Dynamic Energy Budget models: fertile ground for understanding resource allocation in plants in a changing world

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Climate change is having dramatic effects on the diversity and distribution of species. Many of these effects are mediated by how an organism’s physiological patterns of resource allocation translate into fitness through effects on growth, survival and reproduction. Empirically, resource allocation is challenging to measure directly and so has often been approached using mathematical models, such as Dynamic Energy Budget (DEB) models. The fact that all plants require a very similar set of exogenous resources, namely light, water and nutrients, integrates well with the DEB framework in which a small number of variables and processes linked through pathways represent an organism’s state as it changes through time. Most DEB theory has been developed in reference to animals and microorganisms. However, terrestrial vascular plants differ from these organisms in fundamental ways that make resource allocation, and the trade-offs and feedbacks arising from it, particularly fundamental to their life histories, but also challenging to represent using existing DEB theory. Here, we describe key features of the anatomy, morphology, physiology, biochemistry, and ecology of terrestrial vascular plants that should be considered in the development of a generic DEB model for plants. We then describe possible approaches to doing so using existing DEB theory and point out features that may require significant development for DEB theory to accommodate them. We end by presenting a generic DEB model for plants that accounts for many of these key features and describing gaps that would need to be addressed for DEB theory to predict the responses of plants to climate change. DEB models offer a powerful and generalizable framework for modelling resource allocation in terrestrial vascular plants, and our review contributes a framework for expansion and development of DEB theory to address how plants respond to anthropogenic change.

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Introduction

Climate change is producing novel environments, creating environment–phenotype mismatches and, as a result, causing rapid changes in species’ habitat and geographic distributions (Williams et al., 2007; Burrows et al., 2014; Smith et al., 2013; Sharma et al., 2022). Understanding the mechanisms underlying species’ distributions is a compelling ecological challenge that has urgent conservation importance if we are to predict species’ responses to a rapidly changing climate. Species distribution models (SDMs) characterize a species’ ecological niche and then map that niche onto environmental data describing future climates to predict species’ geographic range limits and habitat occupancy (Merow et al., 2013). Because many species distribution modelling approaches use contemporary species’ distributions records to infer future range shifts, they lack a mechanistic basis and do not account for an organism’s interactions with its local environment, and therefore have limited predictive capacity in novel environmental settings (Kearney and Porter, 2004; Kearney and Porter, 2009; Kearney et al., 2010; De Frenne et al., 2013; Harwood et al., 2014; Briscoe et al., 2019). Distributions are defined by population dynamic processes involving the birth and death of individuals, which themselves are influenced by fundamental and unavoidable trade-offs in resource allocation to alternative physiological functions, such as between processes affecting growth, defence, storage and reproduction (Detto et al., 2021; Russo et al., 2021). Hence, as a major step towards mechanistic SDMs that link physiology with conservation (Cooke et al., 2013), it is vital to develop generalizable models predicting components of fitness that represent organismal physiology in a way that can account for these trade-offs (Kearney and Porter, 2017; Briscoe et al., 2019), and Dynamic Energy Budgets (DEBs) show promise for these efforts (Lavaud et al., 2021). This is particularly true for plants, as they are notorious for having dramatic phenotypic plasticity driven by ontogeny and environmental conditions (Clausen et al., 1940) that is often mediated by variation in the allocation of endogenous resources to alternative functions (Silvertown et al., 1997). Moreover, unlike mobile animals, plants cannot easily move to find more suitable environments. Developing mechanistic models of resource allocation in plants and plasticity in it is thus fundamental to predicting the responses of plants to climate change (Matesanz et al., 2010; Nicotra et al., 2010; Valladares et al., 2014).

Organisms have finite amounts of resources that they can allocate to different functions. Resource allocation is therefore a zero-sum game, that is, resources allocated to one function are consequently not available to other functions (Stearns, 1992). As a result, unavoidable trade-offs arise that depend on environmental conditions, both abiotic and biotic. However, understanding resource allocation strategies goes beyond the zero-sum analogy, as there are indirect costs and benefits that must be accounted for when considering the consequences of alternative allocation patterns at the level of the whole organism, giving rise to complex feedbacks between allocation and access to resources (Westoby et al., 2000; Ledder et al., 2020). Understanding resource allocation strategies is further complicated by the necessity for phenotypic integration of the whole organism, that is, the functioning of different parts of an organism must be compatible with each other in order to maximize fitness (Pigliucci, 2003). A corollary of phenotypic integration is that there may be different resource allocation strategies that yield the same fitness in a single environment (Marks and Lechowicz, 2006; Worthy et al., 2020), which is consistent with the observation that in nature, multiple resource allocation strategies co-exist in ecological communities (Russo et al., 2021).

Empirically, resource allocation is challenging to measure directly, and so has often been approached using mathematical models invoking principles of economic cost–benefit analysis (Bloom et al., 1985; Ledder et al., 2020). Many organ- and process-specific physiological models exist that predict optimal photosynthetic C-gain for trees and have been used to model niche occupancy of plants (e.g. Sterck et al., 2011; Sterck and Schieving, 2011). While these models represent significant advances, the trade-offs they predict at the whole-plant level do not arise as outcomes of mechanistic representations of resource allocation among organs and physiological processes. Optimality models have commonly been used to model resource allocation in plants, as they invoke the intuitively satisfying assumption that there must be an optimal strategy of allocation for a species, given its life history and environment (Iwasa and Roughgarden, 1984; Bloom et al., 1985; Coley et al., 1985). However, the same feature that makes the optimality approach intuitively satisfying is also a weakness, in that one needs to define a priori the quantity to be maximized, which is usually just one component of fitness, and to provide an objective function that incorporates mechanisms dictating how that optimality is achieved (Ledder et al., 2020). Another possible approach is allowing alternative resource allocation strategies to compete in an evolutionary stable strategy framework, such as in Farrior et al. (2013), which examined allocation to above versus belowground structures using an analytically tractable model, an approach that could be applied at larger spatial scales to model species distributions. Semi-mechanistic models based on functional trait variation have also been developed that predict the distribution of plant functional types and implicitly account for trade-offs in that they use empirical distributions of functional traits to describe plant functional types (e.g. Moorcroft et al., 2001; Medvigy et al., 2009) and are the basis for large-scale dynamic global vegetation models (Fisher et al., 2018). Recent work has modelled four empirically well-documented trade-offs in plants related to resource allocation and demonstrated that all of them have the capacity to support long-term coexistence of many species (Detto et al., 2021), demonstrating the importance of trade-offs for modelling plant communities and species distributions.

DEB theory (Kooijman, 1986; Kooijman, 2010) offers another approach to modelling whole organisms, which has
a flexible and generalizable framework. Based on first principles of metabolic organization and how energy and mass are allocated to different functions of an organism’s budget, DEB theory is well suited for modelling resource allocation. The DEB modelling approach has been less applied to plants, making this fertile ground for expansion and development of DEB theory, particularly in application to plant SDMs (Schouten et al., 2020). There are several reasons why DEB theory may be particularly useful for modelling resource allocation in plants. The fact that all plants require a very similar set of exogenous resources, namely light, water and nutrients, integrates well with the DEB modelling framework in which a small number of variables and fundamental processes linked through pathways represents an organism’s state as it changes through time (Ledder, 2014). Moreover, a number of features of terrestrial vascular plants, many of which arise because they have modular growth and are sessile, make resource allocation particularly fundamental to their life histories, and resolving the physiological basis of these resource allocation trade-offs represents one of the more thorny problems in plant biology that could be informed by a DEB approach. Moreover, while DEB processes are constrained through several core parameters, unlike optimality models, a DEB model does not make any explicit or implicit assumption about maximizing a particular outcome. Predictions from DEB models arise from processes operating from the ‘bottom-up’ rather than from ‘top-down’ constraints, which enhances their general applicability. There is thus much unrealized potential for using the DEB framework to address unanswered questions about how plants have navigated evolutionary trade-off landscapes to produce the diversity of life history strategies that we see on Earth and about how plants will navigate our rapidly changing world.

The goal of this article is to explore ways that the DEB modelling approach can be applied to terrestrial vascular plants, with a particular focus on resource allocation trade-offs. We focus on terrestrial vascular plants because most previous applications of DEB theory to photosynthetic organisms have been to microalgae and macroalgae (Lorena et al., 2010; Muller, 2011; Lavaud et al., 2020). Although trade-offs between allocation to reproduction and other functions (e.g. growth and survival) are important to understand, we focus on non-reproductive individuals in order to limit what would otherwise be a large scope for a single article. First, we discuss fundamental properties of terrestrial vascular plants, which for the sake of concision will hereafter be referred to as ‘plants’, emphasizing properties that distinguish them from unitary animals and that are relevant to a DEB theory for plants. Second, we translate these fundamental properties of plants into a list of stylized facts (sensu Sousa et al., 2010) that would be required for a generic DEB model of plants, as well as other stylized facts that would be useful for modelling plants in certain ecological scenarios, including responses to climate change. Finally, we present a generic DEB model for plants that builds on three published DEB models for plants (Kooijman, 2010; Ledder et al., 2020; Schouten et al., 2020).

