Wavenumber Dependence of Multipolar Interactions in the Anderson Lattice

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Multipolar interactions are derived in the orbitally degenerate Anderson lattice with a spherical Fermi surface and one conduction electron per unit cell of the simple cubic lattice. As the crystalline-electric-field (CEF) ground state of \( f^4 \) configuration, the four-fold degenerate \( \Gamma_8 \) is mainly studied. Intersite interactions up to a sufficiently distant pair are Fourier transformed to the wavenumber space. For the \( \Gamma_8 \) case, quadrupolar and octupolar interactions favor the staggered order with \( q = (1/2, 1/2, 1/2) \), while the dipolar interaction favors an incommensurate magnetic structure with a long modulation period. The latter is due to a Kohn anomaly which is found to be sharply peaked for interaction channels with large angular momenta. Implications of results are discussed for multipole orders in CeB\(_6\), and the incommensurate magnetic structure in a quasi-cubic system CeB\(_2\)C\(_2\).

KEYWORDS: incommensurate magnetic order, Kohn anomaly, Anderson lattice, RKKY interaction

1. Introduction

It is a long-standing problem in magnetism to identify the microscopic origin of complicated magnetic structures in solids. In some cases, a complicated structure results from coupling between dipoles and orbital degrees of freedom, or the quadrupole moment. Accordingly ordering of multipole moments higher than dipoles has attracted much attention. In CeB\(_6\) for example, a peculiar magnetic structure is realized on the background of a quadrupole (or orbital) order.\(^1\) The resultant wave number \( q = (1/4, \pm 1/4, 1/2) \) of the magnetic moment is closely related to the quadrupole order with \( q = (1/2, 1/2, 1/2) \). Thus it is tempting to interpret the magnetic pattern in terms of the background quadrupole patterns together with the strong spin-orbit interaction.

However, a magnetic order with \( q = (1/4, \pm 1/4, 1/2) \) has also been found in other RB\(_6\) systems including R = Gd.\(^2\) Since the trivalent Gd with 4\( f^7 \) configuration does not have the orbital degrees of freedom, the characteristic \( q \) should originate from structure of conduction bands. A model has been proposed to explain the stability of \( q = (1/4, \pm 1/4, 1/2) \).\(^3\) The model emphasizes the interpocket polarization among the three nearly spherical Fermi surfaces in the Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction.

On the other hand, related compounds RB\(_2\)C\(_2\) (R = rare earths), which crystallize in the tetragonal LaB\(_2\)C\(_2\)-type structure (P4/mmbm),\(^4\) often exhibit an incommensurate magnetic structure.\(^5\) For example, CeB\(_2\)C\(_2\) undergoes a magnetic ordering at \( T_N = 7.3K \), and a successive transition at \( T_1 = 6.5K \).\(^6\) Below \( T_1 \), a long-period magnetic structure with its propagation vector \( k = (\delta, \delta, \delta') \), where \( \delta = 0.16 \) and \( \delta' = 0.10 \), is found from neutron diffraction.\(^5\) Thus propagation vectors seem to be characterized by conduction bands near the Fermi level.

RB\(_2\)C\(_2\) can be considered as a quasi-cubic system because the distance \( a/\sqrt{2} \) between R ions in the \( c \)-plane is almost the same as the distance \( a \) along the \( c \)-axis.\(^4\) The underlying atomic composition is closely related to RB\(_6\) systems. Namely, if one removes two boron atoms from each B\(_6\) cluster, and further replaces two boron atoms by two carbon atoms to make a B\(_2\)C\(_2\) cluster, one obtains the RB\(_2\)C\(_2\) structure. According to band-structure calculation for LaB\(_2\)C\(_2\),\(^7\) a connected ellipsoidal Fermi surface is formed around the \( Z \) point in the simple tetragonal Brillouin zone (BZ). This Fermi surface is partly confirmed by de Haas-van Alphen (dHvA) experiment.\(^8\) Qualitatively speaking, the ellipsoidal shape is a result of growth from one of three equivalent Fermi surfaces in RB\(_6\). The other two pieces shrink and disappear.

