Knowledge needs for implementing HIV pre-exposure prophylaxis among primary care providers in a safety-net health system

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ABSTRACT

Safety-net health systems are a primary source of care for socioeconomically disadvantaged individuals who may be eligible for HIV pre-exposure prophylaxis (PrEP) and are priority groups under the Ending the HIV Epidemic (EHE) initiative. Nevertheless, little evidence is available about barriers to PrEP implementation in safety-net settings. We aimed to assess the association between PrEP knowledge and prescribing practices, and to ascertain unmet knowledge needs to implement PrEP. In 2019, we surveyed primary care providers (PCPs) in a safety-net health system that serves an EHE priority jurisdiction located in North Texas. Our questionnaire ascertained self-reported prescribing practices, knowledge, and training needs related to PrEP. Our study population comprised 62 primary care providers, of whom 61% were female, 60% were non-Hispanic White, 76% were physicians (76%), 57% had ≥ 10 years of practice experience, 45% reported low self-rated PrEP knowledge, and 35% prescribed PrEP in the past year. Providers with low PrEP knowledge had 69% lower odds of prescribing PrEP within the past year (OR = 0.31, 95% Pl: 0.12, 0.82). Eligibility for PrEP, side effects and adherence concerns were key unmet knowledge needs. Our findings suggest that low provider PrEP knowledge may be a barrier to PrEP prescribing among safety-net PCPs. Our results provide insight about specific educational needs of PCPs in a safety-net health system, which are amenable to educational intervention.

1. Background

The United States (US) government recently launched a new federal initiative, Ending the HIV Epidemic (EHE), to reduce the number of HIV infections in the US by 90% within the next decade (Fauci et al., 2019). This initiative prioritizes geographic regions such as the southern US because over half of new HIV cases in 2018 were diagnosed in this region and predominantly among racial/ethnic and sexual minorities (CDC, 2019a,b). HIV pre-exposure prophylaxis (PrEP) is a critical component of this initiative (Giroir, 2020). PrEP using tenofovir disoproxil fumarate/emtricitabine (TDF/FTC) or tenofovir alafenamide/emtricitabine (TAF/FTC) reduces HIV transmission when taken as prescribed (Baeten et al., 2012; Grant et al., 2010; McCormack et al., 2016). PrEP is currently recommended for susceptible at-risk individuals, which includes people who are injection drug users (IDU), have a recent bacterial sexually transmitted infection (STI), are engaged in commercial sex work, or have a high number of sex partners (Smith et al., 2018a, 2018b). A key challenge for the EHE initiative is implementation of PrEP by primary care providers (PCPs) in healthcare systems.

Prior studies have reported low PrEP use across the country (Huang et al., 2018; Mayer et al., 2018; Sullivan et al., 2018). For example, in an analysis of 2017 prescription data, the lowest PrEP-to-need ratio was seen in US South residents, and less than 10% of the estimated 1.2 million eligible individuals received PrEP (Sieglar et al., 2018). Several barriers to PrEP implementation have been identified among PCPs including stigma, lack of knowledge, and inadequate skills to prescribe PrEP (Mayer et al., 2020; Pleuhs et al., 2020). Nevertheless, limited evidence is available about potential barriers to PrEP implementation among PCPs in safety-net health systems, which may be particularly...
aimed to assess the association between knowledge of PrEP and pre-competitive participation. Therefore, we aimed to assess the association between knowledge of PrEP and prescribing practices among PCPs in a safety-net health system that serves a county designated as an EHE priority area. In addition, we aimed to ascertain specific unmet knowledge needs to implement PrEP (Fig. 1).

2. Methods

2.1. Study setting

JPS Health Network (JPS) is the only safety-net health system in Tarrant County, Texas. The network includes a 578-bed academic teaching hospital and over 40 satellite clinics and accredited Primary Care Medical Homes (PCMHs) distributed across the county. Tarrant County is a national HIV hotspot with a prevalence of 281 per 100,000 population and increasing HIV incidence (Texas Department of State Health Services, 2019). In 2019, Tarrant County was identified as a priority area by the EHE initiative for targeted implementation efforts (Fauci et al., 2019). This study was approved by the North Texas Institutional Review Board (IRB #2019-073).

