Few-body calculations of $\eta$-nuclear quasibound states

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Abstract

We report on precise hyperspherical-basis calculations of $\eta NN$ and $\eta NNN$ quasibound states, using energy dependent $\eta N$ interaction potentials derived from coupled-channel models of the $S_{11}$ $N^*(1535)$ nucleon resonance. The $\eta N$ attraction generated in these models is too weak to generate a two-body bound state. No $\eta NN$ bound-state solution was found in our calculations in models where $\text{Re } a_{\eta N} \lesssim 1$ fm, with $a_{\eta N}$ the $\eta N$ scattering length, covering thereby the majority of $N^*(1535)$ resonance models. A near-threshold $\eta NNN$ bound-state solution, with $\eta$ separation energy of less than 1 MeV and width of about 15 MeV, was obtained in the 2005 Green-Wycech model where $\text{Re } a_{\eta N} \approx 1$ fm. The role of handling self consistently the subthreshold $\eta N$ interaction is carefully studied.

Keywords: few-body systems, mesic nuclei, forces in hadronic systems and effective interactions

1. Introduction

The $\eta N$ interaction has been studied extensively in photon- and hadron-induced production experiments on free and quasi-free nucleons, and on nuclei [1]. These experiments suggest that the near-threshold $\eta N$ interaction is attractive, but are unable to quantify this statement in any precise manner. Nevertheless, $\eta$ production data on nuclei provide some useful hints on possible $\eta$ quasibound states for very light species where, according to Krusche and Wilkin (KW) “the most straightforward (but not unique) interpretation of the data is that the $\eta d$ system is unbound, the $\eta ^4\text{He}$ is bound, but that

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the $\eta^3$He case is ambiguous” [1]. Indeed, the prevailing theoretical consensus since the beginning of the 2000s, based on $\eta NN$ Faddeev calculations, is that $\eta d$ quasibound or resonance states are ruled out for acceptable $\eta N$ interaction strengths [2, 3]. Instead, the $\eta d$ system may admit virtual states [4, 5, 6]. Searching for reliable few-body calculations of the $A = 3, 4$ $\eta$-nuclear systems, we are aware of none for $\eta NNNN$ and of only one $\eta NNN$ Faddeev-Yakubovsky calculation [7], although not sufficiently realistic, that finds no $\eta^3$H quasibound state. Rigorous few-body calculations substantiating the KW conjecture quoted above are therefore called for. The present work fills some of this gap, reporting precise calculations of $\eta NN$ and of $\eta NNN$ few-body systems using the hyperspherical basis methodology [8], similarly to the calculations reported in Ref. [9] for the $\bar KNN$ and $\bar KNNN$ systems. Particular attention is given in the present work to the subthreshold energy dependence of the $\eta N$ interaction in a way not explored before in $\eta$ few-body calculations.

Theoretically, the $\eta N$ interaction has been studied in coupled-channel models that seek to fit or, furthermore, generate dynamically the prominent $N^*(1535)$ resonance which peaks about 50 MeV above the $\eta N$ threshold. Such models result in a wide range of values for the real part of the $\eta N$ scattering length $a_{\eta N}$, from 0.2 fm [10] to almost 1.0 fm [11]. Most of these
analyses constrain the imaginary part $\text{Im } a_{\eta N}$ within a considerably narrower range of values, from 0.2 to 0.3 fm. This is demonstrated in Fig. 1 where the real and imaginary parts of the $\eta N$ center-of-mass (cm) scattering amplitude $F_{\eta N}(\sqrt{s})$ are plotted as a function of the cm energy $\sqrt{s}$ for several coupled channel models. The $\eta N$ threshold, where $F_{\eta N}(\sqrt{s_{\text{th}}}) = a_{\eta N}$, is denoted by a thin vertical line. We note that both real and imaginary parts of $F_{\eta N}(\sqrt{s})$ below threshold decrease monotonically in all of these models upon going deeper into the subthreshold region, displaying however substantial model dependence. This will become important for the $\eta$ few-body calculations reported here.

Beginning with the pioneering work by Haider and Liu [17], and using input values of $a_{\eta N}$ within these specified ranges, several $\eta$-nucleus optical-model bound-state calculations concluded that $\eta$ mesons are likely to bind in sufficiently heavy nuclei, certainly in $^{12}$C and beyond [18, 19, 20, 21, 22]. In the few-body calculations reported here we find no $\eta d$ quasibound states for values of Re $a_{\eta N}$ as large as about 1 fm. We do find, however, a very weakly bound and broad $\eta^3\text{H} - \eta^3\text{He}$ isodoublet pair for Re $a_{\eta N} \approx$ 1 fm by solving the $\eta NNN$ four-body problem.

