FROM BLACK HOLES TO POMERON:
Tensor Glueball and Pomeron Intercept at Strong Coupling

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We briefly review the approach for strong coupling calculation of glueball masses based on the duality between supergravity and Yang-Mills theory. Earlier work is extended to non-zero spin. Fluctuations in the gravitational metric lead to the $2^{++}$ tensor glueball state on the leading Pomeron trajectory with a mass relation: $m(0^{++}) < m(2^{++})$. In particular, for $QCD_4$, a strong coupling expansion for the Pomeron intercept is obtained.

1 Introduction

The Maldacena conjecture and its further extensions allow us to compute quantities in a strongly coupled gauge theory from its dual gravity description. In particular, Witten has pointed out if we compactify the 4-dimensional conformal super Yang Mills (SYM) to 3 dimensions using anti-periodic boundary conditions on the fermions, then we break supersymmetry and conformal invariance and obtain a theory that has interesting mass scales. This approach has been used to calculate a discrete mass spectrum for $\hat{0}^{++}$ states associated with $Tr[F^2]$ at strong coupling by solving the dilaton’s wave equation in the corresponding gravity description. Although the theory at strong coupling is really not pure Yang-Mills, since it has additional fields, some rough agreement was claimed with the pattern of glueball masses.

Here we report on the calculation of the discrete modes for the perturbations of the gravitational metric. A complete description for all discrete fluctuations has also been carried out, both for $QCD_3$ and $QCD_4$. For simplicity, we shall discuss here mostly $QCD_3$. For $QCD_4$, from the mass of the $2^{++}$ state and the calculated QCD string tension, we obtain a strong coupling expansion for the Pomeron intercept: $\alpha_P(0) = 2 - 0(1/g^2 N)$. In this approach, the Pomeron corresponds to a “massive graviton”. Other results will be reported elsewhere.

2 AdS/CFT Duality at Finite $\beta$

Let us review briefly the proposal for getting a 3-d Yang-Mills theory dual to supergravity. One begins by considering Type IIB supergravity in Euclidean 10-dimensional spacetime with the topology $M_5 \times S^5$. The Maldacena conjecture asserts that IIB superstring theory on $AdS^5 \times S^5$ is dual to the $N = 4$ SYM conformal field theory on the boundary of the $AdS$ space. The metric of this
spacetime is
\[ ds^2 / R_{ads}^2 = r^2 (d\tau^2 + dx_1^2 + dx_2^2 + dx_3^2) + \frac{dr^2}{r^2} + d\Omega_5^2 , \] (1)
where the radius of the AdS spacetime is given through \( R_{AdS}^4 = g_s N \alpha'^2 \) (\( g_s \) is the string coupling and \( l_s \) is the string length, \( l_s^2 = \alpha' \)). The Euclidean time is \( \tau = ix_0 \). To break conformal invariance, following ref. 2, we place the system at a nonzero temperature described by a periodic Euclidean time \( \tau = \tau + \beta, \beta = 2\pi R_0 \). The metric correspondingly changes, for small enough \( R_0 \), to the non-extremal black hole metric in AdS space. For large black hole temperatures, the stable phase of the metric corresponds to a black hole with radius large compared to the AdS curvature scale.

To see the physics of discrete modes, we may take the limit of going close to the horizon, whereby the metric reduces to that of the black 3-brane. This metric is, (where \( f(r) = r^2 - \frac{1}{r^2} \), and we have scaled out all dimensionful quantities),
\[ ds^2 = f d\tau^2 + f^{-1} dr^2 + r^2 (dx_1^2 + dx_2^2 + dx_3^2) + d\Omega_5^2 , \] (2)

On the gauge theory side, we would have a \( N = 4 \) susy theory corresponding to the AdS spacetime, but with the \( S^1 \) compactification with antiperiodic boundary conditions for the fermions, supersymmetry is broken and massless scalars are expected to acquire quantum corrections. Consequently from the view point of a 3-d theory, the compactification radius acts as an UV cut-off. Before the compactification the 4-d theory was conformal, and was characterized by a dimensionless effective coupling \( (g_{YM}^{(4)})^2 N \sim g_s N \). After the compactification the theory is not conformal, and the radius of the compact circle provides a length scale. Let this radius be \( R_0 \). Then a naive dimensional reduction from 4-d Yang-Mills to 3-d Yang-Mills, would give an effective coupling in the 3-d theory equal to \( (g_{YM}^{(3)})^2 N = (g_{YM}^{(4)})^2 N / (2\pi R_0) \). The 3-d YM coupling has the units of mass. If the dimensionless coupling \( (g_{YM}^{(4)})^2 N \) is much less than unity, then the length scale associated with this mass is larger than the radius of compactification, and we may expect the 3-d theory to be a dimensionally reduced version of the 4-d theory.

