Effective Use of Function Words for Rule Generalization
in Forest-Based Translation

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Abstract
In the present paper, we propose the effective usage of function words to generate generalized translation rules for forest-based translation. Given aligned forest-string pairs, we extract composed tree-to-string translation rules that account for multiple interpretations of both aligned and unaligned target function words. In order to constrain the exhaustive attachments of function words, we limit to bind them to the nearby syntactic chunks yielded by a target dependency parser. Therefore, the proposed approach can not only capture source-tree-to-target-chunk correspondences but can also use forest structures that compactly encode an exponential number of parse trees to properly generate target function words during decoding. Extensive experiments involving large-scale English-to-Japanese translation revealed a significant improvement of 1.8 points in BLEU score, as compared with a strong forest-to-string baseline system.

1 Introduction
Rule generalization remains a key challenge for current syntax-based statistical machine translation (SMT) systems. On the one hand, there is a tendency to integrate richer syntactic information into a translation rule in order to better express the translation phenomena. Thus, flat phrases (Koehn et al., 2003), hierarchical phrases (Chiang, 2005), and syntactic tree fragments (Galley et al., 2006; Mi and Huang, 2008; Wu et al., 2010) are gradually used in SMT. On the other hand, the use of syntactic phrases continues due to the requirement for phrase coverage in most syntax-based systems. For example, Mi et al. (2008) achieved a 3.1-point improvement in BLEU score (Papineni et al., 2002) by including bilingual syntactic phrases in their forest-based system. Compared with flat phrases, syntactic rules are good at capturing global reordering, which has been reported to be essential for translating between languages with substantial structural differences, such as English and Japanese, which is a subject-object-verb language (Xu et al., 2009).

Forest-based translation frameworks, which make use of packed parse forests on the source and/or target language side(s), are an increasingly promising approach to syntax-based SMT, being both algorithmically appealing (Mi et al., 2008) and empirically successful (Mi and Huang, 2008; Liu et al., 2009). However, forest-based translation systems, and, in general, most linguistically syntax-based SMT systems (Galley et al., 2004; Galley et al., 2006; Liu et al., 2006; Zhang et al., 2007; Mi et al., 2008; Liu et al., 2009; Chiang, 2010), are built upon word aligned parallel sentences and thus share a critical dependence on word alignments. For example, even a single spurious word alignment can invalidate a large number of otherwise extractable rules, and unaligned words can result in an exponentially large set of extractable rules for the interpretation of these unaligned words (Galley et al., 2006).

What makes word alignment so fragile? In order to investigate this problem, we manually analyzed the alignments of the first 100 parallel sentences in our English-Japanese training data (to be shown in Table 2). The alignments were generated by running GIZA++ (Och and Ney, 2003) and the grow-diag-final-and symmetrizing strategy (Koehn et al., 2007) on the training set. Of the 1,324 word alignment pairs, there were 309 error pairs, among
which there were 237 target function words, which account for 76.7% of the error pairs. This indicates that the alignments of the function words are more easily to be mistaken than content words. Moreover, we found that most Japanese function words tend to align to a few English words such as ‘of’ and ‘the’, which may appear anywhere in an English sentence. Following these problematic alignments, we are forced to make use of relatively large English tree fragments to construct translation rules that tend to be ill-formed and less generalized.

This is the motivation of the present approach of re-aligning the target function words to source tree fragments, so that the influence of incorrect alignments is reduced and the function words can be generated by tree fragments on the fly. However, the current dominant research only uses 1-best trees for syntactic realignment (Galley et al., 2006; May and Knight, 2007; Wang et al., 2010), which adversely affects the rule set quality due to parsing errors. Therefore, we realign target function words to a packed forest that compactly encodes exponentially many parses. Given aligned forest-string pairs, we extract composed tree-to-string translation rules that account for multiple interpretations of both aligned and unaligned target function words. In order to constrain the exhaustive attachments of function words, we further limit the function words to bind to their surrounding chunks yielded by a dependency parser. Using the composed rules of the present study in a baseline forest-to-string translation system results in a 1.8-point improvement in the BLEU score for large-scale English-to-Japanese translation.

