Formation of Continental Microplates Through Rift Linkage: Numerical Modeling and Its Application to the Flemish Cap and Sao Paulo Plateau

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Abstract Continental microplates are enigmatic plate boundary features, which can occur in extensional and compressional regimes. Here we focus on microplate formation and their temporal evolution in continental rift settings. To this aim, we employ the geodynamic finite element software ASPECT to conduct 3D lithospheric-scale numerical models from rift inception to continental breakup. We find that depending on the strike-perpendicular offset and crustal strength, rift segments connect or interact through one of four regimes: (1) an oblique rift, (2) a transform fault, (3) a rotating continental microplate or (4) a rift jump. We highlight that rotating microplates form at offsets >200 km in weak to moderately strong crustal setups. We describe the dynamics of microplate evolution from initial rift propagation, to segment overlap, vertical-axis rotation, and eventually continental breakup. These models may explain microplate size and kinematics of the Flemish Cap, the Sao Paulo Plateau, and other continental microplates that formed during continental rifting worldwide.

Plain Language Summary Microplates are enigmatic features that form in the boundaries between tectonic plates. In continental rifts, plates are successively broken to eventually form new oceans. As the continental crust is very heterogeneous, rifts rarely form in straight lines. In some cases, individual rift segments initiate hundreds of kilometers apart both along and perpendicular to strike and as these segments grow, they interact and link. Here we use 3D computer simulations to investigate the linkage of offset rifts. We find that rift linkage is primarily controlled by the strike-perpendicular offset and crustal strength. At low offset they link through an oblique rift segment, at medium offset a transform fault is formed, and at large offsets in weak crust they overlap and rotate a central block known as a microplate. We suggest that the latter processes have shaped the Flemish Cap, the Sao Paulo Plateau, and many other continental promontories at rifted margins worldwide.

1. Introduction

Microplates have been identified in extensional (e.g., Danakil microplate; Eagles et al., 2002) and compressional regimes (e.g., Tibet microplate; Thatcher, 2007). Contrary to the common picture of large, rigid, tectonic plates surrounded by weak and narrow plate boundaries, microplates exist at an intermediate scale: They are larger than the fault blocks that make up the narrow plate boundaries, yet smaller and rotate on a different axis than the surrounding tectonic plates (Macdonald et al., 1991; Schouten et al., 1993). While previous explanations for extensional microplates have been based on analog models (e.g., Dubinin et al., 2018; Katz et al., 2005) or 2D concepts (Müller et al., 2001; Péron-Pinvidic & Manatschal, 2010), the impact of 3D continental rift dynamics, so far, remains unclear.

In this study, we use 3D models to test the hypothesis that extensional microplates form due to offset rift segments. Rift segments may form at an offset due to, for example, along-strike variability that can be caused by inherited weaknesses (de Wit et al., 2008; Heine et al., 2013) or along-strike changes in rheological structure (Brune et al., 2017a; Corti et al., 2019). Eventually, these variabilities may lead to offset rift propagation from opposite directions. Examples of offset rift systems exist along rifted margins, such as in the South Atlantic (Heine et al., 2013), the Jan Mayen microcontinent in the Norwegian-Greenland Sea (Gernigon et al., 2012), and in the present-day East African and Red Sea Rift System (Calais et al., 2006; Eagles et al., 2002; Stamps et al., 2008; Stamps et al., 2021). The strike-perpendicular offset is likely an
important factor in how rift segments eventually link, and the structural features that form in response (Allken et al., 2012; Gerya, 2013a). Based on present-day mid-ocean ridges, it has been shown that offset divergent segments can connect through long transform faults, or, in some cases, interacting ridges overlap and rotate a central microplate located between the two ridges (Macdonald et al., 1991).

Oceanic microplates are tectonic features that form between adjacent extensional zones (e.g., the Easter microplate located at the East Pacific Rise; Naar & Hey, 1991; the Central Lau Nanoplate located east of Fiji; Baxter et al., 2020; Conder & Wiens, 2011). When two offset spreading centers interact, they create drag forces along the edges of the rigid microplate that exists in the overlapping zone (Katz et al., 2005; Schouten et al., 1993). These drag forces rotate the microplate about a vertical axis. The microplate rotation speed increases with the extensional velocity and decreases with the size of the microplate (Schouten et al., 1993). In oceanic crust, overlapping spreading centers have small offsets (2–30 km; Macdonald et al., 1991) and form fast-rotating (∼10°/Myr; Naar & Hey, 1991) short-lived (5–10 Myr; Keary et al., 2009) microplates that attach to one margin as a single spreading center becomes dominant.

Microplates can form in continental crust between overlapping offset rifts. The offset needed for microplate formation is related to the thickness of the brittle layer in the lithosphere (Vendeville & Le Calvez, 1995), which is significantly larger in incipient rifts than in proximity of mid-oceanic spreading centers. Thus continental microplates are likely larger and slower than oceanic ones. For example, in East Africa two rift branches guided by preexisting weak suture zones overlap and rotate the Victoria microplate by ∼0.07°/Myr (Glerum et al., 2020). Evidence for remnant continental microplates exists along passive margins, where seismic data suggest thinned crust surrounding regions of relatively thick continental crust (e.g., the Flemish Cap; Welford et al., 2012) or magmatic crust which may contain some mixture of continental crust (e.g., Sao Paulo Plateau; Scotchman et al., 2010).

Other continental promontories that likely formed as microplates via overlapping rift segments can be found in the Atlantic Ocean: The Galicia Bank, Porcupine Bank, Rockall Bank offshore Western Europe, and the Faroes/Fugloy ridge north of Scotland (King et al., 2020; Peace et al., 2019; Scotchman et al., 2010; Yang & Welford, 2021), the NE Brazilian Sergipe Microplate that is bordered by the failed Tucano and Jatoba Rifts (Heine et al., 2013; Szatmari & Milani, 1999), as well as the Falkland Islands microcontinent (Stanca et al., 2019). A prominent example in the Indian Ocean is Sri Lanka (Gibbons et al., 2013; Premarathne et al., 2016), and a perhaps less obvious one is the Exmouth Plateau on the Australian NW Shelf which operated briefly in the late Jurassic (Heine & Müller, 2005; Longley et al., 2002). Despite ample evidence of actively rotating and remnant continental microplates, there is only scarce observational data to constrain the evolution of a continental microplate from initial fault propagation to overlapping rift arms and rotation, and eventually, to continental-breakup and seafloor spreading.

