Abstract. Recent studies report a significant age-specific increase in hepatocellular carcinoma (HCC) development among persons over 75 years old. Therefore, there is an urgent need to determine the optimal treatment strategy in elderly patients with HCC. This systemic review examines the clinical characteristics, efficacy, and safety of first-line treatment modalities. The literature was searched regarding epidemiology and clinical outcomes in elderly patients (age ≥75 years) undergoing first-line treatment for HCC. Causative or comorbid conditions of HCC in elderly patients differed from those in younger patients. Radiofrequency ablation may be effective and safe in early stages. Surgical resection may also be feasible in the early stages for selected patients. Transarterial chemoembolization may be safe and effective for intermediate HCC, and sorafenib may be feasible in elderly patients with advanced HCC. Prospective randomized trials are needed to establish the treatment strategy for elderly patients with HCC.

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elderly patients with HCC, which may contribute to their increased mortality compared to younger patients (12).

However, data regarding the efficacy and safety of surgical treatments, locoregional treatments, and chemotherapy for elderly patients with HCC are limited, and current treatment guidelines for HCC do not suggest separate treatment guidelines for older age groups. In general, liver transplantation is not usually recommended when the recipient is over 70 years old because of increased mortality (13). Therefore, this review addresses the clinical characteristics, efficacy, and safety of the various first-line treatment modalities other than liver transplantation for elderly patients over 75 years with HCC.

**Data Search**

PubMed, EMBASE, and Web of Science databases were searched from March 2010 to March 2019 for literature on studies examining epidemiology and comparison of clinical outcomes in elderly patients (age ≥75 years) undergoing surgery, locoregional therapies, and systemic therapy as first-line treatments for HCC. We included published comparative studies reporting extractable data for survival outcomes for elderly and non-elderly patients with HCC who underwent hepatic resection, locoregional treatments, and systemic therapy for patients aged 75 years and above. All studies were observational and were either prospective or retrospective in design. Case reports and editorials were excluded.

**Clinical Characteristics of HCC in Elderly Patients**

Some distinct clinical characteristics among elderly patients with HCC were identified (Table I). Firstly, they were more likely to have hepatitis C virus (HCV) infection (14). Most hepatitis B virus (HBV) infections occur through vertical transmission and result in HCC development at 50-60 years of age. However, HCV infection generally occurs later in adulthood. Therefore, HCV-related HCC usually occurs approximately 10 years later than HBV-related HCC (3). Secondly, there were more women among the elderly patients with HCC, possibly because of their longer life expectancy (15). Thirdly, elderly patients with HCC were more likely to be negative for both HBV and HCV infection (16). This finding may be associated with the increased incidence of non-alcoholic steatohepatitis (NASH)-related HCC among elderly patients (10). NASH-related HCC is diagnosed later in life than HBV- or HCV-related HCC (17). Fourthly, elderly patients with HCC had less liver tissue fibrosis than younger patients (18). There was also a connection between aging and chromosomal changes within the liver. Aging was associated with shortening of the telomeres in the liver (19) and aberrant DNA methylation (17). These changes can cause carcinogenesis, suggesting that aging itself might be a risk factor for HCC development. Finally, elderly patients with HCC had fewer HCC nodules than younger patients (20, 21). Multi-center hepatic carcinogenesis is associated with advanced hepatic fibrosis (22), and less fibrosis of the background liver in the elderly may explain this observation. However, the size of HCC nodules in elderly patients was larger than that found in younger patients (23). This finding is probably associated with the absence of regular HCC surveillance in patients without risk factors such as HBV or HCV infection. Additionally, tumors in elderly patients tend to be more encapsulated, well-differentiated, and associated with less vascular invasion (3).

| Characteristic | Young | Elderly |
|---------------|-------|---------|
| Etiology      | HBV   | HCV, NASH |
| Gender difference | Male predominant | Female predominant |
| Background liver fibrosis | More severe | Less severe |
| Aberrant DNA methylation | Less | More |
| HCC nodules   | Multi-nodular | Pauci-nodular |
| Vessel invasion | More | Less |
| Differentiation | Poorly differentiated | Well-differentiated |

DNA: Deoxyribonucleic acid; HBV: hepatitis B virus; HCV: hepatitis C virus; NASH: non-alcoholic steatohepatitis.

