Double Trouble: How to not Explain a Text Classifier’s Decisions Using Counterfactuals Synthesized by Masked Language Models?

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Abstract

A principle behind dozens of attribution methods is to take the prediction difference between before-and-after an input feature (here, a token) is removed as its attribution. A popular Input Marginalization (IM) method (Kim et al., 2020) uses BERT to replace a token, yielding more plausible counterfactuals. While Kim et al. (2020) reported that IM is effective, we find this conclusion not convincing as the Deletion-BERT metric used in their paper is biased towards IM. Importantly, this bias exists in Deletion-based metrics, including Insertion, Sufficiency, and Comprehensiveness. Furthermore, our rigorous evaluation using 6 metrics and 3 datasets finds no evidence that IM is better than a Leave-One-Out (LOO) baseline. We find two reasons why IM is not better than LOO: (1) deleting a single word from the input only marginally reduces a classifier’s accuracy; and (2) a highly predictable word is always given near-zero attribution, regardless of its true importance to the classifier. In contrast, making Local Interpretable Model-Agnostic Explanations (LIME) counterfactuals more natural via BERT consistently improves LIME accuracy under several RemOve-And-Retrain (ROAR) metrics.

1 Introduction

Feature attribution maps (AMs), i.e. highlights indicating the importance of each input token w.r.t. a classifier’s decision, can help improve human accuracy on downstream tasks including detecting fake movie reviews (Lai and Tan, 2019) or identifying biases in text classifiers (Liu and Avci, 2019).

Many Leave-One-Out (LOO) methods compute the attribution of an input token by measuring the prediction changes after substituting that token’s embedding with zeros (Li et al., 2016; Jin et al., 2020) or [UNK] (Kim et al., 2020). That is, deleting or replacing features is the underlying principle of at least 25 attribution methods (Covert et al., 2020).

Figure 1: By design, IM erroneously assigns near-zero attribution to highly-predictable words. Color map: negative -1, neutral 0, positive +1. Many words labeled important by humans such as “stepping”, “stone” (a) or “hot”, “air” (b) are always given near-zero attribution by IM (because they are highly predictable by BERT, e.g. 0.9793 for “stepping”) regardless of the classifier. Even when randomizing the classifier’s weights three times, the IM attribution of these words remains unchanged at near zero (IM1 to IM3). Therefore, when marginalizing over the top-k BERT candidates (e.g., “stepping”, “rolling”, “casting”), the IM attribution for low-entropy words tends to zero, leading to heatmaps that are biased, less accurate, and less plausible than LOOempty.

| (a) SST – Groundtruth & target class: “positive” |
|-----------------------------------------------|
| S    | The very definition of the “small” movie, but it is a good stepping stone for director Sprecher. |
| 0.9793 stepping | 0.9760 stone | 0.8712 for |
| 0.0050 rolling | 0.0048 stones | 0.0860 to |
| 0.0021 casting | 0.0043 point | 0.0059, |

| (b) e-SNLI – Groundtruth & target class: “contradiction” |
|-----------------------------------------------|
| P    | A group of people prepare hot air balloons for takeoff. |
| 0.9997 hot | 0.9877 air | 0.9628 balloons |
| 0.0001 compressed 0.0102 water 0.0282 balloon |
| 0.0000 open 0.0008 helium 0.0019 engines |
| H    | A group of people prepare cars for racing. |
| IM2 | A group of people prepare hot air balloons for takeoff. |
| IM7 | A group of people prepare hot air balloons for takeoff. |

| (c) SST – Groundtruth & target class: “negative” |
|-----------------------------------------------|
| IM1 | A group of people prepare hot air balloons for takeoff. |
| IM2 | A group of people prepare hot air balloons for takeoff. |
| IM7 | A group of people prepare hot air balloons for takeoff. |
| IM3 | A group of people prepare hot air balloons for takeoff. |

| (d) e-SNLI – Groundtruth & target class: “contradiction” |
|-----------------------------------------------|
| IM1 | A group of people prepare hot air balloons for takeoff. |
| IM2 | A group of people prepare hot air balloons for takeoff. |
| IM7 | A group of people prepare hot air balloons for takeoff. |

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Based on the evidence in computer vision (Bansal et al., 2020; Zhang et al., 2019), prior works in NLP hypothesized that removing a word from an input text forms out-of-distribution (OOD) inputs that yield erroneous AMs (Kim et al., 2020; Harbecke and Alt, 2020) or AMs inconsistent with human’s perception of causality (Hase et al., 2021). To generate plausible counterfactuals, two teams of researchers (Kim et al., 2020; Harbecke and Alt, 2020) proposed Input Marginalization (IM), i.e. replace a word using BERT (Devlin et al., 2019) and compute an average prediction difference by marginalizing over all predicted words. Kim et al. (2020) claimed that IM yields more accurate AMs (Bansal et al., 2020; Zhang et al., 2019), prior to reproducing their IM results for only one dataset and one evaluation metric.

In this paper, we re-assess their claim by, first, re-implementing their IM results\(^2\), and then rigorously evaluate whether improving the realism of counterfactuals improves two attribution methods (LOO and LIME). On a diverse set of three datasets and six metrics, we find that:

1. The Deletion\(_{\text{BERT}}\) metric in Kim et al. (2020) is biased towards IM as both use BERT to replace words (Sec. 4). In contrast, the vanilla Deletion metric (Arras et al., 2017) favors the LOO\(_{\text{empty}}\) baseline as both delete words. This bias causes a false conclusion that IM is better than LOO baselines in Kim et al. (2020) and also exists in other Deletion variants, e.g., Insertion (Arras et al., 2017), Sufficiency, and Comprehensiveness (DeYoung et al., 2020).

2. We find no evidence that IM is better than a simple LOO\(_{\text{empty}}\) on any of the following four state-of-the-art AM evaluation metrics (which exclude the biased Deletion & Deletion\(_{\text{BERT}}\) ): ROAR, ROAR\(_{\text{BERT}}\) (Hooker et al., 2019) (Sec. 5.1), comparison against human annotations (Sec. 5.2), and sanity check (Adebayo et al., 2018) (Sec. 5.3).

3. We argue that IM is not effective in practice because: (1) deleting a single word from an input has only a marginal effect on classification accuracy (Sec. 5.4); and (2) given a perfect, masked language model \(G\), IM would still be unfaithful because highly predictable words according to \(G\), e.g. “hot”, “air” in Fig.1, are always assigned near-zero attribution in IM regardless of how important they are to the classifier (Sec. B).

4. To further test the main idea of IM, we integrate BERT into LIME (Ribeiro et al., 2016) to replace multiple words (instead of deleting) in an input sequence, making LIME counterfactuals more realistic. We find this technique to improve LIME consistently under multiple ROAR-based metrics, but not under comparison against human annotations (Sec. 6).

To our knowledge, our work is the first to thoroughly study the effectiveness of IM in NLP in both settings of replacing a single word (LOO) and multiple words (LIME). Importantly, we find improvement in the latter but not the former setting.

2 Methods and Related Work

Let \(f : \mathbb{R}^{n \times d} \rightarrow [0, 1]\) be a text classifier that maps a sequence \(x\) of \(n\) token embeddings, each of size \(d\), onto a confidence score of an output label. An attribution function \(A\) takes three inputs—a sequence \(x\), the model \(f\), and a set of hyperparameters \(\mathcal{H}\)—and outputs a vector \(a = A(f, x, \mathcal{H}) \in [-1, 1]^n\). Here, the explanation \(a\) associates each input token \(x_i\) to a scalar \(a_i \in [-1, 1]\), indicating how much \(x_i\) contributes for or against the target label.

