Apatinib combined with S-1 as second-line therapy in advanced gastric cancer

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Abstract
Advanced gastric cancer (AGC) patients are not tolerant to the toxicities of traditional chemotherapy and its second-line therapeutic regimens are limited. The aim of the present study is to evaluate the efficacy and safety of apatinib combined with S-1 as the second-line therapy for AGC patients.

Patients with AGC were enrolled in this study. Patients received oral apatinib (250 mg to 500 mg once daily) and S-1 (40 mg/m² twice daily) on days 1–14. Each cycle was 28 days and one course of treatment consisted of 2 cycles. Clinical efficacy and adverse events (AEs) were observed. Kaplan–Meier method was used for survival analysis.

From November 2015 to December 2017, 58 AGC patients who failed first-line chemotherapy were enrolled and assessed retrospectively. According to the Response Evaluation Criteria in Solid Tumors (RECIST) standard, all patients were evaluable for response. None achieved CR, and 10 (17.2%) achieved PR (95% CI 7.2%–27.3%). SD was observed in 58.6% (34/58) of patients (95% CI 45.6%–71.7%) and NR in 24.1% (14/58) of patients (95% CI 12.8%–35.5%). The objective response rate (ORR) and the disease control rate (DCR) were 17.2% and 75.8% respectively. The median progression-free survival (PFS) and median overall survival (OS) were 143.1 days (95% CI 121.7–164.5) and 211.6 days (95% CI 162.9–219.7) respectively. The multivariate analysis showed that the ECOG PS was the independent factor of PFS and OS for AGC patients (PFS: HR = 3.676, 95% CI: 2.25–5.65, P < .001; OS: HR = 3.676, 95% CI: 2.29–5.89, P < .001). The main AEs were fatigue (72.4%), hypertension (46.6%), and leukopenia (48.3%). Apatinib combined with S-1 showed promising efficiency and was well tolerated as the second-line therapy for AGC patients. ECOG PS was the independent factor of PFS and OS for AGC patients. AEs were moderate and controllable, and leukopenia or hypertension was predictable factors for the PFS and OS of AGC patients.

Abbreviations: AEs = adverse events, AGC = Advanced gastric cancer, CFDA = China Food and Drug Administration, CR = complete response, CT = computed tomography, CTCAE = Common Terminology Criteria for Adverse Events, DCR = disease control rate, ECOG PS = Eastern Cooperative Oncology Group performance status, MDR = Multidrug resistance, ORR = objective response rate, OS = overall survival, PD = progressive disease, PFS = progression-free survival, PR = partial response, RECIST = Response Evaluation Criteria in Solid Tumors, SD = stable disease.

Keywords: apatinib, gastric cancer, S-1, targeted therapy

1. Introduction
Gastric cancer (GC) is a major public health problem worldwide, and is a common digestive tract tumors. GC remains second in terms of incidence among all cancers and the second leading cause of cancer-related death in China. In China more than 80% of GC patients are not diagnosed until they have reached advanced stage, and chemotherapy is commonly used as the first-line therapy for advanced GC (AGC). Currently, the most common first-line chemotherapy for AGC patients is fluoropyrimidines plus platinum compounds, with a median overall survival (OS) ranging from 9.3 to 15.3 months.

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et al reported that oxaliplatin plus S-1 compared with cisplatin plus S-1 showed the median OS was 14.1 and 13.1 months as the first-line therapy in AGC patients. In another study, docetaxel plus cisplatin and S-1 were compared with cisplatin and S-1, and the OS was 14.2 and 15.3 months respectively. For the second-line therapies, irinotecan, taxanes (docetaxel, paclitaxel) or ramucirumab were recommended for AGC patients with median OS ranging from 3.6 to 10.9 months. Sym SJ et al reported a median OS of 6.7 months and ORR of 20% in AGC patients treated with FOLFIRI in the second-line setting. COUGAR-02 study showed docetaxel monotherapy provided patients with a median OS of 5.2 months as the second-line therapy. However, the prognosis of AGC patients remains disappointing, with a median OS less than 1 year. AGC patients are not tolerant to the toxicities of traditional chemotherapy and its second-line therapeutic regimens are limited. Therefore, it is imperative to explore safe and effective therapies for AGC after failure of the first-line chemotherapy.

