REVIEW

The mucosal immune system in the oral cavity—an orchestra of T cell diversity

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The mucosal immune system defends against a vast array of pathogens, yet it exhibits limited responses to commensal microorganisms under healthy conditions. The oral-pharyngeal cavity, the gateway for both the gastrointestinal and respiratory tracts, is composed of complex anatomical structures and is constantly challenged by antigens from air and food. The mucosal immune system of the oral-pharyngeal cavity must prevent pathogen entry while maintaining immune homeostasis, which is achieved via a range of mechanisms that are similar or different to those utilized by the gastrointestinal immune system. In this review, we summarize the features of the mucosal immune system, focusing on T cell subsets and their functions. We also discuss our current understanding of the oral-pharyngeal mucosal immune system. International Journal of Oral Science (2014) 6, 125–132; doi:10.1038/ijos.2014.48; published 8 August 2014

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INTRODUCTION

The oral-pharyngeal cavity is composed of sophisticated anatomical structures. Various microorganisms colonize the environment provided by those structures. In addition to microbes, food particles and external substances consumed through the oral cavity present potential challenges to the homeostasis of the oral mucosa. Hence, a mucosal membrane and inherent mucosal immune system are indispensable for the protection of the integrity of the internal environment. The mucosal immune system mounts immune responses through immune cells residing in mucosal compartments. T lymphocytes residing in the mucosa play important roles in mucosal immunity and tolerance. In addition, T cell deficiency or defects in T cell function are associated with several oral mucosal diseases. However, the phenotype and function of T cell subsets that reside in the oral mucosa largely remain undetermined. Thus, it is crucial to understand the diversity and functions of mucosal T cell subsets in healthy and pathological conditions.

THE MUCOSAL IMMUNE SYSTEM

The mucosal immune system is a localized and specific immune organ protecting nearly the whole inner surface of the human body, spanning the mucosal surfaces of the oral-pharyngeal cavity, gastrointestinal (GI) tract, respiratory tract and urogenital tract, as well as the exocrine glands. Despite differences in their locations, the mucosal immune system at different organs share similar anatomical organization and features. As the GI mucosal immune system is better understood, we will discuss here the features of the mucosal immune system based on our knowledge of the GI immune system. The GI mucosal immune system is composed of three major compartments: the epithelial layer, lamina propria (LP) and the mucosal-associated lymphoid tissue (MALT), which, in the GI tract, is referred to as gut-associated lymphoid tissue. The gut-associated lymphoid tissue consists of Peyer’s patches and isolated lymphoid follicles. The epithelium and LP are the battlefront, and the MALTs represent the headquarters where adaptive immune responses are initiated (Figure 1). T cells are abundant in the GI immune system. In normal mice, there is approximately one intraepithelial lymphocyte (IEL) per 5–10 epithelial cells (ECs) in the small intestine; in the colon, this ratio is approximately one IEL per 40 ECs. In healthy adults, it is estimated that one IEL exists per 5–20 ECs in the intestines. Nonetheless, the ratios and compositions of IELs may vary depending on the condition of the host. Antigen presenting cells (APCs) capture antigens from the epithelium and microfold cells (M-cells) in Peyer’s patches and then migrate to lymphoid follicles, LP and mesenteric lymph nodes, where T cells are exposed to antigens presented by APCs. After antigen recognition, these T cells become activated and differentiate into effector cells (Figure 1).1–5 Lymphocytes, including IELs and LP lymphocytes, form a network that ensures the integrity of the mucosal barrier and GI environment.

Conventional T cells in the mucosa can be classified as either major histocompatibility class II (MHC II)-restricted and αβ T cell receptor (TCR)-expressing CD4+ T cells (helper T cells, or T H cells) or MHC I-restricted and αβ TCR-expressing αβ+ T cells. These T cells develop in the thymus and migrate into mucosal effecting sites after encountering antigen stimuli in lymphoid tissues. However, in the mucosa, another unique subset of T cells exists within the epithelial layer. These T cells express either αβ or γδ TCR and mostly express CD8αα homodimers but not CD8αβ heterodimers, i.e., unconventional CD8αα+ T cells and γδ T cells.
T CELL SUBSETS AND FUNCTIONS IN MUCOSAL IMMUNITY

