Aharonov-Bohm phase. In mesoscopic systems, the Berry phase in electronic states has been explored by transport experiments in different systems, providing a unique window into microscopic information or external fields. The geometric Berry phase has indeed played a fundamental role in determining the Berry phase of the different states, as one would expect. These asymmetries, introduced or enhanced by shape anisotropies and confinement potential in the rings, are found to play an important role in determining the Berry phase of the different states. We also find that effects of varying magnetic field tilt angle and intensity, as well as SOC, are reflected in the Berry phase and associated spin texture. The substantial phase modulation observed in the lower energy level manifold can be monitored and exploited in transport experiments.

The remainder of the paper is organized as follows: Sec. III describes the theoretical model used, as well as the different quantities used to characterize the states, including the Berry phase and spin density maps. Section III is devoted to the discussion of the main results, while concluding remarks are presented in Sec. IV.

II. MODEL

The system under investigation consists of a quantum ring in the presence of an external tilted magnetic field, as shown in Fig. 1(a). The confinement potential, $V(\vec{r}) = V_0 + V_z$, with a general elliptical ring shape is
characterized by planar and vertical contributions:

\[ V_p = \frac{a_1}{\rho^2} + a_2 \rho^2 - 2\sqrt{a_1 a_2} + \delta \rho^2 \cos^2 \varphi, \]
\[ V_z = \begin{cases} eFz, & 0 < z \leq L_z \\ \infty, & \text{otherwise,} \end{cases} \]

where \( L_z \) is the height/thickness of the quantum well in which the ring is defined by the lateral potential \( V_p \). \( F \) is an external electric field applied along the ring axis, which gives rise to a Rashba SOC, in addition to shifting and spatially deforming the eigenstates. The radial potential without the \( \delta \)-term defines a ring with circular symmetry and minimum \( (V_{p-R} = 0) \) at \( R = (a_1/a_2)^{1/4} \). The \( \delta \)-term describes an elliptical deformation of the confinement potential, with eccentricity given by \( e = \sqrt{1 - a_2/(a_2 + \delta)} \) in the limit of large \( \rho \). Panels (b) and (c) in Fig. 1 represent potential profile maps used to simulate circularly symmetric (\( \delta = 0 \)) and eccentric (\( \delta \neq 0 \)) rings, respectively. This potential profile allows for analytical solution of the quantum ring spectrum and corresponding wave functions in the \( x-y \) plane in the circularly symmetric case, in terms of hypergeometric functions and angular momentum components. The electronic structure calculations are performed using the effective mass approximation and consider a single quantum level along the \( z \)-axis (strong quantum well confinement). This model has been used successfully to describe quantum rings in magneto photoluminescence experiments. It is extended here to include SOC effects and tilted magnetic fields.

A. Tilted Magnetic Field

In the presence of a magnetic field \( \vec{B} = \hat{x}B_x + \hat{y}B_y = \hat{x}B \sin \theta + \hat{y}B \cos \theta \), the vector potential can be written as

\[ \vec{A} = \frac{B_z}{2} \rho \hat{\varphi} - B_z z (\hat{\rho} \sin \varphi + \hat{\varphi} \cos \varphi). \]

It is important to note that small variations of the magnetic field angle \( \theta \) induce considerable changes in the electronic structure, as the tilted magnetic field and ring asymmetry (\( \delta \neq 0 \)) couples angular and radial degrees of freedom. In the absence of SOC, the system is described by the Hamiltonian,

\[ H = \frac{1}{2\mu^*} \left( \hat{p}^2 - e \vec{A} \right)^2 + V(\vec{r}) + \frac{1}{2} g \mu_B \vec{B} \cdot \vec{\sigma}, \]

where the third term is the Zeeman interaction and \( \vec{\sigma} = (\sigma_x, \sigma_y, \sigma_z) \) are the Pauli matrices. Eq. (4) can be separated into three parts, \( H = H_{B_z} + H_{B_x} + H_{Z} \). The contribution due to the perpendicular component of the magnetic field (\( B_z \)) is given by

\[ H_{B_z} = -\frac{\hbar^2}{2\mu^*} \left[ \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2}{\partial \varphi^2} + \frac{\partial^2}{\partial \rho^2} \right] \\
+ \frac{\hbar e \mu_b}{2\mu^*} \frac{\partial}{\partial \rho} + \frac{e^2 B_z^2 \rho^2}{8\mu^*} + V(\vec{r}) \\
+ \frac{g \mu_B}{2} B_z \sigma_z. \]