**Leave-One-Out** (LOO) is a well-known method (Li et al., 2016; Robnik-Šikonja and Kononenko, 2008; Jin et al., 2020) for estimating the attribution \(a_i\) by computing the prediction-difference after a token \(x_i\) is left out of the input \(x\), creating a shorter sequence \(x_{-i}\):

\[
a_i = f(x) - f(x_{-i})
\]  

Under Pearl (2009) causal framework, the attribution \(a_i\) in Eq. 1 relies on a single, unrealistic counterfactual \(x_{-i}\) and thus is a biased estimate of the individual treatment effect (ITE):

\[
ITE = f(x) - \mathbb{E}[f(x) \mid do(T = 0)]
\]

where the binary treatment \(T\), here, is to keep or “realistically remove” the token \(x_i\) (i.e. \(T = 1\) or \(0\)) in the input \(x\), prior to the computation of \(f(x)\).
Perturbation techniques In computer vision (CV), earlier attribution methods erase a feature by replacing it with (a) zeros (Zeiler and Fergus, 2014; Ribeiro et al., 2016); (b) random noise (Dabkowski and Gal, 2017; Lundberg and Lee, 2017); or (c) blurred versions of the original content (Fong et al., 2019). Yet, these perturbation methods produce unrealistic counterfactuals that make AMs more unstable and less accurate (Bansal et al., 2020).

Recent works proposed to simulate the $do(T = 0)$ operator using an image inpainter. However, they either generated unnatural counterfactuals (Chang et al., 2019; Goyal et al., 2019) or only a single, plausible counterfactual per example (Agarwal and Nguyen, 2020).

Input marginalization (IM) In NLP, IM offers the closest estimate of the ITE. IM computes the $E[.]$ term in Eq. 2 by marginalizing over many plausible counterfactuals generated by BERT:

$$E[f(x) \mid do(T = 0)] = \sum_{\tilde{x}_i \in \mathcal{V}} p(\tilde{x}_i \mid x_{-i}) \cdot f(x_{-i}, \tilde{x}_i) \quad (3)$$

where $\tilde{x}_i$ is a token suggested by BERT (e.g., “hot”, “compressed”, or “open” in Fig. 1) with a likelihood of $p(\tilde{x}_i \mid x_{-i})$ to replace the masked token $x_i$. $\mathcal{V}$ is the BERT vocabulary of 30,522 tokens. $f(x_{-i}, \tilde{x}_i)$ is the classification probability when token $x_i$ in the original input is replaced with $\tilde{x}_i$.

IM attribution is in the log space:

$$a_{IM} = \log\text{-odds}(f(x)) - \log\text{-odds}(E[f(x) \mid do(T = 0)]) \quad (4)$$

where $\log\text{-odds}(p) = \log_2(p/(1 - p))$.

As computing the expectation in Eq. 3 over BERT’s $\sim$30K-word vocabulary is prohibitively slow, IM authors only marginalized over the words that have a likelihood $\geq 10^{-5}$. We are able to reproduce the IM results of Kim et al. (2020) by taking only the top-10 words. That is, using the top-10 words or all words of likelihood $\geq 10^{-5}$ yields slightly different numbers but the same conclusions (Sec. D). Thus, we marginalize over the top-10 for all experiments. Note that under BERT, the top-10 tokens, on average, already account for 81%, 90%, and 92% of the probability mass for SST-2, e-SNLI, & MultiRC, respectively.

BERT Like Kim et al. (2020), we use a pretrained BERT “base”, uncased model (Devlin et al., 2019), from Huggingface (2020), to fill in a [MASK] token to generate counterfactuals in IM.

LIME Based on the idea of IM, we also integrate BERT into LIME, which originally masks out multiple tokens at once to compute attribution. LIME generates a set of randomly masked versions of the input, and the attribution of a token $x_i$, is effectively the mean classification probability over all the masked inputs when $x_i$ is not masked out. On average, each vanilla LIME counterfactual has $50\%$ of tokens taken out, yielding text often with large syntactic and grammatical errors.

LIME$_{BERT}$ We use BERT to replace multiple masked tokens in each masked sentence generated by LIME to construct more plausible counterfactuals. However, for each word, we only use the top-1 highest-likelihood token given by BERT instead of marginalizing over multiple tokens because (1) the full marginalization is prohibitively slow; and (2) the top-1 token already carries most of the weight ($p \geq 0.81$; see Table A3).

3 Experiment framework

3.1 Three datasets

We select a diverse set of three classification datasets that enable us to (1) compare with the results reported by Kim et al. (2020); and (2) assess AMs on six evaluation metrics (described in Sec. 3.3). These three tasks span from sentiment analysis (SST-2), natural language inference (e-SNLI) to question answering (MultiRC), covering a wide range of sequence length (~20, 24, and 299 tokens per example, respectively). SST-2 and e-SNLI were the two datasets where Kim et al. (2020) found IM to be superior to LOO baselines.

SST Stanford Sentiment Treebank (Socher et al., 2013b) is a dataset of $\sim$12K RottenTomato movie-review sentences, which contain human-annotated sentiment annotations for phrases. Each phrase and sentence in SST is assigned a sentiment score $\in \{0, 1\}$ ($0 = \text{negative}$, $0.5 = \text{neutral}$, $1 = \text{positive}$).

SST-2 has $\sim$70K SST examples (including both phrases and sentences) where the regression scores per example were binarized to form a binary classification task (Socher et al., 2013b). We find replacing all tokens at once or one at a time to produce similar LIME$_{BERT}$ results.
e-SNLI A 3-way classification task of detecting whether the relation between a premise and a hypothesis is entailment, neutral or contradiction (Bowman et al., 2015). e-SNLI has 569K instances of (input, label, explanation) where the explanations are crowd-sourced (Camburu et al., 2018).

MultiRC Multi-sentence Reading Comprehension (Khashabi et al., 2018) is a multiple-choice question-answering task that provides multiple input sentences as well as a question and asks the model to select one or multiple correct answer sentences. MultiRC has ~6K examples with human-annotated highlights at the sentence level.

3.2 Classifiers
Following Kim et al. (2020); Harbecke and Alt (2020); Hase et al. (2021), we test IM and LOO baselines in explaining BERT-based classifiers.

For each task, we train a classifier by fine-tuning the entire model, which consists of a classification layer on top of the pre-trained BERT (described in Sec. 2). The dev-set top-1 accuracy scores of our SST-2, e-SNLI, & MultiRC classifiers are 92.66%, 90.92%, and 69.10%, respectively. On the SST binarized dev-set, which contains only sentences, the SST-2-trained classifier’s accuracy is 87.83%.

Hyperparameters Following the training scheme of HuggingFace, we fine-tune all classifiers for 3 epochs using Adam optimizer (Kingma and Ba, 2015) with a learning rate of 0.00002, $\beta_1 = 0.9$, $\beta_2 = 0.999$, $\epsilon = 10^{-8}$. A batch size of 32 and a max sequence length of 128 are used for SST-2 and e-SNLI while these hyperparameters for MultiRC are 8 and 512, respectively. Dropout with a probability of 0.1 is applied to all layers. Each model was trained on an NVIDIA 1080Ti GPU.

