Impact of beam configuration on VMAT plan quality for Pinnacle³ Auto-Planning for head and neck cases

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Abstract

Background: The purpose of this study was to compare automatically generated VMAT plans to find the superior beam configurations for Pinnacle³ Auto-Planning and share “best practices”.

Methods: VMAT plans for 20 patients with head and neck cancer were generated using Pinnacle³ Auto-Planning Module (Pinnacle³ Version 9.10) with different beam setup parameters. VMAT plans for single (V1) or double arc (V2) and partial or full gantry rotation were optimized. Beam configurations with different collimator positions were defined. Target coverage and sparing of organs at risk were evaluated based on scoring of an evaluation parameter set. Furthermore, dosimetric evaluation was performed based on the composite objective value (COV) and a new cross comparison method was applied using the COVs.

Results: The evaluation showed a superior plan quality for double arcs compared to one single arc or two single arcs for all cases. Plan quality was superior if a full gantry rotation was allowed during optimization for unilateral target volumes. A double arc technique with collimator setting of 15° was superior to a double arc with collimator 60° and a two single arcs with collimator setting of 15° and 345°.

Conclusion: The evaluation showed that double and full arcs are superior to single and partial arcs in terms of organs at risk sparing even for unilateral target volumes. The collimator position was found as an additional setup parameter, which can further improve the target coverage and sparing of organs at risk.

Keywords: Auto-planning, VMAT, Single arc, Double arc, Full arc, Partial arc, Plan comparison

Background

Today intensity-modulated radiation therapy is a widely used clinical treatment modality in many countries utilized to achieve improved target dose conformity and better sparing of critical structures [1]. During an iterative process, the objective parameters are adjusted to obtain a clinically acceptable dose distribution. The resulting plan quality is user dependent due to individual experience and optimization strategies. Several approaches for automated treatment planning were developed to overcome this limitation like multi-criteria optimization [2, 3], knowledge-based treatment planning [4], or automated treatment planning in Pinnacle³ (Philips Radiation Oncology Systems, Milpitas, CA, USA) [5, 6]. Several studies have compared automatically with manually generated plans for different entities using intensity or volumetric modulated arc therapy (VMAT). Most of them concluded that Pinnacle³ Auto-Planning offers similar target coverage and improved sparing of organs at risk (OARs) [5, 7–13].

The purpose of this study was to compare automatically generated VMAT plans to determine a superior beam arrangement as preset for Pinnacle³ Auto-Planning. Two evaluation methods were used, one of them was newly developed for this study.

Methods

For this retrospective planning study, the influence of VMAT beam configuration on plan quality was investigated for 20 patients treated for head and neck cancer. Table 1 gives an overview of patient and treatment characteristics. Bilateral and unilateral planning target...
volumes (PTV) were chosen to consider different shapes of target volumes.

**Beam configuration**
The Pinnacle³ Auto-Planning engine (Version 9.10) was used for optimization of VMAT plans. Treatment was planned for an Elekta Synergy Platform® equipped with Agility Head (Elekta Oncology Systems, Crawley, UK).

The VMAT beam configuration was varied (overview and naming see Table 2). For each patient, the clinically accepted treatment plan was evaluated by a physician and served as reference (V2 C15). Different arc types were compared: one single arc (V1 C15), two single arcs (2V1 C15) or one double arc (V2 C15). Two single arcs can be directly created by the user before optimization (2V1 C15) while both arcs are treated equally during

