Convergence of SPH simulations of self-gravitating accretion discs: Sensitivity to the implementation of radiative cooling

W.K.M. Rice\textsuperscript{1}*, D.H. Forgan\textsuperscript{1}, and P.J. Armitage\textsuperscript{2,3}

\textsuperscript{1}Scottish Universities Physics Alliance (SUPA), Institute for Astronomy, University of Edinburgh, Blackford Hill, Edinburgh, EH9 3HJ, UK
\textsuperscript{2}JILA, 440 UCB, University of Colorado, Boulder, CO 80309-0440, USA
\textsuperscript{3}Department of Astrophysical and Planetary Sciences, University of Colorado, Boulder, USA

Accepted 0000

ABSTRACT

Recent simulations of self-gravitating accretion discs, carried out using a three-dimensional Smoothed Particle Hydrodynamics (SPH) code by Meru and Bate, have been interpreted as implying that three-dimensional global discs fragment much more easily than would be expected from a two-dimensional local model. Subsequently, global and local two-dimensional models have been shown to display similar fragmentation properties, leaving it unclear whether the three-dimensional results reflect a physical effect or a numerical problem associated with the treatment of cooling or artificial viscosity in SPH. Here, we study how fragmentation of self-gravitating disc flows in SPH depends upon the implementation of cooling. We run disc simulations that compare a simple cooling scheme, in which each particle loses energy based upon its internal energy per unit mass, with a method in which the cooling is derived from a smoothed internal energy density field. For the simple per particle cooling scheme, we find a significant increase in the minimum cooling time scale for fragmentation with increasing resolution, matching previous results. Switching to smoothed cooling, however, results in lower critical cooling time scales, and tentative evidence for convergence at the highest spatial resolution tested. We conclude that precision studies of fragmentation using SPH require careful consideration of how cooling (and, probably, artificial viscosity) is implemented, and that the apparent non-convergence of the fragmentation boundary seen in prior simulations is likely a numerical effect. In real discs, where cooling is physically smoothed by radiative transfer effects, the fragmentation boundary is probably displaced from the two-dimensional value by a factor that is only of the order of unity.

Key words: accretion, accretion discs - gravitation - instabilities - stars; formation - stars;

1 INTRODUCTION

Accretion discs exist in many astrophysical systems - in x-ray binaries and cataclysmic variable stars, around supermassive black holes in the nuclei of active galaxies, and around young, newly forming stars. In some cases, in particular in active galactic nuclei and around young stars, these discs can be sufficiently massive that their own self-gravity plays an important role in their evolution. The susceptibility of an infinitesimally thin accretion disc to the growth of the gravitational instability can be determined using the Toomre $Q$ parameter (Toomre 1964)

\begin{equation}
    Q = \frac{c_s \Omega}{\pi G \Sigma},
\end{equation}

where $c_s$ is the disc sound speed, $\Omega$ is the angular frequency, $G$ is the gravitational constant, and $\Sigma$ is the disc surface mass density.

Discs are unstable to axisymmetric perturbations if $Q < 1$, while numerical simulations (Durisen et al. 2007) suggest that the growth of non-axisymmetric perturbations occurs for $Q < 1.5 - 1.7$.

It has been understood for quite some time that the gravitational instability can act to transport angular momentum outwards, allowing mass to accrete onto the central object (Lin & Pringle 1987; Laughlin & Bodenheimer 1994; Armitage, Livio & Pringle 2001) and could well be the primary transport mechanism during the earliest stages of star formation (Lin & Pringle 1987; Rice, Mayo & Armitage 2010). It is also possible that, if sufficiently unstable, these discs may fragment to form bound objects (Kuiper 1951). In the case of protostellar discs, these objects could contract to form gas giant planets (Boss 1998, 2000) or could form stars in discs around supermassive black holes (Shlosman & Begelman 1989; Goodman 2003; Bonnell & Rice 2008).

