A STRATEGY TO ELIMINATE INSTANTANEOUS ACTIVE POWER OSCILLATIONS AND REDUCE HIGH CURRENTS IN THE DFIG DURING ASYMMETRIC VOLTAGE SAGS

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Abstract – Wind turbines are widespread around the globe, and the number of wind farms connected to the grid is continually increasing. The Doubly-Fed Induction Generator (DFIG) plays an important role, since it is one of the most used configurations for wind power generation. DFIG-based wind plants, however, are very sensitive to grid disturbances, specially to voltage sags, as these machines have their stator circuit directly connected to the grid. Voltage sags can result in oscillations in active power, torque and DC link voltage, as well as damage the machine and the back-to-back converter due to high currents that arise in such type of contingency. This work proposes a control strategy applied to the rotor-side converter (RSC) of the DFIG, in order to protect the machine and the back-to-back converter during voltage sags. The aim of the control strategy is to reduce the machine currents and also to remove the oscillating active power caused by unbalanced voltage sags.

Keywords – Doubly-fed induction generator, Instantaneous active and reactive power, Unbalanced voltage sag, Wind power generation.

NOMENCLATURE

Variables

\[ i, I \] Instantaneous and rms current.
\[ v, V \] Instantaneous and rms voltage.
\[ L \] Inductance.
\[ p \] Instantaneous active power.
\[ q \] Instantaneous reactive power.
\[ R \] Resistance.
\[ \omega \] Angular frequency.
\[ \theta \] Angle.
\[ \psi \] Flux.

Subscripts

\[ X_{d,q} \] Direct and quadrature reference axis.
\[ X_r \] Rotor.
\[ X_s \] Stator.
\[ X_m \] Magnetization.
\[ X_{sag} \] Sag.
\[ X_0 \] Zero sequence.

Superscripts

\[ X^+,- \] Positive and negative sequence.
\[ X^* \] Reference value.
\[ \bar{X} \] Average value.
\[ \tilde{X} \] Oscillating value.
\[ \vec{X} \] Vector.

I. INTRODUCTION

Doubly fed induction generator (DFIG) based wind turbines are widespread in wind farms around the world. Its reduced size converter requirement makes them more cost effective compared to other variable speed systems [1], [2], however, it has the disadvantage of being extremely sensitive to grid voltage disturbances as the stator circuit is directly connected to the grid [3]–[5]. From these disturbances, voltage sags are specially harmful to DFIGs, and, it is important to highlight that the majority of them are unbalanced, with single-phase voltage sags alone being responsible for 75% of all sag occurrences [6]–[8]. Asymmetric voltage sags cause unbalanced currents, resulting in torque pulsations and oscillations in the DFIG active and reactive power [9]–[11]. These scenarios increase the mechanical stress and DC link voltage oscillations. Whenever a voltage sag occurs, the stator magnetic flux might comprise positive, negative and zero sequence components which can cause rotor overvoltages, and rotor and stator overcurrents [2], [4], [15], [16]. Therefore, it is necessary to develop a control strategy to protect the machine and the converters from the destructive currents that emerge in the occurrence of voltage sags.

In the literature it is possible to find several strategies used to solve this problem. [17]–[32].

One well-known strategy is the use of a crowbar circuit, where resistors are connected to the rotor windings in order to damp the rotor magnetic flux [17]–[19], [27]. Although such circuit limits short-circuit currents, it does not allow the rotor-side converter (RSC) to control machine active and reactive power. This limitation encouraged researchers to seek crowbarless configurations, such as the ones controlling the RSC [20]–[26],[28]–[30],[32].

Dantas in [8] and Karimi in [31] present techniques for fast fault detection. These methods are useful for the proposed compensation system to act accurately, however, alone, they are not tools to bypass the problems caused by the voltage sag.

This paper explores a control strategy applied to the RSC by controlling the reactive and active power through the Field Oriented Control (FOC) technique. The goal is to suppress
oscillations in the active power and reduce the high currents emerging from voltage sags, thus protecting the DFIG.

