Electric field dependence of thermal conductivity of a granular superconductor: Giant field-induced effects predicted

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Submitted 1 July 2002

PACS: 74.25.Fy, 74.50.+r, 74.80.Bj

1. Introduction. Inspired by new possibilities offered by the cutting-edge nanotechnologies, the experimental and theoretical physics of increasingly sophisticated mesoscopic quantum devices (heavily based on Josephson junctions and their arrays) is becoming one of the most exciting and rapidly growing areas of modern science [1, 2, 3]. In addition to the traditional fields of expertise (such as granular superconductors [4]), Josephson junction arrays (JJAs) are actively used for testing principally novel ideas (like, e.g., topologically protected quantum bits [3]) in a bid to solve probably one of the most challenging problems in quantum computing. Though traditionally, the main emphasis in studying JJAs has been on their behavior in applied magnetic fields, since recently a special attention has been given to the so-called electric field effects (FEs) (i.e. $\nabla T$ - dependent) TC with $\Delta \kappa(T,E) / \kappa(T,0)$ reaching 500% for parallel electric fields $E \simeq E_T$ ($E_T = S_0|\nabla T|$ is an "intrinsic" thermoelectric field). A possibility of experimental observation of the predicted effects in granular superconductors is discussed.

2. The model. To adequately describe a thermodynamic behavior of a real granular superconductor for all temperatures and under a simultaneous influence of arbitrary electric field $\mathbf{E}$ and thermal gradient $\nabla T$, we consider one of the numerous versions of the 3D JJAs models based on the following Hamiltonian

$$\mathcal{H}(t) = \mathcal{H}_T(t) + \mathcal{H}_L(t) + \mathcal{H}_E(t),$$

where

$$\mathcal{H}_T(t) = \sum_{ij} J_{ij} [1 - \cos \phi_{ij}(t)]$$
is the well-known tunneling Hamiltonian,

$$\mathcal{H}_L(t) = \sum_{ij} \frac{\Phi_{ij}^2(t)}{2L_{ij}}$$  \hspace{1cm} (3)

accounts for a mutual inductance $L_{ij}$ between grains (and controls the normal state value of the thermal conductivity, see below) with $\Phi_{ij}(t) = (\hbar/2e)\phi_{ij}(t)$ being the total magnetic flux through an array, and finally

$$\mathcal{H}_E(t) = -\mathbf{p}(t)\mathbf{E}$$  \hspace{1cm} (4)

describes electric field induced polarization contribution, where the polarization operator

$$\mathbf{p}(t) = -2e \sum_{i=1}^{N} n_i(t) \mathbf{r}_i$$  \hspace{1cm} (5)

Here $n_i$ is the pair number operator, and $\mathbf{r}_i$ is the coordinate of the center of the grain.

As usual, the tunneling Hamiltonian $\mathcal{H}_T(t)$ describes a short-range interaction between $N$ superconducting grains, arranged in a 3D lattice with coordinates $\mathbf{r}_i = (x_i, y_i, z_i)$. The grains are separated by insulating boundaries producing temperature dependent Josephson coupling $J_{ij}(T) = J_{ij}(0)F(T)$ with

$$F(T) = \frac{\Delta(T)}{\Delta(0)} \tanh \left[ \frac{\Delta(T)}{2k_B T} \right]$$  \hspace{1cm} (6)

and $J_{ij}(0) = [\Delta(0)/2](R_0/R_{ij})$ where $\Delta(T)$ is the temperature dependent gap parameter, $R_0 = \hbar/4e^2$ is the quantum resistance, and $R_{ij}$ is the resistance between grains in their normal state assumed \[\Box\] to vary exponentially with the distance $r_{ij}$ between neighboring grains, i.e. $R_{ij}^{-1} = R_n^{-1}\exp(-r_{ij}/d)$ (where $d$ is of the order of an average grain size).

As is well-known \[\Box\] \[\Box\], a constant electric field $\mathbf{E}$ and a thermal gradient $\nabla T$ applied to a JJA cause a time evolution of the initial phase difference $\phi_{ij}^0 = \phi_i - \phi_j$ as follows

$$\phi_{ij}(t) = \phi_{ij}^0 + \omega_{ij}(\mathbf{E}, \nabla T)t$$  \hspace{1cm} (7)

Here $\omega_{ij} = 2e(\mathbf{E} - \mathbf{E}_T)r_{ij}/\hbar$ where $\mathbf{E}_T = S_0\nabla T$ is an "intrinsic" thermoelectric field with $S_0$ being a zero-field value of the Seebeck coefficient.

