COMPARISON OF IMPLANT DENSITY IN THE MANAGEMENT OF LENKE 1B AND 1C ADOLESCENT IDIOPATHIC SCOLIOSIS

COMPARAÇÃO DA DENSIDADE DO IMPLANTE NO TRATAMENTO DA ESCOLIOSE IDIOPÁTICA DO ADOLESCENTE LENKE 1B E 1C

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ABSTRACT

Objective: To compare radiographic and surgical outcomes of Lenke 1B and 1C patterns. Methods: One hundred twenty patients with Lenke 1B and 1C scoliosis were grouped according to implant density as follows: low density (LD) of ≤1.4 and high density (HD) of >1.4. Matched subgroups (30 patients each) based on age, curve magnitude, and body mass index (BMI) were analyzed. Radiographic parameters were evaluated before operation, immediately after operation (ipo), and at 2 years’ follow-up. SRS-30 was administered before operation and at 2 years’ follow-up. Results: The major curves of the LD (n = 82) and HD groups (n=38) were respectively 59.1° and 65.6° before operation (p < .001), 26.3° and 22.9° ipo (p = .05), and 29.9° and 19.8° at 2 years’ follow-up (p < .001). No significant differences in postoperative trunk shift and coronal balance were found (p = .69 and p = .74, respectively). The HD group had higher blood loss (p = .02), number of implants (p < .001), levels fused (p = .002), and surgical time (p < .001). No significant differences were observed in the SRS-30 scores before operation and at 2 years’ follow-up. Conclusion: LD constructs included fewer segments fused, lower intraoperative estimated surgical blood loss, and shorter operation time, and potentially decreasing complication risks due to fewer implants. Level of evidence III, Retrospective Cohort Study.

Keywords: Scoliosis. Lenke 1B. Lenke 1C. Adolescent idiopathic scoliosis. Screw instrumentation.

RESUMO

Objetivo: Comparar os desfechos radiográficos e cirúrgicos da escoliose Lenke 1B e 1C. Métodos: Cento e vinte pacientes com escoliose Lenke 1B e 1C foram agrupados de acordo com a densidade do implante, como segue: baixa densidade (BD) de ≤ 1,4 e alta densidade (AD) de > 1,4. Foram analisados os grupos pareados (30 pacientes cada) com base na idade, magnitude da curva e índice de massa corporal (IMC). Os parâmetros radiográficos foram avaliados antes da cirurgia, no pós-operatório imediato (POI) e no acompanhamento de dois anos. O questionário SRS-30 foi administrado antes da cirurgia e no acompanhamento de dois anos. Resultados: As principais curvas dos grupos BD (n = 82) e AD (n = 38) foram respectivamente 59,1° e 65,6° antes da operação (p < 0,001), 26,3° e 22,9° no POI (p = 0,05) e 29,9° e 19,8° aos 2 anos de acompanhamento (p < 0,001). Não foram encontradas diferenças significativas no desvio do tronco e no balanço coronal no pós-operatório (p = 0,69 e p = 0,74, respectivamente). O grupo AD teve mais perda sanguínea (p = 0,02), número de implantes (p < 0,001), níveis de fusão (p = 0,002) e tempo de cirurgia (p < 0,001). O grupo AD teve maior prevalência de hipocifose do período anterior à cirurgia até o acompanhamento (p < 0,001). Não houve diferenças significativas nas pontuações do SRS-30 antes da operação e aos 2 anos de acompanhamento. No pré-operatório, os grupos pareados tinham curvas principais (p = 0,56), idade (p = 0,75) e IMC (p = 0,61) semelhantes. Constatou-se tempo cirúrgico expressivamente maior (p = 0,009), maior densidade (p < 0,001) e melhor correção (p = 0,0001) no grupo AD aos 2 anos de acompanhamento. Não foram encontradas diferenças significativas nas pontuações do SRS-30 antes da cirurgia e no acompanhamento de 2 anos. Conclusão: As estruturas de BD incluíram menos segmentos fundidos, menor perda de sangue intraoperatória estimada, menor tempo de cirurgia e menos risco de complicações, com possibilidade de redução, por causa do menor número de implantes. Nível de evidência III, Estudo retrospectivo de coorte.

