Inviting parents to take part in paediatric palliative care research: A mixed-methods examination of selection bias

Joanna C Crocker1,2, Emma Beecham1,3, Paula Kelly1,4, Andrew P Dinsdale1, June Hemsley1, Louise Jones3 and Myra Bluebond-Langner1,5

Abstract

Background: Recruitment to paediatric palliative care research is challenging, with high rates of non-invitation of eligible families by clinicians. The impact on sample characteristics is unknown.

Aim: To investigate, using mixed methods, non-invitation of eligible families and ensuing selection bias in an interview study about parents’ experiences of advance care planning (ACP).

Design: We examined differences between eligible families invited and not invited to participate by clinicians using (1) field notes of discussions with clinicians during the invitation phase and (2) anonymised information from the service’s clinical database.

Setting: Families were eligible for the ACP study if their child was receiving care from a UK-based tertiary palliative care service (Group A; N = 519) or had died 6–10 months previously having received care from the service (Group B; N = 73).

Results: Rates of non-invitation to the ACP study were high. A total of 28 (5.4%) Group A families and 21 (28.8%) Group B families (p < 0.0005) were invited. Family–clinician relationship appeared to be a key factor associated qualitatively with invitation in both groups. In Group A, out-of-hours contact with family was statistically associated with invitation (adjusted odds ratio 5.46 (95% confidence interval 2.13–14.00); p < 0.0005). Qualitative findings also indicated that clinicians’ perceptions of families’ wellbeing, circumstances, characteristics, engagement with clinicians and anticipated reaction to invitation influenced invitation.

Conclusion: We found evidence of selective invitation practices that could bias research findings. Non-invitation and selection bias should be considered, assessed and reported in palliative care studies.

Keywords

Palliative care, child, paediatrics, patient selection, selection bias, research design

What is already known about the topic?

- Recruitment is challenging in palliative care research, in part due to professional gate-keeping.
- This may result in selection bias, which can influence the external validity of study findings.
- Despite these potential consequences, the nature and degree of selection bias is rarely examined or reported.

What this paper adds?

- Identifies key factors which may give rise to low invitation rates and selection bias in paediatric palliative care research, and provides a model for how these factors interact.
- Demonstrates the utility and efficacy of a mixed-method approach to investigating selection bias due to non-invitation.

1Louis Dundas Centre for Children’s Palliative Care, Great Ormond Street Hospital, UCL Institute of Child Health, London, UK
2Health Experiences Institute, University of Oxford, Oxford, UK
3Marie Curie Palliative Care Research Unit, UCL Division of Psychiatry, London, UK
4Florence Nightingale School of Nursing and Midwifery, King’s College London, London, UK
5Department of Sociology, Anthropology and Criminal Justice, Rutgers University, Camden, NJ, USA

Corresponding author:
Myra Bluebond-Langner, Louis Dundas Centre for Children’s Palliative Care, Great Ormond Street Hospital, UCL Institute of Child Health, 30 Guilford Street, London WC1N 1EH, UK.
Email: bluebond@ucl.ac.uk
Introduction

High-quality research is needed to inform best practice in palliative care.1 However, research quality and applicability may be limited by challenges to successful recruitment, not only due to moderate response rates, but also significant rates of non-invitation to participate.2–4

Non-invitation of some patients who meet the eligibility criteria described in a study protocol is sometimes referred to as ‘gate-keeping’.5 Gate-keeping, while sometimes unavoidable, may result in selection bias, where invited patients differ systematically from non-invited eligible patients. The external validity of the findings may therefore be compromised.6,7 Furthermore, gate-keeping may reduce the sample size or increase the time required for recruitment.6 These effects can compromise the value and applicability of the research to policy and practice.

While non-response bias in paediatric palliative care is beginning to be investigated,8 knowledge of non-response bias is of limited use if the sampling frame itself is biased by selective invitation. Despite concern about this potential selection bias,3 the nature and degree of such bias are largely unknown.

In this article, we examine the selective invitation of eligible families to participate in paediatric palliative care research using mixed methods. Our case study is a pilot interview study about advance care planning (ACP) for children with a life-limiting condition (the ‘ACP study’), in which the sampling frame was large, yet invitation rates were low. The findings from the ACP study itself will be reported elsewhere. We demonstrate the use of routinely collected clinical data to examine statistical differences between invited families and non-invited families. We define ‘invitation rate’ and ‘non-invitation rate’ as the proportion of eligible patients approached and not approached about the study, respectively.

