Recent advances in improving oral drug bioavailability by cocrystals

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Abstract

Introduction: Oral drug delivery is the most favored route of drug administration. However, poor oral bioavailability is one of the leading reasons for insufficient clinical efficacy. Improving oral absorption of drugs with low water solubility and/or low intestinal membrane permeability is an active field of research. Cocrystallization of drugs with appropriate coformers is a promising approach for enhancing oral bioavailability.

Methods: In the present review, we have focused on recent advances that have been made in improving oral absorption through cocrystallization. The covered areas include supersaturation and its importance on oral absorption of cocrystals, permeability of cocrystals through membranes, drug-coformer pharmacokinetic (PK) interactions, conducting in vivo-in vitro correlations for cocrystals. Additionally, a discussion has been made on the integration of nanocrystal technology with supramolecular design. Marketed cocrystal products and PK studies in human subjects are also reported.

Results: Considering supersaturation and consequent precipitation properties is necessary when evaluating dissolution and bioavailability of cocrystals. Appropriate excipients should be included to control precipitation kinetics and to capture solubility advantage of cocrystals. Beside to solubility, cocrystals may modify membrane permeability of drugs. Therefore, cocrystals can find applications in improving oral bioavailability of poorly permeable drugs. It has been shown that cocrystals may interrupt cellular integrity of cellular monolayers which can raise toxicity concerns. Some of coformers may interact with intestinal absorption of drugs through changing intestinal blood flow, metabolism and inhibiting efflux pumps. Therefore, caution should be taken into account when conducting bioavailability studies. Nanosized cocrystals have shown a high potential towards improving absorption of poorly soluble drugs.

Conclusions: Cocrystals have found their way from the proof-of-principle stage to the clinic. Up to now, at least two cocrystal products have gained approval from regulatory bodies. However, there are remaining challenges on safety, predicting in vivo behavior and revealing real potential of cocrystals in the human.

Introduction

The oral route is often the preferred mode of administration for chronic drug therapy since it is simple, cheap, non-invasive, and patient-friendly.1 A successful drug delivery through the gastrointestinal tract (GI) requires that the drug exhibits sufficient bioavailability to be clinically effective. Poorly bioavailable drugs usually result in insufficient therapeutic efficacy with high inter-subject variability in plasma concentrations.2,3 Bioavailability is defined as “the rate and extent to which the active ingredient or active moiety is absorbed from a drug product and becomes available at the site of action”.4 The oral bioavailability of a drug is influenced by the physiological condition of GI and physicochemical properties of the drug. Suboptimal solubility and dissolution, chemical instability in GI fluids, inability to permeate the intestinal barrier, and extensive
first-pass intestinal or hepatic metabolism are the main reasons for the poor oral bioavailability of drugs.\textsuperscript{7} Biopharmaceutical classification system (BCS) is a unique tool which relates physicochemical properties of drugs to oral bioavailability.\textsuperscript{9} BCS classifies drugs into 4 classes based on their solubility and permeability properties. The classes are class I (high solubility and permeability); class II (low solubility and high permeability); class III (high solubility and low permeability); and class IV (low solubility and permeability). About 40\% of orally administered drug products currently on the market are estimated to exhibit low water solubility from which 30\% are placed in class II and 10\% in class IV. Furthermore, drug candidates in discovery pipelines with the poor aqueous solubility are increasing and class II (70\%) and class IV (20\%) comprise the major fraction of the candidates.\textsuperscript{7} This increase in the number of water-insoluble compounds has been attributed to the recent advances in high-throughput screening tools that produce numerous compounds with high affinity to the biological targets but exhibiting poor biopharmaceutical properties.\textsuperscript{8,9} Various strategies have been developed to improve oral absorption of poorly water-soluble drugs such as cocrystals,\textsuperscript{10} amorphous solid dispersions,\textsuperscript{11-13} liquisolid technology,\textsuperscript{14} nanocrystal,\textsuperscript{15} salts,\textsuperscript{16} cyclodextrin complexation,\textsuperscript{17} cosolvents,\textsuperscript{18} and lipid formulation.\textsuperscript{19}

As a new crystal engineering strategy, cocrystals have opened a new avenue to modify the physicochemical properties of pharmaceutical solids. Cocrystals are defined as “solids that are crystalline single phase materials composed of two or more different molecular and/or ionic compounds generally in a stoichiometric ratio which are neither solvates nor simple salts”.\textsuperscript{20} Non-covalent interactions such as hydrogen bonds (the main interaction), π-π interactions, halogen bonding, and van der Waals forces are responsible for the formation of cocrystals.\textsuperscript{21} When components of a cocrystal are a drug and a pharmaceutically acceptable excipient (coformer) the resultant molecular complex is called a pharmaceutical cocrystal. The coformers usually are water-soluble molecules such as saccharin, caffeine, nicotinamide, and carboxylic acids.\textsuperscript{22} The availability of numerous coformers makes it possible to prepare several cocrystals for each drug and select the most appropriate one. Fig. 1 shows an example of pharmaceutical cocrystals that formed between carbamazepine and saccharin. Nowadays, cocrystal screening is a routine part of solid form selection during development studies. Cocrystals can modify properties of drugs without any impact on the intrinsic pharmacological activity of the molecule. Cocrystallization has been studied to optimize physicochemical properties of drugs such as mechanical properties\textsuperscript{23}, stability,\textsuperscript{24} solubility,\textsuperscript{25, 26} permeability\textsuperscript{27} and bioavailability.\textsuperscript{28}

