**HST Rotational Spectral Mapping of Two L-Type Brown Dwarfs: Variability In and Out of Water Bands Indicates High-Altitude Haze Layers**

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**ABSTRACT**

We present time-resolved near-infrared spectroscopy of two L5 dwarfs, 2MASS J18212815+1414010 and 2MASS J15074759–1627386, observed with the Wide Field Camera 3 instrument on the *Hubble Space Telescope* (HST). We study the wavelength dependence of rotation-modulated flux variations between 1.1 μm and 1.7 μm. We find that the water absorption bands of the two L5 dwarfs at 1.15 μm and 1.4 μm vary at similar amplitudes as the adjacent continuum. This differs from the results of previous HST observations of L/T transition dwarfs, in which the water absorption at 1.4 μm displays variations of about half of the amplitude at other wavelengths. We find that the relative amplitude of flux variability out of the water band with respect to that in the water band shows a increasing trend from the L5 dwarfs toward the early T dwarfs. We utilize the models of Saumon & Marley and find that the observed variability of the L5 dwarfs can be explained by the presence of spatially varying high-altitude haze layers above the condensate clouds. Therefore, our observations show that the heterogeneity of haze layers—the driver of the variability—must be located at very low pressures, where even the water opacity is negligible. In the near future, the rotational spectral mapping technique could be utilized for other atomic and molecular species to probe different pressure levels in the atmospheres of brown dwarfs and exoplanets and uncover both horizontal and vertical cloud structures.

**Key words:** brown dwarfs – stars: atmospheres – stars: individual (2MASS J18212815+1414010, 2MASS J15074769–1627386, 2MASS J01365662+0933473) – stars: low-mass

**1. INTRODUCTION**

Since the recent discovery of three early T dwarfs with high-amplitude flux variability as large as 26% in the near-infrared (near-IR; Artigau et al. 2009; Radigan et al. 2012; Biller et al. 2013), ground- and space-based observations have revealed that low-level variabilities are common for brown dwarfs of diverse spectral types across a wide range of wavelengths (e.g., Heinze et al. 2013; Gillon et al. 2013; Buenzli et al. 2014; Burgasser et al. 2014; Wilson et al. 2014; Radigan et al. 2014; Radigan 2015; Metchev et al. 2015).

Condensate clouds are believed to play a major role in the atmospheres of brown dwarfs (e.g., Tsuji et al. 1996; Jones & Tsuji 1997; Burrows et al. 2000; Allard et al. 2001; Ackerman & Marley 2001; Tsuji 2002; Helling et al. 2008; Saumon & Marley 2008; Stephens et al. 2009), and heterogeneous cloud covers combined with fast rotation are thought to produce the observed flux variability. For the L dwarfs, silicate cloud layers form from condensation and strongly impact the emergent flux at these effective temperatures (e.g., Chabrier et al. 2000; Lodders & Fegley 2006). Mid and late T dwarfs are regarded as generally cloud-free objects due to clouds dispersing or sinking below their photospheres (e.g., Ackerman & Marley 2001; Burgasser et al. 2002), though sulfide clouds might still exist at altitudes high enough to affect the atmosphere (Morley et al. 2012). As transitional objects between L dwarfs and mid/late T dwarfs, early T dwarfs show intermediate near-IR colors. Based on an emerging number of variable brown dwarfs, these objects likely have heterogeneous cloud coverage (e.g., Apai et al. 2013; Radigan et al. 2014; Crossfield et al. 2014; Buenzli et al. 2014, 2015), caused by varying cloud thickness, i.e., thin-thick clouds, but no cloud holes (Radigan et al. 2012; Apai et al. 2013). Recent models have also shown that temperature perturbations, potentially arising due to atmospheric circulation, could also induce periodic and aperiodic flux variations (Showman & Kaspi 2013; Zhang & Showman 2014; Robinson & Marley 2014; Morley et al. 2014).

Studies of clouds are not only important in understanding brown dwarf atmospheres, but also can shed light on the atmospheric properties of exoplanets (Kostov & Apai 2013). Recent observations of *transiting exoplanets* show that high-altitude clouds or haze layers may exist at pressure levels of 1 mbar or even lower (e.g., Sing et al. 2011; Kreidberg et al. 2014; Knutson et al. 2014). While more detailed characterization of
the exoplanetary atmospheres is limited by current instrumentation, studies of clouds or haze layers in brown dwarf atmospheres offer essential insights for reference.

