Lateral Casimir force between deeply corrugated dielectric and metallic plates

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Abstract

We study the lateral Casimir force between corrugated dielectric plates. We use the dielectric contrast perturbation theory [R. Golestanian, Phys. Rev. Lett. 95, 230601, (2005)], which allows us to consider arbitrary deformations with large amplitudes. We consider sinusoidal, rectangular, and sawtooth corrugations, for samples made of silicon and gold. We use the plasma and Drude-Lorentz models for the permittivity of gold and silicon, respectively. For these geometries and materials, the lateral Casimir force is not a sinusoidal function of the relative lateral displacement of plates when the gap between the plates in comparable with the depth of the corrugations. Our results facilitate the design of miniaturized devices based on lateral Casimir forces.
I. INTRODUCTION

The Casimir force between macroscopic objects originates from the quantum fluctuations of the electromagnetic vacuum. It is known that the Casimir force strongly depends on the material properties and geometry of the objects. For example, two perfectly metallic plates immersed in the vacuum experience the attractive Casimir force, but a metallic plate and a purely magnetic one experience the repulsive force. Recently, Munday et al. observed a long-range repulsive force between gold and silica particles that are separated by bromobenzene.

It has been proposed theoretically and verified experimentally that two corrugated plates experience lateral Casimir force. Recently it has been suggested that the lateral Casimir force may intermesh separate parts of nanomachines. If the corrugated metallic plates are at a distance from each other that is considerably larger than the corrugation amplitudes, then the lateral force is a sinusoidal function of the relative lateral shift. To better understand the possibilities that such nanomachines could bring about, one must consider realistic material properties and large corrugation amplitudes. We note that with the recent developments in UV lithography and dry-etching techniques, the fabrication of such Casimir nanomachines is not out of reach.

Computing exact Casimir forces via standard numerical electromagnetism techniques is described. The scattering theory also includes both geometry effects and the optical properties of materials. For rectangular corrugations on ideal metallic plates, a nonperturbative approach to Casimir interaction is proposed. To treat shallow corrugations, one expands the reflection operators in terms of corrugation amplitudes. In case of sinusoidally corrugated plates, described by perfect metal or plasma model, the lateral force is calculated to second order in the deformation amplitude.

Indeed, approximate methods are quite useful in early stages of nanomachine design. In case of shallow corrugations, the Casimir force can be estimated using the proximity force approximation (PFA). For metallic plates with sawtooth corrugation pairwise summation (PWS) is applied. Here we use dielectric contrast perturbation theory (DCP), which allows us to consider arbitrary deformations with large amplitudes. We consider three different examples of sinusoidal, rectangular, and sawtooth corrugations. We demonstrate the results for two types of silicon and gold plates. We use the plasma and
Drude-Lorentz models for the permittivity of gold and silicon, respectively.

II. THEORETICAL FORMULATION

We consider two semi-infinite dielectric bodies placed at mean separation $H$, see Fig. 1. The height functions $h_1(x)$ and $h_2(x)$ characterize surface profile of the plates, where $x = (x, y)$ denotes the lateral coordinates. The (imaginary) frequency dependent dielectric function of the system is

$$\epsilon(i\zeta, r) = \begin{cases} 
\epsilon_2(i\zeta) & H + h_2(x) \leq z < +\infty, \\
1 & h_1(x) < z < H + h_2(x), \\
\epsilon_1(i\zeta) & -\infty < z \leq h_1(x). 
\end{cases}$$ (1)

We use the Clausius-Mossotti resummation of the perturbative expansion in terms of the dielectric contrast $\delta\epsilon(i\zeta) = \epsilon(i\zeta) - 1$, which yields the Casimir energy of the system to the lowest order as \[20, 21\]

$$E_C = -\frac{\hbar}{4\pi^2c^2} \int_0^\infty d\zeta \zeta^2 \overline{\delta \epsilon_1(i\zeta)} \delta\epsilon_2(i\zeta) \int d^2x \int d^2x' \mathcal{M}(x-x', H+h_2(x)-h_1(x'), \zeta).$$ (2)

Here

$$\mathcal{M}(x, H, \zeta) = \int \frac{d^2q_\perp}{(2\pi)^2} e^{iq_\perp \cdot x} \int_1^\infty dp \frac{2p^4 - 2p^2 + 1}{[4p^2 + (cq_\perp/\zeta)^2]^{3/2}} e^{-\zeta H \sqrt{4p^2 + (cq_\perp/\zeta)^2}},$$ (3)

$$\overline{\delta \epsilon(i\zeta)} = \frac{\delta \epsilon(i\zeta)}{1 + \frac{1}{3\delta \epsilon(i\zeta)}}.$$ (4)

$q_\perp = (q_x, q_y)$, and $q_\perp = \sqrt{q_x^2 + q_y^2}$.

