ABSTRACT

Objective: The objective of the present work was to formulate and characterize nano dispersive gel (NDG) for topical delivery of water-insoluble antifungal agent ketoconazole in order to enhance its solubility, penetration through the skin and antifungal activity.

Methods: Nano dispersion of the drug was first prepared by swollen micelles technique (SMT) using tween 80 and chloroform which is then incorporated into the gel using carbopol 934. Ten formulations of ketoconazole loaded NDG was prepared and characterized for different physicochemical parameters like homogeneity, pH, spreadability, extrudability, practical yield, drug content, in vitro drug release, ex vivo permeation study, and biological parameter antifungal activity.

Results: The formulated topical preparation exhibit pH in the range of 6.5 to 7.4, and unveiled excellent homogeneity, spreadability and extrudability. Out of 10 formulations, formulation F4 showed maximum drug content of 95.56±1.13% and practical yield of 97.23±0.51%. The in vitro drug release studies were performed using pH 7.4 phosphate buffer. Formulation F4 showed best in vitro drug release 96.52±0.52% at the end of 24 h of study. Ex vivo permeation study of formulation F4 carried out using franz diffusion cell, also manifested good permeation and flux of drug across the chicken skin. Antifungal activity test of formulation F4 was carried out by the cup plate method using Aspergillus niger strain against marketed ketoconazole unveiled higher antifungal activity than marketed one.

Conclusion: The study confirmed formulation F4 to be an optimized and promising formulation for the effective treatment of topical fungal infections with enhanced solubility and penetration through the skin.

Keywords: Fungal infection, Ketoconazole, Nano dispersive gel, Swollen micelles technique, Carbopol 934, Tween 80

INTRODUCTION

Infections are caused by fungus ranges from superficial conditions of the skin (e.g., athletes foot and ringworm) and nails (e.g., onychomycoses) to the deadly contagious disease [1]. Ringworm also called dermatophytosis usually manifest as a series of rapidly expanding irritating lesions which can occur in any area of the skin, chiefly attack stratum corneum of keratinized tissues and hair fibres resulting in autolysis of the fibre structure, breaking off the hair and alopecia [2].

Ketoconazole is widely used recent synthetic imidazole ring-containing powerful antifungal agent active against most of the species of fungi and yeast. A topical preparation of ketoconazole is used for the treatment of infections caused by a wide variety of fungi like candida and tinea [3]. In biopharmaceutics classification system (BCS), ketoconazole is classified as a class-II drug, based on its absorption and dissolution property, since it has a high permeability but its solubility in aqueous media is insufficient for the whole dose to be dissolved in the gastrointestinal (GIT) fluids under normal condition [4]. It is very lipophilic, practically it is insoluble in mineral oil (<0.01%), and in solvent with alkaline pH but it is freely soluble in most of the organic solvents including chloroform, n-octanol, ethyl alcohol, methanol, dimethyl sulfoxide (DMSO) [5]. It is least stable at pH 1 and most stable at pH 7 [6]. The poor aqueous solubility of ketoconazole is the limitation for topical delivery [3].

Topical drug delivery systems are extensively used for the treatment of local skin disorders. Topical application of drugs has potential advantages of delivering the drug directly to the site of action for an extended period. It bypasses the first pass metabolism, avoids problems related to absorption, changes in pH and also avoids risk and inconvenience of intravenous (IV) therapy [7, 8]. However, stratum corneum the top layer of the epidermis is the major barrier of drug penetration through the skin. For transdermal delivery, ideally, the drug should have low molecular weight (< 500 Da), high lipophilicity and effective at low dosage. Considerable attention has been focused in the last few decades to develop novel drug delivery systems (NDDS), to lower stratum corneum barrier and increase permeability [9]. This approach mainly includes electrophoresis, iontophoresis, sonophoresis, chemical permeation enhancers, microneedles, tape stripping, nanoparticles, nanodispersion [10] and vesicular systems including liposomes, niosomes, ethosomes and transferosomes [10, 11]. Developed liposomes and niosomes, having high permeability, controlled release and target specificity but liquid nature of topical liposomes and an aqueous suspension of niosomes may have problems associated with physical instability such as fusion, aggregation and leaking of the entrapped drug [3, 10, 12]. Nanodispersion plays an efficient role in the topical delivery of poorly aqueous soluble or insoluble drug whereby decrease of molecule size to nanoscale measurements leads to a massive expansion in the surface area that enables the faster penetration of medication through the skin and upgrades the drug bioavailability. This is often because of the rise in solubility of the drug on the reduction of particle radius. Terribly microscopic particle size causes an oversized reduction in gravity force and therefore the brownian motion could also be sufficient for overcoming gravity. This implies that no deposition happens on storage [13].

