Adapt or perish: Evolutionary rescue in a gradually deteriorating environment

Loïc Marrec1, Anne-Florence Bitbol1,2*

1 Sorbonne Université, CNRS, Institut de Biologie Paris-Seine, Laboratoire Jean Perrin (UMR 8237), F-75005 Paris, France
2 Institute of Bioengineering, School of Life Sciences, École Polytechnique Fédérale de Lausanne (EPFL), CH-1015 Lausanne, Switzerland

* anne-florence.bitbol@epfl.ch

Abstract

We investigate the evolutionary rescue of a microbial population in a gradually deteriorating environment, through a combination of analytical calculations and stochastic simulations. We consider a population destined for extinction in the absence of mutants, which can only survive if adaptive mutants arise and fix. We show that mutants that appear later during the environment deterioration have a higher probability to fix. We demonstrate that the rescue probability of the population increases with a sigmoidal shape when the product of the carrying capacity and of the mutation probability increases. Furthermore, we find that rescue becomes more likely for smaller population sizes and/or mutation probabilities if the environment degradation is slower, which illustrates the key impact of the rapidity of environment degradation on the fate of a population. We also show that specialist mutants are slightly more efficient at rescuing the population than generalist ones. We further express the average time of appearance of the mutants that do rescue the population and the average extinction time of those that do not. Our methods can be applied to other situations with continuously variable fitnesses and population sizes, and our analytical predictions are valid beyond the weak-mutation regime.

Introduction

Understanding how a population of living organisms can survive in a gradually deteriorating environment is a fundamental question in evolution [1–3], which is particularly relevant in the pressing context of climate change [4–8]. Addressing this question is also important in order to understand antimicrobial resistance evolution, which often occurs in a variable environment, as antimicrobial is added to a medium or given to a patient [9–10]. Indeed, even when antimicrobial is added instantaneously, the resulting fitness decrease is gradual [9]. Moreover, resistance evolution tends to be favored by gradually increasing antimicrobial concentrations [11–13]. In a deteriorating environment, the fitness of wild-type organisms decreases with time. In the simple case of asexual microorganisms, considering that fitness is division rate, the fitness of microorganisms can then become smaller than their death rate, which yields a decrease of population size, eventually leading to extinction [10]. However, the population can be rescued by a mutation which is better adapted to the new environment, and restores positive population growth: this phenomenon is called evolutionary rescue [17–24].

A gradually deteriorating environment impacts the population size and the fitness of the wild-type organism, which can both strongly impact the fate of a mutation [2]. Studying the evolutionary rescue of a population in a gradually deteriorating environment requires accounting for simultaneous continuous time variations of fitness, population size and population composition, which makes it complex. Varying patterns of selection have recently been the focus of significant interest, mainly in the case of switches between different environment states, highlighting their strong effect on evolution [22–35]. Despite its practical relevance, the case of a continuously varying fitness has been comparatively less studied, with a focus on stabilizing selection [36–37] or on the fate of a single beneficial mutation [11,13]. Furthermore, most works on evolutionary rescue consider an abrupt environment change [38,39]. Here we address evolutionary rescue in a gradually changing environment, which deteriorates from the point of view of wild-type organisms.

Adaptation to a new environment can occur in multiple ways. A specialist mutant that is particularly well-adapted to this new environment can emerge. Another possibility is the appearance of a generalist mutant, which is able to grow in both the initial and the final environments, while being less fit than specialists in their respective
favorable environments \[30,40,42\]. Concrete examples of generalists include multi-resistant microorganisms and broadly-neutralizing antibodies \[41,42\].

In the present work, we consider a microbial population subjected to a gradual environment deterioration, such that the fitness and the size of the wild-type population are gradually decaying, and that extinction would be certain in the absence of adaptation. We study the fixation probability of generalist and specialist adaptive mutants as a function of the time when they appear during the environment deterioration. We obtain an expression for the overall probability that the population is rescued by an adaptive mutation, thereby avoiding extinction. We investigate the dependence of the rescue probability on the rapidity of the environment deterioration, as well as on population size and mutation probability. We also compare generalist and specialist mutants. We further express the average time of appearance of the mutants that do rescue the population and the average extinction time of those that do not.

Model and methods

Population model

We consider a population of asexual microorganisms with carrying capacity \(K\), corresponding to the maximum population size that the environment can sustain, given e.g. the nutrients available. We assume that two types of microorganisms can exist in this population: wild-type (W) and mutant (M). The division rate of each organism is assumed to be logistic \[44\], and reads \(f_i(t)(1 - N/K)\), where \(N\) represents the total population size, while the time-dependent fitness \(f_i(t)\) with \(i = W\) or \(i = M\) represents the maximal possible division rate of the (wild-type or mutant) organism at time \(t\), which would be reached if \(N \ll K\). The death rates of W and M organisms are respectively denoted by \(g_W\) and \(g_M\). While we assume that the variability of the environment impacts fitnesses and not death rates, our approach can be easily extended to variable death rates. Note that in the case of antimicrobial resistance evolution, variable fitnesses are relevant to model the effect of biostatic antimicrobials, while biocidal ones affect death rates. We further assume that W microorganisms can mutate into M microorganisms with the mutation probability \(\mu\) upon each division. We do not consider back mutations. Our model thus incorporates both variations of population size (population dynamics) and of composition (population genetics) \[25,45,46\]. Throughout, our time unit corresponds to a generation of W microorganisms in the initial environment and in the exponential phase (reached when \(t = 0\) and \(N \ll K\)).

We start from a microbial population composed of \(N_W(0) = N_{0W}\) wild-type microorganisms and no mutant. Specifically, our simulations include a phase of initial growth, which can model e.g. the development of an infection starting from the bottleneck at transmission \[47\]. Our results are robust to variations of this initial condition, since we consider environmental timescales longer than that of the initial growth of the population to its equilibrium size. Note that if we started with a very small number of W microorganisms (i.e. 1 or 2), we would need to take into account rapid stochastic extinctions of the population \[48\]: we will not consider this regime, and in practice we will start our simulations with \(N_{0W} = 10\).

Fitnesses in a deteriorating environment

To model the impact of a continuously deteriorating environment on the fitness of W microorganisms, we choose the Hill function:

\[
 f_W(t) = \frac{1}{1 + (t / \theta)^n}, 
\]

where \(n\) is the Hill coefficient and \(\theta\) the inflection point, such that \(f_W(0) = 0.5\). This sigmoidal function represents a transition between two different environments, by decreasing from the reference fitness value \(f_W(0) = 1\) toward 0 as \(t\) increases, with a steepness that is tunable via \(n\). Specifically, the decay is more abrupt manner for larger values of \(n\) (see Fig. 3A). The Hill function is quite generic in biological contexts, e.g. it is a good model for cooperative reactions, and for the pharmacodynamics of antimicrobials \[49\]. Moreover, the methods presented here do not depend on the exact form of the function chosen.

We will consider two types of adaptive mutants. First, generalist mutants, denoted by G, are not impacted by gradual changes of the environment and have a constant fitness \(f_G\). We choose \(f_G = 0.5\) so that G mutants and W organisms have the same time-averaged fitness. Second, specialist mutants, denoted by S, have a fitness described by an increasing Hill function, so that they are better adapted to the final environment, in contrast to W organisms:

\[
 f_S(t) = \frac{(t / \theta)^m}{1 + (t / \theta)^m}. 
\]
We take the same point of inflection $\theta$ for $W$ and $S$, as it marks the midst of the environmental transition. Conversely, we allow different Hill coefficients $n$ and $m$, reflecting a different sensitivity of $W$ and $S$ individuals to environmental change (see Fig. 1A). Note that $S$ mutants and $W$ organisms have the same time-averaged fitness, and that $G$ mutants are in fact $S$ mutants with $m = 0$.

Fig. 1. Fitnesses and wild-type population in a deteriorating environment. A: Fitnesses $f_W$, $f_G$ and $f_S$ of the wild-type organisms ($W$), generalist ($G$) and specialist ($S$) mutants versus time $t$ (see Eqs. 1 and 2). Several values of the Hill coefficient $n$ are shown for $W$. B: Number $N_W$ of $W$ microbes versus time $t$ for different values of $n$ (same colors as in A). Data points correspond to averages over $10^3$ replicate stochastic simulations, and error bars (smaller than markers) represent 95% confidence intervals. Black solid curves correspond to numerical resolutions of Eq. 3. Parameter values: $g_W = g_S = g_G = 0.1$, $K = 10^3$, $N^0_W = 10$, and $\theta = 10^3$. Vertical dotted line in both panels: $t = \theta$.

Methods

We present both analytical and numerical results. Our analytical results are obtained using methods from stochastic processes, especially from birth-death processes with time varying rates \cite{2, 50–53}. Importantly, our predictions make quite minimal assumptions and extend beyond the weak-mutation regime where $K\mu \ll 1$. Our simulations employ a Gillespie algorithm \cite{54, 55}, and incorporate all individual stochastic division, mutation and death events with the associated rates. In principle, the time variability of the division rates imposes a difficulty \cite{56}, but the sort duration of time intervals between individual events allows us to neglect rate variations between events (see Supporting Information, section 8 for details). Our model allows us to fully account for the stochasticity of mutation occurrence and establishment \cite{57, 61}, as well as that of population extinction \cite{16, 62, 63}.

In our analytical calculations, we will often make a deterministic approximation for the evolution of the number $N_W$ of $W$ individuals, while the evolution of the mutant population will be described in a fully stochastic manner. Indeed, mutants are in small numbers when they appear, while they generally arise in a large population of $W$ organisms. In the deterministic limit, $N_W$ satisfies the following ordinary differential equation:

$$\frac{dN_W}{dt} = \left[f_W(t) \left(1 - \frac{N_W}{K}\right) - g_W\right]N_W.$$  \hspace{1cm} (3)

This description is appropriate for very large $N_W$, and Eq. (3) can be derived from the complete stochastic model in this limit (see Supporting Information, Section 6 and Refs. \cite{64, 65}).

Fig. 1B compares the predictions from Eqs. 1 and 2 to the results of stochastic simulations (see Supporting Information Section 8.1), and demonstrates the validity of the deterministic approximation in this regime. Fig. 1B also illustrates that in the absence of mutants, the population of $W$ individuals always goes extinct, due to the fact that fitness $f_W$ tends to 0 while death rate is nonzero ($g_W > 0$). Moreover, the bigger the Hill coefficient $n$, the faster the $W$ population goes extinct.
Results

Fixation probability of mutants: on the importance of good timing

In a deteriorating environment, mutants will have different fates depending on when they appear. Therefore, before investigating overall rescue probabilities, we address the fixation probability $p_{\text{fix}}(t_0)$ of a mutant as a function of the time $t_0$ when it appears during the environment deterioration. Competition with wild-type organisms is felt by mutants through their division rate $f_M(t)\{1 - [N_W(t) + N_M(t)]/K\}$. At the early stages when competition matters, i.e. when the logistic term is important, the number of mutants is small with respect to the number of wild-type microorganisms, $N_M(t) \ll N_W(t)$, and thus the division rate of mutants can be approximated by $f_M(t)[1 - N_W(t)/K]$. Furthermore, at these early stages, the number of wild-type microorganisms $N_W$ is large enough to be described in a deterministic framework (see Models and Methods, Eq. 3 and Fig. 1). We retain a full stochastic description for mutants, which are in small numbers just after the mutation arises [2, 52, 53], and we introduce the probability to investigate overall rescue probabilities, we address the fixation probability $p_{\text{fix}}(t_0)$ of having $i$ mutants at time $t$ knowing that there is 1 mutant at time $t_0$. The fixation probability of the mutants can then be obtained from the probability generating function $\phi(z, t) = \sum_{i=0}^{\infty} z^i P(i, t|1, t_0)$, which satisfies $p_{\text{fix}}(t_0) = 1 - \lim_{t \to \infty} P(0, t|1, t_0) = 1 - \lim_{t \to \infty} \phi(0, t)$. Solving the partial differential equation governing the evolution of $\phi(z, t)$ (see Supporting Information, section 1) yields [2, 52, 53]

$$p_{\text{fix}}(t_0) = \frac{1}{1 + g_M \int_{t_0}^{\infty} e^{\rho(t)} dt},$$

where

$$\rho(t) = \int_{t_0}^{t} \left[ g_M - f_M(u) \left(1 - \frac{N_W(u)}{K}\right) \right] du.$$  

Numerical resolutions of Eq. 4 are discussed in Section 7.

