Neutrino Lump Fluid in Growing Neutrino Quintessence

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Growing neutrino quintessence addresses the why now problem of dark energy by assuming that the neutrinos are coupled to the dark energy scalar field. The coupling mediates an attractive force between the neutrinos leading to the formation of large neutrino lumps. This work proposes an effective, simplified description of the subsequent cosmological dynamics. We treat neutrino lumps as effective particles and investigate their properties and mutual interactions. The neutrino lump fluid behaves as cold dark matter coupled to dark energy. The methods developed here may find wider applications for fluids of composite objects.

I. INTRODUCTION

The observed accelerated expansion of the Universe can be described by a dark energy component [1, 2]. Its energy density dominates that of matter at present, while it constituted a very small fraction of the energy budget in earlier stages of the cosmic evolution [3, 4]. This “why now” problem has motivated the idea of dark energy being dynamically coupled to other cosmological species. It has been proposed that a dependence of the neutrino mass on the dark energy scalar field, the cosmon, may naturally trigger the onset of accelerated expansion in recent times [5, 6]. The background evolution of the resulting cosmological model, growing neutrino quintessence, is similar to the concordance model with a cosmological constant $\Lambda$.

Since the energy density in neutrinos is small, the cosmon-mediated attraction between neutrinos has to be substantially stronger than the gravitational one in order to be effective. This results in a fast formation of neutrino lumps of the size of clusters or larger at redshift around one. The dynamics of the perturbations in the coupled cosmon-neutrino fluid is complicated. In contrast to models of uncoupled or weakly coupled dark energy, a mere analysis of the background equations together with linear perturbation theory is insufficient. Linear perturbation theory breaks down even at large scales [7], and the nonlinear evolution exerts significant backreaction effects on the background evolution. This has lead to the development of a specifically designed N-body based simulation method, which accounts for local cosmon perturbations, relativistic neutrino motion, and backreaction effects [8]. These simulations are, so far, successful until $z \approx 1$, where a collection of spherical neutrino structures has formed, cf. Fig. 1.

Although it is numerically challenging to resolve the internal dynamics of the neutrino lumps, these details may not be crucial for the broad cosmological picture. In gravity, e.g., the detailed evolution inside galaxies or clusters is not relevant for the cosmological evolution.

Once the neutrino lumps have formed, one would like to use a picture of a pressureless fluid of neutrino lumps.

In contrast to the universal properties of gravity, where only the total mass of a bound object matters, the understanding of neutrino lumps needs more information. The mass of a lump with a given number of neutrinos is still expected to depend on the local value of the cosmon field $\hat{\phi}$ averaged in a region around the lump. This effective coupling of $\hat{\phi}$ to the lumps induces an effective attractive interaction between the lumps. Since the lumps are highly nonlinear objects, the $\hat{\phi}$-dependence of the mass is sensitive to the total number of neutrinos in the lump and possibly even to additional properties of the lump.

This work presents analytical and numerical studies of the properties of the neutrino lumps. We indeed find an effective description. This opens the possibility for an approximate and much simpler approach to the understanding of the cosmological evolution of growing neutrino quintessence for the period after the formation of the lumps.

The paper is organized as follows. We collect some basics of growing neutrino quintessence in Sec. II and...
motivate the approach taken in this work. Section III describes the effective cosmological dynamics in the presence of stable neutrino lumps. Starting from the basic idea of approximating lumps as particles, we eventually develop a simplified simulation scheme of growing neutrino quintessence. Some more technical aspects required for this scheme are postponed to Sec. IV The question of stability of neutrino lumps is discussed in Sec. V. We conclude in Sec. VI.

II. FUNDAMENTALS AND MOTIVATION

After briefly summarizing the basics of growing neutrino quintessence in Sec. II A, we explain the main idea of this work. We give physical arguments why the neutrino lumps may be approximated as nonrelativistic particles. This forms the basis of the effective description of the cosmological dynamics presented in Sec. III.

A. Basics of growing neutrino quintessence

The cosmon-neutrino coupling is described by the energy-momentum exchange

\[ \nabla \lambda T_{(\nu)}^{\mu \lambda} = +\beta T_{(\nu)}^{\mu} \partial^\mu \varphi, \]  

\[ \nabla \lambda T_{(\nu)}^{\mu \lambda} = -\beta T_{(\nu)}^{\mu} \partial^\mu \varphi, \]  

where \( \beta \) is a dimensionless coupling parameter and \( T_{(\nu)}^{\mu \lambda} \equiv T_{(\nu)}^{\mu \lambda} g_{\mu \lambda} \) is the trace of the neutrino energy-momentum tensor. We work in units where \( 8\pi G = 1 \) and use the metric convention \( ds^2 = -(1 + 2\Psi) dt^2 + a^2(1 - 2\Phi) dx^2 \). This type of coupling corresponds to early proposals of coupled quintessence \( [9, 10] \). On the particle physics level, the coupling is realized as a dependence of the (average) neutrino mass \( m_\nu \) on the cosmon field \( \varphi \).

\[ \beta = -\frac{d \ln m_\nu}{d \varphi}. \]

For simplicity, we consider the case of a constant coupling parameter \( \beta \) as used in, e.g., \( [9, 11] \). Typical values are of order \( \beta \sim 10^{-2} \).

When the cosmon rolls down its potential towards larger values, a negative \( \beta \) implies a growing neutrino mass. As long as the neutrinos are highly relativistic \( (w_\nu \approx 1/3) \), the trace \( T_{(\nu)} = -\rho_\nu (1 - 3w_\nu) \) is close to zero and hence the coupling is small. This changes once the neutrinos become nonrelativistic. The coupling then stops the further evolution of the cosmon resulting in an effective cosmological constant. In this way, the model addresses the “why now” problem of dark energy. As in standard quintessence models \( [12, 13] \), the energy density of the dark energy scalar field \( \varphi \) decays similarly to the other species during most of the cosmological evolution, thereby alleviating the fine-tuning of the present amount of dark energy.

