Ultraviolet disinfection impacts the microbial community composition and function of treated wastewater effluent and the receiving urban river

Imrose Kauser 1, Mark Ciesielski 1, Rachel S Poretsky Corresp. 1

1 Department of Biological Sciences, University of Illinois at Chicago, Chicago, IL, United States
Corresponding Author: Rachel S Poretsky
Email address: microbe@uic.edu

Background. In the United States, an estimated 14,748 wastewater treatment plants (WWTPs) provide wastewater collection, treatment, and disposal service to more than 230 million people. The quality of treated wastewater is often assessed by the presence or absence of fecal indicator bacteria. UV disinfection of wastewater is a common final treatment step used by many wastewater treatment plants in order to reduce fecal coliform bacteria and other pathogens; however, its potential impacts on the total effluent bacterial community are seemingly varied. This is especially important given that urban WWTPs typically return treated effluent to coastal and riverine environments and thus are a major source of microorganisms, genes, and chemical compounds to these systems. Following rainfall, stormflow conditions can result in substantial increases to effluent flow into combined systems.

Methods. Here, we conducted a lab-scale UV disinfection on WWTP effluent using UV dosage of 100 mJ/cm² and monitored the active microbiome in UV-treated effluent and untreated effluent over the course of 48h post-exposure using 16S rRNA sequencing. In addition, we simulated stormflow conditions with effluent UV-treated and untreated effluent additions to river water and compared the microbial communities to those in baseflow river water. We also tracked the functional profiles of genes involved in tetracycline resistance (tetW) and nitrification (amoA) in these microcosms using RT-qPCR.

Results. We showed that while some organisms, such as members of the Bacteroidetes, are inhibited by UV disinfection and overall diversity of the microbial community decreases following treatment, many organisms not only survive, but remain active. These include common WWTP-derived organisms such as Comamonadaceae and Pseudomonas. When combined with river water to mimic stormflow conditions, these organisms can persist in the environment and potentially enhance microbial functions such as nitrification and antibiotic resistance.
Ultraviolet disinfection impacts the microbial community composition and function of treated wastewater effluent and the receiving urban river.

Imrose Kauser 1, Mark Ciesielski 1, and Rachel S. Poretsky 1

1 Department of Biological Sciences, University of Illinois at Chicago, Chicago, IL, USA.

Corresponding Author:

Rachel Poretsky

950 S. Halsted St, 4100a SELE, MC 067, Chicago, IL 60607

Email address: microbe@uic.edu
Abstract

Background. In the United States, an estimated 14,748 wastewater treatment plants (WWTPs) provide wastewater collection, treatment, and disposal service to more than 230 million people. The quality of treated wastewater is often assessed by the presence or absence of fecal indicator bacteria. UV disinfection of wastewater is a common final treatment step used by many wastewater treatment plants in order to reduce fecal coliform bacteria and other pathogens; however, its potential impacts on the total effluent bacterial community are seemingly varied. This is especially important given that urban WWTPs typically return treated effluent to coastal and riverine environments and thus are a major source of microorganisms, genes, and chemical compounds to these systems. Following rainfall, stormflow conditions can result in substantial increases to effluent flow into combined systems.

Methods. Here, we conducted a lab-scale UV disinfection on WWTP effluent using UV dosage of 100 mJ/cm² and monitored the active microbiome in UV-treated effluent and untreated effluent over the course of 48h post-exposure using 16S rRNA sequencing. In addition, we simulated stormflow conditions with effluent UV-treated and untreated effluent additions to river water and compared the microbial communities to those in baseflow river water. We also tracked the functional profiles of genes involved in tetracycline resistance (tetW) and nitrification (amoA) in these microcosms using RT-qPCR.

Results. We showed that while some organisms, such as members of the Bacteroidetes, are inhibited by UV disinfection and overall diversity of the microbial community decreases following treatment, many organisms not only survive, but remain active. These include common WWTP-derived organisms such as Comamonadaceae and Pseudomonas. When combined with river water to mimic stormflow conditions, these organisms can persist in the
environment and potentially enhance microbial functions such as nitrification and antibiotic
resistance.

Introduction

Wastewater treatment plants (WWTP) treat residential and industrial waste and return
effluent to natural systems. In the United States, ~20% of regulated effluent released from
WWTPs enter water bodies that can be classified as effluent dominated, i.e., where effluent
discharge comprises the majority of the flow (Brooks et al. 2006). Rivers that flow through cities
are often used as receiving bodies for WWTP effluent, which typically introduces nutrients,
compounds of emerging concern, and microorganisms to these systems (Abraham 2011).
Assessing the effects of effluent discharge on receiving waterways is of considerable
environmental consequence, especially in areas under the influence of high population pressure
and stress to the health of freshwater systems. In particular, WWTP effluent can potentially
impact microbial community diversity, structure, and metabolic potential. The effects of effluent
discharge on nutrient loading (Waiser et al. 2011), chemical loading (Garcia-Armisen et al. 2005;
Ramond et al. 2009; Schlüter et al. 2007), eutrophication (Gücker et al. 2006), and microbial
communities (Chu et al. 2018; Drury et al. 2013; Goñi-Urriza et al. 1999; Price et al. 2018) have
been investigated and show far-reaching impacts for the dissemination of compounds, genes, and
organisms. For example, in a recent study of two WWTPs in Wisconsin, USA, we estimated that
~30 x 10^{12} bacterial cells per day are released from each plant’s effluent into Lake Michigan,
despite removal of most bacterial biomass (Chu et al. 2018; Petrovich et al. 2018). Furthermore,
the impact of effluent on receiving water bodies can be greater after rain events that increase
discharge from WWTPs that handle stormwater (Chaudhary et al. 2018; Meziti et al. 2016).

Despite this, the primary method for assessing WWTP discharge water quality in the United States continues to rely on measuring fecal indicator bacteria (FIB) and largely ignores other microorganisms, genes, and many chemical contaminants (United States Environmental Protection Agency 2018).

Each stage of wastewater treatment has the potential to alter the microbial community from the influent to the final effluent (Petrovich et al. 2018). The final treatment method used in the WWTP is one of the major influences on the microbial community composition and activity of effluent discharge. Secondary treatment, which removes at least 85% of biological oxygen demand and total suspended solids from the influent wastewater, is the minimum level that must be achieved for discharges from all municipal WWTPs under the Clean Water Act. Tertiary treatment and disinfection using chemical (commonly chlorine, chloramine, or ozone) or physical (e.g., ultraviolet light) processes is used by nearly every major municipal WWTP; however, according to the EPA Clean Watersheds Needs Survey (United States Environmental Protection Agency 2009), approximately 50% of the US population is serviced by municipal WWTPs that do not provide more than secondary treatment and release effluent that has not been disinfected into the environment. The number of WWTPs that employ post-secondary treatment, including disinfection, is projected to increase by 2028. UV disinfection primarily works by damaging dsDNA and forming toxic photooxidation by-products that kill or damage microorganisms prior to effluent discharge (Liang et al. 2012). It is possible that this reduction in microbial load also reduces the input of specialized genes that are involved in biodegradation processes and/or enriches the community in UV-tolerant organisms, thus shifting the metabolic potential and microbial community diversity in the environment. Indeed, there is some evidence
that UV treatment modifies the bacterial community in wastewater (Kulkarni et al. 2018) and can enrich for some antibiotic resistant bacteria and genes in effluent, while removing others (Di Cesare et al. 2016; Guo et al. 2013b; Narciso-da-Rocha et al. 2018). These previous studies focused on the microbial community composition, which includes active as well as inactive organisms, or specific functions such as antibiotic resistance.

