Recent Advances in the Application of Vitamin E TPGS for Drug Delivery

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Abstract

D-α-tocopheryl polyethylene glycol succinate (Vitamin E TPGS or TPGS) has been approved by FDA as a safe adjuvant and widely used in drug delivery systems. The biological and physicochemical properties of TPGS provide multiple advantages for its applications in drug delivery like high biocompatibility, enhancement of drug solubility, improvement of drug permeation and selective antitumor activity. Notably, TPGS can inhibit the activity of ATP dependent P-glycoprotein and act as a potent excipient for overcoming multi-drug resistance (MDR) in tumor. In this review, we aim to discuss the recent advances of TPGS in drug delivery including TPGS based prodrugs, nitric oxide donor and polymers, and unmodified TPGS based formulations. These potential applications are focused on enhancing delivery efficiency as well as the therapeutic effect of agents, especially on overcoming MDR of tumors. It also demonstrates that the clinical translation of TPGS based nanomedicines is still faced with many challenges, which requires more detailed study on TPGS properties and based delivery system in the future.

Key words: Vitamin E, TPGS, drug delivery, multi-drug resistance, anticancer.

Introduction

Vitamin E has been identified as an essential factor for reproduction since 1922 [1]. With further investigation, it has been found with other functions involving antioxidant, anti-thrombolytic and other therapeutic effects [2, 3]. However, the poor water solubility of vitamin E has greatly limited its application [4]. Vitamin E d-α-tocopheryl poly(ethylene glycol) 1000 succinate (simply as Vitamin E TPGS or TPGS), synthesized by esterification of vitamin E succinate with poly(ethylene glycol) (PEG) 1000, is a water-soluble derivative of natural vitamin E [5]. It has an amphiphilic structure comprising hydrophilic polar head portion and lipophilic alkyl tail. TPGS can be functionalized as an excellent solubilizer, emulsifier, permeation and bioavailability enhancer of hydrophobic drugs [6]. Meanwhile, TPGS can act as an anticancer agent, which has been demonstrated to induce apoptogenic activity against many cancer types. It can target the mitochondria of cancer cells, resulting in the mitochondrial destabilisation for activation of mitochondrial mediators of apoptosis [7]. Interestingly, it has been documented that TPGS can selectively induce apoptosis in tumor cells while exhibited nontoxicity to normal cells and tissues [8].

Multi-drug resistance (MDR) remains as a significant impediment to successful chemotherapy in clinical cancer treatment. What’s worse, decades of research has identified that this phenomenon exists in nearly every effective drug, even the newest therapeutic agents [9]. Therefore, how to effectively reverse drug resistance plays a critical role in achieving satisfied therapeutic effect in cancer treatment. It has been demonstrated that various
mechanisms are involved in MDR including decreased drug influx, increased drug efflux, changed drug metabolism and promoted anti-apoptotic mechanism [10]. Among them, the drug efflux mediated by ATP-binding cassette transporter P-glycoprotein (ABCB1) is one of the most investigated and characterized mechanisms for MDR. P-glycoprotein (P-gp) has 12 transmembrane regions to bind hydrophobic substrate drugs and two ATP-binding sites to transport drug molecules [11]. It can pump out P-gp substrate drugs to the extracellular space and thus decrease the intracellular drug accumulation. Over the past few decades, considerable efforts have been devoted to exploring P-gp inhibitors for overcoming MDR. Several nonionic surfactants such as Pluronic, Tweens, Span and TPGS have been found with the ability to inhibit P-gp activity [12, 13]. Though the exact mechanism of P-gp inhibition by these surfactants remains unclear, steric blocking of substrate binding [14], alteration of membrane fluidity [15] and inhibition of efflux pump ATPase [16, 17] have been proposed as the potential mechanisms. As a widely used adjuvant in drug delivery, TPGS has been shown as the most potent and commercially available P-gp inhibitor among these surfactants [18]. As a membrane transporter of ATP-binding cassette family, P-gp can pump out the substrate drug via an ATP-dependent mechanism [19]. TPGS can target the mitochondria and cause its dysfunction, resulting in the depletion of intracellular ATP. The reduced ATP level can then influence the activity of P-gp and decrease the drug efflux to extracellular space [20]. Besides, the hydrolysis of ATP by ATPase is critical for converting the P-gp transporter to an active conformational state for substrate drug efflux [16]. TPGS itself cannot stimulate ATPase activity as it is not a substrate of P-gp, but can inhibit the substrate induced ATPase activity [21]. In our previous works, we have demonstrated that TPGS can significantly enhance the intracellular accumulation and cytotoxicity of chemotherapeutics to drug resistant breast adenocarcinoma cells (MCF-7/ADR) and human ovarian cancer cells (A2780/T) [22-24].

Since TPGS has been approved by the FDA as a safe pharmaceutical adjuvant, it has been extensively used in drug delivery systems as surfactant, solubilizer, stabilizer and P-gp inhibitor for enhancing bioavailability and reversing MDR. In our previous reviews [5, 6], we discussed TPGS as a molecular biomaterial and its original application in drug delivery. In this review, we focused on the progress of TPGS in drug delivery in recent five years, which took advantages of the P-gp inhibiting ability and other basic properties. We summarized the applications of TPGS based prodrugs, nitric oxide (NO) donor and polymers for overcoming MDR and delivering therapeutic agents. We also discussed the unmodified TPGS based formulations applied in reversing MDR, improving oral availability and enhancing drug permeation. We expect this review will give new inspiration for the application of TPGS in overcoming MDR and drug delivery.

TPGS properties in drug delivery systems

TPGS, a water miscible form of vitamin E, is composed of a hydrophobic vitamin E part and a hydrophilic PEG chain. It exhibits excellent drug delivery capability based on this special amphiphilic structure. With further investigations, it has been demonstrated that TPGS shows great potential in overcoming MDR tumor for the P-gp inhibition and selective anticancer effect. In this part, we will discuss about the basic properties and mainly focus on the P-gp inhibition as well as selective anticancer effect of TPGS in drug delivery systems (Figure 1).

TPGS as a surfactant

Poor water solubility and/or poor permeability remain as the major obstacles for therapeutic drugs to exert maximum activity. TPGS can be applied as solubilizer, absorption and permeation enhancer, emulsifier as well as surface stabilizer in drug delivery. It has been widely used in fabricating nanodrugs or other formulations for many poorly water-soluble or permeable drugs, especially for biopharmaceutics classification system (BCS) class II and IV drugs [5, 6]. In addition, it has been reported that TPGS exhibited strong enhancement on the secretion of chylomicrons at low concentration and enhanced the intestinal lymphatic transport [25], which would further improve drug absorption ability. As a surfactant, TPGS shows outstanding capability to increase drug absorption through different biological barriers. For example, TPGS was used to fabricate repaglinide nanocrystals for enhancing saturation solubility and oral bioavailability up to 25.7-fold and 15.0-fold compared with free drug, respectively [26]. In Ussing chambers transport studies, TPGS can enhance drug permeation in colonic tissue [27]. In addition, the influence of TPGS on the intestinal absorption ability of icariside II was investigated in Caco-2 monolayer model and a four-site rat intestinal perfusion model. In Caco-2 monolayer model, the apparent permeability coefficients value of icariside II was increased and the efflux ratio was remarkably reduced owing to the effect of TPGS. The four-site rat intestinal perfusion model investigation further showed significantly increased permeability of icariside II in ileum and

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colon [28]. Similar results were found in Caco-2 monolayer model with rhodamine123 (Rh123) in the presence of TPGS [29]. Interestingly, TPGS can also act as a pore-forming agent in the fabrication of nanoparticles with high drug encapsulation efficiency, small particle size and fast drug release [30]. Besides, TPGS can be used as emulsifier or surface stabilizer for the preparation of drug formulations as the hydrophobic portion can entrap hydrophobic drug and the hydrophilic part can stabilize the formulations.

TPGS as a P-gp inhibitor for overcoming MDR

Drug resistance of cancer cells can restrict the therapeutic efficacy in chemotherapeutic treatment. As the ATP dependent membrane transporter, P-gp has been one of primary causes for MDR. It can pump out the P-gp substrate drugs to decrease intracellular drug accumulation, thus reducing the cytotoxic effect of chemotherapeutic drugs in drug resistant cancer treatment. Over the past decades, there have been continuous interests to combine P-gp substrate drugs with inhibitor or some polymer with P-gp inhibiting capability in formulations for overcoming MDR [31]. Rh123, a P-gp substrate, is usually used as the model drug to study the intracellular retention of drug in MDR tumor cells. TPGS can significantly increase the intracellular accumulation of Rh123 in drug-resistant tumor cells compared with free Rh123, which was evidenced from the flow cytometry and confocal microscope analysis [32]. It seems that TPGS can effectively inhibit the activity of P-gp to overcome MDR.

Since the efflux transporter P-gp is ATP-dependent, the depletion of ATP plays a very important role in overcoming MDR. The MDR reversing effect of TPGS is mainly attributed to its dual actions, the inhibition of mitochondrial respiratory complex II for shorting ATP supply and the suppression of substrate induced P-gp ATPase activity for blocking ATP utilization [20, 21, 33, 34].

