Phylogenetic diversity and environment form assembly rules for Arctic diatom genera—A study on recent and ancient sedimentary DNA

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Abstract

Aim: This study investigates taxonomic and phylogenetic diversity in diatom genera to evaluate assembly rules for eukaryotic microbes across the Siberian tree line. We first analysed how phylogenetic distance relates to taxonomic richness and turnover. Second, we used relatedness indices to evaluate if environmental filtering or competition influences the assemblies in space and through time. Third, we used distance-based ordination to test which environmental variables shape diatom turnover.

Location: Yakutia and Taymyria, Russia: we sampled 78 surface sediments and a sediment core, extending to 7,000 years before present, to capture the forest–tundra transition in space and time respectively.

Taxon: Arctic freshwater diatoms.

Methods: We applied metabarcoding to retrieve diatom diversity from surface and core sedimentary DNA. The taxonomic assignment binned sequence types (lineages) into genera and created taxonomic (abundance of lineages within different genera) and phylogenetic datasets (phylogenetic distances of lineages within different genera).

Results: Contrary to our expectations, we find a unimodal relationship between phylogenetic distance and richness in diatom genera. We discern a positive relationship between phylogenetic distance and taxonomic turnover in spatially and temporally distributed diatom genera. Furthermore, we reveal positive relatedness indices in diatom genera across the spatial environmental gradient and predominantly in time slices at a single location, with very few exceptions assuming effects of competition. Distance-based ordination of taxonomic and phylogenetic turnover indicates that lake environmental variables, like HCO₃⁻ and water depth, largely explain diatom turnover.

Main conclusion: Phylogenetic and abiotic assembly rules are important in understanding the regional assembly of diatom genera across lakes in the Siberian tree line ecotone. Using a space–time approach we are able to exclude the influence of geography and elucidate that lake environmental variables primarily shape the assemblies. We conclude that some diatom genera have greater capabilities to adapt to...
INTRODUCTION

Assembly rules constrain the formation of community composition out of a pool of available species. Exploring which and how intensely assembly rules affect species composition helps to understand past, recent and prospective community composition. Particularly in times of recent global warming it is important to understand how community composition will change under human-induced, rapid environmental change compared to natural environmental variability (Bellard, Bertelsmeier, Leadley, Thuiller, & Courchamp, 2012; Davey & Blaxter, 2010; Thakur et al., 2017). In environmentally sensitive Arctic areas, the magnitude of biotic change and its consequences on ecosystem services (Frainer et al., 2017; Vincent et al., 2012) require special attention. Globally, too little awareness has been paid to the effects of global warming on microbial life (Cavicchioli et al., 2019; Dutta & Dutta, 2016; Heger et al., 2014), which presents an urgent need to better understand the rules by which microbial assemblies are influenced and sustained. The term ‘assembly rules’ was introduced by Diamond in 1975 and different terminologies have developed since (Götzenberger et al., 2012). In our study we categorize assembly rules into (a) phylogenetic factors, which are determined by historical diversification and speciation of taxa, (b) abiotic (environmental characteristics of the habitat) and biotic (interactions between co-occurring taxa) factors and (c) spatial factors (geographic distance). These influences of the assembly rules on taxa composition can be elucidated by looking at the diversity patterns of communities. Alpha- and beta-diversity measures can be obtained from taxonomic diversity (based on the number of taxa) as well as from phylogenetic diversity (based on the phylogenetic distance between taxa; Figure 1). Assembly rules in minute life-forms such as diatoms are less widely explored than in plants (Echeverría-Londoño et al., 2018; Kraft et al., 2015; Swenson, 2011) or animals (Arnan, Cerdá, & Retana, 2017) and only a few empirical studies exist (Bennett, Cumming, Ginn, & Smol, 2010; Bottin, Soininen, Alard, & Rosebery, 2016; Rimet et al., 2016; Stoof-Leichsenring et al., 2015). It is known that diatoms are unicellular eukaryotic minute life-forms and environmentally highly sensitive (Alverson, 2008). Thus, most diatom studies find environment to be the major factor determining diatom communities at different spatial scales by decomposing the variation in taxonomic community to spatial- versus environmental-related variation (Bennett et al., 2010). Although there is the expectation that diatoms can freely disperse (Soininen, 2007), other studies on global diatom distribution find biogeographic (geographic and evolutionary) factors strongly contribute to diatom community structure (Vyverman et al., 2007). In general, we have to assume that similar assembly rules known from plants act on unicellular microbes such as diatoms (Heino & Soininen, 2005). Because it is known that morphologically similar diatoms can bear hidden genetic diversity (Pinseel et al., 2019), adding phylogenetic to taxonomic diversity will enable us to discern assembly rules in a more robust way (Van den Wyngaert, Most, Freimann, Ibelings, & Spaak, 2015).