Topical preparations like ointments, creams, lotions are extensively used as topical agents have numerous disadvantages. Generally, they are very sticky causing discomfort to the patient. Likewise, they also increase the contact time [8, 14]. Unlike other topical preparations, the gel is non-sticky, does not cause discomfort to the patient. Drug release is faster in gel formulation compared with ointments and creams. It also has more spreading coefficient than ointment and cream [15, 16]. Hence in the present study attempt has been made to formulate and characterize NDG of ketoconazole. NDG is semisolid dosage form formed by the combination of nanodispersion and gel.
The gelling agent is added in nanodispersion that gives NDG. NDG overcomes the limitation of poor aqueous solubility of ketoconazole with the advantages of gel.

**MATERIALS AND METHODS**

Ketoconazole was received as a gift sample from Vonstachem Ltd, Delhi. Carbopol 934, triethanolamine (TEA), tween 80, chloroform, disodium hydrogen phosphate and potassium dihydrogen phosphate were provided by Galgotias University, Greater Noida. Strains of *Aspergillus niger* were purchased from the Institute of Microbial Technology (IMTECH, Chandigarh, India). All other chemicals used were of analytical grade.

**Preparation of nanodispersion of ketoconazole**

Nanodispersion of ketoconazole was prepared by a special technique called the formation of SMT [13]. The drug was dissolved in sufficient volume of chloroform and then tween 80 were added continuously with stirring. After complete addition of tween 80 in the final mixture, further stirred for 2 d to provide controlled and complete evaporation of chloroform. This leads to the formation of a system with ultra-low interfacial tension as well as drug particle size in nanoscale. Finally, ample volume of phosphate buffer (pH 6.8) was added to give nanodispersion. Formation of viscous mixture ensures the complete removal of chloroform.

**Preparation of ketoconazole loaded nano dispersive gel**

Carbopol 934 in required quantity was added to the ketoconazole nanodispersion with constant stirring at 500 rpm for 2 h. Speed was reduced later to avoid air entrapment. Finally, TEA was added to neutralize the mixture and provide appropriate consistency [17]. Different formulations were developed by varying tween 80 and carbopol 934 concentrations (table 1).

**Table 1: Composition of different formulations**

| Ingredients       | F1  | F2  | F3  | F4  | F5  | F6  | F7  | F8  | F9  | F10 |
|-------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Ketoconazole (%)  | 2   | 2   | 2   | 2   | 2   | 2   | 2   | 2   | 2   | 2   |
| Tween 80 (%)      | 1   | 1.5 | 2   | 2.5 | 3   | 3.5 | 4   | 4.5 | 5   | 5.5 |
| TEA (ml)          | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Carbopol 934 (%)  | 0.25| 0.5 | 0.75| 1   | 1.25| 1.5 | 1.75| 2   | 2.25| 2.5 |

TEA: Triethanolamine

**Characterization**

Prepared formulations were characterized for different parameters including physical appearance and homogeneity, pH determination, spreadability, extrudability, percent practical yield, drug content, in vitro dissolution, ex-vivo permeation and antifungal activity.

**Physical appearance and homogeneity**

All the NDG formulations containing ketoconazole were visually inspected for clarity, homogeneity, colour, presence of particles and fibres [18]. Grades were allotted (+++excellent; ++good; +fair).

**Determination of pH**

Digital elico pH meter was used to check the pH of NDG at room temperature. At first, the pH meter was calibrated utilizing standard buffers of pH 4 and 9.2. Precisely 2.5 g of gel was weighed and dispersed in 25 ml of distilled water and after that pH meter was plunged in the dispersion and the pH was measured. The pH measurement of each formulation was done in a triplicate manner and average values were calculated [19].