Background information about the ACP study

The ACP study sample was drawn from the caseload of a UK tertiary referral centre for children’s palliative care, comprising patients with a diverse range of malignant and non-malignant conditions, ethnic and socioeconomic backgrounds, and ages (0–19 years). As a specialist referral service with an extensive outreach programme, the team of clinicians works collaboratively with multiple services and other institutions to support children and their families in various care settings (home, hospice, tertiary children’s hospital and local hospitals). The ACP study was designed with input from the clinicians in the service.

Potential participants eligible for inclusion were parents with a living child receiving palliative care services from the clinical team (Group A), and bereaved parents of a child who had received care from the clinical team and died 6–10 months previously (Group B). Parents were ineligible if they were (1) participating in another research study or had completed participation within the last 6 months (this was later revised to include only psychosocial research) or (2) unable to give informed consent.

A total of 14 clinicians, including medical and nursing members of the palliative care service, were available to approach eligible families between December 2011 and December 2012 to invite them to participate in the ACP study. This was the first time many members of the service had been asked to approach families for a research study. Adhering to the processes approved by the responsible ethics committee, initially clinicians approached Group A families during routine contact (e.g. telephone call or face-to-face visit). They were asked to give families a brief verbal introduction to the ACP study and offer them an information pack. Parents could then contact the research team for further information. Due to the lack of regular contact with families beyond 6 months’ bereavement, clinicians were asked to mail information packs to bereaved families with a personal covering letter. From August 2012 (9 months into recruitment), clinicians could also approach Group A families via mail if they preferred. Invitations were registered on the clinical team’s electronic database. Invitations continued until at least six families had agreed to take part in each group: the invitation period spanned December 2011–December 2012 for Group A and January–June 2012 for Group B.

All clinicians were trained at the start of the recruitment period via an interactive presentation led by the research team, who were already known to them. Following this, the research team arranged regular meetings with clinicians to discuss their experiences and any concerns, updated clinicians on recruitment progress and thanked them for any invitations during weekly team meetings, displayed reminder posters in the clinical team office and provided pocket guides to approaching families. The clinical data manager (A.D.) created an automatic pop-up message which appeared when clinicians opened an eligible living patient’s electronic record, and identified and printed a list

| Implications for practice, theory or policy |
|-------------------------------------------|
| • Researchers should assess and report non-invitation rates and selection bias wherever possible. |
| • Anonymised, routinely collected clinical data combined with qualitative study of invitation practices is an effective method for detecting non-random invitation to participate. |
of eligible bereaved families each month, which was displayed in the clinical team office. In response to low invitation rates, an ‘opt-out’ policy was promoted by the lead nurse (J.H.) from 3 months into recruitment, to encourage clinicians to approach all eligible families; this was supported by introducing protected time during clinical team meetings to discuss approaching families identified as eligible.

**Methods**

**Data collection and analysis**

Two datasets were utilised to investigate differences between families invited and not invited to the ACP study. Dataset 1 consisted of ethnographic field notes recorded prospectively by two researchers (J.C. and E.B.) during the invitation period, including 88 individual conversations between researchers and clinicians, 29 clinical team meetings and 3 research seminars (held jointly with clinical and research teams). The anonymised field notes included clinicians’ views on and experiences of inviting families to take part in the ACP study.

Field notes were analysed thematically: (1) A researcher (J.C.) coded them inductively with respect to factors potentially associated with invitation and non-invitation of families. (2) The coding framework was discussed, revised and re-implemented by the research team (J.C., E.B. and P.K.). (3) A second researcher (E.B.) independently coded 20% of the field notes; comparison with the original coding led to further refinement of the framework and re-coding of the dataset. (4) A researcher (J.C.) also indexed the dataset for references to Group A versus Group B families, blind to the previous coding. (5) Two researchers (J.C. and E.B.) compared Group A and B within each category of the coding framework, identifying similarities and differences between the two groups. All coding and indexing were carried out using QSR NVivo 10 software.

Dataset 2 consisted of anonymised, individual-level quantitative information extracted from the clinical team’s electronic database about the families who met the inclusion criteria for Groups A and B, respectively, according to the clinical team database. Of these patients, clinicians invited the parents of 28 deceased patients met the inclusion criteria for Groups A and B, respectively, according to the clinic- team database. Of these patients, clinicians invited the parents of 28 deceased patients (5.4%) in Group A and 21 (28.8%) in Group B (p < 0.0005). Each clinician (N=14) invited 1–31 (median 2) families. The Group A invitation rate did not increase after introduction of a mail option for approaching families (4.2% before vs 2.7% after; p = 0.2).