3. Linear thermal conductivity (Fourier law).

We start our consideration by discussing the temperature behavior of the conventional (that is linear) thermal conductivity of a granular superconductor in arbitrary applied electric field $\mathbf{E}$ paying a special attention to its evolution with a mutual inductance $L_{ij}$. For simplicity, in what follows we limit our consideration to the longitudinal component of the total thermal flux $\mathbf{Q}(t)$ which is defined (in a q-space representation) via the total energy conservation law as follows

$$\mathbf{Q}(t) = \lim_{\mathbf{q}\to 0} \left[ i\frac{\mathbf{q}}{q^2} \mathcal{H}_q(t) \right]$$  \hspace{1cm} (8)

where $\mathcal{H}_q = \partial \mathcal{H}_q/\partial t$ with

$$\mathcal{H}_q(t) = \frac{1}{v} \int d^3x e^{i\mathbf{q}\mathbf{r}} \mathcal{H}(\mathbf{r}, t)$$  \hspace{1cm} (9)

Here $v = 8\pi d^3$ is properly defined normalization volume, and we made a usual substitution $\frac{1}{v} \sum_{ij} A(r_{ij}, t) \to \frac{1}{4} \int d^3x A(\mathbf{r}, t)$ valid in the long-wavelength approximation ($\mathbf{q} \to 0$).

In turn, the above-introduced heat flux $\mathbf{Q}(t)$ is related to the appropriate components of the linear thermal conductivity (LTC) tensor $\kappa_{\alpha\beta}$ as follows (hereafter, $\{\alpha, \beta\} = \{x, y, z\}$)

$$\kappa_{\alpha\beta}(T, \mathbf{E}) = -\frac{1}{V} \left[ \frac{\partial < \mathcal{Q}_\alpha >}{\partial (\nabla_{e\beta} T)} \right]_{\nabla T = 0}$$  \hspace{1cm} (10)

where

$$< \mathcal{Q}_\alpha > = \frac{1}{\tau} \int_0^\tau dt < \mathcal{Q}_\alpha(t) >$$  \hspace{1cm} (11)

Here $V$ is a sample’s volume, $\tau$ is a characteristic Josephson time for the network, and $< ... >$ denotes the thermodynamic averaging over the initial phase differences $\phi_{ij}^0$

$$< A(\phi_{ij}^0) > = \frac{1}{Z} \int_0^\pi \prod_{ij} d\phi_{ij}^0 A(\phi_{ij}^0)e^{-\beta H_0}$$  \hspace{1cm} (12)

with an effective Hamiltonian

$$H_0[\phi_{ij}^0] = \int_0^\tau \frac{dt}{\tau} \int \frac{d^3x}{v} \mathcal{H}(\mathbf{r}, t)$$  \hspace{1cm} (13)

Here, $\beta = 1/k_B T$, and $Z = \int_0^\pi \prod_{ij} d\phi_{ij}^0 e^{-\beta H_0}$ is the partition function. The above-defined averaging procedure allows us to study the temperature evolution of the system.

Taking into account that in JJAs \[\Box\] $L_{ij} \propto R_{ij}$, we obtain $L_{ij} = L_0 \exp(r_{ij}/d)$ for the explicit $r$-dependence of the weak-link inductance in our model. Finally, in view of Eqs.(1)-(13), and making use of the usual ”phase-number” commutation relation, $[\phi_i, n_j] = i\delta_{ij}$, we find the following analytical expression for the temperature and electric field dependence of the electronic contribution to linear thermal conductivity of a granular superconductor

$$\kappa_{\alpha\beta}(T, \mathbf{E}) = \kappa_0[\delta_{\alpha\beta}\eta(T, \epsilon) + \beta_L(T)\nu(T, \epsilon)f_{\alpha\beta}(\epsilon)]$$  \hspace{1cm} (14)
where

\[ f_{\alpha\beta}(\epsilon) = \frac{1}{4} |\delta_{\alpha\beta} A(\epsilon) - \epsilon_{\alpha} \epsilon_{\beta} B(\epsilon)| \]  

