Esculetin, a coumarin derivative, exerts *in vitro* and *in vivo* antiproliferative activity against hepatocellular carcinoma by initiating a mitochondrial-dependent apoptosis pathway

J. Wang¹,², M.L. Lu², H.L. Dai², S.P. Zhang², H.X. Wang² and N. Wei¹

¹The First Affiliated Hospital, Liaoning Medical University, Jinzhou, China
²Key Laboratory of Cardiovascular and Cerebrovascular Drug Research, Liaoning Province, Liaoning Medical University, Jinzhou, China

**Abstract**

This study investigated the *in vitro* and *in vivo* antiproliferative activity of esculetin against hepatocellular carcinoma, and clarified its potential molecular mechanisms. Cell viability was determined by the MTT (tetrazolium) colorimetric assay. *In vivo* antitumor activity of esculetin was evaluated in a hepatocellular carcinoma mouse model. Seventy-five C57BL/6J mice were implanted with Hepa1-6 cells and randomized into five groups (n=15 each) given daily intraperitoneal injections of vehicle (physiological saline), esculetin (200, 400, or 700 mg·kg⁻¹·day⁻¹), or 5-Fu (200 mg·kg⁻¹·day⁻¹) for 15 days. Esculetin significantly decreased tumor growth in mice bearing Hepa1-6 cells. Tumor weight was decreased by 20.33, 40.37, and 55.42% with increasing doses of esculetin. Esculetin significantly inhibited proliferation of HCC cells in a concentration- and time-dependent manner and with an IC₅₀ value of 2.24 mM. It blocked the cell cycle at S phase and induced apoptosis in SMMC-7721 cells with significant elevation of caspase-3 and caspase-9 activity, but did not affect caspase-8 activity. Moreover, esculetin treatment resulted in the collapse of mitochondrial membrane potential *in vitro* and *in vivo* accompanied by increased Bax expression and decreased Bcl-2 expression at both transcriptional and translational levels. Thus, esculetin exerted *in vitro* and *in vivo* antiproliferative activity in hepatocellular carcinoma, and its mechanisms involved initiation of a mitochondrial-mediated, caspase-dependent apoptosis pathway.

**Key words:** Esculetin; Apoptosis; Hepatocellular carcinoma; Mitochondrial-dependent pathway; Antitumor activity

**Introduction**

Hepatocellular carcinoma (HCC) is the fifth most common cancer and the second leading cause of cancer-related deaths worldwide (1). The evidence suggests that the incidence of HCC is rising, making it a major health problem, especially in China, where the incidence of HCC has increased by 50% over the past 10 years (2). The current treatments for HCC are surgery and chemotherapy. However, most HCC patients are not candidates for surgical resection because, at the time of diagnosis, the tumor may be too large or has expanded into nearby major blood vessels or metastasized (3). In addition, the efficacy of chemotherapy is not high, and the side effects are often difficult to tolerate. Thus, novel anticancer therapeutic agents for treatment of HCC are urgently needed.

Natural products have been a primary source of discovery and development of anticancer drugs and novel natural products that have antitumor activity against HCC may be found. Esculetin, a phenolic compound that occurs in various plants, has displayed beneficial pharmacological and biochemical properties including anticancer, anti-inflammatory, neuroprotective, and antioxidant activity (4-8). Esculetin was shown to have anticancer activity in human colon cancer, breast cancer, human leukemia, and cervical cancer, and to inhibit cell proliferation in human colon cancer through the Ras/ERK1/2 pathway (9). Park et al. (10) reported that esculetin suppressed the c-Jun N-terminal kinase (JNK) and extracellular-signal-regulated kinase (ERK) pathways, and induced apoptosis in human...
leukemia U373 cells. In addition, esculetin was shown to sensitize HepG2 cells to the effects of taxol (11). However, in vitro and in vivo studies have not yet confirmed the therapeutic effect of esculetin on growth of HCC or revealed its molecular mechanisms of action.