The energy-momentum exchange, Eqs. (1) and (2), implies \( [14] \), in the Newtonian limit, an attractive force between the neutrinos of order

\[ |F| \approx |\beta \nabla \varphi| \approx 2\beta^2 |F_{\text{gravity}}|. \]  

We shall see that the interaction between neutrino lumps is similar but with an effective coupling weaker than \( \beta \).

B. Lumps as nonrelativistic particles

The simulations of growing neutrino quintessence have shown that, after a phase of rapid neutrino clustering, almost all cosmic neutrinos are bound in roughly spherical lumps, cf. Fig. I. Inside these lumps, the neutrinos have relativistic velocities \( [8, 11] \). For the neutrino fluid alone, one thus observes a large pressure such that a nonrelativistic treatment is not applicable. This is reflected in the equation of state \( w_\nu = p_\nu/\rho_\nu \), which reaches \( w_\nu \approx 0.1 \) at \( z = 1 \) \( [8] \). Nevertheless, we argue that the lumps as static bound objects behave as particles with vanishing internal pressure. The pressure induced by the neutrino motions is cancelled by a corresponding negative pressure of the local cosmon perturbations. Furthermore, the peculiar velocities of the lumps are nonrelativistic. This is similar to a gas of atoms at low velocities. Although the electrons move at high velocities, their contribution to the pressure is cancelled by a contribution from the electromagnetic field.

Whereas the total pressure of a lump vanishes, the contributions of neutrinos and the cosmon perturbation do not cancel locally. The neutrinos are rather concentrated and hence their pressure contribution is restricted to a small radius. The cosmon perturbation, in contrast, extends to larger distances, analogously to the gravitational potential around a massive object. The cancellation thus only refers to the integrated contributions at a sufficiently large distance from the lump.

In the following, we discuss this in more detail. Since gravity is subdominant compared to the fifth force, cf. Eq. (4), it may be neglected for a simple discussion. On general grounds, one can show that a bound object has vanishing pressure if three conditions are met:

1. The object is described by a conserved energy-momentum tensor.

2. The energy-momentum tensor vanishes outside a volume surrounding the object.

3. The energy-momentum tensor is static.

The argument is given in Sec. III A. For the purpose of illustration, we have numerically simulated an exemplary spherical neutrino lump satisfying these idealized conditions. The staticity of the lump was realized by a hydrodynamic balance equation, cf. Sec. V B. The neutrino
pressure integrated to a comoving radius \( r \) from the center is given by a sum over particles \( p \)
\[
P_\nu(r) = \int_0^r 4\pi r'^2 dr' \sqrt{g^{(3)}_{\mu\nu}} \frac{1}{3} T_{\nu}^{(i)} = \sum_p \frac{\gamma_p}{3} m_p u_p^2,  \tag{5}
\]
with the Lorentz factor \( \gamma_p \) and the determinant of the spatial metric \( \sqrt{g^{(3)}} \approx a^3 \). The contribution of the cosmon perturbation is
\[
P_{\delta\phi}(r) = -\int_0^r 4\pi r'^2 dr' \sqrt{g^{(3)}} \left[ \frac{\nabla \delta \phi^2}{6a^2} + V'(\bar{\phi})\delta \phi \right],  \tag{6}
\]
where we have subtracted the pressure induced by the background field \( \bar{\phi} \). Figure 2 shows the cancellation of the pressure contributions for large radii. As already explained, the cosmon contribution is more extended than the neutrino contribution.

In the cosmological context, the aforementioned conditions are met, at best, approximately and realistic neutrino lumps will not be exactly pressureless. We shall now discuss the three conditions. First, only the total energy-momentum tensor of neutrinos, local cosmon perturbation, and background cosmon is conserved. The background field, however, cannot be attributed to the lump (otherwise, the second condition would not be satisfied). The energy-momentum tensor of the lump, defined to include the neutrinos and the local cosmon perturbation, is thus not exactly conserved due to exchange between the lump and the outside cosmon field. Finally, even for a virialized lump with a fixed number of neutrinos, the energy-momentum tensor is not static. Due to the time evolution of the outside cosmon field, the mass of the neutrinos and therefore the mass of the lump changes.

One may argue that these effects are suppressed by the difference in the relevant time scales for the dynamics of the lump and the cosmological evolution. Indeed, the violations of staticity and energy-momentum conservation are proportional to the time derivative of the cosmon field averaged on length scales much larger than the size of the lump. This is suppressed by the fact that the associated time scale is large as compared to the dynamical time scale of the lump. The effective description of growing neutrino quintessence presented in the next section assumes that the pressure of neutrino lumps approximately vanishes.

III. EFFECTIVE DYNAMICS

The approach of this section is to treat the neutrino lumps as effective particles. We then merely have to characterize their mutual interactions and their influence on the background as well as on the gravitational potential. A numerical treatment of the internal structure of the lumps will no longer be required.

In Sec. IIIA we shall describe how lumps can be treated as particles with an effective coupling. Section IIIB derives the equation of motion for these effective particles and explains how to calculate the relevant potentials: the large-scale cosmon \( \phi \) and the gravitational potential \( \Psi \). Finally, we explain in Sec. IIIC how the results can be used to construct the simplified simulation scheme for growing neutrino quintessence.

