STRING and PARTON PERCOLATION

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Abstract. A brief review to string and parton percolation is presented. After a short introduction, the main consequences of percolation of color sources on the following observables in A-A collisions: $J/\psi$ suppression, saturation of the multiplicity, dependence on the centrality of the transverse momentum fluctuations, Cronin effect and transverse momentum distributions, strength of the two and three body Bose-Einstein correlations and forward-backward multiplicity correlations, are presented. The behaviour of all of them can be naturally explained by the clustering of color sources and the dependence of the fluctuations of the number of these clusters on the density.

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1 Introduction

What conditions are necessary in the pre-equilibrium stage to achieve deconfinement and perhaps subsequent quark-gluon plasma formation? This question on the occurrence of color deconfinement in nuclear collisions without assuming prior equilibration has been addressed on the basis of two closely related concepts, string or parton percolation [1]-[2] and parton saturation [3]-[4]-[5]. In this paper we will study the first subject.

Consider a flat two dimensional surface $S$ (the transverse nuclear area), on which $N$ small disc of radius $r_0$ (the transverse partonic or string size) are randomly distributed, allowing overlapping. With increasing density $n \equiv N/\pi R^2$ (we take here $S = \pi R^2$), clusters of increasing size appear. The crucial feature is that this cluster formation shows critical behaviour: in the limit $N \to \infty$ and $R \to \infty$ with $n$ finite, the cluster size diverges at a certain critical density. The percolation threshold is given by

$$\eta_c = \frac{\pi r_0^2}{\pi R^2}$$

(1)

and its value 1.13 is determined by numerical studies. For finite $N$ and $R$, percolation sets in when the largest cluster spans the entire surface from the center to the edge. Because of overlap, a considerable fraction of the surface is still empty at the percolation point in fact, at the threshold, only $1 - \exp(-\eta_c) \simeq 2/3$ of the surface is covered by discs.

In high energy nuclear collision, the strings or partons are originated from the nucleons within the colliding nuclei, therefore their distribution on the transverse area of the collision is highly non uniform with more nucleons and hence more strings or partons in the center than in the edge. In this case the value of $\eta_c$ becomes higher [3].

2 Local parton percolation and $J/\psi$ suppression

Hard probes, such as quarkonia, probe the medium locally, and thus test only if it has reached the percolation point and the resulting geometric deconfinement at their location. It is thus necessary to define a more local percolation criterion [2].

As we mentioned before, at the percolating critical density, 1/3 of the surface remains empty. Hence disc density in the percolating cluster must be greater than $\frac{3}{2} \frac{\eta_c}{\pi r_0^2}$. In fact numerical studies show that percolation sets in when the density of partons in the largest cluster reaches the critical value $1.72/\pi r_0^2$, slightly larger than $\frac{3}{2} \frac{\eta_c}{\pi r_0^2}$. This result provides the required local test: if the parton density at a certain point in the transverse nuclear collision plane has reached this level, the medium there belongs to a percolating cluster and hence to a deconfined parton condensate. In Fig. 1 the percolation probability and its derivative as a function of $\eta$ are shown.

Let us apply the above idea to $J/\psi$ suppression in A-A collision [3]. We denote by $n_s(A)$ the density of nucleons in the transverse plane and by $dN_q(x,Q^2)/dy$ the parton distribution functions. (At central rapidity $y = 0$, we have $x = k_T/\sqrt{Q}$, where $k_T$ denotes the transverse momentum of the partons and thus $k_T \simeq Q$). The local parton percolation condition is

$$n_s(A) \frac{dN_q(x,Q^2)}{dy} \bigg|_{x=Q_c/\sqrt{Q}} = \frac{1.72}{\pi Q_c^2}$$

(2)

For a given A-A collision at a fixed centrality and energy, the relation (2) determines $Q_c$. 

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For Pb–Pb collision at $\sqrt{s} = 17.4$ GeV, $Q_c \simeq 0.7$ GeV. The scales of the charmonium states $\chi_c$ and $\psi'$, as determined by the inverse of their radii calculated in potential theory, are around 0.6 GeV and 0.5 GeV respectively, therefore the parton condensate can thus resolve these states and all $\chi$ and $\psi'$ states formed inside the percolating cluster disappear. The location is determined by the collision density. The first onset of $J/\psi$ suppression in Pb–Pb collision at SPS should occur at $N_{\text{part}} \simeq 125$, where the $J/\psi'$s due to feed-down from $\chi_c$ and $\psi'$ states in the percolating cluster are eliminated. Directly produced $J/\psi'$s survive because of their smaller radii (leading to a scales of 0.9–1.0 GeV) and its dissociation requires more central collisions, which lead to a better resolution, i.e. to an increase of $Q_c$. For $Q_c = 1.0$ GeV we need $N_{\text{part}} \simeq 320$. The resolution scale of the direct $J/\psi$ cannot be reached in S-U collisions, therefore only one stop pattern suppression is obtained for this case.