In general, the relative importance of assembly rules to community structure can be assessed by null model approaches (deviation of observed from random patterns). These are used to examine if the observed co-occurrence of species in a community associate with the spatial, environmental or biotic similarity of the investigated locations. To evaluate the relative impact of environment in comparison to the role of geography, it would be advantageous to analyse diversity patterns along ecological gradients that provide different ecological niches over a geographical space and at a fixed place back in time with different ecological periods at one geographical site. Under the assumption that evolutionary relatedness is positively correlated with similar ecological requirements of species, analyses of phylogenetic diversity allow us to infer the relative importance of environmental factors and biotic interactions by comparing the observed diversity with the total diversity in the present assemblies (Webb, Ackerly, & Kembel, 2008; Webb, Ackerly, McPeek, & Donoghue, 2002). A phylogenetic clustering, meaning more closely related taxa in a community than expected by random null communities, is probably related to environmental filtering, whereas phylogenetically even or over-dispersed communities can be a result of taxa competition for habitats leading to the exclusion of phylogenetically similar taxa (Webb et al., 2002). Because the composition (e.g. species richness and phylogenetic diversity) of intrageneric diversity is generally characterized by species that share ecologically similar requirements due to their close phylogenetic relatedness, the analyses of generic rather than total community diversity is more suitable to elucidate the impact of either competitive exclusion or environmental filtering (Voskamp, Baker, Stephens, Valdes, & Willis, 2017; Webb et al., 2002).

The Siberian tree line presents a huge ecological gradient from tundra to boreal vegetation with embedded Arctic lake systems and sediment archives of tree line lakes preserve environmental changes and diatom communities of the past. Morphological investigations have attributed Holocene diatom variations to vegetation changes in the lake catchments (Herszschuh et al., 2013; Pestyakova, Herszschuh, Wetterich, & Ulrich, 2012). A few genetic studies from the area find that the occurrence of intrageneric diatom lineages is
linked to environmental conditions (i.e. vegetation in the catchment) rather than geographical constraints (Stoof-Leichsenring et al., 2014, 2015). Because species of a genus are generally composed of ecologically similar taxa, addressing diversity within genera will presumably result in stronger competition between taxa of the same genus than taxa of different genera. Adding a community’s phylogenetic information would then allow us to speculate on the influence of competition over environmental filtering in intragenic lineages.

In this case, metabarcoding approaches on sedimentary DNA using phylogenetically informative marker genes facilitate the analyses of phylogenetic diversity in natural communities (Nanjappa, Audic, Romac, Kooistra, & Zingone, 2014), although ancient sedimentary DNA in particular allows the retrieval of only very short marker genes and thus limited phylogenetic content (Drummond et al., 2015; Stoof-Leichsenring et al., 2014). The large subunit of the Ribulose-1,5-bisphosphate carboxylase/oxygenase (rbcL) has been used frequently for phylogenetic analyses (Guo, Sui, Zhang, Ren, & Liu, 2015; Theriot, 2010), but is most powerful in determining lower taxonomic levels and thus has been used to identify intragenus and intraspecies genetic diversity and diversification (Abarca, Jahn, Zimmermann, & Enke, 2014; Evans, Wortley, & Mann, 2007; Hamsher, Evans, Mann, Poulikova, & Saunders, 2011; Kermarrec, Bouchez, Rimet, & Humbert, 2013). Moreover, short rbcL metabarcodes have been successfully applied for diatom diversity assessment (Dulias, Stoof-Leichsenring, Pestryakova, & Herzschuh, 2017; Rimet, Vasselon, A.-Keszte, & Bouchez, 2018). Combining taxonomic with phylogenetic diversity retrieved from sedimentary DNA archives is a fairly new approach. It offers the opportunity to directly analyse taxonomic and phylogenetic diversity in space as well as through time and allows the analysis of community shifts at one geographic place under changing environmental conditions. It can help evaluate the effect of competition between lineages depending on their phylogenetic relatedness.

This study investigates assembly rules for diatom genera across the vegetation gradient of the Siberian tree line and via Holocene time slices of a lake sediment core, which experienced vegetation changes through time. We combine taxonomic diversity (binning lineages/sequence types into taxonomically defined genera) and phylogenetic diversity obtained from a short phylogenetically informative chloroplast marker specified for metabarcoding using lake sediments. With our study we aim to evaluate the assembly rules that structure the community composition of diatoms at the intragenic level. First, we investigate how phylogenetic factors (phylogenetic distance in diatom genera) affect taxonomic richness and turnover in diatom genera. Second, we attempt to discern if abiotic or biotic factors (environmental filtering or intragenic competition) or geography primarily influence the assembly by comparing taxonomic and phylogenetic community signals along a similar ecological gradient in space as well as through time. Third, we investigate the extent to which environmental variables and geographic distance explain taxonomic and phylogenetic turnover.