**T**₄ cells: differentiation and their functions

The mucosa is a cytokine-rich environment where ECs, macrophages, dendritic cells (DCs) and T cells produce various types of cytokines, such as transforming growth factor (TGF)-β, interleukin (IL)-6, IL-10 and IL-12. After TCR activation, naive T cells differentiate into different T H subsets depending on different cytokine milieu. These TH cells then exert either inflammatory or regulatory responses. During the past few decades, several T H subsets have been identified: T H1, T H2, T H17, TH22, TH9, follicular helper T (Tfh) cells and regulatory T (Treg) cells. These subsets are characterized by the production of different effector cytokines and the expression of distinct transcription factors (Figure 2). Here, we focus on T H1 and Treg cells.

T H1 and TH2 cells were the first T H subsets described. In infections caused by intracellular pathogens, such as certain types of bacteria (e.g., *Listeria monocytogenes*), TH1 cells produce large amounts of IL-12 and interferon (IFN)-γ. These cytokines then drive T H1 cell differentiation through the activation of the signal transducer and activator of transcription (STAT) 1 and Janus kinase–STAT pathways. These subsets are characterized by the production of different effector cytokines and the expression of distinct transcription factors (Figure 2).

T H1 cell differentiation is initiated by TCR signalling together with IL-4 and subsequently by STAT6 signal transduction, leading to the expression of the transcription factor GATA-3. GATA-3 is an activator of IL-4 and IL-13 and GATA-3 also induces its own expression, thus allowing T H2 cell stabilisation. T H2 cells contribute to the recruitment of leukocytes and macrophage activation by the secretion of IL-4 and IL-13. T H2 cells also stimulate and increased mucus secretion from the epithelial cells of the airway and GI tract. The mucus layer protects the epithelium by trapping foreign particles and restricting microorganism colonisation. T H2 cells are crucial for resisting and eradicating helminthic infections. T H2 cell cytokines promote innate immune responses to worms by recruiting mast cells and basophils and inducing antibody production in B cells. Moreover, T H2 cell cytokines stimulate the production of mucins and anti-nematode protein resistin-like molecules. However, T H2 cells may also play a role in the pathogenesis of asthma, as increased numbers of T H2 cells in the airways of asthmatic patients have been observed. IL-4, IL-5 and IL-13 produced by T H2 cells cause and maintain asthmatic pathophysiological features, such as allergic sensitisation and immunoglobulin (Ig) E production.

**Figure 1** The overall scheme of the GI mucosal immune system. The GI mucosal immune system consists of three major compartments: epithelium, LP and gut-associated lymphoid tissue. IELs reside within the epithelium layer. DCs project dendrites in the epithelium to uptake antigens and migrate to the LP, secondary lymphoid tissue and draining lymph nodes, where they prime naive T cells. M-cells, located in the epithelium of Peyer’s patches, pass the antigens to DCs, macrophages and other APCs. Naive T cells in secondary lymphoid tissues become activated after being primed by APCs and home to LP (called LPLs) or infiltrate into inflamed epithelium. APC, antigen presenting cell; DC, dendritic cell; GALT, gut-associated lymphoid tissue; GI, gastrointestinal; IEL, intraepithelial lymphocyte; LP, lamina propria; LPL, lamina propria lymphocyte; M-cell, microfold cell.
TH17 cells are identified as an IL-17-producing CD4⁺ T cell lineage and are regulated by two lineage-specific transcription factors—retinoic acid receptor-related orphan receptor (ROR)α and RORγt. TH17 cells exhibit potent pro-inflammatory functions due to their production of IL-17, which functions as a major anti-infection agent in defense against fungus. Many autoimmune diseases in humans have been found to be associated with TH17 cells, such as multiple sclerosis, rheumatoid arthritis, asthma and IBD. Interestingly, TGF-β is found to induce both Foxp3 and RORγt expression, revealing that TGF-β regulates the fine balance between Treg and TH17 cells. In addition, other factors, such as E2A (a member of the helix-loop-helix protein family) and retinoic acid, are also involved in controlling the balance between Treg and TH17 cells.