**Leading a sessile, modular life while competing for similar resources**

Many of the fascinating features of plants derive from a few fundamental properties (Fig. 1). First, plants have a modular body plan, which stands in contrast to animals, many of which are unitary organisms (key exceptions being some sessile animals, such as corals, bryozoans and sponges) (Hallé et al., 1986; Tuomi and Vuorisalo, 1989; Oborny, 2019). Plants grow by reiteration of modules, which roughly correspond to the three vegetative organs: leaves, stems (including branches) and roots. Second, plants are sessile: they grow, reproduce and survive where they are rooted, which generates many and diverse consequences and results in fundamental differences from mobile animals (Karban et al., 2016). Third, nearly all plants synthesize their own carbohydrates through photosynthesis and require a nearly identical set of exogenous resources to do this and to grow, namely the energy contained in sunlight, CO₂, water and macronutrients like nitrogen (N), phosphorus (P) and potassium (K), as well as other micronutrients. Fourth, in plants, these exogenous resources are collected by different organs that operate in very different above and belowground environments. Leaves are the photosynthetic and light-capturing organs above ground, and they require sufficient N, P, K and other nutrients as well as water, all of which are collected by roots, specifically narrow-diameter roots called fine roots. As the belowground portions of the plant are not photosynthetic, they must import photosynthetically fixed C from the leaves to grow and for maintenance respiration. The fact that different plant organs collect different resources in contrasting environments, combined with the modular nature of the organs, represents a key difference from most animals and unicellular organisms. In animals, the ability to adjust the body plan, cellular structures or physiology in order to acquire more of the most limiting resources is more constrained, and the organs of acquisition function in a more uniform environment, generally inside the body. Fifth, in the process of conducting photosynthesis, leaves lose water through evaporation in a process called transpiration, creating a nearly unavoidable photosynthesis-transpiration trade-off. Hence, acquiring one substrate (CO₂) needed for assimilation of a resource (carbohydrates) by an organ (leaves) comes at the cost of loss of another resource (water) that is acquired by a different organ (fine roots). Moreover, water is very different from other resources. Plants require large volumes of water, but only a tiny fraction of water taken up by plant is directly involved in metabolism: most water is lost to the atmosphere through transpiration (Nobel, 2020). This, combined with modular growth and the water transport function of stems, creates a suite of complicated environment-dependent trade-offs in resource allocation to above and belowground plant parts. Managing these trade-offs is essential, as excessive water loss is detrimental, sometimes lethal, to the plant (Henry et al., 2019; Wang et al., 2020). Sixth, the life histories of plants vary among those that complete their life cycle in a single growing
season (‘annuals’), two growing seasons (‘biennials’) or are longer lived (‘perennials’). Seventh, the life cycles of all plants are defined by alternation of generations, in which subsequent generations of individuals alternate between multicellular stages that are haploid and diploid. Our discussion of the application of DEB theory to plants will focus on the first five properties and will be biased towards trees and perennial plants.

**Modularity, resource collection and growth in relation to phenotypic plasticity**

For unitary organisms like most animals, ontogenetic development starts from a single cell and realizes a definite body plan, in which the number of organs and their sizes are strictly defined (Oborny, 2019). In contrast, in the modular development of plants, their ontogeny progresses by the reiteration of finite developmental programs, each producing a module (Hallé et al., 1986; Oborny, 2019). Modules are semi-autonomous, but structurally and functionally integrated, subunits that together comprise the whole organism (Lüttge, 2019). Modules in terrestrial vascular plants roughly correspond to units of organs, and there are three vegetative organs: roots, stems and leaves. The organization of cells and tissues within each organ is well defined, but with plasticity in structure and function. The architectural arrangement of the system of modules and their number, size and structure can also be flexible, similar to a construction toy (Hallé et al., 1986; Tuomi and Vuorisalo, 1989; Oborny, 2019). Organs communicate with each other and share resources through a ramifying vascular system that connects all modules and that allows for the movement of water and nutrients in xylem tissue and of more complex molecules such as sugars, hormones and amino acids in phloem tissue (Lucas et al., 2013). Units of each organ (e.g. individual leaves) are produced and senesced, often seasonally, and the integrated outcome of these processes over time comprises plant growth. Whether through active senescence, herbivory (the partial consumption of a plant by another organism) or other forms of physical damage, sometimes a large number of modules making up the plant body can become inactive or be lost altogether, but this rarely results in death of the plant, which is another key distinction from most unitary animals in their stress responses (Huey et al., 2002).

The modularity of plants combined with their sessile nature potentially selects for phenotypic plasticity (the capacity of a genotype to produce different phenotypes depending on the environment; Via and Lande, 1985; Schlichting, 1986; Sultan, 1995; Arnold et al., 2019), since plants cannot move to find better environments, as non-sessile animals can. This has a number of consequences for the resource allocation strategies of plants. Environmental conditions change through time due to seasonality and longer-term environmental changes, some of which are mediated through a plant’s own growth. In forests, for instance, there are vertical environmental gradients from forest floor to canopy, and as trees grow in height, they experience different environmental conditions through ontogeny (Yoda, 1974; Rijkers et al., 2000; Bin et al., 2022). Accordingly, there is corresponding variation in leaf and stem traits with tree height (Rijkers et al., 2000; Couvreur et al., 2018; Bin et al., 2022).

Modularity and phenotypic plasticity are also key to feedbacks in which a plant’s own growth changes its environment and the resources available to it, for example, through self-shading (Donohue, 2003). Phenotypic plasticity can involve changes in resource allocation (Weiner, 2004; Weiner et al., 2009) and occurs at all levels of biological organization: gene expression, molecules and organelles, cells, tissues, organs and phenology (Schlichting, 1986). Plant organs have distinct structures, stoichiometries and functions, but also have a dramatic degree of plasticity that is typically not present in the organs of unitary animals (Schlichting, 1986), but is key to understanding the responses of plants to environmental change and other stressors (Huey et al., 2002; Arnold et al., 2019). For example, because of the large amount of pigments and proteins that are involved in photosynthesis, leaves have high concentrations of nitrogen and phosphorus, and as a result their stoichiometries are defined by lower C:N and C:P ratios, compared with other plant organs. However, there is a large degree of interspecific and intraspecific variation in the structure and stoichiometry of leaves that depends not only on the environment the leaf and plant experience, but also on other factors (Lambers et al., 1998). Many of the same environmental conditions that directly and indirectly affect photosynthetic function of a leaf (e.g. the intensity of light, atmospheric concentrations of CO₂, leaf temperature) also affect the structure and stoichiometry of the leaf. These relationships between structure, function and the environment also depend on the species’ ecological strategy (Bin et al., 2022). For instance, plant species that tend to grow quickly usually have thinner leaves with lower C:N ratios and faster rates of photosynthesis and respiration, compared with species of the same growth form (e.g. herbaceous plant, tree) that tend to grow more slowly (Lambers and Poorter, 1992; Poorter and Evans, 1998; Reich et al., 1999; Reich, 2014). This interspecific coordination among traits and between traits and growth rates often corresponds to species’ distributions along soil resource and climate gradients (Cunningham et al., 1999; Sterck et al., 2011; Maire et al., 2015; Wright and Westoby 2002; Weemstra et al. 2020). Likewise, plants of the same genotype or species that are grown in nutrient-poor soil will produce leaves that are smaller, thicker and tougher and have higher C:N and C:P ratios compared with plants grown in more fertile soil (Evans, 1989; Reich et al., 1989; Aerts and Chapin, 2000; Liu et al., 2012; Tatarko and Knops, 2018).

Leaves are displayed on stems, which include branches that consist of ramifying units. Stems are support organs, but also function in the transport and storage of photosynthetically fixed carbon in the form of sugars and starches (Hoch et al., 2003), as well as of water and nutrients (Chapin et al.,
The size and arrangement of stems dictates the overall structure of the plant aboveground (which is also true for roots belowground), but in many growth forms of plants the structure can be very plastic (Weiner, 2004; Ogawa, 2019). For example, among trees, individuals of the same species at the same age or size may have crowns of varying sizes and shapes resulting from modular, light-stimulated growth that produces branches and leaves in well-lit locations and senesces less productive leaves and the branches that display them in a way that is thought to maximize photosynthesis in a heterogeneously shaded environment (Field, 1983; Ackerly and Bazzaz, 1995; Niinemets and Kull, 1995).

