REVIEW ARTICLE

Searching for principles of microbial physiology

Frank J. Bruggeman¹,*,†, Robert Planqué², Douwe Molenaar¹ and Bas Teusink¹,‡

¹Systems Biology Lab, AIMMS, De Boelelaan 1108, 1081 HZ, VU University, Amsterdam, The Netherlands and ²Department of Mathematics, De Boelelaan 1111, 1081 HV, VU University, Amsterdam, The Netherlands

*Corresponding author: Systems Biology Lab, AIMMS, De Boelelaan 1108, 1081 HZ, VU University, Amsterdam, The Netherlands. E-mail: f.j.bruggeman@vu.nl

One sentence summary: We provide the theoretical and experimental evidence for a theory about microbial physiology based on fundamental cellular and physical principles, assuming evolutionary growth-rate maximisation.

ABSTRACT

Why do evolutionarily distinct microorganisms display similar physiological behaviours? Why are transitions from high-ATP yield to low(er)-ATP yield metabolisms so widespread across species? Why is fast growth generally accompanied with low stress tolerance? Do these regularities occur because most microbial species are subject to the same selective pressures and physicochemical constraints? If so, a broadly-applicable theory might be developed that predicts common microbiological behaviours. Microbial systems biologists have been working out the contours of this theory for the last two decades, guided by experimental data. At its foundations lie basic principles from evolutionary biology, enzyme biochemistry, metabolism, cell composition and steady-state growth. The theory makes predictions about fitness costs and benefits of protein expression, physicochemical constraints on cell growth and characteristics of optimal metabolisms that maximise growth rate. Comparisons of the theory with experimental data indicates that microorganisms often aim for maximisation of growth rate, also in the presence of stresses; they often express optimal metabolisms and metabolic proteins at optimal concentrations. This review explains the current status of the theory for microbiologists; its roots, predictions, experimental evidence and future directions.

Keywords: systems biology; microbial physiology; constrained biosynthetic resource allocation; mathematical modelling; biophysics; evolutionary biology

PREAMBLE

In this review, we provide predictions and – hopefully – understanding by taking a systems biology perspective on protein expression, growth and fitness. We will discuss a growing body of associated theory, explain its fundamentals and provide experimental evidence. The theory aims to predict common behaviours of microorganisms from first principles, derived from evolutionary, biochemical and molecular-biological considerations.

The basic premise of the theory is that microorganisms have been selected in evolution to maximise their (immediate) growth rate; this maximal growth rate may be very low or even (below) zero during stressed conditions. Microbes achieve maximal growth rate by expressing all their needed proteins to optimal concentrations, within physicochemical constraints that limit the concentrations and activities of proteins. An important aspect of this theory is that it considers the expression of all cellular proteins. It also aims to be as generic as possible.
Like any theory, it needs to pass the test of empirical validation to be able to stand the test of time.

**Unity of microbial life?**

Our planet is teeming with microbial life (Gibbons and Gilbert 2015). Microbial niches vary greatly and individual species vary in their mechanisms for energy and mass assimilation (Falkowski, Fenchel and Delong 2008). Most microorganisms appear in a constant competition, e.g. scavenging nutrients for growth and survival. Selection filters out those that perform best, either alone or in communities.

One goal of microbiology is to explain the differences and commonalities between microbes in the light of this competition and their interactions. This requires integration of genetic information, molecular biology, biochemistry, ecology and evolutionary history.

Many microorganisms show very similar behaviours, suggesting a common origin. For instance, ‘Why do so many unicellular microorganisms respire at low concentrations of sugars (and low growth rate) and initiate seemingly-wasteful overflow metabolism at high concentrations (and high growth rate)?’; ‘Why are fast growing cells less stress tolerant than slow growing ones?’; ‘Do stress tolerance and growth rate always trade off?’; ‘Why do microbes often form tiny subpopulations of hardly growing, stress-tolerant persisters cells, while the majority is stress-sensitive and fast growing?’; ‘When is one metabolic pathway preferable over another?’; ‘What explains the variation of lag phases of microbial adaptation across conditions?’; and, ‘Can a cell robustly steer protein expression, with its molecular control circuits, to states that support increased, or perhaps even maximal, fitness when conditions change?’

Many of these questions touch on fundamentals of evolutionary biology, enzyme biochemistry, cellular metabolism and growth. Is there a conceptual framework, ‘a theory’, that can provide answers to such questions, which is quantitative and makes testable predictions? We think there is.

**One molecular theory of microbial physiology?**

Any theory about microbial physiology can only be widely applicable if evolutionarily distinct species are sufficiently alike in terms of their molecular biology and selective pressures. This appears to be the case: microorganisms function very similarly (Neidhart, Ingraham and Schaechter 1990; Peregrin-Alvarez, Sanford and Parkinson 2009); they all obey the same physicochemical laws and are composed out of the same types of macromolecules (Neidhart, Ingraham and Schaechter 1990; Schaechter, Ingraham and Neidhardt 2005). Thus, their basic biochemistry and molecular biology is essentially identical. Moreover, biosynthesis routes of macromolecules are largely conserved (Neidhart, Ingraham and Schaechter 1990; Schaechter, Ingraham and Neidhardt 2005). And, enzymes all work according to the same kinetic principles (Cornish-Bowden 2012) and the reactions they catalyse follow the same limited set of basic chemical principles (Noor et al. 2010; Rabinowitz and Vastag 2012).

It appears, therefore, that molecular mechanisms, biosynthesis and growth can all be understood in terms of the same fundamental principles. Some of these are: conservation of chemical elements, reaction stoichiometry, Gibbs-free energy potentials and energy-equivalent recycling (Schuster and Heinrich 1996). Thus, as Jacques Monod put it: ‘What’s true for E. coli is also true for the elephant.’

Selection also has a highly similar influence on different microorganisms (Orr 2009). It is much like François Jacob once remarked, ‘The basic purpose and desire of each cell is to become two cells.’ Accordingly, genotypes are selected that produce (the most) offspring, despite dynamic, sometimes harsh, conditions. They either fix, or stably co-exist in communities (Orr 2009; Saether and Engen 2015).

In this light, it is perhaps not a surprise that evolutionarily distinct microorganisms have such similar physiologies, i.e. metabolic behaviours. In 1924, Kluiver, then a Dutch pioneering microbiologist, called this similarity the ‘Unity of Biochemistry’ (Kluiver 1924; Singleton and Singleton 2017).

So if indeed many microorganisms obey the same biochemical and metabolic principles, and they are subject to the same physicochemical constraints and selective forces, then microbial physiology may be understood in terms of these universal forces and a single theory may exist. This review is about such an emerging ‘molecular-systems’ theory of microbial physiology: what its premises and predictions are, its roots, its experimental evidence, and future directions.

The theory we shall outline is in its essence the formulation and solution of a constrained-optimisation problem. (Not unlike the maximisation of entropy in equilibrium statistical mechanics, leading for instance to the ideal gas law.) It takes foundations from physics, (bio)chemistry and cell biology to understand the relevant (protein-expression) constraints, and evolutionary thinking to define the objective to optimise (‘fitness’). We use understanding of metabolism and cell growth to define a growth rate in molecular terms—our proxy for fitness. Metabolism then leads to growth as it is responsible for the synthesis of all cellular components that occupy space—and the required (energetic) driving force.

Not only because of natural selection do we resort to a study of the (constrained) optimisation of growth rate. Since we lack the molecular and kinetic information for a complete mechanistic description of cells and calculation of their growth rate, our mechanistic understanding is very fragmentary and ‘patchy,’ which prevents the development of a predictive, mechanistic model of a cell. In such cases of limited knowledge, optimisation methods can be used as predictive tools, instead of complete, mechanistic models. If those optimisation methods are successful then the postulated optimisation objective and constraints correctly mimic the outcome of optimisation processes in nature, e.g. constrained maximisation of entropy equilibrium physics and of fitness maximisation in evolutionary biology.

Despite it being an optimisation-based theory, instead of a purely mechanistic theory, it makes biologically relevant predictions. The mathematical analyses of the growth-rate maximisation formulations indicates properties of optimal protein expression and metabolisms. For instance, it predicts that an optimal cell uses the simplest metabolic network, which converts all nutrients into all needed cell material, provided only one protein expression constraint limits growth rate (Wortel et al. 2014; de Groot et al. 2019, 2020b). No expressed enzyme is then redundant, no enzyme is under- or over expressed, and the network has only a single degree of freedom in the number of fluxes (Gagneur and Klamt 2004).\(^1\) The number of elementary

\(^1\) This means that if you known one flux you can calculate all others from the flux relationships that exist in steady-state metabolism. A simple example where this is not the case is the system with three enzymes, enzyme 1 making the molecule ‘X’ and enzyme 2 and 3 consuming it. The steady-state flux relation is \(v_1 - v_2 - v_3 = 0\) (with \(v\)’s as enzyme rates) and clearly you need to know two values of the \(v\)’s to determine them.
metabolisms that a cell uses is almost always equal to the number of protein-concentration constraints that limit growth rate (Wortel et al. 2014; de Groot et al. 2019, 2020b). All of this will be discussed in detail below.

As in all the sciences of complex natural systems, we need to simplify to understand, and the main simplification for now is that we limit ourselves to a discussion of optimal growth behaviour under constant conditions – i.e. at balanced growth. Most of the experimental evidence comes from model laboratory organisms, mainly E. coli and S. cerevisiae. We hopefully provide the reader with food for thought and inspiration to help extend this theoretical framework.

A final note before we start

We hope that we do not scare away any experimentalists by using equations. In fact, we aim for the opposite. Many of equations we show are empirical – phenomenological – and require a fundamental explanation. Finding candidate explanations is what the theory is made for. Validation experiments should then follow. We sincerely hope that this theory is useful, accessible and insightful to many microbiologists – theoreticians and experimentalists alike.

We believe that a system as complex as a living microbial cell cannot only be understood from experiment, nor solely from theory. Scientific progress requires the integration of both. Physics and engineering have shown us the successes of this approach. We believe that microbiology is approaching such a phase too; considering our advanced state of understanding of the molecular-systems underlying physiology and the realisation of a ‘unity of biochemistry and selective pressures’.

The remaining text is divided into three parts. In part I, we provide the fundamentals needed to understand cellular growth-rate maximisation, e.g. a fitness measure and the state of balanced growth. We motivate the choices and assumptions that we will need, and provide definitions. In part II, we focus on the growth-rate costs (and benefits) of protein expression, and describe the evidence that cells often carefully tune of protein concentration constraints to maximise their growth rate. In part III, we show how individual proteins should be considered in a systemic, network context to understand metabolic behaviours – e.g. respiration, respirofermentation – as the outcome of fitness-maximisation strategies of cells. To achieve this, we focus on the characterisation of the optimal metabolic networks that maximise growth rate. Throughout these three sections, experimental evidence will be provided for most of our assertions.

FOUNDATIONAL PRINCIPLES

A universal fitness measure maximised by evolution

The common concept of the fittest genotype is that it increases most in frequency when competing with others (Orr 2009; Saether and Engen 2015). This competition may occur in a dynamic environment with varying nutrients, periods of famine and stresses, and may involve either autonomous microorganisms or ones that are also dependent on others in communities. The eventual winner, at some moment in time, left the most offspring: its fold change in abundance was the greatest (Orr 2009; Saether and Engen 2015).

Let’s be precise. We denote the number of cells of a microbial genotype at time $t$ by $N(t)$. Over evolutionary time, different conditions – ‘epochs’ – occur that influence the number of offspring made. The net fold change in the abundance of microorganisms after $E$ epochs, after $t_E$ time, equals

$$\frac{N(t_E)}{N(0)} = \frac{N(t_1)}{N(t_0)} \frac{N(t_2)}{N(t_1)} \cdots \frac{N(t_E)}{N(t_{E-1})} \frac{N(t_E)}{N(t_{E-1})}$$

with $N(t_j)/N(t_{j-1})$ as the fold change of the abundance in the $j$-th epoch, which we shall denote by $w_j$. The $j$-th epoch lasted $\Delta t_j = t_j - t_{j-1}$ time. Thus, the net fold change equals the product of the fold changes of the sequence of epochs. These epochs could be a period of fasting, stress, or feast, and, therefore, $w_j$ can be smaller or greater than 1.

