Performance of a reentrant cavity beam position monitor

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The beam-based alignment and feedback systems, essential operations for the future colliders, require high resolution beam position monitors (BPMs). In the framework of the European CARE/SRF program, a reentrant cavity BPM with its associated electronics was developed by the CEA/DSM/Irfu in collaboration with DESY. The design, the fabrication, and the beam test of this monitor are detailed within this paper. This BPM is designed to be inserted in a cryomodule, work at cryogenic temperature in a clean environment. It has achieved a resolution better than 10 μm and has the possibility to perform bunch to bunch measurements for the x-ray free electron laser (X-FEL) and the International Linear Collider (ILC). Its other features are a small size of the rf cavity, a large aperture (78 mm), and an excellent linearity. A first prototype of a reentrant cavity BPM was installed in the free electron laser in Hamburg (FLASH), at Deutsches Elektronen-Synchrotron (DESY) and demonstrated its operation at cryogenic temperature inside a cryomodule. The second, installed, also, in the FLASH linac to be tested with beam, measured a resolution of approximately 4 μm over a dynamic range ±5 mm in single bunch.

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I. INTRODUCTION

For the future superconducting accelerators, a beam position monitor (BPM) installed in a cryomodule with a resolution better than 10 μm will be helpful to setup, adjust the machine, and obtain a precise beam handling. Superconducting technology is used for accelerators as the free electron laser in Hamburg (FLASH) [1,2], the x-ray free electron laser (X-FEL) [3] which will be built in Hamburg, and the International Linear Collider (ILC) [4] project. The FLASH linac at DESY is also used as a test facility for the X-FEL and the ILC study under the name TESLA Test Facility-Phase 2 (TTF2). These projects all share the basic design of the accelerating cavity, based on the TESLA technology [5].

The reentrant cavity BPM is specially designed to be connected to superconducting cavities which are particularly sensitive to dust particle contamination, and care must be taken to avoid introducing any source of such contamination. This monitor is composed of a radio-frequency reentrant cavity with a beam pipe diameter of 78 mm, four feedthroughs, and electronics which perform signal processing. Its response is linear and accurate; further it can also measure the beam charge.

A first prototype of a reentrant BPM has delivered measurements at a temperature of 2 K inside a cryomodule in the FLASH linac. The performance of this BPM was analyzed and the limitations of this existing system clearly identified. The second, installed in a warm section of the FLASH accelerator to be tested with beam, sought to address issues raised during the testing of the first BPM. It was designed to achieve a high resolution down to 10 μm and to allow bunch to bunch measurements for the X-FEL or ILC. This second prototype has been qualified with beam, achieving 4 μm resolution over a dynamic range of ±5 mm and the possibility to do some bunch to bunch measurements. The reentrant cavity BPM with its mechanical and signal processing designs, theoretical performance, and the measurements carried out with beam are described in this paper.

II. REENTRANT CAVITY BPM THEORY

Coaxial reentrant cavity [6] has been chosen for the beam orbit measurement because of its mechanical simplicity and excellent resolution. This cavity is composed of a mechanical structure which consists of three distinct regions as shown in Fig. 1: beam tube (I), gap (II), and coaxial cylinder (III). It has a small size and a cylindrical symmetry which allows a high precision of the machining. Arranged around the beam pipe, the cavity forms a coaxial line which is short-circuited at the downstream end. The cavity is fabricated with stainless steel as compact as possible. The fixing of the antenna tips to the inner diameter of the cavity over coupled the cavity. Large shunt impedance for the monopole and dipole modes can be obtained with an appropriate shaping of the gap.

Passing through the cavity, the beam excites some electromagnetic fields (resonant modes), which are coupled by four feedthroughs to the outside: two of them determine the
horizontal position (X position) and two others the vertical position (Y position). The main radio-frequency modes excited by the beam are monopole and dipole modes. The higher order modes are damped much more strongly so their contribution is negligible and the linearity of the measurement is ensured. The monopole mode wavelength \( \lambda_1 = 4 \frac{a_1}{0.0136} \) depends on the length \( a_1 \) of the coaxial cavity:

\[
\lambda_1 = 4 \frac{a_1}{0.0136}
\]

and the wavelength of the dipole mode is given by

\[
\lambda_2 = 2 \pi r_m \sqrt{1 + \left( \frac{\pi r_m}{2 a_2} \right)^2}
\]

with \( r_m = (r_3 + r_2)/2 \).

