CD99 ligation upregulates HSP70 on acute lymphoblastic leukemia cells and concomitantly increases NK cytotoxicity

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CD99 is present in many human cell types, including high-level surface expression on pediatric B and T leukemias and Ewing tumors (ETs). On B lymphocytes and respective malignancies, its level decreases with the stage of maturation. Inter-individual variability of CD99 on B-cell precursor acute lymphoblastic leukemia (BCP-ALL) blasts was shown recently to be associated with distinct cytogenetic backgrounds. However, CD99 targets remain mainly unknown. Here, we show that administration of an anti-CD99 antibody to B- and T-leukemia cell lines induces heat shock protein 70 (HSP70), both on the cell surface and in the cytoplasm. Investigation of primary BCP-ALL cells rendered similar results. Intriguingly, CD99-induced modulation of HSP70 on ET cells had profiles different from that on leukemia cells. Since HSP70 expression on tumor cells is a prerequisite for natural killer (NK) cell-mediated tumor lysis, we hypothesized that CD99-induced HSP70 may allow targeting of some CD99-positive malignancies via NK-cell cytotoxicity. Our experiments with NK92 cell line demonstrated that leukemia cells with upregulated HSP70 can be successfully killed by effector cells. We consider our data as a new view of CD99 functions and as a basis for the development of a potential anti-tumor strategy based on heat-shock protein activation via CD99 triggering.

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CD99 is a 32-kD transmembrane protein with a high-level surface expression on pediatric leukemias and Ewing tumor (ET) cells.1,2 On B lymphocytes, its level is determined by maturation and is saved at the respective stage of malignancy:3 more mature B-cell precursors (BCPs) carry less CD99 on the cell surface. Variability of CD99 on blasts from different B-ALL (acute lymphoblastic leukemia) patients is associated with distinct cytogenetic backgrounds, and ETV6/RUNX1-positive BCP-ALLs were found to be particularly sensitive to CD99 ligation by monoclonal antibodies (mAbs).4 Involvement of CD99 in diverse intracellular and extracellular processes (adhesion, migration and apoptosis) was described for lymphocytes and some other cell types.5–7 However, signaling pathways triggered by CD99 are not yet completely defined.

Several lines of evidence indicate that CD99 shares some important properties with HSP70 – a member of heat-shock proteins, most conserved protein group in living organisms, abundantly expressed on the constitutive level or upon external influence.8 Within B-lineage cells, the constitutively expressed HSP70 family member HSC70 (like CD99) is associated with well-defined differentiation stages.3,9 Bone marrow-derived leukemia blasts from patients with different hematological malignancies are frequently HSP70 membrane positive10 as well as CD99 positive.1,3 In childhood, ALL HSP70 is connected to the actin cytoskeleton11 – and a link of CD99 to actin was found in Ewing sarcoma.12 Further, overexpression of HSP70 increases surface levels of MHC class I,13 and engagement of CD99 triggers transport of MHC I from the Golgi complex to the cell surface along with rearrangement of the actin cytoskeleton.14,15 Next, CD10 which is a surface marker of BCP and which correlates with CD99 in BCP-ALL4 may physically interact with HSP70.16 Portions of CD99 as well as HSP70 are found in lipid rafts – cell membrane microdomains that serve as interaction platform for highly concentrated proteins.15,17 A functional relationship between ETV6/RUNX1-positive ALL and heat-shock proteins was found in studies on downregulation of HSP70 after ETV6/RUNX1 depletion18 – and mainly ETV6/RUNX1-positive blasts were found to be affected by CD99 ligation.4 Finally, as CD99 was shown to be an upregulated target of the von Hippel-Lindau/hypoxia pathway19 and HSP70 was found to be involved in inhibition of oxidative stress-mediated apoptosis (reviewed in ref. 20), both proteins seem to be implicated in oxygen deregulation processes. All these facts suggest functional links between CD99 and HSP70. We describe here a novel signaling pathway where CD99 modulates expression of HSP70. Since HSP70 promotes natural killer (NK)-cell activity against tumors,21 we examined targeting of some CD99-positive malignancies –

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B and T ALLs, ETs – by cytotoxic NK92 cell line. Our findings demonstrate that CD99 ligation on leukemia cells is an effective tool to increase NK-cell activity toward targets and this process correlates with upregulation of HSP70 on the leukemia cell surfaces.

