Comparing Transcatheter Aortic Valve Replacement (AVR) With Surgical AVR in Lower Risk Patients: A Comprehensive Meta-Analysis and Systematic Review

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Abstract

Background: Transcutaneous aortic valve replacement (TAVR) is a novel percutaneous procedure for severe aortic stenosis and has been recently approved by Food and Drug Administration in lower risk patients. We performed the first ever meta-analysis and literature review of clinical trials comparing both 30-day and 1-year outcomes in lower risk patients undergoing TAVR vs. surgical aortic valve replacement (SAVR, having Society of Thoracic Surgeons score < 4% or equivalent).

Methods: Using predefined selection criteria as above, 68 articles were identified. Seven eligible articles were selected after extensive review. Primary effect outcomes were 30-day and 1-year all-cause mortality using risk ratio (RR) with significant P value of < 0.05.

Results: A total of 4,859 subjects were included. Risk of 30-day all-cause mortality was 40.1% less in TAVR group, RR 0.59 (95% confidence interval (CI): 0.38 - 0.92, P = 0.02) with no significant heterogeneity. Six studies except Schymik et al also reported 1-year risk. This was, however, not statistically significant with a 21% decrease in the TAVR group, RR 0.79 (95% CI: 0.57 - 1.09, P = 0.15). Six studies reported 30-day risk of secondary outcomes. The risk of 30-day stroke was 36% less in TAVR group, although this was not statistically significant, RR 0.64 (95% CI: 0.38 - 1.9, P = 0.10). The risk of acute kidney injury (AKI) stage 2 and above was 56% less in post-TAVR patients, RR 0.43 (95% CI: 0.35 - 0.54, P < 0.001) with no heterogeneity. For vascular complications, RR was high in TAVR group 4.62 (95% CI: 1.42-15.18, P = 0.01). Significant heterogeneity was demonstrated though ($I^2 = 81$). The risks for permanent pacemaker (PPM) were also higher in the TAVR group, RR 3.30 (95% CI: 2.04 - 5.33, P < 0.001) and significant heterogeneity was observed. After removing Thyregod et al and Partner 3 trial from the analysis, heterogeneity was removed, but the RR was still high 3.21 (95% CI: 2.54 - 4.068, P < 0.001). Post-operative incidence of endocarditis among TAVR patients was low but not statistically significant. The 30-day risk for infective endocarditis was RR 0.67 (95% CI: 0.13 - 3.48, P = 0.63). The 1-year risk was similarly low but not significant, RR 0.73 (95% CI: 0.28 - 1.92, P = 0.53).

Conclusions: Among low risk patients, TAVR was found to be superior in short-term all-cause mortality and 1-year stroke, a result that was statistically significant for TAVR and close to significance for stroke. TAVR patients were also less likely to have post-operative bleeding and AKI stage 2 and beyond. Post-operative incidence of endocarditis among TAVR patients was low but not statistically significant. However, the rates of PPM and vascular complications are higher in TAVR patients. The results of TAVR in low risk population are thus extremely encouraging. However, the issue of long-term valve durability in this group needs further studies. Also, caution needs to be exercised while extending the indications to extremely young patients due to lack of enough studies.

Keywords: Transcatheter aortic valve replacement; Surgical aortic valve replacement; Low risk; All-cause mortality; Stroke

Introduction

Transcutaneous aortic valve replacement (TAVR) is a novel percutaneous procedure for aortic stenosis. It was initially approved by the Food and Drug Administration (FDA) in patients at high and intermediate risk for surgery due to comparable mortality outcomes [1]. Recently, several compelling trials such as Partner 3 trial and Evolut Low Risk trials allowed the FDA to approve TAVR not only for high and intermediate risk but also for low surgical risk patients [2-4].

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TAVR in low surgical risk patients is, although still debatable. A 2016 meta-analysis by Arora et al compared four previous trials in low risk patients as stratified by low Society of Thoracic Surgeons Predicted Risk of Mortality (STSPROM) or Euro mean scores and showed better results in TAVR patients regarding 30-day mortality and secondary outcomes, although the data were not statistically significant [5, 6]. Patients with TAVR were also noted to have higher vascular complications with an increased risk of post-procedure permanent pacemaker (PPM) implantation. However, now with the addition of three major trials over the last 3 years, we attempt to compare these trials once again in regards to both short- and long-term morbidity and mortality. Hopefully, with the addition of more power and the first time ever study of long-term complications, this will be helpful in providing new insights as what to expect in low risk patients in the future.

