Onset of main Phanerozoic marine radiation sparked by emerging Mid Ordovician icehouse

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The Great Ordovician Biodiversification Event (GOBE) was the most rapid and sustained increase in marine Phanerozoic biodiversity. What generated this biotic response across Palaeozoic seascapes is a matter of debate; several intrinsic and extrinsic drivers have been suggested. One is Ordovician climate, which in recent years has undergone a paradigm shift from a textbook example of an extended greenhouse to an interval with transient cooling intervals – at least during the Late Ordovician. Here, we show the first unambiguous evidence for a sudden Mid Ordovician icehouse, comparable in magnitude to the Quaternary glaciations. We further demonstrate the initiation of this icehouse to coincide with the onset of the GOBE. This finding is based on both abiotic and biotic proxies obtained from the most comprehensive geochemical and palaeobiological dataset yet collected through this interval. We argue that the icehouse conditions increased latitudinal and bathymetrical temperature and oxygen gradients initiating an Early Palaeozoic Great Ocean Conveyor Belt. This fuelled the GOBE, as upwelling zones created new ecospace for the primary producers. A subsequent rise in δ¹³C ratios known as the Middle Darriwilian Isotopic Carbon Excursion (MDICE) may reflect a global response to increased bioproductivity encouraged by the onset of the GOBE.

The classic studies of Sepkoski¹,² on Phanerozoic biodiversity change indicated a major increase in biodiversity during the Mid Ordovician – a conclusion supported by the development of more recent databases and sophisticated investigative tools although the inferred amplitude of the diversity spike varies³–⁵. All studies strongly indicate a prolonged Early Palaeozoic radiation that markedly changed the composition and structure of Phanerozoic seascapes (Fig. 1).

The main radiation of the GOBE is generally agreed to have occurred during the Mid Ordovician Darriwilian Stage⁶. Both dominant benthic and planktonic fossil groups show the same diversity pattern⁷,⁸. These often display a two-phased rise in diversity with an onset in the late Floian–early Dapingian and a second main spike during the mid Darriwilian. These marked peaks consolidated a more gradual rise in species richness within some groups, notably the phytoplankton, which was initiated during the late Cambrian⁹.

What caused the GOBE has been intensively debated⁶,¹¹–¹⁵. Suggested drivers include both intrinsic and extrinsic factors, including increased complexity in the food web, a cooling climate perhaps driven by continental arc collisions, and even an extra-terrestrial spur to life. A number of these studies suggested a link with global cooling although paleoclimatic data supporting cooler climate during the onset of the GOBE have been lacking; these data were either model driven with limited ground truthing or they have been questioned due to potentially flawed analytical techniques¹⁶. Here, we demonstrate that there is a remarkable coherence between abiotic and biotic...
proxies – almost bed-by-bed – which show clear evidence that a cooling indeed took place and that the GOBE seems to track this temperature decrease.

**Geological setting and location of study area**

The Ordovician Period was characterized by a rapid, northward drift of several palaeoplates that had rifted off Gondwana\(^7\). This intense plate tectonic activity resulted in an extreme first-order sea level rise that potentially culminated in a Phanerozoic sea level maximum in the Late Ordovician\(^18,19\) generating widespread epicontinental seas which have no modern analogues. One of these extensive seas was established across large parts of the palaeocontinent of Baltica (Fig. 2). During the Early–Mid Ordovician, Baltica moved rapidly from the cool temperate climate zone at about 50°S towards warmer temperate latitudes, reaching about 40°S in the mid Darriwilian\(^7\). Thus, the more shallow-water facies of this palaeobasin is characterized by cool-water carbonates\(^20\). Overall, the depositional facies changed westwards in Baltoscandia from nearshore, detritic wacke–grainstones intercalated...
with marls, through finer grained limestones without marl interbeds to offshore graptolitic shales in the deepest parts of the palaeobasin. Overall, siliciclastic input was extremely limited.