2 | MATERIAL AND METHODS

2.1 | Material and sampling locations

Sediment and water samples were collected during joint field expeditions of the Alfred Wegner Institute (AWI) and North-Eastern Federal University of Yakutsk (NEFU) from 78 lakes along a latitudinal transect in the northern lowlands of Yakutia and Taymyria, Russia. The localities range from the edge of the Arctic tundra over forest tundra to boreal forest (Figure 2). The lakes investigated were formed by thermokarst processes and are characterized by their small size and catchment area and water chemistry parameters (Table S1 in Appendix S1). Surface sediments were sampled using a bottom sampler and subsamples (mainly the first centimetre) were taken with a sterile spatula and stored in sterile plastic tubes until further analyses. The sediment core 11-CH-12A was sampled during an expedition to Chatanga in 2011 and details about sampling and
core chronology are described in Stoof-Leichsenring et al. (2015). Based on pollen analyses, we infer vegetation turnover from the warmer and forested mid-Holocene period to arctic tundra at the present day (Klemm, Herzschuh, & Pestryakova, 2016).

2.2 | Genetic assessment of diversity in diatom genera

Surface sediments were isolated according to the descriptions in Stoof-Leichsenring et al. (2015), except for the extraction of surface samples from Taymyr (TY) and Omoloy (OM) lakes, which were isolated with the same method but in the genetic laboratories at Alfred Wegener Institute Helmholtz Centre for Polar and Marine Research in Potsdam. Core sediment samples (11-CH-12A) were handled in the dedicated ancient DNA laboratory at Alfred Wegener Institute Helmholtz Centre for Polar and Marine Research in Potsdam, specially equipped for ancient DNA work. Precise descriptions of the DNA extraction, PCR amplification of the short rbcL 76bp metabarcode and Illumina Next-Generation-Sequencing are given in the (Appendix S1). For bioinformatic analyses of obtained sequence reads, we used the OBITools pipeline, which includes a taxonomic assignment of sequence types with the ecotag algorithm (Boyer et al., 2016). The complete sequencing data were obtained from two independent Illumina sequencing runs and were joined after the OBITools pipeline and further manually filtered (for details see Appendix S1). After the filtering steps, we kept only sequence types which were taxonomically identified to diatom genus level and those genera which occurred in at least 20 of 78 lakes. Similar guidelines were used for the core data, but genera were kept if they occurred in 7 of 10 core samples. Then, we split up the entire dataset into single genus datasets. The final dataset for surface samples of the lakes comprises 19 different diatom genera, meaning 19 subdatasets, whereas the core dataset contains 9 diatom genera resulting in 9 subdatasets.

2.3 | Taxonomic and phylogenetic diversity metrics

The taxonomic diversity dataset consists of sequence types that are binned into taxonomically defined genera, whereas the phylogenetic diversity datasets are based on the phylogenetic distances of lineages within the identified genera. We tested the suitability of the 76bp metabarcode to assess proper phylogenetic distances within genera by comparing pairwise distances of reference sequences covering the short (76bp) and three longer rbcL fragments.

FIGURE 2 Map of the 78 lake sampling sites across the tree line ecotone in northern Siberia. The map was created with Esri Arc GIS Version 10.2 and Natural Earth Background Map [Colour figure can be viewed at wileyonlinelibrary.com]
The 1398bp rbcL fragment have been successfully used to recover phylogenetic relationships in the genus Sellaphora (Evans et al., 2007; Vanormelingen, Evans, Chepurnov, Vyverman, & Mann, 2013) and a slightly shorter fragment was used to demonstrate phylogenetic relationships in Aulacoseira (Edgar & Theriot, 2004). Restricted by the available reference sequences, we could test intrageneric distances in 4 genera (Sellaphora, Nitzschia, Navicula and Cyclotella) by comparing the 1398bp and the 76bp rbcL barcode. We used 11 genera for comparing the 939bp with the 76bp rbcL barcode and 16 genera in the comparison between the barcodes 365bp and 76bp (Table S2 in Appendix S1). The comparison of pairwise phylogenetic distances between reference sequences of the short (76bp) and three longer overlapping rbcL fragments revealed significant correlations for all the tested datasets (Table S2), except datasets Asterionella (76bp vs. 365bp, N = 6) and Melosira (76bp vs. 939bp, N = 3) showed no significant correlation, which is most likely due to the low number of available reference sequences (Table S2 in Appendix S1).

