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A flood mitigation control strategy based on the estimation of hydrographs and volume dispatching

B. Hadid * E. Duviella + P. Chiron ** B. Archimède **

* IMT Lille Douai, University of Lille, F-59000 Lille, France (e-mail: baya.hadid,eric.duviella}@imt-lille-douai.fr)
** ENIT-LGP, Tarbes, France (e-mail: pascale.chiron,bernard.archimede}@enit.fr)

Abstract: Flood events are expected more frequent with biggest magnitude in the near future. They have potential big impacts on human economic activity and property, on health and life of man, and on the environment. One possibility to mitigate the flood impact consists in using flood storage areas and in designing a control strategy to dispatch the volumes of water and reducing the flow peak. The designed mitigation control strategy is based on the prediction of hydrographs and the estimation of the water volumes that have to be managed. It leads to optimal flow peak reduction according to the capacity of the flood storage areas. This paper details the steps of the proposed approach. Then, a realistic case-study is considered to illustrate the designed flood mitigation control strategy.

Keywords: Water systems, Flood, Control strategy, Flood storage areas, Large-scale systems.

1. INTRODUCTION

Based on recent tragic events in Europe, it is recognized that flood has a big impact on human economic activity and property, on health and life of man, and on the environment. Unfortunately, the frequency and the magnitude of flood are expected higher in near future according to several studies (Sauquet and Lang, 2017; Mustafa et al., 2018). The recommendation of the IPCC is to reduce the human’s activities and the greenhouse gases in order to restrict global warming to 1.5 degrees and consequently limit the extreme weather events (IPCC, 2018). Pending required global political decisions to achieve this objective, flood mitigation solutions have been designed and proposed in the literature, in order to reduce water volumes and the flood peak. Some methods include optimization and multi-objective algorithms with different concepts such as scheduling, stochastic dynamic programming, evolutionary algorithms, meta-heuristics (Labadie, 2004; Zhang et al., 2019). Other authors adopt control strategies such as model predictive control method (MPC) using rainfall forecasts and predictions of river flow conditions; combining MPC with Kalman filter (Breckpot et al., 2013; Delgoda et al., 2013) or with a reduced genetic algorithm in order to take into account the conceptual model uncertainties (Vermuyten et al., 2018). The coupling of optimization, regulation methods, as well as hydraulic simulation models, allow the generation of efficient strategies (Che and Mays, 2017; Raso et al., 2019; Shenava and Shourian, 2018). Flood decrease control strategies based on graph theory and optimization techniques are proposed in (Bencheikh et al., 2017; Nouasse et al., 2013). They lead to limit the flow peak by supplying and emptying flood storage areas. The purpose of this paper is to propose a flood mitigation control strategy combining a rainfall/runoff forecasting model, a water volume estimation from the flood hydrograph and logic control rules, designed for generating the control setpoints of the reservoir gates in order to limit the effects of a flood.

The paper is organized as follows: the objectives of the method are given in Section 2. The flood limitation control strategy is detailed in Section 3. The proposed methodology is tested on a realistic case-study in Section 4 and simulation are presented. Finally, Section 5 draws conclusions and outlines future steps.

2. OBJECTIVES OF THE FLOOD MITIGATION

Strong rain events increase the discharge of rivers and canals and therefore their level. If the discharge is too high, according to the height of the river banks, flood can occur caused by overflows. With a good prediction of these events, it is possible to use adaptive water resource management strategies (Duviella et al., 2018). They consist in dispatching the water volume among the network by controlling hydraulic devices with the aim to keep the levels below the edges of the banks. In some case, the discharges are too high to allow the dispatching of the water volumes among the network avoiding floods. Flood storage areas offer thus a mitigation solution. These areas can be used as temporal tanks that are supplied during high flow periods and released after the occurrence of the extreme weather events. Even if using flood storage areas does not prevent totally floods, they limit their impacts thanks to the reduction of the flood peaks. Hence, flood mitigation control strategies have to be designed to optimize the use of storage areas.

