Minimal Varieties and Identities of Relatively Free Algebras

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Abstract

Let $K$ be a field of characteristic zero and let $\mathcal{M}_5$ be the variety of associative algebras over $K$, defined by the identity $[x_1, x_2][x_3, x_4, x_5]$. It is well-known that such variety is a minimal variety and that is generated by the algebra

$$A = \begin{pmatrix} E_0 & E \\ 0 & E \end{pmatrix},$$

where $E = E_0 \oplus E_1$ is the Grassmann algebra. In this paper, for any positive integer $k$, we describe the polynomial identities of the relatively free algebras of rank $k$ of $\mathcal{M}_5$,

$$F_k(\mathcal{M}_5) = \frac{K\langle x_1, \ldots, x_k \rangle}{K\langle x_1, \ldots, x_k \rangle \cap T(\mathcal{M}_5)}.$$

It turns out that such algebras satisfy the same polynomial identities of some algebras used in the description of the subvarieties of $\mathcal{M}_5$, given by Di Vincenzo, Drensky and Nardozza.

1 Introduction

Let $K$ be a field of characteristic 0, and let $X = \{x_1, x_2, \ldots\}$ be an infinite countable set. We denote by $K\langle X \rangle$ the free associative unitary algebra

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freely generated by $X$. We say that an associative algebra $A$ is an \textit{algebra with polynomial identity} (PI-algebra, for short) if there exists a nonzero polynomial \( f = f(x_1, \ldots, x_n) \in K\langle X \rangle \) such that \( f(a_1, \ldots, a_n) = 0 \), for any elements \( a_1, \ldots, a_n \in A \). In this case, we say that $f$ is a polynomial identity of $A$, or simply that $A$ satisfies $f$.

If $A$ is a PI-algebra then $T(A) = \{ f \in K\langle X \rangle \mid f \text{ is an identity of } A \}$ is an ideal of $K\langle X \rangle$ which is invariant under any endomorphism of the algebra $K\langle X \rangle$. An ideal with this property is called a $T$-\textit{ideal} or \textit{verbal ideal} of $K\langle X \rangle$. We refer the reader to [2, 7] for the basic theory of PI-algebras.

The $T$-ideals play a central role in the theory of PI-algebras, and they are often studied through its equivalent notion of varieties of algebras. If $\mathfrak{F}$ is a subset of $K\langle X \rangle$, the class of all algebras satisfying the identities from $\mathfrak{F}$ is called the variety of (associative) algebras defined by $\mathfrak{F}$ and denoted by $\text{var}(\mathfrak{F})$. Given $\mathfrak{V}$ and $\mathfrak{W}$ varieties of algebras, we say that $\mathfrak{W}$ is a subvariety of $\mathfrak{V}$ if $\mathfrak{W} \subseteq \mathfrak{V}$. If $\mathfrak{V}$ is a variety, we denote $\text{var}(\mathfrak{V})$ by $\text{var}(\mathfrak{V})$.

If $\mathfrak{V}$ is a variety and $T(\mathfrak{V}) = T(A)$ for some associative algebra $A$, we say that $A$ generates the variety $\mathfrak{V}$. It can be shown that $\mathfrak{V}$ is generated by the factor algebra

\[ F(\mathfrak{V}) = \frac{K\langle X \rangle}{T(\mathfrak{V})} \]

called the relatively free algebra of $\mathfrak{V}$.

If $\mathfrak{V}$ is a variety, the relatively free algebra of $\mathfrak{V}$ satisfies a universal property: given $A \in \mathfrak{V}$ and an arbitrary function $\varphi_0 : X \rightarrow A$, there exists a unique homomorphism of algebras $\varphi : F(\mathfrak{V}) \rightarrow A$ extending $\varphi_0$. One can also define the relatively free algebra of finite rank. If $k$ is a positive integer, the relatively free algebra of $\mathfrak{V}$ of rank $k$ is the factor algebra

\[ F_k(\mathfrak{V}) = \frac{K\langle x_1, \ldots, x_k \rangle}{T(\mathfrak{V}) \cap K\langle x_1, \ldots, x_k \rangle}, \]

which also satisfies a similar universal property. Of course, for any $k$, $F_k(\mathfrak{V}) \in \mathfrak{V}$, but it is not true in general that there exists $k$ such that $\mathfrak{V}$ is generated by $F_k(\mathfrak{V})$. If such $k$ exists, we say that $\mathfrak{V}$ has (finite) \textit{basic rank} $k$. Otherwise, $\mathfrak{V}$ has \textit{infinite basic rank}. For example, the algebra of $n \times n$ matrices over $K$ generates a variety of basic rank 2, while the variety generated by the infinite
variety of algebras. If $V$ is a variety, then

$$\Gamma(V) := \dim \frac{P_n}{P_n \cap T(V)}$$

is called the codimension sequence of $V$. Regev proved that if $\mathfrak{V}$ is a nontrivial variety, then $c_n(\mathfrak{V})$ is exponentially bounded. In particular, it is well defined $e.x.p(\mathfrak{V}) := \limsup \sqrt[n]{c_n(\mathfrak{V})}$, called the exponent of $\mathfrak{V}$.

Amitsur conjectured in the 80’s that for any variety, the limit $\lim_{n \to \infty} \sqrt[n]{c_n(\mathfrak{V})}$ exists and is an integer. This result was only established by Giambruno and Zaicev in the end of the 90’s [4, 5].

A description of varieties of algebras with small exponent is known. A variety $\mathfrak{V}$ has exponent 1 if and only if $c_n(\mathfrak{V})$ is polynomially bounded, which is equivalent to $E \not\in \mathfrak{V}$ and $UT_3(K) \not\in \mathfrak{V}$ [9, 10]. Here $UT_n(K)$ is the algebra of $n \times n$ upper-triangular matrices over $K$. Giambruno and Zaicev [6] listed five algebras with the property that a variety has exponent $\leq 2$ if and only if it does not contain any of these algebras. The algebras listed by Giambruno and Zaicev are $UT_3(K)$, $M_2(K)$ and the subalgebras of $M_2(E)$:

$$A = \begin{pmatrix} E_0 & E \\ 0 & E \end{pmatrix}, \quad A' = \begin{pmatrix} E & E \\ 0 & E_0 \end{pmatrix} \quad \text{and} \quad M_{1,1}(E) = \begin{pmatrix} E_0 & E_1 \\ E_1 & E_0 \end{pmatrix}.$$

The first two algebras have finite basic rank while the last three are of infinite basic rank. Each of the above algebras generates a variety with exponent greater than 2 and any subvariety of it has exponent $\leq 2$. A variety with this property is called a minimal variety. It is worth mentioning that $UT_3(K)$, $A$ and $A'$ have exponent 3, while $M_2(K)$ and $M_{1,1}(E)$ have exponent 4.

