Abstract

Online search engines are a popular source of medical information for users, where users can enter questions and obtain relevant answers. It is desirable to generate answer summaries for online search engines, particularly summaries that can reveal direct answers to questions. Moreover, answer summaries are expected to reveal the most relevant information in response to questions; hence, the summaries should be generated with a focus on the question, which is a challenging topic-focused summarization task. In this paper, we propose an approach that utilizes graph convolution networks and question-focused dual attention for Chinese medical answer summarization. We first organize the original long answer text into a medical concept graph with graph convolution networks to better understand the internal structure of the text and the correlation between medical concepts. Then, we introduce a question-focused dual attention mechanism to generate summaries relevant to questions. Experimental results demonstrate that the proposed model can generate more coherent and informative summaries compared with baseline models.

1 Introduction

Online search engines (e.g., Google, Bing) have a wealth of fresh health-related information, which is appealing for users with medical questions. Users can enter questions to obtain relevant answers. However, most answers generated by domain experts are incredibly long, and some are even more than 512 words. It is intuitive to generate answer summaries, which will benefit both users and search engines. Such abstract resources are valuable to attract users’ attention and encourage clicking and reading. Moreover, answer summaries are expected to reveal the most relevant information in response to questions; hence, the summaries should be focused on the question, which is a challenging topic-focused summarization task, as shown in Table 1.

(Zhou et al., 2006) first introduces answer summarization as an application of extractive summarization. (Deng et al., 2019) designs a question-enhanced pointer-generator network that exploits the correlation information between question-answer pairs to focus on the essential information when generating answer summaries. However, those approaches are trained and tested mainly on generic domain datasets, which are not straightforwardly applicable to the medical domain (Zhang et al., 2020). Moreover, there are still several non-trivial challenges for answer summarization in the medical domain as follows:

Table 1: Example of medical answer summarization task. Because the answer is extremely long, only parts of the sentences with concept words (blue) are shown.
• The original answers can be extremely long, which makes it intractable for vanilla sequence-to-sequence models.

• The most important parts of the answer not only rely on the keywords of the answer but should also be relative to the question. For example, for the question listed in Table 1, note that “治疗” (treat) is more important than “心脏” (heart) although the latter occurs more times in the answer.

• The answer focuses on different concepts of the same question, which makes the summaries quite diverse. For instance, a summary can consist of multiple plots, such as “轻微患者” (mild patient) and “严重患者” (serious patient).

Although the answer summarization task is not new, studies and corpus for the Chinese medical domain are still limited. To this end, we propose a graph convolution network with question-focused dual attention (Q-GCN) model to generate summaries. Our motivation is that graph-based structure can better represent the correlation between diverse concepts in the answer and capture the plot of the whole text. Specifically, we decompose the long answer text into several entities/keywords centered clusters of texts and represent the answer with a medical concept graph. Each vertex of the graph is formed with concept clusters regarding the entities/keywords. We calculate the edge between vertices via semantic relations between the vertices. Moreover, to enhance the relevance of the summaries regarding questions, we propose a question-focused dual attention mechanism to extract the primary information from the answer. We highlight our contributions as follows:

• We represent the long medical answer with a medical concept graph that explicitly organizes the text into concept-centered vertices.

• We propose a novel graph convolutional network with question-focused dual attention to generate summaries based on the medical concept graph.

• Experimental results on our collected large-scale Chinese question-answer-summary corpus (ChMedQA) and WikiHowQA demonstrate the efficacy of our approach.

2 Related Work

Text Summarization. Text summarization techniques can be classified into two categories: extractive and abstractive summarization. Extractive approaches regard summarization as a sentence classification (Nallapati et al., 2017) or a sequence labeling task (Cheng and Lapata, 2016) to select sentences from the article to form the summary, while abstractive approaches generally employ attention-based encoder-decoder models (Nallapati et al., 2016; See et al., 2017; Ye et al., 2020) to generate abstractive summaries. Our method is an abstract approach that can generate more fluent and coherent summaries. Answer summarization is first introduced by (Zhou et al., 2006) as an application of summarization. Subsequently, studies on answer summarization are still regarded as a separate summarization module in QA pipeline (Song et al., 2017). Moreover, query-based summarization methods (Singh et al., 2018) can also serve as a good solution for this task. (Deng et al., 2019) designs a question-enhanced pointer generator network to generate answer summaries.

