Adaptive immunity and neutralizing antibodies against SARS-CoV-2 variants of concern following vaccination in patients with cancer: the CAPTURE study

Annika Fendler1,39, Scott T. C. Shepherd1,2,39, Lewis Au1,2,39, Katalin A. Wilkinson3,4,39, Mary Wu5,39, Fiona Byrne1, Maddalena Cerrone3,6, Andreas M. Schmitt2, Nalinie Joharatnam-Hogan2, Benjamin Shum1,2, Zayd Tippu7, Karolina Rzeniewicz1, Laura Amanda Boos1,2, Ruth Harvey7, Eleanor Carlyle2, Kim Edmonds2, Lyra Del Rosario2, Sarah Sarker2, Karla Lingard2, Mary Mangwende2, Lucy Holt2, Hamid Ahmod2, Justine Korteweg2, Tara Foley2, Jessica Bazin8, William Gordon1, Taja Barber1, Andrea Emslie-Henry1, Wenyi Xie1, Camille L. Gerard1, Daqi Deng1, Emma C. Wall9,10, Ana Agua-Doce11, Sina Namjou12, Simon Caidan12, Mike Gavrielides13, James I. MacRae14, Gavin Kelly15, Kema Peat2, Denise Kelly2, Aida Murra2, Kayleigh Kelly2, Molly O’Flaherty2, Lauren Dowdie2, Natalie Ash2, Firza Grontho6,16, Robyn L. Shea16,17, Gail Gardner16, Darren Murray16, Fiona Kinnaird18, Wanyuan Cui19, Javier Pascual20, Simon Rodney2, Justin Mencel2,21, Olivia Curtis19, Clemency Stephenson8, Anna Robinson8, Bhavna Oza2, Sheima Farag2, Isla Leslie2, Aljosja Rogiers2, Sunil Iyengar8, Mark Ethell8, Christina Messiou22, David Cunningham2,21, Ian Chau2,21, Naureen Starling21, Nicholas Turner2,20, Liam Welsh23, Nicholas van As24, Robin L. Jones25, Joanne Droney26, Susana Banerjee27, Kate C. Tatham28, Mary O’Brien19, Kevin Harrington29,30, Shreerang Bhide29,30, Alicia Okines20,31, Alison Reid32, Kate Young2, Andrew J. S. Furness2, Lisa Pickering2,2, Charles Swanton33,34, The Crick COVID-19 Consortium*, Sonia Gandhi35,36, Steve Gamblin10, David L. V. Bauer37, George Kassiotis38, Sacheen Kumar21, Nadia Yousaf19,31, Sheman Jhanji28, Emma Nicholson8, Michael Howell5, Susanna Walker28, Robert J. Wilkinson3,4,6, James Larkin2, Samra Turajlic1,2,39 and The CAPTURE Consortium*

Coronavirus disease 2019 (COVID-19) antiviral response in a pan-tumor immune monitoring (CAPTURE) (NCT03226886) is a prospective cohort study of COVID-19 immunity in patients with cancer. Here we evaluated 585 patients following administration of two doses of BNT162b2 or AZD1222 vaccines, administered 12 weeks apart. Seroconversion rates after two doses were 85% and 59% in patients with solid and hematological malignancies, respectively. A lower proportion of patients had detectable titers of neutralizing antibodies (NAbT) against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variants of concern (VOC) versus wild-type (WT) SARS-CoV-2. Patients with hematological malignancies were more likely to have undetectable NAbT and had lower median NAbT than those with solid cancers against both SARS-CoV-2 WT and VOC. By comparison with individuals without cancer, patients with hematological, but not solid, malignancies had reduced neutralizing antibody (NAb) responses. Seroconversion showed poor concordance with NAbT against VOC. Previous SARS-CoV-2 infection boosted the NAb response including against VOC, and anti-CD20 treatment was associated with undetectable NAbT. Vaccine-induced T cell responses were detected in 80% of patients and were comparable between vaccines or cancer types. Our results have implications for the management of patients with cancer during the ongoing COVID-19 pandemic.
is currently the predominant variant worldwide. Despite its surging prevalence, it is suggested that vaccination programs have broken the link between infection and hospitalization and death\(^1\), with many countries lifting COVID-19 restrictions. In the UK, however, those classified as clinically extremely vulnerable are still advised to take additional precautions of their own accord\(^7\), without clear communication regarding immune response to vaccines or vaccine efficacy around individual conditions within this heterogeneous clinical group. Furthermore, vulnerable patients were shown to be disproportionately affected by vaccine breakthrough infections\(^8\).

In one study of 152 double-vaccinated patients hospitalized due to COVID-19, 40% were immunosuppressed (19% from chronic corticosteroid treatment, 18% from chemotherapy or antimitobolite treatment, 11% from solid organ transplant, 7% from anti-CD20 treatment), and overall cohort mortality was 22%. Recently, preliminary results on BNT162b2 and AZD1222 vaccine effectiveness in extremely clinically vulnerable patients in England showed strong S-reactive antibody responses and vaccine effectiveness against symptomatic COVID-19 in all vulnerable groups except the immunocompromised, particularly after a single dose\(^9\).

Patients with cancer represent an important vulnerable group (an estimated 19.3 million new cancer diagnoses are made per year globally\(^7\)) with an increased likelihood of poor clinical outcomes from COVID-19 (refs. \(^{8,10}\)). As such, patients with cancer have been prioritized in COVID-19 vaccination programs globally\(^{11,12}\); however, as they were virtually excluded from pivotal vaccine studies, data on efficacy or immune response to COVID-19 vaccines in this population are lacking. Given that cancer or its treatment may impact immunity, characterization of the immune response to COVID-19 vaccines in patients with cancer is a priority. Available studies demonstrated generally high seroconversion rates after two vaccine doses in patients with solid cancers (≥90%, measured as immunoglobulin (Ig) G levels)\(^{14–17}\), with less pronounced responses in those with hematological malignancies (compounded by treatments including anti-CD20 therapy)\(^{18–21}\). However, data on functionally relevant SARS-CoV-2 NAb responses, particularly to VOC, are scarce. Vaccine-induced T cell responses have been reported in patients with cancer\(^{22–24}\), but, again, activity against VOC is unknown. Furthermore, although humoral and cellular responses to SARS-CoV-2 often correlate\(^{25}\), this has not been assessed regarding COVID-19 vaccines nor investigated in patients with cancer specifically. Finally, the effect of previous infection on subsequent vaccine-induced immunity in patients with cancer remains unclear. In the context of emerging VOC, such data are urgently needed to calibrate risk-mitigation measures and tailor vaccine regimes for patients with cancer.

The CAPTURE study is a prospective, longitudinal cohort study evaluating the impact of cancer and anticancer treatment on the immune response to SARS-CoV-2 infection and COVID-19 vaccinations\(^26\). Data from the infection cohort (companion paper\(^{27}\)) show that the majority of patients with solid cancer develop durable humoral responses (of at least 11 months) and have detectable T cell responses to SARS-CoV-2 infection, but patients with hematological malignancies often display a discordance between humoral and cellular arms (owing to disease-related lineage defects and anti-CD20 treatment); additionally, neutralizing activity against Alpha, Beta and Delta VOC is reduced following infection with the WT SARS-CoV-2 strain. Here, we investigate whether humoral and cellular immunity is efficiently induced following COVID-19 vaccination in the vaccine cohort of the CAPTURE study, especially regarding VOC. Of note, this study was conducted in the UK, where the vaccination schedule initially followed an off-label 12-week interval between doses. This approach was implemented by the UK government during the second wave of the pandemic to maximize the number of people vaccinated with at least one dose.

### Results

**Cohort characteristics and COVID-19 vaccination.** Between May 2020 and June 2021 (database lock), we recruited 626 patients with cancer who received at least one COVID-19 vaccine dose, of whom 41 (7%) had no follow-up samples and were excluded from the analysis (Extended Data Fig. 1a and Table 1). Of the 585 evaluable patients, 93% received two vaccine doses; 74% (430 of 585) received the AZD1222 vaccine (Oxford–AstraZeneca (AZ)) and 26% (153 of 585) received the BNT162b2 vaccine (Pfizer–BioNTech (PZ)). Overall, 93% (546 of 585) received the second dose at a median of 77 d (interquartile range (IQR), 72–78 d) in accordance with guidance for the 12-week interval between vaccine doses\(^8\). Five percent of patients (29 of 585) did not receive a second vaccine dose due to either cancer-related death (3%, 16 of 585), clinical advice (1%, 7 of 585) or patient preference (1%, 6 of 585), and 2% of patients (10 of 585) either withdrew study consent or were lost to follow-up (Table 1 and Extended Data Fig. 1a). There were no baseline differences between patients who were included or excluded from the final analysis nor between patients receiving one or two vaccine doses (Supplementary Table 1). Restrictions on hospital attendance during the pandemic resulted in a small number of missed follow-up samples (Extended Data Fig. 1b and Supplementary Table 2).