In this study, we use numerical modeling to elucidate when continental microplates form, how they evolve, and how modeled microplates compare to real world examples. We perform 3D extensional simulations where we vary key parameters such as the along-strike and strike-perpendicular offsets of the initial rift segments, the crustal strength, and the lithosphere thickness (Figure 1). The models are extended for 25 Myr, often past continental breakup, and allow us to characterize the general types of rift linkage and to assess how these connections vary through our parameter space. Finally, we compare a representative model to two possible microplates, the Flemish Cap and Sao Paulo Plateau, located in the North and South Atlantic, respectively. Our models reveal similarities in the general geometry (e.g., microplate size and crustal thickness patterns) and the mechanisms involved in its formation.

2. Methods

2.1. Governing Equations

We perform numerical simulations of a 3D extensional system using the open source finite element code ASPECT (Advanced Solver for Problems in Earth’s ConvecTion, version 2.1.0; Bangerth et al., 2019; Heister et al., 2017; Kronbichler et al., 2012; Rose et al., 2017). While this software was originally developed to study global mantle convection, it has successfully been adopted to model geodynamic processes of lithosphere deformation, in particular extensional tectonics (Heckenbach et al., 2021; Heron et al., 2019; Muluneh...
et al., 2020; Naliboff et al., 2020; Sandiford et al., 2021). ASPECT solves the following extended Boussinesq conservation equations assuming an infinite Prandtl number (i.e., without the inertial term),

$$-\nabla \cdot (2\eta \dot{\varepsilon}) + \nabla P = \rho g,$$

$$\nabla \cdot \mathbf{u} = 0,$$

$$\bar{\rho} C_p \left( \frac{\partial T}{\partial t} + \mathbf{u} \nabla T \right) - \nabla \cdot k \nabla T = \bar{\rho} H + (2\eta \dot{\varepsilon}) : \dot{\varepsilon} + \alpha \nabla \cdot (\mathbf{u} \nabla P),$$

$$\frac{\partial c_i}{\partial t} + \mathbf{u} \nabla c_i = q_i$$

where Equation 1 represents the conservation of momentum, with $\eta$ the effective viscosity, $\dot{\varepsilon}$ the deviator of the strain rate tensor (defined as $\frac{1}{2} \left( \nabla \mathbf{u} + (\nabla \mathbf{u})^T \right)$), $\mathbf{u}$ the velocity, $P$ the pressure, $\rho$ the density, and $g$ gravity. Equation 2 describes the conservation of volume. Equation 3 represents the conservation of energy where $\bar{\rho}$ is the reference adiabatic density, $C_p$ the specific heat capacity, $T$ the temperature, $k$ the thermal conductivity, $H$ the radiogenic heating, and $\alpha$ the thermal expansivity. As right-hand-side heating terms, we include radioactive heating, frictional heating, and adiabatic heating from left to right, respectively. Finally, we solve the advection Equation 4 for each compositional field $c_i$ (e.g., upper crust, lower crust, and accumulated plastic strain) with nonzero reaction rate $q_i$ only for the plastic strain field.

### 2.1.1. Rheology

We use a viscoplastic rheology (Glerum et al., 2018), which additionally includes plastic weakening based on accumulated plastic strain. In the viscous regime, we use a composite of diffusion and dislocation creep (Karato & Wu, 1993), formulated as:
where $A$ is a scalar prefactor, $d$ the grain size, $\dot{\varepsilon}_e$ the square root of second invariant of the deviatoric strain rate, $E$ the activation energy, $P$ the pressure, $V$ the activation volume, $R$ the gas constant, $T$ the temperature, and $n$ the stress exponent. For diffusion, $n = 1$ and the equation becomes independent of strain rate. For dislocation creep, the grain size exponent $m$ vanishes, rendering dislocation creep independent of grain size. Values for $A$, $E$, $V$, and $n$ used in our models are composition-dependent and found in Table S1.

In the plastic regime, when viscous stresses exceed the yield stress, we use the Drucker-Prager yield criterion (Davis & Selvadurai, 2002). The effective plastic viscosity is given by

$$
\eta_{\text{eff}}^{\text{pl}} = \frac{6C \cos \Phi}{\sqrt{3 (3 - \sin \Phi)}} + \frac{6P \sin \Phi}{\sqrt{3 (3 - \sin \Phi)}},
$$

where $C$ is the cohesion and $\phi$ the internal angle of friction. The accumulation of plastic strain is tracked as a compositional field. This field is used to linearly weaken $\phi$ from an initial value of 26.56° (friction coefficient of 0.5) to a final value of 2.656° (friction coefficient of 0.05) over the accumulated plastic strain interval of 0–1. The time-integrated value of the strain reaction rate $q_i$ is approximated as $\dot{\varepsilon}_e \cdot dt$ when plastic yielding occurs (with $dt$ the timestep size).

### 2.2. Model Setup

In this study we examine how the initial placement of two rift arms affects their connection. We therefore set up a 3D box model with dimensions $900 \times 600 \times 160$ km ($X$, $Y$, and $Z$, where $Z$ is depth) and 4 compositions representing a wet quartzite upper crust (Rutter & Brodie, 2004), wet anorthite lower crust (Rybacki et al., 2006), and dry olivine lithospheric mantle and asthenosphere (Hirth & Kohlstedt, 2003; Figure 1). The total crustal thickness is set to 35 km, with the reference models using a ratio of 25 km upper to 10 km lower crust, a crustal configuration that is representative of typical continental interiors (Mooney, 2010; Pasyanos et al., 2014). This ratio is varied when testing the crustal strength. The lithospheric mantle extends between the crust and the lithosphere-asthenosphere boundary (LAB) at 120 km depth. The LAB depth represents a typical, that is, non-cratonic, non-orogenic, intracontinental setting (Artemieva, 2006; Pasyanos et al., 2014). The remaining material beneath the LAB is considered asthenosphere.