Mitochondrial respiratory complex II, also called succinate dehydrogenase, plays an important role in mitochondrial electron transport, which is an essential part in the tricarboxylic acid cycle as well as the mitochondrial respiratory chain [35]. TPGS can bind with mitochondrial respiratory complex II and induce subsequent mitochondrial dysfunction, resulting in significant depletion of intracellular energy [20, 36]. TPGS can accumulate in mitochondria and inhibit the activity of complex II, and consequently disrupt the electron transfer and activate calcium channel, which would result in the overload of calcium and ensuing dysfunction of mitochondria. Mitochondrial

Figure 1. Scheme of TPGS for P-gp inhibition and selective antitumor effect in drug delivery. TPGS can be easily conjugated with polymers or therapeutic agents to form TPGS based polymers or prodrugs. The resultant structure can further self-assemble into nanoparticles. Unmodified TPGS can also cooperate with other materials to form nanoformulations. After internalization by cells, the nanoparticles can be degraded in response to the specific intracellular environment (e.g. pH, GSH and ROS) to release the therapeutic agents and TPGS. Without P-gp inhibition, the drugs can be rapidly pumped out to the extracellular environment. The dissociated TPGS can bind to the mitochondrial respiratory complex II and induce mitochondrial dysfunction, resulting in the decreased mitochondrial membrane potential and increased ROS generation for cell apoptosis, and reduced ATP level for P-gp inhibition. Besides, TPGS can also inhibit the substrate induced ATPase activity to further overcome MDR. With P-gp inhibition, the intracellular accumulation of therapeutic drugs can be significantly enhanced. Meanwhile, TPGS can inhibit Bcl-2 and Survivin to promote cell apoptosis.
dysfunction is characterized by the dissipating effect on mitochondrial membrane potential, decreased ATP level and increased reactive oxygen species (ROS) generation [37]. Furthermore, the mitochondrial targeting ability of TPGS may accelerate the mitochondrial dysfunction [32, 38]. Substrate induced P-gp ATPase activity suppression is another mechanism for TPGS to decrease drug efflux [21]. ATPase activity can be stimulated by the binding of substrate to transmembrane regions of P-gp [39]. Subsequently, ATP is transformed into adenosine diphosphate (ADP) for the energy supply of drug efflux. Unlike the classical P-gp inhibitor verapamil, TPGS is not a substrate of P-gp and shows no competitive inhibition effect of substrate binding. The steric blocking function of the binding site and/or allosteric modulation of P-gp appear to be the ATPase inhibition mechanism.

**TPGS as a selective anticancer agent for synergistic antitumor effects**

TPGS can induce apoptosis and exhibits selective cytotoxic effects against cancer cells, which can be combined with chemotherapeutic drugs for reducing side effect and increasing treatment efficiency. There is significant different response on normal immortalized breast cells and cancer cells after TPGS treatment. TPGS can trigger the apoptotic signaling pathways and induce G1/S cell cycle arrest in breast cancer cells MCF-7 and MDA-MB-231, but no remarkable effect on non-tumorigenic cells MCF-10A and MCF-12F [40]. Coincidentally, TPGS can induce apoptosis on T cell acute lymphocytic leukemia Jurkat clone E6-1 cells, but not on human peripheral blood lymphocytes. The apoptosis was evidenced by increased nuclear DNA fragmentation, enhanced cell cycle arrest and reduced mitochondrial membrane potential [41]. The selective apoptosis mechanisms of cancer cells mediated by TPGS are complicated and can be listed as follows:

**ROS inducer**

Similar to α-tocopheryl succinate (α-TOS), TPGS can induce cancer cell apoptosis through the destruction and inhibition of mitochondrial respiratory complex II [33, 41]. The subsequent electron transfer chain disruption can promote ROS generation [20]. The escalated intracellular ROS, a mediator of apoptosis, can induce DNA damage and the oxidation of lipid, protein and enzyme, leading to cell destruction [42]. Besides, it has been demonstrated that ROS-mediated apoptosis mechanism was correlated with the selective anticancer activity as tumor cells could be more sensitive to ROS than normal cells [43-45]. Compared with TOS, TPGS exhibited enhanced ROS generation capability [46].

**Downregulation of anti-apoptotic proteins**

TPGS can inhibit the phosphorylation of protein kinase B (PKB or AKT) and then downregulate the anti-apoptotic proteins Survivin and Bcl-2, which can induce the activation of caspase-3 and -7 for caspase-dependent programmed cell death [40]. Concurrently, caspase-independent programmed cell death and G1/S phase cell cycle arrest also occurred [40, 41]. Survivin and Bcl-2 are usually overexpressed in most cancer cells while remarkably reduced in normal cells [47]. This may be the main reason for the selective cytotoxicity of TPGS.

**DNA damage**

TPGS can induce both caspase-dependent and caspase-independent DNA damage. This kind of DNA damage was observed in androgen receptor positive (AR+) LNCaP cells but not in AR- DU145 and PC3 cells, which was related to the cellular microenvironment [48].

**TPGS based prodrugs**

Prodrug is a group of agents with low or no pharmaceutical activity and can undergo a series of in vivo biotransformation to generate parental drug [49, 50]. It is designed to optimize the pharmaceutical, pharmacokinetic (PK) and pharmacodynamic (PD) properties of drugs such as improving drug solubility, permeability, stability, bioavailability, treatment efficiency and decreasing the adverse effects. Prodrug can be simply classified into carrier-based prodrug and bioprecursor prodrug. The carrier-based prodrug, which is synthesized by simply conjugating polymer with drug via a temporary linker, can easily self-assemble into nanoformulation and provide great potential in clinical application [51]. For example, poly-glutamic acid (PGA)-SN38 (NK012; Nippon Kayaku, Co.) [52] and N-[2-hydroxypropyl]methacrylamide (HPMA)-doxorubicin (PK1/FCE28068; Pfizer Inc.) [53] have been approved by FDA in clinical trials. Adagen® (Aden-osine deaminase), Onscasar® (L-asparaginase) and Pegasys® (PEGylated IFN-α-2a) have already come into the market.

The hydroxyl of PEG in TPGS is a reactive part and can be easily conjugated with therapeutic agents to form TPGS-based prodrugs, in which TPGS can serve as a drug carrier and P-gp inhibitor to overcome MDR in cancer treatment. On account of the specific physiological characteristics in tumor cells and microenvironment (e.g. pH, GSH, ROS, hypoxia, enzyme and glucose) [54], TPGS-based
stimuli-responsive prodrugs can be designed in one simple system to realize optimal cancer therapy. In this section, we will introduce the current investigations on TPGS-based prodrugs and mainly discuss about the P-gp inhibition effect for MDR in drug delivery system (Table 1).

TPGS-DOX conjugate

Doxorubicin (DOX) is a P-gp substrate and broad spectrum anticancer drug. However, the acquired drug resistance of DOX is an obstacle to its clinical applications in the progress of cancer therapy. Bao et al. [23] developed a pH-sensitive Schiff base-linked prodrug, TPGS-CH=N-DOX (also called TD), by conjugating DOX with TPGS for overcoming MDR. This prodrug can self-assemble into stable micelles in physiological condition and realize in vivo tumor targeting and long blood circulation by introducing a PEGylated lipid. It was the first time to provide a “molecular economical” way to combat tumor as the system combined the tumor targeting from the integrin receptor ligand peptide cyclic RGD (cRGD), long circulation property from PEGylated tumor and also tumor growth/metastasis inhibition on murine melanoma B16F10 and hepatocarcinoma H22 with cRGD decorated on the hybrid micelles. It provided a safe and simple prodrug platform to relieve the burden from delivery system and improve the therapeutic efficiency of nanomedicine through the rational design of prodrug for effective cancer treatment.

Table 1. TPGS based prodrugs in drug delivery

| Prodrug          | Payload | Tumor model                        | Effects                                                                 | Ref     |
|------------------|---------|------------------------------------|-------------------------------------------------------------------------|---------|
| TPGS-DOX         | DOX     | Resistant breast cancer, melanoma, hepatoma | 95-fold lower IC50 in MCF-7/ADR vs. free drug, MCF-7/ADR, B16F10, H22 tumor growth/metastasis inhibition | [23]    |
| TPGS-DOX         | DOX     | Breast, glioma cancer              | High cellular uptake and cytotoxicity                                   | [55, 56]|
| TPGS-DOX         | DOX, C6 | Non-small cell lung cancer         | Good tumor targeting, high apoptosis, tumor growth suppression in A549 cells | [57]    |
| TPGS-PTX         | PTX     | Resistant ovarian cancer, hepatoma  | PTX accumulation in A2780/T, cytotoxicity against A2780 and A2780/T, S180 tumor inhibition | [58]    |
| TPGS-cisplatin   | Cisplatin | Hepatoma                       | High cell uptake and cytotoxicity, significant neuroprotective effects Enhanced cytotoxicity against SK-BR-3 cells with overexpression of HER2 | [60, 62]|
| TPGS-cisplatin   | Cisplatin, DTX, Herceptin   | Breast cancer                    | Cytotoxicity, P-gp inhibition in H460/TaxR cells                       | [64]    |
| TPGS-5-FU        | 5-FU, PTX | Resistant breast cancer, lung cancer | P-gp, β-tubulin, and p53 protein extracted from KB-8-5 cells, tumor growth inhibition in KB-5-1 and KB-8-5 tumor model | [63]    |
| TPGS-5-FU        | 5-FU, PTX | Resistant epidermal carcinoma     | Cell cytotoxicity against MC37 and MC37/ADR cells                      | [65]    |
| TPGS- mitoxantrone | Mitoxantrone | Resistant breast cancer      | Improved cytotoxicity against pancreatic cancer BxPC-3                 | [66]    |
| TPGS- gemcitabine | Gemcitabine | Pancreatic cancer               | Increased cytotoxicity on folate overexpressed HT-29 cells but not on lower expressed MCF-7 cells | [67]    |
Some other TPGS-DOX prodrugs were also designed and constructed [55-57]. Feng’s group [55] developed TPGS-DOX prodrug by directly conjugating succinic anhydride modified TPGS with DOX. The prodrug showed improved cell uptake and cytotoxicity. Compared with free drug, 4.5- and 24-fold of half-life ($t_{1/2}$) and area under curve (AUC) were found in TPGS-DOX prodrug, respectively. TPGS-DOX-folic acid conjugate (TPGS-DOX-FOL) was further introduced for targeted chemotherapy with higher therapeutic effects and fewer side effects [56]. Moreover, the prodrug of TPGS-DOX can also be applied to package drug for combinational therapy. Hou et al. [57] constructed an acid-sensitive TPGS-DOX prodrug by firstly synthesizing a pH-sensitive cis-aconitic anhydride-modified DOX and then conjugating with TPGS. The prodrug can self-assemble into nanoparticles. Photosensitizer chlorin e6 (Ce6) was loaded in this TPGS-DOX prodrug nanoparticles for near-infrared fluorescence imaging and combination of chemotherapy and photodynamic therapy against tumor. The nanoparticles exhibited pH-responsive DOX and Ce6 release characteristics, which was caused by the acid-sensitive linker between TPGS and DOX. It also demonstrated synergistic effects on cell uptake, cancer cell apoptosis and significant growth suppression in non-small cell lung cancer (A549).

