STUDY PROTOCOL

Developing an open educational resource for open research: Protocol for the PaPOR TRAIL project [version 1; peer review: 2 approved]

Sophia Egan1*, Mary Tobin1*, Brendan Palmer1,2, Aoife Coffey3, Darren Dahly1,2, Catherine Houghton4, Eoghan Ó Carragáin3, Elaine Toomey5, Samantha Dockray6, Karen Matvienko-Sikar1

1School of Public Health, University College Cork, Cork, Ireland
2Health Research Board Clinical Research Facility Cork, University College Cork, Cork, Ireland
3UCC Library, University College Cork, Cork, Ireland
4School of Nursing and Midwifery, National University of Ireland, Galway, Galway, Ireland
5School of Allied Health, University of Limerick, Limerick, Ireland
6School of Applied Psychology, University College Cork, Cork, Ireland

* Equal contributors

Abstract

Background: Open research involves actions at all stages of the research cycle to make the research process and outputs more transparent and accessible. Though a number of initiatives exist for researchers at PhD, post-doctoral and more senior levels, there remains a critical need for educational resources for research students at earlier career stages and across disciplines. The aim of the Principles and Practices of Open Research: Teaching, Research, Impact, and Learning (PaPOR TRaIL) project is to develop an open educational resource (OER) on the principles and practice of open research for undergraduate and master's students.

Methods: In stage 1, interviews and surveys of students and supervisors are being conducted to explore students' and supervisors' knowledge, attitudes, and experiences of open research, in addition to needs and preferences for the content and delivery of the OER. Stage 2 involves development of the OER content and delivery, based on Stage 1 engagement and national and international guidance on best practice in conducting and teaching open research. In Stage 3, students and supervisors will evaluate the developed OER and provide feedback in terms of OER usability, learning experience and learning outcomes. This feedback will guide revisions and finalisation of the OER content, format and learning activities.

Discussion: The PaPOR TRaIL project will develop an evidence-based OER that provides a foundation in all aspects of open research theory
& practice. Teaching undergraduate and master’s students open research will promote development of core research values and equip them with transferable competencies and skills, including how to conduct and use research in a trustworthy and ethical manner within and beyond academia. Enhancing teaching and learning of open research will promote better teaching and research outcomes that will benefit individuals, universities, and science more broadly.

**Keywords**
Open Research, Open Educational Resource
Introduction

Research practices that are open and transparent are essential to maximise the validity and impact of research (Cox & Toomey, 2018). In addition to the conduct of research, open dissemination of research findings is crucial to ensure access to knowledge for both those who produce and those who engage with research. Open research is an umbrella term that incorporates a range of principles and practices that make research more transparent, reproducible and accessible to everyone in the society, leading to increased rigour, accountability, and collaboration (Bezjak et al., 2018; Munafò et al., 2017). Open research principles and practices are relevant at all stages of the research cycle, from study design to results dissemination. These practices ensure that the results of research are available to researchers and the general public, and also that the “hypotheses, materials, data, and procedures” that comprise research are accessible also (Toelch & Ostwald, 2018, p.1). Open research is applicable to all disciplines, though it has been predominantly highlighted in the sciences and medicine. (e.g., Edmond & Tóth-Czifra, 2018; Peels & Bouter, 2018). As such, the term ‘open science’ was originally coined to reflect this focus, while the term ‘open research’ captures the same message and approaches and allows for greater inclusivity across disciplines. Further, though the principles of open research are consistent across disciplines, open research practices can be implemented differently across disciplines, thereby enhancing the transparency and robustness of research within and across disciplines (Knöchelmann, 2019; Peels & Bouter, 2018; Wouters & Haak, 2017).

