A Description of Advanced Chronic Kidney Disease Patients in a Major Urban Center Receiving Conservative Care

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Abstract

Background: Conservative/palliative (nondialysis) management is an option for some individuals for treatment of stage 5 chronic kidney disease (CKD). Little is known about these individuals treated with conservative care in the Canadian setting.

Objective: To describe the characteristics of patients treated with conservative care for category G5 non-dialysis CKD in a Canadian context.

Design: Retrospective chart review.

Setting: Urban nephrology center.

Patients: Patients with G5 non-dialysis CKD (estimated glomerular filtration rate <15 mL/min/1.73 m²).

Measurements: Baseline patient demographic and clinical characteristics of conservative care follow-up, advanced care planning, and death.

Methods: We undertook a descriptive analysis of individuals enrolled in a conservative care program between January 1, 2009, and June 30, 2015.

Results: One hundred fifty-four patients were enrolled in the conservative care program. The mean age and standard deviation was 81.4 ± 9.0 years. The mean modified Charlson Comorbidity Index score was 3.4 ± 2.8. The median duration of conservative care participation was 11.5 months (interquartile range: 4-25). Six (3.9%) patients changed their modality to dialysis. One hundred three (66.9%) patients died during the study period. Within the deceased cohort, most (88.2%) patients completed at least some advanced care planning before death, and most (81.7%) of them died at their preferred place. Twenty-seven (26.7%) individuals died in hospital.

Limitations: Single-center study with biases inherent to a retrospective study. Generalizability to non-Canadian settings may be limited.

Conclusions: We found that individuals who chose conservative care were very old and did not have high levels of comorbidity. Few individuals who chose conservative care changed modality and accepted dialysis. The proportions of engagement in advanced care planning and of death in place of choice were high in this population. Death in hospital was uncommon in this population.

Abrégé

Contexte: Les traitements conservateurs ou soins palliatifs (sans dialyse) constituent une option thérapeutique pour certaines personnes atteintes d’insuffisance rénale chronique non-dialyse de catégorie 5 (IRC-ND). Toutefois, nous en savons peu au sujet des personnes inscrites à un programme de traitement conservateur dans le contexte canadien.

Objectif de l’étude: Faire le portrait des patients atteints d’IRC-ND G5, sous traitement conservateur dans un contexte canadien.

Type d’étude: Examen rétrospectif des dossiers médicaux.

Cadre de l’étude: Un centre de néphrologie en milieu urbain.

Patients: Des patients atteints d’IRC-ND G5 (débit de filtration glomérulaire estimé à moins de 15 mL/min/1,73 m²).

Mesures: Les données démographiques initiales des patients, de même que les données cliniques de suivi du traitement conservateur, de planification des soins avancés et du décès.

Méthodologie: Nous avons entrepris l’analyse descriptive des individus inscrits à un programme de traitement conservateur pour la période s’échelonnant du 1er janvier 2009 au 30 juin 2015.

Résultats: Au total, 154 patients ont été inscrits dans un programme de traitement conservateur au cours de la période étudiée. L’âge moyen des patients était de 81,4 ans avec un écart-type de ± 9,0 ans. Le score moyen à l’index de comorbidité de Charlson modifié était de 3,4 ± 2,8 et la durée médiane de participation à un programme de traitement conservateur était de 11,5 mois (écart interquartile de 4,25). Au cours de la période étudiée, six patients (3,9%) sont passés du traitement
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conservateur à la dialyse et 103 patients (66,9%) sont décédés. Au sein de la cohorte de patients décédés, la grande majorité (88,2%) avait complété une partie des soins avancés planifiés avant le décès. De cette même cohorte, la plupart (81,7%) sont décédés où ils l’avaient choisi alors que 27 personnes (26,7%) sont décédées à l’hôpital.

Limites de l’étude: L’étude s’est tenue au sein d’un seul centre hospitalier et comporte des biais inhérents attribuables à son modèle rétrospectif. De plus, la généralisation des résultats à des paramètres non canadiens peut être limitée.

