Broad-Scale Responses of Harbor Porpoises to Pile-Driving and Vessel Activities During Offshore Windfarm Construction

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Offshore windfarm developments are expanding, requiring assessment and mitigation of impacts on protected species. Typically, assessments of impacts on marine mammals have focused on pile-driving, as intense impulsive noise elicits adverse behavioral responses. However, other construction activities such as jacket and turbine installation also change acoustic habitats through increased vessel activity. To date, the contribution of construction-related vessel activity in shaping marine mammal behavioral responses at windfarm construction sites has been overlooked and no guidelines or mitigation measures have been implemented. We compared broad-scale spatio-temporal variation in harbor porpoise occurrence and foraging activity between baseline periods and different construction phases at two Scottish offshore windfarms. Following a Before-After Control-Impact design, arrays of echolocation click detectors (CPODs) were deployed in 25 km by 25 km impact and reference blocks throughout the 2017–2019 construction. Echolocation clicks and buzzes were used to investigate porpoise occurrence and foraging activity, respectively. In parallel, we characterized broadband noise levels using calibrated noise recorders (SoundTraps and SM2Ms) and vessel activities using AIS data integrated with engineering records. Following an impact gradient design, we then quantified the magnitude of porpoise responses in relation to changes in the acoustic environment and vessel activity. Compared to baseline, an 8–17% decline in porpoise occurrence was observed in the impact block during pile-driving and other construction activities. The probability of detecting porpoises and buzzing activity was positively related to the distance from vessel and construction activities, and negatively related to levels of vessel intensity and background noise. Porpoise displacement was observed at up to 12 km from pile-driving activities and up to 4 km from construction vessels. This evidence of broad-scale behavioral responses of harbor porpoises to these different construction activities highlights the importance of assessing and managing all vessel activities at offshore windfarm sites to minimize potential impacts of anthropogenic noise.

Keywords: anthropogenic disturbance, cumulative impacts, offshore windfarm, passive acoustic monitoring, underwater noise, behavioral response, foraging, marine mammal conservation
INTRODUCTION

Offshore windfarm developments are currently expanding in response to global efforts to meet decarbonization targets. Many countries aim to generate significant proportions of electricity from offshore wind sources by 2030 (BEIS, 2019), but these developments must be in line with international conservation agreements such as the EU Habitats Directive 92/43/EEC and Environmental Impact Assessment 2014/52/EU Directives (Le Lièvre, 2019). This requires assessment and mitigation of construction, operation and decommissioning activities to reduce potential impacts on marine wildlife. In particular, there have been concerns over the effect of high levels of underwater noise from different anthropogenic activities on cetaceans, with potential to cause either injury or behavioral disturbance (Richardson et al., 1995; Dolman and Simmonds, 2010; Bailey et al., 2014).

Harbor porpoises (*Phocoena phocoena*), protected under the EU Habitats and Species Directive, are the most common cetacean species in offshore energy development sites within the North Sea (Thomsen et al., 2011; Hammond et al., 2013; Waggitt et al., 2020). Due to their high metabolic requirements, harbor porpoises are vulnerable to starvation (Wisniewska et al., 2016, 2018; Kastelein et al., 2019; Booth, 2020) and, as a consequence, could be especially vulnerable to anthropogenic disturbance. In exposed areas, such as offshore windfarm sites, individuals have to make trade-offs between using energy to leave the area or remaining in exposed areas and tolerating higher levels and/or rates of disturbance (Frid and Dill, 2002). These decisions are likely to be individual-based, context-dependent and site-specific, impacting individual activity budgets and fitness through reduced foraging performance (Booth, 2020). As such, animals may be responding to natural environmental variation, and a variety of different anthropogenic stressors such as fisheries, shipping noise and construction activity. In turn, either individually or in combination, this may have significant long-term biological consequences at a population level (Pirotta et al., 2014a).

The construction and operation of offshore windfarms involves a variety of vessels and activities that could each generate many types of anthropogenic noise that potentially disturb harbor porpoises or other marine mammals. Previously, however, impact assessments have focused on the loudest of these sources; impulsive noise from the pile-driving hammers used to install turbine foundations at most offshore windfarms (Madsen et al., 2006). Where these piling methods are used, mitigation typically involves either minimizing the likelihood that animals are within the injury zone when piling is initiated (Thompson et al., 2020), or using noise abatement techniques such as bubble curtains (Dähne et al., 2017). Extensive research conducted around North Sea windfarm sites has demonstrated that harbor porpoises may be displaced at distances of up to 26 km from piling (e.g., Tougaard et al., 2009; Brandt et al., 2011; Scheidat et al., 2011; Dähne et al., 2013; Haelters et al., 2015; Brandt et al., 2018). However, porpoises are also known to be displaced by vessel noise at distances of up to 7 km (Hermannsen et al., 2014; Dyndo et al., 2015; Wisniewska et al., 2018), with the level of response dependent upon vessel type and behavior (e.g., heading, speed) (Oakley et al., 2017; Hermannsen et al., 2019). Furthermore, even where animals are not displaced, porpoise foraging efficiency may be temporarily affected by exposure both to impulsive noise (Pirotta et al., 2014a; Sarnocińska et al., 2020) and vessel noise (Wisniewska et al., 2018).

Whilst previous studies recognized that construction vessel activity influenced porpoise displacement around pile-driving activities (Brandt et al., 2018; Graham et al., 2019), there remains uncertainty over the cumulative effects of different windfarm construction activities on displacement, foraging efficiency and population fitness. From a management perspective, this constrains efforts to assess and mitigate potential disturbance from windfarm construction activities other than pile-driving. For example, the installation of jackets, turbines and cables may also disturb animals by altering acoustic habitats through intense vessel activity (Merchant et al., 2012; Merchant et al., 2014). Consequently, there may be opportunities to better manage vessels throughout construction and operation to minimize cumulative impacts of shipping movements that could affect harbor porpoise occurrence and behavior. Furthermore, efforts to reduce impulsive noise levels during intermittent periods of pile-driving may result in longer-term noise from additional vessels. Better data on how harbor porpoises respond to different construction and operational phases of windfarm construction is therefore required to understand how different conservation interventions could affect broad-scale habitat displacement and foraging success, particularly within harbor porpoise Special Areas of Conservation (SAC) (NCC and Natural England, 2019).