Note that in the case of metals, the dielectric contrast $\delta\epsilon(i\zeta) = \epsilon(i\zeta) - 1$ is large, but $\overline{\delta \epsilon(i\zeta)} = \frac{\delta \epsilon(i\zeta)}{1 + \frac{1}{3\delta \epsilon(i\zeta)}} = 3 \frac{\delta \epsilon(i\zeta)}{\epsilon(i\zeta)+2}$ is not large. In case of two flat and parallel perfect conductors, $\epsilon(i\zeta) \to \infty$ and Eq. (2) yields $E_C = -\frac{69}{64\pi^2c} \frac{h\epsilon}{H^3}$, which is smaller than the exact result $-\frac{\pi^2}{720} \frac{h\epsilon}{H^3}$ by a factor about 0.8 \[20\]. We expect DCP to exhibit the same order of error in the case of corrugated metals.

We now focus on periodic uniaxial corrugations, where $h_{1,2}(x) = h_{1,2}(x)$ and $h_{1,2}(x+\lambda) = h_{1,2}(x)$. It is convenient to recast the kernel $\mathcal{M}(x, H, \zeta)$ in a different form. First, we use

$$\mathcal{M}(x, H, \zeta) = \int \frac{d^2q_\perp}{(2\pi)^2} e^{iq_\perp \cdot x} \int_1^\infty dp \frac{2p^4 - 2p^2 + 1}{[4p^2 + (cq_\perp/\zeta)^2]^{3/2}} e^{-\zeta H \sqrt{4p^2 + (cq_\perp/\zeta)^2}},$$ (3)

$$\overline{\delta \epsilon(i\zeta)} = \frac{\delta \epsilon(i\zeta)}{1 + \frac{1}{3\delta \epsilon(i\zeta)}}.$$ (4)
FIG. 1. Two corrugated plates with surface profiles $h_1(x)$ and $h_2(x)$. $H$, $\lambda$, $b\lambda$, $a_1$ and $a_2$ denote the mean separation, corrugation wavelength, relative lateral displacement, and corrugation amplitudes, respectively. Left) sinusoidal corrugations. Middle) sawtooth corrugations. Right) rectangular corrugations characterized with dimensionless parameters $f_1$ and $f_2$.

the identity

$$e^{-\frac{-\zeta H}{c}} \sqrt{\frac{4p^2 + (cq_\perp / \zeta)^2}{4p^2 + (cq_\perp / \zeta)^2 + Q_z^2}} e^{i\zeta HQ_z},$$

and then employ

$$\frac{1}{4p^2 + (cq_\perp / \zeta)^2} \frac{1}{4p^2 + (cq_\perp / \zeta)^2 + Q_z^2} = \int_0^\infty dt_1 \int_0^\infty dt_2 \exp[-(t_1 + t_2)(4p^2 + c^2 q_\perp^2 / \zeta^2) - t_2 Q_z^2],$$

$$= \int_0^1 ds \int_0^\infty ds \exp[-s(4p^2 + c^2 q_\perp^2 / \zeta^2) - st Q_z^2].$$

This representation of the kernel allows us to write

$$E_C = -\frac{\hbar L_y}{8\pi^3 c^3} \int_1^\infty dp \int_0^\infty ds \int_0^1 dt \left(2p^4 - 2p^2 + 1\right) t^{-1/2}$$

$$\times \int_0^{\infty} d\zeta \int dx dx' \zeta^3 \delta e_1(i\zeta) \delta e_2(i\zeta) e^{-\frac{(\zeta/c)^2}{4}(x-x')^2} - \frac{\zeta/c^2}{4} [H+h_2(x)-h_1(x')]^2 - 4p^2 s.$$ 

Here $L_x$ and $L_y$ are the extensions of the system in the $x$ and $y$ directions, respectively. $A = L_x L_y$ is the area of each plate.