This work is presented as follows: Section II shows the classical DFIG modeling with control applied to the RSC and reactive and active power as control references. In Section III, the equations for the DFIG operation under voltage sag are derived and, in Section IV, the calculations of the current references to cancel out the oscillations of the active power are displayed. Section V presents the proposed control strategy and, lastly, Section VI presents experimental results.

II. DFIG: CLASSIC VECTOR CONTROL STRATEGY APPLIED TO THE ROTOR-SIDE CONVERTER

The stator active and reactive power can be controlled by the RSC using field-oriented control (FOC) in the dq reference frame (positive components rotate clockwise, negative rotate counterclockwise). In this strategy, the d-axis is aligned with the stator flux vector, as shown in [5]. Neglecting the stator winding resistance, and considering the stator voltage vector leading the magnetic flux by 90°, result \( \psi_s = |\psi_s| \) and \( \psi_{sq} = 0 \). Thus:

\[
\begin{align*}
i_{sd} &= \frac{\psi_{sd}}{L_s} - \frac{L_m}{L_s} i_{ld}, \\
i_{sq} &= -\frac{L_m}{L_s} i_{lq}.
\end{align*}
\]

and

\[
i_{sq} = \frac{L_m}{L_s} i_{ld}.
\]

Knowing that \( \psi_s = v_{sq}/\omega_s \), the stator active and reactive power, according to [33], are given by:

\[
\begin{align*}
\bar{p}_s &= \frac{3}{2}(v_{sd}i_{sd} + v_{sq}i_{sq}) \\
\bar{q}_s &= \frac{3}{2}(v_{sd}i_{ld} - v_{sq}i_{lq}).
\end{align*}
\]

becoming

\[
\begin{align*}
p_s &= \frac{3}{2} L_m v_{sq} i_{lq}, \\
q_s &= \frac{3}{2} L_m v_{sd} i_{ld}.
\end{align*}
\]

III. DFIG UNDER UNBALANCED VOLTAGE

In three-phase systems the instantaneous active and reactive power can be decomposed in average and oscillating components, as stated by p-q theory [33]. Ignoring the harmonics dependent components, they can be written as:

\[
\begin{align*}
\bar{p} &= 3V^+I^+\cos(\theta_s - \theta_t) + 3V^-I^-\cos(\theta_s - \theta_t), \\
\bar{q} &= 3V^+I^+\sin(\theta_s - \theta_t) - 3V^-I^-\sin(\theta_s - \theta_t), \\
\bar{p} &= -3V^+I^+\cos(2\omega t + \theta_s + \theta_t) - 3V^-I^-\cos(2\omega t + \theta_s + \theta_t), \\
\bar{q} &= -3V^+I^+\sin(2\omega t + \theta_s + \theta_t) + 3V^-I^-\sin(2\omega t + \theta_s + \theta_t), \\
p_0 &= 3V_0d_0\cos(\theta_s - \theta_t) - 3V_0d_0\cos(2\omega t + \theta_s + \theta_t + \theta_0).
\end{align*}
\]

Taking into consideration a three-phase unbalanced system with only three conductors, as in the case of the DFIG under unbalanced voltage sag, the zero-sequence current component is not present, thus the instantaneous zero-phase sequence power is equal to zero \( (p_0 = 0) \). The average instantaneous active and reactive powers \( (\bar{p}, \bar{q}) \) come from the interaction between voltages and currents of same sequence, whereas oscillating components \( (\bar{p}, \bar{q}) \) are originated from interaction between voltages and currents of different sequences.

\[
p_s + jq_s = \frac{3}{2}(v_{sdq}^+e^{j\omega t} + v_{sqd}^-e^{-j\omega t})(i_{sdq}^+e^{j\omega t} + i_{sqd}^-e^{-j\omega t}).
\]

The instantaneous active and reactive power can be obtained by manipulating (8). Furthermore, the oscillating components are represented by two parts, the first one as a function of a cosine \( (P_{\bar{p}} \text{ and } Q_{\bar{q}}) \) and the second one as a function of a sine \( (P_{\bar{q}} \text{ and } Q_{\bar{q}}) \), both oscillating at twice the grid frequency:

\[
p = \bar{p} + P_{\bar{p}}\cos(2\omega t) + P_{\bar{q}}\sin(2\omega t),
\]

and

\[
q = \bar{q} + Q_{\bar{p}}\cos(2\omega t) + Q_{\bar{q}}\sin(2\omega t).
\]