2 Backgrounds

2.1 Japanese function words

In the present paper, we limit our discussion on Japanese particles and auxiliary verbs (Martin, 1975). Particles are suffixes or tokens in Japanese grammar that immediately follow modified content words or sentences. There are eight types of Japanese function words, which are classified depending on what function they serve: case markers, parallel markers, sentence ending particles, interjec-

tory particles, adverbiaL particles, binding particles, conjunctive particles, and phrasal particles.

Japanese grammar also uses auxiliary verbs to give further semantic or syntactic information about the preceding main or full verb. Alike English, the extra meaning provided by a Japanese auxiliary verb alters the basic meaning of the main verb so that the main verb has one or more of the following functions: passive voice, progressive aspect, perfect aspect, modality, dummy, or emphasis.

2.2 HPSG forests

Following our precious work (Wu et al., 2010), we use head-drive phrase structure grammar (HPSG) forests generated by Enju (Miyao and Tsujii, 2008), which is a state-of-the-art HPSG parser for English. HPSG (Pollard and Sag, 1994; Sag et al., 2003) is a lexicalist grammar framework. In HPSG, linguistic entities such as words and phrases are represented by a data structure called a sign. A sign gives a factored representation of the syntactic features of a word/phrase, as well as a representation of their semantic content. Phrases and words represented by signs are collected into larger phrases by the applications of schemata. The semantic representation of the new phrase is calculated at the same time. As such, an HPSG parse forest can be considered to be a forest of signs. Making use of these signs instead of part-of-speech (POS)/phrasal tags in PCFG results in a fine-grained rule set integrated with deep syntactic information.

For example, an aligned HPSG forest-string pair is shown in Figure 1. For simplicity, we only draw the identifiers for the signs of the nodes in the HPSG forest. Note that the identifiers that start with ‘c’ denote non-terminal nodes (e.g., c0, c1), and the identifiers that start with ‘t’ denote terminal nodes (e.g., t3, t1). In a complete HPSG forest given in (Wu et al., 2010), the terminal signs include features such as the POS tag, the tense, the auxiliary, the voice of a verb, etc.. The non-terminal signs include features such as the phrasal category, the name of the schema

\footnote{http://www-tsujii.is.s.u-tokyo.ac.jp/enju/index.html}

\footnote{The forest includes three parse trees rooted at c0, c1, and c2. In the 1-best tree, ‘by’ modifies the passive verb ‘verified’. Yet in the 2- and 3-best tree, ‘by’ modifies ‘this result was verified’. Furthermore, ‘verified’ is an adjective in the 2-best tree and a passive verb in the 3-best tree.}
applied in the node, etc..

3 Composed Rule Extraction

In this section, we first describe an algorithm that attaches function words to a packed forest guided by target chunk information. That is, given a triple \(<F_S, T, A>\), namely an aligned \((A)\) source forest \(F_S\) to target sentence \(T\) pair, we 1) tailor the alignment \(A\) by removing the alignments for target function words, 2) seek attachable nodes in the source forest \(F_S\) for each function word, and 3) construct a derivation forest by topologically traversing \(F_S\). Then, we identify minimal and composed rules from the derivation forest and estimate the probabilities of rules and scores of derivations using the expectation-maximization (EM) (Dempster et al., 1977) algorithm.