The model mesh consists of three different levels of resolution based on model depth. The maximum resolution of 5 km extends from the surface to 50 km depth, where the transition from plastic to viscous yielding in the mantle lithosphere generally occurs. This allows us to resolve all plastically deforming material at the highest resolution. Lower resolved 10-km mesh cells are then used until 80 km depth, and below that the remaining lithospheric mantle and asthenosphere are resolved at 20 km.

The initial temperature above the LAB is determined by a steady-state geotherm (Turcotte & Schubert, 2002), and below by a mantle adiabat. For simplicity, the initial rift arms are seeded through a small perturbation: We raise the LAB locally by 10% of the lithospheric thickness. We fix the top boundary temperature at 0°C, and the bottom boundary at the temperature initially determined from the mantle adiabat. All other boundaries are set to zero heat-flux.

Mechanically, the model is extended for 25 Myr at a velocity of 20 mm/yr giving a total extension of 500 km. This involves prescribed outflow of 10 mm/yr on the east and west boundaries, with inflow through the bottom boundary to conserve volume. The north and south boundaries are set to free slip, and the top boundary is a true free surface.
Although our model captures some of the complexity involved in rift systems, we note that there are several processes which likely affect rift evolution that are not included. For instance, in the models presented here we assume deformation initially localizes above seeded LAB perturbations, however in nature this localization may instead be related to plume activity (Buiter & Torsvik, 2014; Koptev et al., 2018). Additionally, while the lithosphere thickness varies spatially (Artemieva, 2006; Artemieva & Mooney, 2001; Koptev & Ershov, 2011) and likely includes heterogeneities, our models are spatially homogeneous and we do not consider how crustal or lithospheric-scale inheritance may affect the results (e.g., mantle scarring; Heron et al., 2019). Also, we do not consider the movement and deposition of sediment through surface processes. Finally, magmatic processes such as the thermal effect of melt and its movement (e.g., diking and underplating) are not considered, which may result in less drastic weakening (Bahadori & Holt, 2019; Gerya et al., 2015).

3. Generic Models

In this section, we investigate and discuss the connection between offset rift arms through a series of 48 numerical extension models. We vary four parameters to test their effect on rift connection: (1) the offset of pre-defined rift arms perpendicular to the rift trend, that is, in the X-direction (Figures 2–5); (2) their offset along-strike, that is, in the Y-direction (Figure 6); (3) the crustal strength (Figure 7); and (4) the lithosphere thickness (Figure S3). Offset in the X-direction is varied from a small initial offset of 100 km up to 400 km, where offset rifts no longer interact (Le Pourhiet et al., 2017). The Y-offset is similarly varied between 100 and 400 km, always including some positive offset (underlap) to let overlap develop naturally while testing whether the time-dependency of along-strike rift offset directly affects the resulting connection. We vary the crustal strength by changing the crustal ratio of upper to lower crust from 35:0 to 10:25 km to span the range of possible crustal configurations (Mooney, 2010). Finally, the lithosphere thickness is varied between 80 and 140 km.

3.1. X-Offset Results

We run four model simulations with X-offsets of 100, 200, 300, and 400 km. The Y-offset is kept at 300 km and the ratio of upper to lower crust at 25:10 km. In these cases, each change in the X-offset results in a different type of connection, or lack thereof, between the rift arms, and we distinguish 4 different kinematic regimes: Regime 1: Connection through an oblique rift (Figure 2); Regime 2: Connection through a transform fault (Figure 3); Regime 3: Formation of a continental microplate followed by an eventual rift jump (Figure 4); and Regime 4: Rift jump with no interaction between the rifts (Figure 5).

3.1.1. Regime 1: Oblique Linkage

Two rift arms are emplaced 100 km apart in the X-direction and strain localizes on two initial faults at a dip angle of ∼45° above the perturbation (see Figure 2 and Movie S1). By 2 Myr, a ∼3.7 km deep rift valley forms between the initial faults on both sides and strain begins to localize in the center of these valleys. Simultaneously, rift tips propagate into the undeformed crust establishing multiple oblique (azimuth angle of ∼25°) faults which bridge the two deeper rift valleys through a ∼2 km deep depression (Heidbach et al., 2007) in the center. Deformation transfers from these oblique faults into the center of the rift valley, and by 4.5 Myr the two arms fully connect across the model domain. At this time, a single ∼22°-striking oblique fault becomes dominant in the center, while in the north and south deformation continues on two faults which remain orthogonal to extension. As extension continues, rift migration begins and small differences from numerical noise lead to along-strike changes in the migration direction for the central and southern rift segments (Figure 2, 7 Myr). This migration phase ends earlier in the central segment, causing the onset of crustal breakup. By 11 Myr the faults have stabilized and form a continuous fault zone with a general obliquity of ∼20° as seafloor spreading begins.

3.1.2. Regime 2: Transform Linkage

The initial distance between the rift arms is increased to 200 km in the X-direction, and strain initiates two faults (Figure 3, Movie S2) similar to the previous model. By 2 Myr, the rift tips propagate into the undeformed crust, curving inward toward the opposite rift arm at an ∼24° azimuth angle. At 4 Myr, strain...
localizes in the rift valley of each rift arm, and the initial faults cease to be active. At 6 Myr these new faults link through the topographically high center by a $\sim 75^\circ$-striking oblique fault that forms within an oblique necking zone between the offset rift arms. The northern and southern rift arms migrate toward the east and west model boundaries, respectively, until 13.5 Myr, when migration stops and seafloor spreading begins. Like in Le Pourhiet et al. (2017), our models reproduce the process of steady-state rift migration and the generation of wide stretches of hyperextended crust (Brune et al., 2017b; Brune et al., 2014; Pérez-Gussinyé et al., 2020; Svartman Dias et al., 2015; Tetreault & Buiter, 2018). As strain further localizes, a short $\sim 100$ km transform fault forms, through continental crust, within the highly oblique fault that connects the two rift arms.