This paper deals with the variety $\mathfrak{M}_5$, generated by the algebra $A$ above. It follows from a theorem of Lewin [15] that

$$T(A) = T(E_0)T(E) = ([x_1, x_2][x_3, x_4, x_5])^T.$$

Here $[x_1, x_2] = x_1x_2 - x_2x_1$ and $[x_3, x_4, x_5] = [x_3, x_4, x_5]$.

One can observe that the polynomial identities of the algebra $A'$ follow from the polynomial identity $[x_1, x_2, x_3][x_4, x_5]$. Hence the relatively free algebras $F_n(A)$ and $F_n(A')$ are antiisomorphic and this immediately allows one to transfer the results of $F_n(A)$ to the case of $F_n(A')$.

In [1], Di Vincenzo, Drensky and Nardozza give a decomposition of the $S_n$-module of proper multilinear polynomials modulo the identities of $A$, $\Gamma_n(\mathfrak{M}_5)$, as a sum of its irreducible components.

In this paper, we use the decomposition of $\Gamma_n(\mathfrak{M}_5)$ to prove our main theorem (Theorem 5) which exhibits for each $n$ a minimal set of generators of the identities of the relatively free algebra of rank $n$ of $\mathfrak{M}_5$. In general, for an
arbitrary variety $\mathcal{Y}$ the question of identities for $F_n(\mathcal{Y})$ has been answered in very few cases. For example if $\mathcal{E}$ is the variety generated by the Grassmann algebra, the identities of $F_n(\mathcal{E})$ equals the identities of the finite dimensional Grassmann algebra $E^{(n)}$, and the identities of $F_2(M_{1,1})$ have been described by Koshlukov and the second author in [12]. Last, we exhibit for each $n \geq 1$ a finite dimensional algebra $A^{(n)}$ PI-equivalent to $F_n(\mathcal{M}_5)$, i.e., $A^{(n)}$ satisfies the same polynomial identities of $F_n(\mathcal{M}_5)$.

2 Preliminaries

Let $K$ be a field of characteristic zero. We denote by $K\langle X \rangle$ the free associative unitary $K$-algebra freely generated by the set $X = \{x_1, x_2, \ldots \}$. From now on (unless otherwise stated) the word algebra means an associative and unitary algebra over $K$. For any PI-algebra $R$ we denote by $T(R)$ its ideal of polynomial identities and for any $n \geq 2$ its relatively free algebra of rank $n$ is defined as

$$F_n(R) = \frac{K(x_1, \ldots, x_n)}{K(x_1, \ldots, x_n) \cap T(R)}.$$

If $V$ is a $K$-vector space with a basis $\{e_1, e_2, \ldots \}$, the Grassmann algebra (or exterior algebra) of $V$, $E = E(V)$ is the vector space with a basis consisting of 1 and all products $e_{i_1} e_{i_2} \cdots e_{i_k}$, $i_1 < i_2 < \cdots < i_k$, $k \geq 1$. The multiplication in $E$ is induced by the anticommutative law for the $e_i$’s, i.e., by $e_i e_j = -e_j e_i$ for all $i$ and $j$. In a similar way, one defines the finite dimensional Grassmann algebra $E^{(n)}$, of a finite dimensional vector space with basis $\{e_1, \ldots, e_n\}$.

The following result is well-known. See [13] for more details.

**Theorem 1.** The $T$-ideal of the polynomial identities of $E$ is generated by $[x_1, x_2, x_3]$. 

One of the facts used in this paper is that the polynomials $[x_1, x_2][x_2, x_3]$ and $[x_1, x_2][x_3, x_4] + [x_1, x_3][x_2, x_4]$ follow from the triple commutator. For more details, see [2, Lemma 5.1.1].

The following is a well-known fact (see [2, Exercise 5.1.3]).

**Theorem 2.** Let $k \geq 1$ be an integer. The $T$-ideal of the polynomial identities of $E^{(2k)}$ is generated by $[x_1, x_2, x_3]$ and $[x_1, x_2] \cdots [x_{2k+1}, x_{2k+2}]$.

Moreover, $T(E^{(2k)}) = T(E^{(2k+1)})$.

Given a PI-algebra $R$ and polynomials $f$, $g \in K\langle X \rangle$, we denote $f \equiv^R g$. 


if \((f - g) \in T(R)\), i.e., if the equality
\[ f + T(R) = g + T(R) \]
holds in \(K\langle X\rangle/T(R)\).

The Grassmann algebra is \(\mathbb{Z}_2\)-graded: one can easily verify that \(E = E_0 \oplus E_1\) where the vector subspace \(E_j\) is spanned by all elements \(e_{i_1}e_{i_2} \cdots e_{i_k}\) from its basis such that \(k \equiv j \pmod{2}\). Here \(E_aE_b \subseteq E_{a+b}\) where \(a + b\) is considered module 2. Recall that \(E_0\) is just the centre of \(E\).

From this decomposition, define the matrix algebra
\[ A = \begin{pmatrix} E_0 & E \\ 0 & E \end{pmatrix}. \]

The \(T\)-ideal generated by the polynomial \([x_1, x_2][x_3, x_4, x_5]\) was studied in the paper by Stoyanova-Venkova [17]. The fact that this \(T\)-ideal coincides with the ideal \(T(A)\) is an easy consequence of the theorem of Lewin [15] and the result of Krakowski and Regev [13] about the polynomial identities of the Grassmann algebra.

