Biomechanical Comparison of Fixation Stability among Various Pedicle Screw Geometries: Effects of Screw Outer/Inner Projection Shape and Thread Profile

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Abstract: The proper screw geometry and pilot-hole size remain controversial in current biomechanical studies. Variable results arise from differences in specimen anatomy and density, uncontrolled screw properties and mixed screw brands, in addition to the use of different tapping methods. The purpose of this study was to evaluate the effect of bone density and pilot-hole size on the biomechanical performance of various pedicle screw geometries. Six screw designs, involving three different outer/inner projections of screws (cylindrical/conical, conical/conical and cylindrical/cylindrical), together with two different thread profiles (square and V), were examined. The insertional torque and pullout strength of each screw were measured following insertion of the screw into test blocks, with densities of 20 and 30 pcf, predrilled with 2.7-mm/3.2-mm/3.7-mm pilot holes. The correlation between the bone volume embedded in the screw threads and the pullout strength was statistically analyzed. Our study demonstrates that V-shaped screw threads showed a higher pullout strength than S-shaped threads in materials of different densities and among different pilot-hole sizes. The configuration, consisting of an outer cylindrical shape, an inner conical shape and V-shaped screw threads, showed the highest insertional torque and pullout strength at a normal and higher-than-normal bone density. Even with increasing pilot-hole size, this configuration maintained superiority.

Keywords: pedicle screw; screw loosening; pilot hole; bone density

1. Introduction

Rigid intervertebral fixation is the first priority, in the currently used spinal-corrective surgery methods, in terms of achieving final fusion [1–3]. Fixation devices, including pedicle screws, hooks, wires, plates and interbody cages, have been commonly used in recent decades, and pedicle screws have gained increasingly widespread acceptance [4–8].

Failed back surgery syndrome resulting from pseudarthrosis, ranging in incidence from 5 to 35%, has been reported in recent years [9–13]. Improvements in bone graft, the local environment, instrumentation and surgical techniques have all contributed to better fusion rates [13]. In addition to biological factors, studies comparing surgical techniques for managing and preventing pseudarthrosis have improved with the use of rigid instrumented fixation [14].

The screw geometry, consisting of the thread shape, core shape and pitch depth, and insertional factors, such as the pilot-hole size, insertional depth and trajectory, contribute to the fixation stability of pedicle screws and have been discussed in recent years. However, the proper screw geometry and pilot-hole size remain controversial in current biomechanical studies [15–21]. Inconsistent results arise from the use of allogeneic or xenogeneic
vertebrae with different anatomical characteristics and density distributions, various screw materials, mixed screw brands, and uncontrolled partial threads and tapping methods in pilot-hole size studies [15,17,21–23]. To the best of the authors’ knowledge, the most effective screw geometry configuration has not yet been determined by nonbiased biomechanical testing [24,25]. In our study, we tested the pullout strength and insertional torque of six different screw geometry configurations, namely three outer/inner diameter shapes and two thread profiles that are commonly used in clinical spinal surgeries, in standard polyurethane foam test blocks.

The purpose of the study was to evaluate the effect of bone density and pilot-hole size on the biomechanical performance of various screw geometries, resolve the dilemma of screw loosening and investigate the recommended proper screw geometry for clinical applications.

