Comprehensive calculation of the energy per ion pair or $W$ values for five major planetary upper atmospheres

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Abstract. The mean energy $W$ expended in a collision of electrons with atmospheric gases is a useful parameter for fast aeronomy computations. Computing this parameter in transport kinetic models with experimental values can tell us more about the number of processes that have to be taken into account and the uncertainties of the models. We present here computations for several atmospheric gases of planetological interest (CO$_2$, CO, N$_2$, O$_2$, O, CH$_4$, H, He) using a family of multi-stream kinetic transport codes. Results for complete atmospheres for Venus, Earth, Mars, Jupiter and Titan are also shown for the first time. A simple method is derived to calculate $W$ of gas mixtures from single-component gases and is conclusively checked against the $W$ values of these planetary atmospheres. Discrepancies between experimental and theoretical values show where improvements can be made in the measurement of excitation and dissociation cross-sections of specific neutral species, such as CO$_2$ and CO.

Keywords. Ionosphere (Planetary ionospheres) – Space plasma physics (Ionization processes; Transport processes)

1 Introduction

The mean energy expended by an electron in colliding with an atmospheric molecule is a useful metric in determining overall ionization efficiency of a gas or gas mixture. Following a method proposed by Chamberlain (1961), the ion and electron production height profiles can be calculated to derive the emission lines without having to solve a kinetic transport equation. Even though computers today are much more powerful than before, multi-stream transport codes are sparse in a planetology context. Therefore, many recent works still use the Chamberlain method (e.g., Semeter and Kalamabadi, 2005). This parameter, noted $W$, is sometimes called “$W$ value” (Samson and Haddad, 1975), “energy loss per ion pair” (Kozelov and Ivanov, 1994) or, simply, “mean energy per ion pair” (Edgar et al., 1973). Its variation with the energy of an incident electron depends on the interplay between ionization, excitation and heating processes. $W$ is expressed as:

$$W = E_i / < N > \ [\text{eV}]$$

Where $E_i$ is the energy of the incident electron and $< N >$ the average number of electron-ion pairs produced. An equivalent way to write it is:

$$W_{\text{full}} = Q_{\text{full}} / P_i$$

Where $Q_{\text{full}}$ is the total energy input flux in eV cm$^{-2}$ s$^{-1}$ that will be absorbed through ionisation, excitation and heating and $P_i$ is the total column ion production rate (cm$^{-2}$ s$^{-1}$).

1.1 Previous studies

Some of the first experimental works quantified $W$ for He, Ar, H$_2$, CO$_2$ and N$_2$ (Lehmann and Osgood, 1927). Bagge (1937) and then Fano (1946) derived approximate theoretical formulas for $W$ as a ratio of cross sections showing that, while $W$ had different values for different gases, it was of the order of 30 eV and was nearly independent of the ionising radiation. Measurements on molecular hydrogen confirmed that this ratio is approximately constant around 1 keV and equalled 35 to 37 eV (e.g., Dalgarno and Griffing, 1958, and references therein).

Theoretical interpretations such as Bethe’s (1930) and Dalgarno and Griffing’s (1958) produced the first consistent model of the degradation of an electron/proton beam in atomic hydrogen using the Bethe-Born formalism ($W$ was found constant at 36 eV/pair above 1 keV). Jesse and Sadaukis (1957) measured the impact of $\alpha$ and $\beta$ particles from $^{35}$S in an ionisation chamber filled with gases...
of N$_2$, O$_2$, CO$_2$, CH$_4$, H$_2$ and He, reporting uncertainties of the order of 1.5%. These data are summarised in Table 1 and show a significant variation depending on the nature of the impacting particle except for rare gases and H$_2$.

Valentine and Curran (1958) derived formulas using partial pressures to calculate $W$ for a mixture of gases knowing the value for each gas while Platzman (1961) stressed three ways to calculate $W$ (from cross sections, from energy balance and from the degradation spectrum).

