One-way street for the energy current: A ubiquitous phenomenon in boundary-driven quantum spin chains

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received 13 September 2019; accepted in final form 20 January 2020
published online 4 February 2020

PACS 05.60.Gg – Quantum transport
PACS 03.65.Yz – Decoherence; open systems; quantum statistical methods
PACS 03.65.Ca – Formalism

Abstract – Focusing on the description of nontrivial properties of the energy transport at quantum scale, we investigate asymmetrical quantum spin chains described by boundary-driven XXZ and XXX Heisenberg models. We search for symmetries properties of the Lindblad master equation related to the dynamics of the system in order to establish properties of the steady state. Under rather general assumptions for the target polarization at the boundaries, we show the occurrence of an effect related to (but stronger than) energy rectification, namely, the one-way street phenomenon, which is the existence of an unique way for the energy flow. Precisely, the energy current does not change in magnitude and direction as we invert the baths at the boundaries: its direction is completely determined by the asymmetry in the bulk of the chain. The results follow independently of the system size and of the transport regime. Our findings show the ubiquitous occurrence of the one-way street phenomenon for the energy flow in boundary-driven spin systems and, we believe, they shall be an useful contribution to the area devoted to the investigation and building of efficient quantum devices used to control and manipulate the energy current.

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Introduction. – Understanding the properties of the energy transport at quantum scale is a problem of considerable theoretical and experimental interest that is taking increasing attention in recent years.

The emerging field of quantum thermodynamics urges the detailed theoretical study of the quantum transport properties, in particular, of the quantum energy currents. Moreover, the amazing on-going progress in experimental manipulations of small quantum systems makes the theoretical investigation of nonequilibrium features of quantum systems mandatory, in particular, their transport characteristics, directly related to the understanding of their behavior out of equilibrium.

Some specific problems of theoretical and experimental importance appear in this context, for example, the possibility of building quantum thermal rectifiers, i.e., the possibility of finding systems with a preferential direction for the energy flow. The thermal rectifier, or thermal diode, is a system in which the magnitude of the energy current changes as we invert the device between two baths. Its investigation is motivated by the success of its electronic analog, the electrical diode, which, together with transistors and other related nonlinear solid state devices, were responsible for the amazing development of modern electronics, with impact in our daily lives. In fact, the interest in energy rectification is an old problem: it appears already within the study of simpler classical models describing the heat conduction and many works are devoted to the theme [1–7].

In short, we stress, the general interest in the investigation of the energy transport is clear, especially in the quantum scale. In particular, a recurrent problem is the search of conditions which allow an asymmetric transport, i.e., a preferential direction for the currents.

In the present work, we investigate the energy current in boundary-driven quantum spin Heisenberg (XXX) and

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XXZ chains, the archetypal models of open quantum systems, and so, a problem with significance to several areas: nonequilibrium statistical physics, condensed matter, optics, cold atoms, quantum information, etc. [8]. As usual, we consider the dynamics given by a Lindblad master equation (LME). Under rather general assumptions for the target polarization at the boundaries, we show the existence of an intriguing one-way street phenomenon for the energy current, i.e., in asymmetric chains (e.g., graded systems) the direction of the energy current is completely determined by the asymmetry in the bulk of the system, precisely, the direction of the energy flow does not change as we invert the baths at the boundaries. It is important to recall that, in these boundary-driven quantum systems, the direction of the energy current, the target polarization at the boundaries, we show the LME. Under rather general assumptions for the magnetization (spin) current \( \langle j \rangle = 2a \langle \sigma_z^x \sigma_{j+1}^y - \sigma_j^y \sigma_{j+1}^x \rangle \).

As usual, the dynamics of the system is given by Lindblad master equations (LME) for the density matrix
\[
\frac{d\rho}{dt} = i[\rho, \mathcal{H}] + \mathcal{L}(\rho),
\]
where the dissipator \( \mathcal{L}(\rho) \) is local here, and describes the coupling with the baths. It is given by
\[
\mathcal{L}(\rho) = \mathcal{L}_L(\rho) + \mathcal{L}_R(\rho),
\]
\[
\mathcal{L}_{L,R}(\rho) = \sum_{s=\pm} L_s \rho L_s^\dagger - \frac{1}{2} \{ L_s^\dagger L_s, \rho \},
\]
\{\cdot, \cdot\} above describes the anti-commutator. These models are recurrently studied: see, e.g., ref. [17] and references therein. For \( \mathcal{L}_L \), in the simpler case of a XXZ chain with target \( \sigma^z \) polarization at the edges, analyzed in ref. [13] and several other works, we have
\[
L_s = \sqrt{\frac{2}{N}} (1 \pm f_s) \sigma^+_s,
\]
where \( \sigma^\pm_s \) are the spin creation and annihilation operators \( \sigma^\pm_s = (\sigma^x_s \pm i\sigma^y_s)/2 \); and similarly for \( \mathcal{L}_R \), but with \( \Delta_{N/2}^x \) and \( f_R \) replacing \( \sigma^\pm_1 \) and \( f_L \). In the previous expressions, \( \gamma \) denotes the coupling strength to the spin baths; \( f_L \) and \( f_R \) describe the driving strength, and they are related to the polarization of extra spin at the boundaries:
\[
f_L = \langle \sigma_0 \rangle, \quad f_R = \langle \sigma_{N+1} \rangle.
\]

