The evolution of local schooling systems at the secondary level in Brazil between 1991 and 2010

A evolução dos sistemas locais de ensino de nível médio no Brasil entre 1991 e 2010

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Abstract
In spite of the negative overall representation of Brazilian secondary schools, the educational system at this level has shown remarkable quantitative developments. This paper proposes evolutionary paths of development of local secondary educational systems and addresses their determinants. It uses four schooling indicators - age specific enrollment ratio, gross enrollment ratio, net enrollment ratio, and age-grade distortion - conjointly with the use of cluster analysis to determine development trajectories. Furthermore, it analyses the main determinants of the evolutionary paths with the use of logistic and multinomial logistic models. Regional aspects related to socioeconomic level, labor market returns to human capital, demographics, social stability, location and microenvironment were associated with educational evolution.

Keywords
education, Brazil, secondary level, evolutionary paths, schooling performance.

JEL Codes I21, I25, R10.

Resumo
Apesar da representação em geral negativa das escolas de nível médio no Brasil, o sistema educacional brasileiro nesse nível apresentou um avanço quantitativo significativo. Esse artigo propõe trajetórias evolucionárias para o desenvolvimento de sistemas de ensino médio locais. São usados quatro indicadores educacionais – taxa de atendimento específico, taxa de escolarização bruta, taxa de escolarização líquida e distorção idade-série – de forma conjunta com o uso de análise de aglomerados para determinar essas trajetórias. Além disso, os determinantes dessas trajetórias são analisados com o uso de modelos logísticos. Verificou-se que aspectos regionais, socioeconomicos, de mercado de trabalho, demográficos, de estabilidades social e microambientais eram associados com a evolução educacional local.

Palavras-chave
educação, Brasil, nível médio, trajetórias evolutivas, desempenho escolar.

Códigos JEL I21, I25, R10.
1 Introduction

This most common perspective in referring to the Brazilian secondary educational system is quite negative: repetition and dropout rates are high and temporary leave is common when compared to other countries (Pieri, 2018). In addition, schooling performances are poor, even when compared to countries with similar per capita income (PISA, 2009). Consequently, the majority of Brazilian youth are unable to participate adequately in the labor market and/or to pursue higher levels of formal education and secondary school in Brazil does not fulfill the expectations of most Brazilians (Castro et al., 2000).

This brief and bleak view emphasizes some of the problems faced by the Brazilian secondary schooling system. However, not neglecting its notable limitations, some remarkable advances were observed.

Similarly to many other studies (for instance, Goldin; Katz, 1997; Meyer et al., 2012; Pontili; Kassouf, 2008; Urquiola; Calderon, 2006), this paper discusses the expansion of the educational system, however, it takes a rather different approach. This paper discusses the expansion of secondary schooling systems in municipalities in Brazil between 1991 and 2010 with the use of four schooling indicators: age specific enrollment ratio, gross enrollment ratio, net enrollment ratio, and age-grade distortion (Rani; Golgher, 2004; UNESCO, 2009). It proposes evolutionary phases and paths of development of local secondary educational systems using these four indicators conjointly. The hypothesis is that regions evolve from a more primitive to a more advanced system following some preferential paths: some regions progress rapidly, while others lag behind; some areas primarily develop one specific indicator, while others initially evolve another. To the best of my knowledge, the use of four indicators conjointly describing the reality of Brazilian education was never pursued before.

This paper is structured in eight sections, including this introduction. The next section presents a literature review with topics associated with the empirical analysis of the paper. The third section presents the databases and the empirical strategy of the paper. The following section describes descriptive statistics for each of the four indicators cited above. The fifth section characterizes regional profiles of educational systems, proposing developmental phases with the use of cluster analysis. The next section describes the preferential transitions between these phases, proposing theoretical and empirical
paths for the modernization of regional educational systems. Section Seven describes the main determinants of these paths with the use of logistic and multinomial logistic models. The last section concludes the paper.

2 The expansion of educational systems

Most individuals nowadays complete the elementary level in Brazil, which has become close to universalized (Lima, 2011). However, a sizable proportion of young Brazilians leave the educational system in the transition between the elementary and the secondary levels. Furthermore, dropout rates and repetition rates while attending the secondary level are quite high when compared to other countries (Pieri, 2018). Even though these problems persist in the Brazilian educational system at the secondary level, there has been a notable increase in the numbers of high school graduates (Golgher, 2010a).

Different indicators can be used to address the expansion of educational systems. Meyer et al. (2012) addressed the global expansion of mass education between 1870 and 1980. They stated that mass schooling became a worldwide institution and nowadays even the poorest countries maintain an elementary school system. The authors analyzed primary enrollment ratios of different countries. They observed that geographical characteristics, representing cultural, historical and institutional disparities, greatly influenced educational expansion, while urbanization, racial and religious composition affected the process less decisively.

According to Goldin and Katz (1997), the expansion of secondary schooling in the U.S. was not spatially homogenous. Some regions, such as those that had greater wealth, higher proportions of elderly people, greater income equality, lower participation of manufacturing in the job market, and lower proportions of migrants had higher rates of secondary school enrollment and graduation.

Urquiola and Calderon (2006) compared countries in Latin America and the Caribbean using age specific enrollment ratios. The authors observed that most countries showed high enrollment rates, implying that students attended school for many years, however, these students displayed a great variation in educational attainments. In particular, the Brazilian youth attended the educational system for quite a long time vis-à-vis other coun-
tries in Latin America and Caribbean, but they did not acquire more education due to high school postponement and high rates of repetition.

Pontili and Kassouf (2008) analyzed the determinants of age-grade distortion in Brazil. They observed that individual factors, family characteristics, school infrastructure and location were associated with this indicator. They concluded that students from households with lower socioeconomic status would greatly benefit from school infrastructure improvements and from an increase in their social status.

