Neurological Symptoms of COVID-19: The Zonulin Hypothesis

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The irruption of SARS-CoV-2 during 2020 has been of pandemic proportions due to its rapid spread and virulence. COVID-19 patients experience respiratory, digestive and neurological symptoms. Distinctive symptom as anosmia, suggests a potential neurotropism of this virus. Amongst the several pathways of entry to the nervous system, we propose an alternative pathway from the infection of the gut, involving Toll-like receptor 4 (TLR4), zonulin, protease-activated receptor 2 (PAR2) and zonulin brain receptor. Possible use of zonulin antagonists could be investigated to attenuate neurological manifestations caused by SARS-CoV-19 infection.

Keywords: zonulin, Toll-like receptor4, tight junctions, blood-brain barrier, neurological symptoms, gastrointestinal symptoms, haematogenous route, SARS-CoV-2

INTRODUCTION

SARS-CoV-2 emerged in December 2019 and rapidly caused a global pandemic extending along 2020. Since March 2020, when the World Health Organization (WHO) declared the pandemic nature of the problem, the virus has caused 102 million infections and approximately 2 million deaths (https://www.worldometers.info). SARS-CoV-2 causes both upper and lower respiratory tract infections (1). The infection is characterized by a process ranging from asymptomatic and mild disease to severe systemic symptoms involving mainly the lung and gastrointestinal (GI) tract, and finally, it can cause multi-organ failure (2). Transmission of SARS-CoV-2 is mainly caused by human respiratory droplets or aerosol carrying the virus, which enters the airways of the host and infects epithelial cells (3). One of the factors determining severity of COVID-19 is the aggressive inflammatory response from the host, which can cause severe systemic damage by the so-called “cytokine storm” (4). It is well known that SARS-CoV-2 infects the host cell by binding its spike protein to the receptor binding domain of angiotensin-converting enzyme 2 (ACE2) (5).

NEUROLOGICAL MANIFESTATIONS OF COVID-19

Acute hypoxia and acute respiratory disease syndrome (ARDS) are two of the major causes of the high fatality rate of COVID-19 patients (6). However, this virus frequently causes neurological manifestations (such as headache, dizziness, impaired consciousness, stroke, encephalitis, anosmia, dysgeusia, Guillain-Barre syndrome, ataxia, etc.) (7, 8). The percentage of COVID-19 patients that
develop some form of neurologic symptom varies depending on the studies. Most researchers accept that it is around or above 50% of the patients. In fact, the study by Romero-Sánchez et al. found that 57.4% of hospitalized COVID-19 patients exhibited some type of neurological manifestation (8). These figures are important because COVID-19 patients with neurological disorders have an increased risk of in-hospital mortality and lower rates of discharge home compared to COVID-19 patients without neurological disorders.

Possible routes of entry of this virus into the central nervous system (CNS) have been recently reviewed by Kumar et al. (9). Distinctive symptoms of the infection by this virus, such as anosmia, indicate a potential viral neurotropism, and a direct route of entry into the CNS via the olfactory nerves (9), which may lead to viral replication and CNS invasion (10). Other mechanisms of brain invasion that have been postulated are a retrograde spread through the vagus nerve and a hematogenous route (9). There is evidence that neurological damage in COVID-19 patients is not primarily due to direct invasion of the virus into the CNS. For example, in the majority of infected patients with severe neurological manifestations, in whom real-time RT-PCR was performed, the cerebrospinal fluid (CSF) was positive for SARS-CoV-2 in less than 3% of the patients (11).

**NEUROLOGICAL VERSUS GASTROINTESTINAL COVID-19**

SARS-CoV-2 infected patients also experience enteric symptoms (such as fever, myalgia, lethargy, dry cough, dyspnea, anorexia, abdominal pain, and diarrhea) (12). While gastrointestinal symptomatology is, behind the respiratory, among the most frequent in COVID-19 patients (13, 14), whether digestive and neurological disorders combine in a significant manner is unclear. We collected the epidemiological data and clinical symptoms of every COVID-19 patient (945 patients) admitted to our hospital (University General Hospital of Albacete), during March 2020. We found that neurological and gastrointestinal symptoms (unrelated to the prescribed drugs) were frequent in hospitalized patients: 54.5% and 53.2%, respectively. Interestingly, we found that suffering from gastrointestinal symptoms was significantly associated with the display of some neurological symptom ($p = 0.027$). Therefore, in these hospitalized patients, gastrointestinal symptoms are a risk factor for developing mild neurological complications such as headache, myalgia, anosmia, or dysgeusia. Table 1 displays the details of the different data.

