SUBSTELLAR OBJECTS IN NEARBY YOUNG CLUSTERS (SONYC). V. NEW BROWN DWARFS IN ρ OPHIUCHI

KORALJKA MUŽIĆ1, ALEXANDER SCHOLZ2, VINCENT GEERS3, RAY JAYAWARDHANA1,5, AND MOTOHIDE TAMURA4

1 Department of Astronomy & Astrophysics, University of Toronto, 50 St. George Street, Toronto, ON M5S 3H4, Canada; muzic@astro.utoronto.ca
2 School of Cosmic Physics, Dublin Institute for Advanced Studies, 31 Fitzwilliam Place, Dublin 2, Ireland
3 Institut für Astronomie, ETH, Wolfgang-Pauli-Strasse 27, 8093 Zürich, Switzerland
4 National Astronomical Observatory, Osawa 2-21-2, Mitaka, Tokyo 181, Japan

Received 2011 July 12; accepted 2011 September 29; published 2011 December 22

ABSTRACT

SONYC—Substellar Objects in Nearby Young Clusters—is a survey program to investigate the frequency and properties of substellar objects with masses down to a few times that of Jupiter in nearby star-forming regions. For the ~1 Myr old ρ Ophiuchi cluster, in our earlier paper we reported deep, wide-field optical and near-infrared imaging using Subaru, combined with Two Micron All Sky Survey and Spitzer photometry, as well as follow-up spectroscopy confirming three likely cluster members, including a new brown dwarf with a mass close to the deuterium-burning limit. Here we present the results of extensive new spectroscopy targeting a total of ~100 candidates in ρ Oph, with Fiber Multi Object Spectrograph at the Subaru Telescope and SINFONI at the ESO’s Very Large Telescope. We identify 19 objects with effective temperatures at or below 3200 K, eight of which are newly identified very low mass probable members of ρ Oph. Among these eight, six objects have $T_{\text{eff}} \lesssim 3000$ K, confirming their likely substellar nature. These six new brown dwarfs comprise one-fifth of the known substellar population in ρ Oph. We estimate that the number of missing substellar objects in our survey area is ~15, down to 0.003–0.03 $M_\odot$ and for $A_V = 0–15$. The upper limit on the low-mass star to brown dwarf ratio in ρ Oph is 5.1 ± 1.4, while the disk fractions are ~40% and ~60% for stars and brown dwarfs, respectively. Both results are in line with those for other nearby star-forming regions.

Key words: brown dwarfs – circumstellar matter – planetary systems – stars: formation – stars: low-mass

Online-only material: color figures

1. INTRODUCTION

Understanding the origin of the stellar initial mass function (IMF) is one of the major topics in astrophysics. The low-mass end of the IMF, in particular, has been the subject of numerous observational and theoretical studies over the past decade (see Bonnell et al. 2007). Brown dwarfs (BD; $M \lesssim 0.08 M_\odot$) are an important class of objects to test the mass dependence in the formation and early evolution of stars and planets. Establishing a census of young substellar objects is a fundamental prerequisite to test the competing theoretical scenarios trying to explain their origin. These scenarios include turbulent fragmentation (Padoan & Nordlund 2004), ejections from multiple systems (Bate 2009), or protoplanetary disks (Stamatellos & Whitworth 2009). Further issues that can be addressed by investigating young BDs are, among others, the evolution of accretion disks (e.g., the impact of photoevaporation), the formation of multiple systems (Bate 2009), the mass dependence of planet formation (Payne & Lodato 2007), and the early regulation of angular momentum (Scholz & Eisloffel 2004, 2005). Young BDs also provide excellent test-beds for low-gravity atmosphere models (e.g., Kirkpatrick et al. 2008).

Surveys in star-forming regions have revealed the existence of free-floating objects with masses below the deuterium-burning limit (~0.015 $M_\odot$; Zapatero Osorio et al. 2000; Lucas et al. 2005; Jayawardhana & Ivanov 2006; Luhman et al. 2008a), i.e., comparable to the masses of giant planets. Recently, Sumi et al. (2011) presented evidence for the existence of a population of unbound or distant Jupiter-mass objects in the field that may have formed in protoplanetary disks and subsequently scattered. Nevertheless, current surveys in star-forming regions are incomplete in the substellar regime, which is especially pronounced in the planetary-mass domain. Some of the deepest surveys are biased by design, either because they are based on mid-infrared data and thus will only find objects surrounded by dusty disks (e.g., Luhman et al. 2008a) or because they are carried out using narrowband methane imaging, which probes only a specific range of spectral types (e.g., Haisch et al. 2010).

SONYC—Substellar Objects in Nearby Young Clusters—is an ongoing project to provide a complete census of the BD and planetary-mass object population in nearby young clusters and to establish the frequency of substellar-mass objects as a function of cluster environment. The survey is based on our own extremely deep optical- and near-infrared imaging, combined with the Two Micron All Sky Survey (2MASS) and Spitzer photometry catalogs, which are combined to create catalogs of substellar candidates and used to identify targets for extensive spectroscopic follow-up. Our observations are designed to reach mass limits of 0.005 $M_\odot$ and below, with the specific goal of probing the bottom of the IMF. So far, we have published results for three regions: NGC 1333 (Scholz et al. 2009, 2011), ρ Ophiuchi (Geers et al. 2011), and Chamaeleon-I (Mužič et al. 2011).

ρ Oph is one of the closest regions with active star formation. Its distance is estimated to be (125 ± 25) pc (de Geus et al. 1989). The main cloud, L1688, is a dense molecular core, with visual extinction up to 50–100 mag (Wilking & Lada 1983). It hosts an embedded infrared cluster of around 200 stars, inferred to have a median age of 0.3 Myr, and surrounded by...
multiple clusters of young stars with a median age of 2.1 Myr (Wilking et al. 2005 and references therein). Currently, there are ~25 spectroscopically confirmed BDs in ρ Oph (Wilking et al. 2008; Alves de Oliveira et al. 2010; Marsh et al. 2010; Geers et al. 2011). The current census is, however, likely incomplete due to strong and variable extinction. When compared to the other two SONYC targets NGC1333 and Cha-I, ρ Oph suffers much stronger extinction (a few tens of magnitudes versus $A_V < 10$ mag). ρ Oph is not as compact as NGC1333, but is more compact than Cha-I. Finally, Cha-I is slightly older than the other two clusters (2–3 Myr versus $\lesssim 1$ Myr).

