Coexistent cerebral small vessel disease and multiple infarctions predict recurrent stroke

Yu Tian1,2,3,4,5 · Yuesong Pan1,2,3,4,5 · Hongyi Yan1,2,3,4,5 · Xia Meng1,2,3,4,5 · XingQuan Zhao1,2,3,4,5 · Liping Liu1,2,3,4,5 · Yongjun Wang1,2,3,4,5 · Yilong Wang1,2,3,4,5

Received: 22 January 2022 / Accepted: 18 March 2022 / Published online: 1 April 2022 © The Author(s) 2022

Abstract

Background and purpose To investigate the association of different status of cerebral small vessel disease (CSVD) and infarction number with recurrence after acute minor stroke and transient ischaemic attack (TIA).

Methods This study was a post hoc analysis of the Clopidogrel in High-risk Patients with Acute Nondisabling Cerebrovascular Events (CHANCE) trial, and includes 886 patients with acute minor stroke and TIA. The status of CSVD and infarction number was recorded for each individual. Infarction number were classified as multiple acute infarctions (MAIs≥2), single acute infarction (SAI =1), and non-acute infarction (NAI =0). The CSVD burden were grouped into non-CSVD (0 score) and CSVD (1–4 score). The primary outcome was a recurrent stroke at the 1-year follow-up. The secondary outcomes were recurrent ischaemic stroke, composite vascular event (CVE), and TIA. We analyzed the relationships between different status of CSVD burden and infarction pattern with the risk of outcomes using multivariable Cox regression models.

Results Among all 886 patients included in present analysis, recurrent stroke was occurred in 93 (10.5%) patients during 1-year follow-up. After adjusted for all potential covariates, compared with patients with non-CSVD and NAI, patients with CSVD and MAIs were associated with approximately 9.5-fold increased risk of recurrent stroke at 1 year (HR 9.560, 95% CI 1.273–71.787, \( p =0.028 \)). Similar results observed in ischaemic stroke and CVE.

Conclusion The status of CSVD and infarction number predicted recurrent stroke in patients with acute minor stroke and TIA, especially for those with coexistent CSVD and MAIs.

Keywords Ischaemic stroke · TIA · Recurrent stroke · Cerebral small vascular disease · Infarction number

Introduction

Minor ischaemic stroke (MIS) and transient ischemic attack (TIA) are two common manifestations of cerebrovascular disease with mild or transient symptoms and non-disabling consequences [1]. However, despite owing to the implementation of standardized treatment, a part of patients after MIS/TIA still occurred subsequent stroke events, especially in the early period [2–4]. As secondary stroke was often more severe and disabling than the index event, early identification and risk stratification were of the utmost significance in preventing recurrence in patients with acute minor stroke or TIA.

Nowadays, baseline neuroimaging features, such as infarction number [5], cerebral small vessel disease (CSVD), and intracranial atherosclerosis (ICAS) [7], were well-known crucial parameters for predicting stroke recurrence after MIS/TIA. Patients with multiple acute infarctions...
(MAIs), usually caused by cardiogenic embolism, artery-to-artery embolism, and other embolisms from undetermined sources, had a higher risk of recurrent stroke than patients with single acute infarction (SAI) or non-acute infarction (NAI) [5, 8]. CSVD, as a common intrinsic cerebral microvascular pathology, was the main cause of acute lacunar stroke and also increased the incidence of secondary stroke [9, 10]. The presence of ICAS, which could induce atherosclerotic plaques or thrombus formation, resulted in higher rates of new stroke events in TIA and minor stroke [7, 11]. Magnetic resonance imaging (MRI) markers on the baseline, which implied underlying mechanisms and pathologies of acute ischaemic stroke, were used to stratify the risk of recurrent events.

Furthermore, the combination of different neuroimaging parameters may provide more prognostic information than them only. For instance, a previous study showed a combined effect of the presence of both MAIs and ICAS of at least 1 of intracranial arterial segments on the risk of recurrent stroke in patients with minor stroke or TIA. [12] However, the coexistent CSVD and ICAS on baseline MRI were not associated with an increased risk of any recurrent stroke [13]. Our previous analysis of the CHANCE trial (the Clopidogrel in High-risk Patients with Acute Nondisabling Cerebrovascular Events trial) showed the copresence of CSVD and ICAS did not increase the risk of new strokes and disability in patients with minor stroke or TIA [14].

It highlighted that baseline neuroimaging signs of CSVD burden and infarction patterns may be two distinct aspects of underlying vascular mechanisms of stroke and TIA [15–18]. Although both of them were identified as predictors for recurrent events, there was no previous evidence that the combination of CSVD burden and infarction patterns could improve the efficiency of risk stratification in patients with acute minor stroke or TIA.

Using data from the Clopidogrel in High-Risk Patients with Acute Non-disabling Cerebrovascular Events (CHANCE) trial, we aimed to investigate the association between the neuroimaging status of CSVD burden and infarction pattern and recurrent stroke during 1-year follow-up, illustrating the potential combined influence of them on outcome in patient with MIS and TIA.

