Development and validation of a prognostic nomogram for colorectal cancer after radical resection based on individual patient data from three large-scale phase III trials

Michitaka Honda1,*, Koji Oba2,*, Takashi Akiyoshi3, Hiromichi Maeda4, Kosuke Kashiwabara2, Mitsuro Kanda5, Shuhei Mayanagi6, Toru Aoyama7, Chikuma Hamada8, Sotaro Sadahiro9, Yosuke Fukunaga3, Masashi Ueno3, Junichi Sakamoto10,11, Shigetoyo Saji11 and Takaki Yoshikawa12

1Department of Minimally Invasive Surgical and Medical Oncology, Fukushima Medical University, Fukushima, Japan
2Department of Biostatistics, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan
3Department of Gastroenterological Surgery, Gastroenterological Center, Cancer Institute Hospital, Japanese Foundation for Cancer Research, Tokyo, Japan
4Cancer Treatment Center, Kochi Medical School Hospital, Kochi University, Nankoku, Japan
5Department of Gastroenterological Surgery (Surgery II), Nagoya University Graduate School of Medicine, Nagoya, Japan
6Department of Surgery, Keio University, Tokyo, Japan
7Department of Surgery, Yokohama City University, Yokohama, Japan
8Faculty of Engineering, Tokyo University of Science, Tokyo, Japan
9Department of Surgery, Tokai University, Isehara, Japan
10Tokai Central Hospital, Kakamigahara, Japan
11Japanese Foundation for Multidisciplinary Treatment of Cancer, Tokyo, Japan
12Department of Gastrointestinal Surgery, Kanagawa Cancer Center, Yokohama, Japan

*These authors contributed equally to this work

Correspondence to: Michitaka Honda, email: mhonda@fukushimamed.com
Takaki Yoshikawa, email: yoshikawat@kcch.jp

Keywords: prognostic nomogram, prediction model, colorectal cancer, overall survival, disease free survival

Received: August 14, 2017  Accepted: September 21, 2017  Published: October 12, 2017

Copyright: Honda et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License 3.0 (CC BY 3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

ABSTRACT

Background: Few prediction models have so far been developed and assessed for the prognosis of patients who undergo curative resection for colorectal cancer (CRC).

Materials and Methods: We prepared a clinical dataset including 5,530 patients who participated in three major randomized controlled trials as a training dataset and 2,263 consecutive patients who were treated at a cancer-specialized hospital as a validation dataset. All subjects underwent radical resection for CRC which was histologically diagnosed to be adenocarcinoma. The main outcomes that were predicted were the overall survival (OS) and disease free survival (DFS). The identification of the variables in this nomogram was based on a Cox regression analysis and the model performance was evaluated by Harrell’s c-index. The calibration plot and its slope were also studied. For the external validation assessment, risk group stratification was employed.

Results: The multivariate Cox model identified variables; sex, age, pathological T and N factor, tumor location, size, lymphnode dissection, postoperative complications and adjuvant chemotherapy. The c-index was 0.72 (95% confidence interval [CI] 0.66-0.77) for the OS and 0.74 (95% CI 0.69-0.78) for the DFS. The proposed stratification in the risk groups demonstrated a significant distinction between the Kaplan–Meier curves for OS and DFS in the external validation dataset.

Conclusions: We established a clinically reliable nomogram to predict the OS and DFS in patients with CRC using large scale and reliable independent patient data from
INTRODUCTION

Surgical resection has been the pivotal treatment for patients with colorectal cancer (CRC), and recent advances in total mesorectal excision and multidisciplinary therapy have improved the oncological outcomes of these patients [1–3]. However, despite achieving a potentially curative resection and the administration of adjuvant treatment, the recurrence rate remains at 20% to 40% after curative resection in patients with stage II or III CRC. Because recent progress on multimodality treatment could improve the curability of such patients, conducting an intensive follow-up after curative resection for CRC has been reported to improve the survival rate [4]. However, the optimum follow-up duration for such individuals is still unclear. It is therefore important to identify the recurrence risk for each patient and to establish a reasonable follow-up schedule from the perspective of cost-effectiveness. Therefore, a system for predicting the prognosis or recurrence patterns on an individual basis is required.

