Factors associated with the spatial heterogeneity of the first wave of COVID-19 in France: a nationwide geo-epidemiological study

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Summary

Background The objective of this study was better understand the factors associated with the heterogeneity of in-hospital COVID-19 morbidity and mortality across France, one of the countries most affected by COVID-19 in the early months of the pandemic.

Methods This geo-epidemiological analysis was based on data publicly available on government and administration websites for the 96 administrative departments of metropolitan France between March 19 and May 11, 2020, including Public Health France, the Regional Health Agencies, the French national statistics institute, and the Ministry of Health. Using hierarchical ascendant classification on principal component analysis of multidimensional variables, and multivariate analyses with generalised additive models, we assessed the associations between several factors (spatiotemporal spread of the epidemic between Feb 7 and March 17, 2020, the national lockdown, demographic population structure, baseline intensive care capacities, baseline population health and health-care services, new chloroquine and hydroxychloroquine dispensations, economic indicators, degree of urbanisation, and climate profile) and in-hospital COVID-19 incidence, mortality, and case fatality rates. Incidence rate was defined as the cumulative number of in-hospital COVID-19 cases per 100,000 inhabitants, mortality rate as the cumulative number of in-hospital COVID-19 deaths per 100,000, and case fatality rate as the cumulative number of in-hospital COVID-19 deaths per cumulative number of in-hospital COVID-19 cases.

Findings From March 19 to May 11, 2020, hospitals in metropolitan France notified a total of 100,988 COVID-19 cases, including 16,597 people who were admitted to intensive care and 17,062 deaths. There was an overall cumulative in-hospital incidence rate of 155.6 cases per 100,000 inhabitants (range 19.4–489.5), in-hospital mortality rate of 26.3 deaths per 100,000 (1.1–119.2), and in-hospital case fatality rate of 16.9% (4.8–26.2). We found clear spatial heterogeneity of in-hospital COVID-19 incidence and mortality rates, following the spread of the epidemic. After multivariate adjustment, the delay between the first COVID-19-associated death and the onset of the national lockdown was positively associated with in-hospital incidence (adjusted standardised incidence ratio 1.02, 95% CI 1.01–1.03), but mortality and case fatality rates did not appear to be associated with baseline intensive care capacities. We found no association between climate and in-hospital COVID-19 incidence, or between economic indicators and in-hospital COVID-19 incidence or mortality rates.

Interpretation This ecological study highlights the impact of the epidemic spread, national lockdown, and reactive adaptation of intensive care capacities on the spatial distribution of COVID-19 morbidity and mortality. It provides information for future geo-epidemiological analyses and has implications for preparedness and response policies to current and future epidemic waves in France and elsewhere.

Funding None.

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The first wave peaked on March 31, and lockdown ended on May 11 (panel). By June 10, 2020, France was one of the most affected countries, with 150,000 cumulative cases confirmed by WHO and nearly 30,000 associated deaths. Although the spatial distribution of the first epidemic wave was heterogeneous across the country, no study has yet analysed the underlying combination of determinants of this spatial heterogeneity. We therefore did a geo-epidemiological analysis using data that were publicly available on government and administration websites to study the ecological factors associated with in-hospital COVID-19 incidence, mortality, and case fatality rates across France during the national lockdown. Our objective was to better understand the factors potentially associated with the heterogeneity of in-hospital COVID-19 morbidity and mortality across the country.

Methods

Parameters and data collection

In this geo-epidemiological study, we assessed the ecological factors associated with in-hospital COVID-19 incidence, mortality, and case fatality rates across the 96 administrative departments of France during the national lockdown. Ecological factors included the spatio-temporal spread of the epidemic before the national lockdown, demographic structure of the population, baseline intensive care capacities, baseline population health and health-care services, new chloroquine and hydroxychloroquine dispensations, economic indicators, urbanisation, and climate.

COVID-19 incidence and mortality appeared to be higher in countries with a high socioeconomic status, but within affected countries or territories, populations with low socioeconomic status generally reported lower cases and mortality, suggesting a frequent testing and reporting bias.

