The frustrated Heisenberg antiferromagnet on the honeycomb lattice: 
A candidate for deconfined quantum criticality

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We study the ground-state (gs) phase diagram of the frustrated spin-$\frac{1}{2}$ \( J_1-J_2-J_3 \) antiferromagnet with \( J_2 = J_3 = \kappa J_1 \) on the honeycomb lattice, using coupled-cluster theory and exact diagonalization

Frustrated quantum Heisenberg magnets are paradigms of those systems that may be used to study quantum phase transitions (QPTs) between quasiclassically magnetically ordered phases and magnetically disordered quantum phases. In particular, if the quasiclassical phase and the quantum phase spontaneously break different symmetries, the Landau-Ginzburg-Wilson scenario of continuous phase transitions does not hold and the concept of deconfined quantum criticality 1 becomes relevant. A canonical model for studying such QPTs is the spin-$\frac{1}{2}$ Heisenberg antiferromagnet (HAFM) with antiferromagnetic (AFM) nearest-neighbor (nn) \( J_1 \) coupling and frustrating AFM next-nearest-neighbor (nnn) \( J_2 \) coupling (namely the \( J_1-J_2 \) model) on the square lattice (see, e.g., Refs. 2 3). It is now widely acknowledged that this model undergoes a transition from a quasiclassical Néel state at \( J_2/J_1 \lesssim 0.4 \) to a quantum paramagnetic (QP) phase, which is not magnetically ordered, for \( 0.4 \lesssim J_2/J_1 \lesssim 0.6 \), and thence to a collinear striped phase for \( J_2/J_1 \gtrsim 0.6 \). The synthesis of layered magnetic materials that might be described by the square-lattice \( J_1-J_2 \) model 3 2 has also encouraged further theoretical studies of this model. Although the square-lattice spin-$\frac{1}{2}$ \( J_1-J_2 \) HAFM has been intensively studied for over 20 years, no consensus yet exists on such fundamental issues as the nature of the QP phase and of the type of transition into it. In particular, there is still controversy over whether the scenario of deconfined criticality holds for this model (see, e.g., Refs. 4 5).

Related magnetic systems may shed light onto this controversy and one strongly related model that has received much attention recently has been the HAFM on the honeycomb lattice. One reason for this interest is that a spin-liquid phase has been found for the exactly solvable Kitaev model 11, in which the spin-$\frac{1}{2}$ particles reside on a honeycomb lattice. The honeycomb lattice is also relevant to the very active research field of graphene, where Hubbard-like models on this lattice may be appropriate to describe the relevant physics 11. Interestingly, Meng et al. 12 have very recently found clear evidence that the quantum fluctuations are strong enough to establish an insulating spin-liquid phase between the non-magnetic metallic phase and the AFM Mott insulator for the Hubbard model on the honeycomb lattice at moderate values of the Coulomb repulsion \( U \). At very large values of \( U \), the latter phase corresponds to the HAFM on the bipartite honeycomb lattice that contains a ground-state showing Néel long-range order (LRO). However, higher order terms in the \( t/U \) expansion of the Hubbard model may lead to frustrating exchange couplings in the corresponding spin-lattice model where the HAFM with nn exchange is the leading term in the large-\( U \) expansion. This unexpected result 12 has also stimulated interest in the frustrated HAFM on the honeycomb lattice (see e.g. Refs. 13 16). Indeed, the available literature suggests a frustration-induced QP phase for the frustrated spin-$\frac{1}{2}$ HAFM on the honeycomb lattice 13 19. These theoretical findings are consistent with the recent experimental observations that the spin-$\frac{1}{2}$ honeycomb-lattice HAFM \( \text{Bi}_3\text{Mn}_4\text{O}_{12}(\text{NO}_3) \) shows a spin-liquid-like behavior at temperatures much lower than the Curie-Weiss temperature 20.