### 3.1.3. Regime 3: Microplate Formation

The X-offset of the rift arms is further increased to 300 km, and the rift tips initially propagate forward into the undeformed crust (Figure 4, Movie S3). At 2 Myr the tips overlap $\sim 230$ km and curve inward at a 20° azimuth angle. Deformation in both rifts localizes in the rift valley forming a center fault. By 7 Myr, the

![Figure 2. Evolution of the oblique linkage in Regime 1. The top down view shows the elevation (green to blue), the strain rate (transparent to black), and the landward limit of oceanic crust (>70% mantle material, orange line). Velocity arrows indicating the horizontal velocity are scaled with the velocity magnitude. Slices show the profile from A to A’ in the X-direction (Movie S1). Dark red line shows a contour of the Moho, and white lines temperature contours.](image-url)
center faults remain generally orthogonal to extension, except the tips which curve inward. As extension continues, the rifts migrate outward to the east and west. Overlap between the rift arms increases as the rift tips, unable to connect through the topographically high center block, propagate forward developing into two sections: an orthogonal section near the model north and south boundaries and 50°-striking (east) and 35°-striking (west) oblique sections where the rift arms overlap. Simultaneously, extension causes uplift and counterclockwise rotation of the relatively undeformed center between the overlapping, right-stepping rifts, thus creating a rigid, independently rotating, continental microplate. By 17 Myr, seafloor spreading has begun on both rift arms. Microplate rotation continues as the rifts migrate east-west and propagate north-south, until \( \sim 25 \) Myr when the eastern rift arm reaches the southern boundary, attaching the microplate to the western side. Subsequently, the western rift delocalizes and then fully dies out by 26.5 Myr, leaving a \( \sim 240 \) km wide-uplifted microplate core (measured in the \( X \)-direction along the center).

### 3.1.4. Regime 4: Rift Jump to Dominant Rift

Rift arms are initially emplaced 400 km apart in the \( X \)-direction (Figure 5, Movie S4). Initial faults diffusely propagate forward into undeformed crust in the \( Y \)-direction, before secondary border faults form, slightly

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**Figure 3.** Evolution of the transform fault connecting the rifts in Regime 2 (Movie S2), where \( \theta \) represents the linkage angle. Refer to Figure 2 for explanation.
inward, but parallel to the initial ones. At 3.5 Myr, strain begins to localize in the rift valley between the initial faults, establishing a center fault. Simultaneously, the secondary faults continue to propagate through the crust in the Y-direction. By 6 Myr the initial faults are inactive, and the center fault links to one of the secondary faults, which in the case of the eastern rift extend to the southern boundary. In the eastern rift, the center fault propagates along the western secondary border fault localizing in the rift valley created by the two secondary faults. At 9 Myr, the western rift has completely died out while the eastern rift’s center fault has crossed the entire model domain. The eastern rift migrates eastward until 15 Myr. At this time, rift migration ceases as seafloor spreading begins.

### 3.1.5. X-Offset Interpretation

The changes in regime with increasing X-offset suggest that X-offset exerts a major control on rift linkage dynamics. Small offsets allow rifts to easily interact and connect through an oblique fault (Regime 1). By increasing the offset, the rifts propagate farther forward before connecting. This suggests that, as offset increases, the connecting rift will become more oblique (i.e., higher linkage angle, see θ in Figure 3) until obliquity is high enough to connect the rifts through a transform fault (Regime 2). As the offset is further...

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**Figure 4.** Evolution showing the formation and rotation of the microplate seen in Regime 3 (Movie S3). Refer to Figure 2 for explanation.
increased (>200 km), the rifts interact but cannot link through the strong continental block between the two rift arms. This leads to further overlap and center block rotation as the faults co-exist, forming a microplate that rotates until an eventual rift jump to the dominant rift. Further increasing the X-offset likely decreases the interaction between the two rifts, lowering the amount of microplate rotation. Eventually, at 400 km, the rifts are too far apart to interact before reaching the opposite model boundary. While in nature at some X-offset rifts should no longer interact, analog models suggest that the formation of overlapping spreading centers becomes more likely as rift segment length increases relative to the offset (Acocella, 2008). Thus, it is likely that in our models Regime 4 is affected by our boundary conditions. To test this, we ran an additional simulation where the length of the model in the Y-direction was increased to 900 km. Indeed, in this case, the rifts interact and a comparably large microplate forms (Figure S2) suggesting that there is no upper limit for the size of a microplate in our model setup.

### 3.2. Y-Offset Results

In nature, the along-strike offset of two rift arms is a function of time; rifts that propagate along-strike will initially have a large Y-offset that gradually shrinks. Therefore, we expect that the initial Y-offset does not

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Figure 5. Evolution of the rift jump seen in Regime 4 (Movie S4). Refer to Figure 2 for explanation.
have a large impact on the type of connection. To test this, we perform a series of models varying the Y-offsets from 100 to 400 km for each of the previously employed X-offsets, the results of which are shown in Figure 6. Indeed, in almost all cases, the Y-offset did not impact the connection type. This suggests that the time-dependency of the Y-offset does not lead to a certain regime dominating, but rather the rift’s X-offset when they are close enough to interact controls the subsequent connection. There is some variation in the connection at X-offsets of 200 km. This may relate to a 200-km X-offset being a transitional length between Regimes 2 and 3.

3.3. Crustal Strength Results

To investigate the role crustal strength plays in the connection of offset rifts, we varied the ratios of upper to lower crust between 35:0 (weak), 25:10, 20:15, 15:20, and 10:25 km (strong, see Figure 7). Otherwise the model setups remain identical to the reference models (Figures 2–5). Figure 7 suggests that crustal strength is an important factor in determining how offset rifts link. In this model setup, a higher crustal strength relates to a higher ratio of plastic to viscous deforming material, which results in greater plastic strain localization. As strain becomes more localized, faults connect rather than diffusely propagating forward, resulting in less overlap as crustal strength increases. Thus, at higher crustal strength, larger initial offsets are needed to form transform faults, and microplates do not form as faults connect instead of overlap.

