Effective and less invasive diagnostic strategy for gastrointestinal GVHD

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ABSTRACT
Background and study aims Rectosigmoidoscopy with biopsy has been regarded to be a useful procedure to diagnose gastrointestinal graft-versus-host disease (GVHD). However, little is known about the specific colonoscopic features of gastrointestinal GVHD. In this study, we focused on the 4 unique colonoscopic findings – orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect – which are possible specific findings of gastrointestinal GVHD. We aimed to estimate the usefulness of these four unique colonoscopic findings in the rectosigmoid portion to diagnose gastrointestinal GVHD.

Patients and methods Seventy patients who were histologically diagnosed with gastrointestinal GVHD at our institute were retrospectively enrolled. Colonoscopic findings were reviewed, focusing on the four characteristic findings. The percentage of the positive cases for the characteristic findings was calculated. The final scoping portion and the number of cases showing any of the four characteristic findings in the rectosigmoid portion were also evaluated. The relationships between biopsy sites and the histological findings were also evaluated.

Results Orange peel appearance was observed in 66 cases (94.3%). Spotty redness was observed in 45 cases (64.3%). Small mucosal sloughing was observed in 49 cases (70.0%). Diffuse mucosal defect was observed in six cases (8.6%). The number of cases that were concurrently positive for one, two, and three findings were 16 (20.8%), 20 (26.0%), and 34 (48.6%), respectively. Fifty-eight cases (82.9%) were investigated up to the rectosigmoid portion, and 12 (17.1%) were investigated beyond the sigmoid colon. All of the cases showed at least 1 of the 4 characteristics in the rectosigmoid portion. The percentage of crypt apoptosis in the biopsy specimen from orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect were 87.5%, 83.3%, 87.2%, and 88.9%, respectively.

Conclusion Orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect are the characteristic colonoscopic findings useful for diagnosis of gastrointestinal GVHD. These findings are frequently observed in the rectosigmoid portion. The histological detection rates for crypt cell apoptosis from these findings are high. Identifying the four characteristic findings on rectosigmoidoscopy and taking biopsies from these areas could be essential for the diagnostic strategy for gastrointestinal GVHD.

Introduction
Acute graft-versus-host disease (GVHD) is a serious complication after hematopoietic stem cell transplantation (HSCT), and is a major cause of mortality. It often occurs within 100 days following HSCT and mainly involves the skin, liver, and gastrointestinal tract. The gastrointestinal tract is the second most frequently involved organ, following the skin. Since gastrointestinal GVHD often leads to a poor outcome [1, 2], making an immediate diagnosis is essential to improving the prognosis after HSCT. Watery diarrhea, nausea, vomiting, abdominal pain, and
hematochezia are frequent symptoms of acute gastrointestinal GVHD. However, it is difficult to make an accurate diagnosis only from the clinical symptoms, because these are not specific for gastrointestinal GVHD. The gold standard for diagnosing acute gastrointestinal GVHD is the histological findings from an endoscopic mucosal biopsy.

For prompt and efficient diagnosis of gastrointestinal GVHD, the biopsy portion of the gastrointestinal tract with the highest diagnostic yield has been a topic of debate. The duodenum is the most frequently involved segment of the upper gastrointestinal tract. Therefore, a biopsy from the duodenum is important for diagnosing gastrointestinal GVHD, even if the endoscopic finding of the duodenum is normal [3]. However, in many of the patients, the upper gastrointestinal endoscopy with biopsy is not adequate for diagnosis because of the low frequency of upper gastrointestinal lesions in gastrointestinal GVHD. Many recent studies have reported that a biopsy from the lower intestinal tract has equal or superior sensitivity for the pathological diagnosis of gastrointestinal GVHD compared to a biopsy from the upper gastrointestinal tract [4–9]. Furthermore, some studies reported that a biopsy from the rectosigmoid portion, rather than from the right colon or the ileum, is sufficient for diagnosis [8, 10–12]. Since upper GI endoscopy and total colonoscopy are more invasive than rectosigmoidoscopy, rectosigmoidoscopy with biopsy has been regarded as the more useful way to diagnose gastrointestinal GVHD in recent years. However, little is known about the specific colonoscopic features of gastrointestinal GVHD. Several studies reported that gastrointestinal GVHD shows various and non-specific colonoscopic findings and that it is difficult to identify the characteristic findings [3, 4, 13, 14]. Conversely, the orange peel appearance or tortoiseshell pattern, which represents mucosal edema with a granular surface, was described as the specific finding of gastrointestinal GVHD [15–18]. In addition, spotty redness and small mucosal sloughing were also described as possible specific findings of gastrointestinal GVHD in several small case series [17, 18]. However, the numbers of cases in these previous studies was too small to establish reliable evidence. In our clinical experiences, these characteristic findings are frequently observed in the rectosigmoid portion. In addition, we have also noticed that diffuse mucosal defect could be the characteristic finding of gastrointestinal GVHD. Although these unique colonoscopic findings could be specific features of gastrointestinal GVHD, there have been few reports that evaluated the frequency of these findings in the rectosigmoid portion. Furthermore, corresponding histological findings of these endoscopic features have not been evaluated.