The exchange of energy between the beam and the cavity depends on the properties of bunch and the geometry of the cavity. The \( R/Q \) shunt impedance is given by the following formulas:

\[
R/Q = \frac{V^2}{\omega W}.
\]

where \( \omega \) is the frequency, \( V = \int \lvert E(z)e^{ikz}dz \), \( k = \omega/c \), and \( W \) is the stored energy in the mode, \( W = \frac{\omega}{2} \int EE^*d\tau \).

Each resonant mode has its own shunt impedance. For the monopole mode, the \( R/Q \) ratio is a constant contrary to the dipole mode which depends on the beam offset. In the following equations, the \( R/Q \) circuit is used.

In single bunch mode [7], the exited energy in the cavity by the beam is calculated by

\[
W = \frac{\omega}{2} (R/Q) q^2,
\]

where \( q \) is the bunch charge.

The power coming from the cavity can be determined with the energy and the rf characteristics for each resonant mode:

\[
P_{\text{out}} = \frac{\omega}{Q_{\text{ext}}} W.
\]

The loaded quality factor is determined by the following relation:

\[
\frac{1}{Q_{\text{l}}} = \frac{1}{Q_0} + \frac{1}{Q_{\text{ext}}}
\]

where \( Q_0 \) is the unloaded quality factor.

In using Eqs. (3)–(5), the output voltage with an impedance \( Z \) may be written as

\[
V_{\text{out}} = \sqrt{\frac{\omega^2 (R/Q) q^2 Z}{Q_{\text{ext}}}}.
\]

As shown in Eq. (7), the signal amplitude is proportional to the beam charge, the frequency, the \( Q_{\text{ext}} \), and the shunt impedance. The dipole mode (type TM110) voltage is proportional to the intensity and to the distance of the beam from the center axis of the monitor contrary to the signal voltage of the monopole mode (type TM010) which does not depend on the beam position but is proportional to beam intensity. This monopole signal voltage is used for the normalization.

As shown in Fig. 2, the beam position (X and Y) can be measured by the output voltage from two opposite antennas. The voltage differences \( V_1 - V_3 \) and \( V_2 - V_4 \) correspond to the voltage of the dipole field in the \( X \) and \( Y \) axis and the sums \( V_1 + V_3 \) and \( V_2 + V_4 \) correspond to the
voltage of the monopole field. The $L$ factor is the dipole constant of the beam monitor.

III. BPM INSTALLED IN A CRYOMODULE

A. Cavity BPM

A reentrant cavity BPM, designed for the TTF (Tesla Test Facility) injector [8], was installed in 2004 inside a cryomodule, in an environment where dust particle contamination has to be avoided as shown in Fig. 3.

The cavity, illustrated in Fig. 4, has been designed thanks to Eqs. (1) and (2) [9]. Its aperture is 78 mm (aperture of a TESLA cavity), the gap ($g$) is 8 mm, and the length of the coaxial cylinder is 50 mm.

The rf characteristics (frequencies, $Q_l$ and shunt impedance) were calculated with the HFSS code provided by Ansoft Corporation [10]. The first monopole and dipole modes have, respectively, a frequency $f_{1} = 58$ GHz and $f_{2} = 0.01$ GHz with a loaded quality factor $Q_{l} = 2$ and $Q_{l} = 4$ [11]. Because of a very high coupling, the signals are spread out in spectrum. The distinguishing of monopole and dipole modes is not easy and the monopole signal is not, efficiently, rejected to determine the beam position.

B. Signal processing

The beam position can be measured from the output voltage of a pair of feedthroughs mounted on the opposite sides of the cavity on both axes. Signals detected by the signal processing electronics extract the beam position (displacement) and deliver this information to the acquisition board. Because of the low external quality factor, the single bunch response of the cavity has to be broadened before its acquisition.
The signal processing uses a single stage down-conversion to obtain $\Delta / \Sigma$. The chosen measurement frequency is 650 MHz and is defined by a Bessel bandpass filter used in the signal processing electronics [9]. The 8 MHz bandwidth of this filter defines the bandwidth of the system and determines the time resolution. A 180° hybrid junction, connected to each pair of opposite antennas with 33 m of semirigid cables, yields the sum and the difference of rf voltages proportional to the beam current and position. These rf signals are then filtered, amplified, and demodulated with a synchronous detection.