In our earlier paper on ρ Oph (Geers et al. 2011) we spectroscopically confirmed a previously unknown BD and identified a number of new candidates based on Spitzer data. In this paper we present the results of a second round of spectroscopy, based on our photometric catalogs and candidate selection presented in the Geers et al. paper. We have obtained about 100 new spectra in L1688, using the multi-object spectrograph Fiber Multi Object Spectrograph (FMOS) at the Subaru Telescope, and the integral-field spectrograph SINFONI at the ESO’s Very Large Telescope (VLT). This paper is organized as follows. Photometry and criteria for candidate selection are explained in Section 2, and the spectroscopic follow-up in Section 3. The results are presented in Section 4 and are discussed in Section 5. Conclusions are summarized in Section 6.

2. MULTI-BAND PHOTOMETRY AND SELECTION OF CANDIDATES

Observations, data reduction, as well as the cross-correlation of the optical, near-infrared, and Spitzer catalogs are described in detail in Geers et al. (2011). Here we give a summary of the facilities and filters used for the observations, and the description of the photometric catalogs used to select candidates for the follow-up spectroscopy.

The optical images in the Sloan Digital Sky Survey $i'$ filter were obtained with the Subaru Prime Focus Camera (Suprime-Cam) wide field imager (Miyazaki et al. 2002). The Multi-Object Infrared Camera and Spectrograph (MOIRCS; Suzuki et al. 2008) at the Subaru telescope was used to observe ρ Oph in the $J$ and $K_S$ bands. To increase the dynamic range of the survey in the near-infrared, we retrieved additional $J$ and $K_S$ photometry from the 2MASS point-source catalog (Skrutskie et al. 2006). We also retrieved the Spitzer Infrared Array Camera (IRAC) and Multiband Imaging Photometer for Spitzer photometry of ρ Oph from the Legacy Program data archive available at the Spitzer Science Center, using the high reliability catalog (HREL) created by the Cores to Disks (c2d) Legacy team. The $3.5\sigma$ detection limit of our survey is at $i' = 26$, $J = 22$, and $K_S = 19.5$ mag. The completeness limits correspond to $i' = 24.15 \pm 0.3$, $J = 20.6 \pm 0.3$, and $K_S = 17.8 \pm 0.3$ mag.

To identify candidates for spectroscopy, we use two different selection procedures.

1. We start with the catalog of common sources appearing in $i$, $J$, and $K_S$ catalogs. Low-mass and BD candidates are selected as objects detected in all three bands, and exhibiting a color excess in the $(i', i' - J)$ color–magnitude diagram. These are objects located to the right of the candidate selection cutoff line in Figure 2. This $iK_S$ selection results in a catalog of 537 candidate sources shown as dots in Figure 1. Of these sources, 309 come from the
MOIRCS JKS catalog (black circles in Figure 2) and the rest from 2MASS (purple circles); and

2. We cross-match JKS catalogs with the Spitzer catalog and select objects with IRCAC colors consistent with or redder than sources with a circumstellar disk (Figure 6). J/K/Spitzer selection yields 83 candidate members of ρ Oph, within the boundaries of our optical survey. These objects are marked with “+” in Figure 1. Twenty-six of these are found to be BD candidates based on their near-infrared magnitude and color, with 12/26 designated as spectroscopically confirmed BDs in the literature.

Thirty-five out of eighty-three candidates from the J/K/Spitzer list have an optical counterpart. Thirty-three out of thirty-five are also identified as candidates in the iJK selection, while the remaining two are located to the left of the candidate selection line in Figure 2. We obtained a spectrum for one of these two sources and found that it is probably not a member of ρ Oph. We note that the majority (32) of the 35 overlapping J/K/Spitzer candidates are part of the 2MASS-based iJK5 catalog, and only three are from the MOIRCS iJK5 candidate selection.

3. FOLLOW-UP SPECTROSCOPY

In our first spectroscopy run in 2009 May, we used MOIRCS/Subaru to obtain the spectra of 58 BD candidates selected from our iJK5 catalog, and one previously known BD candidate member (Geers et al. 2011). The 58 selected candidates all come from the iJK5 catalog based on the MOIRCS JKS photometry (309 objects). During 2010 we were awarded time at the Subaru Telescope and the VLT that allowed us to obtain spectra of ~100 additional substellar candidates in ρ Oph.

These new observations, data reduction, and number statistics of the observed candidates from the two catalogs are presented in this section. Candidates with spectroscopic follow-up are marked with crosses in Figure 2 and squares in Figure 6.

3.1. FMOS/Subaru

We obtained spectra in the near-infrared J and H bands (0.9–1.8 μm) using the FMOS at the Subaru Telescope (Kimura et al. 2010). The fiber positioner system of FMOS configures 400 fibers in the 30 arcmin diameter field of view (FOV), and the spectra are extracted by the two spectrographs (IRS1 and IRS2). Our data were obtained during the S10A semester in a shared-risk mode, using only one of the spectrographs (IRS1; 200 fibers) in the low-resolution mode (R ~ 600). Data reduction was carried out using the software package supplied by Subaru during the observing run. The package consists of IRAF tasks and C programs using the CFITSIO library. The recommended telluric standards for FMOS are stars of spectral-type F, G, or early K, at a known (and preferably low) extinction. As the stars in our science field suffer strong extinction, we observed an additional field at the same average airmass as ρ Oph, containing several G0V stars that were used for telluric correction.

We observed ρ Oph during the two nights of 2010 June 3 and 4, and obtained in total 81 spectra. Fourteen of the objects came from the JK/Spitzer candidate list, 46 from the iJK5-based candidate selection, and the remaining fibers were placed on a number of known members of spectral-type K and M, to serve as a reference. We note that the iJK5 candidate selection is based only on the MOIRCS JKS catalog (309 objects).