Apatinib is an oral tyrosine kinase inhibitor that can combine with VEGFR2 and block its downstream targets such as JAK/STAT3. It was approved by the China Food and Drug Administration (CFDA) as the third-line therapy for AGC in October 2014. S-1 is a widely used chemotherapy drug and has been demonstrated a notable effect in the treatment for GC. Some studies have shown that antiangiogenic drug combined with chemotherapy improves the outcome of cancer therapy, such as colorectal cancer and GC. However, the combination apatinib and S-1 in the treatment of GC is scarce. Given the absence of approved second-line therapies for AGC, we performed a retrospective study to evaluate the efficacy and safety of apatinib combined with S-1 as a second-line therapy.

2. Materials and methods
2.1. Patients
Between November 2015 and December 2017, 58 AGC patients who failed the first-line chemotherapy were enrolled in the Affiliated People’s Hospital of Jiangsu University. Before treatment, all patients underwent a physical examination, routine hematologic testing, and abdomen computed tomography (CT). Inclusion criteria contained:

1. age between 20 and 78 years;
2. histologically confirmed AGC;
3. failure of the first-line chemotherapy;
4. at least one measurable lesion;
5. recurrence or metastasis was confirmed by CT;
6. an Eastern Cooperative Oncology Group performance status (ECOG PS) of 0–2;
7. sufficient hematopoietic, hepatic and renal function;
8. a life expectancy of at least 12 weeks.

Exclusion criteria contained:

1. diagnosis of any other primary tumors within the last 5 years;
2. uncontrolled hypertension;
3. evidence of central nervous system metastases;
4. with bleeding tendency or receiving anticoagulants;
5. with ulcers, intestinal obstruction or perforation.

The study was approved by the Ethics Committee of the Affiliated People’s Hospital of Jiangsu University and conducted according to the Declaration of Helsinki. All patients provided written informed consent.

2.2. Treatment
Apatinib (CFDA approval no. H20140105) and S-1 (CFDA approval no. H20100135) were obtained from Jiangsu Hengrui Medicine Co., Ltd (Lianyungang, China). Patients received oral apatinib (250mg or 500mg once daily) and oral S-1 (40mg/m² twice daily) on days 1–14. Each cycle was 28 days and one course of treatment consisted of 2 cycles. The dose of apatinib was modified following recommendation by an expert consensus. If obvious adverse events (AEs) occurred, dose reduction was necessary. Treatment continued until disease progression, unacceptable toxicity, or any other reason.

2.3. Efficacy and safety
The primary end point was progression-free survival (PFS), and the secondary end points were objective response rate (ORR), disease control rate (DCR), OS, and safety. PFS was calculated from the date of initial treatment to the date of disease progression or death. OS was calculated from the date of initial treatment to death or the last follow-up. The clinical efficacy was assessed according to Response Evaluation Criteria In Solid Tumors (RECIST) version 1.1 and was classified as complete response (CR), partial response (PR), stable disease (SD), or progressive disease (PD). The ORR represented the percentage of patients with a CR or PR, and DCR was defined as the percentage of patients with a CR, PR, or SD. Treatment response was evaluated every 2 cycles. AEs were graded by the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) version 4.0.

2.4. Follow-up and outcome
Since starting therapy, follow-up by clinic consultation or telephone was conducted at least twice per month. The following items were recorded: the disease course, symptoms, AEs, status when follow-up was terminated (death, survival, or withdrawal), cause of death, and survival time (in days). Patients were observed until PD, death, or at the end of the study.

