Gold nanoparticles attenuate metastasis by tumor vasculature normalization and epithelial–mesenchymal transition inhibition

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Abstract: Angiogenesis is a process by which vessels are formed through preexisting ones, and this plays a key role in the progression of solid tumors. However, tumor vessels are influenced by excessive pro-angiogenic factors, resulting in deformed structures that facilitate the intravasation of tumor cells into the circulation and subsequent metastasis. Moreover, abnormal tumor vessels have low blood perfusion and thereby decreased oxygen infusion into tumors. This results in a hostile microenvironment that promotes epithelial–mesenchymal transition (EMT), a process in which epithelial cells lose their polarity and gain increased motility, which is associated with metastasis and invasion. Here, we demonstrate that gold nanoparticles (AuNPs) facilitate tumor vasculature normalization, increase blood perfusion and alleviate hypoxia in melanoma tumors. Additionally, AuNPs were observed to reverse EMT in tumors, accompanied by the alleviation of lung metastasis. These AuNPs inhibited the migration of B16F10 cells and reversed EMT in B16F10 cells, indicating that AuNPs could directly regulate EMT independent of improvements in hypoxia. Taken together, our data demonstrated that AuNPs could induce tumor vasculature normalization and reverse EMT, resulting in decreased melanoma tumor metastasis.

Keywords: gold nanoparticles, tumor vasculature normalization, tumor metastasis, epithelial–mesenchymal transition

Introduction

Angiogenesis is recognized as a key event in the progression of solid tumors. This is a complex process regulated by a balance between pro-angiogenic and anti-angiogenic factors.1 However, angiogenesis in solid tumors is always pathological and is characterized by immature vessels with irregular structure and function.2 Affected vessels typically create hypoxic and acidic microenvironments in tumors that worsen malignancy. Moreover, the resultant hostile milieu promotes invasion and metastases through an epithelial–mesenchymal transition (EMT).3

The prevention or reduction of angiogenesis is one of the most utilized methods in tumor treatment. However, a few clinical studies have demonstrated that such a monotherapy fails to provoke significant response rates or improve overall survival (OS), even in colon cancer (average 6–8 weeks) due to resistance.4,5 A randomized trial of anti-VEGF plus irinotecan and 5-fluorouracil showed no difference either in median OS (22.0 vs 25.0 months, P = 0.1391) or in the response rate (36.8% vs 35.2%) in patients with advanced colorectal cancer.6 Similarly, there was no difference in the median OS: 25.4 (95% CI: 22.2–28.9) months in the anti-VEGF continuation arm versus 23.8 (95% CI: 21–26.8) months in the no continuation arm (hazard ratio [HR] 0.83; 95% CI:
Furthermore, anti-VEGF does not prolong disease-free survival (DFS) when added to adjuvant chemotherapy in patients with stage III colon cancer, and the DFS HR for anti-VEGF combined with folic acid/fluorouracil/Oxaliplatin (FOLFOX4) versus FOLFOX was 1.17 (95% CI: 0.98–1.39; P=0.07). A previous study has shown that anti-angiogenic therapy may have the unintended effect of increasing tumor invasiveness and distant metastasis.\textsuperscript{9} New therapies involving the normalization of tumor blood vessels have been proposed to address conflicting traditional anti-angiogenic therapy and chemotherapy.\textsuperscript{10}

Gold nanoparticles (AuNPs) are customarily used as versatile vehicles for actively or passively delivering biomolecules to target organs. Drug-loaded nanoparticles could be used to target tumors through the enhanced permeability and retention (EPR) effect, wherein nanoparticles of certain sizes tend to accumulate in tumors with highly permeable blood vessels.\textsuperscript{11,12} Recently, we demonstrated that AuNPs could conjugate with recombinant human endostatin, elevate tumor-selective delivery, induce transient tumor vasculature normalization and, thereby, improve tumor vessel perfusion and oxygen supply for improved treatment outcomes.\textsuperscript{13} Our previous studies showed that monotherapy by AuNPs reduced angiogenesis in liver tumors\textsuperscript{14} and inhibited VEGF165-induced human umbilical vein endothelial cell (HUVEC) migration and tube formation via the Akt pathway.\textsuperscript{15,16}

In this study, we aimed to investigate the mechanism of AuNPs in reducing melanoma lung metastasis. Immunofluorescence and immunohistochemistry were carried out to evaluate the vascular morphology, perfusion, permeability and hypoxia in tumors. The lungs were dissected for metastasis evaluation. For in vitro study, cell counting kit-8 (CCK-8) assay and wound healing assay were used to explore the proliferation and migration of cells. The protein expressions of matrix metalloproteinase-2 (MMP-2), c-Myc, zonula occludens-1 (ZO-1), vimentin, and E-cadherin were analyzed by Western blot. Altogether, our study may provide an experimental basis for the clinical application of AuNPs in the future.

