Outcomes in infants < 29 weeks of gestation following single-dose prophylactic indomethacin

Maria Gillam-Krakauer 1 · James C. Slaughter 2 · Robert B. Cotton 1 · Blaine E. Robinson 3 · Jeff Reese 1,4 · Nathalie L. Maitre 5,6

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Abstract

Background Prophylactic indomethacin (3 doses) decreases patent ductus arteriosus (PDA) and intraventricular hemorrhage (IVH) in preterm infants. The study aim was to determine whether single-dose indomethacin (SD-INDO) decreases PDA, IVH, and improves motor function.

Methods A retrospective cohort (2007–2014) compared infants born < 29 weeks who did (n = 299) or did not (n = 85) receive SD-INDO and estimated outcomes association with ordinal logistic regression, adjusting for multiple variables using propensity scores.

Results Infants who received SD-INDO were more premature (p < 0.001) but had lower odds of PDA (OR 0.26 [0.15, 0.44], p < 0.005), PDA receiving treatment (OR 0.12 [0.03, 0.47], p < 0.005), death (OR 0.41 [0.20, 0.86], p = 0.02), and CP severity (OR 0.33 [0.12, 0.89], p = 0.03). There was less IVH (OR 0.58 [0.36, 0.94], p = 0.03) when adjusted for gestational age.

Conclusions SD-INDO is associated with decreased PDA and CP severity and improved survival.

Introduction

Despite advances in the field of neonatology, infants born very prematurely remain at risk for patent ductus arteriosus (PDA), intraventricular hemorrhage (IVH), chronic lung disease and other complications. The incidence of a hemodynamically significant PDA (hsPDA) is as high as 70% in infants born at 23–24 weeks and 59% in those born at 25–28 weeks [1]. Spontaneous closure of a hsPDA is rare in the most premature infants (19%) and there is a high incidence of failure to respond to pharmacologic intervention (69% at 23–24 weeks, compared with 40% at 25–28 weeks) [1–6]. Infants born extremely prematurely are also at high risk for IVH. Indomethacin is one of the few postnatal interventions shown to decrease IVH. Intravenous indomethacin administered “prophylactically” in three to six doses beginning at 6–12 h of age reduces the incidence of hsPDA [3, 7–9] and decreases IVH [3, 9–12] in addition to decreasing pulmonary hemorrhage [11], vasopressor-dependent hypotension [13], and chronic lung disease [7, 14]. Prophylactic indomethacin has also been associated with decrease in mortality and may play an important role in the current era where babies born as young as 22 weeks of gestation are surviving [14]. A recent study by Bhat et al. found a single dose of indomethacin to be as effective as a three dose regimen in preventing all grades of IVH and white matter injury [15].

Prophylactic indomethacin decreases the risk of intraventricular hemorrhage (IVH) [3, 9, 10, 12, 16–18].
Severe IVH is a major antecedent of cerebral palsy (CP), which affects 19% of extremely low birth weight (ELBW) infants (birth weight <1000 g) [19, 20]. Although multiple studies show a decrease in severe (grade 3 and 4) IVH with early indomethacin, the evidence to support long-term benefits of prophylactic indomethacin has been less compelling [3, 21]. Reliance on head ultrasound results and presence of CP as long-term neurologic measures may underestimate the prevalence of motor disability in this population, since 9% of extremely low birth weight (ELBW) infants develop CP despite normal head ultrasound [19] and in preterm infants without CP, the prevalence of mild-moderate and moderate motor impairment is 40.5/100 and 19.0/100, respectively [22]. Although motor delays and impairments in the absence of cerebral palsy impact later adaptive function, they have not been the focus of prophylactic indomethacin studies.

We previously showed that a single dose of indomethacin (SD-INDO) given at 24 h prevents symptomatic PDA and minimizes drug exposure [23], thus, our center has followed an SD-INDO protocol since 1987. Although the original trial studied a single dose given at 24 h of age, following that study our center implemented a protocol to give indomethacin at 12 h with the intent to provide benefit on the day of birth when factors are present which increase the risk of intraventricular bleeding. That study was performed prior to widespread use of antenatal corticosteroids and exogenous surfactant in a cohort of preterm patients with an average gestational age of 29 weeks. Our center has not re-examined the effect of an SD-INDO protocol in the modern era of neonatology. Although most qualifying infants at our center receive SD-INDO, during the study period there were a number of patients who did not receive the intervention due to drug shortage, medical provider preference, or other cause (Appendix A and Supplementary Table 1), creating a contemporaneous convenience sample group for comparison.