3 Methodological section

3.1 Databases

The Brazilian Demographic Censuses can be applied to municipalities, the basic geographical unit of analysis of the paper, and are suitable to address educational indicators such as those used here. The use of the last three, those of 1991, 2000 and 2010, is justified by the necessity of observing the data in a time span of approximately two decades in order to evaluate evolutionary paths.

3.2 Educational indicators associated with enrollment and age-grade distortion

The use of stock variables are extremely useful to address points related to regional development, however, they represent the effects of cumulated educational attainment. Thus, the use of flow indicators tends to be more appropriate to analyze access to education, since these are more closely associated with the current characteristics of the educational system.

Among the flow variables, I selected four that can be estimated using Census data and that complement each other: age specific enrollment ratio, gross enrollment ratio, net enrollment ratio, and age-grade distortion. I briefly present these indicators below. For further details see Riani and Golgher (2004) and UNESCO (2009).

The age specific enrollment ratio is the percentage of individuals in a specific age group that attended school at any schooling level. This pa-
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This paper analyzes secondary schooling level in Brazil, and the ideal age range for attending this level is between 15 and 17 years old. This indicator is particularly useful to give an overview of the retention capacity of the schooling system and to show the extent of educational participation of a specific age group.

The gross enrollment ratio is the ratio between the number of individuals of any age who attend a specific schooling level and the number of individuals in the population in the age group eligible for the level. In this paper, I consider the ratio between all students at the secondary level, irrespective of age, divided by the population aged between 15 and 17. This indicator describes the capacity of the educational system to enroll students of a specific level, and determines whether a region has an educational system at this level which is adequate to incorporate all potential local students.

A third indicator is net enrollment ratio. This indicator is defined as the percentage of individuals of the official age for enrollment in a specific schooling level who actually attend the level. In this paper, the percentage of individuals aged between 15 and 17 that are studying at the secondary level. This indicator is appropriate to evaluate the efficiency of the schooling system, particularly concerning the flow of students.

The last indicator is age-grade distortion, which represents the proportion of students who are older than they should be in any schooling year, in this paper, in any of the three years of the secondary level. Similarly to the last indicator, the age-grade distortion is also associated with the efficiency of students’ progression.

3.3 Geographical unit of analysis

This paper has the Brazilian municipalities as the basic geographical unit of analysis. However, more than 1000 municipalities were created in the period: they were 4491 in 1991, 5507 in 2000, and 5565 in 2010. In order to make comparisons more insightful, I aggregated many municipalities in the last two Censuses and some in the first, and I obtained the same areas in all years, which are known as minimum areas of comparison (MAC). Most of them represented one municipality in 1991, but some are groups of two or more municipalities in this year, with a total of 4253 areas.
3.4 The phases of development

This subsection describes how I defined the evolutionary phases of educational systems. Initially, I obtained the value for each indicator in each MAC for the three years. Thus, initially the data consisted of 4253 MACs x 3 years for each indicator. Then, the data in the three years were polled for each indicator. Because the indicators have distributions with different means and standard deviations, and this might affect the clustering process, I obtained a rank for each indicator, from one to 12759, representing from the best to the worst value, for each indicator.

After this, I classified all MACs/Years in homogenous groups with the use of cluster analyses. Clustering methods are exploratory statistical procedures that classify the elements of information sets in internally homogeneous groups in order to find structure in data (Hair et al., 2009). Given the nature of the used dataset, I chose to use the K-means clustering procedure. However, this technique has the drawback of requiring a previously defined number of clusters. I performed different analyses with a range of arbitrary defined numbers of clusters and, due to empirical outcomes, I chose the study with eight clusters. Notice that the clusters were obtained with the ranks of the four indicators, hence all of them had the same influence to determine these clusters. Finally, I classified the eight clusters in five phases of development, as detailed in the discussion of the results.

3.5 Econometric models

I used econometric models to verify which socioeconomic, demographic and geographic characteristics were significantly associated with this diversity of evolutionary paths. The empirical analysis is divided in two parts: the first discusses the main evolutionary paths between 1991 and 2000, and the second addresses those between 2000 and 2010. The dependent variable is binary or categorical and is the final cluster membership in each period conditioned in the profile of origin. For instance, regions classified as cluster 1 in 1991 mostly developed to cluster 3 or 4 in 2000. Then I created a binary variable for the cluster achieved in 2000 (1 – For cluster 4, 0 – For cluster 3), and used logistic models. Similarly, regions categorized as cluster 2 in 1991 mostly evolved to clusters 3, 5, 6 or 7 in 2000. Thus,
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3.6 Explanatory variables

The independent variables of the econometric models consist of socioeconomic, demographic, geographical and political characteristics that might have an impact on educational indicators. Similar sets of explanatory variables were used by Goldin and Katz (1997) and by Meyer et al. (2012). Most explanatory variables were obtained for the beginning of the period being analyzed to minimize endogeneity problems. Therefore, the variables are from 1991 and 2000 when not specified.

For urban structure, I included the logarithm of population. Moreover, an urbanization index was built using principal components analysis (PCA) applied to data for urbanization degree, and the presence or not in the household of piped water, electricity and a proper collection of sewage (Hair et al., 2009). A third independent variable consists of a socioeconomic index, also built using PCA, and based on the presence of assets in the households. These two indexes were normalized means of the variables composing the index.

Regarding local economic activity and labor market returns on human capital, the models incorporated data for university enrollment, representing returns of a high school diploma. Tertiary education in Brazil expanded remarkably in the last decades (Salata, 2018), however, many municipalities in Brazil do not have access to higher education institutions. Thus, it is expected that the return of a high school diploma may be lower in these places due to the greater difficulties of local residents to attend a tertiary education institution.