Conclusions: Nous avons constaté que les personnes qui avaient opté pour un traitement conservateur étaient très âgées et présentaient peu de comorbidités. Au cours de la période étudiée, quelques patients qui avaient choisi un traitement conservateur ont changé de modalité et accepté de passer à la dialyse. Nous avons également observé que l’engagement dans la planification des soins avancés et dans le choix de l’endroit où mourir était élevé au sein de cette population, alors que le décès à l’hôpital a été plutôt rare.

Keywords
conservative kidney management, palliative care, nondialysis care, chronic kidney disease, end-stage renal disease

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What was known before

Conservative care is an option for the treatment of advanced kidney disease in patients who do not wish to pursue renal replacement therapy.

What this adds

Comprehensive conservative care for patients with advanced kidney disease in a Canadian setting emphasizes advanced care planning and achieves a low risk of death in hospital. However, information technology infrastructure to prospectively study these patients is lacking.

Background

Chronic kidney disease (CKD) is common, affecting about 12.5% of Canadian adults,1 and is associated with an increased risk of comorbidity, prolonged hospitalization, and mortality.2 While older CKD patients are more likely to die than to progress to category G5 non-dialysis CKD,3,5 those patients who do develop G5 non-dialysis CKD must decide on a treatment modality, which may or may not include renal replacement therapy. As G5 non-dialysis CKD patients tend to feature a poor quality of life,6 and as dialysis may not be life-prolonging in older and comorbid G5 non-dialysis CKD patients,7,9 conservative care may be the preferred modality of treatment.

Conservative care, also known as palliative or supportive care, has been defined by the World Health Organization as “an approach that improves the quality of life of patients and their families facing the problem associated with life-threatening illness, through the prevention and relief of suffering by means of early identification and impeccable assessment and treatment of pain and other problems, physical, psychosocial and spiritual,”8 which is also the definition recognized by Kidney Disease: Improving Global Outcomes (KDIGO).11 Renal conservative care programs (CCPs) have emerged across the world with the goal of better addressing the end-of-life needs of advanced CKD patients11,12. However, information about patients choosing conservative care for G5 non-dialysis CKD in a Canadian context is lacking. A characterization of this population would help guide the development of CCPs in Canada.

The objective of this study was to describe the characteristics of patients choosing conservative care for the treatment of G5 non-dialysis CKD in a Canadian context. We conducted a retrospective cohort study involving patients enrolled in a CCP in an urban Canadian nephrology center. We carried out a descriptive analysis based on patient characteristics and information regarding conservative care follow-up and death.

Methods

We designed a single-center retrospective cohort study to characterize renal patients enrolled in our CCP. It involved a chart review of patient records via an electronic data source. Adult patients with G5 non-dialysis CKD enrolled in our CCP between January 1, 2009, and June 30, 2015 in Calgary, Alberta, Canada, were included in this study. The onset date of G5 non-dialysis CKD was defined as the first of the two earliest consecutive estimated glomerular filtration rate

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(eGFR) measurements at least three months apart of less than 15 mL/min/1.73 m^2, whereby all subsequent eGFR measurements remained less than 15 mL/min/1.73 m^2. We excluded patients whose eGFR was unknown or unmeasured.

The CCP was unique to this nephrology center. Patients were enrolled in the CCP after opting to forgo dialysis or after withdrawal of dialysis if they were not actively dying. The CCP involved patients who were referred by a nephrologist who had previously following the patients at a multidisciplinary CKD clinic (generally involving patients with eGFRs below 30 mL/min/1.73 m^2). A team with an interest in palliative care followed these patients, including a nephrologist with fellowship training in palliative medicine, an advanced care planning nurse clinician, and dedicated conservative care nurses (a full-time equivalent registered nurse divided between 2 individuals). A social worker and dietician were also available as needed. The CCP provided patient education about G5 non-dialysis CKD and about a trajectory without dialysis. Because patients chose enrollment into the program, the CCP provided active medical care that was specific to the goals and wishes of patients and their families. Symptom control was a priority. Patient follow-up was individualized and variable. Patients were followed in clinic and between clinic visits with phone calls and home visits. This program offered facilitated advanced care planning, which included a Goal of Care designation, though patients could have chosen to not engage in advanced care planning. It also offered bereavement follow-up with family members. Patients were encouraged to maintain relationships with their primary care providers.