**Objectives**

We attempt hereby to perform a meta-analysis and literature review of clinical trials involving human intervention studies comparing both short-term (30 day) and long-term (1 year) complications of TAVR vs. SAVR in low risk patients. Our hypothesis was that TAVR is superior both in morbidity and mortality to SAVR in patients at lower surgical risks. This is the first ever meta-analysis comparing both long- and short-term complications involving the most number of studies published yet.

**Materials and Methods**

We followed the preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines [7].

**Search strategy**

A predefined inclusion criterion was established in advance. Potentially relevant published clinical trials were identified in Medline, Embase, chemical abstracts and Biosis (from start of the databases till date). The following search items were used to search titles and abstracts: percutaneous? or transcatheter? or transcatheter aortic valve replacement? or TAVR? or TAVI and surgical aortic valve replacement in low risk patients or SAVR in low risk patients. Studies were considered low risk if the overall surgical risk was less than STSPROM score of 4%, less than 5% and 10% for logistic Euro score I or logistic Euro score II, respectively. Studies were limited to involving humans only.

**Selection criteria**

Two researchers independently performed an electronic search of PubMed and Web of Science databases. The study was limited to human intervention studies involving TAVR vs. SAVR with Society of Thoracic Surgeons score (STSS) definition of low risk patients with a score less than 4% or equivalent mean European System for Cardiac Operative Risk Evaluation (EuroSCORE) [8-12]. Observational studies were excluded to determine better cause and effect analysis. No language restrictions were made. Studies were included if they met the following criteria: intervention with TAVR or SAVR as the only or a part of the variables and primary outcome of interest as 30-day and 1-year mortality. Secondary outcomes included 30-day and 1-year risk of stroke, pacemaker implantation, major vascular complications, valvular endocarditis, new onset atrial fibrillation and acute kidney injury (AKI) stage 2 and above. Sixty-eight articles were identified after the search. The second selection step involved proof-reading of those articles to ensure the first step was performed correctly. Articles were excluded if data on SAVR or TAVR were missing or primary and secondary outcomes of interest were not available. In case of unclarity, inclusion of the studies was discussed amongst the authors to arrive at a final decision.

**Data extraction and statistical analysis**

Data were extracted from each study using a standardized spread sheet which involved study identification (author, year of publication and country), study type, percent males, type of valve used, subject baseline characteristics with history of coronary artery disease, peripheral arterial disease, myocardial infarction, chronic lung disease, peripheral neuropathy, number of cases in surgical and transcatheter arms, intravenous (IV) access route used whether femoral or radial, time of exposure, 30-day mortality, 30-day and 1-year stroke, 30-day new onset atrial fibrillation and miscellaneous notes at the end.

As a primary effect estimate, risk ratio (RR) and 95% confidence interval (CI) across studies were used with significant P value < 0.05. Comprehensive meta-analysis and Cochrane Review Manager was used to calculate the primary effect size and 95% CI. In order to determine the pooled overall effect, we weighed the studies by inverse of their variance (1/SE²) (SE: standard error), as in Figure 1. Thus, more weightage was given to more precise studies. If significant heterogeneity was found, sensitivity analysis was conducted and studies having significant heterogeneity were discarded.

