THE RADIAL VELOCITY EXPERIMENT (RAVE): THIRD DATA RELEASE

A. Siebert1, M. E. K. Williams2, A. Siviero2, W. Reid4, C. Boeche2, M. Steinmetz2, J. Fulbright5, U. Munari3, T. Zwitter5, F. G. Watson8, R. F. G. Wyse5, R. S. de Jong5, H. Enke5, B. Anguiano2, D. Burton9, C. J. P. Cass8, K. Fiegert8, M. Hartley8, A. Ritter8, K. S. Russel8, M. Stupar8, O. Bienaymé8, K. C. Freeman9, G. Gilmore10, E. K. Grebel11, J. F. Navarro13, J. Binney14, J. Bland-Hawthorn15, R. Campbell16, B. Famaey1, O. Gerhard17, B. K. Gibson18, G. Matijević6, Q. A. Parker4, G. M. Seabroke19, S. Sharma15, M. C. Smith20,21, and E. Wylie-de Boer9

1 Observatoire Astronomique de Strasbourg, Université de Strasbourg, CNRS, UMR 7550, 11 rue de l’université, 67000 Strasbourg, France
2 Leibniz-Institut für Astrophysik Potsdam (AIP), An der Sternwarte 16, D-14482 Potsdam, Germany
3 INAF Osservatorio Astronomico di Padova, 36012 Asiago (VI), Italy
4 Department of Physics and Astronomy, Faculty of Sciences, Macquarie University, NSW 2109, Sydney, Australia
5 Department of Physics and Astronomy, Johns Hopkins University, 366 Bloomberg Center, 3400 N. Charles St., Baltimore, MD 21218, USA
6 Faculty of Mathematics and Physics, University of Ljubljana, Jadranska 19, 1000 Ljubljana, Slovenia
7 Center of Excellence SPACE-SI, Alškeova cesta 12, 1000 Ljubljana, Slovenia
8 Australian Astronomical Observatory, P.O. Box 296, Epping, NSW 1710, Australia
9 Research School of Astronomy and Astrophysics, Australian National University, Cotter Rd., ACT, Canberra, Australia
10 Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge, CB3 OHA, UK
11 Astronomisches Rechen-Institut, Zentrum für Astronomie der Universität Heidelberg, Mönchhofstr. 12-14, D-69120 Heidelberg, Germany
12 Kapteyn Astronomical Institut, University of Groningen, Landleven 12, 9747 AD Groningen, The Netherlands
13 Department of Physics and Astronomy, University of Victoria, P.O. Box 3055, Victoria BC V8W 3P6, Canada
14 Rudolf Peierls Center for Theoretical Physics, University of Oxford, 1 Keble Road, Oxford OX1 3NP, UK
15 Sydney Institute for Astronomy, School of Physics A28, University of Sydney, NSW 2006, Australia
16 Department of Physics and Astronomy, Western Kentucky University, Bowling Green, Kentucky, KY 42101, USA
17 Max-Planck-Institut für Extraterrestrische Physik, Giessenbachstrasse, D-85748 Garching, Germany
18 Jeremiah Horrocks Institute, University of Central Lancashire, Preston PR1 2HE, UK
19 Mullard Space Science Laboratory, University College London, Holmbury St Mary, Dorking RH5 6NT, UK
20 Kavli Institute for Astronomy and Astrophysics, Peking University, Beijing, China
21 National Astronomical Observatories, Chinese Academy of Sciences, Beijing, China

ABSTRACT

We present the third data release of the RAdial Velocity Experiment (RAVE) which is the first milestone of the RAVE project, releasing the full pilot survey. The catalog contains 83,072 radial velocity measurements for 77,461 stars in the southern celestial hemisphere, as well as stellar parameters for 39,833 stars. This paper describes the content of the new release, the new processing pipeline, as well as an updated calibration for the metallicity based on the observation of additional standard stars. Spectra will be made available in a future release. The data release can be accessed via the RAVE Web site.

Key words: catalogs – stars: fundamental parameters – surveys

Online-only material: color figures

1. INTRODUCTION

A detailed understanding of the Milky Way, from its formation and subsequent evolution to its present-day structural characteristics, remains key to understanding the cosmic processes that shape galaxies. To achieve such a goal, one needs access to multi-dimensional phase space information, rather than restricted (projected) properties—for example, the three components of the positions and the three components of the velocity vectors for a given sample of stars. Until a decade ago, only the position on the sky and the proper-motion vector was known for most of the local stars. Thanks to ESA’s Hipparcos satellite (Perryman et al. 1997), the distance to more than 100,000 stars within a few hundred parsecs has been measured, allowing one to recover precise positions in the local volume (a sphere roughly 100 pc in radius centered on the Sun). However, the sixth dimension of the phase space was still missing until recently, when Nordström et al. (2004) and Famaey et al. (2005) released radial velocities for subsamples of, respectively, 14,000 dwarfs and 6000 giants from the Hipparcos catalog.

In recent years, with the availability of multi-object spectrometers mounted on large field-of-view telescopes, two projects aiming at measuring the missing dimension have been initiated: the RAdial Velocity Experiment (RAVE)22 and the Sloan Extension for Galactic Understanding and Exploration (SEGUE). SEGUE uses the Sloan Digital Sky Survey (SDSS) instrumentation and acquired spectra for 240,000 faint stars, 14 < g < 20.3, in 212 regions sampling three quarters of the sky. The moderate resolution spectrograph (R ~ 1800) combined with coverage of a large spectral domain (λλ = 3900–9000 Å) allows one to reach a radial velocity accuracy of σ g ~ 4 km s–1 at g ~ 18 and 15 km s–1 at g = 20 as well as an estimate of stellar atmospheric parameters. The SEGUE catalog was released as part of the SDSS-DR7 and is described in Yanny et al. (2009). Altogether, the SDSS-I and SDSS-II projects provide spectra for about 490,000 stars in the Milky Way. As of 2011 January, the SDSS Data Release 8 marks the first release of the SDSS-III survey (Eisenstein et al. 2011). This release (Aihara et al. 2011) provides 135,040 more spectra from the SEGUE-2 survey targeting stars in the Milky Way.

RAVE commenced observations in 2003 and has thus far released two catalogs: DR1 in 2006 and DR2 in 2008 (Steinmetz

---

22 http://www.rave-survey.org
et al. 2006; Zwitter et al. 2008; hereafter Papers I and II, respectively). The survey targets bright stars compared with SEGUE, $9 < I < 12$, in the southern celestial hemisphere, making the two surveys complementary. The RAVE catalogs contain, respectively, 25,000 and 50,000 measurements of radial velocities plus stellar parameter estimates for about half the catalog for DR2. RAVE uses the 6dF facility on the Anglo–Australian Observatory’s Schmidt telescope in Siding Spring, Australia. This instrument allows one to collect up to 150 spectra simultaneously at an effective resolution of $R = 7500$ in a 385 Å wide spectral interval around the near-infrared calcium triplet ($\lambda\lambda$8410–8795). The Ca II triplet being a strong feature, RAVE can measure radial velocities with a median precision of about 2 km s$^{-1}$.

RAVE is designed to study the signatures of hierarchical galaxy formation in the Milky Way and more specifically the origin of phase space structures in the disk and inner Galactic halo. Within this framework, Williams et al. (2011) discovered the Aquarius stream, while Seabroke et al. (2008) studied the net vertical flux of stars at the solar radius and showed that no dense streams with an orbit perpendicular to the Galactic plane exist in the solar neighborhood, supporting the revised orbit of the Sagittarius dwarf galaxy by Fellhauer et al. (2006). On the other hand, Klement et al. (2008) looked directly at stellar streams in DR1 within 500 pc of the Sun and identified a stream candidate on an extreme radial orbit (the KFR08 stream), in addition to three previously known phase space structures (see also Kiss et al. 2011 for an analysis of known moving groups). A later analysis of the DR2 catalog by the same authors, using the newly available stellar atmospheric parameters in the catalog, revised their detection of the KFR08 stream, the stream being now only marginally detected (Klement et al. 2011).

If RAVE is designed to look at cosmological signatures in the Milky Way, it is also well suited to address more general questions. For example, Smith et al. (2007) used the high-velocity stars in the RAVE catalog to revise the local escape speed, refining the estimate of the total mass of the Milky Way. Coşkunoğlu et al. (2011) used RAVE to revise the motion of the Sun with respect to the local standard of rest, while Siebert et al. (2008) measured the tilt of the velocity ellipsoid at 1 kpc below the Galactic plane. Veltz et al. (2008) combined RAVE, UCAC2, and 2MASS data toward the Galactic poles to revisit the thin–thick disk decomposition and Munari et al. (2008) used RAVE spectra to confirm the existence of the $\lambda$8648 diffuse interstellar band and its correlation with extinction.

