Non-equilibrium $8\pi$ Josephson effect in atomic Kitaev wires

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The identification of fractionalized excitations, such as Majorana quasi-particles, would be a striking signal of the realization of exotic quantum states of matter. While the paramount demonstration of such excitations would be a probe of their non-Abelian statistics via controlled braiding operations, alternative proposals exist that may be easier to access experimentally. Here we identify a signature of Majorana quasi-particles, qualitatively different from the behaviour of a conventional superconductor, which can be detected in cold atom systems using alkaline-earth-like atoms. The system studied is a Kitaev wire interrupted by an extra site, which gives rise to super-exchange coupling between two Majorana-bound states. We show that this system hosts a tunable, non-equilibrium Josephson effect with a characteristic $8\pi$ periodicity of the Josephson current. The visibility of the $8\pi$ periodicity of the Josephson current is then studied including the effects of dephasing and particle losses.

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The search for observable signatures that identify exotic states of quantum matter and their fractionalized excitations has become a main focus of research in quantum physics. A paradigmatic example is the hunt for Majorana quasi-particles (MQPs) that exist at the ends of topological superconductors. First experimental evidence consistent with the presence of MQPs has recently been reported in various superconducting hybrid systems. While the ultimate goal is to probe the existence of non-Abelian anyons such as MQPs by performing controlled braiding operations, several possible fingerprints have been proposed that may be easier to access experimentally.

A prominent example hallmarking MQPs is the fractionalization of the Josephson effect, which can exhibit a 4π (half frequency) period due to a non-equilibrium population of excited states that is protected by fermion parity conservation. However, a similar, though non-protected, fractionalization is also known to occur in conventional S-wave superconductors, due to the presence of accidental mid-gap states. As a new signature for MQPs, here we show how a dissipationless, non-equilibrium 8π periodic Josephson effect occurs when two MQPs are subject to a super-exchange coupling via a controllable energy level interrupting a Kitaev chain, an effect that is not found in S-wave superconductors. In addition, we show how our model can be realized in systems of cold atoms in optical lattices, where isolation from the environment creates an ideal platform for the study of such non-equilibrium phenomena.

Our proposal is motivated by remarkable recent experimental progress with cold atom systems, including the observation of the non-equilibrium Josephson effect, initially demonstrated with Bose–Einstein condensates, and later observed over the Bose–Einstein Condensate–Bardeen–Cooper–Schrieffer crossover. These results demonstrate not only the observability of MQPs: while 4π peaks in the Fourier signal cannot be distinguished from those arising from mid-gap states in an ordinary S-wave SC, and peaks at 4π, 2π and zero frequency can be enhanced from dissipation, the 8π signal visible in our set-up provides a signature that cannot be confused with these undesired effects.

**Results**

**Model Hamiltonian.** We consider spinless fermions with field operators ψ_j, where j = 0, …, N – 1 labels the sites of a one-dimensional (1D) lattice in ring geometry. The model Hamiltonian reads as

\[
H(\Phi) = \sum_{j=1}^{N-1} \left[ -t \psi_j^\dagger \psi_{j+1} + \Delta \psi_j \psi_{j+1} - \frac{\mu}{2} \left( \psi_j^\dagger \psi_j - \frac{1}{2} \right) \right] + \sum_{j=0}^{N-1} \left[ t_j \psi_j^\dagger \psi_0 + \Delta_j \psi_j^\dagger \psi_0 e^{i\Phi/2} + \frac{\mu_0}{2} \psi_j^\dagger \psi_0 + h.c. \right],
\]

which describes a proximity-induced P-wave superconductor with pairing Δ, interrupted by an extra site at j = 0, which is assumed to be not affected by the pairing (Fig. 1a). The hopping strength is denoted by t and the chemical potential relative to half-filling by μ. The site at j = 0 is connected to its neighbours by the hoppings t_0 and t_R, respectively, and has an energy offset μ_0. The phase factor e^{i\Phi/2} on the hopping between j = 0 and j = 1 models a flux that advances the phase of a Cooper pair by Φ when moving around the ring.

