Study of a tooth gel formulation for hygiene and oral sequelae management in irradiated patients

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ABSTRACT
Cervical-facial radiotherapy causes innumerable sequelae, being systemic or restricted to the oral environment. The oral mucosa of these patients is profoundly compromised by radiotherapeutic treatment with or without chemotherapy, presenting several complications. Dentifrices containing sodium lauryl sulfate cause damage, as they lead to dryness of the mucosa. The objective of this work was to develop a dentifrice capable of minimizing xerostomia related to a lower abrasive effect, which was intended for hygiene, prevention of caries, control of dental biofilm, and tooth sensitivity in cancer patients. The qualitative composition and physicochemical characteristics of ten dentifrices were analyzed, which enabled the preparation of the proposed formulation (PF) that was analyzed periodically for 180 days. The quantitative analysis of spreadability not only indicated favorable behavior of the PF in relation to the packaging and storage conditions but also revealed the need to raise the propylene glycol concentration in the PF to avoid the occurrence of syneresis after 60 days. Furthermore, pH data showed that the PF is compatible with the pH of oral homeostasis thus avoiding the occurrence of dental demineralization. It is suggested that the PF has a singular composition and physicochemical quality to be used as a suitable dentifrice for patients undergoing anticancer therapy.

INTRODUCTION
Among the most frequent cancers, there are head and neck neoplasms, which include oral and nasal cavities, face, pharynx, larynx, and salivary glands. The most important treatment for these tumors is radiation therapy. However, its therapeutic compliance can bring innumerable sequelae to the patient, being systemic or restricted to the oral cavity (Jawad et al., 2015; Strojan et al., 2017).

According to Strojan et al. (2017) irradiated tissues have reduced blood circulation, which also affects tissue oxygenation. On reaching the salivary glands, there is an expressive reduction in the amount and quality of the saliva, which loses its antimicrobial, buffering, and dental remineralization potential.

The progressive salivary reduction, besides promoting the proliferation of microorganisms in the oral environment, induces a variety of complications, which initially are noninfectious, such as hemorrhage, mucositis, dry mouth, hypogeusia, osteoradionecrosis, lockjaw, dermatitis, and later dental caries, oral candidiasis, and infections (Neville et al., 2016; Sciubba and Goldenberg, 2006).

Basic oral care is considered as a basis of supportive care in oncology, mainly for irradiated patients (Hong et al., 2019). It is known that the oral hygiene of patients worsens significantly both during and after the period of radiotherapy treatment, and an accumulation of dental biofilm predisposing patients to oral diseases is observed. The central cause of this could be related to the fact that patients were unable to use the same hygiene products used before being submitted to therapy (such as dentifrices) due to oral mucosal involvement after radiotherapy sessions (Vissink et al., 2010). These data corroborate with those of Mercadante et al. (2017).

Considering the dentifrice composition, a widely used cleansing agent is sodium lauryl sulfate (SLS), an anionic
surfactant that can cause an irritation in sensitized mucous, palate alteration, ulceration, or epithelia flaking, thereby promoting a burning sensation and favoring halitosis (Silzler et al., 2016). The limitation of its use is evident in dental products for patients undergoing head and neck radiotherapy (H & NRT) (Andrews and Griffiths, 2001).

Basic oral care has an important role, considering that H&NRT patients become significantly immunosuppressed as a result of cancer therapies. Nowadays, there are several types of dentifrices available, which have a great diversity in terms of their qualitative and quantitative composition. However, none of these formulations meet the needs of such patients. Inevitably, after a few weeks of treatment, their oral mucosa will be sensitive and inflamed leading to discomfort from the use of adult dentifrices. Infant dentifrices provoke a less discomfort due to their composition, which present a less abrasive profile, being chosen by the user for this category of patients (Cvikl et al., 2017; Stovell et al., 2013).

In this sense, the objective of this study was to develop a dentifrice containing a lower abrasive amount and to prevent caries, dental biofilm control, and tooth sensitivity for hygiene and oral sequelae management in irradiated patients. In addition, the physicochemical evaluation of the proposed formulation (PF) was performed.