**Theorem 3.** The \(T\)-ideal of the polynomial identities of \(A\) is generated by
\[ [x_1, x_2][x_3, x_4, x_5]. \]

The so-called higher commutators are defined inductively by \([x_1, x_2] := x_1x_2 - x_2x_1\) and \([x_1, \ldots, x_n] := [[x_1, \ldots, x_{n-1}], x_n]\). The latter is called a commutator of length \(n\). Specht introduced a special type of polynomials. A polynomial is called proper if it is a linear combination of products of commutators. The importance of such polynomials lies in the fact that the identities of an algebra with unit follows from its proper ones (see [2, Proposition 4.3.3]). We denote by \(P_n\) the vector space of all multilinear polynomials of degree \(n\) in \(K\langle x_1, \ldots, x_n\rangle\) and by \(\Gamma_n\) its subspace spanned by proper multilinear polynomials. If \(\mathfrak{N}\) is a variety of algebras, denote
\[ P_n(\mathfrak{N}) = \frac{P_n}{P_n \cap T(\mathfrak{N})} \quad \text{and} \quad \Gamma_n(\mathfrak{N}) = \frac{\Gamma_n}{\Gamma_n \cap T(\mathfrak{N})}. \]

It is well-known that \(P_n(\mathfrak{N})\) and \(\Gamma_n(\mathfrak{N})\) are modules over the symmetric group \(S_n\). The main tool to study \(\Gamma_n(\mathfrak{N})\) and \(P_n(\mathfrak{N})\) is provided by the representation theory of \(S_n\). We refer the reader to [2, Chapter 12] for all necessary information concerning the representation of such group and their applications to PI-theory.

We now define a list of polynomials that will be important in the description of the \(S_n\)-module structure of \(\Gamma_n(\mathfrak{N})\). If \(m\) and \(i\) are "appropriate" positive integers, we define:

1. \(f_m^{(1)} = [x_2, x_1, \ldots, x_i].\)
2. \(f_{m,i}^{(2)} = [x_2, x_1, \ldots, x_i][x_1, x_2] \cdots [x_{i-1}, x_i].\)
Theorem 4. The $S_m$-module $\Gamma_m(A)$ decomposes as a direct sum of irreducible $S_m$-modules pairwise non-isomorphic in the following way:

1. $\Gamma_2(A) = (KS_2) \cdot u_2^{(1)}$.
2. $\Gamma_3(A) = (KS_3) \cdot u_3^{(1)}$.
3. $\Gamma_4(A) = (KS_4) \cdot u_4^{(1)} \oplus (KS_4) \cdot u_4^{(2)} \oplus (KS_4) \cdot u_4^{(3)} \oplus (KS_4) \cdot u_4^{(4)}$.
4. If $m \geq 5$, $\Gamma_m(A)$ decomposes as

\[
(KS_m) \cdot u_m^{(1)} \bigoplus_{i=2}^{m-2} (KS_m) \cdot u_{m,i}^{(2)} \bigoplus_{i=3}^{m-1} (KS_m) \cdot u_{m,i}^{(3)} \bigoplus_{i=3}^{m-2} (KS_m) \cdot u_{m,i}^{(4)} \bigoplus_{i=4}^{m} (KS_m) \cdot u_{m,i}^{(5)}
\]

Moreover, the proper cocharacter sequence of $A$ is

$\chi_2(A) = \chi(1^2)$, $\chi_3(A) = \chi(2,1)$, $\chi_4(A) = \chi(3,1) + \chi(2,1^2) + \chi(1^4) + \chi(2^2)$

and if $m \geq 5$, we have

$\chi_m(A) = \sum_{i=2}^{m-1} \chi(m-i+1,1^{i-1}) + \sum_{i=2}^{m-2} \chi(m-i,2,1^{i-2})$, if $m$ is odd and

$\chi_m(A) = \sum_{i=2}^{m-1} \chi(m-i+1,1^{i-1}) + \sum_{i=2}^{m-2} \chi(m-i,2,1^{i-2})$, if $m$ is even.
3 The polynomial identities of $F_m(A)$

In this section we shall describe the polynomial identities of the relatively free algebras of finite rank of the variety generated by the algebra $A$. Since $F_n(A)$ is a subalgebra of $F(A)$, $\Gamma_m(F_n(A))$ is a homomorphic image of $\Gamma_m(A)$. As a consequence, to describe the $S_n$-module structure of $\Gamma_m(F_n(A))$, it is enough to verify which of the generators of $\Gamma_m(A)$ are identities of $F_n(A)$.

The main result of this paper is the following:

**Theorem 5.** Let $n \geq 1$ be an even number. The $T$-ideal of the polynomial identities of $F_n(A)$ is generated by

$$[x_1, x_2][x_3, x_4, x_5] \text{ and } [x_1, x_2][x_3, x_4] \cdots [x_{n+3}, x_{n+4}].$$

Moreover,

$$T(F_n(A)) = T(F_{n+1}(A)).$$

In order to prove it, we present a series of results.

**Lemma 6.** Let $n \geq 1$. If $f$ is defined as

$$f = u_0[v_1, v_2]u_1[w_{\sigma(1)}, w_{\sigma(2)}]u_2 \cdots u_n[w_{\sigma(2n-1)}, w_{\sigma(2n)}]u_{n+1},$$

with $u_i, v_i, w_i \in K\langle X \rangle$ for all $i$ and $\sigma \in S_{2n}$, then

$$f \equiv A (-1)^\sigma u_0[v_1, v_2][w_1, w_2] \cdots [w_{2n-1}, w_{2n}]u_1 \cdots u_{n+1}.$$

**Proof.** After using the identity

$$c[a, b] = [a, b]c - [a, b, c],$$

$n$ times, we obtain

$$f \equiv A u_0[v_1, v_2][w_{\sigma(1)}, w_{\sigma(2)}] \cdots [w_{\sigma(2n-1)}, w_{\sigma(2n)}]u_1 \cdots u_{n+1}. \quad (1)$$