There are few previous studies (Kogilavani and Balasubramaniam, 2009) on medical answer summarization. As domain knowledge is helpful for generating coherent and informative summaries, previous approaches usually leverage ontologies (Kogilavani and Balasubramaniam, 2009), concepts (Morales et al., 2008; Schulze and Neves, 2016) to summarize answers.

Graph Convolution Networks. Recently, graph convolution network (GCN) models have increasingly attracted attention (Zhang et al., 2019), which is beneficial for graph data modeling (Yin et al., 2019). Some existing literature such as SQL-to-Text (Xu et al., 2018), AMR-to-Text (Beck et al., 2018; Song et al., 2018; Zhao et al., 2018) use GCN for generating text. However, these approaches utilize the graph that already exists, and the input text is very short. We are faced with extreme long text. Recently, (Li et al., 2019) proposes to model a news article with a topic graph and utilizes the GCN to generate comments automatically. (Wang et al., 2020) presents a heterogeneous graph-based neural network for extractive summarization. Different from their approaches, we focus on the medical domain, and the generated summaries should be relevant to the input questions. To the best of our knowledge, we are the first to apply GCNs to the medical answer summarization task.
3 Methodology

3.1 Problem Definition
Let \( A \) denote an answer containing several sentences \( [s_1, s_2, s_3, s_4, \ldots, s_n] \), where \( s_i \) is the \( i \)-th sentence in the answer and \( Q \) denotes the input question. Our task is to generate an abstractive summary of \( A \) that is most relevant to the input question \( Q \).

3.2 Framework
Our approach is shown in Figure 1 as an encoder-decoder framework. Specifically, our encoder aims to convert the original answer text to a medical concept graph. We propose question-focused dual attention to generate the summary sequence based on the graph and the encoded question.

3.3 Medical Concept Graph Construction
We construct our medical concept graph with the medical answer, as shown in Algorithm 1. For this paper, we define the medical concepts as phrases/words of medical entities or keywords that are vital components of the text. Note that the answers from online platforms have a considerable amount of noise. Some sentences in the answer are even irrelevant to the main question, for example, “感谢邀请” (Thanks for inviting.). Thus, given an input question \( Q \) and an answer \( A \), we first perform word segmentation and then medical named entity recognition (NER) for the text with a pretrained BERT-CRF (Devlin et al., 2018) model. We then apply keyword extraction with TextRank (Mihalcea and Tarau, 2004) to obtain keywords. After that, we obtain the concepts of the answer, and we associate each sentence in the answer to its corresponding concepts. Specifically, we assign the sentence to the concept \( \omega \) if \( \omega \) appears in the sentence. Thus, a single sentence will be connected with more than one concept, which may implicitly indicate the correlation between concepts. We assign sentences that do not contain any of the concepts with an “empty” vertex. The sentences and the concept \( \omega \in \Omega \) consist of the vertex \( v_k \) in the medical concept graph. We represent each vertex by the concatenation of the concept and sentence words in the answer.

The edges between vertices denoted as \( \phi \) in Algorithm 1 can be constructed via a range of approaches. Whereas, the more sentences mention two concepts together, the closer those two con-
We encode the vertex in the medical concept graph. Words refer to words other than concept words. We use TF-IDF to calculate the similarity.

3.4 Node Initialization

We encode the vertex in the medical concept graph with vector \( u_i \). First, we utilize a multi-head self-attention based vertex encoder. This vertex encoder consists of two modules, namely the embedding module and the self-attention module. We adopt the regular word embedding of both words and concepts via a sharing embedding lookup table to represent word information. The regular words refer to words other than concept words. We also add absolute and relative positional embedding \( p_i^{\text{absolute}} \), \( p_i^{\text{relative}} \) to represent the position information. \( p_i^{\text{absolute}} \) aims to encode the absolute locations of the words and concepts in the answer. To better learn relative position embedding, we put the concept \( \omega \) in front of the word sequence. In this way, the relative position embedding of the concept has the same embedding \( p_0 \). We add the word embedding \( w_i \) and position embedding \( p_i^{\text{absolute}}, p_i^{\text{relative}} \) to get the final embedding \( u_i \), formally:

\[
u_i = w_i + p_i^{\text{absolute}} + p_i^{\text{relative}}
\]

