Excited spectroscopy of charmed mesons from lattice QCD

Graham Moir, a Michael Peardon, a Sinéad M. Ryan, a Christopher E. Thomas a and Liuming Liu b

a School of Mathematics, Trinity College, Dublin 2, Ireland
b Helmholtz-Institut für Strahlen- und Kernphysik and Bethe Center for Theoretical Physics, Universität Bonn, D-53115 Bonn, Germany
(for the Hadron Spectrum Collaboration)

E-mail: moirg@tcd.ie, mjp@maths.tcd.ie, ryan@maths.tcd.ie, thomasc@maths.tcd.ie, liuming@hiskp.uni-bonn.de

Abstract: We present spectra of highly excited D and Ds mesons up to around 3.8 GeV determined using dynamical lattice QCD. We employ novel computational techniques and the variational method with a large basis of carefully constructed operators in order to extract and reliably identify the continuum spin of an extensive set of excited states. These include states with high spin and states identified as having an explicit gluonic contribution. Calculations were performed on two volumes, both with a pion mass of approximately 400 MeV, achieving a high statistical precision for both ground and excited states. We discuss our results in light of experimental observations, comment on the phenomenological implications and identify the lightest ‘supermultiplet’ of hybrid mesons in each sector.
1 Introduction

The spectra of open-charm mesons contain a number of experimentally well-established states [1]: eight “charm-light” $D$ mesons with isospin $I = 1/2$ and six “charm-strange” $D_s$ mesons with non-zero strangeness and zero isospin. Many of the states whose spin, $J$, and parity, $P$, are well determined fit the pattern expected from model predictions but a subset of these, as well as additional states which require experimental confirmation, do not and remain unexplained. Suggestions such as hybrid mesons (in which the gluonic field is excited), molecular mesons and tetraquarks (with four valence quarks) have been proposed, but no clear picture has emerged. In particular, the masses and widths of the enigmatic $D_{s0}^{*}(2317)^{\pm}$ and $D_{s1}(2460)^{\pm}$ are lighter and narrower than in quark models [2, 3]. Since the discovery of these resonances at BABAR [4] and CLEO [5] respectively, there has been much debate about their nature and the situation is yet to be resolved.

A simple picture of a meson describes it as a bound state of a quark and an antiquark. If the meson is an eigenstate of charge-conjugation with quantum number $C$, some $J^{PC}$
combinations are inaccessible in this description; such states are termed ‘exotic’. The charmonium system provides an arena for experiments such as BESIII and PANDA to search for these exotics, with the observation of such a state being a smoking gun for physics beyond quark models. A recent study of charmonium by the Hadron Spectrum Collaboration found states with exotic quantum numbers and showed that these, along with other non-exotic states, could be identified as hybrid mesons [6]. On the other hand, open-charm mesons are not eigenstates of charge conjugation and so there are no such exotic quantum number combinations. This increases the difficulty of experimentally identifying a multiquark or hybrid state in the open-charm sector. However, hybrid states are still expected to be present in the spectrum and the system provides an important probe of the dynamics of excited glue in another environment.

A full understanding of QCD and its spectrum requires ab initio calculations to compare against and inform experimental searches, and to discriminate between models to glean the relevant physics. Spectroscopic calculations on the lattice have a long history and an accurate determination of the low-lying experimental spectrum is considered an acid test of the precision and accuracy of the method. Current lattice QCD calculations have reached this benchmark as shown by the extremely precise calculations of the so-called “gold plated” hadron spectrum [7].

The extraction of higher-lying spectroscopic states on the lattice is more problematic. In lattice calculations space-time is discretised on a hypercubic grid of points and its volume reduced to that of a few fermi. The breaking of Lorentz invariance reduces the full continuum rotation symmetry to that of the octahedral group, $O_h$; this means that states at rest are no longer classified according to their spin, $J$, but by the irreducible representations (irreps) of the octahedral group. Since there are only five irreps of $O_h$ for each parity and an infinite number of spins, each irrep contains an infinite number of spins and the various components of states with $J \geq 2$ are distributed across several irreps; this makes the task of disentangling the spin of states difficult. The Hadron Spectrum Collaboration has addressed the problem of this reduced symmetry through the use of large bases of carefully constructed interpolating operators. In combination with dynamical anisotropic lattices [8, 9] and the distillation technique [10], this methodology has recently been used successfully to extract highly excited spectra and to reliably identify the continuum quantum numbers of high spin states for both light and heavy mesons [6, 11–13], as well as for baryons [14–17]. We will use the same methodology in this study and summarise the important points below.

Previous calculations of the open-charm meson spectra have mainly focused on determining the lowest-lying $S$-wave [(0, 1)$^-$] and $P$-wave [(0, 1, 2)$^+$] states (see Refs. [18–20] for some recent investigations). Only more recently have calculations begun to explore higher up in the spectrum [21–24] and we discuss these studies in Section 5.2. In this paper we extract $D$ and $D_s$ meson excitation spectra at a single lattice spacing with a pion mass of approximately 400 MeV and we reliably identify the continuum quantum numbers of the extracted states. The results presented here are the most extensive determination of the

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$^1$here we are only considering the single-cover representations relevant for mesons
open-charm spectra from lattice QCD to date, include all $J^P$ combinations with $J \leq 4$ and consider states with a hybrid nature. Preliminary results from this work have been presented in conference proceedings [25].

In addition, this work lays a foundation for more detailed investigations of resonances above strong-decay threshold in both charmonium and the open-charm sector, including the enigmatic new states. These studies will consider scattering involving $D$ and $D_s$ mesons for which the determination of masses and dispersion relations discussed in this paper is an important prerequisite. We can compute the multi-meson energy levels in a finite volume by supplementing our operators with those designed to efficiently create such states [26, 27]. The resulting denser spectrum can be analysed, at least in the case of elastic scattering, using the Lüscher methodology [28] and its extensions, and the mass and width of resonances determined.

2 Lattice discretisation and interpolating operators

The calculations presented here make use of ensembles generated by the Hadron Spectrum Collaboration; for more details see Refs. [8, 9]. These gauge field configurations include the dynamics of two mass-degenerate light quarks and a strange quark, while the charm-quark field is quenched. We use an anisotropic discretisation in which the spatial lattice spacing, $a_s$, and the temporal lattice spacing, $a_t$, are distinct and related via $\xi = a_s/a_t \approx 3.5$. This ensures that we have $a_t m_c \ll 1$, where $m_c$ is the bare charm-quark mass, and the standard relativistic formulation of fermions can be used to study states in which the charm quark four-momentum is closely aligned with the temporal axis. It is worth noting that in these computations $a_s m_c$ is also less than unity. The anisotropy, $\xi$, is discussed further in Section 4.

2.1 Gauge and light-quark fields

In the gauge sector, a Symanzik-improved anisotropic action with tree-level tadpole-improved coefficients is used. For all the quark fields, a tree-level tadpole-improved Sheikholeslami-Wohlert (clover) anisotropic action with stout-smeared spatial links [29, 30] is employed. In Refs. [8, 9] the parameters of these actions were determined in order to study light-hadron spectroscopy using dynamical light and strange quarks.

