Antibacterial Activity of Cerium Oxide Nanoparticles against ESKAPE Pathogens

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Abstract: We studied the antimicrobial characteristics of cerium oxide (CeO₂) nanoparticles synthesized by hydrothermal technique. The versatile characterization techniques were employed to study the CeO₂ nanoparticle structural and optical properties. These techniques included field emission scanning electron microscopy, Raman spectroscopy, X-ray diffraction, and transmission electron microscopy. The X-ray diffraction and Raman studies validated the cubic structure of the synthesized CeO₂ nanoparticles with average diameters ~3–5 nm. The antibacterial activities and minimal inhibition concentrations (MICs) of CeO₂ nanoparticles were tested against ESKAPE pathogens (Enterococcus faecium, Staphylococcus aureus, Klebsiella pneumoniae, Acinetobacter baumannii, Pseudomonas aeruginosa, and Enterobacter sp.). Our data revealed that CeO₂ nanoparticles at a concentration of 50 µg/mL generated a maximum inhibition zone against all tested pathogens. However, S. aureus, P. aeruginosa, and K. pneumoniae exhibited the higher sensitivity, while E. cloacae, E. faecium, and A. baumannii were the least sensitive to CeO₂ nanoparticles. In conclusion, our results demonstrate that CeO₂ nanoparticles possess an effective antibacterial activity against ESKAPE pathogens and may be used as a potential bionanomaterial for in vivo therapeutic applications.

Keywords: ESKAPE pathogens; cerium oxide; antibacterial; nanoparticles

1. Introduction

Presently, the progress and spread of drug-resistant microorganisms, as well as their transmissible infections, has become a global challenge [1–3]. In February 2017, to stimulate the research and development of new antibiotic therapies, the world’s most well-known health body (WHO) published a list of multidrug-resistant bacteria that can cause severe and deadly infections. The ESKAPE pathogens (Enterococcus faecium, Staphylococcus aureus, Klebsiella pneumoniae, Acinetobacter baumannii, Pseudomonas aeruginosa, and Enterobacter sp.) were indexed as high priority in the published list [4]. The ESKAPE pathogens represent multidrug-resistant pathogens with a capacity to escape or evade killing by antibiotics [5]. The pathogenic organisms can cause a wide range of infectious diseases in humans, ranging from minor skin infections to major life-threatening infections that include pneumonia, endocarditis, meningitis, surgical infections, septicemia, and toxic shock syndrome [6–8].
Gradually, over time, the number of antibiotics used to combat ESKAPE pathogens has declined, while a very few new antibiotics or combinations of antibiotics have been added to the list. Generally, pathogens develop resistance to specific antibiotics for multiple reasons that include: the abuse of antibiotics, the relationship between antibiotic consumption and emergence, resistant genes inherited from genetic materials or acquired from plasmids, horizontal gene transfer (HGT), and natural selection processes [9,10]. Indeed, reports have demonstrated that the antimicrobial-resistance (AMR) abilities of these pathogens severely aggravate the ailments of patients hospitalized for chronic diseases, such as cardiovascular diseases, chronic lung infections, diabetes mellitus, arteriosclerosis, chronic obstructive pulmonary disease (COPD), and cancers [11]. These patients may be susceptible to the development of wounds due to the presence of a number of bacterial species forming biofilm and many such chronic wounds are difficult to heal, despite aggressive treatment. Moreover, the AMR ESKAPE pathogens add substantial and unnecessary burdens to the present health care system. In certain conditions, compared to infections that are easily curable with antibiotics, AMR infections cause instability in the healthcare system due to the need for lengthy and expensive treatments, besides prolonging hospital stays [12]. This rising public health emergency has driven the development of innovative antimicrobial therapeutics to treat infections caused by antibiotic-resistant pathogenic microorganisms, particularly those caused by ESKAPE pathogens.

