A complete Classification of Quantum Public-key Encryption Protocols

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Abstract

We present a classification of quantum public-key encryption protocols. There are six elements in quantum public-key encryption: plaintext, ciphertext, public-key, private-key, encryption algorithm and decryption algorithm. According to the property of each element which is either quantum or classical, the quantum public-key encryption protocols can be divided into 64 kinds. Among 64 kinds of protocols, 8 kinds have already been constructed, 52 kinds can be proved to be impossible to construct and the remaining 4 kinds have not been presented effectively yet. This indicates that the research on quantum public-key encryption protocol should be focus on the existed kinds and the unproposed kinds.

Keywords:
quantum cryptography, quantum public-key encryption, classification

1. Introduction

Diffie and Hellman [1] lay the foundation of modern cryptography by presenting the concept of public-key encryption. Contrary to symmetric-key encryption which uses the same to encrypt and to decrypt, public-key encryption uses a pair of different keys, public-key and private-key, to encrypt and to decrypt. Classical public-key encryption has been widely used in

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many fields. However, Shor’s algorithm \[2\] and Gover’s algorithm \[3\] can attack the computational difficulty problems which are used as the premises of constructing classical public-key encryption. The research on quantum public-key encryption can pre-empt such potential threats.

A quantum public-key encryption consists of six elements: plaintext, ciphertext, public-key, private-key, encryption algorithm and decryption algorithm. If an encryption protocol involved quantum part, it can be taken as the counterpart of classical public-key encryption. With the advent of quantum key distribution protocol, BB84 \[4\], quantum public-key encryption has attained great development. Okamoto’s protocol \[5\] is considered as the first public-key encryption protocol which is based on the Knapsack-set problem. Gottesman \[6\] firstly proposed "quantum public key cryptography with information-theoretic security". Up to now, there are some quantum public-key encryption protocols. For purpose of further analyzing the property of quantum public-key encryption and constructing new protocols, we classify the quantum public-key encryption protocols.

This paper is organised as follows: a brief classification of quantum public-key encryption protocols is given in section 2; we classify these protocols into 3 types, each type is explained in section 3, 4, 5 respectively; in section 6, we discuss some problems exist in quantum public-key encryption protocols; finally, conclusions are drawn in section 7.

2. Classification of quantum public-key encryption protocols

A quantum public-key encryption protocol is a six-tuple \((P, C, pK, sK, \mathcal{E}, \mathcal{D})\): plaintext \((P)\), ciphertext \((C)\), public-key \(pK\), private-key \(sK\), encryption algorithm \(\mathcal{E}\) and decryption algorithm \(\mathcal{D}\). Each element can be either classical or quantum, so there are 64 kinds of quantum public-key encryption protocols in total. Among them, 8 kinds have already been constructed, 52 kinds can be proved to be impossible to construct and the remaining 4 kinds have not been presented effectively yet. Thus, we can classify them into three types. The detail classification is show in table 1.

In Table 1, \(\mathbb{C}\) denotes the element belongs to classical space, \(\mathbb{Q}\) denotes the element belongs to quantum space, \(\mathbb{E}\) means the protocol exists, \(\mathbb{N}\) means the protocol does not exist, \(\mathbb{O}\) means that it is still an open problem whether this kind of protocol exists or not.
Table 1: 64 kinds of quantum public-key encryption protocols

