Lung Cancer Immunotherapy in Transplant Patients and in Patients With Autoimmune Diseases

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The use of immune checkpoint inhibitors (ICIs) delivered great and new possibilities in modern treatment of many types of cancers. This therapy based on blockade of such molecules as CTLA-4 (cytotoxic T lymphocyte-associated antigen), PD-1 (programmed cell death receptor type 1), or PD-1 ligand (PD-L1) brings a new hope for patients with non-small cell lung cancer (NSCLC), melanoma, or head and neck squamous carcinoma. Efficacy of immunotherapy was proven in many clinical trials. Unfortunately, ICIs treatment was not addressed to the patients with preexisting allogeneic transplants or autoimmune diseases mainly due to high risk of transplant rejection, exacerbation of autoimmune diseases, and risk of serious toxicity. However, it is possible to receive anti-tumor response to ICIs treatment avoiding graft rejection by adjusting the immunosuppression. Obviously, it depends on the type of transplants: the use of immunotherapy is usually possible in kidney or corneal recipients, but it could be difficult in patients with liver and heart transplant. Therefore, the development of biomarkers for tumor response and transplant rejection in ICIs treated patients is essential. Data coming from published literature support the possibilities of using ICIs in patients with preexisting autoimmune diseases who undergoing proper management of side effects of immunotherapy or when the potential benefits of such treatment outweigh the potential risks. This depends on the type of autoimmune disease and may be difficult or not feasible in patients with systemic lupus erythematous or systemic sclerosis. Therefore, it may be appropriate to include cancer patients with preexisting autoimmune disease or with allogeneic transplants in clinical trials using immunotherapy when no other effective cancer treatment options exist.

Keywords: programmed cell death receptor type 1, PD-1 ligand, cytotoxic T lymphocyte-associated antigen, preexisting autoimmune disease, transplant recipients

INTRODUCTION

The treatment of non-small cell lung cancer (NSCLC) is one of the most serious challenges facing modern oncology. It is due to continuous increase in morbidity and mortality caused by this type of cancer. According to the epidemiological data available on the World Health Organization (WHO) websites, in 2040 the estimated increase in the number of lung cancer cases in the world and the
number of deaths resulting from this disease will be 72.5% and 76.3%, respectively. These data clearly indicate the need for continuous development of modern therapies that can improve the treatment outcomes and reduce the number of deaths (1). Enormous expectations are associated with the introduction of immune checkpoint-blocking antibodies into routine clinical practice; mainly programmed death receptor 1 (PD-1) or its ligand (PD-L1) (2–5). The published research results have clearly demonstrated the therapeutic value of this form of immunotherapy. It should be kept in mind that clinical studies constituting the basis for the registration of PD-1 or PD-L1 inhibitors usually did not include indications for use in patients with a history of lung cancer concurrent with autoimmune disease or patients after organ transplants in whom the use of immunosuppressive therapy could lead to immune system dysfunction significantly affecting the detection and elimination of cancer cells (1–6). Understanding the mechanisms determining the occurrence of immune toxicity symptoms and concern for the safety of patients during therapy raised a number of questions regarding the possibility of using this form of treatment in patients with concomitant autoimmune diseases. This is all the more important since, according to the literature data, concomitant autoimmune diseases occur in 14%–25% of patients diagnosed with NSCLC (1). The inability to use immunotherapy in this clinical situation would determine the need for the application of chemotherapy or radiation therapy, which would be a suboptimal treatment for many patients.

