Robustness of the Kondo effect in a quantum dot coupled to Majorana zero modes

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The prospect of using semiconductor quantum dots as an experimental tool to distinguish Majorana zero modes (MZMs) from other zero-energy excitations such as Kondo resonances has brought up the fundamental question of whether topological superconductivity and the Kondo effect can coexist in these systems. Here, we study the Kondo effect in a quantum dot coupled to a metallic contact and to a pair of MZMs. We consider a situation in which the MZMs are spin polarized in opposite directions. By using numerical renormalization group calculations and scaling analysis of the renormalization group equations, we show that the Kondo effect takes place at low temperatures, regardless of the coupling to the MZMs. Interestingly, we find that the Kondo singlet essentially decouples from the MZMs such that the residual impurity entropy can show local non-Fermi-liquid properties characteristic of the single Majorana excitations. This offers the possibility of tuning between Fermi-liquid and non-Fermi-liquid regimes simply by changing the quantum-dot-MZM couplings.

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I. INTRODUCTION

Majorana zero modes (MZMs) are known to emerge as low-energy excitations in a variety of condensed-matter systems [1–4]. The simplest and perhaps the most experimentally investigated are topological superconducting quantum wires (TSQWs), in which the MZMs appear bound to the edges of the wires [5–9] when the experimental parameters are tuned to the topological regime. The proposed experimental setups to realize TSQWs involve the use of quantum wires made of materials with strong spin-orbit coupling in the proximity of an s-wave superconductor [5,10]. External magnetic fields are applied to break time-reversal symmetry (TRS) to produce effectively spinless electrons with p-wave superconducting pairing, leading to the effective realization of the one-dimensional Kitaev model [11].

An interesting development is the coupling of quantum dots (QDs) to one of the edges of a TSQW [2,12–19]. It has been shown that the MZM “leaks” into the quantum dot, a phenomenon that can possibly be observed by spectroscopy transport measurements by coupling the QD to source and drain leads [20,21]. Another important effect arising due to the strong repulsive Coulomb interaction in a QD coupled to metallic leads is the Kondo screening of the QD effective magnetic moment, which governs low-energy physics and inevitably occurs at low temperatures [22].

Apart from some recent proposals with global time-reversal-symmetric systems [23–25], it is well established that TRS breaking is an important ingredient in the formation of TSQWs [1,4,10,11,26]. TRS breaking is, however, detrimental for the Kondo effect. Moreover, superconducting pairing induced in QDs is known to compete with the Kondo screening effect [27]. As a result, both superconducting pairing and TRS breaking induced in the QD by the TSQW may destroy the Kondo effect, as discussed in earlier works [27]. It is interesting, however, that recent theoretical studies suggest that the Kondo effect coexists with MZMs in a QD-TSQW junction [2,28–32], even in the presence of ferromagnetic contacts [33]. Indeed, some of us have shown this coexistence in interacting QDs coupled to a metallic lead and to a single Majorana mode [31,34]. However, the question of why Kondo screening still takes place in the presence of MZMs is still not well understood.

In this work, we revisit the Majorana-Kondo problem in a QD coupled to a metallic lead and to a TSQW. We present a detailed investigation focusing on the mechanisms that make the Kondo effect resilient to the TRS breaking and to the superconducting pairing induced by the TSQW. To this end, we consider a more general case in which the QD is coupled to two MZMs and to metallic leads [35]. Moreover, we assume that the MZMs are spin polarized in opposite directions. This configuration can be obtained by coupling the QD to two spatially separated TSQWs, each of which is in the proximity of a ferromagnet with opposite polarizations [36]. In this configuration, the QD-MZM spin-dependent couplings arising from the wave-function overlap between dot states, and the edge MZM states [37] will couple each spatially separated MZM with only the QD electronic states with the same spin direction, which we take to be “up” or “down.” As a result, if the QD is symmetrically coupled to both MZMs, localTRS in the QD is restored.

We consider and effective single-impurity Anderson model that describes the coupling of the “impurity” (QD and MZMs) with a metallic lead. The low-energy properties of this model are investigated using Wilson’s numerical renormalization group (NRG) method as well as Anderson poor-man’s scaling. The NRG calculations allow for a quantitative description of local properties at the fixed points of the model, particularly...
the impurity contribution to the entropy at a given temperature $S_{\text{imp}}(T)$. The results show that the low-energy limit $S_{\text{imp}}(T \rightarrow 0)$ assumes Fermi-liquid-like values of $\ln 2$ (or $2 \ln 2$) if both (or none) MZMs are coupled to the QD. When only one of the MZMs is coupled, then $S_{\text{imp}}(T \rightarrow 0) = (3/2) \ln 2$, indicating a non-Fermi-liquid behavior marked by the presence of three free MZMs. More importantly, we establish that electron-electron interactions or the presence of Kondo correlations do not change this overall picture, as if the Kondo effect plays a “spectator role” in the process.

In order to understand the relevance of Kondo correlations to the flow to the low-energy fixed point, we derive an effective Kondo-like Hamiltonian to investigate how the coupling to both MZMs modifies the Kondo physics of the model. This effective Kondo model is then studied within the traditional Anderson poor-man’s scaling renormalization analysis, which allows us to identify the evolution of the effective parameters under the renormalization procedure. We obtain a set of differential equations ($\beta$ function) for the effective coupling that can be solved numerically.

As one of the central results of the paper, we show that the scaling equation for the effective coupling $J$ associated with the Kondo effect appears fully decoupled from the other scaling equations, clearly indicating that the Kondo strong-coupling fixed point remains intact in the presence of the TSQW. As a result, the Kondo temperature $T_K$ extracted from the solution for $J$ is insensitive to the parameters involving the TSQW. This result sheds light on why the $T_K$ obtained by the thermodynamic properties of the system calculated with the numerical renormalization group depends weakly on the coupling between the QD and a single MZM, as studied previously.