In lattice computations, all quantities are calculated in terms of the lattice spacing and, to make contact with experiment and present results in physical units, a scale-setting is introduced. Here we follow Ref. [9], using the ratio of the $\Omega$-baryon mass measured on these ensembles, $a_t m_\Omega = 0.2951(22)$ [15], to the experimental mass, $m_\Omega = 1672.45(29)$ MeV [1], to determine $a_t$. This yields $a_t^{-1} = 5.67(4)$ GeV and a spatial lattice spacing about 3.5 times larger, $a_s \approx 0.12$ fm. We perform calculations on two lattice volumes corresponding to spatial extents of about 1.9 fm and 2.9 fm. Table 1 summarises the two ensembles used in this study, with full details given in Refs. [8, 9].
| Lattice size | \(m_\pi/\text{MeV}\) | \(N_{\text{cfgs}}\) | \(N_{\text{tsrcs}}\) | \(N_{\text{vecs}}\) |
|-------------|------------------|----------------|----------------|----------------|
| \(16^3 \times 128\) | 391 | 96 | 128 | 64 |
| \(24^3 \times 128\) | 391 | 553 | 16 | 162 |

Table 1. The gauge-field ensembles and quark propagators used in this work on two lattice volumes, \((L/a_s)^3 \times (T/a_t)\), where \(L\) and \(T\) are respectively the spatial and temporal extents of the lattice. The number of gauge-field configurations used, \(N_{\text{cfgs}}\), and the number of time-sources for quark propagators per configuration, \(N_{\text{tsrcs}}\), are shown; \(N_{\text{vecs}}\) refers to the number of eigenvectors used in the distillation method [10].

2.2 The charm-quark action

Lattice QCD has a long-standing problem when trying to perform calculations involving heavy quarks moving relativistically. One requires very fine lattices because the usual lattice actions lead to large discretisation artefacts when the lattice spacing \(a \geq m_0^{-1}\), where \(m_0\) is the bare quark mass. In theory there is a simple solution: perform calculations on a much finer lattice. But in practice, this is computationally expensive if a large enough spatial volume is maintained. Recently, anisotropic lattices have been used to circumvent the problem by employing a temporal lattice spacing smaller than that in the spatial direction. This enables simulations with \(a_t m_0 \ll 1\), allowing correlation functions to be studied in detail and discretisation artefacts of heavy quarks to be kept under control, while maintaining the spatial directions coarse enough to keep the computational cost reasonable.

In Ref. [6], a valence charm quark was introduced and used to study the charmonium spectrum. The bare mass of the charm quark was non-perturbatively determined by requiring the ratio of the \(\eta_c\) mass to the \(\Omega\)-baryon mass to take its experimental value. The same action was used for the charm-quark field as for the light and strange quarks, except the parameter giving relative weights to spatial and temporal finite differences was tuned to give a relativistic dispersion relation, consistent with \(\xi = a_s/a_t = 3.5\), for the \(\eta_c\) meson at low momentum. In this study we use the same actions and set of parameters. In Section 4, we investigate \(D\) and \(D_s\) mesons with non-zero momentum and find that they satisfy a relativistic dispersion relation, again consistent with \(\xi = 3.5\).

2.3 Operator construction

Spectral information can be obtained from Euclidean two-point correlation functions determined by lattice QCD computations,

\[
C_{ij}(t) = \langle 0 | \mathcal{O}_i(t) \mathcal{O}_j^\dagger(0) | 0 \rangle, \tag{2.1}
\]

where \(\mathcal{O}^\dagger(0)\) and \(\mathcal{O}(t)\) are respectively the source and sink interpolating fields (creation and annihilation operators). By inserting a complete set of eigenstates of the Hamiltonian, the correlation function becomes a sum over all states with the same quantum numbers of the interpolating fields,

\[
C_{ij}(t) = \sum_n Z^i_n Z^n_j 2E_n e^{-E_n t}, \tag{2.2}
\]
Table 2. Gamma matrix combinations and naming scheme.

| $\Gamma$ | $a_0$ | $\pi$ | $\pi_2$ | $b_0$ | $\rho$ | $\rho_2$ | $a_1$ | $b_1$ |
|----------|------|------|------|------|------|------|------|------|
| 1        | $\gamma_5$ | $\gamma_0\gamma_5$ | $\gamma_0$ | $\gamma_i$ | $\gamma_0\gamma_i$ | $\gamma_5\gamma_i$ | $\gamma_0\gamma_5\gamma_i$ |

Table 3. Distribution of continuum spins across the irreps of $O_h$, $\Lambda$ (dim), where dim is the dimension of the irrep.

| $J$ | $\Lambda$ (dim) |
|-----|----------------|
| 0   | $A_1(1)$        |
| 1   | $T_1(3)$        |
| 2   | $T_2(3) \oplus E(2)$ |
| 3   | $T_1(3) \oplus T_2(3) \oplus A_2(1)$ |
| 4   | $A_1(1) \oplus T_1(3) \oplus T_2(3) \oplus E(2)$ |

with the discrete nature of the spectrum arising from the finite volume of the lattice. The vacuum-state matrix elements $Z^n_i \equiv \langle n|O^\dagger_i|0 \rangle$, or overlaps, are used to identify the continuum spin of the extracted states as discussed in Section 3.1 and to probe their structure.

In order to maximise the spectral information we can extract from correlation functions we employ the following strategies. Firstly, we construct a large basis of operators with a variety of spin and spatial structures that allows us to explore all $J^P$ combinations with $J \leq 4$. In general, these operators take the form of a quark bilinear with an operator insertion $\Gamma$,

$$O_{ff'} = \hat{\psi}_f \Gamma \psi_f' = \hat{\psi}_f \Gamma \overrightarrow{\partial}_i \overrightarrow{\partial}_j \cdots \psi_{f'} ,$$

(2.3)

where $f, f'$ label the quark flavours, $\Gamma$ is a gamma matrix combination taken from Table 2 and the projection onto definite momentum and spatial indices are suppressed for clarity. The ‘forward-backward’ covariant derivative is defined as $\overrightarrow{\partial} \equiv \overrightarrow{\partial} - \overrightarrow{\partial}$. As described in Refs. [11, 12], the derivatives and vector-like gamma matrices are first expressed in a circular basis so that they transform as $J = 1$. Using the usual $SO(3)$ Clebsch-Gordan coefficients they can then be combined to form operators, $O^J$, with any desired continuum $J^P$. In order to use these operators in lattice calculations they are subduced into the relevant irrep(s) of $O_h$ [12] and Table 3 shows how the continuum spins are distributed across these irreps. Operators subduced into irrep $\Lambda$ and irrep row $\lambda$ from spin $J$ are denoted by $O^{[J]}_{\Lambda,\lambda}$ and our operator naming scheme is explained in Ref. [12]. The number of subduced operators employed in each irrep is shown in Table 4.

The operator in the bilinear, $\Gamma$, is constructed to have well-defined transformation properties under transposition, $\Gamma^T = \pm \Gamma$. We denote the eigenvalue of $\Gamma$ under this transformation as $C_\Gamma = \pm 1$. If the quark and antiquark fields have the same flavour, i.e. $f = f'$, this corresponds to the charge-conjugation quantum number, $C$. Similarly, if $f \neq f'$ but $f$ and $f'$ are degenerate in mass, this is related to a quantum number which is a generalisation of $C$, e.g. $G$-parity relevant for mesons consisting of degenerate up and
\[ \begin{array}{c|cc} \Lambda & \Lambda^- & \Lambda^+ \\ \hline A_1 & 18 & 18 \\ A_2 & 10 & 10 \\ T_1 & 44 & 44 \\ T_2 & 36 & 36 \\ E & 26 & 26 \\ \end{array} \]

Table 4. The number of operators used in each lattice irrep, \( \Lambda^\Gamma \). All combinations of gamma matrices and up to three derivatives are included.

down quarks. For \( D \) and \( D_s \) mesons, \( f = c, f' \in \{u, d, s\} \) and there is no mass degeneracy between the quark and antiquark fields in our operators. As a result, states created by operators with opposite \( C_T \) can mix to form an eigenstate of the QCD Hamiltonian. This effect will be considered in more detail in Section 3.

