Hepatectomy Followed by Adjuvant Chemotherapy with 3-Month Capecitabine Plus Oxaliplatin for Colorectal Cancer Liver Metastases

HIRONAGA SATAKE,a,b HIROKI HASHIDA,c HIROAKI TANIOKA,d YASUHIRO MIYAKE,e SHINICHI YOSHIOKA,e TAKANORI WATANABE,f MASATO MATSUURA,g TAKAHISA KYOGOKU,g MICHIO INUKAI,h TAKESHI KOTAKE,b YOSHIHIRO OKITA,h TOSHIHIKO MATSUMOTO,b HISATERU YASUI,b MASAHITO KOTAKA,i TAKESHI KATO,j SATOSHI KAIHARA,c AKIHITO TSUJIh

aCancer Treatment Center, Kansai Medical University Hospital, Hirakata, Japan; Departments of bMedical Oncology and cSurgery, Kobe City Medical Center General Hospital, Kobe, Japan; dDepartment of Clinical Oncology, Kawasaki Medical School, Kurashiki, Japan; eDepartment of Surgery, Nishinomiya Municipal Central Hospital, Nishinomiya, Japan; fDepartment of Surgery, Himeji Red Cross Hospital, Himeji, Japan; gDepartment of Surgery, Nishikobe Medical Center, Kobe, Japan; hDepartment of Clinical Oncology, Faculty of Medicine, Kagawa University, Kagawa, Japan; iDepartment of Gastrointestinal Cancer Center, Sano Hospital, Kobe, Japan; jDepartment of Surgery, National Hospital Organization Osaka National Hospital, Osaka, Japan

Key Words. Colorectal liver metastases • Adjuvant chemotherapy • CapeOx • CAPOX • XELOX

TRIAL INFORMATION

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- Principal Investigator: Hironaga Satake
- IRB Approved: Yes

LESSONS LEARNED

- Three-month adjuvant capecitabine plus oxaliplatin in combination (CAPOX) appeared to reduce recurrence, with mild toxicity in postcurative resection of colorectal cancer liver metastases (CLM).
- Recurrence in patients who underwent the 3-month adjuvant CAPOX after resection of CLM was most commonly at extrahepatic sites.

ABSTRACT

Background. The role of neoadjuvant and adjuvant chemotherapy in the management of initially resectable colorectal cancer liver metastases (CLM) is still unclear. We evaluated the feasibility of 3-month adjuvant treatment with capecitabine plus oxaliplatin in combination (CAPOX) for postcurative resection of CLM.

Methods. Patients received one cycle of capecitabine followed by four cycles of CAPOX as adjuvant chemotherapy after curative resection of CLM. Oral capecitabine was given as 1,000 mg/m² twice daily for 2 weeks in a 3-week cycle, and CAPOX consisted of oral capecitabine plus oxaliplatin 130 mg/m² on day 1 in a 3-week cycle. Primary endpoint was the completion rate of adjuvant chemotherapy. Secondary endpoints included recurrence-free survival (RFS), overall survival (OS), dose intensity, and safety.

Results. Twenty-eight patients were enrolled. Median age was 69.5 years, 54% of patients had synchronous metastases, and 29% were bilobar. Mean number of lesions resected was two, and mean size of the largest lesion was 31 mm. Among patients, 20 (71.4%; 95% confidence interval, 53.6%–89.3%) completed the protocol treatment and met its primary endpoint. The most common grade 3 or higher toxicity was neutropenia (29%). Five-year recurrence-free survival and overall survival were 65.2% and 87.2%, respectively.

Conclusion. Three-month adjuvant treatment with CAPOX is tolerable and might be a promising strategy for postcurative resection of CLM. The Oncologist 2021;25:1–8

DISCUSSION

In patients with technically resectable CLM, both upfront surgery with or without adjuvant chemotherapy and perioperative chemotherapy are considered to be indicated treatment strategies. However, there is no clear evidence for...
which treatment strategy is preferable or for which drug combination is most effective. In this study, patients were safely treated with 3-month postoperative adjuvant chemotherapy with CAPOX, and the effect of treatment in suppressing recurrence and prolonging survival was confirmed. To our knowledge, this is the first report of 3-month adjuvant chemotherapy with CAPOX for postcurative resection of CLM.

