Ground-state tuning of metal-insulator transition by compositional variations in \( \text{BaIr}_{1-x}\text{Ru}_x\text{O}_3(0\leq x\leq 1) \)

S. J. Yuan, K. Butrouna, J. Terzic, H. Zheng, S. Aswartham, L. E. DeLong, Feng Ye, P. Schlottmann, and G. Cao

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Ground state tuning of the metal-insulator transition by compositional variations in BaIr$_{1-x}$Ru$_x$O$_3$ ($0 \leq x \leq 1$)

S. J. Yuan$^1$, K. Butrouna$^1$, J. Terzic$^1$, H. Zheng$^1$, S. Aswartham$^1$, L. E. DeLong$^1$, Feng Ye$^2$, P. Schlottmann$^3$ and G. Cao$^1$

$^1$ Center for Advanced Materials and Department of Physics and Astronomy, University of Kentucky, Lexington, Kentucky 40506, USA

$^2$ Quantum Condensed Matter Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, USA

$^3$ Department of Physics, Florida State University, Tallahassee, FL 32306, USA

ABSTRACT

Hexagonal BaIrO$_3$ is a magnetic insulator driven by the spin-orbit interaction (SOI), whereas BaRuO$_3$ is an enhanced paramagnetic metal. Our investigation of structural, magnetic, transport and thermal properties reveals that substitution of Ru$^{4+}$ ($4d^4$) ions for Ir$^{4+}$ ($5d^5$) ions in BaIrO$_3$ reduces the magnitudes of the SOI and a monoclinic structural distortion, and rebalances the competition between the SOI and the lattice degrees of freedom to render an evolution from a magnetic insulting state to a robust metallic state. The central findings of this work are (1) light Ru doping ($0 < x \leq 0.15$) prompts simultaneous, precipitous drops in both the magnetic ordering temperature $T_N$ and the electrical resistivity, and (2) heavier Ru doping ($0.41 \leq x \leq 0.9$) induces a robust metallic state without any long-range magnetic order. All results suggest a critical role of the lattice degrees of freedom in determining the ground state in the heavy transition metal oxides.

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I. INTRODUCTION

A unique feature of the 5d-iridates is that a strong spin-orbit interaction (SOI) competes vigorously with Coulomb interactions, non-cubic crystalline electric fields, and Hund’s rule coupling[1-5]. The relative strengths of these interactions stabilize new exotic ground states that provide a fertile ground for studying new physics. In particular, it is now recognized that strong SOI can drive novel, narrow-gap Mott insulating states in iridates. The SOI is a relativistic effect that is proportional to $Z^2$ ($Z$ is the atomic number), and is approximately 0.4 eV in the iridates (compared to ~ 20 meV in 3d materials), and splits the $t_{2g}$ bands into states with $J_{\text{eff}} = 1/2$ and $J_{\text{eff}} = 3/2$, the latter having lower energy. Since the Ir$^{4+}$ ($5d^5$) ions provide five 5d valence electrons, four of them fill the lower $J_{\text{eff}} = 3/2$ bands, and one electron partially occupies the $J_{\text{eff}} = 1/2$ band in which the Fermi level $E_F$ resides. The $J_{\text{eff}} = 1/2$ band is so narrow that even a reduced $U$ (~ 0.50 eV, due to the extended nature of 5d-electron orbitals) is sufficient to open a gap ($\leq 0.62$ eV) that induces a novel insulating state, which is contrary to expectations based upon the relatively large, unsplit 5$d$ bandwidth[1-3,6].

