Renormalization group flow in scalar-tensor theories: II

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Abstract
We study the UV behaviour of actions including integer powers of scalar curvature and even powers of scalar fields with functional renormalization group techniques. We find UV fixed points where the gravitational couplings have nontrivial values while the matter ones are Gaussian. We prove several properties of the linearized flow at such a fixed point in arbitrary dimensions in the one-loop approximation and find recursive relations among the critical exponents. We illustrate these results in explicit calculations in $d = 4$ for actions including up to four powers of scalar curvature and two powers of the scalar field. In this setting we note that the same recursive properties among the critical exponents, which were proven at one-loop order, still hold, in such a way that the UV critical surface is found to be five dimensional. We then search for the same type of fixed point in a scalar theory with minimal coupling to gravity in $d = 4$ including up to eight powers of scalar curvature. Assuming that the recursive properties of the critical exponents still hold, one would conclude that the UV critical surface of these theories is five dimensional.

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1. Introduction

In [1] scalar-tensor theories were studied where the purely gravitational part was given by the Einstein–Hilbert action. Here we generalize those results by including higher curvature terms. The main aim of our analysis is to understand whether gravity remains asymptotically safe [2, 3] under the inclusion of some matter component. Results about the renormalizability of gravity can depend crucially on the inclusion of matter. Already in the first one-loop calculations [4, 5] it was shown that pure gravity is one-loop renormalizable but becomes one-loop nonrenormalizable in the presence of matter. In the context of the search for asymptotic safety, it was shown in [7] that the position and even the existence of a nontrivial gravitational
fixed point in the Einstein–Hilbert truncation is affected by the presence of minimally coupled matter fields. In [6] we showed that this is also true when higher derivative gravitational terms are present. In [1, 8, 9] the effect of gravity on scalar interactions was studied, assuming the Einstein–Hilbert action for the gravitational field. In [1, 8] it was shown that a nontrivial fixed point exists, where the purely gravitational couplings are finite while those involving the scalar field vanish. This is called a ‘Gaussian matter fixed point’ (GMFP). In the present paper we extend these results by considering an interacting scalar field coupled to a class of higher derivative gravity theories which have been studied previously in [6, 10]. We ask whether the scalar matter contribution is able to alter the results of the purely gravitational part considerably. In [6] the addition of minimally coupled matter components to $R^2$-gravity (including all possible curvature invariants up to quadratic order) showed that the nontrivial fixed point structure is maintained in that case. We will see that this is largely the case here too, but since we now consider interacting scalars we will find that the dimension of the critical surface increases.

There are clearly many possible applications in cosmology. Early work in this direction has been done in [11], using the beta functions of pure gravity. Taking scalar fields into account could have significant renormalization group running effects in inflation. Without the necessity of asymptotic safety, in effective field theory calculations the beta functions derived here could be useful, e.g. for inflation [12], or in models where the Higgs field is used as the inflaton field [13], or where an additional scalar singlet acts as inflaton and scalar dark matter [14]. Applications in the IR are possible, for example along the lines of [15] or the much discussed modified theories of gravity with some action based on different functional forms of the Ricci scalar (see e.g. [16]). We mention that the appearance of a scalar field in the low-energy description of gravity has also been stressed in [17]. For a FRGE-based approach to that issue see also [18].

As in [1] the analysis is based on a type of Wilsonian action $\Gamma_k$ called the ‘effective average action’ depending on an external energy scale $k$ which can be formally defined by introducing an IR suppression in the functional integral for the modes with momenta lower than $k$. This amounts to modifying the propagator, leaving the interactions untouched. Then one can obtain a functional renormalization group equation (FRGE) [19] for the dependence of $\Gamma_k$ on $k$,

$$\partial_t \Gamma_k = \frac{1}{2} \text{STr} \left[ \left( \frac{\partial^2 \Gamma_k}{\delta \Phi \delta \Phi} + R_k \right)^{-1} \right]$$

(1)

where $t = \log(k/k_0)$. $\Phi$ are all the fields present in the theory. STr is a generalized functional trace including a minus sign for fermionic variables and a factor 2 for complex variables. $R_k$ is the regulator that suppresses the contribution to the trace of fluctuations with momenta below $k$. As the effective average action contains information about all the couplings in the theory, the FRGE contains all the beta functions of the theory. In certain approximations one can use this equation to reproduce the one-loop beta functions, but in principle the information one can extract from it is nonperturbative, in the sense that it does not depend on the couplings being small.

A quantum field theory (QFT) is asymptotically safe if there exists a finite-dimensional space of action functionals (called the ultraviolet critical surface) which in the continuum limit are attracted towards a fixed point (FP) of the renormalization group (RG) flow. For example, a free theory has vanishing beta functions, so it has a FP called the Gaussian FP. Perturbation theory describes a neighbourhood of this point. In a perturbatively renormalizable and asymptotically free QFT such as QCD, the UV critical surface is parameterized by the couplings that have positive or zero mass dimension. Such couplings are called
'renormalizable' or 'relevant'. Asymptotic safety is a generalization of this behaviour outside the perturbative domain. That means that the couplings could become strong. The FRGE allows us to carry out calculations in that regime too.

Whether gravity is indeed asymptotically safe cannot yet be fully answered. However, since the formulation of the FRGE by Wetterich [19], many results support this possibility in various approximations [18, 20–25]; for reviews see [26]. Since the first application to gravity, the necessary tools have been developed to make the approximation schemes more reliable including more couplings and studying their UV behaviour. In the approximations so far used, gravity has a nontrivial fixed point with a finite-dimensional UV critical surface as is consistent with the requirements of asymptotic safety.

The most common approximation method is to expand the average effective action in derivatives and to truncate the expansion at some order. In the case of scalar theory the lowest order of this expansion is the local potential approximation (LPA), where one retains a standard kinetic term plus a generic potential. In the case of pure gravity, the derivative expansion involves operators that are powers of curvatures and derivatives thereof. This has been studied systematically up to terms with four derivatives in [22–25] and for a limited class of operators (namely powers of the scalar curvature) up to 16 derivatives of the metric [6, 10]. In the case of scalar-tensor theories of gravity, one will have to expand both in derivatives of the metric and of the scalar field.

In this paper we will study the generalization of the action considered in [1, 7, 8] and [10] of the form

\[
\Gamma_{\chi}[g, \phi] = \int d^d x \sqrt{g} \left\{ F(\phi^2, R) + \frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi \right\} + S_{gf} + S_{gh},
\]

where \( S_{gf} \) is a gauge fixing term to be specified below and \( S_{gh} \) is the corresponding ghost action. This action can be seen as a generalization of the LPA where also terms with two or more derivatives of the metric are included.

This paper is organized as follows. In section 2 we give the inverse propagators resulting from the action (2) which have to be inserted into the FRGE to obtain the beta functions. In section 3 we describe the general properties of the GMFP. It is divided into two subsections. In subsection 3.1 we show that minimal couplings are self-consistent in the sense that when matter couplings are switched off, then their beta functions also vanish. In subsection 3.2, we analyse the linearized RG flow around the GMFP. We find that the stability matrix has block diagonal form which allows us to calculate its eigenvalues and eigenvectors in a recursive way. In subsection 4.1 we illustrate the existence of the GMFP and the properties of the RG flow near the GMFP in specific truncations where scalar matter fields are coupled nonminimally to gravity, including operators with up to four powers of scalar curvature and quadratic in the scalar matter field. In subsection 4.2 we consider minimally coupled scalar-tensor theory including operators up to eight powers of scalar curvature and determine the dimensionality of the UV critical surface. We conclude in section 5.

