Prospective Study

Proposed criteria to differentiate heterogeneous eosinophilic gastrointestinal disorders of the esophagus, including eosinophilic esophageal myositis

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Abstract

AIM
To define clinical criteria to differentiate eosinophilic gastrointestinal disorder (EoGD) in the esophagus.

METHODS
Our criteria were defined based on the analyses of the clinical presentation of eosinophilic esophagitis (EoE), subepithelial eosinophilic esophagitis (sEoE) and eosinophilic esophageal myositis (EoEM), identified by endoscopy, manometry and serum immunoglobulin E levels (s-IgE), in combination with histological and polymerase chain reaction analyses on esophageal tissue samples.

RESULTS
In five patients with EoE, endoscopy revealed longitudinal furrows and white plaques in all, and fixed rings in two. In one patient with sEoE and four with EoEM, endoscopy showed luminal compression only. Using manometry, failed peristalsis was observed in patients with EoE and sEoE with some variation, while EoEM was associated with hypercontractile or hypertensive
Eosinophilic esophagitis; Eosinophilic esophageal myositis; Peroral endoscopic myotomy; Achalasia; Peroral esophageal muscle biopsy

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Core tip: Eosinophilic esophagitis has long been considered as the only eosinophilic gastrointestinal disorder (EoGD) in the esophagus. However, eosinophilic esophageal myositis, characterized by eosinophilic symptoms and eosinophilic infiltration in the esophageal muscle layer, has been identified using peroral esophageal muscle biopsy. Combining clinical and histological data, we have defined clinical criteria to differentiate EoGDs in the esophagus.

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INTRODUCTION

Eosinophilic esophagitis (EoE) is an allergic disorder characterized by esophageal dysfunction and histological “esophageal eosinophilia”, where eosinophilia is defined by a peak number of eosinophils per high-power field (eos/hpf) ≥ 15 in tissue samples obtained by conventional biopsy[1]. “Esophageal eosinophilia” is used to describe the histological finding of increased “epithelial” eosinophil infiltration, meaning that EoE is an epithelial eosinophilic disease. In their study of full-thickness EoE specimens, Rieder et al[2] confirmed the highest density in EoE to be in the epithelium, but with additional distribution of EoE in the submucosa, muscle layer and adventitia. Clinically, proton pump inhibitor-responsive esophageal eosinophilia (PPI-REE) and secondary causes of eosinophilia, such as parasitic infection or gastro-oesophageal reflux disease, are excluded from the definition of EoE[3]. However, a subtype of EoE, with esophageal symptoms and subepithelial eosinophilia (SE) observed in the lamina propria and muscularis mucosa in esophageal samples obtained by conventional biopsy, has also been reported recently[4], and termed “subepithelial eosinophilic esophagitis (sEoE)”. Using peroral esophageal muscle biopsy (POEM-b), we have also previously reported an eosinophilic infiltration in the esophageal muscle layer[5-7]. However, as this eosinophilic infiltration of the esophageal muscle layer was not identifiable using conventional biopsy, it cannot be defined as EoE. The term “eosinophilic esophageal myositis (EoEM)” has been introduced to distinguish this eosinophilic infiltration of the esophageal muscle layer from EoE and sEoE. Therefore, although EoE had previously been considered as a single eosinophilic gastrointestinal disorder (EoGD) of the esophagus, heterogeneity in the depth of eosinophil involvement has been suggested as an important clinical variable for diagnosis. However, clinical criteria for differentiating between EoE, sEoE and EoEM have not yet been established. Therefore, the aim of our study was to perform a detailed analysis of clinical data from endoscopy, manometry, laboratory tests, histological examination, and gene expression analyses to identify etiological differences between EoE, sEoE and EoEM as to establish clinical criteria to differentiate between these disorders.

MATERIALS AND METHODS

Statement of ethics

Our study was conducted as part a larger study registered with the UMIN Clinical Trials Registry (UMIN 000018685). The data were obtained from patients evaluated at the Niigata University Medical and Dental Hospital, which is a tertiary referral center in Japan. The present study was approved by our Institutional Review Board (No. 2416) and carried out in accordance with the Declaration of Helsinki. Written informed consent was obtained from all patients prior to the start of the study.

