Monolithic Wafer Scale Integration of Silicon Nanoribbon Sensors with CMOS for Lab-on-Chip Application

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Abstract: Silicon ribbons (SiRi) have been well-established as highly sensitive transducers for biosensing applications thanks to their high surface to volume ratio. However, selective and multiplexed detection of biomarkers remains a challenge. Further, very few attempts have been made to integrate SiRi with complementary-metal-oxide-semiconductor (CMOS) circuits to form a complete lab-on-chip (LOC). Integration of SiRi with CMOS will facilitate real time detection of the output signal and provide a compact small sized LOC. Here, we propose a novel pixel based SiRi device monolithically integrated with CMOS field-effect-transistors (FET) for real-time selective multiplexed detection. The SiRi pixels are fabricated on a silicon-on-insulator wafer using a top-down method. Each pixel houses a control FET, fluid-gate (FG) and SiRi sensor. The pixel is controlled by simultaneously applying frontgate (\( V_G \)) and backgate voltage (\( V_{BG} \)). The liquid potential can be monitored using the FG. We report the transfer characteristics (\( I_D-V_G \)) of N- and P-type SiRi pixels. Further, the \( I_D-V_C \) characteristics of the SiRi pixels are studied at different \( V_{BG} \). The application of \( V_{BG} \) to turn ON the SiRi modulates the subthreshold slope (SS) and threshold voltage (\( V_{TH} \)) of the control FET. Particularly, N-type pixels cannot be turned OFF due to the control NFET operating in the strong inversion regime. This is due to large \( V_{BG} \) (\( \geq 25 \) V) application to turn ON the SiRi sensor. Conversely, the P-type SiRi sensors do not require large \( V_{BG} \) to switch ON. Thus, P-type pixels exhibit excellent \( I_{ON}/I_{OFF} \), SS of 70–80 mV/dec and \( V_{TH} \) of 0.5 V. These promising results will empower the large-scale cost-efficient production of SiRi based LOC sensors.

Keywords: silicon ribbon pixel; silicon ribbon biosensor; lab-on-chip; SiRi CMOS integration; selective multiplexed detection; SiRi frontgate mode; SiRi backgate mode

1. Introduction

Silicon ribbon (SiRi) field-effect-transistors (FETs) have been widely recognized as efficient standalone prostate specific antigen (PSA) cancer marker, DNA, virus and pH sensors [1–9]. The small size, high surface to volume ratio, electrical read-out and a dimension that is comparable to the target of interest make SiRi-FETs an excellent contender for label-free pH and bio detection [1–9]. Table 1 summarizes some of the most important works employing SiRi sensor for the detection of pH or bio targets.

The sensing mechanism of the SiRi-FET is based on the principle of detection of surface charge (Figure 1). Initially, the current flowing through the SiRi is measured without any DNA hybridization and its threshold voltage is noted (\( V_{th1} \)) (Figure 1a). Later, the surface of the SiRi is functionalized with the receptors and target of interest such as a double stranded DNA. Then, the current flowing through the SiRi is re-measured. The addition of DNA molecule on the surface and the resulting
hybridization process causes a change in the surface charge density \(Q_{\text{hybrid}}\). As a result, it changes the threshold voltage of the SiRi \(V_{th2}\) (Figure 1b). By noting the difference in the threshold voltages \(\Delta V_{th\text{hybridization}} = V_{th2} - V_{th1}\) before and after DNA addition, the amount of additional charge \(N_{\text{hybrid}}\) resulting from the target molecule of interest can be estimated using Equation (1) [10].

\[
N_{\text{hybrid}} = \frac{Q_{\text{hybrid}}}{q} = -\frac{\Delta V_{th}}{\varepsilon_0 \varepsilon_r}/(q_{\text{tox}}) \tag{1}
\]

Table 1. A summary of some of the most important works employing silicon ribbon (SiRi) sensor for the detection of pH or bio targets. The distinction is made based on fabrication method (top-down (TD) versus bottom-up (BU)), substrate type (Bulk Si versus silicon-on-insulator (SOI)) and type of sensor. Further, the application and complementary-metal-oxide-semiconductor (CMOS) integration feature are also considered.

| Reference | Fabrication Method, Substrate, Device Type | CMOS Integration | Application |
|-----------|------------------------------------------|-----------------|-------------|
| Zhang et al. [1] | TD, SOI, SiRi | No | Dengue virus (DEN-2) |
| Lee et al. [2] | TD, SOI, SiRi | No | pH |
| Park et al. [3] | TD, SOI, SiRi | No | pH |
| Yoo et al. [4] | TD, SOI, SiRi | No | pH |
| Nguyen et al. [5] | BU, Bulk, SiNN-FET | No | DNA |
| Kim et al. [6] | TD, SOI, SiRi | No | PSA cancer marker |
| Chaing et al. [7] | TD, SOI, SiRi | No | H5N2 virus |
| Chen et al. [8] | TD, SOI, SiRi | No | pH |
| Tarasov et al. [9] | TD, SOI, SiRi | No | pH, ions |

Figure 1. A schematic depicting the working mechanism of a silicon ribbon (SiRi) sensor. (a) The threshold voltage \(V_{th1}\) of the SiRi sensor is measured before bio molecule addition. (b) The target double strand DNA molecule is added on the surface where it undergoes hybridization process. As a result, the surface charge on the SiRi changes. The threshold voltage of the SiRi is measured again \(V_{th2}\). By noting the change in the threshold voltage \(V_{th2} - V_{th1}\), the added charge on the surface can be estimated.

