Spin Excitation Anisotropy as a Probe of Orbital Ordering in the Paramagnetic Tetragonal Phase of Superconducting BaFe$_{1.904}$Ni$_{0.096}$As$_2$

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We use polarized neutron scattering to demonstrate that in-plane spin excitations in electron doped superconducting BaFe$_{1.904}$Ni$_{0.096}$As$_2$ ($T_c = 19.8$ K) change from isotropic to anisotropic in the tetragonal phase well above the antiferromagnetic (AFM) ordering and tetragonal-to-orthorhombic lattice distortion temperatures ($T_N \approx T_s = 33 \pm 2$ K) without an uniaxial pressure. While the anisotropic spin excitations are not sensitive to the AFM order and tetragonal-to-orthorhombic lattice distortion, superconductivity induces further anisotropy for spin excitations along the [110] and [100] directions. These results indicate that the spin excitation anisotropy is a probe of the electronic anisotropy or orbital ordering in the tetragonal phase of iron pnictides.

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Understanding the electronic anisotropic state (electronic nematicity) at a temperature associated with the pseudogap phase is one of the most important unresolved problems in the quest for mechanism of high-$T_c$ superconductivity in copper oxides [1]. For iron pnictide superconductors derived from electron doping to their antiferromagnetic (AFM) parent compounds [2–4], there is considerable evidence for an anisotropic electronic state in the AFM phase with an orthorhombic lattice distortion [5–7]. Upon warming to above the AFM order ($T_N$) and orthorhombic lattice distortion ($T_s$) temperatures, iron pnictide superconductors become paramagnetic tetragonal metals [4]. Although transport [8], resonant ultrasound [9], angle-resolved photoemission spectroscopy (ARPES) [10], neutron scattering [11], and magnetic torque [12] measurements suggest an electronic anisotropy in the paramagnetic tetragonal phase, much is unclear about its microscopic origin. In one class of models, the observed electronic anisotropy in the paramagnetic tetragonal phase of iron pnictides [8–12] may arise from either in-plane spin anisotropy (spin nematic phase) [13] as suggested from magnetic anisotropy in torque measurements [12], or orbital ordering [14–19] as implied from the energy splitting of the $d_{x^2-y^2}$ and $d_{xy}$-dominated bands above $T_N$ in ARPES [10]. However, there is no sufficient experimental evidence for spin nematic phase [20] and the observed orbital anisotropy in ARPES [10] may also be an extrinsic effect due to an uniaxial pressure induced increase in $T_N$ [21]. Instead of an electronic anisotropic spin nematic state or orbital ordering, the large resistivity anisotropy seen in electron-doped BaFe$_2-x$Co$_x$As$_2$ [8] has been interpreted as being due to anisotropic impurity scattering of Co atoms in the FeAs layer [22,23]. Since the in-plane resistivity anisotropy in charge transport property does not directly couple to spin and orbital order, these experimental results still leave open the question concerning the presence of spin nematicity or orbital ordering in the tetragonal phase of iron pnictides [13–19].

Here we use polarized neutron scattering to study the spin anisotropy in electron-doped iron pnictide superconductor BaFe$_{1.904}$Ni$_{0.096}$As$_2$ ($T_c = 19.8$ K) [24]. This material has incommensurate AFM order ($T_N$) and tetragonal-to-orthorhombic lattice distortion ($T_s$) temperatures below $T_N \approx T_s = 33 \pm 2$ K (Fig. 1) [25]. Since the spin anisotropy in iron pnictide must originate from a spin-orbit coupling [26], its temperature dependence can provide direct information on any change of electronic physics involving spin or orbital degree of freedom. We demonstrate that spin excitations in BaFe$_{1.904}$Ni$_{0.096}$As$_2$ exhibit an in-plane isotropic to anisotropic transition in the tetragonal phase at a temperature corresponding to the onset of in-plane resistivity anisotropy [8]. While the spin anisotropy shows no anomaly across $T_N$ and $T_s$, it enhances dramatically below $T_c$ revealing its connection to superconductivity. Since similar spin anisotropy is only observed in the AFM orthorhombic phase of the undoped BaFe$_2$As$_2$ [27], spin-orbit coupling in the paramagnetic tetragonal phase of BaFe$_{1.904}$Ni$_{0.096}$As$_2$ must be stabilized by an electronic anisotropic (nematic) phase or orbital ordering.

