A longitudinal cohort study of the impact of first- and both-eye cataract surgery on falls and other injuries in Vietnam

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Aim: Little information exists on the impact of cataract surgery on falls and other injuries in Vietnam. The aim of this study was to determine the impact of first and both eye cataract surgery on the number of falls and other injuries among bilateral cataract patients in Ho Chi Minh City, Vietnam.

Materials and methods: A longitudinal cohort study was conducted involving 413 bilateral cataract patients aged 50+ years. Participants were assessed at three time points: 1 week before, 1–3 months after, and 1 year after first-eye cataract surgery. Visual measures (visual acuity, contrast sensitivity and stereopsis) were taken, and self-reported falls and injury data were collected. A multilevel longitudinal Poisson regression model was used to investigate change in the number of falls after surgery.

Results: The risk of falls decreased by 78% (incidence-rate ratio [IRR] 0.22, 95% confidence interval [CI] 0.06–0.77; P=0.018) in the year after cataract surgery for participants who had first-eye surgery only and 83% (IRR 0.17, 95% CI 0.04–0.69; P=0.012) for participants who had the second eye operated on compared to before surgery. The risk of falls was three times higher for females than males (IRR 3.13, 95% CI 1.53–6.40; P=0.002). Improved binocular contrast sensitivity was also associated with a decrease in falls (IRR 0.40, 95% CI 0.17–0.97; P=0.042). The prevalence of other injuries also decreased after cataract surgery.

Conclusion: Cataract surgery reduced the number of falls and other injuries in Vietnam. Contrast sensitivity may be important for ophthalmologists to consider when prioritizing patients for surgery and assessing their fall risk.

Keywords: falls, injuries, cataract surgery, longitudinal, older population, Vietnam

Introduction

More than 420,000 people die each year due to a fall, ranking it the second-most common cause of injury-related mortality worldwide. Approximately 80% of these deaths occur in developing countries, particularly in regions of Southeast Asia and the Western Pacific. Half of all falls result in an injury, 10% of which are serious. The older population is the most vulnerable, with the prevalence of falls reported at 35% in those aged 65 years and older, of which 50% may experience a repeat fall within a year. As well as causing injuries, falls can also lead to a loss of independence, reduced mobility, social isolation, and poor quality of life.

Globally, there are approximately 39 million people who are blind and 246 million people with visual impairment, mostly aged 50 years and over. Cataract is the leading cause of visual impairment (33%) in middle- and low-income countries, and approximately 80% of those with untreated cataract live in developing countries.
Cataract surgery is the most common ophthalmic surgical procedure performed worldwide,\(^9,10\) and has been found to bring about significant improvements in visual function, quality of life, daily activities, and depressive symptoms.\(^6,11,12\) However, research is conflicting on whether cataract surgery reduces the risk of falls. Two randomized controlled trials (RCTs) conducted in the UK reported a 34% reduction in fall rate and 40% reduction in risk of recurrent falls after first-eye cataract surgery,\(^11\) but no significant change in falls risk after second-eye surgery.\(^6\) It should be noted that these studies included only women aged over 70 years. A significant reduction in self-reported falls was also found 6 months after cataract surgery among 97 cataract patients.\(^13\) More recently, however, an Australian population-based study reported a significant increase in falls after both first- and second-eye cataract surgery compared to baseline.\(^14\) No information exists on the impact of cataract surgery on falls in developing countries, such as Vietnam, where vision is often much poorer before surgery than in developed countries and there are different cultural and lifestyle factors (such as older people living with their adult children) that may affect fall risk.\(^15\)

Conflicting information also exists regarding the impact of different visual measures on fall risk for cataract patients.\(^16,17\) Several studies have reported that poor visual acuity increases the risk of falls.\(^18–22\) However, recent research in developed countries has found that reduced contrast sensitivity and stereopsis are also important visual risk factors for falls.\(^17,19,23–25\)

Currently, there is little information on the impact of cataract surgery on other types of injuries. A Canadian-based retrospective case-control study found that cataract patients had a higher risk of such injuries as burns, fractures, contusions, lacerations, and sprains compared with controls before cataract surgery, but their risk decreased to that of controls following cataract surgery.\(^26\) This study also showed that the risk of an injury (including falls) increased by 3% per week of waiting for cataract surgery.\(^26\)