TH9 cells were recently described as a new member of TH cell family. The differentiation of TH9 cells is induced by TGF-β and IL-4. TH9 cells have similar functions as TH17 cells, but are distinguished by IL-9 production. IL-9 gene expression may be regulated in an epigenetic manner, carrying a ‘poised’ or bivalent mark in most activated T cells, and this mark can be activated by TGF-β and silenced by IFN-γ. One study has proposed that PU.1 may be one of the key transcription factors for TH9 cell polarisation. TH9 cells are believed to be involved in airway inflammation because IL-9 is detected in TH9 cells that localized in asthmatic tissue. In addition, the expression of IL-9 causes airway inflammation and hyper-responsiveness. However, much work is required to address the development and biological significance of TH9 cells.

TH22 cells were initially identified as a group of CD4⁺CCR6⁺ memory T cells in the peripheral blood. TH22 cells also express the skin-homing chemokine receptors CCR4 and CCR10 and secrete IL-22 upon stimulation. TH22 cells rarely produce IFN-γ and IL-17 and express low or undetectable levels of T-bet, GATA3, and RORγt, unlike other IL-22-producing cells such as TH17 and TH1 cells. TH22 cells can be induced in the presence of IL-6 and TNF in vitro. Furthermore, plasmacytoid DCs can trigger the differentiation of TH22 cells. Culture of naïve CD4⁺ T cells from cord blood with IL-1β and IL-23, either in the presence or absence of IL-6, leads to the production of IL-22. The aryl hydrocarbon receptor is believed to be a vital transcription factor for IL-22 expression and TH22 differentiation. Because the majority of TH22 cells express CCR4 and CCR10, it is likely that TH22 cells are crucial for skin immunity.

IL-22 is an important cytokine against extracellular pathogens through synergising with other pro-inflammatory cytokines. Moreover, IL-22 receptors are expressed on many types of ECs. Subsequently, IL-22 can initiate and promote epithelial responses through IL-22 receptors. It has been suggested that IL-22 produced by TH22 and TH17 cells is critical for psoriasis pathogenesis because it induces hyperplasia, abnormal differentiation, and psoriatic gene expression on keratinocytes. However, IL-22 exhibits a protective role in IBD by enhancing epithelium integrity. Furthermore, CD4⁺CD45RBhi T cells from IL-22-deficient mice result in more severe disease in an IBD mouse model.

Notably, TGF-β, which is rich in the mucosal environment, plays a vital role in T cell differentiation. It is also important in maintaining immune balance within the GI tract. Furthermore, TGF-β stimulates the production of IgA antibodies, which promotes the integrity of mucosal immunity. Treg cells are critical for the regulation of the immune response and T cell tolerance. TGF β is indispensable for
Treg cell induction and function. Moreover, Foxp3, the Treg cell master transcription factor, is induced by TGF-β and plays a critical role in Treg cell development and function.51–52 Treg cells inhibit the activity and immune response of numerous immune cells, including T cells and macrophages, by producing TGF-β and IL-10.51–53 Reports have demonstrated that TGF-β signalling pathway is impaired in patients with Crohn’s disease and other forms of IBD.54–56 IL-10 is another inhibitory cytokine that is important to mucosal environments. Mice lacking IL-10 exhibit colitis and severe GI pathology. IL-10 can be produced by Treg cells and is known to inhibit the activation of macrophages and DCs. IL-10 also suppresses the production of IL-12 by activated macrophages and DCs, thus inhibiting T1-h cell differentiation.55–57

IELs: a unique population in epithelium

The IELs serve as the immune guardians at the frontline of the mucosal immune system. As discussed previously, the γδ and αβ IELs are the two major IELs. The γδ T cell precursors mostly derive from thymocytes. In contrast to conventional αβ T cells that mostly home into lymphoid tissues, γδ T cells migrate directly to peripheral tissues, such as the cutaneous layer, GI tract, lungs and genital tracts, before birth and during the neonatal period. Hence, it is believed that γδ T cells contribute to immune protection immediately after birth. Unlike αβ T cells, γδ T cells perform their immune function in an ‘innate-like’ manner. Although γδ T cells respond to antigens presented by MHC molecules, these cells are not restricted by MHC molecules. Activation of TCRs is triggered after γδ T cells engage with MHC-like molecules (CD1d), MHC-related molecules (such as MR1 and CD1c), as well as MHC-unrelated molecules (such as pathogen-associated molecular patterns and danger-associated molecular patterns).51–53,55–56 Notably, γδ T cells also express Toll-like receptors (TLRs) and NK receptors, including TLR2, TLR3, TLR4 and NKG2D. Therefore, these cells are believed to potentially sense cell stress and antigens directly.51–55 Moreover, it has been recently suggested that some γδ T cells (such as dendritic epidermal γδ T cells) are responsible for wound healing and exhibit immune surveillance functions.56–58 Considerable amounts of γδ IELs express CD8α coreceptor in the GI tract and have been shown to perform either regulatory or pro-inflammatory functions. Intestinal γδ IELs produce INF-γ and TNF-α in response to infections and have been shown to promote inflammation in murine models of IBD.51–53,55–56 Interestingly, γδ IELs can also play a regulatory role in the gut by producing IL-10 and TGF-β1, which suppresses INF-γ production by effector T cells.51 Moreover, γδ IELs protect the epithelial integrity by producing TGF-β and keratinocyte growth factor.51,59

