Extraction and Characterization of Chitosan from Crustacean Waste: A Constructive Waste Management Approach

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Abstract: Biopolymer based products grabbed much attention of researchers today for its various eco and bio friendly properties. From several studies it is understood that ocean ecosystem is one of the major source of biopolymer particularly chitosan. Chitosan is an amino polysaccharide known for its distinctive properties besides biodegradability and biocompatibilities are more imperative. Simultaneously, sea food waste is one of the major issues today and it causes several environmental hazards if not addressed properly. Hence, the crustacean waste is the prime focus of the present study to extract chitosan for various biomedical applications and the extraction was done from shrimp and crab shell waste by chemical method. The process was preceded with several steps like deproteination, demineralization, decolourization and deacetylation. The extracted chitosan was subjected to several characterizations like physio chemical parameters includes, deacetylation degree, ash content, pH value, loss on drying and solubility testing. The functional and structural properties were also characterized using XRD and FT-IR respectively. All the characterization data were compared with standard chitosan and confirms the structure of chitosan. Hence, the current study proposed the efficient method of extracting high quality chitosan from shrimp and crab shell waste. This in turn encompasses in proficient crustacean waste management system and keeps the sea food industry associated environment clean.

Keywords: Chitin, Chitosan, Extraction, XRD, FT-IR

1. Introduction

Globally, sea food attracts the taste buds of many people and its delicacy occupies a prodigious market in an extensive range of products. There is huge number of industrial plants which process the harvested fish. The major focus of fish processing plants is to recover the meat alone not the shells, thereby excretes tons and tons of crustacean by-products as waste. Every year, the shellfish processing plants generates enormous quantity of waste this way and contribute a notable environmental hazard. However the biological wastes are decomposable, the dumping off very huge masses makes the place unhygienic with foul odour and also slow down the process of degradation. Utilization of these dumped crustacean shell waste in a beneficial way by producing value added products is the quick and effective solution to address this problem.

Chitosan, a helical polysaccharide macromolecule found in the exoskeleton of crustaceans such as crabs, shrimp, crawfish, insects, and other arthropods and is the second most abundant natural biopolymer after cellulose. Earlier reports revealed that, both chitin and chitosan have shown to have remarkable biological properties such as biodegradable degradation products, hydrophilicity, biocompatibility, cellular binding capability and acceleration of wound healing, which accounts for their wide variety of applications in food, cosmetic, biomedical, and pharmaceutical industries [1]. Also the well known physicochemical and impassive functional properties upon modifications, chitosan is well popular in the field of biomedicine and considered as a potential candidate for a wide range of medical applications.

There are various methods available for extraction of chitosan from crustacean wastes but the major disadvantages are time consuming and low yielding. Hence, the current study focuses on simple and efficient method of chitosan extraction.

2. Materials and Methods

Sample preparation

The exoskeleton of shrimp and crab was collected and placed in sealed bags from local fish market of Ukkadam, Coimbatore and refrigerated overnight. Approximately 1500 grams of shrimp exoskeleton was washed and crushed into smaller pieces using a meat tenderizer then allowed to air dry for 3 hrs. Air dried samples were transferred to hot air over oven set at 65°C for 4 consecutive days to obtain dehydrated material. The dry weights of the samples were determined before going to extract the chitin and prepare chitosan.

3. Extraction of Chitin and Preparation of chitosan

1) Deproteinization: Initially, dried shrimp and crab shell waste was treated with 4% (w/v) NaOH solution at 45°C for 24hrs to remove the protein, separation of alkali-insoluble fraction was achieved by centrifugation at 4000 rpm for 15 minutes and repeated washing with distilled water was done till the pH dropped to neutral.

2) Demineralization: Deproteinized shells were treated with 4% (v/v) HCl solution at 30°C for 12hours to remove minerals, separation of acid-insoluble fraction by
centrifugation at 4000 rpm for 15 minutes. Further, the separated fraction was washed with distilled water until it is absolutely free of acid, then it was kept for drying at 40°C overnight to yield chitin. The obtained chitin hold a slight pink in coloration, hence, before being proceed to chitosan preparation, decolourisation process was done.

3) Decolourisation: Decolourisation was achieved by soaking the obtained chitin in 1% potassium permangenate for 30 mins followed by 1% oxalic acid for 30 mins to 2 hrs. Finally, the product obtained was designated as purified shrimp and crab shell chitin.