The coefficients are given by:

\[
\begin{align*}
P_{\bar{p}} &= \frac{3}{2}(v_{sdq}^+ + v_{sqd}^- + v_{sdq}^- + v_{sqd}^+), \\
P_{\bar{q}} &= \frac{3}{2}(v_{sdq}^- + v_{sqd}^+ + v_{sdq}^- + v_{sqd}^+), \\
Q_{\bar{p}} &= \frac{3}{2}(v_{sdq}^- - v_{sdq}^- + v_{sdq}^+ + v_{sdq}^+), \\
Q_{\bar{q}} &= \frac{3}{2}(v_{sdq}^- + v_{sqd}^- + v_{sdq}^+ + v_{sqd}^+).
\end{align*}
\]

The positive and negative sequences of the current and voltage are obtained from the Double Second-Order Generalized Integrator-Frequency Locked Loop (DSOGI-FLL) structure. This technique is well established in [34].

For both positive and negative synchronous reference frame, by aligning the d-axis with the stator flux vector, one has \( v_{sdq}^+ = 0 \) and \( v_{sqd}^- = 0 \). Isolating the average instantaneous active power, and, the component of the oscillating instantaneous active power that is function of a cosine, results in the following matrix expression:

\[
\begin{bmatrix}
2/3 \\
P_{\bar{p}}
\end{bmatrix} = \begin{bmatrix}
v_{sdq}^+ & v_{sqd}^- \\
v_{sqd}^- & v_{sdq}^+
\end{bmatrix} \begin{bmatrix}
i_{sdq}^+ & i_{sqd}^- \\
i_{sqd}^- & i_{sdq}^+
\end{bmatrix}.
\]

Similarly, isolating the average instantaneous reactive power and the component of the oscillating instantaneous active power which is function of a sine results in:

\[
\begin{bmatrix}
2/3 \\
Q_{\bar{p}}
\end{bmatrix} = \begin{bmatrix}
v_{sdq}^+ & v_{sqd}^- \\
v_{sqd}^- & v_{sdq}^+
\end{bmatrix} \begin{bmatrix}
i_{ld}^+ & i_{lq}^- \\
i_{lq}^- & i_{ld}^+
\end{bmatrix}.
\]
After algebraic manipulations the stator currents, in the positive and negative synchronous reference frame, can be expressed as:

\[
i_{sq}^+ = \frac{2}{3\Delta}(\bar{p}\cdot v_{sq}^+ - P_{2,2}\cdot v_{sq}^-), \tag{14}
\]

\[
i_{sq}^- = \frac{2}{3\Delta}(P_{2,2}\cdot v_{sq}^+ - \bar{p}\cdot v_{sq}^-),
\]

and

\[
i_{sd}^+ = \frac{2}{3\delta}(\bar{q}\cdot v_{sd}^+ + P_{2,2}\cdot v_{sd}^-), \tag{15}
\]

\[
i_{sd}^- = \frac{2}{3\delta}(\bar{q}\cdot v_{sd}^- + P_{2,2}\cdot v_{sd}^+).
\]

Furthermore \( \Delta = (v_{sq}^+)^2 - (v_{sq}^-)^2 \) and \( \delta = (v_{sd}^+)^2 + (v_{sd}^-)^2 \).

It is important to highlight that for the scenario where the positive sequence of the stator voltage on the quadrature axis \( v_{sq}^+ \) is equal to the negative sequence \( v_{sq}^- \), that is, when \( \Delta = 0 \), there will be a singularity. However, this case will occur only in a two-phase voltage interruption (e.g. \( v_a = 1 \text{ p.u. and } v_b = v_c = 0 \text{ p.u.} \)), which is not addressed in this work, since it is on voltage sags. Furthermore, during voltage sags the positive sequence will most often have the largest modulus \((v_{sq}^+)^2 > (v_{sq}^-)^2\).

Lastly, if the power values presented in (14) and (15) are known, the stator currents can be determined.