A nomogram is a clinically useful tool for predicting the prognosis of patients or other clinical events for individuals and it has been widely applied in the field of medical oncology [5]. Recently, some nomograms to predict the oncological outcomes of patients with resectable CRC have been developed [6–8]. The first nomogram reported by Weiser et al. is considered to be an epochal tool for clinicians, however, it is also associated with some limitations. For example, it did not include a sufficient sample size for model derivation, no validation study was performed and it targeted only the relapse-free survival of patients with colon cancer in a single cancer specialized hospital. Although the recent most reliable tool developed by Valentini et al. showed good c-index using largest dataset from five clinical trials, this prediction model only focused on western patients with rectal cancer who underwent adjuvant either chemoradiotherapy or radiotherapy.

Our primary goal was to develop and validate nomograms for predicting the overall survival and recurrence in patients who underwent curative resection for CRC. The prognostic nomogram established in this study is the first one every established for an Asian population and it has been well-followed up phase III clinical trials. Second, the OS and DFS, respectively. Gender, tumor location, size, tumor depth (pathological T factor), lymph node metastasis, lymph nodes dissection, the incidence of postoperative complications and adjuvant chemotherapy were significantly associated with the OS, and all factors, except for the tumor size, were also significantly associated with the DFS. The C-index for model assessment was 0.72 (95% confidence interval [CI] 0.66–0.77) in the OS and 0.74 (95% CI 0.69–0.78) in the DFS. Figure 1 shows two nomograms predicting the OS and DFS from the results based on the selected variables with hazard ratios.

RESULTS

The descriptive statistics of the derivation cohort (n = 5,530) and validation cohort (n = 2,263) are listed in Table 1. The proportion of pathological stage II and III disease were higher in the derivation cohort than in the validation cohort because the dataset for derivation contained individual data from specific clinical trials. The median follow-up was 5.0 years in the derivation cohort and 5.2 years in the validation cohort.

Part I: Development of the prediction model

Tables 2 and 3 show the hazard ratios with Cox regression analyses after the variable selection in OS and DFS, respectively. Gender, tumor location, size, tumor depth (pathological T factor), lymph node metastasis, lymph nodes dissection, the incidence of postoperative complications and adjuvant chemotherapy were significantly associated with the OS, and all factors, except for the tumor size, were also significantly associated with the DFS. The C-index for model assessment was 0.72 (95% confidence interval [CI] 0.66–0.77) in the OS and 0.74 (95% CI 0.69–0.78) in the DFS. Figure 1 shows two nomograms predicting the OS and DFS from the results based on the selected variables with hazard ratios.

Part II: Validation study

Figure 2 shows the calibration plot of the prediction model in the validation cohort. The plot represents the predicted five-year proportion of events; the incidence of death or recurrence is shown on the x-axis, and the actual proportion of events estimated by the Kaplan-Meier method is shown on the y-axis. To investigate the validity, the validation cohort was divided into three groups (low, moderate and high risk), thus representing the predicted 5-year death probabilities of < 10%, 10%–20% and > 20%, and 3-year recurrence or death probabilities of < 20%, 20%–30% and > 30%, respectively. Figure 3 illustrates the Kaplan-Meier curve stratified by each of the three risk group for the OS and DFS in the validation cohort. Log-rank tests to determine whether or not the prediction of events using the models reflect the OS and DFS in the validation cohort were significant for both the OS and DFS (p = 0.001).

DISCUSSION

The prognostic nomogram established in this study has three strong points as follows: First, to develop the prediction model, we analyzed the pooled individual data of the long-term outcomes of the three largest and most well-followed-up phase III clinical trials. Second, the OS and DFS, which were the most important outcomes for both patients and physicians, could be predicted using several common variables such as the clinico-pathological findings or treatment information. Finally, to confirm the external validity, we identified a data set of consecutive patients from a specialized hospital. The nomogram was assumed to have high clinical exploitability.

Several previous studies developed a nomogram for patients with colon and or rectal cancer [9]. Various target
Outcomes predicted by the nomogram have been reported, including postoperative complications [10, 11], operative mortality [12], distant metastasis [6, 8], peritoneal recurrence [13] and side effects of chemotherapy [14]. However, the quality of such oncological prognostic prediction models was insufficient. Indeed, previous studies are associated with several critical problems, such as small sample sizes, an insufficient c-index, limited target outcomes, such as peritoneal recurrence or a lack of any validation or calibration [9]. The main reason for these shortcomings might be the difficulty in obtaining accurate clinical datasets including long-term outcomes, such as the five-year OS or relapse-free survival (RFS).

Among these previous studies, Valentini et al. [6] developed a notable prediction model and performed a validation study using large-scale individual data (n = 2795) that was merged from 5 major European clinical trials. One of these trials was used as a validation set and the c-index showed a relatively high (0.68 to 0.73).

