Most COVID-19 vaccines require temperature control for transportation and storage. Two types of vaccine have been developed by manufacturers (Pfizer and Moderna). Both vaccines are based on mRNA and lipid nanoparticles requiring low temperature storage. The Pfizer vaccine requires ultra-low temperature storage (−80 °C to −60 °C), while the Moderna vaccine requires −30 °C storage. However, the last stage of distribution is quite challenging, especially for rural or suburban areas, where local towns, pharmacy chains and hospitals may not have the infrastructure required to store the vaccine at the required temperature. In addition, there is limited data available to address ancillary challenges of the distribution framework for both transportation and storage stages, including safety concerns due to human exposure to large amounts of CO₂ from dry-ice sublimation, issues due to the pressure increase caused by dry-ice sublimation, and the potential issue caused by non-uniform cryogenic temperatures. As such, there is a need for a test dataset to assist the development of a quick, effective, secure, and safe solution to mitigate the challenges faced by vaccine distribution logistics.

**Background & Summary**

Along with development and manufacturing, vaccine distribution also presents great challenges for supply chain since most COVID-19 vaccines require ambient temperature control for transportation and storage. Two types of vaccine have been developed by manufacturers (Pfizer and Moderna). Both vaccines are based on mRNA and lipid nanoparticles requiring low temperature storage. The Pfizer vaccine requires ultra-low temperature storage (−80 °C to −60 °C), while the Moderna vaccine requires −30 °C storage. Pfizer has designed a reusable package for transportation and storage that can keep the vaccine at the target temperature for 10 days and can accommodate between 1,000 and 5,000 doses. However, the last stage of distribution is quite challenging, especially for rural or suburban areas, where local towns, pharmacy chains and hospitals may not have the infrastructure required to store the vaccine at the required temperature. Additionally, there is limited data available to address ancillary challenges of the distribution framework for both transportation and storage stages, including safety concerns due to human exposure to large amounts of CO₂ from dry-ice sublimation, issues due to the pressure increase caused by dry-ice sublimation, and the potential damage caused by cryogenic temperatures. As such, there is a need for a test dataset to assist the development of a quick, effective, secure, and safe solution to mitigate the challenges faced by vaccine distribution logistics.

To address this gap, this study is to evaluate a local vaccine storage solution which uses refrigerated container technology to ensure a significant increase in vaccine viable time with reliable temperature control. Experimental data have been obtained to assess the technical merits of utilizing container refrigeration units with the ability to control temperature at −30 °C as part of the last mile supply chain for vaccine candidates, specifically:

- Investigate the temperature distribution of refrigeration storage container to assist thermal design optimization of cargo layout for the vaccine cartons for maintaining vaccine temperature within its required temperature tolerances.

---

1Oak Ridge National Laboratory, One Bethel Valley Road, Oak Ridge, TN, 37830, USA. 2Carrier Global Corporation, 6304 Carrier Pkwy, East Syracuse, NY, 13057, USA. e-mail: sunj2@ornl.gov
• Third party temp monitoring system (AWS)
• Third party real-time temperature monitors
• Mechanical scales to measure dry ice weight changes during sublimation to monitoring the dry ice sublimation process.
• Pressure transducer to monitor the pressure differential from inside and outside the refrigeration container unit.

3-phase, 50/60 Hz power. Control system power is provided by a transformer which steps the supply power down to 50/60 hertz (Hz) power. An optional autotransformer may be fitted to allow operation on nominal 190/230 volt, 12 and 24 volts, single phase. The unit uses a microprocessor controller which operates automatically to select cooling, holding or heating as required to maintain the desired set point temperature within very close limits. The controller has a keypad and display for viewing or changing operating parameters, such as various modes of operation.

Vaccine packages. Two types of packages are used in this test: generic insulated box and pharmaceutical box. The specifications of these two packages are listed in Table 1.

Test Instruments. The test units have been fully instrumented for monitoring the performance of proposed ultralow temperature refrigeration for COVID-19 vaccine distribution solution, including temperatures, pressure difference, CO₂/O₂ concentrations. Specifically, type-T thermocouples are used to monitor the temperature profiles of refrigeration container, temperature of packages, supply and return air temperature of refrigeration system. CO₂ and O₂ concentration levels inside of refrigeration container unit are measured through Non-Dispersive InfraRed CO₂ sensor and Figaro O₂ sensor, both are pass through types. Omega Differential Pressure Transmitter measures the pressure difference between inside and outside of refrigeration container unit. Considering the low temperature working environment, mechanical scales are selected to weight dry ice during sublimation. More details of these instruments are given in technical validation section.

