Training Data is More Valuable than You Think:
A Simple and Effective Method by Retrieving from Training Data

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Abstract

Retrieval-based methods have been shown to be effective in NLP tasks via introducing external knowledge. However, the indexing and retrieving of large-scale corpora bring considerable computational cost. Surprisingly, we found that RETrieving from the training data (REINA) only can lead to significant gains on multiple NLG and NLU tasks. We retrieve the labeled training instances most similar to the input text and then concatenate them with the input to feed into the model to generate the output. Experimental results show that this simple method can achieve significantly better performance on a variety of NLU and NLG tasks, including summarization, machine translation, language modeling, and question answering tasks. For instance, our proposed method achieved state-of-the-art results on XSum, BigPatent, and CommonsenseQA. Our code is released.

1 Introduction

In natural language processing, retrieval-based methods work by fetching textual information related to the input from large corpora. The model then takes both the input and retrieved results as input to generate results. This can often improve the performance as the model is exposed to related knowledge not present in the input. As a result, retrieval-based methods have been successfully applied in many tasks such as open-domain question answering (Chen et al., 2017), language modeling (Guu et al., 2018; Khandelwal et al., 2020) and machine translation (Khandelwal et al., 2021). However, these methods require building an index of large-scale corpus, and the retrieval leads to a significant computational burden. For example, the kNN-MT model for machine translation has a generation speed two orders of magnitude slower than traditional MT models (Khandelwal et al., 2021).

Figure 1: REINA pipeline of model training/inference with retrieval from training data. Filter only happens at training, as the same training sample will be retrieved from the index. For each instance, we concatenate the input with the retrieved content, i.e., data and/or labels, for model training and inference.

On the other hand, in the supervised learning setting, the text most similar in distribution to the data in inference is the training data. Thus, we explore whether retrieving from the training data, which is usually much smaller than a large-scale corpus, can help improve the performance. Specifically, we first index a task’s labeled training data as input-label pairs. Then, during both training and testing, we retrieve the input-label pairs most similar to the current input. Finally, we concatenate the retrieved training pairs with the input and feed it into the model. An overview of our method is shown in Figure 1.

We note that our method is similar to recent works in prompt learning (Brown et al., 2020; Liu et al., 2021), where a set of labeled data is carefully chosen based on the input and then included in the prompt for few-shot learning. Our method also bears a resemblance to non-parametric instance-based learning (Gu et al., 2018). However, a critical difference is that we focus on the supervised learning setting, where the model parameters are fine-tuned to learn from given examples to achieve much higher performance than few-shot learning.

1https://github.com/microsoft/REINA

During training, we exclude the training instance itself from the retrieval results to avoid data leakage.
or non-parametric methods.

In the experiments, we evaluate our method on four popular types of NLP tasks: summarization, language modeling, machine translation, and question answering. We find that i) after integrating REINA, we can achieve significantly better performance on these tasks, 11 datasets in total, than models with different pre-trained models; ii) REINA leads to SOTA performance on the datasets of XSum, CommonsenseQA (Leaderboard No.1), and BigPatent; iii) REINA can scale up more easily by leveraging more labeled data from other datasets via retrieval, outperforming baselines which is trained on the same set of data. iv) the results on 3 summarization tasks show that BART-base with REINA rivals BART-large, which contains twice more parameters now.

The effectiveness of our approach on summarization tasks provides insights into the core of supervised learning. Even with hundreds of millions of parameters, a model cannot memorize all the patterns in the training data. Thus, recapturing related training data as a side-by-side reminder can explicitly provide needed information to enhance the model’s performance at inference. It also points out that instead of building models of ever increasing sizes, we can make a decent-size model output high-quality results by leveraging those training data that resemble the instance at hand. This can significantly reduce the computational cost while achieving a similar or better performance of a megasized model.

2 Related Work

Retrieval-based Methods Even a pre-trained model as large as GPT-3 (Brown et al., 2020) cannot remember everything, and it is important to leverage information retrieval to collect external knowledge to solve different NLP tasks. There are two types of representations for retriever: bag-of-word (BOW) based sparse representation (Chen et al., 2017) and dense representation from neural networks (Karpukhin et al., 2020).

For the sparse representation, as the method is based on BOW and usually rule-based score, such as BM25, is used for ranking, it can be easily adapted to a general large-scale search. This method has also been widely explored to solve open domain question answering (Chen et al., 2017; Wang et al., 2018; Lin et al., 2018) and Machine Translation (Gu et al., 2018).

