Mechanical-force-induced non-local collective ferroelastic switching in epitaxial lead-titanate thin films

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Ferroelectric switching in ferroelectric/multiferroic oxides plays a crucial role in determining their dielectric, piezoelectric, and magnetoelectric properties. In thin films of these materials, however, substrate clamping is generally thought to limit the electric-field- or mechanical-force-driven responses to the local scale. Here, we report mechanical-force-induced large-area, non-local, collective ferroelastic domain switching in PbTiO3 epitaxial thin films by tuning the misfit-strain to be near a phase boundary wherein c/a and a1/a2 nanodomains coexist. Phenomenological models suggest that the collective, c-a-c-a ferroelastic switching arises from the small potential barrier between the degenerate domain structures, and the large anisotropy of a and c domains, which collectively generates much larger response and large-area domain propagation. Large-area, non-local response under small stimuli, unlike traditional local response to external field, provides an opportunity of unique response to local stimuli, which has potential for use in high-sensitivity pressure sensors and switches.
domain structure and its switching behavior are crucial to material properties, including dielectric and piezoelectric response in ferroelectrics and magnetoelectric coupling in multiferroics\textsuperscript{1–3}. In particular, for ferroelectrics, ferroelastic switching (i.e., non-180° switching events) can give rise to large dielectric and electromechanical responses due to strong lattice strain-polarization coupling\textsuperscript{4–7}. Furthermore, large-area ferroelastic switching under small stimuli can also be vital for magnetoelectric coupling in multiferroics, which are being considered for low-power electric field-controlled spintronic devices\textsuperscript{8–10}. Large-area ferroelastic switching in ferroelectrics, however, has typically only been observed in bulk materials\textsuperscript{11–12}. In fact, it is generally thought that ferroelastic switching is quenched in ferroelectric epitaxial thin films due to substrate constraints\textsuperscript{14–16}. In order to reduce substrate clamping and facilitate ferroelastic domain switching, several approaches have been explored\textsuperscript{17–24}. For example, by fabricating thin films into micro- or nanoscale islands with lateral dimensions on the order of the thickness of the film, researchers have released lateral constraint from the substrate, thereby enabling larger fractions of ferroelastic switching\textsuperscript{25}. Such approaches require lithography and/or milling/etching, both of which are time-consuming and challenging. Furthermore, the lateral sizes of the features must be very small, limiting the ability to produce ferroelastic switching across large areas. Therefore, despite considerable efforts, it remains a challenge to achieve large-area ferroelastic switching in ferroelectric epitaxial thin films.

It is also known that long-range interactions (i.e., dipole–dipole electrostatic and elastic interactions) in ferroelectrics could induce collective behavior during domain switching\textsuperscript{25–30}. For instance, phenomenological approaches have revealed that the electrostatic interaction between switched nuclei in ferroelectric thin films with 180° stripe domains can be long range and induce collective nucleation/switching, thereby effectively reducing the switching barrier\textsuperscript{27}. Moreover, the domain-switching process in ferroelectric ceramics is thought to be a highly correlated collective process such that the switching process in one grain affects that in the neighboring grains because of inter-grain elastic interactions\textsuperscript{28}. Such collective behavior and any resulting large responses would be more evident in ferroelectrics perched near a phase boundary wherein two phases are nearly energetically degenerate and can be interconverted by small external stimuli\textsuperscript{28–31}. While most observations of collective switching behavior have been observed in bulk or polycrystalline thin films of ferroelectrics\textsuperscript{28–30,32}, work in epitaxial thin films has shown that ferroelastic switching, with enhanced piezoelectric response, can be obtained in thin films of tetragonal ferroelectrics such as PbZr\textsubscript{2/3}Ti\textsubscript{1/3}O\textsubscript{3} by fabrication of micro- or nanoscale islands\textsuperscript{3,33}. More recently, ferroelastic switching was also observed in tetragonal ferroelectric thin films when they were grown on the right lattice-(mis)matched substrates\textsuperscript{18,19}. At the same time, the use of scanning-probe excitation has also opened the door to induce and control ferroelastic switching via a combination of applied voltages and tip motion\textsuperscript{4,34,35}. Despite these advances, however, previous studies have illustrated only local ferroelastic domain-switching behaviors (i.e., directly under or very close to the poling region). It is generally well accepted that such effects will be highly localized as the elastic clamping of the substrate limits the ability to create large-scale ferroelastic changes in the domain structure.

