Assessing Microcirculation in Resectable Oesophageal Squamous Cell Carcinoma with Dynamic Contrast-enhanced MRI for Identifying Primary tumour and Lymphatic Metastasis

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This study aimed to determine whether dynamic contrast-enhanced MRI (DCE-MRI) derived parameters can identify oesophageal squamous cell carcinoma (SCC) and lymphatic metastasis. Thirty-nine oesophageal SCC patients underwent DCE-MRI. Quantitative parameters including endothelial transfer constant (Ktrans), reflux rate (Kep), fractional extravascular extracellular space volume and fractional plasma volume, and semi-quantitative parameters including time to peak (TTP), max concentration, Max Slope and area under concentration-time curve of both oesophageal SCC and normal oesophagus were measured. Mann-Whitney U test revealed that Ktrans and Kep of oesophageal SCC were higher while TTP was shorter when compared to normal oesophagus (all P-values < 0.05); and areas under receiver operating characteristic [ROC] curves displayed that Kep was superior to TTP or Ktrans for identifying oesophageal SCC (0.903 vs. 0.832 or 0.713). Mann-Whitney U test also demonstrated that Kep was higher and TTP was shorter in patients with lymphatic metastasis when compared to non-metastatic cancer patients (both P-values < 0.05), and area under ROC curve also showed that TTP was superior to Kep for predicting lymphatic metastasis (0.696 vs. 0.659). In conclusion, the combination of quantitative and semi-quantitative parameters derived from DCE-MRI can aid in the identification of oesophageal SCC and lymphatic metastasis.

Oesophageal cancer is the eighth most common malignant tumour and is the sixth leading cause of cancer-related death worldwide. Among the various forms, the most prevalent histological type is oesophageal squamous cell carcinoma (SCC). Early detection of oesophageal SCC and prediction of lymphatic metastasis is essential for timely and effectively treatment, which can potentially be life-saving. Neovascularization provides nourishment for the growth and lymphatic spread of oesophageal SCC. Therefore, a better understanding of the angiogenic behavior of oesophageal SCC may be useful in identifying oesophageal SCC and predicting lymphatic metastasis.

The development of perfusion computed tomography (CT) has made it possible to capture the parameters reflecting the vasculature of oesophageal SCC, facilitating the identification of oesophageal SCC. It has also made lymphatic metastasis more predictable. However, perfusion CT is limited in clinical use due to concerns of radiation exposure. Magnetic resonance imaging (MRI) is increasingly used for diagnosing and staging for oesophageal cancer. This is explained largely by the technical improvements (e.g. breath-hold sequences) and the addition of functional MRI techniques such as diffusion-weighted imaging (DWI) and dynamic contrast-enhanced MRI (DCE-MRI). Due to its noninvasive and non-ionising technique, DCE-MRI is
often preferred and is being widely used in studies of malignant tumour including breast cancer, prostate cancer and rectal cancer\textsuperscript{1-5}. DCE-MRI not only can visually judge the enhancement of a region of interest and semi-quantitatively characterize tumours by analyzing the signal variation with respect to time, but also can quantitatively evaluate tumours with parameters derived from pharmacokinetic models, which demonstrates the dynamic distribution of gadolinium-related contrast agent in the different compartments of the tumour\textsuperscript{12–15}. The two-compartment model of DCE-MRI presumes that gadolinium-related contrast agent exchanges between the extravascular-extracellular space (EES) and the plasma space, and the transfer rate of forward and backward can reflect the permeability of the microvascular\textsuperscript{16}. Despite the publication of relevant paper regarding DCE-MRI in oesophageal cancer\textsuperscript{7}, the published study aimed to reveal the therapeutic effects with DCE-MRI. To our knowledge, there were no publications regarding the combination of quantitative and semi-quantitative parameters derived from DCE-MRI to identify resectable oesophageal SCC and predicting status of lymphatic metastasis. Therefore, the present study was undertaken to evaluate the feasibility of DCE-MRI for the discrimination of microcirculation differences between oesophageal SCC and the normal oesophagus and between oesophageal SCC with and without lymph node metastasis.

Materials and Methods

Patients. The institutional review board of North Sichuan Medical College approved this study, and written informed consent was obtained from each participant before the prospective study. All methods were performed in accordance with the relevant guidelines and regulations.

