Metal phthalocyanine organic thin-film transistors: changes in electrical performance and stability in response to temperature and environment†

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Metal phthalocyanines (MPcs) are a widely studied class of materials that are frequently used in organic thin-film transistors (OTFTs), organic photovoltaics (OPVs) and organic light emitting diodes (OLEDs). The stability of these devices and the materials used in their fabrication is important to realize their widespread adoption. Seven P-type MPcs: zinc (ZnPc), magnesium (MgPc), aluminum (AlClPc), iron (FePc), cobalt (CoPc), and titanium (TiOPc) were investigated as the semiconductors in OTFTs under varying temperatures (25 °C to 150 °C) and environmental conditions (air and vacuum, P < 0.1 Pa). Devices using the divalent MPcs (except MgPc) showed significant shifts in threshold voltage and field-effect mobility with rising temperature in both air and vacuum. AlClPc and TiOPc, on the other hand, had more stable electrical properties, making them useful for applications requiring consistent performance. Distinct variations in film morphology as determined by atomic force microscopy may explain the different thermal response between the two groups of MPcs, while thermal gravimetric analysis in air and nitrogen (N2) provides additional insight into their susceptibility to oxidation at elevated temperature. To demonstrate proof-of-concept thermal sensing under realistic operating conditions, current changes were monitored in response to temperature stimuli using two more sensitive divalent MPcs. This comparative study of the effect of central atom inclusion in MPcs, the resulting material stability and thin-film characteristics will facilitate design of future sensors and other OTFT applications.

Introduction

Metal phthalocyanines (MPcs) are a promising class of molecules that have been studied extensively as the active material in organic electronics. MPcs have been incorporated into organic thin film transistors (OTFTs), organic photovoltaics (OPVs), and organic light emitting diodes (OLEDs). They have also been used as dyes and pigments, imaging agents and catalysts due to their relatively simple synthesis and their chemical stability. MPcs are conjugated macrocycles composed of four nitrogen linked isoindoles with a chelated metal centre. Many MPcs with different metal and metalloid centres have been studied in OTFTs. Some of the most successful of these are titanyl phthalocyanine (TiOPc) with P-type mobilities in the range of 1–10 cm² V⁻¹ s⁻¹ and bis(pentafluorophenox) silicon phthalocyanine with N-type mobilities of about 0.54 cm² V⁻¹ s⁻¹. Due to the chemical tunability of MPcs, researchers have been able to enhance material solubility, as well as improve the solid-state arrangement of various MPcs.

In addition to peripheral or axial substitutions, the valences of the metal centre, molecular weight and the sublimation temperature all lead to different solid-state packing and film density. These differences lead to varying electrical properties as seen by their widely varying performance, dominant charge carriers, and air stabilities. Researchers have also found that chemiresistors based on MPcs can have different sensitivities to vapour phase molecules depending on the nature of the metal inclusion. Since MPcs are used in a wide variety of organic electronic applications, it is important to study their stability and charge transport characteristics under different environmental conditions. Some studies have found that the valency of the central atom in the MPC has a significant effect on OPV performance and overall material properties. It has also been reported that the open circuit voltage (VOC) for trivalent and tetravalent MPcs is higher than in mono or divalent varieties. To the best of our knowledge, no such comparative studies for MPC-based OTFTs have yet been reported.

MPc charge transport performance under varying environmental conditions, such as pressure and temperature, are important factors to consider when choosing materials for specific applications. Temperature response of MPC OTFT devices must be considered for applications such as temperature sensing or in medical devices that require high temperature...
sterilization. Little is known regarding the performance of MPcs under varying operating temperature. In literature, a few groups have investigated high temperature operation of OTFTs in air. Various pentacene-based OTFTs have been studied as temperature sensors operating from 0 °C to 90 °C and were found to have increased on currents (Ion), increased hole field-effect mobilities (μH), and positively shifted threshold voltage (ΔVT > 0) with increases in temperature. For two P-type conjugated polymers, temperature increases in air caused decreases in μH, ΔVT > 0, and increased off current (Ioff). This was hypothesised to occur due to polymer oxidation and gas adsorption. Only a single report has explored N-type materials in bottom gate bottom contact (BGBC) OTFTs above 25 °C and it was found that as temperature increased in air, F16-CuPc OTFTs showed a linear and significant negative change in VT (ΔVT < 0), and increasing electron mobility (μE). Under vacuum (P < 0.1 Pa), little ΔVT was seen, but similar μE increases were observed.

