Root proximity of the anchoring miniscrews of orthodontic miniplates in the mandibular incisal area: Cone-beam computed tomographic analysis

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Objective: This outcome analysis study evaluated the actual positions of the orthodontic miniplate and miniplate anchoring screws (MPASs) and the risk factors affecting adjacent anatomic structures after miniplate placement in the mandibular incisal area. Methods: Cone-beam computed tomographic images of 97 orthodontic miniplates and their 194 MPASs (diameter, 1.5 mm; length, 4 mm) in patients whose miniplates provided sufficient clinical stability for orthodontic treatment were retrospectively reviewed. For evaluating the actual positions of the miniplates and analyzing the risk factors, including the effects on adjacent roots, MPAS placement height (PH), placement depth (PD), plate angle (PA), mental fossa angle (MA), and root proximity were assessed using the paired t-test, analysis of variance, and generalized linear model and regression analyses. Results: The mean PDs of MPASs at positions 1 (P1) and 2 (P2) were 2.01 mm and 2.23 mm, respectively. PA was significantly higher in the Class III malocclusion group than in the other groups. PH was positively correlated with MA and PD at P1. Of the 97 MPASs at P1, 49 were in the no-root area and 48 in the dentulous area; moreover, 19 showed a degree of root contact (19.6%) without root perforation. All MPASs at P2 were in the no-root area. Conclusions: Positioning the miniplate head approximately 1 mm lower than the mucogingival junction is highly likely to provide sufficient PH for the P1-MPASs to be placed in the no-root area.

Key words: Cone-beam computed tomography, Miniplate, Anchorage, Orthodontic treatment

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INTRODUCTION

The use of orthodontic miniscrews to achieve absolute anchorage has now become a staple part of orthodontic treatment strategies.1-3 Orthodontic miniscrews are inexpensive and easy to place and remove. Moreover, their small size allows orthodontists to place them at various locations inside the oral cavity for different applications. However, an orthodontic miniscrew placed in an interradicular space can interfere with anteroposterior tooth movement in some cases. In addition, the initial stability of a miniscrew that contacts the root of a tooth can be jeopardized.4,5 Miniscrews can induce molar intrusion and reduce the side effects that occur with dental anchorage. However, simple miniscrew anchorage is vulnerable to failure and perhaps incapable of bearing the heavier forces or moments that might be necessary for molar control during uprighting.6 In contrast, orthodontic miniplates (OMPs) can serve as possible alternatives to orthodontic miniscrews in some anatomically complex areas. Miniplates are often anchored using miniplate anchoring screws (MPASs) and can be placed at locations much lower than those reachable using orthodontic miniscrews, sometimes completely avoiding interradicular spaces.6-9 In addition, an OMP anchored by at least two MPASs provides an increased contact surface between the plate and the bone.5 When compared to orthodontic miniscrews, OMPs that are appropriately installed can endure more demanding orthodontic or orthopedic forces for a longer time.9,10 However, conventional OMPs have shortcomings such as the need for flap surgery, postoperative medication, and post-placement complications despite stable treatment results.8,9

OMPs with round tubes are intended to function independently of regular fixed orthodontic appliances on the teeth as well as indirect anchorage sources (Figure 1).8-11 Such OMPs are flexible and have a 0.036-inch tube-shaped head that remains exposed to the oral cavity during active orthodontic treatment. Two to three MPASs are often required to stabilize an OMP.9,12 The maxillary posterior region is one of the most common locations used for the placement of OMPs. Kim et al.12 evaluated the position and associated risk factors in the use of OMPs and their 4-mm MPASs in the posterior maxilla by employing three-dimensional (3D) cone-beam computed tomography (CBCT). The average bone penetration depth of an MPAS was 2.48 mm, and it did not cause any clinically significant damage to the adjacent roots. The mandibular incisal area is another commonly used location for miniplate placement, since this area is favorable for many direct and indirect orthodontic biomechanics.10-12 In addition, this area is favored because the surgical placement procedure is relatively simple because of easy access and minimal anatomic limitations. Despite the frequent placement and application of OMPs in the mandibular incisal area, very limited data have been reported on what contributes to their successful clinical stability in this area.