Moreover, the models included the proportion of migrants in the population. In the Brazilian Census of 1991, 2000 and 2010, one of the questions defines a migrant as someone who lived in different municipalities five years before the Census and in the Census data (Rigotti, 2011). I used
this information to define who was a migrant in this paper. High proportions of migrants are positively associated with positive characteristics of local labor markets; however, they can also be related to a lack of social stability. Other variables related to social stability included in the models are local unemployment rates and homicide rates. The data for homicide rates is a mean value of five years around the years 1995 and 2005, indicating the values in the middle of each decade.

Demographics may influence educational outcomes, in particular the population’s age structure and sex distribution. The models include the proportion of individuals aged under 15 in the population. Higher values for the proportion of this age group may indicate greater pressures to incorporate larger numbers of students in the educational system. Sex distribution, measured by sex ratios, was also included in the models. Girls in Brazil tend to achieve higher levels of formal education (Haussmann; Golgher, 2016).

Geography might also influence the development of the educational system. A dummy was created indicating whether or not the area was located in the North or Northeast regions, the two least developed in Brazil. The reference was if the area was localized in one of the most developed macroregions in Brazil: the Central-West, South or Southeast regions.

Homicide rates might represent social instability or may be spuriously correlated with positive features of a society depending on the level of development of a region. I included an interaction term between these rates and the dummy representing regions.

Moreover, spatial heterogeneity and/or dependence among the geographical units of analysis might exist (Elhorst, 2010; LeSage; Pace, 2009). In order to represent the potential influence of the microenvironment of the region, the neighbors’ mean values of the four above-mentioned educational indicators were initially included in the models. After some analyses, the spatial lagged values of age specific enrollment ratio and of net enrollment ratio were dropped from the models.

Although the models were conditioned on the cluster of origin, and they represent approximately homogeneous groups, regions in each cluster were not identical. Hence, as a control, the models included the initial values for the four educational indicators.

I included two other variables for the analysis of the period between 2000 and 2010. Notice that in this second group of analysis, the areas are conditioned on the cluster achieved in 2000, and the regions classified as
cluster 1 or 2 in 1991 are analyzed together. Thus, the models include a
dummy indicating whether the region was classified in cluster one in 1991.

Finally, the Brazilian Federal Government implemented the Bolsa Família program in 2004, a conditional cash transfer (CCT) policy. This program integrated four cash transfer programs that already existed (Simões; Sabates, 2014). This program assists close to 25% of the Brazilian population and it is expected to have a direct positive impact on the children’s learning conditions at home (Simões; Sabates, 2014). Nobre (2018) and Simões and Sabates (2014) observed that the Bolsa Família program reduced dropout rates and increased academic performance of children and adolescents. However, these last authors argue that most studies have found no effects of CCT on students’ academic achievement. In order to account for potential effects of this program on the development of local educational systems, the proportion of households assisted by this program in each area in 2004 was included in the models analyzing the transitions between 2000 and 2010.

4 Evolution of schooling indicators in Brazil between 1991 and 2010

This section presents a brief overview of the evolution of the four selected educational indicators for MACs in Brazil in the period between 1991 and 2010. Golgher (2010b) presents a lengthier presentation of descriptive statistics for these indicators for the years of 1991 and 2000. Table 1 shows the proportion of areas that improved in each indicator in each period. Nearly all areas improved the four indicators between 1991 and 2010, with values ranging from 97% of the total for age-grade distortion to 100% for net enrollment ratio. This indicates that despite the negative overall representation of Brazilian secondary schools, the educational system at this level exhibited remarkable quantitative development over this period.

From 1991 to 2000, similar numbers were observed for the enrollment indicators. The results for age specific enrollment ratio, gross enrollment ratio and net enrollment ratio respectively indicate that the proportion of the population aged 15 to 17 in school increased, more students were at the secondary level, and more students aged 15 to 17 were at the secondary level in nearly all MACs. However, given the results of the age-grade
distortion indicator, many older students that ideally should be at the tertiary level were still in high school, or many were in the secondary level, but at a lower level than they should ideally have been.

Between 2000 and 2010, the proportion of individuals in the population aged 15 to 17 in school did not increase so remarkably, nor did the number of older students at the secondary level, as shown by the not so notable evolution of age specific enrollment ratio and gross enrollment ratio indicators, although the majority of the MACs also improved these indicators between these years. However, net enrollment ratio and age-grade distortion improved the most, indicating the increase in efficiency of students’ progression.

This brief analysis suggests that the indicators do not evolve at the same pace: the age specific enrollment ratio and gross enrollment ratio apparently increase before the other two. That is, first retention increases, then there is a correction in the students’ progression. That is, in both decades there was a remarkable evolution of the Brazilian secondary system of formal education, but the dynamics of evolution of the indicators differ, as some evolve before than others.

### Table 1 Proportion of areas of comparison with positive evolution in Brazil

| Indicator                      | 1991 and 2000 | 2000 and 2010 | 1991 and 2010 |
|--------------------------------|---------------|---------------|---------------|
| Age specific enrollment ratio  | 99.2          | 87.9          | 99.8          |
| Gross enrollment ratio         | 99.4          | 86.3          | 99.8          |
| Net enrollment ratio           | 97.9          | 94.9          | 100.0         |
| Age-grade distortion           | 70.8          | 98.4          | 97.0          |

Source: FIBGE, 1991, 2000 and 2010.

### 5 Evolutionary phases of local educational systems

In the previous section, I discussed the evolution of the four indicators separately, when I observed that the temporal dynamics of the indicators differed. This section analyzes the joint dynamics of all four indicators. The questions being addressed here is: How do regions evolve from a less developed local schooling system to a more developed one?

Table 2 shows the results of the clustering process in two panels. The upper panel shows the mean value for the ranks of each indicator for each
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cluster centroid. Notice that the values could potentially vary from one to 12759: the smaller the value, the better the indicator. The results should be analyzed relative to the other clusters. Additionally, the table includes a brief description of each profile in the lower panel in order to facilitate the comprehension of the main characteristics of the clusters.

