Ammonia Gas Detection under Various Humidity Conditions Using Waveguide Surface Plasmon Resonance Spectroscopy

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Analysis of NH₃ gas under various humidity conditions was conducted using a waveguide surface plasmon resonance (SPR) sensor with dual sensing parts. Two pairs of Ag films/sensing polymer films were prepared separately on a waveguide core of BK-7 slide glass. Poly(acrylic acid) (PAA) and poly(vinyl alcohol) (PVA) were used as sensing materials. A white light was guided through the core by illuminating the substrate edge, and the SPR property was investigated by observing the output light spectrum. The thicknesses of PAA and PVA films were adjusted to induce SPR at different wavelengths. PAA exhibited remarkable response against NH₃ gas, but it also exhibited a strong dependence on humidity. In contrast, PVA responded to humidity but hardly responded to NH₃ gas below 20 ppm. The dual sensing would allow us to conduct precise NH₃ measurements under various humidity conditions.

Keywords Surface plasmon, gas sensor, waveguide, ammonia, poly(vinyl alcohol), poly(acrylic acid)

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Introduction

Surface plasmon (SP) is a surface electromagnetic wave and is commonly observed on a noble metal surface.1–3 SP has many useful properties; for example, SP is accompanied by an intense electric field. The electric field can be used to enhance molecular excitation for photoluminescence and optical absorption in photovoltaic cells.4–7 The field exists in the vicinity of a metal surface, and the feature can be used to develop a nano-sized optical circuit.8 Conversion between SP and far-field light can be conducted by a prism or a periodic structure,9,10 and the phenomenon is promising for the development of novel optical devices and the improvement of organic light-emitting diode efficiency.11–13 Furthermore, SP excitation strongly depends on the dielectric constant and the thickness of metal film and adjacent media. Therefore, a surface plasmon resonance (SPR) technique is frequently employed in the development of sensitive sensors of bio- and gas-molecular adsorptions.14–19

SP is a coupling mode of a light and corrective oscillation of surface free electrons, and the SP excitation condition must be fulfilled for observation. SP excitation is commonly conducted by a Kretschmann configuration, like a glass prism, metal film, and dielectric film structure. A p-polarized light is totally reflected and an evanescent wave is produced in the setup. The wavenumber along the surface can be adjusted for SP excitation by the incident angle, and an attenuation of reflected light can be observed when SP excitation occurs. The use of a prism, however, sometimes causes difficulties in preparing a compact sensor. It is also known that an optical waveguide with a metal film can be used for SP excitation.20–23 The waveguide core instead of the prism is used, and the evanescent wave of the guided light induces SP excitation at the metal film surface. A compact sensor can be prepared since no prism coupler is needed, and the waveguide SPR sensor is quite simple and reasonable.

We reported a waveguide SPR gas sensor with multiple sensing parts.24,25 A white light was used in the setup, and the output light spectra exhibited dips (attenuations) at SPR wavelengths. The sensor allowed multiple observations without using a waveguide array, many light detectors or a more complicated setup. The experimental error between the sensing parts due to condition differences would be small since the sensors were on the same substrate. Additionally, the sensor can be used in vacuum, air or liquid, so it may be a powerful tool for evaluating adsorption phenomena.

NH₃ is widely used, for example, to produce fertilizers and synthesize various chemical compounds; however, it is harmful, combustible and a common air pollutant. Therefore, a sensitive NH₃ gas detection method is in high demand for safety and environmental monitoring. Furthermore, a sensitive NH₃ gas sensor is needed in medical diagnostics.26 We reported a fundamental study of the NH₃ gas responses of sensors with dual sensing parts consisting of poly(acrylic acid) (PAA) and poly(vinyl alcohol) (PVA) sensing materials.25 PAA responded well to NH₃ gas, however also exhibited strong humidity dependence.27 Practical NH₃ gas sensors would be used in atmospheres with various humidity conditions; therefore, simultaneous humidity measurement was necessary for accurate NH₃ gas detection. We found PVA was useful for humidity...
measurement in the previous study. In this paper, investigations were primarily conducted to clarify responses to NH₃ gas with low concentration (5 – 20 ppm) under various humidity conditions.

**Experimental**

Figure 1 shows the sensor structure. A 1-mm thick BK-7 glass substrate was used as a slab waveguide, and 50 nm thick Ag thin films were vacuum-evaporated separately. Dual sensing parts with PVA film (PVA/Ag) and with PAA film (PAA/Ag) were prepared on the waveguide. PAA and PVA were purchased from Aldrich and were used as sensing materials for NH₃ and humidity, respectively. The PAA and PVA films were spin-coated only on the desired Ag film by masking the other part. The structure of the BK-7 substrate, Ag film, and dielectric film formed a Kretschmann configuration and worked as an SPR sensor. The substrate edge was illuminated by a white light at an incident angle of 20°. The output of s-polarized light at initial state in N₂ was used as a reference (Iₛ). The output of p-polarized light, which induced SP excitation, was also observed (Iₚ). The ratio of Iₛ and Iₚ (i.e. (Iₚ/Iₛ)× 100%) was calculated as an SPR curve. A dip was observed in the curve when the SP excitation occurred. The SPR wavelength qualitatively redshifted with increasing polymer thickness. The thicknesses of PAA and PVA films were adjusted to induce SPR at different wavelengths.

The experimental setup is shown in Fig. 2. Dry N₂ gas, N₂ gas bubbled through water (wet N₂ gas), and 100 ppm NH₃ gas in N₂ were mixed to control the concentration of NH₃ and humidity. The flow rate of the mixed gas was 200 ml/min. SPR measurements were performed at room temperature under atmospheric pressure. The spectrum of the output light was monitored using an HR4000HC spectrometer (Ocean Optics). WinSpall 2.20 software (Max-Plank-Institute for Polymer Research, Mainz, Germany) was used to obtain the SPR curves and evaluate the film thickness from the experimental SPR dip wavelengths. Refractive indices from the literature were used for the calculation.