Figure 1(a) shows the schematic electronic phase diagram of BaFe$_{2-x}$Ni$_x$As$_2$ as determined from neutron scattering [24] and transport measurements [28,29].
Stress biaxiality of the system clearly decreases on cooling below Ref. [25]. (c) In-plane resistance under zero and finite uniaxial stress P along b⊥, where P = P0 is the detwinned pressure. From separate neutron scattering measurements, we know that TN and Ts are uniaxial stress independent. (d) Temperature dependence of the AFM order parameter shows TN ≈ 33 ± 1 K [Fig. 1(d)] [24]. To confirm the anisotropic resistivity in the tetragonal phase of BaFe1.904Ni0.096As2, we have also carried out resistivity measurements on a detwinned sample. The outcome shows clear resistivity anisotropy for temperatures below Tc = 70 ± 10 K [Fig. 1(c)].

We prepared sizable high quality single crystals of BaFe1.904Ni0.096As2 using the self-flux method [28] and coaligned ~11 g single crystals within 3° full width at half maximum (FWHM). Our polarized neutron scattering experiments were carried out using the IN22 thermal triple-axis spectrometer at the Institut Laue-Langevin, Grenoble, France [26]. The scattering planes are \((H, H, 6H) \times (K, -K, 0)\) and \((H, H, 0) \times (0, 0, L)\) to probe the wave vector dependence of spin excitations along different directions. Using pseudotetragonal lattice unit cell with \(a = b = 3.956 \text{ Å}\) and \(c = 12.92 \text{ Å}\), the vector Q in three-dimensional reciprocal space in \(\text{Å}^{-1}\) is defined as \(Q = Ha^* + Kb^* + Lc^*\), where \(H, K,\) and \(L\) are Miller indices and \(a^* = \hat{a}2\pi/a, b^* = \hat{b}2\pi/b, c^* = \hat{c}2\pi/c\) are reciprocal lattice units. We define neutron polarization directions as \(x, y, z\), with \(x\) parallel to Q, and \(z\) perpendicular to Q as shown in Figs. 1(e) and 1(g). At the AFM wave vector \(Q = (0.5, 0.5, 3)\), neutron polarization directions \(x, y\) are parallel to the \(Q = (1, 1, 0)\) and \((1, -1, 0)\) respectively, while \(z\) is perpendicular to the \((H, H, 6H) \times (K, -K, 0)\) scattering plane along the \(Q = (1, 1, -1/3)\) direction [Figs. 1(e) and 1(f)]. In the \((H, H, L)\) scattering plane, we probe AFM wave vectors \(Q_1 = (0.5, 0.5, 1), Q_2 = (0.5, 0.5, 3), Q_3 = (0.5, 0.5, 5)\), where neutron polarization directions \(x, y\), and \(z\) are shown in Fig. 1(g).

Since neutron scattering is only sensitive to magnetic scattering component perpendicular to the momentum transfer Q, magnetic responses in the y-z plane \((M_x\) and \(M_z)\) can be measured by using different neutron spin directions [Figs. 1(f) and 1(h)]. At a specific momentum and energy transfer, scattered neutrons can have polarizations antiparallel (neutron spin flip or SF, \(||\)) to the incident neutrons. Therefore, the three neutron SF scattering cross sections can be written as \(\sigma_{\alpha}^{{\text{SF}}}, \) where \(\alpha = x, y, z\). The magnetic moments \(M_x\) and \(M_z\) can be extracted via \(\sigma_{\text{SF}}^x - \sigma_{\text{SF}}^y = cM_y\) and \(\sigma_{\text{SF}}^x - \sigma_{\text{SF}}^z = cM_z\), where \(c = (R - 1)/(R + 1)\) and the flipping ratio \(R\) is measured by the leakage of non-spin-flip (NSF) nuclear Bragg peaks into the magnetic SF channel \(R = \sigma_{\text{NSF}}^\text{Bragg}/\sigma_{\text{SF}}^\text{Bragg} = 15\) [26].