Paczyński (1978) suggested that non-fragmenting, self-gravitating discs may exist in a state of marginal stability.
relations of self-gravitating discs using SPH fail to converge. In their α perturbations increase with increasing

Essentially the current understanding is that the amplitude of the perturbations in such a disc is related to the viscosity through an effective gravitational α that satisfies

\[
\alpha_{\text{eff}} = \frac{4}{9\gamma(\gamma - 1)\beta^2} \times \frac{1}{\sqrt{\beta}}.
\]

(Meru & Bate 2011) have, however, recently shown that simulations of self-gravitating discs using SPH fail to converge. In their simulations, the β value at which fragmentation occurs increases as the resolution increases. They do infer that this could mean that fragmentation may occur for arbitrarily long cooling times. If fragmentation occurs, short cooling times, then it becomes very unlikely that it can occur.

\[
\frac{\delta \Sigma}{\Sigma} \sim \alpha_{\text{eff}}^{1/2} \times \frac{1}{\sqrt{\beta}}.
\]

Essentially the current understanding is that the amplitude of the perturbations increase with increasing αeff and, hence, there is a maximum value for αeff that a self-gravitating disc can sustain without fragmenting. This maximum has been shown to be

\[
\alpha_{\text{max}} \sim 0.06 \quad \text{(Gammie 2001; Rice, Lodato & Armitage 2005).}
\]

Meru & Bate (2011) suggest that particle j, located at \(\mathbf{r}_j\), makes to the density at location \(\mathbf{r}\) is

\[
\rho_j(\mathbf{r}) = m_j W(|\mathbf{r} - \mathbf{r}_j|; h_j),
\]

where \(W\) is the “smoothing kernel” that describes the form of the mass distribution and \(h_j\) is the smoothing length associated with particle j. The density at \(\mathbf{r}\) is then determined by summing over the \(N\) particles that contribute to the density at that location

\[
\rho(\mathbf{r}) = \sum_{j=1}^{N} \rho_j(\mathbf{r}).
\]

To perform a basic hydrodynamic simulation, SPH needs an equation of state and has to solve the continuity equation, the momentum equation and the energy equation. If the energy equation is included then typically an ideal gas equation of state will be used

\[
P_j = (\gamma - 1) \rho u_j,
\]

where \(\gamma\) is the specific heat ratio, \(\rho\) is the fluid density at the location of particle j, and \(u_j\) is the internal energy per unit mass associated with particle j.

The continuity equation is

\[
\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0,
\]

but since SPH uses particles with constant masses, mass is conserved trivially and the continuity equation does not need to be solved explicitly. Ignoring self-gravity, the Lagrangian form of the momentum equation is

\[
\frac{dv}{dt} = -\frac{1}{\rho} \nabla P,
\]

showing that - in the absence of gravity - the acceleration is determined only by the local pressure gradient. In SPH, the acceleration of each particle is determined and Equation (10) is typically rewritten into a suitable form shown below

\[
\frac{dv_i}{dt} = -\sum_{j} m_j \left( \frac{P_j}{\rho_j^2} + \frac{P_i}{\rho_i^2} \right) \nabla_i W(|\mathbf{r}_i - \mathbf{r}_j|; h),
\]

where the sum is over all the neighbours (i) of particle j and \(\nabla_i W(|\mathbf{r}_i - \mathbf{r}_j|; h)\) is the gradient of the kernel (a known function) at the location of each neighbour, with \(h\) typically the average of the smoothing lengths for particles \(i\) and \(j\).
The energy equation is
\[
\frac{de}{dt} + \nabla \cdot (ev) = -P \nabla \cdot v, \tag{12}
\]
where \( e = \rho u \) is the internal energy density. Using the continuity equation (Equation 2) the energy equation can be written in its Lagrangian form
\[
\frac{du}{dt} = -\frac{P}{\rho} \nabla \cdot v \tag{13}
\]
which can then also be written into a form more suitable for SPH (e.g., Monaghan 1992)
\[
\frac{du}{dt} = \frac{1}{2} \sum_i \left( \frac{P_i}{\rho_i} + \frac{P_j}{\rho_j} \right) v_{ij} \cdot \nabla_i W(|r_i - r_j|; \lambda), \tag{14}
\]
where \( v_{ij} \) is the velocity of particle \( i \) with respect to particle \( j \).

### 2.2 Introducing cooling

To investigate how self-gravitating discs evolve in the presence of cooling, many simulations (Gammie 2001, Rice et al. 2003) have used what is now referred to as \( \beta \)-cooling and is illustrated in Equation (2). The cooling term in the energy equation would therefore be
\[
\frac{de}{dt}_{\text{cool}} = -\frac{e}{\tau_c}. \tag{15}
\]
If this is introduced into the energy equation, Equation (14) then becomes
\[
\frac{du}{dt} = -\frac{P}{\rho} \nabla \cdot v - \frac{e}{\tau_c} = -\frac{P}{\rho} \nabla \cdot v - \frac{u}{\tau_c}. \tag{16}
\]
Since each particle has an internal energy per unit mass (\( u_i \)) associated with it, typically the cooling term for each particle is simply assumed to be
\[
\frac{du_i}{dt}_{\text{cool}} = -\frac{u_i}{\tau_c}. \tag{17}
\]
This cooling form has been used in many previous SPH simulations (e.g., Rice et al. 2003, Rice, Lodato & Armitage 2003, Cossins, Lodato & Clarke 2009) and is the form used by Meru & Bate (2011) in their simulations that appear not to converge as the resolution is increased.

