Frequency and distribution of corneal astigmatism and keratometry features in adult life: Methodology and findings of the UK Biobank study

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Abstract

Purpose
To describe corneal astigmatism in the UK Biobank population and to look for associations with other biometric variables and socio-demographic factors.

Methods
This analysis included a subsample of 107,452 participants of the UK Biobank study who underwent an enhanced ophthalmic examination including autorefractor keratometry (Tomey RC 5000, Tomey Corp., Nagoya, Japan). Participants were recruited from across the United Kingdom between 2006 and 2010, and all were between 40 to 69 years. After quality control and applying relevant exclusions, data on corneal astigmatism on 83,751 participants were included for analysis. Potential associations were tested through univariable regression and significant parameters carried forward for multivariable analysis.

Results
In univariable analysis, the characteristics significantly associated with higher corneal astigmatism (P<0.001), by order of magnitude were, female gender, white ethnicity, lighter skin colour, use of UV protection, lower alcohol intake, lower corneal-compensated intraocular pressure (ccIOP), older age at completion of education, younger age, higher Townsend deprivation index, lower height and lower systolic blood pressure. After inclusion in the multivariable analysis, gender, skin colour, alcohol intake, age at completion of full-time education, cciOP, age and Townsend deprivation score remained significant (all P<0.001). Increased corneal astigmatism was also found to be significantly associated with amblyopia or strabismus.
Conclusions
This analysis confirms previous associations with astigmatism such as younger age and female gender, and identified novel risk factors including lighter skin colour, lower alcohol intake, later age having completed full time education later, lower ccIOP and higher Townsend deprivation index. Further research is needed to investigate these novel associations.

Introduction
Uncorrected refractive error is the leading cause of moderate to severe visual impairment in all age groups globally [1,2]. Refractive error (ametropia), is a significant public health burden, frequently associated with worse visual acuity and higher risk of amblyopia. The two major components of refractive error in the eye are astigmatism and the spherical refractive error (myopia or hyperopia). Astigmatism is caused by a corneal component and lenticular component. Corneal astigmatism occurs when there are differences in the radius of curvature of the cornea in different meridians such that there is a different focal point for each meridian, with an area of intermediate focus between the two termed the conoid of Sturm[3]. The two meridians are defined as either the steep meridian (also known as the strong meridian) and the flat meridian (also known as the weak meridian). The magnitude of corneal astigmatism has been reported to vary with age [4,5] and there is a shift from the steepest corneal meridian from the vertical (with-the-rule) to the horizontal meridian (against-the-rule)[6–9]. Data on the prevalence and severity of corneal astigmatism is typically obtained from case series of patients undergoing cataract surgery [9–12], with limited data from population based cross-sectional studies or large cohort studies [13]. The UK Biobank (UKBB) study[14–16] recruited over 500,000 men and women aged 40 to 69 years between 2006–2010 from the general population. In 2009 the study protocol was updated to include measurement of ocular data including corneal keratometry, on a subset of these [16]. The aim of our analysis is to describe corneal astigmatism and derived variables in the UKBB population, to look for associations with other biometric variables, socio-demographic factors, and eye conditions.

Materials and methods
UKBB participants
The UKBB participants have previously been described in detail by Allen et al (2012) [16]. In brief, all adults aged between 40 and 69 years old who were registered with the UK National Health Service and living within 25 miles of one of the 22 participating study sites were invited to participate. From a total of 9.2 million postal invitations, 503,325 participants were recruited between 2006 and 2010 (response rate of 5.5%) and after accounting for withdrawals; data on 502,642 participants were available for analysis. All those recruited completed detailed questionnaires on their lifestyle, socioeconomic status, environment and health, and had a number of physiological measures from urine, saliva and blood samples. Further information can be found on the UK Biobank online data showcase (http://biobank.ctsu.ox.ac.uk/crystal/label.cgi).