3.3 Six evaluation metrics
As there are no groundtruth explanations in XAI, we use six common metrics to rigorously assess IM’s effectiveness. For each classifier, we evaluate the AMs generated for all dev-set examples.

Deletion is similar to “Comprehensiveness” (DeYoung et al., 2020) and is based on the idea that deleting a token of higher importance from the input should cause a larger drop in the output confidence score. We take the original input and delete one token at a time until 20% of the tokens in the input is deleted. A more accurate explanation is expected to have a lower Area Under the output-probability Curve (AUC) (Arras et al., 2017).

Deletion$_{BERT}$ a.k.a. AUC$_{rep}$ in Kim et al. (2020), is a Deletion variant where a given token is replaced by a BERT top-1 suggestion instead of an empty string. Deletion$_{BERT}$ was proposed to minimize the OOD-ness of samples (introduced by deleting words in the vanilla Deletion metric), i.e. akin to integrating BERT into LOO to create IM.

RemOve And Retrain (ROAR) To avoid a potential OOD generalization issue caused by the Deletion metric, a common alternative is to retrain the classifier on these modified inputs (where $N$% of the highest-attribution words are deleted) and measure its accuracy drop (Hooker et al., 2019). A more faithful attribution method is supposed to lead to a re-trained classifier of lower accuracy as the more important words have been deleted from training examples. For completeness, we also implement ROAR$_{BERT}$, which uses BERT to replace the highest-attribution tokens$^4$ instead of deleting them without replacement in ROAR.

Agreement with human-annotated highlights In both CV and NLP, a common AM evaluation metric is to assess the agreement between AMs and human annotations (Wiegreffe and Marasovic, 2021). The idea is that as text classifiers well predict the human labels of an input text, their explanations, i.e. AMs, should also highlight the tokens that humans deem indicative of the groundtruth label.

Because human annotators only label the tokens supportive of a label (e.g. Fig. 2), when comparing AMs with human annotations, we zero out the negative values in AMs. Following Zhou et al. (2016), we binarize a resulting AM at an optimal threshold $\tau$ in order to compare it with human-annotated highlights under Precision@1.

Sanity check (Adebayo et al., 2018) is a well-known metric for testing insensitivity (i.e. bias) of attribution methods w.r.t. model parameters. For ease of interpretation, we compute the % change of per-word attribution values in sign and magnitude as we randomize the classification layer’s weights. A better attribution method is expected to be more sensitive to the classifier’s weight randomization.

4 Bias of Deletion metric and its variants
In explaining SST-2 classifiers, we successfully reproduce the AUC$_{rep}$ results reported in Kim et al. (2020), i.e. IM outperformed LOO$_{zero}$ and LOO$_{unk}$, which were implemented by replacing a

$^4$The chance that a sentence remains unchanged after BERT replacement is low, $\leq 1\%$. 

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word with the [PAD] and [UNK] token of BERT, respectively (Table 1). However, we hypothesize that Deletion\textsubscript{BERT} is biased towards IM as both use BERT to replace words, yielding a false sense of IM effectiveness reported in Kim et al. (2020).

To test this hypothesis, we add another baseline of LOO\textsubscript{empty}, which was not included in Kim et al. (2020), i.e. erasing a token from the input without replacement (Eq. 1), mirroring the original Deletion metric. To compare with IM, all LOO methods in this paper are also in the log-odds space.

**Results** Interestingly, we find that, under Deletion, on both SST-2 and e-SNLI, IM underperformed all three LOO baselines and that LOO\textsubscript{empty} is the highest-performing method (Table 1a). In contrast, IM is the best method under Deletion\textsubscript{BERT}.

Re-running the same experiment but sampling replacement words from RoBERTa (instead of BERT), we find the same finding that LOO\textsubscript{empty} is the best under Deletion while IM is the best under Deletion\textsubscript{BERT} (Table 1b).

| Task | Metrics ↓ | IM | LOO\textsubscript{zero} | LOO\textsubscript{unk} | LOO\textsubscript{empty} |
|------|-----------|----|----------------|-----------------|----------------|
|      |           |    | (a) BERT        |                 |                |
| SST-2| Deletion  | 0.4732 | 0.4374 | 0.4464 | 0.4241 |
|      | Deletion\textsubscript{BERT} | 0.4922 | 0.4970 | 0.5047 | 0.5065 |
| e-SNLI| Deletion | 0.3912 | 0.2798 | 0.3742 | 0.2506 |
|      | Deletion\textsubscript{BERT} | 0.2816 | 0.3240 | 0.3636 | 0.3328 |
|      |           |    | (b) RoBERTa      |                 |                |
| SST-2| Deletion  | 0.4981 | 0.4524 | 0.4595 | 0.4416 |
|      | Deletion\textsubscript{BERT} | 0.4978 | 0.5037 | 0.5087 | 0.4998 |

Table 1: IM is the best method under Deletion\textsubscript{BERT}, as reported in Kim et al. (2020), but the worst under Deletion. Both metrics measure AUC (lower is better).

To our knowledge, our work is the first to document this bias of the Deletion metric widely used in the literature (Hase et al., 2021; Wiegreffe and Marasović, 2021; Arras et al., 2017). This bias, in principle, also exists in other Deletion variants including Insertion (Arras et al., 2017), Sufficiency, and Comprehensiveness (DeYoung et al., 2020).

5 No evidence that IM is better than LOO

To avoid the critical bias of Deletion and Deletion\textsubscript{BERT}, we further compare IM and LOO on four common metrics that are not Deletion-based.

5.1 Under ROAR and ROAR\textsubscript{BERT}, IM is on-par with or worse than LOO\textsubscript{empty}

A lower AUC under Deletion may be the artifact of the classifier misbehaving under the distribution shift when one or multiple input words are deleted. ROAR (Hooker et al., 2019) was designed to ameliorate this issue by re-training the classifier on a modified training-set (where the top $N\%$ highest-attribution tokens in each example are deleted) before evaluating their accuracy.

To more objectively assess IM, we use ROAR and ROAR\textsubscript{BERT} metrics to compare IM vs. LOO\textsubscript{empty} (i.e. the best LOO variant in Table 1).

**Experiment** For both IM and LOO\textsubscript{empty}, we generate AMs for every example in the SST-2 train and dev sets, and remove $N\%$ highest-attribution tokens per example to create new train and dev sets. We train 5 models on the new training set and evaluate them on the new dev set. We repeat ROAR and ROAR\textsubscript{BERT} with $N \in \{10, 20, 30\}$.^5

**Results** As more tokens are removed (i.e. $N$ increases), the mean accuracy of 5 models gradually decreases (Table 2; from 92.66% to ~67%). Under both ROAR and ROAR\textsubscript{BERT}, the models trained on the new training set derived from LOO\textsubscript{empty} AMs often obtain lower (i.e. better) mean accuracy than those of IM (Table 2a vs. b). At $N = 10\%$ under ROAR, LOO\textsubscript{empty} outperforms IM (Table 2; 74.59 vs. 76.22), which is statistically significant (2-sample t-test, $p = 0.037$). In all other cases, the difference between IM vs. LOO\textsubscript{empty} is not statistically significant.

In sum, under both ROAR and ROAR\textsubscript{BERT}, IM is not more faithful than LOO\textsubscript{empty}.