For Au-Au collision at RHIC, the increase parton density shift the onset of percolation to a higher resolution scale, so that from the threshold on, all charmonium states including $J/\psi'$s are supressed, starting at $N_{\text{part}} \simeq 90$, i.e. a single step suppression pattern occurs.

For the case In-In collisions at SPS energies, the threshold for directly produced $J/\psi'$s is not reached even for the most central collisions, and again a single step suppression pattern is expected.

A detailed discussion and comparison with experimental data can be found in references [7] and [9].

3 String percolation

Multiparticle production is currently described in terms of color strings stretched between partons of the projectile and target, which decay into new strings through $q\bar{q}$ production and subsequently hadronize to produce observed hadrons. Color strings may be viewed as small discs in the transverse space, $\pi r_0^2$, $r_0 = 0.2 - 0.25 fm$, filled with the color field created by the colliding partons. Particles are produced by the Schwinger mechanisms [10] emitting $q\bar{q}$ pairs in this field. With growing energy and/or atomic number of colliding particles, the number of strings grows and they start to overlap, forming clusters. At a critical density a macroscopic cluster appears that marks the percolation phase transition.

The percolation theory governs the geometrical pattern of the string clustering. Its observable implications, however, require introduction of some dynamics to describe string interaction, i.e. the behaviour of a cluster formed by several overlapping strings.

It is assumed that a cluster behaves as a single string with a higher color field $\vec{Q}_n$ corresponding to the vectorial sum of the color charge of each individual $Q_1$ string. The resulting color field covers the area $S_n$ of the cluster. As $\vec{Q}_n = \sum_i^n \vec{Q}_1$, and the individual string colors may be oriented in an arbitrary manner respective to one another, the average $Q_1, Q_{1j}$ is zero, and $\vec{Q}_n = n \vec{Q}_1$.

Knowing the charge color $Q_n$, one can compute the particle spectra produced by a single cluster of such color charge and area $S_n$ using the Schwinger formula. For the multiplicity $\mu_n$ and average $p_T^2$ of particles, $<p_T^2>_n > n$, produced by a cluster of $n$ strings one finds [11-12]

$$\mu_n = \sqrt{\frac{n S_n}{S_1}} \mu_1 : <p_T^2>_n = \sqrt{\frac{n S_1}{S_n}} <p_T^2>_1 > 1$$ (3)

where $\mu_1$ and $<p_T^2>_1 > 1$ are the mean multiplicity and mean $p_T^2$ of particles produced by a single string with a transverse area $S_1 = \pi r_0^2$. For strings just touching each other $S_n = n S_1$ and hence $\mu_n = n \mu_1 : <p_T^2>_n = n <p_T^2>_1 > 1$ as expected (simple fragmentation of $n$ independent strings). In the opposite case of maximum overlapping, $S_n = S_1$ and therefore $\mu_n = \sqrt{n \mu_1 : <p_T^2>_n = \sqrt{n} <p_T^2>_1 > 1}$, so that the multiplicity results maximally supressed. Notice that a certain conservation rule holds

$$\frac{\mu_n}{n} <p_T^2>_n = \mu_1 <p_T^2>_1 > 1$$ (4)

and also the scaling low

$$<p_T^2>_n / \mu_n S_n = <p_T^2>_1 / \mu_1 S_1$$ (5)

In the limit of high density

$$<n S_1 / S_n> = \frac{\eta}{1 - e^{\exp(-\eta)}} \equiv \frac{1}{F^2(\eta)}, \eta = N_S \pi r_0^2 / \pi R^2$$ (6)

Thus

$$\mu = N_S F(\eta) \mu_1 ; <p_T^2> = <p_T^2>_1 / F(\eta)$$ (7)

The universal scaling law [6] is valid for all projectiles and targets, different energies and centralities, being in reasonable agreement with experimental data [13]. A similar scaling is found in the color glass condensate approach [14].
4 Transverse momentum fluctuations

The behaviour of the transverse momentum fluctuations can be understood as follows: At low densities most of the particles are produced by individual strings with the same \(< p_T \rangle_1\), so the fluctuations must be small. Similarly, at large density, above the percolation critical point, there is essentially one cluster formed by almost all the strings created in the collision and therefore fluctuations are not expected either. Indeed, the fluctuations are expected to be maximal when the number of different clusters becomes larger, just below the percolation critical density (see Fig. 2). In this case in addition to the normal fluctuations around the mean transverse momentum of a single string, there are more fluctuations due to the different average transverse momentum of each cluster.