Secondly, we employ a now well-established quark smearing procedure known as distillation [10]. This procedure consists of applying a smoothing function to the quark fields, reducing the contamination from noisy UV modes that do not make a significant contribution to the low-energy physics we wish to extract. The distillation operator is defined via,

\[ \Box_{ij}(t) = \sum_{n=1}^{N_{vecs}} f(\lambda^{(n)}) v^{(n)}_i(t) v^{(n)\dagger}_j(t), \]  

where \( v^{(n)}_i(t) \) are the eigenvectors, sorted by eigenvalue \( \lambda^{(n)} \), of the three-dimensional gauge-covariant lattice Laplacian evaluated on the background of spatial gauge fields of timeslice \( t \). \( f(\lambda^{(n)}) \) is a smearing profile and in this study it is set to unity, although other smearing profiles could prove to be beneficial. Apart from the removal of short-distance modes, distillation has other significant benefits. One advantage arises from the outer product structure of the distillation operator, \( \Box \); the correlation function can be factorised into perambulators and elementals with the former containing information on the propagation of the quarks and the latter encapsulating the momentum and structure of the state created. This factorisation allows the perambulators to be stored and so reduces the computational cost of the calculation. Once the linear systems arising from the Dirac equation on a given gauge background have been solved for as many time-sources as required, correlation functions involving any elementals can be computed without further inversions. This enables us to perform calculations with large bases of operators having a variety of spin and spatial structures. In addition, distillation enables the efficient computation of annihilation contributions and of correlation functions involving multi-hadron operators.

Using distillation, for each irrep we compute two-point correlation functions for all possible combinations of our operators. Our methodology for analysing these correlators is described in the following section.
3 Correlator analysis

For each lattice irrep and flavour sector \((D\text{ and } D_s)\) we compute an \(N \times N\) matrix of correlation functions,

\[
C_{ij}(t) = \langle 0 | \mathcal{O}_i(t) \mathcal{O}_j^\dagger(0) | 0 \rangle,
\]

where \(i, j\) label the operators in the basis and \(N\) is the number of operators in the irrep, given in Table 4. We then apply the variational method [28, 31], now commonly used in lattice QCD calculations of spectra, which finds the optimal extraction, in a variational sense, of energies in a given channel. The details of our particular implementation along with various systematic tests are given in Ref. [12] and tests in the charmonium sector have been presented in Ref. [6]; here we will briefly summarise the important points. Practically, the procedure is realised via the solution of a generalised eigenvalue problem,

\[
C_{ij}(t) v_n^j = \lambda_n(t, t_0) C_{ij}(t_0) v_n^i,
\]

where the reference time-slice \(t_0\) must be chosen appropriately as described in Refs. [12, 32]. Solving this for each \(t\) yields eigenvalues, \(\lambda_n(t, t_0)\), and eigenvectors, \(v_n^j\), where \(n = 1, 2, \ldots, N\). The method is very powerful for extracting excited-state energies; even nearly degenerate states can be distinguished because such states in general have different patterns of operator-state overlaps corresponding to distinct orthogonal eigenvectors.

The eigenvalues, known as principal correlators, allow access to the energies, \(E_n\), via their dependence on \((t - t_0)\); for sufficiently large times they are proportional to \(e^{-E_n(t-t_0)}\). The eigenvectors are related to the overlaps, \(Z_n^i\), which give information on the structure of a state and, as will be described below, enable us to identify the continuum spin of a state. For insufficiently large times, there will be some contamination from excited states in the principal correlators. In order to ‘mop up’ this contamination and enable us to stabilise the fits by considering a larger temporal extent, we use a fitting function containing two exponentials of the form,

\[
\lambda_n(t) = (1 - A_n)e^{-m_n(t-t_0)} + A_ne^{-m'_n(t-t_0)},
\]

where the fit parameters are \(m_n\), \(m'_n\) and \(A_n\). Empirically we find that the contribution from the second exponential decreases rapidly as we increase \(t_0\). Our best estimate of the mass of the state is \(m_n\) and we do not further consider the quantities \(m'_n\) and \(A_n\).

The combination of distillation and variational analysis of correlator matrices with large bases of subduced operators has proved very effective at extracting spectra of excited and high-spin light mesons [11–13], charmonia [6] and baryons [15, 16].

3.1 Spin identification

In principle, to determine the continuum spin, \(J\), of states extracted in lattice QCD calculations, the spectrum can be computed at finer and finer lattice spacings and then extrapolated to the continuum limit. Exact degeneracies will then emerge between states of the same spin subduced into different irreps of \(O_h\); these can be matched up between irreps enabling the spin to be determined from the pattern of degeneracies. However, there
are a number of problems with this approach. The high computational cost of performing calculations at multiple fine lattice spacings means that this is not currently feasible for computations of excited states. More problematically, there can be physical degeneracies or near-degeneracies in the dense high-lying spectrum; the question then arises as to how to distinguish these, with a finite statistical precision, from artefacts due to a finite lattice spacing. It would be useful to have a spin identification scheme that can be used to reliably determine the spin at a single lattice spacing. As we will see in Section 5, the dense spectrum of excited states would be impossible to disentangle without more information beyond solely the masses of states. This is particularly relevant in the $D$ and $D_s$ meson sectors where a lack of charge-conjugation symmetry makes the spectrum more dense than, for example, the charmonium sector.

The Hadron Spectrum Collaboration has recently developed a scheme [11, 12] to identify the continuum spin of an extracted state at a single lattice spacing by using information from the operator-state overlaps defined in Section 2.3. Further details and a demonstration of the efficacy of this approach are presented in Ref. [12] and here we will summarise the method; an application to the charmonium sector is given in Ref. [6]. The subduced operators, $O_{\Lambda,\Lambda'}^{[J]}$, respect the symmetry of the lattice but we find that they also carry a ‘memory’ of the continuum spin, $J$, from which they were subduced. If full rotational symmetry reasonably describes transformations of the smeared fields on hadronic scales, we would expect that an operator subduced from a continuum spin $J$ will overlap predominantly onto states of continuum spin $J$. Figs. 1 and 2 show that these statements are justified at the level of the correlation matrix and the overlaps respectively. In Fig. 1 we show the normalised correlation matrix at time-slice 5 for the $T_1^+$ irrep in the charm-light ($D$) sector. The matrix is approximately block diagonal in $J$, suggesting that, for example, an operator subduced from $J = 1$ has little contribution from the $J = 3$ and $J = 4$ states. As discussed in Section 2.3, charm-light and charm-strange mesons are not eigenstates of charge conjugation. However, our operator insertions have a definite symmetry under transposition (equivalent to charge conjugation of the operator followed by the interchange of the quark and antiquark flavours) and we use this to label the operators, $C_\Gamma = \pm$. This would correspond to a generalisation of charge-conjugation parity (analogous to $G$-parity) if the charm and light/strange quarks were degenerate, i.e. if we had $SU(4)$ symmetry. The lack of such a symmetry is shown in Fig. 1 where significant overlap between $C_\Gamma = +$ and $C_\Gamma = -$ operators is observed.

