Research Article
Numerical Study on the Entrance Effect of Penetration into Concrete Targets

Huawei Yang,1 Ji-wei Luo,1 Jie Zhang,2,3 and Pei-wen Zhang1

1College of Management Science and Engineering, Shanxi University of Finance and Economics, Taiyuan 030006, China
2Shanxi Key Lab. of Material Strength & Structural Impact, Taiyuan 030024, Shanxi, China
3Institute of Applied Mechanics, Taiyuan University of Technology, Taiyuan 030024, Shanxi, China

Correspondence should be addressed to Pei-wen Zhang; zhangpw@sxufe.edu.cn

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Investigation on penetration into concrete targets is of great importance as concrete is widely used as the fundamental construction material. To achieve a more accurate prediction of penetration depths of concrete targets, a further study was conducted to explore the entrance effect by using AUTODYN hydrocode in this study. The numerical results on both deceleration-time history and depth of penetration of projectiles are in good agreement with experimental data, which demonstrate the feasibility of the numerical model in these conditions. A new target model was established with a predrilled hole around the symmetry axis to simulate the entrance effect of the crater phase on the penetration process. Compared with the regular target, the predrilled target enters the peak of acceleration earlier, leading to the reduction of the depth of penetration. In addition, simulation results indicated that nose shape significantly influenced crater region depth, while the depth was independent of the impact velocity and the target strength. Based on the simulation of entrance effect, a modified formula of penetration depth has been proposed and validated in terms of different nose shapes. The crater region depths obtained from the simulations can improve the accuracy of the predictions of the penetration depths for the penetration of concrete targets.

1. Introduction
In view of the protection mechanism of materials and structures under dynamic impact, such as precision strikes, explosion, and earthquake, the dynamic behaviours of metallic materials and nonmetallic materials such as steel [1], soil [2, 3], concrete [4, 5], and cellular structures [6–8] have been studied systematically in terms of experiment with SHPB or explosion tests, numerical simulations with LS-DYNA, AUTODYN, and theoretical analysis based on dynamic constitutive relation. As for concrete, it has played an important role in constructions for a long time. It is of great importance to study the anti-impact performance of concrete within the perspective of protective engineering. To make a more accurate prediction of penetration depths of concrete targets is of great importance in the underground defensive project. Penetration is a process with large strain, high strain rate, and high pressure, in which the dynamic responses of both the projectile and target are very complicated [9], especially for concrete targets that exhibit complicated nonlinear behavior under dynamic loadings [10]. In addition, the effects of strain rate and crack propagation on the mechanical properties of concrete have not been clearly described due to its brittle properties, which play important roles in the penetration process. Thus, it is difficult to make accurate predictions of the penetration process for concrete targets.

In the past decades, sets of experiments have been performed to investigate the penetration into concrete targets by different projectiles, and a number of empirical formulations have been developed to describe the experimental phenomena and results. Most of these formulations are unit dependent that complicate the comparison of different experimental results. The recent progress in the formulation and modelling of local impact effects in concrete targets penetrated by hard missiles was summarized in detail [11, 12]. In subsequent works, modified and simplified formula equations based on the previous work were
proposed and validated [13, 14]. Obviously, the formulations are consistent with the experimental data. However, each empirical formulation has an individual application range in terms of mass, velocity, material strength, the geometry size of projectiles, and the mechanical properties of the concrete targets.

The penetration process for thick concrete targets has two main stages: initial crater and hole enlargement. A conical region is first formed when a projectile impacts a target; as the projectile penetrates into the target further, the penetration enters the hole enlargement stage. Then, a cylinder tunnel region is formed in the target. The entrance phase for a concrete target significantly affects the entire penetration or perforation process. In a classic work [15], the force required to push a conical-nosed steel rod into a thick copper plate was measured and the force stabilised only after the rod reached a depth of approximately 4–5 rod diameters. On the basis of these works, an empirical equation was developed for the penetration depth of concrete targets with the assumption that crater region depth is twice the projectile diameter [16–18]. This penetration equation has been extensively used to predict the penetration depth for a concrete target penetrated by a nondeformable projectile at normal velocity. Based on those works, a new equation to define crater region depth for penetration depth prediction was proposed and the results are encouraging [19]. Up to now, the quantitative description of the crater depth is still not accurate enough.