As an application to Earth’s ionosphere, Chamberlain (1961) and then Rees (1963) proposed a formula to retrieve the total ionisation rate for mono-energetic electron beams of energy $E_p$:

$$q(z,E_p) = \eta(z,E_p)/W_{\text{full}} \left[ \text{cm}^{-3} \text{s}^{-1} \right]$$

where $\eta(z,E_p)$ is the energy deposition rate in eV cm$^{-3}$s$^{-1}$ to be computed using the energy dissipation function (as formulated, for example, in Sergienko and Ivanov, 1993). $W_{\text{full}}$ is usually taken to be constant equal to 35 eV (Rees, 1989). This approach enabled the fast computation of the energy deposition in Earth’s atmosphere without using a more time-consuming transport code.

By using new data sets for the study of auroral and dayglow intensities, Stolarski and Green (1967) took into account electron energies less than 30 keV in a mixture of gases made of 45% of N$_2$, 45% of O$_2$ and 10% of O representative of thermospheric altitudes. They calculated a $W$ value of 32.3 eV at 1 keV.

Edgar et al. (1973) reconsidered the problem for proton precipitation at Earth. They produced a complete curve of the $W$ values for protons of energies ranging from 100 eV to 10 MeV. In pure nitrogen N$_2$, this approach resulted in an energy per ion pair equal to 38 eV at 100 keV and 35 eV at 1 MeV. Two regimes were identified with charge exchange reactions being efficient up to 100 keV while primary proton-ionisation and secondary electron production take over for higher incident proton energies. The value at high energies was close to the value found for an electron beam or for alpha particles.

What is the influence of inner K-shell ionisation on the energy loss per ion pair? Khare and Kumar (1977, 1978) published two studies on the subject using Fowler’s theoretical method (see Inokuti, 1975). $W$ values in N$_2$ and O$_2$ were found to be 36.9 eV at 1 keV and 30.7 eV at 500 eV, respectively, both within the span of experimental values. It is to be noted that the inclusion of Auger electron ionisation decreased the originally much too large $W$ values calculated by Khare (1970, 1971) by up to 6% allowing his final results to be close to experimental values (see Avakyan and Kudryashev, 1988, for a critical account of the current methods to calculate the energy per ion pair and the inclusion of Auger processes).
Theoretical studies were carried out in different gases by Green et al. (1977) using a discrete-energy-bin algorithm to calculate the yield spectra for nine gases including argon. Their results at 1 keV incident energy are summarised in Table 1.

As computing power increased, kinetic transport methods became more common. Pioneering studies included Bre-tagne et al. (1981) and Strickland and Ali (1982). Slinker et al. (1988, 1990) solved a kinetic transport equation to describe the discrete entry of high energy electrons in atomic oxygen O and nitrogen N2 and found W to be 27.9 eV and 38.8 eV at 1 keV.

Sergienko and Ivanov (1993) computed the energy per ion pair in a multi-constituent Earth atmosphere for auroral electrons with a Monte Carlo code. Their parametrisation of the energy lost in the ion and excitation states, called the "excitation energy cost", allowed to derive production profiles of excited and ionized states of N2, O2 and O without solving the Boltzmann transport equation. The energy losses per ion pair for N2, O2 and O were found to be 36.8 eV, 28.2 eV and 26.8 eV at 1 keV input energy (Table 1).

Kozelov and Ivanov (1994) extended this previous work to proton precipitation. Adopting the remark of Basu et al. (1993), they noted that the total energy deposited in the atmosphere was not the same as the incident energy of the beam because of the backscattered flux. They found an asymptotic value of 35 eV at Ei ≤ 1 keV in a (N2, O2, O) atmosphere.

Strickland et al. (1993) developed a kinetic electron-proton transport model to yield the energy deposition in an atmosphere given by the thermospheric model MSIS (Hedin, 1991). Electrons and protons behaved differently: the W value for electrons was rather constant at around 34 eV from 100 eV to 100 keV when taking into account the backscattered flux, while the variation for a pure proton beam was much more important (26 to 30 eV from 1 keV to 20 keV protons).