It should be clear from the examples above that the interplay of energy-band structure and the 4\( f \) shell structure deserves detailed inspection. The purpose of this paper is to derive intersite interactions of multipoles from microscopic calculation for a simple model which still keeps the realistic 4\( f \) shell structure. The RKKY interaction should largely be determined by hybridization in the case of CeB\(_6\) and related compounds. In a previous paper, we calculated the RKKY interaction for the nearest and the next nearest neighbors taking the Anderson lattice with orbital degeneracy. The conduction-band states are taken as plane waves and the hybridization as spherically symmetric.\(^9\) In this paper we extend the calculation to many different pairs to obtain the intersite interaction in the wavenumber space. We again take the spherical Fermi surface in the simple cubic BZ (SCBZ) with the lattice constant \( a_q = a/\sqrt{2} \). The result can be mapped onto the quasi-cubic BZ (QCBZ) of the base-centred tetragonal lattice with lattice constants \( a \) and \( c = a_q \). We consider mainly the \( \Gamma_8 \) quartet of total angular momentum \( j = 5/2 \) as the lowest CEF states. This quartet includes the \( m = \pm 1/2 \) doublet where \( m \) is the azimuthal quantum number of the total angular momentum along the \( c \)-axis. The doublet is likely to be the CEF ground state in the tetragonal CeB\(_2\)C\(_2\).\(^10\) Hence we shall also derive corresponding results for the \( m = \pm 1/2 \) doublet, and for another doublet \( \Gamma_7 \).

This paper is organized as follows. In §2 we briefly
review the effective intersite Hamiltonian derived previously. In §3 multipolar couplings are calculated numerically for the simple cubic lattice, and are transformed to wavenumber space. Relation between possible ordering structures and the Fermi surface is discussed with emphasis on the Kohn anomaly. We discuss implications of results on actual materials in §4. Appendix gives some analytic results about the polarization functions with finite angular momentum.

2. Intersite Interactions from Real to Wavenumber Spaces

We start from the spherically symmetric form of hybridization:

\[
H_{hyb} = \sqrt{\frac{4\pi}{N}} \sum_{\tilde{\kappa}} \left[ V f^\dagger_{m\sigma}(i) Y_{3m}^*(\tilde{\kappa}) \tilde{\kappa}^k P \tilde{\kappa} R_{\sigma} + H.c. \right],
\]

where \(N\) is the number of lattice sites, \(V\) is the strength of hybridization, and \(Y_{3m}(\Omega)\) is the spherical harmonics with solid angle \(\Omega\). A 4\(f\) electron is created by \(f^\dagger_{m\sigma}(i)\) at site \(R_i\) with \(z\)-component \(m\) of the orbital angular momentum \(l = 3\) and spin \(\sigma\). A conduction electron with wavenumber \(k\) and spin \(\sigma\) is annihilated by \(c_{\tilde{\kappa}^k \sigma}\). Each Ce\(^{3+}\) ion has one 4\(f\) electron, and the spin-orbit coupling leads to the total angular momentum \(j_1 = 5/2\) in the ground state. By using the fourth-order perturbation theory with respect to \(H_{hyb}\), we obtain the multipolar intersite interaction \(H_{ij}\) between \(R_i\) and \(R_j\):

\[
H_{ij} = \frac{|V|^4}{4\pi^2 E_a} \sum_{\xi, \xi'} \left( \sum_{j_1, j_2, j_3, j_4} \sum_{P_1, P_2} \sum_{0, j_1, j_2, j_3, j_4} \sum_{0, j_1, j_2, j_3, j_4} \frac{1}{E_{\xi}(\xi') E_{\xi}(\xi')} \langle k f a_q \rangle^4 Q_{l_1 l_2} \right) \times (-1)^{(l_1 + l_2)/2 - j_2 - j_1 - j_2 - l_2 - P_1} \times (3^2 l_1 l_2 l_3 l_4) \times \left( \begin{array}{cccc} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right) \times \left( \begin{array}{cccc} P_1 & j_2 & j_3 & j_4 \\ j_1 & j_2 & j_3 & j_4 \end{array} \right) \times \left( \begin{array}{cccc} l_1 & l_2 & l_3 & l_4 \\ l_1 & l_2 & l_3 & l_4 \end{array} \right) \times \left( \begin{array}{cccc} P_1 & j_2 & j_3 & j_4 \\ j_1 & j_2 & j_3 & j_4 \end{array} \right) \times \frac{\{T^P(i) T^P(j) Y_{l_1}(\tilde{R}_i)\}}{\langle j_1 | T^P | j_1 \rangle},
\]