C. Beam tests on the BPM installed in the cryomodule

This BPM installed in a FLASH cryomodule was operated in single bunch for a 1 nC/bunch beam. After beam jitter cancellation, the raw rms resolution of the system is estimated around 20 $\mu$m on the X channel and around 30 $\mu$m on the Y channel with a linear range about $\pm 1.5$ mm [11]. Moreover, due to the bandpass filter bandwidth, which is only 8 MHz, the bunch to bunch measurement on the FLASH linac and X-FEL is impossible with this BPM system [11]. A new design is therefore necessary to achieve a position resolution better than 10 $\mu$m and have the possibility to perform bunch to bunch measurements.

IV. NEW DESIGN OF A REENTRANT BPM

A. Cavity BPM

To improve the time resolution and position resolution of the reentrant BPM which has already proved its capability to be operated inside the cryomodule, a new BPM system was designed.

The principle of the reentrant cavity and the main dimensions are kept: the gap 8 mm, the coaxial cylinder, 170 mm in length to satisfy the constraints imposed by the cryomodule, 78 mm for the beam pipe, and 152 mm in diameter as shown in Fig. 5.

The resolution better than 10 $\mu$m but also the mechanical feasibility of the structure determined the loaded quality factors, $Q_l$, of the monopole and dipole modes. They are not able either to be too high to keep a time resolution around 10 ns or too low to have a resolution better than 10 $\mu$m. In order to get a higher $Q_l$ and therefore a longer signal in time without the need of a Bessel filter for signal shaping, the feedthroughs are moved 31.5 mm in the reentrant part as illustrated Fig. 5. Some copper-beryllium radio-frequency contacts were welded in the inner cylinder of the cavity to ensure electrical conduction between the feedthrough inner conductor and the cavity, providing a magnetic coupling loop. In the past, a critical point concerned the feedthrough fragility; 50% of the feedthroughs had to be rejected. With this new design, the machining of feedthroughs is simpler and the final product more robust. Several cryogenic and vacuum tests (thermal shock) were carried out on the rf feedthroughs with success.

One of the biggest problems on the cavity installed in the cryomodule was the cleaning. As the BPM is designed to be used in a clean environment, twelve holes of 5 mm diameter are drilled at the end of the reentrant part for more effective cleaning. Cleaning tests were successfully performed at DESY and validated the system for the cleaning.

The resonant cavity was, first, simulated with the software HFSS (Ansoft) to determine its frequencies, loaded quality factors, and $R/Q$ ratio for each resonant mode. Figure 6 shows electrical field, for the monopole and dipole modes, is high in the coaxial cylinder and the gap.

The rf measurements, presented in Table I, compare some computed quantities to measured values and give information on the sensitivity of the rf characteristics to the machining, mounting, and operating environments.

The loaded quality factors $Q_l$ are determined by HFSS with matched feedthroughs in eigensolver mode. In using MATLAB [12] and the HFSS calculator, the $R/Q$ ratio was computed with Eq. (3). The monopole and dipole modes are around 1.25 and 1.72 GHz and the loaded quality factors are quite low. The unloaded quality factor for the monopole and dipole modes were calculated with HFSS and are, respectively, 510 and 625. The external quality factor, defined in Eq. (6), is equal to the loaded quality factor. The antenna position reduces the magnetic loop coupling and increases the separation of the rf modes (monopole and dipole modes). Monopole and dipole signals have a better distinction and the rejection of the monopole signal is easier.

The reentrant cavity BPM was installed in a warm part in the FLASH linac at DESY, as illustrated Fig. 7, to be tested with beam.

Figure 8 shows the Fourier transform of a signal on the output of one pickup. The step around 3 GHz fits with the
2.94 GHz cutoff frequency of the beam pipe mode (TM$_{01}$). The modes, having a frequency above can propagate in the beam pipe. Conversely, the disturbances above the cutoff frequency from elsewhere can also propagate down to the BPM.