In this study, we aimed to compare broad-scale spatio-temporal variation in harbor porpoise occurrence and foraging activity between baseline periods and different phases of construction at two offshore windfarms in the Moray Firth, NE Scotland. The Beatrice offshore windfarm (commissioned in 2019) is composed of 84 (7 MW) turbines and two substations mounted on quadrapod jackets, while the Moray East offshore windfarm (to be commissioned in 2021) will have 100 (9.5 MW) turbines and three substations mounted on tripod jackets. Previous studies in this area used two complementary approaches to assess harbor porpoise responses to impulsive noise from seismic surveys (Thompson et al., 2013; Pirotta et al., 2014a) and pile-driving (Graham et al., 2019). First, Before-After Control-Impact (BACI) designs (Underwood, 1992; Smith, 2002) were used to determine whether variations in porpoise occurrence (Thompson et al., 2013) and activity (Pirotta et al., 2014a) were related to these anthropogenic disturbances. Second, impact gradient sampling designs (Ellis and Schneider, 1997) were also applied in each of these studies to estimate the spatial scale of effects (Graham et al., 2019). Here, we build on these studies, using a BACI design to determine how porpoise occurrence and activity were impacted during different construction phases, and a gradient design to explore how responses varied in relation to the distance from piling vessels as they undertook different activities. Finally, we characterized finer-scale variation in vessel activity and noise levels during different phases of construction and explored how these influenced spatio-temporal variation in porpoise occurrence and activity within the construction sites.
MATERIALS AND METHODS

Study Area and Context

The study was carried out in 2017, 2018, and 2019 during the construction of the Beatrice Offshore Windfarm and the first phase of construction at Moray East Offshore Windfarm (Figure 1). Beatrice was constructed between March 2017 and May 2019. From April 2017 to December 2017, an anchored piling vessel used impulsive pile-driving to install four 2.2 m diameter steel piles at 86 locations (Graham et al., 2019). Jackets were then installed onto each set of foundation piles between August 2017 and August 2018 using a jack-up vessel. This vessel was also used to install towers, nacelles and blades on each jacket, and the windfarm was fully operational in May 2019 (see Figure 1). Other activities such as boulder removal, inter-array and export cable installation and protection took place during

![Timeline of key construction activities at two offshore windfarms, between 2017 and 2019, i.e., pile-driving at Beatrice and Moray East (in red), jacket foundation installation at Beatrice (in yellow), wind turbine installation at Beatrice (in orange); the time periods used in the Before-After Control-Impact models to compare baseline periods with key construction activities are represented with dashed rectangles. (B) Map showing the location of the Moray Firth in Scotland, the Beatrice and Moray East windfarm boundaries (black line) and turbine locations (black dots), and the harbors used as construction bases (red dots); Source (first picture): Beatrice Offshore Wind Limited.](image-url)
the windfarm construction phase but were not investigated specifically in this study. Construction at Moray East started in May 2019 and the windfarm is anticipated to be fully operational in 2021. Between May and December 2019, a jack-up vessel used impulsive pile-driving to install three 2.5 m diameter steel piles at the first 90 Moray East locations. There was no overlap between the piling campaign and the jacket foundation installation phase at Moray East (see Supplementary Table 1).

Passive Acoustic Monitoring
Sampling Design and Data Collection
Following the sampling design used by Thompson et al. (2013), we investigated variation in harbor porpoise occurrence and buzzing activity using arrays of echolocation click detectors (V.0 and V.1 CPODs1). These devices were deployed (a) in a 25 km by 25 km impact and reference block throughout construction in 2017, 2018, and 2019 and (b) along a gradient of exposure from construction activities within the two windfarm sites (Figure 2). These data were also compared to baseline data that had been collected in 2010 and 2011 to support Environmental Impact Assessments (EIA) (Beatrice Offshore Windfarm Limited, 2012; Moray Offshore Renewables Limited, 2012). In parallel, calibrated noise recorders (Ocean Instruments SoundTrap and Wildlife Acoustics SM2M) were deployed at three locations to characterize variation in underwater noise levels (Figure 3 and Supplementary Figures 1A,B).

Measuring Variation in Harbor Porpoise Occurrence
Echolocation click characteristics (e.g., time of occurrence, duration, center frequency, bandwidth) logged by the CPODs were processed and extracted with the manufacturer's software CPoD.exe (v2.044). The standard built-in "Kerno" classifier allocates click trains into one of four signal classes (Narrow Band High Frequency “NBHF”, “Other cetaceans,” “Boat Sonars” and “Unclassified”) and one of four quality categories (high “Hi,” moderate “Mod,” low “Lo” and doubtful “?”). No information on the design of the classifier is currently available, but based on the manufacturer’s CPoD manual (Tregenza, 2014), the classification algorithm searches for specific click parameters and inter-click intervals within trains (Claussen et al., 2019). High and moderate quality NBHF echolocation click trains of porpoise origin were extracted as Detection Positive Minutes per hour and then converted into presence-absence of porpoise detections per hour to assess hourly porpoise occurrence.

To extend endurance, CPODs are typically set up to log a maximum of 4,096 clicks per minute. This means that high levels of background noise can quickly saturate the CPODs and prevent any further data logging until the start of the next minute (Wilson et al., 2013). Additionally, the probability of detecting acoustic signals can be affected by the acoustic environment (Claussen et al., 2019). To estimate the distance at which CPODs were unlikely to saturate because of piling or construction vessel noise around each turbine site, we first extracted the number of unfiltered clicks (Nall) logged per minute by each device during the 10 months of piling activity in 2017. We then summarized these data in relation to distance from the piling vessel (Supplementary Figure 2) and took a conservative approach to prevent false-negative detections; discarding all data from CPODs within 2 km of the piling vessel and all hours with less than 60 min logged.