A beneficial therapeutic effect resulting from the use of antibodies against PD-1 (nivolumab, pembrolizumab) or PD-L1 (atezolizumab, durvalumab), manifested in longer disease-free or overall survival, was initially demonstrated in patients experiencing cancer progression after the first and subsequent courses of platinum-based chemotherapy. As demonstrated in the CheckMate 017 study, the use of nivolumab in patients diagnosed with squamous cell carcinoma led to longer time to disease progression (3.5 vs. 2.8 months) and overall survival (OS) (9.2 vs. 6.0 months) compared to standard docetaxel-based treatment (2). The use of nivolumab in patients diagnosed with non-squamous cell lung cancer was associated with an increase in OS (12.2 vs. 9.4 months) without affecting progression-free survival (PFS) (2.3 vs. 4.2 months) (3). Slightly different results were obtained in the Keynote-010 study analyzing the efficacy and safety of pembrolizumab at a dose of 2 mg/kg body weight or 10 mg/kg body weight in patients diagnosed with NSCLC experiencing progression after chemotherapy. In this study, the inclusion criteria were PD-L1 protein expression on at least 1% of cancer cells. As demonstrated in the analyzes, the use of pembrolizumab, regardless of dose (2 or 10 mg/kg), was associated with a significant increase in median OS compared to docetaxel (10.4 and 12.7 months for pembrolizumab 2 and 10 mg/kg, respectively, and 8.5 months for docetaxel). The effect of pembrolizumab on the median PFS was observed only in the group of patients with PD-L1 expression on the surface of at least 50% of cancer cells (4).

Understanding the role of PD-1 and PD-L1 interactions in the regulation of anti-tumor response has also initiated a number of studies to determine the effect of using anti–PD-L1 antibodies in the treatment of patients with NSCLC. One of them was the POPLAR study analyzing the efficacy and safety of atezolizumab in NSCLC patients experiencing progression after previous treatment. It has been demonstrated that the use of this antibody, compared to docetaxel, was associated with a significant increase in OS (12.6 vs. 9.7 months), with its therapeutic effect being especially apparent in patients with high percentage of tumor cells with PD-L1 expression (5). The POPLAR study was extended to the OAK phase III study. Compared to docetaxel, atezolizumab was associated with an increase in median OS (13.8 vs. 9.6 months, respectively), the effect being particularly evident in patients with high percentage of tumor cells with PD-L1 expression (mOS 15.7 vs. 10.3 months) and independent of the histological subtype of lung cancer. The benefit of using atezolizumab was also observed in patients without PD-L1 expression on cancer cells (12.6 vs. 8.9 months) (6).

The results of the above studies constitutes the basis for registration of PD-1 or PD-L1 inhibitors for the treatment of NSCLC after the failure of previous therapies based on platinum derivatives, and also inspired a number of studies analyzing the effect of their use in previously untreated patients. One of them was Keynote-024, a randomized Phase III study comparing the benefits of first line therapy with pembrolizumab to platinum-based chemotherapy in patients with advanced NSCLC expressing PD-L1 on the surface of at least 50% of cancer cells. It demonstrated that the use of pembrolizumab as first-line treatment, compared to standard chemotherapy, led to an increase in median PFS (10.4 vs. 6.0 months, HR = 0.50, p < 0.001) and OS (30.0 vs. 14.2 months, HR = 0.63, p = 0.002) (7).

Statistically significant improvement in median PFS or OS following the use of immune checkpoint inhibitors (ICIs) in monotherapy as the first-line or subsequent therapy and striving for the improvement of treatment outcomes gave birth to a series of concepts involving the combination of PD-1 or PD-L1 inhibitor-based immunotherapy and chemotherapy or radiation therapy (8–11). These concepts were based on a few assumptions. The first, that the increase in the expression of tumor antigens released from cancer cells destroyed by chemotherapy should lead to increased activation of the immune response directed against the tumor cells. Another resulted from the observations demonstrating the increase in tumor immunogenicity due to the induction of tumor cell apoptosis as a result of activation of immunogenic cell death resulting from the use of cytostatics. As a result, damage-associated molecular patterns (DAMPs), including calreticulin, occur on the surface of cancer cells, providing a signal for the activation of immune response directed against cancer cells and, as a consequence, the maturation of dendritic cells and activation of cytotoxic T lymphocytes (8).

This concept formed the basis for a number of studies analyzing the effect of combining chemotherapy and immunotherapy based on anti–PD-1 antibodies in the first-line treatment of patients with NSCLC. The use of pembrolizumab in combination with platinum-based chemotherapy as first-line
treatment of NSCLC patients with non-squamous cell histology (Keynote-189) led to an increase in the median PFS (9.0 vs. 4.9 months, HR = 0.48) and median OS (22.0 vs. 10.7 months, HR = 0.56), and the observed clinical benefit was independent of PD-L1 expression on tumor cells (9).

