Assessment of forest cover and carbon stock changes in sub-tropical pine forest of Azad Jammu & Kashmir (AJK), Pakistan using multi-temporal Landsat satellite data and field inventory

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Abstract

This study aimed at estimating temporal (1989–2018) change in forest cover, carbon stock and trend in corresponding CO₂ emissions/sequestration of a sub-tropical pine forest (STPF) in AJK, Pakistan. Our field inventory estimation shows an average above ground biomass (AAGB) accumulation of 0.145 Kt/ha with average carbon stock (ACS) value of 0.072 Kt/ha. Landsat TM, ETM+ and OLI images of 1989, 1993, 1999, 2005, 2010, 2015 and 2018 were used to extract vegetation fractions through Linear Spectral Mixture Analysis (LSMA) and forest area was calculated for respective years. Based on the forest area and estimated ACS value, the biomass carbon stock with corresponding CO₂ emissions/sequestration was worked out for each time and change in forest carbon stock was determined for different time periods from 1989 to 2018. Our analysis shows net increase of 561 ha in forest cover and 40.39 Kt of ACS along with increase in corresponding CO₂ sequestrations of 147.83 Kt over the study period. The results based on combination of remote sensing and field inventory provide valuable information and scientific basis to plan and ensure sustainable forest management (SFM) through reforestation, protection and conservation to enhance and maintain adequate forest cover and reduce CO₂ emissions.

1. Introduction

The global terrestrial ecosystem encompass forests as major component which cover around 31% of earth’s land surface and the area under forest cover is considered as an important indicator of environmental condition [1]. Forests play a vital role in photosynthetic alleviation of atmospheric CO₂ and its long-term storage as wood biomass [2] which contains approximately
50% of carbon [3]. Conversely, the stored carbon is released into the atmosphere as carbon dioxide (CO$_2$) when forests are cleared or degraded [4]. Hence, deforestation is responsible for approximately 20% of anthropogenic emissions worldwide [5, 6] with varying regional statistics [7]. Globally, a net decrease of 1.7% in the forest area with an annual rate of change of 0.11% was reported for the period 1990–2005 [8] and it was estimated that during the period 2005–2010 the reduction in global forest area led to decrease in forest biomass carbon stock by an amount of 0.5 Gt annually [7]. Sustainable forest management (SFM) greatly relies on the important components like assessments of forest cover, forest carbon stocks and carbon emissions from deforestation and degradation [9]. Forest resource estimation and its interval-change assessment are important dynamics which drive forest inventories aimed at SFM. The scientific quantification of forest cover and temporal changes provide valuable information to expose deforestation or appreciate successful reforestation programmes at particular sites and allows for appropriate land use planning along with carbon accounting and monitoring of conservation efforts [10, 11]. Also, accurate and timely information regarding vegetation changes is a prerequisite to resource managers and policy makers [12] for future planning and predictions. The technological advancement has enabled scientists to develop different methods for detecting changes in land and vegetation cover over the last 30 years [13]. Many forest cover related studies [14, 15, 16, 17, 18, 19] have used satellite images to retrieve fractional information embedded in pixels through a widely used technique called SMA which helps address mixed pixel problem [20].

In recent times, remote sensing has widely been used to detect temporal changes in land cover and make it an effective tool on account of its digital data format and consistent coverage of land cover at varying resolutions. Moreover, it enables to capture continuous, precise and impartial information about spatial variability of land surface features that becomes quickly available for use [21, 22]. In present era, remote sensing is an effective mode of collecting forest cover information [23, 24] for practical and economical study of vegetation cover changes, particularly over large landscapes [25, 26]. Nevertheless, its usefulness in providing meaningful data regarding growing stock, forest area and forest land use change is well established for developing forest inventory [27]. In this context, Landsat provides long history of dataset archive which is vital in mapping long-term vegetation cover and studying spatiotemporal changes [28] because Landsat data with fine/high spatial resolutions are recommended for reliable quantification of forest cover change.

