Whole-cortex mapping of common genetic influences on depression and a social deficits dimension

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Abstract
Social processes are associated with depression, particularly understanding and responding to others, deficits in which can manifest as callousness/unemotionality (CU). Thus, CU may reflect some of the genetic risk to depression. Further, this vulnerability likely reflects the neurological substrates of depression, presenting biomarkers to capture genetic vulnerability of depression severity. However, heritability varies within brain regions, so a high-resolution genetic perspective is needed. We developed a toolbox that maps genetic and environmental associations between brain and behavior at high resolution. We used this toolbox to estimate brain areas that are genetically associated with both depressive symptoms and CU in a sample of 258 same-sex twin pairs from the Colorado Longitudinal Twin Study (LTS). We then overlapped the two maps to generate coordinates that allow for tests of downstream effects of genes influencing our clusters. Genetic variance influencing cortical thickness in the right dorsal lateral prefrontal cortex (DLPFC) sulci and gyri, ventral posterior cingulate cortex (PCC), pre-somatic motor cortex (PreSMA), medial precuneus, left occipital-temporal junction (OTJ), parietal–temporal junction (PTJ), ventral somatosensory cortex (vSMA), and medial and lateral precuneus were genetically associated with both depression and CU. Split-half replication found support for both DLPFC clusters. Meta-analytic term search identified “theory of mind,” “inhibit,” and “pain” as likely functions. Gene and transcript mapping/enrichment analyses implicated calcium channels. CU reflects genetic vulnerability to depression that likely involves executive and social functioning in a distributed process across the cortex. This approach works to unify neuroimaging, neuroinformatics, and genetics to discover pathways to psychiatric vulnerability.

Introduction
As depression follows a normal distribution of risk across the population1, relating depression to psychological features will better define pathways for addressing disorder vulnerability2. In particular, disruption in the ability to process social cues is associated with depressive symptomology, and can lead to increased deficits in daily functioning in both patient and subclinical groups3. Depressed individuals’ symptoms relate to specific facets of social behavior, namely, reasoning through others’ emotions4–6, fitting under the “understanding mental states” subcategory of the “social dimensions” construct in the US National Institute of Mental Health Research Domain Criteria (RDoC) matrix. Many forms of social response have been associated with depression7, and it is thought that broad deficits in social functioning may be influential in categories of severe depression. In particular, social deficits in theory of mind, the ability to understand others’ thoughts, are related to poor mentalizing/metacognition, or inability to understand the self. Further, theory of mind predicts depression diagnosis above and beyond metacognition in behavioral studies8.
An inability to understand and respond to others’ emotions due to multiple cognitive deficits may manifest as callousness/unemotionality (CU), which has been suggested as a marker of internalizing disorder symptom severity. Although typically examined in the context of externalizing disorders, CU has also been consistently associated with depression. This association may arise because, while CU reflects a disregard for others, CU has been related directly to theory of mind, empathy skills, and social processing, including emotional understanding in social contexts. Thus, poor social processing/CU may be a mechanism that indexes depression severity or sustains depression. Finally, as CU is related to multiple forms of empathetic behaviors, including cognitive and social-emotional responses, it forms an important first step in exploring the biological overlap between social processes and depression.

Multiple biological perspectives could advance our understanding of CU in depression. Family and genetic studies can estimate the relative importance of genes and environments across traits. Coinheritance between depression and CU is likely, as behavior genetics has established that depression is partially genetic in origin. Further, a recent genome-wide association analysis implicated over 150 genes in depression etiology, any of which could relate more specifically to social processing. However, genes/variants and their downstream mechanisms are difficult to scrutinize.

In contrast to this lack of contextualization and deep phenotyping in genetic research, brain mapping integrates onto other areas of biology/psychology (like transcriptomics and potential cognitive functions), thanks to the specificity gained when using high-resolution brain maps. Here, we implement an integrative framework in which we directly map brain areas in which genetic influences on CU and depression overlap. Specifically, the goal of the current study is test whether CU captures some of the genetic vulnerability to depression; and localize the brain areas contributing to this vulnerability. These genetically associated brain areas can then be used with biological and neuro-informatic tools for mapping across different levels within the RDoC matrix, such as RNA expression and biological pathway analyses, to expand understanding of the coinheritance of CU and depression.

