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Expanding Thermal Plasma Chemical Vapour Deposition of ZnO:Al Layers for CIGS Solar Cells

K. Sharma, B. L. Williams, A. Mittal, H. C. M. Knoops, B. J. Kniknie, N. J. Bakker, W. M. M. Kessels, R. E. I. Schropp, and M. Creatore

1 Department of Applied Physics, Eindhoven University of Technology, P.O. Box 513, 5600 MB Eindhoven, The Netherlands
2 TNO, P.O. Box 6235, 5600 HE Eindhoven, The Netherlands
3 Solliance, High Tech Campus 21, 5656 AE Eindhoven, The Netherlands
4 ECN, High Tech Campus 21, 5656 AE Eindhoven, The Netherlands

Correspondence should be addressed to K. Sharma; k.sharma@tue.nl

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1. Introduction

Recently, very high conversion efficiencies of up to 20.8% have been demonstrated for CIGS solar cells [1]. The CIGS absorber layer has a direct band gap, and it is tunable from ~1.02 eV for copper indium selenide (CuInSe₂) to ~1.65 eV for copper gallium selenide (CuGaSe₂) [2–4]. The typical structure for a CIGS solar cell is ZnO:Al/i-ZnO/CdS/CIGS/Mo/glass [3, 5]. Undoped ZnO (i-ZnO) with a typical thickness of ~50 nm, situated between the CdS buffer layer and the transparent front contact, is included to provide local series resistance to limit the detrimental effect of electrical inhomogeneities, for example, short circuits [6–8]. Al-doped ZnO (ZnO:Al) on top of the i-ZnO layer acts as the front contact; it should be conductive enough (resistivity should generally be in the range of 10⁻⁴ Ω·cm) to provide transport to generated charge carriers and also should be optically transparent (>80%) in the active range of the CIGS device (1–3 eV). Note that the upper limit of the active range is dependent on the band gap of the CIGS layer, that is, from 750 nm to 1200 nm (some state-of-the-art devices [9] have high quantum efficiency throughout the range 350–1100 nm) and so the optical requirements may vary [7, 8].

Owing to the optical and electrical requirements described above, the deposition of ZnO:Al on CIGS solar cells is a critical step in cell development, not the least because the process should be compatible with (i.e., induce no damage) the underlying stack. Sputtering has been commonly used to deposit ZnO:Al thin films, and CIGS solar cells with sputtered ZnO:Al have shown efficiencies as high as 20% [10]. An alternative to sputtering is the expanding thermal plasma chemical vapour deposition (ETP-CVD) technique. ETP-CVD has shown the capability to grow high-quality ZnO:Al (resistivity ~10⁻⁴ Ω·cm and...
Table 1: The experimental parameters used to deposit ZnO:Al layers at low thermal budget (LTB) and high thermal budget (HTB) conditions.

| Condition | Φ_{DEZ} (g/h) | Φ_{TMA} (g/h) | Φ_{Al} (slm) | Φ_{O2} (slm) | p (mbar) | Temp. set-point (°C) | He flow | Time (min) | Final temp. T_f (°C) |
|-----------|---------------|---------------|--------------|--------------|---------|----------------------|--------|------------|---------------------|
| LTB       | 4.5           | 0.6           | 1.5          | 100          | 2       | 100                  | On     | 3.3–13.3   | 140–290             |
| HTB       | 4.5           | 0.6           | 1            | 100          | 2       | 100                  | Off    | 6.0–30.4   | 158–392             |

transparency >85%) at temperatures above 200°C, with high growth rates (1 nm/s), and accompanied by a negligible ion bombardment (<2 eV) at the substrate [11, 12]. ETP-CVD has already been employed at industrial scales for the deposition of SiN_x antireflection layers and passivation layers [13] and ZnO:Al front contacts for a-Si:H solar cells [14].

In this work, the feasibility of ETP-CVD grown ZnO:Al as a front contact in CIGS is investigated. Since CIGS solar cell efficiencies have elsewhere been shown to degrade when devices are subject to high temperatures [15], particular interest is paid to the effect of ZnO:Al deposition conditions on the solar cell performance parameters. Low resistivities were achieved for ETP-CVD grown ZnO:Al, that is, as low as 6×10^-4 ohm-cm for a film thickness of 300 nm, but the efficiency of the finished CIGS devices was seen to be particularly sensitive to the substrate temperature reached during the ETP-CVD process. Whereas such temperature-dependence has been linked in literature [15] to thermally induced chemical migration between individual layers, we demonstrate that in our case it is primarily linked to the presence of macroscale defects, inducing the prevalence of shunt currents upon thermal exposure. Shunt-causing pin-hole defects were intrinsically present in the CIGS layer, and their detrimental impact was exacerbated by high thermal budget ZnO:Al deposition conditions, thus demonstrating that the processing restrictions for the front contact are highly dependent on the quality of the underlying films.