The goal of the present study was to evaluate short-term morbidities and determine the longer-term motor outcomes of SD-INDO in the current era of management of very premature infants. We hypothesized that exposure to SD-INDO would be associated with decreased risk of (1) developing hsPDA, (2) receiving pharmacologic treatment for PDA, or (3) developing more severe intraventricular hemorrhage. We also hypothesized that SD-INDO would be associated with improved motor outcomes at 24–36 months of age. To test our hypotheses, we conducted a retrospective study of all premature infants born < 29 weeks’ gestation at our institution over a seven-year period and compared outcomes between infants who received SD-INDO and those who did not.

### Materials and methods

#### Study population

This was a retrospective cohort study of all eligible patients admitted to the neonatal intensive care unit (NICU) (01/2007-03/2014) using data entered prospectively into our internal NICU computerized database and the electronic medical record (EMR). The years 2007–2014 were selected since no major changes in other clinical protocols existed to confound results and allowed for three-year developmental follow-up. There was an equal distribution of treated and non-treated patients over this period. Based on a fixed sample size of 384 subjects with 80% of subjects receiving SD-INDO, we determined 80% power to detect an odds ratio of 0.45 (or 2.2) when considering binary outcomes. Actual power will be higher because outcomes are modeled more efficiently using ordinal rather than binary regression models. Approval was obtained from the Vanderbilt University Medical Center (VUMC) Institutional Review Board and informed consent waived due to the retrospective study design.

Infants born at <29 weeks’ gestation who survived to at least 12 h of age were included. Although our NICU indomethacin protocol included patients born < 32 weeks’ gestation, excluding the more mature infants for this study allowed us to focus on the population, based on gestational age, most at risk for hsPDA. Outborn infants were included if they arrived at the NICU within 12 h of birth. Infants were excluded if major contraindications to the SD-INDO protocol existed (disseminated intravascular coagulopathy, congenital malformations such as congenital heart disease) or they exhibited gastrointestinal malformations or recognized genetic syndromes. Eligible patients were identified using a computerized internal database of all patients admitted to the NICU and pertinent data (medication orders, medication administration record, illness severity score variables and renal function, radiology and echocardiography records, and NICU follow-up testing) was manually extracted from the database, confirmed in the electronic medical record (EMR) by up to 4 investigators, and entered into a REDCap database (UL1 TR000445 from NCATS/NIH).

Infants were classified into exposed and non-exposed groups based on receipt of SD-INDO, a single dose of indomethacin 0.2 mg/kg at 12 h of age (Appendix A). Reasons for non-exposure included suspected but later disproven pathologies, conditions which placed the infant at higher risk for spontaneous intestinal perforation, conditions that increased risk of bleeding, low urine output, or reassuring clinical status/provider preference/drug shortage (Supplementary Table 1).
A patent ductus arteriosus was deemed hemodynamically significant (hsPDA) if pediatric cardiologists considered the ductus vessel size to be medium or large by color Doppler with left-to-right flow and additional echo criteria were met (as noted below) and the infant had clinical signs consistent with excessive left-to-right flow through the vessel (which could not be reasonably be attributed to other causes): murmur, tachypnea, tachycardia, bounding pulses, pulmonary edema, increasing oxygen requirement, increasing requirement for positive end-expiratory pressure (PEEP), decreasing urine output, or renal failure. In addition to a protocol for prophylactic treatment with indomethacin, our unit has standard criteria for treating a PDA with indomethacin: a clinically symptomatic hsPDA not responsive to medical management meeting commonly-used echocardiogram criteria (left-to-right shunting at the ductus arteriosus AND increased left atrial (LA) or left ventricular size (LA/aorta (AO) ratio >1.5), OR retrograde diastolic aortic flow at the level of the diaphragm) (Appendix B). Medical management, using fluid restriction (targeted intake of 120 ml/kg/d) and increased PEEP, was attempted prior to using pharmacologic treatment.