Stems are connected to roots, which are generally underground and anchor the plant to the substrate, which is usually soil. Like stems aboveground, roots form a ramifying network

Figure 1: Depiction of key physiological and ecological processes affecting the growth and survival of plants described in Leading a sessile, modular life while competing for similar resources and corresponding to selected stylized facts described in Towards a Dynamic Energy Budget model for plants.
belowground where they absorb water and nutrients, such as nitrogen, phosphorus, potassium and other mineral nutrients, from the soil and transport them to the aboveground portions of the plant. Roots also store many of the same endogenous resources that stems do (Wiley et al., 2019). A distinction can be made between coarse (wider diameter) roots whose functions are mainly support/anchorage, storage and transport versus finer (narrower diameter) roots whose functions are mainly resource acquisition and transport (Waisel et al., 2002; Weemstra et al., 2016).

As a principal function of fine roots is to absorb resources from soil (McCormack et al., 2015), they are the belowground analog of leaves, which absorb aboveground resources. Fine roots also are the principal sites for interactions with microorganisms in the soil, as described below. The structure of individual roots and the architecture of the root system as a whole are also very plastic (Hodge, 2004; Freschet et al., 2021). Patterns of trait covariation involving durability and productivity trade-offs have been also found for roots, although they are often different from those for leaves (Comas and Eissenstat, 2004; Kochsieck et al., 2013; Weemstra et al., 2016; Weemstra et al., 2020). Fine roots will proliferate into patches of soil that contain greater nutrient availability and will senesce in areas where nutrients have already been depleted (Robinson et al., 1999; Hodge, 2004; Xia et al., 2010), analogous to the production and senescence of leaves and branches to maximize light capture. In these respects, the modularity of plants allows them to function like optimal foragers (Charnov, 1976; Oborny, 1991; McNickle et al., 2009). Moreover, the functioning of leaves and roots is complementary, in that each organ acquires types of resources that the other cannot and is influenced by different environmental conditions. This sets the stage for environment-dependent trade-offs in resource allocation above vs belowground that is a fundamental component of whole-organism phenotypic plasticity in plants (Pooter et al., 2012).

Defence

Plants cannot move to avoid risks of damage and death, which include risks caused by biotic interactions with predators, herbivores and other pests and pathogens (Grubb, 1992), but also by abiotic processes, such as falling branches and trees often caused by extreme weather events (Clark and Clark, 1991). As a result, there has been selection for self-defence that takes on many forms. Physical defence includes tough and robust tissues, like dense wood of trees that resists damage (Bultman and Southwell, 1976) and prickles, spines and thorns (Grubb, 1992). Plants are also some of the best chemists on Earth, and a single plant individual can produce thousands of different compounds, many of which are thought to function in defence (Fraenkel, 1959; Mithöfer and Boland, 2012). Chemical defensive molecules vary in stoichiometry and range from large, carbon-rich molecules, such as terpenoids, to smaller nitrogen-containing compounds, like alkaloids (Wink, 2010). Plants can also employ animals to defend them. For example, some plants, when damaged by herbivores, produce volatile compounds that attract predators of the herbivore to the site of damage, causing top-down regulation of herbivore populations (Tumlinson et al., 1993; Paré and Tumlinson, 1999; Kessler and Baldwin, 2001). Intimate symbioses between plants and ants (known as myrmecophytic plants) have appeared many times in the evolutionary history of the flowering plants (Chomicki and Renner, 2015), with the ants aggressively defending their host plant against herbivores, and the plant provisioning the ants with resources, such as carbohydrate and fat-rich food and space in stems and specialized thorns for nesting (Davidson and McKey, 1993; Davies et al., 2001). Of course, some animals, especially sessile ones, invest in defence against predation by producing chemical toxins, spines or other specialized defensive structures (Benard and Fordyce, 2003). However, it can be argued that the principal modes of defence differ between mobile animals and plants, particularly as mobile animals invest heavily in behavioural strategies to avoid predation (Lima and Dill, 1990).

Of course, defences are costly and allocation of resources to functions reducing the risk of damage and death limit resources available to other functions, such as those promoting growth (Coley et al., 1985; Simms and Rausher, 1987; Züst and Agrawal, 2017), which has been termed ‘the dilemma of plants: to grow or to defend’ (Herms and Mattson, 1992). As a result, the amount and type of defence covaries with other aspects of the life history of plant species, such as growth and survival rates (Coley et al., 1985; Coley, 1987; Coley, 1988; Fine et al., 2006; Agrawal, 2007; Imaji and Seiwa, 2010). As resource allocation to defence directly contributes to survival (Loehle, 1988; Loehle, 1996), but competes with physiological processes supporting other vital rates, such as growth and reproduction (Briggs and Schultz, 1990; Herms and Mattson, 1992; Weiner et al., 2009), interspecific demographic trade-offs emerge that define ecological strategies of plants (Díaz et al., 2016, Grime, 1977, Kobe and Coats, 1997, Reich, 2014, Rüger et al., 2018, Russo et al., 2021, Wright et al., 2004).

Storage and recycling

The sessile nature of plants combined with temporal variation in a plant’s environment should also select for the capacity to store, recycle and reallocate endogenous resources to different organs or tissues or locations within a plant’s body. Plants store essential resources, such as photosynthetically fixed carbon, water and nutrients (Chapin et al., 1990; Hoch et al., 2003). Plants, especially trees, store large amounts of water (Meinzer et al., 2004; Köcher et al., 2013) and carbohydrates (Plavcová and Jansen, 2015) in stem and root tissues. Stored water can be used to maintain hydraulic function (Meinzer et al., 2004; Köcher et al., 2013) and to flush new leaves, as adequate turgor pressure is required for growth (Chapoton et al., 2006a). Carbohydrates are used as both materials and energy for the construction of new tissues and organs, as
how plants die, as discussed below in reference to DEB theory. This has important implications for understanding exactly a minimum amount of stored resources, whereas in passive active control of storage pools implies that a plant maintains function of additions to and subtractions from the pools, the pools of stored resources in a plant are dynamic and et al. under active or passive control (Smith and Stitt, 2007; Sala et al., 2012; Wiley and Helliker, 2012). While the sizes of stored carbohydrate pools, which have consequences for survival during drought (Obrien et al., 2014) and overwintering in temperate zones (Kobe, 1997; Canham et al., 1999), is under active or passive control (Smith and Stitt, 2007; Sala et al., 2012; Wiley and Helliker, 2012). While the sizes of the pools of stored resources in a plant are dynamic and a function of additions to and subtractions from the pools, active control of storage pools implies that a plant maintains a minimum amount of stored resources, whereas in passive control, additions and subtractions would be unregulated. This has important implications for understanding exactly how plants die, as discussed below in reference to DEB theory.

**Mutualistic relationships**

The sessile nature of plants has also contributed to selection for the development of mutualisms with other eukaryotic organisms and bacteria. Most plants form a wide array of mutualistic relationships with animals, which include exchanges of resources for services requiring the ability to move (e.g. pollination, seed dispersal, defence) (Herrera and Pellmyr, 2002), as well as syntrophic relationships involving reciprocal exchange of resources (Cohn et al., 1998; Brundrett, 2002; Brundrett and Tedersoo, 2018; Gupta and Sharma, 2021). Belowground syntrophic relationships involve plant roots and the bacteria and fungi that live in, on and near them and affect the acquisition of water and nutrients, which are patchily distributed in soil (Knops et al., 2002; Schimel and Bennett, 2004; van der Putten et al., 2013).

Plant roots can principally take up inorganic forms of nutrients, such as ammonium (NH₄⁺), nitrate (NO₃⁻) and orthophosphate (PO₄³⁻) (Marchner, 1995). While some forms of nutrients that plants require are easily dissolved in the pore water of soil, and hence are more mobile through the soil matrix, other nutrients adhere more strongly to soil particles or may be occluded in them, making them harder for plant roots to access (Marchner, 1995; Tinker and Nye, 2000). Thus, less-mobile nutrients (e.g. phosphorus) form depletion zones around roots due to plant uptake (Giehl and von Wirén, 2014). In contrast to plants, microorganisms like bacteria and fungi produce an array of enzymes that can break the chemical bonds in the molecules that make up organic matter in soil. Plants generally must rely on decomposers to catalyze organic matter and convert the nutrients contained within it into plant-available forms (Knops et al., 2002; Schimel and Bennett, 2004; van der Putten et al., 2013). Thus, the challenges associated with acquiring nutrients from soil while being rooted in a single location combined with the costliness of root growth have likely selected for the evolution of plant-microbe syntrophies (Bergmann et al., 2020; Tedersoo et al., 2020).