IV. OBTAINING THE REFERENCE CURRENTS FOR THE DFIG OPERATION UNDER ASYMMETRIC VOLTAGE SAGS

An asymmetric voltage sag causes unbalanced voltages at the point of common coupling (PCC). Therefore, the equations described in section III. are valid for this conditions.

The control strategy proposed in this article seeks to eliminate the oscillations in the active power and to protect the DFIG using as reference the active and reactive powers, which are chosen so as to attenuate the effect of the high currents in the stator and rotor due to the voltage sag.

A. Reference Stator Currents

From (14) and (15) the following reference stator currents are found:

\[
i_{sq}^+ = \frac{2}{3\Delta}(\bar{p}\cdot v_{sq}^+), \tag{16}
\]

\[
i_{sq}^- = \frac{2}{3\Delta}(\bar{p}\cdot v_{sq}^-),
\]

and

\[
i_{sd}^+ = \frac{2}{3\delta}(\bar{q}\cdot v_{sd}^+), \tag{17}
\]

\[
i_{sd}^- = \frac{2}{3\delta}(\bar{q}\cdot v_{sd}^-).
\]

Where \( \bar{p}_{sag} \) and \( \bar{q}_{sag} \) are the setpoints of the average instantaneous active and reactive power.

B. Reference Rotor Currents

From (1) and (2), one can find the following reference currents for the RSC:

\[
i_{rd}^+ = \frac{\psi_{rd}^+ - L_s i_{rd}^+}{L_m}, \tag{18}
\]

\[
i_{rd}^- = \frac{\psi_{rd}^- - L_s i_{rd}^-}{L_m},
\]

\[
i_{rq}^+ = \frac{-L_s i_{rq}^+}{L_m},
\]

\[
i_{rq}^- = \frac{-L_s i_{rq}^-}{L_m}.
\]

Where the positive and negative sequence components of the magnetic flux can be estimated by:

\[
\psi_{sq}^+ = \frac{v_{sq}^+}{\omega_s},
\]

\[
\psi_{sq}^- = \frac{v_{sq}^-}{\omega_s}, \tag{19}
\]

\[
\psi_{sd}^+ = \frac{-v_{sd}^+}{\omega_s},
\]

\[
\psi_{sd}^- = \frac{-v_{sd}^-}{\omega_s}.
\]

The reference rotor currents, in the synchronous reference frame, are now determined through the reference current positive and negative components:

\[
i_{rd}^+ = i_{rd}^+ + i_{rd}^- \tag{20}
\]

\[
i_{sq}^+ = i_{sq}^+ + i_{sq}^- \tag{21}
\]

V. CONTROL STRATEGY

A. Rotor Current Loop Model

In the rotor current loop the dominant poles were canceled in order to determine the controller gains [35], both in the quadrature and direct axis. The RSC switching frequency is equal to \( f_{ch} = 10 \text{ kHz} \), and the converter transfer function can be modeled as a constant time delay \( T_{\text{RSC}} \), which is determined by:

\[
T_{\text{RSC}} = \frac{2}{f_{ch}}. \tag{22}
\]

According to the adopted criterion, the controller gains are:

\[
K_{ii} = \frac{R_r}{2T_{\text{RSC}}}, \tag{23}
\]

and

\[
K_{pi} = \frac{K_{ii}\sigma L_f}{R_r}. \tag{24}
\]

Where \( \sigma = 1 - \frac{f_{ch}}{f_{ch}^2} \). Figure 1 shows the q-axis rotor current.
loop control.

\[
I_r^* = \frac{1}{sR_r} \left[ K_{ps} + K_{qs} \right] + \frac{G_{rsc}(s)}{s} V_e(s) + \frac{1}{s} \left( \frac{L}{R_s} + 1 \right) I_n(s)
\]

Fig. 1. Quadrature axis rotor current loop model.