The flood mitigation control strategy is part of the architecture depicted in Fig. 1. It requires rainfall data and hydrological models to determine the effect of rain on the discharge of the rivers and canals. Also, it requires predictive rainfall/runoff models to use predictive and adaptive control strategies. The
flood mitigation control strategy depends also on the characteristics of the hydraulic systems that are composed of the rivers and the flood storage area. The value of the water volume that can be stored in the flood storage area is required. The warning flood levels and discharges must be known. The flood storage areas can be designed according to different return periods if enough space is available. This information is required to design flood mitigation control strategy.

Based on the predictive rainfall/runoff models, an algorithm allows the estimation of the corresponding water volume. It aims at anticipating the use of the flood storage areas. The mitigation control strategy depends on some logic control rules that are specified according to the characteristics of the storage areas, the hydraulic systems, the warning flood levels, the size and the type of the hydrograph. Thus, according to these data and algorithm, a module aims at generating the control setpoint of the gates that supply and empty the flood storage areas.

### 3. FLOOD MITIGATION CONTROL STRATEGY

#### 3.1 Rainfall/runoff forecasting

Nonlinearities are the biggest challenge faced when one seeks for a complex large-scale natural system model that has to be reproducible, especially when we have a limited knowledge of the intrinsic phenomena and when this system is rarely the same, for example in terms of geophysical properties. This is particularly true when, only based on the knowledge of the precipitation measurement and forecast, the runoff of the river has to be modelled. Indeed, the formation process of a flood starting from precipitations in a natural catchment is one of the biggest challenges faced by hydrologists. (Perrin and Andréassian, 2001) assessed at least 19 existing daily rainfall-runoff models. The reason is that the water level or flow rate depends on the temperature, the evapotranspiration and the vegetative cover. Other factors affecting the runoff include soil permeability, the river slope, etc.

The common goal of all the rainfall-runoff modeling approaches is to provide a flood forecast with a minimum lead-time (time between its announcement and arrival). The main proposed approaches are either physical/mathematical with a huge number of parameters or based on data. Commercial software packages such as MIKE1, SIC2 and InfoWorks3 solve numerically the equations of Saint-Venant but at the expense of a long simulation time. Recent studies show the added value of nonlinear modeling approaches. In the literature, rainfall-runoff models are based on machine learning approaches such as neural networks (Feng and Lu, 2010) and adaptive neuro fuzzy inference systems (Chang and Chang, 2006). A survey on data driven modeling techniques is presented in (Elshorbagy et al., 2010). Neural networks (ANNs), genetic programming, evolutionary polynomial regression, Support vector machines (SVM), M5 model trees, K-nearest neighbors, and multiple linear regression techniques are implemented and evaluated using daily stream flow data. The SVM approach was also explored in (Asefa et al., 2006), where a short-term stream flow prediction was performed on hourly data. Machine learning techniques shown respectable results but still require a huge database to achieve a correct training. More recently, a semi-automatic software tool was proposed by (Wolfs et al., 2015). It combines different model structures both physical (weir equations) and data-driven (ANNs, PieceWise Linear, etc.) depending on the river dynamics. Conceptual systems based on tanks are proposed in (Perrin et al., 2003) requiring the knowledge of the evapotranspiration and soil saturation information.