This study evaluated the in vivo and in vitro antitumor effect of total alkaloids of esculetin in a mouse xenograft model and in cultures of SMMC-7721 hepatocellular carcinoma cells. The potential mechanism of esculetin against HCC is also described.

Material and Methods

Reagents

The isolation, identification, and purity assessment of esculetin were performed in our laboratory as previously described (12). 5-Fu was obtained from Jining JinYao Anjiusuan Medicine Co., Ltd. (China). The Annexin V-FITC apoptosis detection kit was purchased from Beijing Biosea Biotechnology Co., Ltd. (China). Propidium iodide (PI), dimethyl sulfoxide (DMSO), and 3-(4,5-dimethylthiazol)-2,5-diphenyltetrazolium bromide (MTT) were obtained from Amresco (USA). β-actin, caspase-3/-9, Bcl-2 and Bax antibodies were from Beijing Biosynthesis Biotechnology (China). 5',5,6',6-tetrachloro-1,1,3,3-tetraethyl-benzimidazol-carboxylic acid (JC-1) and the RT-PCR kit were obtained from Beyotime Institute of Biotechnology (China). Dulbecco’s modified Eagle’s medium (DMEM) was from Gibco (USA) and trypsin from Hyclone (USA).

Animals

The experimental protocols were approved by the ethics committee of Liaoning Medical College for the use of experimental animals for research and teaching. Seventy-five 6-week-old C57BL/6J male mice (20–22 g) were purchased from the Animal Centre of Chinese Medical University. All mice were housed in a specific-pathogen free laboratory. The mice were fed standard rodent pellets and allowed free access to filtered water. All experimental procedures were performed in accordance with the Guidelines of Animal Experiments from the Committee of Medical Ethics, National Health Department of China.

Animal tumor model

The Hepa1-6 cell line was purchased from the Type Culture Collection of the Chinese Academy of Sciences, Shanghai, China. Cells were cultured in DMEM medium with 10% fetal calf serum (FCS) and 90% DMEM and maintained in a humidified atmosphere of 5% CO₂ at 37°C. Hepa-1-6 cells were inoculated subcutaneously in the right axilla of C57BL/6J mice (1 × 10⁷ viable cells/mL) to establish a xenograft model. Three days after implantation, mice were randomized into 5 groups (n = 15 each) and injected intraperitoneally with physiological saline, esculetin (200, 400, or 700 mg·kg⁻¹·day⁻¹), or 5-Fu (200 mg·kg⁻¹·day⁻¹) as a positive control for 15 days. In vivo antitumor activity of esculetin was evaluated by weight and inhibition rate. Tumor inhibition (%) was measured by the following ratio: (1−W_Treated/W_Control) × 100%. W_Treated and W_Control were the average tumor weights in treated and control mice.

Cell culture and viability assay

The SMMC-7721 human hepatocellular carcinoma cell line was obtained from the Scientific Experiment Center of Liaoning Medical College (China) and maintained at 37°C in humidified 95% air and 5% CO₂ in DMEM supplemented with 10% heat-inactivated fetal bovine serum (FBS). The cells were subcultured at 80% confluency.

Cell proliferation was assayed as previously described (13). SMMC-7721 cells were resuspended in DMEM with 10% FBS and seeded onto 96-well plates at a density of 1 × 10⁴ cells/mL in 0.1 mL medium and cultured for 24 h. The cells were treated with various concentrations of esculetin for 24, 48, and 72 h. At the end of the treatment, 20 μL MTT was added to each well, and the cells were incubated for another 4 h. The purple-blue MTT formazan precipitate was dissolved in 150 μL DMSO and was measured at 570 nm using a multi-mode microplate reader (BioTek Instruments, Inc., USA). All measurements were performed three times. Growth inhibition (%) was measured by the following ratio: 1−A_Treated/A_Control × 100%. A_Treated and A_Control were the average absorbance of three parallel experiments from treated and control groups. IC₅₀ was the concentration that caused 50% inhibition of cell viability and was calculated by the logit model.

