Cryogenic cave carbonates in the Dolomites (northern Italy): insights into Younger Dryas cooling and seasonal precipitation

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Received: 5 August 2020 – Discussion started: 25 August 2020
Revised: 18 February 2021 – Accepted: 24 February 2021 – Published: 6 April 2021

Abstract. In the European Alps, the Younger Dryas (YD) was characterised by the last major glacier advance, with equilibrium line altitudes being ∼220 to 290 m lower than during the Little Ice Age, and also by the development of rock glaciers. Dating of these geomorphic features, however, is associated with substantial uncertainties, leading to considerable ambiguities regarding the internal structure of this stadial, which is the most intensively studied one of the last glacial period. Here, we provide robust physical evidence based on 230Th-dated cryogenic cave carbonates (CCCs) from a cave located at 2274 m a.s.l. in the Dolomites of northern Italy coupled with thermal modelling, indicating that early YD winters were only moderately cold in this part of the Alps. More precisely, we find that the mean annual air temperature dropped ≤3 °C at the Allerød–YD transition. Our data suggest that autumns and early winters in the early part of the YD were relatively snow-rich, resulting in stable winter snow cover. The latter insulated the shallow subsurface in winter and allowed the cave interior to remain close to the freezing point (0°C) year-round, promoting CCC formation. The main phase of CCC precipitation at ∼12.2 ka coincided with the mid-YD transition recorded in other archives across Europe. Based on thermal modelling we propose that CCC formation at ∼12.2 ka was most likely associated with a slight warming of approximately +1 °C in conjunction with drier autumns and early winters in the second half of the YD. These changes triggered CCC formation in this Alpine cave as well as ice glacier retreat and rock glacier expansion across the Alps.

1 Introduction

The last glacial period in the Northern Hemisphere (from 119 to 11.7 thousand years (ka) before present; Rasmussen et al., 2014) was characterised by abrupt climate shifts from cold and commonly arid stadials to mild and more humid interstadials. The youngest of these stadials is known as the Younger Dryas (YD or Greenland Stadial (GS) 1, ∼12.8 to 11.7 ka; Rasmussen et al., 2014) and was a period when Northern Hemisphere temperatures returned to near-glacial levels, interrupting the last termination.

The YD is among the most extensively studied periods in the late Quaternary due to the availability of high-resolution palaeoclimate records such as ice, marine and lacustrine sediment cores. Still, the forcing mechanism(s) for this cold episode remain debated (Alley, 2000; Baldini et al., 2018; Brauer et al., 2008; Broecker et al., 2010; Isarin et al., 1998; Renssen et al., 2015). The most widely accepted model invokes a near shutdown of the Atlantic Meridional Overturning Circulation (AMOC) as a result of a major meltwater injection into the North Atlantic Ocean (e.g. Broecker et al., 1989) and the concomitant large-scale reorganisation of the westerlies due to extensive winter sea-ice formation (e.g. Bakke et al., 2009; Brauer et al., 2008). In a recent study us-
ing high-resolution speleothem records from Europe as well as the Asian monsoon and South American monsoon regions, Cheng et al. (2020) demonstrated a north-to-south climate signal propagation via both atmospheric and oceanic processes at the onset of the YD. The authors also suggested that unlike other DO events, the termination of the YD was most probably initiated by a change in the western tropical Pacific and the Southern Hemisphere, resulting in a strengthening of the AMOC.

The slowdown of the AMOC at the onset of the YD and the resulting southward displacement of the polar front and the westerlies led to cold conditions in N and NW Europe during prolonged winters (e.g. Broecker, 2006; Denton et al., 2005). In a recent study using a European-wide compilation of plant indicator species, Schenk et al. (2018) suggested that YD summers remained relatively warm despite the AMOC slowdown, with temperature decreases of 4.3 °C in NW Europe and 0.3 °C in E Europe relative to the preceding Bølling–Allerød interstadial (Greenland Interstadial (GI) 1). Using climate model simulations, Schenk et al. (2018) attributed relatively warm summers to atmospheric blocking induced by the Fennoscandian Ice Sheet, preventing the penetration of cold westerly air masses into Europe during the short summers. In contrast, blocking was almost absent during YD winters (Renssen et al., 1996). Overall, proxy data suggest that the YD climate was dominated by high seasonality and continentality across Europe with large meridional summer temperature gradients (e.g. Heiri et al., 2014a). Changes in winter climate were likely disproportionally larger (e.g. Broecker, 2006), but quantitative understanding of the YD climate remains heavily biased towards the summer given the scarcity of winter proxy data.

Speleothem-based palaeotemperature reconstructions from the Jura Mountains in northern Switzerland suggest a large drop in the mean annual air temperature (MAAT) of up to −10 °C during the YD (Affolter et al., 2019; Ghadiri et al., 2018), while preliminary results of a similar study from a cave in western Austria suggest a much smaller difference (5.5 °C) (Luetscher et al., 2016). Rock glacier records from the Err–Julier area of the SE Swiss Alps argue for an even smaller cooling of only up to 3–4 °C (Frauenfelder et al., 2001).

More recently, evidence for a time-transgressive climate shift midway through the YD has been reported from lacustrine sediments (e.g. Bakke et al., 2009; Brauer et al., 2008; Schlolaut et al., 2017), speleothems (Baldini et al., 2015; Bartolomé et al., 2015; Rossi et al., 2018) and marine sediments in Europe (Naughton et al., 2019). This climate shift has been attributed to a gradual northward movement of the polar front driven by the resumption of the AMOC and concomitant sea-ice retreat in the North Atlantic. The earliest indication of a climate shift during the mid-YD is recorded by a stalagmite from the Pyrenees, showing a gradual transition from dry to wet conditions starting at 12.45 ka (Bartolomé et al., 2015). It took about 300 years for this shift to propagate to central and finally to northern Europe, where it is documented as a rapid change in Ti content and varve thickness (Bakke et al., 2009; Lane et al., 2013). While many records from SW (Baldini et al., 2015; Bartolomé et al., 2015; Naughton et al., 2019; Rossi et al., 2018) and N Europe indicate that the first half of the YD was colder and drier than the second one, biomarker data from lacustrine sediments of the Gemündener Maar in W Germany suggest an opposite trend (Hepp et al., 2019).