The internal energy per unit mass associated with a particle is, however, the total amount of thermal energy that the particle has and, in an SPH sense - as with the mass - should be regarded as a smeared out distribution of thermal energy. We argue that using the standard \( \beta \)-cooling formalism produces a mismatch in scales. The hydrodynamic equations are solved at the scale of the smoothing length \( h \) - if the gravitational potential is kernel-softened, then it can be argued that gravitational forces are also limited by the smoothing length \( h \). For simulations that are not well-resolved, a density peak of length-scale \( \lambda \) will be suppressed by a factor of \( 1 + h/\lambda \), as noted by Lodato & Clarke (2011), who use this to derive a resolution requirement for fragmentation:
\[
\beta_{\text{res}} = \beta_0 \left( 1 + h/\lambda \right)^{-2}. \tag{18}
\]

In implementing \( \beta \)-cooling at the locations of particles only, the cooling operates far below the \( h \)-scale. Purely hydrodynamic simulations will calculate \( u \) in a smoothed sense, but adding an unsmoothed \( \beta \)-cooling term violates this. We should then seek a means by which the \( \beta \)-cooling term can be limited to the \( h \)-scale. In SPH, any quantity, \( A \), associated with the fluid can be estimated using density-weighted interpolations between the known particle values (e.g., Bodenheimer et al. 2007)
\[
A(r) = \sum_{i=1}^{N} \frac{m_i}{\rho_i} W(|r - r_i|, \lambda). \tag{19}
\]
Formally, the internal energy density at the location of particle \( j \) - at position \( r \) should then be determined using
\[
e(r) = \rho(r) \sum_{i} \frac{m_i}{\rho_i} W(|r - r_i|, \lambda). \tag{20}
\]

The internal energy per unit mass at the location of particle \( j \) is therefore, formally, \( e(r)/\rho(r) \). In principle, one would expect that at the location of each particle - the interpolated value should be the same as the value assigned to the particle. This is the case in any regions that vary smoothly, but is not the case at shocks and other discontinuities (Brownlee et al. 2007). That fragmentation tends to occur in the spiral shock regions may, therefore, suggest that using the unsmoothed thermal energies per unit mass may result in errors in the cooling rate that could be removed by using the smoothed thermal energy. We should acknowledge that there is a potential inconsistency in that the gas pressure is typically defined using Equation (5) in which a smoothed quantity (\( \rho \)) is combined with an unsmoothed quantity (\( u \)). As suggested by Ritchie & Thomas (2001), it may be more consistent to define the pressure as
\[
P_j = (\gamma - 1)\rho(r) \sum_{i} \frac{m_i}{\rho_i} u_i W(|r_j - r_i|, \lambda). \tag{21}
\]
However, the pressure force and heating terms in Equations (11) and (13) are determined via interpolation and so it is not clear that the pressure itself has to be determined via interpolation.

If the above is correct, then it is possible that the non-convergence seen in Meru & Bate (2011) is due to this mismatch between \( u_i \) and the smoothed internal energy per unit mass at the location of particle \( j \). We could simply replace Equation (17) with
\[
\frac{du_i}{dt}_{\text{cool}} = -\frac{1}{\tau_c} \sum_i \frac{m_i}{\rho_i} u_i W(|r_j - r_i|, \lambda). \tag{22}
\]
This, however, has a problem because the cooling is exponential and, hence, any particle cooler than all its neighbours will very quickly (and artificially) cool to a very low thermal energy. Instead, we distribute the cooling, associated with particle \( j \), across the neighbour sphere and use
\[
\frac{du_j}{dt}_{\text{cool}} = -\frac{1}{\tau_c} W(0, h_j) m_j u_j, \tag{23}
\]
where the lower of the two equations in Equation (23) is applied to all neighbours \( i \) of particle \( j \). Ultimately, the total cooling rate for particle \( j \) will be
\[
\frac{du_j}{dt}_{\text{cool}} = -\frac{1}{\tau_c} \sum_i \frac{m_i}{\rho_i} u_i W(|r_j - r_i|, \lambda), \tag{24}
\]
which ensures that the correct amount of energy is removed from the neighbour sphere, but prevents the coolest particle from cooling exponentially to an artificially low thermal energy. We suggest here that using Equation (24) to represent \( \beta \)-cooling is more appropriate than using Equation (17) as it more correctly represents the cooling rate at the location of particle \( j \) and removes the correct amount of energy per time from the neighbour sphere, which is the smallest scale we can expect the SPH formalism to correctly accommodate.
2.3 Shock-tube test