The eigenfunctions of the circularly symmetric problem (\( \delta = 0 \)) in the presence of the \( B_z \) component, \( \Phi_{lmn}(z, \rho, \varphi) \), are used as the basis set to expand the eigenstates for a general tilted field direction, under SOC, and a general elliptical deformation. A general wavefunction can be written as

\[ \Psi = \sum_{l,m,n} \left( C_{lmn}^\uparrow | \uparrow \rangle + C_{lmn}^\downarrow | \downarrow \rangle \right) \Phi_{lmn}, \]

where the spatial dependence has been omitted for simplicity. The term due to the in-plane component of the magnetic field is

\[ H_{B_x} = -\frac{i e \hbar B_x}{\mu^*} \left( \sin \varphi \frac{\partial}{\partial \varphi} + \frac{\cos \varphi}{\rho} \frac{\partial}{\partial \varphi} \right) \\
+ \frac{e^2}{2\mu^*} \left( B_{xz} z^2 - B_z B_x z \rho \cos \varphi \right), \]

and the respective Zeeman contribution can be written as

\[ H_{Z} = \frac{1}{4} g \mu_B B_x (\sigma^+ + \sigma^-), \]

where \( \sigma^\pm = \sigma_x \pm i \sigma_y \).
B. Spin-Orbit Coupling

The presence of spin-orbit coupling in the host semiconductor is also considered for the tilted magnetic field case. The SOC in the presence of system inversion asymmetry can be written in terms of the field associated with the confinement potential, \( \nabla V(\mathbf{r}) \), as:

\[
H_{\text{SIA}} = \frac{\alpha_s}{\hbar} \sigma \cdot \left( \nabla V \times (\vec{p} - e \vec{A}) \right),
\]

(9)

where \( \alpha_s \) characterizes the strength of the SOC in the host semiconductor. This can be decomposed in cylindrical coordinates into four terms, \( H_{\text{SIA}} = H_{\text{SIA}}^0 + H_R + H_{K} + H_{\text{SIA}}^T \), as:

\[
H_{\text{SIA}}^0 = \alpha_s \sigma_z \left\{ \frac{\partial V}{\partial \rho} \left[ \frac{i e B_z}{2\hbar} \rho + \frac{i}{\rho} \frac{\partial V}{\partial \varphi} \theta \right] \right\},
\]

(10)

\[
H_R = -\alpha_s \frac{\partial V}{\partial z} \left\{ \sigma^+ \left[ e^{-i\varphi} \left( \frac{\partial}{\partial \rho} - \frac{i e B_z}{2\hbar} \rho \right) + \frac{1}{\rho} \right] \right\},
\]

(11)

\[
H_{K} = 0 \text{ because } k_z \simeq 0.
\]

\[
H_{\text{SIA}}^T = \alpha_s e B_z \left( \frac{\partial V}{\partial z} \sigma_z - \frac{\partial V}{\partial \varphi} \sigma_x \right),
\]

(12)

The SOC mixes states depending on their spin component, following effective “selection rules” that select specific angular momentum quantum numbers according to the corresponding interaction term. As a consequence, the spin and angular momentum content of each state become hybrids or mixtures that change with field orientation and magnitude. For large magnetic fields, the Zeeman energy dominates and eventually polarizes spins along \( \vec{B} \). Mixing is of course more noticeable near spectrum degeneracies, as we will see below.