The Keynote-407 study analyzed the effect of the combined use of pembrolizumab and chemotherapy in the first-line treatment of patients with advanced squamous-cell NSCLC. The use of combination therapy resulted in prolonged median time to disease progression compared to chemotherapy (6.4 vs. 4.8 months, HR = 0.56) and median OS (15.9 vs. 11.3 months, HR = 0.64), with the therapeutic effect being independent of PD-L1 expression, similarly to Keynote-189 study results (10).

A remarkably interesting concept was the use of immunotherapy in consolidation treatment in patients with locally advanced NSCLC. As demonstrated in the PACIFIC study, the use of durvalumab following radical radiochemotherapy led to the increase in the median time to distant metastasis (11). Updated results from the study were presented at ASCO 2019 annual meeting. Median OS was not reached (NR; 95% CI, 38.4 months – NR) with durvalumab versus 29.1 months (95% CI, 22.1–35.1) with placebo. The 12-, 24-, and 36-month OS rates with durvalumab and placebo were 83.1% versus 74.6%, 66.3% versus 55.3%, and 57.0% versus 43.5%, respectively (7).

The presented beneficial therapeutic effects resulting from the use of immunotherapy based on checkpoint inhibitors are inextricably linked to the risk of complications following the activation of the immune system. The mechanism of their occurrence is not fully understood. As presented in the study of Postow et al., they may be the result of incorrect recognition of host cell antigens by the activated T cells, an increase in the concentration of antibodies recognizing autoantigens or an increase in the concentration of pro-inflammatory cytokines in tissues (12). The occurrence of immunological side effects in the course of therapy applies to almost all patients receiving PD-1, PD-L1 or CTLA-4 inhibitors, with approximately 15%–30% of patients reaching CTCAE (Common Terminology Criteria for Adverse Events) grade 3 or 4. In most patients, temporary cessation of therapy or the use of immunosuppressive treatment leads to amelioration or complete resolution of immunological toxicity enabling drug re-administration. In some patients, despite the use of steroids or other immunosuppressive medications, it is necessary to discontinue the therapy. This is more common in patients receiving anti-CTLA-4 antibodies than in patients treated with anti-PD-1 or PD-L1 (16% vs. <12%, respectively) (2, 13–18). The combined use of PD-1 and CTLA-4 inhibitors leads to a significantly higher percentage of grade 3 or 4 immune complications (46%–59% of patients) (19). The problem of toxicity of the applied immunotherapy is of particular importance in patients with concomitant autoimmune diseases.

In general, the emergence of autoimmune diseases is the result of disorders in the mechanisms that determine the tolerance of own antigens (8, 12, 19). They may be the consequence of incorrect elimination of autoreactive T lymphocytes during their maturation in the thymus, induction of antigen-specific regulatory T lymphocytes (Tregs), or they may stem from the disturbance in the mechanisms of peripheral tolerance, including antigen sequestration, determining varied immunogenicity of different autoantigen epitopes. Higher expression of proinflammatory cytokines in body tissues leads to increased expression of MHC (major histocompatibility complex) particles, co-stimulatory proteins, prostates, and subsequent host cell presentation of low immunogenicity epitopes recognized by the activated T cells (8). In the context of immunotherapy, the particularly important phenomenon is the lymphocyte anergy resulting from virgin lymphocyte stimulation only by a signal coming from the T cell receptor (TCR) in the absence of co-stimulatory signal coming from the CD28 receptor protein, which in turn can lead to lymphocyte death.

An additional factor inducing the state of anergy is the activation of immune checkpoints (e.g., CTLA-4, PD-1). This is important because stimulation of the PD-1 receptor inhibits the effector function of T cells in tissues, which is crucial for preventing the activation of autoreactive T cells in response to the autoantigen present in the body (8, 12, 19). In addition to maintaining peripheral tolerance, the interaction of PD-1 and PD-L1 plays a role in the selection of lymphocytes in the thymus and in immunologically privileged sites. Therefore, the use of therapy based on PD-1 blocking antibodies allows cytotoxic T lymphocytes to effectively destroy cancer cells at the cost of disrupting the process of self-antigen tolerance (20). Comprehension of these correlations helps explain a number of questions regarding the possibility of using immunotherapy in patients with concomitant autoimmune diseases.