### Table 1 Overview of patient population

| Case | Disease type                  | Treatment concept | Fractionation Scheme | Dose D 95 in Gy | Fractions | Side location |
|------|------------------------------|-------------------|----------------------|----------------|-----------|--------------|
| 1    | SCC, Hypopharynx             | Primary RCT       | 59.4/66/69.3         | 33             | bilateral |              |
| 2    | SCC, Oral cavity            | Adjuvant RT       | 54/66                | 30             | bilateral |              |
| 3    | SCC, Oropharynx             | Adjuvant RT       | 54/66                | 30             | bilateral |              |
| 4    | SCC, Oral cavity            | Adjuvant RCT      | 54/66                | 30             | bilateral |              |
| 5    | SCC, Oropharynx             | Adjuvant RCT      | 60/66                | 30             | bilateral |              |
| 6    | SCC, Oral cavity            | Adjuvant RCT      | 54/66                | 30             | bilateral |              |
| 7    | SCC, Oropharynx             | Adjuvant RCT      | 54/66                | 30             | bilateral |              |
| 8    | SCC, Oral cavity            | Adjuvant RCT      | 54/66                | 30             | bilateral |              |
| 9    | CUP, Oropharynx             | Adjuvant RT       | 52, 7/65, 1          | 31             | bilateral |              |
| 10   | SCC, Oral cavity            | Adjuvant RCT      | 54/66                | 30             | bilateral |              |
| 11   | SCC, Oropharynx             | Adjuvant RT       | 54/66                | 30             | unilateral|              |
| 12   | SCC, Oropharynx             | Adjuvant RCT      | 54/66                | 30             | unilateral|              |
| 13   | SCC, Oropharynx             | Adjuvant RCT      | 60/66                | 30             | unilateral|              |
| 14   | Undifferentiated orbital cancer | Adjuvant RT    | 60/66                | 30             | unilateral|              |
| 15   | SCC, external auditory canal| Adjuvant RT       | 54/66                | 30             | unilateral|              |
| 16   | SCC, occipital skin         | Adjuvant RT       | 54/66                | 30             | unilateral|              |
| 17   | SCC, Oropharynx             | Adjuvant RCT      | 54/66                | 30             | unilateral|              |
| 18   | SCC, Nasal cavity           | Adjuvant RT       | 54/66                | 30             | unilateral|              |
| 19   | SCC, Oral cavity            | Adjuvant RCT      | 60/66                | 30             | unilateral|              |
| 20   | NHL of the parotid gland    | Adjuvant RT       | 40                   | 20             | unilateral|              |

All except one patient (Case #20) were treated with a simultaneously integrated boost technique with 2–3 dose levels

CUP: Cancer of Unknown Primacy, RCT: Radio-Chemo Therapy, RT: Radiotherapy, SCC: Squamous Cell Carcinoma

Disease type, treatment concept, fractionation scheme and tumor side location are described for all 20 patients.

### Table 2 Overview of beam configurations and list of comparisons. Varying beam configurations are displayed on the left part: Single, double and two single arc types, full and partial arc length and different collimator positions were defined and used for comparison. The corresponding list of comparison between the different beam configurations is displayed on the right part

| Name     | Arc type    | Arc length | Collimator position | List of comparisons |
|----------|-------------|------------|---------------------|--------------------|
| V1C15    | Single arc  | Full       | 15°                 | 1. V2C15 vs. V1C15 |
| V2C15    | Double arc  | Full       | 15°                 | 2. V2C15 vs. V2C15 |
| V2C15_part| Double arc  | Partial    | 15°                 | 3. V2C15 vs. V2C15_part |
| V2C15    | Double arc  | Full       | 15°                 | 4. V2C15 vs. V2C40 |
| V2C40    | Double arc  | Full       | 40°                 | 5. V2C15 vs. V2C60 |
| V2C60    | Double arc  | Full       | 60°                 | 6. V2C15 vs. V2C15_60 |
| 2V1C15   | Two single arcs | Full | 15°, 15°           | 7. V2C15 vs. V2C15_345 |
| 2V1C15_60| Two single arcs | Full | 15°, 60°           | 8. 2V1C15_60 vs. V2C15_345 |
| 2V1C15_345| Two single arcs | Full | 15°, 345°          |                     |

Note: Single arc with collimator 15° (V1C15), Full double arc with collimator 15° (V2C15), partial arc (V2C15_part), Two single arcs with different collimator positions (A and B) (2V1_C15), Partial arc with collimator 15° (V2C15_part)
optimization. In contrast, one double arc is generated by
the system during optimization after only one arc was
initially defined by the user (V2C15). The influence of arc
length (full or partial arcs) was evaluated for 10 cases
with unilateral located targets (see Table 1). Start and
stop angles of partial arcs were set depending on the
treated side: 300° to 179° for left sided targets and 181°
to 60° for right sided targets.

The impact of collimator setting was explored for two
targets and double arcs separately. For double arc
technique, the impact of different collimator positions
was additionally investigated (V2C15, V2C40 and V2C60).
The chosen collimator angles (15°, 40°, 60°) are restricted
to the first quadrant. The second quadrant is covered by
the opposed gantry position in a course of a full rotation.
The combination of cold and warm starts is performed. The
chosen collimator positions 15° with 345° was compared to 15°
with 60°. This resulted in the comparisons as listed in
Table 2 (right column).