We applied taxonomic and phylogenetic alpha- and beta-diversity metrics (Figure 1) on the generic datasets. We calculated Simpson's diversity (hill 2) as a measure of taxonomic alpha diversity within genera using the R package 'vegetarian' (Charnley & Record, 2012). The Simpson diversity gives an estimate of the effective number of species (with down-weighting of rare taxa), which, in our study, is the number of different lineages within a genus. Prior to the analyses of the effective number of taxa in each genus, the datasets were rarefied to the minimum number of counts per genus (surface samples = 1,010, core samples = 391). To obtain the taxonomic beta diversity we used R package ‘betapart’ and calculated the Bray–Curtis dissimilarity to quantify the total taxonomic turnover (bray) for each genus and the proportion of replacement of taxa (bray, bal), as well as abundance gradient components between two sites (Baselga, 2012) and calculated the overall mean of each metric per genus. Prior to analyses of taxonomic beta diversity, we rarefied surface and core data to the minimum sample count (surface samples = 2,899, core samples = 94,899) and resampled the data to create rarefied datasets which were used for the subsequent statistical analyses. For rarefaction analyses we used the R script (https://github.com/StefanKruse/R_Rarefaction).

For phylogenetic alpha diversity within the genera we calculated the uncorrected phylogenetic distance (pdist) using Geneious® 11.1.5 and the mean phylogenetic distance (mpd) and mean nearest taxon distance (mntd) based on abundance weighted and presence/absence data respectively. The calculation of the phylogenetic distances between lineages within one genus was conducted using the R package ‘phangorn’ (Schliep, 2011) by estimating the tree branch lengths obtained from a maximum likelihood tree (using pml function with GTR substitution model) derived from phylogenetic distances (function dist.ml) between the sequence types, which were saved in fasta format. Phylogenetic distances were then combined with the abundance data or presence/absence data of the sequence types within each genus by using the function match.phylo.com and mpd and mntd values were calculated with the functions ses.mpd and ses.mntd respectively. Resulting ses.mpd and ses.mntd values were converted into net relatedness index (NRI) and nearest taxon index (NTI), respectively, by multiplying by −1 (using R package ‘picante’; Kembel et al., 2010). NRI and NTI values in single locations and core slices were calculated for the five most abundant genera in surface and core samples. NRI measures whether the sum of the pairwise phylogenetic distances among all pairs of taxa (considering differences in higher taxonomic levels) in the community is more or less than expected from randomly generated null communities, assuming that positive NRI values indicate environmental clustering, while negative values indicate even or over-dispersed communities, which could be attributed to exclusive competition between co-occurring taxa. NTI measures whether most closely related co-occurring taxa (mostly within species/genus relationships) in a community are more or less closely related than expected and follows the same interpretation as NRI. Furthermore, we used the cmdist function in the R package ‘picante’ (Kembel et al., 2010) to calculate phylogenetic beta diversity (betaNRI and betaNTI), that is, the phylogenetic distances between pairs of samples weighted for the abundance of sequence types and also with presence/absence data. Correlation analyses and Loess regression were used to evaluate relationships between the diversity indices and were done in the R package ‘ggplot2’ (Wickham, 2016). Distance-based redundancy analyses (dbRDA) were run to estimate the influence of geography and environment on the distance matrix derived from the beta-diversity calculations for the taxonomic (total turnover and bray) and phylogenetic datasets. Hence, co-ordinates of the sampling localities were transformed to rectangular data suitable for ordination methods using principal co-ordinates of neighbourhood matrix (PCNM), with the function pcnm. Environmental variables were log(x + 1) transformed using the log1p function and were, together with the principal coordinates, used as constraints for the dbRDA with the dissimilarity matrices originating from the taxonomic and phylogenetic datasets using the function capscale and a subsequent stepwise forward model selection with the function ardistep. The selected constraints were then applied to dbRDA and their significance assessed with the function permutest. The calculations regarding dbRDA were performed using the R package ‘vegan’ (Oksanen et al., 2019) and all computations were conducted with RStudio version 1.1.456.

3 | RESULTS

3.1 | Taxonomic and phylogenetic composition

The joined dataset of the two Illumina sequencing runs and subsequent filter steps resulted in 19 verified genera each containing between 6 and 156 sequence types for the 78 lake surface samples and 9 verified genera containing between 5 and 87 sequence types for the 10 core samples. The sequence types within a genus consist of intraspecific to intrageneric sequence types, as indicated by their sequence match to reference sequences, which varied between 100% and 90%.
Our results demonstrate strong differences in abundance, richness, turnover and phylogenetic distance in diatom genera. The total modern surface dataset consists of 7,534,170 sequence reads, whereof 95% are assigned to *Staurosira, Aulacoseira, Pinnularia, Melosira, Sellaphora* and *Stauroneis*; the remaining 13 genera represent <5% of total reads. We identified 986 unique sequence types and highest richness occurs in *Stauroneis, Staurosira, Aulacoseira* and *Sellaphora*, whereas lowest richness is present in *Melosira, Caloneis, Ellerbeckia* and *Tabellaria*. The taxonomic beta diversity (full replacement of taxa; Bray-Curtis) is highest *Nitzschia* and *Neidium*, whereas *Tabellaria* and *Ellerbeckia* show least turnover. In terms of phylogenetic distances, *Ellerbeckia, Cylotella* and *Staurosira* consist of phylogenetically closely related lineages (uncorrected mean pdist varies between 1.8 and 3.9 nucleotide differences), whereas lineages in *Nitzschia, Stauroneis* and *Caloneis* are most distantly related (uncorrected mean pdist varies between 4.5 and 12 nucleotide differences).