In the current study, we aimed to estimate the usefulness of the four unique colonoscopic findings, orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect in the rectosigmoid portion, for diagnosing gastrointestinal GVHD.

Patients and methods

Patients

We retrospectively reviewed the colonoscopic features of patients who were diagnosed with gastrointestinal GVHD after allogenic HSCT, between January 2001 and December 2015 at Tohoku University Hospital. Gastrointestinal GVHD was diagnosed based on the histological findings. Histological gastrointestinal GVHD was defined as presence of gland apoptosis, not explained by other inflammatory or infectious etiologies. Patients diagnosed with gastrointestinal GVHD complicated with Cytomegalovirus (CMV) colitis were excluded in this study.

Colonoscopy procedure

The colonoscopies were performed by 4 expert endoscopists, all of whom had more than 10 years and experience and had performed more than 5,000 colonoscopies. The colonoscopies were performed with no bowel preparations because most of the cases had watery diarrhea and were in poor general conditions. The colonoscopies were performed using Olympus CF-Q240ZI, CF-H260AI, CF-H260A2ZI, PCF-Q260Jl, and PCF-Q260AI. The scope selections depended on each colonoscopist’s decision. All cases were investigated by colonoscopy with white-light and chromoendoscopy with indigo carmine. In some cases, magnifying procedures were added. The determinations of performing rectosigmoidoscopy or total colonoscopy depended on the patient’s general conditions which were evaluated by each colonoscopist.

Methods

Diagnostic sensitivity of the four characteristic colonoscopic findings for gastrointestinal GVHD

Colonoscopic findings of the patients with gastrointestinal GVHD were reviewed, retrospectively. The colonoscopic findings were investigated by reviewing all captured images and the endoscopy reports. Colonoscopic findings were reviewed, retrospectively. Colonoscopic findings were reviewed, focusing on the following four characteristic findings: a) orange peel appearance, b) spotty redness, c) small mucosal sloughing, and d) diffuse mucosal defect. Pictures of these findings are demonstrated in Fig. 1. Orange peel appearance was defined as mucosal edema with a granular surface looking like “orange peel” or “tortoiseshell,” which was usually made more visible on indigo carmine dye spraying (Fig. 1a). Spotty redness was defined as redness of 1 to 2 cm in diameter with an unclear border (Fig. 1b). Small mucosal sloughing was defined as small areas of superficial mucosal sloughing, with various shapes, of which the border is clear (Fig. 1c). Diffuse mucosal defects were defined as large ulcers with irregular or geographic shapes (Fig. 1d).

The percentage of positive cases with each of the above characteristic findings was calculated. Combinations of the 4 findings were also evaluated.

The final scoping portion and the number of positive cases for any of the 4 characteristic findings in the rectosigmoid portion were also evaluated.
Evaluation of the relation between biopsy sites and the histological findings

The relationship between the colonoscopic features of the biopsy sites and the corresponding histological findings were evaluated based on a case-by-case review. The percentage of the pathological crypt apoptosis in the biopsy specimens was calculated for each endoscopic feature, respectively.

Statistics

The comparison of the positive rates of each endoscopic finding between transplantation types was analyzed by Chi-squared test, and a $P<0.05$ was considered statistically significant. All statistics analyses were performed using JMP Pro 11.0.0. (SAS Institute Inc., USA).

Results

Patients’ background

Between January 2001 and December 2015, 93 patients with suspicious symptoms of gastrointestinal GVHD after allogenic HSCT received colonoscopic evaluations at Tohoku University Hospital. A flow diagram of the cases is presented in Fig. 2. Of the 93 patients, 72 were diagnosed with gastrointestinal GVHD, based on the histological findings. Two of the 72 cases were complicated with cytomegalovirus (CMV) colitis, proven via CMV immunohistochemistry. Seventy patients with histologically proven gastrointestinal GVHD, without CMV infection, were enrolled in this study.