In Fig. 2 we show the overlaps of a selection of operators onto a selection of low-lying states in the $T_1^+$ irrep of the charm-strange ($D_s$) sector. Every state clearly shows a strong preference to overlap onto only operators subduced from a particular spin. The lack of a generalised charge-conjugation symmetry is shown by the observation that the $J = 1$ state has strong overlap onto both $C_\Gamma = +$ and $C_\Gamma = -$ operators. The subset of overlaps we show here is representative of the full operator basis – the observation that each state only overlaps strongly onto operators subduced from a particular spin is repeated across our entire set of spectra.

For $J \geq 2$, we can also match up the states across different irreps of $O_h$ by comparing the absolute values of the overlaps. Up to discretisation effects, these values should be
Figure 1. The normalised correlation matrix, \( C_{ij} / \sqrt{C_{ii} C_{jj}} \), on time-slice five for the \( T_1^+ \) irrep in the charm-light (D) sector on the 24\(^3\) volume. The operators are ordered according to continuum spin, \( J \), as indicated by the labelling. For each value of \( J \) the operators are then ordered according to their symmetry, \( \Gamma \), as described in the text. The correlation matrix is observed to be approximately block diagonal in spin.

consistent for states subduced from the same continuum state with spin \( J \). In Fig. 3 we plot a selection of overlaps for states conjectured to have \( J^P = 2^- \), \( 3^- \) and \( 4^- \), demonstrating there is good agreement between the overlaps extracted in different irreps. This observation is repeated across our entire spectra though, as we expect, there are slight deviations from equality due to discretisation effects. To quote masses for \( J \geq 2 \) states we perform joint fits to the principal correlators in each irrep as described in Ref. [12].

In summary, we have demonstrated that the method for identifying the continuum spin of extracted states that was developed in Refs. [11, 12] is applicable to studies of charm-light and charm-strange mesons. We will use this approach to identify the \( J \) of the extracted states that we present in Section 5.

4 Dispersion relations

One purpose of this investigation is to ensure we can study heavy-light mesons reliably on these anisotropic lattices to enable subsequent calculations involving scattering of these states. This is essential if the nature of the charmonium and charmed resonances above the open-charm threshold is to be understood. To determine scattering properties of mesons using lattice QCD requires the computation of the full spectrum of energy eigenstates, including those made up predominantly of more than one meson. For these determinations
Figure 2. The overlaps, $Z$, of a selection of operators onto a selection of states in the charm-strange ($D_s$) $T_1^+$ irrep on the $24^3$ volume. In this plot the overlaps have been normalised so that the largest value for an operator across all states is equal to unity. The error bars indicate the one sigma statistical uncertainty. For each state, the operators are coloured from left to right as: pink ($a_0 \times D_{J=1}^{[2]}$), red ($b_1 \times D_{J=0}^{[2]}$), blue ($b_1 \times D_{J=2}^{[2]}$), red ($\rho \times D_{J=1}^{[1]}$), pink ($\rho \times D_{J=2, J=1}^{[3]}$), light blue ($\rho \times D_{J=2, J=2}^{[3]}$), and gold ($\rho \times D_{J=2, J=3}^{[3]}$). The first three operator insertions have negative symmetry ($C_T = -$) and the last four have positive symmetry ($C_T = +$) as explained in the text. States are labelled by their mass in temporal lattice units and the continuum spin of the dominant operators. ‘Hyb’ refers to a state which has relatively strong overlap with operators that are proportional to the field strength tensor, the commutator of two gauge-covariant derivatives.

Figure 3. A selection of absolute $Z$-values for the lightest states conjectured to have $J = 2$ (left pane), $J = 3$ (middle pane), $J = 4$ (right pane) in charm-strange ($D_s$) irreps, $\Lambda^-$, on the $24^3$ volume. For each $J$ we show the values of $Z$ for the same operator subduced into different irreps. The vertical size of a box corresponds to the one-sigma statistical uncertainty on either side of the mean.
Figure 4. Squared energies as a function of $|\vec{n}|^2$ for the lightest $S$-wave and $P$-wave states in both the $D$ (left panel) and $D_s$ (right panel) sectors. The points correspond to calculated energies and the statistical uncertainties are smaller than the size of the points. The lines are dispersion relations of the form of Eq. 4.1, as described in the text, with $m$ fixed to the rest energy of the appropriate meson and $\xi$ fixed to 3.46 for the charm-light mesons and 3.45 for the charm-strange mesons. The measured energies are seen to be well described by the dispersion relations.

to be reliable, the dispersion relations for the mesons produced by decays of the resonances should be relativistic up to the appropriate momenta set by the decay kinematics. Heavy quarks bring extra complications to these calculations but the anisotropic discretisation we use here may reduce the impact of the large mass scale, $m_Q$. For mesons moving with modest momenta, the heavy quark four-momentum will predominantly be aligned with the temporal direction, the most finely discretised lattice direction.

One well-studied artefact of heavy quarks on the lattice is that when $am_Q$ is $O(1)$ the dispersion relation for mesons appears non-relativistic and the kinetic and rest masses of the mesons differ. Heavy-light and heavy-heavy states are effected differently. On the anisotropic lattice, the relativistic dispersion relation is most naturally written,

$$(a_t E)^2 = (a_t m)^2 + \left(\frac{1}{\xi}\right)^2 (a_s |\vec{p}|)^2,$$  \hspace{1cm} (4.1)

where $\vec{p} = \frac{2\pi}{L} \vec{n}$ follows from the periodic boundary conditions of the spatial directions. Here $\vec{n} = (n_x, n_y, n_z)$ with $n_i$ taking integer values. To determine whether the anisotropic lattice has controlled the size of these artefacts, we determined the dispersion relations for a number of mesons in the $D$ and $D_s$ spectra. Recall that the parameters in the action for the charm quark are determined such that the $\eta_c$ meson has a relativistic dispersion relation consistent with $\xi = 3.5$; we obtained $\xi_{\eta_c} = 3.50(2)$ [6]. This compares with an anisotropy measured from the pion dispersion relation of $\xi_{\pi} = 3.444(6)$ [26]. If there was a problem with large discretisation artefacts, one symptom could be that the $\xi$ measured from the $D$ or $D_s$ dispersion relations would be different from the $\xi$ determined from the pion or the $\eta_c$.