**Rationale for Treatment**

Controversy exists over whether active treatments are suitable for elderly patients, considering the comorbidities that they often present with. Current first-line treatments for HCC include surgical resection, radiofrequency ablation (RFA), percutaneous ethanol injection therapy (PEIT), microwave ablation, liver transplantation, transarterial chemoembolization (TACE), transarterial radioembolization, targeted therapy, and radiotherapy. Most studies evaluating the clinical outcomes of these treatments in elderly patients with HCC show conflicting results and are limited by small sample sizes (12, 24). While some investigators reported poorer survival in elderly patients (25), others reported improved survival after active treatments and suggested active treatment itself was an independent predictor of better outcome, irrespective of age (26). Therefore, restricting treatments based on only age cannot be justified.

**Treatment Methods**

*Curative therapy*

**Surgical resection.** Surgical resection is considered the mainstay curative HCC treatment, leading to the best
Outcomes (Table II) (8, 9, 28-33). For many years, elderly patients have been considered unfit for surgery due to the increased frequency of comorbidities. However, advances in surgical techniques and postoperative management have made surgical resection in elderly patients safe and feasible. Many recent studies agree that age itself does not have an adverse effect on surgical outcomes (Table II) (8, 9, 28-33).

Indeed, higher frequencies of comorbidities such as diabetes, hypertension, cerebrovascular disease, and cardiovascular disease were observed in studies comparing surgical resection of elderly versus young patients with HCC (8, 9, 29-31, 33). However, postoperative complications were not significantly different among younger and elderly patients (8, 9, 28-33). Although some studies reported higher rates of Clavien–Dindo grade 2, 3, or higher postoperative complications among elderly patients (31, 32), this finding was not consistent among the included studies (9, 28-30). Additionally, the duration of the postoperative hospital stay was not statistically longer in elderly patients (8, 9, 28-32), except in one study (33); however, the definition of elderly

### Table II. Summary of surgical resection data in elderly (e) and young (y) patients with hepatocellular carcinoma (all studies were retrospective).

