Three-dimensional imaging of colloidal glasses under steady shear

R. Besseling\textsuperscript{1}, Eric R. Weeks\textsuperscript{2}, A. B. Schofield\textsuperscript{1}, W. C. K. Poon\textsuperscript{1}

\textsuperscript{1}Scottish Universities Physics Alliance (SUPA) and School of Physics, The University of Edinburgh, Kings Buildings, Mayfield Road, Edinburgh EH9 3JZ, United Kingdom.
\textsuperscript{2}Physics Department, Emory University, Atlanta, Georgia 30322, USA.

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Using fast confocal microscopy we image the three-dimensional dynamics of particles in a yielded hard-sphere colloidal glass under steady shear. The structural relaxation, observed in regions with uniform shear, is nearly isotropic but is distinctly different from that of quiescent metastable colloidal fluids. The inverse relaxation time $\tau_\alpha^{-1}$ and diffusion constant $D$, as functions of the local shear rate $\dot{\gamma}$, show marked shear thinning with $\tau_\alpha^{-1} \propto D \propto \dot{\gamma}^{0.8}$ over more than two decades in $\dot{\gamma}$. In contrast, the global rheology of the system displays Herschel-Bulkley behavior. We discuss the possible role of large scale shear localization and other mechanisms in generating this difference.

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Glassy materials are ubiquitous in nature and in industry; examples range from molecular and metallic glasses \cite{1,2} to soft glasses like colloidal suspensions, emulsions and foams. \textsuperscript{3} \textsuperscript{4}. Of special importance, both fundamentally and practically, is their rheological behavior. Glasses have liquid-like microstructure, but solid-like mechanical behavior. At low applied stress, they have finite shear moduli, but at sufficient stresses they yield and display highly nonlinear flow behavior.

Among the many open issues in nonlinear glassy rheology, steady shear stands as the simplest example, yet it is far from being fully understood. Theories \cite{4,5,6,7} have invoked various mechanisms for shear-induced relaxation of initially arrested structures, predicting a variety of constitutive relations. Significantly, all these theories assume globally uniform shear. Simulations, so far the main tool to check directly the relation between microscopic behavior and macroscopic flow, reveal spatially heterogeneous relaxation \cite{7,8} and strong shear thinning \cite{4,10}. Experiments are just starting to address microscopic dynamics under shear, but have been limited to coarse-grained, two-dimensional (2D) or interrupted flows, or ordering phenomena \textsuperscript{11,12,13,14}. Moreover, experiments imaging global flow \textsuperscript{15} as well as boundary driven simulations of Lennard-Jones (LJ) glasses \textsuperscript{10} show that (soft) glasses often exhibit shear localization, which can not be described by simple constitutive laws.

In this Letter we report a three-dimensional (3D) imaging study of the microscopic relaxation in a colloidal glass under steady shear. The relaxation is nearly isotropic but different from that of unsheared colloidal fluids. The inverse relaxation time $\tau_\alpha^{-1}$ and the diffusion constant $D$ show marked shear thinning as a function of the local shear rate $\dot{\gamma}$: $\tau_\alpha^{-1} \propto D \propto \dot{\gamma}^{0.8}$. We find that this local behavior contrasts significantly with the global rheology, which shows Herschel-Bulkley behavior.

We used sterically-stabilized polymethylmethacrylate (PMMA) particles (radius $a=850 \text{nm}$) measured by light scattering, polydispersity $\lesssim 10\%$ \textsuperscript{17} fluorescently labelled with nitrobenzoxadiazole and suspended in a mixture of cycloheptyl bromide and decalin (viscosity 2.6 mPa·s) for density and refractive index matching. In this medium particles acquire a small charge \textsuperscript{18} which is largely screened by adding 4 mM tetrabutylammonium chloride, giving nearly hard-sphere (HS) behavior, with a glass transition at volume fraction $\phi_g \simeq 0.58$ (determined from mean-squared displacements) \textsuperscript{19}; we work at $\phi \approx 0.62$ (measured by imaging). The reduced shear rate, or Péclet number, is $\text{Pe} = 4a^2\dot{\gamma}/D_0 = 24\gamma B_0$, with $D_0$ the bare diffusion coefficient and $B_0 = a^2/6D_0$= 1.24 s the Brownian time in our system. Our experiments cover the range $0.005 \lesssim \text{Pe} \lesssim 1$.