2. Experimental

In this work, ZnO:Al films were deposited by means of a remote plasma-enhanced chemical vapour deposition process, that is, the ETP-CVD technique [16, 17]. In the cascaded arc plasma source, a DC discharge is generated in Ar gas at subatmospheric pressure between three cathode tips and an anode plate. The discharge is current controlled by a DC power supply and the power dissipated is typically within the 2–5 kW range [18, 19]. The plasma in the arc has an electron density of 10^12 m^-3 and an electron temperature of ~1 eV. The plasma emanates from the arc source (which is typically at 200–600 mbar) through a nozzle and expands into the deposition chamber which is at a pressure of 2 mbar [20]. Due to the pressure difference, the plasma expands supersonically and dissociates gaseous precursors that are injected upstream in the expanding plasma. The precursor gases used were diethylzinc (DEZ) and trimethylaluminium (TMA). These liquid precursors were supplied to the reactor chamber utilizing Bronkhorst Hi-Tec mass flow controllers for vapour flow and liquid containing bubblers. The vapour based dosing system was used to dose the premixed precursors (TMA and DEZ) into the reactor. O_2 was injected into the reactor via an injection ring placed at 6.5 cm from the plasma source exit, whereas the precursors were injected in the background of the plasma. The injected precursors ionize via charge exchange reactions with the Ar ions in the plasma before recombination reactions with electrons dissociate the precursor molecular ions into the depositing radicals [21, 22].

Three 2.5 cm × 2.5 cm substrates were used during each deposition: one CIGS solar cell on glass, for device characterisation, and two SiO_2 (450 nm)/c-Si wafers, used as reference samples to characterise the optoelectronic properties of the ZnO:Al films. In the reactor, the substrate holder was heated to 100°C for 15 minutes prior to deposition. The active plasma mixture is transported towards the substrate at a velocity in the range 500–1000 m/s [18, 19]. Such high convective flux is responsible for an increase in the substrate temperature during deposition. The extent of this additional heating is greater when higher Ar flows and/or arc currents are used since a larger density of reactive species reach the substrate. The heating effect is enhanced by a longer deposition time.

The ZnO:Al deposition parameters of the two conditions used here are shown in Table 1. All parameters were kept constant except for the Ar flow rate. For both conditions, preliminary growth runs were made to check the final temperature (T_f) reached following a range of deposition times, using temperature-sensitive stickers mounted on the samples (Table 1 includes these data). In both cases, the temperature increased linearly with time from the set-point of 100°C. Since higher temperatures (T_f = 158–392°C) were achieved when using 1 slm Ar in the absence of He back flow, this is denoted by the high thermal budget (HTB) condition, whereas the use of 1.5 slm Ar, combined with He back flow, is defined as the low thermal budget (LTB) condition (T_f = 140–290°C). Note that the thermal budget was predominantly determined by the deposition time: because the HTB condition yielded much slower growth rates (0.35–0.38 nm/s) than the LTB condition (0.9–1.0 nm/s), longer deposition times were necessary in the former case to accumulate comparable film thicknesses.

The ZnO:Al/i-ZnO/CdS/CIGS/Mo/glass device fabrication process is summarised in Table 2. The table includes the deposition techniques used for each of the individual films and the film thicknesses. The maximum temperature reached during the entire process was 550°C (which occurs during coevaporation of the CIGS layer). For contacting, Au finger contacts were deposited on the ZnO:Al by thermal evaporation, and individual cells (5 × 10 mm) were defined by scribing. For comparison, a reference cell was made whereby RF sputtering was used (rather than ETP-CVD) to deposit the ZnO:Al front contact, and in this case the substrate reached a maximum temperature of only 60°C.
3. Results and Discussion

3.1. Characterization of ZnO:Al Films. Figure 1 shows the variation of resistivity and mobility as a function of film thickness for both conditions. The LTB condition results in films with a minimum resistivity of $2 \times 10^{-3} \Omega \cdot \text{cm}$ and a maximum mobility of $6 \text{cm}^2/\text{Vs}$ (for a film thickness of $\sim 800 \text{nm}$). On the other hand, the ZnO:Al films deposited at HTB had a minimum resistivity of $5 \times 10^{-4} \Omega \cdot \text{cm}$ and a mobility of $28 \text{cm}^2/\text{Vs}$ (for a film thickness of $\sim 500 \text{nm}$). It is worth mentioning that the thinnest sample of the HTB condition had higher mobility and lower resistivity than the thickest sample of the LTB condition. Note that the resistivity of the sputtered ZnO:Al film (400 nm thick) that was used for the reference cell was $\sim 8 \times 10^{-4} \Omega \cdot \text{cm}$, that is, higher than the minimum achieved for ETP-CVD ZnO:Al films.