Surgical ligation of a PDA was performed for infants who had renal failure or intestinal pathology precluding the use of indomethacin, or for those with a persistent hsPDA despite two courses of indomethacin with evidence of cardiac strain or requiring increasing ventilator support.

Per unit protocol, all neonates were evaluated for evidence of neural insults on cranial ultrasound at least twice, at seven and 30 days of age. Using the grading system of Papile et al. [24], the most severe head ultrasound result for each neonate was recorded. Data on surgical ligation of the ductus arteriosus, spontaneous intestinal perforation (defined as a focal small bowel perforation without pneumatisis with pathology confirmation, when available; SIP) [25], necrotizing enterocolitis at Bell’s Stage II or above (NEC) [26], retinopathy of prematurity requiring laser surgery (ROP), postnatal steroids, days on ventilator, discharge to home on oxygen, length of stay, and death were obtained from the medical record. Renal function (urine output and serum creatinine concentration at 12, 24 and 72 h) were obtained from the EMR and the presence of acute kidney injury (AKI) was assessed at 24 and 72 h using the neonatal-modified AKI Kidney Disease: Improving Global Outcomes (KDIGO) classification scheme [27]. Illness severity was measured using the CRIB II [28] and SNAPPE-II [29] scores.

Trained examiners evaluated motor performance at 12 months of age using the Developmental Assessment of Young Children (DAYC) and at 24–36 months of age using the Bayley Scales of Infant and Toddler Development, 3rd Edition (Bayley) [30]. The 36-month score was used unless they were lost to follow-up after the 24-month score, in which case the 24-month score was used. The scores were adjusted for gestational age and thus represent postconceptual age. Presence and severity of CP was evaluated using standard neurologic algorithms for preterm infants [31]. CP was classified by developmental specialists according to the Gross Motor Function Classification System (GMFCS) [4, 6, 32].

Statistical analysis

Demographic characteristics were compared between groups using the Wilcoxon rank sum test for continuous variables and Pearson’s chi-squared test for categorical variables. To estimate associations with exposure to SD-INDO, we used separate proportional odds regression models for each outcome [33]. The proportional odds model generalizes the Wilcoxon rank sum test to a multivariable model to adjust for confounders and reduces to the usual logistic regression model if the outcome is binary. For each outcome, we fit unadjusted (SD-INDO only), minimally adjusted (SD-INDO and GA), and propensity score-adjusted models (SD-INDO and propensity score). Propensity scores were estimated using a multivariable logistic regression model where GA, sex, inborn status (transport), mode of delivery [34, 35], and ethnicity [36] were used to predict the probability of receiving SD-INDO [37]. Variables selected for the propensity score were ones for which evidence suggested association with outcomes and could have influenced ordering providers. We compared distribution of propensity scores by SD-INDO status and found significant overlap, so all subjects were included in the analysis. Estimated propensity scores were then included in the multivariable models to mitigate residual confounding. Estimates from the propensity score-adjusted models were compared to the GA adjusted models to ascertain if the odds ratio estimate changed by a scientifically relevant amount. All analyses were conducted using R statistical software (version 3.1.2) following guidelines for reproducible research [38].

Results

We identified 384 infants who met criteria to receive SD-INDO per the established protocol. Of these, 299 (77.9%) received SD-INDO and 85 (22.1%) did not (Fig. 1 and Supplementary Table 1). Infants who received SD-INDO were more immature at birth (median gestational age 26 vs. 27 weeks, p < 0.001) and more likely to be inborn (72 vs. 56%, p = 0.008) with statistically lower Apgar and higher CRIB-II scores (Tables 1 and 2). There was no significant difference in the incidence of birthweight < 3rd tile between the two groups.
Renal and hemodynamic outcomes (Table 2)

SD-INDO was not associated with acute kidney injury or lower urine output. Despite a statistically significant higher serum creatinine concentration at 72 h, infants who received SD-INDO had a lower KDIGO score at 24 h and no difference in KDIGO score at 72 h. Although infants exposed to SD-INDO had marginally lower mean blood pressures at 12 h of age, there was no difference in use of glucocorticoids for hypotension in the first 3 days between the two groups (18% vs 23%, p = 0.31).