**Methods**

**Overview of the CHANCE trial and the imaging substudy**

The CHANCE trial was a randomized, multicentric, double-blind, placebo-controlled clinical study in China from October 2009 to July 2012. Details about the rationale, design, and results of the CHANCE trial have been previously published [19, 20]. Patients who completed MRI examinations (3.0 or 1.5 Tesla) at baseline were included in the imaging subgroup [12, 14, 21]. MR sequences included T1-weighted imaging, T2-weighted imaging, fluid-attenuated inversion recovery, diffusion-weighted imaging (DWI), and three-dimensional time-of-flight MR angiography. In the present post hoc analysis, we derived data from the prespecified imaging subgroup of the CHANCE trial. Patients without MRI examination or with incomplete sequences to assess CSVD and infarction number were excluded in this analysis.

**Data availability statement**

The CHANCE trial registered on clinicaltrials.gov (NCT00979589). Ethics approval was obtained by the ethics committee of Beijing Tiantan Hospital (IRB approval number: KY2015-001-01) and all participating centers. All participants provided written informed consent before inclusion into the study. The data are available from the corresponding author upon reasonable request.

**Neuroimage analyses**

MR images were collected from participating centers in digital format and were evaluated by two senior neurologists in Beijing Tiantan Hospital. MRI analysts are blinded to clinical characteristics and outcomes.

According to the number of acute ischaemic lesions, the infarction pattern was stratified as MAIs, SAI, and NAI [22, 23]. NAI was defined as no hyperintense lesion on DWI. SAI was defined as an uninterrupted hyperintense lesion on DWI visible in contiguous territories, while MAIs were defined as more than one hyperintense lesions on DWI that were separated in space or discrete on contiguous slices.

According to the STandards for ReportIng Vascular changes on nEuroimaging [24], MRI markers of CSVD, including white matter hyperintensity (WMH), lacuna, microbleeds (CMBs), and enlarged perivascular space (ePVS), were calculated as the total CSVD burden score ranged from 0 to 4 [6]. One point was allocated to each of the following markers: (1) severe periventricular WMH (Fazekas grade 3) or moderate to severe deep WMH (Fazekas grade 2–3); (2) presence of lacuna; (3) presence of microbleed; (4) moderate to severe (>10) basal ganglia ePVS. According to the total burden of CSVD, patients were categorized into two groups: non-CSVD group (score 0) and CSVD group (score 1–4). Patients with CSVD further divided into slight CSVD (score 1–2) and severe CSVD (score 3–4) [14].
Follow-up and study outcome assessment

In the present analysis, the efficacy outcomes were analogous with those of the CHANCE trial, except the outcomes were extended to 1-year follow-up period. The primary efficacy outcome was a recurrent stroke, including ischaemic stroke and hemorrhagic stroke, and secondary efficacy outcomes included recurrent ischaemic stroke, composite vascular event (CVE), TIA. The definitions of stroke, ischaemic stroke, CVE, and TIA were in accord with previously reported outcomes of the CHANCE trial [19].

Statistical analysis

Baseline characteristics were compared among patients with different statuses of CSVD burden and infarction pattern. Categorical variables were presented as percentages and the χ² test was performed for categorical variables; continuous variables were presented as mean with standard deviation (SD) or median with interquartile range (IQR), and one-way analysis of variance or Kruskal-Wallis test was adopted for continuous variables.

In the primary analysis, the associations between different CSVD burden and varying infarction number with recurrent events were assessed using Cox regression models. Hazard ratios (HRs) and 95% CIs were calculated based on two models. In model 1, we only adjusted for age and sex; in model 2, we adjusted for age, gender plus the potential covariates (including body mass index (BMI), history of ischaemic stroke, TIA, coronary artery disease, atrial fibrillation, hypertension, diabetes, hypercholesterolemia, smoking status, time to randomization, qualifying event, NIHSS score on admission, and antiplatelet therapy). The time to the new stroke events of each group was presented by the Kaplan-Meier curves.

In a secondary analysis, we tested for interaction and stratified analysis of infarction pattern with CSVD in determining the risk of stroke recurrence, and we also conducted a sensitivity analysis in which the association between randomized antiplatelet therapies on recurrent events in patients with different MR phenotypes of CSVD was investigated.

The level of significance was p < 0.05, and all tests were 2-sided. All analyses were performed with the SAS statistical software, version 9.4 (SAS Institute, Cary, North Carolina, USA).

Results

Demographics and baseline characteristics

From October 2009 to July 2012, a total of 5,170 patients were enrolled in the CHANCE trial, and 1,089 patients among them were recruited in the imaging subgroup. Finally, a number of 886 patients were included in the present analysis. Clinical characteristics of included and excluded in all patients of the CHANCE trial were shown in Supplement Table 1, and baseline characteristics of included patients and those of excluded in imaging subgroup were shown in Supplement Table 2.

Among the 886 patients in this analysis, 58(6.5%) patients had non-CSVD with NAI, 136(15.3%) patients had slight CSVD with NAI, 89(10.0%) patients had severe CSVD with NAI, 86(9.7%) patients had non-CSVD with SAI, 171(19.3%) patients had slight CSVD with SAI, 141(15.9%) patients had severe CSVD with SAI, 50(5.6%) patients had non-CSVD with MAI, 92(10.0%) patients had slight CSVD with MAI, and 63(7.1%) patients had severe CSVD with MAI. Demographics and baseline characteristics of patients with different statuses of total CSVD burden and infarction number were shown in Table 1. Compared with those with non-CSVD and NAI, patients with more CSVD burden and infarction number tended to be elder, have higher NIHSS score on admission, have more history of hypertension, congestive heart failure, and ischaemic stroke, and have more minor stroke as the qualifying event than TIA.