The median age of patients was 60 years (IQR, 52–68 years), and 60% (323 of 585) of patients were male. Overall, 69% of patients (404 of 585) were naïve to SARS-CoV-2 infection and 31% of patients (181 of 585) had prior SARS-CoV-2 infection confirmed either by PCR with reverse transcription (RT–PCR) for SARS-CoV-2 (median time from positive test to baseline of 77 d (IQR, 40–287 d)) or the presence of S1-reactive antibodies at baseline. In total, 76% of patients (447 of 585) had a current diagnosis of solid cancer and 24% of patients (138 of 585) had hematological malignancies. The majority of patients with solid cancers had metastatic disease (68% (306 of 447)) (Table 1). Patients receiving PZ were more likely to be older (median of 63 years versus 59 years with AZ, P < 0.001) and to have a hematological malignancy (35% with PZ versus 23% with AZ, P = 0.02), reflecting earlier licensing of PZ and prioritization of these groups as extremely clinically vulnerable. Patients with hematological malignancies were more likely to be male (60% versus 55% with solid cancers, P = 0.01), and patients receiving PZ were more likely to have had prior SARS-CoV-2 infection (Supplementary Table 3).

Overall, 21% of patients (123 of 585) received treatment with chemotherapy, 34% of patients (200 of 585) received treatment with targeted therapy, and 3% of patients (20 of 585) received treatment with endocrine therapy within 28 d before vaccination. Thirty-one percent of patients (158 of 585) received immune-checkpoint inhibitors (CPI), with 19% of patients (109 of 585) receiving CPI treatment within 183 d of vaccination; 22% of patients (24 of 109) had active immune-related adverse events secondary to CPI, although only 4% of patients (4 of 109) received immunosuppression with corticosteroids (equivalent of >10 mg prednisolone for ≥7 d) within 48 h of vaccination.

In total, 4% of patients (26 of 585) received anti-CD20 therapy within 12 months of vaccination and 10% of patients (58 of 585) previously received hematological stem cell transplant (43%, allogeneic (25 of 58); 57%, autologous (33 of 58)), of which 16% (9 of 58) were within 6 months of vaccination; 31% of patients (18 of 58) had active graft-versus-host disease requiring immunosuppression at the time of vaccination. Five percent (32 of 585) of patients had radiotherapy or surgery within 28 d of vaccination.

At the time of vaccination, 7% of patients (39 of 585) were receiving immunosuppressive therapy with corticosteroids (5% (29 of 585); equivalent of >10 mg prednisolone for ≥7 d) and/or other immunosuppressive therapies (2% (14 of 585)) including tacrolimus, methotrexate, cyclosporine and mycophenolate mofetil.
| Table 1 | Clinical and oncological characteristics of 585 vaccinated patients with cancer |
|---|---|
| **Cohort characteristics, n = 585** | **n (%)** |
| Age, median (IQR), years | 60 (52–68) |
| Male | 323 (60) |
| Ethnicity, white | 510 (87) |
| **Previous SARS-CoV-2 infection and COVID-19 vaccination** | **n (%)** |
| Previous SARS-CoV-2 infection* | |
| Any test positive | 181 (31) |
| RT-PCR positive | 82 (14) |
| Serology positive | 149 (25) |
| First COVID-19 vaccine | |
| AstraZeneca | 430 (74) |
| Pfizer | 153 (26) |
| Unknown | 2 (0) |
| Time to second vaccine, median (IQR), d | 77 (72–78) |
| **Second COVID-19 vaccine** | |
| AstraZeneca | 402 (69) |
| Pfizer | 142 (24) |
| Unknown | 2 (0) |
| **Reason for no second vaccine** | |
| Death | 16 (3) |
| Withdrew or lost to follow-up | 10 (2) |
| Clinical advice | 7 (1) |
| Patient choice | 6 (1) |
| **Oncological history** | **n (%)** |
| Cancer type | |
| Solid, n = 447 | |
| Stages I-II | 55 (12) |
| Stage III | 85 (19) |
| Stage IV | 306 (68) |
| NA | 1 (0) |
| Hematological | 138 (24) |
| Concomitant medications* within 48 h of vaccination | |

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**Table 1 | Clinical and oncological characteristics of 585 vaccinated patients with cancer (Continued)**

| Cohort characteristics, n = 585 | **n (%)** |
|---|---|
| **Diagnosis** | |
| Genitourinary | 93 (21) |
| Skin | 91 (20) |
| Gastrointestinal | 87 (19) |
| Thoracic | 63 (14) |
| Breast | 52 (12) |
| Gynecological | 27 (6) |
| Head and neck | 13 (3) |
| Other | 21 (5) |
| **Disease status (with respect to last intervention)** | |
| SACT, palliative | |
| CR | 32 (7) |
| PR | 80 (18) |
| SD | 116 (26) |
| PD | 86 (19) |
| Unknown | 1 (0) |
| SACT, neoadjuvant or radical CRT | |
| CR, PR or SD | 24 (5) |
| PD | 1 (0) |
| Unknown | 1 (0) |
| **Surgery** | |
| NED, adjuvant SACT | 74 (17) |
| NED, surgery alone | 17 (4) |
| Untreated and/or active surveillance | 15 (3) |
| **Recent anticancer treatment** | |
| **Systemic therapy** | |
| Chemotherapy, <28 d | 104 (23) |
| Targeted therapy, <28 d | 145 (32) |
| Anti-PD-(L)1 ± anti-CTLA4, <183 d | 109 (24) |
| Endocrine therapy, <28 d | 20 (4) |
| No SACT, <28 d; no CPI, <112 d | 145 (32) |
| **Local therapy** | |
| Surgery, <28 d | 12 (3) |
| Radiotherapy, <28 d | 20 (4) |
| **Active IRAEs, secondary to CPI** | 38 (9) |
| **Hematological malignancies, n = 138** | **n (%)** |
| **Diagnosis** | |
| Lymphoma | 53 (38) |
| Myeloma | 36 (26) |
After the first dose, the seroconversion rate was 39% (Fig. 1b), with lower rates in patients with hematological malignancies (27%) than in those with solid malignancies (44%) (Fig. 1c). After the second dose, this increased to 78%, again with lower rates for hematological malignancies (59%) than for solid malignancies (85%) (Fig. 1b,c). Seroconversion rates were maintained during the 12-week dosing interval, with a nominal increase in the number of seroconverted patients immediately before the second dose relative to that at the earlier time point of 2–4 weeks (especially in those with hematological malignancies) (Fig. 1b,c).

NAb responses following COVID-19 vaccine administration. Functional humoral responses after vaccination were assessed in all patients using a high-throughput live virus–neutralization assay (Methods) against WT SARS-CoV-2 and the Alpha (B.1.1.7), Beta (B.1.351) and Delta (B.1.617.2) VOC and expressed as titers (representing the reciprocal of serum required to inhibit 50% of viral replication (IC50)). The distribution of NABT was categorized as undetectable (<40), moderate (40–256) and high (>256) as described in previously published reports using the same neutralization assay.5,6

After the first dose, 49% of infection-naive patients had detectable NAb to WT SARS-CoV-2, with a significantly lower proportion having detectable NAb to VOC (Alpha, 15%; Beta, 9%; Delta, 9%) (Fig. 2a); the median NABT were below the limit of detection for all strains (Fig. 2b). After the second dose, the proportion of patients with detectable NABT against all strains increased but less so against VOC than against WT SARS-CoV-2 (WT, 83%; Alpha, 61%; Beta, 53%; Delta, 54%; χ² test, P value <2.2×10⁻¹⁶); the median NABT also increased for all strains, again to a lesser extent for VOC than for WT SARS-CoV-2 (Fig. 2a,b).

We observed that NABT against Delta, but not against WT, Alpha or Beta, were significantly higher in infection-naive patients who received two vaccine doses than those in vaccine-naive patients who recovered from SARS-CoV-2 (WT or Alpha) infection (Fig. 3a). Among those with prior SARS-CoV-2 infection, the proportion of patients with NABT against WT SARS-CoV-2 increased from 62% at baseline to 85% after the first vaccine dose and to 95% after the second vaccine dose (the corresponding proportions for Alpha were 52%, 65% and 88%; Beta, 39%, 61% and 80%; Delta, 41%, 59% and 80%). After the first and second doses, patients with prior SARS-CoV-2 infection had significantly higher median NABT than infection-naive patients (WT, 15 fold after the first dose and fourfold after the second dose; Alpha, tenfold and fivefold; Beta, threefold and fourfold; Delta, threefold and fourfold) (Fig. 3b).