Experimentally, it has been measured the quantity

\[
F_{pt} = \frac{\omega_{\text{data}} - \omega_{\text{random}}}{\omega_{\text{random}}}
\]

\[
\omega = \frac{\sqrt{\langle p_T^2 \rangle} - \langle p_T \rangle^2}{\langle p_T \rangle}
\]

where \(\omega_{\text{random}}\) denotes the corresponding normalized fluctuations in the case of statistically independent particle emission.

In Fig. 3 our result is compared with the experimental data shown. A reasonable agreement is obtained. There is an alternative explanation based on the occurrence at RHIC of minijets which will enhance the \(p_T\) fluctuations. At high centrality, it is well established, the suppression of high \(p_T\) particles at RHIC what can explain the suppression of fluctuations seen at lower centralities. According to this picture, at SPS where the production of minijets is negligible, this behaviour is not expected, contrary to the expectations of percolation of strings.

Instead of \(p_T\) fluctuations, the NA49 Collaboration has measured \((< n^2_+ > - < n_+ >)/(< n_+ >)\) at SPS for Pb-Pb collisions, as a function of the centrality of the collision, showing a maximum at low centrality and being 1 at high centrality. This behaviour has nothing to do with minijets. On the contrary, it is explained naturally in our approach.

5 Universal transverse momentum distributions

In order to know the transverse momentum distributions one needs the fragmentation function \(f(x, p_T)\) for each cluster, and the mean squared transverse momentum distribution of the clusters, \(W(x)\), which is related to the cluster size distribution through Eq. 4. For \(f(x, p_T)\) we assume the Schwinger formula, \(f(x, p_T) = \exp(-p^2_T x)\), used also for the fragmentation of a Lund string at first approximation \(x\) is related to the string tension or equivalently to the inverse of the mean transverse momentum squared. For the weight function \(W(x)\) we choose the gamma distribution

\[
W(x) = \frac{\gamma}{\Gamma(k)} (\gamma x)^{k-1} \exp(-\gamma x).
\]

The reason of this choice is the following: In peripheral heavy ion collisions there is almost not overlapping between the formed strings and therefore the cluster size distribution is peaked around low values. Most of the clusters are made of one single string. As the centrality increases the number of strings grows, so there are more and more overlapping among the strings and the cluster size distribution is strongly modified, according to Fig. 4 where three cluster distributions corresponding to three different centralities of the collision are shown. Each curve
corresponding variables changes cells (single strings) by blocks (clusters) and the
ago by Jona-Lasinio in connection to the renormalization
and dispersion of the distribution 

\[ \eta \ll 1 \quad \text{and} \quad \eta \text{'s fluctuations} \]

Moreover, the increase of centrality can be seen as a
transformation of the cluster size distribution of the type

\[ P(x) \rightarrow \frac{xP(x)}{<x>} \rightarrow \cdots \rightarrow \frac{x^kP(x)}{<x^k>} \rightarrow \cdots \quad (10) \]

This kind of transformation were studied long time
ago by Jona-Lasinio in connection to the renormalization
group in probabilistic theory [22]. Actually an increase of
the centralities is equivalent to a transformation which
changes cells (single strings) by blocks (clusters) and the
corresponding variables \( \mu_1 \) and \( p_{i1}^2 > 1 \) of the cells by \( \mu_n \)
and \( p_{ni}^2 > n \). These transformations of the type of the
chain (10) have been used also to study the probability
associated to events which satisfy some requirements [23].

The \( \gamma \) and \( k \) parameters of the gamma distribution are
related to the mean \( x \) and dispersion of the distribution through

\[ <x> = \frac{k}{\gamma} \quad \frac{<x^2>-<x>^2}{<x^2>} = \frac{1}{k} \quad (11) \]

We use Eq (7) to take into account the effect of over-
lapping of strings, and hence \( f(x, m_T) = \exp(-p_T^2xF(\eta)) \).
Therefore we obtain

\[ \int_0^\infty dx \exp(-p_T^2xF(\eta)) = \frac{\gamma}{F(k)}(\gamma x)^{k-1} \exp(-\gamma x) \quad (12) \]

and the normalized \( p_T \) distribution is

\[ f(p_T, y) = \frac{dN}{dy} \frac{(k-1)F(\eta)}{k <p_T^2>_{1}} \left( 1 + \frac{F(y)p_T^2}{k <p_T^2>_{1}} \right) \quad (13) \]