2. The FRGE for \( F(\phi^2, R) \)

2.1. Second variations

Starting from the action given in equation (2), we expand \( F(\phi^2, R) \) in polynomial form in \( \phi^2 \) and \( R \) as
\[ F(\phi^2, R) = V_0(\phi^2) + V_1(\phi^2) R + V_2(\phi^2) R^2 + V_3(\phi^2) R^3 + \cdots + V_p(\phi^2) R^p \]

\[ = \sum_{a=0}^{p} V_a(\phi^2) R^a. \tag{3} \]

In order to evaluate the rhs of equation (1) we calculate the second functional derivatives of the functional given in equation (2). These can be obtained by expanding the action to second order in the quantum fields around classical backgrounds \( g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu} \) and \( \phi = \bar{\phi} + \delta \phi \), where \( \delta \phi \) is constant. The gauge fixing action quadratic in \( h_{\mu\nu} \) is chosen to be

\[ S_{GF} = \frac{1}{2} \int d^d x \sqrt{\bar{g}} \chi_{\mu} G^{\mu\nu} \chi_{\nu}, \tag{4} \]

where \( \chi_{\nu} = \tilde{\chi}_{\nu} h_{\mu\nu} = \frac{\mathrm{i} \bar{\chi}_{\nu} h_{\mu\nu}}{2} \), \( G_{\mu\nu} = \bar{g}_{\mu\nu} (\alpha + \beta \Box) \); \( \alpha, \beta \) and \( \rho \) are the gauge parameters, and we denote \( \Box = \nabla_\mu \nabla_\mu \).

The gauge fixing action (4) gives rise to a ghost action consisting of two parts: \( S_{gh} = S_c + S_b \). The first part \( S_c \) arises from the usual Fadeev–Popov procedure leading to the complex ghost fields \( C_{\mu} \) and \( \bar{C}_{\mu} \). It is given by

\[ S_c = \int d^d x \sqrt{\bar{g}} \bar{C}^\mu (\alpha + \beta \Box) \left[ \delta_{\mu}^\alpha \Box + \bar{R}_{\mu} + \frac{d - 2}{d} - 2 \frac{\rho}{\bar{g}_{\mu\nu}} \nabla_\mu \nabla_\nu \right] C_{\nu}. \tag{5} \]

The second part \( S_b \) arises for \( \beta \neq 0 \) and comes from the exponentiation of a nontrivial determinant which requires the introduction of real anti-commuting fields \( b_{\mu} \) which are usually referred to as the third ghost fields [27]:

\[ S_b = \frac{1}{2} \int d^d x \sqrt{\bar{g}} b_{\mu} G^{\mu\nu} b_{\nu}. \tag{6} \]

These terms are already quadratic in the quantum fields. Then the second variation of equation (2) is given by

\[ \Gamma_2^{(2)} = \frac{1}{2} \int d^d x \sqrt{\bar{g}} \left[ F(\phi^2, R) \left\{ \frac{1}{4} h^2 - \frac{1}{2} h_{\mu\nu} h^{\mu\nu} \right\} \right. \]

\[ \left. + \frac{\partial F(\phi^2, R)}{\partial R} \left\{ - h h^{\mu\nu} R_{\mu\nu} - \frac{1}{2} h \Box h + \frac{1}{2} h^{\mu\nu} \Box h_{\mu\nu} + h^{\mu\nu} h_{a\beta} R^a_{\mu\nu} \right. \right. \]

\[ \left. + h_{\mu\nu} R^{a\nu\lambda} h_{a\rho} - h^{\mu\nu} \nabla^\rho \nabla_\nu h_{\mu\nu} + h \nabla^\mu \nabla_\mu h_{\nu\nu} \right] \]

\[ + \frac{\Box^2 F(\phi^2, R)}{\partial R^2} \left\{ h^{\mu\nu} R_{\mu\nu} \cdot h^{a\rho} R_{\mu\nu} - 2 h^{\mu\nu} R_{\mu\nu} \cdot \nabla^\rho \nabla_\rho h_{\mu\nu} \right. \]

\[ \left. + 2 h^{\mu\nu} R_{\mu\nu} \Box h + \nabla^\nu \nabla_\rho h_{a\rho} \cdot \nabla^\nu \nabla_\nu h_{\mu\nu} - 2 h \cdot \nabla^\nu \nabla_\nu h_{\mu\nu} - h \cdot \Box h \right\} \]

\[ + \frac{1}{2} \int d^d x \sqrt{\bar{g}} \left[ h \cdot \phi \frac{\partial F(\phi^2, R)}{\partial \phi} \delta \phi + 2 h \frac{\partial F(\phi^2, R)}{\partial \phi^2} \delta \phi \right] \left( \nabla^\nu \nabla_\nu h_{\mu\nu} - \Box h - h^{\mu\nu} R_{\mu\nu} \right) \]

\[ + \frac{1}{2} \int d^d x \sqrt{\bar{g}} \left[ - \delta \phi + 2 \frac{\partial F(\phi^2, R)}{\partial \phi} R + 4 \phi \frac{\partial^2 F(\phi^2, R)}{\partial (\phi^2)^2} \left( \nabla^\mu \nabla_\mu h_{\nu\nu} - 2 \Box h \right) \right] \delta \phi + S_{GF} + S_{gh}, \tag{7} \]

where \( \Box = \nabla^\nu \nabla_\nu \) and \( h = h_{\mu\nu}^{\mu\nu} \). Since we will never have to deal with the original metric \( g_{\mu\nu} \) and scalar field \( \phi \), in order to simplify the notation, in the preceding formula and everywhere else, from now on we will remove the bars from the backgrounds. As explained in [20], the functional that obeys the FRGE (1) has a separate dependence on the background field \( \bar{g}_{\mu\nu} \) and on a ‘classical field’ \( (g_{\mu\nu})_0 = \bar{g}_{\mu\nu} + (h_{cl})_{\mu\nu} \), where \( (h_{cl})_{\mu\nu} \) is the Legendre conjugate of the sources coupling linearly to \( (h_{cl})_{\mu\nu} \). The same applies to the scalar field. In this paper, like in
most of the literature on the subject, we will restrict ourselves to the case when \( (g_{cl})_{\mu\nu} = \bar{g}_{\mu\nu} \)
and \( \phi_{cl} = \bar{\phi} \). From now on the notations \( g_{\mu\nu} \) and \( \phi \) will be used to denote equivalently the ‘classical fields’ or the background fields.

### 2.2. Decomposition

In order to simplify the terms and partially diagonalize the kinetic operator, we perform a decomposition of \( h_{\mu\nu} \) into tensor, vector, and scalar parts as in [6, 10],

\[
h_{\mu\nu} = h_{\mu\nu}^T + \nabla_{[\mu} \xi_{\nu]} + \nabla_{[\mu} \eta_{\nu]} + \nabla_{[\mu} \nabla_{\nu]} \phi - \frac{1}{d} g_{\mu\nu} \Box \phi + \frac{1}{d} g_{\mu\nu} h,
\]

where \( h_{\mu\nu}^T \) is the (spin 2) transverse and traceless part, \( \xi_{\mu} \) is the (spin 1) transverse vector component, \( \sigma \) and \( h \) are (spin 0) scalars. This decomposition allows an exact inversion of the second variation under the restriction to a spherical background. With that in mind, we work on a \( d \)-dimensional sphere. For the spin-2 part, the inverse propagator is

\[
\frac{\delta^2 \Gamma_k}{\delta h_{\mu\nu}^T \delta h_{\rho\sigma}^T} = \left( \begin{array}{c}
\frac{1}{2} \partial \frac{F(\phi^2, R)}{\partial R} \\
\frac{2}{d} + \frac{2(d - 2)}{d(d - 1)} R - \frac{1}{2} F(\phi^2, R)
\end{array} \right) \delta_{\mu\rho, \nu\sigma},
\]

where \( \delta_{\mu\rho, \nu\sigma} = \frac{1}{2} (g^{\mu\rho} g^\sigma - g^{\mu\sigma} g^\rho) \). For the spin-1 part it is

\[
\frac{\delta^2 \Gamma_k}{\delta \xi_{\mu} \delta \xi_{\nu}} = \left( \begin{array}{c}
\frac{1}{2} \partial \frac{F(\phi^2, R)}{\partial R} \\
\frac{2}{d} + \frac{2(d - 2)}{d(d - 1)} R - \frac{1}{2} F(\phi^2, R)
\end{array} \right) \delta_{\mu\nu}.
\]