In particular, the SiRi-FETs described in this work can be functionalized using the process shown by Nguyen et al. [5] and Jayakumar et al. [11]. The first step in the DNA probe covalent grafting process is silanization. In this step, the sensor surface is functionalized with single strand DNA using...
an organosilane such as (3-Aminopropyl)triethoxysilane (APTES) [5]. Later, the sensor can be used for the hybridization detection of target DNA that is complementary to the probe DNA [11]. At the same step, to verify the selectivity of the sensor, its surface is exposed to non-complementary DNA and saline buffer solution that is free of complementary DNA [11]. A well-behaved SiRi sensor is expected to not respond to the non-complementary DNA or the salt crystallization after drying and only exhibit $V_{TH}$ shifts because of the target complementary DNA [11]. Indeed, the sensing mechanism employed by works listed in Table 1 relies on the conductance changes in the SiRi channel. However, as shown in our previous work, during the electrical characterization of the sensor (after silanization, grafting and hybridization steps), the current ratio ($I_{ON}/I_{OFF}$) and subthreshold slope (SS) of the SiRi-FET almost remain constant. The transfer characteristics of the sensor only translate as shift in the threshold voltage [11] (Figure 1). This method of detection ensures that there is no fluctuation of the drain current during sensing and the field effect electrostatic coupling occurs between the charges on the SiRi surface and the channel [11]. The biodetection efficiency of such a DNA hybridization detection process is characterized by the sensitivity parameter, which is defined as the threshold voltage shift ($V_{th2} - V_{th1}$) after hybridization [11].

The attractive benefits of SiRi sensors can be further extended to selective target detection, multi-target detection, and synchronous real-time read-out by integrating them with complementary metal oxide semiconductor (CMOS) field-effect transistors (FETs) and circuits [12]. Furthermore, the integration of SiRi sensors with CMOS read-out circuits establishes a platform for the realization of a complete lab-on-chip (LOC) sensor. However, as can be seen in Table 1, even though many researchers have successfully used the standalone SiRi sensor for detection of pH and bio-targets, none of the works address the monolithic integration of SiRi with CMOS. The goal of this paper is to establish one such platform. We achieve the SiRi CMOS integration by employing a pixel-based LOC architecture. The pixel-based SiRi LOC design is akin to the CMOS image sensor pixel design [13].

Figure 2 shows the proposed scalable sequential read-out scheme to integrate SiRi sensors with CMOS. The details of the circuits and the layout are described elsewhere [14]. Here, we highlight the salient features relevant to SiRi integration. In the pixel based integration scheme, each SiRi is connected to an on-chip fluid gate and a control transistor forming a SiRi pixel [14]. “N × N” matrix of such pixels can be addressed using N-bit vertical and horizontal shift register circuits, respectively. In this design, the transistor is configured as a switch to particularly control a specific row or column. Especially, the on-chip fluid gate is integrated in the design to monitor the potential in the liquid environment. The advantage of such a design is that the output current of the pixel array could further be monolithically connected to amplifiers for signal amplitude magnification, band pass filters for reducing the noise or allowing only a certain range of the signal and other read-out circuits such as a trans-impedance amplifiers to convert current to voltage output [12].

To avail such desirable benefits of CMOS integration, the manufacturing scheme employed for the realization of SiRi pixel sensor also has to be CMOS compatible. Traditionally, there are two methods to manufacture SiRi sensors: (1) bottom-up (BU); and (2) top-down (TD) [15–17]. In the BU method, the SiRi sensors are realized by using molecular pre-cursors. For example a silicon nanonet field effect transistor (SiNN-FET) can be manufactured on a bulk Si wafer using the BU method [5,18]. On the contrary, in the TD method, SiRi sensors are commonly realized on a silicon-on-insulator (SOI) substrate using the well-established lithography and patterning techniques [2–4,6–9,19]. It is particularly challenging to transfer the bottom-up grown nanonets on to a CMOS wafer and continue processing due to the tangling of the grown wires, difficulty in alignment and manual errors [20]. Further, in the bottom-up method, the probability of introducing contaminants on to the wafer is very high [18,20]. It also demands the usage of specialized alignment methods that tend to be time consuming [18,20]. In comparison, the top down method is CMOS compatible and allows for the low-cost co-integration of SiRi sensors with metal-oxide-semiconductor field-effect transistors (MOSFETs) and circuits [14–17].
Therefore, in this article, we utilize the fully CMOS compatible top-down method to fabricate the SiRi pixel sensors on wafer scale. Then, we show the first electrical results of the pixel design for both N- and P-type SiRi pixels. Single SiRi sensors of dimensions width $W = 1 \mu m$ and length $L = 1 \mu m$ were connected to N- and P-type CMOS transistors ($W = 4 \mu m$ and $L = 1 \mu m$) to fully exploit the monolithic integration of SiRi with CMOS transistors. The SiRi pixel can be operated in two different modes: (a) the frontgate mode; and (b) the backgate mode. In the frontgate mode, the pixel is turned ON or OFF by applying a frontgate voltage to the N- and P-type transistors, while the backgate bias is fixed. In the backgate mode, the pixel is turned ON or OFF by applying a backgate voltage to the substrate, while the frontgate bias of the N- and P-type transistors is fixed. Both modes of operation validate the concept of establishing control of individual SiRi pixel modules in a matrix of sensors. Indeed, these promising results will empower the large-scale cost efficient production of compact label-free SiRi based lab-on-chip (LOC) sensors.

![Figure 2. Circuit diagram of SiRi pixel based lab-on-chip (LOC). Each SiRi is connected to an on-chip fluid gate and a control transistor forming a SiRi pixel [14]. “N × N” matrix of such pixels can be addressed using N-bit vertical and horizontal shift register circuits, respectively.](image)

2. Materials and Methods

The important steps in the fabrication of the SiRi pixel sensor are shown in Figure 3. The SiRi pixel sensors were fabricated on boron doped ($1 \times 10^{15}$ cm$^{-3}$) 4” (100 mm) SOI wafer. A 145 nm buried oxide layer (BOX) separates the top 55 nm crystalline silicon (c-Si) device layer from the substrate. The c-Si device layer was thermally oxidized at 1000 °C and thinned down to 20 nm (Figure 3A).