The transmission measurement on the opposite antennas, illustrated in Fig. 9, was completed in the 1 to 4 GHz range. All peaks correspond to eigenmodes present in the reentrant cavity. The first and second peaks are the monopole and dipole modes, the others are higher order modes which can propagate out of the cavity through the beam pipe. The 1.72 GHz band pass filter, used in the signal processing, was measured in laboratory and at 3 GHz its attenuation is around 70 dB and around 60 dB at 4 GHz. These “higher order modes” should be therefore well rejected.

Figure 10 represents the signal measured on one pickup in the time base with a 5 GHz bandwidth scope. It shows a clean separation between two macropulses distant to 1 μs. No long range echo is observed, confirming the possibility for measurements in a multibunches mode.

Because of the finite tolerances in machining, welding, and mounting, some small distortions of cavity symmetry are generated. A displacement of the beam in the $x$ direction gives not only a reading in that direction but also a nonzero reading in the orthogonal direction $y$. This asymmetry is called cross talk. Cross-talk isolation measure-

| Eigenmodes       | $F$ (MHz) | $F$ (MHz) | $Q_l$ | $Q_l$ | $R/Q$ (Ω) 5 mm offset | $R/Q$ (Ω) 10 mm offset |
|------------------|-----------|-----------|-------|-------|------------------------|------------------------|
| Monopole mode    | Calculated| Measured  | Calculated| Measured | Calculated | Calculated |
| Dipole mode      | 1250      | 1255      | 22.95 | 23.8  | 12.9                   | 12.9                   |
|                  | 1719      | 1724      | 50.96 | 59    | 0.27                   | 1.15                   |

FIG. 6. (Color) Electrical fields for the monopole and dipole modes.

FIG. 7. (Color) Reentrant cavity BPM installed in the FLASH linac.

FIG. 8. (Color) Pickup signal spectrum with 0.8 nC beam charge and a macropulse repetition rate around 5 Hz.
measurements are performed on the reentrant cavity with a network analyzer [11]. From the measurements, the cross-talk isolation value is estimated about \( \frac{33}{0.0006} \) dB measured in the tunnel.

B. The rf signal processing electronics

The signal processing uses a single stage down-conversion to obtain \( \frac{\Delta}{\Sigma} \) and is shown in Fig. 11. It is composed of standard rf components: hybrid couplers, phase shifters, filters, isolators, and mixers.

The \( \Delta \) and \( \Sigma \) signals are obtained from a passive four-ports 180° hybrid. The 180° hybrid coupler is connected to each pair of opposite antennas with some 75 m semirigid cables. The rejection of the monopole mode, on the \( \Delta \) channel, proceeds in three steps: (i) a mode symmetry based rejection with a hybrid coupler. Its isolation is higher than 20 dB in the range of 1 to 2 GHz. A local enhancement of the isolation to be about 30 dB at 1.25 GHz is obtained with additional external phase shifters; (ii) a frequency domain rejection with a band pass filter centered at the dipole mode frequency. Its bandwidth of 110 MHz also provides a noise reduction; (iii) a synchronous detection of the dipole mode signal. A 9 MHz reference signal, from the linac control system is combined with a phase locked loop to generate a local oscillator signal (LO) for the mixers at the dipole mode frequency. Phase tuning for the synchronous detection is refined while visualizing the delta/sigma signal on a scope and the gain of each channel was adjusted to avoid saturation from ADCs.

On the \( \Sigma \) channel, a direct detection is used. This signal is used in order to normalize the \( \Delta \) signal, which determines the position of the beam. This normalization is made by a control system. Signals detected by the signal processing electronics extract the beam position (displacement) and deliver this information to the acquisition board. The sampling and the interface to the control system are carried with 14 bit ADCs digital electronics [13].

C. Time resolution

One of the most important parameters for a BPM is the time resolution, which is usually identified to the damping time and is given by the following formula:

\[
\tau = \frac{1}{\pi B'}
\]  

(8)

where \( B' \) is the bandwidth in hertz, defined by the relation

\[
B = \frac{f_d}{Q_{ld}}
\]  

(9)

with \( f_d \) the frequency and \( Q_{ld} \) the loaded quality factor for the dipole mode. The time resolution is therefore around 9.5 ns for the reentrant BPM.

If the system (cavity + signal processing) is studied, the length of the signal for the reentrant BPM behind the signal

FIG. 9. (Color) Transmission measurement on the two opposite antennas without beam.

FIG. 10. (Color) Signal from one pickup.