Ethics. All UK Biobank participants gave written, informed consent. The UK Biobank study was conducted under approval from the NHS National Research Ethics Service (Ref. 11/NW/0382), and anonymised data were provided from UK Biobank under application reference 10536.

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Eye measurements. Six of the recruiting centres performed an ophthalmic assessment [17] that included LogMAR visual acuity, autorefraction and keratometry (Tomey RC 5000 auto-ref-keratometer Tomey Corp., Nagoya, Japan), intraocular pressure (IOP) (Goldmann-correlated and Corneal-compensated) and corneal biomechanics (both Ocular Response Analyzer, Reichert, Depew, NY, USA). In total, 117,279 (23.3%) of those enrolled had an ophthalmic assessment. The Tomey RC 5000 examination produced autorefraction and keratometric measurements for each eye (http://biobank.ctsu.ox.ac.uk/crystal/label.cgi?id=100014). The Reichert Ocular Response Analyser (Reichert Corp., Philadelphia, PA), measures the biomechanical distortion of the cornea produced by a puff of air for each eye. Measurements included corneal hysteresis, corneal resistance factor and corneal-compensated intraocular pressure (ccIOP) (http://biobank.ctsu.ox.ac.uk/crystal/label.cgi?id=100015). Participants who had eye surgery within the previous 4 weeks or those with possible eye infections did not have IOP measured.

Self-reported eye conditions. The UKBB touchscreen questionnaire allowed participants to report if they had eye disorders or eye diseases, any injury or trauma, which eye was affected and when it was diagnosed. Refractive eye conditions included astigmatism, myopia, hyperopia, presbyopia, strabismus and amblyopia. Eye diseases include diabetic retinopathy, glaucoma, cataract or age-related macular degeneration.

Socio-economic status and ethnicity. The Townsend deprivation index was determined using the participant’s postcodes at recruitment. The Townsend deprivation index has a UK mean of zero, with negative being less deprived and positive being more deprived. Ethnicity choices included white (English/Irish or other white), Asian or British Asian (Indian/Pakistani/Bangladeshi or other Asian), black or black British (Caribbean, African, or other black), Chinese, mixed (white and black Caribbean or African, white and Asian, or other mixed ethnicity), or other ethnic group (not defined).

Lifestyle and environment. The UKBB touchscreen questionnaire also offered questions to participants about their lifestyle, health and environment. In particular, smoking status, alcohol intake frequency, use of sun/UV protection, skin colour without tanning, presence of diabetes. The possible questions/answers and their encoding are explained in more detail in the Table A in S1 File.

Physical measures. Blood pressure and heart rate were measured using the HEM-70151T digital blood pressure monitor (Omron, Hoofddorp, The Netherlands). Weight was measured with the BV-418 MA body composition analyzer (Tanita, Arlington Heights, IL). Height was measured using a Seca 202 height measure (Seca, Birmingham, UK). Body mass index (BMI) was calculated as weight in kg divided by height in m². Waist circumference at the level of the umbilicus was measured using a Wessex non-stretchable tape measure.

Participant selection
Of the 502,642 participants in UK Biobank, 109,935 had 3mm steep or flat corneal meridian measurement values available for both eyes from which corneal astigmatism measurements could be derived. Participants were excluded if they had any of the following: previous laser refractive eye surgery (n = 7440), previous eye surgery (for cataract, glaucoma or corneal graft) (n = 8051), unreliable 3mm asymmetry index (n = 12,910) or an unreliable keratometry result (n = 6916). This left a total of 83,751 individuals for further analysis.

Corneal astigmatism, mean corneal power and axis of astigmatism
Corneal astigmatism was defined as the 3mm steep meridian minus the 3mm flat meridian. The average of these two values was defined as the mean corneal power. The axis of
astigmatism was defined as the angle of the steep meridian. The axis of astigmatism was categorised as with-the-rule if the angle was between 60 and 119 degrees, against-the-rule if the angle was in the intervals 0 to 29 or 150 to 180, otherwise it was categorised as oblique [18].