Test platforms. Two test platforms have been established for this study. As shown in Fig. 1, the test platform A is set up at indoor lab space. A container refrigeration unit was used with attaching to a 40′ × 8′ × 8.6′ (12.2 m × 2.44 m × 2.62 m) / L × W × H refrigerated container.

The refrigeration storage container unit with attached container is fully instrumented with,

- Thermocouples, locations of thermocouples depend on different tests
- CO₂ Sensor and O₂ sensor to track the changes of CO₂ and O₂ concentration levels inside refrigeration container unit along with dry ice sublimation process.
- Pressure transducer to monitor the pressure differential from inside and outside the refrigeration container
- Mechanical scales to measure dry ice weight changes during sublimation to monitoring the dry ice sublimation process
- Third party real-time temperature monitors
- Third party temp monitoring system (AWS)

For test platform B, the refrigeration storage container unit with attaching to a 40′ × 8′ × 8.6′ (12.2 m × 2.44 m × 2.62 m) / L × W × H refrigerated container is placed outside of building, as shown in Fig. 2. The refrigeration storage container unit with attached container is fully instrumented with,

- Thermocouples, locations of thermocouples depend on different test.
- CO₂ Sensor and O₂ sensor inside container box, both near front at reefer.

### Table 1. Specification of vaccine packages.

|                          | Generic insulated box | Pharmaceutical box |
|--------------------------|-----------------------|--------------------|
| Inside Dimensions (L × W × H) | 16.3/4 × 16.3/4 × 15 (0.425 m × 0.425 m × 0.381 m) | 15.16 × 11.22 × 9.84 (0.385 m × 0.285 m × 0.25 m) |
| Outside Dimensions (L × W × H) | 20.3/4" × 20.3/4" × 19" (0.527 m × 0.527 m × 0.483 m) | 29.45" × 25.51" × 20.59" (0.748 m × 0.648 m × 0.523 m) |
| Wall Thickness            | 2" (0.051 m)          | 2.5" (0.0635 m)    |
| Internal payload volumes  | 68.81 (0.069 m³)      | 281 (0.027 m³)     |
| Wall materials            | Polystyrene foam      | Polystyrene foam   |

### Methods
The dataset was collected from two laboratory test platforms. A detailed description of test hardware and test platforms are given in the following sections.

Refrigeration unit. The test unit is a refrigeration container unit of lightweight aluminum frame construction, designed to be bolted on to the front of a container and serve as the container’s front wall. The unit is self-contained and all electric unit, which includes cooling and heating systems to provide precise temperature control from −35 °C to 30 °C. The unit is supplied with a complete charge of refrigerant and compressor lubricating oil and is ready for operation upon installation. The base unit operates on nominal 380/460 volt, 3-phase, 5/60 hertz (Hz) power. An optional autotransformer may be fitted to allow operation on nominal 190/230 volt, 3-phase, 5/60 Hz power. Control system power is provided by a transformer which steps the supply power down to 12 and 24 volts, single phase. The unit uses a microprocessor controller which operates automatically to select cooling, holding or heating as required to maintain the desired set point temperature within very close limits. The controller has a keypad and display for viewing or changing operating parameters, such as various modes of operation.
The refrigeration system is energized with 460 V/60 Hz 3-phase power source from nearby building and controlled with manufacture provided controller, including data management service and air fresh vent function. The data acquisition system used in this test platform B is composed of Campbell Scientific real-time monitoring and control (RTMC) software, and CR 3000 Micrologger, a compact measurement and control datalogger housed in a portable, self-contained package, with RS-232 communication protocol.

**Data Records**
The data were stored on figshare, a shared platform, that can be accessed publicly. Table 2 summarizes the test data set, which comprises a collection of 2 comma-separated value (CSV) files.

The data includes two laboratory tests conducted in both test platform A and B. The test data record the air temperature distribution of refrigeration container unit, CO² and O² concentration level of refrigeration container unit, and temperature inside of vaccine package. The details of each test are described as following.
Test 1. The first test was conducted in test platform A (Fig. 1). The thermocouples have been installed inside of the refrigeration container unit to monitor the temperature distribution. The locations of these thermocouples are shown in the Fig. 3. 20 Styrofoam boxes (b1 to b20) were placed inside of the refrigeration container unit in two rows along both side walls while one more box (b0) was placed outside in the ambient for comparison purposes. The placement of the boxes can be seen in Fig. 4. The dry ice is delivered and filled in these 21 boxes (b0 to b20) with approximate 50 lbs dry ice going into each of them. A thermocouple is installed inside each of these 21 boxes (Fig. 5), however the locations of thermocouples inside these boxes are not same for all boxes, which will lead the different characteristics of boxes temperature profiles in test 1.