From February 2016 to October 2017, patients with biopsy-confirmed oesophageal SCC were enrolled into this study according to the following inclusion criteria: (a) the patient did not receive any preoperative tumour-related treatment (e.g. radiotherapy or chemotherapy); (b) the patient had no contraindications for DCE-MRI or surgery; (c) the tumour was considered resectable by endoscopic biopsy and CT\textsuperscript{17}; and (d) the quality of the DCE-MRI images was good, suggesting that the motion artifacts resulting from random autonomous movement, breathing, heart pulse and vascular pulse was slight enough for us to perform the data analysis. The exclusion criteria were: (a) the patient had received neoadjuvant radiotherapy and/or chemotherapy before surgery (n = 2); (b) the patient had contraindications for DCE-MRI (e.g. claustrophobia or ferromagnetic metal parts in the patient's body) or surgery (e.g. medically unable to tolerate general anesthesia and major thoracic surgery) (n = 4); or (c) the quality of the DCE-MRI images was poor (n = 2). The initial population consisted of 47 consecutive patients with biopsy-confirmed oesophageal SCC, 8 of which were excluded. Consequently, this study involved 39 patients (30 men, 9 women; mean age, 64.77 years; age range, 48–76 years).

With regards to the site of the tumours in the enrolled participants, 5.1% (2 of 39) were located at the upper thoracic portion of the oesophagus, 66.7% (26 of 39) were located at the middle thoracic portion, and 28.2% (11 of 39) were located at the lower thoracic portion without oesophago gastric junction involvement. All participants underwent double-contrast barium examinations, endoscopic biopsy, CT and thoracic DCE-MRI examinations before surgery. Subsequently, they were scheduled for radical oesophagectomy with three-field lymphadenectomy. All 39 oesophageal cancers were pathologically diagnosed as SCC, and it was confirmed that the cutting edges of the resected oesophageal segment demonstrated no signs of neoplasia. The time interval between DCE-MRI and surgery was less than 2 weeks (mean, 5.85 days; range, 3–11 days), and none of patients received any preoperative tumour-related treatment. The N stage of tumour was clinically determined according to the postoperative histopathologic examination and American Joint Committee on Cancer criteria\textsuperscript{18}. They were categorized as N0, N1, N2 and N3 in 21, 9, 7 and 2 patients, respectively.

DCE-MRI techniques. DCE-MRI was performed on a 3.0 T superconductive magnet (Discovery MR750; GE Medical Systems, Milwaukee, Wis) for all enrolled patients using 32-channel phased array body coil in the chest region with respiratory and electrocardiogram gating. The patients underwent breath training before the examination and were examined in the supine position. Axial and sagittal T2-weighted sequences with fat saturation were obtained for tumour localization using the following scanning parameters: repetition time (TR)/echo time (TE) of 3000–4000/85–95 ms, field of view (FOV) of 360 mm × 360 mm, matrix of 352 × 352, and slice thickness of 4 mm. Prior to the DCE acquisitions, five consecutive axial three-dimensional spoiled-gradient recalled-echo sequences for liver acquisition with volume acceleration were performed by using TR/TE of 3.3/1.5 ms, FOV of 360 mm × 360 mm, matrix of 256 × 192, and slice thickness of 6 mm with different flip angles of 3°, 6°, 9°, 12°and 15° for determination of pre-contrast T1 values. Subsequently, an axial DCE sequence was performed before and after elbow intravenous injection of 15 ml Gadodiamide (Omniscan; GE Healthcare, Cork, Ireland) of 0.5 mmol/ml; the parameters for this test were arranged as follows: TR/TE of 3.3/1.5 ms, flip angle of 15°, FOV of 360 mm × 360 mm, matrix of 256 × 192, slice thickness of 6 mm, 40 dynamics, temporal resolution of 7 s, and duration of 5 min 4 s. The contrast agent was injected intravenously at the fourth dynamic acquisition using a high pressure injector system (Spectris MR Injector System; Medrad, Pittsburgh, PA, USA), immediately followed by a 20 ml saline flush at a rate of 2.5 ml per second. Based on the published literature\textsuperscript{19}, the initial pre-injection dynamic acquisitions of the axial DCE scans would provide the baseline images for generating the time courses when the DCE data analysis was performed.