The upper Lower to Middle Ordovician (Floian–Darriwilian) succession was intensively sampled bed-by-bed during several field campaigns in the St. Petersburg area, Russia and northern Estonia. The main outcome has been a very large palaeobiological dataset that precisely pinpoints the initiation of the GOBE based on a study of more than 30,000 rhynchonelliformean brachiopods. Together with 15,000 trilobites, this dataset provides an exceptionally detailed mid-latitude palaeoecological window into the biotic changes on the palaeocontinent of Baltica at this time. In addition, we compiled a geochemical dataset with stable isotope and trace element data for each bed in the succession, based on more than 200 brachiopod shells.

The setting on the interior of a large stable craton provides an ideal laboratory for the study of sea level changes during the Ordovician, as local depth was little affected by tectonic disturbances. The entire Ordovician succession is condensed with a total thickness of less than 100 m in the study area. Net depositional rates were extremely low with average sedimentation rates of less than 2 mm per 1000 years and thus the effect on local water depth and, with that, on seawater temperature was minor. Furthermore, the craton was deeply peneplaned and characterized by an exceptionally low relief. In this type of depositional setting even small fluctuations in the eustatic sea level predisposed vast areas to either exposure or flooding. At the same time, the basin had a wide, ocean-facing gateway encouraging the circulation of water across the craton. This is confirmed by the fauna representing fully marine conditions supporting euhaline conditions. In addition, due to limited burial, the region has been little affected by subsequent diagenesis which is corroborated by pristine fossil preservation as displayed by elemental distribution and the well-preserved ultrastructure of the analyzed brachiopod shells (Supplementary Information and Supplementary Figs 1–3).

We focused on two sections, Putilovo Quarry and the Lynna River valley, which are located 80 km apart in western Russia (Fig. 2; Supp. Figs 1 and 2). The sections are correlated using macrofossils and fluctuations in the geochemical signals are also easily tracked in the respective sections. Global correlations of the sections are further confirmed by excursions in δ¹³C_carb and ⁸⁷Sr/⁸⁶Sr chemostratigraphy – the latter matching the declining Floian–Mid Darriwilian secular trend of the fitted LOWESS line (Fig. 3).

**Bed-by-bed correlation of sections**

The Lower-Middle Ordovician succession in Baltoscandia is divided into trilobite zones that can be correlated across the entire region. The trilobite zones have been assigned regional index numbers which are used across the eastern part of the Baltoscandian craton. The index numbers are used in the figures herein along with the regional stages, as they allow for high precision correlation of sections. We have further tied them to global stages using
conodont and graptolite biostratigraphy\textsuperscript{24}. See Supplementary Figs 1 and 2 for a schematic overview of the trilobite zones, index numbers, regional and global stages used in the current study. In Figs 3 and 4 they are further linked to chronostratigraphical ages using the most recent time scale\textsuperscript{25}. $^{87}\text{Sr}/^{86}\text{Sr}$ ratios, analyzed specifically on 51 brachiopod shells for the current study, corroborate these age estimates. They provide the first cluster of $^{87}\text{Sr}/^{86}\text{Sr}$ ratios from the Ordovician of Baltica and thus further refine the global data through an interval that previously had little data coverage\textsuperscript{23}. This is arguably why our data points plot a little lower than the inferred global trend represented by the LOWESS fitted line\textsuperscript{23} (Fig. 3).

In the East Baltic area, the horizontally stratified limestone succession is condensed. Thus, the approximately 16 m of section in the Putilovo Quarry, which form the basis for the current study, correspond to a 10–12 myr interval ranging from the Lower Ordovician Floian (Fl1, \textit{Prioniodus elegans} conodont Zone) to the Middle Ordovician Darriwilian (Dw2, \textit{Eoplacognathus pseudoplanus} conodont Zone) stages\textsuperscript{22}. In Putilovo Quarry roughly 150 beds were sampled, whereas in the less condensed Lynna River valley section, which encompasses the Dapingian (Dp3) – Darriwilian (Dw2) interval, approximately 100 beds were sampled. High precision correlation was achieved by using brachiopods and trilobites, which enabled a bed by bed biostratigraphical correlation\textsuperscript{21,26–31}. The biostratigraphical correlations were further refined by establishing biofacies which facilitated correlation within an ecostratigraphical framework\textsuperscript{26,31}. Thus correlation of these sections is exceptionally well constrained.