3.1 Definitions

In the proposed algorithm, we make use of the following definitions, which are similar to those described in (Galley et al., 2004; Mi and Huang, 2008):

- \(s(\cdot)\): the span of a (source) node \(v\) or a (target) chunk \(C\), which is an index set of the words that
v or C covers;
• t(v): the corresponding span of v, which is an
index set of aligned words on another side;
• c(v): the complement span of v, which is the
union of corresponding spans of nodes v' that
share an identical parse tree with v but are nei-
ther antecedents nor descendants of v;
• \( P_A \): the frontier set of \( F_S \), which contains
nodes that are consistent with an alignment A
(gray nodes in Figure 1), i.e., \( t(v) \neq \emptyset \) and
closure\((t(v)) \cap c(v) = \emptyset. \)

The function closure covers the gap(s) that may
appear in the interval parameter. For example,
closure\((t(c3)) = \text{closure}\((\{0-1, 4-7\}) = \{0-7\}. \)
Examples of the applications of these functions can
be found in Table 1. Following (Galley et al., 2006), we distinguish between minimal and com-
posed rules. The composed rules are generated by
combining a sequence of minimal rules.

3.2 Free attachment of target function words
3.2.1 Motivation

We explain the motivation for the present research
using an example that was extracted from our train-
ing data, as shown in Figure 1. In the alignment of
this example, three lines (in dot lines) are used to
align was and the with ga (subject particle), and was
with ta (past tense auxiliary verb). Under this align-
ment, we are forced to extract rules with relatively
large tree fragments. For example, by applying the
GHKM algorithm (Galley et al., 2004), a rule rooted
at c0 will take c7, t4, c4, c19, t2, and c15 as the
leaves. The final tree fragment, with a height of 7,
contains 13 nodes. In order to ensure that this rule
is used during decoding, we must generate subtrees
with a height of 7 for c0. Suppose that the input for-
est is binarized and that \(|E|\) is the average number
of hyperedges of each node, then we must generate
\( O(|E|^{2h-1}) \) subtrees \(^4\) for c0 in the worst case. Thus,

\(^4\)For one (binarized) hyperedge \( e \) of a node, suppose there
are \( x \) subtrees in the left tail node and \( y \) subtrees in the right tail
node. Then the number of subtrees guided by \( e \) is \( (x + 1) \times \)
\( (y + 1) \). Thus, the recursive formula is \( N_h = \frac{1}{|E|} (N_{h-1} + 1)^2, \)
where \( h \) is the height of the hypergraph and \( N_h \) is the number
of subtrees. When \( h = 1 \), we let \( N_1 = 0. \)

the existence of these rules prevents the generaliza-
tion ability of the final rule set that is extracted.

In order to address this problem, we tailor the
alignment by ignoring these three alignment pairs in
dot lines. For example, by ignoring the ambiguous
alignments on the Japanese function words, we en-
large the frontier set to include from 12 to 19 of the
24 non-terminal nodes. Consequently, the number
of extractable minimal rules increases from 12 (with
three reordering rules rooted at c0, c1, and c2) to
19 (with five reordering rules rooted at c0, c1, c2,
c5, and c17). With more nodes included in the fron-
tier set, we can extract more minimal and composed
monotonic/reordering rules and avoid extracting the
less generalized rules with extremely large tree frag-
ments.

3.2.2 Why chunking?

In the proposed algorithm, we use a target chunk
set to constrain the attachment explosion problem
because we use a packed parse forest instead of a 1-
best tree, as in the case of (Galley et al., 2006). Mul-
tiple interpretations of unaligned function words for
an aligned tree-string pair result in a derivation for-
est. Now, we have a packed parse forest in which
each tree corresponds to a derivation forest. Thus,
pruning free attachments of function words is prac-
tically important in order to extract composed rules
from this “(derivation) forest of (parse) forest”.

In the English-to-Japanese translation test case of
the present study, the target chunk set is yielded
by a state-of-the-art Japanese dependency parser,
Cabocha v0.53\(^5\) (Kudo and Matsumoto, 2002). The
output of Cabocha is a list of chunks. A chunk con-
tains roughly one content word (usually the head)
and affixed function words, such as case markers
(e.g., ga) and verbal morphemes (e.g., sa re ta,
which indicate past tense and passive voice). For
example, the Japanese sentence in Figure 1 is sepa-
rated into four chunks, and the dependencies among
these chunks are identified by arrows. These arrows
point out the head chunk that the current chunk mod-
ifies. Moreover, we also hope to gain a fine-grained
alignment among these syntactic chunks and source
tree fragments. Thereby, during decoding, we are
binding the generation of function words with the
generation of target chunks.