Data analysis. The dynamic data were processed by using the special post-processing software (Omni-Kinetics; GE Healthcare, Bethesda, MD, USA) which provides pharmacokinetic measurement and calculation on a pixel-by-pixel basis. Two radiologists with experience in digestive radiology (Y.L.C. with 4 years of experience, and T.W.C. with 21 years of experience) who were blinded to the pathological results independently performed the data analysis. After the dynamic images were downloaded into this software, motion correction was automatically performed. A T1 mapping was computed from T1-weighted acquisitions with different flip angles (α = 3°, 6°, 9°, 12° and 15°). An arterial input function was extracted by randomly manually drawing a

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circle region of interest (ROI) with the diameter of 1 cm on the descending aorta. Next, the tumoural ROI was freehand outlined (Fig. 1a) randomly on one maximal section on magnified images, and the area of the ROI was more than 60% of the area of the tumour. On T2WI, the thickened oesophageal wall with a slightly higher signal intensity when compared to normal oesophageal tissue was defined as oesophageal SCC, which could help us in determining the exact boundaries of oesophageal SCC for the drawn of tumoural ROI. The necrotic areas, hemorrhagic areas, intraluminal gas and paraoesophageal fat were excluded based on the conventional magnetic resonance images (axial and sagittal T2-weighted sequences with fat saturation). The derived quantitative parameters including endothelial transfer constant ($K_{\text{trans}}$, in ml/min), reflux rate ($K_{\text{ep}}$, in ml/min), fractional extravascular extracellular space volume ($V_e$, in ml/ml) and fractional plasma volume ($V_p$, in ml/ml) were automatically generated on the basis of a two-compartment modified Tofts model 20. The semi-quantitative parameters including time to peak (TTP, in min), max concentration (MAX Conc, in mmol), Max Slope (in mmol/min) and area under the concentration-time curve (AUC, in mmol·min) were also automatically generated. The previous software automatically derived the parametric maps of $K_{\text{trans}}$ (Fig. 1b), $K_{\text{ep}}$ (Fig. 1c), $V_e$ (Fig. 1d), $V_p$ (Fig. 1e), TTP (Fig. 1f), MAX Conc (Fig. 1g), AUC (Fig. 1h) and Max Slope (Fig. 1i) that showed all tissues within the selected processing threshold. The previous process and analyses were repeated for the contiguous another two representative transverse levels. The mean value of each DCE-MRI derived parameter of oesophageal SCC was obtained by averaging the corresponding parameters across the three tumoural ROIs in each patient. To verify the intra-observer reproducibility of the DCE-MRI parameter measurement, measurements of tumoural DCE-MRI derived parameters were repeated by Y.L.C. one month later.

The quantitative and semi-quantitative parameters measurements of DCE-MRI of normal oesophageal wall were performed by using a similar method to the one used for obtaining the measurements of oesophageal SCC except that the ROI of normal oesophageal wall was outlined on magnified images covering more than 50% of the normal oesophageal wall. As reported 21, a 3 cm proximal and distal margin from the primary tumour was adequate to cover microscopic disease within oesophagus in 94% patients with oesophageal SCC. In this study, we choose the proximal oesophagus above 3 cm of the tumour margin as normal oesophagus except the distal oesophagus above 3 cm of the tumour margin in 2 patients with oesophageal SCC involved the upper thoracic region.

**Figure 1.** In a 66-year-old man with squamous cell carcinoma in the middle thoracic portion of oesophagus with lymph node metastasis, an irregular region of interest (a) for the tumour is drawn within the thickened oesophageal wall to generate the dynamic contrasted-enhanced magnetic resonance imaging derived parameters. Color parametric maps of endothelial transfer constant (b), reflux rate (c), fractional extravascular extracellular space volume (d), fractional plasma volume (e), time to peak (f), max concentration (g), area under the concentration-time curve (h), and max slope (i) indicate the value of the parameters ranging from high (red) to low (blue). The parameter values correspond to 0.24 ml/min, 0.70 ml/min, 0.36 ml/ml, 0.05 ml/ml, 2.21 min, 1.50 mmol, 4.20 mmol·min, and 4.31 mmol/min, respectively.
Table 1. Inter-observer variability of dynamic contrast-enhanced magnetic resonance imaging derived parameter measurements. Notes: Data are means ± standard deviations. Ktrans, endothelial transfer constant; Kep, reflux rate; Ve, fractional extravascular extracellular space volume; Vp, fractional plasma volume; TTP, time to peak; MAX Conc, max concentration; and AUC, area under the concentration-time curve.

