Dimerized and trimerized phases for spin-2 Bosons in a one-dimensional optical lattice

Pochung Chen\(^1\), Zhi-Long Xue\(^1\), I. P. McCulloch\(^2\), Ming-Chiang Chung\(^3\), and S.-K. Yip\(^4\)

\(^1\)Physics Department, National Tsing Hua University, Hsinchu, 30013, Taiwan
\(^2\)School of Physical Sciences, The University of Queensland, Brisbane, QLD 4072, Australia
\(^3\)Physics Division, National Center for Theoretical Sciences, Hsinchu, 30013, Taiwan and
\(^4\)Institute of Physics, Academia Sinica, Taipei 11529, Taiwan

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We study the phase diagram for spin-2 bosons loaded in a one-dimensional optical lattice. By using non-Abelian density matrix renormalization group (DMRG) method we identify three possible phases: ferromagnetic, dimerized, and trimerized phases. We sketch the phase boundaries based on DMRG. We illustrate two methods for identifying the phases. The first method is based on the spin-spin correlation function while in the second method one observes the excitation gap as a dimerization or a trimerization superlattice is imposed. The advantage of the second method is that it can also be easily implemented in experiments. By using the scattering lengths in the literature we estimate that \(^{87}\)Rb, \(^{23}\)Na, and \(^{85}\)Rb be ferromagnetic, dimerized, and trimerized respectively.

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Cold atomic gases have been actively studied in recent years for they offer us new possibilities of studying quantum many-body systems \(^1\). In the very early experiments of dilute Bose gas in a trap, Bose-Einstein condensation was beautifully observed directly \(^2\). We have also witnessed the realization of the Bose-Hubbard model and the observation of the superfluid-Mott transition \(^3\), a phenomenon theoretically predicted long ago but only observed recently. In this case, the presence of a lattice and the interatomic interaction actually destroy the superfluid, resulting in an “insulating” state. In \(^3\) and also the many following experiments, the Bosons are spin-polarized and so effectively spinless. However, Bose-Einstein condensation of bosons with spin degree of freedom has also been realized \(^4\). Hence it is natural to ask what would be the spin ordering of such Bosons in a lattice in the Mott-insulating state, where even though one is confined to integer number of particles per site, the spins of the neighboring sites can still interact via virtual tunneling. Indeed, this question is of much theoretical interest, as it can be easily shown that the effective spin-Hamiltonians one can realized for spinor bosons loaded in an optical lattice are very different from the Heisenberg-like Hamiltonians much studied in electronic systems \(^5\). Similarly, a two-component Bose system allows us to realize the XXZ spin-1/2 model \(^6\) much discussed in the theoretical literature.

In this paper, we consider spin-2 Bosons in a one-dimensional lattice. Spin-2 systems are already available and experimentally studied \(^7\)\(^-\)\(^10\). The theoretical phase diagram of spin-2 condensates is a function of the scattering lengths \(a_S\) in the spin \(S = 0, 2, 4\) channels \(^11\)\(^-\)\(^12\). It is divided into three regions, which are named ferromagnetic (F), polar (P) and cyclic (C) in Ref. \(^11\). For spin-2 Bosons with one particle per site in a higher dimensional lattice in the insulating phase, it can easily be shown that again the phase diagram is divided into three regions in the mean-field limit, in direct analogy to the Bose-condensed case \(^13\)\(^-\)\(^16\) (see also \(^17\)). In one-dimension (1D), however, strong quantum fluctuations are expected to substantially modify the phases. In particular, the polar and cyclic phases are no longer expected to be stable. These states break rotational symmetry, which implies the existence of linear Goldstone modes, and thus have diverging quantum fluctuations in 1D.

In this paper, we use non-Abelian density matrix renormalization group (DMRG) method to determine the general phase diagram for one particle per site. This regime is much more stable than multi-particles per site and thus has a much better chance of being realized experimentally. We find three phases, which are ferromagnetic (F), dimerized (D) and trimerized (T) phases. The ferromagnetic state has a macroscopic spin, and has large degeneracies arising from the choice of the spin projections. The dimerized phase has spontaneously broken lattice symmetry, with unit cell consisting of two lattice sites. The ground state is a spin singlet, with finite gaps to the first excited states. The trimerized phase is the most intriguing. At finite size with total number sites \(N\) multiples of 3, the system is gapped with a spin singlet ground state. The gap however approaches zero as \(N \to \infty\), resulting in a gapless phase. In this \(N \to \infty\) limit, there is also no broken lattice symmetry. In the following we shall discuss this general phase diagram and present numerical evidence leading to our claims. We further discuss the expected ground states for some available spin-2 elements and how the dimerized and trimerized phases can be obtained experimentally as well as being tested.

