Semiclassical transport in nearly symmetric quantum dots II: symmetry-breaking due to asymmetric leads

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In this work — the second of a pair of articles — we consider transport through spatially symmetric quantum dots with leads whose widths or positions do not obey the spatial symmetry. We use the semiclassical theory of transport to find the symmetry-induced contributions to weak localization corrections and universal conductance fluctuations for dots with left-right, up-down, inversion and four-fold symmetries. We show that all these contributions are suppressed by asymmetric leads, however they remain finite whenever leads intersect with their images under the symmetry operation. For an up-down symmetric dot, this means that the contributions can be finite even if one of the leads is completely asymmetric. We find that the suppression of the contributions to universal conductance fluctuations is the square of the suppression of contributions to weak localization. Finally, we develop a random-matrix theory model which enables us to numerically confirm these results.

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I. INTRODUCTION

This is work — the second of a pair of articles — on mesoscopic transport through chaotic quantum dots with spatial symmetries (see Ref. [1] for part I). In both works we use recent advances in semiclassical techniques to address the effect of spatial symmetries on weak localization (WL) corrections and universal conductance fluctuations (UCFs). The aim of the first article was to identify the microscopic origin of properties that were earlier only known from phenomenological random-matrix theory (RMT) [2,3,4,5], and furthermore to extend the considerations to situations in which RMT is not easily applicable. In particular, this includes scenarios where symmetries are only partially preserved. To this end, the first article [1] also considered the combined effects of magnetic fields, a finite Ehrenfest time, and dephasing on symmetric systems and also discussed the reduction of symmetry-related interference effects by deformations of the dots.

In the present paper, we contrast this ‘internal’ symmetry breaking with symmetry breaking which is due to the position or shape of the leads (for examples of such situations see Fig. 1). We ask what happens to the transport if we take a symmetric dot coupled to leads which respect the symmetry, and then start moving one of the leads. In the fully symmetric situation, the magnitude of UCFs is doubled for each independent symmetry, while the weak localization correction can be either increased or reduced (sometimes remain unaffected) depending on the spatial symmetry in question [1,2,3]. Are these symmetry-induced effects modified when the leads are deformed or displaced? If so, are they sensitive to displacement on a quantum scale (of order of a Fermi wavelength) or a classical scale (of order of a lead width)?

The present literature does not offer much guidance to answer these questions — indeed, the knowledge on transport in spatially symmetric systems with displaced leads is rather limited. Reference [6] reports that the distribution of transmission eigenvalues of a left-right symmetric dot with completely asymmetrically-placed leads differs slightly from the distribution of a completely asymmetric dot. Because the difference is small, symmetric systems (such as stadium billiards) with displaced leads are indeed often used as representatives of completely asymmetric systems (see, e.g., Refs. [4,5]). Recent works of one of the authors, on the other hand, identify a huge conductance peak in weakly coupled mirror-symmetric double-dots which still remains large even when the leads are not placed symmetrically [7,8].

A simple consideration of weak localization quickly convinces us that it could never be as robust as the above-mentioned huge conductance peak in double dots. In systems without spatial symmetries, weak localization is the counter-part of coherent backscattering—particle conservation guarantees that one cannot have one without the other. Systems with spatial symmetries have addition coherent back- and forward-scattering contributions (as discussed in the first of this pair of articles [1]). These contributions rely on interference between paths that are related by spatial symmetry. If those paths do not both couple to the leads, they cannot generate an interference contribution to conductance. Thus, if we displace one lead so much that there is no intersection with its spatially symmetric partner ($W_{\Omega} = 0$ in Fig. 1) then the contributions to coherent forward scattering due to the spatial symmetries must vanish.

The precise distance by which one has to move the lead to substantially suppress the symmetry-related contributions depends on the detailed position dependence of the coherent forward- and backscattering peaks. In principle, these coherent interference patterns could oscillate on a scale of a wavelength, and thus one might imagine that a small displacement of that order would suffice.
The calculations and numerical computations presented by us here show that this is not the case. Instead, the coherent forward- and backscattering peaks have a width of order the lead width, and do not oscillate on the scale of a wavelength.

These considerations entail that the displacement of leads in internally symmetric systems offers a unique means to study coherent forward- and backscattering processes. From photonic systems it is known that the shape of the coherent backscattering cone provides valuable information on the multiple scattering in a sample [9, 10]. Based on the results of the present work, transport measurements with gradually displaced leads promise to give similar insight into the dynamics of electronic systems.

This work is organized as follows. Section II introduces notation and provides a condensed review of the basic semiclassical concepts elaborated in more detail in the first of this pair of articles [1]. The following sections describe the consequences of displaced leads for the weak localization correction in systems with left-right symmetry (Sec. III), inversion symmetry (Sec. IV), up-down symmetry (Sec. V) and four-fold symmetry (Sec. VI). In Sec. VII we study the magnitude of universal conductance fluctuations. Finally, in Section VIII we generalize the phenomenological RMT model of symmetry breaking (presented in [1]) to the case of displaced leads, and compare the results of numerical computations to the semiclassical predictions. Our conclusions are collected in Section IX. The appendix contains some further details on the semiclassical calculation of universal conductance fluctuations.

II. BACKGROUND

To make this article self-contained we here first fix notation and then briefly summarize the main concepts of the theory of semiclassical transport in systems with spatial symmetries, developed in the first of this pair of articles [1].

A. Characteristic scales

We consider chaotic quantum dots of size \( L \) [area \( A = O(L^2) \) and circumference \( C = O(L) \)] which may possess any of the following three types of spatial symmetry: a left-right mirror-symmetry, an inversion symmetry, and an up-down mirror-symmetry. We also consider four-fold symmetric systems which simultaneously possess all the above symmetries. The quantum dot is perfectly coupled to two leads, labelled left (L) and right (R) and carrying \( N_L \) and \( N_R \) modes, where \( N_\kappa = \rho_F W_\kappa / (\pi \hbar) \gg 1 \) for \( \kappa \in L, R \) (here \( \rho_F \) is the Fermi momentum; we also denote the Fermi velocity by \( v_F \)). The quantum dynamics in the dot is characterized by a number of time scales, given by the time of flight \( \tau_0 = \pi A / C v_F \) between successive reflections off the boundaries, the dwell time \( \tau_D = \tau_0 \times C / (W_L + W_R) \), the dephasing time \( \tau_\phi = 1 / \gamma_\phi \) (where \( \gamma_\phi \) is the dephasing rate), and a time scale \( \tau_B = (B_0 / B)^2 \tau_0 \) on which a magnetic field destroys time-reversal symmetry. Here, \( B_0 \sim \hbar / (e A) \) is a characteristic field strength at which about one flux quantum penetrates the quantum dot. In transport, the effect of a magnetic field is felt at a smaller magnetic field

\[
B_c = a B_0 \sqrt{\tau_0 / 2 \tau_D},
\]

where \( a \) is a system-specific parameter of order one [11]. Furthermore, the quantum-to-classical crossover is characterized by the open-system Ehrenfest time \( \tau^0_{EC} = \pi A / C v_F \).
\[ \Lambda^{-1} \ln[W^2/(L \Lambda)] \] and the closed-system Ehrenfest time \( \tau_E^* = \Lambda^{-1} \ln[L/\Lambda] \), where \( \Lambda \) is the classical Lyapunov exponent and \( \Lambda \) is the Fermi wavelength \( [2] \).

In contrast to Ref. [1], we here consider the possibility that the leads do not respect the symmetry of the dot. As shown in Fig. 1, the displacement from the symmetry-respecting position is characterized by the overlap of leads under the relevant symmetry operation. For left-right mirror symmetry and inversion symmetry, this is the width \( W_{\cap} \) of the intersection of a lead with the image of the other lead. An up-down symmetry maps each symmetry-respecting lead onto itself. The displacement of lead L (R) is then characterized by the width \( W_{\cap,L} \) (\( W_{\cap,R} \)) of the intersection of this lead with its own mirror image. In a four-fold symmetric system, the displacement is characterized by the various widths of intersections with respect to the individual symmetries (\( W_{\cap LR} \) for left-right mirror symmetry, \( W_{\cap inv} \) for inversion symmetry, \( W_{\cap UD:L} \) for up-down mirror symmetry of lead L and \( W_{\cap UD:R} \) for up-down mirror symmetry of lead R).

