Autophagy contributes to regulation of the hypoxia response during submergence in *Arabidopsis thaliana*

Liang Chen, Bin Liao, Hua Qi, Li-Juan Xie, Li Huang, Wei-Juan Tan, Ning Zhai, Li-Bing Yuan, Ying Zhou, Lu-Jun Yu, Qin-Fang Chen, Wensheng Shu, and Shi Xiao*

State Key Laboratory of Biocontrol; Guangdong Provincial Key Laboratory of Plant Resources; Collaborative Innovation Center of Genetics and Development; School of Life Sciences; Sun Yat-sen University; Guangzhou, China

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Abbreviations: 3-MA, 3-methyladenine; ACBP, acyl-CoA-binding protein; ADH1, alcohol dehydrogenase 1; AMPK, AMP-activated protein kinase; CAT1, catalase 1; ConA, concanamycin A; DAB, diaminobenzidine; DS, dark submergence; EDS1, enhanced disease susceptibility 1; EIN2, ethylene insensitive 2; ERFs, ethylene response factors; ETO1, ethylene overproducer 1; GFP, green fluorescent protein; GPX1, glutathione peroxidase 1; GR1, glutathione-disulfide reductase 1; GUS, β-glucuronidase; HB1, hemoglobin 1; HIF1A, hypoxia-inducible factor 1, alpha subunit; HRA1, hypoxia response attenuator 1; HRE, hypoxia responsive ERF; HUP43, hypoxia-responsive unknown protein 43; ICS1, isochoresmate synthase 1; LDB41, LOB domain-containing protein 41; LS, light submergence; MDC, monodansylcadaverine; MV, methyl viologen; NPR1, non-expressor of PR genes 1; PAD4, phytoalexin deficient 4; PDC1, pyruvate decarboxylase 1; PE, phosphatidylethanolamine; RAP2, related to AP2; RBOH, respiratory burst oxidase homolog; ROP, Rho-like small G protein; RopGAP4, Rop GTGase activating protein 4; ROS, reactive oxygen species; SA, salicylic acid; sid2, *salicylic acid induction deficient* 2 (mutant); SK1, snorkel 1; SnRK1, Snf1-related protein kinase 1; SUB1A, submergence 1A; SUS1, sucrose synthase 1; TOR, target of rapamycin; ULK1, unc-51 like autophagy activating kinase 1

Autophagy involves massive degradation of intracellular components and functions as a conserved system that helps cells to adapt to adverse conditions. In mammals, hypoxia rapidly stimulates autophagy as a cell survival response. Here, we examine the function of autophagy in the regulation of the plant response to submergence, an abiotic stress that leads to hypoxia and anaerobic respiration in plant cells. In *Arabidopsis thaliana*, submergence induces the transcription of autophagy-related (*ATG*) genes and the formation of autophagosomes. Consistent with this, the autophagy-defective (*atg*) mutants are hypersensitive to submergence stress and treatment with ethanol, the end product of anaerobic respiration. Upon submergence, the *atg* mutants have increased levels of transcripts of anaerobic respiration genes (*alcohol dehydrogenase 1, ADH1* and *pyruvate decarboxylase 1, PDC1*), but reduced levels of transcripts of other hypoxia- and ethylene-responsive genes. Both submergence and ethanol treatments induce the accumulation of reactive oxygen species (ROS) in the rosettes of *atg* mutants more than in the wild type. Moreover, the production of ROS by the nicotinamide adenine dinucleotide phosphate (NADPH) oxidases is necessary for plant tolerance to submergence and ethanol, submergence-induced expression of *ADH1* and *PDC1*, and activation of autophagy. The submergence- and ethanol-sensitive phenotypes in the *atg* mutants depend on a complete salicylic acid (SA) signaling pathway. Together, our findings demonstrate that submergence-induced autophagy functions in the hypoxia response in *Arabidopsis* by modulating SA-mediated cellular homeostasis.

Introduction

Flooding events, including waterlogging and complete submergence, restrict the diffusion of gases into and out of plant cells, leading to significant reductions of crop productivity and changes in natural ecosystems. In waterlogged nonphotosynthetic roots, continued respiration and constrained gas influx can rapidly induce hypoxia. In submerged shoots, the reduction of light intensity and carbon dioxide diffusion may also decrease the production of photosynthates and oxygen. To cope with energy shortages during...
partial or complete submergence and improve their chances of survival, plants have developed various adaptive strategies, including elicitation of ethanolic fermentation for glycolytic ATP production, formation of specific structures that facilitate gas exchange (e.g. aerenchyma and adventitious roots), elongation of shoot organs for oxygen access, and induction of quiescence to reduce energy consumption until reoxygenation.7–6

The phytohormone ethylene functions in early hypoxia sensing, downstream gene regulation, and establishment of adaptive traits.3,7,8 Hypoxic stress upregulates the activities of ethylene biosynthetic enzymes and many ethylene response factors (ERFs), and causes a transient increase in ethylene contents.9–13 Due to the slow diffusion of ethylene in the surrounding water layer, the entrapped ethylene in submerged tissues serves as an effective signal to induce adaptive responses.3,14