The total core dataset consist of 3,613,450 sequence reads and 234 unique sequence types. About 76% of sequence reads are attributed to *Staurosira* and *Cyclotella*, whereas the richness is highest in *Staurosira, Aulacoseira* and *Cyclotella*. *Sellaphora* and *Stauroneis* show highest turnover, whereas *Tabellaria* and *Asterionella* represent genera with low turnover throughout the core slices. Phylogenetically closest lineages are present in *Tabellaria, Cyclotella* and *Staurosira* (pdist varies between 2.8 and 3.8), whereas *Stauroneis, Sellaphora* and *Pinnularia* comprise most distantly related lineages. The detailed results for all genera are presented in Table S3 in Appendix S1.

### 3.2 Phylogenetic distance and taxonomic richness and turnover in diatom genera

Our results demonstrate that the variation in diatom genera with regard to taxonomic richness and turnover is influenced by the mean phylogenetic distance (mpd) of genera. We show that genera with more distantly related lineages (high mpd) have high richness (= high effective number of lineages, hill 2) and greatest turnover (Bray-Curtis) across the investigated ecotone compared with genera consisting of closely related lineages, which have fewer effective taxa and show a lower turnover. Two genera (*Aulacoseira* and *Staurosira*) consist of closely related lineages (moderate mpd) with a high number of effective lineages but a lower turnover across the sampled area (Figure 3a). This unimodal relationship between the phylogenetic distance and richness of diatom genera indicates the effect of counteracting factors between phylogenetic diversity and richness. No relationship could be identified between mpd and the effective number of lineages derived from core samples (Figure 3b). Only the genus *Staurosira* is notable as it is characterized by a moderate mpd but shows a much higher effective number of lineages than the other genera.

A clear positive relationship is revealed between the phylogenetic distance (mpd) and the turnover (Bray-Curtis) of diatom genera. This means that genera with closely related sequence types show less turnover than genera consisting of more distantly related sequence types. This holds true for either abundance-weighted or presence/absence data (Table 1, Figure 4). The patterns discerned from the spatial data are partly mirrored in the core data, although the signal is weaker, probably due to the smaller number of genera and samples and because the mean phylogenetic distance is generally lower than from surface samples, as only a selection of sequence types occurs in the core locality compared with the larger spatial gradient. However, the positive relationship between mpd and Bray-Curtis detected from surface data is also found for the core data and is most significant when using mpd values based on presence/absence rather than abundance-weighted data. Because the positive relationship between mpd and Bray-Curtis is valid for both surface (spatial) and core (temporal) assemblies of diatom genera—that is, the turnover of taxa through time at a fixed place.
investigated localities, whereas for and Bonferroni correction (Figure 5). The genera and some exceed a significant deviation from null communities after the genera datasets we find positive NRI and NTI values from the surface and core-slice samples (Figure 5). In most of the samples calculated the alpha phylogenetic metrics NRI and NTI for all (e.g., competition) within the five most abundant diatom genera. To evaluate the impact of environmental and biotic assembly rules (Figure 4).

### Relatedness indices in diatom genera from surface and core samples

To evaluate the impact of environmental and biotic assembly rules (e.g., competition) within the five most abundant diatom genera (Staurosira, Aulacoseira, Pinnularia, Sellaphora and Stauroneis) we calculated the alpha phylogenetic metrics NRI and NTI for all surface and core-slice samples (Figure 5). In most of the samples from the genera datasets we find positive NRI and NTI values indicating clustering of closely related sequences, although only some exceed a significant deviation from null communities after Bonferroni correction (Figure 5). The genera Staurosira, Aulacoseira and Stauroneis show a strong significant clustering signal in many investigated localities, whereas for Pinnularia and Sellaphora only very few samples show this signal. There are no significant negative NRI or NTI values (after correction), suggesting that few distantly related lineages co-occur in the samples analysed. Only in the genera Sellaphora and Stauroneis do NTI values in two sample sites tend to show over-dispersed communities, supported by weak p-values.

Most of the genera obtained from core samples indicate a shift in NRI and NTI values across the different time slices attributed to vegetation changes over the last 7,000 years, but with only a few samples exceeding the uncorrected/corrected significance thresholds, indicating neither a strong clustering nor a signal of over-dispersed communities in the core samples. Exclusively, Staurosira displays strongly significant positive values indicating a clustering of closely related sequences in most of the core samples.