Benefits of open research have been noted for individual researchers, as well as advancing the more general goals of all research. For instance, it has been reported that more than 80% of university-level researchers agreed that open science could improve the quality of their research, and the majority reported positive attitudes towards open science (Toelch & Ostwald, 2018). Benefits of open science cited by researchers include improved discoverability and the citation advantage of open access articles, as well as shorter publication embargo periods (Allen & Mehler 2019; McKiernan et al., 2016; Munafò et al., 2017). In addition, engagement in open research practices provide a means by which to address and mitigate questionable research practices (QRPs; John et al., 2012). Lack of transparency and QRPs can lead to increased mistrust in research findings (Marcus & Oransky, 2014), research waste (Chalmers & Glasziou, 2009; Macleod et al., 2014), and can have potential consequences for the consumers of research, including for public and patient health and safety (Herrera-Perez et al., 2019; Prasad et al., 2013). Engaging in open research practices, including making research data, analysis code, and research outputs openly accessible can ensure more transparency in research processes and address concerns about research reliability (Open Science Collaboration, 2015). In addition, open research practices support the democratisation of scientific knowledge, and offers the wider community insights into the development and conduct of research and so enables a greater number of people to engage with research (including as consumers, beneficiaries and citizens) in an informed way (Arza & Fressoli, 2017).

Efforts to promote open research are not yet matched by uptake of open research practices among researchers (Allen & Mehler, 2019; Baker, 2016). Lack of knowledge and training on open research is an important factor influencing application of open research in practice (Working Group on Rewards under Open Science, 2017; Zečević et al., 2020). In particular there is a critical need for training and education in open research principles and practices early in students research experience, during the “grassroots” stage (Allen & Mehler, 2019; Button et al., 2020, p.77; Strand & Brown, 2019). However open research educational resources are not typically aimed at undergraduate and master’s-level students, despite this serving as the foundations to everything that follows (Button, 2018). In addition to a gap in accessible resources directed at undergraduate and master’s-level students, particularly in Ireland, there is also a gap in knowledge about engagement and uptake of resources by students. Exploring undergraduate and master’s-level students perceived knowledge, attitudes and experiences of open research, in addition to their needs and preferences for educational supports is essential to inform development and implementation of appropriate, relevant and meaningful educational resources (Toelch & Ostwald, 2018). Similarly, engagement with the supervisors of undergraduate and master’s-level student research is critical to inform development of resources that are fit for purpose within the supervisor-student relationship. As such, the Principles and Practices in Open Research: Teaching, Research, Impact and Learning (PaPOR TraIL) project was established to develop a student and supervisor focused teaching and learning resource. By educating and engaging undergraduate and master’s-level students in open research principles and practices, the PaPOR TraIL project aims to provide a foundation in best scientific practice that benefits students, universities, and research as a whole.

Aims

This project aims to develop an open educational resource founded upon the principles and practices of open research, in order to promote and facilitate the teaching and learning of open research for undergraduate and master’s-level students in Ireland.

To inform the development of this resource, this study has three key objectives

1) To examine self-perceived knowledge, attitudes and experiences of open research among undergraduate and master’s-level students, and among research supervisors in Irish universities

2) To examine what students and supervisors deem to be useful in learning and practising open research

3) To pilot test the educational resource with students and supervisors and gain feedback to inform refinements and finalisation of the educational resource

Design

This study uses a convergent mixed methods design, with qualitative and quantitative data collected concurrently. Two
self-administered online surveys and interviewer-led, semi-structured online interviews and/or focus groups are being used to collect data from undergraduate and master’s-level students, and research supervisors, on their current self-perceived knowledge, attitudes and experiences of open research and on needs and preferences for teaching and learning resources. Data will be integrated via narrative synthesis, with quantitative and qualitative findings reported in separate sections within the same paper. The survey and interview topic guides are available as Extended data (Matvienko-Sikar et al., 2020).

Setting
Data will be collected across Irish Higher Education Institutes. Student recruitment and data collection will take place in University College Cork (UCC). Data from research supervisors will also be collected in UCC, with requests for research data collection also sent to seven other Irish higher level institutions (University of Limerick, National University of Ireland Galway, Dublin City University, University College Dublin, Trinity College Dublin, Technological University Dublin, and Maynooth University). The data collection will be predominantly online, due to on-going COVID-19 restrictions.

Participants and recruitment
Participants in this study are:

1) Students in UCC (aged 18 years and over) who are currently conducting or have conducted a research project as part of their undergraduate or master’s degree, or who have engaged in an extracurricular research project as part of a research team.

2) Academic or research staff members from UCC, University of Limerick, National University of Ireland Galway, Dublin City University, University College Dublin, Trinity College Dublin, Technological University Dublin, and Maynooth University (pending approval from higher education institutes), who have experience of supervising at least one undergraduate and/or master’s student research project to completion, within the last 2 years.

