Adaptive changes in autophagy after UPS impairment in Parkinson’s disease

Yu-fei SHEN1, #, Yu TANG2, #, Xiao-jie ZHANG2, Kai-xing HUANG2, Wei-dong LE1, 2, *
1Institute of Neurology, Ruijin Hospital, Jiao Tong University School of Medicine, Shanghai 200025, China; 2The Key Laboratory of Stem Cell Biology, Institute of Health Sciences, Shanghai Institutes for Biological Sciences, Chinese Academy of Sciences & Shanghai Jiao Tong University School of Medicine, Shanghai 200025, China

Aim: Ubiquitin-proteasome system (UPS) and autophagosome-lysosome pathway (ALP) are the most important machineries responsible for protein degradation in Parkinson’s disease (PD). The aim of this study is to investigate the adaptive alterations in autophagy upon proteasome inhibition in dopaminergic neurons in vitro and in vivo.

Methods: Human dopaminergic neuroblastoma SH-SY5Y cells were treated with the proteasome inhibitor lactacystin (5 μmol/L) for 5, 12, or 24 h. The expression of autophagy-related proteins in the cells was detected with immunoblotting. UPS-impaired mouse model of PD was established by microinjection of lactacystin (2 μg) into the left hemisphere of C57BL/6 mice that were sacrificed 2 or 4 weeks later. The midbrain tissues were dissected to assess alterations in autophagy using immunofluorescence, immunoblotting and electron microscopy assays.

Results: Both in SH-SY5Y cells and in the midbrain of UPS-impaired mouse model of PD, treatment with lactacystin significantly increased the expression levels of LC3-I/II and Beclin 1, and reduced the levels of p-mTOR, mTOR and p62/SQSTM1. Furthermore, lactacystin treatment in UPS-impaired mouse model of PD caused significant loss of TH-positive neurons in the substantia nigra, and dramatically increased the number of autophagosomes in the left TH-positive neurons.

Conclusion: Inhibition of UPS by lactacystin in dopaminergic neurons activates another protein degradation system, the ALP, which includes both the mTOR signaling pathway and Beclin 1-associated pathway.

Keywords: proteasome inhibitor; lactacystin; ubiquitin-proteasome system; dopaminergic neuron; Parkinson’s disease; autophagy-lysosomal pathway; autophagosome; mTOR; Beclin 1

Introduction

Parkinson’s disease (PD) produces both motor and non-motor symptoms that substantially degrade a patient’s quality of life. Clinically, PD affects motor function because of the loss of midbrain dopamine (DA) neurons. Biochemical and imaging data suggest a greater than 70% decrease in DA neurons at the time PD is diagnosed. Neuronal death continues as the disease progresses, and eventually, nearly all of these DA neurons are lost. Pathologically, PD is characterized by the accumulation of protein aggregates (called Lewy bodies) in the substantia nigra (SN) of the midbrain[1]. The accumulation of misfolded proteins and the formation of protein aggregates are closely related to cell dysfunction and death in individuals with PD. Extensive molecular and cellular changes occur that indicate that the impairment of the proteolytic system and protein aggregation are central to the pathogenesis of PD[2, 3]. Preventing aggregation or disaggregating misfolded proteins might provide therapeutic benefit by slowing or preventing the progression of PD[4].

The ubiquitin-proteasome system (UPS) is an important degradation system that selectively degrades short-lived intracellular proteins[5, 6]. Several studies have converged to show that the failure of the UPS to degrade misfolded proteins plays an important role in the pathogenesis of both familial and sporadic PD, as well as other neurodegenerative diseases[5, 8]. The autophagy-lysosomal pathway (ALP) is another important protein degradation system[6, 9]. The ALP is a less selective multistep process involving the formation of double-membrane structures known as autophagosomes (AVs) followed by the fusion of these AVs with lysosomes to form autophagolysosomes, the contents of which are digested[6, 10, 11].
Generally, the ALP is primarily responsible for controlling the homeostasis of long-lived intracellular proteins and organelles. ALP dysfunction has been implicated in a number of neurodegenerative disorders, including PD\(^{[10]}\). Greater accumulation of AVs has been observed in postmortem patient brains compared to brains from normal controls\(^{[12]}\). Because autophagy is generally considered to be a bulk, less selective pathway, we thus hypothesized that if an aggregated substrate cannot be efficiently cleared by the proteasome, the activity of lysosomal systems, especially autophagy, is expected to increase as a compensatory mechanism to degrade potentially toxic proteins.