The paper is organized as follows. In section 2 we construct local energy-dependent single-channel potentials $v_{\eta N}$ that reproduce two of the $s$-wave scattering amplitudes $F_{\eta N}(\sqrt{s})$ shown in Fig. 1 GW [11] and CS [12]. In section 3 we sketch the hyperspherical-basis formulation and solution of the $\eta NN$ and $\eta NNN$ Schroedinger equations below threshold using these derived $\eta N$ potentials and realistic energy-independent $NN$ potentials. Because of the substantial energy dependence of $v_{\eta N}$ in the subthreshold region, a self consistency requirement [9] is applied so that the input energy argument of the two-body potential $v_{\eta N}$ for convergent few-body solutions is consistently related to some energy expectation values in the resulting quasibound state. Results are presented and discussed in section 4 followed by a brief summary and outlook section 5.

2. Construction of $\eta N$ effective potentials

We seek to construct energy-dependent local $\eta N$ potentials $v_{\eta N}$ that reproduce the $\eta N$ scattering amplitude $F_{\eta N}(\sqrt{s})$ below threshold in given models, e.g. from among those shown in Fig. 1. For convenience, the energy argument $E$ introduced in this section is defined with respect to the $\eta N$ threshold,
\[ E \equiv \sqrt{s} - \sqrt{s_{th}}, \] and should not be confused with the binding energy of the \( \eta NN \) and \( \eta NNN \) few-body states studied in subsequent sections.

We define \( v_{\eta N} \) in the form

\[ v_{\eta N}(E; r) = -\frac{4\pi}{2\mu_{\eta N}} b(E) \rho_\Lambda(r), \quad (\hbar = c = 1) \quad (1) \]

with \( \mu_{\eta N} \) the reduced \( \eta N \) mass and where \( \rho_\Lambda \) is a Gaussian normalized to 1:

\[ \rho_\Lambda(r) = \left( \frac{\Lambda}{2\sqrt{\pi}} \right)^3 \exp \left( -\frac{\Lambda^2 r^2}{4} \right). \quad (2) \]

\( \Lambda \) is a scale parameter, inversely proportional to the range of \( v_{\eta N} \). Its physically admissible values are discussed in subsection 2.2 below. Two representative values are used here, \( \Lambda = 2 \) and \( 4 \) fm\(^{-1} \). For a given value of \( \Lambda \), one needs to determine the energy-dependent strength parameter \( b(E) \) of \( v_{\eta N} \), as described in the following subsection 2.1.

2.1. Solution

Given a specific value of the scale parameter \( \Lambda \), the two-body \( s \)-wave Schrödinger equation

\[ -\frac{1}{2\mu_{\eta N}} u''(r) + v_{\eta N}(E; r) u(r) = Eu(r) \quad (3) \]

is solved for energies above \((E > 0)\) and below \((E < 0)\) threshold. The radial wavefunction \( u(r) \) satisfies the boundary conditions

\[ u(r = 0) = 0, \quad u(r \to \infty) \propto r(\cos \delta_0 j_0(kr) - \sin \delta_0 n_0(kr)), \quad (4) \]

where \( k = \sqrt{2\mu_{\eta N} E} \), \( j_0 \) and \( n_0 \) are spherical Bessel and Neumann functions, respectively, and \( \delta_0(E) \) is the complex \( s \)-wave phase shift derived by imposing these boundary conditions on the wave-equation solution. Above threshold, the wave number \( k \) is real and taken positive. Below threshold, \( k = i\kappa \) with \( \kappa > 0 \). The scattering amplitude \( F \) is then given by

\[ F_{\eta N}(E) = \frac{1}{k(\cot \delta_0 - i)}. \quad (5) \]

This procedure was used in Ref. [23] for constructing effective \( \bar{K}N \) potentials below threshold. In the present case, the subthreshold values of the complex
strength parameter $b(E)$ in Eq. (1) were fitted to the complex phase shifts $\delta(E)$ derived from subthreshold scattering amplitudes $F_{\eta N}(E)$ in several of the coupled-channel models of Fig. 1. This is shown for the GW \cite{11} and CS \cite{12} models in Fig. 2 using two values of the scale parameter $\Lambda = 2$ and $4 \text{ fm}^{-1}$ for GW and just one value $\Lambda = 4 \text{ fm}^{-1}$ for CS. The curves $b(E)$ are seen to decrease monotonically in going deeper below threshold, except for small kinks near threshold that reflect the threshold cusp of Re $F_{\eta N}(E = 0)$ in Fig. 1. Comparing models GW and CS for the same scale parameter $\Lambda = 4 \text{ fm}^{-1}$, one observes larger values of $b(E)$ in model GW than in CS, for both real and imaginary parts below threshold, in line with the larger GW subthreshold amplitudes compared with the corresponding CS amplitudes. We note furthermore that Im $b(E) \ll$ Re $b(E)$ in both models by almost an order of magnitude, see Fig. 2 which justifies treating Im $v_{\eta N}$ perturbatively in the applications presented below.