To calculate dispersion relations for a subset of $D$ and $D_s$ mesons, we use two sets of operators. For mesons at rest we use the same basis of operators as described in Section 2.3, but for mesons of non-zero momenta we follow Ref. [34] and use operators constructed in
a helicity basis (with up to two derivatives) and then subduced into the relevant irreps. In Fig. 4 we show the squared energies as a function of $|\vec{n}|^2$ for the low-lying states in both the charm-light and charm-strange sectors. The points correspond to calculated energies and, as usual, if the state subduces into more than one irrep, these energies are from joint fits to the relevant principal correlators. We determine the anisotropies in the $D$ and $D_s$ sectors, $\xi_D$ and $\xi_{D_s}$, by fitting Eq. 4.1 to the ground state $(0^-)$ $D$ and $D_s$ energies with $|\vec{n}|^2 \leq 4$. This gives good fits with $\chi^2/N_{\text{dof}} \sim 1$ yielding $\xi_D = 3.46(1)$ and $\xi_{D_s} = 3.45(1)$. The lines in Fig. 4 are of the form of Eq. 4.1 with $m$ fixed to the rest energy of the relevant meson and $\xi$ fixed to our measured $0^- D$ or $D_s$ anisotropy as appropriate. We do not see much deviation of the higher energy states from the dispersion relations using these anisotropies. This suggests that we are correctly describing relativistic states up to at least $|\vec{n}|^2 = 4$ with an anisotropy not differing greatly from the measured pion and $\eta_c$ anisotropies. This is crucial for future calculations of scattering involving open-charm mesons.

5 Results

In this section we present the results of our study. We first compare the energy levels extracted in each lattice irrep across the two volumes, then give final spin-identified spectra and comment on other lattice investigations. We discuss the implications of our results and compare to experiment in Section 6.

5.1 Results by lattice irrep and volume comparison

The results of the variational analysis for each lattice irrep in the charm-light ($D$) and charm-strange ($D_s$) sectors are shown in Figs. 5 and 6 respectively. The vertical size of the boxes indicates the one sigma statistical uncertainty on either side of the mean while ellipses indicate that additional states may be present in the variational analysis in this energy region but these were not robust. The colour of a box represents the continuum spin assignment from the spin identification scheme discussed in Section 3.1: states identified as $J = 0$ are coloured black, $J = 1$ are red, $J = 2$ are green, $J = 3$ are blue and $J = 4$ are orange.

Components of $J \geq 2$ states are distributed across more than one lattice irrep and levels appear at similar masses, up to discretisation effects, in different irreps. The various components of the same continuum state are matched by comparing the overlaps as described in Section 3.1. From Figs. 5 and 6 it is clear that there are no significant differences between energies of the same continuum state subduced into different irreps. The extremely dense spectra of states appearing at and above $a_0 m \sim 0.52$ in the figures would be impossible to disentangle using only the extracted masses, emphasising the value of our spin identification scheme.

In general, throughout our spectra we find no significant volume dependence. However, there are a couple of exceptions: for the lightest $0^+$ and $1^+$, determined with high statistical precision, we find a $2\sigma$ discrepancy between the two volumes. These states lie precariously close to thresholds and therefore mixing with multi-meson states may be important and could be the cause of the observed volume dependence. Our operator bases do not include
Figure 5. The extracted spectrum of states in the $D$ meson sector labelled by irrep $\Lambda^P$. For each irrep results from both the $24^3$ and $16^3$ volumes are shown side by side. The vertical size of each box gives the one sigma statistical uncertainty on either side of the mean and the box colour refers to the continuum spin assignment as described in the text. The light cyan boxes represent states that were not very well determined in the variational analysis; ellipses indicate that additional states may be present in these energy regions but were not robustly determined.

Figure 6. As Fig. 5 but for the $D_s$ meson sector.
any operators that ‘look like’ two-mesons and so, as discussed in Refs. [12, 27], we do not expect to be able to reliably extract multi-meson energy levels; a conservative approach is to suggest that our mass values are accurate only up to the hadronic width. Note that in the charm-strange sector these states correspond to the enigmatic $D_s^*(2317)^\pm$ and $D_{s1}(2460)^\pm$ which have been suggested to be molecular states of two mesons or have their properties modified by interaction with the nearby thresholds. To investigate this in more detail, in future work we will include multi-meson operators in our bases. This will result in a denser spectrum that can be analysed along the lines described in Refs. [26, 27]. Ref. [22] has recently performed some investigations of the lightest $0^+$ and $1^+$ charm-light resonances in simulations with dynamical up and down quarks (strange-quark degrees of freedom were not included).

With these possible exceptions we find no significant volume dependence and the pattern of states across irreps is consistent with components subduced from states of definite continuum spin (multi-hadron states would show a different pattern). We therefore generally see no clear evidence for multi-hadron states in our spectra.

5.2 Final spin-identified spectra

Our final results are the spin-identified spectra from the $24^3$ volume – these were obtained with higher statistics than those from the $16^3$ volume and we can reliably extract and identify the spin of a larger number of states. In addition, the large volume means that any possible finite-volume effects will be less important. We show our spin-identified spectra for charm-light ($D$) and charm-strange ($D_s$) mesons in Figs. 7 and 8 respectively; here we only show well determined states for which the spin could be reliably determined. We show the calculated (experimental) masses with half the calculated (experimental) $\eta_c$ mass subtracted in order to reduce the systematic error from any imprecision in the tuning of the bare charm-quark mass (see Section 2). In Fig. 7, the dashed lines correspond to the lowest non-interacting $D\pi$ and $D_sK$ thresholds using our calculated masses (coarse green dashing) and using experimental masses (fine black dashing). The dashed lines in Fig. 8 correspond to the lowest non-interacting $DK$ threshold using our calculated masses (coarse green dashing) and using experimental masses (fine black dashing). These results are tabulated in Appendix A.

In the next section we give some interpretation of our results and compare with the experimental situation but first we discuss other lattice computations of excited charm-light and charm-strange spectra. Most lattice studies have concentrated on the lowest-lying $S$ and $P$-wave states below threshold (see Refs. [18–20] for some recent studies) and only in the last couple of years have calculations begun to explore states higher up in the spectrum [21–24]. These computations, like ours, have various systematic uncertainties which have not been fully accounted for and so a direct quantitative comparison is not appropriate. Depending on the study, these systematics come from having a finite lattice spacing, working in a finite volume, having light quarks with unphysical masses and not taking into account the finite width of states.

In Ref. [21] the focus is on the lowest-lying $S$ and $P$-wave multiplets though the first excited $S$-wave multiplet of $D_s$ mesons is also presented. Dynamical ($N_f = 2+1$) ensembles
Figure 7. The $D$ meson spectrum up to around 3.8 GeV labelled by $J^P$. The green boxes are our calculated masses on these ensembles with $M_\pi \sim 400$ MeV, while the black boxes correspond to experimental masses of neutral $D$ mesons from the PDG summary tables [1]. We present the calculated (experimental) masses with half the calculated (experimental) $\eta_c$ mass subtracted to reduce the uncertainty from tuning the bare charm-quark mass. The vertical size of each box indicates the one sigma statistical uncertainty on either side of the mean. The dashed lines show the lowest non-interacting $D\pi$ and $D_s\bar{K}$ thresholds using our measured masses (coarse green dashing) and experimental masses (fine black dashing).

Figure 8. As Fig. 7 but for the $D_s$ meson sector. The dashed lines indicate the lowest non-interacting $DK$ threshold using our measured masses (coarse green dashing) and using experimental masses (fine black dashing).
were used on a single volume for a number of different pion masses from 702 MeV down to 156 MeV; the Fermilab method [35] was used for the charm quark. Ref. [22] presents results from an $N_f = 2$ calculation (i.e. with no strange-quark fields) of the charm-light spectrum on a single volume with $M_\pi = 266$ MeV using the Fermilab method for the charm quark with the correlation functions computed using the distillation technique. The lowest-lying $0^\pm$, $1^\pm$, $2^\pm$ states are obtained along with some excited $0^-$ and $1^-$ states, and the lightest resonances in the $0^+$ and $1^+$ channels are investigated.