Added value of this study

This geo-epidemiological multivariate analysis confirmed that the marked spatial heterogeneity of in-hospital COVID-19 cases and deaths across metropolitan France was strongly associated with the initial spread of the first pandemic wave before its efficient freezing by the national lockdown. Case fatality rate was not associated with the initial number of intensive care beds, suggesting that hospitals could rapidly scale up their capacities and organise medical evacuations to less affected areas. We found no independent association between in-hospital COVID-19 incidence and the four climates of metropolitan France.

Implications of all the available evidence

Epidemiological analyses should include COVID-19 pandemic spread, demographic structure, mitigation measures, and health-care capacities. All countries should adapt their preparedness plans to these key factors to better respond to current or future pandemic waves.
COVID-19 death and the lockdown on March 17 for each department, to account for the temporal progression of the epidemic wave across the country and the effect of the national lockdown. Because male sex and older age are associated with increased severity of disease, we extracted the population age and sex structure estimated in 2020 for each department from the French national statistics institute (Institut national de la statistique et des études économiques; appendix pp 1–2). Considering that overwhelmed intensive care capacities might affect quality of care for patients with COVID-19, we also obtained the baseline number of intensive care unit (ICU) beds per department in 2018 from the website of the Ministry of Health (Ministère de solidarité et de la santé; appendix p 1).

During the early phase of the epidemic, some treatments were suggested to have antiviral properties (with a low level of evidence). Chloroquine and hydroxychloroquine were among such treatments, and were suggested to play a part in the spatial heterogeneity of COVID-19 incidence and mortality rates in France and some other countries. We therefore extracted the number of new chloroquine and hydroxychloroquine dispensations at the departmental level between Jan 1 and April 19, 2020, from an official report of the French national drug agency (Agence nationale de la sécurité du médicament et des produits de santé) and the French national health insurance fund (Caisse nationale de l’Assurance Maladie), which showed a surge in new dispensations of these two medications in pharmacies in the first week of March (appendix pp 1–2).

Given that structural health determinants and comorbidities have been associated with the severity of COVID-19, we also considered additional indicators associated with department-specific baseline population health and health-care services (appendix pp 1–2). We therefore included the baseline number of total deaths per department between March 19 and May 11, 2018, and between March 19 and May 11, 2019, indicators related to the availability of health-care resources, indicators of subsidised medical or dependency insurance, the proportion of hospital stays in several types of ward (eg, endocrinology, cardiology, pneumology, and medicine wards), and several economic indicators at the departmental level (appendix pp 1–3). To investigate the role of population density and connectivity in COVID-19 heterogeneity, we obtained indicators that describe urbanisation from the national institute of statistics and economics, which are described in the appendix (pp 1–3). Because France is climatically diverse, we characterised departments according to eight climate profiles, which are described in the appendix (pp 1–3). Finally, to consider spatial autocorrelation, we extracted the centroid coordinates of each department from a publicly available shapefile (appendix pp 1–3).

### Statistical analysis

We calculated in-hospital COVID-19 incidence, mortality, and case fatality rates for each of the 96 administrative departments of metropolitan France. We mapped these indicators and deviations from nationwide rates. We also estimated spatial autocorrelation using Moran’s I statistic for areal data (Queen criterion of contiguity).

To analyse the factors associated with epidemiological indicators and avoid the curse of dimensionality and multicollinearities, we first summarised multidimensional factors using a non-supervised classification technique: hierarchical ascendant classification on principal component analysis. To classify departments into similar age–pyramid profiles, we did a principal component analysis on the relative composition of the 5-year age and sex population groups, and we then included the coordinates of each variable in the first 25 principal components in a hierarchical ascendant classification. Similarly, we used hierarchical ascendant classification based on principal component analysis coordinates to classify department-specific population health and health-care services, economy, climate, and urbanisation, on the basis of corresponding indicators (appendix pp 1–3).
Sensitivity analyses were done through simulation studies (appendix pp 4–6).

We mapped the resulting classifications at the department level, as well as the lag between the first COVID-19-associated death and lockdown, ICU bed capacities, and the incidence of chloroquine and hydroxychloroquine dispensations.

