Influence of sex on chronic obstructive pulmonary disease risk and treatment outcomes

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Abstract: Chronic obstructive pulmonary disease (COPD), one of the most common chronic diseases and a leading cause of death, has historically been considered a disease of men. However, there has been a rapid increase in the prevalence, morbidity, and mortality of COPD in women over the last two decades. This has largely been attributed to historical increases in tobacco consumption among women. But the influence of sex on COPD is complex and involves several other factors, including differential susceptibility to the effects of tobacco, anatomic, hormonal, and behavioral differences, and differential response to therapy. Interestingly, nonsmokers with COPD are more likely to be women. In addition, women with COPD are more likely to have a chronic bronchitis phenotype, suffer from less cardiovascular comorbidity, have more concomitant depression and osteoporosis, and have a better outcome with acute exacerbations. Women historically have had lower mortality with COPD, but this is changing as well. There are also differences in how men and women respond to different therapies. Despite the changing face of COPD, care providers continue to harbor a sex bias, leading to underdiagnosis and delayed diagnosis of COPD in women. In this review, we present the current knowledge on the influence of sex on COPD risk factors, epidemiology, diagnosis, comorbidities, treatment, and outcomes, and how this knowledge may be applied to improve clinical practices and advance research.

Keywords: chronic obstructive lung disease, sex, smoking, comorbidity, sex bias

Introduction

Chronic obstructive pulmonary disease (COPD) is one of the most common chronic conditions worldwide, and is now the third-leading cause of death in the US.¹ Over the past 20 years, COPD-related measures, including prevalence and mortality, have increased more rapidly among women than among men, altering the historical perception that COPD is predominantly a disease of men.¹ These changes have historically been attributed to the changes in smoking over the last century. Recent evidence suggests that men and women differ in their susceptibility to COPD risk factors,¹ possibly related to biologic and hormonal mechanisms. In addition, the clinical presentation of COPD, comorbidities, and other factors vary between sexes and may influence response to treatment. We summarize data on how sex influences risk factors, epidemiology, clinical presentation, treatment, and outcomes of COPD, and how this knowledge may help us develop better management strategies.

Sex differences in risk factors of COPD

Table 1 is a summary of the differences seen in COPD-related risk factors between men and women.
Table 1 Summary of the influence of sex on COPD risk factors

| Risk factors     | Male                                                                 | Female                                                                 |
|------------------|---------------------------------------------------------------------|------------------------------------------------------------------------|
| Tobacco use      | – Tobacco use peaked in the 1970s in the US among men⁴               | – Tobacco use peaked in the 1980s in the US, and continues to increase in the developing world⁵⁶ |
| Occupational exposures | – Organic dusts from agriculture, mining (coal, heavy metals), and other industries (metallic fumes like cadmium and aluminum)¹⁴ | – Women may be more susceptible to tobacco smoking and develop COPD earlier and with lesser exposure⁶ |
| Nonoccupational exposures | – Air pollution is increasing in the developing world, especially India and the People’s Republic of China, and affects men more due to their mobility and job types (eg, cab driver) | – Women now working in traditionally male occupations |
| Asthma           | – Asthma is an important comorbidity and risk factor for COPD¹⁰       | – Traditionally more exposed to textiles, brassware, ceramics, and glassware, especially in the developing world¹⁴ |
| Genetic factors  | – Genetics plays an important role in development of COPD            | – Air pollution will be more of a concern as women assume more male-held jobs; indoor air pollution is a major concern in the developing world¹⁴ |
| Infections       | – Infections are important factors for AECOPD and progression of disease | – Asthmatic women are more susceptible to COPD, and have poorer quality of life and utilize more health care¹⁷ |
|                  | – Develop lower respiratory infections more frequently than women¹⁷ | – Genetically predisposed to develop severe early onset COPD¹⁰ |
|                  |                                                                     | – Upper respiratory infections more common than in men¹² |

Abbreviation: AECOPD, acute exacerbations of chronic obstructive pulmonary disease.

Smoking
Cigarette smoking is the most important risk factor for COPD in the developed world. COPD related to tobacco consumption increased over the past 100 years in most of the developed world as large numbers of men, then women, took up smoking. In the US, cigarette smoking peaked during the 1970s among men and during the 1980s among women. In the developing world, the prevalence of smoking among women is predicted to increase to 20% by 2025.³ Future trends in COPD will reflect this change.

