Chemical Synthesis of Porous Barium Titanate Thin Film and Thermal Stabilization of Ferroelectric Phase by Porosity-Induced Strain

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Abstract

Barium titanate (BaTiO3, hereafter BT) is an established ferroelectric material first discovered in the 1940s and still widely used because of its well-balanced ferroelectricity, piezoelectricity, and dielectric constant. In addition, BT does not contain any toxic elements. Therefore, it is considered to be an eco-friendly material, which has attracted considerable interest as a replacement for lead zirconate titanate (PZT). However, bulk BT loses its ferroelectricity at approximately 130 °C, thus, it cannot be used at high temperatures. Because of the growing demand for high-temperature ferroelectric materials, it is important to enhance the thermal stability of ferroelectricity in BT. In previous studies, strain originating from the lattice mismatch at hetero-interfaces has been used. However, the sample preparation in this approach requires complicated and expensive physical processes, which are undesirable for practical applications.

In this study, we propose a chemical synthesis of a porous material as an alternative means of introducing strain. We synthesized a porous BT thin film using a surfactant-assisted sol-gel method, in which self-assembled amphipathic surfactant micelles were used as an organic template. Through a series of studies, we clarified that the introduction of pores had a similar effect on distorting the BT crystal lattice, to that of a hetero-interface, leading to the enhancement and stabilization of ferroelectricity. Owing to its simplicity and cost effectiveness, this fabrication process has considerable advantages over conventional methods.

Video Link

The video component of this article can be found at https://www.jove.com/video/57441/
than 800 °C). The obtained properties were satisfactory; however, a complicated and expensive physical process (pulsed-laser deposition) was required for the fabrication, which is a drawback for practical applications.

As an alternative facile and inexpensive fabrication process, we have proposed the chemical synthesis of 3D nanocomposites by the introduction of a precursor solution of BT into pores of a porous strontium titanate (SrTiO$_3$, hereafter ST) thin film$^3$. In the study, the porous ST thin film was synthesized by a surfactant-assisted sol-gel method, in which the self-assembly of amphiphatic surfactant micelles was used as an organic template$^{3,7}$. The method is illustrated schematically in Figure 1. Because the obtained ST thin film has a complex 3D porous structure with a large surface area, strain at the BT/ST hetero-interface is introduced into the nanocomposite, leading to the stabilization of the ferroelectric phase of BT (the $T_c$ of ST/BT nanocomposite reached 230 °C).

We hypothesized that porosity could directly introduce strain in BT and enhance the thermal stability of ferroelectric properties. In this study, we used a surfactant-assisted sol-gel method to fabricate porous BT and scrutinize the pore-induced strain. In addition, we compared the thermal stability between porous BT and nonporous bulk BT. We found that the introduced pores induced an anisotropic strain, which elongated the BT crystal lattice. This effect might be favorable for stabilizing the ferroelectric phase. Because the synthesis process used here is very simple, it has advantages over conventional physical processes for 3D hetero-nanostructures.

### Protocol

#### 1. Preparation of Precursor Solution

1. Dissolve 50 mg of diblock copolymer PS(18000)-b-PEO(7500) in 1.5 mL of tetrahydrofuran at 40 °C. Cool the polymer solution to room temperature (RT).
2. Dissolve 127.7 mg of barium acetate in 830 µL of acetic acid by stirring at 40 °C for 5 min. Cool the barium acetate solution to RT. Add 170 mg of titanium butoxide to the barium acetate solution and stir the reaction mixture for 1 min.
3. Add the polymer solution to the barium acetate solution dropwise.

#### 2. Synthesis of Mesoporous Barium Titanate Thin Film

1. Set the Si/SiO$_2$/Ti/Pt substrate (2 cm × 2 cm) on the stage of a spin-coater and drop the prepared precursor solution to entirely cover the substrate.
   
   NOTE: The film thickness of SiO$_2$, Ti, and Pt layers were approximately 1.6, 40, and 150 nm, respectively.
2. Spin the Si/SiO$_2$/Ti/Pt substrate at 500 rpm for 5 s (1st step), and then 3,000 rpm for 30 s (2nd step), successively.
3. Place the as-prepared film on a hot plate and heat it to 120 °C for 5 min for aging, then allow it to cool to room temperature (RT) naturally.
4. Place the annealed film in a muffle furnace and calcine in air at 800 °C for 10 min with a ramp rate of 1 °C/min (for both heating and cooling).