Patients

Patients with symptomatic esophageal eosinophilia within any layer of the esophagus (epithelium, subepithelium, from the lamina propria to the submucosa, or muscularis propria) were recruited. A PPI trial was first performed for all patients and, subsequently, patients with PPI-REE were excluded from the study. The diagnosis of EoE was based on the American College of Gastroenterology (ACG) clinical guideline...
of a peak eos/hpf value $\geq 15$ in epithelium obtained by mucosal biopsy$^{[11]}$. Applying the ACG guidelines, we also used a peak eos/hpf value $\geq 15$ to define subepithelial and muscle-layer eosinophil inflammation. SE (eosinophilia extending from the lamina propria to the submucosa) was diagnosed by conventional biopsy, with esophageal symptoms classified as sEoE, in contrast to EoE, which was identified by eosinophilia principally in the epithelium. Symptomatic eosinophilia in the muscle-layer of the esophagus was defined as EoEM (Figure 1 and Table 1).

**Endoscopy and high-resolution manometry**

Endoscopy was performed using a digital high-resolution endoscope (H260Z, Olympus, Tokyo, Japan). Longitudinal furrows, white plaques, fixed rings, and compression of the lumen in the esophagus were assessed. Longitudinal furrows, white plaques and fixed rings have previously been reported as typical endoscopic findings of EoE$^{[8,9]}$, with luminal compression being the only previously reported endoscopic finding of EoEM$^{[5,6]}$.

Manometry was also performed in all patients using high-resolution manometry (HRM; Star Medical Co., Pte., Ltd., Tokyo, Japan), with patients in the supine position, performing 10 consecutive swallows of 5-mL of water. HRM results were evaluated using the Chicago classification criteria, version 3.0$^{[10]}$. A jackhammer esophagus (JE) was defined by hypercontractile peristalsis, with a distal contractile integral (DCI) $\geq 8000$ mmHg/s-cm. Failed peristalsis was diagnosed by a DCI $< 100$ mmHg/s-cm. It is important to note that the diagnosis of a nutcracker esophagus (NE) has been eliminated from version 3.0 of the Chicago classification criteria as the significance of using a DCI of 5000 to 8000 mmHg/s-cm to specifically differentiate NE was questioned. However, we maintained NE as a possible diagnosis, based on the Chicago classification criteria published in 2011$^{[11]}$ as patients with NE in our study had symptomatic esophageal eosinophilia.

**Histopathology**

Six conventional esophageal mucosal biopsies were performed in each case to increase the detection rate of mucosal eosinophilia. Large biopsy forceps (Radial Jaw 4 Biopsy Forceps, Boston Scientific, Massachusetts, US) were used to obtain a sufficient amount of epithelium with subepithelium.

Cases 7 through 10 had no visible eosinophils on conventional biopsy, but a NE/JE was observed by HRM. POEM was determined as the best therapeutic option to resolve the hypertensive/hypercontractile peristalsis$^{[12]}$, and muscle specimens were obtained by POEM-b. For
cases 9 and 10, although JE was visible, no eosinophils were identified in any of the six esophageal mucosal biopsies obtained, and a mucosal entry site for POEM/POEM-b was created using cap-fitted endoscopic mucosal resection (cEMR) to allow the full-layer of the mucosa, along with the submucosa, for analysis (Figure 1). Therefore, our histological analysis included mucosal specimens obtained by conventional biopsies for cases 1-10 and by cEMR for cases 9-10, and muscle specimens obtained by POEM-b for cases 7-10.

The maximum number of eosinophils (eos/hpf) was counted separately in the epithelium, subepithelium and muscle layer for each of the 10 cases. The mucosal histology was also assessed to identify: dilated intercellular spaces, downward papillae elongation and basal cell layer destruction. Dilated intercellular spaces and downward papillae elongation have previously been reported in cases of EoE\(^{[13,14]}\). Upward papillae elongation, which can often occur along with the presence of balloon cells in cases of reflux esophagitis and is considered a non-specific histological finding, was excluded from our analysis\(^{[15]}\). POEM-b/cEMR specimens obtained from 5 patients with achalasia (4 males; mean age 44.2 ± 9.6 years) were used as controls for eosinophil counts in our histological assessments and mRNA expression analyses (see below).

**Real-time quantitative reverse transcription polymerase chain reaction in esophageal mucosal and muscle layer samples**

