Ultrastructural examination of lung “cryobiopsies” from a series of fatal COVID-19 cases hardly revealed infected cells

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Abstract
Ultrastructural analysis of autopsy samples from COVID-19 patients usually suffers from significant structural impairment possibly caused by the rather long latency between death of the patient and an appropriate sample fixation. To improve structural preservation of the tissue, we obtained samples from ventilated patients using a trans-bronchial “cryobiopsy” within 30 min after their death and fixed them immediately for electron microscopy. Samples of six COVID-19 patients with a documented histopathology were systematically investigated by thin section electron microscopy. The different samples and areas inspected revealed the ultrastructural correlates of the different phases of diffuse alveolar damage, including detachment of the alveolar epithelium, hyperplasia of type 2 cells, exudates, and accumulation of extracellular material, such as the hyaline membranes and fibrin. Macrophages and neutrophilic granulocytes were regularly detected. Structural integrity of endothelium was intact in regions where the alveolar epithelium was already detached. Aggregates of erythrocytes, leukocytes with fibrin, and thrombocytes were not observed. Coronavirus particles were only found in and around very few cells in one of the six patient samples. The type and origin of these cells could not be assessed although the overall structural preservation of the samples allowed the identification of pulmonary cell types. Hence, the observed alveolar damage is not associated with virus presence or structural impairment due to ongoing replication at later stages of the disease in fatal cases, which implies that the lung damage in these patients is at least propagated by alternative mechanisms, perhaps, an inappropriate immune or stress response.

Keywords SARS-CoV-2 · Electron microscopy · Respiratory distress syndrome · Coronavirus · Virus particle · Diffuse alveolar damage

Introduction
Since the emergence of COVID-19 in late 2019, caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [1], tremendous efforts have been made to understand and to treat this disease [2]. And although approved vaccines reduce virus transmission and a severe outcome of the disease [3], the global pandemic continues to progress, resulting in significant loss of life and the appearance of new SARS-CoV-2 variants of concern. It is therefore still important to improve our understanding of the disease and to develop further preventative and therapeutic strategies.

SARS-CoV-2 infects the epithelium of the upper and lower airways and thereby causes COVID-19 disease [4]. Although the vast majority of COVID-19 patients are asymptomatic or mildly ill (approximately 80%), a still significant subset of patients shows a severe progression of
the disease leading to pneumonia which is characterized by hypoxemia [5]. Critical cases (approx. 5%) develop an acute respiratory distress syndrome (ARDS), septic shock, or multiorgan failure [5]. Histopathology of lungs from autopsies has revealed different stages of diffuse alveolar damage (DAD) and severe capillary congestion, microthrombosis, and thrombosis of small to mid-sized arteries suggesting vascular dysfunction [6]. High quality ultrastructural correlates of the histopathological findings have been demonstrated only sporadically (e.g., by Ochs and colleagues [7]).

More recent histopathology and immunology data suggest that, in addition to direct viral effects, lung lesion in COVID-19 might be driven by the activation and/or an imbalance of the innate immune system [8, 9]. This hypothesis is supported by single cell analysis at the proteome and transcriptome level which demonstrated the association of an upregulation of specific components of the innate immune system in COVID-19 patient cells with pathological lung damage [10–12]. Accordingly, tissue-based detection of SARS-CoV-2 in multiple organs by immunohistochemistry (IHC) and in situ RNA hybridization (ISH) suggests that viral tissue damage may be a transient phenomenon that is generally not sustained throughout disease progression [13]. However, detection of SARS-CoV-2 by IHC suffers from a low specificity and both, IHC and ISH, only show the presence of protein or RNA but not necessarily of the intact virus, which may lead to misinterpretation of the pathogenesis [14].