B. Semiclassical theory of transport

The semiclassical theory of transport \([13, 14]\) expresses the transport through a quantum dot in terms of classical paths \( \gamma, \gamma' \) which connect point \( y_0 \) lead L to point \( y \) on lead R. Summing over lead modes as in Ref. [13], the dimensionless conductance (conductance in units of \( 2e^2/h \)) is given by

\[ g = \frac{1}{2\pi \hbar} \int_{y_0}^{y} dy_0 \int_{R} dy \sum_{\gamma, \gamma'} A_\gamma A_{\gamma'} e^{i(S_\gamma - S_{\gamma'})/\hbar}, \tag{2} \]

where \( S_\gamma = \int_{y_0}^{y} \text{pdr} \) denotes the classical action of a path, and the amplitude \( A_\gamma \) is related to the square-root of the path’s stability.

For most pairs of \( \gamma \) and \( \gamma' \) the exponential in Eq. (2) oscillates wildly as one changes the energy or the dot-shape. Thus they make no contribution to the average conductance (where one averages over energy, dot-shape, or both). The contributions that survive averaging are those where the pairs of paths have similar actions \( S_\gamma \approx S_{\gamma'} \) for a broad range of energies and dot-shapes. In particular, this is the case for the “diagonal contributions” to the above double sum (with \( \gamma' = \gamma \)), which can be analyzed using the sum rule (in the spirit of Eq. (B6) of Ref. [13])

\[ \sum_{\gamma} A_\gamma^2 \cdot \gamma = \int_{-\pi/2}^{\pi/2} d\theta_0 \int_{-\pi/2}^{\pi/2} d\theta_F \cos \theta_0 \]

\[ \times P(Y, Y_0; t) \cdot \gamma_{Y_0}. \tag{3} \]

Here we define \( \tilde{P}(Y, Y_0; t) \delta y \delta \theta \delta t \) as the classical probability for a particle to go from an initial position and momentum angle of \( Y_0 \equiv (y_0, \theta_0) \) on lead L to within \( (\delta y, \delta \theta) \) of \( Y = (y, \theta) \) on lead R in a time within \( \delta t \) of \( t \). The average of \( \tilde{P} \) over an ensemble of dots or over energy results in a smooth function. If the dynamics are mixing on a timescale \( \ll \tau_0 \), one can approximate

\[ \langle \tilde{P}(Y, Y_0; t) \rangle = e^{-t/\tau_0} \cos \theta/[2 (W_L + W_R) \tau_0], \]

which results in the classical Drude conductance

\[ \langle g \rangle_D = \frac{N_L N_R}{(N_L + N_R)}. \tag{4} \]

Quantum corrections to this result originate from correlations of paths \( \gamma \) and \( \gamma' \) which are not identical, but closely related by additional discrete symmetries in the system. For asymmetric quantum dots the only possible additional symmetry is time-reversal symmetry, which results in the ordinary weak localization correction \([16, 17, 18]\) and associated coherent-backscattering peak \([15, 17, 19]\) for systems whose classical dynamics exhibit hyperbolic chaos. The identification of possible pairings is also at the heart of the calculation of the magnitude \( \text{var}(g) \) of universal conductance fluctuations, which in the semiclassical theory naturally takes the form of a quadruple sum over classical paths \([20, 21]\).

Spatial symmetries in such chaotic systems induce further possible pairings both for the average conductance as well as for its variance, which are discussed in detail in the first article in this series \([1]\). In the following sections we revisit these results and extend them to the case of displaced leads, which is far richer than the case of symmetry-respecting leads.

III. LEFT-RIGHT SYMMETRIC QUANTUM DOT WITH DISPLACED LEADS

We first consider a left-right mirror-symmetric system with leads that are (partially or fully) displaced from the symmetry-respecting configuration. As shown in Fig. 1(a), the leads are of different widths and centred at different places. The amount of symmetry-breaking is characterized by the (possibly vanishing) width \( W_{\cap} \) of intersection between lead L and the mirror image of lead R. In Fig. 2 we show the path-pairings for all symmetry-induced interference corrections to the average conductance. (There is a strong resemblance between these contributions and the weak localization correction for systems with leads that contain tunnel barriers; in particular compare the failed coherent forward scattering contributions in Fig. 2 of this article with the failed coherent backscattering contributions in Fig. 4 of Ref. [22].) None of the contributions listed in Fig. 2 are particularly difficult to calculate using the method presented in the first of this pair of articles [1]. This method involves folding paths under the spatial symmetry to find ways in which one can construct pairings between paths or their images, with pairings switching at “effective” encounters; see Fig. 3. The difficulty is to find all contributions. One crucial check is to verify that the sum of all interference contributions to transmission and reflection gives zero, thereby ensuring particle conservation.
Figure 2: (colour online). List of interference contributions to the conductance for a dot with left-right mirror-symmetry when the leads are asymmetric. Here the leads have widths $W_L$ and $W_R$ and are centred at different places. The intersection of the L lead and the R lead’s mirror image has a width $W_\cap$ and is indicated by the unshaded part of the L lead. The sketches on the left are all contributions to transmission from the L lead to the R lead (hence the contributions to conductance). The sketches on the right are all contributions to reflection from the L lead back to the L lead.

The main difference from the equivalent calculation for a system with symmetric leads (cf. Ref. [1]) is that here a pair of symmetry-related paths has a shorter joint survival time than the pairs of identical paths in the diagonal contribution. When the leads are symmetrically placed, the probability of a path staying in the dot (not hitting a lead) is strictly identical to the probability of its mirror image staying in the dot. This ceases to be the case when the leads are not symmetric. We deal with this by explicitly considering all situations where a path hits a lead (in which case it escapes from the system) or the mirror image of a lead (in which its mirror image will escapes from the system). The probability that either of the processes occurs is $(W_L + W_R - W_\cap)/C$ per bounce at the boundary of the dot, where $C$ is the circumference of the dot. We therefore define a modified dwell time

$$\tau'_D = \tau_D \times \frac{W_L + W_R}{2(W_L + W_R - W_\cap)}$$

$$= \tau_D \times \frac{N_L + N_R}{2(N_L + N_R - N_\cap)} \quad (5)$$

which characterizes the probability $\exp[-t/\tau'_D]$ that a path and its mirror image are both still in the dot at time $t$. We use this probability in place of $\exp[-t/\tau_D]$ in evaluating all parts of contributions 1 and 2 in Fig. 2 where the paths are the mirror image of each other.
Figure 3: (colour online). To find the non-trivial path pairings, and to evaluate the phase difference between the paths, we use the folding procedure introduced in Ref. [1] (for symmetric leads). Here we consider the extra contributions generated by the fact that the leads are asymmetric (i.e., contributions 2i-iv in Fig. 2). For each spatial symmetry, we give one example of the folding procedure for an unsuccessful coherent forward-scattering (or backscattering). The ellipses mark the effective encounters, where paths interchange their pairing. The other contributions are easily analyzed in the same way.

A. Successful and failed forward-scattering contributions

The contribution of paths of the type labelled 1 and 2i-2iv in Fig. 2 have an effective encounter close to a lead. These contributions are similar to certain contributions in an asymmetric system with tunnel barriers [22], and hence we use a similar method to analyze them here. The behavior of path $\gamma'$ is completely determined by that of path $\gamma$, so the two paths have the same amplitudes, $A_{\gamma'} = A_\gamma$. The action difference between them is $(S_\gamma - S_{\gamma'}) = (p_\perp + m\Lambda r_{\perp}) r_{\perp}$, where $(r_{\perp}, p_{\perp})$ is the component of $(Y - Y_0)$ which is perpendicular to the direction of path $\gamma$ at $Y$ [22]. Using the sum rule in Eq. (3), we see that the contribution 1 in Fig. 2 is given by (cf. contribution LR:a in Ref. [1])

$$\langle \delta g \rangle_{\text{LR:1}} = (2\pi \hbar)^{-2} \int dY \int_0^\infty dt \left[ \left. \exp \left[ -t/\tau'_D \right] \delta g \right|_{P(Y, Y_0; t)} \right] \Re \left[ e^{i(S_\gamma - S_{\gamma'})/\hbar} \right].$$

The limits on the integral indicate that we only integrate over the region of the leads which have an overlap with each other under the left-right mirror symmetry (the regions of width $W_L$ marked in Fig. 2).