1.2 Motivation

In spite of the different values cited above, the mean value of 35 eV/pair is currently adopted in the aeronomic community (Rees, 1989). Not only is it used for Earth modelling but, as planetology progresses, it is also used for the study of planetary atmospheres. In the present paper, we wish to reconsider this value for Earth, Mars, Venus, Jupiter and Titan using a kinetic transport code based on Boltzmann’s formalism and atmosphere models representing realistic atmospheres.

Following the conclusions of the ICRU report 31 (1993), the consistent modelling of W values must take into account two ingredients: first, the accurate determination of all electron collision inelastic cross sections including excitation, molecular dissociation, total ionisation and differential ionisation (secondary electron); second, the bookkeeping, i.e., the assessment of the overall cumulative influence of each inelastic cross section. Thus, the differences between the results of a model and experimental values tells us about the comprehensiveness and the reliability of the cross section data sets used in models. These ingredients are crucial for a quantitative understanding of planetary upper atmospheres as a whole in order to prepare for new exploration missions. W values can then be used as a means of testing the accuracy and completeness of our cross section databases.

2 Description of the model and uncertainties

Basu et al. (1993) already mentioned that the value may be different when considering backscattering or not. This is not a simple statement. In both cases of electron and proton precipitation, a non-negligible fraction of the ions/electrons are sent back to the magnetosphere (Lilensten et al., 1990). In the case of cosmic rays for example, the main ionization occurs so low in the atmospheres that there is hardly any energy backscattered. However, the value of 35 eV is also used in this case. This is why it is necessary to consider the two values Qfull and QBS. Qfull, the “full ionization cost”, is considered when there is no backscattering. Qnet, the “net ionization cost”, takes backscattering QBS into account so that:

\[ Q_{\text{net}} = Q_{\text{full}} - Q_{\text{BS}} \, [{\text{eV cm}}^{-2} \text{s}^{-1}] \]  \hspace{1cm} (4)

While Wfull is directly linked to experimental measurements, Wnet is the relevant quantity in a numerical model where energy conservation is of crucial importance.

We use the TRANS-* family of codes adapted to Earth (Simon et al., 2007), Venus (Gronoff et al., 2007, 2008), Mars (Simon et al., 2009), Titan (Lilensten et al., 2005; Gronoff et al., 2009) and Jupiter (Ménager et al., 2010) as discussed below. The TRANS-* codes solve the 1-D kinetic transport Boltzmann equation for suprathermal electrons including updated elastic, ionisation, excitation and dissociative cross sections. Cross sections and their corresponding uncertainties are reported and detailed in the recent review works of Johnson et al. (2005) for O, Itikawa (2002, 2006, 2009) for CO2, N2 and O2 and Avakyan (1998) for all other species.

In this study, the main inputs are electron precipitation spectra and neutral atmospheres. Each neutral atmosphere model is used for night conditions with a solar zenith angle superior to 108°. Middle latitudes of 50° are used whenever possible, and solar activity is taken to be low (f10.7 = 100). For Earth’s thermosphere, we use the model MSIS-90 (Hedin, 1991) with N2, O2, O as main three components. For Venus we use VTS3 (Hedin et al., 1983) with CO2, N2, CO, O, H and N. O2 is manually included with [O2] = 10^{-3} [CO2] as in Gronoff et al. (2007). For Mars, we use MTGCM (Bougher et al., 1999) with CO2, CO, N2, O2 and O applied to the Viking/Mariner conditions (e.g., Simon et al., 2009). For Titan, we use the model of Müller-Wodarg et al. (2000) and Cui et al. (2009) with N2 and CH4. For Jupiter, we use
the auroral model of Grodent and Gérard (2001) with H, H2, He and CH4.

The source of the electrons is set in the planetary exospheres (800 km altitude for Earth, 500 km for Mars and Venus, 1000 km for Titan, 1850 km for Jupiter). We tested several shapes of electron precipitation (Dirac, Gaussian, Maxwellian) with characteristic energies varying from the ionisation threshold up to 10^6 eV. The two first distributions yield similar results while Maxwellian distributions are too extended in energy to be safely interpreted within the frame of this study. The integrated energy flux is 1 erg cm\(^{-2}\) s\(^{-1}\) for all planets, even though this parameter has no influence on the ionisation cost.