Although this nomogram was acceptable for our practice, the actual application was limited to rectal cancer patients who underwent radiotherapy or chemoradiotherapy, which is uncommon in East-Asian countries.

In the present study, the primary goal was to improve the accuracy of a prediction model for both the OS and DFS which are the most relevant and principle outcomes for

| Table 1: Patients characteristics and number of events of derivation and validation cohort |
|-----------------------------------------------|-------------------|-------------------|
| Derivation cohort (N = 5530)                  | Validation cohort (N = 2263) |
| Age (median [range])                          | 60 [20–75]        | 64 [23–91]        |
| Sex                                           |                   |                   |
| Male (%)                                      | 3104 (56.1)       | 1252 (55.3)       |
| Female (%)                                    | 2426 (43.9)       | 1101 (44.7)       |
| Location                                      |                   |                   |
| Rectum (%)                                    | 1492 (27.0)       | 666 (29.4)        |
| Left colon (%)                                | 2585 (46.7)       | 965 (42.6)        |
| Right colon (%)                               | 1451 (26.2)       | 632 (28.0)        |
| T factor                                      |                   |                   |
| T1 - 2 (%)                                    | 854 (15.4)        | 969 (42.8)        |
| T3 (%)                                        | 2913 (52.7)       | 1004 (44.4)       |
| T4 (%)                                        | 1763 (31.9)       | 290 (12.8)        |
| Lymph node metastasis                         |                   |                   |
| Negative (%)                                  | 3146 (56.9)       | 1463 (64.6)       |
| Positive (%)                                  | 2362 (42.7)       | 800 (35.4)        |
| Tumor size [mm]                               |                   |                   |
| Median (min, max)                             | 50 (10, 280)      | 35 (0, 150)       |
| Pathological Stage                             |                   |                   |
| Stage I (%)                                   | 582 (10.5)        | 683 (30.2)        |
| Stage II (%)                                  | 2483 (44.9)       | 704 (31.1)        |
| Stage III (%)                                 | 2443 (44.2)       | 876 (38.7)        |
| Surgical resection                            |                   |                   |
| D2 (%)                                        | 2293 (41.5)       | 694 (30.7)        |
| D3 (%)                                        | 3227 (58.4)       | 1569 (69.3)       |
| Histology                                     |                   |                   |
| Well differentiated (%)                        | 2776 (50.2)       | 1013 (44.8)       |
| Moderately (%)                                | 2423 (43.8)       | 1097 (48.5)       |
| Poorly (%)                                    | 136 (2.5)         | 145 (6.4)         |
| Others (%)                                    | 195 (3.5)         | 8 (0.3)           |
| Postoperative complication                    | 861 (15.6)        | 340 (15.0)        |
| Postoperative chemotherapy                    | 3609 (65.3)       | 859 (38.0)        |
patients who undergo curative resection for CRC. We enrolled 7793 patients, making this the largest-scale study ever published for predicting the OS and DFS, using individual patient data from 3 large Japanese phase III clinical trials for the training cohort and using consecutive cases in a cancer-specialized hospital for the validation cohort, and thereby established a prognostic nomogram for CRC. Furthermore, our dataset included many patients who received surgery

Table 2: Univariate and multivariable results of Cox regression analysis for overall survival