Fitness is now generally defined as (Bull 1987; Haccou and Iwasa 1995) (Appendix A),

$$F = \frac{1}{t_E} \ln \frac{N(t_E)}{N(0)} = \langle \frac{\ln w}{\Delta t} \rangle,$$

with $\langle \cdot \rangle$ denoting an average value. We believe that this is a proper way to define fitness for microorganisms: it integrates the fitness outcomes of different strategies over time. This definition of fitness also ties in with the common notion of fitness under constant conditions: If only a single environment occurred, during which the microorganism grew at a constant (specific) growth rate $\mu$, then $F = \mu$.

Two informative limiting cases can be considered: a random and deterministic (non-random) limit. Lewontin and Cohen (Lewontin and Cohen 1969) considered a completely random environment (the random limit). Accordingly, they consider the fold-change factors $w_j$ as independent random variables. They found that the microorganism with the highest average growth rate $\langle w/\Delta t \rangle$ across conditions will not necessarily win; it may loose from a competitor with a lower average growth rate, but also a lower variance across conditions; for example, because it is better equipped with signalling systems.

The deterministic limit is reached when microorganisms always adapt perfectly and instantaneously to new environmental conditions (i.e. in the absence of lag phases and phenotypic heterogeneity); then, at all times exponential (balanced) growth occurs, and fitness is now equal to the average specific growth rate across all conditions,

$$F = \frac{1}{t_E} \sum_j \ln e^{\mu \Delta t_j} = \langle \mu \rangle.$$

Maximal fitness now requires maximisation of the mean growth rate.

Any realistic case lies in between these random and deterministic limits. Their analysis become more involved. Lag times, phenotypic heterogeneity and diversification, stresses, and fitness costs can, however, still be introduced. This makes the theory harder to interpret, but still intelligible (Russell and Leibler 2005; Rivoire and Leibler 2011).
Fitness maximisation strategies

Different microorganisms may have different fitness-maximisation strategies to deal with the same dynamic environment. Accordingly, they vary in their fold change value in the same environment (epoch). Who wins depends on their net behaviour, their $F$ value. This might be a microorganism that performs badly in some environments and really well in others. It can be one that performs reasonably well in all environments. Or, one that makes persister cells to prepare for future, extinction-threatening conditions, one that senses and aims to adapt quickly, or one that is always stress tolerant, at the expense of its instantaneous growth rate. Who wins depends also on the exact dynamics of the environment. Using fitness theory, the pros and cons of such fitness-maximisation strategies can be compared [e.g. (Bull 1987; Haccou and Iwasa 1995; Wolf, Silander and van Nimwegen 2015)].

The theory we will introduce below is largely limited to balanced growth, i.e. to long periods of constant conditions, and assumes that microorganisms aim to maximise their immediate growth rate ($\mu$) – a particular fitness-maximisation strategy.2 The resulting theory leads, as we shall see, to growth-rate dependent metabolic behaviours as fitness-maximisation strategy – as we indeed observe them in the lab: At low growth rates, they prepare for future adverse conditions, and at high growth rate, they invest all resources into growth at the expense of stress tolerance and phenotypically diversify into growing and stress-tolerant subpopulations. We will return to this later.

Evolution and optimality of (balanced) growth

The mean growth rate ($\mu$) of a population of competing (different) genotypes changes in time, because faster growing genotypes become relatively more abundant. In 1930, Fisher published a theorem (Fisher 1930), now known as Fisher’s theorem, indicating that the rate of change of $\langle \mu \rangle$ equals the genetic variation in the growth rate, i.e.

$$\frac{d}{dt} \langle \mu \rangle = \langle \delta^2 \mu \rangle$$

(Appendix B). This corresponds to intuition: If a greater spread (genetic variance) in fitness exists, some genotypes grow much faster than others, outgrow them quickly and the mean population growth rate varies greatly.

This evolutionary process of genotype successions is, for all we know, everlasting; there is now no reason to believe it is nearing its end. How can a microorganism then ever behave close to its optimal behaviour if evolution continues indefinitely? We need to address this question, because in the theory we shall be outlining below, we will assume that microorganisms operate close to their optimal behaviour. The answer is twofold.

First, a microorganism can attain a maximal growth rate only given its genotype, by expressing the right combinations of proteins at the right concentrations (without its growth rate being evolutionarily maximal; it is maximal given its current capacities). So what will become crucial, is the nature of the genotype-derived constraints that bound the maximal growth rate (optimality), in addition to the physicochemical ones, when considering optimal protein expression for growth-rate maximisation.

Second, we should distinguish two complementary evolutionary processes, which we term ‘innovation’ and ‘pruning’. Innovation is the evolutionary emergence of new capabilities, such as the evolution of novel metabolic pathways that degrade plastics, via horizontal gene transfer or evolution after gene duplication. Pruning refers to the improved exploitation of existing capacities. It proceeds via mutations too, such as via those that lead to improved protein expression. Pruning improves phenotypic adaptation; innovation facilitates exploration and niche expansion. Evolutionary pruning improves phenotypic adaptation to growth-supporting conditions, leading to faster adaptation and an increased growth rate. Mutations in key transcription factors or in promoter sequences are an example (Kussell 2013; Price et al. 2019).

One possible outcome of evolution is therefore that microorganisms have evolved protein-expression control strategies, via pruning, that allow them to maximise growth rate in each condition, given their genomic potential, and that these strategies work optimally regardless of which metabolic proteins are being regulated (Planque et al. 2018; de Groot et al. 2020b). Thus, the subtly is that, in our theory, an optimal microorganism has the maximal growth rate given its genotype, it expressed the optimal set of proteins at optimal concentrations. Point mutations, leading to new genotypes, can therefore still occur that increase growth rate.

This may sound farfetched but we think that this is very likely. As an example consider a thermostat connected to a heating/cooling system, it robustly keeps a room at setpoint temperature, regardless of the room and environmental conditions. It is based on control principle called ‘integral feedback control’ that steers a system always to a desired state within its design specification – it does not work at a 1000 degrees Celsius or in water, for instance. Thus, that cells have evolved control systems that steer them always to the ‘desired’ state of maximal growth rate cannot be ruled out – in fact we will show evidence of this. How this is possible is not entirely clear. Maybe they evolved with the right combination of integral controllers? What we do not know is that optimal control systems may not even have to rely on complex biochemistry (Berkhout, Teusink and Bruggeman 2013; Towbin et al. 2017; Planque et al. 2018) and should therefore evolve readily.

Summarising, evolution may have stumbled on regulatory motifs of protein expression that are capable of optimal expression across conditions. We believe there is evidence that indeed, after four billion years of evolution, current microorganisms have protein-expression control systems that maximise growth rate, even though they are still subject to evolution. Before we review the experimental evidence, we will first discuss the quantitative definition of the specific growth rate in constant conditions.

Balanced growth

When a population of (isogenic) microorganisms is cultivated under constant conditions it generally relaxes to a constant growth rate. Then, all the properties that are proportional to mass (extensive properties) increase exponentially in time, at a fixed rate (Fig. 1). The ratios of extensive properties (intensive properties, such as concentrations) remain constant. This state is formally called ‘balanced growth’ (Campbell 1957).

Two views exist on the balanced growth state: a population-level, macroscopic view and a single-cell, microscopic view. The microscopic view [e.g. (Painter and Marr 1968)] has recently been reviewed (Jun et al. 2018). The theory we discuss in this review is limited to the macroscopic view. That description refers to the average cell, typically at steady state. It is, therefore, relevant to consider to which state of a single cell that corresponds.

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2 When we write growth rate, we mean the specific, or per capita, growth rate, with unit 1 over time.
In the microscopic perspective, individual cells differ in their birth and division sizes, durations of their cell cycles and molecular content. During balanced growth these cellular observables obey time-invariant probability distributions (Jun et al. 2018). These distributions can be measured with real-time imaging of the growth of single cells (Young et al. 2011), using, for instance, fluorescent reporters of gene expression. Results from single-cell experiments are generally close to theoretical expectations (van Heerden et al. 2017).

The balanced growth state of a cell in the macroscopic theory corresponds to a cell in the microscopic theory that has the mean age (a) in the growing population (Jun et al. 2018). This mean age is related to the mean generation time (tG) of cells as ⟨a⟩ = 0.44⟨tG⟩ (see the Appendix C). Thus, in the macroscopic theory we refer to the average cell that has completed its cell cycle by about 44%.

We can also use the microscopic theory to calculate the mean copy number of a molecule that the average cell contains. Then, we indeed can recover the expected macroscopic value, with μ as the (balanced) growth rate of the population (see the Appendix C). We note that these two results hold when it is assumed that no variation of generation times exists; This assumption can therefore be viewed as a macroscopic limit of the microscopic description, and the macroscopic theory is therefore an approximation.

Constraints from metabolism at steady state

At balanced growth, the concentration c = n/V of all molecules in the cell are constant (see Appendix D). The rates of all cellular reactions are then constant too, as they depend on those (constant) concentrations (Schuster and Heinrich 1996). If we consider the stoichiometries and rates of all cellular reactions in balanced growth, the concentration of molecules stays constant when their net synthesis rate is balanced by what is often referred to as ‘dilution by growth’ (Schuster and Heinrich 1996; de Jong et al. 2017; de Groot et al. 2020b):

\[ \frac{dc}{dt} = \frac{1}{V} \frac{\partial n}{\partial t} - \frac{n}{V^2} \frac{\partial V}{\partial t} = N_j(c) - \mu c = 0. \]

with N as the stoichiometry matrix (containing the reaction stoichiometries), j(c) as a vector containing the steady-state enzyme conversion rates (the flux vector) that depends on the concentrations (and kinetic and environmental parameters),3 and μ capturing the dilution of molecules due to cell-volume growth occurring at a rate equal to dV/dt = μV.

Note that this formalism applies to all molecules in a cell, including the macromolecules, such as proteins, lipids and RNA/DNA. When only metabolism is considered, dilution by growth is generally neglected based on the (often implicit) assumption that metabolic fluxes are much faster than dilution by growth. In steady state, we then arrive at N_j ≈ 0. Flux vectors can now be predicted using dedicated methods such as Flux Balance Analysis (FBA) (Orth, Thiele and Palsson 2010). Such approaches only require the stoichiometry of all the biochemical reactions in a cell, i.e. knowledge of all the reactions catalysed by the metabolic enzymes encoded on a microorganism’s genome (Price, Reed and Palsson 2004).

Constraints on rates from physics and (bio)chemistry

The enzyme conversion rates that were mentioned in the previous section follow rate equations of enzyme kinetics (Cornish-Bowden 2012). Many different catalytic mechanisms of enzymes exist (Cleland 1963a; Cleland 1963b; Cleland 1963c; Cornish-Bowden 2012), each having its own rate equation. The simplest example of a rate equation is the Michaelis–Menten equation – it has unfortunately little relevance to metabolism as most enzymes catalyse multi-reactant reactions and are reversible, despite its prevalence in textbooks.