Since we are computing the energy per electron-ion pair, the numerical uncertainty on \(W\) is given by the energy conservation of the numerical model as well as the intrinsic cross section uncertainties. Uncertainties propagate in numerical models and are very seldom taken into account. For instance, following Cassini measurements of Titan’s atmosphere, a whole domain of planetary chemistry is rapidly emerging which aims at evaluating the effects of chemical parameter uncertainties (such as reaction rates) on the models (see for example Carrasco et al., 2007). It is therefore of prime importance to evaluate the uncertainty on \(W\) and assess this error propagation in future planetary models.

- For an ideal energy conservation of 100%, the total absorbed flux equals the input flux minus the backscattered one. For Earth, Mars, Venus and Titan, we performed hundreds of runs varying the resolution of the energy grid to reach an energy conservation better than 98%. For Jupiter, an energy conservation of 95% on average was reached. When computing \(W\) values in single-constituent atmospheres, the uncertainties on cross sections must also be carefully checked. For O2, it is very difficult to estimate cross sections for energies above 1 keV: extrapolation schemes suffer from uncertainties, which in the case of O2 can amount to a few percent at 2 keV input energy on top of the intrinsic cross section uncertainties.

- The comprehensive inclusion of molecular and atomic excitation cross sections (bookkeeping) is crucial as it can account for a large part of the absorbed input energy. To calculate the accurate statistical propagation of cross section uncertainties in our model and the effect on \(W\) values, a Monte Carlo approach is used by assuming that the claimed cross section uncertainties follow a normal law (see Bevington and Robinson, 2003). By fitting a Gaussian on the resulting distribution, a 1\(\sigma\) error can be defined which is a good estimate of cross section uncertainties on \(W\) values. These uncertainties are summarised in Table 1. To propagate the cross section uncertainty, we assume that the claimed uncertainty in the sources (mainly measurements) are equal to the standard deviation (e.g. 1-\(\sigma\)) of the normal law.

To account for differential cross sections, the approach of Lummerzheim and Liliensten (1994) based on the measurements of Opal et al. (1971) for N2, O2 and O was adopted for the other species. This particular cross section is then computed on the basis of the ionisation cross sections, which ensures a good conservation of the secondary electron energy distribution. The propagated uncertainty of this cross section on \(W\) is too small compared to that of the total uncertainties on ionisation and excitation cross sections to have physical significance. Concerning the angular redistribution phase function, which is very important for the study of backscattered electrons, our sensitivity studies showed no influence on the \(W\) parameter when varying the parameters of the phase function based on the work of Porter and Jump (1978) and Porter et al. (1987).

Auger processes (K-shell ionisation) can also be included in the model and a discussion is presented in the results section. Despite the lack of experimental and theoretical studies on K-shell electron impact cross sections for molecules (Avakyan, 1998) a rough estimate can however be deduced from the data of Frémont et al. (2006) and from Glupe and Mehlhorn (1967) for O. We used the ratio K-shell ionisation/total ionisation derived from these two studies to compute the corresponding K-shell cross sections. As no data are available for the ratio of CO2 and CO, the ratio of CH4, which lies between the C and O ratios, is used as a first estimate. The estimation of these cross sections and their resulting effects are interesting from an observational point of view and will be discussed in more depth in a future work.

3 Results and discussion

3.1 Planetary atmospheres

Figure 1 shows the \(W_{\text{net}}\) values computed by the model TRANS* for several planets including Earth. Their behaviour is consistent with previous experimental and theoretical studies. From the ionisation threshold to about 100 eV of input characteristic energy, the \(W_{\text{net}}\) value decreases from more than 100 eV per ion pair to reach a plateau at values depending on the planet. The values at 2 keV input energy are summarised in Table 1 and vary from 28.4 eV at Mars to 36.2 eV at Jupiter. The \(W\) value for Earth of 31.7 eV, derived from a mixed (N2, O2, O) atmosphere is smaller than the value usually accepted which is derived from a pure N2 atmosphere. Earth’s value of 31.7 \pm 1.7 eV is consistent with previous theoretical studies of the upper atmosphere (Green et al., 1977).

