ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the biomedical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)        2. Surname (Last Name)        3. Date
   Marianna                           Alacqua                        07-February-2019

4. Are you the corresponding author?  [ ] Yes  [ ] No
   Corresponding Author’s Name
   Eugene R. Bleecker

5. Manuscript Title
   Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?
Are there any relevant conflicts of interest?  [ ] Yes  [ ] No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.
Are there any relevant conflicts of interest?  [ ] Yes  [ ] No
If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| AstraZeneca    |        | [ ]            |                        |        | Employee |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  [ ] Yes  [ ] No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☒ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

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Dr. Alacqua reports personal fees from AstraZeneca, outside the submitted work.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name)  
   Eugene

2. Surname (Last Name)  
   Bleecker

3. Date  
   07-February-2019

4. Are you the corresponding author?  
   ☑ Yes    ☐ No

5. Manuscript Title  
   Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest?  
   ☑ Yes    ☐ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
   ☑ Yes    ☐ No

If yes, please fill out the appropriate information below.

| Name of Entity                | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                                  |
|-------------------------------|--------|----------------|------------------------|--------|-------------------------------------------|
| Wake Forest School of Medicine| ☐      | ☑              | ☐                      | ☐      | former employer; performed clinical trials|
| University of Arizona         | ☐      | ☑              | ☐                      | ☐      | current employer; performed clinical trials|
| AstraZeneca/MedImmune         | ☐      | ☑              | ☐                      | ☐      | consultant                                |
| GSK                           | ☐      | ☑              | ☐                      | ☐      | consultant                                |
| Novartis                      | ☐      | ☑              | ☐                      | ☐      | consultant                                |
| Regeneron                     | ☐      | ☑              | ☐                      | ☐      | consultant                                |
| Sanofi-Genzyme                | ☐      | ☑              | ☐                      | ☐      | consultant                                |
Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? □ Yes   ✔ No

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Dr. Bleecker reports personal fees from Wake Forest School of Medicine, personal fees from University of Arizona, personal fees from AstraZeneca/MedImmune, personal fees from GSK, personal fees from Novartis, personal fees from Regeneron, personal fees from Sanofi-Genzyme, outside the submitted work;

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Section 1. Identifying Information

1. Given Name (First Name)  Arnaud
2. Surname (Last Name)  Bourdin
3. Date  07-February-2019

4. Are you the corresponding author?  No

Corresponding Author’s Name  Eugene R. Bleecker

5. Manuscript Title

Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest?  No

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Are there any relevant conflicts of interest?  Yes

If yes, please fill out the appropriate information below.

| Name of Entity         | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments          |
|------------------------|--------|----------------|------------------------|--------|-------------------|
| Actelion               |        | Yes            | Yes                    | Yes    | Advisory board member |
| AstraZeneca            |        | Yes            | Yes                    | Yes    | Advisory board member |
| Boehringer Ingelheim   | Yes    | Yes            | Yes                    | Yes    | Advisory board member |
| Chiesi Pharmaceuticals  |        | Yes            | Yes                    | Yes    | Advisory board member |
| GlaxoSmithKline        | Yes    | Yes            | No                     | Yes    | Advisory board member |
| Novartis               |        | Yes            | Yes                    | Yes    | Advisory board member |
| Regeneron              |        | Yes            | No                     | Yes    | Advisory board member |
| Roche                  |        | Yes            | Yes                    |        |                   |

Bourdin
# ICMJE Form for Disclosure of Potential Conflicts of Interest

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| Teva           |        | ✓              |                        | ✓      | Advisory board member |
| Gilead         |        |                |                        | ✓      | Advisory board member |

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ✓ No

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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## Section 6. Disclosure Statement

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Dr. Bourdin reports personal fees, non-financial support and other from Actelion, personal fees, non-financial support and other from AstraZeneca, grants, personal fees, non-financial support and other from Boehringer Ingelheim, personal fees, non-financial support and other from Chiesi Pharmaceuticals, grants, personal fees and other from GlaxoSmithKline, personal fees, non-financial support and other from Novartis, personal fees and other from Regeneron, personal fees and non-financial support from Roche, personal fees and other from Teva, other from Gilead, outside the submitted work.
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**Evaluation and Feedback**

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4. **Intellectual Property.**
   
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5. **Relationships not covered above.**
   
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Section 1. Identifying Information