In this study, we examine the impact of operating temperature (25 °C to 150 °C), and environmental pressure (atmospheric and under vacuum P < 0.1 Pa), on charge transport and electrical stability of several P-type MPc-based BGBC OTFTs (Fig. 1h–i). These devices were fabricated with 7 different semiconducting MPcs: zinc, magnesium, aluminium, iron(II), cobalt, titanium, and copper (Fig. 1a–g). These materials were also characterised by thermogravimetric analysis (TGA) and their corresponding thin-film morphologies by atomic force microscopy (AFM) to correlate material properties and film structure to electrical performance and stability. We therefore establish a baseline relationship between the MPc central atom and the resulting thin-films and their OTFT device performance and stability.

Results and discussion
Baseline MPc OTFT device results
MPc-based BGBC OTFTs were fabricated by first forming a monolayer of trichloro(octyl)silane (OTS) on heavily doped silicon substrates with a thermally grown silicon dioxide dielectric followed by the thermal vacuum deposition of an MPc (ZnPc, MgPc, AlClPc, Fe(II)Pc, CoPc, or TiOPc) as the semiconducting layer. Electrical performance characteristics such as μH, VT and on/off current ratio (Ioff/Ion) for each MPc-based OTFT in air and vacuum (P < 0.1 Pa) at 25 °C, are summarized in Table 1.

The values in Table 1 show reasonable concurrence with the values in literature for MPc-based OTFTs fabricated with OTS, although some μH are one or two orders of magnitude lower (TiOPc) or higher (Fe(II)Pc) than the highest records reported. Improved electrical performance can be obtained by optimizing processing conditions such as lowering deposition rate, increasing substrate temperature, or using a different interfacial layer (e.g. para-sexiphenyl). While the Fe(II)Pc and MgPc devices were not found to be air stable (Table 1), there is at least one report of air stable MgPc OTFTs in top contact bottom gate (TCBG) configuration with an ITO gate electrode and parylene-C dielectric. As all devices presented here were fabricated under the same conditions for ease of internal comparison, some variations with regards to literature devices fabricated differently is expected.

AlClPc OTFTs
Of the materials used in this study AlClPc is the least investigated, with only one report of OTFTs. Those devices were fabricated similarly to the ones presented here: at elevated substrate temperature (120 °C) and on OTS modified dielectrics.

The AlClPc OTFTs were characterised in air and reached mobilities of 0.06 cm2 V−1 s−1. In addition, hexadecaffluoro and hexadecachloro modified AlClPcs in OTFTs have also been reported, resulting in N-type behaviour with electron mobilities (μE) from 0.01 to 0.02 cm2 V−1 s−1. Fig. 2 displays a series of curves depicting the characteristics of AlClPc in BGBC OTFTs including transfer curves (Fig. 2a) and μH vs. VGS Curves (Fig. 2b and c) for a characteristic device. In air, AlClPc OTFTs were found to have a μH of 0.004 cm2 V−1 s−1 compared to 0.001 cm2 V−1 s−1 for a characteristic device.
V−1 s−1 in vacuum (Table 1). This mobility increase is typical, as it is generally accepted that the oxygen in air coordinates with surface MPC metal centres, acting as an electron acceptor/trap and increasing positive charge carrier density in the bulk film.32–34