Previous studies of Oryza sativa have identified the hypoxia-responsive genes SUB1A (submergence 1A), from indica cultivars and SK1 (snorkel 1) and SK2 from deepwater rice cultivars.11,12,15 Constitutive overexpression of SUB1A in a submergence-sensitive japonica cultivar enhances rice tolerance to submergence by stimulating the expression of ADH1 (alcohol dehydrogenase 1), which encodes the ethanol dehydrogenase enzyme necessary for anaerobic respiration.11,12 Overexpression of SUB1A also suppresses the expression of genes involved in starch breakdown and cell wall loosening,11,12 suggesting that SUB1A promotes a quiescence strategy to conserve energy until floodwaters recede. The SUB1A, SK1, and SK2 transcription factors belong to the same group in the ERF family,16 but SK1 and SK2 regulate hypoxic tolerance of deepwater rice by promoting gibberellin-mediated underwater shoot elongation, an opposing strategy to the quiescence induced by SUB1A.15 Arabidopsis has 5 group VII ERFs, HRE1 (hypoxia responsive erf 1), HRE2, RAP2.2 (related to AP2), RAP2.3, and RAP2.12.16 Recent reports reveal that overexpression of HRE1, HRE2, RAP2.2, and RAP2.12 significantly increases the enzyme activity of ADH1 and improves tolerance to hypoxic stress.12,13,17 demonstrating that this group of ERFs has evolutionarily conserved functions that regulate plant survival under hypoxia. More recently, investigations identify ERF-VII transcription factors (TFs), such as RAP2.12, as key components of the oxygen-sensing machinery, functioning through N-end rule-mediated protein degradation.1,18–20 In the proposed model, under normoxic conditions, RAP2.12 is localized at the plasma membrane by its interaction with 2 membrane-associated acyl-CoA-binding proteins, ACBP1 and ACBP2.19 Upon hypoxia, the membrane-anchored RAP2.12 is released and translocated into the nucleus to activate the expression of hypoxia-responsive genes. Under normoxia and reoxygenation, the released RAP2.12 protein is ubiquitinated and proteolytically degraded via the N-end rule pathway.19 The transcription activity of RAP2.12 is further balanced by its own target gene HRA1 (hypoxia response attenuator 1), encoding a trihelix DNA binding protein, via negative feedback regulation.21

Another important signaling pathway regulating plant hypoxia response involves reactive oxygen species (ROS), particularly hydrogen peroxide (H2O2). In mammals, hypoxia activates H2O2 production by a Rho-GTP-dependent mechanism, which subsequently upregulates the expression of HIF1A (hypoxia-inducible factor 1, alpha subunit).22 In plants, ROP (Rho-like small G protein of plant) also regulates the anoxia-inducible accumulation of H2O2 via NADPH oxidase.23 The ROP-triggered elevation of H2O2 levels under anoxia correlates with the increased activity of ADH1.23,24 It has been suggested that both activation and inactivation of ROP signaling in Arabidopsis are required for plant anoxia tolerance via a negative feedback mechanism involving the GTPase activating protein RopGAP4.23,24 Thus, activation of RopGAP4 seems to require a finely controlled balance between ROS-promoted energy supply from ethanolic fermentation and ROS-induced oxidative damage. However, it is still unknown whether the clearance of the ROS-damaged cellular components under hypoxia or anoxia involves other plant stress response pathways.

Autophagy is a highly conserved recycling system in eukaryotic cells, and targets cellular components to the lysosome in animals or to the vacuole in plants and yeast; there, the resident hydrolotic enzymes quickly degrade the targeted components.25,26 Three distinct autophagic types, macroautophagy, microautophagy, and chaperone-mediated autophagy, have been identified.25,26 Recent evidence suggests that only macroautophagy and microautophagy, but not chaperone-mediated autophagy, occur in plant cells. Among them, the predominant form, macroautophagy (autophagy hereafter), is stimulated at certain developmental stages or by various environmental stresses. During autophagy, cells form double-membrane structures called phagophores to engulf cellular contents and deliver them to the vacuole or lysosome. The phagophores expand and mature into autophagosomes; the outer membrane of the autophagosome fuses with the vacuolar or lysosomal membrane and exposes the inner-membrane contents to the vacuole or lysosome lumen (in plants and yeast the inner vesicle is released into the vacuole lumen and is termed an autophagic body).25,26 In mammals, hypoxia or anoxia and ROS are essential stimuli for induction of autophagy.27 In animal cells, hypoxia-induced autophagy mainly depends on the expression level of HIF1, which activates the expression of the genes encoding 2 BH3-only proteins (BNIP3 and BNIP3L) and interrupts the binding of the negative regulator BCL2 to Becn1/Beclin 1, the core mediator of autophagosome initiation.25 During chronic and acute hypoxia, the transcription of ULK1 (a mammalian ortholog of yeast ATG1) is induced and knockdown of ULK1 sensitizes cells to hypoxic stress, suggesting that ULK1 mediates hypoxia-associated autophagy in mammals.28 In contrast to their vital roles in signaling at low levels, excessive ROS can cause irreversible oxidative damage and cellular dysfunction. This is particularly severe in cells exposed to potentially lethal stresses such as prolonged hypoxia.28 Autophagy (e.g., mitophagy) also removes damaged organelles (e.g., mitochondria) to prevent accumulation of ROS.

Recently, reverse genetic approaches have identified several Arabidopsis autophagy-related (ATG) genes that are essential for autophagosome formation or regulation of autophagy.26,31 In addition to its critical role in plant survival under nutrient starvation, autophagy is required for leaf senescence, plant innate immunity, and the responses to multiple environmental stresses, such as drought, high salt, and oxidative stress.26,32,33 Here, we report that autophagy is required for regulation of the plant response to hypoxia during submergence in Arabidopsis.
Results

Submergence causes an increase in transcript levels of ATGs and induces formation of autophagosomes

To investigate the potential involvement of autophagy in the submergence response, we examined the abundance of ATG transcripts in Arabidopsis rosettes subjected to submergence treatment under constant darkness (DS), with plants subjected to constant darkness (Dark) and the normal light/dark cycle (Light) as controls. Reverse transcription quantitative PCR (qRT-PCR) analyses showed that ATG transcript levels were unchanged under light conditions, and increased under dark conditions (Fig. 1A), consistent with previous observations. After DS treatment, the transcript levels of all 6 ATG genes increased significantly more than in the dark controls (Fig. 1A). We also confirmed the induction of ATG expression by examining transgenic Arabidopsis expressing ATG promoter fusions with the β-glucuronidase (GUS) reporter: ATG7pro-GUS, ATG8epro-GUS, ATG8hpromo-GUS, and ATG8ipro-GUS. GUS staining revealed that the expression of all 4 fusions increased after DS or LS (submergence under light conditions) from 6 to 24 h after treatment (Fig. S1A), suggesting that submergence activated autophagy under both DS and LS treatments, 2 submergence conditions leading to hypoxia around the underwater leaves at various times after treatment (Fig. S1B).