Here, we examined the potential effects of UV disinfection on the active microbial community in wastewater effluent as well as its impacts on the receiving riverine community by targeting the 16S rRNA and multiple functional genes in the community RNA fraction. Unlike previous studies on UV disinfection that assessed functional changes using microbial cultivation after UV exposure with a focus on pathogens (Di Cesare et al. 2016; Guo et al. 2013b; Kulkarni et al. 2018; Narciso-da-Rocha et al. 2018), we monitored the active microbial community with 16S rRNA to make predictions about potential ecosystem-level impacts of disinfection based on microcosm incubations. We focused on effluent from the Terrence J. O’Brien Water Reclamation Plant, Chicago, IL, (abbreviated O’Brien WWTP from here on), which discharges into the Chicago River Waterways. Effluent from the O’Brien WWTP has previously been shown to impact water quality (in terms of nitrogen and phosphorus) and microinvertebrate composition (Polls et al. 1980) as well as microbial community composition (Chaudhary et al. 2018) in this system. Until recently, the Chicago area remained the largest municipality in the US that did not disinfect WWTP effluent prior to release into the environment, providing a unique opportunity to assess potential impacts of disinfection; disinfection of O’Brien WWTP effluent using UV treatment began in 2016. We carried out a lab-scale UV disinfection experiment prior to the implementation of this post-secondary treatment in order to evaluate how the effluent bacterial community changes after UV disinfection. We also compared mock
stormflow and baseflow conditions in microcosms with effluent and river water to make predictions about how UV disinfection might impact the river community under these conditions. Despite extensive work studying the effects of disinfection on microbial communities in effluent (Di Cesare et al. 2016; Guo et al. 2013b; Kulkarni et al. 2018; Narciso-da-Rocha et al. 2018), comparatively little is known about how this impacts microbial community composition and functional potential in receiving waters. We used a combination of phylogenetic and functional-gene-based molecular approaches to investigate the composition and diversity of the effluent, the functional ecology of the effluent-receiving river, and the fate and persistence of bacteria subjected to UV disinfection. Shifts in the diversity and composition of the effluent community over 48 hours from UV exposure were observed. We used both inferred functions and quantitative PCR (qPCR) of specific functional genes associated with nitrification (amoA) and antibiotic resistance (tetW) in order to understand potential functional and ecosystem-level implications of UV disinfection. We demonstrate that different microorganisms respond differently to UV exposure and many bacteria survive and persist even after disinfection, including sewage specific Arcobacter as well as a variety of Beta- and Gammaproteobacteria. Our results can be used to predict the environmental implications of full-scale disinfection at the O’Brien WWTP as well as shed some light on the effects of this widely used disinfection process.

Materials & Methods

Site and sample description

The O’Brien WWTP on the North Shore Channel (NSC) of the Chicago River is one of the three largest WWTPs in the Chicago metropolitan area. The O’Brien WWTP has an average design
flow of 333 million gallons per day (MGD) and a maximum of 450 MGD. It serves over 1.3 million people residing in ~365 km$^2$, which includes the northern portion of Chicago and northern suburbs. It uses secondary treatment with waste-activated sludge processes and, at the time of this study, released an average of 0.787 million m$^3$ per day of treated but non-disinfected wastewater effluent into the NSC. The Chicago River system of channels and canals flows through a highly urbanized area with water inputs mainly from domestic pumpage and storm water runoff. According to US Environmental Protection Agency estimates, upwards of 70% of the Chicago River is comprised of wastewater and is often closer to 90% under stormflow conditions (Illinois Department of Resources 2011). O’Brien WWTP effluent and Chicago River samples (5-10L) were collected in July 2014. Grab samples of the effluent from the WWTP discharge point and the river water 1 km downstream from the WWTP discharge point were collected using a horizontal sampler (Wildco, Yulee, FL). All samples were stored on ice for transport back to the laboratory for subsequent experimental manipulations.

Disinfection procedure and experimental manipulations

A bench-scale collimated beam apparatus design and dosage calculations were carried as described elsewhere (Bolton & Linden 2003). The apparatus contained a monochromatic low-pressure (15 W) UV lamp housed in a dark enclosure. Effluent (1 L) was put under the collimated beam and gently stirred throughout the UV exposure time, which corresponded to a UV dosage of 100 mJ/cm$^2$. This fluence was chosen because it exceeds the municipality’s standard requirements (Metropolitan Water Reclamation District of Greater Chicago. 2011) and is similar to the minimum recommended UV dose for the treatment of drinking water in the United States (Linden et al. 2002). Replicates of 100 mL microcosms with the UV-treated
effluent or the untreated effluent were simultaneously incubated in the dark at room temperature (25 ± 2 °C) with gentle agitation (<200 rpm). Two microcosms were sacrificed for nucleic acid extractions at each timepoint: 2 h, 24 h, and 48 h. To further assess environmental implications, 50 mL of either UV-treated effluent or untreated effluent were mixed with 50 mL of river water and incubated as above. Unamended river samples reflect the river under baseflow conditions, where WWTP effluent contributes to ~70% of the flow. The 50 mL amendments represent stormflow conditions of close to 90% effluent flow.

Filtration and RNA extraction
At each timepoint, water/effluent samples were pre-filtered using 1.7 μm glass fiber filters (Whatman, Pittsburgh, PA) and cells were collected on 0.2 μm polycarbonate filters (EMD Millipore, Billerica, MA). Filters were stored in -80°C until RNA extraction. An organic extraction method was performed as follows: 1.15 mg/ml lysozyme in lysis buffer buffer (50 mM Tris-HCl, 40 mM EDTA, and 0.73 M sucrose) was added to the filters and incubated at 37°C for 30 min on a rotator. The lysates were subsequently incubated with 1% SDS and 10 mg/ml proteinase K for 2 h at 55°C while rotating. RNA was extracted from lysate with acid phenol and chloroform, and isolated via ethanol precipitation followed by suspension in TE buffer. DNase treatment was performed using the RTS DNase kit (MoBio Laboratories, Carlsbad, CA) following the manufacturer’s instructions. RNA (500ng-1μg) was transcribed into cDNA with High Capacity RNA-to-cDNA kit (Life Technologies, Carlsbad, CA) according to manufacturer’s instructions.

16S rRNA amplicon sequencing
For amplicon sequencing of the small subunit ribosomal RNA (SSU rRNA) of bacteria, primers 27F (Frank et al. 2008), and 534R (Jumpstart Consortium Human Microbiome Project Generation Working 2012) were used to target and amplify the V1-3 hypervariable region. PCR reactions were prepared with 12.5 µl Accuprime Supermix II (Life Technologies, Carlsbad, CA), 500 nM final concentration of each primer, 10-50 ng of cDNA, and water was added to a final 25 µl volume. Thermal conditions for PCR were as follows: 95°C for 5 minutes, followed by 28 cycles of 95°C for 30 s, 56°C for 30 s and 68°C for 5 s. A final, 7-minute elongation step was performed at 68°C. PCR product size was confirmed with 1% agarose gel. Paired-end amplicon sequencing (2 x 300 bp) was done at the UIC DNA Services laboratory using the Illumina MiSeq platform, which yielded 26,537-48,074 reads per sample. All sequences have been deposited in the Sequence Read Archive under accession number SRP153092.

Bacterial composition and function predictions

The quality of reads was assessed using FastQC (Andrews 2012) and reads were trimmed for low-quality regions and primers using Trimmomatic (Bolger et al. 2014). Filtering, chimera checking, clustering, and taxonomy assignment were conducted using the Quantitative Insights Into Microbial Ecology (QIIME, v1.8.0) (Caporaso et al. 2010). Although paired-end reads were obtained, these did not pair well, likely due to length variability in the 27F-534R region that results in assembly of shorter fragments but not longer ones. Because of this, further analysis was only performed on the trimmed forward reads. Forward reads were quality trimmed and chimeric sequences were identified and removed with UCHIME using the de novo method (Edgar et al. 2011). Sequences were binned into Operational Taxonomic Units (OTUs) using usearch v. 7.0.109 (default settings) and the OTU table was filtered by removing OTUs with
<0.005% of the total number of sequences and with no more than 15% of the samples being represented by singletons. Taxonomy was assigned following the closed reference OTU method where reads were clustered at 97% identity to a pre-existing Greengenes reference database (v13.8). Phylogenetic Investigation of Communities by Reconstruction of Unobserved States (PICRUST) v. 1.1.3 (Langille et al. 2013) was used to predict functions from the 16S rRNA datasets.

Statistical analyses

Permutational multivariate analysis of variance (PERMANOVAs) were carried out in R (Adonis function, vegan package v. 2.4-4) using Bray-Curtis OTU-based distance matrices to test the effect of the factors of time, UV disinfection, and stormflow vs. baseflow-like conditions. DESeq2 analysis (Love et al. 2013) was carried out using code from the Phyloseq (McMurdie & Holmes 2013) tutorial “Using Negative Binomial in Microbiome Differential Abundance Testing,” including the calculation of geometric means prior to DESeq2 testing to account for zero values. This method was used to identify differential abundances of taxa between treatments and is well-suited to experiments with low replication (Love et al. 2013). One-way Analysis of Variances (ANOVA) were run to test the effect of treatment on diversity. Additionally, we used linear discriminant analysis effect size (LEfSe) (Segata & Huttenhower 2011) to compare the estimated phylotypes and identify the most differentially abundant taxa between different treatments with a moderately stringent effect size threshold of 2 (Segata et al. 2011). Taxonomic and functional profiles were compared using Statistical Analysis of Metagenomic Profiles (STAMP) (Parks et al. 2014). ANOVA and Tukey’s ‘Honest Significant Difference’ tests were used to evaluate the qPCR-based gene expression between samples using the TukeyHSD()
function in R. Random Forest models were used for supervised learning (Knights et al. 2011) using the supervised_learning.py script in QIIME with 1,000 trees and 10-fold cross validation. All statistical analyses were assessed for significance using an alpha level of 0.05.