**Statistical methods**

We explored the distribution of corneal astigmatism and compared this to previously published studies. We tested the association of mean corneal power and axis of astigmatism with age in both eyes by linear regression. Univariable linear regression and multivariable linear regression statistical analysis models were applied to investigate predictors of corneal astigmatism. Non numeric independent variables were re-coded according to Table A in S1 File. P values for the B coefficients of the linear regressions were derived using a t-test. To account for multiple testing, a Bonferroni corrected P value threshold of $< 0.001$ was applied to avoid false-positives due to the large number of tests carried out. Only parameters that showed significant association in the univariable analysis were included in the multivariable analysis. Since we found that corneal astigmatism measurements were slightly asymmetric, with left eye having on average higher corneal astigmatism than right eye (Fig A in S1 File) as previously reported by Cumberland et al [17], we repeated statistical analysis in both eyes and only reported parameters which were consistently significantly associated in both eyes. We also repeated the statistical associations with a log-scaled corneal astigmatism variable since the P value derived from a t-test in a linear regression assumes a normally distributed response variable rather than a skewed distribution (Fig B in S1 File). All analyses were performed using R statistical software version 3.2.3. The code is available at https://github.com/pontikos/UKBB/.

**Results**

**Participant selection and distribution of corneal astigmatism**

Of the 502,642 UKBB participants who had keratometry measures, after exclusions, 83,751 participants were selected for the purpose of this study. Of these, 36,490 (44%) were male. Ethnicity was 90% white, 3.44% Asian, 3.01% black, 0.89% mixed and 0.41% Chinese (Table 1). In the right eye, 69%, 46%, 29%, 11% and 5% had corneal astigmatism greater than or equal to 0.5, 0.75, 1.0, 1.5 and 2.0 dioptres respectively, and in the left eye, 69%, 46%, 30%, 11% and 5% (Fig 1). After stratification of participants by age group (decade) and gender, corneal astigmatism was found to decrease with age and to be on average higher in females than in males across age groups in the UKBB (Table 2). There was a small but significant difference between corneal astigmatism in left and right eye, with left eye corneal astigmatism higher by on average 0.009 dioptres. A difference between left and right eye corneal astigmatism of less than 1 dioptre was found in 95% of individuals and a difference of more than 2 dioptres (anisometropia) was found in the 0.83% of eyes. This left right asymmetry has previously been reported by Cumberland et al (2015) [17], and is likely due to right eyes always being measured before left eyes according to the UKBB protocol for acquiring keratometry measures (https://biobank.ndph.ox.ac.uk/showcase/showcase/docs/Refraction.pdf, Section 5.1).

**Association of mean corneal power and axis of astigmatism with age**

Older age was significantly associated with increased mean corneal power in both eyes, with an average increase of 0.15 dioptres per decade (Fig C in S1 File). Axis of astigmatism changed with older age from with-the-rule to against-the-rule (Fig G in S1 File) as reported by Yu et al [19].
Association of amblyopia and strabismus with corneal astigmatism

The number of eyes affected by amblyopia and strabismus in the UKBB are 2483 and 1052 respectively. Corneal astigmatism was highest in eyes affected by amblyopia and strabismus (Fig D in S1 File). This confirms that high corneal astigmatism is a significant risk factor for amblyopia (OR = 1.98 (1.87 to 2.09), P < 0.001) and strabismus (OR = 1.73 (1.59 to 1.88), P < 0.001) (Fig 4 in S1 File) [20,21].

Univariable and multivariable analysis of corneal astigmatism

Univariable analysis revealed that, by decreasing magnitude of effect size—female gender, white ethnicity, lighter skin colour, use of UV protection, lower alcohol intake, lower ccIOP, older age at completion of education, younger age, higher Townsend deprivation index, lower height and lower systolic blood pressure—were significantly associated with
increased corneal astigmatism (Table 3 and Table B in S1 File). After including these vari-
ables in the multivariable analysis (Table 4 and Table C in S1 File), the following parame-
ters remained significantly associated with increased corneal astigmatism: female gender,
white ethnicity, lighter skin colour, use of UV protection, lower alcohol intake, lower
ccIOP, older age at completion of education, younger age and higher Townsend depre-
viation index.