Fig. 2 shows the fixation probability $p_{\text{fix}}$ of a mutant versus the time $t_0$ at which it appears during the deterioration of the environment. A very good agreement is obtained between the results of our stochastic simulations and the analytical prediction of Eq. 4. This holds both when $t_0 < \theta$, while mutants are less fit than W organisms, and when $t_0 > \theta$, where the opposite is true. In Fig. S1, we provide additional results for the fixation probability of generalist mutants with different fitness values $f_G$, which thus become effectively beneficial sooner or later during the environment deterioration, illustrating that Eq. 4 holds in these various cases.

Fig. 2 shows that $p_{\text{fix}}$ strongly increases with $t_0$: mutants appearing later in the environmental degradation are much more likely to fix. This reflects the increasing fitness advantage of mutants and the decreasing competition with the W population that decays as the environment deteriorates for W organisms. Fig. 2A shows that the increase of $p_{\text{fix}}$ is strong around the inflection point $\theta$, and is steeper for larger Hill coefficients $n$ characterizing the fitness decay of the wild-type organisms (see Eq. 1). Furthermore, for each value of $n$, sufficiently before $\theta$, generalist (G) mutants are more likely to fix than specialist (S) mutants with $m = n$ (see Models and Methods, Eq. 2), because then $f_G > f_S$. Conversely, S mutants are more likely to fix than G mutants sufficiently after $\theta$ because $f_G < f_S$. Note that in section 4 of the Supporting Information, we provide analytical approximations for the fixation probability with large Hill coefficients $n, m \to \infty$. Finally, Fig. 2B shows that for $t_0 > \theta$, $p_{\text{fix}}$ increases with the Hill coefficient $m$ characterizing the steepness of the fitness transition for S mutants, and all S mutants are more likely to fix than G mutants, consistently with the fact that G mutants correspond to S mutants with $m = 0$ (see Eq. 2).

For large $t_0$, the fixation probability $p_{\text{fix}}$ in Eq. 2 converges to $1 - g_G/f_G$ (resp. $1 - g_S$) for G (resp. S) mutants, which is corroborated by our simulation results (see Figs. 2A and S1A). This simple limit can be interpreted as follows: mutants appearing just before the extinction of the W population face negligible competition, and thus they survive and fix unless they undergo rapid stochastic extinction [16, 35, 45]. Importantly, here, $p_{\text{fix}}$ is constructed so that mutant lineages that undergo rapid stochastic extinctions are counted as not fixing in the population.
Rescue probability

So far, we investigated the fate of a given mutant lineage as a function of its appearance time during the environment degradation. Let us now address whether mutants can rescue the population or not. For a mutation probability \( \mu \) at division, both the occurrence of a new mutation and its subsequent fixation probability depend on the number and division rate of W organisms. We thus consider the probability \( p_{af}(t) \) that a mutant appears and fixes between 0 and \( t \), assuming that fixation times are much shorter than other timescales. The rescue probability \( p_r \) corresponds to the probability that a mutant appears and fixes before the microbial population goes extinct, and is thus given by \( p_r = \lim_{t \to \infty} p_{af}(t) \). Using Bayes’ rule, the probability that a mutant appears and fixes between \( t \) and \( t + dt \), denoted by \( dp_{af}(t) = p_{af}(t + dt) - p_{af}(t) \), can be written as:

\[
dp_{af}(t) = (1 - p_{af}(t)) dp_{naf}(t) ,
\]

where \( dp_{naf}(t) \) is the probability that a mutant appears and fixes between \( t \) and \( t + dt \) provided that no mutant has fixed before. The latter can be calculated by considering that the population is fully or mostly wild-type at time \( t \), i.e. \( N_W(t) \gg N_M(t) \): then, \( dp_{naf}(t) = p_{fix}(t) dN_M(t) \), where \( dN_M(t) = N_W(t) f_W(t) (1 - N_W(t)/K) \mu dt \) is the number of mutants that appear between \( t \) and \( t + dt \) in a fully wild-type population. Thus,

\[
\frac{dp_{af}(t)}{1 - p_{af}(t)} = p_{fix}(t) N_W(t) f_W(t) \left( 1 - \frac{N_W(t)}{K} \right) \mu dt .
\]

We again take a deterministic description for \( N_W(t) \) (see Eq. 3), and the fitness \( f_W(t) \) of W organisms is given by Eq. 1. Then, integrating Eq. 7 with \( p_{af}(0) = 0 \) and taking the limit \( t \to \infty \) yields the rescue probability

\[
p_r = \lim_{t \to \infty} p_{af}(t) = 1 - \exp (-\Sigma),
\]

where:

\[
\Sigma = \mu \int_0^\infty p_{fix}(t) N_W(t) f_W(t) \left( 1 - \frac{N_W(t)}{K} \right) dt .
\]
Here, we have assumed that $N_W(t) \gg N_M(t)$ when the mutant that fixes arises. This is expected to be valid in most cases, except in the strong-mutation regime $N\mu \gg 1$ where multiple mutant lineages arise almost simultaneously. Importantly, our calculation is not restricted to the weak-mutation regime $N\mu \ll 1$. Note that if $\Sigma \ll 1$, Eq. 8 reduces to $p_r \approx \Sigma$, which would be obtained by neglecting possible earlier fixations, i.e. by making the approximation $dp_{af}(t) \approx dp_{naf}(t)$: here, we explicitly take into account the fact that several mutant lineages can arise during the decay of the wild-type population. Note also that, since mutant lineages undergoing rapid stochastic extinction are counted as not fixing in $p_{fix}$ (see above), they are correctly counted as not able to rescue the population in $p_r$.

Fig. 3 shows the rescue probability $p_r$ versus the mutation probability $\mu$ at each division. It demonstrates a very good agreement between our analytical prediction in Eq. 8 and results from our stochastic simulations (see Supporting Information, section 8.3). We observe a sigmoidal increase of $p_r$ as $\mu$ increases, with a transition between a small-$\mu$ regime where the population almost certainly goes extinct and a large-$\mu$ regime where it is almost certainly rescued by adaptive mutants. Fig. 3A further shows that this transition is strongly impacted by the rapidity of the environment degradation, which is modeled via the Hill coefficient $n$ (see Eq. 1). Specifically, the faster the environment degradation, the bleaker the prospect is for the population, and the larger $\mu$ becomes necessary to allow its rescue. This is related to the rapidity of extinction of the $W$ population in the absence of mutations: for small $n$, the population decay is slower, allowing a larger window of opportunity for mutants to appear and to be selected (see Fig. 1). Interestingly, increasing $n$ does not substantially affect the steepness of $p_r$, but rather shifts the transition between small and large $p_r$ toward larger $\mu$. Note that our prediction in Eq. 8 is valid beyond the weak-mutation regime $K\mu \ll 1$, as expected. In particular, in the limit $n \to \infty$ of an instantaneous environment degradation, discussed in detail in section 5 of the Supporting Information, the transition from large to small $p_r$ occurs for $K\mu \approx 1$ (see Fig. 3A and Fig. S5A). Indeed, preexisting mutations then become necessary to population rescue, as no division occurs after the abrupt environment transition. In section 5.2 of the Supporting Information, we further show that Eq. 8 generalizes the predictions in our previous work [35] regarding the probability of extinction of a microbial population subjected to abrupt additions of antimicrobial, beyond the weak-mutation regime $K\mu \ll 1$ (see Fig. S5B).

In Fig. 3A, we also compare $G$ mutants and $S$ mutants satisfying $m = n$ (see Eq. 2) for each $n$, and we find that $S$ mutants are slightly more successful at rescuing the population than $G$ mutants. This is because $S$ mutants that occur for $t > \theta$ have a larger selective advantage than $G$ mutants and thus a larger fixation probability (see Fig. 2A). Consistently, Fig. 3B further shows that specialists with a larger Hill coefficient $m$, such that fitness increases more steeply during the environment transition (see Eq. 2), are slightly more efficient at rescuing the population. The impact of $n$ on the rescue probability is stronger than that of $m$, because $n$ controls the rapidity of the decay of the wild-type population, which is crucial because mutants appear upon divisions of $W$ organisms.
The fixation probability of a mutant strongly depends on the time at which it appears during the environment degradation (see Fig. 2). But when do the mutants that fix and rescue the population appear? The probability density function $F_{\tilde{\tau}_{af}}(t)$ of the time $\tilde{\tau}_{af}$ of appearance of a mutant that fixes can be obtained from $p_{af}$ (see Eq. 4 and below) through $F_{\tilde{\tau}_{af}} = (1/p_r)dp_{af}/dt$, where normalization is ensured by $1/p_r$ (we focus on cases where rescue occurs). Thus,

$$F_{\tilde{\tau}_{af}}(t) = \frac{\mu}{p_r} p_{\text{fix}}(t) N_W(t) f_W(t) \left(1 - \frac{N_W(t)}{K}\right) \exp(-\Sigma(t)),$$

(10)

where

$$\Sigma(t) = \mu \int_0^t p_{\text{fix}}(u) N_W(u) f_W(u) \left(1 - \frac{N_W(u)}{K}\right) du.$$

(11)

Eq. (10) allows to express the average time $\tau_{af} = \langle \tilde{\tau}_{af} \rangle$ of appearance of the mutants that fix:

$$\tau_{af} = \int_0^\infty t F_{\tilde{\tau}_{af}}(t) dt = \frac{\mu}{p_r} \int_0^\infty t p_{\text{fix}}(t) N_W(t) f_W(t) \left(1 - \frac{N_W(t)}{K}\right) \exp(-\Sigma(t)) dt.$$

(12)

Fig. S2 shows the average time $\tau_{af}$ of appearance of the mutants that fix, and demonstrates a very good agreement between our analytical prediction in Eq. (12) and the results of our stochastic simulations in the weak-to-moderate mutation regime $K\mu \lesssim 1$. Fig. S2A shows that $\tau_{af}$ decreases as the mutation probability $\mu$ upon division is increased: this is because more mutants appear for larger $\mu$. In addition, $\tau_{af}$ is larger than the inflection time $\theta$ for $K\mu \lesssim 1$, which confirms that the mutants that fix tend to be beneficial ones (see Fig. 2), and is consistent with the fact that S mutants, which are more beneficial than G mutants for $t > \theta$, are more efficient at rescuing the population (see Fig. 3). Besides, when $\tau_{af} > \theta$, S mutants that fix appear earlier than G mutants that fix: this is also due to their larger selective advantage, and consistently, the opposite holds for $\tau_{af} < \theta$, when G mutants are fitter than S mutants (see Eq. 1). In addition, Fig. S2B shows that $\tau_{af}$ decreases as the Hill coefficient $n$ which characterizes the steepness of the environment degradation (see Eq. 1) is increased. Indeed, for large $n$, the population gets extinct quickly and rescue needs to occur fast if it occurs at all.
Impact of population size on rescue

So far, we have discussed population rescue at a given carrying capacity $K$. What is the impact of $K$ on rescue?