Adopting a distorted hexagonal structure with both face-sharing and corner-sharing IrO$_6$ octahedra, BaIrO$_3$ is particularly unique, in that it exhibits a simultaneous onset of weak ferromagnetic transition due to a canted antiferromagnetic (AFM) structure and charge density wave (CDW) orders with Néel temperature $T_N = 183$ K, comparable to that of other iridates, such as 240 K for Sr$_2$IrO$_4$ [7] and 285 K for Sr$_3$Ir$_2$O$_7$ [8], and a temperature-driven transition from a bad-metal to an insulating ground state [9-11]. The ground state of BaIrO$_3$ is extremely sensitive to lattice contractions that can be tuned by light doping or the application of hydrostatic pressures [4,12,13]. The extraordinary delicacy of the ground state in BaIrO$_3$ implies a critical balance between orbital, electronic, and lattice degrees of freedom [4,14]. The hexagonal structure of BaIrO$_3$ is similar to that of nine-layered, rhombohedral BaRuO$_3$, which exhibits a crossover from metallic to insulating behavior and enhanced paramagnetism with decreasing temperature [15,16]. However, a
monoclinic distortion extant in BaIrO$_3$ at room temperature and 90 K generates twisting and buckling of the cluster trimmers (see Fig. 1) that give rise to two one-dimensional (1D) zigzag chains along the $c$-axis, and a two-dimensional (2D) layer of corner-sharing IrO$_6$ octahedra in the $ab$ plane [9,12,17-19].

Although BaIrO$_3$ and BaRuO$_3$ have similar structures, they exhibit sharply contrasting physical properties, which underscores the critical role SOI (~0.4 eV for iridates, and ~0.15 eV for ruthenates)[3] and the lattice degrees of freedom can play in determining the ground state in iridates. In this work, substituting Ru$^{4+}$ ($4d^4$) for Ir$^{4+}$ ($5d^5$) in single-crystal BaIr$_{1-x}$Ru$_x$O$_3$ ($0 \leq x \leq 1$) reduces the magnitude of the SOI, the structural distortions and adds holes to the $t_{2g}$ bands. The overall effect of Ru doping is to lower $E_F$ and move the system away from the Mott instability toward a more robust metallic state. The emerging metallic state with delocalized electrons also accompanies a decrease in $T_N$.

II. EXPERIMENTAL

The single crystals of BaIr$_{1-x}$Ru$_x$O$_3$ were grown by conventional flux methods similar to earlier reports [9,15], using BaCl$_2$ as self-flux. Crystals were grown in platinum crucibles using IrO$_2$ (99.98%, Alfa Aesar), RuO$_2$ (99.98%, Alfa Aesar), BaCO$_3$ (99.99%, Alfa Aesar) and anhydrous BaCl$_2$ (99.5%, Alfa Aesar). Starting powders were placed in a Pt crucible with a Pt lid, and this assembly was then put in an alumina crucible with a cover. The mixtures were heated up to 1480 °C, and then cooled to 1350 °C at a rate of 5 °C per hour, before cooling down to room temperature. The ratio of the sample to flux remains at 1:8 throughout the entire series of BaIr$_{1-x}$Ru$_x$O$_3$. The crystals have a hexagonal surface and a visible layered texture along the $c$-axis, as shown in the inset of Fig. 2(a). The crystal structures were determined using a Nonius Kappa CCD X-ray diffractometer or a Rigaku X-ray diffractometer XtaLAB PRO equipped with PILATUS 200K hybrid pixel array detector at 90 K or 240 K, and they were refined by full-matrix, least squares using the SHELX-97 programs [20]. The standard deviations of all lattice parameters and interatomic distances are smaller than 0.1%. The atomic parameters for BaIr$_{1-x}$Ru$_x$O$_3$
are available in Supplemental Material (SM)[21]. Chemical compositions of the single crystals were estimated using a combined unit of Hitachi/Oxford SwiftED 3000 for energy dispersive X-ray (EDX) spectroscopy. The magnetization $M(T)$, electrical resistivity $\rho(T)$ and specific heat $C(T)$ were measured between 1.7 K and 400 K using a Quantum Design 7T SQUID Magnetometer and a Quantum Design 9T Physical Property Measurement System, respectively.