2.5. Statistical analysis
All statistical analyses were performed with SPSS (version 22.0; IBM Corporation, Armonk, NY, USA). The χ² test was used for enumeration data. Measurement data were expressed as mean± standard deviation. Survival curves were calculated with the Kaplan-Meier method and compared through the log-rank test. Cox proportional hazards analysis was used for univariate and multivariate analyses to explore the effects of clinicopathologic variables on survival. P<.05 was considered statistically significant.

3. Results
3.1. Patient characteristics
A total of 58 AGC patients were enrolled, including 40 men (69%) and 18 women (31%), with a median age of 63.7 years (range: 31–78). The clinical characteristics of the 58 patients were documented in Table 1. All patients received a first-line
chemotherapy, and the chemotherapy regimens included: (1) oxaliplatin (130 mg/m<sup>2</sup>) on day 1 and S-1 (40 mg/m<sup>2</sup> twice daily) on days 1–14; (2) docetaxel (75 mg/m<sup>2</sup>) or paclitaxel (135 mg/m<sup>2</sup>) on day 1 and S-1 (40 mg/m<sup>2</sup> twice daily) on days 1–14; (3) oxaliplatin (130 mg/m<sup>2</sup>) on day 1 and capecitabine (1000 mg/m<sup>2</sup>) bid on days 1–14. Prior surgery of the primary tumor had been performed in 66% of the patients. All patients were presented with metastatic disease. The predominant metastatic sites were abdominal lymph nodes (45, 77.6%), peritoneum (31, 53.4%), liver (25, 43.1%), and lung (14, 24.1%).

### 3.2. Initial dosage and adjustment

Thirty-five patients were initially administered and then maintained at a dosage of 250 mg per day. Twenty-three patients were initially administered and then maintained at a dosage of 500 mg per day, and 6 required a dosage reduction to 250 mg. The causes of dosage reduction in these patients included hypertension, leukopenia, and proteinuria.

### 3.3. Survival analysis

The date of the last follow-up was March 2018, and the median follow-up time was 385.0 days (range: 339.6–430.5). The reasons for discontinued follow-up were death, withdrawal, or termination of follow-up. At the endpoint of the follow-up, 51 patients (87.9%) died from related cause, 5 patients (8.6%) remained alive, and 2 patients lost to follow-up. All patients were evaluable for response (Table 2). None achieved CR, and 10 (17.2%) achieved PR (95% CI 7.2%–27.3%). SD was observed in 58.6% (34/58) of patients (95% CI 45.6%–71.7%) and NR in 24.1% (14/58) of patients (95% CI 12.8%–35.5%). The ORR and DCR were 17.2% and 75.8% respectively. The median PFS was 143.1 days (95%CI 121.7–164.5), and the median OS was 211.6 days (95%CI 162.9–219.7) (Fig. 1).

In the univariate analysis, ECOG PS was associated with longer OS and PFS (PFS: HR = 3.281, 95% CI: 2.14–5.04, P < .001; OS: HR = 3.748, 95% CI: 2.37–5.92, P < .001). The multivariate analysis showed that ECOG PS was the independent factor of PFS and OS for the AGC patients (PFS: HR = 3.565, 95% CI: 2.25–5.65, P < .001; OS: HR = 3.676, 95% CI: 2.29–5.89, P < .001). In addition, we found that age, gender and metastatic sites were not the predictors of OS and PFS.

| Gender | N | % |
|--------|---|---|
| Male   | 40 | 69 |
| Female | 18 | 31 |

| Age (years) | N | % |
|-------------|---|---|
| ≤65         | 27 | 46.6 |
| >65         | 31 | 53.4 |

| ECOG PS | N | % |
|---------|---|---|
| 0       | 26 | 44.8 |
| 1       | 15 | 25.9 |
| 2       | 17 | 29.3 |

| Metastatic sites, n | N | % |
|---------------------|---|---|
| ≤2                  | 31 | 53.4 |
| >2                  | 27 | 46.6 |

| Surgery of tumor | N | % |
|------------------|---|---|
| no               | 17 | 29.3 |
| yes              | 41 | 70.7 |