As already mentioned, I classified the eight clusters into five phases of development and they were numbered according to these phases; the first cluster characterized the least developed regions, while the last represented the most developed areas. In the next paragraphs, I clarify how I defined these phases.

Cluster 1 characterized the regions with very low levels for all three indicators of enrollment and very high age-grade distortion when compared to other clusters. Notice that relative to the other clusters, the values for the cluster centroid are among the highest for all four indicators, all above 10,000. Thus, the cluster categorized areas with very few individuals aged 15 to 17 studying, very few secondary level students, and very low efficiency of students’ progression. Given these characteristics, it was the only cluster defined as phase one and was named the “Least developed areas.” These features described 2,175 MACs/Years: 91% of the total in 1991, 1,988 MACs, and 9% of the total in 2000, only 187 MACs. Therefore, this profile was a typical profile of 1991, as very few regions had these characteristics in 2000 and none in 2010.

I considered the clusters numbered 2, 3 and 4 as phase two. They are clearly and unambiguously more developed profiles than cluster one. Notice, however, that these three clusters classified as phase two show different development profiles. Each specific cluster has better values for some indicators and worse values for others when compared with the other clusters in the same phase of development, and no cluster is unequivocally more developed than the others.

Cluster 2 is similar to cluster 1 in the two first enrollment indicators, age specific enrollment ratio and gross enrollment ratio, as the values for the centroid are similar. However, as shown by the results of net enrollment ratio and age-grade distortion, with smaller centroid values for both indicators, students’ progression was more efficient. Hence, it can be considered as a potential initial step of an evolutionary path from the least developed regions with a correction in the students’ progression. The profile was named “least developed areas with lower age-grade distortion.”
Cluster 2 categorized more than 1000 MACs/Year, mostly also from 1991. Cluster 3 is a typical profile of 2000 and characterized over 1000 MACs/ Years. It mostly differs from cluster 1 in two indicators: the age specific enrollment ratio and the gross enrollment ratio, both higher. These differences suggest an evolution from the characteristics of cluster 1 with an increase in the number of students aged 15 to 17 at any schooling level and an increase of older students at the secondary level. However, students’ progression continues to be inefficient, with low values for net enrollment ratio and very high values for age-grade distortion. I named this cluster “less developed areas with higher age-grade distortion.”

Cluster 4, named “less developed areas with lower age-grade distortion,” characterized 1,264 MACs/Years, mostly from 1991 and 2000. It is a more advanced development profile than that observed in cluster 1, with a slight evolution in all indicators: a greater proportion of students among the population aged 15 to 17, more students at the secondary level, more students at the secondary level aged 15 to 17, and less age-grade distortion. Notice that this cluster represents a general development when compared to cluster 1, unlike clusters 2 and 3, which show improvements in a limited set of indicators. However, cluster 4 was not considered a development from clusters 2 or 3, as some indicators are less developed in cluster 4 than in these two other clusters.

I classified cluster 5 as phase three, because it is a clear development from clusters 2 and 4 in phase two. Comparing clusters 2 and 5, there was an increase in all enrollment indicators and a decrease in age-grade distortion. A comparison between clusters 4 and 5 shows the same low values for age specific enrollment ratio; however, there was an increase in gross and net enrollment ratios and a decrease in age-grade distortion.

Finally, comparisons between clusters 3 and 5 do not show such a clear dominance of the latter over the former as the last two mentioned comparisons. Regions classified in cluster 5 had larger proportions of individuals in the secondary level, with less age-grade distortion, when compared to the areas categorized by cluster three; however, the proportion of individuals aged 15 to 17 in school was smaller. Nonetheless, given the ambiguities of the age specific enrollment ratio indicator, cluster 5 might be considered a potential development from cluster 3: due to an increase in the students’ progression efficiency, dropout rates among individuals of the age group between 15 and 17 might increase as the student finishes the
elementary level. I characterized Cluster 5 as “areas of intermediate level of development” and it classified regions in all three years.

Clusters 6 and 7 represented the fourth phase of development, and they show a more developed profile than all clusters in the previous phases. Notice that both profiles mostly characterized MACs in 2000 and in 2010. The main difference between clusters 6 and 7 is the proportion of students aged 15 to 17 that are still in the elementary level, which is greater in the first, with higher levels of age-grade distortion. Clusters 6 and 7 were respectively named “highly developed areas with high age-grade distortion” and “highly developed areas with low age-grade distortion.”

Table 2 Phases of development of local educational systems obtained by cluster analyses

| Cluster | Phase | Type | Indicator | MACs |
|---------|-------|------|-----------|------|
|         |       |      | ASER      | GER  | NER  | AGD | Total | Proportion in 1991 | Proportion in 2000 | Proportion in 2010 |
| 1       | 1     | Unique | 10648     | 11322 | 11421 | 10951 | 2175 | 91 | 9 | 0 |
| 2       | 2     | A     | 11183     | 10153 | 9162  | 5862  | 1272 | 96 | 4 | 0 |
| 3       | 2     | B     | 5249      | 8379  | 9525  | 10724 | 1162 | 7  | 91 | 2 |
| 4       | 2     | C     | 8081      | 7623  | 7852  | 8811  | 1264 | 44 | 53 | 3 |
| 5       | 3     | Unique | 7990      | 6409  | 5723  | 4877  | 1249 | 31 | 53 | 17 |
| 6       | 4     | A     | 2911      | 3525  | 4994  | 6829  | 1681 | 1  | 40 | 59 |
| 7       | 4     | B     | 5166      | 3912  | 3121  | 2549  | 1687 | 0  | 33 | 67 |
| 8       | 5     | Unique | 1814      | 1746  | 1369  | 2054  | 2269 | 0  | 18 | 82 |

Main regional features

1 Least developed areas
2 Least developed areas with lower age-grade distortion
3 Less developed areas with higher age-grade distortion
4 Less developed areas with lower age-grade distortion
5 Areas of intermediate level of development
6 More developed areas with high age-grade distortion
7 More developed areas with low age-grade distortion
8 Most developed areas

Source: FIBGE, 1991, 2000 and 2010.

