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Dynamic Simulation of Integrated Cleaner Production Strategies towards High Quality Development in a Heavily Air-Polluted City in China

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Abstract: Air-polluted cities, mostly dominated by heavy industries, are facing the dilemma of economic growth and environmental deterioration. Tangshan is the largest iron and steel manufacturing city in China, and its air quality rankings belong to the worst 10 among 168 monitored cities of China in a decade. It is extremely important to adopt cleaner production strategies to facilitate high quality development. This study originally created an integrated plan (DOMCLP) to propose feasible pathways to underpin policy making by local authorities and managers from multiple perspectives. These include “Top-Down” measures—financial subsides and environmental efficiency improvement from a macro vision and industrial restructuring from a mezzo vision—and a “Bottom-Up” strategy of optimal technology selection from a micro vision. The DOMCLP simulated the environmental and economic impacts of different cleaner production strategy mixes from 2020 to 2030. Under the cleaner production scenario, which integrates all three measures, the targeted annual economic growth rate can reach 6.56% over the study period without deterioration of the air environment, and air pollutant emissions can be reduced by more than 74%. Meanwhile, the production of the iron and steel industry can achieve a 43% capacity growth, in which the intensity of SO$_2$ and NO$_X$ can be reduced by 97 and 87%, respectively. Furthermore, upgrading the optimal air pollutant control technology is proven to be more effective than other incentive measures and calls for systematic optimization and technology choice shift from end treatment to source and process treatment in the long run. This study proves that the integrated cleaner production strategies can realize a strong decoupling effect on the scale of $-5.89$ to $-0.58$ to accomplish balanced economic development and environmental improvement in heavily air-polluted cities, which is significant as other industrial cities begin to move toward a high quality development.

Keywords: decoupling analysis; environmental efficiency; industrial structure adjustment; Input–Output model; system dynamics; optimal technology selection

1. Introduction

With accelerating urbanization in China, heavy industry is developing rapidly to support infrastructure construction and economy growth. However, because of its high energy consumption and heavy pollution features [1], industrial production accounted for approximately 65.9% of China’s total energy consumption and emitted large quantities of SO$_2$, NO$_X$, and other pollutants, accounting for 86.5% (SO$_2$) and 44.5% (NO$_X$) of the national total emissions in 2019. Air pollution problems are occurring mainly in industrial cities of China.

Industrial cities face the dilemma of economic slowdown and environmental pressure in China. The iron and steel manufacturing industry is one of the major industries, consuming 27.9% of the industry energy demand and generating 29.2 and 27.4% of SO$_2$
and NO$_X$, respectively [2]. Therefore, the steel industry has a serious negative impact on the environment [3].

According to the World Steel Association, 996.3 million tons of crude steel were produced in China in 2019, accounting for 53.3% of the world’s total production. Tangshan City is the largest iron and steel manufacturing-intensive city; its production of crude steel in 2019 was 136.9 million tons, accounting for 13.7% of the national steel production. Moreover, the output of crude steel in Tangshan City is 37.6 million tons, 491 million tons more than that of Japan and the United States [4,5]. As a result of the large production, Tangshan City’s air quality rankings belong to the worst 10 among 168 monitored cities of China in a decade. The average annual concentrations of SO$_2$ and NO$_X$ reached 18 and 46 µg/m$^3$, respectively, in 2020 [6]. Moreover, the intensity of air pollutants emitted by Tangshan City’s steel industry is much higher than that of other developed steel producers [7]. Under significant environment pollution pressure, its economic growth has slowed down since 2010. In 2017, the economic growth rate was only 2.7%, which was much lower than the national average of 6.5%. In the same year, Tangshan City was designated as an industrial transformation demonstration zone in China, which is a national strategy involving the transformation and development of industrial cities [8].

To solve the problems of slow economic development and serious environmental pollution in China’s heavily air-polluted industrial cities, it is necessary to implement cleaner production to accomplish high quality transformation and development. Cleaner production can not only effectively improve the utilization rate of resources but also reduce energy consumption and pollutant discharge [9]. The United Nations Environment Programme defined cleaner production as a key method in achieving green development because it continuously applies comprehensive environmental strategies to processes, products, and services to improve efficiency and reduce risks to humans and the environment [10]. Technological innovation’s impacts on cleaner production should also be emphasized in the reduction of environmental pollution and energy consumption [11].