Another crucial IEL subset is TCRβ+CD8α+ IELs. As described earlier, these cells express coreceptor CD8αx homodimers. The presence of CD8αx homodimers is considered to be a hallmark of the activated phenotype, although CD8αx expresses a suppressive signal to TCR activation.2,56,60 Studies have demonstrated that TCRβ+CD8αx+ IELs are associated with intestinal antigen tolerance, immune regulation and antimicrobial function.57 A recent report has demonstrated that TCRβ+CD8αx+ IELs are derived from TCRβ+CD4+CD8+ double negative thymocytes.61 Importantly, we have reported that TGF-β controls the development of these CD8αx+ intestinal IELs.62 In addition, TGF-β also induces CD8α expression in peripheral CD4+ T cells to generate a CD4+CD8α+ double-positive IELs.63 Although the function of TCRβ+CD8α+ IELs remains largely unknown, they may possess immune regulatory functions through the production of TGF-β, lymphocyte activation gene 3 and other inhibitory molecules such as cytotoxic T lymphocyte-associated antigen, programmed cell death 1 and several inhibitory NK cell receptors (Table 1).51,63

The oral-pharyngeal mucosal immune system

The oral-pharyngeal mucosa shares many features with the gastrointestinal and respiratory tract yet has its own distinctive characteristics. Structurally, the oral-pharyngeal mucosa possesses a stratified squamous epithelium instead of a single layer epithelium. The LP underlying the epithelium is composed of loose connective tissue that contains blood and lymphatic vessels. Indeed, the oral-pharyngeal mucosa forms a mechanical barrier that is thicker and denser than gastrointestinal mucosa. However, the pharyngeal mucosa is nonetheless permeable and fragile.64–65 Uniquely, in the oral cavity, teeth extend through the mucosa; the periodontal epithelium surrounds teeth, forming an attachment and seal. However, the periodontal epithelium is a weak point for microorganism entry. Thus, a powerful oral-pharyngeal immune system is indispensable in safeguarding the integrity of the oral mucosa.

A proposed model of the oral-pharyngeal immune compartments suggests that they represent specialized MALT consisting of buccal mucosa, salivary glands and Waldeyer’s ring (mainly composed by palatine tonsils and adenoids).66 However, others have suggested that the network of the oral-pharyngeal mucosal immune system resembles the gastrointestinal mucosal immune system and is composed of inductive and effector sites. The inductive sites include MALT (mainly consisting of tonsils and salivary glands), lymphoid follicles, and