A. Description of lumps

Let us introduce a comoving length scale \( \lambda \), which is larger than the typical lump sizes but smaller than their typical distances (the mean distance between neighboring lumps is of order \( 100 h^{-1}\text{Mpc} \)). On scales larger than \( \lambda \), a lump \( l \) at comoving coordinates \( x_l \) looks effectively point-shaped,
\[
T_{\mu\nu}^l \approx \frac{A_{\mu\nu}^{(3)}}{\sqrt{g^{(3)}}} \delta(x - x_l),  \tag{7}
\]
the amplitude \( A_{\mu\nu}^{(3)} \) being given by the integrated local energy-momentum tensor of the lump,
\[
A_{\mu\nu} = \int d\bar{y} \sqrt{g^{(3)}} T_{\mu\nu}^{\text{local}}(\bar{y}).  \tag{8}
\]
We will see in Sec. IIIA that this indeed reduces to the standard one-particle case
\[
A_{\mu\nu} \approx \frac{M_l}{\gamma} u^\mu u^\nu,  \tag{9}
\]
where \( M_l \) is the lump’s rest mass (consisting of a neutrino and a cosmon contribution) and \( u^\mu \) is its four-velocity. The Lorentz factor is defined as \( \gamma = \sqrt{1 - \bar{v}^2} \). In the background metric, we have \( \gamma = v^0 \). The result for \( A_{\mu\nu} \) is a consequence of the approximate pressure cancellation discussed in Sec. IIIB.

The interactions between the lumps are mediated by the cosmon field \( \phi \). Given that the distances between
the lumps are greater than \( \lambda \), it suffices to consider the smoothed field (indicated by a hat)

\[
\hat{\varphi}(\mathbf{x}) = \int d^3y \sqrt{g^{(3)}} W_\lambda(\mathbf{x} - \mathbf{y}) \varphi(\mathbf{y})
\]

(10)

with a suitable window \( W_\lambda \) of size \( \lambda \).

Analogously to the fundamental coupling parameter \( \beta \), Eq. (3), we may define the effective coupling by

\[
\beta_l = -\frac{d \ln M_l}{d \hat{\varphi}}.
\]

(11)

The effective coupling may depend on the scale \( \lambda \) over which the field is averaged. Whereas the fundamental coupling \( \beta \) describes the dependence of the microscopic neutrino mass \( m_\nu \) on the local cosmon field \( \varphi \), the effective coupling \( \beta_l \) measures the mass dependence of the total lump mass \( M_l \) on the large-scale cosmon value \( \varphi \).

As the fundamental parameter \( \beta \) quantifies the force between neutrinos, cf. Eq. (4), the effective parameter \( \beta_l \) will determine the interactions between lumps.

We next show quantitative results for the distribution of lumps and the effective couplings at \( z = 1 \). For this purpose, we have performed 10 simulation runs with the method and the parameters of Ref. [8]: fundamental coupling \( \beta = -52 \), box size \( L = 600 \, h^{-1}\text{Mpc} \), but with reduced resolution \( N_{\text{cells}} = 128^3 \). The positions of the lumps have been identified as local maxima of the neutrino density field (cf. DENMAX halo finding [15]). A glance at Fig. 1 shows that there is not much ambiguity in identifying lumps.

Once a stable lump has formed, the number of bound neutrinos is approximately fixed (neglecting merging processes). It is thus natural to characterize different lumps by their amount of neutrinos.

We measure the effective couplings \( \beta_l \) and the lump masses \( M_l \). The latter include a (dominant) neutrino contribution \( M_l^{(\nu)} \) and a somewhat smaller cosmon part \( M_l^{(\varphi)} \). Integration over the comoving lump volume \( V_l \) yields

\[
\gamma_l M_l^{(\nu)} = \int V_l d^3x \sqrt{g^{(3)}} \rho_\nu \approx \sum_{\text{particles }} \rho_\nu M_{\nu, p},
\]

(12)

\[
\gamma_l M_l^{(\varphi)} = \int V_l d^3x \sqrt{g^{(3)}} (\rho_\varphi - \rho_\hat{\varphi}),
\]

(13)

with \( \rho_\varphi = \frac{\dot{\varphi}^2}{2} + \frac{\nabla \varphi^2}{2M_H^2} + V(\varphi) \). The Lorentz factor \( \gamma \) depends on the velocities of the lumps or particles, respectively. The smoothed field \( \hat{\varphi} \) is considered external to the lump and thus subtracted.

Figure 3 shows the abundance of lumps and the distributions of \( \beta_l \) and \( M_l \). The couplings \( \beta_l \) are measured by numerical differentiation according to Eq. (11). The two lower figures show approximate functional dependences on the neutrino amount with only relatively small statistical fluctuations. The effective coupling is systematically weaker than the fundamental coupling. This becomes more pronounced with increasing neutrino number.

For the averaging scale \( \lambda \), we have taken \( \lambda = 30 \, h^{-1}\text{Mpc} \). This is clearly smaller than the typical lump distances \( \sim 100 \, h^{-1}\text{Mpc} \) but larger than the neutrino concentration of the lumps. Concerning the cosmon field, there remains some ambiguity since we attribute only the cosmon perturbations at scales smaller than \( \lambda \) to the lumps. If \( \lambda \) is chosen larger, the pressure cancellation and thus the particle approximation are better, cf. Fig. 2 but
there may arise overlaps between spatially close lumps.

B. Evolution equations

Within our effective description, the equation of motion of a neutrino lump is derived from the standard one-particle action

\[ S = \int d^4x \sqrt{-g} T^\mu{}_{\nu} g_{\mu\nu} = -\int d\tau M_l (\dot{\phi}) \]  

(14)

with the proper time \( \tau \) and the smoothed cosmion field \( \tilde{\phi} \) evaluated at the lump trajectory. Along the same lines as for the single neutrino case \[8\], we arrive at

\[
\frac{du^\mu}{d\tau} + \Gamma^\mu_{\rho\sigma} u^\rho u^\sigma = \beta_l \partial^\mu \tilde{\phi} + \beta_l u^\lambda \partial_\lambda \tilde{\phi} u^\mu.
\]  

(15)

The left-hand side describes gravity (expansion and gravitational potential), the right-hand side is due to the cosmon-neutrino interaction. The (spatial) term \( \beta_l \nabla \tilde{\phi} \) is the cosmon-mediated fifth force analogous to Newtonian gravity, cf. Eq. (1). The second contribution on the right-hand side reflects momentum conservation: A lump is accelerated when it moves towards a direction where it loses mass.

