To Pin or Not to Pin: Asserting the Scalability of QEMU Parallel Implementation

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Abstract—Due to its speed in cross-executing sequential code, dynamic binary translation is the unchallenged technology for full system-level simulation. Among the translators, QEMU has become the de facto solution. It introduced parallel host execution of the target cores a few years ago for the ARM instruction set architecture and this support is now also available, among others, for RISC-V. Given the popularity of these instruction sets in multi and many-core systems, assessing the scalability of their parallel implementation makes sense. In this paper, we use a subset of the PARSEC benchmark to measure the execution time of QEMU’s parallel implementation, to which we added the ability to pin a target processor to a host core or hardware thread. We report the results of a wealth of experiments we performed on a 16-core/32-thread x86-64 SMP machine. They show that the support of parallelism in QEMU scales well, and that, somewhat counter intuitively, pinning does not improve performance.

I. Introduction

The momentum around the RISC-V [1] has led many academic and industrial groups into designing systems based around this instruction set architecture. Among them, quite a few are designing and implementing multi and many-core platforms (e.g. [2, 3, 4]), some being actually industrialized†1.

Instruction set simulation is the primary tool for validating instruction set architecture (ISA) choices, retargeted compilers, operating systems (OS) ports and pre-silicon validation of hardware/software systems. It also plays a major role in guiding system-level design space exploration. Booting an OS on a platform and running significant applications requires a full system simulator that is both fast and faithful. Classical instruction per instruction interpretation is too slow, while native simulation [5, 6, 7] or static binary translation are ruled out by the necessity of handling self modifying code as used when, e.g., loading dynamic libraries (let alone run jited code like java or .NET bytecodes) [8]. This leaves us with cross-ISA dynamic binary translation (DBT) as solution.

QEMU [9] might not be the fastest DBT engine today [10], but it has unparalleled stability, support for many targets and hosts CPUs, is open-sourced, and has a large and very active community supported by the industry. It has become the reference for many users and developers, which makes it the de facto standard for cross-ISA emulation. In particular, the support for the RISC-V ISA was quickly added, and so did the support for parallel execution of multicore RISC-V target systems on multicore hosts. QEMU vanilla does not provide the ability to pin emulated processors on harts, a feature we added so as to evaluate the benefit it could provide.

In this paper, we report the work we did to experimentally evaluate the scalability of the QEMU dynamic binary translator emulating the RISC-V and ARM architectures on a x86-64 host with 16-core/32-hart†2, with and without pinning. To that aim, we use the subset of the PARSEC benchmark [11] that can be cross-compiled without too much pain and that is stable enough to work consistently on the emulator.

The paper is organized as follows. We first give in Section II some insights on how parallel emulation is handled in QEMU and present works related to ours. Section III presents our implementation of pinning in the emulator, and how we map the emulated CPUs on the host architecture. Section IV details the strategy we have been using to conduct our simulations. Section V relates the experiments we made and provides an analysis of the results, and we finally wrap-up in Section VI.

II. Background and related work

When it comes to running parallel workloads on a multi or manycore platform, having a simulator able to take benefit from the parallel nature of the host is a must. Even parallelized, many simulators, usually in their quest for evaluating performance accurately, incur too many synchronizations to be scalable. This means that processor simulation should progress until an actual synchronization is needed by the application, to ensure maximum lookahead while guaranteeing correctness.

Work on QEMU parallelization was initiated by [12] and [13]. The approach they propose is conceptually intuitive: it consists of emulating the target CPU’s (also called vCPU) atomic instructions using the host CPU’s atomic instructions. Said so, this seems relatively straightforward, however slight semantic differences between the target and the host memory models lead to generating complex code snippets that are also difficult to ensure correct [14]. But this is only the tip of the iceberg, and many complicated technical details have to be solved, among which parallel target code generation and caching, choice of the next emulated processor to execute, interprocessor communication, etc. These efforts where not upstreamed in QEMU, and rapidly became unusable given the pace at which its code evolves. The idea was taken over by [15] and [16] that ended up in a solution accepted by the community. It was given the name mttg, for multi-thread tiny code generator. The primary host was x86-64, and the first target was,
QEMU allows to specify the number of vCPUs and a target. All server hosts today are multicore, NUMA, and generally whose topology is given Figure 1. The RISC-V ISA defines 9 atomic operations and the load-reserved and store-conditional instructions, for 32-bit and 64-bit. In addition, two bits can be used to accommodate release consistency in these 11 instructions. A fence instruction is also available for memory ordering.