Previous studies have shown that the treatment of neuronal cell lines with low doses of proteasome inhibitors can activate autophagy\(^{[13]}\). Several studies have also shown that the proteasome inhibitor MG132 can enhance autophagy, accelerating the degradation of aggregated poly-ubiquitinated proteins in murine embryonic fibroblasts and DU145 prostate cancer cells\(^{[14, 15]}\). It has remained unclear, however, how these aggregates are efficiently collected and delivered to neuron degeneration.

In this study, we used both in vitro cell culture and in vivo animal models to assess the changes in autophagy activity in DA neurons and the correlation of these changes with DA neuron degeneration. In vivo animal models recapitulate many features of PD; however, it is not known whether UPS inhibition can alter autophagy in this model. In this study, we used both in vitro cell culture and in vivo animal models to assess the changes in autophagy activity in DA neurons and the correlation of these changes with DA neuron degeneration.

**Materials and methods**

**Cell culture and treatment**

SH-SY5Y cells (Cell Bank of Chinese Academy of Sciences, Shanghai, China) were maintained in DMEM medium containing 10% FBS and 1% penicillin/streptomycin at 37°C in a humidified 5% CO\(_2\) incubator. The proteasome inhibitor lactacystin (Calbiochem, San Diego, California) was prepared in dimethylsulfoxide (DMSO) at a stock concentration of 1 μmol/L and then added to the cultures to a final concentration of 5 μmol/L for 5, 12, or 24 h. The drug vehicle (0.1% DMSO) was used as the treatment control. The total protein was then isolated from the cells at the indicated time points.

**Animals and proteasome inhibitor treatment**

C57BL/6 mice (male, 12 weeks old) were housed under conditions of constant temperature and controlled lighting (12 h/12 h light/dark cycle) and microinjected with a proteasome inhibitor, lactacystin, directly into the MFB of mice\(^{[17]}\). This UPS impairment model recapitulates many features of PD; however, it is not known whether UPS inhibition can alter autophagy in this model. In this study, we used both in vitro cell culture and in vivo animal models to assess the changes in autophagy activity in DA neurons and the correlation of these changes with DA neuron degeneration.

**Immunofluorescence analysis**

To perform the immunofluorescence analysis, the OCT-embedded brain tissues were sectioned with a Leica cryostat. Serial sections of the midbrain were cut to a 12 μm thickness and mounted on Poly-D-Lysine-coated slides. The slides were then incubated in blocking buffer, followed by an overnight incubation at 4°C with the primary antibodies against LC3 (1:500; Sigma) and TH (1:2000; Sigma). The sections were then washed in PBS and incubated with a secondary antibody conjugated with Alexa Fluor-488/555 (1:400; Invitrogen), followed by staining the nuclei with Hoechst 33342. Finally, the sections were visualized under a fluorescence microscope (Olympus IX81, Japan) or a confocal microscope (Leica SP5, Germany).

**Immunoblotting analysis**

The collected mouse midbrain tissues or cultured SH-SY5Y cells were sonicated in ice-cold RIPA lysis buffer (Beyotime) supplemented with 1% PMSF according to the manufacturer’s protocol. Aliquots containing 40 μg of total protein were separated on a 7.5% or 12% SDS gel and transferred onto 0.45 μm or 0.22 μm PVDF membranes. The nonspecific sites were blocked in 5% nonfat milk for 1 h at room temperature. The membranes were then incubated overnight in the presence of the primary antibodies against the following proteins: LC3 (1:1000; CST), p62 (1:30 000; MBL), Beclin 1 (1:1000; CST), p-mTOR (1:1000; CST) and mTOR (1:1000; CST). A mouse anti-β-actin antibody (1:30 000; Sigma) was used to demonstrate equal protein loading. The secondary antibodies conjugated with horseradish peroxidase were used. The detection of the proteins followed the manufacturer’s instructions for the SuperSignal Detection Kit (Pierce, USA).