For both ETP-CVD conditions, XPS analysis confirmed that the composition of the ZnO:Al films was not significantly influenced by the choice of deposition conditions. The O/Zn ratio was $1.09 \pm 0.10$ and $0.98 \pm 0.09$ in LTB and HTB conditions, respectively, and the Al content was $\sim 2$ at. % in both cases. The carrier concentrations were similar—$(5.5 \pm 0.5) \times 10^{20} \text{cm}^{-3}$ for LTB and $(4.5 \pm 0.5) \times 10^{20} \text{cm}^{-3}$ for HTB—and so the lower resistivity values achieved for HTB conditions can entirely be attributed to the higher mobility. As discussed in depth in our previous publications [11, 12], the high mobilities achieved at HTB are the result of the development of the grain size with film thickness; that is, grain boundary scattering is progressively reduced upon grain size development [11, 12]. Therefore, it is inferred that the lower mobilities achieved using LTB conditions are a result of reduced grain size development. With regards to optical transmittance, note that in our previous work we...
demonstrated that ZnO:Al films, grown by ETP-CVD using similar conditions as here and having similar carrier concentrations (~5 × 10^{20} \text{ cm}^{-3}), have transmittances >80% in the range 350–900 nm and 60–80% in the range 900–1100 nm [20].

3.2. CIGS Solar Cell Characterisation. Figure 2 shows the solar cell parameters (short circuit current density ($J_{sc}$), fill factor (FF), open circuit voltage ($V_{oc}$), conversion efficiency ($\eta$), shunt resistance ($R_{SH}$), and series resistance ($R_S$)) as a function of ZnO:Al layer thickness for both conditions. $R_{SH}$
and $R_s$ were calculated from the gradients of the $J-V$ curves at short circuit and open circuit conditions, respectively. A $V_{oc}$ of 600 mV and conversion efficiency of 10.9% was achieved with a thin 125 nm ZnO:Al layer deposited at LTB conditions. With increasing ZnO:Al layer thickness (and hence, increasing deposition time) a decrease in $V_{oc}$, FF and $\eta$ (Figure 2(a)) was observed. For instance, for 730 nm ZnO:Al, we found that $\eta = 4.1\%$, $V_{oc} = 383$ mV, and FF = 37.5%. No such systematic degradation of $J_{sc}$ was evident. A similar trend was observed for ZnO:Al films deposited at HTB conditions: the highest efficiency achieved was 9% for ~100 nm ZnO:Al and the lowest efficiency was 1.3% for ~530 nm ZnO:Al. In this case, $V_{oc}$ decreased to very low values (~100 mV), and a gradual decrease in $J_{sc}$ with increasing ZnO:Al thickness was also seen (from 30 mA·cm$^{-2}$ to 24 mA·cm$^{-2}$). Since the thicker ZnO:Al films actually have a lower sheet resistance than the thinner films (values included in Figure 1), the performance loss with increasing ZnO:Al thickness suggests that the higher thermal budget that is associated with longer deposition times causes degradation of the underlying solar cell stack (this is discussed in detail below). This is consistent with the observation that a lower peak efficiency and a more pronounced degradation with deposition time were obtained for the HTB conditions than for the LTB conditions. Furthermore, the reference sample, for which the ZnO:Al was sputter-deposited at a lower temperature, had higher $\eta$ (13.8%) and $V_{oc}$ (627 mV) than all devices with ETP-CVD grown ZnO:Al. The lower efficiencies obtained from the ETP-CVD process are not considered to be related to the electrical quality of the films given that the series resistance of all devices was comparable to that of the reference cell. However, given that the resistivities of the ZnO:Al layers on the best ETP-CVD completed cells were higher than those of the sputtered ZnO:Al layers on the reference cell, this comparability is to be attributed to a “leveling” effect provided by the Au finger contacts. On the contrary, the shunt resistance was highly dependent on ZnO:Al deposition conditions, and this is now discussed in more detail.