First, our analytical expression of the fixation probability $p_{\text{fix}}$ of mutants in Eq. 4 depends on $K$ only via the function $\rho$ introduced in Eq. 5. But $\rho$ depends on the number of wild-type microbes $N_W(t)$ and on the carrying capacity $K$ only through the ratio $N_W(t)/K$, whose dynamics is independent from $K$ (see Eq. 3). Therefore, $p_{\text{fix}}$ is expected to be independent from $K$. Fig. 4A confirms that it is the case: the simulation results obtained for different values of $K$ collapse on the same curves. In addition, they are in very good agreement with the predictions from Eq. 4.

**Fig 4. Impact of population size on rescue.** A. Fixation probability $p_{\text{fix}}$ of G and S mutants versus their time of appearance $t_0$ in the deteriorating environment, for different carrying capacities $K$. Vertical dotted line: $t = \theta$. Main panel: linear scale; inset: semi-logarithmic scale. B. Rescue probability $p_r$ of different types of mutants versus the product $K\mu$ of the carrying capacity $K$ and the mutation probability $\mu$ upon division, for different carrying capacities $K$. G mutants and S mutants are considered. Vertical dash-dotted line: $K\mu = 1$. C. Mean time $\tau_{\text{af}}$ of appearance of a G or S mutant that fixes versus $K\mu$. Simulation results are shown both for a fixed mutation probability upon division $\mu = 10^{-5}$ and a variable carrying capacity $K$, and for a fixed $K = 10^3$ and a variable $\mu$. Horizontal dotted line: $\tau_{\text{af}} = \theta$. Vertical dash-dotted line: $K\mu = 1$. In all panels, the Hill coefficient characterizing the steepness of the environment deterioration (see Eq. 1) is $n = 5$. Furthermore, S mutants satisfy $m = n$, i.e. they have the same sensitivity to the environment as W organisms (see Eq. 2).

Markers correspond to averages over $10^3 - 10^4$ replicate stochastic simulations (“Sim.”). Dashed and solid lines correspond to our analytical predictions (“Theory”) for G and S mutants, respectively. Parameter values: $g_W = g_G = g_S = 0.1$, $N_W^0 = 10$ and $\theta = 10^3$. 

---

May 5, 2020 8/29
Let us now turn to the rescue probability $p_r$. Eqs. 8 and 9 demonstrate that $p_r$ depends on population size only via the product $N_W(t)\mu$. Therefore, the relevant parameter is $K\mu$. Fig. 4B confirms that $p_r$ only depends on $K$ via $K\mu$: the simulation results obtained for different values of $K$ collapse on the same curves when they are plotted as a function of $K\mu$, and feature a good agreement with Eq. 8. For larger $K$, smaller mutation probabilities per division suffice to ensure larger rescue probabilities, because more mutants appear in larger populations, but more precisely, what really matters for rescue is the value of $K\mu$.

In addition, Eqs. 11 and 12 show that for the mean time $\tau_{af}$ of appearance of a mutant that fixes, the relevant parameter is also $K\mu$. Fig. 4C confirms this: the simulation results obtained by varying $\mu$ at constant $K$ or by varying $K$ at constant $\mu$ collapse when they are plotted as a function of $K\mu$, in good agreement with Eq. 12.

Finally, in section 4 of the Supporting Information, we investigate the mean extinction time of the lineages of mutants that do not fix. Eq. S12 shows that it is independent from population size, which is confirmed by Fig. S3B. We also find that this extinction time is longest for mutants appearing close to the inflection point $\theta$ of the environment transition, which corresponds to the time when the fitness difference between $W$ organisms and mutants is smallest. Intuitively, mutants that are strongly deleterious or beneficial have their fates sealed faster than neutral ones. Furthermore, in the framework of the Moran process (with constant population size and fitnesses), extinction times are longest for neutral mutants [57,62,66]. While the time to extinction is not crucial to our study of rescue by a single mutation, it can become relevant to more complex processes involving several mutations, e.g. to the crossing of fitness valleys or plateaus [61,67].

Overall, the main quantities that characterize population rescue, namely the rescue probability $p_r$ and the mean time $\tau_{af}$ of appearance of a mutant that fixes, are governed by $K\mu$. Hence, the impact of population size and mutation probability is mainly felt through this parameter.
Discussion

In this paper, we investigated the evolutionary rescue of a microbial population in a gradually deteriorating environment, characterized by a sigmoidal decay down to zero of the fitness of wild-type organisms, with a tunable steepness. The population is thus destined for extinction in the absence of adaptive mutants. We showed that mutants that appear later during the environment deterioration have a higher probability to fix, but because the wild-type population gradually decays, mutants are less likely to appear at such late stages. We demonstrated that the overall rescue probability of the population increases with a sigmoidal shape as the product $K\mu$ of the carrying capacity $K$ and of the mutation probability $\mu$ is increased. In the limit of an instantaneous environment degradation, the increase of rescue probability occurs for $K\mu \approx 1$, as preexisting mutations become necessary for rescue. Importantly, much smaller values of $K\mu$ suffice for rescue if the environment degradation, and thus the population decay, are slower, consistently with previous studies on the rate of fitness decay in the regime of stabilizing selection [36,37]. We also found that specialist mutants are slightly more efficient at rescuing the population than generalist ones. Note however that generalists are better adapted to multiple environment switches or less strong evolutionary constraints [30,40–42]. We further characterized the rescue process by investigating the average time of appearance of the mutants that do rescue the population, which also depends on the parameter $K\mu$, and the average extinction time of those that do not, which is longest when mutants are almost neutral.

In all cases, we provided both analytical expressions and stochastic simulation results, and obtained a very good agreement between them. Our analytical expressions were obtained with assumptions that are more general than the weak-mutation assumption $K\mu \ll 1$, as we only required the wild-type population to be much larger than the mutant one upon the appearance of the successful mutant lineage. Accordingly, our analytical predictions, notably the one for the rescue probability, remain very good beyond the weak-mutation regime. Our methods can be applied to other situations with continuously variable fitnesses and population sizes. Our predictions could be tested in controlled evolution experiments, e.g. in the context of antimicrobial resistance evolution, especially by varying population size and/or by studying strains with different mutation rates.

Overall, our study quantitatively confirms the key impact of the rapidity of environment degradation on the fate of a population. Very large populations can almost always escape extinction because they have a wide range of preexisting mutants, while smaller ones (or rarely mutating ones, since what matters is $K\mu$) can be rescued by adaptive mutations only if the environment changes slowly enough. The case of not-too-large populations is practically very important because real populations tend to have complex structures [68], and competition is local, which decreases their effective size. Accordingly, an exciting extension would be to consider the impact of spatial structure [67,69,70] on evolutionary rescue [71,72] in a gradually deteriorating environment. In cases where one aims to avoid rescue, our results entail that environment changes should be made as fast as possible. For instance, in order to avoid antimicrobial resistance evolution, gradually increasing doses of antimicrobial should be avoided, consistently with the observation that static antimicrobial gradients can strongly accelerate resistance evolution [12–15]. One could also study the interplay between such spatial heterogeneities and time variability of the environment. Furthermore, here, we have considered rescue by a single mutation. However, more adaptations can be accessible in several mutation steps, and thus, considering rescue in a gradually deteriorating environment in the presence of fitness valleys [61,73] or on more complete fitness landscapes [74,75] would also be very interesting.

Acknowledgments

LM acknowledges funding by a graduate fellowship from EDPIF.
# Supporting Information

## Contents

1. **Derivation of the fixation probability of mutants**
   
2. **Additional results for generalist mutants**
   
3. **Results for the time of appearance of the mutants that fix**
   
4. **Extinction time of mutants that do not fix**
   
5. **Analytical approximations for a sudden environment degradation**
   
6. **From the stochastic model to the deterministic limit**
   
7. **Numerical computation methods**
   
8. **Numerical simulation methods**

| Section | Page |
|---------|------|
| 1 | 12 |
| 2 | 13 |
| 3 | 14 |
| 4 | 14 |
| 5.1 | 16 |
| 5.1.1 | 16 |
| 5.1.2 | 17 |
| 5.2 | 18 |
| 5.3 | 20 |
| 6 | 21 |
| 7 | 22 |
| 8 | 24 |
| 8.1 | 24 |
| 8.2 | 25 |
| 8.3 | 25 |
1 Derivation of the fixation probability of mutants

Here, we present the derivation of the fixation probability $p_{\text{fix}}(i_0, t_0)$ of $i_0$ mutants present at time $t_0$. We assume that the number of wild-type microorganisms is initially much larger than the number of mutants ($N_W(t_0) \gg i_0$). As explained in the main text, the selective pressure due to the competition with the wild-type is felt by the mutants through their division rate $f_M(t)[1 - N(t)/K]$, and in the initial phase where this competition is important, the total population size $N(t)$ can be approximated by $N(t) \approx N_W(t)$. Thus, competition is felt through the effective mutant fitness $f_M^{\text{eff}}(t) = f_M(t)[1 - N_W(t)/K]$. In addition, we treat the number of mutants stochastically, but the number $N_W(t)$ of wild-type organisms deterministically (see Eq. 3 and Fig. 1).

The master equation that describes the evolution of the probability $P(i, t|i_0, t_0)$ of having $i$ mutants at time $t$ knowing that there are $i_0$ mutants at time $t_0$ is given by:

$$\frac{\partial P(i, t|i_0, t_0)}{\partial t} = f_M^{\text{eff}}(t)(i - 1)P(i - 1, t|i_0, t_0) + g_M(i + 1)P(i + 1, t|i_0, t_0) - (f_M^{\text{eff}}(t) + g_M)P(i, t|i_0, t_0) .$$

(S1)

Eq. (S1) allows to establish the partial differential equation satisfied by the probability generating function $\phi_{i_0, t_0}(z, t) = \sum_{i=0}^{+\infty} z^i P(i, t|i_0, t_0)$:

$$\frac{\partial \phi_{i_0, t_0}}{\partial t} = (z - 1)(f_M^{\text{eff}}(t) z - g_M)\frac{\partial \phi_{i_0, t_0}}{\partial z} .$$

(S2)

The method of characteristics then yields [53,70]:

$$\phi_{i_0, t_0}(z, t) = \left[1 + \left(\frac{e^{\rho(t)}}{z - 1} - \int_{t_0}^{t} f_M^{\text{eff}}(u) e^{\rho(u)} du\right)\right]^{i_0} ,$$

(S3)

where:

$$\rho(t) = \int_{t_0}^{t} (g_M - f_M^{\text{eff}}(u)) du .$$

(S4)

Note that $\rho$ depends on the number of wild-type microbes $N_W(t)$ and on the carrying capacity $K$ only through the ratio $N_W(t)/K$, whose dynamics is system size-independent, i.e. independent from $K$ (see Eq. 3).