As long as enzymes are not active in complexes and directly ‘channel’ reactants to each other – which is, in fact, rare – the rate of an enzyme-catalysed reaction is proportional to the concentration of the enzyme (Giersch 1988; Cornish-Bowden 2012 (Appendix E). Enzyme-kinetic rate equations also have other common features (King and Altman 1956; Cleland 1963c; Hofmeyr 1995), they can all be written as,

\[ v = k_{\text{cat}}^+ \cdot e \cdot f(c). \]

with k_{\text{cat}}^+ as the forward (catalytic) rate constant, e as the concentration of enzyme, and f(c) as a nonlinear saturation function

3 We find it useful to distinguish the rate (or activity, symbol v) of an enzyme and a particular steady-state flux through that reaction, denoted by j. The rates v can be a function of time. We will further only consider the steady (or balanced) state, to which the dynamics generally settle if the environmental conditions are constant for long enough.
of reactant concentrations \( (c) \) and parameters (e.g. kinetic constants and environmental conditions). The rate of an enzyme can have an upper and a lower maximal rate, where the maximal forward rate of the enzyme equals \( V_{\text{max}}^{c} = k_{\text{cat}}^{c} \cdot c \) and the maximal backward rate \( V_{\text{max}}^{e} = k_{\text{cat}}^{e} \cdot e \) (Cornish-Bowden 2012). The function \( f(c) \) is therefore bounded,

\[
-k_{\text{cat}} \cdot c \cdot e < \nu < k_{\text{cat}} \cdot c \cdot e \Rightarrow -k_{\text{cat}} \frac{c}{k_{\text{cat}}} < f(c) < 1.
\]

This bound indicates that there exists an upper limit to what metabolite levels can achieve to increase rates at constant enzyme concentrations. This result is going to be important later, when we are considering maximisation of the enzyme conversion rates to achieve growth-rate maximisation, via optimisation of concentrations of metabolic reactants and proteins (enzymes).

In addition to their reliance on the concentrations of the reactants and the catalytic enzymes, enzyme rates are constrained by ‘physicochemistry’ too. Diffusion coefficients of reactants impose a maximal bound on the conversion rate of multi-reactant reactions occurring in the cytosol, in the membrane, and between a membrane-embedded and an extra- or intracellular molecule (Berg and Purcell 1977; Calef and Deutch 1983; Wiegel 1983). This limit is hit when the enzyme converts substrates faster into products than the rate at which substrates collide with the enzyme. It has been suggested that translation operates close to its diffusion limit (Klumpp et al. 2013).

Due to the unintuitive, erratic properties of the random walks of diffusing molecules, the membrane area that needs to be covered with membrane proteins to achieve near-maximal import rates is much lower than one would expect, far below 1% (Berg and Purcell 1977; Wiegel 1983). Still membranes are packed with different protein species (Guigas and Weiss 2016), indicating that cells have limited space for proteins and that some force pushes (some) cellular compartments to be filled with their brim with proteins. Since enzyme-rates are proportional to enzyme concentrations, growth-rate maximisation might be the underlying driving force. We will return to this idea later.

**Protein-expression constraints**

Since the kinetic parameters of enzymes can only change through mutations, cells adapt to new conditions via changes in protein expression at short timescales. That occurs, in addition, to metabolic regulation of proteins, via post-translational modifications and (allosteric) feedback regulation (Chubukov et al. 2014). When we assume that each change in conditions that lead to an immediate growth rate reduction is followed by a protein expression response to increase growth rate then this implies for many enzymes that their concentrations have to increase.

In principle, an increase of an enzyme concentration enhances the rate of the reaction it catalyses (Cornish-Bowden 2012), but it also enhances macromolecular crowding and viscosity that, in turn, lowers diffusion rates of reactants (Zimmerman and Minton 1993), possible reducing the rate of the reaction. This argument is most relevant for bulky, slowly-diffusing reactants such as proteins and (loaded) tRNAs. It been proposed that cells are confronted with this trade off and evolved an optimal protein density that maximises reaction rates (Dill, Ghosh and Schmit 2011; Klumpp et al. 2013).

4 Below we will show that now only change its growth rate by changing of metabolic strategy, e.g. switch from pure respiration to overflow metabolism, for instance, such that this new strategy can exploits a cellular compartment that is not yet full with protein.
we accept one peculiar assumption, that of ‘even competition between growth rate and unneeded protein expression is those studies, one result is particularly intriguing: the relation-
ments such as membranes and the cytosol. Therefore, the syn-
thesis of one particular protein is at the expense of another.

We hypothesise that the resulting competition for con-
strained biosynthetic resources – for space, but also for RNA polymerases, ribosomes, etc. – has shaped cell physiology (Mole-
naar et al. 2009; de Groot et al. 2019). We hypothesise also that constraints leads to bounds on cellular growth rate that enforce particular metabolic behaviours. In our view, in agreement with that of others (Kjeldgaard 1963; Maaloe 1969; Ehrenberg and Hartl 1984; Scott et al. 2014), evolution has led to optimal allocation of limited biosynthetic resources over cellular pro-
teins, such that protein concentrations are optimal and max-
imise growth rate.

In the next section, we will provide evidence that protein synthesis involves both a growth-rate benefit and cost and that microbes appear to maximise their difference and, hereby, max-
imise growth rate.

**FITNESS EFFECTS OF PROTEIN EXPRESSION**

**Growth costs of competition for limited biosynthetic resources**

Genes ‘compete’ for limited biosynthetic resources such as RNA polymerase and sigma factors, their mRNAs for ribosomes and loaded tRNAs, and their proteins for space in cellular compart-
ments such as membranes and the cytosol. Therefore, the syn-
thesis of one particular protein is at the expense of another.

Due to ‘biosynthetic resource competition’, gene expression of unneeded proteins reduces growth rate (Dykhuizen, Dean and Hartl 1987; Dykhuizen and Dean 1990; Stoebel, Dean and Dykhuizen 2008; Scott et al. 2010). Beta-galactosidase, for example, is required for lactose growth, but has a growth-rate reduc-
ing effect—it is a ‘burden’—during glucose growth (Scott et al. 2010). Examples of this protein burden are shown in Fig. 3. In those studies, one result is particularly intriguing: the relation-
ship between growth rate and unneeded protein expression is linear. We can explain this behaviour with a simple model if we accept one peculiar assumption, that of ‘even competition for resources’ (Berkhout, Teusink and Bruggeman 2013). All pre-
existing protein concentrations then reduce by the same frac-
tion \( \lambda \) after the gene activity of an unneeded protein is changed and a new steady state of growth is established. The linear relation is derived in the Appendix F.

**Growth benefit and costs of needed protein expression**

A cell growing on lactose as its sole carbon source needs beta-
alactosidase. Accordingly, under those conditions, the growth rate can be expected to increase when a cell starts to express it. As long as the growth rate increases, the protein is underex-
pressed – the growth rate still ‘benefits’ from more of that pro-
tein. Above some threshold, optimal expression level, the growth rate is expected to reduce again. Beta-galactosidase is now over-
expressed, its synthesis consumes resources that would bet-
ter be allocated to other needed proteins. One should therefore expect an optimum in protein expression.

Protein-expression optimality has been confirmed with enzyme-titration experiments (Fig. 3). In those studies, the microorganism is grown under a condition where the titrated protein is needed. The dependency of the growth rate on the titrated concentration results from concentration dependency of the growth-rate benefit minus that of the (growth-rate) cost of the protein [e.g. (Dekel and Alon 2005; Kalisky, Dekel and Alon 2007)]. Experiments indicate too that the relation between growth rate and the (titrated) expression level of a needed protein generally displays an optimum (Jensen, Michelsen and Westerhoff 1993; van der Vlag et al. 1995; Koebmann et al. 2002; Koebmann Solem and Jensen 2005; Solem et al. 2007; Solem, Koebmann and Jensen 2008; Keren et al. 2016).

Remarkably, it is often observed that the titrated optimum of titratable mutant strain coincides with the the growth rate and protein expression of the wild type strain (Fig. 3) (Jensen, Michelsen and Westerhoff 1993; Koebmann et al. 2002; Koebmann Solem and Jensen 2005; Solem et al. 2007; Solem, Koebmann and Jensen 2008). (Exceptions exist too, perhaps hinting at evolutionary trade offs (Bren et al. 2016; Towbin et al. 2017).) Such optimal protein expression by the wild type has indeed been found for evolutionarily unrelated species, such as Lactococcus lactis, Escherichia coli and Saccharomyces cerevisiae (Jensen, Michelsen and Westerhoff 1993; van der Vlag et al. 1995; Koebmann et al. 2002; Koebmann Solem and Jensen 2005; Solem et al. 2007; Solem, Koebmann and Jensen 2008; Keren et al. 2016).

The fitness potential of an enzyme

That the optimal concentration of a needed protein results from a maximisation of its benefit minus its cost also becomes clear when one derives a relation for the slope of the growth-rate versus titrated protein-concentration relationship (as shown in Fig. 3) (Berkhout, Teusink and Bruggeman 2013). In this deriva-
tion, we assume that the total protein concentration of a cell remains constant during the protein titration. We consider that the experimentalist sets the concentration of the titrated pro-
tein and we further assumed that the cell optimally allocates the remaining protein concentrations over its needed reactions. We defined the growth rate (\( \mu \)) as the protein synthesis rate (\( p_r \)) divided by the total protein concentration of a cell (\( p_r \)) (as others do (Scott et al. 2010)).

For the derivation, the assumption of the constant protein concentration means that during the environmental condition, at which the protein of interest is titrated, the cell does not
change its total protein concentration as function of the concentration of the titrant. The fraction of protein dry-mass as function of cellular dry mass and cell volume are both growth-rate dependent (Bremer and Dennis 2008; Si et al. 2017), whether this leads to a constancy of cellular protein concentration as function of environment conditions is unclear to us.

Under those assumptions, the normalised slope $\Omega_i$ of the growth rate versus the protein fraction $\phi_i$ of protein $i$, defined as its concentration divided by the total protein concentration i.e. $p_i/p_T$, equals (see Appendix G) (Berhout, Teusink and Bruggeman 2013)

$$\Omega_i = \frac{\phi_i}{\mu} \frac{d\mu}{d\phi_i} = \frac{C^{i^h} - \phi_i}{1 - \phi_i}. $$

The $C^{i^h}$ coefficient quantifies the beneficial effect of the protein on the protein synthesis rate, and is called a flux control coefficient in metabolic control theory (Kacser and Burns 1973; Fell 1997). The cost of the protein is captured by its $\phi_i$ term.

If $C^{i^h}$ is positive and high ($\approx 1$), the protein has a high control on the protein-synthesis rate. The equation indicates that such enzymes have a high influence on fitness, regardless of their protein fraction.

Unneeded proteins have a $C^{i^h}$ of zero. For such proteins, integration of the $\Omega_i$ equation then recovers the linear relation between growth rate and the unneeded protein fraction (Fig. 2) (see Appendix J).

Abundant proteins generally have a large fitness influence

We view $\Omega_i$ as the fitness potential of an enzyme, as it quantifies the fractional change in the growth rate (fitness) (i.e. $d\mu/\mu$) upon a fractional change in the concentration $p_i$ of a protein $i$ (i.e. $dp_i/p_i = d\phi_i/\phi_i$). A protein with a high $\Omega_i$ value ($\approx 1$) indicates a large fitness influence—their concentration is far from optimal.

Since abundant enzymes have lower $1-\phi_i$ values, they generally have a higher fitness potential than scarce enzymes (regardless of their flux control coefficient). This predicts that abundant enzymes, such as the ribosome or the glycolytic enzyme glyceraldehyde 3-phosphate dehydrogenase, should therefore be more carefully tuned in concentration than transcription factors, which are low in abundance.

This makes sense, as an abundant enzyme that is 5% removed from its optimal level corresponds to a significant waste of resources compared to a minority enzyme that is 5% from optimality. Thus, an order may exist for the evolutionary need of tuning enzyme concentrations: the order of their abundance. Evolution then proceeds in the direction of diminishing returns by optimising the expression of proteins in the order of their abundance.

At the optimum, where $\Omega_i = 0$, any change in the enzyme concentration reduces fitness. Then, the flux control coefficient equals the fraction abundance of the protein: $C^{i^h} = \phi_i$ (in agreement with earlier findings (Klipp and Heinrich 1999)).

This establishes another rule. In addition to abundant protein having a large effect on fitness when they are not optimally expressed, they also have a high control on protein synthesis when they are optimally expressed.