| Year/Author (Ref) | Definition of elderly | No. of patients | Overall survival | DFS/ RFS | Postop. stay (days) | Postop. complications* | Op. mortality |
|-------------------|-----------------------|-----------------|------------------|---------|--------------------|-------------------------|--------------|
| 2010 Tsujita et al. (1) | ≥75 Years | Y: 88 E: 33 | 3/Year, p=0.51 Y: 83% E: 73% | 3/Year DFS, p=0.88 Y: 35% E: 38% | p=0.11 Y: 17±14 E: 13±4 | p>0.05 Y: 21.6% E: 18.2% | p=0.58 Y: 1% E: 0% |
| 2012 Yamada et al. (2) | ≥80 Years | Y: 267 E: 11 | 5-Year, p=0.06 Y: 43% E: 26% | No significant difference (p=0.68) N/A | | Overall, p>0.05 Y: 43.8% E: 36.4% | p>0.05 Y: 5.6% E: 9.1% |
| 2013 Nishgawa et al. (3) | ≥75 Years | Y: 206 E: 92 | 1/3/5-Year, p=0.188 Y: 91%/77.5%/64.4% E: 90%/73.3%/43% | 1/3/5-Year DFS, p=0.634 Y: 6.3%/38.8%/22.2% E: 66.3%/38.8%/26.2% | p=0.765 Y: 17±23 E: 17±7 | ≥Gr 2, p<0.001 N/A | N/A |
| 2014 Ueno et al. (4) | ≥75 Years | Y: 186 E: 66 | N/A, p=0.77 | N/A | | ≥Gr 3, p=0.23 Y: 18.8% E: 25% | p=0.01 N/A |
| 2015 Kishida et al. (5) | ≥75 Years | Y: 82 E: 22 | 5-Year DFS, p=0.80 Y: 33% E: 25% | 5-Year DFS, p<0.001 Y: 15 (11-21 IQR) E: 16 (12-25 IQR) | p=0.33 Y: 15 (11-18 IQR) E: 17 (13-17) | ≥Gr 3, p<0.001 Y: 15% E: 41% | p<0.001 Y: 1% E: 0% |
| 2017 Santambrogio et al. (6) | ≥75 Years | Y: 115 E: 53 | 3/5-Year, p=0.024 Y: 82%/60% E: 65%/46% | 3/5-Year DFS, p=0.099 Y: 57%/45% E: 47%/22% | p=0.538 Y: 8.7±4.6 E: 9.2±5.5 | p>0.05 Y: 34.8% E: 45.3% | p=0.01 Y: 3.6±1.2 E: 2.9±1.6 |
| 2018 Okamura et al. (7) (PSM) | ≥75 Years | Y: 111 E: 70 (Y: 70 E: 70) | 3/5-Year, p=0.306 Y: 77.4%/71.9% E: 77.7%/59% | 3/5-Year DFS, p=0.773 Y: 34%/23.4% E: 39.9%/16% | p=0.339 Y: 11 (3-70) E: 11 (4-188) | p>0.05 Y: 14.4% E: 20.4% | p=0.01 Y: 11% E: 20.7% |
| 2019 Wu et al. (8) | ≥85 Years | Y: 1858 E: 31 | p=0.086 Y: 35.5% E: 43.5% | 5-Year DFS, p=0.163 Y: 22.6% E: 29.7% | p=0.001 Y: 10 (7-81) E: 18 (8-46) | p>0.05 Y: 19.4% E: 22.6% | ≥Gr 3, p<0.001 Y: 14.7% E: 12.9% | p<0.01 Y: 1.1% E: 0% |

DFS: Disease-free survival; Gr: grade; IQR: interquartile range; N/A: not available; Op.: operative; RFS: recurrence-free survival. *Clavien–Dindo. After propensity matching.

CHO ET AL: Hepatocellular Carcinoma in the Elderly (Review)
Radiofrequency ablation. RFA, a curative treatment for HCC, is one of the most commonly performed hyperthermal treatment options. This technique induces coagulative necrosis of the tumor after thermal injury (heating of tissue to 60-100°C) using an electrical current (34).

In a large study reporting 10-year outcomes after RFA in 1,170 patients with primary HCC, RFA seemed to be locally curative for HCC, resulting in survival for as long as 10 years, and was safe (35).

Several studies have compared the efficacies of RFA and surgical resection. Chen et al. reported that the 4-year OS rates after RFA and surgical resection were 67.9% and 64%, respectively (36). Conversely, in another randomized controlled study comparing RFA and surgical resection in HCC patients who met the Milan criteria, surgical resection provided better survival and a lower recurrence rate than RFA (37).

Given that elderly patients generally have more comorbidities, they may be poorer candidates for surgery. Therefore, RFA may be more feasible than surgical resection in elderly patients. Several studies have reported the efficacy and safety of RFA in elderly patients (Table III). Takahashi et al. (9) and Hiraoka et al. (10) reported similar 3- and 5-year OS rates after RFA and surgical resection were 67.9% and 64%, respectively (36). Conversely, in another randomized controlled study comparing RFA and surgical resection in elderly patients, Nishikawa et al. reported that the 1- and 3-year OS rates after RFA were significantly better in younger patients (39). Data regarding local progression were also conflicting. One study showed similar 1- and 3-year local progression rates between younger and elderly patients (p=0.932) (11), whereas in another study, elderly patients had more frequent local progression (p=0.002) (39). However, RFA-associated complication rates and duration of hospital stay were similar in elderly and younger patients, and no RFA-associated mortality was observed in these studies (11, 38, 39).

