Learning Feature Aggregation  
for Deep 3D Morphable Models - Supplementary Material

Zhixiang Chen  
Imperial College London  
zhixiang.chen@imperial.ac.uk

Tae-Kyun Kim  
Imperial College London and KAIST  
tk.kim@imperial.ac.uk

Table 1. Architecture of the encoder

| layer                | input size | output size |
|----------------------|------------|-------------|
| convolution          | \( n_4 \times 3 \) | \( n_4 \times 16 \) |
| downsampling         | \( n_4 \times 16 \) | \( n_3 \times 16 \) |
| convolution          | \( n_3 \times 16 \) | \( n_3 \times 16 \) |
| downsampling         | \( n_3 \times 16 \) | \( n_2 \times 16 \) |
| convolution          | \( n_2 \times 16 \) | \( n_2 \times 16 \) |
| downsampling         | \( n_2 \times 16 \) | \( n_1 \times 16 \) |
| convolution          | \( n_1 \times 16 \) | \( n_1 \times 32 \) |
| downsampling         | \( n_1 \times 32 \) | \( n_0 \times 32 \) |
| fully connected      | \( n_0 \times 32 \) | \( n_z \) |

Table 2. Architecture of the decoder

| layer                | input size | output size |
|----------------------|------------|-------------|
| fully connected      | \( n_z \) | \( n_0 \times 32 \) |
| upsampling           | \( n_0 \times 32 \) | \( n_1 \times 32 \) |
| convolution          | \( n_1 \times 32 \) | \( n_1 \times 32 \) |
| upsampling           | \( n_1 \times 32 \) | \( n_2 \times 32 \) |
| convolution          | \( n_2 \times 32 \) | \( n_2 \times 32 \) |
| upsampling           | \( n_2 \times 32 \) | \( n_3 \times 32 \) |
| convolution          | \( n_3 \times 32 \) | \( n_3 \times 32 \) |
| upsampling           | \( n_3 \times 32 \) | \( n_4 \times 32 \) |
| convolution          | \( n_4 \times 32 \) | \( n_4 \times 16 \) |
| convolution          | \( n_4 \times 16 \) | \( n_4 \times 16 \) |
| convolution          | \( n_4 \times 16 \) | \( n_4 \times 16 \) |

Table 3. Number of vertices at hierarchical levels

| dataset       | \( n_0 \) | \( n_1 \) | \( n_2 \) | \( n_3 \) | \( n_4 \) |
|---------------|-----------|-----------|-----------|-----------|-----------|
| COMA [6]      | 20        | 79        | 314       | 1256      | 5023      |
| DFAUST [1]    | 27        | 108       | 431       | 1723      | 6890      |
| SYNHAND [4]   | 5         | 19        | 75        | 299       | 1193      |

Table 4. Summary of statistics of the benchmark datasets

| name          | #mesh | #vertex | #ID | #pose/expression |
|---------------|-------|---------|-----|------------------|
| COMA          | 20K   | 5023    | 12  | 12               |
| DFAUST        | 40K   | 6890    | 10  | 14               |
| SYNHAND       | 100K  | 1193    | -   | -                |

1. Architecture details

Our model consists of an encoder and a decoder. The architecture details of the encoder and decoder are listed in Tables 1 and 2, respectively. The convolution is Chebyshev convolution filter with \( K = 6 \) Chebyshev polynomials for CoMA and spiral convolution of 1 hop for Neural3DMM. The aggregation, including downsampling and upsampling, is either implemented by QEM as in [6] or accomplished by our proposed attention based module. The dimension of latent representation \( n_z \) is set as one of \{8, 16, 32, 64\} in the evaluated settings. The numbers of vertices at hierarchical levels are summarized in Table 3.

2. Dataset statistics

Table 4 summarizes the statistics of the datasets used for evaluating 3D models. Since the deformations are randomly generated, there is no identity and pose category information for the SYNHAND dataset.

3. Implementation details

We implement the models with PyTorch [5]. We adopt the training settings suggested by the original authors [6, 2]. We train the CoMA and Deep3DMM (spectral) models for 300 epochs with learning rate of 8e-3. We train the Neural3DMM and Deep3DMM (spiral) models for 200 epochs.
with learning rate of $1e^{-3}$.

4. More experimental results

In this section, we provide more experimental results of the reconstruction errors and show more visualizations of the mapping matrices.

4.1. Cumulative Euclidean errors

In Fig. 1, we show the cumulative distribution of the Euclidean errors with and without our feature aggregation module for CoMA model with latent dimension of 8. We can find that for a given error threshold, more vertices can satisfy the constraint with lower error by applying our feature aggregation module. This is consistent with the observation from the qualitative results in the main paper.