Rotational spectral mapping is a powerful technique for uncovering cloud structures on brown dwarfs. Buenzli et al. (2012) utilized the unique capabilities of the Wide Field Camera 3 (WFC3) instrument on the *Hubble Space Telescope (HST)* and detected phase shifts among light curves of different wavelength bands in the T6 dwarf 2M22282889-4310262. The multi-layer rotational maps revealed heterogeneous atmospheric structures in both horizontal and vertical directions for the first time. Apai et al. (2013) found high-precision time-resolved WFC3 spectral maps of two L/T transition dwarfs, 2MASS J21392676+0220226 (hereafter 2M2139) and 2MASS J01365662+0933473 (hereafter 2M1821) and 2MASS J01365662+0933473 (hereafter 2M1821) and 2MASS J01365662+0933473 (hereafter 2M1821). Monitoring WISE J104915.57-531906.1 (or Luhman 16B) at all wavelengths from 1.1 to 1.6 μm exhibits red near-IR colors (Cushing et al. 2006). Both L5 dwarfs have been observed to be variable in the *Spitzer* IRAC channels 1 and 2 (Mitchew et al. 2015). We study the wavelength dependence of their near-IR variabilities, and compare the results with early T dwarfs, 2M2139, 2M1821, and Luhman 16B. In Section 2, we describe our observations and the data reduction process. In Section 3, we present the spectral variation of the two L dwarfs, which is followed by a discussion of the results in Section 4. We summarize our results in Section 5.

### 2. OBSERVATIONS AND DATA REDUCTION

The *Spitzer Space Telescope* Cycle-9 Exploration Science Program, *Extrasolar Storms* (PI: D. Apai), uses coordinated multi-epoch *HST* and *Spitzer* rotational phase maps of six brown dwarfs to characterize cloud evolution and dynamics of ultracool atmospheres over a large range of timescales. The observations presented here are part of the coordinated *HST* component of the *Extrasolar Storms* program. We obtained near-IR spectra of 2M1821, 2M1507, and the T2 dwarf SIMP0136 with the WFC3 G141 grism.

Each target in our program was observed over three or four consecutive orbits each in two separate visits. During each orbit, a direct image was first obtained through the F132N filter for wavelength calibration, followed by a number of dispersed images with the G141 grism. To avoid detector buffer dumps and maximize observing time in each orbit, subarrays of 256 × 256 pixels on the detector were used, corresponding to a full field of view of ~30" × 30". The spectra were kept on the same pixels for all exposures so that systematic errors caused by pixel-to-pixel sensitivity variations are avoided. The observations are summarized in Table 1.

### Data Reduction

For data reduction, we downloaded spectral images processed by the standard WFC3 pipeline from the MAST archive,"http://archive.stsci.edu" and then utilized custom IDL routines and the PyRAF software package "http://axe-info.stsci.edu" to extract the slitless spectra. The detailed data reduction process is described in Apai et al. (2013) and Buenzli et al. (2014). Briefly, in the two-dimensional spectral images (.flt files) already processed by the WFC3 pipeline, we first corrected cosmic rays and bad pixels flagged by the pipeline. Then we embedded the subarray images into full-frame ones so that the xAe software can use full-frame standard instrument calibration images. The *axeprep* routine was used to subtract sky background before the *axecore* routine was applied to extract the spectra with a fixed 8-pixel extraction window. The reduced G141 grism spectra provide a wavelength coverage of 1.05−1.7 μm and a spectral resolution of ~130. The uncertainty level (including photon noise, readout and background noise) for our observations is about 0.5% and is estimated using the observed spectra and the WFC3 IR Spectroscopic Exposure Time Calculator (ver.: 22.1.2).

Archival *HST* WFC3 observations of SIMP0136 and the T2.5 dwarf 2M2139 from GO program 12314 (PI: D. Apai) were also downloaded for comparison purposes and reduced in the same fashion described above. During the first orbit in each visit, there was a common steep increase in brightness due to a systematic ramp effect. This is exemplified by the J-band light curve of a non-variable reference star in the field of view of 2M1821 (Figure 1). The J-band fluxes are calculated by integrating each spectrum convolved with the 2MASS J-band spectral response curve (Cohen et al. 2003). The first orbit shows a flux increase of nearly 1%, while the second and third orbits show stable flux levels within uncertainty (~0.3%). As discussed in Apai et al. (2013) and Buenzli et al. (2014), the ramp is found to be largely independent of wavelength and of object brightness. To remove the ramp...

