Fermion Masses and Mixings from Dihedral Flavor Symmetries with Preserved Subgroups  
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We perform a systematic study of dihedral groups used as flavor symmetry. The key feature here is the fact that we do not allow the dihedral groups to be broken in an arbitrary way, but in all cases some (non-trivial) subgroup has to be preserved. In this way we arrive at only five possible (Dirac) mass matrix structures which can arise, if we require that the matrix has to have a non-vanishing determinant and that at least two of the three generations of left-handed (conjugate) fermions are placed into an irreducible two-dimensional representation of the flavor group. We show that there is no difference between the mass matrix structures for single- and double-valued dihedral groups. Furthermore, we comment on possible forms of Majorana mass matrices. As a first application we find a way to express the Cabibbo angle, i.e. the CKM matrix element |V_{us}|, in terms of group theory quantities only, the group index n, the representation index j and the index m_{u,d} of the different preserved subgroups in the up and down quark sector: |V_{us}| = \left| \cos \left( \frac{\pi (m_{u} - m_{d})}{n} \right) \right| which is |cos(\frac{\pi}{n})| \approx 0.225 for \( n = 7, j = 1, m_{u} = 3 \) and \( m_{d} = 0 \). We prove that two successful models which lead to maximal atmospheric mixing and vanishing \( \theta_{13} \) in the lepton sector are based on the fact that the flavor symmetry is broken in the charged lepton, Dirac neutrino and Majorana neutrino sector down to different preserved subgroups whose mismatch results in the prediction of these mixing angles. This also demonstrates the power of preserved subgroups in connection with the prediction of mixing angles in the quark as well as in the lepton sector.

I. INTRODUCTION

Experiments have shown that the quark and lepton mixing angles are completely different in size and hierarchy. They are small in the quark sector

\[ \theta_{12}^{q} \approx 13.1^\circ, \quad \theta_{23}^{q} \approx 2.4^\circ, \quad \theta_{13}^{q} \approx 0.23^\circ \]

with the Cabibbo angle \( \theta_{12}^{q} \) being the largest \( \Box \), while in the lepton sector two of them are large, if not maximal,

\[ \theta_{23}^{l} \approx 45^\circ, \quad \theta_{12}^{l} \approx 33.2^\circ, \]

together with the third angle \( \theta_{13}^{l} \) being smaller than 9.1\( ^\circ \) at the 2\( \sigma \) level \( \Box \).

From the viewpoint of model building two special structures for the lepton mixing angles are very interesting: a.) the case of tri-bimaximal (TBM) mixing \( \Box \) and b.) the case of \( \mu \tau \) symmetry (MTS) \( \Box \) in the neutrino sector. For tri-bimaximal mixing the sines of the mixing angles are given by

\[ \sin^{2}(\theta_{12}^{l}) = \frac{1}{3}, \quad \sin^{2}(\theta_{23}^{l}) = \frac{1}{2} \] and \( \sin^{2}(\theta_{13}^{l}) = 0 \)

while \( \mu \tau \) symmetry only enforces

\[ \sin^{2}(\theta_{23}^{l}) = \frac{1}{2} \] and \( \sin^{2}(\theta_{13}^{l}) = 0 \)

leaving the angle \( \theta_{12}^{l} \) undetermined. Both ansaetze for the mixing angles are compatible with the best fit values at the 2\( \sigma \) level.

The Standard Model (SM) can only accommodate, but not explain these data. The possible special structure for the lepton mixing matrix together with the hierarchy of the quark mixing angles is quite a strong hint for a flavor symmetry \( G_{F} \) which is broken in a non-trivial way.

Requiring that at least two of the three fermion generations can be unified by \( G_{F} \) and avoiding extra Goldstone or gauge bosons from breaking \( G_{F} \) spontaneously leads us to the conclusion that the best choice for \( G_{F} \) is a discrete, non-abelian symmetry.

Recently, in a series of papers \( \Box \) it has been shown that the discrete symmetry \( A_{4} \), which is the symmetry group of even permutations of four distinct objects, is able to explain TBM mixing in the lepton sector, if it acts on the fermion generations in the following way: the three left-handed lepton doublets transform as the irreducible three-dimensional representation of \( A_{4} \), while the left-handed conjugate charged leptons transform as the three singlets of \( A_{4} \), \( 1_{1}, 1_{2} \) and \( 1_{3} \) (also called 1, 1’ and 1”).

In reference \( \Box \) this phenomenologically successful model has been extended to the quark sector by using the symmetry group \( T’ \), which is the double-valued group of \( A_{4} \). In the quark sector it allows for some non-trivial connections among the CKM matrix elements and the quark masses.

The key point of these studies lies in the fact that the Higgs fields which necessarily also transform non-trivially under the flavor group in order to form \( A_{4} \) (T’’) invariant Yukawa couplings do not acquire arbitrary vacuum expectation values (VEVs), but VEVs which break the flavor
group $A_4$ ($T'$) down to certain non-trivial subgroups, such as $Z_2$, $Z_3$ and $Z_4$. The fields which preserve a $Z_3$ (in case of $A_2$, $Z_4$ in case of $T'$) only couple to neutrinos at the leading order, while the other fields breaking the flavor symmetry of the model down to a $Z_3$ subgroup only couple to charged fermions at this level. This exactly leads to TBM mixing and explains large lepton mixing angles as the result of two different subgroups, whereas small quark mixing angles come from mass matrices which are invariant under the same subgroup.

These results trigger the following questions: Are $A_4$ and its double-valued group $T'$ the only groups in which such results can be achieved? Or are there other groups among the non-abelian discrete symmetries which can lead to the same or to a similar result by preserving some non-trivial subgroup?

In order to answer these questions at least partly, we investigate in this paper the dihedral groups $D_n$ and their double-valued groups $D'_n$ as flavor symmetries\(^2\). They are non-abelian for $n > 2$ and $n > 1$, respectively. The group $D_n$ is the symmetry group of a regular planar $n$-gon. The dihedral groups and their double-valued groups are well suited for a general study, since they form a series of groups with similar properties, e.g. they all contain only one- and two-dimensional representations. Among the discrete groups there are several such series of groups: the permutation groups $S_n$ of $n$ distinct objects, the alternating groups $A_n$ of even permutations of $n$ objects, and the two series of subgroups of $SU(3)$, $\Delta(3n^2)$ and $\Delta(6n^2)$\(^3\). The groups $S_n$ and $A_n$ are only interesting for small $n$ ($S_2$, $S_3$, $S_4$ and $A_3$, $A_4$, $A_5$), since with increasing $n$ the dimension of their non-trivial representations increases beyond three. So they are only appropriate for case by case studies and not for a general study like ours. $\Delta(3n^2)$ and $\Delta(6n^2)$ are more similar to the dihedral groups and therefore more interesting, but also less known in particle theory\(^4\).

In our general study we test whether the groups $D_n$ and $D'_n$ can be used to get a similar result as in the mentioned $A_4$ and $T'$ model, i.e. whether one can induce certain mixing patterns in the lepton and/or quark sector by breaking to different subgroups. In order to do so, we first need to establish the group theory of the groups $D_n$ and $D'_n$, in particular we carefully study all possible subgroups. We show for each of them all representations and directions which leave them invariant. In a next step we calculate all possible mass matrices which can then arise in such a model. Thereby the gauge group is taken to be the one of the SM for simplicity. In order to keep the calculation tractable we restrict ourselves to mass matrices with a non-vanishing determinant and for Dirac mass matrices we assume in a first step that all Higgs fields which transform under the flavor group, since such structures can be produced by the use of an abelian group as well. Note that this does not exclude the possibility that the Majorana mass matrix originates from a coupling which involves only fields transforming as one-dimensional representation under the flavor group, as the Majorana mass term stems solely from the coupling of two either left-handed or left-handed conjugate fields. We present all mass matrices and discuss differences among Dirac and Majorana mass matrix structures.

As one interesting application we show that a prediction of the Cabibbo angle $\theta_{12}^T$, more precisely of one of the elements of the quark mixing matrix $V_{CKM}$, in terms of only group theoretical quantities, i.e. the index $n$ of the group $D_n$ ($D'_n$), the index of the representation and the breaking direction in the flavor space, becomes possible.

Several smaller dihedral groups\(^5\) and their double-valued groups\(^6\) were already used in the literature to construct models with flavor symmetries. We comment on some of them by comparing the mass matrices they use with ours. We show that the prediction of $\theta_{13} = 45^\circ$ and $\theta_{12}^T = 0$ of models using a flavor symmetry $D_4 \times Z_2^{aux}$\(^7\) and $D_3 \times Z_2^{aux}$\(^8\) results from the fact that in these models non-trivial subgroups of $D_4$ and $D_3$ are preserved, respectively.

We only briefly touch the question of the VEV alignment and the choice and stabilization of the desired vacuum structure in our conclusions, since the study of the Higgs potentials is beyond the scope of this paper. Nevertheless, we emphasize that of course only the proof that an advocated VEV structure is realized in a certain potential and the proof of its stability can make the theory viable. A detailed study of potentials of SM Higgs doublets which transform under $D_n$ or $D'_n$ will be presented elsewhere\(^9\).

The paper is structured as follows: in Section\(^\text{II}\) we present the basic group theory of the dihedral groups $D_n$ and $D'_n$; Section\(^\text{III}\) contains the analysis of the subgroups of $D_n$ and $D'_n$; in Section\(^\text{IV}\) we study the stepwise breaking of the dihedral symmetries and show all possible breaking chains for the single-valued groups $D_n$. We study in Section\(^\text{V}\) all Dirac as well as Majorana mass matrices with a non-vanishing determinant which can arise from the distinct breakings found in Section\(^\text{III}\) and mention some possible applications in Section\(^\text{VI}\). A comparison of our findings to the literature is given in Section\(^\text{VII}\). Finally, we conclude in Section\(^\text{VIII}\) and comment on differences among models using flavor-charged Higgs doublets and gauge singlets (flavons) as well as on some simple Higgs potentials. The various appendices contain further group theoretical results which are needed for our calculations such as Kronecker products and Clebsch-Gordan coefficients as well as the decomposition of representations of the dihedral groups into representations of their subgroups and the breaking chains for the groups $D'_n$. 

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II. PROPERTIES OF DIHEDRAL GROUPS

A. Single-valued Groups $D_n$

All $D_n$ groups are non-abelian apart from $D_1 (\cong Z_2)$ and $D_2 (\cong Z_2 \times Z_2)$. They only contain real one- and two-dimensional irreducible representations. If its index $n$ is even, the group $D_n$ has four one- and $\frac{n}{2} - 1$ two-dimensional representations and for $n$ being odd $D_n$ has two one- and $\frac{n-1}{2}$ two-dimensional representations. In the following we denote the one-dimensional representations with $1_1$ and the two-dimensional ones with $2_j$ where the indices $i$ and $j$ are $i = 1, 2$ and $j = 1, \ldots, \frac{n-1}{2}$ for $D_n$ with $n$ odd and $i = 1, \ldots, 4$ and $j = 1, \ldots, \frac{n}{2} - 1$ for $D_n$ with $n$ even. The representation $1_1$ is always the trivial one, i.e. the one whose characters are 1 for all classes. The order of the group $D_n$ is $2n$. The generators $A$ and $B$ of the one-dimensional representations are $A = B = 1$ for $1_1$ and $A = 1, B = -1$ for $1_2$. For even $n$ we also have $A = -1, B = 1$ for $1_3$ and $A = B = -1$ for $1_4$. The generators of the two-dimensional representations are $[15]$:

$$A = \begin{pmatrix}
(e^{\frac{\pi i}{n}})^j & 0 \\
0 & e^{-\frac{\pi i}{n}}^j
\end{pmatrix}, \quad B = \begin{pmatrix}
0 & 1 \\
1 & 0
\end{pmatrix} \quad (1)
$$

with $j = 1, \ldots, \frac{n}{2} - 1$ for $n$ even and $j = 1, \ldots, \frac{n-1}{2}$ for $n$ odd. They fulfill the relations:

$$A^n = 1, \quad B^2 = 1, \quad ABA = B. \quad (2)
$$

Note that we have chosen complex generators for the two-dimensional representations. Since the representations themselves are real, there exists a unitary matrix $U$ which links their generators to the complex conjugates:

$$U = \begin{pmatrix}
0 & 1 \\
1 & 0
\end{pmatrix}.$$

For any \((a_1 \ a_2)\) \sim \(2\) the combination

$$U \begin{pmatrix}
a_1 \\
a_2
\end{pmatrix} = \begin{pmatrix}
a_1^* \\
a_2^*
\end{pmatrix}$$

transforms as \(2\) instead of \(\begin{pmatrix}
a_1 \\
a_2
\end{pmatrix}\), as would be the case for real generators $A$ and $B$.