The purpose of this outcome analysis study was to use retrospectively collected CBCT data to evaluate the actual positions of OMPs and their MPASs placed in the mandibular incisal area, as well as to assess the risk factors and other effects of the 4-mm MPASs on adjacent anatomic structures after placement in patients who had unfavorable anatomic features hindering standard single miniscrew anchorage.

MATERIALS AND METHODS

Patients

Orthodontic patient records from 2009 to 2019 at the Kyung Hee University Dental Hospital were reviewed to

Figure 1. Clinical application of the orthodontic miniplate (OMP) used in this study. A, OMP for lower intrusion and molar protraction. Intraoral photographs and panoramic radiographs show multidirectional tooth movement achieved using a bendable OMP. B, Schematic illustration of an OMP combined with a reverse curve NiTi application for lower posterior uprighting with intrusion.
identify patients whose treatment utilized a 1-shaped OMP in the mandibular incisal area during orthodontic treatment. An I-shaped OMP with two MPAS holes was the miniplate of choice for the patients in the study (C-tube plate, JBOP-001-1; Jin Biomed Co., Bucheon, Korea). The study included patients with successful miniplate installation in the mandibular incisal area. Installation success was defined as the provision of sufficient anchorage by the miniplate during orthodontic treatment lasting more than 6 months without any complications. The exclusion criteria were as follows: (1) insufficient quality radiographic images because of patient movement, metal artifact, or head position; (2) miniplate failure because of detachment, loosening, abscess, tissue irritation, or wrong insertion direction; and (3) complications such as infection, transient tissue irritation, loosened but functional MPASs, MPAS fracture, or localized inflammation. In total, 97 patients, including 82 requiring lower anterior intrusion or vertical dimension control, three requiring anchorage for molar protraction, and 12 requiring lower posterior uprighting, were selected for the study. They included 38 male and 59 female patients. Their mean age was 22.16 ± 7.84 years, with the ages ranging from 11 to 50 years old. Thirty patients (mean age, 23.3 ± 8.27 years) had skeletal Class I malocclusion, 50 (mean age, 21.9 ± 8.26 years) had Class II malocclusion, and 14 (mean age, 20.5 ± 4.65 years) had Class III malocclusion at the time of miniplate installation. This study was approved by the Institutional Review Board of Kyung Hee University (IRB-KH-DT-19038).

OMP placement protocol

One OMP was placed per patient on the labial aspect of the anterior mandibular bone between the two central incisors (Figure 2). The MPAS hole close to the OMP head was designated as position 1 (P1) and the hole further away from the head as position 2 (P2) (Figure 2A). Each MPAS was 4 mm in length and 1.5 mm in diameter. The OMP placement protocol has been previously described in detail. Briefly, a flat 1-shaped OMP was bent using a sharp-tipped Weingart plier (Osung MND, Gimpo, Korea) according to the shape of the patient’s mandibular symphysis as seen on the cephalometric image. After administering local anesthesia, an approximately 7-mm vertical incision was made at the vestibule between the two mandibular incisors by using a #15 blade. The peristome was carefully lifted using a periosteal elevator and the OMP was placed on the bone with a self-drilling MPAS at P2, while the miniplate head was positioned at the level of the mucogingival junction (Figure 2B and 2C). The second MPAS was then placed at P1, avoiding the teeth (Figure 2D). The incision was sutured with 4-0 silk (Figure 2E). The miniplate was loaded with orthodontic level forces after 1 week and was retained successfully for 6 months or longer in all patients.