Men and women may have differential susceptibility to tobacco smoke. Women develop more severe COPD at younger ages than men and with lower levels of cigarette exposure.⁶ In the NETT (National Emphysema Treatment Trial) study, women had fewer pack-years of cigarette smoking than men, but had similarly severe COPD.⁷ A 2006 meta-analysis of smoking and COPD by Gan et al found that female smokers had a faster annual decline in forced expiratory volume in 1 second (FEV₁) than male smokers.⁸ Moreover, Sørheim et al observed that among people with COPD younger than age 60 years, women had a lower mean FEV₁ and more severe COPD with less cigarette-smoking exposure.⁹ In that study, women had greater reductions in FEV₁ than men when they had fewer than 20 pack-years of exposure, but after 25 pack-years of smoking, the dose–response relationship of men and women was similar.

The heightened susceptibility to cigarette smoke in women could have several etiologies. First, there may be a genetic predisposition for smoking-related lung damage in certain families that is sex-specific. Silverman et al found a very high prevalence of COPD among women (71.4%) in a study of 84 probands with severe early onset COPD. In addition, smoking female first-degree relatives of these probands were nearly twice as likely to have airway obstruction and 3.5 times as likely to have severe obstruction than smoking male relatives.¹⁰ Second, women may have an increased dose-dependent tobacco susceptibility. Women have smaller lungs and airways than men; therefore, the same amount of tobacco smoke results in greater exposure. Furthermore, exposure to secondhand smoke and male/female differences in cigarette-brand preference and inhalational techniques may also be a factor.¹¹ Third, there may be hormonally mediated differences in tobacco-smoke metabolism. For example, animal studies demonstrate that cytochrome P450 enzymes are upregulated by estradiol, potentially making female lungs more susceptible to cigarette smoke-related oxidant.¹²

Occupational exposure
Chronic exposure to occupational dusts is a risk factor for the spectrum of chronic respiratory diseases.¹³ Common occupational exposures linked to COPD include those that come from mining (coal, heavy metals), agriculture (organic dusts), and other industries (metallic fumes like cadmium and aluminum).¹⁴ These occupations have classically been held by men. However, with the reassignment of sex roles and more single-parent families, a higher number of women are filling those historically male-held jobs. In addition, women,
especially in the developing world, are working in other dusty industries like the production of textiles, brassware, ceramics, and glassware.

Nonoccupational exposure

Although air-pollution levels have been decreasing in many parts of the world, these levels remain elevated in some countries like the People’s Republic of China and India. This is of particular significance, as recent data suggest that girls experience more reduction in pulmonary function than boys when they have exposure to either environmental air pollution or tobacco smoke.15

In addition, indoor air pollution disproportionately affects women, particularly in the developing world. This is primarily due to the common practice of using unprocessed solid fuels, such as wood and dung, for cooking and heating and the reality that cooking is often a task done exclusively by women.16

Asthma

Asthma is a common comorbidity, as well as a risk factor for COPD. People with asthma are at much higher risk of having COPD.17 A longitudinal study by Silva et al found that compared to nonasthmatics, active asthmatics had a tenfold-higher risk for acquiring symptoms of chronic bronchitis, a 17-fold higher risk of receiving a diagnosis of emphysema, and a 12-fold higher risk of having COPD, even after adjusting for smoking history and other potential confounders.18

The influence of sex on asthma has been studied extensively. Studies show an increased incidence and prevalence of asthma in women, and that asthmatic women have a lower quality of life (QoL) and more utilization of health care compared to their male counterparts, despite similar medical treatment and lung function.19 Researchers continue to study the reasons for these differences, including the potential affect of sex hormones, differential perception of airflow obstruction, atopy, heightened bronchial hyperresponsiveness, and medication compliance and technique. The relationship is likely a complex one involving all those factors.

Genetics

Genetics plays an important role in the development of COPD. The most well-known genetic factor in the development of COPD is the deficiency of the enzyme α1-antitrypsin. However, there are a number of other genes that are likely involved in the pathogenesis of COPD. Foreman et al analyzed data from 2,500 subjects in the COPDGene study, a large study that enrolled smokers with and without COPD at 21 clinical centers throughout the US.20 Severe, early onset COPD participants were overwhelmingly female (66%), with higher rates among African American smokers. The COPDGene study has a longitudinal component that will provide new insights into the biologic and sex differences in the genetic predictors of COPD development and progression.