B. Double-valued groups $D'_n$

The groups $D'_n$ are the double-valued counterparts of the groups $D_n$. All groups $D'_n$ with $n > 1$ are non-abelian, $D'_1$ is isomorphic to $Z_4$. The simplest non-abelian double-valued dihedral group, $D'_2$, is also called the quaternion group. Hence, one often uses the notation $Q_{2n}$ instead of $D'_n$. The group $D'_n$ is of order $4n$. Similar to the groups $D_n$ they only contain one- and two-dimensional irreducible representations. The group $D'_2$ has four one-dimensional and $n - 1$ two-dimensional representations. For $n$ even, the one-dimensional representations are real, i.e. their characters are real. Furthermore the two-dimensional representations $2_j$ with $j$ even are real, i.e. not only their characters are real, but there also exists a set of real representation matrices. In contrast to this the representations $2_j$ with $j$ odd are pseudo-real, i.e. their characters are real, but one cannot find a set of representation matrices which are also real. If $n$ is odd the one-dimensional representations $1_1, 2$ are real, while $1_3$ and $1_4$ are complex conjugated to each other. As for even $n$, the representations $2_j$ with $j$ even are real and with $j$ odd are pseudo-real. Compared to the groups $D_n$ one has to add the pseudo-real and complex representations to get $D'_n$. Therefore the real representations are usually called even, while the pseudo-real and complex ones are named odd representations $[16]$. The generators for the one-dimensional representations are the same as for $D_n$, if $n$ is even. If $n$ is odd, the generators of the one-dimensional representations are $A = B = 1$ for $1_1$, $A = -1, B = 1$ for $1_2$, $A = 1, B = -1$ for $1_3$ and $A = -1, B = i$ for $1_4$. The generators and their relations for the two-dimensional representations also have a similar form as in case of $D_n$ $[16, 17]$:

$$A = \begin{pmatrix}
(e^{\frac{\pi i}{n}})^j & 0 \\
0 & e^{-\frac{\pi i}{n}}^j
\end{pmatrix}, \quad B = \begin{pmatrix}
0 & 1 \\
1 & 0
\end{pmatrix} \quad (3)$$

for $j$ even, and

$$A = \begin{pmatrix}
(e^{\frac{\pi i}{n}})^j & 0 \\
0 & e^{-\frac{\pi i}{n}}^j
\end{pmatrix}, \quad B = \begin{pmatrix}
0 & i \\
i & 0
\end{pmatrix} \quad (4)$$

for $j$ odd. They fulfill:

$$A^n = R, \quad B^2 = R, \quad R^2 = 1, \quad ABA = B, \quad (5)$$

with $R$ being 1 in case of an even representation and −1 for an odd one. Comparing the generators of even and odd representations one recognizes that the generator $B$ contains an extra factor $i$ for odd representations. Since also for $D'_n$ all two-dimensional representations are real or pseudo-real, i.e. not complex, there has to exist a similarity transformation $U$ between the representation matrices and their complex conjugates. If the index $j$ of the representation $2_j$ is even, $U$ is the same as for the representations of $D_n$. For $j$ being odd, $U = \begin{pmatrix}
0 & -1 \\
1 & 0
\end{pmatrix}$ such that

$$\begin{pmatrix}
-a_2^* \\
a_1^*
\end{pmatrix} = U \begin{pmatrix}
a_1 \\
a_2
\end{pmatrix}$$

transforms in the same way as

$$\begin{pmatrix}
a_1 \\
a_2
\end{pmatrix} \sim 2_j$$

with $j$ odd.
III. NONTRIVIAL SUBGROUPS

In this section we determine the subgroups of a general $D_n$ or $D'_n$ group, using the generators given in Section II. This can be done systematically by determining for each representation the eigenvalues and eigenvectors of the group elements. All group elements, which have the same eigenvector corresponding to the eigenvalue of 1, form a subgroup. We then determine the group structure, which is simple, as all subgroups turn out to be either dihedral or cyclic.

For the one-dimensional representations we only need to look at which elements of the group are represented by a 1 - these elements then form a subgroup. For the two-dimensional representations, we need to determine all representation matrices which have an eigenvalue of 1. We only need to consider two general matrices: $A^x$ and $BA^y$ and calculate their eigenvalues as a function of $x$ or $y$ and the index $j$ of the representation $\mathbf{2j}$, and then calculate the corresponding eigenvectors. All eigenvectors with eigenvalue 1 turn out to have the same structure:

$$
\left( \begin{array}{c}
ed^{-\frac{\pi jm}{g}} \\
1
\end{array} \right)
$$

where $g$ is the order of $G_F$ (2$n$ for single-valued, 4$n$ for double-valued groups) and $m$ is an integer. We thus have for a given two-dimensional representation a class of subgroups, parameterized by $m$, where one of the generators of the subgroup will be $BA^m$. For group elements represented by the unit matrix, which appear in unfaithful representations, an arbitrary eigenvector corresponds to an eigenvalue of 1. Hence we have for unfaithful two-dimensional representations an additional subgroup made up of all group elements represented by the unit matrix. To make sure that we have determined all subgroups, we need to consider possible combinations of 2 or more representations. Further subgroups will necessarily be subgroups of the subgroups determined above. Since all of the subgroups encountered so far are either dihedral or cyclic, we know that all further subgroups will also be either dihedral or cyclic. As it turns out, we need at most 2 different representations to reach any possible subgroup of our original $D_n$ or $D'_n$.

We come to a physical interpretation of our results by using them to determine how the VEV of a scalar field transforming non-trivially under $G_F$ will break that symmetry. A VEV of a scalar transforming under a given representation conserves the subgroup of elements which leave the VEV invariant, i.e. the VEV is an eigenvector to the eigenvalue 1 and these we determined above.

For two-dimensional representations there can be several subgroups and therefore the structure of the scalar VEV is important. We denote an arbitrary VEV by $< \mathbf{2j} >$, while a VEV proportional to the eigenvector of Eq. (6) will be denoted by $< \mathbf{2j} ' >$. Subgroups corresponding to a combination of two representations will be conserved by a combination of VEVs. We get the following results for $D_n$ groups:

$$
D_n < \mathbf{1} >, D_n
$$

$$
D_n < \mathbf{2} >, Z_a = < A >
$$

$$
D_n < \mathbf{3} >, D_2 = < A^2, B >
$$

$$
D_n < \mathbf{4} >, D_2 = < A^2, BA >
$$

$$
D_n < \mathbf{2j} >, Z_j = < A^j > (|j| n)
$$

$$
D_n < \mathbf{2j} ' >, nothing (|j| n)
$$

$$
D_n < \mathbf{2j} ' >, D_2 = < A^2, BA^m > (j | n; m = 0, 1, ..., \frac{g}{2} - 1)
$$

$$
D_n < \mathbf{2j} ' >, Z_2 = < BA^m > (|j| n; m = 0, 1, ..., n - 1)
$$

$$
D_n < \mathbf{2j} + \mathbf{13} >, Z_2 = < A^2 > (for even n)
$$

(One can also use $< \mathbf{2j} ' > + < \mathbf{14} >$ or $< \mathbf{14} > + < \mathbf{14} >$.)

$$
D_n < \mathbf{2j} ' >, Z_2 = < BA^m > (|j| n, \frac{g}{2} odd; 0 \leq m \leq \frac{g}{2} - 1)
$$

$m$ even for $\mathbf{13}$; for $\mathbf{14}$ $m$ odd)

and for $D_n'$ groups we get:

$$
D_n' < \mathbf{1} >, D_n'
$$

$$
D_n' < \mathbf{2} >, Z_2n = < A >
$$

$$
D_n' < \mathbf{3} >, D'_2 = < A^2 > (for odd n)
$$

$$
D_n' < \mathbf{4} >, D'_2 = < A^2, B > (for even n)
$$

$$
D_n' < \mathbf{2j} >, Z_j = < A^j > (|j| 2n)
$$

$$
D_n' < \mathbf{2j} ' >, nothing (|j| 2n)
$$

$$
D_n' < \mathbf{2j} ' >, D'_2 = < A^2, BA^m > (j even, |j| 2n; m = 0, 1, ..., \frac{g}{2} - 1)
$$

$$
D_n' < \mathbf{2j} ' >, Z_4 = < BA^m > (j even, |j| 2n; m = 0, 1, ..., n - 1)
$$

$$
D_n' < \mathbf{2j} + \mathbf{13} >, Z_n = < A^2 > (for even n)
$$

(One can also use $< \mathbf{2j} ' > + < \mathbf{14} >$ or $< \mathbf{14} > + < \mathbf{14} >$.)

$$
D_n' < \mathbf{2j} ' >, Z_4 = < BA^m > (n even; |j| 2n, \frac{g}{2} odd; 0 \leq m \leq \frac{g}{2} - 1)
$$

$m$ even for $\mathbf{13}$; for $\mathbf{14}$ $m$ odd)

$$
D_n' < \mathbf{2j} ' >, Z_2 = < A^n > (n even; \frac{g}{2} odd; 0 \leq m \leq \frac{g}{2} - 1)
$$

$m$ odd for $\mathbf{13}$; for $\mathbf{14}$ $m$ even)

Some of these results can also be found in references [18] and [19]. We now know the minimal VEV structure needed to break $G_F$ down to a given subgroup. The maximal VEV structure preserving that subgroup is then achieved by allowing VEVs for all representations, which have at least one component transforming trivially under

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2 A representation is unfaithful if the number of distinct representation matrices is smaller than the order of the group.
the subgroup in question. Therefore we list the transformation properties of the representations of our original dihedral group under a given subgroup. These can be found by expressing the generators of the subgroup in terms of the generators A and B of $G_F$. As a general feature we remark that two-dimensional representations become reducible in several cases. The complete list of decompositions is given in Appendix B along with the maximal VEV structure.

IV. BREAKING CHAINS

We have seen, that a dihedral group will in general have several nontrivial subgroups. We consider all possible breaking patterns of a dihedral group, where the symmetry breaking happens in an arbitrary number of steps. The first step in every chain, will be one of the breakings induced by a single VEV, which we considered in Section III. For the next step we need to consider how a second (different) VEV will further break our symmetry group, by considering the intersection of the group elements leaving both VEVs invariant, thereby finding the subgroup which is conserved by both VEVs. We determine the group structure of these elements - if the new subgroup is in fact smaller than the old one, we have found a viable next step in the breaking sequence. This procedure is then iterated until we reach either a $Z_2$ (which has no further subgroups, being the smallest nontrivial group), or until we reach a $Z_j$ with arbitrary index $j$. $Z_j$ can always be further broken down to a $Z_k$, where $k$ is a divisor of $j$, as we discuss below.

We use the notation $m_j$ for the phase factor $m$ in the VEV $<2_j>$'. This classification according to different phase factors becomes important if a breaking sequence contains VEVs of two distinct two-dimensional representations.

Note the breaking patterns marked with a star. These are not just breaking sequences in their own right, but also can be used as building blocks within or at the end of other sequences. In general, we can reduce the order of a dihedral or cyclic group step by step, until we have reached the subgroup which we want to conserve, as long as the conditions given for the starred breaking sequences are fulfilled at each step.

We find two paths in the breaking sequences, one along the dihedral groups and one along the cyclic groups, in the minimal case eliminating one prime divisor of the order in each step. At any point in the sequence we can step over from the dihedral to the cyclic path (from which there is of course no turning back). The cyclic path ends at $Z_j$, $g$ being the smallest prime factor of $n$, while the dihedral path, will end at $D_1$ or $D'_1$ as these groups are nontrivial. $D_1 \cong Z_2$ is simple, while $D'_1 \cong Z_4$, is not just nontrivial but also non-simple, so that we can break one step further down to the $Z_2$ group generated by $A^n$.

The breaking chains for $D_n$ are:

\[
D_n \xrightarrow{<2_j>} Z_n \xrightarrow{<2_j>} Z_2 \xrightarrow{<2_j>} Z_j \quad (j \mid \frac{n}{2})
\]

\[
D_n \xrightarrow{<2_j>} Z_n \xrightarrow{<2_j>} Z_j \quad (j \mid \frac{n}{2})
\]

\[
D_n \xrightarrow{<2_j>} Z_2 \xrightarrow{<2_j>} Z_j \quad (j \mid \frac{n}{2})
\]

\[
D_n \xrightarrow{<2_j>} Z_2 \xrightarrow{<2_j>} Z_j \quad (j \mid \frac{n}{2})
\]

The corresponding results for $D'_n$ are given in Appendix C. The conditions on the indices can be divided into two types: One concerns the divisibility of indices. These appear, when we need to ensure, that we have not broken too far, i.e. that the subgroup we want to break to is actually contained in the subgroup we have already broken to. The second type of condition concerns restrictions on the phase factors $m_j$. These appear, if the direction in which we have broken is important. Several breaking directions occur, if we deal with distinct subgroups showing the same structure - for example in $D_n$ we have two $D_2$ subgroups: $<A^2, B>$ and $<A^2, BA>$. The $Z_2$ subgroup generated by $BA^m$, for example, is only a subgroup of the first in case of $m$ even and only a subgroup of the second, if $m$ is odd. Hence we need to impose restrictions on the phase factor $m$.

Finally, note that in any of the chains given above we can interchange $1_3$ and $1_4$ and again receive a viable breaking sequence. This may cause some of the requirements to change, as shown along with the breaking chains.

V. MASS MATRICES

We can determine the Dirac mass matrices $M$ which are generated when $G_F$ is broken to one of the subgroups we determined in Section III by Higgs bosons transforming non-trivially under $G_F$. Majorana mass matrices are discussed in Section VI. For simplicity we assume that the
Higgs bosons are $SU(2)_L$ doublets like the one in the SM. The group theoretical tools we need, i.e. the Kronecker products and Clebsch Gordan coefficients, are given in Appendix A. As mentioned in the Introduction, we restrict ourselves in a fairly major way: We do not consider mass matrices with a zero determinant, that is with at least one zero eigenvalue.

We need to decide how the (SM) fermions will transform under $G_F$. Their transformation properties are not limited by our choice of subgroup. The only limitation we impose here, is that we do not want all fermions to transform under one-dimensional representations of $G_F$. Therefore we will not allow for this possibility (for Dirac mass terms)\(^3\), leaving us with two general options for the transformation properties of the (SM) fermions. The first possibility is

\[ L \sim (1_{i_1}, 1_{i_2}, 1_{i_3}), \quad L^c \sim (1_j, 2_k) \]

which we call the three singlet structure. The second possibility is

\[ L \sim (1_i, 2_j), \quad L^c \sim (1_i, 2_k) \]

which we call the two doublet structure. Note, that we do not discuss explicitly the case where the left-handed fermions transform under one and one two-dimensional representation with the left-handed conjugate fermions transforming under three one-dimensional representations, since we only need to transpose the mass matrices of the three singlet structure to switch the transformation properties of left-handed and left-handed conjugate fermions.

The mass matrices arise, when the Higgs bosons acquire a VEV. We do not choose their transformation properties, as we did for the fermion fields. In fact, we do not even limit the number of Higgs bosons. In this way the mass matrix structure is entirely determined by the properties of $G_F$ and its subgroup, and not by our choice of scalar fields. When determining the mass matrix generated by breaking down to a certain subgroup, we reference Table III to Table VI in Appendix B and determine which representations are allowed a VEV, while keeping that subgroup intact. We then start by assuming that our model contains a Higgs boson for each of these possible representations\(^4\) and that all of them acquire a VEV, with a structure conserving the relevant subgroup. We can then easily eliminate Higgs bosons from our model, by setting their VEVs to zero in the mass matrix.