2.2. Study population

We surveyed in-network clinicians (physicians, excluding medical residents, nurse practitioners, clinical pharmacists, and physicians assistants) who provided outpatient primary care services at any JPS facility. Eligible providers were identified from an email list of primary care providers compiled by JPS Medical Staff Office and invited for the survey by direct email. The survey was conducted over a 30-day period from October 2nd to October 31st, 2019. All eligible providers received a total of six emails during the survey window: one email sent a week before survey, an introductory email invitation containing a consent statement and a URL link to the web based survey located on the JPS intranet, three reminder emails (days 14, 21, and 28 of the survey window), and one closing email sent on last day of the survey. Survey responses were kept anonymous and participants were discouraged from forwarding survey emails to their peers to reduce the potential for selective participation.

2.3. Variables

We used an 18-item survey instrument (Supplementary Materials) that included questions about providers’ knowledge and attitudes about PrEP, practices of PrEP, training needs, and demographics. Survey questions were adapted from validated surveys used in previous studies on PrEP (Blumenthal et al., 2015; Puro et al., 2013; Sharma et al., 2014; Wood et al., 2018). Demographic questions on the survey included gender identity, age, race/ethnicity, practice specialty, and years since completing residency or training. Provider knowledge and comfort with prescribing PrEP was assessed using three questions that asked participants to rate their knowledge of PrEP and if they knew enough about PrEP to have an informed discussion with their patients. A third question provided a multiple choice question on a scenario where PrEP was indicated. We assessed providers’ attitudes towards PrEP by asking participants to rank a list of statements about prescribing PrEP that endorsed their perspective. Response options used a five-point Likert scale that ranged from “strongly agree” to “strongly disagree”. We asked providers who had experience with PrEP to indicate which category of patients asked about PrEP, if the discussion was initiated by the provider, and if there was a risk assessment tool available at their practice to help screen and identify patients who may benefit from PrEP. Lastly, providers reported if they were interested in PrEP education, their training needs, and their preferred model of PrEP implementation in their practice.

2.4. Data analysis

We used logistic regression to estimate the odds ratio (OR) and 95% confidence limits (CL) for the association between self-rated low knowledge of PrEP and PrEP prescribing within the past year. Low PrEP knowledge was defined as self-reported low or no knowledge of PrEP. We adjusted for covariates assumed to be common causes of both low knowledge and PrEP prescribing based on subject-matter knowledge (Hernan et al., 2002; Robins, 2001). Specifically, our estimate was adjusted for provider age, gender, race/ethnicity, and years of clinical practice. To reduce sparse categories for analysis, we collapsed categories for some variables. Age was categorized as ≤40 years, 41–50 years, and >50 years. Gender was dichotomized as male or female. Race/ethnicity was dichotomized as non-Hispanic White and racial/ethnic minorities (i.e. non-Hispanic Black, Hispanic, and Asian). Years of clinical practice was dichotomized as ≤10 years and >10 years. Despite this initial reduction of sparse categories, we recognized the potential for sparse-data bias (Greenland et al., 2016, 2000) because of few observations in certain strata of covariates. Consequently, we used penalized logistic regression to reduce the magnitude of sparse-data bias (Discacciati et al., 2015; Greenland, 2006; Greenland and Mansournia,
This semi-Bayesian approach involved combining the observed OR with a null-centered prior (i.e., OR\textsubscript{prior} = 1.0) and variance of 0.5 for \ln(OR\textsubscript{prior}), which corresponded to a 95% CL between 0.25 and 4.0 for OR\textsubscript{prior}. These prior limits were liberal considering an upper limit of 4.0 implied an improbable 4 times higher odds of prescribing PrEP for providers who self-reported low knowledge. Nevertheless, smaller variance would have over-weighed the prior assumptions relative to the observed data. Lastly, we estimated the frequency of knowledge needs and individual components of self-reported knowledge, where 5-point Likert responses were collapsed to three-category responses to reduce potential sparse categories. Respondents who answered “strongly agree” or “agree” were considered as endorsing agreement, responses of uncertain or no opinion were considered uncertain, and responses of “strongly disagree” or “disagree” were considered as endorsing disagreement. The majority of respondents had been practicing medicine for \geq 10 years.