Although the entrance phase plays an important role in the penetration process, few studies have been focused on it. Thus, detailed investigation on the entrance effect is quite necessary. In addition, the model of a target with a predrilled hole can be modified to investigate the entrance effect and crater depth. In this paper, a modified formula was proposed to make a more accurate prediction of penetration depths of concrete targets, based on the numerical simulation of entrance effect using AUTODYN-2D. In Section 2, Forrestal’s formula proposed in Ref. [16–18] was introduced in detail. The numerical method was introduced and validated in Section 3. In Section 4, the entrance effect on the penetration depth was discussed in detail, a modified formula was proposed and validated.

2. Formulas of Penetration Depth Prediction

Much experimental phenomena involving the impaction of soil and concrete targets by ogive-nosed projectiles show that the penetration cavity consists of a conical region with a length that comprises approximately two projectile shank diameters 2d, followed by a circular cylinder with a diameter that is almost equal to projectile shank diameter, d. Hence, the conical region and the circular cylinder are called the crater and tunnel regions, respectively. These two penetration regions are depicted in Figure 1(a). The total penetration depth is equal to the sum of crater region depth and tunnel region depth. To highlight the strong effect of the entrance phase on the penetration process further, simulations are conducted on concrete targets that display a cylindrical hole around the symmetry axis from the front surface. This hole can prevent the target surfaces from moving as a result of the projectile inside. The holes have a diameter of 80 mm, which is only slightly larger than the projectile diameter, and a depth of \( H = 532 \) mm, which is identical to the length of the projectile and is deep enough to offset the effect of the free impact surface by moving the impact area deep into the target. Hence, the entrance phase hardly affects penetration. The numerical models are depicted in Figure 1(b). In the simulations, the Johnson Cook model was employed to develop the projectile. Projectile geometry is demonstrated in Figure 1(d).

2.1. Forrestal’s Formula of Penetration Depth. On the basis of cavity-expansion analyses, an empirical equation to predict the penetration depth of concrete targets was proposed [9]. In this model, the retarding stress on the projectile nose is expressed as

\[
F = cz, \quad 0 < z < 2d, \tag{1}
\]

\[
F = \frac{\pi d^2}{4} \left( R + NpV^2 \right), \quad z > 2d, \tag{2}
\]

where \( c \) is a constant; \( z \) is measured on the target front surface along impact direction; \( R \) is the strength terms (static resistance), which has considered the size effect; \( V \) is the real-time impact velocity; and \( p = f(v/a, e) \) is the density of the concrete targets. The calibre-radius-head (CRH) is determined with \( \Psi = R/d \). \( N \) is a key parameter depending on the nose shape and is defined as follows for ogive-nosed projectiles:

\[
N = \frac{8\Psi - 1}{24\Psi^2}. \tag{3}
\]

\( P \) and \( a \) are the final penetration depth and deceleration and can be calculated as follows:

\[
P = \frac{2m}{\pi d^2 pN} \ln \left(1 + \frac{NpV^2}{R}\right) + 2d, \tag{4}
\]

\[
a = \frac{-\left[\pi d^2 R/(4m)\right]}{\cos^2 \left[\tan^{-1} \left( (Np/R)^{1/2} V_1 \right) \right] - \pi d^2/4m (RNp)^{1/2} (t - t_1)} ,
\]

where \( m \) is the mass of the projectile and \( t_1 \) and \( V_1 \) are defined as the time and projectile velocity at \( z = 2d \), respectively. The corresponding equations take the following forms:
Contact, sliding, and separation between the projectile and the concrete target are simulated according to gap interaction logic. By this logic, each surface segment is surrounded by a contact detection zone, the radius of which is called the gap size. Any node entering the contact detection zone of a surface segment is repelled by a force that is proportional to the penetration depth of the node into the contact detection zone. In addition, the projectile and target were separated prior to calculation to facilitate gap size validation and to ensure that all the parts involved in the interactions were separated in accordance with the gap size (set at 0.32 mm in this model). An erosion criterion based on 200% geometric strain was followed for the concrete target. It should be pointed out that sliding friction is not taken into consideration as the influence is so little that can be neglected.

3.2. Material Properties

3.2.1. Material Model of Projectiles. The projectiles used in the experiments were machined from 4340 Rc45 steel. These projectiles did not undergo significant deformation and erosion in the penetration process because the striking velocity is within the range of 130–460 m/s. In the simulations, the material of the projectile was set as the Johnson Cook model, and the property parameters are presented in Table 1.