The two spin-0 components of the metric, \( \sigma \) and \( h \), mix with \( \delta \phi \) resulting in an inverse propagator given by a symmetric \( 3 \times 3 \) matrix \( S \) with the entries

\[
S_{\sigma \sigma} = \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \left\{ 1 - \frac{1}{d} \right\} \left( - \Box - \frac{R}{d - 1} \right) \left\{ \alpha + \beta \left( \Box + \frac{R}{d} \right) \right\}
\]

\[
- \frac{1}{2} F(\phi^2, R) - \left( \frac{2 - d}{2d} \right) \left( - \Box - \frac{2R}{2 - d} \right) \frac{\partial F(\phi^2, R)}{\partial R}
\]

\[
+ \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \frac{\partial^2 F(\phi^2, R)}{\partial R^2}.
\]

\[
S_{\sigma h} = S_{h \sigma} = \frac{1}{2} \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \left\{ 2 \frac{\rho}{d} \left\{ \alpha + \beta \left( \Box + \frac{R}{d} \right) \right\} \right.
\]

\[
+ \left( 1 - \frac{2}{d} \right) \frac{\partial F(\phi^2, R)}{\partial R} + 2 \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \frac{\partial^2 F(\phi^2, R)}{\partial R^2}.
\]

\[
S_{\sigma \phi} = S_{\phi \sigma} = 2 \phi \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \frac{\partial^2 F(\phi^2, R)}{\partial R \partial \phi^2}.
\]

\[
S_{\phi h} = \left[ - \Box \left\{ \alpha + \beta \left( \Box + \frac{R}{d} \right) \right\} \left( \frac{\rho}{d} \right)^2 + \frac{d}{4} - \frac{2}{4d} F(\phi^2, R) + \left( 1 - \frac{1}{d} \right) \left( \frac{1}{2} - \frac{1}{d} \right) \right.
\]

\[
\times \left( - \Box - \frac{2R}{d - 1} \right) \frac{\partial F(\phi^2, R)}{\partial R} + \left( 1 - \frac{1}{d} \right) \left( - \Box - \frac{R}{d - 1} \right) \frac{\partial^2 F(\phi^2, R)}{\partial R^2}.\]


\[ S_{\phi\phi} = S_{\phi h} = \phi \frac{\partial F(\phi^2, R)}{\partial \phi^2} + 2\phi \left( 1 - \frac{1}{d} \right) \left( -\Box - \frac{R}{d-1} \right) \frac{\partial^2 F(\phi^2, R)}{\partial R \partial \phi^2}, \]
\[ S_{\phi h} = -\Box + 2\phi \frac{\partial F(\phi^2, R)}{\partial \phi^2} + 4\phi^2 \frac{\partial^2 F(\phi^2, R)}{\partial (\phi^2)^2}. \] (11)

As discussed in more detail in [6], to match the trace spectra of the Laplace operator acting on \( h_{\mu\nu} \) with those obtained for the constrained fields after the decomposition, the first eigenmode of the operator trace over the vector contribution and the first two eigenmodes of the operator trace over the \( \sigma \) contribution have to be omitted. The trace over the \( h \) and \( \delta \phi \) components should be taken over the whole operator spectrum instead. To handle the mixing of the scalar components in an easy way, we first subtract the first two eigenmodes from the complete scalar contribution from the matrix \( S \) and then add the first two trace modes which should have been retained for \( h \) and \( \delta \phi \). This requires to take into account a further scalar matrix \( B \) formed by the components of \( h, \phi \) and their mixing term. It is given by

\[ B = \begin{pmatrix} S_{hh} & S_{h\phi} \\ S_{h\phi} & S_{\phi\phi} \end{pmatrix}. \] (12)

whose trace contribution to the FRGE will be calculated on the first two eigenmodes of the spectrum of the Laplacian.

Again, in order to diagonalize the kinetic operators occurring in the ghost actions (equations (5) and (6)), we perform a decomposition of the ghost fields \( C_\mu, \bar{C}_\mu, \) and \( b_\mu \) into transverse and longitudinal parts:

\[ \bar{C}_\mu = \bar{C}_\mu^T + \nabla_\mu \bar{C}, \quad C_\mu = C_\mu^T + \nabla_\mu C, \quad b_\mu = b_\mu^T + \nabla_\mu b, \] (13)

with \( \nabla_\mu \bar{C}_\mu^T = 0, \nabla^\mu C_\mu^T = 0 \) and \( \nabla^\mu b_\mu^T = 0 \).

After this decomposition, the inverse propagators for the vector and scalar components of the ghost and third ghost fields are

\[ \frac{\delta^2 \Gamma_k}{\delta \bar{C}_\mu \delta C_\nu} = (\alpha + \beta \Box) \left( \Box + \frac{R}{d} \right) g^{\mu\nu}, \]
(14)

\[ \frac{\delta^2 \Gamma_k}{\delta \bar{b}_\mu \delta b_\nu} = (\alpha + \beta \Box) g^{\mu\nu}. \]
(16)

\[ \frac{\delta^2 \Gamma_k}{\delta b_\mu \delta \bar{b}_\nu} = -\Box \left[ \alpha + \beta \left( \Box + \frac{R}{d} \right) \right]. \] (17)

2.3. Contributions by Jacobians

The decomposition of \( h_{\mu\nu}, \bar{C}_\mu, C_\mu \) and \( b_\mu \) gives rise to nontrivial Jacobians in the path integral, given by

\[ J_\xi = \left[ \det \left( -\Box - \frac{R}{d} \right) \right]^{1/2}, \quad J_\sigma = \left[ \det'' \left( \Box \left( \Box + \frac{R}{d-1} \right) \right) \right]^{1/2}, \]
\[ J_c = [\det'(-\Box)]^{-1}, \quad J_b = [\det'(-\Box)]^{-1}. \] (18)
These Jacobians can be absorbed by field redefinitions which however introduce terms which involve noninteger powers of the Laplacian. To avoid technical difficulties, we therefore prefer to exponentiate these Jacobians by the introduction of auxiliary anti-commuting and commuting fields according to the sign of the exponent of the determinant, see also [6, 10]. One has to take their contribution into account while writing the FRGE.

3. The Gaussian matter fixed point

The running of \( V_a(\phi^2) \) is calculated from the FRGE as

\[
(\partial_t V_a)[\phi^2] = \frac{1}{\text{Vol}} \frac{1}{a!} \partial^a (\partial_t \Gamma_k)[\phi^2, R] \partial^a R \tag{19}
\]

where \( (\partial_t \Gamma_k)[\phi^2, R] \) is obtained for various fields in an analogous way as in [6, 10]. Rescaling all fields with respect to the cutoff scale \( k \), we obtain the dimensionless quantities \( \tilde{\phi} = k^{\frac{d-2}{2}} \phi \), \( \tilde{R} = k^{-2} R \) and \( \tilde{V}_a(\tilde{\phi}^2) = k^{-(d-2a)} V_a(\phi^2) \). We can use these dimensionless quantities to analyse the RG flow and its FP structure. From the running of \( V_a(\phi^2) \) one can calculate the running of \( \tilde{V}_a(\tilde{\phi}^2) \) using

\[
(\partial_t \tilde{V}_a)[\tilde{\phi}^2] = -(d-2a) \tilde{V}_a(\tilde{\phi}^2) + (d-2) \tilde{\phi}^2 \tilde{V}_a'(\tilde{\phi}^2) + k^{-(d-2a)} (\partial_t V_a)[\phi^2] \tag{20}
\]

where the last term is calculated using equation (19). A FP is a solution of the infinite set of functional equations

\[
(\partial_t \tilde{V}_a)[\tilde{\phi}^2] = 0 \text{ for } a = 0, \ldots, \infty
\]

for \( i = 1, \ldots, \infty \), where the superscript \( i \) denotes the \( i \)th derivative with respect to \( \tilde{\phi}^2 \).