On the other hand, the most efficient parametric approaches are nonlinear and consist first in the Hammerstein model (Bastin et al., 2009; Romanowicz et al., 2008) but has a frozen structure that can not be adaptive to a change in the operational conditions and thus has to be frequently re-calibrated. Second, in the same family of parametric nonlinear models, the Linear Parameter Varying (LPV) approach was also explored (Previdi and Lovera, 2009; Hadid et al., 2018). These studies were motivated by (Young, 2003) who proposed a data-based mechanistic modeling of the rainfall-flow dynamics based on a State Dependent Parameter approach and show a dependency of the model parameters according to the past rainfall and flow measurements. However, to the best of the author’s knowledge, none of these methods was applied and validated on a large database with hourly measurements that contain multiple extreme rainy events and floods. A recursive online estimation of a linear ARX and nonlinear ARX models was proposed in (Duviella and Bako, 2012) to estimate the hydrograph peaks of the river with a prediction horizon of 24 hours. The idea was to include the prediction horizon in the regression vector composed of past flow measurements and forecasted rain. This approach has been improved in (Hadid et al., 2018) by using a recursive instrumental variable and a LPV off-line estimation. The LPV modeling approach with a delayed level as scheduling variable leads to a good flood forecasting. It is used in this paper to forecast the hydrographs and then estimate the magnitude of the flood peaks and their occurrence time.

#### 3.2 Logic control rules

The logic control rules aim at determining the best flood mitigation control strategy based on water volume due to the flood and the capacity of the storage areas. Usually a threshold, denoted $Q_{lam}$, is selected as the limit from which the flood storage areas can begin to be supplied. Initially, this threshold is tuned according to a selected return period of x-year flood. When the capacity of the storage areas, denoted $V_{r}$, is higher than the water volume due to the flood over $Q_{lam}$, denoted $V_{r}$, the

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1. [https://www.mikepoweredbydhi.com](https://www.mikepoweredbydhi.com)
2. [http://sic.g-eau.net/?lang=en](http://sic.g-eau.net/?lang=en)
3. [https://www.geomod.fr/en/water-modelling/infoworks-icm/](https://www.geomod.fr/en/water-modelling/infoworks-icm/)
flood storage areas can be supplied as soon as the discharge of the hydraulic system $Q_t$ is superior to $Q_{lam}$. Else, other control rules have to be defined with the objective to limit the flood peak. In this case, a new value of $Q_{lam}$, denoted $Q_{lam}'$, has to be determined.

3.3 Water volume estimation and control setpoint determination

Algorithm 1 is applied for the determination of the optimal $Q_{lam}$ for a double peak hydrograph instead of a unit hydrograph which will allow to extend the principle on a multiple peak hydrograph. A double flood is defined as two successive peaks with a transition flow between these two peaks, noted herein $Q_{min}$, greater than the nominal river water flow. Fig. 2 depicts the different significant values used in the Algorithm 1 in order to identify $Q_{lam}$ through the estimation of water volumes generated by a double flood. $t_1$, $t_{sep}$ and $t_2$ are the times that correspond to the intersection points between the horizontal line defined by $Q_{min}$ and the hydrograph. $t_{sep}$ is then the separation time of the double flood into two simple floods. Hence, $V_1$ and $V_2$ are the volumes calculated by integrating the hydrograph between $t_1$ and $t_{sep}$ for $V_1$ and between $t_{sep}$ and $t_2$ for $V_2$. For a precise volume calculation, a Matlab routine called trapz based on a trapezoidal numerical integration which allows to integrate numeric data rather than functional expressions is used. $Q_{lam}$ and $Q_{lam}'$ are respectively the values of the peaks i.e. the maximum values of each simple hydrograph $Q_{lam}$ and $Q_{lam}'$, separately. For $Q_{lam} > Q_{lam}'$ (respectively $Q_{lam} < Q_{lam}'$) the water volume $V_2$ (resp. $V_1$) is calculated by means of a numerical integration of the hydrograph between $t_1'$ and $t_2'$ resulting from the intersection of the horizontal line defined by $Q_{lam}$ (resp. $Q_{lam}'$) and the hydrograph.