\(W_{\text{full}}\) is usually higher than \(W_{\text{net}}\) at low energies. This is due to the fact that at low energies, the energy deposition occurs at higher altitudes: the backscattered term is more important and energy escapes from the atmosphere, which is not taken into account in \(W_{\text{full}}\). Above 100 eV the electrons penetrate deeper in the atmosphere where backscattered fluxes are
redistributed and take part directly in the energy deposition. Hence \( W_{\text{full}} \) reaches the asymptotic value \( W_{\text{net}} \) at energies above a few hundreds eV for all species.

Several phases are successively seen. The start-up phase is under 60 eV where very few electron-ion pairs are created as excitation and heating are more efficient at these energies. At the ionisation threshold, \( W \) becomes infinite. The recovery phase begins when ionisation processes become important with respect to excitation and more pairs are created: the curve decreases more slowly with increasing energies from 60 eV up to 100 eV typically. Finally, the equilibrium phase corresponds to the plateau observed between 400 and a few keV depending on the planet: the decrease of the cross sections is compensated by the increase in energy input. On Mars and Venus, a plateau is reached at 600 eV up to higher energies: at the altitude of deposition of 2 keV electrons (120 km altitude and downwards), the atmosphere composition and the relative proportion of main constituents do not change significantly any more. For Earth, 1–5 keV electrons deposit their energy between 130 and 115 km altitude, where the thermosphere composition undergoes dramatic changes (competition between the three mains species \( \text{N}_2 \), \( \text{O}_2 \) and \( \text{O} \)); in this case a plateau is reached at higher energies than 5 keV. Above 5 keV, Auger processes must be taken into account. Auger electrons contribute to around 30% of the total electron energy flux as shown in Avakyan (1983). However when calculating the energy degradation of Auger electrons we found that the modified \( W \) value including Auger processes remains within 1% of the initial value derived for input energies below 5 keV, a percentage still within our computation uncertainties. As a consequence, below this input energy, Auger electrons do not play a significant role in the average energy per ion pair.

There is little effect of the atmosphere variation, as shown in Fig. 2 for Earth. We use the neutral atmosphere model MSIS for different latitudes (50° and 80°) and two different solar conditions (\( f_{10.7} = 100 \) and 300). The latitudinal variations of \( W_{\text{net}} \) of around 1 eV remain within the error bars.

### 3.2 Single-constituent atmospheres and the bookkeeping problem

Several authors have published values for single gases, both experimental and theoretical. The underlying motivation is to find the ionisation cost of a planetary atmosphere through a linear combination of the ionisation cost of each separate constituent. We test the validity of this assumption by computing the net ionisation costs for the constituents of Mars’ and Earth’s atmosphere, using their respective density profiles in the model for the sake of consistency.

The results shown in Table 1 and Fig. 3 for \( \text{N}_2 \), \( \text{O}_2 \) and \( \text{O} \) are in good agreement with previous theoretical studies (Green et al., 1977; Sergienko and Ivanov, 1993) except with that of Fox et al. (2008). Within the error bars, the results for \( \text{H}, \text{H}_2 \) and \( \text{He} \) are identical to those of Dalgarno et al. (1999) and Fox et al. (2008). The results for \( \text{CO} \) and \( \text{CO}_2 \) disagree by around 5 eV (Green et al., 1977). This is explained by the fact that we have included all known dissociation channels from the work of Itikawa (2002, 2006, 2009) and Avakyan (1998): for instance \( \text{CO}_2 \) can yield \( \text{CO}_2^+ \) and \( \text{CO}^+ \) but also the fragments \( \text{C}^+ \) and \( \text{O}^+ \). If we do not take the latter fragments into account, \( W \) values become consistent (34.0 eV) with previous theoretical studies. Thus, this could explain the apparent agreement of previous works with experiments and emphasises the need for a central database for cross sections.