To assess the relationships between these factors and in-hospital COVID-19 incidence, mortality, and case fatality rates, we used generalised additive models with a negative binomial regression to consider over-dispersion. A Gaussian kriging smoother (with a power exponential covariance function), based on the geographical coordinates of each department centroid (s(lon, lat)), was used to take spatial autocorrelation into account, as previously described.\(^2\) Log(population) was used as an offset to estimate standardised incidence ratios (equation 1) and standardised mortality ratios (equation 2), and log(cases) was used as an offset to estimate standardised fatality ratios (equation 3):

\[
\begin{align*}
\log(\text{cases}) = & \text{factors} + \text{offset(log(population))} \quad (1) \\
\log(\text{deaths}) = & \text{factors} + \text{offset(log(population))} \quad (2) \\
\log(\text{deaths}) = & \text{factors} + \text{offset(log(cases))} + s(\text{lon, lat}) \quad (3)
\end{align*}
\]

where s(lon, lat) was the Gaussian kriging smoother function using the longitude and latitude coordinates, and NegBin was the negative binomial distribution. For each outcome, the analysed cofactors are listed in the appendix (pp 1–2). Briefly, to model the in-hospital incidence rate, we considered the relative lag between the first COVID-19 death and the lockdown on March 17 (ie, the progression of the epidemic wave) and all risk factors, except for ICU capacities. To model the in-hospital mortality rate, we considered in-hospital COVID-19 cases, factors possibly associated with case severity (ie, population age structure and dispensation of chloroquine and hydroxychloroquine), and factors associated with case management capacities and preparedness (ie, progression of the epidemic wave, ICU bed capacity, population health, and health-care services), but not factors directly associated with incidence (ie, the economy, urbanisation, and climate). We considered similar factors to model the in-hospital case fatality rate, except that cases were used as an offset to estimate standardised fatality ratios.

We first separately analysed each factor with univariate models. Multivariate generalised additive models then used directed acyclic graphs\(^2\) to include variables that appeared to be important from a public health perspective, such as population age structure or population health and health-care services (appendix pp 7–8). In the multivariate mortality model, we considered the interaction between in-hospital cases and the relative lag between the first COVID-19-associated death and lockdown. Finally, we mapped Pearson residuals of multivariate models to highlight outliers (appendix p 9) and the deviation from the nationwide rates (appendix p 10). Spatial adjustments were verified by Moran I statistics on Pearson residuals. Sensitivity analyses of the three models were done by substituting the three outcomes (incidence, mortality rate, and case fatality rate) by emergency allergies and in-hospital allergies among patients at emergency departments, and in-hospital allergies among emergency allergies (appendix pp 11–13).

All analyses and maps were made using the software program R version 4.0.0 and the tidyverse, mgcv, FactoMineR, rgdal, spdep, and dagitty packages.

### Role of the funding source

There was no funding source for this study.

