Quantitative systematic review of the associations between short-term exposure to nitrogen dioxide and mortality and hospital admissions

I C Mills, R W Atkinson, S Kang, H Walton, H R Anderson

ABSTRACT

Background: Short-term exposure to NO₂ has been associated with adverse health effects and there is increasing concern that NO₂ is causally related to health effects, not merely a marker of traffic-generated pollution. No comprehensive meta-analysis of the time-series evidence on NO₂ has been published since 2007.

Objective: To quantitatively assess the evidence from epidemiological time-series studies published worldwide to determine whether and to what extent short-term exposure to NO₂ is associated with increased numbers of daily deaths and hospital admissions.

Design: We conducted a quantitative systematic review of 204 time-series studies of NO₂ and daily mortality and hospital admissions for several diagnoses and ages, which were indexed in three bibliographic databases up to May 2011. We calculated random-effects estimates by different geographic regions and globally, and also tested for heterogeneity and small study bias.

Results: Sufficient estimates for meta-analysis were available for 43 cause-specific and age-specific combinations of mortality or hospital admissions (25 for 24 h NO₂ and 18 of the same combinations for 1 h measures). For the all-age group, a 10 µg/m³ increase in 24 h NO₂ was associated with increases in all-cause, cardiovascular and respiratory mortality (0.71% (95% CI 0.43% to 1.00%), 0.88% (0.63% to 1.13%) and 1.09% (0.75% to 1.42%), respectively), and with hospital admissions for respiratory (0.57% (0.33% to 0.82%) and cardiovascular (0.66% (0.32% to 1.01%)) diseases. Evidence of heterogeneity between geographical region-specific estimates was identified in more than half of the combinations analysed.

Conclusions: Our review provides clear evidence of health effects associated with short-term exposure to NO₂ although further work is required to understand reasons for the regional heterogeneity observed. The growing literature, incorporating large multicentre studies and new evidence from less well-studied regions of the world, supports further quantitative review to assess the independence of NO₂ health effects from other air pollutants.

INTRODUCTION

Epidemiological time-series studies have found associations between daily concentrations of ambient nitrogen dioxide (NO₂) and daily mortality and morbidity outcomes.1–7 Whether these associations reflect adverse health effects of NO₂ has been a matter of debate.1 The uncertainty about causality arises largely because of the close correlations between NO₂ and other air pollutants in the mixture of traffic-related pollutants, some of which are more plausible toxicants. This has led to a view that NO₂ may be a surrogate for fine/ultrafine particulate matter (PM) produced by motor vehicles and other combustion sources. Despite this uncertainty, recent narrative reviews of the evidence linking NO₂ with health effects...
concluded that the epidemiological and toxicological evidence regarding the pollutant has sufficiently strengthened the likelihood of a causal relationship.\textsuperscript{1, 3} These reviews did not undertake any quantitative assessment of the recent time-series evidence on NO\textsubscript{2}, but instead relied on existing meta-analyses, with the last comprehensive one based on peer-reviewed papers published up to the beginning of 2006.\textsuperscript{5} Since 2006, the time-series evidence on NO\textsubscript{2} has increased substantially, providing new data from single-city studies and from influential multicity studies from less well-studied locations, for example, Asia,\textsuperscript{8-9} and from regions previously examined, for example, Europe and Canada.\textsuperscript{10-11} The new data on NO\textsubscript{2} will facilitate the calculation of more robust coefficients for a range of age-specific and disease-specific end points and the examination of regional variation and heterogeneity. Such quantitative analyses for NO\textsubscript{2} are lacking but are needed for both hazard and health impact assessments.

We conducted a systematic, quantitative review of the time-series studies of daily NO\textsubscript{2} and daily mortality and hospital admissions indexed in bibliographic databases up to May 2011. In this paper, we focus on single pollutant model results to answer the question of whether the evidence from epidemiological time-series studies suggests adverse associations between short-term exposure to NO\textsubscript{2} and increased numbers of daily deaths and emergency admissions to hospital. We calculated meta-analytic estimates for mortality and hospital admissions for several diagnoses and age groups, by different geographic regions, as well as globally. Whether the associations identified in this review change on adjustment for the effects of co-pollutants is a different question which requires analysis of a subset of the studies presented in this review, that is, studies reporting estimates of NO\textsubscript{2} from single-pollutant and multipollutant models.

