Rapid Blue- and Red-shifted Excursions in Hα line profiles synthesized from realistic 3D MHD simulations

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Abstract
Context. Rapid blue- and red-shifted events (RBEs/RREs) may have an important role in mass-loading and heating the solar corona, but their nature and origin are still debatable.

Methods. A realistic three-dimensional (3D) magneto-hydrodynamic (MHD) model of a solar plage region is created. Synthetic Hα spectra are generated and the spectral signatures of these features are identified. The magnetic field lines associated with these events are traced and the underlying dynamic is studied.

Results. The model reproduces well many properties of RBEs and RREs, such as spatial distribution, lateral movement, length and lifetimes. Synthetic Hα line profiles, similarly to observed ones, show strong blue- or red-shift and asymmetries. These line profiles are caused by the vertical component of velocity with magnitudes larger than 30 – 40 km/s that appear mostly in the height range of 2 – 4 Mm. By tracing magnetic field lines, we show that the vertical velocity that causes the appearance of RBE/RREs to appear is always associated with the component of velocity perpendicular to the magnetic field line.

Conclusions. The study confirms the hypothesis that RBEs and RREs are signs of Alfvénic waves with, in some cases, a significant contribution from slow magneto-acoustic mode.

Key words. Sun: atmosphere – Sun: chromosphere – Sun: transition region – magnetohydrodynamics (MHD) – radiative transfer

1. Introduction

The solar chromosphere is shaped from long, dense fibrils (Rutten 2007) that connect large magnetic features organized at mesogranular to supergranular scales. These magnetic features are the centers of most chromospheric dynamics (Tsiorpoula et al. 2012). Moving from these dark stable features called mottles, visible in cores of chromospheric spectral lines. Moving to the wings of chromospheric lines, features named rapid blue- and red-shifted events (RBEs/RREs) become dominant. Unlike mottles, these features are very dynamic, short-lived, long and thin, but also visible near the edges of the magnetic network. Although it is generally believed that they have an important role in mass-loading and heating the solar corona, they are still a puzzle.

The RBEs were first detected in the blue wing of the Ca II 854.2 nm line as sudden broadening in Jt-dataslices (Langangen et al. 2008). Their lifetimes, spatial extent and location, as well as sudden disappearance, suggested that they might be the on-disk counterparts of then newly discovered off-limb spicule dynamics (de Pontieu et al. 2007). Subsequent papers based on observations with higher spatial and temporal resolution further strengthened this hypothesis (Rouppe van der Voort et al. 2009; Sekse et al. 2012; 2013). The spectral imaging data taken in both Ca II 854.2 nm and Hα lines revealed that the RBEs vary strongly between these lines. The former shows significantly fewer and shorter features appearing closer to the magnetic field concentrations and generally before the Hα RBEs. Also, the corresponding Doppler shifts differ, being of order 20 – 50 km/s in the Hα and 15 – 20 km/s in the Ca II 854.2 nm line. The differences were explained by the chromospheric opacity in the lines, with Hα sampling higher layers and naturally faster upflows.

The properties of these features also differ depending on the detection method used and the temporal cadence of the data. The distribution of the RBEs lifetimes ranges from 5 to 60 s, with a slow cadence data shifting it to the higher values. This may be due to the high recurrence times around magnetic elements which gives apparently higher lifetimes at a lower cadence. Furthermore, the overall behavior of RBEs changed with temporal resolution. The first studies claimed that RBEs moved away from magnetic concentration with Doppler shifts and widths increasing from the footpoint to the top. The higher cadence data, however, revealed much more RBEs that display erratic behavior. While there were cases that had a distinct rise phase, there were also features that showed a complicated mixture of longitudinal variations. There were many cases that seemingly came to a halt or even retreated or just appeared suddenly along the full length within a few seconds. Some RBEs moved sideways over a few hundred kilometers, while others hardly got displaced. It also became clear that RBEs appear in groups with large and dark jet-like features appearing first, followed by numerous shorter, thinner jets (Yurchyshyn et al. 2013; Samanta et al. 2019).