VMAT optimization
The goals for PTVs and OARs were defined based on
the evaluation parameter set (see Table 3). Planning
structures were created based on desired target coverage
and OAR sparing. For simultaneous integrated boost
techniques, the difference of target volumes and plan-
ning organs at risk was calculated to avoid overlapping
structures. The Auto-Planning goals of the clinically ac-
cepted treatment plan served as reference for the other
techniques. For comparability, target and OAR goals,
dose grid and structure definition remained the same for
different beam configurations of one patient case. The
dose distribution was calculated with collapsed cone al-
gorithm, dose grid resolution was set to 2 mm and a
control point spacing of 4° was used. In the Pinnacle
Auto-Planning module advanced setting parameters
were not changed (tuning balance between PTV and
OARs 11%, dose fall-off margin 2,2 cm, hot-spot max-
imum goal 107%). Once the Auto-Planning run was
completed, no further adjustment or re-optimization
were performed.

Auto-Planning tries to mimic the decision-making
process of an experienced operator. During
Auto-Planning, individual optimization objectives, con-
straints and weights are automatically added and ad-
justed based on the user defined clinical goals. Structures of hot and cold spots are created to compen-
sate for over and under dosage. The Auto-Planning
module adjusts iteratively the objective set to best meet
the planning goals. During optimization, a certain com-
bination of cold and warm starts is performed. The
resulting objective set represent in detail the desired
shape of the dose volume histogram (DVH). Neverthe-
less, each optimization process for any
patient-beam-configuration ends potentially in different
sets of objectives, because Auto-Planning identifies weak
points of the individual plans and tries to compensate
them by appropriate additional objectives. For each plan,
the composite objective value (COV) is calculated. The
COV describes the weighted sum of quadratic deviations
of the objective values and the related points in the dose
volume histogram. In other words, the COV expresses
how good the requirements were fulfilled (target cover-
age and OAR sparing). The optimization process mini-
mizes COV.

Dosimetric evaluation
Plan evaluation was based on two approaches: (i) scoring
of evaluation parameters and (ii) indirect comparison of
the COV using a new cross comparison method. The clin-
ically accepted treatment plan served as reference (V2C15)
for both evaluation methods. The comparison was done
for a set of treatment plans for the same patient only.

For the scoring method, an evaluation parameter set
was defined in consensus by the physicians of our insti-
tution and is considered as standard evaluation set
(Table 3). For each patient, the dose limits were scaled
according to the prescription dose (D95) in Table 1. Each
plan was scored depending on how many requirements
were met in the evaluation parameter set. All require-
ments were equally weighted. The sum of all scores was
calculated for each plan. If a plan fulfilled the require-
ment, this plan achieved the score (see Table 4). If both
plans met the requirement and the deviation was more
than 1%, the better plan achieved the score. If the devi-
ation was less than 1%, no trial achieved the score (tie).
If both trials failed the requirement, the better plan
achieved the score.

The second approach compared indirectly the COVs
of two plans. The principle of cross comparison is illus-
trated in a flowchart in Fig. 1. During the optimization
step, each technique A creates its own plan A and its
corresponding set of objectives S_A. For the evaluation
step, the assessment results are expressed by COV(A,
S_A). As illustrated in Fig. 1, plan A (generated using
technique A) is subjected to its own objective set S_A
resulting in COV(A, S_A). Similarly, plan B creates its
own objective set S_B, resulting in COV(B, S_B). The values
COV(A, S_A) and COV(B, S_B) cannot be compared di-
rectly because the COVs were calculated based on differ-
ent objective sets (S_A and S_B). Therefore we propose a
cross comparison method; the measures S_A and S_B are
mutually applied:

Technique A is allowed to set the conditions S_A
for assessment of plan B leading to COV(B, S_A). A symmetric

