A wireless acoustic sensor network records audio signals with sampling time and sampling rate offsets between the audio streams, if the analog-digital converters (ADCs) of the network devices are not synchronized. Here, we introduce a new sampling rate offset model to simulate time-varying sampling frequencies caused, for example, by temperature changes of ADC crystal oscillators, and propose an estimation algorithm to handle this dynamic aspect in combination with changing acoustic source positions. Furthermore, we show how estimates of the distances between microphones and human speakers can be used to determine the sampling time offsets. This enables a synchronization of the audio streams to reflect the physical time differences of flight.

Index Terms— Synchronization, time-varying sampling rate offset, sampling time offset

1. INTRODUCTION

Sampling rate offset (SRO) and sampling time offset (STO) estimation have received a lot of interest in recent years [1–6]. In particular, in the context of wireless acoustic sensor networks (WASNs) these topics are important, because for many signal processing tasks, such as acoustic beamforming [7], the audio signals must be synchronized.

SROs are caused by the differences in the actual frequencies of the oscillators driving the analog-digital converters (ADCs) of the distributed devices despite their equal nominal frequencies [8]. The author of [9] showed that typical SRO values range between $-500$ parts per million (ppm) and 400 ppm from the nominal frequency for handheld devices, such as smartphones. STOs arise due to the fact that the devices start recording at different moments in time.

The vast majority of works on SRO and STO estimation from the recorded audio signals are conducted under the assumptions of constant SROs and fixed positions of sources and sensors, which, however, are unrealistic for many practical scenarios. The frequency of a crystal oscillator is not only determined by the crystal’s shape and the technique utilized to cut it, but is also influenced by a variety of environmental factors, such as aging, temperature, humidity and supply voltage [10]. While constant or slowly changing factors, like aging, are sufficiently accurately modeled by assuming a constant SRO, temperature and supply voltage can change more rapidly and challenge the assumption of constant SROs [8].

As proposed in [5].

Likewise, the assumption of a fixed position of the acoustic source, that is made by most synchronization algorithms that estimate the SRO from the acoustic signals received by the devices, is not given in many application scenarios of a WASN. Consider, for example, a meeting where different participants, each speaking at a different position relative to the sensor network. Handling moving speakers would be even more complicated. Estimating an SRO from signals emitted by a moving source faces the challenging task of separating the SRO-induced delays from delays caused by source position changes. A reasonable simplification is to focus on time periods where the positions remain fixed and to skip transient phases, as proposed in [5].

In this contribution we attempt to overcome some of the above mentioned assumptions. First, we will model the time-varying SROs with an Ornstein-Uhlenbeck process [12] and devise an algorithm for SRO estimation. We discuss the necessary modifications to a previously proposed coherence drift based online algorithm [6] to deal with source position changes and time-varying SROs. Second, we consider STO estimation by separating time delay estimates into the contribution of the recording start times and the contribution from the time differences of flight (TDOFs) from the speakers’ positions to the sensors. The latter requires an estimate of the distance of the speaker to the microphones, which is obtained from a deep neural network (DNN) based distance estimator [13]. By discriminating these different contributions we arrive at an STO estimate that regards the physical TDOFs. This is important, e.g., if the location of the sensor nodes is to be estimated from time-difference of arrival (TDOA) estimates.

The paper is organized as follows: In Sec. 2 we discuss the impact of time-varying SROs on signals and show in Sec. 3 our modifications to handle dynamic scenarios with source position changes and time-varying SROs during SRO estimation. Subsequently, STO estimation is presented in Sec. 4. Our approach for modeling time-varying SROs is explained in Sec. 5, before we discuss the experiments in Sec. 6 and end with the conclusions drawn in Sec. 7.

2. PROBLEM STATEMENT

We consider a WASN consisting of two sensor nodes and an unknown source, that is made by most synchronization algorithms that estimate the SRO from the acoustic signals received by the devices, is not given in many application scenarios of a WASN. Consider, for example, a meeting where different participants, each speaking at a different position relative to the sensor network. Handling moving speakers would be even more complicated. Estimating an SRO from signals emitted by a moving source faces the challenging task of separating the SRO-induced delays from delays caused by source position changes. A reasonable simplification is to focus on time periods where the positions remain fixed and to skip transient phases, as proposed in [5].