Data were obtained from the prospective database of the Southern Alberta Renal Program. This database kept a record of patient demographics, blood work results, physician consultation notes, and multidisciplinary progress notes.

In this chart review, we sought to describe patient characteristics (eg, demographics, comorbidities, and laboratory results) at the onset of G5 non-dialysis CKD. We used the Charlson Comorbidity Index score for G5 non-dialysis CKD patients to describe the level of comorbidity burden. We considered a late referral to the multidisciplinary CKD clinic to be one that occurred after onset of or at ≤90 days before the onset of G5 non-dialysis CKD. We then described the details of CCP participation until the end of the study (eg, duration of follow-up and proportion of deceased patients by the end of the study). Finally, we characterized those patients who died while in the program, including details about advanced care planning and details of death. The details of death were already collected by the conservative care physician and nurses prior to this chart review. We determined the cause of death to be due to uremia if there was no other apparent cause of death and the course of illness included the typical constellation of symptoms associated with uremia (eg, encephalopathy, myoclonus, nausea, anorexia, and pruritus). The Dialysis Quality of Dying Apgar was used since the beginning of the CCP to rate the quality of death, out of a maximum score of 10. A higher score represented a higher quality of death. Despite the limited use of this tool in the literature, we employed the Dialysis Quality of Dying Apgar as it was the only such tool specific to G5 non-dialysis CKD patients available at the time of the program’s inception, and because of its ease of use.

The results of this chart review were analyzed descriptively in terms of mean (standard deviation), median (interquartile range [IQR]), and proportions for numerical data. The University of Calgary’s Conjoint Health Research Ethics Board granted ethics approval for this study.

Results

Among 241 patients enrolled in the CCP since the study start date, 63 deceased and 24 alive patients (by the end of the study date) did not meet our inclusion criteria as they had not reached G5 non-dialysis CKD. Therefore, we included 154 patients in this chart review for a descriptive analysis (Figure 1). We summarized the patients’ baseline characteristics at the time of study enrollment (onset of G5 non-dialysis CKD; Table 1). The mean age was 81.4 ± 9.0 years. The most common CKD etiologies were hypertension/ischemia and diabetes mellitus. About one-third of patients had a late referral to the multidisciplinary CKD clinic. The mean Charlson Comorbidity Index score was not high. Few patients previously underwent dialysis or kidney transplantation. The CKD lab parameters that were measured at the onset of G5 non-dialysis CKD are also listed in Table 1.

The details of participation in the CCP are summarized in Table 2. The median duration of participation in the CCP was 11.5 (IQR: 4-25) months. Advanced care planning was initiated before the end of the study in most patients. The majority of patients died in the CCP before the study end date, while few patients switched treatment modality from conservative care to dialysis.

Among patients who died during the study period, their outcomes are detailed in Table 3. Advanced care planning was more common in these patients. Most patients died in their preferred place of death, whereas about one-quarter of patients died in hospital (Table 4). The mean Dialysis Quality of Dying Apgar was high, and uremia was the commonest cause of death. Death outcomes based on early versus late...
referral to the multidisciplinary CKD clinic appeared to be similar.

Table 1. Baseline Patient Characteristics in the Renal Conservative Care Program in Calgary, Alberta.