One approach to shed additional light on the QP regions of such \( J_1-J_2 \) models has been to extend its parameter space by the inclusion of next-next-nearest-neighbor (nnm) couplings \( J_3 \) as well. This yields the \( J_1-J_2-J_3 \) model (see, e.g., Ref. 21 and references cited therein),

\[
H = J_1 \sum_{\langle i,j \rangle} \mathbf{s}_i \cdot \mathbf{s}_j + J_2 \sum_{\langle \langle i,k \rangle \rangle} \mathbf{s}_i \cdot \mathbf{s}_k + J_3 \sum_{\langle \langle \langle i,j \rangle \rangle \rangle} \mathbf{s}_i \cdot \mathbf{s}_k ,
\]

where \( i \) runs over all lattice sites on the lattice, and \( j \)
runs over all nn sites, \( k \) over all nnn sites, and \( l \) over all nnn sites to \( i \), respectively, counting each bond once and only once. Each site \( i \) of the lattice carries a spin-\( \frac{1}{2} \) particle with spin operator \( s_i \).

Here we wish to study the spin-\( \frac{1}{2} \) \( J_1-J_2-J_3 \) HAFM model of Eq. (1) on the honeycomb lattice \([13-19]\). The lattice and the exchange bonds are illustrated in Fig. 1 (right panel), where the quasiclassical Néel and collinear striped phases are also shown. Classically, this system exhibits the two collinear phases illustrated in Fig. 1 (right panel) as well as a spiral phase. These phases meet in a triple point at \( J_2 = J_3 = J_1/2 \) (see, e.g., Refs. [13, 18]). Henceforth we set \( J_1 = 1 \) and we further restrict ourselves to the case \( J_2 = J_3 = \kappa J_1 \). The motivation to focus on \( J_2 = J_3 \) also comes from the classical case, where the honeycomb-lattice model and the square-lattice \( J_1-J_2 \) HAFM model have similar ground-state properties, namely: (i) there is a direct transition between the Néel and the collinear striped states at \( \kappa = 0.5 \); and (ii) the classical ground state is highly degenerate at the degenerate point. Hence, the two systems are very similar classically, and so the honeycomb system is a likely candidate to exhibit a QP phase and possibly also a deconfined critical point between this QP phase and the quasiclassical phases. Moreover, we note again that non-zero \( J_3 \) bonds are likely from higher-order terms in the \( t/U \) expansion of the Hubbard model at moderate \( U \) values [12].

The treatment of highly frustrated quantum magnets is notoriously challenging, since only a relatively few accurate methods exist. New promising approaches such as projected entangled pair states [22] are not yet accurate enough. The finite-size extrapolation of numerical exact data for finite-lattice systems, used successfully for the square-lattice model [2, 7], is also somewhat less efficient for the honeycomb lattice, since (i) the unit cell contains two sites, (ii) nnn couplings \( J_3 \) are included, and (iii) there are only a few finite lattices with full point group symmetry [13]. Naturally, quantum Monte Carlo methods are severely limited in the presence of frustration due to the infamous “sign problem.” The coupled-cluster method (CCM) when evaluated to high orders of approximation provides a reasonably accurate approach to determine the position of QPT points [3, 21, 23, 26], as well as providing evidence on the nature of such QP phases [3, 27]. Here we use this method together with supplementary results provided by the exact diagonalization (ED) technique for a finite lattice of \( N = 32 \) sites.

The CCM is an intrinsically size-extensive method that provides results in the limit \( N \to \infty \) from the outset. The CCM requires us to input a model (or reference) state [27, 29], with respect to which the quantum correlations are expressed. Here we use the Néel and striped model states shown in Fig. 1 (right panel). We use also the very well-tested localized LSUBm truncation scheme in which all multi-spin correlations are retained in the CCM correlation operators over all distinct locales on the lattice defined by \( m \) or fewer contiguous sites. The method of solving for higher orders of LSUBm approximations is discussed in detail in Refs. [27, 29]. The number of independent spin configurations taken into account in the correlation operator increases rapidly with the truncation index \( m \). For example, at the highest level of approximation used here, namely LSUB12, we take into account 750490 such configurations. To obtain results in the exact \( m \to \infty \) limit the raw LSUBm data must be extrapolated. Although there are no exact extrapolation rules, a great deal of experience has been garnered by now for the gs energy and for the magnetic order parameter (sublattice magnetization) \( M \).