We begin with the Hamiltonian. Assuming only nearest neighbor interaction, it is given by

\[
H = \sum_{i=1}^{N-1} H_{i,i+1}
\]

where \(i\)’s denote the sites in increasing order, and \(N\) is the total number of sites. \(H_{i,i+1}\) can be written as

\[
H_{i,i+1} = \epsilon_0 P_{0,i,i+1} + \epsilon_2 P_{2,i,i+1} + \epsilon_4 P_{4,i,i+1},
\]

\(1\)

\(H_{i,i+1}\) is a function of the scattering lengths \(a_S\) in the spin \(S = 0, 2, 4\) channels. It is divided into three regions, which are named ferromagnetic (F), polar (P) and cyclic (C) in Ref. \(^11\). For spin-2 Bosons with one particle per site in a higher dimensional lattice in the insulating phase, it can easily be shown that again the phase diagram is divided into three regions in the mean-field limit, in direct analogy to the Bose-condensed case \(^13\)\(^-\)\(^16\) (see also \(^17\)). In one-dimension (1D), however, strong quantum fluctuations are expected to substantially modify the phases. In particular, the polar and cyclic phases are no longer expected to be stable. These states break rotational symmetry, which implies the existence of linear Goldstone modes, and thus have diverging quantum fluctuations in 1D.
where $P_{S_i, i+1}$ denotes projection operators for sites $i$ and $i + 1$ onto a state with total spin $S$. Within second order perturbation theory in the hopping $t$ between nearest neighbors, $\epsilon_S = -4t^2/U_S$ where $U_S$ is the Hubbard repulsion for two particles with spin $S$ on the same site. $U_S$ is proportional to $a_S$, the s-wave scattering length in the spin $S$ channel. For one-particle per site to be stable, we then need $U_S > 0$ for all $S = 0, 2, 4$, and hence $\epsilon_0, \epsilon_1, \epsilon_2, \epsilon_4 < 0$. Within DMRG, however, it is more convenient to explicitly express $H_{i, i+1}$ in terms of spin-2 operators $S_i$, resulting

\[
H_{i, i+1} = \sum_{n=1}^{4} \alpha_n (\epsilon_0, \epsilon_2, \epsilon_4) (S_i \cdot S_{i+1})^n,
\]

where $\alpha_1 = -1/3\epsilon_0 - 20/21\epsilon_2 + 1/48\epsilon_4$, $\alpha_2 = -17/180\epsilon_0 - 1/9\epsilon_2 + 1/40\epsilon_4$, $\alpha_3 = +1/45\epsilon_0 - 1/18\epsilon_2 + 1/180\epsilon_4$, and $\alpha_4 = +1/180\epsilon_0 - 1/126\epsilon_2 + 1/2520\epsilon_4$. Since it is crucial to identify the total spin for ground and excited states, we use non-Abelian DMRG [21] which allows us to find the lowest energy state in different total spin sectors: $S_{\text{tot}} = 0, 1, 2, 3, 4$, and $N$. In this work we take $N$ up to 60 with open boundary condition (OBC), the number of states kept $m = 400$ and perform more than 20 sweeps to ensure the convergence. We note that though Eq. (2) is more useful for numerical computation, it is more convenient to discuss the physics using Eq. (1), which we shall do below.

Since the phase diagram cannot depend on the overall energy scale, we plot the phase diagram in terms of the new variables $(x_0, x_2, x_4) \equiv (\epsilon_0, \epsilon_2, \epsilon_4)/(|\epsilon_0 + \epsilon_2 + \epsilon_4|)$. By definition $0 \leq x_{0,2,4} \leq 1$ and $x_0 + x_2 + x_4 = 1$. It is therefore convenient to present our results using ternary phase diagram, where $x_{0,2,4}$ are depicted as positions in an equilateral triangle. We place the points $(0, 0, 1), (1, 0, 0), (0, 1, 0)$ at the lower left, lower right, and upper corner of the triangle. The coordinates $(x_0, x_2, x_4)$ at a given point within the triangle are to be read off by drawing parallel lines towards the edges and then reading off the intersections. Our main result is as shown in Fig. 1.

