Generalize Sentence Representation with Self-Inference

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Abstract

In this paper, we propose Self Inference Neural Network (SINN), a simple yet efficient sentence encoder which leverages knowledge from recurrent and convolutional neural networks. SINN gathers semantic evidence in an interaction space which is subsequently fused by a shared vector gate to determine the most relevant mixture of contextual information. We evaluate the proposed method on four benchmarks among three NLP tasks. Experimental results demonstrate that our model sets a new state-of-the-art on MultiNLI, Scitail and is competitive on the remaining two datasets over all sentence encoding methods. The encoding and inference process in our model is highly interpretable. Through visualizations of the fusion component, we open the black box of our network and explore the applicability of the base encoding methods case by case.

Introduction

Deep neural networks have achieved remarkable success in sentence representation learning. Based on sequential models like recurrent neural networks (RNN), convolutional neural networks (CNN) or self-attention, we can build a sentence encoder that transforms a sentence into a meaningful context vector for use in various downstream tasks.

Recently, the prevalent sentence encoders are usually based on a single type of encoding method: either RNN, CNN or self-attention. However, we argue that in order to obtain general contextual representations, a sentence encoder should simultaneously use multiple encoding methods. Considering the nature of language, the meaning of a word can depend on what has been stated as well as the company it keeps. Similarly, given a variety of encoding hypotheses, a sentence can be interpreted with multiple semantic readings each of which is not contradictory but complementary to the others. Therefore, instead of using a single encoding method arbitrarily, a better strategy would be to integrate semantic evidence from different perspectives and control the information flow according to context dynamically.

To this end, we introduce SINN, a novel neural architecture which leverages the information from various encoding methods through self-inference. Our model is composed of an RNN and CNN as two base encoders which generate contextual representations individually. Inspired by the common strategy for natural language inference (NLI), we propose a self-inference component based on heuristic interaction to learn the view of each base encoder and furthermore to create more generalized sentence representations by striking a balance between them. Figure 1 illustrates the reading behavior of our model. The RNN encoder would catch the topic words alone while it tends to cooperate with the CNN encoder when the semantic readings become more complicated.

To show the generalization ability, We evaluate the proposed method on four benchmarks among three different NLP tasks: natural language inference, text classification and sentiment classification. The experimental results demonstrate that our model sets a new state-of-the-art on two benchmarks while showing strong performance on the others, which indicates that through self-inference, the shallow base encoders can still outperform many deep and complicated architectures.

In addition, the process of self-inference is highly interpretable. By visualizing the importance weight of each contextual representation, we found that in our model, the base encoders adopt a collaborative strategy, each of which captures different and complementary semantic features. We conduct extensive analysis on the fusion gate to explain the
Self-attention is highly efficient since it does not involve a recurrence process. In recent years, many researchers tried to adapt self-inference to different tasks. Devlin et al. (2018) proposed a deep self-attention based architecture and it reached the state-of-the-art for many tasks, which shows that although self-attention is a new encoding method, it still can compete with traditional methods like CNNs and RNNs.

**Hybrid System**

In addition to making a single encoding method deeper and more complicated, there are many studies which improve sentence modeling by mixing multiple encoding methods. As we discussed in our motivations, the encoders based on different hypotheses would tend to focus on different aspects of semantic information which can be further combined to from the general and comprehensive representation. Lai et al. (2015) analyzed the pros and cons of RNNs and CNNs and proposed the first hybrid system where a CNN is stacked on the RNNs to aggregate the left and right contexts of each word. Following the similar strategy, Zhou et al. (2016) stacked the compositions of 2D CNNs and 2D max-pooling on top of BiLSTMs to model the context on both the time dimension and the feature dimension, which achieved state-of-the-art performance on several benchmarks. To handle the hierarchical structure in natural language, stacking RNNs on top of CNNs is also a popular method (Wang, Jiang, and Luo 2016; Zhou et al. 2015). They applied CNNs on the word embeddings to extract meaningful n-gram features which are subsequently forwarded by the RNNs to capture the high-level dependency between the regional representations.

Although many studies have explored the implementation of hybrid systems, most of the prior works were based primarily on stacking various encoders, which raises two problems. First, there is no universal rule to determine the order of each encoder. We therefore have to search the best stacking strategy case by case. Second, the input of the encoders on the upper layer is the output of the encoders on the lower layer, which leads to the information bias and hence the performance of upper encoder would be limited by the lower encoder. It is also more difficult to fine-tune the model in this case because we cannot easily tell which layer in the architecture would be the bottleneck.