The State of Azad Jammu & Kashmir (AJK) is blessed with high valued natural fauna stretching along Himalayan mountainous topography classified as Subtropical, Temperate and Alpine forests. The predominant coniferous species include *Pinus roxburghii*, *Pinus wallichiana*, *Abies pindrow* and *Cedrus deodara*. These forests are being managed under traditional inventories which lack critical scientific information on carbon stock density and temporal land cover changes. The objective of this case study is the estimation of ACS per hectare in a subtropical pine forest using field inventory and assessment of historical stock change patterns through periodic analysis of satellite imageries (1989–2018). The findings will help draw a comparison between deforestation and the overall success of reforestation programmes implemented in the area over the study period. The study will also enable forest managers to develop forest inventories on the basis of modern scientific parameters to ensure SFM.

2. Materials and methods

This section describes the study area, method of field inventory and satellite data acquisition and processing.
2.1 Study area

The study area (471 km\(^2\)) lies between 73˚35’45.49"E, 33˚50’14.24"N to 73˚53’44.84"E, 33˚35’19.03"N in district Sudhnuti AJK, Pakistan (Fig 1). The elevation ranges from 385 m to 2121 m ASL with maximum slope up to 78 degree and mean annual temperature varies from 110C and 250C having 1432 mm average rainfall. The predominant species is Chir Pine (Pinus roxburghii) with a little mix of Blue Pine (Pinus wallichiana) when approaching temperate forest [29]. It is managed by the State Forest Department. Distribution of vegetation is fragmented across the landscape.

2.2 Field inventory & forest carbon stock assessment

A pilot survey of the study area accompanied by field staff of the respective forest division having knowledge of the area and forest distribution was conducted to collect preliminary data to determine 108 circular sample plots across study area (Fig 2) using the source book for “Land Use, Land Use Change and Forestry Projects” [30] and detailed inventory was carried out during January-July, 2017 (S1 Fig and S1 File). Field inventory was carried out with the permission of Chief Conservator of Forests (CCF), Government of the State of AJK (GoAJK). The study area did not include any endangered flora. GPS was used to locate each sample plot of 0.1 ha on ground while slope was determined using clinometer to adjust the plot radius accordingly (S2 Fig). Sampling was conducted for trees having minimum 15cm diameter at breast height (dbh) to match the criteria laid down for management plans of the State forests. The tree height and diameter (dbh) were measured using Abney Level and Caliper respectively (S2 Fig). The area specific allometric equations developed by Pakistan Forest Institute (PFI) were retrieved from technical reports to calculate AGB of Pinus roxburghii, Pinus wallichiana and Quercus incana being the predominant species of the study area. Average below ground
Biomass (ABGB) was calculated using the relationship between AGB and BGB. A conversion factor of 0.5 \cite{31, 32} was used to work out the forest biomass carbon while total average biomass (t/ha) was worked out by adding AGB and BGB. Average above ground biomass (AAGB) value was used for estimation of temporal stock changes and corresponding CO₂ emissions/sequestrations.

2.3 Satellite data collection and pre-processing

The study involved Level-1 Precision and Terrain (L1TP) corrected Landsat data that are considered suitable for time series analysis (www.landsat.usgs.gov). Landsat 5 Thematic Mapper (TM), Landsat 7 Enhanced Thematic Mapper Plus (ETM+) and Landsat 8 Operational Land Imager (OLI) sensors data corresponding to the study area were downloaded from earthexplorer.usgs.gov (Table 1).

Table 1. Landsat satellite images used in the study.

| Path/Row | Sensor | Acquisition Date       | Scene Cloud Cover (%) | Scene Quality |
|----------|--------|------------------------|-----------------------|---------------|
| 149/037  | TM     | 26 December 1989       | 20                    | 9'            |
|          | TM     | 05 December 1993       | 1                     | 9             |
|          | ETM+   | 28 November 1999       | 1                     | 9             |
|          | ETM+   | 30 December 2005       | 2                     | 9             |
|          | TM     | 20 December 2010       | 3                     | 9             |
|          | OLI    | 18 December 2015       | 4.49                  | 9             |
|          | OLI    | 24 November 2018       | 7.71                  | 9             |

According to lta.cr.usgs.gov Acquisition Quality 9' = Excellent (no quality issues or errors detected)

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Since appropriate selection of imagery acquisition dates is crucial to minimize chances of encountering unwanted changes induced by differences in sun angles or seasons, images with close anniversary dates with minimal/no cloud cover were chosen for this study. Particularly, presence of healthy green grasses along the patches of targeted evergreen vegetation feature widely intermingled across the landscape during summer thus making the two spectrally alike land cover features hard to delineate. The selection of winter season imagery was expected to improve discrimination of targeted vegetation from soil which becomes exposed due to recession of healthy green grasses and deciduous trees during this time of the year.

