All-trans retinoic acid-incorporated nanoparticles of deoxycholic acid-conjugated dextran for treatment of CT26 colorectal carcinoma cells

Purpose: All-trans retinoic acid (RA)-incorporated nanoparticles were prepared using deoxycholic acid-conjugated dextran (DexDA). Anticancer activity of RA-incorporated DexDA nanoparticles were tested in vitro and in vivo.

Methods: RA-incorporated nanoparticles were prepared by dialysis. Antiproliferative and anti-invasive potential of RA-incorporated nanoparticles were studied using CT26 colorectal carcinoma cells.

Results: RA-incorporated nanoparticles have small particle sizes of around 70–300 nm and spherical shapes. The higher drug-feeding ratio and higher substitution degree of deoxycholic acid in the conjugates resulted in higher drug contents, lower loading efficiency, and larger particle size. RA release rate became slower at higher drug contents and higher substitution degree of deoxycholic acid in the DexDA conjugates. The antiproliferation activity, anti-invasive activity, and matrix metalloproteinase 2 expression of RA-incorporated nanoparticles against CT26 cells in vitro was similar to RA. However, RA-incorporated nanoparticles had superior antimetastatic activity in an animal pulmonary metastatic model of CT26 cells compared to RA itself.

Conclusion: RA-incorporated nanoparticles showed similar anticancer activity in vitro and superior antimetastatic activity in vivo in a pulmonary metastatic model of CT26 cells. We suggest that RA-incorporated nanoparticles are promising vehicles for efficient delivery of RA.

Keywords: nanoparticle, dextran, deoxycholic acid, retinoic acid, cancer cell invasion

Introduction

Self-organized nanoaggregates, such as nanoparticles, polymeric micelles, and self-assembled vehicles, have been extensively investigated in the field of biomedicine and drug-delivery system.1-4 In particular, since nanoaggregates have small particle sizes of less than 1000 nm, they are frequently considered for targeted delivery of anticancer drugs to the site of action.1-7 They have such advantages as solubilization of hydrophobic drugs, reduction of total dose of drug administered, prevention of undesirable side effects, and non-necessity of surgical removal after use.1-7 In particular, nanoparticles based on amphiphilic macromolecules have an inherent structure such that the hydrophobic domain of amphiphilic macromolecules composes the inner core of the nanoparticles for drug incorporation and the hydrophilic domain composes the surrounding region of the nanoparticles. These intrinsic structures enable nanoparticles to avoid attack of reticuloendothelial system and increase of blood circulation time.5-7
All-trans retinoic acid (RA) has been reported to be effective in the treatment of various tumors, such as human malignant glioma, breast cancer, head and neck cancer, ovarian adenocarcinoma, and acute promyelocytic leukemia. In addition, RA is known to have antiproliferative, antimigration, and anti-invasion efficacy against various tumors. However, drawbacks such as retinoid acute resistance, hypertriglyceridemia, mucocutaneous dryness, headache, cancer relapse after a brief remission, drug resistance, and low plasma RA concentration limit its clinical application. Furthermore, its poor aqueous solubility (0.21 mM in physiological solution, pH 7.3) is also problematic for clinical application.

To overcome these problems, various kinds of vehicles for delivery of RA have been developed in the form of microspheres, liposomes, lipid nanoparticles, and polymeric micelles. In this study, we prepared RA-incorporated nanoparticles using deoxycholic acid (DA)-conjugated dextran (DexDA) conjugates. Drug-release and physicochemical properties of RA-incorporated nanoparticles of DexDA were studied in vitro. Furthermore, their anticancer activities were investigated against CT26 colorectal carcinoma cells in vitro.

Materials and methods

Materials

Dextran from *Leuconostoc mesenteroides* (average molecular weights 38,900), RA, deoxycholic acid (DA), thiazolyl blue tetrazolium bromide (MTT), N,N’-Dicyclohexylcarbodiimide (DCC), and 4-(N,N-dimethylamino)pyridine (DMAP) were purchased from Sigma-Aldrich (St Louis, MO, USA). The dialysis membranes (molecular weight cutoff 8000 g/mol) were purchased from Spectra Laboratories (Rancho Dominguez, CA, USA). Dichloromethane and dimethyl sulfoxide (DMSO) used were of extra-pure grade.