![Figure 2](image)

Figure 2: Real (left panel) and imaginary (right panel) parts of the strength parameter $b(E)$ of the $\eta N$ effective potential (1), for subthreshold energies $E < 0$, obtained from the scattering amplitudes $F_{\eta N}^{\text{GW}}$ \cite{11} and $F_{\eta N}^{\text{CS}}$ \cite{12} shown in Fig. 1. Two choices of the scale parameter $\Lambda$ are made for GW, both resulting in the same $F_{\eta N}^{\text{GW}}(E)$, and just one for CS.

To demonstrate the extent to which the energy dependence of $b(E)$ is essential, we compare in Fig. 3 the GW subthreshold amplitude from Fig. 1 which is also generated here using the $b(E)$ potential strength of Fig. 2 for $\Lambda = 4 \text{ fm}^{-1}$, to the amplitude marked gw which was calculated using a fixed threshold value $b(E = 0)$. This latter amplitude is seen to decrease too slowly beginning about $E \approx -7 \text{ MeV}$. Obviously, an energy-independent single-
2.2. Choice of scale

It is appropriate at this point to address the model dependence introduced in $\eta$-nuclear few-body calculations by the choice of the scale parameter $\Lambda$ made in constructing $v_{\eta N}$, Eqs. (1) and (2). $\Lambda$ is often identified with the momentum cutoff used to renormalize divergent loop integrals in on-shell EFT $N^*(1535)$ models [14, 15]. In separable-interaction coupled channel models, however, the momentum cutoff is replaced by fitted Yamaguchi form factors $(q^2 + \Lambda^2)^{-1}$ with a momentum-space range parameter $\Lambda$, the Fourier transform of which is a Yukawa potential $\exp(-\Lambda r)/r$ with r.m.s radius identical to that of the Gaussian potential shape (2). Values of $\Lambda$ from three such $N^*(1535)$ models, including the two used in the present work [11, 12], are listed in Table 1.

Inspection of Table 1 reveals a broad range of values that $\Lambda$ may assume, starting with $\Lambda \approx 3$ fm$^{-1}$. The relatively high value in the third column is rather exceptional for meson-baryon separable models. Given this broad spectrum of values spanned for $\Lambda$, we chose two values $\Lambda = 2$ and $\Lambda = 4$ fm$^{-1}$ to study the model dependence of our $\eta$-nuclear few-body calculations. The
Table 1: The $\eta N$ momentum scale parameter $\Lambda$ from several $N^*(1535)$ separable models.

| Ref.       | 10, 13 | 11 | 12 |
|------------|--------|----|----|
| $\Lambda$ (fm$^{-1}$) | 3.9    | 3.2 | 6.6 |

higher value, $\Lambda = 4$ fm$^{-1}$, corresponds to a Gaussian $\exp(-r^2/R^2)$ spatial range $R = 2/\Lambda = 0.5$ fm, a value which is very close to $R = 0.47$ fm taken from the systematic EFT approach in Ref. [23] and used in our $\bar{K}$-nuclear few-body calculations [9]. As argued there, choosing smaller values for $R$, namely larger values than 4 fm$^{-1}$ for $\Lambda$, would be inconsistent with staying within a purely hadronic basis.

In the Introduction section we loosely identified the strength of the $\eta N$ interaction with the size of the real part of its threshold scattering amplitude, $\text{Re} a_{\eta N} \lesssim 1$ fm. However, in terms of the interaction potentials $v_{\eta N}$ that enter our few-body calculations, a given value of $\text{Re} a_{\eta N}$ does not rule out a broad spectrum of spatial ranges, or equivalently momentum scale parameters $\Lambda$, as demonstrated in Fig. [2]. A model dependence is thereby introduced into our few-body calculations, summarized by stating that the larger the $\eta N$ scale parameter $\Lambda$ is, the larger is the $\eta$ separation energy, provided it is quasibound. This lack of scale invariance hints towards the necessity of including three-body forces, as is expected from an EFT point of view [25]. Such three-body forces amount to adding a new free parameter determined by tuning it to some $\eta$ few-body experimental data.