This study uses parallel sampling, with individual participants recruited from the same population pools (i.e., students and supervisors) participating in the qualitative and quantitative elements. Purposive sampling, utilising a maximum variation sampling approach is used to ensure a range of perspectives from students and supervisors across disciplines and at different educational levels. There are no exclusion criteria based on nationality or gender. There is also no exclusion criterion based on discipline of study because we are conscious that experiences, perceptions and support needs for open research may differ across disciplines and so an inclusive approach is adopted. Recruitment of undergraduate and master’s-level students in UCC is being conducted via a recruitment email sent to the entire student body. The email includes a link for the student survey and contact details to participate in a focus group or interview. It has been circulated to a body of approximately 18,000 students at the end of the 2019/2020 academic year and will be circulated again at the start of the 2020/2021 academic year.

Recruitment of research supervisors is via an email sent to all academic and research staff at the respective universities, where there is agreement to do so (e.g. from relevant offices, such as Teaching & Learning and Research Support Offices). The email includes a link for the supervisor survey and an invitation to participate in a focus group or interview, and the contact details of the research team should they wish to participate and/or have any further questions. Research supervisors will also be recruited via social media (e.g. Twitter) and through direct contact from research team members with academic and research staff in those universities. No sample size calculation was conducted for the survey, as this is a descriptive, exploratory study. For the qualitative data collection, it is estimated that interviews/focus groups will be conducted with approximately 20 staff and 30 student participants. Data collection and analysis will be conducted in an iterative manner and with an aim for data adequacy and a representative sample from different disciplines and educational level.

Data collection
In order to facilitate integration of topic areas during analysis of the quantitative and qualitative data, the surveys and the focus group/interview topic guides have been developed to address similar topics, and are based on a review of open research literature (Bezjak et al., 2018; Munafò et al., 2017; Toelch & Ostwald, 2018), and materials used to evaluate self-perceived open research knowledge, perceptions and experience among early career researchers (Zečević et al., 2020).

Surveys. Two self-administered online surveys will be used, with one survey for students and a second survey for research supervisors. Both surveys are comprised of four sections: 1) Demographics; 2) Knowledge; 3) Attitudes and 4) Preferences for learning resource types. The student survey demographic questions ask for student age, gender, year and discipline of study, and research experience; the supervisor survey demographics included university affiliation and experience of supervising undergraduate and master’s students. Student and supervisor self-perceived knowledge will be determined using 37 items that assessed 1) general perceived knowledge about open research, and 2) open research topics including data management, open access, knowledge dissemination, preregistration, and research integrity. Respondents will indicate their self-perceived knowledge by indicating agreement with statements about their open research knowledge using a five-point Likert scale from strongly agree to neutral and an ‘I don’t know’ option; items included “I have previously heard of the terms open research or open science”, “Open Research applies across fields and disciplines”. Attitudes about open research will be assessed using 26 items for all participants, and measured as for knowledge, using a 5-point Likert scale; items included “Open research can help me improve the quality of my research”. Preference for learning content will be elicited by asking students to indicate usefulness of content areas, with 8 content areas presented, including 1) an introduction to open research, 2) pre-registration, 3) research and data management, 4) research integrity, 5) open reporting, 6) research reproducibility, 7) knowledge dissemination, or 8) ‘other’; items were measured using a five-point scale from not at all useful, to very useful. Preferences for content delivery
will be elicited in the same manner, with 11 options including videos, templates, and examples of best practice; these are also measured using a Likert five-point scale from not at all useful, to very useful. A final question will ask participants to provide any additional information. The study surveys are included in Supplementary File 1.

Focus groups and interviews. One semi-structured topic guide each was developed for both student and supervisor focus groups and interviews. Focus groups were chosen as the initial primary data collection approach to generate group discussions among students and supervisors regarding self-perceived knowledge, attitudes and experiences of Open Research, as well as ideas about content and delivery of the open educational resource. Where focus groups cannot be facilitated, interviews are conducted. While interviews represent a distinct approach, they share similarities with focus groups in facilitating exploration of attitudes, beliefs and experiences in an in-depth manner. In the current study to date, interviews have been used as convening focus groups with students and supervisors have not been feasible given COVID-19 related restrictions and participants existing commitments. Similar to the student and supervisor surveys, the topic guide explores student and supervisor 1) self-perceived knowledge and attitudes of open research, 2) experience in applying open research practices, and 3) preferences and suggestions for appropriate learning resource types for students. Open questions to elicit opinions from participants are followed in the topic guides by additional prompts for each main question (Robson, 2011). Please see Supplementary File 2 for the full topic guide.