POSSIBILITIES OF USING IMMUNOTHERAPY IN PATIENTS WITH CONCURRENT NEOPLASTIC AND AUTOIMMUNE DISEASES

ESMO (European Society for Medical Oncology) recommendations published in 2018 allow the use of immunotherapy based on PD-1, PD-L1, or CTLA-4-blocking antibodies in selected patients, noting that it may lead to exacerbation of the symptoms of autoimmune disease, requiring the use of immunosuppressive treatment (21). However, there are no study results that directly compare the toxicity resulting from the use of anti-PD-1 and anti-PD-L1 antibodies. However, it is commonly believed that side effects observed in the course of therapy with anti-PD-1 or anti-PD-L1 antibodies are less pronounced than those observed in the group of patients receiving anti–CTLA-4 antibodies or treated with a combination of anti–CTLA-4 and anti–PD-1 antibodies (22). Available literature data come from retrospective analyzes and include heterogeneous groups of patients both in terms of diagnosed cancers, applied immunotherapy, as well as the type of autoimmune disease.

Based on the literature analysis, Abdel-Wahab et al. presented the results of the use of immunotherapy in cancer treatment in...
123 patients with concomitant autoimmune diseases presented in 49 publications (23). The dominant diagnosed types of cancer were cutaneous melanoma (83.7%), followed by NSCLC (13%), renal cell carcinoma (2.4%), and Merkel cell carcinoma (0.8%). In addition, 83.5% of patients received treatment for autoimmune disease prior to the introduction of immunotherapy, 46.2% had symptoms of active disease at the start of immunotherapy, and 43.6% required treatment due to symptoms of active autoimmune disease. Most of the analyzed patients received PD-1 inhibitors (52%) as part of their immunological treatment. Ipilimumab was used in 44% of patients included in the analyzes. Symptoms of toxicity related to immunotherapy were found in 75% of patients, with recurrence or exacerbation of concomitant autoimmune disease symptoms in 41% of patients, while 25% of patients had previously unobserved clinical symptoms, the most common of which were colitis (14%) and hypopituitarism (5%). Importantly, there were no differences in the incidence of adverse events associated with immunotherapy in patients with active and inactive autoimmune disease (67% vs. 75%). Exacerbation of autoimmune disease symptoms has been more frequently observed in patients receiving PD-1 or PD-L1 inhibitors than CTLA-4 inhibitors (62% vs. 36%). Whereas, the use of ipilimumab, compared to nivolumab or pembrolizumab, was associated with more frequent occurrence of immune toxicity symptoms, which had not been observed in patients with autoimmune diseases until the start of immune treatment (42% vs. 26%). The occurrence of adverse effects implied the need for high-dose corticosteroids in 62% of patients, which led to clinically significant improvement in the condition of 90% of these patients. In 17% of patients, despite the use of immunosuppressive therapy, it was necessary to discontinue treatment with ICIs. Five patients with autoimmune disease receiving immunotherapy died due to serious adverse events related to the treatment or progression of cancer (23–26). The occurrence and intensification of the toxicity associated with the applied immunotherapy was correlated with the recorded response to treatment. Partial or complete remission of the neoplastic lesions was found in 50% of patients experiencing adverse effects and 35.7% of patients in whom no complications of the applied treatment were reported.

The above observations are consistent with the results presented by Tison et al., who showed exacerbation of autoimmune disease symptoms in 47% of patients receiving immunotherapy, while in 84% of patients these symptoms did not differ from those observed before the introduction of treatment. On the other hand, intensification of the flare phenomenon was observed mainly in patients whose immunosuppressive therapy was completed less than 3 months before the start of immunotherapy (27).

