CONSTRAINTS FOR THE PROGENITOR MASSES OF 17 HISTORIC CORE-COLLAPSE SUPERNOVAE

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ABSTRACT

Using resolved stellar photometry measured from archival Hubble Space Telescope imaging, we generate color–magnitude diagrams of the stars within 50 pc of the locations of historic core-collapse supernovae (SNe) that took place in galaxies within 8 Mpc. We fit these color–magnitude distributions with stellar evolution models to determine the best-fit age distribution of the young population. We then translate these age distributions into probability distributions for the progenitor mass of each SN. The measurements are anchored by the main-sequence stars surrounding the event, making them less sensitive to assumptions about binarity, post-main-sequence evolution, or circumstellar dust. We demonstrate that, in cases where the literature contains masses that have been measured from direct imaging, our measurements are consistent with (but less precise than) these measurements. Using this technique, we constrain the progenitor masses of 17 historic SNe, 11 of which have no previous estimates from direct imaging. Our measurements still allow the possibility that all SN progenitor masses are < 20 M⊙. However, the large uncertainties for the highest-mass progenitors also allow the possibility of no upper-mass cutoff.

Key words: stars: evolution – stars: massive – supernovae: general

Online-only material: color figure, figure set, machine-readable table

1. INTRODUCTION

A fundamental question of stellar evolution theory is which stars end their lives as supernovae (SNe). Current theory for isolated massive stars makes two basic predictions for SNe. For one, the zero-age-main-sequence mass (MZAMS) and mass-loss history control whether an SN occurs, and second, for single stars there is a clear mapping between MZAMS and the type of SN (Woosley et al. 2002; Heger et al. 2003; Dessart et al. 2011). In particular, the lower masses explode with their hydrogen envelopes intact (e.g., II-P, II-L, IIn), and the most massive stars lose most of their envelopes and explode as hydrogen deficient SNe (e.g., Ib, Ib/c). However, given the complexity of the underlying physics, especially binary evolution, winds, and episodic eruptions, it is unclear whether nature obeys the same well-delineated mass-dependence.

In fact, the relatively high observed rates of H-deficient SNe (Smith et al. 2011b) and low upper limits on progenitor masses of Type Ibc SNe (Yoon et al. 2012; Eldridge et al. 2013) imply that binary evolution may figure prominently in producing the H-deficient SNe. Furthermore, theory predicts that binary evolution can significantly affect the mapping between initial stellar mass as SN type (e.g., Podsiałowski et al. 1992; Tutukov et al. 1992; Nomoto et al. 1995; De Donder & Vanbeveren 1998; Yoon et al. 2010; Claes et al. 2011; Dessart et al. 2011; Eldridge et al. 2011). It is clear that progress in understanding both mass loss and SNe requires observational constraints linking the progenitor mass with the eventual SN.

Unfortunately, of the dozens of SNe that have progenitor mass limits, only 17 have masses measured from a directly detected progenitor (6 of these 17 overlap this work). Smartt (2009) reviewed the mass distribution of 30 core-collapse SN progenitors (20 type IIP and 10 others), but only 8 of these had measurements beyond an upper limit. At that point, there were also 4 other nearby SN progenitors with full mass constraints (Woosley 1988; Aldering et al. 1994; Crockett et al. 2008; Fraser et al. 2010), bringing the total to 12. Since 2009, five additional SNe have been measured (Maund et al. 2011, 2014b; Murphy et al. 2011; Fraser et al. 2012, 2014; Van Dyk et al. 2012a, 2014), and some measurements improved (Van Dyk et al. 2012b; Maund et al. 2014a) making the total 17 measurements.

These mass estimates are based on serendipitous direct imaging of the progenitor. First, one searches through the Hubble Space Telescope (HST) archive for bright evolved stars at the SN position. Then, if a star is found, the endpoints of stellar evolution models which pass through the color and magnitude of the likely progenitor are used to estimate the star’s initial main sequence mass. If a star is not found, an upper limit on the progenitor luminosity is measured.

Even with the limited number of measurements available, there is a hint of a minimum MZAMS for explosion and that the least massive stars explode as SN II-P (Smartt et al. 2009). Furthermore, there are hints that very massive progenitors are absent (Kochanek et al. 2008). Interestingly, these measurements also suggested that the maximum mass for SN II-P may be lower than expected, with some perhaps having been merged binaries (Smartt et al. 2009), although circumstellar dust may also explain the observations (Walmswell & Eldridge 2012). Counter to expectations, some H-rich SNe (in particular IIn) have been associated with very massive stars (Gal-Yam & Leonard 2009; Smith et al. 2011a, 2011b). While tantalizing, these initial results are poorly constrained, and even the simple ~8 M⊙ lower mass limit requires more observational constraints.