Descritores: Escoliose. Parafusos ósseos.

Citation: Kilinc BE, Tran DP, Johnston C. Comparison of implant density in the management of lenke 1B and 1C adolescent idiopathic scoliosis. Acta Ortop Bras. [online]. 2019;27(1):33-7. Available from URL: http://www.scielo.br/aob.
INTRODUCTION

Adolescent idiopathic scoliosis (AIS) is a 3-dimensional deformity with a coronal, sagittal, and rotational deformity of the spine that arises in otherwise healthy children. Curves greater than 50° at skeletal maturity may progress over time, resulting in worsening deformity and, in the case of thoracic curves, subtle pulmonary.\(^1,2\)

Due to the possibility of curve progression, surgical treatment is typically recommended for deformity greater than 50°, particularly in the skeletally immature patient.\(^3,4\) Pedicle screw instrumentation is currently the preferred method to achieve optimal correction of deformity in AIS.\(^5\) However, preferred instrumentation montage remains controversial because the optimum number and configuration of implants has not been determined.\(^6-8\)

Implant density is defined as the number of implants per level fused. A study group report showed that implant densities varied from 1.04 to 2.0 screws per level, yet the mean percentage of major curve correction was only changed from 64% to 70%.\(^9\)

For the most common type of AIS, the Lenke 1 thoracic deformity, high density (HD) constructs are often utilized for gaining significant correction.\(^6,8,10,11\) For 1A AIS curves, maximum correction has essentially no risk of coronal decompensation postoperatively. However, for 1B and especially 1C patterns, maximum correction enhances the risk of coronal imbalance, and therefore more modest correction to maintain overall trunk balance is usually recommended. Using a lower density (LD) construct with fewer anchor points is one possible technique to counter the tendency for maximum correction with a HD construct.

The purpose of this study was to compare outcomes in Lenke 1B and 1C AIS patients treated by either HD or LD constructs. Our hypothesis was that LD constructs would show no difference in radiographic or surgical outcomes compared to HD constructs, and by virtue of using fewer implants, would decrease operative time and blood loss, potentially reducing risk of complications and costs.

MATERIALS AND METHODS

We reviewed a single institution retrospective database of surgically treated AIS patients with Lenke 1B and 1C curve patterns between 2002 and 2013. Full approval University of Texas Southwestern IRB.

Inclusion criteria were complete radiographic and clinical data preoperatively, immediately after surgery, and at 2-year follow-up, and perioperative surgical data. Outcome measures include radiographic parameters, surgical data, and patient-reported SRS-30 data at follow up. The study cohort was divided into two groups based on implant density. The low density (LD) group was defined as implants per level less than or equal to 1.4 (Figure 1, 2) and higher density (HD) was defined as greater than 1.4 per level fused (Figure 3, 4). At least 75% of the implants had to be pedicle screws for both cohorts. The relationship between implant density and clinical, radiographic, and surgical variables were investigated. We also analyzed Risser grade data based on the two density groups to determine if Risser grade has an effect on density. Separately, we compared matched subgroups based on preoperative age, curve magnitude, and BMI, created with 30 patients from LD group and 30 from HD group to analyze the relationship between implant density and surgical outcomes.

Statistical analysis using the Mann-Whitney test based on non-parametric measures was performed on the entire study group and the matched subgroups.

RESULTS

One hundred and twenty AIS patients met the inclusion criteria, with 58 Lenke 1C and 62 Lenke 1B curves. There were 107 female and 13 male patients. The mean age at the surgery was 14.3 years (range 9.8-19.1 years). The preoperative mean main thoracic Cobb angle measured 61.2°, corrected to 25.3° postoperatively, and was 28.5° at 2-year follow-up. Overall mean implant density was 1.3 with an average of 9.6 levels fused.

