C$, $F(1_X) = 1_{F(X)}$.

**Definition 9 (contravariant functor).** Let $C$ and $C'$ be categories. A correspondence $F$ from $C$ to $C'$, which maps objects and arrows in $C$ to objects and arrows in $C'$ is said to be a contravariant functor from $C$ to $C'$ if it satisfies the following conditions:

1. It maps $f : X \rightarrow Y$ in $C$ to $F(f) : F(X) \leftarrow F(Y)$ in $C'$.
2. $F(g \circ f) = F(f) \circ F(g)$ for any (compositable) pair of $f, g$ in $C$.
3. For each $X$ in $C$, $F(1_X) = 1_{F(X)}$.

**Definition 10 (composition of functors).** Let $F$ be a functor from $C$ to $C'$ and $G$ be a functor from $C'$ to $C''$. The composition functor $G \circ F$ is a functor from $C$ to $C''$, defined as $(G \circ F)(c) = G(F(c))$ for any arrow $c$ in $C$.

**Definition 11 (identity functor).** Let $C$ be a category. A functor from $C$ to $C$, which maps any arrow to itself, is called the identity functor.

We can consider categories consisting of (certain kind of) categories as objects and (certain kind of) functors as arrows.

The concept of involution on the category is important throughout the present paper.

**Definition 12 (involution on category).** Let $C$ be a category. A covariant/contravariant endo-functor $(\cdot)^*$ from $C$ to $C$ is said to be a covariant/contravariant involution on $C$ when $(\cdot)^* \circ (\cdot)^*$ is equal to the identity functor on $C$. A category with contravariant involution, which is the identity on objects, is called a $^\dagger$-category.

We conclude this subsection by defining the concept of natural transformation and the related concepts. The concept of natural transformation can be seen as a generalization of the various concepts of transformations in mathematics and other sciences, including physics.

**Definition 13 (natural transformation).** Let $C, D$ be categories and $F, G$ be functors from a category $C$ to a category $D$. A correspondence $t$ is said to be a natural transformation from $F$ to $G$ if it satisfies the following conditions:

1. $t$ maps each object $X$ in $C$ to the corresponding arrow $t_X : F(X) \rightarrow G(X)$ in $D$.
2. For any $f : X \rightarrow Y$ in $C$,

$$t_Y \circ F(f) = G(f) \circ t_X.$$ 

The arrow $t_X$ is called the $X$ component of $t$. 

We conclude this subsection by defining the concept of natural transformation and the related concepts. The concept of natural transformation can be seen as a generalization of the various concepts of transformations in mathematics and other sciences, including physics.
Definition 14 (functor category). Let $\mathcal{C}$ and $\mathcal{C}'$ be categories. The functor category $\mathcal{C}^\mathcal{C}'$ is a category consisting of functors from $\mathcal{C}$ to $\mathcal{C}'$ as objects and natural transformations as arrows (domain, codomain, composition, and identity are defined in a natural way).

Definition 15 (natural equivalence). An isomorphism in a functor category, i.e., an invertible natural transformation, is said to be a natural equivalence.

Notation 1. In the rest of the present paper, categories are always supposed to be small. The set of all arrows in a category $\mathcal{C}$ is also denoted as $\mathcal{C}$. $|\mathcal{C}|$ denotes the set of all objects, which are identified with corresponding identity arrows in $\mathcal{C}$.

2.2. Relativistic Structure as Category

If we intuitively consider the spacetime degrees of freedom with the geometric notion of the “set” of possible events, it is natural to think that the structure of dynamics of quantum can be modeled with “category” as the total system structure of relationships.

Definition 16 (causal category). A category $\mathcal{C}$ equipped with a subcategory $\mathcal{C}^{\text{cau}}$ satisfying $|\mathcal{C}| = |\mathcal{C}^{\text{cau}}|$ is called a causal category. Arrows in $\mathcal{C}^{\text{cau}}$ are said to be causal.

Any category can be considered as a causal category by taking $\mathcal{C} = \mathcal{C}^{\text{cau}}$. Note that $|\mathcal{C}|$ is equipped with preorder $\leadsto$, defined as the existence of causal arrows between objects.

A typical example of causal categories is constructed as follows. For a spacetime (with inner degrees of freedom) $E$, usually modeled by a manifold and sometimes by a symmetric directed graph (as in the lattice gauge theory [27]), we can construct a category $\mathcal{C} = \mathcal{M}[E]$ whose objects and arrows are points and paths between them. More precisely, we consider $\mathcal{M}(E)$ as a subcategory of the “Moore path category” [28] of $E$, consisting of smooth paths in the manifold case and as the free category of $E$ in the discrete case. Then, we can define $\mathcal{C}^{\text{cau}}$ as the subcategory consisting of “causal paths”. For the manifold case, the notion of causal paths can be defined as the paths whose tangent vectors are all in the future light cone. For the graph case, a path (i.e., an arrow in the free category) $c$ is said to be causal if $c = c' \circ c''$ implies $\text{dom}(c') \leadsto \text{cod}(c')$ and $\text{dom}(c'') \leadsto \text{cod}(c'')$, where $\leadsto$ denotes a preorder previously defined on the set of vertices.

