Inelastic decay rate of quasiparticles in a two-dimensional spin-orbit coupled electron system

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We present a study of the inelastic decay rate of quasiparticles in a two-dimensional (2D) electron gas with spin-orbit interaction. The study is done within the $G^W_0$ approximation. The spin-orbit interaction is taken in the most general form that includes both Rashba and Dresselhaus contributions linear in magnitude of the electron 2D momentum. Spin-orbit interaction effect on the inelastic decay rate is examined at different parameters characterizing the electron gas and the spin-orbit interaction strength in it.

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I. INTRODUCTION

Nowadays, in condensed-matter physics and semiconductor microelectronics, two-dimensional (2D) electron system is one of the main objects of detailed study. Such a system is formed by, e.g., surface-state electrons or electrons in semiconductor heterostructures. Phenomenon that is observed in such systems and makes them of great interest, especially in context of spintronic applications, is spin-orbit interaction (SOI). This interaction arises from the structure inversion asymmetry of potential confining the electron system in directions perpendicular to the confinement plane (the Rashba spin-orbit interaction)1 and the bulk inversion asymmetry that is present in semiconductor heterostructures based on materials with a zinc-blende structure (the Dresselhaus spin-orbit interaction).2,3. The Dresselhaus interaction depends on semiconductor material and growth geometry, whereas the interaction strength of the Rashba SOI can be tuned via an externally applied electric field perpendicular to the confinement plane.4 As a result, one can controllably manipulate the spin in devices without recourse to an external magnetic field.5,6

In order to efficiently exploit the mentioned phenomenon, a theoretical study of dynamics of electrons and holes in the 2D spin-orbit coupled electron systems is needed. The most discussed and studied processes concerning this problem are spin relaxation and spin dephasing.7 However, to our knowledge, such crucial quasiparticle property as the lifetime of quasiparticles in the 2DEG with the Rashba SOI has been studied within a wide energy region. For material parameters typical for InGa1−xAs 2DEGs, it has been revealed that modifications induced by the SOI and the dependence on the subband index become noticeable, when the decay channel due to plasmon emission appears. The first joint theoretical and experimental investigation of hole lifetimes in a 2D spin-orbit coupled electron system has been done in Ref. 10. In addition to a demonstration of the weak influence of the SOI on hole lifetimes by the case of the Au(111) surface state, a hypothetical system, where the SOI can have a profound effect, has been considered.

In this work, we generalize the results on effect of the SOI on the quasiparticle lifetime. Within the $G^W_0$ approach with the screened interaction $W_0$ evaluated in the random-phase approximation (RPA), we study the inelastic decay rate of quasiparticles in a 2DEG with the Rashba and the Dresselhaus interactions linear in $k$—magnitude of the electron 2D momentum $k$. In our $G^W_0$ calculations, material parameters suitable for InAs quantum wells are taken. We compare the inelastic decay rates calculated at different ratios between the interaction strengths of the mentioned spin-orbit interactions. We show that on the energy scale, for the taken material parameters, the main visible effect induced by the SOI is modifications of the plasmon-emission decay channel via the extension of the Landau damping region. We also consider a hypothetical small-density case, when in the 2D spin-orbit coupled electron system the Fermi level is close to the band energy at $k=0$. For such a system, we predict strong subband-index dependence and anisotropy of the inelastic decay rate for electrons and appearance of a plasmon decay channel for holes.

II. APPROXIMATIONS

We consider a 2DEG described by the Hamiltonian $H=H_0+H_{SO}$ with $H_0=\frac{k^2}{2m^*}$ and the spin-orbit contribution on the subband index of the spin-orbit split band. To go beyond the limits of Ref. 8, in Ref. 9 the inelastic lifetime (decay rate) of quasiparticles in the 2DEG with the Rashba SOI has been studied within a wide energy region. For material parameters typical for InGa1−xAs 2DEGs, it has been revealed that modifications induced by the SOI and the dependence on the subband index become noticeable, when the decay channel due to plasmon emission appears. The first joint theoretical and experimental investigation of hole lifetimes in a 2D spin-orbit coupled electron system has been done in Ref. 10. In addition to a demonstration of the weak influence of the SOI on hole lifetimes by the case of the Au(111) surface state, a hypothetical system, where the SOI can have a profound effect, has been considered.