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meeting with multiple participants sitting around a conference table with multiple microphone arrays in the middle.

To simplify the presentation, only the first microphone channel of each sensor node will be reflected in the notation. The continuous-time microphone signal of the $i$-th sensor node, with $i \in \{1, 2\}$, is given by

$$y_i(t) = \sum_{m=1}^{M} h^{(m)}_i(t) \ast x^{(m)}(t) + v_i(t),$$

with $x^{(m)}(t)$ being the source signal emitted at the $m$-th position and $v_i(t)$ representing white Gaussian sensor noise. $h^{(m)}_i(t)$ denotes the room impulse response (RIR) modeling the sound propagation from the $m$-th source position to the position of the $i$-th microphone.

Typically, the sampling processes of the microphone signals will start at different points in time resulting in the STO $T_i$. In addition to that, the sampling frequency of the $i$-th microphone $f_s[n] = (1 + \varepsilon_i[n]) \cdot f_s$ will slightly deviate from the nominal sampling frequency $f_s$. Here, $n$ denotes the discrete-time sample index and $\varepsilon_i[n]$ the time-varying SRO of the $i$-th microphone with $|\varepsilon_i[n]| \ll 1$. Sampling the $i$-th microphone signal results in the following discrete-time signal (with $1/(1 + x) \approx 1 - x$ if $|x| \ll 1$):

$$y_i[n] = y_i(T_i + \frac{n}{f_s} - 1 + \varepsilon_i[n]),$$

$$\approx y_i\left(\frac{n}{f_s} - \frac{T_i}{f_s} + \sum_{h=0}^{n-1} \varepsilon_i[h]\right).$$

Compared to the synchronous signal $y_i^{(sync)}[n]$ being sampled with the nominal sampling frequency $f_s$, $\varepsilon_i[n] = 0$ ppm and $T_i = 0$, $y_i[n]$ shows a shift of $\tau_i[n]$ samples.

Usually, the microphone signals are processed in a frame-oriented fashion, e.g., in the short-time Fourier transform (STFT) domain. If the shift $\tau_i[n]$ is much smaller than the STFT frame size $N$ the relation between the asynchronously sampled signal $y_i[n]$ and the synchronously sampled signal $y_i^{(sync)}[n]$ can be modeled in the STFT-domain as follows [3, 4, 14]:

$$Y_i(IB, k) \approx Y_i^{(sync)}(IB, k) \cdot \exp \left(- \frac{2\pi k f_s}{N} \tau_i[n]\right),$$

with frame index $l$, frequency bin index $k$ and frame shift $B$. In addition, the SRO is assumed to change slowly and is therefore approximated by $\varepsilon_i[l]$ during a STFT frame. Hence, the average shift of the $l$-th frame is given by

$$\tau_i[l] = -T_i \cdot f_s + \left(\frac{N}{2} \varepsilon_i[0] + \sum_{l=1}^{l} \varepsilon_i[l] \right) \cdot B.$$

Without loss of generality, the first microphone is selected as reference and the $m$-th position is regarded in the following. The task at hand can now be described as follows: Estimate the SRO $\varepsilon_{12}[l] \approx \varepsilon_1[l] - \varepsilon_2[l]$ and the STO $\tau_{12}^{STO} = (T_2 - T_1) \cdot f_s$. Furthermore, we wish to distinguish between the contribution of the STO $\tau_{12}^{STO}$ and the TDOF $\tau_{12}^{TDO} = d_{12}^{(m)} - d_{11}^{(m)} / c \cdot f_s$ to the overall signal shift with $c$ denoting the speed of sound and $d_{12}^{(m)}$ the distance between the $i$-th microphone and the source at the $m$-th position.

### 3. DYNAMIC WEIGHTED AVERAGE COHERENCE DRIFT

In this section, we briefly recapitulate the concept of our previously proposed online weighted average coherence drift (WACD) method from [6] and describe how it is adapted to the considered dynamic scenario, resulting in the dynamic weighted average coherence drift (DWACD) method.