Figure 3 shows the $J-V$ curves of the best and worst samples from both LTB and HTB conditions. It is clear from the increasing gradient at $V = 0$ that $R_{sh}$ is lower following HTB deposition of ZnO:Al. Indeed, as shown in Figure 2, $R_{sh}$ decreases with increasing ZnO:Al thickness to very low values in both LTB and HTB cases (12 Ω·cm$^{-2}$ for the lowest efficiency device, $\eta = 1.3\%$), alongside the decrease in all other performance parameters. Typically, low $R_{sh}$ values are only detrimental to FF, but, for particularly low $R_{sh}$, both $V_{oc}$ and $J_{sc}$ will also be significantly affected (in the case of $J_{sc}$, this is only true when $R_{sh}$ is nonnegligible, i.e., $\geq 1$ Ω·cm$^2$). Therefore, the degradation of $R_{sh}$ could be sufficient to account for the degradation of all other parameters.

Interestingly, in the literature, thermally induced degradation of CIGS solar cells has been attributed primarily to $V_{oc}$ loss upon excessive Cd diffusion from the CdS window layer into the CIGS absorber. In this scenario, Cd diffusion causes the formation of a buried junction towards the back contact and a lower built-in potential (which defines the upper limit to $V_{oc}$) [15]. To investigate whether significant elemental migration occurred also in our devices, TOF-SIMS depth profiles were performed on both the 10.9% LTB device and the 1.3% HTB device, and this data is shown in Figure 4. No evidence of significant (or, indeed, comparable to literature [15]) Cd diffusion into the CIGS layer was detected in the case of the HTB conditions. In comparison, Kijima and Nakada [15], who exposed CIGS devices to postgrowth annealing at higher temperatures of up to 400°C, showed that the Cd counts in the top 200 nm of the CIGS layer increased by an order of magnitude following annealing.

Looking for alternative paths to the degradation of $R_{sh}$, it is interesting to notice that the parameters of the reference cell have also a low $R_{sh}$, when compared to state-of-the-art devices [1, 24]. We presently attribute this to macroscopic defects that were found in the devices, as highlighted by the SEM analysis in Figure 5. In detail, the image in Figure 5(a) was taken after CIGS deposition and shows a large particle (>10 μm in size and confirmed to be CuSe by energy dispersive X-ray analysis) that formed during the three-stage evaporation of CIGS. This particle evidently forms at the expense of the film in the surrounding area, thus creating a crater (or pinhole) in the CIGS film. Figure 5(b) shows a similar structure following ZnO:Al/i-ZnO/CdS deposition; the CuSe particle has been removed, leaving a pinhole in the absorber layer, into which the window layers are deposited. These images are representative of a number of similar features found in all devices (approximately 1–5 per cell), which were an intrinsic feature of the CIGS layer, regardless of which ZnO:Al process is used. We therefore hypothesize that the cell performance degradation upon increased thermal budget exposure is driven by shunting phenomena involving the abovementioned defects.

In order to gain more insight into the shunting phenomena, dark $J-V$ curves were measured for samples with varying
Figure 4: TOF-SIMS depth profiles of ZnO:Al/CdS/CIGS region in (a) a low thermal budget grown device with $\eta = 10.9\%$ and (b) a high thermal budget device with $\eta = 1.3\%$. Note that the onset of the constituent elements within CdS and CIGS layers are shifted along the x-axis in (b) since the device had a thicker ZnO:Al front contact.

Figure 5: Secondary-electron SEM images of shunt-causing defects. (a) Following CIGS deposition, numerous CuSe$_x$ particles were found within crater-like defects. (b) Following ZnO:Al/i-ZnO/CdS deposition, the CuSe$_x$ particles are no longer seen, but numerous craters remain.

ZnO:Al thicknesses, both for LTB and HTB conditions (Figures 6(a) and 6(b), resp.). The response from the reference sample (with sputtered ZnO:Al) is included in each case and this is described first since it serves as the baseline device with the highest efficiency. As indicated in the figure, there are two distinct regions in the reference $I$-$V$ data. Region 1: in reverse bias and low forward bias ($<0.5$ V), the curve is flattened out and is mostly symmetric about the zero bias axis. Region 2: at high forward bias ($>0.5$ V), the gradient increases and the device exhibits the expected diode-like behaviour. This two-region behaviour is universally observed for a number of different solar cell technologies that are affected by shunting [25]. As the dark current, $I_D$, is commonly expressed by the modified Shockley diode equation,

$$I_D = I_0 \left( \exp \left( \frac{q(V - I_D R_S)}{n k T} \right) - 1 \right) + \frac{V - I_D R_S}{R_{SH}},$$