Synthesis of DexDA conjugates

DexDA conjugates were synthesized as previously reported. DA was conjugated to the hydroxyl group of glucose units of dextran by carbodiimide chemistry. Dextran and DMAP were dissolved in anhydrous DMSO. DA and DMAP were dissolved in DMSO and stirred for 6 hours to activate the carboxyl group of DA. DA/DCC solution was added to the dextran/DMAP solution. This solution was kept to react for 2 days. After that, this solution was filtered to remove the byproducts and dialyzed against distilled water for at least 3 days, with exchange of water at intervals of 2–3 hours. The resultant solution was freeze-dried for 2 days and its solid in white obtained. This solid was purified again by precipitation into dichloromethane for three times to remove unreacted elements. The resulting product was dried in a vacuum oven for 2 days.

The substitution degree (DS) of DA to dextran was evaluated from the $^1$H nuclear magnetic resonance (NMR) spectra and expressed as mol% versus 100 glucose units.

$^1$H nuclear magnetic resonance spectroscopy measurement

Characterization of DexDA conjugates was performed in DMSO or D$_2$O using 400 MHz $^1$H NMR spectroscopy (Varian 400 MHz NMR; Agilent Technologies, Santa Clara, CA, USA).

Morphological observation of nanoparticles

The morphology of the nanoparticles was observed using a transmission electron microscope (TEM) (JEM-2000 FX II; JEOL, Tokyo, Japan). One drop of nanoparticle solution containing phosphotungstic acid (0.05% [w/w]) was placed onto a carbon film coated on a copper grid for TEM. Observation was done at 80 kV.

Particle-size measurement

Particle size and zeta potential of nanoparticles were measured with dynamic laser scattering (DLS-7000; Otsuka Electronics, Osaka, Japan). A sample solution obtained right after the dialysis procedure was used for particle size (concentration: 0.1 wt%).

Preparation of RA-incorporated DexDA nanoparticles

The RA-incorporated nanoparticles were prepared as follows: each 80–90 mg of DexDA dissolved in 8 mL of DMSO was mixed with 10–20 mg RA in DMSO for 1 hour. This solution was poured into 20 mL of deionized water for 10 minutes to form RA-incorporated nanoparticles. DMSO and free drug were removed by dialysis against distilled water for at least 1 day. After that, the resulting solution was used for analysis or lyophilized.

For evaluation of drug content and loading efficiency, 5 mg of RA-incorporated nanoparticles of DexDA were dissolved in 10 mL of DMSO and diluted 100 times. RA concentration was measured using a UV spectrophotometer (1201; Shimadzu, Kyoto, Japan) at 365 nm. Empty nanoparticles of DexDA were used as a blank test.
Drug-release study in vitro

The release experiment was carried out in vitro as follows: 5 mg of RA-incorporated nanoparticles were distributed into 10 mL of phosphate-buffered saline (PBS; 0.1 M, pH 7.4), and this solution was introduced into dialysis membrane. After that, dialysis membrane was placed in a 200 mL bottle with 90 mL of PBS. This bottle was introduced in a shaking incubator with stirring speed of 100 rpm at 37°C. At specific time intervals, the media were taken for analysis of drug concentration. After that, the whole media was replaced with fresh PBS to prevent drug saturation. The concentration of the RA released into PBS was evaluated using the UV spectrophotometer at 365 nm.

Cell culture

RAW264.7 mouse macrophage cells and CT26 colorectal carcinoma cells were purchased from the Korean Cell Line Bank (Seoul, South Korea).

In vitro cell cytotoxicity

For measurement of intrinsic cytotoxicity of empty nanoparticles of DexDA conjugates, RAW264.7 cells were employed. A total of 3 × 10⁴ RAW264.7 cells seeded in 96-well plates with 100 μL volume of media were exposed to empty nanoparticles. Empty nanoparticles of DexDA were reconstituted into fetal bovine serum (FBS) free Dulbecco’s modified Eagle’s medium and added to 96 wells. All treatment was incubated for 2 days. The viability of cells was evaluated by MTT assay. Briefly, 30 μL of MTT (5 mg/mL) was added to 96-well plates and incubated for 4 hours at 5% CO₂ at 37°C. The formazan crystals were then dissolved with DMSO and the absorbance (560 nm test/630 nm reference) was determined using an automated computer-linked microplate reader (Molecular Devices, Sunnyvale, CA, USA). Each measurement of the drug concentration was obtained as the mean value of eight wells. The amount of formazan present is proportional to the number of viable cells, as only living cells will reduce MTT to blue formazan. The results were expressed as a percentage of the absorbance present in the drug-treated cells compared to that in the control cells.