5.2 LOO\textsubscript{empty} aligns significantly better with human annotations than IM

Following Wiegreffe and Marasović (2021), to increase our understanding of the differences between LOO\textsubscript{empty} and IM, we compare the two methods against the human-annotated highlights for SST, e-SNLI, and MultiRC.

**Annotation preprocessing** To control for quality, we preprocess the human annotations in each dataset as the following. In SST, where each sentence has multiple phrases labeled with a sentiment score $\in [0, 1]$ (0.5 being the “neutral” midpoint), we only use the phrases that have high-confidence

^5We do not use $N \geq 40$ because: (1) according to SST human annotations, only 37% of the tokens per example are labeled “important” (Table A2c); and (2) SST-2 examples are short and may contain as few as 4 tokens per example.
sentiment scores, i.e. $\leq 0.3$ (for “negative”) or $\geq 0.7$ (for “positive”). Also, we do not use the annotated phrases that are too long, i.e., longer than 50% of the sentence length.

Each token in an e-SNLI example are labeled “important” by between 0–3 annotators. To filter out noise, we only use the tokens that are highlighted by at least two or three annotators (hereafter “L2” and “L3” subsets, respectively).

A MultiRC example contains a question and a paragraph where each sentence is labeled “important” or “unimportant” to the groundtruth answer (Fig. A10). We convert these sentence-level highlights into token-level highlights to compare them with the binarized AMs of IM and LOOempty.

Experiment We run IM and LOOempty on the BERT-based classifiers on the dev set of SST, e-SNLI, and MultiRC. All AMs generated are binarized using a threshold $\tau \in \{0.05x \mid 0 < x < 20 \text{ and } x \in \mathbb{N}\}$. We compute the average IoU, precision, recall, and F1 over pairs of (human binary map, binarized AM) and report the results at the optimal $\tau$ of each explanation method. For both LOOempty and IM, $\tau = 0.1$ on SNLI-L2 and 0.05 on both SST-2 and MultiRC. On SNLI-L3, $\tau$ is 0.40 and 0.45 for LOOempty and IM, respectively.

SST results We found that LOOempty aligns better with human highlights than IM (Figs. 2 & A12). LOOempty outperforms IM in both F1 and IoU scores (Table 3a; 0.2756 vs 0.2377) with a notably large recall gap (0.6077 vs. 0.5245).

Table 2: Dev-set mean accuracy (%) of 5 models trained on the new SST-2 examples where $N$% of highest-attribution words per example are removed (i.e. ROAR) or replaced via BERT (i.e. ROAR$_{BERT}$). On average, under both metrics, LOOempty (a) is slightly better, i.e. lower mean accuracy, than IM (b). Notably, LOOempty statistically significantly outperforms IM under ROAR at $N = 10\%$ (2-sample t-test; $p = 0.037$) (d). Both LOOempty and IM substantially outperform a random baseline (c) that considers $N$% random tokens important.

| Method         | $N = 0\%$ | 10%   | 20%   | 30%   | 10%   | 20%   | 30%   |
|----------------|-----------|-------|-------|-------|-------|-------|-------|
| (a) LOOempty   | 92.62 ± 0.30 | 74.59 ± 0.78 | 68.94 ± 1.46 | 67.89 ± 0.79 | 76.79 ± 0.56 | 71.95 ± 0.75 | 67.62 ± 1.16 |
| (b) IM         | 92.62 ± 0.30 | 76.22 ± 1.18 | 70.07 ± 0.69 | 66.54 ± 1.89 | 77.36 ± 0.90 | 71.56 ± 1.55 | 67.68 ± 0.96 |
| (c) Random     | 92.62 ± 0.30 | 89.22 ± 0.53 | 87.75 ± 0.19 | 85.62 ± 0.53 | 89.38 ± 0.47 | 88.23 ± 0.31 | 85.21 ± 0.47 |
| (d) t-test p-value | N/A | 0.0370 | 0.1740 | 0.1974 | 0.2672 | 0.6312 | 0.9245 |

Table 3: Compared to IM, LOOempty is substantially more consistent with human annotations over all three datasets. Note that the gap between LOOempty and IM is $\sim 3\times$ wider when comparing AMs with the e-SNLI tokens that at least three annotators label “important” (i.e. L3), compared to L2 (higher is better). LIME$_{BERT}$ explains are slightly less consistent with human highlights than those of LIME (a) despite their counterfactuals are more realistic.

**SST results** We found that LOOempty aligns better with human highlights than IM (Figs. 2 & A12). LOOempty outperforms IM in both F1 and IoU scores (Table 3a; 0.2756 vs 0.2377) with a notably large recall gap (0.6077 vs. 0.5245).

**e-SNLI and MultiRC results** Similarly, in both tasks, LOOempty explanations are more consistent with human highlights than IM explanations under all four metrics (see Table 3b–d and qualitative examples in Figs. 3 & A13–A16).

Remarkably, in MultiRC where each example is substantially longer ($\sim$299 tokens per example)
than those in the other tasks, the recall and F1 scores of LOOempty is, respectively, 2× and 4× higher than those of IM (see Table 3).

| e-SNLI example. Groundtruth & Prediction: “entailment” |
|--------------------------------------------------------|
| P | Two men dressed in black practicing martial arts on a gym floor. |
| H | Two men are doing martial arts. |
| IM | Two men dressed in black practicing martial arts on a gym floor. |
| | Two men are doing martial arts. |
| | IoU: 0.09, precision: 0.17, recall: 0.16 |
| LOO | Two men dressed in black practicing martial arts on a gym floor. |
| | Two men are doing martial arts. |
| | IoU: 0.50, precision: 0.56, recall: 0.83 |

Figure 3: LOOempty important words are in a stronger agreement with human highlights than IM important words. Each e-SNLI example contains a pair of premise (P) and hypothesis (H).

5.3 IM is insensitive to model randomization

Adebayo et al. (2018) found that many attribution methods can be surprisingly biased, i.e. insensitive to even randomization of the classifier’s parameters. Here, we test the degree of insensitivity of IM when the last classification layer of BERT-based classifiers is randomly re-initialized. We use three SST-2 classifiers and three e-SNLI classifiers.

Surprisingly, IM is consistently worse than LOOempty, i.e. more insensitive to classifier randomization. That is, on average, the IM attribution of a word changes signs (from positive to negative or vice versa) less frequently, e.g. 62.27% of the time, compared to 71.41% for LOOempty on SST-2 (Table A5a). The average change in attribution magnitude of IM is also ~1.5× smaller than that of LOOempty (Table A5b).

For example, the IM attribution scores of hot, air or balloons in Fig. 1 remain consistently unchanged near-zero even when the classifier is randomized three times. That is, each of these three words is ~100% predictable by BERT given the other two words (Fig. 1b; IM1 to IM3) and, hence, will be assigned a near-zero attribute by IM (by construction, via Eqn. 3 & 4) regardless of how important these words actually are to the classifier. Statistically, this is a major issue because across SST, e-SNLI, and MultiRC, we find BERT to correctly predict the missing word ~49, 60, 65% of the time, respectively (Sec. A). And that the average likelihood score of a top-1 exact-match token is high, ~0.81–0.86 (Sec. B), causing the highly predicted words (e.g., hot) to always be assigned low attribution regardless of their true importance to the classifier.

We find this insensitivity to be a major, theoretical flaw of IM in explaining a classifier’s decision at the word level. By analyzing the overlap between IM explanations and human highlights (generated in experiments in Sec. 5.2), we find consistent results that IM explanations have significantly smaller attribution magnitude per token (Sec. A) and substantially lower recall than LOO (Sec. B).