where \(k f\) is the Fermi wavenumber, and \(R_{ij} = R_i - R_j\). We have introduced \(E_a = \hbar^2 / 2 m a_0^2\) with \(m_0\) being the effective mass of conduction electrons. In eq.(2) \(Q_{l_1 l_2} (x)\) is the range function tabulated in ref.9, \(E_{\xi}(\xi)\) is the excitation energy to a state \(\xi\). The shorthand notation \([j_1, \cdots, j_n] = (2j_1 + 1) \cdots (2j_n + 1)\) is used, and round or curly brackets denote Wigner’s 3n-j symbols. We have introduced \(T^P(i)\) as the set of rank-\(P\) irreducible-tensor operators at site \(R_i\) with \(\langle j_1 | T^P | j_1 \rangle\) its reduced matrix element, and \(\{ \cdots \}^0\) denotes a tensor component of rank zero, i.e., a scalar.\(^{11}\) The factor \(\Lambda(\xi; j_1, j_2, P)\) includes information of wave functions of the ground state and an excited state \(\xi\). If we take \(\xi\) as the 4\(f^0\) state or a 4\(f^2\) state with orbital angular momentum \(L\), spin \(S\) and total angular momentum \(J\), \(\Lambda(\xi; j_1, j_2, P)\) is given by

\[
\Lambda(f^0; j_1, j_2, P) = -\langle j_1 | f^1_{j_1} | 0 \rangle \langle j_1 | f^1_{j_2} | 0 \rangle^* \times (-1)^{j_1 + j_2 - P} \left\{ j_1 \ j_2 \ 0 \ 0 \right\},
\]

\[
\Lambda(f^2(LS); j_1, j_2, P) = \langle (LS) | f^1_{j_1} | (LS) | f^1_{j_2} \rangle \times (-1)^{j_1 + j_2 + 2P} \left\{ j_1 \ j_2 \ P \ J \right\}.
\]

From eq.(2), we obtain the intersite interaction projected by \(\mathcal{P}\) to low-lying CEF states:

\[
\sum_{\xi_j} \mathcal{P} H_{ij} \mathcal{P} = \sum_{\xi_j} E_a E_{\xi}(\xi') E_{\xi}(\xi') \sum_{ijf} D^{\alpha \beta}(\xi, \xi'; \mathcal{R}_{ij}) X^{\alpha}(i) X^{\beta}(j)
\]

\[
= \sum_{\xi_j} E_a E_{\xi}(\xi') E_{\xi}(\xi') \sum_{ijf} D^{\alpha \beta}(\xi, \xi'; \mathcal{R}_{ij}) X^{\alpha}(q) X^{\beta}(q),
\]

where \(X^{\alpha}(i)\) is a multipole operator at site \(R_i\),\(^{10}\) \(D^{\alpha \beta}(\xi, \xi'; \mathcal{R}_{ij})\) is the dimensionless multipolar coupling strength. In the case of \(\Gamma_8\) state, \(\alpha\) and \(\beta\) run from 1 to 15. Their Fourier transforms are defined as follows:

\[
X^{\alpha}(i) = \frac{1}{\sqrt{N}} \sum_q X^{\alpha}(q) \exp[-iq \cdot R_i],
\]

\[
D^{\alpha \beta}(\xi, \xi'; \mathcal{R}_{ij}) = \frac{1}{N} \sum_q D^{\alpha \beta}(\xi, \xi'; q) \exp[-iq \cdot R_i].
\]

With the time-reversal symmetry, multipole operators are classified into electric (even) and magnetic (odd) multipole operators. In the intersite interaction, the operators with different time-reversal symmetry do not couple. Therefore we obtain a block-diagonalized matrix for \(D^{\alpha \beta}(\xi, \xi'; \mathcal{R}_{ij})\) where submatrices \(\mathcal{D}_{\nu}(\xi, \xi'; \mathcal{R}_{ij})\) with either \((\nu = g)\) or odd \((\nu = u)\) symmetry under the time reversal can be defined. Then we diagonalize the submatrices for each \(\mathcal{R}_{ij}\). We note that comparison of relative strength of resultant multipolar couplings is physically relevant because all \(X^{\alpha}(i)\)'s are normalized in the same way. In the next section, we diagonalize \(\mathcal{D}_{\nu}(\xi, \xi'; \mathcal{R}_{ij})\) numerically and discuss possible ordering structures.

3. Numerical Results of Intersite Interactions

To obtain the wavenumber dependence of intersite interactions, we first calculate \(\mathcal{D}_{\nu}(\xi, \xi'; \mathcal{R}_{ij})\) numerically for a sufficiently large number of sites \(\mathcal{R}_{ij}\). As for the intermediate states, both 4\(f^0\) and 4\(f^2\) Hund’s-rule ground states are considered, and they are referred to \(f^0\) and \(f^2\) respectively. We define three cases depending on the intermediate states at \(\mathcal{R}_{ij}\): (a) \(f^0\) intermediate states on both sites, (b) \(f^2\) intermediate states on both
sites, and
(c) \( f^0 \) on one site and \( f^2 \) on the other sites. We exclude \( D_v(\xi, \xi'; R = 0) \) in Fourier transform because this belongs to the on-site interaction. After taking Fourier transform, we diagonalize \( D_v(\xi, \xi'; q) \) for each \( q \). We have compared numerical results by varying the number \( N \) of neighbors as \( N = 32^3, 64^3 \) and \( 128^3 \), and found a reasonable convergence. The remaining difference is consistent with the asymptotic range dependence of the RKKY interaction given by \( R^{-3} \). We have also checked numerically that \( D_v(\xi, \xi'; q) \) is Hermitian within relative error of order \( 10^{-5} \). In the following analysis we use the results with \( N = 64^3 \).