MATERIALS AND METHODS

Materials

Xanthan gum and triclosan were purchased from All Chemistry (São Paulo, Brazil). Sodium fluoride and Poloxamer® 407 were purchased from Via Farma (São Paulo, Brazil). Potassium nitrate was purchased from Êxodo (Sumaré, Brazil). A colloidal silicon dioxide was obtained from Genix (Anápolis, Brazil). Sucralose was purchased from Fagron (Embu das Artes, Brazil). The raspberry flavor was donated by Georgeos Broennmén (Carmo da Mata, Brazil). Carboxymethylcellulose sodium as cellulose gum, propylene glycol, glycerol analytical grade, sodium saccharin, and microcrystalline cellulose were purchased from Synth (Diadema, Brazil). Methylparaben and propylparaben analytical grade and SLS were obtained from Vetec (Duque de Caxias, Brazil).

Nine infant dentifrice formulations were selected for the present work and also an extemporaneous compounding preparation, as follows: dental cream Scooby-Doo Dentil, Suavetex, tutti-frutti flavor (C1); dental cream Tralala Kids, Phisalia, tutti-frutti flavor (C2); dental cream Tralala Kids, Phisalia, bubble gum flavor (C3); dental gel Cocorico, Bitufo, tutti-frutti flavor (G4); dental gel, Bitufo, tutti-frutti flavor (G5); dental gel Malvatrikids for 4–7 year old, Daudt, tutti-frutti flavor (G6); dental gel anticasicos Colgate Smiles, Colgate-Palmpomovie, tutti-frutti flavor (G7); dental gel Tandy, Colgate-Palmolive, tutti-frutti flavor (G8); dental gel Adventure Time Sanifill, Cosmed, tutti-frutti flavor (G9); and also an official formulation available as tooth gel for oral hygiene prepared in accordance with the Brazilian Pharmacopeia National Form (G10). Table 1 shows the respective compositions.

The qualitative composition of these products was evaluated, and the pH and spreadability were determined to guide the physicochemical properties of the PF.

Preparation of PF

After the previous tests employing different concentrations of thickening agent (2.0%, 4.0%, and 8.0% w/w), the concentration of 4.0% w/w of xanthan gum was chosen. The other ingredients and respective concentrations were selected based on the minimal concentrations mentioned by Ciancio (2007) and Rowe et al. (2012).

The PF was designed to contain active fluoride according to the needs of adults undergoing cancer treatment, nonionic emulsifying, antiseptic and desensitizing agents, as well as abrasive, thickening, humectant, antimicrobial preservative, sweetening, and flavoring substances. Based on this approach, the composition studied contained sodium fluoride (0.243% w/w), equivalent to 1,500 ppm of active fluoride ion, poloxamer 407