2. Materials and Methods
2.1. Pedicle Screw Geometries

Six types of pedicle screws were fabricated using the same materials and processes based on three different outer/inner diameter shapes (cylindrical/conical, conical/conical and cylindrical/cylindrical) and two types of threads (square- or V-shaped). The length of the thread coverage was controlled at 33 mm, and the screws were grouped as follows: cylindrical/cylindrical-square (Cy/Cy-S), cylindrical/conical-square (Cy/Co-S), conical/conical-square (Co/Co-S), cylindrical/cylindrical-V (Cy/Cy-V), cylindrical/conical-V (Cy/Co-V) and conical/conical-V (Co/Co-V). The outer/inner projection of the screws differed mainly in the taper of the major and minor diameter from the hub to the tip. The Cy/Cy screws maintained a constant outer/inner diameter (6.0 mm/4.0 mm) from hub to tip; the Cy/Co screws maintained a constant outer diameter (6.0 mm) but had a core diameter that tapered by 40%, from 4.0 mm at the hub to 2.4 mm at the tip; and both the outer and core diameters of the Co/Co screws tapered by 40% (from 6.0 to 3.6 mm and 4.0 to 2.4 mm for the outer and core diameters, respectively). For all screw types, the thread pitch was 2.4 mm (Figure 1). The different configurations of the outer/inner diameter (Cy/Cy, Cy/Co, Co/Co) led to different thread depths at various cross sections for specific single screws (e.g., the Cy/Co screw had a larger thread depth at the screw tip than at the screw hub). The outer/inner diameter projection and actual photographs of various screws are shown in Figure 2.

2.2. Test Blocks

Commercially available synthetic bone with a density of 20 pcf (0.32 g/cc; model # 1522-03) and 30 pcf (0.64 g/cc; model #1522-04, Pacific Research Laboratory Inc., Vashon Island, WA, USA), which simulated differences in bone density, was used as a substitute for cadaveric spinal bone because of its consistent and homogeneous structural properties. This eliminated the effects of the variability of bone properties and morphometry. The synthetic bone was composed of rigid polyurethane foam and had a rectangular shape (test block) with dimensions of 13 cm × 18 cm × 4 cm. The foam type and representative bone density were regulated and standardized by an American Society of Testing Materials (ASTM) protocol, and the test blocks provided a consistent and uniform material for use as a standard material when performing mechanical tests that utilized orthopedic devices or instruments [26].
Figure 1. Schematic drawings showing six types of pedicle screws. The outer/inner projection of the screws differed mainly in the taper of the major and minor diameter from the hub to the tip. The Cy/Cy screws maintained a constant outer/inner diameter (6.0 mm/4.0 mm) from hub to tip; the Cy/Co screws maintained a constant outer diameter (6.0 mm) but had a core diameter that tapered by 40%, from 4.0 mm at the hub to 2.4 mm at the tip; and both the outer and core diameters of the Co/Co screws tapered by 40% (from 6.0 to 3.6 mm and 4.0 to 2.4 mm for the outer and core diameters, respectively). For all screw types, the thread pitch was 2.4 mm.

2.3. Specimen Preparation

2.3.1. Comparison of Different Bone Mineral Densities

To clarify the effect of bone mineral density on the biomechanical performance of six screw geometries, five blocks of each screw design were employed for each bone density of 20 and 30 pcf; a pilot-hole diameter of 3.7 mm and depth of 45 mm were set in this model. The same insertional depth and angle, and the integrity of the test blocks, were confirmed postinsertion by X-ray imaging (Figure 3).
Figure 2. Schematic drawings (upper) and photographs (bottom) of six types of pedicle screws with different outer/inner projections (Cy/Cy, Cy/Co, Co/Co) and thread profiles (square-shaped and V-shaped).

Figure 3. Photographs (upper) and radiological images (bottom) of the test blocks after the insertion of screws with various geometries. The inserted screws from left to right are Cy/Co-S, Co/Co-S, Cy/Cy-S, Co/Co-V, Cy/Co-V and Cy/Cy-V, respectively.
2.3.2. Comparison of Different Pilot-Hole Sizes

The pilot holes were drilled into the blocks (20 pcf in density) at a depth of 45 mm with three different diameters: 2.7, 3.2 and 3.7 mm. To minimize the experimental variation caused by vibration of the hand-held drill, all pilot holes were prepared using a standard procedure. The test block was clamped on a vise, and a drilling machine (DP8; Rexon Industrial Corp., Taichung, Taiwan) was used to create a pilot hole. All screws were inserted to the same depth using a gauge of consistent depth, allowing room for attachment to testing equipment. X-ray imaging of all instrumented test blocks was performed to ensure the same insertional depth and angle.

