Does facet joint morphology affect the development of spondylolysis?

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Abstract. [Purpose] This study aimed to clarify the contribution of the morphology of the facet joint in spondylolysis. [Participants and Methods] A total of 68 junior athletes with lower back pain were evaluated. They were classified into groups B (bilateral spondylolysis), U (unilateral spondylolysis), and C (without spondylolysis). The same observer measured the sagittal orientation of the L4/L5 and L5/S1 facet joint angles from the axial sections, using computed tomography. Facet joint angles were statistically compared for differences among groups B, UL (the spondylolysis side in group U), UN (the normal side in group U), and C. [Results] The L4/L5 facet joint angles were significantly more coronally oriented in groups B and UL than in group C, while the L5/S1 facet joint angles showed no significant differences among the four groups. [Conclusion] The results of this study suggest that a more coronal orientation of the L4/L5 facet joint may increase the point loading through the L5 pars interarticularis in extension and rotation. Therefore, if the L4/L5 facet joint is more coronally orientated, the patient may be at the risk of spondylolysis. This observation may aid in predicting patients with increased possibility of developing spondylolysis.

Key words: Spondylolysis, Facet joint, Junior athlete

INTRODUCTION

Many junior athletes have experienced low back pain1). Lumbar spondylolysis is one of the most common sports injuries in adolescents. Lumbar spondylolysis is a defect in the pars interarticularis separating the vertebral arch into the ventral and dorsal parts, either unilaterally or bilaterally2, 3). Strong heredity, repeated trauma and stress, and lumbar hyperlordosis are possible causative factors4–6). Masharawi reported that individuals with more frontally oriented facets in the lower lumbar vertebrae incorporated with facet tropism are at a greater risk of developing isthmic spondylolysis at L57). However, only few reports have described the morphology of the facet joint associated with unilateral and bilateral spondylolysis in adolescents. This study was performed to clarify whether the morphology of the facet joint in adolescents contributes to the development of unilateral and bilateral spondylolysis.

PARTICIPANTS AND METHODS

The participants were 68 junior athletes who visited the Funabashi Orthopedic Hospital because of lower back pain between April 2012 and June 2014. They underwent computed tomography (CT) and magnetic resonance imaging (MRI) scans. Patients with multivevertebral spondylolisis and previous lumbar surgery were excluded. They were classified into three groups according to CT and MRI findings as follows: group B, those with L5 bilateral spondylolysis; group U, those with L5 unilateral spondylolysis; and group C, those without spondylolysis and whose low back pain eventually disappeared. Group B included 22 athletes (18 males and 4 females); group U, 27 athletes (21 males and 6 females); and group C, 19 athletes (13
males and 6 females). The mean ages in groups B, U, and C were 14.1 ± 2.3, 14.7 ± 2.1, and 15.0 ± 1.5 years, respectively, showing no significant differences between the groups (Table 1).

By using multislice CT, the same observer measured the sagittal orientation of the L4/L5 and L5/S1 facet joint angles from the axial sections (Figs. 1 and 2). The central slice between the vertebra within the 15 lines of 1.0-mm thickness parallel to the inferior endplate of the vertebra was selected (Fig. 1). For measurements of the facet joint angle (Fig. 2), a sagittal line was drawn perpendicular to the vertebral body from the spinous process (line 1). Subsequently, a line was drawn through the anterior and posterior ends of the inferior articular process (line 2). Finally, the facet joint angle (angle 3) formed by the two lines (lines 1 and 2) was measured. Group U was reclassified into two groups, one with a spondylolysis side (UL group) and the other with a normal side (UN group; Fig. 3). In groups B and C, no significant differences were found between the left and right measurements; therefore, all the measurements are presented as a mean.

Table 1. Participants' data

|               | Group B | Group U | Group C |
|---------------|---------|---------|---------|
| n             | 22      | 27      | 19      |
| Age (years)   | 14.1 ± 2.3 | 14.7 ± 2.1 | 15.0 ± 1.5 |
| Male          | 18      | 21      | 13      |
| Female        | 4       | 6       | 6       |

No significant differences were found among the three groups.

Fig. 1. Slice selection.
The central slice between the vertebra within the 15 lines of 1.0-mm thickness parallel to the inferior endplate of the vertebra is selected.