Since

$$[x_1, x_2][x_3, x_4] \equiv E - [x_1, x_3][x_2, x_4],$$

the identity

$$[w_{\sigma(1)}, w_{\sigma(2)}] \cdots [w_{\sigma(2n-1)}, w_{\sigma(2n)}] \equiv E (-1)^\sigma [w_1, w_2] \cdots [w_{2n-1}, w_{2n}]$$

holds. The above identity and (1) imply

$$f \equiv A (-1)^\sigma u_0[v_1, v_2][w_1, w_2] \cdots [w_{2n-1}, w_{2n}]u_1 \cdots u_{n+1}. \quad \square$$

**Proposition 7.** If $n \geq 2$ is even, the polynomial

$$f = [x_1, x_2][x_3, x_4] \cdots [x_{n+3}, x_{n+4}]$$

is a polynomial identity for the algebras $F_n(A)$ and $F_{n+1}(A)$.
Proof. If \( g \in K\langle x_1, \ldots, x_n \rangle \), denote by \( \overline{g} \) the element of \( F_n(A) \) given by

\[
\overline{g} = g + K\langle x_1, \ldots, x_n \rangle \cap T(A).
\]

Let \( m_1, \ldots, m_{n+4} \) be monomials in \( K\langle x_1, \ldots, x_n \rangle \). Since \( f \) is multilinear, in order to show that \( f \) is a polynomial identity for \( F_n(A) \), it is enough to prove that

\[
f(m_1, \ldots, m_{n+4}) = 0,
\]

which is equivalent to prove that

\[
f(m_1, \ldots, m_{n+4}) \equiv^A 0. \tag{2}
\]

Using the identity

\[
[a, bc] = b[a, c] + [a, b]c \tag{3}
\]

we obtain that

\[
f(m_1, \ldots, m_{n+4})
\]

is a linear combination of elements of type

\[
h = u_0[x_{i_1}, x_{i_2}][x_{j_3}, x_{j_4}] \cdots [x_{j_{n+3}}, x_{j_{n+4}}]u_1 \cdots u_t,
\]

where \( u_0, \ldots, u_t \) are monomials in \( K\langle x_1, \ldots, x_n \rangle \) and \( t = (n+4)/2 \). By Lemma 6 we have

\[
h \equiv^A \pm u_0[x_{i_1}, x_{i_2}][x_{j_3}, x_{j_4}] \cdots [x_{j_{n+3}}, x_{j_{n+4}}]u_1 \cdots u_t,
\]

where \( 1 \leq j_3 \leq j_4 \leq \ldots \leq j_{n+3} \leq j_{n+4} \leq n \). Since

\[
[x_1, x_2][x_2, x_3] \equiv^E 0
\]

and at least two \( x_{j_3} \) among \( x_{j_3}, x_{j_4}, \ldots, x_{j_{n+3}}, x_{j_{n+4}} \) are repeated, it follows that

\[
h \equiv^A 0.
\]

Hence \( h \in T(A) \) and the relation (2) is proved.

Arguing similarly one obtains that

\[
[x_1, x_2][x_3, x_4] \cdots [x_{n+3}, x_{n+4}]
\]

is a polynomial identity for \( F_{n+1}(A) \). \( \square \)

Lemma 8. \( f \in K\langle x_1, \ldots, x_n \rangle \) is a polynomial identity for \( F_n(A) \) if and only if \( f \) is a polynomial identity for \( A \).

Proof. If \( g \in K\langle x_1, \ldots, x_n \rangle \), denote by \( \overline{f} \) the element

\[
\overline{f} = f + K\langle x_1, \ldots, x_n \rangle \cap T(A) \in F_n(A).
\]

It is clear from the definition of \( F_n(A) \) that \( T(A) \subseteq T(F_n(A)) \).

For the converse, suppose that \( f(x_1, \ldots, x_n) \) is a polynomial identity for \( F_n(A) \). In particular,

\[
\overline{f} = f(x_1, \ldots, x_n) = \overline{f}(x_1, \ldots, x_n),
\]

and \( f \in T(A) \). \( \square \)
Denote by \( e_{ij} \) the element of \( A \) whose \((i, j)\) entry is 1 and the remaining entries are 0. We recall that the generators of \( E \) are denoted by \( e_1, e_2, \ldots \).

The following two lemmas follow from the fact that \( f^{(1)}_m \) and \( f^{(2)}_{m,i} \) are not polynomial identities for \( A \) and from Lemma 8.

**Lemma 9.** If \( n \geq 2 \), the polynomial

\[
f^{(1)}_m = [x_2, x_1, \ldots, x_1]
\]

is not a polynomial identity for \( F_n(A) \).

**Proof.** Since

\[
f^{(1)}_m(e_{22}, e_{12}) = e_{12},
\]

\( f^{(1)}_m \) is not a polynomial identity for \( A \). The result now follows from Lemma 8. \( \square \)

**Lemma 10.** If \( n \geq 2 \) is even, the polynomial

\[
f^{(2)}_{m,n} = [x_2, x_1, \ldots, x_1][x_1, x_2] \cdots [x_{n-1}, x_n]
\]

is not a polynomial identity for \( F_n(A) \).

**Proof.** We first consider \( n = 2 \). In this case,

\[
f^{(2)}_{m,2} = [x_2, x_1, \ldots, x_1][x_1, x_2].
\]

By substituting \( x_1 = (1 + e_1)e_{22} \) and \( x_2 = e_{12} + e_2e_{22} \) we obtain

\[
f^{(2)}_{m,2}((1 + e_1)e_{22}, e_{12} + e_2e_{22}) = 2e_1e_2e_{12}.
\]

Let us now look at \( f^{(2)}_{m,n} \) for an arbitrary even number \( n \). Since

\[
f^{(2)}_{m,n} = f^{(2)}_{m,2}[x_3, x_4] \cdots [x_{n-1}, x_n],
\]

direct calculations show that

\[
f^{(2)}_{m,n}((1 + e_1)e_{22}, e_{12} + e_2e_{22}, e_3e_{22}, \ldots, e_ne_{22}) = 2^{n/2}e_1e_2e_3 \cdots e_ne_{12}.
\]

Hence, \( f^{(2)}_{m,n} \) is not a polynomial identity for \( F_n(A) \). \( \square \)