Detection of SARS-CoV-2 particles in autopsy material by electron microscopy (EM) could provide direct evidence for the presence of the virus in the cellular context but seems to be challenging because it has led to many misinterpretations, presumably because of the poor preservation of autopsy samples and the difficulty to find and to recognize viral particles in such material [15–17]. To date, only few papers convincingly demonstrated presence of virus particles in the respiratory system at the ultrastructural level, but all data suffer from a limited structural preservation of the tissue and also a rather small sample size [15, 18, 19]. In summary, the localization of virus particles in patients lung tissue and its relation to the pathological changes of the tissue are largely unknown. Thus, the aim of the present study was to systematically investigate larger parts of well-preserved lung samples by electron microscopy for the ultrastructural correlates of the histopathological findings and for the presence of coronavirus particles. For this purpose, we used lung “cryobiopsies” from a case study of pathologically well-characterized COVID-19 patients [20]. Trans-bronchial “cryobiopsies” were taken within 30 min after exitus of the patients and directly fixed for thin section EM. All samples showed sufficient ultrastructural preservation to identify relevant cell types morphologically, which made it possible to systematically analyze a total section area of at least 10 mm² taken at regular intervals from a cubic millimeter of each “cryobiopsy” sample. Large-scale tile scans of representative sections were provided as public data sets for further analysis.

Materials and methods

Patient characteristics and trans-bronchial lung cryobiopsy

Eight patients have been included in a pathological case study on COVID-19 patients in Genoa (Italy) [20]. Samples from six of those patients (patients C03 to C08) were available for an extensive ultrastructural analysis presented here. The patient characteristics and histopathological diagnosis relevant for the study are listed in Suppl. Table 1. Samples were taken by using a trans-bronchial lung cryobiopsy technique within 30 min after exitus of the still ventilated patient [20]. To preserve the tissue for electron microscopy, frozen tissue was immediately immersed in buffered glutaraldehyde (2.5% in 0.1 M cacodylate buffer) and stored for at least 1 week at 4 °C. Control cryobiopsy samples were obtained from then unaffected area of a living patient with nonspecific interstitial pneumonia and fibrosis using the same procedures.

Sample preparation for thin section electron microscopy

Samples were post-fixed in 1% osmium tetroxide and 1% aqueous uranyl acetate for 1 h, each, dehydrated by a graded series of ethanol and embedded in epoxy resin (Poly-Bed; Polysciences, Inc., Warrington, PA). Sections were produced using ultramicrotomes from Leica, either at 300 to 500 nm (semithin) or at 50 to 100 nm (ultrathin) thickness. Semithin sections were transferred on glass slides and stained with toluidine blue. Ultrathin sections were either collected on naked copper grids and contrasted with uranyl acetate and lead citrate or on small pieces of a silicon wafer and contrasted with lead citrate. A thin carbon coating stabilized the ultrathin sections on grids for the imaging by transmission electron microscopy.

Sample investigation by light and electron microscopy

Semithin sections were photographed with an inverted light microscope (ApoTome2, Carl Zeiss Microscopy), using a color CMOS camera (AxioCam 503 color, Carl Zeiss Microscopy), a 40x/1.1 NA water immersion objective and automated image montaging provided by the camera software (ZEN blue, version 2.6). Transmission electron
microscopy (TEM) of ultrathin sections was performed by a group of operators using the following microscopes: (1) HITACHI 7800 (Hitachi), equipped with a tungsten filament operated at 100 kV; (2) Tecnai Spirit (Thermo Fisher Scientific), equipped with a LaB$_6$ filament operated at 120 kV; and (3) JSM-2100 (Jeol), equipped with a LaB$_6$ filament operated at 200 kV. Digital images presented in the manuscript were either acquired with a CCD camera (MegaviewIII, EMSIS) or a CMOS camera (Phurona, EMSIS). Montages of images were produced by using the respective camera acquisition software (ITEM, version 5.2, OSIS; Radius, version 2.1, EMSIS).

Large montages (up to 200 images) were recorded from thin sections collected on silicon wafers using a scanning electron microscope (Teneo, Thermo Fisher) and an in-column detector (T1) at 2 kV, 25–100 µA, 2–3 mm working distance. Large-field scans or tiled scans were recorded with a resolution of 1.8 to 49 nm per pixel, a dwell time of 3 µs and a line integration of 2. Stitching of the recorded images was either performed with the MAPS software (version 2.5, Thermo Fisher) used for acquisition or with the TrakEM module of Fiji [21].