Regarding research perspectives on the high quality development of industrial cities, previous studies have analyzed separate macro, mezzo, and micro visions to address the severe environmental and economic problems existing in industrial cities. A macro vision mainly considers the environmental policies of national and local governments [12–14], energy consumption [15–17], economic development [18,19], tax policy [20,21], and trade policy [22,23]. A mezzo vision includes industrial optimization and adjustment and cleaner industrial production capacity [24,25], which mainly combines the macro and mezzo dimensions but does not consider technology from a micro perspective. Most previous studies on the micro vision focus on the impacts on the environment or economy of specific steelmaking processes [26] or energy saving and emission reduction technologies [27,28]. In addition, this research perspective mostly considers heavy industry rather than industrial cities. Xu et al. [29] proposed an optimal path for steel cities to achieve green economic transformations through industrial restructuring and environmental treatment efficiency improvements, but they did not analyze specific technical selection schemes. Regional environmental and economic improvement cannot be achieved by considering only one angle, it must involve a combination of macro, mezzo, and micro approaches.

Regarding the research time scale, current research is abundant in historical pattern discovery but limited in scientific predictions and policies regarding the future development of industrial cities. Several studies have expounded or compared the economic and environmental situations of industrial cities [30,31] and thus proposed suggestions for promoting their green development from the perspective of government governance and planning. In previous atmospheric-related studies, the decoupling theory, a useful tool to evaluate the trade-offs between the environment and economy, was mainly used to analyze historical data rather than predicted results [32–34], which limited its policy guidance.

In general, previous scholars have adopted various research methods in exploring pathways for industrial cities, such as regression analysis [35], the CGE model [36], Input-Output method [37,38], and life cycle assessment [39,40]. In their previous study, Xu
et al. [29] divided the current methods into two categories: summaries of historical patterns or current situations and future development forecasting and planning. Overall, this study found that current methods lack future orientation and innovation, and the authors provided a new method combining system dynamics with the Input–Output method and technical factors of the Energy–Economy–Environment (3E) system to provide feasible suggestions for the green transformation of steel cities. However, this study did not provide specific technical solutions and optimal technology selection schemes. As technology plays an essential role in the technological progress and sustainable development of industrial cities [41–43], herein we concretize the technical factors into clean production technical solutions in order to further examine the influence of technology. Several technological studies have considered technology as an influencing factor in their calculation models [44,45] or evaluated their performance [29,46,47] and cost-effectiveness [27,28,48] in air pollution treatment, thereby compiling, essentially, a summary of historical and current situations. From the perspective of simulation and prediction, the current studies do not provide adequate guidance for policy formulation.

Based on the limitations of the above studies, this study aims to examine the significance and propose a strategy of integrated cleaner production for environmental improvement and economic development in air-polluted cities. First, we define cleaner production by combining “Top-Down” and “Bottom-Up” schemes in three capacities: environmental efficiency improvement from a macro perspective, industrial structure adjustment from a mezzo perspective, and cleaner production technology introduction from a micro perspective. The dynamic optimization modeling and simulation approach is adopted to comprehensively and systematically evaluate the influence of incentive strategies on the regional atmospheric environment and economic development.

Using Tangshan City as an example, this study comprehensively identifies the optimal roadmap of cleaner production in industrial cities from multiple perspectives and scales. Second, this study combines the Input–Output model, the system dynamics method, and multi-objective optimization to develop a comprehensive dynamic optimization model of cleaner production (DOMCLP) in a typical industrial city. This DOMCLP can be easily extended or adapted to other regions with air pollution. Moreover, dynamic simulations are used to predict the economic and environmental development from 2020 to 2030 in a case study; then, forecast data are used to analyze the decoupling effect of economic and environmental factors from a long-term perspective. This study aims to provide a scientific method and effective strategies for the high quality development of heavily air-polluted cities.

2. Methodology

2.1. Modeling Framework

This study applied a dynamic optimization model that comprises economic and environmental systems. The model we constructed is a novel model with integration of the optimal technology selection model and the Environment–Economy–Energy model, and essentially a multi-objective linear programming problem based on the Input–Output model and system dynamics.

