Chapter 1

Thermal Effects in Dense Matter
Beyond Mean Field Theory

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The formalism of next-to-leading order Fermi Liquid Theory is employed to calculate the thermal properties of symmetric nuclear and pure neutron matter in a relativistic many-body theory beyond the mean field level which includes two-loop effects. For all thermal variables, the semi-analytical next-to-leading order corrections reproduce results of the exact numerical calculations for entropies per baryon up to 2. This corresponds to excellent agreement down to subnuclear densities for temperatures up to 20 MeV. In addition to providing physical insights, a rapid evaluation of the equation of state in the homogeneous phase of hot and dense matter is achieved through the use of the zero-temperature Landau effective mass function and its derivatives.

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1. Introduction

Core-collapse supernovae, neutron stars from their birth to old age, and binary mergers involving neutron stars all pass through stages in which there are considerable variations in the baryon density, temperature, and lepton content. Simulations of these astrophysical phenomena involve general relativistic hydrodynamics and neutrino transport with special relativistic effects. Convection, turbulence, magnetic fields, etc., also play crucial roles. The macroscopic evolution in each case is governed by microphysics involving strong, weak and electromagnetic interactions. Depending on the baryon density $n$, temperature $T$, and the lepton content of matter (characterized by $Y_{Le} = n_{Le}/n$ when neutrinos are trapped or by the net electron concentration $Y_e = n_e/n$ in neutrino-free matter), various phases of matter are encountered. For sub-nuclear densities ($n < \sim 0.1 \text{ fm}^{-3}$) and temperatures $T < \sim 20 \text{ MeV}$, different inhomogeneous phases are encountered. A homogeneous phase of nucleonic and leptonic matter prevails at near- and supra-nuclear densities ($n > \sim 0.1 \text{ fm}^{-3}$) at all temperatures. With progressively increasing density, homogeneous matter may contain hyperons, quark matter and Bose condensates.

Central to an understanding of the above astrophysical phenomena is the equation of state (EOS) of matter as a function of $n$, $T$, and $Y_{Le}$ (or $Y_e$) as it is an integral part of hydrodynamical evolution, and controls electron capture and neutrino interactions in ambient matter. The EOS of dense matter has been investigated in the literature extensively, but for the most part those for use in the diverse physical conditions of relevance to astrophysical applications have been based on mean field theory in both non-relativistic potential or relativistic field-theoretical approaches. A recent article honoring Gerry Brown reviews the current status and advances made to date in the growing field of neutron star research [1].

The objective of this work is to assess the extent to which the model independent formalism of Fermi Liquid Theory (FLT) [2] is able to accurately describe thermal effects in dense homogeneous nucleonic matter under degenerate conditions for models beyond mean field theory (MFT). Recently, a next-to-leading order (NLO) extension of the leading-order FLT was developed in Ref. [3] incorporating its relativistic generalization in Ref. [4]. The FLT+NLO formalism was applied to non-relativistic potential models with contact and finite-range interactions as well as to relativistic models of dense matter at the mean field level in Ref. [3]. Excellent agreement with the results of exact numerical calculations for all thermal variables was
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found with the semi-analytical FLT+NLO results. In this contribution, we present similar excellent agreement with the exact numerical results of a relativistic field-theoretical model beyond the MFT level that includes two-loop (exchange) effects recently reported in Ref. [5]. The gratifying result is that the FLT+NLO formalism extends agreement with the exact numerical results for all $n$ and $T$ for which the entropy per baryon $S \leq 2$. This means that, for $T \lesssim 20$ MeV, the method can adequately describe state variables down to a density of $\sim 0.1$ fm$^{-3}$. For densities below $\sim 0.1$ fm$^{-3}$, inhomogeneous phases occur for which a separate treatment is required. This development not only provides a check of time-consuming many-body calculations of dense matter at finite temperature, but also serves to accurately (and, to rapidly) calculate thermal effects from a knowledge of the zero-temperature single-particle spectra for $S$ up to 2 for which effects of interactions are relatively important.

The organization of this contribution is as follows. In Sec. 2, we summarize the NLO formalism of FLT recently developed in Ref. [3]. Section 3 contains a brief description of the relativistic field-theoretical model that extends mean-field theory (MFT) to include two-loop (TL) effects as implemented in Ref. [5]. Working formulas required for the evaluation of the degenerate-limit thermal effects (in particular, expressions for the single particle spectra) are given in this section which also includes our results and associated discussion. A summary of our work along with conclusions are in Sec. 4. Personal tributes to Gerry Brown from two of the authors (Constantinou and Prakash) form the content of Sec. 5.

2. Next-to-Leading Order Fermi Liquid Theory

The thermodynamics of fermion systems entails evaluation of integrals of the type

$$I = \int_0^\infty dp \frac{g(p)}{1 + \exp \left( \frac{\epsilon(p,n) - \mu}{T} \right)},$$

(1)

where $T$ is the temperature, $\mu$ is the chemical potential, and $\epsilon$ is the single-particle spectrum of the underlying model. The functional form of $g(p)$ is particular to the state property in question. Equivalently, we can write

$$I = \int_0^\infty dy \frac{\phi(y)}{1 + \exp(y - \eta)},$$

(2)
where

\[ y = \frac{\epsilon(p, n) - U(n)}{T}, \quad \eta = \frac{\mu - \epsilon(p = 0, n)}{T} \] (3)

\[ \phi(y) = \frac{M(p)Tg(p)}{p}, \quad M(p) = p \left( \frac{\partial\epsilon}{\partial p} \right)^{-1} \] (4)

Above, \( U(n) \) is inclusive of all those terms in the spectrum which depend only on the density \( n \). The Landau effective mass function, \( M(p) \), and its derivatives with respect to momentum \( p \) play crucial roles in determining the thermal effects.

In the degenerate limit, characterized by large values of the parameter \( \eta \), Sommerfeld’s Lemma

\[ T^{\eta>1} \int_0^\eta \phi(y) \, dy + \frac{\pi^2}{6} \left. \frac{d\phi}{dy} \right|_{y=\eta} + \frac{7\pi^4}{360} \left. \frac{d^3\phi}{dy^3} \right|_{y=\eta} + \ldots \] (5)

can be used for the approximate evaluation of such integrals. Truncation of the series at the first term recovers results for cold matter; the second term produces the familiar Fermi Liquid Theory (FLT) corrections and the third term represents the next-to-leading order (NLO) extension to FLT. Owing to the asymptotic nature of the Sommerfeld formula, the expansion will, in general, diverge at higher orders unless all terms are retained.