Our goal in this work is to evaluate how the emulation of such a complex ISA scales. Additionally, we add support for pinning vCPUs to host CPUs in QEMU, which to the best of our knowledge was never reported in the literature.

III. Pinning in QEMU

Pinning might improve simulation speed, as by assigning a vCPU to a CPU we prevent migrations and thus cache trashing. To devise a pinning strategy, we must study how QEMU handles parallelism and how the host machine provides parallelism:

- QEMU allows to specify the number of vCPUs and a target non-uniform memory access (NUMA) architecture. However, although the NUMA description allows the target operating system to gain insight on the topology, using typically hwloc [19], it does not influence performance-wise the execution. QEMU mttcg creates as many software threads as vCPUs. QEMU also create threads for emulated I/Os handling peripherals, and for a few other bookkeeping tasks.
- All server hosts today are multicore, NUMA, and generally also support simultaneous multithreading [20] (SMT), two harts being the norm on x86-64 architectures. Modern operating systems have the ability to enable and disable, at run time, the support for harts, leaving only cores to execute on. A program either sees all CPUs, or half of them, and does not know if they are cores or harts. From an identification perspective, it is possible to know which CPU(s) belong to a core, which cores belong to a socket, and which sockets belong to a NUMA node.

As our focus is on processor simulation scalability, we will have very few I/O devices in the system, and these will be seldom active, so we do not dedicate them a processor.

We assign the vCPUs in such a way that they first share a core, then the cores of a socket, and only then we move to the next socket until all sockets of a node are used, and then we move to the next node. When all nodes are exhausted, if there are still vCPUs to assign, we start again at the first hart of the first core of the first socket of the first node. For example, on the machine illustrated Figure 1, we would assign the first vCPU to the first hart of the first core of the first socket of the first node. For example, on the machine whose topology is given Figure 1, we would assign the first vCPU on PU#0, the second one on PU#16, the 9th one on PU#1, and so on, back to PU#0 for the 33rd.

The rationale behind this approach is that the closer the vCPUs on the host, the better the sharing of the resources. Indeed, the current servers predominantly only share their last level cache in a socket, the other levels being private to a core, as illustrated Figure 1 (all caches are 8-way set associative). We also experimented without harts, in the hope that although cache sharing is postponed at socket level, not sharing a core could be beneficial. For the same server, the assignment would be simply sequential modulo 16. The implementation is done classically by setting a single bit in a variable of type cpu_set_t to indicate the CPU to use, and passing it to pthread_setsaffinity_np to perform the assignment.

To isolate vCPUs from other threads, including kernel ones, we use the Linux kernel option isolcpus, which specifies physical CPUs to be excluded from scheduling. This avoids any thread from executing on the same CPU where a QEMU vCPU thread is running, with the intended outcome of avoiding context switches. While this strategy is intuitive, it makes comparisons with standard setups possible but not exact. With the natural choice of isolating Cores 1 to 15 (which allows kernel scheduling only on Core 0), it is possible to run a number of threads that is a power of 2 only until half of the number of CPUs; after that, only integral multiples of \( r_c - 1 \) are possible.