Thus, ribosomes, being amongst the most abundant proteins in E. coli during moderate to high growth rates, can be expected to have such high control. In the next section, we describe evidence that this abundant enzyme is likely optimally regulated in expression by E. coli.

Optimal regulation of the ribosome concentration in E. coli

Two studies analysed the regulation of the ribosome concentration in E. coli from the perspective of optimal allocation of biosynthetic resources (Scott et al. 2014; Bosdriesz et al. 2015). They were inspired by work that dates back decades ago (Schaechter, Maaloe and Kjeldgaard 1958; Kjeldgaard 1963; Maaloe 1969; Ehrenberg and Kurland 1984) and suggest that ribosome concentrations are optimal and operate close to substrate saturation. About 85% of the ribosomes are bound to amino-acid loaded tRNA’s and are actively translating (Scott et al. 2010).
E. coli regulates the concentration of its ribosomes according to their demand – by aiming to keep the fraction of active ribosomes constant (Bosdriez et al. 2015). Likely other microorganisms do that too (Cox 2004). According to basic enzyme kinetics that fraction equals the actual rate of the enzyme divided by its maximal rate (Cornish-Bowden 2012); i.e. $v / V_{\text{MAX}}$, which equals the $f$-function introduced above, equal to $S/(S + K_M)$ in the case of Michaelis Menten kinetics.  

When an amino acid is limiting, for instance, upon a nutrient downshift, such that ribosomes become overexpressed, ribosomes bind more often to unloaded tRNAs. Then their saturation with loaded tRNA is below optimal, leading to the synthesis of ppGpp by RelA, which binds to unloaded-tRNA-bound ribosomes (Potrykus and Cashel 2008). Subsequently, ppGpp binds RNA polymerases and lowers their affinity for ribosomal promoters, in concert with DksA (Potrykus and Cashel 2008). As a consequence, RNA polymerase is redirected to (binds more often to) non-ribosomal (catabolic) operons, leading to an enhanced synthesis of amino-acid synthesising proteins (and fewer ribosomes), an increase in the loaded tRNA concentration, such that the ribosome’s saturation level is restored, RelA makes less ppGpp, and a reestablishment of a steady state at higher growth rate follows. (Higher than immediately after the nutrient shift.)

When ribosomes are under-expressed, for instance when nutrient conditions improve, the reverse happens; ppGpp concentration is low, more RNA polymerases allocate to ribosomal promoters, ribosomes are made at the expense of catabolic proteins, and a novel steady state follows, again with a higher growth rate then immediately after the nutrient shift.

These two mechanistic stories are examples of (optimal) biosynthetic resource re-allocation—dictated by the microorganisms’s evolved behaviour of growth-rate maximisation and the competition of biosynthetic resources, because they are limited.

Using simulations, Bosdriez et al. (2015) and Scott et al. (2014) showed that the ribosome-expression control circuitry is indeed able to maximise growth rate. Bollenbach et al. provided experimental evidence: they sequentially removed ribosomal promoters (E. coli has 7 of them) and found a growth rate maximum at the promoter combination active in the wild type (Bollenbach et al. 2009).

The control objective of ribosome expression regulation

Thus, the regulatory mechanism for ribosome expression has as its control objective the prevention of wasteful under- and over-expression of ribosomes. It aims to keep the saturation degree of the ribosome with loaded tRNA's as close to 1 as possible. This had already been suggested decades ago (Maaloe 1969; Ehrenberg and Kurland 1984).

Kjeldgaard wrote in 1963 (Kjeldgaard 1963), five years after he, together with Maaloe and Schaechter, established the (almost) linear relationship between ribosome concentration and the growth (Fig. 5), ‘In other words, the number of protein-synthesising units within the bacteria is regulated in such a way that individual units always function at the same rate. If this fixed rate of protein synthesis per ribosome [note: $f_r(m)$] is assumed to be optimal, or nearly so, it is clear that RNA synthesis in the cell is regulated in a manner which affords a high degree of economy to the growing cell. This again would mean a selective advantage in the competitive environment in which bacterial species have probably evolved. It would be tempting to assume that such constant efficiency also applies to the synthesis of other macromolecular species of the bacteria.’

When the saturation function is (high and) constant, the ribosomal protein fraction in E. coli becomes a linear function of the growth rate (Scott et al. 2010; Scott et al. 2014; Bosdriez et al. 2015). This relation was first found experimentally in 1958 (Schaechter, Maaloe and Kjeldgaard 1958) and has recently been revisited (Scott et al. 2010; Metzl-Raz et al. 2017) (Fig. 5). Given the universal importance and costs of ribosomes, we expect that the linear relation between ribosomal protein fraction and growth rate holds for many more microorganisms, as was shown for some already (Cox 2004; Scott et al. 2010; Metzl-Raz et al. 2017).

The linear relation between the ribosomal protein fraction and the growth rate may have a surprising evolutionary origin. When growth-rate maximisation is the objective, i.e. maximisation of protein synthesis flux per unit protein, it is advantageous that enzymes have high affinities for substrates (to enhance substrate saturation) and low affinities for products (to prevent inhibition). It turns out that these saturation conditions are exactly the limit in which the relationship between growth rate and protein fraction becomes linear. This might still be a coincidence, but we doubt that. We illustrate this result with a simple example in Appendix H, where we also show that evolution towards maximisation of flux per unit invested protein leads to enhanced affinities for substrates and reduced affinities for products.

The control objective of ribosomal protein expression, i.e. to keep the ribosome’s saturation with its substrates (loaded tRNA’s) constant by inhibiting ribosomal gene expression when this saturation drops and activating it when it rises, indicates the great potential of metabolite-mediated control of metabolic gene expression for maximisation of growth rate. Since metabolite-binding to transcription factors that regulates metabolic gene expression is a general phenomenon in microbiology, we asked (Berkhout, Teusink and Bruggeman 2013; Planque et al. 2018) how likely it is that metabolic pathways are optimised by this mode of regulation. We found that already simple biochemical interactions, e.g. metabolite binding to a monomeric transcription that binds non-cooperatively to a promoter, can maximise metabolic pathway flux per unit invested protein. We also discovered a rule: the number of metabolites that binds to transcription factors equals the number of environmental parameters at which the metabolic pathway shows self-optimising behaviour (Planque et al. 2018).

The relationships between protein synthesis, ribosomes and balanced growth rate

At balanced growth, the concentration of any active protein in a cell is established by the balance between its rates of synthesis by ribosomes, degradation by proteases (or spontaneous unfolding) and dilution by (volume) growth. The concentration of the ribosomes is set by the same balance. If we ignore degradation of proteins, which is justified for ribosomes and stable proteins such as metabolic enzymes, we obtain the following relation between the concentration of a protein ($p$) and the ribosome ($r$) (Appendix I),

$$\frac{p}{r} \propto \frac{\alpha_p}{\alpha_r}$$

where the factor $\alpha_p$ specifies the fraction of the total translation rate devoted to the protein (or to ribosomal protein $r$). This relation leads to the insight that, at balanced growth, the ratio of
protein and ribosome concentration is proportional to the ratio of the number of ribosomes respectively allocated to their synthesis. Thus, ribosome profiling results should agree with proteomics experiments at balanced growth. We are not aware of an experimental confirmation of this result.

When we sum all synthesis-degradation rate balances of the proteins in a cell we obtain a relationship between the ribosomal protein fraction $\phi_r$ and the growth rate (Appendix I),

$$\mu = k_r f_r(c) \phi_r,$$

with $k_r$ as the catalytic rate constant and $f_r(c)$ as the saturation level of the ribosome with its reactants. The equation implies—perhaps somewhat counterintuitively—that increasing all enzymes by the same factor does not change the growth rate, as the fractions will not change; Only changes in the relative protein concentrations can do that, via reallocation of limited resources.

Many of insightful relationship between growth rate, ribosomes and protein fractions can be found in the papers by the Hwa lab [e.g. (Scott et al. 2010)].

The proportional relation between the ribosomal protein-fraction and growth rate

The ribosomal protein-fraction of E. coli, measured as $\mu g$ of total RNA per $\mu g$ of total protein, as function of growth rate is shown in Fig. 5. It is a linear relationship and not a proportional relation, as was derived above. The experimental relation has an offset ribosomal-protein fraction $\phi_0$ at zero growth rate:

$$\phi_r = \phi_0 + a \mu,$$

with $a$ as its slope. This relation has been explained in two ways.

If all the ribosomes are active, the relationship between the growth rate and the ribosomal protein-fraction is only proportional if the saturation degree of ribosomes is not constant. For proportionality, the saturation degree has to depend on growth rate as in a hyperbolic manner,

$$f_r(c) = \frac{\mu}{k_r \phi_r} = \frac{1}{k_r} \frac{\mu}{\phi_0 + a \mu}.$$

But this argues against the suggested control principle above, i.e. that the saturation degree is kept constant by ppGpp control. Although this result can still result from optimisation of growth rate, as has been suggested (Ehrenberg and Kurland 1984), we doubt that this is the explanation. We consider it more likely that the saturation degree is (kept) constant and that $\phi_r$ reflects a pool of inactive ribosomes – then the slope $\mu$ equals $(k_r f_r(c)^{-1})$ as has been suggested too (Scott et al. 2010).

The next question is whether this pool of inactive ribosomes are ‘idle’ – preparatory – and can be used for growth when conditions change (Mori et al. 2017), for instance via activation of ‘hibernating ribosomes’ (Song and Wood 2020). The advantage of having a reserve of idle ribosomes is that when nutrient are scarce, it provides a fitness benefit when nutrients become suddenly available (Mori et al. 2017).

But, we may still wonder why those idle ribosomes do not actually reduce the growth rate – especially at low growth rates, when nutrients are scarce. If that would be the case, prolonged growth at nutrient limitation would lead to a reallocation of biosynthetic resources to other now-needed proteins, at the expense of the idle ribosomes. This has not been observed in laboratory evolution experiments under nutrient-limited chemostat conditions, as far as we are aware.

So, either microorganisms have evolved regulatory networks under dynamic nutrient conditions that are not easily mutated away in the lab – requiring several mutations –, or idle ribosomes at nutrient limitation do not reduce growth rate. We expect that the latter is the case – paradoxically perhaps.

The proportional relation between ribosomal protein-fraction and growth rate does not mean that ribosomes are limiting growth rate. The growth rate may in fact be limited by the supply of substrates instead, which influence the ribosome by its saturation degree $f_r(c)$ with its loaded-tRNA substrates. Thus, an optimal $f_r(c)$ value requires a sufficiently active ‘supply metabolism’ (Bosdriesz et al. 2015) and supply metabolism might be running into a cellular constraint that limits growth rate – and not the ribosome. This likely occurs at nutrient-limited growth by E. coli, then nutrient-import capacity of a cell is likely limiting growth (O’Brien et al. 2013) – its membrane is then exhausted with needed proteins and the cytosol in which ribosomes reside is partially vacant, allowing, for instance, for expression of idle ribosomes.

This brings us to section III, where optimal protein expression and growth-rate maximisation are related to the entire

Figure 5. Linear relation between ribosomal activities and growth rate in E. coli and S. cerevisiae. Data is from Scott et al. (2010) and Metzl-Raz et al. (2017).
metabolic network of a cell and this entire system is being optimised by global protein expression, subject to physicochemical and protein-concentration constraints.

FITNESS AND MICROBIAL PHYSIOLOGY

From proteins to metabolic networks

Obtaining an understanding of the ability of cells to express proteins at growth-rate-maximising concentrations is only part of the challenge. Proteins function concertededly in networks, and it is those networks that ultimately set cellular growth rate. The cell therefore needs to express the right combination of proteins, at the right concentrations, that together form a reaction network that gives rise to a competitive growth rate. This network is responsible for the conversion of all the nutrients into all the cell material required for growth and the relief of stresses. Our goal is, therefore, to understand why a cell chooses for the (sub) network it expresses and not another one. Microbial physiology is therefore at its heart a systems biology problem (Neidhardt 1999; Schaechter 2006). Several principles of growth-rate maximising metabolic networks have also been discovered. An example reaction network is shown in Fig. 6.