3.2. SINFONI/VLT

The spectra of 13 objects from the JK/Spitzer candidate list were obtained using the integral-field spectrograph SINFONI at the ESO/VLT, in the H and K bands (program ID 385.C-0450). Two of the objects have also been observed with FMOS. The observations were carried out one object at a time, using the largest available FOV offered by SINFONI (8′ × 8′) and in the no-AO mode. The spectral resolution delivered by the H+K setting of the instrument is R ~1500. Data reduction was performed using the SINFONI pipeline supplied at ESO. Telluric correction was performed with the help of standard stars observed before and after our targets. Standard stars were all of the early B-type, which exhibit a large number of hydrogen lines throughout the H and K bands. Some of the lines can be manually removed from the standard-star spectra (e.g., Brγ, Br10, Br11), while others appear blended with the telluric features and thus cannot be easily removed. Some residuals due to uncorrected hydrogen lines appear in our spectra, but do not affect the results, as we are interested only in broad features. These residuals mainly mimic emission lines in the 1.55–1.65 μm region in some of the SINFONI spectra.

4. SPECTRAL ANALYSIS

4.1. Spectral Fitting Procedure

The spectral analysis is identical to the one presented in Scholz et al. (2009) and Geers et al. (2011). Spectra of young objects later than M5 show a characteristic broad peak in the H band (Cushing et al. 2005). The feature is caused by water absorption on both sides of the H band and its depth depends strongly on effective temperature. While the H-band peak appears round in old field dwarfs, it is unambiguously

Figure 2. (i′−J′−J) color–magnitude diagram. Filled circles represent all sources from our iJK catalog (MOIRCS JKS), with dark symbols indicating the BD candidates. Sources from the 2MASS-based iJK catalog are indicated with purple open circles. Crosses show SONYC spectroscopy follow-up targets, with spectroscopically confirmed VLMOs marked with squares. Among SONYC VLMOs, the object found at (i′−J′ = 5.2, 24.1) is identified as a low-mass star, while the remaining five are BDs. Spectroscopically confirmed brown dwarfs from the literature (Wilking et al. 2008; Alves de Oliveira et al. 2010) are shown as diamonds, while stars indicate higher mass members of ρ Oph from Wilking et al. (2008) and Erickson et al. (2011). Atmosphere model isochrones for ages 1 Myr are overplotted for DUSTY00 (Chabrier et al. 2000) and COND03 (Baraffe et al. 2003). The solid line denotes the BD candidate selection cutoff. The green dash-dotted line denotes the new selection cutoff (see Section 5.2 for explanation).

(A color version of this figure is available in the online journal.)
Table 1
Parameters of ρ Oph M-type Objects Observed with FMOS/Subaru and SINFONI/VLT

| No. | α(J2000) | δ(J2000) | insa | AVb | ΔVc | T_{eff} (K) | SpT | Refd | Namee |
|-----|-----------|----------|------|-----|------|-------------|-----|------|-------|
| 1   | 16 26 03.28 | −24 30 25.8 | F     | 8.4 | 10   | 2650        | ... | ...  | SONYC-RhoOph-7 |
| 2   | 16 27 05.93 | −24 38 40.2 | F     | 7.8 | 8    | 2700        | ... | ...  | SONYC-RhoOph-6 |
| 3   | 16 27 38.62 | −24 38 39.2 | F     | 6.6 | 8    | 2750        | M8.5, M7, M6 | 1 2 3 | GY310 |
| 4   | 16 26 22.27 | −24 24 07.1 | F     | 9.7 | 8    | 2750        | M6.5, M8.5 | 1 3   | GY11  |
| 5   | 16 26 18.82 | −24 26 10.5 | F     | 9.4 | 10   | 2850        | M7.5, M5.5, M7 | 1 2 3 | CRBR 14; ISO-Oph-23 |
| 6   | 16 26 18.98 | −24 24 14.3 | F     | 17.6| 16   | 2850        | M5   | 1 2   | CRBR 15 |
| 7   | 16 26 22.96 | −24 28 46.1 | F     | 13.2| 14   | 2900        | ...  | ...  | SONYC-RhoOph-5 |
| 8   | 16 26 51.28 | −24 32 42.0 | F     | 2.3 | 2    | 2900        | M8, M8.5 | 5 4   | GY141 |
| 9   | 16 26 53.35 | −24 40 02.3 | F     | 12.4| 13   | 3000        | ...  | ...  | SONYC-RhoOph-3 |
| 10  | 16 26 22.19 | −24 23 52.4 | F     | 13.8| 14   | 3100        | M8.5, M6.5 | 1 2   | GY10  |
| 11  | 16 26 27.81 | −24 26 41.8 | F     | 6.4 | 6    | ≳3200       | M5, M6 | 6 1   | GY37  |
| 12  | 16 26 27.81 | −24 26 41.8 | F     | 6.4 | 6    | 3100        | M5, M6 | 6 1   | GY37  |
| 13  | 16 26 18.14 | −24 30 33.0 | F     | 14.7| 13   | 3200        | ...  | ...  | SONYC-RhoOph-4 |
| 14  | 16 27 46.29 | −24 31 41.2 | F     | 8.3 | 9    | ≳3200       | M6   | 3     | GY350 |
| 15  | 16 27 40.48 | −24 29 00.7 | S     | 3.1 | 3    | 2550        | M8.25 | 7     | CFHTWIR-Oph96 |
| 16  | 16 26 18.58 | −24 29 51.4 | S     | 15.0| 18   | 2600        | ...  | ...  | SONYC-RhoOph-8, CFHTWIR-Oph16 |
| 17  | 16 26 25.54 | −25 04 54.4 | S     | 0.9 | 2    | 2650        | M8   | 6     | GY264 |
| 18  | 16 27 26.22 | −24 19 23.0 | S     | 13.6| 15   | 2800        | ...  | ...  | SONYC-RhoOph-10; CFHTWIR-Oph78 |
| 19  | 16 26 37.81 | −24 39 03.2 | S     | 11.1| 12   | 3100        | ...  | ...  | SONYC-RhoOph-9; CFHTWIR-Oph31 |
| 20  | 16 27 06.60 | −24 41 48.2 | S     | 1.7 | 12   | 3150        | M5.5, M6 | 6 3   | GY204 |
| 21  | 16 26 23.81 | −24 18 29.0 | S     | 8.2 | 10   | 3200        | M6.7, M7 | 5 8   | CRBR 31 |
| 22  | 16 27 05.98 | −24 28 36.3 | F     | 14.7| 17   | ≳3200       | M7, M6.5 | 1 2   | GY202 |

Notes. Based on AMES-Dusty models (Allard et al. 2001).

a Instrument used for spectroscopy. F: FMOS/Subaru, S: SINFONI/VLT.
b Visual extinction in magnitudes, and calculated from J − K color, assuming the intrinsic J − K of 1.
c Best-fit extinction from spectral fitting, in magnitudes.
d Spectral-type references: (1) Wilking et al. 1999; (2) Luhman & Rieke 1999; (3) Natta et al. 2002; (4) Luhman et al. 1997; (5) Cushing et al. 2000; (6) Wilking et al. 2005; (7) Alves de Oliveira et al. 2010; (8) Wilking et al. 2008.
e References for identifiers: GY: Greene & Young 1992; CFHTWIR: Alves de Oliveira et al. 2010; CRBR: Comeron et al. 1993; ISO-Oph: Bontemps et al. 2001.

triangular in young, low-gravity sources (e.g., Brandeker et al. 2006; Kirkpatrick et al. 2006). In addition, young BDs are expected to have flat or increasing K_{S}-band spectra with CO absorption bands at λ > 2.3 μm, and a sharp drop in flux at the edge of the J band (∼1.3 μm), again due to water absorption.