| Target | Full Name | Spectral Type | J Mag. | Date | Exposure Time (s) | Nexp per Orbit | No. of Orbits | Reference |
|--------|-----------|---------------|-------|------|------------------|----------------|--------------|-----------|
| 2M1507 | 2MASS J15074769−1627386 | L5 | 12.83 | 2013 Apr 30 & May 12 | 67.30 | 30 | 4 x 2 | Reid et al. (2000) |
| 2M1821 | 2MASS J18212815+1414010 | L5 | 13.43 | 2013 Jun 9 & Jun 27 | 112.00 | 19 | 3 x 2 | Looper et al. (2008) |
| SIMP0136 | 2MASS J01365662+0933473 | T2.5 | 13.45 | 2013 Sep 28 & Oct 7 | 112.00 | 19 | 4 x 2 | Artigau et al. (2006) |

14 http://archive.stsci.edu
15 http://axe-info.stsci.edu
effect, we fit a fourth-order polynomial function to the light curves of the first orbits for the non-variable reference star (bottom panels of Figure 1), and applied the correction to the first-orbit observations of the variable brown dwarfs.

3. RESULTS

Our spectral time series of 2M1821 and 2M1507 reveal brightness variations between 1.1 μm and 1.7 μm. In Figure 2, we show their brightest and faintest spectra from respective HST Visit 1. Also shown for comparison are the spectra of the T2 dwarf SIMP0136 and re-reduced archival data of the T2.5 dwarf 2M2139. The spectra of both the L5 dwarfs and early T dwarfs exhibit prominent absorption features of alkali elements and water. The L5 dwarfs have stronger Na i and K i absorption lines, while the early T dwarfs show deeper water absorption bands near 1.15 and 1.4 μm regions along with methane absorption features.

We compare the ratios of the brightest and faintest spectra in an HST visit and discover that the variation of water-band absorption around 1.4 μm behaves differently for the two L5 dwarfs and the two L/T transition dwarfs. Apai et al. (2013) first discovered for SIMP0136 and 2M2139 that the water band around 1.4 μm varies at a reduced amplitude compared to the continuum and other atomic and molecular absorption features. The same reduced water-band variability is also found for Luhman 16B (Buenzli et al. 2015). However, for the two L5 dwarfs, we find that the ratio of the brightest over faintest spectra shows generally weak wavelength dependence between 1.1 and 1.7 μm, and the water band around 1.4 μm varies at similar amplitudes as the adjacent continuum.

To further illustrate the different behavior in the variability in and out of the 1.4 μm water band, we perform synthetic photometry to measure the average flux density changes between the brightest and faintest spectra in several WFC3 medium band-passes. We use the F139M filter to capture the average flux density in the water-absorption band and calculate the relative flux density change between the brightest and the faintest spectra, (ΔF/F)_in. Similarly, we measure the flux density averaged over the F127M and F153M filters and calculate the relative variation in the average flux density out of the water-absorption band between the brightest and faintest spectra, (ΔF/F)_out. Then we take the ratio between the relative flux density changes in and out of the water band, (ΔF/F)_in/(ΔF/F)_out. During the HST Visit 1 of 2M1821, e.g., the relative change in average flux density is 1.77% ± 0.11% out of the water band and 1.54% ± 0.21% in the water band, and the ratio of the two is 1.15 ± 0.17. As shown in Figure 3, the ratio (ΔF/F)_out/(ΔF/F)_in displays an increasing trend from the L5 dwarfs to the early T dwarfs. The L5 dwarfs show similar relative flux variation in and out of the water band, while the L/T dwarfs have greater relative flux change out of the water band. Such a trend with spectral types remains in observations of different epochs, even though the relative amplitudes of flux variation are different from visit to visit. The time between the two HST visits for targets in the Extrasolar Storms program is between one to three weeks, and for
SIMP0136, the observations from two HST cycles are separated by two years.

4. DISCUSSION

We investigate the near-IR spectral variability of the L5 dwarfs 2M1821 and 2M1507, and we find that the variations of the water-band absorption at 1.4 μm exhibits pronounced differences between the two L5 dwarfs and two L/T transition dwarfs. We propose that such different behaviors could be due to the difference in the height of the dust particles in the atmospheres.

We propose a toy model that can quantitatively explain the observed behavior of the L5 and the L/T dwarfs. We assume that the intensity modulations, ΔI_{int}, are introduced at an altitude, z, and that the dust layer causing the modulations is not emitting. The optical depth at z measured from the top of the atmosphere is greater in the water band than in the adjacent continuum (τ_{water} > τ_{cont}), but both are of the order of one. At a specific wavelength, λ, the modulations seen by the observer follow the Beer–Lambert law: ΔI_{obs} = ΔI_{int} · e^{−τ_{water}}.

