Enhanced dereplication of fungal cultures via use of mass defect filtering

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Effective and rapid dereplication is a hallmark of present-day drug discovery from natural sources. This project strove to both decrease the time and expand the structural diversity associated with dereplication methodologies. A 5 min liquid chromatographic run time employing heated electrospray ionization (HESI) was evaluated to determine whether it could be used as a faster alternative over the 10 min ESI method we reported previously. Results revealed that the 5 min method was as sensitive as the 10 min method and, obviously, was twice as fast. To facilitate dereplication, the retention times, UV absorption maxima, full-scan HRMS and MS/MS were cross-referenced with an in-house database of over 300 fungal secondary metabolites. However, this strategy was dependent upon the makeup of the screening in-house database. Thus, mass defect filtering (MDF) was explored as an additional targeted screening strategy to permit identification of structurally related components. The use of a dereplication platform incorporating the 5 min chromatographic method together with MDF facilitated rapid and effective identification of known compounds and detection of structurally related analogs in extracts of fungal cultures.

INTRODUCTION

As Omura and colleagues1 showed the world via their research on avermectins4–13 (and many other compounds), nature is a prolific source of chemical diversity, providing humanity with many drugs and drug leads for a suite of diseases.4–7 A common characteristic of natural product extracts is that they consist of a mixture of compounds, often structurally related, although present at varying abundance. As such, it is desirable to have a means to prioritize samples, such that valuable time and financial resources can be focused on those extracts most likely to yield new structural diversity. An important part of this discovery process involves dereplication, a rapid identification of known compounds in extracts in order to focus on the isolation of new chemical entities. Several recent advancements in dereplication strategies, most involving hyphenated spectroscopic techniques, have been pivotal to the increased efficiency in natural product-based drug discovery.8–10 In particular, MS coupled with electrospray ionization (ESI) has become increasingly more important in natural product drug discovery, especially for chemical profiling of complex extracts.11–13 Hyphenation of liquid chromatography with ESI-MS has increased the pace of dereplication by providing an efficient means of identifying the multiple components of complex extracts before further purification and isolation work.10,14

Our research team has been working on the dereplication of fungal cultures for about a decade, particularly within a drug discovery program that aims to identify new anticancer lead compounds.15,16 This started with a generalized dereplication methodology utilizing UV and 1H NMR spectra and targeting distinct classes of mycotoxins,17 as these nuisance compounds yield positive results in cytotoxicity-based assays. This protocol evolved significantly to target a wider range of secondary metabolites in fungal extracts using a complementary suite of hyphenated techniques, specifically ultraperformance liquid chromatography–photodiode array–high resolution tandem mass spectrometry (UPLC-PDA-HRMS-MS/MS). Finally, the latter was augmented with a droplet liquid microjunction surface sampling probe so as to analyze the secondary metabolite profile of fungal cultures in situ.18

Mass defect filtering (MDF) was developed recently and is finding growing use in drug metabolite profiling studies.19–21 This approach utilizes the full-scan HRMS data, and detection of drug metabolites is accomplished via data mining after acquisition. MDF is based on the fact that each isotope of every element has a defined mass defect (that is, the nonintegral portion of an m/z value). This is why each molecule of a defined elemental composition will have a unique exact mass, typically observed in the 1000ths to 10 000ths place.

The useful application of MDF in drug metabolism studies is centered on the observation that the core structure of a drug does not change significantly during biotransformation.19,22,23 Thus, the mass defect between the parent drug and its metabolites will fall within a
narrow range (typically within 50 mDa). If a mass defect filter window is centered on the mass defect of the parent drug, then ions with a mass defect outside of this window will be excluded, resulting in the removal of interference signals in the total ion chromatogram. Importantly, this then facilitates the selective identification of drug metabolite peaks from the noise in complex biological matrices.