Fig. 2b and c shows how \( \mu_{H} \) changes with \( V_{GS} \) at various temperatures under different environmental conditions. Experiments to collect this data were performed for all MPCs under varying environmental conditions and temperatures; Fig. S1–13 show this data and can be found in the ESL.† These curves give a more complete picture of how the MPC OTFTs respond to temperature/pressure changes than comparing single-values of \( \mu_{H} \) and \( V_{T} \). Fig. 2b shows a consistent increase in the \( \mu_{H} \) of AlClPc devices operated in vacuum with increasing temperature from 25 °C to 150 °C. Additionally, \( \Delta V_{T} < 0 \) is observed as an overall shift in these curves to the left towards more negative \( V_{GS} \) with increasing temperature. In contrast, little \( \Delta V_{T} \) and minimal change in \( \mu_{H} \) were observed with increasing temperature in air. Due to equipment heating limitations, we were unable to test at temperatures greater than 85 °C in air or 150 °C in vacuum.

### Table 1

| Material\(^a\) | \( \mu_{H, \text{avg}} \) (cm2 V−1 s−1) | \( V_{T, \text{avg}} \) (V) | \( I_{on/off, \text{avg}} \) |
|---|---|---|---|
| AIR | Vacuum | | |
| CoPc | 0.0031 | 1.4 | \( 10^{3} \) |
| AlClPc | 0.04 | 2.0 | \( 10^{4} \) |
| TiOPc | 0.062 | -15.4 | \( 10^{4} \) |
| ZnPc | 0.02 | 10.5 | \( 10^{3} \) |
| Fe(II)Pc | -- | -- | -- |
| MgPc | -- | -- | -- |
| CuPc\(^b\) | 0.024 | -5.7 | 104 |
| Vacuum | | | |
| CoPc | 0.003 | -32.7 | \( 10^{3} \) |
| AlClPc | 0.001 | -13.6 | \( 10^{4} \) |
| TiOPc | 0.011 | -23.4 | \( 10^{4} \) |
| ZnPc | 0.015 | -19.7 | \( 10^{4} \) |
| Fe(II)Pc | 0.0056 | -13.5 | \( 10^{4} \) |
| MgPc | 0.0006 | 1.2 | \( 10^{2} \) |
| CuPc\(^b\) | 0.014 | -13.4 | \( 10^{4} \) |

* ZnPc, MgPc, AlClPc, Fe(II)Pc, CoPc, or TiOPc. Devices were characterized at 25 °C in air or vacuum (\( P < 0.1 \) Pa). Data for CuPc devices was taken from a previous publication by our group\(^4\) where the devices were fabricated and characterised under identical conditions. \( \mu_{H, \text{avg}} \) = saturation-region mean field-effect mobility. \( V_{T, \text{avg}} \) = mean threshold voltage. \( I_{on/off, \text{avg}} \) = mean on/off current. † MgPc and Fe(II)Pc were not found to be air stable in this device configuration. Three replicates were fabricated on three different days and did not exhibit transistor characteristics in air.

MPC elevated temperature operation studies and material characterization

Similar to AlClPc, each BGBC MPC OTFT was characterised in the range of 25 °C to 85 °C in air, and in the range of 25 °C to 150 °C in vacuum. Fig. 3a illustrates average changes in \( V_{T} \) in terms of relative change per °C between 25 °C and the maximum temperature value (85 °C in air, and 150 °C in vacuum), while Fig. 3b illustrates average changes in \( \mu_{H} \) in terms of the percent change in \( \mu_{H} \) from original baseline at 25 °C per °C between 25 °C and the maximum temperature (85 °C in air, and 150 °C in vacuum). It is important to note that the response to temperature is different between materials and different between vacuum and air. For example, under vacuum, AlClPc saw an increase in \( \mu_{H} \) from 0.004 cm2 V−1 s−1 at 25 °C to 0.012 cm2 V−1 s−1 at 150 °C (Fig. 2), which corresponds to an increase in 4–5% change per °C (Fig. 3). In comparison, under vacuum, CoPc only saw an increase in \( \mu_{H} \) from 0.0044 cm2 V−1 s−1 at 25 °C to 0.0075 cm2 V−1 s−1 at 150 °C (Fig. S1†), which corresponds to an increase in 0–2% change per °C (Fig. 3). In vacuum, similar but decreasing \( \Delta V_{T} > 0 \) shifts were seen in the descending order of CuPc, FePc, CoPc, and ZnPc. From MgPc, TiOPc, and AlClPc, increasing magnitude \( \Delta V_{T} < 0 \) were seen (Fig. 3a). In air, similar trends were seen but with ZnPc showing the highest \( \Delta V_{T} > 0 \) shift. In air, the divalent materials appear to have larger \( \Delta V_{T} > 0 \) shifts than the trivalent and tetravalent materials. AlClPc and TiOPc both have \( \Delta V_{T} < 0 \) in vacuum, although the change in TiOPc is not statistically significant. Negative \( \Delta V_{T} \) could be caused by increased trap density or the