We first give our general results in Section V A. In Section V B we give the conventions and notation we use in Section V C and Section V D where we discuss the three singlet and two doublet structures, respectively, for single-valued groups $D_n$. The resulting mass matrices will be discussed subgroup by subgroup. In Section V E we discuss why no new Dirac mass matrix structures appear for double-valued groups. Finally, we present the possible Majorana mass matrices in Section V F.

\[ L \sim (1_{i_1}, 1_{i_2}, 1_{i_3}), \quad L^c \sim (1_j, 2_k) \]

\[ L \sim (1_i, 2_j), \quad L^c \sim (1_i, 2_k) \]

\[ \begin{pmatrix} A & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & C \end{pmatrix} \]

\[ \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & B \\ 0 & C & 0 \end{pmatrix} \]

\[ \begin{pmatrix} A & 0 & 0 \\ 0 & B & C \\ 0 & D & E \end{pmatrix} \]

\[^3\text{For the case of Majorana mass terms: see below.}\]

\[^4\text{We do not need to consider the case of two Higgs bosons transforming under the same representation of the flavor group: These two Higgs bosons would have identical quantum numbers, and only the linear combination acquiring a VEV would appear in the mass matrices. However, two Higgs fields transforming in the same way can be very important for other aspects of a model, especially when discussing the Higgs potential, as shown in reference [20].}\]

\[^5\text{We have to distinguish these two cases, since our Higgs fields are always assumed to transform as the SM Higgs doublet. In the SM the field $H$ itself couples to the down-type fermions, while its conjugate $H^*$ is coupled to up-type ones. This difference is relevant here, since, for example, we decided to use complex generators for the two-dimensional representations.}\]
them.
Finally, we find two structures, which appear only once, both times for the subgroups $Z_2 = < BA^n >$. One has
one texture zero and the general structure

$$\begin{pmatrix}
0 & C & -Ce^{-i\phi k} \\
A & D & De^{-i\phi k} \\
B & E & Ee^{-i\phi k}
\end{pmatrix}$$

with the group theoretical phase

$$\phi = \frac{2\pi m}{n}.$$ (11)

In this case the squared mass matrix has the characteristic polynomial

$$\lambda^2 - (|A|^2 + |B|^2 + 2|D|^2 + |E|^2) \lambda + (|A|^2 + 2|D|^2)(|B|^2 + 2|E|^2) - |AB|^2 + 2DE^*|^2).$$

This mass matrix only shows up for a three singlet structure. The other mass matrix structure has no texture zeros and is of the form

$$\begin{pmatrix}
A & C & Ce^{-i\phi j} \\
B & D & De^{i(k-j)\phi} \\
Be^{-i\phi j} & Ee^{i(k-j)\phi} & De^{-i(k+j)\phi}
\end{pmatrix}$$

where the squared mass matrix has the characteristic polynomial

$$\lambda^2 - (|A|e^{i\phi j}|2|^2)(\lambda^2 - (|A|^2 + |B|^2 + 2|D|^2 + |E|^2) + (|A|^2 + 2|D|^2)(|B|^2 + 2|E|^2) - |AB|^2 + 2DE^*|^2) + 2|AB|^2 + C|D^* + Ee^{-i\phi j}||^2).$$

This mass matrix only appears with a two doublet structure.

In all cases the characteristic polynomial can be factorized into a linear and a quadratic one in $\lambda$.

We additionally find one case, where all matrix elements are distinct. We do not consider it, as it corresponds to a smaller symmetry being fully broken: $D_n$ breaks down to $Z_2^n$, where for all two-dimensional representations $2^j$, showing up in the model $j$ is a multiple of $q$, i.e. $j = cq$, $c$ an integer. We can then replace the original $D_n$ symmetry by a $D_2^q$ and all the representations $2^j$ by $2c^j$, as they are in fact all unfaithful representations of the original $D_n$ symmetry. Breaking the original symmetry down to $Z_2^n$ then corresponds to fully breaking the smaller symmetry. As we want to consider conserved subgroups, we dismiss this case, when it shows up.

Note, that such a case still allows for some non-trivial correlations among the mass matrix elements. Nevertheless, they are then not determined by a preserved subgroup, but only by the fact that we use a non-abelian symmetry.

\section{Conventions and Notation}

$\kappa$’s and $\alpha$’s denote Yukawa couplings, $< \phi_i >$ denotes the VEV of the Higgs field transforming as $1$, For the VEVs of Higgs fields transforming as $2^j$, we have two possibilities: Either they are allowed to acquire an arbitrary VEV, in which case we denote the VEV by

$$< \psi_j^1 >$$

or they are only allowed a certain VEV structure. As discussed in Section III there is only one such structure. We write the VEV of Higgs fields transforming as $2^j$ and acquiring this VEV structure as

$$< \psi_j > (\frac{1}{e^{\frac{2\pi n}{m}}})$$

Note that the VEV structure only determines the relative phase between the two doublet components, so that we are in general free to decide which component we want to include in the phase factor. We will on several occasions want to make use of this freedom, to simplify the appearance of our mass matrix. For example, if we have a left-handed fermion transforming as $1_3$ or $1_4$ and the left-handed conjugate fermions transforming as $2^j$, we need a Higgs boson transforming as $2^j$ to form an invariant Yukawa coupling. We then write the VEVs of these Higgs fields as

$$< \psi_{n-j} > (\frac{(-1)^n}{e^{\frac{2\pi n}{m}}})$$

In this way, only the phase factor $e^{\frac{2\pi n}{m}}$ shows up in the mass matrix and not its complex conjugate. Similarly the VEV of Higgs fields transforming as $2^j$, if we have two possibilities: $\kappa \rightarrow \kappa^u$ and $\alpha \rightarrow \alpha^u$. Furthermore all VEVs need to be complex conjugated. This corresponds to the following substitutions:

$$< \phi_i > \rightarrow < \phi_i >^*$$

$$< \psi_j^1 > \rightarrow < \psi_j^2 >^*$$

or $< \psi_j > \rightarrow < \psi_j >^* \cdot e^{\frac{2\pi n}{m}}$

These changes are only necessary, if up-type fermions couple to Higgs bosons which transform in the same way.
under $SU(2)_L \times U(1)_Y$ as the Higgs bosons which couple to the down-type fermions, like it is in the SM. If they couple to Higgs bosons with other transformation properties under $SU(2)_L \times U(1)_Y$, as for example in the Minimal Supersymmetric Standard Model (MSSM) with the Higgs doublets $h_u$ and $h_d$, such changes are obsolete and obviously then also the VEVs entering the up- and down-type fermion mass matrices can never be the same. We have in general left all minus signs and phases in the mass matrices, even if they can be trivially rotated away.

C. Three Singlet Structure

To get a mass matrix with a nonzero determinant, at least one two-dimensional representation has to get a VEV, otherwise the second and third columns of the mass matrix will be zero. This means we can ignore all subgroups, where no two-dimensional representation can acquire a VEV, that is a mass matrix with one zero entry. Mass matrices with more than one zero entry or no zero entry give a determinant of zero, and so will not be considered here. This is due to the fact, that there is a correlation between the entry in the first column and the relative sign of the entries in the second and third column. A nonzero entry in the first column necessarily implies either a relative sign between the entries in the other two columns (for $j=2$ and $j=4$) or no relative sign (for $j=1$ and $j=3$) - we cannot however have both cases in the same mass matrix. To see what a typical mass matrix looks like, consider an example. Let $j = 1$, $i_2 = 1$, $i_3 = 2$ and $i_3 = 3$. The mass matrix then reads:

$$
\begin{pmatrix}
0 & \alpha_1 < \psi_k > & -\alpha_1 < \psi_k > e^{-2\pi i / n} \\
\kappa_2 < \phi_1 > & \alpha_2 < \psi_k > & \alpha_2 < \psi_k > e^{-2\pi i / n} \\
\kappa_3 < \phi_3 > & \alpha_3 < \psi_{\frac{-k}{2}} > & \alpha_3 < \psi_{\frac{-k}{2}} > e^{-2\pi i / n}
\end{pmatrix}
$$

(15)

The scalar representations and the position of the zero (within the first column) can vary, depending on our assignment of fermion representations, but the general structure will always be the one texture zero structure of Eq. (13).

2. $D_q = < A^7, BA^m >$

We continue with those cases, where not all two-dimensional representations can acquire a VEV. For the subgroups $D_q$, we only need to consider the case, where $m$ is odd. As the only two-dimensional representation for the fermions is $2_k$, the only relevant two-dimensional representations for Higgs fields are $2_k$ and $2(\frac{q}{2} - k)$. Now we can read off Table 16 that the Higgs bosons transforming under these representations can only acquire a VEV if $q$ divides $k$ and $q$ divides $\frac{q}{2} - k$, respectively. If $\frac{q}{2}$ is even, these two conditions are equivalent, i.e. either both Higgs fields can receive a VEV and we are effectively dealing with a smaller $G_F$ which is then broken down to $Z_2 = < BA^m >$, or neither can receive a VEV, and we end up having the second
and third columns equal to zero.
If, however \(q\) is odd, these two conditions are mutually exclusive (except where \(q = 1\), but \(D_1\) is the same as \(Z_2 = < BA^m >\), and we consider them separately below.
We do not need to consider the case where neither of the two conditions are fulfilled, as then no Higgs field transforming under a two-dimensional representation will acquire a VEV and we are again left with a mass matrix containing two zero column vectors.
As in Section V C 1 we consider the structure of the \(p\)-th row, depending on \(i_p\), \(j\) and \(k\). The simplest entry is the \(M_{p1}\) element. We have, since only \(\phi_1\) can acquire a VEV that \(M_{p1} = \kappa_p < \phi_1 \) if \(i_p = j\) or \(M_{p1} = 0\) otherwise. We give the other entries as building block row vectors ( \(M_{p2} \ M_{p3}\) ). They are, for \(q\) dividing \(k\)

\[
\begin{align*}
  i_p &= 1 : (\alpha_p < \psi_k >, \alpha_p < \psi_k > e^{\frac{-2\pi ikm}{n}}) \\
  i_p &= 2 : (\alpha_p < \psi_k >, -\alpha_p < \psi_k > e^{\frac{-2\pi ikm}{n}}) \\
  i_p &= 3, 4 : (0, 0)
\end{align*}
\]

and for \(q\) dividing \(n\)-th

\[
\begin{align*}
  i_p &= 1, 2 : (0, 0) \\
  i_p &= 3 : \\
  &\quad (-1)^m \alpha_p < \psi_2^{-k}\) >, \(\alpha_p < \psi_2^{-k}\) > \(e^{\frac{-2\pi ikm}{n}})
\end{align*}
\]

As in Section V C 1 the multiple possibilities can be reduced to one general form. This is again due to the fact, that we have a correlation between the element in the first column and those in the second and third columns. Only those rows, for which \(i_p = j\) can have a nonzero element in the first column. So if we choose the element in the first column to be nonzero, we have also determined whether the elements in the second and third columns are zero. We must have at least one zero entry in the second and third column, otherwise we are only breaking a smaller \(G_P\) down to its subgroup \(Z_2 = < BA^m >\), a case we have already discussed. So, we need to choose \(j\) in such a way, that zero elements in the second and third column are not correlated with a zero element in the first column, otherwise we would end up with a zero row vector. This means that \(j = 3\) or \(j = 4\) for \(q\) dividing \(k\), while if \(q\) divides \(n\)-th \(-k\) we must choose \(j = 1\) or \(j = 2\). We can then not have another row, where \(i_p = j\), because there again the elements in the second and third column would be zero and two row vectors would be linearly dependent. So exactly one of the \(i_p\) must be equal to \(j\), say \(i_1\). And we can say even more: To ensure a nonzero determinant, \(i_2\) and \(i_3\) must be unequal, since otherwise the second and third row vector will be linearly dependent. We can then write the particle content more exactly as \(L \sim (1_{i_1}, 1_{i_2}, 1_{i_3})\) and \(L' \sim (1_{i_1}, 2_{i_2})\). All mass matrices will then be of the block form of Eq. (9). We give as an example the entries for \(i_1 = 3\), \(i_2 = 1\), \(i_3 = 2\) and \(q\) dividing \(k\):

\[
\begin{align*}
  A &= \kappa_1 < \phi_1 > \\
  B &= \alpha_2 < \psi_k > \\
  C &= \alpha_2 < \psi_k > e^{\frac{-2\pi ikm}{n}} \\
  D &= \alpha_3 < \psi_k > \\
  E &= -\alpha_3 < \psi_k > e^{\frac{-2\pi ikm}{n}}
\end{align*}
\]

3. \(Z_q = < A^\frac{q}{q} >\)

The major difference between the subgroups \(Z_q\) and \(D_q\) is that in the former all doublets will acquire arbitrary VEVs, and hence the mass matrices will exhibit less symmetry. We have only, as for the \(D_q\) subgroups, to consider \(\frac{q}{q}\) odd. The reasons however are slightly different: As can be inferred from Table III all one-dimensional representations can acquire a VEV if \(\frac{q}{q}\) is even, so either again none of the relevant Higgs bosons that transform under a two-dimensional representation of the flavor group can acquire a VEV (if \(q\) does not divide \(k\)), or we are faced with the case where all Higgs fields relevant for Yukawa terms (that is both those transforming under one-dimensional and under two-dimensional representations) can acquire a VEV - this corresponds to the case discussed in Section V A of a smaller flavor symmetry being fully broken.

So we can again set \(\frac{q}{q}\) to be odd and start by giving the elements in the first column. They are \(M_{p1} = \kappa_p < \phi_1 \) if \(i_p = j\), \(M_{p1} = \kappa_p < \phi_2 \) if \(1_{i_p} \times 1_{i_j} = 1_{i_2}\) or \(M_{p1} = 0\) otherwise, whereas the elements in the second and third columns are, for \(q\) dividing \(k\):

\[
\begin{align*}
  i_p &= 1: (\alpha_p < \psi_k^2, \alpha_p < \psi_k^1 >) \\
  i_p &= 2: (\alpha_p < \psi_k^2, -\alpha_p < \psi_k^1 >) \\
  i_p &= 3, 4: (0, 0)
\end{align*}
\]

and for \(q\) dividing \(\frac{n}{k}\)-th

\[
\begin{align*}
  i_p &= 1, 2: (0, 0) \\
  i_p &= 3: (\alpha_p < \psi_k^1, \alpha_p < \psi_k^2 - k >) \\
  i_p &= 4: (\alpha_p < \psi_k^1, -\alpha_p < \psi_k^2 - k >)
\end{align*}
\]

We can reduce the large number of possibilities. First we set \(j\), which must either be in \(\{1, 2\}\) or in \(\{3, 4\}\). If we want the \(M_{p1}\) element to be nonzero, then \(i_p\) must be in the same set as \(j\) and we also know whether the elements in the second and third column are zero or not. If we now choose \(j\) in such a way, that a nonzero element in the first column implies nonzero elements in the second and third column (and thereby a zero element in the first column implies a zero row vector), we are left to choose between a mass matrix with 9 distinct nonzero elements and a mass matrix with at least one zero row vector and thereby a zero determinant. We therefore need to choose \(j\) in such a way, that a nonzero element in the first column implies a zero in the second and third
column, i.e. j = 3 or j = 4 if q divides k , j = 1 or j = 2 if q divides $\frac{q}{2} - k$. If we however choose two elements in the first column to be nonzero, then those two row vectors will be linearly dependent, and we will have a zero determinant. So, we need to choose one i in the same set as j, while the other two must lie outside that set - and, again, they cannot be equal, to ensure linear independence of the corresponding row vectors. The general structure will then always be a block matrix. We give as an example the entries for the case where j = 3, $i_1 = 4$, $i_2 = 1$, $i_3 = 2$ and q divides k:

$$
A = \kappa_1 < \phi_2 > \\
B = \alpha_2 < \psi_k^1 > \\
C = \alpha_2 < \psi_k^1 > \\
D = \alpha_3 < \psi_k^2 > \\
E = -\alpha_3 < \psi_k^3 >
$$

(17)

D. Two Doublet Structure

In our discussion of the two doublet structure we frequently use an additional index, p, given by $1_j \times 1_j = 1_p$. Without loss of generality we assume $j \geq k$.