### Taxonomic and phylogenetic turnover in diatoms

We related total taxonomic and phylogenetic beta diversity of surface samples to environmental variables and geographic distance. We used distance-based redundancy analysis to test if the explanatory variables (a combination of three lake environment variables: water depth, pH and hydrogen carbonate) and geographic components significantly contribute to the variation of taxonomic and phylogenetic turnover of the five selected genera obtained from the lake sediment–surface samples (Table 2). Taxonomic and phylogenetic turnover of the selected genera were always significantly correlated (see Mantel test results Table 2) and both metrics are partly explained by similar variables. However, phylogenetic turnover in Staurosira is exclusively explained by HCO$_3^-$ and water depth, whereas the other genera are explained by a combination of different variables. For nearly all analysed datasets, HCO$_3^-$ and water depth are the predominant environmental variables which contribute to the turnover of selected diatom genera across the Siberian tree line ecotone, mostly coupled with spatial variables. Except the genus Aulacoseira is largely explained by geography and water depth.

### DISCUSSION

#### The effect of phylogenetic distance on richness and turnover in diatom genera

Our study reveals a strong phylogenetic influence on the richness patterns of diatom genera. We identify one group of diatom genera (Asterionella, Amphora, Caloneis, Cyclotella, Chaetoceros, Goniphonema, Melosira, Neidium, Planothidium, Tabellaria and Urosonella) characterized by low mean phylogenetic distance (mpd) and low richness and turnover across the Siberian tree line. In contrast, a second group of genera (Navicula, Nitzschia, Pinnularia, Stauroneis and Sellaphora) is characterized by high mpd, but with high richness and turnover. A

#### Relatedness indices in diatom genera from surface and core samples

| Diversity metrics                  | Lakes surface samples (N$_{Genera}$ = 19) | Lake core samples (N$_{Genera}$ = 9) |
|-----------------------------------|-------------------------------------------|--------------------------------------|
| Alpha phylogenetic versus taxonomic diversity |                                           |                                      |
| mpd.aw versus hill2               | 0.466                                    | 0.284                                |
| mtd.aw versus hill2               | −0.008                                   | −0.402                               |
| mntd.pa versus hill2              | 0.041                                    | −0.372                               |
| Alpha taxonomic versus beta taxonomic diversity |                                           |                                      |
| hill2 versus bray.bal             | 0.523                                    | −0.157                               |
| Alpha phylogenetic versus beta taxonomic diversity |                                           |                                      |
| mntd.aw versus hill2              | 0.424                                    | 0.648                                |
| mntd.pa versus hill2              | 0.483                                    | 0.653                                |

Note: p values are coded in ***0.001, **0.01, *0.05, *0.1; mdp.aw, mean phylogenetic distance (method = abundance weighted); mmd.pa, mean phylogenetic distance (method = presence/absence); mntd.aw, mean nearest taxon distance (method = abundance weighted); mntd.pa, mean nearest taxon distance (method = presence/absence); hill2, Simpson diversity index (effective number of taxa); bray.bal, proportion of taxa replacement from total taxonomic turnover.

is similar to turnover in space—we presume that geography has less influence on the regional community assembly than environmental or biotic assembly rules (Figure 4).

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third group is formed by the most abundant genera *Staurosira* and *Aulacoseira*, which are characterized by moderate 
mpd, but have the highest richness compared to the other two groups. Our data suggest that increasing 
mpd does not lead to a further increase in richness, which we hypothesize could be a result of ongoing diversification in 
the genus *Staurosira* and *Aulacoseira*. A similar antagonistic relationship has also been identified in microbes, in which increasing 
genetic richness in the absence of an increasing dissimilarity of genotypes in, for example, homogeneous habitats, has been attributed to reduced ecosystem functioning (Jouset, Schmid, Scheu, & Eisenhauer, 2011). The mpd of genera identified in the different time slices of the core samples do not show a relationship with richness, which could be a result of the reduced number of genera identified. This may be caused by technical issues such as degradation of sedimentary DNA (Parducci et al., 2017) that might bias the retrieved genetic diversity, or dispersal limitation, which could have restricted the local genetic richness of diatoms in the past (Verleyen et al., 2009).

The mpd of diatom genera is significantly positively related to taxonomic turnover (full replacement of taxa) across the tree 
line ecotone (vegetation changes from tundra to forest). This relationship is also valid at a single location in one lake, which experienced 
climatic and related vegetation changes (as present along the spatial gradient) through time. We expected this relationship because genera with more distantly related sequence types are most probably adapted to different environments and thus would show greater turnover across the ecological gradient, as has been reported for microbial diversity (Jouset et al., 2011), whereas genera consisting of closely related sequence types tend to have similar requirements and thus show less turnover. This phenomenon could also explain the reduced turnover in *Staurosira* and *Aulacoseira* compared to other genera with more distantly related lineages, assuming that several closely related lineages complement each other and can persist in different ecological niches due to their incomplete differentiation across the tree line.

Because habitat heterogeneity has been shown to affect the diversification of diatoms (Nakov, Beaulieu, & Alverson, 2018; Zorzal-Almeida, Soininen, Bini, & Bicudo, 2017), we assume similar processes affected *Staurosira* and *Aulacoseira* across the ecological gradient formed by the tree line.