We specified that the first cycle of adjuvant treatment should include capecitabine only, after the second cycle of CAPOX, for a total of 3 months. Both capecitabine and oxaliplatin undergo renal excretion, but capecitabine undergoes hepatic metabolism. Liver dysfunction is expected after CLM resection, and additional toxicity must be considered when administering capecitabine, which is metabolized in the liver. In fact, as shown in Figure 1, two (7%) of 28 patients enrolled in this study were unable to continue the second cycle because of gastrointestinal toxicity (diarrhea, nausea) caused by the first cycle of capecitabine alone. Capecitabine dose in the second cycle could be adjusted according to the adverse events observed in the first cycle. The ability to adjust the starting dose of CAPOX likely facilitated the safe continuation of treatment, which in turn likely provided a high treatment completion rate. In our present study, the frequency of neutropenia was 29% for grade 3 and 0% for grade 4, indicating safer treatment and resulting in better outcomes.

Previous studies evaluating perioperative or adjuvant chemotherapy for resectable CLM had a 5-year disease-free survival of 33.5% to 49.8% and 5-year OS of 51.1% to 71.2% [1–6]. Allowing for the small number of patients in this study, survival appeared promising, with a 5-year RFS of 65.2% and 5-year OS of 87.2% (Fig. 2). Adjuvant chemotherapy with 3-month CAPOX is considered a promising treatment strategy for patients with CLM after curative resection.

### Trial Information

| Disease                  | Colorectal cancer                        |
|--------------------------|------------------------------------------|
| Stage of Disease/Treatment | Metastatic/advanced                      |
| Prior Therapy            | None                                     |
| Type of Study            | Phase II, single arm                     |
| Primary Endpoint         | Deliverability                           |

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Secondary Endpoints: Time to progression, overall survival, safety

Additional Details of Endpoints or Study Design: The primary endpoint was completion rate of adjuvant chemotherapy.

Inclusion criteria: Eligibility criteria were histologically proven colorectal cancer; curatively resected metastatic liver tumors from colorectal cancer; no extrahepatic sites of disease; enrollment within 90 days after hepatic resection; age 20 years or more at the time of registration; Eastern Cooperative Oncology Group (ECOG) performance status 0 or 1; and adequate organ function, as defined by absolute neutrophil count ≥1,500/mm³, hemoglobin ≥10 g/dL, platelet count ≥100,000/mm³, total bilirubin ≤1.5 mg/dL, serum transaminases ≤100 U/L, and serum creatinine ≤1.2 mg/dL.

This trial was carried out in accordance with the Helsinki Declaration and Ethical Guidelines for Clinical Studies and was approved by the institutional review boards of all participating institutions. All patients were required to give written informed consent before entering the study.

Study treatment and dose modification: Patients received one course of capecitabine followed by four courses of CAPOX for a total of five courses (15 weeks) as adjuvant chemotherapy after curative resection of CLM. Oral capecitabine was given at 1,000 mg/m² twice daily for 2 weeks in a 3-week cycle, whereas CAPOX consisted of oral capecitabine plus a 2-hour intravenous infusion of oxaliplatin at 130 mg/m² on day 1 in a 3-week cycle. The dose was modified for each patient based on hematologic or nonhematologic toxicity. Treatment was delayed if, on the planned day of treatment, the following criteria were present: absolute neutrophil count <1,500/mm³, platelets <75,000/mm³, palmar-plantar erythrodysesthesia syndrome grade ≥1, diarrhea grade ≥1, and other parameters at the attending physician’s discretion. If adverse events of a high enough grade occurred during the previous course, the dose was reduced by one level (up to −2 level). Capecitabine doses could be reduced to 1,500 mg/m² per day (−1 level) and 1,000 mg/m² per day (−2 level). Oxaliplatin doses could be reduced to 100 mg/m² (−1 level) and 85 mg/m² (−2 level). Patients who could not tolerate oxaliplatin could continue to receive capecitabine monotherapy until a maximum total of five courses, disease relapse, or intolerable toxicity. Capecitabine and oxaliplatin could be reduced by two dose levels, but treatment was discontinued if subsequent reduction was indicated. In the event of grade 4 nonhematologic toxicities, treatment was definitively interrupted. Pretreatment evaluation included a medical history; physical examination; complete blood cell count and serum chemistry tests; and chest, abdominal, and pelvic computed tomography scans. Clinical examination and biochemical tests were required before and during each cycle.

