Disorders of the Nervous System

A HIF1a-Dependent Pro-Oxidant State Disrupts Synaptic Plasticity and Impairs Spatial Memory in Response to Intermittent Hypoxia

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Abstract

Sleep apnea causes cognitive deficits and is associated with several neurologic diseases. Intermittent hypoxia (IH) is recognized as a principal mediator of pathophysiology associated with sleep apnea, yet the basis by which IH contributes to impaired cognition remains poorly defined. Using a mouse model exposed to IH, this study examines how the transcription factor, hypoxia inducible factor 1a (HIF1a), contributes to disrupted synaptic physiology and spatial memory. In wild-type mice, impaired performance in the Barnes maze caused by IH coincided with a loss of NMDA receptor (NMDAr)-dependent long-term potentiation (LTP) in area CA1 and increased nuclear HIF1a within the hippocampus. IH-dependent HIF1a signaling caused a two-fold increase in expression of the reactive oxygen species (ROS) generating enzyme NADPH oxidase 4 (NOX4). These changes promoted a pro-oxidant state and the downregulation of GluN1 within the hippocampus. The IH-dependent effects were not present in either mice heterozygous for Hif1a (HIF1a1/−/−) or wild-type mice treated with the antioxidant manganese (III) tetrakis(1-methyl-4-pyridyl) porphyrin (MnTMPyP). Our findings indicate that HIF1a-dependent changes in redox state are central to the mechanism by which IH disrupts hippocampal synaptic plasticity and impairs spatial memory. This mechanism may enhance the vulnerability for cognitive deficit and lower the threshold for neurologic diseases associated untreated sleep apnea.

Key words: hypoxia inducible factor; NADPH oxidase; NMDA receptor; oxidative stress; sleep apnea

Significance Statement

Sleep apnea is associated with cognitive decline and neurologic disease. Intermittent hypoxia (IH), a hallmark consequence of sleep apnea, yet the mechanisms by which IH affects cognition is poorly understood. We show that a pro-oxidant state produced by HIF1a is a central factor causing IH-dependent impairment to spatial memory and synaptic plasticity. This work identifies potential targets for intervention in mitigating cognitive decline associated with sleep apnea.
Gildeh et al., 2016; Devita et al., 2017a,b; Leng et al., 2017), changes to hippocampal physiology may have a significant role in disrupting cognition. Intermittent hypoxia (IH) is a hallmark of the sleep apnea and impairs spatial learning and memory (Row et al., 2002; Gozal et al., 2003). These impairments coincide with weakened synaptic plasticity in area CA1 of the hippocampus (Goldbart et al., 2003; Payne et al., 2004; Xie et al., 2010; Zhang et al., 2012; Wall et al., 2014) and the production of oxidative stress in the brain (Nair et al., 2011; Chou et al., 2013). Impaired synaptic plasticity and oxidative stress have been implicated in causing IH-dependent deficits to cognition, but the mechanistic basis by which IH impairs learning and memory remains elusive.

The transcription factor, hypoxia inducible factor 1α (HIF1α) is a critical mediator of cellular adaptations to hypoxia, and is capable of promoting the generation of reactive oxygen species (ROS) that can lead to oxidative stress (Semenza and Prabhakar, 2015). IH increases HIF1α in the hippocampal formation (Chou et al., 2013; Wall et al., 2014). However, the role of IH-dependent HIF1α signaling on changes to the neurophysiological processes underlying cognition remains poorly understood. HIF1α signaling may have an important protective pro-survival role in the brain preserving function during hypoxia. Alternatively, HIF1α may serve as a pro-oxidant transcription factor leading to oxidative stress and impaired neurophysiology. Here, we seek to resolve the role of HIF1α in IH-dependent changes to cognition and the synaptic plasticity. Our experiments demonstrate that enhanced HIF1α signaling promotes a pro-oxidant condition that impairs NMDA receptor (NMDAR)-dependent synaptic plasticity at the local circuit level and contributes deficits in spatial memory.

Materials and Methods

Study approval

In accordance with National Institutes of Health guidelines, all animal procedures were performed in accordance with the University of Chicago animal care committee’s regulations.

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Animals

Animals were housed in AAALAC-approved facilities with a 12:12 h light/dark cycle and given ad libitum access to food and water. Experiments were performed on wild-type mice and HIF1α+/− (Iyer et al., 1998; Peng et al., 2006) from both sexes (Postnatal day 50 to 80). Unless explicitly stated, no sex-based differences were observed throughout the experiments conducted. All animals were maintained on a C57BL/6 background. Automated genotyping was performed independently by a commercial service (Transnetyx Inc).

IH exposure

Male and female mice were exposed to chronic IH for 10 consecutive days (IH10). In brief, as previously described (Peng and Prabhakar, 2003), the IH10 paradigm was performed in a special chamber during the light cycle and lasted 8 h/d (i.e., 80 IH cycles/d). A single hypoxic cycle was achieved by flowing 100% N2 into the chamber for 60 s (nadir O2 reached 4.5 ± 1.5%) and followed immediately by an air break (~21% O2; 300 s).