**Lemma 11.** If \( n \geq 2 \) is even, the polynomial

\[
f^{(3)}_{m,n+1} = \sum_{\sigma \in S_{n+1}} (-1)^\sigma [x_{\sigma(1)}, x_1, \ldots, x_1][x_{\sigma(2)}, x_{\sigma(3)}] \cdots [x_{\sigma(n)}, x_{\sigma(n+1)}]
\]

is not a polynomial identity for \( F_n(A) \).
Proof. Suppose that $f_{m,n+1}^{(3)}$ is a polynomial identity for $F_n(A)$. By Lemma 6, we have

$$f_{m,n+1}^{(3)} \equiv_A g,$$

where

$$g = (n!) \sum_{i=2}^{n+1} (-1)^{i+1} [x_i, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \ldots [x_{n-1}, x_n].$$

Here $\hat{x}_i$ means that the variable $x_i$ occurs only in the first commutator, i.e., in $[x_i, x_1, \ldots, x_1]$. Since $T(A) \subset T(F_n(A))$, it follows that $g$ is also a polynomial identity for $F_n(A)$. In particular,

$$g' = (1/n!)g(x_1, x_2, \ldots, x_n, x_1x_2) \in T(F_n(A)).$$

We have

$$g' \equiv_A -[x_2, x_1, x_1] [x_1, x_3] [x_4, x_5] \cdots [x_n, x_1 x_2] + [x_1, x_2, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n]\equiv_A -[x_2, x_1, x_1] [x_1, x_3] [x_4, x_5] \cdots [x_n, x_1 x_2] + [x_1, x_2, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n]\equiv_A -[x_2, x_1, x_1] [x_1, x_3] [x_4, x_5] \cdots [x_n, x_1 x_2] + [x_1, x_2, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n]\equiv_A -[x_2, x_1, x_1] [x_1, x_3] [x_4, x_5] \cdots [x_n, x_1 x_2] + [x_1, x_2, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n]\equiv_A -[x_2, x_1, x_1] [x_1, x_3] [x_4, x_5] \cdots [x_n, x_1 x_2] + [x_1, x_2, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n].$$

Thus $f_{m,n}^{(2)}$ is also a polynomial identity for $F_n(A)$. This contradicts Lemma 10.

Lemma 12. If $n \geq 2$ is even, the polynomial

$$f_{m,n+1}^{(4)} = \sum_{\sigma \in S_{n+1}} (-1)^{\sigma} [x_2, x_1, x_{\sigma(1)}, x_1, \ldots, x_1] [x_{\sigma(2)}, x_{\sigma(3)}] \cdots [x_{\sigma(n)}, x_{\sigma(n+1)}]$$

is not a polynomial identity for $F_n(A)$.

Proof. Suppose that $f_{m,n+1}^{(4)}$ is an identity for $F_n(A)$. Since the polynomial $f_{m,n+1}^{(4)}$ is equivalent modulo $T(A)$ to

$$g = (n!) \sum_{i=1}^{n+1} (-1)^{i+1} [x_2, x_1, x_i, x_1, \ldots, x_1] [x_1, x_2] [x_3, x_4] \cdots [x_{n-1}, x_n],$$

we obtain that $g$ is also an identity for $F_n(A)$. In particular,

$$h = (1/n!)g(x_1, x_2, \ldots, x_n, x_1^2) \in T(F_n(A))$$
and then

\[ h \equiv^A + [x_2, x_1, x_1, \ldots, x_1][x_2, x_3][x_4, x_5] \ldots [x_n, x_1 x_1] + \\
+ [x_2, x_1 x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_{n-1}, x_n] \\
\equiv^A + 2[x_2, x_1, x_1, x_1, \ldots, x_1][x_2, x_3][x_4, x_5] \ldots [x_n, x_1 x_1] + \\
+ [x_2, x_1 x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_{n-1}, x_n] \\
\equiv^A - 2[x_2, x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_n, x_1 x_1] + \\
+ x_1 [x_2, x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_{n-1}, x_n] + \\
+ [x_2, x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_n, x_1 x_1] \\
\equiv^A - ([x_2, x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_{n-1}, x_n], x_1) \\
\equiv^A - [x_2, x_1, x_1, \ldots, x_1][x_1, x_2][x_3, x_4] \ldots [x_{n-1}, x_n].
\]

This contradicts Lemma 10.

**Lemma 13.** If \( n \geq 2 \) is even, the polynomial

\[
f_{m_n+2}^{(5)} = \sum_{\sigma \in S_{n+2}} (-1)^\sigma [x_{\sigma(1)}, x_{\sigma(2)}, x_1, \ldots, x_1][x_{\sigma(3)}, x_{\sigma(4)}] \ldots [x_{\sigma(n+1)}, x_{\sigma(n+2)}]
\]

is not a polynomial identity for \( F_n(A) \).

**Proof.** Suppose that \( f_{m_n+2}^{(5)} \) is an identity for \( F_n(A) \) and define

\[
g = (2/n!) f_{m_n+2}^{(5)}.
\]

Then we have

\[
g \equiv^A \sum_{i<j} (-1)^{j-i+1} [x_i, x_j, x_1, \ldots, x_1][x_1, x_2] \ldots \hat{x}_i \ldots \hat{x}_j \ldots [x_{n+1}, x_{n+2}].
\]