The survival probability $\langle P(Y, Y_0; t) \rangle = \exp[-t/\tau'_D] \delta g$ is that of a path and its mirror image. The probability per unit time for path $\gamma$ to hit within $\delta r, \delta \theta$ of a given point in the region of phase space defined by the union of leads and their mirror images is $\langle P(Y, Y_0; t) \rangle \delta Y$ where $\delta Y \equiv \delta r \delta \theta$. Note that it is $\tau'_D$ rather than $\tau_D$ which gives the decay rate of $\langle P(Y, Y_0; t) \rangle$. We express the integrals in terms of the relative coordinates $(r_{\perp}, p_{\perp})$ and define $T'_W(r_{\perp}, p_{\perp})$ and $T'_L(r_{\perp}, p_{\perp})$ as the time between touching the lead and the perpendicular distance between $\gamma$ and $\gamma'$ becoming of order $W$ and $L$, respectively. For times less than $T'_W(r_{\perp}, p_{\perp})$, the path segments are almost mirror images of each other, and their joint survival probability is the survival probability of a path and its mirror image. For times longer than this the path-pairs escape independently, but since the pairs are made of a path and its mirror image, the escape rate is $\tau'_D$ not $\tau_D$. The $t$-integral in Eq. (6) must have a lower cut-off at $2T'_L(r_{\perp}, p_{\perp})$, because that is the minimum time for reconvergence. (For shorter times there is no contribution, because path $\gamma$ and $\gamma'$ must separate to a distance of order the dot size, if they are going to reconverge at the other lead.) Thus we have

$$\int dY \int_0^\infty dt \langle P(Y, Y_0; t) \rangle = \frac{N_\gamma \exp[-T'_W/\tau'_D - 2(T'_L - T'_W)/\tau'_D]}{N_L + N_R - N_\gamma},$$

where $T'_{L,W}$ are shorthand for $T'_{L,W}(r_{\perp}, p_{\perp})$. Note that the $\tau'_D$ in the denominator of $\langle P(Y, Y_0; t) \rangle$ was cancelled when we integrated over all times longer than $2T'_L$. For small $(p_{\perp} + m\Lambda r_{\perp})$ we find

$$T'_L(r_{\perp}, p_{\perp}) \approx \Lambda^{-1} \ln \left[ \frac{mL}{p_{\perp} + m\Lambda r_{\perp}} \right],$$

and $T'_W(r_{\perp}, p_{\perp})$ is given by the same formula with $L$ replaced by $W$. Evaluating the integrals over the relative coordinates $(r_{\perp}, p_{\perp})$ as in Ref. [1], we finally obtain

$$\langle \delta g \rangle_{\text{LR:1}} = N_\gamma [2(N_L + N_R - N_\gamma)]^{-1} \exp[-\tau'_E/\tau'_D].$$

The failed coherent forward-scattering contributions labelled 2i and 2ii in Fig. 2 come from the window of width $W_L - W_\perp$ in the L lead. This causes an enhanced probability of hitting the mirror image of that part of
lead L. However, the lead R is not there, so this constructive interference peak gets reflected back into the dot, and has a probability of \( N_{\text{LR}} / (N_{\text{L}} + N_{\text{R}}) \) of going to lead R and a probability of \( N_{\text{L}} / (N_{\text{L}} + N_{\text{R}}) \) of going back to lead L \[2\]. The former is a contribution to transmission (and hence to the conductance) while the latter is a contribution to reflection. Thus we have

\[
\langle \delta g \rangle_{\text{LR}:2i} = \frac{(N_{\text{L}} - N_{\gamma}) N_{\text{R}} \exp[-\tau_{\text{E}} / \tau_{\text{D}}]}{2(N_{\text{L}} + N_{\text{R}} - N_{\gamma})(N_{\text{L}} + N_{\text{R}})} \tag{10}
\]

\[
\langle \delta R \rangle_{\text{LR}:2i} = \frac{(N_{\text{L}} - N_{\gamma}) N_{\text{L}} \exp[-\tau_{\text{E}} / \tau_{\text{D}}]}{2(N_{\text{L}} + N_{\text{R}} - N_{\gamma})(N_{\text{L}} + N_{\text{R}})} \tag{11}
\]

By inspection of Fig. 2 it follows that \( \langle \delta g \rangle_{\text{LR}:2iii} \) is given by Eq. \[10\] with \( N_{\text{R}} \) and \( N_{\text{L}} \) interchanged, while \( \langle \delta R \rangle_{\text{LR}:2iv} = \langle \delta R \rangle_{\text{LR}:2ii} \).

### B. Uniform contributions to transmission and reflection

To evaluate the uniform contributions to transmission and reflection, labelled 3i and 3ii in Fig. 2 we divide the pairs of paths in this contribution into three regions. The first part is when \( \gamma' \) and \( \gamma \) are the same, and are far from the encounter (a time \( T_{\text{W}} / 2 \) or more away from the encounter). Here the probability for the paths to escape is \( 1 / \tau_{\text{D}} \) per unit time. The second region is where \( \gamma' \) and \( \gamma \) are the mirror image of each other and far from the encounter (a time \( T_{\text{W}} / 2 \) or more from the encounter). Here the probability of one or both paths to escape is \( 1 / \tau_{\text{D}} \) per unit time. Finally, the third region is close to the encounter (less than a time \( T_{\text{W}} / 2 \) away from the encounter). Here the probability for the paths to escape the first time they pass through this region surrounding the encounter is \( \exp[-T_{\text{W}} / \tau_{\text{D}}] \). However, the conditional probability to escape the second time the paths pass through this region (given that they both survived the first time) is \( \exp[-1 / \tau_{\text{D}}] \). It follows that the contribution \( 3i \) is given by \( \langle \delta g \rangle_{\text{LR}:3i} = (\pi \hbar)^{-1} \int \text{d} Y_0 \int \text{d} \epsilon [\exp(e^{i(S_{\gamma} - S_{\gamma'} )})/h] \langle F(Y_0, \epsilon) \rangle \), where the action difference \( (S_{\gamma} - S_{\gamma'}) \) is the same as for weak localization in Refs. \[17, 24\] and

\[
F(Y_0, \epsilon) = 2 \pi \epsilon \sin \epsilon \int_{0}^{\infty} \text{d}t \int_{T_{\text{L}} + \tau_{\text{D}}}^{T_{\text{L}} + \tau_{\text{D}}} \text{d}t_2 \int_{T_{\text{L}}}^{T_{\text{L}}} \text{d}t_1 \times p F \cos \theta_0 \int_{R} \text{d} Y \int_{C} \text{d} R \tilde{P}(Y, R_2; t - t_2) \times \tilde{P}'(R_2, R_1; t_2 - t_1) \tilde{P}(R_1, Y_0; t_1). \tag{12}
\]

Since the paths are paired with their mirror image between time \( t_1 \) and time \( t_2 \), the survival rate is \( \tau_{\text{D}} \) during this time, but it is \( \tau_{\text{D}} \) at all other times. Evaluating this integral with these survival times gives

\[
\langle F(Y_0, \epsilon) \rangle = \frac{2 \pi \epsilon \tau_{\text{D}}}{2 \pi A} \frac{N_{\text{R}}}{N_{\text{L}} + N_{\text{R}}} p F \cos \theta_0 \times \sin \epsilon \exp [-T_{\text{L}}(\epsilon)/\tau_{\text{D}}]. \tag{13}
\]

This has two differences from the result for symmetric leads in Ref. \[1\]. The exponent contains \( \tau_{\text{D}} \) not \( \tau_{\text{D}} \), and the prefactor contains \( \tau_{\text{D}} \) not \( \tau_{\text{D}} \). When integrating over \( \epsilon \), we obtain a factor of \( [\Lambda_{\text{D}}^{-1}]^{1} \exp[-\tau_{\text{E}} / \tau_{\text{D}}] \) in place of \( \tau_{\text{D}}^{-1} \). Thus the \( \tau_{\text{D}} \) in the prefactor is cancelled \[25\]. Evaluating the integrals, we get

\[
\langle \delta g \rangle_{\text{LR}:3i} = -N_{\text{L}} N_{\text{R}} (N_{\text{L}} + N_{\text{R}})^{-2} \exp[-\tau_{\text{E}} / \tau_{\text{D}}], \tag{14}
\]

\[
\langle \delta R \rangle_{\text{LR}:3ii} = -N_{\text{L}}^2 (N_{\text{L}} + N_{\text{R}})^{-2} \exp[-\tau_{\text{E}} / \tau_{\text{D}}]. \tag{15}
\]

These results are of the same form as the weak localization correction except that the exponent contains \( \tau_{\text{D}} \) in place of \( \tau_{\text{D}} \). In particular, we recover the familiar factor of \( -N_{\text{L}} N_{\text{R}} / (N_{\text{L}} + N_{\text{R}})^2 \) even though the joint survival time is reduced when the paths are mirror images of each other.