Plant-microbe syntrophies are often obligate for the microorganism, but facultative for the plant. Well-known examples include bacteria of the family Rhizobiaceae possessing nitrogenase enzymes that fix dinitrogen within a plant organ specialized for housing the bacteria, the root nodule, which is characteristic of leguminous plants (Fabaceae) (Cohn et al., 1998; Dos Santos et al., 2012). Nearly 90% of all land plant species form symbioses with mycorrhizal fungi of several functional types in and on their roots (Smith and Read, 2008; Bonfante and Genre, 2010; Peay, 2016; Tedersoo and Brundrett, 2017). Mycorrhizal fungi form extensive mycelial networks in soil and in and on plant roots and produce hydrolytic enzymes lacking in plants that are capable of breaking down complex organic molecules, such as cellulose (Bonfante and Genre, 2010). Although there may be other benefits of association with microbes, such as defence (Bennett et al., 2017; Tedersoo et al., 2020), in syntrophic relationships, plants exchange photosynthetically fixed carbon for nutrients, principally N and P, supplied by the microbial symbiont. Plant roots take up water and nutrients unaided by microbiota, but there is a cost/benefit trade-off between this versus outsourcing these functions to symbionts (Bonfante and Genre, 2010; Bergmann et al., 2020). The fact that up to 30% of recently
produced photosynthates of a plant host can be allocated to mycorrhizal fungi (Nehls and Hampp, 2000; Hobbie, 2006; Soudzilovskaya et al., 2015; Pringle, 2016; Thirkill et al., 2020) suggests that this is a carbon cost that is worth paying. Not all symbionts are the same in terms of costs and benefits: some are more cooperative in that they supply more nutrients to plants, whereas others are more costly in terms of the carbon investment required (Kiers et al., 2003; Kiers et al., 2011). While these syntrophies are often termed mutualisms, they exist on a mutualism–parasitism continuum that is to some extent regulated by soil resource availability (Bronstein, 1994; Johnson et al., 1997; Smith and Smith, 2013). Thus, the propensity of plants to form these resource-based syntrophies extends the consideration of trade-offs in resource allocation to encompass the competing interests of syntrophic partners.

Phenotypic integration and trade-offs

There are many indirect consequences of resource allocation patterns, and some of these derive from the fact that plant organs have multiple functions and constraints, along with the necessity for phenotypic integration. Let us take the example of wood density, which is a commonly measured phenotypic trait of the stems of woody plants. Woody stems serve important support functions, allowing leaves to be displayed at tall heights and laterally along the tips of extending branches for light capture. Anatomically, wood is the secondary xylem of plant species that have secondary growth. Although xylem cells are dead at maturity, they serve essential hydraulic functions, operating like pipes to transport water and nutrients absorbed by fine roots from the soil to other parts of the plant (Carlquist, 1975). Lighter wood is often so because the xylem cells within it have larger-diameter lumens, which are also more efficient at conducting water (Hagen–Poiseuille law of laminar flow) (Tyree and Ewers, 1991). Water transport through xylem is critical for sustaining canopy photosynthesis (Tyree, 1999; Tyree, 2003; Couvreur et al., 2018) and maintaining adequate turgor pressure for cell division and expansion during plant growth (Salisbury and Ross, 1992; Kozlowski and Pallardy, 1996; Kozlowski and Pallardy, 2002). Compared with narrower xylem cells, wider xylem cells can support faster water flow, but when plants become water limited, wider xylem are also more vulnerable to cavitation and air embolisms (Cruiziat et al., 2002) that decrease the hydraulic conductivity of the xylem (Tyree and Sperry, 1989) and ultimately limit photosynthesis, producing trade-offs in xylem safety vs efficiency (Sperry et al., 2008; Chave et al., 2009; Gleason et al., 2016). Wood density also influences water storage, or capacitance, in the stem, which can enhance survival during prolonged drought (Meinzer et al., 2003; Chapotin et al., 2006b; Zieminska et al., 2020), as well as resistance to pests, pathogens and physical damage (Chave et al., 2009). By definition, denser wood has more mass per unit volume, and consequently more biomass must be invested to achieve a given amount of growth in volume for denser compared with lighter-wooded species (King et al., 2006; Chave et al., 2009; Russo et al., 2010). Variation in wood density has also been linked to architectural and allometric differentiation among tree species, as lighter wood may be more efficient for vertical (height) growth, whereas denser wood may be more efficient for lateral growth (crown expansion and branching) (King et al., 2005; van Gelder et al., 2006; Anten and Schieving, 2010; Iida et al., 2012).

It is clear that the way a single tissue, wood, is made produces resource allocation-based trade-offs related to productivity vs durability and safety vs efficiency, involving resistance to natural enemies and physical damage, tree allometry and architecture, hydraulic function and photosynthetic productivity, all of which have consequences for growth and survival rates (Chave et al., 2009; Russo et al., 2010). Analogous allocation-based trade-offs also operate for other tissues and organs and are thought to underlie trade-offs manifest at higher levels of biological organization (e.g. individuals, populations and species), such as between growth versus survival, growth versus reproduction and maturation versus growth (Salguero-Gómez, 2016; Rüger et al., 2018; Detto et al., 2021; Russo et al., 2021).

Modes of death and the importance of water

Exactly how organisms die is not well understood, even for humans, and it is especially not well understood for plants. By ‘plant death’ we mean, death of the entire organism (i.e. of all modules). Plants may die by several mechanisms, but some of these are particularly exacerbated by climate change: (1) a falling object (generally another plant), storms or extensive pest damage can cause a plant to experience an unsurvivable amount of catastrophic tissue loss; (2) a plant can be starved of carbohydrate resources and become unable to pay the cost of maintenance respiration (carbon starvation); and (3) a plant can experience catastrophic dysfunction of its hydraulic system and water balance that causes it to be unable to transport water to leaves or move stored resources from one location to the next (hydraulic failure).

Carbon starvation may occur if plants are unable to photosynthetically assimilate carbon for long periods of time, often as a result of water limitation, but possibly also due to extensive defoliation by pests or disturbances like hurricanes and to the accumulation of damage to photosynthetic organs and the tissues that supply them (Arellano et al., 2019; Zuleta et al., 2022). When limitations on photosynthesis are severe and prolonged, such as by drought, plants may die of carbon starvation when carbon fixation is limited and available pools of endogenous carbohydrates are insufficient to pay maintenance costs (McDowell et al., 2008). The fundamental trade-off between CO2 fixation in photosynthesis and water loss via transpiration means that when plants experience water deficit the guard cells making up the stomatal pore close it, stopping CO2 from entering the leaf and ultimately stopping photosynthetic C-assimilation (Cowan, 1978b). Plants may then resort to stored carbohydrates to pay maintenance costs, grow or perform other necessary metabolic functions. However, these
reserves may be depleted if photosynthesis is limited for a prolonged time period, and depletion of reserves is associated with increased mortality (Kobe, 1997; Sala et al., 2010; Obrien et al., 2014). Because large plants like trees can store large amounts of carbohydrates in stems and roots, it is likely that small perennial or juvenile plants with limited reserves are much more vulnerable to death by carbon starvation.

Conversely, large plants like trees may be more vulnerable to death by hydraulic failure, due to the difficulty of raising water to leaves at tall heights. Although carbon starvation may result from water limitations, hydraulic failure is a distinctly different process. Under severe water limitation, xylem cavitations that impede water flow may be so extensive that the plant may pass a threshold beyond which xylem can no longer be refilled with water, causing plants to die by hydraulic failure (Sperry and Tyree, 1988; Hacke and Sauter, 1996; McDowell et al., 2008). This may happen even when a plant still has ample stored carbohydrates (McDowell et al., 2008) because the functioning of xylem and phloem, which transports sugars and other metabolites, are linked in that sufficiently low plant water potential also impedes the plant’s ability to access and transport reserves (Plavcová and Jansen, 2015). To use an economic analogy, dying from ‘carbon starvation’ is analogous to running out of money in the bank and being unable to buy food, whereas dying from ‘hydraulic failure’ is analogous to having money in the bank, but being unable to access the bank to withdraw it. Water balance and hydraulic processes in the plant therefore place fundamental constraints on resource assimilation and translocation, and so while water is a resource, like light and nutrients, it plays a fundamentally different role.

Application of DEB models to terrestrial vascular plants

An attractive feature of DEB theory is that, rather than elaborating the functions of different morphological components of an organism, as we have done in the previous section for plants, it abstracts an organism’s functioning in terms of material fluxes of substrates in processes of assimilation, growth and turnover (Jusup et al., 2017), while accounting for feedbacks, and so, in principle, it can capture complex somatic growth dynamics, as well as trade-offs and scaling relationships that affect rates of growth, survival and reproduction (Sousa et al., 2010; Ledder, 2014; Jusup et al., 2017). If starting ab initio, it would be straightforward to write an overview of animal physiology, with a level of detail analogous to that in the preceding section, which would highlight a large list of ‘key’ properties for inclusion in a generic DEB model for an animal. The breakthrough achieved with Kooijman’s DEB theory (Kooijman, 1986; Kooijman, 2001) is that it evolved to a unified framework with a generic ‘standard’ animal model involving a small number of state variables, which operates as a template from which many variants have been derived (e.g. Kooijman, 2010; Jusup et al., 2017). The most important simplifications for the standard model (all potentially relaxed in variants) are as follows: (i) subdivision of living tissue into just two types, structure that requires maintenance and reserve that does not; (ii) assuming idealized types of homeostasis; (iii) restriction to a single resource input (‘food’) with the effect of the other fundamental resource (dioxygen absorbed via different organs) subsumed into model parameters (yield coefficients); and (iv) neglect of phenotypic plasticity. Some ‘key’ properties, notably interspecific co-variation of parameters, are emergent properties of the standard DEB model, not assumptions.