**Quantification of gene expression**

For detailed functional analyses, we focused on ammonia oxidation and tetracycline resistance. Real-time PCR analyses were performed according to MIQE guidelines. RT-qPCR of the bacterial ammonia monooxygenase (*amoA*) gene was conducted using primers AmoA-1F and AmoA-2R (Rotthauwe et al. 1997) on a Bio-Rad CFX96 instrument. Each reaction was performed in triplicate in a final volume of 20 µl containing 10 µl *Power SYBR green* PCR master mix (Life Technologies, Carlsbad, CA), 0.5 µM final concentration of each primer, 2 µl of 1:4 diluted cDNA template, and RNAse-free water. PCR amplification was initiated at 95°C for 30 s followed by 40 cycles of denaturation at 95°C for 15 s, primer annealing at 53°C for 30 s, extension at 72°C for 1 min, and plate read. The product specificity was confirmed by melting curve analysis (60–98 °C, 0.5 °C per read, 30 s hold). Expression of the tetracycline resistance gene *tetW* was quantified using primers from (Aminov et al. 2001; Walsh et al. 2011). Thermal cycling was as described above but with an annealing temperature of 64°C. Transcript levels of all the genes were calculated by relative quantification using the \( \Delta\Delta\text{CT} \) method (Livak & Schmittgen 2001), with *rpoB* gene as the normalizing gene (Dahllof et al. 2000). Cq values were converted to numerical values using the following formula: \( \text{Log}_2\left(\frac{\text{mean Cq } rpoB - \text{mean Cq target gene}}{\text{mean Cq target gene}}\right) \).

**Results**
Effect of disinfection of effluent on bacterial diversity

We analyzed the 16S rRNA composition in UV-disinfected and control effluent microcosms over 48 h in order to evaluate shifts in the active microbial community in response to disinfection. We used this RNA-based approach to account for DNA that might be present but no longer viable following UV exposure and should therefore reflect the active microbial response to treatment (De Vrieze et al. 2018). Alpha diversity was assessed in the context of both evenness (Shannon Index) and richness (observed species) and compared across both treatment and time using ANOVA. Samples all had between 225-358 distinct OTUs. Overall, the changes in alpha diversity were generally small with alpha diversity (Shannon Index) between 3.0-5.0 for all five treatments. As expected, UV treatment resulted in a decrease in observed OTUs and reduced microbial diversity measured in terms of Shannon diversity, relative to the untreated effluent (Fig. 1). This was particularly evident after 48h, when alpha diversity in the untreated effluent increased from 24h prior but did not change in the UV treated effluent. In fact, despite a decrease in observed OTUs by an average of 73 OTUs between 24 and 48h, neither diversity metric changed significantly over time in the UV-treated samples, but both increased between the beginning of the experiment and 48h for the non-treated effluent samples (non-parametric t-test p=0.045, observed species and p=0.032, Shannon). Furthermore, the overall diversity was lower in the UV-treated samples relative to the control, although this was not deemed significant.

Compositional change was assessed based on Bray-Curtis distance and showed that the microbial communities in both the untreated and UV treated effluent samples changed over time, but in different ways (Fig. 2A). Specifically, the Bray-Curtis distances between treated and UV-treated effluent samples were different when all timepoints, including time 0, were considered together (PERMANOVA p= 0.025). Further, the differences between community composition were
significant over time for both treated and untreated effluent, as well as between treated and
untreated effluent at 24h and 48h (PERMANOVA p= 0.001). Random Forest models used for
supervised learning demonstrated that whether the sample was UV treated or not was more
predictive of the community composition (Ratio of baseline error to observed error = 5.45) than
was time.

Effect of disinfection on effluent bacterial community composition
In all effluent samples, Bacteroidetes and Proteobacteria were the dominant phyla, with
Bacteriodes, primarily characterized by the families Cytophagaceae and Flavobacteriaceae,
decreasing in relative abundance over time in the UV-treated effluent. In the untreated effluent,
Alphaproteobacteria increased and Betaproteobacteria decreased in relative abundance over time
(Fig. 3). The dominant Betaproteobacteria were either unclassified (~16% of total OTUs) or
members of the families Comamonadaceae (~20%) and Procabacteraeae (~18%) (Fig. S1).
Other abundant families were Verrucomicrobiaceae (~5%), members of the Bacteroidetes
Flavobacteriaceae (~7%), ACK-M1 (~7%), and Cytophagaceae (~5%) (Fig. S1).
Pelagibacteraceae were the most abundant alphaproteobacterial family (~3%). (Fig. S1).
In order to determine which taxa were most characteristic of the differences between the
untreated and UV-treated effluent (all timepoints combined), we used LDA Effect Size (LEfSe).
Many OTUs decreased in relative abundance in the UV-treated effluent compared to the
untreated effluent samples. These included an OTU most closely associated with the
Sediminibacterium genus, relatives of which are common in freshwater and engineered systems
such as activated sludge (Ayarza et al. 2014), as well as numerous OTUs affiliated with the
Rhodobacteraceae and Flavobacteriaceae families. However, a number of organisms were
significantly enriched following UV exposure. These included members of the Proteobacteria, families Chromatiaceae and Moraxellaceae, and genera most closely related to Rheinheimera, Hydrogenophaga, Pseudomonas, Rhodoferax (Fig. 4A). DeSeq2 analysis further identified OTUs belonging to the families Comamonadaceae, Chromatiaceae, Pseudomonadaceae, Methylophilaceae, Rhodocyclaceae, and Procabacteriaceae that were specifically enriched 48h following UV exposure compared to the untreated effluent (Table S1). These same families significantly increased in abundance in the UV-exposed effluent over time (Table S1). By contrast, few OTUs changed in abundance over the course of the 48h incubation in the untreated control effluent (Table S1).

In order to determine if the persistence of any organisms in the UV-treated effluent were fecal indicators, we examined the trends among organisms that are typically identified as coliforms and fecal enterococci, which include the genera Enterobacter, Klebsiella, Citrobacter, and Escherichia and other sewage indicator bacteria such as Arcobacter (Fisher et al. 2014), and compared their abundances to the untreated control effluent. Only 72 OTUs were assigned to taxa that matched these indicator bacteria: members of the orders Sphingomonadales (53) and Enterobacteriales (1), the genera Dechloromonas (1), Arcobacter (13), Acinetobacter (2), and Legionella (2). Of these, only two Sphingomonadales that were between 5-15 times less abundant in the UV-treated than the untreated effluent were significantly different (all timepoints combined based on DeSeq analysis, p = 0.000034 and 0.011). Eleven OTUs affiliated with three Arcobacter OTUs and the two Legionella OTUs were actually more abundant in the UV-treated effluent samples, although these all generally decreased over time in the incubations in both conditions. This decrease, however, was not significant (Kruskall-Wallace test, p = 0.84 for Legionella OTU and 0.56 for Arcobacter; Table S2).
Effect of UV disinfection on the river under stormflow conditions

Discharge of effluent from WWTPs is often a major source of stream-flow and chemical flux in many systems, but stormflow conditions can increase this WWTP-derived flow, thus impacting the microbial communities. In particular, WWTPs in the Chicago Area Waterways comprises more than 70% treated municipal wastewater effluent in baseflow conditions and up to 90% under stormflow conditions (USGA National Water Information System for North Shore Channel USGS 05536101 and (Illinois Department of Resources 2011)). Given the substantial influence of WWTP effluent in this system, we evaluated the impact of UV disinfection on the riverine microbial community into which it is discharged by combining either the UV-treated or untreated effluent with NSC river water at a ratio that mimics the ~90% effluent stormflow.