There were 82 patients in the LD group and 38 in the HD group. The mean preoperative major curves of LD and HD groups were 59.1° and 65.6° (p<0.001); 26.3° vs. 22.9° (p=0.05) at immediately postoperative, and 29.9° vs. 19.8° (p<0.001) at 2-year follow up. The HD group had significantly higher major coronal Cobb angle and more correction in major coronal Cobb angle (p<0.001). The HD group had a larger preoperative trunk shift (p=0.02) than the LD group, but by 2-year follow up, the trunk imbalance difference had resolved (Table 1). There were a larger number of implants per level in the HD group (1.6±0.1 vs 1.1±0.2; p<0.0001), and the HD group required one additional level fused (10.2 ± 1.6 vs. 9.3 ± 1.3, p=0.002) (Table 2).
In the sagittal plane, the HD group had a larger preoperative mean T2-T12 kyphosis (p<0.01) and realized greater decrease in kyphosis (p<0.001) than the LD group. Consequently, there was no difference at follow up in sagittal plane alignment between the groups. There was no difference between LD and HD groups in correction and maintenance of correction of trunk shift and coronal balance (p=0.69, p=0.74 and p=0.83, p=0.57) (Table 1).

There was no difference in any of the 5 categories of SRS-30 scores at preop and 2 year follow up (Table 3). There was no correlation between implant density and BMI (p=0.72) (Table 4). However, the HD group required significantly longer operative time than the LD group (294 vs 251 minutes, p<0.001) and had greater intraoperative blood loss (641 vs. 496 cm³, p=0.02) (Table 2).

The matched subgroups had similar preoperative curve magnitude (p=0.55), age (p=0.75), BMI (p=0.61) and level fused (p=0.09) (Table 4). The HD group had significantly higher overall number of implants per level (1.6±0.1 vs 1.1±0.2; p<0.0001) (Table 5), and achieved greater correction at 2 years (20.7° vs. 31°; p<0.001) compared to the matched LD group. HD group were more hypokyphosis from preop to immediate follow-up (p=0.02). There was no statistically significant difference between 2 groups’ preoperative or 2 year coronal balance or trunk shift (Table 6). However, there was a significant difference between operative time (HD 292 minutes vs. LD 252 minutes, p= 0.008). HD group had higher mean blood loss than LD group, although this difference was not significant (p=0.22) (Table 5). There was no difference in SRS-30 total scores at preop and 2 year follow-up and again Risser grade had no effect on density.

**DISCUSSION**

Lenke Type 1 thoracic scoliosis is the most common type of AIS deformity. To correct the deformity and to recover the trunk balance is the main goal in the surgical management of AIS patients. Additionally, significant correction has been a desired goal since the introduction of higher density constructs in the 1980’s. Several studies confirm that increased implant density of pedicle screw instrumentation is correlated with increased coronal correction. On the other hand studies of lower-density fixation, such as skipped pedicle screw placement constructs, report this to be an efficient and safe method in management of AIS. Radiologic parameters after AIS surgical treatment do not correlate with clinical outcomes as evaluated by SRS 30 questionnaire scores, confirming that the need for greatest deformity correction is perhaps unfounded. In this study, patients treated with HD instrumentation had larger preoperative...
Increased blood loss is frequently associated with larger preoperative Cobb angles, longer fusion, increased implant density, and higher BMI. Thoracic hypokyphosis (THK) is a main feature of the 3-dimensional deformity typical of Lenke 1 AIS patients. Increased blood loss and shorter operative time. An additional theoretical effect of marked THK on the respiratory function in AIS has been previously documented. It is generally accepted that increased blood loss and allogeneic transfusion are associated with increased surgical complications. There is also conflicting literature regarding the contributing factors toward increased intraoperative blood loss in the management of pediatric spinal deformity. Increased blood loss is frequently associated with larger preoperative Cobb angles, longer fusion constructs, and the addition of osteotomies. Chang et al. suggested that the use of fewer screws can reduce bleeding and shorten the operative time. We have shown that LD implant instrumentation achieved similar outcomes, as judged by SRS-30 scores (Table 4), as HD constructs, with significantly reduced blood loss and shorter operative time. An additional theoretical advantage of an LD construct is related to the fewer number of pedicle screws to be inserted. Malpositioned screws have been implicated in vascular and neurologic injuries, with up to 1.8-5.1% of screws being malpositioned in pediatric deformity cases. Assuming 10 spinal levels are fused with two screws placed per level, this potentially represents one malpositioned screw per patient. While the clinical significance of asymptomatic malpositioned implants remains unknown, reducing the number of implants used decreases the amount of intraoperative radiation for screw placement, and theoretically decreases the potential for malpositioned implants, and thus the potential for revision surgery to correct malpositioning as well as decreasing the risk of vascular or neurologic injury. Finally, although not specifically evaluated in this study, the use of fewer implants can also save cost of surgical management.