**TPGS-PTX conjugate**

Paclitaxel (PTX) is a BCS class IV drug with poor solubility and permeability as well as a P-gp substrate, which hinders the effective drug delivery and MDR tumor therapy. Zhang’s group [58] synthesized a redox-sensitive prodrug TPGS-SS-PTX, which could be rapidly dissociated in intracellular redox environment (high GSH concentration) to release PTX for cytotoxicity against tumor and TPGS active ingredient for P-gp inhibition. The prodrug can self-assemble to stable micelles and realize the passive tumor targeting through the enhanced permeation and retention (EPR) effect. Compared with non-responsive ester bond conjugated PTX prodrug TPGS-CC-PTX, TPGS-SS-PTX exhibited better stability and *in vitro* sustained drug release triggered by intracellular reductive environment. The increased stability of TPGS-SS-PTX micelles may be attributed to the soft sulfurs linker between TPGS and PTX in comparison to the only two carbon linker of TPGS-CC-PTX. Compared with the clinical formulation of Taxol® and TPGS-CC-PTX, TPGS-SS-PTX micelles exhibited increased intracellular PTX accumulation for drug-resistant A2780/T cells, which may be caused by the rapid dissociated TPGS from the redox-sensitive prodrug. Rh123 was used as a model drug of P-gp substrate to evaluate the drug retention in MDR tumor. When the cells treated with verapamil or prodrugs, Rh123 fluorescence intensity was increased compared with free Rh123. In particular, much higher fluorescence intensity was exhibited in TPGS-SS-PTX compared...
with TPGS-CC-PTX, which further confirmed the P-gp inhibition property from dissociated TPGS. As expected, this functional prodrug micelle increased the cytotoxicity of PTX in A2780/T cells. Compared with the uncleavable TPGS-CC-PTX prodrug and Taxol®, the stimuli-responsive prodrug reduced the IC₅₀ and increased the apoptosis/necrosis of MDR tumor. In vivo evaluation further demonstrated the potential of this prodrug micelle on cancer treatment as the increased AUC, extended t₁/₂, enhanced drug distribution in tumor and significant tumor growth inhibition with reduced side effects.

**TPGS-cisplatin conjugate**

Cisplatin is widely used in testicular, ovarian, cervical, head and neck, and non-small-cell lung cancers. However, the clinical application is limited for low solubility, nephrotoxicity, severe peripheral neurotoxicity, inherent and acquired drug resistance [59]. Feng’s group [60] developed TPGS-cisplatin prodrug to improve the water-solubility and reduce the neurotoxicity of cisplatin. TPGS-cisplatin can self-assemble to micelles with high drug loading capability. The higher cell uptake and cytotoxicity against HepG2 hepatocarcinoma cells were found in capability. The higher cell uptake and cytotoxicity of mitochondrial respiratory complex II ensuing ROS generation, resulting in cell apoptosis [33]. Mi and coworkers [62] developed a targeted delivery system of TPGS-cisplatin prodrug nanoparticles for co-delivery of cisplatin, docetaxel (DTX) and Herceptin for good tumor inhibition in HER2 overexpressed breast cancers. Poly(lactic acid) (PLA)-TPGS, TPGS-COOH and TPGS-cisplatin were mixed to fabricate nanoparticles for the multimodality treatment of breast cancer. The multidrug-loaded nanoparticles exhibited much lower IC₅₀ value for SK-BR-3 cells with high expression of HER2 compared with the admixture of free drugs.

**TPGS-5-FU conjugate**

Liu’s group [63, 64] developed multifunctional nanoparticles for co-delivery of hydrophobic drug PTX and hydrophilic drug 5-fluorouracil (5-FU) to overcome MDR. TPGS-5-FU was synthesized by simply conjugating succinoylated TPGS with 5-FU. The nanoparticles, composed of TPGS-5-FU prodrug and PTX, showed enhanced cytotoxicity against MDR tumor compared with individual agent treatment [64]. They further developed nanoemulsions with PTX-Vitamin E and TPGS-5-FU prodrug. The nanoemulsions with drugs co-delivery exhibited synergistic effect of overcoming PTX resistance in human epidermal carcinoma cell line KB-8-5 [63]. The effective anticancer activity was resulted from the P-gp inhibition effect of TPGS and the synergistic effect of PTX and 5-FU which can simultaneously target diverse signaling pathways for cancer killing.

**TPGS based NO donor**

NO, an endogenous gaseous signaling molecule, is biologically synthesized from the oxidation of L-arginine and nicotinamide adenine dinucleotide phosphate (NADPH) via the catalytic reaction of NO synthase [68]. As one of the three so far identified gasotransmitters (NO, carbon monoxide and hydrogen sulphide), NO has attracted the most considerable interests and been shown to participate in various physiological processes, such as angiogenesis, vasodilation, platelet aggregation and neurotransmission [69]. Apart from its role in biological processes, NO has demonstrated great potential as a therapeutic agent in inhibiting tumor progression and metastasis [70-72]. It was further evidenced that NO can promote the sensitization of resistant cancer cells to various therapeutic modalities [73]. It has been proved that hypoxia was related to the resistance of tumor cells [74]. NO has exhibited the specific capability to improve the oxygenation level at tumor site by normalization of tumor vasculature, which can enhance the effectiveness of chemotherapy and overcome MDR to some extent [73]. As NO is a gaseous radical species with extremely short half-time (1-5 s) and chemical instability, the direct delivery of NO to tumor cells would be confronted with enormous challenges [75]. It was of great importance to deliver NO using NO donors. A number of NO-releasing compounds, such as NO organic nitrates, S-nitrosothiols, nitroglycerine and N-diazoniumdiolates, have gained much attention for the efficient delivery of NO [76, 77]. Co-delivery of NO donor with anticancer drugs have exhibited synergistic effects in promoting drug delivery and overcoming MDR.

A novel nitrate functionalized TPGS (TNO₃) was synthesized as a NO donor which can self-assemble into stable micelles and realize the redox-sensitive release profile. After 96 h, around 90% NO content was released in cancer cells with enhanced cytotoxicity as comparison to nitroglycerine. The enhanced cell uptake and cytotoxicity was achieved.
when DOX was combined with TNO₃, which may be due to the synergistic effects from DOX, released NO and dissociated TPGS. Co-administration of TNO₃ with DOX also significantly extended the circulation time with 14.7-fold of t₁/₂, 6.5-fold of mean residence time (MRT) and 13.7-fold of AUC₀₋₇₂h, enhanced drug accumulation in tumor and subsequently induced better antitumor efficacy compared to free DOX. The synergistic antitumor effect may be mainly resulted from EPR effect from micelles and the potent effect of NO in dilating tumor blood vessels to improve drug delivery [78].

Conventional starving therapy in tumor mediated by decreasing tumor vessel density is valid in early stage of treatment but may cause hypoxia, drug resistance and metastasis. Normalization of blood vessel in tumor is becoming an attractive strategy to achieve satisfied drug distribution for cancer therapy. Yin et al. [24] constructed polymeric hybrid micelles (TSP-TN) to co-deliver PTX for tumor killing and NO for tumor angiogenesis and self-promoted drug accumulation (Figure 3A). The hybrid micelles, comprising TPGS-SS-PTX (TSP) and TNO₃ (TN), were prepared via solvent-evaporation method and demonstrated stimuli-responsive drug release under reductive condition. The hybrid micelles exhibited increased blood perfusion which was confirmed by the dynamic contrast-enhanced magnetic resonance imaging and ultrasound imaging by TN single treatment (Figure 3B). The angiogenesis was further verified in the biodistribution study. Compared to saline, significantly increased vessel density and 3-fold higher TSP/Cy5 distribution in tumor frozen sections were observed in TN continuous pre-treatments, which certified the enhancing effect of NO on drug accumulation and penetration. The relative tumor angiogenesis index and drug penetration index were significantly increased (Figure 3C). Among all treatments, TSP-TN2 exhibited the best antitumor efficiency in MCF-7/ADR xenograft model with the inhibition rate of 92.1% (Figure 3D). The micelles also exhibited obvious tumor metastasis inhibition in murine melanoma. This kind of vascular-promoting strategy has great potential for inhibiting MDR and metastasis in cancer therapy.