For the two doublet structure we will discuss all possible subgroups, as they all give viable mass matrices. Another difference compared to the three singlet structure is that the mass matrices given in this section are also potential candidates for Majorana mass matrices, if we impose the conditions $j = k$ and $i = 1$. If a mass matrix can also be used as a Majorana mass matrix, we will mention this and briefly note, which Yukawa couplings need to be equal in that case and which terms drop out due to anti-symmetry.

1. $Z_n = < A >$

We read off Table III that only $< \phi_1 >$ and $< \phi_2 >$ can get a VEV when conserving this subgroup. This limits our freedom in choosing representations for the fermions: The two doublets must couple to form a $1_1$ or a $1_2$, otherwise the second and third row vectors of the mass matrix will be zero. This imposes the condition $j = k$. Also, we need p = 1 or 2, otherwise the first row vector will turn out to be zero. These restrictions leave us with a semi-diagonal mass matrix structure with entries:

$$
A = \kappa_1 < \phi_p > \\
B = \kappa_2 < \phi_1 > + \kappa_3 < \phi_2 > \\
C = \kappa_2 < \phi_1 > - \kappa_3 < \phi_2 >
$$

(18)

If p = 1 this is also a possible structure for a Majorana mass matrix. In this case the anti-symmetric part, i.e. the terms containing $< \phi_2 >$ drop out.

$$
Z_q = < A^2 >
$$

From the Table III we infer that this subgroup only allows for one-dimensional representations to acquire a VEV. So, we need the product of the two doublets to contain at least one one-dimensional representation. We are thereby left with three possibilities:

Case (i): $j = k$, $j + k \neq \frac{q}{2}$ gives a semi-diagonal matrix.

$$
A = \kappa_3 < \phi_p > \\
B = \kappa_1 < \phi_1 > + \kappa_2 < \phi_2 > \\
C = \kappa_1 < \phi_1 > - \kappa_2 < \phi_2 >
$$

(19)

Case (ii): $j + k = \frac{q}{2}$, $j = k = \frac{q}{4}$ gives a block structure.

$$
A = \kappa_5 < \phi_p > \\
B = \kappa_3 < \phi_3 > + \kappa_4 < \phi_4 > \\
C = \kappa_1 < \phi_1 > + \kappa_2 < \phi_2 > \\
D = \kappa_1 < \phi_1 > - \kappa_2 < \phi_2 > \\
E = \kappa_3 < \phi_3 > - \kappa_4 < \phi_4 >
$$

(20)

Case (iii): $j \neq k$, $j + k = \frac{q}{4}$ gives a diagonal structure.

$$
A = \kappa_3 < \phi_p > \\
B = \kappa_1 < \phi_3 > + \kappa_2 < \phi_4 > \\
C = \kappa_1 < \phi_3 > - \kappa_2 < \phi_4 >
$$

(21)

Cases (i) and (ii) are also a possibility for Majorana mass matrices. In this case the anti-symmetric terms containing $< \phi_2 >$ drop out.

3. $Z_q = < A^\dagger A >$

This subgroup requires quite an amount of case differentiation, since we want to make the discussion as general as possible, and allow all possible relations between q and the other indices of the model. We will first discuss the case where $\frac{n}{q}$ is even, and then, at the end of this subsection, discuss the slight changes induced by $\frac{n}{q}$ being odd. As an ordering principle in our discussion, we have taken the structure of the resulting mass matrix, as only the three characteristic types discussed in Section VA will show up.

Most of the conditions deal with the question, which two-dimensional representations are allowed a VEV. This translates directly into deciding whether q divides the index of that representation. The two-dimensional representations which can show up are $2_j$ and $2_k$, $2_{(\frac{q}{2} - j)}$ and $2_{(\frac{q}{2} - k)}$ from the coupling of two-dimensional with one-dimensional representations and $2_{j+k}$ from the coupling of the two two-dimensional representations. We will only give mass matrices for the case where $2_j \times 2_k$ contains $2_{j+k}$. Mass matrices for the case where it contains $2_{(n-(j+k))}$ can be obtained by replacing $\psi_{j+k}$ by $\psi_{n-(j+k)}$ and then switching the components of the doublet (see Appendix A2).
As $q$ must divide $n$ for $Z_q$ to be a subgroup of $D_n$, $q$ dividing $j+k$ and $q$ dividing $n-(j+k)$ are equivalent. As already noted, $q$ dividing $j$ is equivalent to $q$ dividing $\frac{n}{2} - j$, if $\frac{n}{q}$ is even, which we assume for this discussion.

To ensure a nonzero determinant, $q$ must at least divide either $j-k$ or $j+k$: If not, the two-by-two submatrix in the lower right-hand corner of the mass matrix will be zero. This implies directly that $q$ must divide either both $j$ and $k$, or neither of the two. If $q$ divides $j$ and $k$ however, then it also divides $j+k$ and $j-k$, hence all relevant two-dimensional representations can acquire an arbitrary VEV and all one-dimensional representations can acquire a VEV anyway. As this leads to the case where the mass matrix contains 9 distinct entries, we disregard this case. We summarize our findings in Table I and discuss the different cases below in detail.

### Diagonal Matrix

This structure appears in the following case: $q$ must divide $j+k$ but $q$ does not divide $(j-k)$, $j$ or $k$. Note that this case is not possible for $q=2$, since the sum and the difference of two numbers are either both odd or both even, nor is it possible for $j=k$. For $j+k \neq \frac{n}{2}$ this gives

\[
\begin{align*}
A &= \kappa_1 < \phi_p > \\
B &= \kappa_2 < \psi_{j+k}^1 > \\
C &= \kappa_2 < \psi_{j+k}^1 >
\end{align*}
\]

If $j+k = \frac{n}{2}$ the mass matrix entries are

\[
\begin{align*}
A &= \kappa_3 < \phi_p > \\
B &= \kappa_1 < \phi_3 > + \kappa_2 < \phi_4 > \\
C &= \kappa_1 < \phi_3 > - \kappa_2 < \phi_4 >
\end{align*}
\]

### Semi-diagonal Matrix

This structure shows up, if $q$ divides $(j-k)$, but not $j+k$, $j$ or $k$. This is not possible if $q = 2$, nor is it possible for $j+k = \frac{n}{2}$, since this contradicts the conditions

\[
q \nmid (j+k) = \frac{n}{2} \text{ and } \frac{n}{4} \text{ being even. This leaves two cases:}
\]

For $j \neq k$ the mass matrix entries are

\[
\begin{align*}
A &= \kappa_2 < \phi_p > \\
B &= \kappa_1 < \phi_{j-k}^2 > \\
C &= \kappa_1 < \phi_{j-k}^1 >
\end{align*}
\]

while for $j = k$ we get

\[
\begin{align*}
A &= \kappa_3 < \phi_p > \\
B &= \kappa_1 < \phi_1 > + \kappa_2 < \phi_2 > \\
C &= \kappa_1 < \phi_1 > - \kappa_2 < \phi_2 >
\end{align*}
\]

which is a candidate for a Majorana mass matrix if we omit the anti-symmetric terms.

### Block Matrix

This structure shows up, if $q$ divides $(j-k)$ and $j+k$, but not $j$ and $k$. This forces $q$ to be even, as $q$ must divide $2j = (j-k) + (j+k)$ while not dividing $j$, that is a factor of 2 is relevant for making a number divisible by $q$. In case $j+k = \frac{n}{2}$, $j \neq k$ we get

\[
\begin{align*}
A &= \kappa_4 < \phi_p > \\
B &= \kappa_1 < \phi_3 > + \kappa_2 < \phi_4 > \\
C &= \kappa_3 < \phi_{j-k}^1 >
\end{align*}
\]

In case $j + k = \frac{n}{2}$ and $j \neq k$, we get

\[
\begin{align*}
A &= \kappa_3 < \phi_p > \\
B &= \kappa_2 < \psi_{j+k}^1 > \\
C &= \kappa_1 < \psi_{j+k}^2 > \\
D &= \kappa_1 < \phi_3 > - \kappa_2 < \phi_4 > \\
E &= \kappa_2 < \psi_{j+k}^2 >
\end{align*}
\]

and if $j+k \neq \frac{n}{2}$ and $j = k$, we get

\[
\begin{align*}
A &= \kappa_4 < \phi_p > \\
B &= \kappa_3 < \psi_{j,k}^1 > \\
C &= \kappa_1 < \phi_1 > + \kappa_2 < \phi_2 > \\
D &= \kappa_1 < \phi_1 > - \kappa_2 < \phi_2 > \\
E &= \kappa_3 < \psi_{j,k}^2>
\end{align*}
\]

Finally, concerning the case $j = k$, $j+k = \frac{n}{2}$: this leads us to the conditions $q \mid \frac{n}{2}$ and $q \nmid \frac{n}{4} = j = k$, which implies either $q = \frac{n}{4}$ (already covered) or $q$ even and $\frac{n}{4}$ odd. The mass matrices are the same in both cases, with entries:

\[
\begin{align*}
A &= \kappa_5 < \phi_p > \\
B &= \kappa_3 < \phi_3 > + \kappa_4 < \phi_4 > \\
C &= \kappa_1 < \phi_1 > + \kappa_2 < \phi_2 > \\
D &= \kappa_1 < \phi_1 > - \kappa_2 < \phi_2 > \\
E &= \kappa_3 < \phi_3 > - \kappa_4 < \phi_4 >
\end{align*}
\]

---

7 This includes the case $j=k$, as all numbers divide zero, corresponding to the fact, that \( \frac{1}{1} \) and \( \frac{1}{2} \) can get a VEV for an arbitrary $q$. 

| $q$ divides | Structure | $\text{Det}[M]=0$ |
|------------|-----------|------------------|
| $\times$   | Full      | $\times$         |
| $\times$   | Semi-diagonal | $\times$         |
| $\times$   | Diagonal  | $\times$         |
| $\times$   | Block     | $\times$         |

| $q$ divides | $j+k$ | $j-k$ |
|------------|------|------|
| $\times$   | $\times$ | $\times$ |
| $\times$   | $\times$ | $\times$ |
| $\times$   | $\times$ | $\times$ |

TABLE I: Index relations and corresponding mass matrix structure.
For $\frac{\pi}{4}$ even $q$ either divides both $j$ and $(\frac{\pi}{4} - j)$ or neither, and we need no further case differentiation.

If however $\frac{n}{q}$ is odd, we need to pay closer attention. In case $i$ and $l$ are both in $\{1, 2\}$, the discussion is as above, since $2\frac{\pi}{4}(\frac{\pi}{4} - j)$ and $2\frac{\pi}{4}(\frac{\pi}{4} - k)$ will not show up as the representation of a Higgs field. In case $i$ and $l$ are both in $\{3, 4\}$ we can also use the above discussion if we substitute $j$ by $(\frac{\pi}{4} - j)$ and $k$ by $(\frac{\pi}{4} - k)$ in the conditions, which changes nothing concerning the conditions regarding sums and differences, as $q$ will always divide $n$. Further changes occur due to the fact that $\phi_{3,4} \sim \frac{\pi}{4}$ are not allowed a VEV anymore, see Table [III].

Finally, we are left with the following: We drop for a moment the condition that $j \geq k$ and instead impose the condition $i \in \{1, 2\}$ and $l \in \{3, 4\}$. This means that $M_{11}$ will be zero, since $p = 3$ or $4$ and $\phi_p$ is then not allowed a VEV due to $\frac{\pi}{4}$ being odd. If we now want to avoid having a zero column or row vector in our mass matrix, both $\psi_{\frac{\pi}{4} - j}$ and $\psi_b$ must acquire a VEV, i.e. $q$ must divide $k$ and $(\frac{\pi}{4} - j)$. By assumption however, $q$ does not divide $\frac{\pi}{4}$, which leads us straight to the conclusion that $q$ does not divide $j + k$ or $|j - k|$, thereby leaving the two-by-two matrix in the lower-right-hand corner of the mass matrix zero, which we have excluded.

4. $Z_2 = < BA^m >$

As this structure also strongly depends on the one-dimensional representations under which the fermions transform, we have reduced it entirely to building blocks, to avoid having to deal with too many subcases. As we can read off Table [III] all Higgs bosons transforming under a two-dimensional representation will acquire a structured VEV. The only constraints that arise are therefore due to the Higgs bosons transforming under one-dimensional representations. This means that the $M_{11}$ entry is of special interest. We will first write down the general structure and then use this to explain, why $M_{11}$ has to be nonzero to ensure a nonzero determinant.

$$
\begin{pmatrix}
\kappa_3 w & \kappa_4 X_1 & \kappa_4 Y_1 e^{-\frac{2\pi \text{k} m}{n}} \\
\kappa_5 X_2 & \kappa_5 Y_2 e^{-\frac{2\pi \text{j} m}{n}} & \kappa_2 v e^{-\frac{2\pi \text{m} (j - k)}{n}} \\
\kappa_5 Y_2 e^{-\frac{2\pi \text{m} (j - k)}{n}} & \kappa_2 v e^{-\frac{2\pi \text{m} (j - k)}{n}} & \kappa_1 v e^{-\frac{2\pi \text{m} (j - k)}{n}}
\end{pmatrix}
$$

(30)

where:

- $u = < \phi_3 >$ if $j + k = \frac{\pi}{4}$, $m$ even
- $u = < \phi_4 >$ if $j + k = \frac{\pi}{4}$, $m$ odd
- $u = < \psi_{\min (j + k, n - j - k)} >$ if $j + k \neq \frac{\pi}{4}$

- $v = < \phi_1 >$ if $j = k$
- $v = < \psi_{j - k} >$ if $j \neq k$
- $w = < \phi_p >$ where $p = 1, 3$ if $m$ even, $p = 1, 4$ if $m$ odd

Note that some of the phase factors degenerate to signs, in case of $j = k$ or $j + k = \frac{\pi}{4}$. $X_1$ and $Y_1$ depend on the transformation properties of the doublets and singlets involved, i.e. $X_1$ and $Y_1$ depend on $i$ and $k$, $X_2$ and $Y_2$ depend on $j$ and $l$. Building blocks for $X_1$ and $Y_1$ are given in Table [IV]. The same table can be used for $X_2$ and $Y_2$, substituting $l$ for $i$ and $j$ for $k$.