All adverse events experienced during the study were recorded and graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0.

Endpoints and statistical analysis: The primary endpoint was completion rate of adjuvant chemotherapy. Based on the treatment completion rate of protocol treatment was set to 45% and the expected completion rate was set to 70%. Given a one-sided α of 0.1 and statistical power of 80%, a minimum of 25 patients was required. Secondary endpoints included RFS, OS, dose intensity, and safety. Dose intensity was calculated as the ratio of the actual to planned dose intensity in milligrams per square meter per week. The survival curve was estimated using the Kaplan-Meier method. Safety and efficacy analyses were both conducted on an intention-to-treat population, defined as all patients enrolled in the study who received at least one dose of chemotherapy. RFS was defined as the time to the earlier of the date at which relapse was diagnosed or the date of death due to any cause, with the day of registration as the base date. OS was determined as the time to date of death due to any cause or last confirmation of survival, with the day of registration as the base date. Statistical data were obtained using SAS software, version 9.4 (SAS Institute Inc., Cary, NC).

Investigator’s Analysis: Active and should be pursued further

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Drug Information

| Drug Name | Generic Name | Trade Name | Company Name | Drug Type | Dose | Route | Schedule of Administration |
|-----------|--------------|------------|--------------|-----------|------|-------|---------------------------|
| Capecitabine | Capecitabine | Xeloda | Hoffmann-La Roche, Ltd. | Cytotoxic | 1,000 milligrams (mg) per squared meter (m²) | Oral (p.o.) | 1,000 mg/m² twice daily for 2 weeks in a 3-week cycle |
| Oxaliplatin | Oxaliplatin | | | Cytotoxic | 130 milligrams (mg) per squared meter (m²) | i.v. | 130 mg/m² on day 1 in a 3-week cycle |
**Patient Characteristics**

| Number of Patients, Male | 16 |
|-------------------------|----|
| Number of Patients, Female | 12 |

| Stage | Baseline characteristics of resected colorectal liver metastases | n (%) |
|-------|---------------------------------------------------------------|-------|
| Synchronicity of liver metastases | Synchronous | 15 (54) |
| | Metachronous | 13 (46) |
| Location of the liver metastases | Unilobar | 20 (71) |
| | Bilobar | 8 (29) |
| Number of liver metastases | Mean (range) | 2 (2–7) |
| | 1–3 | 26 (93) |
| | ≥4 | 2 (7) |
| Maximum tumor size of liver metastasis, mm | Mean (range) | 31 (2–112) |
| | <50 mm | 23 (82) |
| | ≥50 mm | 5 (18) |

| Age | Median (range): 69.5 (39–82) years |
|-----|----------------------------------|
| Performance Status: ECOG | 0 — 28 |
| | 1 — 0 |
| | 2 — 0 |
| | 3 — 0 |
| | Unknown — 0 |

| Other | From May 2013 to November 2015, 28 patients were enrolled from six institutions. Median age was 69.5 years, 57% of patients were male, and 75% of cases had a left-sided primary tumor, with 21% in the rectum. Metastases were synchronous in 15 (54%) and metachronous in 13 (46%) of the patients. The metastases were unilobar in 20 patients (71%) and bilobar in 8 patients (29%). The mean number of lesions resected per patient was two (range, one to seven). Mean size of the largest lesion per patient was 31 mm (range, 2–112 mm). Among the patients, seven (25%) had a history of prior oxaliplatin administration before study enrollment. Median time from the date of hepatic resection to the start of adjuvant CAPOX was 48 days (range, 25–77). |

| Baseline characteristics | n (%) |
|-------------------------|-------|
| Primary tumor location | Left 21 (75) |
| | Sigmoid 12 (43) |
| | Rectum 6 (21) |
| | Descending 3 (11) |
| Right | 7 (25) |
| | Transverse 4 (14) |
| | Cecum 2 (7) |
| | Ascending 1 (4) |
| Hepatectomy | Segmental 21 (75) |
| | Partial resection 4 (14) |
| | Wedge 2 (7) |
| | Lobectomy 1 (4) |
| Residual tumor | R0 25 (89) |
Completion Rate of Adjuvant Chemotherapy

Among the 28 patients, 20 (71.4%: 95% confidence interval, 53.6–89.3%) completed the protocol treatment. Because of toxicity, capecitabine was reduced in 10 cases (36%) and discontinued in 5 cases (19%), whereas oxaliplatin was reduced in 8 cases (29%) and discontinued in 8 cases (29%). The mean relative dose intensity of capecitabine and oxaliplatin was 70.6% (range, 4%–108%) and 74.6% (range, 0%–100%), respectively. Dose modification and treatment continuation details for each drug are provided in Figure 1.