A similar review and meta-analysis has been completed for the subset of studies that reported two-pollutant model estimates of NO\textsubscript{2} adjusted for PM. We intend to report those findings in a separate paper.

**METHODS**

The full methodology and a priori protocols governing the identification of studies and effect estimates for our systematic review have been described previously, but a synopsis is provided below.\textsuperscript{6, 12}

**Identification of studies for review**

Bibliographic databases were searched to identify peer-reviewed time-series papers on NO\textsubscript{2} and daily mortality and hospital admissions indexed up to May 2011. The search criteria used are shown in the online supplementary material. Papers suitable for inclusion in the review were identified by scanning titles and abstracts to remove irrelevant citations and then reviewing full papers of remaining citations. The following inclusion criteria were applied: papers must (1) have had a minimum of 1 year of data; (2) be based on the general population; (3) have controlled for important confounding factors, including season and meteorological factors; (4) have reported regression estimates and other quantitative data for analysis.

**Data extraction and coding**

Data from each relevant study were entered into a Microsoft Access database (Microsoft Office 2010, Microsoft Corporation). These included details of each publication (authors, journal name, paper title, year published, etc) and data required for calculating standardised effect estimates expressed as the percentage change (and 95% CI) in the mean number of daily events associated with a 10 \(\mu\text{g/m}^3\) increase in NO\textsubscript{2} concentrations. We entered all outcomes, diagnoses (International Classification of Diseases (ICD) codes), ages and NO\textsubscript{2} concentrations for each reported estimate. We subsequently classified all reported outcomes, diseases and ages into a smaller number of diagnostic categories and age groups to enable meta-analysis of estimates for specific outcome/disease/age combinations.\textsuperscript{6}

Time-series studies often report results for several different time lags (in days) between exposure and health events and vary in the lag for the reported results for outcome/disease/age combinations. To avoid selection bias, we developed an a priori protocol for identifying the principal lag for each outcome/disease/age combination for use in our review. This was the lag highlighted by the author or stated a priori, and if this was not clear, because several lagged estimates were reported, we chose (1) the lag with the highest statistical significance, regardless of the estimate being positive or negative, or (2) the lag with the largest estimate, again, irrespective of its direction.\textsuperscript{6} If only results from cumulative or distributed lag models, that is, lags averaged over several days, were reported in a study, these were used. Further processing of data included the classification of each study into a geographical region, that is, the WHO region, in which the study was conducted (see online supplementary material).

**Statistical analyses**

We applied an a priori protocol for the selection of estimates for meta-analysis to avoid selection bias and duplication of studies from the same population. We gave priority to estimates from multicity studies over estimates from single-city studies and the results from a city appeared only once in a meta-analysis. The protocol also gave priority to the latest publications, the most recent study time periods, and did not take account of estimates being positive or negative (see online supplementary material). We only analysed all-year, single-pollutant model estimates.

Meta-analysis was conducted when \(≥1\) estimates were available for an outcome/disease/age combination—including where a multicity estimate was available—and summary estimates were calculated using a random-effects model.\textsuperscript{13} We used a staged approach to
meta-analysis, with single-city estimates pooled within WHO region prior to the pooled single-city and selected multicity estimates being pooled to produce a global estimate and WHO region-specific summary estimates. Heterogeneity between WHO region summary estimates was assessed using the I² statistic which describes the proportion of the variation in effect estimates attributable to between-study variation rather than chance.\(^{14}\)

Where estimates permitted, meta-analyses were conducted for both 24 and 1 h average NO₂. Finally, we investigated our selected single-city estimates and our pooled single-city and selected multicity estimates for evidence of small study bias using the methods of Begg and Berlin\(^{15}\) and Egger et al.\(^{16}\) We used the trim and fill technique to adjust the global random-effects estimate for small study bias where it was detected.\(^{17}\) This technique estimates and adjusts for the numbers and results of unreported studies. All analyses were conducted in STATA (STATA/SE V.11. StataCorp, Texas, USA).

**RESULTS**

Two hundred and four time-series studies of NO₂ and hospital admissions and mortality indexed in bibliographic databases up to May 2011 were available for review: a list of references is provided in the online supplementary material. Table 1 summarises the numbers of studies stratified by outcome, broad disease categories, WHO region, single-city and multicity study designs, and by averaging time (24 and 1 h). The majority of studies were conducted in Europe, North America and the WHO Western Pacific region and most used 24 h average concentrations of NO₂. There were 125 and 94 studies of mortality and hospital admissions, respectively, and 42 studies used a multicity design.