In a subset of animals used for behavioral experiments, manganese (III) tetrakis(1-methyl-4-pyridyl) porphyrin (MnTMPyP; Enzo Life Sciences, catalog #ALX-430-070) was administered via intraperitoneal injection at the beginning of each day before exposure to IH. Previous reports have indicated that dose of MnTMPyP at either 5 mg/kg (Peng et al., 2006) or 15 mg/kg (Khuu et al., 2019) can mitigate the effects of IH in the nervous system. Therefore, the smaller dose (5 mg/kg, n = 9 mice) and the larger dose (15 mg/kg, n = 3 mice) were used but no differences were evident between dosage groups; and therefore, the data at the two concentrations were pooled.

Barnes maze

The Barnes maze was performed using a custom made opaque white circular acrylic platform (92.4 cm in diameter) with 20 equidistant holes (5.08 cm in diameter and 2.54 cm from the edge). The platform was elevated (30 cm from the floor) ground and surrounded by four identical walls (27.94 cm high). By default, each hole was closed with a fixed piece of opaque acrylic that could be removed to lead to a dark exit box. Lighting was achieved through diffuse overhead fluorescent lighting such that all holes were equally lit. An overhead camera was suspended above the maze allowing for video tracking. Data collection and post hoc analysis was performed using CinePlex Video Tracking System (Plexon).

As previously described (Christakis et al., 2012), the task was performed using a 4-d protocol consisting of one training trial per day for three consecutive days and a probe trial on the fourth day. Barnes maze began on the seventh day of IH10 exposure with respective controls run at the same time. In IH mice, all training trials and the probe trial were conducted before IH exposure on days 7–10. For the training trials, all, but one of the holes (exit hole), were closed. Closed holes were defined as false
exits in the training and probe trials. An exit box with a small ramp was placed directly underneath the exit hole. Animals were given a maximum of 6 min to locate the exit. If the mouse found and entered the exit before the 6-min mark, the trial ended. The time of exit was reported as total latency for the trial. If the mouse was unable to locate the exit by 6 min, they were gently guided to the exit and total latency for the trial was reported as 360 s. At end of each trials, the mouse was promptly returned to its home cage. During the probe trial, all holes were closed, and the animal was given 6 min to explore the maze. Latency to initial entry and distance to initial entry into the exit zone were reported. All subjects entered the exit zone during the probe trial. The total number of entries for each false exit and the exit were recorded and used to calculate entry probability.

Entry probability for each false exit and the exit zone during the probe trial was calculated by the following:

$$EP_n = 100\% \times \frac{x_n}{x_{total}}$$

where $EP_n$ = entry probability for the exit zone; $x_n$ = number of entries into hole $n$; and $x_{total}$ = sum of entries into exit zone and false exits.

The entire arena was sanitized in-between trials. Following the end of behavioral testing, IH animals were immediately placed into the IH chamber for exposure.

Slice preparation

As previously described (Khuu et al., 2019), acute coronal hippocampal slices were prepared from mice unexposed to IH or from mice exposed to IH for 10 d. Tissue harvest occurred within 1–2 d following IH10. Mice were anesthetized with isoflurane and euthanized via rapid decapitation. The cerebrum was immediately harvested and blocked, rinsed with cold artificial CSF (aCSF), and mounted for vibratome sectioning. The mounted brain tissue was submerged in aCSF (4°C; equilibrated with 95% O2, 5% CO2) and coronal cortico-hippocampal brain slices (350 µm thick) were prepared. Slices were then immediately transferred into a holding chamber containing aCSF equilibrated with 95% O2, 5% CO2 (at 20.5 ± 1°C). Slices were allowed to recover for a minimum of one hour before recording and used up to eight hours following tissue harvest. The composition of aCSF was as following: 118 mM NaCl, 10 mM glucose, 25 mM NaHCO3, 3.0 mM KCl, 1.5 mM CaCl2, and 1.0 mM NaH2PO4. The NaCl was increased to 119.5 mM to keep osmolarity from changing when switching from aCSF to Mg2+–free aCSF. The fEPSP was evoked every 20 s. After 10 min of recording the baseline fEPSP, long-term potentiation (LTP) was induced using high-frequency stimulation (HFS) or theta burst stimulation (TBS). HFS consisted four 500-ms trains of stimuli (100 Hz) given at 30-s intervals. TBS consisted of four trains of 10 bursts at 5 Hz, each burst was comprised of four pulses at 100 Hz. The fEPSP slope was normalized to baseline values (before HFS).

All recordings were made using the Multiclamp 700B (Molecular Devices: https://www.moleculardevices.com/systems/conventional-patch-clamp/multiclamp-700b-microelectrode-amplifier). Acquisition and post hoc analyses were performed using the Axon pCLAMP10 software suite (Molecular Devices: https://www.moleculardevices.com/system/axon-conventional-patch-clamp/pclamp-11-software-suite).