To elucidate the response of autophagy to submergence, we examined autophagosome formation under submergence (LS); to this end, we used green fluorescent protein (GFP)-tagged ATG8e as a marker. Seven-d-old seedlings expressing GFP-ATG8e were submergence-treated for 6 h with or without concanamycin A (ConA), which inhibits degradation of autophagic bodies in the vacuoles, and the GFP fluorescence was then observed by confocal microscopy. The green fluorescence from GFP-ATG8e labeled punctate structures, autophagosomes or structures in their intermediates, which appeared in the root cells after submergence treatment; by contrast, the root cells of air-grown seedlings had few such punctate structures (Fig. 1B). Autophagic bodies were detected in the vacuoles in the root cells of air-grown seedlings treated with ConA and these bodies accumulated in the root cells of submergence seedlings (Fig. 1B). Under carbon or nitrogen starvation, ATG8 proteins are lipidated with phosphatidylethanolamine (PE) to form the autophagosome and subsequently fuse with the vacular membrane to transport the autophagic bodies into the vacuole. During this process, degradation of GFP-ATG8e releases free, relatively stable GFP, which accumulates to high levels depending on the rate of autophagy. As shown in Figure 1C, submergence (LS and DS) treatments enhanced the degradation of GFP-ATG8e, as measured by the accumulation of free GFP, in comparison to the light and dark controls.

Autophagy-deficient mutants are hypersensitive to submergence

To assess the cellular function of autophagy in the submergence response, we characterized 4 autophagy-deficient mutants, atg2-1, atg5-1, atg7-3, and atg10-1, from the SALK and SAIL T-DNA insertion pools (Figs. S2 and S3) and tested their responses to submergence. The 4-wk-old atg mutants showed few phenotypic differences to the wild type under normal growth conditions. When the plants were LS-treated for 6 d or DS-treated for 2 d, all atg mutants displayed enhanced sensitivity to both treatments (Fig. 2A; Fig. S4A), while 2-d dark treatment alone did not cause obvious phenotypic differences between the wild type and atg mutants (Fig. S4A). Following recovery for 6 d, the atg mutants showed significant hypersensitivity to submergence under LS and DS conditions, in comparison to the wild-type control, as shown by calculating the dry weights and survival rates of the plants (Fig. 2A, B and C; Fig. S4A and S4B). Moreover, when the plants were treated with LS for various times, we clearly observed damage in the atg mutant leaves at 3 d after treatment in comparison to the wild type, which showed little damage (Fig. S5).

To address the response of atg mutants to low-oxygen treatment, the 1-wk-old wild type, atg2-1, atg5-1, atg7-3, and atg10-1 seedlings were placed into a chamber with 0.5% oxygen atmosphere in the dark for 6 h. After 5 d of recovery, the wild-type seedlings, the untreated and dark-treated controls showed little difference, but most of the atg mutants displayed severe injuries, including whitening of their cotyledons (Fig. S4C).

To test whether submergence affected ATG8 protein accumulation and lipiddation in atg mutants, antibodies against ATG8a and GFP were used to detect ATG8 and GFP-ATG8e by immunoblotting. The protein levels of ATG8 increased in the wild type upon submergence, and accumulated to higher levels in the atg mutants (Fig. 2D), probably due to blockage of ATG8 protein turnover when autophagy is impaired. When the GFP-ATG8e fusion was expressed in the wild type and atg mutants, free GFP was generated in wild-type plants under submergence, but not in the atg5 mutants with similar treatment (Fig. 2E).

Differential expression of the hypoxia- and ethylene-responsive genes in the atg mutants upon submergence

To further examine the role of autophagy in submergence response, qRT-PCR was used to measure the expression of the hypoxia marker genes ADH1, pyruvate decarboxylase 1 (PDC1), and sucrose synthase 1 (SUS1) in the wild type, atg5-1, and atg7-3 mutants at 0, 3, 6, and 24 h after submergence treatment. We also measured the expression of hemoglobin 1 (HB1), hypoxia-responsive unknown protein 43 (HUP43), and lab domain-containing protein 41 (LDB41), which have been suggested to be hypoxia-responsive genes. As shown in Figure 3A, the transcripts of ADH1, PDC1, LBD41, and HUP43 were upregulated in atg5-1 and atg7-3 mutants under normal conditions, while those of SUS1 and HB1 were unchanged in comparison to the wild type. Whereas all of these genes were induced by submergence in the wild type, they showed differential expression in the mutants (Fig. 3A). Specifically, the transcript levels of ADH1 and PDC1 in atg5-1 and atg7-3 mutants were significantly higher at the early stages (3 h and 6 h) after submergence treatment. In contrast, the transcript levels of SUS1, HB1, HUP43, and LBD41 were significantly lower in atg5-1 and atg7-3 mutants under submergence (Fig. 3A).
Ethylene is an essential hormone for regulation of hypoxia signaling in plants.³ To investigate the potential link between the autophagy and ethylene pathways, we analyzed the relative expression of ethylene biosynthesis and signaling genes in the wild-type and atg mutants exposed to submergence (LS). qRT-PCR analysis showed that the transcript levels of ethylene insensitive 2 (EIN2), EIN3, ERF1, and HRE1 in the wild type peaked at 3 h of submergence treatment, and then gradually decreased to the basal level from 6 to 24 h of treatment, but the transcript levels of ERF4 and HRE2 peaked at 6 h after treatment (Fig. 3B). By contrast, the transcripts of all these genes showed significant downregulation in the atg mutants at most time points upon submergence treatment in comparison to the wild type (Fig. 3B). The reduced expression of the hypoxia-responsive genes HB1, HUP43, LBD41, and SUS1 as well as the ethylene-responsive genes EIN2, EIN3, ERF1, ERF4, HRE1, and HRE2 in the atg mutants seemed to correlate with their submergence hypersensitive phenotype.