**BLOOD-BRAIN BARRIER DISRUPTION**

If we assume that the neurological involvement in COVID-19 disease is not mainly due to direct invasion by the virus, why does it occur? Most authors currently argue that it is caused by disruption of the blood-brain barrier (BBB), (15). Even though the respiratory tract is the main site of infection and viral replication, growing evidence indicates an extrapulmonary dissemination of the virus (16) (17) (18). A paracellular pathway, disrupting epithelial or endothelial barriers is an alternative route that viruses use to enter the bloodstream and thereby contribute to viral dissemination. Viruses via this pathway disrupt epithelial or endothelial barriers including the BBB. To achieve this, tight junctions (TJ) must be disassembled. TJ are complex and dynamic structures involved in several key functions of epithelial and endothelial barriers under physiological conditions as well as in pathological circumstances (19). TJ impairment specifically occurs in severe COVID-19 patients (20).

**ZONULIN**

Zonulin is a 47 KDa protein initially described by Wang et al. in 2000 (21) that works as an endogenous regulator of intestinal paracellular permeability disassembling tight junctions (TJ). Zonulin has been mainly localized in the GI tract (22) and linked to GI disease like coeliac disease (23). Zonulin has been reported to be upregulated also in extraintestinal tissues such as the lung (24) and brain tissue (25). Concerning the lung, Rittirsch et al. showed that the zonulin peptide antagonist, AT-1001, attenuated acute lung injury in mice (24). Concerning the
brain, zonulin receptor has been found in the brain tissue (26). Skardelly et al. demonstrated that zonulin is able to reach the brain and increase BBB permeability (25).

**HYPOTHESIS**

The aim of the present review is to develop a feasible hypothesis complementary to the current information that exists regarding the SARS-CoV-2 virus’ journey to the brain (9). From our results, the core of our hypothesis emerges to provide a mechanism for both intestinal and cerebral zonulin expression linked to SARS-CoV-2 infection. Furthermore, to label zonulin as one of the factors responsible for destroying or disrupting the BBB is crucial in order to find a possible therapy directed against the neurological manifestations that occur in COVID-19 patients. In fact, the already mentioned zonulin peptide antagonist, AT-1001, has been proposed as a specific anti-SARS-CoV-2 drug (27).

Our hypothesis is illustrated in the Figure 1: SARS-CoV-2 reaches the intestine protected by the mucus from infected lungs by the pulmonary mucus clearance system. Viruses land on the mucus layer and are moved by cilia up the trachea, through the vocal chords, and then swallowed and cleared by the gastrointestinal tract. During a cough, central airways narrow, and globs of mucus are propelled forcefully by a column of air moving at high velocity directly into the pharynx where they mix with saliva from the mouth and are swallowed into the esophagus.
Kumar and colleagues found enriched expression of ACE2 and transmembrane serine protease 2 (TMPRSS2) (29). Later, evidence point to the gut as a possible target of SARS-CoV-2.

The virus, via brain zonulin receptor, can induce the overexpression of zonulin, which increases BBB permeability through a similar TJ disassembly mechanism finally causing neuroinvasion. On the other hand, both the complement system activated by zonulin (see below) and the cytokine storm induced during viral infection might potentiate the disruption of the BBB and account for the neurological symptoms exhibited by COVID-19 patients.

We have analyzed data from previous studies and detailed in the following paragraphs, the scientific evidence that support our hypotheses:

**SARS-CoV-2 Directly Infests and Replicates in Intestinal Cells**

Digestive symptoms as nausea, vomiting, diarrhea, abdominal pain, and hematochezia, are frequently found in COVID-19 patients (13, 14). In addition to the lungs, several lines of evidence point to the gut as a possible target of SARS-CoV-2. Hoffmann et al., showed that SARS-CoV-2 employs ACE2 as a cellular receptor and that its spread is dependent on the activity of transmembrane serine protease 2 (TMPRSS2) (29). Later, Kumar and colleagues found enriched expression of ACE2 and significantly enhanced expression of TMPRSS2 in intestinal epithelial cells (30).

