Field-induced Orbital Patterns in Ferromagnetic Layered Ruthenates

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We study the evolution of orbital patterns in ferromagnetic layered ruthenates due to the competition of Coulomb interactions, compressive $c$-axis and orthorhombic distortions in the presence of a polarizing orbital field coupled to the angular momentum. By means of the exact diagonalization on a 2×2 cluster and a cluster embedded analysis where inter-plaquette interaction is treated on mean field level, we determine the ground-state phase diagram. Specifically, we demonstrate that, via the activation of two or three of $t_{2g}$ local orbital configurations, an external field applied along different symmetry directions can lead to inequivalent orbital correlated states. Starting from an antiferro-orbital pattern, for the easy axis case an orbital ordered phase is induced, having strong next nearest neighbors ferro-orbital correlations. Otherwise, a field applied along the hard axis leads a reduction of local orbital moment in a way to suppress the orbital order.

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I. INTRODUCTION

Large Coulomb repulsion, orbital degeneracy and lattice distortions are widely accepted to be key parameters in determining the intriguing and fascinating phenomena of transition metal oxide materials\(^1\). Colossal magnetoresistance (CMR) in perovskitic manganites is one of the most significant examples of the subtle competition between spin-orbital-charge degrees of freedom at the border line between different types of ordered states\(^4\).\(^5\). Moreover, when electrons in degenerate $d$ shells localize in Mott-Hubbard or charge transfer insulators, unusual collective behaviors may emerge as a consequence of the orbital frustration on the spin degree of freedom. In this context, quantum effects associated with the orbital degrees of freedom are expected to be of great relevance both for $e_g$\(^7\) and $t_{2g}$\(^8\) systems\(^9\).

Recently, the physics of Ca$_3$Ru$_2$O$_7$ compound has attracted a lot of interest due to a novel type of observed CMR\(^10\)--\(^15\) that is likely to be driven by orbital rather than quantum spin effects. This compound belongs to the Ruddlesden-Popper series Ca$_{3n+1}$Ru$_n$O$_{3n+1}$, $n$ being the number of Ru-O layers per unit cell, and thus exhibits a bilayered structure. The crystal structure is orthorhombic with significant distortions in the $ac$ plane due to the tilting of RuO$_6$ octahedra around the $b$ axis\(^16\). In zero field, Ca$_3$Ru$_2$O$_7$ undergoes an antiferromagnetic (AFM) transition at $T_N=56$ K while remaining metallic and then a Mott-like transition at $T_M=48$ K with a dramatic reduction (up to a factor of 20) in the conductivity for $T<T_M$\(^10\),\(^11\),\(^13\),\(^14\),\(^15\),\(^17\). This transition is accompanied by an abrupt shortening of the $c$ axis lattice parameter below $T_M$\(^10\),\(^18\). We notice that in the AFM state, neutron scattering measurements indicate that the magnetic moments align ferromagnetically within the double layer, and antiferromagnetically between the double layers (A-type AFM structure) along the $c$ axis\(^19\),\(^20\).

Concerning the mechanism behind the CMR in Ca$_3$Ru$_2$O$_7$, the physics involved is likely to be fundamentally different from that governing all other magnetoresistive materials. Experiments reveal that the electronic transport is so deeply interrelated to the spin-orbital correlations that, if one applies a magnetic field along the magnetization easy-axis $a$\(^21\),\(^22\), there occurs a first order metamagnetic transition to a spin-polarized state, without leading to a full suppression of the insulating state. Otherwise, the most favorable magnetococonducting state is driven by the field directed along the hard-axis $b$, where most likely an orbital disordered phase regime is obtained, without considerably affecting the magnetic structure. Indeed, in this regime the magnetization does not change significantly, thus suggesting that magnetic scattering is not the primary mechanism responsible for CMR\(^11\),\(^13\),\(^19\),\(^23\). These transitions are also accompanied by anisotropic structural changes and modifications of the coupling between the electrons and the lattice\(^13\),\(^15\),\(^18\). Notably, when the field is applied along the low temperature hard axis, there occurs a sharp structural change that ties the CMR to an increase of the $c$-axis lattice parameter\(^18\). The joining together of CMR, structural changes and metal-insulator transition is a clear indication of the interplay between spin, charge and lattice degrees of freedom\(^24\).

Earlier theoretical studies on the phenomenology occurring in Ca$_3$Ru$_2$O$_7$ have been devoted to understand the nature of the antiferromagnetic metallic state\(^24\),\(^25\) and the character of the metal-insulator transition\(^26\). The crucial role of orbital degrees of freedom and structural distortions has been recently recognized in the conducting behavior of Ca$_3$Ru$_2$O$_7$\(^27\), while it has been extensively analyzed in the single layered compound Ca$_2$RuO$_4$, where it has gained a lot of extra interest in the context of orbital-selective Mott transitions\(^28\),\(^31\).