To evaluate the dry ice sublimation, one of the boxes (b11 in the Fig. 4) on the inside of the container was placed on a mechanical scale with a closed caption television camera pointed at the scale. This was also repeated on the outside with the box b0 that was in the ambient for comparison purposes.

A set of O2 and CO2 sensors was utilized by using a diaphragm pump located at the rear of the unit. It was hooked up using plastic tubing to pump the air from the inside of the refrigeration container to the sensor through a hole going through the drain holes of the refrigeration container.

To monitor the pressure differential from inside and outside the refrigeration container, an Omega 5 V differential pressure monitor was installed at the rear of the unit with tube going through the refrigeration units frame and stretching to the pressure monitor.

Test starts with unit reach the setpoint temperature −34.5 °C and 100% open fresh air vent (FAV), and all temperatures are recorded with 10 seconds of sample time. Although CO2 was kept low concentration level, but it is unable to maintain the setpoint. FAV was closed after approximating 24 hours. Approximate 100 hours after the test staring, FAV was opened for dissipation of CO2 while still trying to maintain setpoint inside the refrigeration container. FAV was left open for remainder of test. The mechanical scale reading of boxes (b11 and b0) are recorded through closed caption television camera at 3-hour intervals.

Test 2. The second test was conducted in test platform B (Fig. 2). Thermocouples have been installed inside of the refrigeration container unit to monitor the temperature distribution, as shown in Fig. 6. 20 Styrofoam boxes (B1 to B20) were placed inside of refrigeration container unit in two rows and two layers along both side walls while two boxes were placed inside of laboratory for comparison purposes. The placement of the boxes can be seen in Fig. 7. An empty small payload box was set inside of each package with dry ice surrounding it, as shown in Fig. 8. A thermocouple was placed in the centre of each payload box to represent the vaccine temperature.

| Data file                  | Size (M) | File description                                | Sample time |
|---------------------------|----------|-------------------------------------------------|-------------|
| Test 1: Test conducted in test platform A |          |                                                 |             |
| Test1_TempCO2O2.csv       | 62.6     | Temperature and CO2/O2 Concentration             | 10 sec.     |
| Test1_DryIceWeight.csv    | 0.003    | Weight of dry ice during sublimation             | 3 hours     |
| Test 2: Test conducted in test platform B |          |                                                 |             |
| Test2_TempCO2O2.csv       | 7.3      | Temperature and CO2/O2 Concentration             | 1 min.      |

Table 2. Files of the test dataset.

Fig. 3 Location of thermocouples in refrigeration container in test platform A (Test 1).

Fig. 4 Location of dry ice packages in refrigeration container in test platform A (Test 1).
Similar to test 1, a set of O2 and CO2 sensors was utilized by using a diaphragm pump located at the rear of the unit. It was hooked up using plastic tubing to pump the air from the inside of the refrigeration container to the sensor through a hole going through the drain holes of the refrigeration container.

Test starts with unit reach the setpoint temperature −30 °C and closed FAV. FAV was left closed for entire test. All temperatures are recorded with 1 minute interval. Approximate 66 hours and 210 hours after the test starting, the back door of refrigeration container unit was open to investigate the changes of the CO2/O2 concentration levels inside refrigeration container unit during door-open period. The door was closed after the CO2/O2 concentration levels reaching safe values.

According to the test data, the temperatures of each payload box follow similar temperature profiles, a generic temperature profile of a vaccine payload box can be defined as shown in Fig. 9. The temperature profile can be divided into two regions: a temperature tolerable zone and a temperature non-tolerable zone. If the amount of dry ice left in the vaccine package can't maintain the payload box temperature within the required temperature range of between −80 °C and −60 °C, it is in the temperature non-tolerable zone. Otherwise, it is in the temperature tolerable zone, which can be further divided into two stages: a stable period and an unstable period. In the stable period, the dry ice can keep the payload box at the dry-ice boiling point (sublimation point) temperature of −78.5 °C. When the payload box temperature starts to rise but is still within the required temperature range, it is in the unstable period of the temperature-tolerable zone.