3.4. Lithosphere Thickness Results

To assess the impact of initial lithosphere thickness on rift linkage, we varied the lithosphere thickness from 80 to 140 km (Figure S3). The setup of these models is similar to those shown in Figures 6e–6h, with a crustal ratio of 25:10, Y-offset of 300 km, and variable X-offset between 100 and 400 km, although with a larger Z-extent of 280 km. The resulting regime diagram after 25 Myr is very similar to the model suite where we varied crustal strength (Figure 7), with thicker, colder lithosphere leading to enhanced plastic
strain localization similar to having a thicker layer of strong lower crust. Again, the distribution of regimes is largely determined by the X-offset. In contrast to our previous results however, the deformation does not localize in distinct rift segments if the initial lithosphere thickness is smaller than 80 km. In these cases, lithospheric strength is so low that the initial, 10 km thick LAB perturbation that seeds the rift segments is not sufficient in driving rift localization.

3.5. Discussion and Comparison to Previous Work

Our study suggests that offset rifts link through four different regimes, which are dependent on the X-offset of the rift arms and the crustal strength. Our results and connection types are similar to what is seen in earlier analog and numerical experiments, where models connect through transfer zones leading to oblique (like Regime 1) or transform fault (Regime 2) connections, or by accommodation zones where overlapping spreading centers, which can be considered precursors to microplate development, form (Regime 3, Acocella, 2008; Allken et al., 2011, 2012; Gerya, 2013b; Le Pourhiet et al., 2017; Tentler, 2003; Tentler & Acocella, 2010; Zwaan & Schreurs, 2020). Similarly, at large offsets, no rift connection occurs (Regime 4, Allken et al., 2011, 2012; Le Calvez & Vendeville, 2002; Le Pourhiet et al., 2017). However, in many of these previous models smaller initial X-offsets were used (∼50–120 km), and in contrast to our study differences were seen when varying the Y-offset (e.g., Tentler & Acocella, 2010).

An important factor in the mode of rift linkage is the linkage angle (with 90° being strike-perpendicular, see θ in Figure 3). In previous analog modeling studies, the linkage angle was found to be a function of the
Y-offset, with the angle increasing as Y-offset was reduced (Tentler & Acocella, 2010). Mechanically, most aforementioned analog setups involve a brittle layer overlying a viscous material. This setup strongly resembles our models with a crustal ratio of 10.25 km (Figures 7q–7t) where nearly the entire crustal region acts as a single brittle block. While we varied the Y-offset in a weaker crustal setup, we found that Y-offset has little effect on overall connection type, which is instead dependent on the X-offset. Similarly, in our reference setup we find that linkage angles increase with the X-offset and not the Y-offset. In addition, Le Pourhiet et al. (2017) suggest that the linkage angle is also a function of crustal strength, and that linkage angles decrease in strong crust. Our findings agree with this (Figure 7, see also supplementary animations), and additionally suggest that the control of the X-offset on rift linkage angles becomes less obvious in strong rheologies. We suggest that due to greater plastic strain localization in stronger crust rift linkage becomes more efficient, thus rifts are likely to link at larger Y-offsets than in weak crust leading to lower linkage angles.

Although we find that overall crustal strength contributes to the style of rift linkage, our models corroborate earlier findings that the integrated brittle strength plays an even more important role. Using crustal-scale models with a brittle upper crust overlying a ductile lower crust, Allken et al. (2011, 2012) suggest that less cohesion and plastic strain weakening favors diffuse rift propagation and overlap. Similarly, we show that models less susceptible to plastic strain weakening (i.e., a lower ratio of brittle to ductile deforming material) have more diffuse rift propagation. In addition, we find that in models with greater integrated brittle strength, rifts are more susceptible to plastic weakening. This generates focused rifts that are likely to connect earlier at lower linkage angles and thus less likely to overlap. If, however the integrated brittle strength is lower and localization less effective, rifts propagate more diffusely allowing higher linkage angles and increasing the likelihood of overlap and continental microplate formation. These results suggest that overall crustal strength is less important to the style of rift linkage than the integrated brittle strength. This finding is similar to that of Naliboff and Buiter (2015), who suggested that integrated brittle strength exerts key control on rift reactivation.

An additional factor governing linkage kinematics is rift propagation speed (Jourdon et al., 2020; Le Pourhiet et al., 2018). When propagation is slow, deformation becomes more diffuse. Shortening parallel to the rift propagation direction reduces the rift propagation speed resulting in diffuse V-shaped rift propagation (Le Pourhiet et al., 2018). In our models, where rifts overlap rotation occurs in the overlapping region, and this rotation applies a shortening component to the rift tips (Figure 4, 17 Myr), slowing rift propagation. For Regime 2, the overlapping region is small and deformation quickly localizes into strike-slip motion. However, for Regime 3, where the rifts cannot easily link, rotation continues to apply shortening to the rift tips prolonging microplate rotation and leading to V-shaped propagation on both sides of the microplate. Figure 7 suggests that microplates form in a small crustal strength range. In this range strain localization is not so efficient that rifts cannot overlap, but not so diffuse that they hardly interact at all.

The thickness of the brittle layer is speculated to determine the maximum X-offset for rift linkage (Allken et al., 2012; Vendeville & Le Calvez, 1995). In accordance with this, analog experiments have had similar types of rift linkage at much smaller X-offsets than used in this study (Acocella, 2008; Tentler, 2003; Tentler & Acocella, 2010). However, recent lithosphere-scale studies with thermal effects found that rifts can interact at offsets much larger than the brittle layer thickness (<400 km; Le Pourhiet et al., 2017). Our study finds that the 400 km limit is related to boundary conditions (Figure S2), and that in larger domains rifts can still interact and form microplates with a 400 km X-offset. While we investigated the effects of lithosphere thickness on rift linkage, our models are too small to fully explore whether the brittle layer thickness affects the maximum X-offset for rift interaction in lithosphere-scale studies. Additionally, while we vary the lithosphere thickness we do not change our total crustal thickness. Different combinations of crustal setup and lithosphere thicknesses may result in different X-offset ranges for the regimes mentioned in this study.