Definition 17 (relevant category). Let $\mathcal{C}$ be a causal category and $\mathcal{O}$ be a subset of $|\mathcal{C}|$. The subcategory of $\mathcal{C}$ generated by

arrows whose domain and codomain are in $\mathcal{O}$;
causal arrows whose domain is in $\mathcal{O}$ and whose codomain is in $|\mathcal{C}| \setminus \mathcal{O}$;
causal arrows whose codomain is in $\mathcal{O}$ and whose domain is in $|\mathcal{C}| \setminus \mathcal{O}$; and
identity arrows (identified with objects) in $|\mathcal{C}| \setminus \mathcal{O}$,

is called the relevant category for $\mathcal{O}$ and denoted as $\mathcal{O}^{\text{rel}}$.

By the definition of relevant categories, the following structure theorem holds.

Theorem 1 (structure theorem for relevant category). Let $\mathcal{C}$ be a causal category and $\mathcal{O}$ be a subset of $|\mathcal{C}|$. Any arrow in the relevant category $\mathcal{O}^{\text{red}}$ can be written in either of the following forms:

$$c, c^{\text{out}} \circ c, c \circ c^{\text{in}}, c^{\text{out}} \circ c \circ c^{\text{in}}, i,$$

where $c$ denotes an arrow whose domain and codomain is in $\mathcal{O}$; $c^{\text{out}}$ denotes a causal arrow whose domain is in $\mathcal{O}$ and whose codomain is in $|\mathcal{C}| \setminus \mathcal{O}$; $c^{\text{in}}$ denotes a causal arrow whose codomain is in $\mathcal{O}$ and whose domain is in $|\mathcal{C}| \setminus \mathcal{O}$; and $i$ denotes an identity arrow in $|\mathcal{C}| \setminus \mathcal{O}$.

The notion below is quite important to see the essence of the relativistic structure.
Definition 18 (spacelike separated). Let C be a causal category and O, O′ be a subset of |C|. O and O′ are said to be spacelike separated if there is no causal arrow between their objects.

By definition, two spacelike separated subsets are disjoint considering the identity arrows are causal. Moreover, we have the following directly from the structure theorem of the relevant category.

Theorem 2 (non-existence of non-trivial compositable pair). Let C be a causal category and O, O′ be a pair of spacelike separated subsets of |C|. There is no pair of arrows (c, c′) ∉ |C| × |C| satisfying c ∈ Orel, c′ ∈ (O′)rel and cod(c) = dom(c′).

For the application to quantum theory, the involution structure is important. From now on, we consider a causal category with partial involution structures as defined below.

Definition 19 (partial involution structure on category). Let C be a category. A partial involution structure on C is a subcategory C∼ equipped with an involution such that |C| = |C∼|.

Note that any category C has the trivial partial involution structure, since C is equipped with the involution structure |C|, defined as C† = C.

The notion is important because the category C∼ physically means the category consisting of “bidirectional” processes. Although this notion is a generalization of the core (i.e., the maximal groupoid in a category consisting of isomorphisms), it does not require the reversibility of the process in the meaning of invertible arrows as isomorphisms. The author believes that this generalization from groupoids to categories and from cores to partial involution structures is quite important for the application to physical phenomena, which include irreversibility.

Based on the partial involution structure, we define the notion of relevant category with involution.

Definition 20 (relevant category with involution). Let C be a causal category with the partial involution structure C∼. The maximal subcategory Orel∼ of C∼ closed under the involution is called the relevant category with involution on O.

The importance of the relevant categories with involution concerns the fact that we can naturally define algebras with involution from them. We will see the details of this in the next section.

3. Quantum Fields as Category Algebras

In the previous section, we introduced the notion of causal category equipped with partial involution structures as a generalized “relativity” structure. To combine this structure with the “quantum” structure, which can be modeled by noncommutative algebras, especially effectively by noncommutative algebras over C as history has shown, we need noncommutative algebras that reflect the structures of categories: category algebra is just the right concept.

3.1. Category Algebra

We introduce the notion of category algebra in this subsection, which is based on [10].

Definition 21 (rig). A rig R is a set with two binary operations called addition and multiplication, such that
1. R is a commutative monoid with respect to addition with the unit 0;
2. R is a monoid with respect to multiplication with the unit 1;
3. r′(r′′ + r) = r′r′′ + r′r, (r′′ + r′)r = r′r′ + r′r holds for any r, r′, r′′ ∈ R (distributive law); and
4. 0r = 0, r0 = 0 holds for any r ∈ R (absorption law).
Note that, in general, a rig can be noncommutative. The notion of center is important for noncommutative rigs.