Aside from drug metabolite identification, MDF has been adapted for use in the qualitative examination of natural products, particularly those based on herbas.\textsuperscript{24–26} However, this data-mining tool has not been used in the analysis of fungal secondary metabolites. Theoretically, compounds produced by fungi can be classified into distinct classes, each class sharing the same core structure. Thus, if a filter reference and substituents around the core structure are carefully defined, then mass defect filters can be constructed to exclude the majority of unrelated ions. This should, in turn, facilitate the identification of structural analogs in the extract.

In this study, MDF is presented as an additional approach for the targeted screening of secondary metabolites in fungal extracts. The merger of UPLC-PDA-HRMS-MS/MS dereplication with MDF permits additional profiling for structurally related components in the sample based on their mass defects (Scheme 1). This workflow enhances the dereplication methods so as to screen for a wider range of secondary metabolites produced by fungal cultures.

**RESULTS AND DISCUSSION**

**The 5 min HESI method**

Previously, we developed a dereplication method for diverse classes of fungal secondary metabolites\textsuperscript{16} in extracts on an LTQ Orbitrap.\textsuperscript{27} That protocol utilized a 10 min liquid chromatographic run time to acquire full-scan HRMS and MS/MS (using a normalized collision energy of 30) spectra in both positive and negative ESI modes, with UV absorption maxima and retention times used as orthogonal data. To

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**Scheme 1** Proposed workflow for dereplication of fungal extracts including a 5 min ultraperformance liquid chromatography-photodiode array-high resolution tandem mass spectrometry (UPLC-PDA-HRMS-MS/MS) methodology. HRMS and MS/MS (collision-induced dissociation (CID) 30) data were acquired by LTQ Orbitrap using data-dependent scan. A postacquisition data analysis using IntelliXtract, an add-in feature of ACD MS Manager, cross-references the molecular ion peaks and retention times to identify compounds known to the in-house database. For targeted analysis of a specific class of compounds, a user-defined mass defect filtering criterion was designed based on accurate masses and mass defects centered around a core structure. The HRMS data were filtered with Compound Discoverer software to identify components related to the known compounds. The identity of the known compounds were verified based on HRMS, MS/MS fragmentation pattern, retention time and UV absorption maxima. The tentative identity of the discovered unknown compounds were assigned based on the interpretation of their CID-MS/MS (30 eV) fragmentation patterns.

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facilitate dereplication, these data were uploaded into ACD MS Manager with add-in Intelliextract software that cross-references the molecular ion peaks and retention times with an in-house database of over 300 fungal secondary metabolites. Molecular ions identified by the software that matched the database were confirmed by comparison of the HRMS, MS/MS, retention time and UV data to that of the standard in the database. With this method, nearly all of the fungal metabolites (> 98%) in the in-house database ionized efficiently in the ESI⁺ mode, whereas ~90% of the samples ionized in the ESI⁻ mode. In total, this suggested the ESI⁺ mode as the ionization of choice for sample dereplication, unless knowledge of the samples or extracts indicated ionization in ESI⁻ mode.

Although valuable for routine analysis of a large number of samples (batches of > 50 samples), the 10 min liquid chromatographic method was not ideal, particularly for shared instruments. Thus, a dereplication method utilizing a 5 min run time at a 0.6 ml min⁻¹ flow rate via heated electrospray ionization (HESI) was evaluated to determine whether it could be used as a faster alternative. The use of HESI was important,²⁸,²⁹ as it would allow better desolvation, given that a higher flow rate utilized in the 10 min method.

As proof of concept, about a third of the fungal library (> 100 compounds) was analyzed in the HESI⁺ using the 5 min method compared with the 0.3 ml min⁻¹ flow rate utilized in the 10 min method.

To compare the performance characteristics of the 10 min ESI and the 5 min HESI dereplication method, the two related parameters, limit of detection (LOD) and limit of quantitation (LOQ), were measured for each method, both in the positive ionization mode. The LOD and LOQ values were obtained from the calibration data and regression line directly (Supplementary Figures S1 and S2). Five representative compounds (1–5) were tested that had molecular weights ranging from 373 to 1963 amu, and this included compounds that were based on polyketide building blocks, amino acid building blocks and those with both in their scaffolds (Supplementary Table S1). In general, the 5 min HESI method had comparable sensitivity to the 10 min ESI method based on the LOD and LOQ values obtained for the five analytes tested (Supplementary Table S1).