Analysis

Survey data. Descriptive statistics, including means and standard deviations of continuous variables, and frequencies of categorical variables, will be derived to examine supervisor and student levels of self-perceived knowledge and attitudes, as well as experiences and preferences for open research resources. Comparisons between groups (i.e. respondents from different disciplines) will be conducted, dependent on recruiting a final sample size that is appropriate for inferential statistics.

Interview/focus group data. The interview/focus group data will be analysed using inductive thematic analysis (Braun & Clarke, 2006). Analysis of initial transcripts will be conducted in conjunction with data collection, and the data will be analysed iteratively to achieve data adequacy (Braun & Clarke, 2019). Themes developed through inductive analysis of initial transcripts will serve as a framework for subsequent analyses, while also allowing scope for recognising and generating new themes. The inductive thematic analysis will be conducted using the qualitative analysis and data management software QSR NVivo V12. All data will be imported to NVivo and will be managed and coded in NVivo. The thematic analysis will be carried out in the six-phase, “iterative and recursive” way described by Braun and Clarke (Braun & Clarke, 2006; Terry et al., 2017). The six phases comprise: (1) familiarisation with the data, (2) code generation, (3) constructing themes, (4) reviewing themes, (5) defining and naming themes, (6) and writing up the final analysis (Terry et al., 2017). It is planned that coding will be conducted by one member of the research team. A second team member will check coding for approximately a quarter of the transcripts to ensure consistency, credibility, accuracy and appropriateness of coding (Nowell et al., 2017). Differences in interpretation will be discussed to reach consensus; where resolution cannot be reached, a third coder will be consulted. Coding and themes developed will also be discussed within the broader research team. Individual interview and focus group data collected for each population (students and supervisors) will be analysed separately, with similarities and differences between the mode of qualitative data collection noted, and the type of data collection will be noted when referencing specific extracts in results.

Data integration to inform development of the open education resource

The qualitative and quantitative data will be integrated via narrative synthesis, using a contiguous approach, in which the qualitative and quantitative findings are reported in separate sections within the same report (Fetters et al., 2013). Findings related to self-perceived knowledge of open research, and attitudes towards and experiences of open research will be grouped, as will the findings on student and supervisor preferences for content and delivery options within the resource. Similarities and differences between staff and supervisors, and between qualitative and quantitative data collection will also be presented. These findings will be used to inform the content and delivery of the open educational resource on the principles and practices of open research. For instance, the findings will guide depth and breadth of the resource content in terms of which aspects are reported as most important to students and supervisors and which aspects students/supervisors report least self-perceived knowledge of and/or perceive students to require most support for. The findings will also inform the delivery format based on student and supervisor preference.

Pilot testing

The PaPOR TRaIL open educational resource will be piloted and evaluated by students and supervisors once developed. Students and supervisors will engage with the open educational resource and work through the content sections at their own pace and will then be invited to provide informal feedback. This feedback will take the form of an audio recorded discussion with a member of the research team about their experience of using the module in terms of module usability, learning experience and learning outcomes (including awareness, understanding, self-perceived knowledge and practices of open research). Feedback from all students and supervisors who pilot test the open educational resource will be transcribed and narratively summarised. The aggregated feedback will then be used to guide any revisions needed to content, format and learning activities in order to finalise the open educational resource.

Research ethics approval and ethical considerations

Ethical approval for this study was granted by the Social Research Ethics Committee of University College Cork on 12/05/2020, Log 2020-080. Amended ethical approval to expand inclusion criteria for the research supervisor participant sample was granted by the same body on 19/08/2020, Log 2020-080A1. All
students and staff who participate in the quantitative and/or qualitative data collection are provided with full study information and provide informed consent prior to data collection (a model consent form is available as Extended data (Matvienko-Sikar et al., 2020)). Participant confidentiality will be maintained throughout the study. For instance, data collected using online surveys are completed anonymously and no identifying information is collected, ensuring that there is no means of tracing the survey responses to corresponding participants. Participant details required for conducting focus groups and interviews are stored separately to qualitative data collection and are deleted following data collection. Focus group/interview transcripts will be anonymised using participant codes to disguise the identity of participants, thus protecting their privacy. All transcripts will therefore be stored in the anonymised formats. Only project members will have access to study data, which will be collected and processed in line with the six principles of the European General Data Protection Regulations (GDPR), the Irish Data Protection Act 2018 and the UCC Data Protection policy.