**Electron microscopy**

SNc tissues (approximately 1 mm\(^3\)) were punched from the fixed midbrain blocks using the Palkovits method. The tissue punches were fixed in 2.5% glutaraldehyde in 100 mmol/L PBS and cut into 50 μm thick sections using a vibratome. The sections were postfixed with 1% OsO\(_4\), dehydrated, embedded in Durcupan (ACM; 14040) on a microscope slide and...
coverslipped. These sections were further cut into 70 nm-thick sections using a Reichert ultramicrotome. These ultra-thin sections were then stained with uranyl acetate and lead citrate and evaluated by electron microscopy (Philips CM120, Holland).

Statistical analysis
All values are presented as the mean±SEM values. Statistical significance was determined using Student's t test. The results were considered significant when $P<0.05$.

Results
Proteasome inhibition enhances autophagy in vitro
To investigate whether the inhibition of the proteasome enhances autophagy in vitro, we incubated human neuroblastoma SH-SY5Y cells with 5 μmol/L lactacystin, a specific inhibitor of the 20S proteasome, for 5, 12, or 24 h. We found that proteasome inhibition by lactacystin caused extensive neuronal death, as previously reported\cite{14, 15}. We then harvested the total protein from the remaining cells at the desired time. To evaluate the augmentation of diverse autophagy pathways, we assessed the expression of proteins associated with the mTOR signaling pathway, including mammalian target of rapamycin (mTOR) and phosphorylated mTOR (p-mTOR), and the Beclin signaling pathway, including Beclin 1, in addition to the autophagy markers LC3-I/II and p62/SQSTM1. The immunoblotting analysis revealed that treatment with lactacystin led to time-dependent increases in the level of LC3-II, a lipidated form of cleaved LC3 that is incorporated into the limiting membrane of AVs, and Beclin 1, a positive regulator involved in the formation of AVs and the initiation of autophagy (Figure 1). The polyubiquitin-binding protein p62/SQSTM1, which is found in cellular inclusion bodies together with polyubiquitinated proteins and in cytosolic protein aggregates, can bind directly to LC3-A and LC3-B, thereby facilitating the degradation of p62/SQSTM1-positive bodies containing polyubiquitinated proteins by autophagy\cite{19}. We therefore also detected the protein level of p62/SQSTM1 and found, as we expected, that it was decreased after lactacystin treatment (Figure 1). We also found that mTOR expression was significantly down-regulated at 5 and 12 h after treatment, whereas the p-mTOR level was not affected though it appeared a slight while not signifi cant increase 24 h after lactacystin insult (Figure 1). Together, these results indicate that proteasome inhibition enhances autophagy in an in vitro cell model, most likely through regulating the protein expression levels of autophagy-related genes.

Proteasome inhibition enhances autophagy in the UPS impairment mouse model
To determine whether proteasome inhibition leads to autophagy enhancement in vivo, we established a UPS impairment animal model by microinjecting mice with lactacystin. This mouse model exhibits many behavioral, biochemical and pathological features resembling those associated with the progressive nigral DA neuron dysfunction observed in PD, which is characterized by the loss of TH-positive neurons and the reduction of the striatal DA levels. Because this model mimics certain aspects of PD, it has potential uses in the study of the role of autophagy in PD. The progressive PD-like symptoms of this model start to appear after one week and continue to be observed for up to 3 months\cite{17}.

After the administration of 2 μg lactacystin into the MFB of C57BL/6 mice for 2–4 weeks, the mice were examined by immunostaining and immunoblotting assays. The number of TH-positive cells in the SN was determined by immunofluorescence staining with an anti-TH antibody (Figure 2A). Our results showed that lactacystin administration to the MFB caused a nearly 40% loss of TH-positive neurons in the SN after 4 weeks compared with the number of TH-positive neurons in the control (Figure 2B) ($P<0.01$). We also determined the TH protein level by immunoblotting and found that the protein expression of TH was significantly decreased after 4 weeks in the midbrain tissues (Figure 2C, 2D). Overall, these results suggest that lactacystin-induced UPS impairment caused marked nigral DA neuron degeneration.

To investigate the changes in autophagy in the UPS impair-
of the UPS impaired mouse model. (A) TH-positive neurons in the SN were determined by immunofluorescence assay with anti-TH antibody 4 weeks after lactacystin injection. Scale bar, 200 μm. (B) The number of TH-positive neurons in the SNpc was quantified stereologically. (C, D) The protein level of TH in the 2 weeks and 4 weeks midbrain tissues of injected mice was determined by immunoblotting. The density of the bands was analyzed by Image J software and expressed as fold of control. Mean±SEM. n=5. *P<0.01.

