Transverse momentum dependence of inclusive primary charged-particle production in p–Pb collisions at \( \sqrt{s_{NN}} = 5.02 \, \text{TeV} \)

ALICE Collaboration

Abstract

The transverse momentum (\( p_T \)) distribution of primary charged particles is measured at midrapidity in minimum-bias p–Pb collisions at \( \sqrt{s_{NN}} = 5.02 \, \text{TeV} \) with the ALICE detector at the LHC in the range \( 0.15 < p_T < 50 \, \text{GeV}/c \). The spectra are compared to the expectation based on binary collision scaling of particle production in pp collisions, leading to a nuclear modification factor consistent with unity for \( p_T \) larger than 2 GeV/c, with a weak indication of a Cronin-like enhancement for \( p_T \) around 4 GeV/c. The measurement is compared to theoretical calculations and to data in Pb–Pb collisions at \( \sqrt{s_{NN}} = 2.76 \, \text{TeV} \).

*See Appendix A for the list of collaboration members*
Measurements of particle production in proton-nucleus collisions at high energies enable the study of fundamental properties of Quantum Chromodynamics (QCD) over a broad range of parton fractional momentum $x$ and parton densities (see [1] for a review). They also provide reference measurements for the studies of deconfined matter created in nucleus-nucleus collisions [2].

The first measurements of charged-particle production in minimum-bias p–Pb collisions at the LHC at a centre-of-mass energy per nucleon-nucleon pair of $\sqrt{s_{NN}} = 5.02$ TeV [3, 4] showed that: i) the charged particle multiplicity density at midrapidity scales approximately with the number of participating nucleons ($\langle N_{\text{part}} \rangle = 7.9 \pm 0.6$ for minimum-bias collisions) calculated in a Glauber model [5] and ii) the transverse momentum ($p_T$) spectrum, measured in the range 0.5–20 GeV/$c$ [4], exhibits binary collision scaling above a few GeV/$c$, as expected in the absence of any significant nuclear modification effect. The latter is quantified by the nuclear modification factor, $R_{p\bar{p}}$, the ratio of the $p_T$ spectrum in p–Pb collisions and a reference obtained by scaling the measurement in pp collisions with the number of binary nucleon-nucleon collisions in p–Pb. The preliminary result by the CMS collaboration [6] hints at an enhancement of particle production in p–Pb collisions above binary collision scaling, leading to $R_{p\bar{p}} > 1$, for $p_T$ exceeding about 30 GeV/$c$. The preliminary result by the ATLAS collaboration [7] exhibits also, for collisions corresponding to 0–90% centrality, $R_{p\bar{p}}$ values above unity for $p_T$ in the range 20–100 GeV/$c$.

In this letter we present an update of our previously published $p_T$ spectra of primary charged particles [4] based on the 60 times larger sample size collected with the ALICE detector [8] in 2013 in minimum-bias collisions. These data allow a significant extension of the transverse momentum range. The present analysis is essentially identical to the previous and therefore we update only the information related to the enlarged data set; the reader is referred to the earlier publications [4, 9–11] for a more detailed and complete description.

| Uncertainty                        | Value     |
|-----------------------------------|-----------|
| Event selection                   | 0.6%      |
| Track selection                   | 1.0–5.5%  |
| Tracking efficiency               | 3.0%      |
| $p_T$ resolution                  | 0–1.3%    |
| $p_T$ scale                       | 0–1.5%    |
| Particle composition              | 0.1–0.4%  |
| MC generator used for correction  | 1.0%      |
| Secondary particle rejection      | 0.5–4.0%  |
| Material budget                   | 0.2–1.5%  |
| Total for p–Pb, $p_T$-dependent   | 3.4–6.7%  |
| Normalization p–Pb                | 3.1%      |

| Total for pp, $p_T$-dependent    | 6.8–8.2%  |
| Normalization pp                 | 3.6%      |
| Nuclear overlap $\langle T_{p\bar{p}} \rangle$ | 3.6% |

Table 1: Systematic uncertainties on the $p_T$-differential yields in p–Pb and pp collisions. The quoted ranges span the $p_T$ dependence of the uncertainties in the measured range, 0.15–50 GeV/$c$. Normalization uncertainties are also quoted.