where $I_0$ is the reverse saturation current, $n$ is the diode ideality factor, $k$ is Boltzmann’s constant, and $T$ is the temperature, it is clear that region 1 is dominated by the second term, the leakage current, and region 2 by the first term, the diode current (the rollover at high forward bias is typically attributed to series resistance). The LTB sample with a ZnO:Al thickness of $\sim 250$ nm ($\eta = 10.2\%$) has a similar shaped curve to that of the reference but has comparatively higher current in region 1 and lower current in region 2, indicating that the leakage current term is more dominant. With increasing ZnO:Al thickness (and hence increasing thermal budget), the curves become increasingly flattened out (as shown by the sample with a ZnO:Al thickness of $\sim 730$ nm and $\eta = 4.1\%$), indicating that they are increasingly dominated by the leakage current. The increases in current in the leakage current region with increased ZnO:Al thickness are indicated by the bold arrow in the figure. The same
Figure 6: Dark $J$-$V$ curves of CIGS samples with the ZnO:Al layer deposited under (a) low thermal budget and (b) high thermal budget conditions for different ZnO:Al thicknesses in each case (thickness values are indicated on each curve). The response from the reference cell is also included. (c) A comparison of the dark $J$-$V$ response for low thermal budget ($d_{AZO} = 247$ nm), high thermal budget ($d_{AZO} = 433$ nm), and reference samples. Bold arrows in (a)–(c) indicate the increased leakage current with increased thermal budget. (d) Ideality factor as a function of voltage, calculated for the curves shown in (c) using the ideal diode equation.

Trend is seen for the HTB thickness series (Figure 6(b)). In Figure 6(c), the $J$-$V$ data of the LTB sample with $\sim 250$ nm thick ZnO:Al, the HTB sample with $\sim 430$ nm thick ZnO:Al, and the reference sample are compared to clearly demonstrate their different behaviour.

To further elucidate the two-region $J$-$V$ behaviour and the effect of thermal budget, plots of the ideality factor, $n$, as a function of $V$ were made (Figure 6(d)). The ideality factor is calculated from the gradients of $\ln(J_D) - V$ plots and since this gradient is not constant, neither is $n$. We note that this method of calculating $n$ does not account for the parasitic resistances and so the extracted $n$ values are out of the normal range ($1 < n < 2$), but, importantly, they serve as a measure of the degree of nonideality at various biases (further note that larger $n$ values can also be due to excessive recombination).

For the reference and the LTB samples, $n$ increases with $V$ and reaches a maximum in the leakage current dominated region (region 1) and then decreases and reaches a local minimum in the diode current dominated region (region 2). However, for the HTB sample, the recovery to lower $n$ values at high bias is not observed, which again indicates that the leakage current dominates throughout. Figure 6(d) also includes the expected ideal diode response of the reference cell, that is, with zero leakage current (plotted using the lowest $n$ value in the bias range). Any shift from this ideal response to higher current values in region 1 is attributed to the contribution of the leakage current, as was seen for all devices.

Evidently, the degradation of the cell performance upon exposure of the samples to an increasing thermal budget is to be attributed to a significant increase in the leakage current (decrease in $R_{sh}$) and a consequent reduction of $FF$, $V_{oc}$, and even $J_{sc}$. Because a leakage current is already present in the reference cell, we infer that the mechanisms of shunting are most certainly related to pinholes in the CIGS layer that
are caused by unwanted CuSe\textsubscript{2} secondary-phase formation during CIGS evaporation.

4. Conclusions

In summary, aluminium-doped zinc oxide layers for CIGS solar cells were deposited under LTB and HTB conditions by the ETP-CVD technique. ZnO:Al layers grown at HTB have better electrical properties compared to ZnO:Al layers grown at LTB. However, higher solar cell efficiencies were obtained when using LTB conditions (11\% for a ZnO:Al thickness of ∼125 nm) than when using HTB conditions (9\% for a ZnO:Al thickness of ∼100 nm). Device efficiency was primarily limited by low shunt resistances; this was attributed to pinholes in the CIGS layer, whose detrimental effect on efficiency was enhanced upon use of high thermal budget ZnO:Al deposition. The thermal enhancement of shunt currents through macroscale defects (pinholes) was deemed to be a more significant factor than the previously reported effect of chemical migration, and this points out that the processing restrictions for transparent conducting oxide (TCO) deposition in CIGS solar cell development are defined by the structural integrity of the active layers onto which the TCOS are grown.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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