\(^5\)http://chasen.org/~taku/software/cabocha/
Algorithm 1 Aligning function words to the forest
Input: HPSG forest $F_S$, target sentence $T$, word alignment $A = \{(i, j)\}$, target function word set $\{f_w\}$ appeared in $T$, and target chunk set $\{C\}$
Output: a derivation forest $DF$

1: $A' \leftarrow A \setminus \{(i, s(f_w))\}$ if $f_w \in \{f_w\}$
2: for each node $v \in P_{A'}$ in topological order do
3: $T_v \leftarrow \emptyset$ to store the corresponding spans of $v$
4: for each function word $f_w \in \{f_w\}$ do
5: if $f_w \in C$ and $t(v) \cap (C) \neq \emptyset$ and $f_w$ are not attached to descendants of $v$ then
6: append $t(v) \cup \{s(f_w)\}$ to $T_v$
7: end if
8: end for
9: for each corresponding span $t(v) \in T_v$ do
10: $\mathcal{R} \leftarrow$ IDENTIFYMINRULES$(v, t(v), T)$ to range over the hyperedges of $v$, and discount the fractional count of each rule $r \in \mathcal{R}$ by $1/|T_v|$
11: create a node $n$ in $DF$ for each rule $r \in \mathcal{R}$
12: create a shared parent node $\oplus$ when $|\mathcal{R}| > 1$
13: end for
14: end for

3.2.3 The algorithm

Algorithm 1 outlines the proposed approach to constructing a derivation forest to include multiple interpretations of target function words. The derivation forest is a hypergraph as previously used in (Galley et al., 2006), to maintain the constraint that one unaligned target word be attached to some node $v$ exactly once in one derivation tree. Starting from a triple $(F_S, T, A)$, we first tailor the alignment $A$ to $A'$ by removing the alignments for target function words. Then, we traverse the nodes $v \in P_{A'}$ in topological order. During the traversal, a function word $f_w$ will be attached to $v$ if 1) $t(v)$ overlaps with the span of the chunk to which $f_w$ belongs, and 2) $f_w$ has not been attached to the descendants of $v$.

We identify translation rules that take $v$ as the root of their tree fragments. Each tree fragment is a frontier tree that takes a node in the frontier set $P_{A'}$ of $F_S$ as the root node and non-lexicalized frontier nodes or lexicalized non-frontier nodes as the leaves. Also, a minimal frontier tree used in a minimal rule is limited to be a frontier tree such that all nodes other than the root and leaves are non-frontier nodes. We use Algorithm 1 described in (Mi and Huang, 2008) to collect minimal frontier trees rooted at $v$ in $F_S$. That is, we range over each hyperedges headed at $v$ and continue to expand downward until the current set of hyperedges forms a minimal frontier tree.

In the derivation forest, we use $\oplus$ nodes to manage minimal/composed rules that share the same node and the same corresponding span. Figure 2 shows some minimal rule and $\oplus$ nodes derived from the example in Figure 1.

Even though we bind function words to their nearby chunks, these function words may still be attached to relative large tree fragments, so that richer syntactic information can be used to predict the function words. For example, in Figure 2, the tree fragments rooted at node $c_0^{0-8}$ can predict $ga$ and/or $ta$. The syntactic foundation behind is that, whether to use $ga$ as a subject particle or to use $wo$ as an object particle depends on both the left-hand-side noun phrase (kekka) and the right-hand-side verb (kensyou sa re ta). This type of node $v'$ (such as $c_0^{0-8}$) should satisfy the following two heuristic conditions:

- $v'$ is included in the frontier set $P_{A'}$ of $F_S$, and
- $t(v')$ covers the function word, or $v'$ is the root node of $F_S$ if the function word is the beginning or ending word in the target sentence $T$.