For the discussion of the $M_{11}$ element let us assume that $m$ is even; the reasoning for an odd $m$ will be analogous. $w = 0$ implies that $p = 2$ or $4$, that is $i = 1$ or $3$ while $l = 2$ or $4$. Switching $i$ and $l$ is also possible - the reasoning is again analogous. If we now consult the table for the $X$ and $Y$ entries, we see that this implies a relative minus sign between the $X_2$ and $Y_2$ entries, while there is no relative minus sign between the $X_1$ and $Y_1$ entries, so the sum of the second and third row vector is proportional to the first row vector. This is true also with nontrivial phases. Therefore $w \neq 0$ must hold.

If $j = k$ and $i = 1$, the matrix can be made symmetric by imposing $\kappa_4 = \kappa_5$ and can then also show up as a Majorana mass matrix.

5. $D_{\phi} = < A^2, B >$ and $D_{\phi} = < A^2, BA >$

The discussion for these subgroups is very similar to that of the subgroup $Z_{\phi}$, as only one-dimensional representations can receive a VEV, however in this case not all of them (see Table [IV]). We receive the mass matrices for $D_{\phi} = < A^2, B >$ by simply eliminating all terms containing $\phi_2$ and $\phi_4$ from the mass matrices for $Z_{\phi}$, making sure that we do not end up with a zero determinant. To prevent this, $\kappa_5$ must be allowed a VEV, that is $p$ must be 1 or 3. We can then distinguish between the following three sub-cases as for $Z_{\phi}$:

Case (i): $j = k$ gives a semi-diagonal structure.

$A = \kappa_2 < \phi_p >$ (31)
$B = \kappa_1 < \phi_1 >$
$C = \kappa_1 < \phi_1 >$

Case (ii): $j = k$ gives a block structure.

$A = \kappa_3 < \phi_p >$ (32)
$B = \kappa_2 < \phi_3 >$
$C = \kappa_1 < \phi_1 >$
$D = \kappa_1 < \phi_1 >$
$E = \kappa_2 < \phi_3 >$

Case (iii): $j \neq k$, $j + k = \frac{\pi}{4}$ gives a diagonal structure.

$A = \kappa_2 < \phi_p >$ (33)
$B = \kappa_1 < \phi_3 >$
$C = \kappa_1 < \phi_3 >$

Note that in cases (i) and (iii) we get two degenerate eigenvalues. We can get the mass matrices generated by breaking to $D_{\phi} = < A^2, BA >$ by substituting $\phi_3$ by $\phi_4$ in the matrices above. In this case $p$ must either be 1 or
4 and there is also a relative minus sign between the two occurrences of $<\phi_4>$. Case (i) and (ii) are applicable for Majorana mass matrices - in this case $p=1$ since $i$ must be equal to $1$.

6. $D_q=<A\pi^2,BA^m>$

This case is very similar to the $Z_q$ case. The two differences are: a) Less one-dimensional representations are allowed a VEV and b) the two-dimensional representations are only allowed a structured VEV (see Table IV). The first difference implies restrictions on the index $p$ - if the entry in the upper left-hand corner is zero, the determinant is zero too. So, we need to impose the condition that $\frac{1}{q}p$ is allowed a VEV which depends on the evenness and oddness of $m$ and $\frac{2}{q}$, see Table IV.

The second difference means replacing the arbitrary doublet VEV components by one with same absolute value and fixed relative phase. The case where we have 9 independent entries thereby does not show up here - instead the full matrix structure now corresponds to breaking a smaller $G_F$ down to $Z_2=<BA^m>$. We briefly comment on this at the end of this subsection.

We will do the entire discussion assuming an even $m$. This means $p$ has to be either 1 or 3 ($\frac{2}{q}$ even). To get the mass matrices for an odd $m$ exchange $\phi_3$ and $\phi_4$, taking care to also switch indices in the conditions. The relative signs that occur in this case are encoded in factors of $(-1)^m$, which are therefore left in, even though the rest of the discussion concerns only even $m$.

As for the case of $Z_q$ there are no major differences between $\frac{n}{q}$ being even or odd, except that we need to impose the condition $p=1$, if $\frac{2}{q}$ is odd, as then only $\frac{1}{4}$ is allowed a VEV. Furthermore, if $i$ and $l$ are in $\{3,4\}$, one must also replace $j$ and $k$ by $\frac{2}{q}-j$ and $\frac{2}{q}-k$ in the conditions, respectively. The structuring we use is the same as in Section IVD3., that is the mass matrices are classified according to their structure.

Diagonal Matrix

For this structure we must have $q$ dividing $j+k$ but $q$ not dividing $(j-k)$, $j$ or $k$. This is not possible for $q=2$ nor for $j=k$. For $j+k \neq \frac{n}{2}$ this gives

\begin{align*}
A &= \kappa_1 <\phi_p> \\
B &= \kappa_2 <\psi_{j+k}> \\
C &= \kappa_2 <\psi_{j+k}> e^{\frac{2\pi im(j+k)}{n}}
\end{align*}

If $j+k = \frac{n}{2}$ the mass matrix entries are

\begin{align*}
A &= \kappa_2 <\phi_p> \\
B &= \kappa_1 <\phi_3> \\
C &= (-1)^m \kappa_1 <\phi_3>
\end{align*}

Note, that for both of these matrices the squared mass matrix $MM^\dagger$ has two degenerate eigenvalues.

Semi-diagonal Matrix

For this structure $q$ must divide $(j-k)$, but not $j+k$, $j$ or $k$. This is not possible if $q=2$, nor is it possible for $j+k = \frac{n}{2}$. We are left with two cases: If $j \neq k$ we end up with

\begin{align*}
A &= \kappa_2 <\phi_p> \\
B &= \kappa_1 <\psi_{j-k}> \\
C &= \kappa_1 <\psi_{j-k}> e^{\frac{2\pi im(j-k)}{n}}
\end{align*}

while for $j = k$ we get

\begin{align*}
A &= \kappa_3 <\phi_p> \\
B &= \kappa_1 <\phi_1> \\
C &= \kappa_1 <\phi_1>
\end{align*}

which is a candidate for a Majorana mass matrix if $i=1$. Both these matrices give degenerate eigenvalues in the squared mass matrix.

Block Matrix

This structure shows up, for $q$ dividing $(j-k)$ and $j+k$, but not $j$ and $k$. This forces $q$ to be even. For $j+k = \frac{n}{2}$, $j \neq k$ we get

\begin{align*}
A &= \kappa_2 <\phi_p> \\
B &= \kappa_1 <\phi_3> \\
C &= \kappa_3 <\psi_{j-k}> \\
D &= \kappa_3 <\psi_{j-k}> e^{\frac{2\pi im(j-k)}{n}} \\
E &= (-1)^m \kappa_1 <\phi_3>
\end{align*}

In case $j+k \neq \frac{n}{2}$ and $j \neq k$, we get

\begin{align*}
A &= \kappa_3 <\phi_p> \\
B &= \kappa_2 <\psi_{j+k}> \\
C &= \kappa_1 <\psi_{j-k}> \\
D &= \kappa_1 <\psi_{j-k}> e^{\frac{2\pi im(j-k)}{n}} \\
E &= \kappa_2 <\psi_{j+k}> e^{\frac{2\pi im(j+k)}{n}}
\end{align*}
If \( j + k \neq \frac{q}{2} \) and \( j = k \), we get
\[
A = \kappa_2 < \phi_p > \\
B = \kappa_3 < \phi_2 > \\
C = \kappa_1 < \phi_1 > \\
D = \kappa_1 < \phi_1 > \\
E = \kappa_3 < \psi_2 > e^{-\frac{\kappa_1 m}{m}}
\]
and if \( j + k = \frac{q}{2} \) and \( j = k = \frac{q}{4} \), that is for \( q \) even and \( \frac{q}{4} \) odd, we get
\[
A = \kappa_3 < \phi_p > \\
B = \kappa_2 < \phi_3 > \\
C = \kappa_1 < \phi_1 > \\
D = \kappa_1 < \phi_1 > \\
E = (-1)^m \kappa_2 < \phi_3 >
\]

Full Matrix

If \( q \) divides all relevant indices, that is if it divides \( j \) and \( k \) and thereby automatically divides \( (j-k) \) and \( j+k \), we are actually breaking a smaller \( G_F \) down to its subgroup \( Z_2 = < BA^m > \), as discussed in Section V C 2. This is because \( Z_2 = < BA^m > \) is equivalent to \( D_1 \), where the above conditions are automatically fulfilled, as \( 1 \) divides any integer. We therefore do not need to consider this case.

### E. Mass Matrices in \( D_n' \)

One can immediately see, that the Dirac mass matrix structures for double-valued dihedral flavor symmetries are very similar to those generated by single-valued groups. A general correspondence between subgroups of \( D_n \) and \( D_n' \) can be established, by looking at the allowed VEVs in Table III to Table VII

\[
\begin{array}{c|ccccccc}
D_n & Z_n & Z_2 & Z_n & Z_2 & D_2 & D_2 & Z_{n=2} & Z_2 \\
D_n' & Z_2n & Z_n & Z_2 & Z_n & D_2 & D_2 & Z_{n=2} & Z_2 \\
\end{array}
\]

As one can see \( n \) always has to be replaced by \( 2n \) in the discussion. The only relevant difference between single-and double-valued groups is the existence of odd representations. Therefore, at least one of the fermion generations should transform as an odd representation in order to find possible new mass matrix structures.

Odd two-dimensional representations do not get a structured VEV and in case they get a VEV, all VEVs will be arbitrary. This is only possible for the subgroup \( Z_q \). Similarly, for the odd one-dimensional representations, i.e. \( \mathbf{13} \) and \( \mathbf{14} \) of \( D_n' \) with \( n \) odd, only two subgroups allow non-vanishing VEVs, namely \( Z_n \) and \( Z_2 \) for \( \frac{\kappa_1}{q} \) being even. Note that in both cases \( \mathbf{13} \) and \( \mathbf{14} \) simultaneously get a VEV.

The only changes that appear are additional signs due to differences in the Clebsch Gordan coefficients (see Appendix A 2). If the Higgs fields transform as odd representations, such additional signs are not relevant, since they can be absorbed into the VEVs, as these are arbitrary anyway.

Furthermore one sees, that if at least one of the fermion representations is an odd two-dimensional representation, this results in the 3rd column being multiplied by -1 for the mass matrix structures of Eq. (7), Eq. (9) and Eq. (11). These additional signs can be rotated away by redefining the left-handed conjugate fermions. We would need the mass matrix structure of Eq. (11) or Eq. (12) for this sign change to have phenomenological consequences. These mass matrix structures however only appear for the subgroup \( Z_2 = < BA^m > \), which has no counterpart for double-valued groups, so that these two structures do not arise for double-valued groups.

### F. Majorana Mass Matrices

Majorana mass matrices correspond to Yukawa couplings involving two identical fermions, either \( L \) or \( L^c \). The rel-
evant Higgs fields are then $SU(2)_L$ triplets or gauge singlets, respectively, whereby VEVs of total singlets can be replaced by direct mass terms. The fact that we couple identical fermions, forces Majorana mass matrices to be symmetric.

One comment is in order concerning our exclusion criterion of demanding a nonzero determinant: This is no longer phenomenologically motivated in this case, since Majorana masses are only allowed for neutrinos and the data still allow one neutrino to be massless. Nevertheless, we restrict ourselves in this way in order to keep the discussion manageable.

We have already mentioned when and how Majorana mass terms can show up if the Majorana fermions transform under one two- and one one-dimensional representation of $G_F$, as these correspond to mass matrices of the two doublet type. For $D'_n$, we have to mention that in case of odd two-dimensional representations the terms containing $<\phi_1>$ are anti-symmetric. So, whenever we remark in Section [VII] that the anti-symmetric terms containing $<\phi_2>$ drop out, it is instead the terms containing $<\phi_1>$ that will drop out. This does not lead to any new structures compared to the Dirac case.

However, other structures can appear, since we allow either $L$ or $L'$ to transform under three one-dimensional representations of $G_F$. Thereby we also need to consider the case where all fermions in the Yukawa term transform under one-dimensional representations. For $D_n$ with $n$ arbitrary and for $D'_n$ with $n$ even we know that, in addition to the Majorana mass matrix being symmetric, all diagonal entries will be nonzero, as two identical one-dimensional representations always couple to form a trivial representation.

We first discuss the case of $n$ even for $D_n$ and $D'_n$. Looking at Table [III] to Table [VI] we see that depending on the preserved subgroup the following one-dimensional representations can get a VEV: only $\mathbf{1}_1$ and $\mathbf{1}_{i1}$ with $i \neq 1$ or all one-dimensional representations. Especially, the case in which three representations get a VEV is excluded. Concerning the assignment of the fermions we can distinguish the following three cases: either all three generations transform in the same way or two of them transform as the same representation or all three transform as different representations. If the three generations are assigned to $(\mathbf{1}_i, \mathbf{1}_j, \mathbf{1}_k)$, all mass matrix entries are non-zero, i.e. the Majorana mass matrix is a general symmetric matrix with 6 independent parameters, as $\mathbf{1}_i \times \mathbf{1}_j = \mathbf{1}_1$ holds. For the assignment $(\mathbf{1}_{i1}, \mathbf{1}_{j1}, \mathbf{1}_{k2})$ there exist two possible structures: either the matrix has a block structure or it has 6 independent entries. In the first case one has to ensure that $\mathbf{1}_{i1} \times \mathbf{1}_{j2}$ is not allowed a VEV by the preserved subgroup, while in the second case $\mathbf{1}_{i1} \times \mathbf{1}_{j2}$ should also acquire a VEV. In the last case, all fermions transform under different one-dimensional representations, which allows apart from the block and the arbitrary structure the possibility of having a matrix with non-vanishing entries on the diagonal only. The case only occurs, if the preserved subgroup only allows $\mathbf{1}_1$ to acquire a VEV and therefore the flavor symmetry is not broken in the Majorana mass sector.