**Results**

The search through PubMed and Web of Science databases yielded 68 potentially relevant articles. Based on predefined exclusion criteria, 61 papers were excluded for various reasons. Seven randomized control trials [2-4, 12-15] were retrieved after the search. Seven studies from different countries including United States (USA), Italy, Germany, Finland, Denmark and Sweden were included in the meta-analysis. A total of 4,859 subjects were included. The number of subjects ranged from 254 in the SURTAVI trial [15] to 1,403 in Evolut Low Risk trial [4]. Mean age ranged from 73 years in Partner 3 trial [3] to 83.7 years in Fraccaro et al [2]. Reported compliance was 100% (Table 1).
Primary effect outcome

Six out of seven studies reported 30-day mortality. The 30-day risk of all-cause mortality was 0.4% to 2.7% for TAVR patients as compared to 1.1% to 4.2% amongst SAVR patients. Overall, there was a 40.1% statistically significant decrease in risk of 30-day overall mortality for TAVR vs. SAVR patients, RR 0.59 (95% CI: 0.38 - 0.92, P = 0.02) (Fig. 1). This is a new finding in contrast to previous meta-analysis by Arora et al [5], which did not find any statistically significant difference between 30-day all-cause mortality in TAVR and SAVR. No significant heterogeneity was observed, $I^2 = 0\%$. Forest plot and relative weights of each study are demonstrated in Figure 1. In order to assess for publication bias, a funnel plot of each study was constructed against their respective precisions (Fig. 2). Absence of publication bias is reflected in an intercept close to zero with the slope of regression line close to overall effect size. Although the small number of studies limited its interpretation, a subjective impression of funnel plot demonstrated some asymmetry to the right of the mean effect.

Six out of seven studies except Schmyiek et al [13] reported 1-year all-cause mortality too. There was also a 21% decrease in the TAVR group, RR 0.79 (95% CI: 0.57 - 1.09, P = 0.15) (Fig. 3). However, it was not statistically significant.

Secondary effect outcome

Six out of seven studies reported 30-day risk of secondary outcomes. The 30-day risk of stroke was 0.6% to 3.4% for

Table 1. Baseline Characteristics of All Studies

| Study with year | Design | Country | Study size | Male (%) | Age, mean (years) | Type of valve | Route | STS or Euro score, mean | Quality score*** |
|----------------|--------|---------|------------|----------|------------------|--------------|-------|------------------------|-----------------|
| Fraccaro et al (2015) [2] | Cohort | Italy | 830 | 324 (39.0) | 83.7 (2.8) | Sapien XT core valve | TF | 9.9 (6.7) (Euro) | 7 |
| Mack et al (2019) (Partner 3) [3] | RCT | USA | 950 | 658 (69.2) | 73* | Sapien 3 valve | TF | <4% (STS) | 7 |
| Popma et al (Evolut low risk) (2019) [4] | RCT | USA | 1,403 | 956 (65.10) | 74* | Bio prosthesis self-expanding valve | TF | 1.9 (0.7) (STS) | 7 |
| Thyregod et al (2015) [12] | RCT | Denmark, Sweden | 280 | 149 (53.2) | 79.1 (4.8) | Core valve | TF, TA | 8.6 (4.8) (Euro) | 5 |
| Schymik et al (2015) [13] | Cohort | Germany | 432 | 211 (48.8) | 78.3 (49.9) | Edwards, Sapien XT, core valve, Symetic ACURATE | TF | 8.8 (2.7) (Euro) | 7 |
| Rosato et al (2016) [14] | Cohort | Finland, Italy | 710 | 415 (58.5) | 80.1 (5.8) | Sapien XT, core valve | TF, TA | 6.3 (2.9) (Euro) | 7 |
| SURTAVI trial [15] (2018) | Cohort | Multiple | 254** | - | - | Core valve (84%) or Evolut R (16%) (Medtronic, Dublin, Ireland) | - | <3% (STS) | 7 |

*Age separately reported in both groups. **Included in current meta-analysis. ***Criteria used for scoring: proper randomization (score: 1 point), similarity of treatment groups in relevant variables at baseline (1 point), blinding of subjects and investigators (1 point for each), specified eligibility criteria (1 point), valid point estimates and measures of variability (1 point) and data on degree of compliance (1 point). TF: transfemoral; TA: transapical; STS: Society of Thoracic Surgeons score; RCT: randomized controlled trial.
TAVR patients as compared to 0.9% to 3.4% amongst SAVR patients. Patients having TAVR were 36% less likely to have stroke as compared to SAVR patients although this was not statistically significant, RR 0.64 (95% CI: 0.38 - 1.1). This is in concordance with previously reported by Arora et al. No significant heterogeneity was observed, $I^2 = 23\%$, $P = 0.10$ (Fig. 4). A funnel plot of log of risk ratio against standard error of the mean demonstrated no significant publication bias (Fig. 5).