Then the relative variation in and out of the water band will be:

$$\epsilon = \frac{ΔI_{obs,water}}{ΔI_{obs,cont}} = \frac{ΔI_{int} · e^{−τ_{water}}}{ΔI_{int} · e^{−τ_{cont}}}$$

or $\epsilon = e^{−(τ_{water}−τ_{cont})}$.

In this scenario, if the modulations are introduced high in the atmosphere, the optical depth difference between in and out of water band will be negligible, leading to $\epsilon \sim 1$, as observed in the L5 dwarfs. If, however, the modulations are introduced deeper, the optical depth difference will be more significant, leading to reduced variability amplitude in the water band, as observed in L/T dwarfs. This simplistic model provides a correct relative variability amplitude difference between the continuum and water bands for both the L5 and the L/T cases.

When Looper et al. (2008) discovered 2M1821, they found several lines of evidence indicating an unusually dusty atmosphere, including unusually red slopes throughout the Z, Y, and J band, red near-IR colors, weak H_2O absorption, and silicate absorption at 9–11 μm. They considered either high clouds or high metallicity as the explanation for the high dust opacity. Looper et al. (2008) calculated a small tangential velocity for 2M1821, which suggests a young age. Therefore, 2M1821 could have low surface gravity (Gagné et al. 2014), which may explain the large condensate opacity high in the atmosphere (Marley et al. 2012). Our observations are consistent with such a scenario.

In an attempt to reproduce the spectral variability observed for 2M1821, we also explored a variety of model atmosphere cases (Saumon & Marley 2008). By interpolating model spectra (Saumon & Marley 2008) of different cloud thicknesses, Radigan et al. (2012) were able to predict the relative spectral variations of the early T dwarf 2M1239, including the low water-band amplitude. For 2M1821, we tried standard cloud models of Ackerman & Marley (2001) with varying cloud thickness, but no combination/modification of existing cloud models could explain the wavelength dependence of the observed variability amplitude. To investigate if a spatially varying high-altitude haze might explain the observed variability, we compared two similar models. Both were for T_{eff} = 1800 K and log g = 5 with f_{haze} = 2. We compared the emergent flux computed for a standard model with a model that additionally has a high, thin haze layer consisting of forsterite grains added to the top of...
the atmosphere. The haze particle size was set to have a single radius of 0.1 μm and was confined to pressures less than 50 mbar. The column geometric optical thickness of this haze (the total r of the haze were it composed of perfectly scattering particles of the same size) is 0.7. These haze particles are of smaller size than the dust particles in the condensate clouds and have much longer settling timescales. Figure 4 shows the ratio of the emergent flux of the two models in comparison with the observed spectral ratio, and we were able to produce the similar variability in and out of the water band with the haze model, further supporting the idea of dust particles residing high in the atmosphere of 2M1821.

While the shape of the spectral flux ratio is reproduced, we did not find a model flux ratio that is everywhere above unity as observed. The reason for this is that, when comparing two similar models, one with the high haze layer and one without, the model without the haze layer tends to always be cooler towards the top of the atmosphere. Thus the ratio of the two model spectra typically shows a flux excess (ratio > 1) inside J and H bands, since the haze-free model allows more flux to escape within the window regions, which better sample the deeper and hotter parts of the atmosphere, but results in a flux deficit (ratio < 1) inside of the water band as the less cloudy model is cooler. A more comprehensive model-fitting scheme will help better match the observed spectral ratio.

5. CONCLUSION

We have studied the near-IR spectral variability of the L5 dwarfs 2M1821 and 2M1507 for the first time and found that the variability of the 1.4μm water band can probe the height of the cloud covers in the atmospheres. The weak wavelength dependence of spectral variations observed on the L5 dwarfs indicates that the dust grains giving rise to the flux variability likely reside at high altitudes, and this also fits general model predictions. We have found that the relative amplitude of flux variability out of the 1.4μm water band compared with that in the water band displays an increasing trend from L5 dwarfs toward early T dwarfs. Additional observations of objects with a range of spectral types are required to further confirm this trend.

With a limited sample of a few objects, we have demonstrated that rotational spectral mapping can be used to probe different atmospheric depths with different spectral features. When more advanced observing facilities such as the James Webb Space Telescope become available, this technique can be applied to other atomic and molecular species and diagnose the atmospheric structures of brown dwarfs and directly imaged exoplanets in both horizontal and vertical directions. The high-altitude haze layers seen in brown dwarf atmospheres echo those found in exoplanetary atmospheres, further emphasizing the similarities in the properties of these ultracool atmospheres. Our observations open the possibilities of detailed comparative studies to understand the haze properties and behavior in both brown dwarf and exoplanetary atmospheres.

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