| Kind | $(P \ C \ pK \ sK \ \& \ \mathcal{D})$ | existence | Kind | $(P \ C \ pK \ sK \ \& \ \mathcal{D})$ | existence |
|------|----------------------------------|-----------|------|----------------------------------|-----------|
| 1    | $C \ C \ C \ C \ C \ C$         | E         | 33   | $Q \ C \ C \ C \ C \ C$         | N         |
| 2    | $C \ C \ C \ C \ C \ Q$         | E         | 34   | $Q \ C \ C \ C \ C \ Q$         | N         |
| 3    | $C \ C \ C \ C \ Q \ C$         | O         | 35   | $Q \ C \ C \ C \ Q \ C$         | N         |
| 4    | $C \ C \ C \ C \ Q \ Q$         | O         | 36   | $Q \ C \ C \ Q \ Q$            | N         |
| 5    | $C \ Q \ C \ C \ C \ C$         | N         | 37   | $Q \ Q \ C \ C \ C \ C$         | N         |
| 6    | $C \ Q \ C \ C \ Q \ C$         | N         | 38   | $Q \ Q \ C \ C \ C \ Q$         | N         |
| 7    | $C \ Q \ C \ C \ Q \ Q$         | N         | 39   | $Q \ Q \ C \ Q \ Q$            | N         |
| 8    | $C \ Q \ C \ Q \ C \ C$         | N         | 40   | $Q \ Q \ C \ C \ Q \ C$         | E         |
| 9    | $C \ Q \ C \ Q \ C \ Q$         | N         | 41   | $Q \ Q \ C \ C \ Q \ C$         | N         |
| 10   | $C \ Q \ C \ Q \ Q \ C$         | N         | 42   | $Q \ Q \ Q \ C \ C \ C$         | N         |
| 11   | $C \ Q \ C \ Q \ Q \ Q$         | N         | 43   | $Q \ Q \ Q \ C \ Q \ Q$         | N         |
| 12   | $C \ Q \ Q \ C \ C \ C$         | N         | 44   | $Q \ Q \ Q \ C \ Q \ Q$         | N         |
| 13   | $C \ Q \ Q \ C \ C \ Q$         | N         | 45   | $Q \ Q \ Q \ C \ C \ Q$         | N         |
| 14   | $C \ Q \ Q \ C \ Q \ C$         | N         | 46   | $Q \ Q \ Q \ C \ Q \ C$         | N         |
| 15   | $C \ Q \ Q \ Q \ C \ C$         | N         | 47   | $Q \ Q \ Q \ C \ C \ Q$         | N         |
| 16   | $C \ Q \ Q \ Q \ C \ Q$         | N         | 48   | $Q \ Q \ Q \ C \ Q \ C$         | N         |
| 17   | $C \ Q \ Q \ Q \ Q \ C$         | N         | 49   | $Q \ Q \ Q \ Q \ C \ C$         | N         |
| 18   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 50   | $Q \ Q \ Q \ Q \ C \ Q$         | N         |
| 19   | $C \ Q \ Q \ Q \ Q \ Q$         | O         | 51   | $Q \ Q \ Q \ Q \ Q \ Q$         | O         |
| 20   | $C \ Q \ Q \ Q \ Q \ Q$         | E         | 52   | $Q \ Q \ Q \ Q \ Q \ Q$         | E         |
| 21   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 53   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 22   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 54   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 23   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 55   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 24   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 56   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 25   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 57   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 26   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 58   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 27   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 59   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 28   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 60   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 29   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 61   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 30   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 62   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 31   | $C \ Q \ Q \ Q \ Q \ Q$         | N         | 63   | $Q \ Q \ Q \ Q \ Q \ Q$         | N         |
| 32   | $C \ Q \ Q \ Q \ Q \ Q$         | E         | 64   | $Q \ Q \ Q \ Q \ Q \ Q$         | E         |
3. Type E

In this section, we will give simple examples about the existed 8 kinds of quantum public-key encryption protocol. The numbers of these protocols are 1, 2, 8, 20, 32, 40, 52, 60.

3.1. Kind 1

Broadly speaking, the classical public-key encryption protocol is a special case of quantum public-key encryption protocol. Each elements in classical public-key encryption protocol is belong to classical space. There is no doubt that Kind 1 exist, such as RSA[^7], ECC cryptosystem[^8], Rabin cryptosystem[^9] and so on. Okamoto proposed a QPKE protocol[^5] based on the Knapsack-set problem. All the six elements of this protocol is classical, but the participants are all quantum Turing machines.

3.2. Kind 2

This kind uses quantum computation to decrypt. Supposed that during the decryption of ElGamal cryptosystem[^10], the participant can use Shor’s algorithm to solving the discrete problem to get the transmitted message. The quantum attack to the classical PKE protocol is also belong to this kind.

3.3. Kind 8

\[ P, pK, sK \in \mathbb{C} \text{ and } C, \mathcal{E}, \mathcal{D} \in \mathbb{Q} \] according to the kind 8. We can use the QPKE[^11] based on the McEliece cryptosystem[^12] to transmit classical message \( x \).

**Protocol 1** \((P, pK, sK \in \mathbb{C}; C, \mathcal{E}, \mathcal{D} \in \mathbb{Q})\). Let Alice’s public-key be \( G', G' = SGP \). \( S \) is an invertible matrix, \( P \) is a permutation matrix and \( G \) is a generator matrix of a Goppa code. And let her private-key be \((S, G, P)\).