Technique B is allowed to set the conditions S_B
for assessment of plan A leading to COV(A, S_B). A symmetric

situation is effected when also Technique B is allowed to set its valuation standard $S_B$ resulting in COV(A, $S_B$). Finally, each of both plans A and B is evaluated with two parameter sets ($S_A$ and $S_B$). As long as each plan wins only according to its own objective set, no decision can be made on which plan is superior (COV(A, $S_A$) < COV(B, $S_A$) and COV(B, $S_B$) < COV(A, $S_B$)). In contrast, if plan A wins according to both objective sets $S_A$ and $S_B$, it can clearly be regarded as the better technique: Plan A is better according to its own measure and it is better even if plan B sets the assessment scale. In other words, plan quality of plan A is superior to plan B, if both values of COV(A, $S_A$) and COV(A, $S_B$) are lower than COV(B, $S_B$) and COV(B, $S_A$). We denote “plan A is superior to plan B” as proposition “$F_{A > B}$”; it is true, if

| Structure        | DVH Parameter | Dose Limit in relation to $D_{95}$ | Absolute Dose Limit in Gy |
|------------------|---------------|------------------------------------|---------------------------|
| PTV1             | $D_{95}$      | ± 2%                               | 69.30                     |
|                  | $D_{90}$      | > 0.95 $D_{95}$                     | 65.8                      |
|                  | $D_{min}$     | > 0.9 $D_{95}$                      | 62.4                      |
|                  | STD           | < 3.3%                             | 2.3                       |
| PTV2             | $D_{95}$      | ± 2%                               | 66.00                     |
|                  | $D_{90}$      | > 0.95 $D_{95}$                     | 62.7                      |
|                  | $D_{min}$     | > 0.9 $D_{95}$                      | 59.4                      |
|                  | STD           | < 3.3%                             | 2.2                       |
| PTV3             | $D_{95}$      | ± 2%                               | 59.40                     |
|                  | $D_{90}$      | > 0.95 $D_{95}$                     | 56.4                      |
|                  | $D_{min}$     | < 0.9 $D_{95}$                      | 53.5                      |
|                  | STD           | < 3.3%                             | 2.0                       |
| SpinalCanal      | $D_{1cm}$     | <                                   | 45                        |
| BrainStem        | $D_{1cm}$     | <                                   | 45                        |
| Left Parotid     | $D_{66}$      | <                                   | 20                        |
|                  | $D_{mean}$    | <                                   | 26                        |
| Right Parotid    | $D_{66}$      | <                                   | 20                        |
|                  | $D_{mean}$    | <                                   | 26                        |
| Larynx           | $D_{OS}$      | <                                   | 69                        |
|                  | $D_{OS}$      | <                                   | 45                        |
| Mandible         | $D_{OS}$      | <                                   | 69                        |
|                  | $D_{10}$      | <                                   | 60                        |
|                  | $D_{50}$      | <                                   | 52                        |
| Neck             | $D_{max}$     | <                                   | 40                        |
| Left Lens        | $D_{OS}$      | <                                   | 9                         |
| Right Lens       | $D_{OS}$      | <                                   | 9                         |
| Left OpticNerve  | $D_{OS}$      | <                                   | 50                        |
| Right OpticNerve | $D_{OS}$      | <                                   | 50                        |
| Chiasm           | $D_{OS}$      | <                                   | 50                        |
| Pituitary        | $D_{OS}$      | <                                   | 50                        |
| Left InnerEar    | $D_{OS}$      | <                                   | 30                        |
| Right InnerEar   | $D_{OS}$      | <                                   | 30                        |
| Outline          | $D_{max}$     | < $1.15$ $D_{95}$                   | 79.7                      |

Definition of an evaluation parameter set for an integrated boost technique with three dose levels (PTV1, PTV2, PTV3). Requirements for target coverage and dose homogeneity are described by DVH parameters, relative and absolute dose limits scaled according to the prescription dose $D_{95}$ of each PTV (top part). DVH parameters and absolute dose limits are listed for organs at risk (bottom part). $D_{min}$ Minimum Dose, $D_{max}$ Maximum Dose, $D_{mean}$ Mean Dose, STD Standard deviation, $D_X$ Volume $X$ is covered by the dose value, PTV Planning target volume.
Re-arrangement of Eq. 1 leads to:

\[ 1 < \frac{\text{COV}(B, S_B)}{\text{COV}(A, S_A)} \cap 1 < \frac{\text{COV}(B, S_B)}{\text{COV}(A, S_A)} \]  

(2)

An inversion of the left part of Eq. 2 results in

\[ \frac{\text{COV}(A, S_A)}{\text{COV}(B, S_B)} < 1 < \frac{\text{COV}(B, S_A)}{\text{COV}(A, S_A)} \]  

(3)

which is a reformulation of \( F_{A > B} \).

The difference of scoring was tested using Wilcoxon matched-pair signed rank test. The level of significance was set at 0.05. Plan A is superior to plan B as soon as one of two evaluation methods shows a significant difference between the techniques.