For |μ| < 2t, |Δ| > 0 and t_L = t_R = 0, in the limit of large N the system hosts a single pair of zero-energy MQPs, γ_L and γ_R, which are localized exponentially around j = N – 1 and j = 1, respectively. All other quasi-particles of the superconductor are gapped, such that ψ_j along with γ_L and γ_R form a subspace that is energetically detached from the bulk spectrum. To understand the qualitative dependence of equation (1) in the physically relevant regime t_L, t_R << Δ, t, we hence consider a minimal model encompassing the dynamics within this low-energy sector. Decomposing ψ_0 into the Majorana operators γ_x = ψ_0^\dagger + ψ_0, γ_y = ψ_0 - ψ_0^\dagger, and setting μ_0 = 0, the effective Hamiltonian then reads as

\[
H(\Phi) = \frac{1}{2t} \left[ t_L \gamma_x^\dagger \gamma_x - t_R \gamma_y^\dagger \gamma_y \left( \gamma_x \sin(\Phi/2) + \gamma_y \cos(\Phi/2) \right) \right].
\]

In Fig. 2, we compare the energy spectra of H_L(Φ) and H(Φ). The full qualitative agreement confirms that the effective Hamiltonian H_L(Φ) captures the basic Josephson physics of the full model H(Φ). To understand the various level (avoided)

![Figure 1](image-url)
crossings in Fig. 2, we first focus on the symmetric case $t_l = t_R$. At $\Phi = 0$, we have $H_0(0) = \frac{J}{2} \left( \gamma_l \gamma^\dagger_r - \gamma_r \gamma^\dagger_l \right)$, that is, the four Majorana operators form two disjoint pairs giving rise to two single-particle (hole) excitations with energy $\frac{J}{2} \left( - 1 \right)$. The four possible many-body states then have the energies $(- t_l, 0, 0, t_l)$, which explains the twofold degeneracy at $E = 0$. At $\Phi = \pi$, we have $H_0(\pi) = \frac{J}{2} \left( \gamma_l \gamma^\dagger_r + \gamma_r \gamma^\dagger_l \right)$, that is, $\gamma_l$ and $\gamma_R$ are coupled to the same Majorana operator $\gamma_r$. This gives rise to a zero mode in the single-particle spectrum and the many-body energies are $(- t_l, 0, 0, t_l)$ as reflected in the crossings at $\Phi = \pi$ in Fig. 2. At $\Phi = 2\pi$, we have $H_0(2\pi) = \frac{J}{2} \left( \gamma_l \gamma^\dagger_r + \gamma_r \gamma^\dagger_l \right)$, that is, the analogous situation to $\Phi = 0$, but with a sign change of a single-particle excitation energy, reflecting the change of the fermion parity in the ground state. At $\Phi = 3\pi$, the situation is analogous to $\Phi = \pi$ with $\gamma_r \rightarrow - \gamma_R$. As for $\Phi = 4\pi$, we note $H_0(4\pi) = H_0(0)$. However, despite the $4\pi$ periodicity of $H_0$, adiabatically following the ground state in Fig. 2 through the various crossings leads to an $8\pi$ periodic pattern. This is a phenomenon of spectral flow, where the system is pumped to an excited state during one $4\pi$ cycle of the Hamiltonian, and only returns to the initial state after a second cycle.

We emphasize that the level crossings in Fig. 2 are of quite different physical nature. The crossings between states with different fermion parity at odd multiples of $\pi$ are robust as long as the fermion parity is conserved. By contrast, the crossings at even multiples of $\pi$ require left/right symmetry and a mid-gap state on the additional site: this is realized by tuning the junction parameters, namely, $\mu_0 = 0$ and symmetric tunnelling $t_l = t_R$. However, tuning of the bulk parameters within the topological superconductor (TSC) phase supporting the MQPs $\gamma_l$ and $\gamma_R$ is not required as long as the bulk gap is much larger than $t_l$ and $t_R$. In a solid-state setting, the decoherence due to the coupling to phonons implies that observing the non-equilibrium population of the unprotected excited state presents a serious challenge. In contrast, in the cold atom setting proposed here, such decoherence channels are not present, thus stabilizing these effects.