In this study, transform faults are <200 km, which agrees with earlier studies (Allken et al., 2012; Gerya, 2010, 2012, 2013a, 2013b; Püthe & Gerya, 2014), but in nature transform faults can range from <100 to >1,000 km (Boetcker & Jordan, 2004). Ammann et al. (2017) found that for transform faults >200 km to form, oblique extension is vital. Additionally, in large domains with low extensional velocity they find that overlap and microplate formation are favored over transform faults. This agrees with analog models, which suggest that a larger total length of rift segments helps rift overlap (Acocella, 2008), while in some cases higher extensional obliquity may promote rift linkage (Zwaan et al., 2016; Zwaan & Schreurs, 2017). Thus,
in large domains where microplate formation is more likely, a temporal change in extensional direction to a more oblique orientation, such as during the rifting of the South Atlantic (Heine et al., 2013), may help facilitate a rift jump and lead to a transform fault linking the rift segments attaching the microplate to one side. While transform faults are an important factor in seafloor spreading, whether they form only during seafloor spreading (Eagles et al., 2015; Nguyen et al., 2016), or can initiate earlier in late-stage continental rifting, is still unclear. Illsley-Kemp et al. (2018) suggest that early proto-transform fault segments can rotate, forming pure strike-slip motion prior to seafloor spreading in magmatically active rift systems. Our results agree with their findings, as prior to seafloor spreading we observe the formation of short nearly pure strike-slip motion transform faults within the highly oblique proto-transform segment connecting some offset rifts (e.g., Figure 3). Additionally, our results suggest that the inclusion of magmatic processes is not required to form such transform faults, and that transform faults can initiate within amagmatic continental rift systems.

4. Comparison of Numerical Models to Two Natural Microplate Settings

In this section we discuss how our models compare to two regions where evidence suggests there is a continental microplate, namely the Flemish Cap (Welford et al., 2012) and the Sao Paulo Plateau (Scotchman et al., 2010). We first compare the reference microplate model evolution to the Flemish Cap, formed during the rifting of the North Atlantic. Second, we focus on the formation of the Sao Paulo Plateau in the Santos Basin, which formed during the rifting of the South Atlantic.

4.1. The Flemish Cap Geologic Setting

The Flemish Cap is a 20–30 km thick continental block (continental ribbon) tethered to the rifted continental margin of offshore Newfoundland, eastern Canada (Funck et al., 2003; Gerlings et al., 2011; Keen & de Voogd, 1988) (Figure 8b). The broader continental shelf offshore Newfoundland comprises the Grand Banks, the Bonavista Platform, and the Flemish Cap. It consists of basement rocks of the Avalon terrane, a Gondwanan terrane that was accreted to Laurentia (North America) during the Paleozoic closing of the Iapetus Ocean as part of the Appalachian Orogeny (Haworth & Keen, 1979; Williams, 1984, 1995). During the Mesozoic breakup of the supercontinent Pangea, rifting and opening of the modern North Atlantic Ocean occurred within the Avalon terrane.

The Flemish Cap lies to the southeast of the deepwater Orphan Basin, out of which it is proposed to have originated (Le Pichon et al., 1977; Sibuet et al., 2004; Srivastava & Verhoef, 1992), with Sibuet et al. (2007) arguing for 43° of clockwise rotation from the Late Triassic to the Early Cretaceous and a further translation of 200–300 km southeastward, relative to North America, from the Late Jurassic to the early Aptian. The Orphan Basin is itself underlain by extended continental crust, with zones of hyperextension resolved using seismic refraction, reflection, and potential field methods (Chian et al., 2001; Gouiza et al., 2017; Lau et al., 2015; Watremez et al., 2015; Welford et al., 2020; Welford et al., 2012). One particularly striking feature of the Orphan Basin is the alignment of zones of hyperextended continental crust (highlighted by peach dashed lines in Figure 8b) that have been interpreted as failed rifts (Chian et al., 2001; Welford et al., 2020; Welford et al., 2012). While the independent rotation of the Flemish Cap relative to North America has been successfully modeled by recent plate reconstructions, both rigid (Nirrengarten et al., 2018) and deformable (Peace et al., 2019), the precise mechanisms that led to the rotation of the Flemish Cap and the failure of rifts within the Orphan Basin are yet to be elucidated.

4.2. Flemish Cap Comparison and Discussion

We compare the first-order crustal architecture of the Flemish Cap to a mirrored version of the reference microplate model (300 km X-offset, 300 km Y-offset, 25:10 crustal ratio, 120 km lithosphere) at 30 Myr model time (Figure 8c). This allows us to examine how rifting would evolve if initial rift placement had a different polarity of rift arm offset. In the model, a 240 km wide microplate core was rotated ∼50° and 280 km off the western margin (measured in the X-direction along the center). A small region of oceanic crust
formed from the obsolete western rift, and on the eastern side oceanic crust formed along most of the rift. The model had an eastern rift jump that attached the clockwise-rotating microplate to the western margin.

From the comparison of present-day crustal thicknesses across the Newfoundland margin (Figure 8b) and the mirrored microplate modeling results (Figure 8c), the microplate model successfully replicates the scale of the Flemish Cap rotating block, the approximate areal extent of rifting in the Orphan Basin, and the eastward rift jump outboard of Flemish Cap leading to the failure of the rifts in the Orphan Basin. The only major discrepancy could be argued to involve the extent of predicted oceanic crust generated in the failed rift branch. To date, no oceanic crust has been interpreted to underlie the Orphan Basin, although crustal velocities within the failed rift along profile W20 in Figure 8d (Welford et al., 2020) do not definitively preclude the presence of oceanic crust.
The modeling results (Figure 8c) reveal that the evolution from initial rift branch interaction, through microplate formation and rotation (∼50°), to eventual rift branch failure, can be achieved in less than 35 Myr. This time window is significantly narrower than the Late Triassic to Early Cretaceous time scale proposed by Sibuet et al. (2007) for the Flemish Cap, which is due to the fact that the modeled constant extension velocity of 20 mm/yr (full rate) exceeds divergence velocities of the initial, slow rift phase in this region (Barnett-Moore et al., 2018; Brune et al., 2016; Peace et al., 2019). Nevertheless, careful seismic interpretation of reflection data within the Orphan Basin is still needed to better constrain the exact timing of the Flemish Cap rotation.

