Diagnosing and Managing Postherpetic Neuralgia

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Abstract Postherpetic neuralgia (PHN) represents a potentially debilitating and often undertreated form of neuropathic pain that disproportionately affects vulnerable populations, including the elderly and the immunocompromised. Varicella zoster infection is almost universally prevalent, making prevention of acute herpes zoster (AHZ) infection and prompt diagnosis and aggressive management of PHN of critical importance. Despite the recent development of a herpes zoster vaccine, prevention of AHZ is not yet widespread or discussed in PHN treatment guidelines. Diagnosis of PHN requires consideration of recognized PHN signs and known risk factors, including advanced age, severe prodromal pain, severe rash, and AHZ location on the trigeminal dermatomes or brachial plexus. PHN pain is typically localized, unilateral and chronic, but may be constant, intermittent, spontaneous and/or evoked. PHN is likely to interfere with sleep and daily activities. First-line therapies for PHN include tricyclic antidepressants, gabapentin and pregabalin, and the lidocaine 5 % patch. Second-line therapies include strong and weak opioids and topical capsaicin cream or 8 % patch. Tricyclic antidepressants, gabapentinoids and strong opioids are effective but are also associated with systemic adverse events that may limit their use in many patients, most notably those with significant medical comorbidities or advanced age. Of the topical therapies, the topical lidocaine 5 % patch has proven more effective than capsaicin cream or 8 % patch and has a more rapid onset of action than the other first-line therapies or capsaicin. Given the low systemic drug exposure, adverse events with topical therapies are generally limited to application-site reactions, which are typically mild and transient with lidocaine 5 % patch, but may involve treatment-limiting discomfort with capsaicin cream or 8 % patch. Based on available clinical data, clinicians should consider administering the herpes zoster vaccine to all patients aged 60 years and older. Clinicians treating patients with PHN may consider a trial of lidocaine 5 % patch monotherapy before resorting to a systemic therapy, or alternatively, may consider administering the lidocaine 5 % patch in combination with a tricyclic antidepressant or a gabapentinoid to provide more rapid analgesic response and lower the dose requirement of systemic therapies.

1 Introduction

Reactivation of a dormant varicella zoster virus, the virus that causes chicken pox, yields acute herpes zoster (AHZ) or shingles, a painful blistering skin rash [1, 2]. More than 95 % of the adult population in developed countries manifest seropositivity for antibodies to the varicella zoster virus, and are, thus, at risk for developing AHZ [1]. After the initial varicella zoster infection, the virus persists in the ganglia of the sensory cranial nerves and spinal dorsal root ganglia without triggering symptoms for many years [2]. However, with age-, stress- or illness-related diminution in cell-mediated immunity, the risk for AHZ increases. Indeed, AHZ affects approximately 33 % of the population and generally, the risk increases dramatically with age [1–3].
The Olmsted County Study found that the incidence of AHZ was 3.6 cases per 1,000 person-years, but was low in individuals aged 20–29 years and spiked to 7.1 cases per 1,000 person-years in the seventh decade of life and reached 12.0 cases per 1,000 person years in individuals aged ≥80 years. In all, 51% of AHZ infection cases occurred in individuals aged ≥60 years, although this age group represents only 23% of the US population [4]. Although the symptoms of AHZ typically resolve within 2–4 weeks, approximately 10% of patients develop postherpetic neuralgia (PHN), often defined as pain persisting more than 3 months after the onset of the rash in the same affected area (Fig. 1) [3, 5]. The risk of PHN increases with age. In the Olmsted County Study, 73% of PHN cases were in individuals aged ≥60 years [4]. PHN pain results from sensory nerve damage and may be intermittent, chronic or spontaneous in nature [2]. PHN symptoms frequently include allodynia, wherein pain is evoked by normally nonpainful mechanical stimuli, such as light brushing of the skin. Even with appropriate treatment, PHN pain can interfere with sleep and routine daily activities.

Despite the potentially debilitating nature of this disorder, PHN tends to be underdiagnosed and inadequately managed, especially in primary care. Several factors may contribute to the undertreatment of PHN. When a patient reports chronic pain, clinicians may not always follow up by taking a complete patient history or performing a thorough physical examination to identify the source of the pain, steps particularly important in elderly and medically complicated patients who are at high risk of PHN. Additionally, clinicians may not be familiar with the symptoms of PHN and the most effective available treatment options. This review provides essential information that will enable clinicians to correctly diagnosis PHN and select the most appropriate pharmacotherapy for their patients with PHN.