The RREs are similar absorption features but in the red wings of the Ca II 854.2 nm and Hα lines (Sekse et al. 2013; Kuridze et al. 2015; Shetye et al. 2016; Bose et al. 2019). RREs are found over the whole solar disk and are located in the same regions as RBEs. Lengths, widths, lifetimes, and average Doppler velo-
ity of RREs are similar to RBEs. RREs have similar occurrence rates as RBEs with the total occurrence rate increasing towards the limb. Most RREs and RBEs are observed in isolation, but many examples of parallel and touching RRE/RBE pairs are also found to be part of the same spicule. Kuridze et al. (2015) detect more RREs and Sekse et al. (2013) more RBEs. The latter study found to be part of the same spicule. Kuridze et al. (2015) detect many examples of parallel and touching RREs from the disk center to the limb from 0 to 74. The higher number of RBEs and the decreased imbalance towards the limb is interpreted as an indication that field-aligned upflows have a significant contribution to the net Dopplershift of the structure.

Transverse displacements of RREs and RBEs are also similar. There are examples of transitions from RRE to RBE and vice versa that sometimes appear to propagate along the structure. In some cases, even oscillatory behavior can be found with periods averaging 90 s and amplitudes of 200 km. All these characteristics are in line with a hypothesis that these are an indication of Alfvén waves associated with the swaying motion. Namely, the movement of the ‘flux tube’ is detected in both image planes as transverse motion and along the line-of-sight (LOS) as a sudden blue- and red-shifts in the wings of spectral lines. The formation of such phenomena would also arise naturally in case of magnetic reconnection, which was indicated by observations that show RBEs generally appearing when new, mixed or unipolar fields are detected in close proximity to network fields (Vychyshyn et al. 2013; Deng et al. 2015; Samanta et al. 2019). Although this scenario explains all peculiarities of RBEs and RREs, alternative hypotheses must also be mentioned. One alternative explanation is that the observed transverse motions are not waves, but just transverse displacement of the flux tube as a whole from its initial position as it happens when the interaction timescale between the granule and the flux tube is comparable to or greater than the chromospheric cutoff period (Hasan & Kalkofen 1999). Another alternative explanation is that the sudden shifts in the spectral lines can be an indication of sheet-like structures (Judge et al. 2012; Liparitito et al. 2014).

There is a large number of numerical experiments that try to explain the formation and origin of spicules (e.g. Uchida 1969; Hollweg 1982; Suehs et al. 1982; Murawski & Zaqarashvili 2010; Jess et al. 2012; Hjima & Yokoyama 2017; Martinez-Sykora et al. 2017). However, only two studies tried to explicitly model RBEs and RREs (Kuridze et al. 2015; Sri-vastava et al. 2017). These models fail to reproduce many properties of RBEs and RREs. Still, they indicate that RBEs/RREs may be signatures of Alfvén waves generated by torsional driving in the photosphere. The present manuscript describes a three-dimensional (3D) model that reproduces spatial distribution, lateral movement, length and lifetimes of RBEs and RREs, as well as strongly blue- or red-shift asymmetric Hα line profiles. We start with identifying the RBE/RRE signatures in synthetic spectra generated from the numerical models. We then compare their properties with observations and further study their formation.

2. Model atmospheres and forward synthesis

The MURaM code (Vögler et al. 2005; Rempel 2017) is used to generate the numerical 3D models of the solar atmosphere. The model is built in phases starting from the nonmagnetic convection simulation to which a uniform bipolar field of 200 G is added. After the field configuration evolved, the potential field extrapolations are used to extend the computational domain and include the upper atmosphere. The final extent of the simulation domain is 40 × 40 × 22 Mm, spanning vertically from ~8 Mm to 14 Mm above the average r500 = 1 height. At the bottom boundary, a horizontal field at roughly equipartition field strengths is allowed to emerge into the domain (Rempel 2014). At the upper boundary, the field is set to be vertical. Also, the upper boundary is open to outflows but closed to inflows. The horizontal boundaries are periodic. In the last phases, the model is first run at a lower resolution and then again at the double resolution until a relaxed state is achieved. The grid spacing of the simulation used in this paper is 39 km and 21 km in the horizontal and vertical directions, respectively. The model contains only the most basic physics to be called ‘comprehensive’: 3D grey LTE radiative transfer, a tabulated LTE equation of state, Spitzer heat conduction, and optically thin radiative losses in the corona based on CHIANTI (Landi et al. 2012). The numerical diffusive and resistive terms in this run are set so that an effective magnetic Prandtl number Pm > 1. This makes viscous heating to be the largest contributor. The maximum Alfvén velocity is chosen as max(2c_s, 3v_max) where v_max is the maximum velocity and c_s the speed of sound (Rempel 2017). This gives the largest unaffected Alfvén velocity of 900 km/s (Chen et al. 2021). The snapshots
3. Results