Short-term outcomes (Table 3)

SD-INDO exposure was associated with lower propensity score-adjusted odds of developing hsPDA (OR 0.26, 95% CI [0.15, 0.44], p < 0.005) and lower odds of receiving treatment for hsPDA with indomethacin (OR 0.12, 95% CI [0.03, 0.47], p < 0.005), but was not associated with reduced incidence of surgical ligation. Infants exposed to SD-INDO at 12 h had lower gestational-age adjusted odds of higher grade IVH (OR 0.58, 95% CI [0.36, 0.94], p = 0.03) but there was no statistically significant difference in odds of higher grade IVH between the two groups with propensity score adjustment. There was no difference in the incidence of cerebellar hemorrhage (OR 0.41, 95% CI [0.13, 1.26], p = 0.12), SIP, or NEC between groups and no infants in our cohort experienced coagulopathy or clinical hemorrhage attributable to SD-INDO.

Long term outcomes (Table 4)

There were 334 patients (87%) who survived to discharge. SD-INDO was associated with decreased odds of death before discharge (OR 0.41, 95% CI [0.20, 0.86], p = 0.02), CP (OR 0.35, 95% CI [0.13, 0.95], p = 0.04) and death or GMFCS > 3 (OR 0.32, 95% CI [0.16, 0.64], p < 0.005). DAYC testing was performed in 286 (86% of survivors) and Bayley testing was performed in 190 (57% of survivors). There was no significant difference in motor scores on the DAYC or Bayley between surviving infants who received SD-INDO and those who did not. Of the infants who developed CP, those who received SD-INDO had

Table 1 Demographics of SD-INDO treated infants vs. untreated.

|                        | SD-INDO (n = 299) | No SD-INDO (n = 85) | p       |
|------------------------|-------------------|---------------------|---------|
| EGA in weeks, median (IQR) | 26 (25, 27)       | 27 (26, 28)         | <0.001b |
| Birth Weight, median (IQR)   | 810 (698, 920)    | 905 (730, 1050)     | <0.001b |
| Weight < 3%, n (%)           | 14 (5)            | 5 (6)               | 0.65a   |
| Apgar 1 min, median (IQR)    | 4 (1, 7)          | 5 (2, 7)            | 0.002b  |
| Apgar 5 min, median (IQR)    | 6 (5, 7)          | 7 (6, 8)            | 0.007b  |
| CRIB II, median (IQR)        | 11 (9, 13)        | 9 (8, 12)           | 0.014b  |
| SNAPPE II, median (IQR)      | 33 (20, 47)       | 33 (15, 53)         | 0.67b   |
| Antenatal steroids, n (%)    | 232 (78)          | 62 (73)             | 0.34a   |
| Delivery by cesarean, n (%)  | 219 (73)          | 57 (67)             | 0.38a   |
| Female, n (%)               | 154 (52)          | 42 (49)             | 0.73a   |
| Inborn, n (%)               | 214 (72)          | 48 (56)             | 0.008a  |
| Ethnicity, n (%)            | 129 (43)          | 32 (38)             | 0.63a   |
| Asian, Black or Hispanic     | 170 (57)          | 53 (62)             |         |

p determined by using Pearson χ² test; Wilcoxon test.

SD-INDO Single-Dose Indomethacin, EGA estimated gestational age, IQR interquartile range (25th, 75th).
Outcomes in infants < 29 weeks of gestation following single-dose prophylactic indomethacin

This study examined the cardiovascular and neurodevelopmental outcomes of a single-dose indomethacin protocol for premature infants who are at high risk for PDA, IVH, and neurodevelopmental delays. Our results suggest that infants born < 29 weeks who receive SD-INDO, when compared to infants who do not, experience a lower incidence of PDA and improved survival without increasing the incidence of IVH or AKI. There is also an association with decreased functional impairment when CP is present.