Principles of optimal metabolic networks are partially based on the stoichiometry of metabolic reactions (Fig. 6). Shortly after metabolic reaction networks were experimentally determined in the 1950 and 1960’s, stoichiometric modelling of metabolism started, for example, with the work of Umbarger (Umbarger 1977) and Stouthamer (Stouthamer 1973). Central to those ‘maps’ is the concept of reactant stoichiometry – how many moles of each of the substrates are needed to make each of the products in certain molar amounts (Schuster and Heinrich 1996). These stoichiometric coefficients follow from knowing the reactants, their elemental composition, and balancing the number of each element right and left of the reaction arrow. When all enzymes and all their reactants have been identified, the reaction network is known (Fig. 6).

Since all the molecular concentrations in a cell are constant at balanced growth, all the net synthesis and degradation rates of each of its molecules balance. Then, the synthesis rates of all the macromolecular components of a cell, such as its DNA, mRNA and proteins, can be calculated given its growth rate and its macromolecular composition – this is what Umbarger and Stouthamer did for the first time (Stouthamer 1973; Umbarger 1977). Models that do this are so-called structured, or stoichiometric models, models (De Hollander 1991). (Unstructured models also exist. They are very simple, but lack detail, and just give the overall conversion stoichiometry of nutrients into cells and byproducts (Roels 1983).)

Nowadays, we have detailed structured models that consider the stoichiometry of all metabolic reactions encoded on an organism’s genome (Price, Reed and Palsson 2004). These are so-called genome-scale stoichiometric models, pioneered by Bernard Palsson and colleagues (Varma and Palsson 1993; Varma, Boesch and Palsson 1993; Varma and Palsson 1994). Bioinformatics tools and literature surveys are used to reconstruct the complete network of reactions that the enzymes encoded on a genome catalyse (Price, Reed and Palsson 2004; Teusink et al. 2005; Feist et al. 2009). Genome-scale metabolic network typically contain over a thousand reactions.

When the kinetics of enzymes is not considered, only their stoichiometry, determination of flux values that agree with the steady state assumption—so that all net synthesis and degradation rates balance – is computationally an easy problem. The associated set of equations consists of linear functions of the unknowns fluxes, and linear algebra can be used to find steady-state flux vectors. Since more unknown flux values occur than metabolites, directly solving for the fluxes is not possible as too few equations exist. Linear optimisation is therefore often used – called linear programming. A metabolic objective function is then postulated, typically the formation rate of all macromolecular components (the so called biomass reaction), and by imposing bounds on several incoming fluxes, optimal flux solutions can be calculated. This was pioneered by Fell and Small (Fell and Small 1986). Those methods are nowadays better known as flux balance analysis (FBA) (Orth, Thiele and Palsson 2010).

Stoichiometric modelling methods, like FBA, ignore many aspects of the true problem of optimal biosynthetic resource allocation that cells face when they steer protein expression. Recently, methods have been improved to deal with resource-allocation reactions and associated constraints (Goelzer et al. 2015; O’Brien and Palsson 2015; Mori et al. 2016; Nilsson and Nielsen 2016)—albeit that they remain approximations, we will return to this later.

The ‘real’ optimisation problem is nonlinear, as it contains kinetics of all molecular interactions and catalytic conversions, it is not numerically solvable and nearly all kinetic parameters are unknown. Still, its analysis led to some deep insights into how natural selection shapes microorganisms for maximal growth rate.

Maximisation of metabolic network flux per unit protein

We will next consider the general case of metabolism modelling, the kinetic equations of enzymes are now considered in addition to their reactant stoichiometries (Fig. 6). This means that the concentrations of metabolites and enzymes play a role now too, in stoichiometric models only the enzyme-catalysed rates (fluxes) were considered. So the situation is more complicated. Again, we take the common view that the growth rate equals the flux of protein synthesis per unit protein. Accordingly, growth-rate optimisation requires the optimal allocation of a finite total amount of protein over all the considered metabolic reactions. Or, equivalently, we can ask what the amount of protein is that is minimally required to obtain 1 unit of protein synthesis flux.

Solving this optimisation problem is complicated.

Clearly, the optimal cell expresses only a subset of all the enzymes encoded on its genome. And, when the enzymes’ kinetic equations would be changed, a different optimal solution occurs. The question that we aim to answer now is: what are the characteristics of the metabolic networks that maximise a particular flux given a limited amount of protein that can be allocated over all the reactions in the network? To our surprise, it turned out that a lot can be learned by looking at the mathematical formulation of this problem (Muller, Regensburger and Steuer 2014; Wortel et al. 2014; de Groot et al. 2016, 2020a,b).

For instance, for nearly all enzyme kinetic equations this optimisation problem has one unique optimal solution (Planque et al. 2018).6 We (Wortel et al. 2014), and Muller, Regensburger and Steuer (2014), found that optimal metabolic networks have a very well-defined structure, in the form of what are known as ‘elementary flux modes’ (EFMs).

EFMs are minimal metabolic networks (Hilgetag and Schuster 1994; Schuster, Fell and Dandekar 2000; Gagneur and Klamt

6 The optimisation problem is strictly convex for most enzyme kinetic equations.
A stoichiometric model of metabolism and enzyme kinetics. The fermentation of glucose into ethanol via glycolysis, as it occurs in S. cerevisiae, is shown as an example reaction network, together with its formulation in terms of stoichiometric matrix. Each metabolite is denoted by the number of carbon atoms it contains. An example of an enzyme rate equation is also shown. This network has 15 reactions, 18 intracellular, variable metabolites concentrations and 4 conservation relations of chemical moieties, i.e. total nicotinamide adenine dinucleotide (NAD), total phosphate (P), total adenosine (A) and electrons (e.g. AP2 + AP3 = constant). Therefore, 18 steady-state flux relationships exist, deriving from the requirement that the 18 concentrations are at steady state. Four of these relationships are redundant—they are linear combination of the remaining 14, due to the moiety conservation. Thus, 15 unknown reaction rates and 14 linear relationships between them exist in this network. This means that we need to know only 1 value to determine all values—thus this network is an elementary flux mode. Say we know the value of reaction rate 5 then all there equal the values shown in the steady-state flux vector.

An extremum principle predicts the number of used EFMs

Since rates of enzymes are proportional to their concentrations, a cell with only one constraint that bounds its total protein concentration will run into this bound when one of its steady-state metabolic fluxes— for instance growth rate—is maximised. Then, one protein-concentration constraint is ‘hit’ and limits growth rate. In the case of such one protein-concentration constraint, we proved that the optimal metabolic network (maximising one flux per unit invested protein) is an EFM (Wortel et al., 2014; de Groot et al., 2019). Figure 8 shows evidence that cells indeed sometimes use only a single EFM. It shows the glucose and oxygen uptake-fluxes of different microorganisms as function of the growth rate (dilution rate) in glucose-limited continuous cultures. In all these cases, a region can be defined at low growth rates, below the critical dilution rate, during which cells respire glucose. In this region, the dependencies of the glucose and oxygen uptake rate on growth rate are linear, indicating constant ratios (so-called yields). Since a single EFM has a constant yield, this very likely points to the usage of a single EFM. We can rule out the usage of a fixed combination of EFMs. For instance, for S. cerevisiae we confirmed that the measured yields — of biomass on glucose and on oxygen — can indeed result from a single EFM, using a genome-scale model (P. Grigaitis, Teusink, Bruggeman, unpublished). (Famili et al., 2003) independently confirmed that FBA results agree with such data, but did not consider the EFM argument.)

If cells use one EFM below the critical growth rate then the theory predicts that growth rate is now limited by a single protein-concentration constraint. We believe that this is the membrane compartment, also based on the outcome of a laboratory evolution experiment (Price et al., 2019). If the membrane compartment is filled with needed protein, and is the growth-rate limiting constraint, how can growth rate then still increase...
Figure 7. Elementary flux modes can be complicated metabolic networks, including branches and cycles. A schematic overview of the aerobic respiration of glucose into carbon dioxide and water is shown by glycolysis and the citric acid cycle. This network has 27 reactants, 23 reactions and 5 conservation relationships of chemical moieties (i.e. of CoA (B), ubiquinone (U), nicotinamide adenine nucleotide (N), phosphate (P) and adenosine (A)). Thus, we have 22 (linearly independent) relationships between reaction rates and 23 unknown reactions; thus, we need to 1 flux value to determine them all. This illustrates that this network is an elementary flux mode.

in the chenostat? This happens, not by adding more protein into the membrane, but because the glucose permeases become more saturated with glucose such that the activity per protein increases (O’Brien et al. 2013).

Thus, below the critical growth rate (above which overflow metabolism occurs), metabolism most likely uses one EFM and hits only a single protein-concentration constraint. Fig. 8 suggests that many different microbial species exploit a single EFM during their respiratory region below the critical growth rate. Then, according to our theory, all these organisms run into one protein-concentration constraints. Considering that all cells grow nutrient-limited and at a low growth rate, it is likely that the protein-concentration constraint that is hit is the membrane compartment – it is apparently exhausted with proteins, among others glucose permeases (O’Brien et al. 2013; de Groot et al. 2019; Price et al. 2019).

After the critical growth rate, overflow metabolism kicks in. Fig. 9 shows this in more detail for S. cerevisiae, it ferments above the critical growth rate, it produces ethanol as its main overflow metabolite. Respiration activity decreases (evident from the reduction of the oxygen-consumption flux) while glucose-uptake, ethanol-production and growth rate increase; as if, respiratory metabolism is interchanged for fermentative metabolism. Thus, the summed activity of respiratory and fermentative metabolism now support growth, the contribution of respiration decreases and that of fermentation rises. Since, these contributions change linearly with growth rate, and the metabolism of respiratory and fermentative growth can each occur as a single EFM, we conclude that after the critical growth rate two EFMs are used in a growth-rate dependent combination.

To explain the co-occurrence of two EFMs we require an extremum principle, which we recently found (de Groot et al. 2019): It states that the number of EFMs that an optimal cell uses is (minimally) equal to the number of protein-concentration constraints that are hit and limit growth rate. (We assume a cell that aims for maximise its growth rate via optimal protein expression.) According to this principle, the sudden occurrence of fermentative metabolism at the critical growth rate indicates that a new protein-concentration constraint is hit – in addition to the membrane-compartment constraint. This is likely the cytosolic membrane constraint, although at this stage we cannot be sure.

To be sure, experiments need to be carried out. Experiments that identify active protein-concentration constraints have been carried out, for instance, by Basan et al. (2015). They showed that the critical dilution rate in E. coli (above which it produces acetate) can be shifted to lower values by overexpression of an unneeded cytosolic protein. This indicates that at the critical growth rate the cytosolic compartment is filled with

7 This we know because of purely fermentative anaerobic growth.
8 We put ‘minimally’ between brackets because that situation is in fact unlikely.
Figure 8. Illustration of common microbial physiology: overflow metabolism occurs after a critical growth rate and linear flux-growth rate relations. Values of the glucose (A) and oxygen (B) uptake fluxes in glucose-limited chemostats from different studies (Postma et al. 1989; Holms 1996; Van Hoek, Van Dijken and Pronk 1998; Nanchen, Schicker and Sauer 2006; Fonseca et al. 2007). The figures shows on the right are examples, shown also in the left figure.
needed proteins and that when the growth rate increases further respiratory metabolism is interchanged for acetate-forming metabolism, which requires less cytosolic proteins than pure respiratory growth. An experimental illustration of the prediction that the membrane compartment is already filled at growth rates below its critical value is unfortunately lacking. These interpretations of the experimental data are in agreement with predictions of a genome-scale stoichiometric model of E. coli, considering protein and mRNA synthesis, that assumes growth-rate maximisation (O’Brien et al. 2013), the mathematical description of which is identical to our theoretical analysis that led to the discovery of the extremum principle (de Groot et al. 2019, 2020a,b).