|                      | Univariate hazard ratio (95% CI) | Multivariable hazard ratio (95% CI) | P value |
|----------------------|----------------------------------|-------------------------------------|---------|
| Age [per 10 year old] | 1.05 (0.98, 1.12)                | 1.06 (0.99, 1.14)                  | 0.083   |
| Sex                  |                                   |                                     |         |
| Male                 | 1.23 (1.09, 1.39)                | 1.15 (1.01, 1.31)                  | 0.038   |
| Female               | 1.00                              |                                     |         |
| Location             |                                   |                                     |         |
| Rectum               | 1.37 (1.17, 1.61)                | 1.36 (1.14, 1.61)                  | 0.001   |
| Left colon           | 0.81 (0.70, 0.95)                | 0.84 (0.72, 0.99)                  | 0.032   |
| Right colon          | 1.00                              |                                     |         |
| T factor             |                                   |                                     |         |
| T4                   | 2.70 (2.15, 3.39)                | 2.36 (1.86, 2.99)                  | < 0.001 |
| T3                   | 1.71 (1.36, 2.14)                | 1.72 (1.36, 2.18)                  | < 0.001 |
| T1/2                 | 1.00                              |                                     |         |
| Lymph node metastasis|                                 |                                     |         |
| Positive             | 2.01 (1.77, 2.28)                | 2.19 (1.93, 2.49)                  | < 0.001 |
| Negative             | 1.00                              |                                     |         |
| Tumor size           |                                   |                                     |         |
| 50 mm ≥              | 1.26 (1.11, 1.43)                | 1.15 (1.01, 1.31)                  | 0.041   |
| Less than 50 mm      | 1.00                              |                                     |         |
| Pathological Stage   |                                   |                                     |         |
| Stage III            | 3.17 (2.38, 4.22)                |                                     |         |
| Stage II             | 1.71 (1.28, 2.30)                |                                     |         |
| Stage I              | 1.00                              |                                     |         |
| Surgical resection   |                                   |                                     |         |
| D2                   | 1.22 (1.08, 1.38)                | 1.26 (1.11, 1.42)                  | < 0.001 |
| D3                   | 1.00                              |                                     |         |
| Histology            |                                   |                                     |         |
| Poorly / Others      | 1.37 (1.08, 1.75)                |                                     |         |
| Moderately           | 1.10 (0.97, 1.25)                |                                     |         |
| Highly               | 1.00                              |                                     |         |
| Postoperative complication | 1.49 (1.28, 1.73) | 1.37 (1.17, 1.61) | < 0.001 |
| Postoperative chemotherapy | 0.85 (1.75, 0.96) | 0.80 (0.70, 0.91) | 0.001   |

Model assessment in the derivation cohort

|                      |         |
|----------------------|---------|
| Number               | 5501    |
| Number of events     | 1018    |
| C-index              | 0.72 (0.66, 0.77) |

*S_0(5) = 0.93778 + 0.020 = 0.95778 (5-year baseline overall survival). Baseline overall survival was updated based on the calibration plot. 95% CI was constructed based on the Wald test.
alone or surgery plus adjuvant chemotherapy without radiotherapy. Therefore, our model could evaluate the efficacy of adjuvant chemotherapy compared with surgery alone.

We had two concerns when we conducted this study. One was that the newly developed nomogram might be associated with some selection bias, because all subjects in the derivation cohort had been enrolled according to the rigorous inclusion criteria prescribed by the protocol of clinical trials. The other was the wide range treatment period; some patients were treated over 20 years ago in this derivation cohort.

Table 3: Univariate and multivariable results of Cox regression analysis for disease free survival

|                                | Univariate hazard ratio (95% CI) | Multivariable hazard ratio (95% CI) | P value |
|--------------------------------|---------------------------------|-------------------------------------|---------|
| Age [per 10 year old]          | 1.04 (0.98, 1.10)               | 1.05 (0.99, 1.11)                  | 0.130   |
| Sex                            |                                 |                                     |         |
| Male                           | 1.24 (1.11, 1.38)               | 1.18 (1.05, 1.31)                  | 0.004   |
| Female                         | 1.00                            |                                     |         |
| Location                       |                                 |                                     |         |
| Rectum                         | 1.42 (1.24, 1.64)               | 1.46 (1.26, 1.70)                  | < 0.001 |
| Left colon                     | 0.94 (0.82, 1.07)               | 0.96 (0.84, 1.10)                  | 0.564   |
| Right colon                    | 1.00                            |                                     |         |
| T factor                       |                                 |                                     |         |
| T4                             | 2.75 (2.26, 3.35)               | 2.52 (2.06, 3.09)                  | < 0.001 |
| T3                             | 1.79 (1.47, 2.17)               | 1.84 (1.50, 2.25)                  | < 0.001 |
| T1/2                           | 1.00                            |                                     |         |
| Lymph node metastasis          |                                 |                                     |         |
| Positive                       | 2.15 (1.93, 2.89)               | 2.28 (2.04, 2.54)                  | < 0.001 |
| Negative                       | 1.00                            |                                     |         |
| Tumor size                     |                                 |                                     |         |
| 50 mm ≥                        | 1.12 (1.01, 1.25)               | 1.03 (0.92, 1.15)                  | 0.591   |
| Less than 50 mm                | 1.00                            |                                     |         |
| Pathological Stage             |                                 |                                     |         |
| Stage III                      | 3.28 (2.57, 4.18)               |                                     |         |
| Stage II                       | 1.67 (1.30, 2.14)               |                                     |         |
| Stage I                        | 1.00                            |                                     |         |
| Surgical resection             |                                 |                                     |         |
| D2                             | 1.18 (1.06, 1.31)               | 1.20 (1.08, 1.34)                  | 0.001   |
| D3                             | 1.00                            |                                     |         |
| Histology                      |                                 |                                     |         |
| Poorly / Others                | 1.26 (1.01, 1.57)               |                                     |         |
| Moderately                     | 1.15 (1.03, 1.28)               |                                     |         |
| Highly                         | 1.00                            |                                     |         |
| Postoperative complication     | 1.35 (1.18, 1.55)               | 1.26 (1.10, 1.45)                  | 0.001   |
| Postoperative chemotherapy     | 0.90 (0.81, 1.01)               | 0.85 (0.76, 0.95)                  | 0.005   |
| Model assessment in the derivation cohort | | | |
| Number                         | 5501                            |                                     |         |
| Number of events               | 1378                            |                                     |         |
| C-index                        | 0.74 (0.69, 0.78)               |                                     |         |