**Factors affecting invitation and non-invitation**

Thematic analysis of Dataset 1 revealed three sets of factors which influenced clinicians’ decisions to invite or not invite families to the ACP study: (1) **family factors**, relating to clinicians’ perceptions of families and families’ worlds; (2) **family–clinician contact and relationship**, relating to clinicians’ perceptions of their interactions with families; and (3) **clinician factors**, relating to clinicians’ perceptions of themselves and their own worlds. These were interrelated (Figure 1).
| Variable name | Description | Type/format and response categories | Reason for inclusion |
|---------------|-------------|-------------------------------------|---------------------|
| invitation status | Whether or not the patient’s parent(s) was invited to take part | Binary variable (‘invited’ or ‘not invited’) | Outcome of interest |
| Age | Patient’s age at the start of recruitment period (Group A) or death (Group B) | Continuous variable, rounded to the nearest month if under 1 year or to the nearest year if over 1 year (to protect patient identity) | Basic demographic information; possible confounding factor |
| Gender | Patient’s gender | Binary variable (‘male’ or ‘female’) | Basic demographic information; possible confounding factor |
| Ethnicity | Patient’s ethnicity | Binary variable (‘White British/UK’ or ‘Other’) | Basic demographic information; possible confounding factor. Quantitative research revealed an association between ethnicity and participation in a paediatric palliative care study. Due to considerations of data quality and patient privacy, we were unable to break down ‘Other’ into meaningful categories |
| Diagnosis | Patient’s diagnosis | Binary variable (‘malignant’ or ‘non-malignant’) | Patients with malignant and non-malignant disease are referred to the palliative care service via different routes and are managed differently by the service. Due to considerations of data quality and patient privacy, we were unable to break down these categories into meaningful sub-groups |
| Time between referral to the service and study eligibility (Group A) or death (Group B) | In months | Continuous variable, rounded to the nearest month (to protect patient identity) | Qualitative research suggests clinician’s knowledge of and/or relationship with patients influences invitation to clinical trials, paediatric and palliative care research. In the ACP study, contact during eligibility periods constituted a direct opportunity for invitation |
| Total family contact time with the palliative care service during eligibility period (Group A) | In hours, including face-to-face visits and telephone calls | Continuous variable | |
| Number of days of contact with the palliative care service during eligibility period (Group A) | Including face-to-face visits and telephone calls | Continuous variable | |
| Total family contact time with the palliative care service 12 months before patient eligibility (Group A) | In hours, including face-to-face visits and telephone calls | Continuous variable | |
| Number of days of contact with the palliative care service 12 months before patient eligibility (Group A) | Including face-to-face visits and telephone calls | Continuous variable | |
| Total family OOH telephone contact time with the palliative care service during patient’s eligibility period (Group A) | In hours (OOH = out-of-hours) | Continuous variable | |
| Number of days of OOH telephone contact with the palliative care service during patient’s eligibility period (Group A) | OOH = out-of-hours | Continuous variable | |
Table 1. (Continued)

| Variable name                                                                 | Description                                                                 | Type/format and response categories | Reason for inclusion |
|------------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------------------------------|---------------------|
| Total family OOH telephone contact time with the palliative care service     | In hours (OOH = out-of-hours)³                                               | Continuous variable                 | As above            |
| 12 months before patient eligibility (Group A)                               |                                                                              |                                     |                     |
| Number of days of OOH telephone contact with the palliative care service     | OOH = out-of-hours³                                                         | Continuous variable                 |                     |
| 12 months before patient eligibility (Group A)                               |                                                                              |                                     |                     |
| Total family contact time with the palliative care service 12 months         | In hours, including face-to-face visits and telephone calls                  | Continuous variable                 |                     |
| before patient death (Group A)                                               |                                                                              |                                     |                     |
| Number of days of contact with the palliative care service 12 months         | Including face-to-face visits and telephone calls                            | Continuous variable                 |                     |
| before patient death (Group B)                                               |                                                                              |                                     |                     |
| Total family OOH telephone contact time with the palliative care service     | In hours (OOH = out-of-hours)³                                               | Continuous variable                 |                     |
| 12 months before patient death (Group B)                                     |                                                                              |                                     |                     |
| Number of days of OOH telephone contact with the palliative care service 12 | OOH = out-of-hours³                                                         | Continuous variable                 |                     |
| months before patient death (Group B)                                        |                                                                              |                                     |                     |
| Total family contact time with the palliative care team 0–6 months post     | In hours, including face-to-face visits and telephone calls                  | Continuous variable                 |                     |
| death (Group B)                                                              |                                                                              |                                     |                     |
| Total family contact time with the palliative care team 6–10 months post    | In hours, including face-to-face visits and telephone calls                  | Continuous variable                 |                     |
| death, that is, during eligibility period (Group B)                          |                                                                              |                                     |                     |