Infections

Bacterial and viral infections are a major cause of acute exacerbations of COPD, and contribute to the development and progression of COPD.21 Sex may influence the development and outcomes of upper and lower respiratory infections, although this has not been studied extensively. An analysis of 84 published studies demonstrated that upper respiratory infections were more common in women, while lower respiratory infections were more common in men.22 The same analysis demonstrated that the course of most respiratory infections is more severe in males, leading to higher mortality, especially with community-acquired pneumonia. Similar findings have been reported in other studies, as noted in the outcome sections to follow. The precise reasons behind these differences are not clear, although biologically and hormonally mediated variability in immunity and behavioral differences may be involved.

Sex differences in the prevalence of COPD

Sex influences COPD in a number of different ways. Table 2 is a summary of these differences in prevalence, comorbidities, and response to different treatment modalities. The measurement and monitoring of the burden of COPD, despite its high prevalence, remains difficult. Prevalence estimates of COPD rely on a number of measures, including self-report, administrative databases, and lung-function testing. In general, higher prevalence is seen among men with analyses of administrative databases, while self-reports show higher prevalence among women.23

In the US, between the first and third National Health and Nutrition Examination Surveys (NHANES), the prevalence of spirometrically determined COPD increased among women from 50.8 to 58.2 per 1,000, while the prevalence decreased among men from 108.1 to 74.3 per 1,000.24 Findings from the National Health Interview Survey (NHIS) show that the self-reported prevalence of COPD (chronic bronchitis and emphysema) in the US did not change between 1998 and 2009, and has remained higher among women than among men (Figure 1).25 Similar patterns have been observed in other developed countries like Canada, Netherlands, and Austria.26–28
Table 2 Summary of the influence of sex on the prevalence, diagnosis, and outcomes of chronic obstructive pulmonary disease (COPD)

| Outcomes                  | Male                                                                 | Female                                                                 |
|---------------------------|----------------------------------------------------------------------|------------------------------------------------------------------------|
| Prevalence                | Historically more prevalent in males by administrative database analysis22,23                                   | Prevalence is higher by self-report,22,25 and is increasing in females24                                         |
|                           | Prevalence decreasing in the US,24 but still rising in developing countries                                       | Mostly chronic bronchitis phenotype                                      |
|                           | Mostly emphysematous phenotype23,24                                                                                  | In the US, the number of women diagnosed annually with emphysema now surpasses that of men as of 201124,25 |
| Quality of life           | Poor quality of life compared to general population                                                                  | Poorer quality of life than men and more intense dyspnea40,44,46          |
| Diagnosis                 | More likely to be diagnosed with COPD50,51                                                                           | More likely to be diagnosed with asthma28,51                             |
|                           | Fewer reports of diagnostic delay or reaching physician                                                               | More diagnostic delay, difficulty reaching physician, or having adequate time12,23,25,26 |
| Comorbidities             | IHD, arrhythmias, alcoholism, renal failure, cancers more common25,26,27,28                                           | Depression, anxiety, osteoporosis, reflux, IBD more common45,52,53,54,57 |
| Acute exacerbations and   | Mortality higher after exacerbation, higher average number of hospitalizations per year42,75–77                   | Mortality may be lower after exacerbation42,75–77                       |
| hospitalizations          |                                                                                                                    |                                                                        |
| Responses to treatment    | More attempts at and more sustained quitting of smoking; nicotine replacement more effective52,59,60            | Fewer quit attempts, less sustained quitting, but better improvement in FEV1 with quitting22,25,40               |
| options                   | ICS reduces phlegm more63                                                                                           | ICS may not reduce phlegm as well63                                     |
|                           | Benefits of LTOT may not be as good as in women                                                                       | deterioration may be worse on stopping64,65 chronic macrolide therapy may be more effective46,67,68                |
|                           | Effects of pulmonary rehabilitation may be more sustained23                                                           | More emotional and psychosocial benefits from pulmonary rehabilitation73     |
| Mortality                 | Declining over last few decades23                                                                                   | Increasing over last few decades; and predicted to continue to rise;23 more women have died than men from COPD in the US since 200023 |

Abbreviations: IHD, ischemic heart disease; IBD, inflammatory bowel disease; FEV1, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; LTOT, long-term oxygen therapy.