For a number of reasons discussed below, plants pose a much greater challenge for DEB theory compared with unitary animals. While simple submodels can be used to connect an animal’s rate of resource collection to its structural masses, the models that connect carbon capture to leaf mass and water and nutrient uptake to root mass are more complicated and should potentially incorporate hydraulic processes and the costs and effects of water transport. Indeed, there are many detailed models of organ-specific physiological processes in plants, including water transport through xylem in stems (e.g. Tyree and Sperry, 1988; Sperry et al., 2012; Wolf et al., 2016; Couvreur et al., 2018), uptake of water from soils by roots (e.g. Couvreur et al., 2012; Couvreur et al., 2014), nutrient uptake by roots (e.g. Leitner et al., 2010), photosynthesis (e.g. Farquhar et al., 1980; Evans et al., 1993; von Caemmerer, 2000) and stomatal conductance (e.g. Way et al., 2011; Wang et al., 2020). Plant models that integrate environment-dependent organ-specific physiological processes, alternative resource allocation strategies operating at the whole-plant level, are crucial because they can better capture the demographic consequences of complex trade-offs. Because of the properties of plants described above, as well as others not addressed here, plant growth, survival and reproduction are subject to these trade-offs in ways that are arguably more complicated than in unitary organisms, making it harder to derive a single ‘standard’ DEB model for plants analogous to the one for animals, as we elaborate below.

Below is a list of properties that we think should be considered when formulating a DEB model that predicts somatic growth of a non-reproductive plant that interacts with its environment. Including all of them would lead to an excessively complex model and for this reason we advocate selecting a subset to serve as the broad generalizations (stylized facts) for a generic model analogous to the standard DEB model for animals. In the next section, we outline one such generic model. For particular applications, the selection and prioritization of stylized facts will depend on the desired level of generality and on the focal biological questions. However, a key requirement is that the plant’s performance not only changes as a result of the environmental conditions, but that the plant can plastically adjust aspects of its form and function to changing conditions in ways that allow a more adaptive response (i.e. beneficial for fitness) to its current environment. Since the environment changes in time at various scales, and
plants change their own environment through their growth, this modelling capacity is arguably necessary if we are to build models that can predict plant responses to climate change, including changes in species’ habitat and geographic distributions (Nicotra et al., 2010; Nicotra and Davidson, 2010; Valladares et al., 2014).

The first nine properties are candidate styled facts that can support generic DEB models for plants. In the text below, italicized words refer to named components of existing DEB theory, whereas words in quotes refer to components that would need to be added to or significantly modified in existing DEB theory. Properties range from those that are already relatively easily accommodated by existing DEB methodology to those that are more challenging but do not require fundamentally new additions to DEB theory to those that require new fundamental thinking and additions to existing DEB theory in order to be accommodated.

P1. There are four environmental resources—CO₂, photosynthetically active radiation, water and nutrients. All nutrients (e.g. N, P, K) can be treated together with the most limiting nutrient being the nutrient resource currency used in the model (Kooijman, 2001; Ledder et al., 2020). DEB models for plants that consider only two of these resources explicitly have been developed and analysed (e.g. Ledder et al., 2020), but such models cannot account for all of the resources that commonly limit plant growth. Carbon dioxide and nutrients are clearly resources, as they are substrates for biosynthetic reactions. While atmospheric CO₂ itself is not limiting, because of the photosynthesis–transpiration trade-off, the amount of CO₂ inside the leaf at the sites of carboxylation can be limiting. Photosynthetically active radiation (solar radiation in the range of photosynthetically active wavelengths, commonly known as light) cannot be stored, but is required to energize the carbon fixation reactions. Moreover, competition for light is thought to have been responsible for the evolution of molecules and organs (stems) that allow plants to grow tall and shade their neighbours. Hence, we consider light a resource. Very little of the water that a plant uses is chemically incorporated into metabolites. However, water in soil can be depleted by and stored in the plant, and when water was incorporated into a DEB model for plants as an environmental control on shoot growth, via its effects on photosynthesis, but not as a depletable resource, this created unrealistic root growth dynamics during drought (Schouten et al., 2020). Thus, we suggest water should be considered a resource, but as elaborated in more detail below, it functions differently as a resource, compared with CO₂, light or nutrients. A more complex DEB model for plants might also include submodels that describe the drivers of the availability of these resources with respect to the environment (P2) and plant-environment feedbacks (P7).

P2. Key properties of the environment are air relative humidity (RH) and temperature. The distinction we make between resources and environmental properties is that resources are essential substrates for metabolic processes, whereas environmental properties are those that, in combination with other factors, modulate the rates of resource acquisition and metabolic processes and thereby also influence the heat, water, nutrient and carbon balances of the plant (Gates, 1980; Kearney et al., 2021). We consider the environment to be that in the immediate spatial vicinity of the plant (that is, the microenvironment), regardless of the timescale under consideration. We distinguish air temperature and RH, which are easily measured by widely used environmental sensors, as more fundamental climatic variables, from which other important climatic variables, such as vapour pressure deficit (VPD), can be calculated. Many DEB applications adopt a minimally complex treatment of temperature, assuming all rates to have identical or near-identical temperature dependence, which would clearly be incompatible with what is known about the complex effects of temperature on plants (Wahid et al., 2007; Slot et al., 2019; Smith et al., 2019). For example, the temperature of the leaf influences the rates of CO₂ fixation (Farquhar et al., 1980; Yamori et al., 2014; Dusenge et al., 2019) and cellular respiration (Heskell et al., 2016; Dusenge et al., 2019) and hence growth (Way and Oren, 2010). Leaf temperature is determined not only by air temperature, but also by the size and shape of the leaf and the amount of direct versus indirect solar radiation heating the leaf, among other factors (Leigh et al., 2017). Temperature also affects the rate of respiration (Atkin et al., 2005; Lambers and Ribas-Carbo, 2005; Slot et al., 2019; Smith et al., 2019). Air temperature interacts with RH to cause variation in the leaf-to-air VPD, which, combined with the water potential in the leaf, is the driving force for transpiration that affects not only leaf temperature, but also stomatal functioning, which together affect the rate of carbon fixation (Farquhar et al., 2001; Way et al., 2011) and hydraulic function (Cowan, 1978a; Henry et al., 2019). In a more complex DEB model for plants, the physical properties of the soil could be considered, along with rainfall, as a key environmental property, as both influence the rate of belowground resource supply (P1).

P3. There should be two different structures for collecting the resources (Kooijman, 2001). Leaves collect CO₂, intercept light and synthesize sugars, and water and nutrients are collected by fine roots. Each structure has its own stoichiometry.

P4. For larger or woody plants, a DEB model should explicitly account for the energetic roles of living tissue in stems and coarse roots. These roles include transporting and storing fixed C, water and nutrients between the structures (leaves and fine roots) and in supporting and displaying the structures. Accounting for the functions of stems and coarse roots is important, as they figure prominently in models of succession and competition for light, even for shorter stature vegetation (Detto et al., 2021). Moreover, empirical studies of biomass allocation have argued against lumping stems and leaves into shoots, and instead advocated for considering mass fractions of leaves, stems and roots separately (Poorter et al., 2012). It has been proposed that the xylem in stems and
coarse roots could be considered *product*, in that it is dead and does not directly require maintenance costs (Kooijman, 2001; Schouten *et al.*, 2020), although it still serves vital transport functions that provides essential support functions affecting resource collection (e.g. carbon fixation and nutrient uptake) and remobilization. If the definition of a product can be modified so that its properties (e.g. size and transport capacity) can impact plant functioning, then xylem can be considered *product*. Alternatively, DEB theory needs a new definition for a metabolism and maintenance-free *structure* (which we refer to as ‘structure’) that can affect the functioning of resource collection by leaves and fine root *structures* and also be able to mediate death.

**P5. The multifaceted role of water must be accounted for.** A generic DEB model for plants should include photosynthesis–transpiration and safety–efficiency trade-offs and allow for these trade-offs to influence plant growth and survival. Moreover, a generic plant DEB model should allow for nutrients and water to independently limit plant growth, even though both are collected by the fine roots.