Autophagy-deficient mutants showed enhanced sensitivity to ethanol

Anaerobic respiration is the major cellular activity sustaining the plant’s energy supply under submergence.² However, anaerobic respiration produces toxic products such as ethanol, acetaldehyde, and lactate, which likely affect plant growth and survival. Our observations that the atg mutants exhibited hypersensitivity to submergence and accumulated high levels of ADH1 and PDC1 mRNAs, prompted us to consider the possible relationship of autophagy to fermentation-induced toxicity. To examine this, we first evaluated the
response of wild-type *Arabidopsis* to fermentation products by treating plants with 100 mM ethanol, 220 mM (1%) acetaldehyde, or 11 mM (0.1%) lactate; we found that all 3 chemicals significantly induced cell death, as indicated by lesions on the leaves (Fig. 4A; Fig. S6). Among the treatments, 100 mM ethanol triggered yellowing and chlorosis, which could be easily visualized; therefore, we used ethanol treatments for further study. Before treatment or treated with water for 6 d, we observed no difference between the wild-type and *atg* mutants (Fig. 4A). However, all *atg* mutants showed severe yellowing in the whole leaves treated with 100 mM ethanol (Fig. 4A). By contrast, only the bottom leaves displayed chlorosis in the wild-type plants (Fig. 4A). Measurement of chlorophyll contents in the wild-type and *atg* mutants upon 0- and 6-day ethanol treatments suggested a significant decline of chlorophyll upon spraying with 100 mM ethanol in the *atg* mutants in comparison to the wild type (Fig. 4B). Consistent with this, upon ethanol treatment, *atg* mutants showed significantly higher ion leakage rates than the wild type (Fig. 4B). However, the wild-type and *atg* mutant plants treated with acetaldehyde or lactate showed no significant differences (Fig. S6).

We also used assays with detached leaves and seed germination to examine the effects of ethanol on the autophagy-deficient mutants. As shown in Figure 4C, when detached leaves of the wild type and *atg* mutants were immersed in 100 mM ethanol or water, leaves from the *atg* mutants turned yellow and showed enhanced chlorosis at 6 d after ethanol treatment, while the wild-type leaves remained green. After wild-type or *atg* mutant seeds were germinated on MS or MS solid medium containing 50 mM ethanol for 2 wk, the *atg* mutants showed more sensitivity to ethanol than did the wild type (Fig. 4D).

**Accumulation of reactive oxygen species in *atg* mutants following submergence**

Given that anoxia induces NADPH oxidase-dependent accumulation of H$_2$O$_2$ in *Arabidopsis*, we hypothesized that autophagy plays a role in controlling ROS levels under submergence. To examine this, we used qRT-PCR to measure the transcript levels of genes encoding RBOHD (respiratory burst oxidase homolog D) and RBOHF, 2 major ROS-generating enzymes. As shown in Figure 5A, the expression of *RBOHD* and *RBOHF* was significantly upregulated in the *atg* mutants in comparison to the wild type at 0, 3, and 24 h of submergence (LS). However, the transcript levels of *CAT1* (catalase 1), *APX1* (ascorbate peroxidase 1), *GPX1* (glutathione peroxidase 1), and *GR1* (glutathione-disulfide reductase 1), which encode enzymes that detoxify H$_2$O$_2$ in plants, were downregulated in the *atg* mutants at 0, 3, 6, and 24 h of submergence in comparison to the wild type, except the transcript levels of *GPX1* and *APX1* were similar at 0 and 6 h, respectively, to those of the wild type (Fig. 5A).

To further confirm the qRT-PCR data, we used diaminobenzidine (DAB) staining to detect H$_2$O$_2$ accumulation in situ.
following submergence and ethanol treatments in leaves. Submergence and ethanol treatments triggered the generation of ROS, as indicated by yellow-brown color, in wild-type leaves (Fig. 5B and C). In contrast, much higher levels of ROS were detected in the leaves of submergence- and ethanol-treated atg mutants in comparison to the wild type (Fig. 5B and C).

Previous work showed that the RBOHD transcript was significantly upregulated by anoxia and the rbohd mutants displayed reduced survival of anoxic stress. To gain genetic evidence on the involvement of ROS in the autophagy-mediated submergence response, we obtained the rbohd and rbohf transposon insertion mutants and the rbohd rbohf double mutant, and characterized their responses to ethanol and submergence stresses. When their seeds were germinated on MS medium, or MS containing 50 mM ethanol, the rbohd, rbohf, and rbohd rbohf mutants showed less resistance to ethanol stress in comparison with the wild type (Fig. 6A). Moreover, upon submergence exposure for 6 d followed by a 9-d recovery, all of the wild-type plants survived submergence, but most of the rbohd and rbohf mutants displayed enhanced damages in their rosette leaves and all of rbohd rbohf double mutants died (Fig. 6B). Upon a 3-h submergence exposure, the transcripts of the hypoxia-responsive genes ADH1, PDC1, and HB1 were downregulated in the rbohd, rbohf, and rbohd rbohf mutants compared to the wild type (Fig. 6C). Further, MDC staining showed that the submergence-induced accumulation of autophagic structures was reduced in the rbohd, rbohf, and rbohd rbohf mutants compared to the wild type (Fig. 6D). Moreover, DAB staining results showed that the ROS accumulation in the leaves of rbohd, rbohf, and rbohd rbohf mutants were much lower than that of the wild type after submergence (Fig. 6E). Consistently, the submerged rbohd, rbohf, and rbohd rbohf mutants displayed more severe cell death staining by trypan blue than that of wild-type leaves (Fig. S7B).

Submergence sensitivity in the atg mutants requires SA signaling

The senescence- and immunity-related programmed cell death phenotypes in the autophagy-defective mutants require the salicylic acid (SA) signal transducer NPR1 (NON-EXPRESSOR OF PR 1). To determine whether the enhanced submergence sensitivity in the atg mutants relies on a complete SA pathway, we first measured the endogenous SA levels in the wild type and atg mutants at various time points (0, 1, 3, 6, and 12 h) upon submergence treatment. In wild-type plants, SA contents decreased at 1 and 3 h upon submergence, followed by a slight increase at 6 and 12 h after treatment (Fig. 7A). In the atg mutants, however, the SA contents remained higher at all time points, compared with the wild type (Fig. 7A). These results were confirmed by analyzing mRNA abundance of the SA biosynthesis genes ICS1 (isochorismate synthase 1), EDS1 (enhanced disease susceptibility 1), and PAD4 (phytoalexin deficient 4); these were all upregulated at 0, 3, and 24 h upon submergence treatment in the atg mutants in comparison to the wild type (Fig. 7B). To further explore the possible negative regulation of SA in the atg mutants under submergence, we crossed atg5–1 with the SA biosynthesis and signaling mutants sid2 (salicylic acid induction deficient 2) and npr1-5 to generate atg5 sid2 and atg5