We next analyzed the NAb response by vaccine type. In SARS-CoV-2 infection-naive patients, there were no significant differences in the proportion of patients with detectable NAb by vaccine type after the first dose (AZ, 54% (WT), 16% (Alpha), 10% (Beta), 10% (Delta); PZ, 30% (WT), 9% (Alpha), 7% (Beta), 5% (Delta)), with higher median NABT observed with AZ than those with PZ against WT SARS-CoV-2 but not VOC (Fig. 3c). After the second dose, there were significant differences in the proportion of patients with detectable NAb by vaccine type (AZ, 85% (WT), 59% (Alpha), 49% (Beta), 50% (Delta); PZ, 78% (WT), 68% (Alpha), 64% (Beta), 68% (Delta)), with significantly lower median NABT observed with AZ than those with PZ against WT SARS-CoV-2 but not VOC (Fig. 3c).

In patients with previous SARS-CoV-2 infection, there were no differences in the proportion with detectable NAb after the second dose (AZ, 96% (WT), 88% (Alpha), 78% (Beta), 79% (Delta); PZ, 92% (WT), 86% (Alpha), 83% (Beta), 81% (Delta)) or median NABT after the first dose against all variants nor those after the second dose against WT, Alpha and Beta VOC. However, after the second dose, NABT against Delta were significantly higher with PZ than those with AZ (Fig. 3d).

**Table 1 | Clinical and oncological characteristics of 585 vaccinated patients with cancer (Continued)**

| Cohort characteristics, n = 585 | n (%) |
|---------------------------------|-------|
| **Acute leukemia**              | 25 (18) |
| **CLL**                         | 16 (12) |
| **MDS and MPN**                 | 7 (5)  |
| **Aplastic anemia**             | 1 (1)  |
| **Disease status**              |       |
| MRD or CR                       | 72 (52) |
| Partial remission               | 34 (25) |
| SD                              | 5 (4)  |
| PD, relapse or untreated acute presentation | 27 (20) |
| **Anticancer treatment**        |       |
| Chemotherapy, <28 d             | 19 (14) |
| Targeted therapy, <28 d         | 55 (40) |
| Anti-CD20 therapy, <12 months   | 26 (19) |
| CAR-T, <6 months                | 3 (2)  |
| No SACT, <28 d; no SCT or anti-CD20, <12 months | 64 (46) |
| **Hematologic stem cell transplant** |       |
| Any previous stem cell transplant | 58 (39) |
| Time from transplant, median (IQR), d | 855 (215–1,602) |
| Allograft, <6 months            | 7 (5)  |
| Autograft, <6 months            | 2 (1)  |
| GVHD ongoing at first vaccination | 18 (13) |
| **Non-oncological medical history** | n (%) |
| No PMHx                         | 188 (32) |
| Obesity, BMI > 30               | 130 (22) |
| HTN                             | 121 (21) |
| Diabetes mellitus               | 54 (9)  |
| Inflammatory or autoimmune      | 38 (6)  |
| PVD, IHD or CVD                 | 32 (5)  |
| Previous history of cancer      | 63 (11) |

*As some patients did not seroconvert following previous infection, our laboratory definition of previous SARS-CoV-2 was determined by either previous PCR and/or standard of care or laboratory anti-S1 ELISA (Methods), and some patients tested positive in more than one modality. 2Significant corticosteroid exposure was >10 mg prednisolone for a duration of at least 7 d and given within 48 h of vaccination. Significant G-CSF exposure was within 48 h of vaccination or within 5 d if a pegylated preparation was used. 3SACT was considered within 28 d of the last administration with the exception of CPI, for which treatment within 183 d was considered given prolonged receptor occupancy following administration. 4BMI, body mass index; CAR-T, chimeric antigen receptor T cell; CTLA4, cytotoxic T lymphocyte-associated protein 4; CR, complete response; CRT, chemoradiation; CVD, cerebrovascular disease; GVHD, graft versus-host disease; HTN, hypertension; IRAE, immune-related adverse event secondary to CPI therapy; IHD, ischemic heart disease; MPN, myeloproliferative neoplasm; MRD, minimal residual disease; NA, not available; NED, no evidence of disease; PD, progressive disease; PD-L1, programmed cell death (ligand) 1; PMHx, past medical history; PR, partial response; PVD, peripheral vascular disease; SCT, stem-cell transplant; SD, stable disease. 5Seroconversion rates following COVID-19 vaccine administration. Seroconversion (that is, the presence of S1-reactive antibodies) was assessed in infection-naive patients (defined as no history of COVID-19, tested negative for SARS-CoV-2 by RT–PCR and tested negative for S1-reactive antibodies before vaccination) at baseline, 2–4 weeks after the first vaccine dose and 2–4 weeks after the second vaccine dose (Fig. 1a). Due to the uncertainty of the effect of the extended 12-week dosing interval, we incorporated an additional sampling time point just before the second vaccine dose (Fig. 1a)."
NAb against VOC and S1-reactive antibodies show discordance.

To understand the ability of the S1-reactive antibody assay (detecting IgG antibodies specific to WT SARS-CoV-2) to predict functional humoral immunity against currently circulating VOC, we analyzed per-patient agreement of seroconversion and detectable NAb. We observed good concordance between the presence of S1-reactive antibodies and NAb against the WT strain. However, there was discordance in the case of VOC; for example, 55% of patients without detectable NAb against Delta had detectable anti-S1 IgG antibodies following two vaccine doses (Supplementary Table 4).

Impact of prior infection and cancer subtypes on the NAb response.

Among infection-naive patients with solid cancers (n = 308) after the first dose, 58% had detectable NAb against WT SARS-CoV-2, 17% had NAb against Alpha, 11% had NAb against Beta and 12% had NAb against Delta. After the second dose, this increased to 92% of patients with detectable NAb against WT, 70% of patients with NAb against Alpha, 61% of patients with NAb against Beta and 62% of patients with NAb against Delta. However, in infection-naive patients with hematological malignancies (n = 96), proportions were lower both after the first dose (25%, WT; 7%, Alpha; 5%, Beta; 1%, Delta) and after the second dose (56%, WT; 35%, Alpha; 28%, Beta; 31%, Delta). Furthermore, median NAbT against all strains were significantly lower in patients with hematological malignancies than those in patients with solid cancers, especially after the second dose (Fig. 2c). For both solid and hematological malignancies, the proportion of patients with detectable NAbT and median NAbT were significantly higher in those with previous infection than those in infection-naive patients at baseline and after the first and second vaccine dose; although, again, values were lower for those with hematological malignancies than for those with solid cancers (Extended Data Fig. 2a,b). Specifically, after the second dose, NAb against WT were detectable in 80% of patients with hematological malignancies (70%, Alpha; 60%, Beta; 57%, Delta) and in 99% of patients with solid cancers (92%, Alpha; 80%, Beta; 86%, Delta).

Patients with hematological malignancies had a range of responses toward WT SARS-CoV-2. For example, following two vaccine doses, a higher proportion of patients with multiple myeloma had detectable NAb (WT, 89%; Alpha, 53%; Beta, 21%;
Impact of clinical and treatment characteristics on the NAb response. We next used ordinal regression models to assess which patient and cancer characteristics (including systemic anticancer therapy (SACT)) associate with NAbT (categorized as undetectable (<40), moderate (40–256) and high (>256)). Considering all patients, lack of previous SARS-CoV-2 infection, the AZ vaccine, older age and hematological malignancy but not sex or comorbidities were associated with reduced NAbT to SARS-CoV-2 WT and VOC both after the first dose and after the second dose (Supplementary Table 5).

Considering hematological malignancies alone, regression analysis confirmed the previously observed association of hematological malignancy subtype with lower NAbT against WT SARS-CoV-2 (but not VOC) (Supplementary Table 6). Further, anti-CD20 treatment ≤12 months before vaccination was associated with reduced NAbT against SARS-CoV-2 WT and VOC after first and second vaccine doses (Extended Data Fig. 4a). There was no significant association between vaccine type and NAbT, but lack of previous infection and older age were significantly associated with reduced NAbT against all variants (Supplementary Table 6).

Considering solid cancers alone, no significant associations with reduced NAbT were found (including cancer subtype and stage, SACT and disease status after SACT) beyond the lack of previous infection, older age and the AZ vaccine (Supplementary Table 7).

Finally, we did not observe any detrimental effects of granulocyte colony-stimulating factor (G-CSF), corticosteroids or immunosuppressive therapy (including active graft-versus-host in patients with hematological malignancies) on NAbT against any SARS-CoV-2 strain in patients with solid or hematological malignancies (Supplementary Tables 6 and 7).

Comparison of NAb responses with individuals without cancer. Next, we compared NAbT induced by vaccination between patients with cancer in the CAPTURE study and healthy participants in the Legacy study. Critically, the same neutralizing assays were applied in both cohorts. Overall, following two vaccine doses, NAbT against WT were detectable in 100% of healthy Legacy participants (Alpha, 96%; Beta, 86%; Delta, 85%) as compared to 87% of CAPTURE patients with cancer (Alpha, 70%; Beta, 62%; Delta, 63%). Of note, individuals recruited to the Legacy study were considerably younger and more frequently received the PZ vaccine. We therefore matched CAPTURE and Legacy participants by factors that impact NAbT (Methods), including vaccine type, previous infection and age. Due to the heterogeneity between the two cohorts, matching resulted in attrition of individuals available for comparison.