Nanomedicine is an emerging branch of nanotechnology that is increasingly being used to treat the infectious diseases triggered by drug-resistant pathogenic organisms. Over the years, metal nanoparticles have grabbed the attention of many researchers for their use in a wide range of biomedical applications due to their unique physical and chemical properties [13–16]. Amongst nanoparticles, CeO$_2$ synthesized by using different methods is a widely used rare earth element that has shown promising antibacterial activity. CeO$_2$ nanoparticles have gained much attention recently in the context of biomedical applications due to their reactive oxygen species (ROS) scavenging capacity and unique antibacterial activity against both Gram-positive and Gram-negative bacteria [17,18]. We have previously reported the antibacterial activity of CeO$_2$ nanoparticles against *Escherichia coli* using four different sizes of CeO$_2$ nanoparticles and demonstrated that the bactericidal activity of nanoparticles increases with decrease in particle size [14].

In the present study we tested the antimicrobial effects of small-sized CeO$_2$ nanoparticles against ESKAPE pathogens. The antibacterial activity of nanoparticles was determined by using zone of inhibition and minimum inhibition concentrations (MICs) by agar well diffusion and microdilution method, respectively.

### 2. Materials and Methods

#### 2.1. The Synthesis and Characterization of CeO$_2$ Nanoparticles

CeO$_2$ nanoparticles were synthesized by a hydrothermal route, as described previously [14]. In brief, 6.8 g of Ce(SO$_4$)$_2$·4H$_2$O was dissolved in 35 mL deionized water, followed by dropwise addition of ammonium solution at room temperature under continuous magnetic stirring. The color of the suspension changed from yellow to brown upon constant addition of ammonia solution. The prepared suspension was transferred into one-pot hydrothermal vessels and a reaction process was allowed to occur at 120 °C for 5–10 h. Upon completion of the reaction process, the hydrothermal vessels were cooled naturally, and precipitates were obtained. The resultant precipitates were washed by centrifugation procedure to eradicate the impurities present. Lastly, the resultant precipitate was dried overnight at 80 °C to obtain yellowish white color CeO$_2$ nanoparticles. The crystalline nature of CeO$_2$ nanoparticles was examined by XRD (XRD, D8 Discover, Bruker AXS, Karlsruhe, Germany). Raman spectroscopy studies were documented (Micro Raman, Lab Ram HR, Horiba, Japan) in the spectral range of 100–1000 cm$^{-1}$ with a 514 nm argon-ion laser. Structural and morphological studies of CeO$_2$ nanoparticles were performed by field emission scanning electron microscopy (FESEM, JSM-7600F-JEOL, Japan). The size, morphology, and crystallinity of the CeO$_2$ nanoparticles were examined by transmission electron mi-
croscope (TEM, JEM-2100F-JEOL, Japan). High-resolution modes of the equipment were employed to investigate the lattice fringes and d-spacing of the nanoparticles.

2.2. Microbial Strains

_Enterococcus faecium_ (E. faecium ATCC 83198), _Staphylococcus aureus_ (S. aureus ATCC 43300), _Klebsiella pneumoniae_ (K. pneumoniae ATCC 13883), _Acinetobacter baumannii_ (A. baumannii ATCC 4414), _Pseudomonas aeruginosa_ (P. aeruginosa ATCC 4406), and _Enterobacter cloacae_ (E. cloacae ATCC 35549) were acquired from Addiriyah Chair for Environmental Studies Lab, Department of Botany and Microbiology, College of Science, King Saud University, Riyadh, Saudi Arabia.

2.3. Antimicrobial Susceptibility Test

The antibiotic resistance profile of ESKAPE pathogens was determined by using the Kirby–Bauer disk diffusion method [19]. The Mueller–Hinton broth (MHB) was made and was inoculated with pure colonies of the respective ESKAPE pathogens. The inoculated MHB was kept in an incubator at 37 °C till the broth culture met the McFarland standard of 0.5. A McFarland 0.5 turbidity standard was equivalent to 1 × 10⁸ CFU/mL inoculum. A sterile cotton swab was used to swab each inoculum across the surface of the Mueller–Hinton agar (MHA) plate. The excess culture was expelled by rolling the swab within the inside wall of the culture tube many times. With forceps, ciprofloxacin (CIP-5 µg), amoxicillin/clavulanic acid (AMX-30 µg), cefepime (CPM-30 µg), ceftaroline (CEF-30 µg), gentamycin (GEN-10 µg), amikacin (AK-30 µg), and imipenem (IPM-10 µg) antibiotic disks 6 mm in diameter were placed on the agar surface, ensuring complete contact with agar plates, and the plates were kept in an incubator for 24 h at 37 °C. The inhibition zone (diameter) was measured after the incubation period and the results were evaluated according to Clinical and Laboratory Standards Institute criteria guidelines [20].