In the Alps, climate information about the YD has been traditionally derived from studies of lake sediments (e.g. von Grafenstein et al., 1999; Heiri et al., 2014a; Lauterbach et al., 2011) and glacier reconstructions (e.g. Ivy-Ochs et al., 2009; Kerschner et al., 2000; Kerschner and Ivy-Ochs, 2008; Moran et al., 2016), complemented by a few cave records (Li et al., 2020; Luetscher et al., 2016; Wurth et al., 2004). Studies on the internal structure of the YD, however, are rare and compromised by poor dating resolution, limiting our understanding of the mechanism(s) of the proposed mid-YD climate shift. Alpine paleo-glacier records suggest an early YD glacier maximum between about 13.5 and 12.0 ka attributed to a combination of low temperatures and enhanced precipitation differences between the northern, central and southern part of the Alps (Ivy-Ochs, 2015; Kerschner et al., 2016; Kerschner and Ivy-Ochs, 2008). Equilibrium line altitude reconstructions show that the inner zone of the Alps received ∼20 %–30 % less precipitation than today, mostly due to a decrease in winter precipitation, while annual precipitation in the southern Alps was probably similar to modern values (Kerschner et al., 2016). Palaeoglaciars from the southern Alps show evidence of a double response, whereby the outermost and innermost moraines stabilised at ∼12.3 ± 0.7 ka and before 11.2 ± 0.8 ka, respectively (Baroni et al., 2017; Ivy-Ochs et al., 2009).

In this study we focus on the Dolomites in the southern Alps and provide seasonally resolved insights into the climate of the YD from cave deposits anchored by a precise 230-Th chronology. Given that the polar front reached as far south as the Alps during the early YD (Lane et al., 2013), this site is sensitive enough to track the northward migration of the polar front during the later YD. Rather than examining stalagmites commonly used in speleothem-based palaeoclimate research, we utilise a rather novel speleothem variety which provides a uniquely robust temperature control: coarsely crystalline cryogenic cave carbonate (CCC for short). The leading genetic model envisages CCC formation under degrading permafrost conditions (e.g. Žák et al., 2018). Water ingresses into the cave when the seasonally thawing active layer of permafrost intersects the ceiling of the cave chamber while most of the chamber is still within the permafrost, resulting in cave ice formation. Further climate warming leads to progressive degradation of permafrost and the cave air temperature slowly rises to 0 °C. Drip water creates meltwater pools in the cave ice bodies that freeze slowly, triggering the precipitation of CCCs. Regardless of the de-
tails of this model, the key point is that CCCs form within perennial cave ice at stable temperatures very close to 0°C (Žák et al., 2018).

Using a high-Alpine cave whose palaeothermal regime is assessed by heat-flow modelling, we use CCC data to argue against strong winter cooling during the early YD in the southern Alps. Furthermore, we demonstrate that CCC formation in the shallow subsurface provides evidence of a maximum of 1–2°C annual warming at the mid-YD transition and suggests that autumns and winters may have become slightly drier in the second half of the YD.

2 Study site

Cioccherloch (Pozzo F22 Alpe di Fosses, cave cadaster no. 4977 V BL) is a single-entrance cave opening at 2274 m a.s.l. on the karst plateau of the Sennes region in the Dolomites (Fig. 1). The cave has approximately 250 m of passages. The entrance shaft is 20 m deep and intersects a subhorizontal cave level. A snow and ice cone is present at the bottom of this shaft fed by winter snow sliding down the shaft. Separated by a narrow squeeze which was excavated by cavers, a separate branch of the cave 60 m long and up to 10 m high descends from this upper cave level to approximately 55 m below the surface. Near the lower end of this gallery CCCs were discovered for the first time in the Dolomites (Fig. 1).

The air temperature at the CCC site monitored over a 1-year period averages 2.5°C with minimum values (2.2°C) in January to March and maximum values (2.7°C) in November. These data show that the cave chamber is in thermal equilibrium with the outside MAAT at this elevation, obtained from nearby weather stations at Rossalm (2340 m a.s.l.) and Piz la Ila (2050 m a.s.l.) located less than 10 km from the study site. The mean air temperature is 2.2 and 3.3°C at Rossalm and Piz la Ila, respectively (2015–2018; data source: Hydrographisches Amt, Autonome Provinz Bozen–Südtirol). The air temperature is 2°C with minimum values (2.2°C) at 70 m depths from the surface. Final ages are given in years before present (before CE 1950).

3 Methods

3.1 Fieldwork

CCC occurrences were mapped and samples were collected from five distinct heapslabelled A to E (Supplement Fig. S1). In addition, a small in situ stalagmite was taken from the same chamber. Cave air temperature was recorded on an hourly basis using a Hobo Temp Pro v2 logger (Onset Computer Corp.) between August 2016 and July 2017.

3.2 Morphological characterisation

CCC samples were cleaned in an ultrasonic bath prior to documentation and laboratory analyses. Individual morphologies were examined using a Keyence VHX-6000 digital microscope.

3.3 Stable isotope analyses

CCC samples were analysed for their stable oxygen and carbon isotope composition using isotope ratio mass spectrometry (Spötl and Vennemann, 2003). In addition, two larger CCC particles were cut in half and micromilled at 0.1 to 0.3 mm resolution. The results are reported relative to the VPDB standard with a long-term precision better than ±0.08 ‰ (1σ) for both δ13C and δ18O.

3.4 230Th dating

A total of 19 individual CCC particles were selected for 230Th dating; 15–20 mg of calcite was drilled using a handheld drill from the centre of 17 crystals in a laminar flow hood. Two skeletal CCC crystals were analysed as a whole as they were too small for aliquots to be drilled from them. Growth layers of a stalagmite (Cioc1) collected next to the CCC spots were drilled at three discrete horizons (2, 24 and 50 mm from the top) and prepared for analyses.

Ages were determined by measuring U and Th isotope ratios on a multi-collector inductively coupled mass spectrometer after their chemical separation following Edwards et al. (1987) and Cheng et al. (2013). Analyses were performed at Xian Jiaotong University (China); 2σ uncertainties for U and Th isotopic measurements include corrections for blanks, multiplier dark noise, abundance sensitivity and contents of the same nuclides in the spike solution. Decay constants for 230Th and 234U were reported by Cheng et al. (2013). Corrected 230Th ages assume an initial 230Th/232Th atomic ratio of (4.4 ± 2.2) × 10−6 and a 232Th/238U value of 3.8 as the value for material at secular equilibrium with the bulk earth. Final ages are given in years before present (before CE 1950).