We first assessed infection-naive individuals vaccinated with PZ. The proportion of patients with solid cancer (n = 49) who had detectable NAbT after the second dose was only numerically lower than that of individuals without cancer (n = 55) (WT, 98% versus 100%; Alpha, 92% versus 100%; Beta, 86% versus 91%; Delta, 92% versus 95%, respectively) (Extended Data Fig. 5a), and the two groups had comparable median NAbT against all variants (Extended Data Fig. 5b). However, a significantly lower proportion of patients with hematological malignancies (n = 24) than individuals without cancer had detectable NAbT (WT, 37%; Alpha, 17%; Beta, 17%; Delta, 17%) (Extended Data Fig. 5a), with significantly lower median NAbT against all variants (Extended Data Fig. 5b). We note that patients with hematological malignancies vaccinated with PZ were more likely to have CLL or lymphoma and treatment with anti-CD20 therapy than patients vaccinated with AZ.

Only a small number of age-matched Legacy participants received the AZ vaccine (n = 8 infection-naive patients, aged 40–59 years). After the second dose, a numerically lower proportion of patients with solid cancers (n = 77) had detectable NAbT against all variants other than Delta than individuals without cancer (WT, 92% versus 100%; Alpha, 66% versus 75%; Beta, 60% versus 75%; Delta, 60% versus 50%, respectively) (Extended Data Fig. 5c), but this was not statistically significant, and median NAbT were comparable (Extended Data Fig. 5d). As with PZ, a lower proportion of patients with hematological malignancies (n = 18) than those without cancer had detectable NAbT against all variants (WT, 73%; Alpha, 40%; Beta, 20%; Delta, 36%), with correspondingly lower NAbT (Extended Data Fig. 5d,c). We note that patients vaccinated with AZ with hematological malignancies were more likely to have acute leukemia (ALL) or myeloma and were less likely to have received anti-CD20 therapy than patients vaccinated with PZ.

COVID-19 vaccines induce T cell responses in patients with cancer. We evaluated S-specific T cell responses following one or two vaccine doses (Supplementary Table 3) by interferon (IFN)-γ enzyme-linked immunospot (ELISpot) after stimulation with WT or Alpha S peptide pools in a subset of 337 patients with cancer (Methods, Fig. 4a and Extended Data Fig. 1bc). For 13 of 337 patients (4%, ten with solid cancer and three with hematological malignancies), all samples were excluded either due to low viable cell count or a failed negative or positive control in the assay. Of the 324 remaining patients, 279 had solid cancer (of whom 94% had NAb against WT, 77% had NAb against Alpha, 73% had NAb against Beta and 71% had NAb against Delta) and 58 had hematological malignancies (of whom 69% had NAb against WT, 49% had NAb against Alpha, 39% had NAb against Beta and 45% had NAb against Delta). Delta S peptide pools were analyzed in a subset of 86 patients with cancer.
In infection-naive patients, T cell responses to WT S peptide pools were detected in 22% of patients at baseline, suggesting cross-reactivity to other human coronaviruses (companion paper\(^2\)). After the first vaccine dose, 44% of evaluated patients had a detectable T cell response to WT S peptide pools (that is, >24 spot-forming units (SFU) per 10\(^6\) peripheral blood mononuclear cells (PBMCs), Methods), which increased to 56% immediately before the second dose and to 79% after the second dose. SFU levels increased significantly both after the first dose and after the second dose (median 3.3-fold and 13-fold increase versus baseline levels, respectively; Fig. 4b).
Regarding patients with prior SARS-CoV-2 infection, 32% had detectable T cell responses to WT S peptide pools at baseline, which increased to 69% after the first dose and 87% after the second dose. Median levels of SFU specific to the WT S protein were significantly higher than those in infection-naïve patients at baseline. To confirm that increased baseline T cell responses were related to previous infection, we also measured responses after stimulation with nucleocapsid (N) and membrane (M) peptide pools and found that median SFU levels were higher at baseline in those with previous infection (Extended Data Fig. 6a). Furthermore, we observed a significant increase in numbers of SFU against the Alpha VOC but not against the Delta VOC after the second vaccine dose, independent of infection status (Extended Data Fig. 6b,c).

The proportion of infection-naïve patients with hematological malignancies who had T cell responses to WT S peptide pools was only nominally different from that of those with solid cancers (34% versus 45% after the first dose and 83% versus 78% after the second dose) (Fig. 4d). While SFU numbers were significantly lower in patients with hematological malignancies than in those with solid cancers after the second dose (median SFU per 10^6 PBMCs, 50.5 versus 98.3), in a logistic regression model, there was no significant association between detectable T cell responses and cancer type, patient characteristics or vaccine type (AZ or PZ) (Fig. 4e and Extended Data Fig. 6d). There were no significant differences in SFU numbers between cancer subtypes after the second dose (Fig. 4f). In addition, we detected T cell responses after two vaccine doses in four of four evaluated patients treated with anti-CD20 therapy (Extended Data Fig. 6e). Consistent with type 1 helper T (T_h1) cell responses, we detected increased concentrations of tumor necrosis factor (TNF), interleukin (IL)-2, IL-18, IL-12 p40 and IFN-γ-induced protein (IP)-10 after stimulation with S1 or S2 peptide pools compared to those in unstimulated controls (Extended Data Fig. 6f), with comparable levels of these cytokines in patients with hematological (n=25) and solid cancers (n=8) (Extended Data Fig. 6g). Finally, SFU levels and the proportion of patients with detectable S-reactive T cells (solid, 77%; hematological, 80%) after two vaccine doses were not significantly different than those in healthcare worker controls (80%, n=25) (Supplementary Table 8 and Extended Data Fig. 7).

We also observed T cell responses in patients without detectable NAb (Supplementary Table 9). For example, in patients with hematological malignancies, T cell responses were detected in 92% of patients (11 of 12) without detectable NAb against WT SARS-CoV-2 (in 80% of patients without NAbT against Alpha, 75% of patients without NAbT against Beta and 86% of patients without NAbT against Delta).

SARS-CoV-2 infection in vaccinated patients with cancer. At the time of database lock (median of 55 d after the second vaccine dose), 1% of patients (8 of 585; 4 patients with AZ, 4 patients with PZ) had tested positive for SARS-CoV-2 by RT–PCR, with six patients testing positive between the first and second dose and two patients testing positive after the second vaccine dose (Extended Data Fig. 8). Three patients had a diagnosis of hematological cancer, and five patients were diagnosed with solid malignancies. Three patients had evidence of past SARS-CoV-2 infection at the time of the first vaccine dose (minimum of 30 d since previous positive SARS-CoV-2 RT–PCR test).

Overall, five of eight patients were identified through routine screening (World Health Organization (WHO) severity score of 1) of, of whom four were asymptomatic and one subsequently developed fever and anosmia (WHO severity score of 2). Three patients presented with symptoms (WHO severity score of 3–5) (Extended Data Fig. 8).

For technical reasons, we were only able to confirm lineage by viral genome sequencing in one patient (Alpha); but, given the timing of presentation, these patients were likely infected with either Alpha or Delta VOC. At the last evaluable time point before infection, six of eight patients had detectable NAb to WT SARS-CoV-2, but fewer had detectable NAb to VOC (four of eight, Alpha; four of eight, Beta; four of eight, Delta) with correspondingly lower NAbT. The patient with the most severe disease course (CV0217, Extended Data Fig. 8) presenting after the first vaccine dose had no evidence of NAb to SARS-CoV-2 WT or VOC after the first or second vaccine dose or at any time during the course of COVID-19 illness. SARS-CoV-2-specific T cells were only detectable in one of four patients before infection and after the first vaccine dose.

Discussion

Our prospective study of 585 patients with cancer following AZ or PZ COVID-19 vaccination revealed an overall 78% seroconversion rate, with lower rates in patients with hematological malignancies (59%) than in those with solid malignancies (85%). This was numerically comparable to results in other studies in patients with cancer (n=274,234,235,236) and lower than rates in the general population (99%) (3). Importantly, functionally relevant NAb against Delta were detectable in only 54% of infection-naïve patients with cancer (62% and 31% in solid and hematological malignancies, respectively; 50% and 68% with AZ and PZ, respectively), lower than the reported 85% using the same neutralization assay in a younger population without cancer (36,37).