**Materials and methods**

**Cell lines**

B16F10 cell lines were purchased from the Type Culture Collection of the Chinese Academy of Sciences (Shanghai, China). Cells were cultured in Dulbecco’s Modified Eagle’s Medium (DMEM) supplemented with 10% fetal bovine serum (FBS; Thermo Fisher Scientific, Waltham, MA, USA). HUVECs were obtained from the American Type Culture Collection (ATCC®CRL-1730™; Manassas, VA, USA) and cultured in endothelial cell medium (ECM; ScienCell, San Diego, CA, USA) supplemented with 5% FBS, 1% endothelial cell growth supplement (ECGS) and 1% penicillin/streptomycin. Cells were used between passages 3–7. All cells were maintained in a 37°C incubator with a 5% CO\textsubscript{2} environment.

**Preparation and characterization of AuNPs**

The AuNPs were prepared according to previously described methods.\textsuperscript{11} Briefly, 0.01 mol/L of HAuCl\textsubscript{4} (5 mL) was added to 50 mL Milli-Q\textsuperscript{®} water, and the resulting solution was heated and stirred to boiling, and then 10 mL of 1% sodium citrate was introduced into the system. The solution was continuously heated and stirred until the development of a wine red color. Subsequently, the solution was filtered through a 0.22 μm filter and stored at 4°C protected from light. The collected AuNPs were characterized using ultraviolet–visible (UV-vis) on a model V-570 Jasco dual-beam spectrophotometer. Particle morphology was visualized using field emission transmission electron microscopy (FETEM; JEM2100; JEOL, Tokyo, Japan). The particle size and zeta potential were measured by dynamic light scattering (DLS) using a Malvern Zetasizer Nano ZS (Malvern Instruments Ltd, Malvern, UK).

**Tumor model and therapeutic treatments**

Female C57BL6 mice, 4–6 weeks of age, with body weights of 18–25 g, were purchased from the Guangdong Medical Lab Animal Centre (Guangzhou, China) and housed in a specific pathogen-free environment. All animal experiments and research procedures were approved by and conducted in accordance with the guidelines of the Laboratory Animal Ethics Committee of Jinan University (Permit Number: 20150909160150).

Tumors were established by injecting B16F10 cells (3×10\textsuperscript{5}/50 μL) in the right footpad. Tumor volumes were measured with calipers and calculated as length × width\textsuperscript{2}×0.523 every day. When the tumor size reached between 100 and 125 mm\textsuperscript{3}, mice were daily injected intravenously with AuNPs (1 mg/kg) or an equivalent volume of normal saline (NS) for 4 days. Subsequently, intermittent injections were provided for an additional 10 days.

**Tumor vascular perfusion and permeability**

Tumor vascular perfusion was detected by intravenous injections of 10 mg/kg fluorescein isothiocyanate (FITC)-conjugated lectin (Sigma-Aldrich, St Louis, MO, USA) (n=7/group). After 20 min, tumors were excised and immediately frozen in liquid nitrogen, then embedded in
optimal cutting temperature (OCT) compound (Sakura Finetek, Torrance, CA, USA) and stored at −80°C. A series of 20 μm cryosections were cut and incubated with anti-CD31 antibody (Abcam, Cambridge, UK; 1:300) overnight at 4°C. Then, the slides were incubated with rhodamine goat anti-rabbit antibody (Proteintech, Wuhan, People’s Republic of China; 1:200) for 1 h in the dark. Vascular perfusion was visualized with a fluorescence microscope (Leica DM6000B; Wetzlar, Germany), and the lectin + areas were presented as percentage per CD31+ area.