As discussed above, we suggest that the non-convergence seen in the simulations could be a consequence of their implementation of \( \beta \)-cooling. As with many others (e.g., Rice et al. 2003, Rice, Lodato & Armitage 2005, Cossins, Lodato & Clarke 2009) the cooling rate is determined using the internal energy per unit mass assigned to each particle. We propose that it is more appropriate to use a smoothed thermal energy (e.g., Equation (20)) to determine the cooling rate.

However, the interpolation used in SPH (e.g., Equation (19)) should, when applied at the location of a particle, recover the value of the fluid quantity assigned to that particle. If so, one would expect that smoothed cooling and basic cooling should produce the same results. There are, however, regions - in particular at discontinuities such as shock waves or contact discontinuities - where this is not the case (see for example Brownlee et al. 2007). As a simple test we considered a one-dimensional shock tube test problem (e.g., Herquist & Katz 1989) which we carried out using SPH and using the grid-based PENCIL CODE (Brandenburg 2003).

Figure 1 shows the density, \( \rho \), (dashed line) and pressure \( (P = (\gamma - 1)\rho u) \) divided by \( (\gamma - 1) \) (solid line) from the PENCIL CODE compared with the corresponding values from the SPH simulation (symbols). The pressure is divided by \( (\gamma - 1) \) simply to separate it from the density. In the top panel it is clear that at the contact discontinuity (located at \( x \sim 0.1 \)), the PENCIL CODE pressure is continuous (as expected) while the SPH pressure - determined using the unsmoothed internal energy per unit mass - is not. This discontinuity in the SPH pressure at the contact discontinuity is unphysical but, since the pressure force (Equation (11)) and energy term (Equation (13)) are determined by interpolation, the SPH code does not behave as if this discontinuity is actually present. If the cooling, however, uses the unsmoothed internal energy, then this discontinuity will be present in the cooling and will produce an unphysical mismatch between cooling and heating near the contact discontinuity. Since fragmentation typically occurs in the region behind the shock, this mismatch could indeed play an important role in promoting fragmentation. The lower panel in Figure 1 shows that the discontinuity in pressure at the contact discontinuity is not present if the smoothed internal energy is used to determine the pressure. This suggests that using the smoothed internal energy in the cooling formalism is more physically correct than using the unsmoothed internal energy. We therefore, below, carry out a series of simulations to determine if convergence is achieved when Equation (24) is used instead of Equation (17).

3 RESULTS

All of the simulations presented have the same basic conditions as Meru & Bate (2011), which are based on those of Lodato & Rice (2004). A central star with a mass of \( M_{\text{star}} = 1 \) surrounded by a disc extending from \( r_{\text{in}} = 0.25 \) to \( r_{\text{out}} = 25 \), with a mass of \( M_{\text{disc}} = 0.1 \) and with a surface density profile of \( \Sigma \propto r^{-3} \). These are, as with Meru & Bate (2011), scale-free simulations and so we don’t explicitly assume any scale, although typically these could be regarded as representing a disc from 0.25 to 25 au around a star with a mass of 1 \( M_{\odot} \).

3.1 Comparison with earlier simulations

To ensure that our SPH code is comparable to that of Meru & Bate (2011) we first run simulations using the basic cooling as described by Equation (17). Table II shows the simulations that we performed using basic cooling. You’ll notice that, unlike Meru & Bate (2011), we do not carry out any 31250 particle simulations. As shown by Bate & Burkert (1997), an SPH simulation only correctly represents fragmentation if the minimum resolvable mass

\[
M_{\text{min}} = 2M_{\text{tot}}\frac{N_{\text{neigh}}}{N_{\text{tot}}}
\]

is less than the Jeans mass. In Equation (25), \( M_{\text{tot}} \) is the total disc mass, \( N_{\text{neigh}} \) is the number of neighbours each particle has (typically 50) and \( N_{\text{tot}} \) is the total number of particles in the simulation.