Dense representation based retrieval (DPR) (Karpukhin et al., 2020) is the most widely explored area in recent years. Dense representations come from encoders, such as Transformer, trained with task-specific data. And these methods can achieve better recall performance than sparse representation on different tasks, such as open domain question answering (Karpukhin et al., 2020; Guu et al., 2020; Yu et al., 2021), knowledge-grounded generation (Zhang et al., 2021), and machine translation (Cai et al., 2021). One drawback of DPR is that it cannot process longer documents, usually less than 128 tokens (Karpukhin et al., 2020). Another drawback is that it needs parallel data for model training on specific tasks.

Considering the generalization and efficiency of sparse representation, in this paper, we use BM25 score (Robertson and Zaragoza, 2009; Schütze et al., 2008) to retrieve from the training data, and our method is more flexible with no requirement of parallel data for model training. Compared to non-parametric systems guided by search engine (Gu et al., 2018; Khandelwal et al., 2020), our proposed method is based on supervised learning and is more general. Lewis et al. (2021) is related to our work by retrieving related questions from pre-built large-scale question-answer pairs. However, our method doesn’t need addition data augmentation method, and we have successfully applied REINA to a wide range of downstream tasks, including summarization, question answering, machine translation and language modeling.

Prompt Engineering With the success of large-scale language models (Brown et al., 2020) on few-shot learning, prompt engineering comes to be a popular research direction. The idea is to prepend several labeled instances to the input sequence and then conduct the classification or generation. Liu et al. (2021) proposes to prepend the most related labeled data as prompt to help fewshot inference. Li and Liang (2021) optimizes the prompt in continuous space. Motivated by these works where a good labeled prompt can help fewshot learning, we also prepend/append the most similar labeled training data for all the data in training, validation, and test set. However, different from prompt learning, we focus on supervised learning settings.
Figure 2: Model training with retrieval from the training data (REINA). (a) Index on the training data and data retrieval for 4 different tasks. Box in blue is the query or the input sequence to encode. Box in green is the retrieved text. (b-e) Leveraging retrieved data for model training with different structures. For language modeling, we prepend the retrieved data to the query data, and append the retrieved data to the query for all the other tasks. After concatenation, we will directly feed them into Transformers, either Seq2Seq or Encoder-only frameworks, for text generation and answering selection. As we focus on the question answering tasks requiring commonsense reasoning, we have another version of index integrating knowledge graph for more precise retrieval. K: external knowledge from ConceptNet and Wiktionary, src: source language, tgt: target language.

3 Model

In this section, we will introduce the details of our proposed method. Briefly, given the input, we first retrieve the most matched instances with labels from the training data. We then concatenate them with the input sequence to feed into the model for generating the output. An overview of the whole method is shown in Figure 2.

3.1 Retrieval-based Methods

A retrieval-based method collects information most similar to the input from a corpus and then combines it with the input to feed into the NLP model. Suppose we index the corpus into a list of key-value pairs, i.e. $C = \{(k_i, v_i)\}$. Then, given the input $x$, the retrieval engine $E$ matches it with all keys and returns the top $K$ most similar keys to the query together with their values:

$$\{(k_{i_1}, v_{i_1}), \ldots, (k_{i_K}, v_{i_K})\} = E(x|C) \quad (1)$$

In this work, we build the retrieval engine based on the widely used BM25 score (Schütze et al., 2008). We choose BM25 over dense representation mainly for its faster speed.

Then, these retrieved results are combined with the input $x$ to feed into the NLP model $M$ to generate the output $O$:

$$O = M(f(x, \{(k_{i_1}, v_{i_1}), \ldots, (k_{i_K}, v_{i_K})\})) \quad (2)$$

Here, the combination function $f$ can be concatenation, e.g. $f(x, \{(k_{i_1}, v_{i_1}), \ldots, (k_{i_K}, v_{i_K})\}) = [x; v_{i_1}; \ldots; v_{i_K}]$. As data in different tasks is organized in different formats with varying lengths, we will introduce how we define different combination functions $f$ for various tasks in the follows.

3.2 Retrieval from Training Data (REINA)

As retrieval from a large corpus is computationally costly, we propose to retrieve from the labeled
We define the key to be the sentence in $S$ we only concatenate the retrieved text in target where a document is the key and its summary with value to be its translation in $T$. The combination function $f$ concatenates both retrieved question and answers with the input: $f_{QA}(x, c_i) = [x; c_i; x_{i_1}; y_{i_1}; \ldots; x_{i_K}; y_{i_K}]$. Then, the model predicts a score representing how likely $c_i$ is the correct choice to $x$.