IV. Methodology

Our goal is to measure the scalability of the emulator with presumably scalable non-synthetic workloads. To that aim, we use the PARSEC benchmark with the “simlarge” inputs for all programs, using Linux implementation of the POSIX thread library as support to parallelism. We are aware that scalability issues in the PARSEC programs have been pointed out [21]. However, for lack of a better benchmark, we will use it.
The programs are cross-compiled on the host. Some of them are not meant to be cross-compiled, so they fail and we ignore them, some others fail at run-time, due to either initialization or later memory allocation problems, we also skip them. This is why our plots contain a subset of the benchmark, namely blackscholes, bodytrack, cholesky, ferret, fft, fluidanimate, freqmine, lu_cb, lu_ncb, ocean_cp, radix, swaptions, water_nsquared and water.spatial. Some of these programs have additional restrictions. Five of them cannot be executed if the number of threads is not a power of 2: fft, fluidanimate, ocean_cp, radix and swaptions. Furthermore, swaptions cannot be executed when the number of threads is higher than the swaptions parameter value. We also cross-compiled Linux 5.9.6 and OpenSBI 0.8, configured with up to 128 processors, as run-time for the guest.

For each program we measure the wall clock time for the full execution, $T_{full}$, and the execution of the region-of-interest (ROI), $T_{roi}$, as defined by the PARSEC benchmark. The sequential nature of the initializations and of some others parts might have a non negligible influence on the actual scalability of the programs, as Amdahl’s law teaches us [22].

Figure 2.(a) presents a high level view of the typical structure of the programs included in the PARSEC benchmark: 1) sequential allocation and initialization of data structures, parsing of files, etc, 2) activation of the ROI timer, 3) start the compute threads. They execute more or less independently, as there are generally some (number heavily depends on the program) variables that need to be accessed concurrently, and thus protected by locks, 4) synchronize all threads at a barrier, 5) iterate to point 3 or deactivate ROI timer and perform something similar to points 1, 2, 3 and 4, and, when done, continue and gather results, 6) deactivation of ROI timer, 7) housekeeping and exit.

![Diagram](image)

Fig. 2. (a) Parallel programs structure and (b) Target architecture organization

We measure $T_{full}$ and $T_{roi}$ in the programs running on the target using the `clock_gettime` system call using the real-time clock `CLOCK_REALTIME`. Eventually this system call ends up accessing RISC-V status register `intstr` which, under QEMU’s hood, reads the x86-64 time stamp counter using `rdtsc`. On our host machine the CPUs honor the `constant_tsc` and `nonstop_tsc` flags, which although not perfect make these measurements reproducible and trustworthy.

Figure 2.(b) gives QEMU’s view of the target architecture. Each emulated processor can potentially access the whole memory, with synchronization taking place only on instructions performing atomic operations and fences.

The measures are done on a R910/0P658H Dell PowerEdge server. It contains 4 Nehalem-EX 7520 Intel Xeon Processors, each integrating 4 cores with 2 harts, for a total of 16 cores/32 harts, as detailed in [23] (Figure 1). We are sole users of the machine during the experiments. Arguably this machine is not really representative of today’s state of the art in terms of servers. However, we are doing fair comparisons since all workloads are run on the same machine, and we believe the overall scalability trends are representative of what could be observed on more up to date servers.

Given what we presented above, we have 6 main parameters:
- number of CPUs of the host machine: 16 or 32,
- number $n_v$ of vCPUs. We run simulations for 1, 2, 4, 8, 16, 24, 32, 48, 64, 96, and 128 vCPUs,
- number $n_t$ of threads that the programs fork during execution: between 1 and 128 included. Several programs need the number of threads to be an integral power of 2, which explains what could be seen as missing values in our plots,
- PARSEC thread affinity. The PARSEC hooks library adds support for thread affinity. When used, the threads are assigned to CPUs (to QEMU vCPUs in our case),
- pinning QEMU vCPUs to physical CPUs. When pinning, we assign the vCPUs the CPUs as detailed in Section III,
- strictly separating the physical CPUs allocation between QEMU vCPU threads and the rest, presented in Section III too.

In addition, we noted that the Linux timer rate heavily impacts the boot time of the vCPUs. As in QEMU the timer is synchronized with the host real-time clock, the slower the boot the higher the number of timer interrupts. So we opted for a value of 100 Hz, classical for server workloads. Furthermore, we force the frequency of the physical cores to be 1862 MHz to get comparable results, and we ensure only the base Linux kernel, an ssh connection and QEMU are running on the machines while doing the experimentations. Finally, we measure 10 executions of each program for a given set of parameters and give as “wall-clock time” the mean.