Then, 40 nm SiO$_2$ hard mask was deposited by plasma enhanced chemical vapor deposition (PECVD) at 400 °C (Figure 3B). The SiRi pattern and active region was defined on the SiO$_2$ surface using conventional I-line lithography. Using a resist mask the SiO$_2$ was selectively etched towards c-Si in CHF$_3$/CF$_4$/O$_2$ plasma (Figure 3C). Then, the 20 nm c-Si device layer was etched using SiO$_2$ as mask in Cl$_2$/HBr/O$_2$ plasma (Figure 3D). The final dimension of the SiRi sensor is 1 $\mu m \times 1 \mu m \times 20$ nm ($L \times W \times H$). Next, a diluted HF spray etch was employed to strip the SiO$_2$ on top of source/drain pads which recessed the BOX to 140 nm. Later, the active region of the SiRi pixel was thermally oxidized at 800 °C to form 5 nm SiO$_2$. This 5 nm SiO$_2$ forms the gate dielectric material for the control transistor in the SiRi pixel. This step was followed by 10 nm physical vapor deposition (PVD) of TiN gate metal, low-pressure chemical vapor deposition (LPCVD) of 100 nm n$^+$ polysilicon and PECVD deposition of 40 nm SiO$_2$ hard mask.

The transistor gate and on-chip fluid gate were patterned using I-line lithography and without breaking vacuum in the reactive ion etching (RIE) chamber the n$^+$ poly silicon/TiN stack was...
selectively etched towards underlying c-Si active region using Cl₂/HBr/O₂ and BCl₃/Cl₂ plasma, respectively (Figure 3E). Next, using a resist mask that covers the SiRi region, the active regions of the SiRi pixel was implanted. To form N-type SiRi pixels, the source/drain regions was doped with As⁺, whereas, to form P-type SiRi pixels, the source/drain regions were doped with BF₂ (Figure 3F).

![Figure 3](image-url)

Figure 3. Schematic of the process steps employed in the manufacturing of the SiRi pixel sensor: (A) SOI wafer starting substrate; (B) deposition of 40 nm SiO₂ hard mask; (C) lithography and RIE of SiO₂ hard mask to define the contact pads of the control transistor and SiRi channel; (D) reactive ion etching (RIE) of the crystalline silicon (c-Si) device layer using SiO₂ mask and transfer of the pattern to c-Si device layer; (E) thermal gate-oxide growth, TiN gate metal and n⁺ polysilicon deposition and patterning to define the frontgate of the transistor and fluid gate of the SiRi pixel; (F) As or BF₂ ion-implantation step using resist mask to form N-metal-oxide-semiconductor (NMOS) and P-metal-oxide-semiconductor (PMOS) pixels respectively; (G) after dopant activation and SiO₂/SiN spacer formation along the gate sidewall, NiSi ohmic contact formation; (H) contact hole definition and TiW/Al metal patterning; and (I) etching pathways to access the SiRi test site using lithography mask and RIE etching. Later, a 10 nm atomic layer deposition (ALD) SiO₂ passivation oxide that also behaves as sensing dielectric is deposited.

In the cases of N and P SiRi pixels, the dose of 1 x 10¹⁵ cm⁻³ and energy 10 keV was optimized based on iterations in semiconductor simulation software SRIM (2013 version) developed by James F. Ziegler, USA. The 40 nm SiO₂ gate etch mask and thin layer of SiO₂ gate dielectric material was preserved during the implantation to avoid surface damage and 7° tilt angle was opted to avoid channeling. After resist stripping, a thin layer of 10 nm SiO₂ was deposited by atomic layer deposition (ALD) followed by deposition of an additional 60 nm SiN. The SiO₂/SiN stack was patterned using I-line lithography and etched everywhere except on-top of the SiRi. This SiO₂/SiN stack is later used as a mask during the salicide process step to prevent silicidation of the SiRi.

After the SiO₂/SiN patterning, a rapid thermal annealing (RTA) step followed at 1000 °C for 10 s to activate the dopants. Next, ~40 nm gate SiO₂ mask and ~5 nm thermal oxide/10 nm SiO₂ lying on top of the source/drain region is cleared using diluted HF spray etch. A 5 nm Ni was deposited using PVD and annealed at 450 °C for 30 s to form low resistance ohmic source/drain and gate contacts (Figure 3G). The unreacted Ni was stripped in H₂SO₄:H₂O₂ (3:1) mixture. Then, 400 nm PECVD SiO₂ was deposited, patterned using I-line lithography and RIE was done in CHF₃/CF₄/O₂ plasma to define contact hole for the SiRi pixel. After metallization using PVD 100 nm TiW lining layer and 500 nm Al layer, patterning and Si₆/BCl₃/Cl₂ RIE etching (Figure 3H), 100 nm PECVD passivation oxide layer was deposited to protect the metal lines and the transistor.
The top-view scanning electron microscope (SEM) image of a typical single SiRi pixel device after the metallization step but prior to opening access to SiRi test site is shown in Figure 4A. At this stage, the 500 nm SiO$_2$ is still lying on-top of the SiRi along with the 10 nm SiO$_2$/60 nm SiN stack that was deposited to mask silicidation process. The sensing area of the SiRi channel was exposed by using a resist mask, I-line lithography step and selective RIE of ~570 nm dielectric in CHF$_3$/CF$_4$/O$_2$ plasma. Then, 10 nm ALD SiO$_2$ was deposited at 350 °C on top of the SiRi sensor (Figure 3I).