Recent studies have identified epitaxial strain approaches to create multiple nanoscale-domain structures co-existing in PbTiO\textsubscript{3} thin films\textsuperscript{36} which provides an intriguing system to explore in this regard. More specifically, 40-nm-thick, (001)-oriented films of PbTiO\textsubscript{3} grown on DyScO\textsubscript{3} (110)\textsubscript{O} (where the O denotes orthorhombic indices) experience a compressive strain that drives the formation of traditional c/a domain structures, while films grown on NdScO\textsubscript{3} (110)\textsubscript{O} substrates with large tensile strain exhibit a/a\textsubscript{2} domain structures, and films grown on SmScO\textsubscript{3} (110)\textsubscript{O} substrates with a strain state between that of DyScO\textsubscript{3} and NdScO\textsubscript{3} exhibit a coexistence of both c/a and a/a\textsubscript{2} domain variants\textsuperscript{36}. In effect, epitaxial strain can be used to place this material on the brink of a transition between domain-structure variants and is an ideal route by which to explore the potential for large responses and collective effects\textsuperscript{37,38}.

Here, we focus on PbTiO\textsubscript{3} epitaxial heterostructures with co-existing c/a and a/a\textsubscript{2} nanodomains by tuning the misfit strain to be near a phase boundary. Electric field-poling studies via scanning-probe microscopy reveal that reversible and localized ferroelastic switching can be achieved by fine control of the out-of-plane poling voltage. Local mechanical force induced by the tip of an atomic force microscope, on the other hand, can drive large-area, non-local ferroelastic switching—much larger than the contact area. Using Landau phenomenological theory including phase-field simulation\textsuperscript{39} and polydomain theory\textsuperscript{40}, further insights into the origin of the large-area, non-local, collective ferroelastic switching behavior with respect to co-existing energetically degenerate nanodomain variants are provided.

**Results**

**Epitaxial growth of PbTiO\textsubscript{3} thin films.** 70 nm PbTiO\textsubscript{3}/20 nm Ba\textsubscript{0.5}Sr\textsubscript{0.5}RuO\textsubscript{3}/SmScO\textsubscript{3} (110)\textsubscript{O} heterostructures were deposited by electric-field-pulsed laser deposition (“Methods”).\textsuperscript{36} The nominal misfit strain between the PbTiO\textsubscript{3} film and substrate, controlled to be near a critical tensile strain (Supplementary Fig. 1), is close to the middle of the critical misfit strains of 0.2% (below 0.2%, c/a-domain structures are favored) and 0.8% (above 0.8%, a/a\textsubscript{2}-domain structures are favored) where a nearly equal coexistence of the c/a- and a/a\textsubscript{2}-domain variants is expected\textsuperscript{36}. X-ray diffraction studies and reciprocal space mapping analysis (Supplementary Figs. 2 and 3) reveal the presence of high-quality, epitaxial growth of the single-phase PbTiO\textsubscript{3} films with a and c domains. Cross-section, bright-field transmission electron microscopy (TEM) (Fig. 1a) and plan-view high-angle annular dark-field-scanning transmission electron microscopy (HAADF-STEM) (Fig. 1b) studies confirm the presence of c/a-domain variants with domain walls parallel to the [111]\textsubscript{O} (i.e., [101] in pseudocubic indices), and a/a\textsubscript{2}-domain variants with domain walls along the [111]\textsubscript{O} and [111]\textsubscript{O} (i.e., [110] and [110] in pseudocubic indices, respectively) and domain widths of ~30 nm (Fig. 1b). Note that the a/a\textsubscript{2} domains are obscured in the cross-section TEM since the a/a\textsubscript{2} domain walls are not aligned along the projected zone axis\textsuperscript{41}. Likewise, the c/a domains are obscured in the plan-view imaging as their domain walls run through the thickness of the sample. Local strain fields are assessed by geometric phase analysis (GPA, Gatan Digital Micrograph) and visualized using the Gatan Digital Micrograph software\textsuperscript{42}. As compared with the lattice parameters of the bulk counterpart, the in-plane strain (Fig. 1c) and lattice rotation (Fig. 1d) maps extracted from the HAADF-STEM lattice image of the selected area (red square, Fig. 1b) show near perfect periodic elastic fields within the a/a\textsubscript{2} domains (head-to-tail domain structures, Fig. 1d). Furthermore, the lattice rotation map reveals relatively large fluctuations especially near the needle-shaped domains (yellow square, Fig. 1d), suggesting a high degree of structural softness (Fig. 1d)\textsuperscript{31}. Previous studies in ferroelectric KH\textsubscript{2}PO\textsubscript{4} crystals found that the interaction between such needle-shaped domain tips is long range\textsuperscript{43,44}. The observed needle domains in these PbTiO\textsubscript{3} films may also enhance the mobility of domain walls and long-range interactions\textsuperscript{42,43}. The co-existing domain structure is further confirmed by piezoresponse force microscopy.
applied bias, the c/a-domain structures begin to switch back into $a_1/a_2$ domains (with low vertical amplitude, Fig. 2c). By +2.5 V applied bias, the upward pointing c-domain regions gradually disappear (Fig. 2d). Upon further increasing the poling voltage to +3 V, the $a_1/a_2$-domain structures are again interconverted back to c/a-domain structures, but this time with the c variants poled downward (Fig. 2e). As revealed above, the domain evolution under stepwise voltage suggests that ferroelastic switching readily occurs and is reversible as per the process described herein (Fig. 2f). Although c/a domains can be switched back to $a_1/a_2$ domains by application of large in-plane voltage (which also requires lithographic patterning) as illustrated in earlier studies\(^\text{36}\), it is interesting to note that one can also switch the c/a domains back into $a_1/a_2$ domains step-by-step with a small and simple out-of-plane electric field. This further suggests the rather small energy barrier between these domain structures in the films.