In previous polarized neutron scattering experiments on optimally electron-doped iron pnictide superconductor BaFe1.18Co0.12As2 [31], without static AFM order, low-energy spin excitations were found to be anisotropic in the superconducting state. For electron-overdoped BaFe1.85Ni0.15As2, spin excitations are isotropic in both the normal and superconducting states [32].
FIG. 2 (color online). (a) Energy scans at \( Q = (0.5, 0.5, 3) \) for SF scattering at 22 K above \( T_c \), for different neutron polarization directions, marked as \( \sigma_{x,y,z}^{SF} \). (b) The magnetic response \( M_y \) and \( M_z \) extracted from (a). (c) and (d) Identical energy scans at 2 K below \( T_c \) in the neutron SF channel and \( M_y \), \( M_z \), respectively. (e) The total neutron SF scattering \( \sigma^{SF}_{x,y,z} \) at 2 and 22 K and (f) their difference, where a neutron spin resonance is seen at \( E_r = 7 \) meV. (g) The \( \sigma_y^{SF} \) at 2 and 22 K and (h) their difference. (i),(j) Identical scans for \( \sigma_z^{SF} \). The solid lines in (b),(d),(h),(j) are guides to the eyes, and in (f) the solid line is the sum of (h) and (j).

Figure 2(a) shows energy scans at \( Q = (0.5, 0.5, 3) \) for all three SF channels \( \sigma_{x,y,z}^{SF} \) at \( T = 22 \) K. For a pure isotropic paramagnetic scattering, one expects \( \sigma_y^{SF} = 2\sigma_x^{SF} = 2\sigma_z^{SF} \) assuming a small (negligible) background scattering [26,31]. While this is indeed the case for \( E \gtrless 5 \) meV, there is apparent spin anisotropy for \( E < 5 \) meV with \( \sigma_y^{SF} > \sigma_x^{SF}/2 > \sigma_z^{SF} \) [Fig. 2(a)]. On cooling to \( T = 2 \) K, the spectra are rearranged [Fig. 2(c)]. While there is a clear resonance at \( E_r = 7 \) meV in the \( \sigma_z^{SF} \) channel at the expense of lower energy spin excitations [Fig. 2(e)], \( \sigma_y^{SF} \) and \( \sigma_z^{SF} \) respond to superconductivity very differently. Instead of showing suppressed spin fluctuations below 4 meV as in the temperature difference plot for \( \sigma_y^{SF} \), superconductivity induces a very broad resonance in \( \sigma_y^{SF} \) with magnetic intensity gain from 3 to 10 meV [Figs. 2(g) and 2(h)]. This is similar to the \( c \)-axis polarized spin excitations of \( \text{BaFe}_{1.68}\text{Co}_{0.12}\text{As}_2 \) below \( T_c \) [26] and \( \text{BaFe}_{1.9}\text{Ni}_{0.1}\text{As}_2 \) [31]. For \( \sigma_y^{SF} \), the effect of superconductivity is to open a larger spin gap below about 5 meV and form a resonance near \( E_r = 7 \) meV [Figs. 2(i) and 2(j)]. Since the temperature difference plots in Figs. 2(f), 2(h), and 2(j) should contain no background, we expect \( \sigma_y^{SF} = \sigma_y^{SF} + \sigma_z^{SF} \). The solid line in Fig. 2(f) shows the sum of \( \sigma_y^{SF} \) and \( \sigma_z^{SF} \), and it is indeed statistically identical to \( \sigma_y^{SF} \).

To quantitatively estimate the spin anisotropy from \( \sigma_x^{SF} \) in Figs. 2(a) and 2(c), we plot in Figs. 2(b) and 2(d) the energy dependence of \( M_y \) and \( M_z \) in the normal and superconducting states, respectively. At \( T = 22 \) K, the magnetic scattering show spin anisotropy below \( \sim5 \) meV. At 2 K, the \( M_y \) shows a clean spin gap below 4 meV and a resonance at \( E_r = 7 \) meV, while \( M_z \) shows a broad peak centered around 5 meV. In previous polarized neutron scattering experiments on electron-doped iron pnictide superconductors [26,31], similar magnetic anisotropy was found at low energies.