*S₀ (3) = 0.93859 (3-year baseline disease-free survival). 95% CI was constructed based on the Wald test.
In order to reinforce these concerns, apart from the derivation, we conducted an external validation study to evaluate the generalizability of our nomogram using the dataset of consecutive patients who were treated recently in the cancer-specialized hospital. As a result, the nomogram demonstrated good discernment for the OS and DFS in risk group stratification of the validation cohort. As a result, our prediction model is therefore considered to have good external validity.

We focused on the usage of this normogram in common surgical practice, selecting only variables that physicians could easily obtain in many community

**Figure 1:** Nomograms for predicting the Overall Survival (A) and Disease Free Survival (B) Each value of a predictor is assigned to a point (upper scale). The total point corresponds to a probability for the 5-year death (lower scale). Each value of a predictor is assigned a score (upper scale). The total score corresponds to a probability for the 3-year recurrence or death (lower scale).
hospitals in this study. To further improve the prediction ability of this normogram, it might be necessary to add molecular biological variables which are known to be prognostic predictors into the model, such as the immunohistological findings or the genetic expression of tumor specimens. Indeed, several investigators found that certain micro RNAs were useful for increasing the accuracy of a prognostic nomogram of CRC [15, 16].

Another limitation associated with this study is that our dataset might include some treatment heterogeneity, although all patients strictly fulfilled the criteria including surgery and received adjuvant chemotherapy defined by each protocol. It was impossible to adjust and control the details of the surgical procedures or chemotherapy regimens as variables in developing this model. In addition, chemoradiotherapy was not evaluated as a variable because the dataset included few cases who underwent neoadjuvant chemoradiotherapy, which is a common strategy for treating rectal cancer in Western countries, but not in Eastern ones. However, recent advances in adjuvant treatment have helped to improve the prognosis, therefore we are now planning to add other variables from recent clinical trials after refining these trial data in the future.

Figure 2: Calibration plot for Overall Survival and Disease Free Survival. (A) The relationship of the 5-year predicted and observed percentages of death in the validation cohort (B) The relationship between the 3-year predicted and observed percentages of recurrence in the validation cohort.
In conclusion, we established a clinically reliable nomogram that was able to predict the OS and DFS in individuals with CRC. The statistical models were developed based on the largest-scale dataset of phase III clinical trials ever published among all previous studies, and the external validation was confirmed using an actual practical dataset. This nomogram may become widely accepted in surgical practice and are considered to be useful for planning follow-up examinations for individual patients after radical resection for CRC.

**MATERIALS AND METHODS**

The present study was conducted in two parts. In part I, a nomogram was developed and evaluated for its internal validity. In part II, the external validity of the nomogram was investigated. To evaluate both the internal and external validities, we prepared two independent datasets for this study, as described below. This study was approved by the Institutional Review Board of the Cancer Institute Hospital and the Japanese Foundation for Multidisciplinary Treatment of Cancer.

![Figure 3](image.png)

**Figure 3:** Kaplan-Meier curve stratified by risk group for the Overall Survival and Disease Free Survival in the validation cohort. **(A)** Kaplan-Meier curves of risk group stratification for overall survival in the validation cohort. The blue line represents a low risk; a predicted 5-year death probability of < 10%, the red line represents a moderate risk; a predicted 5-year death probability of from 10 to < 20% and the green line represents a high risk; a predicted 5-year death probability of ≥ 20%. All curves were statistically different (log-rank test, \( p < 0.01 \)). **(B)** Kaplan-Meier curves of the risk group stratification for disease free survival in the validation cohort. The blue line represents a low risk; a predicted 3-year recurrence probability of < 20%, the red line represents a moderate risk; a predicted 3-year recurrence probability of from 20 to < 30% and the green line represents a high risk; a predicted 3-year recurrence probability ≥ 30%. All curves were statistically different (log-rank test, \( p < 0.01 \)).
Part I