Below we describe how the model given in equation (1) can be realized in systems of AEs trapped in optical lattices, before discussing in more detail the visibility of the $8\pi$ Josephson effect in the presence of various imperfections.

Experimental realization. There are three points required for the realization of our set-up: the implementation of a 1D Kiteav chain, the addition of the single site separating the two ends of the wire, and the time control of the phase $\Phi$. To address these points in a concrete set-up, we consider a system of fermionic AEs trapped in a 1D lattice. The choice of AEs allows us to independently trap the $^1S_0$ ground state and the $^3P_0$ metastable excited state atoms. While our model is for spinless (single species) fermions, the ability to trap two species independently will be, as discussed in more detail below, of crucial use to implement the junction architecture of equation (1). We also note that while AEs are well known in the experiments for their additional SU(N) symmetry, here, the choice of AEs rests on the above reason, and the SU(N) symmetry plays no role.

We first address the implementation of a 1D Kiteav chain. While the hopping terms $(t)$ arise naturally in the lattice, pairing terms $(\Delta)$ can be induced by coupling the fermions in the lattice to a BEC reservoir, where a radio-frequency (RF) field is used to break up Cooper pairs directly into neighbouring sites in the lattice, as described in ref. 18.

Second, we address how we can interrupt the chain with a single site. First, at the position $j = 0$, a barrier is engineered to inhibit $|g\rangle$ atoms from being at this site, which splits the Kiteav wire into two. This can be done using a highly focused beam at the so-called anti-magic wavelength, which acts as a sink for $|e\rangle$, and oppositely on $|g\rangle$22, resulting in the $|e\rangle$ atoms only being trapped at this site. Thus, the $|e\rangle$ atom at site $j = 0$ acts as the additional site coupling the two ends of the wire. While natural hopping into and out of this site is deterred by this barrier, the tunnelling $(t_l$ and $t_R)$ are then reintroduced with Raman processes involving a clock transition35–37.

Last, we address the time control of the phase $\Phi$. In fact, the barrier which inhibits $|e\rangle$ atoms to be trapped at $j = 0$ also acts as the mechanism that controls the phase $\Phi$. This can be seen as follows. The barrier is turned on via a laser which is highly localized at the $j = 0$ position in the optical lattice, but homogenous in the remaining directions and impacts the BEC reservoir, bisecting it into two regions. For a barrier that is only a few times larger than the coherence length of the system, it will act as a thin tunnelling barrier between the two regions. If the two regions have a different Cooper pair density, an ordinary a.c. Josephson effect will occur, giving rise to a relative phase $\Phi$ across the junction that oscillates in time3. The Josephson frequency $\omega_J$ of this oscillation is proportional to the population imbalance, which constitutes the analogue of a bias voltage in the solid-state context. Due to the proximity effect, this time-dependent phase is inherited by the 1D lattice system, giving rise to the model described in equation (1). Here $\omega_J$ is on the order of the bare trap frequency and can be controlled via the barrier and reservoir parameters.

Within this set-up, there are two main ways to demonstrate the $8\pi$ periodicity of the Josephson effect by current measurements. First, it is possible to use local interferometric probes, as realized, for example, in ref. 38, or to infer the current behaviour from density measurements34,35. Second, one can observe clear signatures of the $8\pi$ periodicity using the relation between the time-dependent momentum distribution and the current operator39,40. For the model defined in equation (1), the relevant current at the junction is defined by:

$$ J(\tau) = \left\langle i \left( \psi^\dagger_{l-1}(\tau) \psi_{l}(\tau) - h.c. \right) \right\rangle, $$

where $\tau$ denotes the real time on which the Hamiltonian is dependent via the modulation of the phase $\Phi(\tau) = \omega_J \tau$, with the Josephson frequency $\omega_J$, such that $\Phi(0) = 0$. Since the system we investigate does not display translational invariance, the global current operators cannot be described solely in terms of momentum distribution (momentum is not a good quantum number).
number). Indeed, the total current reads:

\[
J = (t - t_L - t_R) \sum_k \left( a_k^* a_k \right) \frac{\sin(k)}{2} + \\
+ \sum_{k \neq q} \left( -t_L \left( a_k^* a_q \right) e^{-q^2 t + \text{h.c.}} + \right) + \\
+ \left( -t_R \left( a_k^* a_q \right) e^{(k - 2q) t} + \text{h.c.} \right)
\]

where the presence of the last two terms reflects the fact that momentum is not a conserved quantity. While these terms are not directly accessible in cold atom experiments, it is possible to identify signatures of the 8\pi periodicity via the first term.