³Out-of-hours contact with the palliative care service is initiated by parents during weekday nights (6 p.m.–8 a.m.) and weekends. In this dataset, it constituted 4.5% and 4.3% of the total contact between families and the palliative care service during eligibility period and 12 months prior, respectively.

Figure 1. Factors influencing invitation and non-invitation of families by clinicians.
Table 2. Perceived family factors associated with invitation or non-invitation in Group A and Group B (Dataset 1).

| Factor                        | Description                                                                 | Excerpt from field notes                                                                 |
|-------------------------------|-----------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| Wellbeing and circumstances   | • Parent’s emotional, mental or physical condition                           | 'She [clinician] does not want to approach one family because she did not know parent well and remembers they were very stressed' (Group B) |
|                               | • Patient stability/instability and proximity to death (Group A only)         | 'She [clinician] will consider inviting them [parents] next week when they will come back to have patient’s line taken out. It depends on the results of the scan which are due before then and may be distressing for the parents' (Group A) |
|                               | • Extraneous family circumstances                                           |                                                                                          |
|                               | • Availability and adequacy of psychological support                         |                                                                                          |
| Characteristics               | • Persona, for example, ‘lovely’, ‘difficult’                                | 'Clinician] says the parent would be great as she is “very articulate” and would be very good at explaining why she made a decision’ (Group B) |
|                               | • Language and communication skills                                          |                                                                                          |
|                               | • Literacy                                                                   |                                                                                          |
|                               | • Experience relevant to study                                               |                                                                                          |
|                               | • Previously expressed willingness to take part in research/help others      |                                                                                          |
|                               | • Location within/outside service catchment area                             |                                                                                          |
| Engagement and communication  | • Willingness to engage with healthcare professionals                         | '.. [family] have asked for palliative care involvement and emergency care planning, so [clinician] thinks they would be good for ACP project’ (Group A) |
| with healthcare professionals | • Responsiveness to attempts to contact family                                |                                                                                          |
| Anticipated reaction to       | • Distressed/upset                                                            | 'Clinician] says today will not be a good time to invite them [family] as she will be discussing the patient’s Emergency Care Plan – this is likely to be difficult for the family and she thinks they would probably just throw the information pack in the bin’ (Group A) |
| invitation                    | • Annoyed                                                                    |                                                                                          |
|                               | • Not interested                                                             |                                                                                          |

Family factors. In Dataset 1, several family factors were associated qualitatively with invitation and non-invitation: wellbeing, circumstances, characteristics, engagement with healthcare professionals and anticipated reaction to invitation (Table 2). In addition, on three occasions, families were deemed ineligible to take part because they were participating in other research. In Dataset 2, there was no significant difference between families invited and not invited in terms of the patient’s age, gender, ethnicity, diagnosis or time since referral to the service (Tables 3 and 5), and none of these factors appeared influential in Dataset 1.

Family–clinician contact and relationship. Contact between the clinical team and families was a key factor associated with invitation to Group A in Dataset 1, even after the mail option for approaching families had been introduced. For example, one clinician commented that ‘although [research ethics committee] have approved inviting Group A by post … she would never want to send out a cold letter’. This desire for contact before inviting parents of living children was sometimes associated with the following: (1) deferring/waiting until the next contact, which was not necessarily predictable; (2) forgetting to introduce the study; (3) coincidence with a ‘difficult conversation’ (both actual and anticipated) or a period of patient instability or crisis, such that invitation was perceived to be ‘inappropriate’; (4) aborting the invitation when the subject of research was broached, due to perceived ‘negative signals’ from the family.