The following data provides the basis to suggest that SARS-CoV-2 may be capable of infecting and replicating in the intestine:

- Ace2, the key host cell entry receptor for SARS-CoV-2, is highly expressed in the brush border of the intestinal epithelial cells (31).
- High levels of expression of ACE2, TMPRSS2 and TMPRSS4, which are essential factors for host cell infection by SARS-CoV-2, have been observed in lower GI epithelial cells (32).
- The presence of SARS-CoV-2 viral RNA in the stool samples from infected patients that persists over 1-5 weeks has been reported even with serum specimens negative for the presence of the virus (33, 34).
- SARS-CoV-2 RNA detection and intracellular staining of viral nucleocapsid protein has been reported in gastric, duodenal, and rectal epithelia (18).
- The hemorrhagic colitis reported during SARS-CoV-2 infection involves the GI tract in the transmission of SARS-CoV-2 infection (35).
- Typical coronavirus virions have been observed by electron microscopy in rectal biopsy samples (36–38).
- COVID-19 patients with concomitant GI symptoms show poorer clinical outcomes requiring more often mechanical ventilation (39).

Infection of mature human enterocytes by SARS-CoV-2, through viral fusion and entry via ACE2, has been demonstrated in vitro in studies based on monolayer cultures of intestinal epithelial cells (40, 41). This has also been shown in human small intestinal organoids (which are the 3D structures that are grown from adult stem cells) (42, 43). Direct viral infection and replication of SARS-CoV-2 in the intestinal epithelium and endothelium has been demonstrated by means of organ-on-a-chip technology (44).

**Access of SARS-CoV-2 to the Small Bowel**

We hypothesize that the intestine is an entry site for SARS-CoV-2 towards the CNS. However, this must involve a reduced gastric acid level because the virus cannot survive a normal gastric acid environment of pH 1.5-3. There is evidence that SARS-CoV-2 can survive a pH level above 3 (45). Thus, in a hypochlorhydria the virus can survive the route from the mouth to the bowel, where the virus could directly infect enterocytes (46). Hirose et al., have reported that viscous sputum or nasal discharge may protect human influenza A and B virions (IAV/IBV). These are viruses that are inactivated by low pH and vulnerable to surfactants such as bile (47). Usually, the mucus secreted by cells lining the intestinal tract serves to clear respiratory viruses, including IAV/IBV. Therefore, the presence of the SARS-CoV-2 virus in the gut may be due to the self-ingestion of mucus from the airways by coughing discharge. Viral particles would preserve their infectivity protected by mucus from the degrading action of gastric acid, bile and pancreatic juices. Once SARS-CoV-2 has overcome these defensive barriers, it penetrates into host cells through viral fusion via ACE2 accomplished by the mentioned serine proteases TMPRSS2 and TMPRSS4 (30). Upon viral entry, virus-specific RNA and proteins are synthesized in the cytoplasm to assemble new virions (48), which can be released to the GI tract. This would explain the presence of viral RNA in stool samples from COVID-19 patients. However, it should not be forgotten that viruses and bacteria frequently use other pathways to disseminate, such as the paracellular pathway. We postulate that the SARS-CoV-2 virus entering via the paracellular pathway is a contributing factor in the exacerbated immune response of the host’s intestine, culminating with the cytokine storm and, finally leading to the dissemination of the virus to the brain.

**Association of SARS-CoV-2 and TLR4**

In addition to the ACE2, binding to toll-like receptors (TLRs), especially TLR-4, may contribute to the infectivity and pathogenesis of SARS-CoV-2 (49). TLR4 is not only present in immune cells, but is also expressed in intestinal epithelial cells (50). TLR4 is overexpressed in chronic inflammatory conditions and participates in the antiviral defense against RNA viruses such as respiratory syncytial virus (51) or coxsackievirus B4 (52). Also, TLR4 is known to recognize the envelope (Env) proteins of mouse mammary tumor virus and murine leukemia virus (53). Recognition of viral particles produces activation and
dimerization of TLR4 and triggers two distinct signal transduction pathways mediated by the adaptor proteins: Toll/IL-1 receptor (TIR) domain-containing adaptor inducing IFN-β (TRIF) and MyD88. TRIF activates a signal transduction pathway responsible for the production of additional type I interferons, which are involved in antimicrobial host defense. MyD88, which has been reported to be involved in the release of zonulin (54), activates a signal transduction pathway resulting in the release of the NF-kB, a transcription factor required to induce the gene expression of most proinflammatory cytokines, like IL-1, IL-6 or TNF-α (50).