Western blotting

Western blot assays were performed using entire hippocampal tissue homogenates from control and IH exposed mice. Hippocampal tissue from animals exposed to IH was harvested for Western blot analysis ~12–16 h following the end of the IH10 protocol.

For quantitative analysis of HIF1α (R&D Systems catalog #AF1935, RRID:AB_355064) and proliferating cell nuclear antigen (PCNA; Bethyl catalog #A300-276A, RRID: AB_263393) content. Stepwise separation of cytoplasmic and nuclear protein extracts was prepared by NE-PER nuclear and cytoplasmic extraction kit (Thermo Scientific, 78833) by following manufacturer instructions. Briefly, cytoplasmic fragment was obtained by homogenizing tissue using a tissue grinder and then by pipetting in cytoplasmic extraction buffers. After isolation of cytoplasmic fragment, the insoluble pellet that contains nuclear proteins was suspended in nuclear extraction buffer and separated by centrifugation. Halt Protease Inhibitor (Thermo Scientific, 1860932) was added into cytoplasmic and nuclear extraction buffers to prevent protein degradation. Analyses for HIF1α and PCNA proteins were conducted by Raybiotech, using the automated capillary electrophoresis immunoassay machine (WES, ProteinSimple). The samples, blocking reagent, wash buffer, primary antibodies, secondary antibodies, and chemiluminescent substrate were dispensed into designated wells in the manufacturer provided microplate. After plate loading, the separation electrophoresis and immunodetection steps took place in the capillary system and were fully automated. Auto Western blot analysis was

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conducted at room temperature, and instrument default settings were used.

Quantitative Western blot analysis for GluN1, PSD-95, NADPH oxidase 4 (NOX4), and GAPDH were performed from hippocampal homogenates homogenized using either M-PER TM (Thermo Fisher Scientific) or RIPA buffer (Thermo Fisher Scientific) in the presence of protease and phosphatase inhibitors (Thermo Fisher Scientific) in cold ice. Samples were centrifuged at 14 rpm for 15 min at 4°C and the pellet was discarded. Samples were boiled for 15 min in loading buffer (Bio-Rad) at 60°C before loading 20- to 25-μg protein onto 4–20% Mini-PROTEAN TGX Stain-Free TM Protein Gels (Bio-Rad) and electrophoresed (120 V for 100 min) using Tris/glycine/SDS buffer (Bio-Rad). Gels were transferred to PVDF membrane (Bio-Rad) using Transfer-Blot Turbo System (Bio-Rad). Membranes were subsequently blocked (1 h, room temperature) with 5% non-fat milk (Bio-Rad) or 5% bovine serum albumin (BSA; Sigma-Aldrich) in Tris-buffered saline (Bio-Rad). Membranes were incubated (at 4°C overnight in 5% non-fat milk or BSA) under constant shaking with primary antibodies: monoclonal rabbit anti GluN1 (1:2000, Abcam), monoclonal rabbit anti-PSD-95 (1:1000, Cell signal), monoclonal rabbit anti- NOX4 (1:2000, Abcam), or monoclonal mouse anti-GAPDH (1:5000, Jackson ImmunoResearch). After washing three times with Tris-buffered saline-Tween 0.2% for 15 min, membranes were incubated (1 h, room temperature) with the appropriate secondary antibodies. Finally, membranes were washed three times with Tris-buffered saline-Tween 0.2% for 15 min, and immunoreactive proteins were detected with enhanced chemiluminescence (ECL) reagents according to manufacturer instructions (Bio-Rad). Signals were captured with the ChemiDoc system (Bio-Rad). The ImageJ image program (National Institutes of Health) was used to quantify optical band intensity.

**Protein carbonyls**
Whole-cell protein lysates were isolated from hippocampal tissues by using M-PER mammalian protein extraction reagent (Thermo Scientific, 78501) and by adding Halt Protease Inhibitor (Thermo Scientific, 1860932). Protein lysates were immediately processed or kept in −80°C until used. The amount of protein carbonyls was determined using a Protein Carbonyl Colorimetric Assay kit (Cayman Chemical, catalog #10005020), per manufacturer instructions and absorbance was measured at a wavelength between 360–385 nm using a plate reader. Protein content was determined using a Protein Determination kit (Cayman Chemical, catalog #704002).

**Experimental design and statistical analyses**
All n values are total number of animals, unless otherwise noted. Statistics were performed using Origin 8 Pro (OriginLab, RRID:SCR_014212) or Prism 6 (GraphPad Software; RRID:SCR_015807). Comparisons between two groups were conducted using unpaired two-tailed t tests with Welch’s correction. To compare three or more groups, a one-way ANOVA was performed followed by post hoc Dunnett’s test comparing experimental groups to control. Results are presented as single data points from each individual experiment and/or as the mean ± SEM. Significance was considered when p < 0.05. See Table 1 for statistical information related to analyses presented in this study.