Vietnam is a developing country with a population of 86 million people, and 17% are aged 50 years and older.\(^27\) Cataract accounts for 65% of visual impairment\(^28\) in Vietnam, and approximately 120,000 cataract surgeries are performed every year.\(^28\) The incidence rate for injuries in Vietnam is 76/1,000 person-years in the general population, and the incidence rate for falls is estimated at 32/1,000 person-years for those aged 60 years and over.\(^29\) To date, there has been no information on the impact of cataract surgery on falls and other injuries in Vietnam. Therefore, the aim of this study was to assess the impact of first- and both-eye cataract surgery on the number of falls and other injuries in a cohort of bilateral cataract patients in Ho Chi Minh City, Vietnam.

**Materials and methods**

**Study design and participants**

A longitudinal prospective cohort study was conducted on patients with bilateral cataract who were scheduled for cataract surgery at the Eye Hospital in Ho Chi Minh City, Vietnam between July 2011 and July 2013. Inclusion criteria included a diagnosis of age-related bilateral cataract, scheduled to undergo first-eye surgery, aged 50 years or older, and living independently in the community. Exclusion criteria included previous surgery for cataract, injury- or diabetes-related cataract, a diagnosis of glaucoma or any other significant ocular diseases, a diagnosis of dementia, Parkinson’s disease, schizophrenia, or being wheelchair-bound.

All cataract surgeries were undertaken by phacoemulsification and performed one eye at a time. Patients were screened for ocular comorbidities prior to surgery. Dilated funduscopy was performed by an ophthalmologist during consultation. When funduscopy was not feasible due to dense cataract, postoperative funduscopy was done only if visual outcome was less than 6/12 (0.30 logarithm of minimum angle of resolution [logMAR]) and not improved. This study was approved by the Curtin University Human Research Ethics Committee and the executive board of the Eye Hospital in Ho Chi Minh City in Vietnam.

**Data collection**

Consecutive bilateral cataract patients were approached by one researcher after examination of their medical records and consultation with their treating ophthalmologist(s) as to their eligibility. They were given a participant-information sheet explaining the purpose of the study, confidentiality, and their right to withdraw from the study at any time without negative impact on their medical treatment. A consent form was signed before any data were collected.

Information was collected at three time points: a week prior to first-eye cataract surgery (baseline), 1–3 months after first/both-eye cataract surgeries (second assessment), and 1 year after each participant’s first-eye cataract surgery (third assessment). The outcome of interest was a fall that was defined as “an event which results in a person coming to rest inadvertently on the ground or floor or other lower level”.\(^30\) Information was also collected about other injuries that occurred during the study period.
Questionnaire

Eligible participants were interviewed via a researcher-administered structured questionnaire at each of the three assessments. For the baseline and second assessments, the questionnaire was administered face to face, and the third assessment took place via a telephone interview. The questionnaire was translated into Vietnamese and back-translated into English by two independent translators. Information on the assessment of the test–retest repeatability of this questionnaire has been published elsewhere.\textsuperscript{31} Demographic, social, and health information collected at baseline included sex, age, ethnicity, marital status, living situation, education level, employment status, refractive management, use of prescribed medications, and presence of comorbid conditions. Comorbid conditions included hypertension, cancer, stroke, angina, rheumatoid arthritis, kidney disease, hearing loss, alcohol abuse, sleep apnea, hypertension, diabetes, and other chronic conditions. Information on changes to social and health-related circumstances was collected at the second and third assessment. Information was also collected regarding quality of life and depressive symptoms at the baseline and second assessments. These results are reported elsewhere.\textsuperscript{32,33}

Self-reported falls and other injury data were collected via the questionnaire, which can be obtained from the author. At baseline, participants were asked how many times they had fallen and landed on the floor or ground in the previous 12 months. At the second and third assessments, they reported how many times they had fallen since their last interview. Participants were also asked to describe any nonfall-related injuries they received, eg, burns, fractures, contusions, sprains, lacerations, or motor vehicle-related injuries.