For the gs energy per spin a well-tested and very accurate extrapolation ansatz (see, e.g., Refs. [3, 27, 29]) is \( E(m)/N = a_0 + a_1 m^{-2} + a_2 m^{-4} \), while for the magnetic order parameter \( M \) different schemes have been employed for different situations [31]. Here we use \( M(m) = b_0 + b_1 m^{-1/2} + b_2 m^{-3/2} \). This scheme has been found to be appropriate for systems showing a gs order-disorder QPT (see, e.g., Ref. [3, 27]). Since the hexagon is an important structural element of the honeycomb lattice we decided to take into account for the extrapolations only LSUBm data with \( m \geq 6 \).

CCM results for the gs energy per spin \( E/N \) are shown in Fig. 1 using both the Néel and collinear striped model states. They are clearly well-converged for all values of \( J_2 \) shown. The corresponding extrapolated LSUBm results are also shown in the regimes where real solutions exist for the entire data set, for each choice of reference state. These results compare well to those from ED calculations.

![FIG. 1: (Color online) Left: Results for the gs energy, \( E/N \) \( (J_1 \equiv 1 \) and \( J_3 = J_2 \)) via ED \( (N = 32) \), CCM LSUBm \( (m = 6, 8, 10, 12) \) and extrapolated \( m \to \infty \) (see text). Right: The \( J_1-J_2-J_3 \) honeycomb model; for the Néel and the collinear striped model states. The arrows represent spins located on specific lattice sites [symbolized by •].]
for $N = 32$, also shown in Fig. 1. The CCM results show a clear intermediate region around $\kappa = 0.5$ in which neither of the quasiclassical AFM states is stable. The ED results demonstrate a “kink-like” behavior in $E/N$ at $\kappa \approx 0.6$, (cf. Refs. [4, 13]), which is a first hint of a first-order QPT (and see the discussion below).

We now discuss the magnetic order parameter $M$ in order to investigate the stability of quasiclassical magnetic LRO. CCM results for $M$ are shown in Fig. 2. The extrapolated Néel order parameter vanishes at $\kappa_{c_1} \approx 0.466$, whereas the corresponding collinear striped order parameter goes to zero at $\kappa_{c_2} \approx 0.601$. These values can be considered as CCM estimates for the QCPs of the model [32]. They are in good agreement with those recently obtained by the Schwinger-boson approach [13], the functional renormalization group approach [16], and by an ED approach [3]. From the shape of the order-parameter curve in Fig. 2 it seems probable that the transition at $\kappa_{c_1}$ is continuous, whereas the very steep fall near $\kappa_{c_2}$ may indicate a first-order transition. This observation is supported by the spin-spin correlations functions shown in Fig. 3 which also show a similar “smooth” versus “steep” behavior near the points $\kappa_{c_1}$ and $\kappa_{c_2}$, respectively. Moreover, the CCM and ED data presented in Fig. 3 are in good quantitative agreement, which strongly supports the conclusions that have been drawn above regarding the likely nature of the QPTs.