After that, we feed \( u_i \) into the self-attention module to obtain the hidden representation \( a_i \) of each word. The self-attention can explicitly model the interactions among words to capture the context of the vertex. We calculate the hidden representation of self-attention layer using Equation 2 to Equation 4, where \( Q, K, \) and \( V \) represent the query, key, and value vectors, respectively.

\[
\text{Attention}(Q, K, V) = \text{softmax} \left( QK^T \right) V \tag{2}
\]

\[
\text{MultiHead}(Q, K, V) = [\text{head}_1; \cdots; \text{head}_h]W^o
\tag{3}
\]

\[
\text{head}_i = \text{Attention} \left( QW_i^{Qs}, QW_i^{Ks}, QW_i^{Vs} \right)
\tag{4}
\]

Whereas the concept \( \omega \) is the vertex’s vital information, we adopt the representation of the concept \( a_0 \) to represent the entire vertex.

3.5 Graph Convolution Networks

We feed the vertex \( v_i \) into a graph encoder after obtaining the hidden vectors, which explicitly models the graph structure of the constructed medical concept graph. We use an implementation of the GCN model following (Kipf and Welling, 2016). To be specific, we denote the adjacency matrix of the interaction graph as \( A \in \mathbb{R}^{N \times N} \), where \( A_{ij} = w_{ij} \) (defined in § 3.3), and \( D \) is a diagonal matrix.

\[
H^{l+1} = \sigma \left( \tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}} H^l W_i \right) \tag{5}
\]

where \( I_N \) is the identity matrix, \( \tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}} \) is the normalized adjacency matrix, and \( W_i \) is a learnable weight matrix. We also add residual connections between layers to avoid over-smoothing.

\[
g^{l+1} = H^{l+1} + H^l \tag{7}
\]

\[
g^{\text{out}} = \tanh \left( W_o g^K \right) \tag{8}
\]

\( g^K \) is the output of the last layer of GCN. We add one feed forward layer to the final output of the GCN.

3.6 Question-focused Dual Attention

Because the question is a crucial signal, we propose a question-focused dual attention mechanism to emphasize those important vertex and de-emphasize irrelevant vertex. We utilize the transformer to generate the hidden output of the question \( q \) and calculate the first attention weights as:

\[
\alpha_j = \frac{\exp \left( \delta \left( q, g_j \right) \right)}{\sum \exp \left( \delta \left( q, g_k \right) \right)} \tag{9}
\]

where \( \delta \) is the attention function, \( q \) is the hidden representation of question, and \( g_i \) is the final representation of vertex \( i \). We utilize the recurrent neural network with attention. Given the output of the GCN \( \langle v_0, v_1, \cdots, v_n \rangle \), and the initial state \( t_0 \), the decoder is able to generate a sequence of summary tokens \( y_1, y_2, \cdots, y_m \). We calculate the second attention weights as:

\[
t_i = RNN \left( t_{i-1}, c_{i-1} \right) \tag{10}
\]

\[
\beta_j = \frac{\exp \left( \delta \left( t_i, g_j \right) \right)}{\sum \exp \left( \delta \left( t_i, g_k \right) \right)} \tag{11}
\]
where \( \delta \) is the attention function, \( t_i \) is the hidden representation of state \( i \), and \( q_i \) is the final representation of vertex \( i \). We combine \( \alpha_i \) and \( \beta_i \) with the following formula to obtain the final attention weight of each state:

\[
\psi_i = \frac{\exp (\gamma \alpha_i + (1 - \gamma) \beta_i)}{\sum_{k \in [1, n]} \exp (\gamma \alpha_k + (1 - \gamma) \beta_k)}
\]

(12)

Here, \( \psi_i \) denotes the final attention weight towards the graph vertex \( i \), and \( \gamma \in [0, 1] \) is a soft switch to adjust the importance of two attention weights, \( \alpha_i \) and \( \beta_i \). There are multiple ways to set the parameter \( \gamma \). The simplest one is to treat \( \gamma \) as a hyper-parameter and manually adjust it to obtain the best performance. Alternatively, \( \gamma \) can also be learned by a neural network automatically.