RAVE, being a randomly selected, magnitude-limited survey, possesses content representative of the Milky Way for the specific magnitude interval, in addition to peculiar and rare objects within the same interval. Together, this makes RAVE a particularly useful catalog to study the origin of the Milky Way’s stellar populations. For example, Ruchti et al. (2010) studied the elemental abundances of a sample of metal-poor stars from RAVE to show that direct accretion of stars from dwarf galaxies probably did not play a major role in the formation of the thick disk, a finding corroborated by the study of the eccentricity distribution of a thick disk sample from RAVE (Wilson et al. 2011). Also, Matijević et al. (2010) used RAVE to study double lined binaries using RAVE spectra, while Fulbright et al. (2010) used RAVE to detect very metal-poor stars in the Milky Way. Bright objects from nearby Local Group galaxies are also observed; Munari et al. (2009), for example, identified eight luminous blue variables from the Large Magellanic Cloud in the RAVE sample.

So far RAVE has released only radial velocities and stellar atmospheric parameters. To really gain access to the full six-dimensional phase space, the distance to the stars remains a missing, yet important, parameter, unless one focuses on a particular class of stars, such as red clump stars (see, for example, Veltz et al. 2008; Siebert et al. 2008). Combining the photometric magnitude from 2MASS and RAVE stellar atmospheric parameters, Breddels et al. (2010) derived the six-dimensional coordinates for 16,000 stars from the RAVE DR2, allowing a detailed investigation of the structure of the Milky Way. This effort of providing distances for RAVE targets was later improved by Zwitter et al. (2010), taking advantage of stellar evolution constraints, and by Burnett et al. (2011), by using the Bayesian approach described in Burnett & Binney (2010). The distance estimates have been used by Siebert et al. (2011) to detect non-axisymmetric motions in the Galactic disk. These works will be extended to DR3, distributed in a separate catalog, and will provide a unique sample to study the details of the formation of the Galaxy. Moreover, for the bright part of the RAVE sample, the signal-to-noise ratio ($S/N$) per pixel allows one to estimate fairly accurate elemental abundances from the RAVE spectra. This catalog containing of order $10^3$ stars (C. Boeche et al. 2011, in preparation) will provide a unique opportunity to combine dynamical and chemical analyses to understand our Galaxy.

In this paper, we present the third data release of the RAVE project, releasing the radial velocity data and stellar atmospheric parameters of the pilot survey program that were collected during the first three years of operation, therefore DR3 includes the data collected for DR1 and DR2. The spectra are not part of this release. These data were processed using a new version of the processing pipeline. This paper follows the first and second data releases described in Papers I and II. The pilot survey release is the last release relying on the original input catalog, based on the Tycho-2 and SuperCosmos surveys. Subsequent RAVE releases will be based on targets selected from the DENIS survey $I$ band. The paper is organized as follows. Section 2 presents the new version of the processing pipeline which calculates the radial velocities and estimates the stellar atmospheric parameters. Section 3 presents the validation of the new data, as well as the updated calibration relation for metallicity, while Section 4 describes the DR3 catalog.

2. A REVISED PIPELINE FOR STELLAR PARAMETERS

In Papers I and II, we described in detail the processing pipeline used to compute the radial velocities and the stellar atmospheric parameters, making use of a best-matched template to measure the radial velocities and set the atmospheric parameters reported in the catalog. This pipeline performs adequately for well-behaved spectra, permitting the measurement of precise radial velocities, and we showed in Paper II that the stellar atmospheric parameters $T_{\text{eff}}$, $\log g$, and [m/H] can be estimated. However, to compare the RAVE [m/H] to high-resolution measurements [M/H], a calibration relation must be used. Also, in the case where a RAVE spectrum suffers from (small) defects, the stellar atmospheric parameters are less well constrained. We therefore set out to improve the pipeline, while still maintaining its underlying computational techniques. This

23 Throughout this paper, [m/H] refers to the metallicity obtained using the RAVE pipeline, while [M/H] refers to metallicity obtained using detailed analyses of high-resolution echelle spectra.
section reviews the modifications of the RAVE pipeline, which is otherwise fully described in Paper II.

### 2.1. Stellar Library

The RAVE pipeline for DR1 and DR2 relied on the Munari et al. (2005) synthetic spectra library based on ATLAS 9 model atmospheres. This library contains spectra with three different values for the micro-turbulence \( \mu \) of 1, 2, and 4 km s\(^{-1}\). However, the library is well populated only for the \( \mu = 2 \) km s\(^{-1}\), value, about 3000 spectra having \( \mu = 1 \) or 4 km s\(^{-1}\), compared with \( \sim 55,000 \) having \( \mu = 2 \) km s\(^{-1}\).

For this new data release (DR3), new synthetic spectra for intermediate metallicities were added in order to provide a more realistic spacing toward the densest region of the observed parameter space and so remove biases toward low metallicity. The new grid has \([\text{m/H}] = -2.5, -2.0, -1.5, -1.0, -0.8, -0.6, -0.4, -0.2, 0.0, 0.2, 0.4, \) and 0.5 dex.

We also restricted the library to \( \mu = 2 \) km s\(^{-1}\), discarding all other micro-turbulence values. This does not impact the quality of the measured stellar parameters as, at our S/N level and resolution, we are unable to constrain the micro-turbulence, and the pipeline usually converges on the most common micro-turbulence value in the library (\( \mu = 2 \) km s\(^{-1}\)).

Furthermore, since the nominal resolution of the 6dF instrument does not allow us to measure precisely the rotational velocity of the star, we chose to restrict the \( V_{\text{rot}} \) dimension, removing six of the lower \( V_{\text{rot}} \) values (0, 2, 5, 15, 20, and 40 km s\(^{-1}\)), retaining only the 10, 30, 50 km s\(^{-1}\), and higher, velocities.

Removing one dimension of the parameter space and reducing the rotational velocity dimension helps to stabilize the solution and allows us to lower the number of neighboring spectra used for the fit. We lower this number from 300 to 150. As for Paper II, the Laplace multipliers for the penalization terms were set using Monte Carlo simulations. We increased the Laplace multiplier handling the penalization on the sum of the weights, which constrains the level of the continuum to unity for continuum normalized spectra, as a 0.3% offset was not uncommon in the previous pipeline.

### 2.2. Signal-to-noise Estimation

To date, the processing pipeline used S/N estimates as described in Paper I. However, this S/N estimate tends to underestimate the true S/N and is less dependent on the true noise than it is on the weather conditions or spectrum defects, such as fringing (see Paper II). In Paper II, a new S/N estimate, S2N, was presented based on the best-fit template, but it was not used by the pipeline as it was an a posteriori estimate. We showed that S2N is closer to the true S/N.

Because of the new continuum correction procedure (see Section 2.3), the S/N must be computed correctly before the continuum correction is applied. Therefore, it must be known prior to the processing. We thus developed an algorithm to measure the S/N of a spectrum in which no flux information is used. This new S/N estimate, STN, is obtained using the observed spectrum (no continuum normalization applied) as follows.

1. Smooth the observed spectrum \( s(i) \), with \( i \) the pixel index, to produce a smoothed spectrum \( f(i) \). This smoothing is done with a smoothing box 3 pixels long.
2. Compute the residual vector \( R(i) = f(i) - s(i) \) and its rms \( \sigma \).
3. Remove from \( s \) pixels that diverge from \( f \) by more than \( 2\sigma \).
4. Smooth the clipped spectrum as above to form a new smoothed spectrum \( f \) and repeat the clipping process until convergence.
5. Compute the local standard deviation \( \sigma_s(i) \) using pixels \( i - 1, i, i + 1 \).
6. Compute \( \text{STN} = \text{median}(s(i)/\sigma_s(i))/1.62 \).

The factor of 1.62 is set using numerical realizations of a Poisson noise. As shown in the left panel of Figure 1, S/N and STN are on a 1:1 relation. However, in a real spectrum, instrument noise also contributes to the residuals and we expect an additional normalization factor. The S2N value as computed in Paper II follows closely the true S/N. Hence, to assess the validity of the STN measurement, we compared it to the S2N in Paper II (Figure 1, right panel). A correction factor of 0.58 for S2N is found to produce a 1:1 relation between the two measurements, a correction that we apply in the pipeline.

### 2.3. Continuum Normalization

In the low S/N regime (S/N < 10), the metallic lines are no longer visible. In this case, \([\text{m/H}]\) measurements converge to the highest allowed value ([m/H] = +0.5 dex) which gives the lowest possible \( \chi^2 \) value, i.e., the algorithm fits the noise. In this regime, the stellar parameters are not reliable and are therefore not published. In the intermediate regime \( 10 < \text{S/N} < 50 \), a correlation between [m/H] and S/N is observed in the RAVE data.
While some of the above correlation is understood and arises from the change of the underlying stellar content as one moves further away from the plane and the S/N simultaneously decreases, some part of this correlation arises from the continuum normalization failing to recover the proper continuum level. The former pipeline uses the IRAF continuum task with asymmetric rejection parameters (1.5σ for the low rejection level and 3.0σ for the high rejection level). While these parameters are well suited for the high S/N regime (>60), at low S/N they tend to produce an estimated continuum that is too high. This is due to the routine considering the spikes below the continuum as spectral lines when, in fact, they are mainly due to noise.

We ameliorate this problem by using a low rejection value that is a function of S/N. This rejection level must be close to 1.5 for high S/N spectra and larger for low S/N. Numerical tests indicate that using the formula

$$\text{low}_{\text{rej}} = 1.5 + 0.2 \exp \left( -\frac{\text{STN}^2}{2\sigma_{\text{STN}}^2} \right),$$

(1)

with $\sigma_{\text{STN}} = 16$, from the top left panel of Figure 2, significantly reduces the continuum normalization problem. The top panels in Figure 2 show the mean residual between the observed continuum-normalized spectra and best-fit template as a function of S/N, before and after the change in the low rejection level, while the bottom panels present the resulting distributions of [m/H] as a function of S/N.