3.5 Thermal modelling

Heat conduction from the surface to 70 m depths was modelled using a 1D heat-flow model (https://doi.org/10.5281/zenodo.3982221) that considers conductive heat transfer only and solves the heat equation (Eq. 1) utilising finite differences as space discretisations alongside a forward Euler time-stepping scheme, stabilised with a diffusion-type Courant–Friedrichs–Lewy condition. The heat equation (Eq. 1) is described as

$$\frac{\partial T}{\partial t} = \alpha \frac{\partial^2 T}{\partial z^2}. \tag{1}$$
where $\alpha$ is the thermal diffusivity, $T$ is temperature and $z$ is the vertical coordinate. The model assumes a homogenous host rock with no internal heat generation. Thermal diffusivity ($\alpha$) of the limestone was set to $1.2 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ (Hanley et al., 1978) to account for some air-filled porosity.

As the lower boundary condition for the model, the ground heat flux was set to 0 to account for the presence of the shaft in the entrance zone of the cave, allowing exchange with the ambient air in this upper part of the cave above the CCC-bearing gallery and suppressing the minor effect of the geothermal heat flux. Modelling results are shown as MAAT against depth below the surface (Supplement Figs. S2–S4).

3.5.1 Scenario 1 – Allerød interstadial

We performed a series of model runs simulating possible climate scenarios for the YD (Table 1). Palaeotemperature estimates are based on published regional annual and summer air temperature reconstructions. All experiments started with a 1000-year-long Allerød climate (scenario 1) and a $2{\,}^\circ\text{C}$ drop in MAAT compared to the present day (i.e. $\Delta\text{MAAT}_{\text{Modern-Allerød}} = -2{\,}^\circ\text{C}$), consistent with regional proxy data (e.g. Ilyashuk et al., 2009). As stalagmite Cioc1 was actively growing in the Bølling interstadial (see the Results section), we assume that no permafrost was present at the site at the start of the interstadial. Hence, a surface ground temperature of $1{\,}^\circ\text{C}$ was used.

3.5.2 Scenario 2 – stadial conditions during the early YD

The model output of scenario 1 was used as the starting condition for all scenario 2 runs. Scenarios 2a to 2e were used to model the evolution of subsurface conditions during the early YD. As the mid-YD transition was determined at 12 240 ± 40 varve years before present at Meerfelder Maar,
Germany (Lane et al., 2013), scenario 2 models were run for 610 years (i.e. from 12.85 to 12.24 ka).

As a first step we modelled the penetration of the seasonal signal without the presence of winter snow (scenarios 2a, 2b, 2d) to provide an endmember for early YD cooling. Scenario 2a was forced with a large atmospheric cooling (ΔMAAT_{Allerød-early YD} = −7 °C) in accordance with speleothem-based palaeotemperatures from northern Switzerland (Affolter et al., 2019; Ghadiri et al., 2018). This experiment used a MAAT of −6.5 °C (i.e. −20 °C in January; Table 1) to model the thermal evolution of the subsurface. In scenario 2b we applied a smaller cooling of ΔMAAT_{Allerød-early YD} = −3 °C (i.e. MAAT of −2.5 and −13 °C in January; Table 1), as suggested by stalagmite fluid inclusion data from western Austria (Luetzsch et al., 2016). Scenario 2d considers an even smaller cooling (ΔMAAT_{Allerød-early YD} = −2.5 °C), as suggested by rock glacier records from the Err–Julier area of the southern Alps (Frauenfelder et al., 2001), and a MAAT of −2 °C was used (i.e. 12 °C in January; Table 1).

In a second step we included the buffering effect of a stable winter snowpack insulating the ground from the winter chill. This buffering effect (expressed as snow ΔT) was set to its maximum in scenarios 2c and 2e to test if a similar amplitude of cooling investigated in scenarios 2b and 2d (Table 1) would allow CCC formation in the presence of winter snow cover. Experiment 2c used a MAAT of −2.5 °C and simulated the maximum possible buffering of the winter snowpack of 5 °C (i.e. snow ΔT of 5 °C), resulting in a mean annual effective temperature (MAET) at the base of the snowpack of −1.3 °C (Table 1).

In scenario 2e the thermal buffering of the snow cover was set again to its maximum value, and we used a snow ΔT of 4.7 °C in combination with a MAAT of −2 °C, resulting in a MAAT of −0.9 °C (Table 1). Measurements in modern permafrost areas in NE China have suggested mean ground surface temperatures to be up to 9 °C higher, with 10–25 cm of snow cover during winter, resulting in an annual net thermal effect (i.e. snow ΔT) of 3 to 5 °C for 10 to 40 cm thick snow cover (Zhang et al., 2005). Similar values were reported from the permafrost regions of the Arctic in Russia (Zhang et al., 2005). Thus, ΔT values of 5 and 4.7 °C are considered to be realistic for the YD at this Alpine setting.

### 3.5.3 Scenario 3 – stadial conditions during the late YD

Three simulations investigate the influence of climate change at the mid-YD transition. Scenario 3a simulates a rapid reduction in winter snow cover without a temperature change with respect to scenario 2e (Table 1). We kept the MAAT constant at −2.0 °C and reduced snow ΔT from 4.7 to 2.0 °C (Table 1).

In scenarios 3b and 3c we applied a warming of +1 °C in MAAT compared to scenario 2c. In these two runs summer temperatures were kept constant and a small amount of winter warming was allowed. We kept the climate warming at 1 °C (i.e. ΔMAAT_{Allerød-late YD} = −2 °C) because a larger warming would result in a climate similar to the preceding Allerød. While scenario 3b only considers atmospheric warming, we allow some late summer and autumn snow accumulation in scenario 3c (snow ΔT = 2.5 °C; Table 1).

### 4 Results

#### 4.1 CCC morphology

CCCs occur as loose crystals and crystal aggregates in small heaps on and partly underneath five breakdown blocks (Supplement Fig. S1). Individual crystals and aggregates thereof come in a variety of shapes and sizes, the largest reaching 1.4 cm in length. Morphologies include amber-coloured crystals and crystal aggregates of rhombic, raft, beak-like and split crystal habits (Supplement Fig. S1). Split and beak-like crystals are most abundant (heaps A, B and D). Translucent skeletal crystals are rarely present in heaps B and D but are dominant in C and E.

#### 4.2 Stable isotope composition

CCCs show high δ^{13}C values varying from 1.3 ‰ to 5.4 ‰ and low δ^{18}O values ranging from −21.8 ‰ to −10.1 ‰.
while a 5 mm rhombohedral crystal shows
≤
 in slowly freezing pockets of water enclosed in cave ice. A
2018, and references therein), confirming their precipitation
(Fig. 2, Supplement Table S1). These values fall within
for comparison (unpublished data by the authors).

Figure 2. Stable isotope composition of CCC from Cioccherloch
Cave. Samples from different CCC heaps are colour-coded. Values
of two Holocene stalagmites from the same cave gallery are shown
for comparison (unpublished data by the authors).