In conclusion, RFA is a safe and effective treatment modality for elderly patients with HCC, especially when surgical resection is not feasible.

**Percutaneous ethanol injection therapy.** Before the advent of RFA, PEIT was the most widely performed ablative therapy.

### Table III. Summary of radiofrequency ablation data in elderly (E) and young (Y) patients with hepatocellular carcinoma.

| Year/author            | Definition of elderly | No. of patients | Child-Pugh class A | Overall survival | Local progression | Morbidity | Mortality |
|------------------------|-----------------------|----------------|-------------------|-----------------|------------------|-----------|-----------|
| 2010 Takahashi et al. (9) | ≥75 Years             | Y: 354         | Y: 72%            | 3/5-Year, p=0.824 | 1/3-Year, p=0.932 | E: 8%/12% | Y: 3.7%/2% |
|                        |                       | E: 107         | E: 78.5%          |                 | Y: 8%/12%         | E: 6%/14% | N/A       |
| 2010 Hiraoka et al. (10) | ≥75 Years             | Y: 143         | Y: 74.8%          | 1/3-Year, p=0.143 | N/A, p=0.143     | E: 2.8%/1.9% | N/A       |
|                        |                       | E: 63          | E: 69.8%          |                 |                  | N/A       |           |
| 2012 Nishikawa et al. (11) | ≥75 Years             | Y: 238         | Y: 61%            | 1/3-Year, p=0.001 | 1/3-Year, p=0.002 | E: 82%/84%/49.7% | E: 0%     |
|                        |                       | E: 130         | E: 67%            |                 |                  | E: 91.7%/82.5%/49.7% | Y: 0%     |

Cx.: Complication; N/A: not available.
for patients with HCC lesions <2 cm. For this procedure, under ultrasound guidance, 95% absolute alcohol is injected into the tumor, resulting in chemical cell dehiscence and subsequent tumor necrosis (27). A meta-analysis of randomized controlled trials comparing PEIT and RFA showed better OS, DFS, and recurrence in patients undergoing RFA compared to those undergoing PEIT (40, 41). Therefore, PEIT is performed in select cases when thermal ablation is not feasible (27). Although PEIT seems to be less effective than RFA, and multiple sessions are needed for large tumors, this approach has the advantage of avoiding the heat-sink effect (27). In a study comparing the efficacy and safety of PEIT in elderly patients with HCC (aged >70 years), the 1-, 3-, and 5-year survival rates were comparable to those for younger patients (elderly patients: 83.1%, 51.6%, and 27.4%, respectively; younger patients: 90.1%, 65%, and 40%, respectively; p=0.022). Moreover, the 1-, 3-, and 5-year HCC recurrence rates were also similar (elderly patients: 33.1%, 56%, and 58.6%, respectively; younger patients: 26.5%, 49.8%, and 59.9%, respectively; p=0.828) (42).

In summary, even though PEIT is not currently performed and has been replaced by newer ablative therapies, it can be safely and effectively performed in patients with HCC lesions <2 cm for scenarios where RFA is technically not feasible or when there is a risk of the heat-sink effect in elderly patients.

Microwave ablation. Microwave ablation uses high-frequency microwave energy (electromagnetic field) to kill cancer cells. Microwave ablation is known to be more effective than RFA in inducing higher intra-tumoral temperature, greater tumor ablation volume, and faster ablation times, and it has a better convection profile than RFA. However, complications such as vessel damage and liver abscess can develop at a rate of 2-3% (43).