We investigated six “cryobiopsy” samples from six deceased patients at the ultrastructural level. After an initial inspection of sections from all samples, which showed that the ultrastructural preservation was sufficient for a detailed analysis, we employed a systematic investigation strategy. From each sample, we took sections (semithin and ultrathin) with at least 1 mm$^2$ surface area and a total depth of 1 mm at intervals of 100 µm or less. At least one thin section per section level was investigated by an expert in diagnostic EM at intervals of 100 µm or less. At least one thin section per section level was investigated by an expert in diagnostic EM with at least 1 h. The detection of viruses followed morphological criteria from each sample, we took sections (semithin and ultrathin) with at least 1

**Results**

Light microscopy of semithin sections allowed the assessment of the general histology of the investigated sample areas (Fig. 1, Table 1). Large image montages of the first and last semithin section from all samples are available for digital microscopy (data sets 1–6). All samples contained alveoli, at least in some of the sections that were inspected. Significant impairment of alveolar architecture, i.e., congestion of the alveolar cavity and/or disintegration of alveolar septae, was found in samples of patients C03, C04, C05, and C07, with a restriction to some of the alveolar regions visible in patients C04 and C05 (Table 1). Alveolar architecture of samples from patients C06 and C08 appeared unaffected. However, even in samples with normal alveolar architecture, histopathological changes, such as focal detachment of the alveolar epithelium, over-representation of type 2 cells and of granulocytes could be detected (see below).

Electron microscopy of thin sections revealed a sufficient structural preservation for ultrastructural analysis. Cellular integrity in most cells was documented by the presence of the plasma membrane and major cell organelles, such as nucleus, mitochondria, endoplasmic reticulum, Golgi apparatus, and vesicles (see Figs. 2, 3, 4, and 5, Suppl. Figures 1–7, and data sets 7–18). However, a few organelles generally showed a striking ultrastructure in the samples. The lumen of the rough endoplasmic reticulum (rER) often revealed circular profiles which derived from invaginations of the rER membrane (Suppl. Figure 1) and which could be confused with coronavirus particles at first sight. However, the internal granules of the profiles are identical in size to the ribosomes present at the outer rER membrane. Moreover, the distribution of most of the granules within the profiles revealed a clear association with the membrane, which also accounts for their identification as ribosomes (Bullock and colleagues reported similar structures with the same diagnosis [23]).

A biopsy from a non-COVID-19 patient also showed such profiles within the rER (not shown), but at a very low frequency. Apart from the peculiar presences of profiles in the rER, the matrix of most mitochondria appeared extracted and their internal membranes were often largely missing (Figs. 3D, 5B, Suppl. Figure 2A). Inspection of the sample from the control patient also showed impairment of the matrix ultrastructure of mitochondria (Suppl. Figure 2B), but usually to a lesser degree and at a smaller fraction than the mitochondria in the samples from COVID-19 patients.

The appearance of the alveolar architecture correlated gradually with the presence and appearance of the alveolar epithelium. In virtually unaffected alveoli, the alveolar epithelium was formed by flat type 1 cells, which covered most of the alveolar septum surface, and more voluminous, but locally confined type 2 cells (Fig. 2A). Cellular detachment from the basal membrane, cell, and debris accumulation in such areas were usually not visible. Impairment of alveolar architecture, such as an irregular epithelial lining or widening of alveolar septae by increased deposition of collagen (Figs. 3C, 4A), which reduces alveolar space, was associated with a detachment of alveolar epithelial cells from the basal membrane (Figs. 2B, 3A). Alveoli affected by epithelia cell detachment often showed an increase in type 2 cells compared to unaffected alveoli (Fig. 2A, B), which indicates type 2 cell hyperplasia. In sample regions where the alveolar architecture was not or hardly detectable, type 2 cell clusters were regularly present (Figs. 2C, 3C, D, Suppl. Figure 3), and, besides elastic fibers, these were the only characteristic structural elements of the alveolar septum which could be identified.
Sample regions which showed modified alveolar septae or a more severe impairment of the alveolar architecture revealed the presence of accumulated extracellular material around the cells or in the remaining alveolar space (Fig. 4A). If septae were present, the material occasionally appeared as a continuous layer on top of the septum with its remaining constituents (Fig. 4B), which is likely identical with the hyaline membranes described by histopathology [24]. The extracellular material was of heterogeneous composition, mostly granular with vesicles, membrane lamellae, or fragments of cells (Fig. 4). Larger accumulations of extracellular material were usually infiltrated by intact cells, which show structural
signatures of macrophages (Fig. 4C) or, occasionally, of neutrophils (not shown). In sample regions with a completely dissolved alveolar architecture, cells were surrounded by the extracellular material which contained more cell fragments.