If we take for example the case depicted in Figure 2 where $Q_{lam} > Q_{lam}'$, $Q_{lam}$ can be located in three regions delimited by $Q_{lam}$ and $Q_{lam}'$: $Q_{lam} < Q_{lam} < Q_{lam} < Q_{lam} < Q_{lam} < Q_{lam} < Q_{lam}$. Since the calculation of $Q_{lam}$ is based on the knowledge of the reservoir volume $V_r$, an approximation of each unit hydrographs $Q_{lam}$ and $Q_{lam}'$, by a predefined function is needed to calculate the integral and deduce $Q_{lam}$ value. More specifically, the upper side of each simple flood is first rotated counterclockwise by $\theta = 90^\circ$ around a center located in the plane chosen arbitrarily as $(t_1, Q_{lam})$ and translated along the y-axis to center in the horizontal direction and ensure a partial symmetry with respect to the x-axis. This operation allows to calculate the integral of the estimated function starting from the flood peak value. The rotation is performed using Eq. (1), where $T_{1,2}$ is a time vector which values are the sampled time between $t_1$ and $t_{sep}$ for $Q_{lam}$, $t_{sep}$ and $t_2$ for $Q_{lam}'$, $T_{lam}$, $Q_{lam}$ are the rotation results of $T_{1,2}$ and $Q_{lam}$, $I_d$ is an identity matrix of ad hoc dimension.

$$
\begin{bmatrix}
T_{1,2}^\ast \\
Q_{lam}'
\end{bmatrix}
= 
\begin{bmatrix}
\cos(\theta) & -\sin(\theta) \\
\sin(\theta) & \cos(\theta)
\end{bmatrix}
\begin{bmatrix}
T_{lam} \\
Q_{lam}
\end{bmatrix}
+ 
\begin{bmatrix}
I_d \\
0
\end{bmatrix}
(1)
$$

Each part of the transformed hydrograph on both sides of x-axis is approximated by a polynomial function estimated using least squares algorithm. The polynomial degree chosen for each part is the one which gives the minimal estimation error norm. Let us consider $P_{lam}$, $P_{lam}'$, $P_{lam}^p$, $P_{lam}'^p$ the polynomial functions that fit respectively the upper part of $Q_{lam}$ between $t_1$ and $t_{sep}$, the symmetric with respect to x-axis of the lower part of $Q_{lam}$ between $t_1$ and $t_{sep}$, the upper part of $Q_{lam}'$ between $t_{sep}$ and $t_2$ and finally, the lower part of $Q_{lam}'$ between $t_{sep}$ and $t_2$. We also define $P_{lam}^p$ and $P_{lam}'^p$ as the estimated polynomials of both sides of $Q$ when $Q_{lam} \leq Q_{lam}'$, where $Q'$ is the truncated part of the hydrograph at $Q_{min}$ level (see bold blue line in Fig. 2).

The resolution of the polynomials’ integrals $A_1$ and $A_2$ equality gives directly, thanks to the rotation, a discharge value which then has to be translated back and rotated clockwise by $90^\circ$ to obtain $Q_{lam}$ and the corresponding time $t_{lam}$.

Algorithm 1 Water volume estimation

1. Determine $t_1$, $t_2$, $t_{sep}$, $Q_{lam}$, $Q_{lam}'$, $V_1$, $V_2$, $V_1'$, $V_2'$ and $Q_{min}$.
2. Perform the $90^\circ$ counterclockwise rotation and the translation.
3. Estimate $P_{lam}$, $P_{lam}'$, $P_{lam}^p$, $P_{lam}^p$, $P_{lam}'^p$ and $P_{lam}'^p$.
4. Test the volumes
   - if $V_1 + V_2 \geq V_r$ then $Q_{lam} = Q_{min}$
   - else
     - $A_1 = \int_{Q_{lam}}^{Q_{lam}'} P_{lam}^p dt + \int_{Q_{lam}}^{Q_{lam}'} P_{lam}^p dt$,
     - $A_2 = \int_{Q_{lam}}^{Q_{lam}'} P_{lam}^p dt + \int_{Q_{lam}}^{Q_{lam}'} P_{lam}^p dt$.
   - if $Q_{lam} \geq Q_{lam}'$
     - if $V_1' > V_2'$ then
       - solve $A_1 = A_2$
       - perform $90^\circ$ clockwise rotation and the back translation
     - else
       - if $V_1' = V_2'$ then $Q_{lam}' = Q_{lam}$
       - else
         - solve $A_1 + A_2 = V_r$
         - perform $90^\circ$ clockwise rotation and the back translation
     - end
   - else
     - if $V_1' > V_2'$ then
       - solve $A_1 = V_r$
       - perform $90^\circ$ clockwise rotation and the back translation
     - else
       - if $V_1' = V_2'$ then $Q_{lam}' = Q_{lam}$
       - else
         - solve $A_1 + A_2 = V_r$
         - perform $90^\circ$ clockwise rotation and the back translation
     - end
   - end
5. return $Q_{lam}$ and $t_{lam}$.
Fig. 3. Scheme of the studied system.