![Fig. 2](image-url) Characterization of AlClPc OTFTs. (a) Transfer curves for AlClPc BGBC devices deposited at \( T = 140 \) °C, and tested in air (\( V_{GS} = -50 \) V) and vacuum, at \( T = 25 \) °C. \( V_{GS} \) vs. \( \mu_{H} \) curves at temperatures ranging from 25 °C to 150 °C in vacuum (b) and temperatures from 25 °C to 85 °C in air (c).
expulsion of dopant gases with increasing temperature. In air, all $\Delta \mu_H > 0$ except for AlClPc, with greater magnitude percentage changes per °C seen for the divalent metal inclusion (CuPc, ZnPc, CoPc) and small changes seen for the trivalent and tetravalent metal inclusion (AlClPc, TiOPc). The percentage $\Delta \mu_H$ that is seen for the AlClPc is near zero when considering the standard deviation. In vacuum, a negative $\Delta \mu_H$ across the temperature range is seen for TiOPc only, the rest remaining positive. Negative $\Delta \mu_H$ in response to temperature increase is unexpected as $\mu_H$ is often accepted to be thermally activated in organic semiconductors. These results as a whole demonstrate that the nature of the metal inclusion in the MPC plays a significant role on the resulting OTFTs performance, with apparently larger performance differences between valency groups, than between MPCs of identical valency.

One important consideration when investigating BGBC OTFT responses to various stimuli is the thickness of the semiconductor layer. It’s been reported that as the active layer thickness increases, degradation due to the bias stress effect also increases, but also that long term environmental stability increases with thickness for inkjet printed active layers. Fig. 4 shows these changes in both $\Delta V_T$ and $\mu_H$ for CuPc devices in vacuum and in air. It was found that increasing active layer thickness resulted in reduced response magnitude to temperature changes in air over the tested temperature range.

To further investigate material differences, film morphology of the various MPC thin-films were characterised by atomic force microscopy (AFM) and can be found in Fig. 5. These images were taken from the same MPC thin-films used for devices discussed in the previous section. It would appear that valency of the metal inclusion leads to different solid-state packings resulting in different film morphologies as seen in Fig. 5. The divalent materials: CoPc, FePc, ZnPc, MgPc, and CuPc, all have similar grain structures and surface roughness values (Fig. 5b) with some slight variation in grain size. AlClPc, and TiOPc have distinct but similar film morphologies with the largest grain structures, and surface roughness values of 5.09 nm and 4.18 nm respectively. These larger grain sizes and higher surface roughness values could partly explain the lower changes in $\mu_H$ and $V_T$ in air seen by these two materials. It has been reported that larger surface roughness does play a role in how much water and oxygen will adsorb/coordinate at the surface. These platelets (Fig. 5b and e) would appear to have much lower surface area to volume ratios compared to the worm-like morphology of the divalent MPC films, which could contribute to a smaller susceptibility to ambient environment traps by reducing the access of ambient gases to the overall volume. Little correlation between film properties and electrical response under vacuum is seen. This is reasonable as in air it is expected that the gases present will interact differently with different morphologies, while in vacuum no such gases are present, and the effects that are seen would be expected to be due solely to material differences. These remarkably different
morphologies also suggest a different growth mode for the thin films of these MPc compounds, indicating that processing conditions could also play a role in device stability.