Additional parameters were assessed to investigate how the beam arrangement affects the plan metrics: monitor units (MU), delivery time (T), Paddick conformity index (CI).

**Results**

First, the plan quality was compared for single and double arc techniques (V1\(_{C15}\) vs. V2\(_{C15}\)). The results of the Wilcoxon Rank test are listed in Table 5. Plan quality differed significantly between V1\(_{C15}\) and V2\(_{C15}\) with \( p < 0.05 \) for both evaluation methods (scoring and COV based).

Figure 2a shows the COV ratios, expressing that V2\(_{C15}\) is preferable to V1, if \( F_{V2 > V1} \) is true:

\[ F_{V2 > V1} = \left[ \frac{\text{COV}(V2, S_{V1})}{\text{COV}(V1, S_{V1})} < 1 < \frac{\text{COV}(V1, S_{V2})}{\text{COV}(V2, S_{V2})} \right] \]  

(4)

The evaluation of COV showed a superior plan quality of V2\(_{C15}\) compared to V1\(_{C15}\) for all cases because the first term in eq. 4 is below 1 (open circles in Fig. 2a) and at the same time the second term greater than 1 (filled circles).

Table 6 shows the change of plan metrics for V1\(_{C15}\) compared to V2\(_{C15}\). A decrease in delivery time (24% on average) and monitor units (−9%) was observed. For V1\(_{C15}\) the conformity was reduced by 4% on average.

In the second comparison, it was tested whether better plan quality can be achieved by a double arc or two single arcs (V2\(_{C15}\) vs. 2V1\(_{C15}\)). The \( p \)-values in Table 5 indicate that V2\(_{C15}\) and 2V1\(_{C15}\) plans are significantly different. The results of the COV method are shown in Fig. 2b. Improved plan quality was observed for the double arc technique V2\(_{C15}\) in 18 out of 20 (90%) cases.
The difference of COV values is minimal for case numbers 1 and 5. Similar plan quality could be achieved for these cases. The monitor units decreased by 5% on average while the delivery time increased by 4% on average. For 2V1C15 the conformity was reduced by 3% on average.

Table 5 Summary of plan quality

| Comparison of techniques | p-value | Superior technique |
|--------------------------|---------|-------------------|
| V2C15 vs. V1C15          | 0.00009 * | V2C15             |
| V2C15 vs. 2V1C15         | 0.00012 * | V2C15             |
| V2C15 vs. V2C15 Part     | 0.0047   | V2C15             |
| V2C15 vs. V2C40          | 0.12     | V2C15             |
| V2C15 vs. V2C60          | 0.00078 * | V2C15             |
| V2C15 vs. V1C15,60       | 0.43     | V2C15             |
| V2C15 vs. V1C15,345     | 0.01 *   | V2C15             |
| V2C15 vs. V2C15,60,345   | 0.0051 * | V2C15,60,345      |

Techniques with different beam configurations were compared with regard to plan quality using COV and scoring method. The p-value of the Wilcoxon signed rank test shows if the techniques differed significantly and the superior technique is listed.

*Significant differences are marked (p < 0.05)

The difference of COV values is minimal for case numbers 1 and 5. Similar plan quality could be achieved for these cases. The monitor units decreased by 5% on average while the delivery time increased by 4% on average. For 2V1C15 the conformity was reduced by 3% on average.

Table 6 Summary of plan metrics

| Comparison of techniques | ∆MU/Gy in % | ∆T in % | ∆CI in % |
|--------------------------|-------------|---------|----------|
| V2C15 vs. V1C15          | −9.0 ± 2.3  | −24.4 ± 12.4 | −4.2 ± 3.9 |
| V2C15 vs. 2V1C15         | −4.9 ± 2.8  | 4.4 ± 13.0  | −3.0 ± 2.7 |
| V2C15 vs. V2C15 Part     | −3.4 ± 2.3  | −15.9 ± 16.2 | −2.4 ± 3.7 |
| V2C15 vs. V2C40          | −1.8 ± 4.2  | 7.4 ± 23.8  | −0.5 ± 1.3 |
| V2C15 vs. V2C60          | −0.3 ± 3.4  | 61.5 ± 63.8 | −1.7 ± 2.1 |
| V2C15 vs. V1C15,60       | −1.5 ± 3.2  | 5.0 ± 35.5  | −0.4 ± 2.1 |
| V2C15 vs. V1C15,345     | −1.5 ± 2.9  | 29.2 ± 12.0 | −1.5 ± 2.5 |
| V2C15 vs. 2V1C15,60,345 | −1.5 ± 2.9  | 29.2 ± 12.0 | −1.5 ± 2.5 |

Techniques with different beam configurations were compared with regard to plan metrics. The deviation of monitor units (MU), delivery time (T) and Paddick Conformity Index (CI) were calculated between each plan version and the reference plan (V2.C15). For all patients, the mean and standard deviation are shown for comparisons #1–7.