2.4. Biomechanical Testing

During screw insertion, the maximal insertional torque was measured using a torque-measuring hex driver (60DB3-S, Tohnich, Tokyo, Japan). A trajectory axis that was perpendicular to the insertional plane of the test block and a consistent insertional depth were confirmed using X-ray imaging prior to pullout testing. Following screw insertion, the specimen was placed on a specially designed universal fixture that was capable of self-alignment to ensure vertical screw pullout. The pedicle screw head was fixed into a 10-mm-diameter rod with an inner thread matching the outer thread of the screw head. The rod was then clamped to the testing machine (Bionix 858, MTS Corp., Eden Prairie, MN, USA) [27]. After the specimen was mounted, pullout force was applied at a constant crosshead rate of 5 mm/min [28,29]. The force acting on the screw during testing was continuously recorded in 0.05-mm increments until failure. The peak force recorded during the pullout test was defined as the maximum pullout strength for comparison.

2.5. Quantification of the Embedded Bone Volume (EBV)

The method used to quantify the bone volume embedded in the screw threads (EBV) was adopted from our previous report [29] and performed by analyzing the radiographic image of the inserted screw using image analysis software (ImageJ, National Institutes of Health, Bethesda, Rockville, MD, USA). The EBV was defined as:

$$\text{EBV} = A \times \left(\frac{\pi(D + d)}{2}\right)$$

where “A” denotes the calculated area of bone embedded in the screw threads from a 2-D radiographic image, and “D” and “d” denote the outer and inner diameter of the pedicle screw, respectively (Figure 4).

![Figure 4.](image-url) Photographs showing (A) EBV quantification conducted using radiographic imaging of inserted screws and ImageJ analysis software, and (B) the area of bone (highlighted) embedded in the screw threads for various screw geometries.
2.6. Statistical Analysis

To evaluate the effects of bone density and pilot-hole size in six screw-geometric designs, the insertional torque and ultimate pullout force were statistically compared. All of the measurements are expressed as the mean ± standard deviation (SD). Statistical software (SPSS for Windows version 12.0, SPSS, Inc., Chicago, IL, USA) was used to analyze the pullout strength and insertional torque of all specimens. ANOVA with post hoc analyses was performed to evaluate the differences between groups. Differences were considered to be significant at \( p < 0.05 \).

3. Results

3.1. Effect of Bone Density (Using 3.7-mm Pilot Holes)

In the 20-pcf group, the insertional torque of Cy/Co-S, Co/Co-S, Cy/Cy-S, Cy/Co-V, Co/Co-V and Cy/Cy-V was 2.37 ± 0.04, 2.59 ± 0.03, 2.35 ± 0.08, 2.74 ± 0.09, 2.46 ± 0.09 and 1.86 ± 0.06 N·m, respectively, compared with 4.54 ± 0.08, 4.71 ± 0.04, 4.56 ± 0.06, 4.98 ± 0.07, 4.49 ± 0.04 and 3.71 ± 0.04 N·m, respectively, in the 30-pcf group (Figure 5). The insertional torque was highest for Cy/Co-V and lowest for Cy/Cy-V among all screw geometries in both the 20-pcf and 30-pcf groups. For the S-threaded screws, Co/Co showed a significantly higher insertional torque than the other two geometries in the 20-pcf group, but there was no significant difference in the 30-pcf group.