Define \( h = g(x_1, \ldots, x_{n+1}, x_1^2) \). Note that \( h \) is a polynomial identity for \( F_n(A) \). We have

\[
h \equiv^A \sum_{j=2}^{n+1} (-1)^j [x_1, x_j, x_1, \ldots, x_1][x_2, x_3] \ldots \hat{x}_j \ldots [x_{n+1}, x_1 x_1] + \\
+ \sum_{i=2}^{n+1} (-1)^{-i+1} [x_i, x_1 x_1, x_1, \ldots, x_1][x_1, x_2] \ldots \hat{x}_i \ldots [x_n, x_{n+1}] \\
\equiv^A + 2 \sum_{j=2}^{n+1} (-1)^j [x_1, x_j, x_1, \ldots, x_1][x_2, x_3] \ldots \hat{x}_j \ldots [x_{n+1}, x_1 x_1] + \\
+ \sum_{i=2}^{n+1} (-1)^{-i+1} x_1 [x_i, x_1, x_1, \ldots, x_1][x_1, x_2] \ldots \hat{x}_i \ldots [x_n, x_{n+1}] + \\
+ \sum_{i=2}^{n+1} (-1)^{-i+1} [x_i, x_1, x_1, \ldots, x_1][x_1, x_2] \ldots \hat{x}_i \ldots [x_n, x_{n+1}].
\]
And also

\[ h \equiv^A +2 \sum_{j=2}^{n+1} (-1)^j [x_1, x_j, x_1, \ldots, x_1] [x_2, x_3] \ldots [x_j, x_1] [x_1] x_1 + \]
\[ +2 \sum_{i=2}^{n+1} (-1)^{i+1} [x_1, x_1, x_1, \ldots, x_1] [x_1, x_2] \ldots [x_i, x_1] [x_1] x_1 + \]
\[ + \sum_{i=2}^{n+1} (-1)^{-i+1} [x_1, [x_i, x_1, x_1, \ldots, x_1]] [x_1, x_2] \ldots [x_i, x_1] [x_1] x_1. \]
\[ \equiv^A + \sum_{i=2}^{n+1} (-1)^{-i+1} [x_1, [x_i, x_1, x_1, \ldots, x_1]] [x_1, x_2] \ldots [x_i, x_1] [x_1] x_1. \]
\[ \equiv^A + \sum_{i=2}^{n+1} (-1)^{-i}[x_1, x_1, x_1, \ldots, x_1] [x_1, x_2] \ldots [x_i, x_1] [x_1] x_1. \]
\[ \equiv^A - (1/n!) f^{(3)}_{m,n+1}. \]

Hence \( f^{(3)}_{m,n+1} \) would be an identity for \( F_n(A) \). A contradiction to Lemma 11. □

We are now in conditions to prove Theorem 5.

**Theorem 5.** If \( n \geq 2 \) is even, we will decompose \( \Gamma_m(F_n(A)) \) as a sum of irreducible \( S_m \)-modules. Since \( T(A) \subset T(F_n(A)) \), \( \Gamma_m(F_n(A)) \) is a homomorphic image of \( \Gamma_m(A) \), and its decomposition is given by the same decomposition of \( \Gamma_m(A) \) except for the components generated by polynomials which are identities of \( F_n(A) \). We now determine which of these generators are identities of \( F_n(A) \).

Observe that \( u^{(1)}_{m,n} \in T(F_n(A)) \) if and only if \( f^{(1)}_{m,n} \in T(F_n(A)) \), since the former is a multilinearization of the latter. The same holds for \( u^{(j)}_{m,n,i} \), i.e., \( u^{(j)}_{m,n,i} \in T(F_n(A)) \) if and only if \( f^{(j)}_{m,n,i} \in T(F_n(A)) \).

1. Analyzing \( (K S_m) \cdot u^{(1)}_{m,n} \).

   By Lemma 9, \( (K S_m) \cdot u^{(1)}_{m,n} \) is a nonzero component of \( \Gamma_m(F_n(A)) \).

2. Analyzing \( (K S_m) \cdot u^{(2)}_{m,n,i} \).

   a) If \( i \leq n \), then \( (K S_m) \cdot u^{(2)}_{m,n,i} \) is a nonzero component of \( \Gamma_m(F_n(A)) \).

   Indeed, if for some \( i \leq n \), \( u^{(2)}_{m,n,i} \in T(F_n(A)) \) then \( f^{(2)}_{m,n,i} \in T(F_n(A)) \). Hence

   \[ f^{(2)}_{m,n} = f^{(2)}_{m,n,i} [x_{i+1}, x_{i+2}] \ldots [x_{n-1}, x_n] \in T(F_n(A)). \]

   And this contradicts Lemma 10.

   b) If \( i \geq n+2 \),

   \[ f^{(2)}_{m,n} \in ([x_1, x_2] \ldots [x_{n+3}, x_{n+4}])^T, \]
and it follows from Proposition 7 that \( f^{(2)}_{m,i} \in T(F_n(A)) \). Then the \( S_m \)-module \( (KS_m) \cdot u^{(2)}_{m,i} \) vanishes in \( \Gamma_m(F_n(A)) \).

3. Analyzing \((KS_m) \cdot u^{(3)}_{m,i}\).

a) If \( i \leq n + 1 \), then \((KS_m) \cdot u^{(3)}_{m,i}\) is a nonzero component of \( \Gamma_m(F_n(A)) \).

Indeed, if for some \( i \leq n + 1 \), \( u^{(3)}_{m,i} \in T(F_n(A)) \) then \( f^{(3)}_{m,i} \in T(F_n(A)) \). Hence

\[
f^{(3)}_{m,n+1} \in (f^{(3)}_{m,i})^T \subset T(F_n(A)).
\]

And this contradicts Lemma 11.

b) If \( i \geq n + 3 \), then \((KS_m) \cdot u^{(3)}_{m,i}\) vanishes in \( \Gamma_m(F_n(A)) \). To see this, use the same argument used in item a) of the above case.

4. Analyzing \((KS_m) \cdot u^{(4)}_{m,i}\).

a) If \( i \leq n + 1 \), then \((KS_m) \cdot u^{(4)}_{m,i}\) is a nonzero component of \( \Gamma_m(F_n(A)) \). To see this, use the same argument used in item a) of the above case and Lemma 12.

b) If \( i \geq n + 3 \), then \((KS_m) \cdot u^{(4)}_{m,i}\) vanishes in \( \Gamma_m(F_n(A)) \). To see this, use the same argument used in item b) of the above case.

5. Analyzing \((KS_m) \cdot u^{(5)}_{m,i}\).

a) If \( i \leq n + 2 \), then \((KS_m) \cdot u^{(5)}_{m,i}\) is a nonzero component of \( \Gamma_m(F_n(A)) \). To see this, use the same argument used in item a) of the above case and Lemma 13.

b) If \( i \geq n + 4 \), then \((KS_m) \cdot u^{(5)}_{m,i}\) vanishes in \( \Gamma_m(F_n(A)) \). To see this, use the same argument used in item b) of the above case.