In the third comparison, the influence of arc length on plan quality was investigated for full and partial arcs (V2C15 vs. V2C15,Part). A significant difference was found between V2C15 and V2C15,Part. The graphical illustration of COV ratios is shown in Fig. 2c. In 6 out of 10 cases the plan quality was better if a full gantry rotation was allowed during optimization. Similar plan

Fig. 2 Comparison of plan quality with the COV method. Plan quality was evaluated and COV ratios for comparison #1–3 are shown. Plan quality of V2C15 is superior if the first COV ratio is below 1 (open circles) and the second COV ratio is greater than 1 (filled circles). a COV ratios for comparison #1: single arc (V1C15) and double arc (V2C15) for 20 patients. b COV ratios for two single arcs with collimator rotation (2V1C15) and double arc (V2C15) for 20 patients. c COV ratios for full (V2C15) and partial arcs (V2C15,Part) for 10 patients with unilateral target volumes.
quality could be achieved for cases numbers 13, 14 and 20 using full and partial arcs. For \( V_{2\text{C15}} \), delivery times were shorter (16% on average) and conformity was reduced by 2.4% on average. The change in monitor units was minimal (−3%).

The fourth and fifth comparison explored how collimator setting affects plan quality. Based on the COV evaluation, a double arc technique with collimator setting of 15° (\( V_{2\text{C15}} \)) was superior to double arc with collimator 60° (\( V_{2\text{C60}} \)) in 6 out of 20 (30%) cases. Similar plan quality was achieved in 11 cases. The Wilcoxon test showed that plan quality differed significantly \((p = 0.0008 \text{ and } p = 0.008)\) for both evaluation methods (scoring and COV based) between \( V_{2\text{C15}} \) and \( V_{2\text{C60}} \). No significant difference was found comparing \( V_{2\text{C15}} \) with \( V_{2\text{C40}} \). Plan quality of \( V_{2\text{C15}} \) was superior in 7 out of 20 (35%) cases while \( V_{2\text{C40}} \) was better in 6 out of 20 (30%) cases. As shown in Table 6, larger deviations in delivery time and conformity index were observed for comparison 5 (\( V_{2\text{C15}} \) vs. \( V_{2\text{C60}} \)). The decrease of conformity was 0.5% on average in comparison 4 (\( V_{2\text{C15}} \) vs. \( V_{2\text{C40}} \)).

In comparison 6 and 7, the two collimator settings (\( 2V_{1\text{C15,60}} \) and \( 2V_{1\text{C15,345}} \)) were compared to the reference plan (\( V_{2\text{C15}} \)). Plan quality of \( V_{2\text{C15}} \) differed significantly from \( 2V_{1\text{C15,345}} \). \( V_{2\text{C15}} \) was superior in 14 of 20 cases. Plan metrics differed by −1.5%, 29% and −1.5% for monitor units, delivery time and conformity index (Table 6). The Wilcoxon test showed no significant difference between \( 2V_{1\text{C15,60}} \) and \( V_{2\text{C15}} \). The change of monitor units was −1.5% on average. The conformity index deviated by −0.4%. Similar plan quality could be achieved for \( 2V_{1\text{C15,60}} \) and \( V_{2\text{C15}} \).

Additionally, the two single arcs were compared with each other in comparison 8 (Table 5). \( 2V_{1\text{C15,60}} \) was superior against \( 2V_{1\text{C15,345}} \) in 14 of 20 cases.

The correlation of scoring and COV method is shown in Fig. 3. The \( p \)-values from the Wilcoxon test are plotted in logarithmic scaling. A strong correlation between the two evaluation methods was found with a correlation coefficient of 0.91 and a higher sensitivity of the COV method.