This paper is organized as follows. In Sec. II we present the quantum impurity model describing the QD coupled to MZMs and a metallic lead, and we present the NRG calculations for this model in Sec. III. In Sec. IV we derive a low-energy effective model and perform a perturbative scaling analysis of the effective coupling parameters which nicely complements the NRG results. Finally, a summary of our work is presented in Sec. V.

II. MODEL

For concreteness, we consider an interacting QD coupled to a metallic lead and two topological superconductors. The topological superconductors are assumed to sustain Majorana zero modes at their edges with different spin polarizations, as topological superconductors are assumed to sustain Majorana.

describes the normal leads, with $c_{\sigma}^\dagger$ ($c_{\sigma}$) being the operator that creates (annihilates) an electron with momentum $k$, energy $\varepsilon_k$, and spin $\sigma$ in the normal metal.

$$H_{\text{dot} - \text{lead}} = \sum_{k, \sigma} (V_k d_{\sigma}^\dagger c_{k, \sigma} + V_k^\dagger c_{k, \sigma}^\dagger d_{\sigma})$$

connects the dot to the normal leads via matrix element $V_k$. Finally,

$$H_{\text{dot} - \text{M}} = i\lambda_r (e^{-i\phi/2} d_{\uparrow}^\dagger + e^{i\phi/2} d_{\downarrow}) y_{\uparrow} + i\lambda_l (e^{i\phi/2} d_{\uparrow} - e^{-i\phi/2} d_{\downarrow}) y_{\downarrow}$$

describes the coupling between the QD and the Majorana zero modes at the topological superconductors edges. Here, the operators $y_{\uparrow, \downarrow}$ are Majorana operators, with the property

$$y_{\uparrow} = y_{\uparrow}^\dagger,$$

obeying the fermion anticommutation relation $[y_{\gamma}, y_{\delta}]_+ = \delta_{\gamma, \delta},$ and $\phi_{\uparrow, \downarrow}$ represents the phase of the left/right topological superconductor. For convenience, we perform a gauge transformation $d_{\sigma} \rightarrow d_{\sigma} e^{-i\phi/2}$, upon which expression (4) can be rewritten as

$$H_{\text{dot} - \text{M}} = i\lambda_r (e^{-i\phi/2} d_{\uparrow}^\dagger + e^{i\phi/2} d_{\downarrow}) y_{\uparrow} + i\lambda_l (d_{\uparrow}^\dagger - d_{\downarrow}) y_{\downarrow},$$

where $\delta \equiv \phi_{\uparrow} - \phi_{\downarrow}$ is the superconductors phase difference between the two superconductors. To address the Kondo physics, in the next section we perform NRG calculations which will allow us to understand the low-energy physics of the system.

We emphasize that the QD-MZM coupling strengths $\lambda_{l, r}$ in Eqs. (5) and (7) are, in general, spin dependent and can couple to both dot spins depending on the respective “spin canting angle” $\theta_{l, r}$ as $(\lambda_{l, r}, \lambda_{l, r}) \equiv \lambda_{l, r}(\sin \theta_{l, r}, -\cos \theta_{l, r})$ [21,36,37]. In this work, we take $\theta_{r} = \pi$ and $\theta_{l} = 2\pi$ such that only a single dot spin operator is coupled to each MZM, making $H_{\text{dot} - \text{M}}$ fully spin conserving. This choice adds an extra symmetry [spin parity $P_s = (-1)^{N_s}$] to the full Hamiltonian, which is important for the NRG calculations presented in Sec. III.
In order to implement the NRG calculations, the first step is to write Hamiltonian (1) in terms of Dirac operators. To this end, we combine two Majorana operators to define the conventional fermion operators as \( f_1 = (\gamma_1 + i\gamma_2)/\sqrt{2} \) and \( f_1 = (\gamma_1 + i\gamma_2)/\sqrt{2} \). As mentioned before, only the \( \gamma_1 \) \( \gamma_2 \) and \( \gamma_1\gamma_1 \) modes are coupled to the QD. In terms of these \( f \) fermions, the Hamiltonian \( H_{\text{dot-M}} \) (7) can be written as

\[
H_{\text{dot-M}} = \frac{i\lambda_1}{\sqrt{2}}[e^{i\delta\phi/2}(d^+_1 f_1 + d^+_1 f^+_1) + e^{-i\delta\phi/2}(d^+_1 f_1 + d^+_1 f^+_1)] \\
+ \frac{\lambda_2}{\sqrt{2}}(d^+_1 f_1 + d^+_1 f^+_1 - d^-_1 f^-_1 - d^-_1 f^-_1). \tag{8}
\]

We can now construct a Fock space for the occupation numbers of the QD electrons and of the \( f \) fermions as \( \{|n_{d\sigma}\} \otimes |n_{f\sigma}\rangle \) with \( n_{d\sigma} = d^+_{d\sigma} d_{d\sigma} \) and \( n_{f\sigma} = f^+_{f\sigma} f_{f\sigma} \), with \( \sigma = \uparrow, \downarrow \). Throughout the paper, we consider the wideband limit for the (particle-hole-symmetric) conduction band of the metallic lead. As such, the density of states of the metallic electrons is taken to be a constant, given by \( \rho_0 = (2D)^{-1} \) for energies in the range \( \omega \in [-D, D] \) and zero otherwise. In the following, we set the Fermi energy at \( \omega = 0 \) and the half-bandwidth \( D \) as our energy unit.