Dissemination
A knowledge exchange and dissemination event will be held at the end of the project to share information about the developed open educational resource. This event will include students and research supervisors from Irish universities, as well appropriate representatives from research and teaching and learning offices within universities. The findings of the qualitative and quantitative data collection, and the overall development of the educational resource, will be reported in open-access peer-reviewed publications, and will be presented at conferences. The aggregated, anonymised, FAIRified study data will be made available upon publication of research articles via the Open Science Framework repository. This will include the anonymised quantitative datfile, the analysis plan, and any code used to analyse data. The qualitative data from focus groups/ interviews will be made available in an aggregated and anonymous way, in the form of coding queries generated using QSR NVivo qualitative analysis and data management software.

In addition, social media (e.g. Twitter) will be utilised to engage with the open research, and teaching and learning communities online, to disseminate information about the project and educational resource. Visual approaches to dissemination, such as infographics and short videos, will be utilised to maximise dissemination on these platforms. Finally, the educational resource developed will be an open educational resource and, as such, will be made openly available to all higher education institutes.

Study status
Data collection for this study has commenced and is on-going. Analysis of data has not yet commenced.

Discussion
Open research principles and practices are crucial to improving the reliability of research and to guiding and supporting responsible research cultures. Introducing open research to university students at undergraduate and master’s-level will improve the capacity of students to understand the importance of transparent, accountable research practices at every stage of the research process and will support the development of responsible research practices and more informed engagement with research and knowledge generation. Creating an open educational resource guided by student and research supervisors needs and preferences supports the development of a relevant and meaningful educational resource that facilitates student use and engagement. The findings of this study, and development of the open research educational resource will contribute to informing and supporting the teaching and learning of open research at a critical juncture in a students research journey.

Data availability
Underlying data
There are no underlying data associated with this project.

Extended data
Open Science Framework: Principles and Practices of Open research: Teaching, Research, Impact, and Learning (PaPOR TRaIL). https://doi.org/10.17605/OSF.IO/SJF32 (Matvienko-Sikar et al., 2020).

This project contains the following extended data:
- Supplemental-file-1-surveys.pdf
- Supplemental-file-2-Interview-Topic-Guides.pdf
- Supplemental-file-3-Consent-forms.pdf
- Supplemental-file-4-Information-sheets.pdf

Data are available under the terms of the Creative Commons Attribution 4.0 International license (CC-BY 4.0).

References
Allen C, Mehler DMA: Open science challenges, benefits and tips in early career and beyond. PLoS Biol. 2019; 17(5): e3000246. PubMed Abstract | Publisher Full Text | Free Full Text
Arza V, Fressoli M: Systematizing benefits of open science practices.
Information Services & Use. 2017; 37(4): 463-474. Publisher Full Text
Baker M: Reproducibility crisis. Nature. 2016; 533(26): 353-66.
Bezjak, S, Clyburne-Sherin, A, Conzett, P, et al.: The Open Science Training
Macleod MR, Michie S, Roberts I, Tóth-Czifra E, Toomey C, Zečević K, Houghton C, Noone C, et al.: Avoidable waste in the production and reporting of medical evidence. *Lancet*. 2009; 374(9683): 86-89. PubMed Abstract | Publisher Full Text

Herrera-Perez D, Haslam A, Crain T, Munafò MR, Nosek BA, Bishop DV, et al.: A manifesto for reproducible science. *Nature Human Behaviour*. 2017; 1(1): 0021. Publisher Full Text

Nowell LS, Norris JM, White DE, et al.: Thematic Analysis: Striving to Meet the Trustworthiness Criteria. *Int J Qual Methods*. 2017; 16(1): 1-13. Publisher Full Text

Prasad V, Vandross A, Toomey C: A decade of reversal: an analysis of 146 contradicted medical practices. *Mayo Clin Proc*. 2013; 88(8): 790-798. PubMed Abstract | Publisher Full Text