Depression and CU in the brain

Spatial brain mapping studies can localize where behavioral measures are associated with brain morphology. By overlaying neural correlates of depression with neural correlates from other measured behaviors, we gain specificity with respect to areas associated with particular aspects of depression. While the neuroanatomical correlates of depression and CU have been studied extensively, this will be the first study examining their anatomical overlap.

The largest meta-analysis of neuroanatomical differences in depression to date used region of interest (ROI) measures of cortical thickness. It found that major depressive disorder (MDD) was associated with cortical thinning in the insula, anterior and posterior cingulate, and temporal gyri, areas key in salience, internal mentation, and switching between internal thought and executive control. However, this ROI approach does not consider how subcomponents of large ROIs may differentially relate to more specific facets of psychological phenomena. A meta-analysis of voxel-based morphometry (VBM) studies, which employed a voxel-wise spatial resolution, found that MDD was associated with lower brain volume in specific subregions of the rostral anterior cingulate cortex and the dorsolateral and dorsomedial frontal cortex. And the anterior cingulate cortex shows differential gene expression and differential task activation across the ROI. Thus, whole-brain approaches that employ a finer degree of spatial resolution are warranted.

With respect to neuroanatomical correlates of CU, decreases in volume of the rostral and dorsal cingulate cortex have been observed, overlapping spatially with regions that have been identified for depression. Addi-

This study

The goal of this study is to capture the dimension of depression that overlaps with CU and validate this approach by integrating results from across the imaging genetics literature through one analytical pipeline. Imaging can act as a conduit to understand the mechanisms of genetic effects but also transcriptomics, cognition, and behavior. Mapping genetic association with behavior across the brain enables us to explore multiple levels of biological analysis simultaneously and look for convergence across them to validate theories of behavior.

Using structural magnetic resonance imaging (MRI) data from 258 young adult twin pairs, we asked, where are the genes influencing the vulnerability to social deficits and depression influencing brain morphology? Do these morphological differences overlap? And, can we map a specific pattern and use this pattern to speculate further on mechanisms? To answer these questions, we used the methodology pictured in Fig. 1 (a tutorial for this approach can be found on our github: https://github.com/AlexHatoum/Wild-Card-Toolbox). In step 1, we
The text in the image discusses the estimation of the genetic and environmental association between depression and CU to evaluate the relative importance of shared inherited vulnerability. In step 2, a toolbox was developed to create genetic and environmental brain maps for each trait. Rather than map standard beta coefficients (i.e., clusters associated with phenotypic variability), the procedure maps effect sizes for genetic and environmental variances (i.e., clusters associated with our traits via a genetic or environmental etiology), creating brain maps of genetic association between cortical thickness and the two behavioral traits. We estimate areas that represent the genetic vulnerability...
to CU and depression by overlaying the clusters from the separate depression and CU genetic maps onto one map.

Finally, by integrating these brain maps with neuroinformatic tools in step 3, we can begin to characterize likely functions and specific molecular mechanisms of the genes influencing CU and depression, which is impossible in a standard biometrical design. Specifically, in step 3, we used MNI coordinates to align our genetically associated clusters with a meta-analytic database of effects across multiple Functional Magnetic Resonance Imaging (fMRI) and transcriptomic studies. By using the spatial coordinates, we can further investigate our hypothesis about the overlap by comparing our coarser genetically derived phenotypes to results from studies using more specific cognitive functions. Thus, our main analysis is the generation of genetically influenced brain map for depression and CU, and our follow-up analyses explore likely effects of this genetic variance implicated by this map by using high-resolution brain coordinates.

We conduct this analysis in a general population sample to include subsyndromal levels of depression and CU within a large enough sample to find patterns of coheritability between brain and phenotype. We chose high-resolution brain mapping because prior research in neuroimaging genetics suggests vertex-wise approaches will more appropriately capture the individual differences patterns of genetic effects. In particular, common brain atlases used in anatomical research were derived agnostically to genes influencing individual differences and do not capture the specificity of the architecture of genetic effects on behavioral traits, as has been shown for language-related brain areas. Further, past work has shown there are differences in the genetic variance structure within and between commonly utilized ROIs; thus, measuring genetic variability in ROIs vs. vertices leads to relative differences in genetic variance effects between regions being overestimated and more fine-grained metrics, such as voxel or vertex measures, are preferable to ROI approaches for making comparisons across the cortex for individual differences genetics. Notably for this study, it is these genetic individual differences patterns that are implicated in the mechanisms of psychopathology, requiring high-resolution coordinates to specify accurately. Finally, using high-resolution analysis and Montreal Neurological Institute coordinates (MNI) coordinates allows for integration with functional and transcriptomics literature more broadly.