**Mortality**

Sufficient estimates for meta-analysis were available for seven combinations of all-age, all-cause and cause-specific...
mortality and 24 h NO₂, four of which also provided sufficient estimates for 1 h NO₂ for meta-analysis.

Summary estimates for all-cause and cause-specific mortality are given in figure 1, and for all-cause, all cardiovascular and all respiratory causes, stratified by WHO region in table 2. Estimates used in the meta-analyses for mortality are presented in forest plots in the online supplementary figures S1–S10. A 10 μg/m³ increase in 24 h NO₂ was associated with a 0.71% (95% CI 0.43% to 1.00%) increase in the risk of death from all causes. This summary estimate is based on 31 single-city and multicity estimates selected for meta-analysis from the 84 identified in the review. Positive associations for all cardiovascular (0.88% (95% CI 0.63% to 1.13%)) and all respiratory (1.09% (95% CI 0.75% to 1.42%)) mortality were also observed, and though based on fewer estimates, we found positive summary estimates for mortality from other causes, that is, cardiac disease, ischaemic heart disease, stroke and chronic obstructive pulmonary disease (COPD) including asthma (figure 1).

Evidence of high heterogeneity in WHO region-specific summary estimates was identified in more than half of the mortality combinations (table 2 and see online supplementary table S1). We regarded an I² statistic of ≥50% as being evidence of high heterogeneity. The evidence regarding deaths from cardiac disease, ischaemic heart disease, stroke and COPD including asthma was limited to a small number of WHO regions (see online supplementary table S1). In general, larger summary estimates for mortality were found in Europe and Asia (as WHO regions Europe A, Western Pacific region and multiregion in table 2 and online supplementary table S1). Where available, summary estimates for WHO region America A, that is, USA and Canada, were consistently smaller than those from other WHO regions for all mortality combinations examined for 24 h NO₂.

The available evidence for 1 h NO₂ was smaller in comparison with that for 24 h NO₂. The few available estimates were mainly limited to the broad mortality combinations and, with the exception of all-cause mortality, gave comparable summary estimates (table 2).

| Table 2 | Meta-analysis results for mortality in all ages associated with a 10 μg/m³ increase in NO₂ |
|---------|---------------------------------------------|
| WHO region | NO₂ 24 h | Selected SC/MC* | Random effects (95% CI)‡ | I² (%)§ | NO₂ 1 h | Selected SC/MC* | Random effects (95% CI)‡ | I² (%)§ |
| All-cause, all ages | | | | | | | | |
| Overall** | 72/12 | 5/6 | 0.71 (0.43 to 1.00) | 61.8 | 20/3 | 3/2 | 0.24 (0.00 to 0.49) | 88.2 |
| AMR A | 11/5 | 1/2 | 0.32 (−0.01 to 0.67) | 2/0 | 2/0 | 0.76 (−0.05 to 1.58) |
| AMR B | 1/0 | 1/0 | 0.59 (−0.26 to 1.45) | 2/0 | 2/0 | −0.06 (−0.13, 0.01) |
| EUR A | 40/6 | 14/3 | 0.90 (0.45 to 1.35) | 14/2 | 3/1 | 0.25 (0.10 to 0.40) |
| WPR A | 3/0 | 3/0 | 0.66 (−0.10 to 1.44) | 2/1 | 0/1 | 0.58 (0.21 to 0.94) |
| WPR B | 17/0 | 6/0 | 0.56 (0.18 to 0.94) | – | – | – |
| Multiregion** | 0/1 | 0/1 | 1.23 (0.84 to 1.62) | – | – | – |
| All cardiovascular, all ages | | | | | | | | |
| Overall** | 54/8 | 5/5 | 0.88 (0.63 to 1.13) | 72.7 | 13/2 | 1/2 | 0.85 (0.20 to 1.50) | 0 |
| AMR A | 5/1 | 4/1 | 0.53 (0.38 to 0.67) | – | – | – |
| AMR B | 1/0 | 1/0 | 0.73 (−0.87 to 2.36) | – | – | – |
| EUR A | 30/6 | 10/3 | 1.03 (0.70 to 1.36) | 12/1 | 2/1 | 1.02 (−0.44 to 2.49) |
| WPR A | 1/0 | 1/0 | 0.67 (−0.24 to 1.60) | 1/1 | 0/1 | 0.94 (0.42 to 1.47) |
| WPR B | 17/0 | 7/0 | 0.91 (0.52 to 1.31) | – | – | – |
| Multiregion** | 0/1 | 0/1 | 1.36 (0.89 to 1.83) | – | – | – |
| All respiratory, all ages | | | | | | | | |
| Overall** | 49/8 | 5/5 | 1.09 (0.75 to 1.42) | 14.7 | 16/3 | 1/2 | 1.02 (−0.37 to 2.44) | 87.4 |
| AMR A | 4/1 | 3/1 | 0.54 (−0.02 to 1.10) | – | – | – |
| AMR B | 1/0 | 1/0 | 1.21 (−1.43 to 3.91) | – | – | – |
| EUR A | 29/6 | 9/3 | 1.32 (0.74 to 1.91) | 14/2 | 2/1 | 0.38 (0.17 to 0.59) |
| WPR A | 3/0 | 3/0 | 1.27 (−0.86 to 3.45) | 2/1 | 0/1 | 2.00 (0.89 to 3.12) |
| WPR B | 12/0 | 5/0 | 1.49 (0.47 to 2.52) | – | – | – |
| Multiregion** | 0/1 | 0/1 | 1.48 (0.68 to 2.29) | – | – | – |