![Figure 4. Top view scanning electron microscope (SEM) image of a single SiRi pixel showing the control FET, fluid gate and SiRi sensor: (A) before opening access to the SiRi test site; and (B) after opening access to the SiRi test site.](image)

The ALD SiO$_2$ will behave as the passivation layer on top of the sensor as well as act as the sensing dielectric during the bio functionalization experiments. Figure 4B shows the top-view SEM image of a SiRi pixel after opening access to SiRi test site. To probe the SiRi pixels, the 100 nm passivation oxide lying on-top of the bondpads was etched using CHF$_3$/CF$_4$/O$_2$ plasma. Finally, a forming gas anneal was done at 400 °C and marks the completion of the entire fabrication process.

3. Electrical Characterization

The setup shown in Figure 3I was used for the electrical evaluation of the SiRi pixel sensors prior to functionalization. A Cascade 12,000 semi-automatic wafer prober (Cascade Microtech, Beaverton, OR, USA) that was externally connected to a Keithley 4200-SCS parameter analyzer (Tektronix, Inc., Beaverton, OR, USA) was used to perform direct current (DC) electrical measurements. It also facilitated full-scale wafer mapping. In the pixel module, the source terminal of the transistor is connected to the column selection line while the drain terminal of the transistor is connected to the SiRi. The SiRi pixel can be operated in two modes—the backgate mode (Figure 5B) and frontgate mode (Figure 5C). In the backgate mode, the gate of the control transistor is biased at a fixed frontgate voltage while the SiRi is turned ON or OFF by sweeping the backgate voltage (Figure 5B). In the backgate mode, to turn ON the SiRi pixel, sufficient frontgate voltage needs to be applied such that the MOSFET is first turned ON (Figure 5B). In the frontgate mode, the MOSFET is turned ON or OFF by sweeping the gate of the control transistor while the SiRi is biased at a fixed backgate voltage (Figure 5C). In the frontgate mode, to turn ON the SiRi pixel, sufficient backgate voltage needs to be applied such that the SiRi is first turned ON. In the frontgate mode, the SiRi behaves as a resistor but turned ON/OFF using the backgate voltage (Figure 5C).

In the frontgate mode, the gate of the transistor ($V_G$) is swept from $-2.5$ to +2.5 V and an initial bias voltage of $-0.1$ V for P-metal-oxide-semiconductor (PMOS) (or 0.1 V for N-metal-oxide-semiconductor (NMOS)) was applied to the drain terminal ($V_D$) while the source terminal ($V_S$) was connected to the ground. The SiRi is turned ON by the application of a constant bias voltage to the backgate ($V_{BG}$). In the backgate mode, an initial bias voltage of $-0.1$ V for PMOS (or 0.1 V for NMOS) was applied...
to the drain terminal (V_D) while the source terminal (V_S) was connected to the ground. Then, a bias voltage of 0 V was applied to the gate of the control transistor (both NMOS and PMOS), while the backgate was swept from 25 to −10 V for P-type and from 0 to 30 V for N-type SiRi, respectively. In both modes of operation, the fluid gate terminal (V_{FG}) was left open and not connected as these measurements were performed prior to bio functionalization. The influence of substrate voltage bias (V_{BG}) on standalone N- and P-type transistors was also studied in this work. During the electrical measurements of NMOS transistor, the V_G was swept from −2.5 to 2.5 V while keeping the V_S at 0 V, V_D at 0.1 V and stepping V_{BG} (−15, −10, −5, 0, 5, 10, 15, 20, 25 and 50 V). Similarly, in the case of the PMOS transistor, the V_G was swept from −2.5 to 2.5 V while keeping the V_S at 0 V, V_D at −0.1 V and stepping V_{BG} (−50, −25, −20, −15, −10, −5, 0, 5, 10, 15 V).

![Figure 5](image_url)  (A) SiRi pixel  (B) Backgate mode  (C) Frontgate mode

**Figure 5.** (A) The test setup for electrical evaluation of the SiRi pixel sensors in (B) backgate mode where frontgate of the control transistor is fixed at 0 V while the backgate of the SiRi is swept from −10 to +30 V and (C) frontgate mode where the transistor frontgate is swept from −2.5 to 2.5 V and SiRi is biased at fixed voltage where it is always ON (−10 V for P-type SiRi).

Table 2 shows the N- and P-type pixels that were studied in this work. The dimensions of the corresponding control transistor and ribbon module in the respective pixel is also shown. Single N-type SiRi of W = 1 µm and L = 1 µm was connected to N-type control transistor of L = 1 µm and W = 4 µm respectively. Single P-type SiRi of W = 1 µm and L = 1 µm was connected to P-type control transistor of L = 1 µm and W = 4 µm, respectively. The important performance metrics of the SiRi pixels and transistors, namely the subthreshold slope (SS) and threshold voltage (V_{TH}), were noted from the transfer characteristics. The linear extrapolation method was employed to extract the V_{TH} of the SiRi pixels and the transistors.

| Type of Pixel | Type of Transistor | Transistor Dimensions (µm) | Type of SiRi | SiRi Dimensions (µm) |
|---------------|--------------------|-----------------------------|--------------|----------------------|
| N             | N                  | L (1) W (4)                 | N            | L (1) W (1)          |
| P             | P                  | L (1) W (4)                 | P            | L (1) W (1)          |

**Table 2.** Geometrical characteristics of the SiRi pixel devices studied in this work. The thickness of the SiRi sensors is 20 nm. In a N-type pixel, a N-type transistor of L = 1 µm and W = 4 µm is connected to a N-type SiRi sensor of L = 1 µm and W = 1 µm. Similarly, in the P-type pixel, a P-type transistor of L = 1 µm and W = 4 µm is connected to a P-type SiRi sensor of L = 1 µm and W = 1 µm.