When grouped by infarction number, patients with CSVD were older than those without CSVD (Table 2). In the NAI group, patients with CSVD are more likely to be with a history of ischaemic stroke, TIA, and hypertension. Patients with both SAI and CSVD had more prior ischaemic stroke and hypertension and longer time to randomization than those with SAI and non-CSVD. In the MAIs group, patients with CSVD were more likely to have a history of ICAS and lower BMI.

Outcomes by CSVD and infarction number respectively

Overall, 93(10.5%) patients in this study had new stroke at 1-year follow-up. Among them, 8(8.6%), 51(54.8%), 34(36.6%) of individuals had NAI, SAI, and MAIs, respectively (Table 3). Both the presence of SAI (HR 4.65, 95% CI 2.17–10.00, p<0.001, Model 1; HR 5.92, 95% CI 2.70–13.00, p<0.001, Model 2) and MAIs (HR 4.88, 95% CI 2.31–10.29, p<0.001, Model 1; HR 6.34, 95% CI 2.94–12.70, p<0.001, Model 2) were associated with an increased risk of new stroke both in Model 1 adjusted for age and sex and in Model 2 adjusted for age, sex and other potential covariates. Similar results were observed in new ischaemic stroke and CVE.

Among 886 included patients, recurrent stroke occurred in 24(25.8%), 50(53.8%), 19(20.4%) patients with severe CSVD, slight CSVD, and non-CSVD, respectively (Table 3), yet after controlling for all potential covariates,
Table 1 Baseline characteristics according to CSVD and infarction number