V. Performance Results

We report in this section the measured execution times. The vertical line in the plots marks the number of host processors. We have chosen to plot wall-clock times rather than speedups for two reasons. First, a practical reason: several programs have a similar scaling behavior, and it would have been difficult to present results that would feature many overlaps. Second, because it gives an order of magnitude of the programs’ run-times on QEMU, which is a meaningful information in itself. We have split the presentation in four parts. First, we run natively the 14 programs on our R910 machine. All programs were run with the number of threads $n_t \in \{1, 2, 4, 8, 16, 24, 32, 48, 64, 96, 128\}$. Second, we launch QEMU without vCPUs pinning. We have done lots of variations around this configuration: with $n_v = 128$, with $n_c = n_t$, i.e. there is one vCPU per thread, thus no inactive vCPUs, and with or without SMT activated on the R910 machine. Third, we do the same experiments but with vCPUs pinning and, additionally, with CPUs isolation. Finally, we analyse our results.
Fig. 3. Full execution time in x86 without thread affinity

Fig. 4. Full execution time in x86 with thread affinity

Fig. 5. Comparison full execution time in x86

Fig. 6. Full execution time in QEMU RISC-V
\( n_c = n_t \) without pinning

Fig. 7. Comparison full and ROI execution time in QEMU RISC-V
\( n_c = n_t \) without pinning

Fig. 8. Comparison full execution time in QEMU RISC-V without pinning with \( n_c = n_t \) and \( n_c = 128 \)
A. x86-64 native execution

Figures 3 and 4 report $T_{\text{full}}$ without and with the PARSEC thread affinity. On both figures, $T_{\text{full}}$ decreases as the number of threads increases and then stays almost constant beyond 32 threads. Figure 5 compares the curves with and without PARSEC thread affinity of four programs. The behaviour is the same for our program subset but for the sake of clarity, we represent only four of them. Cholesky is the fastest program and its execution takes less than one second with a number of threads less than 64, so its behaviour is different from the others in the figures. One can see that the thread affinity does not modify the execution time a lot. Some programs are less stable than others but we notice that for the majority of the programs, the execution with and without the PARSEC thread affinity is more or less the same. We will not take this parameter into account for the experiments that follow.

B. QEMU RISC-V without vCPUs pinning

Figure 6 reports $T_{\text{full}}$ without vCPUs pinning and with $n_c = n_t$. It is visible that the programs benefit very well from the parallel execution of QEMU. Indeed $T_{\text{full}}$ decreases constantly when the number of threads increases up to 32, and increases again as the number of threads goes beyond 32 (the number of host hardware CPUs).

Figure 7 shows the comparison between $T_{\text{full}}$ and $T_{\text{roi}}$. Here again, for the sake of clarity, we compare only four programs. According to [21], blackscholes exhibits the better scalability for the ROI among the PARSEC, as the previous figure confirms. For the majority of the programs, $T_{\text{full}}$ is similar to $T_{\text{roi}}$, which means that the parallel section for our benchmark subset represents an important part of the global execution time.

Figure 8 compares $T_{\text{full}}$ with $n_c = n_t$ and $n_c = 128$. The idea behind the experiment is to investigate whether inactive vCPUs in QEMU induce an important overhead or not. For a number of threads less than 96, we observe that for some programs, the execution time with $n_c = 128$ is a little bit higher than with $n_c = n_t$. But overall there is no significant difference in wall-clock time so we can conclude that unused vCPUs in QEMU do not slow down simulation significantly.

Figure 9 compares $T_{\text{full}}$ with $n_c = n_t$ without pinning for the host machine with SMT enabled, providing 32 harts, or disabled, providing 16 cores. Figure 9 shows that between 1 and 16 threads, the four programs are faster when SMT is disabled, with a maximal gain of 28% on average at 16. Beyond 16 threads, the two curves SMT enabled/disabled tend to get closer to each other with a slight gain without SMT.

After all, for all configurations of the 14 programs that we used, QEMU for RISC-V scales well.

