BMJ Open Knee Arthroscopy Cohort Southern Denmark (KACS): protocol for a prospective cohort study

Jonas Bloch Thorlund,1 Robin Christensen,1,2 Nis Nissen,3 Uffe Jørgensen,4 Jeppe Schjerning,5 Jens Christian Pørnæki,6 Martin Englund,7,8 L Stefan Lohmander1,4,7

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INTRODUCTION

Meniscus surgery is a high-volume surgery carried out on 1 million patients annually in the USA. The procedure is conducted on an outpatient basis and the patients leave the hospital a few hours after surgery. Nevertheless, little is known about the natural time course of patient perceived pain, function and quality of life (QOL) after meniscus surgery and which factors affect these outcomes. The aim of this prospective cohort study is to investigate the natural time course of patient-reported outcomes in patients undergoing meniscus surgery, with particular emphasis on the role of type of symptom onset.

Methods/design: This prospective cohort study enrols patients assigned for meniscus surgery. At the baseline (PRE surgery), patient characteristics are assessed using an email-based questionnaire also comprising several validated questionnaires assessing general health, knee-specific characteristics and patient’s expectations of the surgery. Follow-up will be conducted at 12 and 52 weeks after meniscus surgery. The major outcomes will be differences in changes, from before to 52 weeks after surgery, in each of the five domains on the Knee Injury and Osteoarthritis Outcome Score (KOOS) between patients undergoing surgery for traumatic compared with non-traumatic meniscus tears.

Dissemination: The study findings will be disseminated in peer-reviewed journals and presented at national and international conferences.

Trial registration number: ClinicalTrials.gov Identifier: NCT01871272.

ABSTRACT

Background: Meniscus surgery is a high-volume surgery carried out on 1 million patients annually in the USA. The procedure is conducted on an outpatient basis and the patients leave the hospital a few hours after surgery. A critical oversight of previous studies is their failure to account for the type of meniscal tears. Meniscus tears can be categorised as traumatic or non-traumatic. Traumatic tears (TT) are usually observed in younger, more active individuals in an otherwise ‘healthy’ meniscus and joint. Non-traumatic tears (NTT) (ie, degenerative tears) are typically observed in the middle-aged (35–55 years) and older population but the aetiology is largely unclear. Knowledge about the potential difference of the effect of arthroscopic meniscus surgery on patient symptoms between patients with traumatic and NTT is sparse. Furthermore, little is known about the natural time course of patient perceived pain, function and quality of life after meniscus surgery and factors affecting these outcomes. The aim of this prospective cohort study is to investigate the natural time course of patient-reported outcomes in patients undergoing meniscus surgery, with particular emphasis on the role of type of symptom onset.

Methods/design: This prospective cohort study enrols patients assigned for meniscus surgery. At the baseline (PRE surgery), patient characteristics are assessed using an email-based questionnaire also comprising several validated questionnaires assessing general health, knee-specific characteristics and patient’s expectations of the surgery. Follow-up will be conducted at 12 and 52 weeks after meniscus surgery. The major outcomes will be differences in changes, from before to 52 weeks after surgery, in each of the five domains on the Knee Injury and Osteoarthritis Outcome Score (KOOS) between patients undergoing surgery for traumatic compared with non-traumatic meniscus tears.

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ARTICLE SUMMARY

Strengths and limitations of this study

- This cohort study collects data on the natural time course of patient reported outcomes in a clinical setting on a large group of patients after arthroscopic meniscus surgery to ensure high external validity.
- As data is collected on a large number of patients in a clinical setting it was not feasible to collect standardised imaging data (ie, MRI or radiographs) on patients, which could have provided valuable information.