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| Code | Composition                                                                 |
|------|-----------------------------------------------------------------------------|
| C1   | Hydrated silica, glycerol, SLS, cellulose gum, CI 16255, sodium saccharin,  |
|      | calcium carbonate, methylparaben, propylparaben, 1,000 ppm of fluoride ion  |
|      | as sodium monofluorophosphate (MFP), and water                              |
| C2   | Hydrated silica, glycerol, SLS, cellulose gum, CI 16255, sodium saccharin,  |
|      | calcium carbonate, methylparaben, propylparaben, sorbitol, PEG-8, xylitol,  |
|      | D-limonene, alcohol, sodium silicate, benzyl alcohol, 1,100 ppm of fluoride |
|      | ion as MFP, and water                                                       |
| C3   | Hydrated silica, glycerol, SLS, cellulose gum, CI 42090, sodium saccharin,  |
|      | calcium carbonate, methylparaben, propylparaben, sorbitol, PEG-8, xylitol,  |
|      | D-limonene, alcohol, sodium silicate, benzyl alcohol, 1,179 ppm of fluoride |
|      | ion as MFP, and water                                                       |
| G4   | Hydrated silica, glycerol, SLS, cellulose gum, CI 12700, CI 42051, sodium  |
|      | saccharin, sorbitol, PEG-8, xylitol, D-limonene, 1,100 ppm of fluoride ion  |
|      | as sodium fluoride (NaF), and water                                          |
| G5   | Hydrated silica, glycerol, SLS, cellulose gum, CI 17200, CI 42051, sodium  |
|      | saccharin, sorbitol, PEG-8, xylitol, D-limonene, 1,100 ppm of fluoride ion  |
|      | as sodium fluoride (NaF), and water                                          |
| G6   | Hydrated silica, glycerol, sodium saccharin, cellulose gum, CI 45430,      |
|      | sodium benzoate, xylitol, sucralose, Malva sylvestris extract, 1,000 ppm  |
|      | of fluoride ion as NaF, and water                                            |
| G7   | Hydrated silica, SLS, cellulose gum, CI 16035, CI 17200, mica (CI 77019),  |
|      | titanium dioxide (CI 77891), sodium saccharin, sorbitol, PEG-12, D-limonene,|
|      | Eugenol, cinnamal, 1,100 ppm of fluoride ion as NaF, and water              |
| G8   | Hydrated silica, SLS, cellulose gum, CI 16035, CI 42090, sodium saccharin, |
|      | sorbitol, PEG-8, PEG-12, D-limonene, Eugenol, cinnamal, 1,100 ppm of fluoride |
|      | ion as NaF, and water                                                       |
| G9   | Hydrated silica, glycerol, SLS, cellulose gum, CI 17200, CI 42051, sodium  |
|      | saccharin, sorbitol, PEG-8, xylitol, D-limonene, 1,100 ppm of fluoride ion  |
|      | as sodium fluoride (NaF), and water                                          |
| G10  | Microcrystalline cellulose, glycerol, SLS, cellulose gum, sodium saccharin,|
|      | methylparaben, propylparaben, propylene glycol, and water. Without fluoride |
|      | ion.                                                                         |
(0.30% w/w), triclosan (0.10% w/w), potassium nitrate (5.0% w/w), colloidal silicon dioxide (0.50% w/w), xanthan gum (4.0% w/w), propylene glycol (1.0% w/w), methylparaben (0.065% w/w), propylparaben (0.035% w/w), sucralose (0.05% w/w), raspberry flavor Georges Broemmè® (1.0% w/w), and purified water (87.707% w/w).

Initially, a preservative solution (PS) composed of methylparaben, propylparaben, and propylene glycol (6:3:91) was prepared according to the Brazilian Pharmacopeia National Form (2012). It was then placed in a digital ultrasonic bath, Soniclean Model 2PS, for 40 minutes: triclosan, sucralose, PS, flavor, poloxamer 407, sodium fluoride, and purified water. After solubilization, potassium nitrate, colloidal silicon dioxide, and xanthan gum were added, which, after swelling, provided the desired consistency of the PF (Swarbrick and Boylan, 2002). The PF was packed in white high-density polyethylene tubes and stored at a room temperature (15°C–30°C) and a relative humidity of 60% ± 5% for 180 days. The samples were submitted monthly, in triplicate, for the determination of pH and spreadability.

pH determination

The pH values of the semisolid preparations diluted 1:10 in purified water were determined in triplicate by using an MS Tecnopon pH meter (Gonçalves et al., 2011).

Determination of the spreadability

The spreadability of the samples was determined as per Pardeshi and Tapar method (2016). The samples were placed in a circular glass template, measuring 20.0 cm in diameter and 4.0 mm in thickness with a central hole of 12.0 mm in diameter on a laminated graph paper. The sample was added, in triplicate, to the central hole of this template, which was removed after dentifrice leveling. Another circular glass plate with a diameter of 20.0 cm and a weight of 225.80 g was placed on the sample; after 1 minute passed, the diameter increase covered by the dentifrice was determined. The following equation was applied:

\[ S = \frac{d^2 \times \pi}{4} \]

where \( S \) = spreadability of the sample for \( i \) weight (mm²), \( d \) = medium diameter (mm), and \( \pi \) = 3.14.

Statistical analyses

The analyses were performed using GraphPad Prism® version 5.0 (GraphPad Software Inc., CA). The results obtained in triplicate were presented as the arithmetic mean of the values and standard deviation ( ± SD). The one-way analysis of variance and Tukey’s HSD post-test for multiple comparisons as appropriate were performed; the \( p \)-value was set at <0.05 as significant.

RESULTS AND DISCUSSION

Although the dentifrice is a product of external use, the oral mucosa of individuals with cervical–facial cancer is profoundly compromised by radiotherapy treatment with or without chemotherapy. This means that, in this case, this type of product must be constituted by appropriate substances for the public in question, without losing its desired properties.