To describe and understand the results, we begin by giving the mean-field phase diagram as a useful reference. As mentioned, this can be found in direct analogy with the Bose-condensed case [11, 12] (see also [13, 14, 17]). The stability region for each phases are (in the form of [11])

$$F: \quad \epsilon_2 - \epsilon_4 > 0, \quad (\epsilon_0 - \epsilon_1) + \frac{10}{7}(\epsilon_2 - \epsilon_4) > 0$$

$$P: \quad \epsilon_0 - \epsilon_4 < 0, \quad |\epsilon_0 - \epsilon_4| > \frac{10}{7}|\epsilon_2 - \epsilon_4| \quad (3)$$

$$C: \quad \epsilon_2 - \epsilon_4 < 0, \quad (\epsilon_0 - \epsilon_4) - \frac{10}{7}(\epsilon_2 - \epsilon_4) > 0$$

These are plotted as black-solid lines in Fig. 1. F, P, and C occupy respectively the lower left, lower right, and upper part of the triangle. Since the mean-field energies of the phases must be linear functionals of $\epsilon_S$’s, the phase boundary are straight-lines. They all originate from the point where $x_0 = x_2 = x_4 = 1/3$, the center of the triangle, where all three phases are degenerate. The intersection points at the edges are: FP: $(17/24, 0, 7/24)$, PC: $(10/17, 7/17, 0)$, CF: $(0, 1/2, 1/2)$.

Now we proceed to describe our phase diagram based on DMRG. Before we discuss each phase in detail, we summarize our finding as follows: The polar phase is replaced by the dimerized phase, while the cyclic phase is replaced by the trimerized phase. The FD and DT lines (no longer straight-lines) are shifted away from the FP and PC lines but the FT line remains indistinguishable with the CF line. The phase diagram is easiest to understand for the lower left region where $x_4 > x_0/2$. It is clear that the system would like to acquire the largest possible total spin. For $N$ sites, the total spin is $S = 2N$. For this state, any two neighboring sites have total spin $4$ and the bond energy is $\epsilon_4$, the smallest possible value. The ground state is thus $4N + 1$ fold degenerate. From our DMRG calculations we find that the stability region for this state F actually extends slightly beyond $x_4 > x_0$.

For the rest of the phase diagram, it is more convenient to first consider finite $N$. Later, we shall mention how these pictures are modified as $N \to \infty$.

We find that the lower right region of the triangle is occupied by the dimerized phase, where the unit cell is doubled, and the system is in a non-degenerate singlet state. In upper-right inset of Fig. 1 we provide an example for the spin-spin correlation function between neighboring sites, where the parameters of $^{23}$Na are used. The correlation function clearly shows a “strong-weak” dimer pattern. This phase is in direct analogy with the spin-1 case [22]. The ground state for $N = 2p$ sites can be most simply understood by first considering the case of
where one every three bonds are weakened by a $\lambda_3$ factor
\( (H_{3i,3i+1} \to \lambda_3 H_{3i,3i+1}, \quad 0 \leq \lambda_3 \leq 1) \). For $\lambda_3 \to 0$, the
system break up into $N/3$ subsystems, each one with a
singlet ground state just described and the whole system
is maximally trimerized. The system at $\lambda_3 = 0$ is hence
gapped, and is stable towards increasing $\lambda_3$ from 0. In
Fig. 2 (right panel) we show the gap as a function of
$\lambda_3$. We find that the gap decreases monotonically with
$\lambda_3$ but always remains finite, indicating that the ground
state is adiabatically connected to the trimerized ground
state of the $\lambda_3 = 0$ limit.

It is also instructive to study the behavior of the gap
when a wrong superlattice is imposed. Consider a state
in the dimerized (trimerized) phase. If one imposes a
dimerization (dimerization) superlattice with strength
$\lambda_3(\lambda_2)$, the system will be converted into dimerized
(dimerized) phase as $\lambda_3(\lambda_2) \to 0$. As a result one ex-
pects that there is a qualitative change in the ground
state when $\lambda_3(\lambda_2)$ is varied from 1 to 0, and the gap
must vanish at a transition point. In Fig. 2 we also plot
the gap as the function of $\lambda_2$, when such a wrong super-
lattice is imposed. We observe that the gap does vanish
before $\lambda_{2,3}$ reaches zero, which provides an alternative
confirmation for the nature of the phases.