As shown in Figure 1, the DOMCLP model calculates the economic and atmospheric environmental development with three incentive cleaner production strategies (POL1, POL2, and POL3). A to F are six advanced cleaner production technologies, their relevant parameters appear in the Appendix. The environmental incentive policies stimulus control effects were systematically evaluated by analyzing the balance of value and material flows. The Input–Output model provides a basic economic model for the DOMCLP, while environmental pollutant emissions and energy demands are connected to industrial production and residential life.
Using the Input–Output method as the DOMCLP model basis, a 2016 Tangshan Input–Output table (Supplementary Sheet 1) was compiled based on the input coefficients of the Hebei Province in 2012 and the data of each industry in Tangshan City in 2016 [49], assuming the direct input coefficients remained unchanged. There are two kinds of variables in the model: endogenous (en), which is determined by the model operation, and exogenous (ex), which is derived from actual data. The simulation period was 15 years, from 2016 to 2030, and based on the initial data in 2016.

**Objective function:**

The objective of the DOMCLP model is to maximize the economy under a constraint of the atmospheric environment; it is defined as maximizing the GDP, which is determined by the production and value-added ratios of each industry as follows:

\[
\text{MAX} \sum_{t} \frac{1}{(1 + \rho)^{t-1}} GDP(t),
\]

\[
GDP(t) = \sum_{i=1}^{11} IVA_i \times Y_i(t)
\]

where \(t\) is the simulation period, with values from 1 (2016) to 15 (2030); \(GDP(t)\) is the gross regional production of Tangshan City in year \(t\) (en); \(\rho\) is the social discount rate (0.05; ex); \(Y_i(t)\) is the output of the industry \(i\) in Tangshan City in year \(t\) (en), \(IVA_i\) is the value-added rate of the industry \(i\) (ex), and \(i\) represents the 11 major industries in Tangshan City (\(i = 1\): agriculture, forestry, husbandry, fishery, and their service industries; \(i = 2\): metal smelting and rolling industry; \(i = 3\): mining; \(i = 4\): equipment manufacturing; \(i = 5\): chemical industry; \(i = 6\): non-metallic mineral products; \(i = 7\): production and supply of electricity, heat, gas, and water; \(i = 8\): other manufacturing; \(i = 9\): construction; \(i = 10\): transportation, warehousing, and postal services; and \(i = 11\): services).
2.3. Cleaner Production Incentive Approaches

**Industrial restructuring.** Industrial restructuring is the first incentive method to improve the trade-offs between economic development and environmental protection. According to the Input–Output theory and the law of economic operation, production and consumption in a social economy must meet an Input–Output balance of:

\[
\begin{bmatrix}
Y_1(t) \\
Y_2(t) \\
\vdots \\
Y_{10}(t) \\
Y_{11}(t)
\end{bmatrix}
\geq
\begin{bmatrix}
a_{11} & \cdots & a_{1,11} \\
\vdots & \ddots & \vdots \\
a_{11,1} & \cdots & a_{11,11}
\end{bmatrix}
\begin{bmatrix}
Y_1(t) \\
Y_2(t) \\
\vdots \\
Y_{10}(t) \\
Y_{11}(t)
\end{bmatrix}
+ \begin{bmatrix}
TC_1(t) \\
TC_2(t) \\
\vdots \\
TC_{10}(t) \\
TC_{11}(t)
\end{bmatrix}
+ \begin{bmatrix}
NEX_1(t) \\
NEX_2(t) \\
\vdots \\
NEX_{10}(t) \\
NEX_{11}(t)
\end{bmatrix}
+ \begin{bmatrix}
INV_1(t) \\
INV_2(t) \\
\vdots \\
INV_{10}(t) \\
INV_{11}(t)
\end{bmatrix}
\]

(3)

where \(Y_t\) is the output value of the 11 industries in year \(t\) (en), and \(Y_t = (Y_{11})\) denotes the emissions caused by industrial production in year \(t\) (en), \(NEX_t\) is the net exports in year \(t\) (en), \(INV_t\) is the total investment in year \(t\) (en).

**Environmental efficiency improvement.** Air pollutants are mainly caused by industrial production and residential living. Air pollutant emission is determined by each unit of production value and its distinct emission intensity of pollutants. Industrial pollutant emission initial data (Supplementary Sheet 2) mainly come from research statistics and the Tangshan Statistical Yearbook [49]. The improvement of environmental efficiency is the reduction of pollutants emitted by a unit of production in each industry. In this study, the environmental efficiency improvement rate was set to 10% [50]. As pollutant emissions are associated with the residential living population, they are calculated by each unit of permanent residents in 2016 and the natural population growth rate of Tangshan City over the past 10 years.

\[TP^p(t) = IAP^p(t) + HAP^p(t) - CLP^p(t),\]  

(4)

\[IAP^p(t) = \sum_{i=1}^{11} \beta \cdot ep_i^p \cdot Y_i(t)\]  