The effectiveness of a single dose of indomethacin given prophylactically, as opposed to a longer course, is likely due to the reduced elimination rate of indomethacin in the immediate period after birth. Clearance of indomethacin is inversely related to gestational maturity and linearly correlated with postnatal age, resulting in a longer half-life during the first few days after birth, particularly in the smallest preterm infants [3, 9, 10, 12, 16–18, 39–44]. Yaffe et al. showed that significantly higher serum indomethacin concentrations were achieved in neonates to whom 0.2 mg/kg of indomethacin was administered at < 2 days of age compared to those who were 2–7 days or > 7 days of age and in babies whose body weight was < 1000 g compared to those > 1000 g [40]. A single-dose regimen may therefore result in similar outcomes to those produced by longer regimens [3, 9, 18–20], while decreasing drug exposure.

We found a significant association between exposure to SD-INDO and decreased IVH in the gestation-adjusted, but not the propensity-adjusted model. The SD-INDO group was gestationally more preterm and had lower Apgar scores and higher CRIB II scores, which suggests that they were sicker at baseline. It is possible that selection bias exists in our cohort due to the retrospective nature of the study. However, our findings are consistent with previous studies which show that a 3-dose regimen of prophylactic indomethacin decreases grade 2-4 [9, 16, 22] and 3-4 IVH [3, 16, 23] without adverse neurologic consequences [10, 24]. Our results are also consistent with findings by Bhat et al., using a non-inferiority analysis, who found no difference in IVH and cerebellar hemorrhage rates between patients who received a 1-dose versus a 3-dose regimen of prophylactic indomethacin. In contrast to their study, we compared non-treated infants to those who received prophylaxis. Because our study compared patients who received SD-INDO to those who did not, we were also able to note a potential survival benefit associated with SD-INDO.

One strength of our study is that we followed long-term neurodevelopmental outcomes. Despite a clear connection between prophylactic indomethacin and decreased IVH, only one study has shown an improvement in long-term outcomes [45]. The lack of improvement in other long-term outcome studies may be due to lack of association with the specific outcomes measured (CP, death, blindness or deafness) [3] or lack of statistical power for the rare outcomes measured [6]. Alternatively, the early benefits of indomethacin prophylaxis may be dampened by neuroplasticity and environmental compensation over time, as noted for some caffeine-associated long-term neurodevelopmental benefits [46]. Cerebral palsy, typically measured as a binary outcome, may underestimate meaningful motor disability not meeting criteria for CP. We used Bayley fine and gross motor scores to evaluate for dysfunction in the motor tracts, which are affected by IVH. Previous prophylactic indomethacin studies did not investigate the continuum of motor impairments and delays prevalent in infants born extremely prematurely by school age. Motor delays and impairments

**Discussion**

Table 2 Renal and hemodynamic outcomes in SD-INDO treated infants vs. untreated.

| Outcome                                      | SD-INDO n = 299 | No SD-INDO n = 85 | p    |
|----------------------------------------------|-----------------|-------------------|------|
| Urine output (ml/kg/h), median (IQR)         | 1.90 (1.03, 2.94) | 1.77 (0.62, 2.46) | 0.077a |
| 12 h                                         |                 |                   |      |
| 24 h                                         | 2.5 (1.9, 3.2)  | 2.6 (1.8, 3.4)    | 1a   |
| 72 h                                         | 3.5 (2.6, 4.2)  | 3.5 (2.4, 4.2)    | 0.72a |
| Creatinine (mg/dL), median (IQR)             |                 |                   |      |
| 12 h                                         | 0.82 (0.72, 0.94) | 0.83 (0.75, 0.97) | 0.66a |
| 24 h                                         | 0.97 (0.83, 1.09)| 0.90 (0.82, 1.04)| 0.14a |
| 72 h                                         | 1.01 (0.86, 1.18)| 0.92 (0.83, 1.10)| 0.028a|
| KDIGO score 24 h, n (%)                      |                 |                   | 0.014b |
| 0                                            | 221 (74)        | 48 (58)           |      |
| 1                                            | 72 (24)         | 31 (37)           |      |
| 2                                            | 6 (2)           | 4 (5)             |      |
| KDIGO score 72 h, n (%)                      |                 |                   | 0.71b |
| 0                                            | 237 (82)        | 66 (85)           |      |
| 1                                            | 50 (17)         | 11 (14)           |      |
| 2                                            | 2 (1)           | 1 (1)             |      |
| Mean BP at 12 h, median (IQR)                | 32 (29, 36)     | 35 (30, 38)       | 0.027a|
| Hydrocortisone at <72 h of age               | 53 (18)         | 19 (23)           | 0.31b |

*p determined by using *aWilcoxon test; *bPearson test.