CBCT protocol and measurement

CBCT scans were acquired with a voxel size of 0.39 mm³ (Alphad Vega; Asahi Roentgen, Kyoto, Japan) and formatted using a Picture Archiving and Communication System (Infinite, Seoul, Korea). The obtained data were imported as digital imaging and communications in medicine (DICOM) files using OnDemand 3D™ (Cy-
bermed, Daejeon, Korea). Two examiners performed all of the measurements on the CBCT images by using the same computer and screen (resolution, 1,920 × 1,440 pixels) under ambient room lighting conditions. The sagittal plane was drawn perpendicular to the occlusal plane, which was established by the occlusal surfaces of the mandibular first molars. Miniplate placement height (PH), placement depth (PD), plate angle (PA), mental fossa angle (MA), and root proximity (RP) were assessed. PH was measured as the distance from the cementoenamel junction (CEJ) to the center of P1 on the adjusted sagittal image (Figure 3A). PD was measured as the bone thickness an MPAS penetrated on the sagittal plane (Figure 3B); PD1 was the thickness at P1 and PD2 at P2. PA was defined as the angle of the step-up bend on the miniplate that was made by an orthodontist based on the soft- and hard-tissue anatomic features at the incision site (Figure 3C). MA was defined as an angle made by the two tangents on the sagittal plane, one from the most prominent midpoint of the chin and the other from the most prominent point on the labial root surface (Figure 3D). When an MPAS contacted the adjacent root surface or impinged the periodontal ligament (PDL) of the mandibular incisors in any of the three planes (axial, cross-sectional, or sagittal), it was defined as RP. MPASs were divided into four groups according to RP: group 1 with frontal overlap, group 2 with an interdental space, group 3 with lateral contact, and group 4 in a no-root area. Group 1 was further subdivided into no contact (1a), frontal contact (1b, MPAS contacted the labial surface of the mandibular incisor), and root perforation (1c) (Figure 4B).

**Statistical analysis**

To determine interexaminer reproducibility, the same examiners repeated the measurements on 20 sets of randomly selected CBCT data after a 2-week interval. The resultant intraclass correlation coefficient (ICC, 0.75–0.88; p < 0.001; Kappa value, 0.9) and interobserver coefficient (ICC, 0.84–0.97; p < 0.001; Kappa value, 0.85) indicated high reliability. A paired t-test was used to evaluate the differences between PD1 and PD2, which

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**Figure 3.** Schematic illustration and actual cone-beam computed tomographic image of the orthodontic miniplate (OMP) in the anterior mandible. A, The vertical distance from the cementoenamel junction to the center of the miniplate anchoring screw (MPAS) at position 1 (P1) (placement height, PH). B, Distance from the outer surface of the labial cortical bone to the tip of the MPASs at P1 (PD1) and P2 (PD2). C, The angle of the step-up bend on the OMP that was made by an orthodontist based on the soft- and hard-tissue anatomic features of the incision site (plate angle, PA). D, The angle made by the two tangents on the sagittal plane, one from the most prominent midpoint of the chin and the other from the most prominent point on the labial root surface (mental fossa angle, MA).
followed a normal distribution at the 5% significance level. Age and sex differences were disregarded. Generalized linear model and regression analyses were used to evaluate the associations of PH, PD, PA, and MA. Analysis of variance (ANOVA) and multivariable comparison using Bonferroni post-hoc analysis were used to compare differences between PH, PD, PA, and MA according to the skeletal malocclusion classification or RP. All statistical analyses were performed using SAS software ver. 8.0 (SAS Institute, Cary, NC, USA).

RESULTS

Tables 1 and 2 show the means and standard deviations of PH, PD, PA, and MA of the current outcome analysis. PD1 values (2.01 ± 0.43 mm) were significantly lower than PD2 values (2.23 ± 0.38 mm; p < 0.05). ANOVA revealed that PA values in the Class III group (63.32 ± 8.55) were significantly lower than those in the Class I (50.57 ± 9.25) and Class II groups (54.24 ± 12.59). No differences were observed in PH, PD, and MA among the different malocclusion groups.

Spearman’s correlation analysis to examine the mutual effects among the variables showed that PH had a positive correlation with MA and a negative correlation with PA, while PD1 values had a negative correlation with PA and a positive correlation with PH. The coefficient (estimate) of multivariate regression analysis adjusted by sex and age was confirmed to be consistent with the trend indicated by Spearman’s correlation coefficient (Table 3).

Table 4 shows the average value of each measured variable and its range according to the RP classification. When assessed using RP, 49 MPASs at P1 were in the no-root area (group 4; Figure 5). Among the 48 MPASs at P1 that were in the dentulous area, 11 showed no contact (group 1a; Figure 5A). Among the MPASs, 19 showed root contact: 10 showed frontal contact (group 1b; Figure 5B) and nine showed lateral contact (group 3; Figure 5C). Moreover, 18 MPASs were in the interdental spaces (group 2; Figure 5D). However, root perforation was absent. No statistically significant differences were observed among PH, PD, and MA according to RP. PH showed a statistically significant difference between the dentulous and no-root areas (12.73 mm in group 4; p < 0.0001).

