On horizon structure of bimetric spacetimes

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1. Introduction

In various contexts of physics today, the situation arises where two or more Lorentzian metrics may be defined on the same spacetime manifold. If one of these metrics has a black hole horizon, or more generally a Killing horizon, interesting constraints on coordinates and features of global structure can arise. In particular, it can be impossible for both metrics to be diagonal at the horizon, and the maximal extensions of the two metrics may not coincide. The purpose of this paper is to discuss these issues and give some examples.

Early bimetric theories are those of Belinfante and Swihart, and Lightman and Lee [1], Isham et al [2] (‘f-g theory’), Rosen [3], Ni [4] and Rastall [5]. The notion also arises in...
deformations of general relativity with a massive graviton, such as nonlinear Pauli–Fierz gravity [6–8]. Another setting is in theories with a preferred frame, characterized by a timelike unit vector field $u^\alpha$. Then, a mode that propagates at speed $v_i$ relative to the preferred frame sees an effective metric

$$g^{(i)}_{ab} = g_{ab} + (v_i^2 - 1) u_a u_b.$$  

(1)

For instance, these could be the spin-2,1,0 modes of Einstein-aether theory [9, 10], or the spin-2 and spin-0 modes of the IR limit of (completed) Horava gravity [11, 12] or the metrics seen by matter fields that couple differently to the aether $u^\alpha$. A bimetric theory has also recently been introduced for MOND [13]. Bimetric black holes also arise in the setting of [14], where some propagating field couples to a metric constructed from the ‘usual’ metric and a ghost condensate [15]. Another example arises in the setting of $k$-essence theories [16]. Our considerations apply to all of these settings.

Here, we first establish some general properties of bimetric spacetimes with Killing horizons, and then apply and illustrate them with a few specific examples. The most important implication is perhaps that regular spherical black holes can exist in massive gravity theories with a regular, flat non-dynamical metric only if the metrics are not both diagonal, and then only if the bifurcation surface is not in the interior of the spacetime common to both metrics. Some of our results overlap with recent work of Bañados et al [17], though our techniques differ.

2. General properties of bimetric Killing horizons

We consider first static, spherically symmetric metrics, and then generalize to the stationary axisymmetric case. A spherical coordinate system $(t, r, \theta, \phi)$ can always be chosen so that any two such metrics locally take the form

$$f_{\mu\nu} \, dx^\mu \, dx^\nu = -J(r) \, dr^2 + K(r) \, dr^2 + r^2 \, d\Omega^2;$$

(2)

and

$$g_{\mu\nu} \, dx^\mu \, dx^\nu = -A(r) \, dr^2 + 2B(r) \, dr \, dr + C(r) \, dr^2 + D(r) \, d\Omega^2;$$

(3)

where $d\Omega^2$ is the metric of the unit 2-sphere: $d\Omega^2 = d\theta^2 + \sin^2 \theta \, d\phi^2$. Note that, in general, it is not possible to choose the coordinates so that both metrics are diagonal. Given the two metrics, a number of coordinate-independent scalars can be constructed using the inverse metrics, e.g., $f^{\mu\nu} g_{\mu\nu}, g^{\mu\nu} f_{\mu\nu}, f^{\mu\nu} f^{\rho\sigma} g_{\mu\rho} g_{\nu\sigma}$, etc (which are all obtained from tracing powers of the matrix $M_{\mu\nu} = f^{\mu\nu} g_{\mu\nu}$ [7]). Whenever both metrics define regular geometries on a common manifold, all of these invariants must be regular, even if some components in a singular-coordinate system are singular. We analyze in this paper the constraints imposed by the requirement that both metrics are regular on a common manifold. This requirement is motivated by the expectation that, without the existence of solutions obeying this requirement, the bimetric theory would not be viable, or, at best, would be ill defined.

We now present three propositions about horizons that hold in this and related situations.

Proposition 1. Suppose the Killing vector $\partial_t$ is null at $r = r_H$ with respect to $g_{\mu\nu}$. Then, if both metrics are diagonal and describe smooth geometries at $r_H$, $\partial_t$ must also be null with respect to $f_{\mu\nu}$ at $r = r_H$.