3.1. Minimal matter coupling of gravity at the GMFP

The existence of a Gaussian matter fixed point (GMFP), where all the matter couplings approach zero for \( k \to \infty \) and only the purely gravitational couplings have nontrivial values, was observed for finite polynomial truncations in [8]. In [1], its existence was proven for effective average actions of the form

\[
\Gamma_k[g, \phi] = \int d^d x \sqrt{g} \left( V_0(\phi^2) + V_1(\phi^2) R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right) + S_{\text{GF}} + S_{gh} \tag{22}
\]

The existence of a GMFP can be shown to hold for the more general class of effective average actions considered in this paper. By definition, a GMFP is a point where \( \tilde{V}_a \) are \( \tilde{\phi}^2 \)-independent, i.e.

\[
\tilde{V}_a^{(i)}(0) = 0 \tag{23}
\]

for \( i = 1, \ldots, \infty \). In this subsection we will prove that with the ansatz in equation (23) all the equations in (21) with \( i = 1, \ldots, \infty \) are identically satisfied, thus leaving only the equations with \( i = 0 \) to be solved. We will give numerical solutions of these remaining equations for \( a = 0, 1, \ldots, 8 \) in section 4.
Now we explicitly analyse the structure of $\partial_t F$ related to the second variation of the effective average action given in equation (2) for the various field components. The second variation for $h^T_{\mu\nu}$ and $\xi_{\mu}$ has the form

$$\Gamma^{(2)}_k|_{T,V} = f(z, R) + f_a(z, R)V_a,$$

where we denote $z := -\Box$. The functional form for $\Gamma^{(2)}_k|_{T,V}$ is motivated by equations (9) and (10) from which we note that it depends on $V_a$ at most linearly, with coefficients being functions of $z$ and $R$, which are denoted here by $f(z, R)$ and $f_a(z, R)$.

For the scalar part, the second variation has the form

$$\Gamma^{(2)}_k|_s = \begin{pmatrix} l^{11}(z, R) + f^{11}_a(z, R)V_a & l^{12}(z, R) + f^{12}_a(z, R)V_a & g^{1}_a(z, R)\phi V_a' \\
 l^{12}(z, R) + f^{12}_a(z, R)V_a & l^{22}(z, R) + f^{22}_a(z, R)V_a & g^{2}_a(z, R)\phi V_a' \\
g^{1}_a(z, R)\phi V_a' & g^{2}_a(z, R)\phi V_a' & z + R^a(2V_a' + 4\phi^2 V_a'') \end{pmatrix},$$

\[ (25) \]

where a prime denotes derivative with respect to $\phi^2$. Again the functional form for $\Gamma^{(2)}_k|_{s}$ is motivated by equation (11) which clearly tells us that the entries $S_{\sigma\sigma}, S_{\sigma h},$ and $S_{h h}$ depend at most linearly on $V_a$, while the entries $S_{\phi\sigma}$ and $S_{\phi h}$ are linear combinations of $\phi V_a'$. The coefficients in these linear combinations are functions of $z$ and $R$ denoted here by $l^{11}(z, R)$ and $g^{1}_a(z, R)$.

For the ghost part the second variation has the form

$$\Gamma^{(2)}_k|_{gh} = D(z, R).$$

\[ (26) \]

This can be verified from equations (14)–(17). We first consider the contributions from $h^T_{\mu\nu}$ and $\xi_{\mu}$. Since for them the second variation has the form given by equation (24), the modified inverse propagator $\mathcal{P}_k := \Gamma^{(2)}_k|_{T,V} + \mathcal{R}_k$ and the cutoff $\mathcal{R}_k$ will have the functional form

$$\mathcal{P}_k = f(P_k, R) + f_a(P_k, R)V_a,$n

$$\mathcal{R}_k = f(P_k, R) - f(z, R) + [f_a(P_k, R) - f_a(z, R)]V_a,$n

\[ (27) \]

where we have simply replaced $z$ by $P_k(z) := z + R_k(z)$ to obtain the modified inverse propagator. $R_k(z)$ is a profile function which tends to $k^2$ for $z \to 0$ and approaches zero rapidly for $z < k^2$. The RG-time derivative of the cutoff $\mathcal{R}_k$ in equation (27) is

$$\partial_t \mathcal{R}_k = \partial_t f(P_k, R) + \partial_t f_a(P_k, R)V_a + [f_a(P_k, R) - f_a(z, R)]\partial_t V_a.$$n

\[ (28) \]

Using equation (28) in the FRGE one finds that the contributions from $h^T_{\mu\nu}$ and $\xi_{\mu}$ have the form

$$\partial_t V_a = H_a(V_a) + H_{ab}(V_a)\partial_t V_b.$$n

\[ (29) \]

This can be justified by noting that $\partial_t \mathcal{R}_k$ given by equation (28) depends at most linearly on $\partial_t V_b$. On the rhs of the FRGE, $\partial_t \mathcal{R}_k$ appears in the numerator, while the denominator contains the modified inverse propagator given in equation (27) which depends at most linearly on $V_a$. So we find that the rhs of the FRGE depends at most linearly on $\partial_t V_a$. The coefficients in front of $\partial_t V_a$ are functionals of $V_a$ and are denoted by $H_a(V_a)$ and $H_{ab}(V_a)$.

The contributions from the ghost parts will be simpler. Since they do not depend on the potentials, they will only give a constant contribution to $H_a$. The contributions from the scalars are more involved due to the matrix structure. The modified inverse scalar propagator is obtained by replacing all $z$ with $P_k$ in equation (25).
The cutoff is constructed in the usual way by subtracting the inverse propagator from the modified inverse propagator. This cutoff can be written as

\[
\mathcal{R}_k^t = \begin{pmatrix}
I^{11}(P_k, R) - I^{11}(z, R) & I^{12}(P_k, R) - I^{12}(z, R) & 0 \\
I^{12}(P_k, R) - I^{12}(z, R) & I^{22}(P_k, R) - I^{22}(z, R) & 0 \\
0 & 0 & P_k - z
\end{pmatrix}
\]

Then the \(t\) derivative of the cutoff given in equation (30) is

\[
\partial_t \mathcal{R}_k^t = \begin{pmatrix}
\partial_t I^{11}(P_k, R) & \partial_t I^{12}(P_k, R) & 0 \\
\partial_t I^{12}(P_k, R) & \partial_t I^{22}(P_k, R) & 0 \\
0 & 0 & \partial_t P_k
\end{pmatrix}
+ \begin{pmatrix}
\partial_t f_a^{11}(P_k, R) & \partial_t f_a^{12}(P_k, R) & 0 \\
\partial_t f_a^{12}(P_k, R) & \partial_t f_a^{22}(P_k, R) & 0 \\
0 & 0 & \partial_t V_a
\end{pmatrix}
\]

The modified propagator for scalars is the matrix inverse of equation (25) with \(z\) replaced by \(P_k\). It is given by

\[
\left( \mathcal{P}^t_k \right)^{-1} = \frac{1}{\text{Det} \mathcal{P}_k} \text{Adj} \left( \mathcal{P}^t_k \right)
\]

where \(\text{Adj} \left( \mathcal{P}^t_k \right)\) denotes the adjoint of the matrix \(\left( \mathcal{P}^t_k \right)\) (the matrix of cofactors). The determinant is a functional depending only on \(V_a\), \(\phi^2 V'_a V'_a\) and \(2 V_a + 4\phi^2 V_a^\prime\). This can be easily derived from the modified inverse propagator obtained from equation (25).
where each entry depends additionally on $P_k$ and $R$. In order to calculate the RG trace, we multiply $(P_k^{-1})^\dagger$ with $\partial_t R_k^e$ and then take the matrix trace. Doing this we note that $\phi V_{a}''$ is either multiplied with another $\phi V_{a}'$ or it is multiplied with $\phi\partial_t V_{a}'$. So the scalar contribution to the FRGE has the form

$$
\partial_t V_a|_t = H_a(V_a, \phi^2 V_a' V_a', 2 V_a' + 4\phi^2 V_a'') + H_{ab}(V_a, \phi^2 V'_a V'_b, 2 V_a' + 4\phi^2 V_a'') \partial_t V_b \nabla_{a}$$

$$
+ H_{abc}(V_a, \phi^2 V'_a V'_b, 2 V_a' + 4\phi^2 V_a'') \phi^2 V'_c \partial_t V'_c .
$$

(34)

The contributions from the transverse traceless tensor and transverse vector can also be combined in the above expression to write the full FRGE contribution in the same way as above. Then $\partial_t F = R^a \partial_t V_a$.