B. Changing The Control Strategy From The Classic To The Proposed One

During balanced voltage the classic vector control was employed. The power control loops generate the reference currents to the current loops. After voltage sag detection, the control strategy shifts from the classic to the proposed control (see Figure 2). The algorithm presented in [8] was used to detect the voltage sags and to send the digital signal, named \( v_{sd} \), that was applied to change the control strategies in the high moments.

\[
I_s^* + I_n = \frac{\Psi_{rd}}{1 + sT_{rsc}} I_{sd}^*
\]

\[
K_{pi} = K_{ii} \sigma L_s R_r
\]

Where \( \sigma = 1 - L_m^2 / L_s L_r \). Figure 1 shows the q-axis rotor current loop control.

\[
V_s^* = \left[ I_{sd}^* - I_{rd}^* \right] + \frac{\Psi_{rd}}{1 + sT_{rsc}} I_{sd}^*
\]

\[
K_{pi} = K_{ii} \sigma L_s R_r
\]

Where \( \sigma = 1 - L_m^2 / L_s L_r \). Figure 1 shows the q-axis rotor current loop control.

VI. EXPERIMENTAL RESULTS

In order to validate the proposed control strategy an experimental setup was assembled, where the DFIG was subject to voltage sags. A three-phase induction motor (TIM) driven by an inverter emulated the wind, and a three-phase rectifier was employed instead of the grid side converter (GSC). As consequence, the DFIG operated only in subsynchronous mode. Figure 3 details the system configuration. Table I and Table II show the DFIG and TIM parameters, respectively. Figure 4 exposes the experimental setup.

Following the requirements stated by IEEE Std 1159-2009, asymmetric voltage sags were manually imposed through a 10 kVA three-phase variable autotransformer connected between the grid and the DFIG. Two scenarios were considered for the validation: one-phase and two-phase voltage sag.

![Experimental setup representation.](image)

**TABLE I**

| Parameters       | Values            |
|------------------|-------------------|
| Rated Power      | 6kW/8kVA          |
| Rated Voltage    | 380V              |
| Frequency        | 60Hz              |
| Stator Resistance| 0.5417 Ω          |
| Rotor Resistance | 0.5815 Ω          |
| Stator Leakage Inductance | 5.00 mH |
| Rotor Leakage Inductance | 6.5 mH  |
| Magnetizing inductance | 115.13 mH       |

**TABLE II**

| Parameters       | Values            |
|------------------|-------------------|
| Rated Power      | 10 hp             |
| Rated Voltage    | 220/380/440V      |
| Frequency        | 60Hz              |
| Rated Current    | 25.1/14.9/12.9 A  |
| Rotacional Speed | 1750 rpm          |
| Service Factor   | 1.15              |

![Experimental setup.](image)

A. Single-Phase Voltage Sag

Initially in steady state, the generator injects 2000 W active power and 0 var reactive power into the grid. Figure
Fig. 5. System response for single-phase voltage sag.

5.a shows the DFIG currents in phase A at this operation point. The total harmonic distortion of the rotor and stator currents are 6.89% and 10.05%, respectively. The constructive characteristics of the machine bring about these distorted currents. Afterwards, a 0.66 p.u. single-phase voltage sag was forced on phase A, as illustrated in Figure 5.b, which shows the digital signal detecting the sag as well as the normalized aggregate voltage on phase A, previously defined in [8] as

$$V_{\text{agg}}^{+} = \sqrt{v_{\text{agg}}^{+}(t)^2 + v_{\text{agg}}^{-}(t)^2}.$$ 

The single-phase voltage sag generated positive, negative and zero sequence voltage components. Since the system considered has only three conductors, there is no interest in the zero sequence components. Figure 5.c depicts the residual voltages, and Figure 5.d and 5.e depict respectively the positive and negative voltage components on the synchronous reference frame. As the stator magnetic flux is aligned with the d-axis, $v_{\text{agg}}^{+} = 0$. Disregarding small oscillations on the negative sequence component in the beginning and in the end of the sag, $v_{\text{agg}}^{-} = 0$.

At the beginning of the voltage sag current oscillations are presented, as can be seen in Figure 5.f. This is more perceptible in the rotor current plot (Figure 5.g). As can be seen in both plots, the currents experience an increase and, after the proposed control strategy takes place, their amplitude become restricted and oscillations are eliminated.