Baseline characteristics of the patients are summarized in Table 1. Patients included 33 males and 37 females (median age 39 years; range 2 – 63 years). Median time of colonoscopy after transplant was 42 days (range 15 – 159 days). The underlying diseases were acute leukemia (n = 45), chronic leukemia
(n = 1), malignant lymphoma (n = 9), adult T-cell leukemia (n = 3), myelodysplastic syndrome (n = 10), and others (n = 2). The types of transplantation were bone marrow transplantation (n = 31), peripheral blood stem cell transplantation (n = 11), and cord blood transplantation (n = 28).

Percentage of the 4 characteristic findings in 70 cases with gastrointestinal GVHD

Orange peel appearance was observed in 66 of 70 cases (94.3%). Spotty redness was observed in 45 of 70 cases (64.3%). Small mucosal sloughing was observed in 49 of 70 cases (70.0%). Diffuse mucosal defects were observed in six of 70 cases (8.6%). In the positive case with each finding, the finding was detected as in a continuous pattern. Pictures of representative cases with each finding are shown in ▶Fig. 3, ▶Fig. 4, ▶Fig. 5, ▶Fig. 6. The sample pictures in each figure were selected from independent cases. There was no association between the endoscopic findings and the transplantation types (▶Table 2).

Combinations of the 4 characteristic colonoscopic findings are shown in ▶Fig. 7. There was only 1 patient who did not have any of the 4 findings. Four of 70 cases (5.2%) presented with all 4 findings. The number of cases that were concurrently positive for 1, 2, and 3 findings was 16 (20.8%), 20 (26.0%), and 34 (48.6%), respectively.

The final scoping portion and the numbers of cases are shown in ▶Fig. 8. Fifty-eight of 70 cases (82.9%) were investigated using rectosigmoidoscopy. All of those cases presented with at least 1 of the 4 characteristic findings in the rectosigmoid portion. There were 12 patients (17.1%) in whom colonoscopic observations were performed beyond the sigmoid colon; total colonoscopies were performed in only 4 cases. All of these 12 cases presented with at least 1 of the 4 characteristic findings up to the sigmoid colon. Overall, all of the 70 cases showed at least 1 of the 4 characteristic findings in the rectosigmoid portion.
**Orange peel appearance**

![Chart showing percentages and examples of positive cases with orange peel appearance. Orange peel appearance was observed in 66 of 70 cases (94.3%).]

**Spotty redness**

![Chart showing percentages and examples of positive cases with spotty redness. Spotty redness was observed in 45 of 70 cases (64.3%).]
Percentage of crypt apoptosis in biopsy specimens taken from the 4 characteristic findings

A total of 197 biopsies were performed in 70 patients. The corresponding endoscopic features of the biopsy sites could be identified in 156 out of 197 biopsies by reviewing the endoscopy reports. The relationship between the colonoscopic features of the 156 biopsy sites and the corresponding histological findings were evaluated based on a case-by-case review. Total biopsy numbers and the percentage of detection of the histological crypt apoptosis from each colonoscopic feature are summarized in ▶ Table 3. The percentages of crypt apoptosis in the

### Small mucosal sloughing

- **Negative**: 21/70 (30.0%)
- **Positive**: 49/70 (70.0%)

▶ Fig. 5 Percentage and examples of the positive cases with small mucosal sloughing. Small mucosal sloughing was observed in 49 of 70 cases (70.0%).

### Diffuse mucosal effect

- **Negative**: 64/70 (91.4%)
- **Positive**: 6/70 (8.6%)

▶ Fig. 6 Percentage and examples of the positive cases with diffuse mucosal defect. Diffuse mucosal defect was observed in 6 of 70 cases (8.6%).
biopsy specimens from orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect were 87.5%, 83.3%, 87.2%, and 88.9%, respectively. In the specimens taken from endoscopically normal mucosa, crypt apoptosis was detected in 7 of 8 biopsies.

Colonoscopic findings and corresponding histological findings of a typical case, which presented with a combination of 2 characteristic findings (orange peel appearance and small mucosal sloughing), are shown in Fig. 9. Crypt apoptosis was found in the biopsy from the area with the orange peel appearance, and destruction of goblet cells was found in the biopsy from small mucosal sloughing.