1The effective energy-dependent $\bar{K}N$ potential $v_{\bar{K}N}$ constructed by Hyodo and Weise [23] reproduces the $\bar{K}N - \pi\Sigma$ coupled-channel scattering amplitude which is the one essential for generating dynamically the $\Lambda^*(1405)$ resonance. In that case, the choice of $\Lambda$ must ensure that the $\bar{K}^*N$ channel that couples strongly to $\bar{K}N$ via normal pion exchange is kept outside of the model space in which $v_{\bar{K}N}$ is valid. This argument leads to a choice of $\Lambda = p_{\text{min}}(\bar{K}N \rightarrow \bar{K}^*N) = 552$ MeV/c or 2.8 fm$^{-1}$, corresponding to a Gaussian spatial range of $R = 0.71$ fm. In a somewhat similar reasoning Garzon and Oset [24] recently argued for extending the EFT description of the $N^*(1535)$ resonance to include the $\rho N$ channel which couples strongly to the already included $\pi N$ channel, although not to $\eta N$. Identifying $\Lambda$ with the minimum momentum needed to excite the $\pi N$ system to $\rho N$, we obtain $\Lambda = p_{\text{min}}(\pi N \rightarrow \rho N) = 586$ MeV/c or 3.0 fm$^{-1}$.
3. \( \eta \)-nuclear hyperspherical-basis formulation and solution

The hyperspherical-basis formulation of meson-nuclear few-body calculations was initiated in Ref. [9] for \( \bar{K} \) mesons. Here we sketch briefly the necessary transformation from \( \bar{K} \) mesons to \( \eta \) mesons. The \( N \)-body wavefunction \((N = 3, 4)\) in our case consists of a sum over products of isospin, spin and spatial components, antisymmetrized with respect to nucleons. In the spatial sector translationally invariant basis functions are constructed in terms of one hyper-radial coordinate \( \rho \) and a set of \( 3N - 4 \) angular coordinates \([\Omega_N]\), substituting for \( N - 1 \) Jacobi vectors. The spatial basis functions are of the form

\[
\Phi_{n,[K]}(\rho, \Omega_N) = R_n^{\nu}(\rho)Y_{[K]}^N(\Omega_N),
\]

where \( R_n^{\nu}(\rho) \) are hyper-radial basis functions expressible in terms of Laguerre polynomials and \( Y_{[K]}^N(\Omega_N) \) are hyperspherical-harmonics (HH) functions in the angular coordinates \( \Omega_N \) expressible in terms of spherical harmonics and Jacobi polynomials. Here, the symbol \([K]\) stands for a set of angular-momentum quantum numbers, including those of \( \hat{L}^2 \), \( \hat{L}_z \) and \( \hat{K}^2 \), where \( \hat{K} \) is the total grand angular momentum which reduces to the total orbital angular momentum for \( N = 2 \). The HH functions \( Y_{[K]}^N \) are eigenfunctions of \( \hat{K}^2 \) with eigenvalues \( K(K + 3N - 5) \), and \( \rho^K Y_{[K]}^N \) are harmonic polynomials of degree \( K \).

For the \( NN \) interaction we used two forms, the (Minnesota) MN central potential [26] and the Argonne AV4’ potential [27] derived from the full AV18 potential by suppressing the spin-orbit and tensor interactions and readjusting the central spin and isospin dependent interactions. In \( s \)-shell nuclei the AV4’ potential provides an excellent approximation to AV18 which pseudoscalar mesons, such as the \( \eta \) meson, are unlikely to spoil, recalling that their nuclear interactions cannot induce \( S \leftrightarrow D \) mixing beyond that already accounted for by the \( NN \) interaction. AV4’ and MN differ mostly in their short-range repulsion which is much stronger in AV4’ than in MN.

For the \( \eta N \) interaction we used the energy-dependent local potential \( \text{Re} v_{\eta N} \) introduced in Sect. 2. In order to distinguish the energy \( E \) of the

\footnote{This was demonstrated in \( \bar{K} \) nuclear cluster calculations [9], see the discussion of Table 1 therein, where the \( \bar{K}(NN)_{J=0} \) 4.7 MeV binding energy contribution to the full 15.7 MeV binding energy of \( (\bar{K}NN)_{J=1/2} \) calculated using AV4’ is short by only 0.2 MeV from that in a comparable calculation [28] using AV18.}
few-body system from the energy argument of $v_{\eta N}$, the latter is replaced by $\delta \sqrt{s} \equiv \sqrt{s} - \sqrt{s_{\text{th}}}$ from now on. Following Eq. (5) in [9], the subthreshold energy argument $\delta \sqrt{s}$ of $v_{\eta N}$, is chosen to agree self-consistently with