All the images were co-registered to ensure sub-pixel geometric alignment to minimize errors produced by miss registration of images during time series analysis. The radiometric calibration was performed to compute radiance images which served as input to Fast Line-of-sight Atmospheric Analysis of Hypercubes (FLAASH) module used for atmospheric correction of downloaded data in ENVI 5.3. Later on, spatial sub setting was applied to resultant geometrically and atmospherically preprocessed multi-temporal surface reflectance data to limit the subsequent analysis within study area extent.

2.4 Image processing & Spectral Mixture Analysis

Spectral Mixture Analysis (SMA) is one of the most widely used remote sensing methods employed to derive fraction covers from mixed pixels [33]. It helps in accurate extraction of quantitative subpixel information [34]. Spectral “unmixing” can be a linear or nonlinear approach. Linear mixture model assumes that every pixel in the image is a mixture of different spectra (known as endmembers) and sensor recorded spectrum is a linear combination of these spectra [35]. The SMA model assumes that image spectra are formed by linear combinations of n pure spectra [36] and expressed as;

\[ R_b = \sum_{i=1}^{n} F_i R_{i,b} + \varepsilon_b \]

\[ \sum_{i=1}^{n} F_i = 1 \]

\( R_b \) is the reflectance in band b, \( R_{i,b} \) is the reflectance for endmember i, in band b, \( F_i \) is the fraction of endmember i, and \( \varepsilon_b \) is the residual error for each band. The SMA model error is estimated for each image pixel by computing the Root Mean Square (RMS).

The endmembers used for spectral unmixing may be reference or library endmembers measured in a laboratory or in field conditions or derived from image itself as image endmembers [37]. As the radiometric and atmospheric correction of the images reduces potential noise, therefore, extraction of endmembers from the calibrated data is preferred [38]. On the contrary, endmembers derived from the laboratory spectra requires a great deal of effort [19].

In this study, soil and vegetation were defined as two endmembers [39]. Then samples of these endmembers were visually selected from the scene by identifying the representative areas of each component based on the knowledge of study area [16]. Vegetation and soil fraction images were derived through independent Linear Spectral Unmixing of Landsat scenes involved in the study. The output composite RGB images, each containing fractional cover of soil, vegetation and associated RMS error image, were visually interpreted to ascertain optimal threshold for separation of pure pixels representing targeted vegetation from soil and other features. The RMS error image provides information about areas of missing or incorrect endmembers.
The pixel value of fraction raster derived by SMA provided essential information about fraction of pixel that contains the endmember material related to the image. When individually added, pixels with brighter tone denote higher fraction values, while darker pixels correspond smaller fraction values of endmember material within each pixel. For example, a pixel value of 0.65 indicates that 65% of the pixel contains endmember material. We used thresholding approach for classification of extracted vegetation fractions (VFs) and classification was refined through iterative process [35]. The threshold values of >0.82, >0.73, >0.72, >0.80, >0.81, >0.83 and >0.82 were used for classification of VF images of 1989, 1993, 1999, 2005, 2010, 2015 and 2018 respectively. A false color RGB fraction image corresponding to each fraction image was produced with Red color assigned to inherent vegetation fraction and Green and Blue colors were designated to soil fraction band. Resultantly, targeted vegetation pixels with unique red color became apparent and easily distinguishable from rest of the features, specifically surrounding soil (which included bare soil, and built-up).