Improving bioavailability of poorly water-soluble drugs through cocrystallization has attracted expanding interest over recent years. In contrast to salts, the cocrystal principle can be applied to non-ionizable drugs.\textsuperscript{29} Because of their crystalline nature, cocrystals do not exhibit physicochemical stability issues of amorphous solid dispersions. Mechanisms by which a cocrystal improves solubility is supposed to be changed lattice and solvation energies due to the presence of the coformer.\textsuperscript{3} Improved solubility of cocrystals has been translated to higher GI absorption of cocrystals during animal studies. Shan et al\textsuperscript{30} analyzed the correlation between solubility and pharmacokinetic (PK) parameters of cocrystals. They found that for cocrystals of class II drugs there is a strong positive correlation between in vitro solubility data and the area under the curve (AUC) of plasma drug concentration-time. Interestingly, recent studies showed that cocrystals not only can improve dissolution properties of drugs but also may modify the diffusion/permeability properties of drugs.\textsuperscript{27, 31} These results may expand the applications of cocrystals from class II drugs to class III and more importantly to class IV of BCS.

In the current manuscript, first, we present a brief information about the preparation and characterization of cocrystals then we address the different aspects of modifying GI absorption of drugs through cocrystal formation. The covered aspects include supersaturation and its effect on the oral absorption of cocrystals, the permeability of cocrystals through membranes, the effects of coformers on drug absorption, performing in vivo \textit{in vitro} correlations for cocrystals and studies conducted on human subjects. We also discuss the utility of nanococrystals for improvement of bioavailability.

\textbf{Synthesis and characterization of cocrystals}

Generally, cocrystals can be prepared by solution-based or solid state reactions. The solution-based techniques
include slow solvent evaporation, spray drying, slurry crystallization, and anti-solvent addition, while solid-state methods include neat grinding, liquid assisted grinding, and melt crystallization. To form a cocrystal by solvent evaporation, solutions of the drug and coformer are prepared at different solvents then the solvents are removed to crystallize the intended cocrystal. Although this method is simple and easy to scale up, a major challenge for the successful production of a pure cocrystal phase by the solvent evaporation method is the separate crystallization of cocrystal components due to the difference in the solubility of components in the studied solvents. Therefore, experimental conditions must be optimized carefully by conducting a mass of laboratory experiments to reach the desired product. Recent studies have shown that solid based methods are more successful in the formation of cocrystals in comparison with solution-based methods. Solid-based methods represent attractive approaches for the cocrystal preparation because these methods are green, simple, highly productive, and do not exhibit the solubility problems but these methods suffer from insufficient purity, the possibility of formation of disordered crystals, and formation of polydisperse particles. Taken together, most of the reported methods in the literature are difficult to translate to industrial applications and usually better suited to lab scale screening operations.

Recent trends and advances in synthesis, manufacturing, and scale-up of cocrystals have been discussed in detail by Douroumis et al. The new methods should provide continuous production of high-quality cocrystals with high productivity, minimum wastage of materials, and coupled with process analytical tools for quality control. Only limited studies have been published concerning the issues of large-scale production of cocrystals. Spray drying, screw extrusion, and supercritical fluid technology have been reported as scalable cocrystallization techniques to produce multi-kilogram batches of cocrystals.

A combination of solid-state analytical techniques is usually utilized to fully characterize the formed cocrystals. The common methods include crystallography techniques such as powder and single-crystal X-ray diffraction (PXRD and SCXRD, respectively), spectroscopic methods such as Fourier-transform infrared (FTIR) and Raman spectroscopy and solid-state nuclear magnetic resonance (solid-state NMR), and thermal methods such as polarized optical hot-stage microscopy (HSM), thermo-gravimetric analysis (TGA) and differential scanning calorimetry (DSC). The crystal structure of a cocrystal can be determined by SCXRD but this technique is applicable only when a suitable single crystal can be prepared for the cocrystal of interest. The PXRD analysis is used as a fingerprint characterization technique to prove the cocrystal formation. The PXRD pattern of a cocrystal exhibits unique diffraction peaks that are distinctly different in comparison to the drug and coformer. The molecular interactions between the drug and coformer, mainly as hydrogen bonding, can be evaluated by vibrational spectroscopy methods such as FTIR. As the melting points of cocrystals are different from the melting points of the starting materials, therefore, thermal methods, especially DSC are of great importance in the characterization of cocrystals. In addition, DSC and TGA are valuable to evaluate the presence of solvents in the crystalline structure of cocrystals. When the drug and coformer contain acidic and basic groups there is a possibility of formation of a salt instead of a cocrystal. To differentiate a cocrystal from a salt, the possibility of transfer of proton between the drug and coformer can be evaluated by solid-state NMR, SCXRD, and FTIR.

**Effects of supersaturation/precipitation on cocrystals in vivo performance**

Cocrystals of drugs with highly water-soluble coformers along with amorphous systems and salts are thermodynamically high-energy forms of drugs. Higher kinetic solubility in the GI fluid is expected to achieve for drugs in these forms due to the supersaturation (Fig. 2). Supersaturation occurs when drug molecules present in solution at a concentration higher than equilibrium solubility of the thermodynamically stable form of the drug. A supersaturated drug solution is thermodynamically unstable therefore it is crystallized as the stable form of the drug. The transformation leads to the rapid decrease of concentration to the solubility level of stable form (spring effect). The degree of supersaturation and its transformation rate to less soluble forms are determining the in vivo performance of supersaturable systems. Furthermore, in vivo occurrence of supersaturation, precipitation, and re-dissolution of precipitate are largely dependent on physiological conditions of GI such as pH, transit time, and food