Table 1 Notable T cell populations in the mucosal immune system

| Cell type | Markers | Induction/precursor | Major cytokine profile | References |
|-----------|---------|---------------------|------------------------|------------|
| Tᵦ₁       | TCRαβ, CD4, T-bet* | IL-12, IFN-γ         | IFN-γ                  | 6–7,10–12  |
| Tᵦ₂       | TCRαβ, CD4, GATA3* | IL-4                 | IL-4, IL₅, IL-1₃       | 6–7,10–12,15 |
| Tᵦδ       | TCRαβ, CD4, CD25, Foxp3* | TGF-β, IL-2         | TGF-β, IL-1₀           | 25,41–42,45,47 |
| Tᵦ½       | TCRαβ, CD4, RORγt* | TGF-β+IL-6           | IL-1₇, IL-2₂           | 1₁₀,20–21,2₅,4₃,4₆ |
| Tᵦγ       | TCRαβ, CD4, PU.1* | TGF-β+IL-4           | IL-₉                   | 6,2₆–3₀  |
| Tᵦ₂₂      | TCRαβ, CD4       | IL-6, TNF-α          | IL-₂₂                  | 6,3₁–3₄,3₉ |
| Tᵦ₇       | TCRαβ, CD4, CXCR5, Bcl-6* | IL-6, IL-2₁, B cells | IL-2₁, IL-₁₀         | 9  |
| TCRαβ+CD8α⁺ IEL | TCRαβ, CD8α, CD8β | Same as conventional T cells | Perforin, granzymes, IFN-γ | 2,₄,₅₁,₅₇,6₁–₆₂ |
| TCRβ⁺CD8αx⁺ IEL | TCRβ⁺CD8αx | TCRβ⁺CD4+CD8⁺ thymocytes, TGF-β | TGF-β, IFN-γ, IL-1₀, IL-₁₇ | 2,₄,₅₁,₅₇,6₁–₆₂ |

IEL, intraepithelial lymphocyte; IL, interleukin; IFN, interferon; ROR, receptor-related orphan receptor; TCR, T cell receptor; TGF, transforming growth factor; Tₘᵦ, T helper cell; Tᵦᵦ, follicular helper T cell.

* Intracellular markers and transcription factors.
draining lymph nodes. In contrast, the effector sites include epithelium, LP and salivary glands. The inductive sites are where most lymphocytes are activated and expanded upon antigen stimulation. On the contrary, the effector sites are where activated lymphocytes migrate and relocate to mediate immune responses.

Compartmentalized immune cells, such as IELs and LP lymphocytes, undertake the elimination of foreign antigens. After antigen uptake, DCs, macrophages and Langerhans cells (LCs) residing in the epithelium or LP migrate into MALT and draining lymph nodes and initiate the adaptive immune responses by inducing T cell proliferation and differentiation. In addition, a group of M-cell-like cells has been identified in the epithelium of palatine tonsil crypt that is responsible for luminal antigen uptake. The mucosa is described as a ‘slippery ground’ that is covered with mucus. In the oral cavity, the mucosa is covered with saliva that contains immunoglobulins, such as secretory IgA, antimicrobial peptides such as defensins, and enzymes secreted by salivary glands (Figure 3).

Among the immune cells in the oral-pharyngeal mucosa, DCs are relatively better studied. In murine models, different subsets of CD11c⁺ DCs, as well as LCs reside in the epithelium of buccal, sublingual and gingival mucosa. DCs and LCs are APCs; thus, in oral mucosa, DCs are responsible for antigen capture and antigen presentation to T cells. Compared with DCs and LCs, T cell populations such as IELs in the oral-pharyngeal mucosa are less studied. In patients with dermatitis herpetiformis, the αβ and γδ T cell populations reside in the epithelium of oral mucosa. However, CD8αα⁺ IELs in the oral-pharyngeal mucosa have not been identified and characterized until recently (RQ Wu and W Chen, in preparation).

**Oral mucosal diseases with immunopathogenesis**

In clinics, various mucosal diseases have been observed in the oral cavity, including viral infection, candida infection and oral lichen planus (OLP). These mucosal diseases are mainly caused by immune deficiency and/or the dysregulation of the oral immune system. In particular, T cells have been suggested to be associated with the development of these diseases, yet their causative roles have not been established. In this section, we highlight the recent findings on the roles of T cells in oral mucosal diseases.

An example of mucosal immune system dysfunction can be observed in human immunodeficiency virus (HIV)-infected individuals. HIV infection leads to low levels of CD4⁺ T cells and results in immune deficiency. People infected with HIV are highly susceptible to infection by oral and pharyngeal commensal bacteria and fungi such as *Candida albicans* due to the weakening of the T cell response. Another example is hyper-IgE syndrome, which results from STAT3 mutations. Patients with hyper-IgE syndrome suffer from oral candidiasis due to a deficiency of T H17 cells, consistent with animal studies demonstrating that mice with T H17-deficiency (*IL-23p19*/-/- mice) and IL-17 receptor-deficiency (*IL-17RA*-/-- mice) develop severe *Candida albicans* infection in the oral cavity. Although T H17 cells are important for oral immune responses against fungus, evidence suggests that aberrant or uncontrolled T H17 cell responses result in chronic inflammation towards candidiasis, which ultimately results in autoimmunity.