#### 3. Characterization

1. **Morphological and crystallographic characterization**
   
   1. **Scanning electron microscopy (SEM) measurement**
      
      1. Put the sample on the sample stage and cover the corners with carbon tapes to fix the sample. Adjust the height of the sample holder.
      2. Set the sample holder in a loading rod and insert it to the SEM. Position the sample holder in the home position at a working distance of 8 mm.
      3. Set the prepared specimen in the sample holder. Set accelerating voltage and emission current to 5 KV and 10 mA and generate an electron beam. Display the entire image of the sample at low magnification. Move the stage to show a region of interest (ROI) and focus on the image.
      4. Increase the magnification to 50,000X. Focus on the pores and observe the porous morphology. When a suitable image is observed, save a picture.
   
   2. **Transmission electron microscopy (TEM) measurement**
      
      1. Prepare a cross-sectional specimen prior to the TEM measurement as described in step 3.3.1.
      2. Put the sample on the center of the sample stage.
      3. Set accelerating voltage and emission current to 5 KV and 10 mA and generate an electron beam. Insert the holder to the TEM.
      4. Display the entire image of the sample at low magnification. Move the stage to show ROI and focus on the image.
      5. Increase the magnification to 250,000X. Focus on the sample and observe the porous morphology of the synthesized thin film (~200 nm in thickness). When a suitable image is observed, save a picture.
   
   3. **Wide-angle X-ray diffraction (XRD) measurement**
      
      1. Set up the X-ray diffractometer equipped with a Cu Kα source.
      2. Put the prepared specimen in the sample holder. Set accelerating voltage to 300 KV and generate an electron beam. Insert the holder to the TEM.
      3. Display the entire image of the sample at low magnification. Move the stage to show ROI and focus on the image.
      4. Increase the magnification to 250,000X. Focus on the sample and observe the porous morphology of the synthesized thin film (~200 nm in thickness). When a suitable image is observed, save a picture.
Representative Results

The morphology of the obtained mesoporous BT thin film was examined by electron microscopy. A top-view SEM image confirmed the porous features of the synthesized BT thin film (Figure 2a). The morphological features in the depth direction were investigated with a cross-sectional TEM image (Figure 2b). Large crystallites with diameters of several tens of nanometers were vertically stacked, and the gaps between these crystallites were pores. The estimated thickness of the BT thin film was approximately 200 nm.

The crystallinity of the BT framework was examined by wide-angle XRD measurements. Very weak peaks of BaCO$_3$ and TiO$_2$ were detectable and prominent peaks assignable to BT crystals were clearly observed (Figure 3a). However, it was difficult to distinguish between the (ferroelectric) tetragonal and (paraelectric) cubic phases. This is because the XRD patterns of both phases are quite similar. The main difference is that the peak at $2\theta \approx 45^\circ$ for the cubic phase is split for the tetragonal phase. In this study, the detection of such splitting was difficult because the polycrystalline nature of the film broadened the peak width. Thus, to clarify the crystal phase of the thin film, its Raman spectrum was measured (the polycrystalline nature of the film broadened the peak width. Thus, to clarify the crystal phase of the thin film, its Raman spectrum was measured). The Raman spectrum of a bulk single BT crystal at room temperature showed peaks centered at 275, 305, 515, and 720 cm$^{-1}$, which were assigned to $A_{1g}(TO)$, $B_{1g}(TO+LO)$, $E(LO)$, and $A_{1g}(TO)$ modes of the tetragonal phase. In the spectrum of the porous BT thin film, although splitting of the $A_{1g}(TO)$ mode occurred, the main features of the spectrum were retained. Thus, the synthesized porous BT thin film was tetragonal.

2. Test for thermal stability of ferroelectric phase

1. Turn on the Raman microscope and the operating computer, and then open the operating software LabSpec. Click Auto Calibration to calibrate the machine.
2. Set a glass slide on a heating stage, and then put the synthesized film on the glass. Close the cover of the stage.
3. Heat the sample with a heating stage using a ramp ratio of 15 °C/min. When the temperature reaches the target temperature, set the heating stage to keep this temperature and wait a few minutes.

NOTE: In this study, we selected RT and 50, 75, 100, 110, 120, 125, 130, 135, 140, and 150 °C for the bulk BT, which has a Curie temperature of approximately 130 °C. We selected temperatures of RT and 75, 125, 175, 225, 275, 325, 375, 425, 475, and 525 °C for the mesoporous BT thin film, for which the Curie temperature was evaluated to be approximately 470 °C from a previous study.
4. After the temperature stabilizes, measure Raman spectra at various temperatures with a confocal Raman microscope using a 300-μm confocal hole and a 532-nm laser (10 mW at sample) for excitation. Click the Video icon to display the observed image, and then focus on the image. Set acquisition time and accumulations to 100 s and 3, respectively, and then click Measure icon to start the measurement. Save the data after the measurement.
5. Continue the measurements until the spectrum changes (i.e., the peaks assignable to the ferroelectric phase disappears). NOTE: Measurements were conducted at three points and the obtained spectra were averaged.