Constrained 3D gravity inversions performed on the conjugate Flemish Cap/Orphan Basin and Irish Atlantic continental margins (Welford et al., 2012) have resolved significantly different rifting styles and compartmentalization. Specifically, on the Irish margin, hyperextended crust beneath sedimentary basins like the Porcupine Basin is abruptly juxtaposed against unstretched crustal blocks like the Porcupine Bank. By contrast, the crust beneath the Orphan Basin appears to have been stretched more uniformly. Based on geodynamic modeling results from Huismans and Beaumont (2011) and Welford et al. (2012) argue that fundamental rheological differences controlled the different rifting styles across the conjugate pair and that a weak crustal layer underlies the Orphan Basin. This conclusion is consistent with the results displayed in Figure 7 where microplate formation and rotation is predicted for the models involving weaker crust.

4.3. Sao Paulo Plateau Geologic Setting

The Sao Paulo Plateau (SPP) is a marginal plateau (Kumar & Gambôa, 1979; Mohriak et al., 2010) occupying large parts of the Santos Basin on the Brazilian Atlantic margin. It is delimited landward by the wide continental shelf of the Santos Basin, by the Cabo Frio Transfer zone/Rio de Janeiro Fracture Zone to the north, the oceanic crust in the east (approximately coinciding with the Jean Charcot seamounts) and the Sao Paulo escarpment/Florianopolis Fracture zone to the south. Water depths on the SPP range between 2000 m and more than 3,000 m (Figure 9b).

The Santos Basin and the SPP were formed during the early Cretaceous as part of the South Atlantic rift system (Chang et al., 1992; Heine et al., 2013; Meisling et al., 2001) and are situated just south of a major rift segment boundary between the central (conjugate Kwanza-Campos Basins) and the southern South Atlantic rift segment (conjugate Santos/Namibe Basins; Guiraud et al., 2010; Meisling et al., 2001). Here, the rift axis is offset to the east by about 300 km along the Cabo Frio-Benguela transform system. Continental extension between Africa and South America commenced in the early Cretaceous, rifting lithosphere composed of reworked Archean inliers, Neoproterozoic magmatic arcs and orogenic belts of the Brasiliano orogenic cycle, with a tectono-thermal age of the lithosphere of approximately Cambrian age (around 550–500 Ma; Neves et al., 2014). Approximately 10 Myrs into rifting, the Tristan da Cunha (TC) plume impinged on the South American plate, creating the Parana-Etendenka Large Igneous Province (Krob et al., 2020 and references therein; Heine et al., 2013), with the conjugate Walvis/Florianopolis ridges being one eruption center in the southern part of the Santos Basin. This magmatic episode with extensive extrusive basalt forms the present-day economic basement (Chang et al., 1992; Moreira et al., 2007). At the time of emplacement, the rift had been extended by approximately 100 km (Heine et al., 2013). Continued extension and waning magmatic budget resulted in a complex rift architecture obscured by a thick layer of Aptian-aged evaporites covering large parts of the basin. Several giant hydrocarbon discoveries in the pre-salt sequences are hosted in shallow water carbonate facies. Subsequent infill of the basin largely consists of mixed carbonate and clastic sediments, affected by complex salt tectonics (Moreira et al., 2007).

The nature of the crust underlying the SPP is debated. Nearly all hydrocarbon wells terminating in the pre-salt sequences throughout the Santos Basin have terminated in basaltic extrusives. Regional analyses using seismic reflection, refraction, and potential field methods (e.g., Borges & Gambôa, 2015; Evain et al., 2015; Klingelhoefer et al., 2014; Meisling et al., 2001; Scotchman et al., 2010; Zalán et al., 2011) conclude that large parts of the Santos Basin and Sao Paulo Plateau are underlain by thin crust of 13–25 km of mixed continental to magmatic crustal type (“heterogenous crust”), thermal buoyancy induced by the Tristan plume has likely resulted in dynamic uplift causing shallow water conditions on the SPP, despite relatively thin crustal thicknesses observed across the plateau (e.g., Evain et al., 2015).
Despite the disagreements on crustal type underlying the SPP and the Santos Basin, the area shows characteristics of microplate formation (Heine et al., 2013; Moulin et al., 2013). In the SW part of the Santos Basin, an aborted oceanic spreading ridge propagator, the Abimael Ridge (sometimes referred to as Avedis Ridge), has been identified (e.g., Chang et al., 1992; Meisling et al., 2001; Scotchman et al., 2010). Along the proximal margin of the Santos Basin, potential field data indicate a zone of en echelon Moho uplifts (Meisling et al., 2001) and crustal thinning, along with extensive seaward-dipping reflector sequences (SDRs) indicating accommodation space formation related to crustal extension during a magma-rich rift phase. A faulted base salt surface in the inner, western part of the Santos Basin and isolated graben structures such as the Merluza Graben area in the northern part of the basin (Magee et al., 2021), indicate that the Avedis ridge has been active at least until deposition of the extensive early Aptian-aged evaporite layer in the central South Atlantic. Toward the NE basin margin, this zone merges with the Cabo Frio-Benguela transform (Guiraud et al., 2010; Mohriak et al., 1995), which laterally offsets the rift axis by about 500 km eastwards into the northerly adjoining Campos-Kwanza/Benguela rift segment. Crustal thickness observations and inverse models show that the eastern, distal part of the Santos Basin, east of the Sao Paulo Ridge/High is characterized by a second necking zone (Norton et al., 2016), which further thins the crust and eventually continues into steady-state oceanic crust (Mohriak et al., 2010).
Plate kinematic reconstructions model an initial northward propagation of the southern South Atlantic oceanic spreading ridge into the Abimael Ridge region (Heine et al., 2013; Meisling et al., 2001; Moulin et al., 2013), of the western Santos Basin in pre-salt deposition times (i.e., pre late Albian), being laterally accommodated and offset by the Cabo Frio transform. The deformation focus/spreading ridge of the central South Atlantic is attempting to propagate southward, offset along the Angolan Benguela margin (Guiraud et al., 2010) and eventually overlapping with the Abimael Ridge for a limited amount of time until the Abimael Ridge becomes extinct and deformation is localized along the eastern margin of the Sao Paulo Plateau, forming a continuous spreading ridge connecting the central and south Atlantic rift segments (Heine et al., 2013; Moulin et al., 2013).