**Technical Validation**

The test refrigeration container unit and vaccine packages were fully instrumented to monitor the operational performance. The measurement includes temperature distribution inside refrigeration container unit, temperature inside vaccine packages, supply and return air temperature of refrigeration system, pressure difference...
between inside and outside of refrigeration container unit, CO2 and O2 concentration level inside refrigeration container unit. The detailed list of the measurement points and specification of the instrumentation are given in Table 3, and the technical quality of the data set can be understood through the accuracy of measurement.

**Fig. 8** package with loaded dry ice and payload box insider (Test 2).

**Fig. 9** Generic temperature profile of vaccine payload box.

| Instruments                          | Measurement                                                                 | Measurement Range                                                                 | Accuracy                                                                 |
|--------------------------------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------|
| Type-T thermocouple                  | Temperature distribution of container and packages, Supply and return air temperature of refrigeration system | Element temp range is $-195^\circ$C$(-320^\circ$F) to $371^\circ$C($700^\circ$F), insulation rated to 221 F | $\pm 0.5^\circ$C ($\pm 0.9^\circ$F)                                      |
| CO2 sensor, NDIR Non-Dispersive InfraRed, pass through type, 5VDC input | CO2 level inside of container                                                | 1 to 4.7 VDC for 0 to 30% Vol. CO2, Concentration linear                         | $\pm [0.2\%\text{vol. CO2} \pm 3\% \text{of measured value}]$ $\pm [20\text{mV} \pm 2\% \text{of measured volts}]$ $8.11%/\text{V}$ |
| Figaro O2 sensor, Electrochemical Fuel Cell, pass through type, Amplified with 5 VDC input | O2 level inside of container                                                 | 0.144 to Span Voltage (Calibration 20.8% Vol., value)                             | $+/− 1\%$                                                               |
| Omega Differential Pressure Transmitter, Diaphragm Differential Pressure, 12 to 36 VDC input | pressure difference between inside and outside of refrigeration container unit | 1 to 5 VDC for $−10$ to $10$ IWG (Inch Water Gauge)                              | As good as calibrated 0.05%                                               |
| Scale (Mechanical)                   | weight                                                                      | 0–31.75 kg (70 lbs)                                                              | 0.567 kg (1/8 lbs)                                                       |

**Table 3.** Specification of instruments.
Simulation models. Beside the measurement, simulation models were also used to present the technical quality of the data set. A computational fluid dynamic (CFD) simulation model has been created using a commercial software, ANSYS/FLUENT (version 17.2), to replicate the experiments setup, including the size of the container and the size of the box, the distribution of the boxes. The sizes of the container and package (L × W × H) are 12.2 m × 2.4 m × 2.6 m and 0.53 m × 0.53 m × 0.48 m, respectively. A mesh of 1.7 million cells was generated for the simulation. Since the supply air is colder, the air inlets (supply air) locate at the floor of the container, where a series of T-shaped bars are used as diffuser to disperse the air flow. The return air locates at the top of the AC unite as the outlet of the flow loop of the container. The boundary conditions of the CFD model, including temperatures of the container walls, temperatures of the T-shaped bars, temperature of the supply air, and flow rate of the supply air are from the experiments. In this model, the adiabatic boundary conditions were applied to the boxes assuming that boxes are perfectly insulated. Equations of fluid flow and heat transfer are solved in the model. The buoyancy force effect is considered in the model by employing Boussinesq approximation due to the density change of air with various temperature. A standard \( k-\varepsilon \) model is used to describe the turbulence flow.

To validate the model, the experimental data are used to compared with steady-state simulation results generated by developed CFD model under the same conditions as the experiment. Temperatures were compared from six data points in the container (as shown in Fig. 10), as well as at the return air grille. Table 4 shows the comparison results. The temperatures from the CFD model were slightly lower than the experimental data, which may be due to the uncertainty in the testing. Nevertheless, the results show a reasonable agreement between experimental data and simulation results.