Fig. 6 depicts the degradation temperature \( T_D \) of each material determined by thermal gravimetric analysis (TGA) performed in either an air or nitrogen environment. The materials are ordered in terms of highest air stability by highest \( T_D \) in air (from left to right). It can be seen that the air stable materials (as determined in the previous section through incorporation into OTFTs) have greater air \( T_D \) values, while the non-functioning materials in air (MgPc, FePc) have the lowest air \( T_D \) values. It is commonly understood that as oxidation occurs, \( V_T \) will shift positively as more and more holes are generated in the bulk film, thus requiring higher positive \( V_{GS} \) to stop conduction. Therefore it is plausible that materials that have lower \( T_D \) in air are also more susceptible to oxidation effects, with the least stable oxidizing in air beyond the point of function. Overall, these correlations show that TGA could be a useful tool for screening materials for air stability, although further investigation is necessary to confirm these results across a large range of materials.

Fig. 7 depicts how \( I_{SD} \) varies with temperature at fixed \( V_{GS} \) and \( V_{SD} \) for MgPc and FePc devices. These experiments were done to illustrate the time dependent temperature response of the OTFTs to temperature change. Similar curves have been used to display sensor responses to injected analytes in the gas phase and liquid phase. These results illustrate response times, and show cumulative change that is otherwise not measured in typical transfer and output curves. The temperature response over time for both MgPc (Fig. 7a) and FePc (Fig. 7b) is quite similar. Both materials respond almost instantaneously, and drastically, to temperature change. This is seen by the essentially parallel current and temperature curves when temperature is increasing.

These results show evidence for the possibility of constant temperature monitoring via OTFT-based temperature sensors. Further investigation is warranted to explore current responses under varying conditions, and with different materials.

### Experimental

**Materials**

Copper phthalocyanine (CuPc, 90%, #P1005), and aluminum phthalocyanine chloride (AlClPc, 98%, #C1167) were obtained from TCI Chemicals. Cobalt(II) phthalocyanine (CoPc, >99%, #LT-D2001), titanium oxide phthalocyanine (TiOPc, >99%, #LT-E206), zinc phthalocyanine (ZnPc, >99%, #LT-S906), iron(II) phthalocyanine (FePc, >99%, #LT-D2009), and magnesium(II)
phthalocyanine (MgPc, >99%, #LT-D2006) were obtained from Luminescence Technology Corp. CuPc and AlClPc were purified once by train sublimation before use. All chemicals were used as received unless otherwise specified.

**Preparation of devices**

Heavily N-doped silicon substrates with a 230 nm SiO$_2$ dielectric layer were washed with acetone and dried with nitrogen. They were then treated with oxygen plasma for 15 minutes to clean and hydrolyze the surface. Substrates were then rinsed with water and isopropanol, before a 1 hour surface treatment in 1% v/v octyltrichlorosilane (OTS) in toluene at 70 °C. Silane-treated substrates were washed with toluene and isopropanol and dried for 1 hour at 70 °C under vacuum. All materials were deposited using physical vapour deposition in an Angstrom EvoVac thermal evaporator with a target thickness of 300 Å and a rate of 0.3 Å s$^{-1}$ at 140 °C. Heated substrates were allowed to cool to room temperature before being removed from the vacuum chamber, usually overnight.

**OTFT testing & electrical characterization**

Contact with the source–drain electrodes was made with BeCu alloy probe tips. Output curves were obtained by fixing the gate voltage ($V_{GS}$) at discrete values and sweeping the source–drain voltage ($V_{DS}$). Electrical measurements were performed using a custom electrical probe station with a chamber allowing for controlled atmosphere, oesProbe A10000-P290 (Element Instrumentation Inc. & Kreus Design Inc.) with a Keithley 2614B to control source–drain voltage ($V_{DS}$), gate voltage ($V_{GS}$), and measure source–drain current ($I_{DS}$). $V_{DS}$ was held constant while $V_{GS}$ was varied to obtain measurements of $I_{DS}$. From these measurements, saturation region field-effect mobility, on/off current ratio, and threshold voltage were determined. The general expression relating current to field-effect mobility and gate voltage in the saturation mode is given in eqn (1):