SD-INDO Single-Dose Indomethacin, KDIGO Neonatal Acute Kidney Injury Classification [64], BP Blood pressure, IQR interquartile range (25th, 75th).

better functional outcomes using the GMFCS (OR 0.14, 95% CI [0.02, 0.99], p = 0.05).
may constitute a more suitable outcome to examine the indirect effects of SD-INDO on white matter injury when sample size limitations exist, because they are less influenced by socioeconomic and educational factors during infancy and early childhood [3, 20]. SD-INDO appears to alter the severity of cerebral palsy, with those affected having better functioning as measured by GMFCS if they received SD-INDO, although the numbers of patients with CP in this study were small.

It is possible that ischemic white matter injury, another important contributor to motor impairment, may confound analysis of the long-term effects of prophylactic indomethacin. The presence of even a mild white matter injury increases the risk of motor impairment fivefold [47]. We did not exclude patients with non-IVH white matter injury from our study, as this has not previously been an exclusion criterion studies of prophylactic indomethacin. On the other hand, Miller et al. found that infants who received 6, rather than 3, doses of prophylactic indomethacin had a lower risk of developing moderate to severe white matter injury [48].

Our single-dose protocol may provide a less protective effect for this outcome.

Other strengths of this study are our center’s long-term use of a single-dose approach to prophylactic indomethacin, the comparison of SD-INDO to no treatment, which to the best of our knowledge has not been published elsewhere, the length of time over which the present study was performed, and the inclusion of motor score subscales from neurodevelopmental testing and stratification by CP subtypes. Our study was less likely to overestimate a difference between the SD-INDO exposed and unexposed groups, because the unexposed patients were more mature (and thus less likely to sustain IVH), and the rates of PDA for those infants not treated with SD-INDO are similar to rates reported in the literature for the same gestational age [1].

The limitations of this study include its retrospective nature, precluding the establishment of causal relationships. We did not include patients who died before twelve hours of age, so our study may overestimate survival benefit. On the other hand, the intervention group was approximately

| Outcome                          | SD-INDO % (n) | No SD-INDO % (n) | GA adjusted\(^a\) OR 95% CI p | Propensity score adjusted\(^d\) OR 95% CI p |
|----------------------------------|---------------|------------------|-------------------------------|-------------------------------------------|
| PDA                              | 32 (96/299)   | 59 (50/85)       | 0.24 [0.14, 0.41] <0.005       | 0.26 [0.15, 0.44] <0.005                   |
| PDA treated\(^c\)                | 68 (65/96)    | 86 (43/50)       | 0.10 [0.03, 0.40] <0.005       | 0.12 [0.03, 0.47] <0.005                   |
| Surgical Ligation\(^c\)          | 36 (35/96)    | 28 (14/50)       | 0.98 [0.39, 2.46] 0.97         | 1.37 [0.58, 3.25] 0.47                    |
| IVH                              | 0.58 [0.36, 0.94] 0.03 | 0.69 [0.42, 1.12] 0.13 |
| No hemorrhage                    | 62 (184/299)  | 55 (47/85)       |                              |                                          |
| Grade 1/2                        | 26 (79/299)   | 31 (26/85)       |                              |                                          |
| Grade 3/4                        | 12 (36/299)   | 14 (12/85)       |                              |                                          |
| Cerebellar hemorrhage            | 4 (12/299)    | 6 (5/85)         | 0.50 [0.16, 1.55] 0.23        | 0.41 [0.13, 1.26] 0.12                    |
| Postnatal steroids\(^d\)         | 18 (53/299)   | 22 (19/85)       | 0.56 [0.30, 1.05] 0.07        | 0.50 [0.27, 0.95] 0.04                    |
| SIP                              | 5 (16/299)    | 5 (4/85)         | 0.87 [0.28, 2.71] 0.81        | 0.97 [0.30, 3.09] 0.95                    |
| NEC Stage 2-3                    | 8 (24/299)    | 9 (8/85)         | 1.18 [0.56, 2.47] 0.67        | 1.12 [0.53, 2.38] 0.77                    |
| ROP                              | 4 (13/299)    | 2 (2/85)         | 1.18 [0.25, 5.54] 0.84        | 1.26 [0.27, 5.92] 0.77                    |
| Death                            | 12 (36/299)   | 16 (14/85)       | 0.43 [0.20, 0.95] 0.04        | 0.41 [0.20, 0.86] 0.02                    |
| Discharge on Oxygen\(^c\)        | 40 (105/263)  | 27 (19/71)       | 1.32 [0.71, 2.44] 0.38        | 1.26 [0.68, 2.34] 0.46                    |
| Ventilator days (M, IQR)         | 12 (3, 32)    | 9 (1, 25)        | 1.45 [0.92, 2.28] 0.11        | 1.39 [0.88, 2.22] 0.16                    |
| LOS\(^e\) (M, IQR)              | 87 (64, 111)  | 71 (44, 108)     | 1.05 [0.65, 1.69] 0.84        | 1.19 [0.73, 1.94] 0.49                    |