In order to use this effective equation of motion, we need to know the smoothed cosmion field \( \tilde{\phi} \), the gravitational potential \( \Psi \), and the background evolution. In the following, we shall describe how this is achieved.

For the calculation of \( \tilde{\phi} \), we recall the coupled Klein-Gordon equation, separated in background and perturbation parts \[8\],

\[
\ddot{\tilde{\phi}} + 3H \dot{\tilde{\phi}} + V'(\tilde{\phi}) = -\beta \tilde{T}_{(\nu)},
\]  

(16)

\[
\Delta \delta \phi - a^2 V''(\tilde{\phi}) \delta \phi = \beta a^3 \delta \tilde{T}_{(\nu)}.
\]  

(17)

In Eq. (17) we have neglected the gravitational perturbation against the cosmon perturbation. The second equation is similar to the gravitational Poisson equation. A natural choice for the cosmon potential \( V \) is the exponential potential \( V(\varphi) \propto \exp(-\alpha \varphi) \).

Next, we will smooth the perturbation equation (17). For the left-hand side, it is straightforward to show by partial integration that

\[
\tilde{\Delta} \delta \phi (x) = \int d^3y \sqrt{g} (3) W_\lambda (x - y) \Delta y \delta \phi (y) = \Delta x \int d^3y \sqrt{g} (3) W_\lambda (x - y) \delta \phi (y) = \Delta \delta \phi (x),
\]  

(18)

up to surface terms and neglecting the metric perturbations, \( \sqrt{g} (3) \approx a^4 \). On the right-hand side, we write

\[
\delta \tilde{T}_{(\nu)} = \tilde{T}_{(\nu)} - \tilde{T}_{(\nu)} \] with the smoothed energy-momentum tensor of neutrinos

\[
\tilde{T}_{(\nu)} (x) = \int d^3y \sqrt{g} (3) W_\lambda (x - y) T_{(\nu)} (y).
\]  

(19)

We next employ the relation (shown in Sec. IV B)

\[
\beta \tilde{T}_{(\nu)} \approx \sum_{\text{lumps } l} \beta_l \tilde{T}_l.
\]  

(20)

Here, the smoothed trace of the energy-momentum tensor of a lump \( \tilde{T}_l \) can be calculated from the effective lump energy-momentum tensor, Eqs. (17) and (19), \( \tilde{T}_l = T_{\mu\nu}^l g_{\mu\nu} : \)

\[
\tilde{T}_l = \int d^3y \sqrt{g} (3) W_\lambda (x - y) T_l (y) = - \frac{M_l}{\gamma_l} W_\lambda (x - x_l).
\]  

(21)

With these results, the smoothed perturbation equation eventually reads

\[
\Delta \delta \tilde{\phi} (x) - a^2 V''(\tilde{\phi}) \delta \tilde{\phi} (x) = - a^2 \sum_{\text{lumps } l} \beta_l \frac{M_l}{\gamma_l} W_\lambda (x - x_l) = - a^2 \tilde{T}_{(\nu)}.
\]  

(22)

Assuming that all neutrinos are bound in lumps, one has

\[
\beta \tilde{T}_{(\nu)} = - \frac{1}{V_{\text{phys}}} \sum_{\text{lumps } l} \beta_l \frac{M_l}{\gamma_l}
\]  

(23)

in some cosmological volume \( V_{\text{phys}} \).

On scales larger than \( \lambda \), the window \( W_\lambda (x - x_l) \) in Eq. (22) may be replaced by a point \( \propto \delta (3) (x - x_l) \). For an approximate solution of Eq. (22) at distances larger than \( \lambda \) from the sources, we thus use a sum of Yukawa potentials,

\[
\delta \tilde{\phi} \approx \sum_{\text{lumps } l} \left( \frac{\beta_l}{4 \pi a} \frac{M_l}{|x - x_l|} e^{-a|\nu_3| |x - x_l|} + \delta \phi_{\text{res}, l} \right)
\]  

(24)

with the scalar mass \( M^2_\lambda \equiv V''(\tilde{\phi}) \). The residual term \( \delta \phi_{\text{res}, l} (x - x_l) \) is needed to cancel the background part \( \propto \tilde{T}_{(\nu)} \) on the right-hand side and to ensure \( \delta \phi = 0 \) in a simulation volume, similar to \( \Psi_{\text{res}, l} \). Below.

If the lumps are moving rather slowly compared to the speed of light, \( \gamma_l \approx 1 \), the two smoothed metric potentials are equivalent, \( \Phi \approx \Psi \). Numerically, this relation is verified on large scales \[8\]. Then, we write for the smoothed gravitational potential induced by lumps (with the same approximations as for \( \phi \)),

\[
\Delta \Psi (x) \approx \frac{a^2}{2} \sum_{\text{lumps } l} \left( \frac{M_l}{\sqrt{g} (3)} \sqrt{g} (3) - \frac{M_l}{V_{\text{phys}}} \right).
\]  

(25)

The solution is (up to a constant)

\[
\Psi (x) = - \sum_{\text{lumps } l} \left( \frac{1}{8 \pi a} \frac{M_l}{|x - x_l|} + \Psi_{\text{res}, l} \right),
\]  

(26)

where the residual contribution can be given explicitly as \( \Psi_{\text{res}, l} = M_l a^2 |x - x_l|^2 / V_{\text{phys}} \). The total gravitational potential also includes the matter-induced potential which
is calculated as usual. Taking into account relativistic corrections would require the calculation of both potentials, \( \Psi \) and \( \Phi \), cf. Ref. [8].