Cell cycle analysis

SMMC-7721 cells (1 × 10⁵/mL cells per culture flask) were incubated for 24 h, and harvested after esculetin (0, 1.12, 2.24, or 4.48 mM), or 5-FU (0.77 mM) treatment for 48 h. The cells were washed in cold PBS two or three times and fixed in 70% ice-cold ethanol at 4°C overnight. Cells were then stained with 0.5 mg/mL PI containing 0.5 mg/mL RNase and incubated at 4°C for 30 min in the dark. Cell cycle analysis was done by flow cytometry using a FACSCalibur system (Becton Dickinson, USA).

Determination of caspase activity

Caspase-3, -9, and -8 activity induced by esculetin was determined by colorimetric assay kits (Beyotime Institute of Biotechnology) according to the manufacturer’s instructions. All measurements were performed 3 times, and caspase activity was determined by measuring changes in absorbance at 405 nm using an ELISA reader (BioTek Instruments, Inc.).

Apoptosis assay

Apoptosis of HCC cells was determined by flow cytometry analysis using an annexin assay. For the in vivo assay, tumor tissue was immersed in physiological saline and a cell suspension was prepared in a tissue homogenizer. For the in vitro assay, SMMC-7721 cells were...
harvested as previously described, concentrated, and washed with cold PBS. Cells were stained with Annexin V-FITC using an assay kit (BD Biosciences, USA) according to the manufacturer’s instructions. Data were analyzed using the Bioconsort software (USA).

**Mitochondrial membrane potential assessment**

Mitochondrial membrane potential (MMP, ΔΨm) was determined by flow cytometric analysis of JC-1 staining. JC-1 is a fluorescent dye that reflects changes in MMP in living cells. Mitochondrial injury results in reduced MMP and leads to an increase in green fluorescence. Cells were obtained as previously described and JC-1 (Beyotime Institute of Biotechnology) staining was performed according to the manufacturer’s instructions. Relative fluorescence intensities were monitored by flow cytometry (FACSCalibur). Similarly, 200 g tumor tissue slices obtained from C57BL/6J mice used in the in vivo experiments were homogenized with a glass homogenizer (Haimen Hua Kai Experiment Glass Instrument Co., Ltd., China), and the homogenate was centrifuged at 1500 g for 10 min. The supernatant was centrifuged again and resuspended with 50 μL Store Buffer. Then MMP in tumor tissues was assayed using a biopsy MMP kit (Genmed Scientific, China) and monitored by flow cytometry (FACSCalibur).

**Western blot analysis**

Cells were prepared as previously described. After 24 h, cells were harvested, washed with PBS, and lysed in lysis buffer. Lysates were centrifuged at 12,500 g for 5 min at 4 °C, and the total protein concentration was measured. The protein samples were fractionated using 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to polyvinylidene difluoride (PVDF) membranes. The membranes were incubated with primary antibodies for 16 h at 4 °C, followed by secondary antibodies conjugated to horseradish peroxidase (1:1000) for 1 h at 37 °C. β-Actin was used to normalize protein loading.

Tumor tissue was thawed in lysis buffer and homogenized using a dounce homogenizer (Haimen Hua Kai Experiment Glass Instrument Co., Ltd.). The homogenate was then centrifuged at 12,000 g for 30 min at 4 °C. The protein concentration of the supernatants was determined by the Bradford method. Each sample was then loaded into alternate lanes for gel electrophoresis. Membrane transfer was performed and incubated with rabbit antibody against Bax and Bcl-2. β-Actin was used as the loading control. Images were captured and analyzed with the Quantity One software (Bio-Rad Laboratories, USA).