| Characteristics          | No CSVD with NAI (<i>n</i> = 58) | Slight CSVD with NAI (<i>n</i> = 136) | Severe CSVD with NAI (<i>n</i> = 89) | No CSVD with SAI (<i>n</i> = 86) | Slight CSVD with SAI (<i>n</i> = 171) | Severe CSVD with SAI (<i>n</i> = 141) | No CSVD with MAIs (<i>n</i> = 50) | Slight CSVD with MAIs (<i>n</i> = 92) | Severe CSVD with MAIs (<i>n</i> = 63) | p value |
|--------------------------|----------------------------------|-------------------------------------|-------------------------------------|---------------------------------|--------------------------------------|-------------------------------------|----------------------------------|----------------------------------|----------------------------------|---------|
| Age, years, median(IQR)  | 56.5(48.1–62.3)                  | 63.2(56.4–71.5)                    | 69.2(63.0–74.9)                    | 57.1(50.3–63.1)                 | 59.4(52.7–68.3)                     | 67.7(61.1–73.5)                     | 58.6(51.7–67.5)                   | 63.6(55.5–74.2)                   | 68.2(60.0–74.2)                   | <0.001  |
| BMI, kg/m<sup>2</sup>, median(IQR) | 24.6(22.4–26.8)                  | 24.1(22.0–26.7)                    | 24.2(22.5–25.7)                    | 24.3(23.0–26.4)                 | 24.8(22.9–26.2)                     | 24.2(22.1–26.6)                     | 25.1(22.9–26.6)                   | 23.9(21.3–25.9)                   | 24.2(21.8–26.6)                   | 0.23    |
| Sex, male (%)            | 34(58.6)                         | 82(60.3)                           | 67(75.3)                           | 59(68.6)                        | 114(66.7)                           | 97(68.8)                           | 35(70.0)                        | 65(70.7)                         | 37(58.7)                        | 0.25    |
| Alcohol use, n (%)       | 20(34.5)                         | 38(27.9)                           | 30(33.7)                           | 28(32.6)                        | 63(36.8)                            | 37(26.2)                           | 20(40.0)                        | 32(34.8)                         | 14(22.2)                        | 0.27    |
| Tobacco use, n (%)       | 25(43.1)                         | 55(40.4)                           | 33(37.1)                           | 43(50.0)                        | 78(45.6)                            | 58(41.1)                           | 25(50.0)                        | 48(52.2)                         | 24(38.1)                        | 0.43    |
| History of disease, n (%)|                                  |                                    |                                    |                                 |                                     |                                    |                                 |                                 |                                 |         |
| Ischaemic stroke         | 2(3.5)                           | 20(14.7)                           | 20(22.5)                           | 8(9.3)                          | 24(14.0)                            | 36(25.5)                           | 7(14.0)                         | 15(16.3)                        | 14(22.2)                        | 0.002   |
| TIA                     | 3(5.2)                           | 2(1.5)                             | 0(0)                               | 1(1.2)                          | 2(1.2)                              | 4(2.8)                             | 1(2.0)                          | 4(4.4)                          | 3(4.8)                          | 0.37    |
| Myocardial infarction    | 1(1.7)                           | 3(2.2)                             | 4(4.5)                             | 0(0)                            | 2(1.2)                              | 4(2.8)                             | 2(4.00)                         | 0(0)                            | 3(4.8)                          | 0.25    |
| Congestive heart failure | 1(1.7)                           | 3(2.2)                             | 1(1.1)                             | 1(1.2)                          | 0(0)                                | 2(4.0)                             | 2(2.2)                          | 5(7.9)                          | 0.01    |
| Atrial fibrillation      | 1(1.7)                           | 5(3.7)                             | 3(3.4)                             | 1(1.2)                          | 1(0.6)                              | 4(2.8)                             | 1(2.0)                          | 3(3.3)                          | 2(3.2)                          | 0.75    |
| Diabetes mellitus        | 8(13.8)                          | 20(14.7)                           | 17(19.1)                           | 15(17.4)                        | 47(27.5)                            | 28(19.9)                           | 13(26.0)                        | 20(21.7)                        | 15(23.8)                        | 0.17    |
| Hypertension             | 25(43.1)                         | 92(67.6)                           | 66(74.2)                           | 39(45.6)                        | 112(65.5)                           | 103(73.1)                          | 29(58.0)                        | 53(57.6)                        | 50(79.8)                        | <0.001  |
| Hyperlipidemia           | 7(12.1)                          | 19(14.0)                           | 9(10.1)                            | 9(10.5)                         | 28(16.4)                            | 11(7.8)                            | 5(10.0)                         | 11(12.0)                        | 11(17.5)                        | 0.43    |
| ICAS                    | 30(51.7)                         | 74(54.8)                           | 42(47.2)                           | 35(40.7)                        | 72(42.1)                            | 65(46.1)                           | 40(80.0)                        | 63(68.5)                        | 38(60.3)                        | <0.001  |
| NIHSS score on admission, median(IQR) | 1(0–2)                  | 1(0–2)                             | 2(1–2)                             | 2(1–3)                          | 2(1–3)                              | 2(1–3)                             | 2(1–3)                          | 2(1–3)                          | <0.001  |
| Mean time to randomization, hour, median(IQR) | 13.4(8.0–19.7)                   | 11.1(7.6–19.0)                     | 15.0(8.8–20.5)                     | 11.4(7.0–18.5)                  | 13.0(8.5–20.0)                      | 13.3(9.0–21.0)                     | 10.0(6.6–17.3)                   | 11.8(6.8–20.3)                   | 12.3(7.6–20.5)                   | 0.19    |
| Qualifying event, N (%)  |                                  |                                    |                                    |                                 |                                     |                                    |                                 |                                 |                                 | <0.001  |
| Minor stroke             | 40(69.0)                         | 94(69.1)                           | 80(90.0)                           | 78(90.7)                        | 157(91.8)                           | 132(93.6)                          | 42(84.0)                        | 81(88.0)                        | 56(88.9)                        | <0.001  |
| TIA                     | 18(31.0)                         | 42(30.9)                           | 9(10.1)                            | 8(9.3)                          | 14(8.2)                             | 9(6.4)                             | 8(16.0)                         | 11(12.0)                        | 7(11.1)                         | 0.81    |
| Antiplatelet therapy, n (%) |                                    |                                    |                                    |                                 |                                     |                                    |                                 |                                 |                                 |         |
| Aspirin only             | 28(48.3)                         | 66(48.5)                           | 50(56.2)                           | 40(46.5)                        | 95(55.6)                            | 71(50.4)                           | 27(54.0)                        | 43(46.7)                        | 31(49.2)                        | 0.31    |
| Clopidogrel+aspirin      | 30(51.7)                         | 70(51.5)                           | 39(43.8)                           | 46(53.5)                        | 76(44.4)                            | 70(49.6)                           | 23(46.0)                        | 49(53.5)                        | 32(50.8)                        | 0.53    |

*BMI*, body mass index; *TIA*, transient ischaemic attack; *NIHSS*, National Institutes of Health Stroke Scale; *ICAS*, Intracranial atherosclerotic stenosis; *CSVD*, cerebral small vessel disease; *NAI*, non-acute infarction; *SAI*, single acute infarction; *MAIs*, multiple acute infarctions.
Table 2 Baseline characteristics according to the presence of CSVD when grouped by infarction number