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**Figure 3.** Relative transcript levels of hypoxia-responsive genes (A) and ethylene-responsive genes (B) in the wild type (WT) and atg mutants (atg5-1 and atg7-3) upon submergence. Total RNA was isolated from 4-wk-old WT and atg mutants at 0, 3, 6, and 24 h after light submergence treatment. Transcript levels relative to WT at 0 h were normalized to that of ACT2. The experiments have been repeated 3 times with biological replicates and similar results were obtained. Data are average values ± SD (n = 3) of 3 technical replicates. Asterisks indicate significant differences from WT (*, P < 0.05; **, P < 0.01 by the Student t test).
**Discussion**

In plants, autophagy is a highly regulated vacuolar degradation pathway for recycling cytosolic components and has essential functions in metabolic adaptation and damage repair during exposure to various biotic and abiotic stresses. Thus, autophagy likely functions as a protective mechanism that helps plants survive adverse conditions. In this report, we demonstrate that autophagy is required for regulation of the response to submergence in *Arabidopsis*. First, the transcripts of *Atg* genes and the formation of autophagosomes were induced by submergence (Fig. 1; Fig. S1). Second, autophagy-defective mutants displayed enhanced sensitivity to submergence and ethanol stresses, and these phenotypes required the SA transducer.
NPR1 and the defense regulator EDS1 (Figs. 2, 4, and 7; Figs. S4 and S8). Third, autophagy-defective mutants showed downregulation of hypoxia-responsive and ethylene-responsive gene expression (Fig. 3). Fourth, SA contents increased and ROS accumulated in the autophagy-defective mutants upon submergence (Figs. 5 and 7). Finally, the deficiencies of ROS production in the rbohd rbohf double mutants, impaired plant tolerance to submergence and decreased submergence-induced autophagosome formation (Fig. 6). Together, these observations provide strong evidence to support the idea that autophagy protects plants during submergence by modulating SA-mediated cellular homeostasis. It is most likely that the SA-induced defense and ROS signaling pathways are involved in the regulation of autophagy-associated hypoxia response in Arabidopsis.

In higher plants, ROS are produced as byproducts of cellular activities such as respiration and photosynthesis in the chloroplasts, mitochondria, and peroxisomes. The most stable ROS, H2O2, acts as an essential second messenger at low levels to transmit an initial signal in the plant response to environmental changes. However, excessive accumulation of ROS may cause irreversible oxidative damage to macromolecules and eventually lead to cell death. Increasing evidence suggests that several biotic and abiotic stresses accompanied by increased ROS generation contribute to induction of autophagy, indicating the involvement of autophagy in the plant response to oxidative stress. In agreement with this hypothesis, the autophagy-defective mutants show hypersensitivity to H2O2 treatment and accumulate high levels of H2O2 in leaves. In addition, exogenous application of H2O2 or the ROS-inducing reagent MV can significantly induce autophagy. These findings indicate a direct link between ROS and autophagy in plants. Similarly, anoxia triggers the accumulation of ROS in plant cells. Our phenotypic, genetic, and biochemical analyses revealed that autophagy is required for the anoxia response, acting by controlling SA-induced oxidative damages in Arabidopsis, which further linked autophagy to ROS. Consistent with this, the submergence-hypersensitive phenotypes in the atg mutants were completely suppressed in double mutants with npr1 and partly suppressed in double mutants with sid2 (Fig. 7). This suggests that the feedback inhibition of autophagy on SA signaling also occurs in the submergence stress response, similar to the negative feedback regulation of senescence and immunity-associated cell

Figure 5. Role of ROS in the autophagy-mediated plant response to submergence and ethanol stresses. (A) Relative expression levels of genes encoding enzymes involved in production or reduction of ROS after light submergence (LS) treatment. Four-wk-old wild type (WT) and atg mutants (atg5-1 and atg7-3) upon LS treatment at 0, 3, 6, and 24 h were collected for total RNA extraction. The relative mRNA abundance was normalized to that of ACT2. The experiments have been repeated 3 times (biological replicates) with similar results and the representative data from one replicate are shown. Data are average values ± SD (n = 3) of 3 technical replicates. Asterisks indicate significant differences from WT (*, P < 0.05; **, P < 0.01 by the Student t test). (B, C) DAB staining showing the accumulation of ROS in the leaves of 4-wk-old atg mutants (atg2-1, atg5-1, atg7-3, and atg10-1) under normal growth conditions (Light), 3-d treatment with LS (B) and 100 mM ethanol (C). In contrast to weak signals in the WT leaves, strong ROS accumulation was detected in the atg mutants after LS and ethanol treatments. Scale bar: 500 μm.
Nevertheless, our current understanding of how redox signals are transmitted to the autophagic machinery remains incomplete. The existence of upstream positive and negative regulators of autophagy, AMP-activated protein kinase (AMPK) and target of rapamycin (TOR), in Arabidopsis\(^53\) indicates the presence of a conserved mechanism in plants.\(^55\) It is therefore possible that in coordination with TOR kinase,\(^56\) Arabidopsis KIN10/11 (orthologs of mammalian AMPK) may regulate autophagy by direct phosphorylation of ATG1 to initiate autophagosome formation. In agreement with this proposal, recent studies reveal that hypoxia induces the transcripts of KIN10 and KIN11 and ectopic expression of an inactive form of KIN10 and KIN11 or rice SnRK1 abolishes plant tolerance to submergence.\(^54,57\) These findings support the idea that, at least in Arabidopsis, the hypoxia response is likely to be positively regulated by the upstream energy sensor KIN10/11. Our findings from qRT-PCR analyses showed that the transcripts of ADH1 and PDC1, which encode enzymes essential for anaerobic respiration to regenerate NAD\(^+\) and produce acetaldehyde and ethanol,\(^24\) were significantly upregulated in the atg mutants upon submergence (Fig. 3). By contrast, the other hypoxia-responsive and ethylene-responsive genes were significantly downregulated in the submerged atg mutants (Fig. 3). This difference in our observations resembles that observed in a previous study demonstrating the requirement for a ROP protein in the hypoxia response, where it acts to promote ROS generation and subsequently regulate ADH1 expression to activate anaerobic respiration.\(^23,24\) The ROP signaling-mediated plant hypoxia response is finely controlled by the GTPase activating protein RopGAP4, which operates a feedback regulatory loop to balance nutrient consumption and ROS-induced oxidative damage.\(^23,24\) Thus, autophagy likely either functions by a mechanism parallel to that of RopGAP4, or as a downstream event of the Rop pathway to modulate ROS-induced damages and thereby control the balance between expenditure of carbohydrate and avoidance of cell death during oxygen deprivation.