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that includes both Rashba and Dresselhaus terms. The latter is written with the assumption that a quantum well grown in the [001] direction is considered. In Eq. (1), $k_{x,y}$ are the electron momenta along the [100] and the [010] cubic axes of the crystal, respectively, $\sigma_{x,y}$ are the Pauli matrices, $m'$ is the effective electron mass, $\alpha$ and $\beta$ are the interaction strengths for the Rashba and the Dresselhaus spin-orbit interactions. To bring the Hamiltonian to a diagonal form, we perform the rotation in spin space generated by $U_{k}=\exp\{i(\alpha \cdot n_{k})/\Theta_{k}/2\}$ dependent on the momentum $k$. The rotation is performed with the angle $\Theta_{k}$ around the axis determined by $n_{k}$. A positional relationship of the axis $n_{k}$ and the spin-quantization axis $u_{k}$ is shown in Fig. 1. We suppose that we deal with the in-plane spin polarization, i.e., $\Theta_{k}=\pi/2$. In the new, unitary transformed, spin basis the spin-orbit contribution has the form

$$H_{SO} = \alpha(\sigma_{x}k_{y} - \sigma_{y}k_{x}) + \beta(\sigma_{x}k_{z} - \sigma_{z}k_{x})$$

(1)

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$$H'_{SO} = U_{k}^{\dagger}H_{SO}U_{k} = -k(\alpha \sin(\varphi_{k} - \Phi_{k}) + \beta \cos(\varphi_{k} + \Phi_{k}))(\sigma_{x})_{z},$$

(2)

where the angle $\Phi_{k}$ is related to the polar angle $\varphi_{k}$ of the momentum $k$ as

$$\tan \Phi_{k} = -\frac{\alpha \cos \varphi_{k} + \beta \sin \varphi_{k}}{\alpha \sin \varphi_{k} + \beta \cos \varphi_{k}}.$$  

(3)

Due to the diagonal form of $H'_{SO}$, the energy bands are simply given by

$$E_{kr} = \frac{k^{2}}{2m'} + sk(\alpha \sin(\varphi_{k} - \Phi_{k}) + \beta \cos(\varphi_{k} + \Phi_{k}))$$

(4)

and correspond to the wave functions $\psi'_{kr}(r) = e^{ikr}|s\rangle$ with the subband index $s = \pm (\uparrow, \downarrow)$, where $\uparrow, \downarrow$ are the spin components in the new spin basis. This means that for the initial, untransformed, Hamiltonian we have the following eigenstates $\psi_{kr}(r) = U_{k}\psi'_{kr}(r)$. The spin orientation in $k$ space reads as (see Fig. 2)

$$\langle \psi_{kr}|\sigma|\psi'_{kr}\rangle = \frac{\cos \Phi_{k}}{\sin \Phi_{k}}.$$ 

(5)

Note that the case with $\alpha \neq 0$ and $\beta=0$ (pure Rashba) is characterized by the angle $\Phi_{k}=\varphi_{k} - \pi/2$, whereas in the situation with $\beta \neq 0$ and $\alpha=0$ (pure Dresselhaus) one has $\Phi_{k}=2\pi - \varphi_{k}$. In the special case of $\alpha=\beta$, the angle $\Phi_{k}=-\pi/4$ does not depend on $\varphi_{k}$.

The inelastic decay rate [inverse lifetime $\tau^{-1}(k)$ caused by inelastic electron-electron scattering] is determined by the imaginary part of the matrix elements of the quasiparticle self-energy $(\Sigma_{i}(k,\omega)) = (\psi'_{kr}(r)\Sigma(r_{1},r_{2},\omega)|\psi'_{kr}(r_{2})\rangle_{r_{1}r_{2}}$ at the energy $\omega = E_{kr}$ as $\Gamma_{i}(k) = 2\text{Im}(\Sigma_{i}(k, E_{kr}))$. At the Hartree-Fock (HF) mean-field level, these elements are totally real and have the form

$$\langle \Sigma_{i}^{HF}(k) \rangle = -\frac{1}{\pi} \int_{\mathbb{R}} \frac{d\omega}{2\pi^{2}} F_{k\omega}^{*} F_{k\omega} v_{s}(k-q),$$

(6)

where $v_{s}(k) = 2\pi/|k|e_{0}$ is the bare Coulomb interaction with $e_{0}$ being the static dielectric constant. The factors $F_{k\omega}$ $[1+|s'\rangle\langle u_{k}|U_{\omega}^{*}|s\rangle^{2}$ and $f_{k}$ is the Fermi factor. Such a form in Eq. (6) is similar to the exchange contribution to the single-particle energies considered in Refs. 13–15 in the pure Rashba case. However, in order to examine quasiparticle lifetimes, one has to go beyond the HF approximation. The simplest variant is the $G^{W0}$ approximation (for details about the approximation, we refer the reader to Refs. 9 and 16). Within such an approximation, we arrive at the following expression for the imaginary part of the matrix elements:

$$\langle \Sigma_{i}^{HF}(k) \rangle = -\frac{1}{\pi} \int_{\mathbb{R}} \frac{d\omega}{2\pi^{2}} F_{k\omega}^{*} F_{k\omega} v_{s}(k-q).$$

