Prospects & Overviews

Variation is function: Are single cell differences functionally important?

Testing the hypothesis that single cell variation is required for aggregate function

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There is a growing appreciation of the extent of transcriptome variation across individual cells of the same cell type. While expression variation may be a byproduct of, for example, dynamic or homeostatic processes, here we consider whether single-cell molecular variation per se might be crucial for population-level function. Under this hypothesis, molecular variation indicates a diversity of hidden functional capacities within an ensemble of identical cells, and this functional diversity facilitates collective behavior that would be inaccessible to a homogenous population. In reviewing this topic, we explore possible functions that might be carried by a heterogeneous ensemble of cells; however, this question has proven difficult to test, both because methods to manipulate molecular variation are limited and because it is complicated to define, and measure, population-level function. We consider several possible methods to further pursue the hypothesis that variation is function through the use of comparative analysis and novel experimental techniques.

Keywords:
- bet-hedging; evolution of variation; fractional response; functional variation; single cell transcriptome; single cell variation

Introduction

Cells in multi-cellular organisms are frequently treated as fundamental units of function. While cell differentiation generates classes of cells with unique phenotypic identity, ensembles of cells within a cell type have been seen as (nearly) identical building blocks. However, recent technological advancements have enabled increasingly high-resolution measurements of gene expression in single cells [1–16], resulting in a growing appreciation for the extent of individual expression variability [17–33]. This variability has been examined from many vantage points: as an indicator of the vast diversity of cell types [9, 17–19], as a byproduct of redundancy in regulatory networks [21, 22], as a temporal snapshot of asynchronous dynamic processes [23–30], or as evidence that RNA abundance may be irrelevant for cell phenotype [31, 32]. (For further discussion, see [34].) An alternative perspective is to consider whether single cell transcriptome, proteome, and other molecular variability might be part of what establishes tissue/population-level function. Are individual cells in a multi-cellular organism like individual organisms in a cooperative community, where each cell’s behavior contributes to a higher-level functional ecology?

Before we begin our exposition, we briefly consider some preliminary concepts. When we use the term “single cell variability” or “single cell heterogeneity,” it is not meant to refer to diversity of cell types that are clearly distinct and already recognized. Rather, we use the terms to describe diversity within an ensemble that has been previously defined

DOI 10.1002/bies.201500124

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as being generally homogeneous (e.g. pyramidal neurons from the CA3 area of the hippocampus). Of course, no two cells are exactly identical, so there is an implicit quantitative degree of variation implied in when single cell variation is discussed. “Function” is an elusive term spanning different scales from proximate function (e.g. biochemical action) to distal evolutionary fitness function. It is not our goal to precisely define function or create an ontology of single cell function. Our main focus is to ask how variation among individual cells might interact to causally generate higher-level function, regardless of how function is defined. We leave out the discussion of certain kinds of variation that might be more of a homeostatic adaptation or a consequence of robustness promoting mechanisms rather than the result of each cell carrying out a distinct action that leads to aggregate function.

We note that variation or variability of a trait refers to the entire characteristics of the distribution of the trait over the ensemble of cells. This is distinct from a particular statistic like “variance,” which is a number computed from the distribution (average squared deviation from the mean). Two different single cell measurements can have the same variance but a different variation (Fig. 1). And, if there are threshold effects (e.g. resistance to chemotherapy agent), the two different populations may have very different numerical responses (Fig. 1). Because variation is a multifaceted trait, when comparing different studies or carrying out analyses, it is important to be precise about which aspect of variation is being analyzed and its model expectations (see below). An important concept is the idea that a trait’s single cell variation may be changed or modulated, perhaps through genetic mechanisms. For example, a gene may be “ON” in 50% of individual cells under one genetic background while “ON” in 25% of the cells under another genetic background. Modulation of single cell variability may involve changing the variance of a trait or some other aspect of the distribution. From this perspective, the term “stochastic expression” does not imply that expression is not regulated – gene expression may have randomness, but the characteristics of the resulting variation may be regulated (see [35, 36]).