(15)

with

\[ A(\epsilon) = \frac{5 + 3\epsilon^2}{(1 + \epsilon^2)^2} + \frac{3}{\epsilon} \tan^{-1} \epsilon \]  

(16)

and

\[ B(\epsilon) = \frac{3\epsilon^4 + 8\epsilon^2 - 3}{\epsilon^2(1 + \epsilon^2)^3} + \frac{3}{\epsilon^3} \tan^{-1} \epsilon \]  

(17)

Here, \( \kappa_0 = Nd^2S_0\Phi_0/V L_0 \), \( \beta_L(T) = 2n I_c(T)L_0/\Phi_0 \) with \( I_c(T) = (2e/\hbar)J(T) \) being the critical current (we neglect a possible field dependence of \( I_c \) because, as we shall see below, the characteristic fields where thermal conductivity exhibits most interesting behavior are much lower than those needed to produce a tangible change of the critical current [10]; \( \epsilon \equiv \sqrt{\epsilon_x^2 + \epsilon_y^2 + \epsilon_z^2} \) with \( \epsilon_{\alpha} = E_{\alpha}/E_0 \), and \( E_0 = \hbar/(2edr) \) is a characteristic electric field. In turn, the above-introduced "order parameters" of the system, \( \eta(T, \epsilon) \equiv \sin \phi_{ij} \) and \( \nu(T, \epsilon) \equiv \sin \phi_{ij} \), are defined as follows

\[ \eta(T, \epsilon) = \frac{\pi}{2} - 4 \sum_{n=0}^{\infty} \frac{1}{(2n + 1)^2} \left( \frac{I_{2n+1}(\beta E)}{I_0(\beta E)} \right) \]  

(18)

and

\[ \nu(T, \epsilon) = \frac{\sin \beta E}{\beta E I_0(\beta E)} \]  

(19)

where

\[ \beta E(T, \epsilon) = \frac{\beta J(T)}{2} \left( \frac{1}{1 + \epsilon^2} + \frac{1}{\epsilon} \tan^{-1} \epsilon \right) \]  

(20)

Here \( J(T) = J(0)F(T) \) with \( J(0) = (\Delta_0/2)(R_0/R_n) \) and \( F(T) \) given by Eq.(6); \( I_n(x) \) stand for the appropriate modified Bessel functions.

3.1. Zero-field effects. Turning to the discussion of the obtained results, we start with a more simple zero-field case. The relevant parameters affecting the behavior of the LTC in this particular case include the mutual inductance \( L_0 \) and the normal state resistance between grains \( R_n \). For the temperature dependence of the Josephson energy (see Eq.(6)), we used the well-known [12] approximation for the BCS gap parameter, valid for all temperatures, \( \Delta(T) = \Delta(0) \tanh \left( \gamma \sqrt{\frac{T}{T_c}} \right) \) with \( \gamma = 2.2 \).

Despite a rather simplified nature of our model, it seems to quite reasonably describe the behavior of the LTC for all temperatures. Indeed, in the absence of an applied electric field \( (E = 0) \), the LTC is isotropic (as expected), \( \kappa_{\alpha\beta}(T, 0) = \delta_{\alpha\beta}\kappa_L(T, 0) \) where \( \kappa_L(T, 0) = \kappa_0[\eta(T, 0) + 2\beta_L(T)\nu(T, 0)] \) vanishes at zero temperature and reaches a normal state value \( \kappa_n \equiv \kappa_L(T, 0) = (\pi/2)k_0 \) at \( T = T_c \). Figure 1 shows the temperature dependence of the normalized LTC \( \kappa_L(T, 0)/\kappa_n \) for different values of the dimensionless parameter \( \beta_L(0) \). As it is clearly seen, with increasing of this parameter, the LTC evolves from a flat-like pattern (for a relatively small values of \( L_0 \)) to a low-temperature maximum (for higher values of \( \beta_L(0) \)). Notice that the peak temperature \( T_p \) is practically insensitive to the variation of inductance parameter \( L_0 \) while being at the same time strongly influenced by resistivity \( R_n \). Indeed, the presented here curves correspond to the resistance ratio \( r_n = R_0/R_n = 1 \) (a highly resistive state). It can be shown that a different choice of \( r_n \) leads to quite a tangible shifting of the maximum. Namely, the smaller is the normal resistance between grains \( R_n \) (or the better is the quality of the sample) the higher is the temperature at which the peak is developed. As a matter of fact, the peak temperature \( T_p \) is related to the so-called phase-locking temperature \( T_J \) (which marks the establishment of phase coherence between the adjacent grains in the array and always lies below a single grain superconducting temperature \( T_c \)) which is usually defined via an average (per grain) Josephson coupling energy as [13]

\[ J(T_J, r_n) = k_BT_J. \]  