The probability generating function $\phi_{i_0, t_0}$ allows to calculate the fixation probability $p_{\text{fix}}(i_0, t_0)$ of $i_0$ mutants present at time $t_0$, through $p_{\text{fix}}(i_0, t_0) = 1 - \lim_{t \to \infty} P(0, t|i_0, t_0) = 1 - \lim_{t \to \infty} \phi_{i_0, t_0}(0, t)$. This yields

$$p_{\text{fix}}(i_0, t_0) = 1 - \left(\frac{g_M \int_{t_0}^{t} e^{\rho(t)} dt}{1 + g_M \int_{t_0}^{t} e^{\rho(t)} dt}\right)^{i_0} ,$$

(S5)

where we used:

$$\int_{t_0}^{t} (g_M - f_M^{\text{eff}}(u)) e^{\rho(u)} du = e^{\rho(t)} - 1 .$$

(S6)

Since $\rho$ does not depend on the carrying capacity $K$, as noted above, this is also true for $p_{\text{fix}}$ (see Fig. 4A).

In the main text, we focus on the fixation probability of a single mutant that appears at time $t_0$, and denote it as $p_{\text{fix}}(t_0) = p_{\text{fix}}(1, t_0)$ (see Eq. 4 which corresponds to Eq. (S5) with $i_0 = 1$).
Fig S1. Additional results for generalist mutants. A. Fixation probability $p_{\text{fix}}$ as a function of the time of appearance of the mutants $t_0$ for different fitnesses $f_G$ of G mutants (in the rest of the paper, $f_G = 0.5$). Vertical dotted line: $t_0 = \theta$. Horizontal dotted lines: $p_{\text{fix}} = 1 - g_G/f_G$. B. Rescue probability $p_r$ as a function of the mutation probability $\mu$ upon division for different fitnesses $f_G$. C. Mean appearance time $\tau_{af}$ of a mutant that fixes as a function of the fitness $f_G$ for the mutation probability upon division $\mu = 10^{-5}$. Vertical dotted line: $\tau_{af} = \theta$. D. Mean time to extinction $\tau_0$ as a function of the time of appearance of the mutants $t_0$ for different fitnesses $f_G$. Vertical dotted line: $t_0 = \theta$. In all panels, markers correspond to the average over $10^3 - 10^4$ replicate stochastic simulations, error bars (in panels C and D, often smaller than markers) are 95% confidence intervals and dashed curves correspond to our analytical predictions. Parameter values: $g_W = g_G = 0.1$, $K = 10^3$, $N_W^0 = 10$, $n = 5$ and $\theta = 10^3$. 
3 Results for the time of appearance of the mutants that fix

![Graph A](image1)

**Fig S2. Time of appearance of the mutants that fix.** A. Average time $\tau_{af}$ of appearance of a G or S mutant that fixes versus mutation probability $\mu$ upon division. The Hill coefficient characterizing the steepness of the environment deterioration (see Eq. 11) is $n = 5$. Vertical dotted line: $K\mu = 1$. B. Average time $\tau_{af}$ of appearance of a G or S mutant that fixes versus mutation probability $\mu$. The mutation probability upon division is $\mu = 10^{-5}$. In both panels, markers correspond to averages over $10^5 - 10^6$ replicate stochastic simulations (“Simulation”). Dashed and solid lines correspond to numerical resolutions of Eq. 12 (“Theory”) for G and S mutants, respectively. Parameter values: $g_{W} = g_{G} = g_{S} = 0.1$, $K = 10^3$, $N_{0}^i = 10$ and $\theta = 10^3$. Horizontal dotted lines: $\tau_{af} = \theta$.

4 Extinction time of mutants that do not fix

In the case where the mutant that appears does not fix, how long does its lineage take to go extinct? As for the fixation probability $p_{fix}$, the time to extinction of a mutant will depend on its time of appearance $t_0$. The average time to extinction is the average of the first-passage time $\tau_0'$ to the state $i = 0$ where $i$ denotes the number of mutants. Then, we can compute the probability $dp(\tau_0' \in [t, t + dt] | i_0, t_0)$ that $\tau_0'$ belongs to the interval $[t, t + dt]$, provided that the initial number of mutants is $i_0$ at time $t_0$:

$$dp(\tau_0' \in [t, t + dt] | i_0, t_0) = P(0, t + dt | 0, \infty; i_0, t_0) - P(0, t | 0, \infty; i_0, t_0) ,$$

where $P(0, t|0, \infty; i_0, t_0)$ is the probability to have 0 mutant at time $t$, provided that the initial number of mutants is $i_0$ at time $t_0$ and the final number is $i_\infty = 0$, corresponding to extinction. Using Bayes’ theorem and the Markov property yields

$$P(0, t|0, \infty; i_0, t_0) = \frac{P(0, t|0, i_0, t_0) P(0, \infty|0, t; i_0, t_0)}{P(0, \infty|i_0, t_0)} = \frac{P(0, t|0, i_0, t_0) (1 - p_{fix}(0, t))}{1 - p_{fix}(i_0, t_0)} = \frac{P(0, t|0, i_0, t_0)}{1 - p_{fix}(i_0, t_0)} ,$$

where we have employed $p_{fixi}(0, t) = 0$. Thus,

$$dp(\tau_0' \in [t, t + dt] | i_0, t_0) = \frac{P(0, t + dt|i_0, t_0) - P(0, t|i_0, t_0)}{1 - p_{fix}(i_0, t_0)} = \frac{1}{1 - p_{fix}(i_0, t_0)} \frac{dP(0, t|i_0, t_0)}{dt} dt .$$

We can now express the mean mutant extinction time $\tau_0' = \langle \tau_0' \rangle$ using Eq. S9 as

$$\tau_0' = \int_{i_0}^{\infty} t dp(\tau_0' \in [t, t + dt] | i_0, t_0) = \frac{1}{1 - p_{fix}(i_0, t_0)} \int_{i_0}^{\infty} t \frac{dP(0, t|i_0, t_0)}{dt} dt .$$

The previous equation can be rewritten using the probability generating function $\phi_{i_0, t_0}(z, t) = \sum_{i=0}^{\infty} z^i P(i, t|i_0, t_0)$ by noting that $P(0, t|i_0, t_0) = \phi_{i_0, t_0}(0, t)$:

$$\tau_0' = \frac{1}{1 - p_{fix}(i_0, t_0)} \int_{i_0}^{\infty} t \frac{\partial \phi_{i_0, t_0}}{\partial t}(0, t) dt .$$
Using Eqs. S3 and S6 and introducing $\Lambda(t) = g_M \int_{t_0}^t e^{\rho(u)} du$ then yields

$$
\tau_0' = \frac{i_0 M}{1 - p_{\text{fix}}(\tau_0)} \int_{t_0}^\infty \frac{t e^{\rho(t)} \Lambda_{i_0 - 1}(t)}{(1 + \Lambda(t))^{i_0 + 1}} dt .
$$

\hspace{1cm} (S12)

Fig. S3 shows the average lifetime $\tau_0 = \tau_0' - t_0$ of the lineage of a single mutant ($i_0 = 1$) that finally goes extinct, versus the time $t_0$ when this mutant appears during the environment degradation. We obtain a very good agreement between the results of our stochastic simulations and our analytical prediction in Eq. S12. For $t_0 < \theta$, mutants are less fit than wild-type organisms, and S mutants are less fit than G mutants (see Eq. 2). Conversely, for $t_0 > \theta$, mutants are fitter than wild-type organisms, and S mutants are fitter than G mutants: hence, S mutants are always more extreme than G mutants. Because of this, intuition based on the fixation times within the Moran process [57, 62, 66] with constant population size make us expect that S mutants will have their fates sealed faster, and thus will get extinct faster provided that they are destined for extinction. This is indeed what we obtain (see Fig. S3). In particular, the largest extinction time is obtained close to $t_0 = \theta$, where G and S mutants are neutral. In addition, for $t_0 \ll \theta$, S mutants have a fitness $f_S \approx 0$ (see Eq. 2). Then, they generally go extinct in one generation, i.e. in $\tau_0 = 10$ time units (in our simulations, the death rate, which sets the division rate when the population is close to its steady-state size $K(1 - g_W/f_W)$, is taken equal to 0.1): this is what is obtained in Fig. S3. Still for $t_0 \ll \theta$, G mutants are such that $f_G = 0.5$ while $f_W \approx 1$ (see Eq. 1): then, the extinction time of the mutant lineage can be obtained within the framework of the Moran process assuming a constant population size $K(1 - g_W/f_W)$: it yields $\tau_0 \approx 15$ [57], consistently with Fig. S3. Furthermore, Fig. S3A shows that for $t_0 < \theta$, the bigger the Hill coefficient $n$ characterizing the steepness of the environment degradation (see Eq. 1), the smaller the mean time to extinction, while the opposite holds for $t_0 > \theta$: this is because fitness differences between mutants and wild-type organisms are exacerbated with large $n$. In particular, as long as $t_0 < \theta$, we have $f_S \approx 0$ and $f_W \approx 1$, and therefore the results obtained just before for $t_0 \ll \theta$ hold. Finally, Fig. S3B shows that $\tau_0$ does not depend on the carrying capacity $K$. This can be understood from Eq. S12 given that $p_{\text{fix}}$ is independent from $K$, as well as $\rho$, as explained in Section 1.

\hspace{1cm} Fig S3. Mean time to extinction. A. Mean time to extinction $\tau_0$ of G and S mutants versus their time of appearance $t_0$ in the deteriorating environment, for $K = 10^3$ and for different Hill coefficients $n$ characterizing the steepness of the environment deterioration (see Eq. 1). B. Mean time to extinction $\tau_0$ of G and S mutants versus their time of appearance $t_0$ in the deteriorating environment, for different carrying capacities $K$ and a fixed Hill coefficient $n = 5$ characterizing the decay of $f_W$ (see Eq. 1). In both panels, markers correspond to averages over $10^3 - 10^4$ replicate stochastic simulations. Solid (resp. dashed) curves correspond to numerical resolutions of Eq. S12 for S (resp. G) mutants. Here, S mutants satisfy $m = n$, i.e. they have the same sensitivity to the environment as W organisms (see Eq. 2). Parameter values: $g_W = g_G = g_S = 0.1$, $N_W^0 = 10$ and $\theta = 10^3$. Vertical dotted lines: $t_0 = \theta$. 

\hspace{1cm}
5 Analytical approximations for a sudden environment degradation

Here, we derive analytical approximations for the fixation probability \( p_{\text{fix}} \), the probability \( p_{t} \) of rescue and the mean time \( \tau_{g} \) of appearance of a mutant that fixes in the case of a sudden environment degradation. We thus consider that the Hill coefficient \( n \) describing the decay of W fitness \( f_{W} \) tends to infinity (see Eq. 1), as well as \( m \), which describes the increase of S mutant fitness \( f_{S} \) (see Eq. 2), i.e. \( n, m \to \infty \). Then, the fitness transition around \( t = \theta \) is very abrupt, and we therefore consider that \( f_{W} = 1 \) and \( f_{S} = 0 \) if \( t < \theta \) while \( f_{W} = 0 \) and \( f_{S} = 1 \) if \( t > \theta \).