C. Spin Content and Berry Phase

We characterize the spin content of different eigenstates by analyzing the expectation value for the different components. In particular, we define the spin projection respect to the z-axis, \( \theta_s \), in terms of projections along and perpendicular to the plane,

\[
\langle \sigma^+ \rangle = \sum_j C_j^0 \sigma_j^+ C_j^0,
\]

\[
\langle \sigma_z \rangle = \sum_j \left( |C_j^0|^2 - |C_j^1|^2 \right)
\]

(13)

(where \( j = \{n, l, m\} \) in all sums), so that

\[
\theta_s = \arctan \left( \frac{\langle \sigma_z \rangle}{\langle \sigma^+ \rangle} \right),
\]

(14)

We also explore the spatial variation in the spin orientation (‘spin texture’) for each state, which is related to the vector spin density, whose components are given by

\[
S_x(\vec{r}) = \sum_{j,j'} \Phi_j^+(\vec{r}) \left( C_j^{1*} C_{j'}^0 + C_j^0 C_{j'}^{1*} \right) \Phi_j(\vec{r})
\]

\[
S_y(\vec{r}) = -i \sum_{j,j'} \Phi_j^+(\vec{r}) \left( C_j^{1*} C_{j'}^0 - C_j^0 C_{j'}^{1*} \right) \Phi_j(\vec{r})
\]

\[
S_z(\vec{r}) = \sum_{j,j'} \Phi_j^+(\vec{r}) \left( C_j^1 C_{j'}^0 - C_j^0 C_{j'}^1 \right) \Phi_j(\vec{r}).
\]

(15)

The Berry phase is an interesting quantity that characterizes the different eigenstates, especially as it incorporates the effects of external and SOC fields, and the influence of geometrical confinement. The Berry phase of a given eigenstate \( \alpha \) is defined as

\[
\Theta_\alpha = i \int_0^{2\pi} \langle \Psi_\alpha | \frac{\partial}{\partial \phi} | \Psi_\alpha \rangle d\phi,
\]

(16)

where \( \hat{\phi} \) parametrizes a cyclic adiabatic process; we consider here a closed path around the ring, so that \( \Psi_\alpha(\phi) \rightarrow \Psi_\alpha(\phi + \hat{\phi}) \). Different experiments would probe the Berry phases in different fashion, depending on the measurement design. Transport phase measurements, for example, would result in a mostly additive contribution of various channels involved in the conductance signal, i.e., those close to the Fermi energy. We illustrate the effect of cumulative phase by considering the total Berry phase for a collection of states, defined over a certain ‘occupation’ in the ring (defined once such structure is connected to current reservoirs and a bias window is defined).

III. RESULTS

The calculation of the spectrum in the ring utilizes the full diagonalization of the Hamiltonian written in the basis that considers a sufficiently large Hilbert space, truncated to the desired accuracy. We typically consider 11 eigenstates, with angular momentum \( |m| < 5 \) for each spin orientation. These are found sufficient for convergence in the entire field and parameter range considered in this work.\(^ {10} \)

In what follows, we will assume parameters corresponding to InAs, with electron effective mass \( \mu^* = 0.0292 m_0 \), Landé g-factor \( g = -14.9 \), and SOC parameter, \( \alpha_s = 117.1 \text{ Å}^2 \), taken from the literature.\(^ {16} \)

Figures 2 and 3 show the electronic structure and the Berry phases for the lower energy manifold in both symmetric and asymmetric rings. In Fig. 2 we plot the energy levels and corresponding phases as function of the total
magnetic field amplitude at a fixed angle, \( \theta = 60^\circ \), while Fig. 2 shows results for a fixed intensity of the magnetic field, \( B = 6.625 \text{T} \), as a function of the orientation \( \theta \). The Berry phases of the lowest six levels are displayed in the figures, along with the corresponding mean spin orientations. The arrows along the different Berry phase curves indicate the spin orientation, with \( \theta_s \) as defined above: An upwards/downwards arrow in these curves, \( \theta_s = \pm \pi/2 \), indicates a spin aligned along the \( \pm z \)-axis, while a horizontal arrow indicates a spin lying on the \( x-y \) plane.