Objective visual measures

Three objective measures of vision were administered by the researcher at the baseline and second assessments according to the hospital protocols and under the guidance of the hospital’s ophthalmologists. As the third assessment was conducted by telephone, no visual tests were performed. However, it was expected that there would be minimal change in vision since the second assessment. Visual measures examined included visual acuity, contrast sensitivity, and stereopsis.

Participants wore their current corrective lenses for visual testing. Visual acuity (better eye, worse eye, and binocular with current lens correction) was measured using a Snellen chart provided by the Eye Hospital, calibrated at a 5 m distance.\textsuperscript{34,35} Scores were expressed on a logMAR scale. Contrast sensitivity (better eye, worse eye, and binocular with current lens correction) was measured using a Pelli–Robson chart and measured in log units.\textsuperscript{36} Due to correlation between measures, only binocular measures of visual acuity and contrast sensitivity were used for this analysis. Stereopsis was assessed by the Titmus Fly StereoTest (Stereo Optical Co. Inc. Chicago, IL, USA),\textsuperscript{37} which measured disparity from 1.602 to 3.551 log seconds of arc.

Statistical methods

Descriptive analyses for the cohort were undertaken, and the prevalence of falls and other injuries calculated for the year before and after first-eye surgery. As the number of falls was considered to be count data, a multilevel longitudinal Poisson regression model was undertaken to compare the number of falls during the year before and the year after first-eye cataract surgery. The multilevel Poisson model uses the number of falls as the outcome of interest, but accounts for those who have had more than one fall in the analysis. The longitudinal nature of the observations renders the application of standard Poisson regression analysis inappropriate. Multilevel modeling is appropriate for analyzing clustered and longitudinal data. Failure to account for this may give rise to misleading results including aggregation bias and model misspecification due to lack of independence between measurements at different times.\textsuperscript{38,39}

For the multilevel model, the hierarchy was defined as level 1 (the repeated measurements), nested within the level 2 unit (the individual subject). This approach allows for the portioning of variance and covariance between each of the levels. This variation may be accounted for by explanatory variables. Multilevel modeling also allows for the use of unbalanced data.

Each variable was added to the base model as a fixed effect to explain fall variation between individuals and the change in falls within each person over time. Explanatory variables included in the model at level 2 were age, sex, education level, marital status, living situation (alone: no/yes), ethnicity, taking prescription medications (no/yes), comorbid medical conditions including other eye conditions (no/yes), binocular visual acuity, binocular contrast sensitivity, and stereopsis. Refractive management was not included in the model, due to the large number of missing values. Another three-level variable was added to specify whether a participant had first-eye cataract surgery only or both eyes operated on during the 1-year follow-up period compared to before cataract surgery. The test for linear hypothesis after model estimation was undertaken to see if there was a statistical difference between the number of falls after first-eye compared to the number of falls after second-eye surgery.
Unfortunately, the sample size was too small to undertake multivariate modeling for nonfall-related injuries. All analyses were performed using Stata 12 (StataCorp, College Station, TX, USA) at the 5% significance level.

Results
A total of 434 patients with bilateral cataract were approached by the researcher, of whom eleven patients refused to participate and ten were ineligible. The sample at baseline was 413 patients. A total of 247 participants completed the second assessment (dropout rate 40.2%), and 312 completed the third assessment (telephone interview), with 238 completing all three assessments. Of the 312 cataract patients who completed the third assessment, 119 had first-eye surgery only and 193 had both eyes operated on by the 12-month telephone follow-up. There were no statistically significant differences between those who did and did not complete all three assessments in terms of sex \( (P=0.18) \), age \( (P=0.09) \), and other variables.

Table 1 presents the demographic characteristics of the cohort. At baseline, the mean age of the 413 participants was 66.6 years (standard deviation [SD] 7.9), ranging from 50 to 88 years. The majority of participants were female \( (n=268, 64.9\%) \), aged between 60 and 69 years \( (n=170, 41.2\%) \), were married \( (n=239, 57.8\%) \), were of Kinh ethnicity \( (n=401, 97.1\%) \), lived with family \( (n=383, 92.7\%) \), had junior high school level education \( (n=303, 73.4\%) \), did not take any prescription medications \( (n=250, 60.5\%) \), and reported at least one comorbidity \( (n=268, 64.9\%) \). Demographic characteristics of the participants who completed the second and third assessments were very similar (Table 1).