$$\langle \delta \sqrt{s} \rangle = -\frac{B}{A} - \xi_N \frac{A-1}{A} (T_{N:N}) - \frac{A-1}{A} B_\eta - \xi_\eta \left( \frac{A-1}{A} \right)^2 \langle T_\eta \rangle,$$  \hspace{1cm} (7)

where $\xi_{N(\eta)} \equiv m_{N(\eta)}/(m_N + m_\eta)$, $T_\eta$ is the $\eta$ kinetic energy operator in the total cm frame, $T_{N:N}$ is the pairwise $NN$ kinetic energy operator in the $NN$ pair cm frame, $B$ is the total binding energy of the $\eta$-nuclear few-body system and $B_\eta$ is the $\eta$ “binding energy”, $B_\eta \equiv -E_\eta = -\langle \Psi | (H - H_N) | \Psi \rangle$, where $H_N$ is the Hamiltonian of the purely nuclear part in its own cm frame and the total Hamiltonian $H$ is evaluated in the overall cm frame. In the limit $A \gg 1$, Eq. (7) agrees with the nuclear-matter expression given in Refs. [21, 22] for use in calculating $\eta$-nuclear quasibound states. It provides a self-consistency cycle in $\eta$-nuclear few-body calculations by requiring that the expectation value $\langle \delta \sqrt{s} \rangle$ derived from the solution of the Schroedinger equation agrees with the input value $\delta \sqrt{s}$ used in $v_{\eta N}$. Since each one of the four terms on the r.h.s. of (7) is negative, the self consistent energy shift $\delta \sqrt{s}_{\text{sc}}$ is necessarily negative, with size exceeding a minimum nonzero value obtained from the first two terms in the limit of vanishing $\eta$ binding.

The potential and kinetic energy matrix elements for a given $\eta$-nuclear state with global quantum numbers $I, L, S, J^\pi$ were evaluated in the HH basis. The $NN$ and $\eta N$ interactions specified above conserve $I = I_N$, $S = S_N$ and $L$. Since no $L \neq 0 \eta$-nuclear states are likely to come out particle stable, our calculations are limited to $L = 0$. The deuteron in this approximation is a purely $^3S_1$ state. Suppressing Im $v_{\eta N}$, the g.s. energy $E_{\text{g.s.}}$ was calculated in a model space spanned by HH basis functions with eigenvalues $K \leq K_{\text{max}}$. Self-consistent calculations were done for $\sqrt{s}$ ranging from the $\eta N$ threshold down to 30 MeV below. Self consistency in $\delta \sqrt{s}$ was reached after a few cycles. Good convergence was achieved for values of $K_{\text{max}} \approx 20 - 40$. Asymptotic values of $E_{\text{g.s.}}$ were found by fitting the constants $C$ and $\alpha$ of the parametrization

$$E(K_{\text{max}}) = E_{\text{g.s.}} + C \exp(-\alpha K_{\text{max}})$$ \hspace{1cm} (8)

to values of $E(K_{\text{max}})$ calculated for sufficiently high values of $K_{\text{max}}$. The accuracy reached is better than 0.1 MeV in both the three-body and the four-body calculations reported here.
The conversion width $\Gamma$ was then evaluated through the expression
\[ \Gamma = -2 \langle \psi_{g.s.} | \text{Im} V_{\eta N} | \psi_{g.s.} \rangle, \tag{9} \]
where $V_{\eta N}$ sums over all pairwise $\eta N$ interactions. Since $|\text{Im} V_{\eta N}| \ll |\text{Re} V_{\eta N}|$, this is a reasonable approximation for the width.

4. Results and discussion

Results of $\eta NN$ and $\eta NNN$ bound-state hyperspherical-based calculations for the GW $\eta N$ interaction, with $\text{Re} a_{\eta N}$ almost 1 fm, are given in this section. The weaker CS $\eta N$ interaction is found too weak to generate bound-state solutions.

4.1. $\eta NN$ calculations

No $I = 0, J^\pi = 1^- \eta d$ bound state solution was found for the $\eta NN$ three-body system using the MN $NN$ potential [26] and the GW [11] $\eta N$ effective potential with a fixed strength $b(\delta \sqrt{s} = 0)$, see Fig. [2], for either choice $\Lambda = 2$ or 4 fm$^{-1}$ of the scale parameter under study. It was found that $b(\delta \sqrt{s} = 0)$ in the GW model needs to be multiplied by 1.1 for $\Lambda = 4$ fm$^{-1}$ and by 1.3 for $\Lambda = 2$ fm$^{-1}$ in order to generate a $1^- \eta NN$ weakly bound state, with overall binding energy of $-2.219$ and $-2.264$ MeV, respectively, within three-body calculations that use a fixed $\eta N$ interaction strength $b(\delta \sqrt{s} = 0)$. Recall that the MN deuteron binding energy is $E_d = -2.202$ MeV. There is no $\eta d$ bound state also in the $\eta N$ CS [12] model, judging by the CS/GW relative strengths of $b(\delta \sqrt{s})$.