A total of 5,530 individual patients’ data were pooled as the development data from three open label, multicenter, randomized, phase III trials of the Japanese Foundation for Multidisciplinary Treatment of Cancer (JFMC) studies (JFMC7, JFMC15, and JFMC33). The results of each clinical trial have already been reported elsewhere [17–19]. Briefly, the JFMC 7 and JFMC 15 trials were performed to evaluate the long-term utilization of oral fluorinated pyrimidines as adjuvant chemotherapy for patients with CRC, comparing surgery alone with surgery plus postoperative chemotherapy. The main regimen of adjuvant chemotherapy was the 1-year administration of oral 5-FUs (JFMC 7–1: 200 mg/day 5-FU; JFMC 7–2 and JFMC 15: 300 mg/day 1-hexycarbamoyl-5-fluorouracil). JFMC 33 evaluated the survival benefit of receiving tegafur (UFT, 300 mg/m²/day as tegafur)/leucovorin (LV, 75 mg/day) for 5 consecutive days per week for 18 months compared with the standard tegafur regimen. No patients received either preoperative treatment or perioperative radiation therapy.

Data collection and variables

To develop the prediction model, all clinically important information was extracted from the case-report forms of the targeted clinical trial or from the hospital medical records. Specifically, patients’ age, gender, primary site, tumor size, TNM stage and margin size on the resected specimen, surgical procedure, degree of lymph node dissection, residual tumor, histological findings, postoperative complications and adjuvant chemotherapy were extracted. The primary site of colon cancer was classified as the right side if the tumor was located in the cecum, ascending, hepatic flexure or transverse colon, and as the left side if the tumor was within the splenic flexure, descending, sigmoid colon or recto sigmoid junction. The survival time, timing of recurrence and site were investigated as the outcomes. OS was defined as the period between surgery and any cause of death. Disease free survival (DFS) was defined as the period between surgery and the occurrence of recurrence, 2nd cancer or death, whichever came first. The data for patients who had not experienced any events were censored as of the date of the final observation.

Statistical analyses and model development

The prediction models for the OS and DFS were developed using a Cox regression model. The data for patients who had not experienced any events were censored as of the date of the final observation. A backwards selection with p value of less than 0.05 was adopted to select the variables for each prediction model. The main effects and 1st order interaction terms for each possible variable were considered candidates for the selection. The backward selection was repeated using 1000 bootstrap samples to adjust the final model for overfitting and exploring the reproducibility of a model [20]. Candidate variables were ranked according to their frequency of selection in the bootstrap samples. If variables were selected in > 60% of bootstrap samples, we included them as the final set of predictors in the model. We did not conduct formal sample size calculations in order to maximize the power and generalizability of the results by using all available data. Some researchers have suggested that there should be at least 10 events per candidate variable for the derivation of a model and at least 100 events for validation studies [21, 22]. Our sample size and the number of events far exceeds all approaches for determining the sample sizes and therefore this sample size is expected to provide sufficiently accurate estimates.

Part II

A total of 2263 individual patients’ data were obtained for the external validation of the established nomogram from the Cancer Institute Hospital of Japanese Foundation Cancer Research. These were consecutive patients with histologically confirmed colorectal adenocarcinoma who had been diagnosed as having clinical stage I to III disease and who underwent radical resection from January 2005 through December 2011. The exclusion criteria were carcinoma in the appendix and the presence of another primary malignancy. Patients who underwent perioperative chemoradiotherapy were also excluded.

Predictive performance and external validation

The predictive performance of each prediction model was evaluated based on the discrimination and calibration measurements. For the discrimination measurements, we used c-statistic [23] proposed by Pencina and D’agostino for survival model. The Kaplan-Meier curves were also depicted by three risk groups according to the estimated risk score (low, medium, and high risk). The calibration plot and its slope were also studied. As an external validation, the constructed prediction model was applied to the clinical data of the Cancer Institute Hospital and the Japanese Foundation for Multidisciplinary Treatment of Cancer. The same predictive performance was evaluated in the external validation dataset. We followed the TRIPOD guidelines [24] for developing and reporting the prediction model.