SD-INDO single-dose indomethacin, PDA patent ductus arteriosus diagnosed on echocardiogram, IVH intraventricular hemorrhage, SIP spontaneous intestinal perforation, NEC necrotizing enterocolitis Bell stage II\(^{21}\) or above, ROP retinopathy of prematurity, LOS length of stay, IQR interquartile range (25th, 75th).

\(^a\)Proportional odds regression.

\(^b\)Propensity score adjustment model includes GA, sex, inborn status (transport), mode of delivery and ethnicity.

\(^c\)Of infants with PDA on echocardiogram (n = 96 for SD-INDO group and n = 50 for No SD-INDO group).

\(^d\)Postnatal corticosteroids at any time.

\(^e\)Of survivors to discharge.

Table 3 Outcomes at hospital discharge in SD-INDO exposed infants vs. untreated.
1 week younger, which would place them at higher risk for the primary and secondary outcomes and thus potentially underestimate effect. Adherence to the institutional SD-INDO protocol was not mandated during the study period, although the contraindications resulting in exclusion from the protocol were strictly observed. The reasons the majority (80%) of the patients did not receive SD-INDO would not be considered increased risk for neurodevelopmental impairment (low urine output in the first 12 h, suspected but disproven fetal condition, reassuring clinical status, provider preference, drug shortage). Thirteen percent (11/85) of the patients did not receive SD-INDO due to recent maternal tocolysis with indomethacin. Patients in our center are not eligible to receive prophylactic indomethacin if they are exposed to indomethacin in utero for tocolysis within 72 h of birth due to concern for renal failure from multiple exposures to indomethacin [49]. The number of patients who did not receive SD-INDO due to indomethacin tocolysis was small and the retrospective nature of our study precluded evaluation of the effect on IVH. Significantly more of the non-SD-INDO exposed patients were outborn. Watson et al. found that outborn status, when adjusted for potential confounders, is not significantly associated with IVH [50]. The rates of follow up for Bayley testing were low which could have precluded finding an effect from SD-INDO, should one exist. We were able to determine presence or absence of CP in a much greater number of patients due to other medical provider visits in the health network (primary care physician, neurology, orthopedics, etc.), even if patients did not present for Bayley testing. The Bayley scores were not worse in the patients who received SD-INDO, despite the intervention group being born at a younger gestational age and being sicker.

Although we chose a period (2007–2014) in which there were no major changes to our institutional clinical protocols, we recognize that subtle changes in ventilator management, timing of surfactant delivery, attention to hemodynamics, and nutritional changes may have occurred during this time. However, both groups were similarly exposed to these advances over the duration of the study.