(5)

\[HAP^p(t) = ep_i^p \cdot Z(t)\]  

(6)

where \(TP^p(t)\) is the total air pollutant emissions in Tangshan City in year \(t\) (en). Note that when \(p = 1\), it refers to \(SO_2\) emissions, and when \(p = 2\), it refers to the \(NO_x\) emissions. \(IAP^p(t)\) denotes the emissions caused by industrial production in year \(t\) (en), \(HAP^p(t)\) represents the emissions caused by residential living in year \(t\) (en), \(CLP^p(t)\) denotes the air pollutants reduced by the whole-process control technology in year \(t\) (en), \(ep_i^p\) represents the emission intensity of air pollutants in the industry \(i\) (en) (Supplementary Sheet 3), and \(\beta\) represents the industry environmental efficiency, which is 1 before improvement and
Further, $Z(t)$ is the permanent resident population of Tangshan City in year $t$ (en), and $ep^p_m$ denotes the residential living atmospheric pollutant emission intensity (ex).

**Optimal technology selection.** Currently, the most used desulfurization and denitrification processes are the limestone–gypsum method and selective non-catalytic reduction (SNCR) denitrification technology [51,52], which account for more than 95% of the pollutant removal technologies employed in Tangshan City. However, owing to the problems of single processes and secondary pollution, advanced technologies should be adopted and selected to improve pollutant removal efficiency. Tangshan City mainly uses long-process steel production at present; in view of the current situation, this study selected six representative advanced desulfurization and denitrification technologies from the “Environmental Protection Technologies and Product Catalog Encouraged by Hebei Province” [53,54] and the “National Key Energy-saving Low-carbon Technology Promotion Catalog” [55]. Supplementary Sheet 4 lists the technology-related parameters. These six technologies can be divided into three categories to support whole cleaner process production: SC—Source Control, PC—Process Control, EC—End Control. According to the Accounting Standards for Business Enterprises, the annual depreciation rate of the equipment was set to 10%. In addition, the government investment limit for technology introduction was set to CNY 500 million [56].

$$CLP^P(t) = \sum_{t=1}^{6} \sum_{m=1}^{6} ep^p_m \times K_m(t), \quad (7)$$

$$K_m(t) = K_m(t-1) + (1-\theta_m)I_m(t) \quad (8)$$

where $CLP^P(t)$ denotes the air pollutants reduced by the whole-process control technology in year $t$ (en), $ep^p_m$ is the technical pollutant removal coefficient (ex), where in $m$ values of 1 to 6 represent the six selected technologies. $K_m(t)$ denotes the capital stock of $m$ technology in year $t$ (en) and is 0 when $t$ is from 1 to 5. $I_m(t)$ is the investment of $m$ technology in year $t$ (en), and $\theta_m$ is the depreciation rate of $m$ technology (ex), set to 10% in this study.

**Budgetary constraint.** The cost of technology cannot exceed the total investment upper limit for air pollution control in Tangshan City in a certain year.

$$\sum I_m(t) \leq I_G(t), \quad (9)$$

$$I_G(t) = \gamma \sum INV_i(t) \quad (10)$$

where $\gamma$ is the ratio of total investment used for technology introduction (ex), and $I_G(t)$ is the total investment upper limit for air pollution control in year $t$ (ex).

### 2.4. Assumptions and Scenarios

According to the first level of China’s Ambient Air Quality Standards, this study set the environmental target of reducing air pollutants to 50% by 2030 [57]. On this basis, we designed four scenarios to simulate the economic and environmental trends of Tangshan City under different paths (Table 1). The first scenario is the business as usual (BAU) scenario, which only optimizes the industrial structure from the mezzo level and conducts the dynamic simulation with the goal of maximizing the GDP. The other three scenarios were based on the BAU scenario and combine different cleaner production measures, including improving environmental efficiency by 10% (EFF), technology upgrades in the steel industry (TEC), and an integrated case (CLP). These simulations were performed to determine the optimal development path for Tangshan City by comparing the effects of each scenario.
### Table 1. Scenario setting.

| Scenario                          | Industrial Restructuring | Environmental Efficiency Increase | Advanced Technology |
|-----------------------------------|--------------------------|-----------------------------------|---------------------|
| Business as Usual Scenario (BAU)  | ✓                        | ×                                 | ×                   |
| Environmental Efficiency Improvement Scenario (EFF) | ✓                        | ✓                                 | ×                   |
| Technology Scenario (TEC)         | ✓                        | ×                                 | ✓                   |
| Cleaner Production Scenario (CLP) | ✓                        | ✓                                 | ✓                   |

#### 2.5. Sensitivity Analysis

The rationality of the model was verified by comparing the BAU scenario prediction results in the model with actual data.