The Casimir energy strongly depends on the geometrical features, especially the relative lateral displacement of the plates $b\lambda$; see Fig. 1. The plates thus experience the lateral Casimir force

$$F_{\text{lat}} = -\frac{1}{\lambda} \frac{\partial E_C}{\partial b}.$$
III. RESULTS

We study gold and silicon plates. We use the plasma model

$$\epsilon(i\zeta) = 1 + \frac{\omega_p^2}{\zeta^2}$$  \hspace{1cm} (9)

with $\omega_p = 1.3 \times 10^{16}$ rad/s to model the permittivity of gold. We use the Drude-Lorentz model

$$\epsilon(i\zeta) = \epsilon_h + \frac{(\epsilon_l - \epsilon_h)\omega_0^2}{\zeta^2 + \omega_0^2}$$ \hspace{1cm} (10)

with $\epsilon_h = 1.035$, $\epsilon_l = 11.87$, and $\omega_0 = 6.6 \times 10^{15}$ rad/s to model the dielectric function of silicon; c.f. Ref. [22]. In Eq. (8), we use the identity

$$\int_{-\infty}^{\infty} dx f(x) = \sum_{n=-\infty}^{\infty} \int_{0}^{\lambda} dx f(x - n\lambda),$$ \hspace{1cm} (11)

which is valid for any function $f(x)$ with periodicity $\lambda$, to simplify the numerical evaluation of $E_C$.

First, we study deep sinusoidal corrugations for gold plates; see Fig. 1. The height functions are

$$h_1(x) = a_1 \sin \left(\frac{2\pi}{\lambda} x \right),$$

$$h_2(x) = a_2 \sin \left(\frac{2\pi}{\lambda} x + b \right).$$ \hspace{1cm} (12)

We assume $a_1 = 120$ nm, $a_2 = 80$ nm, and $\lambda = 500$ nm. Figure 2(a) demonstrates $F_{\text{lat}}$ as a function of $b$ for various values of $H$. As expected, $F_{\text{lat}}$ is an odd function of $b$. $F_{\text{lat}}$ decays rapidly as $H$ increases. $F_{\text{lat}}$ is zero at $b = 0$ and $b = \pm 0.5$. Interestingly, $F_{\text{lat}}$ is almost $b$-independent in the range $-0.1 < b < 0.1$. For $H = 220$ nm, 230 nm, and 240 nm, $F_{\text{lat}}$ gains its maximum at $b = \pm 0.43$, $\pm 0.41$, and $\pm 0.39$, respectively. For $H = 220$ nm, the maximum of $F_{\text{lat}}/A$ is greater than 15 Nm$^{-2}$, which is a considerable pressure. Note that $F_{\text{lat}}(b)$ for deep sinusoidal corrugations on gold, is quite distinct from $F_{\text{lat}}_{\text{max}} \propto \sin(2\pi b)$ which is expected for shallow sinusoidal corrugations on perfect reflectors $[17]$. In case of shallow corrugations, $F_{\text{lat}}_{\text{max}} \propto a_1a_2$, whereas for deep corrugation $F_{\text{lat}}_{\text{max}}$ does not depend linearly on the corrugation amplitudes.

To emphasize the influence of geometry on the lateral Casimir force, we consider deep sawtooth corrugations on gold plates. We assume $a_1 = 55$ nm, $a_2 = 70$ nm and $\lambda = 530$ nm.
Figure 2 (b) demonstrates $F_{\text{lat}}$ as a function of $b$ for various values of $H$. Due to the geometry of the system, $F_{\text{lat}}$ is nonzero at $b = 0$ and $b = \pm 1$. A notable feature of this case is the rapid change of $F_{\text{lat}}$ around $b = 0$ and $b = \pm 1$. $F_{\text{lat}}$ is neither an odd nor an even function of $b$. In both $b > 0$ and $b < 0$ regions, $F_{\text{lat}}$ gains both positive and negative values. For each distance $H$, $F_{\text{lat}}$ has two zeroes in the $1 > b > 0$ ($-1 < b < 0$) region. For example, the lateral Casimir force is zero at $b = 0.14$ and $b = 0.89$, if $H = 140$ nm.