Figure 3. (A). Schematic representation of combinational therapy using TSP-TN for tumor angiogenesis and self-promoted drug accumulation. (B). Representative ultrasound images with single treatment of saline or TN. (C). Tumor angiogenesis index and drug penetration index of saline or TN pretreated. (D). Tumor inhibition efficacy against MCF-7/MDR tumor. Reprinted with permission from [24]. Copyright 2017 Elsevier.
Table 2. TPGS based polymers in drug delivery

| Polymer             | Payload | Tumor model                 | Effects                                                                 | Ref    |
|---------------------|---------|-----------------------------|-------------------------------------------------------------------------|--------|
| PLA-SS-TPGS         | PTX     | Melanoma, Hepatoma          | 3.1-fold AUC, 7.3-fold MRT vs. free drug, S180 and melanoma tumor inhibition | [86]   |
| PLA-TPGS, TPGS-COOH | Iron oxides, Herceptin, DTX | HER2-positive breast cancer | More efficient cytotoxicity than the physical mixture of the corresponding single modality treatment | [88]   |
| TAPP-PLA-b-TPGS     | DTX     | Cervical cancer             | High drug loading efficiency, good cytotoxicity towards cervical carcinoma after irradiation | [89]   |
| Cholic acid-PLGA-b-TPGS | DTX | Cervical cancer | Higher cellular uptake efficiency and better antitumor efficacy compared with the linear copolymer based nanoparticles | [102] |
| TAPP-PCL-b-TPGS     | DTX, porphine | Cervical cancer | Enhanced cytotoxicity on MCF-7/ADR cells and synergistic antitumor effect by chemo-photodynamic therapy on cervical cancer xenograft model | [105] |
| MPEG-PCL-TPGS       | PTX     | Resistant lung cancer       | Remarkable tumor inhibition on the resistant lung cancer                  | [82]   |
| (PCL-ran-PGA)-b-TPGS | TRAIL, endostatin | Cervical cancer | Increased cytotoxicity on HeLa cells induced by TRAIL/endostatin-loaded nanoparticles | [108] |
| HA-conjugated TPGS  | DOX     | Resistant breast cancer     | ROS regeneration and P-gp inhibition via TPGS                           | [20]   |
| PBAE-g-TPGS         | PTX     | Resistant ovarian cancer    | 22- and 100-fold lower IC50 against A2780 and A2780/T cells, respectively | [22]   |
| PBAE-g-TPGS         | PTX     | Resistant breast cancer     | Stimuli-responsive release of PTX, targeted drug delivery to tumor and remarkable | [111] |
| Chitosan-g-TPGS     | DOX     | Hepatoma                    | 2.4-fold AUC, 2.0-fold MRT vs. free drug after oral administration       | [83]   |
| iRGD-TPGS           | PTX     | Resistant lung cancer       | Significant drug accumulation, downregulation of Survivin expression, and tumor apoptosis | [112] |
| PLA-PCg, Ce6-TPGS, tLyp-1-TPGS | DOX, Ce6 | DOX-resistant breast cancer | In vivo near-infrared imaging of tumor-bearing mice and enhanced antitumor efficiency in MCF-7/ADR | [114] |
| Transferrin conjugated TPGS | DTX, gold clusters | Breast cancer | In vivo imaging and antitumor efficacy                                  | [115] |
| 4-arm-PEG-TPGS       | PTX     | Hepatoma                    | Significant in vivo antitumor effect on S180 sarcoma-bearing mice        | [118] |

TPGS based polymers in drug delivery

TPGS based polymers have been extensively used in drug delivery system, which can improve the drug encapsulation efficiency, intracellular uptake and therapeutic effects in vitro and in vivo [79]. Zhang et al. [80] first synthesized PLA-TPGS copolymer for drug delivery, which achieved significant antitumor efficiency. Inspired by the application of PLA-TPGS copolymer, a series of TPGS based polymers including poly(lactic-co-glycolic acid) (PLGA)-TPGS [81], polycaprolactone (PCL)-TPGS [82], hyaluronic acid (HA)-TPGS [20], poly-(beta-amino ester) (PBAE)-TPGS [22], chitosan-TPGS [83] and so on, have attracted great interests and been synthesized for medical applications (Table 2).

PLA-TPGS polymer

PLA has been approved by FDA as a biodegradable and biocompatible agent, which is widely used in drug delivery. However, the hydrophobic characteristic and rapid clearance of PLA matrix based nanocomposites after administration have greatly limited its application [84]. PLA-TPGS polymer can be synthesized by ring opening polymerization or simple conjugation of PLA with TPGS. The resultant polymer can introduce the special properties of TPGS to overcome the drawbacks of PLA to some extent. It has been extensively applied for anticancer drug delivery. The polydopamine-modified PLA-TPGS nanoparticles with galactosamine conjugation were used as a targeted drug delivery system to treat liver cancer, which showed more efficient antitumor activity than the control groups [85]. In Zhang’s group [86], a redox-sensitive PLA-SS-TPGS polymer was synthesized with a disulfide linkage between PLA and TPGS to realize reductive cleavage of polymer to dissociate TPGS under high concentration of intracellular GSH. The dissociated TPGS was found with P-gp inhibition activity, which enhanced intracellular drug accumulation, increased cell apoptosis and realized synergistic effect against MDR tumor. cRGD was further decorated on nanoparticles with enhanced cellular uptake and intracellular drug accumulation compared to non-targeted nanoparticles. The high cytotoxicity was also induced from the targeted nanoparticles on murine melanoma, drug-sensitive and resistant human ovarian cancer cells. Further evaluation on in vivo pharmacokinetics and tumor growth inhibition also evidenced the great potential of this redox-responsive polymer for cancer treatment. Compared with the linear copolymers, the dendrimer-like H40-PLA-TPGS nanoparticles showed better in vitro and in vivo antitumor effect [87]. The combination of different therapeutic approaches has shown to be more effective than single modality in cancer treatment. The PLA-TPGS polymer can be applied in multiple drugs co-delivery for multimodality cancer therapy. Feng’s group [88]
blended PLA-TPGS and carboxyl group-terminated TPGS to fabricate targeted nanoparticles for the co-delivery of multiple agents, including chemotherapeutic drug DTX, biotherapy and targeting agent of Herceptin and hyperthermia therapy of iron oxides. The multimodal treatment nanoparticles exhibited synergistic antitumor effects compared with the corresponding individual or dual modality treatment. Wang et al. [89] used porphyrin-cored PLA-b-TPGS copolymer for combinational chemo-photodynamic therapy, which achieved synergistic effects against HeLa cervical cancer cells. Chemotherapy usually involves two or more drugs which are administered to the host at a same period. The metabolism of one drug can be changed by the other one or ones, resulting in the drug antagonism [90]. PLA-TPGS nanoparticles were fabricated to reduce drug antagonism between DTX and tamoxifen. The nanoparticles can realize the intracellularly controlled release of the drugs for effective antitumor activity [91].

Current fabrication methods of nanoparticles are usually in lab-scale, which would limit the practical application of nanomedicine. PLA-TPGS copolymer could be applied to encapsulate DTX by the Shirasu porous glass membrane-emulsification technique with a pilot-scale. Optimization of the fabrication process was studied, including the surfactant types and concentration in the aqueous phase, organic/aqueous phase volumetric ratio, membrane-pore size, transmembrane cycles and operation pressure. DTX-loaded PLA-TPGS nanoparticles exhibited improved in vivo pharmacokinetics of 1.8-, 6.3- and 3.4-fold higher values for AUC, t1/2, and MRT, respectively, compared with those of PLGA nanoparticles and Taxotere®, respectively. The similar tendency was also seen in drug retention from the near-infrared fluorescence images and tumor growth inhibition in H22 tumor model. It would provide a feasible strategy to fabricate drug-loaded polymeric nanoparticles in a pilot-scale [92].

**PLGA-TPGS polymer**

PLGA is a biocompatible and non-immunogenic polymer and can be biodegraded to non-toxic product in nature [93]. However, PLGA is hydrophobic and can be rapidly filtrated in liver and captured by the reticuloendothelial system. These shortages could be masterly evaded with the help of TPGS. The PLGA-TPGS polymer can be used as a polymeric matrix for nanoparticles to deliver therapeutic agents, which can achieve high drug encapsulation efficiency, sustained release behavior and improved therapeutic effects [94]. Traditional Chinese medicines (TCMs) have been widely used for thousands of years. Recently, their therapeutic efficacy to cancer attracted much attention but was limited by the poor solubility. PLGA-TPGS nanoparticles can be formulated to encapsulate these TCMs to enhance the pharmacological effects. Resibufogenin [95], Emodin [96], Tanshinone IIA [97] and Quercetin [98] were loaded in PLGA-TPGS nanoparticles, resulting in enhanced antitumor efficiency for liver cancer. Gao et al. [99] combined oleanolic acid and heparin sodium separately loaded PLGA-TPGS nanoparticles, which demonstrated synergistic antitumor effects in liver cancer HCa-F cells. Fang et al. [100] fabricated the mixed micelles comprising PLGA-SS-TPGS and Pluronic F68 (F68) modified with a targeting peptide APDTKTQ for oridonin delivery which increased cellular uptake and induced apoptosis. Star-shaped polymer based drug carriers exhibited smaller hydrodynamic radius, lower solution viscosity, higher drug loading content and higher drug encapsulation efficiency in comparison to the linear polymers of same molar mass [101]. DTX-loaded star-shaped cholic acid-PLGA-b-TPGS block copolymer nanoparticles showed higher cellular uptake efficiency and better antitumor efficacy compared with linear PLGA-b-TPGS copolymer based nanoparticles [102]. Mei’s group [103, 104] prepared the aptamer or folate conjugated nanoparticles, which could realize significantly high tumor targeting efficiency and increased therapeutic effects.