After having calculated the structural form for the running of $V_a(\phi^2)$, we use it to calculate the dimensionless beta functional using equation (20), which gives

$$(\partial_t \tilde{V}_a)[\tilde{\phi}^2] = -(d - 2a) \tilde{V}_a + (d - 2) \tilde{\phi}^2 \tilde{V}_a + \tilde{H}_a(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_a, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'')$$

$$
+ \tilde{H}_{ab}(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_b, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'') \{(d - 2b) \tilde{V}_b - (d - 2) \tilde{\phi}^2 \tilde{V}_b\}$$

$$
+ \tilde{H}_{abc}(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_b, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'') \tilde{\phi}^2 \tilde{V}_c' \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' + \tilde{V}_c\}$$

$$
+ (\partial_t \tilde{V}_a)[\tilde{\phi}^2].
$$

(35)

Inserting equation (21) into equation (35) we get the fixed point equation

$$
0 = -(d - 2a) \tilde{V}_a + (d - 2) \tilde{\phi}^2 \tilde{V}_a + \tilde{H}_a(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_a, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'')$$

$$
+ \tilde{H}_{ab}(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_b, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'') \{(d - 2b) \tilde{V}_b - (d - 2) \tilde{\phi}^2 \tilde{V}_b\}$$

$$
+ \tilde{H}_{abc}(\tilde{V}_a, \tilde{\phi}^2 \tilde{V}_a V'_b, 2 \tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'') \tilde{\phi}^2 \tilde{V}_c' \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' + \tilde{V}_c\}.
$$

(36)

The above equation is identically satisfied when we take its Taylor expansion around $\tilde{\phi}^2 = 0$ and use equation (23). For example, taking one derivative with respect to $\tilde{\phi}^2$ gives

$$
0 = -(d - 2a) \tilde{V}_a'' + (d - 2) \tilde{\phi}^2 \tilde{V}_a' + \frac{\delta \tilde{H}_a}{\delta \tilde{V}_a'} (\tilde{V}_a' + \frac{\delta \tilde{H}_a}{\delta (\tilde{\phi}^2 \tilde{V}_a' \tilde{V}_a'')} (\tilde{V}_a' \tilde{V}_a'' + \tilde{\phi}^2 \tilde{V}_a'' \tilde{V}_a' + \tilde{\phi}^2 \tilde{V}_a'' \tilde{V}_a'')$$

$$
+ \frac{\delta \tilde{H}_a}{\delta (2\tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'')} (2\tilde{V}_a''(2) + 4\tilde{\phi}^2 \tilde{V}_a''(2))$$

$$
+ \{(d - 2b) \tilde{V}_b'' - (d - 2) \tilde{\phi}^2 \tilde{V}_b'' - (d - 2) \tilde{V}_b''\} \tilde{H}_{ab} + \{(d - 2b) \tilde{V}_b - (d - 2) \tilde{\phi}^2 \tilde{V}_b\}\}$$

$$
\times \left( \frac{\delta \tilde{H}_{ab}}{\delta \tilde{V}_a'} \tilde{V}_a' + \frac{\delta \tilde{H}_{ab}}{\delta (\tilde{\phi}^2 \tilde{V}_a' \tilde{V}_a'')} (\tilde{V}_a' \tilde{V}_a'' + \tilde{\phi}^2 \tilde{V}_a'' \tilde{V}_a' + \tilde{\phi}^2 \tilde{V}_a'' \tilde{V}_a'') \right)
$$

$$
+ \frac{\delta \tilde{H}_{ab}}{\delta (2\tilde{V}_a' + 4\tilde{\phi}^2 \tilde{V}_a'')} \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' \} \tilde{H}_{abc}$$

$$
+ \tilde{\phi}^2 \tilde{V}_a' \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' \} \tilde{H}_{abc} + \tilde{\phi}^2 \tilde{V}_a' \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' \}$$

$$
-(d - 2) \tilde{V}_a'' \} \tilde{H}_{abc} + \tilde{\phi}^2 \tilde{V}_a' \{(d - 2c) \tilde{V}_c' - (d - 2) \tilde{\phi}^2 \tilde{V}_c'' \}$$

$$
\times \left( \frac{\delta \tilde{H}_{abc}}{\delta \tilde{V}_d} \tilde{V}_d + \frac{\delta \tilde{H}_{abc}}{\delta (\tilde{\phi}^2 \tilde{V}_d' \tilde{V}_d'')} (\tilde{V}_d' \tilde{V}_d'' + \tilde{\phi}^2 \tilde{V}_d'' \tilde{V}_d' + \tilde{\phi}^2 \tilde{V}_d'' \tilde{V}_d'') \right)
$$

$$
+ \frac{\delta \tilde{H}_{abc}}{\delta (2\tilde{V}_d' + 4\tilde{\phi}^2 \tilde{V}_d'')} (2\tilde{V}_d''(2) + 4\tilde{\phi}^2 \tilde{V}_d''(2)) \right).
$$

(37)
3.2. Linearized flow around the GMFP

The attractivity properties of a FP are determined by the signs of the critical exponents defined to be minus the eigenvalues of the linearized flow matrix, the so-called stability matrix, at the FP. The eigenvectors corresponding to negative eigenvalues (positive critical exponent) span the UV critical surface. At the Gaussian FP the critical exponents are equal to the mass dimension of each coupling, so the relevant couplings are the ones that are power-counting renormalizable (or marginally renormalizable). In a perturbatively renormalizable theory they are usually finite in number.

At the GMFP, the situation is more complicated as the eigenvalues being negative or positive do not correspond to couplings being relevant or irrelevant. In principle, at the GMFP the eigenvectors corresponding to negative eigenvalues get contributions from all the couplings present in the truncation, thus making it more difficult to find the fixed point action. Thus, understanding the properties of the stability matrix around the GMFP becomes crucial.

Therefore, we now discuss the structure of the linearized flow around the GMFP. It is convenient to Taylor expand the potentials \( V_a(\phi^2) \) as

\[
V_a(\phi^2) = \sum_{i=0}^{q} \lambda_{2i}^{(a)}(k) \phi^{2i},
\]

where \( \lambda_{2i}^{(a)} \) are the corresponding couplings with mass dimension \( d - 2a - i(d - 2) \). We are assuming a finite truncation with up to \( p \) powers of \( R \), i.e. \( a \) going from 0 to \( p \), and \( q \) powers of \( \phi^2 \). In practice, it has been possible to deal with \( p \leq 8 \); as we will see, it is possible to understand the structure of the theory for any polynomial in \( \phi^2 \), so one could also let \( q \to \infty \). Rescaling these couplings with respect to the RG scale defines dimensionless couplings \( \hat{\lambda}_{2i}^{(a)} = k^{d-2a-i(d-2)} \lambda_{2i}^{(a)} \) and the corresponding beta functions \( \beta_{2i}^{(a)} = \partial_i \hat{\lambda}_{2i}^{(a)} \).