Table 2. Intra-observer variability of dynamic contrast-enhanced magnetic resonance imaging derived parameters measurements. Notes: Data are means ± standard deviations. Ktrans, endothelial transfer constant; Kep, reflux rate; Ve, fractional extravascular extracellular space volume; Vp, fractional plasma volume; TTP, time to peak; MAX Conc, max concentration; AUC, area under the concentration-time curve; and CI, confidence interval.

Statistical analysis. SPSS statistical package version 13.0 was used for statistical analysis (version 13.0 for Windows, SPSS Inc., Chicago, IL, USA). Data are expressed as mean ± standard deviation.

Inter-observer and intra-observer agreements for the measurements of oesophageal SCC DCE-MRI parameters were evaluated by using the inter-class correlation coefficient (ICC). The agreement was defined as excellent (ICC > 0.90), good (ICC = 0.75–0.90), moderate (ICC = 0.50–0.75), or poor (ICC < 0.5) [22]. If the inter-and intra-observer agreements of the measurements of oesophageal SCC DCE-MRI parameters were good (ICC > 0.75), values of the first measurement by Y.L.C. were regarded as the final pharmacokinetic parameters for the oesophageal SCC. If not, the mean value of the two measurements by Y.L.C. and the measurement by T.W.C. was used as the final values.

We used the Mann-Whitney U test to compare the DCE-MRI derived parameters between oesophageal SCC and normal oesophageal wall or between the statuses of lymphatic metastasis. Statistically significant difference was assigned to less than 0.05. If there were significant differences in the DCE-MRI derived parameters between oesophageal SCC and normal oesophageal wall or between the statuses of lymph node metastasis, then the receiver operating characteristic (ROC) analysis was performed to determine if the cutoff of any DCE-MRI derived parameter could help identify oesophageal SCC and lymph node metastasis.

Results

Inter- and intra-observer variability of DCE-derived parameter measurements. Based on the DCE-MRI derived parameters of oesophageal SCC obtained independently by the two radiologists and repeatedly by Y.L.C., the inter- and intra-observer agreements were both good (ICC 95%CI range, both 0.984–0.999; Tables 1 and 2, and Fig. 2). Therefore, the inter- and intra-observer variability was small, and the values of the first measurement by Y.L.C. were used as the final DCE-MRI derived parameters for the subsequent analysis. In addition, the mean diameter of oesophageal SCC on transverse section was 1.42 cm (ranged from 0.63 cm to 1.94 cm), portion of the oesophagus for the previous DCE-MRI derived parameter measurement. In total, 24 cases with sufficient quality of the DCE-MRI images of the margin from the primary tumour were chosen for the measurements of DCE-MRI parameters of normal oesophageal wall in our study.
DCE-MRI derived parameters for identifying resectable oesophageal SCC. The Mann-Whitney U tests showed that the quantitative parameters including $K_{trans}$ and $K_e$ of tumour were higher while the semi-quantitative parameter TTP was shorter with significant differences in comparison with normal oesophageal wall (all $P$-values < 0.05) as shown in Table 3 and Fig. 3. The $K_e$ was better than $K_{trans}$ or TTP for oesophageal wall.

Table 3. Dynamic contrast-enhanced magnetic resonance imaging derived parameters for identifying resectable oesophageal squamous cell carcinoma. Notes: Data are means ± standard deviations. $K_{trans}$, endothelial transfer constant; $K_e$, reflux rate; $V_e$, fractional extravascular extracellular space volume; $V_p$, fractional plasma volume; TTP, time to peak; MAX Conc, max concentration; and AUC, area under the concentration-time curve.
SCC diagnosis according to the ROC analysis (Table 4; Fig. 4a–c). The Mann-Whitney U tests demonstrated that there were no significant differences in the other quantitative parameters such as Ve and Vp, and semi-quantitative parameters such as MAX Conc, AUC and MAX Slope between the tumour and normal oesophageal wall as shown in Table 3 and Fig. 3 (all P-values > 0.05).