While direct imaging of progenitors is the standard method for progenitor mass estimation, it suffers from a number of limitations. First among these is the requirement that the precursor imaging actually exist. The majority of past SNe have neither pre-existing HST imaging, nor sufficiently accurate
astrometry. Consequently, of the ∼40 historic SNe within ∼10 Mpc, only a handful have identified progenitors. Some future nearby SNe may also lack precursor imaging due to the limited observations in the HST archive.

The second major limitation is that even when precursor imaging is available, interpretation of that imaging depends on modeling of the most uncertain stages of stellar evolution (Gallart et al. 2005; Smartt et al. 2009; Yoon & Cantiello 2010; Langer 2012; Eldridge et al. 2013). Existing studies estimate the mass of a precursor by fitting endpoints of stellar evolution models to its color and magnitude; however, an evolved star’s appearance is not well constrained during the final evolutionary stages. Binarity, mass loss, pulsation, internal mixing, the formation of dust in stellar winds, and convective instabilities in shell-burning layers all contribute to systematic and random uncertainties in such model endpoints. Matching individual endpoints of stellar evolution models to a single highly evolved star on the brink of explosion therefore places weak constraints on the stellar mass once systematic uncertainties are taken into account.

In this paper, we take a complementary approach that obviates both of these limitations. We build on a technique developed by several investigators over the past two decades, starting with measuring ages for host star clusters (Efremov 1991; Walborn et al. 1993; Panagia et al. 2000; Barth et al. 1996; Van Dyk et al. 1999; Maíz-Apellániz et al. 2004; Wang et al. 2005; Vinkó et al. 2009; Crockett et al. 2008), and moving into finding coeval field populations around both SNe and supernova remnants (SNRs; Badenes et al. 2009; Gogarten et al. 2009; Murphy et al. 2011; Jennings et al. 2012). We note that, while we have applied this approach to many SNR locations, historic SNe are less numerous, but more reliable, targets because they have well-established locations and types, ensuring that every progenitor mass corresponds to a bona fide core-collapse event.

The technique finds the masses of SN precursors by analyzing the stellar populations of stars surrounding the SNe. Using well-established techniques of stellar population modeling, we can age-date the star formation (SF) event that led to each SN. The resulting age places strong constraints on the mass of the precursor, using the well-understood properties of main-sequence stars. As a result, in cases where the specific stellar population can be identified, our technique provides a more reliable progenitor age than direct imaging, as it does not depend on whether the progenitor was a single star or a binary. Furthermore, the method works even when there is no imaging prior to the SN, or when the SN position is only localized to within a few arcseconds. Hence our technique can be applied to the location of any historic SN that has sufficiently high-resolution imaging of the upper main sequence and/or He-burning sequence.

In Section 2, we discuss our sample and the data analyzed in our study, detail our analysis technique, and demonstrate its efficacy in test cases. In Section 3, we provide our results in the form of age distributions, a table of masses, and a table of probability distributions for each progenitor. Finally, Section 4 gives a summary of our findings.

2. DATA

2.1. Sample

We selected all positively typed historic core-collapse SNe within 8 Mpc that also have ∼arcsecond accuracy in their positions from the Asiago Supernova Catalog (Barbon et al. 1999); higher positional accuracy is not needed, due to the large size of the aperture within which the color–magnitude diagram (CMD) is constructed.6 We have shown in Murphy et al. (2011) that our method provides results consistent with direct progenitor detections (as confirmed by Van Dyk et al. 2013) in galaxies as distant as 8 Mpc. Beyond this limit, our method is not tested, and therefore we have confined our sample to be within this distance.

We cross-referenced the SN catalog with the HST archive and identified SNe that have HST imaging in at least two broadband filters in ACS, WFPC2, or UVIS. Even relatively shallow data can provide constraints given that, for the most massive ∼50 $M_\odot$ progenitors, the surrounding populations are likely to have other very massive stars with $M_\ast < −5$. We found 22 SNe that match these requirements. Another SN, 2011dh, has already been analyzed by our technique in Murphy et al. (2011). Of our sample there are two that are possibly SN impostors. These are SN1954J (Smith et al. 2001) and SN2002kg (Weis & Bomans 2005). We still include these impostors because the classification as SN impostors is not definitive and it is likely that these transients are associated with the last stages of stellar evolution, in which case our proposed method to derive progenitor masses is still of interest. Table 1 shows these SNe for which we can attempt to derive the star formation history (SFH) and progenitor mass, along with the proposal ID for the data set used for our photometry.