It is unlikely that further large, multi-center randomized studies of prophylactic indomethacin will be conducted [51], even though the last large multi-center study was published in 2001. Since that time, neonatologists have increasingly provided life-sustaining intensive care to infants born at 22–23 weeks who are at highest risk for severe IVH and PDA. Therapies such as prophylactic indomethacin, which some have appropriately abandoned in the more mature infants with a higher rate of spontaneous closure of the PDA [52] and lower rates of IVH,

### Table 4 Neurodevelopmental outcomes in SD-INDO treated infants vs. untreated.

| Outcome                      | SD-INDO               | No SD-INDO              | N | OR       | 95% CI    | p   | OR       | 95% CI    | p   |
|------------------------------|-----------------------|-------------------------|---|----------|-----------|------|----------|-----------|------|
|                              | Median (IQR)          | Median (IQR)            |   | GA adjusted | Propensity score adjusted |
| DAYC Motor                   | 100 (94, 106)         | 102 (91, 112)           | 286 | 0.86 [0.50, 1.49] | 0.59 [0.46, 1.38] | 0.43 |
| 12 months                    | Bayley Motor          | 94 (86, 100)            | 94 (91, 100) | 190 | 0.86 [0.46, 1.60] | 0.64 [0.46, 1.64] | 0.66 |
| Composite                    | Bayley Fine Motor     | 10 (8, 11)              | 9 (8, 11) | 190 | 1.41 [0.61, 2.15] | 0.68 [0.59, 2.14] | 0.74 |
| Bayley Gross Motor           | 8 (6.5, 9.5)          | 9 (8, 10)               | 190 | 0.66 [0.35, 1.25] | 0.20 [0.36, 1.33] | 0.27 |
| Cerebral Palsy, n (%)        | 16 (6)                | 7 (12)                  | 309 | 0.39 [0.15, 1.04] | 0.06 [0.13, 0.95] | 0.04 |
| GMFCS ≥ 3, n (%)             | 1 (6)                 | 3 (43)                  | 23  | 0.09 [0.01, 0.82] | 0.03 [0.02, 0.99] | 0.05 |
| CP type, n (%)               | 8 (4%)                | 2 (3)                   | 309 | 0.38 [0.14, 1.00] | 0.05 [0.12, 0.89] | 0.03 |
| Hemiplegia                   |                       |                        |     |           |           |      |           |           |      |
| Diplegia                     | 6 (2)                 | 2 (3)                   |     |           |           |      |           |           |      |
| Quadriplegia                 | 2 (1)                 | 3 (5)                   |     |           |           |      |           |           |      |

p determined by using Pearson $\chi^2$ test.

Propensity score adjustment model includes GA, sex, inborn status (transport), mode of delivery, ethnicity, antenatal steroids and small for gestational age <3%.

**SD-INDO** single-dose indomethacin, **DAYC** Developmental Assessment of Young Children, **GMFCS** Gross Motor Function Classification System, **IQR** interquartile range (25th, 75th).

*a*Of those with cerebral palsy.
may be appropriate for this highly immature population [1, 7, 8, 14].

Our protocol calls for SD-INDO at 12 h but others have administered multi-dose indomethacin beginning at 6 h [8, 9, 53]. Since no adverse renal effects were shown from administration of SD-INDO at 12 h, earlier administration of a single dose of indomethacin may maximize potential benefits, whether from improved hemodynamic stability or to a shift in the inflammatory response cascade. Future studies may evaluate whether there is increased benefit conferred if SD-INDO is given shortly after birth, as the hemodynamic instability that potentially contributes to IVH may occur well before 12 h of age.

In summary, a single dose of indomethacin at 12 h of age is associated with short- and long-term benefits in very premature infants. Given the recent trend away from prophylactic indomethacin use [54] and emerging reports of increased morbidity and mortality among infants who fail to spontaneously close the ductus arteriosus [55–62], neonatologists may consider using a single-dose prophylactic regimen to gain the benefits of ductus closure with limited drug exposure. Prospective studies are needed to determine the optimal timing and broad applicability of this approach, perhaps combined with early targeted cardiac ultrasound [11, 63, 64].

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Compliance with ethical standards
Conflict of interest The authors declare that they have no conflict of interest.

Ethics The authors affirm that research was conducted in accordance with the ethical standards of all applicable national and institutional committees and the World Medical Association’s Helsinki Declaration.

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