We therefore propose a collaborative strategy where encoders would capture observations on its own hypothesis and model the sentences independently. In other words, the encoders are totally unrelated to each other, which solves the problem in the current hybrid systems and makes our archi-
Our Method
The overview of our model is shown in Figure 2. Our model is composed of four parts: the word encoder, the context encoders, the self-inference layer and representation fusion layer. We will describe the architecture layer-by-layer in the following sections.

Word Encoding
To construct distributed word representations, we concatenate the word embedding and character embedding for each word. The word embedding was pretrained in an unsupervised manner on a large corpus to learn the relations among words in a low dimensional vector space. The character embedding is constructed by a convolutional neural network with max-pooling (Kim et al. 2015) which captures the subword structures and mitigates the impacts of out-of-vocabulary words. For each d-dimensional concatenated embedding \( w_t \), where \( t \) indicates the time step, we pass it through a position-wise highway network (Srivastava, Greff, and Schmidhuber 2015) to obtain our feature-rich word representation \( x_t \):

\[
x_t = t_t \cdot h_t + (1 - t_t) \cdot w_t \\
h_t = \phi(W_h w_t + b_h) \\
w_t = \sigma(W_c c_t + b_c)
\]

where \( W_{h,t} \in \mathbb{R}^{d \times d} \) and \( \phi, \sigma \) are non-linear activation functions. The highway network plays the role of word encoder which aims at learning task-specific features by aggregating the information on word level and character level.

Context Encoding
Given an encoded sequence \( (x_1, x_2, ..., x_n) \), to incorporate context information from various aspects, we model the sequence using both an RNN and CNN which capture the sequential and regional dependencies, respectively.

The RNN encoder is a single layer bidirectional GRU. The context representation at time step \( t \) is a concatenation of the output from forward and backward GRUs:

\[
r_{t} = \overrightarrow{\text{GRU}}_t(x_1, ..., x_n) \\
\hat{r}_{t} = \overleftarrow{\text{GRU}}_t(x_1, ..., x_n) \\
r_t = [r_t, \hat{r}_t]
\]

where \([\cdot, \cdot]\) is the concatenation operator.

The CNN encoder follows the idea of an n-gram but makes it more flexible. It uses several convolution units with different kernel sizes to extract local information in parallel. We then concatenate the output of each convolution unit to construct the context representation:

\[
c_t = [\text{Conv}_{k1}(x_t), ..., \text{Conv}_{kn}(x_t)]
\]

where \( \text{Conv}_{k_t} \) is a 1-D convolution operation with a kernel size \( k_t \). We use appropriate zero padding to make the concatenation operation feasible.

The RNN and CNN are regarded as the base encoders whose outputs are in the same \( k \)-dimensional space for the purpose of encoding context information in an equal manner.

Self-Inference
With two base encoders, a word can be interpreted as having two non-contradictory contexts. The aim of self-inference is to learn a single but well-generalized contextual representation by inferring the relations between the outputs of the base encoders.

Before inference, we first gather the semantic evidence. Besides contexts themselves, the dependency between contexts is also a key to understanding how a base encoder works. Specifically, we want to know how \( c_m \), the context at the \( m \) time step, affects \( c_n \) for any possible \((m,n)\) pair. To learn this pairwise relation, we apply self-attention (Vaswani et al. 2017) over the encoded contexts. The attention energy \( a_k \) is determined by the additive method which is described as:

\[
a_k = \tanh(W_q h_q + W_k h_k + b_{qk}) \tag{8}
\]

where \( h_q \) is the query and \( h_k \) is the key. The energies are normalized by softmax to represent the result as a probability weighted average of values. We concatenate the contexts with the results of self-attention to augment the evidence:

\[
e_{rt} = [r_t, \text{attention}_t(r_1, ..., r_n)] \tag{9}
\]

\[
e_{ct} = [c_t, \text{attention}_t(c_1, ..., c_n)] \tag{10}
\]

where \( e_{rt,ct} \in \mathbb{R}^{2k} \) is the evidence at \( t \) time step to corresponding base encoders.

Inspired by the strategy in natural language inference, we use an interaction layer which describes the relations between evidence using the dense features, the distance features and the similarity features. The interaction vector would be further inferred by a feed-forward network:

\[
i_t = |e_{rt} - e_{ct}|, e_{rt} \odot e_{ct} \tag{11}
\]

\[
g_t = \phi(W_i i_t + b_i) \tag{12}
\]

where \( W_i \in \mathbb{R}^{k \times 8k} \) and \( \odot \) is the element-wise multiplication. With self-inference, \( g_t \) is the generalized contextual representation which captures semantic information based on not only the view but also the interaction of recurrent and convolutional encoders.