**RT-PCR analysis**

Bax and Bcl-2 gene expression was detected by RT-PCR. Total RNA was extracted from tumor tissues using Trizol according to the manufacturer’s instructions (Promega, USA). cDNA was synthesized using SuperScript III Reverse Transcriptase (Invitrogen, USA). The sequences of the primers used for amplification of Bcl-2, Bax and GAPDH transcripts were as follows: Bax forward, 5’-CCA GGA TGC GTC CAC CAA GAA-3’ and reverse, 5’-AGC AAA GTA AAG AGG GCA ACC AC-3’; Bcl-2 forward, 5’-CTG TTG GGA TTC CCT AGG TCA TTG AGT CAG TGT AGC CCA AGA TG-3’. Initial template denaturation was performed for 5 min at 95 °C. The cycle profiles were programmed as follows: 2 s at 95 °C (denaturation), 20 s at 60 °C (annealing), and 30 s at 72 °C (extension). The PCR reaction products were applied to a 2% agarose gel and separated by electrophoresis for

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**Figure 1.** Effect of esculetin on the growth of hepatocellular carcinoma in vivo and in vitro. SMC7721 cells were seeded onto 96-well plates, and then treated with different concentrations of esculetin for 24, 48, and 72 h. Cell viability was determined by MTT assay (A). Hepa1-6 cells were inoculated subcutaneously at the right axilla of C57BL/6J mice (1 × 10^7 viable cells/mL) to establish the xenograft model. Tumor inhibition (B) is reported as a ratio: tumor inhibition (%) = (1 - W_{Treated} / W_{Control}) × 100%. W_{Treated} and W_{Control} are the average tumor weights in treated and control mice, respectively. Data are reported as means ± SD for n = 15. **P<0.01 vs control group (one-way ANOVA followed by Bonferroni’s test).
40 min. The expression intensity of Bax and Bcl-2 mRNA is reported as the ratio of the photodensities of Bax and Bcl-2 to that of GAPDH.

**Statistical analysis**

Data were analyzed using the SPSS 17.0 software (IBM, USA). Results are reported as means ± SD for each group and evaluated by one-way ANOVA. P < 0.05 was considered to be statistically significant.

**Results**

**Esculetin inhibited growth of hepatocellular carcinoma both in vivo and in vitro**

Cells were exposed to various concentrations of esculetin for 24, 48, or 72 h and cell proliferation was determined by MTT assay. Esculetin significantly inhibited the viability of hepatocellular carcinoma cells (P < 0.05) in a dose- and time-dependent manner (Figure 1A). The IC₅₀ values of esculetin against SMMC-7721 cells at 72 h was 2.24 mM. We also evaluated the antitumor effect of esculetin in vivo by establishing a xenograft tumor model in C57BL/6J mice by injection of Hepa1-6 cells. As shown in Figure 1B, the tumor inhibition rates of esculetin (200, 400, and 700 mg kg⁻¹·day⁻¹) were 20.33, 40.37, and 55.42%, respectively. Of note, even the highest dose of esculetin had no evident effect on the body weight of xenograft mice. Esculetin did not exhibit toxicity in this animal study. Taken together, our findings suggested that esculetin suppressed the growth of hepatocellular carcinoma both in vitro and in vivo (Figure 1).

![Figure 2. Effects of esculetin on the cell cycle of SMMC-7721. SMMC-7721 cells were seeded at a density of 1 × 10⁵/mL cells per culture flask for 24 h, and harvested after treatment with esculetin (0, 1.12, 2.24, and 4.48 mM) and 5-FU (0.77 mM) for 48 h. Cell cycle distributions in SMMC-7721 cells were determined by PI staining and flow cytometry analysis. Data were analyzed using the Bioconsort software and are reported as means ± SD. **P < 0.01 vs control group (one-way ANOVA followed by Bonferroni’s test).](image-url)
Effects of esculetin on the cell cycle distribution in SMMC-7721 cells

The in vitro and in vivo assays of esculetin antiproliferative activity revealed effects on cell-cycle distribution. SMMC-7721 cells were incubated with esculetin for 48 h and cell cycle distribution was assayed by FACS. As seen in Figure 2, esculetin at 2.24 and 4.48 mM significantly increased the percentage of cells in S phase from 4.7% to 19.5, and 22.2%, respectively (P < 0.05). In addition, the percentages of cells in G2/M phase decreased from 47.3% (control) to 35.0 and 28.3%, respectively (P < 0.01; Figure 2). These results suggest that esculetin blocked the cell cycle at S phase.