![Fig. 2. Schematic diagram of drug concentration-time profile displaying spring and spring with parachute concept. A typical dissolution profile of most stable solid phase of drug (1), a cocrystal with supersaturation/precipitation process (2), a cocrystal with crystallization inhibitor that acts as a parachute (3).](image-url)
Box 1 summarizes important characteristics to be evaluated in the design of supersaturable cocrystals to efficiently improve oral absorption. Ability to generate and maintain a high degree of supersaturation and low rate of phase transformation are the most important properties. The drug plasma exposure may be significantly increased if a sustained level of supersaturated concentration has to be maintained in the GI tract for the time scale that is sufficient for absorption to take place. On the other hand, if precipitation occurs very rapidly, expected in vivo benefits may not be achieved from supersaturable formulations. Phase transformation must be evaluated and if the cocrystal exhibits rapid phase transformation, an appropriate stabilizer should be added. It is favorable that the resulted precipitate from the transformation can display higher solubility and dissolution than the stable form of the drug. From the industrial perspective, cocrystals to be selected as the final formulation option must not only provide comparable performance but also exhibit advantages over well-established solubility enabling formulation methods such as solid dispersions and nanocrystals.

The rate of phase transformation can be controlled through the inclusion of crystallization inhibitors such as polymers and surfactants which can stabilize the supersaturated solution and reduce the rate of conversion. Capturing solubility advantages of cocrystals by addition of crystallization inhibitors is called parachute effect (Fig. 2). Crystallization inhibitors have widely been investigated for preventing crystallization of drug from amorphous systems and avoiding the phase transformation of anhydrous forms to hydrate forms. One of the notable differences between Cocrystals and amorphous systems is that in the case of cocrystals dissolved coformers may considerably influence the degree of supersaturation and drug transformation kinetics. Only a limited number of attempts have been made to investigate the effects of different stabilizers on in vitro dissolution and in vivo performance of cocrystals.

A major fraction of cocrystals of poorly water-soluble drugs have indicated typical supersaturation/precipitation behavior during in vitro dissolution studies however, most of the studies did not take to account this important aspect. Conventionally, PK studies of cocrystals are conducted using simple aqueous dispersions of cocrystals without conducting any additional formulation step. Although these studies reported improvements in bioavailability of drugs in cocrystal form, it seems that manipulated formulation of cocrystals is necessary to capture the full potential of cocrystals in vitro and translating the potentials to in vivo. In addition, for cocrystals that fail to attain the expected oral bioavailability improvements, performing an appropriate formulation step may lead to considerably better in vivo performance.
of a supersaturable cocrystal of danazol. The optimized formulation consisted of the danazol-vanillin cocrystal suspended in 1% tocopheryl polyethylene glycol-1000 succinate as a solubilizer and 2% hydroxypropyl cellulose as a crystallization inhibitor. The formulated cocrystal was successful in creating a higher degree of supersaturation and maintaining supersaturation level for a longer period of time in vitro non-sink dissolution studies. The unformulated danazol-vanillin cocrystal resulted in a 1.7-fold increase in AUC (0-6h) compared to a water-insoluble solid form of danazol when administered to rats. On the other hand, the formulated cocrystal exhibited a 10-fold higher AUC (0-6h) than danazol. The results of these studies showed the importance of adding appropriate excipients to capture solubility advantages of cocrystals.

Data from several studies suggest that the physicochemical properties of drug and coformer determine the degree of supersaturation and its stability. However, up to now, far too little attention has been paid to factors controlling the supersaturation level of cocrystals in vitro and in vivo. Therefore, efforts should be made to clarify the behavior of supersaturable cocrystals in the GI tract.

Membrane permeability/diffusion behavior of cocrystals

For orally administered drugs, besides solubility, sufficient permeability from membranes of the GI tract is an essential prerequisite for sufficient systemic absorption. Unacceptable intestinal membrane permeability may lead to insufficient bioavailability of drugs. Permeability also affects the minimum aqueous solubility that is required for a reasonable in vivo performance. The bioavailability of drugs with low rates of transfer from the intestinal membrane is likely to be impeded by limited aqueous solubility. It was anticipated that drugs with low intestinal permeability need a 20-fold higher minimum solubility than highly permeable drugs to achieve sufficient oral absorption.

It is estimated that about 35% of marketed drugs and 25% of drug candidates in discovery and development pipelines exhibit low membrane permeability. Several strategies have been developed to tackle low permeability of drugs including structural modification or prodrug synthesis, using permeation enhancers, solid lipid nanoparticles, and polymeric nanoparticles. All of these strategies possess some obstacles. Structural modifications will necessitate preclinical efficacy and toxicology studies which are very time-consuming and costly processes. There are concerns about using permeation enhancers due to the possible membrane damaging effects. In the case of polymeric nanoparticles, their industrial uses have been restricted by difficulties in controlling manufacturing parameters, reproducibility issues and lack of regulatory guidelines. Lipid-based formulations are faced with problems related to solubility and physicochemical stability of drugs in lipidic matrix.

Cocrystals can improve membrane permeability/diffusion without the need for modifying the structure of drug molecule or disturbing membrane integrity. Although modifying solubility/dissolution of drugs by cocrystal formation has been extensively investigated, only very recent studies have reported that cocrystallization may modify membrane permeability of drugs. Table 1 summarizes the representative examples of studies on the permeability of cocrystals. The studies indicated that cocrystals can improve, reduce, or do not remarkable impact on membrane permeability at all.