Representation Fusion
Considering the effectiveness of self-inference relies heavily on the performance of the base encoders, the contextual representations of our model are the weighted average of generalized representations and outputs from the base encoders.

The gate mechanism retains the connections between the output layers and base encoders, so part of gradients can be propagated directly back without being affected by the self-inference component, which is important because we expect the base encoders to retain their original characteristics and capture word dependencies mainly based on their own encoding hypotheses. In particular, the gate dynamically generates the most relevant contextual mixture, depending on the importance of each representation at the same time step. Specifically, we concatenate \( g_t, r_t, c_t \) as an observation vector based on which we determine the importance using non-
linear transformations:
\[
\begin{align*}
\text{imp}_{rt} &= \phi(W_{gr}[r_t, c_t, g_t] + b_{gr}) \\
\text{imp}_{ct} &= \phi(W_{ge}[r_t, c_t, g_t] + b_{ge}) \\
\text{imp}_{gt} &= \phi(W_{gs}[r_t, c_t, g_t] + b_{gg})
\end{align*}
\]
where the importance \( \text{imp}_{rt,ct,gt} \in \mathbb{R}^k \) and \( W_{gr,ge,gs} \in \mathbb{R}^{k \times 3k} \). To make the importance of each dimension comparable, we permute the importance as a matrix whose rows are subsequently normalized by the softmax function:
\[
W = \text{softmax}([\text{imp}_{rt}; \text{imp}_{ct}; \text{imp}_{gt}])
\]
where \( W \in \mathbb{R}^{k \times 3} \) and \([::] \) is the operator for column vectors concatenation. Each column in \( W \) is a normalized weight vector. We then multiply the representations with weights to obtain the optimal fusion:
\[
f_t = W^1 \odot r_t + W^2 \odot c_t + W^3 \odot g_t
\]
Sentence Representation
We summarize the fused sequences \((f_1, ..., f_n)\) with max and average pooling:
\[
f = [\text{max}(f_1, ..., f_n), \text{avg}(f_1, ..., f_n)]
\]
where \( f \) is a 2d-dimensional vector which is the generalized sentence representation for subsequent downstream tasks.

Experiments
Dataset
We evaluate our model on four widely-studied benchmark datasets among three NLP tasks: natural language inference, text classification and sentiment classification.

Natural Language Inference (NLI) is a fundamental task in natural language understanding which aims to determine entailment relations between premise and hypothesis. We compare our approach against the state-of-the-art sentence encoders on two competitive dataset: MultiNLI (Williams, Nangia, and Bowman 2017), and Scitail (Khot, Sabharwal, and Clark 2018). To show the capacity of our method, we implement SINN as a Siamese encoder which would not propagate any information across the premise and hypothesis pairs.

For text classification, we use AG News (Zhang, Zhao, and LeCun 2015), a balanced dataset which consists of 120K web news articles pertaining to the 4 classes. Since there is no official validation set for this dataset, we split 5% training data for early-stopping and hyper-parameter searching.

For sentiment analysis, we use SST (Socher et al. 2013) to evaluate our model. We removed the neutral reviews and represent the sentiment scores with binary labels. Our model is trained with the phrases in the parse trees and tested on the whole sentence.

Experimental Settings
We initialize word embeddings using the pretrained FastText common-crawl vectors (Mikolov et al. 2018) and freeze the weights during training. The embedding of out of vocabulary words are initialized by a Gaussian distribution whose mean and standard deviation were calculated from the word vectors. The character embedding is composed of \((50, 55, 60, 65, 70)\) filters with kernel sizes \((2, 3, 4, 5, 6)\) followed by max pooling. The pooled outputs are then aggregated by a single-layer highway network.

For all tasks, we choose GELU (Hendrycks and Gimpel 2016) as the activation function. The CNN base encoder has \( k/4 \) filters with sizes \((2, 3, 4, 5)\), respectively. The classifier is a neural network of two-hidden layers followed by batch normalization and dropout layers. The objective function is cross-entropy loss which is optimized by Adam (Kingma and Ba 2014) with cyclic learning rate (Smith 2015). We applied label smoothing (Szegedy et al. 2015) to penalize confident predictions for NLI datasets and regularize the models with the L2 penalty. We search for the optimal hyper-parameters for each task by grid search.