Fig. 2. Measurement of the facet joint angle.
1) The sagittal line is drawn perpendicular to the vertebral body from the spinous process. 2) A line is drawn through the anterior and posterior ends of the inferior articular process. 3) The facet joint angle formed by lines 1) and 2) is measured.

Fig. 3. Reclassification.
In groups B and C, no significant differences were found between the left and right measurements; therefore, all the measurements are presented as mean values.
The facet joint angles at both the L4/5 and L5/S1 levels were statistically compared using a Tukey test for differences among groups B, UL, UN, and C. A p value of <0.05 was considered statistically significant. For statistical analysis, R (version 3.6.2) was used. All the patients were informed about the use of the data and underwent a CT examination with their consent. In addition, because this was a retrospective study and carefully conducted with the identity of participants anonymized, it did not require the approval of the ethics committee.

RESULTS

The mean L4/L5 facet joint angles in groups B, UL, UN, and C were 53.1° ± 7.5°, 52.7° ± 7.9°, 51.4° ± 8.5°, and 46.2° ± 7.8°, respectively (Table 2). The L4/L5 facet joint angles were significantly more coronally orientated in groups B and UL than in group C (p<0.05). However, no significant differences in L4/L5 facet joint angle were found between groups B and UL, B and UN, UL and UN, and UN and C. The mean L5/S1 facet joint angles in groups B, UL, UN, and C were 50.6° ± 8.0°, 54.5° ± 10.1°, 53.3° ± 9.4°, and 51.5° ± 9.9°, respectively (Table 2). No significant differences in L5/S1 facet joint angle were found among groups B, UL, UN, and C.

DISCUSSION

Several reports have described the morphology of the facet joint in spondylolysis. Previous studies that used radiographic images reported that in patients with spondylolysis, the distance of the facet joint in the lower lumbar was reduced. This shows an increased load on the pars interarticularis. Eroğlu et al. measured angles of the facet joints in patients with bilateral spondylolysis using CT. The orientations of the facet joints in the bilateral spondylolysis group were significantly different from those in the control group. The authors reported that asymmetry of the facet joints was a causative factor of spondylolysis. Rankine et al. performed measurements using the software installed in the scanner and reported that the facet joint angles of 38 patients aged 10 to 37 years were significantly more coronally orientated on the spondylolysis side than in the intact pars at both levels of the L4/5 and L5/S1. Furthermore, they suggested that a facet joint of a more coronal orientation is likely to increase the stress on the vertebral arch during lumbar hyperextension. Meanwhile, this study targeted adolescent junior athletes with unilateral and bilateral spondylolysis and performed measurements without software. All the measurements were repeated three times, and the facet joint angles were averaged by the same observer. A strength of the measurement method used in this study is that it enables measurement of the angle of the facet joint surface accurately by manually marking the irregularities of the facet joint, which is difficult with software. The L4/L5 facet joint angle was significantly more coronally orientated in groups B and UL than in group C. Therefore, a more coronal orientation of the L4/L5 facet joint is likely to increase the stress on the vertebral arch of L5 during trunk extension movements as compared with a normal orientation (Fig. 4). Therefore, if an adolescent junior athlete has a coronally orientated L4/L5 facet joint, unilateral spondylolysis at L5 may occur on the more coronally orientated side, and the other coronally orientated side may be at risk of L5 spondylolysis. This might aid in identifying which adolescent junior athletes are more likely to develop spondylolysis, which would lead to the prevention of the development of spondylolysis by limiting athletic activity in patients with more coronally orientated facet joints. The present author believes that early examinations are important for the prevention of spondylolysis in adolescence.

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The author has no conflict of interest to disclose.

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Table 2. Facet joint angles

|                | B               | UL              | UN              | C               |
|----------------|-----------------|-----------------|-----------------|-----------------|
| L4/L5 facet joint angle | 53.1° ± 7.5°  |
| L5/S1 facet joint angle   | 50.6° ± 8.0°  | 54.5° ± 10.1°  | 53.3° ± 9.4°  | 51.5° ± 9.9°   |

*aThe L4/L5 facet joint angles were significantly more coronally orientated in groups B and UL than in group C (p<0.05). No significant differences in L5/S1 facet joint angle were found among groups B, UL, UN, and C.
Fig. 4. Morphological mechanism of load on the L5 pars interarticularis. A more coronal orientation of the L4/L5 facet joint is likely to increase the point loading through the L5 pars interarticularis during trunk extension movements as compared with a normal orientation.

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