As Table 1 indicates, most of the experiments were conducted using Franz-type diffusion cells through porous artificial membranes such as the cellulose membrane. These types of experiments assess the passive diffusion behavior of molecules through the pores filled with water instead of permeability through a biological membrane, therefore, more studies are needed to be conducted in the well-established epithelial cellular models (e.g. Caco-2, MDCK). In addition, mechanisms by which cocrystals can change permeability/diffusion are still unclear. A number of researchers have proposed drug-coformer interactions in the solution phase as a possible underlying mechanism (Table 1). It has been assumed that the drug and coformer can exist as molecular aggregates in solution due to the retaining of some interactions formed in the crystalline lattice of the respective cocrystal.
| Drug | Coformer | In vitro Model | Effect on solubility (Cs)/dissolution | Effect on permeability | Proposed mechanism of modifying permeability | BCS class |
|------|----------|----------------|--------------------------------------|------------------------|---------------------------------------------|-----------|
| TDZ  
5-Fluorouracil | Vanillic acid 3-Hydroxybenzonic acid 4-Aminobenzoic acid Cinnamic acid | Silicon membrane | No remarkable impact on Cs No remarkable impact on Cs No remarkable impact on Cs | 1.4-fold higher steady penetrate rate 1.6-fold higher steady penetrate rate 1.8-fold higher steady penetrate rate | New supramolecular synthesis formation Drug-coformer interactions Molecular packing in the crystals Lipophilicity of the coformers | III |
| Adefovir | Stearic Acid | Caco-2 cells monolayer | Enhanced dissolution | No remarkable impact on the apical to basal transport rate | Similar partition coefficient (log P) | IV |
| Acyclovir | Fumaric acid | Skin of rat | 1.53-fold higher apparent Cs 2.14-fold higher apparent Cs | 2.8-fold higher permeated amount 4-fold higher permeated amount | Lipophilicity of the coformers (log P) Melting points of cocrystals | IV |
| Furosemide  
Indomethacin | Anthranilamide Caffeine Adenine TMP | Cellulose nitrate membrane Lower apparent Cs No remarkable impact on Cs Higher apparent Cs | Higher Cs No remarkable impact on Cs Higher apparent Cs | Higher cumulative permeated amount flux Lower cumulative permeated amount flux Higher cumulative permeated amount flux | Modified solubility | IV |
| HCTZ  
Picolinamide Isoniazid Malonamide | Piperazine TMP | Cellulose nitrate membrane No remarkable impact on Cs 3-fold higher apparent Cs No remarkable impact on Cs | 6.6-fold higher apparent Cs 3-fold higher apparent Cs | Higher cumulative permeated amount flux Higher cumulative permeated amount flux Lower permeability | Drug-coformer interactions Increased solution concentration | IV |
| Theophylline | o-Aminobenzoic acid m-Aminobenzoic acid p-Aminobenzoic acid | Dialysis membrane | 11.9-fold higher Cs 3-fold lower Cs 3-fold lower Cs | 1.4-fold higher flux 2.4-fold lower flux 2.4-fold lower flux | Drug-coformer interactions | I |
By considering that BCS class IV drugs suffer from low solubility and permeability, cocrystals can be used to simultaneously increase solubility and permeability of these drugs. Some examples of drugs (class IV) with improved solubility and membrane permeability through cocrystallization strategy are acyclovir,\textsuperscript{76} furosemide,\textsuperscript{77} and hydrochlorothiazide.\textsuperscript{27} However, the potential solubility-permeability interplay should be considered when cocrystallization principle is used for bioavailability improvement of poorly water-soluble drugs.\textsuperscript{79} The solubility-permeability has been investigated for some of the solubility enhancing approaches such as cyclodextrins,\textsuperscript{79} amorphous solid dispersions,\textsuperscript{80} and cosolvents.\textsuperscript{81} The results revealed that depending on the approach used for enhancing solubility the permeability may decrease, remain unchanged, or even increase. For example, in the case of amorphous solid dispersions enhancing apparent solubility does not lead to a decrease in permeability. On the other hand, when cyclodextrin or cosolvent is used to enhance solubility, by increasing apparent solubility the permeability simultaneously is decreased.\textsuperscript{81} Thus such studies should be performed to investigate the solubility-permeability interplay of cocrystals.

Ferretti et al\textsuperscript{82} performed in vitro cellular permeability experiments on indomethacin, indomethacin cocrystals, and respective physical mixtures. The studied cocrystals were cocrystals of indomethacin with 2-hydroxy-4-methyl-pyridine (cocrystal 1), 2-methoxy-5-nitroaniline (cocrystal 2) and saccharine (cocrystal 3). The used cell line was the human normal colonic epithelial NCM460 cells. Fig. 4 displays a schematic representation of the obtained results. Pure indomethacin did not induce any change in the cellular integrity. Incubation of the cells monolayer with cocrystal 1 induced a considerable reduction in trans epithelial electrical resistance (TEER) showing an impaired cellular integrity. When cells were separated, the apparent permeability ($P_{app}$) of indomethacin was increased in comparison to pure indomethacin. In contrast, the physical mixture of indomethacin with 2-hydroxy-4-methyl-pyridine did not show any effect on cellular integrity and its TEER and $P_{app}$ was similar to pure indomethacin. Cocrystal 2 and mixture 2 showed similar TEER and $P_{app}$ with pure indomethacin. In the case of cocrystal 3 (indomethacin-saccharine), $P_{app}$ improved without inducing any cellular damage.