Although the two studied sections are part of the same facies belt, they represent an oblique depth transect into the eastern part of the basin: The Putilovo section is more proximal which is most evident in the lower part of the Kunda Regional Stage (Dw2), which thins westwards from more than 3 m in the Lynna River valley section.
to roughly 0.5 m in the Putilovo section. This interval marks the start of the main biodiversity pulse within the shelly benthos[21,26].

Establishing statistically supported biofacies: the basis for the sea level curve. In order to construct a high resolution sea level curve through the sections, statistically supported biofacies were established bed-by-bed based on more than 45,000 macrofossils[26,31]. The data were analyzed using detrended correspondence analyses and cluster analysis in the software package PAST[32]. The precise multivariate methods applied are described in the literature[26,33].

The multivariate data analyses support the establishment of a set of trilobite and brachiopod biofacies. This study operates with five depth-related biofacies of which the shallowest is based exclusively on brachiopods (Biofacies 1). This is dominated by the *Lycophoria* and *Gonambonites* associations[26]. Biofacies 2 is characterized by the trilobite genus *Asaphus* and, in the Kundan interval, also the brachiopods *Orthis* and *Orthambonites*. An intermediate biofacies dominated by the trilobite genera *Ptychopyge* (s.l.) and *Rhinoferus*, constitutes Biofacies 3. It is succeeded basin-wards by Biofacies 4, which is dominated by the trilobites *Megistaspis* and *Niobella*. Finally, the most offshore faunal association, which is here termed Biofacies 5, is dominated by the trilobites *Megalaspides* and *Paramegistaspis*.

Results

We present scaled 3rd and 4th order sea level curves through the succession (Fig. 4; Supp. Figs 1 and 2). These are based on detailed regional bio- and lithofacies changes through the studied time interval. The biofacies outline several major sea level oscillations, during an overall regressive trend through the early Mid Ordovician[26,33], including the onset of the GOBE in the early Darriwilian[21]. This regressive trend is recognized globally[18].

Palaeoenvironmental changes based on biofacies. The upper Floian part of the succession (*Megistaspis estonica* trilobite Zone, See Supp. Fig. S1) is exclusively characterized by the deep water Biofacies 5. This level corresponds to the already falling sea level, terminating the evac highstand[21,26] and which ended in a transient lowstand at the Floian–Dapingian boundary. The succeeding lower part of the Dapingian Stage is dominated by Biofacies 4 and thus represents slightly shallower water conditions. Especially in the lower part of the Volkov Regional Stage, in the *Asaphus broeggeri* Zone, the sea level fluctuated considerably, as witnessed by recurring shifts between Biofacies 4 and 2. In the upper part of the Volkov Stage, the sea level was more stable, as signalled by a long interval characterized by Biofacies 3.

The lowermost Darriwilian (uppermost Volkovian *Asaphus lepidarum* trilobite Zone) represents a prominent, long-lasting sea level drop characterized by Biofacies 2 (see Figs S1 and S2). In the succeeding Kundan Regional Stage, the lowermost biozone, the *Asaphus expansus* trilobite Zone, is much expanded in the Lyyna River valley section, compared to that seen in Putilovo Quarry (compare the thickness of the biozones in Figs S1 and S2).

