LETTER TO THE EDITOR

Stellar mass to halo mass relation from galaxy clustering in VUDS: a high star formation efficiency at \( z \approx 3 \)

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ABSTRACT

The relation between the galaxy stellar mass \( M_\star \) and the dark matter halo mass \( M_h \) gives important information on the efficiency in forming stars and assembling stellar mass in galaxies. We present measurements of the ratio of stellar mass to halo mass (SMHR) at redshifts \( 2 < z < 5 \), obtained from the VIMOS Ultra Deep Survey. We use halo occupation distribution (HOD) modelling of clustering measurements on \( \sim 3000 \) galaxies with spectroscopic redshifts to derive the dark matter halo mass \( M_h \) and spectral energy density (SED) fitting over a large set of multi-wavelength data to derive the stellar mass \( M_\star \) and compute the SMHR = \( M_\star / M_h \). We find that the SMHR ranges from 1% to 2.5% for galaxies with \( M_\star \sim 10^{10} \, M_\odot \) to \( M_\star = 7.4 \times 10^8 \, M_\odot \) in DM halos with \( M_h = 1.3 \times 10^{13} M_\odot \) to \( M_h = 3 \times 10^{11} M_\odot \). We derive the integrated star formation efficiency (ISFE) of these galaxies and find that the star formation efficiency is a moderate \( \eta = 0.004 \) for the average galaxy at \( z \sim 5 \) begins to experience truncation of its star formation within a few million years.

Key words. large-scale structure of Universe – early Universe – galaxies: evolution – methods: statistical

1. Introduction

Understanding processes regulating star formation and mass growth in galaxies along cosmic time remains a key issue of galaxy formation and evolution. In the Lambda-cold dark matter (ΛCDM) model, dark matter (DM) halos grow hierarchically, and galaxies are thought to form via dissipative collapse in the deep potential wells of these DM halos (e.g. White & Rees 1978; Fall & Efstathiou 1980). In this paradigm, cooling processes bring baryons in high-density peaks of the matter density field.
haloes), where the conditions for gas fragmentation trigger star formation (Bromm et al. 2009). Current models connecting star formation and stellar mass evolution on the one hand and the formation histories of DM halos on the other hand rely on simplifying assumptions and approximations and need to be amended by observational data to reduce the uncertainties in the modelling process (e.g. Conroy & Wechsler 2009).

The efficiency of assembling baryons into stars is an important ingredient for understanding galaxy formation, but it remains poorly constrained observationally. In recent years, it has been proposed to derive this efficiency comparing DM halo mass with galaxy stellar mass. With the measurement of the characteristic mass of DM host haloes \( M_h \) now available from observational data and of stellar mass \( M_\star \) derived from the analysis of the spectral energy distribution of galaxies, coupled to the knowledge of the cosmological density of baryons and DM, the conversion rate from baryons to stellar mass can be inferred.

Several methods have been used to link \( M_\star \) and \( M_h \). One of these methods involves halo occupation models, which provide a description of how galaxies populate their host haloes using galaxy clustering statistics and local density profiles (e.g. Zehavi et al. 2005; Leauthaud et al. 2012). An alternative method uses abundance matching to associate galaxies to underlying dark matter structure and sub-structures assuming that the stellar masses or luminosities of the galaxies are tightly connected to the masses of dark matter halos (Conroy et al. 2009; Moster et al. 2013). The efficiency with which the galaxies converted baryons into stars is encoded in the relationship between \( M_\star \) and \( M_h \) as a function of redshift, which provides a benchmark against which galaxy evolution models can be tested. Using observed stellar mass functions, abundance-matching models have led to the derivation of the stellar mass – halo mass (SMHM) relation, which gives for a given halo mass the ratio of stellar mass to halo mass (SMHR), \( \text{SMHR} = M_\star/M_h \). Behroozi et al. (2010) reported that the integrated star formation efficiency (ISFE) at a given halo mass peaks at 10–20% of available baryons for all redshifts from 0 to 4.

The shape of the SMHM is claimed not to evolve much from \( z = 0 \) to \( z = 4 \), although it may be evolving more significantly at \( z > 4 \) (Behroozi et al. 2013; Behroozi & Silk 2015). The SMHR is characterized by a maximum around \( M_h = 10^{12} M_\odot \). The lower efficiency at masses below this value may indicate that supernova feedback might be sufficient to remove gas from the galaxy because the halo gravitational potential is lower (e.g. Silk 2003; Bertone et al. 2005; Béthermin et al. 2013). At higher masses, the decrease in star formation efficiency might be produced when cold streams are replaced by isotropic cooling (e.g. Dekel & Birnboim 2006; Faucher-Giguère et al. 2011) or by some high-energy feedback process like that produced by AGNs.