Proliferation inhibition of RA and RA-incorporated nanoparticles against CT26 mouse-colon carcinoma cells was evaluated in vitro. CT26 cells were cultured with Roswell Park Memorial Institute (RPMI) medium 1640 (10% FBS), 5% CO₂ at 37°C. A total of 1 × 10⁴ CT26 cells seeded in 96-well plates with 100 μL volume of media were exposed to drug or nanoparticles. For RA itself, RA was dissolved in DMSO and diluted 100 times with RPMI 1640 (+10% FBS) and added to 96 wells (final concentration of DMSO was 0.5% v/v). For RA-incorporated nanoparticles, lyophilized nanoparticles were reconstituted into RPMI 1640 (+10% FBS) and added to 96 wells. For comparison, empty nanoparticles were also distributed in RPMI 1640 (+10% FBS) and then added to 96 wells. All treatment against tumor cells was incubated for 1 or 2 days. The growth inhibition of RA or nanoparticles was evaluated by MTT assay.

Gelatin zymography and Matrigel invasion assay

CT26 cells were grown to enrich in the 6 cm culture plate. After that, the medium was replaced by 1 mL of serum-free media with or without drug. After 24 hours, the conditioned media was harvested and ultracentrifuged to remove the cell debris for gelatin zymography assay. The centrifuged solution (total protein 30 μg) was mixed with the sample buffer (50 mM Tris-HCl, 2% sodium dodecyl sulfate, 0.1% bromophenol blue, 10% glycerol) and electrophoresed on 8% denaturing dodecyl sulfate polyacrylamide gels containing bromophenol blue, 10% glycerol) and electrophoresed on 8% denaturing dodecyl sulfate polyacrylamide gels containing 2 mg/mL of gelatin (type A, Sigma-Aldrich). After that, the gels were washed with 2.5% Triton X-100 and then incubated for 48 hours at 37°C in Tris-HCl buffer solution (pH 7.5, 10 mM CaCl₂, and 200 mM NaCl). The gels were stained with Coomassie Brilliant Blue R-250 (0.2% Coomassie Brilliant Blue, 20% methanol, 10% acetic acid in H₂O) and destained (20% methanol, 10% acetic acid in H₂O).

For Matrigel invasion assay, a Boyden chamber with filter inserts was employed. Prior to invasion assay, CT26 cells were exposed to serum-free media for the control, empty nanoparticles (100 μg/mL), RA, and RA-incorporated nanoparticles. After 24 hours, cells were trypsinized and washed with PBS for use in invasion assay. Filter inserts in 24-well plates containing 8 μm pores were coated with Matrigel. CT26 cells (30,000 cells/well) were suspended in serum-free medium and plated into the upper chamber of filter inserts, and then the lower chamber was filled with Dulbecco’s modified Eagle’s medium containing 10% FBS. After 48 hours, non-invaded cells on the upper chamber...
were gently removed, and invaded cells were stained with the solution in a cell-invasion assay kit (ECM550; Chemicon International, Temecula, CA, USA) following counting with microscopic examination.

**In vivo CT26 pulmonary metastasis model**
The pulmonary metastasis of CT26 cells was prepared by a previously described method.\textsuperscript{25,26} CT26 cells were administered into the tail vein of BALb/C mice (1 × 10^5 cells/0.2 mL Hank’s balanced salt solution). RA was dissolved in 5% HCO-60 (Nicco Chemicals, Tokyo, Japan) solution, and RA-incorporated nanoparticles were administered via the tail vein (RA dose 5 mg/kg) at day 3 after tumor inoculation. After 2 weeks, mice were killed to extract lungs. The number of tumor nodules on the lung surface was counted and compared to control (PBS, 0.01 M, pH 7.4).

**Statistical analysis**
Results of animal experiments were expressed as average ± standard deviation, and statistical significance was evaluated using Student’s t-test. A P-value < 0.05 was considered significant.