The trade-off between growth and stress demands

The growth-rate reducing effect of unneeded protein expression (discussed above) indicates that preparatory protein expression reduces instantaneous fitness too. This happens because it occurs at the expense of growth-promoting protein expression. Thus readiness for stresses or alternative, better carbon sources comes with an immediate fitness cost – which may explain why cells sometimes resort to phenotypic diversification rather than adaptation of the average cell (Norman et al. 2015). At low growth rates, below the critical growth rate, when we predict that only the membrane protein-compartment is filled and limiting growth rate, the cytosolic compartment is not yet filled to its brim with needed proteins so space is left for preparatory proteins. This is in agreement with experimental data (Ihssen and Egli 2005; Berney et al. 2006), model predictions (O’Brien et al. 2013) and our theory (de Groot et al. 2019, 2020a,b).

The experimental data (Ihssen and Egli 2005; Berney et al. 2006) indicates that slow-growing cells are better prepared for stress and alternative carbon-source usage than fast-growing cells, because of preparatory expression of the associated proteins. This is partially due to a relief of catabolite repression (Gorke and Stulke 2008) and increased concentrations of ppGpp – which activates alternative sigma factors that control stress responses (Potrykus and Cashel 2008).

But still, why does preparatory expression of now-unneeded proteins not reduce the immediate growth rate? Is it not better to leave non-limiting protein compartments partially empty? Is a cell that does not fill its cytosol partially with preparatory proteins, e.g. if it is only membrane limited in a glucose-limited chemostat conditions, not fitter than one that does? We struggle with finding an adequate answer to this question.

Vazquez (2012) suggested the following: They showed that the protein density of a cell (~20% volume fraction (Zimmerman and Trah 1991)) is remarkably close to the optimal volume fraction of macromolecules that maximises biosynthetic rates. That such an optimum must exist follows from the insight that at low-volume fractions macromolecule collision rates are limited by their collision time, while, at high volume-fractions, protein crowding increases viscosity, decreasing the diffusion coefficient of proteins. Thus at intermediate protein concentrations, rates maximise. Thus, perhaps, under- and overfilling of compartments with proteins reduces fitness, regardless of whether those proteins are needed or not.

Thus, the apparent trade-off between growth rate and stress resistance (Nystrom 2004) maybe not be a trade off at slow growth. Thus, the emerging picture is that, as growth rate increases, cellular protein compartments are progressively filled with needed proteins, reducing preparatory protein expression. It may even explain the need for stochastic phenotypic diversification – for instance leading to persister formation – at high growth rate and less so at low growth rate. Although circumstantial evidence exists, many aspects of growth-stress relationship need to be more firmly established experimentally.

The intrinsic nonlinearity of cellular self-fabrication

Finally, we shall discuss why most genome-scale models of metabolism and growth currently ‘circumvent’ modelling of the essence of cellular growth. They fail to truly capture that a cell makes itself, which exploits molecules it made itself to synthesise these same molecules and double them in number (Fig. 10), from birth to division, such that on average a daughter cell is identical to her mother, one generation ago. It has to choose the right molecules, a ‘self-fabricating set’ that can make itself from the available nutrients. Natural selection forces cells to choose molecular components that run this process of self-fabrication (Fig. 10) at the highest possible rate. This means that growth rate
depends on the identity and concentrations of all those components, as these determine the reaction rates which with components are made by their own concerted activities.

Classical analyses of genome-scale models uses a biomass reaction as a user-defined requirement of biosynthetic precursors, e.g. lipids, amino acids, nucleic acids, in proportions that reflect the macromolecular composition of the average cell, i.e. its protein, R/DNA and membrane content per gram dry weight. Thus, the composition does not emerge from the cell itself, the ‘magic’ of the self-fabricating molecular set and the rate of the self-fabrication process is not determined by the cell itself. Moreover, since fluxes are often used as the to-be-determined variables, concentrations of molecules do not even play a role. Consequently, the fact that an enzyme with a certain concentration catalyses its reaction at a certain rate and, hereby, indirectly necessitating, and contributing to, its own synthesis, to balance its own dilution due to cell-volume growth, is not considered. Thus, by not focusing on concentrations and all reactions the essence of self-fabrication is missing.

The ME model by O’Brien et al. (O’Brien et al. 2013) was a key step in the direction of self-fabrication models. Its optimisation problem is nonlinear in the growth rate of the microorganism. It does, however, only consider protein concentrations (and not metabolite concentrations). As a consequence, the saturation functions of enzymes (the $f_i(c)_s$) are constants. ME models are the simplest variants of models of self-fabrication (de Groot et al. 2020b).

To truly model self-fabrication, we need to consider all the machinery, all their kinetics, and the concentrations of all the molecules a cell contains. We have recently proposed such a general formalism (de Groot et al. 2020b). In it, the ribosome makes itself as well as all the enzymes to drive this ‘circular’ process. While more proteins are made, the rates of all metabolic reactions and translation increase, as well as the cell volume, causing a dilution rate by volume growth that balances the synthesis of new molecules, leading to balanced growth. To see why this process is nonlinear in the growth rate, we have added an example to the Appendix.

We have found that even in the full-blown nonlinear case, i.e. with enzyme kinetics, one can still define networks that are minimally required for growth (de Groot et al. 2020b). They are minimal self-replicating metabolic systems, called elementary growth modes (EGMs), that can self-fabricate of all their molecular components at a fixed (balanced) growth rate from nutrients. They truly describe balanced growth in all biochemical detail. EFMs refer to metabolic networks, in the absence of volume dilution of metabolites and the synthesis of the catalysing enzymes; EGMs refer to metabolic networks catalysed by proteins synthesised by ribosomes, and all these components are diluted by growth. Thus, metabolic networks are subnetworks of EGMs.

Some of the results obtained with EFMs turn out to be valid for EGMs too (de Groot et al. 2020b). We could show that also the number of EGMs is maximally equal to the number of hit constraints on protein pools. With only one hit constraint (which is the condition that the volume of a molecule is directly tied to molecular contents), a single EGM is the growth rate maximiser.

A remarkable insight is that if the amino-acid composition of all proteins in a cell is constant across conditions, the metabolic subnetwork of any EGM is an EFM. Experimental evidence supports this constancy of amino acid composition (de Groot et al. 2020b).

To summarise, we have dived deep into the mathematics of the true self-fabrication optimisation problem at steady state. When we surfaced, we could conclude that the vast majority of the interpretations of experiments discussed above are preserved when the EGM framework is used. EGMs are the most general molecular descriptions of the states underlying balanced growth of microorganisms. Therefore, any general balanced-growth theory should start from them. This is the challenge ahead of us in the coming years.

MICROBIAL OPTIMALITY: FACT OR FICTION?

A perspective on microorganisms from the allocation of finite biosynthetic resources has proven to be extremely fruitful in the last decade. Without this perspective it is hard to take a systemic perspective on cells and realise how all molecules in it form a functional entity. The resource allocation perspective, however, is in itself not a hypothesis about the optimality of microorganisms. Many of the groundbreaking results from other labs were obtained without relying on optimality, but rather use phenomenological or empirical laws (Scott et al. 2010; Basan et al. 2015).

Optimality comes into play when one starts to wonder about why microorganisms express certain proteins and networks and not others. Then it is very natural to think about natural selection and evolution leading to microorganisms that allocate resources optimally to maximise their fitness. Fitness then equals long-term growth rate, in presence and absence of stresses. It also thus incorporates survival investments to avoid a negative growth rate, i.e. death. Without the optimality hypothesis, it is hard to hypothesise how a cell should direct its protein economy, in which proteins it should invest. For us, only when we think about maximisation of the return of those investments, i.e. making offspring the fastest, do hypotheses emerge about protein and network expression.

We started the growth-rate maximisation viewpoint as a null hypothesis, a starting point for the design of experiments and interpretation of the microbial physiology literature. What has been mind-boggling is how many puzzle pieces have fallen into place in the last decade of research. So much makes sense now, that we start thinking that a general optimisation-based theory about microorganisms can really be developed. The next step is to continue to quantitatively test the theory against experiments, preferentially by multiple labs using highly standardised protocols. We also need to improve the theory, in particular concerning the competition for proteins involved in different types of constrained commodities.

Richard Feynman once wrote (on his blackboard): ‘What I cannot create, I do not understand.’, referring to the fact that if he couldn’t write down a set of equations describing some phenomenon he did not understand that phenomenon. We hope that microbiology will reach this high standard too. It would give microbiologists a common language that would facilitate comparative microbiology and offer a method to come to grips with the enormous microbial biodiversity on our planet. It would also improve the communication of evolutionary biologists, microbiologists and biophysicists, leading to a more unified biology.

Thus, is there a unity in microbiology? We certainly hope there is.

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The derivation of the fitness function proceeds as follows,

\[ F = \frac{1}{t_e} \sum_{j=1}^{E} w_j = \frac{1}{t_e} \ln \left( \frac{N(t)}{N(0)} \right) = \frac{1}{t_e} \ln \left( \prod_{j=1}^{E} \frac{N(t_j)}{N(t_{j-1})} \right) = \frac{1}{t_e} \sum_{j=1}^{E} \ln w_j \]

where identifies \( \Delta t \) as the probability of the occurrence of the \( j \)-th epoch such that the last step in derivation is equivalent to time-averaging.

**APPENDIX B: DERIVATION OF FISHER’S THEOREM**

We define at time \( t \) the mean growth rate \( (\mu(t)) \) of a population of \( N \) genetically different microorganisms as,

\[ (\mu(t)) = \sum_{i=1}^{N} p_i(t) \mu_i. \]

Its rate of change of equals

\[ \frac{d}{dt} (\mu(t)) = \sum_{i=1}^{N} \mu_i \frac{d}{dt} p_i(t). \]

The probability of occurrence of genotype \( i \) is given by

\[ p_i(t) = \frac{n_i(t)}{\sum_{i=1}^{N} n_i(t)}. \]

with \( n_i(t) \) denoting the number of organisms with genotype \( i \) growing at rate \( \mu_i \), and,

\[ \frac{d}{dt} p_i(t) = \sum_{i=1}^{N} n_i(t) \frac{d}{dt} n_i(t) - n_i(t) \frac{d}{dt} \sum_{i=1}^{N} n_i(t) \]

\[ \frac{d}{dt} p_i(t) = \frac{\sum_{i=1}^{N} n_i(t) \frac{d}{dt} n_i(t) - n_i(t) \frac{d}{dt} \sum_{i=1}^{N} n_i(t)}{\left( \sum_{i=1}^{N} n_i(t) \right)^2} = p_i(t)(\mu_j - (\mu(t))). \]

This last equation shows that the abundance of a genotype that grows faster than average increases while that of a slower-than-average grower decreases. Finally, the rate of change in the mean growth rate equals,

\[ \frac{d}{dt} (\mu(t)) = \sum_{i=1}^{N} \mu_i p_i(t)(\mu_i - (\mu(t))) = (\mu^2(t) - (\mu(t))^2) = (\delta^2 \mu(t)), \]

which is Fisher’s theorem (Fisher 1930),

\[ \frac{d}{dt} (\mu(t)) = (\delta^2 \mu(t)) \quad \text{(Fisher theorem)}. \]

This theorem indicates that variation in growth rate causes changes in the mean growth rate.