**PCL-TPGS polymer**

PCL-TPGS polymer can be easily synthesized by the copolymerization of PCL with TPGS and serve as a functional molecular biomaterial for drug delivery. DTX-loaded porphine functionalized star-shaped PCL-b-TPGS copolymer nanoparticles were constructed for chemo-photodynamic therapy. This system developed TPGS function as P-gp inhibitor for overcoming drug resistance of MCF7/ADR cells, and chemo-photodynamic therapy via DTX and photodynamic sensitizer of porphine for cervical cancer. As comparison to Taxotere®, the drug-loaded nanoparticles exhibited 9.4- and 56.5-fold efficiency on cancer cell cytotoxicity and significant antitumor efficacy against cervical cancer, respectively. The study also demonstrated that the TPGS component in the copolymer can enhance the drug encapsulation efficiency, cellular uptake and subsequent cytotoxic effect of MDR tumor [105]. Besides, PTX-loaded MPEG-PCL-TPGS micelles exhibited remarkable tumor inhibition in the resistant A549/T tumor bearing nude mice [82]. Bernabeu et al. [106] reported that PTX-loaded PCL-TPGS nanoparticles exhibited higher antitumor efficacy against both
estrogen-dependent (MCF-7) and estrogen-independent (MDA-MB-231) breast cancer cells compared with drug-loaded-PCL-\(b\)-MPEG nanoparticles and commercial formulation Abraxane®. The different hydrophobic chain lengths of PCL-TPGS polymers may influence the nanoparticle properties and delivery efficiency of therapeutic agents. Suksiriworapong et al. [107] synthesized 5:1, 10:1 and 20:1 PCL-TPGS copolymers which were composed of 25.0%, 45.2% and 66.8% of PCL chains with respect to the polymer chain, respectively. They found that the 10:1 PCL-TPGS nanoparticles exhibited the best uptake efficiency and highest cytotoxicity to breast cancer cells. (PCL-ran-PGA)-\(b\)-TPGS nanoparticles modified by polyethyleneimine were applied to deliver TNF-related apoptosis-inducing ligand (TRAIL) and endostatin for synergistic anticancer treatment in cervical cancer [108].

**HA-TPGS polymer**

HA is a major ligand for CD44-positive cancer cells, which would facilitate the drug carriers to target this kind of malignant tumor. Su et al. [20] constructed a kind of ROS triggering and replenishing nanoparticles for DOX delivery to overcome drug resistance in MCF-7/ADR tumor (Figure 4A). TPGS was conjugated with HA via an arylboronic linker with ROS sensitivity. HA can increase tumor targeting efficiency of the nanosystem for CD44-positive malignant cells. On one hand, TPGS was applied as the P-gp inhibitor to overcome drug resistance. On the other hand, it can also promote the regeneration of ROS which can in turn replenish the consumed ROS for triggering nanoparticle dissociation (Figure 4B). The drug-loaded nanosystem can realize the targeted delivery to cancer cells, dissociate TPGS in response to intracellular ROS and enhance cytotoxicity on MCF-7/ADR cancer cells. This novel and promising system combined ROS responsive and replenishing functions to induce high drug accumulation for effective antitumor treatment.

**Figure 4.** (A). Scheme of FRET-TBH assembly in water and disassembly in 1 mM H\(_2\)O\(_2\). (B). The schematic presentation of ROS-triggered and regenerating antitumor nanosystem. A: TBH self-assembling nanosystem (DOX-TBH) and endocytosis mediated by HA through the overexpressed CD44 receptors; B: the breakage of arylboronic linkers by ROS, followed by DOX-TBH rapid disassembly and released DOX and TPGS; C: By acting on mitochondrial respiratory complex II, the released TPGS induced ROS generation for complete disassembly of DOX-TBH; D: TPGS as the inhibitor of P-gp efflux to overcome MDR; E: DOX and ROS induced cell death in nucleus. (C). Scheme of folate-modified redox/pH-sensitive hybrid micelles (PTX@PST-FA) for achieving targeted delivery and overcoming MDR. (D). Antitumor effect against MCF-7/ADR tumor model by hybrid micelles. A and B reprinted with permission from [20]. Copyright 2014 Elsevier. C and D reprinted with permission from [111]. Copyright 2017 Royal Society of Chemistry.
PBAE-TPGS polymer

PBAE, which was synthesized by Langer’s group [109] via a Michael-type polymerization, has been widely used as a pH-sensitive polymer in anticancer drug delivery systems. PBAE-b-TPGS (PT) was synthesized for DTX delivery against drug-sensitive A2780 and resistant A2780/T cells. PT nanoparticles were fabricated with the help of phospholipids DOPC and DSPE-PEG to increase the stability. It exhibited a pH-sensitive release behavior in weak acidic environment (pH 5.5). Compared to free drug, PT nanoparticles exhibited 22- and 100-fold lower IC₅₀ against A2780 and A2780/T cells, respectively. Moreover, Rh123 retention and intracellular ATP assays showed that PT copolymer nanoparticles can significantly strengthen the intracellular Rh123 fluorescence intensity and decrease the ATP level compared with PBAE nanoparticles but close to that of free TPGS. It was caused by the dissociated TPGS which can inhibit the function of P-gp. The enhanced cytotoxicity and P-gp inhibition assays demonstrated that the pH-responsive copolymer can be applied in drug delivery system to increase the chemo-sensitivity of P-gp overexpressed MDR cancer cells [22]. Zhang et al. [110] mixed PBAE-b-TPGS and AS1411 aptamer decorated TPGS to fabricate PTX loaded micelles for targeted delivery. The micelles can dissociate quickly in acidic pH to release PTX and showed enhanced drug accumulation in SKOV3 ovarian cancer cells. Recently, a redox/pH dual-sensitive graft copolymer, PBAE-g-TPGS, was further synthesized through a Michael-type step polymerization. To improve the biocompatibility and targeted delivery ability, Pluronic F127 (F127) and polyethyleneimine, TPGS and tRGD-TPGS for overcoming drug resistance (Figure 5A). The nanoparticles exhibited increased cell uptake, RNA interference effect and cytotoxicity both on A549 and A549/T cells. It was further evidenced that the targeted nanoparticles showed significant antitumor effects from the co-delivery system as comparison to Taxol®. RGD-conjugated TPGS was also used to prepare the targeted micelles by mixing with vitamin E succinate (VES)-grafted-chitosan oligosaccharide, which exhibited good growth inhibition on human glioblastoma tumor (U87MG) [113]. tLyp-1 peptide has high affinity to neuropilin-1 receptor (NRP-1). It can be also used as a targeting peptide to decorate on the surface of nanoparticles as overexpressed NRP-1 on tumor and angiogenesis cells. TPGS was first conjugated with the tLyP-1 peptide and then mixed with PLA-TPGS and Ce6-conjugated TPGS to fabricate DOX loaded nanoparticles via a nanoprecipitation method. The resultant nanoparticles can enhance the vascular extravasation and improve the penetration and accumulation of drugs in tumor for synergistic antitumor effects of combinational chemo-photodynamic therapy (Figure 5B) [114]. In addition, a kind of theranostic micelles of transferrin conjugated TPGS was applied for targeted co-delivery of DTX and gold clusters for simultaneous cancer imaging and therapy. The micelles exhibited enhanced cytotoxicity with 71.7-fold and 4.7-fold lower IC₅₀ values in MDA-MB-231-luc breast cancer cells with transferrin receptors overexpression as comparison to Taxotere® and non-targeted micelles, respectively. The in vivo imaging and antitumor efficacy were further evidenced in tumor-bearing mice [115].

Chitosan-TPGS polymer

Chitosan-g-TPGS (CT) polymer was synthesized for overcoming P-gp induced MDR of cancer cells. The nanoparticles exhibited high encapsulation capability of DOX, pH-responsive release behavior and significant cytotoxicity on human hepatocarcinoma cells (HepG2 and BEL-7402) and MCF-7 cells. DOX-loaded CT nanoparticles also enhanced cytotoxicity and apoptosis against drug-resistant cells (MCF-7/ADR and BEL-7402/5-Fu) with significantly reduced IC₅₀ in comparison to free drug. The inhibition of P-gp efflux was observed on MCF-7/ADR cells. DOX-loaded CT nanoparticles showed a remarkable reduction in the intracellular ATP level compared with free drug. DOX-loaded nanoparticles can induce the synergistic effect through the P-gp inhibition by TPGS and cytotoxicity by anticancer drug. The nanoparticles also improved in vivo pharmacokinetic properties and antitumor activity after oral administration [83].