The number density of single-species fermions with \( \gamma \) internal degrees of freedom in 3 dimensions is (throughout we use units in which \( \hbar = 1 \))

\[ n = \frac{\gamma}{2\pi^2} \int dp \frac{p^2}{1 + \exp \left( \frac{\epsilon(p, n)}{T} \right)} \] (6)

In the present context, we take \( n \) to be an independent variable as is appropriate for a system that does not exchange particles with an external reservoir but whose total volume is allowed to change. Thus

\[ n(T = 0) = n(p_F) = \frac{7\pi^4}{6\eta^4} = n(T) \approx n(p_\mu), \] (7)

where \( p_F \) is the Fermi momentum and \( n(p_\mu) \) is the result of Eq. (6) evaluated according to Eq. (5). Perturbative inversion of Eq. (7) leads to

\[ p_\mu = p_F \left[ 1 - \frac{\pi^2 m^*T^2}{6 p_F^2} \left( 1 + \frac{p_F}{m^*} \left. \frac{dM}{dp} \right|_{p_F} \right) + \ldots \right], \] (8)

where

\[ m^* = M(p = p_F) \] (9)
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is the Landau effective mass. The combination of Eq. \[8\] with the Sommerfeld expansion of the entropy density, formally given by

\[
s = \frac{\gamma}{2\pi^2} \int dp \, p^2 \left\{ f(p) \ln f(p) - [1 - f(p)]\ln[1 - f(p)] \right\} \tag{10}
\]

\[
f(p) = \frac{1}{1 + \exp \left[\frac{\epsilon(p) - \mu}{T}\right]} \tag{11}
\]
yields an expression for \(s\) in terms of quantities defined on the Fermi surface:

\[
s = \frac{\gamma p_F m^* T}{6} - \frac{\gamma \pi^2 m^* T^3}{15} \left(1 - L_F\right) \tag{12}
\]

\[
= 2aT - \frac{16}{5\pi^2} a^3 nT^3 (1 - L_F), \tag{13}
\]

where \(a = \pi^2 m^*/(2p_F^2) = \pi^2/(4T_F)\) is the level density parameter with \(T_F\) denoting the Fermi temperature, and

\[
L_F \equiv \frac{7}{12} \left(\frac{p_F}{m^*}\frac{\partial M}{\partial p}\bigg|_{p_F}\right)^2 + \frac{7}{12} \frac{p_F^2}{m^*} \left(\frac{\partial^2 M}{\partial p^2}\bigg|_{p_F}\right) + \frac{3}{4} \frac{p_F}{m^*} \frac{\partial^2 M}{\partial p^2} \bigg|_{p_F}. \tag{14}
\]

Then the entropy per particle is the simple ratio \(S = s/n\) whereas the thermal energy, pressure and chemical potential are obtained via Maxwell’s relations (the integrals below are performed at constant density):

\[
E_{th} = \int T \, dS = aT^2 - \frac{12}{5\pi^2} a^3 T^4 (1 - L_F) \tag{15}
\]

\[
P_{th} = -n^2 \int \frac{ds}{dn} \, dT = \frac{2}{3} aT^2 - 8 \frac{n}{5\pi^2} a^3 nQT^4 \left(1 - L_F + \frac{n}{2Q} \frac{dL_F}{dn}\right) \tag{16}
\]

\[
\mu_{th} = -\int \frac{ds}{dn} \, dT = -a \left(1 - \frac{2Q}{3}\right) T^2 + 4 \frac{n}{5\pi^2} a^3 T^4 \left[(1 - L_F)(1 - 2Q) - n \frac{dL_F}{dn}\right], \tag{17}
\]

where

\[Q = 1 - 3 \frac{n}{2m^*} \frac{dm^*}{dn}. \tag{18}\]

Other quantities of interest such as the specific heats at constant volume and pressure, and the thermal index are given by standard thermodynamics:

\[
C_V = T \frac{dS}{dT} \bigg|_n = 2aT - \frac{48}{5\pi^2} a^3 T^3 (1 - L_F) \tag{19}
\]

\[
C_P = T \frac{dS}{dT} \bigg|_P = 2aT + T \left(\frac{\partial P_{th}}{\partial n}\right)_T \frac{n}{n^2}, \tag{20}
\]

\[
\Gamma_{th} = 1 + \frac{P_{th}}{nE_{th}} = 1 + \frac{2Q}{3} - \frac{4}{5\pi^2} a^3 nT^2 \frac{dL_F}{dn}. \tag{21}
\]
Note that while the NLO terms in the thermal quantities above have the same temperature dependences as those of a free Fermi gas, the accompanying density-dependent factors differ reflecting the effects of interactions.

3. Application to Models Beyond Mean Field Theory

In this work, we investigate the degenerate-limit thermodynamics of a relativistic field-theoretical model in which the nucleon-nucleon (NN) interaction is mediated by the exchange of $\sigma$, $\omega$, $\rho$ and $\pi$ mesons (scalar, vector, iso-vector and pseudo-vector, respectively). Nonlinear self-couplings of the scalar field are also included. The model is described by the Lagrangian density\cite{5, 7}

\[ L = L_N + L_{\text{meson}} \tag{22} \]

\[ L_N = \bar{N} \left[ i \gamma^\mu (\partial_\mu + ig_\rho \vec{\rho} \cdot \vec{\tau}) + i g_\omega \omega^\mu \right. \]
\[ \left. - ig_2 A_2^\mu \gamma^5 \vec{\tau} \cdot \partial_\mu \vec{\pi} - (M - g_\sigma \sigma) \right] N \tag{23} \]