Fig 1. Distribution of corneal astigmatism in the UKBB in bins of 0.5D. (A) Distribution of corneal astigmatism in right eye. (B) Distribution of corneal astigmatism in left eye.

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Distribution of corneal astigmatism in the UKBB compared to other cohorts

The distribution of astigmatism in the large population reported in this study supports evidence from previous smaller studies, both in the UK and worldwide, in pre-operative patients [11,12,22–25] and from large consortiums such as CREAM (n = 55,177) [13]. We found that 69%, 29%, 11% and 5% had corneal astigmatism ≥0.5, 1.0, 1.5 and 2.0 dioptres respectively. These are slightly lower than values reported from a recent series of 110,468 cataract pre-operative eyes [25] where 78%, 42%, 21% and 11% having corneal astigmatism ≥0.5, 1.0, 1.5 and 2.0 dioptres respectively. A study of 1,230 eyes undergoing cataract surgery in Wales found corneal astigmatism of ≥0.5D in 75% in Wales [12] (N = 1,230 eyes). Corneal astigmatism ≥1.0D was found in 36% of eyes with cataract in Germany [26] (N = 15,448 eyes), 47% in China (N = 12,449) [23] and 35% in South Korea [22] (N = 2,847 eyes). Recently, Curragh et al [24] reported that 41% of eyes undergoing cataract surgery (N = 2,080) in Northern Ireland had ≥1.0D of corneal astigmatism. However, cataract surgery is usually performed in an older age group than those of the participants in the UK Biobank and these pre-operative clinical groups are not necessarily comparable to UKBB participants whose age range is between 40 and 69. A recent CREAM study [27] reported the median corneal astigmatism and median age across 22 studies (8 Asian and 14 European). The median corneal astigmatism was reported in each study and this ranged from 0.539D in the Rotterdam-II European study (N = 3964, mean age = 64.8) [28], to 1.21D in the Asian Singapore Cohort Study of the Risk Factors for Myopia (SCORM) study (N = 1894, mean age = 10.8) [29]. Comparable studies to the UKBB in terms of age and gender demographics of the participants are the Rotterdam-III Study (N = 5850 eyes, mean age = 57) [30], the Singapore Chinese Eye Study (SCES-610K) (N = 1106 eyes, mean age = 57.6) [31], the Gutenberg Health Study (GHS-1) study (N = 4796 eyes, mean age = 55.9) [32] which reported a median corneal astigmatism of 0.618D, 0.703D and 0.65D respectively. This is comparable to the UKBB median corneal astigmatism of 0.71D.

### Table 2. Mean, standard deviation, 25th and 75th percentile of right and left corneal astigmatism by age and gender of the 83,751 study participants in the UK Biobank.

| cohorts | right eye 3mm corneal astigmatism | left eye 3mm corneal astigmatism |
|---------|----------------------------------|----------------------------------|
| Men 40–49 | 0.861 (0.683, 0.430–1.090) | 0.864 (0.674, 0.440–1.100) |
| Men 50–59 | 0.810 (0.640, 0.400–1.030) | 0.818 (0.646, 0.400–1.050) |
| Men 60–69 | 0.788 (0.619, 0.390–1.000) | 0.792 (0.627, 0.400–1.000) |
| Women 40–49 | 0.923 (0.625, 0.510–1.190) | 0.942 (0.638, 0.520–1.200) |
| Women 50–59 | 0.890 (0.611, 0.470–1.140) | 0.915 (0.645, 0.490–1.170) |
| Women 60–69 | 0.850 (0.619, 0.440–1.090) | 0.855 (0.619, 0.440–1.090) |
| Total 40–49 | 0.896 (0.652, 0.470–1.150) | 0.908 (0.655, 0.480–1.160) |
| Total 50–59 | 0.857 (0.624, 0.440–1.100) | 0.875 (0.647, 0.450–1.120) |
| Total 60–69 | 0.822 (0.620, 0.420–1.050) | 0.827 (0.623, 0.420–1.050) |
| All | 0.848 (0.627, 0.430–1.090) | 0.857 (0.636, 0.440–1.100) |
| Difference (right-left) | -0.009 (-0.012–0.01), P<0.001 |
Modelling of corneal astigmatism