There were five SNe that we attempted to analyze, but were not able to constrain with confidence. These had relatively shallow data for quite distant events (SN 1980K, SN1985F, SN2002ap, SN2002bu, and SN2003gd) with fewer than 5 stars detected within a 50 pc physical radius. We do not consider these due to the sparsity of data, although it is likely that deeper imaging of these locations would yield photometry that would result in reliable mass estimates. However it is also possible that these progenitors were runaway stars exploding some distance from their co-eval population (cf., Eldridge et al. 2011).

2.2. Analysis Method

Our method has been described in several other publications, including its application to SN 2011dh (Murphy et al. 2011), 121 SNRs in M31 and M33 (Jennings et al. 2012, 2013), and an unusual transient in NGC 300 (Gogarten et al. 2009). We provide a description of the method here as well for convenience.

In brief, we fit SFHs to CMDs of the population surrounding the site of the SN to determine the age, and thus mass, of the SN progenitor. The measurements are anchored by the main-sequence stars surrounding the event; thus, our age estimates do not depend on whether a binary or single-star progenitor is assumed. Furthermore, the measurements are not sensitive to any circumstellar dust present around the progenitor itself.

In the following subsections we first summarize how well the method works and provide a proof of concept. Then we detail how our photometry was performed, how the stellar samples were generated, and how their age distributions were derived.

2.2.1. Overview

The method takes advantage of the fact that most stars form in stellar clusters (Lada & Lada 2003) with a common age ($\Delta t \lesssim 1–4$ Myr) and metallicity. Indeed, over 90% of stars form in rich clusters containing more than 100 members with $M > 50 M_\odot$ (Lada & Lada 2003). The stars that formed in a

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6. One arcsecond corresponds to 5 pc for every Mpc of distance.
common event remain spatially correlated on physical scales up to ~100 pc during the 100 Myr lifetimes of 4 $M_⊙$ stars, even if the cluster is not gravitationally bound (Bastian & Goodwin 2006); we have confirmed this expectation empirically in several test cases (Gogarten et al. 2009; Murphy et al. 2011). Thus, it is expected from photometric errors. The resulting reddening constraints are dominated by the young stars in which we are most interested.

The age of an SN’s host stellar population can be recovered from its CMD. In the simplest method, one can fit a single isochrone to an observed CMD and estimate the turnoff mass of the youngest stars. However, due to the small numbers of massive stars, one can easily underestimate the mass, since CMDs can show an apparent turnoff that is fainter than the true turnoff luminosity simply because of poor Poisson sampling of the upper end of the initial mass function (IMF). Instead, we adopt more sophisticated methods that take advantage of the entire CMD. These methods fit superpositions of stellar populations to reproduce the observed CMD, using the recovery of artificial stars to generate realistic distributions of stars from theoretical isochrones. The recovered recent SFH therefore fits not just the turnoff luminosity, but the full luminosity function of the main sequence and the blue and red core Helium-burning sequences as well. Including the well-populated lower end of the main sequence adds significant statistical weight when interpreting the sparsely sampled population of massive upper main sequence stars.

The method allows dust extinction to be reliably taken into account. The main sequence has a well defined color, such that any shift toward redder colors must be produced by foreground reddening, allowing the dust extinction to be inferred from the CMD itself. Differential extinction can be constrained as well, using the observed widening of the main sequence over what is expected from photometric errors. The resulting reddening constraints are dominated by the young stars in which we are most interested.

### 2.2.2. Method Validation

An example of the efficacy of the method is in its application to SN 1987A. We have run our model fits on deep WFPC2 photometry measured from the archival data of proposal ID 7434 (PI: Kirshner). We fit the F555W–F814W CMD in the range $12 < F814W < 24$ as shown in Figure 1, and get a well-constrained median $(22.0^{+2.3}_{-2.8} M_⊙)$, which is consistent with the mass $(19 \pm 3 M_⊙)$ derived in direct imaging studies (Woosley & Phillips 1988), and with the combined mass of the binary merger scenario $(16 + 3 \rightarrow 19$; Podsiadlowski et al. 1990, 1992). This comparison between techniques provides strong verification of our proposed method.

While this test is encouraging, the data for 1987A is significantly deeper than that of our more distant objects. Two more tests, however, suggest that our technique works even with much shallower data. First, we ran our model fits on SN 1987A only including the photometry for stars brighter than an apparent magnitude of 18.5 (absolute magnitude of 0), comparable to the depth of most of our more distant targets. The resulting median mass was more poorly constrained $(22.8^{+3.7}_{-1.5} M_⊙)$, but was still consistent with the known mass. Thus, we may lose precision with shallower data, but we can still obtain useful constraints.