Starting from this derivation forest with minimal

| node | $s(\cdot)$ | $t(\cdot)$ | $c(\cdot)$ | consistent |
|------|-----------|-----------|-----------|------------|
| c0   | 0-6       | 0-8(3-5-7)| $\emptyset$| 1          |
| c1   | 0-6       | 0-8(3-5-7)| $\emptyset$| 1          |
| c2   | 0-6       | 0-8(3-5-7)| $\emptyset$| 1          |
| c3   | 3-6       | 1-4,7(0-1,5-7)| 2,8 | 0          |
| c4   | 3         | 5-7       | 0,8(0-3) | 1          |
| c5*  | 4-6       | 0,4(0-1) | 2-8(3-5-7) | 0(1)       |
| c6*  | 0-3       | 2-8(2-3-5-7)| 0,4(0-1) | 0(1)       |
| c7   | 0-1       | 2-3       | 0,1-4,8(1-5-7)| 1          |
| c8*  | 2-3       | 4-8(5-7) | 0,4(0-3) | 0(1)       |
| c9   | 0         | 0         | 1-3,8(0-1,3-5-7)| 1          |
| c10  | 1         | 3         | 0,2-4,8(0-5-7)| 1          |
| c11  | 2-6       | 0,1-4,8(0-1,5-7)| 2-3 | 0          |
| c12  | 3         | 5-7       | 0,8(0-3) | 1          |
| c13* | 5-6       | 0,4(0)   | 1-8(3-5-7) | 0(1)       |
| c14  | 5         | 4(\emptyset)| 0,8(0-3-5-7)| 0          |
| c15  | 6         | 0         | 1-8(3-5-7) | 1          |
| c16  | 2         | 4,8(\emptyset)| 0,7(0-3-5-7)| 0          |
| c17* | 4-6       | 0,4(0-1) | 2-8(2-3-5-7) | 0(1)       |
| c18  | 4         | 1         | 0,2-8(0-2-3-5-7)| 1          |
| c19  | 4         | 1         | 0,2-8(0-2-3-5-7)| 1          |
| c20* | 0-3       | 2-8(2-3-5-7)| 0,4(0-1) | 0(1)       |
| c21  | 3         | 5-7       | 0,8(0-3) | 1          |
| c22  | 2         | 4,8(\emptyset)| 0,7(0-3-5-7)| 0          |
| c23* | 2-3       | 4-8(5-7) | 0,4(0-3) | 0(1)       |

Table 1: Change of node attributes after alignment modification from $A$ to $A'$ of the example in Figure 1. Nodes with * superscripts are consistent with $A'$ but not consistent with $A$. 


rules as nodes, we can further combine two or more minimal rules to form composed rules nodes and can append these nodes to the derivation forest.

3.3 Estimating rule probabilities

We use the EM algorithm to jointly estimate 1) the translation probabilities and fractional counts of rules and 2) the scores of derivations in the derivation forests. As reported in (May and Knight, 2007), EM, as has been used in (Galley et al., 2006) to estimate rule probabilities in derivation forests, is an iterative procedure and prefers shorter derivations containing large rules over longer derivations containing small rules. In order to overcome this bias problem, we discount the fractional count of a rule by the product of the probabilities of parse hyperedges that are included in the tree fragment of the rule.

4 Experiments

4.1 Setup

We implemented the forest-to-string decoder described in (Mi et al., 2008) that makes use of forest-based translation rules (Mi and Huang, 2008) as the baseline system for translating English HPSG forests into Japanese sentences. We analyzed the performance of the proposed translation rule sets by using the same decoder.