Methods and materials
Sample
Participants were 258 same-sex twin pairs (225 complete, 120 monozygotic (MZ), and 115 dizygotic (DZ), 132 female pairs and 93 male pairs; singletons were used in calculating the mean and variance), aged 28–31 years ($M = 28.7$, $SD = 0.6$), recruited from the Colorado Longitudinal Twin Study (LTS). Twin pairs who had completed an ongoing neuroimaging study of neural substrates of executive functions and psychopathology and whose imaging data passed quality control were included. More about the sample can be found in the online methods.

Structural MRI scan
Images were acquired on a Siemens Prisma and Trio 3 Tesla MRI scanner with 32-channel parallel imaging located at the University of Colorado Boulder. The total scanning session lasted 1 h 25 min; the current analyses focus on gray matter structure, obtained with a high-resolution T1-weighted Magnetization Prepared Gradient Echo sequence in 224 sagittal slices, with a repetition time ($TR$) = 2400 ms, echo time ($TE$) = 2.01 ms, flip angle = 8°, field of view ($FoV$) = 256 mm, and voxel size of 0.8 mm$^3$.

Behavioral assessment
On the day of the scan, participants completed the Center for Epidemiological Studies-Depression (CESD) scale, a 20-item Likert scale assessing the frequency of past-week depression symptoms. We chose this measure because tendencies toward an emotional vulnerability should manifest itself in higher frequency of depression, we wanted to include subsyndromal levels of depression, and this measure has shown reasonable stability across 10 years of longitudinal data.

Prior to the scanning session, participants completed an online questionnaire battery that included the Inventory of Callous and Unemotional traits (ICU), a 24-item Likert questionnaire with three subscales: callousness (e.g., The feelings of others are unimportant to me), uncaring (e.g., I do things to make others feel good, reverse coded), and unemotional (e.g., I do not show my emotions to others). We used this scale as a measure of CU because it has been used to define clinical subtypes of conduct disorder in the past, the ICU total score relates to social and emotional processing, and, though the factor structure changes in adulthood, the scale retains a high internal consistency and predicts social, emotional, and depressive behaviors in individuals similar in age to our sample. We conducted all analyses with the ICU total scale, which is more reliable and normally distributed than the subscales, which were all highly intercorrelated (see Supplemental Table S1).

For the CESD and ICU, the dependent variable was the average item rating provided that at least 80% of the items were answered, multiplied by the number of items. To improve normality, both scales were square-root transformed (see Supplemental Table S1).
Data analysis

All cortical thickness estimates were processed using a standard Freesurfer pipeline\textsuperscript{32} (full description in online methods). Each vertex and psychopathology measure was residualized on brain mean thickness and sex prior to model estimation.

Behavioral genetic ACE models decompose phenotypic variance into three sources: Additive genetic (A; the sum of a large number of genetic variants), Common environmental (C; environmental influences that lead siblings to correlate), and non-shared Environmental (E; environmental influences that lead siblings to not correlate). Because MZ twins share all their genes, their additive genetic influences correlate 1.0; DZ twins share on average half their genes identical by descent, so their additive genetic influences correlate 0.5. By definition, C effects correlate 1.0 and E effects correlate 0.0 for both types of twins.

To examine the genetic and environmental covariance between the psychopathology measures and brain measures, the standard ACE model for a single variable can be extended to multivariate analyses. To ensure that the estimated component covariance matrices are positive definite, they are expressed as the product of a lower triangular matrix and its transpose (Fig. 1a). This is the Cholesky decomposition\textsuperscript{33}, which decomposes the phenotypic covariance between two measures into that explained by genes and environments. The genetic correlation ($r_G$) of the two phenotypes equals $(a_{11} \times a_{12})/\sqrt{(a_{11}^2 \times (a_{12}^2 + a_{22}^2))}$.