One can next include other suppression effects such as asymmetry in the dot and dephasing, which we discussed for dots with symmetric leads in Ref. \[1\]. The only difference caused by asymmetric leads is that now the parts of contributions affected by asymmetries and dephasing (parts where paths are paired with their mirror image) decay with a rate \( \tau_{\text{D}} \) instead of \( \tau_{\text{D}} \). Thus we find that all the contributions listed in Fig. 2 are then multiplied by a factor

\[
Z'_{\text{LR}}(\gamma_{\text{asym}}, \gamma_{\phi}) = \frac{\exp[-\gamma_{\phi} t - \gamma_{\text{asym}} t_{\text{asym}}]}{1 + (\gamma_{\text{asym}} + \gamma_{\phi}) t_{\text{D}}}, \tag{16}
\]

where the expression for the decay rates \( \gamma_{\text{asym}}, \gamma_{\phi} \) and timescales \( t, t_{\text{asym}} \) are the same as for a dot with symmetric leads \[1\].

### C. Conductance of a left-right symmetric quantum dot with asymmetric leads

As required by particle number conservation, the seven contributions in Fig. 2 sum to zero. In order to obtain the conductance, we sum the four contributions to transmission from the left lead to the right lead (contributions 1, 2i, 2ii and 3i), and add them to the Drude conductance and the weak localization correction. This gives the conductance of a chaotic left-right symmetric quantum dot with many-modes on each lead \( (N_{\text{L}}, N_{\text{R}}, N_{\gamma} \gg 1) \),

\[
\langle g \rangle_{\text{LR}} = \frac{N_{\text{L}} N_{\text{R}}}{N_{\text{L}} + N_{\text{R}}} \left[ \frac{N_{\text{L}} e^{-\tau_{\text{E}} / \tau_{\text{D}}}}{N_{\text{L}} + N_{\text{R}} - N_{\gamma}} Z'_{\text{LR}}(\gamma_{\text{asym}}, \gamma_{\phi}) - e^{-\tau_{\text{E}} / \tau_{\text{D}}} Z(B, \gamma_{\phi}) \right] + O[N_{\text{L}}^{-1}, N_{\text{R}}^{-1}], \tag{17}
\]

where \( Z'_{\text{LR}}(\gamma_{\text{asym}}, \gamma_{\phi}) \) is given by Eq. \[16\]. The second term in the square brackets is the usual weak localization correction, which is suppressed by magnetic fields and dephasing according to the function \( Z(B, \gamma_{\phi}) = \).
\[ g \] has a total average conductance of
\[ \langle g \rangle_{\text{inv}} = \frac{N_L N_R}{N_L + N_R} + \frac{N_L N_R}{(N_L + N_R)^2} \left( \frac{N_R e^{-\tau_E/\tau_0}}{N_L} - e^{-\tau_E/\tau_0} \right) + O[N^{-1}]. \] (18)

The second special case is when the lead $R$ is narrower but situated entirely within the mirror image of lead $L$; we then have $N_1 < N_L < N_R$. Assuming again that there is no dephasing, magnetic field, or internal asymmetry, we find
\[ \langle g \rangle_{\text{LR}} = \frac{N_L N_R}{N_L + N_R} \]
\[ + \frac{N_L N_R}{(N_L + N_R)^2} \left( \frac{N_R e^{-\tau_E/\tau_0}}{N_L} - e^{-\tau_E/\tau_0} \right) + O[N^{-1}]. \] (19)

As one could scan the narrow lead $R$ across the mirror image of the wide lead $L$, this scenario can be thought of as a probe of the shape of the coherent forward-scattering peak. The fact that our result Eq. (19) is independent of the position of lead $R$ tells us that the forward-scattering peak is uniformly distributed over the region defined by the mirror image of lead $L$.

**IV. INVERSION-SYMMETRIC QUANTUM DOT WITH ASYMMETRIC LEADS**

For systems with inversion symmetry the calculation follows much as for a left-right symmetry. The one significant difference is the magnetic-field dependence of the contributions, which was treated in Ref. [1]. The displacement of the leads simply requires us to replace $\tau_D$ with $\tau_0'$ in the suppression of contributions by magnetic fields, asymmetries in the dot, and dephasing. The suppression factor therefore takes the form
\[ Z'_{\text{inv}}(B, \gamma_{\text{asym}}, \gamma_\phi) = \frac{\exp[-\gamma_{\text{asym}} \gamma_{\text{asym}} - \gamma_\phi \gamma_\phi \tau_0]}{1 + (B/B_c')^2 + (\gamma_{\text{asym}} + \gamma_\phi \tau_0)}, \] (20)
where $B_c' = a B_0 \sqrt{\tau_0/\tau_D'}$ is given by Eq. (11) with $\tau_D$ replaced by $\tau_0'$. As a result, an inversion-symmetric quantum dot with many modes on each lead ($N_L, N_R, N_1 \gg 1$) has a total average conductance of
\[ \langle g \rangle_{\text{inv}} = \frac{N_L N_R}{N_L + N_R} + \frac{N_L N_R}{(N_L + N_R)^2} \left( \frac{N_R e^{-\tau_E/\tau_0}}{N_L} - e^{-\tau_E/\tau_0} \right) Z'_{\text{inv}}(B, \gamma_{\text{asym}}, \gamma_\phi) + O[N^{-1}]. \] (21)

With the exception of the magnetic-field dependence of the second term, this formula is the same as Eq. (17) for a left-right symmetric dot. Thus the two special cases discussed below Eq. (17) are directly applicable here.

**V. UP-DOWN SYMMETRIC QUANTUM DOT WITH ASYMMETRIC LEADS**

For up-down symmetric systems, there are a number of important differences with the case of left-right symmetry discussed in Section III. Firstly, a pair of paths related by the mirror symmetry decays jointly at a rate
\[ \tau_D^{(UD)} = \tau_D \times \frac{N_L + N_R}{2N_L + 2N_R - N_{\text{UL}} - N_{\text{UR}}}, \] (22)
where $N_{\text{UL}}$ is the number of modes in the intersection of lead $L$ with its own mirror image, and $N_{\text{UR}}$ is the number of modes in the intersection of lead $R$ with its own mirror image. Secondly, the successful and failed forward-scattering contributions for left-right symmetry are converted into successful and failed backscattering contributions for up-down symmetry. In particular, successful backscattering makes no contribution to the conductance. The other contributions to transmission are not very different from those for left-right symmetry, except that one must distinguish $N_{\text{UL}}$ from $N_{\text{UR}}$, and one must replace $\tau_D$ by $\tau_D^{(UD)}$. Summing up the contributions to conductance induced by the spatial symmetry, we find
\[ \langle \delta g \rangle_{\text{UD}} \equiv \frac{(N_{\text{UL}} N_{\text{UL}}^2 + N_{\text{UL}} N_{\text{UR}}^2) \exp[-\tau_E/\tau_D^{(UD)}]}{(2N_2 + 2N_R - N_{\text{UL}} - N_{\text{UR}})(N_L + N_R)^2} \times Z'_{\text{UD}}(\gamma_{\text{asym}}, \gamma_\phi), \] (23)
where $Z'_{\text{UD}}(\gamma_{\text{asym}}, \gamma_\phi)$ has the same form as $Z_{\text{LR}}'(\gamma_{\text{asym}}, \gamma_\phi)$ given in Eq. (19), but with $\tau_D'$ replaced by $\tau_D^{(UD)}$. Like for left-right mirror-symmetry (but unlike for inversion symmetry) this contribution is unaffected by a magnetic field.
The average conductance of an up-down mirror-symmetric dot with many modes on each lead is therefore

\[
\langle g \rangle_{UD} = \frac{N_L N_R}{N_L + N_R} - \frac{N_L N_R}{(N_L + N_R)^2} \left[ \frac{N_{\text{L}L} N_R}{N_L} + \frac{N_{\text{R}R} N_L}{N_R} \right] \frac{\exp[-\tau_E/\tau_D] + \exp[-\tau_E/\tau_D]}{2N_L + 2N_R - N_{\text{L}L} - N_{\text{R}R}} + \exp[-\tau_E/\tau_D] Z_{w_l}(B, \gamma) + O[N^{-1}] \right] 
\]