Alternatively, genera with a high turnover along the spatial ecological gradient of the tree line could also result from geographically structured lineages due to historic events, for example, dispersal limitation (Verleyen et al., 2009; Vilmi, Karjalainen, & Heino, 2017). Because the relationship between mpd and turnover is also present in a sample series presenting past vegetation changes at one locality, our results support the assumption that mainly environmental factors shape the turnover in diatom genera across the ecotone, whereas the impact of geographic factors seems less important. This is also supported by the fact that geographic factors do not significantly explain the variance in, for example, *Staurosira* across the tree line. To fully rule out the impact of geography on diatom diversity across the tree line, more time series need to be investigated. However, in the core samples we identified only a significant correlation between mpd and turnover when using mpd values based on presence/absence data, which might be related to the degraded nature of ancient DNA-enhancing PCR bias, for example, and thus impacting the abundance of sequence types (Krehenwinkel et al., 2017).

**FIGURE 4** Relationship between the mean phylogenetic distance (method= abundance-weighted) and the taxonomic turnover (beta diversity) in terms of taxa replacements (bray.bal) of (a) 19 diatom genera obtained from 78 lake surface samples and (b) 9 diatom genera from 10 slices of the lake sediment core 11-CH-12A (method= presence/absence). Size of points indicates the Simpson diversity (effective number of taxa = hill2). Amp–Amphora; Ast–Asterionella; Aul–Aulacoseira; Cal–Caloneis; Cha–Chaetoceros; Ell–Ellerbeckia; Gom–Gomphonema; Mel–Melosira; Nav–Navicula; Nei–Neidium; Niz–Nitzschia; Pin–Pinnularia; Pla–Planothidium; Sel–Selliaphora; Sta–Staurosira; Stu–Stauroneis; Tab–Tabellaria; Uro–Urosolenia [Colour figure can be viewed at wileyonlinelibrary.com]
Our study supports that the phylogenetic relatedness of lineages in five selected diatom genera (using NRI and NTI metrics) primarily indicates a clustering effect defined by the co-occurrence of closely related lineages in the investigated lake localities. This effect is driven by environmental filtering resulting in the co-occurrence of lineages due to their similar ecological requirements. This signal tends to be strongest in the genus *Staurosira*, which could be a result of either multiple invasions of minute staurosiroid diatoms into the
lakes with a subsequent selection of lineages adapted to the current environment or in situ radiation, which has been explored for diatoms in isolated ecosystems (Stelbrink et al., 2018). A significant (after Bonferroni correction) clustering signal in space as well as through time is also most obvious for *Staurosira*, supporting its affinity to diversify along the ecological gradient of the Siberian tree line (Stoof-Leichsenring et al., 2015). Diversification in *Staurosira* and related genera (*Staurosirella* and *Pseudostaurosira*) is also detectable in the variety of present morphotypes reported from lakes of the Siberian tree line area (Stoof-Leichsenring et al., 2014) and also from other regions in the Circum-Arctic (Paull, Hamilton, Gajewski, & LeBlanc, 2008) and North America and Mongolia (Morales, Edlund, & Spaulding, 2010).

Interestingly, all other genera with more distantly related lineages present less pronounced environmental filtering and show only few significant deviations from randomized null communities, indicating mostly random community assemblages in the investigated lakes across the tree line ecotone. Core samples of different time slices and different past vegetation periods produced mostly clustering signals (predominantly in *Staurosira*) or random patterns, and only a few samples, with weak statistical support, indicated over-dispersed or even communities.

Nearest taxon index and NRI values are known to reflect slightly different results: NTI is more suitable for detecting patterns based on competition, whereas NRI is more efficient in detecting patterns of environmental filtering (Kraft, Cornell, Webb, & Ackerly, 2007). Furthermore, it has been suggested that richness of sequence types in the sample compared with richness in the species pool can bias NTI and NRI values, promoting the effects of environmental filtering in a large species pool (Kraft et al., 2007). These biases could have also affected our results, as *Staurosira*, *Aulacoseira* and *Stauroneis* show highest richness among all genera analysed and calculated indices are mostly related to environmental filtering.