**Mechanical force-induced non-local ferroelastic switching.** The above demonstration of reversible $a_1/a_2$ to c/a ferroelastic switching by purely out-of-plane electric fields, however, is found to be localized, probably due to weak electrostatic interactions. To trigger a larger area non-local switching, an appropriate driving force, something more influential than electrostatics, is required. In this regard, compared with electric field, mechanical force has the potential to provide the long-range elastic interaction necessary to drive these effects\(^\text{36}\). It is important to note that several studies of mechanical force-induced domain switching in ferroelectric thin films (typically due to flexoelectric effects) have been reported\(^\text{47,48}\). Only local response to the applied mechanical force, however, was observed in those studies. This is again due to the large compressive misfit strain which likely favors c domains and thus hampers ferroelastic switching. To enable collective domain switching, the system should be carefully tuned to the brink of a structural instability, as we have achieved here by delicately controlling the epitaxial strain.

We apply a point array of force using an atomic force microscope Fmap (“Methods”) on an area of the film which possesses a majority of $a_1/a_2$ domain areas (Supplementary Fig. 4). The force mapping is completed in a 2 × 2 array of points (the tip radius is only ~25 nm) at the corners of a 1 × 1 µm area within a 2 × 2 µm scanned area (Fig. 3a–d). After the application of a setpoint with voltage of 2 V, corresponding to a force of ~600 nN (“Methods”), to the noted positions, a dramatic change in the domain structure occurs even outside the tip-sample contact area and appears in both the topography (Fig. 3a, b) and out-of-plane PFM amplitude (Fig. 3c, d) images. More specifically, it is found that the majority of the initial $a_1/a_2$-domain structures across the entire scanned area are converted to c/a-domain structures. We note that the changes can extend across nearly the entire 2 × 2 µm scanned area (Fig. 3b, d, and Supplementary Fig. 5). These changes are made more evident by extracting the evolution of the surface morphology and out-of-plane PFM amplitude (dashed lines, Fig. 3a–d) across these switched areas (which is outside the tip-sample contact region). After applying the local mechanical force, the average height and out-of-plane PFM amplitude of the switched area are ~800 pm and ~300 pm higher than those of the as-grown $a_1/a_2$ domains (Fig. 3e, f), respectively; clearly demonstrating that large-area, non-local ferroelastic switching occurs (Supplementary Figs. 6–8).