Another related factor to emerge from the field notes (Dataset 1) was how the clinicians characterised their relationships with families. Clinicians appeared reluctant to invite families they had a strained or new relationship with, preferring to invite families that they had a ‘good’ relationship with and/or knew well. For example, one clinician ‘seemed happy to post out a couple of packs to families she felt she had a good relationship with’. Another deferred inviting a family because ‘she has only met the family once and “needs to build a relationship” with them before introducing the study’. Accordingly, invited families had had more contact with the palliative care team before becoming eligible (Group A) or before patient death (Group B) than non-invited families (Tables 3 and 5), although in Group B this association did not attain statistical significance.

Consistent with these observations, on multivariable analysis, there was a strong statistical association between out-of-hours family–clinician contact while families were eligible and invitation in Group A (Table 4). The total amount of family–clinician contact during eligibility was also positively associated with Group A invitation, although this did not attain statistical significance (Table 4). In Group B, none
Table 3. Univariate analyses of parent invitation to Group A (N=519).

| Variable                              | Invited (N=28) | Not invited (N=491) | p value | Missing data |
|---------------------------------------|----------------|---------------------|---------|--------------|
| Patient's age at start of recruitment period (years) – median (IQR) | 4.5 (0.7–13)   | 4 (0.6–10)          | 0.46    | 0 (0.0%)     |
| Patient's ethnicity                   |                |                     |         |              |
| White British/UK                      | 7/17 (41.2%)   | 125/375 (33.3%)     | 0.50    | 127 (24.5%)  |
| Other                                 | 10/17 (58.8%)  | 250/375 (66.7%)     |         |              |
| Patient's gender                      |                |                     |         |              |
| Male                                  | 14/28 (50.0%)  | 245/490 (50.0%)     | >0.99   | 1 (0.2%)     |
| Female                                | 14/28 (50.0%)  | 245/490 (50.0%)     |         |              |
| Patient's diagnosis                   |                |                     |         |              |
| Malignant                             | 38/491 (7.7%)  | 0.48                | 0 (0.0%)|
| Non-malignant                         | 453/491 (92.3%)|                    |         |              |
| Time between referral to service and start of eligibility period (months)\(^b\) – median (IQR) | 2 (0–16)       | 4 (0–21)            | 0.92    | 0 (0.0%)     |
| Total family contact during eligibility period (hours)\(^b\) – median (IQR) | 7.5 (3.0–16.7) | 1.0 (0.0–3.4)      | <0.0005 | 0 (0.0%)     |
| Total family contact 12 months before eligible (hours)\(^b\) – median (IQR) | 2.1 (0.0–6.6)  | 0.0 (0.0–1.5)      | <0.0005 | 0 (0.0%)     |
| Total OOH family contact during eligibility period (hours)\(^b\) – median (IQR) | 0.2 (0.0–0.7)  | 0.0 (0.0–0.0)      | <0.0005 | 0 (0.0%)     |
| Total OOH family contact 12 months before eligible (hours)\(^b\) – median (IQR) | 0.0 (0.0–0.2)  | 0.0 (0.0–0.0)      | 0.001   | 0 (0.0%)     |

IQR: interquartile range; OOH: out-of-hours.
\(^a\)Due to there being fewer than 5 patients per cell in the malignant group, these numbers have been suppressed to preserve patient anonymity.
\(^b\)The number of days of contact and out-of-hours contact during eligibility and 12 months prior were also included in the univariate analyses, but due to their strong correlation with the equivalent total contact time variables (Spearman's \(r\) > 0.96; \(p<0.001\)), these variables were excluded from the multivariable analysis in favour of the more precise contact time.

Table 4. Multivariable analysis of parent invitation to Group A (N=519).

| Variable in model                              | Crude odds ratio (95% CI) | Adjusted odds ratio (95% CI) | p value |
|-----------------------------------------------|---------------------------|------------------------------|---------|
| Total family contact during eligibility period (hours) | 1.11 (1.06–1.17)         | 1.05 (1.00–1.10)             | 0.07    |
| Total family contact 12 months before eligible (hours) | 1.08 (1.04–1.12)         | 1.04 (0.99–1.10)             | 0.15    |
| Some OOH contact during eligibility period (yes/no) | 9.45 (4.25–21.04)        | 5.46 (2.13–14.00)            | <0.0005 |
| Some OOH contact 12 months before eligible (yes/no) | 4.21 (1.68–10.57)        | 0.86 (0.22–3.46)             | 0.84    |

OOH: out-of-hours; CI: confidence interval.
Nagelkerke \(R^2 = 0.21\).

of the contact variables were statistically significantly associated with invitation on univariate analysis, and only one qualified for multivariable analysis (Table 5). Group B families were much less likely to have had contact with the palliative care team while eligible for invitation compared to Group A families (5.5% vs 63.6%, respectively; \(p<0.0005\)).