### 4.3 Relationship between taxonomic and phylogenetic diatom turnover and environmental change

In our study, distance-based redundancy analyses based on taxonomic and phylogenetic composition support the assembly of main diatom genera (*Aulacoseira*, *Pinnularia*, *Sellaphora*, *Stauroneis* and *Staurosira*) being majorly explained by HCO$_3^-$ concentrations, water depth and geography. Morphological diatom data have demonstrated that the overall diatom variance in Siberian lakes sampled from Arctic to boreal environments is mostly explained by conductivity, lake vegetation type and mean July air temperature, whereas silica, pH and water depth explain less variance (Pestryakova, Herzschuh, Gorodnichev, ...
### TABLE 2

Results of the dbRDA analyses of the 19 diatom genera datasets obtained from the lake surface samples

| Genera          | Selected variables | R² adj | R² | p       |
|-----------------|--------------------|--------|----|---------|
| *Staurosira*    | HCO₃⁻ + dep + PCNM1 + PCNM2 + dep | 0.261  | 0.231 | .001*** |
| *Aulacoseira*   | HCO₃⁻ + dep + PCNM1 + PCNM2 + dep | 0.054  | 0.054 | .001*** |
| *Pinnularia*    | HCO₃⁻ + PCNM1 + PCNM2 + PCNM3 | 0.082  | 0.032 | .002**  |
| *Sellaphora*    | PCNM1 + dep + PCNM2 + PCNM3 + dep | 0.124  | 0.062 | .001*** |

Note: Variables for dbRDA were selected with the function ordistep. Mantel test compared distance matrices derived from taxonomic and phylogenetic beta diversity per genus. p values are coded in ***0.001, **0.01, *0.05.

$\text{HCO}_3^-$ concentrations and spatial variables partly mirror vegetational changes across the sampled ecotone, we assume that additional environmental variables, such as vegetation type or related environmental variables that have not been exclusively considered in this study, contribute to the diatom community assembly. Geographic distance, however, seems to be of weaker influence, this has been shown in other alpine and boreal studies (Feret, Bouchez, & Rimet, 2017; Teittinen & Soininen, 2015) and has been demonstrated for the genus *Staurosira* across a similar area (Stoof-Leichsenring et al., 2015) and for this study as well.

Until recently, it is still unclear whether diatoms can generally adapt to recent global climate change (Irwin, Finkel, Müller-Karger, & Troccoli Ghinaglia, 2015) and if vegetation changes, which already resulted in the greening and browning of the tundra (Fu, Su, Wang, & Sui, 2019; Lara, Nitze, Grosse, Martin, & McGuire, 2018), will eventually cause the disappearance of specific diatoms along with the potential loss of the tundra biome in the future. Furthermore, temperature-related variables, like ice cover duration, thermal stratification (Rühland, Priesnitz, & Smol, 2003), primary production (Drake et al., 2019) and nutrient availability in Arctic lakes (Pestryakova et al., 2018) are affected by recent global warming. Lacking thermal stratification and nutrient increase (Sienkiewicz, Gąsiorowski, & Migała, 2017) as well as the lengthening of the growing season (Smol et al., 2005) resulted in drastic replacements of established diatom assemblages. Especially, the reduction in ice cover duration altered the abundance of diatoms of the genus *Fragilaria* (synonymous for *Staurosira*; Keatley, Douglas, & Smol, 2008; Smol & Douglas, 2007; Smol et al., 2005). In contrast, growth experiments on marine diatoms suggest adaptation to rapidly increasing temperature is possible (Jin & Agustí, 2018; O’Donnell et al., 2018), whereas other studies also identify a loss of diversity in cold-adapted diatoms (Lazarus, Barron, Renaudie, Diver, & Turke, 2014). From our data, however, we can conclude that for taxonomically and phylogenetically poor genera (*Ellerbeckia, Urosolenia*, etc.), environmental change will putatively result quickly in the absence of lineages. In contrast, lineages of taxonomically highly diverse and phylogenetically less differentiated diatom genera (*Staurosira* and *Aulacoseira*) have greater capabilities to adapt to environmental changes by shifting their abundancies without being entirely lost. Lineages of genera, which are less taxonomically diverse but largely phylogenetically differentiated (*Nitzschia, Pinnularia, Sellaphora* and *Stauroneis*) will putatively shift in abundance, been replaced or lost. This is likely because they can poorly cope with environmental changes as they are strongly adapted to specific environmental conditions.
5 CONCLUSION

In general, our study supports using environmental DNA from lake sediment archives to infer taxonomic and phylogenetic diversity directly through time and space, thereby allowing a deeper understanding of assembly rules by combining recent and temporal patterns of community assembly in minute eukaryotic algae. In particular, our study reveals that lake environmental conditions and phylogenetic diversity, which is a result of evolutionary differentiation, are important factors in the assembly rules for diatom genera. The sensitivity of diatoms towards environmental change highlights the importance of understanding the effects of climate variations on arctic-boreal lake environments and community composition, especially under recent global climate change. Under the expectation that phylogenetic diversity shapes the diatom assembly by affecting richness and turnover in diatom genera, as shown for communities from Siberian tree line lakes, we conclude that some diatom genera have greater capabilities to adapt to environmental changes, whereas others will be putatively replaced or lost due to the displacement of the tundra biome and related changing environmental conditions.

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DATA AVAILABILITY STATEMENT

Raw sequence data, description of the bioinformatic filtering of the raw sequence data and final tabular DNA sequence datasets are deposited in the Dryad Digital Repository (https://doi.org/10.5061/dryad.h18931zg9).

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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