(24)

It is worth noting that the spatial symmetry induces a reduction of conductance whenever one lead is close to symmetric, even if the other lead is completely asymmetric (i.e., when \(N_{\text{L}L} = 0\) but \(N_{\text{R}R} \neq 0\), or vice versa). For example, when both leads have the same width \((N_L = N_R = N)\) and the right lead is perfectly on the symmetry axis \((N_{\text{R}R} = N_R)\), but the left lead is a long way from the symmetry axis \((N_{\text{L}L} = 0)\), Eq. (24) reduces to

\[
\langle g \rangle_{UD} = \frac{N}{2} - \frac{1}{4} \left[ \frac{1}{3} \exp[-\tau_E/\tau_D] + \exp[-\tau_E/\tau_D] \right] + O[N^{-1}] 
\]

(25)

assuming no dephasing, magnetic field and no asymmetry in the dot. If the Ehrenfest time is much shorter than \(\tau_D\) and \(\tau_D^{UD}\), the average conductance of the system with one displaced lead is therefore simply \(\langle g \rangle_{UD} = N/2 - 1/3\).

Remarkably, the conductance from the L lead to the R lead is therefore affected by the symmetry of the dot even when the L lead is completely asymmetric. This result is perhaps less counterintuitive when one considers reflection (rather than transmission). If one lead is on the symmetry axis, then reflection back to that lead will be enhanced even if the other lead is a long way from the symmetry axis. Since we have particle conservation, there must be an associated reduction in transmission from one lead to the other (compared to transmission in a completely asymmetric situation).

VI. FOUR-FOLD SYMMETRIC QUANTUM DOT WITH ASYMMETRIC LEADS

A quantum dot with four-fold symmetry simultaneously possesses all three of the spatial symmetries that we discuss in this article. The interference corrections to the conductance of such a system are simply the sum of the corrections due to each of these three symmetries (i.e., the presence of the extra symmetries has no effect on the contributions which do not respect those symmetries),

\[
\langle \delta g \rangle_{4F} = \langle \delta g \rangle_{\text{L}R} + \langle \delta g \rangle_{\text{inv}} + \langle \delta g \rangle_{\text{UD}}, 
\]

(26)

where \(\langle \delta g \rangle_\kappa\) is the contribution to the average conductance induced by spatial symmetry \(\kappa \in \text{LR, inv, UD}\). The explicit form of this result is easily extracted from the expressions in the previous sections. Instead of writing it out in full, we consider the special case where the two leads have the same width, \(N_L = N_R = N\), the Ehrenfest time is negligible and there is no dephasing, magnetic field or asymmetry in the dot. The average conductance then takes the form

\[
\langle g \rangle_{4F} = \frac{N}{2} + \frac{1}{4} \left[ \frac{N_{\text{L}L} N_R}{2N - N_{\text{L}L}} + \frac{N_{\text{R}R} N_L}{2N - N_{\text{R}R}} - \frac{N_{\text{L}L} - N_{\text{R}R}}{4N - N_{\text{L}L} - N_{\text{R}R}} - 1 \right],
\]

(27)

where \(N_{\text{L}L}\) is the intersection between leads L and R under the left-right symmetry, \(N_{\text{R}R}\) is the intersection between leads L and R under the inversion symmetry, and \(N_{\text{UD}:L}, N_{\text{UD}:R}\) is the intersection of lead L (R) with itself under the up-down symmetry. The final term in the square-bracket is the usual weak localization contribution.

Since the presence of two of the above mentioned symmetries always implies the presence of the third, it is not possible to move the leads such that only one of the \(N_\kappa\) parameters changes. Without affecting the integrity of the leads there are only two possible modifications for which only two of the parameters change; starting with perfectly symmetric leads one can (a) move both leads upwards by the same amount so that \(N_{\text{L}L}\) is unchanged, or (b) move both leads by the same amount in opposite directions (one up and one down) so that \(N_{\text{inv}}\) is unchanged. In principle, it is also possible to break up a single lead (say L) in the middle and move the two parts into opposite directions (both parts would still be contacted by the same source or drain electrode); this preserves \(N_{\text{L}L}\) and \(N_{\text{R}R}\) but affects the other parameters. However, the latter deformation is difficult to realize in practice.

VII. UNIVERSAL CONDUCTANCE FLUCTUATIONS WITH DISPLACED LEADS

Now we turn to the magnitude of universal conductance fluctuations (UCFs) in symmetric dots with asymmetric leads. Their calculation is generally far more complicated than the calculation of the average conductance. This is illustrated by the fact that there is as yet no semiclassical theory of UCFs for leads with tunnel barriers, a problem which has many similarities to the problem we need to solve here. Thus we restrict ourselves to the simplest case of quantum dots with negligible Ehrenfest
time and negligible dephasing, and only consider magnetic fields which are either negligibly small \((B \ll B_c)\), or sufficiently strong to break time-reversal symmetry in the asymmetric system \((B \gg B_c)\).

The magnitude of the UCFs (with conductances measured in units of \(e^2/h\)) is given by \(\text{var}[g] = \text{var}[T]\), where \(T = \text{tr}[tt^\dagger]\) and \(t\) is the block of the scattering matrix \(S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}\) associated with transmission from lead L to lead R. For practical calculations it is beneficial to exploit the unitarity of the scattering matrix (i.e., current conservation), which results in the relations \(T = N_L - R = N_R - R'\) with \(R = \text{tr}[r^\dagger r]\) and \(R' = \text{tr}[r'^\dagger r']\), where \(r\) is the block of the scattering matrix associated with reflection back to lead L, and \(r'\) describes reflection back to lead R. As a result we can write the magnitude of the UCFs in any of the following ways,

\[
\text{var}[g] = \text{var}[R] = \text{var}[R'] = \text{covar}[R, R'].
\]

As for conventional UCFs without spatial symmetries \([20, 21]\), the semiclassical calculation of \(\text{covar}[R, R']\) is most straight-forward, thus we base our calculations on this quantity. For the expert reader, Appendix \(A\) contains an outline of the calculation of \(\text{var}[R]\) and \(\text{var}[R']\), showing that they equal \(\text{covar}[R, R']\).

All symmetry-induced contributions to \(\text{covar}[R, R']\) for an up-down symmetric dot are listed in Fig. 4. For a left-right or inversion-symmetric dot there are additional contributions, which are listed in Fig. 5. In all cases, when paths 2 and 2' are not paired with each other they are paired with the image of 1' and 1 respectively (indicated by solid arrowheads). If the system has a time-reversal symmetry then path 2 and 2' can also be paired with the time-reverses of the image of 1' and 1, respectively (indicated by the open arrowheads).

In analogy to the situation in asymmetric systems, one would also expect contributions in which paths wind around periodic orbits (see Figs. 1b,c in Ref. \([21]\)). For example, a symmetric quantum dot will have contributions in which path 1' is the same as path 1 except that it winds around a periodic orbit \(p\) when path 1 does not (thus path 1 must come very close to the periodic or-
Figure 5: (colour online). A sketch of additional semiclassical contributions to UCFs (more specifically, contributions to \( \text{covar}[R,R'] \)) for left-right or inversion-symmetric dots with asymmetric leads. The contributions listed here must be added to those listed in Fig. 4 (once one sets \( \cap L = \cap R = \cap \)) to get the full set of contributions for left-right or inversion-symmetric dots. The manner in which the contributions are sketched is explained in the caption of Fig. 4.

The manner in which the contributions are sketched is explained in the caption of Fig. 4.

A. Effect of time-reversal symmetry

Inspecting the sketches in Figs. 4 and 5 we see that all contributions are doubled when the magnetic field is negligible, because path 2 can either follow the image of path 1’ or the time-reverse of path 1’. Thus we can multiple all terms by \( 2/\beta \), where \( \beta = 1 \) for a system with negligible magnetic field, \( B \ll B_c \), and \( \beta = 2 \) for a system with a finite magnetic field, \( B \gg B_c \). In the latter case the presence of mirror-reflection symmetries allows one to define a generalized time-reversal symmetry; however, this is already accounted for in the construction of all diagrams (see Appendix A of Ref. [1]).