Results
For the two NLI datasets, we compare SINN against the state-of-the-art sentence encoding based methods. The experimental results of MultiNLI are reported in Table 1. On the mismatched test set, SINN significantly outperforms the current state-of-the-art method by 0.8% with the least number of parameters. On the matched test set, our model is 0.2% lower than the best model. However, we do not train with external data and our number of parameters is one tenth of theirs, which keeps our performance stable across different evaluation sets.

The results of Scitail are reported in Table 2 where C refers to whether information would be passed across sentence pairs and E refers to the use of external datasets or pretrained models. We achieve a new best accuracy in Scitail. SINN can outperform HBMP (Talman, Yli-Jyrä, and Tiedemann 2018) which stacked three BiLSTM layers by 0.3% using a single context encoding layer and achieves around 2% improvement compared to the models that propagates information across the premise and the hypothesis, which shows that SINN can efficiently represent the semantic information of the original sequence with a single vector.

The results of text classification are reported in Table 3 where SINN is compared with models equipped with a single encoding method. For the RNN based models, SINN is obviously better than BiLSTM and Tree-LSTM. For the CNN based models, SINN is compared with two very deep architectures. The result demonstrates that the shallow 240D SINN can outperform VDCNN (Conneau et al. 2016) that stacks 29 layers and DPCNN (Johnson and Zhang 2017) that stacks 15 layers by 2.5% and 0.7%, respectively.

For sentiment analysis, We compare SINN with four baseline encoding methods as well as our base encoders on SST-2. As shown in Table 4, hybrid encoding is more efficient than a single type of encoding. After applying self-attention, we can observe improvements for both LSTM and CNN. Despite the weak performance of the base CNN encoder, with our inference process, SINN still can outperform all baselines and improve the base RNN and CNN by 0.7% and 1.8%, respectively.
Table 1: Experimental results of MultiNLI. $||\theta||$ is the number of model parameters excluding word embeddings. *D denotes the dimension of sentence embeddings.

Table 2: Experimental results of Scitail where C represents whether the model has cross-sentence attention and E represents whether the model introduces external data.

Table 3: Experimental results of AG News.

Table 4: Experimental results of SST binary classification.

Table 5: Comparisons of fusion methods.

Table 6: The relations between the improvement and the gap. The symbols M, MM, AG are the abbreviations for Matched, MisMatched, AG-News, respectively.

**Analysis**

**Fusion Method**

In order to show improvement from the interaction and vector gates, we compare the performance of our fusion component with three baselines: concatenate, average, and weighted average of the outputs of base encoders. The results are reported in Table 5. For MultiNLI, most baselines do not improve the BaseRNN but for AG News all baselines outperform both base encoders significantly. This inconsistency is due to the difference between the performance of base encoders. The BaseRNN and BaseCNN have similar performance on AG News while there is a huge gap between them on MultiNLI.

To give a clear picture, we visualize the relations between the performance gap and the improvements in Table 6. Since baselines have no relation between base encoders, the information flow can not be gated based on the interaction. Therefore, their performance is dragged down by the BaseCNN. Unlike the baselines, SINN can dynamically choose the optimal representation fusion with self-inference, which is why it can always achieve the best accuracy even though a base encoder has undesirable performance.

To further explore the noise immunity of each baseline on the difficult tasks, we replace BaseCNN with BaseWord, an encoder which only uses a non-linear transform to obtain the context of a word, namely without any sequential dependency. The results are shown in Table 7. SINN still can improve the base encoders even though there is a huge gap since it can dynamically extract the relevant features and determine the optimal representation fusion with self-inference. It is important to note that for a general-purpose encoding architecture, this property is quite critical because we would not know if there is a huge difference in the performance of the base encoders in an unknown downstream task. Therefore, what we need is a solution that can improve the base encoders steadily and SINN is, however, the other baselines are not.
Ablation Study

To ensure the effectiveness of each component in self-inference, we perform a series of ablation analyses in Table 8. The results demonstrate that all components are needed and they make contributions on different parts. In the first experiment, after removing the self-attention module, the accuracy degrades to 74.1 on the matched set and 73.8 on the mismatch set, which indicates the dependency of contexts is also an important piece of evidence to learn how an encoder works. As observed in many studies, we can see that self-attention is an efficient method to aggregate the encoded contexts.