**Figure 3.** Aggregation of autophagosomes (AVs) in DA neurons after proteasome inhibition. (A) AVs were visualized by double immunofluorescent staining with anti-LC3B and TH antibodies. The LC3-labeled TH+ neurons are indicated by white arrows. Insets are magnified neurons. Scale bar, 100 μm. (B) The number of AVs in each TH+ neuron is counted. Mean±SEM. n=5. *P<0.01.

Although a number of other techniques have been used to evaluate autophagy, electron microscopy remains the gold standard. Autophagosomes (AVs) are characterized by double-membrane structures that engulf intracellular organelles and are accompanied by multilamellar bodies in the cytoplasm. In the electron microscopy images, we also observed many double-membrane structures harboring swollen mitochondria in the lactacystin-treated midbrain neurons (Figure 5), indicating that enhanced AV formation and autophagy alterations are induced by UPS impairment.

**Discussion**

Lewy bodies, which contain abundant intracytoplasmic misfolded protein aggregates, are the pathological hallmark of PD. The proteolytic systems responsible for protein degradation include the UPS, which is the primary non-lysosomal degradation system for ubiquitinated proteins, and the ALP, a lysosome-mediated degradation pathway[23]. Previously, the UPS...
and autophagy pathways have been viewed as complementary but parallel degradation systems that do not interact\[21, 22\]. This view has been challenged by two recent studies that demonstrated an interaction between the UPS and the ALP: impairment of the UPS induced autophagy in vitro, and in turn, the conditional knockout of autophagy in the mouse brain gave rise to neurodegeneration with a ubiquitin-positive pathology\[23–26\]. In our study, we examined the functional relationship between these two systems and hypothesized that UPS dysfunction would lead to ALP activation in DA neurons both in vitro and in a UPS-impaired mouse model.

There have been many models used to evaluate the role of autophagy in the etiopathogenesis of PD, such as tissue-specific Atg5-deficient mice\[26\], Atg7-deficient mice\[27\], and the rapamycin-mediated mouse model\[28\]. Although these models have their own advantages, they also have limitations with respect to recapitulating either the neuropathology or clinical phenotype of PD. Obviously, models with nigral UPS impairment have advantages in modeling the two cardinal pathological features of PD, nigral degeneration and the aberrant protein degradation that regulates autophagy. Specifically, this mouse model has many behavioral, biochemical and pathological features resembling those due to the progressive nigral DA neuron dysfunction observed in PD, which is characterized by the loss of TH-positive neurons and a reduction in striatal DA levels\[17\]. We therefore established a UPS-impaired mouse model of PD by administering lactacystin directly into the MFB of mice, thereby mimicking the progressive neuron degeneration of PD. We observed the abundant accumulation of AVs by electron microscopy, immunoblotting and immunofluorescence staining in the DA neurons under conditions of UPS dysfunction. The immunoblotting results also indicated that lactacystin enhanced autophagy in a manner closely related to both the mTOR signaling pathway and the Beclin 1-associated pathway, which are considered to be the two core regulators of autophagy. The inhibition of the mTOR pathway by rapamycin can induce the activity of the autophagy machinery. Malagelada et al\[28\] have found that rapamycin can protect neurons by sparing the phosphorylation of Akt in the mTOR signaling pathway to promote neuron survival. These authors also found that the administration of rapamycin to inhibit mTOR signaling in the MPTP-treated mouse model of PD greatly alleviated the loss of DA neurons.

