A randomised, double-blind, placebo-controlled multi-centre phase III trial of XELIRI/FOLFIRI plus simvastatin for patients with metastatic colorectal cancer

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Background: The purpose of this randomised phase III trial was to evaluate whether the addition of simvastatin, a synthetic 3-hydroxy-3-methylglutaryl coenzyme A reductase inhibitor, to XELIRI/FOLFIRI chemotherapy regimens confers a clinical benefit to patients with previously treated metastatic colorectal cancer.

Methods: We undertook a double-blind, placebo-controlled phase III trial of 269 patients previously treated for metastatic colorectal cancer and enrolled in 5 centres in South Korea. Patients were randomly assigned (1:1) to one of the following groups: FOLFIRI/XELIRI plus simvastatin (40 mg) or FOLFIRI/XELIRI plus placebo. The FOLFIRI regimen consisted of irinotecan at 180 mg m⁻² as a 90-min infusion, leucovorin at 200 mg m⁻² as a 2-h infusion, and a bolus injection of 5-FU 400 mg m⁻² followed by a 46-h continuous infusion of 5-FU at 2400 mg m⁻². The XELIRI regimen consisted of irinotecan at 250 mg m⁻² as a 90-min infusion with capecitabine 1000 mg m⁻² twice daily for 14 days. The primary end point was progression-free survival (PFS). Secondary end points included response rate, duration of response, overall survival (OS), time to progression, and toxicity.

Results: Between April 2010 and July 2013, 269 patients were enrolled and assigned to treatment groups (134 simvastatin, 135 placebo). The median PFS was 5.9 months (95% CI, 4.5–7.3) in the XELIRI/FOLFIRI plus simvastatin group and 7.0 months (95% CI, 5.4–8.6) in the XELIRI/FOLFIRI plus placebo group (P = 0.937). No significant difference was observed between the two groups with respect to OS (median, 15.9 months [simvastatin] vs 19.9 months [placebo], P = 0.826). Grade ≥3 nausea and anorexia were noted slightly more often in patients in the simvastatin arm compared with with the placebo arm (4.5% vs 0.7%, 3.0% vs 0%, respectively).

Conclusions: The addition of 40 mg simvastatin to the XELIRI/FOLFIRI regimens did not improve PFS in patients with previously treated metastatic colorectal cancer nor did it increase toxicity.
Statins are commonly prescribed medications that lower serum cholesterol and decrease cardiovascular morbidity and mortality and exhibit a favourable safety profile. Statins are potent synthetic inhibitors of 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) reductase, which is the rate-limiting enzyme of the mevalonate pathway. Mevalonate-derived prenyl groups, farnesyl pyrophosphate, and geranylgeranyl pyrophosphate, facilitate essential intracellular functions of various proteins, such as Ras and Ras homologue (Rho) (Goldstein and Brown, 1990; Casey, 1995; Rando, 1996). Ras and Rho have important roles in intracellular signal transduction pathways responsible for cell growth, proliferation, migration, and survival (Casey, 1995). Statins are known to affect the posttranscriptional modifications of Ras and Rho; the antitumour effect of statins has been suggested in various cancer cell lines (Lee et al., 2006).

Previous epidemiological studies have demonstrated that statins may exert beneficial effects in addition to their established lipid-lowering effects. Specifically, statin treatment was shown to confer a 47% relative reduction in the risk of colorectal cancer after adjustment for other known risk factors (Poynter et al., 2005) and an overall risk reduction of cancer of 20% (Graaf et al., 2004). Recently, a large population-based colorectal cancer cohort study found that statin use after the diagnosis of colorectal cancer was associated with reduced colorectal cancer-specific mortality (adjusted HR 0.71; 95% CI, 0.61–0.84) and all-cause mortality (adjusted HR 0.75; 95% CI, 0.66–0.84) (Cardwell et al., 2014).