Proof 1a. The assumptions are that $A(r_H) = 0$ and $B(r) = 0$. Thus, $g^{\mu\nu} f_{\mu\nu} = J/A + K/C + 2 r^2 / D$, which is regular by assumption. In order for both diagonal metrics to have Lorentzian signature, with $r$ translation timelike for $r > r_H$, $J/A, K/C$ and $2 r^2 / D$ must have the same sign, so they cannot cancel. But $J/A$ will diverge at $r_H$ unless $J(r_H) = 0$. \qed
A similar observation was made recently in [17], where it was argued that the presence of the \( g_{\mu\nu} \) horizon implies that the 1-form \( dr \) is singular at \( r_H \) so that \( f_{\mu\nu} \) will be singular at \( r_H \) unless \( J(r_H) = 0 \). As discussed below, this reasoning is not conclusive, because at \( r_H \) the 1-form \( dr \) becomes proportional to \( dt \), so a diverging coefficient of \( dr^2 \) could potentially cancel the singularity and render the geometry regular.

Next, we give an alternative proof that connects proposition 1 to properties of the bifurcation surface of the Killing horizon. This offers a less coordinate-dependent, more global perspective on the nature of the proposition and leads to some generalizations of the result.

We consider the situation where there are two metrics \( f \) and \( g \), both invariant under the flow of the same Killing vector \( \chi \), so that \( \mathcal{L}_\chi g = 0 \) and \( \mathcal{L}_\chi f = 0 \). A Killing horizon is a null hypersurface whose null generators coincide with the flow of a Killing vector field. Thus, although \( f \) and \( g \) are assumed to share the same Killing vector, a Killing horizon for \( g \) is in general not a Killing horizon for \( f \).

A bifurcation surface of a Killing horizon is a cross-section of the horizon on which the Killing vector vanishes (and two sheets of the horizon intersect). For example, on the maximal extension of a Schwarzschild black hole spacetime, the spacelike 2-sphere defined by the intersection of the future and past horizons is a bifurcation surface. Another example is a Rindler horizon \( |t| = |z| \) in Minkowski spacetime \( \{ (t, x, y, z) \} \), whose bifurcation surface is an infinite spacelike plane consisting of the points \( (0, 0, x, y) \).

A black hole that forms from collapse does not have a bifurcation surface in the physical spacetime, but if it ultimately settles down to a stationary solution with a Killing horizon, then under rather general conditions it has what might be called a ‘virtual’ bifurcation surface. Indeed, a theorem of Racz and Wald [18, 19] proves that if the spacetime is static (and therefore with a \( t \) reflection symmetry), or stationary with a \( t-\phi \) reflection isometry, and if the surface gravity of the horizon is nonzero, then the surface gravity is constant (this was proven earlier by Carter [20]) and there is an extension of a neighborhood of the horizon to one with a bifurcate Killing horizon. The result applies to general spacetimes, without assuming any equations of motion. A second result also proved in [18] is that any Killing invariant tensor field sharing the \( t \) or \( t-\phi \) reflection symmetry of the metric can also be extended globally to the enlarged spacetime. Now, we can give the second proof of proposition 1.

**Proof 1b.** If both metrics \( f_{\mu\nu} \) and \( g_{\mu\nu} \) are diagonal, then \( g_{\mu\nu} \) shares the \( t \) reflection symmetry of \( f_{\mu\nu} \). If the surface gravity of the \( g \)-horizon is nonzero, then the Racz–Wald theorem implies that both metrics can be extended to a regular bifurcation surface of the \( \partial_t \) Killing horizon for \( g \). The scalar \( f_{\mu\nu} \chi^\mu \chi^\nu = J(r) \) vanishes at the bifurcation surface, where \( \chi^\mu = 0 \), and it cannot change along the Killing flow, so it vanishes everywhere at \( r = r_H \). □

This method of reasoning extends to the stationary case, in which spherical symmetry need not be assumed.

**Proposition 2.** Suppose that \( \chi^\mu \) is a Killing vector for metrics \( g_{\mu\nu} \) and \( f_{\mu\nu} \), and that \( g_{\mu\nu} \) has a \( \chi^\mu \) Killing horizon with nonvanishing surface gravity. Suppose further that both geometries are regular and possess the \( t-\phi \) reflection isometry. Then, the horizon is also a horizon for \( f_{\mu\nu} \).