The qualitative composition of a compounded dental gel formulation (Brazilian Pharmacopeia National Form, 2012) and nine dentifrices for infants was evaluated since this product line presents a lower abrasive effect and a sweet flavor compared with other dentifrices indicated for adults. Therefore, it is understood, that individuals with complications in the oral mucosa choose this line of products, aiming at less discomfort during the process of oral hygiene.

According to Pader (1992), the dentifrices are composed of abrasive, surfactant, humectant, thickener, flavoring, and water. They may also contain remineralizing, desensitizing, and antimicrobial agents, among others.

Among the selected products, several components were observed; however, some of them might increase the patient’s discomfort during use, as well as provoking adverse reactions.

One of these substances is ethyl alcohol, present in 30% of the analyzed products (3/10). This substance is a potent cause of oral mucosa peeling that can cause adverse reactions such as mouth ulcers, burning sensation, gingivitis, dry mouth (Tuncer Budanur et al., 2016), and hyposalivation, leading to a higher exposure to locally acting carcinogens. Moreover, its local effect may increase the permeability of the mucosa by dissolving the lipid component of the epithelium and provide indirect cellular damage through acetaldehyde; it also may enhance the toxicity of other agents (Russo et al., 2018).

Multifunctional excipients such as glycerin and sorbitol exhibit the humectant and sweetening properties (Stovell et al., 2013). However, they are polyalcohols with three and six alcoholic hydroxyls (R3-C-OH), respectively, which, in turn, interact with water through hydrogen bonds and may favor tissue dryness (Sinko and Singh, 2011). They are commonly found in dentifrices, being present in 80% (8/10) and 70% (7/10) of the samples analyzed.

Propylene glycol, which has two alcoholic hydroxyls (R3-C-OH), was found in only 10% (1/10) of the products. It is noteworthy that this humectant was selected to compose the PF to protect the gel against syneresis (Florence and Attwood, 2016; Swarbrick and Boylan, 2002), besides acting as the solvent for the parabens, and to potentiate antimicrobial activity when used in the concentration of 2.0%–5.0% (Rowe et al., 2012).

Sodium saccharin, a synthetic sweetener, observed in 90% of the analyzed compositions (9/10), may promote adverse reactions, such as skin rash, nausea, and vomiting, since it is an analog of sulfonamide (Florence and Attwood, 2016; Wulf and Matyszewski, 2013). Recently, it was found that the consumption of this sweetener may be meaningfully linked to the risk of Alzheimer’s disease (Lohner et al., 2017); furthermore, other studies exhibited specific changes in the gut microbiota related to modifications in the metabolic pathways associated with glucose tolerance and dysbiosis in human subjects, mainly with the ingestion of saccharin (Ruiz-Ojeda et al., 2019).

In addition, 80% of the dentifrices analyzed (8/10) present a dye in the composition, with the purpose of making the product more attractive, and among them, the following were observed: CI 16255 (Ponceau × Red 4R) in products C1 and C2; CI 42090 (bright blue) in products C3 and G8; CI 17200 (Red 33) in products G5, G7, and G9; CI 42051 (blue patent) in products G5 and G9; CI 45430 (erythrosine red) in product G6; CI 16035 (Allura Red) in products G7 and G8; and CI 77891 (white) and CI 77019 (white) both in product G7.
The recent reviews describe that different chemical classes of dyes (azo being amaranth, Allura Red, carmoisine, Ponceau 4R, sunset yellow, and tartrazine; indoid being indigo carmine; quinolphthalein being quinolone yellow; triarylmethane being brilliant blue and patent blue V; and xanthene being erythrosine) present the toxicological and mutagenic potential, among other serious adverse reactions (Pérez-Ibarbia et al., 2016; Rowe et al., 2012). In this sense, it was decided not to add this type of adjuvant to the PF.

Moreover, being a product for infants, 80% of the dentifrices selected for analysis (8/10) have a low fluoride content as the active remineralizing ingredient (1,000–1,179 ppm of fluoride ion), 20% of which are not fluoridated (2/10).