Although these microcosms do not necessarily reflect actual, system-wide effects, our observations allow us to make predictions about what might happen under stormflow conditions. Despite the predominance of effluent in baseflow NSC river water, the river communities differed from the effluent communities in terms of both alpha diversity (Fig. 1) and composition (Table S1, Fig. 3), similar to what we observed previously (Chaudhary et al. 2018). The river samples had significantly higher alpha diversity (Shannon) than the effluent samples (non-parametric t-test p= 0.04). Proteobacteria and Bacteroidetes dominated both river and effluent samples, but river samples were also characterized by a high abundance of Actinobacteria (up to ~13% of the river OTUs) and Verrucomicrobia (up to ~10% of the river OTUs); both of these phyla contributed to <1% of the total effluent OTUs. Both phyla were primarily associated with the aquatic genera: *Prosthecobacter* and ACK-M1 (Fig. S1, Fig. S2)
The addition of effluent to river water, an approximation of stormflow conditions in the NSC, shifted the community compositions relative to the baseflow sample (river water only) immediately after effluent addition (Fig. 2B). The Bray-Curtis distances between baseflow and stormflow samples were significantly different when all timepoints were considered together (PERMANOVA p = 0.003), regardless of whether or not the effluent was UV-treated. In fact, there was no significant difference between the stormflow samples with UV-treated vs. untreated effluent addition (PERMANOVA p = 0.102). This similarity in overall community composition between the stormflow samples persisted over the course of the experiment with both stormflow treatments shifting in community composition significantly over time (PERMANOVA p = 0.001) in the same way for both UV-treated effluent and untreated effluent stormflow samples (Fig. 2B). Only after 48h did the community composition of two stormflow treatments begin to diverge from one another. The microbial community of the baseflow river samples did not change significantly over time (PERMANOVA p = 0.067).

LDA Effect Size (LEfSe) analysis identified several taxa that were most characteristic of the differences between the baseflow, untreated, and UV-treated effluent stormflow samples (all timepoints combined). Among the taxa that were more prevalent in the baseflow river water were members of the Actinobacteria as well as some common freshwater organisms including members of the families ACK-M1 and *Pelagibacteraceae* and the genus *Polynucleobacter* (Fig. 4B). Many taxa contributed significantly to differences in the stormflow samples with untreated effluent including fecal indicator members of the phylum Bacteroidetes, families *Enterobacteriaceae* and *Legionellaceae*, and genus *Arcobacter* (Fig. S2). The families *Rhodocyclaceae* and *Oxalobacteraceae* were the only groups driving differences in the UV-treated effluent stormflow water (Fig. S1).
At the end of the incubation experiment, DeSeq2 analysis showed similar taxa that were enriched in both stormflow treatments relative to the baseflow sample (Table S1). These included members of the families *Rhodocyclaceae*, *Cytophagaceae*, *Flavobacteriaceae*, *Verrucomicrobiaceae* and *Procabacteriaceae*. After 48h, the UV-treated stormflow samples were also enriched in a *Campylobacteraceae* OTU whereas the untreated stormflow samples were enriched in a *Cryomorphaceae* OTU relative to baseflow. Interestingly, baseflow samples were enriched in an OTU attributed to *Pelagibacteraceae* relative to both stormflow samples. Only four OTUs were significantly different between the two stormflow treatments at 48h; these included members of the families *Cryomorphaceae*, *Flavobacteriaceae*, and the order *Sphingobacteriales*, which were all more than twice as abundant in UV-treated compared to untreated effluent stormflow.

**Potential functional attributes**

Based our previous observations of tetracycline resistance genes and ammonia oxidation genes in metagenomic datasets from both the O’Brien WWTP effluent and NSC river water (Chaudhary et al. 2018), we hypothesized that these functions could be affected by UV treatment. In addition, although the present 16S rRNA amplicon-based study focuses on microbial community composition rather than function, PICRUST analysis of the 16S rRNA datasets indicated possible differences in several functions, including antimicrobial resistance (more abundant in untreated effluent compared to UV-treated effluent, Welch’s t-test p =0.045, Fig. S3). We therefore used RT-qPCR to track the shifts in expression of a tetracycline resistance gene, *tetW*, and a bacterial ammonia oxidation gene, *amoA*, in order to evaluate if UV disinfection could change the expression levels of these genes and thus, whether there might be a
potential for other functional shifts. *tetW* expression was significantly higher in the untreated effluent than in the UV-treated effluent (ANOVA p = 0.0006) (Fig. 5A). This same pattern was seen for bacterial *amoA* gene expression, although by 48h *amoA* expression levels were no different between the effluents (Fig. 5A). Gene expression of both of these genes increased slightly over time in the effluents, although this increase followed an initial decrease in the effluent samples exposed to UV. In contrast, *tetW* gene expression was higher in the river samples with UV-treated effluent (ANOVA p = 0.016) (Fig. 5B) and significantly increased in the river over time after the UV-treated effluent addition (Welch’s t-test p = 0.034), but did not change over time in the river with untreated effluent (Fig. 5B). Bacterial *amoA* gene expression between river samples with both the untreated or UV-treated effluent was generally similar at all three timepoints.

**Discussion**

*A variety of bacteria survive and remain active in WWTP effluent following UV disinfection*

UV treatment significantly altered the effluent bacterial community in our WWTP effluent samples. As a treatment designed to inactivate microorganisms (Hijnen et al. 2006), UV disinfection indeed reduced the number of active OTUs and overall diversity (Shannon) in the effluent in our study. Although a recent report showed that UV treatment has little effect on microbial community composition in wastewater (Narciso-da-Rocha et al. 2018), several others have shown reductions in both bacterial load (Glady-Croue et al. 2018), diversity (Kulkarni et al. 2018), and active/viable bacterial concentrations (Hu et al. 2016; Sullivan et al. 2017) following UV exposure of wastewater.
Organisms that have previously shown to be inactivated by UV treatment include *Aeromonas*, *Enterobacter*, and *Halomonas* (Glady-Croue et al. 2018; Hu et al. 2016; Sullivan et al. 2017), none of which we found to be major contributors to the effluent community here.

Instead, we observed a substantial reduction in the relative abundance of Bacteroidetes OTUs, specifically *Cytophagaceae* and *Flavobacteriaceae*, following UV disinfection, which is notable as members of this phylum dominates both sewage and, to an even greater extent, human fecal microbiomes (Ahmed et al. 2017; Chu et al. 2018; McLellan et al. 2010); however, we did not observe the typical sewage- and fecal-associated Bacteroidetes genus *Bacteroides* in our survey of the active community. In addition, we were unable to detect members of the *Lachnospiraceae* family, another sewage indicator group (McLellan et al. 2013), indicating that the WWTP used here was sufficient at either removing, inactivating these organisms, or decreasing their abundance substantially, even in the absence of disinfection. Therefore, the effects of UV treatment on effluent microbial communities are shaped by the initial community, which will vary between WWTPs based on treatment scheme and influent composition (Shchegolkova et al. 2016).

Some indicator bacteria (*Legionella* and *Arcobacter*) remained active following UV treatment and were more abundant in the disinfected effluent than the untreated effluent. The active fraction of the microbiome is therefore important in assessing effluent quality, as these are the organisms with the potential to persist in the environment following discharge. In addition to the two groups mentioned above, UV disinfection shifted the active community and increased the relative abundance of several organisms, mostly associated with Proteobacteria. Many of these, including *Comamonadaceae*, *Pseudomonas*, *Moraxellaceae*, and *Rhodocyclaceae* have previously been identified as among the most abundant taxa in sewage and freshwater (Kulkarni...
Rhodocyclaceae in particular are common inhabitants of nutrient/substrate-rich environments such as wastewater and impacted urban streams (Chaudhary et al. 2018). Comamonadaceae are also abundant in freshwater environments (Balmonte et al. 2016; Shaw et al. 2008) and have previously been found to dominate in Lake Michigan (Mueller-Spitz et al. 2009), the freshwater source of the river we studied here. However, the OTUs affiliated with Comamonadaceae here were predominantly unclassified genera, rather than the common freshwater Limnohabitans (Hahn et al. 2010) and might instead be relative to WWTP-associated Comamonadaceae involved in denitrification that are common in activated sludge systems such as the WWTP from which we sampled (Khan et al. 2002).