3.2.2. Material Model of Concrete. The RHT (Riedel-Hiemmaier-Thoma) model was developed to enhance the JH (Johnson-Holmquist) concrete model by introducing several new features. This action has been implemented in
AUTODYN [12]. In the simulations, the concrete targets were defined by the $F = cz^2$, $0 < z < d$ EOS, RHT strength model, and RHT failure model. The parameters of the 35 MPa concrete material were detailed in the Standard Material Library of AUTODYN. The main material parameter for the 23 MPa concrete was chosen from the AUTODYN material library (35 MPa concrete), whereas the secondary parameters were modified according to the experimental data, as listed in Table 2.

3.3. Validation of the Numerical Method. To enhance the reliability of the simulation results, three sets of simulations are performed with different initial impact velocities: 200, 336.6, and 378.6 m/s. The penetration models are displayed in Figure 1(b). Figures 2(a)–2(c) exhibits the deceleration process of the simulations, compared with the results of experiments. The deceleration-time histories were recorded with an on-board single-channel acceleration data recorder in Ref. [17]. As shown in Figures 2(a)–2(c), numerical results of deceleration-time history are compared with corresponding experimental results, and reasonably good agreements were observed. It demonstrates the effectiveness of the numerical model within the velocity range tested in Ref. [17].

The experimental penetration depth $P$, calculated penetration depth $P_o$, and simulated penetration depth $P_s$ are presented in Table 3. The simulated penetration depths are very close to the experimental data and calculated penetration depths. For the three different initial velocities, the simulated penetration depths are 12.6% higher, 2.9% higher, and 2.5% lower than the experimental penetration depths, respectively; while they are 13.7% higher, 3.9% lower, and 5.7% lower than the calculated penetration depths obtained from the semi-empirical model, respectively. The errors are completely within the acceptable range. Thus, this penetration model is effective for penetration depth prediction.

### 4. Results and Discussion

This section presents and discusses the simulation results for the entrance phase effect (Section 4.1) and crater region depths (Section 4.2). Furthermore, a modified empirical formula is proposed based on the simulation results and validated (Section 4.3).

### 4.1. Penetration Process and Entrance Effect

#### 4.1.1. Penetration of Regular Targets

Figures 3(a) and 3(b) shows the penetration depth and velocity histories of the ogive-nosed 3CRH projectiles at three different impact velocities, respectively. As shown in Figure 3(a), the penetration depths of the projectiles exhibit a decreasing trend, which is called a "spring-back effect"; therefore, the projectile accelerates in the opposite direction once the initial speed drops to zero. This trend can also be observed in the velocity-time histories. Figure 3(b) clearly indicates that the three curves are linear and parallel to one another, which means that the acceleration values and the axial forces on the projectile are approximately equal. As discussed in the previous section, the resistive force on the projectile exerted by the target can be expressed through equation (2), $F = \frac{1}{2} \pi d^2 / 4 (R + N \rho V^2)$. The values are equal; therefore, strength terms $R$ (static resistance) are equal. Unlike this term, the value of inertial term $N \rho V^2$ is low enough to be neglected at low impact velocity. Hence, velocity has little influence on the axial force exerted on the nose at low impact velocity. However, inertial term $N \rho V^2$ contributes more to deceleration and cannot be neglected at a high velocity, since the value of this term increases sharply with the increase of impact velocity.

As displayed in Figures 2(a)–2(c), many small local peaks are detected in all the deceleration-time histories presented in this work. These periodic peaks are the result of the stress wave reverberations along the projectile, and the time period is determined according to the length of the rod and its elastic wave speed. A close analysis suggests that variation in simulated deceleration is considerably more significant than in the experimental results. The deceleration-time curve is adequately accurate to reflect the downturn in the acceleration, which increases rapidly after the projectile impacts the target surface before reaching a stable phase. Furthermore, the increasing phase is shortened at high impact velocities. The increase in deceleration is attributed to the immersion process of the ogive nose in the target, and the resisting force on the projectile increases until its nose is fully embedded. In addition, the stable phases of penetration are shorter in the simulations than in the experiments.