The equation (12) can be seen as a superposition of
chaotic color sources (clusters) where \( 1/k \) fixes the trans-
verse momentum fluctuations. At small density \( \eta << 1 \),
the strings are isolated and there are not fluctuations,
\( k \rightarrow \infty \). When the density increases, there will be some
overlapping of strings forming clusters, the fluctuations in-
crease and \( k \) decreases. The minimum of \( k \) will be reached
when the fluctuations in the number of strings per clus-
ter reach its maximum. Above this point, increasing \( \eta \),
these fluctuations decrease and \( k \) increases. In the limit,
when only one cluster of all strings is formed, there are
not fluctuations and again \( k \rightarrow \infty \).

The obtained power-like behaviour \( (p_T^2)^{-k} \), with an
exponent \( k \) related to some intrinsic fluctuations, is com-
mon to many apparently different systems, as sociologi-
cal, biological or informatic ones. Distributions like the
citations of scientific works, or other complex networks
[24, 25] where the probability \( P(m) \) of a given node with \( m \) links is described by the free scale power
law \( P(m) \sim (m)^{-k} \) with \( k \) related to the fluctuations in the number of links obey the same behaviour. Also, it has been
shown [27, 28] that maximization of the non extensive in-
formation Tsallis entropy leads to the same distribution
(12).

The universal behaviour indicates the importance of
the common features present in those phenomena, namely,
the cluster structure and the fluctuations in the number
of objects per cluster.

\[ \frac{d\ln f}{dln p_T} = \frac{-2F(\eta)}{1 + F(y)p_T^2} <p_T^2>_1 <p_T^2>_1 \quad (14) \]

where “i” refers to the different particle species.
As \( p_T^2 \rightarrow 0 \) this reduces to \(-2F(\eta)p_T^2/<p_T^2>_1\).
This behaviour has been confirmed by the PHOBOS
Collaboration. As \(<p_T^2>_1 > p_T^2 > _{1k} > p_T^2 > _{1p} \)
the absolute value is larger for pions than for kaons and
than for protons.

Now, let us discuss the interplay between low and high
\( p_T \). One defines the ration \( R_{CP}(p_T) \) between central and
peripheral collisions as

\[ R_{CP}(p_T) = \frac{f(p_T, y = 0)/N_{\text{coll}}}{f(p_T, y = 0)/N_{\text{coll}}} \quad (15) \]

where the distribution in the numerator corresponds to higher densities \( \eta' > \eta \). In the \( p_T \rightarrow 0 \) limit, taking into account that \( \frac{2}{3} \leq \frac{k-1}{k} \leq 1 \) and that \( F(\eta') < F(\eta) \), we obtain

\[ R_{CP}(0) \simeq \left( \frac{F(\eta')}{F(\eta)} \right)^2 < 1 \quad (16) \]
approximately independent of $k$ and $k'$. As $\eta'/\eta$ increases, the ratio $R_{CP}(0)$ decreases, in agreement with experimental data.

As $p_T$ increases we have

$$R_{CP}(p_T) \sim \frac{1 + F(\eta)p_T^2 / <p_T^2>_{1i}}{1 + F(\eta')p_T^2 / <p_T^2>_{1i}}$$ (17)

and $R_{CP}(p_T)$ increases with $p_T$ (again, $F(\eta) > F(\eta')$).

At large $p_T$,

$$R_{CP}(p_T) \sim \frac{F(\eta)k'}{F(\eta')}k(p_T^{2+k-k'})$$ (18)

which means that if we are in the low density regime $k > k'$ and $R_{CP}(p_T) > 1$, and we reproduce the Cronin effect. As we increase the energy, the density increases and on reaches the high density regime where $k' < k$ and suppression of $p_T$ occurs. The Cronin effect disappears at high energies and/or densities. The critical density at which the Cronin effect disappears is the same at which the transverse momentum fluctuations presents a maximum.

$R_{CP}(p_T)$ for two different particles, for instance $p$ and $\pi$, becomes, at intermediate $p_T$,

$$\frac{R_{CP}^p(p_T)}{R_{CP}^\pi(p_T)} \sim \left(\frac{<p_T^2>_{1p}}{<p_T^2>_{1\pi}}\right)^{k-k'}$$ (19)

As $<p_T^2>_{1p} < <p_T^2>_{1\pi}$, in the high density limit (Au-Au collisions $k' > k$) we expect a ratio larger than 1, as the experimental data show.

As far as we approach the low density limit, the ratio decreases, becoming closer to 1 or even lower.