In the case of a single peak, $V_1$ is set equal to 0 and $V_2'$ to $V_2$ in the Algorithm 1.

4. SIMULATION RESULTS

4.1 Case-study

The considered case-study is a realistic system with real hydrographs. The hydrographs are those of the Liane river located in the north of France. They are from a data base provided by the DREAL SPC (French regional environment, planning and housing agencies in charge of flood forecasting). Only a part of the Liane river is considered as a simple reach. It is equipped with a discharge meter upstream, a weir and a level meter downstream. An assumption on the presence of a flood storage area is made. The studied system is depicted in Fig. 3. The discharge meter provides the discharge $Q_1$; $Q_2$ corresponds to the weir discharge downstream. An assumption on the presence of a flood storage area is made. The studied system is depicted in Fig. 3.

At the end of the reach, the free flow weir aims at keeping the level of the reach $Y_1$ around the nominal level. Its dynamics is modelled according to the relation (2) (Litrico and Fromion, 2009)-page 160.

$$Q_2 = C_d \sqrt{2 \cdot g \cdot (Y_1 - W_s)^{3/2}}$$

with $W_s$ the sill elevation of the weir, $Y_1$ the water level upstream the weir, $g$ the gravitational acceleration and $C_d$ a coefficient that is tune to 0.6. The dynamics of the gates 1 and 2 are not modelled in this paper. It is supposed that between to simulation steps, the gates can be operated to obtain the desired discharges.

The system is modelled as a reach with a constant profile section, which characteristics are given in Table 1. The $n_r$ [s/m^1/3] is the Manning’s roughness coefficient; $m$ (dimensionless) is the side slope of the cross section ($m = 0$ for rectangular shape), $W$ [m] is the average width of the reach; $s_b$ is the bottom slope.

Note that the nominal discharge is $Q_0$.

Table 1. Physical characteristics of the reach.

| $n_r$ | $m$ | $W$ | $Q_0$ | $D$ | $L$ |
|-------|-----|-----|-------|-----|-----|
| 0.035 | 0   | 20  | 1     | 0.3 | 26.720 |

ID models (Schuurmans et al., 1999) are used to simulate the dynamics of the reach such as:

$$[Y_d(s)] = [p_{11}(s) \ p_{12}(s) \ p_{13}(s) \ p_{21}(s) \ p_{22}(s) \ p_{23}(s)] [Q_1(s) \ Q_2(s) \ Q_3(s)]$$

where $Y_d$ is the level upstream, $Q_1(s) = Q_2(s) + Q_3(s)$ is equal to the sum of the discharge $Q_1$ that supplies the storage area and the discharge $Q_2$ that empties the storage area. That implies that these discharges are signed depending if they add or subtract discharge to the reach. In this paper, it is supposed that the gates 1 (storage) and 2 (release) are very close and consequently no dynamic or delay has to be taken into account. The transfer functions $p_{ji}(s)$ are expressed as:

$$p_{ji}(s) = \frac{1}{A_{ji} \ \tau_{ji}} e^{-\tau_{ji} \ s}$$

with $A_{ji}$ the integrator gain and $\tau_{ji}$ the delay between points $j$ and $i$.