Estimating Variation in Harbor Porpoise Foraging Activity
We used variation in inter-click intervals (ICIs) to identify buzzes and provide a proxy for foraging activity (Pirotta et al., 2014b). The ICIs of logged NBHF click trains were calculated, normalized by natural log-transformation and categorized into three groups representing specific biological processes. The first group represents the high repetition rate click trains called buzzes that may be used for both foraging activity and social communication (Sorensen et al., 2018; Sarnońiska et al., 2020). Currently, it is not possible to distinguish between these two behaviors but, as in earlier studies (Pirotta et al., 2014a,b; Sarnońiska et al., 2020), we assumed that buzzes can be used as a proxy for foraging. The second group includes regular click trains and the third group represents the time between different click trains (Pirotta et al., 2014b). To identify the multimodal distribution of ICIs and allocate each ICI to one of the processes, Gaussian mixture models were fitted to the time series of ICIs, using the package mixtools (Benaglia et al., 2009) in R (v 3.6.0) (R Core Team, 2019). The number of component distributions k was initially set equal to three. However, at some locations, the low number of ICIs prevented the model from identifying the distribution centered on the buzz ICIs and so the number of components (k) was increased to four. If the model still did not discriminate the buzz ICI distribution using four components, data were pooled, so that datasets with higher proportions of buzz ICIs helped identify the buzz ICIs in datasets with overall lower numbers of detections. Additionally, when models did not converge after 1000 iterations, we increased the number of iterations to 2000 (and on one occasion reduced the convergence precision (epsilon) to 0.0001). Mixture models with 3 or 4 components were compared, choosing the model with the maximum loglikelihood. Results from the best model were then used to categorize each ICI into one of the three processes (e.g., Supplementary Figure 3) and the number of buzzes, regular and inter-train interval clicks were summarized per hour. The number of buzzes was converted into binary presence-absence of buzzes per hour, reducing the potential bias due to differences in sensitivity and detection range between acoustic devices and locations, respectively.

Before-After Control-Impact Analyses of Variation in Porpoise Occurrence and Foraging Activity in Relation to Different Phases of Windfarm Construction
For the BACI models, variation in both porpoise occurrence and foraging activity within each 25 km by 25 km block (Figure 2) were compared between the baseline and each monitoring phase. These analyses focused on data collected between July

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FIGURE 2 | Spatio-temporal distribution of echolocation click detectors (CPODs) within the reference and impact blocks and the offshore windfarms between 2010 and 2019.

FIGURE 3 | Spatio-temporal distribution of the Passive Acoustic Monitoring array, CPODs (blue circles) and noise recorders (red stars), within and around the two offshore windfarms between 2017 and 2019.

TABLE 1 | Sampling effort used for the Before-After Control-Impact models.

| Monitoring phases                  | Reference block | Impact block |
|------------------------------------|-----------------|--------------|
|                                    | # CPOD sites    | # Days       | # CPOD sites    | # Days       |
| Baseline                           |                 |              |                |              |
| July–October 2010                   | 18              | 110          | 18              | 122          |
| July–October 2011                   | 15              | 110          | 9               | 123          |
| Beatrice piling                     |                 |              |                |              |
| July–October 2017                   | 9               | 122          | 24              | 123          |
| Beatrice turbine installation       |                 |              |                |              |
| July–October 2018                   | 4               | 123          | 4               | 123          |
| Beatrice operation – Moray East piling |            |              |                |              |
| July–October 2019                   | 4               | 122          | 16              | 123          |
Spatial Scale of Porpoise Responses to Different Piling Vessel Activities

An impact gradient approach was used to assess finer scale variation in porpoise occurrence and buzzing activity in relation to distance from the piling vessels at both Beatrice and Moray East as they undertook different construction activities in 2017 and 2019. The position of each piling vessel was extracted from an Automatic Identification System (AIS) vessel-tracking dataset for the Moray Firth region. The mean and minimum distance between each CPOD and the piling vessel were then calculated for each monitoring hour, using the sf package (Pebesma, 2018). Information on the activity of the vessels was extracted from the developers’ daily construction reports, and the factor “piling” or “no piling” was allocated to each hour monitored. Distance from the piling vessel was used as a proxy for the distance from construction activities (i.e., the noise/disturbance source), as the piling vessel was supported by two pilot vessels for anchoring, at Beatrice, and a tug bringing the piles on site. Hourly porpoise occurrence and buzzing activity were each modeled as a function of distance from the piling vessel in interaction with the vessel’s activity (“piling”/”no piling”) (Table 2).

Characterizing Vessel Activity

To characterize variation in the extent to which harbor porpoises were exposed to both piling vessels and other construction vessels, we integrated data from the developers’ engineering records with AIS vessel-tracking data (Wright et al., 2019). AIS data for the entire Moray Firth were sourced at 5 min (2017) or 1 min (2018 and 2019) resolution from Astra Paging Ltd. and Anatec Ltd.2

A 4 km by 4 km grid was created across the Moray Firth and the area of each grid cell calculated after any grid cells overlapping coastlines were cropped. AIS data were projected into WGS84 UTM 30N and then processed to produce hourly summaries a) within each of these grid cells and b) within a 5 km buffer around each of the passive acoustic monitoring sites. AIS data were interpolated every 5 min to calculate the time that each individual vessel stayed in a grid cell or buffer area. These data were then used to estimate measures of both vessel density and vessel intensity for each hour within each grid cell or buffer area. These two metrics provide complementary information highlighting variation in vessel behavior and distribution across the Moray Firth. At windfarm sites, construction-related vessels are often stationary for several hours, while other vessels (not involved in the construction) are likely to be transiting and consequently contribute less to the overall vessel intensity. Here, we defined vessel density as the number of individual vessels present in that hour per kilometer squared, and vessel intensity as the sum of residence times for all vessels present in that hour per kilometer squared. The minimum and mean distance from each CPOD or noise recorder to all vessels within each buffer area were also calculated and summarized for each hour and location.

Information on the vessels involved in the windfarm construction was extracted from the developers’ weekly construction reports and used to filter AIS data to provide separate measures of vessel density and intensity (a) for construction vessels and (b) for other third-party marine traffic. To estimate the vessel density and intensity within each of the windfarm construction sites, these AIS data were filtered by location, and vessels were categorized following Table 1 in Metcalfe et al. (2018).

Variation in Background Noise Levels at the Construction Site

Underwater broadband noise recorders were deployed for periods of 2–6 months at three sites within the impact block to characterize noise levels in different phases of construction (Table 3, Figure 4, and Supplementary Figure 1). Recorders collected data at sampling rates of either 48 or 96 kHz, with duty cycle rates varying depending upon device and sampling rate.