Table 1 General histological description of samples analyzed by electron microscopy

| Patient | Comprehensive histologic DAD phase | General histologic description of EM samples according to the inspection of semithin sections |
|---------|-----------------------------------|------------------------------------------------------------------------------------------------|
| CO3     | Mid-phase                         | Impaired alveolus; small vessels                                                                  |
| CO4     | Late phase                        | Alveolus, partially impaired; bronchioles (epithelium and glands); small vessels                  |
| CO5     | Late phase                        | Alveolus, partially impaired; small vessels                                                     |
| CO6     | Early phase                       | Alveolus; bronchioles (epithelium and glands); cartilage; small vessels                          |
| CO7     | Mid-phase                         | Impaired alveolus; small vessels                                                                 |
| CO8     | Early phase                       | Alveolus; small vessels; large vessel (second half of the inspected volume)                     |

1 From Barisione and colleagues [20]

Fig. 2 Alveolar septae with different degree of structural modification. A Intact alveolar septum with type 1 (t1) and type 2 (t2) cells and a capillary (*) formed by an endothelial cell (en) (patient C06). The lumen of the larger cavity of the capillary shows profiles of an erythrocyte (ec), a lymphocyte (ly), and a granulocyte (gc). Wider parts of the septae show elastic fibers (arrowheads). B Alveolar septum with detached alveolar epithelium (arrows) (patient C04). C Sample region with dissolved alveolar septum structure (patient C05). Larger capillaries (*) are visible and an epithelium-like layer of type 2 cells (t2) which separate the capillaries and connective tissue, such as elastic fibers (arrowheads), from an undefined cell mass above. Scale bar = 10 μm. Data set 7
than the material in sample regions revealing an alveolar architecture (Suppl. Figure 3; see also original large-scale data set of the figure). Fibrin could be occasionally detected in regions where epithelial detachment from basal membrane was visible (Fig. 3B). In regions with more significant alveolar architecture impairment, fibrin accumulations could be detected regularly (Suppl. Figure 5A). Usually, cells with structural characteristics of macrophages or neutrophils were associated with such fibrin accumulations (Suppl. Figure 5).

Capillaries and blood vessels appeared rather inconspicuous. In regions of intact alveolar architecture and moderate degree of alveolar epithelial detachment, endothelial ultrastructure and capillary integrity were virtually unaffected (Suppl. Figure 6A). In more structurally impaired alveolar regions, the endothelial cells revealed vacuolization (Suppl. Figure 6B) and other structural signatures of cellular degeneration, such as plasma extraction and detachment from basement membrane. In contrast to the endothelial preservation, erythrocytes with the typical shape and density were only occasionally detected (e.g., Fig. 2A). In most capillaries, profiles of membrane-bound condensed sacs with a low luminal density were present (Suppl. Figure 6) which represent most probably erythrocyte ghosts. In the sample of a non-COVID-19 patient, many erythrocytes revealed a loss of matrix density which seemed to be extruded into the lumen of the capillary and, occasionally, similar membrane sacs as observed in the biopsies of the COVID-19 patients (not shown). Granulocytes, most probably mainly neutrophils, were regularly seen in capillaries of all samples from COVID-19 patients (Fig. 2A). Lymphocytes (Fig. 2A) and thrombocytes could be detected at much lower frequency within capillaries and blood vessels. A thrombus with fibrin and thrombocyte accumulation could not be detected. Fibrin was only exceptionally seen in capillaries and blood vessels.