ASER = Age specific enrollment ratio; GER = Gross enrollment ratio; NER = net enrollment ratio; AGD = Age-grade distortion.
The evolution from cluster 5 to cluster 6 indicates an increase in the proportion of students aged 15 to 17, and of older students at the secondary level, with slight increases in age-grade distortion and in net enrollment ratios. That is, although age-grade distortion slightly increased, this was caused mostly because older students did not drop out of the educational system. From cluster 5 to cluster 7, the evolution is a general development in all indicators.

The last cluster had good levels for all indicators, clearly dominated all others, and was defined as phase five: the “most developed areas.” This cluster grouped 2269 MACs/Years, most in 2010. The characteristics are a natural adjustment of students’ progressions from clusters six and seven with an increase in the proportion of individuals aged 15 to 17 attending secondary school.

6 Evolutionary empirical paths of local educational systems

The discussion above presented some potential and theoretical steps in the evolution of educational systems. However, many steps might not be common, as some clusters typically characterized profiles in specific years. This section presents the most frequent evolutionary steps.

I begin the discussion with four clusters that represented most areas in 1991, those numbered 1, 2, 4 and 5. Very few areas in 1991 had the characteristics associated with the other clusters. Moreover, the regions classified in clusters 4 and 5 in 1991 were much less numerous than those classified in clusters 1 or 2, and their evolution was not so remarkable or diversified. The areas were already more developed in the beginning of the analysis, and most evolved to cluster 8 in 2010. Therefore, the following analysis focuses on the regions that were classified in clusters 1 or 2 in 1991, which represent 3,211 areas out of 4,253.

Table 3 shows the empirical evolution of the areas categorized in clusters 1 or 2 in 1991 between 1991 and 2000. Cluster 1 categorized 1,988 regions in 1991, close to half of all areas in Brazil. Approximately 70% of these regions followed only two paths: 23.3% made the transition to cluster 3, and 45.6% evolved to cluster 4. These more common evolutionary paths respectively evolved from the “least developed areas” to “less
developed areas with higher age-grade distortion” or to “less developed areas with lower age-grade distortion.” In the first path, there was a more remarkable increase in the proportion of individuals aged 15 to 17 who were studying; however, many of these were still attending the elementary level. In the second path there was an increase in the proportion of individuals aged 15 to 17 who were studying as well as an increase in the number of secondary level students and a decrease in age-grade distortion. That is, all indicators improved conjointly, an apparently more robust development than the previous path. Concerning the other transitions, some regions, 8.7% of the total, did not develop at all, while around 20% evolved more remarkably than in these more common paths.

Table 3  Transitions between 1991 and 2000 for areas classified as cluster 1 or 2 in 1991

| Cluster in 2000 (%) | Least developed areas | Least developed areas with lower age-grade distortion | Cluster in 1991 |
|---------------------|-----------------------|-----------------------------------------------------|-----------------|
|                     |                       | 1 and 2                                             | 3 to 8          |
| Least developed areas | 8.7                   | 1.0                                                 | 5.8             | 0.0             |
| Least developed areas with lower age-grade distortion | 1.6                   | 14                                                  | 1.5             | 0.0             |
| Less developed areas with higher age-grade distortion | 23.3                  | 13.2                                               | 19.4            | 5.0             |
| Less developed areas with lower age-grade distortion | 45.6                  | 72                                                 | 31.0            | 5.7             |
| Areas of Intermediate level of development | 9.6                   | 32.2                                               | 18.2            | 6.9             |
| More developed areas with high age-grade distortion | 9.1                   | 13.4                                               | 10.7            | 31.9            |
| More developed areas with low age-grade distortion | 1.5                   | 24.4                                               | 10.2            | 21.8            |
| Most developed areas | 0.7                   | 72                                                 | 3.1             | 28.8            |
| Total               | 1988                  | 1223                                               | 3211            | 1042            |

Source: FIBGE, 1991 and 2000.

Cluster 2 classified 1,223 areas in 1991. More than 80% of them followed four paths between this year and 2000: 13.2% evolved to cluster 3, 32.2% developed to cluster 5, 13.4% to cluster 6, and 24.4% to cluster 7. The first of these paths, from “least developed areas with lower age-grade distor-
tion” to “less developed areas with higher age-grade distortion,” showed an increase in the number of students aged 15 to 17 in all levels, with an increase in age-grade distortion. In the path between the “least developed areas with lower age-grade distortion” to “areas of intermediate level of development” there was a more remarkable increase in the proportion of students in general, not accompanied by increasing age-grade distortion. Furthermore, some regions evolved from “least developed areas with lower age-grade distortion” to “highly developed areas with high age-grade distortion,” while others evolved from this first cluster to “highly developed areas with low age-grade distortion.” In both cases, the development was substantial and, in this first step, the increase in the proportion of students aged 15 to 17 in the elementary level was greater than in the latter step. Regarding the other paths, close to 14% evolved to clusters 4 or 8, and 2.4% did not apparently develop. Finally, notice in the last columns that regions classified in 1991 as cluster 3 to 8 mostly evolved to cluster 6 to 8.