3.1 Quadrupolar Interaction

We begin with the quadrupolar interactions that are even under time reversal in the \( \Gamma_8 \) manifold. Figure 1 shows five eigenvalues of quadrupolar couplings \( D_g(\xi, \xi'; q) \) in the SCBZ with \( k_F a_q = (3\pi^2)^{1/3} \), which corresponds to one conduction electron per cubic unit cell. The absolute minimum gives the most stable propagation vector and symmetry of the electronic order. At high symmetry points such as \( \Gamma \) and \( R \), eigenvalues are characterized by irreducible representations of multipoles such as \( \Gamma_{3g} \) and \( \Gamma_{5g} \). This is consistent with the symmetry analysis of ref.13. For all cases (a), (b) and (c), the minimum occurs at the \( R \) point and the most favorable symmetry is \( \Gamma_{3g} \). In the case (a), the eigenvalues of \( \Gamma_{5g} \) are comparable at the \( R \) point. On the other hand, \( \Gamma_{3g} \) dominates the stability in the cases (b) and (c). In the previous analysis with only the nearest-neighbor sites, the \( \Gamma_{5g} \) order was found more stable than the \( \Gamma_{3g} \) for the case (a).\(^9\) The difference from the present result means that interactions between third nearest neighbors or between further distant sites pile up to change the most stable order. It remains to identify the reason why the actual CeB\(_6\) prefers the \( \Gamma_{5g} \) order. Certainly the actual Fermi surface with three identical pieces should influence the selection.

We note the sharp extrema near the \( \Gamma \) point in Fig. 1, which arise due to the Kohn anomaly. As we have \( 2k_F/(2\pi/a_q) \approx 0.98 \), the location of the Kohn anomaly is near the \( \Gamma \) point in the reduced zone scheme. It is well known that the Kohn function (or the static limit of the Lindhard function) does not show a peak at \( 2k_F \), and then the Kohn anomaly appears only as its divergent derivative. With orbital angular momenta as in eq.(1), however, some generalized polarization functions show a hump or a dip around \( 2k_F \) as discussed later.

3.2 Magnetic Interaction

Figure 2 shows eigenvalues of magnetic multipolar couplings \( \tilde{D}_v(\xi, \xi'; q) \) in the SCBZ with \( k_F a_q = (3\pi^2)^{1/3} \). The minimum gives the propagation vector and symmetry of the favorable magnetic order. Ten magnetic multipole operators in the \( \Gamma_8 \) quartet are characterized by irreducible representations \( \Gamma_{2u}, \Gamma_{4u1}, \Gamma_{4u2} \) and \( \Gamma_{5u} \). While the multipolar operators of \( \Gamma_{4u1} \) or \( \Gamma_{4u2} \) symmetry both have magnetic dipole moment, \( \Gamma_{2u} \) and \( \Gamma_{5u} \) do not contain the dipole character. The eigenvalues of \( \Gamma_{4u2} \) and \( \Gamma_{5u} \) are degenerate at \( \Gamma \) and \( R \) points for the case (a).

For the cases (b) and (c), the magnetic interactions are mixtures corresponding to \( \Gamma_{4u1} \) and \( \Gamma_{4u2} \) symmetries.

For the case (a), the minimum appears near the \( \Gamma \) point, and its symmetry is close to \( \Gamma_{4u1} \). The wavenumber is \( q_K = (\delta'', \delta'', \delta'') \) with \( \delta'' \approx 0.03 \) in units of \( 2\pi/a_q \), which is close to \( 1 - 2k_F/(2\pi/a_q) \approx 0.02 \). Comparing the minima in Figs. 1 and 2, we find that the corresponding magnetic order is more stable than the quadrupole order. This means that the incommensurate magnetic order is favored with the present set of parameters. We emphasize that the Kohn anomaly is responsible for the stability.