**Results**
HIF1α protein content was measured in nuclear extracts prepared from wild-type mice unexposed to IH (control) and exposed to 10 d of IH (IH10). Nuclear HIF1α was approximately two times greater in extracts from IH10 than control (control n = 4, IH10 n = 4; Fig. 1A). To determine the behavioral consequences of IH, we examined spatial learning and memory by assessing performance in a Barnes maze in control (n = 11) and IH10 (n = 10). During training, control and IH10 exhibited progressive improvement on locating the exit zone as indicated by the decrease in latency to exit over course of three training sessions and was similar between groups (Fig. 1B).

In the probe trial (when the exit was closed), no difference was evident between the total distance traveled between control and IH10 (control: 25.22 ± 1.74 m vs IH10: 27.91 ± 2.21 m, p = 0.35; data not shown) suggesting no locomotor differences between groups. However, performance in locating the exit zone was different between control and IH10 as the distance to initial entry to the exit zone was greater in IH10 (control: 2.60 ± 0.70 m vs IH10: 10.34 ± 3.32 m, p = 0.048; Fig. 1C), and a larger latency to initial entry exit zone was observed in IH10 (control: 22.60 ± 6.28 s vs IH10: 117.90 ± 37.47 s, p = 0.034; Fig. 1C). Additionally, when comparing the probability to exit zone entry, the control group consistently discriminated the location of exit hole against the other holes, yet this was not apparent in IH10 (control: 15.93 ± 2.39% vs IH10: 6.44 ± 1.38%, p = 0.0037; Fig. 1D). Together, these findings indicated that wild-type animals exposed to IH have increased expression of HIF1α and impairments to spatial memory.

Nuclear HIF1α protein content was similar between extracts from hippocampi of HIF1α+/− and HIF1α−/− mice unexposed to IH (0-HIF1α+/−) when compared with HIF1α−/− mice exposed to 10 d of IH (10-HIF1α−/−; 0-HIF1α+/−, n = 4, 10-HIF1α−/−, n = 4; Fig. 1E). In 0-HIF1α+/− (n = 7), and 10-HIF1α−/− (n = 8) performance in the Barnes maze was similar over the course of the training sessions (Fig. 1F). During the probe trial, the total distance traveled by 0-HIF1α+/− to 10-HIF1α−/− were similar (0-HIF1α+/− = 19.47 ± 1.61 m, 10-HIF1α+/− = 22.42 ± 1.61 m; p = 0.55; data not shown) suggesting no locomotor differences between groups. Moreover, the distance to initial entry to the exit zone (0-HIF1α+/− = 2.37 ± 0.91 m, 10-HIF1α+/− = 1.71 ± 0.50 m; p = 0.54; Fig. 1G), latency to initial entry into the exit zone (0-HIF1α+/− = 35.18 ± 12.28 s, 10-HIF1α+/− = 57.28 ± 27.08 s; p = 0.48; Fig. 1G); and the entry probability into the exit zone (0-HIF1α+/− = 8.75 ± 1.38%, 10-HIF1α+/− = 15.51 ± 4.73%; p = 0.21; Fig. 1H) for 0-HIF1α+/− and 10-HIF1α+/− were similar between both groups. These data demonstrate that in HIF1α−/− mice the IH-dependent increase in nuclear HIF1α protein was mitigated, and spatial
memory was unaffected by IH. Furthermore, these data raise the possibility that hippocampal nuclear HIF1α signaling causes deficits to hippocampal LTP.

The mechanisms underlying LTP are key substrates for learning and memory. We, therefore, examined LTP from area CA1 in hippocampal in brain slices from control and IH10. LTP from control was consistently evoked by HFS (LTP\textsc{hfs}; Fig. 2A, blue, n = 6). NMDAR blockade with AP5 attenuated LTP\textsc{hfs} magnitude but did not prevent the occurrence of the phenomenon (Fig. 2A, green, n = 5). These findings demonstrated that both NMDAR-dependent and NMDAR-independent mechanisms contributed to the generation of LTP\textsc{hfs}. Following IH, LTP\textsc{hfs} was smaller in magnitude (Fig. 2A, red, n = 6) and was no longer sensitive to AP5 (Fig. 2A, gold, n = 5).

We next examined whether IH prevented another LTP evoked by TBS (LTP\textsc{tbs}; Fig. 2B, light blue, n = 5), a form of synaptic potentiation dependent on the NMDAR, as
AP5 prevent LTP$_{TBS}$ (Fig. 2B, light green, $n = 5$). Following IH, LTP$_{TBS}$ could no longer be evoked (Fig. 2B, pink, $n = 5$).