Strand JF, Brown VA: Publishing Open, Reproducible Research With Undergraduates. *Front Psychol*. 2019; 10: 564. PubMed Abstract | Publisher Full Text | Free Full Text

Toelch U, Ostwald D: Digital open science—Teaching digital tools for reproducible and transparent research. *PLoS Biol*. 2018; 16(7): e2006022. PubMed Abstract | Publisher Full Text | Free Full Text

Wouters P, Haak W: Open data: The researcher perspective. *Leiden University/Elsevier*. Retrieved April, 2020, 2017. Reference Source

Zečević K, Houghton C, Noone C, et al.: Exploring factors that influence the practice of Open Science by early career health researchers: A mixed methods study (version 1; peer review: awaiting peer review). *HRB Open Res*. 2020; 3: 56. Publisher Full Text

Marcus A, Oransky I: What studies of retractions tell us. *J Microbiol Biol Educ*. 2014; 15(2): 151-154. PubMed Abstract | Publisher Full Text | Free Full Text

Matvienko-Sikar T, Robin, Egan, S, et al.: Principles and Practices of Open Research: Teaching, Research, Impact and Learning (PaPOR TRaIL). 2020. http://www.doi.org/10.17605/OSF.IO/SJF32

McKiernan EC, Bourne PE, Brown CT, et al.: How open science helps researchers succeed. *Elife*. 2016; 5: e16800. PubMed Abstract | Publisher Full Text | Free Full Text

Peels R, Bouter L: The possibility and desirability of replication in the humanities. *Palgrave Commun*. 2018; 4(1): 95. Publisher Full Text

Robson C: Real World Research: A resource for social scientists and practitioner-researchers (3rd ed.). Malden, MA: Blackwell Publishing. 2011. Reference Source
Open Peer Review

Current Peer Review Status: ✔ ✔

Version 1

Reviewer Report 14 December 2020

https://doi.org/10.21956/hrbopenres.14296.r28350

© 2020 Tasić L. This is an open access peer review report distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Ljiljana Tasić
Faculty of Pharmacy, Department of Social pharmacy and Pharmaceutical legislation, University of Belgrade, Belgrade, Serbia

This manuscript is well written with core details of the study that are included in this protocol of the Project titled: Principles and Practices of Open Research: Teaching, Research, Impact, and Learning (PaPOR TRaIL).

The Open Educational Resource (OER) project founded upon the principles and practices of open research, in order to promote and facilitate the teaching and learning of open research for undergraduate and master's-level students in Ireland; from the protocol and expected results, the impact and value of Project should be recognized not only at national (Ireland) but at international level, as well. This PaPOR TRIAL Project with Protocol presented in manuscript will be resulted with supportive module of integrative course during the complex process of the teaching and learning of researchers/scientist beginner at a critical juncture in their career journey.

I suggest to authors to consider of academic and research environment as set of social and cultural context in which research occurs, as important for the student's research journey. Some interesting and valuable detail you can find in MacLeod et al1.

My reflection, during the reading the manuscript, is mainly from the biomedical and health science perspective, were the open science principles and practice promulgated research capacity, recognizable from number of publishing articles, books etc. The principles of open research are consistent across disciplines, and open research practices can be implemented differently across disciplines. During the Pilot testing, that is the third step in study protocol, should be useful to collect and analyzed the students and supervisors feedback from across disciplines to enhancing the transparency and robustness of research.

All over, above comments, consider as open discussion with peers.

I'm really happy to read this a good manuscript and supportive to education society and
academia, to improve open research practice with new education resources, and looking forward to assessing the OER, to read further publication and follow up the project in the future and expected the great impact.

References
1. Macleod M, Michie S, Roberts I, Dirnagl U, et al.: Biomedical research: increasing value, reducing waste. *The Lancet*. 2014; 383 (9912): 101-104 Publisher Full Text

Is the rationale for, and objectives of, the study clearly described?
Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Health system, Education, Pharmacy Practice research, Rational medicine use

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Sam Parsons
Department of Experimental Psychology, New Radcliffe House, Radcliffe Observatory Quarter, University of Oxford, Oxford, UK

I was very excited to read this manuscript. The authors describe the protocol for the PaPOR TRaIL (Principles and Practices of Open Research: Teaching, Research, Impact, and Learning) project, which takes a mixed methods approach to explore the open research content most applicable to undergraduate and masters students. I was particularly impressed that one of the key outcomes is the development of an open educational resource that the wider community can benefit from.