The new continuum normalization significantly reduces the correlation between metallicity and S/N, while no trend in the residual as a function of S/N remains. This indicates that the new continuum normalization algorithm performs adequately, although a weak correlation is still seen in the metallicity versus S/N (~0.1 dex per 100 in S/N).

2.4. Masking Bad Pixels

Approximately 20% of RAVE spectra suffer from defects such as fringing or residual cosmic rays, which cannot be removed by the automatic procedure we use to reduce our data. While residual cosmic rays do not affect the determination of the stellar atmospheric parameters (these are similar to emission lines, which are not taken into account in the template library), fringing results in poor local continuum normalization, leading to inaccurate parameter recovery.

Regions strongly affected by fringing are difficult to detect prior to the processing, but we can make use of the best-fit template to estimate whether a spectrum suffers from such a continuum distortion and therefore whether the atmospheric parameter determination is likely to be in error.

To estimate the fraction of a spectrum contaminated by continuum distortions, we compute the reduced $\chi^2(i)$ along the spectrum in a box 21 pixels wide centered on the pixel $i$. We then also compute the mean difference $S(i)$ between the best-fit template and the observed spectrum in the same box. If $\chi^2(i) > 2$ and $S(i) > 2/\text{STN}$, a systematic difference between the template and the observed spectrum exists. The corresponding region of the spectrum is then flagged as a defect. The fraction of good pixels in each spectrum is then recorded and given in the RAVE catalog (see MaskFlag in Table 12). From visual inspection, we find that when the number of bad pixels is larger than 30%, the spectrum is problematic and the stellar parameters should be treated with caution. Figure 3 shows different examples of real RAVE spectra where a significant fraction of the spectrum is marked as problematic.
2.5. Improving the Zero-point Correction

As explained in previous papers (e.g., Paper I), thermal instabilities in the spectrograph room induce zero-point shifts of the wavelength solution that depend on the position along the CCD (e.g., fiber number). This results in instabilities of the radial velocity zero point.

To correct the final radial velocities for this effect, the processing pipeline uses available sky lines in the RAVE window and fits a low-order polynomial (third order) to the relation between sky radial velocity and fiber number. This third-order polynomial defines the mean trend of zero-point offsets and provides the zero-point correction as a function of fiber number. However, in some cases, a low-order polynomial is not the best solution and a constant shift should be used instead. In former releases, these cases were corrected by hand in the catalog. In this release, we introduced a new zero-point correction routine to the processing pipeline that is able to select which correction should be applied, automatically.

The zero-point correction now computes both the cubic correction, using the third-order polynomial, and the constant correction. It then computes the mean and standard deviation between the measured sky radial velocities and the corrections for the entire field and for three regions in fiber number that are contiguous on the CCD (fibers 1–50, 51–100, 101–150). For each region, the cubic fit is used unless any of these four conditions apply.

Table 1: Radial Velocity Difference Between Pairs of Repeat Observations Using Different Zero-point Correction Solutions

| Method            | $\mu$ (km s$^{-1}$) | $\sigma$ (km s$^{-1}$) | $N_{\text{corr}}$ | 68% (km s$^{-1}$) | 95% (km s$^{-1}$) |
|-------------------|---------------------|------------------------|-------------------|------------------|------------------|
| No correction     | 0.38                | 2.74                   | 2572              | 3.0              | 18.9             |
| Old correction    | 0.23                | 2.49                   | 2765              | 2.8              | 16.8             |
| Cubic             | 0.22                | 2.52                   | 2958              | 2.9              | 21.3             |
| Quadratic         | -0.44               | 2.83                   | 2645              | 3.2              | 20.2             |
| Linear            | 0.24                | 2.21                   | 2850              | 2.5              | 16.7             |
| Constant          | 0.23                | 2.05                   | 2990              | 2.3              | 16.5             |
| New correction    | 0.23                | 2.22                   | 2817              | 2.5              | 16.6             |

Notes. The old correction is a combination of cubic fit and corrections applied by hand. The number of pairs used is 25,172.

1. There are less than two sky fibers in that region, to avoid underconstrained fits.
2. The mean in that region for the constant correction is better than the corresponding mean for the cubic fit.
3. The standard deviation for the cubic correction is greater than 5 km s$^{-1}$, which is the case for noisy data.
4. The maximum difference between the constant correction and the cubic correction is larger than 7 km s$^{-1}$.

We tested the new procedure, together with other options, against pairs of repeat observations. The results are presented in Table 1. They show clearly that the new procedure performs better than the previous version in terms of dispersion, while the mean difference is unchanged. While the constant term correction appears better in this table, the left panel in Figure 4 shows that the distribution of the residuals is less peaked than for the cubic correction. In addition, the mean square error, defined as $\text{MSE} = \langle (\text{RV} - \text{RV}_{\text{fit}})^2 \rangle$, shows a net decrease with the new fitting procedure compared to a constant shift. This indicates that for the general case, a constant correction for the entire field will result in a larger dispersion and hence a larger zero-point offset residual. This gives us confidence in the use of the new procedure.

3. CALIBRATION AND VALIDATION

3.1. Radial Velocity

3.1.1. Internal Error Distribution

RAVE obtains its radial velocity from a standard cross-correlation routine. For each radial velocity measurement the associated error, eRV, gives the internal error due to the fitting procedure. Figure 5 presents the distribution of eRV per 0.2 km s$^{-1}$ bin for the data new to each RAVE release. While first year data are of lower quality due to the second-order contamination of our spectra, second and third year data are of equal quality with a mode at 0.8 km s$^{-1}$, a median radial velocity error of 1.2 km s$^{-1}$, and 95% of the sample having internal errors better than 5 km s$^{-1}$. Comparing these values to the old version of the pipeline used for DR1 and DR2 (see Table 2 and Figure 9 of Paper II), the new pipeline marginally improves the internal accuracy with a gain of $\sim$0.1 km s$^{-1}$ for the mode and the median radial velocity error.

The aforementioned error values represent the contribution of the internal errors to the RAVE error budget. External errors are also present and are partially due to the zero-point correction which corrects only a mean trend, not including the fiber-to-fiber variations. The contribution of the external errors is obtained using external data sets and is discussed in Section 3.1.3.
Figure 4. Left: mean residual between the fit and the sky radial velocity for three different fitting functions. A constant shift (black histogram), the cubic fit used in DR1 and DR2 (red histogram), and the new fitting procedure (blue histogram). Right: associated mean square error. (A color version of this figure is available in the online journal.)

![Figure 4](image-url)

Figure 5. Distribution of the radial velocity error (eRV) in the third data release. Top: number of stars with eRV in 0.2 km s\(^{-1}\) bins for first-year data (dash-dotted line), second-year data (dashed line), and third-year data (full line). Bottom: cumulative distribution of the eRV. The dotted lines mark respectively 50%, 68%, and 95% of the samples.

![Figure 5](image-url)

3.1.2. Zero-point Error

Our internal error budget is the sum of (1) the error associated with the evaluation of the maximum of the Tonry–Davis correlation function and (2) the contribution from the zero-point error. The first contribution is given by the pipeline (Section 3.1.1). The magnitude of the second term can be obtained from the analysis of the re-observed targets as, for a given star whose apparent magnitude is fixed, the radial velocity is constant (if the star is not a binary) and the internal errors are the main source of uncertainties.

We therefore use the re-observed stars in the RA VE DR3 catalog, selecting only stars observed during the second and third year, as they share the same global properties in terms of observing conditions. Data from the first year of observing are discarded, as they suffer from second-order contamination which renders the internal error inhomogeneous and can therefore bias our estimate. We also removed from the sample stars that were observed on purpose to calibrate our stellar atmospheric parameters, as these are specific bright targets with high S/N that do not share the random selection function nor the standard observational protocol of the RAVE catalog.

The cumulative distribution of the radial velocity difference is presented in the left panel of Figure 6 where the solid line represents the full sample of re-observed targets and the dashed line the sample restricted to individual measurements differing by less than 3σ\(^{-1}\) in a pair. Since our sample is contaminated by spectroscopic binaries, this selection is compulsory if one wants to address the error distribution for normal stars but is only a crude approximation when trying to remove all the binaries in the sample. Applying this cut rejects 6% of the sample, a value clearly below the expected contamination (see below). Therefore, the errors estimated from the repeat observations are likely to overestimate the true errors. With this limitation in mind, from Figure 6, focusing on the dashed line, one can conclude that 68.2% of the sample has an error below 2.2 km s\(^{-1}\) while ∼93% of the sample lies below the 5 km s\(^{-1}\) accuracy limit.