Online WACD estimates the coherence $\Gamma_{12}^{\text{WACD}}(\ell B_s, k)$ segment-wise, where $\ell$ represents the segment index and $B_s$ the segment shift, and where a segment comprises several frames. Thereby, $\tau_{12}[\ell]$ corresponds to an adjustable shift of the segment taken from $y_2[n]$ that coarsely compensates for the SRO-induced signal shift [6]. The coherence is calculated from microphone signal segments of length $N_W$, where the $\ell$-th segment taken from the first microphone starts at $y_1[\ell B_s]$ and the $\ell$-th segment taken from the second microphone at $y_2[\ell B_s + \tau_{12}[\ell]]$. It is to be mentioned that the SRO is also estimated every $B_s$ samples.

As shown in [4, Eq. (23)] the coherence is given by:

$$\Gamma_{12}^{\text{WACD}}(\ell B_s, k) \approx H_{12}^{(m)}(k) \cdot W_{\text{SNR}}(\ell, k) \cdot \exp \left( j \frac{2\pi k}{N} \tau_{12}^{\text{CD}}[\ell] \right),$$

with $H_{12}^{(m)}(k) = H_1^{(m)}(k) \cdot H_2^{(m)}(k)^*$ and $W_{\text{SNR}}(\ell, k)$ being a weight depending on the signal-to-noise ratio (SNR) of the time-frequency bins. $\tau_{12}^{\text{CD}}[\ell]$ corresponds to the average shift of the $\ell$-th segment:

$$\tau_{12}^{\text{CD}}[\ell] = -\tau_{12}^{\text{STO}}[\ell] + \left( \frac{N_W}{2} \varepsilon_{12}[0] + \sum_{l=1}^{L} \varepsilon_{12}[l] \right) \cdot B_s.$$

The SRO is estimated based on the complex conjugated product of consecutive coherence functions with a temporal distance of $\ell_d B_s$ samples:

$$P_C(\ell, k) = \Gamma_{12}^{\text{WACD}}(\ell B_s, k) \cdot \left( \frac{\tau_{12}^{\text{CD}}[\ell]}{(\ell - \ell_d) B_s, k} \right)^*.$$

Taking into account that the SRO changes only slowly, the SRO can be approximated by $\varepsilon_{12}[l]$ in the interval $[(\ell - \ell_d) B_s, \ell B_s]$ resulting in (see [4, Eq. (14)])

$$P_C(\ell, k) \approx W(\ell, k) \cdot \exp \left( j \frac{2\pi k}{N} \ell_d B_s \varepsilon_{12}[l] \right),$$

whereby $W(\ell, k) = \left| H_{12}^{(m)}(k) \right|^2 \cdot W_{\text{SNR}}(\ell, k) \cdot W_{\text{SNR}}(\ell - \ell_d, k)$ corresponds to an SNR-related weight.

The DWACD method which is presented in the following utilizes a temporally averaged version of the complex conjugated product of consecutive coherence functions $P_C(\ell, k)$ to estimate the SRO:

$$P_{\text{WACD}}(\ell, k) = \alpha \cdot P_{\text{WACD}}(\ell - 1, k) + (1 - \alpha) \cdot P_C(\ell, k),$$

with $P_{\text{WACD}}(-1, k) = 0$ as initial value and $\alpha$ being a smoothing factor close to 1. In contrast to the online WACD method, the DWACD method uses a temporal weighting by the autoregressive smoothing to be able to adapt to fast SRO changes by giving more recent estimates $P_C(\ell, k)$ a larger weight. Using an energy-based sound activity detection (SAD), the weighted average coherence product is only updated if a source is active in all signal segments needed to calculate $\Gamma_{12}^{\text{WACD}}(\ell B_s, k)$ and $\Gamma_{12}^{\text{WACD}}((\ell - \ell_d) B_s, k)$.

A crucial assumption for coherence drift based SRO estimation is that the weight $W(\ell, k)$ does not contribute to the phase of $P_C(\ell, k)$ [4], which in consequence means that the source position has to be constant during the interval used to compute $P_C(\ell, k)$. Thus, in a scenario with changing source positions, the DWACD method has to use segments with smaller lengths $N_W$ and smaller time interval $\ell_d$ between successive coherence functions to avoid or to at least reduce the probability of a speaker change in the estimation interval.