**Definition 22** (center). A subrig $Z(R)$ of a rig $R$ defined as the set of elements, which are commutative with all the elements in $R$, is called the center of $R$.

A rig $R$ is commutative if and only if $Z(R) = R$.

Based on the notion of rigs, we define the notion of modules and algebras over rigs.

**Definition 23** (module over rig). A commutative monoid $M$ under addition with unit $0$ together with a left action of $R$ on $M$ $(r, m) \mapsto rm$ is called a left module over $R$ if the action satisfies the following conditions:

1. $r(m' + m) = rm' + rm$, $(r' + r)m = r'm + rm$ for any $m, m' \in M$ and $r, r' \in R$; and
2. $0m = 0$, $r0 = 0$ for any $m \in M$ and $r \in R$.

Dually, we can define the notion of right module over $R$.

Let $M$ be the left and right module over $R$. $M$ is called an $R$-bimodule if

$$ r'(mr) = (r'm)r $$

holds for any $r, r' \in R$ and $m \in M$. The left/right action above is called the scalar multiplication.

**Definition 24** (algebra over rig). A bimodule $A$ over $R$ is called an algebra over $R$ if it is also a rig with respect to its own multiplication, which is compatible with scalar multiplication, i.e.,

$$(r'a')(ar) = r'(a'a)r, (a'r)a = a'(ra)$$

for any $a, a' \in A$ and $r, r' \in R$.

We define the principal notion of the present paper:

**Definition 25** (category algebra). Let $C$ be a category and $R$ be a rig. An $R$-valued function $\alpha$ defined on $C$ is said to be of finite propagation if for any object $C$ there are, at most, a finite number of arrows whose codomain or domain is $C$. The module over $R$ consisting of all $R$-valued functions of finite propagation together with the multiplication defined by

$$ (a'a)(c'') = \sum_{\{(c', c)|c'' = c' \in C\}} a'(c')a(c), c, c', c'' \in C $$

becomes an algebra over $R$ with unit $\epsilon$. This is defined by

$$ \epsilon(c) = \begin{cases} 1 & (c \in \mathcal{C}) \\ 0 & (\text{otherwise}) \end{cases} $$

and is called the category algebra of finite propagation, which is denoted as $R[C]$. In the present paper, we simply call $R[C]$ the category algebra of $C$.

The multiplication defined above is nothing but the “convolution” operation on the category $C$. $R[C]$ coincides with the algebra studied in [29] if $R$ is a ring. In [10], it is denoted as $R_0[C]$ to distinguish them from other kinds of category algebras.

A functor from one category to another induces a homomorphism between the corresponding category algebras if the functor is bijective on objects. If the bijective-on-objects functor is also injective on arrows, the induced morphism becomes injective. Hence, the category algebra $R[C^\circ]$ of a subcategory $C^\circ$ of a category $C$ becomes a subalgebra of $R[C]$.
Definition 26 (indeterminate). Let $R[C]$ be a category algebra and $c \in C$. The function $\iota^c \in R[C]$ defined as

$$\iota^c(c') = \begin{cases} 1 & (c' = c) \\ 0 & \text{(otherwise)} \end{cases}$$

is called the indeterminate corresponding to $c$.

In the previous work [10], we denoted the indeterminate $\iota^c$ as $\chi^c$. We change the notation to avoid confusion with “character” in representation theory.

For indeterminates, it is easy to obtain the following.

Theorem 3 (calculus of indeterminates). Let $c, c' \in C$, $\iota^c, \iota^{c'}$ be the corresponding indeterminates and $r \in R$. Then,

$$\iota^{c'} \iota^c = \begin{cases} \iota^{c' \circ c} & (\text{dom}(c') = \text{cod}(c)) \\
0 & \text{(otherwise)} \end{cases},$$

$$r \iota^c = \iota^c r.$$ 

In short, a category algebra $R[C]$ is an algebra of functions on $C$, equipped with the multiplication which reflects the compositionality structure of $C$. By the identification of $c \in C \mapsto \iota^c \in R[C]$, categories are included in category algebras.

A category algebra can be considered as a generalized matrix algebra. In fact, matrix algebras are isomorphic to category algebras of indiscrete categories. For the basic notions and rules for matrix-like calculations in category algebras, see [10].

For the main application of the present paper, we need the involution structure on algebras.

Definition 27 (involution on rig). Let $R$ be a rig. An operation $(\cdot)^*$ on $R$ preserving addition and covariant (resp. contravariant) with respect to multiplication is said to be a covariant (resp. contravariant) involution on $R$ when $(\cdot)^* \circ (\cdot)^*$ is equal to the identity function on $R$. A rig with contravariant involution is called a $^*$-rig.