In addition to our tests on SN 1987A, we have verified our technique out to ~8 Mpc by applying it to SN 2011dh in M51 (Murphy et al. 2011), for which we found a progenitor mass of $13^{+2.5}_{-1.5} M_⊙$. Maund et al. (2011) identified a progenitor in archival HST images, which has since vanished (Van Dyk et al. 2013), and fit the bolometric luminosity to stellar-evolution models to derive a progenitor mass of $13 \pm 3 M_⊙$, consistent with our constraints.

### Table 1

| SN       | Type | R.A.  | Decl. | Galaxy | PID   | $m - M$ | $Mpc$ | $A_V$ | $dA_V$ | $N_{stars}$ |
|----------|------|-------|-------|--------|-------|---------|-------|-------|--------|-------------|
| SN1917A  | II   | 20:34:46.90 | 60:07:29.00 | NGC 6946 | 11229 | 28.9 | 6.0 | 0.939 | 0.0 | 7 |
| SN1923A  | II P | 13:37:09.20 | −29:51:04.00 | NGC 5236 (M83) | 11360 | 28.5 | 5.0 | 0.75 | 1.6 | 142 |
| SN1951H  | II   | 14:03:55.30 | 54:21:41.00 | NGC 5457 (M101) | 9490 | 29.3 | 7.2 | 0.024 | 0.0 | 11 |
| SN1954J  | II pec | 07:36:55.36 | 65:37:52.1 | NGC 2403 | 10182 | 28.0 | 4.0 | 0.45 | 0.2 | 212 |
| SN1962M  | II P | 03:18:12.20 | −66:31:38.00 | NGC 1313 | 9796 | 28.0 | 4.0 | 0.4 | 0.0 | 125 |
| SN1980K  | II L | 20:35:30.07 | 60:06:23.75 | NGC 6946 | 11229 | 28.9 | 6.0 | 0.0 | 0.0 | 2 |
| SN1985F  | Ib   | 12:41:33.01 | 41:09:05.94 | NGC 4618 | 9042 | 29.5 | 7.9 | 0.0 | 0.0 | 4 |
| SN1987A  | II pec | 05:35:28.01 | −69:16:11.61 | LMC | 7434 | 18.5 | 0.05 | 0.206 | 0.7 | 11800 |
| SN1993J  | IIb  | 09:55:24.95 | 69:01:13.38 | NGC 3031 (M81) | 10584 | 28.0 | 4.0 | 0.45 | 0.0 | 143 |
| SN1994I  | Ic   | 13:29:54.07 | 47:11:30.50 | NGC 5194 | 10452 | 29.6 | 8.3 | 0.6 | 0.0 | 42 |
| SN2002ap | Ic pec | 01:36:23.85 | 15:45:13.20 | NGC 604 | 10272 | 29.3 | 7.2 | 0.0 | 0.0 | 1 |
| SN2002bu | IIn  | 12:17:37.18 | 45:38:47.40 | NGC 2403 | 10272 | 29.3 | 7.9 | 0.0 | 0.0 | 0 |
| SN2002hh | II P | 20:34:44.29 | 60:07:19.00 | NGC 6946 | 10607 | 28.9 | 6.0 | 1.3 | 0.0 | 66 |
| SN2002kg | IIn | 07:37:01.83 | 65:34:29.30 | NGC 2403 | 10182 | 28.0 | 4.0 | 0.11 | 0.0 | 267 |
| SN2003gd | Ic pec | 01:36:42.65 | 15:44:19.90 | NGC 604 | 10272 | 29.3 | 7.2 | 0.0 | 0.0 | 4 |
| SN2004am | II P | 09:55:46.61 | 69:40:38.10 | NGC 3034 (M82) | 10776 | 28.0 | 4.0 | 0.7 | 2.5 | 37 |
| SN2004dj | II P | 07:37:17.02 | 65:35:57.80 | NGC 2403 | 10607 | 28.0 | 4.0 | 0.11 | 0.0 | 127 |
| SN2004et | II P | 20:35:25.33 | 60:07:17.70 | NGC 6946 | 11229 | 28.9 | 6.0 | 1.3 | 1.4 | 6 |
| SN2005af | II | 13:04:44.06 | −49:33:59.80 | NGC 4945 | 10877 | 27.9 | 3.8 | 0.7 | 0.0 | 6 |
| SN2005cs | II P | 13:29:52.85 | 47:10:36.30 | NGC 5194 | 10452 | 29.6 | 8.3 | 0.098 | 0.0 | 33 |
| SN2008hk | II P | 23:57:50.42 | −32:33:21.50 | NGC 7793 | 12196 | 28.0 | 4.0 | 0.054 | 0.0 | 248 |
| SN2008iz | II | 09:55:51.55 | 69:40:45.80 | NGC 3034 (M82) | 10776 | 28.0 | 4.0 | 0.5 | 0.3 | 56 |