The *A. expansus* Zone commenced with *Orthis* dominated faunas, representing a small initial drowning, but still within the Biofacies 2 depth range. In the lower half of the *A. expansus* Zone these facies continues to dominate, although *Lycophoria* and *Gonambonites* dominated faunas become increasingly abundant upwards. These represent Biofacies 1 and signal an overall shallowing. The upper part of the *A. expansus* Zone and the lower part of the overlying *Asaphus raniceps* trilobite Zone is dominated by genera associated with Biofacies 1, thus signalling a major shallowing. These trends can also be recognized in Scania–Bornholm, Västergötland and the Oslo Region[33,35] and this level is inferred to represent the second shallowest interval in the entire succession. It corresponds to the ‘Täljen’ marker bed interval found in other areas of Baltoscandia[36], but can also be tracked globally[26].

Hereafter followed a substantial drowning, represented by the first influx of *Orthambonites* dominated faunas, which here is regarded as representing Biofacies 2. This corresponds to the Basal Llanvirn Drowning Event which is a globally recognized sea level rise[34]. Most of the remaining part of the *A. raniceps* - *A. striatus* Zone is dominated by the *Orthambonites* association, i.e. Biofacies 2. However, the topmost beds record a significant shallowing which we regard as the shallowest interval in the studied succession, nearly completely dominated by fragmented, thick *Lycophoria* shells. It is possible that a full regression took place in the area at this level. This regression is also recorded globally[18]. Finally, the *Asaphus minor* trilobite Zone represents a more mixed biofacies, overall suggestive of deepening conditions.

Scaling the sea level curve. We have combined our sea level curve with existing Baltoscandian data[26,33,34] in order to estimate the scale of the 3rd and 4th order sea level changes through the Early–Mid Ordovician (Floian–Darriwilian). The new scaled curve is based not only on the very detailed palaeoecological studies outlined above, but also on sections through offshore facies in Scania–Bornholm, intermediate facies in the Oslo Region and near-shore facies from other localities in Russia–Estonia[26–28,33,34,37]. The fact that the sections constitute a depth transect on the palaeoshelf has guided scaling of the curve, as reconstructed sea level oscillations should satisfy observed changes both in deep and shallow settings. It appears that the cool water limestone facies started to develop well below the storm wave base; the lime mud deposited in the deeper part of the shelf is assumed to have been winnowed from the shallower shelf. The main clastic supply was from the west[20]. The major sea level fall that took place in the early Mid Ordovician led to a westwards (downslope) migration of the limestone facies into deeper parts of the shelf that through most of the Ordovician was characterized by deposition of graptolite shale facies. This limestone tongue is named the Komstad Limestone in southernmost Scandinavia and the Huk Formation in southern Norway. As the sea level continued to fall, this limestone spread farther and farther west[33,34,38]. Maximum western extent is seen in the upper part of the *A. raniceps* Zone, believed to signal peak lowstand. On the inner shelf most intervals with falling sea level and all lowstands were associated with cessation of deposition but it is uncertain whether the studied Russian and Estonian sections experienced a full regression during the most major lowstand peaks. Unambiguous sedimentological evidence of subaerial exposure is lacking, but the area was probably
close to or emergent during the late A. raniceps trilobite Zone. Overall, we estimate the sea level oscillations in the studied interval to be in the order of 150 m in Baltica. In comparison, global data suggest sea level fluctuations at least in the order of 80–90 m \(^4\), mirroring the trends in the \(^3\) order curve produced in this study (See Fig. 4).

**Floian–Darriwilian \(^{18}\)O fluctuations in the successions.** With respect to the geochemical data, the lower part of the succession commences with heavier brachio pod \(^{18}\)O values reflecting the intermediate palaeo-geographical latitude of Baltica during the Floian (Fig. 4). In the uppermost Floian the values become more than 0.5\(^\circ\)‰ lighter ranging down to −6.0\(^\circ\)‰. The light oxygen isotope values continue during the Dapingian with baseline values fluctuating around −5.5\(^\circ\)‰. This trend is suddenly interrupted by a significant increase of more than 1\(^\circ\)‰ at the Dapingian–Darriwilian transition. The Kundan Stage continues this dramatic increase in \(^{18}\)O values with one sample even as high as −4.2\(^\circ\)‰ in the uppermost A. raniceps Zone. This is succeeded by a fast drop down to −5.4\(^\circ\)‰ before it rapidly increases to −4.7\(^\circ\)‰. The remaining part of the A. raniceps Zone exhibits decreasing \(^{18}\)O values although the topmost beds show dramatic increases up to −4.3\(^\circ\)‰. The uppermost bionzone, the A. minor Zone, shows fluctuating values between −5.3\(^\circ\)‰ to −4.7\(^\circ\)‰. 