Having in mind the experimental scenario above mentioned for Ca$_3$Ru$_2$O$_7$, the nature of the ground state within the layered ferromagnetic insulating state turns...
out to be a relevant issue to be addressed. In this case, since the spin and charge degrees of freedom can be projected out, a suitable microscopic description has to explicitly include the degeneracy of $t_{2g}$ orbital sector, the compressive $c$-axis and the orthorhombic distortions of RuO$_6$ octahedra. Then, as for other correlated insulating states, we base the low energy description on an effective orbital only model in the regime of strong on site Coulomb repulsion. Due to the many competing couplings involved in the problem, the analysis of the orbital correlations within the different ground states is performed via a systematic approach. Firstly, the given model Hamiltonian is solved by means of exact diagonalization (ED) technique on a $2 \times 2$ plaquette. This analysis provides an unbiased insight on the character of the ground-state configurations as the microscopic interactions are treated without any approximation and on equal footing. Then, considering an embedded cluster approach where the intra-plaquette Hamiltonian is solved via ED while the inter-plaquettes interaction is treated within a self-consistent Mean-Field (MF) approximation, we extract the phase diagram for the allowed broken symmetry configurations. There are two main aspects behind the general complexity of the problem we faced: i) the Heisenberg-like Hamiltonian for pseudospin-1 that we considered is marked by directional exchanges that in two-dimensions, due to the peculiar connectivity via the oxygen ligands of the $t_{2g}$ centers, lead to antiferromagnetic correlations that are highly frustrated; ii) the tetragonal and the orthorhombic crystal field terms act like effective orbital fields that do not commute between each other. In this respect, even considering the case of a $S=1/2$ antiferromagnetic Heisenberg Hamiltonian, one can notice that the application of two non-commuting fields would produce a problem that cannot be solved exactly even in one-dimension.$^{32-34}$ We underline that our orbital case refers to a spin-1 like system thus it intrinsically contains extra degrees of freedom whose interplay with the presence of two non-commuting fields adds extra difficulties and different scenarios as compared to the spin-1/2 situation. In particular, the octahedral distortions lift the pristine orbital degeneracy and set orbital patterns that privilege exchange along the easy axis $a$. This corresponds to lowering the local number of active degrees of freedom, that is reduced to the only two orbital configurations that can be effectively connected along the selected direction. Given this scenario, we show that the application of the field along the hard axis restores the local orbital fluctuations among the whole $t_{2g}$ subspace, by reintroducing the extra configuration that was quenched by the balance between orbital exchange and crystal field amplitudes. Special attention is devoted to analyzing the consequences of activating two or three of the $t_{2g}$ local orbital configurations on the evolution of orbital order. One of the main findings is that a polarizing orbital field destabilizes antiferro-orbital ordered phases, both when applying the field along the easy or the hard axis. However, we show that depending on the axial direction, we get two fundamentally different mechanisms: for a field applied along the easy axis $a$, the effect of the field is mainly to reorient the orbital moments inducing ferro-orbital correlations in the direction of the transverse applied field. Due to the orbital configurations involved, this situation still has the features of an orbitally ordered state, where nearest-neighbor antiferro-orbital correlations are strongly suppressed. On the contrary, in the hard axis $b$ case, the activated configuration along $b$ reduces the amplitude of the ordering orbital moments in zero field up to reach an orbital disordered phase. We finally consider possible connections between the symmetry breaking associated with the orbital ordered state and the peculiar magneto-conducting behavior of layered ruthenates.

The paper is organized in the following way: in the next section we introduce and write down the microscopic model; in Sec. III we present, for a $2 \times 2$ plaquette, the numerical results obtained for the phase diagram as function of the microscopic parameters stressing the role played by the purely structural distortions and their interplay with external magnetic field while in Sec. IV we present embedded mean field calculations based on exact diagonalization of 2x2 plaquette; the last section contains a discussion of the results presented and the conclusions.

II. THE EFFECTIVE ORBITAL MODEL

Based on the assumption that the planar spin configuration is not substantially modified by the applied field, we consider the coupling between the field and the local orbital angular momentum contribution as the dominant one. In particular, starting from different orbital ordered (OO) ground states at zero field, we will study how these configurations are modified as a consequence of the induced orbital “flip” via the coupling between the applied field and the orbital angular momentum along different symmetry in-plane directions. Then, by means of these results, we will consider the evolution of the ground state under the external field, and in turn use such investigation to discuss the possible implications with respect to the anomalous CMR phenomenon observed in the Ca$_3$Ru$_2$O$_7$.

As mentioned in the Introduction, to investigate the correlations developing within the orbital sector, we start from a situation where the system is fully polarized and all the spins are aligned along a given crystallographic direction, in accordance to the observed A-type configuration. In this frame, the attention is focused on the orbital degree of freedom, out of the competition between the Coulomb repulsion (orbital exchange), octahedral distortions, and the effect of the orbital field. In particular, having in mind the Ca-based ruthenates, the case of flat octahedral configuration with orthorhombic distortions is considered. In such a scheme we can explore the interplay between orbital exchange and the quenching of or-
bital correlations provided by structural distortions. The specific model Hamiltonian we refer to is built up by the following contributions:

\[ H = H_{\text{exc}} + H_{\text{tet}} + H_{\text{ort}} + H_{\alpha}, \]  

(1)

where \( H_{\text{exc}} \) stands for the orbital exchange interaction, \( H_{\text{tet}} \) and \( H_{\text{ort}} \) are the crystalline fields terms, describing local c-axis tetragonal distortions and in-plane orthorhombic deformations, respectively, and \( H_{\alpha} \) represents the coupling of the orbital angular momentum to the external field.