\[ L_{\text{meson}} = \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - \left( \frac{1}{2} + \frac{\kappa_3 g_\sigma \sigma}{3! M} + \frac{\kappa_4 g_2^2 \sigma^2}{4! M^2} \right) m_\sigma^2 \sigma^2 \]
\[ - \frac{1}{4} V^{\mu\nu} V_{\mu\nu} + \frac{1}{2} m_\omega^2 \omega^\mu \omega^\nu \]
\[ - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} + \frac{1}{2} m_\rho^2 \vec{\rho} \cdot \vec{\rho} \]
\[ + \frac{1}{2} \partial^\mu \vec{\pi} \cdot \partial_\mu \vec{\pi} - \frac{1}{2} m_\pi^2 \vec{\pi} \cdot \vec{\pi}, \tag{24} \]

where

\[ V_{\mu\nu} = \partial_\mu \omega_\nu - \partial_\nu \omega_\mu \tag{25} \]

\[ B_{\mu\nu} = \vec{\tau}(\partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu) + i \frac{g_2}{2} [\vec{\rho}_\mu \cdot \vec{\tau}, \vec{\rho}_\nu \cdot \vec{\tau}] \tag{26} \]

are the field-strength tensors and $\tau$ are the $SU(2)$ isospin matrices. We use the masses $M = 939$ MeV, $m_\sigma = 550$ MeV, $m_\omega = 783$ MeV, $m_\rho = 770$ MeV and $m_\pi = 138$ MeV, the couplings $g_\sigma = 8.604$, $g_\omega = 7.522$, $g_\rho = 7.614$, $\kappa_3 = 4.84$ and $\kappa_4 = -4.47$, the pion decay constant $f_\pi = 93$ MeV and the nucleon axial current constant $g_A = 1.26$ as in Ref.\cite{5}.

All thermodynamic quantities of interest can be derived from the grand potential density $\Omega$ which is related to the pressure by $\Omega = -P$. For an isotropic system in its rest-frame, the pressure is obtained from the diagonal elements of the spatial part of the energy-momentum tensor $T_{\mu\nu} =$
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\[ \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \partial_\nu \phi - g_{\mu\nu} \mathcal{L} \]  

In mean-field theory (MFT), the result is

\[ P = \frac{1}{3} \langle T_{ij} \rangle \]

\[ = \frac{7 \text{spin}}{3} \sum_i \int \frac{d^3 p}{(2\pi)^3} \left[ \frac{p^2}{E^*(p)} f_i(p) + \frac{g_\sigma^2}{2m_\sigma^2} n_i n_j + \frac{g_\rho^2}{8m_\rho^2} (n_i - n_j)^2 \right] \]

\[ - \frac{m_\pi^2}{g_\pi^2} (M - M^*) \left[ \frac{1}{2} + \frac{\kappa_3}{3M} (M - M^*) + \frac{\kappa_4}{24M^2} (M - M^*)^2 \right] , \quad (27) \]

where

\[ E^*(p) = (p^2 + M^*)^{1/2} . \quad (28) \]

In the mean-field approximation, the spectrum \( \epsilon_i(p) \) that enters the Fermi distribution function \( f_i(p) = \{1 + \exp[(\epsilon_i(p) - \mu_i)/T]\}^{-1} \) is given by

\[ \epsilon_i(p) = \pm E^*(p) + \frac{g_\sigma^2}{m_\sigma^2} n_i + \frac{g_\rho^2}{4m_\rho^2} (n_i - n_j) . \quad (29) \]

The \((\pm)\) sign corresponds to particles (antiparticles) and the subscripts \( i, j \) to the two nucleon species. The Dirac effective mass \( M^* \) results from the minimization of \( \Omega \) with respect to the expectation value of the scalar field.

The leading corrections to the mean-field \( \Omega \) arise from two-loop (TL) exchanges of the mesons involved in the model. These corrections are given by (see, e.g., [58])

\[ \Omega_{ex,\sigma} = \frac{7 \text{spin}}{4} \int d\tau_\sigma d\tau_\pi f_\sigma(p,q) D(k;m_\sigma^*) \sum_i f_i(p)f_i(q) \quad (30) \]

\[ \Omega_{ex,\omega} = \frac{7 \text{spin}}{4} \frac{g_\omega^2}{g_\omega^2} \int d\tau_\rho d\tau_\pi f_\omega(p,q) D(k;m_\omega) \sum_i f_i(p)f_i(q) \quad (31) \]

\[ \Omega_{ex,\rho} = \frac{7 \text{spin}}{16} \frac{g_\rho^2}{g_\rho^2} \int d\tau_\rho d\tau_\pi f_\rho(p,q) D(k;m_\rho) \times \sum_i f_i(p) [f_i(q) + 2f_j(q)] \quad (32) \]

\[ \Omega_{ex,\pi} = \frac{7 \text{spin}}{16} \left( \frac{g_AM^*}{F_\pi} \right)^2 \int d\tau_\omega d\tau_\pi f_{\pi^0}(p,q) D(k;m_\pi) \times \sum_i f_i(p) [f_i(q) + 2f_j(q)] , \quad (33) \]

where

\[ d\tau_\rho = \frac{d^3 p}{(2\pi)^3 2E^*(p)} ; \quad f_\sigma(p,q) = 4(p^\mu q_\mu + M^*) , \quad (34) \]

\[ f_\omega(p,q) = 8(p^\mu q_\mu - 2M^*) , \quad f_{\pi^0}(p,q) = 16(p^\mu q_\mu - M^*) , \quad (35) \]

\[ D(k;m) = \frac{1}{k^\mu k_\mu - m^2} ; \quad k^\mu = p^\mu - q^\mu , \quad p^\mu p_\mu = q^\mu q_\mu = M^* . \quad (36) \]
The corresponding TL contributions to the single-particle spectrum [via \( \epsilon_{ex}^{i} = \delta \Omega_{ex} / \delta n_{i}(p) \) with \( \delta / \delta n_{i}(p) \int d^{3}p / (2\pi)^{3} f_{i}(p) = 1; \ i = \text{nucleon species} \) are [5,8]:

\[
\begin{align*}
\epsilon_{ex,\sigma}^{i} &= \frac{\gamma_{\text{spin}}}{8} \frac{g_{\sigma}^{2}}{E^{*}(p)} \int d\tau_{q} f_{\sigma}(p,q)D(k; m_{\sigma}^{*})f_{i}(q) \\
\epsilon_{ex,\omega}^{i} &= -\frac{\gamma_{\text{spin}}}{8} \frac{g_{\omega}^{2}}{E^{*}(p)} \int d\tau_{q} f_{\omega}(p,q)D(k; m_{\omega})f_{i}(q) \\
\epsilon_{ex,\rho}^{i} &= \frac{\gamma_{\text{spin}}}{32} \frac{g_{\rho}^{2}}{E^{*}(p)} \int d\tau_{q} f_{\rho}(p,q)D(k; m_{\rho})[f_{i}(q) + 2f_{j}(q)] \\
\epsilon_{ex,\pi}^{i} &= \frac{\gamma_{\text{spin}}}{32E^{*}(p)} \left( \frac{g_{A}M^{*}}{f_{\pi}} \right)^{2} \int d\tau_{q} f_{\pi}(p,q)D(k; m_{\pi})[f_{i}(q) + 2f_{j}(q)]
\end{align*}
\]

Note that at the TL level, the self-interactions of the scalar field bestow upon it an effective scalar-meson mass

\[
m_{\sigma}^{*} = m_{\sigma} \left[ 1 + \kappa_{3} \left( \frac{M - M^{*}}{M} \right) + \frac{\kappa_{4}}{2} \left( \frac{M - M^{*}}{M} \right)^{2} \right]^{1/2},
\]

which is used in all exchange terms involving the \( \sigma \)-meson.

3.1. Two-loop calculations of dense nucleonic matter

The degenerate limit formalism delineated in Sec. 2 requires for its implementation, in principle, only the \( T = 0 \) parts of the spectrum [for \( M(p) \)] and the pressure (for \( C_{P} \) and \( M^{*} \)). Note, however, that for cold matter the statements \( dP/d\sigma = 0 \) and \( dE/d\sigma = 0 \) are equivalent (being that at \( T = 0, \ P = -n \ dE/dn \)) and that the energy density \( E \) is somewhat easier to minimize with respect to \( \sigma \) in order to obtain \( M^{*} \). We therefore opt to work with the latter. Confining ourselves to symmetric nuclear matter (SNM) and pure neutron matter (PNM) in the interest of simplicity, we
have for the energy density (in the notation of Ref. [8])

\[ E_{TL} = E_{MFT} + E_{ex,\sigma} + E_{ex,\omega} + E_{ex,\rho} + E_{ex,\pi} \]  

(42)

\[ E_{MFT} = 2\gamma_{iso} \int_0^{\nu_F} \frac{d^3p}{(2\pi)^3} E^* + \frac{1}{2} \frac{g^2_{\sigma}}{m_{\sigma}^2} n^2 + \frac{(1 - \gamma_{charge}) g^2_{\rho}}{8 m_{\rho}^2} n^2 \]

+ \frac{m^2_{\sigma}}{g^2_{\sigma}} (M - M^*)^2 \left[ \frac{1}{2} + \frac{\kappa_3}{3!} \left( \frac{M - M^*}{M} \right) + \frac{\kappa_4}{4!} \left( \frac{M - M^*}{M} \right)^2 \right] \]

(43)

\[ E_{ex,\sigma} = \gamma_{iso} \frac{g^2_{\omega}}{(2\pi)^2} M^{*4} \left[ \frac{1}{4} (x\eta - \ln \xi)^2 + \left( 1 - \frac{w_{\sigma}^*}{4} \right) I(w_{\sigma}^*) \right] \]

(44)

\[ E_{ex,\omega} = \gamma_{iso} \frac{g^2_{\omega}}{(2\pi)^2} M^{*4} \left[ \frac{1}{2} (x\eta - \ln \xi)^2 - \left( 1 + \frac{w_{\omega}^*}{2} \right) I(w_{\omega}) \right] \]

(45)

\[ E_{ex,\rho} = \frac{(\gamma_{iso} + 4\gamma_{charge})}{4} \frac{g^2_{\rho}}{(2\pi)^2} M^{*4} \left[ \frac{1}{2} (x\eta - \ln \xi)^2 - \left( 1 + \frac{w_{\rho}}{2} \right) I(w_{\rho}) \right] \]

(46)

\[ E_{ex,\pi} = \frac{(\gamma_{iso} + 4\gamma_{charge})}{4} \left( \frac{g_A M^*}{f_\pi} \right)^2 \frac{M^{*4}}{(2\pi)^2} \left[ (x\eta - \ln \xi)^2 - w_{\pi} I(w_{\pi}) \right] \]

(47)

and for the spectrum [via \( \epsilon_{ex,i} = \delta E_{ex,i}/\delta n; i=\text{meson} \)]

\[ \epsilon_{TL} = \epsilon_{MFT} + \epsilon_{ex,\sigma} + \epsilon_{ex,\omega} + \epsilon_{ex,\rho} + \epsilon_{ex,\pi} \]  

(48)

\[ \epsilon_{MFT} = M^* e + \frac{g^2_{\sigma}}{m_{\sigma}^2} n + \frac{(1 - \gamma_{charge}) g^2_{\rho}}{4 m_{\rho}^2} n \]

(49)

\[ \epsilon_{ex,\sigma} = \frac{g^2_{\omega}}{(2\pi)^2} \frac{M^*}{2e} \left[ \frac{1}{2} (x\eta - \ln \xi) + \left( 1 - \frac{w_{\sigma}^*}{4} \right) \frac{2}{r} J(w_{\sigma}^*) \right] \]

(50)

\[ \epsilon_{ex,\omega} = \frac{g^2_{\omega}}{(2\pi)^2} \frac{M^*}{2e} \left[ (x\eta - \ln \xi) - \left( 1 + \frac{w_{\omega}^*}{2} \right) \frac{2}{r} J(w_{\omega}) \right] \]

(51)

\[ \epsilon_{ex,\rho} = \frac{(\gamma_{iso} + 4\gamma_{charge})}{4} \frac{g^2_{\rho}}{(2\pi)^2} \frac{M^*}{2e} \left[ (x\eta - \ln \xi) - \left( 1 + \frac{w_{\rho}}{2} \right) \frac{2}{r} J(w_{\rho}) \right] \]