The presence of Majorana modes breaks gauge invariance in the system and introduces some technical difficulties for the NRG implementation as the total charge \( N_{\text{occ}} = N_1 + N_\uparrow \), with \( N_\uparrow = n_{d\uparrow} + n_{f\uparrow} \), is no longer a good quantum number [29,31,34]. Nevertheless, the pariethes for each spin \( \sigma \), defined as \( P_\sigma \equiv (-1)^{\delta\phi} \), can be used as good quantum numbers in our case, thereby reducing the block size of the Hamiltonians generated along the NRG procedure.

To get better insight into the low-energy physics of the system, we use the NRG to calculate the contribution from the QD-Majorana system to the entropy. In the remainder of the paper, we will refer to this quantity as the “impurity entropy” \( S_{\text{imp}} \). In the following, we use the same parameters as those in Fig. 4 below unless otherwise stated.

Figure 2 shows the impurity entropy as a function of temperature \( S_{\text{imp}}(T) \) for different values of \( \lambda_\sigma \) and \( \lambda_1 \). The different plateaus correspond to the fixed points of the renormalization flow of the MZM-QD lead system. The first plateau (large \( T \)) at \( S_{\text{imp}} \sim 4k_B \ln(2) \) corresponds to the free-orbital fixed point. As the temperature decreases, the system approaches the local moment fixed point, marked by a plateau at \( S_{\text{imp}} \sim 3k_B \ln(2) \). Finally, for \( T \to 0 \), the system approaches the strong-coupling fixed point. The value of \( S_{\text{imp}} \) in this fixed point depends strongly on how many MZMs are directly coupled to the QD.

For \( \lambda_1 = \lambda_\sigma = 0 \) (black curve), the results show that \( S_{\text{imp}}(T \to 0) \sim 2k_B \ln(2) \). One can understand this result qualitatively as follows: in the strong-coupling fixed point, the QD is Kondo screened in a singlet state, while the decoupled Majorana modes provide an additional double degeneracy to the ground state, yielding a \( \ln(4) \) residual entropy. In fact, within the \( f \)-fermion representation, \( f^+_1 f^-_1 |n_{f,\sigma}\rangle = n_{f,\sigma} |n_{f,\sigma}\rangle \), we find four different possible zero-energy states which account for the fourfold ground-state degeneracy.
also consistent with analytical calculations for $S_{\text{imp}}(T \to 0)$ carried out for $U = 0$ shown in Appendix B.

The impurity entropy in the noninteracting case (Fig. 3) displays a free-orbital plateau [$S_{\text{imp}} \sim 4k_B \ln(2)$] at large temperatures but not the local moment plateau [$S_{\text{imp}} \sim 3k_B \ln(2)$]. This is expected as the local moment regime is not present for $U = 0$. The interesting region occurs for $T \to 0$. In this regime, we have $S_{\text{imp}}(T \to 0)$ displaying the same behavior as in the interacting case (Fig. 2). This indicates that, in the presence or absence of the Kondo singlet, the low-temperature behavior of the entropy is entirely determined by the free Majorana modes of the system. The absence of an additional contribution for the entropy in the interacting regime shows that the Kondo singlet is preserved even in the presence of the Majorana modes, indicating a disassociation of the processes leading to Kondo screening at low energies from the QD-MZMs couplings at high energies. In order to clarify the origin of this interesting behavior, in the following we derive an effective Hamiltonian that describes the low-energy regime of the system and perform a RG scaling analysis.

To close this section, let us briefly discuss the fractional residual entropy observed in the NRG results (Figs. 2 and 3). The situation here is closely related to the non-Fermi-liquid regime of the two-channel Kondo problem. As shown by Emery and Kivelson [38], the bosonization (refermionization) approach to the two-channel Kondo problem uses a Majorana representation to map the problem into a zero-energy resonant level coupled to metallic channels. In fact, only a “half fermion” (Majorana mode) in the resonant level is strongly coupled to the metallic electrons, while the other “half” is free. This “free” Majorana mode sets the nonzero value of the residual entropy, resulting in the celebrated result $S_{\text{imp}}(T \to 0) = k_B \ln(2)/2$.

This result reveals a special noninteger ground-state degeneracy, which is a characteristic of the non-Fermi-liquid behavior of the two-channel Kondo problem [44]. In fact, Affleck and Ludwig, using a boundary conformal field approach, showed that some quantum critical systems (including the multichannel Kondo impurity problem) may display a noninteger ground-state degeneracy $g$, whose specific value depends on the universality class of the boundary conditions [45].

In the present context, the system (namely, two topological quantum wires coupled to an interacting QD) is distinct from the two-channel Kondo problem. However, as already pointed out by some of us in previous works [20,31], a Majorana mode from the edge of a wire can “leak” into the QD once it is tunnel coupled to it. The scenario of MZMs coupled to the QD (and to a metallic lead) and their corresponding free partners at the other edge (see Fig. 1) can be viewed as a formal analog of the two-channel Kondo problem, but with a different physical origin. A detailed calculation of the impurity residual entropy and the connection with the effective model are shown in Appendices A and B.

We should also point out that such fractional character of the residual entropy may be used to distinguish MZMs from trivial zero-energy states in experiments. Indeed, a protocol based on entropy measurements to detect MZMs was recently proposed by Sela et al. [43]. Their proposal consists of a MZM coupled to a metallic lead close to a QD in the Coulomb blockade regime. The QD occupation can change from $N$ to $N + 1$ by changing the chemical potential $\mu$ of a reservoir coupled to the QD. This change can be monitored by a charge detector, and it is related to the local entropy via Maxwell’s relation $(dS/d\mu)_T = (dN/dT)_\mu$. A careful measurement of the QD occupation as a function of temperature can therefore give experimental access to the change in entropy $\Delta S$ and thus allow for the detection of fractional entropy values [43].