2.4. Antibacterial Activity of CeO₂ Nanoparticles

In a preliminary screening, the antimicrobial activity of CeO₂ nanoparticles was determined by the agar well diffusion method [21]. In brief, the ESKAPE pathogens were inoculated on the surface of MHA by swabbing. The wells were then filled with varied concentrations of CeO₂ nanoparticles (15, 25, and 50 µg/mL) using a sterile cork borer. The positive and negative controls were streptomycin 10 µL and DMSO (10%), respectively. The plates were kept in an incubator for 24 h at 37 °C, and after the incubation period the zone of inhibition (diameter) was calculated for each and every pathogen. The inhibitory zone was expressed as the average (mm) produced by the CeO₂ nanoparticles in triplicate experiments.

2.5. Minimum Inhibitory Concentration (MIC) Determination

The MIC of CeO₂ nanoparticles was evaluated in a 96-well microplate using a broth microdilution technique [22]. ESKAPE pathogens were grown overnight on MHB at 37 °C and amended using 0.5 McFarland standards to a final density of 1 × 10⁸ CFU/mL. CeO₂ nanoparticles (1 mg/mL) were dissolved in DMSO and completed with doubling dilutions in the concentration range of 7.8–500 µg/mL. In the 96-well titer plate, each well comprised 90 µL of MH broth in combination with 10 µL of culture media and 10 µL of different concentrations of CeO₂ nanoparticles. The microtiter plate was incubated for 18 h at 37 °C. The microtiter plate was decoded with a microplate reader at 550 nm (Synergy HT, BioTek, USA) after incubation, and the lowest concentration of CeO₂ nanoparticles that instigated no turbidity was considered as an MIC value. The MIC tests were performed in triplicates to avoid errors.

2.6. Data Analysis

Each experiment was performed in triplicate and all the data were statistically analyzed by the IBM SPSS software, version 22.0 (Chicago, IL, USA) and Microsoft Excel.
(version 2016) using one-way analysis of variance (ANOVA). Statistically significant differences were expressed as * $p \leq 0.05$, ** $p \leq 0.01$, and *** $p \leq 0.001$.

3. Results and Discussion
3.1. Structural Properties of CeO$_2$ Nanoparticles

The CeO$_2$ nanoparticles were synthesized by hydrothermal process at a temperature of 120 °C. Figure 1a shows the XRD pattern of CeO$_2$ nanoparticles. The diffraction peaks suggest the crystalline nature of CeO$_2$ nanoparticles with a cubic fluorite structure according to JCPDS No. 75-0390. The average crystallite size of CeO$_2$ nanoparticles was calculated from the (111) peak in the XRD patterns using the Scherrer equation:

$$d = \frac{K \lambda}{\beta \cos \theta},$$

where $K$ denotes the shape factor, $\lambda$ is the wavelength, $\theta$ is the Bragg diffraction angle, and $\beta$ denotes line broadening at half the maximum intensity (FWHM) of the respective diffraction peaks. The diffraction peaks were detected at $2\theta = 28.478^\circ$, $32.778^\circ$, $47.328^\circ$, and $56.268^\circ$, corresponding to (111), (200), (220), and (311) planes. The XRD results revealed the broadening of peaks and crystallite sizes less than 100 nm, which indicates the fabrication of nanocrystalline CeO$_2$ nanoparticles.

\[\text{Figure 1. (a) X-ray diffraction pattern and (b) Raman spectra of CeO}_2\text{ nanoparticles synthesized at 120 °C reaction processing temperature.}\]

The Raman spectrum of CeO$_2$ nanoparticles is shown in Figure 1b, which confirmed the formation of pure nanoceria with cubic fluorite structure and oxygen vacancies. The two broad peaks observed in Raman spectra correspond to wavenumbers 464 cm$^{-1}$ and 572 cm$^{-1}$, respectively. The band at the lower wavenumber is associated with the Raman active F$_2$g mode of CeO$_2$, while the 572 cm$^{-1}$ wavenumber corresponds to the presence of oxygen vacancies in the prepared CeO$_2$ nanoparticles [23].