Depression and CU coinherence

To examine the etiological overlap between depression and CU, we started by estimating their phenotypic overlap through a partial correlation analyses (accounting for sex and mean cortical thickness). We used a series of structural models to show that our association is specific to our measure of depressive symptom frequency and CU, rather than a broad psychiatric vulnerability (Supplemental Fig. S1). Finally, we used a standard bivariate Cholesky decomposition to estimate the relative contribution of genes and environment to the overlap between the measures.

Discovery procedure for brain maps

The analysis plan is shown in Fig. 1. For each vertex, we estimated a separate Cholesky decomposition with the first variable being the vertex and the second being the CESD or ICU scale. We noticed substantial C variance across some areas of the cortex (Supplemental Fig. S2), so we specified our Cholesky decompositions with a freed C path loading on the vertex but set the C cross path and specific C loading on the psychopathology variable to be zero, as there were no C effects on the CESD or ICU measures. We then computed the parameter representing the bivariate heritability, the phenotypic correlation predicted by the overlap in genetic influences (standardized $a_{11} \times a_{12}$), at each vertex and projected it to a surface map in Freeview\textsuperscript{34} to create whole-cortex heat maps of genetic effects on the brain-behavior association. From the generated whole-cortex map, we estimated clusters above significance for CESD and ICU, respectively, and then overlaid the CESD and ICU clusters.

To determine significant clusters for each disorder, we (1) estimated a chi-square difference test $p$-value for each Cholesky bivariate cross path, and (2) used vertex-wise cluster extent $p$-value correction of values below (0.05) significance at a window of twice the original smoothing kernel (i.e. cluster extent threshold $= 20$ mm). We chose this procedure partially due to its practicality in integration with genetic estimates and to estimate clusters that were contiguous for follow-up analyses.

Split-half replication

To explore the replicability of our approach, we split our sample into halves by families (so that twin pairs would be kept together) by random draw (sample 1, $n = 132$ pairs; sample 2, $n = 126$ pairs) and ran the full analyses separately in each sample. In each half of the sample we used a conjunction minimum alpha of 0.05 (ref.\textsuperscript{35}) and cluster-extent correction of 20 mm to define significant clusters. We then overlaid the clusters from (1) the full sample analysis, (2) the analysis in sample 1, and (3) analysis in sample 2. Because the full sample was more conservative than either half, we used the criterion of significant overlap in all three analyses as our standard, i.e., a cluster must have been independently associated below the split-half criteria in both half-samples and by a more conservative threshold with the full sample for us to have high confidence in its effect.

Transcripts, cell types, and functions associated with our genetic clusters

Using MNI coordinates, we examined the overlap of our clusters with other sources of data: (1) the Allen brain atlas transcriptomic atlas and genome-wide association study (GWAS) results from the Psychiatric Genomics Consortium depression mega-analyses of 480,359 individuals\textsuperscript{1}, (2) Neurosynth meta-analytical database of functional activation across over 10,000 fMRI studies\textsuperscript{19}, and the (3) Yeo 7-network parcellation\textsuperscript{36}.

With the Allen brain atlas, we took the list of associated genes from the psychiatric genomics consortium MDD GWAS gene-burden results\textsuperscript{5} and used Allen brain atlas through Neurosynth gene\textsuperscript{37} by downloading each gene image, renormalizing it across the cortex with FMRIB Software Library (FSL\textsuperscript{38}) and visualizing its expression. We excluded genes from the major histocompatibility complex, as these associations may be spurious due to long-range linkage disequilibrium, and any genes not
obtained through RNA arrays in the Allen brain atlas, leaving us with 100 genes. We put the expression values by each region in one matrix with k-means clustering. We used the elbow method (Supplemental Fig. S3) to see how many genetic clusters were recovered from our analyses. We then put the gene list of each cluster through the Reactome39 pathway analysis database, using False Discovery Rate (FDR) to account for multiple comparisons.