The JST Japanese-English paper abstract corpus (Utiyama and Isahara, 2007), which consists of one million parallel sentences, was used for training, tuning, and testing. Table 2 shows the statistics of this corpus. Note that Japanese function words occupy more than a quarter of the Japanese words. Making use of Enju 2.3.1, we generated 987,401 1-best trees and 984,731 parse forests for the English sentences in the training set, with successful parse rates of 99.3% and 99.1%, respectively. Using the pruning criteria expressed in (Mi and Huang, 2008), we continue to prune a parse forest by setting \( p_e \) to be 8, 5, and 2, until there are no more than \( e^{10} = 22,026 \) trees in a forest. After pruning, there are an average of 82.3 trees in a parse forest.

|                | Train | Dev. | Test |
|----------------|-------|------|------|
| # sentence pairs | 994K  | 2K   | 2K   |
| # En 1-best trees | 987,401 | 1,982 | 1,984 |
| # En forests      | 984,731 | 1,979 | 1,983 |
| # En words        | 24.7M  | 50.3K | 49.9K |
| # Jp words        | 28.2M  | 57.4K | 57.1K |
| # Jp function words | 8.0M   | 16.1K | 16.1K |

Table 2: Statistics of the JST corpus. Here, En = English and Jp = Japanese.

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6http://www.jst.go.jp
### Table 3: Statistics and translation results for four types of tree-to-string rules. With the exception of ‘# nodes/tree’, the numbers in the table are in millions and the time is in hours. Here, fw denotes function word, and DT denotes the decoding time, and the BLEU scores were computed on the test set.

|                | C3-T | M&H-F | Min-F | C3-F |
|----------------|------|-------|-------|------|
| tree           | Y    | N     | Y     | Y    |
| alignment      | A′   | A     | A′    | A′   |
| English side   | tree | forest| forest| forest|
| # rule         | 86.30| 96.52 | 144.91| 228.59|
| # reorder rule | 58.50| 91.36 | 92.98 | 162.71|
| # tree types   | 21.62| 93.55 | 72.98 | 120.08|
| # nodes/tree   | 14.2 | 42.1  | 26.3  | 18.6 |
| extract time   | 30.2 | 52.2  | 58.6  | 130.7|
| EM time        | 9.4  | -     | 11.2  | 29.0 |
| # rules in dev.| 0.77 | 1.23  | 1.37  | 2.18 |
| # rules in test| 0.77 | 1.23  | 1.37  | 2.15 |
| DT (sec./sent.)| 2.8  | 15.7  | 22.4  | 35.4 |
| BLEU (%)       | 26.15| 27.07 | 27.93 | 28.89|

Figure 3: Distributions of the number of tree nodes in the translation rule sets. Note that the curves of Min-F and C3-F are duplicated when the number of tree nodes being larger than 9.

- **M&H-F**: a minimal rule set extracted from HPSG forests using the extracting algorithm of (Mi and Huang, 2008). Here, we make use of the original alignments. We use the two heuristic conditions described in Section 3.2.3 to attach unaligned words to some node(s) in the forest;
- **Min-F**: a minimal rule set extracted from the derivation forests of HPSG forests that were constructed using Algorithm 1 (Section 3).
- **C3-F**: a composed rule set extracted from the derivation forests of HPSG forests. Similar to C3-T, the maximum number of internal nodes during combination is three.

We performed GIZA++ (Och and Ney, 2003) and the grow-diag-final-and symmetrizing strategy (Koehn et al., 2007) on the training set to obtain alignments. The SRI Language Modeling Toolkit (Stolcke, 2002) was employed to train a five-gram Japanese LM on the training set. We evaluated the translation quality using the BLEU-4 metric (Papineni et al., 2002).

Joshua v1.3 (Li et al., 2009), which is a freely available decoder for hierarchical phrase-based SMT (Chiang, 2005), is used as an external baseline system for comparison. We extracted 4.5M translation rules from the training set for the 4K English sentences in the development and test sets. We used the default configuration of Joshua, with the exception of the maximum number of items/rules, and the value of \( k \) (of the \( k \)-best outputs) is set to be 200.