We select the latter approach because it adaptively assigns different values to \( \gamma \) on different scenarios and achieves better experimental results. We calculate \( \gamma \) by using the following formula:

\[
\gamma = \sigma (w^T [\alpha; \beta] + b)
\]

(13)

where vectors \( w \) and scalars \( b \) are learnable parameters, and \( \sigma \) is the sigmoid function. Ultimately, the final attention weights are employed to calculate a weighted sum of the state vectors, resulting in a semantic vector that represents the context:

\[
c_i = \sum \psi_j v_j
\]

(14)

Because the concepts \( v \) may appear in the summarization, which is vital information for the long answer, we use the copy mechanism following (Gu et al., 2016) by summing the predicted word token probability distribution with the attention distribution. The probability \( p_{copy} \) is dynamically calculated using context vector \( c_i \) and decoding hidden state \( t_i \).

\[
y_i = \text{softmax} (W_o \text{tanh} (W ([t_i; c_i]) + b))
\]

(15)

\[
p_{copy} = \sigma (W_{copy} [t_i; c_i])
\]

(16)

\[
p = (1 - p_{copy}) \times y + p_{copy} \times \psi
\]

(17)

where \( W_o, W, W_{copy} \), and \( b \) are all learnable parameters.

### 4 Experiments

We conduct three kinds of experiments: 1) automatic and manual evaluation with ablation study for Chinese medical answer summarization; 2) further experiments on WikiHowQA; 3) model analysis regarding question length, question-focused dual attention, and error analysis.

#### 4.1 Dataset and Settings

We collect question and answer pairs from a popular Chinese search engine and split them into train/dev/test sets with a ratio of 8:1:1. We annotate 70% of the training set by a pretrained sentence ranking model\(^1\) and the rest (train, dev, test) by crowdsourcing. We observe that the medical answer length is excessively long, which is challenging to the sequence-to-sequence model. To further analyze our approach’s generalization, we conduct experiments on WikiHowQA\(^2\) dataset that has extreme long answers. WikiHowQA is constructed based on the WikiHow dataset by (Deng et al., 2019) via filtering out those questions without answers or summaries and those answers with punctuation only. We detail the average length concerning the answer and the number of samples in both datasets in Table 2.

We utilize the 100-dimension pre-trained GloVe embeddings. The performance (F1) of medical NER and keyword extraction is **0.91** and **0.89**, respectively. We utilize Stanford CoreNLP\(^3\) and TextRank (Mihalcea and Tarau, 2004) for the WikiHowQA dataset. We only utilize one layer GCN to ease the over-smoothing problem. We use a dropout rate of 0.2. We utilize Adam optimizer to train the parameters with the initial learning rate of 0.0005. We train our approach with four epochs.

\(^1\)The sentence ranking model rank all sentences based on relativity regarding the question.

\(^2\)https://github.com/dengyang17/wikihowQA

\(^3\)https://stanfordnlp.github.io/CoreNLP

|                | ChMedQA | WikiHowQA |
|----------------|---------|-----------|
| **Train**      | **Dev** | **Test**  |
| Number         | **Avg ALen** | **Number** | **Avg ALen** |
| Train          | 80,000 | 834       | 142,063 | 520 |
| Dev            | 10,000 | 583       | 18,909 | 548 |
| Test           | 10,000 | 543       | 42,624 | 554 |

Table 2: Average length of answer (Avg ALen) and number of samples of the datasets (Number).
4.2 Baselines and Metrics

We compare the proposed method with the following baselines, including four extractive methods (Lead3, TextRank (Mihalcea and Tarau, 2004), NeuralSum (Cheng and Lapata, 2016), and NeuSum (Zhou et al., 2018)); two abstractive methods (Seq2Seq (Nallapati et al., 2016) and PGN (See et al., 2017)); and five query-based methods (BERT (Devlin et al., 2018), XLNet (Yang et al., 2019), PGN (See et al., 2017), SD2 (Nema et al., 2017)), biASBLSTM (Singh et al., 2018), and ASAS (Deng et al., 2019). For BERT/XLNet\(^4\), we utilize the abstract summarization schema as the encoder part is replaced with the BERT/XLNet encoder (question&answer) and the decoder is trained from scratch. We also compare variations of our approach: w/o position is the approach without position embedding; w/o question is the approach without question-focused dual attention; w/o GCN is the approach without GCN. We run each experiment five times and calculate the average performance. We use ROUGE F1 scores to evaluate the summarization methods.