**P6. Resource-specific reserves and dynamics.** There should be resource-specific reserves and dynamics that differ depending on their utilization by different *structures* and the ‘structure’ or product representing stems (P4). For example, when a plant makes new leaves, it must mobilize both carbohydrates and nitrogen from *reserves* in the appropriate stoichiometric ratio to construct a leaf, as constrained by that particular species and its environment. The stoichiometric ratio for roots will be different because the nature of the tissues is different, but also because all of the carbon required to build new roots must come from *reserve*, whereas that is not true of the leaves (and vice versa for nitrogen). Likewise, the dynamics of the same reserve, such as carbohydrates, in different *structures*, such as leaves versus stems, are different. Generally, leaves have faster dynamics, as they export carbohydrates at the end of the day for longer-term storage in stems. Carbohydrates in stems are remobilized less frequently, for example, to repair damage or break bud in the spring. Accurately capturing these sorts of dynamics is essential for a model to capture responses to stress and seasonal growth patterns.

**P7. Plant-environment feedbacks.** The microenvironment that a plant experiences is not only defined by resources (P1) and environmental variables (P2), but also by how those are affected by the properties and functioning of the plant itself, that is, plant-environment feedbacks. The capacity for feedbacks in which the plant impacts the state of its own local environment is essential. Plant-environment feedbacks include self-shading caused by the plant over-topping itself as it grows (e.g. Ackerly, 1999). In addition, soil water and nutrient availability are not only influenced by rainfall and the physical properties of the soil, but also, critically, can be depleted around roots by plant uptake, which is a driving force for root growth (Lambers *et al.*, 1998; Couvreur *et al.*, 2012; Farrier *et al.*, 2013; Sulis *et al.*, 2019). The capacity for plant-environment feedbacks to arise can be integrated into a plant DEB model with appropriate physiological, biophysical and soil hydraulics process models describing relationships across the soil–plant–atmosphere continuum. Since plant-environment feedbacks change across the lifetime as the plant grows, a DEB model for plants would ideally capture these feedbacks across the full life cycle of the plant (Kearney, 2019). Although animals can change their own environment as ecosystem engineers, they usually do so in a way from which they benefit, which is not true of resource depletion in plants. Mobile animals can also deplete resources, but they can move to a new environment to find more resources, whereas a plant (and sessile animals) can only grow beyond its own depletion zone or wait for resources to be replenished.

**P8. Growth capacities should be modular.** This can be accomplished by allowing for the semi-autonomous production and senescence or loss of the *structures*.

**P9. Mechanisms for balanced growth among organs.** Resource allocation to roots, stems and leaves is a fundamental feature of how plant phenotypes are modified depending on the environment, and a mechanism for achieving realistic mass ratios between these organs during plant growth is essential to a generic DEB model for plants. The modularity of DEB theory in principle allows the linkage of several *structures* and their *synthesizing units* (SUs) comprising different parts of an organism, as has been done in models of plant growth accounting for allocation to roots versus shoots in terrestrial plants (Kooijman, 2001; Ledder *et al.*, 2020; Schouten *et al.*, 2020). A ‘sharing the surplus’ mechanism has been shown to yield optimal growth for a large variety of scenarios in a model consisting of roots and shoots (Ledder *et al.*, 2020); however, this mechanism is not adequate for a model that interposes stems as an additional SU between the roots and the leaves. With the assumption that SUs are perfectly efficient with regard to the utilization of the limiting resource (the minimum rule SU in Ledder *et al.*, 2020), there is never a scenario in which the stems are required to share both a photosynthetic surplus and a nutrient surplus. Hence, the system evolves to a state in which the plant fails because the leaves and roots are unable to grow (Fig. 3a). With the alternative assumption of a biochemical SU model in which there is some inefficiency in the SUs (the parallel complementary SU in Ledder *et al.*, 2020), the leaves and roots are able to grow (Fig. 3b), but the extra resource control owing to the stem’s central position produces stem growth that would be out of proportion to the overall value of the stem to the plant for most species and that is not adaptive with respect to the need for stems (Fig. 3c) (see Supplementary Appendix 1, with mathematical details in Supplementary Appendix 2). Thus, while the mechanism of sharing the surplus in a model with roots, stems and leaves in series can maintain the growth of all organs, our work suggests that this mechanism would need to be supplemented.
by restrictions that tie stem growth to stem value. The generic DEB model for plants that we present below (with details in Supplementary Appendix 3) provides a framework for one possible way to solve this issue.

Characterizing the fate of a plant in real environments will require adding to any growth model modules describing response to stress, including mechanisms causing death. The following modules would allow the model plant to respond to common and important environmental stressors:

M1. Defence. There should be a way to account for trade-offs between durability vs productivity and safety vs efficiency of the structures, along with their resulting feedbacks on growth and survival. This would involve a mechanism for preventing biomass loss (e.g. chemical or physical defences or immune response) that increases survival, but reduces resources available for growth and reproduction and also accounts for lost opportunity costs of not having invested those resources in growth (Fig. 2). Defence could be treated like maturity. That is, there could be a variable representing the cumulative investment in defence, and some parameters like tissue turnover could depend on that variable. Defence may also be considered a product that reduces the background mortality rate in relation to a damage parameter, similar to the way longevity is handled in DEB theory, coupled with a form of a \( \kappa \) parameter to capture the trade-offs with growth and reproduction (Muller and Nisbet, 2000). Different types of defences would have different stoichiometries. Defence likely requires some maintenance costs (e.g. turnover of enzymes to produce chemical defences).

M2. Recycling of resources. Resorption of resources from senescing modules to the reserves should be allowed and may depend on exogenous environmental conditions (e.g. light or soil nutrient and water availability), as well as endogenous conditions, namely water balance.

M3. Plant death and lifespan. As described above, how plants die is not well understood, but three inter-related mechanisms involve carbon starvation, hydraulic failure and accumulation of damage to tissues and organs supporting resource acquisition and metabolism. In DEB theory, one of the ways that organisms die is because their energy balance falls below that required for maintenance, and the analogue of this in plants is carbon starvation. The extent to which plants are resistant or tolerant of sources of damage, thereby decreasing their hazard rate (death probability per unit time), determines their lifespan, and there is substantial interspecific variation in the survival rates of plant species (Condit et al., 1995; Russo et al., 2021), often linked to the amount of investment in defence (Lochle, 1988; King et al., 2006; Imaji and Seiwa, 2010). There is scope for a unified and mechanistic theory of plant death in DEB theory, as well as in other modelling approaches.

Finally, we list some plant properties that may require either modification of some core concepts of current DEB theory or considerable elaborations with consequential complexity.

E1. Environment-dependent plasticity. There should be environment-dependent plasticity in how structure is built and in resource allocation between structures, the 'structure', products and maturity/reproduction, both during ontogeny (e.g. as trees grow up through the canopy, their microenvironment changes) and for modules of structure in different parts of the plant that may experience different microenvironments (e.g. sun vs shade leaves). The incorporation of plasticity
requires careful consideration because thermodynamic constraints and the strong homeostasis assumption in DEB theory requires that variation in the composition of organisms only occurs via variation in the proportion of reserves vs structure, not by stoichiometric variation in structure itself (Kooijman, 2001; Sousa et al., 2010). We note that this issue is not specific to DEB models of plants. There is scope for new general theory that better connects DEB principles with existing ecological theory on plasticity, and progress is being made in this area (Récabet et al., 2019; Koch and De Schamphelaere, 2020; Mounier et al., 2020).

E2. Functioning of reserves. The way reserves function may need modification in application to a generic DEB plant model. In Kooijman’s DEB theory, reserves function both like a ‘pass through account’ that temporarily holds assimilated resources prior to being metabolized and as a store for future use—including meeting metabolic requirements under stress conditions (Kooijman, 2001). As described above, plants store carbohydrates, water and nutrients to be used later and have specialized structures for doing so (e.g. amyloplasts and vacuoles). Storage of resources increases reserve capacity during resource-limiting periods, but also trades-off against growth and, presumably, reproduction. To accommodate this, the reserves associated with each structure could be partitioned into compartments that have different temporal dynamics. This issue is not specific to plant models; see Martin et al. (2017) for an animal example. Especially with longer-lived plants, processes influencing the storage and remobilization of resources will occur at very different time scales. Ledder et al. (2020) demonstrated potential complexity in the dynamics with even the simplest plant caricature where model analysis requires rather careful consideration of time scale separation, which has been elaborated by Pfab et al. (in revision).