### III. RESULTS AND DISCUSSION

The two end members BaIrO$_3$ and BaRuO$_3$ both have 9 layers rhombohedral phase with different space groups, as shown in Fig. 1(a)&(b). The $C2/m(12)$ space group of BaIrO$_3$ features three face-sharing IrO$_6$ octahedra forming Ir$_3$O$_{12}$ trimers that are corner- and face-shared via IrO$_6$ octahedra (containing Ir1 and Ir3 sites) to form one-dimensional (1D) chains along the $c$-axis [12,16-19] (see Fig. 1(a)). A monoclinic distortion generates twisting and buckling of the trimers (tilted ~ 12° relative to each other), which gives rise to two 1D zigzag chains along the $c$-axis, and a two-dimensional layer of corner-sharing IrO$_6$ octahedra in the $ab$-plane. Substituting Ru$^{4+}$ for Ir$^{4+}$ preserves the monoclinic structure in the entire doping range ($x \leq 0.90$) except for $x = 1$, as shown in Table 1. It results in a nearly uniform reduction in lattice parameters $a$, $b$ and $c$ axes, and the unit cell volume $V$. This behavior is expected because the ionic radius of Ru$^{4+}$ (0.620 Å) is slightly smaller than that of Ir$^{4+}$ (0.625 Å). In addition, the Ir/Ru-O-Ir/Ru bond angle $\theta$ increases linearly with increasing Ru concentration $x$ and eventually reaches 180° for $x = 1$ (i.e., BaRuO$_3$), indicating a significantly less distorted lattice. BaRuO$_3$ or $x=1$ exhibits a similar crystal structure with the $R\bar{3}m$ (166) space group, as shown in Fig. 1(b). Three RuO$_6$ octahedra share faces in a partial chain, facilitating direct Ru-Ru $d$-orbital interactions between the octahedra. Each of these triple units or trimmers of octahedra shares corners with its neighbors along the hexagonal axis via nearly 180° bonds angle that favor superexchange coupling (Fig.1(b)).

Ru doping induces pronounced changes in a wide range of physical properties of
single-crystal BaIr$_{1-x}$Ru$_x$O$_3$. Representative data for the $c$-axis magnetic susceptibility $\chi_c(T)$ that shows the weak magnetic transition at $T_N$ is depressed from 183 K for $x = 0$, to 145 K for $x = 0.04$, and vanishes for $x \geq 0.41$, as shown in Fig. 2.

The magnetic anisotropy also decreases with Ru additions, as shown in Fig. 3. Magnetic anisotropy is in general a result of SOI; Ru doping weakens the SOI, therefore, leading to a smaller magnetic anisotropy. Furthermore, the Hund’s rule coupling competes with the SOI, thus weakens the relative strength of the SOI. With increasing $x$, the $c$-axis susceptibility $\chi_c(T)$ becomes relatively stronger and larger than the basal-plane susceptibility $\chi_{ab}(T)$ (see Fig. 3 (b) & (c)). This change suggests a spin-flop from the basal-plane to the $c$-axis due to Ru doping. For $x = 1$, the basal-plane $\chi_{ab}(T)$ is larger than $\chi_c(T)$ again (see Fig. 3 (d)). Similar phenomena were also observed in Ca$_2$Ru$_{1-x}$Ir$_x$O$_4$ [22] and Sr$_2$Ir$_{1-x}$Ru$_x$O$_4$[23]. This behavior could be due to the strong interaction between Ru 4d- and Ir 5d-electrons.

It is already established that the bond angle $\theta$ is critical to the electronic and magnetic structure of iridates [4]. As shown in Fig. 4(a), $\theta$ increases linearly with increasing $x$ and eventually reaches an ideal 180° for $x = 1$. The increase in $\theta$ directly enhances the electron hopping and favors a more metallic state with a concurrent decrease in $T_N$ (see Fig. 4(b)).