Table 2 presents participants’ visual characteristics before and after cataract surgery. Mean binocular visual acuity was 0.62 logMAR (SD 0.41) before surgery for the baseline cohort of 413 participants. After surgery, visual acuity improved to 0.09 logMAR (SD 0.19) for the 247 participants who completed the second assessment. A change of 0.1 logMAR units is commonly considered to be clinically meaningful.\(^{40}\)

Mean binocular contrast sensitivity was 1.10 log units (SD0.45) before surgery \( (n=413) \), and improved to 1.78 log units...
Table 2 Mean visual scores of bilateral cataract patients before and 1–3 months after cataract surgery, Ho Chi Minh City, Vietnam

|                               | Baseline (n=413) | Second assessment (n=247) | P-value |
|-------------------------------|------------------|--------------------------|---------|
|                               | Mean (SD)        | Mean (SD)                |         |
| Binocular visual acuity (logMAR units) | 0.62 (0.41)     | 0.09 (0.19)              | <0.01<sup>c</sup> |
| Binocular contrast sensitivity (log units) | 1.10 (0.45)     | 1.78 (0.26)              | <0.01<sup>c</sup> |
| Stereopsis (log seconds of arc) | 2.78 (0.71)     | 2.14 (0.53)              | <0.01<sup>c</sup> |

Notes: *Lower scores represent better vision; †higher scores represent better vision; ‡paired t-test.
Abbreviations: SD, standard deviation; logMAR, logarithm of the minimum angle of resolution.

The prevalence of falls was 12.8% (53 of 413) in the year before first-eye cataract surgery. Forty-seven participants fell once, five fell twice, and one fell three times. Only four participants experienced a total of four falls between the baseline assessment and the second assessment. In the year after first-eye cataract surgery, the prevalence of falls was 10.9% (13 of 119) among those who had first-eye surgery only and 6.7% (13 of 193) among those who had both eyes operated on during the follow-up period. Only two participants fell more than once after surgery.

The prevalence of nonfall-related injuries was 5.6% (23 of 413) in the year before first-eye cataract surgery. Of the 23 injuries reported at baseline, 17 (73.9%) were due to motor vehicle crashes, three (13.0%) were burns, two (8.7%) contusions, and one (4.4%) sprain. In the year after first-eye cataract surgery, the prevalence of injuries was 3.4% (four of 119) among those who had first-eye surgery only, and 4.7% (nine of 193) among those who had both eyes operated on during the follow-up period. Of the 14 injuries involving 13 participants after surgery, four (28.6%) were due to motor vehicle crashes, four (28.6%) were contusions, four (28.6%) burns, and two (14.3%) fractures.

The results of the multilevel longitudinal Poisson regression model examining change in the number of falls after cataract surgery are presented in Table 3. After adjusting for potential confounding variables, the risk of falls decreased by 78% (incidence-rate ratio [IRR] 0.22, 95% confidence interval [CI] 0.06–0.77; P=0.018) in the year after cataract surgery for participants who had first-eye surgery only, and 83% (IRR 0.17, 95% CI 0.04–0.69; P=0.01) for participants who had both eyes operated on, compared to the year before surgery. The risk of falls was three times higher for females than males (IRR 3.13, 95% CI 1.53–6.40; P=0.002). In addition, for every 1-log unit increase (improvement) in binocular contrast sensitivity, the risk of falls decreased by 60% (IRR 0.40, 95% CI 0.17–0.97; P=0.04). There was no association between age, binocular visual acuity, stereopsis, education level, ethnicity, marital status, living situation, medication use, or comorbid conditions and risk of falls. An examination of the difference in the number of falls after first eye surgery and after second eye surgery revealed no significant difference (P=0.6337).

Discussion
This is the first longitudinal study to assess the impact of first- and both-eye cataract surgery on the number of falls and injuries in Vietnam. The study found a significant decrease in the number of falls 1 year after surgery of 78% for bilateral cataract patients who had first-eye surgery only and 83% for those who had both eyes operated on compared to the year before surgery.