**Phase-field simulations of the domain switching under tip-induced mechanical force.** To understand the large non-local response observed herein, we employed phase-field simulations (“Methods”) to model the domain switching under tip-induced mechanical force\(^\text{49}\). Since the observed non-local response occurs
in the areas where \(a_1/a_2\) domains dominate, we start from a quasi-stable state of \(a_1/a_2\) domains under a 0.5%-strain state (time step 0, Fig. 4a). Upon application of the four-point 600 nN tip force in a \(2 \times 2\) array, non-local \(a_1/a_2\) to \(c/a\) switching gradually occurs which eventually penetrates through the entire film thickness (with \(c/a\) walls oriented 45\(^\circ\) in the cross-section \(x-z\) plane) (Fig. 4), consistent with the experimental results. After applying the local mechanical force, the initial \(a_1/a_2\) domains beneath the probe tip remain unchanged because the large, tip-induced out-of-plane compression would favor \(a\) domains with
in-plane polarization (Supplementary Fig. 9). The lattice of as-grown, in-plane polarized $\alpha$ domains under the tip-induced pressure will slightly expand along the in-plane direction. To match the epitaxial tensile strain, $\gamma$ domains are formed adjacent to the $\alpha$ domains to locally decrease the average in-plane lattice parameter, resulting in head-to-tail $c/a$-domain structures. Moreover, near the tip-sample contact area, strain-gradient induced, out-of-plane flexoelectric fields would also favor the formation of $\gamma$ domains (Supplementary Fig. 10). Under these combined effects, more $\gamma$ domains emerge at the top surface of the film near the tip-sample contact area (Fig. 4b, c). Eventually, $\gamma$ domains penetrate all the way across the film over a large area to maintain the lowest total free energy (Fig. 4d–f). Based on the phase-field simulations, the Landau free energy and electrostatic-energy density both decrease during the formation of the $\gamma$ domains, while the gradient-energy density increases due to the formation of domain walls (Supplementary Fig. 11). This domain propagation is also evident in the in-plane tensile strain fields, which expand gradually into a large area corresponding to the formation of the $\gamma$ domains (Supplementary Fig. 12). Again, to match the epitaxial tensile strain, $\beta$ domains are favored next to $\gamma$ domains, then, followed with $\alpha$ domains. Since the surrounding $\alpha_1/\alpha_2$ domains are already relatively unstable under the tensile stress surrounding the probe tip, the system is driven into successive switching with energetically favorable head-to-tail $c/a$ configurations co-existing with remaining $\alpha_1/\alpha_2$ domains until a new energy equilibrium is reached. Since the structure prefers to maintain head-to-tail domain structures to minimize the total energy, the change of domain structures in a local area will release energy and eventually propagate across long distances, leading to collective switching.

**Discussion**

Such a collective domain switching process is strongly related to the potential energy barrier between the two domain-structure variants. Based on Landau-type phenomenological theory for polydomain structures, the calculated Landau free-energy densities of the $\alpha_1/\alpha_2$ and $c/a$ domain patterns are equal at the critical strain of $\sim0.46\%$ at 300 K; however, at high temperature, such as 500 K, are about $-22$ MJ/m$^3$ and $-19$ MJ/m$^3$, respectively, with an energy difference of only $\sim3$ MJ/m$^3$ (Supplementary Fig. 13). The transition barrier between the $\alpha_i/\alpha_{i-}$ and $c/a$-domain patterns is much lower than the energy required for the polarization switch, such as the $c^+ \leftrightarrow c^-$ of about 48 MJ/m$^3$ (Supplementary Fig. 13). Such a small energy barrier between the $\alpha_1/\alpha_2$ and $c/a$-domain variants gives the possibility of domain switching propagation. Since the initial domains formed in the as-grown heterostructures are dominated by the $\alpha_1/\alpha_2$ domains due to the relatively lower free-energy potential, external stimuli that overcomes the initial energy barrier will force the system to reconfigure into a more favorable state (i.e., co-existing $\alpha_1/\alpha_2$ and $c/a$ domains). Specifically, the mechanical force applied by the probe tip can gently lower the energy barrier and drive the system to a final state favoring a domain pattern with the coexistence of $\alpha_1/\alpha_2$ and $c/a$ domains.

This is further supported by phase-field simulations of similar films under different strain states. When the misfit tensile strain is $<0.2\%$, the $c/a$ domains dominate and the $\alpha_1/\alpha_2$-domain structure is unstable even before applied tip forces (Supplementary Figs. 14a–c). For films under misfit strains $>0.3\%$, however, the pure $\alpha_1/\alpha_2$-domain structures are in quasi-steady state (Supplementary Fig. 14d–e). We also note that the thickness effect in the phase-field simulation is small (Supplementary Fig. 15). In a small range of misfit strains (0.3–0.5%), non-local response is triggered upon the application of tip pressures, accompanied with a sudden decrease of the total free energy, indicating that the newly formed co-existing $c/a$ and $\alpha_1/\alpha_2$ domains have lower total free energy than the original $\alpha_1/\alpha_2$-domain structures (Fig. 5a). It is found that the $\alpha_1/\alpha_2$ domains become more favorable with increasing tensile strain, and thus the initial total free energy density ($t=0$) decreases with increasing misfit strain ($u_m$), and the decrease of the total average-free-energy density becomes smaller with subsequent collective switching. Such collective switching disappears upon further increasing the misfit strain. For example, films under a tensile strain of 1% have an equilibrium state of $\alpha_1/\alpha_2$ domains and only subtle changes exist near the tip contact area (Fig. 5b). Ultimately, both the experiments and phase-field simulations reveal that the delicately balanced elastic field in such systems with co-existing domains is crucial for the
large response since the elastic field in the film can be easily rebuilt and extended under external perturbation.