The stability matrix is defined as

\[
(M_{ij})_{ab} = \left. \frac{\delta (\frac{1}{\beta} \frac{\partial V_a^{(i)}}{\partial \phi_b^{(j)}}(0))}{\delta (\frac{1}{\beta} V_b^{(j)}(0))} \right|_{\text{FP}} = \frac{\partial \hat{\beta}_b^{(j)}}{\partial \hat{\lambda}_a^{(i)}}. \tag{39}
\]

Using the above definitions, numerical results tell us that the stability matrix \( M \) has the form

\[
\begin{pmatrix}
M_{00} & M_{01} & 0 & 0 & \cdots \\
0 & M_{11} & M_{12} & 0 & \cdots \\
0 & 0 & M_{22} & M_{23} & \cdots \\
0 & 0 & 0 & M_{33} & \cdots \\
\vdots & \vdots & \vdots & \vdots & \ddots
\end{pmatrix}. \tag{40}
\]
where each entry is a \((p + 1) \times (p + 1)\) matrix of the form

\[
M_{ij} = \begin{pmatrix}
\frac{\partial \beta_{2j}^{(0)}}{\partial \lambda_{2j}^{(0)}} & \cdots & \frac{\partial \beta_{2j}^{(0)}}{\partial \lambda_{2j}^{(1)}} \\
\vdots & \ddots & \vdots \\
\frac{\partial \beta_{2j}^{(p)}}{\partial \lambda_{2j}^{(0)}} & \cdots & \frac{\partial \beta_{2j}^{(p)}}{\partial \lambda_{2j}^{(p)}}
\end{pmatrix},
\]

(41)

while \(p\) is the highest power of scalar curvature included in the action. It turns out that

\[
M_{ij} = 0 \quad \forall i \geq 1, \forall j < i; \quad M_{ij} = 0 \quad \forall i, \forall j > (i + 1).
\]

(42)

The various nonzero entries follow the same relations that were observed in [1]. In \(d\) dimensions they are

\[
M_{ii} = (d - 2)i + M_{00}; \quad M_{i,i+1} = (i+1)(2i+1)M_{01};
\]

(43)

where

\[
M_{00} = \begin{pmatrix}
\delta M_{\lambda^{(0)},\lambda^{(0)}} & \cdots & \cdots & \delta M_{\lambda^{(0)},\lambda^{(p)}} \\
\vdots & \ddots & \ddots & \vdots \\
\vdots & \ddots & \ddots & \vdots \\
\delta M_{\lambda^{(p)},\lambda^{(0)}} & \cdots & \cdots & \delta M_{\lambda^{(p)},\lambda^{(p)}}
\end{pmatrix}
\]

(44)

\[
M_{01} = \begin{pmatrix}
\delta M_{\lambda^{(0)},\lambda^{(0)}} & \cdots & \cdots & \delta M_{\lambda^{(0)},\lambda^{(p)}} \\
\vdots & \ddots & \ddots & \vdots \\
\vdots & \ddots & \ddots & \vdots \\
\delta M_{\lambda^{(p)},\lambda^{(0)}} & \cdots & \cdots & \delta M_{\lambda^{(p)},\lambda^{(p)}}
\end{pmatrix}
\]

(45)

Using the same arguments as in [1], one can prove the above properties starting from equation (34) neglecting \(\partial_t V_a\) and \(\partial_t V'_a\) on the right-hand side (corresponding to a one-loop approximation). Solving equation (34) beyond that level would require solving a functional differential equation and would be beyond the scope of this paper. However, the results presented in the next section suggest that these relations should hold exactly. They are relations independent of the gauge choice; however, the entries of \(M_{00}\) and \(M_{01}\) are gauge dependent.

The physical nature of the relations among the eigenvalues can be understood from the difference between the GMFP and the Gaussian fixed point where also the gravitational couplings would vanish. At a Gaussian fixed point, the critical exponents are determined by the mass dimension of the couplings, and therefore are all spaced by \(d - 2\). At the GMFP, the
gravitational couplings lead to some corrections to the critical exponents, but the correction to all exponents is the same, such that the spacing remains equal to $d - 2$.

These relations have important consequences. Because the stability matrix at the GMFP has the block diagonal structure given by equation (40), its eigenvalues are just the eigenvalues of the diagonal blocks. Since the diagonal blocks are related by equations (43), the eigenvalues of the various blocks differ only by multiples of $d$. That means if $\rho_0^{(0)}, \ldots, \rho_0^{(p)}$ are the eigenvalues of $M_{00}$, then all the eigenvalues of $M$ are of the form

$$\rho_2^{(a)} = \rho_0^{(a)} + (d - 2) i.$$  \hspace{1cm} (46)

As $M_{00}$ depends only on the couplings $\lambda_0^{(a)}$, it is enough to include only these couplings into the action to find all the eigenvalues of the stability matrix. Therefore, the results for minimally coupled scalar-tensor theory determine the eigenvalues of the nonminimally coupled scalar-tensor theory. In particular, if one has calculated the dimension of the UV critical surface of the minimally coupled theory, one can also predict the dimension of the UV critical surface of the nonminimally coupled theory.

To find all the eigenvectors of the stability matrix it is necessary to also know $M_{01}$. One can write the eigenvectors as $v = (v_0, v_1, \ldots, v_p)^T$ where each $v_i$ is itself a $(p + 1)$-dimensional vector. Then the vector $v_0 = (v_0, 0, 0, \ldots, 0)^T$ is an eigenvector if $v_0$ is an eigenvector of $M_{00}$ which can be seen immediately by multiplying it with $M$. The eigenvectors of $M$ with the above form are eigenvectors for the eigenvalues of $M_{00}$ and can therefore be completely determined by just using $M_{00}$. Thus, we note at this point that these eigenvectors are mixtures of gravitational couplings only; they do not contain any contribution from matter couplings.

Now consider a vector of the form $V_1 = (v_0', v_1, 0, 0, \ldots, 0)^T$. Acting on it with $M$, and demanding $V_1$ to be an eigenvector of $M$ corresponding to some eigenvalue $\lambda_0^{(a)}$, we obtain two relations:

$$M_{00} v_0' + M_{01} v_1 = \lambda_0^{(a)} v_0', \quad M_{11} v_1 = \lambda_1^{(a)} v_1.$$ \hspace{1cm} (47)

The second equation in (47) tells us that $v_1$ is an eigenvector of $M_{11}$. Now due to equations given in (43) and (46), we note that $v_1 = v_0$. Determining $v_1$ will then determine also $v_0'$. In the same way one can go on to determine the next eigenvector. Consider $V_2 = (v_0'', v_1', v_2, 0, \ldots, 0)^T$. Acting on it with $M$ and demanding $V_2$ to be an eigenvector of $M$. That means it should satisfy

$$M_{00} v_0'' + M_{01} v_1' = \lambda_0^{(a)} v_0'', \quad M_{11} v_1' + M_{12} v_2 = \lambda_1^{(a)} v_1', \quad M_{22} v_2 = \lambda_2^{(a)} v_2.$$ \hspace{1cm} (48)

One notes immediately that $v_2$ is the eigenvector of $M_{22}$, and using equations in (43) and (46) we conclude that $v_2 = v_0$. Other equations would determine $v_0''$ and $v_1'$. This process can be continued to find all the eigenvectors.

We will now illustrate the validity of these results in various truncations with scalar fields coupled minimally and nonminimally to gravity.

4. Numerical results

4.1. Nonminimally coupled scalar field

From here on we proceed as in [10]. We choose the gauge $\alpha = 0, \beta \to \infty$ and $\rho = 0$. This simplifies the calculation considerably because with that choice several arguments in the FRGE cancel with each other. The cutoff operators are chosen so that the modified inverse propagator is identical to the inverse propagator except for the replacement of $z = -\nabla^2$ by $P_4(z) = z + R_4(z)$; we use exclusively the optimized cutoff functions $R_k(z) = (k^2 - z)^{\theta(k^2 - z)}$ [28]. Then knowledge of the heat kernel coefficients which contain at most $R_4$ taken from [29] is sufficient to calculate all the beta functions. A further benefit of this choice of cutoff is
Table 1. Nonvanishing couplings at the GMFP. The index \( p \) is the highest power of \( R \) included in the truncation. All values are multiplied by a factor 1000.

| \( p \) | \( \tilde{\lambda}_0 \) | \( \tilde{\lambda}_0 \) | \( \tilde{\lambda}_0 \) | \( \tilde{\lambda}_0 \) |
|-----|-----|-----|-----|-----|
| 1   | 6.495 | -21.579 |
| 2   | 5.224 | -16.197 | 1.834 |
| 3   | 6.454 | -20.756 | 1.071 | -6.474 |
| 4   | 6.354 | -21.342 | 0.792 | -6.807 | -3.865 |

Table 2. Critical exponents at the GMFP. The index \( p \) is the highest power of \( R \) included in the truncation. Critical exponents are labelled \( \vartheta(a) \), like the couplings, but the corresponding eigenvectors involve strong mixing, as discussed in the text. For each \( i \), the first two critical exponents form a complex conjugate pair given by \( \vartheta_0^i \pm \vartheta_0^i \) and \( \vartheta_2^i \pm \vartheta_2^i \).

| \( p \) | \( \vartheta_0^i \) | \( \vartheta_0^i \) | \( \vartheta_0^i \) | \( \vartheta_0^i \) | \( \vartheta_0^i \) | \( \vartheta_2^i \) | \( \vartheta_2^i \) | \( \vartheta_2^i \) | \( \vartheta_2^i \) |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 1   | 2.493 | 2.368 | 0.493 | 2.368 |
| 2   | 1.847 | 2.397 | 21.031 | -0.153 | 2.397 | 19.031 |
| 3   | 3.077 | 2.524 | 2.033 | -3.852 | 1.077 | 2.524 | 0.033 | -5.852 |
| 4   | 3.261 | 2.772 | 1.670 | -3.593 | 1.261 | 2.772 | -0.330 | -5.593 | -7.182 |

that the trace arguments will be polynomial in \( z \). This simplifies the integrations in the trace evaluation and is done in closed form.