For vascular permeability, FITC-conjugated dextran (20 kD) was injected 10 min before tumor capture (n=7/group). Tumor tissues were embedded in OCT for cryosection, as described earlier. Leakage quantification was presented as a percentage per CD31+ area.

**Hypoxia detection by pimonidazole**

Pimonidazole (Hypoxyprobe, Burlington, MA, USA) was intraperitoneally administered at 60 mg/kg, 60 min before tumors were excised (n=7/group). Samples were fixed with 4% paraformaldehyde. The detection and location of cells undergoing hypoxia were determined using anti-pimonidazole immunohistochemical staining.

**Metastasis assessment**

To assess the formation of distant metastases in mice, we evaluated the incident and number of metastatic nodules in the lungs. After treatment, mice were anesthetized by pentobarbital, and the tumor-bearing footpads were amputated. The lungs were collected 10 days after amputation, fixed with 4% paraformaldehyde and 6 μm cryosections were cut and incubated with anti-CD31 antibody (Abcam, Cambridge, UK; 1:300) overnight at 4°C, and subjected to immunohistochemical staining using the following antibodies: anti-vimentin antibody (Protein tech, 1:100) and anti-E-cadherin antibody (Abcam, 1:3,000). The prepared slides were incubated overnight at 4°C. Membranes were then incubated with horse-radish peroxidase-conjugated secondary antibodies (1:2,000, anti-rabbit antibody (Proteintech, Wuhan, People’s Republic of China; 1:200) for 1 h in the dark. Vascular perfusion was visualized with a fluorescence microscope (Leica DM6000B; Wetzlar, Germany), and the lectin + areas were presented as percentage per CD31+ area.

**Histology and immunostaining**

Tumor-bearing mice were anesthetized with 1% pentobarbital and perfused with 4% paraformaldehyde. Tumors were captured and fixed in 4% paraformaldehyde, and paraffin sections of each group were serially sliced (6 μm thick) and subjected to immunohistochemical staining using the following antibodies: anti-CD31 antibody (Abcam, 1:500), anti-vimentin antibody (Protein tech, 1:100) and anti-E-cadherin antibody (Abcam, 1:500). The prepared slides were incubated overnight at 4°C and then incubated with goat anti-rabbit IgG (H+L) horseradish peroxidase (Beyotime Institute Biotechnology, Haimen, Jiangsu, People’s Republic of China; 1:100) for 40 min at 37°C. The antibody stainings were visualized with 3,3’-diaminobenzidine (DAB). A total of five areas were calculated per sample.

**Cell viability assay**

Cells were plated in 96-well plates (1×10⁴ per well) and treated with different agents for 24 h and 48 h at 37°C. Cell viability was assessed by CCK-8 (Dojindo, Kumamoto, Japan). Measurements were carried out at 450 nm absorbance with an automatic plate reader (Epoch; BioTek, Burlington, VT, USA).

**Wound healing assay**

The effects of AuNPs on the migrations of HUVECs and B16F10 cells were evaluated by scratch wound-healing assay. Cells suspended in ECM (HUVECs) and DMEM (B16F10) were seeded on 12-well plates at densities of 2×10⁵/mL. After confirming adhesion to the well-bottoms, the monolayers were scratched manually with sterile 200 μL plastic pipette tips and washed thrice with PBS. Cells were allowed to migrate at 37°C, 5% CO₂ for 4 h, 8 h and 12 h. At each time point, images were obtained using an Olympus inverted microscope, and the widths of the wound areas were quantified using ImageJ software (National Institutes of Health).

**Western blot**

Total proteins from the cells were extracted with Laemml buffer, incubated at 90°C for 5 min and centrifuged at 12,000×g for 20 min. Protein concentrations were measured using the BCA method. For the Western blot assay, proteins were separated on 10% sodium dodecyl sulfate (SDS) polyacrylamide gel (SDS-PAGE), transferred to polyvinylidene fluoride (PVDF) membranes (Millipore, Bedford, MA, USA), blocked in 5% bovine serum albumin (BSA) with 0.1% Tween 20 in tris buffered saline (TBS) for 1 h at room temperature (RT) and, subsequently, incubated with the following primary antibodies: anti-vimentin antibody (Protein tech, 1:3,000), anti-E-cadherin antibody (Abcam, 1:3,000), anti-ZO-1 antibody (Protein tech, 1:3,000), anti-MMP-2 antibody (Abcam, 1:3,000), anti-c-Myc antibody (Abcam, 1:3,000) and anti-GAPDH antibody (Protein tech, 1:3,000) overnight at 4°C. Membranes were then incubated with horse-radish peroxidase-conjugated secondary antibodies (1:2,000, Thermo Fisher Scientific). The resultant bands were visualized using an enhanced chemiluminescence (ECL) detection system (ChemiDoc XRS; Bio-Rad, Hercules, CA, USA) and quantified using Quantity One software (Bio-Rad).