In this essay, we review some possible scenarios in which cell-to-cell heterogeneity may be important for higher-level function, and discuss possible ways of testing such a “variation is function” hypothesis (see Table 1). In particular, we note that asking whether variability is needed for certain functions is distinct and complementary to the idea that biological mechanisms exist to “allow function despite variability.” There is no doubt that robustness, homeostasis, and canalization are important phenomena in organisms, and a contributing factor to single cell variability. Here, we focus on the idea that variation, in and of itself, is required for function.

### Bet hedging: A pre-existing diversity of cell states allows rapid population adaptation to a new environment

In fluctuating, unpredictable environments, a population may benefit by maintaining a diversity of cell phenotypes, each advantageous in a distinct context. Unlike a strategy where individual cells sense and respond to the environment, maintenance of a standing diversity may be preferred when a rapid response of at least a subpopulation is advantageous and there is insufficient time for signal transduction [37]. Because this maintenance of diversity protects against a future crisis, this behavior has been termed “bet hedging” and has been extensively studied in single-celled organisms [37–42]. In a classic example, *E. coli* populations maintain a subset of cells in persistence, a quiescent phenotypic state [38, 39]. Though the presence of persistent cells reduces population

#### Table 1. Scenarios where aggregate function may depend on single cell variation

| Hypothesis                        | Description                                                                 |
|-----------------------------------|-----------------------------------------------------------------------------|
| Bet hedging                       | A pre-existing diversity of cell states allows rapid population adaptation to an unpredictable environmental change |
| Generalized bet hedging           | Extensive randomized phenotypic diversity allows population adaptation of vast diversity of environments |
| Response distribution             | Cell-to-cell variation in binary decisions allows a fractional or dose-dependent population response |
| Fate plasticity and priming       | Uncorrelated, sub-threshold fluctuations in regulators of cell fates create subpopulations of cells primed for multiple fate decisions |
| Information coding and transfer   | A diverse ensemble of individuals enables the population to encode and transmit complex information |
| Crowd control                     | Rare individuals with capacity to respond to perturbation emit local signals that coordinate population behavior |
growth in nutrient-rich environments, it allows the population to survive unexpected antibiotic agents that target rapidly proliferating cells. To generate the standing population diversity in a uniform environment, individual E. coli cells stochastically switch into and out of persistence. Phenotype switching has been observed broadly, suggesting that this single cell behavior provides a fitness advantage in certain contexts [39]. Experimental evolution of Pseudomonas fluorescens demonstrated that, under a fluctuating selection regime, stochastic phenotype switching could evolve [41]. The rate of bi-stable state switching can be a function of the gene regulatory network, and can affect fitness, with an optimal switching rate dependent on the rate of environmental fluctuations [36, 37].

We know of no cases of bet hedging in healthy mammalian tissues, perhaps because of the interdependence of cells in multicellular organisms [39] or lack of experiments assessing individual cell turnover dynamics. However, it may be that mammalian cancers exhibit this behavior [43–45]. As in the E. coli example, cancer populations may survive chemotherapies that target proliferating cells by switching into and out of a proliferative state [43, 44]. Phenotype switching has also been hypothesized to play a role in cancer metastasis. Lee et al. characterized a regulatory network that may be capable of producing coexisting noninvasive and pro-metastatic expression states within a triple-negative breast cancer population [45]. Models suggested that transient perturbations could trigger a cancer cell to switch into a malignant state and that pro-metastatic cells may relax back into a noninvasive state. The implication for functional relevance is only speculative; however, one may imagine that state switching between noninvasive and metastatic states may be akin to whole organisms’ ecological life history decisions on migration and colonization [46]. The key question is whether normal cells might employ such bet-hedging strategies. One obvious possibility is with tissues such as skin that directly interact with unpredictable external environment or unpredictable changes in whole organism physiology (e.g. injury response). A more speculative possibility is in developmental contexts where cell proliferation and death in response to patterning gradients is part of morphogenesis. J. J. Kupiec has proposed the novel idea that variation and selection of specific cellular phenotypes (“Darwinian cell theory”) may be an intrinsic mechanism in multi-cellular development [47].

