STRONGLY UPLIFTING CARDINALS AND THE BOLDFACE RESURRECTION AXIOMS

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ABSTRACT. We introduce the strongly uplifting cardinals, which are equivalently characterized, we prove, as the superstrongly unfoldable cardinals and also as the almost hugely unfoldable cardinals, and we show that their existence is equiconsistent over ZFC with natural instances of the boldface resurrection axiom, such as the boldface resurrection axiom for proper forcing.

1. Introduction

The strongly uplifting cardinals, which we shall introduce in this article, are a boldface analogue of the uplifting cardinals of [HJ14], and are equivalently characterized as the superstrongly unfoldable cardinals and also as the almost hugely unfoldable cardinals. In consistency strength, these new large cardinals lie strictly above the weakly compact, totally indescribable and strongly unfoldable cardinals and strictly below the subtle cardinals, which in turn are weaker in consistency than the existence of $0^\#$. The robust diversity of equivalent characterizations of this new large cardinal concept enables constructions and techniques from much larger large cardinal contexts, such as Laver functions and forcing iterations with applications to forcing axioms. Using such methods, we prove that the existence of a strongly uplifting cardinal (or equivalently, a superstrongly unfoldable or almost hugely unfoldable cardinal) is equiconsistent over ZFC with natural instances of the boldface resurrection axioms, including the boldface resurrection axiom for proper forcing, for semi-proper forcing, for c.c.c. forcing and

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Thus, whereas in [HJ14] we proved that the existence of a mere uplifting cardinal is equiconsistent with natural instances of the (light-face) resurrection axioms, here we adapt both of these notions to the boldface context.

These forcing arguments, we believe, evoke the essential nature of Baumgartner’s seminal argument forcing PFA from a supercompact cardinal, and so we are honored and pleased to be a part of this memorial issue in honor of James Baumgartner.

2. Strongly uplifting, superstrongly unfoldable and almost hugely unfoldable cardinals

Let us now introduce the strongly uplifting cardinals, which strengthen the uplifting cardinal concept from [HJ14] by the involvement of the predicate parameter $A$, allowing us to view the strong uplifting property as a boldface form of upliftingness.

**Definition 1.** An inaccessible cardinal $\kappa$ is **strongly uplifting** if it is strongly $\theta$-uplifting for every ordinal $\theta$, which is to say that for every $A \subseteq V_\kappa$ there is an inaccessible cardinal $\gamma \geq \theta$ and a set $A^* \subseteq V_\gamma$ such that $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ is a proper elementary extension.

We needn’t actually require that $\kappa$ is inaccessible at the outset, but only an ordinal, since the inaccessibility (and much more) of $\kappa$ follows from the property itself. Namely, $\kappa$ must be regular because otherwise we can violate $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ by using a short cofinal set $A \subseteq \kappa$, and it is an elementary exercise to verify that it must be a strong limit (and hence inaccessible) if $V_\kappa \prec V_\gamma$ is any proper elementary extension. It is immediate from the definition that every strongly uplifting cardinal is strongly unfoldable (and hence also weakly compact, totally indescribable and so on), since by the extension characterization of strong unfoldability (see [Vil98, VL99, Ham01]), an inaccessible cardinal $\kappa$ is strongly unfoldable just in case for every ordinal $\theta$ and every $A \subseteq \kappa$ there is $A^*$ and transitive set $W$ with $V_\theta \subseteq W$, such that $\langle V_\kappa, \in, A \rangle \prec \langle W, \in, A^* \rangle$. The strongly uplifting cardinals strengthen this by insisting that $W$ has the form $V_\gamma$ for some inaccessible cardinal $\gamma$. So strong unfoldability is a lower bound for strong upliftingness, and more refined lower bounds are provided by theorem 6. For a crude upper bound, it is clear that if $\kappa$ is **super 1-extendible**, which means that there are arbitrarily large $\theta$ for which there is an elementary embedding $j : V_{\kappa+1} \rightarrow V_{\theta+1}$, then $\kappa$ is also strongly uplifting, simply by letting $A^* = j(A)$ for any particular $A \subseteq V_\kappa$. An improved upper bound in consistency strength is provided by the observation (theorem 8) that if $0^\sharp$ exists, then every Silver indiscernible is strongly uplifting in $L$;
a still lower upper bound is provided by the subtle cardinals in theorem 7. Meanwhile, let’s show that the strongly uplifting cardinals are downward absolute to the constructible universe $L$.

**Theorem 2.** Every strongly uplifting cardinal is strongly uplifting in $L$. Indeed, every strongly $\theta$-uplifting cardinal is strongly $\theta$-uplifting in $L$.

**Proof.** Suppose that $\kappa$ is strongly $\theta$-uplifting in $V$. Since $\kappa$ is inaccessible, it is also inaccessible in $L$. Consider any set $A \subseteq L_{\kappa} = V^L_{\kappa}$ with $A \in L$, and any ordinal $\theta \geq \kappa$. Since $A$ is constructible, it must be that $A \in L_\beta$ for some $\beta < (\kappa^+)^L$. Let $E$ be a relation on $\kappa$ such that $\langle \kappa, E \rangle \cong \langle L_\beta, \in \rangle$. Since $\kappa$ is strongly $\theta$-uplifting in $V$, there is an elementary extension $\langle V_\kappa, \in, E \rangle \prec \langle V_\gamma, \in, E^* \rangle$ for some inaccessible cardinal $\gamma \geq \theta$ and binary relation $E^*$ on $\gamma$. Since $E$ is well-founded, there are no infinite $E$-descending sequences in $V_\kappa$. Since $\gamma$ is regular and $V_\gamma$ is consequently closed under countable sequences, it follows by elementarity that $E^*$ is also well-founded. Further, since $\langle V_\kappa, \in, E \rangle$ can verify that $\langle \kappa, E \rangle \models V = L$, it follows by elementarity that $\langle \gamma, E^* \rangle$ also satisfies $V = L$, and since it is well-founded it must be that $\langle \gamma, E^* \rangle \cong \langle L_{\beta^*}, \in \rangle$ for some ordinal $\beta^*$. Note that $A$ is a class in $\langle V_\kappa, \in, E \rangle$ that is definable from parameters, since $A$ is represented by some ordinal $\alpha < \kappa$ in the structure $\langle \kappa, E \rangle$. If $A^*$ is the element of $L_{\beta^*}$ represented by the same $\alpha$ with respect to $E^*$, then it follows by elementarity that $\langle V_\kappa, \in, A \rangle \prec \langle L_{\gamma}, \in, A^* \rangle$, and since $A^* \in L$, we have witnessed the desired instance of strong $\theta$-uplifting. □

Recall from [HJ14] that an inaccessible cardinal $\kappa$ is pseudo uplifting if for every ordinal $\theta$ there is some ordinal $\gamma \geq \theta$, not necessarily inaccessible, for which $V_\kappa \prec V_\gamma$. Thus, the pseudo-uplifting property simply drops the requirement that the extension height $\gamma$ is inaccessible, and we observed in [HJ14, thm 11] that this change results in a strictly weaker notion. In the boldface context, it is tempting to define similarly that an ordinal $\kappa$ is strongly pseudo uplifting if for every ordinal $\theta$ it is strongly pseudo $\theta$-uplifting, meaning that for every $A \subseteq \kappa$, there is an ordinal $\gamma \geq \theta$, not necessarily inaccessible, and a set $A^* \subseteq \gamma$ for which $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$. Similarly, in the other direction, we might want to define that $\kappa$ is strongly uplifting with weakly compact targets, if the corresponding extensions $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ can be found where $\gamma$ is weakly compact in $V$. In the boldface context, however, these changes do not actually result in different large cardinal concepts, for we shall presently show that it is equivalent to require nothing extra about the extension height $\gamma$, or to require that it is inaccessible, weakly compact, totally indescribable or much more.
Theorem 3 (Extension characterizations). A cardinal is strongly uplifting if and only if it is strongly pseudo uplifting, if and only if it is strongly uplifting with weakly compact targets. Indeed, for any ordinals $\kappa$ and $\theta$, the following are equivalent.

1. $\kappa$ is strongly pseudo $($$\theta + 1$$)$-uplifting. That is, $\kappa$ is an ordinal and for every $A \subseteq \kappa$ there is an ordinal $\gamma > \theta$ and a set $A^* \subseteq \gamma$ such that $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ is a proper elementary extension.

2. $\kappa$ is strongly $($$\theta + 1$$)$-uplifting. That is, $\kappa$ is inaccessible and for every $A \subseteq \kappa$ there is an inaccessible $\gamma > \theta$ and a set $A^* \subseteq \gamma$ such that $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ is a proper elementary extension.

3. $\kappa$ is strongly $($$\theta + 1$$)$-uplifting with weakly compact targets. That is, $\kappa$ is inaccessible and for every $A \subseteq \kappa$ there is a weakly compact $\gamma$ and $A^* \subseteq \gamma$ such that $\langle V_\kappa, \in, A \rangle \prec \langle V_\gamma, \in, A^* \rangle$ is a proper elementary extension.

4. $\kappa$ is strongly $($$\theta + 1$$)$-uplifting with totally indescribable targets, and indeed with targets having any property of $\kappa$ that is absolute to all models $V_\gamma$ with $\gamma > \kappa, \theta$.