Regarding long-term risk of stroke, four studies including SURTAVI trial, Thyregod et al, Partner 3 trial and Evolut Low Risk trial reported 1-year incidence, while Rosato et al mentioned 3 years at follow-up. The incidence of stroke in the 1-year follow-up group was 31% less in TAVR patients, RR 0.69 (95% CI: 0.47 - 1.00, $P = 0.05$) (Fig. 6). This is a novel finding in contrast to any previous meta-analysis and the P-value was almost close to significance here. This also underlies the importance of greater power in studies. Hopefully with more trials in the future, we can have a better understanding of whether TAVR is actually beneficial to stroke. No significant heterogeneity was observed, $I^2 = 0\%$.

Mentioning other secondary outcomes, the risk of AKI stage 2 and above was 56% less in post-TAVR patients at 30 days, RR 0.43 (95% CI: 0.35 - 0.54, $P < 0.001$) (Fig. 7). No heterogeneity was observed, $I^2 = 0\%$.

Regarding PPM implantation and vascular complications, the risk was inherently high for TAVR patients for both (Figs. 8 and 9). The risks for PPM implantation were higher in the TAVR group at 30 days, RR 3.30 (95% CI: 2.04 - 5.33, $P < 0.001$). Significant heterogeneity was also observed in this group: $I^2 = 75\%$, $P = 0.001$. After removing Thyregod et al and Partner 3 trial from the analysis, heterogeneity was removed, but the RR was still high, RR 3.21 (95% CI: 2.54 - 4.068, $P < 0.001$).

Regarding vascular complications, RR was 4.62 (95% CI: 1.42 - 15.18, $P = 0.01$). Significant heterogeneity was demonstrated though, $I^2 = 81\%$.

Post-operative valvular endocarditis was reported by four

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**Figure 2.** Publication bias of included studies in regards to 30-day mortality. X-axis: log risk ratio. Y-axis: standard error of the mean.

**Figure 3.** Random effect meta-analysis and forest plot of 1-year risk of mortality among patients undergoing TAVR, as compared to SAVR. TAVR: transcatheter aortic valve replacement; SAVR: surgical aortic valve replacement.
studies. Regarding 30-day and 1-year results, the risk was lower for TAVR patients for both (Figs. 10 and 11). However, results were not statistically significant due to low power of the study. The 30-day risk for infective endocarditis was RR 0.67 (95% CI: 0.13 - 3.48, P = 0.63). The 1-year risk was similarly low but not significant, RR 0.73 (95% CI: 0.28 - 1.92, P = 0.53) (Table 2).

Quality assessment

Quality across included studies was measured by subjective analysis of risk of multiple biases presented as percentages. Except for Thyregod et al which was a non-blinded study where the risk of performance bias and detection bias was high, other biases were low across almost every study as shown in Figures 12 and 13.

We also assessed quality using a scoring system based on the Delphi consensus for meta-analysis [16]. The following criteria were used for scoring: proper randomization (score: 1 point), similarity of treatment groups in relevant variables at baseline (1 point), blinding of subjects and investigators (1 point for each), specified eligibility criteria (1 point), valid point estimates and measures of variability (1 point) and data on degree of compliance (1 point). Thus, a combined score was calculated for each study which could range from 0 to 7 points. Almost every study had a high quality score based on this scoring, except Thyregod et al which had a score of 5. Quality scores for each study are illustrated in Table 1 [2-4, 12-15].
Figure 6. Random effect meta-analysis and forest plot of 1-year risk of stroke among patients undergoing TAVR, as compared to SAVR. TAVR: transcutaneous aortic valve replacement; SAVR: surgical aortic valve replacement.

Figure 7. Random effect meta-analysis and forest plot of 30-day risk of acute kidney injury stage 2 and above among patients undergoing TAVR, as compared to SAVR. TAVR: transcutaneous aortic valve replacement; SAVR: surgical aortic valve replacement.