In contrast to the wild type, LTP$_{HFS}$ was similar in 0-HIF1a$^{+/+}$ (Fig. 2C, gray $n = 8$) and in 10-HIF1a$^{+/+}$ (Fig. 2C, dark yellow, $n = 8$). In the hippocampal brain slice, the magnitude of LTP$_{TBS}$ was similar between 0-HIF1a$^{+/+}$ (Fig. 2D, light gray, $n = 6$) and in 10-HIF1a$^{+/+}$ (Fig. 2D, light yellow, $n = 5$). Additionally, AP5 blocked LTP$_{TBS}$ in the 10-HIF1a$^{+/+}$ (Fig. 2D, light green, $n = 4$). Together, these findings suggested that IH-dependent HIF1a
signaling suppresses NMDA-dependent potentiation by disrupting the NMDA physiology. To test this, we examined the contribution of the NMDA to the unpotentiated fEPSP. A fEPSP with maximal amplitude in aCSF (fEPSP max) was evoked using saturating current stimulus (700 μA; Fig. 3A, black, aCSF) in control (n = 6, fEPSP max = -1.05 ± 0.14 mV), IH10 (n = 7, fEPSP max = -0.85 ± 0.08 mV), 0-HIF1α+/− (n = 9, fEPSP max = -0.941 ± 0.04 mV), and 10-HIF1α+/− (n = 11, fEPSP max = -0.90 ± 0.06 mV). When compared with control, no difference in fEPSP max was observed from any experimental condition to control; **p < 0.01. B, LTP HFS is readily evoked in control (light blue, n = 5) and is completely blocked by AP5 (light green, n = 5). Following IH, LTP HFS is present (IH10, pink, n = 5). Following a one-way ANOVA, a post hoc comparison of LTP HFS magnitude (60 min following HFS) was performed to compare experimental conditions to control; ***p < 0.01. C, LTP HFS was evoked in both 0-HIF1α+/− (n = 8, gray) and 10-HIF1α+/− (n = 6, dark yellow). No difference was found when comparing LTP HFS magnitude between 0-HIF1α+/− and 10-HIF1α+/− (p = 0.94). D, LTP TBS was evoked in both 0-HIF1α+/− (n = 6, light gray), 10-HIF1α+/− (n = 5, light yellow), and 10-HIF1α+/− + AP5 (n = 5, light green). No difference was found when comparing LTP TBS magnitude of 0-HIF1α+/− and 10-HIF1α+/−.

Figure 2. IH suppresses NMDA-dependent synaptic potentiation in wild-type hippocampal slices, but NMDA-dependent LTP is unaffected by IH in the hippocampal slices from HIF1α+/−. A, LTP was evoked using HFS in control (blue, n = 6) is attenuated by AP5 (green, n = 5). LTP HFS is attenuated in following IH (IH10, red, n = 6) and is no longer sensitive to AP5 (IH+AP5, gold, n = 5). A comparison of LTP HFS magnitude (60 min following HFS) was performed to compare experimental conditions to control; **p < 0.01. B, LTP TBS is readily evoked in control (light blue, n = 5) and is completely blocked by AP5 (light green, n = 5). Following IH, LTP TBS is present (IH10, pink, n = 5). Following a one-way ANOVA, a post hoc comparison of LTP TBS magnitude (60 min following TBS) was performed to compare experimental conditions to control; ***p < 0.01. C, LTP HFS was evoked in both 0-HIF1α+/− (n = 8, gray) and 10-HIF1α+/− (n = 6, dark yellow). No difference was found when comparing LTP HFS magnitude between 0-HIF1α+/− and 10-HIF1α+/− (p = 0.94). D, LTP TBS was evoked in both 0-HIF1α+/− (n = 6, light gray), 10-HIF1α+/− (n = 5, light yellow), and 10-HIF1α+/− + AP5 (n = 5, light green). No difference was found when comparing LTP TBS magnitude of 0-HIF1α+/− and 10-HIF1α+/−.
Switching to Mg\textsuperscript{2+}-free aCSF relieved the Mg\textsuperscript{2+} blockade of existing NMDAr and caused the fEPSP to increase all groups (Fig. 3A, blue, Mg\textsuperscript{2+}-free). The change in fEPSP amplitude from aCSF to Mg\textsuperscript{2+}-free media was not different when comparing the other experimental groups to control (Fig. 3B, top). However, the NMDAr antagonist, AP5, reduced the fEPSP in 0-HIF1a\textsuperscript{+/+} and 10-HIF1a\textsuperscript{+/+} similar to that of control (Fig. 3A, red, AP5) yet was less effective in IH\textsubscript{10} (Fig. 3B, bottom). These findings suggested that IH suppressed contribution of the conductance of NMDAr within neurons in a HIF1a dependent manner. Such an effect could be due to direct effects on unitary conductance of the NMDAr or by the down regulation of the receptor itself. Therefore, we examined whether expression of the GluN1, the obligatory subunit of the NMDAr, was disrupted by IH.