Refs. [23, 24] present preliminary results from dynamical computations of charm meson spectra for $J \leq 3$ at the $SU(3)$ point (strange quarks degenerate with the up and down quarks) with $M_\pi = 442$ MeV using the SLiNC action on a single volume. In all $J^P$ channels they obtain a ground state and a first excited state while for $0^-$ and $0^+$ they also calculate a second excited state. The treatment of the strange quarks in these calculations is very different from our approach. The preliminary computations have $SU(3)$ flavour symmetry with $M_K = M_\pi = 442$ MeV and therefore it is not straightforward to directly compare with our results.

The operator bases used in these studies are significantly smaller than ours, they do not include as wide a range of spatial structures and in general not all the relevant lattice irreps are considered. This means that a more limited number of states can be reliably extracted and makes a robust identification of the spin of excited and high-spin states difficult. In addition, as discussed in the following section, our inclusion of operators proportional to the commutator of gauge-covariant derivatives allows us to explore the hybrid content of our spectra.

6 Interpretation of results and hybrid phenomenology

In this section we give some interpretation of our spectra. We then discuss and compare our results to the experimental situation and explore the mixing between spin-singlet and spin-triplet axial-vector, tensor and vector hybrid states.

Our final results are shown in Figs. 7 and 8 for the charm-light and charm-strange mesons respectively, with both spectra showing a similar pattern of states. Most of the states fit into the $n^{2S+1}L_J$ classification expected by quark potential models, where $S$ is the spin of the quark-antiquark pair, $L$ is the relative orbital angular momentum, $n$ is the radial quantum number and $J$ is the total spin of the meson. Following Refs. [36, 37], the operator-state overlaps, $Z$, are used to identify the structure of extracted states and make $2S+1L_J$ assignments.

In both spectra, in the negative-parity sector we find a ground state $S$-wave pair $[0^-, 1^-]$ along with a first excitation $\sim 700$ MeV higher. We see a full $D$-wave $[(1, 2, 3)^-, 2^-]$ set at $\Delta E \sim 1400$ MeV, where $\Delta E$ is the mass with half the $\eta_c$ mass subtracted. We observe the second excitation of the $S$-wave at $\Delta E \sim 1900$ MeV and what appear to be parts of an excited $D$-wave set and parts of a $G$-wave $[(3, 4, 5)^-, 4^-]$ at $\Delta E \sim 2000$ MeV. The $5^-$ state needed to complete the $G$-wave multiplet is not obtained in our calculation; to reliably extract and identify a spin-five state we would need to include additional operators that overlap with such a state in the continuum, requiring operators with at least four
derivatives. We discuss below the supernumerary states appearing at energies between the $D$-wave and second excitation of the $S$-wave.

Moving to the positive-parity sector, we observe a full $P$-wave set $[(0, 1, 2)^+, 1^+]$ around the $D_s K$ threshold in the charm-light spectrum and around the $DK$ threshold in the charm-strange spectrum. We find a first excited $P$-wave set $[(2, 3, 4)^+, 3^+]$ present at $\Delta E \sim 1700$ MeV in both spectra. We discuss below the positive-parity states appearing around $\Delta E = 1900$ MeV.

In both the charm-light and the charm-strange spectra, in the negative parity sector we observe four states $\sim 1200$ MeV above the lowest $S$-wave states that do not appear to fit into the pattern expected by quark models; they are highlighted in red in Fig. 9. Unlike other states, these have relatively strong overlap with operators proportional to the field strength tensor on smeared gauge fields, suggesting that the hadronic-scale gluonic field plays an important role, and so following Ref. [12, 37] we identify these as the lightest “supermultiplet” of hybrid mesons. As explained in Ref. [37], states within a given supermultiplet should have similar operator-state overlaps. In Fig. 10 we show the overlaps for these states with operators $\left\{ \{\pi, \rho\} \times D_J^{[2]} \right\}_{J=1}$. As discussed in Section 6.2, the two $1^-$ hybrids are mixtures of spin-singlet and spin-triplet basis states and so they overlap with both the $\pi \times D_{J=1}^{[2]}$ and $\rho \times D_{J=1}^{[2]}$ operators. Therefore, for these states we plot $\sqrt{Z_{\pi}^2 + Z_{\rho}^2}$ where $Z_{\pi}$ and $Z_{\rho}$ are the overlaps with these two different operators. The similar $Z$ values suggest that these four states have a common structure, supporting their identification as members of a supermultiplet.

The observed pattern of states in the supermultiplet, expected if a quark-antiquark pair in $S$-wave is coupled to a $1^{+-}$ excited gluonic field, and its appearance at an energy scale $\sim 1.2$ GeV above the lightest conventional state are consistent with what was found.
in the light meson [37], light baryon [16] and charmonium [6] sectors, suggesting common physics. Those studies also discuss the first excited hybrid supermultiplet. We find candidate positive-parity hybrid states in both the charm-light and charm-strange spectra $\sim 1.5$ GeV above the ground state, the highest four positive-parity states in Figs. 7 and 8, but we do not robustly determine all the states in that energy region.

We have given an interpretation of our results in terms of non-relativistic quark model multiplets. In the heavy-quark limit, where the charm quark is much heavier than the light and strange quarks, another classification scheme is convenient because the spin of the heavy quark decouples. The light degrees of freedom have total angular momentum $j = L \otimes s_q$, where $s_q = 1/2$ is the spin of the light quark, and for $L > 0$ this results in two possible values, $j = |L \pm 1/2|$. Coupling with the spin of the heavy quark gives a pair of values for $J$ for each value of $j$; this pair corresponds to a degenerate doublet of states in the heavy-quark limit, labelled by $j^P$. For example, for $S$-wave ($L = 0$) states there is one doublet with $j^P = 1/2^- [(0,1)^-]$ and for $P$-wave ($L = 1$) states there are two doublets, $1/2^+ [(0,1)^+]$ and $3/2^+ [(1,2)^+]$. We discuss the heavy-quark limit in the context of mixing between spin-singlet and spin-triplet states in Section 6.2. Although the charm quark is heavy and so these considerations may provide a useful guide, we do not expect it to be heavy enough for the heavy-quark limit to apply rigourously. This is observed in the spectrum where, for example, the $0^-$ and $1^-$ states are not degenerate.

Applying an analogous argument to hybrids, coupling the light quark degrees of freedom with a $1^+$ excited gluonic field we have $j = L \otimes s_q \otimes 1$. For the lightest supermultiplet (with $L = 0$) this gives a $j^P = 1/2^-$ doublet with $J^P = (0,1)^-$ and a $j^P = 3/2^-$ doublet with $J^P = (1,2)^-$. In our spectra we note that, apart from the charm-light $2^-$ state, the $(0,1)^-$ and $(1,2)^-$ pairs of hybrids are more closely degenerate within a pair than between pairs, as suggested by the preceding argument.

### 6.1 Comparison with experiment

We now compare our results to the current experimental situation. Masses from the summary tables of the PDG review [1] are shown in Figs. 7 and 8 where the pattern of the rather limited number of experimental states is seen to be in general qualitative agreement with our spectra. However, some comments on the quantitative differences are warranted.
As discussed in Section 5, states above threshold can have large hadronic widths and a conservative approach is to only consider our masses accurate up to this scale. An additional systematic uncertainty arises from having light quarks that are unphysically heavy leading to a pion mass of \( \sim 400 \text{ MeV} \) (our strange quarks have approximately the correct mass). Below threshold we would expect this to be relatively unimportant in the charm-strange sector, but near or above thresholds involving mesons containing light quarks this could be significant. Another source of systematic uncertainty arises from having a finite lattice spacing and we discuss this in more detail below. We argued in Section 5 that, with a few exceptions, we see no significant finite-volume effects in our results.