3.1. Spatial distribution of synthetic RBEs and RREs

Figure 1 shows synthetic Hα images generated from one of the snapshots. The magnetic field configuration is visible from the distribution of long fibrils in the Hα core shown in the middle panel. Panels on the sides show synthetic RBEs and RREs. The wavelength positions correspond to Doppler shifts of ±36 km/s, similar to observations. Thin elongated dark features are visible in both Hα, wings, resembling their observed counterparts. Besides thin features, the red-wing image shows slightly more spread-out structures especially close to the ‘slope’ generated by the inclined magnetic field around [7, 25] Mm. The dark circular structure at [33, 20] Mm visible in both wings is a pore.

Inspection of the movie corresponding to Fig. 1 reveals different kinds of features. In both RBE and RRE images, there are examples that show apparent motion or increase in length away and towards magnetic network. There are also many examples that appear and disappear in situ, seemingly halfway between the magnetic footpoints. Many features show transverse motion. All this agrees with findings based on observations.

To study the statistics of the synthetic RBEs and RREs, we apply simple filtering on the Hα wing images, exposing all regions where the intensity was below $22.3 \times 10^{-6}$ erg/cm$^2$/s/Hz/ster and aspect ratio larger than 15. These numbers are somewhat arbitrarily chosen. The final masks summed over 29 snapshots are visible in Fig. 2. The figure shows the occurrence and feature position with respect to magnetic elements, which are outlined with black contours. The chosen criteria allow the inclusion of fairly short features as the one at [3, 30] Mm in the top panel. It also allows many extended structures visible in the Hα red wing images to be counted as RREs, as the bottom panel Fig. 2 shows. Although magnetic elements are distributed all over the simulation domain, maps nevertheless show areas with reduced activity at [25, 2.5], [28, 15] and [35, 30] Mm. In other regions, RREs and RBEs can be found in seemingly equal numbers. The exception is visible at the base of the ‘slope’ where RREs prevail.

3.2. Properties of synthetic RBEs and RREs

We cluster masked features that overlap from one snapshot to the other and count them as one feature. This gives the total number of RBEs and RREs to be 231 and 322, respectively. The distribution of their lifetimes is shown in Fig. 3. Many features traced this way appear and disappear in one snapshot, giving them lifetimes of less than 10 s. Most of the extra RREs is placed into this bin. The distribution exponentially decreases with the lifetime. Only around 5% of both RRE and RBEs seems to be visible for at least a minute.

For each case, we track the evolution and include their most extended length in the distribution shown on the right of Fig. 3. We see that most features are shorter than 3 Mm in size, although some cases extend to over 5 Mm. There seems to be no preference for the extra short-lived RREs. They seem to be distributed proportionally to all length bins.

3.3. Formation of synthetic RBEs and RREs

Figure 4 shows a vertical cut over several RBE and RRE at the time instant shown in Fig. 1. Overplotted are $\tau = 1$ contours for the same wavelength positions as in Fig. 1. Corrugated black lines thus show that the Hα line core is formed in the range...
Fig. 4. Vertical cut along the blue vertical lines shown in Fig. 1. Vertical cuts in temperature, density, magnetic field strength and vertical velocity are shown from top to bottom. The black line in all panels shows the $\tau = 1$ at the nominal position of the Hα line center. Green and red contours mark $\tau = 1$ levels at wavelength positions that correspond to Doppler shifts of $v_{\text{dopp}} = -36 \text{ km s}^{-1}$ and $v_{\text{dopp}} = 36 \text{ km s}^{-1}$ respectively.

2 – 4 Mm, while jumps in green and red contours indicate the positions of the crossed RBEs and RREs respectively. Most of them, the four RBEs and two RRE, are formed close to the Hα line core. They are formed at similar heights, which results in similar intensities visible in Fig. 1. The bottom panel of Fig. 4 shows that at each position where RBE/RREs is formed, there is a strong upflow/downflow in the vertical velocity map. The field strength in those regions is still higher than 100 G, as the third panel in Fig. 4 shows.