![Fig. 4. Effect of different solid forms of indomethacin on cellular integrity, apparent permeability of indomethacin ($P_{app}$), and trans epithelial electrical resistance (TEER) of in vitro cell culture monolayer (NCM460 cell monolayers). Cocrystal 1: Indomethacin-2-hydroxy-4-methylpyridine cocrystal, Cocrystal 2: Indomethacin-2-methoxy-5-nitroaniline cocrystal, Cocrystal 2: Indomethacin-saccharin cocrystal, Mixture: A physical mixture of indomethacin with the coformer. No $P_{app}$ data was reported for mixture 3.](image-url)
damage. Interestingly, when cells were incubated with the indomethacin-saccharine physical mixture, tight junctions of NCM460 cells were damaged, the cells were separated and TEER was significantly reduced. The results of this study demonstrated that cocrystals and their parent physical mixtures can react very differently with biological membranes. In the subsequent work, Dalpiaz et al have found that carbamazepine cocrystals and parent physical mixtures show different effects on NCM460 cells monolayer same as what has been shown for indomethacin cocrystals. The differences in behaviors of cocrystals and physical mixtures were attributed to the formation of molecular aggregates in solution.

**The effects of coformers on drug absorption**

Coformers generally are considered as pharmacologically inactive materials. However, recent studies have indicated that some coformers may exert biological functions. Studies on PK of cocrystals relate the changes in the PK profile of drugs mainly to the altered dissolution properties. Definitely not all, but at least some of coformers may interact with oral absorption of drugs through routes other than dissolution changes. These routes, which can be influenced by coformer presence, are metabolism, membrane transport of drugs, and physiological parameters of GI (i.e. gastric emptying time, intestinal blood flow and pH of the environment). Current research on PK studies of cocrystals are focused on comparing the bioavailability of cocrystals with pure drugs and little is known about the alteration of PK of the studied drugs due to the presence of coformer molecules in the GI tract. There are only limited efforts that conducted bioavailability studies on the physical mixture of drugs with coformers to evaluate possible effects of coformers on the absorption of drugs.

A widely studied coformer in cocrystallization of pharmaceuticals is caffeine. It has been shown in the literature that co-administration of caffeine with acetaminophen and ergotamine tartrate lead to significantly higher plasma maximum concentration ($C_{\text{max}}$) and AUC values for these drugs. The underlying mechanism of interaction has been attributed to improved intestinal blood flow. Therefore, caffeine can alter the PK profile of a cocrystallizing drug through the same mechanism. In this context, Zhu and coworkers synthesized baicalein-caffeine cocrystal and conducted PK experiments on rats. The cocrystal, baicalein and the physical mixture were suspended in 0.1% sodium carboxymethylcellulose aqueous solution and were given to rats through gavage. The AUC$_{24\text{h}}$ of baicalein-caffeine cocrystal was about 4.1 times that of pure baicalein which was attributed to the higher dissolution rate of the cocrystal. A 2-fold improvement in AUC$_{24\text{h}}$ was achieved using the physical mixture of baicalein and caffeine compared to baicalein itself (Fig. 5). This improvement was explained by the synergic effect of caffeine on baicalein absorption.

The first evidence of the effects of coformers on the cellular transport of drugs has been reported for adefovir dipivoxil-stearic acid cocrystal. Stearic acid is a long chain fatty acid. Experiments that were conducted on Caco-2 cell line monolayers showed that stearic acid can inhibit P-glycoprotein mediated efflux of adefovir dipivoxil. However, the majority of coformers are small molecules with no known inhibitory effects on P-glycoprotein but some coformers such as polyethylene glycols and fatty acids can display inhibitory action. These examples demonstrated that some of coformers may have biopharmaceutical implications, therefore, coformers should be selected carefully. When there is a possibility of interaction, PK studies on the respective physical mixture is desirable to clarify the condition.

The PK interaction between a drug and coformer seems to be more common when dealing with drug-drug cocrystals because each of two components is a pharmacologically active agent. There is a well-known PK interaction between aspirin with meloxicam. Oral co-administration of aspirin with meloxicam to healthy volunteers increased the plasma concentration of meloxicam, by 10 and 25% because each of two components is a pharmacologically active agent. There is a well-known PK interaction between aspirin with meloxicam. Oral co-administration of aspirin with meloxicam to healthy volunteers increased the plasma concentration of meloxicam, by 10 and 25% higher $C_{\text{max}}$ and AUC, respectively. The meloxicam-aspirin cocrystal has been synthesized and showed improved solubility and bioavailability in rats compared with pure meloxicam. Unfortunately, the authors did not perform the bioavailability study on the physical mixture of meloxicam with aspirin but a portion of bioavailability improvement of cocrystal was likely originated from the PK interaction. PK interaction between components of cocrystals has also been reported for celecoxib-tramadol hydrochloride cocrystal (For more details please see human PK studies section). A considerable decrease was observed in $C_{\text{max}}$ and AUC of celecoxib when celecoxib was given with tramadol hydrochloride as a combination to healthy volunteers. The mechanism of interaction was
proposed to be the changing dissolution and absorption profiles of celecoxib in the presence of tramadol hydrochloride. Therefore, caution should be taken into consideration when designing a drug-drug cocrystal as drug-drug PK interactions may occur between components of the cocrystal.

**In vitro-in vivo correlation (IVIVC)**

In vitro-in vivo correlation (IVIVC) refers to the establishment of a meaningful relationship between in vitro drug dissolution behavior with the in vivo PK parameters. IVIVC can assign in vivo meaning to the in vitro data. It can be a useful tool for rational development and evaluation process to reduce development time and optimize the formulation. Generally, IVIVC has been best suited for controlled release dosage forms. In addition, IVIVC is useful for in vitro dissolution/solubility and the corresponding in vivo oral bioavailability data. Obtaining good IVIVC for supersaturable systems is hard to achieve due to the several factors affecting supersaturation state in GI tract such as physicochemical properties of the drug, dissolution rate, solid state and particle size of precipitate, and GI physiology (pH, motility, and food effect). For successful predicting of PK parameters from in vitro dissolution data, dissolution of a cocrystal in intestinal medium must be the main controlling factor of the oral absorption process. In addition, appropriate biorelevant in vitro dissolution test should be developed for the cocrystal. All in all, it is more likely to establish IVIVC for cocrystals of BCS class II drugs because these drugs are highly permeable and their absorption usually is limited by the dissolution.