| Characteristics                      | NAI(n=283) | SAI(n=398) | MAIs(n=205) |
|--------------------------------------|------------|------------|-------------|
|                                      | Non-CSVD   | CSVD       | p value     | Non-CSVD   | CSVD       | p value     | Non-CSVD   | CSVD       | p value     |
| Age, years, median(IQR)              | 56.5(48.1–62.3) | 66.1(59.5–73.3) | <0.001 | 57.1(50.3–63.1) | 62.9(55.3–71.2) | <0.001 | 58.6(51.7–67.5) | 64.9(56.8–74.2) | <0.001 |
| BMI, kg/m², median (IQR)             | 24(22.4–26.8) | 24(22.0–26.3) | 0.26 | 24.3(23.0–26.4) | 24.5(22.5–26.1) | 0.50 | 25.1(22.9–26.7) | 24.1(21.5–26.0) | 0.04 |
| Sex, male (%)                        | 34(66.2) | 149(66.2) | 0.28 | 59(68.6) | 211(67.6) | 0.86 | 35(70.7) | 102(65.8) | 0.58 |
| Alcohol use, n (%)                   | 20(34.5) | 68(30.2) | 0.53 | 28(32.6) | 100(32.1) | 0.93 | 20(40.0) | 46(29.7) | 0.17 |
| Tobacco use, n (%)                   | 25(43.1) | 88(39.1) | 0.58 | 43(50.0) | 136(43.6) | 0.29 | 24(48.0) | 72(46.5) | 0.85 |
| History of disease, n (%)            |           |            |          |           |            |          |           |            |          |
| Ischaemic stroke                     | 2(3.5) | 40(17.8) | 0.006 | 8(9.3) | 60(19.2) | 0.03 | 7(14.0) | 29(18.7) | 0.45 |
| TIA                                  | 3(5.2) | 20(8.9) | 0.03 | 1(1.2) | 7(2.2) | 0.53 | 1(2.0) | 7(4.5) | 0.42 |
| Myocardial infarction                | 1(1.7) | 7(3.1) | 0.57 | 0(0) | 6(1.9) | 0.20 | 2(4.0) | 3(1.9) | 0.41 |
| Congestive heart failure             | 1(1.7) | 4(1.8) | 0.98 | 1(1.2) | 1(0.3) | 0.33 | 2(4.0) | 7(4.5) | 0.88 |
| Atrial fibrillation                  | 1(1.7) | 8(3.6) | 0.48 | 1(1.2) | 5(1.6) | 0.77 | 1(2.0) | 5(3.2) | 0.65 |
| Diabetes mellitus                    | 8(13.8) | 37(16.4) | 0.62 | 15(17.4) | 75(24.0) | 0.20 | 3(26.0) | 35(22.6) | 0.62 |
| Hypertension                         | 25(43.1) | 158(70.2) | 0.001 | 39(45.4) | 215(68.9) | <0.001 | 29(58.0) | 103(66.5) | 0.28 |
| Hyperlipidaemia                      | 7(12.1) | 28(12.4) | 0.94 | 9(10.5) | 39(12.5) | 0.61 | 5(10.0) | 22(14.2) | 0.45 |
| ICAS                                 | 30(51.7) | 116(51.8) | 0.99 | 35(40.7) | 137(43.9) | 0.59 | 40(80.0) | 101(65.2) | 0.05 |
| NIHSS score on admission, median(IQR) | 1(0–1) | 10(2–2) | 0.45 | 2(1–3) | 2(1–3) | 0.37 | 2(1–3) | 2(1–3) | 0.17 |
| Mean time to randomisation, hour, median(IQR) | 13.4(8.0–20.0) | 12.0(8.0–20.0) | 0.81 | 11.4(7.0–18.5) | 13.0(8.5–12.1) | 0.04 | 10.0(6.6–17.3) | 12.2(7.0–20.5) | 0.22 |
| Qualifying event, N (%)              |           |            |          |           |            |          |           |            |          |
| Minor stroke                         | 40(69.0) | 18(31.0) |          | 78(90.7) | 289(92.6) |          | 42(84.0) | 137(88.4) |          |
| TIA                                  | 174(77.3) | 51(22.7) |      | 8(9.3) | 23(7.4) |      | 8(16.0) | 18(11.6) |      |
| Antiplatelet therapy, n (%)          |           |            |          |           |            |          |           |            |          |
| Aspirin only                         | 28(48.3) | 116(51.6) |      | 40(46.5) | 166(53.2) |      | 27(54.0) | 74(47.7) |      |
| Clopidogrel+aspirin                  | 30(51.7) | 109(48.6) |      | 46(53.4) | 146(46.8) |      | 23(46.0) | 81(52.3) |      |

**BMI**, body mass index; **TIA**, transient ischaemic attack; **NIHSS**, National Institutes of Health Stroke Scale; **ICAS**, Intracranial atherosclerotic stenosis; **CSVD**, cerebral small vessel disease; **NAI**, non-acute infarction; **SAI**, single acute infarction; **MAIIs**, multiple acute infarctions.
no independent associations of CSVD and any recurrence were observed (Table 3). Stroke recurrence rates were no significant difference in patients with or without CSVD no matter receiving clopidogrel plus aspirin or aspirin only (Supplement Table 3). Among patients with different MR phenotypes of CSVD, the risk of stroke recurrence was similar between the clopidogrel plus aspirin group and the aspirin only group (Supplement Table 4).