5.4 Classification accuracy only drops marginally when one token is deleted

Our previous results show that replacing a single word by BERT (instead of deleting) in IM creates more realistic inputs but actually hurts the AM quality w.r.t. LOO. This result interestingly contradicts the prior conclusions (Kim et al., 2020; Harbecke and Alt, 2020) and assumptions (Hase et al., 2021) of the superiority of IM over LOO.

To understand why using more plausible counterfactuals did not improve AM explainability, we assess the ∆ drop in classification accuracy when a word is deleted (i.e., LOOempty samples; Fig. A17) and the ∆ when a word is replaced via BERT (i.e. IM samples).

Results Across SST, e-SNLI, and MultiRC, the accuracy scores of classifiers only drop marginally ~1–4 points (Table 4) when a single token is deleted. See Figs. A17 & A18 for qualitative examples showing that deleting a single token hardly changes the predicted label. Whether a word is removed or replaced by BERT is almost unimportant in tasks with long examples such as MultiRC (Table 4; 1.10 and 0.24). In sum, we do not find the unnaturalness of LOO samples to substantially hurt model performance, questioning the need raised in (Hase et al., 2021; Harbecke and Alt, 2020; Kim et al., 2020) for realistic counterfactuals.

6 Replacing (instead of deleting) multiple words can improve explanations

We find that deleting a single word only marginally affects classification accuracy. Yet, deleting ~50% of words, i.e. following LIME’s counterfactual sampling scheme, actually substantially reduces classification accuracy, e.g. −16.38 point on SST and −25.74 point on e-SNLI (Table 4c). There-
| ∆ drop in accuracy (%) | SST | e-SNLI | MultiRC |
|-------------------------|-----|--------|---------|
| (a) LOO (1-token deleted) | 3.52 | 4.92  | 1.10   |
| (b) IM (1-token replaced)  | 2.20 | 4.86  | 0.24   |
| (c) LIME (many tokens deleted) | 16.38 | 25.74 | 17.85 |

Table 4: The dev-set accuracies on SST, e-SNLI and MultiRC (87.83%, 90.92%, and 69.10%, respectively) only drop marginally when a single token is deleted (a) or replaced using BERT (b). In contrast, LIME samples cause the classification accuracy to drop substantially (e.g. 16.38 points on SST).

Therefore, it is interesting to test whether the core idea of harnessing BERT to replace words has merits in improving LIME whose counterfactuals are extremely OOD due to many missing words.

### 6.1 LIME\textsubscript{BERT} attribution maps are not more aligned with human annotations

Similar to Sec. 5.2, here, we compare LIME and LIME\textsubscript{BERT} AMs with human SST annotations (avoiding the Deletion-derived metrics due to their bias described in Sec. 4).

**Experiment** We use the default hyperparameters of the original LIME \cite{Ribeiro2021} for both LIME and LIME\textsubscript{BERT}. The number of counterfactual samples was 1,000 per example.

**Results** Although LIME\textsubscript{BERT} counterfactuals are more natural, the derived AMs are surprisingly less plausible to human than those generated by the original LIME. That is, compared to human annotations in SST, LIME\textsubscript{BERT}'s IoU, precision and F1 scores are all slightly worse than those of LIME (Table 3a). Consistent with the IM vs. LOO\textsubscript{empty} comparison in Sec. 5.2, replacing one or more words (instead of deleting them) using BERT in LIME generates AMs that are similarly or less aligned with humans.

To minimize the possibility that the pre-trained BERT is suboptimal in predicting missing words on SST-2, we also finetune BERT using the mask-language modeling objective on SST-2 (see details in Sec. C) and repeat the experiment in this section. Yet, interestingly, we find the above conclusion to not change (Table 3a; LIME\textsubscript{BERT,SST2} is worse than LIME). In sum, for both LOO and LIME, we find no evidence that using realistic counterfactuals from BERT causes AMs to be more consistent with words that are labeled “important” by humans.

### 6.2 LIME\textsubscript{BERT} consistently outperforms LIME under three ROAR metrics

To thoroughly test the idea of using BERT-based counterfactuals in improving LIME explanations, we follow Sec. 5.1 and compare LIME\textsubscript{BERT} and LIME under three ROAR metrics: (1) ROAR; (2) ROAR\textsubscript{BERT}; and (3) ROAR\textsubscript{BERT,SST2}, i.e. which uses the BERT finetuned on SST-2 to generate training data.

**Experiment** Similar to the previous section, we take the dev set of SST-2 and generate a LIME AM and a LIME-BERT AM for each SST-2 example. For ROAR\textsubscript{BERT,SST2}, we re-use the BERT finetuned on SST-2 described in Sec. 6.1.

**Results** Interestingly, we find that LIME\textsubscript{BERT} slightly, but consistently outperforms LIME via all three ROAR metrics tested (Fig. 4; dotted lines are above solid lines). That is, LIME\textsubscript{BERT} tend to highlight more discriminative tokens in the text than LIME, yielding a better ROAR performance (i.e. lower accuracy in Table A6). This result is consistent across all three settings of removing 10%, 20%, and 30% most important words, and when using either pre-trained BERT or BERT finetuned on SST-2.

![Figure 4: LIME\textsubscript{BERT} slightly, but consistently outperforms LIME when evaluated under either ROAR or ROAR\textsubscript{BERT}. The each point in the y-axis shows the mean accuracy of five different classifiers. See more results supporting the same conclusion in Table A6.](image)

### 7 Discussion and Conclusion

We find in Sec. 5.3 that IM is highly insensitive to classifier’s changes because, by design, it always assigns near-zero attribution to highly-predictable words $x_i$ regardless of their true importance to a target classifier. A solution may be to leave such
$x_i$ token out of the marginalization (Eq. 3), i.e. only marginalizing over the other tokens suggested by BERT. However, these other replacement tokens altogether have a sum likelihood of 0. That is, replacing token $x_i$ by zero-probability tokens (i.e. truly implausible) would effectively generate OOD text, which, in turn is not desired (Hase et al., 2021).

Our results in Sec. 6.2 suggests that IM might be more useful at the phrase level (Jin et al., 2020) instead of word level as deleting a set of contiguous words has a larger effect to the classifier predictions.

In sum, for the first time, we find that the popular idea of harnessing BERT to generate realistic counterfactuals (Hase et al., 2021; Harbecke and Alt, 2020; Kim et al., 2020) does not actually improve upon a simple LOO_empty in practice as an LOO_empty counterfactual only has a single word deleted. In contrast, we observe more expected benefits of this technique in improving methods like LIME that has counterfactuals that are extremely syntactically erroneous when multiple words are often deleted.

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Appendix

A IM explanations have smaller attribution magnitude per token and lower word coverage

To further understand the impact of the fact that BERT tends to not change a to-remove token (Sec. B), here, we quantify the magnitude of attribution given by IM and its coverage of important words in an example.

Smaller attribution magnitude  Across three datasets, the average absolute values of attribution scores (which are \( \in [-1, 1] \)) of IM are not higher than that of LOO empty (Table A1). Especially in MultiRC, IM average attribution magnitude is 4.5 times lower than that of LOO empty (0.02 vs 0.09).

| Method     | SST   | e-SNLI | MultiRC |
|------------|-------|--------|---------|
| LOO empty  | 0.22  ± 0.27 | 0.15 ± 0.24 | **0.09 ± 0.09** |
| IM         | 0.17 ± 0.27 | 0.15 ± 0.27 | 0.02 ± 0.09 |

Table A1: The average absolute value of attribution scores per token of LOO empty is consistently higher than that of IM.