**APPENDIX C: DERIVATION OF PROPERTIES OF THE AVERAGE CELL IN AN ISOGENIC POPULATION**

A key aspect of the balanced growth theory is that it applies to the average cell in a population of cells that grows at a logarithmic growth rate. If the total number of cells equals \( n \) then its rate of change equals

\[ \frac{dn(t)}{dt} = \mu n(t). \]

The number of cells at time \( t \) with age \( a \), defined as the time elapsed since birth, equals (Painter and Marr 1968),

\[ n(a(t)) = n(t) u(a) da = 2 \mu n(t - a) da \int_{a}^{\infty} f(t) dt = 2 \mu n(t) e^{-a \beta} da \int_{a}^{\infty} f(t) dt, \]

with:

1. \( u(a) da \) the probability to have age \( a \) and \( u(a) \) equals the probability density function for the cell age \( a \),
2. \( 2 \mu n(t - a) da \) is the number of daughter cells formed at \( t - a \) in the time period of length \( da \),
3. \( n(t) = n(t - a) e^{a \beta} \),
4. \( \int_{a}^{\infty} f(t) dt \) equals the probability that a cell has an age greater than \( a \), and thus a generation time greater than \( a \). Therefore, \( f(t) \) is the probability density function for the generation time (or the interdivision time).

The probability density function for the cell age (the ‘age distribution’) is hence given by

\[ u(a) = 2 \mu e^{a \beta} \int_{a}^{\infty} f(t) dt. \quad \text{(Cell – age Distribution)} \]

Since, \( \int_{0}^{\infty} u(a) da = 1 \) the growth rate can be solved from the following characteristic equation,

\[ \int_{0}^{\infty} 2 e^{-a \beta} f(t) dt da = 1. \quad \text{(Population growth rate)} \]

**Case of gamma distributed generation times**

Experimental generation-time distributions, \( f(t)'s \), are often well described by gamma distributions,

\[ t_y \sim f(t_y) = e^{-t_y} t_y^{-1} \beta^{-\alpha}, \]

where \( \Gamma(\alpha) = \int_{0}^{\infty} x^{\alpha-1} e^{-x} dx \) is the Gamma function and \( \alpha \) and \( \beta \) are positive parameters. The mean generation time then equals \( (t_y) = \alpha \beta \) and the variance of the generation time \( (\delta^2 t_y) = \alpha \beta^2 \). For
this case, the growth rate of the population is given by

\[ \mu = \frac{\langle \delta^2 t_g \rangle}{\langle t_g \rangle^2} - 1. \]

Note that (by L'Hopital's Rule)

\[ \lim_{\langle \delta^2 t_g \rangle \to 0} \mu = \ln \frac{2}{\langle t_g \rangle} \]

which corresponds to the generation time definition for the population level description

\[ \frac{dn(t)}{dt} = \mu n(t). \]

Thus, generation time, measured at the level of the population in a culture of isogenic cells, does not equal the mean generation time when measured at the level of single cells. This discrepancy has been shown to be in agreement with experimental data.

We find that

\[ \lim_{\langle \delta^2 t_g \rangle \to 0} (a) = (\ln (2)^{-1} - 1)\langle t_g \rangle \approx 0.44\langle t_g \rangle, \] (Mean cell age)

indicating that the average cell is almost at half its cell cycle.

The balanced growth theory applies to the state of the average cell. To show that this leads to correct predictions, consider a population level model of a molecule X that is produced at a constant rate and is diluted only by growth. The rate of change of its concentration (in copy number per cell) then satisfies

\[ \frac{d\langle x \rangle}{dt} = k - \mu \langle x \rangle, \]

so that \( \langle x \rangle_t = \frac{k}{\mu} \). Now we consider the single cell scenario. The number of molecules of X that a cell contains at time \( t \) equals,

\[ n_x(t) = n_x(0) + N_x(t). \]

with \( n_x(0) \) as the number of molecules at cell birth and the number of molecules produced since birth as \( N_x(t) \). The latter quantity is distributed according to a Poisson distribution (can be derived from the chemical master equation),

\[ N_x(t) \sim \text{Poisson}(kn(t)). \] (with mean \( k\langle x(t) \rangle \)).

Since \( \langle n_x(t) \rangle = 2n_x(0) \) we know that \( \langle N_x(t) \rangle = \langle n_x(0) \rangle = k\langle t_g \rangle \); thus, in the limit \( \langle \delta^2 t_g \rangle \to 0 \),

\[ \langle n_x(t) \rangle = k\langle t_g \rangle + k(a) \approx k(\langle t_g \rangle + (\ln (2)^{-1} - 1)\langle t_g \rangle) = k\langle t_g \rangle \ln (2)^{-1} = k \mu. \]

This equals the population level model result. Thus, the population level model hence assumes that \( \langle \delta^2 t_g \rangle \to 0 \) or that

\[ \frac{\langle \delta^2 t_g \rangle}{\langle t_g \rangle^2} = CV(t_g)^2 \approx 0. \]

This is therefore also one of the key assumptions in the balanced growth theory. According to measurements, the coefficient of variation of the generation time equals,

\[ CV(t_g)^2 \approx 0.1. \]

indicating that the balanced growth theory can be an oversimplification.

**APPENDIX D: CONSTANCY OF THE CONCENTRATIONS AT BALANCED GROWTH**

Since \( c = n/V \) we obtain for its rate of change,

\[ \frac{dc}{dt} = \frac{d}{dt} \frac{n}{V} = \frac{1}{V} \frac{dn}{dt} - \frac{1}{V} \frac{dV}{dt} n = c \left( \frac{1}{n} \frac{dn}{dt} - \frac{1}{V} \frac{dV}{dt} \right). \]

and, since

\[ \frac{1}{n} \frac{dn}{dt} = \frac{1}{V} \frac{dV}{dt}. \]

during balanced growth, concentrations are constant:

\[ \frac{dc}{dt} = 0. \] (Steady – state concentrations at Balanced Growth.)

**APPENDIX E: THE RATE OF AN ENZYME IS PROPORTIONAL TO ITS CONCENTRATION**

That the rate of an enzyme is proportional to its concentration follows from a universal property of enzyme mechanisms (Cornish-Bowden 2012). When we consider those in terms of their elementary reactions, i.e. of reactant association, dissociation and catalysis, using mass action kinetics, we observe that all those rates are proportional to the concentration of an enzyme species.

Consider, for instance, the following mechanism of an enzyme that catalyses the reaction \( A + B \rightarrow C \), in an ordered mechanism of 4 sequential elementary reactions,

\[ E + A \overset{k_1}{\rightarrow} EA \overset{k_2}{\rightarrow} EB \overset{k_3}{\rightarrow} EC \overset{k_4}{\rightarrow} E + C. \]

When we multiply all enzymes species with a factor \( \lambda \) then the total enzyme concentration, \( e_T = ea + eab + ec \), increases with this factor too. The rate of the enzyme will do so too, because the rates of all four elementary reactions

\( c_1 = k_1^e \cdot a \cdot e_b \), \( c_2 = k_2^e \cdot e_a \cdot b - k_2^e \cdot eab \), \( c_3 = k_3^e \cdot eab - k_3^e \cdot ec \) and \( c_4 = k_4^e \cdot ec - k_4^e \cdot e_b \) then increase by a factor of \( \lambda \) as well. Thus, the rate of the enzyme obeys

\[ v(\lambda e_T) = \lambda v(e_T). \] (Proportionality of enzyme rate and concentration)

It can be shown that this holds for all enzyme mechanisms, and is preserved if nonlinear saturation functions are derived using Quasi-Steady-State techniques (Schaechter, Ingraham and Neidhardt 2005).
APPENDIX F: DERIVATION OF THE COST EQUATION FOR UNNEEDED PROTEIN EXPRESSION

We denote the total (cellular) protein concentration (total proteome) by $p_T$. When the unneeded protein is not expressed, $p_T$ equals the sum of all needed protein concentrations

$$p_T = \sum_i p_i \cdot N.$$

When unneeded protein is expressed, the proteome available for needed proteins decreases:

$$p_T - p_U = p_T (1 - \phi_U) = \sum_i p_i' \cdot N,$$

with $\phi_U = p_U/p_T$ and $p_i' = (1 - \phi_i) p_i N$. Thus, each needed protein is reduced in concentration by the factor $(1 - \phi_i)$. We already established that the activity of an enzyme, $v_i$, is proportional to its concentration such that $v_i (p_i N) = \lambda_i v_i (p_i N)$; hence, $\lambda = 1 - \phi_i$.

Since the growth rate $\mu$ of a cell equals its overall protein synthesis $\mu$ divided by total cellular protein (derived below), we obtain that

$$\mu (p_t) = \frac{\lambda (p_T)}{p_T} = \lambda \frac{\lambda_T}{p_T} = \mu (p_T).$$

Thus, finally we obtain $\mu (p_t)/\mu (0) = 1 - \phi_U$ in the even (or passive) competition model. The growth rate decreases linearly with the unneeded protein fraction $\phi_U$.

However, the experimental data of Fig. 4 suggests that the slope deviates from $-1$. One possible explanation is the following: the unneeded protein is always expressed in a particular compartment of the cell, say in the cytosol. Then the unneeded proteins compete for resources with other cytosolic proteins, and its expression fraction among all the proteins it competes with equals $p_U/p_T$. But we always plot the fraction of unneeded protein in the entire protein pool $p_T$ of the cell. Thus, we plot $p_T/p_T$. Then we have to change the equation we derived above to,

$$\mu (p_t) = \frac{\lambda (p_T)}{p_T} = \lambda \frac{\lambda_T}{p_T} = \mu (p_T).$$

with $p_T/p_T > 1$. The data shown in Fig. 4 has a slope of $-2.7$ indicating that $p_T/p_T \approx 0.37$, suggesting that 37% of all cellular protein is cytosolic. (An improvement of this equation can be obtained by also taking into account that this protein competes only with proteins using the same sigma factor. We do not do this here.)

Most experimental data on protein fraction concentration mass fraction, instead of number or concentration fractions. The equations we derived in this section of the appendix are, however, independent of those units. For instance, say that mass of the cell equals $M$ and equals $M = \sum m_i n_i$ with $n_i$ as the number of proteins of type $i$ and $m_i$ its molar mass. If $M_0$ mass is spent on unneeded proteins, corresponding to the unneeded-protein mass fraction $\phi_0 = M_0/M$, then $M - M_0 = \sum m_i n_i'$, mass is left that can be spent on needed proteins, their numbers reduce from $n_i N$ to $n_i' N$. New mass is synthesised by the needed proteins only, the relationship between the growth rate $\mu$ and the total number of needed proteins, $n_{N,T} = \sum_i n_{N,i}$, equals

$$\mu (n_{T,N}) = \frac{\mu (n_{T,N})}{\mu (n_{T,N})} = \sum \mu (n_{T,N}) = \lambda \mu (n_{T,N})$$

and $\mu (n_{T,N}) = \lambda \mu (n_{T,N})$ since $\mu (n_{T,N}) = \lambda \mu (n_{T,N})$ if all enzymes are multiplied with $\lambda$ in number. Now we return to $M - M_0 = (1 - \phi_0) M = \sum m_i n_i'$ and change all the protein number by the same factor $1 - \phi_0$, such that $M - M_0 = (1 - \phi_0) M = \sum m_i n_i'$. Then we have to change the equation we derived above to

$$\mu (n_{T,N}) = \lambda \mu (n_{T,N})$$

and therefore that $\frac{\lambda (n_{T,N})}{\mu (n_{T,N})} = (1 - \phi_0)$ as required. The only assumption made is that an even competition for resources occurs.