The spectra were first assessed through visual inspection. Very noisy spectra (∼5% of all spectra) and those lacking the above mentioned features characteristic for BDs (∼75% of all spectra) are discarded. The latter sources exhibit smooth, featureless spectra, which make it difficult to constrain their nature. The majority of these objects are expected to be background late-type giant or dwarf stars. Some of them could be embedded young stellar objects (YSOs) with spectral types earlier than M, although we do not have any evidence for youth. This selection yields 21 very low mass (VLM) candidates.

In a second step, we estimate the effective temperatures of the selected VLM candidates by fitting model spectra from the DUSTY series (Allard et al. 2001), following the procedure outlined in Scholz et al. (2009). Since extinction and effective temperature are difficult to determine separately with low-resolution, low-signal-to-noise spectra, we started by adopting the A_{V} as determined from the J − K_{S} colors, assuming an intrinsic BD photospheric J − K_{S} color of 1 mag. We apply the extinction law from Cardelli et al. (1989), assuming R_{V} = 4. We vary the effective temperature from 1800 K to 3900 K, in steps of 100 K, keeping the extinction at a fixed value. The best-fit temperature is determined from a χ^{2} analysis. Although in almost all cases this procedure results in a decent match between the observed spectra and the best-fit models, we note that small adjustments to the value of the extinction (ΔA_{V} ≤ 2) can slightly improve the quality of the fit. This adjustment is determined by changing A_{V} in steps of 1 around the value derived from the J − K_{S} color, rounded to the closest integer. For 21 out of 23 entries in Table 1 either a zero or a small adjustment (up to ΔA_{V} = 2) is required, while in the remaining two cases ΔA_{V} ≳ 3 results in a better fit. Both values of A_{V} are listed in Table 1.

As demonstrated in Scholz et al. (2009), it is often difficult to reliably distinguish between two models with ΔT ≈ 100 K. However, for ΔT > 200 K there are discernible differences between models and observations, combined with a clear increase in χ^{2}. Thus, a conservative estimate for a typical uncertainty in T_{eff} is ±200 K. In cases where an equally good fit is obtained using two models separated by 100 K, as the best-fit T_{eff} in Table 1 we list the average value, while in Figures 3 and 4 we plot the model with the lower T_{eff}. Although the temperature fitting grid extends up to 3900 K, we note that our fitting scheme does not work that well above 3200 K. The reason is the shape of the H-band peak that becomes flat, making it difficult to discriminate between the models at higher temperatures. The situation is further complicated by high extinctions typically encountered in the ρ Oph region. We find spectra of three objects to be consistent with effective temperatures of 3200 K or somewhat above. We set 3200 K as a lower temperature limit for these objects in Table 1.

4.2. Results of the Spectral Fitting

The spectra of 21 BDs and very low mass objects (VLMOs) are shown in Figure 3 (FMOS) and Figure 4 (SINFONI). Two
objects appear in both data sets (4 and 11). The best-fit $T_{\text{eff}}$ resulting from the two independent fits are consistent, differing by only 50 K and 100 K, respectively. Spectra are shown in black and the best-fit models in color. For clarity, the models are slightly offset from the spectra. Both models and spectra have been smoothed with the boxcar function of 30 Å prior to plotting, to make it easier to appreciate the quality of the broadband fit. The 21 BDs and VLMOs with SINFONI and/or FMOS spectra are listed in Table 1, including the best model fit parameters.

Our observations result in 13 probable substellar members (defined here as objects with $T_{\text{eff}} \leq 3000$ K) and 8 very low mass stars ($3000$ K < $T_{\text{eff}}$ ≤ $3200$ K). Among these objects, we report six new likely BDs and two new very low mass stars. We label those objects SONYC-RhoOph-3 to 10, following the nomenclature of Geers et al. (2011) who published the first two discoveries. The six new BDs have effective temperatures between 2600 K and 3000 K, which, according to the 1 Myr DUSTY and COND isochrones, correspond to the masses between $0.03 M_\odot$ and $0.1 M_\odot$.

The $H$-band spectrum of the object 7 (SONYC-RhoOph-5) appears to have a slightly rounded shape when compared to the spectra of other objects in Figure 3. However, neither the

---

**Figure 3.** Spectra of very low mass objects in ρ Oph, observed with FMOS/Subaru. The spectra are shown in black, with the best-fit models (AMES-Dusty; Allard et al. 2001) in color. The models are slightly offset for clarity. The spectra and the models were smoothed prior to plotting, so that the quality of the fit to the broadband features can be appreciated. The models have been reddened by the best-fit $A_V$, given in Table 1. The spectra are plotted in the order of increasing $T_{\text{eff}}$ from bottom to top and from left to right. (A color version of this figure is available in the online journal.)

**Figure 4.** Spectra of very low mass objects in ρ Oph, observed with SINFONI/VLT. See the caption to Figure 3 for plot details. (A color version of this figure is available in the online journal.)
comparison with the spectra of field dwarfs or giants provides a satisfactory match with the observed spectrum (see Figure 5). The source is detected in all four IRAC bands and shows a clear mid-IR excess: with \( I_1 - I_2 = 0.41 \text{ and } I_3 - I_4 = 1.03 \), it resides within the Class II object area in Figure 6. We thus conclude that SONYC-RhoOph-5 is a probable new BD member of the cluster.