Figure 3. The IH reduces the contribution of the NMDAr to fEPSP and GluN1 protein from wild-type mice but does not induce these changes in HIF1a\textsuperscript{−/−}. A, Representative traces of the fEPSP from control, IH\textsubscript{10}, 0-HIF1a\textsuperscript{−/−}, and 10-HIF1a\textsuperscript{−/−} in: aCSF (black), Mg\textsuperscript{2+}-free media (blue), and Mg\textsuperscript{2+}-free media with AP5 (red). Scale bars: 0.4 mV/10 ms. B, top, Change in amplitude of the fEPSP from aCSF to Mg\textsuperscript{2+}-free media. Bottom, Change in amplitude of the fEPSP from Mg\textsuperscript{2+}-free media to Mg\textsuperscript{2+}-free media with AP5; *p < 0.05; N.S., p > 0.05. C, left, Representative Western blottings of GluN1 and the housekeeping protein, GAPDH from control (n = 5), IH\textsubscript{10} (n = 5), 0-HIF1a\textsuperscript{−/−} (n = 5), and 10-HIF1a\textsuperscript{−/−} (n = 5). Right, Comparisons of normalized GluN1 protein expression were performed to compare experimental conditions to control. This revealed that GluN1 was reduced in IH\textsubscript{10} and unchanged in both 0-HIF1a\textsuperscript{−/−} and 10-HIF1a\textsuperscript{−/−}; *p < 0.05; N.S., p > 0.05. D, left, Representative Western blottings of PSD-95 and the housekeeping protein, GAPDH from control (n = 3), IH\textsubscript{10} (n = 3), 0-HIF1a\textsuperscript{−/−} (n = 3), and 10-HIF1a\textsuperscript{−/−} (n = 3). Right, Comparisons of normalized PSD-95 protein expression were performed to compare experimental conditions to control; *p < 0.05; N.S., p > 0.05.
bonyl content was not elevated in either 0-HIF1α homogenates from control (n=5), IH10 (n=4), 0-HIF1α+/− (n=4), and 10-HIF1α+/− (n=4). While IH10 displayed elevated protein, carbonyl content was not elevated in either 0-HIF1α+/− or 10-HIF1α+/−. B, Comparison of the pro-oxidant enzyme, NOX4, from control (n=5), IH10 (n=5), 0-HIF1α+/− (n=5), and 10-HIF1α+/− (n=5) reveals that NOX4 is increased in IH10; p < 0.01), but not elevated in either 0-HIF1α+/− or 10-HIF1α+/−; °p < 0.01; N.S., p > 0.05.

We compared GluN1 subunit expression in control (n=5), IH10 (n=5), 0-HIF1α+/− (n=5), and 10-HIF1α+/− (n=5). IH reduced GluN1 in wild-type hippocampi, yet GluN1 expression was similar to control in both 0-HIF1α+/− or 10-HIF1α+/− (Fig. 3C). This reduction in GluN1 may have resulted from an IH-mediated reduction in synapse. Therefore, we sought to determine whether IH caused a reduction in a scaffolding protein of the glutamatergic synapse PSD-95 (Fig. 3D, n=3 per group). When compared with control, no difference in PSD-95 was detected any experimental group (Fig. 3D). These findings together indicated that IH-dependent HIF1α signaling specifically likely targets a reduction of the NMDAr by suppressing GluN1 expression without causing gross reductions in glutamatergic synapses. Such a reduction in NMDAr expression would likely contribute to the reduced sensitivity to AP5 following IH and contribute to impaired NMDAr-dependent LTP.

As IH-dependent HIF1α signaling can lead to a pro-oxidant condition, we next sought to determine whether IH-dependent HIF1α signaling enhanced ROS production within the hippocampus. Protein carbonyl content in hippocampal homogenates from control (n=4), IH10 (n=4), 0-HIF1α+/− (n=4), and 10-HIF1α+/− (n=4) revealed that protein carbonyl content was elevated in IH10 yet unchanged changed in homogenates from either 0-HIF1α+/− or 10-HIF1α+/− (Fig. 4A). NOX4 is a ROS generating protein that can be transcriptionally regulated by HIF1α (Diebold et al., 2010). Therefore, we next determined NOX4 expression in hippocampal homogenates from control (n=5), IH10 (n=5), 0-HIF1α+/− (n=5), and 10-HIF1α+/− (n=5). NOX4 was elevated in IH10 yet unchanged changed in homogenates from either 0-HIF1α+/− or 10-HIF1α+/− (Fig. 4B). Together, these data suggest that enhanced ROS production by IH-dependent HIF1α signaling involves the upregulation of NOX4. However, IH-dependent ROS production was involved with the changed expression of GluN1 remained uncertain.