Microwave ablation is usually performed for tumors larger than 3 cm or when the tumor is difficult to access by RFA. Adverse events of microwave ablation treatment occurred in fewer than 2.9% of patients, and included liver failure, bleeding, infection, abscess, intercostal nerve injury, bile duct stenosis, organ injury, and pneumothorax (44). A large study from China reported outcomes after microwave ablation treatment in 1,007 patients with primary HCC. The 1- and 5-year survival rates were 91.2% and 59.8%, respectively. Subgroup analysis of the study indicated a 5-year survival rate of 29-68.6% for those with lesions >5 cm (45, 46). A recent meta-analysis comparing RFA and microwave ablation therapy showed similar trends of complete response and local recurrence, with lower local recurrence rates in those with larger nodules treated with microwave ablation therapy, and a lower 3-year survival rate, without statistical significance, compared to RFA. Major complications of microwave treatment occurred more frequently than with RFA (47).

One study that reported clinical outcomes after microwave ablation suggested this treatment option is safe and effective for elderly patients with HCC (>65 years) (48). However, as far as we are aware, there are no data on microwave ablation treatment in elderly patients with HCC aged 75 years or older. Large, prospective, and randomized studies are warranted for the evaluation of efficacy and safety of microwave ablation treatment in elderly patients with HCC.

In summary, the data regarding efficacy and safety of microwave ablation treatment in elderly patients with HCC is inadequate, and therefore further studies are needed.
Palliative Therapy

Transarterial chemoembolization. TACE is the most widely performed treatment method for unresectable HCC. Two randomized controlled trials and one meta-analysis demonstrated improved OS for patients with intermediate-stage HCC (49-51).

In the past, old age was considered a contraindication for TACE (52). However, recent data suggest that TACE is safe and effective for elderly patients (26, 53-55) (Table IV). The OS rates for elderly and younger patients were reportedly similar (53, 55). Moreover, TACE-associated morbidity in elderly patients was similar to that in younger patients. In addition, mortality was very low (0.048%) in patients undergoing this procedure (26, 55).

In summary, TACE is a safe and effective treatment modality for elderly patients with intermediate HCC.

Targeted therapy. Sorafenib: Sorafenib is an oral multi-target tyrosine kinase inhibitor [vascular endothelial growth factor (VEGFR) -1, -2 and -3, and platelet-derived growth factor receptor (PDGFR)-beta] and targets the RAF/MEK/ERK pathways, which inhibit tumor cell proliferation and angiogenesis and induce tumor cell apoptosis (56). Sorafenib is generally indicated for patients with BCLC stage C or intermediate HCC not eligible for or who experienced failure of locoregional therapies for Child– Pugh Class A liver disease (57).

This drug is effective and safe, as demonstrated in the SHARP trial (58) and Asia-Pacific (AP) trial (59). In the SHARP trial, sorafenib significantly improved the median OS compared to placebo (10.7 vs. 7.9 months; hazard ratio 0.69, 95% CI=0.55-0.87) (58). In the AP trial, sorafenib significantly improved the median OS compared to placebo (6.5 vs. 4.2 months, hazard ratio=0.68, 95% confidence interval=0.5-0.93) (59). Common adverse events of sorafenib are hand–foot skin reaction, diarrhea, fatigue, anorexia, hypertension, and fatigue. The rates of treatment-related adverse events leading to dose reduction and discontinuation were 26% and 38% in the SHARP trial, and 30.9% and 19.5% in the AP trial, respectively (58, 59).

Although there are limited data regarding this drug in elderly patients with HCC, most studies suggest that survival gain and severe adverse events are similar to those observed in non-elderly patients with HCC (60, 61). However, one study suggested that such events might occur more frequently in elderly patients with HCC than in non-elderly patients (62). Therefore, careful monitoring of sorafenib toxicity is needed. Other studies also suggested reduced doses of this drug should be used cautiously in elderly patients (63) (Table V).

In summary, the efficacy and safety of sorafenib in elderly patients is similar to those in non-elderly patients with HCC, and careful monitoring of sorafenib toxicity is needed.

Lenvatinib: One of the other first-line treatments for advanced HCC is lenvatinib, a multi-kinase inhibitor (VEGFR, and PDGFR, as well as the RET pathway). Indications for lenvatinib are similar to those of sorafenib (64).