As summarized in Table 2, the simulation results were highly consistent with the actual data. Therefore, the model was deemed credible and the prediction results had practical significance. Cleaner production strategies were incorporated into the model starting in 2020.

### Table 2. Error rate between simulated result and real value.

| Year | Simulated (Billion CNY) | Observed (Billion CNY) | Error (%) |
|------|-------------------------|------------------------|-----------|
| GDP  |                         |                        |           |
| 2017 | 665.2                   | 653.0                  | 1.87      |
| 2018 | 695.3                   | 695.5                  | 0.02      |
| 2019 | 673.2                   | 689.0                  | 2.29      |
| Output of steel industry         |                         |                        |           |
| 2017 | 519.22                  | 526.97                 | 1.22      |
| 2018 | 549.29                  | 577.38                 | 3.85      |
| The value-added of tertiary industry |                   |                        |           |
| 2017 | 236.38                  | 228.3                  | 3.54      |
| 2018 | 252.45                  | 248.7                  | 1.52      |
| 2019 | 269.62                  | 274.55                 | 1.8       |
| Output value proportion of primary industry, secondary industry, and tertiary industry | | | |
| 2017 | 0.09:0.55:0.36          | 0.08:0.53:0.39         | 1.86      |
| 2018 | 0.09:0.54:0.37          | 0.08:0.53:0.39         | 1.93      |
| 2019 | 0.09:0.51:0.40          | 0.08:0.52:0.40         | 1.5       |

Note: The output value data of the steel industry in 2019 were not used as the inspection basis because of the change of statistical caliber. CNY: Chinese Yuan.

### 3. Simulation Results Analysis

Based on the comprehensive evaluation model of air pollution prevention and control policies in industrial cities, this study predicted and compared the social and economic development and atmospheric environmental impact in Tangshan City from 2020 to 2030 according to initial data of the region in 2016, as well as the optimization path and implementation scheme designed with the introduction of three incentive measures for cleaner production.

#### 3.1. Economic Development Recovery

Figure 2 shows the economic development of Tangshan City under each scenario from 2020 to 2030 (Supplementary Sheet 5). The BAU scenario resulted in CNY 716 billion of the GDP in 2030, with an average annual growth rate of 1.1% over 10 years, which will make it difficult to achieve rapid economic growth. Under the EFF and TEC scenarios, the GDP will realize CNY 774.5 billion and CNY 1050.4 billion in 2030, with average annual growth rates of 1.5 and 4.3% from 2020 to 2030, respectively, verifying that both environment efficiency and technology improvements have a positive effect on economic development under the constraint of pollutants. The CLP scenario had the strongest increase in economic development. In this scenario, in 2030 the GDP will be CNY 1,263.4 billion, with an average annual growth rate of 6.56%, thus reaching the national average. Therefore, the combination
of efficiency improvements and technology introduction plays a more significant role in high quality development.

Figure 2. Gross regional production (GDP) of Tangshan City under four scenarios.

3.2. Environmental Emissions Control

The intensity of the pollutants decreased for all four scenarios (Supplementary Sheet 6). As shown in Figure 3, in 2030 the NO\textsubscript{X} and SO\textsubscript{2} intensities of the BAU scenario, which optimized only the industrial structure, fell by 68 and 56%, respectively, compared with 2016. After improving industry efficiency based on industrial optimization, the effect of emission reduction also improved. Meanwhile, under the EFF scenario, the emission intensities of NO\textsubscript{X} and SO\textsubscript{2} decreased by 70 and 59%, respectively, compared with 2016. Furthermore, when industrial structuring was combined with technology, the results showed further improvement. In particular, the TEC scenario was more effective in controlling SO\textsubscript{2}. Under this scenario, the intensities of NO\textsubscript{X} and SO\textsubscript{2} were reduced by 70% compared to 2016.