Inserting everything into the FRGE and comparing the terms with equal powers of \( R \) and \( \phi^2 \) on each side of the equation will give a system of algebraic equations for the beta functions of the couplings \( \tilde{\lambda}_0^i \). The fixed points of the flow equations are evaluated and the corresponding critical exponents \( \vartheta(a) \) are determined.

We carried out the calculation for effective average actions including up to \( R^4 \) and up to \( \phi^2 \) in each potential \( V_a \). Such truncations include at most ten couplings. We find that a GMFP does indeed exist for all these truncations. The nonvanishing fixed point values for various truncations are given in table 1, and the corresponding critical exponents (the negative of the eigenvalues of the stability matrix) in table 2.

From the critical exponents one realizes several features at once. Though we carry out the full FRGE calculation, we find that in general the real parts of the critical exponents \( \vartheta_0^i \) differ from \( \vartheta_0^i \) exactly by 2 as proven in the one-loop case while the imaginary parts of the critical exponents are unchanged. This strongly suggests that the relations among the eigenvalues will also hold at the exact level. The qualitative and quantitative properties turn out to be very similar to those of the purely gravitational theory.

The inclusion of only four couplings with \( a = 0, 1 \) and \( i = 0, 1 \) leads to four attractive directions. The complex critical exponents \( \vartheta_0^i \pm \vartheta_0^i \) are expected from the experience with the Einstein–Hilbert truncation. The existence of a second pair of complex critical exponents \( \vartheta_2^i \pm \vartheta_2^i \) follows from the relation between the eigenvalues given in equation (46). These complex conjugate pairs also occur when higher scalar curvature terms are included.

When one also includes \( R^2 \) couplings, one encounters large positive critical exponents as known from the calculations in pure gravity [6, 10, 22, 24]. Using equation (46) one concludes that one has to go up to power \( \phi^{20} \) before encountering a negative critical exponent, so the critical surface would be 12 dimensional. But this is a fluke of the \( R^2 \) truncations due to the anomalously large positive critical exponent. The situation quickly normalizes when one adds further powers of \( R \).
Including $R^3$ couplings, classically one would expect only three positive critical exponents as the classical mass dimensions of $\lambda_0^{(0)}$, $\lambda_0^{(1)}$, $\lambda_0^{(2)}$, $\lambda_2^{(0)}$, $\lambda_2^{(1)}$, $\lambda_2^{(2)}$ and $\lambda_1^{(1)}$ are $4$, $2$, $0$, $-2$, $2$, $0$, $-2$ and $-4$ respectively. Apparently, the FRGE calculation, which includes quantum corrections with large mixing between the various couplings, produces instead six positive critical exponents in the $R^3$ truncation. The critical exponent $\theta_2^{(2)}$ is, however, very close to zero in consistency with the eigenvalue shift in equation (46). This tells us that the truncation with $p = 3$ has a six-dimensional UV critical surface for any $i \geq 1$.

With the inclusion of the coupling for the $R^4$ operator whose classical mass dimension is $-4$, one notes that $0 < \theta_0^{(2)} < 2$. Thus, one would expect that including the coupling for the operator $\phi^2 R^4$ with classical mass dimension $-6$, in consistency with equation (46), the critical exponent $\theta_2^{(2)}$ would be negative, and the critical surface would be five dimensional. Indeed, the inclusion of those couplings does make $\theta_2^{(2)}$ negative, leading to five negative and five positive critical exponents. One can then say, using equation (46) in the truncation $p = 4$, that for any $i \geq 1$, the critical surface would be five dimensional.

To illustrate our results we display here the stability matrix for the $R^4$ truncation. The entries in the upper-left $5 \times 5$ block and in the lower-right $5 \times 5$ block are the same except the ones on the diagonals which differ by 2. The upper-right block is $M_{\text{GMFP}}$; the lower-left one contains only zero entries:

$$M_{\text{GMFP}} = \begin{pmatrix}
-0.81 & 1.87 & 0.40 & -1.24 & 0.41 & -0.0057 & 0.0021 & 0.0011 & -0.00039 & -0.000051 \\
-8.01 & -6.05 & 2.95 & -7.28 & -1.80 & 0.0031 & -0.0093 & 0.00083 & 0.0024 & 0.00024 \\
2.16 & 0.27 & -4.57 & 1.64 & -0.041 & 0.00021 & -0.00018 & -0.00032 & -0.00038 & -5.55 \times 10^{-6} \\
2.95 & -0.61 & -7.46 & 4.13 & 0.44 & -0.00026 & -0.00032 & -0.00098 & -0.0019 & -0.000091 \\
5.12 & 4.95 & 3.34 & -10.52 & 7.79 & 0.00065 & 0.0021 & -0.0010 & -0.0071 & -0.00075 \\
0 & 0 & 0 & 0 & 0 & 1.19 & 1.87 & 0.40 & -1.24 & 0.41 \\
0 & 0 & 0 & 0 & 0 & -8.01 & -4.05 & 2.95 & 2.78 & -1.80 \\
0 & 0 & 0 & 0 & 0 & 2.16 & 0.27 & -2.57 & 1.64 & -0.041 \\
0 & 0 & 0 & 0 & 0 & 2.95 & -0.61 & -7.46 & 6.13 & 0.44 \\
0 & 0 & 0 & 0 & 0 & 5.12 & 4.95 & 3.34 & -10.52 & 9.79
\end{pmatrix}.
$$

(49)

The eigenvectors corresponding to the five positive critical exponents in the $R^4$ truncation are given by

$$\begin{pmatrix}
-0.2774 \pm 0.2693i \\
0.8574 \\
-0.1206 \pm 0.0634i \\
0.0473 \pm 0.1254i \\
-0.2202 \pm 0.1746i
\end{pmatrix}, \begin{pmatrix}
(15.381 \pm 5.409i) \times 10^{-4} \\
(-33.008 \pm 13.931i) \times 10^{-4} \\
(4.894 \pm 1.980i) \times 10^{-4} \\
(-2.535 \pm 1.083i) \times 10^{-4} \\
(5.437 \pm 8.333i) \times 10^{-4}
\end{pmatrix}, \begin{pmatrix}
-0.3845 \\
-0.07586 \\
-0.7103 \\
-0.5667 \\
-0.1437
\end{pmatrix}.$$

(50)

The first complex conjugate pair of eigenvectors corresponds to the complex conjugate pair of critical exponents $\theta_0^{(0)} \pm i \theta_0^{(0)}$ with values $3.2608 \pm 2.7722i$, while the second pair of complex conjugate eigenvectors corresponds to the complex conjugate pair of critical
Table 3. Position of the FP for increasing number $p$ of couplings included. The first three columns give the FP values in the form of cosmological and Newton constants and their dimensionless product. The values $\tilde{\lambda}(a)$ (and only them) have been rescaled by a factor 1000.