Moreover, the SRO would have to be zero or at least close to zero for the phase of the weight $W(\ell, k)$ to be close to zero [4]. The online WACD method does not specifically address this issue while
the offline WACD method as proposed in [4] utilizes a multi-stage approach with resampling to solve it. The DWACD method takes the SRO estimate of the previous segment $\hat{\tau}_{12}[\ell-1]$ to resample the segment of $y_2[n]$ which is currently used for coherence estimation. This resampling is realized by a multiplication of the $\kappa$-th STFT-frame of the Welch method used for coherence estimation with the phase term $\exp\left(j \frac{2\pi\kappa}{M}\hat{\tau}_{12}[\ell-1]\right)$.

The online WACD method estimates the SRO from the phase of $P_{WACD}(\ell,k)$, which suffers from the $2\pi$-periodicity of the phase and outlier time-frequency bins, i.e., bins with exceptionally large phase errors. Such outlier time-frequency bins occur more often in the multi-position scenario where shorter averaging periods, shorter segments and shorter temporal distances $\ell_d$ have to be used to calculate $P_{WACD}(\ell,k)$. Interpreting $P_{WACD}(\ell,k)$ as a generalized cross power spectral density (GCPSD) [13] with SNR-based weights, DWACD estimates the SRO using the time lag $\lambda_{\text{max}}$ that maximizes the generalized cross-correlation (GCC) function $P_{WACD}(\ell,\lambda)$:

$$\hat{\tau}_{12}[\ell] = -\frac{1}{\ell_d B_{\kappa}} \cdot \lambda_{\text{max}} = -\frac{1}{\ell_d B_{\kappa}} \cdot \arg\max_{\lambda} P_{WACD}(\ell,\lambda),$$

with $P_{WACD}(\ell,\lambda) = \text{IFFT}\{P_{WACD}(\ell,k)\}$ as the $N$-point IFFT.

For an accurate SRO estimation a golden section search in the interval $[\lambda_{\text{max}}-0.5, \lambda_{\text{max}}+0.5]$ is used to find the non-integer time lag $\lambda \in R$ that maximizes $P_{WACD}(\ell,\lambda)$. Note that a settling time is introduced, i.e., the SRO is only estimated for $\ell \geq 40$, to guarantee that $P_{WACD}(\ell,k)$ from (9) is settled. As discussed in [6], a coarse synchronization of audio streams is necessary for accurate SRO estimation. Consequently, the integer offset between the microphone signals is determined during the first $20s$ of source activity using a cross-correlation, and subsequently compensated before applying DWACD. We propose to use the following parameters: STFT using a Blackman window; $B=2^{11}$; $B_y=2^{11}$; $N_W=2^{11}$; $\ell_d=4$, $\alpha = 0.95$. 

## 4. SAMPLING TIME OFFSET ESTIMATION

In this section a method for STO estimation is proposed that utilizes the SRO compensated signal of $y_2[n]$. The remaining unknown shift between the microphone signals for the $m$-th source position is given by (see (4) with an additional shift corresponding to the TDOF)

$$\tau_{12} = \tau_{12}^{\text{sto}} - \tau_{12} = \frac{d_2^{(m)} - d_1^{(m)}}{c} \cdot f_s - \hat{\tau}_{12}^{\text{sto}}.$$  (11)

The shift $\tau_{12}$ and the distances $d_1^{(m)}$ and $d_2^{(m)}$ needed for STO estimation are segment-wisely estimated with a segment length of $2^{13}$ samples and a segment shift of $2^{13}$ samples. The generalized cross-correlation with phase transform (GCC-PhaT) algorithm is used to gather estimates $\hat{\tau}_{12}[\ell]$ for the remaining signal shift. Furthermore, the DNN-based distance estimator [13] with coherent-to-diffuse power ratio (CDR) and STFT as input features is used to estimate the distances $d_1^{(m)}[\ell]$ and $d_2^{(m)}[\ell]$ of the source to the two sensor nodes.