FIG. 11. (Color) The rf signal processing electronics.
processing electronics is considered as the time resolution. It was measured around 40 ns with a scope as shown Fig. 12.

This time is lower than the separation between bunches on the FLASH linac (110 ns); the bunch to bunch measurements are therefore possible as illustrated in Fig. 13 where the position of each bunch is read by the reentrant BPM.

D. Estimation of the performances for the reentrant BPM

The position resolution is the minimum position difference that can be statistically resolved. It is an rms value related to the signal to noise ratio in the system. The signal voltage of the BPM is determined by the beam’s energy loss to the resonant mode and by the external coupling of the coaxial cable.

To assess the performance of the system, a model (cavity + signal processing) is elaborated with a MATHCAD [14] code based on Fourier transforms. The simulation covers a span from 0 to 20 GHz. A resonant given mode in the cavity is modeled as a resonant circuit with an electrical circuit consisting of a resistor (R), an inductor (L), and a capacitor (C). The delivered time domain signal \( S_i(t) \) is determined by Eq. (7) and is calculated as

\[
S_i(t) = \Phi(t) \left[ V_i \exp \left( -\frac{\omega_i t}{2Q_{ext i}} \right) \cos \left( \frac{\omega_i \sin(a_i t)}{2Q_{ext i}} \right) \right]
\]

with

\[
a_i = \omega_i \sqrt{1 - \frac{1}{4Q_{ext i}}} \quad \text{and} \quad V_i = \sqrt{\frac{\omega_i^2(R_i/Q_i)q^2Z}{\xi_i Q_{ext i}}}
\]

where \( \Phi(t) \) is the Heaviside function, \( q \) is the bunch charge, \( Z \) is the 50 \( \Omega \) cable impedance, \( \omega_i \) is the frequency, \( Q_{ext i} \) is external quality factor of each mode, \( (R_i/Q_i) \) circuit defines the coupling to the beam and \( \xi_i = 4 \) if it is a monopole mode or \( \xi_i = 2 \) if it is a dipole mode.

The signal from a pickup \( S_{\text{pickup}} \) is the sum of all resonant modes excited by the beam:

\[
S_{\text{pickup}} = \sum S_i
\]

To simulate the signal processing, the transfer functions of different components are used. Figure 14 gives a description of the method for the \( \Delta \) channel.

![FIG. 14. \( \Delta \) channel signal processing.](image)

![FIG. 15. (Color) \( S \) parameters measurement of the hybrid 180°.](image)
The thermal noise of a system is given by the noise and some components used in the signal processing ratio of the system. The noise comes from the thermal offset.

The position resolution is limited by the signal to noise ratio of the system. The noise comes from the thermal noise and some components used in the signal processing electronics. The thermal noise of a system is given by the following equation:

\[ P_{th} = k_bTB, \]  

where \( k_b \) is Boltzmann’s constant, \( B \) is defined by the bandwidth of the signal processing channel in hertz, and \( T \) is the room temperature in kelvin.

The noise level present at the output of the cavity BPMs is amplified by the devices which compose the signal processing electronics. To calculate the noise level, the thermal noise is added to the noise factor and to the gain. The noise level is therefore given by the following equation:

\[ P_n = NFGP_{th}, \]  

where \( NF \) is the total noise figure of the signal processing channel, \( G \) is the gain of the signal processing, and \( P_{th} \) is the thermal noise. The total noise introduced into the system by the electronics can be evaluated by the noise figure in a cascaded system and is applied by the following formula:

\[ NF = F_1 + \frac{F_2 - 1}{G_1} + \frac{F_3 - 1}{G_1G_2} + \cdots, \]  

where \( NF \) is the total noise factor of the signal processing, \( F_i \) and \( G_i \) are, respectively, the noise factor and the gain of component \( i \).

The thermal noise power is defined by the lowpass filter bandwidth, i.e., bandwidth is equal to 60 MHz in Eq. (14). The noise amplitude in the hall was measured on ADC board with a scope to be about 200 \( \mu \)V peak. The rms environment noise is therefore around \( 65 \times 10^{-6} \) V that limits the resolution for the reentrant BPM. As the limitation of the resolution is due to the ADCs noise, to improve the resolution, the dynamic range has to be reduced. That is why, if an amplifier with a gain around 18 dB and a noise figure around 3.8 dB is added in the rf signal processing channel, this system gets a resolution better than 1 \( \mu \)m with a dynamic range limited to \( \pm 1 \) mm.