C. QEMU RISC-V with vCPUs pinning

We now plot the same measures with vCPUs pinning as detailed in Section III. That is to say, assuming vCPUs pinning, Figure 10 reports $T_{\text{full}}$ for $n_c = n_t$. Figure 11 shows the comparison between $T_{\text{full}}$ and $T_{\text{roi}}$, Figure 12 compares $T_{\text{full}}$ with $n_c = n_t$ and $n_c = 128$ and Figure 13 compares $T_{\text{full}}$ with $n_c = n_t$ with SMT enabled or disabled. We draw the same conclusions than previously: the programs benefit from the parallel execution of QEMU and QEMU scalability is good.

D. Isolating physical CPUs for QEMU vCPU threads

For this test, we have isolated the physical Cores 1-15 (PU 1-15 and 17-31), leaving Core 0 (PU 0 and 16) to the kernel. The rationale for leaving a whole Core to the kernel is twofold:

- allow some level of multithreading to the kernel processes, in order to avoid (or at least mitigate) bottlenecks,
- prevent the kernel from competing with QEMU vCPU threads for execution units.

Having one Core less available prevents tests that require a number of threads equal to a power of 2 to run: fft, fluidanimate, ocean_cp, radix, swaptions.

The analysis of the comparison with the non-pinned setup can be divided in three sections:

- from 1 to 15 threads: virtually no difference for all programs except for lu_ncb which shows an improvement of 7% for 15 threads compared to non-pinned setup,
- from 60 and upwards: differences in both directions, mostly regressions.
- for 30 threads: a non-negligible improvement for 4 programs compared to non-pinned setup in the range of 4 to 16%, with some regressions.

The comparison between non-pinned, previous pinned and the isolcpus pinned setups for 32 threads is presented Figure 15. For the isolcpus pinned setup, we were only able to run the programs with 30 threads as the Core 0 is dedicated to kernel threads. We see that for cholesky, freeqnum, lu_ch and lu_ncb, the execution time is smaller when using pinning with isolcpus. For the rest of the programs, there is either no improvement or a performance degradation.

Within the limits exposed above and the experimentation performed, pinning and isolating CPUs for a number of threads not greater than the harts yields a non-negligible improvement for some programs.

E. QEMU performance for a large number of vCPUs

A significant difference found between the x86 and emulated performance is the steep increase in execution time above 32 threads. In order to investigate this phenomenon, we’ve monitored with the Linux Perf tool [24] the number of cpu cycles spent for a single execution of lu_ncb’s ROI section, on a recompiled QEMU with the optimizations disabled. This benchmark was chosen for the high ratio between the execution time with 128 vCPUs versus 16, and because it runs long enough to isolate the ROI section.

The result showed that the vast majority of the time (approximately 70% of the profiled cycles) was spent in the Linux futex syscall, used by QEMU’s global mutex. The code generator’s interrupt handling function requires a lock on this mutex, causing resource starvation on setups with a large number of vCPUs. This also explains why the Linux kernel interrupt frequency was correlated to the boot time.

F. Is Pinning Helpful?

Figure 14 shows the curves of $T_{\text{full}}$ for 4 programs without and with vCPUs pinning when $n_c = n_t$. Except for Cholesky which is not stable when $n_t$ is high, the curves without and with pinning are really close. We made the same observation for the rest of our programs. Much to our surprise, the execution times are similar and pinning vCPUs does not seem to have a
Fig. 9. Comparison of full execution time in QEMU RISC-V without pinning with $n_c = n_t$ for the host machine with and without SMT.

Fig. 10. Full execution time in QEMU RISC-V $n_c = n_t$ with pinning.

Fig. 11. Comparison of full and ROI execution time in QEMU RISC-V $n_c = n_t$ with pinning.

Fig. 12. Comparison of full execution time in QEMU RISC-V with pinning with $n_c = n_t$ and $n_c = 128$.

Fig. 13. Comparison of full execution time in QEMU RISC-V with pinning with $n_c = n_t$ for the host machine with and without SMT.