DCE-MRI derived parameters for predicting lymph node metastases. According to the Mann-Whitney U tests, there were significant differences in the quantitative parameter Kp and semi-quantitative parameter TTP between tumours with and without lymph node metastases. The Kp was higher whereas the TTP was shorter in tumours of the former as shown in Table 5 and Fig. 3 (both P-values < 0.05). In comparison with
the Kep, the ROC analysis showed that the TTP was better for predicting lymphatic metastasis (Table 4; Fig. 5a, b). The Mann-Whitney U tests demonstrated no significant differences in the other quantitative parameters such as K trans, V e and Vp, and in semi-quantitative parameters such as MAX Conc, AUC and MAX Slope for predicting lymphatic metastasis as shown in Table 5 and Fig. 3 (P-values > 0.05).

Discussion
In our study, we found that several DCE-MRI derived parameters including K trans, K ep, and TTP displayed significant differences between oesophageal SCC and normal oesophageal wall. In detail, K trans and K ep were higher while TTP was shorter in oesophageal SCC in comparison with normal oesophagus. This finding may be explained by the angiogenesis characteristics of malignancy. Zhao et al.\(^{23}\) reported that RhoC mRNA expression was mainly located in the cytoplasm of the tumour cells and were higher in oesophageal SCC than in normal oesophagus, and RhoC mRNA expression showed a positive correlation with vascular endothelial growth factor (VEGF) protein levels. Dvorak\(^{24}\) demonstrated that VEGF induced endothelial cell division and migration, enhanced microvascular permeability, promoted stromal proteolysis, and reduced endothelial cell apoptosis. Knopp et al.\(^{25}\) also indicated that the endothelium within tumour microvessels may exhibit increased permeability. These characteristics will allow a more rapid transfer and an accelerated clearance of the contrast agent from the interstitium, ultimately resulting in the higher K trans and K ep and shorter TTP in oesophageal SCC than in normal oesophageal wall.

**Figure 4.** Receiver operating characteristic curves show that endothelial transfer constant cutoff of 0.08 ml/min (a), reflux rate cutoff of 0.44 ml/min (b), and time to peak cutoff of 2.96 min (c) can help differentiate oesophageal squamous cell carcinoma from normal oesophagus.
As shown in our study, the $K_{ep}$ was higher but the TTP was shorter in patients with lymph node metastasis than without that metastasis. Zhao et al.\textsuperscript{23} reported that the expression of RhoC mRNA in oesophageal SCC with lymphatic metastasis was significantly higher than without lymph node metastasis, and the expression of VEGF protein in the tumour with lymphatic metastasis was significantly higher than in the tumour without lymphatic metastasis. Zhang et al.\textsuperscript{26} also indicated that there was a significant correlation between a high level of VEGF-C expression in oesophageal SCC and lymphatic metastasis. Some published reports indicated that microvessel density of oesophageal SCC with lymph node metastasis was significantly higher than without that metastasis\textsuperscript{27–31}. According to the above mentioned reports, the microvascular permeability of increased microvessels was higher in oesophageal SCC with lymph node metastases than without this metastasis, which could lead to the higher $K_{ep}$ and shorter TTP in the cancer with nodal disease.

| Dynamic parameters | With LNM (n = 18) | Without LNM (n = 21) | $P$-Value |
|-------------------|------------------|----------------------|------------|
| **Quantitative parameters** | | | |
| $K_{trans}$ (ml/min) | $0.34 \pm 0.26$ | $0.25 \pm 0.18$ | 0.102 |
| $K_{ep}$ (ml/min) | $0.62 \pm 0.14$ | $0.50 \pm 0.22$ | 0.003 |
| $V_e$ (ml/ml) | $0.44 \pm 0.24$ | $0.43 \pm 0.21$ | 0.942 |
| $V_p$ (ml/ml) | $0.06 \pm 0.06$ | $0.05 \pm 0.04$ | 0.857 |
| **Semi-quantitative parameters** | | | |
| TTP (min) | $2.18 \pm 0.44$ | $2.51 \pm 0.58$ | <0.001 |
| MAX Conc (mmol) | $2.51 \pm 2.05$ | $2.12 \pm 1.50$ | 0.498 |
| AUC (mmol·min) | $5.85 \pm 4.26$ | $4.96 \pm 2.99$ | 0.566 |
| MAX Slope (mmol/min) | $9.00 \pm 11.18$ | $6.46 \pm 4.93$ | 0.637 |