The \( H \)-band peak around 1.68 \( \mu \text{m} \) in the spectrum of the object 14 might not appear as sharp as expected for a young BD with \( T_{\text{eff}} = 2550 \text{ K} \), but this can be attributed to the strong noise in this spectrum. In Alves de Oliveira et al. (2010) one can clearly see that this source exhibits the sharp \( H \)-band peak characteristic for young BDs. They classify the source as M8.25, which is consistent both with the effective temperature obtained from our fits and the spectral type derived from various indices (see Section 4.3 and Table 2).

In this paper and in previous papers of the SONYC series, we have chosen to draw a line between the stellar and substellar regime either at \( T_{\text{eff}} = 3000 \text{ K} \) or at \( SpT = M6 \). According to the COND03 and DUSTY models, a 1 Myr old object at the substellar boundary will have an effective temperature of about 3000 K. In the scale defined by Luhman et al. (2003), this limit corresponds to a spectral-type M6. However, the relation between the effective temperature and spectral type for young VLMOs is not that well established. There are two objects that have at least once been classified as BDs in the literature (M6 or later) and that we do not define as substellar. The first one is the source 21 which we find to have \( T_{\text{eff}} \geq 3200 \text{ K} \). Wilking et al. (1999) and Luhman & Rieke (1999) classify this source as M7 and M6.5, respectively. Based on our fits, we identify this source a very low mass star, rather than a BD.

The second object where we find discrepancy with the literature classification is found at \((\alpha, \delta)_2000 = (16:26:31.36, -24:25:30.2)\) and was classified as M6 by Wilking et al. (1999) from the NIR data, but also as M5 (NIR; Luhman & Rieke 1999) and M3.75 (optical; Wilking et al. 2005). We find the \( H \)-band spectrum to be much flatter than in BD spectra, resulting in \( T_{\text{eff}} \) clearly above 3200 K. Thus, we discard this object as a potential BD and conclude that our spectrum is more consistent with the earlier spectral classification from the literature.

### 4.3. Spectral-type Indices

In this section we attempt to assign spectral types to the VLMOs from our survey, based on various indices suggested in the literature. The SINFONI spectra are particularly suited for a comparison of different methods, thanks to the spectral coverage, resolution, and overall quality of the spectra. We determine spectral types based on the following three indices.

1. **\( H \)-peak index (HPI)** defined by Scholz et al. (2011) and based on the ratio between the average fluxes in 1.675–1.685 \( \mu \text{m} \) and 1.495–1.505 \( \mu \text{m} \) wavelength regions. The HPI takes advantage of the slope on the blue side of the \( H \)-band peak observed in late-M and L-type objects, and is related to the spectral type as \( SpT = -0.84 + 7.66 \cdot HPI \). The index is highly sensitive to the spectral type in the \( \geq M7 \) regime, but cannot be used reliably for earlier spectral types where the slope of the feature becomes too shallow.

2. **\( Q \)-index defined in Wilking et al. (1999)** as \( Q = (F1/F2)(F3/F2)^\beta \). F1, F2, and F3 are the average values of the relative flux densities computed in the three bands 2.07–2.13, 2.267–2.285, and 2.40–2.50 \( \mu \text{m} \), respectively. The useful portion of our SINFONI spectra extends only to \( \sim 2.45 \mu \text{m} \). As evident from Figure 4, the continuum of the spectra longward of \( \sim 2.3 \mu \text{m} \) can be approximated by a linear function. We fit a linear function to the wavelength range 2.30–2.45 \( \mu \text{m} \) (2.43 \( \mu \text{m} \) in the case of the object 4) and extrapolate to the 2.45–2.50 \( \mu \text{m} \) region. We use \( \beta = 1.26 \) and

![Figure 5](image-url)
the $SpT$–$Q$ relation derived for $\rho$ Oph by Cushing et al. (2000, Equations (1) and (2)).

3. The H$_2$O-index proposed by Allers et al. (2007, Equation (1)). This index is defined as the ratio between the average fluxes in 1.550–1.560 $\mu$m and 1.492–1.502 $\mu$m wavelength regions, and is linearly dependent on spectral type between M5 and L0. All spectra have been extinction corrected using the best-fit value of extinction obtained from our model fits (see Section 4), and assuming the extinction law from Cardelli et al. (1989). The spectral types resulting from the three indices are shown in Table 2. The wavelength coverage of the FMOS data does not extend to the $K$ band, and thus they cannot be used to calculate the $Q$-index. For the object 14, one of the narrowband regions used to calculate the H$_2$O-index coincides with a strong noise feature, which prevents us from using this index to derive a reliable spectral type. For the objects 4 and 11, we list spectral type estimates based on their FMOS and SINFONI spectra, in that order.

We can make a comparison between the spectral types derived from different indices, excluding those where we only list the limits on the spectral type. The quoted uncertainties for the spectral types derived from the three indices are $\pm 0.4$ for HPI (Scholz et al. 2011), $\pm 1.0$ for the H$_2$O-index (Allers et al. 2007), and $\pm 1.5$ for the $Q$-index (Wilking et al. 1999). When comparing the HPI-derived values with those based on the other two indices, we find that our spectral-type scheme gives systematically later spectral types (in all cases except one). On average, the difference between the HPI and H$_2$O spectral types is 1.3. The difference is more pronounced for the FMOS data: the average value is 1.6, while for the values derived from the SINFONI data we get better agreement (0.9 spectral types). This can probably be attributed to the better spectral resolution and signal to noise of the SINFONI data. The average difference between the HPI and $Q$-index spectral types is 1.1.

We tested the effect that uncertainties in the fitted $A_V$ have on the derived spectral types. A change in the extinction of $\Delta A_V = 2$ results in $\Delta SpT \sim 0.6$ subtypes, for the spectral types derived from the HPI and the H$_2$O-index, while the $Q$-index is essentially reddening-independent. The change in the $A_V$ affects the HPI and H$_2$O spectral types for our sample objects in a similar way. A possible explanation for the discrepancies between spectral types derived from the HPI and other two indices might be in the extinctions of the sample from which HPI was derived. HPI was derived for objects with low extinction, i.e., the extinction correction was less problematic. The majority of our objects in $\rho$ Oph are at large extinctions, which will introduce additional uncertainty to the spectral type as derived from the HPI. On the other hand, the sample that was used to derive the H$_2$O index covers a larger range of extinctions.

Given the uncertainties, we conclude that the spectral types derived from the different indices are in agreement, with the HPI spectral types being systematically later by about one spectral subtype from the values derived from the other two indices.