Existing data supports the association of COVID-19 with TLR4:

- The main cytokines involved in severe COVID-19 cases (IL-6 and TNF-α) are downstream of the TLR4 signaling pathway (55).
- An in-silico study demonstrated that the spike protein of SARS-CoV-2 binds with surface TLRs (TLR1, 4, and 6), the binding being especially strong with TLR4 (56).
- A ligand of TLR4 was the most highly increased among other inflammatory mediators in COVID 19 severe patients when compared to healthy control (57).

We postulate that the virus’ spike protein activates TLR4, triggers MyD88 signal transduction pathways that would end in the overexpression of zonulin. Once secreted from enterocytes, zonulin binds specific receptors leading to the phosphorylation of TJ proteins and produces an increase in intestinal permeability and, consequently, the disassembly of these proteins.

**Activation of TLR4 by SARS-CoV-2 Would Induce the Expression of Zonulin**

Zonulin signaling has been postulated to be dependent upon protease-activated receptor 2 (PAR2) (58). PAR2 mRNA is strongly expressed in the small intestine, colon, liver, and pancreas. Immunoreactive PAR2 is localized to the apical and basolateral membrane of enterocytes and numerous other tissues (59). It has been also reported that PAR2 plays a key role in modulating several diseases such as experimental autoimmune encephalomyelitis, multiple sclerosis (MS) (60) and arthritis (61). This signaling protein interacts physically and functionally with TLR4 (62). Several studies have investigated the possible connection between PAR2 and TLR4-mediated signaling pathways. For example, concurrent activation of PAR2 and TLR4 by the PAR2 activating protein (AP) and LPS, respectively, amplifies NF-kB activation and IL-6 production in endothelial cells (63). Furthermore, PAR2 expression is upregulated by pro-inflammatory cytokines such as TNFα and IL-1β, leading to a self-sustaining and amplified inflammatory process (64).

It is well established that IL-6 is the proinflammatory cytokine that is found in highest levels in serum of severe COVID-19 patients (65). Indeed, higher than normal IL-6 levels are detected in COVID-19 patients requiring hospitalization or with acute respiratory failure (65, 66). It is interesting that the promoter of zonulin is under IL-6 control (67). Thus, overexpression of zonulin may be related to expression of IL-6 by MyD88.

**Zonulin Activates the Complement System**

Activation of the complement system is the first response of the host innate immune system against any foreign invasion, like SARS-CoV-2 infection. However, uncontrolled complement activation can be damaging. This possibly initiates the clinical complications affecting others organs in COVID-19 patients (68). Zonulin, both in vitro and in vivo, induces activation of components of complement C3 and C5 facilitating acute lung injury (ALI) by an increased accumulation of neutrophils and cytokines (24). With regard to this, it is worth noting that during SARS-CoV-2 infection, the activation of complement component C3 exacerbates ARDS and deposits of C3-C5 complements are abundant in lung biopsies from COVID-19 patients (69).

**Neuroinvasion by SARS-CoV-2 From Enteroinvasion**

Two main pathways have been proposed for the entry of neurotropic respiratory viruses into the CNS: a retrograde neuronal route and a hematogenous route. In the retrograde neuronal route, viruses undergo retrograde axonal transport to reach the neuron cell bodies in the peripheral and or CNS. In the hematogenous route, viruses gain access by infecting endothelial cells of the BBB, epithelial cells of the blood-cerebrospinal fluid barrier of the choroid plexus, or alternatively use inflammatory cells as Trojan horses to gain access into the CNS (70).