**Results**

**Characterization of RA-incorporated DexDA nanoparticles**
Since dextran itself is fully hydrophilic and not able to form nanoaggregates, DA as a hydrophobic moiety was conjugated to dextran, as previously reported.\textsuperscript{24} Chemical structure of DexDA conjugates and DS of DA was confirmed by ¹H NMR spectra (data not shown). Specific peaks of dextran appeared at 3.0–3.8 ppm and 4.5–5.0 ppm, while intrinsic peaks of DA appeared at 0.5–2.4 ppm and 3.0–4.5 ppm. The DS of DA was estimated by comparison of proton peak ratio between 0.5–2.0 ppm of DA and 3.7 ppm of dextran. DS value of DA was increased by increase of DA feed ratio (Table 1).

DexDA conjugates were used to incorporate RA and to form nanoparticles for antitumor drug delivery. To confirm nanoparticle formation, morphology of RA-incorporated DexDA-2 nanoparticles was observed using TEM, as shown in Figure 1. These have spherical shapes and small average particle size of less than 100 nm. When the feeding amount of RA was increased, drug content increased but loading efficiency decreased. When higher DS of DA conjugation was used, drug content and loading efficiency increased. These results were due to the increased hydrophobic interaction between hydrophobic DA moiety in the DexDA conjugates and hydrophobic drug, as shown in Table 1. Furthermore, increased drug content induced larger particles, ie, particle size reached more than 300 nm, as shown in Figure 2A. As shown in Figure 2B, the higher hydrophobic DA moiety resulted in increased particle size. These results might be due to the fact that higher hydrophobic moiety induced higher hydrophobic interaction and then resulted in higher drug content/particle size.

**Drug release from DexDA nanoparticles**

RA release from DexDA nanoparticles was studied in vitro. As shown in Figure 3A, the higher drug content resulted in the decreased release rate of drug. Furthermore, increased DS of DA in the DexDA conjugates led to a decreased drug-release rate from the nanoparticles (Figure 3B). These results indicated that hydrophobic interaction might be increased between drug and polymer at higher drug loading and higher DS of DA in the DexDA conjugates. Furthermore, increased hydrophobic interaction frequently induces crystallization or aggregation of drug in the core of the nanoparticles. Then, crystallized drug in the nanoparticles may have reduced solubility against water and decreased release kinetics.\textsuperscript{3,7,20}

**Antitumor activity of RA-incorporated DexDA nanoparticles**
To test the intrinsic toxicity of empty nanoparticles of DexDA, RAW264.7 macrophage cells were employed. As shown in

| Table 1 | Characterization of RA-incorporated DexDA nanoparticles |
|---------|---------------------------------------------------------|
|         | Substitution degree of deoxycholic acid* | DexDA/ATRA weight ratio (mg/mg) | Drug contents (% w/w) | Loading efficiency (% w/w) |
| DexDA-1 | 4.1 | 45/5 | 7.1 | 68.8 |
| DexDA-2 | 8.7 | 50/0 | – | – |
|         | 45/4 | 8.2 | 80.4 |
|         | 40/10 | 13.8 | 64.0 |
| DexDA-3 | 15.6 | 45/5 | 8.6 | 84.7 |

**Notes:** *Degree of substitution (DS) of deoxycholic acid was expressed based on number of deoxycholic acid versus 100 glucose unit; DS% was calculated from proton nuclear magnetic resonance data.

**Abbreviations:** RA, all-trans retinoic acid; DexDA, deoxycholic acid-conjugated dextran.
Figure 1 Typical particle-size distribution (A) and morphology (B) of RA-incorporated DexDA-2 nanoparticles.

Figure 4. DexDA nanoparticles in the absence of drug did not significantly affect the viability of cells, even though the viability of RAW264.7 cells was slightly affected by treatment of a higher concentration of DexDA conjugates. These results indicated that DexDA conjugates do not affect the viability of cells and were biocompatible with the cells.

The antitumor effect of RA or nanoparticles was evaluated using CT26 colorectal carcinoma cells. The antiproliferation effect of RA or nanoparticles was assessed by MTT proliferation assay, as shown in Figure 5. The proliferation of tumor cells was dose-dependently inhibited by RA and RA-incorporated DexDA nanoparticles, as shown in Figure 5.