Recurrence-Free Survival, Overall Survival

Survival and recurrence: Figure 2 shows the RFS and OS. With a median follow-up period of 64.3 months (range, 26.3–87.5 months), a total of nine patients developed disease relapse and four died because of relapse, giving a mean ± SE 5-year RFS of 65.2% ± 9.55% and 5-year OS of 87.2%. Median time to recurrence was 11.3 months (range, 9.6–50.1 months). Of the relapsed patients (Table 1), the primary tumors were located in the colon (n = 6) and rectum (n = 3). Residual liver metastasis occurred in two patients (7%), but all recurrences also occurred in extrahepatic sites (n = 9). The most frequent sites of relapse were lung (n = 4) and distant metastases (n = 4).

Phase II New Arm Adverse Events

| All Cycles Name                  | NC/NA, % | Grade 1, % | Grade 2, % | Grade 3, % | Grade 4, % | Grade 5, % | All grades, % |
|---------------------------------|----------|------------|------------|------------|------------|------------|---------------|
| White blood cell decreased      | 39       | 25         | 36         | 0          | 0          | 0          | 61            |
| Neutrophil count decreased      | 43       | 14         | 14         | 29         | 0          | 0          | 57            |
| Anemia                          | 25       | 61         | 11         | 4          | 0          | 0          | 75            |
| Platelet count decreased        | 29       | 46         | 21         | 4          | 0          | 0          | 71            |
Aspartate aminotransferase increased 36 61 4 0 0 0 64
Alanine aminotransferase increased 46 50 4 0 0 0 54
Blood bilirubin increased 79 14 4 4 0 0 21
Anorexia 50 43 4 4 0 0 50
Constipation 68 32 0 0 0 0 32
Diarrhea 68 25 4 4 0 0 32
Dizziness 89 11 0 0 0 0 11
Dysgeusia 89 11 0 0 0 0 11
Fatigue 89 7 4 0 0 0 11
Febrile neutropenia (fever of unknown origin without clinically or microbiologically documented infection; ANC <1.0 × 10^9/L, fever ≥38.5°C) 100 0 0 0 0 0 0
Fever 82 14 4 0 0 0 18
Palmar-plantar erythrodysesthesia syndrome 43 46 11 0 0 0 57
Mucositis oral 86 11 4 0 0 0 14
Nausea 46 50 4 0 0 0 54
Peripheral sensory neuropathy 79 14 4 4 0 0 21
Skin hyperpigmentation 89 11 0 0 0 0 11
Vasculitis 89 11 0 0 0 0 11
Vomiting 89 7 4 0 0 0 11

Adverse Events Legend
All 28 patients who received at least one course of treatment were assessed for safety. Common adverse events were oxaliplatin-related peripheral sensory neuropathy (82%), thrombocytopenia (71%), increased aspartate aminotransferase (64%), leukopenia (61%), neutropenia (57%), and palmar-plantar erythrodysesthesia syndrome (57%). The most common grade 3 or higher adverse event was neutropenia (29%). No treatment-related death was observed. Of the patients, one (4%) had a grade 2 oxaliplatin-related allergy at the third cycle of oxaliplatin administration. This patient had never received prior chemotherapy, including oxaliplatin, before study enrollment. Therefore, of the seven patients who had received prior adjuvant chemotherapy with an oxaliplatin-based regimen before study enrollment, no one had oxaliplatin-related allergy.
Abbreviations: ANC, absolute neutrophil count; NC/NA, no change from baseline/no adverse event.
From the results of the IDEA collaboration, despite use of the same fluorouracil plus oxaliplatin doublet combination, there was a difference between FOLFOX and CAPOX in terms of suppression of recurrence in a postoperative adjuvant setting [13]. Furthermore, in the JCOG0603 trial (a randomized phase II/III trial comparing hepatectomy followed by mFOLFOX6 (oxaliplatin 85mg/m2, LV 200 mg/m2, 5-FU bolus 400 mg/m2 and 2400mg/m2 over 48 h) with hepatectomy alone for liver metastasis from colorectal cancer, which had the same background factors as our present study—PS (performance status), synchronicity of liver metastases, site of primary tumor, status of primary lymph node metastases, and number and maximum size of liver metastases), the 6-month adjuvant FOLFOX arm was shown to be statistically superior to surgery alone in 5-year disease-free survival (49.8% in FOLFOX arm and 38.7% in surgery alone; hazard ratio [HR], 0.67; p = .006) but inferior in 5-year OS (71.2% in FOLFOX arm and 83.1% in surgery alone; HR, 1.25) [8]. One possible reason for the lower postoperative survival in the FOLFOX arm than the surgery alone may be toxicity in JCOG0603. The frequency of chemotherapy-induced neutropenia in that study was 50% grade 3 or higher and 10% grade 4, with one postoperative death observed in the adjuvant 6-month FOLFOX arm. On the other hand, our study showed relatively milder bone marrow toxicities (frequency of neutropenia of 29% for grade 3 and 0% for grade 4) and higher survival outcomes (5-year recurrence-free survival of 65.2% and 5-year OS of 87.2%).