It is still debated whether autophagy enhancement is a cell defense mechanism that acts as a compensatory degradation system in response to UPS impairment or a cell-killing program\[11, 29\]. Some recent studies have revealed that autophagy might have a dual role in cell survival that depends on the cellular environment. At first, the activation of autophagy may be able to protect cells by degrading intra-
cellular macromolecules and organelles to provide energy for cell functions and attenuate further cell injury by facilitating the removal of damaged organelles and aggregated proteins. However, prolonged or vigorous autophagy enhancement may lead to the excessive elimination of the affected cells through apoptosis[39, 30, 31]. In a previous study, Du et al[32] found that p53 can mediate the proteasome inhibition-induced activation of autophagy, which, in turn, may partially block p53 as well as its downstream mitochondria-dependent apoptotic pathways. Moreover, rapamycin treatment can protect VM neurons from lactacystin-mediated cell death by down-regulating p53-related apoptotic pathways and enhancing the degradation of misfolded proteins[32]. Pandey et al[33] have also shown that autophagy acts as a compensatory degradation system that is induced in response to mutations affecting the proteasome when the UPS is impaired in a Drosophila melanogaster model of the neurodegenerative disease spinobulbar muscular atrophy. They also demonstrated that histone deacetylase 6 (HDAC6), a microtubule-associated deacetylase, is an essential mechanistic link in this compensatory interaction. In addition, the expression of HDAC6 was sufficient to rescue in vivo degeneration in an autophagy-dependent manner, suggesting that the impairment of autophagy might be a predisposing factor for neurodegeneration[33]. Because we know that autophagy is not strictly a parallel degradation system and that there may be a compensatory interaction between these systems, the molecular link must be investigated further. This link is of importance in delving into the functions of autophagy during neuron degeneration.

In conclusion, our findings indicate that lactacystin-induced UPS dysfunction can activate another protein degradation system, the ALP, including the mTOR signaling pathway and the beclin 1-associated pathway. Thus, in vitro and in vivo models may be of great help in investigating the role of autophagy in DA neuron degeneration associated with PD and in discovering novel therapies for PD.

Acknowledgements

This study was supported by funding from the National Natural Sciences Foundation of China (No. 81171201) and the National Basic Research Program of China (No. 2011CB510003).

Author contribution

Wei-dong LE designed this study; Xiao-jie ZHANG and Wei-dong LE supervised the research; Yu-fei SHEN and Yu TANG performed the experiments; Yu TANG analyzed the data; Yu TANG and Yu-fei SHEN wrote the manuscript; and Kai-xing HUANG and Wei-dong LE edited the manuscript.