During my reading of the manuscript, and writing of this review, I have kept in mind conversations
I have had with educators of open research as part of the FORRT project (Framework for Open and Reproducible Research Training). FORRT and PaPOR TRaIL have overlapping goals, and I wanted to begin this review by commenting on how important I believe open educational resources are, and therefore how encouraged I am by the PaPOR TRaIL project. Outside of this review, it would be good to explore the overlaps between projects and how they might benefit one and another. While I don’t see this as a conflict of interest, I think it is worth noting up front.

One aspect of the paper that I was not entirely clear on until later on in the paper was the target audience of the educational resource. Perhaps I came with my own preconceptions of what the project and the OER would entail. But, initially I thought that the OER would be a general resource for undergraduates and masters students to impart knowledge of Open Research – for instance to be delivered as part of teaching rather than as part of a research project. Reading further, and reflecting on the participants, my reading is that the resource would be aimed at students and supervisors currently working on research projects. I wonder if this would miss an ideal time to learn about Open Research – before conducting research. More importantly, if this is the case, then I wonder if it could be made clearer earlier on that this will be the target audience for the OER? If, however, the OER is more general – for instance, so that instructors could use it as part of a methods course to teach open research practices – then I wonder if there are some stakeholders missing from the discussions. For instance, if instructors are expected to deliver the OER, then perspectives on how it could be incorporated into other courses or delivered in such a way as to not overly burden them would be valuable additions to the project. It may also be valuable to seek wider input from other instructors during the pilot phase of the OER (this is something the FORRT community could also facilitate).

Overall, the manuscript does an excellent job of describing the details of the project. I particularly like the use of Open Research as being more inclusive than Open Science. I only have a few comments on other details that the reader might benefit from.

1. The lack of resources aimed at undergraduates and masters students is important to consider. The authors mention that this is particularly the case in Ireland. Are there reports of institutions that cover open research educational resources? Or could the authors clarify that this is from their collective assessments of the landscape of their institutions?

2. I agree that open research supports the democratisation of research knowledge, and has the power to increase the equity of the entire research endeavour (though this will depend on the degree to which this is the intention versus an outcome we merely hope for – there have been several discussions about how the Open Research community and many prominent members reflect the existing lack of diversity and there is much to do in this area). With respect to this, I wonder if the authors could reflect and comment here or when disseminating the OER how applicable it is to other countries, contexts, and communities, as well as a range of research areas. It may also be worth reflecting on who is represented in the topics and resources covered in the OER to maximise representation. This is not a critical point of what the authors have included and this may already be an important consideration, but it is worth raising as materials are developed.

3. Is there a proposed date for the end of data collection?

4. I wonder if joint discussions with supervisors and their students when piloting the OER would be useful?
I have two other comments about the project more broadly that may not apply to revisions of this manuscript directly.

1. This would likely be outside of the scope of the project. Yet, I can’t help think the perfect outcome to assess would be the students research project write-up. An assessment of the open research practices included in the studies themselves would provide information on how the OER has influenced actual practices, as well as understanding of the practices.

2. This is perhaps more of a thought for the final analyses. I wonder if and how the authors could account for varying prior knowledge of open research practices. In so far as greater knowledge about these practices should help students and supervisors pinpoint the practices they need to know more about (both for the students enacting them and the supervisors supporting/teaching them). Whereas, low knowledge of these practices may lead to little potential for reflection on how their research could have improved with those practices.

The PaPOR TRaIL promises to make several important pedagogical contributions. These very minor points aside (some of which are more applicable to the future work on this project rather than any issues I have with the manuscript), this manuscript is very well written and extremely detailed. All of the core details of the study are included in this protocol and the project is set up to be rigorous and informative. The aims are clearly stated and the methods match them nicely. I, and I suspect many others, are looking forward to accessing the OER and reading more about the project in the future.

In the interest of openness I always sign my reviews,
Sam Parsons

Is the rationale for, and objectives of, the study clearly described?
Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Open research, affective cognition, psychometrics.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.