We employ a linear parallel-plate shear cell with a plate separation $\sim 400 - 800 \mu$m, parallel to $\pm 5 \mu$m over a $\sim 200 \mu$m$^2$ drop of colloid confined between the plates by surface tension. We define $x$, $y$ and $z$ as the velocity, vorticity (or neutral) and gradient directions respectively. The top plate is driven at $0 \sim 10$ $\mu$m/s by a mechanical actuator with magnetic encoder, and steady shear is applied up to a total accumulated strain of $\Delta \gamma \approx 100\%$. Wall slip and wall-induced ordering were prevented by coating the slides with 1-3 disordered layers of particles. A solvent bath minimized evaporation.

A $30 \times 30 \times 15 \mu$m$^3$ volume in the drop (containing $N \sim 3000$ particles) was imaged from below as a stack of 75 slices using a fast confocal scanner (VT-Eye, Visitech International) and a Nikkon TE Eclipse 300 inverted microscope. The scanning of each 3D stack took 1.7 s. Particles were located with resolution $\delta x, \delta y \sim 30$ nm and $\delta z \sim 90$ nm \textsuperscript{20}. Tracking from frame to frame was achieved by first subtracting from the raw coordinates a time ($t$) dependent $x$-displacement profile $\Delta x(z,t)$, measured via correlation analysis of raw images, and adding this back after particle tracking. The resulting $x$-displacements over a given time interval $dt$, $\{ \Delta x_i(z_i,dt) \}$ ($i=1$ to $N$), always have an average linear dependence on $z$. From this we checked that the sample in our imaged volume was indeed subjected to uniform shear, and measured the actual (local) shear rate $\dot{\gamma}_a$, which may differ from the applied (global) rate $\dot{\gamma}$ due to shear localization and the presence of jammed regions. We will return to this point: for now we focus on steady states with a
linear velocity profile in a region from $15 - 30 \, \mu m$ above the cover slide. When present, strong decay in the shear rate occurs at least $\Delta z \sim 20a$ away from imaged regions. We also checked, via bond-order analysis [21], that shear-induced crystallization was absent for our range of $\gamma$ [22].

Figure 1(a) shows the trajectories in an $x, z$ plane at $\dot{\gamma} = 0.93 \times 10^{-3}$ s$^{-1}$. The displacement gradient due to shear is evident. To highlight the shear-induced dynamics, we show in Fig. 1(b) the non-affine component of the motion obtained by subtracting the uniform shear via $\bar{x}_i = x_i - \gamma \int_{0}^{t} z_i(t') dt'$. Considerable shear-induced non-affine displacements are seen in this plane as well as in the other planes, Figs. 1(c,d). On the time scale considered here, these rearrangements are heterogeneous, somewhat similar to observations in quiescent concentrated colloidal fluids for $\phi < \phi_g$ [23]. Zooming in on a single particle, Fig. 1(e), we observe that its dynamics under shear consists of intervals of cage ‘rattling’, interrupted by shear-induced plastic cage-breaking events.

Next, we study the relaxation via the incoherent scattering function, $F_s(Q, t) = \langle \cos(Q y_i(t_0 + t) - y_i(t_0)) \rangle_{x,t_0}$, at a scattering vector $Q = Q_m \approx 3.8a^{-1}$ where the data’s structure factor $S(Q)$ shows a peak. In Fig. 2 we show selected results for $Q \parallel y$, but the results (not shown) for $Q \parallel z$ and $x$, using the non-affine displacements $\bar{x}_i$ for the latter, are similar. $F_s$ for the quiescent glass ($\dot{\gamma} = 0$) hardly decays over our observation window, reflecting the caging of particles by their neighbors; at longer times we observed aging, as in other studies [14, 24]. The short time decay due to initial cage exploration ($t \lesssim \tau_B$ [25], dashed line in Fig. 2) is inaccessible to us. At small $\dot{\gamma}$, $F_s$ at short times still exhibits a plateau, in agreement with the caging in Fig. 1(e). As $\dot{\gamma}$ increases, this plateau shrinks and for the highest $\dot{\gamma}$ it vanishes and likely merges with the short time decay. At longer times, $F_s$ decays strongly for all $\dot{\gamma} \neq 0$, marking shear-induced structural relaxation and cage rearrangements. The structural ($\alpha$-)relaxation time $\tau_alpha$, defined by $F_s(Q_m, t = \tau_alpha) \approx e^{-1}$, decreases on increasing $\dot{\gamma}$. Importantly, $F_s$ is independent of the starting time $t_0$ (see data for $\dot{\gamma} = 0.93 \times 10^{-3}$ s$^{-1}$), i.e., a stationary state is achieved.