While this picture is attractive from a theoretical modelling point of view, consistency with observational constraints needs to be further improved. In this Letter we use the VIMOS Ultra Deep Survey (VUDS, Le Fèvre et al. 2015) to report on the first measurements of the SMHR derived from the observed clustering of galaxies at \( 2 < z < 5 \). Using \( M_h \) derived from HOD modelling based on the two-project pointed correlation function \( w_p(r_p) \), and \( M_\star \) obtained from spectral energy distribution (SED) fitting computed from \( \sim 3000 \) galaxies we estimate the SMHR for several galaxy samples and compare it to SMHM models. The Letter is organized as follows: we summarize the VUDS data in Sect. 2, the \( M_h \) and \( M_\star \) measurements are presented in Sect. 3, we derive the SMHR and the ISFE for several mass bins at \( z = 3 \) in Sect. 4, and we discuss our results in Sect. 5.

![Fig. 1. Stellar mass distribution in VUDS. Red lines and horizontal lines indicate the limits in redshift and stellar mass applied to select low- and high-redshift samples. The dashed blue line indicates the mass cut at \( M_\star = 7.4 \times 10^9 M_\odot \) applied to define a high-mass sample.](image)

We use a flat \( \Lambda \)CDM cosmological model with \( \Omega_m = 0.25 \) and a Hubble constant \( H_0 = 70 \, \text{km s}^{-1} \, \text{Mpc} \) to compute absolute magnitudes and masses.

2. VUDS data

The VIMOS Ultra Deep Survey (VUDS) is a spectroscopic survey of \( \sim 10,000 \) galaxies performed with the VIMOS multibjective spectrograph at the European Southern Observatory Very Large Telescope (Le Fèvre et al. 2003). Its main aim is to study early phases of galaxy formation and evolution at \( 2 < z < 6 \). Details about the survey strategy, target selection, and data processing and redshift measurements are presented in Le Fèvre et al. (2015).

We use data in the redshift range \( 2 < z < 5 \) from two independent fields, COSMOS and VVDS-02h, covering a total area 0.81 deg\(^2\), corresponding to a volume \( \sim 3 \times 10^7 \) Mpc\(^3\). The sample used here contains 3022 galaxies with reliable spectroscopic redshifts (spectroscopy reliability flags 2, 3, 4 and 9, see Le Fèvre et al. 2015) and with a stellar mass in the range \( 9 < \log (M_\star) < 11 M_\odot \) as presented in Fig. 1. The whole sample has been divided into two redshift ranges: \( 2 < z < 2.9 \) with log \( M_\star^{\text{applied}} = 9.1 M_\odot \) and \( 2.9 < z < 5.0 \), for which log \( M_\star^{\text{applied}} = 9.3 M_\odot \), where \( M_\star^{\text{applied}} \) is the lower mass boundary of the sample resulting from the survey selection function (see below). Additionally, to estimate the SMHR for more massive galaxies, we define a galaxy sub-sample in the range \( 2 < z < 5 \) and with log \( M_\star > 9.87 M_\odot \). This mass limit is the practical limit for which we can measure a galaxy correlation function signal accurately enough at each observed scale \( 0.3 < r_p < 17 h^{-1} \) Mpc, which is required to achieve convergence of the HOD fit.

3. \( M_\star \) and \( M_h \) measurements

The stellar masses in the VUDS survey were estimated by performing SED fitting on the multi-wavelength photometry using the code Le Phare (Ilbert et al. 2006), as described in detail by Ilbert et al. (2013) and references therein.

Halo masses \( M_h \) were measured in a two-step process. First, the projected two-point correlation function \( w_p(r_p) \) was computed for all three sub-samples in Durkalec et al. (2014). The
correlation function results were then interpreted in terms of a three-parameter halo occupation model (HOD) of the form proposed by Zehavi et al. (2005) and motivated by Kravtsov et al. (2004), with the mean number of galaxies:

\[
\langle N_{\delta}(M) \rangle = \begin{cases} 
1 + \left( \frac{M}{M_{\text{thresh}}} \right)^{\alpha} & \text{for } M > M_{\text{min}} \\
0 & \text{otherwise},
\end{cases}
\]

(1)

where \(M_{\text{min}}\) is the minimum mass needed for a halo to host one central galaxy, and \(M_{\delta}\) is the mass of a halo having on average one satellite galaxy, while \(\alpha\) is the power-law slope of the satellite mean occupation function.

The correlation function measurements and model-fitting procedures are described in Durkalec et al. (2014). By construction of the halo occupation function given in Eq. (1), the parameter \(M_{\text{min}}\) is the halo mass associated with galaxies with a stellar mass defined as the stellar mass threshold in the SHM relation (Zheng et al. 2005; Zehavi et al. 2005). We therefore quote the lowest mass of the sample considered as \(M_{\text{thresh}}\), as imposed by the survey limiting magnitude. The errors associated with this lower limit were computed as the average of the errors on \(M_{\delta}\) from the SED fitting for each redshift and mass sub-sample separately.

### 4. Relation of stellar mass to halo mass at \(z \sim 3\)

Our results are presented in Table 1 and in the left panel of Fig. 2. For the low-redshift sample \(z \sim 2.5\) the stellar mass for halos of mass \(\log M_{\text{h}}^{\text{min}} = 11.12 \pm 0.33 M_{\odot}\) is \(\log M_{\text{thresh}} = 9.1 M_{\odot}\), while at \(z \sim 3.5\) the halo mass reaches \(\log M_{\text{h}}^{\text{min}} = 11.18 \pm 0.56 M_{\odot}\) for a stellar mass \(\log M_{\delta}^{\text{thresh}} = 9.3 M_{\odot}\).