In a previous study of EoE, a genome-wide association study was used to identify the significant locus at 2p23 susceptible of encoding Calpain14 (CAPN14) and chr5q22, which mapped to a single LD block encompassing the thymic stromal lymphopoietin (TSLP) and WDR36 genes\(^{[16,17]}\). CAPN14 is specifically induced in the esophageal epithelium after IL-13 treatment and leads to increasingly dilated intracellular spaces in the epithelium\(^{[18]}\). CAPN14 also disrupts the expression of desmoglein-1 (DSG1: barrier molecule), which triggers the entry of antigens into the esophageal epithelium. TSLP is a protein of the cytokine family and is known to promote allergic inflammation by activating dendritic cells, inducing Th2 cell responses, supporting immunoglobulin E (IgE) production, and increasing the population of phenotypically and functionally distinct basophils\(^{[19]}\). A set of candidate genes for eosinophil chemotaxis, including eotaxin-3 and DSG1 has also been identified by transcriptome analysis\(^{[20]}\). C-C chemokine receptor type-3 (CCR3), which is expressed on the surface of eosinophils, mast cells and basophils, is the chemokine receptor for eotaxin\(^{[21-23]}\), with an elevated expression of eotaxin-3 having been reported in EoE\(^{[24]}\). Moreover, Th2 cells are thought to be central regulators of the hallmark features of eosinophilic diseases via their influence over Th2 cytokines, such as IL-5 and IL-13\(^{[25-28]}\).

Based on the above, real-time quantitative reverse transcription polymerase chain reaction (real-time qRT-PCR) analyses were performed on the samples obtained by conventional biopsy, cEMR and POEM-b. Total RNA was extracted using Trizol (Invitrogen, California, CA, United States), according to the standard protocol. Thereafter, cDNA was amplified using the ABI 7700 sequence-detector system (Applied Biosystems, Foster City), with a set of primers and probes corresponding to CAPN14, TSLP, Eotaxin-3, DSG1, CCR3, IL-5, IL13, and glyceraldehyde-3-phosphate dehydrogenase (GAPDH). The levels of mRNA expression were normalized to a housekeeping gene, such as GAPDH: CAPN14/GAPDH, TSLP/GAPDH, and Eotaxin-3/GAPDH. Finally, the ratio of the mRNA expression relative to mRNA expression in the control tissues was calculated, with the median value of control converted to 1.

**Statistical analysis**

Relevant demographic patient variables and histological findings (continuous variables) were expressed by their mean ± SD values. Levels of mRNA were expressed as the mean of EoE and EoEM, respectively, relative to the control. Levels of mRNA within the range of the control samples were deemed to be within normal limits. Abnormal increases in mRNA expression of CAPN14, TSLP, Eotaxin-3, CCR3, IL-5, and IL-13 were defined by a relative ratio exceeding the maximum value of the control samples, while a decrease in the expression of DSG1 was defined by a relative ratio lower than the minimum value of the control.

**RESULTS**

Our analyses included the data from 10 patients who underwent assessment for esophageal EoGDs over our study period, from July 2014 through September 2016. Among these 10 patients, 5 were diagnosed with EoE, 1 with sEoE and 4 with EoEM (Table 1 and Figure 1).

**Differences in endoscopy and manometry findings, as well as serum IgE levels, between patients with EoE, sEoE and EoEM**

Longitudinal furrows and white plaques were identified in all patients with EoE (cases 1-5), with a fixed ring visible in 2 of these 5 cases (Figure 2A). For patients with sEoE and EoEM (cases 5-10), only a luminal compression was observed, with no visible evidence of longitudinal furrows, white plaques or fixed rings (Figure 3A and Figure 4A).

HRM results in patients with EoE (cases 1-5) were variable, but with failed peristalsis observed in 4 of these 5 cases (Figure 2A, insert), with findings being within normal limits for the remaining case. The patient with sEoE (case 6) presented with failed peristalsis (Figure 3A, insert) and elevated s-IgE level (678.0
IU/mL, normal range ≤ 173 IU/mL). It is important to note that levels of s-IgE did vary, overall, among cases of EoE. Patients with EoEM (cases 7-10) demonstrated either hypercontractile or hypertensive contractions in the esophagus (JE: 3; Figure 4A, insert; NE: 1), with elevated s-IgE levels (324.8 ± 145.9 IU/mL).

**Histological differentiation between EoE, sEoE and EoEM**

The eos/hpf ratio values were as follows: EoE (cases 1-5), 41.4 ± 7.9 in the epithelium and 2.3 ± 1.5 in the subepithelium, identified by conventional biopsy, noting that the data for the subepithelium in case 4 were excluded because the subepithelial specimens were insufficient; sEoE (case 6), 35 in the subepithelium and 3 in the epithelium, identified by conventional biopsy; and EoEM (cases 7-10), no visible eosinophils in the esophageal epithelium and 10.7 ± 11.7 in the subepithelium (cases 7, 9 and 10), noting that the data in the subepithelium for case 8 were excluded because the subepithelial specimen obtained using conventional biopsy was insufficient. An eos/hpf ratio of 46.8 ± 16.5 was identified in tissue samples obtained from the esophageal muscle layer by POEM-b (cases 7-10). Tissue samples from control subjects were essentially devoid of eosinophils in the epithelium and muscle layer, with a few eosinophils visible in the subepithelium (4.0 ± 2.5).