### Table 2

Sampling effort used for the gradient models, within the impact block, to assess harbor porpoise responses to pile-driving activities at Beatrice between March and December 2017 and Moray East between May and December 2019; mean harbor porpoise occurrence and mean foraging activity when porpoises were detected during and outside piling hours.

| Windfarm (year) | Piling phase | # Site | # Day | # Hour | Mean per hour | # Site | # Day | # Hour | Mean per hour |
|----------------|--------------|--------|-------|--------|---------------|--------|-------|--------|---------------|
| Beatrice (2017)| No piling    | 34     | 204   | 54,467 | 0.319         | 34     | 204   | 17,387 | 0.279         |
|                | Piling       | 34     | 102   | 4,816  | 0.195         | 31     | 89    | 939    | 0.285         |
| Moray East (2019)| No piling | 29     | 219   | 83,841 | 0.458         | 23     | 219   | 29,166 | 0.348         |
|                | Piling       | 29     | 104   | 7,773  | 0.321         | 23     | 103   | 1,883  | 0.363         |

2www.astrapaging.com
3www.anatec.com
TABLE 3 | Sampling effort to investigate harbor porpoise responses to vessel activity and underwater broadband noise levels.

| PAM devices | 2017 |  |  | 2018 |  |  | 2019 |  |  |
|-------------|------|----|----|------|----|----|------|----|----|
| # Site # Day | # Site # Day | # Site # Day | # Site # Day |
| CPOD        | 40 | 317 | 24 | 342 | 30 | 275 |
| Noise recorder | 2 | 128 | 2 | 252 | 1 | 97 |

rates (Supplementary Table 2). Data were processed in MATLAB following Merchant et al. (2015). Broadband noise levels were quantified between 25 Hz and 24 kHz to provide hourly root-mean-square (RMS) averaged sound pressure levels (SPL) in decibels (dB) relative to a reference pressure of 1 µPa (Kinsler et al., 1999; Merchant et al., 2015).

**Modeling**

**BACI Models**

To compare between the baseline and each construction phase, the hourly occurrence of porpoise detections and buzz detections were modeled as binomial response variables using six Generalized Linear Mixed-effects Models (GLMM). For each model, the link function was chosen based on the lowest Akaike Information Criterion (AIC), using either the cloglog or probit link function. The interaction between block and construction phase was used as the explanatory variable in all models.

Based on previous studies and preliminary data analyses, it was known that porpoises display diel and seasonal patterns in occurrence and foraging activity in this study area (Williamson et al., 2017; Graham et al., 2019). To focus on changes associated with the windfarm construction, month and diel phase were used as random factors in the BACI model. Diel phase (i.e., sunrise, day, sunset, or night) was allocated based on local sunrise and sunset times. Additionally, the CPOD location was also used as random effect to control for any site-specific environmental differences. To assess the significance of fixed effects and their interactions, a sequential analysis of deviance table (Type II Wald chi-square tests) was computed using the R package car (Fox and Weisberg, 2019). For each model, the response variable was predicted and the uncertainty (95% confidence intervals, CI) calculated using a bootstrapping approach (100 simulations) with the bootMer function of the lme4 package (Bates et al., 2015).

To validate models, we checked for temporal autocorrelation in model residuals using the partial auto-correlation function. The DHARMa package was used to verify the uniformity, dispersion, spatial and temporal autocorrelation of residuals (Hartig, 2020).

**Impact Gradient Models**

To investigate the spatial scale of the effects of pile-driving, vessel activity and underwater noise on harbor porpoise occurrence and foraging activity, hourly occurrence of porpoise detections and buzz ICIs were modeled as a function of: (1) the interaction between the distance from construction activities and piling...
occurrence (piling effect models); (2) the interaction between the vessel intensity per hour and the mean distance from vessels (vessel effect models); (3) the averaged broadband sound pressure levels and piling occurrence (noise effect models).

For the piling effect models, we only considered sites within the impact block to investigate meso-scale response to construction activities. Additionally, we filtered the dataset to explore the magnitude of porpoise responses between 2 and 30 km from the piling vessel as it has been shown in other studies that a response to piling activities was apparent up to 26 km from piling (Tougaard et al., 2009; Dähne et al., 2013; Haelters et al., 2015; Brandt et al., 2016, 2018). Similarly, to investigate porpoise occurrence and activity in relation to broadband noise levels and the presence or absence of piling activity, we used data from the CPODs that were deployed at the same location as the noise recorders (Figure 3). Hours in which piling activities occurred within 2 km of these sites were not used in the noise effect models.

For the vessel effect models, we excluded hours in which piling activities occurred to focus only on the effect of vessels. To prevent masking effects of vessel noise on porpoise echolocation clicks, we followed Pirotta et al. (2014a) and excluded hours in which vessels were within 1 km of CPOD locations.

For the six models, binary generalized linear models with either a probit or cloglog link function were fitted using generalized estimating equations (GEE-GLMs), to account for temporal autocorrelation. The correlation structure was selected based on the lowest Quasi Information Criterion (QICr), resulting in using an “independence” correlation structure for all models. To determine whether the two-way interaction term should be retained, we used the dredge function of the MuMIn package, which ranks all model possibilities according to QIC (Barton, 2020). Year, Julian day and site ID were used to define a blocking variable in the GEE, allowing model residuals from each site within each day to be autocorrelated. Wald’s tests were used to assess significance and bootstrapped coefficients from the GEE-GLM were used to estimate uncertainty (95% CI) and plot relationships between response and explanatory variables.

RESULTS

Variability in Porpoise Occurrence and Foraging Activity Between Different Phases of Windfarm Construction

In the BACI comparison, variation in harbor porpoise occurrence and foraging activity was best explained by the interaction between block and construction phase (Figure 4). The baseline probability of occurrence prior to any construction was around 0.42 in the reference block and 0.55 in the impact block, while the baseline probability of detecting buzz ICIs, when porpoises were present, was around 0.3 for both blocks. In comparison with the baseline, harbor porpoise occurrence significantly decreased by 14.3% in the impact block during the Beatrice piling phase (Wald test: $\chi^2 = 725.267$, $p < 0.0001$) and by 8% during the Moray East piling phase (Wald test: $\chi^2 = 126.024$, $p < 0.001$). A decrease in porpoise occurrence ($-16.7\%$) was also observed between the baseline and the Beatrice turbine installation phase (Wald test: $\chi^2 = 6.269$, $p = 0.012$). Despite these significant decreases, harbor porpoises were regularly detected within these construction sites throughout the monitoring period (Supplementary Figure 4).