A critical limitation of previous studies is their failure to account for the type of meniscal tears. Meniscus tears can be categorised as either traumatic or non-traumatic. Traumatic tears (TT) are usually observed in younger, active individuals in an otherwise ‘healthy’ meniscus and joint, and can be attributed to a specific incident (eg, sports-related trauma). TT’s are often associated with joint effusion,
reduced knee joint range of motion (ROM) together with catching/locking of the knee. Non-traumatic tears (NTT) are typically observed in the middle-aged (35–55 years) and older population. These tears are associated with meniscal calcification and risk factors for these tears include, presence of Heberden’s and Bouchard nodes, knee malalignment and occupational kneeling; however, the aetiology is largely unclear. NTT’s are often referred to as degenerative tears and have been shown to be associated with incipient knee osteoarthritis (OA) in the middle-aged or elderly population. Evidence from four well-designed trials demonstrated that arthroscopic interventions and meniscectomy were no better or provided no additional effect, than the comparator (ie, sham surgery, physical therapy or a combination of physical and medical therapy) to relieve pain and improve function in the middle-aged patients with knee OA or early signs of knee OA. No corresponding randomised trials exist specifically for TT but an observational study showed that patients with degenerative meniscus lesions (ie, NTT) self-report worse function and QOL compared to individuals with TT at follow-up 14 years after meniscectomy. Thus, it is conceivable, but currently unproven, that arthroscopic meniscus surgery is more effective in resolving symptoms of a meniscus tear of traumatic aetiology compared with non-NTT in the middle-aged population.

In patients with TT, repair of the meniscus may be an alternative to resection. In contrast, repair is rarely an option for middle-aged patients with NTT due to the degenerative state of the meniscus. A recent retrospective observational study suggested a reduced risk of later knee OA and less activity level loss in patients (~32 years at time of surgery) undergoing repair compared with resection (ie, favouring repair). This indicates that patients with TT should be stratified into subgroups on the basis of type of arthroscopic intervention (ie, repair (TTREP) and resection (TTRES)) since this may influence the patient-perceived outcomes after surgery.

Aims and hypotheses
The primary aims of this observational cohort are to

1. Investigate if improvements in patient self-reported pain, symptoms, function and QOL differ after arthroscopic meniscus surgery for non-traumatic meniscus tears in middle-aged patients, compared with surgery in patients with traumatic tears (ie, NTT vs TT). We hypothesise that in middle-aged patients with NTT arthroscopic surgery is less effective in relieving self-reported pain, symptoms, function in sports and recreation (Sport/Rec) and QOL (ie, change in KOOS scores), compared with younger patients undergoing surgery for TT.

2. Investigate the effect of meniscus repair (TTREP) compared to meniscus resection (TTRES) on change in self-reported pain, symptoms, function in Sport/Rec and QOL in patients with TT. We hypothesise that arthroscopic surgery is less effective in relieving pain, symptoms, function in Sport/Rec and QOL (ie, change in KOOS scores) in patients undergoing TTRES compared with those undergoing TTREP.

METHODS AND ANALYSIS
Design
In this prospective cohort study we will assess patient-reported outcomes (PROs) using email-based questionnaires prior to surgery and at 12 and 52 weeks follow-up postsurgery (see figure 1).

Participants
All patients assigned for arthroscopy on suspicion of a meniscus tear at Lillebælt Hospital (located in the cities Vejle and Kolding, Denmark) and Odense University Hospital, Denmark (incl. Svendborg Hospital) from 1 February 2013 to 31 January 2014.

General cohort eligibility criteria
Inclusion criteria: patients ≥18 years of age assigned for arthroscopy on suspicion of a medial and/or lateral meniscus tear by the examining orthopaedic surgeon based on clinical signs and MRI (if available), having an email address and able to read and understand Danish.

Exclusion criteria: patients who will or previously have undergone surgical reconstruction of the anterior or posterior cruciate ligament (ACL or PCL) in either knee, experienced fracture(s) to the lower extremities (ie, hip, leg or foot) in either leg within the last 6 months at time of recruitment and patients not
mentally able to reply the questionnaire. Please refer to figure 2 for an overview of the recruitment flow.

The patients with reconstructed ACL and PCL cannot be included as these patients are being followed in another cohort study.

Inclusion and exclusion criteria, aim 1 (NTT vs TT)
There is no consensus on how to classify patients as having a NTT or TT. In this study, patients undergoing meniscus surgery will be classified as having either TT or NTT according to an algorithm based on age, duration of knee symptoms and a question about injury mechanism (see below). This represents the information that is available prior to surgery.