**Statistical analysis**

All data are presented as the mean ± standard error of mean (SEM). Statistical analysis was carried out using a two-tailed, unpaired Student’s t-test, and metastasis was assessed using Fisher’s exact test. Statistical and graphic analyses were performed.
using GraphPad Prism version 5.0. Significance was attributed for $P<0.05$ (*$P<0.05$, **$P<0.01$, and ***$P<0.001$).

Results
Characterization of AuNPs
The measured UV-vis spectrum displayed a characteristic peak at $\sim 520$ nm (Figure 1A). The hydrodynamic size distribution measured by DLS was $34.09\pm2.4$ nm (Figure 1B), and the FETEM measured actual size was $15$ nm (Figure 1C and D). The zeta potential was $-31.7\pm1.7$ mV (Figure 1E), indicating that the nanoparticles were in a relatively stable state in solution.

AuNPs improve vascular morphology
The endothelial cell marker CD31 was stained to evaluate the impact of AuNPs on tumor angiogenesis. Compared with controls, the average vascular densities after treatment with the AuNPs decreased ($P<0.05$; Figure 2A). Furthermore, the vascular morphology appeared more homogeneous and organized. These results support the hypothesis that AuNPs displayed anti-angiogenesis efficacy.

AuNPs increase vascular perfusion and decrease permeability
By facilitating tumor vasculature maturation, tumor vessel perfusion could be improved to alleviate hypoxia.17–19 Our results from the lectin analysis revealed that tumor blood perfusion significantly increased after AuNPs treatment compared with controls ($P<0.05$; Figure 2B). The ratio of lectin + to CD31 expression indicated vessels with regular perfusion. Abnormal tumor blood vessels are often associated with high permeability, which is one of the factors leading to tumor invasion. Our results showed that the leakage of injected dextran into tumor vasculature remarkably decreased after AuNPs treatment ($P<0.05$; Figure 2C). The results

![Figure 1](image1.png)

**Figure 1** Characterization of AuNPs.

**Notes:** (A) UV-visible spectrum showed an absorption peak at 520 nm. (B) The hydrodynamic size distribution was $34.09\pm2.4$ nm, as measured by DLS. (C) FETEM images of AuNPs (bar: 200 nm). (D) FETEM images of AuNPs (bar: 20 nm). (E) The zeta potential was $-31.7\pm1.7$ mV, as measured by DLS.

**Abbreviations:** AuNPs, gold nanoparticles; UV, ultraviolet; DLS, dynamic light scattering; FETEM, field emission transmission electron microscopy; OD, optical density.
displayed that the maturity of tumor blood vessels was increased by AuNPs treatment.

Alleviation of hypoxia in melanoma tumors

We next investigated whether the increased blood perfusion was sufficient to decrease hypoxia in tumors. The immunohistochemical staining for pimonidazole showed that the AuNPs treatment significantly reduced tumor hypoxia ($P<0.05$; Figure 2D), indicating a normal vessel physiology was associated with a reduction in tumor hypoxia. Overall, these results indicated that AuNPs treatment can increase blood perfusion and reduce tumor hypoxia.

Figure 2 AuNP potential for normalizing tumor vasculature.

Notes: (A) CD31 staining showed the tumor vessel area decreased after treatment with AuNPs. Scale bar =100 µm. (B) FITC-conjugated lectin (green) and CD31 (red) staining indicated improved perfusion in tumors after treatment with AuNPs. Scale bar =200 µm. (C) FITC-dextran (green) and CD31 staining indicated decreased number of sites of vascular leakage after treatment with AuNPs. Scale bar =200 µm. (D) Pimonidazole staining exhibited improvements in hypoxic areas after treatment with AuNPs. Scale bar =500 µm. *$P<0.05$, **$P<0.01$.