Finally, the cleaner production scenario, which considered all three measures, obtained the best results, wherein the NO\textsubscript{X} and SO\textsubscript{2} emission intensities decreased by 87 and 97%, respectively. The NO\textsubscript{X} and SO\textsubscript{2} emission reduction rates can reach 74 and 94%, respectively. Compared with previous studies, the emissions of SO\textsubscript{2} and NO\textsubscript{X} of the Beijing–Tianjin–Hebei (BTH) region were reduced by 40 and 44% in 2020, compared with those of 2012 [58–60]; SO\textsubscript{2} and NO\textsubscript{X} in 2030 can be reduced by 85 and 74%, respectively, compared to 2013 values after optimizing the industrial structure and improving the pollutant treatment efficiency in the BTH region [59]; and SO\textsubscript{2} and NO\textsubscript{X} reduction can reach 60–79% as compared with the benchmark scenario by 2030 [60]. The forecast data are consistent with previous results and will improve further. In addition, the intensity of NO\textsubscript{X} will decrease less than SO\textsubscript{2}, indicating that NO\textsubscript{X} is more difficult to control and manage. Compared with other high steel production countries, Tangshan City will reach an emission intensity similar to the United States and Japan (demonstrated in Figure 3) in 2026 and 2028 [7], respectively, under the CLP scenario.
3.3. Improving Heavy Industry Capacity without Environmental Deterioration

As shown in Figure 4, the CLP scenario has a promoting effect on the development of most industries. In particular, the service industry increased the most, with its production in 2030 reaching 183.44% of its 2016 value. Thus, promoting the development of the service industry is essential for the balanced development of the environment and economy. Among the 11 industries, only mining industry production fell (13.13%), indicating that the mining industry, as a typical resource-based industry, has insufficient potential in the future.
As a pillar industry, the steel industry has a crucial impact on the economy of Tangshan City. Under the BAU scenario, the steel industry in Tangshan City experienced a severe decline. In 2030, it was approximately 25% of the base year value, and its production capacity was lowered by 75%, which does not meet the proposed development requirements. However, the steel industry developed rapidly under the CLP scenario, and was 43% higher than the initial production and four times that of the BAU scenario. Further, since 2016, production decreased by 73 and 52%, respectively, in the EFF and TEC scenarios, revealing that technology introduction has an inhibitory effect on the production capacity of the steel industry, and more significant effects can be achieved by improving the industry’s environmental efficiency.

In addition, as the energy sources of Tangshan City, the electric heating, gas, and water supply industries assume critical tasks, such as power and water supply. However, this industry also produces severe pollution. As shown in Figure 5, there was no distinct change in this industry’s production in the BAU scenario, but it reached 2.1 times the initial value in the CLP scenario, thus ensuring the normal progress of industrial activities and residential living in Tangshan City.

Under the baseline scenario with industrial restructuring only, the emission intensities of NOx and SO2 decreased by 68 and 56%, respectively, during the study period; however, the GDP was CNY 716 billion, and the average annual growth rate was only 1.1% in 2030. In addition, because of the priority given by the simulation to developing industries with low atmospheric pollutant emissions, such as the service and equipment manufacturing industries, steel industry production decreased by 75%, which is unreasonable for the steel-intensive city. Therefore, it was necessary to consider additional measures. When environmental efficiency improvements were added, the GDP rose by CNY 58 billion, and the emission intensities of NOx and SO2 were 2 and 3% lower, respectively. When cleaner production technologies were added, the GDP rose by CNY 334 billion, the emission intensities of NOx and SO2 were 2 and 14% lower, respectively, and the production of the steel industry increased by CNY 112.77 billion, compared with data of the BAU scenario in 2030. Thus, under the current budget conditions, continuous technological updates can effectively reduce pollution emissions. If the budget can be expanded, the cleaner production capacity may be further enlarged.

3.4. Optimal Technologies Selection

Figure 6 shows the investment in various technologies under the CLP scenario. A to F represent the six technologies, respectively, and their specific parameters are shown in Supplementary Sheet 4. In the initial period, investments are mainly focused on end treatment technology, then on source and process control technologies after 2027. Among
the six demonstrative technologies adopted in this study, most funds are invested in GFX integrated flue gas purification systems and circuit waste heat recovery, indicating that these technologies are more efficient than others in the research area. Furthermore, in the CLP scenario simulation the reduction effect of SO$_2$ was more obvious than that of NO$_X$. One reason is that the GFX integrated flue gas purification system has a significant SO$_2$ removal effect. Among the two integrated desulfurization and denitrification technologies, the simulation always selected the integrated activated coke desulfurization and denitrification technology, indicating that this technology has better removal efficiency.