DISCUSSION

In this study, we used MPASs with a length of 4 mm and a diameter of 1.5 mm. Nevertheless, 19 of the 97 MPASs still showed some degree of root involvement, with nine contacting the lateral surface of the root and 10 contacting the labial surface of the root. All root contacts occurred at P1. In a clinical situation, when an MPAS contacts the root surface, most patients can feel it immediately and report discomfort even under local anesthesia, thereby prompting an immediate alteration.
of the direction of MPAS placement in situ. This might be a reason why no root perforation was noted in this study. Kuroda et al.\(^13\) reported that miniscrew contact with the root can be a major risk factor in the failure of orthodontic anchorage. They also suggested that sufficient bone remodeling does not occur where screws are in contact with adjacent teeth. In contrast, Kim et al.\(^14\) reported that localized RP itself might not be a major causal factor of orthodontic mini-implant failure if primary stability is achieved by other contributing factors, such as a large bone-to-implant contact area, loading time, and treatment protocol, which can compensate for the initial RP. Partial root contact by the MPAS at P1 is compensated for by the MPAS at P2 through the mini-plate, which provides primary stability to the MPAS at P1.

When the damage caused by root-screw contact is limited to the PDL, the injury will likely be repaired without further consequence.\(^15\) When the cementum is damaged and the dentin is exposed because of continuous stimulation, biofilm exposure may occur and multinucleated macrophages will colonize and start resorbing the denuded surfaces. If the affected area is large and deep, the tooth can be truly ankylosed.\(^16\) Since the average PD1 was 2 mm, RP will be much less than that after subtracting the thickness of the labial bone and PDL.

### Table 2. Comparison of miniplate measurements according to skeletal malocclusion classification

| Variable | Skeletal classification | p-value |
|----------|------------------------|---------|
|          | Class I (n = 33)       | Class II (n = 50) | Class III (n = 14) |
| PH (mm)  | 11.32 ± 2.05           | 11.41 ± 2.15   | 11.69 ± 1.80   | 0.8841 |
| PD1 (mm) | 1.97 ± 0.33            | 2.04 ± 0.48    | 2.02 ± 0.46    | 0.7208 |
| PD2 (mm) | 2.22 ± 0.32            | 2.28 ± 0.42    | 2.09 ± 0.36    | 0.2567 |
| PA (°)   | 50.57 ± 9.25\(^a\)     | 54.24 ± 12.59\(^b\) | 63.32 ± 8.55\(^a\) | 0.0039 |
| MA (°)   | 142.08 ± 7.12          | 139.25 ± 9.40  | 143.15 ± 6.74  | 0.4629 |

Values are presented as mean ± standard deviation. Kruskal Wallis test with Bonferroni post-hoc analysis was performed.

PH, vertical distance from the cementoenamel junction to the center of the miniplate anchoring screw (MPAS) at position 1; PD, distance from the outer surface of the labial cortical bone to the tip of the MPASs at positions 1 (PD1) and 2 (PD2); PA, angle of the miniplate placed on the mandibular symphysis; MA, angle made by the two tangents, with one from the most prominent midpoint of the chin and the other from the prominent point on the buccal root surface.\(^a,b\)Statistically significant differences between skeletal malocclusion types in PA; p < 0.05.

### Table 3. The correlation coefficient and multiple generalized linear model estimate between the miniplate measurements adjusted by sex and age

| Independent variable | Dependent variable | Spearman’s correlation | Multiple generalized linear model | |
|----------------------|--------------------|------------------------|----------------------------------|---|
|                      |                    | Correlation coefficient | p-value                          | Estimate | 95% CI | p-value |
| MA                   | PH                 | 0.28                   | 0.0062**                         | 0.78     | 0.31   | 1.25    | 0.001** |
| MA                   | PA                 | 0.09                   | 0.3994                           | −0.01    | −2.85  | 2.83    | 0.996   |
| MA                   | PD1                | 0.09                   | 0.4045                           | 0.05     | −0.06  | 0.16    | 0.346   |
| MA                   | PD2                | 0.12                   | 0.2497                           | 0.06     | −0.04  | 0.15    | 0.243   |
| PA                   | PH                 | −0.24                  | 0.0189*                          | −0.43    | −0.78  | −0.09   | 0.015*  |
| PA                   | PD1                | −0.21                  | 0.0407*                          | −0.08    | −0.16  | −0.01   | 0.035*  |
| PA                   | PD2                | 0.06                   | 0.5314                           | 0.03     | −0.04  | 0.10    | 0.354   |
| PH                   | PD1                | 0.23                   | 0.0222*                          | 0.05     | 0.01   | 0.09    | 0.021*  |
| PH                   | PD2                | 0.08                   | 0.4209                           | 0.01     | −0.03  | 0.05    | 0.538   |