Despite a careful examination of more than one hundred ultrathin sections by EM, we only found five infected cells in one patient sample (patient C08) with intracellular and a few extracellular particles that can be confidently assigned as coronavirus (Fig. 5). All of the infected cells had no obvious contact with an epithelium and their cell type could not be determined. Some of them seem to be detached from an epithelium, because they reveal cell–cell contacts (Fig. 5A). In comparison to other cells in the samples, especially the epithelial cells, the ultrastructural preservation appeared impaired, because the cytoplasm was extracted and organelles were only partially preserved. However, the cells showed many virus particles which reveal the typical size and features of coronaviruses [22], i.e., a size between 60 and 200 nm, a limiting biomembrane, spikes, and typical ribonucleoprotein profiles of the
correct size (Fig. 5C, F). In most of the cells, the particles were localized in larger vesicles (Fig. 5A, B), but in structurally more impaired cells, virus particles were the only structures which could be clearly identified (Fig. 5D, E). Structural signatures of virus replication and assembly, such as double-membrane vesicles or buddings into the replication organelles, could not be detected in any of the cells inspected. It is noteworthy that the inspected samples also contained significant amount of bronchiolar tissue (Fig. 1A; Suppl. Figure 7), which was also negative for coronavirus particles and structural signatures of virus replication.

Discussion

The structural preservation of “cryobiopsies” was sufficient to detect cell types of lung alveoli and even coronavirus particles, which is difficult in autopsy material, most probably because of the long intervals between time of death of the patient and conservation of the material for EM [15–17]. Remarkable structural peculiarities were round profiles within the rER, which were obviously produced by invagination from the cytoplasmic side, and a damage of the mitochondrial matrix structure. In a control biopsy from a non-COVID-19 patient, the profiles in the rER were rarely
found, which suggests that their formation could be due to either the COVID-19 disease or to the fact that the control patient was alive during biopsy. In contrast, the mitochondrial damage was regularly present in the cryobiopsy of the control patient, albeit not in all mitochondria and at the same degree, which indicates that this damage could be induced by the freezing of the sample during the cryobiopsy procedure. Freezing and thawing of the tissue possibly caused osmotic stress, which could also be the reason for the poor preservation of most of the erythrocytes.

The histopathological aspects of the alveolar damage described for the six patient biopsies in this study are in line with the results already published for the patients by Barisone and colleagues [20]. The main observation was DAD, to various severity, with an accumulation of material and exudate in the remaining extracellular space, an enrichment of macrophages, neutrophilic granulocytes, and collagen. These results coincide with data from many other studies and reveal a considerable similarity with histopathological data from other cases showing ARDS [6, 8, 25]. Few and still limited ultrastructural investigations on the ultrastructural changes of the lung in COVID-19 patients have been published so far (e.g., [7, 26]). These studies underline the similarity between lung damage induced by COVID-19 and

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**Fig. 5** Virus-infected cells (patient C08). A–C Two isolated epithelial cells (*) of unknown identity which contain coronavirus particles in the cytoplasm. B Higher magnification of the cytoplasmic region marked in image A by a rectangle which shows groups of virus particles enclosed in several membrane-bound compartments (arrows). mi, mitochondrion. C Detail of one of those compartments including two virus particles which show the typical features of coronavirus particles: enveloping membrane (white arrowhead), surface spikes with a globular tip (black arrowhead), granular content representing ribonucleoprotein (arrow), profile diameter between ~60 and 200 nm [22]. D–F An isolated cell of unknown identity which reveals only few detectable organelles but many coronavirus particles in the cytoplasm. Note that the cytoplasmic region (marked by arrowheads) which contains the virus particles appears to be structurally separated from other parts of the cytoplasm without revealing a clearly detectable delimiting membrane. E, F Higher magnifications of the virus particles of the region marked in image D demonstrate the typical features of coronavirus particles (see description for image C). Scale bar A, D = 5 µm; B, E = 200 nm; C, F = 100 nm. Data set 10
other cases of ARDS. The data of our more extended analysis reveal a striking similarity with detailed ultrastructural data presented for ARDS in other diseases [24, 27]. Alveolar damage seems to start with the detachment of alveolar epithelial cells. The endothelial cells appear intact in regions where the alveolar epithelial cells have started to detach and reveal intracellular changes, such as vacuolization, in regions of more severe alveolar epithelium damage. The structural integrity of the capillary endothelium at early stages of ARDS was also described for other cases of ARDS [24, 27] and in animal models [28] which suggests that the endothelial damage follows the damage of the alveolar epithelium and is not the cause for the latter. However, we cannot rule out the presence of molecular changes, e.g., in the cell contacts providing the barrier function of the endothelium, which were not directly visible at the ultrastructural level.