### Table 3. SRS scores: Preop vs 2 year follow-up in study cohort and matched groups.

| Study cohort | Preop | 2 Year follow-up | Matched group | 2 Year follow-up |
|--------------|-------|-----------------|---------------|-----------------|
|              | LD    | HD   | P      | LD    | HD   | P    | LD    | HD   | P    |
| Pain         | 4 ± 0.6 | 4.1 ± 0.6 | 0.38 | 4.2 ± 0.7 | 4.3 ± 0.5 | 0.41 | 3.9 ± 0.6 | 4.1 ± 0.5 | 0.25 | 4.1 ± 0.8 | 4.4 ± 0.4 | 0.46 |
| Appearance   | 3.3 ± 0.6 | 3.2 ± 0.5 | 0.44 | 4 ± 0.7 | 4.3 ± 0.5 | 0.16 | 3.2 ± 0.7 | 3.3 ± 0.5 | 0.83 | 4 ± 0.7 | 4.3 ± 0.5 | 0.31 |
| Activity     | 4.2 ± 0.5 | 4.1 ± 0.6 | 0.83 | 4.2 ± 0.5 | 4.3 ± 0.5 | 0.16 | 4.2 ± 0.6 | 4.2 ± 0.5 | 0.98 | 4.1 ± 0.6 | 4.4 ± 0.4 | 0.06 |
| Mental       | 4.1 ± 0.6 | 3.9 ± 0.7 | 0.57 | 4.2 ± 0.6 | 4.2 ± 0.6 | 0.65 | 3.9 ± 0.6 | 4.1 ± 0.5 | 0.37 | 4.2 ± 0.6 | 4.2 ± 0.6 | 0.73 |
| Satisfaction | 3.6 ± 0.9 | 3.6 ± 0.9 | 0.8 | 4.4 ± 0.7 | 4.6 ± 0.5 | 0.1 | 4.1 ± 0.8 | 3.6 ± 0.8 | 0.44 | 4.5 ± 0.7 | 4.6 ± 0.5 | 0.65 |
| Total Score  | 3.9 ± 0.4 | 3.8 ± 0.4 | 0.73 | 4.1 ± 0.3 | 4.3 ± 0.3 | 0.16 | 3.8 ± 0.5 | 3.9 ± 0.3 | 0.75 | 4.1 ± 0.3 | 4.3 ± 0.2 | 0.1 |