Clinician factors. Clinician factors that appeared to influence invitation in Dataset 1 included the following: available time, forgetting/remembering to introduce study, shared or changing responsibility for patient care, comfort/discomfort with postal mode of invitation, perceived benefit of study to patient/family and confidence in inviting families (Figure 1). For example, with regard to inviting parents of living children, one clinician spoke of her fear that ‘inviting families at the wrong time could jeopardise her clinical relationship with them, undoing everything that has been built so far’. Clinicians’ time and confidence were issues in inviting bereaved families too. One clinician preferred to call bereaved families before posting invitations because ‘she feels she needs to talk to them rather than just inviting them to take part’. However, this took time (‘about half an hour’) and sometimes delayed invitations. For others, there was discomfort and hence delay when they had not been in contact with the family for some time.

Discussion

Our report increases understanding of the nature, effects and complexity of issues surrounding the recruitment of participants to research in paediatric palliative care. In our exemplar, the ACP study, the proportion of eligible
families invited to participate was unexpectedly low, particularly among families of living children (Group A) compared to deceased children (Group B).

Our findings suggest that family–clinician relationship was a key factor influencing invitation. Families with whom clinicians had out-of-hours contact, knew well and/or felt they had a ‘good’ relationship appeared more likely to be invited. These relationships may have seemed more robust and therefore less likely to be jeopardised by an invitation to take part in research. One reason for the higher invitation rate in Group B could be the cessation of the therapeutic relationship following patient death, leading to a perception that invitation was less risky.

Clinicians’ perception of families’ wellbeing and circumstances also appeared to play an important role; within this category, patient instability and proximity to death were identified as barriers to invitation unique to Group A and could further explain the lower invitation rate in this group. Furthermore, family experience relevant to the study was identified as a facilitator to invitation; this may have led to some Group A families being excluded due to a perceived lack of experience of ACP.

Our qualitative findings also indicate strong differences between clinicians in their approach to invitation, suggesting that clinician characteristics may be important predictors of invitation independent of family characteristics. We were unable to investigate this quantitatively due to the overlapping and frequently changing nature of clinicians’ caseloads.

Our findings, if replicated in similar projects, have implications for the validity and applicability of research. In quantitative research, such differences between those invited and not invited would indicate an unrepresentative sample and might limit the generalisability of the findings. Qualitative studies, by contrast, often benefit from purposive or theoretical sampling of ‘information-rich’ cases, and bias can be reduced by incorporating a wide range of different perspectives. In the ACP study, the clinicians’ selective invitation of families perceived to have good communication skills, for example, may have provided rich data at the individual participant level; however, the exclusion of families perceived to lack these skills may have led to an absence of diverse perspectives.

Many studies in palliative care are hampered by low rates of invitation. Hinds et al. reported that in prospective studies about end-of-life decision making for children with cancer, up to 27% of eligible families were placed in a ‘do-not-approach’ category by clinicians, and a ‘missed opportunity’ rate of 54.9% was reported. The proportion of families placed in a ‘do-not-approach’ category was also higher in prospective studies where the child was still living compared to retrospective studies where the child was deceased.

Similar factors contributing to clinician gate-keeping in research have been reported internationally, including concerns about impact on family- or patient-professional relationships, patient/family wellbeing or burden, family ‘type’, disease prognosis or