Interesting observations were also provided by Leonardi et al. (1). The authors analyzed the available literature and identified 56 patients with advanced NSCLC receiving PD-1 or PD-L1 inhibitors who had a history of autoimmune diseases associated with inflammatory changes in the joints, skin and subcutaneous tissue as well as endocrine glands or autoimmune colitis. Seven patients had more than one autoimmune disease. At the start of immunotherapy, 10 of the analyzed patients had symptoms of active autoimmune disease and 11 patients were receiving immunosuppressive or immunomodulatory treatment. The exacerbation of the symptoms of autoimmune disease in the course of immunotherapy concerned only some patients and was characterized with low clinical severity, occasionally requiring intravenous corticosteroid use and withholding the cancer treatment. In most patients experiencing the exacerbation of autoimmune disease, no new symptoms resulting from stimulation of the immune system were observed, and none of the patients included in the study needed complete withdrawal of the immunological treatment. Exacerbation of disease symptoms was more frequently observed in patients whose cancer treatment was initiated in the active phase of the autoimmune disease, while the use or absence of immunosuppressive therapy did not significantly affect the severity of autoimmune symptoms after starting anti–PD-1 or anti–PD-L1 therapy (36% vs. 20%, p = 0.43). Immunological adverse effects resulting from the antineoplastic therapy were present in 38% of patients and were usually of low severity. Grade 3 or 4 was found in 11% of patients, which is a percentage comparable to that observed in clinical studies excluding patients with concomitant autoimmune diseases at the recruitment stage (7%–15%) (1, 13). Only 4 patients required intravenous corticosteroids due to complications. The severity of adverse effects associated with the conducted immunotherapy was the reason for its premature termination in 8 patients (14%), which is a slightly higher percentage than that observed in patients without immune-related diseases (1, 13). Importantly, the use of ICIs in the first or subsequent lines of treatment had no effect on the risk of immune-related complications associated with the therapy or exacerbation of concomitant autoimmune disease. Analyzing the obtained responses, the overall response rate (ORR) of 22% was found in patients with concomitant autoimmune diseases treated with PD-1 or PD-L1 inhibitors, and the frequency of the observed exacerbations of the disease did not correlate with the noted response to immunological treatment (1, 28).

Another analysis, presented by Danlos et al. included 45 patients mainly with either cutaneous melanoma (36 patients) or NSCLC (6 patients), in whom immunotherapy was used to treat cancer despite the presence of autoimmune disease (29). The results of the analyzes were compared with data from 352 patients, with no history of autoimmune diseases, receiving PD-1 or PD-L1 inhibitors for cancer treatment. In result of the applied immunotherapy, the symptoms of immunological toxicity, mainly grade 2 and 3, occurred in 20 patients, whereby in 11 patients these complications were the result of exacerbation of the symptoms of autoimmune disease. The use of corticosteroids led to complete resolution of symptoms in 9 patients and allowed to continue the therapy with anti–PD-1 antibodies in 15 out of 20 patients. Interestingly, in 16 patients with concomitant autoimmune diseases, neither exacerbation of the autoimmune disease symptoms nor the occurrence of toxicity associated with the conducted immunotherapy was observed during the follow-up period (median 5.1 months). In the case of treatment with
anti–PD-1 antibodies, the history of autoimmune diseases determined a higher percentage of observed complications (44.4% vs. 29%) and a reduction in median time to treatment-related side effects (5.4 months vs. 13.0 months). Similarly to other analyses, the results obtained by Danlos et al. did not demonstrate impact of autoimmune diseases on OS and ORR in patients receiving treatment with ICIs.

Slightly different results were presented by Cortellini et al. (30). Based on clinical practice data, they showed a significantly higher frequency of complications of any CTCAE (Common Terminology Criteria for Adverse Events) grade associated with immunotherapy in patients whose cancer coexisted with autoimmune disease compared to that observed in the general population (65.9% vs. 39.9%) (30). The rates of grade 3 or 4 toxicities associated with the immunotherapy were not significantly different in both groups. More importantly, the presence of autoimmune disease was also unaffected by the median PFS and OS in result of the use of anti–PD-1 or anti–PD-L1 antibodies.