When compared to experimental measurements, our results are in very good agreement for \( \text{N}_2 \), \( \text{O}, \text{CH}_4 \) and \( \text{H}_2 \). A large discrepancy is seen for \( \text{He} \), but none of the theoretical studies including ours manage to reproduce the experimental value. For \( \text{CO}_2 \) and \( \text{CO} \), the results of the model are systematically lower than the experimental values by around 5 eV. This points out to the bookkeeping problem: including all...
documented inelastic collisions is not enough to reproduce experimental values. As shown in Itikawa (2002), uncertainties for recommended CO$_2$ cross sections vary significantly: 10% in the case of ionisation), 12% for dissociation producing neutral fragments and up to 30% for electronic excited states (some ambiguity remaining in the assignment of the states).

To summarise the bookkeeping problem, all $W$ values have been plotted in Fig. 4 from the data of Table 1 for all authors. It is clear from this figure that we have a comprehensive and reliable cross section data set for some species (N$_2$, O, CH$_4$ and H$_2$), while for CO$_2$, CO and O$_2$ the uncertainties on the cross sections are much too large to yield consistent values. To remove the ambiguity, laboratory measurements should be conducted on electronic excited states of these molecules. A NASA report is being prepared on cross sections and their uncertainties and how they propagate in the computations of aeronomy ionisation and production profiles. This report will also discuss the work needed to improve our database for aeronomic calculations.

Finally, from single species values it is possible to retrieve the $W$ values of the complete atmospheres by way of a statistical weight in the linear combinations of single-constituent $W$. Valentine and Curran (1958) proposed to use the densities or the partial pressure of constituents. We propose a different and simpler empirical method for planetary atmospheres which uses instead the partial column densities of each constituent. Ion productions are indeed a function of the column density, more precisely calculated at the ionisation peak. Hence, to get a good enough approximation of $W$, we perform a linear combination of single constituents with partial column densities for the entire thermosphere.

For Mariner 6 conditions given by MTGCM (Bougher et al., 1999), the partial column densities above 100 km altitude are 95.5% CO$_2$, 3% N$_2$, 0.6% CO and 0.5% O. The linear combination of these single constituents yields a reconstituted Mars value $W_{\text{Mars}}$:

$$W_{\text{Mars}} = 0.955 W_{\text{CO}_2} + 0.030 W_{\text{N}_2} + 0.006 W_{\text{CO}} + 0.005 W_{\text{O}}$$

which is in good agreement owing to uncertainties with the overall value of 28.4 eV computed in Table 1. At Earth, the column density above 90 km altitude is 79% N$_2$, 18% O$_2$ and 3% O yielding a recomposed $W_{\text{net}}$ value of 32.9 eV, to be compared with the value of 31.7 eV from Table 1 for the complete Earth atmosphere. Both values lie well within the error bars due to the energy conservation and due to cross sections uncertainties. The same linear combination of the single-constituent energy dependences was also performed in Fig. 5 and compares well with the original calculated full atmosphere $W$ values. The curves lie within 1 eV at energies above 100 eV: for Mars, the agreement is nearly perfect as the atmosphere does not vary significantly in composition with altitude, while at Earth, which atmosphere undergoes large changes above the ionisation peak (lower energies), differences up to a few eV are seen below 100 eV input energy.
4 Conclusions

For the first time, a comprehensive calculation of $W$ values for five major planetary objects in the Solar System has been carried out taking into account the errors due to cross section uncertainties and to numerical approximations. Table 1 summarizes the results of this work, which are in good agreement with previous studies, both experimental and theoretical, for a number of gases of planetology interest including $N_2$, $O$, $CH_4$ and $H_2$. This work will be used in a second step to estimate quickly and with reliability the energy deposition of electrons in the upper atmospheres of Mars, Venus, Titan and Jupiter, in view of present and future missions. Following these results, we propose a simple empirical method to retrieve the energy per electron-ion pair for multi-constituent atmospheres by using the partial column density of the thermospheric components instead of partial pressures. We stress the fact that there exists a significant discrepancy between experimental and theoretical records for $CO_2$, $CO$ and $O_2$, which stems from the large uncertainties in the inelastic cross sections available in the literature and also the non-inclusion of the preceding models of all dissociation channels. Improvements on the measurement of these cross sections and also of Auger processes in $CO_2$ and $CO$ are strongly encouraged.

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