*Numbers of SC/MC estimates available from all studies.
†Numbers of SC/MC estimates selected for meta-analysis.
‡Random-effects summary estimate (95% CI) per 10 μg/m³ increase in NO₂.
§I² statistic for heterogeneity between WHO regions.
**Multiregion refers to more than one WHO region. In this table, only one MC study (RMID 313) provided estimates for WHO multiregion for mortality; the WHO regions covered in this study were SEAR B and WPR B.

AMR, Region of the Americas; EUR, European Region; MC, multicity; SC, single-city; SEAR, South East Asian Region; WPR, Western Pacific Region.
Evidence of high heterogeneity in WHO region-specific summary estimates was identified in more than half of the 18 combinations of cause-specific and age-specific hospital admissions and 24 h NO₂ (see online supplementary tables S2 and S3).

The available evidence for 1 h NO₂ and hospital admissions was smaller in comparison but showed positive associations for all combinations examined (see online supplementary tables S2 and S3).

**Small study bias**

Table 3 presents results of our assessment of small study bias in single-city studies within WHO regions and between the pooled single-city and multicity estimates for mortality. We restricted this assessment to the broad mortality combinations as sufficient estimates were available. With the exception of all respiratory mortality, there is little evidence of small study bias: adjustment for the trim and fill technique did not lead to a substantial reduction in the magnitude of the estimates, and there was no change in the summary estimate (or 95% CI) for all cardiovascular mortality. Evidence of small study bias was found in the multicity studies of respiratory mortality despite p values of 0.66 and 0.21 for the Begg and Egger tests, respectively.

**DISCUSSION**

This systematic review identified 204 time-series papers on NO₂ and daily mortality and hospital admissions indexed in bibliographic databases up to May 2011. We undertook a total of 25 meta-analyses for 24 h NO₂ and combinations of all-age, all-cause and cause-specific mortality (7) and for disease-specific and age-specific hospital admissions (18). Eighteen of the 25 combinations were also analysed for 1 h NO₂. Positive associations between short-term exposure to NO₂ and mortality from all-cause and cause-specific diseases and with cardiovascular and respiratory hospital admissions were observed. Overall, there was evidence of high heterogeneity between estimates for WHO regions in more than half of the outcomes examined.