**Generalized bet hedging: Random phenotype generation enables population response to novel environments**

If the diversity of environments that may be encountered is vast, it may be of use for a population of cells to contain as broad a range of phenotypes as possible – to have individuals extensively sample phenotypic space, potentially through use of random mechanisms such as highly variable transcription, errors in transcription or DNA replication, or random genomic rearrangements [48–51]. We may consider this as a more generalized form of bet hedging. Though under this strategy individual phenotypes may not be reproducible, it may be that the population benefits substantially by containing at least one successful phenotype. Archetypal examples include the adaptive immune system [48, 49], and stress, where the generation of diversity through increased molecular error rates may produce an individual who survives [52]. The benefits of such extensive diversity may also be relevant in disease. Cancer populations are highly heterogeneous, molecularly and phenotypically, and this population heterogeneity has been associated with resistance to drug treatment and patient survival [52–55]. Roux et al. show that fluctuations in protein levels can lead to recurring sub-populations of cells that are more resistant to ligand-induced apoptosis [56, 57].

**Response distribution: Variation across single cells may allow a graded population response**

Tissues rely on binary decisions made by individual cells, such as whether to enter the cell cycle or apoptosis. Uniformity across cells in binary decisions would produce switch-like population behavior, and in many cases this would be undesirable. Instead, fractional quantitative responses can be achieved by integrating expression fluctuations in decision-making, fluctuations that may be generated by stochastic gene expression. The role of stochastic fluctuations [58–60] and quantitatively distributed population states in the regulation of population abundance within ecological communities has been noted. Given predator-prey dynamics, if all predators acted homogeneously, all prey would be eaten simultaneously at carrying capacity, and the population would collapse to extinction (e.g. [61]). Incorporating heterogeneity to desynchronize populations can generate fractional or dose-dependent population responses. Recent studies have suggested this type of heterogeneity-dependent population behavior in contexts such as fractional population death in response to chemotherapy [54], maintenance of adult adipose tissue size by fractional differentiation of pre-adipocytes [58], fractional apoptotic response to ligands [59, 57], and graded response to growth factors in the decision to enter the cell cycle in mammary epithelial cells [60]. Graded or fractional population response mediated by individual variation may be an important general mechanism bridging the discrete outputs of a cell and the need for quantitative responses (e.g. neuronal activity).

**Priming and fate plasticity: Gene expression variation endows cells and populations with fate plasticity**

For some tissues, function depends on cell fate plasticity or the ability of its members to take on a diversity of cell states, as in stem cell populations. Fate plasticity has been associated with expression variation, such as stochastic, semi-indiscriminant gene activation [62, 63], which has also been called “promiscuous gene expression” [64]. Numerous studies of stem and progenitor cells have reported variable expression of developmental regulators [2, 62, 63, 65, 66] and have
associated with heterogeneity in differentiation potential [62, 63]. Recently, Kumar et al. reported extensive variation across mouse pluripotent stem cells (PSCs) in the activation of stem- and cell-fate regulators, as well as genes that sense and respond to environmental cues. They also reported that the extent of variation was associated with the rate of differentiation [63]. In an environment containing cues for both differentiation and self-renewal, the authors found multiple subpopulations of PSCs: one subpopulation demonstrated relatively homogenous expression and a bias towards self-renewal; a second showed variable activation of cell-fate regulators and higher rates of spontaneous differentiation.

In many cases, genes critical to cell fate decisions are involved in regulatory networks with switch-like behavior. As gene expression levels approach the network’s switching threshold, the probability that induction by external cues will trigger threshold crossing is increased. A cell with expression level near a threshold level for phenotypic switching might be considered to be “primed” for a cell-fate decision [62, 63]. If the population contains a set of cells at variable distance from the threshold, a subset of cells might be ever ready to cross the threshold immediately. This expression variability across cells may be generated by stochastic gene activation, which—in a process akin to signal amplification by white noise [67]—assists cells in crossing thresholds. If the expression state of any individual fluctuates over time, as seen in populations of pluripotent stem cells [62, 63], then, even as cells differentiate, the population may maintain a characteristic diversity of primed cells [62].