4.2. More Visualization of the mapping matrices

In Fig. 2, we show the back view of the mapping matrices on COMA dataset, which is complementary to the front view in the main paper. The pattern is similar to the front view in the main paper. In Figs. 3 and 4, we directly show the values of the mapping matrices on COMA dataset. Since there are large number of columns and rows in each mapping matrix, a better view can be obtained by zooming in on the figures. While the mapping matrices obtained by QEM and our feature aggregation mechanism demonstrate similar pattern in positions of the dominant elements, the values of these elements are different for these two methods. This is because our proposed feature aggregation mechanism enables learning the weights from the training data automatically. Moreover, the mapping matrices learned by FA are more dense than those computed by QEM. This shows that our proposed feature aggregation mechanism also learns the receptive fields.

4.3. Qualitative results with spiral convolutions

In Fig. 5, we show the per vertex Euclidean error of different morphable models on several shapes from the three datasets for qualitative comparison. The latent dimension is set as 8. We can find that our model can reduce the large errors of the compare model (red regions) by providing accurate predictions. Our model can also recover more details than the compared model, leading to more realistic shapes.
Figure 3. Visualization of mapping matrices of downsampling (top row) and upsampling (bottom row) with existing QEM method on COMA dataset(best viewed in color and zoom in to see details)

Figure 4. Visualization of mapping matrices of downsampling (top row) and upsampling (bottom row) with our proposed feature aggregation module on COMA dataset(best viewed in color and zoom in to see details)

Figure 5. Qualitative comparison of spiral convolution based models on COMA (left), DFAUST (middle), and SYNHAND (right) datasets. The first row is the ground truth shapes. The second and third rows show the reconstructed shapes, while the fourth and fifth rows show the corresponding reconstruction errors.

5. Results with different network architectures

In this section, we evaluate our proposed feature aggregation module on different variants of network architecture, including the number of convolution filters of the spiral convolution and the Chebyshev polynomial order of the spectral convolution.

5.1. Number of convolution filters

To explore the effectiveness of our proposed feature aggregation module with different network architectures, we conduct experiments on two settings of the number of convolution filters. The simple setting denotes the network architecture introduced in Tables 1 and 2, where the number of filters are (3,16,16,16,32) and (32,32,16,16,3) for the encoder and decoder, respectively. The wider setting denotes a larger number of filters, where they are (3,16,32,64,128) and (128,64,32,32,16,3) for the encoder and decoder, respectively. Table 6 shows the reconstruction errors on COMA dataset with the latent dimension of 8 for Neural3DMM and our model convolution based Deep3DMM. We can see that our model performs better than the baseline model in both scenarios. Note that our model has the same inference parameters as the compared model. Our model only introduces $8 + (5023 + 1256 + 1256 + 314 + 314 + 79 + 79 + 20) \times c$ parameters for the keys and queries at the training stage.

5.2. Chebyshev polynomial order

In Table 7, we show the results for variant Chebyshev polynomial order K. The experiments are again conducted on COMA dataset with the latent dimension as 8. We can see that model with our feature aggregation module can consistently perform better.
Table 5. Reconstruction errors on extrapolation setting

| Sequence       | Deep3DMM(spectral) | CoMA [6] | PCA | FLAME [3] |
|----------------|--------------------|----------|-----|-----------|
|                | Mean Error | Median  | Mean Error | Median  | Mean Error | Median | Mean Error | Median  |
| bareteeth      | 1.190±1.524 | 0.691   | 1.376±1.536 | 0.856   | 1.957±1.888 | 1.335   | 2.002±1.456 | 1.606   |
| cheeks in      | 1.071±1.322 | 0.646   | 1.288±1.501 | 0.794   | 1.854±1.906 | 1.179   | 2.011±1.468 | 1.609   |
| eyebrow        | 0.851±1.011 | 0.505   | 1.053±1.088 | 0.706   | 1.609±1.535 | 1.090   | 1.862±1.342 | 1.516   |
| high smile     | 1.037±1.164 | 0.614   | 1.205±1.252 | 0.772   | 1.841±1.831 | 1.246   | 1.960±1.370 | 1.625   |
| lips back      | 1.060±1.590 | 0.580   | 1.193±1.476 | 0.708   | 1.842±1.947 | 1.198   | 2.047±1.485 | 1.639   |
| lips up        | 0.902±1.114 | 0.497   | 1.081±1.192 | 0.656   | 1.788±1.764 | 1.216   | 1.983±1.427 | 1.616   |
| mouth down     | 0.847±1.062 | 0.517   | 1.050±1.183 | 0.654   | 1.618±1.594 | 1.105   | 2.029±1.454 | 1.651   |
| mouth extreme  | 1.139±1.468 | 0.640   | 1.336±1.820 | 0.738   | 2.011±2.405 | 1.224   | 2.028±1.464 | 1.613   |
| mouth middle   | 0.745±0.934 | 0.439   | 1.017±1.192 | 0.610   | 1.697±1.715 | 1.133   | 2.043±1.496 | 1.620   |
| mouth open     | 0.741±0.996 | 0.431   | 0.961±1.127 | 0.583   | 1.612±1.728 | 1.060   | 1.894±1.433 | 1.544   |
| mouth side     | 1.103±1.711 | 0.567   | 1.264±1.611 | 0.730   | 1.894±2.274 | 1.132   | 2.090±1.510 | 1.659   |
| mouth up       | 0.835±0.983 | 0.501   | 1.097±1.212 | 0.683   | 1.710±1.680 | 1.159   | 2.067±1.485 | 1.680   |