Injury mechanism question
“How did the knee pain/problems for which you are now having surgery develop (choose the answer that best match your situation)?’

Response alternatives
A. The pain/problems have slowly evolved over time.
B. As a result of a specific incident (ie, kneeling, sliding and/or twisting of the knee or the like).
C. As a result of a violent incident (ie, during sports, a crash, collision or the like).

TT
Inclusion: all patients between 18 and 34 years and all patients between 35 and 55 years replying ‘C’ on the injury mechanism question.

NTT
Inclusion: all patients between 35 and 55 years replying ‘A’ or ‘B’ on the injury mechanism question and having knee symptoms >6 months.

In addition, the general eligibility criteria also apply. For aim 1, the upper age limit is set to include patients with degenerative meniscus tears (ie, NTT) but without severe features of knee OA. Furthermore, patients whose responses do not fit the TT and NTT criteria will also be excluded.

Inclusion and exclusion criteria, aim 2 (TTRES vs TTREP)
All patients classified as TT according to the specific Knee Arthroscopy Cohort Southern Denmark (KACS) eligibility criteria for aim 1 will be further divided in patients having either meniscus resection (TTRES) or

Figure 2  Overview of the recruitment flow in the Knee Arthroscopy Cohort Southern Denmark.

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repair (TT_{REP}) according to the type of surgery they receive to answer study aim 2.

**Patient characteristics**

At baseline, self-report information about: educational level, employment, civil status, smoking habits, comorbidities, physical activity level, and self-reported knee and foot alignment will be collected together with information on height and weight. Surgery documentation will be collected using a modified version of the International Society of Arthroscopy, Knee Surgery and Orthopaedic Sports Medicine (ISAKOS) classification of meniscal tears questionnaire, which is filled out by the operating surgeon. Additional surgery information not pertaining to the meniscus is also collected from surgery reports.

**Major outcomes**

**Knee injury and Osteoarthritis Outcome Score (KOOS)**

All 5 domains (ie, subscales) on the KOOS at the 1-year follow-up. The five KOOS domains are pain, symptoms, function during daily activities (ADL), Sport/Rec function and QOL. The KOOS score is ranging from 0 to 100 (0 indicating extreme symptoms and 100 indicating no symptoms). The KOOS score has been validated and previously used to assess self-reported outcomes in patients undergoing meniscus surgery. In addition, it has been shown to perform well in the entire continuum from very early changes of knee OA to knee arthroplasty. All outcomes included in the study are listed in **table 1**.

**Minor outcomes**

**Patient Acceptable Symptom State (PASS) and Treatment Failure (TF)**

One question regarding PASS will be used to assess how many patients consider themselves well after surgery (as opposed to feeling better). PASS is assessed as a dichotomous outcome (y/n) to the question: "Considering your knee function, do you feel that your current state is satisfactory? With knee function you should take into account all activities you have during your daily life, Sport/Rec activities, your level of pain and other symptoms, and also your knee related QOL".

In addition, patients replying ‘no’ to the PASS question will also be asked to answer (y/n) the following question: “Would you consider your current state as being so unsatisfactory that you think the treatment has failed?” Patients replying, ‘yes’ to the second question will be defined as experiencing ‘treatment failure’ (TF).

**Medical outcomes study 36-item short form health survey (SF-36)**

The SF-36 will be used to assess general physical function. The SF-36 consists of eight subscales: physical function, role physical, bodily pain, general health, vitality, social function, role emotional and mental health. The SF-36 is self-explanatory, takes 10 min to complete and is scored from 0 to 100 (0 indicating extreme problems and 100 indicating no problems). The Acute Danish version of the SF-36 was used.