B. UCFs in an up-down symmetric dot

The general rules for constructing all contributions to the UCFs are the following. Each segment where path 2 or 2’ is paired with the image of path 1 or 1’ gives a factor of \( (2N_L + 2N_R - N_\cap L - N_\cap R)^{-1} \), which arises from the survival time \( \tau_{UD} \) given in Eq. (22). Each segment where paths 2 and 2’ are paired (or paths 1 and 1’ are paired) gives a factor of \( (N_L + N_R)^{-1} \), which comes from the conventional survival time \( \tau_D \). Each segment that touches a lead gives a factor equal to the number of lead modes that the path could couple to; i.e., a lead labelled “\( R - \cap R \)” gives a factor of \( (N_R - N_\cap R) \), while a lead labelled “\( R \)” simply gives a factor of \( N_R \). An encounter which touches a lead gives the same factor as a simple path-segment that touches a lead, so again if it is labelled “\( R - \cap R \)” then it gives a factor of \( (N_R - N_\cap R) \) (this rule is proven by applying the same analysis as was used for the successful and failed forward-scattering processes in Section IV A). Finally, encounters deep in the dot (i.e., those which do not touch the leads) give a factor of
-(2N_L + 2N_R - N_{\gamma L} - N_{\gamma R}) \text{ (this rule can be proven by applying the same analysis as was used for the uniform contributions to transmission in Section \ref{sec:results}). With this set of rules we can easily see that contribution (i) in Fig. \ref{fig:ufc} gives}

\begin{equation}
C_i = \frac{2}{\beta} \frac{N_R^2(N_R - N_{\gamma R})^2 + 2N_L(N_L - N_{\gamma L})N_R(N_R - N_{\gamma R}) + (N_L - N_{\gamma L})^2N_R^2}{(2N_L + 2N_R - N_{\gamma L} - N_{\gamma R})^2(N_L + N_R)^2}.
\end{equation}

Next we see that \( C_{ii} = C_{ii}, \) and that they are negative because only one of the encounters is deep in the dot (the other is near a lead), resulting in

\[ C_{ii} = -2 \frac{2}{\beta} \frac{N_R^2(N_R - N_{\gamma R}) + N_L(N_L - N_{\gamma L})N_R^2}{(2N_L + 2N_R - N_{\gamma L} - N_{\gamma R})(N_L + N_R)^3}. \]

Finally \( C_{iv} \) gives a positive contribution because it has two encounters deep in the dot, and is given by

\begin{equation}
C_{iv} = \frac{2}{\beta} \frac{N_{\gamma L}^2N_{\gamma R}^2}{(N_L + N_R)^4}.
\end{equation}

The total magnitude of the UCFs is given by the UCFs of an asymmetric dot, \( \text{var}[g]_{\text{asym}} \), plus the sum of the terms above, i.e., \( \text{var}[g] = \text{var}[g]_{\text{asym}} + C_{ii} + C_{iii} + C_{iv}. \)

In the limit of perfectly symmetric leads (\( N_{\gamma L} = N_L \) and \( N_{\gamma R} = N_R \)), only \( C_{iv} \) survives and the UCFs have double the magnitude as those for an asymmetric dot. In the limit of completely asymmetric leads (\( N_{\gamma L} = N_{\gamma R} = 0 \)), one has \( C_{i} + C_{ii} + C_{iii} + C_{iv} = 0 \), and the UCFs have the same magnitude as those for an asymmetric dot.

To express \( \text{var}[g] \) for arbitrary \( N_L, N_R, N_{\gamma L}, \) and \( N_{\gamma R} \), we find it beneficial to introduce the quantities \( n_{\kappa} = N_{\kappa}/(N_L + N_R) \) and \( w_{\kappa} = 1 - N_{\gamma \kappa}/N_{\kappa} \), where \( \kappa = L, R \). Making use of the fact that \( n_L + n_R = 1 \), we find

\begin{equation}
\text{var}[g] = \text{var}[g]_{\text{asym}} + \frac{2}{\beta} \frac{N_{\gamma L}^2N_{\gamma R}^2}{(N_L + N_R)^4} \left( \frac{1 - (1 - n_L)w_L - (1 - n_R)w_R}{1 + n_Lw_L + n_Rw_R} \right)^2,
\end{equation}

where in this notation \( \text{var}[g]_{\text{asym}} = (2/\beta)N_{\gamma L}^2N_{\gamma R}^2. \) In the special case where \( N_L = N_R \), displacing the leads suppresses the symmetry-induced contribution to UCFs by a factor \( [(2 - w_L - w_R)/(2 + w_L + w_R)]^2 \).

In terms of the original quantities \( N_L, N_R, N_{\gamma L}, \) and \( N_{\gamma R} \), Eq. \ref{eq:ufc} takes the form

\begin{equation}
\text{var}[g] = \text{var}[g]_{\text{asym}} + \frac{2}{\beta} \frac{N_{\gamma L}^2N_{\gamma R}^2}{(N_L + N_R)^4} \left( \frac{N_{\gamma L}N_{\gamma R}/N_L + N_{\gamma R}N_{\gamma L}/N_R}{2N_L + 2N_R - N_{\gamma L} - N_{\gamma R}} \right)^2,
\end{equation}

where \( \text{var}[g]_{\text{asym}} = (2/\beta)N_{\gamma L}^2N_{\gamma R}^2(N_L + N_R)^{-4}. \) Comparison with Eq. \ref{eq:ufc} shows that lead displacement suppresses the symmetry-induced contributions to UCFs by a factor that is the square of the suppression of the symmetry-induced contributions to the average conductance.

\section{UCFs in a left-right or inversion-symmetric quantum dot}

For a systems with a left-right or an inversion symmetry, we once again find the magnitude of the UCFs by evaluating \( \text{covar}[R, R'] \). For these symmetries, we must consider the contributions in Fig. \ref{fig:ufc} in addition to those in Fig. \ref{fig:ufc}. The origin of the extra contributions in Fig. \ref{fig:ufc} is most clearly understood by considering the case of perfectly symmetric leads. Then the left-right and inversion symmetries map lead L onto lead R, which means that if path 2 is paired with path 1’ then path 2 will hit lead R when path 1’ hits lead L (meaning the image of path 1’ hits lead R). One can thereby immediately see that the contribution \( C_v \) in Fig. \ref{fig:ufc} contributes to \( \text{covar}[R_L, R_R] \) (this was not the case for up-down symmetry, since there path 1’ hits the same lead as the image of path 1’). For asymmetric leads a similar situation occurs. If path 1’ hits the intersection region of width \( W_{\gamma} \) on lead L then its image hits lead R; thus path 2 will also hit lead R if it is paired with 1’ over this segment.

The rules to evaluate each contribution are the same as for up-down symmetry, with now necessarily \( N_{\gamma L} = N_{\gamma R} = N_{\gamma}. \) Using these rules, we find that

\begin{equation}
C_v + C_{vi} = - \frac{2}{\beta} \frac{4N_{\gamma}N_LN_R - N_{\gamma}^2(N_L + N_R)}{(2N_L + N_R - 2N_{\gamma})(N_L + N_R)^4},
\end{equation}

\begin{equation}
C_{vii} + C_{viii} = - \frac{2}{\beta} \frac{2N_{\gamma}N_LN_R}{(2N_L + N_R - 2N_{\gamma})(N_L + N_R)^2}.
\end{equation}

Summing these contributions and writing the result with the same denominator as Eq. \ref{eq:ufc} gives

\begin{equation}
C_v + C_{vi} + C_{vii} + C_{viii} = - \frac{2}{\beta} \frac{N_{\gamma}^2(N_{\gamma}^2 - N_{\gamma}^4)(N_L + N_R)^4}{(2N_L + N_R - 2N_{\gamma})(N_L + N_R)^4}.
\end{equation}

Adding this set of contribution to those already calculated in the previous section, we find that the UCFs of a left-right or inversion-symmetric dot with asymmetric
leads is given by

$$\text{var}[g] = \text{var}[g]_{\text{asym}} + \frac{2}{\beta} \frac{N_L^2 N_R^2}{(N_L + N_R)^4} \left( \frac{N_R}{N_L + N_R - N_R} \right)^2.$$  \hspace{1cm} (37)

By comparing this with Eq. (17), we find that the suppression of symmetry-induced contributions to UCFs is the square of suppression of the symmetry-induced contributions to the average conductance (just as we already found for an up-down symmetric system).