(52)

\[ \epsilon_{ex,\pi} = \frac{(\gamma_{iso} + 4\gamma_{charge})}{4} \left( \frac{g_A M^*}{f_\pi} \right)^2 \frac{M^*}{2e(2\pi)^2} \left[ 2(x\eta - \ln \xi) - w_{\pi} \frac{2}{r} J(w_{\pi}) \right] \]

(53)
where

\[
\gamma_{\text{iso}} = \begin{cases} 
1 \text{ PNM} & \gamma_{\text{charge}} = \begin{cases} 
0 \text{ PNM} \\
2 \text{ SNM}
\end{cases}
\end{cases} \tag{54}
\]

\[
x = \frac{p_F}{M^*}, \quad \eta = (1 + x^2)^{1/2}, \quad \xi = x + \eta \tag{55}
\]

\[
r = \frac{p}{M^*}, \quad e = (1 + r^2)^{1/2}, \quad t = r + e \tag{56}
\]

\[
w_i = \frac{m_i^2}{M^*}; \quad i = \sigma^*, \omega, \rho, \pi \tag{57}
\]

\[
I(w_i) = \int_1^\xi \int_1^\xi dz \, dy \left( 1 - \frac{1}{z^2} \right) \left( 1 - \frac{1}{y^2} \right) \ln \left[ \frac{(zy - 1)^2 + w_i z y}{(z - y)^2 + w_i z y} \right] \tag{58}
\]

\[
J(w_i) = \int_1^\xi dz \left( 1 - \frac{1}{z^2} \right) \ln \left[ \frac{(zt - 1)^2 + w_i z y}{(z - t)^2 + w_i z y} \right] \tag{59}
\]

With the inclusion of the TL contributions and noting that \(M^* = M - g_\sigma \sigma\), the Dirac effective mass \(M^*\) is determined by solving

\[
\frac{\partial E_{\text{TL}}}{\partial M^*} = 0 \quad \text{with} \quad \frac{\partial E_{\text{MFT}}}{\partial M^*} = 2 \gamma_{\text{iso}} \int_0^{p_F} \frac{d^3 p}{(2\pi)^3} \frac{E^*}{E^*} - \frac{m_2^2}{g_\sigma^2} (M - M^*) \tag{60}
\]

\[
\times \left[ 1 + \frac{\kappa_3}{2} \left( \frac{M - M^*}{M} \right) + \frac{\kappa_4}{6} \left( \frac{M - M^*}{M} \right)^2 \right] \tag{61}
\]

\[
\frac{\partial E_{\text{ex,}\sigma}}{\partial M^*} = \frac{4E_{\text{ex,}\sigma}}{M^*} + \gamma_{\text{iso}} \frac{g_\sigma^2}{(2\pi)^4} M^4 \tag{62}
\]

\[
\times \left[ - \frac{x^3}{M^* \eta} (x \eta - \ln \xi) + \left( 1 - \frac{w_\sigma^*}{4} \right) \frac{\partial I_{\sigma^*}}{\partial M^*} + \frac{w_\sigma^*}{2M^*} I_{\sigma^*} \right] \tag{63}
\]

\[
\frac{\partial E_{\text{ex,}\omega}}{\partial M^*} = \frac{4E_{\text{ex,}\omega}}{M^*} + \gamma_{\text{iso}} \frac{g_\omega^2}{(2\pi)^4} M^4 \tag{64}
\]

\[
\times \left[ - \frac{2x^3}{M^* \eta} (x \eta - \ln \xi) - \left( 1 + \frac{w_\omega}{2} \right) \frac{\partial I_\omega}{\partial M^*} + \frac{w_\omega}{M^*} I_\omega \right] \tag{65}
\]

\[
\frac{\partial E_{\text{ex,}\rho}}{\partial M^*} = \frac{4E_{\text{ex,}\rho}}{M^*} + \left( \gamma_{\text{iso}} + 4 \gamma_{\text{charge}} \right) \frac{g_\rho^2}{(2\pi)^4} M^4 \tag{66}
\]

\[
\times \left[ - \frac{2x^3}{M^* \eta} (x \eta - \ln \xi) - \left( 1 + \frac{w_\rho}{2} \right) \frac{\partial I_\rho}{\partial M^*} + \frac{w_\rho}{M^*} I_\rho \right] \tag{67}
\]

\[
\frac{\partial E_{\text{ex,}\pi}}{\partial M^*} = \frac{6E_{\text{ex,}\pi}}{M^*} + \left( \gamma_{\text{iso}} + 4 \gamma_{\text{charge}} \right) \frac{g_A M^*}{f_\pi} \left( \frac{2M^4}{(2\pi)^4} \right) \tag{68}
\]

\[
\times \left[ - \frac{4x^3}{M^* \eta} (x \eta - \ln \xi) - w_\pi^* \frac{\partial I_\pi}{\partial M^*} + \frac{2w_\pi^*}{M^*} I_\pi \right] \tag{69}
\]
where
\[
\frac{\partial I(w_\sigma^*)}{\partial M^*} = \frac{1}{2M^*} \left\{ w_\sigma^* \left( 1 - \frac{m^*_\sigma}{m^*_{w_\sigma^*}} \right) \right. \\
\times \int_1^\xi \int_1^\xi dy \int_1^\xi dz \frac{1}{yz} \left[ (1 - z^2)^2(1 - y^2)^2 \right. \\
\left. \times \left[ (zy - 1)^2 + w_\sigma^* zy \right][z - y]^2 + w_\sigma^* zy \right] \\
- \frac{x^\xi}{\eta} \left( 1 - \frac{1}{\xi^2} \right) J(w_\sigma^*; t \to \xi) \right\} \\
\frac{dm^*_\sigma}{dM^*} = -\frac{m^*_\sigma}{2Mm^*} \left[ \kappa_3 + \kappa_4 \left( \frac{M - M^*}{M} \right) \right] \\
\frac{\partial I(w_i)}{\partial M^*} = \frac{1}{2M^*} \left\{ w_i \int_1^\xi \int_1^\xi dy \int_1^\xi dz \frac{1}{yz} \left[ (1 - z^2)^2(1 - y^2)^2 \right. \\
\left. \times \left[ (zy - 1)^2 + w_i zy \right][z - y]^2 + w_i zy \right] \\
- \frac{x^\xi}{\eta} \left( 1 - \frac{1}{\xi^2} \right) J(w_i; t \to \xi) \right\} ; \; i = \omega, \rho, \pi.
\]