The false color fraction image considerably facilitated better identification of pixels with abundance of vegetation and assisted in effective selection of representative threshold for its separation from distinct soil feature. An associated false color Landsat image was also produced to further assist the interpretability of the fractional cover. For Landsat TM and ETM+, a band combination of 5,4,3 for Red, Green and Blue color guns respectively, helped highlighting vegetation feature present within the image. While, corresponding band combination for Landsat OLI (6,5,4) was chosen to create false color image to serve the same purpose (Fig 3).

This approach facilitated the thresholding process a great deal and it was further refined iteratively. Following this method, all the derived vegetation fractions were categorized into forest and non-forest cover classes. The reclassified VFs were converted into binary raster format A pixel value of 1 was assigned to forest while a value of 0 was allotted to non-forest areas (Fig 4).

Different change detection approaches were applied to produce multiple change maps. These change detection approaches and resultant maps helped better analyze landscape changes from different aspects (Fig 5).

![Fig 3](https://doi.org/10.1371/journal.pone.0226341.g003)
Fig 4. A subset of raster derived from image overlay analysis indicates changes in vegetation cover (1989–2018).

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Fig 5. A subset of raster derived from cross tabulation analysis indicates class-class change (1989–2018).

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A simple band combination applied to stacked images of TM-1989 and OLI-2018 revealed areas with abrupt changes in the vegetation cover. The stacked/composite image containing bands from both dates were used to produce qualitative information about changes in the landscape. The six TM bands (excluding TM band No. 6 i.e. Thermal band) were placed over top of the seven OLI bands spanned over visible and infrared portion of the spectrum. In the composite RGB stacked imaged, red color was assigned to band No. 13 (OLI SWIR 2), Green and Blue colors were designated to band no. 5 (TM SWIR 1) and band no. 8 (OLI Blue), respectively. The areas with increase in vegetation can be observed from distinct bright green color, while areas where vegetation has been removed and characterized by bare soil can be discerned as brighter shades of pink and magenta color. The analysis was performed in ArcGIS 10.5.

A cross tabulation of vegetation fractions derived from corresponding earlier and later date imagery (1989 and 2018) was performed to produce a composite change map. Earlier prepared binary rasters of vegetation fractions from both dates were compared through hard cross-classification approach to identify areas where change in the vegetation cover has occurred. The resultant composite change map enabled to categorize the type of change and provided additional information with respect to the change map produced from overlay of binary vegetation fraction rasters from earlier and later dates. The area and descriptive statistics were computed from quantifiable change maps as well as from fraction rasters to analyze land cover dynamics and associated biomass variations during the period.

2.5 Accuracy assessment
The classification results of latest Landsat 8 OLI scene (November, 2018) were assessed using the sample points collected during field survey. In order to analyze classification performance eighty points from forest and 60 points from non-forest cover classes were tested as referenced points to calculate producer’s accuracy, user’s accuracy, overall classification accuracy and Kappa statistics [40, 41, 42].

2.6 Assessment of forest carbon stock changes & CO₂ emissions/sequestrations
The inventory approach [43] was adopted to measure the difference in carbon stocks averaged between two points in time. In order to convert biomass carbon to CO₂, the tons of carbon were multiplied by a factor of 44/12 [44]. Forest area for each time was calculated from classified images and associated carbon stock was then estimated for all time periods accordingly. As the past forest inventory data (reflecting carbon stock density) was not available for the study area, the carbon stock difference between the intervals was calculated and according to the nature of stock change (positive or negative) corresponding CO₂ emission/sequestration was estimated using the ACS value converted from inventory based AGB [45]. Flow chart of the process is illustrated in Fig 6.

3. Results
The estimated average above ground biomass (AAGB) was 0.145 Kt/ha with 0.072 Kt/ha corresponding carbon stock while average below ground biomass (ABGB) was 0.037 Kt/ha. The calculated producer’s accuracy for forest and non-forest classes was 97% and 95% respectively whereas 96% and 97% were the user’s accuracy values for these classes. Overall classification accuracy was 96% with Kappa coefficient value of 0.92 (S1 Table), Figs 7 and 8 exhibit classified vegetation fraction images while Fig 9 reflects increase or decrease in vegetation. Fig 10 illustrates change between classes over the study period (1989–2018).
The year wise findings regarding forested area along with corresponding cover percentage and carbon stock is given in Table 2 while Fig 11 illustrates the changing trend of forest cover over the study period.