Definition 28 (involution on algebra). Let $A$ be an algebra over a rig $R$ with a covariant (resp. contravariant) involution $(\cdot)^*$. A covariant (resp. contravariant) involution $(\cdot)^*$ on $A$ as a rig is said to be a covariant (resp. contravariant) involution on $A$ as an algebra over $R$ if it is compatible with scalar multiplication, i.e.,

$$(r'ar)^* = \overline{r} a^* \overline{r} \quad \text{(covariant case)}, \quad (r'ar)^* = \overline{r} a^* \overline{r} \quad \text{(contravariant case)}.$$ 

An algebra $A$ over a $^*$-rig $R$ with contravariant involution is called a $^*$-algebra over $R$.

Theorem 4 (category algebra as algebra with involution). Let $C$ be a category with a covariant (resp. contravariant) involution $(\cdot)^\dagger$ and $R$ be a rig with a covariant (resp. contravariant) involution $(\cdot)^\dagger$. Then, the category algebra $R[C]$ becomes an algebra with covariant involution (resp. $^*$-algebra) over $R$.

3.2. Quantum Fields as Category Algebras

In this section, we will show that category algebras provide appropriate models for quantum fields. As already mentioned in the introduction, a quantum field is intuitively a synthesis of relativistic and quantum structures. In the previous section, we argued that the relativistic structure as the basic structure of possible dynamics can be understood from a general point of view by the causal category. The next problem is to construct a noncommutative algebra which is consistent with relativistic covariance as well as with causality. The category algebra $R[C]$, where $C$ is a causal category equipped with partial involution structure, is just such an algebra.
Note that by generalizing groupoid algebras to category algebras, we can naturally incorporate processes that are not necessarily reversible. If we focus on the core of the category, i.e., the subcategory consisting of all invertible arrows, we have the corresponding groupoid algebra, which is a subalgebra of $R[C]$.

**Definition 29 (quantum field).** Let $C$ be a causal category with partial involution structure $C^\sim$ and $R$ be a rig with involution. The category algebra $R[C]$ is called the quantum field on $C$ over $R$.

For quantum physics, the cases in which $R$ is some $^*$-algebra over $\mathbb{C}$ are important. The category $C$ is considered as “spacetime with inner degrees of freedom of the field”. Note that a quantum field on a causal category $C$ over a rig $R$ might be isomorphic to or embedded into another quantum field on another causal category $\bar{C}$ over another $R$. Hence, even if we focus on the case that $R = \mathbb{C}$, we might cover many kinds of quantum fields. Nevertheless, we maintain letting $R$ be a general rig $R$ with involution when we can in the present paper for future applications.

Let us see how a quantum field, as a category algebra, incorporates the relativistic covariance structure. To begin, let us assume that a group $G$ (say, the Poincaré group) acts on $|C|$ and there is a map $u^{(\cdot)}$ sending a pair $(g, C) \in G \times C$ to the arrow $u^{(g,C)}: C \rightarrow gC$ in $C$, satisfying $u^{(g',gC)} = u^{(g',g')} \circ u^{(g,C)}$ and $u^{(e,C)} = C$, where $e$ denotes the unit of $G$ and $C$ denotes the identity arrow on $C$ in the last equation. Note that each $u^{(g,C)}$ is an invertible arrow. Then, we can define the endfunctor $\overline{u^g}: C \rightarrow C$ by

$$\overline{u^g}(c) = u^{(g,\text{cod}(c))} \circ c \circ (u^{(g,\text{dom}(c))})^{-1}$$

which becomes invertible and induces the corresponding isomorphism on the category algebra $R[C]$.

In general, given a natural equivalence $u$ from the identity functor $C$ to an invertible functor $\tilde{u}$ from $C$ to $\mathbb{C}$, we can define an invertible element $\iota^u \in R[C]$ as

$$\iota^u(c) = \begin{cases} 1 & (c \text{ is a component of } u) \\ 0 & (\text{otherwise}) \end{cases}$$

and isomorphism $\overline{\iota^u}$ on $R[C]$ as

$$\overline{\iota^u}(\alpha) = \iota^u(\alpha)^{-1}.$$
satisfying $a(C) = 0$ for any $C \in |C| \setminus O$, and $\delta$ denotes an element in $R[O^{rel}] \cap Z(R[C])$, becomes an algebra with involution over $Z(R)$, and is called the local algebra with involution on $O$.

The family of local algebras with involution $\{R^{loc}[O]\}$, especially when $R$ is a $*$-algebra over $C$, is the counterpart of $\{A(O)\}$ in AQFT [4], where $A(O)$ denotes the observable algebra defined on the bounded region $O$ in the spacetime. So far, our framework does not focus on the topological aspect of algebras but the conceptual correspondence between our framework and AQFT is remarkable, as we will see below.