The prevalence of falls was lower than previously reported in studies from developed countries, despite poor vision. However, a systematic review of 21 studies conducted in Asian countries reported the incidence of falls to be approximately half that of Caucasian populations, with a median of 18%. It should be noted that the participants in these studies were older than in the current study. While the reasons behind the lower prevalence of falls in the current study require further investigation, possible explanations include the younger age of participants, social environment and customs (adult children living with and caring for their older parents), better lower-limb strength, fewer high-risk behaviors, and differing activity patterns that reduce exposure to falls. It is also possible that the lower prevalence reported could be due to underreporting of falls and recall bias in the study questionnaire. The prevalence of nonfall-related injuries was small among this Vietnamese cohort, but also decreased following surgery. Unfortunately, the sample size...
Table 3  Multilevel longitudinal Poisson regression of the number of falls 1 year before and after first-eye cataract surgery, Ho Chi Minh City, Vietnam

|                          | IRR   | 95% CI  | P-value |
|--------------------------|-------|---------|---------|
| **Cataract surgery**     |       |         |         |
| Before surgery           | 1     |         |         |
| After first eye surgery  | 0.22  | 0.06–0.77 | 0.018* |
| After both surgeries     | 0.17  | 0.04–0.69 | 0.012* |
| **Sex**                  |       |         |         |
| Male                     | 1     |         |         |
| Female                   | 3.13  | 1.53–6.40 | 0.002* |
| **Age** (years)          | 1.02  | 0.98–1.05 | 0.366   |
| **Binocular contrast sensitivity** (log units) | 0.40  | 0.17–0.97 | 0.042* |
| **Binocular visual acuity** (logMAR) | 1.04  | 0.45–2.42 | 0.930   |
| **Stereopsis** (log units) | 1.01  | 0.66–1.54 | 0.972   |
| **Education**            |       |         |         |
| Junior high school       | 1     |         |         |
| Senior high school       | 0.73  | 0.36–1.45 | 0.363   |
| **Ethnicity**            |       |         |         |
| Kinh                     | 1     |         |         |
| Other                    | 2.10  | 0.60–7.36 | 0.245   |
| **Marital status**       |       |         |         |
| Single/divorced/separated| 1     |         |         |
| Married                  | 1.57  | 0.87–2.84 | 0.133   |
| **Lives alone**          |       |         |         |
| No                       | 1     |         |         |
| Yes                      | 2.45  | 0.98–6.29 | 0.055   |
| **Uses medication**      |       |         |         |
| No                       | 1     |         |         |
| Yes                      | 1.15  | 0.61–2.18 | 0.659   |
| **Comorbidity**          |       |         |         |
| No                       | 1     |         |         |
| Yes                      | 0.79  | 0.41–1.53 | 0.491   |
| **Random part**          |       |         |         |
| Repeated-measure variance| 0.71  | 0.37–1.37 |         |
| Patient-level variance   | 0.65  | 0.31–1.35 |         |
| Covariance of time and the constant | 1   | –1 to 1 |         |
| Log likelihood           | –249.75 |         |         |

Note: *Significant at P<0.05.
Abbreviations: IRR, incident-rate ratio; CI, confidence interval; logMAR, logarithm of the minimum angle of resolution.

was not large enough to undertake multivariate modeling for nonfall-related injuries.

Previous research has produced conflicting results on the impact of cataract surgery on falls. An RCT of expedited versus routine cataract surgery undertaken in the UK found that first-eye cataract surgery reduced the number of falls;\textsuperscript{11} however, it found no benefit for expedited second-eye cataract surgery in women aged over 70 years.\textsuperscript{6} A study by Brannan et al found that cataract surgery reduced the risk of falls;\textsuperscript{13} however, the sample size of this study was small, and only assessed the change in falls 6 months before and 6 months after surgery. Moreover, it was not specified whether the participants in this study had first- or second-eye cataract surgery. In contrast, a retrospective population-based study using administrative health-linked data found that the rate of hospital admissions due to a fall increased 1 year after surgery compared to the year before.\textsuperscript{14} However, the study was not able to control for possible confounders, including visual measurements, and only examined more severe falls. In contrast, a prospective study found that cataract surgery had no impact on falls for an independently living older population.\textsuperscript{44} However, participants who had one- and both-eye cataract surgery were combined for the analysis and the sample size was small (n=122).