4. Results and Discussion

4.1. I_D−V_G Transfer Characteristics of N- and P-Type Transistors

Figure 6 shows the wafer scale I_D−V_G transfer characteristics (at V_{BG} = 0 V) of standalone NMOS and PMOS transistors manufactured using the same fabrication process as the SiRi pixels. The NMOS and PMOS transistors have a SS of 60–65 mV/dec. The extracted V_{TH} for NMOS is in the range from −0.3 to 0.3 V while the PMOS transistor has V_{TH} in the range of −0.9 to −1.1 V. Figure 7A,B shows
the $I_D$-$V_G$ transfer characteristics of a single PMOS and NMOS device manufactured on SOI wafer at different $V_{BG}$.

![Figure 6](image_url)

**Figure 6.** The wafer scale $I_D$-$V_G$ transfer characteristics of standalone NMOS and PMOS transistors manufactured using the same fabrication process as the SiRi pixels. The backgate voltage is set to 0 V during the measurements.

![Figure 7](image_url)

**Figure 7.** $I_D$-$V_G$ transfer characteristics of standalone (A) P-type and (B) N-type control FET at different $V_{BG}$ values. It is clear that application of $V_{BG}$ (>20 V for N-type and < −25 V for P-type) pushes the devices into inversion regime of operation. In addition, the $V_{TH}$ and SS of the devices are impacted by the application of $V_{BG}$.

In Figure 7A, the black color $I_D$-$V_G$ curve of the PMOS is at $V_{BG}$ = 0 V. The positive $V_{BG}$ values cause the $I_D$-$V_G$ transfer characteristics to shift to the left side (blue color) of the $I_D$-$V_G$ curve at $V_{BG}$ = 0 V as the back interface is in accumulation. The $V_{TH}$ decreases by ~20–25 mV for 1 V change in $V_{BG}$. The SS is only slightly influenced. The negative $V_{BG}$ values cause the $I_D$-$V_G$ transfer characteristics to shift more towards the right side (red color) of the $I_D$-$V_G$ curve at $V_{BG}$ = 0 V as the Si/BOX interface approaches inversion. At $-50 \leq V_{BG} \leq -25$ V, the frontgate control over the channel is gradually lost causing the increase in SS. As a result, at $V_{BG}$ values < −25 V, the transistor can no longer be turned off. For $-25 \leq V_{BG} \leq -5$ V, the $V_{TH}$ linearly depends on $V_{BG}$ and changes by ~100 mV for −1 V change in $V_{BG}$.

Similarly, in Figure 7B the black color $I_D$-$V_G$ curve of the NMOS is at $V_{BG}$ = 0 V. The negative $V_{BG}$ values cause the $I_D$-$V_G$ transfer characteristics to shift to the right side (red color) of the black curve as the back interface is in accumulation. The $V_{TH}$ linearly increases by ~20–25 mV for 1 V change in $V_{BG}$. The SS is only slightly influenced. Whereas the positive $V_{BG}$ values cause the $I_D$-$V_G$ transfer
characteristics to shift more towards the left side (blue color) of the $I_D$-$V_G$ curve at $V_{BG} = 0$ V as the Si/BOX interface approaches inversion. At $50 \leq V_{BG} \leq 15$ V, the frontgate control over the channel is gradually lost causing the increase in SS. As a result, at $V_{BG}$ values $>25$ V, the transistor can no longer be turned off. For $15 \leq V_{BG} \leq 5$ V, the $V_{TH}$ linearly depends on $V_{BG}$ and changes by $\sim100$ mV for 1 V change in $V_{BG}$.

Thus, it was found that the backgate voltage strongly influences the SS and $V_{TH}$ of the MOSFETs and directly impacts the transfer characteristics. As a result, at $V_{BG}$ values $\geq 25$ V for NMOS (or $-25$ V for PMOS), the transistor can no longer be turned off. Thus, it is concluded that, for stable operation of the SiRi pixel, it is important to restrict the $V_{BG}$ in voltage range from $-10$ to 10 V.

4.2. Backgate Mode of Operation or $I_D$-$V_{BG}$ Transfer Characteristics of N- and P-Type SiRi Pixel Sensors

Figure 8A,B shows the wafer scale backgate mode $I_D$-$V_{BG}$ transfer characteristics of P- and N-type SiRi pixels ($L = 1 \mu$m and $W = 1 \mu$m), respectively, with frontgate voltage of the control transistor fixed at 0 V.

![Figure 8](image_url)

**Figure 8.** (A) $I_D$-$V_{BG}$ backgate mode transfer characteristics of P-type SiRi pixel sensor; (B) $I_D$-$V_{BG}$ backgate mode transfer characteristics of N-type SiRi pixel sensor; (C) $I_D$-$V_G$ frontgate transfer characteristics of P-type SiRi pixel sensor at $V_{BG} = -10$ V; and (D) $I_D$-$V_G$ frontgate transfer characteristics of N-type SiRi pixel sensor at $V_{BG} = 25$ V. The N-type pixels cannot turn OFF due to the N-type transistor operating in strong inversion at $V_{BG} = 25$ V (Figure 7B).

In Figure 8B, the N-type sensors conduct a current of value $\geq 1$ nA from the source to the drain region when $V_{BG} \geq 15$ V while the current values drop to a value of $\leq 1$ pA when the $V_{BG}$ is decreased to values lesser than 10 V confirming that the SiRi pixels exhibit characteristics similar to the N-type MOSFETs. The N-type pixel is turned ON as long as the control transistor is biased in the inversion region ($V_G = 0$ V). Similarly, in Figure 8A, the P-type sensors conduct a current of value $\geq 10$ nA
from the source to the drain region when $V_{BG} \leq 10$ V while the current values drop to a value of $\leq 1$ pA when the $V_{BG}$ is increased to values greater than 15 V confirming that the SiRi sensors exhibit characteristics similar to the P-type MOSFETs. The P-type pixel is turned ON as long as the control transistor is biased in the inversion region ($V_{G} = 0$ V). A SS value of 70–80 mV/dec and $I_{ON}/I_{OFF} \geq 10^6$ was noted for both N- and P-type sensors.