**Spreadability study**

For the determination of spreadability [7] of the prepared NDG formulations a wooden block was taken which is provided by a pulley at one end. Spreadability was determined on the basis of ‘Slip’ and ‘Drag’ properties of NDG. A ground glass slide was taken and fixed on this block. An excess of NDG (about 2 g) was collected and applied on this ground slide. The NDG was then embedded between this slide and another glass slide having the dimension of the fixed ground slide. On the top of the two slides, a 1 kg weight is placed for 5 min to provide a uniform film of the NDG and to expel air. The top plate is then subjected to pull of 80 g. The time required by the top slide to cover a distance of 7.5 cm was noted. Spreadability of each formulation was done in a triplicate manner and average values were calculated by using the formula given below:

\[ S = \frac{M \times L}{T} \]

Where,

- **S** = Spreadability
- **M** = Weight tied to upper slide.
- **L** = Length of glass slides.
- **T** = Time taken to separate the slides completely from each other.

**Extrudability**

Method of extrudability study [4] of NDG formulation was based upon the quantity in the percentage of gel extruded from the tube on the application of certain load. More the quantity extruded better was extrudability. The NDG was filled in a clean, aluminium collapsible 10 g tube with 5 mm opening nasal tip. This tube was subsequently placed in between two glass slides and was clamped. A constant load of 1 kg was placed on the slides and gels extruded was collected and weighed. Extrudability of the gel was then determined by weighing the amount of gel extruded through the tip when a load was put. The percentage of gel extruded was calculated and grades were allotted (+++excellent; ++good; +fair).

**Practical yield (PY)**

PY [4] helps in selection of an appropriate method of preparation. It was calculated to know about percent yield and efficiency of the preparation method. NDG was collected and weighed to determine the PY of each formulation in triplicate manner and average values were calculated from following and noted down.

\[ PY = \frac{Actual mass (NDG) + Theoretical mass (drug+carrier)}{100} \]

**Drug content**

To determine drug content [20], NDG equivalent to 5 mg of model drug was taken and dissolved in 5 ml of methanol. The solutions were filtered and were further diluted such that the absorbance falls within the range of the standard curve. The absorbances of solutions were determined at 215.80 nm by UV-visible spectrophotometer. The actual drug content of each formulation was estimated using the following formula in triplicate manner and average values were noted down.

\[ \% \text{ drug content} = \frac{Actual \ drug \ content \ in \ weighed \ quantity \ of \ gel}{Theoretical \ amount \ of \ drug \ in \ gel} \times 100 \]

**In vitro dissolution studies**

In vitro release studies of various samples of NDG were carried out using biological egg membrane [16]. A fresh chicken egg was carefully broken and its outer membrane was removed with necessary precautions without tearing/damaging and soaked overnight in the dissolution medium [7]. The egg membrane with a surface area of 3.14 cm2 available for diffusion was mounted on a Franz diffusion cell. A known amount of NDG was weighed and applied on the membrane mounted on Franz diffusion cell from the donor side. The receptor compartment was filled with 15 ml of phosphate buffer. The
temperature was maintained at 37±0.5 °C. The receptor compartment was constantly stirred at 100 rpm. Samples from the receptor fluid (0.5 ml) were withdrawn at various time intervals (30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 16 h, and 24 h) and replaced immediately by the fresh buffer solution. The samples were then analysed to determine absorbance spectrophotometrically using UV-vis spectrophotometer (Shimadzu Corporation, Japan 1800) at 215.80 nm. Cumulative percent drug release of the various formulation was calculated in triplicate manner and average values were noted down [7, 12].