To obtain Eqs. (66) and (68), the 2-dimensional Leibniz rule
\[
\frac{d}{dt} \int_{x_0(t)}^{x_1(t)} \int_{y_0(t)}^{y_1(t)} F(x, y, t) dx \; dy = \int_{y_0}^{y_1} \left[ F(x_1) \frac{\partial x_1}{\partial t} - F(x_0) \frac{\partial x_0}{\partial t} \right] dy \\
+ \int_{x_0}^{x_1} \left[ F(y_1) \frac{\partial y_1}{\partial t} - F(y_0) \frac{\partial y_0}{\partial t} \right] dx \\
+ \int_{x_0}^{x_1} \int_{y_0}^{y_1} \frac{\partial F}{\partial t} \; dx \; dy
\]
was employed.

**Numerical notes**

The various integrals above are readily calculated by using the Gauss-Legendre quadrature method [9]. The results reported below were calculated using 32 points and weights in each dimension although use of 16 points and weights was found to be adequate. The derivatives of \(M(p)\) and \(L_F(n)\) were calculated using the 5-point rule [9]. Root finding was accomplished by the Newton-Raphson scheme. All numerical results of our Fortran code were also verified by using Mathematica.

3.2. **Results and discussion**

The variational procedure \(\partial \mathcal{E}_{TL}/\partial M^* = 0\) in Eq. (60) minimizes the energy density of the system and results in the optimal baryon (Dirac) effective
Fig. 1. Upper left panel: Dirac effective masses $M^*$ [Eq. (60)] scaled with the vacuum nucleon mass vs density $n$ in symmetric nuclear matter (SNM) and pure neutron matter (PNM) at temperature $T = 0$. Lower left panel: Logarithmic derivatives of $M^*$ w.r.t $n$. Right panel: Energy per particle $E = \partial E/\partial n - M$ vs $n$ in SNM and PNM at $T = 0$. These results yield good agreement with nuclear and neutron star phenomenology [5]. The TL contributions to the energy density play a significant role in determining $M^*(n)$. The pattern $M^*(PNM) \geq M^*(SNM)$ for a given baryon density stems from the isospin-invariant nucleon-nucleon interactions employed in the model. An MFT calculation - that is, without the TL terms in Eq. (42) - that yields closely resembling $E$ vs. $n$ curves shown here through a readjustment of the various coupling strengths produces $M^*$ curves that vary more steeply with density (not shown here, but see Ref. [5]). As $M^*(n)$ and its logarithmic derivative w.r.t. $n$ (lower left panel of Fig. [1]) enter prominently in determining the thermal properties, contrasts between different levels of theoretical approximations (MFT vs MFT+TL in our case here) are afforded.
Fig. 2. Contributions from MFT and TL terms [from Eq. (48)] involving the exchange of \( \sigma \), \( \omega \), \( \rho \), and \( \pi \) mesons to the total single-particle energy vs. wave number in SNM and PNM at the baryon density \( n = n_0 = 0.16 \text{ fm}^{-3} \).

Under degenerate conditions for which \( T/T_F \ll 1 \), thermal effects depend sensitively on details of the single-particle spectrum near the Fermi surface. The various contributions to the \( T = 0 \) single-particle spectra in SNM and PNM are shown in Fig. 2 at \( n = n_0 = 0.16 \text{ fm}^{-3} \). Note that the dominant contribution from the MFT part in Eq. (48) has been divided by a factor of 20 to fit within the figure where contributions from the exchange of \( \sigma \), \( \omega \), \( \rho \), and \( \pi \) mesons from Eq. (48) are also shown. Although subdominant in their contributions to the spectra, the exchange contributions significantly alter the \( M^*(n) \) curves from those of MFT and hence the MFT term of \( \epsilon(p) \).

Depending on the density, the magnitude and slope of the single-particle spectrum are also altered from its MFT contribution as can be seen in Fig. 3 where results for \( n = 3n_0 \) are shown. Such differences will be reflected in the thermal properties, particularly in the NLO terms of FLT.

The Landau effective masses \( m^*(n) \) from Eq. (9) scaled with the vacuum nucleon mass are shown in the left panel of Fig. 4 as functions of density in SNM and PNM. The associated logarithmic derivatives are in the right panel of this figure. The TL results are substantially larger than those of MFT for the same \( n \) (see Ref. [5]). The non-monotonic behaviors
and the minima in the $m^*(n)$ curves are characteristic of relativistic field theoretical models in which $M^*(n)$ continually decreases with increasing $n$. Together with the derivatives of the Landau effective mass function required at NLO in FLT, $m^*(n)$ and its logarithmic derivative play important roles in improving the accuracy of the degenerate limit thermodynamics.

We turn now to compare the thermal properties from FLT and FLT+NLO with those from the exact numerical results of Ref. [5] for the TL calculations at temperatures of $T = 20$ and 50 MeV, respectively. In all cases, comparisons shown for $T = 50$ MeV highlight the onset of semi- or non-degenerate regions in density for which results of degenerate limit FLT and FLT+NLO begin to become inadequate.

In astrophysical phenomena involving supernovae, neutron stars and binary mergers, the entropy per baryon $S$ serves as a gauge to track hydrodynamical evolution and its consequences [10]. Figure 5 shows $S$ vs $n$ in SNM and PNM at $T = 20$ and 50 MeV, respectively. For both SNM and PNM, the NLO corrections substantially improve agreement with the exact results for $S$ up to 2. For $T = 20$ MeV, the agreement extends to the subnuclear nuclear density of $n = 0.1$ fm$^{-3}$ for both SNM and PNM. This agreement is encouraging as for $n \lesssim 0.1$ fm$^{-3}$ and $T \lesssim 20$ MeV, matter exists in an inhomogeneous phase consisting of heavy nuclei, light nuclear
clusters such as $\alpha$ particles, tritons and deuterons, and dripped nucleons (as also leptons and photons) for which a separate treatment is required. The lesson learned is that up to $S = 2$, the thermal properties of bulk homogeneous nucleonic matter is adequately described by a knowledge of the $T = 0$ spectra of nucleons from which all thermal properties can be obtained through the use of FLT carried up to NLO terms.