Figure 3 summarizes constant energy scans along the \([H 1–H 3]\) direction at \( E = 3 \) and 7 meV with different neutron polarizations. At \( T = 2 \) K, \( \sigma_y^{SF} \) and \( \sigma_z^{SF} \) at \( E = 3 \) meV display well-defined peaks at \( (0.5, 0.5, 3) \) with almost the same magnitude, while \( \sigma_y^{SF} \) has only a broad weak peak center at \( (0.5, 0.5, 3) \) [Fig. 3(a)]. These data are consistent with constant-\( Q \) scans in Fig. 2. At \( T = 22 \) K, similar scans show three separate peaks satisfying \( \sigma_y^{SF} > \sigma_z^{SF}/2 > \sigma_x^{SF} \), again confirming the anisotropic nature of the normal state spin excitations in Fig. 2(a). For comparison, spin excitations at the resonance energy of \( E_r = 7 \) meV are completely isotropic below [Fig. 3(c)] and above [Fig. 3(d)] \( T_c \) satisfying \( \sigma_y^{SF} = 2\sigma_x^{SF} = 2\sigma_z^{SF} \).

Given the clear experimental evidence for anisotropic spin excitations at \( E = 3 \) meV and its possible coupling to superconductivity as illustrated in Figs. 2 and 3, it would be interesting to measure the temperature dependence of the spin anisotropy. Figure 4(a) shows the temperature dependent scattering for \( \sigma_y^{SF} \) at \( Q = (0.5, 0.5, 3) \) and \( E = 3 \) meV. At temperatures above 70 K, we see \( \sigma_y^{SF} = 2\sigma_x^{SF} = 2\sigma_z^{SF} \) indicating that spin excitations are isotropic.
with $M_y = M_z$. On cooling to below 70 K, we see a clear splitting of the temperature dependent $\sigma_{y}^{SF}$ and $\sigma_{z}^{SF}$. While $\sigma_{x}^{SF}$ shows no visible changes cross 70 K, $\sigma_{y}^{SF}$ increases and $\sigma_{z}^{SF}$ decreases with decreasing temperature below 70 K before saturating around 40 K. On cooling further to crossing $T_N$ and $T_c$, there are no statistically significant changes in $\sigma_{x}^{SF}$, $\sigma_{y}^{SF}$, or $\sigma_{z}^{SF}$, indicating that spin anisotropy at $E = 3$ meV does not respond to AFM ordering and tetragonal-orthorhombic lattice distortion. Finally, on cooling below $T_c$, we see a clear reduction in $\sigma_{x}^{SF}$, revealing a suppression of the spin excitations for energies below the resonance. On the other hand, while $\sigma_{x}^{SF}$ increases at $T_c$ and merges with $\sigma_{x}^{SF}$ below around 10 K, $\sigma_{y}^{SF}$ exhibits a further reduction in intensity below $T_c$. Figure 4(b) shows the temperature dependence of the magnetic scattering $M_y$ and $M_z$ obtained from $\sigma_{y}^{SF}$. On cooling, spin excitations first change from isotropic to anisotropic below approximately 70 K, and further enhance anisotropy below $T_c$ with almost zero $M_y$ at 2 K. Figure 4(c) shows temperature dependence of the imaginary part of the dynamic susceptibility $\chi''$ along the $y$ and $z$ directions. They show again the appearance of spin anisotropy below 70 K with no changes across $T_N$ and $T_c$, and a further spin anisotropy change below $T_c$.

Figure 4(d) shows temperature dependence of the magnetic intensity at the resonance energy $E_r = 7$ meV. At all measured temperatures, we find $\sigma_{x}^{SF} = 2\sigma_{y}^{SF} = 2\sigma_{z}^{SF}$, thus confirming the isotropic nature of the mode. Figures 4(e) and 4(f) are the corresponding temperature dependence of $M_y$, $M_z$ and $\chi''_y$, $\chi''_z$, respectively. In both cases, there is an intensity increase below $T_c$, consistent with earlier work on the resonance [26,31]. For comparison, we note that spin excitations in superconducting iron chalcogenides have slightly anisotropic resonance with isotropic spin excitations below it [33,34].