Note. a Columns are (1) name of SN, (2) spectral type of the SN, (3) right ascension of the SN in J2000 coordinates, (4) declination of the SN in J2000 coordinates, (5) host galaxy of the SN, (6) program identification number of the HST data used for our photometry, (7) distance modulus to the galaxy in magnitudes, (8) distance to the galaxy in Mpc, (9) foreground extinction to the SN in magnitudes, (10) differential extinction applied to our model fits in magnitudes, and (11) the number of stars in our photometry within the physical radius of 50 pc.
2.3. Resolved Stellar Photometry

To generate the CMD, we measured resolved stellar photometry of the HST field containing the location of the historic SN. This photometry was performed using the packages HSTPHOT for WFPC2 data or DOLPHOT (Dolphin 2000) for ACS data. These packages perform point spread function fitting optimized for the undersampled flat-fielded images that come from HST. All of the photometry we use has been publicly released to the High-Level Science Products in the HST archive through the ANGST and ANGRRR programs (GO-10915 and AR-10945; PI: Dalcanton). The details of the fitting and culling parameters used are provided in Dalcanton et al. (2009) and the ANGRRR public data archive. As part of these programs, hundreds of thousands of artificial star tests were also performed to assess completeness and photometric accuracy. These tests consist of inserting a single star into the data, rerunning the data reduction, and assessing whether the fake star was recovered, and if so, how close its measured brightness was to the input brightness.

2.3.1. CMD Sample Selection

To isolate the subset of stars from our catalogs that were co-spatial with the historic SNe, we used the coordinates for the SNe from the Asiago Supernova Catalog (Barbon et al. 1999), and galaxy distances from Dalcanton et al. (2009). We corrected the astrometry in our catalogs by cross-correlating Two Micron All Sky Survey (2MASS) positions for the bright stars in our catalogs with our positions. Our catalog astrometry was then corrected such that the star positions agree with those of 2MASS as precisely as our centroiding for these bright stars will allow (typically \( \sim 0.1 \) arcsec). This correction to our catalog astrometry made sure that our positions were at least as precise as those in the SN catalog (within a few tenths of an arcsecond).

With the location and the distance well-measured, we were able to pull stars measured within a projected radius of 50 pc of each SNe. In the most distant cases, this radius is only a bit more than 1 arcsec, making the necessary precision of astrometry only \( \sim 1'' \).

To provide fake star statistics for the photometric completeness and precision appropriate to our sample, we required a minimum of ten thousand fake stars. To reach this number, we included fake stars from a region of up to a factor of seven larger than the real stars. In fields where the quality of the data varies quickly with position, such as near the center of M82, we applied additional computing resources to obtain more artificial star tests within the same radius as the stellar sample. However, for almost all of our fields, changes in stellar density, and therefore photometric quality, were small over the field, making it

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Figure 1. 1987A deep test. Upper-left: HST image of the region around SN1987A. Upper-right: color–magnitude diagram from the HST WFPC2 imaging data of SN1987A. The lines at F814W = 18.5 and F814W = 24 show the data we included in our sample for the shallow and deep fits, respectively. Lower-left: cumulative SFH resulting from the deep CMD fit. With the full depth, the age is very tightly constrained. Lower-right: cumulative SFH resulting from the shallow CMD fit. The correct result is still preferred, but other ages are also allowed within the uncertainties.
Figure 2. Technique for finding best-fit reddening and differential extinction. The best (lowest) value for the Poisson likelihood statistic is compared for an extensive grid of $A_V$, $dA_V$ combinations. The best fit is then adopted as the SFH of the sample. This example is from SN2008iz, which had a best fit at $dA_V = 0.3$, $A_V = 0.3$.

(A color version of this figure is available in the online journal.)

possible to use a large suite of artificial star tests to improve statistics on our CMD fitting.

2.3.2. CMD Fitting

Our CMD fitting process was very similar to that performed in Jennings et al. (2012). We used the CMD-fitting package MATCH (Dolphin 2002, 2013) to fit each CMD with the stellar evolution models of Girardi et al. (2002) with updates in Marigo et al. (2008) and Girardi et al. (2010). The package allows models to be shifted in temperature and luminosity space to mimic systematic uncertainties, and it allows differential extinction to be applied to the models during fitting.

First, we determined the best-fitting amount of differential extinction to apply when fitting each SN. We fitted the data with a grid of values for the differential extinction ($dA_V$) and foreground extinction $A_V$. We chose the $dA_V$ value that provided the best fit to the data without requiring an $A_V$ value below the known foreground extinction from Schlegel et al. (1998). We show an example plot summarizing this extinction determination method in Figure 2.