Table 3  Risk of outcomes by presence/total burden of CSVD in patients with minor ischaemic stroke or TIA at 1-year follow-up

| Outcomes         | No. | Event, n (%) | Model 1 | Model 2 |     |     |
|------------------|-----|--------------|---------|---------|-----|-----|
|                  |     |              | Adjusted HR(95%CI) | p value | Adjusted HR(95%CI) | p value |
| Stroke           |     |              |         |         |     |     |
| Infarction number |     |              |         |         |     |     |
| NAI              | 283 | 8(2.8)       | Ref.    |         |     |     |
| SAI              | 398 | 51(12.1)     | 4.88(2.31–10.29) | <0.001  | 4.65(2.17–10.00) | <0.001  |
| MAI              | 205 | 34(16.6)     | 6.34(2.94–13.70) | <0.001  | 5.92(2.70–13.00) | <0.001  |
| Total CSVD burden |     |              |         |         |     |     |
| 0                | 194 | 19(9.8)      | Ref.    |         |     |     |
| 1–2              | 399 | 50(12.5)     | 1.24(0.72–2.13) | 0.43    | 1.17(0.68–2.02) | 0.57    |
| 3–4              | 293 | 24(8.2)      | 0.77(0.41–1.46) | 0.43    | 0.67(0.35–1.30) | 0.24    |
| Presence of CSVD |     |              |         |         |     |     |
| None             | 194 | 19(9.8)      | Ref.    |         |     |     |
| Presence         | 692 | 74(10.7)     | 1.07(0.63–1.81) | 0.80    | 1.00(0.58–1.71) | 0.99    |
| Ischaemic stroke |     |              |         |         |     |     |
| Infarction number |     |              |         |         |     |     |
| NAI              | 283 | 8(2.8)       | Ref.    |         |     |     |
| SAI              | 398 | 51(12.8)     | 4.90(2.32–10.32) | <0.001  | 4.76(2.22–10.22) | <0.001  |
| MAI              | 205 | 37(18.1)     | 6.94(3.23–14.90) | <0.001  | 6.65(3.05–14.50) | <0.001  |
| Total CSVD burden |     |              |         |         |     |     |
| 0                | 194 | 19(9.8)      | Ref.    |         |     |     |
| 1–2              | 399 | 50(12.5)     | 1.24(0.72–2.13) | 0.43    | 1.17(0.68–2.02) | 0.57    |
| 3–4              | 293 | 24(8.2)      | 0.77(0.41–1.46) | 0.43    | 0.67(0.35–1.30) | 0.24    |
| Presence of CSVD |     |              |         |         |     |     |
| None             | 194 | 19(9.8)      | Ref.    |         |     |     |
| Presence         | 692 | 74(10.7)     | 1.07(0.63–1.81) | 0.80    | 1.00(0.58–1.71) | 0.99    |
| CVE              |     |              |         |         |     |     |
| Infarction number |     |              |         |         |     |     |
| NAI              | 283 | 8(2.8)       | Ref.    |         |     |     |
| SAI              | 398 | 51(12.8)     | 4.90(2.32–10.32) | <0.001  | 4.76(2.22–10.22) | <0.001  |
| MAI              | 205 | 37(18.1)     | 6.94(3.23–14.90) | <0.001  | 6.65(3.05–14.50) | <0.001  |
| Total CSVD burden |     |              |         |         |     |     |
| 0                | 194 | 19(9.8)      | Ref.    |         |     |     |
| 1–2              | 399 | 50(12.5)     | 1.24(0.72–2.13) | 0.43    | 1.17(0.68–2.02) | 0.57    |
| 3–4              | 293 | 24(8.2)      | 0.77(0.41–1.46) | 0.43    | 0.67(0.35–1.30) | 0.24    |
| Presence of CSVD |     |              |         |         |     |     |
| None             | 194 | 19(9.8)      | Ref.    |         |     |     |
| Presence         | 692 | 74(10.7)     | 1.07(0.63–1.81) | 0.80    | 1.00(0.58–1.71) | 0.99    |
| TIA              |     |              |         |         |     |     |
| Infarction number |     |              |         |         |     |     |
| NAI              | 283 | 12(4.2)      | Ref.    |         |     |     |
| SAI              | 398 | 5(1.3)       | 0.30(0.11–0.86) | 0.025   | 0.46(0.16–1.35) | 0.16    |
| MAI              | 205 | 7(3.4)       | 0.81(0.32–2.06) | 0.660   | 1.08(0.40–2.91) | 0.88    |
| Total CSVD burden |     |              |         |         |     |     |
| 0                | 194 | 7(3.6)       | Ref.    |         |     |     |
| 1–2              | 399 | 10(2.5)      | 0.65(0.24–1.73) | 0.38    | 0.6899(2.21–1.96) | 0.49    |
| 3–4              | 293 | 7(2.4)       | 0.57(0.19–1.76) | 0.33    | 0.90(0.26–3.07) | 0.86    |
| Presence of CSVD |     |              |         |         |     |     |
| None             | 194 | 7(3.6)       | Ref.    |         |     |     |
| Presence         | 692 | 17(2.5)      | 0.62(0.25–1.56) | 0.31    | 0.74(0.28–2.00) | 0.56    |

CVE, composite vascular events; TIA, transient ischaemic attack; CSVD, cerebral small vessel disease; N.A., not available; Ref, reference.
Model 1: adjusted for age and sex.
Model 2: adjusted for age, gender, body mass index, history of ischaemic stroke, TIA, coronary artery disease, atrial fibrillation, hypertension, diabetes, hypercholesterolaemia, smoking status, time to randomization, qualifying event, NIHSS score on admission, and antiplatelet therapy.
Outcome by the status of CSVD and infarction pattern