Table 1
Summary of the clinical characteristics of the 58 AGC patients.

| Characteristics | N | % |
|----------------|---|---|
| Gender         |   |   |
| Male           | 40 | 69 |
| Female         | 18 | 31 |
| Age (years)    |   |   |
| ≤65            | 27 | 46.6 |
| >65            | 31 | 53.4 |
| ECOG PS        |   |   |
| 0              | 26 | 44.8 |
| 1              | 15 | 25.9 |
| 2              | 17 | 29.3 |
| Metastatic sites, n |   |   |
| ≤2              | 31 | 53.4 |
| >2              | 27 | 46.6 |
| Surgery of tumor |   |   |
| no               | 17 | 29.3 |
| yes              | 41 | 70.7 |

ECOG PS = Eastern Cooperative Oncology Group Performance Status.

| Tumor response | apatinib combined with S-1 (N = 58) |   |
|----------------|-------------------------------------|---|
| Objective response |                                       | 17.2% |
| Disease control rate |                                   | 75.8% |
| Overall response |                                       |   |
| Complete response (n, %) |                               | 0 |
| Partial response (n, %) |                                 | 10 (17.2%) |
| Stable disease (n, %) |                                   | 34 (58.6%) |
| Progressive disease (n, %) |                               | 14 (24.1%) |

Table 2
Responses assessed per RECIST version 1.1.

RECEIT = response evaluation criteria in solid tumors.

Figure 1. Kaplan–Meier estimates of PFS and OS. (A) PFS for the overall population and the median PFS was 143.1 ± 73.6 days (95% CI 121.7–164.5). (B) OS for the overall population and the median OS was 211.6 ± 96.8 days (95% CI 162.9–219.7).
### 3.4. Safety

The treatment-related AEs, which mainly manifested as fatigue (72.4%), hypertension (46.6%), hand-foot syndrome (24.1%), proteinuria (13.8%) and leukopenia (48.3%), were acceptable and manageable. No grade 4 AEs were observed in our study. The main treatment-related AEs are summarized in Table 3.

We found that leukopenia and hypertension were associated with improved clinical outcomes. In patients with and without leukopenia, the median PFS was 187.5 vs. 101.1 days ($P < .001$; Fig. 2A), and OS was 267.4 vs. 158.6 days ($P < .001$; Fig. 2A). In patients with and without hypertension, the median PFS was 187.6 vs. 104.3 days ($P < .01$; Fig. 2B), and OS was 277.1 vs. 154.0 days ($P < .001$; Fig. 2B). The multivariate analysis showed that leukopenia (HR = 0.369, 95% CI: 0.17–0.82, $P = .014$) and hypertension (HR = 0.305, 95% CI: 0.14–0.69; $P = .004$) were independent factors of PFS. Similarly, leukopenia (HR = 0.342, 95% CI: 0.15–0.76, $P = .009$) and hypertension (HR = 0.280, 95% CI: 0.13–0.63; $P = .002$) were verified as independent factors for predicting OS.

### 4. Discussion

Angiogenesis is closely related to tumor growth, proliferation, progression and metastasis, and antiangiogenesis has been

| Table 3 | Incidence of AEs during the treatment. |
|---------|--------------------------------------|
| **Adverse events** | **Grade 1 or 2 (n)** | **Grade 3 (n)** | **Rate (%)** |
| Hematologic | | | |
| Leukopenia | 26 | 2 | 48.3 |
| Anemia | 6 | 0 | 10.3 |
| Thrombocytopenia | 12 | 0 | 20.7 |
| Nonhematologic | | | |
| Fatigue | 37 | 5 | 72.4 |
| Hypertension | 26 | 1 | 46.6 |
| Proteinuria | 8 | 1 | 15.5 |
| Hand-foot syndrome | 14 | 1 | 25.9 |
| Diarrhea | 3 | 0 | 5.2 |