The first term in Eq. (1) is derived from the superexchange Hamiltonian for \( S = 1 \) spins and it is able to describe, in the low energy limit, the interaction arising from the virtual excitation between two neighboring sites. In a previous work, we have proved that such a description, which has the same roots as the superexchange in a Mott insulator with nondegenerate orbitals, can be applied to the case of ruthenates, being consistent with a significant value of an on-site intraorbital Coulomb interaction.

Ruthenates, the Hund’s coupling is different from the crystal field splitting of \( t_{2g} \) subspace and the Ru\(^{4+}\) ions are in triplet configuration. Dealing with an atomic \( t_{2g} \) configuration, the superexchange interaction arises from virtual processes \( \langle i,j \rangle \) of the type \( \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \rightarrow \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \rightarrow \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \). Each of the orbitals involved is orthogonal to one cubic axis; for instance, \( d_{x} \) is orthogonal to the \( x \) axis while \( d_{y} \) and \( d_{z} \) are orthogonal to \( y \) and \( z \), respectively. Due to the connectivity via intermediate oxygen ligands, only two out of the three \( t_{2g} \) orbitals can be effectively connected for each axial direction.

The spin/orbital model for Ca-ruthenates can be directly derived from the one adopted for cubic vanadates, by performing a particle-hole transformation within the \( t_{2g} \) sector, in a way to map the problem of four electrons to that of two holes and consequently the doublon states in ‘no hole’ configurations. In this way, we reduce ourselves to consider virtual excitations of the kind \( \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \rightarrow \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \rightarrow \bar{d}_{i}^{\dagger} d_{j}^{\dagger} \).

Here, we refer to a simplified version of the Hamiltonian in Ref.\(^{28}\), obtained under the assumption \( J_{H}/U \rightarrow 0 \), \( J_{H} \) being the Hund’s coupling and \( U \) the (large) Coulomb interaction. Moreover, in the present case, the spin degrees of freedom have been projected out, by assuming a fully polarized planar configuration, where \( \langle S_{i} \cdot S_{j} \rangle = 1/4 \). The orbital exchange Hamiltonian is then written as follows:

\[ H_{\text{exc}} = \frac{1}{2} J \sum_{\gamma} \sum_{\langle ij \rangle} [\bar{\tau}^{\gamma}_{i} \cdot \tau^{\gamma}_{j} + \frac{1}{4} n_{i} n_{j}]^{(\gamma)}, \]  

(2)

where \( \bar{\tau}^{\gamma}_{i} = \{ \bar{\tau}_{x}^{\gamma}_{i}, \bar{\tau}_{y}^{\gamma}_{i}, \bar{\tau}_{z}^{\gamma}_{i} \} \) are pseudospin operators, acting in the subspace defined by the two orbitals which can be linked along a given \( \gamma \) direction.

For our purposes, it is convenient to characterize the correlations of each orbital flavor in terms of the ‘no hole’ states \( \hat{x}, \hat{y}, \hat{z} \), standing for the local orbital configurations having no hole in the corresponding orbital \( d_{x}, d_{y}, d_{z} \).

For instance, if the bond is along the \( z \)-axis, the virtual hopping connects \( \hat{x} \) and \( \hat{y} \) only, and the orbital interaction may be expressed via the Schwinger representation:

\[ \tau^{x}_{i} = p_{i}^{\dagger} p_{j}, \quad \tau^{y}_{i} = p_{i}^{\dagger} p_{j}, \quad \tau^{z}_{i} = \frac{1}{2} (n_{i} - n_{j}) + \frac{1}{2} (n_{i} - n_{j}) \]  

\[ n_{i} = 2 n_{i} + n_{j} + n_{j}, \quad n_{i} = p_{i}^{\dagger} p_{j} + p_{j}^{\dagger} p_{j} \]  

(3)

It is worth to notice that, in absence of crystal field terms lifting orbital degeneracy, orbital exchange described by Hamiltonian in Eq. (2) is intrinsically frustrated: due to orbital singlets composition, antiferro-orbital correlations along \( x \) preclude exchange along \( y \) and viceversa. The result is a superposition of valence bond states which are uncorrelated along orthogonal directions.

Concerning the crystalline field contributions, \( H_{\text{tet}} \) is the tetragonal term linked to the compressive or tensile character of the \( c \)-axis octahedral distortions. In the ‘no hole’ state representation, \( H_{\text{tet}} \) is written as follows:

\[ H_{\text{tet}} = \Delta_{\text{tet}} \sum_{i} [n_{iz} - \frac{1}{2} (n_{iy} + n_{iz})]. \]  

(3)

Eq. (3) implies that negative \( \Delta_{\text{tet}} \) values lower the energy of \( \hat{z} \) configurations, thus simulating a compressive distortion. This case is relevant for describing the short-\( c \)-axis insulating phase of \( \text{Ca}_{2}\text{RuO}_{2}\text{F}_{7} \).