PH, vertical distance from the cementoenamel junction to the center of the miniplate anchoring screw (MPAS) at position 1; PD, distance from the outer surface of the labial cortical bone to the tip of the MPASs at positions 1 (PD1) and 2 (PD2); PA, angle of the miniplate placed on the mandibular symphysis; MA, angle made by the two tangents, with one from the most prominent midpoint of the chin and the other from the prominent point on the buccal root surface; CI, confidence interval.\(^*p < 0.05, **p < 0.01.\)
and hence, tooth ankylosis will be unlikely. Therefore, none of the teeth examined in our study showed ankylosis.

Lee et al. reported that the average safe bone depth to engage an orthodontic miniscrew in the interradicular space of the mandibular incisors was 2.22 ± 0.6 mm, when measured 8 mm apically from the CEJ. An orthodontic miniscrew with a short PD has less surface area for interdigitation to the bone than does a miniscrew with a long PD and large surface area, and this causes miniscrew failure during the initial stage of biomechanical force application. The current study on successfully

Table 4. Comparison of miniplate measurements according to root proximity

| Variable | No contact (n = 11) | Contact (n = 19) | Interdental space (n = 18) | No-root area (n = 49) | p-value |
|----------|-------------------|-----------------|---------------------------|----------------------|---------|
| PH (mm)  | 10.88 ± 1.98b     | 9.75 ± 1.69b    | 9.96 ± 1.66b              | 12.73 ± 1.36a        | < 0.0001|
| PD1 (mm) | 1.81 ± 0.44       | 1.95 ± 0.42     | 2.01 ± 0.42               | 2.09 ± 0.43          | 0.0968  |
| PD2 (mm) | 2.29 ± 0.58       | 2.26 ± 0.47     | 2.25 ± 0.40               | 2.20 ± 0.28          | 0.8296  |
| PA (mm)  | 51.59 ± 11.40     | 49.39 ± 9.54    | 52.56 ± 10.01             | 57.18 ± 12.22        | 0.0823  |
| MA (mm)  | 138.35 ± 6.47     | 142.19 ± 7.81   | 139.99 ± 9.88             | 141.06 ± 8.54        | 0.4169  |

Values are presented as mean ± standard deviation. Kruskal Wallis test with Bonferroni post-hoc analysis was performed. PH, vertical distance from the cementoenamel junction to the center of the miniplate anchoring screw (MPAS) at position 1; PD, distance from the outer surface of the labial cortical bone to the tip of the MPASs at positions 1 (PD1) and 2 (PD2); PA, angle of the miniplate placed on the mandibular symphysis; MA, angle made by the two tangents, with one from the most prominent midpoint of the chin and the other from the prominent point on the buccal root surface.