Fig. 14. Comparison of full execution time in QEMU RISC-V $n_c = n_t$ without pinning and with pinning.
positive impact on \( T_{\text{full}} \) for our programs. We now conduct an analysis to understand why pinning does not improve performance using Linux Perf, which reports statistics based on the processors’ performance counters. In addition, to make sure that what we observe are not artefacts due to QEMU RISC-V implementation, we also experimented with the ARM ISA.

1) Perf: As we saw previously, \( n_c = n_t \) and \( n_c = 128 \) have the same behaviour so we focus here on the experiments with \( n_c = n_t \). We recorded the result of Perf applied to QEMU as a whole for the 14 programs each run 10 times. Table I shows the evolution of the number of thread migrations and L1 cache misses in QEMU with and without vCPUs pinning. Remember that peripherals and a few other tasks are threads in QEMU, this explains that migrations exist even though the vCPUs are pinned.

In both cases, the number of migrations increases with the number of threads \( n_t \). When \( n_t \) is not a power of 2, only 9 programs can be run that is why the values of the metrics can be lower than others. Overall, the number of CPU migrations is smaller when using QEMU RISC-V with vCPUs pinning (more than 100 times smaller with \( n_t = 128 \)) so pinning vCPUs has an impact on the CPU migrations. When we have \( n_t \geq 32 \), the pinning drastically reduces the number of CPU migrations. The order of magnitude the \( L1\text{-dcache-load-misses} \) metric are similar, which can explain why the execution times remain more or less the same without and with vCPUs pinning.

2) ARM: To see if the behaviour of the programs with and without pinning is only specific to RISC-V or is generalized to other architectures, we experimented with the ARM implementation of QEMU, with \( n_c = n_t \) and without thread affinity. QEMU ARM with machine virt limits the number of cores so we were able to run it up to only 96 vCPUs. As in RISC-V, each program is run 10 times. Additionally, we were able to run the program barnes in ARM unlike in RISC-V. We decided to put it as information in our QEMU ARM graphs.

Figures 16 and 17 report \( T_{\text{full}} \) on the benchmark subset for QEMU ARM \( n_c = n_t \) without and with vCPUs pinning. As with QEMU RISC-V, \( T_{\text{full}} \) decreases constantly as the number of threads is between 1 and 32 and begins increasing beyond 32 threads.

Figure 18 shows the comparison between QEMU ARM without and with vCPUs pinning. One can see that there is no big difference, the behaviour is the same without and with pinning. We can do the same observation than in RISC-V: pinning vCPUs does not improve the execution time of our program subset. Table II presents the results of Perf.

When comparing the number of CPU migrations for QEMU ARM without vs with vCPUs pinning, we again notice that overall, we have much less migrations with pinning, but as in RISC-V, this doesn’t lead to significantly lower \( L1\text{-dcache-load-misses} \).

3) Analysis: The metrics given by Perf show an improvement when pinning vCPUs. The number of CPU migrations is reduced but the number of \( L1\text{-dcache-load-misses} \) is close without and with vCPUs pinning. In the end, the execution times of our program subset without and with pinning remain similar and for some programs it even appears to be a little slower when pinning vCPUs. According to [25], CPU-bound applications, such as the PARSEC ones, do not improve the performance when pinning virtual machines. The current Linux scheduler attaches a thread to a CPU as long as it does not degrade performance as estimated at run time. The CPU affinity is thus based on the actual workloads, and recent works have shown that pinning benefits only certain applications [26].

VI. Conclusion

Simulation is often useful during the design, implementation and even use of processor centric systems. The wide adoption of the RISC-V ISA and its use in current and future multi and manycore systems makes the evaluation of QEMU, the most stable and used parallel processor emulator, a lively topic.

In this work, we have simulated shared-memory target systems with up to 128 processors on a 16-core/32-thread host, using Linux SMP and the PARSEC benchmark. As the result of this comprehensive experimental study, we observed that QEMU
scalability is rather good, which makes it suitable for supporting the software development of, in particular, new RISC-V based platforms. We added in QEMU the ability to pin vCPUs to host processors, and much to our disappointment, forcing the affinity did not improve performances.

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