Our quantitative assessment of the literature supports the conclusions of recent narrative reviews of the epidemiological evidence on NO₂ and is also broadly compatible with those from the last comprehensive quantitative review by Anderson et al based on time-series studies published up to the beginning of 2006. The meta-analyses by Anderson et al used only single-city estimates, reflecting the dominance of this study design at the time. Our summary estimates now include a number of multicity studies, which generally applied standardised methods, conducted in different geographical regions of the world, as well as newer results from single-city studies. Our review also reflects a growing literature from outside of North America and Europe, notably from Asia, with 47 studies identified by our literature search up to May 2011. This growth is also

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**Figure 2** Random-effects summary estimates (95% CIs) for cause-specific and age-specific hospital admissions per 10 µg/m³ increase in 24 h NO₂ (COPD, chronic obstructive pulmonary disease).
reflected in meta-analyses focusing on data solely from studies of short-term exposure to air pollution including NO₂ conducted in China. The growth in the time-series literature since Anderson et al permitted an assessment of a broader range of outcomes in major depth, and also provides summary estimates not previously available for some outcomes, for example, cardiovascular admissions. It also enables the calculation of concentration response functions on a more substantial and broader evidence base increasing confidence in estimated concentration response functions which could be used in health impact assessment. The broader geographical representation in time-series studies also supports application of effect estimates for health impact assessment from long-term exposure cohort studies on NO₂ based generally in North America and Western Europe.

We observed high heterogeneity between WHO region-specific estimates in more than half of the end points examined. We hypothesise that these geographical differences may be due to variations in population characteristics and in pollution sources, mixtures and ambient concentrations. However, additional work is required to investigate potential explanations for this heterogeneity. In the meantime, quantification of the health impact of short-term exposure to NO₂ could therefore consider the use of local as well as global summary effect estimates, where a sufficient number of cities have been analysed.

Our review shows some coherence in terms of the direction, magnitude and statistical significance of the associations between mortality and hospital admissions for several diseases examined. There were however much higher estimates for stroke and respiratory mortality than hospitalisation for these diseases. In general, the interpretation of mortality versus admissions is problematic because deaths are coded to the underlying cause whereas admissions are coded to the cause of admission. In the present case, associations between NO₂ and stroke mortality could be explained by effects on respiratory complications rather than on a mechanism related to stroke itself. It would require studies in the same population using associated ICD codes to further investigate this important question. Comparisons between results for mortality and admissions may also reflect systematic variation in the characteristics of the studies selected for each meta-analysis.

This review is, to date, the most comprehensive available for NO₂, having worldwide coverage, examining major outcomes for several diseases and age groups, and providing meta-analytic estimates both globally and for specific geographical regions. A key strength of our review is the protocol-led approach to identifying studies and estimates for use in meta-analysis, which also sought to minimise selection bias in the different stages of the review. One feature of our approach, in common with other quantitative reviews, is the requirement that papers report numerical estimates but some fail to do so. In some instances, authors may only report statistically significant estimates. A further feature of our review is the inevitable time delay due to the necessity for extracting and processing large volumes of data from the studies. In addition, because our review has worldwide coverage and did not place any restriction on language, translation of some papers was necessary. We

| Table 3 | Assessment of bias in single-city studies and in pooled estimates for all-cause, all cardiovascular and all respiratory mortality, in all ages, 24 h NO₂ |
|---|---|---|---|
| All-cause | All cardiovascular | All respiratory |
| Overall estimate no adjustment for bias* | 0.71 (0.43 to 1.00) | 0.88 (0.63 to 1.13) | 1.09 (0.75 to 1.42) |
| Single-city bias† | | | |
| WHO region | EUR A | WPR B | EUR A | WPR B | EUR A | WPR B |
| p Value Begg | 0.87 | 0.57 | 0.18 | 0.65 | 0.68 | 0.05 |
| p Value Egger | 0.41 | 0.86 | 0.16 | 0.89 | 0.10 | 0.23 |
| # Estimates | 14 | 6 | 10 | 7 | 9 | 5 |
| # Trim and fill | 14 | 7 | 10 | 7 | 11 | 5 |
| Pooled single-city estimate after trim and fill‡ | 0.54 (0.31 to 0.77) | 0.60 (0.47 to 0.73) | 1.16 (0.56 to 1.77) |
| Multicity bias§ | | | |
| p Value Begg | 0.82 | 0.93 | 0.66 |
| p Value Egger | 0.003 | 0.12 | 0.21 |
| # Estimates | 11 | 10 | 10 |
| # Trim and fill | 12 | 10 | 15 |
| Overall estimate with adjustment for bias¶ | 0.64 (0.36 to 0.92) | 0.88 (0.63 to 1.13) | 0.80 (0.48 to 1.12) |