Lower word coverage  We define coverage as the average number of highlighted tokens per example (e.g. Fig. 1) after binarizing a heatmap at the method’s optimal threshold.

The coverage of LOO empty is much higher than that of IM on SST (40% vs 30%) and MultiRC examples (27% vs 6%), which is consistent with the higher recall of LOO empty (Table A2; a vs. b). For e-SNLI, although IM has higher coverage than LOO empty (14% vs. 10%), the coverage of LOO empty is closer to the human coverage (9%). That is, IM assigns high attribution incorrectly to many words, resulting in a substantially lower precision than LOO empty, according to e-SNLI L3 annotations (Table 3b; 0.3814 vs. 0.4687).

In sum, chaining our results together, we found BERT to often replace a token \( x_i \) by an exact-match with a high likelihood (Sec. B), which sets a low empirical upper-bound on attribution values of IM, causing IM explanations to have smaller attribution magnitude. As the result, after binarization, fewer tokens remain highlighted in IM binary maps (e.g. Fig. 3).

B By design, IM always assigns near-zero attribution to high-likelihood words regardless of classifiers

We observe that IM scores a substantially lower recall compared to LOO empty (e.g. 0.0630 vs. 0.2876; Table 3d). That is, IM tends to incorrectly assign too small of attribution to important tokens. Here, we test whether this low-recall issue is because BERT is highly accurate at predicting a single missing word from the remaining text and therefore assigns a high likelihood to such words in Eq. 3, causing low IM attribution in Eq. 2.

Experiment  For each example in all three datasets, we replaced a single word by BERT’s top-1 highest-likelihood token and measured its likelihood and whether the replacement is the same as the original word.

Results  Across SST, e-SNLI, and MultiRC, the top-1 BERT token matches exactly the original word \( \sim 49, 60, 65\% \) of the time, respectively (Table A3a). This increasing trend of exact-match frequency (from SST, e-SNLI \( \rightarrow \) MultiRC) is consistent with the example length in these three datasets, which is understandable as a word tends to be more predictable given a longer context. Among the tokens that human annotators label “important”, this exact-match frequency is similarly high (Table A3b). Importantly, the average likelihood score of a top-1 exact-match token is high, \( \sim 0.81–0.86 \) (Table A3c). See Fig. 1 & Figs. A6–A11 for qualitative examples.

Our findings are aligned with IM’s low recall. That is, if BERT fills in an exact-match \( \tilde{x}_i \) for an original word \( x_i \), the prediction difference for this replacement \( \tilde{x}_i \) will be 0 in Eq. 4. Furthermore, a high likelihood of \( \sim 0.81 \) for \( \tilde{x}_i \) sets an empirical upper-bound of 0.19 for the attribution of the word \( x_i \), which explains the insensitivity of IM to classifier randomization (Fig. 1; IM\(_1 \) to IM\(_3 \)).
The analysis here is also consistent with our additional findings that IM attribution tends to be smaller than that of LOO\textsubscript{empty} and therefore leads to heatmaps of lower coverage of the words labeled “important” by humans (see Sec. A).

C Train BERT as masked language model on SST-2 to help filling in missing words

Integrating pre-trained BERT into LIME helps improve LIME explanations under two ROAR metrics (Sec. 6). However, the pre-trained BERT might be suboptimal for the cloze task on SST-2 sentences as it was pre-trained on Wikipedia and BookCorpus. Therefore, here, we take the pre-trained BERT, and finetune it on SST-2 training set using the masked language modeling objective. That is, we aim to test whether having a more specialized BERT would improve LIME results even further.

Training details We follow the hyperparameters by (Huggingface, 2020) and use Adam optimizer (Kingma and Ba, 2015) with a learning rate of 0.00005, $\beta_1 = 0.9$, $\beta_2 = 0.999$, $\epsilon = 10^{-8}$, a batch size of 8, max sequence length of 512 and the ratio of tokens to mask of 0.15. We finetune the pre-trained BERT on SST-2 (Socher et al., 2013a) train set and select the best model using the dev set.

Results On the SST-2 test set of 1,821 examples that contain 35,025 tokens in total, the cross-entropy loss of pre-trained BERT and BERT-SST2 are $3.50 \pm 4.58$ and $3.29 \pm 4.40$, respectively. That is, our BERT finetuned on SST-2 is better than pre-trained BERT at predicting missing words in SST-2 sentences.

D Comparison between original and modified version of Input Marginalization

We follow Kim et al. (2020) to reproduce results of the original Input Marginalization (IM) (Table A4a–b). To reduce the time complexity of Input Marginalization, we propose a modified version (IM-top10) by only marginalizing over the top-10 tokens sampled from BERT rather than using all tokens of likelihood $\geq$ a threshold $\sigma = 10^{-5}$. We find that IM-top10 has comparable performance to that of the original IM (0.4732 vs. 0.4783; Table A4c). Our IM-top10 quantitative results are also close to the original numbers reported in Kim et al. (2020) (0.4922 vs. 0.4972; Table A4).

Table A4: The approximation in of IM-top10 compared to the original IM under two metrics on SST-2 task. Both metrics measure AUC (lower is better).

| Metrics | a. IM (reported in Kim et al. (2020)) | b. IM | c. IM-top10 |
|---------|--------------------------------------|-------|-------------|
| Deletion | n/a | 0.4783 | 0.4732 |
| Deletion\textsubscript{BERT} | 0.4972 | 0.4824 | 0.4922 |

We also find high qualitative similarity between heatmaps produced by two versions: IM vs. IM-top10 (Figs. A1–5). The average Pearson correlation score across the SST-2 8720-example test set is fairly high ($\rho = 0.7224$). Thus, we use IM-top10 for all experiments in this paper.

E Sanity check result

| Criteria | Method | SST-2 | e-SNLI |
|----------|--------|-------|-------|
| (a) % tokens changing sign | LOO\textsubscript{empty} | **71.41 ± 17.12** | **56.07 ± 21.82** |
| | IM | 62.27 ± 17.75 | 49.57 ± 20.35 |
| (b) Average absolute of differences | LOO\textsubscript{empty} | **0.46 ± 0.18** | **0.26 ± 0.14** |
| | IM | 0.31 ± 0.12 | 0.16 ± 0.12 |

Table A5: The percentage (%) of token (a) whose attribution scores change signs and (b) the average of absolute differences in attribution magnitude after classifier randomization (higher is better). IM is consistently more insensitive than LOO\textsubscript{empty} in both SST-2 and e-SNLI.
| SST-2 example. Groundtruth: “positive” & Prediction: “positive” (Confidence: 0.9996) |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| IM                              | among                           | the                              | year                            | ’s                              | most                            | intriguing                      | explorations                   | of                              | alienation                      | .                              |
|                                 | 1.815                           | 0.0118                           | 0.54158                         | 0.22394                         | 1.03458                         | 5.03105                        | 1.94109                        | 1.53783                         | -0.31367                       | -0.0026                         |
| IM modified                     | 2.64685                         | 0.03574                          | 0.34608                         | 0.51827                         | 1.61421                         | 5.74711                        | 4.16886                        | 2.30276                         | -0.35139                       | 0.01431                         |