Table 6. Reconstruction errors with different settings of convolution filters

| method                  | simple | wider |
|-------------------------|--------|-------|
| Neural3DMM              | 0.785  | 0.525 |
| Deep3DMM (spiral)       | 0.487  | 0.420 |

Table 7. Reconstruction errors with different order K of Chebyshev polynomial

| method | CoMA | Deep3DMM (spectral) |
|--------|------|---------------------|
| K=6    | 0.939| 0.519               |
| K=3    | 1.031| 0.558               |

6. Ablation studies

In this section, we provide ablation studies to show the effect of each component in the model. The experiments are conducted on COMA dataset by using the spectral convolution with the latent dimension as 8.

6.1. Effect of the topk selection

In Fig. 6, we show the reconstruction errors with and without the topk selection for the mask operation in the feature aggregation module. As we can see, the error is reduced by applying the topk selection strategy in the decoder by a large margin. And the performance is slightly deteriorated by applying the topk selection strategy in the encoder. In this work, we choose to apply the topk selection on both the encoder and decoder for the consideration of the speed. By adopting the topk selection strategy, the generated mapping matrices are guaranteed to be sparse, which can be leveraged to accelerate both the training and the inference of the model.

6.2. Effect of fusing mapping matrices

We also study the effect of fusing learned mapping matrices with precomputed mapping matrices. The results are shown in Table 8. By using the learned mapping matrices only, we can also significantly reduce the reconstruction error. Combining both mapping matrices by a linear fusion, we can further lower the reconstruction error. This is possible due to that the precomputed mapping matrices can benefit the training of the other components, including the convolution and the fully connected layers, especially at the early stage of the training phase.
7. Parameter sensitivity studies

In this section, we provide parameter sensitivity studies to get better understanding of the proposed feature aggregation module. The experiments are conducted on COMA dataset by using the spectral convolution with the latent dimension as 8.

7.1. Initialization of the weight \( w_a \)

In Fig. 7, we show the reconstruction errors by training the model with different initialization values for the weight \( w_a \). While a smaller weight can lead to better performance, the performance variation is not notable.

7.2. Number of channel \( c \)

In Fig. 8, we show the variation of the reconstruction error with respect to the dimension of channels of the keys and queries in the feature aggregation module. By increasing \( c \) from 2 to 18, we can observe a significant drop in the corresponding error. The performance is almost saturated when \( c \) is larger than 18. Note that we can surpass the QEM method even setting \( c = 2 \) for our feature aggregation module.

7.3. Top \( k \) for the encoder and decoder

In Figs. 9 and 10, we show the variation of the reconstruction error with respect to the \( k \) value in the encoder and decoder, respectively. By changing the \( k \) value in the encoder, the performance is only slightly influenced. In contrast, larger \( k \) in the decoder would lead to better generalization with lower error.

7.4. Initialization of the keys and queries

We also study the effect of different initialization schemes of the keys and queries. Table 9 gives the reconstruction errors of three different initialization, namely normal, uniform and template. In the normal and uniform settings, we initialize the keys and queries by the random normal and uniform distributions, respectively. In the precomputed setting, we use the vertex positions at each level computed by the mesh decimation to initialize the keys and queries. This is the initialization we adopt in this paper. The precomputed based initialization outperforms the others significantly.
Table 9. Reconstruction errors with different initializations for the keys and queries

| method          | normal | uniform | precomputed |
|-----------------|--------|---------|-------------|
| error (mm)      | 0.649  | 0.619   | 0.519       |

8. Complexity

By directly parameterizing the mapping matrices, the complexity is with quadric scale $O(n_l n_{l-1})$. By using our attention based mechanism, the complexity to model the mapping matrices is reduced to a linear scale $O(n_l + n_{l-1})$ which parameterizes the keys and queries. Thus, we provide a feasible solution to circumvent the over-parameterization problem.

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