IV. PERTURBATIVE RENORMALIZATION GROUP ANALYSIS

A. The effective Hamiltonian

Since we are now interested in the Kondo effect in the system which appears when the QD has a finite magnetic moment, let us look at the subspace of the total Hamiltonian that embodies only the singly occupied states of the QD. To this end, we follow the projection method [22], which is equivalent to performing a Schrieffer-Wolff transformation. A similar approach was employed in an analog system composed of MZMs coupled to an interacting QD [46]. After a somewhat cumbersome but straightforward calculation, the resulting effective Hamiltonian can be written as

$$H_{\text{eff}} = H_{\text{lead}} + H_K + H_{\gamma} + H_{\gamma^*} + H_{\gamma'\gamma} + H_{\gamma'\gamma^*} + H_{\gamma^*\gamma},$$  \hspace{1cm} (9)

where the individual terms in (9) are given by

$$H_K = \sum_{\ell,k} J_{\ell,k} [\hat{S}^z_{\ell,k}\hat{c}_{\ell,k\uparrow} - \hat{c}_{\ell,k\uparrow}^\dagger \hat{c}_{\ell,k\downarrow}^\dagger] + S^+ c_{\ell,k\uparrow}^\dagger c_{\ell,k\downarrow} + S^- c_{\ell,k\downarrow}^\dagger c_{\ell,k\uparrow}^\dagger, \hspace{1cm} (10)$$

$$H_{\gamma} = \sum_{k} \left( \gamma_{\ell,k} S^+ \gamma_{\ell,k} \hat{c}_{\ell,k\uparrow}^\dagger + \gamma_{\ell,k}^* S^+ \gamma_{\ell,k}^* \hat{c}_{\ell,k\downarrow}^\dagger \right),$$

$$- \sum_{k} \left( \gamma_{\ell,k} \hat{c}_{\ell,k\uparrow} + \gamma_{\ell,k}^* \hat{c}_{\ell,k\downarrow} \right), \hspace{1cm} (11)$$

FIG. 3. Impurity entropy extracted using the NRG for the noninteracting regime ($U = 0$). For $T \to 0$ the entropy behaves as in the interacting case, giving the same results for $T = 0$, which confirms that the residual entropy behavior is due to only the free Majorana modes.

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\[ H_N = \sum_k (Y_k \gamma_l c_{k\uparrow} + Y_k^* \gamma_l^\dagger c_{k\downarrow}^\dagger) \]
\[ - \sum_k (\tilde{T}_k \gamma_l c_{k\uparrow} + \tilde{T}_k^* \gamma_l^\dagger c_{k\downarrow}^\dagger). \]
\[ H_{\gamma r} = Y_{\gamma r} S^{-\dagger} \gamma_r S^{-\dagger} \gamma_r. \]
\[ H_{\gamma r} = \lambda_\gamma^2 \left( \frac{n_{d\uparrow}}{\epsilon_d} - \frac{n_{d\downarrow}}{\epsilon_d + U} \right), \]
\[ H_{\gamma r} = \lambda_\gamma^2 \left( \frac{n_{d\downarrow}}{\epsilon_d} - \frac{n_{d\uparrow}}{\epsilon_d + U} \right). \]

In the above, we used the standard spin-charge relations \( S_\gamma = (n_{d\uparrow} - n_{d\downarrow})/2 \), \( S^+ = d_l^\dagger d_r \), and \( S^- = d_r^\dagger d_l \). The couplings are given by

\[ J_{kk'} = V_k V_{k'}^* \left( \frac{1}{\epsilon_k - \epsilon_d} + \frac{1}{\epsilon_d + U - \epsilon_k} \right). \]

\[ Y_{kr} = -\lambda_r V_k \left( \frac{1}{\epsilon_k - \epsilon_d} + \frac{1}{\epsilon_d + U - \epsilon_k} \right) e^{i\theta}, \]

\[ \tilde{T}_{kr} = -\lambda_r V_k \left( \frac{n_{d\uparrow}}{\epsilon_d} - \frac{n_{d\downarrow}}{\epsilon_d + U} \right) e^{i\theta}, \]

\[ Y_{kr} = \lambda_I V_k \left( \frac{1}{\epsilon_k - \epsilon_d} + \frac{1}{\epsilon_d + U - \epsilon_k} \right), \]

\[ \tilde{T}_{kr} = \lambda_I V_k \left( \frac{n_{d\downarrow}}{\epsilon_d} - \frac{n_{d\uparrow}}{\epsilon_d + U} \right), \]

and

\[ \gamma_{lr} = \gamma_{lr}^\dagger e^{i\theta} \left( \frac{1}{U + \epsilon_d} - \frac{1}{\epsilon_d} \right). \]

In the above, we have introduced \( \theta \equiv \delta \phi / 2 + \pi / 2 \). Rigorously, the dependence of the above on \( k \) and \( k' \) in Eq. (16) should be symmetrized. However, this is not necessary as we now assume that the effective couplings depend weakly on \( k \) such that \( V_k = V \). We also set \( \epsilon_k = \epsilon_k \approx 0 \) in the couplings above. Within these approximations, we obtain

\[ J = |V|^2 \left( \frac{1}{\epsilon_d + U} - \frac{1}{\epsilon_d} \right). \]

which is the usual Kondo coupling, and the MZM-related effective couplings, given by

\[ \gamma_r = -\lambda_r V \left( 1 - \frac{1}{\epsilon_d + U} - \frac{1}{\epsilon_d} \right) e^{i\theta}, \]

\[ \gamma_l = \lambda_I V \left( 1 - \frac{1}{\epsilon_d} \right), \]

\[ \tilde{T}_r = -\lambda_r V \left( \frac{n_{d\uparrow}}{\epsilon_d} - \frac{n_{d\downarrow}}{\epsilon_d + U} \right) e^{i\theta}, \]

\[ \tilde{T}_l = \lambda_I V \left( \frac{n_{d\downarrow}}{\epsilon_d} - \frac{n_{d\uparrow}}{\epsilon_d + U} \right). \]