Recently, it has been postulated that SARS-CoV-2 neuroinvasion may occur via the vagal afferents from the GI, highlighting a role for gut-brain axis in the pathogenesis of the disease (71). The gut-brain axis has been involved in the pathogenesis of neuroinflammatory diseases such as MS, epilepsy, and stroke (72). The enteric nervous system is strongly interconnected with enteric glial cells, which express the major histocompatibility complex class II and therefore acts as antigen-presenting cells for immune cells of the gut-associated lymphoid tissue (GALT). Upon activation by viral infection, GALT initiates immune responses, increases in the endothelium permeability and release of higher IL-6 levels and other inflammatory mediators. It contributes to ARDS as observed in the COVID-19-induced cytokine storm (71, 73). Esposito et al., suggest that SARS-CoV-2–related diarrhea and the GI dysfunction serve as a possible marker of the involvement of the enteric nervous system/enteric glial cell in the pathogenesis of GI COVID-19 (71). Additionally, Kumar and coworkers proposed intestinal ACE2 as an essential entry factor involved in the digestive symptoms of COVID-19 (30). Thus, the gut could be used as a gateway through which viruses can either directly neuroinvade or indirectly immunologically prepare the enteric nervous system to achieve an ascending route towards the CNS through intestinal vagal afferents.

SARS-CoV-2 has been shown to directly infect engineered human blood vessel organoids in vitro. Furthermore, viral-like particles have been observed in brain capillary endothelium actively crossing the endothelial cells (74, 75). This suggests that the hematogenous route is the most likely route for SARS-CoV-2 to the brain. Considering all this data together and in...
line with our results (Table 1), we propose that the increased intestinal permeability caused by overexpression of zonulin opens an entry door for SARS-CoV-2. Through this way, the virus reaches the bloodstream or the lymphatic system, infects endothelial cells of blood or lymphatic vessels, infects local tissues, and then is disseminated to many organs, including the CNS.

**SARS-CoV-2, Zonulin and BBB. Integrating Concepts**

Increasing the permeability of the BBB is a common mechanism of damage used by numerous viruses (76, 77). The IEB and the BBB are formed by epithelial and endothelial cells, respectively. Both barriers exhibit similarities. Both are regulated by interactions with glial cells that are connected with the enteric nervous system and the central nervous system (CNS), their cells are sealed by tight junctions and are sensitive to disruption by external stimuli (78). Breakdown of the BBB plays an important role in the pathogenesis of numerous brain diseases, including neurological diseases such as stroke, epilepsy, and MS, brain infarction, or brain hemorrhage (79). BBB disruption significantly contributes to brain inflammation through the leakage of plasma factors into the brain, blocking of endothelial pericyte interaction, activation of glial cells, and induction of immune cell migration into brain tissue. On the other hand, brain inflammation facilitates BBB disruption through digestion of the basement membrane by proteinases and injury of BBB cells (80).

As mentioned above, COVID-19 patients with neurological disorders have increased risk of in-hospital mortality and lower rates of discharge home compared to COVID-19 patients without neurological disorders (7).

Other types of receptors or cellular entry mode for SARS-CoV-2 have been considered in the nervous cells or tissues (81). Using a combination of structural and molecular approaches, Fantini and co-workers demonstrated that the ganglioside-binding domain (111–158) at the tip of the N-terminal domain of the spike protein of SARS-CoV-2, as well as sialic acids linked to glycoproteins of host cell surface can also serve as an additional cellular entry for SARS-CoV-2 (82).