In the 20-pcf group, the maximal pullout strength of Cy/Co-S, Co/Co-S, Cy/Cy-S, Cy/Co-V, Co/Co-V and Cy/Cy-V was 1498.32 ± 63.88 N, 1343.69 ± 36.92 N, 1497.50 ± 73.82 N, 2109.37 ± 89.28 N, 1951.71 ± 101.80 N and 1965.79 ± 49.18 N, respectively, compared with 3137.38 ± 86.63 N, 2817.87 ± 114.79 N, 3104.66 ± 140.40 N, 4461.42 ± 39.61 N, 3973.83 ± 79.88 N and 4074.93 ± 71.67 N, respectively, in the 30-pcf group (Figure 6). The pullout strength was significantly higher for the V-threaded screws than for the S-threaded screws in both the 20-pcf and 30-pcf groups. The force was significantly higher for Cy/Co-V than Cy/Cy-V in the 20-pcf group and higher for Cy/Co-V than for the other two geometries in the 30-pcf group. Co/Co-S showed a significantly lower pullout strength than the other screws of the same thread type in the 20- and 30-pcf groups.
3.2. Effect of Pilot-Hole Size (Using 20-Pcf Test Blocks)

In the 2.7-mm pilot-hole group, the insertional torque for Cy/Co-S, Co/Co-S, Cy/Cy-S, Cy/Co-V, Co/Co-V and Cy/Cy-V was 2.85 ± 0.04, 3.02 ± 0.05, 3.02 ± 0.09, 3.33 ± 0.03, 2.85 ± 0.04 and 2.48 ± 0.10 N·m, respectively, compared with 2.65 ± 0.05, 2.77 ± 0.04, 2.77 ± 0.05, 3.09 ± 0.09, 2.63 ± 0.05 and 2.35 ± 0.09 N·m, respectively, in the 3.2-mm group, and 2.37 ± 0.04, 2.59 ± 0.03, 2.35 ± 0.08, 2.74 ± 0.09, 2.46 ± 0.09 and 1.86 ± 0.06 N·m, respectively, in the 3.7-mm group (Figure 7). The highest and lowest insertional torques were found for Cy/Co-V and Cy/Cy-V in all experimental pilot-hole groups. The insertional torque was significantly higher for Co/Co-S and Cy/Cy-S than for Cy/Co-S in the 2.7- and 3.2-mm pilot-hole groups and significantly higher for Co/Co-S than for Cy/Co-S and Cy/Cy-S in the 3.7-mm pilot-hole group. When comparing insertional torque among the three pilot-hole sizes, the value significantly decreased as the pilot-hole size increased.

In the 2.7-mm pilot-hole group, the Cy/Co-S, Co/Co-S, Cy/Cy-S, Cy/Co-V, Co/Co-V and Cy/Cy-V screw geometries showed a maximal pullout strength of 1756.65 ± 41.36 N, 1627.30 ± 60.92 N, 1745.22 ± 99.86 N, 2285.76 ± 45.09 N, 2259.89 ± 68.89 N and 2195.99 ± 68.72 N, respectively, compared with 1741.22 ± 77.00 N, 1507.24 ± 97.78 N, 1690.66 ± 28.93 N, 2206.05 ± 61.34 N, 2122.45 ± 57.62 N and 2185.54 ± 59.13 N, respectively, in the 3.2-mm pilot-hole group, and 1498.32 ± 63.88 N, 1343.69 ± 36.92 N, 1497.50 ± 73.82 N, 2109.37 ± 89.28 N, 1951.71 ± 101.80 N and 1965.79 ± 49.18 N, respectively, in the 3.7-mm pilot-hole group (Figure 8). The maximal pullout strength was significantly higher for the V-threaded screws than for the S-threaded screws for all three pilot-hole sizes. In the S-threaded group, the pullout strength was significantly lower for Co/Co than for the other two geometries for all three pilot-hole sizes. In the V-threaded group, the pullout strength was significantly higher for Cy/Co than Cy/Cy in the 2.7- and 3.7-mm pilot-hole groups and significantly higher for Cy/Co than Co/Co in the 3.2-mm pilot-hole group.
3.3. Embedded Bone Volume (EBV)

The volumes of bone embedded in the Cy/Co-S, Co/Co-S, Cy/Cy-S, Cy/Co-V, Co/Co-V and Cy/Cy-V screw threads were 302.47, 226.48, 268.11, 372.41, 320.04 and 359.16 mm$^3$, respectively (Figure 9A). The EBV was higher for the V-threaded screws than for the S-threaded screws. In the S-threaded group, the EBV was lower for Co/Co than for the other two geometries, whereas in the V-threaded group, the EBV was significantly higher.
for Cy/Co than for the other two geometries. A strong positive correlation ($R^2 = 0.8595$) between the pullout strength and the EBV was noted for all screws (Figure 9B).