We obtain a value of 124(1) MeV for the charm-light \( S \)-wave hyperfine splitting which is 18 MeV lower than the experimental value of 142.12 \( \pm \) 0.07 MeV for the neutral mesons[1]. We get 120(1) MeV for the analogous charm-strange splitting which deviates by 24 MeV from the experimental value of 143.8 \( \pm \) 0.4 MeV. In our recent study of the charmonium spectrum [6] we found a shortfall of \( \sim 40 \text{ MeV} \) in the \( S \)-wave hyperfine splitting. Investigating the dependence of the splittings on the spatial clover coefficient, \( c_s \), we found that an increase of this coefficient brought the hyperfine splittings in line with experiment. This suggested that the discrepancy was due to lattice discretisation effects and gave an approximate scale of \( \sim 40 \text{ MeV} \) for the leading \( O(a_s) \) systematic uncertainty. We performed an analogous test for the ground state \( S \)-wave charm-light and charm-strange mesons and found a similar result; boosting the clover coefficient to \( c_s = 2 \) from our tree-level tadpole-improved value (\( c_s = 1.35 \)) gave \( S \)-wave hyperfine splittings of 144(1) MeV in the charm-light sector and 139(1) MeV in the charm-strange sector, in line with the experimental values. This suggests that we can follow the arguments of Ref. [6] and assign a scale of \( \sim 20 \text{ MeV} \) to our leading \( O(a_s) \) systematic uncertainty.

In the charm-light case, we find our \( P \)-wave states are heavier than the experimental equivalents; this could be due to the unphysically heavy mass of our light quarks and/or interaction with the nearby thresholds. In the charm-strange sector, two of our \( P \)-wave states are consistent with experiment but the other two states, expected to correspond to the enigmatic \( D^*_s(2317)^\pm \) and \( D_{s1}(2460)^\pm \), are significantly higher than their experimental counterparts. We note that the \( 0^+ \) and \( 1^+ \) are very close to, respectively, the \( DK \) and \( D^*K \) thresholds, and both the experimental and calculated \( 0^+ \) states lie the same distance from their appropriate thresholds. This may suggest that the unphysically heavy light quarks are a major contribution to the discrepancy. However, because of the interaction with the threshold, as discussed in Section 5, further study is required with multi-hadron operators included in our bases.

We note that there have been experimental observations of additional higher-lying states; these are presented in the PDG’s meson listings but omitted from the summary tables [1]. Because they are still awaiting experimental confirmation we do not include them in our plots.

### 6.2 Mixing of spin-singlet and triplet states

In QCD, the charm quark is significantly heavier than the strange and light (up, down) quarks and therefore \( SU(4) \) flavour symmetry is badly broken. In particular, charm-strange
\[
\theta / ^\circ
\]

\[J^P \sim \rho - \rho_2 \sim \pi \sim \pi_2 \quad \text{Heavy-quark limit}
\]

|   |   |   |   |   |
|---|---|---|---|---|
| c-l | 1\(^+\) | 60.1(0.4) | 62.6(0.2) | 65.4(0.2) | 54.7 or 35.3 |
|    | 2\(^-\) | 26.7(2.2) | 22.2(3.7) | 18.9(3.9) | 50.8 or 39.2 |
|    | (hybrid) | 59.7(1.1) | 68.4(0.8) | 67.4(0.9) |   |
| c-s | 1\(^+\) | 60.9(0.6) | 64.9(0.2) | 66.4(0.4) | 54.7 or 35.3 |
|    | 2\(^-\) | 64.9(1.9) | 68.7(2.0) | 70.9(1.8) | 50.8 or 39.2 |
|    | (hybrid) | 59.9(1.7) | 67.9(0.9) | 67.3(0.9) |   |

Table 5. The absolute value of the mixing angles for the lightest pairs of 1\(^+\), 2\(^-\) and hybrid 1\(^-\) states in the charm-light (c-l) and charm-strange (c-s) sectors. The angles extracted using different operators are presented; these are labelled by the gamma matrix structure with the derivative structures described in the text. Also shown are the mixing angles expected in the heavy-quark limit. The apparent difference between the charm-light and charm-strange 2\(^-\) mixing angles is explained in the text.

and charm-light mesons are not eigenstates of a generalisation of charge-conjugation. In contrast, SU(2) isospin symmetry is a reasonable approximation in the light quark sector and light mesons are eigenstates of G-parity to a good approximation\(^2\). The absence of such a symmetry for charm mesons means that \(S = 1\) and \(S = 0\) quark-model states with \(J = L\) \(\{3L_J=L\} \) and \(1L_J=L\) can mix and probing this mixing can help us understand the internal dynamics and quantify flavour symmetry breaking. For flavourless mesons these would correspond to \(J^{PC} = J^{\pm\pm}\) and \(J^{\pm\mp}\) respectively. Using a two-state hypothesis and assuming energy-independent mixing (the states are close in energy), we can expand the pair of states \(A\) and \(B\) (we have chosen \(B\) to be the heavier state of our pair) in terms of spin-singlet and triplet basis states,

\[
\begin{align*}
|A\rangle &= + \cos \theta \ |^{1}L_{J=L}\rangle + \sin \theta \ |3L_{J=L}\rangle, \\
|B\rangle &= - \sin \theta \ |^{1}L_{J=L}\rangle + \cos \theta \ |3L_{J=L}\rangle.
\end{align*}
\]

In the non-relativistic limit, our operator \([\rho - \rho_2 \times D^{[L]}_{L}]_{J=L}\) only overlaps onto \(3L_{J=L}\) states and \([\{\pi, \pi_2\} \times D^{[L]}_{L}]_{J=L}\) only overlap onto \(1L_{J=L}\) states. There are analogous operators, \([\rho - \rho_2 \times D^{[2]}_{J}]_{J=1}\) and \([\{\pi, \pi_2\} \times D^{[2]}_{J}]_{J=1}\), which overlap onto respectively the spin-triplet and spin-singlet 1\(^-\) hybrids. To determine the mixing angle \(\theta\), we take the ratio of the overlap factor of one of the aforementioned operators for state \(A\) to the overlap factor for state \(B\): this ratio gives \(\tan \theta\) or \(\cot \theta\) depending on the operator used.

Extracted mixing angles for the lightest pairs of \(P\)-wave (1\(^+\)), \(D\)-wave (2\(^-\)) and hybrid (1\(^-\)) states are presented in Table 5 for each of the operators considered. Note that the overall sign (or in general the overall phase) of the overlaps for each state is not observable in our calculation and hence we determine the absolute value of the mixing angles. For each pair of states, the variation between mixing angles determined using the three different

\(^2\)Our lattice calculations have degenerate up and down Wilson quarks so isospin is an exact symmetry.
operators gives an idea of the size of the systematic uncertainties. As well as the usual lattice systematics, these include uncertainties arising from this simple interpretation, a two-state hypothesis with energy-independent mixing, and from assuming that the energy difference between the states is sufficiently small such that the renormalisation factors for the operators do not vary substantially over this energy range. A relatively large variation is seen in the $1^-$ hybrid mixing angles; this would be expected because here the assumptions are less justified: these states lie higher in the spectrum, there are other states relatively close by with the same quantum numbers and there is a relatively large mass splitting within a pair.