As soon as \( f_{W} = 0 \), i.e. for \( t > \theta \), W microbes stop dividing. In a deterministic description, their number decreases exponentially according to the function \( N_{W}(t) = N_{W}^{0} e^{-g_{W}(t-\theta)} \), where \( N_{W}^{0} = K(1-g_{W}) \) is the equilibrium size of the fully wild-type population if \( f_{W} = 1 \), i.e. for \( t < \theta \). For analytical convenience, we make the approximation that \( N_{W}(t) = N_{W}^{0} \) if \( t < \theta + \tau_{1/2} \) and \( N_{W}(t) = 0 \) otherwise, where \( \tau_{1/2} \) is the time such that \( N_{W}(\tau_{1/2}) = K/2 \) (i.e. \( \tau_{1/2} = \ln(2N_{W}^{0}/K)/g_{W} \)). While the exact choice of \( \theta + \tau_{1/2} \) as a threshold is somewhat arbitrary, it is important to choose a threshold that reflects the decay timescale of the W population. Indeed, it allows to effectively take into account the demographic pressure that mutants undergo because of the presence of W organisms during the decline of the W population. Considering a threshold \( \theta \) instead of \( \theta + \tau_{1/2} \) would lead one to underestimate the demographic pressure on mutants and thus to overestimate their fixation probability. Conversely, considering a threshold \( \theta + \tau_{0} \), where \( \tau_{0} \) is the mean time of W population extinction when W microbes no longer divide, would lead one to overestimate the demographic pressure on mutants and thus to underestimate their fixation probability.

5.1 Fixation probability

5.1.1 Generalist mutant

Let us first focus on the fixation probability \( p_{\text{fix}}^{G}(t_{0}) \) of a single generalist (G) mutant that appears at time \( t_{0} \). Recall that the fitness of G mutants is constant. In most of our work, we take \( f_{G} = 0.5 \), but here, for the sake of generality, we will retain \( f_{G} \) in our expressions. Within our approximation, the fate of a mutant will strongly depend on whether \( t_{0} < \theta = \theta + \tau_{1/2} \) or \( t_{0} > \theta \). We start from Eq. 4 which reads

\[
p_{\text{fix}}^{G}(t_{0}) = \frac{1}{1 + g_{G} \int_{t_{0}}^{\infty} e^{\rho_{G}(t)} dt}.
\]  

(S13)

Two regimes need to be distinguished:
- If \( t < \theta \), then \( N_{W}(t) = K(1-g_{W}) \);
- If \( t \geq \theta \), then \( N_{W}(t) = 0 \).

For \( t_{0} < \hat{\theta} \), Eq. 5 yields

\[
\rho_{G}(t) = \begin{cases} 
-(f_{G}g_{W} - g_{G})(t - t_{0}) & \text{if } t_{0} < t < \hat{\theta}, \\
-(f_{G} - g_{G})(t - t_{0}) + f_{G}(1-g_{W})(\hat{\theta} - t_{0}) & \text{if } t_{0} < \hat{\theta} < t.
\end{cases}
\]  

(S14)

Thus, Eq. S13 simplifies as:

\[
p_{\text{fix}}^{G}(t_{0}) = \frac{(f_{G} - g_{G})(f_{G}g_{W} - g_{G})}{f_{G}g_{W}(f_{G} - g_{G}) - e^{\rho_{G}(t_{0})-\rho_{G}(t_{0}-\theta)}f_{G}g_{G}(1-g_{W})}.
\]  

(S15)

For \( t_{0} > \hat{\theta} \), \( N_{W} = 0 \), and Eq. 5 yields

\[
\rho_{G}(t) = -(f_{G} - g_{G})(t - t_{0}) .
\]  

(S16)

Then, Eq. S13 gives

\[
p_{\text{fix}}^{G}(t_{0}) = 1 - g_{G}/f_{G} ,
\]  

(S17)

which corresponds to the probability that the mutant lineage survives rapid stochastic extinction [16,35,48]. This makes sense, because within our approximation, \( t_{0} > \hat{\theta} \) formally corresponds to introducing a mutant in the absence of any W individual.

Let us summarize Eqs. S15 and S17

\[
p_{\text{fix}}^{G}(t_{0}) = \begin{cases} 
\frac{(f_{G} - g_{G})(f_{G}g_{W} - g_{G})}{f_{G}g_{W}(f_{G} - g_{G}) - e^{\rho_{G}(t_{0})-\rho_{G}(t_{0}-\theta)}f_{G}g_{G}(1-g_{W})} & \text{if } t_{0} < \hat{\theta} , \\
1 - g_{G}/f_{G} & \text{if } t_{0} > \hat{\theta} .
\end{cases}
\]  

(S18)
5.1.2 Specialist mutant

Let us now turn to the fixation probability $p_{\text{fix}}^S(t_0)$ of a single specialist (S) mutant that appears at time $t_0$. Again, we start from Eq. 4 which reads

$$p_{\text{fix}}^S(t_0) = \frac{1}{1 + g_S \int_{t_0}^{t} e^{\rho_S(t)} dt} \quad (S19)$$

Three regimes need to be distinguished:

- If $t < \theta$, then $N_W(t) = K(1 - g_W)$ and $f_S(t) = 0$;
- If $\theta < t \leq \tilde{\theta}$, then $N_W(t) = K(1 - g_W)$ and $f_S(t) = 1$;
- If $t \geq \tilde{\theta}$, then $N_W(t) = 0$ and $f_S(t) = 1$.

If $t_0 < \theta$, Eq. 5 yields

$$\rho_S(t) = \begin{cases} g_S(t - t_0) & \text{if } t_0 < t < \theta, \\ g_S(\theta - t_0) + (g_S - g_W)(t - \theta) & \text{if } \theta < t < \tilde{\theta}, \\ g_S(\theta - t_0) + (g_S - g_W)(\tilde{\theta} - \theta) + (g_S - 1)(t - \tilde{\theta}) & \text{if } \tilde{\theta} < t. \end{cases} \quad (S20)$$

Note that the second term in the second and the third lines of the previous equation both vanish if $g_S = g_W$. In this case, Eq. $S19$ simplifies as:

$$p_{\text{fix}}^S(t_0) = \frac{e^{-g_S(\theta - t_0)}(1 - g_S)}{1 + g_S(1 - g_S)(\tilde{\theta} - \theta)} \quad (S21)$$

If $\theta < t_0 < \tilde{\theta}$, Eq. 5 yields

$$\rho_S(t) = \begin{cases} (g_S - g_W)(t - t_0) & \text{if } t_0 < t < \tilde{\theta}, \\ (g_S - g_W)(\tilde{\theta} - t_0) + (g_S - 1)(t - \tilde{\theta}) & \text{if } \tilde{\theta} < t. \end{cases} \quad (S22)$$

If in addition $g_S = g_W$, Eq. $S19$ then gives

$$p_{\text{fix}}^S(t_0) = \frac{1 - g_S}{1 + g_S(1 - g_S)(\tilde{\theta} - t_0)} \quad (S23)$$

If $t_0 > \tilde{\theta}$, Eq. 5 yields

$$\rho_S(t) = (g_S - 1)(t - t_0) \quad (S24)$$

Thus, Eq. $S19$ simplifies as:

$$p_{\text{fix}}^S(t_0) = 1 - g_S \quad (S25)$$

Again, this is the probability that the mutant lineage escapes rapid stochastic extinctions, in the absence of any competition.

Let us summarize Eqs. $S21$ $S23$ and $S25$:

$$p_{\text{fix}}^S(t_0) = \begin{cases} \frac{e^{-g_S(\theta - t_0)}(1 - g_S)}{1 + g_S(1 - g_S)(\theta - \theta)} & \text{if } t_0 < \theta, \\ \frac{1 - g_S}{1 + g_S(1 - g_S)(\theta - t_0)} & \text{if } \theta < t_0 < \tilde{\theta}, \\ 1 - g_S & \text{if } \tilde{\theta} < t_0. \end{cases} \quad (S26)$$

Fig. $S4$ shows that Eqs. $S18$ and $S26$ provide good approximations in the appropriate regimes.
Fig S4. Fixation probability for a sudden environment degradation. Fixation probability $p_{\text{fix}}$ of S or G mutants versus their time of appearance $t_0$ in the deteriorating environment, for Hill coefficients $n, m \to \infty$ (see Eqs. 1 and 2) corresponding to an instantaneous, stepwise, environment change. Markers correspond to averages over $10^4$ replicate stochastic simulations. Light dashed (resp. solid) curves correspond to our analytical predictions in Eq. 4 for G (resp. S) mutants. Dark dashed (resp. solid) curves correspond to our approximations in Eq. S18 (resp. Eq. S26) for G (resp. S) mutants in the different regimes discussed. Vertical dotted line: $t_0 = \theta$. Vertical dash-dotted line: $t_0 = \tilde{\theta} = \theta + \tau_{1/2}$. Parameter values: $g_W = g_G = g_S = 0.1$, $K = 10^3$, $N_0^W = 10$, $n = m = 10^{10}$, $\theta = 10^3$ and $\tau_{1/2} = 5.9$. Main panel: linear scale; inset: semi-logarithmic scale.

5.2 Rescue probability

Now, let us focus on the rescue probability $p_r$, which satisfies $p_r = 1 - e^{-\Sigma}$ (see Eq. S8), where $\Sigma$ is given by Eq. 0. Since here $f_W(t) = 0$ for $t > \theta$ and $f_W(t) = 1$ for $t < \theta$, Eq. 0 simplifies into

$$\Sigma = \mu N_W \left(1 - \frac{N_W}{K}\right) \int_0^\theta p_{\text{fix}}(t)dt = \mu K (1 - g_W) g_W \int_0^\theta p_{\text{fix}}(t)dt, \quad (S27)$$

where we have employed $N_W = K(1 - g_W)$. Thus, we obtain a simplified formula for the rescue probability:

$$p_r = 1 - \exp\left(-\mu K (1 - g_W) g_W \int_0^\theta p_{\text{fix}}(t)dt\right), \quad (S28)$$

which holds both for generalist and for specialist mutants.

Specifically, in the case of a generalist mutant, Eq. S18 yields

$$\int_0^\theta p_{\text{fix}}^G(t)dt = \frac{1}{f_G g_W} \log\left(\frac{g_G (1 - g_W) e^{(g_G - f_G) g_W t} - g_W (f_G - g_G) e^{(g_G - f_G) g_W (\theta - t)}}{g_G (1 - g_W) e^{(g_G - f_G) g_W t} - g_W (f_G - g_G) e^{(g_G - f_G) g_W (\tilde{\theta} - \theta)}}\right). \quad (S29)$$

And in the case of a specialist mutant, Eq. S26 gives

$$\int_0^\theta p_{\text{fix}}^S(t)dt = \frac{(1 - e^{-g_S \theta}) (1 - g_S)}{g_S + g_S^2 (1 - g_S) (\theta - \tilde{\theta})}. \quad (S30)$$

Fig. S5A shows that there is a good agreement between our approximated analytical predictions and our numerical simulation results. Moreover, we observe that the transition between small and large values of $p_r$ occurs for $\mu K$ of order 1. Indeed for abrupt environment degradations such that W fitness gets to 0 right at the transition point $\theta$, preexisting mutants are necessary to ensure rescue.

In a previous work [35], we proposed an expression for the probability of extinction of a microbial population subjected to a periodic presence of antimicrobial in the weak-mutation regime $K \mu \ll 1$. We then assumed that the antimicrobial was instantaneously added and removed from the environment, which thus corresponds to instantaneous environment changes. For a perfect biostatic antimicrobial that completely stops growth, wild-type fitness
goes to 0 in the presence of antimicrobial, corresponding to the case studied here. When in addition the alternation period is long enough for extinction to occur at the first phase with antimicrobial if no resistant mutants preexist, our prediction in Eq. 1 of Ref. [35] gives a good approximation of our present results, as shown by Fig. S5B. Therefore, the present work generalizes this prediction beyond the weak-mutation regime $K\mu \ll 1$.