It is emphasized that fluoride plays an important role in the combat and the reduction of dental caries because it decreases the solubility of dental enamel by giving a greater resistance toward the presence of the acids generated by cariogenic bacteria, especially Streptococcus mutans and Lactobacillus casei. In addition, this active ingredient can reduce enamel breaking and accelerate the natural process of remineralization (Oliveira and Lorscheider, 2008).

Given this context, the PF was drawn up with substances that are capable of providing cleaning, a pleasant odor and palate, antiplaque protection, desensitizing effect, and adequate fluoride content (1,500 ppm of fluoride ion), using minimum usual concentrations, according to Rowe et al. to make the product appropriate for patients undergoing cancer treatment, in addition to minimizing possible adverse reactions (Rowe et al., 2012).

The developed PF is a gel-type dentifrice, without the presence of SLS that was present in 100% of the analyzed products (10/10). The purpose of excluding this adjuvant was to avoid a negative impact on the oral situation of the patient submitted to radiotherapy, thus not worsening their condition. Jackson et al. reported the occurrence of dryness and cracking of the skin due to the repeated and prolonged exposure to SLS that can lead to contact dermatitis and xerostomia development (Jackson et al., 2014).

In this direction, the cleaning agent was replaced by poloxamer 407, a nonionic emulsifying copolymer. Such a substance is used in a wide variety of pharmaceutical preparations, being considered as nontoxic and nonirritating, and also, the body does not metabolize it. In vivo studies have shown that poloxamer 407 is not a sensitizing agent when applied to the gums, eyes, and skin of rabbits and dogs (Rowe et al., 2012).

Triclosan has been used in the PF with the purpose of bacterial antiplaque, in addition to present anti-inflammatory properties that help to recover the damaged oral mucosa (Saunders et al., 2013). In general, it is used in combination with a copolymer, in this study, being poloxamer 407, which can increase the retention of triclosan in the oral cavity and, consequently, increase its action time (Davies, 2007; Teles and Teles, 2009).

The clinical studies and systematic reviews have demonstrated the ability of triclosan present in dentifrices to reduce bacterial plaque and improve gingivitis (Davies et al., 2004; Hoe and van der Weijden, 2005; Triratana et al., 2015). Moreover, the addition of sodium fluoride prevents the formation of bacterial biofilm and assists in dental remineralization by inhibiting the bacterial acidity that result from carbohydrate metabolism. Therefore, it is relevant to add sodium fluoride together with inputs that promote antibacterial action.

Sucrose added to the PF as a synthetic sweetener does not interfere with glucose absorption, carbohydrate metabolism, and consequently, insulin secretion, which characterizes it as a safe sweetener for use by diabetic individuals. Another associated advantage is the fact that it is a noncariogenic substance that is capable of helping to maintain oral health (Rodero et al., 2009).

Finally, the PF contains potassium nitrate due to its effective desensitizing action, as described by Zado and Pilatti (2016). Thus, it is understood that the PF is composed of adjuvants that are specifically suitable for the disclosed target public.

Parallel to composition evaluation, it is known that the pH is a relevant parameter for the evaluation of oral hygiene products since these formulations should have a pH compatible with the homeostasis pH of the oral environment to avoid damages to dental hard tissues (Loke et al., 2016). In addition, spreadability is another important property of these products since it shows the extent of area, to which the formulation readily spreads on application to the target surface in addition to its use as quality and stability parameters (Pardeshi and Tapar, 2016).

Figure 1 shows two physicochemical parameters, pH and spreadability, of nine infant dentifrices and the compounded dentifrice gel formulation (Brazilian Pharmacopeia National Form, 2012) analyzed in this study compared with the PF.

The pathogenesis of dental erosion is directly related to the buffering capacity and the rate of salivary secretion (Abou Neel et al., 2016), which has been compromised after the initiation of cancer treatment. Therefore, oral hygiene products should have a pH compatible with the homeostasis pH of the oral environment, which means around 6.5 or higher, with undesirable values below 5.50 due to the phenomenon of dental demineralization (Mahmoud and Omar, 2018).