The $V_{TH}$ of the N-type pixel was found to range from 12.5 to 15.5 V while the $V_{TH}$ of the P-type sensor was found to range from 10 to 15 V. This indicates that the number of charges per unit area in the BOX is $2.2 \times 10^{12}$ cm$^{-2}$. These first observations of high $V_{TH}$ variations also hint at the importance of the backgate interface between c-Si SiRi sensor/BOX layer.

4.3. Frontgate Mode of Operation or $I_{DG}$-$V_{G}$ Transfer Characteristics of N- and P-Type SiRi Pixel Sensors

Figure 8C,D shows the wafer scale frontgate mode $I_{DG}$-$V_{G}$ transfer characteristics of corresponding P- and N-type SiRi pixel devices ($L = 1$ µm and $W = 1$ µm), respectively. In Figure 8C,D, the P-type SiRi pixel was biased with $V_{BG} = -10$ V and N-type SiRi pixel device was biased with $V_{BG} = 25$ V, respectively. The $I_{DG}$-$V_{G}$ transfer characteristics of N- and P-type SiRi pixel devices is similar to the $I_{DG}$-$V_{G}$ transfer characteristics of N- and P-type MOSFET devices, respectively. However, in Figure 8B, the N-type SiRi has high $V_{TH}$ in backgate mode due to the thick BOX layer. In particular, the N-type SiRi (Figure 8B) is in the inversion region of operation at $V_{BG} \geq 20$ V. Thus, to turn ON the N-type SiRi pixel in the frontgate mode, the SiRi sensor connected at the output should be biased at $V_{BG} \geq 20$ V. When the N-type SiRi pixel sensor was biased with a $V_{BG} = 25$ V, it was observed that the pixel sensor could not be turned OFF (Figure 8D). This behavior can be understood by referring to the influence of $V_{BG}$ on the $I_{DG}$-$V_{G}$ transfer characteristics of N-type transistors that control the SiRi sensor device (Figure 6). It can be noted that N-type standalone transistors have an average $V_{TH}$ of $\approx 0$ V and variation of $\pm 0.3$ V. Applying a $V_{BG} = 25$ V to operate the N-type SiRi pixel sensor in the inversion region pushes the $I_{DG}$-$V_{G}$ transfer characteristics of the N-type control transistor to even stronger inversion region (Figure 7B) where it can no longer be turned OFF. The $V_{TH}$ of the N-type transistor shifts further to the left side from its ideal operating condition at $V_{BG} = 0$ V as it moves towards inversion. In the inversion region, the influence of the $V_{BG}$ on the control transistor is stronger than that of the frontgate voltage ($V_{G}$). Since the NMOS transistor controls the N-type SiRi pixel, even the N-type pixels can no longer be turned OFF for $V_{BG} \geq 25$ V.

Similarly, the P-type SiRi pixel (Figure 8A) in backgate mode is in the inversion region of operation at $V_{BG} \leq 10$ V. Thus, to turn ON the P-type SiRi pixel, the SiRi sensor connected at the output should be biased at $V_{BG} \leq 10$ V. When the P-type SiRi pixel sensor was biased with a $V_{BG} = -10$ V, it was observed that the pixel sensor behaves similar to the P-type MOSFETs (Figure 8C). This behavior is contrary to that of N-type SiRi pixel shown in Figure 8D. This can be understood by referring to the influence of $V_{BG}$ on the $I_{DG}$-$V_{G}$ transfer characteristics of P-type transistors that control the SiRi sensor device (Figure 6). In Figure 6, it can be noted that P-type standalone transistors have an average $V_{TH}$ of $\approx -1.1$ V and variation of $\pm 0.1$ V. Applying a $V_{BG} = -10$ V to operate the P-type SiRi pixel sensor in the inversion region pushes the $I_{DG}$-$V_{G}$ transfer characteristics of the P-type control transistor to stronger inversion region (Figure 7A). The $V_{TH}$ of the P-type pixel sensors is shifted further to the right side from the $V_{TH}$ of the P-type transistors (Figure 8C). Particularly, it can be noted that the $V_{TH}$ of the single P-type pixel sensors is $\approx -0.5$ V and variation of $\pm 0.2$ V. However, because of operating the P-type pixels at $V_{BG} = -10$ V, a relatively low value, the P-type transistor can still be turned OFF. In Figure 8C, the P-type pixels exhibit excellent $I_{ON}/I_{OFF} \geq 10^6$, SS of 70–80 mV/dec and $V_{TH}$ of 0.5 V (at $V_{BG} = -10$ V). However, note that the SiRi connected to the PMOS transistor also behaves as a resistor in series with the PMOS transistor. As a result, the P-type SiRi pixels have a flat saturation characteristic of the ON current. Nonetheless, when operating the P-type pixels for DNA detection or bio-experiments, it is expected to work in the subthreshold region of operation where there is exponential dependence of the drain current with respect to frontgate voltage ($V_{G}$). Hence, the resistance arising due to the SiRi pixel will not influence the detection mechanism.
Thus, from the results in Figure 8C,D, it can be concluded that the operating voltage of the SiRi pixel sensors directly depends on the \( V_{TH} \) of the standalone SiRi sensors connected to the control FET. The quality of the backgate interface between SiRi sensor and BOX plays a key role in determining the operating voltage of the SiRi pixels. If the individual SiRis require larger voltages (>15 V) to turn ON, then the SiRi pixels cannot be turned OFF as the transistors controlling the SiRi sensors are in strong inversion region of operation. Further, the \( V_{TH} \) of the individual SiRi sensors depends on the thickness and quality of the BOX layer in the SOI wafer. Since the BOX layer is relatively thick (145 nm), the voltage required to turn ON and turn OFF the standalone SiRi sensors is also very high (25 V for N-type and \(-10\) V for P-type SiRi). In addition, the fixed charges in the BOX layer will significantly impact the \( V_{TH} \) of the SiRi pixel sensors. A relatively small change of fixed charges of \( 3 \times 10^{11} \text{ cm}^{-2} \) would induce a \( V_{TH} \) shift of 2 V due to the BOX thickness of 145 nm. However, as noted shown in Figure 8A,B, the \( V_{TH} \) shift is relatively larger (>15 V) indicating that number of fixed charges in the oxide is of the high order \( \sim 2.2 \times 10^{12} \text{ cm}^{-2} \). This issue of \( V_{TH} \) variation between devices can be overcome by employing SOI wafers with a thinner and high quality BOX layer or by replacing the BOX layer with a metal backgate.