### Results

From March 19 to May 11, 2020, hospitals in metropolitan France notified a total of 100,988 COVID-19 cases, including 16,597 people who were admitted to the ICU and 17,062 deaths. These values corresponded to an overall cumulative in-hospital incidence rate of 155·6 cases per 100,000 inhabitants (range 19·4–489·5) across the 96 administrative departments (figure 1). The overall cumulative in-hospital mortality rate was 26·3 deaths per 100,000 inhabitants (range 1·1–119·2). Both indicators showed a marked heterogeneity following a north–south gradient (figure 1), with high spatial autocorrelations (both Moran’s statistics 0·68; p<0·0001) related to the diffusion of the epidemic. The highest incidence and mortality rates were observed in Territoire-de-Belfort (489·5 cases and 119·2 deaths per 100,000 inhabitants) and Haut-Rhin (485·7 cases and 101·4 deaths per 100,000 inhabitants). In the department of Paris, the incidence was 397·6 cases per 100,000 inhabitants and the mortality rate was 74·9 deaths per 100,000, and in Bouches-du-Rhône (including Marseille, the second largest French city) the incidence was 200·5 cases per 100,000 and the mortality rate was 23·0 deaths per 100,000, which was higher than in surrounding departments. The overall cumulative in-hospital case fatality rate in metropolitan France was 16·9%. Case fatality rate also showed distinct case fatality rate in metropolitan France was 16·9%. Case fatality rate also showed distinct
pyramids classified departments into four classes: high proportion aged 25–49 years (class 1), high proportion aged <25 years (class 2), high proportion aged 50–85 years (class 3), and high proportion aged >85 years (class 4; figure 2A). The overall density of ICU beds in 2018 in France was 8.1 per 100,000 inhabitants, ranging from 2.0 per 100,000 in Eure to 21.9 per 100,000 in Paris (figure 2G). Overall, the rate of new chloroquine and hydroxychloroquine dispensations in French pharmacies was 32.0 per 100,000 inhabitants from January 1 to April 19, 2020, ranging from 14.4 per 100,000 in Mayenne to 68.7 per 100,000 in Bouches-du-Rhône and 75.5 in Paris (figure 2H). Hierarchical ascendant classification based on principal component analysis classified departments into three classes of population health and health-care services: high proportion of the population receiving home health assistance (class 1), high health professional density (class 2), and high proportion of hospital stays (class 3; figure 2E). Classification for economic indicators also identified three classes: high median standard of living (class 1), high rate of social assistance (class 2), and high poverty and unemployment ratios (class 3; figure 2D). Classification for the urbanisation indicators fitted departments into four classes: very high proportion of the population living in metropolitan cities and high road density (class 1), high proportion of the population living in metropolitan cities and lower road density (class 2), high proportion of the population living in multipolar cities (class 3), and high proportion of the population living in remote communes (class 4; figure 2C). Finally, classification on climate indicators identified four department classes: central plains with modified oceanic climate (class 1), oceanic, altered oceanic, or southwest basin climate (class 2), semi-continental, submontane, or mountain climate (class 3), and Mediterranean climate (class 4; figure 2B). The characteristics of the classes for these multidimensional variables are described in the appendix (pp 14–18).

In the univariate analysis, the incidence rate at the department level from March 19 to May 11, 2020, appeared to be associated with the lag between the first death and lockdown, population age structure, new chloroquine and hydroxychloroquine dispensations, and urbanisation (table 1). In the final multivariate model, higher cumulative COVID-19 incidence rates were associated with early arrival of the epidemic wave (for each day between the first death and lockdown, adjusted standardised incidence ratio 1.02, 95% CI 1.01–1.04; table 1). Compared with the very high-density departments of Paris and those surrounding it (class 1 of urbanisation), departments with a high proportion of the population living in metropolitan cities but with lower road density (class 2) showed a lower COVID-19 incidence rate (adjusted standardised incidence ratio 0.61, 0.37–1.02; table 1) in the multivariate analysis. In this model, population age structure, chloroquine and hydroxychloroquine dispensations, population health and health-care services, and climate did not appear to be significant factors.
Figure 2: Maps of covariates, showing population age structure (A), climate classes (B), urbanisation (C), economic profile (D), population health and health-care services (E), the lag between the first COVID-19-associated death and lockdown (F), baseline intensive care capacity (G), and chloroquine and hydroxychloroquine dispensations in pharmacies (H).

Departments were classified into four climate classes (appendix pp 1–3, 14). ICU=intensive care unit.
associated with in-hospital COVID-19 incidence rates (table 1). This multivariate model explained 86-8% of the deviance in in-hospital COVID-19 incidence. Model Pearson residuals are mapped in the appendix (p 9) and showed no spatial correlation (Moran’s statistic –0·12; p=0·95). None of the most affected departments showed important model residuals, and there were particularly minimal residuals in Paris and Bouches-du-Rhône (appendix p 9).

In the univariate analysis, the mortality rate at the departmental level appeared to be positively associated with the lag between the first death and lockdown, the cumulative number of in-hospital COVID-19 cases from March 19 to May 11, 2020, ICU capacity, and new chloroquine and hydroxychloroquine dispensations (table 2). In the final multivariate model, higher COVID-19 mortality rates were associated with early arrival of the epidemic wave (for each day between the first death and lockdown, adjusted standardised mortality ratio 1·04, 95% CI 1·02–1·06) and with in-hospital COVID-19 case number (for each case adjusted standardised mortality ratio 1·0004, 1·0002–1·001), even after taking into account the interaction between both factors (table 2). Compared with departments with a high proportion of inhabitants aged 25–49 years (class 1 of population age structure), departments with a high proportion of inhabitants aged >85 years (class 4) had a much higher COVID-19 mortality rate (adjusted standardised mortality ratio 2·17, 1·20–3·90; table 2). In the multivariate model, chloroquine and hydroxychloroquine dispensations, ICU capacity, and population health and health-care services did not appear to be associated with COVID-19 in-hospital mortality rates (table 2). This multivariate model explained 82·1% of the deviance in in-hospital COVID-19 mortality. Model Pearson residuals are mapped in the appendix (p 9) and showed no spatial correlation (Moran’s statistic –0·11; p=0·93). Residuals in Bouches-du-Rhône appeared higher than in surrounding departments, meaning that the observed mortality there was higher than the predicted mortality (appendix p 9).