**Exploratory outcomes**

- Questions regarding patient’s expectations of surgery.
- Questions concerning knee joint stability/laxity. One question regarding the frequency of symptoms and one question about the influence of symptoms (ie, sense of instability during daily activities).
- Questions regarding postoperative rehabilitation (ie, participation, type, frequency and degree of supervision).
- Questions regarding global perceived effect (GPE) to explore minimal clinical important change in PROs. GPE is evaluated on a seven-step global rating scale after surgery (ranging from better, an important improvement; somewhat better, but enough to be an important improvement; very small change, not enough to be an important improvement; very small change, not enough to be an important worsening; somewhat worse, but enough to be an important worsening; worse, an important worsening). A two-step change in GPE is considered clinically important.
Adverse events

Adverse events (not necessarily implying causality to the surgery), defined as self-reported symptoms after surgery causing limitations in daily activities, Sport/Rec activities or work limitations together with symptoms causing patients to seek medical care or having re-surgery will be collected by self-report and patient record review.

Data management

All self-reported data are collected using email-based questionnaires. The participant-submitted responses are automatically registered in a secured database. At all data collection points an email reminder is sent to participants if they do not answer the email-based questionnaire within 3–4 days. In addition, participants who do not reply after the reminder will be called by phone to ensure a high follow-up rate.

Information registered by surgeons on the modified ISAKOS questionnaire following surgery will be transferred from paper format to electronic format using automated forms processing. This method is a validated alternative to double entry of data.43

Statistical analysis

The cohort will recruit all eligible patients from 1 February 2013 to 31 January 2014. Conservatively estimated we expect to recruit 450 patients to the KACS cohort within this time frame. For an overview of the expected distribution of patients recruited between 18 and 55 years, please refer to figure 3.

The minimal clinically important change on the KOOS subscale is considered to be 8–10 points.37 Thus, with the estimated recruitment flow and distribution (figure 3); we will have a power of 0.99 for a two-sample pooled t test of a normal mean difference with a two-sided significance level of 0.05 (p ≤ 0.05), assuming a common SD of 15 KOOS points to detect a mean difference of 8 KOOS points between NTT and TT (primary study aim 1).

In addition, we will have a power of 0.88 for a two-sample pooled t test of a normal mean difference with a two-sided significance level of 0.05 (p ≤ 0.05), assuming a common SD of 15 KOOS points to detect a mean difference of 10 KOOS points between TTRES and TTREP (study aim 2).

If we are not able to reach sufficient numbers within the 1 year timeframe, recruitment will continue until the numbers specified in the a priori sample size calculation are reached.

Descriptive results will be given as means with SDs (or medians with IQR) and as percentages. Between-group comparisons of the KOOS and SF-36 scores at the 52 weeks of follow-up will be analysed with the use of ANalysis of COVariance (ANCOVA), stratified by site and adjusted for the preoperative score level, sex, age and body mass index (BMI). PASS and TF will be analysed using χ² test. Multiple logistic regression will be applied to estimate ORs for dichotomous outcomes. Mixed linear effects models with patient as random factor and sex, age and BMI as fixed factors will be used to explore change over time (ie, baseline, 12 weeks and 52 weeks of follow-up) in KOOS and SF-36 scores. Results will be presented with 95% CIs. No interim analysis will be performed. All reported p values are two-sided and will not be adjusted for multiple comparisons. All data analyses will be carried out according to the pre-established analysis plan. All descriptive statistics and tests will be reported in accordance to the recommendations of the ‘Enhancing the QUality and Transparency Of Health Research’ (EQUATOR) network: the STROBE statement.44

Full analysis set

To qualify for the ‘full analysis set’ recruited patients must reply to the baseline questionnaire and have the surgery performed to their meniscus. Please refer to figure 4, for an overview of the full analysis set. In case of missing data a non-responder imputation will be applied (ie, baseline observation carried forward). Further for sensitivity, the effect that any missing data might have on results will be assessed through sensitivity analyses of augmented data sets.