Note that our “local” algebras in general contain a certain kind of information of the “outside” of the regions. Nevertheless, they contain no information of the local algebras corresponding to spacelike separated regions. From the structure theorem of the relevant category and the definition of local algebras, we have the following concepts.

**Theorem 5** (commutativity of spacelike separated local algebras). Local algebras $R^{loc}[O]$ and $R^{loc}[O']$ are commutative with each other if the regions $O$ and $O'$ are spacelike separated from each other.

As a corollary, we have the following.

**Theorem 6** (commutativity of spacelike separated local algebras with involution). Local algebras $R^{loc~}[O]$ and $R^{loc~}[O']$ with involution are commutative with each other if the regions $O$ and $O'$ are spacelike separated from each other.

The theorem above is the conceptual counterpart of one of the axioms called the “Einstein causality” (“Axiom E” in [4]).

### 3.3. Remarks on the Comparison to TQFT

Our category algebraic framework of quantum field theory can also be compared to the conceptual ideas in other axiomatic approaches to quantum fields, such as Topological Quantum Field Theory (TQFT) [12,13]. In the axiomatization of TQFT, a quantum field theory is considered as a certain functor from the category of $n$-cobordism $nCob$ into the category $Mod(R)$ of modules over some unital commutative ring $R$ (the typical case is $R = \mathbb{C}$ and $Mod(R) = Vect$, where $Vect$ denotes the category of vector spaces over $\mathbb{C}$).

We can construct such a functor in a generalized setting based on our framework. Let $C$ be an object in a $\triangledown$-category $\mathcal{C}$ and $R$ be a rig. We define the submodule $\mathcal{C}R[C]$ of $R[C]$, consisting of elements whose support is included in the set of arrows whose codomain is $C$. Then, we can define a functor $\triangledown(R : \mathcal{C} \rightarrow Mod(R))$ by $\triangledown(C) = \triangledown(R)$, the multiplication of $\triangledown$, i.e., a module homomorphism sending each $a \in \text{dom}(C)R[C]$ to $\triangledown(a) \in \text{cod}(C)R[C]$, for any $C \in \mathcal{C}$.

Since $nCob$ is a $\triangledown$-category, the above construction works and we obtain a canonical functor from $nCob$ to $Mod(R)$. Although this functor does not satisfy all the technical parts of the axioms proposed in TQFT, it is coherent with the physical ideas of relativistic covariance and quantum properties behind the axioms. This coherence will become more clear after introducing the notion of states on categories in the next section.

### 4. States of Quantum Fields as States on Categories

#### 4.1. State on Category

While an algebra embodies the intrinsic structure of a system, a state embodies the interface between that system and its environment. This view, which has been advocated by Ojima [30], is consistent with the mathematical framework of algebraic quantum field theory and quantum probability theory: states provide concrete representations of an algebra.

In general, a representation refers to an expression of intrinsic structures in a certain way, which corresponds to the concrete realization of the intrinsic properties of a system in the way it interacts with its environment. To be more specific, a state is a mapping
which sends elements of an algebra to scalar values as “expectation values”. In short, states define the statistical laws, which generalize the notion of probability measures to the noncommutative context. Conversely, if the algebra is a unital commutative $C^*$-algebra, we have the Radon measure on a compact Hausdorff space by the Riesz–Markov–Kakutani theorem [31]. In other words, a pair of an algebra and state on it is a generalized probability space: a noncommutative probability space.

As for the category algebras that reflect the structure of the possible dynamics, defining a state on it means evaluating arrows corresponding to the individual processes with expectation values. Conversely, for a category with a finite number of objects, the weighting of the arrows gives a state. Based on this fact, we call a state on a category algebra, a state on category by abuse of terminology.

The rest of this subsection is based on [10].

**Definition 32** (linear functional). Let $A$ be an algebra over a rig $R$. An $R$-valued linear function on $A$, i.e., a function preserving addition and scalar multiplication, is called a linear functional on $A$. A linear functional on $A$ is said to be unital if $\phi(e) = 1$, where $e$ and $1$ denote the multiplicative units in $A$ and $R$, respectively.

**Definition 33** (positivity). A pair of rigs with involution $(R, R_+)$ is called a positivity structure on $R$ if $R_+$ is a subrig with involution such that $r, s \in R_+$ and $r + s = 0$ imply $r = s = 0$, and that $a^*a \in R_+$ for any $a \in R$.