Our data confirms the theoretically-predicted ‘time-shear superposition principle’ [3, 4]: when time is scaled by $\tau_alpha$, the $\alpha$-relaxation follows a master curve $f_s(Q, t/\tau_alpha)$, Fig. 2 inset. As in LJ simulations [9], our $f_s$ is a pure exponential. This differentiates a shear-melted glass from a dense HS colloidal fluid at $\phi < \phi_g$ and $\dot{\gamma} = 0$, where we find stretched exponential behavior for $F_s(Q > Q_m/2)$, as can also be deduced from [25].

Figure 3(a) shows the dependence of $\tau_alpha$ on the shear rate. It exhibits a power law $\tau_alpha \propto \dot{\gamma}^{-\nu}$ with $\nu = 0.80 \pm 0.01$ [20], independent of the criterion or $Q$ used to determine $\tau_alpha$. This behavior means that the accumulated strain at $\tau_alpha$ is not constant but varies as $\dot{\gamma} \tau_alpha \propto \dot{\gamma}^{-0.2}$. The data are consistent with a schematic model [2] for driven glasses and also match the ‘creep’ behavior of a driven particle in a correlated random potential [27]. We note that an ‘entropic barrier hopping’ model [28], without any ‘ideal’ glass divergences, shows a very similar dependence of the ‘hopping’ time on $\dot{\gamma}$. Below we discuss the rheological implications of this behavior.

Turning to the mean squared displacement (MSD) $(dy^2(t))$, Fig. 3(a) inset, we see that it exhibits a crossover from caged to diffusive motion for $\dot{\gamma} \tau_B > 0.3$, in reasonable agreement with the...
'Lindemann parameter' measuring the cage rattling at the quiescent glass transition [19]. The long time diffusion constant \( D_y \), Fig. 3(b), follows the relaxation rate \( D_y \propto \tau_a^{-1} \propto \dot{\gamma}^{0.8} \), and not the shear rate \( \dot{\gamma} \). To show this more clearly and also address the anisotropy in the dynamics, we plot in Fig. 3(c) the product \( 2D_y\tau_a \) for the three directions \( j=x, y, z \) along with the value \( \langle dy^2(\tau_a) \rangle = 2/Q_m \) expected from a gaussian approximation \( F_{\alpha}(Q_m, t) \simeq e^{-Q_m(\langle dy^2(t) \rangle)^2/2} \). The value for \( 2D_y\tau_a \) agrees well with \( 2Q_m^{-2} \) and this gaussian long time behavior also occurs in the other directions [30]. We again stress the difference with quiescent fluids at \( \phi < \phi_g \), which always show \( D_y \propto Q^{-2} \) for \( Q \gtrsim Q_m \). Figure 3(c) also shows that the diffusion constants exhibit only a mild anisotropy: while \( D_z > D_{x,y} \), the difference is \( \lesssim 20\% \). Similar or even smaller anisotropy has been observed in simulations of sheared, glassy systems [8] and colloidal fluids [31]. Isotropic shear-induced diffusion is also seen in dilute suspensions [32]. However, sheared non-Brownian suspensions \( (Pe \rightarrow \infty) \) show a marked anisotropy \( (D_x^\infty/D_y^\infty \approx 8) \)[32], with \( D^\infty \propto \dot{\gamma} \).

As a last characterization of the microscopic dynamics we study the probability distribution of the displacements \( P(dy(t)) \) and the non-gaussian parameter \( \alpha_{2,y} = \langle dy^4(t) \rangle/3\langle dy^2(t) \rangle^2 - 1 \). The latter characterizes broad, non-gaussian tails to \( P(dy(t)) \), reflecting cage rearranging motions such as in Fig. 1(e). Figure 4(a) shows \( \alpha_{2,y}(t) \) for various \( \dot{\gamma} \). It exhibits a peak for \( t = \tau_2 \) corresponding to the crossover from caged to diffusive behavior in the MSD (inset, Fig. 4(a)), and vanishes for \( t \gtrsim \tau_a \). A non-zero \( \alpha_2 \) also suggests cooperative motion, consistent with the heterogeneous trajectories for \( t \lesssim \tau_a \) in Fig. 1(b)-(d). The peak time follows \( \tau_2 \propto \dot{\gamma}^{0.65} \)[19], Fig. 4(a), somewhat different from the \( \tau_a \) scaling. More interestingly, the distributions \( P(dy(t = \tau_2(\dot{\gamma})) \) show a near collapse for different rates, (Fig. 4(b)), despite a slight decrease of \( \alpha_2(\tau_2) \) with \( \dot{\gamma} \). In quiescent systems at \( \phi < \phi_g \), such (near) collapse of \( P(dy(t = \dot{\gamma})) \) at different \( \dot{\gamma} \) is not expected since there \( \alpha_2(\tau_2) \) grows strongly with \( \dot{\gamma} \) while the MSD at \( \tau_2 \) decreases rapidly [23].