(2.2 ppm). The resulting
230
Th ages
demonstrate that stalagmite growth commenced during the
late glacial at 14.98 ± 0.14 ka (Table 2). Petrographic analy-

60

4.4 Thermal modelling

We performed a series of model runs covering possible cli-
mate scenarios for the YD (Table 1) to explore the relation-
ship between atmospheric temperature changes and the tem-
perature 50 m below the surface at this high-elevation site. We
define the thermal boundary conditions for CCC forma-
tion as −1 to 0 °C (the “CCC window” – see the Discussion
section) whereby the likelihood of CCC formation is highest
between −0.5 and 0 °C. Palaeotemperature estimates used in
these computations are based on regional annual and summer
air temperature reconstructions.

4.4.1 Scenario 1 – Allerød interstadial

In this scenario we simulated an interstadial similar to the
1000-year-long Allerød preceding the YD. This experiment
shows that after 1000 years a temperature of 0.5 °C is reached
at 50 m of depth (Supplement Fig. S2).

4.4.2 Scenario 2 – stadial conditions during the early
YD

In the next five experiments (scenarios 2a–2e) we explored
the timing of perennial cave ice development and tested
whether water pockets in cave ice could have experienced
slow freezing during an early YD characterised by cold sta-
dial conditions.

The results for scenario 2a show that the atmospheric cool-
ing rapidly propagates into the subsurface, resulting in the
development of permafrost down to 50 m of depth in less
than 50 years (Fig. 3a, Supplement Fig. S3a) in the case of
a −7 °C cooling compared to the preceding Allerød intersta-
dial.

Modelling results (scenario 2b) show that the cave 50 m
below the surface cools to −1 °C in about 100 years in the
case of an extremely dry YD characterised by a −3 °C drop
in MAAT compared to the Allerød interstadial (Fig. 3b, Sup-
plement Fig. S3b). The presence of a snowpack (scenario 2c)
delays this subsurface cooling and results in temperatures at
the CCC site between 0.5 and −1 °C within 150 years from
the start of the atmospheric cooling (Fig. 3c, Supplement
Fig. S3c).

The last two early YD experiments (scenarios 2d and 2e)
consider even milder winters (ΔMAATAllerød-early YD =
−2.5 °C, Table 1). With no winter snow cover (scenario 2d)
the temperature at the CCC site reaches −0.5 °C after ∼ 60
years and drops below −1 °C (i.e. it leaves the CCC window)
after 100 years (Fig. 3d, Supplement Fig. S3d). On the other

4.3 230
Th dating

The
238
U concentration
of
CCC samples varies from 0.8
to 2.2 ppm (Table 2). Four samples yielded
230
Th /
232
Th atomic ratios less than 100 × 10−6, indicating significant de-
trital contamination (Table 2), and the corresponding ages
were excluded. The 2σ precision of the remaining 13 ages
ranges from 0.4 % to 2.7 %.

All CCC ages fall within the YD (as defined by Rasmussen
et al., 2014), whereby individual ages show a spread from
12.61 ± 0.22 to 12.08 ± 0.19 ka. Although most of the ages
overlap within their 2σ errors, some
230
Th ages suggest that
CCC formation commenced at the beginning of the YD (i.e.
12.61 ± 0.22 ka). On the other hand, the error-weighted mean
of all ages is 12.19 ± 0.06 ka, and 90 % percent of
230
Th dates cluster at ∼ 12.2 ka. There is no systematic age
difference between samples from individual heaps or between
different CCC morphologies.

In contrast to CCC, the
238
U concentration of stalagmite
Cioc1 is much lower (∼ 0.5 ppm). The resulting
230
Th ages
demonstrate that stalagmite growth commenced during the
late glacial at 14.98 ± 0.14 ka (Table 2). Petrographic analy-

60
In contrast, even the lack of winter snow cover. This scenario is not compatible
with stable conditions in autumn and early winter compared to the early YD
period of time (Fig. 3e, Supplement Fig. S3e).

### 4.4.3 Scenario 3 – stadial conditions during the late YD

In the first late YD experiment (scenario 3a) we examined how permafrost conditions would change with increasing aridity in autumn and early winter compared to the early YD (scenario 2e), resulting in a reduction in winter snow cover. As the insulating effect of the winter snowpack is reduced, the depth zone of the CCC site experiences rapid cooling and approaches \(-1.8^\circ\)C after 100 years, leading to permafrost development, which is inconsistent with stable conditions near \(0^\circ\)C required for CCC formation (Fig. 4a, Supplement Fig. S4a).

The results for scenario 3b demonstrate that even though winters become slightly less cold, the subsurface at 50 m of depth neverthless cools from \(-1.3\) to \(-1.5^\circ\)C due to the lack of winter snow cover. This scenario is not compatible with CCC formation as it leads to permafrost aggradation (Fig. 4b, Supplement Fig. S4b). In contrast, even the presence of moderate snow cover (scenario 3c) would allow the subsurface at 50 m of depth to slowly warm to \(-1^\circ\)C after 75 years of atmospheric warming (Fig. 4c, Supplement Fig. S4c), creating favourable conditions for slow freezing of liquid water pockets in the cave ice introduced by dripping water.

### 5 Discussion

#### 5.1 Conditions close to \(0^\circ\)C in the shallow subsurface

CCCs form in slowly freezing water pockets enclosed in cave ice when the cave interior temperature is slightly below \(0^\circ\)C (e.g. Žák et al., 2018). Although the deposition of CCCs in many cases marks climate transitions (e.g. Luetscher et al., 2013; Richter and Riechelmann, 2012; Spötl and Cheng, 2014; Spötl et al., 2021; Žák et al., 2012), the large size of some CCCs (up to 50 mm in caves elsewhere; unpublished data from our group) and \(^{230}\text{Th}\) ages from their central and rim areas (unpublished data from our group) argue for very stable cave microclimate conditions for at least several years.