**Fig S5. Rescue probability for a sudden environment degradation.** A. Rescue probability $p_r$ versus the product $K\mu$ of the carrying capacity $K$ and the mutation probability $\mu$ upon division, for different carrying capacities $K$. Markers correspond to averages over $10^4$ replicate stochastic simulations. Light dashed (resp. solid) curves correspond to our analytical predictions in Eq. 8 for $G$ (resp. $S$) mutants. Dark dashed (resp. solid) curves correspond to our approximations, corresponding to Eq. S28 with Eq. S29 (resp. Eq. S30) for $G$ (resp. $S$) mutants, with $\tau_1/2 = 5.9$. B. Rescue probability $p_r$ versus $K\mu$. The present results for $G$ mutants are compared to those of our previous work [35] for $K = 10^3$. Markers correspond to averages over $10^3 - 10^4$ replicate stochastic simulations. Dashed orange curve: analytical prediction in Eq. 8 for $G$ mutants. Solid green curve: analytical prediction $p_r = 1 - p_0$ with $p_0$ in Eq. 1 of Ref. [35] for $K\mu \ll 1$. Vertical dash-dotted lines in both panels: $K\mu = 1$. Parameter values: $g_W = g_G = g_S = 0.1$, $N_W^0 = 10$, $n = m = 10^{10}$, $\theta = 10^3$. 

| Parameter | $K$ | $p_r$ (Theory) | $p_r$ (Approximation) |
|-----------|-----|----------------|-----------------------|
| $K=100$   |     |                |                       |
| $K=200$   |     |                |                       |
| $K=500$   |     |                |                       |
| $K=1000$  |     |                |                       |
| $K=2000$  |     |                |                       |
| $K=5000$  |     |                |                       |
| $K=10000$ |     |                |                       |

| $K\mu$ | $p_r$ (Theory) | $p_r$ (Approximation) |
|---------|----------------|-----------------------|
| $10^{-2}$ |                |                       |
| $10^{-1}$ |                |                       |
| $10^0$   |                |                       |
| $10^1$   |                |                       |

![Diagram A](image1.png)  ![Diagram B](image2.png)
5.3 Appearance time of a mutant that fixes

Finally, we derive an approximated analytical prediction for the mean time of appearance $\tau_{af}$ of a mutant that fixes in the population before it goes extinct. Let us recall that the probability density function of $\tilde{\tau}_{af}$ satisfies $F_{\tilde{\tau}_{af}}(t) = (1/p_r)(dp_{af}/dt)$ (see Eq. 10 and above). Thus, for an abrupt environment degradation such that $f_W(t) = 0$ for $t > \theta$, the mean time of appearance $\tau_{af}$ is given by:

$$\tau_{af} = \int_0^\theta tF_{\tilde{\tau}_{af}}(t)dt = \frac{1}{p_r} \int_0^\theta t \frac{dp_{af}}{dt}dt = \theta - \frac{1}{p_r} \int_0^\theta p_{af}(t)dt = \theta - \frac{1}{p_r} \int_0^\theta (1 - e^{-\Sigma(t)})dt ,$$  \hspace{1cm} (S31)

where, using Eq. 11 with $f_W = 1$ and $N_W = K(1 - g_W)$ for $t < \theta$, we have

$$\Sigma(t) = \mu K g_W (1 - g_W) \int_0^t p_{fix}(u)du .$$  \hspace{1cm} (S32)

Eq. (S31) is valid for both generalist and specialist mutants. One just needs to compute $p_r$ by using Eq. (S28) with Eq. (S29) (resp. Eq. (S30)) for $G$ (resp. $S$) mutants and $p_{fix}$ by using Eq. (S18) (resp. Eq. (S26)) for $G$ (resp. $S$) mutants.

Fig S6. Mean time of appearance for a sudden environment degradation. Mean time $\tau_{af}$ of appearance of a $G$ or $S$ mutant that fixes versus the product $K\mu$ of the carrying capacity $K$ and the mutation probability $\mu$. Here, $\mu$ was varied at constant carrying capacity $K = 10^3$. Horizontal dotted line: $\tau_{af} = \theta$. Vertical dash-dotted line: $K\mu = 1$. Markers correspond to averages over $10^3$ replicate stochastic simulations (“Simulation”). Dashed and solid lines correspond to our analytical predictions (“Theory”) for $G$ and $S$ mutants, respectively (see Eq. S31). Parameter values: $g_W = g_G = g_S = 0.1, N_W^0 = 10, m = n = 10^{10}, \theta = 10^3$ and $\tau_{1/2} = 5.9$ and $\theta = 10^3$.

Fig. S6 shows that there is a very good agreement between our approximated analytical predictions and the results of our numerical simulations in the weak-to-moderate mutation regime $K\mu \lesssim 1$ where our analytical derivations were conducted. Recall also that $\tau_{af}$ only depends on $K$ and $\mu$ via $K\mu$ (see main text).
6 From the stochastic model to the deterministic limit

In our analytical calculations, we consider the deterministic description for the population of \( W \) organisms (see Eq. [3]). Here, we present a full derivation of the deterministic limit of the stochastic model for large population sizes. This derivation is similar to those of Refs. [33, 77, 78] that address the case of the Moran model.

In a fully wild-type (W) population, the probability \( P(j, t|j_0) \) of having \( j \) W microorganisms at time \( t \), knowing that \( j_0 \) W microorganisms were present at time \( t = 0 \), satisfies the master equation

\[
\frac{\partial P(j, t|j_0)}{\partial t} = f_W(t) \left( 1 - \frac{j - 1}{K} \right) (j - 1)P(j - 1, t|j_0) + g_W \left( 1 - \frac{j + 1}{K} \right) (j + 1)P(j + 1, t|j_0) \\
- \left[ f_W(t) \left( 1 - \frac{j}{K} \right) + g_W \right] jP(j, t|j_0) .
\]  

(S33)

Let us introduce \( x = j/K \) and \( \rho(x, t|x_0) = KP(j, t|j_0) \), and perform a Kramer-Moyal expansion [64, 65], which focuses on the regime \( 1/K \ll x \). To first order in \( 1/K \), one obtains the following diffusion equation [57] (also known as Fokker-Planck equation or Kolmogorov forward equation):

\[
\frac{\partial \rho(x, t|x_0)}{\partial t} = -\frac{\partial}{\partial x} \{ [f_W(t)x(1-x) - g_W x] \rho(x, t|x_0) \} + \frac{1}{2K} \frac{\partial^2}{\partial x^2} \{ [f_W(t)x(1-x) + g_W x] \rho(x, t|x_0) \} .
\]  

(S34)

Note that the first term on the right hand-side of this equation corresponds to the selection term (known as the drift term in physics), while the second one corresponds to the genetic drift term (known as the diffusion term in physics).

In the limit \( K \to \infty \), to zeroth order in \( 1/K \), one can neglect the diffusion term, yielding:

\[
\frac{\partial \rho(x, t|x_0)}{\partial t} = -\frac{\partial}{\partial x} \{ [f_W(t)x(1-x) - g_W x] \rho(x, t|x_0) \} .
\]  

(S35)

In this limit, one obtains an equation on the average population size (scaled by \( K \)), \( \langle x(t) \rangle = \int_0^1 x \rho(x, t|x_0) dx \):

\[
\frac{\partial \langle x \rangle}{\partial t} = [f_W(t) - g_W] \langle x \rangle - f_W(t) \langle x^2 \rangle .
\]  

(S36)

Further assuming that the distribution of \( x \) is very peaked around its mean \( \langle x \rangle \approx x \) and in particular neglecting the variance \( \langle x^2 \rangle \approx \langle x \rangle^2 \approx x^2 \), which is acceptable for very large systems with demographic fluctuations, one obtains:

\[
\frac{\partial x}{\partial t} = [f_W(t)(1-x) - g_W] x .
\]  

(S37)

Multiplying this ordinary differential equation by the carrying capacity \( K \) yields Eq. [3] where \( j \) is denoted by \( N_W \).
7 Numerical computation methods

In this work, we derived analytical predictions for the fixation probability \( p_{\text{fix}} \), the rescue probability \( p_r \) and the mean time to extinction \( \tau_0 \) (see Eqs. 4, 8 and S12, respectively). Since these equations involve improper integrals, it is necessary to appropriately choose the values of the (finite) integral boundaries in order to obtain a good approximation of these improper integrals by numerical integration.

First, in order to compute numerically \( p_{\text{fix}} \) from Eq. 4, let us introduce a parameter \( \tau_1 \) such that:

\[
p_{\text{fix}}(t_0) = 1 - \frac{g_M \int_{t_0}^{\infty} e^{\rho(t)} dt}{1 + g_M \int_{t_0}^{\infty} e^{\rho(t)} dt} \approx 1 - \frac{g_M \int_{t_0}^{t_0+\tau_1} e^{\rho(t)} dt}{1 + g_M \int_{t_0}^{t_0+\tau_1} e^{\rho(t)} dt},
\]

(S38)

One should choose \( \tau_1 \) such that it is much larger than the mean time to extinction of the mutants \( \tau_0 \). Otherwise, some mutants destined for extinction will be considered as mutants that fix. Fig. S7A illustrates this point: for the parameters employed in this figure, the largest value of \( \tau_0 \) is \( \max(\tau_0) \sim 30 \), and accordingly, we observe that for \( \tau_1 \gg 30 \), the agreement between the analytical prediction calculated numerically via Eq. S38 and the simulated data is very good.

Similarly, in order to compute numerically \( p_r \) from Eq. 8 we introduce a parameter \( \tau_2 \) such that:

\[
p_r = 1 - \exp \left[ -\mu \int_0^{\infty} p_{\text{fix}}(t)N_W(t)f_W(t) \left( 1 - \frac{N_W(t)}{K} \right) dt \right] \approx 1 - \exp \left[ -\mu \int_0^{\tau_2} p_{\text{fix}}(t)N_W(t)f_W(t) \left( 1 - \frac{N_W(t)}{K} \right) dt \right],
\]

(S39)

Choosing \( \tau_2 \) so that it is larger than the mean time of spontaneous extinction of wild-type microbes should ensure that we capture the whole time range over which mutants can appear and fix. As can be seen in Fig. 11, for the parameter values chosen in Fig. S7B, the mean time of spontaneous extinction is \( \sim 1750 \). Indeed, Fig. S7B shows that a good agreement between numerical predictions and simulated data is obtained for \( \tau_2 > 1750 \).

Similarly, in order to compute numerically \( \tau_0 = \tau_0' - t_0 \) from Eq. S12 with \( i_0 = 1 \), we introduce a parameter \( \tau_3 \) such that:

\[
\tau_0' = \frac{g_M}{1 - p_{\text{fix}}(t_0)} \int_{t_0}^{\infty} \frac{te^{\rho(t)}}{(1 + \Lambda(t))^2} dt \approx \frac{g_M}{1 - p_{\text{fix}}(t_0)} \int_{t_0}^{t_0+\tau_3} \frac{te^{\rho(t)}}{(1 + \Lambda(t))^2} dt.
\]

(S40)

The parameter \( \tau_3 \) must be chosen so that it is larger than all times for which the probability density function of \( \tau_0 \) is significant. In practice, we may choose \( \tau_3 \) as larger than the variance of the distribution of extinction times. Assuming that this distribution is exponential (it is close to exponential in simulations), one should choose \( \tau_3 \gg \tau_0^2 \). Accordingly, Fig. S7C demonstrates a very good agreement with simulated data for \( \tau_3 \gg \max(\tau_0)^2 \sim 900 \), where \( \max(\tau_0) \) is the largest value of \( \tau_0 \) for the parameters involved in this figure.