![Graph showing EBV and pullout strength](image)

Figure 9. (A) The EBV and (B) the relationship between the pullout strength and EBV for various screw geometries. A strong positive correlation ($R^2 = 0.8595$) was noted after comparing the pullout strength of all screws with the EBV.

4. Discussion

The distribution of insertional torque and pullout strength differed by density, with the Cy/Co-V screw geometry exhibiting the highest value for both. The pullout strength was significantly higher for the V-threaded screws than for the S-threaded screws in the 20- and 30-pcf groups, but this phenomenon was not observed for insertional torque. The relationship between insertional torque and pullout strength is still under debate. The pull-out strength, which refers to the strength required to extract a screw through its longitudinal axis, has been described as a critical parameter for judging the fixation stability of pedicle screws. However, there is no method for testing the pullout strength during surgery without affecting fixation. Another easy but subjective parameter is insertion torque, which is a subjective value determined by the surgeon during screw insertion. Controversies between pullout strength and insertional torque do exist. In the study, both the pullout strength and the insertional torque could be mutually compared using this standardized polyurethane foam. Ricci et al. performed biomechanical testing using screws with threads of varying pitch in osteoporotic test blocks and found a higher maximal insertional torque with increasing pitch but no change in pullout strength [16]. The results obtained using the osteoporotic test blocks in their study may not be comparable to those obtained using the normal and higher-than-normal bone density blocks in our study. Addevico et al. tested screws of three different pitches in blocks of three different densities to clarify the correlation between bone density and screw pitch [17]. In their study, torque was related to pullout strength in all configurations, and medium-sized screws showed the highest biomechanical strength. However, the cannulated, partially threaded screws used
in their study were not comparable to the non-cannulated, fully threaded screws used in our study. Shah et al. found that both the maximum insertional torque and pullout strength were significantly and positively correlated when tested using blocks with a bone density that was higher than normal [19]. However, the geometric design of the orthodontic mini-screws used in their study was completely different from that of the pedicle screws used in our study. Kim et al. evaluated the pullout strength of nine pedicle screw geometries in standardized polyurethane foams of grades 5, 15 and 20 and concluded that the value was higher in the V-threaded group and highest for the Cy/Co-V type [21]. The conflicting pullout strength results obtained using osteoporotic test blocks [16,21] and the screw fatigue induced by the decreased inner diameter of the neck design in their study [30] limited further clinical applications. The varying results for insertional torque may also have been caused by differences in measurement methods. The insertional torque reflected the manual sensation experienced when inserting screws, and the last portion of the threading process was supposed to be much more important than the whole insertion process. The insertional torque was measured during the last portion of the threading process in our study; however, in other studies, maximal values were recorded by digital meters throughout the screw insertion process [16,19], which is less clinically practical. In our study, both the pullout strength and the insertional toque were mutually compared, and the highest value from both methods was found for the Cy/Co-V screw geometry.

A significant difference was observed in the pullout strength between the different bone densities. The pullout strength was significantly higher for Cy/Co-V than for Co/Co-V and Cy/Cy-V in the 30-pcf group but it was only higher than that of Cy/Cy-V in the 20-pcf group. The effect of screw geometry on pullout strength increased as bone density increased [17]. Previous studies reported an effect of the outer/inner diameter shape or thread type on the pullout strength, leading to the conclusion that a conical core compressed the surrounding bone during screw insertion, thereby increasing the insertional torque and pullout strength [31,32]; this was further confirmed with finite element analyses [33,34]. Krenn et al. used the concept of the flank overlap area, the contact surface between the implant and engaged bone, to illustrate that decreasing the inner diameter and maintaining the outer diameter of the screw would increase the compression of adjacent bone and, thus, increase the pullout strength [35]. The novelty of our study is that we quantified the EBV for various screw geometries and further compared the EBV with the pullout strength. A strong positive correlation ($R^2 = 0.8595$) was found between the EBV and the pullout strength, and Cy/Co-V showed the highest EBV and pullout strength values among all the screw geometries. Our results demonstrated that the pullout strength could be evaluated by EBV for bone with a homogenous density.