**Results**

**CD99-induced HSP70 expression in B and T lymphocytes.** Based on a potential interaction of CD99 and HSP70, we decided to check expression levels of HSP70 after CD99 engagement with specific mAb (DN16, hec2 and O662). Our first experiments with Reh (ETV6/RUNX1-positive BCP-ALL cell line) showed that CD99 ligation strongly (up to 3-fold) and rapidly (within 3 h) upregulated HSP70 in the cytoplasm (cy) (Figure 1a) and on the cell surface (s) (Figure 1b) – a dynamics and strength compatible with a heat shock influence. The response reached its maximum at day 3 of incubation with anti-CD99 antibody. We found CD99-induced upregulation of HSP70 levels in all conducted experiments. To test the specificity of HSP70 response, Reh cells were incubated with antibodies against CD10 and CD19 – differentiation markers of BCPs. Levels of (s)HSP70 were not modulated via these antigens (Figure 1b). Also incubation of cell lines Raji (CD99dim/neg mature human B cells) and El4 (CD99neg mouse T cells) with DN16 did not influence their (s)HSP70 expression. In contrast, CD99 highly expressing Jurkat cells (T-ALL) responded with 3- to 7-fold maintenance of HSP70 (Figure 1b).

Analysis of 21 samples from BCP-ALL patients with different cytogenetic backgrounds (six with hyperdiploidy, six with ETV6/RUNX1 rearrangement and nine with random karyotype) showed HSP70 in each case to be expressed at a higher level in cytoplasm than on the surface (ratio of cy/s HSP70 = from 2 to 3) with significant correlation between cytoplasmatic and surface forms ($P<0.01$, $r=0.79$) (Figure 1c).

**Figure 1** CD99 ligation increases HSP70 expression in CD99-positive human B and T lymphocytes. (a) Time-dependent upregulation of cytoplasmatic (cy) HSP70 in Reh cells: cells were cultured for the indicated time in the presence of 10 μg/ml of CD99 mAbs (DN16, O662 and hec2), MOPC1 (control mAb) or without treatment (control). Annexin V and 7AAD were used to stain apoptotic and dead cells. In all performed experiments, HSP70 was measured on non-apoptotic cells. Error bars indicate S.E.M. of triplicate wells. Data represent six independent experiments. (b) HSP70 upregulation is a specific response upon CD99 ligation in Reh cells: cells were treated with the indicated mAbs for 3 h. Strong surface (s) HSP70 upregulation was observed in CD99-highly expressing Reh and Jurkat cell lines. CD99-low expressing Raji and CD99-negative murine El4 cells did not exhibit HSP70 response when incubated with DN16. Error bars show S.E.M. of three independent experiments. Surface HSP70 expressions were normalized to untreated control and are shown as x-fold induction. (c) Cytoplasmatic and surface HSP70 expression in BCP-ALL cases ($P<0.01$). (d) Correlation of CD99 at diagnosis with induced (s) ($P_1<0.02$) and (cy) ($P_2<0.05$) HSP70 (3 h) is shown. Primary BCP-ALL samples ($n=21$) were incubated with DN16 mAb for 3 h, induced (s) and (cy) HSP70 were detected with anti-HSP70 Ab. (e) Representative HSP70 staining of primary patient BCP-ALL sample: flow cytometric analysis of HSP70 expression on leukemia cells incubated for 3 h with DN16 mAbs (red line), MOPC1 (blue line) or isotype control (black dashed line). HSP70 was detected either with HSP70-FITC from ‘Stressgen’ (HSP70*) or with unconjugated HSP70 Ab followed by secondary step antibody conjugated with PE (HSP70**).
There was no difference between BCP-ALL cytogenetic groups in terms of (cy)HSP70 or (s)HSP70 expression levels at diagnosis. Observed predominance of (cy)HSP70 over (s)HSP70 within BCP-ALL blasts suggests the possible reason for resistance of them to NK-mediated cell death because cytoplasmic HSP70 has the anti-apoptotic role.\textsuperscript{8,20} CD99 ligation by DN16 antibody induced rapid (within 3 h) upregulation of (s)HSP70 and (cy)HSP70 (Figure 1d), and induction of both HSP70 forms clearly correlated with each other ($P<0.01$; $n=21$) (data not shown). The level of CD99-induced (cy)HSP70 at diagnosis ($P<0.001$; $n=21$). Expression level of CD99 on the cell surface determined both the level of CD99-induced (s)HSP70 ($P1<0.02$; $n=21$) and the level of (cy)HSP70 ($P2<0.05$; $n=21$) at the same time point (Figure 1d). To confirm the data obtained with HSP70 antibody from Santa Cruz, which may detect both inducible HSP70 and constitutive HSC70, we used directly conjugated HSP70-FITC (fluorescein isothiocyanate) antibody from Stressgen, specifically designed against inducible HSP70, and they also recognized CD99-induced (s)HSP70 (Figure 1e).