Statins have been shown to exert their anticancer effects by inhibiting endothelial cell proliferation, migration, and the induction of apoptosis. Although most studies demonstrating an antitumour effect have used high statin concentrations that are not feasible for human use (Thibault et al., 1996; Larner et al., 1998; Kim et al., 2001), we suggested an antitumour effect of simvastatin using a dose level that is equivalent to the accepted cardiovascular therapeutic dose level in humans without additive adverse effects (Lee et al., 2009, 2011).

We previously reported a phase II study in which simvastatin treatment in combination with FOLFIRI chemotherapy was found to be effective and feasible in patients with metastatic colorectal cancer (Lee et al., 2009). Therefore, the present randomised phase III trial was conducted to assess the efficacy and safety of the addition of simvastatin to XELIRI or FOLFIRI chemotherapy in patients with unresectable advanced or metastatic, previously treated colorectal cancer.

**MATERIALS AND METHODS**

**Study design and participants.** This study was a multi-centre, double-blind, placebo-controlled, randomised phase III trial of patients aged ≥19 years with histologically confirmed metastatic (stage IV) colorectal adenocarcinoma. All patients had received one prior oxaliplatin-containing chemotherapy treatment. Eligible patients had Eastern Cooperative Oncology Group performance status of two or less and exhibited measurable disease based on the Response Evaluation Criteria in Solid Tumors (RECIST) criteria, version 1.1. Patients were required to have adequate function of all major organs (including renal, hepatic, and bone marrow, defined as an absolute neutrophil count ≥1500 μl⁻¹, an Hb level ≥9 g dl⁻¹, and a platelet count ≥100 000 μl⁻¹, respectively). Patients with severe co-morbid illnesses and/or active infections as well as pregnant or lactating women were excluded. Also excluded were patients with CNS metastatic disease and obvious peritoneal seeding or a bowel obstruction disturbing oral intake. Patients who had prior history of another malignancy within 5 years of study, with the exception of basal cell carcinoma of the skin or carcinoma in situ of the uterine cervix, were excluded. Written informed consent was obtained from each patient before entry into the study. The protocol was approved at each participating site by an institutional review board. This trial is registered at ClinicalTrials.gov as number NCT01238094.

**Randomisation and treatment.** Eligible patients were randomised to receive (1:1) XELIRI/FOLFIRI chemotherapy plus simvastatin or XELIRI/FOLFIRI chemotherapy plus placebo until confirmation of disease progression. Randomisation was carried out centrally with the minimisation method (Sorbye et al., 2007), with stratification by the presence of measurable disease. Eligible patients received one of the following chemotherapy regimens: (1) 3-week cycles of 250mg m⁻² irinotecan intravenously on day 1 plus capecitabine (1000mg m⁻²) twice a day orally from days 1 to 14 (XELIRI); or (2) 2-week cycles of irinotecan (180mg) diluted in 500ml 5% dextrose as a 90-min infusion, followed by leucovorin (200mg m⁻²) in a 2-h infusion, a bolus injection of 5-FU (400mg m⁻²) and finally a 46-h continuous infusion of 5-FU (2400mg m⁻²) (FOLFIRI). The choice of chemotherapy regimen was left to the investigator’s discretion. The simvastatin or placebo tablet (40mg) was administered orally once per day every day during the period of chemotherapy, without rest. Simvastatin and placebo administration was stopped upon termination of XELIRI/FOLFIRI chemotherapy. Treatment cycles were repeated until evidence of disease progression, unacceptable toxicity, or patient refusal.

**Assessments.** The primary end point of the study was progression-free survival (PFS), defined as the time from the date of the first study treatment to the date of disease progression or death from any cause. Secondary end points included response rate, duration of tumour response, overall survival (OS), time to progression (TTP), and safety profile. OS was defined as the time from the date of treatment to the date of death. TTP was defined as the interval between the date of the first study treatment and the date of disease progression, not including deaths from causes other than disease progression. Duration of tumour response was defined as the interval between the date of the first observation of response (CR or PR) in patients who demonstrated a durable response (confirmed response) and the date of disease progression.