4) Deacetylation: The decolorized chitin was subjected to deacetylation process by treating with 65% (w/v) NaOH for 3 days at 30°C to convert as chitosan. The alkali fraction found in chitosan was separated by centrifugation at 4000 rpm for 15 minutes and excess alkali drained off and further washed with distilled water till pH reaches to neutral. Obtained chitosan fraction was dried at 40°C for overnight and stored at room temperature until further exploration.

4. Properties of Chitosan

Degree of deacetylation

Degree of deacetylation refers to the removal of acetyl group from the chain which is determined by potentiometric titration. Homogenous solution of chitosan was prepared using diluted HCl (0.010 mol/L) which was titrated against 0.1M NaOH (w/v). The end point is determined by the inflections of the pH values. Two inflections were mainly considered out of which first one corresponds to neutralization of HCl and second one neutralization of ammonium ions from chitosan. The difference between two points gives the amount of amino groups in the chitosan it was also referred as degree of deacetylation [2].

\[
DD\% = 100 - DA\% 
\]

DD represents- Degree of Deacetylation

pH value

The pH measurement of chitosan solutions were carried out using pH meter with microprocessor.

Ash value

To determine the ash value of extracted chitosan, 2.0 g of chitosan sample was placed into previously ignited, cooled, and tarred crucible. The samples were heated in a muffle furnace preheated to 650°C for 4 hrs. The crucibles were allowed to cool in the furnace to less than 200°C and then placed into desiccaters with a vented top [3]. Percentage of ash value is calculated using the following formula,

\[
\text{Ash} (\%) = \frac{\text{weight of residue} \times 100}{\text{weight of sample} \times 100} 
\]

Loss on drying

Loss on drying of the prepared chitosan was determined by the gravimetric method. The water mass loss was determined by drying the sample to constant weight and measuring the sample before and after drying [3]. The water mass (or weight) was the difference between the weights of the wet and oven dry samples.

\[
\text{Loss on drying} (\%) = \frac{\text{weight of sample} - \text{weight of residue}}{\text{weight of sample}} \times 100 
\]

Solubility of Chitosan

To estimate the solubility nature of extracted chitosan was determined according to Fernandez-Kim [4]. About 0.1 g of chitosan powder sample was taken in centrifuge tube and dissolved in 10 ml of 1% acetic acid and kept in incubated shaker (250 rpm) at 25°C for 30 minutes. The solution was immersed in boiling water bath for 15 minutes and cooled to room temperature followed by centrifuge at 12,000 rpm for 7 minutes and the supernatant was discarded. The undissolved particles were thoroughly washed using distilled water by centrifuging the contents at 10,000 rpm for 10 minutes and the supernatant was discarded. The undissolved pellets were dried at 70°C for 24 hours. At the end the dried particles were weighed and the solubility percentage was calculated as,

\[
\text{Solubility} (\%) = \frac{(\text{initial weight of tube + chitosan}) - (\text{final weight of tube + chitosan})}{X \times 100} 
\]

5. Characterization of Chitosan

FT-IR analysis

The chitosan samples were characterized from 4000 to 400 cm⁻¹ with KBr pellets using infrared spectrophotometer to identify the functional groups of extracted chitosan and the groups were confirmed by FTIR analysis. In this study, the IR spectra of the extracted chitosan samples of crustaceans were analyzed and compared with the IR spectrum of commercial chitosan.

XRD measurements

The extracted chitosan samples were subjected to XRD measurements with 2θ angles from 20° to 80° at 0.02 deg min⁻¹, with 2θ time constant. Briefly, PAN ANALYTICAL X-ray diffractometer machine operating at a voltage of 40 kV and current of 20 mA with Cu K(α) radiation of 1.54187 nm wavelength was set and the results were measured.

6. Results and Discussion

Extraction of chitin and preparation of chitosan

Extraction of chitosan from crustaceans

In present study, the total yield of chitosan obtained from crustaceans (shrimp and crab) is showed in Figure 1. Results of the present study clearly evidenced that, the maximum yield of chitosan was obtained from shrimp shell waste was recorded as 46%. These results are in agreement with the earlier reports of Puvvada et al. [3] who reported that, the yield of chitosan from shrimp shells was about 34%. Moreover, in the present study obtained yields of chitosan is higher than the reported methods, this could be explained by the nature of environment and divergence in shrimp species and also method of extraction.