In ET cell lines, SKES-1 and A673 CD99 only slightly upregulated HSP70 for a short period of time (3 h). More prominent was the response of RDES cells, but it was unstable too (Supplementary Figure 1).

**CD99 ligation enhances HSP70 transcription in ALL cells.** Since in all investigated cell types HSP70 was upregulated both in cytoplasm and on the cell surface, we assumed that CD99 does not induce externalization but rather protein production of HSP70. To examine the nature of CD99-induced HSP70 modulation, RT–PCR for constitutive hsc70 and inducible hsp70 was performed. Treatment of ALL cells (Reh, Jurkat) with DN16 led to increased production of hsp70 but not hsc70 mRNA (Figure 2a). Obtained data suggest that in ALL cells CD99 triggers hsp70 mRNA expression, implying that this mechanism is responsible for accumulation of HSP70 protein in the cytoplasm and on the cell surface.

The effect of CD99 ligation on HSP70 protein expression in Reh and Jurkat cells is shown in Figure 2b. Western blot analysis revealed strong upregulation of HSP70 in 3 h of incubation with anti-CD99.

In ET cell lines, TC252 and SKNMC CD99 ligation did not enhance levels of neither hsc70 nor hsp70 mRNA (Figure 2c). Even more, hsp70 mRNA was downregulated in SKNMC cells. These results corresponded to FACS data with no marked changes in HSP70 expression level in TC252 cells and slight downregulation of HSP70 on SKNMC cells (Figure 2d).

**NK92-mediated cytotoxicity against ALL cells is enhanced by CD99 ligation.** To examine whether NK-cell activity could be augmented by CD99-induced upregulation of (s)HSP70, we performed cytotoxicity assays with ALL and ET cell lines (4–5 assays for each cell line). As effector cells we used the CD16$^+$ NK92 cell line which previously was evaluated in phase I/II clinical trials for the treatment of pediatric and adolescent patients with leukemia and sarcoma.\textsuperscript{24,25} Because our results showed that CD99 is able to upregulate HSP70 on ALL, but not on examined ET cells, we suspected to see effective cytolysis after CD99 modulation only in ALL cells. Our data confirm that CD99 ligation does not increase NK92 cell cytotoxicity against TC252 or SKNMC cells (Supplementary Figure 2). In contrast, NK92-mediated killing of Jurkat cells was rapidly (in 3 h) increased up to 2-fold even at the lowest E : T ratio (1 : 1) (Figure 3a). In Reh cells, this process was less effective, albeit noticeable