Ethanol is the end product of hypoxia-induced anaerobic respiration.\(^24\) During the past decades, whether the accumulation of ethanol harms plant cells and whether ethanol can be used to mimic the toxic effects of hypoxia have been subjects of debate.\(^58\)
Figure 7. The enhanced submergence and ethanol sensitivity in autophagy-defective mutants requires salicylic acid signaling. (A) Measurement of endogenous SA contents in the wild type (WT) and atg mutants (atg5-1 and atg7-3). WT, atg5-1, and atg7-3 mutants were treated with submergence (LS) and leaves were collected at 0, 1, 3, 6, and 12 h after treatment for SA extraction and then analyzed by LC/MS. D6-SA was added as an internal quantitative standard. The experiments were repeated (biological replicates) twice, with similar results, and the representative data from one replicate are shown. Data are means ± SD calculated from 8 technical replicates (200 mg leaves harvested from 3 independent plants were pooled for each technical replicate). Asterisks with “a” indicate significant differences from that of the wild type at each time point and with “b” indicate significant differences from untreated wild-type control (*, P < 0.05; **, P < 0.01 by the Student t-test). (B) Relative expression levels of SA biosynthesis genes in WT and atg mutants (atg5–1 and atg7–3) upon LS treatment. Total RNA was isolated from 4-wk-old WT and atg mutants under LS treatment for 0, 3, 6, and 24 h. The relative transcript levels were normalized to that of ACT2. *, P < 0.05; **, P < 0.01 by Student t-test. (C) Images of WT, atg5–1, atg5 sid2, sid2, atg5 npr1, and npr1–5 seeds germinated on MS medium containing no ethanol or 50 mM ethanol, for 2 wk. (D) Images of WT, atg5–1, atg5 sid2, sid2, atg5 npr1, and npr1–5 plants before treatment (Day 0) and after a 6-d recovery after a 5-d LS treatment (Day 5). (E) DAB staining showing ROS levels in the leaves of 4-wk-old WT, atg5–1, atg5 sid2, sid2, atg5 npr1, and npr1–5 mutants under normal growth conditions (Light) and after 3-d LS treatment. Scale bar: 500 μm. (F) MDC staining showing autophagosome formation in the root cells of WT, atg5–1, atg5 sid2, sid2, atg5 npr1, and npr1–5 seedlings under normal light/dark (Light) and LS conditions. One-wk-old seedlings were not treated or LS-treated for 24 h following by staining with MDC. The labeled autophagosomes (arrows) were visualized by epifluorescence microscopy. Scale bar: 50 μm.
Since ethanol can pass through the plasma membrane, it may quickly diffuse out of plant cells once produced. However, addition of small amounts of exogenous ethanol to the growth medium significantly interferes with carrot cell growth. In this study, we found that Arabidopsis autophagy-defective mutants displayed enhanced sensitivity to exogenous ethanol (Fig. 4), suggesting that ethanol mimics the autophagy-associated submergence response in Arabidopsis. Phenotypic analyses showed that ethanol stress enhanced chlorophyll loss and membrane electrolyte leakage in Arabidopsis leaves (Fig. 4), while the plants exposed to acetaldehyde showed leaf vitrification (Fig. S6). The phenotypic difference between ethanol and acetaldehyde treatments indicates that the effects of ethanol on Arabidopsis cells are due to ethanol per se rather than its oxidation to acetaldehyde. Further examination of mutants in the SA and ROS pathways, as well as their double-mutant combinations with atg mutants, confirmed this possibility (Figs. 6 and 7). Moreover, we observed that elevated ethanol levels induced the accumulation of ROS in Arabidopsis leaves (Fig. 5C), resembling the results of submergence treatment. Thus, we propose that anaerobic fermentation-generated ethanol is likely to be physiologically functional in promoting ROS accumulation in Arabidopsis. Consistent with this, recent findings from mammals revealed that autophagy can be stimulated by ethanol, which may inhibit the proteasome pathway and MTOR activities and contribute to alleviation of sarcopenia, as well as ethanol neurotoxicity. Future work to identify ethanol-responsive genes and target proteins will further our understanding of the physiological effects of ethanol in Arabidopsis.

In conclusion, our findings demonstrate that in the root and shoot cells of Arabidopsis, submergence can rapidly induce the expression of ADH1 and PDC1, which initiate ethanolic fermentation, regenerating NAD+ to sustain glycolysis and energy supply. Under prolonged submergence conditions, however, autophagy is activated by the accumulation of ROS as byproducts of respiration and other cellular activities. Given that ROS, more specifically H₂O₂, is a crucial signal for hypoxia-inducible expression of ADH1, the activated autophagy likely plays a central role in balancing the consumption of cellular reserves and alleviation of oxidative damage in submergence environments. In contrast, SID2-produced SA regulates the homeostasis of ROS. In plant cells, modest ROS levels are beneficial for activation of the hypoxia response; however, excessive ROS (e.g., in the atg mutants) can damage cell components and induce cell death, which thereby increases the plant’s sensitivity to submergence.