Figures 2 and 3 show the typical scanning electron microscopy and low- and high-resolution transmission electron micrograph images of the CeO$_2$ nanoparticles, respectively.
Figure 2. (a) Low-magnification and (b) high-magnification SEM micrographs of the prepared CeO$_2$ nanoparticles.

Figure 3. (a) TEM images of CeO$_2$ nanoparticles and (b) HRTEM images of CeO$_2$ nanoparticles.

Figure 2a and b represent the SEM micrographs of CeO$_2$ nanoparticles at lower and higher magnification. The SEM morphological images display the agglomeration of the nanoparticles. These images provide the average size of particle, which is in line with XRD results. The TEM morphological images disclose the uniform CeO$_2$ nanoparticles. The average particle size is obtained by calculating over 100 particles in a random area of the high-resolution TEM image (Figure 3a). The average particle size of CeO$_2$ nanoparticles calculated by TEM was 3.8 nm, which is in good agreement with the XRD results. Figure 3b shows the HRTEM images of the CeO$_2$ nanoparticles. The lattice spacing (d) is ca. 0.26 nm, which corresponds to the (111) plane of CeO$_2$ nanoparticles [24].

The mechanism of CeO$_2$ nanoparticles formation was as follows: to begin the process, ammonia solution was added to cerium (IV) salt in a dropwise manner under continuous stirring. The suspension slowly changed its color from yellow to brown by constant addition of ammonia, indicating a change in oxidation state from Ce$^{3+}$ to Ce$^{4+}$. The brown suspension was then transferred to a hydrothermal reactor and autoclaved at 120 °C for 5–10 h. The resulting precipitates were washed and dried at 80 °C to form yellow white CeO$_2$ powder [25,26].

3.2. Antimicrobial Susceptibility of ESKAPE Pathogens

The antibiotic-resistance profiles of ESKAPE pathogens were determined by using seven different antibiotics, including ciprofloxacin, amoxicillin/clavulanic acid, cefepime, ceftaroline, gentamycin, amikacin, and imipenem (Table 1 and Figure 4). Of the antimicrobial agents tested, ciprofloxacin and amoxicillin exhibited 100% of resistance for all the pathogens. As for the remaining five drugs, cefepime, ceftaroline, gentamycin, amikacin,
and imipenem were shown to be sensitive, intermediate, and resistant against the tested bacterial pathogens. In general, most of the ESKAPE pathogens showed resistance to the tested drugs. *A. baumannii* displayed (100%) resistance against six tested drugs, except imipenem, to which it showed an intermediate sensitivity. On the other hand *P. aeruginosa* exhibited (42.85%) resistance with comparatively more susceptibility against tested antibiotics and *E. faecium* displayed (28.57%) resistance with intermediate sensitivity against gentamycin and amikacin.

Table 1. Antibiotic-resistance profiles of ESKAPE pathogens.

| EP * | Antibiotics $ \text{/Inhibition Zone (mm)} ^{*} | CIP | AMX | CPM | CEF | GEN | AK | IPM |
|------|-------------------------------------------|-----|-----|-----|-----|-----|----|-----|
| Ef   | CIP                                       | 9.00 ± 0.2 (R) | 11.00 ± 0.4 (R) | 18.00 ± 0.1 (S) | 9.00 ± 0.1 (I) | 15.00 ± 0.1 (I) | 17.00 ± 0.5 (R) |
| Sa   | CIP                                       | 9.00 ± 0.2 (R) | 11.00 ± 0.4 (R) | 18.00 ± 0.1 (S) | 9.00 ± 0.1 (I) | 15.00 ± 0.1 (I) | 17.00 ± 0.5 (R) |
| Kp   | CIP                                       | 11.00 ± 0.5 (R) | 12.00 ± 0.5 (R) | 15.00 ± 0.5 (R) | 13.00 ± 0.5 (R) | 19.50 ± 0.5 (R) |
| Ab   | CIP                                       | 0.00 ± 0.0 (R) | 9.50 ± 0.5 (R)  | 15.00 ± 0.5 (R) | 13.00 ± 0.5 (R) | 15.00 ± 0.5 (R) |
| Pa   | CIP                                       | 9.00 ± 0.6 (S) | 11.00 ± 0.5 (S) | 14.50 ± 0.5 (R) | 15.00 ± 0.5 (S) | 16.00 ± 0.2 (R) |
| Ec   | CIP                                       | 10.00 ± 0.2 (R) | 12.00 ± 0.5 (R) | 15.00 ± 0.4 (R) | 13.00 ± 0.2 (R) | 18.00 ± 0.5 (R) |

* EP—ESKAPE pathogens, Ef—*E. faecium* ATCC 51559, Sa—*S. aureus* ATCC 25904, Kp—*K. pneumoniae* ATCC 13883, Ab—*A. baumannii* ATCC 1799, Pa—*P. aeruginosa* ATCC 10145, Ec—*E. cloacae* ATCC 35549.