Figure 8. Random effect meta-analysis and forest plot of 30-day risk of permanent pacemaker implantation among patients undergoing TAVR, as compared to SAVR. TAVR: transcutaneous aortic valve replacement; SAVR: surgical aortic valve replacement.

Figure 9. Random effect meta-analysis and forest plot of 30-day risk of major vascular complications among patients undergoing TAVR, as compared to SAVR. TAVR: transcutaneous aortic valve replacement; SAVR: surgical aortic valve replacement.
Discussion

Our meta-analysis comprised of seven studies for a total of 4,859 patients, 2,483 in the TAVR group and 2,376 in the SAVR group. We found that TAVR patients have a decreased risk of 30-day mortality. This effect was statistically significant and the first time it has been demonstrated in low risk patients. This was also shown by two new clinical trials published this year, the Evolut low Risk [4] and the Partner 3 trial [3] which were a part of our meta-analysis. TAVR patients were also less likely to have stroke at 1 year, although the power of the study was low. TAVR patients were also less likely to have post-operative bleeding and AKI stage 2 and beyond, although they were significantly more prone to vascular complications and the need for PPM. All these effects were statistically significant and have been illustrated in Figures 1-11.

The results of previous trials led to the recognition of TAVR as the procedure of choice in inoperable patients and alternative to SAVR in intermediate to high risk, and recent trials have led the FDA to approve it for low risk patients too [17-20]. Effects of secondary outcomes have been the same in intermediate and high-risk patients with a higher chance of vascular complications and PPM need for both short and long term and a protective effect for TAVR patients in valvular endocarditis, AKI stage 2 and above [18-21]. The risk of AKI stage 1 has also been demonstrated to be low in multiple studies, as shown by the Partner 3 trial. The previous meta-analysis by Arora et al showed similar results, however, with significant heterogeneity. This was not seen in our study though, due to greater power.

The rate of post-procedure PPM in TAVR is high as reported by multiple previous studies and is troubling. Multiple theories have been proposed to explain this complication [14, 21, 22]. A study by Hamdan et al concluded that a short membranous septum (MS), insufficient difference between MS length and implantation depth, with other factors such as the presence of calcification factors that may all facilitate mechanical compression of the conduction tissue by the implanted valve, are good predictors of PPM implantation after TAVR.

Table 2. Prevalence of Comorbidities Across Studies

| Study or Subgroup               | TAVR Events | SAVR Events | Total Events | Chi^2 | df | P Value |
|--------------------------------|-------------|-------------|--------------|-------|----|---------|
| Fraccaro et al (2016) [2]      | 157 (18.9)  | 110 (15.5)  | 267          |       |    | 0.02    |
| Rosato et al (2016) [14]       | 101 (14.2)  | 208 (48.1)  | 309          |       |    | 0.01    |
| Schymik et al (2015) [13]      | 54 (19.3)   | 155 (31.25) | 209         |       |    | 0.03    |
| Thyregod et al (2015) [12]     | 131 (5.0)   | 106 (15.07) | 137         |       |    | 0.04    |
| Popma et al (2019) [4]         | 28 (5.6)    | 228 (31)    | 256         |       |    | 0.01    |
| Mack et al (2019) [3]          | 28 (6.6)    | 106 (15.07) | 134         |       |    | 0.01    |

DM: diabetes mellitus; CAD: coronary artery disease; MI: myocardial infarction; NYHA: New York Heart Association.
Figure 12. Risk of bias graph: review authors' judgments about each risk of bias item presented as percentages across all studies combined. All seven studies with 4,869 subjects were included. Green circle indicates a low risk of bias < 25%. Red circle indicates a high risk of bias > 75%. Risk of major biases low for all studies except for Thyregod et al, in which the risk of performance and detection bias was high.

Figure 13. Risk of bias summary: review authors' judgments about each risk of bias item for each included study. Green circle indicates low risk of bias < 25%. Red circle indicates high risk of bias > 75%. Seven studies with 4,869 subjects were included. As shown above, risk of major biases low for all studies except for Thyregod et al, in which the risk of performance and detection bias was high.
In fact, the single most important independent factor of post-procedural PPM implantation may be the length of MS and degree of calcification [21]. These variables may be assessed in determining whether to undergo an open procedure or transcatheter approach in low risk patients with no other contraindications; however, data are limited as of yet. The results with both SAPIEN 3 balloon expanding valve and Evolut self-expanding valve seem to be similar in Partner 3 and Evolut Low Risk trial with a higher risk of PPM in both. Partner 3 trial also showed beneficial results with surgery in comparing other secondary end points such as left branch block and paravalvular regurgitation.