Extra caution is needed with these last two effective couplings as they are not \( c \) numbers like the previous ones. For instance, let us look at them near the particle-hole symmetry point \( \epsilon_d = -U/2 \). To this end, let us rewrite the dot level as \( \epsilon_d = -U/2 + \delta \epsilon \), with \( \delta \epsilon \ll U \). We can then write

\[ \tilde{T}_r = -2\lambda_r V \left( \frac{n_{d\uparrow}}{U} - \frac{1}{1 + 2\delta \epsilon} + \frac{n_{d\downarrow}}{U} \left( 1 + 2\delta \epsilon \right)^{-1} \right) e^{i\theta}. \]

Using the approximations \( (1 - x)^{-1} \approx 1 + x \) and \( (1 + x)^{-1} \approx 1 - x \), for \( x \ll 1 \), we obtain

\[ \tilde{T}_r \approx -\lambda_r V \left( \frac{2 \delta \epsilon}{U} \right) e^{i\theta}. \]

Here, we have used \( S_\gamma = (n_{d\uparrow} - n_{d\downarrow})/2 \) and \( n_{d\uparrow} + n_{d\downarrow} = 1 \). With this expression, we can write

\[ \sum_k \left( \tilde{T}_r \gamma_r c_{k\uparrow} + \tilde{T}_r^* c_{k\uparrow}^\dagger \right) \]

\[ \approx \sum_k \left( \tilde{T}_r \gamma_r c_{k\uparrow} + \tilde{T}_r^* c_{k\uparrow}^\dagger \right) \approx \frac{4 \delta \epsilon}{U} \lambda_r V e^{i\theta}. \]

In a similar fashion, we can write

\[ \tilde{T}_l \approx \lambda_I V \left( \frac{4 \delta \epsilon}{U} \right) e^{i\theta}. \]

to obtain

\[ \sum_k \left( \tilde{T}_l \gamma_r c_{k\downarrow} + \tilde{T}_l^* c_{k\downarrow}^\dagger \gamma_l \right) \]

\[ \approx \sum_k \left( \tilde{T}_l \gamma_r c_{k\downarrow} + \tilde{T}_l^* c_{k\downarrow}^\dagger \gamma_l \right) \approx \frac{4 \delta \epsilon}{U} \lambda_I V. \]

With the help of Eqs. (27) and (30), we can see that the Hamiltonians (11) and (12) describe a sort of “triple exchange interaction” involving the spins of the electrons in the QD, the spins of the electrons in the conduction band, and the Majorana modes. More interestingly, notice that Eq. (13) describes a local exchange interaction between the QD and the two Majorana modes, favoring the formation of a local singlet, thereby competing with the Kondo effect. However, as we will see below, these interactions turn out to be only marginally relevant in the RG sense and therefore do not affect the flow to the Kondo fixed point of the Hamiltonian, at least at the perturbed renormalization group level.

### B. Poor-man’s scaling analysis

To compute the low-energy fixed point of the effective Hamiltonian, we employ the perturbative Anderson’s poor-man’s scaling analysis [47]. This method consists of integrating out the high-energy electronic states at the edge of the conduction band, providing us with a set of effective couplings as a function of the reduced bandwidth parameter. For our
As a result, we obtain the following scaling equations:

\[ \dot{Y}_r = \frac{1}{2} \rho \gamma_r J - \rho T_r J - \frac{1}{2} T_r \gamma_r J, \]  
(32a)

\[ \dot{T}_{r \gamma} = -\rho \gamma_r J - \rho T_r J, \]  
(32b)

\[ \dot{Y}_r = -\rho \gamma_r T_l - \rho \gamma_l T_{r \gamma} - \frac{1}{2} T_r \gamma_l J - \frac{1}{2} T_l \gamma_r J, \]  
(32c)

\[ \dot{Y}_r = -\frac{1}{2} \gamma_r J - \frac{1}{4} T_r J, \]  
(32d)

\[ \dot{Y}_l = -\frac{1}{2} \gamma_l J - \frac{1}{4} T_l J, \]  
(32e)

\[ \dot{T}_{r \gamma} = -\rho \gamma_l J - \rho T_l J, \]  
(32f)

\[ \dot{T}_l = -\rho \gamma_l J - \rho T_l J, \]  
(32g)

\[ J = -2 \rho J^2. \]  
(32h)

In the above equations, we have defined \( \dot{X} = dX/d\ln D \), where \( \dot{D} \) is the reduced bandwidth. We have also denoted the density of states of the conduction electrons at the Fermi level \( \delta E = 0 \) as \( \rho = \rho(0) \). Notice that the differential equation for \( J \) is completely decoupled from the rest of the RG flow and is equivalent to the usual isotropic Kondo model. Therefore, this clearly indicates that, regardless of the coupling of the quantum impurity to the Majorana modes, the system will always flow to the usual strong coupling fixed point, where the QD is Kondo-screened by the conduction electrons as the temperature decreases below the characteristic Kondo temperature. The fact that this fixed point is stable even in the presence of arbitrary MZM-QD coupling is one of the central results of the paper.