(6)
In this section, we discuss the inelastic decay rate \( \Gamma_\alpha \) as a function of \( k \) at several values of the polar angle \( \phi_0 \) in the case of the ratio \( \alpha/\beta = 2.4 \). Inset: the corresponding energy bands \( E_{kq} \) (at the left) and the same \( \Gamma_\alpha \) as a function of \( E_{kq} \) measured from the Fermi energy (at the right). Also, for reference, the case of the 2DEG without the SOI \((\alpha = \beta = 0)\) is presented.

The obtained results can be understood by inspecting constant-energy contours shown in Fig. 2 with mental drawing of possible transitions selected by the factors \( F_{kq} \pm \) Eqs. (7) and (8) at a given \( \phi_0 \) (see also Ref. 10). Actually, for each subband \((s = \pm)\) one has a set of intrasubband and intersubband transitions as arguments of Im \( W^0 \). For the chosen material parameters and for \( \phi_0 = \pi/4 \), these moments do not vary considerably with the subband index \( s \). For \( \phi_0 = 3\pi/4 \) differences in both intrasubband and intersubband transitions for \( s=+ \) and \( s=- \) become already sensible for values of Im \( W^0 \), especially in the vicinity of plasmon peaks of the latter.

Now, remaining \( m^*, e_0, n_{2D} \), and \( E_F \) unchanged, we consider the case of \( \alpha/\beta = 1 \) \([\alpha = \beta = 3.0 \times 10^{-11} \text{ eV m}]\) and the pure Dresselhaus (Rashba) case \([\beta = 4.2 \times 10^{-11} \text{ eV m} \text{ and } \alpha = \beta = 0]\). The case of equal interaction strengths, when they do not vary considerably with the subband index for a detailed discussion of the screening properties of the 2DEG with the SOI we refer the reader to Refs. 17 and 18. This extension varying with the polar angle \( \phi_0 \) leads to a nonzero plasmon linewidth, when the plasmon spectrum enters into the SOI-induced damping region.

In order to show what effect the SOI has on the inelastic decay rate for different subbands, in the inset of Fig. 3, by setting up a correspondence between \( \Gamma_\alpha(k) \) and \( E_{kq} \) via the momentum \( k \), we plot the decay rate as a function of energy. On first glance, it may seem that we have an ordinary energy dependence of the decay rate as in a 2DEG without the SOI: the quadratic behavior with the logarithmic enhancement in the vicinity of the Fermi energy with \( \Gamma_\alpha = 0 \) at \( E_F \) and the jump above the Fermi energy, which is caused by opening the plasmon decay channel for excited electrons. However, on examining the energy dependence of \( \Gamma_\alpha \) in detail, we can say that due to the finite plasmon linewidth the plasmon decay channel manifests itself at lower energies, when it occurs in a 2DEG without the SOI. The same reason leads to reduction in the jump. Also, we can reveal distinctions between \( \Gamma_+ \) and \( \Gamma_- \), which become noticeable, when the plasmon-emission decay channel appears, and increase upon moving from \( \phi_0 = \pi/4 \) to \( 3\pi/4 \). An analysis of the inelastic mean free path (IMFP) \( \lambda_{\gamma}(k) = \langle F_{kq} \rangle / \Gamma_{\gamma}(k) \) as a function of energy has shown that, as a consequence of the distinctions between \( \Gamma_+ \) and \( \Gamma_- \), the IMFP of electrons can vary with the subband index. For example, at \( \phi_0 = 3\pi/4 \) for electrons this variation can reach, e.g., ~8%.

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the Rashba and the Dresselhaus interactions can cancel each other, is distinguished by various significant effects reported in the literature (see, e.g., Refs. 24–27). In this case, one has the 2D electron system with two uncoupled spin components (see Fig. 2), each of which demonstrates the properties peculiar to a 2DEG without the SOI. Our results on the inelastic decay rate at $\alpha/\beta=1$ are shown in Fig. 4. The sharp edges of the plasmon contribution are evidence of the fact that there is no modification of the Landau damping region induced by the SOI. As is seen from the inset of the figure, due to the shifting property $E_{k_A}=E_{Q_A-k_A}$, where $Q_A, Q_s$, $k_A$ and $\Gamma_s$ curves coincide and have the form of that in a 2DEG without the SOI.