$$I_{DS} = \frac{\mu C W}{2L} (V_{GS} - V_T)^2$$

(1)

where $\mu$ is the field-effect mobility of the particular material, $C_t$ is the capacitance density, $W$ is the width of the channel, $L$ is the length of the channel. To obtain a linear relation, the square root of eqn (1) is taken, giving eqn (2), so that the mobility and threshold voltage can be calculated directly from the slope and x-intercept of an $\sqrt{I_{DS}}$ vs. $V_{GS}$ curve.

$$\sqrt{I_{DS}} = \frac{\mu C_t W}{2L} (V_{GS} - V_T)$$

(2)

Finally, the on/off ratio is determined by eqn (3):

$$\text{on/off ratio} = \frac{I_{on}}{I_{off}}$$

(3)

where $I_{on}$ and $I_{off}$ are the highest and lowest currents, respectively, measured in the characterised gate voltage range.

**Varying temperature experiments**

Temperature variation experiments were performed by heating the devices on a conductive metal surface to a starting temperature of 25 °C. Once the target temperature was reached, the device was left for 10 minutes to ensure thermal equilibrium was achieved. After this, electrical characterization was performed. Each device was then sequentially heated to temperatures of 45 °C, 65 °C, and 85 °C in air, or 65 °C, 105 °C, and 150 °C in vacuum, waiting 10 minutes at each temperature before electrical characterization. Constant voltage temperature response experiments (Fig. 7) were carried out by holding the $V_{DS}$ and $V_{GS}$ constant to obtain $I_{DS}$ measurements. For these experiments, electrical characterization was continuously performed in vacuum on a device while increasing the temperature to 25 °C, 45 °C, 65 °C, and 85 °C. Each temperature was held for 10 minutes.

![Fig. 7](image-url) Current response to temperature changes at constant voltages, with $V_{DS} = -50$ V. (a) MgPc BCBG devices, $V_{GS} = -30$ V and (b) FePc devices, $V_{GS} = -60$ V, were held at a constant bias while the $I_{DS}$ was measured. The devices began testing at $T = 25$ °C and the temperature was increased at regular timepoints up to 85 °C in vacuum.
AFM

AFM measurements were collected using a Bruker Dimension Icon AFM with ScanAsyst-Air tips. All images were collected in tapping mode at a scan rate of 1 Hz, an image size of 2.5 × 2.5 μm, and with a resolution of 512 pixels. To process and edit the images, NanoScope Analysis v.1.8 was used.

Conclusions

BGBC OTFT devices were fabricated with seven different MPcs as the semiconducting layer and were characterised under varying environmental conditions. The divalent MPcs showed consistently greater changes in threshold voltage and mobility in response to temperature change. AlClPc and TiOPc, on the other hand, show generally on average smaller changes in performance with temperature. We also demonstrated that the thickness in CuPc layer (and likely other semiconductor layer) does play an important role in the rate of change the BGBC OTFT device experiences with temperature and environment. AFM demonstrated drastically different film morphologies with different grain size for the trivalent and tetravalent MPcs compared to the divalent MPcs, suggesting that the OTFT device stability is likely correlated to film morphology. Thermogravimetric analysis (TGA) in air and under N2 demonstrate that different materials have different thermal stability while giving insight into their susceptibility to oxidation. The relatively low degradation temperature in air relative to in nitrogen for FePc and MgPc could explain why the resulting OTFTs are not air stable. Constant bias–current curves demonstrated the real-time response of the OTFTs to temperature changes. Stability is important in both OTFT and other organic electronic applications such as organic photovoltaic (OPV) operation, and therefore is relevant for material selection. This study suggests that trivalent and tetravalent MPcs are favourable for OTFTs as they display more stability to temperature in ambient conditions, while the divalent MPcs appear to be more affected by variable temperature operation which could be useful in temperature sensing applications.

Conflicts of interest

There are no conflicts of interests to declare.

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