To obtain the evolution of the other couplings with \( \dot{D} \), the system of coupled differential equations above has to be solved given an initial condition for the couplings. Note that only the couplings with subindex \( r \) can have complex initial values. Since Eq. (32h) is fully decoupled from the others, given a real initial condition \( J(0) \), the coupling \( J \) will remain real along the renormalization flow. Notice also that Eqs. (32e)–(32h) are fully decoupled from the others and thus have pure real solutions. It is now easy to see that the real (imaginary) part of the solution of Eqs. (32a)–(32d) depends only on the real (imaginary) part of their initial conditions.

As there are several model parameters which can be tuned, we will resort to a numerical solution of the system of differential equations (32) within a few specific situations of interest and look at the evolution of the renormalized parameters as a function of the bandwidth cutoff running parameter \( D \). As in Sec. III, we set \( D = 1, U = 0.5, \delta = 0 \) (the particle-hole symmetric case) and \( V = 0.1 \). We also set \( \delta \phi = \pi \), in which case the couplings are all real (different values of \( \delta \phi \) lead to qualitatively equivalent results).

The results for the calculation are shown in Fig. 4(a) for the \( \lambda_l = \lambda_r = 0.02 \) (symmetric) case and Fig. 4(b) for the \( \lambda_l = 0 \) and \( \lambda_r = 0.02 \) (asymmetric) cases. These choices correspond to the curves with green diamonds and red circles in Fig. 2, respectively.

We first note that all the parameters, including \( J \), renormalize to infinity as \( \dot{D} \to 0 \). This indicates that the system evolves towards a strong-coupling fixed point in which all the couplings of the effective model [Eq. (9)] diverge, which is consistent with our NRG calculations in Sec. III.

One can now ask how the couplings of the QD with the MZMs affect the Kondo temperature of the system. By extracting \( T_K \) from the value of \( \dot{D} \) for which \( J \to \infty \), we can solve Eq. (32h) separately, obtaining exactly the same Kondo temperature for a single-impurity Kondo model, \( k_B T_K = D \exp(-1/\rho_0) \), with \( \rho_0 \) given by Eq. (22). Additionally, we could also choose to numerically extract \( T_K \) from the value of \( \dot{D} \) for which the renormalization flow of the entire system of equations (32) breaks down. By performing this procedure, we see no clear dependence of \( T_K \) on \( \lambda \). We can see, however, that all couplings diverge at the same scale for which \( J \) diverges (see Fig. 4). Since \( \delta \phi \to \infty \) at the fixed point, this indicates that there is, indeed, a type of local strong interaction between the spins of the dot and the Majorana operators. Nevertheless, such effective coupling does not affect the Kondo screening.

More importantly, the fact that the renormalized couplings, \( Y_{r(t)} \), \( Y_{l(t)} \), \( T_{r(t)} \), and \( T_{r(t)c} \), also diverge at the Kondo-screened fixed point \( (J \to \infty) \) suggests some sort of magnetic interaction between the Majorana modes and the QD. This interpretation relies solely on the form of the Hamiltonian terms \( H_{\gamma}, H_{\gamma'}, \) and \( H_{\gamma\gamma'} \) in the effective model \( H_{\text{eff}} \) (9). From the RG perspective, the divergence of the renormalized couplings is associated with a marginally relevant local interaction between the Majorana modes and the QD spin.

V. CONCLUDING REMARKS

To summarize, we studied a system composed of a quantum dot in the Kondo regime coupled to two Majorana zero modes with opposite spin polarizations. Our numerical renormalization group calculations showed that the low-energy features are determined by the high-energy MZM-QD couplings...
in a surprisingly simple way: by counting the number of uncoupled MZMs \(N_0\), one can infer the low-temperature residual entropy of the QD+MZM system as \(S_{\text{res}} \to (N_0/2) k_B \ln(2)\). This is true even in the noninteracting regime, which reinforces that the Kondo physics in the interacting regime remains independent of the MZM-QD couplings. We surmise that this result can be generalized for a system with \(N \leq N_0\) MZMs coupled to the dot. As discussed, we believe that this result can be experimentally checked using, e.g., the MZM entropy measurement protocols proposed in Ref. [43].

One interesting consequence is the non-Fermi-liquid behavior for odd values of \(N_0\). This result indicates that the strongly coupled fixed point describes a combination of a Kondo-like singlet and the free MZM modes. This picture is reinforced by a perturbative RG analysis of the effective Kondo-like low-energy Hamiltonian. The poor-man’s scaling analysis shows that the scaling equation for the Kondo coupling \(J\) is decoupled from the others, reinforcing the fact that the stable, low-energy fixed point is Kondo-like. Nonetheless, the effective MZM-related couplings do depend on \(J\), suggesting a MZM-mediated spin exchange coupling contribution.

We believe these results are particularly significant for future theoretical and experimental investigations of MZMs in quantum dots coupled to topological superconductors, as they shed light on the intricate interplay between MZMs and the Kondo effect in these systems.

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**APPENDIX A: ANALYTICAL RESULTS FOR THE RESIDUAL ENTROPY IN THE NONINTERACTING REGIME**

1. Free Majorana mode entropy

Let us consider a system composed of a resonant level with a regular fermion (spinless for simplicity), which can be described by two Majorana modes coupled to a metallic lead. Here, only one of them is coupled to the lead, while the other one remains free. The Hamiltonian of this toy model is given by

\[
H = \sum_k \varepsilon_k c_k^\dagger c_k + \sum_k (V c_k \gamma_1 + V^* \gamma_1 c_k^\dagger),
\]

where \(V\) is the hybridization matrix element of the coupled of the Majorana mode with the metallic lead. We introduce the Green’s function of the level

\[
G_f(\omega) \equiv \langle \langle f; f^\dagger \rangle \rangle_\omega,
\]

where the fermion operators can always be written as \(f = (\gamma_1 + i\gamma_2)/\sqrt{2}\). To distinguish the contribution of the Majorana modes to the entropy it is convenient to rewite the Green’s function in terms of the Majorana operators, which results in

\[
G_f(\omega) = \frac{1}{2} [M_{11}(\omega) - iM_{12}(\omega) + iM_{21}(\omega) + M_{22}(\omega)].
\]