Our biomechanical data show that smaller pilot holes lead to higher insertional torques and pullout strengths. Although these results are similar to those of previous studies [15,20,22], our study is novel in the control of the screw size, bone density and pilot-hole tapping method. Ferris et al. inserted 10 different commercialized pedicle screws into 20-pcf test blocks using tapped (3.17 mm) or untapped pilot holes and found that the pullout strength was lower for tapped than for untapped holes [15]. However, consistent results are difficult to obtain due to highly variable screw geometries and sizes. Battula et al. used self-tapping cortical bone screws inserted into synthetic osteoporotic bone with pilot holes of different sizes, ranging from 70 to 80% of the outer diameter, and found that a pilot-hole size of 71.5% of the outer diameter was a critical threshold for pullout strength [20]. These results are not comparable to those of our study because of the osteoporotic density and unclear thread types. Defino et al. evaluated the insertional torque and pullout force of two sizes of pedicle screws with different pilot-hole sizes and found that the fixation stability was influenced even when using pilot holes that were smaller than the screw sizes [22]. A rough conclusion may be drawn by using pilot-holes that are only one size smaller than the outer screw diameter.

The largest pilot hole used in the present study was 3.7 mm, which was smaller than the inner diameter of the tested screws and allowed the use of the EBV to evaluate the
pullout strength. For all three pilot-hole sizes, the pullout strength was higher for the V-threaded screws than for the S-threaded screws, and Cy/Co-V showed the highest pullout strength among the other screw geometries, which corresponds to the graph showing the correlation between the pullout force and the EBV.

There was no significant difference in the insertional torque between the V-threaded and S-threaded groups for either density or for any of the three pilot-hole sizes, which means that the manual sensation experienced during clinical screw insertion and the value of insertional torque cannot represent the true screw fixation stability. For example, the lowest torque exhibited by Cy/Cy-V did not correspond to the lowest pullout strength among all six configurations. Spinal fusion surgeries are performed for a wide spectrum of indications, including trauma, infection, tumor, deformity and congenital anomalies. The goal of spinal fusion is to realign normal anatomy and restore biomechanical stability. Inadequate fixation and subsequent motion may cause pseudarthrosis-induced axial back pain, subsequent implant failure or interbody cage retropulsion [36,37]. The superior biomechanical performance of Cy/Co-V screws could be clinically recommended to ensure secure fixation.

The present study has some limitations. First, the homogenous bone density of the test blocks cannot represent that of bone or vertebrae in the clinic; thus, the use of EBV to estimate pullout strength could not be applied to real vertebrae. More variables, such as the screw trajectory angle/tract, the density distribution and the anatomy of each specimen, should be considered if using cadaveric vertebrae. Second, our data only represent biomechanical testing in samples of a normal and higher-than-normal bone density; the clinically common osteoporotic bone density was not examined. A larger pilot-hole size of over 71.5% of the outer diameter [20] could be applied to create a control group with a nonsignificant pullout strength.

5. Conclusions

Our study shows that screws with V-shaped threads exhibited a higher pullout strength than screws with S-shaped threads for different densities and pilot-hole sizes. Screws with an outer cylindrical shape, an inner conical shape and V-shaped threads showed the highest insertional torque and pullout strength at a normal and higher-than-normal bone density and could be recommended for further use in clinical practice. Even with the increasing of the pilot-hole size, this configuration remained superior. Due to the strong positive correlation, the pullout strength could be evaluated by the EBV in standardized homogenous polyurethane foam.

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