In particular, for \( T \simeq T_c \), it can be shown analytically that \( T_J(r_n) \) indeed increases with \( r_n \) as \( T_J(r_n) = k_BT_c \simeq r_n/(1 + r_n) \).

3.2. Electric field effects. Turning to the discussion of the LTC behavior in applied electric field, let us demonstrate first of all its anisotropic nature. For sim-
Electric field dependence of the nonlinear thermal conductivity $\kappa_L^N(T, E)/\kappa_L(T, 0)$ for different values of the applied thermal gradient $\epsilon_T = S_0 |\nabla T|/E_0$ ($c_T = 0.2; 0.4; 0.6; 0.8; 1.0$, increasing from bottom to top). Inset: Electric field dependence of the linear thermal conductivity $\kappa_L(T, E)/\kappa_L(T, 0)$ for parallel $(E \parallel \nabla T)$ and perpendicular $(E \perp \nabla T)$ configurations.

4. Nonlinear thermal conductivity: Giant field-induced effects. Let us turn now to the most intriguing part of this paper and consider a nonlinear generalization of the Fourier law and very unusual behavior of the resulting nonlinear thermal conductivity (NLTC) under the influence of an applied electric field. In what follows, by the NLTC we understand a $\nabla T$-dependent thermal conductivity $\kappa_L^N(T, E) \equiv \kappa_{\alpha \beta}(T, E; \nabla T)$ which is defined as follows

$$\kappa_{\alpha \beta}(T, E) \equiv -\frac{1}{V} \left[ \frac{\partial < Q_\alpha >}{\partial (\nabla \beta T)} \right]_{\nabla T \neq 0}$$

with $< Q_\alpha >$ given by Eq.(11).

Repeating the same procedure as before, we obtain finally for the relevant components of the NLTC tensor

$$\kappa_{\alpha \beta}^N(T, E) = \kappa_0 [\delta_{\alpha \beta} \eta(T, \epsilon_{eff}) + \beta_L(T)\nu(T, \epsilon_{eff})D_{\alpha \beta}(\epsilon_{eff})],$$

where

$$D_{\alpha \beta}(\epsilon_{eff}) = f_{\alpha \beta}(\epsilon_{eff}) + \epsilon_T^g a_{\alpha \beta} \gamma(\epsilon_{eff})$$

with

$$g_{\alpha \beta} \gamma(\epsilon) = \frac{1}{8} \left[ (\delta_{\alpha \beta} \epsilon_T + \delta_{\alpha T} \epsilon_B + \delta_{\gamma T} \epsilon_B) B(\epsilon) + 3 \epsilon_a \epsilon_B \epsilon_C \tilde{C}(\epsilon) \right]$$

and

$$C(\epsilon) = \frac{3 + 11\epsilon^2 - 11\epsilon^4 - 3\epsilon^6}{\epsilon^3 (1 + \epsilon^2)^4} - \frac{3}{\epsilon^3} \tan^{-1} \epsilon$$

Here, $\epsilon_{eff} = \epsilon_a^0 - \epsilon_T^0$ where $\epsilon_a = E_a/E_0$ and $\epsilon_T^0 = E_T^0/E_0$ with $E_T^0 = S_0 \nabla a T$; other field-dependent parameters ($\eta, \nu, B$ and $f_{\alpha \beta}$) are the same as before but with $\epsilon \to \epsilon_{eff}$.