**Information propagation: Population diversity may enable information coding and transfer**

There is an association of high variation with high information content (i.e. high entropy). Single cell variation can represent both high information content and, if cells are processing information, the capacity to transfer high information content. For example, medullary thymic epithelial cells (mTEC) stochastically transcribe tissue-restricted genes in the mTEC population so that collectively the population exposes thousands of self-antigens to developing T cells. This diversity plays an instructive role in T cell differentiation, so that only T cells with low self-affinity are directed to an effector fate [68]. In the brain, extensive phenotypic diversity may broaden the extent of possible neural circuitry, and so enhance the brain’s capacity for information transfer [50, 69–71]. Increased rates of Linel (L1) retrotranposition, a source of somatic genetic diversity, have been found during neurogenesis [69], speculatively supporting a functional advantage to heightened diversity in the brain.

**Crowd control: Rare cells respond rapidly to perturbations and coordinate population behavior**

Several recent single-cell studies of anti-viral [72–74] or inflammatory [73–75] response and cell fate choice [76] have reported cases where a rare subset of cells in a population responded rapidly to perturbation and emitted signals that coordinated population behavior [72–76]. Described as sentinels [72], first responders [73], precocious cells [74] or pioneers [76], these cells uniquely express [72–74, 76] and secrete [75] key cytokines in response to the stimulus. By contrast, the majority of the population was incapable of responding in kind to the same stimulus [72–75], even over extended periods of time. This two-tiered signaling mechanism coordinated population behaviors, eliciting a uniform response [72–76] or more complex behavior, such as modulating phenotype heterogeneity spatially or over time [72–75]. The concept of sentinel or first responder cells is that a subset of cells in a signal responding state can dynamically reprogram the greater cell population, and this helps balance competing needs of the physiological dynamics. For example, the immune system requires a balance between rapid response to assault and avoidance of self-toxicity [73, 74]. Recently, Patil et al. reported that when human dendritic cells were infected with Newcastle disease virus, a small fraction of cells activated Ifnb1 promptly [73]. Paracrine signals emitted by these early responders activated Ifnb1 expression in the majority of cells, but in a manner that elicited large variation across cells in time-to-activation. Dynamic coordinated population behavior activated through single cell variation may also be critical in other contexts, such as tissue morphogenesis. A recent study provided suggestive evidence, reporting that a subpopulation of rare cells was essential in normal breast epithelial cell morphogenesis in 3D culture for enforcement of quiescence [77].

**Evolutionary comparisons to test the “variation is function” hypothesis**

The examples discussed in the above sections suggest that cell-to-cell variations of molecular states in seemingly homogeneous population of cells may have functional rationale in terms of population/tissue level function. Here, we discuss possible approaches to test the hypothesis that “variation is function” and the challenges associated with such tests.

Leveraging the predictions of neutral theory of molecular evolution [78], one approach to test the idea that variation is functionally important is to assess whether single cell variability of particular genes is an evolutionarily conserved trait. Suppose we choose variance as the appropriate statistic for measuring variation (see Introduction about difference between “variation” and “variance”), we can compute the single cell variance of a gene’s expression in mice and ask whether the variance is essentially the same in rats. But, if single cell variance of MAPK is 10 (normalized read units) in mouse and it is 12 in rats, how do we know that this difference is significantly different than expected under neutral evolution? When similar inferences are made with sequence analysis, a standard method is to compare rates of divergence against the divergence of sequences whose functional effects are a priori assumed to be neutral (e.g. synonymous positions of codons). In the case of gene expression, it is difficult to directly measure such expectations because it is difficult to point to some gene’s expression that can be a priori assumed
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level, correcting for the conservation of expression levels.

Bioessays 38: 172–180, length, 5

recover, certain mRNAs’ sequence features (e.g. GC percent, certain mRNA might be membrane-bound and harder to sampling may also affect the observed variation. For example, (Fig. 2C). A difficulty is that other additional factors besides

correlation-based test of genes’ variances amongst homolo-
gous genes between mouse and rat, it may be due to

the correlation of expression intensity amongst homologous
genes of rat and mouse neurons. A: Correlation of expression level of between homologous genes of rat and mouse neurons. B: Correlation of single cell variance between homologous genes of rat and mouse neurons. C: Partial correlation conditioned on expression level, correcting for the conservation of expression levels.