The technology selection of the most effective pathway for pollution control in the steel city was determined to be the CLP scenario. We found that the end treatment technologies were more efficient at the former stage, while the source and process were chosen at the latter stage. This study demonstrated only the mechanism of technology selection in industrial cities; considering that there may be hundreds of technologies, they can be further extended to the DOMCLP model in practice.

3.5. Intensified Decoupling Effect

Through a decoupling analysis of the simulated prediction data, this research further investigated the trade-off relationship patterns between the economy and environment after introducing the cleaner production approach. The Organization for Economic Cooperation and Development defined decoupling as simultaneous economic growth and industrial pollution emissions change and divided the ratio of pollutant emissions to the GDP in the final period by the base period to determine the decoupling index [61]. With further development of the decoupling theory, some scholars have found that the decoupling index will vary with the choice of base period, making it difficult to accurately judge the decoupling state of economic growth and environment. To remove the error in the base period selection, Tapio [62,63] improved a decoupling elastic coefficient. In this study, the function is:

$$\varepsilon = \frac{[TPP(t+1) - TPP(t)]/TPP(t)}{(GDP(t+1) - GDP(t))/GDP(t)} = \frac{\Delta TPP}{\Delta GDP/GDP(t)}$$

where $\varepsilon$ is the coefficient of decoupling elasticity, and $\Delta TPP$ and $\Delta GDP$ are air pollution emissions and GDP changes in two adjacent periods, respectively. When the elasticity is less than 0, the economy and environment are in a state of strong decoupling, achieving both economic growth and environmental improvement. The greater the absolute value, the more coordination there is between the environment and the economy. When the elasticity...
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After introducing the cleaner production approach. The Organization for Economic Co-
operation and Development defined decoupling as simultaneous economic growth and
industrial pollution emissions change and divided the ratio of pollutant emissions to the
gross domestic product in the final period by the base period to determine the decoupling index [61]. With
the introduction of cleaner production, the decoupling effect will be greatly enhanced, thereby ameliorating
the weak decoupling relationship in the base period. In particular, when technology intro-
duction was combined with environmental efficiency improvement, a stronger decoupling
relationship was achieved. Moreover, the decoupling of economic development and SO2 is
stronger than that of NOX, revealing that SO2 is easier to control and thereby to enhance
decoupling with the economy.

Figure 7. Decoupling predictions for Tangshan City from 2021 to 2030.

At the beginning of the period, both air pollutants were weakly decoupled with eco-
nomic development, which means that economic development and pollutant emission
reductions cannot be achieved together. However, a strong decoupling of economic develop-
ment and the environment can be achieved after introducing advanced technology and
implementing cleaner production. Compared with the TEC scenario, the CLP scenario had
a greater impact on decoupling, and this effect will increase after 2027. At the end of the
prediction period, this decoupling effect will be greatly enhanced, thereby ameliorating
the weak decoupling relationship in the base period. In particular, when technology intro-
duction was combined with environmental efficiency improvement, a stronger decoupling
relationship was achieved. Moreover, the decoupling of economic development and SO2 is
stronger than that of NOX, revealing that SO2 is easier to control and thereby to enhance
decoupling with the economy.

4. Discussion

Based on the demand for environmental improvement and the urgent need for
transformation in industrial cities with heavy air pollution, this study explored the optimal
cleaner production pathway using integrated stimulus policies from comprehensive
perspectives by overcoming problems in the existing research, which were mainly ana-
lyzed from a single perspective [12,24,26]. Herein, macro-industrial restructuring, mezzo-
industry environmental efficiency improvement, and micro-technology optimal selection,
the “Top-Down” and “Bottom-Up” schemes were combined to examine the best roadmap
for promoting transformation and development in a typical industrial city to determine if
cleaner production can solve the problems of economic downward pressure and serious
environmental air pollution. This study improved the methodology with integration of
system dynamics and Input–Output modeling focused on optimal selection of pollution
treatment technologies [29] to further concretize technical factors into cleaner production
schemes. Moreover, a decoupling analysis of the economy and environment was performed using dynamic simulation prediction results to overcome the limitation where the decoupling coefficient is primarily used to analyze historical and current data [32,33]. This cleaner production decision optimization model (DOMCLP) can become a necessary measure to promote the high quality development of regions dominated by industry and cities with pollution problems.