In Fig. 3, we show the time-dependent behaviour of the current (Fig. 3a) and the various components of the momentum distribution (Fig. 3c–d) as a function of time in different parameter regimes, for a system of N = 10 sites. For system parameters where the current has a dominant 8\pi periodicity (the orange line in Fig. 3a), the momentum components \( n(k) \) individually mirror this. This is shown in the orange (triangle) line of Fig. 3c and d, where the identical parameters have been taken. However, when system parameters are such that the current has a dominant 4\pi periodicity (the blue dashed line in Fig. 3a), the momentum components reflect this. This is shown with the blue (circles) lines of Fig. 3c and d, again with the identical parameters.

We now address the question of the integrity of this 8\pi Josephson effect in our proposed set-up subject to imperfections. First, we address the influence of Hamiltonian imperfections \( t_L \neq t_R \) as well as \( \mu_0 \neq 0 \) leading to avoided crossings in the level spectrum at integer multiples of 2\pi (Fig. 2). We find that Landau–Zener processes restore the 8\pi periodicity of the current at finite bias voltage. Thereafter, we investigate the effect of single-particle losses, induced three-body collisions between particles in the wire and pairs in the reservoir, and dephasing in the framework of a Markovian quantum master equation\(^{41,42}\).

**Transport dynamics and 8\pi Josephson effect.** We study the current through the junction region at site \( j = 0 \), as defined in equation (3). In the limit of perfect adiabatic evolution \( \omega_j \to 0 \), at the symmetric parameter point \( t_L = t_R, \mu_0 = 0 \), the current will be 8\pi periodic, as indicated in the dispersion relation (Fig. 2); any deviation from this fine-tuned parameter point will cause a gap to open and the adiabatic current will be 4\pi periodic. However, the 8\pi effect is restored at finite \( \omega_j \) due to the Landau–Zener effect. This tradeoff between finite \( \omega_j \) and finite imperfections is analysed within the coherent time evolution governed by equation (1) in Fig. 3, where we numerically calculate the current \( j(t) \) as a function of time (Fig. 3a).

Small \( \omega_j \) and weak imperfections (orange, solid line), the current displays a clear 8\pi periodicity, while increasing imperfections at fixed \( \omega_j \) is detrimental (blue, dashed line). However, larger \( \omega_j \) allows the system to follow the avoided crossings due to Landau–Zener tunnelling, thus restoring the 8\pi periodicity (black, dot-dashed line).

To provide a quantitative picture of the interplay between imperfections and \( \omega_j \), we extract the height of the 8\pi peak \( \langle A(8\pi) \rangle \) and the 4\pi peak \( \langle A(4\pi) \rangle \) from the Fourier transform of the current over a total phase change of \( \Phi_j = 8\pi \). The ratio of these two peaks is shown in Fig. 3b) as a function of \( \omega_j \) and \( (t_L - t_R) \). At intermediate \( \omega_j \), the 8\pi peak dominates over a wide range of parameters: remarkably, even for imperfections of a few per cent, the 8\pi signal is still an order of magnitude stronger than that at 4\pi. This behaviour has been verified with \( \Phi_j = 32\pi \). Figure 3 shows the data with \( \Phi_j = 8\pi \) to minimize the compound effect of several Landau–Zener crossings (a finite particle loss stabilizes this effect and data at \( \Phi_j = 32\pi \) is shown in these cases, as discussed in the next section).