Similar to what has been found in other wastewater surveys (Ahmed et al. 2017; Chu et al. 2018; McLellan et al. 2010), Pseudomonas was not only one of the common and dominant members here. This group is also known to tolerate and grow following UV treatment (Glady-Croue et al. 2018; Hu et al. 2016; Sullivan et al. 2017), which has been attributed to UV-inducible genes and UV-resistance plasmids that are often carried by members of this group (Hu et al. 2016; Kokjohn & Miller 1994; Zhao et al. 2018). The other groups we saw active following UV treatment have not been implicated in UV tolerance in wastewater disinfection previously, but based on their abundances in the effluent studied here as well as in other WWTPs (Shchegolkova et al. 2016), their growth following UV treatment is notable. The Moraxellaceae family, in particular, includes the genus Acinetobacter, members of which can be either non-pathogenic or opportunistic pathogens (Hare et al. 2012) and are also among the predominant bacterial taxa in wastewater (Ahmed et al. 2017; Chu et al. 2018; McLellan et al. 2010). Some of the Moraxellaceae OTUs we saw increase in relative abundance following UV treatment were
attributed to this genus, and previous work has demonstrated that several members of this group can survive UV exposure (Hare et al. 2012). In fact, we previously showed that Moraxellaceae were abundant in effluent from two different WWTPs, both of which employ disinfection (Chu et al. 2018). We therefore confirm the tolerance of several common wastewater microorganisms to UV disinfection at a standard UV dosage and reveal others whose activity post-UV exposure had not previously been documented.

Stormflow derived from UV-treated effluent differs from that derived from untreated effluent. Despite the fact that WWTP effluent accounts for ~70% of the river flow under base conditions in the system we studied, the river is still inhabited by many typical freshwater bacteria such as a variety of Actinobacteria including members of the acl clade of actinomycetes, freshwater Pelagibacter, and Polynucleobacter (Hahn et al. 2011; Newton et al. 2011; Oh et al. 2011). These organisms might originate from Lake Michigan, the freshwater source to the Chicago River. We previously observed an increase in the relative abundance of numerous bacteria under stormflow conditions in this system, which coincided with more than double the flow of non-disinfected effluent from the WWTP (Chaudhary et al. 2018). Freshwater bacteria made up a greater proportion of the baseflow river community and decreased significantly under actual stormflow conditions (Chaudhary et al. 2018), which is what we observed here in the simulated stormflow and baseflow microcosms. Among the most significant changes in microbial community composition previously examined was an increase in Legionella in stormflow compared to baseflow river samples (Chaudhary et al. 2018). Since that study was conducted, the O’Brien WWTP has implemented a UV disinfection process prior to effluent discharge into the river. Here, we saw a notable increase in the Verrucomicrobia...
Prosthecobacter over time in both stormflow treatments compared to the baseflow, indicating that this riverine organism might thrive on nutrients added with WWTP effluent (Hedlund et al. 1997). Although the two stormflow sample types did not differ much from each other initially, by 48 h the microbial community compositions diverged significantly. As with the in situ study (Chaudhary et al. 2018), we observed an increase in the relative abundance of Legionella in stormflow samples with untreated effluent in our microcosms. Legionella might become enriched during the WWTP chain (Kulkarni et al. 2018). Many other bacteria were also over-represented in the untreated effluent-derived stormflow samples compared to those that received UV-treated effluent. Several of these were the same organisms that survived and proliferated in the effluent only samples, such as members of the Flavobacteria, Arcobacter, Bacteroidetes, Sphingobacteriales, Cryomorphaceae, and Cytophagales. Similarly, Rhodocyclaceae, which was also found enriched in UV-treated effluent, was over-represented in the UV-treated effluent-derived stormflow samples. All of this indicates that the organisms that are released in WWTP effluent can proliferate in the receiving water body, including those that have survived UV treatment.

Changes in the microbiome are reflected in expression of specific functional genes

Along with microorganisms, wastewater is a common source of antibiotics and antibiotic resistance genes to the environment, potentially creating an environmental hotspot and reservoir for antimicrobial resistance (Barber et al. 2015; Chu et al. 2018; Mao et al. 2015; Rizzo et al. 2013; Tennstedt et al. 2003; Xu et al. 2015). Although UV photolytic degradation of antibiotics can occur during disinfection and produce toxic photoproducts (Dann & Hontela 2011; Guo et al. 2013a), bacteria susceptible to antibiotic photoproducts may obtain resistance by random
mutations or acquire resistant via horizontal gene transfer, which could possibly be one of the reasons UV disinfection may shift the frequency of resistance genes in the effluent bacteria. In fact, our group has recently shown that several ARGs and ARBs persist through wastewater treatment with disinfection and these effluents are also enriched in mobile genetic elements (Chu et al. 2018; Petrovich et al. 2018).

The occurrence of ARB and ARGs in effluent presents a challenge to applying the UV disinfection process and conflicting results exist regarding its effectiveness at reducing ARB and ARG loads, which seems to vary with different antibiotics and treatment schemes. One study showed a reduction in ARBs following UV treatment (Narciso-da-Rocha et al. 2018) and decrease in mecA and vanA ARGs after UV disinfection of wastewater was observed under laboratory conditions (McKinney & Pruden 2012). In contrast, UV dose did not reduce the number of detectable tet gene types (tetracycline resistance) (Auerbach et al. 2007) nor did UV disinfection contribute to significant reduction of tetracycline- and sulfonamide-resistant bacteria concentrations in a full scale WWTP (Munir et al. 2011). More recently, several studies support these latter findings that UV disinfection does not reduce tetW genes and showed that it may actually increase the relative abundance of some ARGs and ARBs in effluent (Glady-Croue et al. 2018; Guo et al. 2013b; Hu et al. 2016; Sullivan et al. 2017). Our results support these mixed findings and provide additional insight by evaluating gene expression for several days after UV treatment: expression of tetW decreased immediately following UV exposure compared to untreated effluent, but tetW expression increased in the river 48 hours after the UV-treated effluent addition as compared with the addition of non-UV treated effluent. Concurrent with these results, the evidence of an increase in proteobacterial sequences, particularly Pseudomonas, may suggest that bacteria harboring antibiotic resistant genes following UV treatment also
possess mobile genetic elements, which enable the proliferation of ARGs in the environment. Although we did not explore mobile elements here, previous studies indicate that mobile elements can be enriched during treatment and correlate with ARGs (Chu et al. 2018; Hu et al. 2016; Petrovich et al. 2018; Wang et al. 2013).

WWTP effluents are also a source of high levels of organic matter and nutrients, including ammonia (Brion & Billen 2000; Servais et al. 1999) and are known to impact ammonia oxidizing microorganisms in receiving waters (Carey & Migliaccio 2009; Merbt et al. 2015).

Although UV treatment initially reduced the expression of amoA in effluent, expression levels were the similar at the end of the incubation period. Furthermore, amoA gene expression was similar in the stormflow samples with treated and untreated effluent. Taken together, our results suggest that like tetW gene expression, the bacteria carrying out ammonia oxidation are resilient to UV treatment 48h after exposure. Photoinhibition (non-UV) of amoA has been documented previously (Merbt et al. 2017), but this is the first evaluation, to our knowledge, of nitrification activity in effluent following UV exposure. Given that both amoA and tetW gene expression recover to levels similar to those in untreated effluent within 48h of UV treatment, it is likely that a wide variety of functions are resilient to UV treatment and can persist when introduced into the surrounding environment.

Conclusions

In summary, UV exposure decreased the number of OTUs and the microbial diversity of effluent discharged from a WWTP that did not employ a disinfection step before discharge into an urban river. Several organisms remained active following UV exposure and were enriched relative to untreated effluent, including Moraxellaceae, Pseudomonas, Comamonadaceae, and
*Rhodocyclaceae*. When potential ecosystem-level effects were considered, stormflow-like river samples with UV-treated effluent had fewer organisms like *Enterobacteriaceae*, *Legionellaceae*, *Arcobacter* compared to stormflow with untreated effluent. At a functional level, UV treatment initially decreased gene expression of both *tetW* and *amoA*, but these functions recovered over time. Our study was based on a single sampling event at a single WWTP, so repetition would be helpful for determining if our findings are representative of the plant over time or even of other WWTPs. Additional functional analysis using metagenomics or metaproteomics would also add a deeper understanding of UV effects on the microbial community. Despite these limitations, our comparison of UV-treated and non-UV treated effluent using lab-scale disinfection experiments provided insights into the effects of disinfection on the effluent total bacterial community and its implication on the environment.

**Acknowledgements**

We thank the staff of the Metropolitan Water Reclamation District for facilitating sample collection. Juana Villagomez provided technical assistance as a Bridges 2 Baccalaureate summer student.