In Fig. 6, we show results for the thermal energies. As for $S$, the NLO corrections extend agreement with the exact results down to $n \simeq 0.1$ fm$^{-3}$ in both SNM and PNM. The agreement for PNM extends to somewhat lower densities because PNM is more degenerate than SNM at the same $n$. The results at $T = 50$ MeV indicate the densities for which matter is in the semi- or non-degenerate regions. The substantial improvement offered by the NLO corrections are, however, noteworthy.

Figure 7 contains results for the thermal pressures. As for $S$ and $E_{th}$, agreement of the FLT+NLO results with those of exact numerical calculations extend up to $n \simeq 0.1$ fm$^{-3}$ at $T = 20$ MeV. The situation with the results at $T = 50$ MeV is less satisfactory. The disagreement with the exact results at this temperature is partly owing to the fact that $M^*$ begins to acquire a non-negligible temperature dependence as $T$ increases, not considered in the FLT+NLO treatment. Also at work is the fact that

---

**Fig. 4.** Left panel: Landau effective masses from Eq. (9) scaled with the vacuum nucleon mass vs density in SNM and PNM. Right panel: Logarithmic derivatives of the Landau effective masses w.r.t. density.
Fig. 5. Entropies per particle $S = s/n$ vs. baryon number density in SNM and PNM. Results labeled “Exact” are from Ref. [5]. The leading order Fermi Liquid Theory results are labeled “FLT” whereas “FLT+NLO” stands for results of next-to-leading-order FLT with $s$ from Eq. (13). Values of temperatures are as indicated in the figure.

the thermodynamic identity $\mathcal{E} + P = Ts + \mu n$ cannot be satisfied even in principle beyond the Hartree level unless the theory is exactly solved.

The thermal parts of the chemical potentials are shown in Fig. 8. As for $S$, $E_{th}$, and $P_{th}$, the NLO corrections render significant improvement over the FLT results down to $n \approx 0.1$ fm$^{-3}$ for $T = 20$ MeV. The agreement of the FLT+NLO results with the exact results is quantitatively better for PNM than for SNM because of its higher degeneracy at this temperature at the same density. The NLO improvements at $T = 50$ MeV are less striking than at 20 MeV, and suffer from the same maladies as the other thermal variables. Analytic expressions for Fermi integrals being asymptotic expansions, this disagreement is unavoidable particularly in the semi-degenerate region. A separate treatment as espoused in Ref. [11] is necessary in the non-degenerate region.

The specific heat at constant volume $C_V$ is shown in Fig. 9. Exact results for $C_V$ were not calculated in Ref. [5], but we can easily gauge the improvement from NLO corrections at near nuclear densities by recalling that at leading order in FLT, $C_V = S$. The quantity $C_V$ plays a major role in the long-term cooling of a neutron star. For example, the time for
Thermal Effects in Dense Matter

Fig. 6. Same as Fig. 5 but for thermal energies from Eq. (15).

a star’s center to cool by neutrino emission can be estimated by

$$\Delta t = - \int \frac{nC_V}{\epsilon_\nu} \, dT,$$

where $\epsilon_\nu$ is the neutrino emissivity and $T$ is the temperature. At low temperatures ($T \leq 1$ MeV), however, corrections to $C_V$ arising from Cooper-pairing of nucleons must be considered.

Figure 10 shows results for the specific heat at constant pressure. As for $C_V$, exact numerical results for $C_P$ are not yet available, hence only the FLT and FLT+NLO results are shown. At leading order in FLT, $C_P = S$. It is intriguing that at $T = 20$ MeV, the NLO corrections do not alter the leading order FLT result down to near nuclear densities in both SNM and PNM. While the situation is similar for $T = 50$ MeV in PNM for $n > n_\sim \sim 0.35$ fm$^{-3}$, NLO corrections are apparent in SNM. Exact calculations at $T = 50$ MeV would be necessary to confirm the extent to which NLO corrections improve the FLT results. A relation similar to Eq. (70) but with $C_V$ replaced by $C_P$ and $\epsilon_\nu$ replaced by $\epsilon_{\gamma+\nu}$ is often used in the literature for time estimates in astrophysical phenomena.

Results for $\Gamma_{th}$ are shown in Figure 11. At leading order in FLT, $\Gamma_{th} = \frac{5}{3} \frac{n}{m^2} \frac{dm}{dn}$ and is independent of $T$. This feature is borne out by the results (short dashed curves) in both SNM and PNM, the differences
between them stemming from differences in the logarithmic derivatives of the Landau effective masses (see Fig. 4). At NLO, $\Gamma_{th}$ acquires a temperature dependence owing to terms proportional to $T^4$ in both $P_{th}$ and $\epsilon_{th}$. With increasing $n$, and hence degeneracy, the coefficient of the leading $O(T^2)$ term in $\Gamma_{th}$ decreases making the NLO corrections to diminish in magnitude. The agreement of the FLT+NLO results with the exact results extends to sub-nuclear densities at $T = 20$ MeV in both SNM and PNM. The $T = 50$ MeV results delineate the regions of density for which a semi-degenerate analysis is warranted. At very low densities, the exact $\Gamma_{th} \rightarrow \frac{5}{3}$ the value for non-relativistic ideal gases.
Fig. 8. Same as Fig. 5 but for thermal chemical potential from Eq. (17).

Fig. 9. Same as Fig. 5 but for specific heat at constant volume from Eq. (19).
Fig. 10. Same as Fig. 5 but for specific heat at constant pressure from Eq. (20).