To resolve the involvement of IH-dependent ROS production on the regulation of GluN1, protein homogenates were prepared from four groups: control (n=4); IH10 (n=4); wild-type mice administered saline during 10 d of IH exposure (IHSaline, n=4); wild-type mice administered the superoxide scavenger, MnTMPyP, during IH (IHMnTMPyP, n=4). GluN1 was reduced in IH10 and IHSaline; however, GluN1 from 10-MnTMPyP was similar to that of control (Fig. 5A), which coincided with the ability to evoke LTP and LT from IHMnTMPyP (n=5; Fig. 5B). Behavioral performance was also assessed in IHSaline (n=11) and IHMnTMPyP (n=10). Both IHSaline and IHMnTMPyP exhibited a progressive improvement in locating the exit as indicated by the total latency to exit over the course of training (Fig. 5C). During the probe trial, the two groups exhibited similar values for distance to initial entry into the exit zone (IHSaline = 0.29 ± 0.06 m, IHMnTMPyP = 0.28 ± 0.05 m; p = 0.87; data not shown), and similar latency to initial entry into the exit zone (IHSaline = 77.74 ± 24.42 s, IHMnTMPyP = 26.00 ± 5.67 s; p = 0.06; data not shown), although the variance between the values for latency to initial entry was different between IHSaline and IHMnTMPyP (F=17.00, DFn=10, Dfd=11; p < 0.0001; data not shown). Moreover, entry probability into the exit zone during the probe trial was greater in IHMnTMPyP (IHSaline = 4.33 ± 0.63%, IHMnTMPyP = 10.32 ± 1.26%; p = 0.0005; Fig. 5D). These data indicated that scavenging IH-derived superoxide anion prevented the reduction in the obligatory subunit of the NMDAr, prevented the loss of LTP, and mitigated behavioral deficits caused by IH.

**Discussion**

Our study establishes a role for IH-dependent HIF1α signaling in impairing hippocampal neurophysiology that supports spatial memory. Consistent with previous reports indicating that IH impacts spatial memory (Row et al., 2002; Gozal et al., 2003), we observed that IH disrupted performance in the Barnes maze. The cognitive disruptions we observed coincided with enhanced nuclear HIF1α in the hippocampus, a shift toward a pro-oxidant state, and impairment to NMDAr-dependent LTP. We found that either heterozygosity in HIF1α and
Antioxidant administration prevented the effects of IH on the hippocampus. Together, these findings reveal a mechanistic pathway by which IH such as that experienced with sleep apnea impairs mechanisms underpinning spatial memory.

Evaluating the behavioral performance in control and IH showed that both groups progressively improved with training, yet prominent differences were present during the probe trial. These results suggested IH produced modest impairments to cognitive performance and is reminiscent of mild cognitive deficits documented among individuals suffering from sleep apnea (Wallace and Bucks, 2013; Devita et al., 2017b; Leng et al., 2017). These behavioral impairments coincided with targeted loss in NMDAR-dependent LTP after IH. However, neither the behavioral deficits nor impaired synaptic potentiation was observed in HIF1a−/− exposed to IH implicating a role for IH-dependent HIF1a signaling in these phenomena.

Although administration of the prolyl hydroxylase inhibitor, dimethylxaloylglycine (DMOG), enhances HIF1a and coincides with the suppression of hippocampal LTP (Wall et al., 2014), this pharmacological approach for enhancing HIF1a can also disrupt cellular respiration well before the activation of HIF1a-dependent pathways (Zhdanov et al., 2015). This confounds understanding how enhanced HIF1a may impact hippocampal synaptic plasticity. Our

Figure 5. Antioxidant treatment mitigates the IH-dependent effects on GluN1 expression, LTP, and performance in the Barnes maze. A, left, Representative Western blottings of GluN1 and GAPDH from Control, IHSaline, wild-type mice treated with saline during 10 d of IH (i.e., vehicle control exposed to IH, IHSaline, n = 4), wild-type mice treated with MnTMPyP during 10 d of IH (IHmTmPyP). Right, Normalized GluN1 protein expression was examined in control (n = 4), IH10 (n = 4), IHSaline (n = 4), IHmTmPyP (n = 4). No difference in GluN1 was evident between IH10 (open black circles in IH10 label) and IHSaline (open blue circles in IH10 label); therefore, the two groups were merged into the IH10 label for comparisons to control. Comparisons revealed that GluN1 was reduced only in IH10 and unchanged in IHmTmPyP. B, In hippocampal slices from IHmTmPyP, LTP (<i>n</i> = 5) could be reliably evoked contrasting the effect of IH10 on LTP (<i>B</i>). Scale bars: 0.2 mV/10 ms. The arrow represents the TBS protocol. C, The total latency to exit the Barnes maze progressively decreased in both IHSaline (n = 11, pink lines represent individual performance) and IHmTmPyP (n = 10, purple lines represent individual performance), suggesting that both groups could learn the exit zone location. D, Heat maps of the mean entry probability across all false exits (1-19) and the exit zone during the probe trial for IHSaline and IHmTmPyP. Comparison of entry probability into the exit zone during the probe trial reveals that IHmTmPyP has a greater probability for entering the exit zone when compared with IHSaline (p = 0.006); ***<i>p</i> < 0.001, *<i>p</i> < 0.05; N.S., <i>p</i> > 0.05.
experiments using HIF1a<sup>+/−</sup> mice resolved this issue. Heterozygosity in HIF1a prevented the IH-dependent increase in NOX4, the ROS-producing enzyme transcriptionally regulated by HIF1a (Diebold et al., 2010). Increasing NOX4 would be expected to increase the production of ROS and, if left unchecked, promote a pro-oxidant state. Indeed, IH led to increased protein carbonylation, an indication of a shift toward a more pro-oxidant state. The HIF1a-dependent increase in pro-oxidant condition was presumably due to ROS production from the enhanced presence of NOX4. The pro-oxidant state suppressed NMDAR-dependent LTP and disrupted performance in the Barnes maze.