Proof. It is clear that (4) $\to$ (3) $\to$ (2) $\to$ (1). Conversely, suppose that statement (1) holds. It is as we mentioned an elementary exercise to verify that $\kappa$ is inaccessible. Fix any set $A \subseteq \kappa$. Let $C \subseteq \kappa$ be the club of ordinals $\delta < \kappa$ for which $\langle V_\kappa, \in, A \cap \delta \rangle \prec \langle V_\kappa, \in, A \rangle$. Now, consider any proper extension $\langle V_\kappa, \in, A, C \rangle \prec \langle V_\gamma, \in, A^*, C^* \rangle$, where $\gamma > \theta$, but $\gamma$ is not necessarily inaccessible. Because every element $\delta \in C$ has $\langle V_\delta, \in, A \cap \delta \rangle \prec \langle V_\kappa, \in, A \rangle$, it follows by elementarity that $\langle V_\eta, \in, A^* \cap \eta \rangle \prec \langle V_\gamma, \in, A^* \rangle$ for every $\eta \in C^*$. Since $\kappa \in C^*$ and $\kappa$ is inaccessible, it follows that there must be unboundedly many inaccessible $\eta \in C^*$. Fix some such inaccessible cardinal $\eta \in C^*$ above $\theta$ and $\kappa$. Combining the information, it follows that $\langle V_\kappa, \in, A \rangle \prec \langle V_\eta, \in, A^* \cap \eta \rangle$, and so we’ve witnessed (2) using the inaccessible cardinal $\eta$. Further, since $\kappa$ is weakly compact in $V_\gamma$, we also could find weakly compact $\eta \in C^*$ above $\theta$ and thereby verify statement (3). Similarly, since $\kappa$ is totally indescribable and much more that is witnessed in $V_\gamma$, strongly unfoldable up to $\gamma$ and strongly uplifting to a very high extent, we may find corresponding $\eta$ in $C^*$ above $\theta$ and thereby witness statement (4). Namely, for any property of $\kappa$ in $V_\gamma$, we may find $\eta$ with this property in $V_\gamma$ for which $\langle V_\kappa, \in, A \rangle \prec \langle V_\eta, \in, A^* \rangle$, since there will be unboundedly many such $\eta$ in the club $C^*$.

One may generally use $H_\kappa$ instead of $V_\kappa$ in the characterizations, provided $\kappa$ is a cardinal. For example, $\kappa$ is strongly uplifting just in case it is a cardinal and for all $A \subseteq \kappa$ there are arbitrarily large
cardinals $\gamma$ with sets $A^* \subseteq \gamma$ such that $\langle H_\kappa, \in, A^* \rangle \prec \langle H_\gamma, \in, A^* \rangle$, and one may freely assume or not that $\gamma$ is inaccessible, weakly compact, totally indescribable and much more. Note also that the properties in statement (4) include all $\Sigma_2$ properties of $\kappa$ that are realized in the relevant corresponding extensions $V_\gamma$.

We should like now to provide a number of embedding characterizations of the strongly uplifting property. These characterizations will continue the progression of embedding characterizations of the weakly compact cardinals, the indescribable cardinals, the unfoldable cardinals and the strongly unfoldable cardinals. Specifically, if $\kappa$ is any cardinal and $\theta$ is any ordinal, then it is known that:

1. $\kappa$ is weakly compact if and only if for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with an elementary embedding $j : M \rightarrow N$ with critical point $\kappa$.

2. $\kappa$ is $\theta$-unfoldable if and only if for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with an elementary embedding $j : M \rightarrow N$ with critical point $\kappa$ and $j(\kappa) \geq \theta$.

3. $\kappa$ is strongly $\theta$-unfoldable if and only if for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with an elementary embedding $j : M \rightarrow N$ with critical point $\kappa$ and $j(\kappa) \geq \theta$ and $V_\theta \subseteq N$.

For further details, see [HJ10], and also [Vil98, VL99], [Ham01], [Joh07, Joh08], [Ham]. A $\kappa$-model is a transitive set $M$ of size $\kappa$ with $\kappa \in M$ and $M^{<\kappa} \subseteq M$, and satisfying the theory $\text{ZFC}^-$, meaning $\text{ZFC}$ without power set, although the embedding characterizations above use full ZFC. These embedding characterizations are extremely robust, and they remain equivalent characterizations of these large cardinal notions even after diverse minor changes. For example, one may consider only $A \subseteq \kappa$ rather than $A \in H_{\kappa^+}$; one may add the requirement that $V_\kappa \prec M$ holds for the $\kappa$-models $M$; there is no need to require $M \models \text{ZFC}$ or even $M \models \text{ZFC}^-$, as any transitive set will do; one may drop the $M^{<\kappa} \subseteq M$ requirement and replace it by $2^{<\kappa} = \kappa$; one gets embeddings $j : M \rightarrow N$ for every transitive structure of size $\kappa$; by composing embeddings, one may insist that $j(\kappa) > \theta$ and so on.

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1 The theory $\text{ZFC}^-$ should be axiomatized with the collection axiom and not merely the replacement axiom (and the axiom of choice should be taken as the well-order principle), especially as here in the context of ultrapower and extender embeddings, for reasons explored in detail in [GHJ], which shows that many expected results, including the L"o"s theorem, do not hold under the naive axiomatization, which is not equivalent to the correct formulation of $\text{ZFC}^-$ axiomatization when one lacks the power set axiom.
Just as strong unfoldability is a strong-cardinal analogue of unfoldability, it is natural to consider the corresponding superstrong and almost hugeness analogues of that notion.

**Definition 4.**

1. A cardinal $\kappa$ is **superstrongly unfoldable**, if for every ordinal $\theta$ it is **superstrongly $\theta$-unfoldable**, which is to say that for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with an elementary embedding $j : M \to N$ with critical point $\kappa$ and $j(\kappa) \geq \theta$ and $V_{j(\kappa)} \subseteq N$.

2. A cardinal $\kappa$ is **almost-hugely unfoldable**, if for every ordinal $\theta$ it is **almost-hugely $\theta$-unfoldable**, which is to say that for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with an elementary embedding $j : M \to N$ with critical point $\kappa$ and $j(\kappa) \geq \theta$ and $N^{<j(\kappa)} \subseteq N$.

A natural weakening of these notions does not insist that one may find arbitrarily large such targets $j(\kappa)$, but only one. Namely, a cardinal $\kappa$ is **weakly superstrong**, if for every $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and an elementary embedding $j : M \to N$ into a transitive set $N$ with critical point $\kappa$ and $V_{j(\kappa)} \subseteq N$. And similarly, $\kappa$ is **weakly almost huge**, if for every $A \in H_{\kappa^+}$ there is such $j : M \to N$ with $N^{<j(\kappa)} \subseteq N$.

Remarkably, the superstrongly unfoldable cardinals are precisely the same as the almost hugely unfoldable cardinals, which are precisely the same as the strongly uplifting cardinals. This phenomenon can be viewed as an extension of the fact pointed out by Hamkins and Dzamonja [DH06], that the strongly unfoldable cardinals are equivalently characterized both in terms of strength type embeddings $j : M \to N$ with $V_{\theta} \subseteq N$, and also in terms of supercompactness type embeddings $j : M \to N$ into a transitive set $N$ with critical point $\kappa$ and $V_{j(\kappa)} \subseteq N$. And similarly, here, we have strong upliftingness characterized both in terms of superstrongness type embeddings $j : M \to N$ with $V_{j(\kappa)} \subseteq N$ and also equivalently in terms of almost hugeness embeddings $j : M \to N$, with $N^{<j(\kappa)} \subseteq N$.

**Theorem 5 (Embedding characterizations).** A cardinal $\kappa$ is strongly uplifting if and only if it is superstrongly unfoldable. Indeed, for any cardinal $\kappa$ and ordinal $\theta$, the following are equivalent.

1. $\kappa$ is strongly $(\theta + 1)$-uplifting.
2. $\kappa$ is superstrongly $(\theta + 1)$-unfoldable.
3. $\kappa$ is almost hugely $(\theta + 1)$-unfoldable.
4. For every set $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and $V_{\kappa} \prec M$ and a transitive set $N$ with an elementary...
embedding \( j : M \rightarrow N \) having critical point \( \kappa \) with \( j(\kappa) > \theta \) and \( V_{j(\kappa)} \prec N \), such that \( N^{< j(\kappa)} \subseteq N \) and \( j(\kappa) \) is inaccessible, weakly compact and more in \( V \).

(5) \( \kappa^{\kappa} = \kappa \) holds, and for every \( \kappa \)-model \( M \) there is an elementary embedding \( j : M \rightarrow N \) having critical point \( \kappa \) with \( j(\kappa) > \theta \) and \( V_{j(\kappa)} \subseteq N \), such that \( N^{< j(\kappa)} \subseteq N \) and \( j(\kappa) \) is inaccessible, weakly compact and more in \( V \).