5. DISCUSSION

5.1. Objects with Mid-infrared Color Excess

Figure 6 shows a color–color diagram constructed from Spitzer IRAC photometry. The dashed line denotes the area where Class II objects are located, based on Allen et al. (2004). For 83 objects, the IRAC colors are consistent with or redder than sources with a circumstellar disk, here defined as ($I_3-I_4 > 0.4$ and ($I_1-I_2 > 0.0$). Forty-six of these objects appear in the list of spectroscopically confirmed $\rho$ Oph members (diamonds; Wilking et al. 2008). Thirty-three objects have been observed in the framework of SONYC (black squares). The two samples have 19 objects in common. There are 12 spectroscopically confirmed BDs from Wilking et al. (2008) and Alves de Oliveira et al. (2010) and 3 identified in this work appearing in this plot. There are 18 objects with Spitzer excess that, to our knowledge, do not have available spectra (objects shown only as crosses to the right of ($I_3-I_4 = 0.4$ in Figure 6).
Of 83 candidate members with mid-infrared excess, 65 have been either observed by us or have been spectroscopically confirmed as members in the literature. Fifteen of them have spectral type later than M5 or estimated effective temperature at or below 3000 K. Thus, we conclude that the number of missing BDs in this sample is (83–65) × 15/65 ≈ 4. Of course, the total number of missing substellar objects in ρ Oph is probably much higher. Our estimate is valid only for the objects with disks (i.e., exhibiting MIR excess), and down to a certain limit in mass and extinction. This limit is primarily imposed by the sensitivity of the Spitzer data. The solid line in Figure 7 shows the approximate limit of the combined J − K/Spitzer catalog. It corresponds to ~0.01 M⊙ at A_V = 0 and ~0.03 M⊙ at A_V = 30, based on COND03 (Baraffe et al. 2003) and DUSTY00 (Chabrier et al. 2000) evolutionary models.

Based on the ISOCAM survey of the main ρ Oph core, Bontemps et al. (2001) find that the fraction of objects with disks among the embedded cluster YSO population is ~54%. From a small sample of nine BDs, Jayawardhana et al. (2003) calculate the disk fraction among the BD population of ~67 ± 27)%.

The number of the missing BDs with disks in our sample is ~4. Accounting for the disk fraction, we estimate that the total number of missing BDs is then (151–75) × 5/75 ≈ 5.

The combined i′J K is shallower than the i′-band catalog and its completeness limit is at i′ ≈ 23.4. The majority of the optically selected candidates lie in the area with low extinction (see Figure 1): 89% of the candidates are located at A_V ≲ 15, as judged from the COMPLETE map. However, as shown in Figure 5 of Geers et al. (2011), all objects from the MOIRCS i′J K catalog, with the exception of only two sources, probably suffer optical extinctions of less than 15. The extinction for each source was calculated based on the observed (J − K) colors, assuming an intrinsic (J − K) of 1.

Thus, even in the regions with apparently large extinction, our optical-NIR observations seem to be penetrating the cloud only down to a certain depth, corresponding to A_V of about 15. The completeness limit of i′ ≈ 23.4 corresponds to masses 0.03–0.03 M⊙ for A_V = 0–15, according to the DUSTY00 and COND03 models for 1 Myr old objects at the distance of ρ Oph. We conclude that the number of missing objects in our survey area down to this mass and extinction limit is 5–10. This number should be added to the number of missing objects estimated from the JK–Spitzer data in Section 5.1. The analysis presented in this section is based on the 309 candidate MOIRCS i′J K catalog and does not include the 2MASS i′J K selection. The JK–Spitzer candidate selection is, on the other hand, almost entirely based on the 2MASS JK photometry (72/83 candidates). In Figure 7, the JK–Spitzer candidates are
mostly found above the gray line, while \( \sim 85\% \) of the MOIRCS \( i'JK \) candidates lie below it. The two catalogs overlap for only three objects.

In summary, we conclude that the number of missing substellar objects in our survey area is 12–17. The actual number of missing substellar objects is probably higher, as we certainly might be missing objects at lower masses and higher extinctions.

### 5.3. Ratio of Stars to Brown Dwarfs

Based on the currently available data we can put constraints on the ratio of the number of low-mass stars to the number of BDs in our survey area. Andersen et al. (2008) use a mass range \( m = (0.08–1) M_\odot \) for stars and \( m = (0.03–0.08) M_\odot \) for BDs; we call this ratio \( R_1 \). Other authors use \( m = (0.08–10) M_\odot \) for stars and \( m = (0.02–0.08) M_\odot \) for BDs, hereafter called \( R_2 \)

(Briceno et al. 2002; Muench et al. 2002; Luhman et al. 2003).

The two most complete estimates of the stellar population in \( \rho \) Oph are those from Bontemps et al. (2001) and Wilking et al. (2008). Bontemps et al. (2001) report 123 Class II YSOs, 95 of which are located within the borders of our optical survey. From their Figure 8, we infer \( \sim 80 \) YSOs in the mass range \((0.08–1) M_\odot \) and \( \sim 84 \) in the mass range \((0.08–10) M_\odot \). We scale these numbers with the fraction of all sources located in our FOV (95/123) and correct for the binary fraction of about 29% (Ratzka et al. 2005). The number of stars in our FOV is then \( \sim 80 \) and \( \sim 84 \) for mass ranges \((0.08–1) M_\odot \) and \((0.08–10) M_\odot \), respectively. From the member list in Wilking et al. (2008) we discard objects with spectral-type M6 or later, restrict the coordinates to match the area covered by our survey, and add the three VLMOs discovered in the framework of SONYC and four stellar-mass members recently identified by Erickson et al. (2011). We count 86 low-mass stars with spectral-type estimates between G2 and M6 (i.e., with masses between 0.08 and 1 \( M_\odot \), and 90 stars in the mass range \((0.08–10) M_\odot \).