Figure A1: Color map: negative -1, neutral 0, positive +1. Attribution maps derived from both versions of IM have a high Pearson correlation $\rho = 0.988$.

| SST-2 example. Groundtruth: “positive” & Prediction: “positive” (Confidence: 0.9994) |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| IM                              | a                               | solid                            | examination                     | of                              | the                              | male                            | midlife                        | crisis                          | .                              |
|                                 | 1.07654                         | 6.16288                          | 2.91817                         | -0.01502                        | 0.14328                         | -0.40143                       | 0.1654                         | 1.29851                         | 1.2264                         |
| IM modified                     | 1.83532                         | 5.85144                          | 2.89864                         | 0.00083                         | 0.02024                         | -0.11491                       | 0.06725                        | 1.11138                         | 0.05947                         |

Figure A2: Color map: negative -1, neutral 0, positive +1. Attribution maps derived from both versions of IM have a high Pearson correlation $\rho = 0.917$.

| SST-2 example. Groundtruth: “negative” & Prediction: “positive” (Confidence: 0.9868) |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| IM                              | rarely                          | has                              | leukemia                        | looked                          | so                              | shimmering                     | and                            | benign                          | .                              |
|                                 | 6.62645                         | 0.98643                          | -2.15698                        | -0.16744                        | 0.59491                         | 8.38053                        | 3.50372                        | 0.15773                         | 0.05112                         |
| IM modified                     | 3.11005                         | 0.58616                          | -3.29759                        | -0.20848                        | 0.3003                          | 8.72728                        | 3.81542                        | 0.26226                         | 0.04914                         |

Figure A3: Color map: negative -1, neutral 0, positive +1. Attribution maps derived from both versions of IM have a high Pearson correlation $\rho = 0.983$.

| SST-2 example. Groundtruth: “negative” & Prediction: “negative” (Confidence: 0.9950) |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| IM                              | unfortunately                   | .                               | it                              | %                               | not                             | emotional                      | by                              | fun                             | unless                          | you                            |
|                                 | 0.97455                         | -0.00063                         | -0.00634                        | -0.15033                        | 0.81403                         | -1.31111                       | 0.76075                        | -0.03599                        | -0.00042                        | -0.22804                       | 0.27508                        |
| IM modified                     | unfortunately                   | .                               | it                              | %                               | not                             | emotional                      | by                              | fun                             | unless                          | you                            | enjoy                          |
|                                 | 1.6679                          | -0.00071                         | -0.00764                        | -0.35265                        | 0.35085                         | -1.66004                       | 0.00029                        | 0.37561                        | 0.00036                         | -0.46997                       | 0.35344                        |

Figure A4: Color map: negative -1, neutral 0, positive +1. Attribution maps derived from both versions of IM have a high Pearson correlation $\rho = 0.802$.

| SST-2 example. Groundtruth: “positive” & Prediction: “negative” (Confidence: 0.7999) |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| IM                              | unfortunately                   | documentary                     | which                           | is                              | emotionally                    | by                              | focusing                        | on                              | the                            | story                          |
|                                 | -7.28064                       | -2.3813                         | -4.66492                        | -0.11221                        | -0.40183                       | 8.17448                        | 1.71321                        | 0.06288                         | 0.00117                         | -0.61413                       | 1.74289                        |
| IM modified                     | -3.96034                       | -1.1229                         | -2.38742                        | 0.27084                         | 0.07982                        | 11.69405                       | 0.68146                        | 0.88040                         | 0.00308                         | -0.42066                       | 2.63444                        |

Figure A5: Color map: negative -1, neutral 0, positive +1. Attribution maps derived from both versions of IM have a high Pearson correlation $\rho = 0.950$. 
Table A6: Dev-set mean accuracy (%) of 5 models trained on the new SST-2 examples where \( N \% \) of highest-attribution words per example are removed (i.e. ROAR), replaced via BERT (i.e. ROAR\textsubscript{BERT}) or BERT finetuned on SST-2 to fill in a [MASK] token (i.e. ROAR\textsubscript{BERT,SST2}). The original accuracy when no tokens are removed (i.e. \( N = 0 \% \)) is 92.62 ± 0.30. On average, under three metrics, LIME\textsubscript{BERT} (b) and LIME\textsubscript{BERT,SST2} (c) are better, i.e. lower mean accuracy, than LIME (a).

SST example. Groundtruth: “positive”

\[ S \text{ may not have generated many sparks, but with his affection for Astoria and its people he has given his tale a warm glow.} \]

\[ S_1 \text{ may not have generated many sparks, but with his affection for Astoria and its people he has given his tale a warm glow.} \]

\[
\begin{array}{rlll}
0.9494 & \text{he} & 0.9105 & \text{given} \\
0.0103 & \text{it} & 0.0285 & \text{lent} \\
0.0066 & . & 0.0143 & \text{another} \\
\end{array}
\]

Figure A6: BERT often correctly predicts the masked tokens (denoted in red, green, blue rectangles) and assigns a high likelihood to the tokens that are labeled important by humans in the SST “positive” example. In each panel, we show the top-3 tokens suggested by BERT and their associated likelihoods.

SST example. Groundtruth: “negative”

\[ S \text{ Villeneuve spends too much time wallowing in Bibi’s generic angst (there are a lot of shots of her gazing out windows).} \]

\[ S_1 \text{ Villeneuve spends too much time wallowing in Bibi’s generic angst (there are a lot of shots of her gazing out windows).} \]

\[
\begin{array}{rlll}
0.9987 & \text{much} & 0.9976 & \text{time} \\
0.0011 & \text{little} & 0.0005 & \text{money} \\
0.0001 & \text{some} & 0.0003 & \text{space} \\
\end{array}
\]

Figure A7: BERT often correctly predicts the masked tokens (denoted in red, green, blue rectangles) and assigns a high likelihood to the tokens that are labeled important by humans in the SST “negative” example. In each panel, we show the top-3 tokens suggested by BERT and their associated likelihoods.

e-SNLI example. Groundtruth: “entailment”

\[ P \text{ The two farmers are working on a piece of John Deere equipment.} \]

\[ H \text{ John Deere equipment is being worked on by two farmers.} \]

\[
\begin{array}{rll}
0.9995 & \text{john} & 0.9877 \\
0.0000 & \text{johnny} & 0.0057 \\
0.0000 & \text{henry} & 0.0024 \\
\end{array}
\]

Figure A8: BERT often correctly predicts the masked tokens (denoted in red, green, blue rectangles) and assigns a high likelihood to the tokens that are labeled important by humans in the e-SNLI “entailment” example which contains a pair of premise (P) and hypothesis (H). In each panel, we show the top-3 tokens suggested by BERT and their associated likelihoods.
Figure A9: BERT often correctly predicts the masked tokens (denoted in red, green, blue rectangles) and assigns a high likelihood to the tokens that are labeled important by humans in the e-SNLI “neutral” example which contains a pair of premise (P) and hypothesis (H). In each panel, we show the top-3 tokens suggested by BERT and their associated likelihoods.

| e-SNLI example. Groundtruth: “neutral” |
|-----------------------------------------|
P: A man uses a projector to give a presentation . |
H: A man is giving a presentation in front of a large crowd . |
| P1: A man uses a projector to give a presentation . |
H1: A man is giving a presentation in front of a large crowd . |

| 1.0000 | front | 0.9999 | of | 0.9993 | a |
| 0.0000 | view | 0.0000 | to | 0.0005 | the |
| 0.0000 | presence | 0.0000 | with | 0.0001 | another |