Examples at $x = 10.7 \text{ Mm}$ and $x = 11.25 \text{ Mm}$ in Fig. 4 are analyzed in more detail using four-panel schematic Hα line formation diagrams (Carlsson & Stein 1997; Leenaarts et al. 2010) shown in Figs. 5 and 6. An example of an RBE is shown in Fig. 5. The emergent profile in the lower right panel is strongly blue-shifted with a small reversal at the red wing. Overplotted in the same panel is the line-of-sight (LOS) velocity profile displaying a shift in the same direction. The predominant upflows reaching 40 km/s are spread in the height range 2 – 3 Mm. Because of these upflows, the location with high $\chi_{\nu}/\tau_{\nu}$ (upper left panel) is strongly shifted at $z = 3 \text{ Mm}$, exactly where the optical depth reaches unity at those frequencies (lower left panel). The source function is completely decoupled from the Planck function and stays fairly flat with two small local maxima higher up, at 3.5 and 4 Mm. The opacity has a shape characteristic for the Hα line with sharp, sudden shifts of $\tau = 1$ in both wings but strongly shifted to the positive frequencies. As a result, the contribution function jumps to the height of 3 Mm and stays there over a wide range of frequencies. A small reversal in the red wing is formed due to a temperature and density increase that are also visible in Fig. 4. The formation mechanism of the red wing reversal is the same as for spectra signatures of the so-called Ellerman bombs (Danilovic 2017).
The four-panel diagram created for the pixel at \( x = 11.25 \) Mm in Fig. 4 is presented in Fig. 6. This pixel experiences a much more complex opacity distribution than in the case of Fig. 5. Here, we have numerous bright regions in the upper left panel at a variety of altitudes and frequencies. Two major effects on the contribution function come from high \( \chi_\nu/\tau_\nu \) located at \( z = 3 \) and \( z = 2.3 - 2.5 \) Mm. The latter is the location where a strong downflow reaches 50 km/s. At the former location, there is a switch from an upflow to a downflow, both slightly weaker reaching 20 km/s. The source function shows a local maximum in both locations. As a result, line wings and core intensities are all experiencing contributions from both heights. The blue-shifted material also coincides with the location where the optical depth reaches unity at that frequency, so the blue wing gets extended, resulting in a very asymmetric line profile.

### 3.4. Origin of synthetic RBEs and RREs

We further choose 14 examples around the center of the simulation domain to study what causes their appearance. An equal number of RBEs and RREs are chosen. They appear at different time instances distributed over 9 different snapshots. Figure 7 gives an overview of positions of one traced field line per each chosen feature. We trace the magnetic field lines as curvatures in 3D space (Leenaarts et al. 2015). The time cadence between snapshots used for the field tracing is around 2.5 s. For each of the examples, we pick several seeds along the feature, visible either in blue or red synthetic \( H\alpha \) wing, to advect as corks forward and backward in time. For each seed, a formation height at that specific wavelength position is taken as the \( z \)-coordinate in the 3D space. The individual cases are labeled with \( F_0 - 13 \) as shown in Fig. 7. Cases \( F_12 \) and \( F_13 \) are the RBE and RRE visible in Fig. 4 and further discussed in four-panel diagrams. The yellow stars in Fig. 7 mark the position of the RRE associated with \( F_1 \), which appears close to \( F_0 \) when \( F_0 \) disappears. The line tracing indicates that these RREs belong to the same event since their origin can be traced to overlapping field lines.

To analyze the displacement of each chosen example, we place the ‘slit’ at the \( x \) coordinate of the starting seed and then follow the \( y \) and \( z \) coordinates of the traced field line in time at that fixed \( x \) position. Figure 8 shows resulting horizontal and vertical displacements for four cases after a linear fit is subtracted. The shifts in two directions get in the phase when the RBE/RRE appears and sometimes show oscillatory behavior over a short time. For example, \( F_0 \) shows a sinusoidal wave pattern in \( y \) coordinate that lasts for \( \sim 40 \) s, while in the case of \( F_9 \), the same lasts \( \sim 100 \) s. In most cases, we see more erratic behavior like for \( F_6 \). The four cases shown in Fig. 8 illustrate that the range

\[ \text{The movie is available as supplementary material.} \]
of displacements stays within 200 km with amplitudes of a few 10 km during the oscillatory period. The field tracing reveal two common characteristics for all cases. This is illustrated in Fig. 9 on four RBE/RRE examples. The figure shows velocities along a traced field line as a function of time. Diamonds mark the location of starting seeds and dotted lines follow signals propagating with the Alfvén speed along the loop. The first common characteristic for all cases is that we detect a strong flow at one of
We can also use instantaneous proxies for three wave modes slow $f_{\text{long}}$ and fast $f_{\text{fast}}$ magneto-acoustic and Alfvén waves $f_{\text{alf}}$ (Khomenko et al. 2018; Cally 2017):