**Proof 2.** By the Racz–Wald theorem, \( f_{\mu\nu} \chi^\mu \chi^\nu \) must smoothly extend to the bifurcation surface, where it must vanish. However, it is constant along the Killing flow, so must vanish everywhere on the \( g_{\mu\nu} \)-Killing horizon, which is therefore also a Killing horizon for \( f_{\mu\nu} \). □
The results discussed so far do not preclude the coexistence of two geometries, one with a Killing horizon and one without. Rather they only imply that the non-horizon geometry cannot possess the $t-\phi$ reflection symmetry. For example, the presence of a nonzero $t-r$ component in the metric (3) can allow both geometries to be regular at the horizon, (cf section 3.2 for more details.) In this example, both metrics are static and hence possess a $t$ reflection symmetry, but that symmetry is not the same for $g_{\mu\nu}$ as it is for $f_{\mu\nu}$, since the Killing field $\partial_t$ is $f$-orthogonal but not $g$-orthogonal to the constant $t$ surfaces.

When both geometries are regular and coexist at a Killing horizon of one of the metrics that is not a Killing horizon for the other metric, there is a global consequence of the mismatch. Namely, the bifurcation surface of the $g$ spacetime cannot lie in the interior of the $f$ spacetime but rather must lie at infinity or at a singular boundary. This follows by the same argument used in proof 2. In fact, this result can be strengthened further to require matching surface gravities.

**Proposition 3.** If a Killing horizon of a metric $g$ has a bifurcation surface that lies in the interior of the spacetime of another metric $f$ with the same Killing vector, then it must also be a Killing horizon of $f$, and with the same surface gravity.

**Proof 3.** The necessity that the horizon is also an $f$ Killing horizon is clear from proof 2. The equality of surface gravities follows from the fact that the surface gravity $\kappa$ can be defined in an entirely metric-independent way at the bifurcation surface (cf section III of [21]):

$$2\kappa^2 = - (\nabla_\alpha \chi^\beta)(\nabla^\alpha \chi^\beta)$$  \hspace{1cm} (4)

$$= (\nabla_\alpha \chi^\beta)(\nabla^\beta \chi^\alpha)$$  \hspace{1cm} (5)

$$= (\partial_\alpha \chi^\beta)(\partial^\beta \chi^\alpha).$$  \hspace{1cm} (6)

In the second line, we used Killing’s equation $\nabla_{(\alpha} \chi_{\beta)} = 0$, and in the last line, we replaced the covariant derivative by the partial derivative in any coordinate system, since the terms with Christoffel symbols vanish because $\chi^\alpha = 0$ at the bifurcation surface. (Note that, of course, equation (6) can be used only in a coordinate system that is regular at the bifurcation surface. The argument requires only the existence of such a coordinate system, which imposes no constraint once it is assumed that both geometries are regular there.) In the spherically symmetric case, the result of proposition 3 was proved in [17] using coordinate-based arguments. \hfill \Box

### 3. Applications and examples

The propositions proven in the previous section have various interesting applications. We now give some examples, starting with massive gravity.

#### 3.1. Massive gravity and the Vainshtein mechanism

A long-standing question concerning ‘massive gravity’ is whether the so-called van-Dam–Veltman–Zakharov discontinuity [22] can be avoided. The ‘discontinuity’ is the fact that a massive graviton, as defined by the only ghost-free quadratic action, the Pauli–Fierz action [23], leads to physical predictions, such as light bending, which are significantly different from those of linearized general relativity, however small the graviton mass may be. A way to recover GR, proposed by Vainshtein [24], is to properly take into account nonlinearities of the theory. A simple nonlinear theory of massive gravity is obtained by considering a bimetric
theory with one dynamical metric $g_{\mu\nu}$ and one non-dynamical metric $f_{\mu\nu}$, which is chosen to be flat. A suitable coupling between the two metrics is chosen such that the theory, when linearized around flat spacetime, matches the Pauli–Fierz theory (in the terminology of [7], such a theory is in the Pauli–Fierz universality class).

Various investigations of the Vainshtein proposal have been made in such a theory, looking for static and spherically symmetric solutions with a matter source [25, 26]. Should the Vainshtein mechanism be valid, it would be expected that one can recover, for some range of distances below a so-called Vainshtein radius, a solution for the dynamical metric very close to the Schwarzschild solution outside the source. Following Vainshtein’s original proposal [24], this is expected to occur when both metrics take the form (2, 3), and when the non-diagonal coefficient $B(r)$ is chosen to vanish. This was in fact shown recently to occur for low-density sources [26], but nothing is known for dense sources or black holes.