In practice, in each figure of this paper, we chose the values of \( \tau_1 \), \( \tau_2 \) and \( \tau_3 \) so that they were large enough to satisfy the criteria outlined here in the worse case of the figure (i.e. the one requiring the largest value of this parameter).
Fig S7. Robustness of parameters and numerical resolutions. A. Fixation probability $p_{fix}$ of G mutants versus their time of appearance $t_0$ in the deteriorating environment. Solid curves correspond to numerical computations of Eq. S38 with different values of $\tau_1$. B. Rescue probability $p_r$ of a W population in a deteriorating environment by G mutants, versus mutation probability $\mu$ upon division. Solid curves correspond to numerical computations of Eq. S39 with different values of $\tau_2$. C. Mean time to extinction $\tau_0$ of G mutants versus their time of appearance $t_0$ in the deteriorating environment. Solid curves correspond to numerical resolutions of Eq. S40 with different values of $\tau_3$. In all panels, gray markers correspond to averages over $10^3$ replicate stochastic simulations, and error bars in panel C (often smaller than markers) to 95% confidence intervals. Parameter values: $f_G = 1$ (recall that generally we take $f_G = 0.5$), $g_W = g_G = g_S = 0.1$, $K = 10^3$, $N^0_W = 10$, $n = 5$ and $\theta = 10^3$.  

8 Numerical simulation methods

In this work, all numerical simulations are performed using a Gillespie algorithm [55]. Because the sampled time intervals $\Delta t$ between successive individual event satisfy $\Delta t < 1$ (see Fig. S8), which is smaller than the timescales of all processes considered here, we neglect fitness variations between individual events. In practice, the sampled time intervals between each individual event tend to get larger close to extinction events, since the total number of microbes then substantially decreases, but even then, they remain smaller than 1. Note that, in order to take into account the time variability of fitness at a higher resolution than that of events, one could employ e.g. the approach described in Ref. [56]. In the following, we provide details about the simulations used in each part of our work.

Fig S8. Time interval between two events. Probability that the sampled time interval $\Delta t$ between two events in the Gillespie simulation is smaller than the threshold time interval $T$ plotted versus $T$ for different Hill coefficients $n$ (see Eqs. 1). Markers correspond to the average over $10^2$ replicate stochastic simulations of a purely $W$ population ($\mu = 0$). Parameter values: $g_W = 0.1$, $K = 10^3$, $N_0^W = 10$ and $\theta = 10^3$.

8.1 Population decay in a deteriorating environment

In our simplest simulations, presented in Fig. 1 only $W$ microorganisms were considered (no mutation, $\mu = 0$). For each replicate simulation, we saved the number of $W$ individuals present at regular time intervals, i.e. at time points $0, \delta t, 2\delta t...$. The elementary events that can occur are:

- $W \rightarrow 2W$: Division of a wild-type microbe with rate $k_W^+ = f_W(t)(1 - N_W/K)$, where the value of $f_W(t)$ is taken at the time $t$ of the last event that occurred.
- $W \rightarrow \emptyset$: Death of a wild-type microbe with rate $k_W^- = g_W$.

The total rate of events is $R = (k_W^+ + k_W^-)N_W$. Simulation steps are the following:

1. Initialization: The microbial population starts from $N_W = N_0^W$ wild-type microorganisms at time $t = 0$, and the value of $f_W$ is set at $f_W(0)$.
2. The time increment $\Delta t$ is sampled randomly from an exponential distribution with mean $1/R$, where $R = (k_W^+ + k_W^-)N_W$. The next event to occur is chosen randomly, with probabilities $k/R$ proportional to the rate $k$ of each event.
3. The time $t$ is increased to $t = t + \Delta t$ and the event chosen at Step 2 is executed, i.e. $N_W$ is updated. The value of $f_W$ is also updated from $f_W(t)$ to $f_W(t + \Delta t)$.
4. The number of wild-type microbes $N_W$ is saved at the desired time points falling between $t$ and $t + \Delta t$.
5. We go back to Step 2 and iterate until the total number of microbes reaches zero ($N_W = 0$), corresponding to extinction.
8.2 Fixation probability and time to extinction of mutants

In our simulations concerning the fixation probability and the time to extinction of mutants, both wild-type microorganisms (W) and mutants (M) are considered, but no random mutations are allowed, i.e. \( \mu = 0 \). Indeed, the aim is to determine the fate of \( i_0 \) mutants that are introduced at a controlled time \( t_0 \) (generally we take \( i_0 = 1 \) to model the appearance of a single mutant). The elementary events that can occur are:

- \( W \to 2W \): Division of a wild-type microbe with rate \( k_W^+ = f_W(t)(1 - (N_W + N_M)/K) \), where the value of \( f_W(t) \) is taken at the time \( t \) of the last event that occurred.
- \( W \to 0 \): Death of a wild-type microbe with rate \( k_W^- = g_W \).
- \( M \to 2M \): Division of a mutant microbe with rate \( k_M^+ = f_M(t)(1 - (N_W + N_M)/K) \), where the value of \( f_M(t) \) is taken at the time \( t \) of the last event that occurred. Note that for G mutants, \( f_M \) is constant, but for S mutants, it varies in time.
- \( M \to 0 \): Death of a mutant microbe with rate \( k_M^- = g_M \).

The total rate of events is \( R = (k_W^+ + k_W^- + k_W^+)N_W + (k_M^+ + k_M^-)N_M \). Simulation steps are the following:

1. Initialization: The microbial population starts from \( N_W = N_W^0 \) wild-type microorganisms and \( N_M = 0 \) mutant at time \( t = 0 \), and the values of \( f_W \) and \( f_M \) are set at \( f_W(0) \) and \( f_M(0) \), respectively.
2. The time increment \( \Delta t \) is sampled randomly from an exponential distribution with mean \( 1/R \), where \( R = (k_W^+ + k_W^- + k_W^+)N_W + (k_M^+ + k_M^-)N_M \). The next event to occur is chosen randomly, with probabilities \( k/R \) proportional to the rate \( k \) of each event.
3. If \( t + \Delta t \geq t_0 \) for the first time, the time is set to \( t = t_0 \), \( i_0 \) wild-type microbes are replaced by \( i_0 \) mutants \( (N_W = N_W - i_0 \) and \( N_M = N_M + i_0 \) and the event determined at Step 2 is not executed. Otherwise, the time \( t \) is increased to \( t + \Delta t \) and the event determined at Step 2 is executed, i.e. \( N_W \) or \( N_M \) is updated. The values of \( f_W \) and \( f_M \) (in the case of an S mutant) are also updated.
4. We go back to Step 2 and iterate until the total number of microbes is zero \( (N_W + N_M = 0) \), corresponding to extinction of the population, or there are only mutants \( (N_W = 0 \) and \( N_M \neq 0 \) \), corresponding to fixation of the mutant.

8.3 Rescue of a population by mutants

Finally, our simulations concerning the rescue of a population by mutants, both wild-type microorganisms (W) and mutants (M) are considered, with a probability \( \mu \) of mutation from W to M upon division. The elementary events that can occur are:

- \( W \to 2W \): Division without mutation of a wild-type microbe with rate \( k_W^+ = f_W(t)(1 - (N_W + N_M)/K)(1 - \mu) \), where the value of \( f_W(t) \) is taken at the time \( t \) of the last event that occurred.
- \( W \to W + M \): Division with mutation of a wild-type microbe with rate \( k_W^M = f_W(t)(1 - (N_W + N_M)/K)\mu \).
- \( W \to 0 \): Death of a wild-type microbe with rate \( k_W^- = g_W \).
- \( M \to 2M \): Division of a mutant microbe with rate \( k_M^+ = f_M(t)(1 - (N_W + N_M)/K) \), where the value of \( f_M(t) \) is taken at the time \( t \) of the last event that occurred. Note that for G mutants, \( f_M \) is constant, but for S mutants, it varies in time.
- \( M \to 0 \): Death of a mutant microbe with rate \( k_M^- = g_M \).

The total rate of events is \( R = (k_W^+ + k_W^- + k_W^M)N_W + (k_M^+ + k_M^-)N_M \). Simulation steps are the following:

1. Initialization: The microbial population starts from \( N_W = N_W^0 \) wild-type microorganisms and \( N_M = 0 \) mutant at time \( t = 0 \), and the values of \( f_W \) and \( f_M \) are set at \( f_W(0) \) and \( f_M(0) \), respectively.
2. The time increment \( \Delta t \) is sampled randomly from an exponential distribution with mean \( 1/R \), where \( R = (k_W^+ + k_W^- + k_W^M)N_W + (k_M^+ + k_M^-)N_M \). The next event to occur is chosen randomly, with probabilities \( k/R \) proportional to the rate \( k \) of each event.
3. The time \( t \) is increased to \( t = t + \Delta t \) and the event determined at Step 2 is executed, i.e. \( N_W \) and \( N_M \) are updated. The value of \( f_W \) and \( f_M \) (in the case of an S mutant) are also updated.

4. We go back to Step 2 and iterate until the total number of microbes is zero (\( N_W + N_M = 0 \)), corresponding to extinction of the population, or there are only mutants (\( N_W = 0 \) and \( N_M \neq 0 \)), corresponding to fixation of the mutant and rescue of the population.

References

1. Waxman D. A Unified Treatment of the Probability of Fixation when Population Size and the Strength of Selection Change Over Time. Genetics. 2011;188:907–13. doi:10.1534/genetics.111.129288.

2. Uecker H, Hermisson J. On the Fixation Process of a Beneficial Mutation in a Variable Environment. Genetics. 2011;188(4):915–930. doi:10.1534/genetics.110.124297.

3. Peischl S, Kirkpatrick M. Establishment of New Mutations in Changing Environments. Genetics. 2012;191(3):895–906. doi:10.1534/genetics.112.140756.

4. Bell G, Gonzalez A. Evolutionary rescue can prevent extinction following environmental change. Ecol Lett. 2009;12(9):942–948.

5. Chevin LM, Lande R, Mace GM. Adaptation, plasticity, and extinction in a changing environment: towards a predictive theory. PLoS Biol. 2010;8(4):e1000357.

6. Pauls SU, Nowak C, Bálint M, Pfenninger M. The impact of global climate change on genetic diversity within populations and species. Mol Ecol. 2013;22(4):925–946.

7. Botero CA, Weissing FJ, Wright J, Rubenstein DR. Evolutionary tipping points in the capacity to adapt to environmental change. Proc Natl Acad Sci USA. 2015;112(1):184–189.

8. Nadeau CP, Urban MC, Bridle JR. Climates Past, Present, and Yet-to-Come Shape Climate Change Vulnerabilities. Trends Ecol Evol (Amst). 2017;32(10):786–800.

9. Lin WH, Kussell E. Complex Interplay of Physiology and Selection in the Emergence of Antibiotic Resistance. Curr Biol. 2016;26(11):1486–1493.

10. Levin-Reisman I, Romin I, Gefen O, Braniss I, Shoresh N, Balaban NQ. Antibiotic tolerance facilitates the evolution of resistance. Science. 2017;355(6327):826–830.

11. Toprak E, Veres A, Michel JB, Chait R, Hartl DL, Kishony R. Evolutionary paths to antibiotic resistance under dynamically sustained drug selection. Nat Genet. 2011;44(1):101–105.

12. Zhang Q, Lambert G, Liao D, Kim H, Robin K, Tung C, et al. Acceleration of emergence of bacterial antibiotic resistance in connected microenvironments. Science. 2011;333(6050):1764–1767.