The ALICE minimum-bias trigger is defined by a coincidence of signals in detectors covering in pseudorapidity $2.8 < \eta < 5.1$ (VZERO-A) and $-3.7 < \eta < -1.7$ (VZERO-C). In the 2013 data sample, 106 million events (corresponding to an integrated luminosity of 50.7±1.6 $\mu$b$^{-1}$) satisfy the trigger and offline event-selection criteria, which select essentially non-single-diffractive (NSD) minimum-bias col-

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1In the laboratory frame $\eta = -\ln(\tan(\vartheta/2))$, with $\vartheta$ the polar angle between the charged particle and the beam axis; the proton beam has negative $\eta$.
lions. The centre-of-mass pseudorapidity is defined as \( \eta_{\text{cms}} = -\eta - |\eta_{\text{NN}}| \), with the proton beam at positive rapidity; \( |\eta_{\text{NN}}| = 0.465 \) is the rapidity of the centre-of-mass for nucleon-nucleon collisions. This equation is exact only for massless or very high \( p_T \) particles. The spectra are corrected on a statistical basis using the measurements by ALICE in p–Pb collisions of the \( \eta \) distribution of inclusive charged particle production [3] and of the pion, kaon, and proton yields [12]; this correction depends on the \( \eta_{\text{cms}} \) range and on \( p_T \), reaching about 20% for the lowest \( p_T \) bin. The systematic uncertainty of the particle composition [12] leads to a systematic uncertainty in our spectra of up to 0.4%. The systematic uncertainties on the spectra are evaluated as in previous analyses of pp [10], Pb–Pb [9], and p–Pb [4] data. The uncertainty due to the \( p_T \) scale is negligible below 20 GeV/c and reaches 1.5% at 50 GeV/c. The main contributions and the total uncertainties are listed in Table **a**.

The \( p_T \) spectra of charged particles measured in minimum-bias (NSD) p–Pb collisions at \( \sqrt{s_{\text{NN}}} = 5.02 \) TeV are shown in Fig. **1** for the ranges \( |\eta_{\text{cms}}| < 0.3 \), \(-0.8 < \eta_{\text{cms}} < -0.3 \) \((\times 4)\), \(-1.3 < \eta_{\text{cms}} < -0.8 \) \((\times 16)\), and \(-1.3 < \eta_{\text{cms}} < -1.3 \). The pp reference spectrum, \( \langle T_{pPb} \rangle (1/2\pi p_T) \sigma_{pp} / d\eta dp_T \), is also included. \( \langle T_{pPb} \rangle \) is the average nuclear overlap function, calculated using the Glauber model [13], which gives \( \langle T_{pPb} \rangle = \langle N_{\text{coll}} \rangle / \sigma_{\text{NN}} = 0.0983 \pm 0.0035 \) mb\(^{-1}\), with \( \langle N_{\text{coll}} \rangle = 6.9 \pm 0.6 \) and \( \sigma_{\text{NN}} = 70 \pm 5 \) mb. Since the data in pp collisions [10] indicate only a very small \( \eta \) dependence of the \( p_T \) spectrum in the range measured by ALICE (\( |\eta| < 0.8 \)), our current reference spectrum is, differently than in [4,10], for \( |\eta| < 0.8 \). It was obtained by data interpolation at low \( p_T \) and by scaling the measurement at \( \sqrt{s} = 7 \) TeV with the ratio of spectra calculated with NLO

**Fig. 1:** Transverse momentum distributions of charged particles in minimum-bias (NSD) p–Pb collisions for different pseudorapidity ranges (upper panel). The spectra are scaled by the factors indicated. The histogram represents the reference spectrum (cross section scaled by the nuclear overlap function, \( T_{pPb} \)) in inelastic pp collisions, determined in \( |\eta| < 0.8 \). The lower panel shows the ratio of spectra in p–Pb at backward pseudorapidities to that at \( |\eta_{\text{cms}}| < 0.3 \). The vertical bars (boxes) represent the statistical (systematic) uncertainties.
pQCD at $\sqrt{s} = 5.02$ and 7 TeV [10].

In the lower panel of Fig. 1 the ratios of the spectra for backward ($-0.8 < \eta_{\text{cms}} < -0.3$ and $-1.3 < \eta_{\text{cms}} < -0.8$) pseudorapidity ranges to that at $|\eta_{\text{cms}}| < 0.3$ are shown. The indication of a slight softening of the $p_T$ spectrum when going from central to backward (Pb-side) pseudorapidity, observed already in the pilot-run data of 2012 [4] (note opposite $\eta_{\text{cms}}$ sign convention in [4]) is confirmed with better significance and extended in $p_T$ down to 0.15 GeV/$c$.

A good description of our earlier measurement of spectra in p–Pb collisions [4] was achieved in the EPOS3 model [14] including a hydrodynamical description of the collision, while the PHSD model [15] significantly underestimated the spectra for $p_T$ values of several GeV/$c$.

In order to quantify nuclear effects in p–Pb collisions, the $p_T$-differential yield relative to the pp reference, the nuclear modification factor, is calculated as:

$$R_{p\text{Pb}}(p_T) = \frac{d^2N_{p\text{Pb}}/d\eta dp_T}{\langle T_{p\text{Pb}} \rangle d^2\sigma_{pp}/d\eta dp_T},$$  \hspace{1cm} (1)$$

where $N_{p\text{Pb}}$ is the charged particle yield in p–Pb collisions.