CCCs (Table 2) provide unequivocal evidence that perennial ice was present in the lower descending gallery of Ciccherloch during the first part of the YD (Fig. 5). To evaluate the likelihood of CCC formation, the kernel density of all

| Sample    | \(^{230}\text{U}\) (ppb) | \(^{232}\text{Th}\) (ppb) | \(^{230}\text{Th}\)\(^{232}\text{Th}\) (atomic \(\times 10^{-8}\)) | \(^{234}\text{U}\) (measured) | \(^{230}\text{Th}\) \(^{238}\text{U}\) age (ka) (uncorr.) | \(^{230}\text{Th}\) \(^{238}\text{U}\) age (ka) (corr.) |
|-----------|------------------------|----------------------|--------------------------------|---------------------------|---------------------------------|---------------------------------|
| FOS12-A10 | 1883 ± 2               | 18,024 ± 361         | 208 ± 4                         | 121.9 ± 1.3               | 0.1208 ± 0.0006                 | 12.40 ± 0.07                     |
| FOS12-A12 | 1139 ± 2               | 53,466 ± 1074        | 46 ± 1                           | 124.8 ± 2.2               | 0.1303 ± 0.0007                 | 13.40 ± 0.08                     |
| FOS12-A3a | 1861 ± 3               | 41,219 ± 828         | 93 ± 2                           | 125.0 ± 2.1               | 0.1252 ± 0.0006                 | 12.83 ± 0.07                     |
| FOS12-A3b | 1810 ± 3               | 20,310 ± 408         | 185 ± 4                          | 123.0 ± 2.1               | 0.1261 ± 0.0008                 | 12.96 ± 0.09                     |
| FOS12-A1  | 1480 ± 9               | 934 ± 21             | 3113 ± 70                        | 121.4 ± 2.9               | 0.1191 ± 0.0009                 | 12.22 ± 0.10                     |
| FOS12-A2  | 1893 ± 19              | 29,808 ± 666         | 128 ± 3                          | 120.5 ± 4.4               | 0.1225 ± 0.0014                 | 12.60 ± 0.16                     |
| FOS12-A3  | 1466 ± 2               | 15,563 ± 312         | 192 ± 4                          | 122.6 ± 1.5               | 0.1235 ± 0.0003                 | 12.68 ± 0.03                     |
| FOS12-B4  | 1492 ± 3               | 24,543 ± 493         | 127 ± 3                          | 124.0 ± 2.1               | 0.1268 ± 0.0004                 | 13.02 ± 0.05                     |
| FOS12-B5  | 1790 ± 4               | 77,634 ± 1564        | 53 ± 1                           | 121.8 ± 2.5               | 0.1382 ± 0.0006                 | 14.30 ± 0.08                     |
| FOS12-B6  | 1978 ± 2               | 6288 ± 126           | 622 ± 13                         | 122.4 ± 1.4               | 0.1190 ± 0.0003                 | 12.30 ± 0.04                     |
| FOS12-B10 | 2095 ± 4               | 19,878 ± 339         | 211 ± 4                          | 121.1 ± 2.1               | 0.1213 ± 0.0006                 | 12.47 ± 0.07                     |
| FOS12-C   | 1979 ± 4               | 25,984 ± 522         | 156 ± 3                          | 123.1 ± 2.0               | 0.1243 ± 0.0006                 | 12.76 ± 0.06                     |
| FOS12-C2  | 2050 ± 5               | 21,760 ± 436         | 192 ± 4                          | 122.9 ± 1.5               | 0.1235 ± 0.0003                 | 12.68 ± 0.03                     |
| FOS12-D   | 1782 ± 1               | 6916 ± 139           | 514 ± 10                         | 122.8 ± 1.3               | 0.1210 ± 0.0003                 | 12.41 ± 0.04                     |
| FOS12-D3  | 1458 ± 3               | 47,447 ± 953         | 61 ± 1                           | 121.9 ± 2.0               | 0.1212 ± 0.0005                 | 12.44 ± 0.06                     |
| FOS12-D9  | 2189 ± 2               | 3975 ± 80            | 1087 ± 22                        | 121.4 ± 1.4               | 0.1197 ± 0.0003                 | 12.29 ± 0.03                     |
| FOS12-D10 | 1760 ± 2               | 8134 ± 160           | 430 ± 9                          | 123.4 ± 1.6               | 0.1206 ± 0.0005                 | 12.36 ± 0.06                     |
| FOS12-E   | 826 ± 1                | 6841 ± 137           | 242 ± 5                          | 121.5 ± 1.3               | 0.1217 ± 0.0007                 | 12.50 ± 0.08                     |
| FOS12-E2  | 2026 ± 3               | 34,463 ± 693         | 121 ± 2                          | 121.2 ± 1.9               | 0.1244 ± 0.0005                 | 12.80 ± 0.06                     |
| Ciclo-1   | 47 ± 0.1               | 396 ± 8              | 34 ± 5                           | 177.4 ± 3.3               | 0.0171 ± 0.0024                 | 1.59 ± 0.23                      |
| Ciclo-2   | 47 ± 0.1               | 293 ± 6              | 175 ± 5                          | 200.1 ± 2.8               | 0.0654 ± 0.0012                 | 6.10 ± 0.11                      |
| Ciclo-3   | 46 ± 0.1               | 80 ± 2               | 1570 ± 36                        | 273.1 ± 2.3               | 0.1653 ± 0.0014                 | 15.09 ± 0.14                     |

https://doi.org/10.5194/cp-17-775-2021 Clim. Past, 17, 775–789, 2021
Figure 3. Thermal models 2a to 2e simulating the development and deepening of the permafrost during the early YD. Modelling results depict the ground temperature at 50 m of depth at the depth of the CCC site in Cioccherloch. These models use the temperature profile of scenario 1 as an initial condition. Model 2a (a) is forced with a MAAT of $-6.5 \, ^\circ\text{C}$. Models 2b (b) and 2c (c) use a MAAT of $-2.5 \, ^\circ\text{C}$, and the latter simulates the impact of winter snow cover, resulting in the attenuation of the winter cold by $5 \, ^\circ\text{C}$ (i.e. snow $\Delta T = 5 \, ^\circ\text{C}$). Scenarios 2d (d) and 2e (e) model the changes in the thermal profile at the CCC site using a MAAT of $-1.5 \, ^\circ\text{C}$. Scenario 2e considers the presence of a winter snow pack, resulting in snow $\Delta T = 4.7 \, ^\circ\text{C}$. The green horizontal bar marks the $-1$ to $0 \, ^\circ\text{C}$ “window” of possible CCC formation for the depth range of the CCC site in this cave.

$^{230}$Th ages was calculated. As the majority of $^{230}$Th ages overlap within their $2\sigma$ errors, it is not possible to determine whether CCC formation took place semi-continuously for 400–600 years or if they represent two different generations clustering at $\sim12.6$ and $\sim12.2 \, \text{ka}$ (Fig. 5). Overall, CCCs in Cioccherloch record negative interior cave air temperatures very close to $0 \, ^\circ\text{C}$ from $\sim12.6$ to $\sim12.2 \, \text{ka}$, initiating progressive freezing of meltwater pockets in perennial ice which were created by drip water.