The \( H_{\text{ort}} \) term describes in-plane orthorhombic deformations as due to a difference between the \( a \) and \( b \) crystallographic axis. From a general point of view, the orthorhombic deformations are introduced by means of the coupling between the orbital degree of freedom and the strain fields \( \varepsilon_{1} = \varepsilon_{xx} = -\varepsilon_{yy} \) corresponding to a rotation axis like [100] and \( \varepsilon_{2} = -\varepsilon_{xy} \) corresponding to a rotation axis [110] in the tetragonal basis \([x y z]\). These distortions tend to lift the orbital degeneracy and thus can be viewed as effective orbital polarizing fields. From symmetry considerations, one can show that a general form for the orthorhombic term can be expressed as follows:

\[ H_{\text{ort}} = \sum_{i} (\Delta_{1,0} \tau^{x}_{i} + \Delta_{2,0} \tau^{y}_{i}). \]  

(4)

where \( \Delta_{1,0} \) and \( \Delta_{2,0} \) correspond with the strain fields \( \varepsilon_{1} \) and \( \varepsilon_{2} \). Having in mind the orthorhombic distortions for the \( \text{Ca}_{3}\text{RuO}_{2}\text{F}_{7} \) compound, where the RuO\(_{6}\) octahedra are severely tilted in the \( ac \) plane thus rotated with respect to the \( b \) axis, one can assume that the \( \Delta_{2,0} \) term is the relevant contribution to be included. Therefore, in our analysis the last term in Eq. (1) is just the following:

\[ H_{\text{ort}} = \Delta_{o} \sum_{i} \tau_{zi}^{e}. \]  

(5)
Still, negative values of $\Delta_o$ in $H_{ext}$, tend to stabilize a quantum state given by a superposition $\sim \hat{x} + \hat{y}$. This corresponds to select a preferential axial direction for orbital connectivity in the $(a, b, c)$ frame that is obtained by performing a $(\pi/4, \pi/4, 0)$ rotation of $(x, y, z)$ in real space. In the following we will refer to this as the ‘orthorhombic’ frame and to the corresponding orbitals as $d_a$, $d_b$, $d_c$.

Being interested in the response to an orbital only coupled field, we also include in the Hamiltonian of Eq. (1) the coupling between the external field and the local angular momenta, i.e.

$$H_\alpha = 2B \sum_i \tau^\alpha_{yi},$$

where $B$ is the magnetic field coupled to the orbital degrees of freedom only in unit of Bohr magneton and $\alpha = a, b$ defines the direction, along which $B$ is applied.

III. GROUND-STATE ORBITAL PATTERNS FOR A $2 \times 2$ PLAQUETTE

In this section we present the ground-state (GS) diagrams, obtained by means of an exact diagonalization study of the Hamiltonian above introduced on a $2 \times 2$ plaquette. As a strategy, we follow the evolution of the GS induced by the $c$ axis compressive distortions, orthorhombic deformations and the external orbital only field. The orthorhombic field is assumed in a way that the $c$ direction is the easy-axis for the angular moments.

It turns out to be easier to characterize the different ground-state behaviors by evaluating the density and orbital correlators in the orthorhombic frame. We therefore introduce ‘no hole’ configurations $\hat{a}$, $\hat{b}$, $\hat{c}$, where the empty state is localized at $d_a$, $d_b$, $d_c$, respectively. In Fig. (1(b)), graphical representation of $\hat{a}$, $\hat{b}$, $\hat{c}$, together with original $\hat{x}$, $\hat{y}$, $\hat{z}$ (Fig. (1(a)) is reported. In terms of this new notation, it is easy to define the action of the pseudospin operators in the orthorhombic basis for the $a, b, c$ flavors. For example, along the $c$-axis, the pseudospin in the orthorhombic frame acts on $\hat{b}$ and $\hat{a}$ and it can be expressed via $\tau^+_{z,i} = p^i_{1b}p^{i*}_{1a}$, $\tau^-_{z,i} = p^i_{1a}p^{i*}_{1b}$, $\tau^0_{z,i} = \frac{1}{2}(n_{ib} - n_{ia})$.

Finally, in order to simplify the reading of the phase diagrams, we introduce the following notation: FO, AFO, WO stand for ferro-orbital (‘no-hole’ state on neighbors homologue octahedra), antiferro-orbital (‘no-hole’ state on neighbors off-diagonal orbitals) and weak antiferro-orbital correlations, respectively. Besides, P-FO stands for configurations with partial FO character, C-AFO for canted AFO while PO indicates the para-orbital high-field configuration. Furthermore, the superscripts $(a, b, c)$ stand for the direction of the main contributions for the orbital correlators in terms of the pseudospins operators. The underscript $(x, y, z)$ refers to the main character of the orbital correlations in the pseudospin space, i.e. it provides indication of the anisotropy of the orbital pattern. A schematic view of the representative orbital patterns that contribute to the ground state on the $2 \times 2$ plaquette is reported in Fig. (1(c)-m), where the main component of the ground state is represented by means of the local density distribution of ‘no-hole’ configurations, in a way that a specific orbital on a given site stands for the local configuration having no holes in that orbital.