Proof. (1 \( \rightarrow \) 4) Suppose that \( \kappa \) is strongly \((\theta + 1)\)-uplifting, and consider any set \( A \in H_{\kappa^+} \). Since \( \kappa \) is weakly compact, there is a \( \kappa \)-model \( M \models \text{ZFC} \) with \( A \in M \) and \( V_\kappa \prec M \). Since this structure has size \( \kappa \), we may find a well-founded relation \( E \) on \( \kappa \) and an isomorphism \( \pi : \langle M, \in \rangle \cong \langle \kappa, E \rangle \). By our assumption on \( \kappa \), there is an inaccessible cardinal \( \gamma \) above \( \theta \) and some \( E^* \subseteq \gamma \) such that \( \langle V_\kappa, \in, E \rangle \prec \langle V_\gamma, \in, E^* \rangle \), and by theorem 3 we may also assume that \( \gamma \) is weakly compact, totally indescribable and indeed much more. Since \( E \) is well-founded, it follows by elementarity that \( E^* \) has no infinite descending sequences in \( V_\gamma \), and since \( \gamma \) is regular, this means that \( E^* \) is really well-founded. Let \( \tau : \langle \gamma, E^* \rangle \rightarrow \langle N, \in \rangle \) be the transitive collapse of \( E^* \) onto a transitive set \( N \), and let \( j = \tau \circ \pi \) be the composition map, so that \( j : M \rightarrow N \) is an elementary embedding with \( A \in M \). Note that \( j \) fixes ordinals below \( \kappa \), because if \( \alpha \) is coded by \( \xi \) with respect to \( E \), then it is also coded by \( \xi \) with respect to \( E^* \), and so \( j(\alpha) = \alpha \). If \( \kappa \) is represented by \( \alpha \) with respect to \( E \), then \( \gamma \) will be represented by \( \alpha \) with respect to \( E^* \), since this property is expressible in \( \langle V_\kappa, \in, E \rangle \prec \langle V_\gamma, \in, E^* \rangle \), and so \( j(\kappa) = \tau(\pi(\kappa)) = \tau(\alpha) = \gamma \). Thus, the map \( j \) has critical point \( \kappa \), with \( j(\kappa) = \gamma \) being an inaccessible cardinal above \( \theta \).

Since the structure \( \langle V_\kappa, \in, E \rangle \) sees that each of its elements is coded by an ordinal via \( E \), it follows by elementarity that each of the elements of \( V_\gamma \) is coded by an ordinal via \( E^* \), and so \( V_{j(\kappa)} = V_\gamma \subseteq N \). Similarly, since \( M^{< \kappa} \subseteq M \), it follows that \( \langle V_\kappa, \in, E \rangle \) believes that the structure \( \langle \kappa, E \rangle \) is closed under \(<\kappa\)-sequences (that is, for any \( \beta < \kappa \) and any \( \beta \)-sequence \( \langle x_\alpha | \alpha < \beta \rangle \) of ordinals below \( \kappa \), there is \( s < \kappa \) such that \( \langle \kappa, E \rangle \) thinks \( s \) is a sequence, whose \( \alpha \)-th member is precisely \( x_\alpha \)), and so by elementarity the corresponding fact is true of \( \langle V_\gamma, \in, E^* \rangle \). Since \( V_\gamma \) itself is correct about \( [\gamma]^{< \gamma} \), this implies \( N^{< \gamma} \subseteq N \), or in other words,

\(^2\)Code the set \( A \) by a set \( \tilde{A} \subseteq \kappa \) and find first a \( \kappa \)-model \( M' \) with \( \tilde{A} \in M' \); use the weak compactness of \( \kappa \) to find a transitive set \( N \) with an elementary embedding \( j : M' \rightarrow N \) with critical point \( \kappa \), and by using the induced factor embedding, if necessary, assume that \( N \) has size \( \kappa \) and \( N^{< \kappa} \subseteq N \). The set \( M = (V_{j(\kappa)})^N \) is then the desired \( \kappa \)-model satisfying \( \text{ZFC} \) with \( V_\kappa \prec M \) and \( A \in M \).
\( N^{<j(\kappa)} \subseteq N \). Finally, since we chose \( M \) such that \( V_\kappa = V_\kappa^M \prec M \), it follows by elementarity that \( V_{j(\kappa)} = (V_{j(\kappa)})^N \prec N \), as desired.

(4 \rightarrow 5) The embedding property (4) asserts the existence of \( \kappa \)-models, which implies \( \kappa^{<\kappa} = \kappa \), and it then follows that \( \kappa \) is inaccessible. If \( M \) is a \( \kappa \)-model, then by statement (4) there is another \( \kappa \)-model \( \bar{M} \mid\models \text{ZFC} \) with \( M \in \bar{M} \) and a transitive set \( N \) with an embedding \( j : \bar{M} \rightarrow N \) with critical point \( \kappa \) with \( j(\kappa) > \theta \) and \( V_{j(\kappa)} \subseteq N \), such that \( N^{<j(\kappa)} \subseteq N \) and \( j(\kappa) \) is weakly compact and more. Since \( M^{<\kappa} \subseteq M \), it follows that \( j(M)^{<j(\kappa)} \subseteq j(M) \) inside \( N \), and since \( N^{<j(\kappa)} \subseteq N \) we know that \( N \) is correct about this. It follows that \( V_{j(\kappa)} \subseteq N \) as well, and so we have verified statement (5).

(5 \rightarrow 3) This direction is immediate, since \( \kappa^{<\kappa} = \kappa \) implies that every set \( A \subseteq \kappa \) can be placed into some \( \kappa \)-model.

(3 \rightarrow 2) This is immediate, since \( N^{<j(\kappa)} \subseteq N \) implies \( V_{j(\kappa)} \subseteq N \), as \( j(\kappa) \) is inaccessible.

(2 \rightarrow 1) Suppose that \( \kappa \) is superstrongly \((\theta + 1)\)-unfoldable. It follows easily that \( \kappa \) is inaccessible. To see that \( \kappa \) is strongly \((\theta + 1)\)-uplifting, we verify the extension property of theorem 3 statement (3). For any \( A \subseteq \kappa \), there is a \( \kappa \)-model \( M \) with \( A \in M \) and \( j : M \rightarrow N \) with critical point \( \kappa \), for which \( j(\kappa) > \theta \) and \( V_{j(\kappa)} \subseteq N \), and consequently \( j(V_\kappa) = V_{j(\kappa)} \). If \( A^* = j(A) \), then it follows by elementarity that \( \langle V_\kappa, \in, A \rangle \prec \langle V_{j(\kappa)}, \in, A^* \rangle \), witnessing this instance of \( \kappa \) being strongly \((\theta + 1)\)-uplifting.

Note particularly that in the superstrongly unfoldable embedding characterization, there is no stipulation that \( j(\kappa) \) must be inaccessible; but nevertheless, by the other embedding characterizations, one may always find alternative superstrong unfoldability embeddings for which \( j(\kappa) \) is inaccessible, weakly compact and more, just as in theorem 3.

Next, we consider the difference in consistency strength between uplifting cardinals and strongly uplifting cardinals. In the case of unfoldable cardinals, Villaveces [Vil98] showed that every unfoldable cardinal is unfoldable in \( L \), and every unfoldable cardinal in \( L \) is strongly unfoldable there. Thus, unfoldability and strong unfoldability are equiconsistent as large cardinal hypotheses. For the case of uplifting and strongly uplifting, in contrast, we shall show presently that there is a definite step up in consistency strength. While uplifting cardinals are weaker than Mahlo cardinals in consistency strength, theorems 6 and 7 show that the consistency strength of the existence of a strongly uplifting cardinal, if consistent, lies strictly between the existence of a strongly unfoldable cardinal and the existence of a subtle cardinal.
In analogy with the various large cardinal Mitchell rank concepts, we defined in [HJ10] that a strongly unfoldable cardinal $\kappa$ is strongly unfoldable of degree $\alpha$, for an ordinal $\alpha$, if for every ordinal $\theta$ it is $\theta$-strongly unfoldable of degree $\alpha$, meaning that for each $A \in H_{\kappa^+}$ there is a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ and a transitive set $N$ with $\alpha \in N$ and an elementary embedding $j : M \to N$ having critical point $\kappa$ with $j(\kappa) > \max\{\theta, \alpha\}$ and $V_\theta \subseteq N$, such that $\kappa$ is strongly unfoldable of every degree $\beta < \alpha$ in $N$. An inaccessible cardinal $\kappa$ is $\Sigma_2$-reflecting if $V_\kappa \prec \Sigma_2$. Theorem 6. If $\kappa$ is strongly uplifting, then $\kappa$ is strongly unfoldable, and furthermore, strongly unfoldable of every ordinal degree $\alpha$, and a stationary limit of cardinals that are strongly unfoldable of every ordinal degree and so on.

Proof. Suppose that $\kappa$ is strongly uplifting, and suppose inductively that $\kappa$ is strongly unfoldable of every ordinal degree $\beta$ below $\alpha$. Since $\kappa$ is strongly unfoldable, we may find (by collapsing a suitable elementary substructure of some large $V_\eta$ when $\eta$ is inaccessible) for any $A \subseteq \kappa$ a $\kappa$-model $M \models \text{ZFC}$ with $A \in M$ such that $M \models \kappa$ is strongly unfoldable, and in particular, such that $\kappa$ is $\Sigma_2$-reflecting in $M$. Since $\kappa$ is strongly uplifting, we may find by theorem 5 for every $A \subseteq \kappa$ a $\kappa$-model $M$ with $A \in M$ and an elementary embedding $j : M \to N$ such that $j(\kappa)$ is inaccessible, $j(\kappa) > \alpha$ and $V_{j(\kappa)} \subseteq N$.