As expected, in the limit $E_T \to 0$ (or when $E \gg E_T$), from Eq.(22) we recover all the results obtained in the previous section for the LTC. Let us see now what happens when the "intrinsic" thermostatic electric field $E_T = S_0 \nabla T$ becomes comparable with an applied electric field $E$. Figure 2 (main frame) depicts the resulting electric field dependence of the parallel component of the NLTC tensor $\kappa_{xx}^N(T, E)$ for different values of the dimensionless parameter $\epsilon_T = E_T/E_0$ (the other parameters are the same as before). As it is clearly seen from this picture, in a sharp contrast with the field behavior of the previously considered linear TC, its nonlinear analog evolves with the field quite differently. Namely, NLTC strongly increases for small electric fields ($E < E_m$), reaches a pronounced maximum at $E = E_m = \frac{1}{2}E_T$, and eventually declines at higher fields ($E > E_m$). Furthermore, as it directly follows from the very structure of Eq.(22), a similar "reentrant-like" behavior of the nonlinear thermal conductivity will occur in its temperature dependence as well. Even more remarkable is the absolute value of the field-induced enhancement. According to Figure 2 (main frame), it is easy to estimate that near maximum
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(with \( E = E_m \) and \( E_T = E_0 \)) and for \( T = 0.2T_c \), one gets \( \Delta \kappa^{NL}(T, E) / \kappa_L(T, 0) \approx 500\% \).

5. Discussion. To understand the above-obtained rather unusual results, let us take a closer look at the field-induced behavior of the Josephson voltage in our system (see Eq.(7)). Clearly, strong heat conduction requires establishment of a quasi-stationary (that is nearly zero-voltage) regime within the array. In other words, the maximum of the thermal conductivity in applied electric field should correlate with a minimum of the total voltage in the system, \( V(E) \equiv \left( \frac{\partial}{\partial E} < \frac{\partial \omega}{\partial E}(t) > \right) = V_0(\epsilon - \epsilon_T) \) where \( \epsilon = E / E_0 \) and \( V_0 = E_0 d = h/2\epsilon \tau \) is a characteristic voltage. For linear TC (which is valid only for small thermal gradients with \( \epsilon_T \approx E_T / E_0 \ll 1 \)), the average voltage through an array \( V_L(E) \approx V_0(E/E_0) \) has a minimum at zero applied field (where LTC indeed has its maximum value, see the inset of Figure 2) while for nonlinear TC (with \( \epsilon_T \approx 1 \)) we have to consider the total voltage \( V(E) \) which becomes minimal at \( E = E_T \) (in a good agreement with the predictions for NLTC maximum which appears at \( E = \frac{E}{\tau} E_T \), see the main frame of Figure 2).

To complete our study, let us estimate an order of magnitude of the main model parameters. Starting with applied electric fields \( E \) needed to observe the above-predicted nonlinear field effects in granular superconductors, we notice that according to Figure 2 the most interesting behavior of NLTC takes place for \( E \approx E_0 \). Taking \( d \approx 10 \mu m \) and \( \tau \approx 10^{-9}s \) for typical values of the average grain size and characteristic Josephson tunneling time (valid for conventional JJs [4] and HTS ceramics [4]), we get \( E_0 = h/(2d\tau) \approx 2 \times 10^{-4}V/m \) for the characteristic electric field (which is surprisingly lower than the typical fields needed to observe a critical current enhancement in HTS ceramics [4, 5]). On the other hand, the maximum of NLTC occurs when this field nearly perfectly matches an "intrinsic" thermoelectric field \( E_T = S_0|\nabla T| \) induced by an applied thermal gradient, that is when \( E \approx E_0 \approx E_T \). Using \( S_0 \approx 0.5\mu V/K \) for the zero-field value of the linear Seebeck coefficient [4, 5], we obtain \( \nabla T |_E \approx E_0 / S_0 \approx 4 \times 10^4K/m \) for the characteristic value of an applied thermal gradient. Finally, taking as an example granular aluminum films with phonon dominated heat transport [5] (with \( \kappa_{ph}(T) \approx 2 \times 10^{-4}W/mK \) at \( T = T_J \approx 0.2T_c \)), let us estimate the absolute value of the predicted here zero-field electronic contribution \( \kappa_e(T) \equiv \kappa_L(T, 0) \) at \( T = 0.2T_c \). Recalling that within our model thermal conductivity of specially prepared granular alumina films will be dominated by either phonon (for small \( \beta_L(0) \)) or electronic (for large \( \beta_L(0) \)) contribution. Undoubtedly, the above estimates suggest quite a realistic possibility to observe the predicted non-trivial behavior of the thermal conductivity in granular superconductors and artificially prepared Josephson junction arrays. We hope that the presented here results will motivate further theoretical and experimental studies of this interesting problem.

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