Models and currents. – Now we introduce the spin models. We assume, in what follows, \( \hbar = 1 \). We take quantum 1/2 spin chains and we first consider asymmetric XXZ models with Hamiltonians
\[
\mathcal{H} = \sum_{i=1}^{N-1} \left\{ \alpha \left( \sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y + \Delta_{i,i+1} \sigma_i^z \sigma_{i+1}^z \right) + \Delta_{i,i+1} \sigma_i^z \sigma_{i+1}^z \right\},
\]
where \( \sigma_{i}^\beta = (\beta = x,y,z) \) are the Pauli matrices. The anisotropy parameters \( \Delta_{i,i+1} \) are assumed to be symmetrically distributed, for example, with a graded distribution: \( \Delta_{1,2} < \Delta_{2,3} < \cdots < \Delta_{N-1,N} \).

We need to make an important remark now. In most of the studies of XXZ chains, the authors take homogeneous, symmetric chains. In such a case, considering the energy
investigation, both direct computation or symmetry arguments lead to \((F^{XXX}) = 0\) [12,18]. Consequently, the remaining energy flow is proportional to the magnetization current, and it vanishes in the absence of an external magnetic field \(B = 0\). But that is not the scenario for asymmetric chains. We give a concrete example. In a previous work [19], the density matrix is analytically computed for a small chain of three spins, and the spin and energy currents are precisely determined for the case of target \(\sigma^z\) polarizations, with \(f_L = f\) and \(f_R = -f\) at the edges. In particular, in the steady state, for \((F) \equiv \langle F \rangle\), an exact, huge expression is determined for the three sites chain with \(\alpha = 1\), \(\Delta_{1,2} = \Delta - \delta\), \(\Delta_{2,3} = \Delta + \delta\), and \(B_j = B\). For simplicity and clearing, we write below the dominant terms considering an expansion in powers of \(f\), the driving strength, and of \(\delta\), the asymmetry parameter. We have

\[
\langle F \rangle = Bf \left( \frac{912}{969 + 48\Delta^2} \right) + f^2\delta \left( \frac{32(20224\Delta^4 + 64256\Delta^2 - 1083)}{(51 + 16\Delta^2)(323 + 16\Delta^2)^2} \right).
\]

From the expression above, we see that the energy current is nonvanishing even for \(B = 0\), in clear contrast with the behavior present in symmetrical chains, which are usually investigated. Again, for \(B = 0\), the value (direction and magnitude) of the energy current does not change as we invert the baths, i.e., as we change \(f\) by \(-f\) (it indeed follows, we stress, for the complete expression beyond \(O(f^2)\) [19] —the complete current is an even function of \(f\)). That is the one-way street phenomenon, directly computed in ref. [19] and derived by symmetry arguments in ref. [13].

Note that, here, we propose to show the general occurrence of such an effect, i.e., the occurrence of an energy current whose direction depends only on the asymmetry of the chain (it does not invert as we invert the baths at the boundaries of the chain), and such an effect is, say, stronger than the energy rectification, even the perfect rectification, that means current in one direction and absence of current as we invert the baths.

Another important remark is convenient here. In some works with boundary-driven quantum systems, the energy current is wrongly taken as the heat current. In such a case, thermodynamic incoherences are claimed to occur, such as a heat flow from the colder to the hotter bath without other interventions [20]. But, in fact, as clearly explained in ref. [9], besides heat we also have work in the boundary driven processes, and so, thermodynamic consistency is recovered. See also ref. [10] for the related analysis in these XXZ chains, and ref. [11] for general considerations (in particular, responding the false inconsistency raised in ref. [20]).

Results. – The present work is devoted to extend the one-way street phenomenon for the energy current to XXZ and XXX Heisenberg asymmetric chains with general polarization at the edges. In other words, here, after a considerable algebraic work, we show that such “strange” phenomenon is ubiquitous in boundary-driven asymmetric quantum spin chains.