13. Greulich P, Waclaw B, Allen RJ. Mutational pathway determines whether drug gradients accelerate evolution of drug-resistant cells. Phys Rev Lett. 2012;109:088101.

14. Hermsen R, Deris JB, Hwa T. On the rapidity of antibiotic resistance evolution facilitated by a concentration gradient. Proc Natl Acad Sci USA. 2012;109:10775–10780.

15. Baym M, Lieberman TD, Kelsic ED, Chait R, Gross R, Yelin I, et al. Spatiotemporal microbial evolution on antibiotic landscapes. Science. 2016;353(6304):1147–1151.

16. Coates J, Park BR, Le D, Simsek E, Chaudhry W, Kim M. Antibiotic-induced population fluctuations and stochastic clearance of bacteria. Elife. 2018;7.

17. Martin G, Aguilee R, Ramsayer J, Kaltz O, Ronce O. The probability of evolutionary rescue: towards a quantitative comparison between theory and evolution experiments. Philos Trans R Soc Lond, B, Biol Sci. 2013;368(1610):20120088.

18. Gonzalez A, Ronce O, Ferriere R, Hochberg ME. Evolutionary rescue: an emerging focus at the intersection between ecology and evolution. Philos Trans R Soc Lond, B, Biol Sci. 2013;368(1610):20120404.
19. Alexander HK, Martin G, Martin OY, Bonhoeffer S. Evolutionary rescue: linking theory for conservation and medicine. Evol Appl. 2014;7(10):1161–1179.

20. Carlson SM, Cunningham CJ, Westley PAH. Evolutionary rescue in a changing world. Trends Ecol Evol. 2014;29(9):521 – 530. doi:https://doi.org/10.1016/j.tree.2014.06.005.

21. Barton NH, Etheridge AM. Establishment in a new habitat by polygenic adaptation. Theoretical Population Biology. 2018;122:110 – 127. doi:https://doi.org/10.1016/j.tpb.2017.11.007.

22. Kussell E, Leibler S, Grosberg A. Polymer-population mapping and localization in the space of phenotypes. Phys Rev Lett. 2006;97(6):068101.

23. Mustonen V, Lässig M. Molecular evolution under fitness fluctuations. Phys Rev Lett. 2008;100(10):108101.

24. Rivoire O, Leibler S. The Value of Information for Populations in Varying Environments. J Stat Phys. 2011;142:1124–1166.

25. Melbinger A, Vergassola M. The Impact of Environmental Fluctuations on Evolutionary Fitness Functions. Sci Rep. 2015;5:15211.

26. Cvijović I, Good BH, Jerison ER, Desai MM. Fate of a mutation in a fluctuating environment. Proc Natl Acad Sci USA. 2015;112(36):E5021–5028.

27. Skanata A, Kussell E. Evolutionary Phase Transitions in Random Environments. Phys Rev Lett. 2016;117(3):038104.

28. Hufton PG, Lin YT, Galla T, McKane AJ. Intrinsic noise in systems with switching environments. Phys Rev E. 2016;93(5):052119.

29. Wienand K, Frey E, Mobilia M. Evolution of a fluctuating population in a randomly switching environment. Phys Rev Lett. 2017;119(15):158301.

30. Mayer A, Mora T, Rivoire O, Walczak AM. Transitions in optimal adaptive strategies for populations in fluctuating environments. Phys Rev E. 2017;96(3-1):032412.

31. Meyer I, Shnerb NM. Noise-induced stabilization and fixation in fluctuating environment. Sci Rep. 2018;8(1):9726.

32. Danino M, Kessler DA, Shnerb NM. Stability of two-species communities: Drift, environmental stochasticity, storage effect and selection. Theor Popul Biol. 2018;119:57 – 71.

33. Marrec L, Bitbol AF. Quantifying the impact of a periodic presence of antimicrobial on resistance evolution in a homogeneous microbial population of fixed size. J Theor Biol. 2018;457:190–198.

34. Trubenová B, Krejca MS, Lehre PK, Kötzing T. Surfing on the seascape: Adaptation in a changing environment. Evolution. 2019;73(7):1356–1374. doi:10.1111/evo.13784.

35. Marrec L, Bitbol AF. Resist or perish: fate of a microbial population subjected to a periodic presence of antimicrobial. PLoS Comput Biol. 2020;16(4):e1007798.

36. Burger R, Lynch M. Evolution and extinction in a changing environment. Evolution. 1995;49(1):151–163.

37. Gomulkiewicz R, Houle D. Demographic and genetic constraints on evolution. Am Nat. 2009;174(6):E218–229.

38. Orr HA, Unckless RL. Population extinction and the genetics of adaptation. Am Nat. 2008;172(2):160–169.

39. Anciaux Y, Chevin LM, Ronce O, Martin G. Evolutionary Rescue over a Fitness Landscape. Genetics. 2018;209(1):265–279.

40. Donaldson-Matasci M, Lachmann M, Bergstrom C. Phenotypic diversity as an adaptation to environmental uncertainty. Evol Ecol Res. 2008;10:493–515.

41. Wang S, Dai L. Evolving generalists in switching rugged landscapes. PLoS Comput Biol. 2019;15(10):e1007320.
42. Sachdeva V, Husain K, Sheng J, Wang S, Murugan A. Tuning environmental timescales to evolve and maintain generalists. 2019;doi:https://arxiv.org/abs/1906.11924.

43. Nourmohammad A, Otwinowski J, Plotkin JB. Host-Pathogen Coevolution and the Emergence of Broadly Neutralizing Antibodies in Chronic Infections. PLoS Genet. 2016;12(7):e1006171.

44. Verhulst PF. Notice sur la loi que la population suit dans son accroissement. Curr Math Phys. 1838;110:113.

45. Melbinger A, Cremer J, Frey E. Evolutionary game theory in growing populations. Phys Rev Lett. 2010;105(17):178101.

46. Huang W, Hauert C, Traulsen A. Stochastic game dynamics under demographic fluctuations. Proc Natl Acad Sci USA. 2015;112(29):9064–9069.

47. Abel S, Abel zur Wiesch P, Davis BM, Waldor MK. Analysis of Bottlenecks in Experimental Models of Infection. PLoS Pathog. 2015;11(6):e1004823.

48. Ovaskainen O, Meerson B. Stochastic models of population extinction. Trends Ecol Evol. 2010;25(11):643–652.

49. Regoes RR, Wiuff C, Zappa RM, Garner KN, Baquero F, Levin BR. Pharmacodynamic functions: a multiparameter approach to the design of antibiotic treatment regimens. Antimicrob Agents Chemother. 2004;48(10):3670–3676.

50. Nissen-Meyer S. Analysis of effects of antibiotics on bacteria by means of stochastic models. Biometrics. 1966;22(4):761–780.

51. Bailey NTJ. The Elements of Stochastic Processes with Applications to the Natural Sciences. John Wiley and Sons; 1964.

52. Alexander HK, Bonhoeffer S. Pre-existence and emergence of drug resistance in a generalized model of intra-host viral dynamics. Epidemics. 2012;4:187–202.

53. Parzen E. Stochastic processes. SIAM, Philadelphia; 1999.

54. Gillespie DT. A general method for numerically simulating the stochastic time evolution of coupled chemical reactions. J Comput Phys. 1976;22:403–434.

55. Gillespie DT. Exact stochastic simulation of coupled chemical reactions. J Phys Chem. 1977;81:2340–2361.

56. Thanh VH, Priami C. Simulation of biochemical reactions with time-dependent rates by the rejection-based algorithm. J Chem Phys. 2015;143:054104.

57. Ewens WJ. Mathematical Population Genetics. Springer-Verlag; 1979.

58. Rouzine IM, Rodrigo A, Coffin JM. Transition between stochastic evolution and deterministic evolution in the presence of selection: general theory and application to virology. Microbiol Mol Biol Rev. 2001;65(1):151–185.

59. Fisher DS. Evolutionary Dynamics. In: Bouchaud JP, Mézard M, Dalibard J, editors. Les Houches, Session LXXXV, Complex Systems. Elsevier; 2007.

60. Patwa Z, Wahl LM. The fixation probability of beneficial mutations. J R Soc Interface. 2008;5(28):1279–1289.

61. Weissman DB, Desai MM, Fisher DS, Feldman MW. The rate at which asexual populations cross fitness valleys. Theor Pop Biol. 2009;75:286–300.

62. Teimouri H, Kolomeisky AB. Theoretical investigation of stochastic clearance of bacteria: first-passage analysis. J R Soc Interface. 2019;16(152):20180765.

63. Alexander HK, MacLean RC. Stochastic bacterial population dynamics prevent the emergence of antibiotic resistance. BioRxiv; p. 1–24, http://dx.doi.org/10.1101/458547

64. Van Kampen N. Stochastic Processes in Physics and Chemistry. North-Holland; 1981.
65. Gardiner CW. Handbook of Stochastic Methods for Physics, Chemistry and the Natural Sciences. Springer; 1985.

66. Teimouri H, Kochugaeva MP, Kolomeisky AB. Elucidating the correlations between cancer initiation times and lifetime cancer risks. Sci Rep. 2019;9(1):18940.

67. Bitbol AF, Schwab DJ. Quantifying the role of population subdivision in evolution on rugged fitness landscapes. PLoS Comput Biol. 2014;10(8):e1003778.

68. van Marle G, Gill MJ, Kolodka D, McMannus L, Grant T, Church DL. Compartmentalization of the gut viral reservoir in HIV-1 infected patients. Retrovirology. 2007;4:87.

69. Nahum JR, Godfrey-Smith P, Harding BN, Marcus JH, Carlson-Stevermer J, Kerr B. A tortoise-hare pattern seen in adapting structured and unstructured populations suggests a rugged fitness landscape in bacteria. Proc Natl Acad Sci USA. 2015;112(24):7530–7535.

70. Cooper JD, Neuhauser C, Dean AM, Kerr B. Tipping the mutation-selection balance: Limited migration increases the frequency of deleterious mutants. J Theor Biol. 2015;380:123–133.

71. Uecker H, Otto SP, Hermisson J. Evolutionary rescue in structured populations. Am Nat. 2014;183(1):17–35.

72. Czuppon P, Blanquart F, Uecker H, D’ebarre F. The effect of habitat choice on evolutionary rescue in subdivided populations. BioRxiv; p. https://doi.org/10.1101/738898.

73. Weinreich DM, Chao L. Rapid evolutionary escape in large populations from local peaks on the Wrightian fitness landscape. Evolution. 2005;59:1175–1182.

74. Poelwijk FJ, Kiviet DJ, Weinreich DM, Tans SJ. Empirical fitness landscapes reveal accessible evolutionary paths. Nature. 2007;445(7126):383–386.

75. Szendro IG, Schenk MF, Franke J, Krug J, de Visser JAGM. Quantitative analyses of empirical fitness landscapes. J Stat Mech Theor Exp. 2013; p. P01005.

76. Kendall DG. On the Generalized “Birth-and-Death” Process. Ann Math Statist. 1948;19(1):1–15.

77. Traulsen A, Claussen JC, Hauert C. Coevolutionary dynamics: from finite to infinite populations. Phys Rev Lett. 2005;95(23):238701.

78. Traulsen A, Hauert C. Stochastic evolutionary game dynamics. In: Schuster HG, editor. Reviews of Nonlinear Dynamics and Complexity. vol. II. Wiley-VCH; 2009.