Figure 2. Univariate analysis of biomarkers as predictive factors for PFS and OS Kaplan–Meier curves for PFS: leucopenia (A), hypertension (B).
demonstrated as an attractive target in cancer therapy.\textsuperscript{[12]} Because its efficacy was poor when used alone, antiangiogenic therapy was usually combined with chemotherapy. But the efficacy of antiangiogenic therapy combined with chemotherapy is controversial for GC. \textit{RAINBOW} trial demonstrated that ramucirumab combined with chemotherapy improved median PFS and ORR compared with the chemotherapy only,\textsuperscript{[19]} but ramucirumab plus FOLFOX obtained contrasting results.\textsuperscript{[20]}

Apatinib has been proven to be effective as the third-line therapy for AGC patients.\textsuperscript{[13,14]} \textit{Zhang Y et al} reported that apatinib monotherapy significantly improved PFS compared with placebo (4.43 months vs 3.8 months) as the second-line therapy.\textsuperscript{[21]} The addition of antiangiogenic drugs to chemotherapy improved the OS of AGC patients compared with chemotherapy alone. \textit{Xiang Wang et al} reported that the combination of apatinib with chemotherapy had significantly longer median PFS versus those receiving apatinib monotherapy (5.03 months vs 3.33 months, \textit{P} = .003).\textsuperscript{[22]} Therefore, apatinib combined with chemotherapy as the second-line therapy was associated with an increased survival benefit. However, there is insufficient evidence for apatinib combined with S-1 as the second-line therapy for AGC. So we observed the clinical efficacy and toxicity of apatinib combined with S-1 as the second-line therapy for AGC.

We observed that 75.8\% of AGC patients achieved DCR. The median PFS in our study was 143.1 days and the median OS was 211.6 days. The efficacy in our study was promising as compared with that in previous studies. In \textit{Lu B et al} study, the DCR was 73.68\% and the PFS was 3.72 months during the combination apatinib with chemotherapy as the second-line therapy for AGC patients.\textsuperscript{[23]} \textit{Yesong Gao et al} reported that apatinib combined with chemotherapy showed an improved DCR (58.4\% vs 41.9\%, \textit{P} = .041) in GC patients as compared with chemotherapy alone.\textsuperscript{[24]} This also verified our conclusions. Moreover, the median PFS in our study was similar with that in \textit{RAINBOW} study (median PFS was 4.4 months), which was the only successful antiangiogenic drug combined with chemotherapy in the second-line setting.\textsuperscript{[19]} \textit{Fangfang Lv et al} reported the median PFS was 5.1 months and the median OS was 6.3 months with S-1 monotherapy as the second-line therapy.\textsuperscript{[25]} Although cross-trial comparisons were difficult, we believed that the efficacy of combining apatinib with S-1 was better than that of S-1 monotherapy. Moreover, in our study, Cox regression analysis showed that ECOG PS was an independent prognostic factor of AGC, which was in accordance with the results of clinical trials on apatinib monotherapy. At the end of the follow-up, 5 patients remain alive. By analyzing the characteristics of these 5 patients, we considered that the reasons made these patients so responsive to this therapy were few metastatic sites, good ECOG score and obvious AEs.

Hypertension, proteinuria, and hand-foot syndrome are considered to be the most common AEs related to antiangiogenic agents. In our study, fatigue, leukopenia and hypertension were the most common AEs. However, treatment-related AEs were moderate and controllable, and the severity of those AEs was similar to or better than those observed in the previous studies.\textsuperscript{[13,14]} No treatment-related death was recorded. No indicator for the efficacy of apatinib has been identified, but AEs attributable to VEGFR TKIs have been reported as predictive biomarkers for treatment efficacy.\textsuperscript{[26]} In the analysis of AEs and efficacy, we found that patients with hypertension or leukopenia tended to have longer OS and PFS, so leukopenia or hypertension might serve as potential predictors of the clinical efficacy. Previous studies showed that the presence of AEs might serve as a potential biomarker of antitumor efficacy in GC patients,\textsuperscript{[22,26]} but the mechanisms have not been fully elucidated. The reasons may be the inhibition of VEGF pathway in non-tumor cells. Blood pressure might increase with inhibition of VEGFR in vascular endothelial cells.\textsuperscript{[22]} In our study, leukopenia was associated with improved clinical outcomes, and the reasons may lie in: leukopenia is a mild or moderate reduction and mainly occurs in patients with large doses (500 mg) of apatinib, and the dosage of apatinib may be related to the efficacy, but large dose of apatinib may lead to bone marrow suppression, which leads to leukopenia. As apatinib-induced AEs could partly reflect the inherent host biology that caused the difference in VEGF blockade, they could be served as prognostic biomarker of VEGF pathway inhibition efficacy. Due to the small sample size of our study, prospective studies are needed to validate these AEs as potential biomarkers.