AMG-517 is a candidate compound for treating chronic pain. This compound has low water solubility and high permeability. Dissolution properties and PK of AMG-517 cocrystals with 12 carboxylic acid coformers were compared with AMG-517 free base. Powder dissolution and intrinsic dissolution rate (IDR) studies were carried out in fasted simulated intestinal fluid (FaSIF). Cocrystals exhibited faster IDR and different degrees of supersaturation with subsequent precipitation to free base of AMG-517 in powder dissolution studies. Bioavailability studies were conducted in rats by administrating cocrystals and AMG-517 as suspensions in polyvinyl pyrrolidone (PVP) 1% aqueous solution. PK experiments indicated that higher dissolution rate of cocrystals resulted in improved absorption of the compound. Weak correlations were observed between IDR (R²= 0.4) or apparent solubility at 15 min (R²=0.5) with plasma area under the concentration-time curves. No better correlation was found between in vitro data and other PK parameters such as Cₘₐₓ. The poor IVIVC was attributed to the inherent variability within in vivo systems. Another possible reason for weak IVIVC was may be due to the fact that authors did not take into account the effect of PVP on stabilizing supersaturated condition of cocrystals at in vivo experiments.

Meloxicam, as a poorly soluble nonsteroidal anti-inflammatory drug, belongs to class II of BCS. The non-sink dissolution studies of meloxicam and its 12 cocrystals with a series of aliphatic and aromatic carboxylic acid derivatives were performed in the phosphate buffer (pH 6.5). The cocrystals showed the typical dissolution profile of supersaturating system with solvent-mediated phase transformation to meloxicam. In a single-dose PK study in rats, most of the cocrystals displayed an improvement in the intestinal absorption of meloxicam in comparison to pure meloxicam. A linear regression analysis was performed for mean in vitro dissolution data versus mean serum concentration data at the same time point for each cocrystal and pure meloxicam. Strong linear correlations were observed between in vitro powder dissolution profiles and in vivo profile for the majority of cocrystals (R² range 0.73–0.97). Fig. 6A indicates that serum concentration data correlated significantly with in vitro dissolution data for meloxicam and two examples of cocrystals.

A correlation analysis was used to explore the relationship between dissolution and absorption rates of cocrystals (Fig. 6B). A mild linear correlation (R²= 0.71) was observed between dissolution and absorption rates. The results of the correlational analysis proposed that the meloxicam cocrystals with a higher dissolution rate would result in a better bioavailability and a reduced onset of action.

The prediction of in vivo performance of cocrystals by in vitro testing remains unclear. Studies should be performed to gain knowledge about the impact of different parameters such as coformer type, composition and/or properties of the dissolution media (pH, additives) on cocrystals dissolution/solubility properties at in vitro. Various parameters such as AUC of the concentration-time curve in dissolution test, intrinsic dissolution rate, powder dissolution rate, and apparent solubility should be examined to find which parameter exhibit the best correlation with PK parameters. The contribution of the degree of supersaturation and stability of supersaturation on oral absorption of cocrystals are expected to be different for highly permeable and low permeable drugs and should be considered when constructing IVIVC.

**Using nanosized cocrystals for bioavailability improvement**

Nanometer-sized crystals have attracted great attention from the pharmaceutical industry. There are at least 6 pharmaceutical formulations with nanocrystal base in the market for poorly water-soluble drugs. Nanocrystals improve the dissolution rate mainly by an increased surface area. In addition, a slightly higher saturation solubility is predicted for particles with sizes lower than...
1000 nm by the Ostwald-Freundlich equation.\textsuperscript{109}

While both cocrystal and nanocrystal approaches have been extensively studied for improving intestinal absorption of water-insoluble drugs, the combination of these technologies to maximize potential advantages is needed to be further investigated. A nanococrystal (NCC) can theoretically combine the dissolution rate enhancement potential of a nanocrystal with solubility improvement advantage of cocrystal which leads to improved GI absorption and efficacy of poorly water-soluble drugs. Development of NCCs is more challenging than developing nanocrystals because of differences in solubility of cocrystals components in solvents\textsuperscript{35} and phase instability of cocrystals in the aqueous medium.\textsuperscript{110}

Generally speaking, top-down (size reduction) and bottom-up (precipitation) approaches are utilized to the synthesis of nanocrystals.\textsuperscript{111} The majority of commercialized pharmaceutical nanocrystals have been prepared using wet milling\textsuperscript{106} in which milling of a suspension of the drug is performed in aqueous medium containing an appropriate stabilizer. In the bottom-up techniques such as antisolvent precipitation, the precipitation of drug molecules from a solution occurred in the presence of a stabilizer.\textsuperscript{112}

The first report of preparation of pharmaceutical NCCs dates back to 2010.\textsuperscript{113} The authors utilized caffeine-2,4-dihydroxybenzoic acid monohydrate as a model pharmaceutical cocrystal. NCCs with a mean particle size of 136 nm were prepared by a bottom-up approach. The used method was precipitation-ultrasonication using antisolvent in the presence of sorbitan oleate (Span 85) as the stabilizer. In another study,\textsuperscript{114} NCCs of myricetin-nicotinamide have been synthesized using top-down (neat grinding) and bottom-up (antisolvent precipitation under ultrasonication) methods. The dissolution rate of NCCs prepared by precipitation method was higher than NCCs prepared by grinding.