From these measurements we find that \(\log(M_{\delta}/M_{\odot})\) ranges from \(-2.02 \pm 0.18\) for the low-mass sample to up to \(-1.6 \pm 0.17\) for the most massive sample, at redshift \(z \sim 3\). As shown in Fig. 2, these results are compared to various measurements at low and intermediate redshifts \(z < 1\), obtained using different methods, including satellite kinematics (Conroy et al. 2007; More et al. 2011), weak lensing (Mandelbaum et al. 2006), galaxy clustering (Foucaud et al. 2010), and abundance matching (Moster et al. 2013). Our measurements agree excellently well with models derived from abundance matching at redshift \(z = 3\) (Moster et al. 2013).

### 5. Discussion and conclusion

Our SMHR measurements are among the first performed at \(z \sim 3\) from a clustering and HOD analysis. These measurements were...
made possible by the large VUDS spectroscopic redshift survey. The SMHR is 1% to 2.5% for galaxies with intermediate stellar masses (at \( z \sim 3 \)) ranging from \( 10^9 \) \( M_\odot \) to \( 7 \times 10^9 \) \( M_\odot \).

Following Conroy & Wechsler (2009), we computed the ISFE \( \eta = M_\star / M_\odot \) with \( f_\odot \) the universal baryon fraction \( f_\odot = \Omega_b / \Omega_m = 0.155 \) (Planck Collaboration XVI 2014). Results are reported in Table 1. The ISFE ranges from \( 2.25 \) to \( 7.9\% \) for galaxies with \( M_\star \) from \( M_\star^{\text{thresh}} = 1.3 \times 10^{10} \) \( M_\odot \) to \( M_\star^{\text{thresh}} = 7.4 \times 10^{10} \) \( M_\odot \). The ISFE at \( z \sim 3 \) therefore increases with \( M_\star \) over this mass range. The star formation efficiency of \( \sim 16\% \) in a halo with \( M_h = 3 \times 10^{11} \) \( M_\odot \) is quite close to the maximum of \( \sim 20\% \) occurring at \( 10^{12} \) \( M_\odot \) in SMHM models (Behroozi et al. 2010; see also Moster et al. 2013).

We used a simple mass-growth model to derive the time scale for which our most massive galaxy sample would reach the maximum predicted in the SMHM relation from Behroozi et al. (2010). In this model the mass growth of DM haloes is described by a mean accretion rate \( \langle M_h \rangle \) mean taken from Fakhouri et al. (2010), while galaxies grow in mass via star formation using the mean SFR for our sample (Tasca et al. 2014a), as well as through mergers with a constant accretion in stars of \( \sim 1 M_\odot / \text{yr} \) (Tasca et al. 2014b). We computed the halo and stellar mass values every \( 5 \) Myr to account for the halo accretion rate and SFR changing with redshift and mass. In the right panel of Fig. 2 we represent the expected time evolution in \( M_\star / M_\odot \) versus \( M_\star \) for a galaxy starting at \( z \sim 3 \) following this toy model. The SMHM relation would reach a maximum \( \log(M_\star / M_\odot) = 1.25 \) about 360 Myr after the observed epoch (i.e. at \( z \sim 2.6 \)), and at this time, halo and stellar masses will be \( M_\text{min} = 10^{11.6} \) \( M_\odot \) and \( M_\text{thresh} = 2 \times 10^{10} \) \( M_\odot \).

According to the model proposed by Moster et al. (2013), the SMHM relation is expected to reverse after reaching a maximum, with the slope of the relation maintaining the same absolute value, but reversing sign (see Fig. 2). Since dark matter halos grow with time (e.g. Fakhouri et al. 2010), the growth in stellar mass must drop dramatically over a sustained period of time to slow down the SMBH growth, but reversing sign (see Fig. 2). The SMHM relation is expected to reverse after reaching a maximum log(\( M_\star / M_\odot \)) = 1.25 about 360 Myr after the observed epoch (i.e. at \( z \sim 2.6 \)), and at this time, halo and stellar masses will be \( M_\text{min} = 10^{11.6} \) \( M_\odot \) and \( M_\text{thresh} = 2 \times 10^{10} \) \( M_\odot \).

In conclusion, the SMHM is a simple yet efficient tool for probing star formation efficiency at the epoch of rapid stellar mass assembly, provided one obtains robust measurements on both \( M_\star \) and \( M_\odot \). This is now possible with VUDS at \( z \sim 3 \), which complements more indirect estimates that use abundance matching, for example. A more extensive exploration of the efficiency of star formation over a wider range of halo masses is becoming possible with new surveys, and it would be interesting to probe higher masses than done in this paper to evaluate the halo mass corresponding to the highest star formation efficiency. Extending such measurements to higher redshifts will require the power of new facilities such as PFS-Sumire, JWST, or ELTs.

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