Based on these estimates, a least squares (LS) problem minimizing the error $\sum_{\ell=0}^{L-1} (\hat{\tau}_{12}^{\text{sto}} - (d_2^{(m)}[\ell] - d_1^{(m)}[\ell])/c \cdot f_s + \hat{\tau}_{12}[\ell])^2$ is solved for STO estimation. Hereby, $L$ is the number of considered segments. Segments without source activity are excluded from the LS problem using an energy-based SAD. The LS problem leads to the following STO estimate:

$$\hat{\tau}_{12}^{\text{sto}} = \frac{1}{L} \sum_{\ell=0}^{L} \left(\frac{d_2^{(m)}[\ell] - d_1^{(m)}[\ell]}{c} \cdot f_s - \hat{\tau}_{12}[\ell]\right).$$  (12)

Due to the fact that the shift estimates $\hat{\tau}_{12}[\ell]$ as well as the distance estimates $d_1^{(m)}[\ell]^{\text{sto}}$ and $d_2^{(m)}[\ell]^{\text{sto}}$ may exhibit large errors (see [16] for an exemplary distribution of the distance estimation error) we embed the LS solver in a random sample consensus (RANSAC) [17] method to remove outliers from the estimation.

## 5. TIME-VARYING SRO MODEL

In the experiments we model fluctuations of the SRO by an Ornstein-Uhlenbeck process [12]. This process is flexible enough to model both initial transients in SRO, e.g., caused by warm-up after switching on the device, and steady-state fluctuations, e.g., due to changing workload of the processor. The Ornstein-Uhlenbeck process is implemented as an auto-regressive process using the discrete-time Euler-Maruyama approximation:

$$\varepsilon[\ell] = \varepsilon[\ell-1] + \theta \cdot (\mu_{\infty} - \varepsilon[\ell-1]) + \xi[\ell],$$  (13)

with smoothing factor $0 < \theta < 1$, $\xi[\ell] \sim \mathcal{N}(0; \sigma^2_{\xi})$ drawn from a zero-mean Gaussian distribution with variance $\sigma^2_{\xi}$ and $\mu_{\infty}$ being the mean SRO value reached after all transient effects have died out. In the experiments we set $\theta=0.001$ and $\sigma_{\xi}=0.05$ ppm resulting in a steady state standard deviation of the SRO of 1.25 ppm and limit the SRO range for $\mu_{\infty}$ to $\pm 100$ ppm, which excludes the extreme values reported in [9]. The start value is chosen to be $\varepsilon[0] = \mu_{\infty} + \Delta_{\text{start}}$ in the range $\pm 10$ ppm. Fig. 1 shows two exemplary SRO trajectories: The left plot displays a transient example simulating a device with a temperature change and the right example showcases a device in a steady state.

Note, if the circuit driving the ADC is using a temperature compensated crystal oscillator (TXCO) the temperature dependent transient effect is marginalized ($\varepsilon[0] := \mu_{\infty}$) while the other influences remain [18], e.g., the SiTS156 achieves a temperature stability of $\pm 0.5$ ppm and has an overall stability of $\pm 2.5$ ppm.

## 6. EXPERIMENTS

On a simulated data set, we evaluate the presented STO estimator and the DWACD approach, comparing the latter with two state-of-the-art online SRO estimators. The data is based on the RIR data set (reverberation time $T_{50}=300$ ms) utilized for geometry calibration in [16]. Using this data set 100 WASNs with four nodes were simulated whereby the first node was used as reference node for SRO and STO estimation. The sensor nodes start recording with an STO in the range $\pm 1s$. The nominal sampling frequency is $f_s=16kHz$. Each recording is 5 min long and contains a random number of positions $M$ at which up to 4 utterances from the TIMIT data base [19] are used as source signals. Speech pauses with a length between 0.5 s and 2 s are optionally added during the source position changes. For the generation of signals with time-varying SROs, which were modeled as described in Sec. 5, the STFT-resampling method from [20] was

<1Source code is available at https://github.com/fgnt/paderwasn>
Table 1: Average and maximum RMSE of the SRO estimates and the resulting RMSE of the SRO-induced delay estimates $\tau_{12}$