**Floian–Darriwilian \(^{13}\)C<sub>carb</sub> correlation and the nature of the MDICE spike.** The brachiopod \(^{13}\)C<sub>carb</sub> values increase from below −2.4\(^\circ\)‰ to around 0.0\(^\circ\)‰ in the Billingen interval. The pronounced lighter \(^{13}\)C values in the lowermost beds probably correlate with the globally occurring negative excursion in the *Tetragraptus approximatus* graptolite Zone\(^{22}\). Hereafter follows a relatively stable interval with baseline values around −0.3\(^\circ\)‰ up through the first two bionzones in the Volkov Stage. This is succeeded by a slight decrease in baseline values in the uppermost Volkhovian *A. lepidurus* Zone. This decrease is continued into the lowermost Kundan before a sharp increase to positive values sets in up in the uppermost A. expansus – lower A. raniceps zones. The more expanded Lorna section indicates a two-phased increase in the *A. expansus* interval (Fig. S2). Succeeding the peak in the lower *A. raniceps* Zone a rapid drop back to negative values (−0.7\(^\circ\)‰) follows. This is followed by a steady, continued increase up through the remaining part of the section with a peak around 0.7\(^\circ\)‰. This positive \(^{13}\)C trend towards the top of the succession clearly corresponds to the initial part of the globally occurring Middle Darriwilian Isotopic Carbon Excursion (MDICE)\(^{22,39}\). This has previously been indirectly related to glaciation\(^{40}\). Here we show, however, that the marked cooling started several million years prior to the main spike in the MDICE (not covered by the present study). We propose that this increase in the \(^{13}\)C<sub>carb</sub> values in the lower Darriwilian was generated by an increase in bioproductivity caused by the rapidly accelerating radiation. Thus, the MDICE is the global response to the ignition of the GOBE.

**Discussion**

Apart from the decoupling of the sea level curve and the oxygen isotope ratios in the lowermost beds studied (Billingen interval), the palaeoecologically derived \(^3\)rd and \(^4\)th order sea level curves and the \(^{36}\)Ar ages in the lowermost beds probably correlate with the globally occurring negative excursion in the *Tetragraptus approximatus* graptolite Zone\(^{22}\). This decrease is continued into the lowermost Kundan before a sharp increase to positive values sets in up in the uppermost A. expansus – lower A. raniceps zones. The more expanded Lorna section indicates a two-phased increase in the *A. expansus* interval (Fig. S2). Succeeding the peak in the lower *A. raniceps* Zone a rapid drop back to negative values (−0.7\(^\circ\)‰) follows. This is followed by a steady, continued increase up through the remaining part of the section with a peak around 0.7\(^\circ\)‰. This positive \(^{13}\)C trend towards the top of the succession clearly corresponds to the initial part of the globally occurring Middle Darriwilian Isotopic Carbon Excursion (MDICE)\(^{22,39}\). This has previously been indirectly related to glaciation\(^{40}\). Here we show, however, that the marked cooling started several million years prior to the main spike in the MDICE (not covered by the present study). We propose that this increase in the \(^{13}\)C<sub>carb</sub> values in the lower Darriwilian was generated by an increase in bioproductivity caused by the rapidly accelerating radiation. Thus, the MDICE is the global response to the ignition of the GOBE.
reflect a slow decline of CO₂ levels. This scenario is incompatible with the current study as these drivers probably did not contribute to the sudden shift in climate demonstrated by the present study.