Other rare complications such as rates of bio-prosthetic valve thrombosis, endocarditis and re-intervention are low and comparable in both TAVR and SAVR [4]. TAVR patients have also been shown to have lower aortic valve gradients and larger areas, specifically shown by the self-expanding valves used in Evolut Low Risk trial which is probably due to the supra-annular design of the prosthesis [23, 24].

Strength and limitations

The strengths of this meta-analysis include a comprehensive search of relevant studies by two different researchers, independent extraction of data to assess eligibility and reduce bias and selection of a relatively large subgroup of patients not assessed before.

Our study had several limitations. We included only randomized control trials which are although the gold standard for evaluating efficacy and safety but might miss some confounding factors usually noted by observational studies. Other limitations include comparison of different valves which may influence outcome of TAVR such as PPM or valvular insufficiency.

We also failed to stratify patients into different subgroups according to different access of intervention. A previous study showed a superiority of trans-femoral access in comparison to trans-apical access; however, that study was conducted in both low and intermediate risk patients [25].

Significant heterogeneity was observed in some effect outcomes; however, we used sensitivity analysis to analyze which study was causing the effect and recalculated data after dropping the study. Also, as with all meta-analysis, the quality of the study is as good as the quality of the trials itself. However, almost all studies used in this analysis were propensity-score matched which reduced the risk of bias.

Conclusions

In conclusion, among low risk patients, TAVR was found to be superior in short-term all-cause mortality and 1-year stroke, a result that was statistically significant for TAVR and close to significance for stroke. TAVR patients were also less likely to have post-operative bleeding and AKI stage 2 and beyond. Post-operative incidence of endocarditis among TAVR patients was low but not statistically significant. However, the rates of PPM and vascular complications are higher in TAVR patients.

The results of TAVR in low risk population are thus extremely encouraging. However, the issue of long-term valve durability in this group needs further studies. Also, caution needs to be exercised while extending the indications to extremely young patients due to lack of enough studies.

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Conflict of Interest

The authors have no conflict of interest to declare.

Informed Consent

Written and informed consent was taken from all patients to publish their case (including publication of images).

Author Contributions

Muhammad Khan contributed to concept and design of the manuscript including the acquisition, analysis and interpretation of data as primary and corresponding author. MK also revised the work critically including the final version and agreed to be accountable for all aspects of the work. Tanveer Mir had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work. Zain Ali had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work. Owais Idris had significant contribution to the concept, the acquisition, analysis and interpretation of data for the work, drafted the work including the images and revised the final version of the manuscript to be published and agreed to be accountable for all aspects of the work. Ghazal Khan had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work. Waqas Ullah contributed to the analysis of cases including drafting the work for important intellectual content and final approval and agreed to be accountable for all aspects of the work. Zain Ali had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work. Owais Idris had significant contribution to the concept, the acquisition, analysis and interpretation of data for the work, drafted the work including the images and revised the final version of the manuscript to be published and agreed to be accountable for all aspects of the work. Ghazal Khan had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work. Mamoon Ur Rashid had substantial contributions to the conception or design of the work, provided the majority of images and drafted the work critically including the final version and agreed to be accountable for all aspects of the work.
of the work. FNU Salman contributed to the analysis of cases including drafting the work for important intellectual content and final approval and agreed to be accountable for all aspects of the work. Mobasser Mehmoood contributed to the analysis of cases including drafting the work for important intellectual content and final approval and agreed to be accountable for all aspects of the work. Syed Sohail Ali had significant contribution to the concept, the acquisition, analysis and interpretation of data for the work, drafted the work including the images and revised the final version of the manuscript to be published and agreed to be accountable for all aspects of the work.

Data Availability

The authors declare that data supporting the findings of this study are available within the article.

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