Now let \( I \) denote the \( T \)-ideal generated by

\[
[x_1, x_2][x_3, x_4, x_5] \quad \text{and} \quad [x_1, x_2][x_3, x_4] \cdots [x_{n+3}, x_{n+4}].
\]

We will find the decomposition of

\[
\Gamma_m(I) = \frac{\Gamma_m}{\Gamma_m \cap I}
\]

in irreducible \( S_m \)-modules. Since

\[
T(A) \subseteq I \subseteq T(F_n(A))
\]

we have two important remarks:
• If some irreducible $S_m$-module is a nonzero component of $\Gamma_m(F_n(A))$, it is also a nonzero component of $\Gamma_m(I)$;

• If some irreducible $S_m$-module is a nonzero component of $\Gamma_m(I)$ and vanishes in $\Gamma_m(F_n(A))$, then it is some of the $(KS_m) \cdot u_{m,i}^{(j)}$ analyzed in the four items b) above.

But in the items b) the main argument is that

$$f_{m,i}^{(j)} \in ([x_1, x_2] \ldots [x_{n+3}, x_{n+4}])^T,$$

for an appropriate $i$. In particular, $f_{m,i}^{(j)} \in I$ and then $(KS_m) \cdot u_{m,i}^{(j)}$ vanishes in $\Gamma_m(I)$. Hence

$$\Gamma_m(F_n(A)) = \Gamma_m(I)$$

for any $m$. As a consequence,

$$T(F_n(A)) = I,$$

since the characteristic of $K$ is zero.

Let us now show that

$$T(F_n(A)) = T(F_{n+1}(A)).$$

Since $F_n(A)$ is a subalgebra of $F_{n+1}(A)$, we have

$$T(A) \subseteq T(F_{n+1}(A)) \subseteq T(F_n(A)).$$

From this we have two important remarks:

• If some irreducible $S_m$-module is a nonzero component of $\Gamma_m(F_n(A))$, it is also a nonzero component of $\Gamma_m(F_{n+1}(A))$;

• If some irreducible $S_m$-module is a nonzero component of $\Gamma_m(F_{n+1}(A))$ and vanishes in $\Gamma_m(F_n(A))$, then it is some of the $(KS_m) \cdot u_{m,i}^{(j)}$ analyzed in the four items b) above.

But in items b) the main argument is that

$$f_{m,i}^{(j)} \in ([x_1, x_2] \ldots [x_{n+3}, x_{n+4}])^T,$$

for an appropriate $i$. In particular, $f_{m,i}^{(j)} \in T(F_{n+1}(A))$ and then $(KS_m) \cdot u_{m,i}^{(j)}$ vanishes in $\Gamma_m(F_{n+1}(A))$. Hence

$$\Gamma_m(F_n(A)) = \Gamma_m(F_{n+1}(A))$$

for any $m$. As a consequence we also conclude that

$$T(F_n(A)) = T(F_{n+1}(A)),$$

since the characteristic of $K$ is zero.

\[\square\]
It is well-known from the theory (see [8]) that any finitely generated algebra is PI-equivalent to some finite dimensional algebra. For each \( n \geq 2 \) we exhibit a finite dimensional algebra PI-equivalent to \( F_n(A) \).

Let \( E^{(n)} \) denote the finite dimensional unitary Grassmann algebra finitely generated by \( e_1, \ldots, e_n \). Denote by \( E_0^{(n)} \) and \( E_1^{(n)} \) the even and odd component of \( E^{(n)} \), respectively and define the finite dimensional algebra

\[
A^{(n)} = \left( \begin{array}{cc} E_0^{(n)} & E_1^{(n)} \\ 0 & E_1^{(n)} \end{array} \right).
\]

**Theorem 14.** If \( n \geq 2 \) is even, then \( F_n(A) \) and \( A^{(n)} \) satisfy the same polynomial identities.

**Proof.** Since \( A^{(n)} \) is a subalgebra of \( A \),

\[
[x_1, x_2][x_3, x_4, x_5]
\]

is a polynomial identity of \( A^{(n)} \). We now show that

\[
f = [x_1, x_2][x_3, x_4] \cdots [x_{n+3}, x_{n+4}]
\]

is also a polynomial identity for \( A^{(n)} \): Since \( f \) is multilinear, it is enough to substitute variables by elements of the form \( ae_{ij} \in A^{(n)} \), for suitable \( a \in E^{(n)} \).

Consider the following substitution

\[
\Delta = [a_1 e_{i_1 j_1}, a_2 e_{i_2 j_2}][a_3 e_{i_3 j_3}, a_4 e_{i_4 j_4}] \cdots [a_{n+3} e_{i_{n+3} j_{n+3}}, a_{n+4} e_{i_{n+4} j_{n+4}}].
\]

We have a few cases to analyze:

1. **Case 1**) \( i_1 = j_1 = i_2 = j_2 = 1 \).
   In this case we have \( \Delta = 0 \), since \( a_1, a_2 \in E_0^{(n)} \).

2. **Case 2**) \( i_1 = j_1 = 1, i_2 = 1 \) and \( j_2 = 2 \).
   In this case, if \( \Delta \neq 0 \), the only possibility is

\[
i_3 = j_3 = i_4 = j_4 = \ldots = i_{n+3} = j_{n+3} = i_{n+4} = j_{n+4} = 2.
\]

Hence,

\[
\Delta = a_1 a_2 [a_3, a_4] \cdots [a_{n+3}, a_{n+4}] e_{12}.
\]

Since \( a_3, a_4, \ldots, a_{n+3}, a_{n+4} \in E^{(n)} \) and

\[
[x_3, x_4] \cdots [x_{n+3}, x_{n+4}] \in T(E^{(n)}),
\]

it follows that \( \Delta = 0 \).

3. **Case 3**) \( i_1 = 1, j_1 = 2, i_2 = 1 \) and \( j_2 = 2 \).
   In this case we have \( [a_1 e_{12}, a_2 e_{12}] = 0 \) and then \( \Delta = 0 \).
Case 4) $i_1 = 1$, $j_1 = 2$, $i_2 = j_2 = 2$.
The same argument of Case 2 shows that $\Delta = 0$.