In the pretreatment evaluation, a patient’s history was taken and a physical examination was performed. The examination consisted of a complete blood cell count with differentials, chemistry, creatinine phosphokinase (CPK) and LDH measurements, lipid profiling, a chest X-ray, a computed tomography (CT) scan of the abdomen and pelvis, and any other clinically indicated diagnostic procedures. Before each treatment cycle, a physical examination was performed, vital signs were recorded, and laboratory tests were performed. Radiological assessments, including contrast-enhanced abdominal and pelvis CT scans, were completed at baseline and every 6 weeks thereafter for disease evaluation according to the RECIST (version 1.1) criteria (Eisenhauer et al., 2009). Once disease progression was documented, patients were followed for survival status every 2 months until death.

Safety assessments were conducted until 21 days after the last dose of study treatment. Adverse effects were graded and monitored in accordance with the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI-CTCAE, version 3.0).

**Statistical analysis.** The intent-to-treat population included all recruited subjects who received any study medication. The safety population included all randomly assigned patients who received at least one dose of study treatment. Assuming a median PFS of 3 months for the placebo group and 4.5 months for the simvastatin maintenance group (i.e., assuming a 50% improvement in the PFS), this study required a total of 268 patients (134 per arm) to have 90% power to detect a hazard ratio (HR) of 0.67 by the two-sided
Patients. Between April 2010 and July 2013, 269 patients were enrolled and randomly assigned to receive either simvastatin (n = 134) or placebo (n = 135), in combination with XELIRI/FOLFIRI, at one of the five centres in Korea (Figure 1). The demographic and baseline characteristics were generally well balanced between the two treatment groups (Table 1). At data cutoff (30 September 2014), 189 progression events and 165 deaths had occurred.

Efficacy. The median PFS, the primary end point in this study, was 5.9 months (95% confidence interval (CI), 4.5–7.3 months) in the simvastatin group and 7.0 months (95% CI, 5.4–8.6) in the placebo group (HR, 1.026; 95% CI, 0.77–1.37, P = 0.858) (Figure 2A). No significant differences were observed between the simvastatin and placebo groups regarding two of the secondary end points, OS and TTP. Specifically, the median OS was 15.3 months (95% confidence interval (CI), 16.8–21.6) in the placebo arm (Figure 2B). The median TTP was 5.9 months (95% CI, 4.5–7.3 months) in the placebo group. The ORR (overall response rate) was 67.2% and 71.1%, respectively, in the simvastatin and placebo groups. The median PFS, the primary end point in this study, was 5.9 months (95% CI, 4.5–7.3 months) in the placebo group (HR, 1.026; 95% CI, 0.77–1.37, P = 0.858) (Figure 2A).

Table 1. Baseline patient characteristics

| XELIRI or FOLFIRI plus | Simvastatin 40 mg (n = 134) | Placebo 40 mg (n = 135) |
|------------------------|-----------------------------|-------------------------|
| Characteristics        | No. | %   | No. | %   |
| Age, years             |     |     |     |     |
| Mean ± s.d.            | 57.2 ± 9.41                  | 57.1 ± 9.75             |
| Range                  | 32–79                        | 35–82                   |
| Gender                 |     |     |     |     |
| Male                   | 78  | 58.2 | 91  | 67.4 |
| Female                 | 56  | 41.8 | 44  | 32.6 |
| ECOG performance status|     |     |     |     |
| 0                      | 21  | 15.7 | 33  | 24.4 |
| 1                      | 111 | 82.8 | 100 | 74.1 |
| 2                      | 2   | 1.5  | 2   | 1.5  |
| Type of cancer         |     |     |     |     |
| Colon                  | 78  | 58.2 | 83  | 61.5 |
| Rectum                 | 56  | 41.8 | 52  | 38.5 |
| Previous chemotherapy  |     |     |     |     |
| XELOX/FOLFIRI          | 115 | 85.8 | 115 | 85.2 |
| Oxaliplatin + TS-1     | 3   | 2.2  | 2   | 1.5  |
| XELOX + Cetuximab      | 2   | 1.5  | 2   | 1.5  |
| FOLFOX + Cetuximab     | 3   | 2.2  | 5   | 3.7  |
| XELOX/FOLFOX + bevacizumab | 5   | 3.7  | 6   | 4.4  |
| Others                 | 5   | 3.7  | 6   | 4.4  |
| Number of metastatic sites |     |     |     |     |
| 1                      | 60  | 44.8 | 56  | 41.5 |
| 2                      | 46  | 34.3 | 56  | 41.5 |
| 3                      | 22  | 16.4 | 17  | 12.6 |
| >4                     | 5   | 3.7  | 4   | 3.0  |
| Metastasis sites       |     |     |     |     |
| Liver                  | 80  | 59.7 | 85  | 63.0 |
| Lung                   | 68  | 50.7 | 52  | 38.5 |
| Intra-abdominal lymph nodes | 36 | 26.9 | 38  | 28.1 |
| Peritoneal seeding      | 25  | 18.7 | 27  | 20.0 |
| Cervical lymph nodes    | 3   | 2.2  | 6   | 4.4  |
| Bone                   | 5   | 3.7  | 4   | 3.0  |
| Chemotherapy regimen   |     |     |     |     |
| FOLFOX                 | 90  | 67.2 | 90  | 67.2 |
| XELIRI                 | 44  | 32.8 | 44  | 32.8 |