With orbital angular momenta as in eq.(1), generalized polarization functions are given by

\[
\sum_{kk'} \frac{\delta_{kk',q}}{\epsilon_k - \epsilon_{k'}} f(\epsilon_{k'}) Y_{1m_1}(k) Y_{2m_2}(k')
\]
where \( f(\epsilon) \) is the Fermi distribution function, \( \epsilon_k \) is the energy dispersion of free electrons, and \( l_1, l_2 \) are even integers with \( 0 \leq l_1, l_2 \leq 6 \). Note that terms with \( l_3 \neq 0 \) give anisotropic functions in the wavenumber space. In addition, angular momentum in eq. (8) makes the \( |q| \)-dependence of the polarization function rather different from the ordinary Kohn function. For understanding the characteristic behaviour for finite \( l_1 \) and \( l_2 \), we consider the hypothetical RKKY interaction angular momentum \( l \gg 1 \) and with \( m = 0 \). The corresponding polarization function is given by

\[
\sum_{kk'} \delta_{k-k',q} \frac{f(\epsilon_k) - f(\epsilon_{k'})}{\epsilon_k - \epsilon_{k'}} |Y_{l0}(k)|^2 |Y_{l0}(k')|^2,
\]

where \( Y_{l0}(k) \) corresponds to \( Y_{3m}(k) \) in eq. (1). The significant contribution in summation over \( k \) and \( k' \) comes only from directions around the \( z \)-axis. This is because the spherical harmonics with \( l \gg 1 \) behaves asymptotically as

\[
Y_{l0}(\theta, \phi) \approx \left\{ \begin{array}{ll}
\sqrt{\frac{2l+1}{4\pi} [1 - \frac{l(l+1)}{2} q^2]} & \text{for } 0 \leq \theta \leq \varepsilon(\ll 1), \\
\cos \left( l \frac{\pi}{2} - \frac{\pi}{2} \right) \sqrt{\frac{2l+1}{4\pi} [1 - \frac{l(l+1)}{2} (\pi - \theta)^2]} & \text{for } l^{-1} \ll \theta \ll \pi - l^{-1}, \\
(-1)^l \sqrt{\frac{2l+1}{4\pi} [1 - \frac{l(l+1)}{2} (\pi - \theta)^2]} & \text{for } \pi - \varepsilon \leq \theta \leq \pi
\end{array} \right.
\]

and is sharply peaked at \( \theta = 0, \pi \). Then the polarization function acquires a one-dimensional character with \( l \gg 1 \) and is strongly peaked at \( q = (0, 0, \pm 2k_F) \). This anisotropic behaviour should be reflected in the terms with \( l_3 \neq 0 \) in eq. (8) around \( |q| = 2k_F \). Figure 3 shows radial parts of generalized polarization functions \( \chi^l_{1/2}(p) \) with \( p = |q|/k_F \). Except for \( \chi_{00}^0(p) \), which is the Kohn function, these functions have a hump or a dip at \( |q| \approx 2k_F \). They can accumulate to give a sharp extremum near \( |q| = 2k_F \) in the intersite interaction.

The wavenumber for the Kohn anomaly in the SCBZ is given by \( \delta_k \equiv 1 - 2k_F/(2\pi/a_q) \) in units of the reciprocal lattice. To be precise, \( \delta_k \) differs to some extent from \( \delta'' \) obtained numerically, because each extremum in 3 \( \chi_{1/2}^l(p) \) is off from \( p = 2 \) in general. We note that the magnetic interaction takes another minimum at the M points \((1/2, 1/2, 0), (0, 1/2, 1/2)\) and \((1/2, 0, 1/2)\), and its symmetry is close to \( \Gamma_{4u2} \). The anisotropy of the exchange is small in the case (a), but is large in cases (b) and (c). This is consistent with the results obtained in the previous paper.\(^{9}\)

For cases (b) and (c), the minimum occurs at the R point, and its symmetry is \( \Gamma_{5u} \). This means that the antiferro octupolar ordering is stabilized. The wavenumber \( q_K \) also gives a local minimum, but the anomaly is weaker than the case (a). In the case (c), the dipole interaction is insignificant for all wavenumbers. This is consistent with the smallness of dipolar couplings in the nearest and next nearest neighbors as derived in the previous paper.\(^{9}\)
3.3 Other Choices of Lowest CEF States

So far multipolar interactions are calculated with Γ8 quartet as the lowest CEF states. In this subsection, we choose other states as the lowest CEF states: (i) j1 = 5/2, m = ±1/2 doublet and (ii) Γ1 orthogonal doublet of j1 = 5/2. Here only the F0 is considered as an intermediate state.

We first take the dimensionless wavenumber kFaq = 0.98π, which corresponds to one conduction electron per cubic unit cell. Figure 4(a) shows magnetic dipolar interaction for the m = ±1/2 doublet. The wavefunction has uniaxial anisotropy along [001] direction. Hence the results are shown for a BZ accounting for the corresponding anisotropy. With A0 intermediate states, the dipolar interaction between the Kramers doublets is a scalar. Around the Γ point, the Kohn anomaly appears and gives the minimum. The preferred wavenumber is the same as that for the Γ8 quartet as the lowest CEF states. We note that the Kohn anomaly is conspicuous along [001], while almost invisible along [100]. Such strong anisotropy comes from the highly elongated shape of the wave function along [001].