E3. Plant–microbe interactions. Some would argue that given the ubiquity and importance of plant-microbe interactions in nature, syntrophic relationships should also be included in a generic DEB model for plants. However, plants in principle can survive on their own in the absence of these syntrophies, and so these are not included as minimally necessary to describe the functioning of a plant. That said, the coupling of DEB models representing syntrophic partners has been applied to the syntrophic relationship defining coral (Muller et al., 2009), as well as nodulation in soybean (Klanjšček et al., 2017) and discussed in light of trade-offs in root:shoot allocation (Ledder et al., 2020). While we do not elaborate on the possibilities here, we view the modularity of DEB theory as particularly amenable to modelling plant–microbe syntrophies.

Towards a DEB model for plants

It might appear surprising that there is no ‘standard’ DEB model for plants 35 years after the formulation of the standard animal DEB model (Kooijman, 1986), the ancestor of a diverse lineage of DEB models. Like plants, animals require inputs from the environment that are processed by different organs (food and water via the gut, oxygen from the lungs). However, the key to the simplicity of the standard model is that it is possible to specify a set of stylized facts supporting a representation that gives primacy to one of the inputs, energy. Oxygen is, by default, assumed to be always available as needed, with special cases such as hypoxia (Lavaud et al., 2019). This narrow focus is possible because of an animal’s tightly integrated physiological homeostasis across multiple levels of suborganismal organization. However, as recognized by Kooijman (2001), the much weaker regulation of the organs in a plant implies that that the dynamics of interactions among plant organs likely resemble interactions among species in ecological models. From a DEB perspective, this implies that each of root, stem and shoot has at least one structural mass. Homeostasis between reserve(s) and structure within organs of plants have thus been modelled utilizing well-established DEB concepts (e.g. Kooijman, 2010), but apparent whole-plant homeostasis is an emergent property from the dynamics of interacting organs.

The requirements in points P1–P9 in the preceding section represent a candidate list of stylized facts for a generic DEB model of a plant, at least for modelling somatic growth (it does not describe reproduction). Table 1 summarizes the extent to which these and modules M1–M3 are incorporated into three previously published models, each written with different objectives. The parameter-rich, complex model in Kooijman’s (2010) book aimed to demonstrate rigorous implementation of DEB principles into a plant model. Ledder et al. (2020) aimed to elucidate the ecological and evolutionary dynamics resulting from the assumption that organs share the surplus elemental matter they cannot use. Schouten et al. (2020) aimed to integrate DEB and microclimate models to describe plant species distributions. With such contrasting aims, it is reasonable that each chose to base model assumptions on different stylized facts. We have no immediate, well-defined route from these stylized facts that could inform a generic, tractable DEB model for terrestrial vascular plants, but now propose a starting point with a new model that treats water in an analogous manner to the standard animal model’s treatment of oxygen. It is inspired by Kooijman’s (2010, Chapter 5) plant model, but differs from the Kooijman model in fundamental aspects as outlined below and in Table 1—namely as it has fewer state variables and many fewer parameters. In addition, it reduces the number of distinct reserve variables and treats translocation differently.

As already noted, resource acquisition rates are highly dependent on physical conditions, such as water potential. However, the model presented here only describes potential resource acquisition rates based on the capacity of the biochemical machinery of a plant; additional modules are needed to specify the impact of environmental conditions on the uptake of resources. Like Kooijman (2020), Ledder et al. (2020) and Schouten et al. (2020), it describes the dynamics
Table 1: Summary of the extent to which our proposed eight candidate stylized facts (P1–P8) and three growth model modules (M1–M3) are incorporated, or can easily be incorporated, in existing DEB models for plants

| Candidate stylized fact                                                                 | Kooijman (2010, Chapter 5) | Ledder et al. (2020) | Schouten et al. (2020) | This study |
|----------------------------------------------------------------------------------------|----------------------------|----------------------|------------------------|------------|
| P1 Four environmental resources: CO₂, light, water, nutrients                        | Yes                        | CO₂, light, and nutrients implicitly | Yes, all explicitly | CO₂, nutrients |
| P2 Air RH and temperature                                                              | Temperature straightforward, no RH | No                   | Yes, explicitly with microclimate submodule NicheMapR (Kearney and Porter, 2017) | Temperature straightforward, no RH |
| P3 Two different structures for collecting the resources with different stoichiometry | Yes                        | Yes                   | Yes                    | Yes        |
| P4 Energetic roles of living tissue in stems and coarse roots                          | No, stems and coarse roots modelled as metabolic products | No (but see P9, Fig. 3 and Supp. Appendices 1 and 2 for a modification of the Ledder et al. model in which stems and coarse roots are metabolic structures, but lack physiological function) | No | No, stems and coarse roots modelled as metabolic products |
| P5 Multifaceted role of water                                                          | No                         | No                   | Partial (water potential can affect photosynthesis, but water shortage did not stimulate root growth) | No |
| P6 Resource-specific reserves and dynamics                                            | Three reserves per structure, no resource-specific reserve dynamics | No                   | No | Two reserves per structure, no resource-specific reserve dynamics |
| P7 Plant-environment feedbacks                                                         | No                         | No                   | No                     | No         |
| P8 Modular growth                                                                      | Yes                        | Yes                   | Yes                    | Yes        |
| P9 Mechanisms for balanced growth among organs                                          | Sharing the surplus; passive translocation | Sharing the surplus | Passive lossless or dissipative translocation | Sharing the surplus |
| M1 Defence                                                                             | No                         | No                   | No                     | No         |
| M2 Recycling of resources                                                              | Yes                        | Yes                   | Yes                    | Yes        |
| M3 Plant death                                                                         | No                         | No                   | No                     | No         |

Light refers to photosynthetically active radiation.

of energy (carbon) and nitrogen (standing in for all resources acquired by fine roots) sources and sinks and organ growth and development with a minimum number of state variables and parameters and with minimum use of active control mechanisms for resource allocation. It achieves simplification from Kooijman’s model in two ways: (i) the interacting organs (root and shoot) only share resource that is surplus to their own requirements and (ii) we reduce the number of reserve compartments within each structure from three to two. Model notation, details of derivation and model equations are in Supplementary Appendix 3.

The model assumes that a plant consists of two mutually dependent functional units with distinct roles in the acquisition of resources from the environment. These units are called ‘shoots’ and ‘roots’ (Fig. 4). The shoot acquires all energy and carbon from the environment, whereas the root takes up all other nutrients (lumped as a single variable). In
homeostasis from those that regulate the final size of the plant us to separate the interactions responsible for whole-plant growth with size. This feature greatly aids in constraining model complexity and also implies that growth is indeterminate in a constant environment. Biologically, this approach allows for applications where explicit representation of physiological functions of stem is important.

Shape considerations are important in DEB theory, as surface area-to-volume ratios affect rates of metabolism and resource acquisition. Metabolism in vascular plants is organized in structures having the approximate shape of warped sheets (such as, among other structures, leaves and the cambium and phloem in dicotyledon trees) and tubes (such as, among other structures, stems in herbaceous plants and root hairs). These two shapes share an important characteristic: their surface area-to-volume ratio is approximately constant, provided that sheets do not grow in thickness and that tubes are cylinders that grow only in length. In organisms with a constant functional surface-to-volume or structural biomass ratio (so-called V1-morphs in DEB modelling; Kooijman, 2010), resource acquisition and allocation rates scale similarly with size. This feature greatly aids in constraining model complexity and also implies that growth is indeterminate in a constant environment. Biologically, this approach allows us to separate the interactions responsible for whole-plant homeostasis from those that regulate the final size of the plant (e.g. light limitation and the requirement that an increasing percentage of resources is invested in structures supporting the leaves and fine roots). These can easily be added to the model in particular applications if so desired. However, a fundamental difference between our approach and Kooijman’s approach remains. Whereas Kooijman’s model retains a tight coupling between resource acquisition and reserve utilization rates (as both groups of rates similarly depend on plant size and shape), that coupling is more complex if spatially explicit descriptions of self-limiting features, such as self-shading, were to be included. The model’s current formalism does allow for self-limitation provided the effect can be described using its state variables. In this, it parallels the simpler model of Ledder et al. (2020). A tight coupling presupposes a high level of physiological integration of functions at the individual level, which may be appropriate when modelling the energy budgets of an animal (i.e. the paradigm in DEB modelling), but is less defensible when modelling those of plants, in which the parts operate at a much higher level of autonomy.

In line with DEB theory, our proposed generic DEB model for plants distinguishes four types of biomass based on metabolic function.

1. **Structural biomass** performs the necessary metabolic functions to remain viable. To maintain functional performance, a continuous supply of maintenance energy is needed, e.g. to replace damaged biomolecules and restore ion gradients over membranes.

2. **Reserve biomass** can be metabolized to maintain the functional performance of structural biomass and may include metabolically active biomass that may be sacrificed without loss of vitality. We consider two types of reserves in both shoots and roots: reduced carbon and nitrogen-rich reserves, which consist of organic compounds, such as proteins with a metabolic function (e.g. Rubisco) (Fig. 3). Plants also store nitrate in vacuoles, but the fraction of inorganic nitrogen to total nitrogen is usually very low, especially in non-crop species. Therefore, we ignore nitrate reserves and note that the model may not be adequately describing the performance of crop species in highly fertilized soils.