With the distance, extinction, and differential extinction values fixed, we fitted the CMD to find the most likely age distribution, allowing metallicities for the young population in the range of $-0.6 \leq [\text{Fe/H}] \leq 0.1$. Examples of objects with data quality typical of most of our sample are shown in Figure 3, where we show an image of our extraction region, a plot of the CMD of the 50pc region, and a final cumulative SFH from the fitting routine. In Figure 4 we plot our final derived masses against distance and $A_V$. The lack of correlations in the derived masses as a function of these parameters suggests they do not introduce any significant bias into our measurements.

To assess systematic uncertainties (due to any model deficiencies), we reran the fitting with several changes to the models, following Dolphin (2012). We allowed the effective temperature of the models to vary by $\Delta\log(T_{\text{eff}}) = 0.02$. We allowed the bolometric luminosity of the models to vary by $\Delta\log(L_{\text{bol}}) = 0.17$. Furthermore, we allowed the differential extinction applied to the model to vary by $\Delta dA_V = 0.2$ in cases of high $dA_V (>0.4)$. We ran 100 fits to 100 realizations of the model, varying all of these parameters to account for systematic uncertainties resulting from our stellar evolution models and our treatment of the extinction.

Then, to measure the random uncertainties due to the number of stars and depth of the photometry, we used the hybirdMC task within the MATCH package as described in Dolphin (2013). This task determines the SF rate that would allow an acceptable fit to the data for each age bin, thus providing robust upper-limits for bins where the best-fit SF rates were zero. With both uncertainty determinations complete, we combined the random and systematic uncertainties in quadrature using the MATCH routine zcmmerge to calculate our final uncertainties on the SF rates in each age bin.

Next, we used our total uncertainties on the SF rates in each age bin to determine the uncertainty on the fraction of stellar mass present in each age bin back to 50 Myr. We performed 1000 Monte Carlo realizations of the measured SFH from 50 Myr to the present. We then calculated the 16% and 84% ranges in stellar mass fraction in each age bin from these tests. We adopted these percentiles as the uncertainties on fraction of stellar mass formed in each age bin relative to the total stellar mass produced in the past 50 Myr.

3. PROGENITOR MASSES

Once we had the mass fraction (and associated mass fraction uncertainty) in each age bin, we calculated our first estimate of the progenitor mass by determining the median age of the best fit. We then used our uncertainties to determine the age bins consistent with containing the median to assign uncertainties on that median age. Finally, we converted these ages to masses by taking the most massive star remaining in the model isochrone corresponding to each age (see Jennings et al. 2012, for more details). These values provide the nominal progenitor mass and associated uncertainties for each SN. These median masses and associated uncertainties ($\sigma_{\text{med}}$) are provided in Table 2.

Although the assignment of a single progenitor age is of interest, many of our SFHs contain multiple coeval populations, making a more complex distribution of the mass probability desirable for some purposes. We therefore have also tabulated the uncertainty for each progenitor due to the spread in the recovered age distribution ($\sigma_{\text{pop}}$). These uncertainties encompass 68% of the total population mass (about the median value of the best fit) with ages <50 Myr including uncertainties and account for the full distribution ages present at the SN location, similar to the technique adopted in earlier work (Murphy et al. 2011; Jennings et al. 2012). In most cases, the stellar mass is relatively well confined to a small age range, but including this second set of uncertainties shows where there are multiple ages present. For example, the median age of the young population surrounding SN1994I is well-determined, providing a high-precision mass measurement of $10.2 \pm 0.7 M_\odot$; however, there is also a younger population present that represents a significant fraction of the stellar mass. If the presence of this population is taken into account, the uncertainties on the progenitor mass increase substantially to $10.2^{+7.9}_{-3.5} M_\odot$. Thus, in this case, only under the assumption that the progenitor was a member of the dominant young population is the mass of the progenitor well-constrained. Otherwise, it is only a lower limit.

Looking at these uncertainties, one can determine which SNe would benefit most from improved photometry data. Large spreads in the 68% population mass accompanied by small errors on the median seem to occur for SNe with few stars in the CMD. For example, SN1951H has only 11 stars for fitting, a
Figure 3. Example of the results for a typical supernova location. Left column: 20′′ × 20′′ image of region surrounding (top row) SN1993J in M81 and (bottom row) SN2002hh in NGC 6946. Our 50 pc extraction region is marked with the white circle. Center column: the color–magnitude diagram resulting from our photometry extraction. Right column: the cumulative fraction star formation from 50 Myr ago to the present as measured by our CMD-fitting analysis described in Section 2. Several other examples are shown in Figures 1, 6, and 7.

(The complete figure set (36 images) is available in the online journal.)