Unfortunately the dual supergravity description only applies at \( (g_{YM}^{(4)})^2 N >> 1 \), so that the higher Kaluza-Klein modes of the \( S^1 \) compactification have lower energy than the mass scale set by the 3-d coupling. Thus we do not really have a 3-d gauge theory with a finite number of additional fields. One may nevertheless expect that some general properties of the dimensionally reduced theory might survive the strong coupling limit. Moreover, we expect that the pattern of spin splittings might be a good place to look for similarities. In keeping with earlier work, we ignore the Kaluza-Klein modes of the \( S^1 \) and restrict ourselves to modes that are singlets of the \( SO(6) \), since non-singlets under the \( S^1 \) and the \( SO(6) \) can have no counterparts in a dimensionally reduced QCD3.

3 Wave Equations

We wish to consider fluctuations of the metric of the form,
\[ g_{\mu \nu} = \bar{g}_{\mu \nu} + h_{\mu \nu}(x) , \] (3)
leading to the linear Einstein equation,

$$h_{\mu\nu,\lambda} + h_{\lambda,\mu\nu} - h_{\mu,\lambda\nu} - h_{\nu,\lambda\mu} - 8h_{\mu\nu} = 0.$$  (4)

Our perturbations will have the form

$$h_{\mu\nu} = \epsilon_{\mu\nu}(r)e^{-mx_3}$$  (5)

where we have chosen to use $x_3$ as a Euclidean time direction to define the glueball masses of the 3-d gauge theory. We fix the gauge to $h_{3\mu} = 0$.

¿From the above ansatz and the metric, we see that we have an $SO(2)$ rotational symmetry in the $x_1 - x_2$ space, and we can classify our perturbations with respect spin.

**Spin-2:** There are two linearly independent perturbations which form the spin-2 representation of $SO(2)$: $h_{12} = h_{21} = q_T(r)e^{-mx_3}, h_{11} = -h_{22} = q_T(r)e^{-mx_3}$ with all other components zero. The Einstein equations give,

$$\left(r^2 - \frac{1}{r^2}\right)q''_T + \left(r - \frac{3}{r^3}\right)q'_T + \left(\frac{m^2}{r^2} - 4 - \frac{4}{r^4}\right)q_T = 0.$$  (6)

Defining $\phi_T(r) = q_T(r)/r^2$, this is the same equation as that satisfied by the dilaton (with constant value on the $S^5$).

**Spin-1:** The Einstein equation for the ansatz, $h_{i\tau} = h_{\tau i} = q_V(r)e^{-mx_3}, i = 1, 2$ gives

$$\left(r^2 - \frac{1}{r^2}\right)q''_V + \left(r - \frac{1}{r^3}\right)q'_V + \left(\frac{m^2}{r^2} - 4 + \frac{4}{r^4}\right)q_V = 0.$$  (7)

**Spin-0:** Based on the symmetries we choose an ansatz where the nonzero components of the perturbation are

$$h_{11} = h_{22} = q_1(r)e^{-mx_3}$$

$$h_{\tau\tau} = -2q_1(r)\frac{f(r)}{r^2}e^{-mx_3} + q_2(r)e^{-mx_3}$$

$$h_{rr} = q_3(r)e^{-mx_3}$$

where $f(r)$ is defined above in the metric. The field equation for $q_3 \equiv q_S(r)$, is

$$p_2(r)q''_S(r) + p_1(r)q'_S(r) + p_0(r)q_S(r) = 0,$$  (8)

where $p_2(r) = r^2(r^4 - 1)^2[3(r^4 - 1) + m^2r^2], p_1(r) = r(r^4 - 1)[3(r^4 - 1)(5r^4 + 3) + m^2r^2(7r^4 + 5)]$ and $p_0(r) = 9(r^4 - 1)^3 + 2m^2r^2(3 + 2r^4 + 3r^8 + m^4r^4(r^4 - 1))$.

4 Numerical Solution

To calculate the discrete spectrum for our three equation, one must apply the correct boundary conditions at $r = 1$ and $r = \infty$. The result is a Sturm-Liouville problem for the propagation of gravitational fluctuations in a “wave guide”.