MultiRC example. Groundtruth & Prediction: “True” (confidence: 0.98)

| P: What causes a change in motion ? The application of a force . Any time an object changes motion , a force has been applied . In what ways can this happen ? Force can cause an object at rest to start moving . Forces can cause objects to speed up or slow down . Forces can cause a moving object to stop . Forces can also cause a change in direction . In short , forces cause changes in motion . The moving object may change its speed , its direction , or both . We know that changes in motion require a force . We know that the size of the force determines the change in motion . How much an objects motion changes when a force is applied depends on two things . It depends on the strength of the force . It also depends on the objects mass . Think about some simple tasks you may regularly do . You may pick up a baseball . This requires only a very small force . |
| Q: What factors cause changes in motion of a moving object ? |
| A: The object’s speed , direction , or both speed and direction |

| P1: What causes a change in motion ? The application of a force . Any time an object changes motion , a force has been applied . In what ways can this happen ? Force can cause an object at rest to start moving . Forces can cause objects to speed up or slow down . Forces can cause a moving object to stop . Forces can also cause a change in direction . In short , forces cause changes in motion . The moving object may change its speed , its direction , or both . We know that changes in motion require a force . We know that the size of the force determines the change in motion . How much an objects motion changes when a force is applied depends on two things . It depends on the strength of the force . It also depends on the objects mass . Think about some simple tasks you may regularly do . You may pick up a baseball . This requires only a very small force . |
| Q1: John Deere equipment is being worked on by two farmers |
| A1: The object’s speed , direction , or both speed and direction |

Figure A10: BERT often correctly predicts the masked tokens (denoted in red, green, blue rectangles) and assigns a high likelihood to the tokens that are labeled important by humans in the MultiRC “True” example which contains a triplet of paragraph (P), question (Q) and answer (A). In each panel, we show the top-3 tokens suggested by BERT and their associated likelihoods.
There have been many organisms that have lived in Earth's past. Only a tiny number of them became fossils. Still, scientists learn a lot from fossils. Fossils provide evidence about life on Earth. They tell us that life on Earth has changed over time. Fossils in younger rocks look like animals and plants that are living today. Fossils in older rocks are less like living organisms. Fossils can tell us about where the organism lived. Was it land or marine? Fossils can even tell us if the water was shallow or deep. Fossils can even provide clues to ancient climates.

Q: What are three things scientists learn from fossils?
A: Life, Earth, Time.
The set of explanatory words given by LOOempty covers all highlights (higher precision and IoU) that are important to human in the e-SNLI “neutral” example which contains a pair of premise (P) and hypothesis (H) while there are none tokens highlighted by IM are in correlation with human explanations.

The set of explanatory words given by LOOempty covers 95% of human highlights with higher precision and IoU in the MultiRC “True” example which contains a triplet of paragraph (P), question (Q) and answer (A) while there are only few tokens given by IM are in correlation with human explanations.
There have been many organisms that have lived in Earth's past. Only a tiny number of them became fossils. Still, scientists learn a lot from fossils. Fossils are our best clues about the history of life on Earth. Fossils provide evidence about life on Earth. They tell us that life on Earth has changed over time. Fossils in younger rocks look like animals and plants that are living today. Fossils in older rocks are less like living organisms. Fossils can tell us about where the organism lived. Was it land or marine? Fossils can even tell us if the water was shallow or deep. Fossils can even provide clues to ancient climates.

Q: What is a major difference between younger fossils and older fossils?
A: Older rocks are rougher and thicker than younger fossils.

Figure A16: The set of explanatory words given by LOO_empty covers two thirds of human highlights with higher precision and IoU in the MultiRC "False" example which contains a triplet of paragraph (P), question (Q) and answer (A) while there are two tokens given by IM are in correlation with human explanations.

Enormously entertaining for moviegoers of any age.

Figure A17: When a word is removed, the predicted labels of all resulting sentences (S1 to S7) are still “positive” with a confidence score of 1.0.
| e-SNLI example. Groundtruth: “entailment” | Prediction |
|-----------------------------------------|------------|
| P  Two women having **drinks** and smoking **cigarettes** at the bar. | entailment (0.99) |
| H  Two women are at a bar. | |
| | |
| P₁ Two women having drinks and smoking cigarettes at the bar. | entailment (0.98) |
| H₁ Two women are at a bar. | |
| | |
| P₂ Two **women** having drinks and smoking cigarettes at the bar. | neutral (0.93) |
| H₂ Two women are at a bar. | |
| | |
| P₃ Two women **having** drinks and smoking cigarettes at the bar. | entailment (0.99) |
| H₃ Two women are at a bar. | |
| | |
| P₄ Two women having **drinks** and smoking cigarettes at the bar. | entailment (0.99) |
| H₄ Two women are at a bar. | |
| | |
| P₅ Two women having **drinks and** smoking cigarettes at the bar. | entailment (0.99) |
| H₅ Two women are at a bar. | |
| | |
| P₆ Two women having drinks and **smoking** cigarettes at the bar. | entailment (0.99) |
| H₆ Two women are at a bar. | |
| | |
| P₇ Two women having drinks and smoking **cigarettes** at the bar. | entailment (0.99) |
| H₇ Two women are at a bar. | |
| | |
| P₈ Two women having drinks and smoking cigarettes at **the** bar. | entailment (0.98) |
| H₈ Two women are at a bar. | |
| | |
| P₉ Two women having drinks and smoking cigarettes at **the bar**. | entailment (0.98) |
| H₉ Two women are at a bar. | |
| | |
| P₁₀ Two women having drinks and smoking cigarettes at **the bar**. | entailment (0.97) |
| H₁₀ Two women are at a bar. | |
| | |
| P₁₁ Two women having drinks and smoking cigarettes at the **bar**. | entailment (0.99) |
| H₁₁ Two women are at a bar. | |
| | |
| P₁₂ Two women having drinks and smoking cigarettes at the bar. | entailment (0.99) |
| H₁₂ Two **women** are at a bar. | |
| | |
| P₁₃ Two women having drinks and smoking cigarettes at the bar. | entailment (0.98) |
| H₁₃ Two **women** are at a bar. | |
| | |
| P₁₄ Two women having drinks and smoking cigarettes at the bar. | entailment (0.99) |
| H₁₄ Two women are **at** a bar. | |
| | |
| P₁₅ Two women having drinks and smoking cigarettes at the bar. | entailment (0.84) |
| H₁₅ Two women are **at** a bar. | |
| | |
| P₁₆ Two women having drinks and smoking cigarettes at the bar. | entailment (0.97) |
| H₁₆ Two women are **at** a bar. | |
| | |
| P₁₇ Two women having drinks and smoking cigarettes at the bar. | entailment (0.54) |
| H₁₇ Two women are **at** a bar. | |
| | |
| P₁₈ Two women having drinks and smoking cigarettes at the bar. | entailment (0.95) |
| H₁₈ Two women are **at** a bar. | |

Figure A18: The removal of each token in both premise and hypothesis in e-SNLI example which contains a pair of premise (P) and hypothesis (H) **infrequently change the prediction**. Specifically, only the example of (P₂, H₂) shifted its prediction to “neutral” while the remaining partially-removed examples do not change their original prediction with high confidence score in parentheses.