To estimate the contribution from the zero-point errors to the total internal error budget, we computed the distribution of the

![Table 2](image-url)

Table 2: Global Properties of the Comparison of RA VE Radial Velocities to External Data Sets for Stars Observed During the Second and Third Year of the Program

| Reference | \(N\) | \((N_1,N_2)\) | ⟨ΔRV⟩ | σ(ΔRV) |
|-----------|-------|----------------|--------|---------|
| GCS 224   | 224   | (285,162)      | −0.28  | 1.76    |
| Sophie    | 35    | (37,34)        | −0.77  | 1.62    |
| Asiago    | 30    | (30,25)        | 1.08   | 1.45    |
| Elodie    | 6     | (9,9)          | −0.63  | 0.36    |
| 2.3 m 76  | 76    | (125,74)       | 0.87   | 2.39    |
| All       | 373   | (486,304)      | −0.22  | 2.72    |
| All but GCS | 142  | (201,142)     | 0.50   | 2.16    |

Mean deviation corrected

| All       | 142   | (486,304)      | −0.18  | 2.66    |
| All but GCS | 127  | (201,142)     | 0.10   | 1.96    |

Notes. ΔRV is defined as \(ΔRV = RV_{ext} – RV_{RAVE}\). The mean deviations and standard deviations are computed using a sigma clipping algorithm. The second column gives the number of data points used to compute the mean and σ while the numbers in parenthesis are the total number of stars in the sample (\(N_1\)) and the number of unique objects (\(N_2\)). The last two lines are obtained after correcting each dataset for the mean deviation.
normalized radial velocity difference, the relative difference in radial velocity between two observations divided by the square root of the quadratic sum of the errors on radial velocity. If our measurements were affected only by the random errors for (1), then the distribution of this normalized radial velocity difference would follow a Gaussian distribution of zero mean and unit standard deviation. An additional contribution to the error budget due to a random zero-point error would broaden the distribution and hence enhance the dispersion of the resulting distribution. The result of this test is presented in the right panel of Figure 6, where we fitted the sum of two Gaussians to the observed distribution.

The dominant Gaussian distribution corresponds to stars stable in radial velocity. The width of the associated Gaussian function is 0.83σ, narrower than a normal distribution, indicating that the internal errors quoted in the catalog are likely overestimated. Our quoted internal error can therefore be assumed to be an upper bound on the true internal errors, including the contribution of the zero-point error.

Spectroscopic binary contamination. Subsidiarily, the broad Gaussian comprises spectra with defects (or where the zero-point solution could have diverged) as well as the contribution from spectroscopic binaries. The fraction of spectra with defects is small in this sample, as the catalog has been cleaned of spectroscopic binaries. The fraction of spectra with defects (or where the zero-point solution could have diverged) as well as the contribution from spectroscopic binaries with radial velocity variations larger than 2 km s⁻¹ is 10%–15% of the RAVE sample being affected by binarity (see also Matijević et al. 2010). However, the time span between repeat observations being biased toward short periods (days to weeks), long period variations are not detected. The previous estimates do not take into account this population and a more detailed analysis will be required to estimate the contribution of long period variables to our survey.

3.1.3. Validation Using External Data Sets

Our external data sets (or “reference” data sets) comprise data from the Geneva–Copenhagen Survey (GCS; Nordström et al. 2004), Elodie and Sophie high-resolution observations from the Observatoire de Haute Provence, Asiago echelle observations, and spectra obtained with the ANU 2.3 m facility in Siding Spring. The targeted stars are chosen to cover the possible range of signal-to-noise conditions and stellar atmospheric conditions. Figure 7 presents the distributions of the reference stars as a function of signal-to-noise S2N, T eff, log g, and [m/H] compared to the RAVE DR3 distributions. While for [m/H] the distribution resembles the distribution of the data release, the distribution of log g shows a lack of giant stars that translates to a reduced peak at temperature below 5000 K compared to the full DR3 sample. This is due to the GCS sample, our primary source of reference stars, that contains F and G dwarfs and no giants. For the S2N distribution, we chose to sample almost uniformly the RAVE S2N interval, top left panel of Figure 7, which enables us to verify that signal to noise does not impact the quality of our radial velocities (see below).

A comparison of the radial velocities obtained by RAVE and the external data sets is presented in Figure 8, while the detailed values for the comparison for each sample can be found in Table 2.

With the new version of the pipeline, we find no significant difference for the mean radial velocity difference compared to DR2. The values for the mean difference and its dispersion are consistent between these two releases. From the right panel of Figure 8 one sees that the distribution of the radial velocity difference divided by the internal errors is wider than a normal distribution: its dispersion is 1.37σ. We can then estimate the upper limit to the external error contribution as
σ_{ext} \leq 0.9 \text{ km s}^{-1}. This is an upper limit as the zero-point errors of the other sources of radial velocity also contribute to the measured σ_{ext} and are unknown.

The dependency of the radial velocity difference on signal-to-noise ratio is weak, as can be seen from Figure 9 (top left panel). The mean difference is consistent with no offset, at all S2N levels. There is a slight tendency for an increase in dispersion at low S2N, but the dispersion values remain very well behaved (σ \sim 1.2 \text{ km s}^{-1} at S2N > 100 and σ \sim 2.0 \text{ km s}^{-1} for S2N < 40). In addition, no strong variation with log g, T_{eff}, or [m/H] is seen, indicating that our radial velocity solution is stable as a function of stellar type.

3.2. Stellar Atmospheric Parameters

During the second and third years of its program, RAVE observed 2266 stars more than once; 1917 stars were observed twice, 256 were observed three times, and 93 were observed four times. One thousand three hundred ninety-one of these stars have more than one measurement of stellar parameters. We use these re-observations to estimate the stability and error budget for our estimated stellar atmospheric parameters. These parameters are the parameters from the synthetic template spectrum used to compute the final radial velocity. This template is constructed using a penalized chi-square algorithm where the template spectrum is a weighted sum of the synthetic spectra of the library of Munari et al. (2005). The weights of the best match are obtained by minimization of a \chi^2 plus additional constraints (weights must be positive and smoothly distributed in the atmospheric parameters space). The algorithm is described in Paper II.

3.2.1. Internal Stability From Repeat Observations

As a first step, we estimate the stability from the difference in the measured parameters using, for a given star, the spectrum with the highest S/N as the reference measurement. The distribution of the stellar parameter differences ΔP, where P may stand for any of the stellar atmospheric parameters considered, is shown in Figure 10, while Figure 11 presents the respective distributions for dwarf and giant stars. The red curves in each panel are Gaussian functions whose parameters (mean and standard deviation) are obtained using an iterative sigma-clipping algorithm. The corresponding mean and standard
deviation for each parameter are reported in Table 3. For all parameters, the mode of the distributions is consistent with zero, indicating good stability of our atmospheric parameter measurements. The average internal error for the atmospheric parameters can be estimated from the standard deviation. For $T_{\text{eff}}$ one obtains 200 K and 0.3 dex for $\log g$, while the [m/H] and [$\alpha$/Fe] distributions show a dispersion of 0.2 and 0.1 dex, respectively. These values must be regarded as underestimates of the true errors as they do not include external errors such as the inadequacy of the template library in representing real spectra or variations in the abundances of the chemical species with respect to the solar abundances (using but one value of the $\alpha$-enhancement).

In Figure 10 the distributions of $T_{\text{eff}}$, [m/H], and [$\alpha$/Fe] are relatively symmetric although not Gaussian. The distribution of $\log g$ is less symmetric and that of $V_{\text{rot}}$ is very skew. Since our reference measurements are the spectra with the highest S/N, symmetry indicates that there is no strong bias in the atmospheric parameter estimation as one reduces the signal-to-noise ratio: a systematic effect with the S/N would imply that as one lowers the S/N the measured parameters would be either higher or lower than the reference value.

For $V_{\text{rot}}$, a systematic effect is likely. As one lowers the S/N, the wings of the spectral lines become more affected by the noise, making the lines appear narrower, hence mimicking a lower $V_{\text{rot}}$. The same effect applies to $\log g$.

Internal errors on the atmospheric parameters depend on the physical condition of the star, $\log g$ being better constrained for giants, and $T_{\text{eff}}$ for cool stars. The internal errors, as defined in Paper II, depend mostly on the algorithm used and the grid spacing of the synthetic spectra for these two parameters. Neither has been modified in the new version of the pipeline. Hence, the internal errors for the different parameters remain unchanged and upper limits for these errors are presented in Figure 19 in Paper II. However, using re-observed RAVE stars, one is able to refine this estimate based on the scatter of the atmospheric parameter measurements in various $T_{\text{eff}}$ and $\log g$ intervals. These refined estimates are presented in Table 4 where a smooth-averaging procedure is used to compute the dispersion at a given grid point. Only grid points with three or more repeated observations are given in the table.

### 3.2.2. Effect of the Correlations Between Atmospheric Parameters

In Paper II, we showed that the method we use to estimate the stellar atmospheric parameters introduces correlations in the errors of the recovered parameters. Here, we use the re-observations of standard RAVE program stars to estimate the amplitude of these correlations. The results of these tests are presented in Figure 12 where the contours in each panel contain 30%, 50%, 70%, and 90% of the total sample. Looking at the different panels, a clear correlation is observed between the deviations in $T_{\text{eff}}$, $\log g$, and [m/H] while deviations in [$\alpha$/Fe] are only correlated with deviations in [m/H]. $V_{\text{rot}}$ on the other hand does not show any correlation, regardless of the atmospheric parameter considered. Since the correlation between $\log g$ and [m/H] is broader than between $\log g$ and $T_{\text{eff}}$, it is likely that errors on $T_{\text{eff}}$ are the primary source of errors, and that these errors propagate to the other atmospheric parameters.