**Discussion**

In the current study, we evaluated the usefulness of the four characteristic colonoscopic findings for diagnosing gastrointestinal GVHD. In our samples, orange peel appearance was observed in more than 90% of the gastrointestinal GVHD patients. Indigo carmine dye spray facilitated identification of this finding. In a few cases, it was not difficult to detect orange peel appearance only by the white-light. However, in most of the cases, indigo carmine was necessary and helped us easier to identify orange peel appearance. Since, we argue that the chromoendoscopy with indigo carmine dye spraying should be used in the suspected case with gastrointestinal GVHD. The previous study also reported that the orange peel appearance was a characteristic finding of gastrointestinal GVHD [15–18]. The orange peel appearance reflects the overdilatation of the superficial innominate groove of the colonic mucosa, induced by submucosal edema. We identified high rates (87.5%) of gland apoptosis in the biopsies taken from the areas with an orange peel appearance. Therefore, identifying the orange peel appearance, using indigo carmine dye spray, and taking a biopsy from this area could be necessary to diagnose gastrointestinal GVHD efficiently.

Spotty redness has been reported as a useful finding to diagnose gastrointestinal GVHD [17]. However, the sample size in the previous study was too small to establish reliable evidence. Our study, including 70 cases of gastrointestinal GVHD, identified more than 60% positive cases of spotty redness. By reviewing the colonoscopic features and the histological findings case-by-case, biopsy specimens of spotty redness showed that the lymphocytes’ invasions into the crypt had high rates of crypt cell apoptosis (83.3%). We consider that the spotty redness could reflect the focal inflammation of a single or several of the crypts, induced by GVHD. Small mucosal sloughing was also reported as a characteristic finding of gastrointestinal GVHD [17, 18]. In the current study, 70% of cases were positive for this finding. As presented in Fig. 5, the positive cases for this finding showed multiple areas of small superficial mucosal sloughing with clear borders. The shapes of the areas of mucosal sloughing varied among the

| Table 2 | Comparisons of positive rates for each endoscopic finding between the transplantation types. |
|---------|-----------------------------------------------|
|         | BMT  | PBSCT | CBT  | P value^1 |
| Orange peel appearance | 29 (93.5%) | 11 (100%) | 26 (92.9%) | 0.80 |
| Spotty redness | 22 (71.0%) | 5 (45.5%) | 18 (64.3%) | 2.32 |
| Small mucosal sloughing | 23 (74.2%) | 9 (81.8%) | 17 (60.7%) | 2.14 |
| Diffuse mucosal defect | 4 (12.9%) | 1 (9.1%) | 1 (3.6%) | 1.60 |

BMT, bone marrow transplantation; PBSCT, peripheral blood stem cell transplantation; CBT, cord blood transplantation

^1 Chi-squared test
cases, such as round, star-like, or irregular. Biopsy specimens from these areas revealed a high rate of crypt apoptosis (87.2%). As shown in Fig. 9, the histological findings in the areas of small mucosal sloughing tended to be more aggressive than those in the areas with orange peel appearance and spotty redness, in which the crypt destruction or gland necrosis were more frequently observed. We postulate that adhesions of several areas of gland necrosis could gradually become the area of focal small mucosal sloughing.

Although diffuse mucosal defects were observed in only 8.6% of our cases, this finding tended to be observed in the cases with severe gastrointestinal GVHD. We hypothesize that

### Table 3 Percentage of histological crypt apoptosis in the biopsy specimens from each endoscopic feature.

| Endoscopic features          | Total biopsy number (n) | Positive for crypt apoptosis (n) | Percentage of detection for crypt apoptosis (%) |
|------------------------------|-------------------------|---------------------------------|-----------------------------------------------|
| Orange peel appearance       | 48                      | 42                              | 87.5                                          |
| Spotty redness               | 36                      | 30                              | 83.3                                          |
| Small mucosal sloughing      | 55                      | 48                              | 87.2                                          |
| Diffuse mucosal defect       | 9                       | 8                               | 88.9                                          |
| Endoscopically normal mucosa | 8                       | 7                               | 87.5                                          |
| Total                        | 156                     | 135                             | 86.5                                          |
diffuse and severe crypt necrosis lead to the enlarged mucosal defects.