De Smet et al\textsuperscript{115} utilized wet milling as a typical top-down method to prepare nanosized particles of itraconazole cocrystals. Suspensions of itraconazole in combination with dicarboxylic acids were ground using milling pearls in an aqueous medium containing a surfactant (Tween 80) to form a stable nanosuspension. Among the studied coformers (maleic, adipic, glutaric and succinic acid), best results obtained when adipic acid used as coformer. Cogrinding of itraconazole with adipic acid produced a stable nanosuspension (549±51 nm) of itraconazole-adipic cocrystal with a narrow particle size distribution. The phase purity of formed NCCs was confirmed by PXRD, Raman and FTIR spectroscopy. To prevent agglomeration of NCCs during drying and facilitate re-dispersion in water, mannitol was added to the nanosuspension and then conversion of nanosuspension to a solid form was conducted by spray drying or bead layering. Nanococrystal-based formulations exhibited remarkable faster dissolution rate than commercially available amorphous formulation (Sporanox®) during in vitro dissolution studies. However, when oral bioavailability studies were conducted in dogs, different formulations did not show significant differences in PK parameters. Only, time to reach the highest plasma concentration (T\textsubscript{max}) of the formulated nanococrystal were half of the Sporanox® which were attributed to the higher dissolution rate of NCCs.

In a study by Karashima et al,\textsuperscript{116} nanosuspension of carbamazepine-saccharin, indomethacin-saccharin, and furosemide-caffeine cocrystals with particle sizes lower than 300 nm were prepared using wet milling in the presence of hydroxypropyl methylcellulose and sodium dodecyl sulfate as the stabilizers. The cocrystals in the nanosuspension forms exhibited higher dissolution rates than the conventional cocrystals and pure nanocrystals of the drugs. By considering that carbamazepine, indomethacin, and furosemide have dissolution limited bioavailability, the improved dissolution rates of NCCs may result in higher bioavailability. Emami et al\textsuperscript{117} have reported the formation of NCCs of indomethacin-saccharin by using electrospray deposition technique. NCCs with a mean size of 219 nm displayed a 3-fold higher dissolution rate than the cocrystals prepared by the solvent evaporation method.

Huang et al\textsuperscript{118} prepared a nanosuspension of cocrystal of phenazopyridine (PAP) with phthalimide (PI) with a mean particle diameter of 21 nm by the antisolvent method.
under ultrasonication. The conversion of nanosuspension to solid form was performed by centrifugation. PAP as a BCS class II drug, exhibits a low water solubility (0.02 mg/mL), therefore, its hydrochloride salt with a higher solubility (8.5 mg/mL) is utilized in the tablet form of this drug. Phenazopyridine hydrochloride possesses some problems such as poor oral absorption due to the common ion effect of chloride in the GI tract and severe hygroscopicity under elevated humidity. Formation of the PAP-PI cocrystal effectively tackled the hygroscopicity problem but the dissolution properties of cocrystal were not favorable. NCCs of PAP-PI showed higher in vitro dissolution and better bioavailability as compared to phenazopyridine hydrochloride and the PAP-PI cocrystal. When administered to rats as a suspension, the NCC showed 1.39- and 2.44-fold improvements in Cmax and oral bioavailability, respectively as compared to phenazopyridine hydrochloride.

**Pharmacokinetic studies in human**

Celecoxib-tramadol hydrochloride cocrystal (CTC) is a drug-drug cocrystal for treatment of acute pain that is under investigation by Esteve pharmaceutical and Mundipharma research. Fig. 7 presents the molecular structure of CTC. Celecoxib and tramadol hydrochloride both are analgesic drugs with different mechanisms of action. The purpose of development of CTC was improving pain control and providing a better safety and efficacy profile for these drugs. Tramadol hydrochloride belongs to class I of BCS and is highly soluble and highly permeable. It is believed that rapid absorption of tramadol (higher peak concentrations) is related to a higher incidence of adverse effects, therefore, designing a formulation with sustained release of the drug may lead to better safety profile. On the other hand, celecoxib as a member of BCS class II is a water-insoluble drug (7 µg/mL) and its bioavailability is limited because of the poor dissolution in GI fluid. Almansa et al identified CTC and investigated dissolution properties of the cocrystal. IDR studies depicted a 3-fold increase in celecoxib IDR and a 7-fold decrease in tramadol hydrochloride IDR in CTC than drugs alone. The modified dissolution rates of components were expected to lead to an optimized PK behavior.

The oral absorbability of formulated CTC tablets in comparison to commercially available forms of tramadol hydrochloride (Adolonta® immediate-release capsules) and celecoxib (Celebrex® capsules) were evaluated and compared in beagle dogs. The animal PK studies revealed that appropriately formulated CTC tablets could exhibit higher Cmax and AUC for celecoxib than Celebrex® capsules which means superior bioavailability of the cocrystal. On the other hand, in the case of tramadol hydrochloride, CTC resulted in lower peak concentration and reduced AUC than the commercial product of tramadol hydrochloride. The authors concluded that CTC exhibited a potential to simultaneously improve the bioavailability of celecoxib and safety of tramadol hydrochloride.