4.3 Main Evaluation Results

Main results. The summarization results are listed in Table 3. We notice that XLNet achieves a higher ROUGE score than BERT, which may because XLNet is an autoregressive approach, while BERT is a denoising autoencoder approach that is not suitable for the generation. PGN outperforms XLNet, which may because there exist severe OOV problems in the medical domain, while PGN can copy words from the source text. We also observe that the question-enhanced approaches outperform all the state-of-the-art methods, which demonstrates the effectiveness of incorporating question information. Besides, by organizing the answer text into the concept graph, our approach further improves the results by a noticeable margin.

Ablation Study Results. We observe that the approach without position embedding has a slight performance decay, which demonstrates that position information is necessary. We also notice a severe performance drop when removing question-focused dual attention, which demonstrates that the question can not be ignored when summarizing answers. Besides, we observe a performance decay without GCN, which illustrates that graph-based structure can better represent the long text.

Human Evaluation. We conduct human evaluation to evaluate the generated answer summaries in four aspects: (1) Informativity: How well does the summary capture the key information from the original answer? (2) Conciseness: How concise is the summary? (3) Readability: How fluent and coherent is the summary? (4) Correlatedness: How correlated are the summary and the given question? We randomly sample 50 answers and generate their summaries by using five methods, namely NeuralSum, Question-enhanced BERT, Question-enhanced XLNet, Question-enhanced PGN, and the proposed approach. Three data annotators are requested to score each generated summary on a scale of 1 to 5 (higher the better).

Table 4 lists the human evaluation results, which shows that our approach consistently outperforms the other methods in all aspects. BERT and XLNet achieve relatively low scores in informativity and conciseness, which may be due to the failure of modeling long input text. However, BERT and XLNet generate more fluent summaries with higher readability scores, which may take advantage of the pre-trained language model.

To intuitively observe the advantage of the proposed method, we randomly select one example to show the results of the answer summary generation. As shown in Figure 5, the extractive method

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\(^4\)https://github.com/huggingface/transformers
Question
治早搏有什么方法？

How to treat premature heartbeat?

NeuralSum
一般来轻患者不需要治疗，可以使用安慰剂。严重患者可通过药物或射频消融缓解症状。
Generally, mild patients do not require treatment and can a placebo; serious patients can take medication or radiofrequency ablation to relieve symptoms.

Question-enhanced PGN
患者可以采取药物治疗或射频消融治疗。
The patient should take medication or radiofrequency ablation.

Q-GCN
轻症患者不需治疗，严重患者可采取药物治疗或射频消融治疗。
Mild patients do not require treatment; serious patients should take medication or radiofrequency ablation.

Table 5: Case study.

(e.g., NeuralSum) selects essential sentences from the original answer to form the answer summary, which still contains much insignificant or redundant information. The abstractive method (e.g., PGN) generates the answer summary from the vocabulary and the original answer, which may omit some concepts and essential information. Besides, we observe that some baseline models tend to generate general summaries such as “患者可以” (the patient should) when encountering long-tail concepts, which is similar to the dull response problem in dialogue (Du and Black, 2019). It significantly affects the performance scores of conciseness and correlatedness. To address these defects, our approach accounts for the information provided by the question and critical component from the medical concept graph with GCN, which is able to understand the main point of the answer rather than generating high-frequency phrases that are irrelevant or even useless to the given question. Noticeably, our model learns well to generate answer summaries that are highly related to the given questions, so there is a substantial improvement in terms of informativity, conciseness, and correlatedness.

However, we also notice that our approach receives a slightly lower readability score. We assume that this is because there exists a similar structure between different models in the decoder. We observe that our model cannot distinguish between similar characters and repeatedly generates the same tokens sometimes. These phenomena are common in the natural language generation, which reveals the deficiency of understanding world knowledge. We leave this for future work.