We proceed by taking the XXZ chain as given by eqs. (1)–(3). We first consider the case in which one edge of the chain is target in a given polarization, say, \(\sigma^z\), and the other edge involves a polarization with arbitrary twisting angle in the XY plane. Precisely, now we take the dissipators as

\[
K^L_\pm = \sqrt{\gamma(1 + f)} \left( \sigma^y_1 \pm i\sigma^z_1 \right),
\]

\[
K^R_\pm = \sqrt{\gamma(1 + f)} \left( \cos(\theta)\sigma^y_N + \sin(\theta)\sigma^x_N \pm i\sigma^z_N \right).
\]

The Heisenberg (XXX) version of such model is investigated in refs. [21–23]. Our strategy here is to exploit the symmetries in the steady state of the LME in order to show that, if \(\rho\) is a steady state solution, then there exists a unitary transformation \(U\) such that \(U \rho U^\dagger\) is an solution of the steady state LME with inverted baths. Moreover, for the LME with inverted baths, the energy current in the absence of external magnetic field \(B\) is the same. In summary, we want to show for the energy current

\[
\langle F \rangle = \langle F^{XXX} \rangle \equiv \text{tr} (\rho F^{XXX}) = \text{tr} (\rho U^{-1} F_j^{XXX} U) = \text{tr} (U \rho U^{-1} F_j^{XXX}) = \langle F^{XXX} \rangle_{\text{inv\:baths}}.
\]

We make an important statement here. By using the approach described in ref. [24], we can prove the uniqueness for the steady state of all the LME treated in this present work. Thus, if \(\rho\) is the steady distribution of the initial system, then \(U \rho U^{-1}\) is the unique steady distribution of the system with inverted baths. Another important remark: for the studies of symmetries in the LME, our operator \(U\) is indeed the tensorial product over all \(N\) sites of \(2 \times 2\) unitary transformations (they are the same transformation, but each one acts on one site)

\[
U = u \otimes u \otimes \ldots \otimes u.
\]

For more details about the desired transformation \(U\), we note that in the steady state the LME reads

\[
0 = -i[H, \rho] + \mathcal{L}(\rho).
\]

Hence, we must find \(U\) such that

\[
\mathcal{H} = U \mathcal{H} U^\dagger, \quad \mathcal{L}_{\text{inv\:baths}}(U \rho U^\dagger) = U \mathcal{L} U^\dagger.
\]

We obtain similar results with the change \(U \leftrightarrow U^\dagger\) (e.g., \(\mathcal{H} = U^\dagger \mathcal{H} U\)). I.e., for this first case, we need to present \(U\) unitary such that (discarding the change 1 \(\leftrightarrow N\))

\[
U K^L_\pm U^\dagger = K^R_\mp.
\]

To find this desired \(U\), or each \(u\), we start from a general representation for an unitary matrix \(u\)

\[
u \equiv \begin{pmatrix}
a & b \\
-e^{i\varphi}b^* 
\end{pmatrix}.
\]
where $a$ and $b$ are complex numbers; $a^*$ is the complex conjugate; $\phi$ is real; and $|a|^2 + |b|^2 = 1$. Then, we investigate if it is possible to describe $U$ which inverts the baths and that satisfies all the previous relations described above. Of course, we do not present the algebraic manipulations carried out to find the desired $U$. But the reader can check a posteriori that the desired relations follow with the presented $U$.

For the first case in which the dissipators are given by $K^{R,L}_\pm$, i.e., for the case of one edge of the chain with a $\sigma^z$ polarization, and the other edge with a polarization in an arbitrary direction in the XY plane, after a tedious algebraic work we find $u$ as given by

$$u^{(1)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 + i \\ -e^{i\theta} (1 - i) & 0 \end{pmatrix}.$$  \hfill (11)

For emphasis, we repeat that, with such a matrix, it follows that $U^{(1)}F^{XXZ}(1) \dagger = F^{XXZ}$, that is, the energy current is the same as we invert the baths. As a further observation, we note that

$$u^{(1)} \sigma^x u^{(1)} \dagger = \begin{pmatrix} 0 & -\sin \theta - i \cos \theta \\ -\sin \theta + i \cos \theta & 0 \end{pmatrix},$$

$$u^{(1)} \sigma^y u^{(1)} \dagger = \begin{pmatrix} 0 & \cos \theta + i \sin \theta \\ \cos \theta - i \sin \theta & 0 \end{pmatrix},$$

$$u^{(1)} \sigma^z u^{(1)} \dagger = -\sigma^z.$$  

Although we have awkward spin transformations, it still follows that $U^{(1)}H U^{(1)} \dagger = H$ as well.