| Scenario | $\varepsilon_{12}$ | Method                  | avg. RMSE $\varepsilon_{12}$ / ppm | avg. RMSE $\tau_{12}$ / samples | max. RMSE $\tau_{12}$ / samples |
|----------|-----------------|-------------------------|-----------------------------------|---------------------------------|---------------------------------|
| -        |                 | Online WACD             | 0.21                              | 0.14                            | 0.50                            |
|          |                 | DXCP-PhaT               | 0.15                              | 0.36                            | 0.68                            |
|          |                 | DXCP-PhaT$_8$           | 0.69                              | 1.73                            | 3.65                            |
|          |                 | DWACD                   | 0.40                              | 0.15                            | 0.50                            |
| -        |                 | Online WACD             | 0.63                              | 0.73                            | 2.09                            |
|          |                 | DXCP-PhaT               | 0.66                              | 0.97                            | 2.73                            |
|          |                 | DXCP-PhaT$_8$           | 0.95                              | 1.83                            | 4.66                            |
|          |                 | DWACD                   | 0.51                              | 0.27                            | 1.04                            |
| -        |                 | Online WACD             | 2.98                              | 6.04                            | 21.00                           |
|          |                 | DXCP-PhaT               | 28.96                             | 21.84                           | 161.54                          |
|          |                 | DXCP-PhaT$_8$           | 1.31                              | 2.70                            | 7.76                            |
|          |                 | DWACD                   | 0.57                              | 0.32                            | 1.20                            |
| -        |                 | Online WACD             | 2.80                              | 3.25                            | 10.96                           |
|          |                 | DXCP-PhaT               | 22.42                             | 16.61                           | 160.49                          |
|          |                 | DXCP-PhaT$_8$           | 1.28                              | 2.81                            | 6.93                            |
|          |                 | DWACD                   | 0.64                              | 0.32                            | 1.10                            |

Table 2: Dependency of the average RMSE / ppm of the SRO estimates on the standard deviation $\sigma_{\varepsilon}$ of $\varepsilon_{12}[\ell]$ for Scenario-2

| $\sigma_{\varepsilon}$ / ppm | Online WACD | DXCP-PhaT | DWACD |
|------------------------------|-------------|-----------|-------|
| 0 - 1                        | 0.55        | 0.54      | 0.49  |
| 1 - 2                        | 0.60        | 0.61      | 0.51  |
| 2 - 3                        | 0.63        | 0.69      | 0.51  |
| 3 - 4                        | 0.71        | 0.80      | 0.54  |

Fig. 2: Influence of the signal length on the STO estimation error for Scenario-3

on the same level as for a fixed source position. It is evident, that position changes are more detrimental to the estimation performance than a time-varying SRO. The large errors reported for DXCP-PhaT stem from recordings where in most cases the secondary GCPSD function is calculated from frames corresponding to two different source positions. In contrast to Scenario-3, there are no speech pauses in Scenario-4, when the speaker position changes. Although DWACD can no longer use the SAD to skip segments during position changes it shows only a small performance degradation.

The dependency of the performance of the different SRO estimators on the standard deviation $\sigma_{\varepsilon}$ of the ground truth SRO process is presented in Tab. 2. For the DWACD method the SRO error is nearly constant despite the growing standard deviation $\sigma_{\varepsilon}$. In contrast, for the online WACD method and DXCP-PhaT the SRO estimation error is increasing with $\sigma_{\varepsilon}$.

In Fig. 2 the distribution of the absolute STO error is presented for different signal lengths used for STO estimation. As expected, the STO error gets smaller with growing signal lengths. In most cases, a 1 min long signal is sufficient to achieve an STO error smaller than 10 samples. However there are some outliers. These outliers disappear for signals which are at least 4 min long.

7. CONCLUSIONS

In this paper, we present methods for SRO and STO estimation in scenarios with time-varying SROs and source position changes. The former is caused by the time-varying deviation of the sampling frequencies from the nominal sampling frequency, e.g., due to temperature and supply voltage changes, and is modeled as an Ornstein-Uhlenbeck process, while the latter is typical of a meeting scenario with multiple speakers engaged in a communication. It is shown that previously proposed online SRO estimators fail to properly handle the considered dynamic scenario, being particularly vulnerable to source position changes. The presented DWACD algorithm which results from modifying our previously proposed online WACD method handles these aspects by utilizing shorter signal segments for coherence drift estimation in combination with a more robust GCC-based method to estimate the SRO from a temporally weighted average coherence drift. Furthermore, an STO estimation method is presented which uses source-microphone distance estimates to separate the influence of the STO from the TDOFs. Thus, the STO can be removed, while at the same time regarding the geometric arrangement of the WASN.

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