Indeed, the evolution from the insulating to itinerant state upon Ru doping is clearly illustrated in the electrical resistivity $\rho(T)$. For $x = 0$, both the $ab$-plane and $c$-axis, $\rho_{ab}(T)$ and $\rho_c(T)$ exhibit a sharp kink at $T_N = 183$ K, consistent with previous results in which the energy gap is estimated to be 0.1 eV [9,17]. With Ru doping, both $\rho_{ab}(T)$ and $\rho_c(T)$ decrease rapidly (see Fig. 5). It is noted that the metallic behavior at higher temperatures for $x = 0.04$ (see Fig. 5(b)) does not seem to follow a general trend displayed by other compositions although the behavior is highly reproducible. The origin of this brief occurrence of the metallic state is yet to be understood. Nevertheless, dilute Ru substitutions for Ir result in a reduced $\rho(T)$ and an emerging metallic state for $x > 0.15$. For $x = 1$ or BaRuO$_3$, a broad upturn in $\rho_{ab}(T)$ at low temperatures might be a result of a pseudogap formation and 1D-CDW fluctuations, according to Ref. [16].
The temperature dependence of the specific heat \( C(T) \) for various \( x \) is given in Fig. 6(a). Fitting the data to \( C(T) = \gamma T + \beta T^3 \) for \( 7 < T < 17 \text{ K} \) yields the Sommerfeld coefficient \( \gamma \) for the electronic contribution to \( C(T) \) (see Fig. 6(b)), which serves as a measure of the electronic density of states at the Fermi level, \( N(E_F) \), and the effective mass of the carriers. There is a substantial increase of \( \gamma \) with dilute Ru concentration; in particular, \( \gamma \) reaches 11.75 mJ/mol K\(^2\) for \( x = 0.04 \), and 15.09 mJ/mol K\(^2\) for \( x = 0.15 \), compared to \( \gamma = 2.34 \text{ mJ/mol K}^2 \) for the parent compound \((x = 0.0)\). The \( \gamma \) for \( 0.04 \leq x \leq 0.15 \), in which the metallic state is not fully developed, is unexpectedly high, and this is likely due to spin fluctuations existent in the system. Nevertheless, \( N(E_F) \) and \( \gamma \) eventually decrease with \( x \), as shown in Fig. 6(b). In the case of BaRuO\(_3\), the smaller values reflect pseudogap formation due to the CDW instability [16].

**IV. CONCLUSIONS**

We have investigated the structural, magnetic, transport and thermal properties of BaIr\(_{1-x}\)Ru\(_x\)O\(_3\). Ru doping rebalances the competition between the SOI, electron correlations and the lattice degrees of freedom to generate a metallic state for \( x > 0.15 \). The Ru doping alters the relative strength of the SOI that dictate the ground state, which, in turn, affects the band gap near \( E_F \). Unlike the situation in Sr\(_2\)IrO\(_4\) that features an unconventional correlation between the magnetic transition and charge gap, the evolution of the ground state in BaIr\(_{1-x}\)Ru\(_x\)O\(_3\) appears to indicate a strong coupling between the magnetic order and metal-insulator transition. All results suggest a critical role of lattice degrees of freedom that along with the SOI dictates the ground state of the heavy transition metal oxides.

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*Corresponding author: sjyuan.shu@gmail.com, cao@uky.edu

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Figure Captions

FIG. 1. Comparison of the nine-layer crystallographic form (a) BaIrO$_3$ and (b) BaRuO$_3$ crystal structure. Note the corner-sharing Ir$_3$O$_{12}$ and Ru$_3$O$_{12}$ trimers that are connected through the vertices of the top and bottom octahedra of the trimers, and the schematic of the M–O$_2$–M bond angle $\theta$ (M = Ir or Ru).

FIG. 2. The magnetic susceptibilities $\chi(T)$ along c axis for BaIr$_{1-x}$Ru$_x$O$_3$, where (a) $0 \leq x \leq 0.15$ and (b) $0.42 \leq x \leq 1$. The data were collected after field cooling procedure at $\mu_0 H = 0.1$ T. The inset in (a) shows a representative single crystal of BaIr$_{1-x}$Ru$_x$O$_3$ with $x = 0$. The inset in (b) shows an enlarged $\chi_c(T)$ for $x = 0.15$.

FIG. 3. The magnetic susceptibilities $\chi(T)$ in ab plane and along c axis respectively for representative compositions (a) $x = 0$, (b) $x = 0.10$, (c) $x = 0.82$, and (d) $x = 1$. The magnetization was measured after field cooling at $\mu_0 H = 0.1$ T.