For every ordinal $\theta < j(\kappa)$, we claim that $\kappa$ is $\theta$-strongly unfoldable in $N$ of every degree $\beta < \alpha$. The reason is simply that this holds in $V$ and is witnessed by extender embeddings of size $\max\{\beth_\theta, \alpha, \kappa\}$, which are therefore inside $V_{j(\kappa)}$ and hence in $N$. Since furthermore $j(\kappa)$ is $\Sigma_2$-reflecting in $N$, this means that $\kappa$ is fully strongly unfoldable of every ordinal degree $\beta$ below $\alpha$ in $N$. Thus, $\kappa$ is strongly unfoldable in $V$ of degree $\alpha$, and the proof is complete by induction on $\alpha$.

For the second claim, consider any club $C \subseteq \kappa$ and ensure also that $C \in M$ in the argument above. The argument shows that $\kappa$ is $<j(\kappa)$-strongly unfoldable of every ordinal degree $\alpha < j(\kappa)$ in $N$, and consequently it is strongly unfoldable of every ordinal degree in $N$. Since furthermore $\kappa \in j(C)$, this means that $\kappa$ is a stationary limit of such cardinals in $V$.

\[3\text{Technically, in [HJ10] we had only required that the domain } M \text{ of the elementary embedding } j \text{ is a transitive set of size } \kappa \text{ with } M \models \text{ZFC}^- \text{ and } \kappa, A \in M; \text{ however, by restricting such } j \text{ to a } \kappa\text{-model } M \text{ as in footnote 2 with } V_\kappa \prec M, \text{ if necessary, we may assume without loss that the domain } M \text{ is a } \kappa\text{-model satisfying all of ZFC.}\]
Thus, the strongly uplifting cardinals subsume the entire hierarchy of degrees of strong unfoldability.

Having now provided a strong lower bound, let us turn to the question of an upper bound. Recall that a cardinal $\kappa$ is subtle if for any closed unbounded set $C \subseteq \kappa$ and any sequence $\langle A_\alpha \mid \alpha \in C \rangle$ with $A_\alpha \subseteq \alpha$, there is a pair of ordinals $\alpha < \beta$ in $C$ with $A_\alpha = A_\beta \cap \alpha$. It is not difficult to see that every subtle cardinal is necessarily inaccessible. Subtle cardinals need not themselves be unfoldable (see [Vil98, Prop 2.4]), and so they need not be strongly uplifting.

**Theorem 7.** If $\delta$ is a subtle cardinal, then the set of cardinals $\kappa$ below $\delta$ that are strongly uplifting in $V_\delta$ is stationary.

**Proof.** The argument is essentially related to [Vil98, theorem 2.2] and also [DH06, theorem 3]. Suppose that $\delta$ is subtle and the set of cardinals below $\delta$ that are strongly uplifting in $V_\delta$ is not stationary. Then there is a closed unbounded set $C \subseteq \delta$ containing no such cardinals. Since each cardinal in $C$ is not strongly uplifting in $V_\delta$, it follows from statement (3) of theorem 3 applied in $V_\delta$ that for each $\kappa \in C$, there is some least $\theta < \delta$ and some subset $A_\kappa \subseteq \kappa$, such that $\langle V_\kappa, \in, A_\kappa \rangle$ has no proper elementary extension of the form $\langle V_\gamma, \in, A^* \rangle$ for any $\gamma$ with $\theta < \gamma < \delta$. By thinning the club $C$, we may assume that $\theta$ is less than the next element of $C$ above $\kappa$, and also that $\kappa$ is a $\beth$-fixed point. Since $V_\kappa$ has size $\kappa$, let $B_\kappa \subseteq \kappa$ be a set that codes the elementary diagram of the structure $\langle V_\kappa, \in, A_\kappa \rangle$ in some uniform canonical manner. Since $\delta$ is subtle, there is a pair $\kappa < \eta$ in $C$ with $B_\kappa = B_\eta \cap \kappa$. Since $B_\kappa$ and $B_\eta$ code the corresponding elementary diagrams, it follows that those structures agree on their truths below $\kappa$, and so $\langle V_\kappa, \in, A_\kappa \rangle \prec \langle V_\eta, \in, A_\eta \rangle$. This contradicts the assumption that $\langle V_\kappa, \in, A_\kappa \rangle$ has no proper elementary extension above $\theta$, which is less than the next element of $C$ and therefore less than $\eta$. So the conclusion of the theorem must hold, as desired. \qed

**Theorem 8.** If $0^\sharp$ exists, then every Silver indiscernible is strongly uplifting in $L$.

**Proof.** If $\kappa$ is any Silver indiscernible, then for any Silver indiscernible $\delta$ above $\kappa$, there is an elementary embedding $j : L \rightarrow L$ with $j(\kappa) = \delta$ and $j \upharpoonright \kappa = \text{id}$. If $A \subseteq \kappa$ is any set in $L$, then $\langle L_\kappa, \in, A \rangle \prec \langle L_\delta, \in, j(A) \rangle$, witnessing the desired instance of strong uplifting. \qed

So for example, if there is a Ramsey cardinal, then every uncountable cardinal of $V$ is strongly uplifting in $L$.

Let us say that a cardinal $\kappa$ is *unfoldable with cardinal targets*, if for every $\kappa$-model $M$ and ordinal $\theta$, there is a transitive set $N$ and an
elementary embedding \( j : M \to N \) with critical point \( \kappa \), such that \( j(\kappa) \) is a cardinal (in \( V \)) and \( j(\kappa) \geq \theta \).

**Theorem 9.** In the constructible universe \( L \), \( \kappa \) is strongly uplifting if and only if it is unfoldable with cardinal targets.

**Proof.** The forward implication holds whether or not we are in \( V \), since if \( \kappa \) is strongly uplifting, then by theorem 5 we get for any \( \kappa \)-model \( M \) an embedding \( j : M \to N \) with critical point \( \kappa \) and \( j(\kappa) \) weakly compact and more, as large as desired; and so \( \kappa \) is unfoldable with the desired targets. Conversely, assume that \( V = L \) and \( \kappa \) is unfoldable with cardinal targets. For any \( A \subseteq \kappa \) we may find a \( \kappa \)-model \( M \) with \( A \in M \), and an embedding \( j : M \to N \) with \( j(\kappa) \) a cardinal in \( V \) and as large as desired. Since \( j \) fixes everything of rank below \( \kappa \), it follows by elementarity that \( \langle L_{\kappa}, \in, A, f \rangle \prec \langle L_{j(\kappa)}, \in, j(A) \rangle \). We have \( L_{\kappa} = V_{\kappa} \) since \( \kappa \) is inaccessible. Since \( j(\kappa) \) is a cardinal, it follows that \( L_{j(\kappa)} = V_{L_{j(\kappa)}} \), thereby witnessing the desired instance of strong upliftingness for \( \kappa \). \( \square \)

Let us now turn to the Menas and Laver function concepts for the strongly uplifting cardinals. Define that \( f : \kappa \to \kappa \) is a Menas function for a strongly uplifting cardinal \( \kappa \), if for every set \( A \subseteq \kappa \) and every \( \theta \), there is a proper elementary extension \( \langle V_{\kappa}, \in, A, f \rangle \prec \langle V_{\gamma}, \in, A^*, f^* \rangle \), where \( \gamma > \theta \) is inaccessible and \( f^*(\kappa) \geq \theta \).

**Theorem 10.** Every strongly uplifting cardinal \( \kappa \) has a strongly uplifting Menas function.

**Proof.** As in [HJ14, thm 13], we may simply use the failure-of-strong-uplifting function, namely, the function defined by \( f(\delta) = \theta \), if \( \delta \) is not strongly \( \theta \)-uplifting, but it is strongly \( \beta \)-uplifting for every \( \beta < \theta \). Suppose that \( \kappa \) is strongly uplifting and consider any ordinal \( \theta \) and any \( A \subseteq \kappa \). Let \( \lambda \) be any ordinal above \( \theta \) such that \( V_\lambda \models \kappa \) is strongly uplifting\(^4\), and let \( \eta \) be the smallest inaccessible cardinal above \( \lambda \) for which there is an elementary extension \( \langle V_\kappa, \in, A, f \rangle \prec \langle V_\eta, \in, A^*, f^* \rangle \). (Note that we needn’t actually include \( \ell \) in the language, since it is definable, and \( \ell^* \) will be similarly defined in \( V_\eta \).) It follows by the minimality of \( \eta \) that \( \kappa \) is not strongly uplifting in \( V_\eta \), but by the choice of \( \lambda \), we know that \( V_\eta \models \kappa \) is strongly \( <\lambda \)-uplifting. It follows that \( f^*(\kappa) \geq \lambda \), which is at least \( \theta \), and so we have fulfilled the desired Menas property. \( \square \)

\(^4\)Note that the various characterizations of strongly uplifting cardinals as in theorems 3 and 5 are all equivalent for such models \( V_\lambda \), even though \( V_\lambda \) need not satisfy all of ZFC.
The Menas function concept interacts well with the embedding characterizations of theorem 5, with the result that one can find embeddings $j : M \to N$ as in that theorem for which $j(f)(\kappa) \geq \theta$.