Here, we have defined the Majorana Green’s functions

\[
M_{ij}(\omega) \equiv \langle \langle \gamma_i; \gamma_j \rangle \rangle_\omega.
\]

The free energy of the level can be written as

\[
F_f(T) = -k_B T \int_{-\infty}^{\infty} \rho_f(\omega) \ln(1 + e^{-\beta \omega}) d\omega,
\]

where \(\beta = 1/k_B T\), with \(k_B\) being the Boltzmann constant and \(T\) being the temperature, and

\[
\rho_f(\omega) = -\frac{1}{\pi} \text{Im}[G_f(\omega)].
\]

We can now compute the entropy using the relation

\[
S_f(T) = -\frac{\partial F_f(T)}{\partial T}.
\]

The analytical expression for the Majorana Green’s functions can be calculated using the equation of motion (EOM) [48]

\[
\langle \langle \gamma_i; \gamma_j \rangle \rangle_\omega = \delta_{i,j} + \langle \langle [\gamma_i, H]; \gamma_j \rangle \rangle_\omega.
\]

There are two distinct regimes for the toy model (A1), \(V = 0\) and \(V \neq 0\); let us take a closer look in them.

a. Decoupled regime (\(V = 0\))

In the decoupled regime, the Hamiltonian (A1) describes a free regular fermion. As such, using the EOM (A8), we obtain

\[
G_f(\omega) = \frac{1}{2} \left[ \frac{1}{\omega + i\eta} + \frac{1}{\omega - i\eta} \right],
\]

where \(\eta \to 0^+\). By combining Eqs. (A6) and (A9), one obtains the the density of states

\[
\rho_f(\omega) = \frac{1}{2} [\delta(\omega) + \delta(\omega)],
\]

where the first term is from the contribution of the Majorana mode \(\gamma_1\) and the second is from \(\gamma_2\). Notice that in this both Majorana modes are free. Inserting (A10) into Eq. (A5) and using (A7), we find

\[
F_f(T) = -k_B T \ln(2)
\]

and

\[
S_f(T) = k_B \ln(2).
\]

This is the entropy expected for the single zero-energy level for a spinless fermion.

b. Coupled regime (\(V \neq 0\))

In the coupled regime, using Eqs. (A1), (A3), and (A8), we obtain the following Green’s function:

\[
G_f(\omega) = \frac{1}{2} \left[ \frac{1}{\omega + i\Gamma} + \frac{1}{\omega - i\Gamma} \right].
\]

Here, \(\Gamma = 2\pi \rho_0 |V|^2\), and \(\rho_0\) is the density of states of the metallic lead. Equation (A13) render the density of states

\[
\rho_f(\omega) = \frac{1}{2} \left[ \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} + \delta(\omega) \right].
\]
This density of states is similar to that derived in Ref. [43]. The Lorentzian form of the first term is due to the Majorana coupled to the metallic lead, whereas the $\delta$ function in the second term results from the free Majorana mode. Now the free energy acquires the form

$$ F_f(T) = F_1(T) + F_2(T) $$

$$ = -\frac{k_B T}{2} \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \ln(1 + e^{-\beta \omega}) d\omega $$

$$ - \frac{k_B T}{2} \ln(2). \quad (A15) $$

With this, using Eq. (A7), we find

$$ S_f(T) = S_1(T) + S_2(T) $$

$$ = \frac{k_B}{2} \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \frac{\partial}{\partial T} [T \ln(1 + e^{-\beta \omega})] d\omega $$

$$ + \frac{k_B}{2} \ln(2). \quad (A16) $$

In the above, $S_2(T) = (1/2)k_B \ln(2)$ corresponds to the contribution from the free Majorana mode $\gamma_2$. The contribution $S_1(T)$ (given by the second line of the equation above) from the Majorana mode $\gamma_1$ coupled to the metallic lead requires more attention. Performing the temperature derivative, we obtain

$$ S_1(T) = \frac{k_B}{2} \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \frac{\partial}{\partial T} [T \ln(1 + e^{-\beta \omega})] d\omega $$

$$ = \frac{k_B}{2} \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \ln(1 + e^{-\beta \omega}) d\omega $$

$$ + \frac{1}{2T} \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \frac{\omega}{(e^{\beta \omega} + 1)} d\omega. \quad (A17) $$

The integrals of Eq. (A17) are rather complicated. Yet the approximated analytical expressions can be obtained in the low-temperature limit. Note that, for $T \to 0$, $\ln(1 + e^{-\beta \omega}) \to 0$ and $\Gamma / (k_B T) \gg 1$. Thus, in this limit, the first integral in (A17) vanishes

$$ \int_{-\infty}^{\infty} \frac{1}{\pi} \frac{\Gamma}{\omega^2 + \Gamma^2} \ln(1 + e^{-\beta \omega}) d\omega \to 0 \quad (T \to 0). $$

Moreover, using the fact that $f(\omega) = (e^{\beta \omega} + 1)^{-1}$, we can write

$$ S_1(T \to 0) = \int_{-\infty}^{\infty} h(\omega) f(\omega) d\omega, \quad (A18) $$

with

$$ h(\omega) = \frac{1}{2\pi T} \frac{\omega \Gamma}{\omega^2 + \Gamma^2}. \quad (A19) $$