Esculetin induced apoptosis in tumor and SMMC-7721 cells

Apoptosis of cells was analyzed by flow cytometry using PI-Annexin V-FITC. As shown in Figure 3A and B, after treatment with esculetin and 5-Fu, the early and late apoptotic cells increased significantly in a dose-dependent manner. Apoptosis levels were 13.9, 19.1, 28.6, and 14.7% when C57BL/6J mice were treated with low-, medium-, or high-dose treatment groups was 17.5, 36.7, and 48.5%, respectively (P < 0.01; Figure 2). These results suggest that esculetin blocked the cell cycle at S phase.

Esculetin induced activation of caspase-3, -8, and -9 in SMMC-7721 cells

Caspases are known to be a pivotal regulator in the process of cellular apoptosis (15). Thus, we investigated effects of esculetin on the activation of caspase-3, -8, and -9 by a colorimetric assay. We found that esculetin significantly induced activation of caspase-9 and -3 in SMMC-7721 cells (P < 0.05 vs untreated cells), but did not affect caspase-8 activity. The results indicated that esculetin treatment induced apoptosis in SMMC-7721 cells through an intrinsic apoptosis pathway (Figure 5).

Effect of esculetin on Bcl-2 and Bax expression

Bcl-2 family proteins play an important role in the
mitochondria-mediated apoptosis pathway, including Bax and Bcl-2 (16). To further understand the molecular mechanism of esculetin-mediated cellular apoptosis, expression of Bcl-2 and Bax was evaluated. As shown in Figure 6A and B, expression of Bcl-2 and Bax were significantly changed after esculetin treatment (P<0.01). Esculetin resulted in an increase of Bax (proapoptotic) protein expression in a dose-dependent manner and a decrease of Bcl-2 (antiapoptotic) protein expression in tumors of both C57BL/6J mice and SMMC-7721 cells. These results suggest that esculetin caused an increase of the Bax/Bcl-2 ratio that might be involved in mitochondria-dependent apoptosis.

In addition, expression of Bcl-2 and Bax in C57BL/6J mice was assayed by RT-PCR. As shown in Figure 6C, expression of Bcl-2 and Bax mRNA was consistent with expression of the corresponding proteins. Treatment with esculetin resulted in a significant decrease of antiapoptotic Bcl-2 mRNA levels in Hepa1-6 tumors, whereas that of proapoptotic Bax was significantly increased (P<0.05).

Discussion

Recently, several groups reported that esculetin had antitumor activity in many types of cancer. For instance,
esculetin induced apoptosis of human NB4 acute promyelocytic leukemia cells (17) and also initiated apoptosis in U937 human leukemia cells through activation of JNK and ERK (9). Although several in vitro studies have demonstrated the inhibition of cancer cell proliferation by esculetin, there are few in vivo studies of its therapeutic effect in HCC. This study evaluated the antitumor activity of esculetin by its effects on cell proliferation and tumor growth in a C57BL/6J mouse xenograft model. Our data showed that esculetin significantly inhibited the growth of HCC. Of note, esculetin had no obvious toxicity in this animal study.

Based on the inhibitory effect of esculetin on growth of HCC, the potential mechanism of esculetin action was studied. Apoptosis is a key process mediated by chemotherapeutic agents and it contributes to efficacy (18). Esculetin-induced apoptosis has been reported in other types of cancer including human colon cancer, human oral cancer, and lung cancer (9,19-21). Herein, our results showed that esculetin could effectively induce apoptosis in both tumor-bearing mice and SMMC-7721 cells. The apoptotic rate reached 55.42% after treatment with high-dose esculetin in vivo. In addition, we also investigated the effect of esculetin on the cell cycle. Esculetin treatment caused S-phase arrest in SMMC-7721 cells. These results demonstrated that esculetin inhibited tumor cell growth by arresting the cell cycle in S phase and inducing apoptosis.