Targeting ligand conjugated TPGS

RGD has been applied as a potential targeting ligand in cancer treatment for tumors with αvβ3 integrin receptors overexpression. Li’s group [112] formulated PTX and Survivin shRNA co-loaded targeted nanoparticles by mixing Pluronic P85-polyethyleneimine, TPGS and iRGD-TPGS for overcoming drug resistance (Figure 5A). The nanoparticles exhibited increased cell uptake, RNA interference effect and cytotoxicity both on A549 and A549/T cells. It was further evidenced that the targeted nanoparticles showed significant antitumor effects from the co-delivery system as comparison to Taxol®. RGD-conjugated TPGS was also used to prepare the targeted micelles by mixing with vitamin E succinate (VES)-grafted-chitosan oligosaccharide, which exhibited good growth inhibition on human glioblastoma tumor (U87MG) [113]. tLyp-1 peptide has high affinity to neuropilin-1 receptor (NRP-1). It can be also used as a targeting peptide to decorate on the surface of nanoparticles as overexpressed NRP-1 on tumor and angiogenesis cells. TPGS was first conjugated with the tLyP-1 peptide and then mixed with PLA-TPGS and Ce6-conjugated TPGS to fabricate DOX loaded nanoparticles via a nanoprecipitation method. The resultant nanoparticles can enhance the vascular extravasation and improve the penetration and accumulation of drugs in tumor for synergistic antitumor effects of combinational chemo-photodynamic therapy (Figure 5B) [114]. In addition, a kind of theranostic micelles of transferrin conjugated TPGS was applied for targeted co-delivery of DTX and gold clusters for simultaneous cancer imaging and therapy. The micelles exhibited enhanced cytotoxicity with 71.7-fold and 4.7-fold lower IC₅₀ values in MDA-MB-231-luc breast cancer cells with transferrin receptors overexpression as comparison to Taxotere® and non-targeted micelles, respectively. The in vivo imaging and antitumor efficacy were further evidenced in tumor-bearing mice [115].

Other TPGS based polymers

Wang et al. [116] synthesized TPGS-modified reduced bovine serum albumin (TRB) for PTX delivery, which enhanced the cytotoxicity in
MCF-7/ADR cells compared with Taxol® and PTX-loaded bovine serum albumin nanoparticles. The relative P-gp efflux assay also confirmed the significant inhibition on P-gp activity with TRB. Besides, lysine-linked di-tocopherol polyethylene glycol 2000 succinate (PLV2K) was synthesized for overcoming MDR in cancer treatment. The copolymer PLV2K can self-assemble into micellar system with low critical micelle concentration (CMC) of 1.14 μg/mL. DOX loaded micelles showed increased cytotoxicity and intracellular accumulation in MCF-7/ADR cells compared with free DOX, which was attributed to the uncompetitive inhibition of P-gp ATPase by PLV2K [117]. To improve the stability of drug carrier in physiological condition and extend the blood circulation time, a series of 4-arm-PEG-TPGS polymers with different PEG molecular weight were synthesized. The polymers can self-assemble to nanoparticles for PTX encapsulation. The nanoparticles based on the polymer with 4-arm-PEG molecular weight of 5kDa obtained the best physiologic stability, smallest particle size and highest drug-loading efficiency. The significant in vivo antitumor effect on S180 sarcoma-bearing mice demonstrated the potential of this 4-arm-PEG5k-TPGS polymer for cancer treatment [118]. Cheng et al. [119] developed TPGS-functionalized polydopamine-coated mesoporous silica nanoparticles for pH-responsive delivery of DOX. The presence of TPGS resulted in higher intracellular drug concentration and superior therapeutic effects against MDR tumor.

Figure 5. (A). Fabrication of iRGD mediated PTX and Survivin shRNA co-loaded complex nanoparticles. (B). Design of tLyp-1-conjugated PLA-TPGS nanoparticles as a dual-targeting delivery system for co-delivery of DOX and Ce6. The nanoparticles were internalized by NRP-1 mediated endocytosis and penetrated into the inner areas of the tumor to combat drug resistant cancer via chemo-photodynamic combination therapy. A reprinted with permission from [112]. Copyright 2014 American Chemical Society. B reprinted with permission from [114]. Copyright 2015 Royal Society of Chemistry.
Table 3. Unmodified TPGS based formulations for overcoming MDR

| Formulations              | Payload  | Tumor model          | Effects                                                                 | Ref     |
|---------------------------|----------|----------------------|-------------------------------------------------------------------------|---------|
| TPGS nanocrystals         | PTX      | Resistant lung cancer| 5-fold increase on tumor inhibitory rate on H460/RT                     | [120, 121] |
| TPGS/PEG/Tween 80 nanoemulsions | PTX      | Resistant breast cancer | P-gp activity inhibition, 94% inhibitory rate in MCF-7/ADR bearing nude mice | [18]    |
| TPGS/PEG-b-PDPA micelles  | DOX      | Resistant breast cancer | P-gp activity inhibition, tumor inhibition in MCF-7/ADR bearing nude mice | [38]    |
| TPGS/MPEG-SS-2SA mixed micelles | PTX      | Resistant breast cancer | Cytotoxicity, P-gp inhibition on MCF-7/PTX                               | [36]    |
| TPGS/cholesterol/DSPE-PEG/DQA-PEG/DSPE liposomes | Epirubicin/LND | Non-small cell lung cancer | Targeted accumulation in mitochondria, enhanced antitumor efficacy of combination of both drug-loaded liposomes in A549/ADR cancer | [123] |
| TPGS/EPC/cholesterol/DSPE-PEG/DQA-PEG/DSPE liposomes | Topotecan | Resistant breast cancer | Mitochondrial targeting effect, tumor inhibition on MCF-7/ADR bearing mice, anti-metastasis effect on melanoma | [124] |
| TPGS/HA cationic liposomes | PTX/LND  | Resistant breast cancer | Inhibition on intracellular ATP production, cell apoptosis and cytotoxicity, MCF-7/ADR tumor inhibition | [32]    |
| TPGS                      | PTX/TQR  | Resistant breast cancer | IL-10 production by MCF-7/ADR cells, cell viability, P-gp expression   | [125]    |
| TPGS/DSPE-PEG mixed micelles | DOX/CUR | Resistant non-small cell lung cancer | Cytotoxicity and endocytosis on A549/ADR cells, antitumor effect on LLC-tumor bearing mice | [126] |
| TPGS/Poloxamer 407 mixed micelles | Gambogenic acid | Resistant breast cancer | Increased cell uptake and 2.9-fold higher cytotoxicity                  | [127] |
| TPGS/Poloxamer 407 mixed micelles | CUR    | Resistant breast cancer | 3-fold higher cytotoxicity of micelles in NCI/ADR-RES cells            | [128] |
| TPGS/Poloxamer 407 mixed micelles | DOX    | Resistant ovarian cancer | Enhanced cellular uptake and increased cytotoxicity in SKOV3 and DOX-resistant SKOV3 cells | [129] |

Unmodified TPGS based formulations

According to the basic properties mentioned above, unmodified TPGS can be used as a multifunctional material in drug delivery system. These functions can be summarized as overcoming MDR, improving oral drug bioavailability and promoting drug permeation.

TPGS based formulations to overcome MDR

Scientific investigations have validated that TPGS can be employed as the drug carrier and P-gp inhibitor in fabricating nanodrugs for MDR reversal in cancer treatment (Table 3). Gao et al. [120] developed the TPGS stabilized PTX nanosuspensions, which exhibited 2-fold median lethal dose (LD₅₀) of acute toxicity and improved pharmacokinetics compared to that of the marketed injection. The nanosuspensions were further evaluated on P-gp overexpressed H460 human lung cancer cells (H460/RT) with significantly high cytotoxicity and 5-fold increase on tumor inhibitory rate compared with the mixed solution of PTX and TPGS after i.v. administration [121]. TPGS was also used to prepare PTX nanoemulsions for overcoming drug resistance in MCF-7/ADR cells. The nanoemulsions exhibited significant P-gp inhibition and 94% tumor inhibitory rate (Figure 6A) [18].

In recent years, more and more stimuli-responsive nanoparticles were designed to realize timely and spatially drug release. Yu et al. [38] developed mitochondria-targeted pH-responsive micelles with poly(ethylene glycol)-block-poly(2-(diisopropylamino)ethyl methacrylate) (PEG-b-PDPA), a pH-responsive copolymer, and TPGS for overcoming drug resistance in MCF-7/ADR tumor (Figure 6B). TPGS can increase the cytotoxicity and inhibit P-gp activity by targeting mitochondria and depleting ATP. The micelles exhibited 6-fold decrease of IC₅₀ in MCF-7/ADR cells and more efficient tumor inhibition in MCF-7/ADR bearing nude mice compared with free DOX. Moreover, Dong et al. [36] designed PTX loaded mixed micelles, which were prepared with disulfide bond-linked MPEG and stearic acid (MPEG-SS-2SA), and TPGS. PTX can be rapidly released within 24 h under the reductive environment. The micelles demonstrated significant inhibition on mitochondrial respiratory complex II, decrease of mitochondrial membrane potential and reduced level of ATP. Redox and pH dual-responsive lipid-coated mesoporous silica hybrid nanoparticles containing TPGS were fabricated for overcoming drug resistance. The nanoparticles exhibited higher cell uptake, cytotoxicity and intracellular accumulation in MCF-7/ADR cells as comparison to free DOX [122].

TPGS can also be combined with other functional agents for enhanced MDR cancer therapy. The major approaches are listed as follows.