Although the Menas function concept suffices for many applications, including especially the lottery-style forcing iterations we shall use for the equiconsistency in theorem 19, nevertheless a more refined analysis results in the Laver function concept. Namely, a function $\ell : \kappa \to V_\kappa$ is a **Laver function** for a strongly uplifting cardinal $\kappa$, if for every $A \subseteq \kappa$, every ordinal $\theta$ and every set $x$, there is a proper elementary extension $\langle V_\kappa, \in, A, \ell \rangle \prec \langle V_\gamma, \in, A^*, \ell^* \rangle$ where $\gamma \geq \theta$ is inaccessible and $\ell^*(\kappa) = x$. The function $\ell : \kappa \to V_\kappa$ is merely an OD-anticipating Laver function, if this property can be achieved at least for $x \in \text{OD}$, and similarly a function $\ell : \kappa \to \kappa$ is an ordinal-anticipating Laver function for a strongly uplifting cardinal $\kappa$, if for every $A \subseteq \kappa$ and any two ordinals $\alpha, \theta$, there is a proper elementary extension $\langle V_\kappa, \in, A, \ell \rangle \prec \langle V_\gamma, \in, A^*, \ell^* \rangle$ where $\gamma \geq \theta$ is inaccessible and $\ell^*(\kappa) = \alpha$.

**Theorem 11.** Every strongly uplifting cardinal $\kappa$ has an ordinal-anticipating Laver function $\ell : \kappa \to \kappa$, and indeed, an OD-anticipating Laver function $\ell : \kappa \to V_\kappa$. Furthermore, there is such a Laver function that is definable in $\langle V_\kappa, \in \rangle$.

**Proof.** Let us first construct an ordinal-anticipating Laver function. For any cardinal $\delta < \kappa$, consider the set of ordinals $\gamma$ below $\kappa$ for which $V_\gamma \models \delta$ is strongly uplifting. If this class of ordinals bounded in $\kappa$ and has order type $\xi + 1$ for some $\xi$, and if furthermore $\xi = \langle \alpha, \beta \rangle$ is the Gödel code of a pair of ordinals, then define $\ell(\delta) = \alpha$; otherwise let $\ell(\delta)$ be undefined. Thus, we have defined the function $\ell : \kappa \to \kappa$.

We claim that this function is an ordinal-anticipating Laver function for the strong upliftingness of $\kappa$. To see this, consider any $A \subseteq \kappa$ and any two ordinals $\alpha, \theta$. Let $\xi = \langle \alpha, \theta \rangle$ be the Gödel code, which we assume is at least $\theta$ (and we may assume this is at least $\kappa$), and let $\lambda$ be the $(\xi + 1)$th ordinal such that $V_\lambda \models \kappa$ is strongly uplifting, and let $\eta$ be the least inaccessible cardinal above $\lambda$ for which there is an extension $\langle V_\kappa, \in, A, \ell \rangle \prec \langle V_\eta, \in, A^*, \ell^* \rangle$. By the minimality of $\eta$, it follows that $\lambda$ is the largest ordinal below $\eta$ for which $V_\lambda \models \kappa$ is strongly uplifting, and so the set of ordinals $\gamma$ below $\eta$ for which $V_\gamma \models \kappa$ is strongly uplifting has order type $\xi + 1$. This means that $V_\eta \models \ell^*(\kappa) = \alpha$, precisely because it will be using the ordinal $\xi = \langle \alpha, \theta \rangle$ when the definition is unraveled. Since $\xi \geq \theta$, we have thereby witnessed the desired instance of the ordinal-anticipating Laver function property.

One may now produce from $\ell$ an OD-anticipating Laver function $\hat{\ell} : \kappa \to V_\kappa$, using the same idea as in [HJ14, thm 14]. Let $\hat{\ell}(\delta) = x,$
if \( \ell(\delta) = \langle \eta, \beta \rangle \) and \( x \) is the \( \beta^{\text{th}} \) ordinal-definable object in \( \langle V_\eta, \in \rangle \).

Now, if \( x \in \text{OD} \), then \( x \in \text{OD}^{V_\eta} \) for some \( \eta \), and it is the \( \beta^{\text{th}} \) ordinal-definable object in \( V_\eta \). Since \( \ell \) is an ordinal-anticipating Laver function, we may find \( \langle V_\kappa, \in, A, \ell \rangle \prec \langle V_\gamma, \in, A^*, \ell^* \rangle \) for which \( \ell^*(\kappa) = \langle \eta, \beta \rangle \), and in this case we will have \( \hat{\ell}^*(\kappa) = x \), since \( V_\gamma \) will be looking at the \( \beta^{\text{th}} \) ordinal-definable object of \( V_\eta \), which is \( x \).

In particular, if \( V = \text{HOD} \), then every strongly uplifting cardinal has a strongly uplifting Laver function. So every strongly uplifting cardinal has a strongly uplifting Laver function in \( L \). Following the terminology of [Ham02], we say that the Laver diamond principle \( \mathbf{\text{str-uplift}_\kappa} \) holds for a strongly uplifting cardinal \( \kappa \), if there is such a Laver function. And so we have proved that \( \mathbf{\text{str-uplift}_\kappa} \) holds under \( V = \text{HOD} \) for any strongly uplifting cardinal \( \kappa \). Meanwhile, we are unsure whether every strongly uplifting cardinal must have a full Laver function. Perhaps this can fail; it is conceivable that one might generalize the argument of [DH06] to the superstrong unfoldable context, in order to produce strongly uplifting cardinals lacking \( \diamondsuit_\kappa(\text{REG}) \), which would prevent the existence of Laver functions. We shall leave that question for another project.

**Question 12.** Is it relatively consistent that a strongly uplifting cardinal has no strongly uplifting Laver function? Can \( \diamondsuit_\kappa(\text{REG}) \) fail when \( \kappa \) is strongly uplifting?

Finally, let us remark that the Laver functions interact well with the embedding characterizations of theorem 5, with the effect that after tracing through the equivalences, one finds the corresponding embeddings \( j : M \rightarrow N \), for which \( j(\ell)(\kappa) \) has the desired value.

### 3. The boldface resurrection axioms

We shall aim in section 4 to prove that the existence of a strongly uplifting cardinal is equiconsistent with the boldface resurrection axioms, which we shall now introduce. These axioms generalize and strengthen many instances of the bounded forcing axioms that are currently a focus of investigation in the set-theoretic research community. The main idea is simply to generalize the resurrection axioms of [HJ14] by allowing an arbitrary parameter \( A \). We use the notation \( c \) to denote the continuum, that is, the cardinality \( c = 2^\omega = |\mathbb{R}| \), and \( H_c \) denotes the collection of sets of hereditary size less than \( c \).

**Definition 13.** Suppose that \( \Gamma \) is any definable class of forcing notions.
(1) The boldface resurrection axiom $\text{RA}(\Gamma)$ asserts that for every $Q \in \Gamma$ and $A \subseteq \mathfrak{c}$, there is $\mathring{R} \in \Gamma^{V[Q]}$ such that if $g \ast h \subseteq Q \ast \mathring{R}$ is $V$-generic, then there is $A^* \in V[g \ast h]$ with

$$\langle H_c, \in, A \rangle \prec \langle H^V_{V[g \ast h]}, \in, A^* \rangle.$$ 

(2) The weak boldface resurrection axiom $\text{wRA}(\Gamma)$ drops the requirement that $\mathring{R}$ needs to be in $\Gamma^{V[g]}$.

These boldface resurrection axioms naturally strengthen the corresponding lightface versions $\text{RA}(\Gamma)$ and $\text{wRA}(\Gamma)$, which were the main focus of [HJ14], as the lightface forms amount simply to the special case of where $A$ is trivial or simply omitted. One may easily observe that $\text{RA}(\text{all})$ implies $\text{wRA}(\Gamma)$ for any class $\Gamma$ of forcing notions, and $\text{RA}(\Gamma)$ implies $\text{wRA}(\Gamma)$. Moreover, if $\Gamma_1 \subseteq \Gamma_2$ are two classes of forcing notions, then $\text{wRA}(\Gamma_2)$ implies $\text{wRA}(\Gamma_1)$, but in general $\text{RA}(\Gamma_2)$ need not imply $\text{RA}(\Gamma_1)$. Many further observations about the resurrection axioms made in [HJ14] relativize easily to the boldface case.

If $\Gamma$ is a class of forcing notions and $\kappa$ and $\delta$ are cardinals, then the bounded forcing axiom $\text{BFA}_\delta(\Gamma, \kappa)$, introduced by Goldstern and Shelah [GS95], is the assertion that whenever $Q \in \Gamma$ and $\mathbb{B} = \text{r.o.}(Q)$, if $\mathcal{A}$ is a collection of at most $\kappa$ many maximal antichains in $\mathbb{B} \setminus \{0\}$, each antichain of size at most $\delta$, then there is a filter on $\mathbb{B}$ meeting each antichain in $\mathcal{A}$. This axiom therefore places limitations both on the number of antichains to be considered, as well as on the sizes of those antichains. To simplify notation, the bounded forcing axiom $\text{BFA}_\kappa(\Gamma, \kappa)$ is denoted more simply as $\text{BFA}(\Gamma)$; the $\delta$-bounded proper forcing axiom $\text{BFA}_\delta(\text{proper}, \omega_1)$ is denoted as $\text{PFA}_\delta$; the $\delta$-bounded semi-proper forcing axiom $\text{BFA}_\delta(\text{semi-proper}, \omega_1)$ is denoted $\text{SPFA}_\delta$; the analogous $\delta$-bounded forcing axiom for axiom-A posets is denoted $\text{AAFA}_\delta$; and the $\delta$-bounded forcing axiom for forcing that preserves stationary subsets of $\omega_1$ is denoted $\text{MM}_\delta$.