Virus particles were only detected in five cells from one patient (C08), which was also one of the two patients that were positive in IHC [20]. The cells revealed no typical internal features and were found isolated from any tissue which did not allow their identification. Two of them revealed cell–cell contacts which indicate an epithelial origin. Since virus detection was generally possible, we assume that we would have detected virus particles in other regions of the samples if they had been present. We also did not detect the typical double-membrane vesicles or convoluted membranes which are hallmarks of coronavirus replication in cell culture [17]. However, it is unclear if these hallmarks are also visible in infected patient cells. Afzelius showed a case of a coronavirus-infected, well-preserved trachea epithelium from a biopsy and did not report the presence of such compartments [29]. Most of the ultrastructural studies on COVID-19 patient material were performed on autopsy material and suffer from structural preservation which could have affected recognition of replication structures and virus particles [17]. In summary, except from the handful of unidentified cells, lung cells revealed no coronavirus particles and structural evidence of ongoing infection in the many sample areas we have inspected.

From the data we present here, we can conclude that the presence of virus particles and replication-induced structural modification of the cellular architecture is not necessary to propagate the alveolar damage observed, because we could not find virus particles or signatures of replication in or around the affected cells, not even in early stages of alveolar epithelium detachment or unaffected regions nearby. The infection, i.e., viral particles and structural modifications induced by viral replication, might have already been eliminated by intracellular processes, cell death, and/or digestion by macrophages. IHC results from the patients support this hypothesis because only patients at the early stage of DAD (patients C06 and C08, which was also positive by EM) showed immuno-positive alveolar cells with antibodies against the viral N-protein while patients at later stages of DAD were immuno-negative [20], which is in line with results from other studies [30]. The trend toward lower viral RNA copy number in later stages of the disease [8] or DAD [30] underpins this notion. Another explanation for the identification of few infected cells could be that we have missed rare or very focal infections with our still small sample size in comparison to the entire lung. However, it is generally questionable if a productive infection of many lung cells is possible, due to the low amount of entry receptors present [31], or even necessary to produce the lung damage observed. In ARDS, the host immune system initially is most probably hyper-activated by the various causes of ARDS, e.g., trauma or sepsis, which induces the release of danger- or pathogen-associated molecular patterns triggering the immune system and generating tissue damage [32]. In COVID-19, the activation of such an immune response could be initiated by a signal of a preceding or an ongoing infection transported from the upper respiratory system or from other regions of the lung, perhaps, from only focally infected groups of cells. Activated mobile cells, like monocyte-derived macrophages, or virus material, such as RNA, which are regularly found in the lung of COVID-19 patients [14, 33], could be initiators of the local (defense) response of the lung [34–36]. The results presented here suggest that progression of lung epithelial damage in fatal cases is independent of the presence and replication of the virus, supporting the hypothesis of a significant role of host response in the pathogenesis of lethal COVID-19.

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Data availability See Suppl. Tab. 2 for a detailed description of data sets available on the public repository zenodo. Further data or material is available from the corresponding author on request.

Data set 01: https://doi.org/10.5281/zenodo.5681966
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Declarations

Ethics approval  The protocol was approved by the regional ethics committee (reference number: CER Liguria: 144/2020-DI id 10460 on the 06/04/2020).

Consent to participate  The relatives of the patients gave their consent to take the biopsies and to analyze them scientifically.

Conflict of interest  The authors declare no competing interests.

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