**Dissipation and open-system dynamics.** In addition to imperfections that cause the system to move away from the symmetric point \( t_L = t_R, \mu_0 = 0 \), an experimentally relevant imperfection is due to the coupling of the system to its environment. To account for this, we consider two dissipative channels. The first is a single-particle loss at the site \( j \) with the rate \( \gamma_j \); in cold atom settings, this represents losses due to inelastic collisions with the background BEC reservoir. The second source of dissipation is dephasing due an effective measurement at rate \( \gamma_j \) of the local occupation number \( n_j = \langle \psi_j^\dagger \psi_j \rangle \) by the environment.
This typically represents the effect of spontaneous emission in optical lattice settings. Assuming a weak coupling to a Markovian quantum bath, the time evolution of the system is then governed by the master equation

$$\dot{\rho} = -\frac{i}{\hbar} [H, \rho] + \sum_{j=0}^{L-1} \left[ \kappa_j D^R [\rho] + \gamma_j D^L [\rho] \right], \quad (5)$$

where $\rho$ is the density matrix of the system and the superoperator $D^O [\rho] = \rho O^\dagger - \frac{1}{2} \{ O^\dagger O, \rho \}$ is the Lindblad dissipator for an arbitrary Lindblad jump operator $O$. As long as $\gamma_j = 0$, equation (5) is still quadratic in the field operators $\psi_j$ and can be solved numerically efficiently. By contrast, $\gamma_j$ leads to quartic terms in the master equation (5), which we treat in an exact diagonalization analysis. In what follows, we present results for the full master equation in systems of $N=10$ sites.

To study the impact of a finite $\kappa_j = \kappa$ on the integrity of the $8\pi$ effect, we numerically solve the master equation (5) and calculate the current $J(\tau)$ in the presence of finite loss. In such open settings, the system dynamics is now determined by the competition of three energy scales, corresponding to $\omega_p$, the energy scale related to Hamiltonian imperfections and $\kappa$. At fixed $\kappa$, one expects a stronger $8\pi$ signal for intermediate $\omega_p$, since both Landau–Zener tunnelling works at its best even in the presence of imperfections and dissipation becomes detrimental only after many oscillations periods.

A few examples of the current evolution as a function of time are depicted in Fig. 4a): the main effect of dissipation is to damp the current signal in the system, thus inhibiting transport. However, even for relatively large decay rates (black line, corresponding to decay collision rates of order $\kappa \approx 1 \text{ Hz}$ (ref. 18) to be compared with $t_\ell \approx 200 \text{ Hz}$), the signal stays $8\pi$ periodic for intermediate timescales (combined with an exponentially decaying envelope).

Following the above analysis, again we quantify the $8\pi$ effect by extracting the ratio of the $8\pi$ and the $4\pi$ peaks from the Fourier spectrum. This ratio is shown for various system parameters and loss rates in Fig. 4b–d, and illustrates the regimes in which the $8\pi$ signal can be seen. In Fig. 4b), we plot the ratio at fixed $\kappa$: the best attainable regime is for intermediate values of the velocity, where imperfections are relatively harmless up to values on the order of a few per cent. In Fig. 4c, $t_\ell - t_h$ is fixed: here again intermediate speeds work at best, and values of the dissipation of the order of $10^{-2}$ can be tolerated. Finally, in Fig. 4d, the speed of the ramp is fixed: the signal is solid in the regime of low losses, and, for intermediate values of imperfections, larger values of the losses, $\kappa$, can be tolerated. The strong signal at these intermediate values of $t_\ell - t_h$ is consistent with what is expected from Landau–Zener theory, which predicts an optimal tunnelling rate at intermediate gap values in case of finite dissipation and finite speed.

We have repeated these calculations in the presence of a finite dephasing rate $\gamma$. In this case, the system dynamics is not quadratic in the fermions, so our study was limited to system sizes up to $N=10$ sites. A sample of the results is presented in Fig. 5a).

Overall, we found that it has qualitatively the same effect as $\kappa$, which can be understood in terms of the protection of the non-equilibrium excited states. While the decay channel $\kappa$ mixes states with different parity the decay channel $\gamma$ mixes states within the same parity, both contributing equally through the evolution from 0 to $8\pi$. Finally, we have checked how the main effects discussed above are affected by finite-size effects. In the regime of interest, those effects are negligible at $N=10$. For the $\gamma = 0$ case,
we have checked this explicitly for some sample points up to \( N = 30 \), while for the \( \gamma \neq 0 \) case, we have systematically checked consistency with the \( N = 8 \) case.