### 2 Prevention of Acute Herpes Zoster

A herpes zoster vaccine (Zostavax®) received US FDA approval in 2006 for use in adults aged 60 years or older [6] and in 2011 was approved for use in those aged ≥50 years [7]. In the Shingles Prevention Study, a large (>38,000 subjects), 3-year trial in older adults (≥60 years), subjects were randomized to treatment with either the herpes zoster vaccine or placebo [8]. The vaccine reduced the incidence of herpes zoster infection versus placebo by approximately 50% (315 cases vs. 642 cases). A total of 107 subjects experienced PHN. The herpes zoster vaccine was shown to significantly reduce the incidence of PHN versus placebo by approximately two-thirds (27 cases vs. 80 cases; p < 0.001). Greater reductions in PHN than in AHZ suggest that vaccinated patients who develop AHZ are less likely to progress to PHN.

In the Shingles Prevention Study, other investigators have shown that the herpes zoster vaccine was well tolerated, with the incidence of serious adverse events similar to that seen with placebo [9]. However, this study excluded subjects with prior herpes zoster infection younger than 60 years and immunocompromised individuals, and it was conducted only in the USA. As a consequence, the findings cannot be generalized to all populations at risk for PHN [6]. A subsequent study evaluating >22,000 individuals aged 50–59 years in the USA and Europe found that the vaccination reduced the incidence of AHZ by approximately 70%, although the study period was only 1 year [10].

In addition, a vaccine cost-effectiveness analysis based on the Shingles Prevention Study demonstrated that the benefits of the vaccine were not evenly distributed [11]. Although the vaccine was most effective in younger...
patients, most cases of herpes zoster and PHN emerge in older patients. The analysis revealed that the vaccine was most cost-effective for patients aged 70 years. Further, for all ages older than 60 years, the vaccine was more cost-effective in women than in men, because women are disproportionately affected by herpes zoster and PHN compared with men.

It should be noted that the herpes zoster vaccine is a live virus vaccine and should not be administered to most immunocompromised patients, including patients with acquired or primary immunodeficiency, and must be administered with caution in patients receiving immunosuppressive drugs such as prednisone [12]. Immunocompromised patients represent nearly 10% of patients with AHZ [4]; hence, a substantial proportion of patients at risk for AHZ cannot be vaccinated.

Despite these caveats, the Centers for Disease Control and Prevention Advisory Committee on Immunization Practices now advocates the regular use of the herpes zoster vaccine in immunocompetent patients aged 60 years and older [12]. Nonetheless, current guidelines for the treatment of PHN, including guidelines issued in 2010 and 2011, emphasize pharmacological management. While some guidelines acknowledge the importance of prevention, none have highlighted preventative steps or recognized the role of the herpes zoster vaccine in preventing PHN [13–16]. The treatment of AHZ often includes antiviral agents such as aciclovir or famciclovir; however, these agents do not reduce the likelihood of subsequently developing PHN [17].

3 Diagnosis of Postherpetic Neuralgia (PHN)

3.1 Patient History

Although AHZ is typically easily recognizable, PHN can be difficult to diagnose because a patient with pain long after the AHZ rash has cleared up may not remember the rash or associate the current pain with it. In the diagnosis of PHN, routine questioning should attempt to identify the nature of the patient’s pain (Table 1). In PHN, the pain is typically discretely localized and unilateral (i.e. dermatomal), intermittent, chronic and sufficiently intense to interfere with sleep and other normal daily activities. Additionally, the pain may have an itching, burning, sharp, stabbing or throbbing quality. Aggravating factors include light touch, such as the touch of clothing or standing in a shower. Relieving factors may include barriers to touch [1, 2].

The patient history may reveal conditions that may help differentially diagnosis PHN, including a recent history or the presence of herpes simplex virus, impetigo, candidiasis, contact dermatitis, insect bites, autoimmune blistering disease, dermatitis herpetiformis and drug-related eruptions [2].