Abbreviations: AuNPs, gold nanoparticles; Ctrl, control; FITC, fluorescein isothiocyanate.
AuNPs reduce the extent of lung metastasis

Lungs are the most common sites for melanoma metastases. Therefore, we examined the inhibition of metastases in C57BL/6 mice. Three of 15 AuNPs-treated mice and ten of 15 control mice developed lung metastatic nodules at 2 weeks ($P<0.05$; Figure 3A and B). Furthermore, the AuNPs-treated mice exhibited reduced numbers and sizes of lung metastatic colonies (Figure 3C). These results suggested that AuNPs-mediated tumor vasculature normalization correlated with reductions in melanoma metastases.

AuNPs inhibit EMT in melanoma tumors

Vimentin, an important cytoskeletal component, is a marker of mesenchymal cells and a marker of cells undergoing EMT. Our immunohistochemical staining results showed that AuNPs decreased the expression of vimentin in tumors ($P<0.05$; Figure 4A and B). Another molecule, E-cadherin, a classical member of the cadherin superfamily, is expressed on cell surfaces in most epithelial tissues. E-cadherin is pivotal for the formation of cell–cell junctions and decreases during the EMT process. In our study, E-cadherin levels increased after AuNPs treatment ($P<0.05$; Figure 4C and D). The data suggested that treatment using AuNPs reversed the process of EMT in melanoma tumors.

AuNPs have no effects on cell viability but do suppress the migration of HUVECs and B16F10 cells

From the wound healing study, we analyzed the migration of B16F10 cells and found that the migration of tumor cells was inhibited ($P<0.05$; Figure 5A and B). Additionally, we found that the AuNPs significantly inhibited the migration of HUVECs ($P<0.05$; Figure 5C and D), which limited the excessive growth of tumor blood vessels and induced the transient normalization of tumor vasculature. On the contrary, data from the CCK-8 test indicated that the AuNPs had no effect on the cell viability ($P>0.05$; Figure 5E and F). Thus, our data suggested that treatments with AuNPs could inhibit the migration of both HUVECs and tumor cells.

AuNPs inhibit the expression of MMP-2 and c-Myc

MMP-2 contributes toward tumor invasion and metastases and is involved in tumor angiogenesis. Western blot of tumor protein lysates showed substantially reduced MMP-2 expression levels in the AuNPs-treated group ($P<0.05$; Figure 6A and B). This reduction restricted the invasiveness of tumor cells and directly reduced the probability of metastasis. Similar findings were observed in AuNPs-treated HUVECs ($P<0.05$; Figure 6D and E). Because MMP-2 activity is...
AuNPs reverse vimentin/E-cadherin (V/E) in B16F10 cells

We next investigated whether AuNPs could directly inhibit EMT without improving hypoxia. We analyzed E-cadherin and vimentin levels in B16F10 cells using Western blot. The results revealed that AuNPs increased E-cadherin expression and suppressed mesenchymal phenotype marker vimentin (Figure 6G and H). This indicated that AuNPs directly suppressed EMT in B16F10 cells.

Discussion

Angiogenesis plays a pivotal role in many physiological and pathological processes, such as organ growth, wound healing and especially tumor progression and metastasis. Rapid expansion renders tumor cells susceptible to hypoxia; as a result, large concentrations of pro-angiogenic factors are released, facilitating excessive angiogenesis in tumors and promoting unstable and heterogeneous morphology and function. Overall, these events lead to increased tumor invasiveness and metastatic potential through a variety of mechanisms.