Figure 4(b) shows magnetic dipolar interaction for the Γ7 doublet where the Kohn anomaly is not obvious. However, the derivative of Dσ,(f0,f0,q) with respect to q seems to diverge at (δ7(1), δ7(1), δ7(1)) with δ7(1) ≃ 0.43. This is interpreted as the three-dimensional Kohn anomaly. Namely, taking into account the reciprocal lattice vector along [111], we obtain the Kohn anomaly at δK = [1 − kFaq/√3π]. Here the dimensionless wavenumber kFaq is 0.57√3π and gives δK ≃ 0.43. Three more anomalies can be found at (δ7(2), δ7(2), 0) with δ7(2) ≃ 0.32, (δ7(3), δ7(3), 1/2) with δ7(3) ≃ 0.20, and (δ7(4), δ7(4), 1/2) with δ7(4) ≃ 0.39. These wave numbers are interpreted as intercepts of spheres with its radius 2kF at high symmetry axes in the irreducible BZ. The spheres are centered at reciprocal lattice points [110], [100] and [111], respectively.

In order to study the effect of band structures in the simplest way, we now change the Fermi wavenumber. Namely we take kFaq = 0.86π ≃ 0.497√3π, and show the results in Fig.5. For comparison with the main case of kFaq = 0.98π, we also show in Fig.5(a) the magnetic multipolar interaction with the Γ8 quartet. Figure 5(b) shows the magnetic dipolar interaction for the m = ±1/2 doublet. The Kohn anomaly is found at (0, 0, δw) with δw = 0.17, which is close to 1 − kFaq/π = 0.14. In the case of Γ7 doublet shown in Fig.5(c), on the other hand, Kohn anomalies in the magnetic dipolar interaction are found around the R point. The minimum is at (δ5(5), δ5(5), δ5(5)) with δ5(5) ≃ 0.48. Since 2kF ≃ 0.994√3π/aq is almost the same as the distance between Γ and R points, the Kohn anomaly can arise near the R point. From the large difference between the results for each doublet, we see that the anisotropy of the lowest CEF states sensitively influences the wavenumber dependence of the interaction, and the character of the Kohn anomaly.

4. Discussion

4.1 Comparison with real-space results

We now compare between the present result and the previous one9) which takes into account only the nearest and the next-nearest neighbor interactions. The previous study in addition neglects possible mixing of different point-group representations in the intersite interaction. As clarified by Sakai et al.13 the mixing becomes minimum at high symmetry points such as R in the SCBZ. Thus the result can be compared with previous study if we look at only Γ and R. However Γ1u and Γ4u representations still mix at Γ and R points as seen in Fig 2(b) and (c). Concerning the time-reversal odd interactions, occurrence of ferromagnetic dipolar interaction and antiferromagnetic Γ5u octupolar interaction is consistent with the previous result. In the present case, however, it is difficult to judge whether the interactions have a significant anisotropic, or “pseudo-dipolar” character. If we look at only the R point in Fig.2(b) and (c), eigenvalues of Γ5u appear as triply degenerate. The same degeneracy also applies to the eigenvalues of Γ4u representations. The pseudo-dipole character can only be seen by gathering information at various wavenumbers.

On the other hand, the implication for quadrupole order is different between the previous result9) and the present one. Namely we found in the previous study that the nearest-neighbor interaction prefers staggered quadrupole order with Γ5g symmetry to Γ3g, but that between Γ3g moments includes both ferro- and antiferro-type couplings. In the present study, it is found that many distant pairs interact and make Γ3g more fa-
conduction band with a single Fermi surface. We are now

Fig. 5. Wavenumber dependence of magnetic interaction $D_u(f, f'; q)$ with $k_0a_q = 0.86\pi$. Results are shown for the lowest CEF states, (c) $\Gamma_8$ quartet, (b) $j_1 = 5/2, m = \pm 1/2$ doublet, and (c) $\Gamma_7$ doublet. Arrows indicate the location of the Kohn anomaly.

favorable, although the R point remains the most favorable wavenumber. The relative stability of $\Gamma_{3g}$ against $\Gamma_{5u}$ is fragile if $f^{0}$ is the dominant intermediate state. As the $f^{1}_{5u}$ intermediate states become important, $\Gamma_{3g}$ becomes more stable. It is the effect of many distant neighbors that makes the difference from previous results. Thus there is no inconsistency between both calculations.