The Jeans mass can be approximated using \( M_{J} = \pi \Sigma H^{2} \) where \( H = c_{s}/\Omega \) is the disc scale height. If we use \( \Sigma = \Sigma_{0}/r \) then, given that \( M_{\text{disc}} = 0.1, \Sigma_{0} = 0.1/(2\pi[r_{\text{out}} - r_{\text{in}}]) \approx 0.1/(2\pi r_{\text{out}}) \), since these discs are self-gravitating, we can use \( Q = c_{s}\Omega/(\pi G \Sigma) = 1 \) (Toomre 1964) to give

\[
c_{s} = \frac{\pi G \Sigma}{\Omega} = \pi \Sigma_{0} r^{1/2},
\]

Figure 1. Comparison between an SPH shock tube test problem (symbols) and one performed using the PENCIL CODE (lines). These show a shock wave at \( x \sim 0.3 \) and a contact discontinuity at \( x \sim 0.1 \). In the top panel, the SPH pressure (open circles) is determined using the unsmoothed internal energy resulting in an unphysical jump at the contact discontinuity. Using the smoothed internal energy (lower panel) removes this unphysical discontinuity in the pressure.
Convergence of SPH disc simulations

Figure 2. Figure showing, for an SPH simulation with $\Sigma \propto r^{-1}$, $M_{\text{disk}} = 0.1$, $M_{\text{star}} = 1$, and $r_{\text{out}} = 25$, the radial range where the Jeans mass is resolved (unfilled) and unresolved (filled) plotted against particle number. This clearly shows that if fewer than 80000 particles are used, the Jeans mass is not properly resolved anywhere in the disc.

Table 1. List of the simulations using basic cooling.

| Simulation | No. of particles | $\beta$ | Fragment? |
|------------|------------------|---------|-----------|
| 1          | 250000           | 4       | Yes       |
| 2          | 250000           | 5       | Yes       |
| 3          | 250000           | 6       | No        |
| 4          | 250000           | 7       | No        |
| 5          | 500000           | 5       | Yes       |
| 6          | 500000           | 7       | Yes       |
| 7          | 500000           | 8       | No        |
| 8          | 2000000          | 8       | Yes       |

where we’ve also used that $M_{\text{star}} = 1$ and $G = 1$. We can therefore show that the Jeans mass in these simulations has the following dependence on radius

$$M_J = \frac{0.001 r^3}{8 r_{\text{out}}^3}. \quad (27)$$

Figure 2 shows a plot of radius against particle number where the filled region shows the radial range for which the Jeans mass is not resolved while the unfilled region shows the radial range for which the Jeans mass is resolved. Figure 2 shows clearly that if fewer than 80000 particles are used, the Jeans mass is not resolved anywhere in the disc. With 250000 particles, the Jeans mass is resolved beyond $r = 17$ and for 2 million particles it is resolved beyond $r = 8$. That the results include simulations with 31250 particles, and that these simulations are consistent with the resulting trend, suggests that numerical effects are influencing the results. We also don’t carry out any simulations with more than 2 million particles simply because our code is parallelised using OpenMP and we are therefore limited as to how many processors we can access and, hence, can’t realistically carry out higher resolution simulations.

Table 1 shows that these simulations are consistent with those of Meru & Bate (2011). For 250000 particles, fragmentation occurs for $\beta$ between 5 and 6, for 500000 particles it is between 7 and 8 and for 2 million particles fragmentation occurs for $\beta > 8$. Figs. 3, 4, and 5 show surface density images of a number of the simulations. For the 250000 and 500000 particle simulations we show an image of simulation that fragmented and one that did not. For the 2 million particle simulation we simply illustrate (in Fig. 5) that the $\beta = 8$ simulation did indeed undergo fragmentation. These simulations are all run for at least 6 outer rotation periods and, if there is any evidence for fragmentation, they are continued until either these fragments become much denser than the local density, or they shear away. The clumps in Figs. 3, 4, and 5 are therefore smaller and fewer in number than would be the case if the image showed an epoch just after fragmentation started.