Fig. 11. Same as Fig. 5 but for the thermal adiabatic index from Eq. (21).
From the results shown above in Figs. 5 through 11 it is clear that the lowest density beyond which the FLT+NLO results reproduce the exact numerical results as a function of increasing temperature steadily increases owing to the semi-degenerate region being encountered. The case of $T = 30$ MeV is especially interesting as it happens to be the maximum temperature encountered in core-collapse supernova simulations. Lacking exact numerical results for MFT+TL calculations at $T = 30$ MeV, we performed exact numerical calculations for MFT using the couplings in Ref. [5] and compared the ensuing results with those of FLT+NLO (not shown here). For all thermal variables, our findings are: (1) for SNM, very good agreement is found for $n > 0.2$ fm$^{-3}$, and (2) for PNM, the agreement is very good starting from the nuclear density of $n_0 > 0.16$ fm$^{-3}$. We expect a similar behavior for MFT+TL because the total single-particle spectra for both SNM and PNM are predominantly composed of their corresponding MFT parts. For values of $Y_e$ intermediate to those of SNM and PNM, caution must be exercised in carrying the conclusions above as one or the other nucleonic species may be in the non- or semi-degenerate region.

4. Summary and Conclusions

In this work, the next-to-leading order (NLO) extension of Landau’s Fermi Liquid Theory (FLT) developed in Ref. [3] was utilized to calculate the thermal properties of symmetric nuclear and pure neutron matter (SNM and PNM) for the relativistic model of Ref. [5] in which two-loop (TL) corrections to mean field theory (MFT) were included. In FLT, the Landau effective mass $m^*$ and its logarithmic derivative with respect to density $n$ suffice to capture the leading order temperature ($T$) effects. The NLO corrections, which account for the next-higher-order effects in $T$, require up to second order derivatives of the generalized Landau effective mass function $M(p) = p \left( \partial \epsilon / \partial p \right)^{-1}$, where $\epsilon \equiv \epsilon(n, p)$ is the density and momentum dependent part of the $T = 0$ single-particle spectrum. The explicit form of $\epsilon(n, p)$ depends on the specific nature of the $T = 0$ many-body calculation performed. Contrasting examples include models with contact or finite range interactions, MFT vs MFT+TL approximations, Bruekner-Hartree-Fock vs Dirac-Brueckner-Hartree-Fock, effective field-theoretical approaches at various levels of approximation, etc. For all these cases, the NLO extension enables the calculation of the entropy density and specific heats up to $O(T/T_F)^3$ whereas the energy density, chemical potential and pressure
to $O(T/T_F)^4$ (where $T_F$ is the Fermi temperature) extending the leading order results of FLT.

Our comparisons of FLT and FLT+NLO results with those of the exact numerical calculations reported in Ref. [5] for the relativistic model in which TL effects were included reveal that substantial improvements are achieved by the NLO corrections for all thermal variables (entropy, energy, pressure, chemical potential, and specific heats) for entropy per baryon $S$ of up to 2. It is noteworthy that the NLO corrections extend agreement with the exact results to sub-nuclear densities of $n \sim 0.1 \text{ fm}^{-3}$ for $T = 20 \text{ MeV}$, whereas the FLT results are valid for densities beyond $\sim 0.1 \text{ fm}^{-3}$. Insofar as for $T \lesssim 20 \text{ MeV}$ and $n \lesssim 0.1 \text{ fm}^{-3}$, an inhomogeneous phase consisting of heavy nuclei, light nuclear clusters, dripped nucleons, and pasta-like configurations exists which requires a separate treatment, the semi-analytical formulas of the FLT+NLO formalism enables a rapid evaluation of thermal effects in bulk homogeneous matter in addition to providing physical insights and checks of time-consuming exact numerical calculations.

Several areas for further investigation remain including an assessment of non-analytic contributions arising from long-wavelength fluctuations, single particle-hole excitations and, collective and pairing correlations close to the Fermi surface [2]. Establishing their roles in astrophysical phenomena needs further work and will be reported elsewhere.

5. Personal Tributes to Gerry Brown

Constantinos Constantinou  
When the seminar room of the Nuclear Theory Group at Stony Brook was named in his honor, Gerry was playfully ‘upset’: “Are they telling me that I should retire?” He certainly had no such plans; it simply wasn’t in the stars- collapsing, exploding or otherwise. He would come in (almost) every day full of energy and new ideas about problems to solve and just early enough to win his little battle with John Milnor for the #2 YITP parking spot; well... sometimes.

I was fortunate to have been under Gerry’s tutelage for about two years. During this time he made it a point that I should learn Fermi Liquid Theory—among many other things which would be sprung upon me faster than I could dig out references for. In hindsight, I should have asked Gerry for those; he was much better than any search engine in this regard. In our contribution here, we apply an extended version of FLT to a relativistic model beyond the mean-field level. Perhaps Gerry would have liked it.
Madappa Prakash  Gerry Brown was often fond of saying that although Landau’s Fermi Liquid Theory originated in Russia, few Russians used it and it was left for others to exploit Landau’s genius. Gerry, along with Kevin Bedell, taught me this subject which I have used whenever I can to gain physical insights. I recall Gerry struggling to satisfy Landau’s forward-scattering sum rule using results from many-body calculations of nuclear matter. He exhorted all who could (particularly, Pandharipande) to provide him with Fermi-liquid parameters and was disappointed when they could not owing to the inherent difficulties in their many-body methods. The laments of his toil are recorded in a Physics Reports he wrote with Sven-Olaf Bäckman and Joni Niskanen [12].

Our contribution to Gerry’s 90th birthday memorial tribute here resulted from my collaboration with Gerry’s last graduate student Constantinos Constantinou and my current graduate student Sudhanva Lalit. I like to think that in undertaking the work reported here, I am passing on the lessons Gerry taught me. He would have been pleased with the extensions to leading-order FLT that was developed by us in Ref. [3] and put to good use. Not one to praise anyone to his or her face, Gerry would have said “What about Landau’s forward-scattering sum rule?”. No doubt that would have annoyed us, but he always wanted to go forward.

A few “Gerry-sms” that I can never forget. “Don’t be a scholar, do things”. This one used to annoy me the most. What annoys me more is that I use it on my own students nowadays! “People keep saying they’re consistent. But, are they right?” No arguments there. When warned to be careful, “I’m never careful! I want to get ahead”. Bravado there. But, I have read his D.Sc. thesis in which he was super-careful. “I’ve no problem using results that I don’t understand.” He selected results of those he trusted. There are more, but for some other time and some other place.

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