FIG. 4. The Ru concentration $x$ dependence of (a) the Ir/Ru–O$_2$–Ir/Ru bond angle $\theta$ and (b) $T_N$. Inset: Schematic of the Ir/Ru–O$_2$–Ir/Ru bond angle $\theta$. Note that $\theta$ increases linearly with increasing $x$.

FIG. 5. The temperature dependence of the resistivity $\rho(T)$ for representative compositions (a) $x = 0$, (b) $x=0.04$, (c) $x = 0.15$, (d) $x = 0.41$, (e) $x = 0.63$, (f) $x = 0.82$, (g) $x = 0.90$ and (h) $x = 1$. The vertical arrows indicate the kink that corresponds to the weak magnetic transition at $T = T_N$.

FIG. 6. (a) The specific heat $C(T)/T$ vs $T^2$, and (b) the Sommerfeld coefficient $\gamma$ vs $x$, for BaIr$_{1-x}$Ru$_x$O$_3$. 
Table 1. The crystal structure and refinement details of BaIr$_{1-x}$Ru$_x$O$_3$ at 90 K for $x = 0$, 0.10, 0.63 and 1, and at 240 K for $x=0.82$ and 0.90. The diffractometer is Nonius KappaCCD and the absorption correction is multi-scan SADABS. The Ir/Ru–O$_2$–Ir/Ru bond angle is defined in Fig.1.

|       | $x = 0$ (90K) | $x = 0.10$ (90K) | $x = 0.63$ (90K) | $x = 0.82$ (240K) | $x = 0.90$ (240K) | $x = 1$ (90K) |
|-------|---------------|-----------------|-----------------|------------------|------------------|---------------|
| Crystal data |                |                 |                 |                  |                  |               |
| Crystal system, space group | Monoclinic, C12/m1(12) | Monoclinic, C12/m1(12) | Monoclinic, C12/m1(12) | Monoclinic, C12/m1(12) | Monoclinic, C12/m1(12) | Trigonal, $R3m$ (166) |
| $a,b,c$ (Å) | a=9.9935(2), b=5.7352(1), c=15.2376(3) | a=9.9839(2), b=5.7377(1), c=15.1107(4) | a=9.9440(2), b=5.7429(1), c=14.8102(4) | a=9.9999(5), b=5.7759(4), c=14.8916(4) | a=9.9923(4), b=5.7733(3), c=14.8882(8) | a=5.7366(1), c=21.5933(6) |
| $\beta$ (°) | 103.411(1) | 103.3402(9) | 102.8574(9) | 102.939(5) | 102.882(4) | NA |
| $V$ (Å$^3$) | 849.10(6) | 842.25(3) | 824.57(3) | 838.28(8) | 837.26(7) | 615.40(3) |
| $Z$ | 12 | 12 | 12 | 12 | 12 | 9 |
| Bond angle (°) | 161.671(1) | 163.678(0) | 174.296(1) | 175.1(3) | 176.1(1) | 180.0 |

Data collection

| No. of measured, independent and observed [I>4σ(I)] reflections | 6066, 398, 350 | 7075, 396, 369 | 7210,398, 353 | 14459,1643, 1525 | 14071,1769, 1633 | 7256,401, 398 |
|-------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| $R_{int}$ | 0.021 | 0.031 | 0.035 | 0.027 | 0.038 | 0.025 |

Refinement

| $R[F^2>4\sigma(F^2)]_{av}$ | 0.016, 0.035, 0.02, 0.049 | 0.025, 0.069, 0.067, 0.1847 | 0.072,0.205 | 0.02, 0.035 | 0.016, 0.035, 0.02, 0.049 |
|-----------------------------|-----------------------------|-----------------------------|-----------------|-----------------|-----------------------------|
| $R(F^2),S$ | 1.05 | 1.15 | 1.17 | 1.085 | 1.024 | 1.09 |
Fig. 1
Fig. 2
Fig. 3
Fig. 4

(a) 

(b) 

Ru concentration x
Fig. 5
Fig. 6