3. Visualization of the strain

1. Prepare a cross-sectional specimen from the synthesized thin film by a micro sampling method using a focused ion beam for peripheral milling, bottom and micro-bridge cutting, and thinning of the specimen. The specimen's size should be approximately 20 μm in length and 4 μm in thickness.
2. Measure high-resolution transmission electron microscopy (HR-TEM) images (2,000,000X) at convex and concave surfaces induced by the porosity.
3. Select an area (512 × 512 pixels) for fast Fourier transform (FFT), and calculate the FFT pattern. Estimate the lattice spacing from the FFT pattern, and divide it by the strain-free lattice spacing to calculate the "deformation ratio".
4. Shift the FFT analysis region by 32 pixels, and repeat step 3.3.3. Continue this process until the whole area of the HR-TEM image is covered (in this study, 5,664 × 5,664 pixel (162 × 162 points)).

NOTE: The strain-free lattice spacing was estimated from the least distorted FFT image.
5. Set the color of regions based on the calculated deformation ratio to visualize the strain. Make the histograms by counting the deformation ratio.

NOTE: Analysis of the deformation in HR-TEM images was conducted with the software CryStMapp.
6. Create a detailed histogram using the software Igor Pro

NOTE: Because the histogram of the distortion obtained in step 3.3.5 is rough, a detailed histogram using the software Igor Pro is created.

1. Load the numerical data of the deformation ratio by selecting Data | Load Waves | Load General Text and save it as a wave with proper name.

NOTE: Igor Pro defines the object including a numerical array as a wave.
2. Change the saved wave as a matrix (162 × 162) by selecting Data | Change Wave Scaling.
3. Display a wave as 2D image by selecting Image | New | Image Prot.
4. Select Analysis | Packages | Image Processing to show Image menu.
5. Select Image | ROI to show ROI panel. Select Start ROI Draw to select ROI. Draw the region on top of an image, and then select Finish ROI.
6. Select Save ROI Copy to create the mask to select the area for analysis as ROI_M_Mask wave.
7. Input "ImageHistogram=R_M_ROIMASK/ S wavename" in the command line of the command window to make a histogram. Use the name set in step 3.3.6.1 in wavename.
8. Input "display W_ImageHist" in the command line of the command window to show a histogram. Modify the graph, if needed.
The spatial distribution of the strain in the BT framework of the thin film was examined by the fast Fourier transform mapping (FFTM) method\(^\text{10}\). This method analyzes and visualizes tiny distortions in FFT patterns of high-resolution (HR)-TEM images. Figure 4 depicts HR-TEM images of areas of the thin film with convex and concave surfaces and the corresponding FFTM images. The FFTM image of the [1-10] direction in a convex area revealed that the outermost convex surface was slightly expanded, an arrangement which should cause lattice relaxation and weakens ferroelectricity. Conversely, the areas just below the surface were compressed, and the compressed areas were observed entirely inside the framework. This result is consistent with previous reports that indicate the surface of BT nanoparticles feature a paraelectric cubic phase, while the inner core is a ferroelectric tetragonal phase\(^\text{11,12}\). Within the BT framework, some expanded areas were also found, mainly at kinks and/or grain boundaries (Figure 4c). For concave areas, although the deformation of the outermost surface was not clearly observed, likely because the surface was polygonal rather than curved, compression within the framework was detected (Figure 4d). Conversely, the FFTM images of the [11-1] direction in both the convex and concave areas were unclear (Figure 4e, f), suggesting that there was little deformation of the BT unit cell in this direction.

To examine the deformation of the BT lattice more quantitatively, the degree of deformation was summarized in histograms (Figure 5). From these histograms, we determined the "deformation ratio", which is defined as the ratio of the distance between adjacent lattice spacing in the target and reference areas, as a measure of deformation. In the [11-1] direction, the histograms were centered at a deformation ratio of 1.00 and were nearly symmetrical for both convex and concave areas. This result indicates that there was little strain in the [11-1] direction, consistent with the results of FFTM mentioned above. Conversely, the histograms for the [1-10] direction contained marked peaks at a deformation ratio of approximately 0.99, showing the area where compressive strain increased in the BT thin film.