The results on the left panels of both figures are for a circularly symmetric quantum ring. For small magnetic field, the two lowest energy states exhibit spin alignment along \( \pm z \)-axis, as shown in Fig. 2(b) and (c). On the other hand, the next four levels (Fig. 2(d)-(g)) are aligned mostly on the plane due to the spin mixing caused by SOC. Notice that at high values of magnetic field levels become essentially aligned with (Fig. 2(b)-(d)) or against (Fig. 2(e)-(g)) the magnetic field, as the Zeeman energy dominates over the SOC. The evolution of spin orientation for each level is strongly influenced by the anticrossings with other levels, as one would expect. Moreover, anticrossings also affect the Berry phase of states, causing a smooth variation with large amplitude (\( \approx 2\pi \)) in many cases, such as in Fig. 2(b) and (c) at around 4T; in Fig. 2(c) and (d) at around 2.5T, 4T and 8T; and at around 7.8T in Fig. 2(d) and (e).

Stronger spin-tilting and occasional total flips appear close to the region of nonzero (or \( \neq 2\pi n \), with integer \( n \)) Berry phase, as shown in Fig. 2(c) at around 2.5T, and in Fig. 2(d) and (e) at around 7.8T. Thus, the spin hybridization and phase modulation are intrinsically linked due to SOC and magnetic field. Spin orientation and phase values smoothly change as a function of magnetic field intensity (or magnetic field orientation in Fig. 3).

FIG. 2: Electronic structure for quantum rings in a magnetic field at fixed tilt angle, \( \theta = 60^\circ \), and Rashba field \( F = 100 \text{kV/cm} \), as a function of the total magnetic field strength for: (a) symmetric (\( \delta = 0 \)) and (i) asymmetric (\( \delta = 2 \text{ meV} \)) ring.

The Berry phase for different levels for \( \delta = 0 \) is shown in panels on the left column, (b) through (g); and for \( \delta = 2 \text{ meV} \) on the right column, (j) through (o). The cumulative Berry phase for different occupation numbers is shown in panel (h) for the symmetric ring, and panel (p) for the asymmetric ring.
trum and single-state Berry phases, the cumulative Berry phase shows gradual modulation, so that nontrivial values are seen over finite-size windows in field: 2 - 3 T and 7 - 9 T for cumulative Berry phase of 2 levels; 0 - 1 T and 6 - 7 T, for 4 levels; and 3 - 4 T and 5 - 6 T, for 6 levels. This would suggest that a moderate degree of asymmetry and/or disorder unavoidably present in real systems may in fact produce a more robust Berry phase signal in experiments.

Similar contrasts exist between circularly symmetric and asymmetric rings as function of magnetic field orientation (at constant strength), as shown in Fig. 2. As in Fig. 2 each state shows a gradual Berry phase evolution with magnetic field angle near level anticrossings, and the diamagnetic shift provided by $B_z$ decreases for larger angles. One can also see a rather interesting evolution of the spin orientation as the tilt angle increases. On the left panels, for the circularly symmetric ring, one also notices relatively sharp changes in Berry phase and spin orientation, as different angular momentum components are mixed by spin orbit coupling. Those jumps or drastic changes disappear or become smoother for the asymmetric ring (right panels), as the eccentricity mixes more strongly the different angular momentum states. Panels (h) and (p) show the cumulative Berry phase for the two rings. There is a similar behavior already seen in Fig. 2, a smooth variation with angle for small number of levels, changes to essentially null phase value ($2\pi n$) for larger level number. The sudden phase slips however become smoother, resulting in nontrivial values for the asymmetric ring over wider range (angular in this case).

The slow evolution of Berry phase for each state signals the mixtures introduced by the different perturbations on an otherwise highly-symmetric picture. The Zeeman field, SOC, and structural asymmetries produce simultaneous mixtures of spin, parity, and angular momentum. This effect, contained in the expansion coefficients of the different states, can be visualized as well through spin density maps. Figure 4, left panels, show the expansion coefficients for the four lowest energy states of an asymmetric ring, as function of magnetic field, at a fixed angle $\theta = 60^\circ$. These panels show solid (dashed) curves for the spin up (down) components with different angular momentum $m$ in the given state. The states are mixtures of angular momentum (introduced at zero field by the ring asymmetry) and/or spin (due to SOC), which evolve with field to other components (due to the diamagnetic shift of the spectrum), and eventually to more complex mixtures at higher energies.