---

**Table 5.** Univariate analyses of parent invitation to Group B (N=73).

|                                | Invited (N=21) | Not invited (N=52) | p value | Missing data |
|--------------------------------|----------------|--------------------|---------|--------------|
| Patient’s age at death (years) – median (IQR) | 5 (0.8–11) | 3 (0.8–11) | 0.80 | 0 (0.0%) |
| Patient’s ethnicity           |                |                    |         |              |
| White British/UK              | 7/15 (46.7%)   | 18/42 (42.9%)     | 0.80   | 16 (21.9%)   |
| Other                         | 8/15 (53.3%)   | 24/42 (57.1%)     |        |              |
| Patient’s gender              |                |                    |         |              |
| Male                          | 11/21 (52.4%)  | 34/52 (65.4%)     | 0.30   | 0 (0.0%)     |
| Female                        | 10/21 (47.6%)  | 18/52 (34.6%)     |        |              |
| Patient’s diagnosis           |                |                    |         |              |
| Malignant                     | 6/21 (28.6%)   | 19/52 (36.5%)     | 0.52   | 0 (0.0%)     |
| Non-malignant                 | 15/21 (71.4%)  | 33/52 (63.5%)     |        |              |
| Time between referral and patient death (months) – median (IQR) | 1 (0.5–9.5) | 5 (1–12.5) | 0.27 | 0 (0.0%) |
| Total family contact during eligibility period (6–10 months post death) (hours) – median (IQR) | 0.0 (0.0–0.0) | 0.0 (0.0–0.0) | 0.83 | 0 (0.0%) |
| Total family contact 0–6 months post death (hours) – median (IQR) | 0.2 (0.0–0.7) | 0.0 (0.0–1.5) | 0.99 | 0 (0.0%) |
| Total family contact 12 months before death (hours) – median (IQR) | 5.7 (2.4–18.9) | 3.9 (1.3–12.7) | 0.13 | 0 (0.0%) |
| Total OOH family contact 12 months before death (hours) – median (IQR) | 0.0 (0.0–1.5) | 0.0 (0.0–0.6) | 0.39 | 0 (0.0%) |

IQR: interquartile range; OOH: out-of-hours.
proximity to death,\textsuperscript{3} anticipated refusal,\textsuperscript{10,12,21} time constraints,\textsuperscript{11,12,14,19,21,24} forgetting to ask,\textsuperscript{2,17} and doubts about participant benefit.\textsuperscript{10,25,26} Other factors identified in the literature (e.g. clinicians’ research experience and gender\textsuperscript{29}) could not be assessed, given the characteristics of our cohort of clinicians.

A primary strength of our article is the use of mixed methods. By using an ethnographic prospective approach, we could identify factors influencing invitation and consider how they interact. This was complemented by quantitative data on invitation practice using anonymised, routinely collected clinical data. However, we were unable to study some potentially important variables such as parent demographics, first language and education, as these were unavailable. Also lacking was information regarding families’ participation in other research; we could not, therefore, identify and exclude all ineligible patients. Our field notes suggest that such patients constituted a small proportion of the dataset and therefore would have had minimal impact on our analyses. Another limitation was the small number of invited families (particularly in Group B) so that we could detect only large differences between invited and non-invited families and cannot conclude that no associations exist between invitation and the other variables we examined. Finally, without opportunities to speak to eligible non-invited families, we could not pursue the impact of selective invitation on the findings of the ACP study.

In conclusion, our findings highlight the potential for selection bias in paediatric palliative care research. The nature and degree of selection bias are likely to vary across studies, according to research design and local context – an issue which warrants further study. We recommend that when designing studies, researchers consider how the method and mode of invitation might impact on non-invitation rates and selection bias, and how these could be minimised. We would suggest a mixed-method assessment of the invitation and recruitment process, including observation of practice, prospective interviews with clinicians and examination of anonymised data about the sampling frame. While routine clinical data are rarely used for this purpose, they can be a valuable resource. Finally, we encourage researchers to report non-invitation rates and selection bias wherever possible, to aid interpretation of research findings.

Acknowledgements

The authors are very grateful to the palliative care team for giving their time and sharing their views and experiences with us; Victoria Vickerstaff at the Marie Curie Palliative Care Research Unit, UCL, for providing statistical advice; the UK Confidentiality Advisory Group for advice on data anonymisation; the NHS Trust Caldicott Guardian for reviewing our proposed data anonymisation procedures prior to seeking Research Ethics Committee and R&D approval; Richard W Langner, Honorary Senior Research Associate at the Louis Dundas Centre for Children’s Palliative Care, for reviewing and commenting on various drafts; and members of the ACP project Steering Group for their advice and support regarding recruitment to the ACP study.

Declaration of conflicting interests

The authors declare that there is no conflict of interest.

Funding

The researchers were funded by the Louis Dundas Centre for Children’s Palliative Care (grant number 2LGB/C) (J.C. and P.K.), True Colours Trust (grant number 2LGA) (M.B.L.) and Marie Curie Cancer Care (grant number MCCC-FCO-11-U) (E.B. and L.J.).