As the task requires commonsense knowledge, we build another version of index integrating commonsense knowledge. We follow the strategy from (Xu et al., 2021) and extract the knowledge from ConceptNet (Speer et al., 2017) and Wiktionary for the concepts in the question and choices. For each question $x$ and choice $c$, we use string match to find corresponding entities in ConceptNet: $E^c = \{(x_1, \ldots, x_n)\}$ appears in the question, and $E^c = \{(x_1, \ldots, x_n)\}$ appears in the answer. To find the most relevant concept, we choose the concept with maximum length as the question and answer concept. We find the definition of the chosen concepts from Wiktionary. To find relations in ConceptNet, we find edges that connects question and answer concepts: $R = \{(e_1, r, e_2) | e_1 \in E^x, e_2 \in E^c, (e_1, e_2) \in KG\}$. Here $KG$ is ConceptNet and $r$ is a relation (e.g., AtLocation). We concatenate the Wiktionary definitions and ConceptNet relations $R$ to form the knowledge, $K$, for a question. The knowledge $K$ is included both in the query and index. Thus, the retrieval process becomes: $\{(x_{i_1}, c_i, K_{i_1}), \ldots, (x_{i_K}, y_{i_K}, K_{i_K})\} = \mathcal{E}(x; c_i; K|C)$. The combination function $f$ concatenates retrieved questions and answers with the input: $f_{QA}(x, c_i) = [x; c_i; x_{i_1}; y_{i_1}; \ldots; x_{i_K}; y_{i_K}]$. 

3.3 Model Training and Inference

After concatenating the input with the retrieved data from the training corpus, we feed the new sequence into the Seq2Seq framework for generation tasks and the encoder-only framework for question answering tasks. During training, as it will also retrieve the exact golden label, we filter it directly. During inference, we will not filter any retrieved

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3https://www.wiktionary.org/
| Task                  | Dataset        | Train | Dev  | Test  |
|----------------------|----------------|-------|------|-------|
| Summarization        | Multi-News     | 45k   | 5.6k | 5.6k  |
|                      | WikiHow        | 168k  | 6k   | 6k    |
|                      | XSum           | 204k  | 11k  | 11k   |
|                      | NEWSROOM       | 993k  | 108k | 108k  |
|                      | BigPatent      | 1,207k| 67k  | 67k   |
| Language Modeling    | WikiText2      | 32k   | 3.3k | 3.8k  |
|                      | WikiText103    | 801k  | 1.7k | 1.9k  |
| Machine Translation  | WMT16 (en-tr)  | 205k  | 1k   | 3k    |
|                      | WMT16 (en-de)  | 4,548k| 2.2k | 3k    |
| Question Answering   | CSQA           | 9.7k  | 1.2k | 1.1k  |
|                      | PIQA           | 16k   | 1.8k | 3.4k  |
|                      | aNLI           | 170k  | 1.5k | 3.0k  |

Table 1: Statics of the evaluation datasets. The table shows the number of data in training, dev, and test sets. As we treat the language model as a Seq2Seq problem, the number here is the chunked sequences, each of which contains 64 words for WikiText2 and 128 words for WikiText103.

4 Experiment

In this section, we will introduce more details about experiments and the corresponding analysis.

4.1 Dataset

We evaluate REINA on 4 different tasks with 12 datasets as shown in Table 1.

Summarization We evaluate our method on 5 summarization datasets: 1) XSum (Narayan et al., 2018), extreme summarization, is a task of one sentence summarization on one document. The document comes from British Broadcasting Corporation (BBC) online articles. 2) NEWSROOM (Grusky et al., 2018) is a summarization dataset on a larger scale and the articles with human-written summaries come from 38 major news publications. 3) Multi-News (Fabbri et al., 2019) is a task of multi-document summarization on news articles from the site newser.com. 4) BigPatent (Sharma et al., 2019) is constructed on U.S. patent documents along with human written abstracts. The documents cover broader areas in 9 different categories. Another domain, 5) WikiHow (Koupaee and Wang, 2018) is to summarize the steps of “How to” solve a problem. The dataset consists of more diverse style articles written by ordinary people. Besides the above datasets, we also introduce CNN/Dailymail (Nallapati et al., 2016) and 160G BART pretraining corpus (Lewis et al., 2020) from BOOKCORPUS, CC-NEWS, OPENWEBTEXT, and STORIES, to scale up the training corpus.

Language Modeling As our model is initialized by a pre-trained model, we select two language modeling datasets, the corpus of which is not used for model pre-training. The text of both datasets, WikiText103 (Merity et al., 2017) and WikiText2 (Merity et al., 2017), are extracted from Wikipedia. As the dataset's text is at a document level, the tasks focus on testing the model’s ability to remember longer sequences.