In previous polarized neutron measurements on the parent compound BaFe$_2$As$_2$ [27], it was found that the in-plane polarized spin waves exhibit a larger gap than the out-of-plane polarized ones, suggesting that it costs more energy to rotate a spin within the orthorhombic $a$-$b$ plane than to rotate it perpendicular to the FeAs layers. However, the spin anisotropy immediately disappears in the paramagnetic tetragonal state above $T_N$ and $T_c$ [27]. Since $M_y$ is the spin moment in the FeAs layers [Fig. 1(e)], the $M_y$ and $M_z$ anisotropy should also represent the spin anisotropy along the $[1\bar{1}0]$ and $[11\bar{1}/3]$ directions, respectively. To determine the precise anisotropic direction of spin excitations at $E = 3$ meV, we measured $\sigma_{y}^{SF}$ at $Q_{1,2,3}$ in the $(H, H, L)$ zone [Figs. 5(a)–5(c)]. At $T = 2$ K ($\ll T_c$), we see $\sigma_{x}^{SF} = \sigma_{y}^{SF} \gg \sigma_{z}^{SF}$ at all wave vectors probed. On warming to 35 K ($> T_N$, $T_c$), we have $\sigma_{x}^{SF} > \sigma_{y}^{SF} > \sigma_{z}^{SF}$. At 75 K, we find $\sigma_{x}^{SF} = 2\sigma_{y}^{SF} = 2\sigma_{z}^{SF}$, suggesting weak or no spin anisotropy. By considering wave vector dependence of spin excitations in Figs. 5(a)–5(c), we estimate the temperature dependence of $M_{101}$, $M_{100}$, and $M_{001}$ [Fig. 5(d)] (see Supplemental Material [35]).

In the superconducting orthorhombic state, there are clear in-plane magnetic anisotropy with $M_{001} \sim M_{110} \gg M_{110} = 0$. In the paramagnetic tetragonal state just above $T_c$ and $T_N$, we still have strong in-plane magnetic anisotropy with $M_{110} \sim M_{001} > M_{110}$. This is surprising because domains associated with the in-plane AFM wave vector $Q = (0.5, 0.5)$ are randomly mixed with those associated with $M_y = M_z$.
with the $Q = (0.5, -0.5)$ in the tetragonal phase. In the AFM orthorhombic state, the low-energy spin excitations associated with the $Q = (0.5, 0.5)$ domains are well separated from those associated with $Q = (0.5, -0.5)$ in reciprocal space [11]. If there is strong paramagnetic scattering at $Q = (0.5, 0.5)$ arising from domains associated with $Q = (0.5, 0.5)$ in the tetragonal phase, one should not be able to determine the spin excitation anisotropy in neutron polarization analysis. However, recent unpolarized neutron experiments on nearly 100% mechanically detwinned BaFe$_{1.904}$Ni$_{0.096}$As$_2$ reveal that spin excitations in the paramagnetic tetragonal state are still centered mostly at $Q = (0.5, 0.5)$ [36]. Therefore, our neutron polarization analysis provides the most compelling evidence for the in-plane spin anisotropy in the paramagnetic tetragonal phase of BaFe$_{1.904}$Ni$_{0.096}$As$_2$ [Fig. 5(d)]. Since such spin excitation anisotropy occurs at the AFM wave vector $Q = (0.5, 0.5)$, it does not break the $C_4$ rotational symmetry of the underlying lattice.

In summary, we have discovered that an in-plane isotropic-to-anisotropic spin fluctuation transition occurs in the tetragonal phase of superconducting BaFe$_{1.904}$Ni$_{0.096}$As$_2$ without an uniaxial pressure, consistent with resistivity anisotropy. The spin anisotropy is further enhanced upon entering into the superconducting state. Therefore, our experimental results establish the in-plane spin anisotropy as a new experimental probe to study the spontaneously broken electronic symmetries in strain free iron pnictides.

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