### 3. Results

Our eligible population included 283 providers, of whom 62 (22%) responded with sufficient data for this analysis. Table 1 summarizes demographic characteristics of the study population. The majority of respondents were female (61%), non-Hispanic White (60%), and primary care/ internal medicine physicians (76%). In addition, 57% of respondents had been practicing medicine for \geq 10 years.

#### 3.1. Provider knowledge and PrEP prescription

Overall, 45% of participants self-reported low knowledge of PrEP and 35% prescribed PrEP in the past year. Table 2 summarizes estimates for the association between self-rated low knowledge of PrEP and PrEP prescribing within the past year. PCPs who self-reported low knowledge of PrEP had 91% lower odds of prescribing PrEP (OR = 0.09, CL: 0.02, 0.45). Nevertheless, using methods to address sparse-data bias, PCPs who self-reported low knowledge of PrEP had 69% lower odds of prescribing PrEP within the past year (OR = 0.31, 95% PL: 0.12, 0.82).

#### Table 2

| Self-rated low knowledge of HIV pre-exposure prophylaxis (PrEP) and PrEP prescribing among primary care providers in an urban safety-net health system. |
|--------------------------------------------------|
| **Characteristics** | **Adjusted probability of prescribing PrEP within the past year** | **Odds ratio\textsuperscript{a} (95% CL)** | **Penalized odds ratio\textsuperscript{c} (95% PL)** |
| Low knowledge | 15% | 0.09 (0.02, 0.45) | 0.31 (0.12, 0.82) |
| Medium or high knowledge | 59% | 1.0 (reference) | 1.0 (reference) |

\textsuperscript{a} Adjusted for age, gender, race/ethnicity, and years of clinical practice.  
\textsuperscript{b} CL: confidence limits.  
\textsuperscript{c} PL: posterior limits.

### 3.2. Status of PrEP knowledge among safety-net PCPs

PrEP awareness among providers was high (98%), but more than half (55%) endorsed insufficient knowledge about PrEP to have an informed discussion with their patients. In addition, 55% did not initiate a discussion about PrEP as a prevention option with their patients in the past year. Among providers who endorsed sufficient knowledge about PrEP, 23% had been asked by patients about PrEP in the past year (predominantly MSM [31%] or individuals in a serodiscordant relationship [11%]). The majority of participants (71%) reported that the lack of an available screening tool in their practice was a barrier to identify high risk patients.

### 4. Discussion

Our results suggest that low self-reported knowledge of PrEP has a strong inverse association with PrEP prescribing within the past year among PCPs in a safety-net health system. Nevertheless, providers had a high awareness of PrEP and were willing to prescribe PrEP if given additional information. Specific knowledge barriers included uncertainty about who was eligible for PrEP, and concerns about adherence and side effects.

Our findings should be considered in the context of certain limitations. The response proportion for our survey was comparable with other PrEP surveys among physicians, (Silverman et al., 2018) but selection bias is a consideration for explaining findings in our study and prior studies. We were unable to obtain detailed demographic data for the 283 PCPs eligible for our survey, but we explored gender distributions as a crude indicator of selective participation. For example, 35% of physicians who participated in our survey were male, whereas 67% of family medicine physicians in JPS Health Network are male. If a high proportion of male non-participants had low self-reported knowledge of PrEP, then we may be underestimating the prevalence of low self-reported knowledge or other knowledge needs. Nevertheless, underestimated prevalence of low self-reported knowledge is unlikely to explain the inverse association with PrEP prescribing within the past year. Consider that the prevalence of PrEP prescribing within the past year would also have to be high among non-participating PCPs with low self-reported knowledge, which seems behaviorally counterintuitive and implausible because of universally low PrEP uptake.