The air temperature in the homothermic zone of caves reflects the MAAT of the outside atmosphere. Ice-bearing caves, however, commonly represent an exception to this rule (e.g. Luetscher and Jeannin, 2004; Perșoiu, 2018, and references therein). Due to its descending geometry lacking a lower entrance, Cioccherloch acts as a cold trap, as evidenced by the snow and ice cone at the base of its entrance shaft. The resulting negative thermal anomaly, however, is mostly restricted to the upper cave level close to this snow cone, and cave air temperature data show that this influence gets attenuated towards the inner parts of the cave. Today, the descending gallery with the CCC occurrences experiences a small intrannual temperature variation ($\sim0.5 \, ^\circ\text{C}$), and the MAAT in this gallery matches the ambient MAAT ($2.5 \, ^\circ\text{C}$). If the CCC-bearing chamber had been ventilated in the past at times when the outside air temperature was lower than the cave air temperature (i.e. during the winter season), the fine crystalline variety of CCCs would have formed by rather rapid freezing of water films (e.g. Žák et al., 2008) instead of coarse crystalline CCCs that require stable thermal conditions. Today this gallery is connected to the cave’s upper level via a narrow squeeze, but prior to cave exploration in the 1980s and 1990s, this squeeze was closed by rubble. Therefore, we presume that this descending gallery was essentially thermally decoupled from the upper cave level in the past and hence unaffected by the negative thermal anomaly, especially when the snow and ice cover was larger than today and buried the entrance of the squeeze. As a result, CCCs in the lower gallery record changes in the thermal state of the subsurface as a consequence of atmospheric temperature changes. Given the lack of ventilated shafts connecting this gallery to the sur-

Figure 4. Thermal model runs simulating a change in YD climate starting at 12.24 ka (mid-YD transition). Results show the ground temperature at 50 m of depth (grey rectangles) at the depth of the CCC site in Cioccherloch. Model 3a (a) simulates the subsurface thermal conditions for a MAAT identical to scenario 2e (i.e. MAAT $-2.0 \, ^\circ\text{C}$), but the autumns and winters became drier, resulting in a reduction of the winter snow cover (snow $\Delta T = 2.0 \, ^\circ\text{C}$). Scenarios 3b (b) and 3c (c) simulate the impact of a $+1 \, ^\circ\text{C}$ rise in MAAT with respect to scenario 2c (i.e. MAAT $-1.5 \, ^\circ\text{C}$), and the 3c model also includes thin winter snow cover (snow $\Delta T = 2.5 \, ^\circ\text{C}$). The green horizontal bar marks the $-1$ to $0 \, ^\circ\text{C}$ “window” of possible CCC formation at the depth of the CCC site in Cioccherloch.
Figure 5. $^{230}$Th ages of CCCs with their 2σ uncertainties from Cioccherloch (blue bars) and CCC formation “windows” as suggested by model scenarios for the early and late YD. Peaks of kernel density calculated from the $^{230}$Th ages show the likelihood of CCC formation (blue shaded area). The green bars mark the $-1$ to $0^\circ$C window of possible CCC formation at the depth of the CCC site. The blue dashed vertical lines mark the $-0.5^\circ$C isotherm at 50 m of depth (2c–2e). The brown vertical line and age with the 2σ error bar mark the timing of the mid-YD transition at Meerfelder Maar (Lane et al., 2013).

face, we argue that heat exchange between the latter and the gallery occurred primarily via conduction. Additional heat transfer occurred via drip water, and minor air advection via small fissures in the ceiling cannot be ruled out. Seepage water leading to meltwater pockets in the cave ice, however, is likely thermally equilibrated with the ca. 50 m thick rock above the cave and given its very low discharge carries comparably little heat from the surface. Adveotive processes are difficult to quantify for any time in the past, and no attempts were made to include them in the thermal model. Qualitatively, both processes would increase the rate of temperature change in this gallery as a response to atmospheric change above the cave. By considering heat conduction only, our simulations yield quantitative constraints on the maximum duration of temperature changes propagated into the shallow subsurface.

The formation of CCCs near the lower end of the descending gallery requires the $0^\circ$C isotherm to be located about 50 m below the ground surface at this high-elevation site in the Dolomites during the YD. On the other hand, stalagmite Cioc1 provides strong evidence that the air temperature in this gallery was constantly above $0^\circ$C during the Bølling–Allerød interstadial. The $\delta^{13}$C values of Cioc1 decrease from $-2.5\%e$ to $-6.6\%e$, suggesting gradual soil development above the cave (unpublished data by the authors). In the early YD, atmospheric cooling led to the aggradation of cave ice. During the mild YD summers (from $\sim 12.6$ to $\sim 12.2$ ka) drip water sourced from snowmelt and/or rain created meltwater pools on the ice that subsequently underwent slow freezing at cave air temperatures $\sim 2–3^\circ$C (i.e. $2.5 \pm 0.5^\circ$C) lower than today.

CCC deposition in caves has traditionally been attributed to near-surface permafrost degradation in response to atmospheric warming (e.g. Žák et al., 2018, and references therein). In this case, however, stalagmite growth (Cioc1) in Cioccherloch indicates cave air temperatures above $0^\circ$C and the presence of dripping water in the cave at the Bølling–Allerød interstadial. Thus, CCC formation starting at 12.6 ka cannot represent a delayed response to the Bølling–Allerød interstadial warming. Instead our data suggest that CCCs in Cioccherloch formed during a cold climate associated with permafrost aggradation. Therefore, we hypothesise that analogous to climate warmings possible CCC windows opened during the transition into stadials and remained open for a variably long period of time depending on the local thermal conditions in the subsurface.

5.2 Magnitude of YD cooling

Our thermal model provides quantitative constraints on how the cave air temperature evolved in response to different climate conditions. The model uses two input parameters: MAAT and the insulating effect of winter snowpack. A recent study by Schenk et al. (2018) suggests that YD summers remained relatively warm, with temperature decreases of $4.3^\circ$C in NW Europe and $0.3^\circ$C in E Europe relative to the preceding Bølling interstadial. We therefore kept the July temperatures 3 to $4^\circ$C lower than modern values (Table 1) and attributed most of the MAAT change to winter cooling. As the buffering effect of the snow is set to its maximum value to counteract the winter chill, this approach allows the reconstruction of the possible maximum amplitude of MAAT (winter) cooling of the surface.