**Ex vivo permeation study**

To perform ex vivo permeation study [21, 22] of formulation showing better release (formulation F4) and marketed formulation (phytoral), Franz diffusion cell was chosen. The selected Franz diffusion cell was having effective diffusion area of 3.14 cm² and cell volume of 15 ml. Freshly slaughtered chicken skin [23] was taken from the local slaughter house and soaked in sodium bromide solution for 5 h and then washed with water in order to remove adhering fat tissue. The outer layer (epidermis) was washed thoroughly with water, dried at 25% RH and stored in the freezer until further use. Skins were allowed to hydrate for 1 h before being mounted on the franz diffusion cell. Suitable size of chicken skin was cut and mounted in the franz diffusion cell in such a way that the dermis faces receiver compartment and stratum corneum faces donor compartment. A known amount of formulated gel was loaded in the donor compartment. The receptor compartment was filled with 15 ml of phosphate buffer pH 7.4. The temperature was maintained at 37±0.5 °C. Magnetic beads of suitable size was placed inside the receiver and then this assembly was placed on a magnetic stirrer and stirred for 24 h. The samples (0.5 ml aliquots) were withdrawn from the receptor cell at a regular time interval and were analysed spectrophotometrically (Shimadzu Corporation, Japan 1800) at wavelength 215.80 nm. Same procedure was repeated for normal marketed ketoconazole gel (phytoral). The cumulative amount of drug permeated across the skin was determined as a function of time of both the samples in triplicate manner and average values were noted down. Permeability coefficient and flux were also calculated using the following standard formula [5].

\[
\text{Permeability coefficient (apparent permeability)} = \frac{\text{Flux}}{\text{Extrudability}} = \frac{(V_a \times \text{Time})}{(\text{donor})} \\
\text{Where,}
\end{align*}

\[
V_a = \text{volume in acceptor compartment}
\]

\[
\text{Area} = \text{surface area of the intestinal membrane}
\]

\[
\text{Time} = \text{total transport time}
\]

\[
\text{Flux} = \frac{J}{P_a \times C_D}
\]

where \(C_D\) = concentration of donor solution.

**Antifungal activity**

"Cup plate method" [9, 22] was opted to determine the antifungal activity of optimized formulation F4, in comparison with normal marketed ketoconazole gel (phytoral). Fungal strain Aspergillus niger as the test microorganism was used. An inoculum of Aspergillus niger was spread over the sandpaper’s dextrose agar media and allowed to solidify in the petri dish. After solidifying the agar plate, cups were made with sterile borer (5 mm). By using a sterile syringe, 0.5 ml of NDG solution was filled in into one cup and marked with ‘S’ similarly 0.5 ml of marketed gel solution was filled in another cup and marked with ‘M’. This plate then kept for incubation for 24 h at 37 °C temperature. After incubation, the zone of inhibition was calculated and compared.

**RESULTS AND DISCUSSION**

In the present research work ketoconazole NDG were prepared using tween 80 along with carbopol 934 in different proportions by the technique called formation of SMT. The prepared ketoconazole NDG were characterized for various parameters like homogeneity, pH, spreadability, extrudability, practical yield, drug content, in vitro drug release, ex vivo permeation study, and biological parameter antifungal activity.

**Physical appearance and homogeneity**

Except F9 and F10, all other formulations were having excellent and good homogeneity and physical appearance (table 2). Formulations were white, viscous, homogeneous free from fibres with a smooth and considered acceptable to avoid the risk of irritation upon application to the skin. This implies that NDG has better patient acceptability than other topical preparations [15, 16].

**Determination of pH**

pH of all the formulations was between pH 6.5-7.4 (table 2), which fall in the normal pH range of the skin [24], which ensures the compatibility of the formulation with skin.

**Spreadability**

Spreadability of all the formulations under study was in between 26.65±1.33 to 33.52±0.88 g. cm/s (table 2), which represents the good spreading efficiency of NDG that will meet ideal quantity to the skin [24].

**Extrudability**

Extrudability is a useful empirical test to measure the forces required to extrude out the gel from a tube [25]. In this study out of 10 formulations prepared and tested except F9 and F10, extrudability grade of all the formulations was +++ or +++. (table 2), which represent excellent or good extrudability of NDG.

| Formulations | Homogeneity | Spreadability (g. cm/s) | Extrudability | pH |
|--------------|-------------|-------------------------|--------------|----|
| F1           | +++         | 32.12±1.26              | ++           | 6.75±0.46 |
| F2           | +++         | 31.11±1.45              | ++           | 6.50±0.55 |
| F3           | +++         | 33.22±0.96              | +++          | 7.02±0.12 |
| F4           | +++         | 33.12±1.32              | +++          | 6.88±0.32 |
| F5           | ++          | 33.52±0.88              | ++           | 6.85±0.22 |
| F6           | +++         | 30.22±1.22              | ++           | 7.21±0.02 |
| F7           | ++          | 29.12±1.25              | +++          | 6.65±0.26 |
| F8           | +++         | 29.21±1.66              | ++           | 6.52±0.66 |
| F9           | +           | 27.23±1.54              | +            | 7.21±0.23 |
| F10          | +           | 26.65±1.33              | +            | 7.13±0.21 |