With AHZ, however, nerve pain may emerge in the absence of any skin eruption, as in a condition called zoster sine herpete or in the presence of intercostal neuralgia [18, 19]. Nevertheless, pain following a documented episode of AHZ usually provides clear evidence for a diagnosis of PHN. This evidence may be particularly compelling when

| Table 1 | Diagnostic steps in postherpetic neuralgia |
|---------|------------------------------------------|
| Step    | Diagnosis notes                          |
| 1. Patient history | Routine questioning should identify the source of the patient’s pain |
|         | Pain is typically discrete and unilateral and displays an itching, burning, sharp, stabbing or throbbing quality |
|         | Pain is intermittent and chronic in nature |
|         | Pain is sufficiently intense to interfere with normal daily activities |
|         | Pain following a documented episode of AHZ provides compelling evidence for a diagnosis of PHN |
| 2. Physical examination | Areas of previous AHZ infection may manifest evidence of cutaneous scarring |
|         | Affected area may display either hypersensitivity or hyposensitivity to pain |
|         | Allodynia may occur in the pain-producing area |
|         | Autonomic changes may also occur in the affected area, including increased sweating |
| 3. Laboratory investigations | PHN diagnosis does not rely on laboratory evaluations |
|         | Viral culture or immunofluorescent staining may be used to distinguish herpes simplex from herpes zoster |
|         | Presence of antibodies to herpes zoster may help support diagnosis of subclinical herpes zoster infection, especially in the case of zoster sine herpete |
|         | Other laboratory tests may be useful in confirming a herpes zoster infection, including immunoperoxidase staining, histopathology and the Tzanck smear |

AHZ acute herpes zoster, PHN postherpetic neuralgia

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additional PHN risk factors are present [2, 19], including advanced age, severe prodromal pain, severe rash, AHZ localized in the trigeminal dermatomes and brachial plexus, and symptoms of allodynia.

3.2 Physical Examination

During the physical examination, areas of previous AHZ may show evidence of cutaneous scarring [19]. In approximately 50 % of patients with PHN, dynamic mechanical allodynia occurs in the pain-producing area in response to normally nonpainful stimuli, such as light touch by a brush. Thermal hyperalgesia is present in approximately one-third of patients. However, in some cases, decreased sensation or numbness may be experienced by the patient [20].

4 Management of PHN

4.1 First-Line Therapies

Tricyclic antidepressants, gabapentin and pregabalin, and the topical lidocaine 5 % patch are each categorized as first-line treatments for PHN in guidelines issued by the American Academy of Neurology (2004) [14], the International Association for the Study of Pain (2007) [21], and the European Federation of Neurological Societies (2010) [13] (Table 2). However, topical lidocaine (as a gel, cream or patch) is considered a second-line or third-line therapy in British [16] and Canadian guidelines [22]. Opioids, tramadol, capsaicin cream and the capsaicin 8 % patch are usually considered either second- or third-line treatments because of safety and/or efficacy disadvantages compared with first-line therapies.

4.1.1 Tricyclic Antidepressants

The tricyclic antidepressants amitriptyline, nortriptyline, imipramine and desipramine act by increasing serotonin and norepinephrine levels and blocking voltage-dependent sodium channels and α-adrenergic receptors, actions important in modulating descending pain pathways [23]. The efficacy of tricyclic antidepressants in the treatment of PHN pain is well established [3]. In a 2005 systematic review, the combined number needed to treat (NNT) with amitriptyline, nortriptyline or desipramine to obtain a single patient with a 50 % reduction in PHN pain (compared with placebo) was 2.5 [24]. Despite their efficacy, tricyclic antidepressants for PHN may not be the most appropriate option for all patients. These agents display a relatively slow onset of action and have the potential for troublesome systemic adverse events, such as dry mouth, constipation, urinary retention and sedation. They have also been linked to cardiotoxic events, including myocardial infarction and cardiac dysrhythmias [2, 3, 25]. Thus, in some patients—especially those most susceptible to tricyclic antidepressant adverse events and cardiotoxicities, such as the elderly—alternative PHN treatments may be considered.

4.1.2 Gabapentin and Pregabalin

The anticonvulsants gabapentin and pregabalin bind to α2-δ proteins, where they act as voltage-gated calcium channel blockers, inhibiting central pain pathways [23]. Although both of these agents act at the same receptor site, pregabalin may offer a more predictable and linear pharmacokinetic profile than gabapentin [2]. In the Cochrane Database of Systematic Reviews, the NNT to obtain a patient with a 50 % reduction in pain was 7.5 for gabapentin [26] and 5.0–11 for pregabalin 300–600 mg [27]. As with tricyclic antidepressant treatment, adverse events with these agents may limit their use in some patients. In clinical studies, the most common adverse events with gabapentin and pregabalin included somnolence (up to 25 %) and dizziness (up to 46 %) [26, 27]. In addition, both agents may cause dry mouth, weight gain or peripheral oedema [28].