D. UCFs in a 4-fold symmetric

For completeness, we now briefly discuss UCFs in a 4-fold symmetric dot with asymmetric leads. A 4-fold dot has all three of the symmetries discussed above. Thus the UCFs in a four-fold symmetric system are given by the sum of all possible symmetry-induced contributions (just as with symmetric leads [1]). Given the results in the preceding sections, the general formula is easily determined. Here we give the result for the special case $N_L = N_R = N$,

$$\text{var}[g] = \frac{1}{8\beta} \left[ \left( \frac{N_{\text{OLR}}}{2N - N_{\text{OLR}}} \right)^2 + \left( \frac{N_{\text{inv}}}{2N - N_{\text{inv}}} \right)^2 + \left( \frac{N_{\text{UDL}} + N_{\text{UDR}}}{4N - N_{\text{UDL}} - N_{\text{UDR}}} \right)^2 + 1 \right].$$  \hspace{1cm} (38)

where $N_{\text{OLR}}$ is the intersection between leads L and R under the left-right symmetry, $N_{\text{inv}}$ is the intersection between leads L and R under the inversion symmetry, and $N_{\text{UDL}}$ ($N_{\text{UDR}}$) is the intersection of lead L (R) with itself under the up-down symmetry. The final term in the square-bracket represents the usual UCFs for an asymmetric dot.

Note that the suppression of each symmetry-induced term goes like the square of the equivalent term in the average conductance, Eq. (27).

VIII. COMPARISON TO RANDOM-MATRIX THEORY

In this section we compare the semiclassical predictions derived in the previous sections to numerical results obtained from a phenomenological random-matrix model. This model generalizes the construction discussed in Section 9 of part I (Ref. [1]).

The general framework is the same as in part I: The conductance is obtained from the Landauer formula $g = \text{tr}[tt^T]$, where $t$ is the transmission block of a scattering matrix $S = \begin{pmatrix} r & t' \\ t & t' \end{pmatrix}$ given by

$$S = P^T (1 - FQ)^{-1} FP.$$  \hspace{1cm} (39)

Here, $F$ is an internal unitary evolution operator of dimension $M$ while $P$ is an $M \times 2N$ dimensional matrix specified below, and $Q = 1 - PP^T$.

In part I we assumed that the leads respect the geometrical symmetries, which allows to fully desymmetrize the system. One can then introduce a fixed form of the matrix $P$ and attribute the effects of symmetries solely to the internal dynamics (the resulting RMT ensembles for $F$ are given in Table 2 of Ref. [1]). It is clear that this full desymmetrization fails when leads are to be displaced. For up-down symmetry, for instance, desymmetrization identifies two effectively separate systems (consisting of modes of even and odd parity) which do not couple to each other. Shifting lead modes in this representation has no effect since RMT is invariant under the permutation of matrix indices. A real displacement of leads, however, mixes the states of even and odd parity. The reason for this discrepancy is that leads are defined locally in real space, while parity is a global symmetry which connects remote parts of the system.

It is therefore necessary to define both the internal evolution operator $F$ as well as the coupling to the leads $P$ in a way which resembles modes in a real-space basis. In principle, this can be done, e.g., based on the sinuosoidal transverse profile of a strip resonator. We adopt a similar, but more efficient procedure, whose principle idea is shown in Fig. 6. The illustration shows an abstract scatterer with $M$ ports which serve as possible contacts to the system. For each lead we select $N$ ports (with index $i_n$ for lead L and $j_n$ for lead R); the remaining ports are closed off. The internal evolution operator $F$ describes the transport from port to port. The scattering matrix is then given by Eq. (39) where $P_{mn} = \delta_{m,i_n} + \delta_{m,j_{N-n}}$.

A crucial point of the illustration in Fig. 6 is the numbering of ports, which are grouped into 4 segments that map in specific ways onto each other when symmetry operations are applied. (i) Left-right symmetry maps...
metric symmetry itself is still present in the dynamics if time-reversal symmetry is effectively broken but the geometric symmetry alone, one can imagine splitting one lead in two

\[
B = \begin{pmatrix}
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
\end{pmatrix}
\]

\[
B \gg B_c
\]

| No spatial sym. | COE(M) | CUE(M) |
|------------------|--------|--------|
| Left-right sym.  | \(A^\dagger\) COE^2(M/2) A | \(A^\dagger\) COE(M) A |
| Inversion sym.   | \(DA^\dagger\) COE(M/2) AD | \(DA^\dagger\) CUE^2(M/2) AD |
| Up-down sym.     | \(CA^\dagger\) COE^2(M/2) AC | \(CA^\dagger\) COE(M) AC |
| Four-fold sym.   | \(DA^\dagger [A^\dagger\) COE^2(M/4) A]^2 AD | \(DA^\dagger [A^\dagger\) COE(M/2) A]^2 AD |

with \(A = 2^{-1/2} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} \), \(C = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \) and \(D = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \)

Table I: Random-matrix ensembles for the internal evolution operator \(F\) in a basis which is suitable for displacing the leads (see Fig. 4). The different entries refer to various geometric symmetries in absence or presence of a magnetic field. We only consider the case \(M \mod 4 = 0\). Block composition of two identical matrix ensembles of dimension \(M\) is given by \(X^2(M) = X(M) \otimes X(M)\).

The slightly different systematics in the presence of a magnetic field arises because the orientation of the segments matters; consequently, for inversion symmetry, time-reversal symmetry is effectively broken but the geometric symmetry itself is still present in the dynamics (trajectories still occur in symmetry-related pairs).

In the basis of these ports, the explicit symmetries of the internal evolution operator \(F\) are specified in Table 4. Since up-down and left-right symmetry are both manifestations of a reflection symmetry, they are now simply related by an interchanging segments 2 and 3 (as described by the matrix \(C\) defined in Table 3); this is a consequence of the fact that we do not fully desymmetrize the up-down symmetry (the left-right symmetric case can never be fully desymmetrized because one has to keep track of the identity of the leads). A finite magnetic field breaks these symmetries, but still allows one to define a generalized time-reversal symmetry. Similarly, for vanishing magnetic field, inversion symmetry is obtained from reflection symmetry by interchanging segments 3 and 4 (as described by the matrix \(D\) defined in the table caption). The slightly different systematics in the presence of a magnetic field arises because the orientation of the segments matters; consequently, for inversion symmetry, time-reversal symmetry is effectively broken but the geometric symmetry itself is still present in the dynamics (trajectories still occur in symmetry-related pairs).

A convenient choice of a fully symmetry-respecting arrangement of leads which applies to all internal symmetries is given by

\[
P = \begin{pmatrix}
1_N & 0_N & 0_N & 0_N \\
0_N & 1_N & 0_N & 0_N \\
0_N & 0_N & 1_N & 0_N \\
0_N & 0_N & 0_N & 1_N \\
\end{pmatrix}
\]

where \(N = N/2\) and \(M = M/4 - N/2\). The case of a four-fold symmetry in principle allows two symmetry-respecting arrangements (aligned along each of the two symmetry lines of reflection); these two arrangements are equivalent in RMT and again related by a reshuffling of the 4 segments. The form of \(P\) for generally placed leads is easily read off Fig. 4.