**[Encryption]** Bob uses Alice’s public-key \( G' \) to encrypt the classical message \( x \) as below:

1. he prepares a quantum state \(|x\rangle\) and does Hadamard transformation on it:

\[
|x\rangle \rightarrow \frac{1}{\sqrt{2}} \sum_{m}(-1)^{m \cdot x} |m\rangle
\]

\[= \sum_{m} a_{m} |m\rangle \quad (1)\]
2. he does transform on the quantum message with public-key:

$$U_{G'}(\sum_m \alpha_m |m\rangle_k |0\rangle_n) = \sum_m \alpha_m |m\rangle_k |mG'\rangle_n;$$  \hspace{1cm} (2)

3. he randomly chooses an error $e$ to add into the state:

$$U_e(\sum_m \alpha_m |mG'\rangle_n) = \sum_m \alpha_m |mG' \oplus e\rangle_n, \hspace{1cm} (3)$$

and then sends it to Alice.

**[Decryption]** Alice uses her private-key $(S, G, P)$ to decrypt the receiving ciphertext:

1. she use $P^{-1}$ to do computation:

$$U_{P^{-1}}(\sum_m \alpha_m |m\rangle_k |mG'\rangle_n \oplus e) = \sum_m \alpha_m |m\rangle_k |mSG \oplus e\rangle_n; \hspace{1cm} (4)$$

2. she takes advantage of $H$ to apply the operator $U_H$:

$$U_H(\sum_m \alpha_m |mSG \oplus e\rangle_n |0\rangle_{n-k}) = \sum_m \alpha_m |mSG \oplus e\rangle_n |s\rangle_{n-k}, \hspace{1cm} (5)$$

and then measuring the second register to get the syndrome to find the error via the fast decoding algorithm of the Goppa code generated by $G$;

3. she uses error vector $e$ to do computation:

$$U_e(\sum_m \alpha_m |mSG \oplus e\rangle_n) = \sum_m \alpha_m |mSG\rangle_n; \hspace{1cm} (6)$$

and also uses the inver matrix of $G$ to do transform:

$$U_{G^{-1}}(\sum_m \alpha_m |mSG\rangle_n |0\rangle_k) = \sum_m \alpha_m |mSG\rangle_n |mSGG^{-1}\rangle_{n-k}; \hspace{1cm} (7)$$

4. finally, she does computation according to $S^{-1}$ to get the quantum message:

$$U_{S^{-1}}(\sum_m \alpha_m |mS\rangle_k) = \sum_m \alpha_m |mSS^{-1}\rangle_k$$

$$= \sum_m \alpha_m |m\rangle_k; \hspace{1cm} (8)$$
3.4. Kind 20

Kind 20 represents that $P, sK \in \mathbb{C}$ and $C, pK, \varepsilon, \varnothing \in \mathbb{Q}$. This kind consists of two subclass, one is that the public-key is composed only by quantum state, the other is that it employs a random changed pair of classical string and quantum state as public-key.

**Protocol 2** ($P, sK \in \mathbb{C}; C, pK, \varepsilon, \varnothing \in \mathbb{Q}$).

In Kawachi’s protocol[13], the public-key is the quantum state $\rho^+_\pi = |\sigma\rangle + |\sigma\pi\rangle$, where $\sigma \in S_n$. And the private-key is a permutation $\pi$ selected from $S_n$.

**Encryption**

1. If Bob intends to send message "0" to Alice, he sends Alice $\rho^+_\pi$,
2. If he wants to transmit "1", he sends Alice $\rho^-_{\pi}$, where $\rho^-_{\pi}$ is obtained from the operations as follows:

$$|\sigma\rangle + |\sigma\pi\rangle \mapsto (-1)^{sgn(\sigma)}|\sigma\rangle + (-1)^{sgn(\sigma\pi)}|\sigma\pi\rangle$$

**Decryption** Alice decrypts Bob’s ciphertext using the private-key $\pi$.

**Protocol 3** ($P, sK \in \mathbb{C}; C, pK, \varepsilon, \varnothing \in \mathbb{Q}$).

Quantum public-key encryption protocol[14] based on conjugate coding employs a random changed pair of bit string and quantum state as public-key and a Boolean function as private-key. Let the public-key be $(s, H^k|i\rangle)$, the private-key be $F$, where $s$ used just once time, $i \in \Omega_b$, $\Omega_b = \{i \in \{0,1\}^n| i_1 \oplus \cdots \oplus i_n = b\}$. The owner of private-key uses bit string of public-key $s$ as input to $F$ to compute $k$ which is important element in preparing quantum state.

**Encryption**

1. Bob wants to transmit one bit message $b$ to Alice, he gets one of Alice’s public-key and then select a bit $j$ from $\Omega_b$,
2. He applies $Y^j$ to $H^k|i\rangle$,
3. He sends $(s, Y^jH^k|i\rangle)$ to Alice.