Problems to overcome for comparative analysis

There are two important problems to overcome with the comparative approach to assessing whether single cell variation is functionally important. The first is a technical problem. A statistic that measures variability such as variance can be correlated with other features of the transcriptome. Sampling each cell’s mRNA into RNAseq counts generates a mathematical relationship (nearly linear) between expression intensity and expression variance by multinomial sampling theory. Therefore, if we find a significant correlation of single cell variance of homologous genes between mouse and rat, it may be due to the correlation of expression intensity amongst homologous genes rather than due to biological functions of cell-to-cell variation (Fig. 2A and B). Therefore, any comparative correlation test needs to correct for other covariance factors; e.g. by computing partial correlations as was done in Dueck et al. (Fig. 2C). A difficulty is that other additional factors besides sampling may also affect the observed variation. For example, certain mRNA might be membrane-bound and harder to recover, certain mRNAs’ sequence features (e.g. GC percent, length, 5’ and 3’ motifs, etc.) may affect cDNA synthesis or amplification; mRNA of very low abundance may be especially difficult to capture in the first cDNA synthesis, etc. All of these factors can modulate measured single cell variance. These

associated with each gene and each measurement protocol that can be used to “correct” the observed variance (see Dueck et al. [33]).

The second problem for comparing single cell variability amongst genes across species is biological. If we construct a test based on conservation of relative levels of variance amongst genes across species (e.g. correlation of variance), a significant correlation pattern may emerge due to conserved functional importance of either the high-variability genes or the low variability genes (or both). A gene may have high single cell variability vis-à-vis the rest of the transcriptome that is conserved between species due to the various possible higher-level functions discussed above. Or, a gene may have conserved low single cell variability because the precision of its expression is important [80–82], which is a different hypothesis from the idea of “variance is function.” One possible approach to distinguish between these two hypotheses is to examine the (corrected) correlation of variance across multiple cell types. Suppose we were to find significant inter-species correlation of genome-wide single cell variances in, say, both cardiomyocytes and neurons, respectively. Overlap in the identity of the high variability genes between the two different cell types would suggest consistent function of the high variability genes. Unfortunately, the lack of such overlap does not necessarily imply lack of functional rationale for single cell variability. Different genes may be highly variable in different cell types because the higher-level function of different tissues might require variability of different genes, as in many of the scenarios listed above. This might be resolvable with the inclusion of many different cell types in a cross-species comparison and identifying finite number of conserved high-variability gene clusters.

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ancillary features of transcripts may also be conserved due to conservation of genes’ sequences and cellular functions. Thus, the hypothesis test becomes compounded with the effects of evolutionary forces governing these other traits. The features that complicate the measurement of single cell variation – such as membrane association – may be exactly the feature that is important for the functional mechanism underlying cell-to-cell variation. In sum, for both evolutionary hypothesis testing and for general inference of single cell variation, it is critical to develop a robust measurement theory of single cell variation [79].

We need a model of expected variance to be neutral in function. It is especially difficult to measure for single cell variation when we currently have no a priori theory of its function.

One possible approach is to compare the conservation of the particular degree of variance (or some similar statistic) associated with a particular gene in relation to other genes in the genome. That is, we might hypothesize that if gene A has greater cell-to-cell variance than gene B in mouse, it might also have greater variance in rat if the variation is functionally important enough to affect fitness. Thus, we can compute a correlation-based test of genes’ variances amongst homologous genes in mouse and rat. In Dueck et al., we applied one such test for a small dataset and found significant correlations between the gene-variance of mouse and rat [33].

Prospects for directly testing “variance is function”

What are the prospects for directly testing whether cell-to-cell variation in gene expression has functional significance? Classic studies such as canalization mechanisms show that a variety of mechanisms exist to control the variability of molecular processes [83]. There is an increasing number of studies showing
that the distribution of gene expression across cells can be modulated by regulation [14, 63, 58, 84–88], through mechanisms involving promoter accessibility [86], transcript degradation rate [33, 63], gene copy number [14], or regulatory network structure [58, 63]. Additionally, multiple studies have suggested separate control of expression mean and variance [85–87]. Recently, Lagha et al. showed that paused Pol II decreased temporal variation in gene activation in response to Dpp signaling during Drosophila development [84]. Benayoun et al. provided evidence that genes broadly covered with H3K4me3 histone modifications demonstrate low expression variation, uncorrelated with expression level [85]. Interestingly, Vinueltas et al. [89] report that chromatin modulating reagents can induce significant effects on the stochastic expression variation while Dar et al. [87] report almost hundred different compounds that modulate HIV gene expression variation. Examples listed suggest that there might be accessible control points to manipulate single cell variability. But complex manipulation of single-cell variation is not a simple matter. It is possible that the absolute amounts of multiple RNAs may be important along with single cell variation [90]. This suggests that the both the amounts of variable RNAs and their relative abundances need to be controlled within the biological constraints of the cell, where approximately 200,000–400,000 mRNA molecules are thought to be the normal complement of mRNAs. Another complication is that over-expression of particular RNAs might cause a non-specific change in cellular dynamics related to titration of RNA polymerases or translational machinery not related to the variability itself, and so altered expression profiles need to be carefully controlled.