Table 1. Baseline patient characteristics

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This study did not yield in superior outcome of simvastatin cytotoxic chemotherapy against pretreated metastatic colorectal HMG CoA reductase inhibitor, simvastatin, in combination with controlled, phase III study to evaluate the antitumour activity of an XELIRI/FOLFIRI plus simvastatin group vs XELIRI/FOLFIRI plus placebo group (median OS: 15.3 months in the XELIRI/FOLFIRI plus simvastatin group vs 19.2 months in the XELIRI/FOLFIRI plus placebo group).

To the best of our knowledge, this is the first randomised, placebo-controlled, phase III study to evaluate the antitumour activity of an HMG CoA reductase inhibitor, simvastatin, in combination with cytotoxic chemotherapy against pretreated metastatic colorectal cancer. This study did not yield in superior outcome of simvastatin plus XELIRI/FOLFIRI over XELIRI/FOLFIRI chemotherapy alone in terms of PFS. The addition of a low dose of simvastatin, equivalent to the cardiovascular therapeutic dose, did not increase the toxicity of the conventional XELIRI or FOLFIRI chemotherapy regimens.

The median PFS in this study was relatively high. When the study was designed in 2009, the PFS of 3 months was anticipated by second-line irinotecan-based regimens, which was predicted on the available scientific literature at the time (Fuchs et al, 2003; Lal et al, 2004). In our study, the median PFS was 5.9 months in the XELIRI/FOLFIRI plus simvastatin group vs 7.0 months in the XELIRI/FOLFIRI plus placebo group)

![Image](image_url)

Figure 2. Kaplan–Meier curves of PFS and OS. (A) Kaplan–Meier curves of progression-free survival for patients who received simvastatin 40mg plus XELIRI/FOLFIRI or placebo plus XELIRI/FOLFIRI (median PFS: 5.9 months in the XELIRI/FOLFIRI plus simvastatin group vs 7.0 months in the XELIRI/FOLFIRI plus placebo group) (B) Kaplan–Meier curves of OS of all patients according to treatment arms (median OS: 15.3 months in the XELIRI/FOLFIRI plus simvastatin group vs 19.2 months in the XELIRI/FOLFIRI plus placebo group).