In the developing world, diagnosed COPD remains higher among men than among women,29,30 while some of these differences may reflect a sex bias in the diagnosis of COPD, the future will likely see increasing prevalence among women as more take up smoking and work in traditionally male occupations.31

Sex differences in the expression of COPD

There are also phenotypic differences between women and men in the expression of COPD, which might help explain some of the prevalence differences noted earlier. In 1987, Burrows et al suggested that sex dimorphism may be present in COPD when they described two types of COPD: emphysema and chronic asthmatic bronchitis.32 People with emphysema were more likely to be men, experienced more rapid decline in pulmonary function, and had a higher mortality rate. People with chronic asthmatic bronchitis were more likely to be women, experienced less rapid decline in pulmonary function, and had a lower mortality rate. NHIS data similarly suggest that chronic bronchitis is more common among women, and emphysema is traditionally more common among men, although since 2011 more women in the US have been diagnosed with emphysema than men.33 Radiologic and histologic data also show similar findings, with women having less severe emphysema but thicker airway walls and smaller airway lumens.34

An interesting observation with regard to sex is seen in COPD among never-smokers. About 15%–30% of all people who have evidence of COPD are never-smokers. Of this group, nearly 80% are women, suggesting that women may be more susceptible to nonsmoking-related factors.35 In one prospective study of COPD among never-smokers, sputum eosinophilia was elevated in one group and sputum neutrophil count was elevated in another.36
the neutrophilia had a higher prevalence of organ-specific autoimmune disease and autoantibodies, including thyroid disease. In another study, changes in the bronchoalveolar lavage-cell proteome were found in female but not male patients.39 These observations suggest that increased responsiveness of the female immune system may be responsible for some sex-related differences.39

Women who have COPD are more likely to report dyspnea40,41 and less likely to report the production of phlegm.40,42 In addition, women report higher intensity of dyspnea despite fewer pack-years of smoking and similar degrees of pulmonary impairment.41 A recent Spanish study showed that women reported less phlegm than men but a similar level of cough, dyspnea, and wheezing.43 An analysis of the PLATINO (Proyecto Latinoamericano de Investigación en Obstrucción Pulmonar) cohort showed that dyspnea was more common among women both with and without COPD.44 In the ECLIPSE (Evaluation of COPD Longitudinally to Identify Predictive Surrogate Endpoints) cohort, when stratified by COPD-severity category, men and women had a similar age, but women had less smoking exposure, lower body mass index and fat-free mass index, and more exacerbations than men.45 In the TORCH (Towards a Revolution for COPD Health) study, women reported more exacerbations and worse dyspnea.46 The Euroscop study demonstrated that FEV1 as well as change over time correlated with prevalence, remission, and incidence of symptoms in men. This study suggests that symptoms are a good predictor of disease status and/or underlying disease activity, particularly among men.47

The reasons behind differences in clinical expression of COPD between men and women are likely multifactorial. Becklake and Kauffmann suggested that societal concepts of athleticism may cause men to report less breathlessness than women;48 others have suggested that sociocultural factors may result in women being less likely to report the production of sputum or phlegm.21 Some physiologic data suggest that fat-free body mass, which is lower in women with COPD, is related to a lower diffusion capacity and more dyspnea.49

Sex bias in the diagnosis of COPD

Health care providers are more likely to diagnose COPD in men than women. This has important implications in the care and outcome of these patients. Two studies assessed sex bias in the diagnosis of COPD among health care workers in recent years. In 2001 in the US and Canada, Chapman et al had a study published50 that was replicated in Spain by Miravitlles et al in 2006.51 The Confronting COPD Survey also found that after adjusting for age, pack-years, country, and dyspnea scores, women were less likely to have had spirometry but more likely to have had smoking-cessation advice.40 In another recent study of more than 3,500 patients by Ancochea et al, 73% of patients with spirometric COPD criteria were underdiagnosed clinically, and this percentage varied by sex, being 30% more frequent among women (86.0%) than among men (67.6%).41 Also, a study looking at the perception of COPD found that women were more likely to report COPD diagnostic delay, difficulty reaching the physician, and not being able to spend sufficient time with the physician.52 Together, these data suggest that women with COPD are underdiagnosed and less likely to be controlled and content with their COPD treatment.