**Figure 2** CD99 ligation induces HSP70 transcription in ALL but not in ET cells. (a) Treatment of ALL cells (Reh and Jurkat) with CD99 (DN16) mAb led to upregulation of hsp70 but not hsc70 mRNA expression. Representative picture of three experiments is shown (control – MOPC1-treated cells). (b) Western blot with anti-HSP70 antibody was performed after CD99 ligation by DN16 mAb in Reh and Jurkat cells. β-Actin was used as the loading control. (c) RT-PCR for constitutive hsc70 and inducible hsp70 was performed using TC252 and SKNMC cell lines. DNA input was normalized by equal number of non-apoptotic cells loaded per sample. (d) Corresponding FACS data showed no differences in HSP70 expression level in TC252 cells and slight downregulation of HSP70 on SKNMC cells (blue lines – MOPC1-treated cells, red lines – CD99 (DN16)-treated cells, black dashed lines – isotype control).
(Figure 3b). Also the three primary BCP-ALL cases tested demonstrated an increased sensitivity to NK cells upon CD99 ligation (Figure 3c). This seems important because BCP-ALL cell lines as well as primary B-lineage leukemic blasts were shown previously to be rather resistant to NK cell-mediated cytotoxicity.22,26

Discussion

CD99 modulation in different cell types often leads to divergent responses. Recruitment of distinct targets to CD99-regulated processes can be one of the possible explanations. Here, we describe a novel signaling pathway where CD99 in T- and B-leukemia cells modulates expression of HSP70 on mRNA and protein levels. As we realized that CD99 upregulated HSP70 protein both in cytoplasm and on the cell surface, we hypothesized that CD99-induced surface HSP70 can be involved in stimulation of cytolytic NK cells activity. Cytotoxicity assays confirmed this idea, showing death of targeted ALL cells which correlated with the induction of HSP70.

Functional engagement of targets, such as specific surface antigens, on tumor cells is a mechanism to increase the lytic efficacy of cytotoxic cells against such tumors.24 We consider CD99 to be a strong candidate for this role. Members of the HSP70 family, being the recognition site for NK cells, are in the focus of another approach, due to their capacity to activate immunity.25 Ligation of CD99 to increase HSP70 on the surface of targeted cells could be a lucky combination of these two anticancer therapeutic approaches.

Lack of NK-cell activation is proposed to be a general mechanism of BCP leukemic cell resistance.26 Since HSP70 serves as a recognition site27 and an activating molecule,21,23 for killer cells, NK cell-mediated cytolysis may correlate with HSP70 expression on target cells. Our results suggest CD99 ligation on leukemia cells as effective tool to increase NK-cell activity toward targets due to upregulation of HSP70. On the other hand, according to the hypothesis of Ljunggren and Kärre,28 the targets of NK cells should be devoid of MHC class 1 human leukocyte protein antigens (HLA) which otherwise inhibit NK-cell cytotoxicity. It was shown that blocking of MHC class 1 antigen with mAbs on leukemic B cells resulted in higher susceptibility to lysis.29 Recently, HLA1 was found to be dependent on CD99.30 These observations suggest that blocking of CD99 may increase the efficacy of target cell lysis by NK cells due to HLA class 1 downregulation. However, NK92 cells used in our study are devoid of almost all known killer cell immunoglobulin-like receptors (KIRs), whose major ligands are MHC I molecules.22,24,26 Hence, our data show that even in the absence of MHC1-dependent recognition, CD99 ligation augments NK cell-mediated cytotoxicity. Moreover, the NK92 cell line is CD16-negative cells and therefore is an ideal system to measure antibody-dependent cellular cytotoxicity.22

Intriguingly, CD99-induced modulation of HSP70 on ET cells had profiles different from that on leukemia cells. Our experiments with SKNMC and TC252 cell lines demonstrated

![Figure 3](https://example.com/figure3.png)