Given the complete dominance of Delta in the UK and its surging prevalence globally, our data on NAb activity against VOC have contemporary implications for the care of patients with cancer who are at increased risk of adverse outcomes of SARS-CoV-2 infection. Studies in patients with cancer to date have used seroconversion (that is, detection of IgG antibodies against the WT S protein) as the main immunogenicity endpoint (36,37), but NAb against VOC have not been evaluated. Although we found good concordance between the presence of anti-S IgG antibodies and NAbT against WT SARS-CoV-2 in our cohort (in line with reports on those without cancer) (35–37), seroconversion was a poorer surrogate for NAb against VOC, for which approximately half of patients without detectable NAb against Delta had anti-S1 IgG antibodies. The
recombinant S1 protein used in the serological assay corresponds to the WT sequence, and selection of spike mutations in VOC leads to diminished neutralizing activity of such antibodies. Given that NAb are highly predictive of immune protection from symptomatic SARS-CoV-2 infection\textsuperscript{35,38,39}, our data suggest that serological assays may underestimate the risk of breakthrough infection when...
not accounting for viral evolution and the disconnect with NAbT against VOC.

An inverse relationship between age and vaccine-induced neutralizing responses was recently shown in individuals without cancer, with those aged >80 years particularly affected. Likewise, in our cohort of patients with cancer, increasing age correlated with reduced NAbT. The unmatched comparison of CAPTURE patients with cancer with the younger Legacy cohort (median age, 35.3 years) also showed reduced NAbT, further highlighting the effect of age on vaccine response. Given the relatively young median age in our cohort (60 years), it is possible that the effect of age in the general population with cancer is even more pronounced.

The mix of patients who received AZ or PZ vaccines, delivered 12 weeks apart as per current UK guidelines, uniquely facilitated assessment of differential responses to the two vaccines within a lengthened time frame. Despite maintained seroconversion rates between doses for either vaccine, the interval between first and second doses still represents an ‘at-risk’ period, during which neither vaccine led to a robust NAb response against VOC. After the first dose, NAbT against Delta were undetectable for 90% (AZ) and 95% (PZ) of patients, although NAbT against WT were higher with AZ than those with PZ. After the second dose, NAbT increased, but the levels were still diminished against VOC compared with those against SARS-CoV-2 WT. This was more pronounced with AZ than with PZ (50% versus 68% of infection-naive patients had detectable NAbT against Delta after two doses), consistent with the modestly reduced effectiveness of AZ (67%) as compared to PZ (88%) against Delta VOC in the general UK population. The implications of our findings are twofold. First, a proportion of patients with cancer who are ‘double vaccinated’ may still be suboptimally protected when transmission rates of VOC in the community are high. Second, while broad debate remains on the optimal dosing schedule of two-dose regimens (by efficacy or resource-distribution arguments), our data suggest that a shorter interval (<12 weeks) between vaccine doses may minimize the ‘at-risk’ period for patients with cancer who do not develop NAb during the prolonged dosing schedule. A potential trade-off to this may be overall lower antibody titers with a shortened schedule, but this may conceivably be rescued with a third vaccine dose.

We note that differences in NAbT between individuals receiving AZ and PZ in our cohort, consistent with findings in patients on hemodialysis, are largely driven by patients with solid cancers. In patients with hematological malignancies, NAbT responses were generally low without a discernible impact of the vaccine type. NAbT were lowest in patients treated with anti-CD20 antibodies, and patients with CLL were more likely to lack Nab than those with multiple myeloma (Delta, 0% versus 32%, respectively). Irrespective of the underlying malignancy type, levels of NAb against VOC were augmented by prior SARS-CoV-2 infection with an incremental increase in seroconversion and NAbT following two vaccine doses. This suggests that patients with cancer, especially those with hematological malignancies, would benefit from a third vaccine dose to further boost humoral immunity. Two recent studies of solid organ-transplant recipients (n = 101 (ref. 44) and n = 120 (ref. 45)), in which the third dose significantly improved immunogenicity of the PZ vaccine, lend further support to this notion (although differences between infection and vaccination in antigen load and degree of T or B cell stimulation need to be acknowledged). Furthermore, recent data on the added benefits of heterologous vaccination regimens through boosting of both antibody and T cell responses may be especially relevant for patients with hematological malignancies who have lower NAb responses to both AZ and PZ. We also note a report of a patient with lymphoplasmacytic lymphoma treated with rituximab (anti-CD20 antibody) who failed to seroconvert after two doses of the PZ vaccine but developed NAb following a booster with JNJ-78436735 (Johnson & Johnson, a viral vector vaccine). Prospective data are needed to determine the optimal vaccination regimen in immunocompromised patients.

In the most substantial evaluation of cellular immunity to COVID-19 resulting from vaccination in patients with cancer to date (n = 324), we observed SARS-CoV-2-specific T cell responses in the majority of patients, and responses occurred in a range similar to that of healthy individuals. Importantly, we detected T cell responses against Alpha and Delta peptide pools, in agreement with a recent report suggesting that T cells induced by WT SARS-CoV-2 were effective against VOC. Critically, in our cohort, T cell responses were observed in most patients with hematological malignancies, including those with undetectable NAbT. Additionally, patients with solid and hematological malignancies had comparable T1h1-driven responses. The dissonance of humoral and cellular responses was also observed with SARS-CoV-2 infection (companion paper27), including in patients on anti-CD20 therapy, suggesting that cellular immunity offers some immune protection in this patient group. Overall, however, our understanding of the role of T cells in immune protection from SARS-CoV-2 remains incomplete; while they are not expected to prevent infection, T cell responses are likely to reduce COVID-19 severity. Preclinical studies in mice and rhesus macaques have demonstrated the role of cellular immunity in SARS-CoV-2 clearance. A study of patients with multiple sclerosis on anti-CD20 treatment (n = 20) reported suppressed humoral responses but augmented CD8+ T cell induction and preserved T1h1 priming following COVID-19 vaccination.

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**Fig. 4 | WT SARS-CoV-2-specific T cell responses in patients with cancer following vaccination.** a, Exemplar ELISpot illustrating WT SARS-CoV-2-specific T cell responses. PBMCs were stimulated with 15-mer peptide pools spanning the S1 or S2 subunit of the S protein. T cell responses represent the sum of SFU per 10^6 PBMCs after stimulation with WT S1 or S2 peptide pools. NC, negative control; PC, positive control. b, SFU per 10^6 PBMCs in infection-naive patients after vaccination (n = 165, 195, 122 and 160 patients at baseline, FU1, FU2 and FU3, respectively). The dotted line at <24 denotes the threshold for positivity. Violin plots denote density; the point range shows the median and 25th and 75th percentiles. Dots represent individual samples. c, Samples from individual patients are connected. Significance was tested by Kruskal–Wallis test; for the post hoc test, two-sided Wilcoxon–Mann–Whitney U-test with Bonferroni correction was used. Only comparisons with the prior time point are denoted in the graph. Comparison of SFU per 10^6 PBMCs in patients with (n = 70, 88, 49 and 69 patients at baseline, FU1, FU2 and FU3, respectively) and without prior SARS-CoV-2 infection (n = 165, 195, 122 and 160 patients at baseline, FU1, FU2 and FU3, respectively) c and in patients with solid (n = 136, 161, 98 and 130 patients at baseline, FU1, FU2 and FU3, respectively) versus hematological malignancies (n = 29, 34, 24 and 30 patients at baseline, FU1, FU2 and FU3, respectively) d. Violin plots denote density; the point range shows the median and 25th and 75th percentiles. Dots represent individual samples. Significance was tested by Kruskal–Wallis test; P < 0.05 was considered significant; for the post hoc test, two-sided Wilcoxon–Mann–Whitney U-test with Bonferroni correction was used. MDS, myelodysplastic syndrome.
Overall, the absolute excess risk for post-vaccination breakthrough infection with skewed immunity toward a cellular response is unquantified.

Among patients with solid malignancy, cancer subtype impacted neither NAbT nor T cell responses to vaccination. Of note, in patients with thoracic malignancies who are known to be at higher risk of severe outcomes of COVID-19 (refs. 8,11,55,56), vaccine-induced immunity was not inferior as compared to that in patients with other solid cancers. Furthermore, systemic therapy, including CPI and corticosteroids, were not detrimental to induction of immune response to vaccination. This is reassuring and further reflected by the finding that median NAbT among PZ-vaccinated patients with solid cancers were comparable to those in age-matched individuals without cancer from the Legacy cohort28,29, although conclusions for AZ are more limited by the very small sample size. While a relatively small number of patients with solid cancer had undetectable NAb against Delta (AZ, 36%; PZ, 8%), the proportion was higher overall than that in healthy controls. Our study is underpowered...
to definitively ascertain whether cancer-specific factors impact the NAb response in patients with solid cancer or whether this is largely driven by age. While our data in this patient group are reassuring overall, it is important to acknowledge that NAb levels required to prevent infection may be higher than those needed for prevention of severe illness. Prevention of SARS-CoV-2 infection in patients with cancer, especially those in active treatment, is critical, as even asymptomatic infections can interrupt delivery of cancer care (that is, surgery, SACT or hospital appointments).