CCCs dated to the first and second half of the YD indicate conditions very close to $0^\circ$C for an extended period of time during this stadial. Our thermal model shows that CCC formation starting at $12.6 \pm 0.2$ ka at this sensitive mountain site requires a moderate atmospheric cooling at the Allerød–YD transition of $-4.5$ to $-5.0^\circ$C relative to today.
Without winter snow cover (scenarios 2b and 2d) the CCC window would open too early and close quickly afterwards, inconsistent with the CCC ages (Fig. 5). Scenarios 2c and 2e show that if the YD climate was characterised by a $-5.0$ to $-4.5^\circ$C drop in MAAT relative to today (i.e. $\Delta$MAAT$_{\text{Allerød-YD}} = -3.0$ to $-2.5^\circ$C), stable snow cover during winter is needed to prevent the cave from freezing, effectively shielding the ground from the cold stadial winters (see Zhang, 2005). Scenario 2e includes winter snow cover that provides the best fit with the CCC data, giving rise to a 400-year-long period characterised by a very slow cooling of the subsurface with cave air temperatures near $-0.8^\circ$C.

Our data do not support the notion of a very cold YD in the Alps ($\Delta$MAAT$_{\text{Allerød-YD}} = -7$ to $-8^\circ$C; scenario 2a) as suggested by speleothem data from low-lying caves in northern Switzerland (Affolter et al., 2019; Ghadiri et al., 2018). Such a drastic lowering of the MAAT would freeze Cioccherloch rapidly, even if a thin winter snowpack was present, and would result in rather abrupt development and deepening of permafrost, preventing CCC formation (Fig. 3). Such a stark cooling would in fact lead to climate conditions similar to the Last Glacial Maximum (LGM), for which noble gas data from groundwater studies around the Alps suggest 7–10$^\circ$C lower temperature compared to the Holocene (e.g. Šafanda and Rajver, 2001; Stute and Deák, 1989; Varsányi et al., 2011) and which would inevitably lead to the build-up of glaciers at this elevation in the Dolomites.

Our data, however, are consistent with observations from rock glaciers (Frauenfelder et al., 2001) and lake sediments in the Swiss Alps (von Grafenstone et al., 2000) suggesting a moderate cooling at the Allerød–YD transition. A fluid-inclusion-based palaeotemperature reconstruction using stalagmites from Bärenhöhle in western Austria also indicates a maximum temperature drop of about 5.5$^\circ$C, supporting our interpretation (Luetscher et al., 2016).

### 5.3 Increased seasonality in the early YD

CCC's provide a uniquely robust control on cave air temperatures and consequently on the MAAT above the cave. Our data argue for a $\leq 3^\circ$C drop in MAAT at the Allerød–YD transition but provide no direct information on the seasonal cycle of ambient atmospheric temperatures. A recent multiproxy model comparison by Schenk et al. (2018) suggests persistently warm summers during the YD with a median regional cooling of 3 and 0.3$^\circ$C over NW and E Europe, respectively, compared to Bølling–Allerød summers. These authors also argue that previous studies using chironomids overestimated the YD cooling signal. A similar amplitude of change is suggested for July air temperatures by lake records from the western Alps. Pollen and Cladocera-inferred temperature reconstructions indicate a summer cooling of $2–4^\circ$C at the Allerød–YD transition at Gerzensee (Swiss Plateau; e.g. Lotter et al., 2000), consistent with a 3.5$^\circ$C drop in July air temperatures reported from the Maloja Pass (Fig. 6) in eastern Switzerland (Ilyashuk et al., 2009). We therefore consider 0.3 and 4$^\circ$C as minimum and maximum estimates of YD summer cooling, respectively, to the Bølling–Allerød. As our CCC data in conjunction with thermal modelling constrain the drop in MAAT at the Allerød–YD transition to $\leq 3^\circ$C, they allow us to calculate the maximum possible increase in seasonality at the Allerød–YD transition. If we assume that YD summers were only 0.3$^\circ$C colder than in the Allerød by using the lowest summer temperature change proposed by Schenk et al. (2018), we find that early YD winters at 2270 m a.s.l. were no colder than $-13.7^\circ$C (mean January temperature). This argues for an enhanced seasonality in the Dolomites, whereby the winter–summer temperature difference increased by up to 5.4$^\circ$C at the Allerød–YD transition (i.e. $\leq 22.4^\circ$C in the YD).

Thermal modelling shows that a winter snowpack effectively shielding the subsurface during the cold winters is needed to account for CCC formation commencing at 12.6 $\pm$ 0.2 ka at Cioccherloch. Studies in modern permafrost areas suggest that stable winter snow cover of only $\sim$ 35 cm results in a positive shift (i.e. snow $\Delta T$) of up to 5.5$^\circ$C in the mean annual ground surface temperature (Zhang, 2005). Changes in the timing, duration, thickness and density of the snow cover may promote either the development or the degradation of permafrost (Zhang, 2005). While a snowpack in the cold season leads to a positive ground temperature anomaly, summer snow cover insulates the ground from warm air and hence facilitates the development of permafrost. In a study of Arctic permafrost, Park et al. (2014) found that the thermal state of the underlying soil is more affected by early winter than peak winter snowfall. Therefore, we argue for a moderately humid early YD with snowfall during fall and early winter.

Proxy data show a heterogeneous picture with respect to precipitation in the Alps during the YD (Belli et al., 2017; Kerschner et al., 2016; Kerschner and Ivis-Ochs, 2008). The distribution of rock glaciers in the eastern Swiss Alps suggests a 30–40% reduction in precipitation compared to today (Frauenfelder et al., 2001). Palaeoglacier records for the central Alps point to a similar reduction, mostly due to a reduction in winter precipitation. The few palaeoglacier records in the southern Alps suggest that precipitation sums were similar to modern-day values, but winter precipitation was probably reduced compared to the modern day, leading to enhanced seasonal contrasts (Kerschner et al., 2016). A recent study compiling data from 122 palaeoglaciers shows that the southerly displacement of the polar frontal jet stream led to cold air outbreaks and increased cyclogenesis over the Mediterranean and negative precipitation anomalies over the Alps compared to the modern day (Rea et al., 2020). The precipitation pattern during the early YD closely resembled the modern Scandinavian circulation patterns, resulting in an autumn to early winter (September to November) and midwinter (December to February) precipitation increase over the Mediterranean (Rea et al., 2020). This is consistent with
our findings, which argue that YD autumns and early winters remained relatively snow-rich in the southern Alps, although the amount of annual precipitation may have been lower than today.