The risk of clinical events at 1-year follow-up by the status of CSVD presence and infarction number was demonstrated in Table 4. In all 93 patients with recurrent stroke in the 1-year follow-up, recurrent stroke events occurred in 1 (1.1%) patient with non-CSVD and NAI, 7 (7.5%) patients with CSVD and NAI, 11 (11.8%) patients with non-CSVD and SAI, 40 (43.0%) patients with CSVD and SAI, 7 (7.5%) patients with non-CSVD and MAI, and 27 (29.0%) patients with CSVD and MAI. Compared with patients with non-CSVD and NAI, patients with non-CSVD and SAI (HR 8.03, 95% CI from 1.04 to 62.20, p=0.05), patients with CSVD and SAI (HR 7.88, 95% CI from 1.08 to 57.55, p=0.04), patients with non-CSVD and MAI (HR 9.10, 95% CI from 1.12 to 73.85, p=0.04), and patients with CSVD and MAI (HR 10.66, 95% CI from 1.44 to 78.98, p=0.02) were all associated with recurrent stroke within 1 year after adjusted for age and sex (Figure 1), and after adjusting for age and sex plus other potential covariates, patients with CSVD and MAI were associated with approximately 9-fold increased risk of...
recurrent stroke at 1 year (HR 9.56, 95% CI 1.27–71.79, \( p=0.03 \)). Similar results were observed regarding recurrent ischaemic stroke and CVE (Table 4).

The Kaplan-Meier curves demonstrated that patients with CSVD and MAI had a higher recurrence of stroke during 1-year follow-up than other groups (Table 4; Figure 1; log-rank \( p=0.001 \)). Similar results were also observed for the recurrence of ischaemic stroke and CVE. No significant association between the status of CSVD and infarction number with TIA was found.

**Outcome by the presence of CSVD in different infarction pattern**

There was not a significant interaction effect between infarction number and CSVD. Stratified analysis restricted to patients with different infarction number showed that no associations were evident for CSVD and recurrence (Table 5).

**Discussion**

In the present analysis of the CHANCE clinical trial, we found that the combination of CSVD burden and infarction numbers could increase recurrent stroke risk stratification efficiency after acute minor stroke or TIA within 1 year. The patients with coexistent CSVD and MAIs got the highest risk of new stroke, ischaemic stroke, and CVE than those without CSVD and acute stroke lesion.

Neuroimaging features on baseline, which could provide useful information on the potential mechanisms and physiologies, have drawn much attention as ways to...
stratify the risk of recurrence in patients with minor stroke and TIA. In this study, we did not find an independent association between CSVD and any recurrent events. It was consistent with the result from the post hoc analysis of the Stenting and Aggressive Medical Management for Preventing Recurrent Stroke in Intracranial Stenosis (SAMMPRIS) study [13]. However, several evidence from previous researches revealed that CSVD was associated with the occurrence and recurrence of ischaemic stroke and TIA in the healthy population and patients with stroke [6, 10, 25–27]. The explanations for these distinct results were as follows. Firstly, the difference in study population and study design may be potential reasons. In our analysis, patients from the CHANCE trial all accepted antiplatelet therapy; however, the risk of recurrent stroke was similar to patients with and without CSVD after dual antiplatelet treatment. Then, multiple comparisons in six groups with different statuses of CSVD and infarction number came with the attendant risk of a type I error. There was a high risk of model overfitting in the smaller subgroups. Moreover, different MR phenotypes of CSVD had different values in predicting ischaemic and hemorrhagic outcomes in patients with MIS or TIA. The overall CSVD burden, which integrated four CSVD imaging markers including WMH, CMBs, lacuna, and ePVS, reflected the cumulative effects of various features of CSVD. Patients receiving dual antiplatelet therapy strategies did not show a lower risk of recurrent stroke than aspirin only in the predominantly ischaemic CSVD subgroup or predominantly micro-hemorrhagic subgroup. Lastly, the visual score for combinations of different neuroimaging markers has been introduced to evaluate the total CSVD burden in this study. These scales are pragmatic but limited insensitivity, and the selected components were given equal weight in the combined score. Neuroimaging features of CSVD were indirect signs of chronic poor cerebral blood flow regulation which could lead to the lacunar syndrome [28]. According to TOAST classification, CSVD is categorized as small perforating arteries disease that arised from the large basal arteries of the brain or their branches and usually resulted in acute lacunar stroke. It provided a more complete overview of the pathological burden in patients with CSVD. In our analysis, due to the limited sample size, the influence of CSVD on stroke outcomes was not explored based on TOAST classification.

Infarction number was also an important neuroimaging parameter for predicting outcomes after acute ischaemic stroke or TIA. In our previous studies of the CHANCE trial, MAIs were related to the highest risk of recurrence than SAI and NAI after acute minor stroke and TIA [5]. This result was supported by a TIA registry org project [8]. Multiple infarctions usually indicated the mechanisms of embolism from the heart, extracranial or intracranial large arteries, and

| Table 5 Risk of outcomes by the presence of CSVD when grouped by infarction number in patients with minor ischaemic stroke or TIA at 1-year follow-up |
|---|
| Non-CSVD | CSVD | Adjusted HR (95%CI) | p value | Non-CSVD | CSVD | Adjusted HR (95%CI) | p value | Non-CSVD | CSVD | Adjusted HR (95%CI) | p value |
| Stroke | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 |
| Ischaemic stroke | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 |
| CVE | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 | 10(1.7) | 7(3.1) | 0.70 | 11(1.2) | 11(1.2) | 0.70 |
| TIA | 0.6(1) | 0.3(1) | 0.22 | 0.6(1) | 0.3(1) | 0.22 | 0.6(1) | 0.3(1) | 0.22 | 0.6(1) | 0.3(1) | 0.22 |