TPGS can be combined with mitochondrial targeting agents to overcome drug resistance. The multifunctional liposomes were fabricated with mitochondrial targeting molecule dequalinium (DQA) and TPGS, which can circumvent the intrinsic resistance by enhancing the delivery of cytotoxic agents across mitochondrial membrane and overcome
the acquired resistance by inhibiting the activity of P-gp (Figure 6C). The targeting liposomes can selectively accumulate in mitochondria and decrease the mitochondrial membrane potential (Figure 6D). The liposomes showed enhanced antitumor efficacy in drug resistant A549/cDDP cancer (Figure 6E) [123]. The resistance-related metastasis was also suppressed on melanoma by this kind of mitochondrial targeting liposomes loading with Topotecan [124]. It seems that mitochondrial targeting strategy could be a promising way for the treatment of MDR cancers and resistance-related metastases.

TPGS can also be combined with other drug resistance inhibitor or chemosensitizer, such as lonidamine (LND) [32], tariquidar (TQR) [125] and curcumin (CUR) [126]. Assanhou et al. [32] constructed TPGS-based functional liposomes for co-delivery of cytotoxic drug PTX and MDR inhibitor LND (Figure 7A). LND was used as a mitochondrial function modulator and chemosensitizing agent. TPGS was anchored on the lipid bilayer of liposomes which can serve as P-gp inhibitor for increasing the drug accumulation in MDR tumor and mitochondria-targeting agent to enhance the mitochondrial function modulating capability of LND. As expected, the intracellular retention of Rh123 was evidently increased in MCF-7/ADR cells by TPGS-contained liposomes compared with free Rh123 or liposomes without TPGS. The mitochondria-targeting property of TPGS was further evidenced as the high Rh123 signal colocalized with the MitoTracker signal and increased Rh123 content in the mitochondria after incubation of cancer cells with liposomes (Figure 7B). TPGS and LND also developed the synergistic effects on the suppression of intracellular ATP production, which enhanced P-gp inhibition and sensitized the drug-resistant tumor to PTX. The improved antitumor efficiency (Figure 7C) may provide great potential for co-delivering MDR modulator with anticancer drug to overcome MDR. TPGS-based nanoparticles with PTX and drug resistance inhibitor TQR co-delivery were constructed for overcoming drug resistance in MCF-7/ADR cells [125]. In addition, DOX and chemosensitizing agent CUR co-loaded micelles were prepared with TPGS and DSPE-PEG for overcoming DOX resistance in cancer treatment. The co-loaded micelles exhibited synergistic antitumor efficacy against A549/ADR cells [126].

Furthermore, TPGS can be applied to combine with other polymeric inhibitors of P-gp. Saxena et al. [127] developed Poloxamer 407 and TPGS mixed micelles to overcome MDR. The micelles were designed for gambogic acid delivery with increased cell uptake and 2.9-fold higher cytotoxicity in MDR ovarian cancer cells (NCI/ADR-RES) compared with free drug. The MDR reversal effect of CUR-loaded mixed micelles was further studied. Increased uptake and 3-fold higher cytotoxicity of micelles were found in NCI/ADR-RES cells [128]. In addition, pH-responsive folate-functionalized Poloxamer 407/TPGS mixed micelles were developed for selective anticancer activity, targeted cancer therapy and MDR reversal. The DOX-loaded mixed micelle, comprising TPGS and folic acid conjugated Poloxamer 407, demonstrated enhanced cellular uptake and cytotoxicity in SKOV3 and DOX-resistant SKOV3 cells, reduced drug efflux and minimal toxicity to normal cells as compared to free DOX [129].

TPGS based formulations to improve drug oral bioavailability

Oral administration is an appealing drug delivery way owing to the simplicity, convenience, high patient compliance, suitability for chronic therapy and reduced costs for physicians and industry [130, 131]. However, there are still numerous inherent challenges hampering the effective delivery of drugs, such as low water solubility, limited permeability through the gastrointestinal tract, poor stability against enzymes and hydrolysis effect, which lead to poor absorption and bioavailability [132]. In fact, a majority of BCS class IV drugs are substrates of P-gp and cytochrome P450 3A4 (CYP3A4), leading to low permeability and extensive pre-systemic metabolism [133]. TPGS-based formulations have many advantages to improve bioavailability of orally administered drugs. On one hand, as a nonionic surfactant, TPGS can improve drug solubility. On the other hand, TPGS can enhance drug permeation due to the P-gp inhibition effect [27]. Furthermore, TPGS has been demonstrated with the capability to improve drug stability by inhibiting the CYP3A4 and CYP2C9-mediated metabolism [134]. In other studies, TPGS showed little inhibition effect on CYP3A activity [135, 136], which may be related to the dosage [137]. The TPGS formulations involve nanocrystals, nanosuspensions, self-emulsifying/microemulsifying drug delivery system (SEDDS/SMEDDS), solid dispersions/tablet, solid lipid nanoparticles (SLNs), liposomes and micelles, TPGS emulsified nanoparticles and so on.

Nanocrystals and nanosuspensions have been widely accepted as potent formulations to improve the poor solubility, dissolution and bioavailability of hydrophobic drugs. TPGS can be used as a stabilizer to fabricate androgogaphile nanocrystals. The nanocrystals improved the intestine absorption as evidenced by the single-pass intestinal perfusion
studies and oral absorption with significant inhibition on xylene induced ear swelling after oral administration [138]. Moreover, TPGS was applied as stabilizer in fabricating nanocrystals of BCS class II drug, telmisartan, with 10-fold increase in bioavailability which was due to the enhanced solubility and dissolution rate [139]. Ge et al. [140] prepared the TPGS stabilized ursolic acid nanosuspensions with 27.5-fold increase in oral bioavailability and 9-fold increase in peak concentration (Cmax) in comparison to raw drug.

SEDDS/SMEDDDS, an isotropic mixture composed of oil, solvent, surfactant and co-solvent/surfactant, can improve oral absorption of lipophilic drugs [141]. The TPGS stabilized acetylpuerarin nanoemulsions can increase drug solubilization in the gastrointestinal tract, improve lymphatic transport and thus enhance drug absorption for effective therapy on cerebral ischemic reperfusion injury [142]. In addition, microemulsions of BCS Class IV drug, cefpodoxime proxetil, were prepared by using Tween 80 and TPGS as surfactants and Capmul MCM as oil phase. The SMEDDS improved the average flux, permeability and AUC of cefpodoxime proxetil for around 9-, 10.5-, and 5.4-fold compared with free drug, respectively. The improved oral bioavailability may be attributed to the enhanced solubilization of selected drug [143]. Jain et al. [144] developed SEDDS containing cyclosporine A and TPGS, which exhibited good stability in simulated gastrointestinal fluids and increased the oral bioavailability around 4.48-fold compared to clinically available counterpart Bioral®. This formulation can significantly diminish ROS generation induced by cyclosporine A in human embryonic kidney cells compared with the formulation without TPGS, Bioral® and the mixture of cyclosporine A and TPGS. The nephrotoxicity was also reduced, which further evidenced the safety of TPGS containing SEDDS for cyclosporine A delivery.

**Figure 6.** (A). The tumor inhibition profile of PTX nanoemulsion in MCF-7/ADR tumor. (B). Scheme of the mitochondria-targeted pH-responsive PDPA/TPGS@DOX micelles for overcoming drug resistance in MCF-7/ADR tumor. (a) Cellular uptake; (b) pH-responsive dissociation of micelles in endo/lysosome; (c) the mitochondria targeting effect of TPGS; and (d) nuclear entry of DOX. (C). Schematic representation of mitochondria targeting liposomes. (D). Drug contents in mitochondrial detected by flow cytometry after 4 h incubating A549/cDDP cells. (D1) Blank control; (D2) Free Coumarin; (D3) Coumarin liposomes; (D4) Targeting Coumarin liposomes. (E). Antitumor efficiency in A549/cDDP xenografted tumor. A reprinted with permission from [18]. Copyright 2014 Elsevier. B reprinted with permission from [38]. Copyright 2015 Elsevier. C, D and E reprinted with permission from [123]. Copyright 2013 Elsevier.
Figure 7. (A). Scheme of the TPGS and HA dual-functionalized liposome for PTX and LND co-delivery to overcome MDR. A. Preparation of PTX&LND-HA. From top to bottom: PTX&LND-CL, PTX&LND-TPGS-L, and PTX&LND-HA. B. MDR reversal mechanism of PTX&LND-HA. I: tumor cell accumulation by the passive and active targeting effects; II: CD44-mediated internalization and HA degradation by HAase overexpressed in the tumor extracellular matrix and endo-lysosomes; III: endo-lysosomal escape of the liposome; IV: release of PTX for cytotoxicity and inhibition on P-gp efflux by TPGS; V: mitochondrial targeting of the liposome and release of LND to act on the mitochondrial function for sensitizing the MDR cancer cells to PTX. The mitochondrial targeting effect (B) and tumor volume change (C) in MCF-7/MDR cells and MCF-7/MDR tumor-bearing nude mice, respectively. Reprinted with permission from [32]. Copyright 2015 Elsevier.

TPGS was used in solid dispersions as an absorption enhancer to improve the oral bioavailability. The solid dispersion nanoparticles of dexibuprofen were prepared with Eudragit E100 and TPGS by supercritical anti-solvent technique. The nanoparticles improved the AUC_{0-24h} and C_{max} up to 4.6- and 5.7-fold, respectively [145]. Additionally, fexofenadine hydrochloride dispersions containing TPGS improved the drug dissolution, permeability, oral absorption and bioavailability via investigations of in situ perfusion and in vivo pharmacokinetics [146]. Moreover, the solid dispersions composed of berberine-phospholipid complex, TPGS and SiO_2 were prepared to improve oral bioavailability of berberine. TPGS can act as not only a carrier to improve the drug dissolution rate but also a P-gp inhibitor for enhancing the drug intestinal absorption [147].