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Table 1. Glueball Excitation Spectrum

| level | 0^{++} | 1^{--} | 2^{++} |
|-------|--------|--------|--------|
| n= 0  | 5.4573 | 18.676 | 11.588 |
| n= 1  | 30.442 | 47.495 | 34.527 |
| n= 2  | 65.123 | 87.722 | 68.975 |
| n= 3  | 111.14 | 139.42 | 114.91 |
| n= 4  | 168.60 | 203.99 | 172.33 |
| n= 5  | 237.53 | 277.24 | 241.24 |
| n= 6  | 317.93 | 363.38 | 321.63 |
| n= 7  | 409.82 | 461.00 | 413.50 |
| n= 8  | 513.18 | 570.11 | 516.86 |
| n= 9  | 628.01 | 690.70 | 631.71 |

Using this shooting method we have computed the first 10 states given in Table 1. The spin-2 equation is equivalent to the dilaton equation \(^3\)\(^4\), so the excellent agreement with earlier values validates our method. We used a standard Mathematica routine with boundaries taken to be \(x = r^2 - 1 = \epsilon\) and \(1/x = \epsilon\) reducing \(\epsilon\) gradually to \(\epsilon = 10^{-6}\). Note that since all our eigenfunctions must be even in \(r\) with nodes spacing in \(x = r^2 - 1\) of \(O(m^2)\), the variable \(1/x\) is a natural way to measure the distance to the boundary at infinity. For both boundaries, the values of \(\epsilon\) was varied to demonstrate that they were near enough to \(r = 1\), and \(\infty\) so as not to substantially effect the answer.

As one sees in the accompanying figure, they match very accurately with the leading order WKB approximation. Simple variational forms also lead to very accurate upper bounds for the ground state \((n = 0)\) masses.

5 Strong coupling Expansion for Pomeron Intercept

Our current exercise has been extended to 4-d QCD using a scheme involving the finite temperature version of \(AdS^7 \times S^4\). As has been suggested elsewhere, one goal is to find that background metric that has the phenomenologically best strong coupling limit. This should provide an optimal starting point for approaching the continuum weak coupling regime. Here, we shall report briefly the key constraint provided by the Pomeron intercept.

The Pomeron is the leading Regge trajectory passing through the lightest glueball state with
$J^{PC} = 2^{++}$. In a linear approximation, it can be parameterized by

$$\alpha_P(t) = 2 + \alpha_P'(t - m_T^2),$$  \hspace{1cm} (9)

where we can use the strong coupling estimate for the lightest tensor mass,[4]

$$m_T \simeq [9.86 + 0(\frac{1}{g^2N})] \beta^{-1}. \hspace{1cm} (10)$$

Moreover if we make the standard assumption that the closed string tension is twice that between two static quark sources, we also have a strong coupling expression for the Pomeron slope,

$$\alpha_P' \simeq [\frac{27}{32\pi g^2 N} + 0(\frac{1}{g^4 N^2})] \beta^2. \hspace{1cm} (11)$$

Putting these together, we obtain a strong coupling expansion for the Pomeron intercept,

$$\alpha_P(0) \simeq 2 - 0.66 (\frac{4\pi}{g^2 N}) + 0(\frac{1}{g^4 N^2}). \hspace{1cm} (12)$$

Turning this argument around, we can estimate a crossover value between the strong and weak coupling regimes by fixing $\alpha_P(0) \simeq 1.2$ at its phenomenological value. In fact this yields for $QCD_4$ at $N = 3$ a reasonable value for $\alpha_{strong} = g^2/4\pi = 0.176$ for the crossover. Much more experience with this new approach to strong coupling must be gained before such numerology can be taken seriously. However, similar crude argument have proven to be a useful guide in the crossover regime of lattice QCD. One might even follow the general strategy used in the lattice cut-off formulations. Postpone the difficult question of analytically solving the QCD string to find the true UV fixed point. Instead work at a fixed but physically reasonable cut-off scale (or bare coupling) to calculate the spectrum. If one is near enough to the fixed point, mass ratios should be reliable. After all, the real benefit of a weak/strong duality is to use each method in the domain where it provides the natural language. On the other hand, clearly from a fundamental point of view, finding analytical tools to understand the renormalized trajectory and prove asymptotic scaling within the context of the gauge invariant QCD string would also be a major achievement — an achievement that presumably would include a proof of confinement itself.

Results on these computations will be reported in a future publication,[5]

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b We have adopted the normalization in the $AdS$-black hole metric to simplify the coefficients, e.g., for $AdS^7$, $g_{rr} = r^2 - r^{-4}$. This corresponds to fixing the “thermal-radius” $R_1 = 1/3$ so that $\beta = 2\pi R_1 = 2\pi/3$. 
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