In summary, the \( 8\pi \) periodicity of the current profile is robust to both the Hamiltonian imperfections and the dissipation considered here. Monitoring the evolution for shorter time (for example, for a single \( 8\pi \) cycle) can also substantially improve the signal, as in that case the role of particle losses is less detrimental.

Many-body effects. Finally, we consider the effect of a finite interaction on the energy spectrum of the model. At a qualitative level, these interactions have a similar effect as the direct tunnelling between the two superconducting islands: in the presence of interactions, the MQPs are less localized, and the overlap of their wave-functions can lead to a direct interaction between them. To quantitatively study the effect of interactions on our model, we consider a nearest-neighbour interaction of the form

\[
H_{\text{int}} = U \sum_{j=1}^{N-1} n_j n_{j+1} + U n_{N-1} n_0 + U n_0 n_1,
\]

(6)

where \( n_j = a_j^\dagger a_j \). We show the impact of the interaction on both the current pattern (Fig. 5b) and the low-lying spectrum (Fig. 6) for various values of the interaction \( Ut \). When the interaction strength remains the lowest-energy scale \( U < t_1 < t \) (Fig. 6a), a gap will open in the spectrum at even multiples of \( \pi \), and effects the current measurement similar to the case of other Hamiltonian imperfections \( t_1 \neq t_2 \). As the interaction strength increases, the size of this gap increases, until values of \( U \sim t \) the assumption of four low-lying states separated by an energy gap is no longer valid (Fig. 5c). Moreover, we numerically verified that distinct interactions in the bulk and at the junction have similar consequences on the Josephson effect, in agreement with the discussion above (both interactions lead to a delocalization of the MQP wave-functions). Typically, in systems of AEs for lattice spacings on the order of \( 250\text{–}500 \) nm the ratio \( Ut \sim 10^{-2} \) (ref. 32), well within the regime where the \( 8\pi \) behaviour can be seen.

Discussion

The periodicity of the Josephson effect is closely related to the charge of the particles involved in the tunnelling processes. Intuitively, an \( 8\pi \) periodicity then corresponds to a fractional charge of \( \Phi \), which is the physical picture behind the time-reversal protected fractional Majorana fermions discussed in ref. 43. In contrast, our model does not involve fractional charges, and our effective Hamiltonian \( H_{\Phi}(\Phi) \) (equation (1)) is hence \( 4\pi \) periodic in \( \Phi \), in agreement with the Byers–Yang theorem\(^44\). The \( 8\pi \) Josephson effect in our set-up is a phenomenon of spectral flow: the system is pumped to an excited state after slowly increasing \( \Phi \) by \( 4\pi \), and returns to the ground state after a second \( 4\pi \) cycle. Our work thus shows that an \( 8\pi \) periodic signal can also emerge due to non-protected crossings, analogue to what has been shown to occur for the \( 4\pi \) effect. However, in the latter case, the accidental \( 4\pi \) periodicity occurs when the underlying system is a conventional superconductor; here this \( 8\pi \) effect arises when the underlying system hosts ‘normal’ \( (\mathbb{Z}_2) \) Majorana fermions.

We note that while a \( 12\pi \) periodic Josephson effect has been put forward in the context of two connected quantum wires\(^45\), we emphasize that these effects are dissipationfull, as there is no controllable gap separating the crossing branches of the Josephson junction from the bulk states.

Data availability. The data that support the findings of this study are available from the corresponding author upon request.

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Figure 6 | Energy spectrum in the presence of a nearest-neighbour interaction. Parameters \( \Delta = 1.01t \), \( t_2 = t_1 \) and \( \mu = \mu_0 = 0 \) and interaction strength (c.f. equation 6) (a) \( U = 0.1t \), (b) \( U = t \) and (c) \( U = 10t \). Note in a and b, the y axis has been cut for clarity.
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Author contributions
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Additional information
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