$ *$ Antibiotics—ciprofloxacin (CIP-5 µg), amoxicillin/clavulanic acid (AMX-30 µg), cefepime (CPM-30 µg), ceftaroline (CEF-30 µg), gentamycin (GEN-10 µg), amikacin (AK-30 µg), imipenem (IPM-10 µg).

* R—Resistant, S—Sensitive, I—Intermediate.

Figure 4. Antibacterial susceptibility pattern of ESKAPE pathogens.

The ESKAPE pathogens have recently been found to have high levels of antibiotic resistance compared to all other clinical pathogens. In Gram-negative ESKAPE infections, resistance to amoxicillin/clavulanic acid and ceftriaxone was the most prevalent resistance pattern, with the exception of *S. aureus*. The majority of *A. baumannii* isolates were resistant to multiple medicines, with over six of the seven antimicrobial agents examined showing resistance to multiple medications. The pathogen *P. aeruginosa* continued to be moderately susceptible to drugs like amikacin, cefepime, and ceftaroline. Overall, ciprofloxacin, amox-
icillin/clavulanic acid, and imipenem resistance was found to be 85% among the tested ESKAPE pathogens. These results are supported by a recent study published by Arbune et al. [27], in which they demonstrated the antibiotic resistance of ESKAPE pathogens and reported the highest resistance (nearly 86.6%) in *S. aureus* against routine antibiotics.

3.3. Antibacterial Activity of CeO$_2$ Nanoparticles against the ESKAPE Pathogens

The antimicrobial potential of CeO$_2$ nanoparticles towards ESKAPE pathogens was examined by the agar well diffusion method. The inhibition zone observed against all tested ESKAPE pathogens was in a range between 22.25 ± 0.13 and 7.53 ± 0.30 (Table 2 and Figure 5). However, the results showed that CeO$_2$ nanoparticles (50 µg/mL) possessed effective antibacterial activity against ESKAPE pathogens, excluding *A. baumannii*. The CeO$_2$ nanoparticles at 50 µg/mL concentration generated a maximum inhibition zone of 19.40 ± 0.05 mm against *S. aureus*, 17.83 ± 0.21 mm against *P. aeruginosa*, 17.45 ± 0.01 mm against *K. pneumoniae*, 14.90 ± 0.08 mm against *E. cloacae*, and 10.92 ± 0.15 mm against *E. faecium*, whereas the zone of inhibition against *A. baumannii* was 8.86 ± 0.03 mm. Accordingly, the antibacterial efficacy of CeO$_2$ nanoparticles against the tested ESKAPE pathogens was considerable and statistically significant, expect for *P. aeruginosa* (Table 2).

CeO$_2$ nanoparticles exhibit maximum efficacy because of their small size, allowing a faster adsorption of pathogens compared with bulk nanoparticles. Several mechanisms for the antibacterial activity of metal oxide nanoparticles, particularly CeO$_2$ nanoparticles, have been reported [28–30]. Zhang et al. [31] have described the antibacterial mechanism of CeO$_2$ nanoparticles and factors influencing its antimicrobial mechanisms. The antibacterial activity of CeO$_2$ nanoparticles against microbial pathogens involves either direct interaction with the pathogen or the generation of secondary toxic substances that can cause damage and/or cell death. Previous reports have also stated that CeO$_2$ nanoparticles exhibit effective bactericidal activity due to reactive oxygen species (ROS) generation [31,32].