*Random-effects overall estimate (95% CI) per 10 μg/m³ NO₂ (24 h) without adjustment for publication bias. 
†Bias in single-city estimates within WHO region: region, Begg’s test p value, Egger’s test p value, number of estimates prior to adjustment for trim and fill technique, number of estimates after adjustment for trim and fill technique. Assessment of bias in single-city estimates within WHO region was not conducted in regions providing <5 estimates. 
‡Overall pooled single-city estimate calculated after application of the trim and fill technique to single-city estimates within WHO region. 
§Bias between pooled single-city estimates and multicity estimates, Begg’s test p value, Egger’s test p value, number of estimates prior to adjustment for trim and fill technique, number of estimates after adjustment for trim and fill technique. 
¶Overall pooled estimate calculated after application of the trim and fill technique to single-city estimates within WHO region and between pooled single-city estimates and multicity estimates. 
EUR, European Region; WPR, Western Pacific Region.
note that studies published since our literature cut-off date continue to provide evidence of positive associations between NO$_2$ and daily mortality and hospital admissions. Recent multiicity studies of NO$_2$ and all-cause mortality reported increases (per 10 µg/m$^3$ NO$_2$) of 2.09% (95% CI 0.96% to 3.24%) in 10 cities in Italy; 29 1.10% (95% CI 0.63% to 1.58%) in 25 cities in Italy; 30 1.63% (95% posterior interval 1.09% to 2.17%) in 17 Chinese cities; and 1.03% ((95% CI 0.91% to 1.18%) per 10 ppb NO$_2$) in 72 US cities. 22 Some of the cities investigated in these newer multiicity studies had already contributed estimates to the present meta-analysis.

The causality of adverse health associations of NO$_2$ is a matter of current debate since there are close correlations between NO$_2$ and other air pollutants in the mixture of traffic-related pollutants, especially PM. 1 The extent of these correlations varies from city-to-city and over time, due to variations in the emission sources (eg, dieselisation of cars and primary production of NO$_2$ by certain particle traps). Multipollutant models have been used to attempt to identify effects of NO$_2$ that may be independent of PM and other co-pollutants. A previous meta-analysis of multipollutant model estimates of NO$_2$ indicated a reduction in the pooled estimate and loss of statistical significance following adjustment for co-pollutants. 23 24 However, recent qualitative assessments suggest that the evidence for independent effects of NO$_2$ is strengthening, but no recent quantitative analysis of multipollutant model estimates of NO$_2$ has been undertaken. 1 3 6 25 The current meta-analysis concentrated on results from single-pollutant models, since these provided the greatest number of cities, but we have completed a similar systematic review and meta-analysis of the subset of studies that reported two-pollutant model estimates of NO$_2$ adjusted for PM. The purpose of this second review was to assess the extent to which the associations identified in this review change on adjustment for the effects of PM. We intend to report those findings in a separate paper.

Our review has established that there are positive and statistically significant associations between short-term exposure to NO$_2$ and mortality and hospital admissions for a range of respiratory and cardiovascular diseases in different age groups. This comprehensive quantitative assessment of the time-series evidence on NO$_2$ forms an important part of understanding whether NO$_2$ contributes to the public health burden of air pollution. Further work is needed to understand reasons for the regional heterogeneity observed and to quantitatively assess the independence of NO$_2$ health effects from other air pollutants.

**Author affiliations**

1 Public Health England, Centre for Radiation, Chemical and Environmental Hazards, Oxfordshire, UK
2 Population Health Research Institute and MRC-PHE Centre for Environment and Health, St George’s, University of London, London, UK
3 MRC-PHE Centre for Environment and Health, King’s College London, London, UK
4 NIHR Biomedical Research Centre at Guy’s and St Thomas’ NHS Foundation Trust and King’s College London, London, UK
5 NIHR Health Protection Research Unit on Environmental Hazards at King’s College London in partnership with Public Health England, London, UK

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Mills IC, Atkinson RW, Kang S, et al. Quantitative systematic review of the associations between short-term exposure to nitrogen dioxide and mortality and hospital admissions. *BMJ Open* 2015;5:e006946. There is an error in figure 1 of this article. All of the estimates and figure title are correct. The corrected figure is below.

**Figure 1**

*BMJ Open* 2015;5:e006946. doi:10.1136/bmjopen-2014-006946corr1