**Definition 34** (state). Let $R$ be a rig with involution and $(R, R_+)$ be a positivity structure on $R$. A state $\phi$ on an algebra $A$ with involution over $R$ with respect to $(R, R_+)$ is a unital linear functional $\phi : A \rightarrow R$, which satisfies $\phi(a^*a) \in R_+$ and $\phi(a^*) = \overline{\phi(a)}$ for any $a \in R$, where $(\cdot)^*$ and $(\cdot)$ denote the involutions on $A$ and $R$, respectively (the last condition $\phi(a^*a) = \phi(a)$ follows from other conditions if $R = \mathbb{C}$).

**Definition 35** (noncommutative probability space). A pair $(A, \phi)$ consisting of an algebra $A$ with involution over a rig $R$ with involution and a state $\phi$ is called a noncommutative probability space.

**Definition 36** (state on category). Let $R$ be a rig with involution and $(R, R_+)$ be a positivity structure on $R$. A state on the category algebra $R[C]$ over $R$ with respect to $(R, R_+)$ is said to be a state on a category $C$ with respect to $(R, R_+)$.

Given a state $\phi$ on a category $C$ with involution, we have a function $\hat{\phi} : C \rightarrow R$ defined as $\hat{\phi}(c) = \phi(c^*)$. For the category with a finite number of objects, we can obtain the following theorem [10], which is a generalization of the result in [14] for groupoids.

**Theorem 7** (state and normalized positive semidefinite function). Let $C$ be a category such that $|C|$ is finite. Then, there is a one-to-one correspondence between states $\phi$ with respect to $(R, R_+)$ and normalized positive semidefinite $Z(R)$-valued functions $\hat{\phi}$ with respect to $(R, R_+)$, i.e., normalized functions such that

$$\sum_{\{(c', c) \mid \text{dom}(c') = \text{cod}(c)\}} \overline{\xi(c')} \phi((c')^* \circ c) \xi(c)$$

is in $R_+$ for any $R$-valued function $\xi$ on $C$ with finite support and that $\phi(c^+) = \overline{\phi(c)}$, where $(\cdot)^*$ and $(\cdot)$ denote the involutions on $C$ and $R$, respectively.

Conceptually, the theorem above means that states on a category with involution (with finite objects) are nothing but the weights on arrows, which are generalizations of probability distributions on a (finite) set as the discrete category (with finite objects). More generally, we can say that to define a state on a category whose support is contained in
a subcategory with finite numbers of objects is equivalent to defining the corresponding function which assigns the weight to each arrow.

For a state on a category whose support is not contained in a subcategory with finite number of objects, we will need some topological structures (or coarse geometric structures [32]). Nonstandard-analytical methods (see [33], for example) will provide useful tools.

4.2. States of Quantum Fields as States on Categories

As we see quantum fields as category algebras, it is quite natural to model physical states of quantum fields as states on category algebras.

**Definition 37** (state of quantum field). Let \( C \) be a causal category with partial involution structure \( C^\sim \) and \((R, R_+)\) be a positivity structure on a rig \( R \) with involution. A unital linear functional on \( C \), which is also a state on \( C^\sim \) with respect to \((R, R_+)\), whose image is contained in a subrig \( R' \) with involution of \( R \), is said to be an \( R' \)-valued state of the quantum field on \( C \) over \( R \) with respect to \((R, R_+)\).

In conventional cases, \( R \) is supposed to be a \( * \)-algebra over \( \mathbb{C} \) and \( R' = \mathbb{C} \). The phrase “with respect to \((R, R_+)\)” will be omitted if it is clear in the context. In general, given a state \( \phi \) on \( * \)-algebra \( A \) over a \( * \)-rig \( R \) with involution, we can construct the representation of the algebra into the algebra consisting of endomorphism on a certain module (generalized GNS representation [10]). In particular, when \( R \) is a \( * \)-rig over \( \mathbb{C} \) and \( \phi \) is \( \mathbb{C} \)-valued, we have a representation called the GNS (Gelfand–Naimar–Segal) representation [34,35] into a pre-Hilbert space consisting of the equivalence class of the elements in \( A \), equipped with the inner product structure induced by the sesquilinear form \( \langle a', a \rangle = \phi((a')^* a) \) (\( a, a' \in A \)). The unit of the algebra plays a role of a “vacuum” vector (see [10] and reference therein, for example).

In sum, a noncommutative probability space, i.e., a pair \((A, \phi)\) of a \( * \)-algebra over \( \mathbb{C} \) and a \( \mathbb{C} \)-valued state on it, is sufficient to reconstruct the ingredients in conventional quantum physics based on the Hilbert spaces. In fact, the approach based on the noncommutative probability space is more general than the conventional approach: if we focus onto the local structures of quantum fields, it is known that we cannot use one a priori Hilbert space as a starting point of the theory (actually, this fact itself was one of the historical motivations of AQFT, the pioneer of noncommutative probabilistic approach; see [4], for example).