We notice that, except for the FO/AFO regions that are expected without explicit calculations, all the remaining phases are untrivial since they show an intermediate character, where the correlations have competing behaviors. For completeness, here we specify the way those regions are classified: i) PO stands for the para-orbital uncorrelated high-field-configuration, driven by the field $H_\alpha$, where the pseudospins are $\tau^\alpha$ oriented and the correlators $\langle \tau^\alpha_i \tau^\alpha_j \rangle$, evaluated in the orthorhombic frame, have the maximum saturated value of 0.25 (see for example Fig. 10 a) and 12 b)); ii) generic C-AFO stand for regions where nearest-neighbor antiferro-orbital correlations characterizing AFO are strongly suppressed and coexist with FO components, as shown for example in Fig 10. iii) WO has the feature of an isotropic weak antiferro-orbital phase, obtained when the field is applied along the hard axis $b$, resulting from a reduction of the nearest-neighbors $\langle \tau^a_i \tau^a_j \rangle$ antiferro-type correlations due to a non-vanishing onsite momentum $\tau^a_i$. In this region, FO and AFO correlations are the same order of magnitude, as shown in Fig. 12, meaning that no preferred behavior can be identified.

A. Effect of structural distortions

As a first step we discuss the case at zero applied field. In Fig. (2) the ground-state diagram is shown, obtained by varying the crystal field microscopic parameters $\Delta_{tet}$ and $\Delta_o$ in unit of $J$. It turns out to be convenient to span the different regions of Fig. (2) by fixing an ideal horizontal line corresponding to e.g. $|\Delta_o/J| = 0.8$, while varying the tetragonal parameter.

Tetragonal crystal field acts as an effective orbital bias that tunes the density of $\hat{c}$ configurations from 0 to 1, corresponding to weak and strong regime of compressed octahedra, respectively. Looking at the relevant correlation functions, we see that the two ending regions are both characterized by a complete quenching of the orbital correlations. In the weak tetragonal regime, the orthorhombic field is strong enough to give rise to a complete FO$^c$ (see Fig. (1) panel c)), where stabilizing $\hat{b}$ configurations generates full FO correlations for the $\tau^2_z$ components. In the extreme flattened RuO$_6$ regime, instead, we get a FO$^a$ region (see Fig. (1) panel c)), where the ferro-orbital correlations are saturated along the $z$ direction for the flavor $c$.

These two regions are linked by intermediate regions characterized by a partial filling of the the $t_{2g}$ subspace. We highlight that, due to the constraint of the planar geometry, and that of the orthorhombic field favoring $\hat{b}$...
FIG. 1. (Color online) Schematic representation of the possible orbital patterns on a $2 \times 2$ plaquette, generated by the interplay between orbital exchange, tetragonal and orthorhombic distortions, applied orbital field. First panel shows the axis notation, panel a) and panel b) the graphical representation of $\hat{x}$, $\hat{y}$, $\hat{z}$ and $\hat{a}$, $\hat{b}$, $\hat{c}$ configurations, respectively. Panels c)-m) report the planar orbital pattern in terms of the local distribution of 'no-hole' configurations; FO$^\alpha$/AFO$^\alpha$ stand for orbital patterns having homologue configurations on neighbors sites, for $\alpha = a, b, c$ flavor. P-FO stands for configurations with partial FO character, C-AFO for canted AFO. PO$^a$ indicates 'para'-orbital states where $\tau_y^a$ oriented; WO stands for configurations characterized by a softening of AFO$^a$ correlations, due to nonvanishing $\langle \tau_y^a \rangle$.

FIG. 2. Diagram summarizing the behavior of the GS configurations of a $2 \times 2$ cluster as a function of $\Delta_o/J$ and $\Delta_{tet}/J$. Stars stand for smooth crossovers in the relevant correlators, solid dots for abrupt jumps. Phases are labelled in accordance to Fig. 1.

We finally remark that, in the AFO$^z$, the order of magnitude of the $x$, $y$, $z$ correlators for the $a$ flavor is comparable, though the amplitude for the $z$ channel is larger than the others.

B. Interplay between structural distortions and orbital polarizing field

1. Easy axis

Let us now discuss the interplay between the structural distortions and the effects of a polarizing field. In doing that, we consider just one representative value for the orthorhombic strain $|\Delta_o/J| = 0.4$. This is the most interesting regime where the structure of the ground state is more sensitive to external small perturbations. This would permit to explore the modifications induced on the corresponding line of Fig. 2 and particularly of the region at the boundary between AFO$^a$ and FO$^c$, occurring for intermediate/strong tetragonal crystal field amplitude.