Abbreviations

CRC; colorectal cancer, OS; overall survival, DFS; disease free survival, CI; confidence interval, JFMC; Japanese Foundation for Multidisciplinary Treatment of Cancer, TRIPOD; Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis.
Author contributions

Authors who made substantial contributions to the conception and design, and/or acquisition of data, and/or analysis and interpretation of data; Authors who participated in the drafting of the article or in revising it critically for important intellectual content; Authors who gave their final approval of the version to be published. Study conception and design: Honda, Ueno and Yoshikawa; Acquisition of data: Akiyoshi, Fukunaga, Sakamoto, Saji, Sadairo, Maeda, Aoyama and Mayanagi; Analysis and interpretation of data: Chikuma, Oba and Kashiwabara; Drafting of the manuscript: Honda, Akiyoshi and Aoyama; Critical revision: Oba, Akiyoshi and Aoyama;

ACKNOWLEDGMENTS

This work was supported, in part, by the Epidemiological and Clinical Research Information Network (ECRIN).

CONFLICTS OF INTEREST

The authors declare no conflicts of interest in association with this study.

FUNDING

None to declare.

REFERENCES

1. Akiyoshi T, Ueno M, Matsueda K, Konishi T, Fujimoto Y, Nagayama S, Fukunaga Y, Unno T, Kano A, Kuroyanagi H, Oya M, Yamaguchi T, Watanabe T, et al. Selective lateral pelvic lymph node dissection in patients with advanced low rectal cancer treated with preoperative chemoradiotherapy based on pretreatment imaging. Ann Surg Oncol. 2014; 21:189–96. https://doi.org/10.1245/s10434-013-3216-y.
2. Sauer R, Liersch T, Merkel S, Fietkau R, Hohenberger W, Hess C, Becker H, Raab HR, Villanueva MT, Witzigmann H, Wittekind C, Beissbarth T, Rodel C. Preoperative versus postoperative chemoradiotherapy for locally advanced rectal cancer: results of the German CAO/ARO/AIO-94 randomized phase III trial after a median follow-up of 11 years. J Clin Oncol. 2012; 30:1926–33. https://doi.org/10.1200/JCO.2011.40.1836.
3. van Gijn W, Marijnen CA, Nagtegaal ID, Kranenbarg EM, Putter H, Wiggers T, Rutten HJ, Pahlman L, Gilmeilus B, van de Velde CJ. Preoperative radiotherapy combined with total mesorectal excision for resectable rectal cancer: 12-year follow-up of the multicentre, randomised controlled TME trial. Lancet Oncol. 2011; 12:575–82. https://doi.org/10.1016/s1470-2045(11)70097-3.
4. Renehan AG, O'Dwyer ST, Whynes DK. Cost effectiveness analysis of intensive versus conventional follow up after curative resection for colorectal cancer. BMJ. 2004; 328:81. https://doi.org/10.1136/bmj.328.7431.81.
5. Weiser MR, Landmann RG, Kattan MW, Gonen M, Shia J, Chou J, Paty PB, Guillem JG, Temple LK, Schrag D, Saltz LB, Wong WD. Individualized prediction of colon cancer recurrence using a nomogram. J Clin Oncol. 2008; 26:380–5. https://doi.org/10.1200/JCO.2007.14.1291.
6. Valentini V, van Stiphout RG, Lammering G, Gambacorta MA, Barba MC, Bebenek M, Bonnetain F, Bosset JF, Buijko K, Cionini L, Gerard JP, Rodel C, Sainato A, et al. Nomograms for predicting local recurrence, distant metastases, and overall survival for patients with locally advanced rectal cancer on the basis of European randomized clinical trials. J Clin Oncol. 2011; 29:3163–72. https://doi.org/10.1200/JCO.2010.33.1595.
7. Peng J, Ding Y, Tu S, Shi D, Sun L, Li X, Wu H, Cai S. Prognostic nomograms for predicting survival and distant metastases in locally advanced rectal cancers. PLoS One. 2014; 9:e106344. https://doi.org/10.1371/journal.pone.0106344.
8. van Gijn W, van Stiphout RG, van de Velde CJ, Valentini V, Lammering G, Gambacorta MA, Pahlman L, Buijko K, Lambin P. Nomograms to predict survival and the risk for developing local or distant recurrence in patients with rectal cancer treated with optional short-term radiotherapy. Ann Oncol. 2015; 26:928–35. https://doi.org/10.1093/annonc/mdv023.
9. Kawai K, Sunami E, Yamaguchi H, Ishihara S, Kazama S, Nozawa H, Hata K, Kiyomatsu T, Tanaka J, Tanaka T, Nishikawa T, Kitayama J, Watanabe T. Nomograms for colorectal cancer: A systematic review. World J Gastroenterol. 2015; 21:11877–86. https://doi.org/10.3748/wjg.v21.i41.11877.
10. Hedrick TL, Sawyer RG, Friel CM, Stukenborg GJ. A method for estimating the risk of surgical site infection in patients with abdominal colorectal procedures. Dis Colon Rectum. 2013; 56:627–37. https://doi.org/10.1097/DCR.0b013e318269d337.
11. Frasson M, Flor-Lorente B, Rodriguez JL, Granero-Castro P, Hervas D, Alvarez Rico MA, Brao MJ, Sanchez Gonzalez JM, Garcia-Granero E. Risk Factors for Anastomotic Leak After Colon Resection for Cancer: Multivariate Analysis and Nomogram From a Multicentric, Prospective, National Study With 3193 Patients. Ann Surg. 2015; 262:321–30. https://doi.org/10.1097/sla.0000000000000973.
12. Kiran RP, Attaluri V, Hammel J, Church J. A novel nomogram accurately quantifies the risk of mortality in elderly patients undergoing colorectal surgery. Ann Surg. 2013; 257:905–8. https://doi.org/10.1097/SLA.0b013e318279a93e.
13. Segelman J, Akre O, Gustafsson UO, Bottai M, Martling A. Individualized prediction of risk of metachronous peritoneal carcinomatosis from colorectal cancer. Colorectal Dis. 2014; 16:359–67. https://doi.org/10.1111/codi.12552.
M, Takahashi T, Tsuji A, Shinozaki K, et al. An internally and externally validated nomogram for predicting the risk of irinotecan-induced severe neutropenia in advanced colorectal cancer patients. Br J Cancer. 2015; 112:1709–16. https://doi.org/10.1038/bjc.2015.122.