In order to have a full description of the cosmological dynamics, we still need to describe the evolution of the cosmological background, i.e. the Hubble expansion \( H \) and the background cosmon \( \dot{\varphi} \). The background evolution cannot be calculated without taking into account the backreaction due to the perturbation evolution [8,17]. Instead, the background and the perturbations have to be evolved simultaneously. In particular, one averages first \( \beta \mathcal{T}_{\nu} \) as in Eq. (23) and inserts this into the background part of the Klein-Gordon equation (16). In every step, the perturbations enter the background equations via \( \beta_l \) and \( M_l \).

C. Simulation scheme

The methods developed in the previous sections allow for a considerable simplification of the numerical treatment. Rather than evolving a large number of N-body particles and the fields \( \varphi \) and \( \Psi \) on a grid, one now merely has to evolve a drastically reduced set of differential equations. This becomes possible as soon as a collection of stable neutrino lumps has formed (at about \( z \approx 1 \)). The preceding cosmological evolution has to be carried out with the comprehensive simulation method of Ref. [8]. Its final state at \( z \approx 1 \) provides a distribution of lumps at positions \( \mathbf{x}_l \), with neutrino number fractions \( f_l \), rest masses \( M_l \), and effective couplings \( \beta_l \). This is the starting point for the simplified scheme.

Section III B collects a set of coupled differential equations describing the cosmological evolution. These are the equation of motion (15), the background Klein-Gordon equation (16) with its right-hand side (23) and the usual Friedmann equations. They involve the averaged potentials at the lump positions, i.e. \( \{ \delta \varphi(\mathbf{x}_l) \} \) and \( \{ \delta \Psi(\mathbf{x}_l) \} \) (and their gradients) as given by Eqs. (24) and (26). Finally, the mass change is computed according to \( \frac{d M_l}{dt} = -\beta_l M_l \frac{d \dot{\varphi}}{dt} \). All these equations have mutual dependences and can only be solved simultaneously. Cold dark matter, if included, has to be treated with standard N-body techniques. The influence of neutrino lumps on the matter component was studied in [8,11,18].

The aforementioned equations are only complete together with functional relations \( \beta_l(f_l, \dot{\varphi}) \) and \( M_l(f_l, \dot{\varphi}) \), cf. Fig. 8 known at all times. As a first approach, one may assume a time-independent relation. This is reasonable if the lumps are virialized and hence their inner structure is approximately frozen. We will explore the stability of individual lumps in Sec. IV. Furthermore, the dependence on \( \dot{\varphi} \) may be neglected if the derivative \( \partial \beta_l / \partial \varphi \) or the variation of \( \dot{\varphi} \) are sufficiently small.

It is not clear whether \( z \approx 1 \) is late enough for the virialization process to have sufficiently proceeded. A hint that the cosmological configuration of the neutrinos is stabilizing, however, is given by the evolution of the total neutrino energy \( E_{\nu} = \int d^3x \sqrt{g^{(3)}} \rho_{\nu}(x) \propto \dot{\rho}_{\nu} a^3 \), shown in Fig. 4. For \( a \gtrsim 0.45 \), one observes a transition to a regime with a small constant slope. This would be compatible with a small monotonic change of the large-scale cosmon field and an effective lump mass depending on this field, corresponding to the expectation of approximate mass freezing within neutrino lumps [19]. This may be taken as a hint that the neutrino lump fluid may become a reasonable picture for \( a \gtrsim 0.45 \).

IV. ENERGY-MOMENTUM TENSOR OF LUMPS

In Sec. III we had to assume properties of the energy-momentum tensor associated with neutrino lumps. The derivations will be provided in this section. An important result is the integrated amplitude \( A_{\mu \nu} \) of a single lump’s energy-momentum tensor, see Eq. (8). The derivation in Sec. IV A includes the vanishing of the total internal pressure in stable lumps. Next, in Sec. IV B we will consider the term \( \beta \mathcal{T}_{\nu} \), cf. Eq. (20), which sources the energy-momentum exchange between cosmon and neutrinos.

A. Single lump

We now study a single neutrino lump described by its energy-momentum tensor \( T_{\mu \nu} \), including contributions of the bound neutrinos and the local cosmon field. The lump occupies a volume \( V \); its energy-momentum tensor vanishes outside. On scales much larger than the lump size, it is useful to consider the amplitude

\[
A_{\mu \nu} = \int d^3x \sqrt{g^{(3)}} T_{\mu \nu}.
\]

We first switch to the rest frame of the lump where we will show \( A_{\mu \nu} = -M_l g_{00} \delta_{\nu}^0 \). Let us therefor consider the
different components separately. Clearly, $A^0_0 = -M_l$ by definition of the rest mass. $A^0_i = P^i$ is the total momentum and thus vanishes in the rest frame, whereby $A^j_0 = 0$. It remains to show $A^i_j = 0$.

We assume that the lump is approximately static, i.e. its energy-momentum content in a physical volume is conserved,

$$\partial_0 \left( a^3 T^\mu_\nu \right) \approx 0,$$

(28)

neglecting the metric perturbations. Together with the energy-momentum conservation equation,

$$0 = \nabla_\lambda T^\lambda_\mu T^\mu_\nu = \partial_0 T^\mu_0 T_\nu + \partial_i T^i_\mu T^\mu_\nu + 3 \frac{\dot{a}}{a} T^0_\nu,$$

(29)

the staticity condition implies $\partial_i T^i_\mu = 0$.