Videla et al conducted a phase I single-dose PK study in healthy volunteers to evaluate the performance of CTC. The subjects received Celebrex® capsules (100 mg celecoxib), Adolonta® immediate-release capsules (100 mg tramadol hydrochloride), CTC formulated tablet (88 mg tramadol hydrochloride and 112 mg celecoxib) and the open combination of Celebrex® and Adolonta® (100 mg tramadol hydrochloride + 100 mg celecoxib). The Cmax values of tramadol were 263.23, 345.78, and 349.38 ng/mL from CTC, Adolonta® and tramadol plus celecoxib, respectively. The reduced Cmax of tramadol in the cocrystal form was attributed to the lower dissolution rate of tramadol from CTC which was observed at in vitro dissolution tests. After the dose correction, all three treatments showed similar AUC values for tramadol. Combining tramadol with celecoxib did not exhibit a significant effect on the concentration profile of tramadol.

The results were quite different for celecoxib. Co-administration of tramadol with celecoxib remarkably reduced oral bioavailability of celecoxib that may be due to the negative effect of tramadol on dissolution or absorption of celecoxib. While celecoxib in the form of cocrystal failed to exhibit higher Cmax or AUC than Celebrex® but the oral absorption of celecoxib from CTC was better than Celebrex® plus Adolonta®. After a single dose of the cocrystal, Tmax of celecoxib was remarkably lower compared to Celebrex® and the combination (1.50 hours versus 2.33 and 3 hours) which could be explained by improved dissolution of celecoxib in the form of cocrystal.

The results obtained for celecoxib from human PK studies were in disagreement with animal studies in beagle dogs. The animal studies indicated that CTC resulted in
an improvement of celecoxib bioavailability compared to Celebrex®. Taken together, however, CTC was successful in the modifying PK profile of tramadol to access better safety profile but failed to improve oral bioavailability of celecoxib.

As another example of studies on the bioavailability of cocrystals in human, Golob and coworkers reported vinpocetine-boric acid ionic cocrystal which remarkably improved in vitro powder dissolution in comparison to pure vinpocetine. The authors conducted the in vivo oral absorption studies in healthy human subjects. The cocrystal and pure vinpocetine were administered as a single dose of 10 mg of vinpocetine in hard gelatin capsule form. The cocrystal resulted in an approximately 2-fold increase in the oral bioavailability of vinpocetine in humans.

To date, most of PK studies have been conducted on animal models and only very limited studies have investigated in vivo performance of cocrystals on human subjects. However, extrapolating animal data to human has come under question recently. Therefore, further studies should be conducted on humans to confirm the real potential of cocrystals.

**Marketed cocrystals and cocrystals under clinical development**

In less than two decades since research has been started in cocrystal field, pharmaceutical cocrystals have found their way from the bench (preclinical studies) to the bedside (approved drug products). Currently, two cocrystal formulations that are available in the market include Entresto™ (valsartan-sacubitril) by Novartis and Suglat® (ipragliflozin-L-proline) by Astellas pharma and Kotobuki pharmaceutical.

Entresto™ tablet contains a drug-drug ionic cocrystal of monosodium sacubitril (neprilisin inhibitor), disodium valsartan (angiotensin receptor blocker) and a water molecule (Fig. 8). This drug product was approved by the Food and Drug Administration and European Medicines Agency in 2015 to treat chronic heart failure. PK studies demonstrated that bioavailability of valsartan in cocrystal form (Entresto™) was higher than reference valsartan. The Suglat® tablet has been approved in 2014 in Japan for treatment of diabetes mellitus but has not gained approval in the United States or the EU. The cocrystal systems that are under clinical development include TAK-020 Cocrystal, tramadol-celecoxib and ertugliflozin-L-pyroglutamic acid.

**Concluding remarks**

Nowadays, Cocrystals are finding their place in crystal engineering field to become a well-established part of solid screening during development stages. Significant progress has been made on cocrystal synthesis, characterization, and scale-up methods. Various studies have indicated the potentials of cocrystals to improve problematic properties of drugs. Regulatory bodies published guidelines for industry and some cocrystal products have already entered the market such as Entresto™ and Suglat®.

In this review, we have attempted to discuss findings from recent studies on different aspects of oral absorption of cocrystals. Cocrystals, as supersaturable drug delivery systems, can significantly improve solubility. However, translating in vitro improved solubility results to in vivo is difficult due to the instability of supersaturated state and its rapid precipitation and, albeit different condition of GI. Studies showed that cocrystallization may change the permeability of drugs through membranes which may be useful for low permeable drugs. The current belief is that the components of cocrystal are completely dissociated in solution and cocrystallization does not change the pharmacological profile of the parent drug. This belief has been questioned by some studies that showed cocrystals can act very differently than respective physical mixtures on biological systems which may raise toxicity concerns about utilizing cocrystals for improving bioavailability without performing appropriate evaluations. In addition, some coformers may interact with oral absorption of drugs by routes such as altering intestinal blood flow and inhibiting efflux pumps.

Integration of cocrystal principle with reducing the size to nanometer ranges may be necessary for drugs that

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**Review Highlights**

**What is the current knowledge?**

- Cocrystals can be used to modify physicochemical properties of drugs.
- PK studies indicated Cocrystallization is a promising approach for improvement of oral bioavailability of poorly soluble drugs.

**What is new here?**

- Supersaturation/precipitation plays a crucial role in bioavailability of cocrystals.
- Cocrystallization may modify membrane permeability of drugs.
- Some coformers can interact with intestinal absorption of drugs.
- Nanococrystals combine the benefits of nanocrystal technology and supramolecular design.
Improving bioavailability by cocrystals
each of this technologies is failed to achieve sufficient bioavailability. From an industrial perspective, cocrystals must show better in vivo performance than other conventional solubility enabling strategies to be selected by the industry as the final formulation option. Further studies should be performed in human subjects to reveal the advantages of cocrystals. There are remaining challenges on the prediction of in vivo behavior of cocrystals and IVIVC for cocrystals is still not yet established.

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