References

1. Keeley PW. Improving the evidence base in palliative medicine: a moral imperative. J Med Ethics 2008; 34: 757–760.
2. Hinds PS, Burghen EA and Pritchard M. Conducting end-of-life studies in pediatric oncology. West J Nurs Res 2007; 29: 448–465.
3. Stevens MM, Lord BA, Proctor MT, et al. Research with vulnerable families caring for children with life-limiting conditions. Qual Health Res 2010; 20: 496–505.
4. Tomlinson D, Bartels U, Hendershot E, et al. Challenges to participation in paediatric palliative care research: a review of the literature. Palliat Med 2007; 21: 435–440.
5. Duke S and Bennett H. A narrative review of the published ethical debates in palliative care research and an assessment of their adequacy to inform research governance. Palliat Med 2010; 24: 111–126.
6. Sharkey K, Savulescu J, Aranda S, et al. Clinician gatekeeping in clinical research is not ethically defensible: an analysis. J Med Ethics 2010; 36: 363–366.
7. Hudson P, Aranda S, Kristjanson L, et al. Minimising gatekeeping in palliative care research. Eur J Palliat Care 2005; 12: 164–169.
8. Knapp CA, Madden VL, Curtis C, et al. Assessing non-response bias in pediatric palliative care research. Palliat Med 2010; 24: 340–347.
9. Information Commissioner’s Office. Anonymisation: managing data protection risk code of practice. Wilmox: Information Commissioner’s Office, 2012.
10. Amiel P, Moreau D, Vincent-Genod C, et al. Noninvitation of eligible individuals to participate in pediatric studies: a qualitative study. Arch Pediatr Adolesc Med 2007; 161: 446–450.
11. Fletcher B, Gheorghe A, Moore D, et al. Improving the recruitment activity of clinicians in randomised controlled trials: a systematic review. BMJ Open 2012; 2: e000496.
12. Tan H, Wilson A, Olver I, et al. Recruiting palliative patients for a large qualitative study: some ethical considerations and staff dilemmas. Explore 2010; 6: 159–165.
13. Mickey RM and Greenland S. The impact of confounder selection criteria on effect estimation. Am J Epidemiol 1989; 129: 125–137.
14. Creswell JW and Clark VLP. Designing and conducting mixed methods research, 2nd ed. Thousand Oaks, CA: SAGE, 2011.
15. Coyne IT. Sampling in qualitative research. Purposeful and theoretical sampling; merging or clear boundaries? J Adv Nurs 1997; 26: 623–630.
16. Jensen P, Catherine and Mays N. Quality in qualitative health research. Qualitative research in health care, 3rd ed. Hoboken, NJ: Wiley, 2008, p. 90.
17. Burgess N, Christensen H, Griffiths KM, et al. Recruitment challenges associated with a randomised controlled trial within a general telephone counselling service. J Telemed Telecare 2010; 16: 409–413.
18. Castel P, Negrier S and Boissel JP. Why don't cancer patients enter clinical trials? A review. Eur J Cancer 2006; 42: 1744–1748.
19. Prescott RJ, Counsell CE, Gillespie WJ, et al. Factors that limit the quality, number and progress of randomised controlled trials. Health Technol Assess 1999; 3: 1–143.
20. Mason V, Shaw A, Wiles N, et al. GPs’ experiences of primary care mental health research: a qualitative study of the barriers to recruitment. Fam Pract 2007; 24: 518–525.
21. Ewing G, Rogers M, Barclay S, et al. Recruiting patients into a primary care based study of palliative care: why is it so difficult? Palliat Med 2004; 18: 452–459.
22. Shilling V, Williamson PR, Hickey H, et al. Processes in recruitment to randomised controlled trials of medicines for children (RECRUIT): a qualitative study. Health Technol Assess 2011; 15: 1–116.
23. Schofield P, Ugalde A, Carey M, et al. Lung cancer: challenges and solutions for supportive care intervention research. Palliat Support Care 2008; 6: 281–287.
24. Spaar A, Frey M, Turk A, et al. Recruitment barriers in a randomized controlled trial from the physicians’ perspective: a postal survey. BMC Med Res Methodol 2009; 9: 14.
25. Westcombe AM, Gambles MA, Wilkinson SM, et al. Learning the hard way! Setting up an RCT of aromatherapy massage for patients with advanced cancer. Palliat Med 2003; 17: 300–307.
26. White C, Gilshenan K and Hardy J. A survey of the views of palliative care healthcare professionals towards referring cancer patients to participate in randomized controlled trials in palliative care. Support Care Cancer 2008; 16: 1397–1405.