The results of the previous section allow us to settle this issue for black holes. Indeed proposition 1 shows that, provided the dynamical metric $g_{\mu\nu}$ has a Killing horizon and both metrics are diagonal, the non-dynamical metric must also have a Killing horizon at the horizon of the black hole. This cannot be the case, however, since the non-dynamical metric is considered flat, so has only planar Killing horizons, not spherical ones. This shows that the Vainshtein mechanism, as it is usually formulated (i.e. with both metrics diagonal and static), cannot work to recover black holes. It also raises interesting questions on how the transition occurs from the low-density nonsingular solutions found in [26] to the mandatory singular behavior for black holes.

Our results can also shed some light on the solutions of a family of theories of massive gravity, which was recently proposed [8] as a candidate to avoid well-known pathologies of standard nonlinear Pauli–Fierz theories [27]. Following [29], we will refer to this family of theories as PF2. PF2 theories are similar to the kind of massive gravities considered in this subsection, with one dynamical metric $g_{\mu\nu}$ and one non-dynamical flat metric $f_{\mu\nu}$ (note that the flat metric is often written in a so-called non-unitary gauge, thanks to four scalar fields, where it does not have the canonical Minkowski form). Static spherically symmetric solutions for FP2 theories with both metrics diagonal have recently been worked out [28, 29] (see also [31, 32]). Some of the solutions presented in [28, 29] possess a (generally singular) ‘black hole horizon’ and are nonsingular everywhere outside the horizon. For a subset of these solutions (obtained for a subset of the PF2 theories), the $g$ geometry is nonsingular on the horizon as well. However, our results show that (for both metrics diagonal) there can exist no nonsingular extension of the non-dynamical geometry to the horizon (and beyond) such that it stays flat (as required by the way the theory is defined). It follows that all the solutions presented in [28, 29] cease to exist at the horizon.

### 3.2. Examples with Schwarzschild and flat spacetime

To illustrate the previous point, note that close to the horizon the geometry of these solutions is similar to the one obtained from a Schwarzschild spacetime $-q dt^2 + q^{-1} dr^2 + r^2 d\Omega^2$, with $q = 1 - 2M/r$, together with the flat metric $-dt^2 + dr^2 + r^2 d\Omega^2$ defined using the same coordinates. Outside the horizon both metrics are regular; however, the propositions proved

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4 Note also that the authors of [25] reported to have failed to find such solutions and argued for their nonexistence.

5 After this work was completed, in [30], new black hole solutions of the FP2 theory were found. These solutions are in complete agreement with the analysis of this paper. Indeed, in these solutions, the black hole horizon can be crossed at the price of having one metric non-diagonal, but then the bifurcation sphere of the black hole does not lie in the interior of the patch covered by the flat metric, as will also be discussed in section 3.3. In the wording of [30], the black hole and white hole horizons cannot both be regular.

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here show that there exists no regular extension of this flat geometry to a neighborhood that includes any part of the horizon.

A contrasting example is provided by the Schwarzschild metric expressed using ingoing Eddington–Finkelstein coordinates, \(-q dv^2 + 2dvdr\), together with the flat metric \(-dr^2 + 2dvdr\), where now the angular part of the metric is implicit. Clearly, both of these metrics are regular in the region outside and on the horizon and inside the black hole region. However, while the black hole geometry is incomplete in this coordinate patch (and can be extended to the full Kruskal–Szekeres geometry), the flat metric in these coordinates is complete as it stands and does not include the bifurcation surface which lies at \(v = -\infty\). This illustrates the general fact that the bifurcation surface cannot lie in the interior of the spacetime that does not have a horizon at the same location [33].

The role of the off-diagonal terms in the flat metric can be appreciated by expressing this last example using the Schwarzschild time coordinate, with \(dt = dv - q^{-1}dr\). The black hole line element then takes the usual diagonal Schwarzschild form, while the flat metric is \(-dr^2 + 2(1 - q^{-1}) dr^2 + (2q^{-1} - q^{-2}) dr^2\). Since this arose by a coordinate transformation from the previous example, we know that this flat geometry can be extended across the future horizon. On the other hand, it remains true that the 1-form \(dr\) is singular and null on the horizon. The last expression defines a regular metric because the 1-form \(dr\) is also null, and hence proportional to \(dt\) on the horizon, and the divergent factors of \(q^{-1}\) are just what is needed to allow the \(dr^2\) and \(dr^2\) terms together to cancel the divergence of \(dt^2\).