It is convenient to define the three-vector $\mathbf{v} = (T^1_j, T^2_j, T^3_j)$ for a given column $j$. We have just shown $\text{div}\ \mathbf{v} = 0$. From now on, we choose $i = j = 1$ for simplicity. The amplitude $A^1_1$ can then be written as

$$A^1_1 = a^3 \int dx \int dy \, dz \, v_1 = a^3 \int dx \int_{S_x} dS \cdot \mathbf{v},$$

(30)

where $S_x$ is the slice of $V$ normal to the $x$ direction. Outside the lump, we extend the area $S_x$ to a closed surface. We can equally integrate over this closed surface since there is no contribution outside the lump. We conclude that the integral vanishes since $\text{div}\ \mathbf{v} = 0$ inside the enclosed volume. This implies $A^1_1 = 0$. The derivation can equally be done for arbitrary $i$ and $j$, whereby $A^i_j = 0$.

In the presence of an external cosmological perturbation $\delta \dot{\phi}$ sourced by other lumps, the energy-momentum conservation used in Eq. (29) only applies to the full energy-momentum tensor $T^\mu_\nu$ including the contribution due to $\delta \dot{\phi}$. Spatial variations of $\dot{\phi}$ on the scale of the lump are, however, small, such that $\partial_i T^i_\mu \approx \partial_i T^i_\nu = \text{div}\ \mathbf{v}$. If the external field $\delta \dot{\phi}$ varies only slowly, the staticity condition, Eq. (28), applies to the total energy-momentum tensor as well.

The straightforward generalization of the rest-frame result gives the amplitude $A^\mu_\nu = M_l u^\mu u_\nu / \gamma$ as anticipated in Sec. III A. The lump, on scales larger than its size, is described by a standard one-particle energy-momentum tensor

$$T^\mu_\nu = \frac{1}{\sqrt{g}} \int dx M_l u^\mu u_\nu \delta^{(4)}(x - x_l)$$

(31)

$$= \frac{1}{\sqrt{g^{(3)}}} \frac{M_l}{\gamma} u^\mu u_\nu \delta^{(3)}(x - x_l),$$

(32)

where we have used $u^0 = dx^0 / d\tau$ and $\gamma = \sqrt{-g^{(00)} u^0}$.

### B. Smoothed conservation equation

In the effective description, the two dynamic components are the collection of lumps (with the neutrino and a local cosmion contribution) and the cosmion field $\dot{\phi}$ outside the lumps, which mediates the interaction. This differs from the usual split in the neutrinos $T^\mu_\nu$ and the cosmion $T^\mu_\nu$ introduced in Sec. III A. The total energy-momentum content $T^\mu_\nu$ can thus be expressed in two ways,

$$T^\mu_\nu = T^\mu_\nu + T^\mu_\nu + T^\mu_\nu + T^\mu_\nu.$$  

(33)

The neutrino contribution is completely contained in $T^\mu_\nu$. The cosmion field splits into $\phi = \dot{\phi} + \delta \phi_{\text{loc}}$, and the contribution of the local perturbation $\delta \phi_{\text{loc}}$ is attributed to the energy-momentum tensor of the lumps. The part of the cosmion energy-momentum tensor not depending on the local fluctuation $\delta \phi_{\text{loc}}$ is

$$T^\mu_\nu = \partial_\mu \dot{\phi} \partial^\nu \dot{\phi} - g^{\mu \nu} \left( \frac{1}{2} \delta_{\lambda \beta} \partial_\lambda \dot{\phi} + \dot{V}(\dot{\phi}) \right),$$

(34)

which corresponds to the standard form of a scalar-field energy-momentum tensor.

Only the total energy-momentum tensor is conserved and we want to investigate the energy-momentum flow between the components $T^\mu_\nu$ and $T^\mu_\nu$. This will yield an effective coupling $\beta_l$ between the lumps and $\dot{\phi}$. The four-divergence of $T^\mu_\nu$ is

$$\nabla_\lambda T^\mu_\nu (\dot{\phi}) = \left( \nabla^\lambda \nabla_\lambda \dot{\phi} - V'(\dot{\phi}) \right) \partial^\mu \dot{\phi}. $$

(35)

In order to evaluate the right-hand side, we employ the equation of motion of the full cosmion field $\phi$ inferred from Eq. (1):

$$\nabla^\lambda \nabla_\lambda \dot{\phi} - V'(\dot{\phi}) = \beta T^\nu_\nu.$$  

(36)

Smoothening this relation at the scale $\lambda$ (cf. Sec. III A) at linear order in $\delta \phi_{\text{loc}}$ and inserting into Eq. (35) yields

$$\nabla_\lambda T^\mu_\nu (\dot{\phi}) = \beta T^\nu_\nu \partial^\mu \dot{\phi}. $$

(37)

The right-hand side can be expressed in terms of lump properties by making use of the conservation equation for the total energy-momentum tensor, $\nabla_\lambda T^\mu_\nu (\dot{\phi}) = -\nabla_\lambda T^\mu_\nu _{\text{lumps}}$. The part $\nabla_\lambda T^\mu_\nu _{\text{lumps}}$ can be analyzed in the effective description where lumps are treated as point particles. The equation of motion (37) implies

$$\nabla_\lambda T^\mu_\nu _{\text{lumps}} \approx - \sum_{\text{lumps}} \beta_l T^\mu_\nu _{\text{lumps}}.$$  

(38)

Comparison with Eq. (37) yields

$$\beta T^\nu_\nu = \sum_{\text{lumps}} \beta_l \dot{T}_l,$$

(39)

which is the relation used in Sec. III B.
V. ASPECTS OF STABILITY

The effective description of the cosmological dynamics outlined in Sec. III relies on the assumption of stable lumps. At the current stage of the comprehensive simulation method [8], however, it is not possible to track the evolution of lumps after $z \approx 1$. In this section, we sketch some analytic arguments why stable lumps are expected to form. We start with considerations concerning the angular momentum, Sec. IV A, and construct an explicit example of a static configuration using hydrodynamic equations in Sec. IV B. In the following, we neglect the metric perturbations.