### Table 2. Best objective response by RECIST version 1.1

| Response                        | Simvastatin 40 mg | Placebo 40 mg |
|---------------------------------|-------------------|---------------|
| Complete response               | 2 (1.5)           | 1 (0.7)       |
| Partial response                | 14 (10.4)         | 15 (11.1)     |
| Stable disease                  | 74 (55.2)         | 80 (59.3)     |
| Progressive disease             | 30 (22.4)         | 24 (17.8)     |
| Nonevaluable                    | 14 (10.4)         | 15 (11.1)     |
| Overall response rate (ORR)     | 16 (11.9)         | 16 (11.8)     |
| Disease control rate (DCR)      | 90 (67.2)         | 96 (71.1)     |

### Table 3. Toxicity profile

| Toxicity            | Simvastatin (n = 134) | Placebo (n = 135) |
|---------------------|-----------------------|-------------------|
| NCI-CTC grade (%)   | Any grade G3–4        | Any grade G3–4    |
| Neutropenia         | 106 (79.1)            | 39 (29.1)         |
| Anaemia             | 118 (88.1)            | 120 (88.9)        |
| Leukopenia          | 66 (49.3)             | 69 (51.1)         |
| Thrombocytopenia    | 72 (53.7)             | 62 (45.9)         |
| Febrile neutropenia | 3 (2.2)               | 5 (3.7)           |
| Anemia              | 118 (88.1)            | 120 (88.9)        |
| Leukopenia          | 66 (49.3)             | 69 (51.1)         |
| Thrombocytopenia    | 72 (53.7)             | 62 (45.9)         |
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### Subgroup analysis

We analysed differences of survival outcomes between subgroups according to various baseline characteristics, including sex, age, performance status, prior treatment (surgery or chemotherapy), measurable disease, liver metastasis, lung metastasis, peritoneal seeding, and chemotherapy regimen (XELIRI or FOLFIRI). No significant differences were observed by subgroup analysis between the two treatment groups for either PFS or OS (Supplementary Tables S2 and S3). Specifically, the 95% CI upper limit was >1 for all subgroup comparisons. Unexpectedly, the median PFS was significantly longer in patients treated with XELIRI/FOLFIRI plus simvastatin compared with those who were treated with FOLFIRI ± simvastatin (8.4 months vs 5.6 months, P = 0.011). The multivariate analysis with respect to PFS revealed that chemotherapy regimen (FOLFIRI), absence of prior radiotherapy, presence of liver metastasis, and presence of cervical lymph node metastasis were associated with a worse PFS (Supplementary Table S4). The median OS was not significantly different between the two chemotherapy regimens.

### DISCUSSION

To the best of our knowledge, this is the first randomised, placebo-controlled, phase III study to evaluate the antitumour activity of an HMG CoA reductase inhibitor, simvastatin, in combination with cytotoxic chemotherapy against pretreated metastatic colorectal cancer. This study did not yield in superior outcome of simvastatin
simvastatin group and 7.0 months in the placebo group. Moreover, there was a significant improvement in PFS with XELIRI vs FOLFIRI regardless of simvastatin (HR, 0.666; 95% CI, 0.49–0.92, P = 0.012).

One other possible explanation for the lack of effect of statins is that a low dose of simvastatin (40 mg) may not have been sufficient to control tumour cell proliferation. Although most studies investigating the potential anticancer effects of statins have used high serum concentrations of statins (2–20 μM via the administration of 20–200 mg kg−1; Mantha et al, 2005; Dai et al, 2007; Park et al, 2010), these high doses are not feasible for human use. In addition, low concentrations of statins (equivalent to the cardiovascular protective dose) have shown promising antitumour efficacy (Dulak and Jozkowicz, 2005; Lee et al, 2011). Moreover, a similarly low concentration of simvastatin when given in combination with bevacizumab has been shown to indirectly block the invasion of human umbilical vein endothelial cells by suppressing angiogenesis-related mediators (Lee et al, 2014).

However, given that low dose of simvastatin (40 mg) used in the current study might have been insufficient to achieve the concentration range with the anticancer effect, further studies are needed to investigate whether higher dose of simvastatin in combination with cytotoxic chemotherapy, antiangiogenic therapy, or other targeted therapies are of clinical benefit. The effects of statins were found to depend on their blood concentration. At low concentrations (0.005–0.01 μM) of statins, pro-angiogenic effects were rather enhanced and antiangiogenic effects at higher concentrations (≥ 0.05–0.1 μM) were associated with decreased endothelial release of vascular endothelial growth factor and increased endothelial apoptosis (Weis et al, 2002). To reach serum concentrations of 0.1–0.2 μM, simvastatin has to be administered at a dose of 1–2 mg kg−1 day−1, therefore we plan to investigate the clinical efficacy and safety with higher dose than 40 mg of simvastatin in combination with chemotherapy. At the present time, a prospective, single arm, phase II trial to evaluate the efficacy of simvastatin (80 mg) plus XELOX and bevacizumab in metastatic colorectal cancer is currently ongoing (NCT 02026583).