We now return to the \( \dot{\gamma} \) dependence of \( \tau_a \). There is currently no firm theoretical basis for relating \( \tau_a \) to flow properties. Nevertheless, \( \tau_a \) is often taken (with some simulational evidence [24]) as proportional to viscosity [4], giving an effective stress \( \sigma = G_0\dot{\gamma}^\alpha \) with \( G_0 \) a modulus. The resulting 'microscopic' flow curve shows \( \sigma \propto \dot{\gamma}^{1.2} \), Fig. 5. Recent theories [4, 6] have argued for the existence of a dynamic yield stress at \( \dot{\gamma} \rightarrow 0^+ \) in uniform shear. However, our results show no sign of a plateau in \( \dot{\gamma} \) for reduced rates down to Pe \( \simeq 0.005 \).

Figure 5 shows the experimental global flow curve measured with a stress controlled rheometer (AR2000, TA Instruments) in cone-plate geometry (diameter 40 mm, angle 1°, both surfaces coated with particles). The stress \( \sigma \) is related to the average shear rate \( \dot{\gamma}_a \) by \( \sigma = \sigma_D + A_\gamma n \) with a dynamic yield stress \( \sigma_D = 1.36 \) Pa and \( n = 0.56 \), similar to previous HS measurements [24].

To compare with the microscopic behavior \( \sigma = G_0\dot{\gamma}_a^\alpha \), in Fig. 5 we have chosen \( G_0 \) to optimize agreement between \( \dot{\gamma} \) and \( \dot{\gamma}_a \) at high \( \dot{\gamma} \). Clearly, the microscopic and macroscopic data disagree. Some discrepancy may be due to the fact that, for Pe \( \gtrsim 1 \), hydrodynamic effects render the relation \( \dot{\gamma} \propto \dot{\gamma}_a \) less valid. More importantly, discrepancy could arise from the presence of shear localization, e.g. due to the existence of a static yield stress [4, 10]. We have already mentioned that in our parallel-plate shear cell, a global shear rate \( \dot{\gamma}_a \) typically corresponds to a jammed region \( (\dot{\gamma} \simeq 0) \) coexisting with a flowing region with \( \dot{\gamma} > \dot{\gamma}_a \). Preliminary flow imaging inside our rheometer shows that shear localization also occurs in the cone-plate geometry, and sets in for \( \dot{\gamma}_a \lesssim 10^{-2} \) s\(^{-1} \).
the relation $\bar{\gamma}$ cannot be explained by this argument. Possibly, and global rheology. But the rather larger deviations in $\dot{\gamma}$ compared with the macroscopic flow curve $\sigma(\dot{\gamma})_\text{measured in cone-plate geometry}$ (●). Full line: fit to the Herschel-Bulkley model $\sigma = 1.36 \text{ Pa} + A \dot{\gamma}^{0.36}$.

In LJ simulations [16], differences between $\dot{\gamma}$ and $\dot{\gamma}_a$ could indeed explain small deviations between local and global rheology. But the rather larger deviations in Fig. 5 cannot be explained by this argument. Possibly, the relation $\bar{\sigma} = G_0 \tau_\alpha \dot{\gamma}$ is an oversimplification [32] and instead we may need to invoke analogies with ‘force chain’ dominated systems to make progress. Indeed, our global shear profile $\dot{\gamma}(z)$, which exhibits a smooth rather than a step-like decay of $\dot{\gamma}(z)$ to zero (data not shown), has similarities with velocity profiles in granular matter [37].

Concluding, we have studied the 3D particle dynamics in a HS colloidal glass under steady shear by fast confocal imaging. Shear occurs in ‘fluidized’ bands where colloids show nearly isotropic ‘cage breaking’ and exponential relaxation, in contrast to the stretched-exponential dynamics in dense colloidal fluids. The relaxation rate scales as a power of the local shear rate: $\tau_\alpha^{-1} \propto \dot{\gamma}^{0.8}$. The ‘naive’ microscopic flow curve deduced from this result differs from the global, Herschel-Bulkley, rheology. These and other recent results [38] show the potential of fast 3D imaging to address fundamental questions in non-equilibrium physics.

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