**Theorem 14.** $\text{wRA}(\Gamma)$ implies $\text{BFA}_\kappa(\Gamma, \kappa)$ for any cardinal $\kappa < \mathfrak{c}$.

**Proof.** This argument extends the argument of [HJ14, thm 4] to antichains of size $\mathfrak{c}$, the point being that the boldface hypothesis allows us to handle such larger antichains by treating them as predicates on $H_c$ rather than as elements of $H_c$. Assume $\text{wRA}(\Gamma)$ and fix any cardinal $\kappa < \mathfrak{c}$. Suppose that $\mathbb{B} = \text{r.o.}(Q)$ for some poset $Q \in \Gamma$, and $\mathcal{A} = \{A_\alpha \mid \alpha < \kappa\}$ is a collection of at most $\kappa$ many maximal antichains in $\mathbb{B} \setminus \{0\}$, each antichain of size at most $\mathfrak{c}$. Let $\mathbb{B}_0$ be the subalgebra of $\mathbb{B}$ generated by $\bigcup_\alpha A_\alpha$. This has size at most $\mathfrak{c}$, and so
by replacing $\mathbb{B}$ with an isomorphic copy we may assume that both $\mathcal{A}$ and $\mathbb{B}_0$ are subsets of $H_\kappa$ of size $c$. Let $g \subseteq \mathbb{B}$ be a $V$-generic filter. It follows that $g$ is also $\mathcal{A}$-generic, and so $g \cap \mathbb{B}_0$ meets every $A_\alpha$. By the wRA($\Gamma$), there is some further forcing $h \subseteq \check{R}$ after which we may find an elementary extension $\langle H_\kappa, \in, \mathbb{B}_0, A_\alpha \rangle_{\alpha < \kappa}$, using the fact that we may code all this additional structure into a single predicate on $c$. For each $\alpha < \kappa$, let $p_\alpha \in g \cap \mathbb{B}_0 \cap A_\alpha$, and let $F = \{ p_\alpha \mid \alpha < \kappa \}$, which is a set of size $\kappa$ in $V[g]$ and hence in $H_\kappa^{V[g][h]}$, which has the finite-intersection property and meets every antichain $A_\alpha$. By elementarity, therefore, there must be a such a set already in $H_\kappa^V$, and the filter in $\mathbb{B}$ generated by this set will meet every $A_\alpha$, thereby witnessing the desired instance of BFA$_c(\Gamma, \kappa)$.

We have the following immediate corollary.

**Corollary 15.**

1. wRA(proper) + ¬CH implies PFA$_c$.
2. wRA(semi-proper) + ¬CH implies SPFA$_c$.
3. wRA(axiom-A) + ¬CH implies AAFA$_c$.
4. wRA(preserving stationary subsets of $\omega_1$) + ¬CH implies MM$_c$.

The conclusion PFA$_c$ of (1) is equiconsistent with the existence of an $H_{\kappa^+}$-reflecting cardinal, by a result due to Miyamoto [Miy98], and $H_{\kappa^+}$-reflecting cardinals are exactly the same as strongly unfoldable cardinals. The same is true for the conclusion SPFA$_c$ of (2). Miyamoto’s argument [Miy98] shows in fact that AAFA$_{\omega_2}$ is sufficient to conclude that $\omega_2$ is strongly unfoldable in $L$ and so the conclusion AAFA$_c$ of (3) is also equiconsistent with the existence of a strongly unfoldable cardinal. The failure of CH is of course a necessary hypothesis in statements (1)-(4) of Corollary 15, because the conclusions imply ¬CH, while the weak boldface resurrection axioms are compatible with CH.

The boldface resurrection axioms admit the following useful embedding characterization, which is analogous to that of the strongly uplifting cardinals. The hypothesis $|H_\kappa| = c$, a consequence of MA, will hold in the principle cases in which we shall be interested.

**Theorem 16** (Embedding characterization of boldface resurrection).

If $|H_\kappa| = c$, then the following are equivalent for any class $\Gamma$.

1. The boldface resurrection axiom $\text{RA}_c(\Gamma)$.
2. For every $Q \in \Gamma$ and every transitive set $M \models \text{ZFC}^-$ with $|M| = c \in M$ and $H_\kappa \subseteq M$, there is $\check{R} \in \Gamma^{V[Q]}$, such that in any forcing extension $V[g \ast h]$ by $Q \ast \check{R}$, there is an elementary
Similarly, the weak boldface axiom \( \text{wRA}(\Gamma) \) is equivalent to the embedding characterization obtained by omitting the requirement that \( \mathbb{R} \in \Gamma^{V^Q} \).

**Proof.** Suppose that \( \text{RA}(\Gamma) \) holds. Fix any \( \mathcal{Q} \in \Gamma \) and any transitive \( M \models \text{ZFC}^- \) with \( |M| = \mathfrak{c} \in M \) and \( H_\mathfrak{c} \subseteq M \). Find a relation \( E \) on \( \mathfrak{c} \) and an isomorphism \( \tau: \langle M, \in \rangle \cong \langle \mathfrak{c}, E \rangle \), and let \( A \subseteq \mathfrak{c} \) code \( E \) via a canonical pairing function. By \( \text{RA}(\Gamma) \), there is \( \mathbb{R} \in \Gamma^{V^Q} \) such that if \( g * h \subseteq \mathcal{Q} * \mathbb{R} \) is \( V \)-generic, then in \( V[g * h] \) there is a set \( A^* \subseteq \mathfrak{c}^{V[g*h]} \) such that \( \langle H_\mathfrak{c}, \in, A \rangle \prec \langle H_\mathfrak{c}^{V[g*h]}, \in, A^* \rangle \). The set \( A^* \) codes a relation \( E^* \) on \( \mathfrak{c}^{V[g*h]} \). Since \( \langle H_\mathfrak{c}, \in, A \rangle \) knows that \( E \) is well founded, it follows by elementarity that \( \langle H_\mathfrak{c}^{V[g*h]}, \in, A^* \rangle \) thinks \( E^* \) is well founded. Since \( \mathfrak{c}^{V[g*h]} \) has uncountable cofinality, this structure is closed under countable sequences in \( V[g*h] \), and \( E^* \) really is well founded in \( V[g*h] \). Let \( \pi: \langle \mathfrak{c}, E^* \rangle \cong \langle \mathfrak{c}, E^* \rangle \cong \langle \mathfrak{c}^{V[g*h]}, E^* \rangle \cong \langle \mathfrak{c}, E \rangle \), which is elementary in \( \mathfrak{c} \). Note that \( j \) is the identity on objects in \( H_\mathfrak{c} \), since if \( x = \tau^{-1}(\alpha) \in H_\mathfrak{c} \), then \( \langle H_\mathfrak{c}, \in, A \rangle \) knows that \( x \) is represented by \( \alpha \) with respect to \( E \), and so \( \langle H_\mathfrak{c}^{V[g*h]}, \in, A^* \rangle \) agrees that \( x \) is represented by \( \alpha \) with respect to \( E^* \). Similarly, if \( c \) is represented by \( \beta \) with respect to \( E \), then this can be verified in \( \langle H_\mathfrak{c}, \in, A \rangle \), and so \( \mathfrak{c}^{V[g*h]} \) is represented by \( \beta \) with respect to \( E^* \). Thus, \( j(c) = c^{V[g*h]} \), as desired. Finally, \( \langle H_\mathfrak{c}, \in, A \rangle \) knows that every object in \( H_\mathfrak{c} \) is represented in \( \langle c, E \rangle \), so \( \langle H_\mathfrak{c}^{V[g*h]}, \in, A^* \rangle \) can verify that \( H_\mathfrak{c}^{V[g*h]} \subseteq N \).

Conversely, suppose that (2) holds. Fix any \( \mathcal{Q} \in \Gamma \) and any \( A \subseteq \mathfrak{c} \). Since \( |H_\mathfrak{c}| = \mathfrak{c} \), we may find a transitive \( M \prec H_\mathfrak{c}^+ \) with \( A, \mathfrak{c} \in M \) and \( H_\mathfrak{c} \subseteq M \) and \( |M| = \mathfrak{c} \). By (2), there is \( \mathbb{R} \in \Gamma^{V^Q} \) such that in the corresponding forcing extension \( V[g*h] \) we have an embedding \( j: M \to N \) with \( N \) transitive, \( j \upharpoonright H_\mathfrak{c} = \text{id} \) and \( j(\mathfrak{c}) = \mathfrak{c}^{V[g*h]} \) and \( H_\mathfrak{c}^{V[g*h]} \subseteq N \). Restricting \( j \) to \( \langle H_\mathfrak{c}, \in, A \rangle \), we see that \( \langle H_\mathfrak{c}, \in, A \rangle \prec \langle H_\mathfrak{c}^{V[g*h]}, \in, j(A) \rangle \), verifying this instance of the boldface resurrection axiom \( \text{RA}(\Gamma) \).

The same argument works in the case of the weak boldface axiom \( \text{wRA}(\Gamma) \), by omitting the requirement that \( \mathbb{R} \in \Gamma^{V^Q} \). \( \square \)
While [HJ14, thm 6] shows in the lightface context that the resurrection axioms RA(proper) and RA(semi-proper) and others are relatively consistent with CH, this is no longer true in the boldface context.