When porpoises were present, the probability of detecting buzz ICIs also significantly decreased by 4.2% between the baseline and piling phase at Beatrice (Wald test: $\chi^2 = 14.216$, $p < 0.001$), although no significant change in buzzing activity was observed during turbine installation (Wald test: $\chi^2 = 0.009$, $p = 0.923$). In contrast, during the Moray East piling phase, the probability of detecting buzzing ICIs increased by 11% in the impact block but decreased by 5% in the reference block (Wald test: $\chi^2 = 176.517$, $p < 0.001$) (Figure 4).

Spatial Scale of Porpoise Responses to Different Piling Vessel Activities

During the construction period, finer-scale variation in harbor porpoise occurrence and foraging activity were best explained in the piling effect model by the interaction between the distance from the piling vessel and the presence or absence of piling (Beatrice: porpoise occurrence—Wald test: $\chi^2 = 482$, $p < 0.001$; buzzing activity—Wald test: $\chi^2 = 18.3$, $p < 0.001$. Moray East: porpoise occurrence—Wald test: $\chi^2 = 421$, $p < 0.001$; buzzing activity—Wald test: $\chi^2 = 6$, $p < 0.014$). During piling activity, the probability of porpoise occurrence increased significantly with distance from the source vessel in a similar fashion at both sites. When there was no piling activity, occurrence still decreased slightly closer to the vessel (−9.3% at Beatrice; −20.9% at Moray East) (Figure 5). During piling activities, when harbor porpoises were acoustically detected, buzzing activity at Beatrice decreased by 54% close to the piling vessel, but this effect was not as strong at Moray East (−40%). Again, when there was no piling activity, the probability of buzzing ICIs at both sites was slightly lower closer to the piling vessel (−19.6% at Beatrice; −22.7% at Moray East) (Figure 5).

Response of Porpoises to Vessel Activity at Windfarm Construction Sites

Figures 6A,B summarize the broad-scale spatial variation in the intensity and density of construction-related vessels across the Moray Firth between 2017 and 2019 (for further information on the overall vessel density and intensity across the Moray Firth between 2017 and 2019, see Supplementary Figures 5A,B). Over this period, median construction-related vessel density was 1.4 vessels.km$^{-2}$ (range 0.06–64.8 vessels.km$^{-2}$) across the Moray Firth. Vessel density was highest in 2019 when both windfarms were under construction. Similarly, the median construction-related vessel intensity, across the Moray Firth, was 2.2 h.km$^{-2}$ (range 0–29,006.8 h.km$^{-2}$). Most vessels occurred over the windfarm sites, but construction-related vessels also worked along export cable routes and between local ports and harbors, including Wick, Invergordon and Fraserburgh (Figure 1B).

In addition to the key offshore service vessels used for pile-driving and jacket or turbine installation, construction-related vessel traffic included fishing vessels working as guard vessels, passenger vessels for crew-transfers and some port service craft.
or unassigned vessels. Details of vessels used in each development are included in Supplementary Table 3.

Safety zones of 500–1,500 m were maintained around structures under construction, and of 50 m around installed structures waiting to be commissioned. Nevertheless, both the Beatrice and Moray East sites remained accessible to fishermen and other third-party vessel traffic throughout their construction. Within the two windfarm sites, the density of fishing, bulk carrier, cargo and unassigned vessels that were not involved in the construction ranged from 0.15 to 0.21 vessels.km$^{-2}$ between 2017 and 2019. Fishing vessel density decreased at Beatrice in 2018 and 2019 and at Moray East in 2019. However, parallel increases in the intensity of fishing vessels suggested that the fishing vessels that were present spent more time in the area (Table 4).

During the 245- and 284-day pile-driving campaigns, the piling vessel was within the windfarm footprint for around 4,090 h (69.5% of the time) at Beatrice and 6,525 h (95.7% of the time) at Moray East. However, it should be noted that the piling vessel at Moray East was jacked-up for most of this time. The total number of hours in which piling occurred was around 437 h (7.4% of the time) at Beatrice and 773 h (11.3% of the time) at Moray East.

Estimates of vessel intensity around each of the passive acoustic monitoring sites were similar in 2017 and 2019 (Figure 7A), with the third quantile around 1.21–1.28 min.km$^{-2}$ and a peak between 0.6 and 0.9 min.km$^{-2}$. Although the shape of the distribution of vessel intensities in 2018 was similar, the third quantile was around 1.78 min.km$^{-2}$, highlighting that vessel activity at Beatrice was higher during the installation of jackets and turbines. There was also spatial variability in vessel density and intensity between years and sites (Figure 7B). In 2017, the higher levels of vessel intensity occurred across Beatrice but in 2018 was more localized around the south-east boundary of the windfarm. In 2019, vessel intensity was spread across the two windfarm sites, but levels of vessel intensity remained highest at Beatrice (Figure 7B).

Based on the best fit vessel effect model, finer-scale variation in harbor porpoise occurrence within the windfarm sites was explained by the interaction between the vessel intensity and the mean vessel distance from each CPOD site within a 5 km buffer area (Wald test: $\chi^2 = 73.3, p < 0.001$) (Figure 8A). At a mean vessel distance of 2 km, porpoise occurrence decreased by up to 35.2% as vessel intensity increased, decreasing from 0.37 (95% CI: 0.36–0.39) when vessel intensity was zero.
Variation in Occurrence and Foraging Activity of Porpoises in Relation to Noise

Both averaged broadband sound pressure levels in each hour (Wald test: $\chi^2 = 28.4, p < 0.001$) and piling occurrence (Wald test: $\chi^2 = 57.7, p < 0.001$) had significant effects on the probability of detecting harbor porpoises. Outside piling hours, porpoise detections decreased by 17% as SPL increased, decreasing from 0.44 (95% CI: 0.39–0.48) at 102 dB re 1 µPa to 0.26 (95% CI: 0.2–0.34) at 159 dB re 1 µPa. During piling activities, porpoise occurrence was initially lower (0.16 95% CI: 0.11–0.23 at 102 dB re 1 µPa) and decreased by 9% as SPL increased by 59 dB (0.07 95% CI: 0.04–0.12 at 159 dB re 1 µPa) (Figure 9A). Similarly, variation in the probability of detecting buzzes was also explained by both SPL (Wald test: $\chi^2 = 19.53, p < 0.001$) and piling occurrence (Wald test: $\chi^2 = 8.73, p < 0.01$). However, while the probability of detecting buzzes decreased with increasing noise levels in either the presence or absence of piling, porpoises detected during piling exhibited higher levels of buzzing activity (Figure 9B). Outside piling hours, the probability of detecting buzzes decreased by up to 41.5% as the SPL increased, ranging from 0.44 (95% CI: 0.36–0.53) at 104 dB re 1 µPa to 0.03 (95% CI: 0.01–0.08) at 155 dB re 1 µPa. During piling hours, buzzing
occurrence decreased by up to 61.8% as the SPL increased, ranging from 0.76 (95% CI: 0.53–0.92) at 104 dB re 1 µPa to 0.15 (95% CI: 0.04–0.35) at 155 dB re 1 µPa (Figure 9B).