| Characteristic at G5 non-dialysis CKD onset | All patients (n = 154) |
|-------------------------------------------|-----------------------|
| Age, mean (SD), years                     | 81.4 (9.0)            |
| Male, n (%)                               | 71 (46.1)             |
| Smoking history, n (%)                    | 65 (42.2)             |
| BMI, mean (SD), kg/m²                     | 27.8 (6.3)            |
| CKD etiology, n (%)                       |                       |
| Hypertension/ischemia                     | 81 (52.6)             |
| Diabetes mellitus                         | 67 (43.5)             |
| Reflux-obstruction                        | 13 (8.4)              |
| Glomerulonephritis                        | 4 (2.6)               |
| Polycystic kidney disease                 | 1 (0.6)               |
| Other                                      | 17 (11.0)             |
| Unknown                                    | 15 (9.7)              |
| Charlson Comorbidity Index score, mean (SD)| 3.4 (2.8)             |
| Comorbidities, n (%)                      |                       |
| Diabetes with end-organ damage            | 69 (44.8)             |
| Congestive heart failure                  | 40 (26.0)             |
| Cerebrovascular disease                   | 39 (25.3)             |
| Myocardial infarction                     | 38 (24.7)             |
| Chronic pulmonary disease                 | 32 (20.8)             |
| Peripheral vascular disease               | 27 (17.5)             |
| Dementia                                  | 22 (14.3)             |
| Diabetes without end-organ damage         | 17 (11.0)             |
| Peptic ulcer disease                      | 12 (7.8)              |
| Metastatic cancer                         | 7 (4.5)               |
| Rheumatological disease                   | 7 (4.5)               |
| Leukemia                                  | 1 (0.6)               |
| Lymphoma                                  | 1 (0.6)               |
| Moderate or severe liver disease          | 1 (0.6)               |
| Late referral to multidisciplinary CKD clinic, n (%) | 54 (35.1) |
| Prior kidney transplant, n (%)            | 1 (0.6)               |
| Prior dialysis, n (%)                     | 8 (5.2)               |
| Hemodialysis                              | 6 (7.5)               |
| Peritoneal dialysis                       | 2 (1.3)               |
| Duration on dialysis, median (IQR), months| 10 (2.8-45.2)        |
| Laboratory measurements, mean (SD)        |                       |
| Hemoglobin, g/L                           | 108 (16)              |
| Phosphate, mmol/L                         | 1.5 (0.3)             |
| Calcium, mmol/L                           | 2.4 (0.2)             |
| Calcium phosphate product, mmol²/L        | 3.7 (0.8)             |
| Parathyroid hormone, ng/L                 | 187 (172)             |

Note. CKD = chronic kidney disease; BMI = body mass index.

*Estimated glomerular filtration rate <15 mL/min/1.73 m².

The total percentages for CKD etiology and comorbidities exceeded 100% because some patients had more than one etiology and comorbidity.

Discussion

A review of patients who chose conservative care in this Canadian nephrology center revealed an older cohort with a low comorbidity index. Most participants were followed in the multidisciplinary CKD clinic for at least 90 days prior to G5 non-dialysis CKD onset. Few of them previously received renal replacement therapy or switched treatment modality from conservative care to dialysis. Their CKD laboratory parameters were reasonably well managed. The majority of patients engaged in advanced care planning. Overall, most deaths occurred in the place of choice, and death in hospital was relatively uncommon. The quality of death was high.

Although Canadian dialysis patients are generally also older, patients receiving dialysis have reportedly demonstrated different outcomes compared with patients enrolled in our CCP. Unlike our conservative care cohort, other G5 non-dialysis CKD patients receive delayed or inadequate end-of-life planning and palliative care service availability. The patients in our CCP had a lower proportion of in-hospital death compared with dialysis patients (eg, 44.8% in an American study, 66% in an Australian study, and 74% in a Canadian study) and with the general population in Alberta and Canada (57.5% and 63.4%, respectively).

Although we did not measure the frequency of procedures and hospitalization, other studies found that being on dialysis results in more hospitalization. Moreover, although we did not look for an association between comorbidity burden and survival, studies have reported that comorbidity increases the risk of death in dialysis and nondialysis advanced CKD patients. To this effect, it has been suggested that dialysis is not life-prolonging compared with conservative care in comorbid older patients.

The outcomes of our CCP suggest that it has been successful in terms of death in place of choice and quality of death. Previous studies have reported that provision of
This study featured several limitations. The starting eGFR for patients at the time of CCP enrollment was heterogeneous. For patients who were followed in the CCP prior to G5 non-dialysis CKD onset, we counted CCP enrollment in this study once the eGFR fell below 15 mL/min/1.73 m$^2$. However, other patients were referred to the CCP with lower starting eGFRs. As the data were retrieved from a single data source (PARIS software), it was dependent on the completeness of the information entered in the database (inconsistent degree of patient details among online records). This study is also limited by its retrospective design, with inherent biases in patient selection, as well as its generalizability (single-center Canadian study). Still, it represents an important example of comprehensive conservative care in a Canadian setting where such published experiences are scarce.