Dereplication of fungal extracts

An extract of MSX40080 was dereplicated and determined to contain aflatoxins, thus explaining its potent cytotoxicity.²⁷ To test whether the 5 min method would be able to identify the same aflatoxins, the extract was reanalyzed (Figure 1). Five aflatoxins, including sterigmatocystin (6), 5-methoxysterigmatocystin (7), aversin (8), brevianamide P (9) and 6,8-di-O-methyl averufin (10), were identified, consistent with previous results.²⁷ Comparison of data obtained from both methods indicated that only the retention times were different for the detected compounds, as shown for 6 (Figure 2) and 7–10 (Supplementary Figure S3). In the context of discovering leads for an anticancer drug discovery program, aflatoxins are an obvious nuisance, making their rapid dereplication critical.

Dereplication using UPLC-PDA-HRMS-MS with MDF

The first step of the dereplication strategy was focused on the targeted screening of secondary metabolites in our library using the 5 min UPLC-HRMS-MS/MS methodology. To take this dereplication strategy a step further, identified hits were expanded upon by screening for

Figure 1 (a) The (+)-ESI-TIC of MSX40080. (b) The selected ion chromatogram (SIC) of dereplicated aflatoxins (6–10) in MSX40080. ESI, electrospray ionization; TIC, total ion chromatogram.
potential analogs with the aid of Compound Discoverer data-processing software utilizing MDF. MDF was essential to remove the majority of interfering ions and facilitate detection of the potential structural class of related compounds via post acquisition processing of HRMS data. Theoretically, each structural analog possessed relatively minor and well-defined changes in the mass defect from filter reference compounds. Therefore, the mass defects between the reference skeletons and related analogs should fall within a defined mass defect window.

The case of identifying epipolythiodioxopiperazine (ETP) alkaloid analogs in different fungal cultures illustrates the use of the UPLC-PDA-HRMS-MS/MS dereplication strategy and the benefits of MDF. Recent studies have identified verticillin A (11) as an effective tumor suppressor, inducing tumor cell apoptosis in metastatic human colorectal cancer cells at nanomolar concentrations,\(^30,31\) and structurally related analogs have been shown to have potent biological activities.\(^32-34\) Therefore, it was valuable to identify these compounds in extracts, and at the same time determine the presence of structurally related analogs that were not part of our library. In this case we were using dereplication as a means to identify other fungi that biosynthesize ETP alkaloids.\(^34\) Dereplication of this strain using the 5 min HESI\(^+\) method identified six known ETPs, including verticillin A (11), Sch 52901 (12), verticillins H (13), 11′-deoxyverticillins (14), Sch 52900 (15), and gliocladicillin C (16) based on retention times, HRMS and MS/MS data (Figure 3 and Supplementary Figure S5). The total ion chromatogram of MSX59553 was analyzed further by MDF with the aim of revealing additional structurally related analogs. MDF significantly reduced the amount of interfering ions (Supplementary Figure S6), facilitating identification of related analogs that were present in the extract. The characteristic peaks of ETPs captured by MDF was consistent with the verticillins identified in the previous analysis.

**Identification of the fragmentation pattern for ETP alkaloids**

The benefit of using MDF in conjunction with the traditional targeted dereplication strategy is that it gives additional insight into the remaining unknown compounds present in the extract by simplifying the data. Once a potential structurally related compound based on mass defect is identified, its structure must be confirmed. However, with the absence of a standard, this might be a challenging task. Thus, to facilitate characterization within the context of an extract, the characteristic collision-induced dissociation (CID) fragmentation can be analyzed to tentatively assign a structure. In the literature, several studies have been reported that use a CID-MS/MS-based workflow for structural elucidation of natural products.\(^35-37\) Implementation of this strategy requires knowledge of the fragmentation patterns of compounds in the same structural class.