Similarly to table 3, table 4 shows the evolution between 2000 and 2010. First, notice that the large majority of regions, 2,877 out of 3,211, were classified in clusters 3 to 7 in 2000. Most of them achieved one of the three most developed profiles. The MACs classified in 2000 as “less developed areas with higher age-grade distortion” or “less developed areas with lower age-grade distortion” mostly evolved to become highly developed areas or the most developed areas, with a general increase in the number of students at the secondary level and a correction of student progression. Regions that were already more developed in 2000, in clusters 5, 6 or 7, mostly achieved one of the two last clusters, also with a general improvement of all indicators.

The results above suggest that empirical evolutionary paths depend on the velocity of changes. On the one hand, for quicker evolutions, such as those observed in the 90s in Brazil, most regions begin their development of the secondary level with an increase in the retention capacity of students aged 15 to 17 and an increase in age-grade distortion. Then the gross enrollment ratio increases, as the retention capacity of older students is also improved. After this, students’ progression becomes more efficient, with an increase in net enrollment ratio and a decrease in age-grade distortion. A more homogeneous path is observed if there is a slower evolution in the indicators, as verified in the 80s in Brazil. Because of the slower joint
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evolution of the indicators, I did not observe a very large enrollment ratio for those aged 15 to 17 with high levels of age-grade distortion before the other indicators progress.

Table 4 Transitions between 2000 and 2010 for areas classified as cluster 1 or 2 in 1991

| Cluster in 2010 (%) | Cluster in 2000 |
|---------------------|-----------------|
|                     | 1 or 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total |
| 1 to 5              | 38.2   | 8.7 | 8.5 | 3.9 | 2.3 | 0.0 | 1.0 | 8.1 |

More developed areas with high age-grade distortion

|                     | 43.8   | 24.7 | 61.8 | 1.4 | 8.4 | 0.6 | 0.0 | 28.3 |

More developed areas with low age-grade distortion

|                     | 15.0   | 42.0 | 12.9 | 52.6 | 21.5 | 34.7 | 14.9 | 30.4 |

Most developed areas

|                     | 3.0    | 24.7 | 12.9 | 42.1 | 67.7 | 64.7 | 84.2 | 33.2 |

Total

|                     | 233    | 624  | 995  | 585  | 344  | 329  | 101  | 3211 |

Source: FIBGE, 2000 and 2010.

7 Empirical analyses of the evolutionary paths

The last section emphasized that many areas initially classified in clusters 1 and 2 in 1991 fully developed to cluster 8 in 2010, while others regions still lagged behind, mostly in clusters 6 and 7. This section addresses the question of which features might be associated with this diversity in evolutionary paths.

Table 5 presents the results of the econometric models for the most numerous transitions between 1991 and 2000. The first model is a logistic model and describes the results for the regions that were classified in 1991 as the “least developed areas.” As already discussed, most regions made the transition in 2000 to the clusters “less developed areas with higher age-grade distortion” or “less developed areas with lower age-grade distortion.” The model compares these two outcomes, and the reference is the first cluster. That is, it analyses factors correlated with the initial development steps of local educational systems with or without a greater efficiency of the students’ progression.

Most coefficients were significant at 5%. Notice that controls for the initial values of the four indicators were included in the model, but the results are not shown.
Concerning urban structure, more populated municipalities, possibly due to larger schools, had a smaller propensity of belonging to the cluster with lower age-grade distortion. The variable for urban index was not significant in this model nor in the next. Regions that had a better socioeconomic level, and a larger proportion of university enrollments, indicating greater returns on human capital, also had a greater propensity of belonging to the cluster with lower age-grade distortion.

Regarding social stability, areas with lower unemployment rates had a greater propensity of belonging to the cluster with lower age-grade distortion. The variable for homicide rate was not significant in this model nor in the next. As described earlier, a higher proportion of migrants might indicate a local booming economy, but can also imply a higher level of social instability. The results indicate a positive correlation with the evolution of educational systems, suggesting that the first factor may be more decisive.

Areas with greater proportions of males tended to develop more, suggesting that low levels of educational development favor men, possibly in part due to less sophisticated labor markets, lower levels of formal education and greater gender polarization. The variable for the proportion of the population aged 0 to 14, proxy for population pressure on the educational system, was not significant in this model nor in the next.

Finally, location matters. The extremely small odds-ratio for the dummy North/Northeast regions indicate that macrolocation is greatly associated with the evolution of students’ progression, possibly due to cultural factors, associations with local labor markets and differences in states’ policies and priorities. Regions with low levels of educational development that are located in more advanced states and macroregions tend to develop more remarkably. Besides, the microenvironment is also related to corrections in students’ progression, as shown by the value below one for the odds-ratio for the lagged age-grade distortion. Municipalities surrounded by regions with lower age-grade distortion also tended to show lower age-grade distortion, suggesting localized coevolution. The interaction between homicide rates and macroregions was non-significant in both models. The lagged value for gross enrollment ratio was not significant in the first model.

The next model has the same explanatory variables but compares the areas that were classified as “least developed areas with lower age-grade distortion” in 1991 in a multinomial model. The reference is again the
clustering of “less developed areas with higher age-grade distortion,” which is compared to three other profiles: areas with an intermediate level of development, or more developed areas with high or low age-grade distortion.