When the DFIG injects 2000 W and 0 var into the grid, the rotor currents in the synchronous reference frame have average values of $I_{\text{rd}} = 6.8$ A and $I_{\text{rq}} = 3$ A (see Figures 5.h and 5.i). The reference values for the current loops are given by the

Fig. 6. Stator and rotor currents Fast-Fourier Transform.
power loop outputs. However, these references are given by the proposed control strategy when the voltage sag is detected. The power setpoints $p^* = \bar{p}_{sag} = -350$ W and $q^* = \bar{q}_{sag} = -750$ var were adopted for this experiment to reduce the high currents caused by voltage sag.

Although there is reactive power injection in the sag event, the rotor d-axis current decreases. The stator currents, shown in Figure 5.k, are a better evidence of the power injection.

From (11), one can write:

$$\bar{p} = v_{sq}^+ f_{sq}^+ + v_{sq}^- f_{sq}^-,$$

and also

$$\bar{q} = v_{sq}^+ f_{sq}^- + v_{sq}^- f_{sq}^+.$$

Figure 5.l indicates the stator instantaneous active and reactive power, at which it is clearly seen that the oscillating components in the instantaneous active power caused by the unbalanced voltages are eliminated ($P_{d1} = P_{d2} = 0$).

As the grid side converter (GSC) is not present, the DC link voltage increases. This increase is due to the change in the active power reference from $-2000$ W to $-350$ W when the single-phase voltage sag happens. However, the proposed strategy does not allow DC link voltage oscillations. The variation of $\Delta P_{d} = 1650$ W in the inject active power forces the DC link capacitor to accumulate the exceeding energy. The DC link voltage augmented from 305 V to 315 V.

### B. Two-Phase Voltage Sag

The variable autotransformer configurations were changed in order to impose voltage sag in phases A and B. Figure 7.a shows the residual voltages for this condition. In this two-phase voltage sag experiment, the reference values for inject active and reactive power were $\bar{p}_{sag} = -500$ W and $\bar{q}_{sag} = -500$ var.

The rotor currents on phases A and B present high currents and oscillations in active power and DC link voltage. This undesired behavior was attributed to the machine characteristics. The distortions were reflected to the grid stator currents, and therefore, were presented as oscillations in the active and reactive power. The proposed control strategy was shown to be efficient in eliminating oscillations in the active power caused by asymmetric voltage sags, as well as the reduction of the current oscillations.

**Fig. 7. System response for two-phase voltage sag.**

Analyzing the rotor currents in the synchronous reference frame, it can be observed that, for the positive sequence, the direct axis compensator of the current loop is slower and has more oscillations than the one in the quadrature axis. For the negative sequence, the compensator has even more oscillations, however the quadrature axis is slower, as shown in Figures 7.c and 7.d.

From (25) and (26), it is important to highlight the stator voltages in the quadrature axis waveforms (see Figure 7.e), since the stator average instantaneous active and reactive power are determined by them.

The experimental results obtained show that, despite initial oscillations, the instantaneous power, after the transient state, are very close to the references $\bar{p}_{sag}$ and $\bar{q}_{sag}$, as shown in Figure 7.f.

### VII. CONCLUSIONS

Whenever subject to unbalanced voltage sags, the DFIG presents high currents and oscillations in active power and DC link voltage. This unexpected stress can damage the machine as well as the back-to-back converter. After reviewing the literature with respect to the DFIG under unbalanced grid conditions and asymmetric voltage sags, this paper proposed a control strategy, which is applied to the rotor-side converter, that aims to eliminate active power oscillating components and to reduce the machine currents, helping the DFIG to withstand adverse conditions and stay connected to the grid without damaging any component. The power setpoints, during voltage sags, are changed to the values stipulated by the proposed control strategy. The strategy was experimentally validated for single and two voltage sags.

It was observed that the DFIG rotor currents was distorted after the grid connection. This undesired behavior was attributed to the machine characteristics. The distortions were reflected to the grid stator currents, and therefore, were presented as oscillations in the active and reactive power. The proposed control strategy was shown to be efficient in eliminating oscillations in the active power caused by asymmetric voltage sags, as well as the reduction of the current oscillations.
values in the stator and rotor, protecting the DFIG. Moreover, the power setpoint values assigned during voltage sags were successfully met. All voltage sags used in the experiments followed the IEEE Std 1159-2009.

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