### 3.3. Bigravity black holes

Some regular solutions with black holes are known in theories with two metrics. This is the case [34, 25] in the ‘f-g theory’ (or ‘strong gravity’) formulated in the 1970s by Isham, Salam and Strathdee in the context of strong interactions [2] and recently revived to deal with cosmic acceleration [35]. Those solutions (see also [36]) are in the non-diagonal form (2, 3) (i.e. with \(B\) being nonzero), with both metrics in the Schwarzschild–(anti)-de-Sitter family of solutions of GR (the two metrics can have different (anti)-de-Sitter radii as well as Schwarzschild radii). Hence, when considered individually, both metrics can be extended to spacetimes (the maximal extensions of Schwarzschild–(anti)-de-Sitter spacetimes) containing bifurcation spheres. However, the bifurcation sphere of one metric always lies outside (namely at infinities) of the patch where the other metric is defined [33].

The necessity of this follows from proposition 3 of the previous section. Indeed, when the horizons of the two metrics do not coincide—which is usually the case in the solutions considered, given that the (anti)-de-Sitter radii as well as the Schwarzschild radii appear as integration constants and can take different values for the two metrics—the same is true for the bifurcation surfaces. Hence, the bifurcation surface of one metric cannot lie in the interior of the patch covered by the other.

A similar situation occurs in the black hole solutions discussed in [14], where one metric is the ‘usual’ one and the other is the one seen by some propagating field coupled to a ghost condensate [15], but both metrics are in fact in the standard Schwarzschild family. More generally, it occurs when considering two of the metrics of the type (1) with different values of \(v_i\), when \(g_{ab}\) and \(u^a\) are both invariant under some Killing flow. For instance, it occurs also in the Einstein-aether/Horava black hole solutions of [37, 38].

As a last example, we discuss the case of two Schwarzschild black holes with a common Killing horizon, but different surface gravities. According to proposition 3, the bifurcation surfaces must lie outside of the patch where both geometries are simultaneously non-singular. For example, consider a Schwarzschild metric \(f = -q dv^2 + 2dvdr + r^2d\Omega^2\) of
mass $M$ expressed using the ingoing Eddington–Finkelstein coordinates, and using the same coordinates a second metric $g = -qdr^2 + dvdr + r^2d\Omega^2/4$. The second metric $g$ takes the standard ingoing Eddington–Finkelstein form of the Schwarzschild geometry with mass $M/2$ in terms of the new radial coordinate $r' = r/2$. The metrics $f$ and $g$ share a common Killing horizon at $r = 2M$; however, the surface gravity of $g$ is twice as large as that of $f$. This $(v, r)$ coordinate patch defines the maximum region wherein both geometries are simultaneously regular. To see that, note that the coordinate change $dr = dv - q^{-1}dv$ puts $f$ in the standard diagonal Schwarzschild form and gives $g$ the form $-qdr^2 - dvdr + r^2d\Omega^2/4$. The coordinates $(t, r')$ are thus outgoing Eddington–Finkelstein coordinates for $g$. These coordinates are regular on the past horizon of $g$ on either side of the bifurcation surface, but the components of $f$ are singular there, so the two metrics cannot both be extended across this horizon. Following constant $t$ curves in the $f$ and $g$ spacetimes, one can see that the bifurcation surface of $f$ is spread over the past horizon of $g$. Taking $t$ to $-\infty$ shows that the part of the past horizon of $f$ to the past of the bifurcation surface corresponds to past timelike infinity ($i^-)$ of the $g$ spacetime. (The part to the future of the bifurcation surface corresponds to future timelike infinity ($i^+$) of the other asymptotic region of the $g$ spacetime.) In this case, in contrast to the previously mentioned examples, the bifurcation surface of $f$ does not meet the $g$ spacetime at the conformal boundary, but rather at interior points. However, it is not smoothly embedded in the $g$ spacetime, so it can have a different surface gravity.

Analytic continuation of the time coordinate yields a simple Euclidean analogue of this example. Consider $dr^2 + r^2d\theta^2$ and $dr^2 + 4r^2d\phi^2$. The analogue of the bifurcation surface is the origin $r = 0$. If we fix the period of $\theta$ at $2\pi$, the first metric describes a flat plane, whereas if the period is $\pi$, the second metric describes a flat plane. The different periods correspond to different surface gravities in the Lorentzian continuation. The point $r = 0$ of each geometry is in the interior of the other flat plane, but each geometry has a conical singularity on the manifold of the other one. Thus, no neighborhood of that point exists for which both geometries are regular.

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