There are currently 26 spectroscopically confirmed probable BDs within the borders of our survey (20 previously known and 6 found in this work). We calculated absolute \( J \)-band magnitudes for the sample of BDs using the \( A_V \) estimated from \( J-K \) colors, reddening law from Cardelli et al. (1989), and by assuming the distance of 125 pc. We then compare the results with the COND03 and DUSTY01 MYr isochrones. There are 21 objects with \( m \gtrsim 0.03 M_\odot \) and 23 with \( m \lesssim 0.02 M_\odot \). Among these there are eight objects for which we obtain mass estimates above 0.08 \( M_\odot \); seven come from the list of Wilking et al. (2008), and one is our object SONYC-RhoOph-5. All of these objects have spectral type around M6, i.e., they lie around the boundary separating low-mass stars from BDs. Considering that the mass estimates are subject to a number of uncertainties (arising from the uncertainties in cluster distance, age, extinction, photometry, effective temperature, and the models themselves), all of these eight objects could in fact be either low-mass stars or BDs. Thus, for the calculation of the ratios \( R_1 \) and \( R_2 \), we assume the following numbers.

1. Stars with \( m = (0.08–1) M_\odot \): 80–94.
2. Stars with \( m = (0.08–10) M_\odot \): 84–98.
3. BDs with \( m = (0.03–0.08) M_\odot \): 13–21.
4. BDs with \( m = (0.02–0.08) M_\odot \): 15–23.

To calculate the ratios \( R_1 \) and \( R_2 \) we adopt the mean values of the intervals specified above. A reasonable indication of statistical uncertainties associated with these numbers is provided by Poisson statistics, i.e., we assume that the standard deviation \( \sigma = \sqrt{\mu} \), where \( \mu \) stands for the mean of each interval.

![Figure 8](https://example.com/figure8.png)

**Figure 8.** Spatial distribution of spectroscopically confirmed members in \( \rho \) Oph. Contours and lines are same as in Figure 1, with addition of the lowest extinction contour. Stellar members and BD are shown as black and red symbols, respectively. Crosses mark objects without disks, and diamonds those with disks (i.e., showing MIR excess).

Finally, we arrive at \( R_1 = 5.1 \pm 1.4 \) and \( R_2 = 4.8 \pm 1.2 \). This result is in line with the values from the literature (3.3–8.5; Andersen et al. 2008), although higher than the value observed in NGC1333 \( (R_1 = R_2 = 2.3; \) Scholz et al. 2011), and in the ONC \( (R_1 = 2.4; \) Andersen et al. 2011). Note that the values quoted here and in Andersen et al. (2008) should be treated as upper limits. The total number of BDs is certainly higher than the values given above due to the lower mass cutoff set at 0.02–0.03 \( M_\odot \) and the missing BDs.

### 5.4. Spatial Distribution and Disks

In Figure 8 we show the projected distribution of spectroscopically confirmed stellar members and BDs in \( \rho \) Oph. Stellar-mass members (black crosses) are from Wilking et al. (2008) and Erickson et al. (2011), and with three additional objects identified as very low mass stars in the framework of SONYC. Red crosses show spectroscopically confirmed BDs from Wilking et al. (2008), Alves de Oliveira et al. (2010), Marsh et al. (2010), Geers et al. (2011), Erickson et al. (2011), and this work. Both catalogs of stellar and substellar-mass members were cross-matched with the catalog containing objects showing MIR color-excess, which is interpreted as the evidence for an existence of a circumstellar disk around these objects. The stellar population clearly shows clustering toward the inner part of the L1688 core. There are 80 objects within the boundaries of our optical survey (dotted rectangle), and 65 objects outside of it (in the area roughly twice as large). At a first glance it might seem that even stronger clustering exists among the BD population. However, this can be attributed to the lack of a uniform BD survey in the entire cluster region. Most of the existing BD surveys, including this one, have been concentrated on the inner core of the cloud, and only two surveys actually probed the larger area (Cushing et al. 2000; Wilking et al. 2005). Therefore, the larger star-to-BD ratio in the outskirts of the cluster is probably not real. Within our FOV, there is apparently no significant difference between the spatial distribution of stellar and substellar populations.

A comparison between the two populations can also be made based on the presence of disk excess. Here we again concentrate
on the area within the $i'$-band survey boundaries. The ratio of the number of stellar members with disks to the total number of stellar members is $37/90 = (41 \pm 8)\%$. This number probably represents the lower limit for the disk fraction, because of the relatively rigorous criteria on photometry that were applied to the 2MASS data. For the 2MASS—Spitzer source matching, we request photometric quality flags for $J/K$ to be at least “B,” i.e. we select only detections with $S/N > 7$ and photometric uncertainties below 0.1551 mag. Relaxing this criterion by allowing selection of the flags “C” and “D,” we end up with the stellar disk fraction of $41/90 = (46 \pm 9)\%$. Among the BD population in our FOV, we find that the fraction of sources exhibiting MIR excess is $15/26 = (58 \pm 19)\%$, in agreement with results from Jayawardhana et al. (2003). The YSO sample from Bontemps et al. (2001) includes both stars and BDs, down to $\sim 0.055 M_\odot$. Combining our numbers for stars and BDs, we get the disk fraction of $(45 \pm 7)\%$, in agreement with Bontemps et al. (2001).

Similar results were obtained in other star-forming regions. For σ Ori, Chamaeleon-I, and IC 348, disk fractions are found to be ~40% and ~50%–60% for low-mass stars and BDs, respectively (Luhman et al. 2008b). In NGC 1333, Scholz et al. (2011) find an upper limit on the BD disk fraction of 66%. Among the BD population in our FOV, we find that the fraction of sources exhibiting MIR excess is $15/26 = (58 \pm 19)\%$, in agreement with results from Jayawardhana et al. (2003). The YSO sample from Bontemps et al. (2001) includes both stars and BDs, down to $\sim 0.055 M_\odot$. Combining our numbers for stars and BDs, we get the disk fraction of $(45 \pm 7)\%$, in agreement with Bontemps et al. (2001).

6. CONCLUSIONS

In this work we have built upon the large-scale optical and near-infrared survey of the L1688 core in ρ Ophiuchi presented in Geers et al. (2011). From the optical+NIR photometry, 309 objects were selected as candidate substellar cluster members. Fifty-eight out of 309 objects were targeted for spectroscopy, and one was confirmed as a substellar-mass object (Geers et al. 2011). Here we report the second round of spectroscopic follow-up, where we obtained spectra of additional 46 candidates from the same list. Among these, we find four candidate substellar objects with $T_{\text{eff}} \leq 3000$ K, three of which are for the first time reported here (SONYC-RhoOph-3, 6, 7).