The periodic analysis revealed (Table 3) that major reduction in forest area (1178 ha) occurred during 1989–1999 imposing a negative change in forest cover from 41.82% to 39.32% followed by 949 ha during 1993–1999 with a decrease in forest cover from 39.32% to 37.31%. The minimum and maximum change in forest area over the study period is 218 ha and 1247 ha respectively with mean change of 802.5 ha (Table 4). For first two periods decrease in forest area resulted into decline in forest carbon stock by 84.81 Kt and 68.32 Kt with corresponding CO₂ emissions by 310.40 Kt and 250.05 Kt, respectively (Table 3). However, a net gain of 2688 ha in forest area was observed during 1999–2018 which resulted into an increase in forest cover by 5.71% with 193.54 Kt increase in carbon stock leading to 708.34 Kt of corresponding CO₂ sequestrations (Table 3). The changing trend in forest carbon stock resulting from change in forest area is depicted in Fig 12 while Fig 13 illustrates the changing trend in corresponding CO₂ emissions/sequestrations over the study period. Minimum and maximum change in forest carbon stock is represented by 15.69 Kt and 89.78 Kt with mean stock difference of 57.77 Kt (Table 4). Overall, the increase in forest area accounted for 561 ha resulting into 40.39 Kt increase in corresponding ACS during the study period. Net CO₂ sequestrations (147.84 Kt) surpassed its emissions over a duration of about 25 years (Table 3).

## 4. Discussion

This study provides a comprehensive assessment of forest land cover and biomass carbon stock changes in a subtropical pine forest in AJK. Our estimation for overall average biomass for both components (AGB + BGB) resulted into 0.182 Kt/ha having 0.091 Kt/ha of carbon, over the study period. These results are in line with some studies conducted in close vicinity or same region. For example, Shaheen et al. [46] have reported an average biomass value of 0.192
Similarly, biomass values of 0.237 Kt/ha and 0.186 Kt/ha have been reported by Nizami [47] for Ghoragali and Lehtrar Subtropical Pine (Pinus roxburghii) forests from Punjab, Pakistan, respectively. The forest condition / health may also have an impact on biomass outcome. For example, Jina et al. [48] have reported the carbon stock values ranging from 0.081–0.115 Kt/ha and 0.018–0.034 Kt/ha for non-degraded and degraded Chir pine (Pinus roxburghii) forest from Kuman Central Himalaya, respectively. However, it is well documented that forest carbon stock values are generally site specific and depend on geographic location, type of flora and age of tree stand [49, 50]. For example, in a study of similar nature conducted in sub-tropical to temperate zones of Garhwal Himalaya, Sharma et al. [51] have estimated significantly varying biomass stock values of 0.159 ± 0.016 Kt/ha and 0.298 ± 0.056 Kt/ha for Siwalik Chir Pine (Pinus roxburghii) and Himalayan Chir Pine (Pinus roxburghii) respectively.

Fig 7. Classified vegetation fraction (VF) images (1989–2015).