Immune responses to food antigens and commensal bacteria generally do not induce any inflammation but do induce immune tolerance. Autoimmune diseases may occur as a result of unrestricted immune responses to commensal bacteria. Many inflammatory and autoimmune diseases have been shown to develop in the oral mucosa, such as periodontitis, Sjögren’s syndrome and OLP. Periodontitis is initiated by the accumulation of bacterial plaque, subsequent tissue damage and bone loss due to host immune responses and inappropriate inflammation. T H17 cells are found to play an important role in the recruitment of neutrophils and osteoclasts. Consequently, the gingival
barriers are destroyed together with the retraction of gingiva and destruction of alveolar bone.12–33 OLP, a chronic inflammatory disease, is characterized by massive lymphocyte infiltration in the LP and results in chronic destruction of the epithelium basal layer.34–36 Scully et al.37,38,39,40 suggested that T<sub>H</sub>1 and T<sub>H</sub>2 cells contribute to inflammation and mucosal lesion formation in OLP. Pro-inflammatory cytokines, including IL-6, IL-17 and TNF-α, are increased in the saliva and serum of OLP patients.41,42 On the contrary, TGF-β is decreased in the serum of OLP patients compared with that of healthy individuals.43 A single nucleotide polymorphism study on IL-10 polymorphisms revealed higher frequencies of four haplotypes (including -1082 G/A, -819 C/T and -592 C/A polymorphisms) in the peripheral blood of OLP patients, that correlated with a lower serum IL-10 level.44 Based on these findings, some reports have suggested that T cells might be involved in OLP development. Nevertheless, given that many immune cell types are capable of producing these cytokines, the roles of T cells in the pathogenesis of OLP remain to be determined.

Oral mucosal tolerance is defined as immune tolerance induced by oral mucosa.45 Oral mucosal tolerance is distinct from ‘oral tolerance’, which is tolerance induced within the GI mucosal immune system. Oral mucosal tolerance induced by sublingual immunotherapy is a promising therapeutic for allergy, such as rhinitis.46–48 Upon antigen stimulation and immunisation via sublingual mucosa, DCs induce the generation of Treg cells by producing TGF-β and other mediators, such as indoleamine 2,3-dioxygenase.49,50,51 Cytokines produced by Treg cells, such as IL-10 and TGF-β, and inhibitory ligands expressed on Treg cells, such as CTLA-4, can limit T<sub>H</sub>1 cell responses.48,96 In addition, constitutively expressed inhibitory molecules on DCs and LCs such as B7-H molecules are responsible for oral mucosal tolerance.52 Studies have indicated that the intraoral administration of a T cell epitope peptide via the mucosa prior to allergen challenge limited T cell proliferation in oral-pharyngeal draining lymph nodes.97 Furthermore, studies have demonstrated that greater T cell suppression is induced by intraoral instead of intragastric administration, which suggests that ‘oral mucosal tolerance’ is more effective than ‘oral tolerance’.98

CONCLUDING REMARKS

In this review, we have discussed the mucosal immune systems in terms of its structure, cell components, and protective mechanisms based on our knowledge of the GI mucosal immune system. We have also summarized current findings on the development and differentiation of T<sub>H</sub>1 cells and IELs. In addition, we review recent advances in our understanding of the oral-pharyngeal mucosal immune system. It is well established that in the gut mucosal immune system, compartmentalized immune cells constitute an effective and dynamic network in which numerous types of cells and molecules contribute to the balance between immune tolerance and immune response. Studies on animal disease models such as colitis and IBD illustrate an altered pathological status of the immune system. In addition, in the oral mucosa, ECs and immune cells produce a wide range of cytokines, including IL-1β, IL-6, TNF-α, granulocyte-monocyte colony-stimulating factor and TGF-β,53,54,55 which contribute to an environment that impacts T cell activation, proliferation and differentiation. However, much work is required for a clear understanding of T cell subsets and their function in the oral pharyngeal immune system. Therefore, in the future, it is important to focus our attention on the oral mucosal T<sub>H</sub>1 cell diversity, T cell networks and T cell functions under both healthy and pathological conditions.

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