**References**

Abraham W-R. 2011. Megacities as sources for pathogenic bacteria in rivers and their fate downstream. *International Journal of Microbiology* 2011. 10.1155/2011/798292

Ahmed W, Staley C, Sidhu J, Sadowsky M, and Toze S. 2017. Amplicon-based profiling of bacteria in raw and secondary treated wastewater from treatment plants across Australia. *Applied Microbiology and Biotechnology* 101:1253-1266. 10.1007/s00253-016-7959-9

Aminov RI, Garrigues-Jeanjean N, and Mackie RI. 2001. Molecular ecology of tetracycline resistance: Development and validation of primers for detection of tetracycline resistance
568 genes encoding ribosomal protection proteins. *Applied and Environmental Microbiology*
569 67:22-32. 10.1128/aem.67.1.22-32.2001
570 Andrews S. 2012. FastQC: A quality control application for high throughput sequence data. Babraham Institute Project page: www.bioinformatics.babraham.ac.uk/projects/fastqc/.
571 Auerbach EA, Seyfried EE, and McMahon KD. 2007. Tetracycline resistance genes in activated sludge wastewater treatment plants. *Water Research* 41:1143-1151.
572 10.1016/j.watres.2006.11.045
573 Ayarza JM, Figuerola EL, and Erijman L. 2014. Draft Genome Sequences of Type Strain *Sediminibacterium salmoneum* NJ-44 and *Sediminibacterium* sp. Strain C3, a Novel Strain Isolated from Activated Sludge. *Genome Announcements* 2.
574 10.1128/genomeA.01073-13
575 Balmonte JP, Arnosti C, Underwood S, McKee BA, and Teske A. 2016. Riverine bacterial communities reveal environmental disturbance signatures within the Betaproteobacteria and Verrucomicrobia. *Frontiers in Microbiology* 7. 10.3389/fmicb.2016.01441
576 Barber LB, Loyo-Rosales JE, Rice CP, Minarik TA, and Oskouie AK. 2015. Endocrine disrupting alkylphenolic chemicals and other contaminants in wastewater treatment plant effluents, urban streams, and fish in the Great Lakes and Upper Mississippi River Regions. *Science of the Total Environment* 517:195-206. 10.1016/j.scitotenv.2015.02.035
577 Bolger AM, Lohse M, and Usadel B. 2014. Trimmomatic: a flexible trimmer for Illumina sequence data. *Bioinformatics* 30:2114-2120. 10.1093/bioinformatics/btu170
578 Bolton JR, and Linden KG. 2003. Standardization of methods for fluence (UV dose) determination in bench-scale UV experiments. *Journal of Environmental Engineering-ASCE* 129:209-215. 10.1061/(asce)0733-9372(2003)129:3(209)
579 Brion N, and Billen G. 2000. Wastewater as a source of nitrifying bacteria in river systems: the case of the River Seine downstream from Paris. *Water Research* 34:3213-3221. Doi 10.1016/S0043-1354(00)00075-0
580 Brooks BW, Riley TM, and Taylor RD. 2006. Water quality of effluent-dominated ecosystems: ecotoxicological, hydrological, and management considerations. *Hydrobiologia* 556:365-379. 10.1007/s10750-004-0189-7
581 Caporaso JG, Kuczynski J, Stombaugh J, Bittinger K, Bushman FD, Costello EK, Fierer N, Pen, AG, Goodrich JK, Gordon JJ, Huttenhower CA, Kelley ST, Knights D, Koenig JE, Ley RE, Lozupone CA, McDonald D, Muegge BD, Parrun M, Reeder J, Sevinsky JR, Turnbaugh PJ, Walters WA, Widmann J, Yatsunenko T, Zaneveld J, and Knight R. 2010. QIIME allows analysis of high-throughput community sequencing data. *Nature Methods* 7:335-336. 10.1038/nmeth.f.303
582 Carey RO, and Migliaccio KW. 2009. Contribution of Wastewater Treatment Plant Effluents to Nutrient Dynamics in Aquatic Systems: A Review. *Environmental Management* 44:205-217. 10.1007/s00267-009-9309-5
583 Chaudhary A, Kauser I, Ray A, and Poretsky R. 2018. Taxon-driven functional shifts associated with storm flow in an urban stream microbial community. *mSphere* 3.
584 10.1128/mSphere.00194-18
585 Chu BTT, Petrovic ML, Chaudhary A, Wright D, Murphy B, Wells G, and Poretsky R. 2018. Metagenomics reveals the impact of wastewater treatment plants on the dispersal of microorganisms and genes in aquatic sediments. *Applied and Environmental Microbiology* 84. 10.1128/aem.02168-17
Dahllof I, Baillie H, and Kjelleberg S. 2000. rpoB-based microbial community analysis avoids limitations inherent in 16S rRNA gene intraspecies heterogeneity. *Applied and Environmental Microbiology* 66:3376-3380. 10.1128/aem.66.8.3376-3380.2000

Dann AB, and Hontela A. 2011. Triclosan: environmental exposure, toxicity and mechanisms of action. *Journal of Applied Toxicology* 31:285-311. 10.1002/jat.1660

De Vrieze J, Pinto AJ, Sloan WT, and Ijaz UZ. 2018. The active microbial community more accurately reflects the anaerobic digestion process: 16S rRNA (gene) sequencing as a predictive tool. *Microbiome* 6:63. 10.1186/s40168-018-0449-9

Di Cesare A, Fontaneto D, Doppelbauer J, and Corno G. 2016. Fitness and recovery of bacterial communities and antibiotic resistance genes in urban wastewaters exposed to classical disinfection treatments. *Environmental Science & Technology* 50:10153-10161. 10.1021/acs.est.6b02268

Drury B, Rosi-Marshall E, and Kelly JJ. 2013. Wastewater treatment effluent reduces the abundance and diversity of benthic bacterial communities in urban and suburban rivers. *Applied and Environmental Microbiology* 79:1897-1905. 10.1128/aem.03527-12

Edgar RC, Haas BJ, Clemente JC, Quince C, and Knight R. 2011. UCHIME improves sensitivity and speed of chimera detection. *Bioinformatics* 27:2194-2200. 10.1093/bioinformatics/btr381

Fisher JC, Levican A, Figueras MJ, and McLellan SL. 2014. Population dynamics and ecology of *Arcobacter* in sewage. *Frontiers in Microbiology* 5:525. 10.3389/fmicb.2014.00525

Frank JA, Reich CI, Sharma S, Weisbaum JS, Wilson BA, and Olsen GJ. 2008. Critical evaluation of two primers commonly used for amplification of bacterial 16S rRNA genes. *Applied and Environmental Microbiology* 74:2461-2470. 10.1128/aem.02272-07

Garcia-Armisen T, Touron A, Petit F, and Servais P. 2005. Sources of faecal contamination in the Seine estuary (France). *Estuaries* 28:627-633. 10.1007/bf02696073

Glady-Croue J, Niu X-Z, Ramsay JP, Watkin E, Murphy RJT, and Croue J-P. 2018. Survival of antibiotic resistant bacteria following artificial solar radiation of secondary wastewater effluent. *Science of the Total Environment* 626:1005-1011.

Goñi-Urriza M, Capdepuy M, Raymond N, Quentin C, and Caumette P. 1999. Impact of an urban effluent on the bacterial community structure in the Arga River (Spain), with special reference to culturable Gram-negative rods. *Canadian Journal of Microbiology* 45:826-832. 10.1139/cjm-45-10-826

Gücker B, Brauns M, and Pusch MT. 2006. Effects of wastewater treatment plant discharge on ecosystem structure and function of lowland streams. *Journal of the North American Benthological Society* 25:313-329.

Guo H-G, Gao N-Y, Chu W-H, Li L, Zhang Y-J, Gu J-S, and Gu Y-L. 2013a. Photochemical degradation of ciprofloxacin in UV and UV/H2O2 process: kinetics, parameters, and products. *Environmental Science and Pollution Research* 20:3202-3213. 10.1007/s11356-012-1229-x

Guo M-T, Yuan Q-B, and Yang J. 2013b. Microbial selectivity of UV treatment on antibiotic-resistant heterotrophic bacteria in secondary effluents of a municipal wastewater treatment plant. *Water Research* 47:6388-6394. 10.1016/j.watres.2013.08.012

Hahn MW, Kasalický V, Jezbera J, Brandt U, Jezberova J, and Šimek K. 2010. *Limnohabitans curvus* gen. nov., sp. nov., a planktonic bacterium isolated from a freshwater lake. *International Journal of Systematic and Evolutionary Microbiology* 60:1358.
Hahn MW, Lang E, Brandt U, and Spröer C. 2011. *Polynucleobacter acidiphobus* sp. nov., a representative of an abundant group of planktonic freshwater bacteria. *International Journal of Systematic and Evolutionary Microbiology* 61:788.