(***excellent; ++good; +fair); Data expressed in mean±SD, (n=3)

**Practical yield (PY)**

Table 3 shows the results of percent practical yield of all the formulations. The PY of prepared NDG was found to be in the range of 42.65±0.13% to 97.23±0.51%. The maximum yield was found to be 97.23±0.51% in F4 formulation. These results indicated that the utilized method was efficient and appropriate for the preparation of NDG [4].

**Drug content**

Drug content of all the formulation is shown in table 3. Drug content of prepared NDG was in the range of 76.5±0.52% to 95.36±1.13%. The maximum drug content was found to be 95.36±1.13% in formulation F4. These results indicated the high content uniformity of the formulation [18], with the results being within the pharmacopeial limits.
Table 3: Practical yield and drug content of different formulations

| Formulation | Practical yield (%) | Drug content (%) |
|-------------|---------------------|------------------|
| F1          | 92.0±1.25           | 76.55±0.32       |
| F2          | 94.23±0.92          | 78.65±0.02       |
| F3          | 89.32±1.21          | 80.54±0.52       |
| F4          | 97.23±0.51          | 95.56±1.13       |
| F5          | 88.32±1.03          | 82.58±0.51       |
| F6          | 42.65±0.13          | 90.36±0.12       |
| F7          | 78.20±0.65          | 88.88±0.74       |
| F8          | 76.50±1.51          | 77.55±1.24       |
| F9          | 66.50±0.94          | 83.65±0.08       |
| F10         | 75.23±0.65          | 91.88±0.81       |

Data expressed in mean±SD, (n=3)

*In vitro* dissolution studies

Cumulative % drug release data of various formulation is shown in table 4 and 5. The cumulative % release of the different formulation after 24 h of the study was in the range of 28.56±1.06% to 96.52±0.52% while it was 49.25±1.13% after 24 h for pure drug ketoconazole. These data revealed that there was an enhancement in dissolution rate from all formulation when compared to pure drug ketoconazole. From the cumulative % drug release plot, the maximum % release 96.52±0.52%, was shown by formulation F4 that contain 1% carbopol 934 and 2.5% tween 80. This implies that formulation F4 is an optimized formulation [7, 12].

Table 4: Cumulative % drug release data of pure drug and formulation F1 to F5.

| Time (h) | Pure drug | F1 | F2 | F3 | F4 | F5 |
|----------|-----------|----|----|----|----|----|
| 0.5      | 1.12±1.09 | 1.54±0.08 | 1.89±0.05 | 2.56±0.15 | 9.44±0.22 | 5.01±0.15 |
| 1        | 4.35±1.12 | 5.62±0.56 | 7.54±1.12 | 13.22±0.14 | 16.64±0.41 | 9.41±0.50 |
| 2        | 12.55±1.11| 14.55±0.42 | 17.88±0.21 | 26.33±1.10 | 32.41±0.35 | 22.07±1.05 |
| 4        | 18.65±0.53 | 20.54±1.02 | 25.66±0.24 | 34.55±0.20 | 43.92±0.51 | 37.20±0.95 |
| 6        | 26.32±1.05 | 29.33±0.56 | 34.22±0.42 | 53.23±1.13 | 59.39±0.42 | 51.20±0.24 |
| 8        | 34.22±1.25 | 38.23±0.84 | 45.66±0.41 | 63.22±0.24 | 71.53±0.36 | 58.23±0.89 |
| 12       | 40.62±0.83 | 44.32±0.79 | 52.11±1.32 | 71.33±0.12 | 83.10±0.52 | 68.33±1.23 |
| 16       | 48.22±1.02 | 51.46±0.65 | 60.12±0.35 | 80.23±0.42 | 96.60±0.44 | 79.33±0.52 |
| 24       | 49.25±1.13 | 52.23±1.26 | 60.54±0.25 | 83.56±0.32 | 96.52±0.52 | 80.21±0.55 |