CVE, composite vascular event; TIA, transient ischaemic attack; CSVD, cerebral small vessel disease; N.A, not available; Ref, reference.
other undetermined sources according to the TOAST classification [5, 29]. MAIs often suggested an underlying embolic source from unstable plaques located in proximal vessels and the heart. In addition, factors simultaneously affecting two or more vessels also resulted in multiple infarctions [30]. Although SAI also could cause by embolism, most single infarction showed only one subcortical infarction on DWI with underlying obstruction of small penetrating brain arteries and arterioles. The mechanism of SAI often indicated atherosclerosis or lipohyalinosis of small perforating arteries and arterioles.

The combination of different neuroimaging characteristics could provide more useful information about the underlying etiology of ischaemic stroke than them only. The burden of CSVD and acute infarction pattern reflected distinct aspects of the potential pathogenesis and pathology of stroke. Although CSVD and MAIs partly overlapped, an important proportion of patients had the presence of CSVD without infarction or the presence of multiple stroke lesions without CSVD (10.0% and 5.6% in the present study, respectively). Infarction number offered information about embolisms, which CSVD represented the chronic cerebral blood flow changes and pathology of arterioles. Reflected the different sides of the mechanism underlying stroke, the presence of both severe CSVD and MAIs indicated a high risk of secondary stroke. ICAS identified by MRA was usually caused by atherosclerotic plaques or thrombus formation and further resulted in cerebral blood flow turbulent and secondary embolism from ruptured plaques and thrombus [31]. Although MAIs and ICAS were both related to a higher risk of recurrent stroke, the simultaneous presence of two neuroimaging parameters had the highest recurrent risk in patients with TIA or minor stroke [12].

Moreover, the combination of imaging signs with traditional predictors also could enhance the risk stratification of recurrent stroke. The traditional ABCD² score, which only based on clinical characteristics (age, blood pressure, clinical features, duration of symptoms, diabetes), was reported to have a modest predictive power for recurrent ischaemic stroke (AUC 0.55 to 0.75) [32]. The ABCD²+MRI score (plus diffusion-weighted imaging lesion and vessel occlusion status) and the ABCDE+ score (plus etiology of large-artery atherosclerosis and DWI positivity) increased the predicted ability of recurrence after TIA or acute minor stroke, compared with the traditional ABCD² score [33, 34]. The present study only provided information about the outcomes of MIS and TIA with different status of CSVD burden and infarction number. We will further explore the prediction of recurrence by combining the CSVD burden with traditional risk factors.

Our study still had several limitations. Firstly, potential selection bias had existed. Only 886 (17.1%) patients with 93 recurrent stroke that were from 45 of 114 participating sites providing MRI were included in the current post hoc analysis. Secondly, only Chinese were included in the CHANCE trial, which may limit the generalizability of the findings to non-Chinese populations. Thirdly, multiple comparisons increase the risk of a type I error as mentioned above. Fourthly, MAIs represented embolism from arteries or other sources, while impaired vascular regulation and blood-brain barrier dysfunction, and thrombus-inflammation may underlie the mechanisms of CSVD. The etiologies of recurrent stroke in patients with different statuses of CSVD and infarction number were not specified in the paper, which were critically important to make sense of the mechanisms. Lastly, given the potential different mechanisms of CSVD subtypes, the associations between ischemic CSVD markers, such as WMH and lacunes, and CMBs and stroke recurrence, were not investigated respectively.

Conclusion

The coexistent CSVD and MAIs at baseline predicted recurrent stroke in patients with MIS and TIA, indicating the combination of CSVD burden and infarction pattern may improve the effectiveness of risk stratification after acute minor stroke and TIA.

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1007/s10072-022-06027-6.

Acknowledgements We thank all the participants in the study.

Author contribution YT conducted this study, interpreted the data, and drafted the manuscript. YoW designed and supervised this study and interpreted the data. YP and HY conducted the statistical analysis and interpreted the data. YT conducted this study and statistical analysis and interpreted the data. All authors contributed to the article and approved the submitted version.

Funding This work was supported by grants from the National Natural Science Foundation of China (No. 81825007), Beijing Outstanding Young Scientist Program (No. BJWZYJH01201910025030), Youth Beijing Scholar Program (No.0.10), Beijing Talent Project - Class A: Innovation and Development (No. 2018A12), and National Key R&D Program of China (No. 2017YFC1307900, 2017YFC1307905).

Data availability The data are available from the corresponding author upon reasonable request.
Declarations

Ethical approval and informed consent The CHANCE trial is registered on clinicaltrials.gov (NCT00979589). Ethics approval was obtained by the ethics committee of Beijing Tiantan Hospital (IRB approval number: KY2015-001-01) and all participating centers. And we have been described in the part of Methods.

Conflict of interest The authors declare no competing interests.

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