Our category algebraic approach is a new unification of the noncommutative probabilistic approach and the category theoretic viewpoint. As we observed in the previous section, for \( * \)-category (or in general, categories with involution), its category algebra is a \( * \)-algebra (or in general, an algebra with involution). Note that our algebra is unital, even if \( C \) has infinitely many objects. Then, the construction above holds and we can see the unit \( \epsilon \) as a “vacuum” in our theory.

The concept of states on categories also shed light on the foundation of quantum mechanics as a part of the quantum field theory. From our viewpoint, a quantum mechanical system of finite degrees of freedom can be defined as a noncommutative probability space whose algebra is a subalgebra of a category algebra on a causal category with partial involution and whose state satisfies the condition that the support is contained in a subcategory with finite numbers of objects.

In general, quantum fields as category algebras together with states “contain” vast numbers of quantum mechanical systems, or, more precisely, considering a state whose support is contained in some subcategory with finite numbers of objects, is focusing on a quantum mechanical system as an aspect of the quantum field. Note that quantum mechanical systems in the above meaning are not necessarily contained in a single point but can have spatial degrees of freedom, e.g., a system in the double slit experiment, in which the support of the state can be considered to be contained in a subcategory with finite objects. Understanding the situation in which multiple observers are involved in a
single quantum field—such as the EPR (Einstein–Podolsky–Rosen) situation [36]—through the concepts of local algebra and local states also seems to be an important research topic.

The idea at the heart of the above discussions is that we are free to think of “localized states” (not just “global” states such as vacuum states). The concept corresponding to these kinds of states is particularly important in the context of AQFT and is called “local states” [15,16]. We can define the local states in our framework, which is a conceptual counterpart of the local states in AQFR, as follows.

**Definition 38** (local state). Let $\mathcal{C}$ be a causal category equipped with partial involution structure $\mathcal{C}^\sim$ and $R$ be a rig with involution. A state on $R[\mathcal{C}^\sim(\mathcal{O})]$ for a subset $\mathcal{O}$ of $|\mathcal{C}|$ is called a local state of the quantum field $R[\mathcal{C}]$ on $\mathcal{O}$.

From a physical point of view, the notion of local state is quite natural. A macroscopic setting of the environment for the quantum field basically concerns only a bounded space-time domain and the global state should be seen as an idealization of it. Considering a family of local states, instead of a single state, can be seen as a sheaf theoretic extension of the conventional quantum field theory. The extension will lead to the notion of consistent families of Hilbert spaces and operators on them through the GNS construction, which will be mathematically interesting.

By translating the previous study of [15] into our context, we will be able to construct the generalized sector theory, which is the generalization of DHR (Doplicher–Haag–Roberts)–DR (Doplicher–Roberts) Theory [17–23], as well as develop Ojima’s micro–macro duality [24,25] and quadrality scheme [26] from the viewpoint of category algebras and states on categories.

### 4.3. Remarks on the Comparison to TQFT (Continued)

In Section 3, we constructed for any $\mathbb{C}$-category $\mathcal{C}$ a functor $\mathcal{C} \to \text{Mod}(R)$ by $\mathcal{C}(\cdot) \to \mathcal{C}(\cdot')$ for $c \in \mathcal{C}$. For quantum physical studies, we need to induce a functor into $\text{Hilb}$, which is a category of Hilbert spaces over $\mathbb{C}$. Let us explain the role of states in this induction.

Given any state $\varphi$ on the $\mathbb{C}$-category $\mathcal{C}$, $\mathcal{C}[\mathbb{C}] := \mathcal{C}[\mathbb{C}]$ for each object $C \in \mathcal{C}$ can be equipped with the “almost inner product” (semi-Hilbert space structure) by defining the sesquilinear form $\langle \cdot | \cdot \rangle$ by $\langle \alpha' | \alpha \rangle := \varphi(\alpha' \alpha)$ (generalized GNS construction, see [10] and references therein). When $R$ is a $\mathbb{C}$-algebra over $\mathcal{C}$ and $\varphi$ is a $\mathbb{C}$-valued “good” state $\varphi$ on the category given, this functor induces a functor into $\text{Hilb}$. More precisely, suppose that $R$ is a $\mathbb{C}$-algebra over $\mathcal{C}$ and the $\mathbb{C}$-valued state $\varphi$ satisfies the condition $\varphi(\alpha' \alpha) \geq \varphi((\alpha' \alpha)(\alpha' \alpha))$