In the next step, we consider a general case of LME involving several dissipators: $L_1, L_2, V_1, V_2, W_1, W_2$ acting on the first site, and $L_3, L_4, V_3, V_4, W_3, W_4$ acting on the site $N$. Precisely,

$$L_1 = \alpha (\sigma^+ N + i \sigma^y N),$$

$$L_2 = \beta (\sigma^- N - i \sigma^y N),$$

$$L_3 = \alpha (\sigma^+_N + i \sigma^y_N),$$

$$L_4 = \alpha (\sigma^-_N - i \sigma^y_N),$$

$$V_1 = p (\sigma^+_1 + i \sigma^y_1),$$

$$V_2 = q (\sigma^+_2 + i \sigma^y_2),$$

$$V_3 = u (\sigma^+_3 + i \sigma^y_3),$$

$$V_4 = u (\sigma^-_3 - i \sigma^y_3),$$

$$W_1 = u (\sigma^+_4 + i \sigma^y_4),$$

$$W_2 = u (\sigma^-_4 - i \sigma^y_4),$$

$$W_3 = q (\sigma^+_1 + i \sigma^y_1),$$

$$W_4 = q (\sigma^-_1 - i \sigma^y_1).$$

The parameters $\alpha, \beta, p, q, u, v$ above can be taken as nonnegative real numbers. Note that we have the same parameters acting both on first and last sites of the spin chain, but they are linked to different target polarization operators at each boundary. The operators $L_k, V_k$, and $W_k$ when taken alone, target polarization along the axes $z, x$ and $y$, respectively.

Again, the procedure is the same, and we find $U^{(2)}$ such that $U^{(2)}F^{XXZ}U^{(2)} \dagger = F^{XXZ}$, i.e., the one-way street phenomenon holds. We obtain

$$u^{(2)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 + i \\ 1 + i & 0 \end{pmatrix}.$$  \hfill (13)

Moreover, we have

$$u^{(2)} \sigma^x u^{(2)} \dagger = -\sigma^y, \quad u^{(2)} \sigma^y u^{(2)} \dagger = -\sigma^x,$$

$$u^{(2)} \sigma^z u^{(2)} \dagger = -\sigma^z.$$  

We turn, now, to the investigation of asymmetric Heisenberg XXX models, i.e., we extend the asymmetry distribution also to the $x$ and $y$ coordinates. Precisely, we consider the Hamiltonian

$$H = \sum_{i=1}^{N-1} \alpha_i (\sigma^x_{i+1} + \sigma^y_{i+1} + \sigma^z_{i+1}).$$  \hfill (14)

where $\alpha_i$ is assumed to be asymmetrically distributed.

In this case, we need to rewrite the expressions for the currents. For the spin flow we have

$$\langle J_i \rangle = 2 \alpha_i \langle \sigma^y_{j+1} - \sigma^y_{j+1} \rangle$$

and, for the energy current, we obtain

$$\langle F_{j+1}^{XXZ} \rangle = 2 \alpha_{i-1} \alpha_i \langle v_{j+1} \sigma^z_{j+1} - \sigma^z_{j+1} \rangle$$

$$+ \langle \sigma^z_{j+1} - \sigma^z_{j+1} \rangle + \langle \sigma^z_{j+1} - \sigma^z_{j+1} \rangle + \langle \sigma^z_{j+1} - \sigma^z_{j+1} \rangle + \langle \sigma^z_{j+1} - \sigma^z_{j+1} \rangle.$$  \hfill (15)

In relation to the dissipators of the LME, we start again with one of the edges of the chain target in a given polarization, say $\sigma^z$, and the other edge with an arbitrary polarization in the plane $XZ$.

$$D^L_{\pm} = \sqrt{\gamma(1 \pm f)} \left( \frac{\sigma^z_{\pm} \pm i \sigma^y_{\pm}}{2} \right),$$

$$D^R_{\pm} = \sqrt{\gamma(1 \pm f)} \left( \frac{\cos(\theta) \sigma^y_{\pm} + \sin(\theta) \sigma^z_{\pm} \pm i \sigma^x_{\pm}}{2} \right).$$  \hfill (17)

Again, after considerable algebraic manipulations, we find the transformation $U^{(3)}$ that inverts the baths and shows the one-way street phenomenon for the energy current. We have

$$u^{(3)} = \frac{i}{\sqrt{2}} \left( \begin{array}{c} \sqrt{1 - \cos \theta} \\ \sqrt{1 + \cos \theta} \end{array} \right).$$  \hfill (18)