In summary, we have successfully demonstrated a long-range, non-local ferroelastic switching behavior in tensile-strained PbTiO₃ thin films on SmScO₃ (110) substrates wherein a₁/a₂- and c/a-domain structures coexist. Due to the low-energy barrier between the energetically degenerate periodic domain structures, an exotic mechanical force-induced ferroelastic switching with an area much larger than the direct contacting points is observed, accompanied by a large change in topography and piezoelectric response. Phase-field simulations reveal that the nearly energetically degenerate nature of the different domain states is the cause of the delicately balanced elastic field, which is responsible for the large response since the elastic field can be easily rebuilt and extended under external perturbations. Our results pave a new way for possible applications in sensitive mechanical sensors and switches by manipulating the ferroelastic switching behavior of ferroelectric thin films.

Methods

Thin film synthesis. Heterostructures were grown via pulsed-laser deposition using a KrF excimer laser (248 nm, Compex, Coherent). Epitaxial 70-nm-thick PbTiO₃ films were grown on 20 nm Ba₅Sr₅RuO₃/SmScO₃ (110)₀ substrates (CrysTec GmbH, Germany) from ceramic targets. The Ba₅Sr₅RuO₃ layer was grown at a temperature of 750 °C in a dynamic oxygen pressure of 20 mTorr at a laser repetition rate of 5 Hz and a laser fluence of 1.9 J/cm². The PbTiO₃ layer was grown at a temperature of 675 °C, in a dynamic oxygen pressure of 50 mTorr at a laser repetition rate of 10 Hz, and a laser fluence of 1.9 J/cm². Following growth, the heterostructures were cooled down to room temperature at a rate of 20 °C/min, in a steepness of the energy changes.

Phase-field simulations. In the phase-field simulations of domain switching under mechanical tip pressure, we use polarization vector P = (P₁, P₂, P₃) as the order parameter to describe the ferroelectric state in the PbTiO₃ thin film. The temporal evolution of P_i (i = 1–3) is calculated by minimizing the total free energy F with respect to P_i, via numerically solving the time-dependent Landau–Ginzburg–Devonshire (LGD) equations, where x is the spatial position (with x, y, z axes along the [100], [010], and [001] Cartesian coordinate directions), t is the time, L is the kinetic coefficient related to the domain wall mobility. The total free energy F of the PTO thin film includes the Landau, gradient, elastic, electrostatic, and flexoelectric energies, which is written as, where V is the total volume of the system, εₐ and Ei denote the components of strain and electric fields, V is the gradient operator. Detailed expressions of each free-energy density can be found in the Ref. 47. Equation (1) is numerically solved using a semi-implicit spectral method[52] based on a 3D geometry sampled on a

**Fig. 5** Phase-field simulation of domain evolution under various strain states. **a** Total free-energy density changes after the probe tip-induced force exerted on an initially pure a₁/a₂ domain structure of the films subjected to various tensile strains ranging from +0.3% to 0.6%. The corresponding arrows indicate the steepness of the energy changes. **b** Phase-field simulations of mechanical force-induced domain evolution of the films with a₁/a₂ domains subjected to 1% tensile strain. Probe tip force locations are noted by four circles on the film surface.
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Author contributions

X.Y.L. and Z.H.C. designed the experiment and analyzed the results with W.W.C. and L.W.M. Z.H.C. coordinated the project and data analysis. X.Y.L. carried out PFM experiments and performed the Landau-type phenomenological calculation. Y.C. performed the phase-field simulation in collaboration with L.Q.C. Y.L.T. carried out TEM experiments. S.S. and R.X. grew the films. Z.H.C., Z.Z. and Y.Q.D. carried out the X-ray studies. L.X.Y., Z.H.C. and L.W.M. supervised the study and wrote the manuscript with the help from all other authors.

Additional information

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