Machine Translation We evaluate our method on the translation of English-German and English-Turkish in both directions from WMT16 (Bojar et al., 2016).

Question Answering We have 3 question answering datasets to evaluate our method: 1) CommonsenseQA (CSQA, Talmor et al., 2019) is a dataset for commonsense multi-choice question answering. The questions are generated based on commonsense knowledge base, ConceptNet. 2) Physical IQA (PIQA, Bisk et al., 2020) is to answer questions requiring physical commonsense reasoning. 3) Abductive NLI (aNLI, Bhagavatula et al., 2020) is a multiple-choice question answering task for choosing the more likely explanation. All these tasks are challenging by requiring commonsense knowledge to reach the correct answer.

4.2 REINA Details

For the task of summarization, instead of directly retrieving the most relevant summary (An et al., 2021), we find the most relevant documents by BM25 score and then leverage the corresponding summaries. Compared to the dense passage retrieval based method, our method can handle the long document retrieval and does not need to train. Moreover, REINA is easier to scale up. We also consider joint training baseline on Summarization tasks. Our setting is to test how other datasets can help improve XSum. For REINA, we build index on summarization datasets from different sources. During model training, we will only train models with the XSum dataset along with retrieved data appended to the documents.
Table 2: Summarization results. In the top section, we report the results from PEGASUS (Zhang et al., 2020) paper. In the bottom, we reproduce three strong baselines with PEGASUS and BART (Lewis et al., 2020), and show our REINA initialized by the same pre-trained models for fair comparison. The bolded numbers show the SOTA performance and the underlined numbers show the best performance with BART initialization. PEGASUS: PEGASUS-large, B: BART-base, L: BART-large, R-1: Rouge-1, R-2: Rouge-2, R-L: Rouge-L

Table 3: Evaluation on XSum test set with training data scale up. BART is jointly trained with datasets in bracket. REINA is trained with XSum document-summary pairs, but the index is built on the datasets in bracket. CNN: CNN/Dailymail dataset, NR: NEWSROOM dataset, 160G: BART pre-training corpus.

For language modeling task, instead of working on word-level retrieval by KNN (Khandelwal et al., 2020), we chunk all the training data. During training, besides the retrieved chunks, we will also include the context of the query chunk to generate next chunk. Compared to KNN-LM (Khandelwal et al., 2020), REINA only needs retrieval once per chunk which is much more efficient.

4.3 Optimization Details

Our information retrieval is based on Lucene Index 4. Our model training is based on Transformers library 5. All our experiments are based on 8-GPU machines.

For summarization tasks, we initialized the model with three types of pre-trained models, PEGASUS-large (Zhang et al., 2020), BART-base, and BART-large (Lewis et al., 2020). Optimization is based on AdamW (Loshchilov and Hutter, 2019). We tune the hyper-parameters from learning rate {2e-05, 5e-05, 7e-05}, and set dropout 0.1, batch size 32. For both baseline and our method, we set the maximal length of the input sequence to be 1024. We use the original document to generate summary in baselines. For REINA, we set the maximal length of the original document 600 and then append the top-5 retrieved summaries from training data.

For language modeling tasks, we initialized the model with BART-base and BART-large. We set the number of words in each chunk to 128 for WikiText103 and 64 for WikiText2. For each chunk generation, we set the context length of baseline methods 1024. For our method, we set the context 512 and prepend the retrieved text. The maximal length of the concatenated sequence is 1024. We

4https://lucene.apache.org/pylucene/
5https://github.com/huggingface/transformers
Table 4: Question answering results. CALM (Zhou et al., 2021) is continue-pretrained from RoBERTa-large model. UNICORN (Lourie et al., 2021) and DEKCOR (Xu et al., 2021) use the T5-11B model. Our DeBERTa baseline is close to DEKCOR but with different pretrained initializations. REINA is also based on DeBERTa. We first evaluate REINA on dev set to verify whether integrating external knowledge in REINA can lead to better performance. And then submit the best one for hidden test set evaluation. We achieve leaderboard No.1 on CommonsenseQA. K: external knowledge from ConceptNet and Wiktionary.