Figure 5. Cone-beam computed tomographic image of the orthodontic miniplate according to the classification of root proximity. A, Group 1 with subdivision a, no contact. B, Group 1 with subdivision b, frontal contact. C, Group 3, lateral contact. D, Group 2, interdental space. E, Group 4, no-root area.
retained OMPs and their MPASs showed that the average values of PD1 and PD2 were 2.01 mm and 2.23 mm, respectively, indicating that sufficient bone depth could be obtained in the mandibular anterior area for stabilizing C-tube miniplates.16 Statistically significant differences were also observed between PD1 and PD2. All MPASs at P2 were located in the no-root area, thus allowing safe and confident placement of MPASs. Moreover, the tendency of more oblique insertion of MPASs at P2, which is influenced by the soft-tissue resistance at the entry point of incision, could have contributed to the increased engagement of MPASs into the bone.7 Further studies are warranted to elucidate the relationships between the MPAS placement angle and the long-term stability of the miniplate. In our study, the average PH was 11.42 ± 2.05 mm regardless of the malocclusion type. In addition, PH increased as MA increased. PD1 also increased as PH increased. The risk of damaging adjacent roots should decrease when the PH is large, as it will promote more confident insertion of MPASs at P1. Therefore, it will be reasonable to recommend placing miniplates in such a way that the PH is increased whenever the anatomic condition of the surgical site allows it. The average PH in the current study is similar to the average root length of the mandibular central incisor reported by Kim et al.,18 i.e., 11.56 mm in male and 10.99 mm in female patients. Knowing that the average PH at P2 was 12.73 mm and that the MPASs were always in the no-root area, the PH values between P1 and P2 can provide a practical reference point for ensuring the placement of MPASs at P1 in no-root areas as much as possible. To ensure safer and more predictable application of OMPs in the mandibular incisal area, the C-tube head can be positioned approximately 1 mm lower than the mucogingival junction to obtain a sufficient PH for the MPASs at P1 to be placed in no-root areas. This will also help eliminate the possibility of accidental RP without the need for an unnecessarily large and invasive gingival incision for OMP placement.

MA was included in the study to examine whether it influenced PA depending on the different malocclusion types. MA itself did not show any statistically significant difference among the different malocclusion types. However, PA was significantly higher in patients with Class III malocclusion than in those with other malocclusions. Although MA and PA are entirely dependent on individual anatomic variations, one factor that caused the operator to bend the miniplates more in patients with Class III malocclusion could be the gingival biotype, as reported by Kaya et al.19 They evaluated the width of the keratinized gingiva and gingival biotype in the mandibular anterior region according to the skeletal malocclusion classification. They reported that gingival thickness in the mandibular anterior region was 0.71 mm with a thin gingival biotype in Class III malocclusion, even though the findings did not show statistically significant differences among different malocclusion types. However, our clinical observations indicated that patients with Class III malocclusion tended to have greater soft-tissue depths in the mandibular anterior vestibule when an incision was made to place an OMP. Subsequently, this might have prompted the operator to bend the miniplates more to accommodate the soft-tissue thickness in this area. Simultaneously, PA is often determined by the type of necessary biomechanics during orthodontic treatment as well as by anatomic variables. Since a greater PH assures MPAS placement in no-root areas, the practice of miniplate bending is now evolving toward maintaining the total miniplate length with a minimal step-up bend as close to the OMP head as possible for ensuring favorable gingival emergence of the tube head. The current outcome analysis shows that precontouring the miniplate following the curvature of the mental fossa as viewed on sagittal CBCT images can help maximize the plate-to-bone contact. Gingival emergence bending of the miniplate as close to the OMP head as possible also seems important to ensure patient comfort without compromising PH.

When placing OMPs near dentulous areas, clinical guidelines for MPAS placement can be helpful to ensure the safety and predictability of their use. This is because unlike orthodontic miniscrews, monocortical screws used for fixing the miniplates are intended to not approach or damage the roots while preventing any 3D tooth movement.20 To our knowledge, this is the first study to provide quantifiable data on the actual positions of MPASs that successfully provided clinical stability to OMPs placed in the mandibular incisal area when evaluated three dimensionally.21 Nevertheless, this study has some limitations. This was an observational study of clinical outcomes using a single type of miniplate from the same manufacturer and placed in the anterior area. Therefore, factors that have been reported to be associated with RP may be applicable to this specific type of plate. The position of the tube, distance from the screw hole, and plate length might have significant effects on RP. Further large-scale studies including patients whose OMPs did not provide clinically successful stability during orthodontic treatment (in addition to those that were included in the current study) and employing a new grouping strategy in accordance with the vertical craniofacial pattern (because chin morphology varies among hyperdivergent and hypodivergent patients) might be necessary to fully elucidate the risk factors for OMP stability in the mandibular anterior region.
CONCLUSION

The findings of this outcome analysis CBCT study showed that the RP of MPASs at P1 seemed to have minimal effects on the successful stabilization of OMPs in the mandibular anterior area. Positioning the tube-shaped head of OMPs approximately 1 mm lower than the mucogingival junction will likely provide sufficient PH for the MPASs at P1 to be placed in no-root areas. These findings suggest safe placement of orthodontic miniplates in the mandibular anterior region to ensure predictability outcomes during orthodontic treatment.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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