Case 5) $i_1 = j_1 = i_2 = j_2 = 2$.
In this case, if $\Delta \neq 0$, the only possibility is
$$i_3 = j_3 = i_4 = j_4 = \ldots = i_{n+3} = j_{n+3} = i_{n+4} = j_{n+4} = 2.$$ Hence,
$$\Delta = [a_1, a_2][a_3, a_4] \ldots [a_{n+3}, a_{n+4}]v_{22}.$$ Since
$$[x_3, x_4] \ldots [x_{n+3}, x_{n+4}] \in T(E^{(n)}),$$ it follows that $\Delta = 0$.

We just proved that
$$f = [x_1, x_2][x_3, x_4] \ldots [x_{n+3}, x_{n+4}]$$ is a polynomial identity for $A^{(n)}$ and $T(F_n(A)) \subseteq T(A^{(n)})$.

Since $T(F_n(A)) \subseteq T(A^{(n)})$, the $S_m$-module $\Gamma_m(A^{(n)})$ is a homomorphic image of $\Gamma_m(F_n(A))$. In order to show that $A^{(n)}$ satisfies the same identities of $F_n(A)$, we need to show that it satisfies no other identities. For that matter it is enough to show that the generators of the irreducible $S_m$-modules in the decomposition of $\Gamma_m(F_n(A))$ are not identities of $A^{(n)}$, i.e., that the polynomials in items a) in the four cases in the proof of Theorem 4 and the polynomial $u_{m,i}^{(1)}$ are not identities for $A^{(n)}$. In order to do this it is enough to observe that Lemmas 9, 10, 11, 12 and 13 also hold for $A^{(n)}$ instead of $F_n(A)$, that the polynomials $f_{m,i}^{(2)}$ are equivalent to $u_{m,i}^{(2)}$ and apply the same arguments used in items a) in the proof of Theorem 5.

In [12] the second author and Koshlulov describe a finite basis for $F_2(M_{1,1})$, the relatively free algebra of rank 2 of the minimal algebra $M_{1,1}$. They also exhibit a finite dimensional algebra PI-equivalent to $F_2(M_{1,1})$. Using the same construction of the above theorem, define for each $k$,
$$M_{1,1}^{(k)} = \begin{pmatrix} E_{0}^{(k)} & E_{1}^{(k)} \\ E_{1}^{(k)} & E_{0}^{(k)} \end{pmatrix}$$
Then using the decomposition of the $S_m$-module $\Gamma_m(F_2(M_{1,1}))$ as a sum of irreducible modules, given in [12], one can verify the following:

**Theorem 15.** The algebras $F_2(M_{1,1})$ and $M_{1,1}^{(k)}$ are PI-equivalent.

It is interesting to investigate if the above result holds for arbitrary $k$.

**Problem.** Are the algebras $F_k(M_{1,1})$ and $M_{1,1}^{(k)}$ PI-equivalent, for $k > 2$?
4 Subvarieties and asymptotic equivalence

The notion of asymptotic equivalence of varieties (or T-ideals) has been introduced by Kemer in [11]. If \( \mathfrak{U} \) and \( \mathfrak{V} \) are varieties, they are asymptotically equivalent if \( T(\mathfrak{U}) \) and \( T(\mathfrak{V}) \) satisfy the same proper polynomials from a certain degree on.

In the description of the subvarieties of \( \mathfrak{M}_5 \) in [1], the authors show that any subvariety of \( \mathfrak{M}_5 \) is asymptotically equivalent to the variety generated by one among the following algebras: \( K \), \( E \), \( R_p \) or \( R_p \oplus E \), for a suitable \( p \). Here \( R_p \) stands for the algebra

\[
R_p := \left\{ \begin{pmatrix} \alpha_{11} & \alpha_{12} \\ 0 & \alpha_{22} \end{pmatrix} \middle| \begin{array}{l}
\alpha_{11} \in E_0, \\
\alpha_{12} \in C_p E_0 + C_p E_1 \\
\alpha_{22} \in C_p E_0 + tC_p E_1
\end{array} \right\}
\]

and \( C_p := \frac{K[t]}{(t^p)} \).

We remark that for each \( p \geq 2 \), the polynomial identities of \( R_{2p} \) coincide with the polynomial identities of \( F_{2p-2}(A) \). In particular, since \( R_2 \) is PI-equivalent to \( UT_2(K) \), the 2 \( \times \) 2 upper triangular matrix algebra, we can restate the result of [1] as

**Corollary 16.** Any subvariety of \( \mathfrak{M}_5 \) is asymptotically equivalent to the variety generated by one among the following algebras: \( UT_2(K) \), \( E \), \( F_m(A) \) or \( F_m(A) \oplus E \), for a suitable \( m \).

In order to compare the above with the description of subvarieties of other varieties of algebras, we recall that if \( R \in \text{var}(E) \) (\( R \) not necessarily a unitary algebra). Then \( R \) is PI-equivalent to one of the following algebras: \( E \), \( N \), \( C \oplus N \), \( E^{(2m)} \) or \( E^{(2m)} \oplus N \), for some \( m \geq 1 \), where \( N \) is a nilpotent and \( C \) is a commutative algebra. Such description can be found in [14] (see also its review Zbl 1119.16022 in Zentralblatt für Mathematik, https://zbmath.org/), and also in bulgarian in the paper [3].

Let \( F_m(E) \) denote the relatively free algebra of rank \( m \) of the variety generated by \( E \). It is easy to see that for each \( m \), \( F_m(E) \) and \( E^{(m)} \) are PI-equivalent. In particular, since we are working with algebras with unit, we have the following description of subvarieties of \( \text{var}(E) \).

**Proposition 17.** Let \( R \in \text{var}(E) \). Then \( R \) is PI-equivalent to one among the following algebras: \( K \), \( E \), or \( F_{2m}(E) \), for some \( m \geq 1 \).

By the above corollaries one expects the relatively free algebras of finite rank to be important in the description of subvarieties of varieties of associative unitary algebras. This will be investigated in future projects.
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