Data expressed in mean±SD, (n=3)

Table 5: Cumulative % drug release data of formulation F6 to F10.

| Time (h) | F6 | F7 | F8 | F9 | F10 |
|----------|----|----|----|----|-----|
| 0.5      | 3.45±0.58 | 2.89±0.21 | 1.98±0.54 | 1.20±1.01 | 0.82±0.62 |
| 1        | 7.25±0.88 | 6.55±0.54 | 6.33±0.65 | 5.65±0.89 | 4.56±0.58 |
| 2        | 15.44±1.21 | 10.26±1.21 | 8.33±1.22 | 8.10±0.65 | 7.55±0.42 |
| 4        | 24.65±1.03 | 18.33±1.11 | 14.23±1.32 | 12.22±1.52 | 10.52±1.22 |
| 6        | 36.55±1.52 | 29.36±1.50 | 25.33±0.25 | 20.65±1.06 | 17.25±1.52 |
| 8        | 48.65±0.89 | 35.33±0.54 | 31.22±0.98 | 28.66±0.84 | 25.23±1.65 |
| 12       | 59.22±0.97 | 44.25±0.98 | 40.22±0.65 | 36.55±0.54 | 31.25±0.95 |
| 16       | 68.26±1.25 | 56.22±0.65 | 45.33±1.51 | 38.25±1.02 | 31.25±0.78 |
| 24       | 69.22±1.22 | 58.65±1.05 | 42.51±0.85 | 36.52±1.21 | 28.56±1.06 |

Data expressed in mean±SD, (n=3)

*Ex vivo* permeation study

The flux of F4 and P were found to be 2.10 µg/cm²/min and 1.69 µg/cm²/min respectively. From the result it is clear that formulation F4 which was showing maximum cumulative % drug release among all other formulations also showed good permeation of drug across the chicken membrane in comparison to marketed formulation (phytoral). It indicates that formulating NDG leads to enhancement in permeability of drug [26].

Table 6: Cumulative % drug permeates data of formulation F4 and marketed formulation phytoral (P).

| Time (h) | F4 (%) | P (%) |
|----------|--------|-------|
| 1        | 14.56±0.83 | 7.25±1.48 |
| 2        | 29.35±1.24 | 15.44±1.62 |
| 4        | 39.25±1.21 | 24.65±1.24 |
| 6        | 56.25±0.43 | 36.55±1.08 |
| 8        | 68.56±0.81 | 48.65±0.86 |
| 12       | 80.01±1.05 | 59.22±1.21 |
| 16       | 87.25±1.25 | 68.26±1.07 |
| 24       | 89.12±0.63 | 68.86±1.65 |

Data expressed in mean±SD, (n=3)
**Antifungal activity**

Zone of inhibition of ketoconazole loaded NDG (S) and normal marketed ketoconazole gel (M) after 24 h of incubation were found to be 28.5±0.42 mm and 22.5±2.51 mm respectively. These results indicate that antifungal activity shown by prepared ketoconazole loaded NDG is more than normally marketed ketoconazole. This is due to enhanced permeation and solubility on the reduction of particle size to nano measurement [9, 20].

**CONCLUSION**

The results of these studies showed that formulating NDG of ketoconazole lead to enhancement in solubility and dissolution behaviour of poorly soluble antifungal drug ketoconazole. Carbopol 934 used as a gelling agent that produced a gel with, an excellent physical appearance, spreadability and extrudability which proved carbopol 934 to be a promising gelling agent to prepare NDG. The formation of SMT for preparing nanodispersion was found to be satisfactory as it produced a good product with high practical yield as well as high drug content. Out of 10 formulations prepared, formulation F4 exhibit best drug release, as well as dissolution rate when compared to pure drug. Formulation F4 also showed good skin permeability and higher antifungal activity than normal marketed ketoconazole gel. Practical yield, as well as Drug content of formulation F4, was also maximum among all other formulations. Henceforth, we concluded that formulation F4 is an optimized and promising formulation for effective treatment of topical fungal infections with enhanced solubility and penetration through skin.

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**AUTHORS CONTRIBUTIONS**

Contribution of all authors are equal

**CONFLICT OF INTERESTS**

Authors do not have any conflict of interest to disclose.

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