Materials and Methods

Plant materials, growth conditions and treatments

The Arabidopsis T-DNA insertion mutants of autophagy-related genes were identified from the SALK collections (http://signal.salk.edu) and named atg2-1 (SALK_076727), atg5-1 (SAIL_129_B07), atg7-3 (SAIL_11_H07), and atg10-1 (SALK_084434C). The methods for identification of atg mutants and confirmation of their senescence phenotypes are described in the Supplemental Methods. The sid2 (SALK_133146C), npr1-5 (CS3724), rboh (rbohd, CS9555; rbohf, CS9557 and rboh rbohf, CS9558), eds1-22 (SALK_071051), eto1-7 mutants were obtained from the Arabidopsis Information Resource (TAIR; http://www.arabidopsis.org; the information of genes was summarized in Table S1). Transgenic plants expressing the GFP-ATG8e fusion have been described previously. All seeds were surface-sterilized with 20% bleach containing 0.1% Tween 20 for 20 min, and washed at least 5 times with sterile water. Seeds were sown on MS medium, followed by cold treatment for at least 2 d. Seven-d-old seedlings were transferred to soil and grown under a 16-h-light (125 µmol m⁻² s⁻¹)/8-h-dark cycle at 22°C.

Submergence treatment was carried out according to Licausi et al. with minor modifications. Briefly, whole Arabidopsis plants (4-wk-old) with soil were gently submerged in plastic boxes (55 × 40 × 35 cm) placed in a plant growth room under light (60 µmol m⁻² s⁻¹; LS) or continuous darkness (DS). Plant leaves were 5 to 10 cm under the surface of the water. The levels of dissolved oxygen around the underwater leaves were detected at various days (for LS) or hours (for DS) after treatment. After the treatment at the indicated times, the water was removed from the box and plants were recovered under normal growth conditions. Plant samples were collected or photographed at the indicated times. After recovery for 6 d, the aboveground Arabidopsis tissues were harvested, heated overnight at 105°C and were weighted to obtain “dry weight.” To calculate survival rates (percentages) after submergence, 10 plants per genotype were LS or DS treated for the indicated duration followed by a 6-d recovery under normal growth conditions, and the average of 3 independent experiments were recorded. The survival rates were determined based on the numbers of plants with capability to produce new leaves and continue to grow after recovery from submergence.

For ethanol treatment, 4-wk-old plants were sprayed with 100 mM ethanol or with water as a control, and covered to maintain high humidity for 4 d. Detached leaves were immersed in 100 mM ethanol or water for 6 d. For germination assays, sterilized seeds were sown on MS solid medium (Sigma, M5519) with or without 50 mM ethanol, followed by cold treatment for 2 d, and then grown under a 16-h-light/8-h-dark cycle at 22°C for 2 wk.

Identification and phenotypic analysis of atg2-1, atg5-1, atg7-3, and atg10-1 mutants

The atg2-1, atg5-1, atg7-3, and atg10-1 T-DNA insertion mutants were identified by PCR analysis. Genomic DNA of the wild type and atg mutants was isolated from 4-wk-old rosettes of soil-grown plants. PCR was performed for 30 cycles using primers XS001/XS002 (atg2-1), XS003/XS004 (atg5-1), XS361/ XS362 (atg7-3), and XS363/XS364 (atg10-1) in combination with a T-DNA-specific primer (Lba1 for atg2-1 and atg10-1, LB1 for atg5-1 and atg7-3; Table S2).

The PCR-identified homozygous mutants were further confirmed by RT-PCR to verify the depleted expression of the
corresponding gene. Specifically, total RNA was isolated from the wild-type and homozygous atg mutants using Trizol reagent (Invitrogen), and reverse transcribed to cDNA by a PrimeScript RT-PCR Kit (TaKaRa). RT-PCR was performed for 30 cycles with the gene-specific primers ATG2 (XS001/XS002), ATG5 (XS423/XS424), ATG7 (XS425/XS426), and ATG10 (XS469/XS470). The expression of ACT2 (Actin F/Actin R) gene was used as a control (Table S2).

For age-dependent leaf senescence analyses, 1-wk-old wild type and atg mutants germinated on MS medium were transferred to soil and grown under normal 16-h light/8-h dark conditions. The plants were observed and photographed at 3, 4, 5, 6, and 7 wk after germination.

**RNA extraction and qRT-PCR analysis**

Total RNA extraction and qRT-PCR analysis were performed as described previously. The isolated RNA was reverse transcribed using PrimeScript RT reagent Kit with gDNA Eraser (Takara, RR047A) following the manufacturer's instructions. qRT-PCR was analyzed using SYBR Green master mix (Takara, RR047A) following the manufacturer's instructions. The conditions for qRT-PCR were: initial denaturation at 95 °C for 5 min followed by 40 cycles of PCR (denaturing, 95 °C for 10 s; annealing, 55 °C for 15 s; extension, 72 °C for 30 s). Three experimental replicates were used for each reaction. ACTIN2 was used as the reference gene. Gene-specific primers used for qRT-PCR analysis are listed in Table S2.

**Microscopy analysis**

The stable transgenic lines expressing a GFP-ATG8e fusion and MDC staining were used to monitor autophagosomal formation. Seven-d-old GFP-ATG8e seedlings grown in culture vessels (7 × 10 cm) were submerged with sterilized water with or without 0.5 μM Concanamycin A (Sigma, C9705). Primary root cells were observed using an LSM 780 NLO laser scanning confocal microscope (Carl Zeiss, Germany) with a 40× oil immersion objective lens. GFP fluorescence were excited by a wavelength of 488 nm and detected at 493 to 558 nm.

For MDC staining, 7-d-old seedlings were not treated or submerged-treated for 24 h and subsequently immersed in phosphate-buffered saline (135 mM NaCl, 4.7 mM KCl, 10 mM Na2HPO4, 2 mM NaH2PO4 pH 7.4) plus 0.05 mM monodansylcadaverine (MDC; Sigma, D4008) for 10 min. After 2 washes with phosphate-buffered saline, the root cells were observed using an Axio Observer Z1 Inverted microscope (Carl Zeiss, Germany) with a 4′,6-diamino-phenylindole–specific filter.