We observed only eight breakthrough SARS-CoV-2 infections (1% of vaccinated patients). However, the study period fell between February and May 2021 for most patients, representing a time of relatively low infection rates in the UK, at a time of declining Alpha VOC infections and before the current Delta surge. During a similar time period (December 2020–May 2021), a longitudinal community-surveillance study of the general UK population showed that vaccination with one dose of AZ or PZ reduced infections by 61–66% (further reduced by 79–80% with a second dose) 57. Our low rate of breakthrough infections in patients with cancer is reassuring, but, as CAPTURE was not designed to assess vaccine efficacy, this needs to be considered with caution. Further, the behavior of patients with cancer may have contributed to the results, as they are likely to exercise caution especially before full vaccination. An ongoing aim of the CAPTURE study includes collection of data on breakthrough infections.

The strengths of our study include a large, prospectively recruited cohort with comparison across humoral and cell-mediated immunity against VOC, which has so far been lacking in studies of patients with cancer. There are limitations in our dataset; first, while we performed an age-matched comparison with Legacy data, the analysis was limited to a small number of patients and would benefit from further validation. Second, we relied on opportunistic sampling given restrictions on nonessential travel and hospital attendance leading to missed sampling points, particularly in occasional hospital attendees. Finally, validation of findings in solid cancer type or treatment subgroups in larger datasets or through meta-analyses will be important especially for detection of marginal differences.

In conclusion, our results have clear implications for the management of patients with cancer. Our data support the prioritization of patients with cancer for booster vaccine doses, suggesting that the highest priority should be given to those with hematological malignancies, followed by patients with advanced age, especially if they were vaccinated with AZ. Personal risk mitigation and ongoing public health measures remain relevant for at-risk groups, especially when community transmission of VOC is high. Moving forward, defining the correlates of immune protection (including humoral and cellular responses) will be critical to guide decision making. Longitudinal evaluation will define the durability and nature of immune protection and the occurrence of breakthrough infection in the context of potentially waning antibody responses. As such, an adaptable framework within ongoing prospective efforts will be instrumental to safely navigate the next phase of the pandemic for our patients.

Methods

Study design. CAPTURE (NCT03226886) is a prospective, longitudinal cohort study that commenced recruitment in May 2020 and continues to enroll patients at the Royal Marsden NHS Foundation Trust. The study design has been previously published 26. In brief, adult patients with current or history of invasive cancer are eligible for enrollment. Inclusion criteria are intentionally broad, and patients are recruited irrespective of cancer type, stage or treatment. Patients recruited to the CAPTURE study who have received at least one dose of COVID-19 vaccine will be included in an analysis to explore vaccine immunogenicity in patients with cancer. Patients are included in the analysis regardless of prior SARS-CoV-2 infection status. The primary outcome for this analysis will be the seroconversion rate in patients with cancer at 14–28 d following the second dose of vaccine. At establishment of the study protocol, there was no prior published data of seroconversion in patients with cancer in this setting; and thus sample size was exploratory. The most precise estimate of seroconversion in patients with cancer would therefore be achieved through recruitment of as many patients as possible in the time period.

CAPTURE was approved as a substudy of TRACERx Renal (NCT03226886). TRACERx Renal was initially approved by the NRES Committee London, Fulham, on 17 January 2012. The TRACERx Renal substudy CAPTURE was submitted as part of Substantial Amendment 9 and approved by the Health Research Authority on 30 April 2020 and the NRES Committee London, Fulham, on 1 May 2020. CAPTURE is being conducted in accordance with the ethical principles of the Declaration of Helsinki. Good Clinical Practice and applicable regulatory requirements. All patients provided written, informed consent to participate.

Study schedule and follow-up. Clinical data and sample collection for participating patients with cancer is performed at baseline (before the first dose of vaccine or within 14 d of the first dose of vaccine) and at time points FU1 (2–4 weeks after the first dose of vaccine), FU2 (within 14 d before the second vaccine) and FU3 (2–4 weeks after the second dose of vaccine) (Fig. 1a and the Nature Research Reporting Summary).

Patient data and sample sources. Demographic, epidemiological and clinical data (for example, cancer type, cancer stage, treatment history) were collected from the internal electronic patient record, and pseudonymized data were entered into a cloud-based electronic database (Ninox Software). Regarding SACT, we deemed chemotherapy, targeted therapy (small molecule inhibitors or monoclonal antibodies) or endocrine therapy given within 28 d of vaccination to be current if given within 28 d of vaccination. CPI given within 6 months was considered significant given prolonged receptor occupancy with these agents 48. Concomitant medications were recorded for corticosteroids (considered significant if an equivalent of >10 mg prednisolone was given for at least 7 d), G-CSF (when given within 48 h of vaccination or if it was a pegylated preparation) and other immunosuppressive drugs taken within 48 h of vaccination.

Patients were grouped by cancer diagnosis (solid versus hematological malignancy) for downstream analysis. When two independent diagnoses of cancer were identified in the same patient, the case was reviewed by two clinicians (S.T.C.S. and A.M.S.), and the highest stage and/or cancer receiving active treatment was used for classification. Solid cancers were subdivided by anatomical systems (Table 1). In patients assigned to the ‘solid other’ category consisting of endocrine and neuroendocrine tumors, sarcoma and gastrointestinal stromal tumors and central nervous system tumors. Patients with hematological malignancies were grouped by conventional subtypes, although one patient with aplastic anemia (CVM0111) could not be intuitively grouped with those with other hematological disorders and was excluded from subgroup analyses.

Detailed sampling schedule and methodology have been previously described 26. Study biospecimens included per-protocol blood samples, oropharyngeal swabs and cryostored serum from routine clinical investigations. Collected data and study samples are de-identified and stored with only the study-specific study identification number.

Comparison with healthy individuals. Healthy individuals were included from the previously published Legacy study for comparison 28,29. The Legacy study includes healthy individuals vaccinated with PZ or AZ. To account for the heterogeneity of both cohorts, we selected cases based on age, type of vaccine and infection status. We only included blood samples taken between 14 and 42 d after the second dose. Infection status was self-reported for the LEGACY study 28,29. For individuals vaccinated with PZ2, we only considered infection-naïve individuals. Patients with cancer and healthy controls were grouped into two age groups for comparison (40–54 years and 55 years and over). Individuals vaccinated with AZ were compared to patients with cancer independent of previous infection, and only individuals between 40 and 59 years of age were selected for comparison. T cell responses were compared to those from a group of healthcare professionals recruited to the CAPTURE study (n = 25, Supplementary Table 8).

Definition of previous SARS-CoV-2 infection. Most patients underwent RT–PCR screening as part of routine clinical care. To account for asymptomatic infections and/or symptomatic infections not confirmed by RT–PCR, we considered patients to have had previous SARS-CoV-2 infection if they had either (1) a previous SARS-CoV-2 positive RT–PCR test result and/or (2) a positive anti-S IgG ELISA result before vaccination.

World Health Organization classification of COVID-19 severity. We classified COVID-19 severity according to the WHO clinical progression scale 49: uninfected (uninfected, no viral RNA detected), 0; asymptomatic (viral RNA and/or S1-reactive IgG detected), 1; mild (ambulatory) (symptomatic, independent), 2; moderate (hospitalized, no oxygen therapy) (if hospitalized for isolation only, status was record as for ambulatory patients), 3; severe (hospitalized, oxygen given by non-invasive ventilation or high flow), 4; intubation and mechanical ventilation (P02/FiO2 <150 or SpO2/FiO2 <200), 5; respiratory failure (P02/FiO2 <200 or SpO2 <90%), 6; and death (if hospitalized for isolation only, status was record as for ambulatory patients), 7; death (hospitalized for isolation only, status was record as for ambulatory patients), 8; death (hospitalized for isolation only, status was record as for ambulatory patients), 9; death; 10.
Handling of whole-blood samples. All blood samples and isolated products were handled in a CL2 laboratory inside a biosafety cabinet using appropriate personal protective equipment and safety measures, which were in accordance with a risk assessment and a standard operating procedure approved by the safety, health and sustainability committee of the Francis Crick Institute. For indicated experiments, serum or plasma samples were inactivated by heating at 56 °C for 30 min before use, after which they were used in a CL1 laboratory.

Plasma and PBMC isolation. Whole blood was collected in EDTA tubes (VWR) and stored at 4 °C until processing. All samples were processed within 24 h. Time of blood draw, processing and freezing was recorded for each sample. Before processing, tubes were brought to room temperature (RT). PBMCs and plasma were isolated by density gradient centrifugation using prefiltered centrifugation tubes (pluriSelect). Up to 30 ml undiluted blood was added on top of the sponge and centrifuged for 30 min at 4 °C. PBMCs were then centrifuged for 10 min at 4,000 g to remove debris, aliquoted and stored at −80 °C. The cell layer was then collected and washed twice with PBS by centrifugation for 10 min at 300 g and RT. PBMCs were resuspended in Recovery Cell Culture Freezing Medium (Fisher Scientific) containing 10% DMSO, placed overnight in Cool Cell freezing containers (Corning) at −80 °C and then stored in liquid nitrogen.