Figure 4 shows the distribution of pressure field over projectile surface in the penetration process for concrete target penetrated by a 3CRH projectile at 337.6 m/s. It is clearly seen that a crater region was first deformed and then a tunnel region followed. Figure 5 illustrates the final damage patterns of the different concrete targets to highlight the characteristics of the impact crater on the front face of the target. The three targets are penetrated by a 3CRH projectile at 337.6 m/s. It is observed that concrete target modelled with RHT behaves more or less like a constitutive model for metals (ductile materials), and the damage mode of the concrete target is far from consistent with the experimental observations. These observations were also found in the numerical simulations with RHT model [21–24]. This is not only caused by the characteristics of the RHT model but also caused by the limitations of the commercial hydrocode AUTODYN. This limitation implies that the RHT model cannot describe the damage modes of concrete target very well in its present form, especially for impact cratering or
4.1.2. Factors Influencing Penetration Resistance. In this section, three groups of simulations are performed with different initial impact velocities: 200, 336.6, and 378.6 m/s. Figure 5(c) displays the final damage patterns of the target impacted by the projectile at 337.6 m/s. No obvious crater region is observed in the final damage pattern, which is identical to the length of the projectile and is deep enough to offset the effect of the free impact surface by moving the impact area deep into the target. Hence, the entrance phase hardly affects penetration.

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4.1.3. Penetration of Targets with Holes. As discussed previously, the penetration cavity for the semi-infinite concrete target consists of two parts: the crater and the tunnel regions. The formation process of the crater region is called the entrance phase. In Figure 2, acceleration increases rapidly once the projectile impacts the target surface before reaching a stable phase. Furthermore, the entrance phase is short at high impact velocity. To further highlight the strong effect of the entrance phase on the penetration process, another set of simulations are conducted on concrete targets with a predrilled cylindrical hole around the symmetry axis, as depicted in Figure 1(c). This hole can prevent the target surfaces from moving as a result of the projectile within. The holes have a diameter of 80 mm, which is only slightly larger than the projectile diameter, and a depth of $H = 532$ mm, which is identical to the length of the projectile and is deep enough to offset the effect of the free impact surface by moving the impact area deep into the target. Hence, the entrance phase hardly affects penetration.

In this section, three groups of simulations are performed with different initial impact velocities: 200, 336.6, and 378.6 m/s. Figure 5(c) displays the final damage patterns of the target impacted by the projectile at 337.6 m/s. No obvious crater region is observed in the final damage pattern; thus, the entrance effect has been neutralized by the predrilled hole. Figure 3(b) also highlights the results of these simulations at various impact velocities. The velocity-time curves of targets with holes are more parallel with one another than the curves of regular targets are. Furthermore, Figure 7(a)–7(c) shows the deceleration histories of the projectiles in the simulations together with the results of the regular targets. Assuming that no entrance effect is observed in the simulations, the penetration depth $P_{hc}$ of a target with a hole can be calculated with Equation (6) as follows:

$$P_{hc} = \frac{2m}{\pi d^2 \rho N} \ln \left( 1 + \frac{NpV^2_s}{R} \right). \quad (6)$$

Table 4 demonstrates the values of $P_{hc}$ together with simulation results $P_{hr}$.

As expected, the penetration depths of 0.405, 0.810, and 0.960 m were lower than those of regular targets by approximately 14.4%, 15.4%, and 16.5% at velocities of 220.0, 336.6, and 378.6 m/s, respectively. The deceleration histories reach a stable phase more quickly (shortly after impact).
during the penetration of targets with holes than during the penetration of regular targets. As a result, penetration time is reduced. In addition, the constant deceleration values of targets with holes are higher than those of regular targets. The differences in the deceleration histories also diminish with the increase of impact velocities, which indicates that the entrance effect is dominant during penetration at low velocity, and this effect exerts a weak influence at high impact velocity because the penetration process can reach the tunnel phase quickly.

### 4.2. Crater Region Depths

Crater region depth may change regularly under the influence of different projectile and target parameters. In this work, five sets of simulations were performed to highlight crater region depth. These simulations are conducted with different hole depths in concrete targets, target strengths, and projectile nose shapes. The analysis focuses on the penetration depths and the deceleration-time histories.