Through model simulation of a typical air-polluted city, Tangshan City, from 2016 to 2030, we found the following. Firstly, the proposed comprehensive cleaner production incentive approaches were proved to be efficient to promote the balanced development of the economy and environment. Under the cleaner production scenario, which combines all three measures, the GDP of Tangshan City can reach an average annual growth rate of 6.56%, which meets the requirement of China’s growth plan, reversing the current slow economic development trend. Furthermore, steel and electric heating industry production can achieve capacity growth over the study period without deteriorating air environment, in which the intensity of SO$_2$ and NO$_X$ can be reduced by 97 and 87%, and the total reduction rates can reach 94 and 74%, respectively. Under the optimal scenario, which effectively combined three intensive strategies, air pollutant emission control has a further better effect than those found in previous studies in the Beijing–Tianjin–Hebei region (BTH) and China [29,58–60]. In addition, the optimal air pollutant control technology upgrading was proved to be more effective than the other two incentive measures in achieving balanced economic and environmental development in industrial cities. In the optimal scenario, an input of 3707 million to technology can achieve a marginal CNY 213 billion GDP growth. Furthermore, the treatment of pollutants should not only consider the end result but also include the production process. Only by combining the whole process of cleaner production technology can the maximum effect be achieved [65]. Upgrading technology can also realize a strong decoupling effect between economic development and environmental improvement.

5. Conclusions

This study explored the optimal cleaner production pathway using a comprehensive stimulus policy evaluation and dynamic simulation approach, from “Bottom-Up” to “Top-Down”, by overcoming problems in the existing research that were analyzed mainly from a single perspective. The DOMCLP simulated the environmental and economic impacts of different cleaner production strategy mixes from 2020 to 2030. Simulation results proved that: Heavily air-polluted cities can achieve economic growth without deteriorating air environment; the decoupling degree intensifies steadily, which can support a high quality development target. Meanwhile, even though the industry structure is optimized gradually, heavy industries can maintain a steady development and occupy leading positions. Furthermore, the optimal air pollutant control technology upgrading is proved to be more effective than other incentive measures, and technology choice shifts from end treatment to source and process treatment in the long run.

Therefore, it can be inferred that policy measures, including increasing the number of fiscal subsidies, and an optimal technologies selection plan in both location and time scales can effectively improve the scenario results. Further, the decoupling effect of SO$_2$ is more obvious than that of NO$_X$, indicating that it is easier to achieve the decoupling of SO$_2$ emission reduction and economic development and suggesting it is easier to remove. Further technology and financial investment in NO$_X$ reduction can benefit trade-off improvement. For local authorities, heavy industries should not be simply cut down to improve air quality when they format an industry development plan.

Asian countries and emerging economies are the main producers and consumers of industry products, and they are all confronted with the same difficulties of environment protection and economic development; this study can benefit heavy pollution industries’ cleaner production for these regions in practice. This study presented insights that will be useful for both regional planners and future studies. However, challenges still exist; this
study only considered atmospheric environment in industrial cities, while water pollution was not considered. Also, Tangshan City was selected as a typical research area to conduct an empirical study; the general patterns of transformation and development in China’s industrial cities can be further analyzed. Further research will be conducted to address these limitations in future.

All abbreviations used in the text: DOMCLP-dynamic optimization model of cleaner production, 3E: Energy–Economy–Environment, HAP: Household Air Pollution, IAP: Industrial Air Pollution, ED: Energy Demand, ES: Energy Supply, TP: Total Pollution, GDP: Gross Domestic Product, PLO1: Cleaner Production Strategy 1, POL2: Cleaner Production Strategy 2, PLO3: Cleaner Production Strategy 3, en: endogenous, ex: exogenous, SNCR: Selective Non-Catalytic Reduction denitrification technology, CNY: China Yuan, BAU: Business as Usual Scenario, EFF: Environmental Efficiency Improvement Scenario, TEC: Technology Scenario, CLP: Cleaner Production Scenario, USA 2009 (NOx): NOx emissions intensity of the United States in 2009, USA 2009 (SO2): SO2 emissions intensity of the United States in 2009, JPN 2009 (NOx): NOx emissions intensity of Japan in 2009, JPN 2009 (SO2): SO2 emissions intensity of Japan in 2009, BTH: Beijing–Tianjin–Hebei region.

Supplementary Materials: The following are available online at https://www.mdpi.com/article/10.3390/su13168951/s1, Supplementary Sheet 1: Input-Output table, Supplementary Sheet 2: Emissions, Supplementary Sheet 3: Emission coefficient of each industry sector and residents, Supplementary Sheet 4: Technical Parameter, Supplementary Sheet 5: Simulation results of GDP in Tangshan city, Supplementary Sheet 6: Simulation results of emissions in Tangshan city.

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