In the multivariable analysis, parameters known to be strongly associated with gender, such as height and weight (Table 4), were no longer significantly associated with corneal astigmatism. Variables which remained significantly associated by decreasing order of magnitude were gender, skin colour, alcohol intake, age at completion of full-time education, ccIOP, age and Townsend deprivation score. The adjusted R-squared of the multivariable regression was remarkably low at 0.01 which highlights that there are many other unobserved variables which influence corneal astigmatism.

**Gender.** Our study confirms, as previously reported by Yuan et al [23], that corneal astigmatism is higher in females than in males even after adjusting for weight and height (Table 4). Females have on average 0.07D more corneal astigmatism in right eye and 0.08D more in left eye (Table 3).

**Ethnicity and skin colour.** Asian and black ethnicities appear to be significantly protective for corneal astigmatism in both eyes according to the univariable analysis (Table 3 and
Table 4. Results of multivariable regression in 83,751 study participants in the UKBB for right and left eye corneal astigmatism. Only parameters that were significant in the univariable regression were included in the multivariable regression. Significant associations are highlighted in bold. P values for B coefficients are derived from a t-test. 95% CI = 95% confidence interval.

| Description                        | Right eye multivariable B (95% CI) | P value | Left eye multivariable B (95% CI) | P value |
|------------------------------------|------------------------------------|---------|-----------------------------------|---------|
| Age, years                         | -0.004 (-0.004 to -0.003)          | <0.001  | -0.004 (-0.004 to -0.003)         | <0.001  |
| Sex (Ref = F)                      | -0.053 (-0.070 to -0.037)          | <0.001  | -0.079 (-0.095 to -0.062)         | <0.001  |
| Ethnicity (Ref = white) asian      | -0.026 (-0.065 to 0.014)           | 0.208   | -0.072 (-0.113 to -0.032)         | <0.001  |
| black                              | 0.015 (-0.030 to 0.061)            | 0.508   | -0.018 (-0.064 to 0.028)          | 0.448   |
| mixed                              | -0.064 (-0.126 to -0.002)          | 0.044   | -0.032 (-0.095 to 0.032)          | 0.327   |
| chinese                            | -0.088 (-0.188 to 0.012)           | 0.085   | -0.079 (-0.181 to 0.023)          | 0.127   |
| Age completed full time education  | 0.005 (0.002 to 0.007)             | <0.001  | 0.005 (0.003 to 0.008)            | <0.001  |
| Skin colour, lighter to darker     | -0.033 (-0.042 to -0.024)          | <0.001  | -0.028 (-0.037 to -0.019)         | <0.001  |
| Use of UV protection               | 0.007 (0.001 to 0.013)             | 0.031   | 0.000 (-0.006 to 0.007)           | 0.901   |
| Alcohol intake, never to daily     | -0.008 (-0.012 to -0.004)          | <0.001  | -0.007 (-0.011 to -0.003)         | <0.001  |
| Corneal-compensated IOP, mmHg      | -0.005 (-0.006 to -0.004)          | <0.001  | -0.006 (-0.008 to -0.005)         | <0.001  |
| Corneal resistance factor          | -0.006 (-0.008 to -0.003)          | <0.001  |                                  |         |
| Corneal hysteresis                 | -0.003 (-0.005 to -0.000)          | 0.037   |                                  |         |
| Height, m                          | -0.001 (-0.002 to -0.000)          | 0.042   | -0.000 (-0.001 to 0.001)          | 0.742   |
| SBP, mmHg                          | -0.000 (-0.000 to 0.000)           | 0.702   | -0.000 (-0.001 to 0.000)          | 0.258   |
| DBP, mmHg                          | 0.001 (0.000 to 0.002)             | 0.021   | 0.001 (0.000 to 0.001)            | 0.038   |
| Townsend deprivation index         | 0.004 (0.002 to 0.006)             | <0.001  | 0.004 (0.002 to 0.006)            | <0.001  |