For the case of $D_n$ with $n$ odd, we only have two one-dimensional representations to choose from. Therefore at least two generations of fermions have to transform under the same representation, forbidding the structure with non-vanishing entries only on the diagonal. For $D'_n$ with $n$ odd, structures not found above could only arise from fermion assignments involving the representations $\mathbf{1}_3$ and $\mathbf{1}_4$, as $\mathbf{1}_3$ and $\mathbf{1}_4$ are complex and hence $\mathbf{1}_3 \times \mathbf{1}_3$ and $\mathbf{1}_4 \times \mathbf{1}_4 = \mathbf{1}_2$. This means if $\mathbf{1}_2$ is not allowed a VEV, we can have zero elements on the diagonal. However, we find that if $\mathbf{1}_2$ is not allowed a VEV, only the trivial representation $\mathbf{1}_1$ is allowed a VEV for $n$ odd, i.e. the dihedral symmetry is unbroken in the Majorana mass sector. The structure arising from $L^{(c)} \sim (\mathbf{1}_1, \mathbf{1}_2, \mathbf{1}_3, \mathbf{1}_4)$ with $i_1 \in \{1, 2\}$ and $i_2, i_3 \in \{3, 4\}$ is then the same as in case of the doublet assignment, if the two odd one-dimensional representations $\mathbf{1}_3$ and $\mathbf{1}_4$ are replaced by the doublet, i.e. it is a semi-diagonal matrix with the obvious restriction that $C$ equals $B$.

As already mentioned, if $\mathbf{1}_1$ is the only representation which gets a VEV, the flavor symmetry is unbroken in the Majorana sector. This happens in models in which the flavor symmetry is only spontaneously broken at a low energy scale, for example at the electroweak scale. All the possible mass matrix structures have been enumerated above.

VI. APPLICATIONS

In this section we show that we can predict the Cabibbo angle, i.e. $|V_{us}|$, in the quark sector in terms of group theoretical quantities, i.e. the index $n$ of the group, the index of the representations $i$, $j$, $k$ and $l$ under which the generations of left- and left-handed conjugate fields transform,

$$Q \sim (\mathbf{1}_1, \mathbf{2}_3), \quad d^c, u^c \sim (\mathbf{1}_1, \mathbf{2}_k),$$

and the breaking direction in flavor space, $m_u$ and $m_d$. In Section [VIII] we showed that in case of a preserved subgroup $Z_2 = \langle \mathbf{B}^{m_u} \rangle$ of $D_n$ the resulting mass matrix $M_d$ for the down quark sector is of the form

$$M_d = \begin{pmatrix}
A_d & C_d & C_d e^{-i\phi d k} \\
B_d & B_d e^{-i\phi d j} & E_d \\
D_d e^{-i\phi d j} & E_d & D_d e^{-i\phi d (j+k)}
\end{pmatrix}$$

(42)

where we defined:

$$\phi_d = \frac{2 \pi}{n} m_d \quad m_d = 0, 1, 2, \ldots$$

such that we break down to a subgroup $Z_2 = \langle \mathbf{B}^{m_d} \rangle$ of $D_n$. $A_d, B_d, \ldots$ are in general independent complex num-
bers which are products of VEVs and Yukawa couplings. $M_d M_d^T$ can be diagonalized by the unitary matrix

$$U_d = \begin{pmatrix}
\cos(\theta_d) e^{i \beta_d} & 0 & \sin(\theta_d) e^{i \beta_d} \\
-\frac{\sin(\theta_d)}{\sqrt{2}} e^{-i \phi_d} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} e^{-i \phi_d} \\
-\frac{\sin(\theta_d)}{\sqrt{2}} e^{i \phi_d} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} e^{i \phi_d}
\end{pmatrix}$$  \hspace{1cm} (43)

with $\beta_d = \text{arg} \left[ A_d B_d^\ast + C_d (D_d + E_d e^{i \delta\phi_d}) \right]$ and $\theta_d$ depending on $A_d, B_d, ...$, in a non-trivial way. The mass eigenvalues for the first, second and third generation are then:

$$m_1^2 = \frac{1}{2} \left[ |A_d|^2 + 2 (|B_d|^2 + |C_d|^2) + |D_d + E_d e^{i \delta\phi_d}|^2 \right]$$  \hspace{1cm} (44)

$$-(-|A_d|^2 + 2 (|B_d|^2 - |C_d|^2) + |D_d + E_d e^{i \delta\phi_d}|^2) \sec(2\theta_d),$$

$$m_2^2 = \frac{1}{2} \left[ |A_d|^2 + 2 (|B_d|^2 + |C_d|^2) + |D_d + E_d e^{i \delta\phi_d}|^2 \right]$$

$$+(-|A_d|^2 + 2 (|B_d|^2 - |C_d|^2) + |D_d + E_d e^{i \delta\phi_d}|^2) \sec(2\theta_d)$$

The mass matrix $M_u$ for the up-type quarks has the form:

$$M_u = \begin{pmatrix}
A_u & C_u e^{i \phi_u} \\
B_u e^{i \phi_u} & D_u e^{i \phi_u (j+k)} & E_u e^{i \phi_u (j-k)} \\
B_u & E_u & D_u
\end{pmatrix}$$  \hspace{1cm} (45)

with

$$\phi_u = \frac{2\pi}{n} m_u, \quad m_u = 0, 1, 2, ...$$

$$V_{CKM} = U_d^T U_d^* \frac{1}{2} \begin{pmatrix}
-x_+ & -x_+ & e^{i \phi_d} x_- s_d \\
2 e^{i \alpha} c_d s_u + y_d s_d s_u & -e^{-i \phi_d} y_- s_u & 2 e^{i \alpha} c_d c_u s_d - y_d c_d s_u \\
y_+ c_d c_u + 2 e^{i \alpha} s_d c_u
\end{pmatrix}$$

The other two mixing angles $\theta_{13}$ and $\theta_{23}$ can be tuned by the use of the two unconstrained angles $\theta_1$ and $\theta_2$. The Jarlskog invariant $J_{CP}$ \cite{21} depends on the phase $\alpha$. In this way the experimental value of $J_{CP}$ of $3.08 \times 10^{-5}$ can be reproduced.

In case of $n = 7$ and $(m_u - m_d) = 3$, e.g. $m_d = 0$, $m_u = 1$ and $j = 3$, we arrive at a value of $|\cos(2\theta_2)| = 0.2225$ for $|V_{us}|$ which is only $2\%$ smaller than the measured value $0.2272 \pm 0.0010$ \cite{1}. In other words the size of the Cabibbo angle can be explained by group theoretical means derived from a flavor symmetry $D_n$ which is

such that $Z_2 = \{BA^{n\ast}\}$ is the subgroup of $D_n$ which is preserved by the Higgs fields coupling to the up-type quarks. $A_u, B_u, ...$, are in general complex quantities like $A_d, B_d, ...$

The unitary transformation of the left-handed fields is given by:

$$U_u = \begin{pmatrix}
0 & \cos(\theta_u) e^{i \beta_u} & \sin(\theta_u) e^{i \beta_u} \\
-\frac{\sin(\theta_u)}{\sqrt{2}} e^{-i \phi_u} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} e^{-i \phi_u} \\
-\frac{\sin(\theta_u)}{\sqrt{2}} e^{i \phi_u} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} e^{i \phi_u}
\end{pmatrix}$$  \hspace{1cm} (46)

where $\beta_u = \text{arg} \left[ A_u B_u^\ast + C_u (D_u + E_u e^{-i \phi_u}) \right] - \phi_u j$ and $\theta_u$ is a function of the parameters $A_u, B_u, ...$

The masses for the first, second and third generation read:

$$m_u = |D_u - E_u e^{-i \phi_u}|,$$

$$m_2^2 = \frac{1}{2} \left[ |A_u|^2 + 2 (|B_u|^2 + |C_u|^2) + |D_u + E_u e^{-i \phi_u}|^2 \right]$$

$$-(-|A_u|^2 + 2 (|B_u|^2 - |C_u|^2) + |D_u + E_u e^{-i \phi_u}|^2) \sec(2\theta_u),$$

$$m_3^2 = \frac{1}{2} \left[ |A_u|^2 + 2 (|B_u|^2 + |C_u|^2) + |D_u + E_u e^{-i \phi_u}|^2 \right]$$

$$+(-|A_u|^2 + 2 (|B_u|^2 - |C_u|^2) + |D_u + E_u e^{-i \phi_u}|^2) \sec(2\theta_u)$$

Taking Eq.\cite{13} and Eq.\cite{14} we arrive at the following form for the CKM mixing matrix $V_{CKM}$:

$$V_{CKM} = U_d^T U_d^* \frac{1}{2} \begin{pmatrix}
-x_+ & -x_+ & e^{i \phi_d} x_- s_d \\
2 e^{i \alpha} c_d s_u + y_d s_d s_u & -e^{-i \phi_d} y_- s_u & 2 e^{i \alpha} c_d c_u s_d - y_d c_d s_u \\
y_+ c_d c_u + 2 e^{i \alpha} s_d c_u
\end{pmatrix}$$  \hspace{1cm} (48)

Note further that only the transformation properties of the left-handed fields which form a doublet under the flavor group $D_n$ are relevant, since only their representation index $j$ appears in the expressions Eq.\cite{18} and Eq.\cite{19}.

\footnote{To be correct, the phases $\beta_{u,d}$ also depend on the index $k$ of the doublet representation under which the left-handed conjugate fields transform. Nevertheless these phases depend on many other parameters $A_{u,d}, B_{u,d}, ...$ such that they are primarily not determined by the group theory of the flavor symmetry.}
broken down to two distinct subgroups, \( Z_2 = \langle BA^{m_u} \rangle \) and \( Z_2 = \langle BA^{m_d} \rangle \) with \( m_u \neq m_d \), in the up and down quark sector. This obviously requires that up-type and down-type quarks do not couple to the same Higgs fields, i.e. a further separation mechanism is needed here. In the SM one can simply assume an extra \( Z_2 \) symmetry:

\[
Q_i \rightarrow Q_i, \quad u^c_i \rightarrow -u^c_i \quad \text{and} \quad d^c_i \rightarrow -d^c_i
\]

for the fermions and for the Higgs fields:

\[
\varphi_{u^c_i} \rightarrow \varphi_{u^c_i} \quad \text{and} \quad \varphi_{d^c_i} \rightarrow -\varphi_{d^c_i}
\]

where \( \varphi_{u^c_i} \) denote all Higgs fields which can couple to the up-type quarks, while \( \varphi_{d^c_i} \) only couple to down-type quarks. In order to obtain two distinct VEV configurations for the fields \( \varphi_{u^c_i} \) and \( \varphi_{d^c_i} \) which either break to \( Z_2 = \langle BA^{m_u} \rangle \) or \( Z_2 = \langle BA^{m_d} \rangle \) we also need a separation mechanism in the Higgs sector, but this issue will not be discussed here.

Eq. (14) and Eq. (17) for the masses of the up-type and down-type quarks already show some possible source of fine-tuning, since the smallness of the up quark mass \( m_u \) as well as of the strange quark mass \( m_s \) must be due to the smallness of the expression \( |D_u - E_u e^{-i\phi_u k}| \) and \( |D_d - E_d e^{i\phi_d k}| \), respectively. A further study of this issue is however beyond the scope of this paper and will be treated in detail elsewhere [22].

There is a second way to generate such a mixing matrix: If we change the transformation properties of the left-handed conjugate fields to

\[
d^c, u^c \sim (1^c_1, 1^c_2, 1^c_3),
\]

we arrive at a mass matrix which is of the form of the transpose of the one shown in Eq. (10). The preserved subgroup is then also of the form \( Z_2 = \langle BA^m \rangle \), as explained in Section V.C.1. The number of free parameters is again five and therefore the chances to find a numerical solution which can reproduce all the masses and mixing parameters correctly apart from the predicted value for one of the elements of \( V_{CKM} \) are similar as in the case above. However, in a complete model one or the other mass matrix may be more easily accommodated with the suitable number of Higgs fields, VEV alignments, etc., from the theoretical viewpoint the model in which the left- as well as the left-handed conjugate fields are partially unified into a doublet and a singlet representation of the discrete group might be favorable.

In all cases a thorough check whether one can accommodate all the quark masses and the rest of the mixing angles is necessary, although we believe that this can be done due to the number of free parameters. Furthermore a Higgs potential which allows for the breaking into the two different directions needs to be constructed and proven to be stable against corrections. And also an extension of this idea to include leptons is desirable. All these issues combined with a numerical study are delegated to a future publication [22].

VII. COMPARISON WITH THE LITERATURE

The fact that preserved subgroups of a dihedral group can play an important role in obtaining certain mixing patterns is often not explicitly discussed. Several results from the literature can however easily be obtained from the group theoretical considerations we have performed in this paper. Realizing this can help to understand how and why flavor symmetry models work, as the following exemplary discussion shows.

In reference [12] a \( D_4 \) flavor symmetry is used, with an additional \( Z_2^{(aux)} \) for separating the three different Yukawa sectors: the charged lepton sector, the Dirac neutrino sector and the Majorana neutrino sector. The transformation properties of the fermions are chosen to give a two doublet structure in all three sectors. Then one breaks down to a different subgroup in each sector: \( D_2 \) is conserved in the charged lepton sector. \( D_4 \) is conserved in the Dirac neutrino sector, while it is broken down to \( Z_2 \) in the Majorana neutrino sector. As the authors use a different basis, the actual mass matrices differ from ours. The resulting physical mixing angles however are unaffected, i.e. independent of the basis. Here we just describe the result for the mass matrices in their basis. The mass matrix for the charged leptons as well as the Dirac neutrino mass matrix are diagonal, i.e. they do not contribute to the lepton mixing. Two texture zeros in the right-handed neutrino mass matrix are obtained, which only appear because a gauge singlet transforming as a further non-trivial \( D_4 \) singlet is absent from the model. They are removed when the seesaw mechanism is applied. The resulting mass matrix for the light neutrinos is \( \mu \tau \) symmetric, i.e it has a maximal mixing angle \( \theta_{13} \), a zero mixing angle \( \theta_{13} \) and a free mixing angle \( \theta_{13} \). The texture zeros in the right-handed neutrino mass matrix effect the relations between the eigenvalues of the light neutrino mass matrix, i.e. they enforce a normal hierarchy. Nevertheless, this model is not complete, as quarks are not discussed in this context. [23] goes on to discuss soft breaking of the \( D_4 \) in the scalar potential. More precisely, the \( Z_2 \) subgroup is broken explicitly. Thus, the resulting doublet VEV no longer conserves \( Z_2 \), which can lead to sizable deviations from a maximal \( \theta_{23} \), while leaving \( \theta_{13} = 0 \).