DTX-loaded SLNs were prepared using Tween 80 or TPGS as emulsifier for oral delivery. Compared with Tween 80-emulsified SLNs, TPGS-emulsified SLNs demonstrated enhanced intestinal absorption and oral bioavailability of DTX in rats, which may be due to the P-gp inhibition from TPGS along with the increased intestinal lymphatic uptake of SLNs [148]. Lumefantrine-loaded binary SLNs were constructed with stearic acid, caprylic acid, TPGS and Poloxamer 188 to improve the oral bioavailability. The SLNs exhibited 2.7-fold C_{max} and 2.2-fold AUC in comparison to free drug [149]. Notably, compared with CUR solution, CUR-loaded SLNs containing Brij78 and TPGS were found to improve the relative bioavailability for 9-fold and significantly increase the effective permeability through in situ intestinal absorption study [150].

Liposomes and micelles containing TPGS can improve solubility, permeability and oral bioavailability. Phospholipid complex and TPGS mixed micelles for baohuoside I delivery can enhance the solubility, permeability and inhibit drug efflux. With the increased ratio of TPGS, the drug solubility was increased and efflux was reduced. The mixed micelles increased the relative bioavailability up to 5.3-fold compared with free drug [151]. In particular, MPEG-PLA/TPGS mixed micelles can increase the relative bioavailability of CUR up to 9-fold compared to CUR suspensions [152]. Similarly, the micelles were prepared by mixing MPEG-PLA, TPGS and stearic acid.
acid grafted chitosan oligosaccharide, which enhanced oral bioavailability [153]. Recently, the mixed micelles of Poloxamer 407 and TPGS were developed to co-deliver resveratrol and metabolism inhibitor piperine, which exhibited enhanced oral bioavailability of resveratrol up to 5.7-fold compared to free drug. Moreover, higher cytotoxicity in MCF-7 cells was evidenced from the resveratrol/piperine-loaded micelles with 14.5-fold lower of IC50 compared with that of free resveratrol [154].

TPGS based formulations to promote drug permeation

TPGS can improve drug permeation across physiological barriers such as skin or cornea. It can be applied to construct many formulations for transdermal drug delivery and the therapy of eye diseases.

The ibuprofen supersaturated solution with TPGS and hydroxypropyl methylcellulose (HPMC) exhibited higher permeation rate and longer onset of crystallization time compared with other solubilizer/polymer systems, which may be applicable in transdermal drug delivery systems [155]. Following this work, the TPGS/HPMC nanosuspensions improved the permeability of ibuprofen with TPGS as solubilizer through the Skin-A Case Study [156]. Moreover, TPGS nanoemulsion based nanogels were formed for topical delivery of amphotericin B to treat cutaneous fungal infections. The nanogels exhibited 3.9-fold higher skin deposition through porcine ear skin and 2.0-fold higher antifungal activity against Aspergillus niger and Candida albicans compared with the marketed topical formulation. The nanogels can penetrate into the deeper layers of skin [157]. A topical formulation of griseofulvin, an antifungal agent, was prepared in the Carbopol (980 NF) base with the combination of TPGS as the penetration enhancer. The formulation exhibited enhanced drug permeation and retention in skin and showed great potential as the convenient alternative for the treatment of superficial fungal infection [158].

In parallel with transdermal drug delivery, TPGS was applied to promote drug translocation in cornea. Poor water solubility of drugs would limit the penetration and restrict pharmacological effects for eye diseases. Cholkar et al. [159] prepared dexamethasone-loaded micelles with TPGS and octoxynol-40 (Oc-40) (weight ratio 4.5:2.0). The mixed polymers exhibited lower CMC (0.012 wt%) compared with TPGS (0.025 wt%) and Oc-40 (0.107 wt%). The safety of formulations was evidenced from the cytotoxicity on rabbit primary corneal epithelial cells. Rapamycin-loaded micelles were also prepared with TPGS and Oc-40, which exhibited good tolerance as the low in vitro cytotoxicity on human retinal pigment epithelial and rabbit primary corneal epithelial cells. The micelles also exhibited feasibility in clinical application as the negligible drug partition into vitreous humor but very high drug level in targeted site of retina-choroid [160]. Moreover, in situ CUR gels were prepared by dispersing an ion-sensitive Pluronic P123/TPGS mixed micelles in gellan gum solution. The gels exhibited better corneal penetration and longer ocular retention compared with CUR solution [161].

Conclusions and perspectives

In this review, we summarized the properties and recent progress of TPGS in drug delivery. The merits of TPGS for drug delivery are listed here as following ones. (i) TPGS has been approved by FDA as a safe pharmaceutical adjuvant with high biocompatibility. (ii) TPGS can serve as an effective P-gp inhibitor for overcoming MDR. (iii) TPGS itself can act as an anticancer agent with selective toxicity to tumor cells. (iv) TPGS can be easily combined with nanotechnology to develop nanomedicines, which has been shown as a promising strategy in cancer treatment with increased solubility and stability of therapeutic agents, improved PK/PD, enhanced treatment efficiency and reduced side effects. Here we discussed many examples to use TPGS based nanomedicines including TPGS based prodrugs, NO donor and polymers, and unmodified TPGS based formulations, which took advantages of the properties of TPGS in drug delivery, especially the potent effect to overcome MDR. These examples clearly illustrated the potential and promising applications of TPGS for overcoming MDR, improving oral bioavailability, promoting drug permeation and other functions.

P-gp inhibition has been widely accepted as the major mechanism of TPGS to overcome MDR. Though mitochondria dependent P-gp pump inhibition has been well characterized, there is little cue about the exact mechanism of ATPase inhibition by TPGS. It remains unclear whether the ATPase inhibition is achieved by steric blocking of substrate binding, the direct interaction of TPGS with P-gp nucleotide binding domains or indirectly influencing P-gp function via an allosteric modulation [34]. Besides, TPGS may not work with the drug resistance acquired from tumor heterogeneity during tumor progression. It has been reported that TPGS can prevent tumor invasion and metastasis. The underlying mechanisms are unknown and still need to be investigated for more comprehensive application of TPGS in tumor metastasis. Furthermore, the impact of TPGS on immune system

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requires to be studied for the reason that TPGS can be used as an adjuvant in vaccine development for cancer immunotherapy. As to TPGS based formulations, the limitations to realize the precise stimuli-responsive property and deep penetration of nanoformulations in tumor microenvironment still remain as obstacles for the widespread application of these nanomedicines. More effective strategies should be exploited to enhance the targeted delivery efficiency, achieve the controlled drug release and increase the penetration of therapeutics in tumor. Clinical translation is the ultimate goal for the development of nanomedicines, which should be focused on simple structure with multifunctional properties. Taking advantage of the biocompatibility and multiple functions of TPGS, TPGS based nanomedicines may be promising for the property as “molecular economy” in pharmaceutics. However, the preparation of TPGS nanomedicines is still in laboratory scale and the progress on developing novel nanomedicines is relatively slow, which hinders the successful clinical translation of TPGS based nanomedicines. It may be accelerated by optimization of preparation procedures for industry production and exploration of effective models to minimize the physiological difference between animal and human.

**Abbreviations**

ABCB1: ATP-binding cassette transporter P-glycoprotein; ADP: adenosine diphosphate; α-TOS: α-tocopheryl succinate; AR+: androgen receptor positive; AUC: area under curve; BCS: biopharmaceutics classification system; Ce6: chlorin e6; Cmax: peak concentration; CMC: critical micelle concentration; CP: cefpodoxime proxetil; cRGD: cyclic RGD; CUR: curcumin; CYP: cytochrome P450; DOX: doxorubicin; DTX: docetaxel; DQA: dequalinium; EPR: enhanced permeation and retention; F127: pluronic F127; FOL: folic acid; 5-FU: 5-fluouracil; HA: hyaluronic acid; HER2: human epidermal growth factor receptor 2; IC50: the half-maximal inhibitory concentrations; NADPH: nicotinamide adenine dinucleotide phosphate; LD50: median lethal dose; LND: lonidamine; MDR: multi-drug resistance; MPEG-SS-2SA: disulfide bond-linked MPEG and stearic acid; MRT: mean residence time; NO: nitric oxide; NRP-1: neuropilin-1 receptor; PBAE: poly-(β-aminoster); PCL: polycaprolactone; PD: pharmacodynamics; PEG: poly(ethylene glycol); PGA: poly-glutamic acid; P-gp: P-glycoprotein; PK: pharmacokinetic; PKB: protein kinase B; PLA: poly(lactic acid); PLGA: poly(lactic-co-glycolic acid); PTX: paclitaxel; Rh123: rhodamine123; ROS: reactive oxygen species; SEDDS/SMEDDS: self-emulsifying/microemulsifying drug delivery system; SLNs: solid lipid nanoparticles; t1/2: half-life; TCMs: traditional Chinese medicines; TNO3: nitrate functionalized TPGS; TQR: tariquidar; Vitamin E TPGS or TPGS: D-a-tocopheryl polyethylene glycol succinate; VES: vitamin E succinate; ΔΨm: mitochondrial membrane potential.

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**Competing Interests**

The authors have declared that no competing interest exists.

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