Figure 2 Particle-size changes according to the drug content in the nanoparticles (A) and substitution degree (DS) of deoxycholic acid in the deoxycholic acid-conjugated dextran (DexDA) conjugates (B).

Figure 3 (A and B) RA release from DexDA nanoparticles. (A) The effect of drug content in the nanoparticles; (B) effect of substitution degree of deoxycholic acid in the conjugates.

Notes: *P < 0.001; **P < 0.01.
position) was not significantly changed between RA itself and RA-incorporated nanoparticles. Furthermore, gelatin zymography was employed to study MMP-2 expression of CT26 cells, since MMP-2 is a key enzyme to degrade extracellular matrix and to promote invasion of tumor cells. As shown in Figure 6B, RA and its nanoparticles suppressed the MMP-2 expression of CT26 cells, clearly indicating that decrease of tumor cell invasion results from the suppression of MMP-2 expression of CT26 cells.

For in vivo pulmonary metastasis assay, CT26 cells were injected intravenously and the number of tumor nodules counted as an index of metastatic potential of tumor cells. Since empty nanoparticles of DexDA-1, 2 and 3 did not significantly affect to the viability of normal cells (Figure 4) and tumor cells (data not shown), the antiproliferative effect of RA-incorporated nanoparticles against tumor cells was practically similar to RA itself.

### Anti-invasive activity of RA-incorporated DexDA nanoaggregates

Anti-invasive or antimetastatic antitumor activity of RA or RA-incorporated nanoparticles against CT26 cells in vitro and pulmonary metastasis model in vivo were investigated, as shown in Figures 6 and 7.

To study the anti-invasive effect of RA-incorporated DexDA nanoparticles, Matrigel invasion assay was employed, as shown in Figure 6A. Prior to invasion assay and measurement of matrix metalloproteinase (MMP) expression, CT26 cells were exposed to various concentrations of empty nanoparticles, RA, and RA-incorporated DexDA-2 nanoparticles, as shown in Figure 6. CT26 cells treated with drug were seeded onto the Matrigel-coated upper chamber of the Boyden chamber. Invaded tumor cells across the Matrigel to the lower surfaces of the chamber were counted. As shown in Figure 6A, invasion of tumor cells was dose-dependently decreased by treatment of RA or RA-incorporated nanoparticles, while empty nanoparticles did not affect the invasive capability of tumor cells, indicating that RA-incorporated nanoparticles inhibited tumor cell invasion. The relative number of the invaded cells (graph in the left-middle position) was not significantly changed between RA itself and RA-incorporated nanoparticles. Furthermore, gelatin zymography was employed to study MMP-2 expression of CT26 cells, since MMP-2 is a key enzyme to degrade extracellular matrix and to promote invasion of tumor cells. As shown in Figure 6B, RA and its nanoparticles suppressed the MMP-2 expression of CT26 cells, clearly indicating that decrease of tumor cell invasion results from the suppression of MMP-2 expression of CT26 cells.

For in vivo pulmonary metastasis assay, CT26 cells were injected intravenously and the number of tumor nodules counted as an index of metastatic potential of tumor cells.
cells. As shown in Figure 7, tumor nodules in mouse lung were generated by pulmonary metastasis of tumor cells. Tumor nodules were decreased by treatment of RA or RA-incorporated nanoparticles, as shown in Figure 7. In particular, RA-incorporated nanoparticles markedly inhibited generation of tumor nodule in the mouse lung, indicating that RA-incorporated nanoparticles have sustained release properties and are favorable in longer blood-circulation time of drug. These properties might result in better anti-invasive and antimetastatic activity of nanoparticles than the drug itself.