The sudden initiation of icehouse conditions during the Mid Ordovician thus represents a paradigm shift in the way we view Ordovician climate. The onset of the greatest radiation event of the Phanerozoic may now be addressed against a background of a sudden shift in climate. This would have had a profound impact as cooler ocean currents increased thermohaline circulation in the oceans, creating upwelling zones where plankton evolved and radiated. Moreover, oxygen was more accessible for organisms, once ocean temperatures fell, as predicted by Henry’s Law.

The onset of the Mid Ordovician icehouse marked a dramatic climatic change, contrasting conditions in the late Cambrian world. This clearly had a fundamental impact on ocean circulation patterns (Fig. 5). Widespread black shales suggest that anoxia was prevalent on late Cambrian ocean floors and that water circulation was at best sluggish46,47. During the Ordovician, the increased latitudinal temperature gradients, as well as those for bathymetrical oxygen, encouraged by icehouse conditions initiated thermohaline circulation bringing nutrient rich, cold bottom waters to the surface. This effect was further intensified by a drastically rising sea level, which flooded the continents, creating epicontinental seas that were shallow and thus more easily heated. In addition, the continued northbound drift of several continents and microcontinents further intensified the thermohaline circulation through the Ordovician as more and more shelf areas impinged on lower latitudes transporting larger areas with upwelling zones into tropical regions.

We speculate, therefore, that the initiation of a Lower Palaeozoic Great Ocean Conveyor Belt encouraged the GOBE by creating new viable ecospace in the form of nutrient rich upwelling zones. This initiated a revolution not only for the primary producers, but for the entire trophic chain.

Methods

Our dataset consists of ~30,000 brachiopods and 15,000 trilobites collected bed-by-bed from several localities in the eastern Baltic–western Russian region along a 400 km transect. All specimens were prepared mechanically using air chisels and registered in a database. Specimens were identified at the lowest possible taxonomical level. Sections were correlated using bio- and lithostratigraphy, as well as ecosтратigraphy for the upper Volkhovian–Kundan interval26. For the current study, an estimate of the absolute sea level changes through the studied interval were conducted based on compilation of regional sedimentological and palaeontological data. The presented stable isotopic data originate from two of these sections, which are located 80 km apart in western Russia. Calcite for stable isotopic analysis was carefully extracted from the secondary layer of the brachiopod shells, as this part of the brachiopod shell is most likely to carry intact geochemical information (see Supplementary Information). One shell was selected from each bed in the two studied sections. Up to three samples were taken from each shell if possible. In one specimen seven samples were taken to study the expected seasonal variation. Thus, in all,
the dataset comprises 385 samples obtained from 234 shells. To avoid using material that had been subjected to secondary diagenetic overprint, all samples were photographed under SEM to visually screen for ultrastructure signs of diagenetic alteration. Further, trace element analyses were conducted to study Mn/Ca, Mg/Ca and Sr/Ca ratios in order to assess the preservational state of the shells analyzed. Here a limit of 250 μg/g (±0.455 mmol Mn per mol Ca) was applied for Mn to indicate good preservation44.

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Author Contributions
C.M.Ø.R., C.V.U., C.K., A.T.N. and D.A.T.H. designed the research. C.M.Ø.R., K.G.J., J.H., T.H., A.D., A.T.N. and D.A.T.H. conducted the field work and performed the taxonomical and palaeoecological analysis. The Sea level curves were constructed by A.T.N., C.M.Ø.R., K.G.J., A.D., M.E.E. and A.L.; C.M.Ø.R., C.V.U., C.K. and R.F. performed the geochemical analysis. A.D. constructed the type logs. A.D., A.L. and M.E.E. contributed with sedimentological input. D.A.T.H. and A.T.N. secured funding for the main fieldwork. C.M.Ø.R., A.T.N., C.V.U. and D.A.T.H. wrote the paper, with contributions from all authors.

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