Sex differences in comorbidities

Patients with COPD often have coexisting medical conditions that contribute to morbidity and mortality. Although sex differences in comorbidities are relatively understudied, men and women differ in their patterns of comorbid diseases, which might explain, in part, the differences in outcomes between the two sexes. In the ECLIPSE cohort, cardiovascular comorbidity and diabetes were less common among women, whereas osteoporosis, inflammatory bowel disease, reflux, and depression requiring treatment were more common.45 In a European study, women with COPD had less ischemic heart disease and alcoholism, but more chronic heart failure, osteoporosis, and diabetes mellitus.53 A recent Swedish study of oxygen-dependent COPD patients showed that men had more arrhythmia, ischemic heart disease, cancer and renal failure, fewer mental disorders, and less osteoporosis, hypertension, and rheumatoid arthritis than women.54 Women also had more anxiety and depression and worse symptom-related QoL than men.55,56 Symptoms and QoL measures may be more important determinants of depression in women with COPD than physiologic and biologic measures.57

Sex-related differences in treatment options for COPD

Smoking cessation

Smoking cessation is the only intervention documented to slow lung-function decline.58 Women are not only more susceptible to adverse effects of tobacco smoking but also they may have more benefits upon successfully quitting smoking. This was demonstrated in the Lung Health Study that showed that women who remained nonsmokers had an average improvement in their FEV1 % predicted during the first year that was 2.5 times greater than the improvement
seen in their male counterparts. However, women may have more difficulty quitting and have a lower long-term success rate of smoking cessation than men do; this may be, in part, due to less symptomatic benefit upon quitting among women. Recent population data from the US, Canada and the UK suggest similar rates of successful tobacco cessation between sexes. Older pharmacologic therapy with nicotine replacement was more beneficial among men, whereas the newer agents bupropion and varenicline seem to be equally effective in women and men.

Pharmacologic management of COPD
There are few data on sex differences in different therapies for COPD, because most studies of pharmacologic agents have not been designed to assess treatment in men versus women and most trials have enrolled more males than females. For example, little is known about how differences in lung anatomy and physiology of males and females may affect dosage, delivery, and effectiveness of inhaled medications. Based on current studies, there is minimal known effect of sex on efficacy and adverse events of current agents. One study found no male/female differences in the efficacy of salmeterol/fluticasone combination therapy on predose FEV₁, exacerbation rate, or QoL scores. Another study demonstrated that the effects of tiotropium on lung function, symptoms, and QoL were similar in men and women. Budesonide led to a reduction in phlegm among men but not women in the Euroscop study. The probability of respiratory deterioration (either symptomatic or exacerbations) when stopping inhaled steroids is higher among women than among men. In a recent study of azithromycin for the prevention of acute exacerbations of COPD, a subgroup analysis showed a nonstatistical difference favoring women. Large and better-designed trials are necessary to determine whether clinically meaningful sex differences exist in the pharmacotherapy of COPD.

There may also be sex differences in prescribing habits of providers, as well as compliance with medications between men and women. Dales et al found that among patients with mild-to-moderate COPD, the proportion of females on respiratory medications was twice that of males; this difference was not seen in severe COPD. On the other hand, Sestini et al found that men with COPD were more likely than women to be on the “new” dry-powder inhalers versus metered-dose inhalers.

Long-term oxygen therapy
Long-term oxygen therapy (LTOT) improves survival among hypoxemic patients with COPD, but the impact of sex on LTOT has been mixed. A meta-analysis of LTOT showed that women on LTOT had a survival advantage over men. However, Machado et al reported that in a cohort of patients with COPD receiving LTOT, survival was significantly worse among women. Discrepancies between these studies may be due to patient population and analytical approaches, and the criteria for indications to start LTOT.

Vaccination
Studies on sex differences in vaccination are scant. One study from Israel showed that women were less likely to receive influenza and pneumococcal vaccinations, but it is not clear that this finding can be extrapolated to other countries and cultures.