References

1 Braak H, Del Tredici K, Rüb U, de Vos RA, Jansen Steur EN, Braak E. Staging of brain pathology related to sporadic Parkinson’s disease. Neurobiol Aging 2003; 24: 197–211.
2 Lansbury PT, Lashuel HA. Acentury-ole debateon protein aggregation and neurodegeneration enters the clinic. Nature 2006; 443: 774–9.
3 Dawson TM, Dawson VL. Molecular pathways of neurodegeneration in Parkinson disease. Science 2003; 302: 819–22.
4 Pan T, Kondo S, Le W, Jankovic J. The role of autophagy-lysosome pathway in neurodegeneration associated with Parkinson disease. Brain 2008; 131: 1969–78.
5 Bové J, Zhou C, Jackson-Lewis V, Taylor J, Chu Y, Rideout HJ, et al. Proteasomal inhibition and Parkinson disease modeling. Ann Neurol 2006; 60: 260–4.
6 Zhu W, Xie W, Pan T, Xu P, Fridkin M, Zheng H, et al. Prevention and restoration of lactacystin-induced nigrostriatal dopamine neuron degeneration by novel brain permeable iron chelators. FASEB J 2007; 21: 3835–44.
7 Olanow CW, McNaught KS. Ubiquitin-proteasome system and Parkinson’s disease. Mov Disord 2006; 21: 1806–23.
8 Sawada H, Kohno R, Kihara T, Izumi Y, Sakka N, Ibi M, et al. Proteasome mediates dopaminergic neuronal degeneration, and its inhibition causes alpha-synuclein inclusions. J Biol Chem 2004; 279: 10710–9.
9 Ravikumar B, Duden R, Rubinszttein DC. Aggregate-prone proteins with polyglutamine and polyalanine expansions are degraded by autophagy. Hum Mol Genet 2002; 11: 1107–7.
10 Martinez VM, Cuervo AM. Autophagy and neurodegeneration: when the cleaning crew goes on strike. Lancet Neurol 2007; 6: 352–61.
11 McCray BA, Taylor JP. The role of autophagy in age-related neurodegeneration. Neurosignals 2008; 16: 75–84.
12 Marino G, Lopez OC. Autophagy: molecular mechanisms, physiological functions and relevance in human pathology. Cell Mol Life Sci 2004; 61: 1439–54.
13 Ding Q, Dimayuga E, Martin S, Bruce-Keller AJ, Nukala V, Cuervo AM, et al. Characterization of chronic low-level proteasome inhibition on neuronal homeostasis. J Neurochem 2003; 86: 489–97.
14 Ding WX, Ni HM, Gao W, Yoshimori T, Stoil DB, Ron D, Yin XM. Linking of autophagy to ubiquitin-proteasome system is important for the regulation of endoplasmic reticulum stress and cell viability. Am J Pathol 2007; 171: 513–24.
15 Fortun J, Verrier JD, Go JC, Madorsky I, Dunn WA, Notterpek L. The formation of peripheral myelin protein 22 aggregates is hindered by the enhancement of autophagy and expression of cytoplasmic chaperones. Neurobiol Dis 2007; 25: 252–65.
16 Kamada Y, Sekito T, Ohsumi Y. Autophagy in yeast: a TOR-mediated response to nutrient starvation. Curr Top Microbiol Immunol 2004; 279: 73–84.
17 Xie W, Li X, Li C, Zhu W, Jankovic J, Le W. Proteasome inhibition modeling nigral neurodegeneration in Parkinson’s disease. J Neurochem 2010; 115: 188–99.
18 Zhang X, Xie W, Qu S, Pan T, Wang X, Le W. Neuroprotection by iron chelator against proteasome inhibitor-induced nigral degeneration. Biochem Biophys Res Commun 2005; 333: 544–9.
19 Panikov S, Clausen TH, Lamark T, Brech A, Bruun JA, Outzen H, et al. P62/SQSTM1 binds directly to Atg8/LC3 to facilitate degradation of ubiquitinated protein aggregates by autophagy. J Biol Chem 2007; 282: 24131–45.
20 Rubinszttein DC. The roles of intracellular protein-degradation pathways in neurodegeneration. Nature 2006; 443: 780–6.
21 Ciechanover A, Finley D, Varshavsky A. Ubiquitin dependence of selective protein degradation demonstrated in the mammalian cell cycle mutants. Cell 1984; 37: 57–66.
22 Pickart CM. Back to the future with ubiquitin. Cell 2004; 116: 181–90.
23 Iwata A, Riley BE, Johnston JA, Kopito RR. HDAC6 and microtubules are required for autophagic degradation of aggregated huntingtin. J...
24 Rideout HJ, Lang RI, Stefanis L. Involvement of macroautophagy in the dissolution of neuronal inclusions. Int J Biochem Cell Biol 2004; 36: 2551–62.
25 Komatsu M, Waguri S, Chiba T, Murata S, Iwata J, Tanida I, et al. Loss of autophagy in the central nervous system causes neurodegeneration in mice. Nature 2006; 441: 880–4.
26 Hara T, Nakamura K, Matsui M, Yamamoto A, Nakahara Y, Suzuki MR, et al. Suppression of basal autophagy in neural cells causes neurodegenerative disease in mice. Nature 2006; 441: 885–9.
27 Masaaki K. Loss of autophagy in the central nervous system causes neurodegeneration in mice. Nature 2006; 441: 880–4.
28 Malagelada C, Jin ZH, Jackson LV, Przedborski S, Greene LA. Rapamycin protects against neuron death in in vitro and in vivo models of Parkinson’s disease. J Neurosci 2010; 30: 1166–75.
29 Levine B, Kroemer G. Autophagy in the pathogenesis of disease. Cell 2008; 132: 27–42.
30 Cecconi F, Di BS, Nardacci R, Fuoco C, Corazzari M, Giunta L, et al. A novel role for autophagy in neurodevelopment. Autophagy 2007; 3: 506–8.
31 Mizushima N. Autophagy: process and function. Genes Dev 2007; 21: 2861–73.
32 Du Y, Yang D, Li L, Luo G, Li T, Fan X, et al. An insight into the mechanistic role of p53-mediated autophagy induction in response to proteasomal inhibition-induced neurotoxicity. Autophagy 2009; 5: 663–75.
33 Pandey UB, Nie Z, Batlevi Y, McCray BA, Ritson GP, Nedelsky NB, et al. HDAC6 rescues neurodegeneration and provides an essential link between autophagy and the UPS. Nature 2007; 447: 859–63.