Compounds having the same core substructure generally exhibit similar mass fragmentation patterns, and thus can be identified based on their diagnostic fragment ions.\(^26\) For the case of ETP alkaloids, the recurrent fragmentation pattern was characterized based on the MS/MS CID fragmentation taken in positive mode (Supplementary Figure S5). In general, desulfonylation of the precursor ion peak

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**Figure 2** Comparison of SIC (m/z 325), (+)-HRMS, CID MS/MS (30 eV) and UPLC-PDA data of sterigmatocystin (G) detected in MSX40080 using (a) the 5 min and (b) the 10 min dereplication protocols. CID, collision-induced dissociation; PDA, photodiode array; SIC, selected ion chromatogram; UPLC, ultraperformance liquid chromatography.
([M-2S+H]+, arising from the polysulfide bridge in each of the dioxopiperazine rings, were observed in all CID-MS/MS analyses. Nearly all compounds exhibited dehydration of the [M-2S+H]+ ion, whereas the compound 11'-deoxyverticillin A (14), which possessed one hydroxy group, did not. This may indicate that the removal of a H2O was dependent on the number of hydroxy moieties in the compounds. After H2O loss, a fragment peak, indicating loss of one of the dioxopiperazine moieties was observed. For instance, in verticillin A, the fragment peak at m/z 615.18 was followed by a peak at m/z 465.08, corresponding to a difference of 150 Da and accounting for the loss of a dioxopiperazine moiety (C7H6N2O2). After this observed dissociation, dehydration and desulfurization occurred, most likely in the other dioxopiperazine moiety of the core.

With the examination of the CID diagnostic fragment ions of the ETP standards (Supplementary Figure S5), we sought to apply this to the rapid characterization of analogs of the ETPs in other complex mixtures, where laboratory reference standards were not available.

### Analysis of verticillin production in G35

A culture of Colletotrichum floriniae (G35) was identified previously by dereplication to produce 11 and 15. However, refermentation of the culture using the standard rice culture conditions failed to produce any structurally related analogs. In order to revive the ETP biosynthetic pathway, the OSMAC (one strain-many compounds) approach was applied. Using this strategy, 12 separate growth conditions providing different nutrients were tested to identify the most favorable condition that would lead to the revival of the biosynthetic pathway. Still, no analogs were dereplicated in any of the extracts.

Upon analysis of the HRMS data with MDF, a culture of G35 grown in oatmeal agar (Difco, Sparks, MD, USA) showed a peak that had a corresponding value at m/z 757.1212 that matched the monoisotopic mass of protonated verticillin D (17) with +3.3 ppm mass accuracy (Figure 4). The peak with m/z 757.1212 was identified as an ETP alkaloid based on comparison of the characteristic losses observed in its MS/MS spectrum (Figure 4), exhibiting a distinctive fragmentation pattern.
pattern similar to that of verticillin A (Supplementary Figure S5). In particular, the fragment ions at m/z 693.11 and m/z 675.10 indicated desulfurization and dehydration, respectively.

Coincidentally, 17 was isolated from a fungal culture of a *Clonostachys* sp. (G600), and this presented an opportunity to verify the identity of the peak observed in G35. The HRMS and MS/MS data for 17 were analyzed and matched that of the compound with m/z 757.1212 from G35 (Figure 4). This result verified that 17 was indeed being produced in low quantities by G35 in oatmeal agar, an observation that would not be possible without MDF.

This result demonstrated the advantages of incorporating MDF as a processing technique in the dereplication platform. Verticillin D (17) was not part of the database when the samples were dereplicated and processed originally. As MDF is a post acquisition data mining technique that analyzes solely the HRMS data, samples can be reevaluated as more data become available.