3.2 Smoothed cooling

Having shown that, using the basic cooling represented by Equation (17), we get results consistent with Meru & Bate (2011), we then carried out a large number of simulations using what we shall refer to as smoothed cooling.
Table 2. List of the simulations using smoothed cooling.

| Simulation | No. of particles | β | Fragment? |
|------------|------------------|---|-----------|
| 1          | 250000           | 4 | Yes       |
| 2          | 250000           | 4.5| Yes      |
| 3          | 250000           | 5 | No        |
| 4          | 250000           | 6 | No        |
| 5          | 250000           | 7 | No        |
| 6          | 500000           | 5 | Yes       |
| 7          | 500000           | 6 | Yes       |
| 8          | 500000           | 7 | No        |
| 9          | 500000           | 8 | No        |
| 10         | 2000000          | 5 | Yes       |
| 11         | 2000000          | 6 | Yes       |
| 12         | 2000000          | 7 | No        |
| 13         | 2000000          | 8 | No        |
| 14         | 10000000*        | 7 | Yes       |
| 15         | 10000000*        | 8 | Yes       |
| 16         | 10000000*        | 9 | No        |

Figure 6. Simulations with 250000 particles and using smoothed cooling. The left panel is $\beta = 4$, and the right panel is $\beta = 5$.

Figure 7. Simulations with 500000 particles and using smoothed cooling. The $\beta$ values are $\beta = 4$ (top left), 5 (top right), 6 (bottom left), and 7 (bottom right).

Figure 8. Simulations with 2 million particles and using smoothed cooling. The top-left panel is $\beta = 5$, the top right-panel is $\beta = 6$ and the bottom panel is $\beta = 7$.

Figure 9. Simulations with 10 million particles and using smoothed cooling. The $\beta$ values are $\beta = 4$ (top), 5 (middle), and 6 (bottom).

The results from Meru & Bate (2011) suggest that the dependence of the fragmentation boundary ($\beta_{\text{crit}}$) on resolution is $\beta_{\text{crit}} \propto n^{1/3}$ with $n$ the number of particles in the simulation. This is equivalent to saying that the fragmentation boundary depends linearly on resolution (i.e., $h \propto n^{1/3}$). Table 2 immediately shows that our results are considerably different to those of Meru & Bate (2011) with the fragmentation boundary varying from between 4.5 and 5 for simulations with 250000 particles to between 8 and 9 for the simulation with effectively 10 million particles. Fig. 10 compares the results from Meru & Bate (2011) with the results obtained here. The filled symbols are from Meru & Bate (2011) and the open symbols are from this work. Triangles represent simulations that fragmented while squares are for those that did not. The filled circle represents a Meru & Bate (2011) simulation that they referred to as being borderline. We see very little evidence for what might be regarded as borderline systems. Our simulations either definitely fragment (the clumps continue to grow and become extremely dense) or the system settles into a quasi-steady state with no signs of fragmentation. In some cases clumps will form and shear away, but this appears to happen very rarely. We would generally interpret the Meru & Bate (2011) borderline cases as non-fragmenting.
systems. The line in Fig. 10 shows the relationship between $\beta_{\text{crit}}$ and particle number determined by Meru & Bate (2011).

It is clear from Fig. 10 that using smoothed cooling (Equation (24)) produces results significantly different to that produced by simulations using basic cooling (Equation (17)). Although the effective 10 million particle simulation has a fragmentation boundary slightly higher than the 2 million and 500000 particle simulations, it does appear as though we can conclude that the fragmentation boundary may be converging to a value of $\beta_{\text{crit}} < 10$. That the 500000 particle and 2 million particles simulations produced very similar values for $\beta_{\text{crit}}$ (between $\beta = 6$ and $\beta = 7$) had lead us to initially conclude that these simulations had already converged. The higher value for $\beta_{\text{crit}}$ produced by the 10 million particle simulation does suggest that convergence hasn’t yet been reached, but could also imply that a ring of particles is not an exact proxy for a more massive and larger disc with more particles.

### 3.3 Comparison of cooling rates

That the interpolated value of the thermal energy should approximate well the individual particle values everywhere except at discontinuities suggests that there shouldn’t be a significant difference, in general, between the cooling rate in simulations using basic cooling when compared to those using smoothed cooling. To test this we considered azimuthally averaged cooling rates for 2 of the simulations with the same number of particles (500000) and both with $\beta = 8$ that did not fragment when either smoothed or basic cooling was used. We also averaged over the final 10 dumps covering a time of 1.5 outer rotation periods. The result is shown in Figure 11 with the solid line being the cooling rate using smoothed cooling and the dashed line being the cooling rate using basic cooling.