Apatinib has consistently demonstrated manageable toxicity at daily doses of 250 mg to 850 mg. However, in some clinical trials, a lower apatinib dosage was used.\textsuperscript{[22]} Furthermore, the appropriate dosage of apatinib in the second-line therapy is unknown. According to the recommendation by an expert consensus,\textsuperscript{[18]} we used 250 mg or 500 mg once daily as the initial dosage of apatinib. Our clinical observations suggested that most patients were able to tolerate these dosages. Some patients underwent dose modification because of AEs and the treatment was continued in all patients after dose modification. Taking these findings into account, we suggested that the initial dosage for apatinib should be 250 mg or 500 mg.

Apatinib could increase the effects of S-1, but the synergistic effects are not fully understood. The synergistic effects may lie in:

1. Apatinib normalizes tumor blood vessels, thereby increasing the local chemotherapeutic drug concentration of the tumor;\textsuperscript{[28]}
2. Apatinib can enhance the anti-tumor effect of chemotherapy. \textit{Peng et al} found that apatinib combined with chemotherapy had a synergistic effect in the human nasopharyngeal carcinoma xenograft model.\textsuperscript{[29]} \textit{Feng et al} also found that apatinib enhanced the antitumor effect of docetaxel in the nude mice transplantation model.\textsuperscript{[30]}
3. Multidrug resistance (MDR) is the main reason for the failure of chemotherapy. Apatinib can reverse MDR by inhibiting the efflux function of multiple ABC transporters;\textsuperscript{[31]}
4. Apatinib may reduce inhibitory effect of Treg-mediated immunosuppression.\textsuperscript{[32]}

One strength of our study is that both apatinib and S-1 are orally administered, meaning that this is a cost-effective treatment option for AGC patients, especially for outpatients. However, we acknowledged that this study had some limitations. Firstly, it was a retrospective study in a single institution; secondly, the cohort in our study is relatively small and could result in bias; thirdly, it was a single-arm study with no control group for comparison, and thus selection bias could not be ruled out. Despite the absence of control, we compared our results with previous studies, which revealed that anti-angiogenic drugs combined with chemotherapy showed positive effects on PFS and OS in GC patients. \textit{Peng W et al} reported that patients receiving apatinib combined with chemotherapy had significantly longer median PFS versus those receiving apatinib monotherapy in the second-line therapy.\textsuperscript{[22]} Additionally, another study showed that apatinib combined with chemotherapy significantly improved the patient’s ORR and DCR compared with chemotheraphy alone in GC.\textsuperscript{[34]} In our study, the median PFS of apatinib combined with S-1 was
similar with the results of previous studies,\textsuperscript{13,14} however, due to the small sample size of our study, multicenter randomized controlled double-blind clinical trials are expected in the future.

5. Conclusions

Overall, the combination of apatinib and S-1 achieved relatively satisfactory results as the second-line therapy for AGC. AEs were moderate and controllable, and leucopenia or hypertension was predictable factors for the PFS and OS of AGC patients. Because of its tolerable AEs, convenient administration, and improved outcomes, apatinib combined with S-1 could be a therapeutic option for AGC patients after the first-line chemotherapy.

Author contributions

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