Reviewing our cases, we hypothesize that the 4 characteristic findings discovered in the current study could appear gradually and successively as the gastrointestinal GVHD proceeds (Fig. 10). There are 2 major reasons for this hypothesis. The first reason is that many of our cases were positive for 2 or more findings. Altogether, approximately 80% of our cases were positive for 2 or more findings, and more than 50% of the cases were positive for 3 or more findings. The second reason is that there were several cases with intermediate findings, in which it was difficult to distinguish spotty redness from small mucosal sloughing, or small mucosal sloughing from diffuse mucosal defects. These reasons could support the hypothesis that gastrointestinal GVHD shows the sequential transition of the characteristic colonoscopic findings. Therefore, it could be quite important to identify the four characteristic findings and their combinations to diagnose gastrointestinal GVHD based on the colonoscopic findings.

There are still some issues concerning the endoscopic diagnosing strategy for gastrointestinal GVHD. First, it is still controversial whether scoping up to the cecum or terminal ileum is necessary or not, when performing a colonoscopy for a suspicious case of gastrointestinal GVHD. In the past, there was a report describing the usefulness of magnifying endoscopy for the terminal ileum [19]. However, recent studies indicated that the rectosigmoidoscopy is sufficient for diagnosing gastrointestinal GVHD [8, 10–12]. It could be ideal to scope up to the proximal colon or the ileum to identify the activity of GVHD more precisely. However, the patients with gastrointestinal GVHD after HSCT are usually in poor general condition, with hematopenia, dehydration, and electrolyte imbalance due to severe diarrhea, and are immunosuppressed. Performing total colonoscopy might be too invasive and iatrogenic bowel perforation could be fatal for such patients. Furthermore, our clinical experiences indicate that total colonoscopy can be difficult for the patient with gastrointestinal GVHD because of severe abdominal pain. Our current study showed that all of the cases showed at least one of the four characteristic findings in the rectosigmoid por-
The detection rate of crypt cell apoptosis with at least 1 of the 4 findings was more than 80%. Furthermore, all of the cases were diagnosed as GVHD based on the biopsy from the rectosigmoid portion (data was not shown). Second, it would be also controversial whether magnifying procedure is useful for diagnosing gastrointestinal GVHD. Based on our experiences, magnifying scope would not be necessary for detecting the four characteristic findings studied in this study. We think that further experiences or the prospective study should be needed for this issue. Finally, biopsy site is another important issue. It is still unclear whether the random biopsy with no precise endoscopic observation is enough to diagnose gastrointestinal GVHD. In the present study, 148 out of 156 biopsies were taken from any of the four findings, and only 8 biopsies were taken from the endoscopically normal mucosa. Our results showed the high detection rates for crypt apoptosis. Based on these results, we think that target biopsy would be the best strategy for efficient and less invasive diagnostic strategy for gastrointestinal GVHD.

From the previous evidence and these results, we propose an efficient and less invasive diagnostic strategy for gastrointestinal GVHD. For the suspicious cases of gastrointestinal GVHD, rectosigmoidoscopy could be the first procedure performed. Identifying the 4 characteristic findings on rectosigmoidoscopy and taking biopsies from the areas with these findings is essential. Indigo carmine dye spraying is strongly recommended to detect the orange peel appearance. Total colonoscopy should be performed only when the rectosigmoid portion reveals normal mucosal findings. Even if the colonoscopic findings are completely normal, a biopsy from the endoscopically normal mucosa should be performed, because it is helpful in some cases.

There are some important limitations in the current study. The first is that we could not include the negative controls, which were not diagnosed as gastrointestinal GVHD. There were a few cases, in which colonoscopy was performed and the patient was not diagnosed as gastrointestinal GVHD after HSCT. Therefore, we could only calculate the sensitivity of the 4 characteristic findings for diagnosing gastrointestinal GVHD, but not the specificity. The second is that the validations of endoscopic findings between individual endoscopists were not performed because of the retrospective study design. The third is that the correlation between colonoscopic findings and the treatment responses or the prognosis were not evaluated. The further study should be needed to clarify this issue.

Identification of the 4 characteristic findings in gastrointestinal GVHD...
Conclusion

In conclusion, orange peel appearance, spotty redness, small mucosal sloughing, and diffuse mucosal defect are the characteristic colonoscopic findings that are useful for diagnosis of gastrointestinal GVHD. These findings are frequently observed in the rectosigmoid portion, often with combinations of these findings. The detection rates for crypt cell apoptosis in the biopsy specimens from these findings are quite high. Identifying the four characteristic findings on rectosigmoidoscopy and taking biopsies from these findings is essential for the efficient and less invasive strategy for diagnosing gastrointestinal GVHD.

Competing interests

None

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