Due to the retrospective nature and relatively small groups of patients, the above analyzes should be interpreted with great caution. With one possible exception constituted by the analyzes of Weinstock et al., which included a total of 837 patients diagnosed with autoimmune disease receiving PD-1 or PD-L1 antibodies, the history of autoimmune diseases may affect the quality of reporting adverse effects associated with immunotherapy during treatment mainly involved the use of PD-1 inhibitors (77%), while (8%) diagnosed in the course of immunosuppression after organ transplants. The use of immunosuppressive therapy is associated with a significantly increased risk of cancer (34, 35), which is the second most common cause of death in these patients (36). The risk of death in this group of patients is additionally dependent on the severity of the symptoms of immunosuppression toxicity, as well as on the selection of cancer therapy which, due to the risk of transplant rejection, may be suboptimal for a particular cancer treatment. It is also not entirely clear whether rejection of transplanted organs is a direct result of the use of immunotherapy based on PD-1, PD-L1, and CTLA-4 inhibitors or impaired immune response following treatment (37, 38). Moreover, no reliable risk factors for the rejection of the transplanted organ as a result of the applied immunotherapy have been established, nor has the immunotherapy scheme leading to a specific balance between therapeutic benefit and the risk of transplant rejection been described (28).

Another extremely interesting issue is the possibility of using immunotherapy in the treatment of cancer in patients after organ transplants. The use of immunosuppressive therapy is associated with a significantly increased risk of cancer (34, 35), which is the second most common cause of death in these patients (36). The risk of death in this group of patients is additionally dependent on the severity of the symptoms of immunosuppression toxicity, as well as on the selection of cancer therapy which, due to the risk of transplant rejection, may be suboptimal for a particular cancer treatment. It is also not entirely clear whether rejection of transplanted organs is a direct result of the use of immunotherapy based on PD-1, PD-L1, and CTLA-4 inhibitors or impaired immune response following treatment (37, 38). Moreover, no reliable risk factors for the rejection of the transplanted organ as a result of the applied immunotherapy have been established, nor has the immunotherapy scheme leading to a specific balance between therapeutic benefit and the risk of transplant rejection been described (28).

Despite the significance of the issue, the available literature data are limited and include mostly case reports (39–41) or results of retrospective analysis carried out in small groups of patients. Very interesting data come from analyzes performed by Abdel-Wahab et al. (36). The authors of the study analyzed the result of the use of immunotherapy administered as life-saving treatment in 39 patients with melanoma (62%), cutaneous squamous cell carcinoma (15%), hepatocellular carcinoma (10%), or NSCLC (8%) diagnosed in the course of immunosuppression after kidney (59%), liver (28%), or heart (13%) transplantation. The treatment mainly involved the use of PD-1 inhibitors (77%), while the combination of anti–PD-1 and anti–CTLA-4 antibodies was used in only 3% of patients. The time from transplantation to the introduction of immunological treatment ranged from 1 to 32 years (median 9.0 years). In result of the applied treatment, 16 patients (41%) experienced immunological reactions promoting the rejection of the transplanted organ; the median time from the start of immunotherapy to the onset of the said reactions was 21 days. Despite the reintroduction of immunosuppressive therapy, definitive transplant rejection occurred in 13 of these patients.
was used in 9 cases. Allograft rejection due to immunotherapy was
with ipilimumab, and combined anti-
patients) in immunological treatment. 13 patients were treated
completing organ transplantation to initiating immunotherapy
hepatocellular carcinoma (12 patients) and squamous cell
predominant maligancy (46 patients). The next ones were
transplantation in 6 of them. Skin melanoma was the
of 83 solid organ recipients, among whom kidney transplants were
(44). They identifi
cancer incidence in this group of patients. In every patient, the use of immunotherapy should be
preceded by a detailed discussion of all risks associated with the
therapy, including those resulting from transplant rejection, and
the final decision should be made in consultation with the
patient by a multidisciplinary medical team working closely
with specialists in the field of transplantation or clinical
immunology. Patients with pre-existing autoimmune disease
always should be offered with clinical trials. According to
clinicalTrials web page there are two trials dedicated for patients with pre-existing autoimmune disease: NCT03656627
(Nivolumab in Treating Patients With Autoimmune Disorders or Advanced, Metastatic, or Unresectable Cancer) and
NCT03816345 [A Phase Ib Study of Nivolumab in Patients With Autoimmune Disorders and Advanced Malignancies
(AIM-NIVO)].

**AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct, and intellectual contribution to the work. TK wrote the first draft of the manuscript. MN and PK contributed to preparation of the submitted and revised versions of the manuscript. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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