Given that the $\eta N$ interaction is too weak to bind the $I = 0, J^\pi = 1^- \eta NN$ state in which the $^3S_1$ $NN$ (deuteron) core configuration is bound, the unbound $^1S_0$ $NN$ core configuration in the $I = 1, J^\pi = 0^-$ $\eta NN$ state certainly cannot support a three-body bound state. This holds so long as the $1^-$ state is unbound and also for a certain range of larger $\eta N$ potential strengths that make the $1^-$ bound. This situation is reminiscent of the $\Lambda NN$ system which is known to have one $I = 0$ bound state in which the $\Lambda$ hyperon is bound to a deuteron core, but no $I = 1$ $\Lambda NN$ bound state, see e.g. Ref. [20].

Our negative results rule out any $\eta d$ bound state, practically in all dynamical models of the $N^*(1535)$ resonance where the $\eta N$ interaction is coupled in, and are consistent with similar conclusions reached in Refs. [2, 3, 4, 5, 6].
This holds also upon replacing the MN $NN$ interaction \[26\] by the AV4’ $NN$ interaction \[27\] in our $\eta NN$ calculations. In fact, somewhat larger $\eta N$ interaction multiplicative factors are then required to reach the onset of $\eta NN$ binding compared to those specified above. Applying the self-consistency requirement discussed in Sect. 3 to the $\eta NN$ calculation, and recalling the decreased strength $b(\delta \sqrt{s})$ in the $\eta N$ subthreshold region, see Fig. 2, would only aggravate the failure to generate a three-body $\eta NN$ bound state.

### 4.2. $\eta NNN$ calculations

Four-body $\eta NNN$ calculations were made using the MN \[26\] and the AV4’ \[27\] $NN$ potentials, and the GW \[11\] and CS \[12\] energy-dependent $\eta N$ potentials from Sect. 2. Based on $\eta^3H$ and $\eta^3He$, and with the leading 3$N$ configuration given by $I_N = S_N = \frac{1}{2}$ and $L^{\pi}_N = 0^{+}$, the quantum numbers of the calculated $\eta NNN$ state are $I = S = \frac{1}{2}$, $L = 0$ and $J^{\pi} = \frac{1}{2}^{-}$. The 3$N$ binding energy (disregarding the Coulomb interaction in the case of $^3He$) within our hyperspherical-basis calculation is $-8.38$ MeV for MN and $-8.99$ MeV for AV4’. Starting with the $\eta N$ GW model, with Re $a_{\eta N} = 0.96$ fm, and using the corresponding $v_{\eta N}$ from Sect. 2 with energy independent threshold strength $b(\delta \sqrt{s} = 0)$ for $\Lambda = 4$ fm$^{-1}$, a four-body $\eta NNN$ bound state was found with $\eta$ separation energy $E_{\eta \text{sept}}$ between 2 to 3 MeV, as listed in Table 2. We then applied a self consistency procedure by doing calculations with several given values of strength $b(\delta \sqrt{s})$, requiring that the expectation value $\langle \delta \sqrt{s} \rangle$ evaluated by Eq. (7) from the obtained solution agrees with the input value of the subthreshold energy $\delta \sqrt{s}$ argument of the strength $b(\delta \sqrt{s})$ used in the calculation. This resulted in considerably reduced values of less than 1 MeV for the $\eta$ separation energy $E^{\eta \text{sept}}_{\eta \text{sept}}$, which are listed in Table 2, together with the corresponding $\eta NNN$ binding energies $E^{\eta \text{sept}}_{\eta \text{sept}}$. Also listed in the table are the self consistent values $\delta \sqrt{s}_{\text{s.c.}}$ and the self-consistency reduction factors $x_{\text{s,c.}} \equiv b(\delta \sqrt{s}_{\text{s,c.}})/b(\delta \sqrt{s} = 0)$. No $\eta NNN$ bound-state solutions were found using $v_{\eta N}^{\text{GW}}$ self consistently for $\Lambda = 2$ fm$^{-1}$.