The second part is about the interaction layer. The concatenation is the most critical interaction which improves the matched set and mismatched set by 0.2% and 0.6%, respectively. The help of multiplication is marginal and it only improves the mismatched set by 0.2%. It is worth mentioning that our ablation study reaches the same conclusion as other NLI architectures. It may be a clue that self-inference is actually a special case of language inference and can be improved with certain well-established NLI solutions such as decomposable attention.

The third experiment is to remove the fusion gate and use the generalized representations directly, which results in a drop in both matched and mismatched accuracy. Especially in the matched set, the accuracy degrades to 73.4. As we pointed out in the motivation, the fusion gate that controls the information flow and generates the most relevant mixture plays a pivotal role in our method. In addition, after removing the fusion gate, there is no direct connection between the base encoders and the output layer, which makes it difficult for the base encoders to capture semantic dependency without being affected by the self-inference component.

Error analysis

To understand how self-inference improves our model, we split the test set in SST and the mismatched dev set in MultiNLI based on the following rules: (i) both base RNN and CNN make the correct prediction. (ii) either base RNN or CNN make the correct prediction. (iii) neither base RNN nor CNN make the correct prediction. The sizes of each subset are presented in Table 9 and the experimental results are reported in Table 10.

When both RNN and CNN make the correct prediction, our model usually follows their agreement, especially in SST and AG-News where the error rate is only 1%. For the case that either base RNN or CNN is correct, the performance of SINN is also better than the random baseline, which shows that SINN has the ability to select the relevant context. To our surprise, SINN is able to solve 16% and 18% examples where both base encoders fail for Mismatched and AG-News, respectively. The result indicates that there exist certain linguistic features that cannot be captured by a single encoding method while these features can be simply created by aggregating the contextual representations between multiple encoders.

We visualize the confusion matrix of three methods on the Mismatched development set in Figure 3. The improvement of SINN mainly comes from the contradiction class and entailment class. For the base encoders, their tendencies to make predictions is quite similar. They both tend to mis-classify a contradiction or entailment example as neutral. And even though the overall performance of CNN is significant lower than RNN by 2.4%, it still can keep pace with RNN on detecting neutral examples, which is interesting and may indicate that for determining if a sentence pair is related or not, CNN might also be a considerable solution.

How to Infer

To gain a better insight into the scenarios where each encoder excels, we explore the weight distribution of importance in the fusion component. The results are shown in Table 11 on MultiNLI which require complicated language understanding, their importance is mainly based on the generalized representations. Because the generalized representations are derived from two base contexts, it has more semantic evidence for word sense disambiguation. As for why the importance of Scitail differs, we think it is because Scitail does not contain the contradiction category which simplifies the inference process.

For text classification, the CNN base encoder dominates...
other representations. It is because the labels in classification tasks are often based on whether a word or phrase appears, compared to long-term dependencies. Therefore regional semantic information, which CNN is good at, is more suitable for solving such problems.

For sentiment classification, there is no representation which stands out, which caught our attention. We therefore explore the relations between importance and contexts by sampling the top 10 important words from SST for each representation. We remove the low-frequency (<50) words to reduce the noise. The results are reported in Table 12. For the base CNN, all important words are verbs, while most words are adjectives for the base RNN and generalized representation. The difference may be caused by writing habits. For a verb, we can usually find the subject and object around it, but for an adjective, the subject can be in other sentence to express our opinion of an event, which needs to be captured by long-term dependency. In SST, the adjectives are more critical than verbs, which explains why the words that need to be further inferred are adjectives. Because the base RNN focuses on the same pattern as inferred unit, we can reweight the importance from (0.34, 0.34, 0.32) to (0.34, 0.66) where the former is for capturing verbs and the latter is for capturing adjectives.

**Conclusion**

We introduced SINN, a lightweight and effective hybrid system for sentence encoding which leverages the information of the base CNN and base RNN with self-inference. Our method achieves the state-of-the-art performance on four benchmarks among three NLP tasks. To know where the improvements come from, we conduct extensive ablation studies and error analysis. We also explore the importance distribution and the most activated words in the fusion gate to open the black box of our encoding method.

In future work, we would like to make SINN much more deeper and wider. We choose two basic sequential models as base encoders to highlight the inference module. However, the performance of SINN is closely related to the base encoders. Table 13 illustrates that by simply stacking the base encoders with more layers, we can obtain a significant improvement. Therefore, we intend to evaluate a collection of complex sequential models, including the pre-trained language models, to investigate the interaction between different encoding hypotheses and to figure out the optimal combination of the base encoders.

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