Dilated intercellular spaces and downward papillae elongation were identified in the mucosal samples from all EoE patients (cases 1-5; Figure 2B). Basal cell layer destruction was visible in the case of sEoE (Figure 3B) and in all EoEM cases (cases 7-10; Figure 4B). Dilated intercellular spaces and downward papillae elongation were not visible in any cases of sEoE and EoEM.

**The characteristic mRNA expression pattern of EoE was not observed in EoEM**

The esophageal mucosal biopsy samples from EoE cases (cases 1, 3, 4 and 5), in addition to the cEMR samples from EoEM cases (cases 9 and 10, both of which included rich subepithelium tissue), were sent for...
mRNA expression analyses. EoE was associated with the following fold-increase in level of expression: CAPN14, 9.9-fold; eotaxin-3, 529.2-fold; CCR3, 16.3-fold; IL-5, 160.9-fold; and IL-13, 131.0-fold. No increase in the expression of TSLP was identified in these cases (2.0-fold higher values compared to the control), while there was a decrease in the expression of DSG1 (0.69-fold).

In contrast, in EoEM, the expression levels of CAPN14, TSLP, eotaxin-3, CCR3, IL-5, and IL-13 were equal to those in controls (1.07, 2.0, 0.96, 0.79, 4.93, and 0.00-fold increases, respectively), and the expression of DSG1 was highly preserved (DSG1: 11.0-fold) (Figure 5A).

Tissue samples of the esophageal muscle-layer obtained by POEM-b in patients with EoEM were also analyzed for mRNA expression, with the following increases noted: eotaxin-3, 6.44-fold; and CCR3, 18.7-fold. Levels of TSLP, IL-5 and IL-13 (2.79, 0.00, and 0.25-fold, respectively) were within control values (Figure 5B).

**DISCUSSION**

In this study, we performed histological and gene expression analyses on a case series of EoEM, and compared those with cases of EoE and sEoE. Eosinophilic gastroenteritis (EoGE) is an EoGD characterized by eosinophilia in the stomach, small intestine or large colon, and is sometimes complicated with EoE. Heterogeneity in the depth of eosinophil involvement of the different layers of the gastrointestinal tract, including the mucosal, muscle and serous layers, has been reported in patients with EoGE[29]. In the esophagus, this heterogeneity in the depth of involvement, however, had not previously been characterized due to the difficulty in obtaining tissues samples with sufficient subepithelium, together with epithelium using conventional biopsy, due to the thickness of the stratified squamous epithelium. Furthermore, histological analyses of the esophageal muscle layer are technically difficult and invasive. In contrast, muscle layer and serous-type of EoGE, show ascites that allow for diagnosis by computed tomography and ascites puncture. To our knowledge, our study is the first to demonstrate heterogeneity in the depth of eosinophil involvement in the esophagus using a combination of conventional mucosal biopsy, cEMR, and POEM-b.

In all 5 cases of EoE, longitudinal furrows and white plaques were visible, with fixed rings observable in 2 of these 5 cases. These endoscopic findings are characteristic of EoE, although they are not
highly sensitive for diagnosing the disease\textsuperscript{[9]}. These distinctive endoscopic features of EoE are reflected as dilated epithelial intercellular spaces and/or downward papillae elongation histologically. In EoE, epithelial inflammation and subsequent fibrosis lead to peristalsis disturbances\textsuperscript{[30]}. Our HRM results in fact did identify failed peristalsis as the main finding in EoE. However, there was variability in this finding with one patient identified in whom peristalsis was deemed to be within normal limits. In patients with EoE, an allergic response to the allergen stimulated esophageal epithelial cells to produce eotaxin-3, which recruits eosinophils via the CCR3 receptor. In these patients, Th2 cytokines as IL-5 and IL-13 are also overexpressed and induce the loss of barrier integrity in epithelial cells. This process is mediated, in part, by a reduction in the expression of DSG1 and an increase in the expression of epithelial CAPN14, which leads to increasingly dilated intercellular spaces. The results of our mRNA analysis correspond to a previously reported hypothesis on this matter\textsuperscript{[26]}.