The measurement of the nuclear modification factor $R_{p\text{Pb}}$ for charged particle production in $|\eta_{\text{cms}}| < 0.3$ and $-1.3 < \eta_{\text{cms}} < 0.3$ is shown in Fig. 2. The uncertainties of the p–Pb and pp spectra are added in quadrature, separately for the statistical and systematic uncertainties. The systematic uncertainties are largely correlated between adjacent $p_T$ bins. The total systematic uncertainty on the normalization, the quadratic sum of the uncertainty on $\langle T_{p\text{Pb}} \rangle$, the normalization of the pp reference spectrum and
$p_T$ dependence of charged-particle production in p–Pb collisions

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Fig. 3: Transverse momentum dependence of the nuclear modification factor $R_{pPb}$ of charged particles measured in minimum-bias (NSD) p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The ALICE data in $|\eta_{cms}| < 0.3$ (symbols) are compared to model calculations [18–20] (bands, see text for details). The vertical bars (boxes) show the statistical (systematic) uncertainties. The relative systematic uncertainty on the normalization is shown as a box around unity near $p_T = 0$.

The data indicate a small enhancement, $R_{pPb}$ above unity, barely significant within systematic errors, around 4 GeV/$c$, i.e. in the $p_T$ region where the much stronger Cronin enhancement is seen at lower energies [16, 17].

The $p$–Pb data provide important constraints to models of nuclear modification effects. As an illustration, in Fig. 3 the measurement of $R_{pPb}$ at $|\eta_{cms}| < 0.3$ is compared to theoretical model predictions. The predictions for shadowing [18], calculated at next-to-leading order (NLO) with the EPS09s nuclear modification of parton distribution functions describe the data for $p_T \gtrsim 6$ GeV/$c$. The calculations are for $\pi^0$, which may explain the differences with respect to data at low $p_T$; for high $p_T$, the ALICE data on identified pions, kaons, and protons [21] give support that the comparison of our data on inclusive charged particles to EPS09s calculations for $\pi^0$ is meaningful. The LO pQCD model including cold nuclear matter effects [19] exhibits a distinct trend of decreasing $R_{pPb}$, which is not supported by the data. The prediction with the HIJING 2.1 model, shown for two fragmentation schemes [20], exhibits a more pronounced trend of decreasing $R_{pPb}$ at high $p_T$. It is interesting to note that calculations with the EPOS LHC model [22], not included here, show a similar trend. Several predictions based on the saturation (Color Glass Condensate) model are available [23–25]; they were shown previously [4] to describe, in their range of validity, namely up to several GeV/$c$, the $R_{pPb}$ data.
Fig. 4: Transverse momentum dependence of the nuclear modification factor $R_{pPb}$ of charged particles ($h^\pm$) measured in minimum-bias (NSD) p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV in comparison to data on the nuclear modification factor $R_{pPb}$ in central Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV. The Pb–Pb data are for charged particle [9, 26], direct photon [27], $Z^0$ [28] and $W^\pm$ [29] production. All data are for midrapidity.

In Fig. 4, we compare the measurement of the nuclear modification factor for inclusive primary charged-particle ($h^\pm$) production in p–Pb collisions to that in central (0–5% centrality) Pb–Pb collisions [9, 26]. The p–Pb data demonstrate that the suppression of hadron production at high $p_T$ in Pb–Pb collisions, understood in theoretical models as a consequence of parton energy loss in (deconfined) QCD matter (see [9] and references therein), has no contribution from initial state effects. The ALICE p–Pb data show no sign of nuclear matter modification of hadron production at high $p_T$ and are therefore fully consistent with the observation of binary collision scaling in Pb–Pb of observables which are not affected by hot QCD matter (direct photons [27] and vector bosons [28, 29]).

In summary, we have extended our measurements of the charged-particle $p_T$ spectra and nuclear modification factor in minimum-bias (NSD) p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The results, covering a substantially-extended $p_T$ range, $0.15 < p_T < 50$ GeV/c, exhibit, within uncertainties, no deviation from binary collision scaling at high $p_T$; the nuclear modification factor remains consistent with unity for $p_T \gtrsim 2$ GeV/c. The data at high $p_T$ are described by a prediction based on NLO pQCD calculations with PDF shadowing and further underline our earlier observation [4] that initial state effects do not contribute to the strong suppression of hadron production at high $p_T$ observed at the LHC in Pb–Pb collisions.

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dependence of charged-particle production in p–Pb collisions

ALICE Collaboration
$p_T$ dependence of charged-particle production in p–Pb collisions

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dependence of charged-particle production in p–Pb collisions

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