**Table 4.** The comparisons of temperatures from six data points and at the return air grille.

| Data point | Model Prediction (°C) | Experiment (°C) |
|------------|------------------------|----------------|
| Point 1    | −35.58                 | −34.5          |
| Point 2    | −35.40                 | −35.2          |
| Point 3    | −35.79                 | −35.5          |
| Point 4    | −35.80                 | −35.0          |
| Point 5    | −35.87                 | −34.8          |
| Point 6    | −35.59                 | −35.1          |
| Return air | −35.73                 | −35.4          |

**Fig. 10** Locations of the six thermocouples to measure the temperature distribution in the container.
References
1. U.S. Department of Health and Human Services, Centers for Disease Control and Prevention (CDC), 2021. Vaccine storage and handling toolkit – updating with COVID-19 vaccine storage and handling information addendum added March 4, 2021 https://www.cdc.gov/vaccines/hcp/admin/storage/toolkit/vaccine-storage-handling-toolkit.pdf.
2. Paris, C. and Hopkins, J. S. Pfizer Sets Up Its 'Biggest Ever' Vaccination Distribution Campaign, The Wall Street Journal, Oct. 21, 2020 https://www.wsj.com/articles/pfizer-sets-up-its-biggest-ever-vaccination-distribution-campaign-11603276114.
3. Marson, J. Horner, W. and Hopkins, J. S. Fragile Covid-19 Vaccine Rolled Out in the U.K. Tests Global Supply Network, Dec. 2020, The Wall Street Journal, https://www.wsj.com/articles/fragile-covid-19-vaccine-rolled-out-in-the-u-k-tests-global-supply-network-11607468333.
4. Owens, C. Vaccinating rural America won’t be easy, Nov. 30, 2020. https://www.axios.com/coronavirus-vaccine-rural-america-08f26b2c-b4a2-4a3c-b794-25fd394a629a.html.
5. Heilweil, R., How quick can US distribute a Covid-19 vaccine? Here are the four biggest logistical challenges, Dec. 7, 2020 Recode, https://www.vox.com/recode/22115173/vaccine-covid-19-pfizer-glass-syringes-needles-freezers.
6. Sun, J. et al. Test Data of Ultralow Temperature Refrigeration for COVID 19 Vaccine Distribution Solution. figshare https://doi.org/10.6084/m9.figshare.14888121 (2021).
7. Zhang, M. et al. A study on computational fluid dynamics modeling of a refrigerated container for COVID-19 vaccine distribution with experimental validation. International Communications in Heat and Mass Transfer 130, 105749, https://doi.org/10.1016/j.icheatmasstransfer.2021.105749 (2022).
8. ANSYS, ANSYS FLUENT 17.2 Theory Guide, 2017.

Acknowledgements
Funding for this research was provided by the US Department of Energy, Office of Energy Efficiency and Renewable Energy, Building Technology Office. The authors would like to thank Erika Gupta, Samuel Petty, and Ed Vineyard, Program Managers of Building Technologies Office, for their support of this work. The authors would like to thank the support from Gerald Barth, Margret Smith, Brandy Milun of ORNL to support the preparation of the lab test 2. The authors would like to thank leadership team's support from both ORNL ESTD (Xin Sun, Lonnie Love, Marti Head, Ron Ott, Richard Raines, Robert Wagner, Yarom Polsky) and Carrier Global Corporation (Bruce Hoopes, Yu Chen, Stella Oggianu, James Fan). This manuscript has been authored in part by UT-Battelle, LLC, under contract DE-AC05-00OR22725 with the US Department of Energy (DOE). The US government retains and publishes the article, by accepting the article for publication, acknowledges that the US government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this manuscript, or allow others to do so, for US government purposes. DOE will provide public access to these results of federally sponsored research in accordance with the DOE Public Access Plan (http://energy.gov/downloads/doe-public-access-plan).

Author contributions
Jian Sun is the Principal Investigator, designed and conducted the tests and wrote the first draft of the manuscript. Mingkan Zhang conducted simulation work. Anthony Gehl supported the laboratory test 2. Brian Fricke, Kashif Nawaz, Kyle Gluesenkamp, Bo Shen and Jeff Munk support the test preparation, test design and test results review of test 2. Joe Hagerman and Melissa Lapsa led the overall effort and found funds. Nader Awwad and Chris Recipe led the test 1 efforts. Doug Auyer and David Brisson conducted the test 1. All authors contribute the manuscript preparation.

Competing interests
The authors declare no competing interests.

Additional information
Correspondence and requests for materials should be addressed to J.S.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher’s note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article’s Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article’s Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/.

The Creative Commons Public Domain Dedication waiver http://creativecommons.org/publicdomain/zero/1.0/ applies to the metadata files associated with this article.

This is a U.S. government work and not under copyright protection in the U.S.; foreign copyright protection may apply 2022