Serum isolation. Whole blood was collected in serum coagulation tubes (Vacuette CAT tubes, Greiner) for serum isolation and stored at 4 °C until processing. All samples were processed within 24 h. Time of blood draw, processing and freezing was recorded for each sample. Tubes were centrifuged for 10 min at 2,000g and 4 °C. Serum was separated from the clotted portion, aliquoted and stored at −80 °C.

S1-reactive IgG ELISA. Ninety-six-well Maxisorp plates (Thermo Fisher Scientific) were coated overnight at 4 °C with purified S1 protein in PBS (3 µg/ml per well in 50 µl) and blocked for 1 h in blocking buffer (PBS, 5% milk, 0.05% Tween-20 and 0.01% sodium azide). Sera were diluted in blocking buffer (1:50). Serum (50 µl) was then added to wells and incubated for 2 h at RT. After washing four times with PBS-T (PBS, 0.05% Tween-20), plates were incubated with alkaline phosphatase-conjugated goat anti-human IgG antibody (1:1,000, Jackson ImmunoResearch) for 1 h. Plates were developed by adding 50 µl alkaline phosphatase substrate (Sigma-Aldrich) for 15–30 min after six washes with PBS-T. Optical densities were measured at 405 nm in a microplate reader (Tecan). CR3022 (Absolute Antibodies) was used as a positive control. The cutoff for a positive response was defined as the mean negative value multiplied by 0.35x the mean positive value.

Virus variants and controls. The SARS-CoV-2 reference isolate (referred to as ‘WT’) was kCoV19/England/02/2020, obtained from the Respiratory Virus Unit, Public Health England (GISAID Epivoc accession, EPI_ISL_407073). The B.1.1 strain (D614G) was isolated from a swab from an infected healthcare worker at UCLH, obtained through the SAFER study and carries only the D614G substitution in its S protein. The B.1.1.7 isolate (’B.1.7’) was kCoV19/England/2020/90005/2020, which carries D614G, E69–70, ∆D144, N501Y, A570D, P681H, T716L, S982A and D1186H mutations, obtained from Public Health England through with W. Barclay, Imperial College London through the Genotype-to-Phenotype National Virology Consortium. The B.1.351 viral isolate was 501Y.V2.HV001, which carries D614G, L18F, D80A, D215G, 683–707, 741–770, 785–802 and 885–1,273 and was kindly provided by A. Sigal and K417N, E484K, N501Y mutations, and was kindly provided by A. Sigal and A701V mutations, and was kindly provided by A. Sigal and A701V mutations, and was kindly provided by A. Sigal and. The SARS-CoV-2 reference isolate (referred to as ‘WT’) was kCoV19/England/02/2020, obtained from the Respiratory Virus Unit, Public Health England (GISAID Epivoc accession, EPI_ISL_407073). The B.1.1 strain (D614G) was isolated from a swab from an infected healthcare worker at UCLH, obtained through the SAFER study and carries only the D614G substitution in its S protein. The B.1.1.7 isolate (‘B.1.7’) was kCoV19/England/2020/90005/2020, which carries D614G, E69–70, ∆D144, N501Y, A570D, P681H, T716L, S982A and D1186H mutations, obtained from Public Health England through with W. Barclay, Imperial College London through the Genotype-to-Phenotype National Virology Consortium. The B.1.351 viral isolate was 501Y.V2.HV001, which carries D614G, L18F, D80A, D215G, 683–707, 741–770, 785–802 and 885–1,273 and was kindly provided by A. Sigal and K417N, E484K, N501Y mutations, and was kindly provided by A. Sigal and A701V mutations, and was kindly provided by A. Sigal and

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Serum isolation. Whole blood was collected in serum coagulation tubes (Vacuette CAT tubes, Greiner) for serum isolation and stored at 4 °C until processing. All samples were processed within 24 h. Time of blood draw, processing and freezing was recorded for each sample. Tubes were centrifuged for 10 min at 2,000 g and 4 °C. Serum was separated from the clotted portion, aliquoted and stored at −80 °C.

Enzyme-linked immunospot assay. IFN-γ–precipitated ELSpot (Mabtech) plates were coated with complex recombinant (R&D) 5% human AB serum before 200,000 PBMCs were seeded per well and stimulated for 18 h. Synthentic SARS-CoV-2 PepTivator peptides (Milltenyi Biotec), consisting of 15-mer sequences with an overlap of 11 amino acids, were used at a final concentration of 1 µg/ml per well. Targets +1, +2, +3, +4 and +5 were tested by the Kolmogorov–Smirnov test. Wilcoxon–Mann–Whitney, performed in R version 3.6.1 in RStudio version 1.2.1335.

Plasma and PBMC isolation. Whole blood was collected in serum coagulation tubes (Vacuette CAT tubes, Greiner) for serum isolation and stored at 4 °C until processing. All samples were processed within 24 h. Time of blood draw, processing and freezing was recorded for each sample. Tubes were centrifuged for 10 min at 2,000 g and 4 °C. Serum was separated from the clotted portion, aliquoted and stored at −80 °C.

Viral PCR and sequencing. All virus stocks generated for use in neutralization assays were validated by sequencing before use. To confirm the identity of cultured VOC samples, 8 µl viral RNA was prepared for sequencing by the ARTIC method (https://www.protocols.io/view/ncov-2019-sequenceingprotoc-v3-lcostcob-424-8e8) and sequenced on the ONT GridION platform to >30,000 reads per sample. Data were de-multiplexed and processed using the viralep pipeline (https://github.com/nf-core/viralepon).
the stats package in R. Ordinal logistic regression was performed using the orm function with the rms package in R.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

**Data availability**

All requests for raw and analyzed data and the CAPTURE study protocol will be reviewed by the CAPTURE Trial Management Team, Skin and Renal Clinical Trials Unit, the Royal Marsden NHS Foundation Trust (CAPTURE@rmh.nhs.uk) to determine whether the request is subject to confidentiality and data-protection obligations. Materials used in this study will be made available upon request. There are restrictions to availability based on limited quantities. Response to any request for data and/or materials will be given within a 28-d period. Data and materials that can be shared would then be released upon completion of a material-transfer agreement. Source data are provided with this paper.

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The Crick COVID-19 Consortium

Mary Wu5,39, Ruth Harvey7, Simon Caidan12, James I. MacRae14, Gavin Kelly15, Charles Swanton33,34, Sonia Gandhi35,36, Steve Gamblin10, David L. V. Bauer37, George Kassiotis38 and Michael Howell5

A full list of members appears in the Supplementary Information.

The CAPTURE Consortium

Annika Fendler1,39, Scott T. C. Shepherd12,39, Lewis Au1,2,39, Katalin A. Wilkinson1,4,39, Mary Wu5,39, Fiona Byrne1, Andreas M. Schmitt2, Benjamin Shum1,2, Laura Amanda Boos2, Camille L. Gerard1, Firza Gronthoud16, Christina Messiou22, David Cunningham21, Ian Chau21, Naureen Starling21, Nicholas Turner20, Liam Welsh23, Robin L. Jones25, Joanne Droney26, Susana Banerjee27, Kate C. Tatham28, Kevin Harrington29,30, Shreerang Bhide29,30, Alicia Okines20,31, Alison Reid32, Kate Young2, Andrew J. S. Furness2, Lisa Pickering2, George Kassiotis38, Sacheen Kumar21, Nadia Yousaf19,31, Shaman Jhanji28, Emma Nicholson8, Robert J. Wilkinson3,4,6, James Larkin2 and Samra Turajlic1