With Neurosynth, we entered our clusters from the discovery sample into Neurosynth decoder to obtain “terms” that were most associated with functional activation across studies, as determined by a meta-analytic naïve Bayes classifier across over 10 000 fMRI studies19. This analysis finds which of our coordinates most overlap with those found in the literature and which terms (fMRI patterns, tasks, or studied behaviors) are associated with those studies. We then identified which of these terms most commonly appeared across clusters (after filtering out non-specific brain terms). Finally, we overlaid the coordinate of our clusters on the seven resting-state networks from the Yeo parcellation36 to identify to which networks the clusters belonged.

Results
Is CU genetically correlated with depressive symptoms?
We began by estimating the phenotypic, genetic, and environmental overlap between depressive symptom frequency, measured by the CESD, and CU, measured by the ICU. Figure 2 shows the AE Cholesky decomposition. Based on the best fitting models for each univariate trait, C paths were not estimated (see Supplemental Table S2 for full model comparisons for each trait). Their genetic correlation ($r_G$) was 0.40 ($p < 0.001$, see Supplemental Table S3 for genetic correlations between CESD and the ICU callous, uncaring, and unemotional subscales). The environmental correlation ($r_E$) was not significantly greater than zero ($r_E = 0.04, p = 0.500$). We concluded that the correlation between CU and depressive symptoms was due almost entirely to genetic covariance.

Where are CU/depressive symptom genetic influences related to brain morphology?
We created a map of areas where cortical thickness genetically correlated with CESD and ICU scores. We then overlaid the clusters from the two maps to discover regions that showed conjunction for genetic prediction. As shown in Fig. 3 and Table 1, we found that genetic influences on thicker cortex in the right dorsal lateral prefrontal cortex (DLPFC) sulci, the right pre-somatic motor cortex (PreSMA), left medial and lateral precuneus, occipital-temporal junction (OTJ), and temporoparietal junction (TPJ) were associated with both traits (i.e., these areas showed positive genetic associations above significance with both measures). We found genetic influences on thinner cortex in the right ventral posterior cingulate cortex (PCC), right medial precuneus, right DLPFC gyrus, and left ventral somatosensory cortex in the pathophysiology of both traits. Finally, split-half replication gave support for both right DLPFC areas in the same direction as discovered in the full sample (Supplemental Fig. S4). Comparison to phenotypic maps (Supplemental Fig. S5) showed that overlay regions discovered would have been qualitatively different without the genetic approach, as phenotypic areas did not overlap substantially with our genetic areas.

Our method also creates an environmental association map. If genetic and environmental association are in the same direction, it is consistent with an explanation of causality40, though not sufficient to establish a causal relationship. Environmental associations were not consistently in the same direction of effect as the genetic clusters (see Supplemental Fig. S6). Thus, from the

![Fig. 2 Additive genetic (A) and non-shared Environmental (E) Cholesky decomposition of the relationship between the Center for Epidemiological Studies Depression scale (CESD) and the Inventory of Callous and Unemotional traits (ICU). Numbers on arrows are standardized path estimates. Each task was residualized on sex and mean thickness prior to analysis. Genetic ($r_G$), environmental ($r_E$), and phenotypic (pheno $r$) correlations are shown to the right of the path model. The model fit acceptably, $\chi^2(20) = 30.264, p = 0.070, \text{RMSEA} = 0.059, \text{TLI} = 0.905, \text{CFI} = 0.842. \ *p < 0.05$, determined by $\chi^2$ difference tests. Dotted line indicates $p > 0.05$]
environmental map analysis and the bivariate Cholesky decomposition of ICU and CESD, we concluded that the areas in Fig. 3 are likely biomarkers that reflect genetic vulnerability to CU and CESD and implicate a shared genetic liability.

**What genetic pathways are implicated?**
We used follow-up analyses to gain insight into potential mechanisms involved in this genetic vulnerability. Results of clustering of Psychiatric Genomics Consortium depression-related genes are shown in
Supplemental Fig. S7. We found three clusters: over-expressed, mixed expression, and underexpressed (genes listed in axis of Supplemental Fig. S7). The overexpressed cluster showed significant enrichment for genes in “Depolarization of the Presynaptic Terminal Triggers the Opening of Calcium Channels_Homo sapiens_R-HSA-112308” pathway (FDR corrected $p = .03$). No other pathways were significant after FDR correction.

What likely cognitive/behavioral pathways are involved?