Several clues point to the possibility that zonulin is implicated in the neurological manifestations of SARS-CoV-2 infection: zonulin could act in the brain throughout the BBB disruption. Support for this possibility arises from a recent report using the zonulin agonist peptide AT-1002 which shows that zonulin is associated with an increased permeability of the BBB (83). Over-activation of the complement system has also linked to an enhanced permeability of this barrier. This is the case of neuroinflammatory diseases involving C5 signaling through its G-protein coupled receptor (84). Besides, and as stated above, zonulin can induce the activation of components C3 and C5 of complement system (24). TLR4 and PAR2, necessary for the overexpression and functional of zonulin, are expressed in neuronal and glial cells and are involved in development and progression of neurodegenerative diseases with an inflammatory component (85). Moreover, the human brain receptor for zonulin is a glycoprotein that contains multiple sialic acid residues (26), which, interestingly, is the new receptor postulated above for SARS-CoV-2. A remarkable in vitro study by Karyekar et al., studying a zonulin analogue (named Zot, a choleric toxin), suggested that its receptor might also be expressed in the endothelium of brain capillaries, and that it could dissemble TJs in vivo (86). Taking altogether, these evidences introduce in our hypothesis, a possible mechanism responsible for the neurological symptomatology caused by the virus: upon arrival of the virus to the brain via the hematogenous route, this would bind to the zonulin receptor and thereby, activate the expression of zonulin via MyD88. Zonulin would be secreted to the brain tissue side, bind to its receptor (PAR2), induce the disassembly of TJs, disrupt the BBB and consequently allowing the entry of the virus to the brain. On the other hand, during SARS-CoV-2 infection the overexpression of zonulin in the brain could also be dependent on the disruption of the IEB, resulting in enhanced secretion of cytokines into the bloodstream that can reach the brain capillaries. Although, under physiological conditions, most cytokines exert their effects locally at secretion sites, under pathological conditions high levels of them are secreted into the bloodstream, acting on distal cells in an endocrine manner to mediate systemic responses (87). Since high levels of the cytokine IL-6 are found in COVID-19 patients (65) and the promoter of zonulin is under control of this cytokine (67), the overexpression of zonulin in the brain could also be related to the presence of IL-6 in the brain capillaries.

**TESTING THE HYPOTHESIS**

Further in situ or in vivo studies will be necessary to establish a substantial role of zonulin in COVID-19 neuropathology. In this regard, several unresolved questions in this review must be tested, as following:

Crystal structure studies to confirm the interaction between SARS-CoV-2 spike protein and human TLR4 need to be performed (56).

A biochemical and molecular characterization of the brain zonulin receptor as well as a detail localization in the brain of the zonulin receptor needs to be established (26). On the other hand, the confirmation of the existence of the zonulin receptor in the luminal side of the capillaries constituting the BBB, is also necessary. It would also be necessary to verify that intestinal zonulin reaching the brain via the bloodstream could bind to the capillary zonulin receptor. In this sense, a biochemical and molecular study of intestinal and brain zonulin to test their differences would also be of great interest.

A longitudinal dataset related to the mechanism of pathogenesis of SARS-CoV-2 involving TJ impairment must be performed (20).

In summary, we hypothesize that after lung infection, SARS-CoV-2, protected with airway-borne mucus, reaches the gut undigested. Once here, the spike protein binds TLR4 and, via MyD88, induces the expression of proinflammatory cytokines, especially IL-6. This cytokine promotes the overexpression of zonulin, which, via PAR2, disassembles TJ, opening paracellular
pathways and allowing the virus to pass through. The virus can now infect vascular endothelial cells and disseminate to the CNS through a hematogenous route. Once at the BBB, SARS-CoV-2 binds zonulin receptor and promotes zonulin release. Then zonulin, via PAR2, induces the BBB disruption allowing the virus to enter.

We hope that this article will open up the possibility of investigating the effect of zonulin antagonists on the attenuation of neurological symptoms caused by SARS-CoV-19 infection.

**LIMITATIONS**

Our data were obtained retrospectively, so selection bias may arise and some important information could be missing. Finally, this study is hospital-based, so it does not necessarily reflect the incidence of gastrointestinal or neurologic symptoms of patients with mild COVID-19.

**DATA AVAILABILITY STATEMENT**

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: Raw data were generated at University General Hospital Universitario from Albacete. Study design and data collection regarding ALBACOVID registry are described in (8).

**ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Comité de Ética en Investigación Clínica (University of Castilla-La Mancha) identifier: 2020/04/043. The patients/participants provided their written informed consent to participate in this study.

**AUTHOR CONTRIBUTIONS**

Conceptualization, SLL. Investigation, SLL, ÁS-L and TS. Resources, SLL, EN, MM-L, ÁS-L and TS. Writing—original draft preparation, SLL. Writing—review and editing, EN, MM-L, ÁS-L and TS. Visualization, SLL, Supervision, SLL, EN, MM-L, ÁS-L and TS. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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