The results of this study will help guide the development of other CCPs in Canada. These programs are needed not only in centers where advanced kidney disease is managed by nephrologists but also for the large number of such patients managed by their primary care physicians. However, obtaining this information by way of chart review

Table 3. Death Outcomes Following CCP Participation by Early andLate Referral to the Multidisciplinary CKD Clinic.

| Death outcome                                      | Late referral (n = 36/103, 35% of deceased patients) | Early referral (n = 67/103, 65% of deceased patients) |
|---------------------------------------------------|-----------------------------------------------------|------------------------------------------------------|
| Advanced care planning initiated before program exit, n (%) | 82 (88.2)                                          | 52 (85.2)                                           |
| Known preferred place of death, n (%)             | 82 (79.6)                                           | 51 (76.1)                                           |
| Match between preferred and actual place of death, % | 81.7                                                | 80.4                                                |
| Death in hospital, n (%)                          | 27 (26.7)                                            | 18 (27.7)                                           |
| Dialysis Quality of Dying Apgar, mean (SD)        | 8.9 (1.0)                                            | 9.0 (1.1)                                           |
| Death due to uremia, n (%)                        | 52 (63.4)                                            | 33 (46.7)                                           |
| Bereavement follow-up for family members, n (%)   | 70 (71.4)                                            | 43 (67.2)                                           |

Note. CCP = conservative care program; CKD = chronic kidney disease.

Table 4. Place of Death Among Deceased Patients According to Their Preferred Place of Death (n = 103).

| Preferred place of death | Home/LTC | Hospice | Hospital | Other$^a$ | Unknown |
|--------------------------|----------|---------|----------|----------|---------|
| Known (n = 82/103, 79.6% of deceased patients) |          |         |          |          |         |
| Home/LTC (n = 33), n (%) | 21 (63.6) | 6 (18.2) | 6 (18.2) | 0        | 0       |
| Hospice (n = 26), n (%) | 0        | 23 (88.5) | 2 (7.7) | 1 (3.8)  | 0       |
| Hospital (n = 6), n (%) | 0        | 0        | 6 (100)  | 0        | 0       |
| Other$^a$ (n = 17), n (%) | 0        | 0        | 0        | 17 (100) | 0       |
| Unknown (n = 21/103, 20.3% of deceased patients), n (%) | 0 | 3 (14.3) | 13 (61.9) | 3 (14.3) | 2 (9.5) |

Note. LTC = long-term care facility.

$^a$Other places of death included, for example, a friend or relative’s home.
was cumbersome, signifying that renal information systems for conservative care are lacking. Information technology infrastructure needs to be developed for renal CCPs that will allow for patient outcomes to be measured on a systematic and prospective basis. Such infrastructure would facilitate research of this population that may be stronger methodologically, including prospective and larger studies (eg, multicentered when such infrastructure is adopted by other centers). It would also allow us to observe trends in patient outcomes over time. In the future, we would like to also collect and report information on the frequency of procedures and hospitalization, the rate of renal function decline, performance status, frailty, and symptoms. This study underlines, furthermore, the need for a validated tool for measuring the quality of death in G5 non-dialysis CKD patients.³⁹

Conclusion

This report of advanced CKD patients enrolled in a CCP in an urban Canadian center is the first one in Canada to describe their demographic and clinical characteristics as well as death outcomes. These patients were not generally very comorbid and chose palliative care without ever having received dialysis. They engaged in advanced care planning and featured favorable outcomes at the time of death. A better understanding of these patients and how they are managed provides insight into potential improvements in health services delivery for this patient population. However, information technology infrastructure is needed for renal CCPs to facilitate the prospective measurement of patient outcomes.

Ethics Approval and Consent to Participate

The University of Calgary’s Conjoint Health Research Ethics Board has approved this research study.

Consent for Publication

Not applicable.

Availability of Data and Materials

The original data from the chart review will not be made available, as we did not acquire ethics approval to do so.

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Author Contributions

FBK obtained ethics approval, performed the chart review, analyzed the data, and wrote the manuscript. HT-T reviewed the ethics application, helped with the data analysis, and provided critical revisions to the manuscript. CT conceived the study, reviewed the ethics application, guided the design of the chart review, helped with the data analysis, and provided critical revisions to the manuscript. All authors read and approved the final manuscript.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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