for any $\alpha \in R[\mathcal{C}]$ and $c \in \mathcal{C}$. Then, the functor $\mathcal{C} \to \text{Mod}(R)$ induces the functor $\mathcal{C}(\cdot) \to \text{preHilb}$, where $\text{preHilb}$ denotes the category of the pre-Hilbert spaces, taking the quotient of $\mathcal{C}[\mathbb{C}]$ equipped with $\langle \cdot | \cdot \rangle$ by $N = \{ \alpha \in \mathcal{C}[\mathbb{C}] \varphi(\alpha' \alpha) = 0 \}$, which can be shown as a submodule of $\mathcal{C}[\mathbb{C}]$ (note that by the assumption $\varphi(\alpha' \alpha) = 0 \implies \varphi((\alpha' \alpha)(\alpha' \alpha)) = 0$ holds; this kind of construction itself has a certain generalization to a more general $R$ by using this condition directly). Then, by the assumption of $\varphi$, $(\cdot) \mathcal{C} \to \text{Hilb}$ extends uniquely to the morphism in $\text{Hilb}$ by completion and we have the corresponding functor from $\mathcal{C}$ to $\text{Hilb}$, which sends $c$ to the unique bounded extension of $(\cdot) \mathcal{C}$ (note that a bounded operator between pre-Hilbert spaces extends to the unique bounded operator between Hilbert spaces). By applying this construction for $\mathcal{C} = \mathbb{nCob}$, we have a version of TQFT. Note that our framework is naturally incorporated with the causal structure and it is quite interesting to the counterpart of the structure in TQFT.

### 5. Prospects

As we have seen, our new approach to quantum fields is conceptually related to conventional approaches such as AQFT and TQFT. Elucidating this relationship at a deeper
level will be important in the study of quantum fields. In order to carry out such research, we will need to include more detailed structures such as topological or differential structures in addition to the algebraic and noncommutative probabilistic structures that we have discussed in this paper.

Additionally, it should be emphasized that our approach is directly applicable to the lattice gauge theory [27] and other discrete spacetime approaches, as can be seen from the fact that our approach works on general categories. Its applicability extends to the context of unifying general relativity and quantum theory.

Needless to say, the relationship with categorical approaches to quantum theory, such as “categorical quantum mechanics” [37,38] based on the $\dagger$-category, should also be explored. The categorical structure of the submodules of the category algebra, as a generalized matrix algebra and regarding the computations based on it, will play an important role. It is also interesting to clarify the relationship between our framework and the approach in a recently published article [8], which also investigates the AQFT and Quantum Cellular Automata (QCA) approach [39,40] from a general categorical viewpoint.

The notion of quantum walk (see [41,42] and references therein, for example), which is closely related to the QCA approach, can also be formulated from our standpoint. Based on our framework, we can model a concrete dynamics of quantum fields as a sequence or flow of the states on a category. In general, the dynamics can be irreversible. The typical examples of reversible dynamics are called quantum walks. The notion of quantum walks on general $\dagger$-algebras and quantum walks on $\dagger$-categories can be defined as follows:

**Definition 39 (quantum walk).** Let $A$ be a $\ast$-algebra. A sequence of states given by

$$\varphi^t(\alpha) = \varphi((\omega^\ast)^t\alpha\omega^t) \quad t = 0, 1, 2, 3, ...$$

generated by a unitary element $\omega \in R[C]$, i.e., an element satisfying $\omega^\ast\omega = \omega\omega^\ast = \epsilon$, is called a quantum walk on $A$.

**Definition 40 (quantum walk on $\dagger$-category).** Let $C$ be a $\dagger$-category and $R$ be a $\ast$-rig. A quantum walk on $R[C]$ is said to be a quantum walk on a $\dagger$-category $C$.

A quantum walk can be considered as a sequence of “state vectors” through the GNS construction. The notion of quantum walk defined on $\dagger$-category includes the various concrete dynamical models under the name of quantum walks. For example, this includes quantum walks on simple directed graphs as a certain sequence of states on an indiscrete category. The category algebraic approach will play a fundamental role for the quantum walks on graphs with multiple edges and loops. Quantum walks on graphs have been used in the modeling of the “dressed photon” [43], which cannot be understood without focusing on the off-shell nature of quantum fields [44], i.e., the aspect of quantum fields which cannot be described as the collection of the modes satisfying the on-shell conditions, and quantum walks on categories may become important in quantum field theory in general. They will also connect the QCA approach to quantum fields and other approaches to quantum fields.

One of the most exciting problems is, of course, to construct a model of a non-trivial quantum field with interactions. We believe we can approach such problems. In particular, it seems that the fact that relevant categories have arrows that go through objects in very distant regions, while the local algebras defined on them satisfy commutativity, may be the key to avoiding various no-go theorems. Note also that our approach extends the coefficients to general (commutative or noncommutative) rigs, which greatly expands the possibilities of investigating interactions. Finally, it should be emphasized that our approach is not limited to quantum fields but can be extended to give a very general noncommutative statistical models with causal structures. The author hopes that the present paper will be a small, new step towards these big problems.
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