In the univariate analysis, the case fatality rate at the departmental level appeared to be associated with only
the lag between the first death and lockdown (table 3). In the final multivariate model, higher case fatality rates were associated with early arrival of the epidemic wave (for each day between the first death and lockdown, adjusted standardised fatality ratio 1·01, 95% CI 1·005–1·02; table 3). Compared with departments with a high proportion of inhabitants aged 25–49 years (class 1), departments with a high proportion of inhabitants aged 50–85 years (class 3) and with a high proportion aged >85 years (class 4) had a higher case fatality rate (for class 4, adjusted standardised fatality ratio 1·43, 1·08–1·88; table 3) in the multivariate analysis. Compared with departments with a high proportion of the population receiving home health assistance (class 1 of population health and health-care services), departments with a high proportion of hospital stays (class 3) had a lower case fatality rate (adjusted standardised fatality ratio 0·83, 0·69–0·99; table 3). This multivariate model explained 44·6% of the deviance in in-hospital COVID-19 case fatality rates. Model Pearson residuals are mapped in the appendix (p 9) and showed no
spatial correlation (Moran’s statistic \( -0.12; \ p=0.94 \)). Residuals appeared to be higher in less affected departments. In Bouches-du-Rhône, the model slightly overestimated the case fatality rate (appendix p 9).

**Discussion**

This geo-epidemiological study highlights the clear spatial heterogeneity of in-hospital COVID-19 incidence and mortality rates across the departments of metropolitan France, following the spread of the epidemic before a national lockdown was declared on March 17, 2020. After classifying the multidimensional variables and completing multivariate analyses of the spatial structure of the epidemic, the delay that elapsed between the first COVID-19-associated death and the onset of the lockdown appeared to be positively associated with in-hospital incidence, mortality, and case fatality rates. In other words, morbidity and mortality were lower in departments where the general lockdown caught the epidemic at an earlier stage of its expansion. This finding suggests that the lockdown was an effective way to control the diffusion of this wave of the epidemic across the country. This effect of the lockdown strategy is in line with other publications that are based on modelling or observational studies. Among them, Salje and colleagues\(^\text{15}\) and Di Domenico and colleagues\(^\text{14}\) estimated that the lockdown reduced the reproductive number by 77\% in France and 81\% in Ile-de-France. Using databases across 149 countries, Islam and colleagues\(^\text{22}\) also estimated that earlier implementation of lockdown was associated with a larger reduction in the incidence of COVID-19.

Mortality rate was also strongly associated with the incidence rate of in-hospital COVID-19 cases, even after adjusting for the interaction between incidence and the relative lag to lockdown. However, the number of ICU beds available in 2018 was not associated with mortality or case fatality rate. In addition, we found no association between baseline population health and health-care services and incidence and mortality rates. This finding suggests that hospitals managed to scale up their ICU capacity (\( \geq 100\% \) increase in 21 departments; appendix p 19) or organise medical evacuations to less affected departments when necessary.

As was expected,\(^\text{3}\) in-hospital mortality and case fatality rates were higher in departments with older populations. Age has indeed been identified as the main risk factor of COVID-19 disease severity and death in many cohort studies.\(^\text{6,7}\) We did not include the prevalence of comorbidities such as diabetes or obesity in our study because of a scarcity of available data at the departmental level. However, we considered several indicators associated with overall population health, such as basal mortality rate and the usual proportion of hospital stays in endocrinology, cardiology, pneumology, and medicine wards.