4.2 Relevance to real Ce materials such as CeB$_6$ and CeB$_2$C$_2$

In CeB$_6$, it is known that the quadrupole order sets in with the $\Gamma_{5g}$ symmetry. It is not known, however, to what extent it is stable against another candidate with $\Gamma_{3g}$. Obviously the present model is oversimplified to be applied to CeB$_6$, especially because of the plane-wave conduction band with a single Fermi surface. We are now

using more realistic band structures to derive intersite interactions.

It is remarkable that the plane-wave model prefers $\Gamma_{5u}$ octupole order if the $f^{1}_{5u}$ intermediate states are dominant. The result is encouraging to understand the microscopic origin of possible octupole order in phase IV of Ce$_x$La$_{1-x}$B$_6$ with $x \sim 0.75$. It should be noted that the $f^{0}$ intermediate state does not favor $\Gamma_{5u}$ at all. Therefore use of realistic energy band is again important to understand the microscopic mechanism.

We now turn to CeB$_2$C$_2$ where the incommensurate magnetic order is observed. Since the Fermi surface is essentially an ellipsoid, our model may apply more directly to this material. The lowest CEF states of CeB$_2$C$_2$ is considered to be the $m = \pm 1/2$ doublet, which is split off from $\Gamma_8$ by the tetragonal CEF. We have seen that the strong Kohn anomaly appears near the $\Gamma$ point of the Brillouin zone. In view of the dHvA experiment of LaB$_2$C$_2$, let us take $2k_F = 0.86(2\pi/a_q)$ which gives $\delta_k = 0.14$. The Kohn anomalies in our calculation arise at $(\delta', 0, 0)$ and $(\delta', \delta', 0)$ with $\delta' \simeq 0.17$. Mapping to the QCBZ, the relevant wavenumbers are $(\delta', 0, 0)$, $(\delta', \delta', 0)$, $(\delta', \delta', \delta')$ and their cyclic rotations. These values are in good correspondence with experimental value of $\delta = 0.16$ already cited in §1. Actually the wavenumbers giving large intersite interaction form a ridge in the BZ, and the points quoted above are part of the ridge. We note that characteristic wavenumbers of RB$_2$C$_2$ compound, $(\pm \delta, \pm \delta, 0)$ in the QCBZ are close to intercepts of the sphere with radius $2k_F = 0.86(2\pi/a_q)$ at the BZ boundary. Thus we interpret incommensurate order found in RB$_2$C$_2$ in terms of the Kohn anomaly.

In concluding this section we mention a theoretical study on the RKKY interaction between Pr nuclei, where Kohn anomalies with a peak structure is found, although the authors do not remark on this feature.

5. Summary

We have calculated the RKKY interaction in the Anderson lattice with orbital degeneracy in the simple cubic lattice, and clarified the relation between the multipolar ordering structure and the Fermi surface. For intermediate states, we have considered both $4f^0$ and $4f^2$ Hund’s-rule ground states as in the previous paper. Our simplified model gives an incommensurate magnetic structure as the most stable structure, for which orbital angular momentum of $4f$ electrons plays a crucial role. The propagation vector for incommensurate magnetic structure is determined by the Kohn anomaly at $2k_F$ which can be shifted by reciprocal lattice vectors. With one conduction electron per cell, this anomaly can arise near the $\Gamma$ point. If we take the Fermi wavenumber from the dHvA experiment of LaB$_2$C$_2$, the propagation vector is in good correspondence with that of incommensurate magnetic structure observed in RB$_2$C$_2$ in general, but effects of anisotropy should be taken into account for more quantitative study.

The anisotropy of CEF states influences the wavenumber dependence of interaction, i.e., the most favorable structure and the wavenumber of Kohn anomaly. The
propagation vector is unchanged whether the lowest CEF state is $\Gamma_8$ quartet or $m = \pm 1/2$ doublet for both intermediate states $4f^0$ and $4f^2$. The most stable ordering structure in the case of $4f^0$ intermediate state is the incommensurate magnetism, while the $4f^2$ intermediate states give a staggered octupolar order. It is still an interesting open problem to clarify the relation between the multiplet structure of $f$ shell other than Ce and the order parameters.

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Appendix: Generalized Polarization Functions

In this section, we present analytic results of generalized polarization functions in the main text are given by

$$
\chi_{l_1l_2}(p) = \int_0^\infty \frac{dz}{z^2} j_3(pz) \int_0^\infty t^2 dt \int_0^\infty \frac{t'^2 dt'}{t^2 - t'^2} j_1(t)j_2(t') = \int_0^\infty \frac{dz}{z^2} j_3(pz) q(l_1, l_2; z). \quad (A-1)
$$

where $j_l(x)$ is the spherical Bessel function of order $l$, and $q(l_1, l_2; z)$’s are tabulated in ref.9. Hereafter we consider even $l_3$, since otherwise the integration about the solid angle $\Omega$ vanishes in eq.(8).