The current study suggests that in Vietnam, even among a younger cohort than the UK-based RCTs, cataract surgery has significant benefits for fall prevention. The findings also suggest that for bilateral cataract patients, second-eye cataract surgery provides additional fall-prevention benefits compared to the period before surgery.
However, the difference in the number of falls between first- and second-eye surgery was not statistically significant. The participants of this study had considerably poorer presurgery vision than participants of the studies described earlier, which were conducted in developed countries.\textsuperscript{11,13,44} This may have contributed to the significant reduction in falls found among this Vietnamese cohort after surgery.

This study found that the risk of falls was over three times higher for Vietnamese females than males. This elevated risk for females is consistent with previous research,\textsuperscript{45} which found that women are more likely to live on their own, possibly explaining the increased risk. The use of high-heel footwear, psychotropic drug use, and physical activity levels among women may also affect their risk for a fall; however, this information was not captured in this study.\textsuperscript{5,46} Age, comorbid conditions, and medication use have been widely reported to increase the risk of falls, but were not significantly associated with falls in the current study. This may be due to the younger age of our cohort who were aged 50+ years, with less than 1% aged 85 or older. This much older age-group has previously been found to be at a particularly elevated risk for falls.\textsuperscript{3,5,45} In addition, 65% reported a comorbid condition, and less than 40% used prescription medications at baseline. The lack of association between these variables and falls may also be due to the overall small number of falls recorded in this study.

While some previous studies have only used visual acuity when assessing the level of visual impairment, this study assessed binocular visual acuity, binocular contrast sensitivity, and stereopsis. However, only improved binocular contrast sensitivity after surgery was found to be associated with a decrease in falls. Although visual acuity is the most commonly used measure for assessing disability caused by cataract and prioritizing patients for surgery, this finding supports a growing pool of research that suggests contrast sensitivity may be a more important measure of fall risk than visual acuity.\textsuperscript{23–25} Interestingly, the current study also found no association between stereopsis and fall risk. While good evidence exists that poor stereopsis increases the risk of falls among the general older population,\textsuperscript{17} this association may not have been observed in the current study because cataract causes more impairment to contrast sensitivity than stereopsis. It should also be noted that anisometropia (a condition in which the two eyes have unequal refractive power) may have been present in participants in this study while they waited for their second-eye surgery. This difference between eyes can affect binocular vision, and may have more effect on fall risk than stereopsis. Further research is warranted.

This study has limitations. Firstly, fall and injury data were self-reported, and may have been affected by recall bias.\textsuperscript{47} The gold standard for collection of fall data involves fall diaries with frequent reporting intervals. Therefore, while the results of this study provide preliminary information on the impact of cataract surgery on falls in Vietnam, further research using more precise methods should be undertaken. In addition, the interviewer was not masked to the operative status of the patients, which may have introduced bias. While every attempt was made to confirm a fall with family members and relatives, this may have resulted in an underestimate of the number of falls. Moreover, we were not able to collect the exact date of the fall at the 1-year follow-up assessment, because the majority of the population could not recall the date. This study did not collect information on other medical interventions or lifestyle changes that may account for a change in fall risk in the 12 months after cataract surgery either. Also, we did not assess visual function at the 1-year follow-up assessment. It is possible that some people may have experienced a change in their visual function after the second assessment. A cognitive assessment was not conducted, and cognitive ability is a well-known confounder in research with an older population.\textsuperscript{44,46–52} A large number of participants (40%) were unable to return to the hospital to complete the second assessment, which may have increased the apparent effectiveness of cataract surgery. Refractive management, which is an important risk factor for a fall, was not included in the analysis either. A final limitation was the lack of a suitable comparison group to compare changes in falls. Nevertheless, the before-and-after nature of the study design, which used the same person as their own control, would have controlled for other interindividual differences that can confound studies.

In conclusion, this study provides preliminary evidence that first- and both-eye cataract surgery significantly reduce the number of falls and injuries in a Vietnamese population. These findings have implications for ophthalmologists and other health professionals, as they highlight the importance of cataract surgery for reducing the risk of falls and other injuries and the need to incorporate the contrast sensitivity measure when prioritizing patients for surgery and assessing their risk of falls. The contrast sensitivity measure may also allow health professionals to identify patients who would most benefit from other fall-prevention interventions.
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Disclosure
The authors report no conflicts of interest in this work.

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