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Overall, there has been a net increase of 561 ha in forest area with increase of 40.39 Kt in corresponding biomass carbon stock. The sharp reduction in forest area by 2127 ha (11%) during 1989–1999 may be attributed to legalized commercial exploitation of mature trees by the GoAJK to earn revenue coupled with huge dependency of rural communities for timber and fuelwood to meet their needs for construction and heat/cooking at household level. The increase in population also led to increased built up areas in town and villages while forest land encroachment may had a negative impact on forest area and land cover. The land cover changes have been reported to be influenced by the increase in human population [52] such as in Ethiopia, population growth has been reported a dominant cause of land cover change in comparison to other factors [53]. However, regain in forest cover during 1999–2018 can be attributed to a complete ban imposed by the GoAJK on cutting of green trees and launching of reforestation and social forestry programmes/projects in the State. During the study period, State forest department established plantations on 20,442 ha under different development projects with significant success. This not only enhanced protection of existing forests/ regeneration but planting on communal and private lands was encouraged through community organizations and mass awareness. Moreover, from response to a questionnaire distributed...
among the local dwellers as a part of social survey, it was evident that many other social and economic factors have played a notable role in restoring deforestation losses and improving the forest cover. It indicates that family income has increased during last two decades and it has resulted into uplift of living standards. It was revealed that migration trend from villages to big cities like Rawalpindi/Islamabad in seek of quality health and education increased over last two decades and it helped reduce burden on fuel wood and timber consumption. Majority of the respondents were of the view that provision of electricity lead to reduced usage of fuel wood and access to fuel wood and timber alternatives became very convenient with the extension of link roads network. It is believed by 68% of respondents that lowering trend in livestock rearing that declined during last two decades has contributed significantly towards forest cover improvement and that growing trees on private lands in order to meet fuel wood and fodder requirements has increased too over this period. The gain in forest cover substantiates the success of these projects which have been able to convince the local forest dependent communities to promote social/farm forestry and to switch over to alternate sources or substitutes of fuel wood and timber. The areas of vegetation gain and loss can be seen highlighted in geo-linked Landsat images of 1989 and 2018 (Fig 14) and a spectral change image produced through a band composite of Landsat images (Landsat TM -1989 and Landsat OLI -2018)
enables to view the places of vegetation gain, loss and no change (Fig 15) during the study period.

It was encouraging to find that this also follows the recently revealed global trend through the Global Forest Resources Assessment 2015 that during 1990–2015 deforestation has slowed and afforestation has increased globally [54]. Moreover, it is recognized now that the involvement of local stakeholders towards owning and managing forests is increasing [55] and the importance of forest management as a source for combating climate change is also realized worldwide [56].

Table 2. Calculated forest area, forest cover % age and carbon stock.

| Year | Forest Area (ha) | Cover (%age) | Total Carbon Stock (Kt) |
|------|-----------------|--------------|------------------------|
| 1989 | 19701           | 41.82        | 1418.47                |
| 1993 | 18523           | 39.32        | 1333.56                |
| 1999 | 17574           | 37.31        | 1265.32                |
| 2005 | 17792           | 37.77        | 1281.02                |
| 2010 | 18447           | 39.16        | 1328.18                |
| 2015 | 19015           | 40.37        | 1369.08                |
| 2018 | 20262           | 43.01        | 1458.86                |

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5. Conclusion

Remote sensing is a dynamic technological advancement which provides fast, economical and reliable information necessary for spatiotemporal assessment, planning and management of complex natural resources, especially forests. Our endeavor to estimate intervallic forest cover and carbon stock changes for a Subtropical Pine Forest in AJK confirmed an average biomass of 0.181 Kt/ha with corresponding carbon value of 0.091 Kt/ha. Our assessment shows a drastic reduction in forest area by 2127 ha (11%) over a period of one decade (1989–1999) attributed to commercial exploitation of forests at State level, urban built up, heavy dependency of rural communities on forests for timber and fuelwood along with increase in population and forest land encroachment. However, a net gain of 2688 ha in forest area was observed during 1999–2018 attributed to ban on cutting of...
green trees, mass awareness and planting of 20,442 ha under different reforestation and social forestry programmes/projects. We recommend the State forest department to incorporate information regarding carbon stock and CO$_2$ emissions/sequestrations in future inventories through assessment using remote sensing especially Landsat data archive. This information can prove to be very useful while preparing, implementing and monitoring the forest resources development projects intended to protect and conserve forests, check encroachments on forest land and enhance forest carbon stocks with ultimate aim of reducing emissions and increasing sequestrations. We also stress to further promote reforestation and social forestry projects and minimize communities’ dependency on forests through increase in provision of wood substitutes especially for construction and fuelwood.
Fig 14. Areas of prominent change in forest cover (1989 & 2018) with reference to their grid position.

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Fig 15. Composite spectral change image highlights the areas of vegetation gain, loss and no change during the period 1989–2018.

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Supporting information

S1 Fig. Photographs of field activities.
(DOCX)

S2 Fig. Photographs of instruments used for field inventory.
(DOCX)

S1 File. Coordinates of field inventory plots.
(PDF)

S1 Table. Error matrix for Landsat OLI 2018 image classification.
(DOCX)

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