From MOIRCS, 2MASS, and Spitzer photometry, Geers et al. (2011) established an additional sample of 26 candidate substellar members with disk excess. Twelve of these appear as spectroscopically confirmed BDs with spectral type later than $M_5$ in the literature. We obtained spectra of 17 Spitzer BD candidates, including 9 previously confirmed BDs. We report five newly discovered very low mass probable members of ρ Oph, including three BDs (SONYC-RhoOph-5, 8, 10). From the list of the previously confirmed objects, we re-confirm seven out of nine with $T_{\text{eff}} \leq 3200$ K.

In summary, we report the discovery of eight new very low mass candidate members in ρ Oph with $T_{\text{eff}}$ between 2550 and 3200 K. Six of these objects are classified as BDs and comprise one fifth of the entire currently known substellar population in ρ Oph. While it might be premature to derive the substellar IMF in this cluster, as the high and variable extinction seriously hampers most attempts to detect objects at the lowest masses, we estimate that the upper limit on the ratio of the number of low-mass stars to the number of BDs is in line with other nearby star-forming regions. By analysis of the MIR excess among the spectroscopically confirmed members of ρ Oph, we find the disk fractions to be ~40% and ~60% for stars and BDs, respectively. This is again in line with the results from the other nearby star-forming regions.

The authors thank the Subaru staff, especially Dr. Naoyuki Tamura and Dr. Kentaro Aoki, for their assistance during the observations and their preparation. We are grateful to Ms. Yuuki Moritani, Mr. Kiyoto Yabe, and Prof. Fumihide Iwamuro (Kyoto University) for their help with the FMOS data reduction. The research was supported in part by grants from the Natural Sciences and Engineering Research Council (NSERC) of Canada to R.J. R.J. also acknowledges support from a Royal Netherlands Academy of Arts and Sciences (KNAW) visiting professorship. This work was also supported in part by the Science Foundation Ireland within the Research Frontiers Programme under grant no. 10/RFP/AST2780. M.T. is supported by MEXT Grant-in-Aid for Specially Promoted Research. This publication makes use of data products from the Two Micron All Sky Survey, which is a joint project of the University of Massachusetts and the Infrared Processing and Analysis Center/California Institute of Technology, funded by the National Aeronautics and Space Administration and the National Science Foundation. This research has benefited from the SpeX Prism Spectral Libraries, maintained by Adam Burgasser at http://pono.ucsd.edu/~adam/browndwarfs/spexprism/.

REFERENCES

Allard, F., Hauschildt, P. H., Alexander, D. R., Tamanai, A., & Schweitzer, A. 2001, ApJ, 556, 357
Allen, L. E., Calvet, N., D’Alessio, P., et al. 2004, ApJS, 154, 363
Allers, K. N., Jaffe, D. T., Luhman, K. L., et al. 2007, ApJ, 657, 511
Alves de Oliveira, C., Moraux, E., Bouvier, J., et al. 2010, A&A, 515, A75
Andersen, M., Meyer, M. R., Greissl, J., & Avera, A. 2008, ApJ, 683, L183
Andersen, M., Meyer, M. R., Robberto, M., Bergeron, L. E., & Reid, N. 2011, A&A, 534, A10
Baraffe, I., Chabrier, G., Barman, T. S., Allard, F., & Hauschildt, P. H. 2003, A&A, 402, 701
Bate, M. R. 2009, MNras, 392, 590
Bonnell, I. A., Larson, R. B., & Zinnecker, H. 2007, in Protostars and Planets V, ed. B. Reipurth, D. Jewitt, & K. Keil (Tucson, AZ: Univ. Arizona Press), 149
Bontemps, S., André, P., Kaas, A. A., et al. 2001, A&A, 372, 173
Brandeker, A., Jayawardhana, R., Ivanov, V. D., & Kurtz, R. 2006, ApJ, 653, L61
Briceño, C., Luhman, K. L., Hartmann, L., Stauffer, J. R., & Kirkpatrick, J. D. 2002, ApJ, 580, 317
Burgasser, A. J., Liu, M. C., Ireland, M. J., Cruz, K. L., & Dupuy, T. J. 2008, ApJ, 681, 579
Burgasser, A. J., McElwain, M. W., Kirkpatrick, J. D., et al. 2004, AJ, 127, 2856
Cardelli, J. A., Clayton, G. C., & Mathis, J. S. 1989, ApJ, 345, 245
Chabrier, G., Baraffe, I., Allard, F., & Hauschildt, P. 2000, ApJ, 542, 464
Comeron, F., Rieke, G. H., Burrows, A., & Rieke, M. J. 1993, ApJ, 416, 185
Cushing, M. C., Rayner, J. T., & Vacca, W. D. 2005, ApJ, 632, 1115
Cushing, M. C., Tokunaga, A. T., & Kobayashi, N. 2000, AJ, 119, 3019
de Geus, E. J., de Zeeuw, P. T., & Lub, J. 1989, A&A, 216, 44
Erickson, K. L., Wilking, B. A., Meyer, M. R., Robinson, J. G., & Stephenson, L. N. 2011, AJ, 142, 140
Geers, V., Scholz, A., Jayawardhana, R., et al. 2011, ApJ, 726, 23
Greene, T. P., & Young, E. T. 1992, AJ, 103, 395
Haisch, K. E., Barsony, M., & Tinney, C. 2010, ApJ, 719, L90
Jayawardhana, R., Ardila, D. R., Stelzer, B., & Haisch, K. E., Jr. 2003, AJ, 126, 1515
Jayawardhana, R., & Ivanov, V. D. 2006, Science, 313, 1279
Kimura, M., Maibara, T., Iwamuro, F., et al. 2010, PASJ, 62, 1135
Kirkpatrick, J. D., Barman, T. S., Burgasser, A. J., et al. 2006, ApJ, 639, 1120
Kirkpatrick, J. D., Cruz, K. L., Barman, T. S., et al. 2008, ApJ, 689, 1295
Kirkpatrick, J. D., Looper, D. L., Burgasser, A. J., et al. 2010, ApJS, 190, 100
Lucas, P. W., Roche, P. F., & Tamura, M. 2005, MNras, 361, 211
Luhman, K. L., Allen, L. E., Allen, P. R., et al. 2008a, ApJ, 675, 1375
Luhman, K. L., Hernández, J., Downes, J. H., Hartmann, L., & Briceño, C. 2008b, ApJ, 688, 362

The Astrophysical Journal, 744:134 (11pp), 2012 January 10