Figure 4. Scatter plots of our estimates of the progenitor masses against our adopted distance (left), and $A_V$ (right). No correlation is found, showing that these parameters did not significantly bias our results.

10% error on the median, but $\sigma_{\text{pop}}$ values that encompass the full mass range of the models. Thus, the well-constrained median suggests that the mass should also be well constrained, but the small number of stars results in large uncertainties for other age bins which would likely be reduced with deeper data and a larger number of detected stars.

Finally, to provide detailed probability distributions for all SN, we tabulated the probability that the progenitor was in each age/mass bin, given the SFH and associated uncertainties. These probability distributions are given in Table 3, where each mass bin is assigned a probability which comes from the most likely SFH, and an associated uncertainty on the probability, which comes from applying the uncertainties in the SFH to the mass probability distribution. Thus, in order to account for both sources of uncertainty on the progenitor mass (the fitting uncertainty and the uncertainty associated with the intrinsic range of ages), it is necessary to assign uncertainties to our probabilities. However, in many cases, the median mass is
Table 2
Median Mass, Uncertainties, and 1σ Mass Distributions

| SN             | Median Mass | +σmed | −σmed | +σpop | −σpop | Direct Imaging Mass |
|----------------|-------------|-------|-------|-------|-------|--------------------|
| SN1917A        | 7.9         | 6.7   | 0.5   | 25.1  | 0.5   | ...               |
| SN1923A        | 22.0        | 2.3   | 12.8  | 45.6  | 13.8  | ...               |
| SN1951H        | 12.5        | 0.9   | 2.3   | 6.2   | 5.2   | ...               |
| SN1954J        | 12.5        | 3.1   | 0.9   | 16.7  | 4.4   | ...               |
| SN1962M        | 38.0        | 6.2   | 25.7  | 6.2   | 30.6  | ...               |
| SN1987A (shallow) | 22.8        | 2.5   | 14.4  | 47.4  | 15.5  | 19 ± 3 (Woosley & Phillips 1988) |
| SN1987A (deep) | 22.0        | 2.3   | 5.8   | 8.6   | 13.8  | 19 ± 3 (Woosley & Phillips 1988) |
|                |             |       |       |       |       | Binary merger 16 + 3 → 19 (Podsiadlowski et al. 1990, 1992) |
| SN1993J        | 11.7        | 5.5   | 0.8   | 21.3  | 2.2   | ...               |
| SN1994I        | 10.2        | 0.7   | 0.7   | 59.2  | 1.8   | ...               |
| SN2002hh       | 15.9        | 4.8   | 1.3   | 9.9   | 6.4   | ...               |
| SN2002kg       | 9.7         | 9.1   | 0.6   | 13.5  | 2.3   | ...               |
| SN2004am       | 10.2        | 0.7   | 0.7   | 4.3   | 1.8   | ...               |
| SN2004dj       | 12.9        | 0.9   | 0.9   | 17.7  | 4.7   | ~12 (Wang et al. 2005) |
| SN2004et       | 56.4        | 12.2  | 39.7  | 48.1  | 15.5  | 15 ± 5 (Li et al. 2005) |
| SN2005af       | 8.7         | 0.5   | 0.5   | 5.2   | 1.3   | ...               |
| SN2005cs       | 12.5        | 10.6  | 2.3   | 54.0  | 4.4   | 10 ± 3 (Li et al. 2006) |
| SN2008bk       | 7.9         | 9.4   | 0.5   | 25.1  | 0.5   | ...               |
| SN2008iz       | 11.0        | 0.7   | 0.7   | 4.7   | 2.8   | ...               |

Note. a Columns are (1) name of SN, (2) mass in solar units corresponding to the median age of the stars <50 Myr old within 50 pc of the event, (3) positive 1σ uncertainty on the median mass in solar units, (4) negative 1σ uncertainty on the median mass in solar units, (5) positive 1σ uncertainty on the mass including the entire <50 Myr age distribution of the surrounding population, (6) negative 1σ uncertainty on the mass including the entire <50 Myr age distribution of the surrounding population, and (7) the measurement of the progenitor mass from direct imaging.