#### 4.2.1. Effect of Projectiles Nose Shapes

The entrance effect of different nose shapes on the crater region is investigated with ogive-nosed 3CRH and 6CRH projectiles, as well as with spherical and flat-nosed ones. The properties of the projectiles and the targets follow those listed in Tables 2 and 3, whereas the geometry size of the projectiles adopts that shown in Figure 1(d). The simulations are conducted with four different impact velocities: $V_s = 200$, 300, 400, and

| Shot number | $V_s$ (m/s) | $P$ (m) | $P_0$ (m) | $P_t$ (m) |
|-------------|-------------|---------|-----------|-----------|
| SNL-00-03   | 200         | 0.42    | 0.416     | 0.473     |
| SNL-00-05   | 336.6       | 0.93    | 0.996     | 0.957     |
| SNL-00-04   | 378.6       | 1.18    | 1.219     | 1.150     |

### Figure 2: Deceleration-time curves of experimental data, model prediction, and simulation results: (a) $V_s = 200.0$ m/s, (b) $V_s = 336.6$ m/s, and (c) $V_s = 378.6$ m/s.
500 m/s. The concrete targets are cylinders with a diameter of 1.83 m and a length of 1.83 m. However, the target is not long enough for the simulations with 6CRH projectile. Thus, this set of simulations is performed with a 2.5-m-long target.

Crater depth was previously defined as $H = k d$, where $H$ is the hole depth. Figure 8 illustrates the penetration depths for the four types of projectiles at different $k$ values.

As shown in Figure 8, penetration depth for the 3CRH ogive-nosed projectile is almost constant when $k$ is about 2.4. Figure 9 illustrates the deceleration process of the projectiles of $H = 0$, 2.5 d, and 3.0 d at 400 m/s. The two curves of $H = 2.5$ d and 3.0 d almost coincide with each other; hence, a hole depth of 2.5 d exerts little influence on penetration depth. Figure 5(b) displays the final damage patterns of the target with a 200 mm (2.5 d) predrilled hole, which is impacted by the projectile at 337.6 m/s. No obvious crater region is observed in the final damage patterns, suggesting that the front surface has little influence on the penetrating process and that the entrance effect is cancelled out when the depth of the predrilled hole reaches 2.5 d. A close analysis of the other penetration depth-$k$ curves reveals that penetration depths are constant when $k$ reaches 3.5, 1.2, and 0.8 for the 6CRH ogive-nosed, spherical-nosed, and flat-nosed projectiles, respectively. This indicates that the depth of the predrilled hole hardly influences on penetration depth when the depth is deeper than 3.5 d, 1.2 d, and 0.8 d.
4.2.2. Effect of Target Strengths. In this section, another set of simulations is conducted with 35 MPa concrete targets to investigate the effect of target strength on crater region depths. The parameters of the 35 MPa concrete material were provided in Standard Material Library of AUTODYN. To make a comparison with the 23 MPa concrete targets, simulations were performed with 3CRH ogive-nosed projectiles. Figure 10 shows the penetration depths with different \( k \) values. When \( k \) reaches 2.4, the penetration depths are almost constant. Figure 11 shows the deceleration process of the projectiles with \( H = 0, 2.4d \) and \( 3.0d \) at \( V_s = 400\text{m/s} \). The deceleration curves obtained for \( k = 2.4 \) and \( k = 3.0 \) almost overlap to each other, which means that the hole depth exerts little influence on penetration depth when the hole is 2.4 \( d \) deep. This value is close to that of the 23 MPa concrete target (2.5 \( d \)) obtained in the previous section. Thus, it can be concluded that the crater region depth is independent of concrete strength.
4.2.3. Formula of the Crater Region Depth. The crater depth becomes constant when the entrance effect is counteracted by predrilled holes in concrete targets, while the influence of concrete strength and the impact velocity on crater region depth can be ignored. Thus, the hole depth can be regarded as crater region depth. In the simulations, penetration depth becomes constant when $k$ value reaches 2.5 (2.4), 3.5, 1.2, and 0.8 for 3CRH, 6CRH, spherical-nosed, and flat-nosed projectiles, respectively. These values are similar to that obtained through the empirical formula $k = 0.707 + \frac{L_0}{d}$ [11]: 2.365, 3.105, 1.207, 0.707, where $L_0$, $d$ are projectile nose height and projectile diameter, respectively. In consideration of the accuracy and convenience of the formula, the depth of crater region can be simplified as

$$ k = 0.7 + \frac{L_0}{d} $$ (7)

**Figure 7**: Deceleration-time curves for the impact on regular targets and targets with predrilled holes: (a) $v_i = 200.0 \text{ mm/s}$, (b) $v_i = 336.6 \text{ mm/s}$, and (c) $v_i = 378.6 \text{ mm/s}$.