Due to the anisotropy introduced by the orthorhombic field, we distinguish between the response along the $a$ and $b$ directions. The corresponding ground-state diagrams are displayed in Figs. 3 and 4. The investigation of these diagrams will be confined to the low fields regime since, approaching high fields, the orbital momentum becomes fully polarized, as in the PO$^a$ region of Fig. 1h), resulting from stabilizing $\sim (\hat{b} + i\hat{c})$, or the PO$^b$ region of Fig. 1i), corresponding to $\sim (\hat{c} - i\hat{a})$ local configurations. We do believe that, in this limit, the assumption of neglecting the spin magnetization becomes weak and thus an analysis of the complete spin-orbital effective model...
is required. Fig. 3 shows the occurrence of crossovers in the orbital correlators among different GS configurations generated for $B||a$. We do notice a peculiar evolution of the boundary for the AFO$_c$ state. Indeed, aligning the moment along $y$ for the $a$ flavor, regions AFO$_a$ and FO$_c$ move towards a new regime where AFO$_a$ along $y$ is partially reduced evolving into a canted like state C-AFO$_c$ (see Fig. 1 panel i), with a non-zero total angular momentum, directed along $y$, coexisting with partial AFO$_a$ correlations along $z$.

2. Hard axis

The response for $B||b$ is instead more stiff (see Fig. 4). In Fig. 5 we give a schematic representation of the action of pseudospin operators on different orbital subspaces: the ground state at zero field is a combination of $\hat{b}$ and $\hat{c}$ states and the application of the field along $b$ induces $\hat{a}$-type configurations that are unfavored with respect to the orthorhombic deformations. This implies that a much higher value of the field is required to activate the orbital exchange along $b$. Along this symmetry direction, the orbital polarizing field tends to restore a description where all the three $t_{2g}$ flavors are active. Due to the new configurations $\hat{a}$, and to the competing mechanisms involved, the resulting effect is a weakly ordered WO configuration. Such state is marked by a coexistence between AFO correlations along $a$ and FO along $b$ (see Fig. 1 panel m)).

Now, comparing the response of the system for the different field directions in the interval of crystal field given by about $0.1<|\Delta_{tet}/J|<0.5$, one can observe that, if the field is along the $a$ axis, the closest configurations that can be activated, starting from AFO$^a$ and FO$^c$, still have an orbital ordered pattern (OO) as given by C-AFO$_c$. On the contrary, for $B||b$, due to the activation of the $b$ flavor, we get a changeover from an OO pattern to an WO configuration.

This analysis shows that when AFO and FO configurations are close in energy, the application of the external field may act as a tunable parameter within the orbital configurations, thus suggesting that the complex field response in the bilayered ruthenate may be consequence of...
the subtle interplay between different microscopic mechanisms that involve the orbital couplings and the fields associated with the octahedral deformations in presence of large Coulomb coupling. One of the main findings is the occurrence of an orbital ordered pattern at the boundary between FO and AFO states, stabilized when the field is along the easy axis, as due to the effective freezing of one orbital flavor. When the field is applied along the hard axis, quantum fluctuations associated with the three-orbital degrees of freedom are restored and tend to destroy the orbital ordering.

IV. CLUSTER EMBEDDED CALCULATION

In this section we extend the cluster calculation considering the case of a 4-site plaquette embedded in a periodic environment as schematically represented in Fig. 6. Here, the intra-plaquette Hamiltonian is solved via exact diagonalization and the inter-plaquettes interaction is treated within a self-consistent Mean-Field approximation.

Indeed, the MF scheme of analysis corresponds to decouple the inter-plaquette terms of the Hamiltonian as it follows:

$H_{exc} = J \sum_{\gamma=x,y} \sum_{\mu=x,y,z} \sum_{(ij)} [\tau_i^\mu \langle \tau_j^\mu \rangle + \frac{1}{4} n_i \langle n_j \rangle]^2_{\gamma}$

$J \sum_{\gamma=x,y} \sum_{\mu=x,y,z} \sum_{(ij)} [\langle \tau_i^\mu \rangle \langle \tau_j^\mu \rangle + \frac{1}{4} \langle n_i \rangle \langle n_j \rangle]^2_{\gamma}$

where $\gamma$ stands for the axial direction and $\mu$ for the pseudospin component. Hence, Eq. (7) represents the exchange interaction between the plaquette and the nearest neighbors sites in the $(x, y)$ plane, treated on a mean field level. Here, the average $\langle \cdots \rangle$ of the local pseudospin operators is determined after solving the full quantum problem within the plaquette. The decoupling of the orbital exchange on nearest-neighbour plaquettes tends to prefer broken symmetry states with AFO correlations. This tendency is in competition with the local distortive fields due to the octahedral distortions and is strongly related to the intra-plaquette quantum effects. Hence, the solution is determined in a self-consistent fashion, according to the following scheme: we assign initial conditions for $\langle \tau_i^\gamma \rangle$ and $\langle n_i^\gamma \rangle$, and use them to evaluate improved expectation values; the procedure runs until convergence is achieved, with the requested accuracy. This scheme is applied for all the different initial conditions associated with possible orbital states emerging from ED on the 4-site plaquette. In particular, we consider all the starting configurations where local pseudospins are oriented along a given direction and FO, A-FO, C-AFO and WO correlated, and we finally choose the lowest energy orbital configuration among the ones self-consistently obtained. Mean field drives the solution towards broken symmetry states having a specific orbital pattern that can be characterized by local order parameters $\langle \tau_i^\gamma \rangle$, and by explicitly evaluating orbital correlations developing along different axial direction of the orthorhombic frame. We point out that this feature is not intrinsic in the MF approach but is strongly related to the anisotropic microscopic environment due to crystal field terms. The latter break rotational invariance in the pseudospin space, allowing solutions with a specific orbital patterns to be stabilized.