* Skin colour is coded as: very fair = 1, fair = 2, light olive = 3, dark olive = 4, brown = 5, black = 6
** Alcohol intake is coded as: never = 0, special occasions = 1, one to three times a month = 2, once twice a week = 3, three four times a week = 4, daily = 5.

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suggesting that myopia is not linked as much to near work [34], but rather to earlier life exposures [35].

**Hysteresis and corneal-compensated intraocular pressure.** Of interest, corneal hysteresis, which measures the cornea’s ability to absorb and dissipate energy, was not found to be associated with corneal astigmatism in the univariable nor in the multivariable analysis.

In the univariable analysis (Table 3 and Table B in S1 File), we found a small but significant protective effect of ccIOP on corneal astigmatism in both eyes \( (B = -0.006 (-0.007 to -0.005), P < 0.001) \), which remained significant in the multivariable analysis \( (B = -0.005 (-0.006 to -0.004), P < 0.001) \) (Table 4 and Table C in S1 File). As far as we know, the significant association of ccIOP with corneal astigmatism has not been detected before although it has been tested for in a small study [36].

**Age.** In the age range of the UKBB, from age 40 to 69, corneal astigmatism decreased significantly with age by an average of 0.04 dioptres in both eyes per decade in the univariable and multivariable analysis (Table 4). This result is supported by Yuan et al [23]. However, in a previous UKBB study, Shah et al [37] reported that the level of corneal astigmatism is relatively constant across age groups. However, no linear regression was performed by the authors to support this statement. They did find however that increasing age is associated with higher refractive astigmatism, as derived from cylindrical power, which we have also recapitulated \( (B = 0.015 (0.014 to 0.016), P < 0.001) \) (Fig C in S1 File). We believe this increase in refractive astigmatism with age is likely driven by increasing lenticular astigmatism, since corneal astigmatism decreases.

**Townsend deprivation index.** Higher corneal astigmatism is associated with a higher Townsend deprivation index \( (B = 0.004 (0.002 to 0.006), P < 0.001) \) in the UKBB (Table 4). To our knowledge this association has never been reported before. This association may be partly due to an interaction effect with age since older individuals, hence with lower corneal astigmatism, tend to live in less deprived areas (Fig H in S1 File).

**Strengths and limitations of our study**

The strength of this study is the large sample size of 83,751 participants and that participants were not pre-operative patients hence more representative of the general population. However due to the limited age range of the participants, between 40 and 69 years, the age distribution is limited to adult life and are results cannot be extrapolated outside that age range. For instance, we cannot confirm whether or not corneal astigmatism increases past the age of 70 [19,23] as none of the UKBB participants had refractometry past the age of 69. Furthermore, due to the voluntary nature of the UKBB study, participants are likely to be a healthier more educated sample of the UK population and not necessarily representative of the general UK population. Regardless, a range of exposures and characteristics are likely to have been captured due to the sample size of the UKBB and so the results can still be applicable to other populations.

**Conclusion**

This analysis confirms, in a dataset of 83,751 individuals within the 40–69 age range, adverse associations with corneal astigmatism such as younger age and female gender, and identified novel associations including lighter skin colour, lower frequency of alcohol intake, later age having completed full time education, lower ccIOP and higher Townsend deprivation index. Further research and longitudinal data are needed to investigate these novel associations and to infer causality.
Supporting information
S1 File. Supplementary materials.

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Disclaimer
The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health.

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