From the analysis around $z = 0$, we find that $z^{-2}q(l_1, l_2; z)$ behaves as $z^{\min(l_1, l_2) + 1}$, and that $j_l(z)$ includes terms like $z^{l-1} \sin(z)$ and $z^{-l} \cos(z)$. Therefore in reducing eq.(A-1) to Fourier sine and cosine transforms, the following three cases are considered: (i)$l_3 \leq \min(l_1, l_2)$, (ii)$l_3 = l_1 + l_2$, (iii)otherwise. In the case (i), the calculation is straightforward once $z^{-2}q(l_1, l_2; z)$ is divided into the sum of regular forms at $z = 0$, e.g.

$$
\frac{2}{\pi z^2} q(0, 0; z) = \frac{1}{2z} \cos(2z) - 1 - \frac{1}{4z^2} [\sin(2z) - 2z].
$$

(A-2)

In the case (ii), we transform the right hand side of eq.(A-1) as follows:

$$
p \int_0^\infty \frac{dz}{z^2} j_3(z)q(l_1, l_2; z/p), \quad (A-3)
$$

and consider the transform of $j_3(z)/z^2$. This calculation is almost the same as that for the case (i), because $q(l_1, l_2; z)/z^2$ includes terms like $z^{-l+1} \cos(z), z^{l-1} \sin(z)$ with $0 \leq l \leq (l_1 + l_2)$, and $\mathbb{S}(z)/z^2$ with the sine integral $\mathbb{S}(z)$. In the case (iii), we combine the above two cases to obtain $\chi_{l_1l_2}(p)$. Relevant transforms are as follows:

$$
\int_0^\infty \frac{dz}{z^{2m+3}} \sin(z) - \sum_{n=0}^{m} (-1)^n \frac{z^{2n+1}}{(2n+1)!} = \frac{(-1)^{m+1}}{(2m+2)!} \left[ \frac{1}{2} \left( p + 1 \right)^{2m+2} \log |1 + \frac{1}{p}| - \left( p - 1 \right)^{2m+2} \log |1 - \frac{1}{p}| \right].
$$

(A-4)

Moreover, for $x > 1$,

$$
\frac{\log(1 + x)}{x} = \int_0^x \frac{dz}{z} \log(1 - z) = - \int_0^x \frac{dz}{z^2} \log(1 - z). \quad (A-5)
$$

(A-5)

We note that $\chi_{l_0l_0}(p)$ is the Kohn function, and that $\chi_{l_0l_0}(p = 0)$ converges to the constant value, $-\pi/4$. The $\chi_{l_0l_0}(p = 0)$ is given by

$$
\chi_{l_0l_0}(p = 0) = \lim_{T \to 0} \frac{1}{T k_F} \int_0^\infty k^2 dk \int_0^\infty k'^2 dk' \int_0^\infty x^2 dx \frac{f(\epsilon_k) - f(\epsilon_k')}{k^2 - k'^2} j_l(kx) j_l(k'x),
$$

(A-6)
and are slowly varying for

| $l_1$ | $l_2$ | $l_3$ | $p^{-1}f(p)$ | $p^{-3}f(p)$ | $p^{-1}f(p)$ | $p f(p)$ |
|-------|-------|-------|--------------|--------------|--------------|----------|
| 0     | 2     | 2     | $3/64$       | $9/16$       | $3/32$       | $3/256$  |
| 2     | 0     | 2     | $3/16$       | $3/32$       | $3/32$       | $3/256$  |
| 2     | 2     | 4     | $-3/64$      | $-3/32$      | $-3/32$      | $-3/256$ |

Here, we have used the following relation,

$$
\chi^{(l)}_{l_1 l_2}(p) = \frac{\pi}{4kF} \int_0^\infty k^2 \frac{d f(k) j_l(k r)}{dk} dk = -\frac{\pi}{4}.
$$

This is related to the asymptotic behaviour of range functions in real space,

$$
\chi^{(l)}_{l_1 l_2}(p) \sim (-1)^{l_1 + l_2} \frac{\pi}{z^4} \sin z \quad (z \to \infty).
$$

In general $\chi^{(l)}_{l_1 l_2}(p)$ is an oscillatory function for $0 < p < 2$, and are slowly varying for $p > 2$. We have checked the results in Fig.3 or in Table A-1 numerically by spherical Bessel transforms.

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