These correlations indicate that the true [M/H] will be a function of all the parameters, except for $V_{\text{rot}}$. The correlation with $\log g$ being weaker than that with $T_{\text{eff}}$ and [m/H], the true calibration relation might be independent of $\log g$ or at least we expect $\log g$ to play a secondary role in the estimation of the true [M/H]. This will be studied more deeply in the next paragraph.
Figure 9. Radial velocity difference between the RAVE observations and the external sources as a function of the signal-to-noise ratio $S2N$ (top left), effective temperature ($T_{\text{eff}}$) (top right), $\log g$ (bottom left), and $[m/H]$ (bottom right) of the RAVE observation. The symbols follow Figure 8 while the full and dashed thick lines represent the mean and dispersion about the mean of the radial velocity difference per interval of 10 in $S2N$, 500 K in $T_{\text{eff}}$, 0.5 dex in $\log g$, or 0.25 dex in $[m/H]$. (A color version of this figure is available in the online journal.)

3.2.3. Comparison to External Data

In the previous paragraphs, we checked the consistency of the RAVE atmospheric-parameter solutions and the correlations that exist between these parameters. The consistency of the atmospheric parameters is satisfactory given our medium resolution ($R \sim 7500$) and our small wavelength interval. The dispersions around the reference values are $\sim 200$ K for $T_{\text{eff}}$, 0.3 dex for $\log g$, 0.2 dex for $[m/H]$, and 0.1 dex for $[\alpha/Fe]$, with no significant centroid offset.

The next step is to compare our measured atmospheric parameters with independent measurements. As for DR2, RAVE stars are generally too faint to have been observed in other studies from the literature. We therefore used custom RAVE observations of bright stars from the literature to construct our calibration sample. This sample comprises four different sources of atmospheric parameters:

1. RAVE observations of Soubiran & Girard (2005) stars,
2. Asiago echelle observations of RAVE targets ($R \sim 20,000$),
3. AAT 3.9 m UCLES echelle observations of RAVE targets, and
4. APO ARC echelle observations of RAVE targets ($R \sim 35,000$).

The last three sources of calibration data make the bright RAVE targets sample and were all reduced and processed within the RAVE collaboration using the same technique and are therefore merged in the following and referred to as “echelle data.” We follow a standard analysis procedure using Castelli ODFNEW atmosphere models. The gf values for iron lines are taken from three different sources:

1. the list from Fulbright (2000) for metal-poor stars based,
2. a list of differential $\log gf$ from Acturus (Fulbright et al. 2006) best suited for metal-rich giants, and
3. a list of differential $\log gf$ from the Sun best suited for dwarf stars.

These stars are not part of the original input catalog but are added to the observing queue to permit the validation of the RAVE atmospheric parameters.
Figure 10. Distributions of the difference in the measured stellar atmospheric parameters in re-observed targets. The spectrum with highest S/N for a given star is used as reference. The red lines in the different panels correspond to a Gaussian function whose parameters (mean and dispersion) are obtained using an iterative sigma-clipping algorithm (see Table 3).

(A color version of this figure is available in the online journal.)

Table 4
Dispersion in $T_{\text{eff}}$ (K), $\log g$ (dex), and $[\text{m/H}]$ (dex) as a Function of $T_{\text{eff}}$ and $\log g$

| $T_{\text{eff}}$(K)\, $\log g$ (dex) | 0 | 0.5 | 1 | 1.5 | 2 | 2.5 | 3 | 3.5 | 4 | 4.5 | 5 |
|-------------------------------------|--|--|---|---|---|---|---|---|---|---|---|
| $T_{\text{eff}}$ (K) | 30 | 40 | 50 | 50 | 80 | 180 | 500 | 200 | 100 | 100 | 110 |
| $\log g$ | 0.07 | 0.16 | 0.19 | 0.21 | 0.43 | 0.29 | 0.81 | 0.62 | 0.17 | 0.16 | 0.051 |
| $[\text{m/H}]$ | 0.06 | 0.08 | 0.08 | 0.09 | 0.14 | 0.42 | 0.13 | 0.26 | 0.10 | 0.07 | 0.11 |
| $T_{\text{eff}}$ (K) | 4500 | 50 | 60 | 60 | 50 | 50 | 60 | 160 | 120 | 70 | 50 |
| $\log g$ | 0.12 | 0.18 | 0.20 | 0.19 | 0.19 | 0.22 | 0.34 | 0.16 | 0.17 | 0.06 |
| $[\text{m/H}]$ | 0.07 | 0.09 | 0.08 | 0.08 | 0.07 | 0.07 | 0.08 | 0.09 | 0.09 | 0.09 | 0.06 |
| $T_{\text{eff}}$ (K) | 5000 | 180 | 70 | 70 | 90 | 110 | 100 | 80 | 50 |
| $\log g$ | 0.58 | 0.17 | 0.21 | 0.24 | 0.20 | 0.16 | 0.14 | 0.06 |
| $[\text{m/H}]$ | 0.28 | 0.07 | 0.09 | 0.09 | 0.09 | 0.08 | 0.07 | 0.05 |
| $T_{\text{eff}}$ (K) | 6500 | 400 |
| $\log g$ | 0.39 | 0.29 | 0.38 | 0.27 | 0.14 | 0.12 | 0.08 |
| $[\text{m/H}]$ | 0.13 | 0.17 | 0.17 | 0.12 | 0.12 | 0.08 | 0.05 |

Notes. The dispersions are computed by smooth-averaging sigmas in individual grid points. Only grid points where three or more repeated objects are present are quoted.
Figure 11. Same as Figure 10 but for the sub-samples of dwarf stars (top curves) and giant stars (bottom curves). The samples are selected according to log $g$ using the separating line log $g = 3.5$ dex. The histograms for dwarf stars are shifted upward by 100 counts bin$^{-1}$ for clarity.

The three line lists give reasonable agreement ($\Delta T_{\mathrm{eff}} < 50$ K and $\Delta [\mathrm{Fe/H}] < 0.1$ dex) in the parameter boundary regions. The alpha- and heavy-element line list is based on Fulbright (2000) for metal-poor stars and Fulbright et al. (2007) for metal-rich stars. $T_{\mathrm{eff}}$ values are obtained using the excitation balance, forcing the distribution of log $\epsilon(\mathrm{Fe})^{27}$ versus excitation potential for individual Fe i lines to have a flat slope. log $g$ is obtained via the ionization balance, forcing the log $\epsilon(\mathrm{Fe})$ values derived from Fe i and Fe ii lines to agree. Both methods are fully independent from the technique used by the RAVE pipeline to estimate atmospheric parameters from medium-resolution spectra.

For the RAVE observation of stars studied in the literature, we chose to build our sample upon the Soubiran & Girard (2005) catalog. This catalog contains abundances measurements from the literature paying particular attention to reducing the systematics between the various studies. It makes this catalog particularly suited for calibration purposes.

Table 5 summarizes the content of each sample while Figure 13 presents the distribution in log $g$ and $T_{\mathrm{eff}}$ of stars in the calibration sample. The GCS also provides photometric $T_{\mathrm{eff}}$ measurements but as for DR2, we choose not to include photometric $T_{\mathrm{eff}}$ in our analysis.

In the following, we separate the analysis of $T_{\mathrm{eff}}$ and log $g$ from [M/H], the latter requiring a specific calibration.

$T_{\mathrm{eff}}$ and log $g$. Table 6 presents the results of the comparison of the RAVE pipeline outputs with the reference data sets. Since outliers are present, we use a standard iterative (sigma-clipping) procedure to estimate the mean offset and standard deviation for each atmospheric parameter. The new version of the pipeline shows a slight tendency to overestimate $T_{\mathrm{eff}}$ by $\sim 50–60$ K compared with the previous version, with an increase of the standard deviation from 188 K to 250 K. For log $g$ the results are consistent between the two versions of the pipeline. We note here that the reference samples used for the new release have increased considerably, with the number of Soubiran & Girard (2005) stars increasing by a factor of two and the number of echelle observations by a factor of four.

To further validate our atmospheric parameters, we compare the offset between the reference atmospheric parameters with the RAVE values. This is presented in Figure 14 for $T_{\mathrm{eff}}$ (top panels) and log $g$ (bottom panels) as a function of reference $T_{\mathrm{eff}}$

---

### Table 5

| Sample          | $N_{\mathrm{disp}}$ | $N_{\mathrm{obs}}$ | $T_{\mathrm{eff}}$ | log $g$ | [M/H] | [$\alpha$/Fe] |
|-----------------|---------------------|-------------------|------------------|--------|-------|---------------|
| Echelle         | 162                 | 228               | ✓                | ✓      | ✓     | ✓             |
| Soubiran & Girard | 102              | 107               | ✓                | ✓      | ✓$^a$ | ✓             |

Notes. The echelle sample covers the data obtained using UCLES, ARC, and Asiago spectrographs and were processed and analyzed consistently.