The $P$-wave ($^3P_1 - ^1P_1$) mixing angles are similar in the charm-strange and charm-light sectors, as are the mixing angles for the vector hybrids. For the $D$-waves ($^3D_2 - ^1D_2$), $\theta_{c-s} \approx 90^\circ - \theta_{c-l}$ because the dominantly $^3D_2$ state and the dominantly $^1D_2$ state are almost degenerate, and the mass ordering of our states is reversed in the charm-strange sector compared to the charm-light sector. Although our strange quark mass is close to the physical value [8], our light quarks are unphysically heavy giving a pion mass of $\sim 400$ MeV. Therefore $SU(3)$ (strange-light) flavour symmetry is not badly broken (e.g. $M_K/M_\pi$ is close to unity [12]) and we would expect to find similar mixing angles in the charm-light and charm-strange sectors. Because we have unphysically heavy light quarks, our determinations of mixing angles in the charm-strange sector might be expected to be closer to the physical values than those in the charm-light sector, but we note the other sources of systematic uncertainty discussed above.

In Table 5 we also show the mixing angles expected in the heavy-quark limit where $m_c \gg m_{u,d,s}$ [3]. As discussed above, in this limit the heavy-quark spin decouples and states appear in doublets labelled by $j^P$ where $j$ is the total angular momentum of the light degrees of freedom. For $P$-wave states there are two doublets $\frac{1}{2}^+ \left[ (0,1)^+ \right]$ and $\frac{3}{2}^+ \left[ (1,2)^+ \right]$. The $j^P$ $1^+$ states (appropriate for the heavy-quark limit) are linear combinations of the singlet ($^1P_1$) and triplet ($^3P_1$) states (appropriate for the equal-mass limit) corresponding to a particular mixing angle. An analogous situation arises for the other pairs of states.

Our mixing angles lie between zero mixing (the flavour symmetry limit, i.e. $0^\circ$ or $90^\circ$) and the heavy-quark limit values. This is consistent with what one expects because the charm quark mass is at an intermediate scale, larger than the light and strange quark masses but not large enough for the heavy-quark limit to apply. Quark model calculations and other determinations [2, 38–42] in general find significant mixing between the spin-singlet and spin-triplet states with, at least for the lightest $1^+$ pair, some deviation from the heavy quark limit. In comparison, because $SU(3)$ flavour symmetry is not strongly broken our calculations, near zero mixing was found in the kaon sector [12]; we would expect the analogous mixing angles in the $B$ meson system to be closer to the heavy-quark limit values.

7 Summary

We have computed extensive spectra of charm-light and charm-strange mesons using distillation and the variational method combined with a large basis of carefully constructed
operators. Along with our spin identification scheme, these techniques have allowed us to extract a high number of states across all possible $J^P$ combinations, up to and including states of spin four. Our calculations were performed on two volumes, and apart from the exceptions mentioned earlier, we do not observe any significant volume dependence.

Much of our spectra appears to follow the $n^{2S+1}L_J$ pattern expected by quark models but we also observe an excess of states that do not appear to fit into such a scheme. We have suggested that these states be interpreted as hybrid mesons due to their strong gluonic contribution. Their pattern ($J^P = [(0, 1, 2)^-, 1^-]$) and energy scale are consistent with that previously observed in both the light meson [37] and charmonia [6] sectors, and can be interpreted as a colour-octet quark-antiquark pair coupled to a $1^{+−}$ chromomagnetic excitation.

Using a non-relativistic interpretation of some of our operators, we extracted mixing angles between the spin-singlet and spin-triplet axial-vector $1^+$ states, $2^- D$-wave states and $1^−$ hybrid states. We found mixing angles intermediate between zero mixing and the heavy quark limit values. Results in both the charm-light and charm-strange sectors are very similar, but we note that $SU(3)$ flavour symmetry breaking is suppressed on our ensembles as we have unphysically-heavy up and down quarks; $M_K/M_π$ is close to unity. Nevertheless, we expect the flavour symmetry breaking in the charm-strange sector to be of the correct scale, bearing in mind the other systematic uncertainties discussed above.

A full understanding of the charm sector requires us to study resonances and this is particularly relevant for many of the enigmatic charmed and charmonium-like states. We have calculated dispersion relations for the low-lying charm-light and charm-strange states, laying the foundations for a study of scattering states, along the lines of Refs. [26, 27], using carefully constructed multi-hadron operators and the Lüscher methodology [28] with its extensions.

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A Tables of results

In Tables 6 and 7 we tabulate, respectively, the charm-light ($D$) and charm-strange ($D_s$) masses calculated on the $24^3$ ensemble, as presented in Figs. 7 and 8. The scale has been set using the $\Omega$-baryon mass as described in Section 2; note that $M_\pi \sim 400$ MeV in these computations. Half the calculated mass of the $\eta_c$ has been subtracted in order to reduce the systematic uncertainty arising from any imprecision in tuning the bare charm-quark mass (see Section 2).

| $J^P$ | $M - M_{\eta_c}/2$ (MeV) |
|---|---|
| 0$^-$ | 403(1) 1155(7) 1575(16) 1811(14) |
| 1$^-$ | 527(1) 1220(7) 1361(5) 1612(14) 1704(19) 1907(14) |
| 2$^-$ | 1377(5) 1380(5) 1856(15) 1911(29) 1944(19) |
| 3$^-$ | 1384(9) 1990(17) |
| 4$^-$ | 1968(24) 2028(23) |
| 0$^+$ | 854(3) 1505(11) 1861(16) |
| 1$^+$ | 959(3) 992(3) 1563(11) 1565(11) 1849(21) 1919(13) |
| 2$^+$ | 1024(3) 1594(10) 1707(5) 1929(13) |
| 3$^+$ | 1708(6) 1718(8) |
| 4$^+$ | 1720(14) |

Table 6. Summary of the $D$ meson spectrum, as presented in Fig. 7, with statistical uncertainties shown. Note that $M_\pi \sim 400$ MeV in these computations and more details are given in the text.

| $J^P$ | $M - M_{\eta_c}/2$ (MeV) |
|---|---|
| 0$^-$ | 469(1) 1186(8) 1631(13) 1835(15) |
| 1$^-$ | 589(5) 1267(6) 1398(5) 1674(13) 1771(16) 1952(13) |
| 2$^-$ | 1425(4) 1429(4) 1840(18) 1977(21) 1986(15) |
| 3$^-$ | 1452(6) 2030(14) |
| 4$^-$ | 2023(14) 2027(16) |
| 0$^+$ | 920(2) 1543(10) 1945(8) |
| 1$^+$ | 1019(3) 1055(5) 1601(10) 1613(9) 1895(16) 1988(34) |
| 2$^+$ | 1087(2) 1651(10) 1736(7) 1998(14) |
| 3$^+$ | 1749(7) 1767(7) |
| 4$^+$ | 1766(14) |

Table 7. Summary of the $D_s$ meson spectrum, as presented in Fig. 8, with statistical uncertainties shown. Note that $M_\pi \sim 400$ MeV in these computations and more details are given in the text.

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