Table 5: Logistic and multinomial logistic models for preferential paths between 1991 and 2000

| Model                  | Logistic | Multinomial logistic |
|------------------------|----------|----------------------|
| Cluster in 1991        | Least developed areas | Least developed areas with lower age-grade distortion |
| Comparison with        | Less developed areas with lower age-grade distortion | Intermediate level of development areas | More developed areas with high age-grade distortion | More developed areas with low age-grade distortion |
| Reference              | Low developed areas with higher age-grade distortion |

| Variables         | Odds-ratio | Logarithm of population | 0.84* | 1.38* | 0.86 | 1.37 |
| Urban index         | 0.99       | 1.00 | 1.01 | 0.99 |
| Socioeconomic index | 1.05*      | 1.04* | 1.06* | 1.10* |
| University enrollment | 3.08*     | 1.94 | 2.06 | 3.01* |
| Unemployment rate   | 0.94*      | 0.89* | 1.01 | 0.77* |
| Homicide rate       | 0.98       | 0.99 | 1.01 | 0.97 |
| Proportion of migrants | 1.04*     | 1.04* | 1.05* | 1.05* |
| Sex ratio           | 1.04*      | 0.98 | 0.93* | 0.90* |
| 0 to 14 years old   | 0.98       | 1.02 | 1.01 | 1.07 |
| North or Northeast regions | 0.08* | 0.24* | 0.39 | 0.05* |
| Homicide*region     | 1.04       | 1.00 | 0.95 | 0.92 |
| Lagged gross enrollment ratio | 1.01 | 1.06* | 1.06* | 1.12* |
| Lagged age-grade distortion | 0.97* | 0.94* | 0.98 | 0.93* |

Source: FIBGE, 1991, 2000 and 2010.
Controls for initial values of age specific enrollment ratio, gross enrollment ratio, net enrollment ratio and age-grade distortion.

Note: * significant at 5%.

Similarly to what was observed in the previous model, regions with better socioeconomic levels, greater proportions of enrollments in the tertiary level and of migrants, lower unemployment rates, and locations outside the North or Northeast regions tended to develop their educational systems more fully. But in this model, unlike the previous one, greater pro-
portions of females were positively related to a greater development of the educational system. These results suggest that for regions with a very incipient schooling system, as in the first comparison, greater proportions of males tend to promote a greater development until a reasonable level is attained; after this females take over, possibly due to lower participation in the labor market and because of cultural evolution towards women’s empowerment (Hausmann; Golgher, 2016). Concerning the microenvironment, municipalities encircled by areas with greater proportions of students in the secondary level and with lower levels of age-grade distortion had a greater propensity to develop more. That is, local educational systems are influenced by what happens in their vicinities. The size of local population was significant at low to intermediate levels of development, but not in the other comparisons.

Table 6 shows the results for the transition between 2000 and 2010 for the five clusters that were particularly common in 2000. Note that the models are conditioned on the cluster achieved in 2000. However, only those regions classified in 1991 as cluster 1 or 2 were included in the models. Again, controls for the initial value of the four indicators are included in the models.

Before discussing any particular model, I present some general findings. Notice in the last line of the table that most coefficients for the dummy indicating the cluster of origin in 1991 were non-significant. That is, after controlling for initial values of age specific enrollment ratio, gross enrollment ratio, net enrollment ratio and age-grade distortion, the origin in 1991 did not account significantly for the posterior trajectories between 2000 and 2010 in most cases. The origin in 1991 was significant only in the first model and with odds-ratio below one, indicating the lower propensity of regions that originated in this profile to develop more fully.

Besides, all the coefficients for Bolsa Família program were non-significant, indicating no significant correlation between this policy and the evolution of educational systems at the municipal level, after controlling for the other variables in the model. Although I controlled for many socio-economic factors, it should be clear that the least developed regions tend to have a greater proportion of their households as recipients of this CCT policy. Therefore, the initial expectation was that the coefficients would be positive and significant. For instance, Nobre (2018) and Simões and Sabates (2014) verified evidence that this type of policy increases schooling
attainment of individuals. However, as emphasized by these last authors, most studies on the subject have found no effects of CCT on students’ academic achievement, as I observed here for the local development of schooling systems.

Now I focus the discussion on each of the five models. Among those classified in 2000 in the profile “less developed areas with higher age-grade distortion,” most regions attained three clusters in 2010: “more developed areas with high age-grade distortion,” “more developed areas with low age-grade distortion,” and “most developed areas.”

Few variables were significant at 5% and we present their results. Areas with greater proportions of students at the tertiary level and a more favorable microenvironment showed a greater propensity for major improvements in student progression or development of the education system in general. Urbanization and social stability were also slightly associated with these greater improvements. The other variables showed non-significant coefficients, including the dummy for macroregion.

The next model addresses those areas that were classified in 2000 as “less developed areas with lower age-grade distortion.” These areas had a lower age-grade distortion in 2000 than those addressed in the previous models; however, they had a similar evolution.

The coefficients for urban structure, for the socioeconomic index and for university enrollment were non-significant. Similarly to the comparisons in previous table, areas with a higher proportion of immigrants, a location outside the North/Northeast regions, and a favorable microenvironment showed a greater likelihood of remarkably improving student progression or overall development of their education systems.

Concerning social stability, the lower the homicide rate, the greater the evolution of the educational system for areas located in the South/Southeast/Central-West regions. In the North/Northeast, as shown by the interaction term, the tendency is blurred. These results suggest that homicide rates in more developed areas are more associated with social stability, influencing educational outcomes, while in less developed ones this is not so straightforward.

Regarding the demographic variables, a higher proportion of females was related to lower levels of age-grade distortion, but not to greater general development. Females tend to be more focused on education (Hausmann; Golgher, 2016), resulting in lower dropout and repetition rates.
Lower population pressure, represented by smaller proportions of individuals aged 0 to 14 in the population, was associated with a greater development of the educational system.

The last three models address those regions that were classified in 2000 respectively as “intermediate level of development areas,” “more developed areas with high age-grade distortion” or “more developed areas with low age-grade distortion,” the most developed main profiles in 2000. These areas mostly evolved to two profiles in 2010, which are this last one mentioned and the “most developed areas.” The models compare these two profiles with logistic models analyzing the factors associated with a more remarkable educational development of the already most developed areas in 2000.

All coefficients for urban index, socioeconomic index, tertiary level enrollment and proportion of migrants were non-significant. Differently from most other comparisons, macro location was not associated with the evolution of the educational systems. This suggests that more developed areas in less developed macroregions more closely resemble those in more developed regions.