5.4 Climate change during the mid-YD

High-resolution speleothem (Baldini et al., 2015; Bartolomé et al., 2015; Rossi et al., 2018) and lake records (Bakke et al., 2009; Brauer et al., 2008; Lane et al., 2013) from W and N Europe suggest a time-transgressive change in atmospheric circulation during the YD associated with a warming of parts of Europe due to a retreat of winter sea ice and a northward migration of the polar front (e.g. Baldini et al., 2015; Bartolomé et al., 2015). At Meefelder Maar, Germany, this so-called mid-YD transition occurred at 12.24 ± 0.04 ka (Lane et al., 2013). This timing is strikingly similar to the error-weighted mean of the CCC dates from Cioccherloch (12.19 ± 0.06 ka), suggesting that the main phase of CCC formation at this site may have been related to supra-regional climate change. Our thermal simulations provide important constraints on the type and magnitude of climate change during the mid-YD transition at this high-Alpine site and suggest a slight warming by up to 1 °C (MAAT), with a slight reduction in precipitation.

A change from moderately snow-rich to snow-poor autumns and early winters from the early to the late YD combined with a small atmospheric warming (scenario 3c) is consistent with the main advance of Alpine ice glaciers (Fig. 6) during the first few centuries of the YD (Baroni et al., 2017; Heiri et al., 2014b; Ivy-Ochs et al., 2009), followed by a glacier reduction and the parallel increase in rock glacier activity (Ivy-Ochs et al., 2009; Kerschner and Ivy-Ochs, 2008; Sailer and Kerschner, 1999).

Benthic ostracod δ18O records from Ammersee (von Grafenstein et al., 1999) and Mondsee (Lauterbach et al., 2011) on the northern fringe of the Alps show a gradual increase of ca. 1 ‰ across the YD (Fig. 6). This slight increase is compatible with our interpretation of a small decrease in autumn precipitation in the northern Alpine catchment areas of these lakes in the second half of the YD coupled with a small (≤ 1 °C) warming. High-resolution δ18O speleothem records from Höllöch Cave west of the Ammersee catchment (Li et al., 2021) and from Milandre Cave in the Swiss Jura Mountains (Affolter et al., 2019) exhibit a gradual increase in δ18O across the YD similar to the northern Alpine lake records (Fig. 6). While our data and those from palaeoglaciars and lake sediments are consistent with a slight warming at the mid-YD transition, they argue for a reduction in fall and winter precipitation. This suggests that the popular model of a south–north migration of the polar front and a concomitant increase in westerly-driven precipitation (e.g. Lane et al., 2013) is too simplistic, at least for the greater Alpine realm, underscoring the need for regionally resolved palaeoclimate models. In fact, even at the key site
of Meerfelder Maar, the proposed increase in (winter) precipitation is poorly captured by most proxy data except for the abundance of Ti, which is attributed to spring snowmelt (Lane et al., 2013).

6 Conclusions

This article presents the first record of CCCs in the Dolomites, which, in contrast to many studies from central European caves, formed not during a major climate warming but within a prominent stadial. These deposits indicate sustained negative temperatures very close to \(-0.5^\circ C\) between \(12.6\) and \(12.2\) ka at about 50 m below the surface, initiating the slow freezing of drip-water-induced meltwater pockets in perennial cave ice. Combined with a thermal model the high-elevation setting of this cave suggests a \(< 5^\circ C\) drop in MAAT compared to today, incompatible with extreme winter cooling during the YD. CCC formation during the early YD requires autumn to early winter snowfall, forming sufficiently thick and stable snow cover that insulates the ground from the winter cold. CCC formation during the early YD coincided with the maximum YD extent of Alpine glaciers, consistent with abundant snowfall in autumn and winter and with decreased summer temperatures. Using a 0.3–4.0°C cooling for the short and mild early YD summers as suggested by data–model comparison studies (Heiri et al., 2014a; Schenk et al., 2018), mean January air temperatures at this Alpine site were most likely not colder than \(-13.7^\circ C\). Seasonal temperature differences between early YD summers and winters were therefore up to 5.4°C larger than during the Allerød.

The \(^{230}\text{Th}\) data provide strong evidence that CCC formation at \(12.2\) ka occurred in response to climate change associated with the mid-YD transition. CCC formation at this high-Alpine cave advocates for a small atmospheric warming (i.e. \(+1^\circ C\) in MAAT) and a reduction in fall precipitation in the late YD. We propose a shift from snow-rich early YD towards snow-poor late YD autumns and early winters, which is consistent with the retreat of YD glaciers in the Alps and the increase in rock glacier activity.

CCCs are a novel palaeoclimate archive allowing us to precisely constrain permafrost thawing events in the past. Our study demonstrates that CCCs can also provide quantitative constraints on palaeotemperature and seasonally resolved precipitation changes.

Code and data availability. The code for the 1D heat-flow model is available online (https://doi.org/10.5281/zenodo.3982221; Jarosch, 2020). Data are included in Tables 1 and 2 and additionally given in Supplement Table S1.

Supplement. The supplement related to this article is available online at: https://doi.org/10.5194/cp-17-775-2021-supplement.

Author contributions. GK and CS designed the study, carried out fieldwork, and performed petrographic analyses, stable isotope analyses and heat-flow modelling. GK carried out uranium series dating supervised by HC. AHJ developed the heat-flow model. GK wrote the paper with contributions from all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. Fieldwork and sampling were carried out in cooperation with the Naturpark Fanes–Sennes–Prags under a permit issued by the Amt für Naturparke, Abt. 28. Natur, Landschaft und Raumentwicklung of the Autonome Provinz Bozen–Südtirol. Gottfried Nagler, Andreas Treyer and Charlotte Honiat provided support during fieldwork, and Jia Xue measured four \(^{230}\text{Th}\) ages. The Hydrographische Amt of the Autonome Provinz Bozen–Südtirol kindly provided meteorological data. Paolo Mietto is acknowledged for sharing information on the caves explored by the speleo club Proteo, and Jeffrey S. Munroe, Yuri Dublyansky, Hanns Kerschner, Marc Luetscher and Paul Töchterle are acknowledged for fruitful discussions that helped to improve the paper. Paul Töchterle calculated kernel densities. Stéphane Affolter is thanked for providing data from Milandre Cave. We are also grateful to Bogdan P. Onac, Frederik Schenk and an anonymous reviewer for their constructive comments.

Financial support. This research has been supported by the Autonome Provinz Bozen–Südtirol (Amt für Wissenschaft und Forschung (grant no. 3/34)) and the Tiroler Wissenschaftsförderung (grant no. WF-F.16947/5-2019).

Review statement. This paper was edited by Mary Gagen and reviewed by Bogdan P. Onac and one anonymous referee.

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