EMT is a process that induces epithelial cells to lose their adhesiveness and obtain a mesenchymal phenotype. This promotes the detachment of tumor cells from the basement membrane and increases the risk of tumor cells metastasis. Tumor cells undergoing EMT display low cell surface expressions of E-cadherin, which maintain epithelial connections with neighboring cells, yet they further develop a mesenchymal cell-like cytoskeletal structure, including high levels of vimentin, and acquire the ability to leave the primary tumor mass and invade into surrounding tissues and the circulatory system. There are many factors that contribute to the progression of EMT. One of these factors is hypoxia. Several studies have demonstrated that prolonged anti-angiogenic therapy increased the expression of mesenchymal phenotypes, and this may be due to hypoxia.
induced by excessive anti-angiogenesis. Other studies have also shown that hypoxia and hypoxia-inducible factor-1 alpha (HIF-1α) promote EMT in several tumor types.34–36

In our study, treatment with AuNPs induced tumor vasculature normalization and decreased hypoxia in tumor sections. These normalized vessels may limit the risk of tumor cells metastasis through blood circulation, and the improvement in oxygenation may subsequently result in a reduction in EMT. Moreover, in B16F10 cells, treatment with AuNPs decreased vimentin and increased E-cadherin levels in the same oxygen environment, resulting in a reversed ratio between the two factors. This indicated that AuNPs could directly inhibit the EMT process independent of improvements in hypoxia. Overall, treatments with AuNPs could directly and indirectly reduce EMT (secondary to improving hypoxic conditions).

A few studies have demonstrated that c-Myc overexpression promotes EMT and facilitates vasculogenic mimicry (VM),37 wherein vessel-like structures form via aggressive tumor cells. VM has been linked with prolonged tumor angiogenesis, higher metastatic risk and poor outcomes. Hypoxia conditions induce the formation of VM channels and angiogenesis to acquire a blood supply29,38 and subsequently promote EMT. However, EMT also contributes toward VM formation by stimulating tumor cell plasticity and degrading the extracellular matrix (EXCM) to mimic endothelial cells and to form VM channels.29,39 In this study, we verified that AuNPs inhibited the expression of c-Myc and regulated the progression of EMT. MMP-2 is involved in the breakdown of EXCM and plays an important role in angiogenesis within tumors,40 and hypoxia can activate this

![Graphs showing the effect of AuNPs on cell migration and viability](image-url)
progression to facilitate tumor angiogenesis, invasion and metastasis. Additionally, the overexpression of MMP-2 has been shown to promote EMT. Our data indicated that MMP-2 is another target for AuNPs in the regulation of angiogenesis and EMT.

Conclusion
We verified that treatment with AuNPs induced tumor vasculature normalization, which decreased hypoxia, strengthened the integrity of the tumor vascular wall and decreased vessel permeability, and our findings provide the first indication that this normalization is associated with the inhibition of MMP2, c-Myc and the promotion of ZO-1. Moreover, further studies proved that AuNPs decreased the expression of VEGF in tumors (Figure S1), which reveals a new mechanism to promote the normalization of tumor blood vessels. Additionally, treatments with AuNPs inhibited EMT in melanoma tumors and in B16F10 cells, and this is a complex process that includes normalization of tumor vessels and the inhibition of MMP-2 and c-Myc. This indicated that AuNPs could directly and indirectly reduce tumor metastasis, and MMP-2 or c-Myc could be a potential therapeutic target. However, more detailed mechanisms should be further explored for clinical benefit.

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Disclosure
The authors report no conflicts of interest in this work.

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**Supplementary materials**

In this study, we did immunohistochemical analysis to explore what happened to VEGF after treated by gold nanoparticles (AuNPs). Our data showed that AuNPs decreased VEGF expression in melanoma tumors \( (P<0.05; \text{Figure S1A–C}) \). However, no effects on VEGFR2 expression were observed after AuNP treatment (Figure S1D–F). Thus, we thought the AuNPs may inhibit the VEGF-VEGFR2 pathway by the following mechanisms: 1) decrease the expression of VEGF in tumors; 2) reduce VEGF165-induced VEGFR2 phosphorylation; 3) inhibit VEGF165-VEGFR2 interaction; 4) suppress the formation of nanodomains of VEGFR2.

![Image](A Ctrl AuNPs)

**Figure S1** Effects of AuNPs on VEGF and VEGFR2 in melanoma tumor.

**Notes:** (A and B) Immunohistochemical staining of VEGF in tumor tissues (bars: 100 μm). (C) Quantification of VEGF levels shown in (A and B). (D and E) Immunohistochemical staining of VEGFR2 expression in tumor tissues (bars: 100 μm). (F) Quantification of VEGFR2 levels shown in (D and E). \(^* P<0.05\).

**Abbreviations:** AuNPs, gold nanoparticles; Ctrl, control; ns, no significance.