$^a$ Soubiran & Girard (2005) do not report metallicity [M/H], so their values are derived from a weighted sum of the quoted element abundances of Fe, O, Na, Mg, Al, Si, Ca, Ti, and Ni, assuming the solar abundance ratio from Anders & Grevesse (1989).
Figure 12. Correlation between the stellar atmospheric parameters based on re-observed RAVE targets. The contours contain 30%, 50%, 70%, and 90% of the data, respectively. A correlation between the error in two parameters indicates that a systematic error in one parameter influences the result in the other.

Figure 13. Location of the reference stars in the \((T_{\text{eff}}, \log g)\) plane. Squares are echelle data, the dashed line representing our separation between dwarfs (open symbols), and giants (gray symbols) for the calibration relation. Crosses are stars from Soubiran & Girard (2005).
and limited signal-to-noise ratio, a mixture of the real metallicity, alpha enhancement, and possibly rotational velocity. To obtain an unbiased estimator, we rely on a calibration relation set using a sample of stars with known atmospheric parameters. Paper II presented a first calibration relation using an iterative fitting procedure of the relation

\[ \frac{[M/H]}{[m/H]} = c_0 + c_1 \cdot [m/H] + c_2 \cdot [\alpha/Fe] + c_3 \cdot \log g. \]

The coefficients of this relation were obtained based on a sample of 45 APO, 24 Asiago, 49 Soubiran & Girard (2005), and 12 M67 cluster member stars. With the larger number of reference stars available for this release and due to the new version of the processing pipeline, modified to increase the reliability of the atmospheric parameters, we recompute and extend the calibration relation. However, we now restrict the analysis to the reference sample consisting of echelle data. This sample was selected to evenly cover the \((\log g, T_{\text{eff}})\) plane of the RAVE survey and was processed using the same technique and reduction algorithm, therefore providing an homogeneous set of reference data. Also, with the knowledge gained from the analysis of the correlation between parameters, the proposed calibration relation now takes the form

\[ \frac{[M/H]}{[m/H]} = c_0 + c_1 \cdot [m/H] + c_2 \cdot [\alpha/Fe] + c_3 \cdot \frac{T_{\text{eff}}}{5040} + c_4 \cdot \log g + c_5 \cdot \text{STN}, \]

where we added \(T_{\text{eff}}\) to the calibration relation due to the strong correlation observed in Figure 12 and discussed in Section 3.2.2. \(S/N\) is also included as one expects an impact of the noise at the low \(S/N\) regime where the pipeline may mistake noise spikes for enhanced metallicity. Since \(T_{\text{eff}}\) seems to be the primary source of error for \([m/H]\), we computed four calibration relations for the various cases with and without \(S/N\) or \(\log g\). As for the DR2 calibration, we see no evidence for higher order terms and therefore restrict our search for the best calibration to first-order (linear) relations.

The coefficients for the calibration relations are obtained by minimizing the difference between the calibrated \([M/H]\) and the

---

**Table 6**

| Sample                  | \(N_{\text{tot}}\) | \(\Delta T_{\text{eff}}\) | \(\sigma_{T_{\text{eff}}}\) | \(N_{\text{rej},T_{\text{eff}}}\) | \(\Delta \log g\) | \(\sigma_{\log g}\) | \(N_{\text{rej},\log g}\) |
|-------------------------|---------------------|-----------------------------|-----------------------------|-----------------------------|------------------|------------------|------------------|
| Echelle                 | 227                 | \(-85 \pm 14\)             | 209                         | 11                          | \(-0.12 \pm 0.03\) | 0.43             | 6                |
| Soubiran & Girard       | 107                 | \(-63 \pm 26\)             | 262                         | 7                           | \(-0.05 \pm 0.03\) | 0.35             | 2                |
| All                     | 334                 | \(-72 \pm 14\)             | 251                         | 12                          | \(-0.10 \pm 0.02\) | 0.40             | 9                |

**Notes.** \(N_{\text{tot}}\) is the total number of observations in the reference data sets, and \(N_{\text{rej},T_{\text{eff}}}\) and \(N_{\text{rej},\log g}\) are the numbers of observations rejected by the iterative procedure for estimating the mean difference and dispersion for \(T_{\text{eff}}\) and \(\log g\), respectively.
bias at low metallicity. This behavior is expected because the raw output of the DR3 pipeline (panel marked original) and the echelle value reaches 0.4 dex for the highest metallicity determinations agree well. Adding log $N$ to the calibration relation does not hold for the DR3 parameters. Ideally, the DR3 parameters would not need a calibration relation. However, the raw output of the DR3 pipeline still suffers from a small systematic effect, underestimating the true metallicity by $\sim 0.1$ dex with some systematic dependency on $T_{\text{eff}}$.

Applying the calibration relations proposed, the RAVE metallicities agree with the echelle values (see Table 8). However, as can be seen from Figure 15, a systematic trend is observed for dwarfs at high metallicity, where the difference between RAVE and the echelle value reaches 0.4 dex for the highest metallicity stars. At low metallicity, the dispersion is significantly reduced and when applying any of the calibration relations, the two determinations agree well. Adding log $g$ or S/N to the calibration relation does not improve the residuals significantly. For log $g$ this is understood as it is the atmospheric parameter with the largest uncertainty. Hence, its dispersion prevents it from having significant weight in the calibration relation, even though we know the error on this parameter is strongly correlated to errors in $[\text{M}/\text{H}]$ (see Section 3.2.2). For S/N, the situation is less clear but part of its low weight in the calibration relation is linked to the fact that, in order to observe RAVE targets at high resolution, we selected targets in the bright part of the catalog to ensure enough S/N in the spectra to allow precise measurements of the atmospheric parameters. Hence, the region of the S/N space where this parameter plays an important role ($S/N < 20$) is not properly sampled, lowering its weight on the calibration relation whereas above this threshold, no correlation with S/N is observed.

Finally, to improve on the situation for the dwarfs, we split the sample between dwarfs and giants (see Figure 13 for the criterion used) and applied the same procedure to each subpopulation. The result of these calibration relations is presented in Figure 16, the basic statistics being reported in Table 8 for each calibration relation.

### Table 7

Coefficients in the Calibration Relation for the RAVE Metallicities Using Different Sets of Parameters for the Fit

| Calibration | $N_{\text{tot}}$ | $c_0$ | $c_1$ | $c_2$ | $c_3$ | $c_4$ | $c_5$ | Full sample |
|-------------|-----------------|------|------|------|------|------|------|-------------|
| DR2 calibration | ... | 0.404 | 0.938 | 0.367 | ... | -0.064 | ... | ... |
| DR3 no S/N no log $g$ | 223 | 0.578 $\pm$ 0.098 | 1.095 $\pm$ 0.022 | 1.246 $\pm$ 0.143 | $-0.520 \pm 0.089$ | ... | ... | ... |
| DR3 with S/N | 217 | 0.587 $\pm$ 0.091 | 1.106 $\pm$ 0.024 | 1.261 $\pm$ 0.140 | $-0.579 \pm 0.078$ | ... | ... | ... |
| DR3 with log $g$ | 223 | 0.518 $\pm$ 0.127 | 1.111 $\pm$ 0.031 | 1.252 $\pm$ 0.144 | $-0.399 \pm 0.187$ | $-0.019 \pm 0.026$ | ... | ... |
| DR3 with S/N and log $g$ | 222 | 0.429 $\pm$ 0.132 | 1.101 $\pm$ 0.032 | 1.171 $\pm$ 0.147 | $-0.391 \pm 0.186$ | $-0.018 \pm 0.026$ | ... | ... |

Notes. $N_{\text{tot}}$ is the total number of data points used to derive the calibration, $c_i$ are the coefficients from Equation (2). The first line presents the output of the new RAVE pipeline while the second line presents the results obtained when one applies the calibration relation of Paper II. The lines that follow are the calibration relations obtained using the new pipeline outputs.

### Table 8

General Properties of the Different Calibration Relations Presented in Table 7

| Calibration | $\Delta[M/\text{H}]$ | $\sigma_{[M/\text{H}]}$ | $N_{\text{rej}}$ |
|-------------|-----------------|-----------------|----------------|
| No calibration | 0.10 | 0.24 | ... |
| DR2 calibration | -0.23 | -0.23 | ... |
| DR3 no SNR no log $g$ | +0.00 | 0.18 | 4 |
| [-] Dwarfs | -0.01 | 0.14 | 7 |
| [-] Giants | 0.00 | 0.18 | 4 |
| DR3 with SNR | 0.00 | 0.16 | 10 |
| [-] Dwarfs | 0.01 | 0.10 | 21 |
| [-] Giants | 0.00 | 0.14 | 12 |
| DR3 with log $g$ | 0.00 | 0.18 | 4 |
| [-] Dwarfs | 0.00 | 0.10 | 14 |
| [-] Giants | 0.00 | 0.18 | 4 |
| DR3 with SNR and log $g$ | 0.00 | 0.17 | 5 |
| [-] Dwarfs | 0.00 | 0.10 | 15 |
| [-] Giants | 0.00 | 0.18 | 4 |

Notes. $\Delta[M/\text{H}]$ is the mean difference $[M/\text{H}]_{\text{raw}} - [M/\text{H}]_{\text{corrected}}$ and $\sigma_{[M/\text{H}]}$ is the dispersion. $N_{\text{rej}}$ is the number of observations rejected by the iterative procedure as outliers. For each calibration relation, we also provide separate statistics for dwarfs and giants obtained using the calibration relations derived specifically for each sample.
Using separate calibration relations for dwarfs and giants does help improve the dispersion for dwarfs, but, as we can see in Figure 16, the calibration relation is unable to remove the bias at high [M/H], the most discrepant stars being rejected by the fit. Only a mild improvement is obtained. Separating the dwarfs from the giants changes the calibrated metallicity for
The Astronomical Journal, 141:187 (22pp), 2011 June

Siebert et al.