### Table 4. Preop clinical: Study cohort and matched group.

| Study cohort | Matched group | p value | Study cohort | Matched group | p value |
|--------------|---------------|---------|--------------|---------------|---------|
| Age at surgery | 14.4 ± 2 | 14.1 ± 2 | 0.47 | 14.2 ± 2.2 | 14.3 ± 2.1 | 0.75 |
| Height       | 156.8 ± 8.4 | 157.3 ± 8.6 | 0.7 | 154.4 ± 8.7 | 157.6 ± 8.7 | 0.17 |
| Weight       | 54.6 ± 52.5 | 54.6 ± 16.7 | 0.82 | 54.1 ± 14.8 | 55.6 ± 18.1 | 0.73 |
| BMI          | 22.7 ± 20.1 | 21.9 ± 5.2 | 0.48 | 22.5 ± 4.9 | 22.1 ± 5.7 | 0.61 |
| Preop, major curve | 59.1 ± 6 | 65.6 ± 10.5 | 0.00 | 62.7 ± 7 | 61.7 ± 7.6 | 0.55 |
| Total Score  | 3.9 ± 0.4 | 3.8 ± 0.4 | 0.73 | 4.1 ± 0.3 | 4.3 ± 0.3 | 0.16 |

### Table 5. Matched Groups Surgical Outcomes.

|                  | LD     | HD     | p value |
|------------------|--------|--------|---------|
| Level fused      | 9.4 ± 1.3 | 10 ± 1.5 | 0.09 |
| Total Implants   | 10.3 ± 2.3 | 16.1 ± 2.5 | <0.001 |
| Implant Density  | 1.1 ± 0.2 | 1.6 ± 0.1 | <0.001 |
| EBL              | 486.7 ± 216.2 | 642.3 ± 420.8 | 0.23 |
| Operative time   | 251.7 ± 82.5 | 292.5 ± 73.6 | 0.008 |

### Table 6. Matched Group Radiographic Outcomes.

|                  | LD     | HD     | p      |
|------------------|--------|--------|--------|
| Preop Major Curve | 62.7 ± 7 (51, 77) | 61.7 ± 7.6 (48, 75) | 0.56 |
| 2 Year Major Curve | 31 ± 15.7 (-20, 53) | 20.7 ± 11.8 (-19, 39) | <0.001 |
| ∆ Major curve: preop & immediate | -32.8 ± 11.1 (-60, -9) | -39.2 ± 10.9 (-64, -15) | 0.02 |
| Preop Coronal Balance | 9.9 ± 7.4 (0, 24) | 12.7 ± 11.3 (0, 40) | 0.52 |
| 2 Year Coronal Balance | 11.9 ± 9.6 (0, 38) | 13.5 ± 11 (0, 38) | 0.65 |
| Preop Trunk Shift | 16.2 ± 9.6 (2, 37) | 18.8 ± 11.4 (1, 47) | 0.37 |
| 2-Year Trunk Shift | 9.9 ± 7.3 (0, 30) | 10.7 ± 6.6 (0, 28) | 0.87 |
| Preop Kyphosis    | 26.5 ± 13.9 (1, 57) | 32.7 ± 13.3 (7, 56) | 0.07 |
| 2-Year Kyphosis   | 33.6 ± 13.1 (8, 60) | 32.7 ± 12.9 (5, 53) | 0.89 |
| ∆ Kyphosis: preop & immediate | 2.3 ± 10.2 (-24, 24) | -4.3 ± 10.3 (-27, 11) | 0.02 |
In conclusion, we have demonstrated in this single-institution comparison of Lenke 1B and 1C curve patterns treated by either LD or HD constructs that, while the correction achieved in the HD group is greater than that for the LD group, the patient-derived outcomes at 2-year follow-up as judged by the SRS-30 scores are no different. Advantages of the LD constructs included fewer segments fused, lower intraoperative blood loss and less operative time, and potentially decreasing complication risks due to fewer implants. Given the equivalent clinical outcomes, a reduction in the number of pedicle screws used for spinal fusion would increase patient safety and surgical efficiency. LD instrumentation was successful in the treatment of AIS with Lenke 1B and 1C patients with satisfactory correction of coronal and sagittal deformity while reducing blood loss and operative time.

AUTHORS’ CONTRIBUTIONS: Each author made significant individual contributions to this manuscript. BEK (0000-0003-1229-9815)*: data collection, drafting and revision of the manuscript. DT (0000-0001-7178-1981)* performed the surgeries and revision. *ORCID (Open Researcher and Contributor ID).

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