A. Angular momentum

The cosmon-mediated fifth force felt by the neutrinos is stronger than but in some respects similar to gravity. The field equation for $\delta \varphi$, Eq. (17), can be compared to the usual gravitational Poisson equation. The cosmon perturbation $\delta \varphi$ thus plays the role of a potential – similar to the gravitational potential – in which a neutrino particle moves. In contrast to the gravitational case, however, the particle changes its mass $m_\nu = m_\nu(\varphi)$ while moving with velocity $u^\mu$ according to

$$\dot{m}_\nu = - \beta m_\nu \frac{u^\lambda \partial_\lambda \varphi}{u^0}. \quad (40)$$

The loss of mass when moving towards a minimum of the potential implies, by momentum conservation, an additional acceleration [3]. Hence, it has to be investigated whether neutrino lumps are unstable, i.e. continuously shrink to smaller sizes until they are stabilized, e.g., by the degeneracy pressure [20].

The cosmon-mediated fifth force, despite the mass variation along a particle trajectory, shares an important property with gravity: the conservation of angular momentum: the conservation equation for

$$L^{ij}_\nu \equiv \int d^3 x \sqrt{g^{(3)}} l^{ij}_\nu(\varphi), \quad (44)$$

the conservation equation for $l^{ij}_\nu$ in the uncoupled case translates to the conservation law

$$\dot{L}^{ij}_\nu = 0. \quad (45)$$

With the coupling, Eq. (2), we instead obtain

$$\nabla_\alpha \left( a^{-1} l^{ij}_\nu(\varphi) \right) = - a^{-1} \beta T^{ij}_\nu \left( x^i \partial^j \varphi - x^j \partial^i \varphi \right), \quad (46)$$

and thus

$$\dot{L}^{ij}_\nu = \int d^3 x \sqrt{g^{(3)}} a^2 l^{ij}_\nu(\varphi) = \int d^3 x \sqrt{g^{(3)}} \beta T^{ij}_\nu \left( x^i \partial^j \varphi - x^j \partial^i \varphi \right). \quad (47)$$

For a spherically symmetric lump and thus cosmon potential $\varphi = \varphi(t, r)$, it is straightforward to show

$$x^i \partial^i \varphi - x^j \partial^j \varphi = 0. \quad (49)$$

In this case, the quantity $a^2 L^{ij}_\nu$ is indeed conserved. This is related to the fact that a spherically symmetric scalar field does not carry spatial angular momentum,

$$l^{ij}_\nu(\varphi) = - \dot{\varphi} \left( x^i \partial^j \varphi - x^j \partial^i \varphi \right) = 0. \quad (50)$$

The conservation of the total angular momentum $a^2 L^{ij}_\nu$ then reduces to the conservation of $a^2 L^{ij}_\nu$.

Our considerations hold for an arbitrary isotropic and homogeneous background metric. Thus, $a$ does not need to be the cosmic scale factor but can also describe some local properties of the metric. Fluctuations of the metric around the background metric as well as fluctuations of the cosmon around an averaged field as $\dot{\varphi}$ can be added to the neutrino energy-momentum tensor in Eq. (43). The right-hand side of Eq. (48) involves then $\dot{\varphi}$ instead of $\varphi$ and $\beta \dot{t}$ instead of $\beta$, resulting in a reduction of the change of angular momentum. We conclude that angular momentum conservation is similar to standard gravity. This constitutes a strong hint for a dynamic stabilization of the lump.
B. Hydrodynamic balance

We will now study a neutrino lump within a hydrodynamic framework and derive a balance equation for a simple class of lumps. For this purpose, we will employ moments of the neutrino phase-space distribution function \( f(t, x^i, p_j) \) describing the distribution of particles with comoving position \( x^i \) and momentum \( p_j = m_r u_j \). A discussion of stability based on the Tolman-Oppenheimer-Volkoff equation can be found in Ref. [22]. For simplicity, we will restrict ourselves to first-order relativistic corrections in this section. The equations of motion for a neutrino particle under the influence of the fifth force can then be written as

\[
\dot{x}^i = \frac{p^i}{m_r}, \quad \dot{p}_j = \left(1 - \frac{p^i p_k}{2m_r^2}\right) \beta m_r \partial_j \varphi.
\] (51)

The fully relativistic equation in terms of the four-velocity \( u^i \) is presented in [8]. We will consider the following moments of the phase-space distribution function \( f \):

\[
n = \int d^3p f(t, x, p),
\] (52)

\[
n U_i = \int d^3p \frac{p_i}{am_r} f(t, x, p),
\] (53)

\[
\sigma_{ij} + n U_i U_j = \int d^3p \frac{p_i p_j}{am_r am_r} f(t, x, p).
\] (54)

The quantities \( n(t, x), U(t, x) \), and \( \sigma_{ij}(t, x) \) are interpreted as the number density, the locally averaged peculiar velocity, and the velocity dispersion tensor, respectively. Their evolution equations can be derived from the principle of particle conservation in phase-space, which is expressed by the continuity equation

\[
\dot{f} + \frac{\partial(f \dot{x}^i)}{\partial x^i} + \frac{\partial(f \dot{p}_j)}{\partial p_j} = 0.
\] (55)

The whole procedure is similar to the standard case of gravity (cf. Ref. [23]) with the peculiarity of a varying mass \( m_r = m_r(\varphi) \).