Next, we consider deep rectangular corrugations on silicon plates. We assume $f_1 = f_2 = \frac{5}{9}$,
\( a_1 = a_2 = 6 \ \mu m, \) and \( \lambda = 9 \ \mu m; \) see Fig. 1. We study the lateral force for mean separations \( H > 6 \ \mu m. \) These values are relevant to the recently fabricated system 13. Figure 2(c) demonstrates \( F^{\text{lat}} \) as a function of \( b \) for various values of \( H. \) Here the maximum of \( F^{\text{lat}}/A \) is about 0.003 Nm\(^{-2}\). Note that in contrast to the previous examples, here the typical length scales are of the order of a few microns. As one would expect from geometrical considerations, \( F^{\text{lat}} \) is an odd function of \( b. \) \( F^{\text{lat}} \) is zero at \( b = 0 \) and \( b = \pm 0.5. \) We note that \( F^{\text{lat}} \) has an almost constant value in the region \( 0.05 < |b| < 0.4. \)

It is instructive to compare the results of DCP and other approximate methods. We compute the lateral Casimir force \( F^{\text{lat}}_{\text{ps}} \) between sinusoidally corrugated surfaces of a plate and a sphere of radius \( R. \) We consider the experimentally realized parameters \( a_1 = 85.4 \ \text{nm}, \) \( a_2 = 25.5 \ \text{nm}, \lambda = 574.7 \ \text{nm}, \) \( H = 134 \ \text{nm}, \) and \( 2R = 194 \ \mu m \) 9. According to the PFA

\[
F^{\text{lat}}_{\text{ps}} = \frac{2\pi R}{\lambda} \frac{\partial}{\partial b} \int_H^\infty dH' \frac{E_C(H')}{A}. \tag{13}
\]

To model the permittivity of gold, we use the generalized plasmalike model of Ref. 9. Figure 2(d) demonstrates \( F^{\text{lat}}_{\text{ps}} \) as a function of \( b. \) \( F^{\text{lat}}_{\text{ps}} \) is a nonsinusoidal function of \( b \) and gains its maximum 89.7 pN at \( b = \pm 0.36. \) Using scattering theory and PFA, Chiu et al. found that \( F^{\text{lat}}_{\text{ps}} \) deviates from a sinusoidal form and reaches its maximum 57 pN at \( b = \pm 0.34. \) Experimental value of the maximum force is 52.5 ± 12 pN.

### A. Discussions

As mentioned before, approximate methods are quite useful in early stages of nanomachine design. One can obtain the Casimir energy of two corrugated surfaces using the PWS of potential \( U(r) = -B\hbar c/r^7. \) The factor \( B \) is chosen to recover the exact Casimir energy of two flat plates 3. The result of PWS is not valid if \( \lambda < H \) 17. PFA also fails in the limit \( \lambda < H \) 18. DCP allows us to consider arbitrary dielectric functions and arbitrary deformations with large amplitudes. However, even using the Clausius-Mossotti summation of terms, DCP underestimates the Casimir force by a factor about 0.8. Lifshitz and numerical results agree well as one utilizes the sixth order term of DCP 20. Thus DCP offers a systematic inclusion of many-body fluctuation-induced interactions, which are overlooked by PWS and PFA.

In summary, we use the Clausius-Mossotti summation of the dielectric contrast pertur-
bation theory to calculate the lateral Casimir force between deeply corrugated dielectric plates. Figures 2(a)-(c) demonstrate clearly that one can employ various geometries and materials to engineer the displacement-dependence of the lateral Casimir force. Our work lends itself to the proposition that one can harness such non-sinusoidal lateral forces to design mechanical rectifiers and sensors.

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\[ \frac{F_{\text{lat}}}{A} \quad (\text{Nm}^{-2}) \]

Graph showing the variation of \( \frac{F_{\text{lat}}}{A} \) with \( b \), where \( b \) ranges from -1.0 to 1.0. The graph includes lines for different values of \( H \) (in nanometers), specifically 160, 150, and 140. The y-axis represents the force per area in Nm\(^{-2}\), ranging from -10 to 2.