### Table 2: Results of $\eta NNN$ quasibound-state self-consistent calculations using the $\eta N$ model GW \[11\].

| $NN$ int. | $E(\text{NNN})$ | $E^{\text{no s.c.}}_{\eta \text{sept}}$ | $\delta \sqrt{s}_{\text{s.c.}}$ | $x_{\text{s,c.}}$ | $E^{\text{s.c.}}_{\eta \text{sept}}$ | $E_{\eta \text{sep}}$ | $\Gamma_{\text{g.s.}}$ |
|-----------|------------------|--------------------------------------|-----------------|-----------------|-----------------|-----------------|------------------|
| MN        | $-8.38$          | $-11.26$                             | $-13.52$        | 0.914           | $-9.33$         | 0.95            | 13.52            |
| AV4’      | $-8.99$          | $-11.33$                             | $-15.83$        | 0.895           | $-9.03$         | 0.04            | 15.75            |
Figure 4: The ηNNN g.s. energy $E_{g.s.}$ (solid curves) and the expectation value $\langle \delta \sqrt{s} \rangle$ (dashed curves) from Eq. (7), calculated using the $NN$ potentials $MN$ (red) and $AV4'$ (blue), are shown as a function of the energy argument $\delta \sqrt{s}$ used for the input $v_{\eta N}$. The dashed horizontal line marks the $NNN$ ($^3H$) g.s. energy $-8.48$ MeV and the dashed diagonal line marks potentially self consistent solutions satisfying $\langle \delta \sqrt{s} \rangle = \delta \sqrt{s}$. The dashed vertical lines mark the intersection of the dashed diagonal line with the $\langle \delta \sqrt{s} \rangle$ dashed curves, thereby fixing the self-consistent values $\delta \sqrt{s}_{s.c.}$.

In order to demonstrate how the self consistency procedure works we plotted in Fig. 4 the $\etaNN$ g.s. energy $E_{g.s.}$ and expectation value $\langle \delta \sqrt{s} \rangle$, calculated as a function of the subthreshold energy $\delta \sqrt{s}$ argument of the input $\eta N$ potential $v_{\eta N}$ in both $NN$ potential models. The difference between the $E_{g.s.}$ curves, using $MN$ or $AV4'$, is a fraction of MeV for any given input value $\delta \sqrt{s}$ and is hardly noticeable in the figure. The difference between the corresponding $\langle \delta \sqrt{s} \rangle$ curves amounts to a few MeV at each value of $\delta \sqrt{s}$ and is clearly visible in the figure, leading to self-consistency values $\delta \sqrt{s}_{s.c.}$ which differ from each other by more than 2 MeV (marked by the dashed vertical lines). The corresponding self consistent values of $E_{g.s.}$ are much closer to each other (marked by the thin dashed horizontal lines). The self consistency procedure is applied in the figure by looking for the intersection of the dashed diagonal line, locus of $\langle \delta \sqrt{s} \rangle = \delta \sqrt{s}$, with each of the $\langle \delta \sqrt{s} \rangle$ dashed curves.
Applying a similar self-consistency procedure to the weaker CS $\eta N$ interaction, rather than to the GW $\eta N$ interaction used above, no $\eta NNN$ bound state solution was found. With AV4' for the $NN$ interaction, this holds even upon using the threshold energy value in $v_{\eta N}^{CS}$. With the MN $NN$ interaction and for the choice $\Lambda = 4$ fm$^{-1}$, a bound-state solution is found for small values of the input energy $\delta \sqrt{s}$, disappearing at $-\delta \sqrt{s} \approx 2.8$ MeV which is way below the minimum value of $-\delta \sqrt{s}$ required in the limit of $E_{\eta \text{ sep.}} \to 0$. We conclude that the CS $\eta N$ interaction is too weak to provide self consistently $\eta NNN$ bound states.

Finally, the $\eta NNN$ width $\Gamma_{s.c.} \approx 15$ MeV listed in the last column of Table [2] was calculated using $\text{Im} \ b(\delta \sqrt{s}_{s.c.})$ in forming the integrand $\text{Im} \ V_{\eta N}$ in Eq. (9). This width is about three times larger than the widths evaluated self consistently using optical model methods across the periodic table within the $\eta N$ GW model [21]. Some explanation of this difference is offered noting that the magnitude of the downward energy shifts $\delta \sqrt{s}_{\text{eff}}$ effective in those works is considerably larger by factors of two to three than the $\approx 15$ MeV found in the present $\eta NNN$ calculations, reflecting the denser nuclear environment encountered by the $\eta$ meson as it becomes progressively more bound in the calculations of Ref. [21]. Recalling the steady decrease of the $\eta N$ absorptivity $\text{Im} \ F_{\eta N}$ in Fig. 1 upon moving deeper into subthreshold energies, a factor of two to three difference could be anticipated in favor of relatively small $\eta$ widths in heavier nuclei.