Other hand, 34% of total chitosan yield was recorded with crab shell waste. These results are in line with the earlier report of Yen et al. [5] who reported the yield of chitosan obtained from crab shell is varied in a range of (30-36.7%), and also demonstrated that, the variation in the yield can be explained by the difference in the species being used and reaction time which also has a positive effect on the yield. In
the current study, the higher yield of chitosan was due to the repetition of deprotonation and demineralization process resulted in maximum yield. The yield also depends on the concentration of acid and alkali and its reaction time with the chitin substrate.

7.8 and obtained results were in line with the earlier reports of Sneha et al. [8] who reported the pH variation of chitosan obtained from crustaceans from species to species. The pH value of chitosan plays a major role in functional properties of chitosan including antimicrobial, cytotoxicity and also indirectly influences the hydrophilicity and deacetylation ratio.

Determination of pH value

The results of pH content present in chitosan extracted from crustaceans and fungi are listed in Table 1 and the results of the present study were revealed that, almost all the samples are close to the range of standard values. The pH content of chitosan is an indication of the effectiveness of the method employed for removing inorganic materials. The determined maximum pH content of 2% in shrimp is due to the presence of calcium carbonate which is found in large amount in shrimp shells and is lowest in squid pen chitosan, about 0.17% [9]. These results in agreement with the previous reports of Sneha et al. [8] who reported the low pH value of 1.86% of shrimp chitosan. On the other hand, chitosan extracted from crab produced 1.45% in the present study. Interestingly, Cho et al. [10] reported commercial chitosan to have pH value about 1.18% and these values are in line with the obtained results of the present study.

Determination of dry weight

Present study reveals that, loss of moisture content in studied chitosan of shrimp and crab ranging from 8.0% - 9.24% of total weight respectively. These results are in well agreement with the reports of Sneha et al. [8], who explained the acceptable moisture content of chitosan powder should be <10% for commercial applications. The reports of Tajik et al. [11] also states that chitosan from shrimp shell contains moisture in the range of 1.0-1.30% which in turn depends upon the season, relative humidity and intensity of sunlight of the raw material source.

Determination of Ash value

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7. Properties of chitosan

Degree of deacetylation (DD)

The degree of deacetylation (DD) of the total chitosan obtained from both crustaceans shown in Table 1. The shrimp chitosan showed maximum DD value of 88.48 % whereas the minimum DD observed for the chitosan extracted from crab was observed as 80.12%. The DD denotes the removal of acetyl group from the long chain of chitin and it plays a substantial role in deciding the precise application of chitosan. The DD is an important parameter to be considered for physical and chemical properties of chitosan including solubility, adsorption, chemical reactivity, covalent binding, encapsulation and biodegradability [3]. DD of chitosan may range from 30% to 95% depending on the available source and procedure [6]. According to Pochanavanich and Suntornsuk [7], the degree of deacetylation depends on the source of chitin, concentration of acid and alkaline used, time and temperature etc. However, Puvvada et al. [3] depicted that the DD values with higher range are the consequence of the high amount of protein, which in turn yields chitosan with superior quality suitable for various pharmaceutical applications.

Determination of pH value

In the present study (Table 1) shows, the determined pH values of the prepared chitosan were varied from the 6.8 – 7.8 and obtained results were in line with the earlier reports of Sneha et al. [8] who reported the pH variation of chitosan obtained from crustaceans from species to species. The pH value of chitosan plays a major role in functional properties of chitosan including antimicrobial, cytotoxicity and also indirectly influences the hydrophilicity and deacetylation ratio.

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8. Fourier Transform Infrared Spectroscopy (FTIR)