**General expression of single cell variability**

If the functionally relevant single-cell expression variation is non-specific, such as may be the case in the generalized bet hedging scenario, one possible approach to experimentally manipulate variation is to use non-specific effects of siRNA. While siRNA is usually utilized to target specific complementary RNA, it is well known that there are significant off-target effects with estimates of 100-1,000 RNAs changing as a result of the RNA manipulation [91, 92]. These effects are generally thought to be non-specific and include both increases and decreases in RNA abundances encoded by many genes [93, 94]. If indeed the off-target action of siRNA is non-specific, then there is little reason to believe that these off-target effects would selectively modify individual biological systems. Generation of an siRNA with primarily off-target action, for example an siRNA of random sequence not present in the mammalian genome, would permit a test of the role of non-specific alterations in transcriptome variation across single cells. Ideally siRNA would be introduced into cells using lentivirus where siRNA expression is under regulated promoter single cells. Ideally siRNA would be introduced into cells using lentivirus where siRNA expression is under regulated promoter single cells. Ideally siRNA would be introduced into cells using lentivirus where siRNA expression is under regulated promoter single cells. Ideally siRNA would be introduced into cells using lentivirus where siRNA expression is under regulated promoter single cells. Ideally siRNA would be introduced into cells using lentivirus where siRNA expression is under regulated promoter single cells. 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require measurable definition of higher-level function enabled by single cell variation. Evolutionary comparisons may help narrow down some of the possible functions listed in Table 1 and help design the right experiments. Ideal future experiments might include ex vivo reconstruction of 3D tissues with coupled modulation of individual cell variability as pioneered in [103, 104].

Conclusions and outlook

In this essay, we have explored the idea that single cell variation may, at least in part, be required for higher-level system function. Higher-level group properties that arise from heterogeneous ensembles are often seen in ecological communities. For example, the nutrient cycles, food webs, social groups, etc., all involve an ensemble of individuals with differentiated roles. (Many such assemblies are not selected for the group property but rather the group property arises out of the interaction of the participants.) Similar dynamics amongst individual cells might be an important component of organismal physiology, and the pursuit of this topic may improve our understanding of both healthy and diseased tissues.

When considering differentiated roles, it may seem that the main question is that of classification of previously unrealized subtypes (e.g. [105]). Classification of types is a classic systems problem, and even in whole organisms, systematists occasionally find cryptic subtypes. It may be that, if a theory or principle of cell phenotype emerges, similar to the biological species concept [106], much of the single cell variation might indicate multiple cryptic subtypes; however, it is also likely that much of the single cell variation is plastic and context dependent. In a more speculative model, J. J. Kupiec [47] as well as A. Paldi [107] suggest that variation may be part of a kind of Darwinian mechanism for driving developmental decisions, where stochastic variation generates possible different cell fates and subsequent mechanisms apply a kind of “natural selection” for proper differentiation. This is an extremely intriguing speculation and more broadly, we speculate that cell variation could be a mechanism for incorporating environmental information (through aforementioned Darwinian mechanism) into organismal information. In sum, we propose that understanding the mechanism and higher-level function of single cell variation will be the key to understanding multi-cellular systems.

Acknowledgments

This work has been supported in part by Ellison Medical Foundation Aging Award, NIMH 5U01MH098953, and Health Research Formula Funds from the Commonwealth of Pennsylvania. The funding bodies had no role in study design, in collection, analysis, interpretation of data, or in the writing of the manuscript.

The authors have declared no conflicts of interest.

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