In our one case of sEoE (case 6), conventional endoscopy did not reveal any of the characteristic findings of EoE, including longitudinal furrows, white plaques, and fixed rings. In this case, elevated levels of s-IgE and failed peristalsis, as confirmed by HRM, suggested that other esophageal EoGDs could be involved and that conventional biopsy, targeting SE, could be used to diagnose sEoE. Epithelial histology did not reveal any dilation of the intercellular spaces or downward papillae elongation in this case. Luminal compression observed on endoscopy was likely caused by subepithelial inflammation secondary to eosinophil infiltration. Subepithelial inflammation may also trigger the destruction of the basal cell layer and lead to upward papillae elongation, but not a downward papillae elongation. Heterogeneity in endoscopic and histological findings has previously been reported in cases of EoE\textsuperscript{[30]}. In fact, cases with "non-EoE-like endoscopic and histological findings" are more likely to represent sEoE than EoE. A lower degree of epithelial eosinophilia, but with a similar clinical course to EoE has also been reported\textsuperscript{[30]}. In our case series, the pathological mechanism of sEoE in case 6 was suspected to be somewhat different from that of EoE based on the endoscopic and histological findings.

In cases of EoEM, a luminal compression was only identified in cases of sEoE, in contrast to the findings
Patients with esophageal symptoms receive endoscopy.

Other causes of esophageal eosinophilia should be excluded.

Endoscopy

(+) longitudinal furrows, white plaques, fixed rings, compressed lumen)

Eosinophilia in the epithelium (≥ 15 eos/hpf)

Eosinophilia in the subepithelium

Mucosal biopsy

No eosinophilia in the epithelium/subepithelium

Manometry

s-IgE

POEM-b

EUS-FNA

Eosinophilia in the muscle layer

Figure 6  Proposed diagnostic criteria for eosinophilic gastrointestinal disorders in the esophagus. Patients with esophageal symptoms receive endoscopy. Mucosal biopsy should include a sufficient amount of subepithelium together with epithelium to allow subepithelial eosinophilia to be identified. If no or low degree of eosinophilia is seen in the epithelium/subepithelium but manometry shows abnormal peristalsis and elevated serum immunoglobulin E (s-IgE) level, peroral esophageal muscle biopsy (POEM-b) or endoscopic ultrasound-guided fine needle aspiration (EUS-FNA) is recommended to detect eosinophilia in deeper layers.

Our results confirm that EoE, sEoE and EoEM can be clinically distinguished using the combination of endoscopy, manometry and laboratory tests outlined in Figure 6. Prior to proceeding to distinguish between these EoGDs of the esophagus, all other causes of esophageal symptoms should be excluded. As examples, gastroparesis or chronic intestinal pseudo-obstruction trigger abdominal symptoms that often include the esophageal symptoms and abnormal endoscopic and manometric findings in the esophagus.

Luminal compression on endoscopy and failed peristalsis, as well as JE or NE on HRM, are not specific findings for sEoE or EoEM. Moreover, a past or present history of other allergy disorders can result in elevation of s-IgE and, therefore, a comprehensive clinical decision-making process is needed in such cases. Based on the premise outlined above, histological assessment by conventional biopsy is necessary to assess the full esophageal mucosal layer in patients with suspected EoGDs. Although we used large biopsy forceps for conventional biopsies in our study to obtain a sufficient volume of subepithelium tissue together with the epithelium, in some cases sufficient subepithelium still could not be obtained (cases 4 and 8). As well, several biopsies should be performed due to the patchy distribution of eosinophils in cases of EoE. Re-endoscopy with re-biopsy should also be considered if only a few epithelial eosinophils are identified in an insufficient volume of subepithelium. Diagnostic cEMR may be somewhat invasive for obtaining sufficient subepithelial tissue, and therefore, it was only performed in combination with POEM/POEM-b in our study. Endoscopic ultrasound-guided fine needle aspiration may be a good option for cases in which sEoE and EoEM are suspected.

There are several limitations in our study, which need to be acknowledged. Foremost, other disorders such as reflux esophagitis and achalasia are associated with low-grade SE, as shown in the tissue samples from patients in our control group. Therefore, a reliable cut-off number of eosinophils for the diagnosis of sEoE will need to be determined in future studies. mRNA analyses for cases of symptomatic achalasia were used as a control for two reasons. the first, tissue samples are obtained using the same POEM-b method. Second, tissue samples in achalasia do not show eosinophilia in the esophageal muscle layer. Non-symptomatic
individuals without any known esophageal disorders would provide a more appropriate control, although this would pose a difficult ethical problem. This was a small-size pilot study and further studies, including larger sample sizes, are needed to confirm our findings. In fact, we are continuing to collect data using our procedure outlined in Figure 6 with the aim of supplementing our case series in future reports. Future research should also specifically aim to include a larger number of patients with sEoE patients.

In conclusion, we propose clinical criteria for differentiating EoE, sEoE and EoEM, taking into account the histological heterogeneity in the depth of eosinophil involvement was observed among these disorders. Our findings predict a difference in the pathogenesis of these disorders, and further research will be required to fully elucidate these differences.

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