Planned sensitivity analysis

Sensitivity analysis will be conducted to explore whether the degree of cartilage defects (score: 0–4), and plica presence (y/n) have any impact on the outcome after surgery. Furthermore, we will construct a dichotomous outcome on whole knee OA (y/n) to explore the effect of presence of knee OA. Whole knee OA will be defined as; participants with cartilage defects International Cartilage Repair Society grade ≥ 3 in either of the patellofemoral, medial tibiofemoral or lateral tibiofemoral compartment excluding participants with TT (according to previous definition) and symptoms <6 months. In

Figure 3  Expected distribution per 450 patients recruited, divided by age, type of tear and type of surgery. NTT, non-traumatic tear; TT, traumatic tear; TTRES, traumatic tear resected; TTREP, traumatic tear repaired.
addition, the effect of differences in patient characteristics between groups reported in table 1 with a p value ≤0.10 will be tested in a fully adjusted model.

ETHICS AND DISSEMINATION

The Regional Scientific Ethics Committee of Southern Denmark has reviewed the outline of this cohort study. The committee waived the need for ethical approval as study is the only pertaining questionnaire and register data. Such studies can be implemented without permission from the Ethics Committee according to Danish legislation (Committee Act § 1, paragraph 1).

The study findings will be disseminated in peer-reviewed journals and presented at national and international conferences.

DISCUSSION

Arthroscopic meniscus surgery is a high-volume surgery. Little is known about the natural time course of PROs after meniscus surgery and which factors affect these outcomes. This prospective cohort will collect data from a large number of patients on the natural time course of PROs prior and following arthroscopic meniscus surgery. Our results will enable analysis of the dependence of postsurgery outcome on the type of meniscus tear (ie, TT vs NTT in middle-aged patients). Further, it will be possible to investigate the dependence of postsurgery outcome on the type of surgery in the subgroup of patients with TT (ie, TT\text{RES} vs TT\text{REP}). In contrast, other on-going randomised placebo controlled trials are investigating the effect of meniscus surgery for patients with degenerative tears.45 46

In this study a pragmatic clinical approach was chosen to categorise meniscus tears as either TT or NTT (ie, degenerative). The advantages of this approach are that it is simple, cheap, can be determined prior to surgery (in contrast to histology or arthroscopic observation) and feasible in a routine clinical setting. Thus, this information can be used to form an algorithm based on information available prior to surgery to select those patients who benefit most from surgery, which can be implemented in clinical practice. The definition of TT and NTT are similar but not identical to what has previously been used in other studies. Camanho et al\textsuperscript{12} divided the patients into three groups; traumatic, degenerative and fatigue. In the present study the NTT group will include degenerative as well as fatigue as defined by Camanho et al as the focus of this study is on the traumatic versus non-traumatic initiation of the meniscal tear. Others have based their definition on sports participation.47

A limitation to this study is that patients are included based on the main reason for surgery (ie, suspicion of a meniscus tear). However, meniscus surgery may also be performed in relation to surgery for other knee pathologies. Those patients will not be included in the KACS. This should be taken into account when interpreting the cohort data. On the other hand, this makes it more likely that patient symptoms in the KACS cohort are primarily caused by the meniscus injury. Furthermore, we expect the age to be different in the TT compared with the NTT groups (ie, NTT group being older), thus all statistical analysis will be adjusted for age. Nevertheless, this should still be taken into consideration when interpreting the results.

Meniscus surgery may not be the answer to improve patient-perceived pain and function in all patients with...
meniscus tears. Different factors, such as type of tear, may affect the postoperative outcome. Ultimately the goal of this study is to improve management of patients with meniscus tears through identifying factors associated with no or limited effect of surgery on PROs.

Author affiliations
1Department of Sports Science and Clinical Biomechanics, University of Southern Denmark, Odense, Denmark
2Musculoskeletal Statistics Unit, The Parker Institute, Depart of Rheumatology
3Department of Orthopedics, Odense University Hospital, Odense, Denmark
4Department of Orthopedics, Lillebaelt Hospital, Vejle, Denmark
5Department of Orthopedics and Traumatology, Odense University Hospital, Svendborg, Denmark
6Department of Orthopedics, Clinical Sciences Lund, University of Lund, Lund, Sweden
7Department of Orthopedics, Clinical Sciences Lund, University of Lund, Odense, Denmark
8Clinical Epidemiology Research and Training Unit, Boston University School of Medicine, Boston, Massachusetts, USA

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