**Theorem 17.** If some $Q$ in $\Gamma$ adds a real and forcing in $\Gamma$ necessarily preserves $\aleph_1$, then the boldface resurrection axiom $\mathbb{R}_A(\Gamma)$ implies $\neg$CH. Consequently, the boldface resurrection axioms for proper forcing, semi-proper forcing, and forcing that preserves stationary subsets of $\aleph_1$, respectively, each imply that the continuum is $\mathfrak{c} = \omega_2$.

**Proof.** Suppose that $\mathbb{R}_A(\Gamma)$ and CH hold. Let $A \subseteq \omega_1 = \mathfrak{c}$ code all the reals of $V$, and let $Q \in \Gamma$ be a forcing notion adding at least one new real. By $\mathbb{R}_A(\Gamma)$, there is a forcing notion $\dot{\mathbb{R}}$ in $V^{V^Q}$ such that if $g \ast h \subseteq Q \ast \dot{\mathbb{R}}$ is $V$-generic, then there is $A^*$ in $V[g \ast h]$ with $\langle H_V[g \ast h], \in, A^* \rangle < \langle H_V[g \ast h], \in, A \rangle$. Since CH holds, $H_\alpha = H_\omega$ and this structure believes that every object is countable. By elementarity this is also true in $H_{V[g \ast h]}$ and so the CH holds in $V[g \ast h]$. Since we assumed that forcing in $\Gamma$ preserves $\aleph_1$, it follows that $\omega_1^{V[g \ast h]} = \omega_1$. From this, it follows that $A^* = A$, and so the new real does not appear on $A^*$, a contradiction.

It follows that the boldface resurrection axioms in the case of proper forcing, semi-proper forcing, and so on each imply $\mathfrak{c} = \omega_2$, since the argument just given shows they imply $\mathfrak{c}$ is at least $\omega_2$, and it is at most $\omega_2$ by [HJ14, thm 5].

Of course, to make the $\mathfrak{c} = \omega_2$ conclusion, we didn’t use the full power of the boldface axioms, with arbitrary predicates, but only a single predicate $A$ as above, a well-order of the reals.

Essentially the same arguments as in [HJ14, thm 8] show that several instances of boldface resurrection axioms, including $\mathbb{R}_A$(countably closed), $\mathbb{R}_A$(countably distributive), $\mathbb{R}_A$(does not add reals), and also the weak forms $w\mathbb{R}_A$(does not add reals) and $w\mathbb{R}_A$(countably distributive), are each equivalent to the continuum hypothesis CH. And as in the case of the lightface resurrection axioms, it follows more generally, for any regular uncountable cardinal $\delta$, that each of the boldface resurrection axioms $\mathbb{R}_A(<\delta$-closed), $\mathbb{R}_A(<\delta$-distributive), and $\mathbb{R}_A$(does not add bounded subsets of $\delta$) is equivalent to the assertion that $\mathfrak{c} \leq \delta$.

Also, the inconsistencies mentioned in [HJ14, thm 9] extend to the boldface context. In addition, we have the following.

**Corollary 18.** The boldface resurrection axiom $\mathbb{R}_A(\aleph_1$-preserving) is inconsistent.
Proof. On the one hand, thes axiom RA(\(N_1\)-preserving) implies \(\neg CH\) by theorem 17. On the other hand, since \(N_1\)-preserving forcing can destroy a stationary subset of \(\omega_1\), we have that RA(\(N_1\)-preserving) implies CH by the remarks after [HJ14, thm 5]. \(\square\)

4. STRONGLY UPLIFTING CARDINALS ARE EQUICONSISTENT WITH BOLDFACE RESURRECTION

Let us now prove that the existence of a strongly uplifting cardinal is equiconsistent with various natural instances of the boldface resurrection axiom.

Theorem 19. The following theories are equiconsistent over ZFC.

(1) There is a strongly uplifting cardinal.
(2) There is a superstrongly unfoldable cardinal.
(3) There is an almost hugely unfoldable cardinal.
(4) The boldface resurrection axiom for all forcing.
(5) The boldface resurrection axiom for proper forcing.
(6) The boldface resurrection axiom for semi-proper forcing.
(7) The boldface resurrection axiom for c.c.c. forcing.
(8) The weak boldface resurrection axiom for countably-closed forcing, axiom-A forcing, proper forcing and semi-proper forcing, plus \(\neg CH\).

Proof. On the one hand, we shall show that each of these boldface resurrection axioms implies that the continuum \(c\) is strongly uplifting in \(L\); and conversely, if there is a strongly uplifting cardinal \(\kappa\), then we’ll explain how to achieve the various boldface resurrection axioms in suitable forcing extensions. Meanwhile, the large cardinal properties of (1), (2) and (3) are equivalent by theorem 5, and hence also equiconsistent.

To begin, suppose that the boldface resurrection axiom RA(all) holds. This implies CH by [HJ14, thm 5]. We claim that \(\kappa = c = \omega_1\) is strongly uplifting in \(L\). Fix any \(A \subseteq \kappa\) in \(L\), and choose any large ordinal \(\theta\). Let \(Q = \text{Coll}(\omega, \theta)\) be the forcing to collapse \(\theta\) to \(\omega\). Since \(A \in L_\alpha\) for some \(\alpha < \kappa^+\), there is a transitive set \(M \models \text{ZFC}^-\) having \(|M| = c \in M\) and \(H_\kappa \subseteq M\) with \(A \in L^M\). By CH we know \(|H_\kappa| = c\), and so by theorem 16, there is \(\tilde{\mathbb{R}}\) such that if \(g \ast h \subseteq Q \ast \tilde{\mathbb{R}}\) is \(V\)-generic, then in \(V[g \ast h]\) there is a transitive set \(N\) and an embedding \(j : M \to N\) with critical point \(\kappa\), such that \(j(\kappa) = c^{V[g \ast h]}\) and \(H_\kappa^{V[g \ast h]} \subseteq N\). It follows that \(\langle L_\kappa, \in, A \rangle \prec \langle L_{j(\kappa)}, \in, j(A) \rangle\), and since \(j(A)\) is in \(L^N\), this entire extension is in \(L\). Since \(j(\kappa)\) is a cardinal in \(V[g \ast h]\), it is a cardinal in \(L\), and by the elementarity of \(L_\kappa \prec L_{j(\kappa)}\), it will be a limit cardinal and thus a strong limit cardinal in \(L\). By [HJ14, thm 4], we know that MA...
holds in $V$ and this is verified in $H_\kappa$ and hence in $M$. Thus, MA holds in $N$ and hence in $H_\kappa^{[g \ast h]}$ and hence in $V[g \ast h]$. So $\mathcal{V}^{[g \ast h]} = j(\kappa)$ is regular in $V[g \ast h]$ and hence regular in $L$. It follows that $j(\kappa)$ is inaccessible in $L$, and so we have $L_\kappa = (V_\kappa)^L$ and $L_{j(\kappa)} = (V_{j(\kappa)})^L$. Since $\theta$ could have been made arbitrarily large, we have established that $\kappa$ is strongly uplifting in $L$. So the consistency of (4) implies that of (1).

We can argue similarly if either (5) or (6) holds. In this case, we use instead the poset $Q = \text{Coll}(\omega_1, \theta)$, but otherwise argue similarly that $\kappa = c = \omega_2$ is strongly uplifting in $L$. If $A \subseteq \kappa$ is in $L$, there is $M$ as above with $A \in L^M$. By (5) or (6) we find $R$ such that if $g \ast h \subseteq Q \ast R$ is $V$-generic, then in $V[g \ast h]$ there is $j : M \to N$ with critical point $\kappa$ and having $j(\kappa) = \mathcal{V}^{[g \ast h]}$. Once again, by MA considerations, $j(\kappa)$ is regular in $V[g \ast h]$ and hence in $L$, and $\langle L_\kappa, \in, A \rangle \prec \langle L_{j(\kappa)}, \in, j(A) \rangle$. Since $\kappa$ and $j(\kappa)$ are inaccessible in $L$, again we have $L_\kappa = (V_\kappa)^L$ and $L_{j(\kappa)} = (V_{j(\kappa)})^L$. Since $\neg\text{CH}$ holds in $V$ and this is transferred to $V[g \ast h]$, we know that $j(\kappa) = \mathcal{V}^{[g \ast h]}$ is larger than $\theta$. So $\kappa$ is strongly uplifting in $L$. Thus, the consistency of either (5) or (6) implies that of (1).

In the case of (7), we have the boldface resurrection axiom for $c.c.c.$ forcing $R_\Delta(c.c.c.)$, and we use essentially the same argument, but with $Q = \text{Add}(\omega, \theta)$, where we add $\theta$ many Cohen reals. Note that by [HJ14, thm 7], the continuum $c$ is a weakly inaccessible cardinal, which is therefore inaccessible in $L$. If $A \subseteq \kappa$ in $L$, there is $M$ as above with $A \in L^M$, and by $R_\Delta(c.c.c.)$ there is further $c.c.c.$ forcing $R$, such that if $g \ast h \subseteq Q \ast R$ is $V$-generic, then in $V[g \ast h]$ there is $j : M \to N$ with critical point $\kappa = c$ having $j(\kappa) = \mathcal{V}^{[g \ast h]}$, and so $\langle L_\kappa, \in, A \rangle \prec \langle L_{j(\kappa)}, \in, j(A) \rangle$ is the desired extension, which is in $L$ because $j(A) \in L^N$.