1. Given Name (First Name)  
   Amber

2. Surname (Last Name)  
   Martin

3. Date  
   07-February-2019

4. Are you the corresponding author?  
   ☑ Yes  ☐ No  
   Corresponding Author’s Name  
   Eugene R. Bleecker

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| Evivida        | ☐      | ☑              |                        | ☐      | Employee |

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Dr. Martin reports personal fees from Evidera, outside the submitted work.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Andrew

2. Surname (Last Name)  
   Menzies-Gow

3. Date  
   07-February-2019

4. Are you the corresponding author?  
   Yes  No

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   Eugene R. Bleecker

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Are there any relevant conflicts of interest?  Yes  No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| AstraZeneca    |        | ✓              |                        |        | consultancy agreement; speaker fees; clinical funding; participated in research that his institution has been remunerated from |
| Sanofi         |        | ✓              |                        |        | consultancy agreement |
| Vectura        |        | ✓              |                        |        | consultancy agreement; speaker fees |
| AstraZeneca    |        |                | ✓                      |        | advisory board member |
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| Name of Entity      | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                                                                 |
|---------------------|--------|-----------------|------------------------|--------|--------------------------------------------------------------------------|
| Boehringer Ingelheim|        | ✓               |                        | ✓      | advisory board member; speaker fees; participated in research that his institution has been remunerated from; attended international conferences sponsored |
| GlaxoSmithKline     |        |                 |                        | ✓      | advisory board member; participated in research that his institution has been remunerated from |
| Novartis            |        | ✓               |                        | ✓      | advisory board member; speaker fees                                      |
| Sanofi              |        |                 |                        | ✓      | advisory board member                                                    |
| Teva                |        | ✓               |                        | ✓      | advisory board member; speaker fees; attended international conferences sponsored |
| Hoffman La Roche    |        | ✓               |                        | ✓      | participated in research that his institution has been remunerated from |

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Menzies-Gow reports personal fees from AstraZeneca, personal fees from Sanofi, personal fees from Vectura, other from AstraZeneca, personal fees and other from Boehringer Ingelheim, other from GlaxoSmithKline, personal fees and other from Novartis, other from Sanofi, personal fees and other from Teva, personal fees and other from Hoffman La Roche, outside the submitted work.

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1. Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
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Section 1. Identifying Information

1. Given Name (First Name)  
David

2. Surname (Last Name)  
Price

3. Date  
07-February-2019

4. Are you the corresponding author?  
☒ Yes  ☐ No

Corresponding Author’s Name
Eugene R. Bleecker

5. Manuscript Title
Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
☐ Yes  ☒ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
☒ Yes  ☐ No

If yes, please fill out the appropriate information below.

| Name of Entity                                      | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-----------------------------------------------------|--------|----------------|------------------------|--------|----------|
| Observational Pragmatic Research Institute (OPRI) Singapore |        |                |                        |        | conducted this study and which has conducted paid research in respiratory disease on behalf of the following other organizations in the past 5 years: Aerocrine; AKL Ltd.; Almirall; AstraZeneca; British Lung Foundation; Boehringer Ingelheim; Chiesi; GlaxoSmithKline; Meda; Mundipharma; Napp; Novartis; Orion; Respiratory Effectiveness Group; Takeda; Teva; and Zentiva, a Sanofi company |
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights
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☐ Yes  ☑ No

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Section 6. Disclosure Statement
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Dr. Price reports other from Observational Pragmatic Research Institute (OPRI) Singapore, outside the submitted work;

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Section 1. Identifying Information

1. Given Name (First Name)  Stephen  
2. Surname (Last Name)  Sweet  
3. Date  07-February-2019  

4. Are you the corresponding author?  No  
   Corresponding Author’s Name  Eugene R. Bleecker  

5. Manuscript Title  
   Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management  

6. Manuscript Identifying Number (if you know it)  

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  No  

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If yes, please fill out the appropriate information below.

| Name of Entity     | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-------------------|--------|----------------|------------------------|--------|----------|
| Oxford PharmaGenesis | ☐      | ☑              | ☐                      | ☐      | Employee |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  No  

Sweet
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Section 1. Identifying Information

1. Given Name (First Name)  
   Trung  

2. Surname (Last Name)  
   Tran  

3. Date  
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4. Are you the corresponding author?  
   ☑ Yes  ☐ No  

   Corresponding Author’s Name  
   Eugene R. Bleecker  

5. Manuscript Title  
   Systematic Literature Review of Systemic Corticosteroid Use for Asthma Management  

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Are there any relevant conflicts of interest?  
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| AstraZeneca    | ☐      | ☑              |                        | ☐      | Employee |

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