**Figure 3** CD99 ligation enhances NK92-mediated cytotoxicity against ALL but not ET cells. Cytotoxicity assays with ALL and ET cells (4–5 for each cell line) were performed with NK92 cells as effectors. Annexin V and 7AAD were used to detect lysed targeted cells. CD99 induced HSP70 (insets in the graphics) and in parallel increased NK92 cytotoxicity against Jurkat (a) and Reh (b) (3 h of co-incubation) cells. (c) Cytotoxicity of NK92 cells against primary BCP-ALL (three independent ETV6/RUNX1-positive cases are shown)
that neither HSP70 on these cells was upregulated by CD99, nor susceptibility of CD99-treated cells to NK92 was increased over the control. While this implies that HSP70 does not have a target role in ET cells, some ET cell lines were used for CD99 ligation: incubation of ALL cells with CD99 antibody induced fast, stable and significant production of HSP70. RT–PCR analysis showed that this effect was initiated on the mRNA level. We demonstrated an association between CD99-induced surface HSP70 modulation and NK-cell activity against leukemic cell lines and primary BCP-ALL. In addition, we have found that 10 times lower concentration of CD99 antibody (DN16, 1 μg/ml) also successfully upregulates surface HSP70 without induction of antibody-mediated cell death (Supplementary Figure 3). It may be important to spare non-leukemic, normal immature BCP with high level of CD99 from the direct killing by CD99 antibodies. Together, obtained results should be anticipated as a new view on CD99 functions because cooperation of CD99 with a member of heat-shock proteins family – most conserved proteins in living organisms – allowed expecting even more diverse CD99 roles than was assumed before. We hope that our data may have a vital clinical impact on future CD99-targeted therapies.

Materials and Methods

Cells. BCP-ALLs were diagnosed centrally according to standard clinical criteria, genetic and immunophenotype analysis. Patients were recruited to the study which was approved by the institutional review board and informed consent was obtained from parents or legal guardians for sampling procedures and subsequent laboratory investigations. Primary leukemia mononucleated cells (MNC) from patients at diagnosis as well as normal MNC were purified from the BM samples by Ficoll-Paque (GE Healthcare, Vienna, Austria) density gradient centrifugation. Cells were either maintained in serum-free AIM V medium (Invitrogen, Lofer, Austria) or cryopreserved in liquid nitrogen. Before experiments, cells were washed with AIM V supplemented with 10% FBS, resuspended in AIM V with 1% FBS and used for cytotoxicity assay. ALL cell lines (Reh, ETV6/RUNX1-positive, BCP-ALL, and Jurkat, T-ALL) (obtained from DSMZ, Braunschweig, Germany) and ET cell lines (TC252, SKNMC, RDES, A673 and SKES1, the kind gift of Prof. H Kovar, cultured in house) were maintained in RPMI medium (Invitrogen) with 10% fetal bovine serum and 

Western blot analysis. Total proteins were fractionated by 10% SDS–PAGE, transferred onto nitrocellulose membrane (Whatman, Dassel, Germany) and processed according to standard procedures. Membranes were probed with anti-HSP70 (Santa Cruz) and anti-β-actin antibody (Abcam).

Cytotoxicity assay. As effector cells we used NK92 cell line with confirmed high cytotoxic activity. Cytotoxic activity was evaluated by FACS analysis similar to previously described method but with some modifications. Briefly, target cells (minimum 10^5) were incubated with or without DN16 mAb for 3 h (ET cells – for 24 h) and then effector cells were added to get effector-to-target ratios (E:T) of 1:1, 2.5:1, 5:1, 10:1 and 20:1. ALL and ET cells were then incubated with effector cells for 3 and 24 h. Afterwards, cell mixture was harvested from 96 U-bottom plates and stained with Annexin V, 7AAD and cell type-specific markers. Dead target cells were identified as 7AAD+ and Annexin V+ and excluded from further analysis. Cytotoxicity assay was performed as follows: Reh as CD10+, Jurkat as CD7+, TC252 and SKNMC as CD56+. Control target cells were incubated without effector cells and without DN16.

Statistical methods. All data are shown as mean ± standard error of the mean (S.E.M.). Statistical significance of the difference in mean cell recovery/apoptosis between targeted and control cells was determined by the Wilcoxon signed rank test. Correlation analysis was performed by the Spearman test. The Mann–Whitney test was used to assess the differences in proteins levels.

Conflict of Interest
The authors declare no conflict of interest.

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Author contributions
ZH designed and performed the experiments, analyzed the data, generated the figures and wrote the manuscript. MD was responsible for the overall study support and for editing the manuscript.
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