Discussion

The self-aggregation properties of amphiphilic macromolecules are regarded as a driving force of nanoparticle formation.\textsuperscript{1,5–7} Nanoaggregates or polymeric micelles are appropriate vehicles for incorporation of hydrophobic drugs, due to their self-association characteristics and hydrophobic interaction in the aqueous environment. In particular, small nanoaggregates or nanoparticles have such advantages as long blood circulation of drugs, avoiding unwanted side effects of anticancer drugs, ease of administration, and solubilization of hydrophobic drugs. We chose RA as an anticancer agent
because it is believed to be safe for the human body and it has antitumor activity, ie, suppression of tumor cell proliferation and inhibition of tumor cell invasion.\textsuperscript{6–14} However, rapid clearance from the blood circulation and poor aqueous solubility of RA limits clinical application.\textsuperscript{18} From these points of view, nanoparticles formed by a self-aggregation process can solve these problems.\textsuperscript{5–7,20,21,24} Nanoparticles or polymeric micelles are known to be effective in extending blood circulation times of anticancer drugs, decreasing side effects of drugs, and suppression of tumor cell growth.\textsuperscript{3,6,24} As shown in Figure 1, Figure 2, and Table 1, we prepared RA-incorporated DexDA nanoparticles for antitumor drug delivery. RA was incorporated into the DexDA nanoparticles through hydrophobic interaction with hydrophobic moiety, DA, in the nanoparticles. Liphophilic drug at higher drug contents is known to aggregate or crystallize in the core of the nanoparticles, and then crystallized drug releases more slowly than that in lower drug loading.\textsuperscript{3} As shown in Figure 3, RA with higher drug content was released slowly from the nanoparticles compared to lower drug loading, indicating that RA might be crystallized in the core of the nanoparticles and released slowly. In a previous report by Jeong et al,\textsuperscript{27} RA was crystallized in the core of the nanoparticles at higher drug loading, and solubilization of crystallized drug into the release medium was delayed compared to molecular dispersion of drug. They demonstrated that RA can be crystallized in the nanoparticles at higher drug loading through analysis of powder X-ray diffraction. The particle size of DexDA nanoparticles was increased by drug loading, and this increased size might induce a slower drug release at higher drug contents, as shown in Figures 2 and 3.

Since RA is known to have antiproliferative and anti-invasive activity against tumor cells, RA-incorporated nanoparticles were assessed by MTT proliferation test, gelatin zymography, and Matrigel invasion assay. Prior to investigation of antitumor activity, the intrinsic toxicity of empty nanoparticles of DexDA-2 was checked using RAW264.7 mouse macrophage cells. As shown in Figure 4, empty nanoparticles of DexDA did not significantly affect the viability of normal cells, ie, higher than 80% (versus control) of cells survived at higher than 100 \textmu g/mL of DexDA conjugates. These results indicated that DexDA conjugates do not have acute toxicity and are compatible with normal cells. Figure 5 shows the antiproliferative activity of RA and RA-incorporated nanoparticles. RA-incorporated DexDA nanoparticles inhibit proliferation of tumor cells. Furthermore, we suggest that the reason for the differences in cell proliferation between RA and nanoparticles was the sustained-release properties of RA-incorporated nanoparticles.

Since RA is known to inhibit local invasion and/or metastasis of tumor cells,\textsuperscript{13} anti-invasive and antimetastatic activity of RA and RA-incorporated nanoparticles were investigated using Matrigel invasion assay, gelatin zymography, and an in vivo pulmonary metastasis model of CT26 cells. As shown in Figure 6A, RA or RA-incorporated nanoparticles dose-dependently inhibited invasion of tumor cells on Matrigel invasion assay in vitro. Antimetastatic effects of RA against mouse pulmonary metastatic model have been investigated by several researchers.\textsuperscript{25,27} We also demonstrated the antimetastatic activity of RA-incorporated nanoparticles using mouse pulmonary metastatic model of CT26 cells, as shown in Figure 7. Suzuki et al reported that RA-encapsulated cationic liposome suppressed pulmonary metastasis of tumor cells.\textsuperscript{26} Siddikuzzaman and Grace also reported that RA-incorporated liposome was more effective in inhibiting metastatic lung cancer than RA.\textsuperscript{28} In our results, RA-incorporated nanoparticles also had higher effectiveness in suppression of metastatic potential of tumor cells than RA itself.

In conclusion, RA-incorporated DexDA nanoparticles were prepared to test their antitumoral activity in in vitro and in vivo conditions. RA-incorporated nanoparticles showed similar antiproliferative and anti-invasive activity against CT26 tumor cells compared to RA itself. However, RA-incorporated DexDA nanoparticles showed higher antimetastatic potential than RA itself. We suggest that RA-incorporated DexDA nanoparticles are promising vehicles to inhibit tumor metastasis.
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Disclosure
The authors report no conflicts of interest in this work.

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