Now we consider $N \to \infty$. For the dimerized phase,
our description above remains valid, except that the mag-
nitude of the gap becomes smaller. (Fig 2 full symbols).
That is, the system remains gapped, unless a sufficiently
strong “wrong” superlattice is imposed. For the trimer-
ized phase, however, the situation is slightly different.
Without any superlattice, the gap vanishes as $1/N$ as
$N \to \infty$. That is, the system becomes gapless. Also,
trimer order parameter defined by the appropriate sums
and differences of the neighboring spin-spin correlation
vanishes as $N \to \infty$ (not shown). Nevertheless,
for any finite $\lambda_3$, we find that the gap remains finite as
$N \to \infty$. The gapped region as a function of $\lambda_{2,3}$ and
$1/N$, as well as the gap dependence, are shown in Fig. 2

It is natural to ask what are the expected phases of
some of the available spin-2 elements. Using scattering
lengths available in the literature \[24\], we find that $^{87}$Rb
and $^{23}$Na should be in the ferromagnetic and dimerized
state respectively. For $^{87}$Rb, however, the spin-spin cor-
relation only shows a very weak trimer-like pattern (not
shown). We hence resort to use the method of imposing
superlattices. We impose both trimerization and dimer-
ization superlattices and calculate the gap as a function
of $\lambda_{2,3}$. We find that the gap monotonically increases
under trimerization superlattice but decreases to zero under
dimerization superlattice. This strongly suggests that the
$^{87}$Rb is indeed in trimerized phase.

Spin-2 bosons in 1D insulating lattice has been stud-
ied theoretically before in Ref. \[25\]. Qualitatively, their
picture agrees with ours for the lower left and lower right
corners of the triangle, where they find ferromagnetic and
dimerized phase respectively. However, our results are
FIG. 3: (Color online) “Phase-diagram” (top panels) and energy gaps (lower panels) as a function of $N$ and $\lambda_{2,3}$. The red dotted lines indicate where the system becomes gapless. Left column, parameters according to $\lambda_1=0$; right column, the point $(0, 1, 0)$.

qualitatively different for the rest of the phase diagram. Near the center of the triangle but excluding the ferromagnetic regime, they claim to have nematic and cyclic phases. We do not find these states to be stable. Our result is also different in the region in the upper corner. There, they proposed in fact two phases for this region, which they named “cyclic” para-dimers and “para-dimerized” para-dimers. In their picture, every two sites form an effective spin 2 “para-dimers”, and these para-dimers either form a cyclic state, or more complicated composite objects which eventually have a cyclic order parameter. Trimerization was not discussed in their paper.

Lastly we discuss how our picture of the dimerized and trimerized state can be tested experimentally. There have now been many discussions on how to detect spin ordering for atomic gases in optical lattices [24, 27]. We here, however, would like to point out a simple scheme particularly suitable for our states based on the adiabatic deformation of Hamiltonian by imposing superlattices as just discussed. Superlattices can easily be created [28], and actually has already been utilized for preparation and detection of certain spin-ordering states [29]. In our scheme, by applying an optical potential with two or three lattice spacing and changing the laser intensity for this optical potential, one can tune the parameter $\lambda_{2,3}$ defined above since the tunneling amplitude $t$ decreases with increasing potential barrier. A trimerized(dimerized) state is then characterized by a monotonic increase of the gap as a function of $\lambda_3(\lambda_2)$ and the gap closing before $\lambda_2(\lambda_3)$ reaches zero. Furthermore, by reversing the above argument, a trimerization(dimerization) superlattice can also allow us to prepare the trimerized(dimerized) state by first preparing the corresponding system with $\lambda_{3,2} = 0$ and then gradually increasing it until it reaches 1.

In summary we have studied the phase diagram of spin-2 bosons in 1D optical lattice using non-Abelian DMRG. We identify three possible phases, namely ferromagnetic, dimerized, and trimerized, where the trimerized phase was not proposed in the literature. We demonstrate that by imposing proper superlattices and observing the excitation gap, the phase of interest can be identified or prepared based on the adiabatic connection of the ground state. Such a procedure can be implemented in cold-atom experiments and provide a simple scheme to test the phase diagram experimentally.

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