| $p$ | $\tilde{\lambda}_s$ | $\tilde{G}_s$ | $\Lambda_s \tilde{G}_s$ | $\tilde{\lambda}(0)$ | $\tilde{\lambda}(1)$ | $\tilde{\lambda}(2)$ | $\tilde{\lambda}(3)$ | $\tilde{\lambda}(4)$ | $\tilde{\lambda}(5)$ | $\tilde{\lambda}(6)$ | $\tilde{\lambda}(7)$ | $\tilde{\lambda}(8)$ |
|-----|-------------------|----------------|---------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 1   | 0.150             | 0.923          | 0.139               | 6.495           | 21.579          |                 |                 |                 |                 |                 |                 |                 |
| 2   | 0.161             | 1.228          | 0.198               | 5.224           | 16.197          | 1.834           |                 |                 |                 |                 |                 |                 |
| 3   | 0.155             | 0.958          | 0.149               | 6.454           | 20.756          | 1.071           |                 |                 |                 |                 |                 |                 |
| 4   | 0.149             | 0.932          | 0.139               | 6.354           | 21.342          | 0.792           |                 |                 |                 |                 |                 |                 |
| 5   | 0.149             | 0.932          | 0.139               | 6.355           | 21.339          | 0.793           |                 |                 |                 |                 |                 |                 |
| 6   | 0.146             | 0.918          | 0.134               | 6.312           | 21.669          | 0.586           |                 |                 |                 |                 |                 |                 |
| 7   | 0.146             | 0.917          | 0.133               | 6.318           | 21.702          | 0.534           |                 |                 |                 |                 |                 |                 |
| 8   | 0.148             | 0.926          | 0.137               | 6.344           | 21.489          | 0.678           |                 |                 |                 |                 |                 |                 |

Table 4. Critical exponents for increasing number $p$ of couplings included. The first two critical exponents are a complex conjugate pair of the form $\vartheta' \pm \vartheta'' i$. The same is the case for the fourth and fifth critical exponents $\vartheta(4) \pm \vartheta(5)$. The last eigenvector corresponds to the critical exponent $\vartheta(2) = 1.6698$. We note that the eigenvectors corresponding to the eigenvalues of $M(0)$, namely the first complex conjugate pair of eigenvectors and the last one, have the same structure as was described in the previous section, i.e. $(v_0, 0, 0, \ldots, 0)^T$, where $v_0$ is determined by just using $M(0)$. We note that these eigenvectors do not get mixing from the matter couplings, but only from the purely gravitational couplings. Furthermore, if we look at the eigenvectors corresponding to the eigenvalues of $M(1)$, namely the second complex conjugate pair of eigenvectors in equation (50), which has the form $(v'_0, v_1, 0, \ldots, 0)^T$, we clearly note that $v_1 = v_0$, as described in the previous section.

| $p$ | $\vartheta'_0$ | $\vartheta''_0$ | $\vartheta'_0(2)$ | $\vartheta''_0(2)$ | $\vartheta'_0(3)$ | $\vartheta''_0(3)$ | $\vartheta'_0(4)$ | $\vartheta''_0(4)$ | $\vartheta'_0(5)$ | $\vartheta''_0(5)$ | $\vartheta'_0(6)$ | $\vartheta''_0(6)$ | $\vartheta'_0(7)$ | $\vartheta''_0(7)$ | $\vartheta'_0(8)$ | $\vartheta''_0(8)$ |
|-----|----------------|-----------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| 1   | 2.493          | 2.368           |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
| 2   | 1.847          | 2.397           | 21.031          |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
| 3   | 3.077          | 2.524           | 2.033           | 3.852           |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
| 4   | 3.261          | 2.772           | 1.670           | 3.593           | 5.182           |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
| 5   | 2.777          | 2.908           | 1.795           | 4.176           | 4.196           | 6.764           |                 |                 |                 |                 |                 |                 |                 |                 |                 |
| 6   | 2.841          | 2.813           | 1.386           | 4.000           | 3.798           | 5.947           | 8.538           |                 |                 |                 |                 |                 |                 |                 |                 |
| 7   | 2.930          | 2.964           | 1.312           | 4.009           | 2.760           | 4.623           | 7.459           | 11.166          |                 |                 |                 |                 |                 |                 |                 |
| 8   | 2.331          | 2.902           | 1.570           | 4.063           | 0.673           | 7.120           | 7.323           | 7.323           | 9.854           | 11.611          |                 |                 |                 |                 |                 |

Exponents $\vartheta'_2 \pm \vartheta''_2$ with values $1.2608 \pm 2.7722i$. The last eigenvector corresponds to the critical exponent $\vartheta(2) = 1.6698$. We note that the eigenvectors corresponding to the eigenvalues of $M(0)$, namely the first complex conjugate pair of eigenvectors and the last one, have the same structure as was described in the previous section, i.e. $(v_0, 0, 0, \ldots, 0)^T$, where $v_0$ is determined by just using $M(0)$. We note that these eigenvectors do not get mixing from the matter couplings, but only from the purely gravitational couplings. Furthermore, if we look at the eigenvectors corresponding to the eigenvalues of $M(1)$, namely the second complex conjugate pair of eigenvectors in equation (50), which has the form $(v'_0, v_1, 0, \ldots, 0)^T$, we clearly note that $v_1 = v_0$, as described in the previous section.

4.2. Minimally coupled scalar field

Having verified that the properties of the stability matrix proved at the one-loop level do also hold in the exact calculation, we now analyse higher order curvature terms retaining only the couplings $\tilde{\lambda}(a)$ corresponding to a truncation with a minimally coupled scalar field. Then one obtains the non-Gaussian fixed points and critical exponents given in tables 3 and 4. We analyse these results and use them to make predictions for the nonminimal truncation. One observes that the addition of the scalar fields only alters the results for pure gravity in [6, 10] by a small amount. Just as there, the UV critical surface becomes at most three dimensional,
and fixed point values for the cosmological and the Newton constant remain very stable. It has to be remarked that for those two couplings the oscillation in the fixed point value after the introduction of the $R^2$-term is not as strong as in pure gravity. Also the critical exponent obtained after the introduction of the $R^2$-coupling becomes large, but not as large as in pure gravity. So the addition of the scalar field already seems to have a little stabilizing effect on the $R^2$-truncation. The introduction of the $R^4$- and $R^5$-couplings leads to a second complex conjugate pair of critical exponents as soon as both couplings are included.

Now it is easy to analyse how the dimension of the UV critical surface changes under the introduction of nonminimal matter couplings. In general, if a critical exponent $\vartheta^{(a)}_0$ is negative, then $\vartheta^{(a)}_i$ will also be negative for all $i > 0$. From table 4 we see that $\vartheta^{(a)}_0 < 0$ for all $a \geq 3$; thus, all $\vartheta^{(a)}_i < 0$ for all $a \geq 3$ and $i > 0$. However, since $4 \vartheta^{(a)}_0 \geq 2$, using equation (46) we conclude that $2 > \vartheta^{(a)}_i > 0$. This means that there are two more attractive directions. From table 4 one sees, however, that $0 \vartheta^{(a)}_i < 2$ as soon as $R^4$ is included; thus, we do not obtain any other attractive directions. So compared to [6, 10] where a three-dimensional UV critical surface was obtained for pure gravity, interactions with scalar matter lead to a five-dimensional UV critical surface.

5. Conclusion

We have shown that a Gaussian matter fixed point also exists also under the inclusion of higher order curvature terms and their coupling to scalar fields. We verified that the properties of the stability matrix proven only at the one-loop level also hold in the exact calculations. We exploited these properties to show the relations between minimally and nonminimally coupled scalar-tensor theory. In particular, we were able to calculate the critical exponents for the nonminimal scalar-tensor theory from those of the minimal one. The introduction of minimally coupled scalar matter fields gives only slight quantitative corrections to the fixed point properties of the purely gravitational theory. The critical exponents again seem to converge with the inclusion of more curvature terms. The minimally coupled theory produces three positive critical exponents. We derived that the additional critical exponents in the nonminimally coupled theory will be the ones of the minimal theory shifted by constant values. This produces two more positive critical exponents. From that we can conclude that, in four dimensions, the scalar-tensor theory based on an action polynomial in scalar curvature and in even powers of scalar field gives rise to a five-dimensional UV critical surface.

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