5. Summary and outlook

Precise hyperspherical-based few-body calculations were reported in this work to explore computationally whether or not $\eta$ mesons bind in light nuclei. To this end, complex energy-dependent local effective $\eta N$ potentials $v_{\eta N}$ were constructed, for subthreshold energies relevant to $\eta$ mesic nuclei, from coupled channel $\eta N$ scattering amplitudes in several models connected dynamically to the $N^*(1535)$ resonance. The scale dependence arising from working with an effective $v_{\eta N}$ was studied by using two representative values for the momentum scale, $\Lambda = 2.4$ fm$^{-1}$. Noting that $\text{Im} \ v_{\eta N} \ll \text{Re} \ v_{\eta N}$, only the real part of $v_{\eta N}$ was used in the bound-state calculations, with a related error estimated as less than 0.2 MeV, added to an estimated 0.1 MeV calculational error. The width of the bound state, making it into a quasi-bound state, was deduced from the expectation value of $\text{Im} \ v_{\eta N}$ summed on all nucleons.
No $\eta NN$ quasibound states were found for any of the two scale parameters chosen in models where the real part of the $\eta N$ threshold interaction satisfies $\text{Re} \, a_{\eta N} \lesssim 1 \text{ fm}$, in agreement with deductions made in several past few-body calculations of the $\eta d$ scattering length \[2, 3, 4, 5, 6\]. It is unlikely that the $\eta d$ system can reach binding upon increasing moderately the momentum scale parameter $\Lambda$.

For $\eta NNN$, essentially the $\eta^3H$ and $\eta^3He$ isodoublet of $\eta$ mesic nuclei, a relatively broad and weakly bound state was found with $\eta$ separation energy of less than 1 MeV using the GW $\eta N$ interaction model \[11\] where $\text{Re} \, a_{\eta N}$ is almost 1 fm. This holds for the larger of the two values of momentum scale parameter, $\Lambda = 4 \text{ fm}^{-1}$, studied here, whereas no bound state was obtained upon using the smaller value of $\Lambda = 2 \text{ fm}^{-1}$. The energy dependence of $v_{GW}^{\eta N}$, treated here within a self consistent procedure \[21, 22\], played an important role by reducing the calculated binding energy by about 2 MeV from that calculated upon using the $\eta N$ threshold energy value in $v_{GW}^{\eta N}$. For such halo-like $\eta$-nuclear quasibound states, the neglect of $\text{Im} \, v_{\eta N}$ in the bound-state calculation requires attention. In the case of the GW $\eta N$ effective interaction, we estimate the repulsion added by reinstating $\text{Im} \, v_{GW}^{\eta N}$ to second order to be roughly $\lesssim 0.2 \text{ MeV}$, eliminating thereby the very weakly bound $\eta NNN$ state calculated here using the AV4’ $NN$ potential, but not the weakly bound one calculated using the MN $NN$ potential. It is worth noting that the only other few-body $\eta NNN$ study known to us \[7\] deduced from their calculated $\eta^3H$ scattering length that no quasibound state was likely. However, the strength of the $\eta N$ interaction tested in these calculations was limited to $\text{Re} \, a_{\eta N} = 0.75 \text{ fm}$, short of our upper value of approximately 1 fm.

In conclusion, recalling the KW conjecture \[1\] quoted in the Introduction, it is fair to say that the present few-body calculations support the conjecture’s first and last items, namely that “the $\eta d$ system is unbound” and “that the $\eta^3He$ case is ambiguous”. Accepting that the strength of the two-body $\eta N$ interaction indeed satisfies $\text{Re} \, a_{\eta N} \lesssim 1 \text{ fm}$, which is much too weak to bind the $\eta N$ system, a persistent theoretical ambiguity connected with choosing a physically admissible range of values for the $\eta N$ scale parameter $\Lambda$ is demonstrated by our few-body calculational results, particularly for the four-body $\eta NNN$ system. By choosing a considerably larger value of $\Lambda$ than done here one could bind solidly this system. To remove this ambiguity, many-body repulsive interactions involving the $\eta$ meson need to be derived and incorporated within few-body calculations.

In future work we hope to extend our $\eta NNN$ calculations also by applying
methods of complex scaling that should enable one to follow trajectories of $S$-matrix quasibound-state poles and look also for other types of poles such as virtual-state poles or resonance poles, all of which affect to some degree the threshold production features of $\eta$ mesons in association with $^3\text{He}$. Furthermore we hope to initiate a precise and realistic calculation of the $\eta NNNN$ system in order to test the middle item in the KW conjecture, namely that “$\eta^4\text{He}$ is bound”.

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