**Results and Discussion**

The SPR curves under constant humidity (0%RH) and various NH₃ concentrations were observed as shown in Fig. 3(a). Curve 1 was obtained under 0 ppm NH₃ and two dips at around 500 and 620 nm were observed. The film thicknesses of PVA and PAA films were estimated to be 47.5 nm thick PVA and 84.7 nm thick PAA films).

Curves 2 and 3 were observed under 40 and 100 ppm NH₃, and the PAA/Ag dip redshifted against NH₃ gas. PAA seemed to be promising for NH₃ sensor, and NH₃ molecules should react with
carboxylic groups of PAA.27 In contrast, PVA does not have carboxylic groups, and the PVA/Ag dip was found to exhibit almost no response to NH3 gas. The SPR dip shifts of PAA/Ag and PVA/Ag are shown in Fig. 3(b). The shift was set as positive for a redshift. The PAA/Ag dip redshifted with an increase of NH3 concentration, and the response was almost linear in this condition. The linear fitted line (broken line) is also shown in the figure, as is the R-squared value of 0.9839. In contrast, the PVA/Ag dip response was barely in the concentration range.

Figure 4 shows the SPR dip wavelength shift of the same sample under various humidity conditions without NH3. Clearly, the dips redshifted with humidity. Both PAA and PVA are water soluble, so water vapor sorption in the films easily occurred and induced redshifts of the dip wavelength. A linear relationship was not observed here.

Fundamental responses to NH3 under various humidity conditions were studied for the sensor with 56.7 nm thick PVA and 97.5 nm thick PAA films, and the results are shown in Table 1.25 The initial SPR dips under 0%RH N2 without NH3 were observed at 515.4 and 655.1 nm. The shifts of dip wavelength due to introductions of 0%RH N2 with 50 ppm NH3, 50%RH N2 without NH3, 50%RH N2 with 50 ppm NH3, and 50%RH N2 with 50 ppm NH3 are shown. The PAA/Ag dip redshifted against NH3 gas, and a remarkable response was seen for introduction to 50%RH N2 with 50 ppm NH3. It has been reported that the reaction between NH3 and the carboxyl groups of PAA destroys the PAA interchain hydrogen bonds and enhances water sorption.31 The enhanced water sorption would induce the remarkable PAA/Ag dip shift shown in Table 1. In contrast, the PVA/Ag dip did not show a remarkable difference, regardless of NH3 existence.

The PVA/Ag dip wavelength exhibited a small redshift against NH3 under 50%RH due to dissolution of NH3 in water in the PVA film. PAA is promising as an NH3 sensing material, but humidity monitoring is also necessary, since the PAA/Ag response includes water vapor sorption. The PVA/Ag dip exhibited a weak response against NH3; therefore PVA would be useful for humidity monitoring in a N2 with NH3 gas.

The responses against various conditions for the sensor with 47.5 nm thick PVA and 84.7 nm thick PAA films were investigated. The kinetic responses of PAA/Ag dip against introductions of 20 and 80%RH N2 with 5 ppm NH3 gases are shown in Fig. 5. The dip shift saturated gradually, and the times to reach the value of 90% shift (response times) were 189 and 503 s for 20 and 80%RH N2 with 5 ppm NH3 gases, respectively. The responses for other conditions were also investigated, and the response times are summarized in Table 2. The redshift of peak wavelength exhibited a similar tendency, and the result seemed to be due to the amount of sorbed water and NH3 molecules. The response time was below 500 s for the PVA/Ag dip.

The responses against various conditions for the sensor are shown in Fig. 6. The NH3 concentration was adjusted in the range of 0 – 20 ppm. The PAA/Ag dip hardly responded against NH3 under 0%RH, but the dip shift increased with humidity.
Many water and NH₃ molecules seemed to be sorbed under high humidity conditions, since the PAA hydrogen bonds were destroyed. The improved PAA sensitivity enabled NH₃ detection around 1 ppm under humidity of 40%RH or more. The relationships for PVA dip were plotted in Fig. 6(b). The PVA dip did not exhibit a clear tendency against NH₃; however, it almost responded to humidity linearly. Humidity in this range can be monitored through PVA results. The results are plotted again as dip wavelength shift vs. NH₃ concentration relationships (for 20 and 80%RH) in Fig. 7. The PAA/Ag dip response exhibited a clear dependence on NH₃ concentration and increased with humidity. The PVA/Ag dip was dependent on humidity and was almost independent of NH₃ concentration in this range. The relationship between the PAA and PVA results allows the identification of humidity and NH₃ concentration. By preparing a database of responses against NH₃ and humidity, NH₃ concentration can be estimated from the SPR results of PAA and PVA. The reproducibility and reliability of the sensor are now under investigation.

Conclusions

Simultaneous measurement of NH₃ gas and humidity was conducted using a waveguide SPR sensor. The dual sensing parts of PAA/Ag and PVA/Ag films were prepared on a single glass substrate, and dual SPR dip observations were possible in the structure. The PAA dip responded remarkably to NH₃ gas containing water vapor, and it was attributed to the destruction of the hydrogen bond of PAA in the film by NH₃ and enhancement of water sorption. In contrast, PVA markedly responded to water vapor only and exhibited a poor response against NH₃; PVA was promising for monitoring humidity. The combination measurement of PVA and PAA allows the identification of NH₃ concentration under various humidity conditions.

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