A similar result is reproduced by a \( D_3 \) flavor symmetry in reference [13]. In this case, the authors work in the same group basis we have used, so a comparison is more straightforward. Nevertheless, they present their Dirac mass matrices in the basis \( LR \), while our results are always given in the basis of \( LL' \). The \( D_3 (\cong S_3) \) flavor symmetry is again joined by a \( Z_2 \) symmetry, which creates the same three sectors. \( D_3 \) is then broken to \( Z_2 \) in the charged lepton sector. In the Dirac neutrino sector the entire flavor symmetry is conserved, while it is broken down to a \( Z_2 \) in the Majorana neutrino sector - in this case all three equivalent subgroups \( < B >, < BA > \) and \( < BA^2 > \) are mentioned. The charged lepton mass matrix and the Dirac mass matrix for the
neutrinos are again diagonal, i.e. the non-trivial mixing stems from the right-handed neutrino mass matrix. Here the maximal scalar field content is allowed, resulting in the same light neutrino mass matrix as for the $D_4$ model. The additional parameter which arises from having the full scalar field content does not affect the structure of the neutrino mass matrix obtained from the Type I seesaw formula, can however affect the neutrino mass hierarchy, as in this case the normal hierarchy is not necessarily predicted.

We finally mention some further examples, where nontrivial subgroups of dihedral flavor symmetries are conserved and explicitly mentioned.\cite{24} and \cite{25} mention the possibility of breaking their dihedral flavor symmetry down to a nontrivial subgroup.\cite{24} uses a $D_3$ flavor symmetry, which is then broken down to $Z_2$ to achieve maximal atmospheric mixing and $\theta_{13} = 0$.\cite{25} uses a $D_5$ symmetry. If this is broken down to $Z_2$, maximal mixing can be achieved. However, without separating the Yukawa sectors or explicitly breaking the residual symmetry, the resulting maximal mixing angles in the neutrino and charged lepton sector will cancel in the leptonic mixing matrix.

The use of a dihedral symmetry is not only limited to the determination of the leptonic mixing angles. The breaking chains of Section IV can also be employed to explain the fermion mass hierarchy, by giving mass to different generations at different breaking scales.\cite{26} breaks $D_4$ down to $Z_6$ and then further down, while \cite{27} breaks a $D_6$ flavor symmetry down to $Z_2$ and then fully breaks it.

\section{VIII. Conclusions}

We have determined the possible Dirac and Majorana mass matrix structures that arise if a dihedral flavor group $D_n$ is broken down to a non-trivial subgroup by VEVs of some scalar fields. In order to exploit the non-abelian structure of the dihedral groups we only considered assignments where at least two of the left-handed or left-handed conjugate (SM) fermions are unified into an irreducible two-dimensional representation, i.e.

\[ L \sim (1_{i_1}, 1_{i_2}, 1_{i_3}), \quad L^c \sim (1_{j_1}, 2_{j_2}) \]  

or

\[ L \sim (1_{i_1}, 2_{i_2}), \quad L^c \sim (1_{j_1}, 2_{j_2}) \]

We constrained ourselves by the requirement that the mass matrices have to have a non-vanishing determinant to reduce the number of cases so that a general discussion becomes possible. The number of different mass matrix structures we encounter is then limited. We find the following mass matrix structures:

\[
\begin{pmatrix}
0 & C & Ce^{-i\phi k} \\
A & D & De^{-i\phi k} \\
B & E & Ee^{-i(j+k)\phi}
\end{pmatrix}
\]

Both of these matrix structures are associated with a conserved subgroup $Z_2$. The other mass matrices which can arise from a conserved subgroup are either diagonal, semi-diagonal or of block form. All these mass matrices can result from a Dirac mass term and several ones also from a Majorana mass. For Majorana mass terms we additionally allow the assignment that all involved fermions can transform as one-dimensional representations. Furthermore, we also studied the case of an unbroken dihedral group.

It turns out that the double-valued groups $D'_n$ do not lead to additional structures. We observe one slight difference in case of a Majorana mass term for $D'_n$ with $n$ odd, if the fermions transform as three one-dimensional representations. Due to the odd one-dimensional representations a semi-diagonal mass matrix can arise. Although this structure cannot be deduced with one-dimensional representations in the groups $D_n$ ($n$ arbitrary) and $D'_n$ ($n$ even), this structure is not new, as it can be reproduced, if the fermions which transform as the two odd one-dimensional representations are unified into a two-dimensional representation.

Note that all these matrix structures base on the assumption that for each representation $\mu$ which is/contains a trivial representation under the residual subgroup of the flavor symmetry there is a Higgs field present in the theory which transforms as $\mu$. In this way the structures of the mass matrices only depend on the choice of the original group, the subgroup as well as the transformation properties of the fermions, but not on the choice of the Higgs content. Therefore our results are less arbitrary. Reducing the Higgs content is also possible by simply setting the corresponding VEV to zero in the mass matrix. In some cases this just reduces the number of parameters and therefore increases the predictive power of the model\cite{13}, while in other cases this is essential in order to get the desired mixing pattern\cite{6,20,28}.

In general one observes that for several subgroups the mass matrices (can) exhibit $2 - 3$-interchange symmetry, as for example Eq.\{53\}, if the group theoretical phase $\phi$ is set to zero. This can be used to obtain maximal atmospheric mixing in the leptonic sector.

Interestingly, it is not only possible to predict such special mixing angles as 45° or zero with a dihedral flavor symmetry, as we showed in the very first application of our general study. There we were able to describe one element of the CKM mixing matrix, namely $|V_{us}|$, which corresponds to the Cabibbo angle, only in terms of group theoretical quantities, like the index $n$ of the dihedral group $D_n$, the index $j$ of the representation under which the (left-handed) quarks transform as well as the indices
of the preserved subgroups of $D_n$, $m_u$ and $m_d$: 

$$|V_{us}| = \left| \cos\left(\frac{\pi (m_u - m_d)}{n}\right) \right| = |\cos\left(\frac{3\pi}{n}\right)| \approx 0.2225 \quad (54)$$

Since these dihedral symmetries have already been used several times in the literature, it was interesting to see whether the successful models, like the ones by Grimus and Lavoura which can predict $\mu$-$\tau$ symmetry with the help of the flavor symmetry $D_4 \times Z_2^{aux}$ 12 or $D_3 \times Z_2^{aux}$ 13, already make use of our described idea. And indeed these two models are examples in which the three sectors represented by the charged lepton mass matrix, the Dirac neutrino mass matrix and the Majorana mass matrix for the right-handed neutrinos preserve different non-trivial subgroups of the employed flavor symmetry. This mismatch of the different preserved subgroups then leads to the non-trivial mixing pattern.

The notation $L$ and $L'$ of the fermions in our discussion always implied that they transform under the SM gauge group. However, all structures remain the same, if we consider the MSSM as framework. Concerning a GUT like $SU(5)$ the fifteen fermions of one generation are unified into $10$ and $5$. As a consequence, for example, the (Dirac) mass matrix for the up-type quarks stems from the couplings of two $10$s. Therefore only the two doublet structure with $i = 1$ and $j = k$ applies here. Similar changes occur, if we consider other (unified) gauge groups. As our study includes all the possible mass matrix structures arising from the two assignments Eq. 50 and Eq. 51, choosing a gauge group other than the one of the SM under which the mass terms are invariant does not give rise to new cases.

In our study we always assumed that the involved Higgs fields (in case of the Dirac mass matrix) are copies of the SM Higgs $SU(2)_L$ doublet. This might cause problems in a complete model, since it is well known that multi-Higgs doublet models suffer from FCNCs whose bounds usually demand that the Higgs fields have masses above 10 TeV. However, all structures we have presented can also be achieved in models in which only the SM Higgs doublet (or in the MSSM the Higgs fields $h_u$ and $h_d$) exists and the flavor symmetry is broken by some gauge singlets, usually called flavons, mostly at a high energy scale. Then one has to deal in general with non-renormalizable operators consisting of two fermions, the usual Higgs field and appropriate combinations of the flavon fields. According to their mass dimension the operators then have different suppression factors compared to the multi-Higgs doublet case where all operators arise at the renormalizable level. In an explicit realization one therefore has to check whether all results which are produced in a multi-Higgs doublet model can be reproduced by the usage of non-renormalizable operators in a natural way. For example, the top Yukawa coupling has to be large and should come from a renormalizable term.

We mainly concentrated on the explanation of the mixing pattern of the fermions by the flavor symmetry and its breaking to subgroups. However it is also interesting to ask whether the hierarchy among the fermion masses, especially among the up-type quarks, could also be accommodated in this framework, for example by some stepwise breaking of the symmetry. Obviously, it is an advantage, if this could also be done. If not, the Froggatt-Nielsen mechanism 29 could be used as an explanation. For this an extra $U(1)$ flavor symmetry is needed. It is in general non-trivial to combine a certain assignment of the fermions under the discrete flavor group with a certain set of $U(1)$ charges being necessary for the mass hierarchy. As mentioned above the usage of flavon fields is already a kind of implementation of the Froggatt-Nielsen mechanism, as their existence generally leads to non-renormalizable operators, whose suppression according to the number of insertions of flavon fields might be the origin of the fermion mass hierarchy.

And finally we want to comment on the VEV alignment. As we saw, there exists a specific VEV structure for scalar fields transforming under a two-dimensional representation which is necessary for conserving certain subgroups. This VEV structure

$$<\psi_j> \left( e^{-\frac{2\pi im_j}{n}} \right)$$

(55)

can in fact arise naturally from the extremization of a scalar potential. For example:

- a $D_3$-invariant two Higgs potential, with the two real scalar fields transforming as SM gauge singlets and as $\bar{2}$ under $D_3$,
- the equivalent potential for $D_4$, with two real scalar fields transforming as SM gauge singlets and as $\bar{2}$ under $D_4$,
- the most simple phenomenologically viable $D_3$ invariant potential for $SU(2)_L$ doublet scalars, which is now a three Higgs potential with the three scalar fields transforming as $SU(2)_L$ doublets and as $\bar{2}$ and $\bar{1}$ under $D_3$.

One finds in all cases that the VEV structure given above is the only one that can extremize the potential while breaking the flavor symmetry, if one assumes real parameters in the potential and possibly also no correlations among the parameters of the potential.

---

9 Without the additional singlet the potential exhibits an accidental $U(1)$ symmetry, apart from the gauge symmetries 14.

10 Here we preferred to use real representation matrices which then have real eigenvectors belonging to the eigenvalue 1 such that we could solve the extremization conditions under the assumption of real parameters and also real VEVs. In this way all allowed VEV configurations correspond to the specific VEV structure.
In contrast to this, one finds that for the simplest $SU(2)_L$ doublet potential in $D_4$ no such explicit statement can be made. Nevertheless, the above VEV structure is still allowed.

These statements make us confident that there is some way to get the announced VEV structure, even if more scalar fields exist in the model.

Regarding the success of models like [6, 20] the crucial issue of the VEV alignment might be solved in a more efficient way in the context of supersymmetric models with flavor fields. The main reason is that the (super-)potential itself is significantly simplified by using gauge singlet scalars which transform non-trivially under $G_F$. Furthermore, as these fields are gauge singlets which break the flavor symmetry at a high energy scale $\Lambda > m_{SUSY}$, the condition that the F-terms of some flavored gauge singlet fields vanish determine the equations for the VEVs. These can then be often solved analytically.

Note added: After completing this work the paper [30] by C.S. Lam appeared in which he also deals with the fact that non-trivial subgroups of some discrete flavor symmetry can help to explain a certain mixing pattern and also very briefly mentions that the Cabibbo angle might be the result of some dihedral group.

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APPENDIX A: MORE GROUP THEORY

1. Kronecker Products

The products of the one-dimensional representations of $D_n$ are:

\[
\begin{array}{cccc}
\times & 1 & \bar{1} & 2 \\
1 & 1 & 1 & 1 \\
\bar{1} & 1 & 1 & 1 \\
2 & 1 & 1 & 1 \\
\end{array}
\]

where the representations $1_{3,4}$ only exist in groups $D_n$ with an even index $n$. The products $1_i \times 2_j$ transform as

\[
1_1, 2 \times 2_j = 2_j
\]

and for $n$ even there are also

\[
1_3, 4 \times 2_j = 2_k \quad \text{with} \quad k = \frac{n}{2} - j
\]

If $4$ is a divisor of $n$, the product of the representation $2_j$ with $j = \frac{n}{2}$ with any one-dimensional representation of the group also transforms as $2_j$.

The products $2_i \times 2_i$ are of the form $1_1 + 1_2 + 2_j$ with $j = \min(2i, n - 2i)$. In case that the group $D_n$ has an index $n$ which is divisible by four one also finds the structure $2_i \times 2_i = \sum_{j=1}^{\frac{n}{2}} 1_j$ for $i = \frac{n}{4}$. This shows that there is at most one representation in each group $D_n$ with this property. The mixed products $2_i \times 2_j$ can have two structures: a) $2_i \times 2_j = 2_k + 2_l$ with $k = |i - j|$ and $l = \min(i + j, n - (i + j))$ and b) $2_i \times 2_j = 1_3 + 1_4 + 2_k$ with $k = |i - j|$ for $i + j = \frac{n}{4}$.

For $D_n$ with $n$ even the one-dimensional representations have the same product structure as for $D_n$ while for $n$ being odd they are:

\[
\times \frac{1_{1,2,3,4}}{1_1,1_2} \frac{1_{1,2,3,4}}{1_1,1_2} \frac{1_{1,2,3,4}}{1_1,1_2} \frac{1_{1,2,3,4}}{1_1,1_2}
\]

\[
\frac{1_1}{1_1} \frac{1_2}{1_2} \frac{1_3}{1_3} \frac{1_4}{1_4} \frac{1_4}{1_1} \frac{1_3}{1_3} \frac{1_4}{1_4} \frac{1_1}{1_1}
\]

due to the fact that the two one-dimensional representations $1_3$ and $1_4$ are complex conjugated to each other. The rest of the formulae for the different product structures are the same as in the case of $D_{2n}$, i.e., in each formula above which is given for $D_n$ one has to replace $n$ by $2n$.

The Kronecker products can also be found in reference [31].