Table 5. Dynamic contrast-enhanced magnetic resonance imaging derived parameters for identifying lymph node metastases (LNM). Notes: Data are means ± standard deviations. $K_{trans}$, endothelial transfer constant; $K_{ep}$, reflux rate; $V_e$, fractional extravascular extracellular space volume; $V_p$, fractional plasma volume; TTP, time to peak; MAX Conc, max concentration; and AUC, area under the concentration-time curve.

Figure 5. Receiver operating characteristic curves demonstrate that reflux rate cutoff of 0.62 ml/min (a), and time to peak cutoff of 2.32 min (b) can aid discriminate oesophageal squamous cell carcinoma with and without lymph node metastasis.
Because the DCE-MRI derived parameters including $K_{\text{trans}}$, $K_p$ and TTP were significantly different between oesophageal SCC and normal oesophageal wall, ROC analysis was performed in this study to determine whether these parameters could be used for differentiating the microcirculation of oesophageal SCC from that of the normal oesophageal wall and applied to the diagnosis of oesophageal cancer. With ROC analysis, the areas under the ROC curves of $K_{\text{trans}}$, $K_p$ and TTP were 0.713, 0.903 and 0.832, respectively, which suggested that the $K_p$ was the best parameter for aiding the diagnosis of oesophageal SCC. In addition, the current study showed that the $K_p$ and TTP were significantly different between oesophageal SCC with and without lymph node metastasis, and the areas under the ROC curves of $K_p$ and TTP were 0.659 and 0.696 for determining the microcirculation of oesophageal SCC with lymph node metastasis, respectively. This finding implies that DCE-MRI derived parameters could help identify oesophageal SCC with lymph node metastasis, and TTP could be the better parameter for this purpose.

**Limitations.** There were several limitations in our study. Firstly, as squamous cell carcinoma is the most common oesophageal carcinoma worldwide, and we performed this study focusing on assessing the microcirculation of oesophageal SCC rather than that of oesophageal adenocarcinoma with DCE-MRI. We confirmed that microcirculation assessment of oesophageal SCC could help identify this cancer and regional lymphatic metastasis, and we would lead to a new research approach for tumour diagnosis and lymphatic metastasis prediction of oesophageal adenocarcinoma by microcirculation assessment with DCE-MRI. Secondly, the mean values in the ROIs on two-dimensional (2D) image may not reflect spatially rich information within the tumour resulting from the tumour heterogeneity. Thus, prospective studies with heterogeneity analysis on whole-tumour may eliminate the confounding effect in ROI average studies in the future. Thirdly, one of the criteria for the study was that the quality of the DCE-MRI images was good, however this criteria is a little vague. Fourthly, we did not explore the effects of the location of the tumours, and the gender and age of the samples on the accuracy of the findings in this study. We will perform the relevant study in the future.

**Conclusion**

The quantitative and semi-quantitative parameters derived from DCE-MRI may potentially be helpful for determining the microcirculation within oesophageal SCC and predicting the status of lymphatic metastasis. The quantitative parameter $K_p$ could be the optimal parameter for identifying oesophageal SCC. The semi-quantitative parameter TTP could be more suitable for predicting lymph node metastasis. We hope that the findings in our study will be helpful for the identification and predication of lymphatic metastasis for treatment decision making.

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**Author Contributions**

Y.L.C. contributed to the study design, data analysis and interpretation, and drafting and editing the manuscript. T.W.C. contributed to the study design, quality control of data and algorithms, and editing and proofreading the manuscript. X.M.Z. contributed to the study design, and proofreading the manuscript. R.L., Y.J., F.C., L.W., J.O. and J.Q.Y. performed the data acquisition, data analysis and interpretation. All authors approved the final manuscript submission.

**Additional Information**

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