**DISCUSSION**

Uncertainties exist over the extent to which marine mammal occurrence and foraging activity varies through different phases of offshore windfarm construction. This, in turn, currently constrains efforts to balance the development of renewable energy to meet carbon reduction targets with the need to minimize disturbance to protected wildlife populations. Our BACI analyses provide evidence of broad-scale behavioral responses of harbor porpoises both to pile-driving and other construction-related activities (Figure 4). In addition, impact gradient analyses show that the magnitude of response varied depending on the activity type and distance from the disturbance source (Figure 5), and the cumulative pressure associated with vessels (Figure 8) and anthropogenic noise (Figure 9). Together, these analyses allowed us to quantify response levels during different construction contexts, while also highlighting that harbor porpoises continued to regularly use these sites throughout the 3-year construction period (Supplementary Figure 4). These findings now provide new data to parameterize energetics and population simulation models [e.g., DEPONS Nabe-Nielsen et al. (2018) and iPCoD Booth et al. (2017)] that can explore potential population-level consequences of these cumulative disturbances.

**Changes in Porpoise Occurrence During the Two Piling Campaigns**

As expected from previous studies of harbor porpoise responses to impulsive noise both at this (Thompson et al., 2013; Graham et al., 2019) and other (Tougaard et al., 2009; Brandt et al., 2011; Dähne et al., 2013; Haelters et al., 2015; Nabe-Nielsen et al., 2018). North Sea sites, our BACI analyses demonstrated a significant decrease in porpoise detections when pile-driving occurred at both the Beatrice and Moray East windfarm sites (Figure 4). In the BACI analyses, the observed changes in porpoise occurrence and buzzing activity between blocks and monitoring periods may be confounded by the varying sampling effort although similar relationships were found using the impact gradient analyses. In PAM based studies such as this, it is recognized that short-term decreases in acoustic detections could result from animals ceasing to vocalize rather than being displaced. However, given the high energetic requirements and foraging rates of harbor porpoises (Booth, 2020), the broader-scale changes observed here are most likely to result from avoidance behavior leading to lower densities over the impact block. In the German North Sea, the decrease in relative porpoise acoustic detection rates within 10 km of the pile-driving noise source, was associated with an increase in detection rates at 25 and 50 km distance, and matched the lower porpoise density, observed through visual aerial surveys, within 20 km of the noise source (Dähne et al., 2013). Similar findings were observed in response to seismic surveys over a range of 5–10 km in Thompson et al. (2013) and in response to a seal scarer in Brandt et al. (2013). In these studies, the aerial surveys supported the assumption that porpoises exposed to anthropogenic noise sources such as pile-driving, airgun and acoustic deterrent devices left the ensonified area rather than ceasing vocalizing. Consequently, even though our study relied solely on PAM data, decreases in acoustic detections in response to impulsive noise disturbance is likely to result from displacement. Approaches used for assessing the spatial scale of responses to piling have varied across studies. Nevertheless, our observed responses at distances of 10–15 km at both sites (Figure 5) are of a similar order of magnitude to results from the subset of Beatrice sites analyzed in Graham et al. (2019) and those from other North Sea windfarms (Tougaard et al., 2009; Brandt et al., 2011; Dähne et al., 2013; Haelters et al., 2015; Nabe-Nielsen et al., 2018). One limitation of using CPODs in these situations is that elevated background noise close to piling locations may affect detection probability (Clausen et al., 2019). When analyzing the magnitude of near-field responses to pile-driving (Figure 5), we accounted for this by excluding data from all CPOD locations that were within 2 km of the piling vessel.

Although patterns in porpoise detections were similar during the piling campaigns at the two Moray Firth sites, the magnitude of change in porpoise occurrence during the Moray East piling phase was lower than at Beatrice (Figure 4). Graham et al. (2019) showed that responses to pile driving noise at Beatrice diminished through 2017. The scale of response at Moray East in 2019 may therefore be smaller due to the increased tolerance of individuals remaining in the area (Bejder et al., 2009). However, little is known of broader-scale movement patterns of North Sea porpoises (see Sveegaard et al., 2011) and it is not currently possible to follow individual porpoises over multiple years. Thus, it remains unclear whether or not displaced individuals returned to impacted areas or whether porpoises exposed in 2017 were still present in subsequent years (Graham et al., 2019). Alternatively, variation in the magnitude of response in the two piling phases could result from local changes in habitat quality or other differences in the nature of the disturbance during pile-driving. For example, our impact block was large, and included both windfarms. Thus, during the 2019 Moray East piling phase, the northern part of the impact block also contained 86 operational structures within the Beatrice windfarm. Harbor porpoise occurrence in this part of the impact block could therefore have increased, as seen in the Egmond aan Zee windfarm in Dutch waters (Scheidat et al., 2011). Scheidat et al. (2011) suggest that such changes could result from increases in prey due to artificial reef effects within established windfarms, or because most shipping is excluded from Dutch windfarm sites; potentially allowing porpoises to shelter from vessel disturbance. However, our analysis of AIS data suggests that a sheltering effect is unlikely in the Moray Firth as there continued to be high levels of windfarm and third-party traffic over the Beatrice site in 2019 (Figure 6). Finally, the two piling campaigns used different installation infrastructure that may explain observed differences between the responses in 2017 and 2019. The piling vessel at Beatrice used eight anchors, requiring the presence of additional pilot and anchor-handling vessels, while an independent jack-up piling vessel was used at Moray East. Our study design did not
enable us to discriminate between the fine and meso-scale spatio-temporal impact of this diverse range of construction-related activities, but these findings highlight the need for further work to explore how different pile installation techniques may affect the scale of response.