To identify likely cognitive/behavioral mechanisms reflecting this vulnerability, we conducted a meta-analytic term search using Neurosynth. Supplemental Tables S4 and S5 show the 25 most positively associated function terms from Neurosynth for each genetic overlap cluster from the full sample (in some cases, fewer than 25 terms were positively associated). The top repeated behavioral terms were “Theory of Mind”, “inhibit”, and “pain” across all regions (using a wildcard* for different forms of the same word and spelling out acronyms).

We projected our genetic derived clusters onto the Yeo 7-network parcellation, a popular, low-dimensionality parcellation derived from a clustering analysis of resting state data from 1000 participants. Supplemental Table S6 reports the results of this analyses. The default network was the most common network (4 areas); all but one positively associated cluster from our genetic analysis fell in this network, in line with past research that implicated default network functions to depression. All but two areas (8 of 10 positively and negatively associated areas) fell in networks with higher-level cognitive functions (i.e., default mode, ventral and dorsal attention, and frontal networks).

## Discussion

By directly estimating brain areas genetically associated with depression and CU, we found (1) the association between CU and depressive symptoms was entirely genetic in origin. (2) Genetic influences on thicker cortex in right DLPFC sulci, the right PreSMA, left medial and lateral precuneus, OTJ, and TPJ were associated with both traits, and genetic influences on thinner cortex in the right ventral PCC, right medial precuneus, right DLPFC gyrus, and left somatosensory cortex were associated with both traits. (3) Likely molecular pathways are influencing calcium channel depolarization. (4) Likely associated behaviors are “theory of mind”, “inhibit”, and “pain.” (5) Likely neural functions as determined by connectivity are associated with default-mode and higher-level cognitive systems. Figure 4 links our results across different methods to the RDoC social dimensions matrix. We discuss the implications of these findings below.

## Advantages of brain mapping approach

We are the first to directly estimate the cortical pattern that represents genetic vulnerability to a psychiatric disorder. Importantly, this approach is not limited to known associations. Further, our approach allows for expansion of hypotheses in genetic association studies by integrating MRI atlas-based approaches to contextualize the genetic association patterns and implicate molecular pathways and brain functions.

In this case, we focused on the vulnerability for CU in depression, chosen due to its importance in depression severity and integration with RDoC domains. Reassuringly, this approach converges on several areas previously associated with depression and social processing literature, which means past neuroimaging studies of these behaviors may be driven by genetics. However, cortical thickness associations with depression in the temporoparietal and temporo-occipital junctions, key social processing areas, were novel. Finally, we identified likely mechanisms for follow-up analyses using Bayesian meta-analysis, such as theory of mind and inhibition, that are likely targets for behavioral intervention.

This vulnerability reflects an expanded cognitive network. The association could be explained by both theory of mind (in line with our hypothesis) and inhibition, a trait that we did not hypothesize initially, but that is thought to be related to theory of mind. The association with theory of mind helps validate these results in line with our hypothesis as it implicates a cognitive social process, while...
the association with inhibition leads us to believe this may be a more top-down mechanism. Spatially, we found clusters specific to the posterior ventral cingulate cortex and DLFPC, which show broad connectivity patterns (functional and anatomical) between limbic/emotional systems and the association cortex. Further, almost all clusters were in higher-order cognitive systems.

**Limitations**

There are limitations to our approach. First, the sample is matched on age (at around age 28). While this protects against both linear and non-linear confounding by age, results may not generalize to younger or older ages. Second, we did not examine moderation by sex. However, although sex interaction may be a factor in genetic depressive symptomology, there is still a genetic correlation between males and females. Finally, informative analyses focused on overlap based on spatial coordinates. We did not directly measure the overlap between measures of expression, function, and neuro-anatomy, so we do not know whether these analyses are directly tapping the same underlying variability. Of course, this would be impossible for transcription (in humans) and would require complex multi-mediation patterns for relating functional coordinates and anatomy.

**Conclusion**

We directly mapped genetic vulnerability to CU and depressive symptoms on the brain. We found common genetic variance in CU and depressive symptoms was associated with higher-order cognitive areas and functions. As the genetic vulnerability to psychiatric disorders is discovered, the use of high-resolution cortical methods will be invaluable in contextualizing the patterns of genetic effects.

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**Conflict of interest**

The authors declare that they have no conflict of interest.

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