**Decryption**
1. Alice calculate $F(s) = k$.

2. She removes the transformation $H^k$ from the quantum state, and gets $Y^j|i\rangle$.

3. She measures $Y^j|i\rangle$ with basis $\{|0\rangle, |1\rangle\}^n$ to acquire the plaintext.

3.5. Kind 32

This kind request that $P \in C$ and $C, pK, sK, \mathcal{E}, \mathcal{D} \in Q$. Kind 32 usually uses EPR pairs as public-key and private-key.[15, 16]

**Protocol 4** ($P \in C; C, pK, sK, \mathcal{E}, \mathcal{D} \in Q$).

Denote the public-key as $S_p = \{p_1, p_2, \ldots, p_n\}$ and private-key as $S_q = \{q_1, q_2, \ldots, q_n\}$. Both the public-key and private-key are in the Bell state: $|\Phi^+\rangle_{12} = \frac{1}{\sqrt{2}}(|00\rangle_{12} + |11\rangle_{12})$. Particle 1 is belong to public-key and particle 2 is private-key.

**[Encryption]** If Bob intends to send r-bit classical message $m = \{m_1, m_2, \ldots, m_r\}$:

1. he prepares r-qubit quantum state $|m\rangle = \{|m_1\rangle, |m_2\rangle, \ldots, |m_r\rangle\}$,

2. he uses Alice’s public-key $S_p$ to do CNOT operations on the prepared quantum state:

$$C_{p,i}|\Phi^+\rangle_{p,i}|m_i\rangle_{l_i} = \frac{1}{\sqrt{2}}(|00m_i\rangle + |11\overline{m_i}\rangle)_{p,q,l_i}, \quad (10)$$

3. sends all these particles to Alice.

**[Decryption]**

1. When receiving ciphertext, Alice uses her private-key $S_q$ to do CNOT operations:

$$C_{q,i} \frac{1}{\sqrt{2}}(|00m_i\rangle + |11\overline{m_i}\rangle)_{p,q,l_i} = |\Phi^+\rangle_{p,i}|m_i\rangle_{l_i}, \quad (11)$$

2. Alice measures the state with basis $B_Z$ to get the plaintext.
3.6. Kind 40
This kind aims at encrypting quantum message, requiring $pK, sK \in \mathbb{C}$ and $P, C, \mathcal{E}, \mathcal{D} \in \mathbb{Q}$. Li Yang and Fujita proposed some quantum counterparts of McEliece cryptosystem\cite{11, 17}. Such protocols is belong to this kind. We can use Protocol 1 to transmit quantum message, so this kind exists.

3.7. Kind 52
This kind request: $sK \in \mathbb{C}$ and $P, C, pK, \mathcal{E}, \mathcal{D} \in \mathbb{Q}$. Gotteseman was the first one to consider quantum state as public-key, and proposed an information-theoretically secure QPKE\cite{6}.

Protocol 5 ($sK \in \mathbb{C}; P, C, pK, \mathcal{E}, \mathcal{D} \in \mathbb{Q}$).
Let Alice’s public-key be $|\psi\rangle = (I \otimes U_k)(|0\rangle|0\rangle + |1\rangle|1\rangle)$, and private-key be $k$

[Encryption]
Bob uses Alice’s public-key to encrypt quantum message $|\varphi\rangle = \alpha|0\rangle + \beta|1\rangle$.
he teleports the quantum message through the public-key:

$$|\varphi\rangle|\psi\rangle = \frac{1}{\sqrt{2}}(\alpha|0\rangle + \beta|1\rangle)(|0\rangle \otimes U_k |0\rangle + |1\rangle \otimes U_k |1\rangle)$$  \hfill (12)

and by measuring the first and second particles, he gets the corresponding Pauli matrix $P$ as showed in Table 2:

| Bob’s measurement | Alice’s state | $P$ |
|--------------------|---------------|-----|
| $|\Phi^+\rangle$    | $\alpha U_k |0\rangle + \beta U_k |1\rangle$ | $I$ |
| $|\Phi^-\rangle$    | $\alpha U_k |0\rangle - \beta U_k |1\rangle$ | $Z$ |
| $|\Psi^+\rangle$    | $\beta U_k |0\rangle + \alpha U_k |1\rangle$ | $X$ |
| $|\Psi^-\rangle$    | $-\beta U_k |0\rangle + \alpha U_k |1\rangle$ | $ZX$ |

Finally, Bob sends Alice $P$ and 2nd register of public-key.

[Decryption]
After receiving ciphertext $(P, U_k P |\varphi\rangle)$, Alice decrypts it by performing $U_k^{-1}$ then $P^{-1}$, and gets the plaintext $|\varphi\rangle$. 