Finally, as shown in Table 3, it is found that the SiRi pixels operating in the frontgate mode of operation exhibit relatively lower \( V_{TH} \) variation in comparison with SiRi pixels operating in the backgate mode. Particularly, the \( V_{TH} \) of P-type pixel in the backgate mode operation was found to range from 10 to 15 V while it was reduced to a \( V_{TH} \) variation of just 0.3 to 0.7 V in the frontgate mode of operation. The reduction of the \( V_{TH} \) in the frontgate mode of operation is due to employing a PMOS transistor to control the SiRi. It can be seen in Figures 6 and 8C that the \( V_{TH} \) of the P-type SiRi pixel follows the same \( V_{TH} \) variation of its respective control P-type transistor. Similarly, in Table 3, the P-type SiRi pixels operating in the frontgate mode of operation exhibit relatively larger \( I_{ON} \) variation \( (4 \times 10^{-7} \text{ to } 3 \times 10^{-6} \text{ A}) \) in comparison with SiRi pixels operating in the backgate mode \( (10^{-6} \text{ to } 2.5 \times 10^{-6} \text{ A}) \). We speculate this to arise on account of process variations in the channel resistance of the P-type SiRi that is connected to the P-type control transistor.

**Table 3.** A comparative study of the variation of \( V_{TH} \) and \( I_{ON} \) in the P-type SiRi pixel device in the backgate and frontgate mode of operation.

| Electrical Parameter | P-Type SiRi Pixel (Backgate Mode) | P-Type SiRi Pixel (Frontgate Mode) |
|----------------------|-----------------------------------|-----------------------------------|
| \( V_{TH} \) variation (V) | 10 to 15 | 0.3 to 0.7 |
| \( I_{ON} \) variation (A) | \( 1 \times 10^{-6} \) to \( 2.5 \times 10^{-6} \) | \( 4 \times 10^{-7} \) to \( 3 \times 10^{-6} \) |

### 4.4. Influence of Backgate Voltage on the SiRi Pixel Sensors in Frontgate Mode of Operation

The mechanism of tuning the \( V_{TH} \) of the SiRi pixel by using the backgate bias can be exploited to match with the operating voltage of the control CMOS circuits. Therefore, we studied the influence of \( V_{BG} \) on the N- and P-type pixels in the frontgate mode operation. Figure 9A shows the \( I_D-V_G \) frontgate transfer characteristics of a single P-type SiRi pixel device of \( W = 1 \mu m \) and \( L = 1 \mu m \) at different \( V_{BG} \). A systematic \( V_{TH} \) shift of \( \sim 40 \text{ mV} \) is observed for 1 V change in \( V_{BG} \). The \( I_D \) of the pixel also increases by \( \sim 3-8 \text{ nA} \) for 1 V change in \( V_{BG} \). Figure 9B shows the \( I_D-V_G \) frontgate transfer characteristics of a single N-type SiRi pixel device of \( W = 1 \mu m \) and \( L = 1 \mu m \) at different \( V_{BG} \). Since the controlling NMOS transistor is in strong inversion region for 20 V \( \leq V_{BG} \leq 25 \text{ V} \), the N-type SiRi cannot be turned OFF.

In Figure 8A, in the backgate mode, P-type SiRi pixel is operating in the inversion region at \( V_{BG} = -10 \text{ V} \). Further, in Figure 8C, the P-type pixels can be switched ON by applying a \( V_{BG} = -10 \text{ V} \) to the SiRi connected at the output. However, in Figure 9A, if for \( -1 \text{ V} \leq V_{BG} \leq 0 \text{ V} \), the P-type pixels are in OFF state as the P-type SiRi connected at the output of the pixel is in OFF state. For \( -4 \text{ V} \leq V_{BG} \leq -2 \text{ V} \), the P-type SiRi connected at the output of the pixel is in the weak inversion region. Thus, the \( I_D \) of the P-type pixel is lower (50 nA). At the same time, the application of a \( V_{BG} = -4 \text{ V} \)
causes the $V_{TH}$ of the PMOS control transistor to shift towards the right side as the transistor moves towards strong inversion (Figure 7A).

![Diagram](image)

**Figure 9.** $I_D$-$V_G$ frontgate mode transfer characteristics of (A) P-type and (B) N-type SiRi pixel at different $V_{BG}$ values. It is observed that application of $V_{BG}$ causes the modulation of $I_D$, $V_{TH}$ and $SS$ of the P-type pixel devices (A). The N-type pixel devices (B) cannot be turned OFF even by applying $5 \leq V_{BG} \leq 25$ V because the standalone N-type SiRi sensor switches ON at $V_{BG} \geq 25$ V (Figure 8B). Simultaneously, at $V_{BG} \geq 20$ V, the N-type control FET moves to strong inversion (Figure 7B) where it can no longer be turned OFF. As a result, the pixel that is controlled by the N-type FET is also not turned OFF.