3. **Reproductive biomass** consists of gametes and all of the biomass of reproductive organs, including that required for fertilization and dispersal of offspring (not explicitly modelled here).

4. **Products** include any kind of biomass or secondary metabolite that is neither subject to maintenance nor turnover inside the plant. Products include compounds that provide physical support (e.g. cellulose in cell walls and lignin in wood) and those that are involved in functions like protection, but may ultimately leave the plant, such as waxes at the interface between environment and epidermis and volatiles like terpenoids.

The model relies heavily on the concept of the **SU**, which is a stylized representation of the biochemical machinery that
performs the energy and material transformations in DEB models. SUs are particularly useful for describing transformation rates involving multiple reactants or substrates (Kooijman, 2010, Chapter 3). We need SUs (shown in Figs 4 and 5) describing single and double substrate processes. For the latter we use SUs that simultaneously process two substrates in parallel. In both cases, the capacities of SUs specifying the growth rates of structure are constrained by resource input rates (determined by the amount of structure and the resource availability in the environment, as well as the rejection flux from the partner SU). Once resources are acquired, SUs specifying the rates of internal processes are unconstrained, so that all available substrates (single-substrate SUs) or all substrates in short supply (the stoichiometrically limiting substrate in double-substrate SUs) will be fully processed.

In standard DEB models of animals, a fixed-fraction $\kappa$ of the catabolic flux is spent on somatic maintenance and growth, while the remainder is used to support maturation and reproduction functions. In contrast to metabolically highly integrated animals, plants show a high level of plasticity with regards to size at sexual maturity, and the high level of autonomy of organs allows them to plastically respond to environmental variation. Therefore, it seems likely that $\kappa$ strongly varies with environmental conditions and possibly depends on the state of maturity and/or reproductive buffer (Muller et al., 2019). We ignore those complications here. For further details the reader is referred to Supplementary Appendix 3.

### Discussion: future priorities for DEB modelling of plants

Environmental changes facing plants include changing climatic regimes, particularly temperature and rainfall, as well as increased exposure to and damage from pests and pathogens (Roy et al., 2014). In order to predict the responses of plants to such changes, the appropriate dependence of metabolic processes on abiotic and biotic environmental conditions must be included in models. Below we outline areas where development of new modelling approaches is required to do so in DEB theory.

The clear top priority for future work focusing on climate change is to integrate models, like ours in the preceding section, to mechanistic treatments of water in plants. The only DEB model to date that has attempted this is Schouten et al. (2020), which used a modified version of the Farquhar
model of photosynthesis (Farquhar et al., 2001). Schouten et al.’s model demonstrated adaptive growth in response to environmental drivers, including water, in some circumstances. Water limitation reduced shoot growth, but contrary to expectation, it did not stimulate root growth, which could only be stimulated in the model by nutrient limitation. Thus, growth in water-limited scenarios was not accurately predicted qualitatively. This happened because water uptake by the plant did not feedback to reduce the soil moisture in a fully integrated soil–plant–atmosphere continuum in the model. Instead, soil water potential was treated as a fixed environmental condition. However, such plant-environment feedbacks are essential for realistic models of plant growth and survival at both the individual and population levels (Ehrenfeld et al., 2005). Our description of plant processes above points to seven key roles of water to consider including in DEB model for plants in which water may be limiting: (i) regulating the rate of C fixation by the leaves through the effects of xylem water pressure and air RH on stomatal aperture (photosynthesis–transpiration trade-off); (ii) depletion of soil water caused by the uptake of water by plants; (iii) storage of water in leaves, stems and roots; (iv) regulating whether growth can occur, given sufficient C and nutrients, through the effects of turgor pressure on cell expansion; (v) enabling transport of dissolved nutrients from roots to leaves; (vi) enabling the mobilization of C reserves through phloem function; and (vii) mediating death through hydraulic failure, a point discussed further below. Given that greater atmospheric CO₂ concentrations are causing increases in the water-use efficiency of plants, with consequences for the productivity and diversity of vegetation, as well as for carbon, nutrient and hydrological cycles (Guerrieri et al., 2019; Baca Cabrera et al., 2021), it is essential for plant DEB models to accurately capture the interactions between photosynthesis and transpiration in relation to plant-environment feedbacks.

Another pressing need is a more mechanistic treatment of plant death (death of the entire organism). In DEB theory for animals, an organism can die in two ways, through the metabolic and physical consequences of accumulated damage from oxidative stress, environmental toxins or natural enemies that increase the hazard rate (Jager et al., 2011; Civitello et al., 2018) and through carbon starvation, defined as the inability to pay for maintenance costs (Kooijman, 2010). In the latter mechanism, many DEB applications include an ad hoc treatment of death by starvation, e.g. by hypothesizing an inverse correlation between hazard rate and reserve density. There are clear analogies here with what we know about how plants die, but modifying existing DEB theory to accommodate these mechanisms is necessary.

We end by noting two ways in which progress in developing DEB models for plants could impact DEB theory targeting other taxa. First, there is the issue of adaptive and acclimatory phenotypic variation. DEB theory is well equipped to handle adaptation over timescales comparable with or longer than individual lifetimes (e.g. Troost et al., 2005). Acclimation, or plasticity in phenotypes at the individual level, where it is considered, is often treated in *ad hoc* ways (Zonneveld and Kooijman, 1989). In plants, individual-level plasticity in phenotypic expression can be considered a form of habitat selection (Donohue, 2003) and allows plants to respond to microenvironmental variation in space and time, which is fundamental to modelling their responses to climate change at multiple scales (De Frenne et al., 2013; Harwood et al., 2014). At a coarse scale, environment-driven variation in biomass allocation to leaves, stems and roots (i.e. growth of these organs) can be considered individual-level plasticity, as it can fundamentally change the form and function of the plant (Poorter et al., 2012). At least for biomass allocation to roots versus shoots, several models, including DEB models, can account for this level of plasticity (e.g. Sterck et al., 2011; Ledder et al., 2020; Schouten et al., 2020). However, these coarser-scale allocation patterns are influenced by environmental-driven variation in phenotypic expression at lower levels of biological organization, such as at the organ or tissue level. Given that all of these forms of plasticity are likely to influence species’ responses to environmental change and resulting shifts in distribution and diversity (e.g. Valladares et al., 2014), a fundamental challenge for DEB theorists is to develop formalism for phenotypic plasticity at multiple levels of biological organization.

A second connection with broader DEB theory involves interspecific covariation of parameters. Some of the most compelling evidence of the value of Kooijman’s standard model is that with some additional, plausible assumptions, it predicts interspecific covariation of parameters (Lika et al., 2011). The predictions on interspecific variation are testable (e.g. Maino et al., 2014) and support the ‘add-my-pet’ (AMP) project (Marques et al., 2018) that to date has enabled DEB parameter estimation for, at the time of writing, well over 3000 species, almost all animals. Notwithstanding plants’ capacity for phenotypic plasticity, interspecific covariation among plant traits (Reich, 2014) and among demographic rates (Salguero-Gómez et al., 2016; Russo et al., 2021) is well documented. Any future generic DEB model for plants will achieve applicability to a much wider range of plants if the supporting theory leads to robust predictions of interspecific connections in parameter values. Lastly, a generic DEB model for plants would facilitate models of plant–animal interactions, such as plant–herbivore interactions, as has been done for corals (Müller et al., 2009) and of how plants create and modify habitats for animals through effects such as on vegetation structure, shading and soil moisture.

**Conclusions**

A number of features of plants, many of which arise because they are modular and sessile, make trade-offs related to resource allocation particularly fundamental to their life histories. Predicting how the distribution of diversity will shift with climate and other environmental changes is of urgent
conservation importance but relies heavily on accurate and comprehensive models that capture the physiological basis of these trade-offs and their consequences for demography. This is particularly true of plants because, unlike mobile animals, plants cannot easily move to find suitable environments. These models are currently lacking, and while significant development of DEB theory may be required, there is much unrealized potential for using the DEB framework to address unanswered questions about how plants have navigated evolutionary trade-off landscapes and to predict the responses of plants to environmental change.

**Author Contributions**

S.E.R. wrote the sections focusing on plant biology, derived from a keynote address at the Seventh Annual International Symposium and Thematic School on Dynamic Energy Budget Models, and in consultation with R.M.N., G.L. and E.M.; and developed the list of plant properties, modules and extensions important for a generic DEB model for plants. G.L. contributed the model and results for the three-SU root–stem–leaf model. E.B.M. developed the generic DEB model for plants. All authors contributed to the writing of the paper.

**Data Availability**

No data were used in this study.

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**Conflict of Interest**

The authors declare no conflicts of interest.

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