Table II gives the signal amplitude behind the low pass filter with 10 mm and 1 mm beam offset, the total noise and the resolution.

### E. Beam measurements

The first beam tests of the reentrant BPM, installed in the warm part in the FLASH linac, were operated in single bunch with a charge around 1 nC. The charge of the reentrant BPM was calibrated thanks to the toroids. An offset on \( \Delta x \) and \( \Delta y \) channels was added in the acquisition

| Systems                  | Signal on \( \Delta \) channel (mV) | Total noise (mV) | Simulated resolution (\( \mu \)m) |
|--------------------------|-------------------------------------|------------------|----------------------------------|
| BPM with 10 mm beam offset | 181                                 | \( 65 \times 10^{-3} \) | 3.65                             |
| BPM with 1 mm beam offset | 149                                 | \( 65 \times 10^{-3} \) | 0.45                             |
Another calibration coefficient was computed from a linear fit of the predicted position to the measured position. The relative beam displacement ($\Delta x \times \frac{1}{0.0001}$) at the BPM location was calculated using a transfer matrix ($R_{12}$) between steerers and BPM (made of drifts) for different values of drive current in the steerers:

$$\Delta x = R_{12} \Delta x'$$

where $\Delta x'$ is the beam angle at steerer. The steerers were used to move the beam, and the magnets between the steerers and the BPM were switched off to reduce errors and simplify calculation. An average of 500 points for each steerer setting was used.

First, as illustrated in Fig. 17, the deviation range was limited to $\pm 5$ mm for a more accurate calibration.

To cancel the beam jitter, the BPM resolution has been calculated from cross correlations with the other BPMs installed in the FLASH linac. The reentrant BPM resolution is, therefore, measured by correlating the reading of the reentrant BPM in one plane against the readings of all other BPMs in the same plane [16]. The resolution, illustrated Fig. 18, is, on the vertical channel ($Y$ channel), around 4 $\mu$m and on the horizontal channel ($X$ channel) around 8 $\mu$m, limited only by the electromagnetic contamination in the experimental hall. Those results are quite similar to the theoretical resolution calculated around 3.65 $\mu$m with 10 mm beam offset.

To improve the linearity of dynamic range, a 6 dB attenuator was added on $X$ and $Y$ channels. As shown in Fig. 19, dynamic range is linear on $\pm 12$ mm.

Table III shows a comparison of the resolution with different charge values and between a system with and without 6 dB attenuator.

With a 6 dB attenuator, the dynamic range is improved but the resolution is damaged. As shown with Eq. (7), with a low charge the signal is lower and therefore the resolution is damaged. In the future, to keep the same resolution, some variable attenuators could be used.

V. CONCLUSION

This paper describes the performance of a reentrant cavity beam position monitor which is designed to be used inside a cryomodule, in a clean environment where dust particle contamination has to be avoided. Its main features are the small size of the rf cavity, a large aperture (78 mm), an excellent linearity, a time resolution around 40 ns, and the beam charge measurement. A first prototype...
has already proved its operation at cryogenic temperature inside a cryomodule. The second installed on the warm section in the FLASH linac showed a high linearity in the range of $0.0006$ mm, a high position resolution better than $0.0022$ m ($0.0022$ m for the Y channel and $0.0022$ m for the X channel), and the possibility to carry out some bunch to bunch measurements.

Future work towards a few reentrant cavity BPMs which will be installed in XFEL cryomodules will include modification of the design to improve alignment between the BPM and quadrupole. Some variable attenuators will be added in the signal processing electronics to have a dynamic range $0.0006$ mm and operate with a resolution better than $0.0022$ m.

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**TABLE III. Resolution of the reentrant BPM.**

| Charge (nC) | BPM ($\mu$m) | BPM + 6 dB attenuator ($\mu$m) |
|------------|--------------|--------------------------------|
| 1          | $\sim 4$    | $\sim 7$                        |
| 0.8        | $\sim 11.8$ | $\sim 21$                       |
| 0.2        | $\sim 30.1$ | $\sim 55$                      |

FIG. 19. (Color) Position read by the reentrant BPM vs the predicted position from horizontal (left) and vertical (right) steerings with 1 nC charge.