The parameters of the transfer functions $p_{ji}$ are computed according to the characteristics of the reach. To overcome the possible overlapping in the computation of these transfer functions, a strategy that consists in using the most distant part of the reach is proposed in (Segovia et al., 2018). The coefficients of the transfer functions $p_{ji}$ are given in Table 2, where the delays are given in seconds and $A$ is approximated as the area of the canal, i.e. $A = 20 \times 26720 = W \times L$.

Table 2. ID parameters.

| $A_{ji}$ | $\tau_{ji}$ | $p_{11}(s)$ | $p_{12}(s)$ | $p_{13}(s)$ | $p_{21}(s)$ | $p_{22}(s)$ | $p_{23}(s)$ |
|---------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| $A$     | 0           | 5615        | 17069       | 14072       | 9443        | 0           |

The ID models are implemented in Matlab/Simulink to reproduce the dynamics of the studied system. The real hydrographs $Q_1$ are the inputs of the simulated model. Finally, $Y_1$ downstream is the reference level for the flood impact. The flood mitigation control strategy consists in opening or closing the gates 1 and 2 according to the defined Logic Control rules by considering the type and magnitude of the hydrograph and the capacity of the storage area.

For this system, the capacity of the flood storage area corresponds to 1,000,000 m$^3$. It is a fictive flood storage area. The nominal level of the reach corresponds to 0.3 m. It is supposed that a flood occurs when this level exceeds 0.6 m. A level higher than 0.8 m leads to a strong flood. These alarm levels are also fictive and were selected according to the identified model of the reach. Finally, the threshold $Q_{lam}$ is tuned according to a 2-year flood, i.e. $Q_{lam} = 10 m^3/s$.

4.2 Scenarios of flood

Three scenarios based on three real hydrographs are considered. The first one, scenario 1 is a single peak with a highest discharge $Q_1$ upper than 43 m$^3$/s (see Fig. 4.b). In the following figures, the simulation results are depicted in solid blue line when no storage area is considered, in dotted magenta line when $Q_{lam} = 10 m^3/s$ is considered, and in dashed red line for the optimal $Q_{lam}$ that is determined by the designed flood mitigation control strategy. In the scenario, it leads to $Q_{lam} = 15.13 m^3/s$. In the first case, when no storage area is available, the level downstream $Y_1$ reaches more than 0.8 m causing extreme flood (see blue line in Fig. 4.a). $Y_1$ is limited to 0.66 m when the storage area is used with $Q_{lam} = 10 m^3/s$ (see dotted magenta line in Fig. 4.a) causing flood. In this case, the storage area is supplied too early as it is depicted in Fig. 4.c, i.e. from the 31th hour. That leads to fill the storage area from the 46th hour (see dotted magenta line in Fig. 4.e) and finally this induces another discharge peak downstream on $Q_2$ from the 52 hour (see dotted magenta line in Fig. 4.d). By using $Q_{lam}$, the storage area is supplied later, from the 34th hour (see dashed red line in Fig. 4.c) leading to a maximal $Y_1 = 0.49 m$, thus avoiding the flood.
In this paper a flood mitigation control strategy is designed. It requires the availability of flood storage areas, discharge and level meters. The designed strategy is based on the prediction of hydrographs, the estimation of the water volume, and the determination of the optimal time to begin the storage area supplying. An algorithm that leads to the determination of the water volume according to hydrographs is described. A realistic case-study based on real hydrographs is used to simulate three scenarios and to show the advantages of the designed flood mitigation control strategy. Future works will consider the uncertainties in the forecasting of rainfall/runoff models and therefore on the hydrographs.

5. CONCLUSION

The results of these three scenarios highlight the benefits of using an optimal flood mitigation control strategy. Even for the scenarios with double peaks and a discharge magnitude around 30 m³/s, the gain can be observed. This gain is much more important for hydrographs with bigger discharge magnitudes.

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