Figure 11 shows that the cooling rates are indeed similar and have the same radial trend. The large increase in cooling rate inside $r = 5$ is a consequence of this region being poorly resolved due to particles having been accreted onto the central star (Bate, Bonnell & Price 1995), resulting in the numerical viscosity dominating in this inner region (see for example Forgan et al. 2011). This artificially heats the inner disc and produces the large increase in cooling rate. Beyond $r = 5$, where numerical viscosity does not dominate and the system is well resolved, the local variations can, however, be as large as 10%. If, however, we sum the individual particle cooling rates from a single dump and take the average for $r > 5$, the smoothed and basic cooling formalisms differ by, typically, less than 5% with neither cooling formalism being, consistently, the most efficient. If this is averaged across the final 1.5 outer rotation periods (10 dumps) the difference is only 1.8%. This suggests that even though locally there can be reasonable (10%) differences between the two cooling formalisms, globally they are very similar.

### 4 DISCUSSION AND CONCLUSIONS

To study the evolution of self-gravitating accretion discs that are undergoing cooling, many authors have used a form of cooling known as $\beta$-cooling and which is represented by Equation (2). In SPH, this has generally been implemented by cooling each particle with a cooling rate determined using the internal energy per unit
mass associated with that particle. [Meru & Bate 2011] have recently shown, however, that such simulations do not converge and that the value for $\beta$ at which fragmentation occurs ($\beta_{\text{crit}}$) increases linearly with resolution (i.e., $\beta_{\text{crit}} \propto n^{1/3}$).

We confirm here that this non-convergence is indeed seen in such simulations, but suggest that it may be a SPH problem and may result from using the internal energy per unit mass associated with that particle. This presents a mismatch of scales between the linearly with resolution (i.e., $\beta_{\text{crit}} \propto n^{1/3}$). Meru & Bate (2011) have re-8

It has also been suggested [Paardekooper, Baruteau & Meru 2011] that this non-convergence is due to the use of smooth initial conditions. Fragmentation then occurs at the boundary between the turbulent and laminar regions as the system cools towards a quasi-steady state. It is suggested that it may be more appropriate to produce an initial quasi-steady state using a long cooling time (a high $\beta$ value) and then to vary the value of $\beta$. This is a very reasonable suggestion but comparisons of their work with this paper may not be wholly appropriate. Their implementation of $\beta$-cooling in a 2D grid is closer to our smoothed cooling techniques than traditional SPH $\beta$-cooling, which (given the results of this paper) would assist efforts to converge. On the other hand, their simulations require aseed of white noise to be added to the smooth initial conditions to generate spiral structure. SPH simulations typically contain Poisson noise in the initial conditions as a result of innate particle discontinuities (for example) the two cooling methods differ by only a few percent. This is consistent with our suggestion that it is local differences in discontinuities) that result in different fragmentation boundaries.

Although we have focused on simulations that use $\beta$-cooling, the results presented here also have potential implications for SPH simulations that approximate radiation transfer (e.g., Whitehouse & Bate 2004; Mayer et al. 2007; Stamatellos et al. 2007; Forgan et al. 2009). In most of these formalisms, the temperature at the location of a particle is determined from its internal energy per unit mass. It is possible that it too should be determined by smoothing across the neighbour sphere. The form of the cooling in these radiation transform formalisms is, however, very different to that for $\beta$-cooling and typically these simulations cool towards an equilibrium temperature rather than exponentially towards zero (as is the case for $\beta$-cooling), so the convergence problem seen with $\beta$-cooling may not affect these simulations.

Ultimately what we suggest here is that the non-convergence seen by Meru & Bate (2011) is primarily a numerical effect and hence that there is no evidence to suggest that fragmentation can occur for arbitrarily long cooling times in self-gravitating accretion discs. The standard picture that fragmentation requires short cooling times ($\alpha_{\text{eff}} > 0.06$) still, therefore, appears to be valid. This is consistent with the results of Cossins, Lodato & Clarke (2005) and Rice et al. (2011) who show that the perturbation amplitudes in self-gravitating discs depend inversely on the cooling time and hence fragmentation occurs when the perturbation amplitude are large (non-linear) and, consequently, when the cooling time is short.

ACKNOWLEDGEMENTS

All simulations presented in this work were carried out using high performance computing funded by the Scottish Universities Physics Alliance (SUPA). KR and DF gratefully acknowledge support from STFC grant ST/H002380/1. PJA acknowledges support from NASA, under awards NNX09AB90G and NNX11AE12G from the Origins of Solar Systems and Astrophysics Theory programs, and from the NSF under award AST-0807471. The authors would like to thank Cathie Clarke, David Hubber and Dan Price for useful discussions.