Several studies have described provider lack of knowledge or low knowledge as a putative implementation barrier to PrEP prescription (Bacon et al., 2017; Blackstock et al., 2017; Blumenthal et al., 2015; Clement et al., 2018; Hakre et al., 2016; Mimiga et al., 2014; Ojite et al., 2017; Pettrol et al., 2017; Seidman and Weber, 2016; Tripati et al., 2012; Walsh and Pettrol, 2017; Wood et al., 2018). Nevertheless, few studies aimed to estimate the magnitude of association between low knowledge and PrEP prescription, which could provide insight about whether low knowledge would be an impactful target for intervention.
Blumenthal et al. (2015) reported that providers with a high PrEP knowledge score had higher odds of prescribing PrEP (OR = 1.6, 95% CI: 1.1, 2.3) (Blumenthal et al., 2015). Wilson et al. (2020) also reported that providers with high self-reported knowledge had higher odds of PrEP prescribing but with less precision than Blumenthal et al. (2015) (OR = 2.2, 95% CI: 0.95, 4.9). The collective evidence (including our study) about the association between knowledge and PrEP prescribing seems consistent with expectations, but the magnitude of association is difficult to interpret because of imprecise estimates and variation across studies. For example, the characteristics of providers and settings, measurement of PrEP knowledge, and covariates selected for adjustment to reduce confounding bias varied across studies. Nevertheless, evidence from intervention studies supports the idea that improving PrEP knowledge can increase PrEP adoption and prescribing among PCPs (Bunting et al., 2020; Clement et al., 2018; Sales et al., 2019).

PrEP knowledge encompasses diverse concepts such as PrEP awareness. Prior studies reported PrEP awareness between 61% and 93% among providers (Blumenthal et al., 2015; Krakower et al., 2017; Petroll et al., 2017; Smith et al., 2016; Wood et al., 2018). The proportion of providers who reported PrEP awareness in our study was high (98%), but providers in our study had longer exposure to PrEP than providers in prior studies (e.g. through increased media attention). Furthermore, as reported in other studies (Wilson et al., 2020), participants who responded to the survey were more interested in PrEP. Nevertheless, awareness does not necessarily translate to prescribing. Similar to our findings, Blackstock et al. (2017) surveyed academic general internists in primary care, of whom 93% were aware of PrEP but only one-third had ever prescribed or referred a patient for PrEP.

We also elicited PCPs attitudes about PrEP to ascertain potential targets for an educational intervention. Providers in our survey endorsed interest in receiving educational trainings on PrEP and integrating PrEP services into primary care. This finding is encouraging because PCPs and HIV specialists often disagree about whom should be responsible for prescribing PrEP. This “Purview Paradox” is frequently cited as a significant barrier to PrEP adoption in primary care settings (Krakower et al., 2014; Lee and Petersen, 2018; Pinto et al., 2018; Plehvs et al., 2020). Notably, in a recent study, the majority of surveyed PCPs preferred a PrEP implementation model in which patients received PrEP care within their clinical practice rather than be referred elsewhere, but these findings may not be generalizable to physicians in non-academic settings (Edelman et al., 2020). Additional research is needed to determine which implementation models can facilitate optimal PrEP adoption within primary care settings and areas where uptake is low.

In summary, assuming no major biases, our findings suggest that low provider knowledge may be a barrier to PrEP prescribing among safety net PCPs, which emphasizes the need for educational interventions to increase PrEP prescribing. Our results also provide insight about specific educational needs of PCPs in a safety-net health system, which can be used to design an intervention. Given that our setting is generally representative of safety-net health systems (Clark et al., 2020), our findings may also be relevant to other safety-net settings and EHE priority jurisdictions where focused PrEP implementation efforts are needed.

5. Financial disclosure

There are no financial disclosures to report by any authors of this paper.

6. Availability of data and materials

The data that support the findings of this study are available from the authors upon reasonable request and within the limits of IRB rules.
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