\begin{align}
    f_{\text{alf}} &= \hat{e}_\parallel \cdot \nabla \times \mathbf{v} \\
    f_{\text{fast}} &= \nabla \cdot (\mathbf{v} - \hat{e}_\parallel v_\parallel) \\
    f_{\text{long}} &= \hat{e}_\parallel \cdot \nabla (\mathbf{v} \cdot \hat{e}_l)
\end{align}

where $\mathbf{v}$ is the velocity vector and $\hat{e}_l$ the field-aligned unit vector. To visualize where the wave power for each mode is located with respect to the formation of RBEs and RREs, each quantity is convoluted with a contribution function at the corresponding wavelength. The resulting maps are shown in Fig. 10.

There is a significant difference between maps corresponding to the line center and the line wings. The latter are largely

\begin{figure}
\centering
\includegraphics[width=\textwidth]{fig10}
\caption{Proxies of different wave modes: Alfvén (left column), fast (middle column) and slow mode waves convolved with the contribution function at different wavelength positions: top row - blue wing at $v_{\text{dopp}} = -36 \, \text{km} \, \text{s}^{-1}$, middle row - red wing $v_{\text{dopp}} = 36 \, \text{km} \, \text{s}^{-1}$ and bottom row - nominal line center. Blue vertical line marks the position of the cut shown in Fig. 4.}
\end{figure}
In the first case, they move away or closer to the network. In the observations, their behavior can be both consistent or erratic. Similarly to their observed counterparts. The synthetic features appear close to the magnetic network or at the apex of the loops. Similarly to RBEs and RREs signatures. Their properties: spatial distribution, length and lifetimes are very similar to the properties of RBEs and RREs. As most events were associated to Alfvénic waves, the hypothesis that RBEs and RREs are signs of Alfvénic reconnection. As the reconnection would happen near footpoints, the upflows would prevail in the height ranges where RBE/RREs form and thus generate more RBEs than RREs. Also, flux recycling or small-scale emergence generated at higher resolution would result in fast lateral movement and would have the same effect. Although most of the flux emerging on granular scales gets pulled back, we do not exclude the scenario where these features are generated by the reconnection of emerging with the pre-existing field, which our simulations do not model. Finally, the velocity magnitude increases with resolution, hence more events will result in sufficient line shifts. The second possible reason for the mismatch of simulations and observations could be in not including the ion-neutral interaction effects (i.e. ambipolar diffusion) or in a cartoon by Sekse et al. (2013). RBEs and RREs are generated at the side edges of magnetic flux tubes. Based on this interpretation, observations would suggest that these flux tubes are resolved and sometimes as an arcsec wide. Sivastava et al. (2021) would suggest that these flux tubes are resolved and sometimes as an arcsec wide. Sivastava et al. (2021) further argue that if the waveguide is not spatially resolved the opposing Doppler shifts will result in non-thermal broadening in optically thin lines while the chromospheric observables would sample only 'the outermost shells of the structure'. We find no distinct structures as such in our model, although some synthetic RBE/RRE pairs can be traced to the same source. The model shows that while Hα line core outlines density ridges, the formation of the line wings is more complex.

Finally, we did not discuss the photospheric source of these features in the model. Movies of traced field lines show braiding of field lines close to a footpoint. This suggest the formation of photospheric vortex flow. The vortex signatures are present in all areas of the simulation domain where magnetic field is stronger. They are most prominent in the temperature maps sampled at upper photosphere, as shown by Moll et al. (2012). Vorticity caused by torsional motions in the photosphere can excite torsional Alfvén pulses that propagate along the magnetic field lines to the upper layers in the form of torsional Alfvén waves. This is agreement with the results presented in this study.
Appendix A: Supplementary material

Besides the movie version of Fig. 1, we share two additional movies that show magnetic field lines for cases F9, F0 and F1. The field lines of F0 and F1 are plotted together, as shown in Fig. A.1, so that initial overlapping of magnetic field lines is visible.

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Fig. A.1. Magnetic field lines traced for RREs F0 (blue lines) and F1 (green lines). Panel on the left shows top view with vertical photospheric magnetic field in the background. Panels on the right show projection of field lines in x (bottom) and y (top) planes with density cut at x,y coordinates of one of the initial seeds.