Apoptosis-signaling cascades can be divided into two major pathways: a death-receptor-induced extrinsic pathway and a mitochondria-apoptosome-mediated intrinsic pathway (22). Apoptosis is modulated by active caspases that are derived from inactive zymogens in a cascade of sequential cleavage reactions (23). Caspase-8 is involved in the death-receptor-induced extrinsic apoptosis pathway while caspase-9 is associated with the mitochondria apoptotic pathway (24,25). Caspase-9 is the initiator of the mitochondria apoptotic pathway, which is activated in a complex termed the apoptosome by the scaffold protein Apaf-1 and its cofactor cytochrome C. Cytochrome C acts in association with other cytosolic factors to cause activation of executioner caspase-3, in turn leading to downstream apoptotic events (26). In this study, our results demonstrated that esculetin induced apoptosis through the activation and cleavage of caspase-3 and -9.

The Bcl-2 family includes regulators of the mitochondria-mediated apoptosis pathway, such as Bax and Bcl-2 (27). Following an apoptosis signal, the proapoptotic protein Bax translocates to the outer mitochondrial membrane, promoting permeabilization and the release of various apoptotic factors. Antiapoptotic Bcl-2 has been shown to prevent apoptosis by forming a heterodimer with a proapoptotic member, such as Bax, resulting in neutralization of proapoptotic effects. Bcl-2 can also influence the permeability of the intracellular membranes of mitochondria.

Figure 5. Effect of esculetin on the activity of caspases in SMMC-7721 cells. SMMC-7721 cells were grouped and harvested after esculetin (0, 1.12, 2.24, and 4.48 mM) and 5-FU (0.77 mM) treatment for 48 h. The caspase activities induced by esculetin were determined by colorimetric assays using caspase-3, -9, and -8 activation kits, following the manufacturer’s instructions. All measurements were performed 3 times and the activity of caspases was determined by measuring changes in absorbance at 405 nm using the ELISA reader. Data are reported as means ± SD for 6 in each group. *P<0.05, **P<0.01 vs control group (one-way ANOVA followed by Bonferroni’s test).

Figure 6. Effect of esculetin on the expression of Bax and Bcl-2. The protein expression of Bcl-2 and Bax was determined by Western blot analysis (A and B). The mRNA expression of Bax and Bcl-2 was detected by RT-PCR (C).

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and the activation of caspase-3 (28). Therefore, the balance of the expression of Bcl-2 and Bax proteins is crucial for cell survival and cell death (29,30). In this investigation, we found that Bax expression was significantly elevated while Bcl-2 expression was significantly decreased both in vitro and in vivo. Consistent with this, the transcriptional levels of Bax and Bcl-2 mRNA were changed in Hepa1-6 tumors after esculetin treatment, which ultimately resulted in an increase in the Bax/Bcl-2 ratio and activation of the caspase cascade (Figure 6). In addition, our results showed that esculetin treatment induced loss of MMP. Therefore, esculetin induced apoptosis in HCC through the mitochondria-mediated intrinsic pathway. Taken together, our findings suggest that cellular apoptosis mediated in HCC by esculetin in vivo and vitro was dependent on caspase-9 and -3 and Bcl-2 family proteins.

In summary, in vitro and in vivo antitumor effects in human HCC were associated with S-phase arrest and apoptosis. In addition, esculetin elevated the Bax/Bcl-2 ratio, activated caspase-3 and -9, and induced the mitochondria-mediated apoptosis pathway in HCC cells. The present study provides evidence supporting esculetin as a potential therapeutic agent for the treatment of hepatocellular carcinoma.

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