We finally remark that, by exploring broken symmetry states with defined OO patterns, we can get informations about the thermodynamic stability of the corresponding OO phases.

A. Effect of structural distortions

We first consider the MF evolution starting from orbital configurations of a $2 \times 2$ plaquette at zero applied field. We look for most stable solutions, chosen among those having FO-AFO and C/AFO character. In Fig. 7 we show the phase diagram as a function of the tetragonal and orthorhombic crystal field amplitudes. When comparing this diagram to the cluster calculation (Fig. 2), the following features emerge:

i) the value of $|\Delta_{tet}/J|$ separating AFO$^a$ and C-AFO$^a$ regions is not modified by the MF and scales with $|\Delta_{u}/J|$ according to the same linear law;

ii) in the moderately/highly flattened region, the most stable solution has a C-AFO$^a$ character. Looking at orbital correlations in the orthorhombic frame, this corresponds to AFO correlations in $x, y$ components of flavor $a$ coexisting with FO correlations for $\gamma^a$. Approaching the extremely flattened side, the AFO correlations for
\[ \tau_{x,y}^a \] are gradually replaced by the FO character of \( \tau_{z}^a \tau_z^b \), which is saturated reaching FO°. Moreover \( \tau_z^b \) is decreasing and FO-correlated.

iii) In the regime of strong orthorhombic field, we observe that the full FO° is no more stable and leaves the place to a region having a uniform P-FO° character (the evolution of the expectation values as a function of the orthorhombic field suggests that FO° is stabilized for higher values of \( |\Delta_o| \gtrsim 2 \)). This configuration is somehow symmetric with respect to C-AFO°, but has an inverted balance between \( |\hat{z}| \) and \((\hat{x}, \hat{y})\) sector.

iv) in the AFO° the self-consistence leads to a state with pure \( z \) components of the \( a \) and \( b \) flavor (correlations along \( x \) and \( y \) renormalize down to zero while they were not vanishing for the uncoupled cluster case.)

**B. Effect of orbital-only applied field**

1. **Easy axis**

The effect of a polarizing field along easy axis \( a \) is to induce a nonvanishing \( y \) component of local orbital momentum \( \langle \tau_y^a \rangle \). This anisotropic effect is thus relevant for the evolution of the C-AFO°, where AFO° correlations exist both for the \( x \) and \( y \) components. Therefore, we expect that the polarizing field naturally will select the latter. Moreover it’s interesting to see how the induced FO correlations compete with the general tendency towards AFO°. In Fig. [8] we report the evolution of the line \( |\Delta_o/J| = 0.4 \) of Fig. [7] as a function of the applied field. As one can see, one of the main differences, with respect to the 4-site plaquette calculation (see Fig. [8]), is that now most of the transitions occur as a smooth crossover in the relevant correlators, represented by empty dots and stars (see Fig. [10]). High field regions are uninteresting (PO phases are stabilized), so we skip them in the discussion. In the low \( |\Delta_{tet}/J| \) region, we see that the AFO° region remains quite stable and the effect of the polarizing field is to progressively orient the local orbital momentum from \( z \)

**FIG. 7.** Diagram summarizing the behavior of the GS configurations as a function of \( \Delta_o/J \) and \( \Delta_{tet}/J \) for a cluster embedded in a periodic environment.

**FIG. 8.** (Color online) Schematic representation of possible orbital patterns for a \( 2 \times 2 \) cluster embedded in a periodic environment as due to the interplay between orbital exchange, tetragonal and orthorhombic distortions, and applied orbital field. Panels a)-f) report the planar orbital pattern in terms of the local distribution of “no-hole” configurations; FO°/AFO° stand for orbital patterns having homologue configurations on neighbors sites, for \( \alpha = a, b, c \) flavor. C-AFO for canted AFO. PO° indicates ‘para’-orbital states where where all pseudospins are \( \tau_y^\alpha \) oriented; WO stands for configurations characterized by a softening of AFO° correlations, due to nonvanishing \( \langle \tau_y^\alpha \rangle \)

**FIG. 9.** Diagram summarizing the behavior of the GS configurations as a function of \( \Delta_{tet}/J \) and \( B_z/J \), for a cluster embedded in a periodic environment at \( |\Delta_o/J| = 0.4 \).

We notice that, contrary to the easy axis case, for the hard axis situation the competition between crystal field amplitude and exchange is enhanced. The analogy with an Heisenberg antiferromagnet, disordered by an applied magnetic field, suggests that orbital correlations are tuned by the interplay between applied field and orbital exchange, in a way that is not dependent on the crystal field amplitude, once the orthorhombic deformations have selected the ‘easy flavor’ $a$.