**Discussion**

In this work, it was investigated which VMAT beam configuration shows superior plan quality in head and neck cancer patients. The double arc technique resulted in an improved plan quality compared to one single arc or two single arcs. This superiority of double arc VMAT plans compared with single arc in terms of PTV coverage and OAR sparing was also confirmed in other planning studies for head and neck cases [14–17]. Guckenberger et al. showed that multiple arc VMAT improved the plan quality compared to single arc VMAT at cost of increased delivery times, increased monitor units and increased spread of low doses [14]. Tol et al. have quantitatively demonstrated increased plan quality when more than two arcs are used. They concluded that the four arc plans seemed to provide a good trade-off between increased delivery time and improved plan quality [15].

We investigated if there is a difference in plan quality using double arc or two single arcs. Plan quality was superior for the double arc technique for the same collimator setting. This may be due to how the two arcs are handled during optimization and sequencing. As already suggested by Yang et al., the definition of two single arcs before optimization allows the user to configure the collimator position of the second arc different from the first arc [18]. The collimator position is an additional setup parameter which can further improve plan quality.

![Fig. 3](image-url) Comparison of the scoring method and COV method. The correlation of \( p \)-values derived from Wilcoxon test is plotted in logarithmic scaling. Significance level (dashed line) and corresponding quadrants are shown.
the current investigation, it was found that a collimator setting of 15° and 60° should be preferred to a collimator setting of 15° and 345° when two single arcs are used.

Similar plan quality and smallest deviation of conformity was observed for a double arc technique with collimator setting 40° (V2C40) and for a two single arc technique with collimator setting of 15° and 60° (2V1C15_60). The superior collimator position potentially depends on the individual target volume shape and complexity. If the relationship between target volume complexity and corresponding superior beam arrangement would be known, the superior beam arrangement could be chosen based on the complexity index of the target volume. This can potentially save time during the treatment planning process.

The current investigation of the arc length showed that full arcs were superior to partial arcs. Contrary to expectation for using partial arcs, the irradiation across the ipsilateral side and sparing of the healthy side would provide benefits; the full rotation is the superior technique. Perhaps, this is due to the additional degree of freedom. In previous studies different arrangements of full and partial arcs were compared for the treatment of head and neck cases [18, 19]. Miura et al. found that partial arcs were comparable with the full-arc plans regarding dose homogeneity and conformity in maxillary cancer and provided a statistical decrease in mean dose to OAR, total MU, delivery time and gantry angle error [19]. Yang et al. stated that it will be challenging to generate partial arc plans for complicated cases [18].

Furthermore, a new cross comparison method was introduced in this study to allow a simple comparison for plans that use different sets of assessment scales, derived during the optimization process of each plan. Its results were similar to that of a classical method of scoring of an evaluation parameter set; cross comparison seems to be applicable especially for Auto-Planning settings. The COV method is more sensitive than the scoring method.

Limitations
The current work was limited to an evaluation of single and double arc techniques based on patient cases for head and neck cancer. Further improvement of plan quality would be expected for using a larger number of arcs or non coplanar beam arrangements. Multiple arc VMAT showed improved plan quality compared to single arcs at cost of increased delivery times and monitor units [14, 15].

As Teoh et al. already mentioned, direct comparisons between different studies are difficult because of significant differences in target volume definitions, target complexity, dose prescription and treatment schedules [20]. An additionally impact will have the treatment planning system and the implemented algorithms for VMAT optimization and segmentation.

Conclusion
The impact of different beam configurations on plan quality using Pinnacle3 Auto-Planning module was investigated in this study. Quantitative evaluation showed that double arc and full rotation are superior to single arc and partial rotation techniques in terms of organs at risk sparing even for unilateral target volumes. The collimator position was found as an additional setup parameter which can further improve the target coverage and sparing of organs at risk.

Abbreviations
2V1C_A_B: Two single arcs with different collimator positions (A and B); CI: Conformity Index; COV: Composite objective value; DVH: Dose volume histogram; OAR: Organ at risk; PTV: Planning target volume; S: Set of objectives; SIB: Simultaneous integrated boost; T: Delivery Time; V1C15: Single arc with collimator 15°; V2C15: Full double arc with collimator 15°; V2C15_Part: Partial arc with collimator 15°; VMAT: Volumetric modulated arc therapy

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AR, SW, KB and FE designed the study. AR, SW, KB, FE, BP and MF participated in the data collection and analysis. All authors performed critical review of the manuscript and finally approved the manuscript.

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All authors declare that they have no competing interests.

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