Figures 4 (for \(B \ll B_c\)) and 5 (for \(B \gg B_c\)) show how the weak localization correction and universal conductance fluctuations are affected when the leads are moved away from the symmetry-respecting positions. The degree of displacement is quantified by a variable \(\lambda = 1 - W(1)/W (\lambda = 0\) in the symmetric arrangement, \(\lambda = 1\) in the asymmetric arrangement). The data points are based on an ensemble average over 5000 RMT matrices with \(M = 1000\) and \(N = 50\), while the curves are the predictions of our semiclassical theory, which can be written as

\[
\delta g(\lambda) = \delta g(1) + [\delta g(0) - \delta g(1)] \frac{1 - \lambda}{1 + \lambda},
\]

\[
\text{varg}(\lambda) = \text{varg}(1) + [\text{varg}(0) - \text{varg}(1)] \left( \frac{1 - \lambda}{1 + \lambda} \right)^2.
\]
Figure 7: (colour online). Weak localization correction (WL, left panels) and universal conductance fluctuations (UCF, right panels) as a function of the displacement of both leads from their symmetry-respecting positions for systems with fixed internal symmetry. The displacement is measured in terms of \( \lambda = 1 - W_C/W \). The data points (circles with a variety of filling styles) are obtained from an average over 5000 realizations of the RMT model described in the text \((M = 1000, N = 50)\). The curves show the semiclassical prediction \((41)\) for WL and \((42)\) for UCF. Labels ‘\(A \rightarrow B\)’ specify the symmetry of the lead arrangement at \( \lambda = 0 \) (symmetric arrangement) and \( \lambda = 1 \) (where at least one of the symmetries is fully removed). In these labels, the subscript \(4\) on \(A\) or \(B\) indicates that the internal symmetry is four-fold; if this subscript is not present the internal symmetry is identical to the one specified by \(A\). In this figure, the magnetic field is set to \(B = 0\).

and moving the two parts in opposite directions (both parts would remain contacted to the same source or drain electrode). The remaining symmetry of the lead arrangement can then be broken by further displacement of the leads. In the figures, the subscript \(4\) is used to distinguish these situations (in which the underlying internal symmetry is four-fold) from the symmetry breaking in systems with only a single internal symmetry. E.g., the label ‘\(\text{left/right}_4 \rightarrow \text{asymmetric}_4\)’ refers to the displacement of leads out of a left-right symmetric position where the internal symmetry is itself only left-right symmetric. According to our theory, the weak localization correction should behave identically in both situations; this also applies to the UCFs. This statement is validated by the numerical data. Indeed, excellent agreement of the numerical data with the semiclassical predictions is observed in all cases.

As discussed earlier in this paper, in the up-down symmetric case it is interesting to displace only one lead while the other lead remains on the symmetry line (the
symmetry-preserving positions in the up-down symmetric case are absolute, in contrast to the left-right symmetric case where these positions are relative to each other. The effect on the transport is shown in Fig. 9 along with the effect of the consecutive displacement of the second lead, and the simultaneous displacement of both leads. According to our theory, the effects of consecutive displacement of the leads are cumulative: The displacement of the first lead is described by Eqs. (41), (42) with \( \lambda \rightarrow \lambda/2 \) (covering the range \([0,1/2]\)), while the displacement of the second lead completes the transition according to the substitution \( \lambda \rightarrow (1 + \lambda)/2 \) (covering the range \([1/2,1]\)). The numerical results are in perfect agreement with this prediction.

We conclude with some additional remarks on the RMT model. For leads which respect the symmetries, the construction presented here is equivalent to the model presented in part I (which then is more efficient); this equivalence also extends to the symmetry breaking in the internal dynamics, which then requires to interpolate between ensembles of Table 1. Following earlier works, the RMT model can be further utilized to include the effects of dephasing and a finite Ehrenfest time. For dephasing, this is achieved by opening additional ports which couple to a voltage probe [26] or a dephasing stub [27]. A finite Ehrenfest time is obtained when \( F \) represents a dynamical system, such as the kicked rotator [12] (which also possesses discrete symmetries). This strategy can also be used to probe the case of dynamics which are not fully chaotic (which in the kicked rotator is achieved for moderate values of the kicking strength).
IX. CONCLUDING REMARKS

The transport calculations performed here assume that the classical dynamics is uniformly chaotic, and in particular do not apply to systems with islands of stability in phase space (such as the annular billiard studied in Refs. [28, 29]), or networks of chaotic dots inter-connected by narrow leads (such as the double dot in Ref. [7]). It would be intriguing to study the shape of the back- and forward-scattering peaks for such systems.

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Appendix A: OBTAINING UCFS FROM THE VARIANCE OF REFLECTION

In Section VII we pointed out that unitarity implies \( \text{covar}[R_L, R_R] = \text{var}[R_L] = \text{var}[R_R] \). Here we outline a semiclassical calculation of \( \text{var}[R_L] \), which acts as a check of the semiclassical calculation of \( \text{covar}[R_L, R_R] \) in Section VII. The rules to calculate each contribution remain the same as for \( \text{covar}[R_L, R_R] \). However, the contributions that we consider differ by the requirement that all paths start and end on the same lead \( L \).

We know that the result must be invariant under the interchange of labels “L” and “R”, and this invariance is manifestly obvious in the contributions to \( \text{covar}[R_L, R_R] \). In contrast, this invariance is hidden in the contributions to \( \text{var}[R_L] \) that we discuss here. Thus the simplest check that one has not missed any contributions is that this invariance is present when one sums the contributions.

1. Up-down symmetric dot

In the case of an up-down symmetric dot, all contributions in both Fig. 4 and Fig. 5 contribute to \( \text{var}[R_L] \) once we change all lead labels so that “R” → “L” and “∩R” → “∩L” (but not vice versa). Writing contributions to \( \text{var}[R_L] \) with a "prime" to distinguish them from contributions to \( \text{covar}[R_L, R_R] \) we find

Figure 9: (colour online). Same as Figs. 7 and 8, but comparing the displacement of both leads for internal up-down symmetry (solid circles) to the displacement of the first lead (circles filled on the right), followed by the displacement of the second lead (circles filled on the left).
As in section VII, we find that this sum is most easily evaluated by re-writing the contributions in terms $n_\kappa = N_\kappa / (N_L + N_R)$ and $w_\kappa = 1 - N_{\text{tr}} / N_\kappa$ for $\kappa = L, R$. Performing a little algebra using $n_L + n_R = 1$, we then recover Eq. (32), and therefore $\text{var}[R_L] = \text{covar}[R_L, R_R]$. Furthermore, expression Eq. (32) is invariant under the interchange of labels “L” and “R”, which entails $\text{var}[R_L] = \text{var}[R_R]$, as required by the unitarity of the scattering matrix. This strongly suggests that we have not missed any contributions and gives us confidence in the result; particularly, it is noteworthy that the individual contributions in $\text{var}[R_L]$ and $\text{covar}[R_L, R_R]$ combine in very different ways to give the invariance under the interchange of “L” and “R”.

2. Left-right or inversion-symmetric dot

The evaluation of $\text{var}[R_L]$ for a left-right or inversion-symmetric dot is very similar to that for an up-down symmetric dot. However, here, when a path hits the L lead then its image hits the R lead. This means that there are no contributions to $\text{var}[R_L]$ of the form shown in Fig. 4, since all paths must go from the L lead to the L lead. Thus to get $\text{var}[R_L]$ for a left-right or inversion-symmetric dot, we need to subtract those contributions from the result for $\text{var}[R_L]$ in an up-down symmetric dot. The sum of these contributions to $\text{var}[R_L]$, written with the same denominator as in Eq. (33), is

\[
\begin{align*}
C'_L + C'_{vi} + C'_{vii} + C'_{viii} &= \frac{2}{\beta} \frac{N^2_L (N_L + N_R)^2 + 4 N^2_L (N_L - N_{\text{tr}}) N_L (N_L + N_R) + 4 (N_L - N_{\text{tr}})^2 N_L^2}{(2N_L + 2N_R - N_{\text{tr}})^2 (N_L + N_R)^2}, \\
C'_{vii} + C'_{viii} + C''_L + C''_{vii} &= -\frac{2}{\beta} \frac{2 N^2_L (N_L + N_R) + 2 (N_L - N_{\text{tr}}) N_L}{(2N_L + 2N_R - N_{\text{tr}})(N_L + N_R)^2}, \\
C''_L &= \frac{2}{\beta} \frac{N^4_L}{(N_L + N_R)^2}.
\end{align*}
\]

This only differs by an overall sign from the sum of contributions in Eq. (33). Subtracting this from the result Eq. (37), we get $\text{var}[R_L]$ for a left-right or inversion-symmetric dot. The result equals $\text{covar}[R_L, R_R]$ given by Eq. (37), thus we have $\text{covar}[R_L, R_R] = \text{var}[R_L] = \text{var}[R_R]$, as required by the unitarity of the scattering matrix.
tween them. It was shown in the context of failed coherent backscattering that this change of the action difference is irrelevant [22].

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