Similarly to the previous comparisons, two measures of social stability were significantly associated with the observed differences: homicide rates and unemployment rates. Lower population pressure was related to greater evolution, as in the previously discussed model. The microenvironment also seems to matter, as observed in previous comparisons. Similarly, regions surrounded by areas with higher gross enrollment ratio evolved more. Differently from the previous comparisons, however, those regions bordered by areas with higher age-grade distortion also evolved more. This seems contradictory; nonetheless, the regions analyzed here were already more developed in 2000, and hence age-grade distortion might occur at higher levels and continue for fewer years. Finally, in the last model, areas with a higher proportion of males evolved more, suggesting that more advanced labor markets interact with schooling systems favoring men.
Table 6 Logistic and multinomial logistic models for preferential paths between 2000 and 2010

| Model                  | Multinomial logistic | Multinomial logistic | Logistic | Logistic | Logistic |
|------------------------|----------------------|----------------------|----------|----------|----------|
| Cluster in 2000        | Less developed areas with higher age-grade distortion | Less developed areas with lower age-grade distortion | Intermediate level indicators | More developed areas with high age-grade distortion | More developed areas with low age-grade distortion |
| Comparison with        | More developed areas with low age-grade distortion | Most developed areas | More developed areas with low age-grade distortion | Most developed areas | Most developed areas |

| Reference               | More developed areas with high age-grade distortion | More developed areas with low age-grade distortion |
|-------------------------|-----------------------------------------------------|------------------------------------------------------|
| Variables               | Odds-ratio                                          |                                                      |
| Log. of population      | 0.87 0.91 1.13 1.36 0.87 1.48* 1.25                  |                                                      |
| Urban index             | 0.99 0.97* 0.98 1.00 0.99 0.99 1.01                  |                                                      |
| Socioeconomic index     | 0.99 1.04 1.00 1.02 0.99 1.02 0.98 1.01                |                                                      |
| University enroll.      | 2.24* 1.72 1.87 1.52 1.06 1.00 1.37 0.92*            |                                                      |
| Unemployment rate       | 0.97* 0.98 0.98 0.98 0.99 1.03 0.92* 0.99             |                                                      |
| Homicide rate           | 1.16 1.02 0.93* 0.89* 0.98 0.97* 0.99 0.99            |                                                      |
| Prop. of migrants       | 0.97 0.97 1.06* 1.06* 0.98 0.99 1.00 1.00             |                                                      |
| Sex ratio               | 1.00 1.02 0.93* 0.97 1.03 1.03 1.09* 1.09*          |                                                      |
| 0 to 14 years old       | 0.99 0.99 0.99 0.92* 0.92* 0.89* 0.92* 0.92*        |                                                      |
| North/Northeast reg.    | 1.97 2.73 0.41* 0.25* 0.66 0.60 0.00 0.00            |                                                      |
| Homicide*region         | 1.16 1.02 1.06* 1.09* 1.04 1.02 17.36 17.36         |                                                      |
| Lagged gross enrollment ratio | 1.03* 1.02* 1.05* 1.05* 1.02* 1.01 1.03* 1.03*  |                                                      |
| Lagged age-grade distortion | 0.88* 0.84* 0.95* 0.99 1.03 1.05* 1.06* 1.06*     |                                                      |
| Bolsa Familia prog.     | 1.00 1.00 1.00 1.00 1.00 1.00 1.00 1.00             |                                                      |
| Cluster 1 in 1991       | 0.56* 0.60 0.74 0.69 1.23 0.68 1.03 1.03            |                                                      |

Controls for initial values of age specific enrollment ratio, gross enrollment ratio, net enrollment ratio and age-grade distortion

Source: FIBGE, 1991, 2000 and 2010.

Fonte: Note: * significant at 5%.
8 Conclusion

This paper proposed local evolutionary paths of development for secondary schooling systems in Brazil with the use of four indicators: age specific enrollment ratio, gross enrollment ratio, net enrollment ratio, and age-grade distortion. I defined evolutionary paths by the conjoint analysis of these four indicators, thus overcoming many of the limitations of more specific discussions focused on one or some of these indicators separately. Then, I observed the main empirical evolutionary paths of local educational development. Finally, I addressed some of the determinants associated with the diversity of paths with the use of logistic and multinomial logistic models.

Here are some of the main factors associated with differences in the evolutionary paths: for localities with low levels of educational development, macrolocation matters, possibly due to cultural and regional factors which are not as relevant in more developed areas. Besides, microenvironment matters, possibly because of localized regional cultures or comparison between close localities. Local socioeconomic levels were more positively associated with development in the initial stages of educational development, and social stability was positively related to evolution for all levels of evolution. Demographic factors might also affect development paths differently depending on the level achieved by the region. Population pressure was more associated with more developed evolutionary steps, while greater proportions of females were more associated with intermediary steps of evolution. Urban structure did not show a significant relation with educational evolution, nor did national policies, such as Bolsa Família.

The results observed in the paper indicate how local schooling systems evolve. This insight may facilitate the implementation of policies at the municipal and state levels designed to promote more advanced and encompassing educational systems. However, there were changes in the federal legislation that might have had direct impacts on the topics discussed in this paper, but they were not explicitly addressed here. The law nº 11.274 of 2006 amplified the number of years of the elementary level implicating that the enrollment of children aged six years old became mandatory. Thus, the period for acquiring literacy increased, with potential positive benefits on academic performance in posterior levels of
The evolution of local schooling systems at the secondary level in Brazil between 1991 and 2010 education (Schmidt; Furghestti, 2016). The law nº 13415 of 2017 modified the secondary level, although it was posterior to the period analyzed here, it might impact on future studies concerning educational attainment (Hernandes, 2020). To analyze the effects of these policies are venues for future research.

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