In agreement with a previous report (Gozal et al., 2001), our protein analyses indicated that IH reduced GluN1 expression, the obligatory subunit of the NMDAR. Along, this observation could not discriminate whether the effect of IH on GluN1 expression reflected a reduction of the NMDAR at the glutamatergic synapse, a decline in extrasynaptic receptors, a premature degradation of GluN1 before assembly of the receptor or some combination of the three. The reduction in GluN1 was not accompanied by a reduction in PSD-95, suggesting that IH did not indiscriminately cause a loss of glutamatergic synapses. Following IH, the unpotentiated fEPSP (in Mg<sup>2+</sup>-free aCSF) was less sensitive to NMDAR blockade. Together, these findings may be interpreted as indicating that IH remodels the glutamatergic synapse by reducing receptor expression. Such a reduction in the synaptic NMDAR would likely disrupt NMDAR-dependent LTP. However, this may not be the only avenue by which IH disturbs NMDAR-based physiology.

Administration of MnTMPyP during IH prevented both GluN1 reduction and impairment to NMDAR-dependent LTP. Similarly, in 10-HIF1a<sup>+/−</sup>, GluN1 expression and NMDAR-dependent LTP was similar to that of control. These findings together indicate that HIF1α mediated ROS production is a principal mechanism that diminishes NMDAR function. While our experiments support the possibility that IH causes reduced receptor expression, the conductance of the NMDAR is known to be redox sensitive (Bodhinathan et al., 2010; Kumar and Foster, 2013). Specifically, oxidation of the NMDAR attenuates NMDAR conductance (Choi and Lipton, 2000; Lipton et al., 2002; Guidi et al., 2015; Foster et al., 2017). It is, therefore, likely that some combination of oxidative modulation and downregulation of the NMDAR mediates the disrupted NMDAR physiology caused by IH. However, we did not acutely manipulate redox state and do not know to what extent the two processes contribute IH-dependent effects on NMDAR activity. This remains an open question to be investigated.

Independent of the precise cause, changed NMDAR activity by IH likely decreases the NMDAR-dependent rise in intracellular Ca<sup>2+</sup>. While a rise intracellular Ca<sup>2+</sup> is an important event for downstream intracellular signaling critical to LTP, it also is likely to mediate other Ca<sup>2+</sup>-dependent processes within the neuron. With respect to IH, ROS production can increase intracellular Ca<sup>2+</sup> via the inositol 1,4,5-trisphosphate receptor (IP3R; Yuan et al., 2008), which then serves as a positive feedback mechanism to enhance and stabilize HIF1α signaling (Prabhakar and Semenza, 2012). As we observed that IH increases NOX4 and protein carbonyls in a HIF1α dependent fashion, excess elevations in intracellular Ca<sup>2+</sup> within hippocampal cells may promote a feedforward mechanism that enhances HIF1α activity and ROS generation. Thus, the reduction of NMDAR activity may serve as a necessary phenomenon to minimize intracellular Ca<sup>2+</sup> and prevent potential exacerbation of cellular stress if left unregulated.

The forced shift from NMDAR-dependent to NMDAR-independent forms of synaptic plasticity observed with IH is a phenomenon also found in models of the aging (Boric et al., 2008; Robillard et al., 2011). Thus, IH, like normal aging, limits the mechanisms normally used to support learning and memory in younger animals. Our work indicates that the HIF1α dependent pro-oxidant condition causes this aging phenotype. As the current study used younger animals (P50–P80), examining how IH affects mechanisms of learning and memory in aged subjects will be important to resolve.

In conclusion, we have identified an important pathway by which IH-dependent HIF1α signaling causes a pro-oxidant state that destabilizes hippocampal synaptic plasticity and disrupts spatial memory. We propose that these observations establish a mechanistic framework by which sleep apnea may lower the threshold for cognitive impairment (Fig. 6). This mechanism may contribute to the emergence of neurologic diseases associated with untreated sleep apnea.

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![Spatial Memory Impairment](image)

**Figure 6.** A mechanistic framework by which sleep apnea lowers the threshold for cognitive impairment. Schematic synthesizing our findings into a pathway by which IH promotes a pro-oxidant state in the hippocampus that impairs NMDAR-dependent plasticity and spatial memory.
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