One interesting finding of our study was the site of recurrence in patients who underwent adjuvant chemotherapy after resection of CLM. Previously, recurrence after resection of CLM was often considered to occur in the residual liver, but in this study, all cases of recurrence involved extrhepatic metastasis. This was also observed in JCOG0603, in which 66% of recurrent cases in the adjuvant FOLFOX arm were extrhepatic metastases [6]. The very low recurrence of residual liver metastasis in our study suggests that CAPOX as postoperative adjuvant chemotherapy for CLM may suppress the recurrence of metastasis to residual liver. The finding that the extrhepatic recurrence was due to hematogenous metastases reaffirms the importance of a systemic approach to CLM. In addition to our present therapeutic approach, another novel technical approach now under development is the detection of minimum residual disease (MRD) by liquid biopsy [15–17]. Considering that MRD will in future be more easily detected with liquid biopsy, evidence that 3-month adjuvant chemotherapy with CAPOX can be adopted as the optimal treatment method with acceptable toxicity will be useful. In Japan, an early intervention clinical trial called the ALTAIR trial (A Randomized, Double-Blind, Phase III Study Comparing FTD/TPI Therapy Versus Placebo in Patients Who Are Positive for Blood Circulating Tumor DNA After Curative Resection of Colorectal Cancer) is now underway for MRD-positive postoperative colorectal cancer, including CLM [18].

Several limitations of our present study warrant mention. First, we designed the study to evaluate 3-month adjuvant chemotherapy with CAPOX as a single-arm study, and it is therefore difficult to determine the optimal treatment period. Furthermore, the small number of patients hampers evaluation of survival benefit. Second, the study included patients with CLM after curative resection, which may involve a degree of selection bias, and it is not possible to conclude whether perioperative chemotherapy or adjuvant chemotherapy is the better treatment strategy. Finally, we did not assess the enrolled patients’ quality of life, and adverse events were evaluated during the protocol treatment period only. The duration of the oxaliplatin-induced PSN was therefore insufficient.

In conclusion, this study showed that 3-month adjuvant CAPOX is tolerable and might be a promising therapeutic regimen in postcurative resection of CLM.

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**Disclosures**

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**Table**

| First sites of recurrence | Colon (n = 6), n | Rectum (n = 3), n | Total (n = 9), n |
|---------------------------|----------------|----------------|----------------|
| Liver                     | 2             | 0             | 2             |
| Lung                      | 2             | 2             | 4             |
| Distant lymph nodes       | 2             | 2             | 4             |
| Others                    | 2             | 1             | 3             |
| Patterns of recurrence    |                |                |                |
| Liver and extrahepatic    | 2             | 0             | 2             |
| Lung and extrahepatic     | 1             | 2             | 3             |
| Lung only                 | 1             | 0             | 1             |
| Extrahepatic only         | 2             | 1             | 3             |

*a* One patient had relapsed liver and distant lymph nodes metastases. One patient had relapsed liver and peritoneal metastases.

*b* Two patients had relapsed lung and distant lymph nodes. One patient had relapsed lung, distant lymph nodes, and bone metastases. One patient had multiple lung metastases.