4.1.3 Lidocaine 5 % Patch

Lidocaine blocks voltage-gated sodium channels, decreasing ectopic impulses generated by damaged primary afferent pain receptors, thus attenuating PHN pain perception [23]. The lidocaine 5 % patch also incorporates a barrier effect similar to a cloth bandage. This therapy has a rapid onset of action (≤4 h). In clinical trials (4–12 weeks), ≥50 % reductions in pain have been reported in approximately one-quarter to one-third of patients [29–31]. Moreover, results from two 4-week open-label clinical studies indicated that the lidocaine 5 % patch was more effective than pregabalin for alleviating PHN pain [29, 32]. Efficacy and safety for ≤24 months has been demonstrated [33]. The NNT (calculated from small studies) for a ≥50 % reduction in neuropathic pain ranged from 2.0 to 4.4 [22, 24, 34].

Table 2 Treatment options for postherpetic neuralgia

| Treatment type          | Drug/drug class                                                                 |
|-------------------------|----------------------------------------------------------------------------------|
| First-line              | Tricyclic antidepressants                                                       |
|                         | Anticonvulsants such as gabapentin and pregabalin                                |
|                         | Lidocaine 5 % topical patch                                                      |
| Second-line             | Opioid analgesics                                                                |
|                         | Capsaicin                                                                        |
|                         | Tramadol                                                                         |
| Combination therapy     | Lidocaine 5 % topical patch in combination with systemic agent such as pregabalin |
|                         | Systemic agents combinations such as gabapentin/ nortriptyline or morphine/gabapentin |

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The lidocaine 5 % patch is generally well tolerated. The application of 3–4 patches daily results in systemic lidocaine concentrations of <200 ng/mL [35, 36], a level much lower than that required to trigger systemic (1,500 ng/mL) adverse events or cardiovascular toxicity (5,000 ng/mL) [37]. In a 12-month open-label trial, [33] the most frequent treatment-related adverse events were mild-to-moderate application-site reactions, such as transient pruritus, erythema and dermatitis.

4.2 Second-Line and Third-Line Therapies

4.2.1 Opioid Analgesics

The role of opioid analgesics in the management of PHN remains controversial [2]. Strong opioids, such as oxycodone, morphine and methadone, provide effective analgesia in patients with PHN that is at least comparable to tricyclic antidepressants [38]. In a 2005 systematic review, the NNT for a 50 % reduction in PHN pain with the strong opioids oxycodone and morphine was 2.5 and 2.7, respectively [24]. However, the long-term use of these analgesics presents a risk for potentially treatment-limiting adverse events, including nausea, sedation and constipation [38]. Tramadol, a centrally acting weak opioid and monoaminergic inhibitor, has proven less effective than strong opioids in PHN pain, but may be better tolerated than strong opioids [34]. The NNT for a 50 % reduction in PHN pain with tramadol was 4.8 [24].

4.2.2 Capsaicin

Capsaicin, a vanilloid type I receptor agonist, provides analgesia by activating and, in turn, desensitizing nerve fibres in the skin over time [39]. Capsaicin cream and the capsaicin 8 % patch have each demonstrated efficacy in patients with PHN [40–42]. Although capsaicin cream induces significant analgesia within 2 weeks of treatment initiation, maximal analgesic effect requires at least 4 weeks of treatment [42]. In a systematic review, the NNT (calculated from small studies) for a 50 % reduction in PHN pain with capsaicin cream was 2.7–3.4 [24]. The capsaicin 8 % patch has been shown to be more effective than conventional capsaicin cream formulations [43], though the NNT for a 50 % reduction in PHN pain calculated using data from a large clinical trial was 10.4 [44].