The antibacterial activity of CeO$_2$ nanoparticles was assessed using the broth microdilution method, and MIC values against ESKAPE pathogens were calculated. Table 3 displays the correspondent MIC values for each ESKAPE bacterial pathogen. The antibacterial potential of CeO$_2$ nanoparticles towards the tested ESKAPE pathogens was comparatively very low MIC value from 15.6 to 125.0 µg/mL except against *A. baumannii*. The MIC values revealed that the inhibitory effect of CeO$_2$ nanoparticles occurred at the lowest concentration (15.60 µg/mL) against *S. aureus*. Thus, overall MIC values were higher than the standard drug streptomycin, and the lowest MIC value was 5.0 µg/mL. Taken together, these data reveal that CeO$_2$ nanoparticles have an effective antibacterial activity against the tested ESKAPE pathogens.

### Table 2. Antibacterial activity of CeO$_2$ nanoparticles against ESKAPE pathogens.

| ESKAPE Pathogens       | Concentration of CeO$_2$-NPs (µg/mL) | Streptomycin (µg/mL) |
|------------------------|-------------------------------------|---------------------|
|                        | 15        | 25        | 50        | 10        |
| *E. faecium* ATCC 51559| 7.53 ± 0.30 *** | 9.25 ± 0.05 *** | 10.92 ± 0.15 *** | 17.83 ± 0.08 |
| *S. aureus* ATCC 25904 | 9.70 ± 0.40 *** | 11.78 ± 0.15 *** | 19.00 ± 0.40 **  | 19.40 ± 0.05  |
| *K. pneumoniae* ATCC 13883 | 10.76 ± 0.10 **  | 12.95 ± 0.13 **  | 17.45 ± 0.01 **  | 22.25 ± 0.13  |
| *A. baumannii* ATCC 1799 | NIZ $^5$ | NIZ | 8.86 ± 0.03 **  | 15.25 ± 0.12 |
| *P. aeruginosa* ATCC 10145 | 8.96 ± 0.10 **  | 11.55 ± 0.13 **  | 17.83 ± 0.21 NS  | 18.50 ± 0.50  |
| *E. cloacae* ATCC 35549 | 10.45 ± 0.13 *** | 11.95 ± 0.08 *** | 14.90 ± 0.08 **  | 20.75 ± 0.13  |

$^5$ NIZ—No inhibition zone. (All data represent mean ± standard deviations of three replicates, and all comparisons are made with streptomycin as the standard drug. ** $p < 0.01$, and *** $p < 0.001$. NS—No significant).
Figure 5. Antibacterial activities of CeO₂ nanoparticles against ESKAPE pathogens using a disk diffusion assay (1–15, 2–25, 3–50 µg/mL of CeO₂ nanoparticles; 4–10 µg/mL streptomycin) standard drug).

Table 3. MIC value of CeO₂ nanoparticles against ESKAPE pathogens.

| ESKAPE Pathogens                  | MIC (µg/mL) | CeO₂-NPs | Streptomycin |
|-----------------------------------|-------------|----------|-------------|
| E. faecium ATCC 51559             |             | 125.0    | 10.0        |
| S. aureus ATCC 25904              |             | 15.6     | 5.0         |
| K. pneumoniae ATCC 13883          |             | 31.2     | 5.0         |
| A. baumannii ATCC 1799            |             | 250.0    | 5.0         |
| P. aeruginosa ATCC 10145          |             | 31.2     | 5.0         |
| E. cloacae ATCC 35549             |             | 62.5     | 5.0         |

4. Conclusions

Here we report the antibacterial activity of highly pure CeO₂ nanoparticles synthesized by a hydrothermal method against the ESKAPE pathogens. The CeO₂ nanoparticles purity and fluorite crystal structure were revealed by XRD and Raman analysis. The average diameter calculated by TEM and verified by XRD data was nearly 3.8 nm. The results of this study demonstrate that CeO₂ nanoparticles generate a maximum inhibition zone against all pathogens. However, S. aureus, P. aeruginosa, and K. pneumoniae exhibit a higher sensitivity, while E. cloacae, E. faecium, and A. baumannii are least sensitive to CeO₂ nanoparticles. In conclusion, our study demonstrated that CeO₂ nanoparticles possess an effective antibacterial activity against ESKAPE pathogens and may be used as a potential bionanomaterial for in vivo application. However, given the therapeutic potential of CeO₂ nanoparticles, further studies are required to elucidate the mechanism of action of these nanoparticles on ESKAPE pathogens.
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