**Changes in Porpoise Activity During the Two Piling Campaigns**

Building on previous work that has focused on displacement during pile-driving, we also used information from the echolocation click characteristics to explore broad-scale changes in the activity of those porpoises that continued to use the windfarm sites. During an earlier seismic survey in this area, harbor porpoise occurrence decreased close to the noise source (Thompson et al., 2013), and animals remaining in exposed areas also exhibited a decrease in buzzing activity (Pirotta et al., 2014a). In the present BACI study, porpoises that remained in the impact block during pile-driving at Beatrice in 2017 also reduced their buzzing activity by 4.2% compared to baseline but, in contrast, buzzing activity during Moray East piling in 2019 was higher than baseline (Figure 4). As discussed in relation to differences in the magnitude of displacement during the two piling campaigns, differences in buzzing activity of porpoises remaining in the impact area may also result from local changes in habitat quality. The introduction of hard substrates (e.g., jacket foundations and scour protection) are likely to have enhanced the fine-scale habitat and changed fish assemblages, potentially increasing the prey availability for opportunistic and generalist feeders such as porpoises (Santos and Pierce, 2003) and explaining higher buzzing activity during this period. Better understanding of any reef effects following construction is now urgently required so that potential ecosystem benefits can be integrated into an evaluation of the lifetime cumulative impacts of windfarm construction and operation on these populations (King et al., 2015; Booth et al., 2017; Nabe-Nielsen et al., 2018).

Within the impact area, gradient analyses of data collected through both piling phases also suggest that the probability of detecting buzzes decreased by 54% with decreasing distance from the piling vessel (Figure 5), and by 61.8% as hourly RMS SPL increased from 104 to 155 dB re 1 µPa (Figure 9B). Thus, individuals remaining nearest exposed areas did spend less time buzzing, while porpoises displaced from exposed areas increased their buzzing activity, potentially compensating for lost foraging opportunities or increased energy expenditure. During extended periods of disturbance, porpoises must make trade-offs between fleeing, either permanently or temporarily, or remaining in areas that have a higher risk of disturbance or predation. Baseline distribution patterns suggest that the vicinity of both impact and reference areas represent high-quality feeding habitat (Brookes et al., 2013), and fleeing the area may incur high energetic costs and the risk of spending time in lower-quality habitat. Individual responsiveness to anthropogenic disturbances is therefore likely to be context-dependent and related to animal fitness (van Beest et al., 2018).

![Figure 6](image-url)
Theoretically, individuals in poorer condition are less likely to leave high-quality habitats after a disturbance, as the energetic cost and risk of missing foraging opportunities may be too high (Gill et al., 2001; Beale and Monaghan, 2004; van Beest et al., 2018). In this seascape of fear, marine mammals can alter activity budgets according to perceived levels of predation risk (Wirsing et al., 2008), and are expected to perceive anthropogenic disturbance, such as pile-driving and vessel activity, as a form of predation (Frid and Dill, 2002). Consequently, porpoises in the vicinity of construction activities may reduce their buzzing activity as they adjust activity budgets to spend more time avoiding noise sources and less time engaged in foraging and/or social activities (Pirotta et al., 2014a; Wisniewska et al., 2018). Decreases in buzzing activity could also be explained by reduced prey availability or foraging performance as a result of the displacement or changed behavior of prey species in response to anthropogenic noise (Hassel et al., 2004; Mueller-Blenkle et al., 2010; Herbert-Read et al., 2017). Further field studies on the behavioral responses of different prey to pile-driving activities are required to understand the extent to which any spatio-temporal variation in the local prey availability and abundance may have indirect consequences on individual porpoise fitness (Hassel et al., 2004; Mueller-Blenkle et al., 2010).

**Changes in Porpoise Occurrence and Activity in Relation to Vessels and Other Construction Activity**

During the turbine installation phase, the broadscale BACI analysis showed that porpoise occurrence was also significantly lower at the impact block than during the baseline, even though no piling activities occurred (Figure 4). During this period (July–October 2018), various construction activities such as jacket foundation, turbine and cable installation occurred simultaneously at different locations within the windfarm, leading to high levels of vessel traffic (Figures 7A,B). Additionally, gradient analyses showed that the probability of detecting porpoises within the site decreased by up to 35.2% as vessel intensity increased, and as distance to the nearest vessel decreased (Figure 8A). Previous experimental studies

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**Figure 7** (A) Histograms of the vessel intensity (min.km$^{-2}$) per hour in 2017 (pink), 2018 (green), 2019 (blue); dashed lines represent the third quantile of vessel intensity per hour; the sample size is showed by the number of hours monitored per year (N hours); (B) spatio-temporal distribution of the vessel intensity per hour within and around the two offshore windfarms between 2017 and 2019; the color gradient indicates the annual third quantile of vessel intensity within a 5 km buffer of each CPOD site.
demonstrated that captive harbor porpoises displayed strong behavioral responses when exposed to low levels of medium to high frequency vessel noise (Dyndo et al., 2015). Many vessels emit high frequency noises that overlap with frequency bands biologically relevant for porpoises, which may lead to acoustic masking and/or elicit adverse behavioral responses (Hermannsen et al., 2014). In our study, increased vessel activity led to a significant decrease in porpoise acoustic detections and activity (Figures 8A,B) at distances of up to 4 km (Figure 8A). However, studies using sound and movement recording tags that can detect finer-scale responses highlight that porpoise foraging may be disrupted at greater distances of up to 7 km (Wisniewska et al., 2018).