It is interesting to note the intricate transformation for the spin variables here,

$$u^{(3)} \sigma^x u^{(3)} \dagger = \begin{pmatrix} \sin \theta & \cos \theta \\ \cos \theta & -\sin \theta \end{pmatrix},$$

$$u^{(3)} \sigma^y u^{(3)} \dagger = -\sigma^y,$$

$$u^{(3)} \sigma^z u^{(3)} \dagger = \begin{pmatrix} -\cos \theta & \sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$  

Anyway, as said, we still have $U^{(3)}H U^{(3)} \dagger = H$, and $U^{(3)}F U^{(3)} \dagger = F$.

Finally, we consider the case of several dissipators, which, alone, target polarization along the axes $x, y$ and $z$.  

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We take
\[ L_1 = \alpha (\sigma_1^x + i\sigma_1^y), \quad L_3 = v(\sigma_N^x + i\sigma_N^y), \]
\[ L_2 = \beta (\sigma_1^x - i\sigma_1^y), \quad L_4 = u(\sigma_N^x - i\sigma_N^y), \]
\[ V_1 = p(\sigma_1^y + i\sigma_1^z), \quad V_3 = q(\sigma_N^y + i\sigma_N^z), \]
\[ V_2 = q(\sigma_1^y - i\sigma_1^z), \quad V_4 = p(\sigma_N^y - i\sigma_N^z), \]
\[ W_1 = u(\sigma_1^z + i\sigma_1^x), \quad W_3 = \beta (\sigma_N^z + i\sigma_N^x), \]
\[ W_2 = v(\sigma_1^z - i\sigma_1^x), \quad W_4 = \alpha (\sigma_N^z - i\sigma_N^x) \]
(note that they involve a combination different from the previous one in the XXZ case). Again, the parameters \( \alpha, \beta, p, q, u, v \) above can be taken as nonnegative real numbers.

The desired matrix changing the baths is now found as
\[ u^{(4)} = \frac{1}{\sqrt{2}} \begin{pmatrix} i & -1 \\ 1 & -i \end{pmatrix}. \] (20)

For the spin transformations we get
\[ u^{(4)} \sigma^x u^{(4)\dagger} = -\sigma^z, \quad u^{(4)} \sigma^y u^{(4)\dagger} = -\sigma^z \]
\[ u^{(4)} \sigma^z u^{(4)\dagger} = -\sigma^y. \]

Once more, we have \( U^{(4)} H U^{(4)\dagger} = H \), and \( U^{(4)} F U^{(4)\dagger} = F \).

Conclusion. – It is worth recalling that asymmetric systems, as considered in this work, are not only theoretical proposals. For example, there is a proliferation of graded materials in nature, i.e., inhomogeneous systems whose structure changes gradually in space, and they can be also manufactured. There is a great interest for such materials in many areas: optics, mechanical engineering, material science, etc. [25]. Moreover, a simple example of graded thermal rectifier has been already built: a carbon and boron nitride nanotube, inhomogeneously coated with heavy molecules [26].

We believe that we shall see experimental realizations of such asymmetrical XXZ and Heisenberg (XXX) models soon. We recall that the possibility to engineer XXZ quantum spin Hamiltonians with different values for the inner parameters \( \alpha \) and \( \Delta \) has been already shown [27,28]. Moreover, Heisenberg (XXX) and XXZ models appear related to recent experimental works with Rydberg atoms in optical traps [29,30].

To conclude, we show here that an interesting effect related to (but stronger than) rectification is of ubiquitous occurrence in boundary-driven quantum spin systems, the archetypal models of quantum spin nonequilibrium physics. A further comment is pertinent to stress the generalization of our results. Now we show the one-way street phenomenon for a XXZ chain in which one edge of the chain is target in a given polarization, say, the \( x \)-direction, and the other edge involves a polarization with arbitrary twisting angle (described as \( \theta \) and taking any value) in the \( XY \) plane. We also show the phenomenon for arbitrary choices of six operators, i.e., pairs of three kinds of operators that, when taken alone, target polarization along the axes \( x, y \) and \( z \) (one pair targets along \( x \), the other \( y \), etc.). That is why we repeatedly say that we are considering general polarizations. Our findings are not resumed as new examples of specific polarization involving quite specific angles or some specific axis. Similar results have been shown for the Heisenberg system.

We are confident that such results will stimulate more theoretical and experimental research on the theme of quantum transport.

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This work was partially supported by CNPq (Brazil).

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