New calibration split
no logg no SNR

New calibration separate
with SNR

New calibration separate
with logg

New calibration separate
with logg +SNR

\[ \text{[M/H]}_{\text{ref}} \text{(dex)} \]
\[ \text{[M/H]}_{\text{ref}} - \text{[M/H]}_{\text{cor}} \text{(dex)} \]

Figure 16. Same as Figure 15 but using separate calibration relations for dwarfs and giants.

these stars by only 0.02 dex, or 0.05 dex if one also uses log g in the calibration.

4. CATALOG PRESENTATION

The DR3 release of the RAVE catalog contains 83,072 radial velocity measurements for 77,461 individual stars. Atmospheric parameters are provided for 41,672 spectra (39,833 stars). These data were acquired over 257 observing nights, spanning the time interval 2003 April 11 to 2006 March 12, and 976 fields. The data new to this release cover the time interval 2005 March 31 to 2006 March 12, where 32,477 new spectra were collected. The total coverage of the pilot survey is then 11,500 deg². Figure 17 plots the general pattern of (heliocentric) radial velocities, where the dipole distribution is due to a combination of asymmetric drift and the solar motion with respect to the local standard of rest.

The DR3 release is split into two catalogs: Catalog A and Catalog B. The first catalog contains the higher signal-to-noise data, which yields reliable values for the stellar parameters, and includes both radial velocities and stellar parameters (temperature, gravity, and metallicity). The second catalog contains the lower signal-to-noise data and does not include stellar parameters. The criterion for dividing between the two catalogs was based on the STN values, where available, with a threshold value of STN = 20 between Catalogs A and B. Table 9 summarizes the catalogs, where we see that 70% of the data are in Catalog A.

The DR3 release can be queried or retrieved from the Vizier database at the CDS, as well as from the RAVE collaboration Web site (www.rave-survey.org). Table 12 describes its column entries, where the same format is used for both catalogs for ease-of-use even though the stellar parameter columns are NULL in Catalog B. Catalog A contains the measured stellar parameters from the RAVE pipeline and includes also the inferred value of the $\alpha$-enhancement. As explained in the DR2 paper, this is provided strictly for calibration purposes only and cannot be used to infer the $\alpha$-enhancement of individual objects.

Following Paper II, in Figure 18 we plot the location of all spectra on the temperature-gravity-metallicity wedge for different slices in Galactic latitude. The main-sequence and giant-star groups (particularly the red-clump branch) are clearly visible, with their relative frequency and metallicity distribution varying with latitude. For the hotter stars ($T_{\text{eff}} > 9000$ K)
Figure 17. Aitoff projection in Galactic coordinates of RAVE third data release fields. The yellow line represents the celestial equator and the background is from Axel Mellinger’s all-sky panorama.

Figure 18. Temperature-gravity-metallicity plane for different wedges in Galactic latitude.

Table 9
The two DR3 Catalogs

| Catalog Name | Number of Entries | Selection Criteria | Results Included |
|--------------|-------------------|--------------------|------------------|
| Catalog A    | 57,272            | $20 < \text{STN} \text{ or } 20 < S/N$ | Radial velocities, stellar parameters |
| Catalog B    | 25,800            | $6 \leq \text{STN} < 20 \text{ or } 6 \leq S/N < 20$ | Radial velocities |

there is significant discretization in log $g$. This is caused by the combination of a degeneracy in metallicity for these Paschen-line dominated spectra and a smaller range in possible log $g$, which leads to the penalization algorithm having a tendency to converge on the same solution. Figure 19 plots histograms of the parameters for different latitudes. The fraction of main-sequence stars increases with the distance from the Galactic plane (see Paper II for a discussion). The metallicity distribution function becomes more metal poor for the higher-latitude fields as well. Also the shift of the temperature distribution toward higher temperature turnoff stars with decreasing Galactic latitude is clearly visible.

4.1. Photometry

As in the previous releases, DR3 includes cross-identifications with optical and near-IR catalogs (USNO-B: $B1, R1, B2, R2$; DENIS: $I, J, K$; 2MASS: $J, H, K$). The nearest-neighbor criterion was used for matching and we
provide the distance to the nearest neighbor and a quality flag on the reliability of the match. Table 10 shows the completeness and flag statistics for the two catalogs, where we see that Catalog A’s coverage and quality are slightly better than those of Catalog B. This is because Catalog A is dominated by lower-magnitude objects while Catalog B contains mainly the higher-magnitude objects. For both, however, nearly all stars were successfully matched with the 2MASS and USNO-B catalogs. About 3/4 of the stars lie in the sky area covered by the DENIS catalog.

Our wavelength range is best represented by the I filter. As discussed in detail in Paper II, there are some problems with a fraction of the DENIS I magnitudes, particular for $I_{\text{DENIS}} < 10$, due to saturation effects. Following the methodology of DR2, we compare the DENIS magnitudes against an approximate one calculated from 2MASS J and K (see Equation (24) in Paper II). Figure 20 compares the DENIS and the “jury-rigged” 2MASS I magnitudes for all stars in the current data release. We see that the two magnitudes agree for the majority of objects, but a significant fraction have large errors: 10% have $|I_{\text{DENIS}} - I_{\text{2MASS}}| > 0.2$, with differences of up to 4 mag. It was proposed in Paper II that $I_{\text{DENIS}}$ magnitudes should be avoided when the condition

$$-0.2 < (I_{\text{DENIS}} - I_{\text{2MASS}}) - (J_{\text{2MASS}} - K_{\text{2MASS}}) < 0.6 \quad (3)$$

is not met. In Figure 20, we differentiate between the stars that do and do not satisfy this condition, where we see how it selects out the problematic $I_{\text{DENIS}}$ magnitudes.

### 4.2. Proper Motions

As in DR2, the proper motions are sourced from the PPMX, Tycho-2, SSS, and UCAC2 catalogs. As described in Paper II, the most accurate available proper motion is chosen for each object. Table 11 summarizes for both Catalogs A and B the proper-motion sources and the average and 90th percentile errors. The quality of the proper motions is slightly worse for Catalog B, because the fainter objects in this catalog include a higher proportion of the more distant objects in the survey.
### Table 12
Catalog Description