FTIR results clearly indicated the presence of functional groups including amino and other hydroxyl groups as like commercial chitosan. The results of the FTIR spectra of chitosan prepared from the different sources were depicted in Figure 2 and table 2. The peaks at 3318 cm\(^{-1}\), 3332 cm\(^{-1}\) and 3357 cm\(^{-1}\) of NH\(_2\) stretching belongs to the functional groups of primary amines of commercial chitosan, shrimp chitosan and crab chitosan respectively. The bands at 2813 cm\(^{-1}\), 2826 cm\(^{-1}\)and 2826 cm\(^{-1}\) of OH stretching of carboxylic acids were observed in all chitosan samples. The peaks at 1423 cm\(^{-1}\), 1409 cm\(^{-1}\) and 1397 cm\(^{-1}\) of commercial chitosan, shrimp chitosan and crab chitosan indicate the C-N stretch of secondary amine group. The functional group of nitro compounds of N-O symmetric stretch of peaks at 1358 cm\(^{-1}\) of commercial chitosan were shifted to 1345 cm\(^{-1}\) of shrimp and crab chitosan. The bands at 1137 cm\(^{-1}\), 1072 cm\(^{-1}\) and 1111 cm\(^{-1}\) of –NH2 stretch of free amine groups was observed in all the samples. The functional group of aromatic amines of C-N stretch was observed in the entire chitosan sample at 1280 cm\(^{-1}\). The primary and secondary amines of N-H stretch were observed at the peaks of 743 cm\(^{-1}\), 747 cm\(^{-1}\) and 902 cm\(^{-1}\) in commercial chitosan, shrimp chitosan and crab chitosan respectively. The same FTIR results of chitosan are also observed by Zvezdova [15].

Table 2: FTIR spectra of commercial chitosan, extracted shrimp chitosan and crab chitosan

| Commercial chitosan Frequency (cm\(^{-1}\)) | Shrimp chitosan Frequency (cm\(^{-1}\)) | Crab chitosan Frequency (cm\(^{-1}\)) | Bonds | Functional group |
|------------------------------------------|---------------------------------------|--------------------------------------|-------|-----------------|
| 3318                                    | 3332                                  | 3357                                 | N-H bend | Primary amines |
| 2813                                    | 2826                                  | 2826                                 | O-H stretch | Carboxylic acids |
| 1423                                    | 1409                                  | 1397                                 | C-N stretch | Secondary amines |
| 1358                                    | 1345                                  | 1345                                 | N-O symmetric stretch | Nitro compound |
| 1280                                    | 1280                                  | 1280                                 | C-N bend | Aromatic amines |
| 743                                     | 747                                   | 902                                  | N-H stretch | Primary and secondary amines |

9. Conclusion

In the current scenario many industries like health care, biomedical, pharmaceutical etc. is in need of high quality, biocompatible and biodegradable materials like chitosan for addressing many health care issues. At the same time there are industries like fish processing plants generates huge quantity of crustacean wastes which causes environmental hazard. Fortunately, these bio wastes are considered as a potent source of chitosan. Keeping all these aspects in mind the current research work is done to extract natural chitosan from crustacean wastes which are obtained from fish market out fills. Chitosan was extracted by adopting modified process of previous methods and the yield was also high due to the repeated process of deprotonation and demineralization steps. The physio-chemical parameters and structural characteristics are in agreement with commercial chitosan standard. To specific, the obtained chitosan had high deacetylation degree (DD), good solubility and a dense crystalline structure which has greater scope in various industrial applications as well.

![FTIR spectral characterization of chitosan extracted from Shrimp and crab](image1)

![X-ray diffraction (XRD)](image2)

![Spectral characterization of chitosan extracted from Crab](image3)

![Spectral characterization of chitosan extracted from Shrimp](image4)

**Figure 2**: FTIR spectral characterization of chitosan extracted from Shrimp and crab

**Figure 3**: X-ray diffraction (XRD) results clearly indicated the presence of chitosan peaks was compared by de Queiroz et al. [16]. The results of the XRD spectra of chitosan prepared from the different sources were depicted in Figure 3 and 4. The XRD pattern of shrimp chitosan showed characteristic peaks at 20 = 9.10°, 20.10° in 20 = 9.9 -10.30, 19.8 – 20.4 and crab chitosan shows 20 = 9.0°, 20.30° in 20 = 9.710 -10.50, 18.90 – 20.180. The sharper peaks are an evidence of semi-crystalline structure.

**Figure 4**: Spectral characterization of chitosan extracted from Crab
10. Future Scope of the Work

The study focuses mainly on the insights of dual beneficiary scheme which includes efficient waste management process and extraction of chitosan from sea food waste. Chitosan is one of the notable compounds for many researchers in the field of biomedical, pharmaceutical sciences and also in various fields of biotechnology. In global scenario, the chitosan and its derivatives have greater scope in biomedical industry and the current study focused on the better utilization of natural resources.

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