**Co-culture analysis using dereplication with MDF**

Analysis of the extract of G600 and a strain of *Lindgomycetes madisonensis* (G416) grown in co-culture illustrates the use of dereplication with MDF to determine the production of induced secondary metabolites. In this case, the goal was to determine the presence of structurally related ETPs in the extract. As mentioned previously, G600 was determined to biosynthesize 17; G416, on the other hand, was known to produce mainly polyketides.30 The co-culture was analyzed, and the resulting mass defect profile was compared with separate cultures of G600 and G416 (Figure 5). As expected, 17 was identified and appeared to be the most abundant in both G600 and the co-culture (Supplementary Figure S7). Further inspection of the mass defect profile of the cultures indicated that the co-culture had a peak at m/z 755.1062 that was not detected in a monoculture of G600. The accurate mass for the detected molecular ion peak matched that of verticillin F (18), a dimeric ETP alkaloid, at ±3.0 ppm mass accuracy (Figure 6). The MS/MS fragmentation for the peak indicated desulfurization of the precursor ion peak (m/z 690.16), followed by dehydration (m/z 673.03), possible loss of one of the dioxopiperazine moieties (m/z 495.01) and then desulfurization and dehydration (m/z 413.22), all consistent with the distinctive dissociation of ETPs (Figure 6). Based on this observation, the molecular ion peak identified could be tentatively assigned as verticillin F (18), even in the absence of a standard for confirmation.

Interestingly, the mass defect profile for a monoculture of G416 exhibited a peak at m/z 683.1545. However, the MS/MS profile for that peak did not display the characteristic pattern indicative of an ETP alkaloid (Supplementary Figure S8). This suggested that the detected peak was a false positive and illustrates how MS/MS data can be used to either verify or refute potential hits.

**CONCLUSION**

A method to dereplicate fungal extracts was further optimized. This methodology improves a previous dereplication strategy by combining two schemes: (1) targeted screening of compounds known to an in-house built database using a 5 min UPLC-PDA-HRMS-MS/MS where HESI was utilized and ACD/IntelliXtract software to facilitate the dereplication; (2) MDF of resulting accurate mass full-scan raw data for a targeted identification of selected known natural products. In particular, MDF is a data-mining technique that can be applied after acquisition, permitting the reevaluation of data when future compounds of interest are identified.

**EXPERIMENTAL PROCEDURE**

**Fungal samples**

All fungal samples used in this study were from either the Mycosynthetix (MSX) culture collection (Hillsborough, NC, USA) or the University of North Carolina at Greensboro, Department of Chemistry and Biochemistry, Fungal Culture Collection (Greensboro, NC, USA).

**Isolation and identification of fungal strains**

Dr Barry Katz isolated Mycosynthetix fungal strain MSX59553 in January 1992 from leaf litter,38 whereas strain MSX40080 was isolated from oak leaf litter in September 1988. Strain G600 was isolated from submerged wood from fresh water in Knight-Brown Nature Preserve in North Carolina, USA (N 36 18.861′, W 80 0.423′). Strain G35 was isolated and identified from surface sterilized seeds of *Hydrastis canadensis* (goldenseal) as *Colletotrichum foriniae* (KX110401).38 In addition to examining the micromorphological characteristics, for molecular identification of new strains dereplicated in the present study (MSX59553 and G600), the internal transcribed spacer region of the nuclear RNA operon was sequenced and analyzed via BLAST search (National Center for Biotechnology Information, Bethesda, MD, USA). In addition, we constructed a Maximum Likelihood phylogenetic tree by incorporating internal transcribed spacer sequences from the newly sequenced strains along with internal transcribed spacer sequences used in a recent study on molecular identification of *Clonostachys* spp51 based on the outcome of results from BLAST search. Methods for sequencing of the strains, BLAST search and Maximum
Likelihood phylogenetic analysis have been summarized previously. Based on morphological and molecular data, MSX59553 was identified as *Clonostachys rogersoniana*, as it occurred in a strongly supported clade (99% PHYML bootstrap support) with other sequences of *C. rogersoniana*, including a reference strain (CBS 582.89). Culture G600 was identified as *Clonostachys sp*. The Maximum Likelihood tree along with micromorphological characters of the newly sequenced strains are presented in Supplementary Figures S9 and S10. The newly obtained sequences from...
Fungal cultures were grown as described previously. 34,47,48 Once the screener cultures were generated, 60 ml of 1:1 CH₂OH-ChCl₂ was added into each flask, followed by shaking for ~16 h at room temperature on an orbital shaker set at ~100 r.p.m. The resulting mixtures were filtered under vacuum. To the filtrate, 90 ml of CHCl₃ and 150 ml of H₂O were added, and the mixture was stirred for 30 min and then transferred into a separatory funnel. The bottom layers were drawn off and dried in vacuo. The dried organic extracts were defatted by reconstituting in a mixture of 100 ml of 1:1 CH₂OH·CH₂CN and 100 ml of hexane, and then partitioned. The CH₂OH·CH₂CN layers were collected and concentrated in vacuo.