Hare JM, Bradley JA, Lin C-I, and Elam TJ. 2012. Diverse responses to UV light exposure in Acinetobacter include the capacity for DNA damage-induced mutagenesis in the opportunistic pathogens *Acinetobacter baumannii* and *Acinetobacter ursingii*. *Microbiology (Reading, England)* 158:601-611. 10.1099/mic.0.054668-0

Hedlund BP, Gosink JJ, and Staley JT. 1997. Verrucomicrobia div. nov., a new division of the bacteria containing three new species of *Prosthecobacter*. *Antonie Van Leeuwenhoek* 72:29-38.

Hijnen WAM, Beerendonk EF, and Medema GJ. 2006. Inactivation credit of UV radiation for viruses, bacteria and protozoan (oo)cysts in water: A review. *Water Research* 40:3-22.

Hu Q, Zhang X-X, Jia S, Huang K, Tang J, Shi P, Ye L, and Ren H. 2016. Metagenomic insights into ultraviolet disinfection effects on antibiotic resistome in biologically treated wastewater. *Water Research* 101:309-317.

Illinois Department of Natural Resources. 2011. Illinois Coastal Management Program issue paper: Chicago River and North Shore Channel corridors.

Jumpstart Consortium Human Microbiome Project Data Generation Working G. 2012. Evaluation of 16S rDNA-based community profiling for human microbiome research. *PloS one* 7:e39315-e39315. 10.1371/journal.pone.0039315

Khan ST, Horiba Y, Yamamoto M, and Hiraishi A. 2002. Members of the Family *Comamonadaceae* as Primary Poly(3-Hydroxybutyrate-co-3-Hydroxyvalerate)-Degradating Denitrifiers in Activated Sludge as Revealed by a Polyphasic Approach. *Applied and Environmental Microbiology* 68:3206-3214. 10.1128/aem.68.7.3206-3214.2002

Knights D, Costello EK, and Knight R. 2011. Supervised classification of human microbiota. *FEMS Microbiology Reviews* 35:343-359. 10.1111/j.1574-6976.2010.00251.x

Kokjohn TA, and Miller RV. 1994. IncN plasmids mediate UV resistance and errorprone repair in *Pseudomonas aeruginosa* PAO. *Microbiology* 140:43-48.

Kulkarni P, Olson ND, Paulson JN, Pop M, Maddox C, Claye E, Goldstein RER, Sharma M, Gibbs SG, Mongodin EF, and Sapkota AR. 2018. Conventional wastewater treatment and reuse site practices modify bacterial community structure but do not eliminate some opportunistic pathogens in reclaimed water. *Science of the Total Environment* 639:1126-1137. 10.1016/j.scitotenv.2018.05.178

Langille MGI, Zaneveld J, Caporaso JG, McDonald D, Knights D, Reyes JA, Clemente JC, Burkepile DE, Thurber RLV, Knight R, Beiko RG, and Huttenhower C. 2013. Predictive functional profiling of microbial communities using 16S rRNA marker gene sequences. *Nature Biotechnology* 31:814+. 10.1038/nbt.2676

Liang R, Liu H, Tao F, Liu Y, Ma C, Liu X, and Liu J. 2012. Genome sequence of *Pseudomonas putida* strain SJTE-1, a bacterium capable of degrading estrogens and persistent organic pollutants. *Journal of Bacteriology* 194:4781-4782. 10.1128/jb.01060-12

Linden KG, Shin GA, Faubert G, Cairns W, and Sobsey MD. 2002. UV disinfection of *Giardia lamblia* cysts in water. *Environmental Science & Technology* 36:2519-2522. 10.1021/es0113403
Livak KJ, and Schmittgen TD. 2001. Analysis of relative gene expression data using real-time quantitative PCR and the 2(T)(-Delta Delta C) method. Methods 25:402-408. 10.1006/meth.2001.1262

Love M, Anders S, and Huber W. 2013. Differential analysis of RNA-Seq data at the gene level using the DESeq2 package. Genome Biology 15.

Mao DQ, Yu S, Rysz M, Luo Y, Yang FX, Li FX, Hou J, Mu QH, and Alvarez PJJ. 2015. Prevalence and proliferation of antibiotic resistance genes in two municipal wastewater treatment plants. Water Research 85:458-466. 10.1016/j.watres.2015.09.010

Metropolitan Water Reclamation District of Greater Chicago. 2011. Evaluation of Disinfection Technologies for the Calumet and North Side Water Reclamation Plants.

McKinney CW, and Pruden A. 2012. Ultraviolet Disinfection of Antibiotic Resistant Bacteria and Their Antibiotic Resistance Genes in Water and Wastewater. Environmental Science & Technology 46:13393-13400. 10.1021/es303652q

McLellan SL, Huse SM, Mueller-Spitz SR, Andreishcheva EN, and Sogin ML. 2010. Diversity and population structure of sewage-derived microorganisms in wastewater treatment plant influent. Environmental Microbiology 12:378-392. 10.1111/j.1462-2920.2009.02075.x

McLellan SL, Newton RJ, Vandewalle JL, Shanks OC, Huse SM, Eren AM, and Sogin ML. 2013. Sewage reflects the distribution of human faecal Lachnospiraceae. Environmental Microbiology 15:2213-2227. 10.1111/1462-2920.12092

McMurdie PJ, and Holmes S. 2013. phyloseq: An R Package for Reproducible Interactive Analysis and Graphics of Microbiome Census Data. PloS one 8. 10.1371/journal.pone.0061217

Merbt SN, Auguet JC, Blesa A, Marti E, and Casamayor EO. 2015. Wastewater treatment plant effluents change abundance and composition of ammonia-oxidizing microorganisms in mediterranean urban stream biofilms. Microbial Ecology 69:66-74. 10.1007/s00248-014-0464-8

Merbt SN, Bernal S, Proia L, Marti E, and Casamayor EO. 2017. Photoinhibition on natural ammonia oxidizers biofilm populations and implications for nitrogen uptake in stream biofilms. Llimnology and Oceanography 62:364-375.

Meziti A, Tsementzi D, Kormas KA, Karayanni H, and Konstantinidis KT. 2016. Anthropogenic effects on bacterial diversity and function along a river-to-estuary gradient in Northwest Greece revealed by metagenomics. Environmental Microbiology 18:4640-4652. 10.1111/1462-2920.13303

Mueller-Spitz SR, Goetz GW, and McLellan SL. 2009. Temporal and spatial variability in nearshore bacterioplankton communities of Lake Michigan. FEMS Microbiology Ecology 67:511-522. 10.1111/j.1574-6941.2008.00639.x

Munir M, Wong K, and Xagoraraki I. 2011. Release of antibiotic resistant bacteria and genes in the effluent and biosolids of five wastewater utilities in Michigan. Water Research 45:681-693. 10.1016/j.watres.2010.08.033