**Table 4**: Experimental ($P$), calculated ($P_{hc}$), and simulated ($P_s$, $P_{hs}$) penetration depths.

| Shot number | $V_i$ (m/s) | $P$ (m) | $P_s$ (m) | $P_{hc}$ (m) | $P_{hs}$ (m) |
|-------------|-------------|---------|-----------|--------------|--------------|
| SNL-00-03   | 200.0       | 0.42    | 0.473     | 0.339        | 0.405        |
| SNL-00-05   | 336.6       | 0.93    | 0.957     | 0.917        | 0.810        |
| SNL-00-04   | 378.6       | 1.18    | 1.150     | 1.140        | 0.960        |
Figure 8: Penetration-(k) curves obtained at different impact velocities: (a) 3CRH projectile, (b) 6CRH projectile, (c) spherical-nosed projectile, and (d) flat-nosed projectile.

Figure 9: Deceleration-time curves for the 23 MPa targets with different hole depths.
4.3. Modified Formula and Validation. As discussed in Section 4.2.3, $kd$ can more accurately describe the crater region depth than $2d$. Hence, Forrestal’s empirical formula must be modified with Eq. (7), and the new analytical model can be given as

$$P = \frac{2m}{\pi kd \rho N} \ln \left(1 + \frac{NpV_1^2}{R} \right) + k d, \quad P > kd,$$

$$V_1^2 = \frac{4mV_s^2 - \pi kd^2 R}{4m + \pi kd^2 \rho}$$

Figure 12(a) shows a comparison of the penetration depths obtained with Forrestal’s formula and the modified formula. The penetration depths obtained from the modified formula are closer to the experimental data; therefore, the modified Forrestal’s formula accurately predicts the penetration depth of concrete targets. The experimental data were also compared with the empirical formulas [13, 14, 17, 19] to highlight the advantages and accuracy of the modified Forrestal’s formula in this work, as shown in Figures 12(b)–12(d). The predictions of the modified Forrestal’s formula are consistent with the experimental data with different compressive strengths of concrete targets. Since the modified Forrestal’s formula has taken the size effect into consideration, it may perform better than others for the concrete targets penetrated by the steel projectiles with a larger diameter. As is shown in Figure 12(c), the 39 MPa concrete targets penetrated by the steel projectiles, which has a larger diameter of 76.2 mm, and the prediction of the modified Forrestal’s formula shows a higher precision than the other formulas. The deformation of the ogive-nosed projectiles is slight enough to be neglected; thus, penetration depth can be predicted by using the modified formula obtained in this work. As the crater depth will account for larger proportion of
penetration depth for the penetration at low velocity, the prediction precision of the modified Forrestal's formula is significantly higher than that of the other formulas.

5. Conclusion

In this work, a further investigation on the penetration into concrete targets was conducted to make a more accurate prediction of penetration depths, based on the numerical simulations of entrance effect. Following conclusions were obtained as:

1. In the penetration process, the resisting stress exerted on the projectile is dependent on the shape of the projectile nose, concrete target strength, and impact velocity.

2. The free surface effect of a regular target reduces the penetration resistance of the projectile in the early stages of penetration. It leads to predrilled targets having a smaller depth of penetration than regular targets at the same penetration velocity, and the decelerations reach the peak and keep stable more quickly.

Figure 12: Comparison of the different formulas in predicting the penetration depths: (a) 23 MPa, (b) 21.6 MPa, (c) 39 MPa, and (d) 62.8 MPa.
The entrance effect also diminishes with increasing impact velocity. Based on the simulation of entrance effect, a modified formula was proposed and validated to predict the crater region depth. Thus, the modified formula can predict the penetration depth for concrete targets penetrated by nondeformable projectiles with a higher accuracy, especially for operating conditions such as low-velocity penetration or armour perforation of target slabs.

**Abbreviations**

SHPB: Split Hopkinson Pressure Bar  
CRH: Caliber-radius-head  
RHT: The Riedel-Hiermaier-Thoma model  
K&C: Karagozian and Case model  
HJC: The Holmquist-Johnson-Cook model  
CSCM: The Continuous Surface Cap model  
BF1: The Brannon-Fossum model.

**Data Availability**

The data used to support the results of this study are available from the corresponding authors upon request.

**Conflicts of Interest**

The authors declare that they have no conflicts of interest.

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