| Column Number | Character Range | Format | Units | Symbol | Description |
|---------------|-----------------|--------|-------|--------|-------------|
| 1             | 1–16            | A16    |       |        | Name        |
| 2             | 18–34           | A16    |       |        | RAVEID      |
| 3             | 36–48           | F12.8  | deg   |        | RA         |
| 4             | 50–62           | F12.8  | deg   |        | DE         |
| 5             | 64–73           | F9.5   | deg   | GLON   | Galactic longitude |
| 6             | 75–84           | F9.5   | deg   | GLAT   | Galactic latitude |
| 7             | 86–93           | F7.1   | km s$^{-1}$ | HRV   | Heliocentric radial velocity |
| 8             | 95–101          | F6.1   | km s$^{-1}$ | eHRV  | HRV error |
| 9             | 103–109         | F6.1   | mas yr$^{-1}$ | pmRA  | Proper motion R.A. |
| 10            | 111–117         | F6.1   | mas yr$^{-1}$ | epmRA | Error proper motion R.A. |
| 11            | 119–125         | F6.1   | mas yr$^{-1}$ | pmDE  | Proper motion DE |
| 12            | 127–133         | F6.1   | mas yr$^{-1}$ | epmDE | Error proper motion DE |
| 13            | 135–136         | I1     |       |        | Spm        |
| 14            | 138–143         | F5.2   |        |        | Imag       |
| 15            | 145–153         | A8     |       |        | Obsdate    |
| 16            | 155–165         | A10    |       |        | FieldName  |
| 17            | 167–168         | I1     |       |        | PlateNumber|
| 18            | 170–173         | I1     |       |        | FiberNumber|
| 19            | 175–180         | I5     |       |        | Teff       |
| 20            | 182–186         | F4.2   | dex   |        | logg       |
| 21            | 188–193         | F5.2   | dex   |        | Met        |
| 22            | 195–199         | F4.2   | dex   |        | alpha      |
| 23            | 201–209         | F8.1   |       |        | CHISQ      |
| 24            | 211–216         | F5.1   |       |        | S2N        |
| 25            | 218–223         | F5.1   |       |        | STN        |
| 26            | 225–230         | F5.1   |       |        | CorrelationCoeff |
| 27            | 232–236         | F4.2   |       |        | PeakHeight |
| 28            | 238–244         | F6.1   | km s$^{-1}$ | PeakWidth | Width of correlation peak |
| 29            | 246–252         | F6.1   | km s$^{-1}$ | CorrectionRV | Zero-point correction applied |
| 30            | 254–260         | F6.1   | km s$^{-1}$ | SkyRV   | Measured HRV of sky |
| 31            | 262–268         | F6.1   | km s$^{-1}$ | SkyeRV  | Error HRV of sky |
| 32            | 270–275         | F5.1   |       |        | SkyCorrelation |
| 33            | 277–282         | F5.1   |       |        | SNRatio    |
| 34            | 284–290         | F6.3   | mag   |        | BT         |
| 35            | 292–298         | F6.3   | mag   |        | eBT        |
| 36            | 300–306         | F6.3   | mag   |        | VT         |
| 37            | 308–314         | F6.3   | mag   |        | eVT        |
| 38            | 316–328         | A12    |       |        | USNOID     |
| 39            | 330–336         | F6.3   | mas   |        | DisUSNO    |
| 40            | 338–343         | F5.2   | mag   |        | B1         |
| 41            | 345–350         | F5.2   | mag   |        | R1         |
| 42            | 352–357         | F5.2   | mag   |        | B2         |
| 43            | 359–364         | F5.2   | mag   |        | R2         |
| 44            | 366–371         | F5.2   | mag   |        | USNO       |
| 45            | 373–374         | A1     |       |        | XidQualityUSNO |
| 46            | 376–392         | A16    |       |        | DENISID    |
| 47            | 394–400         | F6.3   | mas   |        | DisDENIS   |
| 48            | 402–408         | F6.3   | mag   |        | IDENIS     |
| 49            | 410–414         | F4.2   | mag   |        | eIDENIS    |
| 50            | 416–422         | F6.3   | mag   |        | JDENIS     |
| 51            | 424–428         | F4.2   | mag   |        | eJDENIS    |
| 52            | 430–436         | F6.3   | mag   |        | KDENIS     |
| 53            | 438–442         | F4.2   | mag   |        | eKDENIS    |
| 54            | 444–445         | A1     |       |        | XidQualityDENIS |
| 55            | 447–463         | A16    |       |        | TWOMASSID  |
| 56            | 465–471         | F6.3   | mas   |        | Dis2MASS   |
| 57            | 473–479         | F6.3   | mag   |        | J2MASS     |
| 58            | 481–485         | F4.2   | mag   |        | eJ2MASS    |
| 59            | 487–493         | F6.3   | mag   |        | H2MASS     |
| 60            | 495–499         | F4.2   | mag   |        | eH2MASS    |
| 61            | 501–507         | F6.3   | mag   |        | K2MASS     |
| 62            | 509–513         | F4.2   | mag   |        | eK2MASS    |
| 63            | 515–518         | A3     |       |        | TWOMASSphotFLAG |
This third data release of the RAVE survey reports 83,072 radial velocity measurements for 77,461 stars, covering more than 11,500 deg² in the southern hemisphere. The sample is randomly selected in the magnitude interval $9 \leq I \leq 12$. This release also provides stellar atmospheric parameters for 41,672 spectra representing 39,833 individual stars.

Since DR2, we modified the RAVE processing pipeline to account better for defects in the observed spectra due to bad pixels, fringing, or locally inaccurate continuum normalization. The main motivation of the modification was to improve on the known limitation of our estimates of stellar atmospheric parameters. Also, the algorithm to correct for the zero-point offset has been revised, enabling a better control of our radial velocity accuracy.

The accuracy for the radial velocities is marginally improved with the new pipeline, the distribution of internal errors in the radial velocities has mode 0.8 km s⁻¹ and median 1.2 km s⁻¹, and 95% of the sample having an internal error better than 5 km s⁻¹, which is the primary objective of RAVE. Comparing our radial velocities to independent estimates based on 373 measurements from five data sources, we find no evidence for a bias in our radial velocities, our mean radial velocity error being $\sim 2$ km s⁻¹.

A significant effort has been spent in improving the quality and validation of our stellar atmospheric parameters with respect to the external errors and biases. The internal errors due to the method and the sampling of synthetic spectra grid remain unchanged and are presented in Paper II. The new calibration sample consists of 362 stars from four different sources (either custom observations or literature) and cover the full HR diagram. Comparing our measured parameters to independent estimates based on 373 measurements from five data sources, we find no evidence for a bias in our radial velocities, our mean radial velocity error being $\sim 2$ km s⁻¹.

5. CONCLUSIONS

Table 12 describes the contents of individual columns of the Third Data Release catalog. The catalog is accessible online at http://www.rave-survey.org and via the Strasbourg Astronomical Data Center (CDS) services.

REFERENCES

Aihara, H., et al. 2011, ApJ, 193, 29
Anders, E., & Grevesse, N. 1989, Geochim. Cosmochim. Acta, 53, 197
Breddels, M. A., et al. 2010, A&A, 511, 90
Burnett, B., & Binney, J. 2010, MNRAS, 407, 339
Burnett, B., et al. 2011, A&A, in press
Coşkunoğlu, B., et al. 2011, MNRAS, 412, 1237
Eisenstein, D., et al. 2011, AJ, submitted (arXiv:1101.1529)
Famaey, B., et al. 2005, A&A, 430, 165
Fellhauer, M., et al. 2006, ApJ, 651, 167
Fulbright, J. P. 2000, AJ, 120, 1841
Fulbright, J. P., McWilliam, A., & Rich, R. M. 2006, ApJ, 663, 821
Fulbright, J. P., McWilliam, A., & Rich, R. M. 2007, ApJ, 661, 1152
Fulbright, J. P., et al. 2010, ApJ, 724, L104

Table 12 (Continued)

| Column Number | Character Range | Format | Units | Symbol Description |
|---------------|-----------------|--------|-------|--------------------|
| 64            | 520–521         | A1     | ...   | XidQuality2MASS     |
| 65            | 523–526         | A3     | ...   | ZeroPointFLAG       |
| 66            | 528–536         | A8     | ...   | SpectraFLAG         |
| 67            | 538–542         | F4.2   | ...   | MaskFLAG            |

Notes. (1) Flag value between 0 and 4—0: no proper motion; 1: Tycho-2 proper motion; 2: Supercosmos Sky Survey proper motion; 3: PPMX proper motion; 4: GSC1.2 $\times$ 2MASS proper motion; 5: UCAC-2 proper motions. (2) Flag value is A, B, C, D, or X—A: good association; B: 2 solutions within 1 arcsec; C: more than two solutions within 1 arcsec; D: nearest neighbor more than 2 arcsec away; X: no possible counterpart found. (3) Flag value of the form FGSH, F being for the entire plate, G for the 50 fibers group to which the fiber belongs. S flags the zero-point correction used: C for cubic and S for a constant shift. If H is set to * the fiber is close to a 15 fiber gap. For F and G the values can be A, B, C, D, or E—A: dispersion around correction lower than 1 km s⁻¹; B: dispersion between 1 and 2 km s⁻¹; C: dispersion between 2 and 3 km s⁻¹; D: dispersion larger than 3 km s⁻¹; E: less than 15 fibers available for the fit. (4) Flag identifying possible problem in the spectra (values can be combined)—a: asymmetric Ca lines; c: cosmic ray pollution; e: emission line spectra; n: noise-dominated spectra; l: no lines visible; w: weak lines; g: strong ghost; t: bad template fit; s: strong residual sky emission; cc: bad continuum; r: red part of the spectra shows problem; b: blue part of the spectra shows problem; p: possible binary/doubled lined; x: peculiar object. (5) Flag identifying the fraction of the spectrum unaffected by continuum problem from the MASK program. Spectrum with MaskFLAG lower than 0.70 must be used with caution.
Kiss, L. L., et al. 2011, MNRAS, 411, 117
Klement, R. J., Bailer-Jones, C. A. L., Fuchs, B., Rix, H. W., & Smith, K. W. 2011, ApJ, 726, 103
Klement, R., et al. 2008, ApJ, 685, 261
Matijević, G., et al. 2010, AJ, 140, 184
Matijević, G., et al. 2011, AJ, submitted
Munari, U., et al. 2005, A&A, 442, 1127
Munari, U., et al. 2008, A&A, 488, 969
Munari, U., et al. 2009, A&A, 503, 511
Nordström, B., et al. 2004, A&A, 418, 989

Perryman, M. A. C., et al. 1997. The Hipparcos and Tycho Catalogues: Astrometric and Photometric Star Catalogues Derived from the ESA Hipparcos Space Astrometry Mission (ESA SP-1200; Noordwijk: ESA)

Ruchti, G. R., et al. 2010, ApJ, 721, L92
Seabroke, G., et al. 2008, MNRAS, 384, 11
Siebert, A., et al. 2008, MNRAS, 391, 793
Siebert, A., et al. 2011, MNRAS, 412, 2026
Smith, M. C., et al. 2007, MNRAS, 379, 755
Soubiran, C., & Girard, P. 2005, A&A, 438, 139
Steinmetz, M., et al. 2006, AJ, 132, 1645 (Paper I)
Veltz, L., et al. 2008, A&A, 480, 753
Williams, M. E. K., et al. 2011, ApJ, 728, 102
Wilson, M. L., et al. 2011, MNRAS, in press (arXiv:1009.2052)
Yanny, B., et al. 2009, AJ, 137, 4377
Zwitter, T., et al. 2008, AJ, 136, 421 (Paper II)
Zwitter, T., et al. 2010, A&A, 522, A54