The right panels in Fig. 4 show spin vector maps for the corresponding state at the field $B = 2.375$ T and $\theta = 60^\circ$. This field value corresponds to the anticrossing between the second and third levels in Fig. 2(i). The vector maps use arrows with size proportional to the spin density at each point on the plane (integrating each expression in Eq. (15) on the $z$-coordinate) and blue (or red) colors to indicate a positive (or negative) sign of the $z$-spin component at that point. The ground state (level 1) shows a spin map predominantly on the plane, although with overall positive $S_z$ component, and with high amplitude near the ends of the long-axis ellipse. The first-excited state, in contrast, shows large negative $S_z$ components and with a spatial distribution that complements that of the ground state. One also notices that the ground state spin map shows local vectors that are essentially parallel all along the ring: this would result in a vanishing Berry phase, as it is indeed seen in Fig. 2(j), at this magnetic field. For the second level, however, where the Berry phase $\pi \sim -\pi$ in Fig. 2(k), one notices that the spin arrows in Fig. 4 are canted with respect to those a quarter of the way along the ring. It is this non-parallel nature of the spins along the ring structure that characterizes a non-vanishing Berry phase. Levels 3 and 4 show even more structure, with spin vector amplitude more

![FIG. 3: Electronic structure for quantum rings under fixed magnetic and Rashba fields, $B = 6.625$ T and $F = 100$ kV/cm, as a function of the magnetic field tilt angle $\theta$ for: (a) symmetric ($\delta = 0$) and (i) asymmetric ($\delta = 2$ meV) ring. Berry phases for different states in both rings are shown in the panels below. The cumulative Berry phase for different occupations is shown in panels (h) and (p), for the symmetric and asymmetric rings, respectively.](image-url)
FIG. 4: Panels on the left column shown expansion coefficients for the four lowest states of an asymmetric ring ($\delta = 2$ meV), and fixed Rashba field $F = 100$ kV/cm, and magnetic field tilt angle $\theta = 60^\circ$, as function of magnetic field intensity. Level admixtures clearly evolve with sudden switches at level anticrossings. The right column shows spin density vector maps along the ring ($z$-integrated) for the four lowest states at a field $B = 2.375$ T (indicated by the vertical line in left panels). Blue arrows have a positive projection along $z$, while for red arrows the projection is negative. Notice nearly parallel vectors in level 1 result in a null Berry phase; in contrast, canting of vectors in level 2 contribute to a Berry phase of $\approx -\pi$ (see Fig. 2(k)).

localized near the long ends of the ellipse, but with $S_z$ component that changes sign as one moves along the ring. The relative twisting of the spin vector density along the ring, contributes to the non-vanishing Berry phase seen in Fig. 2(l)-(m), although with much smaller value than for level 2. Other levels with non-vanishing Berry phase show similar canted spin texture across the ring.

IV. DISCUSSION AND CONCLUSIONS

We have used a nearly analytical description of the states in quantum rings of finite width. This model, used before to describe realistic structures in experiments, allows us to extract interesting insights on the role of spin-orbit coupling and its interplay with external magnetic field effects, such as diamagnetic shifts and Zeeman splitting. We have moreover introduced asymmetry in the confinement structure to see how this affects the level structure and associated spin texture and Berry phase of different states. We observed that possible experimental sweeps of magnetic field tilt or amplitude, produce controllable changes in the state characteristics, which can be traced in particular through the smooth variation of the Berry phase of each state. It is also clear that as spin-orbit coupling could be made stronger with applied electric fields, the Rashba effect would also controllably change the overall geometric phase in quantum rings.

Somewhat surprisingly, we found that the unavoidable defects or asymmetries in ring confinement produce smooth changes in the Berry phase, as either the magnetic field or tilt (or even Rashba field) is changed. This effect makes the otherwise sudden phase slips in symmetric rings become smoother and produce non-vanishing (or nontrivial) geometric phases as a consequence. This would suggest that moderate level mixing makes for more robust Berry phases in experiments. One should also comment, that although the multilevel cumulative Berry phase appears essentially null for higher level number (or wider energy window), it may be possible to access individual (or few) state Berry phases in narrow bias ranges or similar other experiments where few states can be sampled.

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