The efficacy lenvatinib was highlighted in the REFLECT trial, an international, multicenter, randomized, open label, phase III trial. Based on the results of this trial, the effect of

### Table V. Summary of sorafenib data in elderly (E) and young (Y) patients with hepatocellular carcinoma (all studies were retrospective).

| Year/ author                          | Definition of elderly | No of patients | Overall survival | Toxicity | Dose reduction | Discontinuation | Median medication duration (months) | Major finding |
|---------------------------------------|-----------------------|----------------|-----------------|----------|---------------|----------------|-----------------------------------|---------------|
| 2011 Morimoto et al. (16)             | ≥75 Years             | Y: 52          | E: 24           | p=0.022  | Gr≥3, p=0.420 | Y: 23.1%        | Y: 1.9                            | Similar safety and efficacy, more frequent anorexia in elderly patients |
| 2013 Montella et al. (17)             | ≥70 (Medians=76)      | E: 60          | E: 10           | (95% CI=5.0-14.9) | N/A            | E: 81.7%        | E: 5                              | Reduced dose of sorafenib can be safely used |
| 2014 Jo et al. (18)                   | ≥80 (Median=71)       | E: 161         | E: 10.5         | p>0.05   | Gr≥3, p=0.05  | Y: 32.9%        | Y: 2.7                            | Similar safety and efficacy |
| 2017 Ziogas et al. (19)               | ≥75 (Median=79)       | Y: 151         | Y: 7.1          | p=0.360  | Gr≥3, p=0.224 | Y: 37.9%        | Y: 3 (95% CI=2.5-3.9)              | Similar safety and efficacy |
| 2017 Williet et al. (20)              | ≥75 (Median=75)       | E: 51          | E: 15 (95% CI: 10-27) | Gr≥3     | E: 60.8%      | Y: 37.2%        | E: 3 (IQR=1.4-6.3)                | Poor tolerance |

CI: Confidence interval; Gr: grade; IQR: interquartile range; OS: overall survival.
lenvatinib on OS was statistically confirmed to be non-inferior to sorafenib in patients with unresectable HCC. The adverse events of lenvatinib included hypertension, diarrhea, anorexia, weight loss, fatigue, hand–foot skin reaction, dysphonia, proteinuria, and hypothyroidism. The discontinuation rates of these drugs were reported to be similar (lenvatinib: 13% vs. sorafenib: 9%). The OS of patients receiving lenvatinib was not inferior to that for those receiving sorafenib; however, the time to progression, objective response rate, and PFS are reported to be better than those associated with sorafenib (64).

There are no data regarding the efficacy and safety of lenvatinib in elderly patients with HCC. However, in the subgroup analysis in the REFLECT trial, 58 patients (12%) were categorized as elderly (>65 years). The median OS and PFS in patients receiving lenvatinib and sorafenib were comparable (64). Therefore, the efficacy and safety of lenvatinib in elderly patients with HCC may be similar to what is observed with sorafenib. However, data on lenvatinib for patients over 75 years of age with HCC is scarce, and further large-scale and prospective studies will be needed.

**Conclusion**

Compared to younger patients with HCC, elderly patients were more likely to have HCV infection, or be negative for HBV and HCV infection, be female, have limited liver tissue fibrosis, and have fewer HCC nodules.

Regarding treatment modalities, RFA may be feasible in the very early stages of HCC, and surgical resection in very highly selected patients may also be feasible, although only in the early stages. TACE may be safe and effective for patients with intermediate HCC, and sorafenib may be feasible in select elderly patients with advanced HCC. Prospective randomized trials are needed to establish the optimal treatment strategy in elderly patients with HCC.

**Authors’ Contributions**

Study concept and design: JCH, CSK, KHJ; data acquisition: JCH, CE, CHA; drafting of the article: CE, CHA; critical revision of the article for important intellectual content: JCH, KHJ, CSK, JSB; obtained funding: JCH, KHJ. All Authors approved the final draft of the article submitted to the journal.

**Conflicts of interest**

None.

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