Numerous studies have investigated the relationships between statin use, colorectal cancer risk, and clinical outcomes in the settings of adjuvant, neo-adjuvant, or metastatic disease; however, the findings of these studies have been inconclusive (Ng et al, 2011; Cardwell et al, 2014; Hoffmeister et al, 2015). Relatively few studies have focussed on the effects of statins in advanced or metastatic cancers. Siddiqui et al (2009) reported that statin users had a 30% decreased prevalence of metastasis compared with statin non-users among patients diagnosed with colorectal cancer (odds ratio 0.7, 95% CI 0.4–0.9, P < 0.01). Another study found that pretreatment of different colon cancer cell lines with lovastatin significantly increased apoptosis induced by 5-fluorouracil or cisplatin (Agarwal et al, 1999). Furthermore, our group conducted an open-label phase II trial evaluating the efficacy and toxicity profile of conventional FOLFIRI chemotherapy in combination with simvastatin in patients with metastatic colorectal cancer and found that this combination exhibited promising antitumour activity (Lee et al, 2009).

Among the 229 patients whose KRAS mutation status was known, 83 (36%) had tumours with a KRAS mutation in exon 2 (49 patients in the simvastatin group and 34 patients in the placebo group). The median PFSs in this subgroup were not significantly different between the two treatment groups. The median PFS was 5.4 months (95% CI, 1.9–8.9) in the simvastatin arm and 6.0 months (95% CI, 4.4–7.6) in the placebo arm (P = 0.859). Similarly, the median OS was 13.7 months (95% CI, 11.8–15.6) in the simvastatin group and 14.5 months (95% CI, 10.8–18.1) in the placebo group (P = 0.615; Supplementary Figure S1). This finding is consistent with a CAIRO2 study of combination chemotherapy with capcitabine, oxaliplatin, and cetuximab in patients with KRAS mutations and metastatic colorectal cancer, in which the use of statins was not associated with an improved PFS (Krens et al, 2014). Our group previously reported that 0.2 μM simvastatin enhanced the antitumour activity of cetuximab in colorectal cancer cells carrying KRAS mutations (Lee et al, 2011). We hypothesised that statins overcome cetuximab resistance in KRAS mutant colorectal cancer cells by decreasing the stability and enzymatic activity of BRAF. However, the subgroup of patients with KRAS mutations was not large enough to evaluate whether simvastatin in combination with cytotoxic chemotherapy confers a significant clinical benefit to patients with colorectal cancer and KRAS mutations. Based on these findings, biomarker-stratified prospective studies are needed to evaluate the efficacy of statins in combination with conventional chemotherapy in patients with KRAS mutations and colorectal cancer.

In conclusion, the PFS was not improved by adding low dose of simvastatin (40 mg) to XELIRI/FOLFIRI compared with XELIRI/FOLFIRI alone in patients with metastatic colorectal cancer in second-line setting. In the future, we hope further studies will be performed to investigate the efficient combination strategies involving statins and cytotoxic chemotherapy regimen with targeted therapy.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

AUTHOR CONTRIBUTIONS

WK Kang conceived and designed the study and supervised the research; SH Lim gathered and analysed the manuscript; TW Kim, YS Hong, SW Han, KH Lee, HJ Kang, IG Hwang, YS Park, and HY Lim reviewed and participated in the preparation of the manuscript; JY Lee, HS Kim, ST Kim, J Lee, and JO Park provided comments on the manuscript; and SH Jung provided comments on the statistical analysis.

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