2. Clebsch Gordan Coefficients

a. for $D_n$

For $1_i \times 1_j = 1_k$, the Clebsch Gordan coefficient is trivially one.

For $1_i \times 2_j$ the Clebsch Gordan coefficients are:

for $i = 1$: \[\left( \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right) \sim 2_j \] and for $i = 2$: \[\left( \begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right) \sim 2_j \]

If the index $n$ of $D_n$ is even, the group has two further one-dimensional representations $1_3, 4$ whose products with $2_j$ are of the form:

for $i = 3$: \[\left( \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right) \sim 2_{\frac{n}{2}+j} \] and for $i = 4$: \[\left( \begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} \right) \sim 2_{\frac{n}{2}-j} \]

For the products $2_i \times 2_i$ the covariant combinations are:

\[
\left( \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right) \sim 1_1 \quad \left( \begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} \right) \sim 1_2
\]

and

\[
\left( \begin{array}{cc} 1 & 0 \\ 0 & 0 \end{array} \right) \sim 2_{1i} \quad \left( \begin{array}{cc} 0 & 0 \\ 1 & 0 \end{array} \right) \sim 2_{01} \quad \left( \begin{array}{cc} 0 & 0 \\ 0 & 1 \end{array} \right) \sim 2_{00}
\]

If the index $n$ of $D_n$ is even and $i = \frac{n}{4}$ ($4$ has to be a divisor of $n$), there is a second possibility: $2_i \times 2_i = \sum_{j=1}^{\frac{n}{4}} 1_j$. The Clebsch Gordan coefficients are

\[
\left( \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right) \sim 1_1 \quad \left( \begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} \right) \sim 1_2 \\
\left( \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right) \sim 1_3 \quad \left( \begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right) \sim 1_4
\]

For the products $2_i \times 2_j$ with $i \neq j$ there are the two structures $2_i \times 2_j = 2_k + 2_l$ with $k = |i - j|$ and $l = \min(i + j, n - (i + j))$ or $2_i \times 2_j = 1_3 + 1_4 + 2_k$ with $k = |i - j|$, if $i + j = \frac{n}{4}$ (obviously $n$ has to be even). The Clebsch Gordan coefficients for $2_i \times 2_j = 2_k + 2_l$ are:

\[
\left( \begin{array}{cc} 0 & 1 \\ 0 & 0 \\ 0 & 0 \\ 1 & 0 \end{array} \right) \sim 2_{i-j} \quad \left( \begin{array}{cc} 0 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{array} \right) \sim 2_{j-i}
\]
and
\[
\begin{pmatrix}
1 & 0 \\
0 & 0 \\
0 & 1
\end{pmatrix}
\sim 2i+j
\quad \text{or}
\begin{pmatrix}
0 & 0 \\
0 & 1 \\
1 & 0
\end{pmatrix}
\sim 2n-(i+j)
\]

For the structure \(2i \times 2j = 13 + 14 + 2k\) with \(i+j = \frac{n}{2}\) the Clebsch Gordan coefficients are
\[
\begin{pmatrix}
1 & 0 \\
0 & 1
\end{pmatrix}
\sim 13
\quad \text{or}
\begin{pmatrix}
1 & 0 \\
0 & -1
\end{pmatrix}
\sim 14
\]

and
\[
\begin{pmatrix}
0 & 1 \\
0 & 0 \\
0 & 1 \\
0 & -1
\end{pmatrix}
\sim 2i-j
\quad \text{or}
\begin{pmatrix}
0 & 0 \\
0 & 1 \\
0 & 1 \\
0 & 0
\end{pmatrix}
\sim 2j-i
\]

b. for \(D_n\)

For \(n\) even the Clebsch Gordan coefficients for the products \(1_1 \times 2_1 = 2_2\) are the same as in the case of \(D_{2n}\), i.e. for \(i = 3, 4\) the condition for \(k\) is \(i + k = n\) instead of \(\frac{n}{2}\).

If \(n\) is odd, the same holds for \(j\) odd whereas for \(j\) even the Clebsch Gordan coefficients of the products \(1_3 \times 2_j\) and \(1_4 \times 2_2\) have to be interchanged.

The Clebsch Gordan coefficients for the products \(2_i \times 2_j = 1_1 + 1_2 + 2_2\) with \(j = \min(2i, 2n-2i)\) for \(i\) odd, one finds the following:
\[
\begin{pmatrix}
0 & 1 \\
-1 & 0
\end{pmatrix}
\sim 1_1
\quad \begin{pmatrix}
0 & 1 \\
1 & 0
\end{pmatrix}
\sim 1_2
\]

and
\[
\begin{pmatrix}
1 & 0 \\
0 & 0 \\
0 & 0 \\
0 & -1
\end{pmatrix}
\sim 2i
\quad \text{or}
\begin{pmatrix}
0 & 0 \\
0 & 1 \\
0 & 1 \\
-1 & 0
\end{pmatrix}
\sim 2n-2i
\]

If \(i = \frac{n}{2}\) (\(n\) even), then one has \(2_1 \times 2_i = \sum_{j=1}^{i} 1_j\). The Clebsch Gordan coefficients are
\[
\begin{pmatrix}
0 & 1 \\
-1 & 0
\end{pmatrix}
\sim 1_1
\quad \begin{pmatrix}
0 & 1 \\
1 & 0
\end{pmatrix}
\sim 1_2
\]
\[
\begin{pmatrix}
1 & 0 \\
0 & 0 \\
0 & 1 \\
0 & -1
\end{pmatrix}
\sim 13
\quad \begin{pmatrix}
1 & 0 \\
0 & 1
\end{pmatrix}
\sim 14
\]

\(2_1 \times 2_j\) for \(i, j\) being odd is either \(2_{i+j} + 1_{i-j}\) with \(k = |i-j|\) and \(i = \min(i+j, 2n-(i+j))\) or \(1_{i+j} + 1_{i-j} + 2_{i-j}\) with \(k = |i-j|\). If \(i+j = n\). The Clebsch Gordan coefficients in the first case are:
\[
\begin{pmatrix}
0 & 1 \\
0 & 0 \\
0 & 0 \\
-1 & 0
\end{pmatrix}
\sim 2_{i-j}
\quad \begin{pmatrix}
0 & 0 \\
1 & 0 \\
0 & -1 \\
0 & 0
\end{pmatrix}
\sim 2j-i
\]

and
\[
\begin{pmatrix}
1 & 0 \\
0 & 0 \\
0 & 0 \\
0 & -1
\end{pmatrix}
\sim 2_{i+j}
\quad \begin{pmatrix}
0 & 0 \\
0 & 1 \\
-1 & 0 \\
0 & 0
\end{pmatrix}
\sim 2n-(i+j)
\]

In the second one the Clebsch Gordan coefficients are:
\[
\begin{pmatrix}
1 & 0 \\
0 & -1
\end{pmatrix}
\sim 1_3
\quad \begin{pmatrix}
1 & 0 \\
0 & 1
\end{pmatrix}
\sim 1_4
\]

and
\[
\begin{pmatrix}
0 & 1 \\
0 & 0 \\
0 & 0 \\
-1 & 0
\end{pmatrix}
\sim 2_{i-j}
\quad \begin{pmatrix}
0 & 0 \\
1 & 0 \\
0 & -1 \\
0 & 0
\end{pmatrix}
\sim 2j-i
\]

APPENDIX B: DECOMPOSITION UNDER SUBGROUPS

The decomposition of representations of \(G_F\) under its subgroups is given in Table \([\text{III}]\), Table \([\text{IV}]\), Table \([\text{V}]\) and Table \([\text{VI}]\). We have used the following non-standard convention for the representation of \(Z_n\): The representation \(1_k\) transforms as \(e^{2\pi i k/n}\), so that \(1_0\) denotes the trivial representation and \(1_{(n+k)} = 1_k\).

We will denote the components of the two-dimensional representation \(2_k\) by
\[
2_k \sim \begin{pmatrix} a_k \\ b_k \end{pmatrix}
\]

For two-dimensional representations under dihedral subgroups, we find in the tables the general identification \(2_{2k} \sim 2_k\). However, dihedral subgroups will have less two-dimensional representations than the original group, so we need to make the following identifications, if the dihedral subgroup has no representation \(2_{2k}\).

In \(D_2\), \(j\) even:
\[
\begin{align*}
\left(e^{\frac{2\pi i k}{n}} a_j + b_j \right) &\sim 1_3 \quad \left(-e^{\frac{2\pi i k}{n}} a_j + b_j \right) \sim 1_4 \\
(2n) &\sim 2k (if \ k \leq \frac{1}{2}) \\
(2n) &\sim 2k (if \ j > \frac{k}{2})
\end{align*}
\]

In \(D_2\), \(j\) odd:
\[
\begin{align*}
\left(e^{\frac{2\pi i k}{n}} a_j + b_j \right) &\sim 1_1 \quad \left(-e^{\frac{2\pi i k}{n}} a_j + b_j \right) \sim 1_2 \\
(2n) &\sim 2k (if \ k \leq \frac{1}{2}) \\
(2n) &\sim 2k (if \ j > \frac{k}{2})
\end{align*}
\]

If \(k > j\), \(2_{2k} \sim 2_{2(k \mod j)}\). If \(j\) divides \(k\), then \(2_{2k}\) transforms just as \(2_j\), i.e. as \(1_1 + 1_2\). For \(D_4\) one can make the same identifications as for \(D_2\), \(j\) even, if one makes the substitutions \(j \rightarrow 2j\) and \(n \rightarrow 2n\). For \(j\) dividing \(k\), \(2_{2k}\) then transforms as \(2_{2j}\), i.e. as \(1_1 + 1_2\).

APPENDIX C: BREAKING CHAINS FOR \(D_n\)

We give the possible breaking sequences for a double-valued dihedral group \(D_n\). The breaking sequences for \(D_n\) along with a discussion of the conventions used is given in Section \([\text{V}]\).
A scalar field transforming under this representation can acquire a VEV, while conserving this subgroup. If only a specific VEV structure is allowed, it is given explicitly, otherwise an arbitrary VEV is allowed.

### Table III: Transformation properties of the representations of a dihedral group under its abelian subgroups, as determined in Section III

The rightmost column shows whether a representation has a component, which transforms trivially under the subgroup, i.e. if a scalar field transforming under this representation can acquire a VEV, while conserving this subgroup. If only a specific VEV structure is allowed, it is given explicitly, otherwise an arbitrary VEV is allowed.
| $D_n \rightarrow$ Subgroup | VEV allowed? |
|-------------------------|-------------|
| $D_2 = \langle A^2, B \rangle$ | yes |
| $\frac{11}{2} \rightarrow \frac{11}{2}$ | yes |
| $\frac{12}{2} \rightarrow \frac{13}{2}$ | yes |
| $\frac{13}{2} \rightarrow \frac{14}{2}$ | yes |
| $\frac{2k}{2} \rightarrow \frac{2k}{2}$ | yes |

TABLE IV: Transformation properties of the representations of a dihedral group under its non-abelian subgroups. For further details see caption of Table III.

$$D_n' \begin{cases} \frac{2j}{2} \rightarrow \frac{2j}{2}, & D_2' \rightarrow \frac{2k}{2}, \ Z_4 \begin{cases} \frac{13}{2} & (n \text{ even}; m_j = m_k; k \mid j) \end{cases} \\ \frac{14}{2} \rightarrow \frac{13}{2}, \ Z_2 \begin{cases} \frac{14}{2} & (m_j \text{ odd for } \frac{13}{2} \text{ and for } \frac{14}{2} \text{ only}) \end{cases} \end{cases}$$

$$D_n' \begin{cases} \frac{2j}{2} \rightarrow \frac{2j}{2}, \ Z_4 \begin{cases} \frac{2j}{2} & (m_j = m_k(\neq m_l); k \mid j) \end{cases} \\ \frac{2k}{2} \rightarrow \frac{2k}{2}, \ Z_k \begin{cases} \frac{2k}{2} & (k \mid j) \end{cases} \end{cases}$$

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| Subgroup | $\mathbb{Z}_2$ allowed if $n$ even | $\mathbb{Z}_2$ allowed if $n$ odd |
|----------|-------------------------------|-------------------------------|
| $\mathbb{Z}_2$ | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ |
| $\mathbb{Z}_4$ | $\mathbb{Z}_4$ | $\mathbb{Z}_4$ |
| $\mathbb{Z}_4$ | $\mathbb{Z}_4$ | $\mathbb{Z}_4$ |
| $\mathbb{Z}_8$ | $\mathbb{Z}_8$ | $\mathbb{Z}_8$ |

TABLE V: Transformation properties of the representations of a double-valued dihedral group under its abelian subgroups. For the decomposition of the two-dimensional $D'_c$ representations under its subgroup $Z_2$, one has to mention that $2k$, for $k$ even, splits up into $1_0$ and $1_2$ under $Z_4$, while for $k$ being odd the representations are $1_1$ and $1_3$. For further details see caption of Table III.
\[ D_{n}' \rightarrow \text{Subgroup} \quad | \quad \text{VEV allowed?} \]

\[
\begin{array}{c|c|c}
D_{n}' = < A^2, B > & 1_1 & \text{yes} \\
1_1 & 1_2 & \text{yes} \\
1_2 & 1_2 & \text{yes} \\
1_3 & 1_1 & \text{yes} \\
1_4 & 1_1 & \text{yes} \\
2k & 2k & \text{yes} \\
\end{array}
\]

\[
\begin{array}{c|c|c}
D_{n}' = < A^2, BA > & 1_1 & \text{yes} \\
1_2 & 1_2 & \text{yes} \\
1_3 & 1_2 & \text{yes} \\
1_4 & 1_2 & \text{yes} \\
2k & 2k & \text{yes} \\
\end{array}
\]

\[
\begin{array}{c|c|c}
D_{n}' = < A^2, BA^m > & 1_1 & \text{yes} \\
1_2 & 1_2 & \text{yes} \\
1_3 & 1_1 (2n \text{ even}, m \text{ even}) & \text{yes} \\
1_3 & 1_1 (2n \text{ even}, m \text{ odd}) & \text{yes} \\
1_4 & 1_1 (2n \text{ odd}, m \text{ even}) & \text{yes} \\
1_4 & 1_1 (2n \text{ odd}, m \text{ odd}) & \text{yes} \\
2k & 2k & \left( e^{-\frac{\pi km}{n}} \right) (if j | k), k \text{ even} \\
\end{array}
\]

TABLE VI: Transformation properties of the representations of a double-valued dihedral group under its non-abelian subgroups. For further details see caption of Table III.

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