4.4 Evaluation on WikiHowQA

From Table 6, we observe: 1) our approach still performs better than all baselines, which demonstrates that our approach can apply to the general domain; 2) we notice that the performance improvements are relatively smaller. We think this may be caused by the general domain, in addition to entities and keywords, there also exist some verb phrases which may reveal the critical point in the answers. From the Table 7 we observe: 1) our approach performs better than all baselines in human evaluation except the informativity, which may be caused by the negation of some context in the answers; 2) we notice the significant performance improvement in conciseness and correlatedness, which further proves that the graph-structure can better understand the main point of the answer.

4.5 Analysis

Length of Answer. To validate the effectiveness of the proposed method on long-sentence answer summarization, we sample the test set according to the length of the answer. As shown in Figure 2, we compare our approach with two baseline
methods, SEQ2SEQ, and NEUSUM, by measuring the ROUGE-L. We observe that our approach is more efficient, especially for long answers. For answers that are shorter than 100 words, SEQ2SEQ and NEUSUM are marginally better than our approach, which indicates that the summary may have lost some information for short answers. However, the performance of these two methods deteriorates with an increase in the answer length, whereas our approach maintains excellent stability. In summary, explicitly organizing the text into a graph-structure can better represent long text.

**Question-Focused Dual Attention.** To evaluate whether our question guides the procedure of answer summary generation, we deliberately change the question with the same answer and obtain different summarization results, as shown in Table 8. We observe that our model can control the summarization of answers with different questions, indicating the efficacy of question-focused dual attention. For example, by changing the question from “注意什么” (pay attention) to “吃什么水果” (what fruits to eat), we generate results which directly address the question. However, when changing the original question to a question that cannot be summarized (cannot find an answer regarding the question), our approach fails to generate concise summaries. We also observe that our approach without question-focused dual attention generates trivial summaries, which include redundant information and miss the key points relevant to the question. Those observations demonstrate that question-focused dual attention can enhance generating summaries relevant to questions.

**Error Analysis.** We conduct an error analysis of our approach. We first random sample 100 test instances with wrong entities/keywords. Surprisingly, we observe that 80% of them generate coherent and informative summaries, which shows that incorrect entities/keywords have little influences on the quality of summarization. We further analyze the wrong instances and divide them into five categories. First, our model can generate fluency summaries with significantly long sentences but may fail to generate well with some short answers. Second, our model cannot handle time and numbers. For example, when summarizing the answer “正常不外用药物，是三天左右就开始自行消肿。...” (Normally, do not need to take medications and will begin to swell on its own in about three days ...) with the question “被蜜蜂蛰了几天能好?” (How many days can I recover if stung by a bee), our model cannot provide reasonable summaries because it does not understand what “几天” (how many days) is. Third, our model is vulnerable, to some extent, to adversarial attacking, such as adding a negative modifier “不” (not) in the question; our model fails to understand the true meaning and yields poor results. Finally, we find that our model is sensitive to typos and some extreme long-tail terminologies, such as “胃胀” (stoma chache) and “阴超” (vaginal B-ultrasound).

### Question1
**What to eat in the third month of pregnancy?**
What can’t I eat in the third month of pregnancy?
*Q-GCN3* 食物如龙眼、山楂等。
You should take regular pregnancy tests and pay attention to nutrition, eat more foods with vitamins and saturated fatty acids, do not eat cold fruits.

### Question2
**What fruits can’t I eat in the third month of pregnancy?**
You should eat more foods with vitamins and saturated fatty acids, do not eat cold fruits.

| Q-GCN1 多吃维生素、饱和脂肪酸较多的食物。禁食寒凉水果。 |
| Q-GCN2 水果如龙眼、山楂等。 |
| Q-GCN3 注意营养，多吃维生素、饱和脂肪酸较多的食物，不能吃寒凉水果。 |

### Question3
**What can’t I eat in the sixth month of pregnancy?**
You should take more foods with vitamins, do not eat cold fruits.

Table 8: Answer summaries of different questions.

5 Conclusion and Future Work

In this paper, we propose an approach of graph convolution network with question-focused dual attention to generate Chinese answer summaries. Experimental results indicate that our model can summarize more coherently and informatively, thereby showing that organizing long text with a graph structure is beneficial and question-focused dual attention further improves the informativeness and correlation. In the future, we plan to 1) exploit knowledge such as commonsense to generate logical summaries; 2) investigate efficient methodologies to model the correlation between concepts;
3) apply our approach to similar applications such multiple document summarization.

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