Hitherto we have gathered strong evidence about the region of the intermediate nonmagnetic quantum phase by using the high-order CCM and ED techniques. However, the question remains as to what is the nature of this phase. We note that numerical evidence was found very recently of a plaquette valence-bond crystal (PVBC) phase near $\kappa = 0.5$ [13]. Note that such a PVBC phase is a strong candidate for the QP phase of the $J_1-J_2$ model on the square lattice [3, 5]. In order to analyze the possibility of such a PBVC phase we first consider a generalized susceptibility $\chi_F$ that describes the response of the system to a “field” operator $F$ (see, e.g., Refs. [4, 5]). We thus add a field term $\delta \hat{O}$ to the Hamiltonian $\hat{H}$, where $\hat{O}$ is an operator that in our case corresponds to the possible PVBC order illustrated in Fig. 4 (right panel), and which hence breaks the translational symmetry of $H$. We now calculate the energy per site $E(\delta)/N = \epsilon(\delta)$ for the perturbed Hamiltonian $H + F$, by using the CCM for both the Néel and the collinear striped reference states, and define the susceptibility as $\chi_F \equiv - \langle (\partial^2 \epsilon(\delta)) / (\partial \delta^2) \rangle_{\delta=0}$. Rather less empirical experience is available regarding the extrapolation of the CCM LSUS$m$ data for $\chi_F$ than for other quantities such as the gs energy or $M$. However, we have tested several extrapolation schemes and found that the extrapolation used for the gs energy, i.e. $\chi_F(m) = c_0 + c_1 m^{-2} + c_2 m^{-4}$, fits the data most accurately. For a crosscheck of this extrapolation scheme we also use corresponding extrapolation of the inverse susceptibility, i.e. $\chi_F^{-1}(m) = d_0 + d_1 m^{-2} + d_2 m^{-4}$, and find consistent results as shown in Fig. 4. An instability of the gs against the perturbation $F$ is indicated by a divergence of $\chi_F$ or equivalently a zero point of $\chi_F^{-1}$. This fact might favor slightly the extrapolation of $\chi_F^{-1}$ when searching for such instabilities. Our results are in accordance with those of Ref. [13] and they clearly favor a PVBC intermediate quantum phase instead of a structureless spin-liquid phase. The extrapolated inverse susceptibility vanishes on the Néel side at $\kappa \approx 0.473$ and on the collinear striped side at $\kappa \approx 0.586$. These values are in very good agreement with the critical values $\kappa_{c_1} \approx 0.466$ and $\kappa_{c_2} \approx 0.601$ already found by the CCM order parameter. Thus, it is most likely that the PVBC phase occurs at (or is very close to) the transition points where the quasiclassical magnetic LRO breaks down. Although there is a steep downturn of $\chi_F^{-1}$ at $\kappa_{c_2}$, we find a smooth behavior for $\chi_F^{-1}$ at $\kappa_{c_1}$. This evidence strongly
supports our conclusion drawn from Figs. 1 and 2 that the phase transition is of first-order type at $\kappa_{c_2}$ while that at $\kappa_{c_1}$ is of continuous type.

The possibility of deconfined quantum criticality for the frustrated honeycomb HAFM was first pointed out in Ref. [1]. The first evidence supporting such a scenario for the $J_1$-$J_2$-$J_3$ model on the honeycomb lattice has been found very recently in Ref. [15]. Our CCM results are seen to be highly converged and to agree well with those results of the ED method. Our results for the gs energy, magnetic order parameter, spin-spin correlation function, and plaquette susceptibility all point to collinear phases separated by a magnetically disordered phase for $0.47 \lesssim \kappa \lesssim 0.60$. We have shown that the nature of this intermediate phase is likely to be of plaquette valence-bond crystalline (PVBC) type. Although we find indications of a first-order transition at $\kappa_{c_2} \approx 0.60$, the transition between the Néel and the PVBC phases at $\kappa_{c_1}$ appears continuous. Since the Néel and the PVBC phases break different symmetries and we have shown that they are likely to meet at $\kappa_{c_1} \approx 0.47$, our results present strong evidence that the frustrated spin-$\frac{1}{2}$ HAFM on the honeycomb lattice contains a continuous QPT described by the scenario of deconfined quantum criticality.

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[31] Note that for unfrustrated models the extrapolation $M(m) = b_0 + b_1 m^{-1} + b_2 m^{-2}$ is more appropriate [24]. This scheme gives the best result, $M \approx 0.273$, for the pure nn HAFM on the honeycomb lattice ($J_2 = J_3 = 0, J_1 = 1$).
[32] To estimate the accuracy within the used extrapolation scheme we have also determined $\kappa_{c_1}$ and $\kappa_{c_2}$ using LSUBm with $m = \{6, 8, 10\}$, which gives $\kappa_{c_1} \approx 0.448$ and $\kappa_{c_2} \approx 0.601$. The values $\kappa_{c_1}$ and $\kappa_{c_2}$ of course depend also on the extrapolation scheme employed.