For G35, from the seed culture, an inoculum was transferred onto 100 mm × 15 mm Petri dishes with solid oatmeal agar (Difco) mix. The cultures were then allowed to grow for 28 days at room temperature before they were extracted. Extraction of these samples were performed in the same way as the screener cultures.

UPLC-PDA-HRMS-MS/MS

Fungal extracts were dissolved with CH₂OH-dioxane (1:1, v/v) to obtain a final concentration of 2 mg ml⁻¹. UPLC-HESI-HRMS-MS/MS was performed on an Acquity UPLC system (Waters, Milford, MA, USA) equipped with a cooled autosampler kept at 10°C, photodiode array detector (PDA), column manager and binary solvent manager, all coupled to an LTQ-Orbitrap XL mass spectrometry system (Thermo Finnigan, San Jose, CA, USA) with HESI.

UPLC separations were performed at 40°C on a 50 mm × 2.1 mm i.d., 1.7 μm Acquity BEH C₁₈ column (Waters) equipped with a security guard precolumn. A linear CH₃CN-H₂O gradient of 15% CH₃CN-H₂O to 100% CH₃CN in 4 min was applied at a constant flow rate of 0.6 ml min⁻¹; then 100% CH₃CN was maintained for 0.75 min before returning to the starting conditions in 0.25 min. All solvents used were acidified with 0.1% formic acid. PDA data were collected from 191 to 499 nm with resolution of 3.6 nm at a 5 point per s sampling rate.

The HESI source was operated in the positive ionization mode with the following conditions: vaporizer temperature set at 300°C, capillary temperature set at 275°C, 4.0 kV for the source voltage; 9.0 V for the capillary voltage; and 100.0 V for the tube lens; Nitrogen sheath gas flow rate set at 35.00 arb. Full-scan HRMS data were acquired from m/z 100 to 2000. CID energy of 30 eV in the ion trap was used to obtain MS/MS fragmentation data. The system was controlled with Thermo Xcalibur software version 2.2 (Thermo-Fisher Scientific, San Jose, CA, USA).

The LC-MS raw data were processed by the add-in IntelliXtract feature of the ACSDMS Manager (Advanced Chemistry Developments, Toronto, ON, Canada). MDF of the HRMS data was performed with Thermo Scientific Compound Discoverer software version 1.0 (Thermo Scientific, San Jose, CA, USA).

LOD and LOQ data analysis

Calibration curves were obtained by preparing twofold serial dilutions of the standards that were analyzed in triplicate via 3 p.i. injections. All LC-HRMS data were processed using Thermo Xcalibur to automatically integrate and calculate the MS signal peak area of each analyte over noise. Linearity of the calibration curves was assessed by least-squares analysis. The concentration of the analyte that gives the LOD (C₁LOD) and the concentration at LOQ (C₂LOQ) were calculated as 3 times the s.e. of the regression line (s_yx) divided by the slope (b) and 10 times s_yx divided by b, respectively. The standards, alamethicin F50 (1), trichokinin VI (2), equisetin (3), acromedin A (4) and acuminatum B (5), were dissolved separately in a 1:1 CH₂CN-dioxiane mixture to produce a 15726 μM stock solution of each. An aliquot from each standard solution was taken to prepare a 2621 μM multi-standard stock solution. A twofold serial dilution of the multistandard stock solution was prepared to make 20 standard solutions at concentrations ranging from 0.0025 to 1310 μg.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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