We included numbers of chloroquine and hydroxychloroquine dispensations in our models because previous statements suggested that this might be responsible for the lower COVID-19 burden observed in some regions.\(^\text{39,40}\) However, our findings do not support this hypothesis because chloroquine and hydroxychloroquine dispensations were not associated with in-hospital incidence, mortality, or case fatality rates at the departmental level. We could not include data for in-hospital dispensations, which are not publicly available in France, and the prescription of chloroquine and hydroxychloroquine for COVID-19 was limited by a French regulation on May 26, 2020, after the end of our study period. Although univariate analyses showed important associations between in-hospital incidence and mortality rates and new chloroquine and hydroxychloroquine dispensations, these associations were positive (ie, higher chloroquine and hydroxychloroquine dispensations were associated with higher incidence or mortality rates). This association suggests a common confounding by indication,\(^\text{26}\) which was corrected by the multivariate analysis. Furthermore, our multivariate analysis explained almost all in-hospital COVID-19 incidence in the Paris and Bouches-du-Rhône departments, the two departments where chloroquine and hydroxychloroquine dispensations were the highest. The observed mortality in Bouches-du-Rhône was even higher than predicted by our model. Nevertheless, our ecological study was not designed to assess the effectiveness of chloroquine and hydroxychloroquine against COVID-19 at the individual level; these drugs have been shown not to be effective.\(^\text{7}\)

Our multivariate model, which was adjusted for spatial heterogeneity and the delay between the first COVID-19 death and the start of the lockdown, did not identify any substantial association between in-hospital incidence and the four different climate zones, each of which hosts one of the four largest French metropolitan areas. Previous reports have suggested that temperature and humidity could affect the transmission of COVID-19. However, these studies analysed meteorological rather than climatic factors, or did not consider the temporal spread of the pandemic.\(^\text{6}\)

Our study has several limitations. We only analysed COVID-19 in-hospital data. Testing was too low in France during the first wave of the epidemic to provide an accurate estimation of outpatient incidence. Furthermore, data on COVID-19 incidence and mortality in retirement homes have not yet been made available at the departmental level. Criteria for admission to hospital might not have been homogeneous across the departments, and more people with milder symptoms of COVID-19 might have been admitted to hospital in less affected departments or depending on local care policies.\(^\text{4}\) The age of patients admitted to hospital for COVID-19 has not been made available at the departmental level to account for these differences. This factor could partly account for why our multivariate analysis explained only 44-6\% of the case fatality rate. Additional factors might also have been associated with case fatality rate, suggesting a need for further analysis at a more accurate scale. For instance, it
was not possible to analyse ethnicity, because this characteristic is not recorded in French databases for legal reasons.

Finally, this was an ecological study rather than an individual population study, which introduces classical ecological fallacy. Even if the sensitivity analyses support our results, we cannot infer any direct individual risk, nor interpret the results in terms of causality. Moreover, the multidimensional reduction of economic indicators using hierarchical ascendant classification on principal component analysis, which allows numerous factors to be assessed and considers collinearities and the curse of dimensionality, might also have flattened the differences between departments and hidden possible associations.

In conclusion, our findings outline the effect of the COVID-19 pandemic wave in a country that could absorb the shock, thanks to a strong hospital system and a national lockdown. However, the findings indirectly underscore the weakness of its preventive and public health system, which could be useful for informing countries’ preparedness for the current or future pandemic waves.

Contributors
JG and SR developed the study idea and the analysis plan. JG, JL, LH, EM, FK-S, JD, IC, RP, and SR contributed to the literature review. JG, JL, MKB, and SR did the data investigation and management. All data were publicly available and all authors had full access to the data and analyses, and were responsible for the final decision to submit for publication. JG, JL, and SR were responsible for verifying the data used in the study. EL, LL, and AP participated in the data management and analysis. JG did the statistical analysis. JG and SR wrote the first draft. All authors contributed to the interpretation of the findings, reviewed the analysis, wrote the manuscript, and approved the final manuscript. JG had the final responsibility for the decision to submit for publication.

Declaration of interests
We declare no competing interests.

Data sharing
All the data are publicly available and can be downloaded using the URLs in the appendix (pp 1–3). The data analysed in the study can be provided as a spreadsheet upon request to the corresponding author.

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