The thermal stability of the ferroelectric tetragonal phase was examined from the temperature dependence of its Raman spectrum (Figure 6). In a bulk BT single crystal, the sharp peaks at 305 and 720 cm\(^{-1}\) disappeared at 140 °C, which is consistent with the \(T_c\) of bulk BT (~130 °C). Conversely, the peak at 710 cm\(^{-1}\) from the tetragonal phase remained at much higher temperatures, detectable up to 375 °C for the synthesized porous thin film.

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**Figure 1:** Schematic image of surfactant-assisted sol-gel method. Self-assembly of amphipathic surfactant micelles was used as a template. By combining the organic-template and inorganic sol, an organic/inorganic hybrid is created. Finally, calcination was conducted to create pores by removing the organic template and crystallizing the inorganic framework. Please click here to view a larger version of this figure.

**Figure 2:** Electron microscope image of mesoporous BT thin film. (a) Top-view SEM and (b) cross-sectional TEM image. This figure has been modified from Suzuki, N. et al.\(^\text{13}\) in accordance with the Creative Commons Attribution (CC BY) license. Please click here to view a larger version of this figure.
Figure 3: Spectroscopic data for the crystal phase of mesoporous BT thin film. (a) Wide-angle XRD pattern and (b) Raman spectrum of the synthesized porous BT thin film at room temperature. The spectrum of a bulk BT single crystal is also included for reference. This figure has been modified from Suzuki, N. et al. in accordance with the Creative Commons Attribution (CC BY) license. Please click here to view a larger version of this figure.

Figure 4: Visualization of porosity-induced strain. (a, b) High-resolution TEM images and (c-f) fast Fourier transform mapping (FFTM) images of (a, c, e) convex and (b, d, f) concave areas of the BT thin films. The orientations of the FFTM images are (c, d) [1-10] and (e, f) [11-1]. In the FFTM images, green and red areas represent regions where compressive and tensile strain are applied, respectively, while yellow indicates the reference area. The orientation used for the analysis is also included (left). This figure has been modified from Suzuki, N. et al. in accordance with the Creative Commons Attribution (CC BY) license. Please click here to view a larger version of this figure.
Figure 5: Analysis of porosity-induced strain. Histogram of distortion analyzed in (a) convex ([Figure 4a], [Figure 4c], and [Figure 4e]) and (b) concave ([Figure 4b], [Figure 4d], and [Figure 4f]) areas of the porous BT thin film. This figure has been modified from Suzuki, N. et al. in accordance with the Creative Commons Attribution (CC BY) license. Please click here to view a larger version of this figure.

Figure 6: Thermal stability of ferroelectric phase. Temperature dependence of the Raman spectrum of (a) a bulk BT single crystal and (b) a synthesized porous BT thin film. This figure has been modified from Suzuki, N. et al. in accordance with the Creative Commons Attribution (CC BY) license. Please click here to view a larger version of this figure.

Discussion

The splitting of the A₁(TO) mode in the Raman spectrum of a porous BT thin film ([Figure 3b]) originates from compressive strain. This feature was clearly observed by the FFTM method ([Figure 4]) and its anisotropy in the [1-10] direction was determined from the histogram of distortion ([Figure 5]). Compressive strain along the [1-10] direction has a similar effect of inducing biaxial compressive strain in the (001) surface, which enhances the ferroelectricity in BT. Porous driven anisotropic strain elongates the crystal lattice toward the c-axis, causing further dislocation of Ti⁺⁺ from the center of the lattice. This dislocation is expected to increase the electric dipole moment, which in turn enhances its ferro(piezoelectricity. Indeed, the piezoelectricity of a mesoporous BT film is superior to that of a non-porous film.

The strain induced in the BT crystal lattice stabilizes the distorted tetragonal phase. Thus, the thermal stability of the lattice is expected to be enhanced. The Raman spectrum of the porous BT thin film showed the tetragonal phase origin peak (at 710 cm⁻¹) remained visible until 375 °C, although the peak gradually became weaker and broader ([Figure 6b]). This trend was similar to that found in a previous study, in which the Tc was estimated to be 470 °C. Thus, we confirmed the assumption that the pore-driven strain in the BT thin film effectively thermally stabilized the tetragonal phase.

Through this study, we clarified that pore-induced strain formed by a simple and inexpensive chemical procedure has a similar effect to that of strain at a hetero-interface originated from lattice mismatch. These findings provide novel insights into strain engineering.

Disclosures

The authors have nothing to disclose.
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