Integrating over the momentum in Eq. (55) yields the zeroth moment

\[
\dot{n} + \partial_t (n U_i) = 0,
\] (56)

with \( \partial_t = a^{-1} \partial/\partial t \). A static number density profile, \( \dot{n} = 0 \), is realized if the microscopic motion adds locally up to zero, \( U = 0 \). This is the case for a locally isotropic velocity distribution. For this class of lumps, the equation for \( \dot{U} \), which follows by taking the first moment of Eq. (55) and using the equations of motion (51), takes a particularly simple form:

\[
\dot{U}_i = -\frac{1}{n} \partial_{r_i} \sigma_{ij} + \beta \partial_r \varphi \left(1 - \frac{3\sigma}{2n}\right) + \frac{1}{n} \sigma_{ij} \beta \partial_{r_j} \varphi.
\] (57)

For a static lump, we demand \( \dot{U} = 0 \) in addition to \( \dot{n} = 0 \). A glance at Eq. (57) shows that this requires a certain balance between the effective pressure \( \propto \partial_r \sigma_{ij} \), generated by the microscopic neutrino motion, and the fifth force \( \propto \beta \partial_r \varphi \). Assuming spherical symmetry, the balance equation reads

\[
\frac{1}{n} \sigma' = \beta \varphi' \left(1 - \frac{\sigma}{2n}\right),
\] (58)

with a prime denoting derivatives with respect to the radial coordinate. Here, we have used \( \sigma_{ij} = \sigma \delta_{ij} \). Solving this equation together with the (radial) Klein-Gordon equation for the cosmic field yields static lump configurations. These lump configurations differ from the solutions discussed in Ref. [24] since the stabilizing pressure is now provided by the neutrino motion rather than by the degeneracy pressure.

At first sight, it is not clear whether this staticity condition constitutes a stable equilibrium. We perform an exemplary numerical check by simulating a single, isolated lump with the N-body technique [3]. Rather than starting with a static lump configuration by Eq. (58), we use a somewhat smaller velocity dispersion \( \sigma \). In the subsequent evolution, the lump shrinks and the neutrino pressure increases. Figure 6 shows how the neutrino profile becomes more concentrated and indeed stabilizes. The simulation evolves the lump in physical time \( t \). For convenience, we have translated time intervals \( \Delta t \) to scale factor intervals \( \Delta a \) by the Hubble parameter at \( z = 1 \).

![FIG. 5. The radial profile](image-url)
We have shown in a hydrodynamic analysis of spherically symmetric lumps that the neutrino velocity dispersion indeed stabilizes the lumps against the attractive cosmon-mediated fifth force (Sec. IV). On more general grounds, stability of the lumps is already expected by angular momentum conservation which holds similarly to the gravitational case (Sec. VA). Stable lumps may then be characterized by the amount of bound neutrinos. In numerical simulations of growing neutrino quintessence, we have found lumps containing a fraction up to $\gtrsim 10^{-3}$ of all neutrinos in the Hubble volume, reaching a mass of $\sim 10^{17}$ solar masses (Sec. IIIA). The total number of identified lumps in the Hubble volume is of order $10^4$.

Second, it is not clear a priori that the lumps can be described as particles. The most important aspect here is the vanishing of the total internal pressure. The neutrinos, however, have reached high velocities and an equation of state $w_\nu \approx 0.1$. We have shown that – under idealized conditions – the neutrino pressure is exactly cancelled by a negative pressure contribution from the local cosmon perturbations (Sec. IV). A numerical check is given in Fig. IV. Under realistic conditions, the pressure cancellation may not hold exactly but to a good approximation. Approximate cancellation of neutrino and cosmon pressure occurs at a characteristic radius $r_1$ that is substantially larger than the radius of the neutrino core of the lump. For an effective particle description, $r_1$ is the size of the lump. A fluid description requires that the typical distance between lumps exceeds $r_1$.

Third and finally, a description of the cosmological dynamics requires the equation of motion for the lumps and the field equation for the smoothed field $\varphi$ mediating the interaction between the lumps. These equations have been derived in Sec. III. The decisive quantity characterizing the lump interaction is the effective cosmon-lump coupling $\beta_l$. For small lumps, it approaches the fundamental coupling $\beta$ quantifying the cosmon-mediated fifth force between neutrinos. For big lumps, the effective coupling $\beta_l$ is suppressed by a factor of two to three as compared to $\beta$. Since the attractive force is proportional to the squared coupling, this corresponds to a suppression of the attraction by one order of magnitude.

The effective description of growing neutrino quintessence complements sophisticated numerical techniques as it provides physical insight into the dynamics. Furthermore, the effective description could prove useful in understanding the evolution for redshift $z < 1$, where numerical simulations have not yet been successful [8, 11]. Quantitative results for low redshifts are needed to eventually confront growing neutrino quintessence with observational constraints.

The process of lump formation (in the redshift range $z \approx 1$ to 2) is very complex and still requires a thorough numerical treatment. It constitutes, however, only a transitional period. Thereafter, a physically sound and much simpler picture seems to emerge.

The concepts and methods of averaging developed in this paper are quite general for describing lumps in the presence of long-range interactions mediated by a field. For our purpose, we needed a relativistic treatment. A nonrelativistic version may be applied to different clumping processes where separated interacting lumps are forming. An example could be a gas or liquid of macromolecules for which internal structure does not play a decisive role.

VI. CONCLUSION

We have shown that a simplified, effective description of the cosmological dynamics in the growing neutrino quintessence model is possible. It bases upon describing stable cosmon-neutrino lumps as nonrelativistic particles with an effective interaction. After the main idea was given (Sec. IIIB), several aspects needed to be investigated.

FIG. 6. The total pressure integrated to $r = 100 h^{-1}$Mpc (red, solid) and $20 h^{-1}$Mpc (dark red, dashed). For comparison, we also plot the neutrino contribution covering all neutrinos (black, dotted).

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