Narciso-da-Rocha C, Rocha J, Vaz-Moreira I, Lira F, Tamames J, Henrique I, Martinez JL, and Manaia CM. 2018. Bacterial lineages putatively associated with the dissemination of antibiotic resistance genes in a full-scale urban wastewater treatment plant. Environment International 118:179-188. 10.1016/j.envint.2018.05.040
Newton RJ, Jones SE, Eiler A, McMahon KD, and Bertilsson S. 2011. A guide to the natural
history of freshwater lake bacteria. Microbiology and Molecular Biology Reviews 75:14-49. 10.1128/MMBR.00028-10
Newton RJ, and McLellan SL. 2015. A unique assemblage of cosmopolitan freshwater bacteria
and higher community diversity differentiate an urbanized estuary from oligotrophic
Lake Michigan. Frontiers in Microbiology 6:1028. 10.3389/fmicb.2015.01028
Oh S, Caro-Quintero A, Tsementzi D, Deleon-Rodriguez N, Luo C, Poretsky R, and
Konstantinidis KT. 2011. Metagenomic insights into the evolution, function, and
complexity of the planktonic microbial community of lake lanier, a temperate freshwater
ecosystem. Applied and Environmental Microbiology 77:6000-6011.
Parks DH, Tyson GW, Hugenholtz P, and Beiko RG. 2014. STAMP: statistical analysis of
taxonomic and functional profiles. Bioinformatics 30:3123-3124.
10.1093/bioinformatics/btu494
Petrovich M, Chu B, Wright D, Griffin J, Elfeki M, Murphy BT, Poretsky R, and Wells G. 2018.
Antibiotic resistance genes show enhanced mobilization through suspended growth and
biofilm-based wastewater treatment processes. FEMS Microbiology Ecology 94.
10.1093/femsec/fly174
Polls I, Luehing C, Zenz DR, and Sedita SJ. 1980. Effects of urban runoff and treated municipal
wastewater on a man-made channel in northeastern Illinois. Water Research 14:207-215.
10.1016/0043-1354(80)90090-1
Price JR, Ledford SH, Ryan MO, Toran L, and Sales CM. 2018. Wastewater treatment plant
effluent introduces recoverable shifts in microbial community composition in receiving
streams. Science of the Total Environment 613:1104-1116.
10.1016/j.scitotenv.2017.09.162
Ramond J-B, Berthe T, Duran R, and Petit F. 2009. Comparative effects of mercury
contamination and wastewater effluent input on Gram-negative merA gene abundance in
mudflats of an anthropized estuary (Seine, France): a microcosm approach. Research in
Microbiology 160:10-18. 10.1016/j.resmic.2008.10.004
Rizzo L, Manaia C, Merlin C, Schwartz T, Dagot C, Ploy MC, Michael I, and Fatta-Kassinos D.
2013. Urban wastewater treatment plants as hotspots for antibiotic resistant bacteria and
genes spread into the environment: A review. Science of the Total Environment 447:345-360. 10.1016/j.scitotenv.2013.01.032
Rotthauwe JH, Witzel KP, and Liesack W. 1997. The ammonia monoxygenase structural gene
amoA as a functional marker: Molecular fine-scale analysis of natural ammonia-
oxidizing populations. Applied and Environmental Microbiology 63:4704-4712.
Schlüter A, Szczepanowski R, Puehler A, and Top EM. 2007. Genomics of IncP-1 antibiotic
resistance plasmids isolated from wastewater treatment plants provides evidence for a
widely accessible drug resistance gene pool. FEMS Microbiology Reviews 31:449-477.
10.1111/j.1574-6976.2007.00074.x
Segata N, and Huttenhower C. 2011. Toward an efficient method of identifying core genes for
evolutionary and functional microbial phylogenies. PloS one 6:e24704.
10.1371/journal.pone.0024704
Segata N, Izard J, Waldron L, Gevers D, Miropolsky L, Garrett WS, and Huttenhower C. 2011.
Metagenomic biomarker discovery and explanation. Genome Biol 12:R60. 10.1186/gb-
2011-12-6-r60
Servais P, Garnier J, Demarteau N, Brion N, and Billen G. 1999. Supply of organic matter and bacteria to aquatic ecosystems through waste water effluents. *Water Research* 33:3521-3531. Doi 10.1016/S0043-1354(99)00056-1

Shaw AK, Halpern AL, Beeson K, Tran B, Venter JC, and Martiny JBH. 2008. It's all relative: ranking the diversity of aquatic bacterial communities. *Environmental Microbiology* 10:2200-2210. 10.1111/j.1462-2920.2008.01626.x

Shchegolkova NM, Krasnov GS, Belova AA, Dmitriev AA, Kharitonov SL, Klimina KM, Melnikova NV, and Kudryavtseva AV. 2016. Microbial community structure of activated sludge in treatment plants with different wastewater compositions. *Frontiers in Microbiology* 7. 10.3389/fmicb.2016.00090

Sullivan BA, Vance CC, Gentry TJ, and Karthikeyan R. 2017. Effects of chlorination and ultraviolet light on environmental tetracycline-resistant bacteria and tet(W) in water. *Journal of Environmental Chemical Engineering* 5:777-784.

Tennstedt T, Szczepanowski R, Braun S, Puhler A, and Schluter A. 2003. Occurrence of integron-associated resistance gene cassettes located on antibiotic resistance plasmids isolated from a wastewater treatment plant. *FEMS Microbiology Ecology* 45:239-252. 10.1016/s0168-6496(03)00164-8

United States Environmental Protection Agency, Office of Water. 2009. Drinking water infrastructure needs survey and assessment: fourth report to Congress. EPA 816-K-17-002

United States Environmental Protection Agency, Office of Water. 2018. Final 2016 Effluent Guidelines Program Plan. Washington, D.C. EPA-821-R-18-001

Waizer MJ, Tumber V, and Holm J. 2011. Effluent-dominated streams. Part 1: Presence and effects of excess nitrogen and phosphorus in Wascana Creek, Saskatchewan, Canada. *Environmental Toxicology and Chemistry* 30:496-507. 10.1002/etc.399

Walsh F, Ingenfeld A, Zampicelli M, Hilber-Bodmer M, Frey JE, and Duffy B. 2011. Real-time PCR methods for quantitative monitoring of streptomycin and tetracycline resistance genes in agricultural ecosystems. *Journal of Microbiological Methods* 86:150-155. 10.1016/j.mimet.2011.04.011

Wang Z, Zhang X-X, Huang K, Miao Y, Shi P, Liu B, Long C, and Li A. 2013. Metagenomic profiling of antibiotic resistance genes and mobile genetic elements in a tannery wastewater treatment plant. *PloS one* 8. 10.1371/journal.pone.0076079

Xu J, Xu Y, Wang H, Guo C, Qiu H, He Y, Zhang Y, Li X, and Meng W. 2015. Occurrence of antibiotics and antibiotic resistance genes in a sewage treatment plant and its effluent-receiving river. *Chemosphere* 119:1379-1385. 10.1016/j.chemosphere.2014.02.040

Zhao F, Hu Q, Ren H, and Zhang X-X. 2018. Ultraviolet irradiation sensitizes *Pseudomonas aeruginosa* PAO1 to multiple antibiotics. *Environmental Science: Water Research & Technology* 4:2051-2057.
Figure 1 (on next page)

Alpha diversity (Shannon diversity index) among the five experimental treatments.

Figure 1. Alpha diversity (Shannon diversity index) among the five experimental treatments. The diversity at 0 h (red), 24 h (green), and 48 h (blue) included for each condition with two replicates per time point. Stormflow samples indicate effluent additions to river water. The bold lines indicate median values for the six samples from each treatment.
Principle coordinates analysis (PCoA) ordination on Bray-Curtis distances of microbial communities.

(A) untreated (red) and UV-treated (green) effluent-only microcosms and (B) baseflow river water (blue), stormwater-like samples with untreated effluent (red), and stormwater-like samples with UV-treated effluent (green) at 0h (circles), 24 h (triangles), and 48 h (squares).
Figure 3 (on next page)

Taxonomic distribution of OTUs at the phylum level for the four phyla with a total of >1% of the OTUs in all samples.

Relative abundance refers to percentage of the OTUs attributed to each phylum with respect to all OTUs from each sample, including those that were unclassified. The Proteobacteria bars are subdivided into Alpha-, Beta-, Epsilon-, and Gammaproteobacteria. The five sample types are separated vertically by treatment (A-C: untreated effluent; D-F: UV-treated effluent; G-I: river water; J-L: river with added untreated effluent; M-O: river with added UV-treated effluent) and horizontally by time point (0h, 24h, 48h).
Figure 4 (on next page)

LDA scores calculated by LEfSe of differentially abundant taxa.

(A) untreated effluent (red) compared to UV-treated effluent samples (green) and (B) baseflow river (blue) compared to stormflow-like samples with untreated effluent (red) and stormflow-like samples with UV-treated effluent (green). All time points were combined for these analyses.
Figure 5 (on next page)

RT-qPCR-based quantification of amoA and tetW gene expression relative to rpoB gene expression derived from Cq values.

Expression of amoA (A) and tetW (B) in untreated (black) and UV-treated (white) effluent-only microcosms and amoA (C) and tetW (D) in stormwater-like samples with untreated effluent (black), and stormwater-like samples with UV-treated effluent (white) at 0h, 24 h, and 48 h (two samples from each time point). Error bars indicate standard error from triplicate RT-qPCRs. Letters denote significantly different samples based on ANOVA and Tukey’s ‘Honest Significant Difference’ tests.