With the use of capsaicin cream, patients should expect discomfort before the onset of meaningful pain relief. Capsaicin cream requires repeated applications before the onset of meaningful analgesia, and many patients are unable to tolerate the associated burning sensation [41, 42]. In fact, application-site reactions, such as burning, stinging and erythema, occurred in more than 60 % of patients early in capsaicin treatment and were a major reason for treatment discontinuation [42]. The capsaicin 8 % patch must be administered in a clinic or hospital under medical supervision as a single treatment every 3 months in combination with a local anaesthetic, a potentially major limitation to routine use. Even with a local anaesthetic, patients may experience post-procedural pain requiring systemic analgesics such as opioids [45].

4.3 Rational Polypharmacy

In the treatment of PHN and other neuropathic pain conditions, single-drug therapy may not provide sufficient analgesia even when administered at maximum tolerable doses [46]. A single agent alone may not be capable of effectively modifying the complex pain mechanisms that underlie PHN. Consequently, the use of multiple agents with different mechanisms of action may be required to provide enhanced pain relief. Toward this end, guidelines for the management of neuropathic pain advocate the use of combination regimens, especially the topical lidocaine 5 % patch and an oral agent, when a single agent fails to offer acceptable PHN pain relief [21].

4.3.1 Lidocaine 5 % Patch Combination Therapy

In a randomized, clinical trial, patients not responding sufficiently to the lidocaine 5 % patch or pregabalin monotherapy after 4 weeks received combination therapy for 8 additional weeks [32]. During the monotherapy phase, 14 subjects (29 %) adequately responded to pregabalin and 25 (50 %) to the lidocaine 5 % patch. During combination therapy, 18 patients added pregabalin to the lidocaine 5 % patch, and 17 added the lidocaine 5 % patch to pregabalin, at the same doses used in monotherapy. Combination therapy yielded clinically relevant reductions in PHN pain. During the monotherapy phase, the lidocaine 5 % patch was significantly better tolerated than pregabalin, with the incidence of drug-related adverse events substantially lower in patients with the lidocaine 5 % patch (10 %) than with pregabalin (52.1 %). Combination treatment was generally well tolerated. As with monotherapy, most adverse events were attributed to pregabalin treatment. A pregabalin dose-sparing effect was observed when the lidocaine 5 % patch was added to pregabalin therapy. In a down-titration subtrial, pregabalin could be withdrawn from this combination therapy without pain relapse, implying that the improvement seen in pregabalin-treated patients with combination therapy may have been primarily the result of lidocaine treatment [32].

4.3.2 Other Combination Therapies

In the management of PHN pain, clinical studies also suggest that gabapentin combined with nortriptyline or
morphine combined with gabapentin yields greater reductions in PHN pain than either modality alone; however, the rate of drug-specific adverse events remained high [3].

5 Conclusions

PHN remains a potentially debilitating and undertreated form of neuropathic pain. Over the past decade, clinical findings and treatment guidelines have underscored the importance of increased clinician awareness of the signs and symptoms of this chronically painful disorder and the importance of deploying evidence-based treatment modalities to improve outcome.

A medical consensus has emerged on what is considered best practice in the management of PHN. Because of the virtually universal presence of varicella zoster infection, a direct antecedent of AHZ, individuals at least 50 years of age should receive the AHZ vaccine to minimize their risk of both AHZ and PHN.

In patients with PHN, first-line systemic therapies, such as tricyclic antidepressants (amitriptyline, nortriptyline and desipramine) and gabapentinoïds (gabapentin and pregabalin) have demonstrated efficacy, but may not be the most appropriate therapy for all patients because of their relatively slow onset of action and potential for treatment-limiting systemic adverse events. The lidocaine 5 % patch, listed as a first-line therapy in some guidelines, has a rapid onset of pain relief and a minimal risk for systemic adverse events, although application-site reactions occur in a minority of patients. Topical capsaicin cream or the capsaicin patch is considered second- or third-line therapy not only because capsaicin appears to be less effective than first-line therapies but also because it can trigger treatment-limiting application-site reactions. Additionally, clinical studies reveal that, in the management of PHN, combination therapy with two agents that display different mechanisms of action may bolster efficacy in patients who do not adequately respond to either treatment alone. This strategy usually incorporates a systemic and topical therapy, chiefly the lidocaine 5 % patch. Use of a topical analgesic in this way may provide additional analgesia without having a significant negative impact on the occurrence of systemic adverse events. In some patients, it may be clinically plausible to initiate the treatment of PHN with a topical agent, such as the lidocaine 5 % patch, before resorting to a systemic therapy, and to switch to or add on a systemic therapy when necessary to achieve satisfactory pain control.

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