Figure 1A shows that the initial pH of the PF, adjusted to 7.0, did not show a significant difference (p > 0.05) in relation to the products G7 (pH = 7.0) and G10 (pH = 6.7). However, there are, in the market, infant dentifrices with pH below the initial value of the PF, recorded as 6.8. In this case, two products are mentioned: G4 (pH = 5.0) and G5 (pH = 6.0). Six other products presented values above the initial pH of the PF (C1, C2, C3, G6, G8, and G9), ranging from 7.3 (G6) to 9.65 (C3). All these eight products displayed significantly a different pH (p < 0.001) in comparison with the PF when recently prepared.

The erosive potential of oral pediatric liquid medications was described by Mahmoud and Omar (2018) through measuring the inherent pH. The results found in pH values ranging between 3.5 and 6.9 indicated that this physicochemical parameter presents a damaging effect on tooth structure. Based on this, it could be observed that the PF in the present work was formulated from a suitable pH, being similar to six other infant dentifrices studied (C1, C2, C3, G6, G8, and G9).

It is noticed that the creams (C1, C2, and C3) exhibited the pH values greater than 8.0 (Fig. 1A). This can be attributed to the presence of calcium carbonate as an alkaline abrasive agent in such formulations.

Moreover, spreadability is an attribute that plays a key role in the development of semisolid products because it has an
important impact on the rheological characteristics (Savary et al., 2019).

Figure 1B shows the results of the spreadability test that is based on resistance to forced movement (Alves et al., 2005; De Paula et al., 1998). These results correspond to the relationship between the spreading area with the applied force on the product and the limiting effort, which corresponds to spreadability. The PF presented an initial spreadability (mm²), which was significantly higher (p < 0.001) compared with 80% of the analyzed products (C1, C2, C3, G5, G6, G7, G8, and G9). However, 20% of the dentifrices in questions (G4 and G10) exhibited a statistically larger spreadability than the others.

Figures 2 and 3 show, respectively, the evaluation of the pH and spreadability of the PF during the period of 180 days of the study.

The PF maintained the initial pH (7.0) without significant changes for 30 days (Fig. 2). However, there was a significant reduction from the 60th day (p < 0.05), achieving pH 6.12 by the end of the study period (p < 0.001). On the other hand, even with a reduction of 0.50 pH units after 60 days of storage, the PF still showed compatibility with the pH of oral homeostasis (approximately 6.50) and above the critical pH (5.50), avoiding the occurrence of dental demineralization.

The PF maintained an initial spreadability of 962.1 mm² for 60 days' storage at room temperature (Fig. 3). However, a significant reduction was observed from the 90th day (p < 0.01), which achieved 856.5 ± 88.4 mm², remaining until the end of the study period without significant changes (p > 0.05). This result suggests that the concentration of propylene glycol used in the PF was not able to effectively prevent the phenomenon of syneresis observed after 90 days of the study. To avoid the occurrence of this phenomenon, the concentration of propylene glycol in the PF should be increased.

Florence and Attwood verified a decrease in the syneresis of an acidic gel from Plantago albicans seed gum, by the addition of electrolyte, glucose, and sucrose and also by increasing the gum concentration. They observed that, with low pH, intense synergism occurred, probably due to the loss of water of hydration and the formation of intramolecular hydrogen bonds, reducing the attraction of the solvent by the macromolecules (Florence and Attwood, 2016). Analogously, this explains the results of the present work. Thus, it is suggested that the pH reduction of the PF detected after the 60th day (Fig. 2) may be related to the reduction
of spreadability (Fig. 3). On the contrary, even with an approximate reduction of 105 mm² (Fig. 3), the PF was still superior to the spreadability of six dentifrices selected for this study, being C1, C2, C3, G4, G5, G6, G8, and G9, ranging from 615.44 mm² (C2 and G5) to 754.4 mm² (G8), as shown in Figure 1B.

CONCLUSION

A dentifrice formulation containing specific ingredients that are more convenient for oral hygiene and sequelae management in irradiated patients has been developed. It was observed that the PF showed a superior spreadability compared with the analyzed products. The need to increase the propylene glycol concentration in the PF to avoid the occurrence of syneresis after 60 days was also noted. Although pH decreased after this period, it remained until the end of the study period without significant changes and remained above the critical value for the occurrence of dental demineralization (5.50). It is suggested that the PF has a unique composition and physicochemical quality to be used as a suitable dentifrice for patients undergoing anticancer therapy.

CONFLICT OF INTEREST

The authors report that they do not have any conflicts of interest.

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None.

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