|                | CSQA | aNLI | PIQA |
|----------------|------|------|------|
| Dev Set results|      |      |      |
| DeBERTa        | 84.0 | 88.8 | 85.6 |
| REINA (w/o K)  | 88.8 | 88.6 | 85.5 |
| REINA (w/ K)   | 86.8 | 89.6 | 86.9 |
| Test Set results|    |      |      |
| CALM           | 71.8 | 82.4 | 76.9 |
| UNICORN        | 79.3 | 87.3 | 90.1 |
| DEKCOR         | 83.3 | -    | -    |
| DeBERTa        | -    | 86.8 | 85.1 |
| REINA          | 84.6 | 88.0 | 85.4 |

4.4 Experiment Results

Our experiment results on the summarization tasks are shown in Table 2. Our evaluation metric is based on Rouge-1/2/L scores, same as PEGASUS (Zhang et al., 2020). We have a broad experiment on 5 datasets, ranging from single document summarization (XSum) to multi-document summarization (Multi-News), from news domain to wiki knowledge (WikiHow) and patent (Big-Patent) domains. We re-run all of our baseline methods. Based on the experiment results, we find that REINA can significantly boost the baselines initialized with different pre-trained models, such as PEGASUS, BART-base, and BART-large, on all 5 datasets. Besides, our method with BART-large can achieve state-of-the-art performance on XSum and BigPatent datasets. Moreover, we find REINA can help base models beat larger models. For example, REINA (BART-base) is better than both PEGASUS-LARGE and BART-large on BigPatent and WikiHow datasets.

We also evaluate the ability of REINA on learning from more related datasets. Our experiment results are shown in Table 3. The evaluation is conducted on XSum test set and we use three related data sources from CNN/Dailymail, NEWSROOM, and a 160G raw-text corpus. Based on the experiment results, we treat the first sentence as summary and the rest as document.
Document: No international side has toured Bangladesh since 20 people were killed in a siege at a cafe in Dhaka in July. The England and Wales Cricket Board said in August that tour would go ahead following a security review ...

Summary: England one-day captain Eoin Morgan and opening batsman Alex Hales have opted out of October’s tour of Bangladesh because of security concerns.

REINA 1: England one-day captain Eoin Morgan says he will never again go on a tour where security concerns may affect his game.

REINA 2: Eoin Morgan and Alex Hales remain “very much part of the group” despite not touring Bangladesh, says stand-in England one-day captain Jos Buttler.

Question: Brawn opened the curtains so that the sun could do what?
Answer: REINA chooses: warm room. Baseline chooses: shine brightly

REINA 1: What effect did the sun have on the residents inside? warm house.

REINA 2: James installed his new curtains to keep the light from shining on his television. Where is James probably hanging his curtains? house.

Table 7: Examples from dev sets and the corresponding labeled data retrieved from training set. The top case comes from a summarization task, XSum. The bottom case comes from a question answering task, CommonsenseQA. For summarization tasks, we will only append the document with the retrieved summaries. For CommonsenseQA, we will append the golden QA pairs to the question. The golden answer is “warm room”. REINA 1/2 refers to different retrieved data.

Our evaluation of language modeling is shown in Table 5. Our method can achieve significant improvement on WikiText103 dataset over both BART-base and BART-large baselines. However, it cannot lead to better performance on WikiText2. One reason may be that WikiText2 is a much smaller dataset, and it’s hard for REINA to retrieve the most related text. Besides, we also find Seq2Seq model can be a very strong baseline which means we can leverage more pre-trained models such as PEGASUS, T5 (Raffel et al., 2020), and BART, for language modeling in future work. And Seq2Seq frame would be more flexible to integrate external knowledge to boost performance further.

For machine translation, we make use of the datasets from WMT16. We select one low-resource language, Turkish-English, and one rich-resource, German-English, for REINA evaluation, as shown in Table 6. We re-implement mBART baseline for translation in both directions. To make a fair comparison, REINA is also based on mBART. We can find that REINA can further boost performance under three settings, translating English to Turkish, Turkish to English, and English to German.

4.5 Further Analysis

We show a case study on the data retrieved by REINA. We list two cases from XSum and CommonsenseQA dev sets. From the case on summarization task, we can find that the first retrieved summary from training set, REINA 1, shows the same point of “security concerns” as the golden summary. And the other case on multi-choice question answering, REINA 1 suggests that the sun can warm up a place that shares the same commonsense knowledge to answer the question. After, although we cannot visualize how the neural encoders work by leveraging the retrieved data, we have shown that the data from REINA have very strong correlation with the golden labels.
5 Conclusion

In this paper, we propose a simple and effective method to fully make use training dataset. Our proposed method is general and can be easily integrated into different models on different tasks. We prove that REINA can effectively improve baseline performance on 11 datasets covering summarization, language modeling, machine translation, and question answering tasks.

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