In the pure Rashba or the pure Dresselhaus cases (see Fig. 4), the resulting $\Gamma_s$ does not tell the difference between spin orientations in the momentum plane, which correspond to the Rashba or the Dresselhaus SOI (see Fig. 2). As well as before, we have the relative shift (but angle independent) on the momentum scale and main modifications induced by the SOI in the energy region, where a quasiparticle can decay into plasmons.

All the considered cases meet the condition of $E_{\text{Rashb}} \ll E_f$, where $E_{\text{Rashb}}=m^*|\alpha|+|\beta|^2/2$ is the measure of influence of the SOI on the band structure. However, as is partly discussed in Ref. 10, in two-dimensional electron systems with much greater $E_{\text{Rashb}}$ as compared to $E_f$ the inelastic decay rate can substantially differ from that in the 2DEG without the SOI. A striking example of such a system is that formed by surface-state electrons in ordered surface alloys, which are very promising materials for spintronics applications. In order to predict how the inelastic decay rate can behave in a system, where $E_{\text{Rashb}} \sim E_f$, we consider the hypothetical case with the unchanged $m^*=0.023$, $\varepsilon_{\text{D}}=14.55$, and $\beta=1.6 \times 10^{-11}$ eV m, but with $\alpha=5.9 \times 10^{-11}$ eV m ($\alpha/\beta=3.7$) and $n_{\text{D}}=2.04 \times 10^{10}$ cm$^{-2}$, which give $E_f=1.0$ meV and $E_{\text{Rashb}}=0.85$ meV. The obtained results are presented in Fig. 5.
IV. CONCLUSIONS

In conclusion, we have presented a study of the inelastic decay rate of quasiparticles in a two-dimensional electron gas with the \( k \)-linear spin-orbit interaction that includes both Rashba (interaction strength \( \alpha \)) and Dresselhaus (interaction strength \( \beta \)) contributions. In this study, the electron gas is characterized by material parameters suitable for [001]-grown InAs quantum wells. We have considered the cases of \( \alpha > \beta > 0, \alpha = \beta, \alpha = 0 (\beta > 0), \) and \( \beta = 0 (\alpha > 0) \). The cases meet the condition of

\[
E_{\text{Rash}} \ll E_F, \quad \text{where} \quad E_{\text{Rash}} = m^*|\alpha| + |\beta|^2/2
\]

is the measure of influence of the spin-orbit interaction on the band structure. As compared to a two-dimensional electron gas without the spin-orbit interaction, we have revealed a relative shift of the inelastic decay rates for different subbands of the spin-orbit split band on the momentum scale. Also, except for the case of equal interaction strengths, we have found some smoothing of sharp forms of the peak concerned with opening of the plasmon decay channel for electrons. We have shown that, on the energy scale, in this very region distinctions between the decay rates for different subbands become noticeable. These distinctions depend on the polar angle \( \varphi_k \) and cause the inelastic mean free path to be angle and subband dependent. As to the case of \( \alpha = \beta \), due to the shifting property, the decay rate as a function of energy has the form of that in a two-dimensional electron gas without the spin-orbit interaction.

In order to predict how the inelastic decay rate can behave in a system, where \( E_{\text{Rash}} \sim E_F \), we have considered the hypothetical case of small electron density. We have revealed that in such a system the decay rate demonstrates strong anisotropy and subband dependence within all the considered interval of momenta and exciting energies. Since the subband dependence can be interpreted as a spin asymmetry of the decay rate in a given direction of \( \mathbf{k} \), one can expect the spin-filter effect driven by externally applied electric field. Also, we have found that in the system with \( E_{\text{Rash}} \sim E_F \) holes can decay into plasmons, what is impossible in a two-dimensional electron gas without the spin-orbit interaction.

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12. Note that Eq. (4) can be cast into the form that is frequently used in the literature. Actually, from \( (E_{\mathbf{k}s} - k^2/2m^*)^2 = (k[\alpha \sin(\varphi_k - \Phi_k) + \beta \cos(\varphi_k + \Phi_k)])^2 \) with the help of Eq. (3) we arrive at

\[
(E_{\mathbf{k}s} - k^2/2m^*)^2 = k^2\alpha^2 + k^2\beta^2 + 2\alpha \beta \sin(2\Phi_k).
\]

The latter can be solved as \( E_{\mathbf{k}s} = k^2/2m^* + s(k[\alpha^2 + \beta^2 + 2\alpha \beta \sin(2\Phi_k)])^{1/2} \). However, in such an expression the subband index \( s = \pm \) distinguishes the inner and outer branch and, e.g., in the case of \( \alpha = \beta \) does not correspond to spin components.

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