3.8. Kind 64

All the six elements in this kind are belong to quantum space, we give a simple example as follows:

**Protocol 6** \((P, C, pK, sK, \mathcal{E}, \mathcal{D} \in \mathbb{Q})\).

Let plaintext be \(\sum m \alpha_m |m\rangle\). Public-key \(S_{pk}\) and private-key \(S_{sK}\) are in the entangled state \(\frac{1}{\sqrt{2}}(|0\rangle_A |0\rangle_B + |1\rangle_A |1\rangle_B)\).

**[Encryption]**

1. Bob performs CNOT operation on the quantum state with Alice’s public-key \(S_{pk}\):

\[
C_{p,li} \sum m \alpha_m |\Phi^+\rangle_{p,li} |m_i\rangle_{li} = \frac{1}{\sqrt{2}}(|00m_i\rangle + |11m_i\rangle)_{p,li},
\]

(13)

2. Bob sends the ciphertext to Alice.

**[Decryption]**

1. Alice decrypts with her private-key \(S_{sK}\):

\[
C_{q,li} \frac{1}{\sqrt{2}}(|00m_i\rangle + |11m_i\rangle)_{p,li} = |\Phi^+\rangle_{p,li} |m_i\rangle_{li},
\]

(14)

2. Alice gets the plaintext in the second register.

4. Type N

As shown in Table 1, there are 52 kinds protocol are unable to be constructed. The encryption expression of QPKE can be written as:

\[
C = Enc_{pK}(P).
\]

(15)

It refers to that giving plaintext and public-key to the encryption algorithm, the output is the ciphertext. The expression of decryption is:

\[
P = Dec_{sK}(C).
\]

(16)

The input for the decryption algorithm are ciphertext and private-key, and the plaintext will be obtained.
According to formula 15, if one element of both the input and output is belong to quantum space, the encryption algorithm must have the ability to process quantum elements. So the encryption algorithm should be belong to quantum space. Through this analysis, we can confirm that kind 5, 6, 9, 10, 17, 18, 21, 22, 25, 26, 29, 30, 33, 34, 37, 38, 41, 42, 45, 46, 49, 50, 53, 54, 57, 58, 61, 62 are not exist.

Analogous to encryption process, if one of private-key, ciphertext and plaintext is belong to quantum space, the decryption algorithm is also belong to quantum space. So kind 7, 11, 15, 19, 23, 27, 31, 35, 39, 43, 47, 51, 55, 59, 63 are impossible to construct.

When one of the inputs for the quantum encryption algorithm, plaintext or public-key is belong to quantum space, the output should be quantum states. If not, it means Quantum coherent components disappear after encryption and the output remains only one component. So quantum state degenerates into a classical one. That is, most of the information in plaintext are missing with the processing of encryption. Such protocol is useless in transmitting message. Kind 12, 24, 36, 44, 48, 56 are non-exist.

Similarly, if either ciphertext or private-key is quantum during the process of decryption, the plaintext must be quantum states. The reason is the same with encryption processing. Thus, kind 13, 14, 16 are impossible.

5. Type O

For Kind 3: \((P, C, pK, sK, D \in \mathbb{C}; c \in \mathbb{D})\), Kind 4: \((P, C, pK, sK \in \mathbb{C}; e, D \in \mathbb{Q})\), Kind 28: \((P, pK, e \in \mathbb{C}; C, sK, e, D \in \mathbb{Q})\) and Kind 60: \((pK, e \in \mathbb{C}; P, C, sK, e, D \in \mathbb{Q})\), we are uncertain about their existence and also cannot determin their non-existence. If we consider classical plaintext as a special case of quantum plaintext, Kind 28 can be classified into Kind 60. There may be some novel and interesting QPKE protocols of Kind 3, 4, 28, 60 which are worth further studying.

6. Discussion

By classification, we review some QPKE protocols that are fully studied, make a list of impossible QPKE protocols and figure out the kinds which their existence is still an open problem. However, about type O are given out, we cannot either give a proof of its existence or of its non-existence. In this paper, public-key distribution and the key generation are not taken
into consideration. If the two phase are considered in the classification of QPKE protocols, the problem would be more complex, and there may be more interesting QPKE protocols to think about.

In public-key encryption protocol, there are some security notions, such as computational security, information-theoretically security, semantic security, malleable, non-malleable and so on. If we classify the QPKE protocols according the security notions, the classification will be more complex but more specific.

7. Conclusions

Based on the six-tuple of QPKE, we classify the 64 kinds of QPKE protocols into three types. First type includes 8 kinds of protocols that all have been presented yet and worth deeply studying. Second type includes 52 kinds of protocols that are are proved to be unable to construct or are no use to construct. The remaining protocols are worth further discussing.

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