15. Goossens-Beumer IJ, Derr RS, Buermans HP, Goeman JJ, Bohringer S, Morreau H, Nitsche U, Janssen KP, van de Velde CJ, Kuppen PJ. MicroRNA classifier and nomogram for metastasis prediction in colon cancer. Cancer Epidemiol Biomarkers Prev. 2015; 24:187–97. https://doi.org/10.1158/1055-9965.EPI-14-0544-T.

16. Zhang JX, Song W, Chen ZH, Wei JH, Liao YJ, Lei J, Hu M, Chen GZ, Liao B, Lu J, Zhao HW, Chen W, He YL, et al. Prognostic and predictive value of a microRNA signature in stage II colon cancer: a microRNA expression analysis. Lancet Oncol. 2013; 14:1295–306. https://doi.org/10.1016/S1470-2045(13)70491-1.

17. Hamada C, Sakamoto J, Satoh T, Sadahiro S, Mishima H, Sugihara K, Saji S, Tomita N. Does 1 year adjuvant chemotherapy with oral 5-FUs in colon cancer reduce the peak of recurrence in 1 year and provide long-term OS benefit? Japanese journal of clinical oncology. 2011; 41:299–302.

18. Tsuchiya T, Sadahiro S, Sasaki K, Kondo K, Katsumata K, Nishimura G, Kakeji Y, Baba H, Sato S, Koda K, Yamaguchi Y, Morita T, Matsuoka J, et al. Randomized phase III trial of treatment duration for oral uracil and tegafur plus leucovorin as adjuvant chemotherapy for patients with stage IIB/III colon cancer: final results of JFMC33-0502. Annals of oncology. 2015; 26:2274–2280.

20. Austin PC, Tu JV. Bootstrap methods for developing predictive models. Am Stat. 2004; 58:131–137.

21. Vergouwe Y, Steyerberg EW, Eijkemans MJ, Habbema JD. Substantial effective sample sizes were required for external validation studies of predictive logistic regression models. Journal of clinical epidemiology. 2005; 58:475–483.

22. Peduzzi P, Concato J, Feinstein AR, Holford TR. Importance of events per independent variable in proportional hazards regression analysis. II. Accuracy and precision of regression estimates. Journal of clinical epidemiology. 1995; 48:1503–1510.

23. Pencina MJ, D’Agostino RB. Overall C as a measure of discrimination in survival analysis: model specific population value and confidence interval estimation. Statistics in medicine. 2004; 23:2109–2123.

24. Collins GS, Reitsma JB, Altman DG, Moons KG. Transparent Reporting of a multivariable prediction model for Individual Prognosis Or Diagnosis (TRIPOD). Annals of internal medicine. 2015; 162:735–736.