Pulmonary rehabilitation
A multidisciplinary pulmonary rehabilitation program should be part of the therapy of all patients with COPD, and should include components of exercise training, nutritional counseling, and patient education. Foy et al demonstrated that after 3 months of exercise therapy, both men and women reported similar improvements in health-related QoL (HRQoL) measures; after 18 months, however, continued benefits were seen in men but not in women. Haggerty et al assessed improvements in outcomes among 164 subjects (54% female) in pulmonary rehabilitation. They found that women experienced larger improvements in the mastery and emotion subscales of the Chronic Respiratory Disease Questionnaire (CRDQ) and the psychosocial subscale of the Pulmonary Function Status Scale (PFSS); however, all other outcomes (other subscales of the CRDQ and the PFSS, and 6-minute walk distance) were similar between men and women.

Influence of sex in outcomes of COPD
Differences in morbidity, acute exacerbations, and hospitalizations
COPD is a major source of morbidity globally. The difference in prevalence of COPD, diagnosis, comorbidities, and outcomes with treatment in the two sexes has been discussed in the prior sections. Females also have a decreased HRQoL related to COPD. In both the PLATINO and TORCH cohorts, more females identified their health as fair to poor compared to males, and currently smoking females had more severe disease than males. In addition, the factors determining HRQoL for men and women with COPD may differ by sex. In one study among men, the total SGRQ score was predicted
by dyspnea, exercise capacity, degree of hyperinflation, and comorbidity, whereas among women the only predictors were dyspnea and oxygenation status.\textsuperscript{74}

Men and women differ in the outcomes of acute exacerbations and hospitalizations of COPD, with women generally having a survival advantage. In one study of COPD exacerbations in the emergency department, Cydulka et al found higher relapse rates among men despite similar levels of care provided in the hospital.\textsuperscript{42} However, they also found that women were less likely to self-medicate with anticholinergic agents, and were less likely to seek emergency care within the first 24 hours of exacerbation. Cao et al found among 186 patients with COPD in Singapore that men had more hospital admissions in a year for COPD exacerbations than women.\textsuperscript{75} In a recent analysis of over 40,000 people in Canada, Gonzalez et al found that men had an increased risk of death and hospitalization for COPD.\textsuperscript{76} Patil and colleagues also found an increased risk for in-hospital mortality among men admitted for an acute exacerbation of COPD.\textsuperscript{77}

**Differences in mortality**

Between 1990 and 2010, COPD became the third-leading cause of death in the US. Death rates for COPD declined among US men between 1999 (57.0 per 100,000) and 2006 (46.4 per 100,000), whereas there was no significant change in death rates among women (35.3 per 100,000 in 1999 and 34.2 per 100,000 in 2006). In 2000, the absolute number of women dying from COPD surpassed the number of men, although the age-adjusted COPD death rates for men were 30% higher than those rates for women.\textsuperscript{23}

In 2009, de Torres et al showed that after controlling for disease severity, women had significantly better survival than men.\textsuperscript{78}

A recent assessment of changes in mortality rates due to COPD in the cohorts from NHANES I and NHANES III in the US showed a smaller decrease in the mortality rate among women with moderate or severe COPD than among men (3.0% versus 17.8%).\textsuperscript{79} An analysis of smoking-related mortality in the US evaluated temporal trends in sex-specific smoking-related mortality across three time periods (1959–1965, 1982–1988, 2000–2010) in seven large cohorts.\textsuperscript{80} In the “contemporary” cohort (2000–2010), male and female current smokers had similar relative risks for mortality from COPD (26.61 for men, 22.35 for women), with these relative risks representing almost a doubling of risk compared to the 1982–1988 time period (Figure 2). The survival advantage that women with COPD have historically had has been slowly diminishing in recent years. Finally, in a recent analysis of the BOLD (Burden of Obstructive Lung Disease) cohort, spirometric restriction was more predictive of increased mortality risk than airflow obstruction in both the sexes, with worse mortality seen in lower-income groups.\textsuperscript{81}

**Conclusion**

The influence of sex on COPD risk and outcomes is due to a combination of both environmental/behavioral factors and genetic/biophysiologic factors. In addition, the roles that women play in society, along with cultural norms and expectations, are changing rapidly in both the developed and...
developing worlds. Identifying the reasons and mechanisms behind those factors, as well as the sex differences in the pattern of comorbidities, exacerbations, and therapeutic response, may lead to improved therapies and outcomes.

**Disclosure**

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