When the field is applied along the hard axis $b$, a stronger intensity than that for the $a$ case is required to stabilize the full PO region. The evolution towards PO is the result of the smooth suppression of AFO correlations, as demonstrated by the suppression of $\langle \tau_y^a \tau_y^a \rangle$ between nearest neighbors [see Fig. (12a)]. In the regime $0.4 < |\Delta_{tet}/J| < 0.6$, at the boundary between $\text{AFO}_z^a$ and $\text{C-AFO}_z^a$, this determines a weakly antiferro-orbital (WO) behavior, represented in Fig. (e). This region is the result of disordering $\text{C-AFO}_y^a$ by introducing a nonvanishing onsite momentum $\langle \tau_y^b \rangle$ along the hard axis direction. As we already pointed out in previous section, this corresponds to restore orbital fluctuations along $b$ by introducing $\bar{a}$ configurations, as illustrated in Fig. (4). Reactivating fluctuations in the whole orbital subspace, brings the system back to a situation where frustration of the orbital exchange is enhanced.

Wenotice that, contrary to the easy axis case, for the hard axis orbital exchange between nearest neighbors is not hindered. Moreover, we remark that the energy scale stabilizing WO is now strongly related to the crystal field amplitudes. We explored the evolution of region boundaries in Fig. (11) and found that the onset of WO regime grows linearly with $\Delta_a$. These aspects underline that the
softening of AFO correlations is here caused by the reduction of onsite orbital momentum due to the new activated flavor, instead of by the field-induced FO correlations.

V. CONCLUSIONS

We have here presented a study of field tunability of orbital correlations for layered ruthenates in an insulating ferromagnetic configuration. We have analyzed the evolution of the possible orbital patterns under the effect of the external magnetic field coupled to the local angular momentum only. Numerical calculations on a $2 \times 2$ cluster and of a cluster embedded in a periodic environment reveal that the competing effects driven by orbital exchange and crystal field put the system on the verge of a FO/AFO behavior, a circumstance that makes the character of OO in absence of an applied field quite elusive. This outcome may be supported by recent Resonant X-Ray scattering measurements on Ca$_3$Ru$_2$O$_7$, where no appreciable signal of staggered FO or AFO is found within experimental resolution. We argue that this feature is at the origin of the soft behavior and the easy field-tunability of the OO phases. Particularly, AFO exchange leaves the place to strong next-nearest neighbors FO correlations when an external orbital field is applied along the easy axis, while we get a tendency to an isotropic weak antiferro-orbital correlated state for the hard axis. An interpretation of these results can be summarized as follows: the orthorhombic deformations tend to remove the orbital degeneracy and lead to an ordered state where only two local $t_{2g}$ configurations are active. The effect of the polarizing field is to keep the orbital correlations in the two-orbital sector when the field is along easy-axis; otherwise, along the hard-axis the field forces the system to allow for all possible local orbital fluctuations. The latter situation is responsible for demolishing the OO ground state set by the competition between Coulomb and crystal field interactions. Speaking on a general ground, in many multi-orbital Mott insulators the metal-insulator transition occurs as a symmetry breaking phase transition leading to magnetic, orbital and charge orderings. We speculate that a possible connection may exist between the demolition of long range OO by an applied field and a likely metallic behavior and that this connection may be of interest when referred to unconventional magneto-conducting properties of Ca$_3$Ru$_2$O$_7$.

We finally point out some important remarks about a quantitative connection of our calculation to the physical parameters regime expected in the layered ruthenates, and specifically of Ca$_3$Ru$_2$O$_7$. According to our results, the evolution of region boundaries between AFO, C-AFO or WO behaviors is mainly concentrated within the windows $0.2 < \Delta_{tet}/J < 0.8$ and $0.1 < \Delta_o/J < 0.4$. Checking the range of the microscopic parameters that bias the proposed orbital patterns is not easy since the amplitude of $J$, $\Delta_{tet}$ and $\Delta_o$ is difficult to be directly measured and require a model derivation. An explicit $ab – initio$ evaluation for Ca$_3$Ru$_2$O$_7$ is not yet available. For the closest members of Ruddlesden-Popper series according to their physical and structural properties, Ca$_2$RuO$_4$ and Sr$_3$Ru$_2$O$_7$ respectively, one ends up with the following $ab – initio$ estimates $J \sim 50meV$, $\Delta_{tet} \sim 20meV$ and $\Delta_o \sim 5meV$. These values set the ratios $\Delta_{tet}/J \sim 0.4$ and $\Delta_o/J \sim 0.1$, whose orders of magnitude are compatible with our choices of analysis for the regions of phase diagrams where major changes occur.

FIG. 12. (Color online) Expectation value of orbital correlators in the orthorhombic frame as a function of applied field, evaluated for $|\Delta_{tet}/J| = 0.6$ and $|\Delta_o/J| = 0.4$. Panels a)-b) show dominant contributions arising when the field is applied along hard axis.
Here we refer to the notation adopted by Cao and coworkers in ref. On the contrary, neutron diffraction data and X-ray scattering studies report b as low temperature easy axis.

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