4.4. Sao Paulo Plateau Comparison and Discussion

In this section we compare the Sao Paulo Plateau to the reference microplate model at 30 Myr (300 km X-offset, 300 km Y-offset, 25:10 crustal ratio, 120 km lithosphere). The SPP represents a region of thickened crust that formed in a complex system influenced by the impingement of the TC plume, leading to the emplacement of large amounts of magmatic crust. Despite the plume’s influence, the overall geometry of the plateau and western failed rift is comparable to the microplate modeled in this study. In both cases, a region of relatively thick crust (SPP) is encompassed by thinned crust from a failed western rift (Abimael Ridge region) to the west, and a dominant ocean-forming rift to the east.

Discrepancies between our models and the SPP arise in the size of the microplate and features in the surrounding region. The SPP crustal thickness ranges between 13 and 25 km and the core is ∼140 km wide. This is both smaller and thinner than the microplate modeled in this study. The region is bounded by the Florianapolis fracture zone to the south. Analog modeling suggests that hard rift linkage is sometimes facilitated with oblique rifting (Zwaan et al., 2016; Zwaan & Schreurs, 2017), thus it is possible that the region's directional change in extension may have favored the formation of transform faults to connect the overlapping rift segments.

One limitation in our model is that we do not include melt processes, which may be especially important in the SPP region with the arrival of the TC plume (Beniest et al., 2017; Lavecchia et al., 2017). Even though we do not include melting, we partially address this through an additional supplementary model (Movies S5 and S6) where we include the arrival of a thermal mantle plume in two stages to represent the plume head and stem (similar to Bredow et al., 2017; Gassmöller et al., 2016; Koptev et al., 2015; Steinberger et al., 2019). Because the TC plume did not impinge on the region until ∼10 Myr after rifting began, we prescribe the plume arrival in the model at 10 Myr and find that at this stage and without melt processes the plume does not drastically affect model evolution, but plume placement can influence the rift jump direction.

5. Conclusions

In this study we show that rift branches that are offset along (Y) or perpendicular (X) to strike connect in 4 ways: Regime (1) through an oblique rift, Regime (2) through a transform fault, Regime (3) by microplate formation with a rift jump to the dominant rift, or Regime (4) through a rift jump to the dominant rift without rift interaction. We find that the X-offset is the primary factor determining the connection type. The secondary factor is the effectiveness of plastic strain localization, which in this case relates to the crustal strength or lithosphere thickness. In weaker crust, the integrated brittle strength is lower and the models are less susceptible to plastic weakening, which leads to more diffuse rift propagation. This diffuse propagation promotes rift overlap, which slows rift propagation, causing rotation and microplate formation. In stronger crust with higher integrated brittle strength, plastic strain is more localized and rift connection becomes more efficient; in these cases, microplates do not form and oblique and transform fault connections occur at larger X-offsets.

The microplates modeled in this study exhibit a core of poorly thinned continental crust that has been rotated (counter-clockwise for right-stepping rift segments, clockwise for left-stepping ones). Pronounced thinning occurred on both sides of the microplate from two coexisting rifts, with an oceanward rift jump rendering the landward rift obsolete. Early rift geometries and interactions in the microplate models resemble the East African Rift System and show that overlapping rifts with a rotating microplate can form without
the guidance of lithospheric strength heterogeneities (e.g., mobile belts; Glerum et al., 2020). Additionally, the reference model elucidates many features of the Flemish Cap and Sao Paulo Plateau, two extensional microplates that formed during the rifting of the North and South Atlantic, respectively. In both regions there exists thinning to both sides of a relatively thick core that has been rotated oceanward off the margin. Both areas are also associated with a landward failed rift and a likely rift jump to the dominant ocean-forming rift. Beyond these two examples, our modeled evolution of microplate kinematics could be a template to understand the formation of other continental promontories at rifted margins across a range of scales worldwide, such as the Galicia, Porcupine, and Rockall Banks, the Faroes/Fugloy Ridge, Jan Mayen, the NE Brazil Borborema Province/Sergipipe Block, the Falkland Islands microcontinent, the Exmouth Plateau on the Australian NW Shelf, and Sri Lanka.

Data Availability Statement

All models were run using deal.II 9.1.1, and the ASPECT version with all model parameter files found here: https://doi.org/10.5281/zenodo.4601188.

Acknowledgments

This study was conducted within the Helmholtz Young Investigators Group CRYSTALS (VH-NG-1132). The authors thank the Computational Infrastructure for Geodynamics (geodynamics.org), which is funded by the National Science Foundation under award EAR-0949446 and EAR-1550901, for supporting the development of ASPECT. The work was supported by the North-German Supercomputing Alliance (HLRN). Figures in this paper were made with ParaView, the Generic Mapping Tools (Wessel et al., 2019), and InkScape, and color scales are from Crameri (2018). The authors thank Shell Global Solutions International B.V. for the permission to publish the higher resolution crustal thickness data for the Santos Basin which was kindly provided by Lorcan Kennan. The authors would like to thank the reviewers Laetitia Le Fourhié, Alexander Koptev, and Michael Nirringarten for their detailed and constructive feedback on this manuscript.

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