A more detailed comparison with experimental data on Au-Au, d-Au collisions discussion of the forward rapidity region can be found in reference [24]. An overall reasonable agreement is obtained. It is very remarkable that such agreement is based on the universal behaviour of the $p_T$ distribution given by Equation (13).

### 6 Bose-Einstein Correlations

Most of the studies of two body Bose-Einstein (B.E) correlations have paid attention to the parameters $R_{side}$, $R_{out}$, $R_L$ and not to the strength of the correlation, defined by the chaoticity parameter

$$C_2(0,0) = \lambda$$ (20)

Experimentally, due to Coulomb interference and to the necessary extrapolations there are many uncertainties in its evaluation, however, some trend of the dependence of $\lambda$ on the multiplicity can be established. First, SPS minimum bias data for O-C, O-Cu, O-Ag and O-Au collision show that $\lambda$ decreases as the size of the target increases, from $\lambda = 0.92$ up to $\lambda = 0.16$. However for S-Pb and Pb-Pb central collisions, where the values of $\eta$ are larger $\lambda$ is also larger, $\lambda \approx 0.5 - 0.7$.

This behaviour can easily explained in the percolation framework [24]. Each cluster can be considered as a chaotic source $\lambda = 1$, and the production of particles from several clusters can be seen as the superposition of chaotic sources. In this scheme, $\lambda = n_s/n_t$, being $n_s$ the number of pairs produced in the same cluster and $n_T$ the total number of pairs. In this way, $\lambda$ is proportional to the inverse of the number of independent sources (clusters), therefore it decreases with the density up to the critical percolation value. From this critical value, it increases with density. This behaviour is shown in Fig. 5.

Similar considerations can be done concerning the strength of the three body B-E correlations, $\omega$. NA44 Collaboration has obtained for S-Pb collisions, $\omega = 0.20 \pm 0.02 \pm 0.19$ and for central Pb-Pb collisions $\omega = 0.85 \pm 0.02 \pm 0.21$. STAR collaboration obtains for central Au-Au collisions values of $\omega$ close to 1. This sharp variation from $\omega = 0.2$ to $\omega = 0.8 - 1$ in a small range of $\eta$ is easily explained in the framework of percolation of strings. Now $\omega$ becomes proportional to the inverse of the squared of the number of independent sources (clusters) what can acomodate stronger variation compared to the case of two body. In Fig. 6 our result is shown [30].
7 Forward-Backward Correlations

An useful observable to check the percolation approach is the forward-backward correlation measured by the quantity

\[ D_{FW} = <n_F n_B> - <n_F><n_B> \]  

(21)

where \( n_{F(B)} \) denotes the multiplicity in a forward (backward) rapidity interval. In order to eliminate the short range correlations, the forward and backward intervals should be separated by at least one unit of rapidity. On general grounds, one can see that \( D_{FW} \) is proportional to the fluctuations on the number of independent sources, (or clusters in our case)\(^{31-32}\). At very low density, \( D_{FW} \) should be very small, increasing with the density up to a maximum related to the largest number of clusters. At very high density, there is essentially only one cluster and hence \( D_{FW} \) becomes small again.

There are some experimental data measuring the parameter \( b \), through

\[ <\mu_B>_F = a + b \mu_F \]  

(22)

where \( b \equiv D_{FB}/D_{FF} \). The data on \( pp \) and \( pA \) show on increase of \( b \) with energy and density. Our prediction for high density is that \( b \) will decrease. Measurements of \( D_{FW} \) or \( b \) as a function of centrality would be welcome.

8 Conclusion

The percolation of partons and strings can describe rightly several observables, namely \( J/\psi \) suppression, multiplicities, transverse momentum fluctuations, transverse momentum distributions and B-E correlations. The behaviour of all of them has a common physical basis: the clustering of color sources and the dependence of the number of cluster on the density. In this way, the threshold of \( J/\psi \) suppression, the maximum of transverse momentum fluctuations, the suppression of the Cronin effect and the turnover of the dependence of the strength of two and three body correlation with the energy are related to each other and all of them point out a percolation phase transition. Another test of this transition is the measurements of forward-backward correlations and also the multiplicity distributions not discussed here \(^{33}\).

Many of the results obtained in the framework of percolation of strings are very similar to the one obtained in the color glass condensate (CGC). In particular, very similar scaling lows are obtained for the product and the ratio of the multiplicities and transverse momentum. For this reason, it is very tempting to identify the momentum \( Q_s \) which established the scale in CGC with the corresponding are in percolation of string. In this way

\[ Q_s^2 = \frac{k \langle p_T^2 \rangle_1}{F(\eta)} \]  

(23)

The consequences of Eq. \(^{23}\) are under study.

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