### 4.2 Results

Table 3 lists the statistics of the following translation rule sets:

- **C3-T**: a composed rule set extracted from the derivation forests of 1-best HPSG trees that were constructed using the approach described in (Galley et al., 2006). The maximum number of internal nodes is set to be three when generating a composed rule. We free attach target function words to derivation forests;
- **M&H-F**: a minimal rule set extracted from HPSG forests using the extracting algorithm of (Mi and Huang, 2008). Here, we make use of the original alignments. We use the two heuristic conditions described in Section 3.2.3 to attach unaligned words to some node(s) in the forest;
- **Min-F**: a minimal rule set extracted from the derivation forests of HPSG forests that were constructed using Algorithm 1 (Section 3).
- **C3-F**: a composed rule set extracted from the derivation forests of HPSG forests. Similar to C3-T, the maximum number of internal nodes during combination is three.

We investigate the generalization ability of these rule sets through the following aspects:

1. the number of rules, the number of reordering rules, and the distributions of the number of tree nodes (Figure 3), i.e., more rules with relatively small tree fragments are preferred;
2. the number of rules that are applicable to the development and test sets (Table 3); and
3. the final translation accuracies.

Table 3 and Figure 3 reflect that the generalization abilities of these four rule sets increase in the order of C3-T < M&H-F < Min-F < C3-F. The advantage of using a packed forest for re-alignment is verified by comparing the statistics of the rules and
the final BLEU scores of C3-T with Min-F and C3-F. Using the composed rule set C3-F in our forest-based decoder, we achieved an optimal BLEU score of 28.89 (%). Taking M&H-F as the baseline translation rule set, we achieved a significant improvement \( (p < 0.01) \) of 1.81 points.

In terms of decoding time, even though we used Algorithm 3 described in (Huang and Chiang, 2005), which lazily generated the N-best translation candidates, the decoding time tended to be increased because more rules were available during cube-pruning. Figure 4 shows a comparison of decoding time (seconds per sentence) and the number of rules used for translating the test set. Easy to observe that, decoding time increases in a nearly linear way following the increase of the number of rules used during decoding.

Finally, compared with Joshua, which achieved a BLEU score of 24.79 (%) on the test set with a decoding speed of 8.8 seconds per sentence, our forest-based decoder achieved a significantly better \( (p < 0.01) \) BLEU score by using either of the four types of translation rules.

6 Conclusion

We have proposed an effective use of target function words for extracting generalized transducer rules for forest-based translation. We extend the unaligned word approach described in (Galley et al., 2006) from the 1-best tree to the packed parse forest. A simple yet effective modification is that, during rule extraction, we account for multiple interpretations of both aligned and unaligned target function words. That is, we chose to lose the ambiguous alignments for all of the target function words. The consideration behind is in order to generate target function words in a robust manner. In order to avoid generating too large a derivation forest for a packed forest, we further used chunk-level information yielded by a target dependency parser. Extensive experiments on large-scale English-to-Japanese translation resulted in a significant improvement in BLEU score of 1.8 points \( (p < 0.01) \), as compared with our implementation of a strong forest-to-string baseline system (Mi et al., 2008; Mi and Huang, 2008).

The present work only re-aligns target function words to source tree fragments. It will be valuable to investigate the feasibility to re-align all the target words to source tree fragments. Also, it is interesting to automatically learn a word set for re-aligning\(^7\). Given source parse forests and a target word set for re-aligning beforehand, we argue our approach is generic and applicable to any language pairs. Finally, we intend to extend the proposed approach to tree-to-tree translation frameworks by

\(^{7}\)This idea comes from one reviewer, we express our thankfulness here.
re-aligning subtree pairs (Liu et al., 2009; Chiang, 2010) and consistency-to-dependency frameworks by re-aligning consistency-tree-to-dependency-tree pairs (Mi and Liu, 2010) in order to tackle the rule-sparseness problem.

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