Table 3
Probability Distributions, with Associated Uncertainties, for Our Sample

| SN   | Mass High | Mass Low | Probability | + Uncertainty | − Uncertainty |
|------|-----------|----------|--------------|---------------|---------------|
| SN1917A | 68.6   | 45.3     | 0.0          | 5.7           | 0.0           |
| SN1917A | 45.3   | 33.0     | 0.0          | 11.0          | 0.0           |
| SN1917A | 33.0   | 25.9     | 10.6         | 9.9           | 10.6          |
| SN1917A | 25.9   | 20.7     | 0.0          | 20.7          | 0.0           |
| SN1917A | 20.7   | 17.3     | 0.0          | 27.2          | 0.0           |
| SN1917A | 17.3   | 14.6     | 0.0          | 34.7          | 0.0           |
| SN1917A | 14.6   | 12.5     | 0.0          | 42.4          | 0.0           |
| SN1917A | 12.5   | 10.9     | 0.0          | 50.4          | 0.0           |
| SN1917A | 10.9   | 9.6      | 0.0          | 59.2          | 0.0           |
| SN1917A | 9.6    | 8.4      | 0.0          | 73.1          | 0.0           |

Note. a Columns are (1) name of SN, (2) upper mass limit of the mass bin, (3) lower mass limit of the mass bin, (4) most likely percentage of stellar mass (<50 Myr) in the mass bin, (5) positive 1σ uncertainty on the percentage, and (6) negative 1σ uncertainty on the percentage.

Six of the events in our sample have previously measured progenitor masses from direct imaging (SN1987A, SN1993J, SN2004dj, SN2004et, SN2005cs, SN2008bk, see Table 2), and while our measurements are less precise in some cases, they are consistent with the previous measurements in all cases, as shown in Figure 5. Indeed, in all cases the previous measurements are consistent with our most optimistic uncertainties—the uncertainty on the median age of the young population. Furthermore, there is no indication of systematic bias in the comparison.

3.1. Extreme Cases

A few SNe in our samples stand out as extremely challenging to our ability to measure SFHs. For example, in Figure 6 we show...
Figure 6. Our measurement with the highest dust extinction. Left: 20″ × 20″ image of region surrounding SN2004am in M82. Our 50 pc extraction region is marked with the white circle. Center: the color–magnitude diagram resulting from our photometry extraction. The lack of any clear feature suggests that our results are becoming less reliable at these high amounts of differential extinction. Right: the cumulative fraction star formation from 50 Myr ago to the present as measured by our CMD-fitting analysis described in Section 2.

Figure 7. Our measurement with the fewest number of stars. Left: 20″ × 20″ image of region surrounding SN2004et in NGC 6946. Our 50 pc extraction region is marked with the white circle. Center: the color–magnitude diagram resulting from our photometry extraction. Right: the cumulative fraction star formation from 50 Myr ago to the present as measured by our CMD-fitting analysis described in Section 2. The low precision makes it clear that we cannot constrain progenitor masses with any fewer stars than this example.

Figure 8. Ranked plot of our mass measurements and associated uncertainties. Overplotted are the expected mass distributions for a Salpeter (1955) IMF with an upper-mass cutoff of 22 $M_\odot$ (dashed line), and with an upper mass cutoff of 70 $M_\odot$ (solid line).
example confirms that our uncertainty estimates are reliable, but also demonstrates that attempting this technique with any fewer stars is of little value.

3.2. Progenitor Mass Distribution

We note that our results are consistent with no SN progenitors \( > 20 M_\odot \), as are all of the progenitor mass measurements currently available in the literature (see references in Section 1). While we do have some best estimates that are higher mass, their uncertainties all extend below \( 20 M_\odot \). Our most massive central values are for SN2004et and SN1962M, but these only have a 75% and 82% probability of being \( > 20 M_\odot \). Furthermore, the direct imaging mass for SN2004et has an upper limit of \( 20 M_\odot \), suggesting that the correct mass is indeed at the low end of our uncertainties. Figure 8 plots the masses in ranked order, along with the expected distribution of masses for a Salpeter (1955) IMF with different upper-mass cutoffs. The large uncertainties on the high progenitor masses severely limit our ability to determine the existence of such a cutoff. Thus, our current sample and data quality do not provide any conclusive evidence that high-mass stars produce core-collapse SNe. This lack of conclusive \( > 20 M_\odot \) progenitors is consistent with findings of several other studies (Smartt et al. 2009; Jennings et al. 2012), hinting that there could be a ceiling to SN production or a mass range that underproduces SNe. However, if we can measure a single progenitor mass \( > 20 M_\odot \) with even 20% precision, constraints on the progenitor mass distribution would be greatly improved.

4. CONCLUSIONS

We have constrained the progenitor masses of 17 historic SNe using CMD fitting of stellar populations measured from \( HST \) archival data. Eleven of these are new constraints, making the total number of historic SN progenitor masses 28. Even with this dramatic increase in mass measurements, there is still not a single high-precision measurement of a progenitor \( > 20 M_\odot \), making characterization of the progenitor mass distribution difficult.

This work represents all that is possible with the current state of the \( HST \) archive. The power of the technique is clear, and we hope that future studies will be made possible by more and deeper \( HST \) imaging of nearby galaxies containing historic SNe.

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