Using only data collected in the absence of pile-driving, we found that the probability of detecting porpoises decreased by up to 17% as the broadband sound pressure levels increased by 57 dB (Figure 9A). Increased levels of broadband noise emitted during other construction-related vessel activities may reduce the porpoise detection probability of CPODs (Clausen et al., 2019). However, to reduce the risk of vessel noise masking ultrasonic click detections and so the probability of false-negative detections, we discarded any hours with less than 60 min logged and during which vessels were within 1 km of CPODs (as Pirotta et al., 2014a), and additionally chose a coarse binary metric (i.e., presence/absence per hour) (Williamson et al., 2016). Thus, in this case, the decline in porpoise detections, in the absence of piling activities, is unlikely to be caused by a reduction in the effective detection area of the devices. In contrast, the decline in porpoise detections suggests that porpoises have exhibited a behavioral response to high levels of background noise associated with vessel and construction activities. In Wisniewska et al.’s (2018) study, tagged harbor porpoises responded to fast ferry passages by making deeper dives, increasing swimming effort, and ceasing echolocation and foraging for several minutes. Although these individuals lived in highly trafficked coastal waters, they did not seem to have habituated to vessel noise (Wisniewska et al., 2018). Similarly, throughout our 3-year monitoring, buzzing activity decreased by up to 24.5% as the vessel intensity increased in the study area (Figure 8B) and by up to 41.5% as the hourly RMS sound pressure levels increased from 104 to 155 dB re 1 µPa (Figure 9B). However, for the same levels of broadband noise, buzzing activity appeared to be higher during rather than in the absence of piling activities (Figure 9B). This increase in porpoise buzzing activity during piling may be indicative of behavioral changes in echolocation activity in response to noise (the Lombard effect). Harbor porpoises may increase the signal level of their clicks or the signal repetition rate (Branstetter et al., 2018) to compensate for the increased noise levels during social interactions (Sorensen et al., 2018) or foraging activity. Alternatively, adverse effects of piling noise on prey (e.g., Herbert-Read et al., 2017) may benefit predators by locally increasing prey availability and/or enhancing their foraging performance. Either way, these results highlight how chronic exposure to regular vessel activity and associated levels of anthropogenic noise could influence the foraging and/or social activity of those individuals which continue to use offshore construction sites during the pile-driving phase.

Vessel-tracking data provide a robust measure of the spatial distribution of windfarm construction vessels which are legally required to carry AIS. However, there are several reasons why these data may not fully capture variation in the soundscapes affecting species such as harbor porpoises and their prey. In coastal areas, many recreational vessels without AIS dominate...
the anthropogenic soundscape (Hermannsen et al., 2019). This is less likely to be an issue offshore but reports from guard vessels indicate that local fishing boats without active AIS commonly used areas over and around the construction sites throughout our study period. Furthermore, although information on construction vessel locations was available, detailed information on variation in the activity of those vessels, which could affect their acoustic signature, was not. This could be particularly important for construction vessels that jacked up to install jacket foundations and turbines, and vessels which periodically used dynamic positioning. Greater understanding of how acoustic signatures vary between vessels, and in relation to speed or activity, could in future help identify ways in which vessel management plans could reduce broader scale disturbance during windfarm construction and operation.

In the absence of more detailed information on the acoustic signatures or activities of vessels detected using AIS, our recordings of broadband noise at three sample locations provide a valuable measure of broad-scale variation in noise exposure to animals through construction phases, and opportunities for comparison with other study systems (Hermannsen et al., 2014). Even here, though, these measures may be biased by proximity to particular vessels. To characterize this variation, we used an unweighted RMS SPL based on a sampling rate of 48 kHz. These frequencies are appropriate for characterizing long-term variation in shipping and pile-driving noise (Merchant et al., 2012, 2014; Thompson et al., 2020), and analysis of a higher sample rate recording at the site (Supplementary Figure 10) indicated that almost all of the acoustic energy from both pile driving and shipping noise was contained below 24 kHz (the highest acoustic frequency that can be measured at a sampling rate of 48 kHz). However, these 48 kHz recordings do not capture those higher frequencies that may be particularly important to porpoises (Tougaard et al., 2015). More focused investigation of porpoise behavioral responses to vessel noise would require an increase in sampling rate and focus on biologically significant spectral bands by using audiogram weighted SPL (Dyndo et al., 2015; Tougaard et al., 2015).

Management Implications
The planned expansion of offshore windfarms to meet decarbonization targets must proceed within frameworks for safeguarding protected wildlife populations and minimizing cumulative environmental impacts (Le Lièvre, 2019; Thompson et al., 2020). Efforts to understand and mitigate impacts on marine mammals have focused on the effects of impulsive noise produced during pile-driving. Whilst pile-driving does produce the highest amplitude noise, active piling occurred for <10% of the time in the 9–10-month piling phases at Beatrice and Moray East. Whilst responses to these short but intense periods of impulsive noise sources are of greater magnitude, we showed that harbor porpoise occurrence and buzzing activity also decreased in response to more chronic exposure to vessel traffic throughout construction. Further disturbance may also be expected from routine operation and maintenance vessels, although this may be offset by benefits resulting from the creation of new reef habitat. Further understanding of the relative importance of these different disturbance sources is now required if we are to assess the broader scale cumulative impact of construction, operation and decommissioning over the life cycle of an offshore windfarm. Our data should now be integrated into existing tools (e.g., Nabe-Nielsen et al., 2018) to explore the scale of these different disturbance impacts at both individual and population levels. This could then provide a framework that could be used
by policy makers to explore how cumulative impacts can be minimized by combining existing mitigation measures to reduce piling impacts with other regulatory measures to manage vessel traffic and other maritime activities occurring in or around construction sites.

**DATA AVAILABILITY STATEMENT**

The article’s data and R code are available from the Dryad Digital Repository: https://doi.org/10.5061/dryad.v6wwpgzgw4.

**ETHICS STATEMENT**

Ethical review and approval was not required for the animal study because this was a non-invasive, acoustic observational study of harbor porpoise responses to construction activities during the development of two commercial offshore windfarms. The authors had no control or influence over the duration or scheduling of construction activities including pile-driving. No animals were captured or tagged during this study and no research or animal ethical assessments were required. Porpoise responses were determined using remote passive acoustic devices on seabed moorings licensed for scientific use by Marine Scotland, and consented by the Crown Estate. Moorings were deployed and recovered using vessels with appropriate certification, accreditation and endorsements.

**AUTHOR CONTRIBUTIONS**

AB, PT, and IG conceived and designed the study based on data collection that has been led by PT and IG. NM analyzed all the noise data. AB processed the data, carried out the data analysis, and prepared all the tables and figures, under the supervision of IG and PT. AB drafted the manuscript. All authors contributed critically to the drafts and gave final approval for publication.

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**SUPPLEMENTARY MATERIAL**

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars.2021.664724/full#supplementary-material

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