APPENDIX G: DERIVATION OF THE FITNESS POTENTIAL EQUATION

Say we change by titration the concentration of protein $i$ having concentration $p_i$. The cell responds by changing the concentration of all other proteins. Thus, the change in the objective flux equals,

$$dJ = \sum \frac{\partial J}{\partial p_i} \frac{\partial p_i}{\partial p_j} \frac{dp_i}{dp_j}.$$

We assume that the cell responded by maximising the flux given the new value of $p_i$ by optimally allocating $p_T - p_i$ over all the remaining enzymes. This mean that we can define the following objective with a Lagrange multiplier $\lambda$,

$$L(p) = J (p) - \lambda \left( \sum_{j \neq i} (p_j (p_T - p_i)) \right).$$

For all proteins, except $i$, optimality requires that,

$$\frac{\partial L}{\partial p_j} = 0 \quad \text{for all } j \text{ except } j = i.$$

Thus, $\frac{\partial L}{\partial p_j} = \frac{\partial}{\partial p_j} \frac{\partial J}{\partial p_i} = \frac{\partial J}{\partial p_i} \lambda$. From the flux summation theorem from metabolic control analysis (Kacser and Burns 1973; Giersch 1988), we know that,

$$\sum_{j \neq i} \frac{\partial J}{\partial p_j} = 1 - \frac{\partial J}{\partial p_i}.$$

Using the last two relations, we obtain

$$\sum_{j \neq i} \frac{\partial J}{\partial p_j} = \frac{\lambda}{\lambda_i} \sum_{j \neq i} p_j = \frac{\lambda}{\lambda_i} (p_T - p_i)$$

so that

$$\lambda = \frac{1 - \frac{\partial J}{\partial p_i}}{\frac{\partial J}{\partial p_i}}$$

and, therefore,

$$\frac{\partial J}{\partial p_i} = \lambda p_i \left( p_T - p_i \right).$$
Now we substitute this last equation in the one we started with,

\[
\frac{d \ln J}{d \ln p_i} = \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{\alpha \ln J}{\alpha \ln p_j} \frac{\partial \ln p_j}{\partial p_i} \right) \frac{d \ln p_i}{d \ln p_i} \]

\[
= \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \]

\[
= \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \]

\[
= \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \]

\[
= \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \right) \frac{d \ln p_i}{d \ln p_i} \]

\[
= \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \right) \frac{d \ln p_i}{d \ln p_i} \]

\[
= \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \right) \frac{d \ln p_i}{d \ln p_i} \]

\[
= \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \right) \frac{d \ln p_i}{d \ln p_i} \]

\[
= \left( \frac{\alpha \ln J}{\alpha \ln p_i} + \sum_{j,i} \frac{1}{p_j} \frac{\partial \ln J}{\partial p_j} \frac{\alpha \ln J}{\alpha \ln p_i} \frac{\partial \ln p_i}{\partial p_j} \right) \frac{d \ln p_i}{d \ln p_i} \]

Thus,

\[
\frac{d \ln J}{d \ln p_i} = \Omega_i = \frac{\alpha_i}{\frac{\alpha_i}{\phi_i} - \frac{\phi_i}{1 - \phi_i}}, \text{ with } \frac{\alpha_i}{\phi_i} = \frac{\alpha \ln J}{\alpha \ln p_i}, \text{ and } \phi_i = \frac{p_i}{p_T}. \]

APPENDIX H: FLUX PER UNIT PROTEIN
MAXIMISATION AND REACTANT AFFINITIES

That evolution towards maximisation of flux per unit invested protein leads to enhanced affinities for substrates and reduced affinities for products can be illustrated theoretically. Consider a linear metabolic pathway for which we aim to maximise the steady-state pathway-flux per unit invested protein. At steady state, all enzymes carry the same flux, \( J = v_1 = v_2 = \ldots = v_n \), and the total enzyme contraction equals \( \epsilon_T = \sum_i e_i \). Since maximisation of \( j/e_T \) is the same as minimisation of \( \epsilon_T/j \), we obtain,

\[
\min_e \sum_i \frac{e_i}{J} = \min_e \sum_i \frac{e_i}{J} = \min_e \sum_i \left( \frac{1}{k_{\text{cat}} f_j(m(e))} \right) = 0.
\]

We already derived that \( -k_{i=1}^{N-1} e_i / k_{i=1}^{N-1} f_i(m(e)) < f_i(m(e)) < 1 \). Thus, evolution pushes all saturation functions to high values, maximally to 1, which means to high affinities for substrates and low affinities for products only in that limit does \( f_i(m(e)) \rightarrow 1 \).

We note that this argument applies for metabolic networks with a single degree of freedom in its fluxes (so we can equate overall steady-state flux \( J \) with each individual enzyme flux \( j \)) such networks are called elementary flux modes (EFMs) (Hilgetag and Schuster 1994; Schuster, Fell and Dandekar 2000; Gagneux and Klamt 2004).

Pushing enzymes to substrate saturation might not always be possible due to a thermodynamic constraint on the values of the kinetic parameters of an enzyme, known as the Haldane relationship. A perspective on the optimisation of activities of single enzymes, given the Haldane constraint, can be found in Cornish-Bowden (Cornish-Bowden 1976).

APPENDIX I: THE RELATION BETWEEN PROTEIN AND RIBOSOME CONCENTRATIONS

At balanced growth, the concentration \( p_i \) of any protein \( i \) in a cell is established by the balance between its rates of synthesis, degradation and dilution by (volume) growth,

\[
\frac{dp_i}{dt} = \alpha_i \frac{k_i}{N_i} f_i(m)p_i - (\mu + k_{d,i}) p_i = 0.
\]

The factor \( \alpha \) specifies the fraction of the total translation rate devoted to metabolic protein \( i \), \( N_i \) equals the number of amino acids in this protein, \( K_r \) is the catalytic rate constant of the ribosome (unit: number of amino acids per ribosome per minute), \( r \) its concentration, \( f_i(m) \) its saturation function and \( k_{d,i} \) is the degradation rate constant.

The concentration of the ribosomes is set by the same balance,

\[
\frac{dr}{dt} = \left( \alpha_i \frac{k_i}{N_i} f_i(m) - \mu \right) r = 0.
\]

(We omitted degradation, because ribosomes are stable proteins.) This last equation indicates that the growth rate of a cell equals the synthesis rate of ribosomes per unit ribosome, \( \mu = \alpha_i k_i f_i(m) / N_i \).

Thus, we obtain the following relation between the concentration of a protein and the ribosome,

\[
\frac{p_i}{r} = \frac{\alpha_i k_i / N_i f_i(m) - \mu}{\alpha_i k_i / N_i f_i(m) + k_{d,i}} \Rightarrow \frac{p_i}{r} \propto \frac{\alpha_i}{\alpha_i}
\]

where the proportionality is valid for stable proteins, i.e. most metabolic proteins. This equation tells us that at balanced growth the protein \( i \) over ribosome concentration ratio equals the ratio of the number of ribosomes translating protein \( i \) over those translating ribosomes.

Let us now derive the relation between the growth rate and the concentration of active ribosomes. The total protein concentration in a cell equals \( p_T = r + \sum p_i \) and is determined by the balance

\[
\frac{dp_T}{dt} = \left( \sum_i \frac{\alpha_i}{N_i} + \frac{\alpha_i}{N_i} \right) k_i f_i(m)r - \mu p_T = k_f(m)r - \mu p_T = 0.
\]

This equation leads to a relationship between the ribosomal protein fraction, the growth rate and the saturation function of ribosome \( f_i(m) \),

\[
\frac{r}{p_T} = \frac{\mu}{k_f(m)r} \Rightarrow \mu = \frac{k_f(m)r}{p_T}.
\]

In the main text we denote the ribosomal protein fraction \( r/p_T \) by \( \phi_i \). Many of these insights can be found in the works of the Hwa lab too (Scott et al. 2010).
APPENDIX J: DERIVATION OF UNNEEDED PROTEIN COST EQUATION FROM THE FITNESS POTENTIAL

An unneeded enzyme has a $C_{ij}$ equal to zero. Thus its fitness potential equals

$$\Delta F_U = \frac{d \ln f_J}{d \ln p_J} = -\frac{p_U}{p_T}.$$

so that

$$\int_{p_J(0)}^{p_J} \frac{d f_J}{f_J} = \int_0^{p_U} -\frac{1}{p_T - p_J} dp_U,$$

and integrating

$$\int_{p_J(0)}^{p_J} \frac{d f_J}{f_J} = \int_0^{p_U} \frac{1}{p_T - p_J} dp_U,$$

gives

$$\int_{p_J(0)}^{p_J} \frac{d f_J}{f_J} = 1 - \frac{p_U}{p_T}.$$

(Fitness cost of unneeded protein expression.)

When the unneeded protein pool is a subset of the protein pool of the cell, e.g. the unneeded protein is cytosolic and the cell has other protein compartments like the periplasm and the membrane, then

$$\int_{p_J(0)}^{p_J} \frac{d f_J}{f_J} = 1 - \frac{p_T - p_J}{p_T}.$$

(Fitness cost of unneeded protein expression in a pool $p_T \in P_T$)

with $p_T < P_T$ and $P_T$ as the entire protein pool of the cell. Experiments indicate that $P_T / p_T \approx 3$.

APPENDIX K: A SELF-FABRICATION MODEL LEADS TO A NONLINEAR BALANCED-GROWTH EQUATION

We consider the simplest self-fabricating model (see (de Groot et al. 2020b) for the general exposition), with one metabolite $X$ that is taken up by enzyme $E$ and used by the ribosome $R$ to synthesise $E$ and itself. We have the following rate of change equations for the concentrations, $x$, $e$, and $r$,

$$\frac{dx}{dt} = ef(x) - rae ge(x) - rae ge(x) - \mu x,$$

$$\frac{de}{dt} = rae ge(x) - \mu e,$$

$$\frac{dr}{dt} = rae ge(x) - \mu r,$$

with $ae$ and $ae$ as the fraction of ribosome allocated to $E$ or $R$, the functions $f(x)$, $ge(x)$ and $ge(x)$ are nonlinear saturation function (enzyme kinetics), $\mu$ is growth rate and concentrations are defined as molecule numbers $(n)$ divided by volume $V$.

We assume, for simplicity, that the volume of a cell is the sum of its protein volumes,

$$V = v_E n_E + v_R n_R,$$

with $v_E$ and $v_R$ as the protein volumes of $E$ and $R$, respectively. Thus, the growth rate equals,

$$\frac{dV}{dt} = \frac{1}{V} \frac{dV}{dt} = \frac{1}{V} \frac{dn_E}{dt} + \frac{1}{V} \frac{dn_R}{dt},$$

$$\mu = r (v_E ae ge(x) + v_R ae ge(x)).$$

This leads to the equation

$$\frac{\mu}{r} = v_E ae ge(x) + v_R ae ge(x).$$

Next, we solve part of the balanced growth equations

$$\frac{dx}{dt} = 0 \text{ and } \frac{de}{dt} = 0,$$

so that

$$\mu x = ef(x) - rae ge(x) - rae ge(x) - \mu x,$$

$$= rae ge(x) f(x) - rae ge(x) - rae ge(x),$$

or

$$\frac{\mu x}{r} = \frac{ae ge(x)}{r} f(x) - \frac{ae ge(x)}{r} - \frac{ae ge(x)}{r}.$$

The two equations in the boxes can be combined to

$$x (v_E ae ge(x) + v_R ae ge(x)) = \frac{ae ge(x)}{r} f(x) - \frac{ae ge(x)}{r} - \frac{ae ge(x)}{r}.$$

Now using from the final balanced growth requirement, $\frac{dx}{dt} = 0$, from which we deduce $\mu = \frac{ae ge(x)}{r}$, we finally find

$$(1 + x v_E) \mu^2 + (ae ge(x) + x v_E ae ge(x)) \mu - (ae ge(x) f(x)) = 0.$$

This a nonlinear equation in the growth rate, $\mu$, and the metabolite concentrations. The quadratic dependence in the growth rate can be directly attributed to the ribosome, which needs to make both itself and the enzyme. (If the metabolite would in turn catalyse a fourth kind of molecule, the relation would be third order in the growth rate.) When we set the concentration of $x$ fixed we obtain a ME-model, in the spirit of O’Brien and Palsson. The reader is invited to read (Price et al. 2019) for the mathematical definition of elementary growth modes. It is built directly on top of systems of nonlinear balanced growth equations such as the one we derived here, but then for whole cells.

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