A full list of members appears in the Supplementary Information.
Extended Data Fig. 1 | See next page for caption.
Extended Data Fig. 1 | Overview of collected and analysed samples per patient. a) Consort diagram detailing the flow of patients into the CAPTURE Study and number of samples collected for each timepoint up to data lock on 15/06/2021. Baseline, prior to first vaccine dose or within 14 days of vaccination; FU1, follow-up 1: 2-4 weeks post-first vaccine dose; FU2, follow-up 2: within 14 days prior to second vaccination; FU3, follow-up 3: 2-4 weeks post-second vaccine dose. b) Blue rectangles indicate that samples were collected or analysed (A), grey rectangles indicate that samples were not collected or analysed (NA), red rectangles indicate that samples were excluded from ELISPOT analysis either because cell numbers were too low, or positive/negative control failed in ELISPOT assay. c) Sampling and analysis schema for ELISPOT analysis. PBMC, peripheral blood mononuclear cells. NA, Not available, A, Analysed/Received, E, excluded.
Extended Data Fig. 2 | Previous infection in patients with haematological and solid cancers. a) Comparison of NAbT against WT SARS-CoV-2, Alpha, Beta, and Delta VOCs in infection naïve (n = 56/84/64/75 patients at BL/FU1/FU2/FU3) vs previously SARS-CoV-2 infected (n = 23/35/23/30 patients at BL/FU1/FU2/FU3) patients with haematological malignancies, and b) in infection naïve (n = 262/232/189/231 patients at BL/FU1/FU2/FU3) vs previously SARS-CoV-2 infected (n = 110/128/92/114 patients at BL/FU1/FU2/FU3) patients with solid cancers. Dotted line at <40 denotes the lower limit of detection, dotted line at >2560 denoted the upper limit of detection. Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Significance was tested by two-sided Wilcoxon Mann-Whitney U test, *p < 0.05 was considered significant. ns, non-significant. * p < 0.05, ** p < 0.01, *** p < 0.001. AZ, AstraZeneca; NAbT, neutralising antibody titres; PZ, Pfizer; VOC, variant of concern. NA, not tested. BL, baseline; FU1, 21-56 days post first-vaccine; FU2, 14-28 days prior to second vaccine; FU3, 14-28 days post second vaccine.
Extended Data Fig. 3 | See next page for caption.
Extended Data Fig. 3 | NAbT against WT SARS-CoV-2 and VOCs in haematological and solid cancer types. a) Comparison of NAbT WT SARS-CoV-2 and the three VOCs by cancer type in infection-naive patients with haematological malignancies (At BL/FU3: CLL: 3/11, MDS/MPN:S/4, Lymphoma: 23/28, Acute Leukaemia: 16/13, Myeloma: 8/19, 1 patient with aplastic anaemia not included in analysis) and b) solid tumours (At BL/FU3: Genitourinary: 56/51, GI: 35/34, Thoracic: 49/38, Gynaecological: 16/13, Solid_other: 13/14, H&N: 8/5, Breast: 26/26, Skin: 59/51). Dotted line at <40 denotes the lower limit of detection, dotted line at >2560 denoted the upper limit of detection. Violin plots denote density of data points. Pointrange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Significance post-second dose was tested by Kruskal–Wallis test, p < 0.05 was considered significant, post-hoc test: two-sided Wilcoxon Mann–Whitney U test with Bonferroni correction was used for pairwise comparisons. Only comparisons with an adjusted p-value < 0.05 are denoted in the graph. * p < 0.05, ** p < 0.01, *** p < 0.001. GI, Gastrointestinal; Gynaecological; H&N, Head & Neck; BL, baseline; FU3, 14–28 days post second-vaccine.
Extended Data Fig. 4 | Neutralising titres WT SARS-CoV-2 and VOCs in patients treated with anti-CD20. Comparison of neutralising titres against WT SARS-CoV-2, Alpha, Beta, and Delta in haematological patients treated with anti-CD20. Boxes indicate the 25 and 75 percentiles, line indicates the median, and whiskers indicate 1.5 times the IQR percentiles. Dots represent individual samples. Dotted lines indicate the lower and upper limit of detection. Significance was tested by two-sided Wilcoxon-Mann-Whitney U test, \( p < 0.05 \) was considered significant.
Extended Data Fig. 5 | See next page for caption.
Extended Data Fig. 5 | Neutralising responses in patients with cancer and healthy controls. a) NAbT against WT SARS-CoV-2, Alpha, Beta, and Delta in infection naive, healthy individuals (n=55, by age: 39/16), patients with solid cancers (n=48, by age: 9/39) and haematological malignancies (n=24, by age: 5/19) after two doses of Pfizer. Median fold-decrease in NAbT is shown for each VOC in comparison to WT SARS-CoV-2. Dotted line at <40 denotes the lower limit of detection, dotted line at >2560 denoted the upper limit of detection. Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Significance was tested by Kruskal Wallis test, p<0.05 was considered significant, post-hoc test: two-sided Wilcoxon Mann-Whitney U test with Bonferroni correction was used for pairwise comparisons. ns, non-significant, * p<0.05, ** p<0.01, *** p<0.001. b) NAbT were categorised as undetectable/low (<40), medium (40-256), or high (>256) are shown for WT SARS-CoV-2 and the three VOCs. Differences were analysed using Chi-Square test. p-values <0.05 were considered significant. Patient numbers per category are annotated in graph. c) NAbT against WT SARS-CoV-2, Alpha, Beta, and Delta in, healthy individuals (n=8), patients with solid cancers (n=77) and haematological malignancies (n=18) after two doses of AZ. Median fold-decrease in NAbT is shown for each VOC in comparison to WT SARS-CoV-2. Dotted line at <40 denotes the lower limit of detection, dotted line at >2560 denoted the upper limit of detection. Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Significance was tested by Kruskal Wallis test, p<0.05 was considered significant, post-hoc test: two-sided Wilcoxon Mann-Whitney U test with Bonferroni correction was used for pairwise comparisons. ns, non-significant, * p<0.05, ** p<0.01, *** p<0.001. d) NAbT were categorised as undetectable/low (<40), medium (40-256), or high (>256) are shown for WT SARS-CoV-2 and the three VOCs. Differences were analysed using Chi-Square test. p-values <0.05 were considered significant. Patient numbers per category are annotated in graph. NAbT, neutralizing antibody titre.
Extended Data Fig. 6 | See next page for caption.
**Extended Data Fig. 6 | SARS-CoV-2-specific T-cell responses following COVID-19 vaccination.**

**a**) Comparison of SFU/10^6 PBMC after stimulation with N and M peptide pools before vaccination in infection naïve (n = 171) vs previously infected (n = 56) patients. Significance was tested by two-sided Wilcoxon Mann-Whitney U test, p < 0.05 was considered significant. **p < 0.01. SFU/10^6 PBMC in infection naïve patients after stimulation with spike peptide pools specific to** b) Alpha VOC (n = 209/254/152/199 at BL/FU1/FU2/FU3), and c) Delta VOC (n = 15/20/54/86 at BL/FU1/FU2/FU3). Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Samples from individual patients are connected. Significance was tested by Kruskal Wallis test, p < 0.05 was considered significant, post-hoc test: two-sided Wilcoxon Mann-Whitney U test with Bonferroni correction was used for pairwise comparisons. Only comparisons with an adjusted p-value < 0.05 are denoted in the graph. * p < 0.05, ** p < 0.01, *** p < 0.001. **

d) Comparison of SFU/10^6 PBMC in patients receiving AZ (n = 194/161/146/187 at BL/FU1/FU2/FU3) vs PZ (n = 41/46/27/42 at BL/FU1/FU2/FU3) vaccines. Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Significance was tested by two-sided Wilcoxon-Mann-Whitney U test, p < 0.05 was considered significant. 

e) Comparison of SFU/10^6 PBMC in haematological patients treated with anti-cD20 (Anti-cD20: n = 5/5/4 at BL/FU1/FU3, No anti-cD20: n = 32/35/37 at BL/FU1/FU3). Boxes indicate the 25th and 75th percentiles, line indicates the median, and whiskers indicate 1.5 times the IQR. Dots represent individual samples. Dotted lines indicate the limit of positivity. AZ, AstraZeneca; PBMC, peripheral blood mononuclear cells; PZ, Pfizer; SFU, spot-forming unit. 

f) Comparison of the levels of 15 cytokines in ELISPOT culture supernatants after stimulation with S1 and S2 peptide pools vs unstimulated controls. Significance was tested by two-sided Wilcoxon-Mann-Whitney U test, p < 0.05 was considered significant. 

g) Comparison of 7 cytokines in patients with haematological (n = 25 patients) vs solid cancers (n = 8 patients). Significance was tested by two-sided Wilcoxon-Mann-Whitney U test. BL, baseline; FU1, 21-56 days post first-vaccine; FU2, 14-28 days prior to second vaccine; FU3, 14-28 days post second vaccine.
Extended Data Fig. 7 | SARS-CoV-2-specific T-cell responses in healthy controls. T-cell responses in healthy controls (n = 25) and patients with solid (n = 188) or haematological malignancy (n = 41) after vaccination. T-cell responses are represented as the sum of SFU/10^6 PBMC after stimulation with WT S1 or S2 peptide pools. Dotted line at <24 denotes the threshold for positivity. Violin plots denote density of data points. PointRange denotes the median and the 25 and 75 percentiles. Dots represent individual samples. Samples from individual patients are connected. Significance was tested by Kruskal-Wallis test, p < 0.05 was considered significant, post-hoc test: two-sided Wilcoxon Mann-Whitney U test with Bonferroni correction was used for pairwise comparisons. Only comparisons with the prior timepoint are denoted in the graph. ns, non-significant.
Extended Data Fig. 8 | Swimmer plot of 8 patients testing positive for SARS-CoV-2 following vaccination. Each lane represents a patient and is coloured to represent the results of NAAbT to WT SARS-CoV-2 virus (Low/absent, <40/<35; Medium, 40 - 256; High >256). Black vertical lines represent sampling timepoints. As we did not mandate collection of serial SARS-CoV-2 RT-PCR testing, viral shedding was estimated as the time from the first positive to the last positive SARS-CoV-2 RT-PCR test. COVID-19 severity is represented by the WHO Ordinal Scale (See Methods).