Each of the axioms mentioned in (8) implies $wR_\Delta(\text{countably-closed})$, and this axiom can be treated the same as $R_\Delta(\text{proper})$, since it implies the continuum is $\omega_2$, and so we may take $Q = \text{Coll}(\omega_1, \theta)$ and proceed as in the case of (5) above, concluding that $c$ is strongly uplifting in $L$.

Conversely, suppose that $\kappa$ is strongly uplifting. We shall produce the various boldface resurrection axioms in various suitable forcing extensions. For $R_\Delta(\text{all})$, let $P = \text{Coll}(\omega, <\kappa)$ be the Lévy collapse of $\kappa$, and suppose that $G \subseteq P$ is $V$-generic. We argue as in [HJ14, thms 18,19], but with parameters. Fix any $A \subseteq \kappa$ in $V[G]$. There is a name $\dot{A} \in V$ such that $A = \dot{A}_G$, and we may assume $A$ has hereditary size $\kappa$ and is coded by a set $A' \subseteq \kappa$ in $V$. Now consider any poset $Q \in V[G]$. Since $\kappa$ is strongly uplifting, there is a large inaccessible cardinal $\gamma$, ...
above \( \kappa \) and \(|\dot{Q}|\), such that \( \langle V_\kappa, \in, A' \rangle \prec \langle V_\gamma, \in, A^* \rangle \) for some \( A^* \subseteq \gamma \).
Let \( P^* = \text{Coll}(\omega, \gamma) \) be the Lévy collapse of \( \gamma \). The forcing \( P^* \dot{Q} \) is absorbed by this larger collapse, and so there is some quotient forcing \( \dot{R} \) such that \( P^*Q^*\dot{R} \) is forcing equivalent to \( P^* \). We may perform further forcing \( g * h \subseteq Q^*\dot{R} \) and rearrange this to \( G^* \subseteq P^* \), agreeing with \( G \) on \( P \), such that \( V[G][g * h] = V[G^*] \). By [HJ14, lemma 17], we may lift the elementary extension to \( \langle V_\kappa[G], \in, A', G \rangle \prec \langle V_\gamma[G^*], \in, A^*, G^* \rangle \). Since \( A' \) codes \( \dot{A} \), this implies \( \langle V_\kappa[G], \in, A, G \rangle \prec \langle V_\gamma[G^*], \in, B, G^* \rangle \), where \( B \) is the value of the name coded by \( A^* \) using \( G^* \). Since \( \kappa \) and \( \gamma \) are inaccessible in \( V \), it follows after the Lévy collapse that \( V_\kappa[G] = H_\kappa^V[G] \) and \( V_\gamma[G^*] = H_\gamma^V[G^*] \), so this extension witnesses the desired instance of \( \text{RA}(\text{proper}) \) in \( V[G] \). So the consistency of (1) implies that of (4).

Similarly, from (1) we now force (5) using the PFA lottery preparation, introduced in [Joh07] (used independently in [NS08]) based on the lottery iteration idea of [Ham00]. Suppose that \( \kappa \) is strongly uplifting and that \( G \subseteq P \) is \( V \)-generic for the PFA lottery preparation \( P \), defined with respect to the Menas function \( f \) constructed in theorem 10. We show that \( V[G] \models \text{RA}(\text{proper}) \). We know \( \kappa = \mathfrak{c}^V[G] = \aleph_2^V[G] \), and \( V[G] \) satisfies the lightface \( \text{RA}(\text{proper}) \). Suppose that \( Q \) is any proper forcing in \( V[G] \) and \( A \subseteq \kappa \). Since \( P \) is \( \kappa \text{-cc} \), there is a name \( \dot{A} \in H_\kappa^{V[G]} \) with \( A = \dot{A}_G \). By the Menas property, and by coding this extra structure into a subset of \( \kappa \), we may find an inaccessible cardinal \( \gamma \) and an extension \( \langle V_\kappa, \in, P, \dot{A}, f \rangle \prec \langle V_\gamma, \in, P^*, \dot{A}^*, f^* \rangle \), such that \( f^*(\kappa) \) is as large as desired, and in particular above \(|\dot{Q}|\).
Since \( P^* \) is the PFA lottery preparation of length \( \gamma \) as defined in \( V_\gamma \) from \( f^* \), it follows that \( Q \) appears in the stage \( \kappa \) lottery, since \( Q \) is proper in \( V_\gamma[G] \). Below a condition opting for \( Q \) at stage \( \kappa \), we may therefore factor \( P^* = P \ast Q \ast P_{\text{tail}} \). Suppose that \( g * G_{\text{tail}} \subseteq Q \ast P_{\text{tail}} \) is \( V[G] \)-generic. By [HJ14, lemma 17], we may lift the elementary extension to \( \langle V_\kappa[G], \in, P, \dot{A}, f, G \rangle \prec \langle V_\gamma[G^*], \in, P^*, \dot{A}^*, f^*, G^* \rangle \), where \( G^* = G * g * G_{\text{tail}} \). Since \( A \) is definable from \( \dot{A} \) and \( G \), it follows that \( \langle V_\kappa[G], \in, A \rangle \prec \langle V_\gamma[G^*], \in, A^* \rangle \), where \( A^* = A^*_G \). Since \( P^* \) is the countable support iteration of proper forcing, it follows that \( \dot{R} = P_{\text{tail}} \) is proper in \( V[G][g] \), and since \( V_\kappa[G] = H_\kappa^V[G] \) and \( V_\gamma[G^*] = H_\gamma^V[G][g][G_{\text{tail}}] \), we have established the desired instance of \( \text{RA}(\text{proper}) \) in \( V[G] \). Thus, the consistency of (1) implies that of (5).

A similar argument, using revised countable support and semi-proper forcing via the SPFA lottery preparation, shows that the consistency of (1) implies that of (6) as well.
Next, we explain how to force $\mathcal{R}_A(\text{c.c.c.})$ from a strongly uplifting cardinal $\kappa$. As we discuss in connection with [HJ14, thm 20], where we consider the lightface resurrection axiom for c.c.c. forcing, the lottery-style iterations do not work with c.c.c. forcing, since an uncountable lottery sum of c.c.c. forcing is no longer c.c.c. But nevertheless, as in the lightface context, one may proceed with the Laver/Baumgartner-style iteration using the Laver function. By theorem 11, we may assume without loss that there is a Laver function $\ell : \kappa \rightarrow V_\kappa$ for the strongly uplifting cardinal $\kappa$. Let $P$ be the finite support c.c.c. iteration of length $\kappa$, which forces at stage $\beta$ with $\ell(\beta)$, provided that this is a $P_\beta$-name for c.c.c. forcing. Suppose that $G \subseteq P$ is $V[G]$-generic, and consider $V[G]$, where we claim that $\mathcal{R}_A(\text{c.c.c.})$ holds. To see this, note first that $\kappa = c^{V[G]}$, because unboundedly often the Laver function will instruct us to add another Cohen real. Suppose that $A \subseteq \kappa$ in $V[G]$, that $Q$ is c.c.c. forcing there and consider any ordinal $\theta$. Let $\dot{\ell}$ be $P$-names for $A$ and $Q$, respectively. Since $\kappa$ is strongly uplifting and $\ell$ is a Laver function, there is an extension $\langle V_\kappa, \in, \dot{A}, P, \ell \rangle \subset \langle V_\gamma, \in, \dot{A}^*, P^*, \ell^* \rangle$, with $\gamma \geq \theta$ inaccessible and $\ell^*(\kappa) = \dot{Q}$. Note that $P$ is definable from $\ell$, so we needn’t have included it in the structure, but $P^*$ is the corresponding $\gamma$-iteration defined from $\ell^*$, and furthermore $P^* = P \ast \dot{Q} \ast \dot{R}$, where $\dot{R}$ is the rest of the iteration after stage $\kappa$ up to $\gamma$, which is c.c.c. in $V[G][g]$ since it is a finite-support iteration of c.c.c. forcing. Let $g \ast h \subseteq Q \ast \dot{R}$ be $V[G]$-generic, and by [HJ14, lemma 17] we may lift the extension to $\langle V_\kappa[G], \in, \dot{A}, P, \ell, G \rangle \subset \langle V_\gamma[G^*], \in, \dot{A}^*, P^*, \ell^*, G^* \rangle$, where $G^* = G \ast g \ast h$. Since $A$ is definable from $\dot{A}$ and $G$, it follows that $\langle V[G]_\kappa, \in, A \rangle \subset \langle V_\gamma[G^*], \in, A^* \rangle$, where $A^* = A^*_G$. Since $V[G]_\kappa = H^V[G]$ and $V[G]^V[G^*] = H^V[G][g][h]$, and furthermore $\gamma = c^{V[G][g][h]}$, this witnesses the desired instance of $\mathcal{R}_A(\text{c.c.c.})$ in $V[G]$.

Finally, to achieve models of the axioms mentioned in statement (8) from a strongly uplifting cardinal, note that each of them is implied by $\mathcal{R}_A(\text{semi-proper})$, and we’ve already achieved that in statement (6).

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