**Protein blotting analysis**

For total protein extraction, *Arabidopsis* samples were ground in liquid nitrogen and homogenized in ice-cold extraction buffer (50 mM sodium phosphate, pH 7.0, 200 mM NaCl, 10 mM MgCl2, 0.2% β-mercaptoethanol, 10% glycerol) supplemented with protease inhibitor cocktail (Roche, 04693132001). Samples were placed on ice for 30 min, and then centrifuged for 30 min at 11,000 g. The supernatant fraction was transferred to a new microcentrifuge tube for electrophoresis.

For immunoblot analysis, total proteins were subjected to SDS-PAGE and electrophoretically transferred to Hybond-C membrane (Amersham, 10600016). Anti-ATG8a (Abcam, ab77003) and anti-GFP (Cell Signaling Technology, 2955) antibodies were used in the immunoblotting analysis.

**Measurement of SA, electrolyte leakage and chlorophyll content**

SA was extracted and measured as described previously. For SA extraction, powdered tissue was weighed into a 2-ml centrifuge tube and extracted with 1 ml of extraction buffer [2-propanol/H2O/concentrated HCl (2:1:0.002, vol/vol/vol) with internal standards (10 ng D6-SA)]. The mixtures were agitated at 100 rpm for 30 min at 4 °C, followed by addition of 1 ml dichloromethane and agitation for another 30 min at 4 °C. The samples were then centrifuged at 13,000 g for 10 min. The 900 μl of solvent from the lower phase was collected and concentrated using a nitrogen evaporator with nitrogen flow. The samples are dissolved in 100 μl of solution (60 μl methanol and 40 μl distilled water).

Chromatographic analysis was performed on a SHIMADZU UFLCXR System (Shimadzu Corporation, Japan) equipped with a LC-20AD-XR binary pump, a SIL-20AD-XR autosampler and a CTO-20A column oven. The Agilent Eclipse Plus C18 (2.1 mm × 100 mm, 1.8 m, Agilent Technologies) column was maintained at 40 °C. The mobile phases were composed of methanol with 0.1% formic acid (A) and water with 0.1% formic acid (B) using a multi-step linear gradient elution: 20–20% A at 0 to 6 min, 20 to 35% A at 6 to 13 min, 35 to 50% A at 13 to 18 min, 50 to 65% A at 18 to 24 min, 65 to 90% A at 24 to 30 min and 90% A at 30 to 40 min, with the flow rate was kept at 0.3 ml/min. Five μl of each sample was injected. Mass spectrometry was performed on the Triple TOFTMS600 (AB SCIEX) and mass range was set at m/z 100 to 1000. The conditions of MS/MS detector were as follows: ion spray voltage 1500 V; ion source gas 150 psi; ion source gas 260 psi; temperature 500°C; curtain gas 15 psi; collision gas pressure 8 psi; entrance potential 10 V. Nitrogen was used as nebulizer and auxiliary gas. All of the acquisition and analysis of data were controlled by the Peak View Software TMV.1.1 (AB SCIEX).

Electrolyte leakage and chlorophyll contents were measured following Xiao et al. Briefly, conductivity was measured on the excised whole leaves in deionized water following gentle agitation at 23°C for 1 h. Subsequently, the solution was heated in a 100°C water bath for 10 min and cooled to 23°C to determine total ionic strength. The ionic leakages (percentages) were calculated by comparison of leaked ionic strength to the corresponding total ionic strength. Chlorophyll was extracted from *Arabidopsis* leaves by immersion in 1 mL of N,N-dimethylformamide (Sigma, D4551) for 48 h in the dark at 4°C. Absorbance was recorded at 664 and 647 nm, and total chlorophyll concentration was calculated as previously described. The chlorophyll contents of wild-type samples treated with water were set to 100%, and the relative chlorophyll contents in the other samples were calculated accordingly.
DAB and trypan blue staining

DAB and trypan blue staining was performed according to Xiao et al. 46 Leaves of 4-wk-old wild type and atg mutants were collected and placed in 1 mg ml\(^{-1}\) DAB (Sigma, D80001) solution (pH 3.8) for 2 h at room temperature and subsequently cleared in 96% boiling ethanol for 10 min. For trypan blue staining, leaves were boiled for 1 min in trypan blue staining buffer (12.5% phenol, 12.5% glycerol, 12.5% lactic acid, 48% ethanol, and 0.025% trypan blue) and incubated for 10 min at room temperature, followed by destaining in 70% chloral hydrate 5 times.

Generation of double mutants

To generate the atg5 sid2, atg5 npr1, atg5 eds1, atg5 eto1 and atg7 eto1 double mutants, the sid2,\(^{47}\) npr1-5,\(^{48}\) eds1-22,\(^{66}\) and eto1\(^{67}\) mutants were crossed to the atg5-1 and atg7-3 mutants. The T-DNA insertions in atg5-1 and atg7-3 mutants were identified by screening all of the F2 population by PCR using ATG5 or ATG7 gene-specific primers paired with the T-DNA-specific primer LB1 (Table S2). The sid2 allele was identified by PCR using SID2 gene-specific primers (XS691/SX692) in combination with the T-DNA-specific primer LB1 (Table S2). The npr1-5 mutation was identified by a cleaved-amplified polymorphic sequence marker to digest the PCR products (primer pairs XS731/XS732; Table S2) by NlaIV enzyme (New England Biolabs, R0126S).\(^{48}\) The eds1–22 mutant was identified by PCR using the primer pairs XS177/SX178 (Table S2) in combination with LBA1.\(^{66}\) The atg5 eto1 and atg7 eto1 F\(_2\) seeds were grown on MS medium for 3 d under constant darkness, and the seedlings that displayed a constitutive triple-response phenotype were deemed to be homozygous for eto1-1.\(^{66}\) The eto1-1 mutation was further confirmed by sequencing.

Statistical analysis

Data are reported as means ± SD of 3 independent experiments unless otherwise indicated. The significance of the differences between groups was determined by a 2-tailed Student \(t\) test. \(P\) values < 0.05 or <0.01 were considered significant.

Disclosure of Potential Conflicts of Interest

The authors declare that they have no conflicts of interest.

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Supplemental Material

Supplemental data for this article can be accessed on the publisher’s website.

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