For small $T$ this class of integrals can be written within the so-called Sommerfeld expansion as

$$ S_1(T) \approx g(0) + \frac{\pi^2}{6} \frac{d}{d\omega^2} g(\omega) \bigg|_{\omega=0} (k_B T)^2 + O[(k_B T)^4], \quad (A20) $$

where

$$ g(\omega) = \int_{0}^{\omega} h(\omega') d\omega'. \quad (A21) $$

Upon performing the integration with the integrand (A19), we obtain

$$ g(\omega) = \frac{\Gamma}{4\pi T} \ln \left[ \frac{\omega^2 + \Gamma^2}{\Gamma^2} \right]. \quad (A22) $$

Using this expression in (A20), up to $O[(k_B T)^3]$ we find

$$ S_1(T) \approx \frac{k_B}{2} \frac{\Gamma}{6} \left( \frac{k_B T}{\Gamma} \right)^3. \quad (A23) $$

The total entropy in the coupled case is then given by

$$ S_f(T) \approx \frac{k_B}{2} \ln(2) + \frac{k_B}{2} \frac{\pi}{6} \left( \frac{k_B T}{\Gamma} \right) (T \to 0). \quad (A24) $$

Strictly, at $T = 0$ we obtain the interesting result

$$ S_{\text{res}} = \frac{k_B}{2} \ln(2), \quad (A25) $$

where $S_{\text{res}} = S_f(0)$. This result shows that only the free Majorana mode contributes to the residual entropy at $T = 0$. Furthermore, the residual entropy is half of $k_B \ln(2)$, revealing the non-Fermi-liquid (NFL) characteristic of the system. This is the analog of what happens in the two-channel Kondo effect [38–40,45,49–53].

**APPENDIX B: CONNECTION TO THE EFFECTIVE MODEL**

In the noninteracting limit ($U = 0$) we have, from (9) and (22)–(26), $J = \gamma_{tr} = \gamma_r = \gamma_f = 0$, and the effective Hamiltonian becomes

$$ H_{\text{eff}} = \sum_{i k \sigma} \epsilon_{i k \sigma} c_{i k \sigma}^\dagger c_{i k \sigma} + \sum_{i k} (T_r \gamma_r c_{i k \uparrow} + T_r^* c_{i k \downarrow}^\dagger \gamma_r) + \sum_{i k} (T_l \gamma_l c_{i k \downarrow} + T_l^* c_{i k \uparrow}^\dagger \gamma_l), \quad (B1) $$

with

$$ T_r = 2\lambda_r \left( \frac{V}{\ell_d} \right) e^{i\theta}, \quad T_l = -2\lambda_l \left( \frac{V}{\ell_d} \right). \quad (B2) $$

Notice that the effective Hamiltonian (B1) has the same form as the Hamiltonian (A1). The main difference is that now we have an additional degree of freedom associated with the spin. As we have seen before, the connection between the regular fermions and the Majorana Fermions can be described as

$$ f_1 = \frac{1}{\sqrt{2}} (\gamma_{r \uparrow} + i\gamma_{r \downarrow}), \quad f_f = \frac{1}{\sqrt{2}} (\gamma_{f \uparrow} + i\gamma_{f \downarrow}). \quad (B3) $$

The number of free MZMs is determined by the couplings $T_r$ and $T_l$. Let us look to the each possible configurations and their respective residual entropy.

1. $T_r = T_l = 0$

When $T_r = T_l = 0$, from Eqs. (B1) and (B3) we see four free Majorana modes, and as shown in Appendix A each free Majorana mode contributes to the density of states with $\delta(\omega)/2$. As a result,

$$ \rho_f(\omega) = 2\delta(\omega), \quad (B4) $$
rendering the entropy

$$S_f(T) = 2k_B \ln(2) = S_{\text{res}}.$$  \hfill (B5)

2. $T_r \neq 0$ or $T_l \neq 0$

In this situation we have three free Majorana modes and one coupled to the metallic lead, which results in the density of states

$$\rho_f(\omega) = \frac{3}{2} \delta(\omega) + \frac{1}{2\pi \omega^2 + \Gamma^2}.$$  \hfill (B6)

Using the results from Appendix A, we obtain the following entropy:

$$S_f(T) \approx \frac{3k_B}{2} \ln(2) + k_B \frac{\pi}{6} \left( \frac{k_B T}{\Gamma} \right).$$  \hfill (B7)

At zero temperature the residual entropy

$$S_{\text{res}} = \frac{3k_B}{2} \ln(2),$$  \hfill (B8)

from which the NFL behavior of the system is explicit.

3. $T_r \neq 0$ and $T_l \neq 0$

This is the last possible situation. We now have two free Majorana modes and two coupled Majorana modes, which provides us with the density of states

$$\rho_f = \delta(\omega) + \frac{1}{\pi \omega^2 + \Gamma^2}$$  \hfill (B9)

and entropy

$$S_f(T) \approx k_B \ln(2) + k_B \frac{\pi}{6} \left( \frac{k_B T}{\Gamma} \right).$$  \hfill (B10)

For $T = 0$ we then obtain

$$S_{\text{res}} = k_B \ln(2).$$  \hfill (B11)

In summary, the residual entropy in the three cases can be written as

$$S_{\text{res}} = \begin{cases} 
2k_B \ln(2), & \text{if } T_r = V_l = 0, \\
\frac{1}{2} k_B \ln(2), & \text{if } T_r \neq 0 \text{ or } T_l \neq 0, \\
k_B \ln(2), & \text{if } T_r \neq 0 \text{ and } T_l \neq 0.
\end{cases}$$  \hfill (B12)

Observe that the results of (B12) corroborate the results obtained with the NRG shown in Fig. 3. Moreover, in general, the residual entropy can be associated with the number of free Majorana modes $N_0$ of the system as

$$S_{\text{res}} = \frac{N_0}{2} k_B \ln(2).$$  \hfill (B13)

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