A further increase of the $V_{BG}$ ($-20 \geq V_{BG} \geq -6$ V), causes the P-type SiRi at the output of the pixel to move towards the strong inversion. As a result, the $I_D$ of the P-type pixel also increases 80 nA–0.16 µA (Figure 9A). Concurrently, this increase in $V_{BG}$ ($-20 \geq V_{BG} \geq -6$ V) causes the $V_{TH}$ of the PMOS control transistor to shift further towards the right side as the transistor moves towards even stronger inversion (Figure 7A). Eventually, at $V_{BG} \leq -20$ V, the control PMOS transistor can no longer be turned OFF. Since the P-type SiRi pixel is controlled by the PMOS transistor, even the P-type pixels can no longer be turned OFF for $V_{BG} \leq -20$ V.

Note that, in Figure 8C,D, the frontgate voltage ($V_G$) of the N- and P-type pixels is swept from −2.5 to 2.5 V. Further increase of the frontgate voltage than the aforementioned range (−2.5 to 2.5 V) will lead to the dielectric breakdown (4 nm SiO$_2$) in the control transistors. Thus, from this dependence of the N- and P-type SiRi pixels (Figures 8D and 9A) on $V_{BG}$, it can be concluded that it is important to restrict the $V_{TH}$ of the N- and P-type SiRi connected at the control FET to low values (5 $\leq V_{BG} \leq 10$ V for N-type and $-5 \leq V_{BG} \leq -10$ V for P-type sensors). As long as the $V_{BG}$ value required to turn ON the standalone SiRi sensors is within the operating bounds (−2.5 to 2.5 V) of the transistor controlling them, then the SiRi pixel sensors can be switched ON or OFF. Otherwise, the large $V_{BG}$ value applied to turn ON the SiRi sensors, will push the controlling transistor in the SiRi pixel device, towards stronger
inversion region of operation where the influence of backgate voltage \(V_{BG}\) is greater than that of the frontgate \(V_G\). As a result, the SiRi pixel devices cease to switch OFF. The thickness and quality of the backgate interface or particularly BOX layer in this study will also strongly influence the \(V_{BG}\) required to bias the SiRi sensors.

5. Conclusions

In this paper, the monolithic integration of SiRi sensor with CMOS has been realized using a novel pixel-based LOC architecture. A single pixel sensor comprised of a control MOSFET, an on-chip fluid-gate and a SiRi sensor connected at its output. In particular, single N- and P-type SiRi sensors of dimensions \(W = 1 \mu m\) and \(L = 1 \mu m\) were connected with N- and P-type CMOS transistors of \(W = 4 \mu m\) and \(L = 1 \mu m\) respectively. The top-down method of fabrication was exploited to manufacture the SiRi pixels. Wafer scale integration was exposed on 100 mm SOI substrate using the CMOS industry grade materials and tools.

Furthermore, we demonstrated the first electrical results of the pixel design for both N- and P-type SiRi pixels in two different modes: (a) the backgate mode; and (b) the frontgate mode. From the \(I_D-V_{BG}\) characteristics in the backgate mode, it is found that the N- and P-type pixels exhibit similar characteristics to that of N- and P-type MOSFETs, respectively, with a SS of 70–80 mV/dec and \(I_{ON}/I_{OFF} \geq 10^6\). The \(V_{TH}\) variation of 12.5–15.5 V for N-type pixel and 10–15 V for P-type pixel was also noted in the backgate mode of operation. Likewise, the \(I_D-V_G\) frontgate mode transfer characteristics of N- and P-type SiRi pixel devices were noted to be similar to the \(I_D-V_G\) transfer characteristics of N- and P-type MOSFETs, respectively. However, in the frontgate operation, a strong dependence was observed on the quality of the SiRi backgate interface. Since the number of charges in the BOX was high \((2.2 \times 10^{12} \text{cm}^{-2})\), it caused a large \(V_{TH}\) shift in SiRi sensors, which in turn impacted the frontgate mode of operation. It was revealed that individual SiRis require larger voltages (>15 V) to turn ON, and then the SiRi pixels cannot be turned OFF as the transistors controlling the SiRi sensors are in strong inversion region of operation. Particularly, the N-type pixels cannot be turned OFF due to the control NMOS operating in the strong inversion regime. This is because of large \(V_{BG}\) application to turn ON the SiRi sensor \((V_{BG} \geq 25 \text{ V})\). Conversely, the P-type SiRi sensors in the frontgate mode do not require large \(V_{BG}\) to switch ON. Thus, the P-type pixels exhibit excellent \(I_{ON}/I_{OFF} \geq 10^6\), SS of 70–80 mV/dec and \(V_{TH}\) of 0.5 V (at \(V_{BG} = -10 \text{ V}\)).

Lastly, we show the influence of different \(V_{BG}\) bias on the \(I_D-V_G\) frontgate transfer characteristics of a single P-type SiRi pixel device. A systematic \(V_{TH}\) shift of ~40 mV is observed for 1 V change in \(V_{BG}\). The \(I_D\) of the pixel also increases by ~3–8 nA for 1 V change in \(V_{BG}\). The tuning of the \(V_{TH}\) of the SiRi pixel by using the backgate bias will be useful to match with the operating voltage of the control CMOS circuits. Indeed, the novel pixel based design and promising transfer characteristics of SiRi pixels addresses the key area of selectively accessing SiRi sensors using CMOS transistors. Thus, the concept of monolithic integration of SiRi sensors with CMOS technology is successfully demonstrated.

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