REFERENCES

Armitage P.J., Livio M., Pringle J.E., 2001, MNRAS, 324, 705
Artyomowicz P., Lubow S.H., 1994, ApJ, 421, 651
Bate M.R., Bonnell I.A., Price N.M., 1995, MNRAS, 277, 362
Bate M.R., Burkert A., 1997, MNRAS, 288, 1060
Benz W., 1990, in Buchler J.R., ed., Numerical Modelling of Non-linear Stellar Pulsations Problems and Prospects. Kluwer, Dordrecht, p. 269
Bodenheimer P., Laughlin G.P., Różycka M., Yorke H.W., eds, 2007, Numerical Methods in Astrophysics: An Introduction. Taylor Francis, New York
Boley A.C., Mejia A.C., Durisen R., Cai K., Pickett M.K., D’Alessio P., 2006, ApJ, 651, 517
Bonnell I.A., Rice W.K.M., 2008, Science, 321, 1060
Boss A.P., 1998, Nat., 393, 141
Boss A.P., 2000, ApJ, 536, L101
Brandenburg A., 2003, in Advances in Nonlinear Dynamos, ed. A. Ferriz-Mas & M. Núñez (London: Taylor & Francis), 269
Brownlee R.A., Houston P., Levesley J., Rosswog S., 2007, in Algorithms for Approximation: Proceedings of the 5th International Conference, Chester UK, p. 103
Cartwright A., Stamatellos D., Whitworth A., 2009, MNRAS, 395, 2373
Clarke C.J., 2009, MNRAS, 396, 1066
Cossins P., Lodato G., Clarke C.J., 2009, MNRAS, 393, 1157
Durisen R., Boss A.P., Mayer L., Nelson A.F., Quinn T., Rice W.K.M., 2007, in Reipurth B., Jewitt D., Keil K., eds, Protostars and Planets V, Gravitational Instabilities in Gaseous Protoplanetary Disks and Implications for Giant Planet Formation, University of Arizona Press
Forgan D., Rice K., Stamatellos D., Whitworth A., 2009, MNRAS, 394, 882
Convergence of SPH disc simulations

Forgan D., Rice K., Cossins P., Lodato G., 2011, MNRAS, 410, 994
Gammie C.F., 2001, ApJ, 553, 174
Goodman J., 2003, MNRAS, 339, 937
Hernquist L., Katz N., 1989, ApJS, 70, 419
Kuiper G., 1951, in Hynek J.A., ed., Proceedings of a topical symposium, c commemorating the 50th anniversary of the Yerkes Observatory and half a century of progress in astrophysics, McGraw-Hill, New York, p. 357
Laughlin G., Bodenheimer P., 1994, ApJ, 436, 335
Lin D.N.C., Pringle J.E., 1987, MNRAS, 225, 607
Lodato G., Rice W.K.M., 2004, 351, 630
Lodato G., Clarke C.J., 2011, MNRAS, 413, 2735
Lodato G., Price D., 2010, MNRAS, 405, 1212
Mayer L., Lufkin G., Quinn T., Wadsley J., 2007, ApJ, 661, L77
Meru F., Bate M.R., 2011, MNRAS, 411, L1
Monaghan J.J., 1992, ARA&A, 30, 543
Murray J.R., 1996, MNRAS, 279, 402
Paardekooper S.-J., Baruteau C., Meru F., 2011, MNRAS, in press
Paczynski B., 1978, Acta. Astron., 28, 91
Rafikov R.R., 2005, AJ, 621, 69
Rice W.K.M., Armitage P.J., Mamatsashvili G., Lodato G., Clarke C.J., 2011, MNRAS, in press
Rice W.K.M., Mayo J.H., Armitage P.J., 2010, MNRAS, 402, 1740
Rice W.K.M., Armitage P.J., Bate M.R., Bonnell I.A., 2003, MNRAS, 339, 1025
Rice W.K.M., Lodato G., Armitage P.J., 2005, MNRAS, 364, L56
Ritchie B.W., Thomas P.A., 2001, MNRAS, 323, 743
Shakura N.I., Sunyaev R.A., 1973, A&A, 24, 337
Shlosman I., Begelman M., 1989, ApJ, 341, 685
Stamatellos D., Whitworth A.P., 2008, A&A, 480, 879
Stamatellos D., Whitworth A.P., Bisbas T., Goodwin S., 2007, A&A, 475, 37
Toomre A., 1964, ApJ, 139, 1217
Truelove J.K., Klein R.I., McKee C.F., Holliman J.H., Howell L.H., Greenhough J.A., 1997, ApJ, 489, L179
Whitehouse S.C., Bate M.R., 2004, MNRAS, 353, 1078