The labor protection specialist competence influence on the professional risk management state

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Abstract. The results of the authors’ research in more than 600 organizations have shown the labor protection specialist competence influence on the occupational risk management system state and the overall labor protection management system. It is revealed that the leading role in the labor conditions and safety improving strategy choosing and formation, as well as in the scientifically based method of professional risk assessment belongs to a labor protection specialist with a certain competence. The paper describes 16 occupational safety specialists’ professional competence levels and the main factors that determine their formation. The possibilities and abilities of specialists’ with different professional competence levels to assess professional risks are considered and presented in tabular form. About 100 data of different approaches and methods for assessing occupational risks are given, from which only a competent occupational safety specialist can choose the best method for his organization. His decision influences not only the assessing and managing professional risks procedure costs, determined by the particular method choosing, but also the overall occupational safety management system effectiveness in the organization. The conducted research has shown a significant occupational safety competent specialists role in ensuring the professional risks management in the organization and allowed to link his capabilities in assessing professional risks with his professional competence level. This information can motivate the employer to pay attention to the labor protection specialists’ role in ensuring the industrial safety and take care of their timely skills improvement.

1 Introduction

The risk-based approach to occupational health management in Russia is not just a motto of the future, but an existing reality. International experience shows that the production efficiency among other issues is aligned with occupational risks identification and management [1, 2]. The existence and functioning of a modern occupational safety management system is a necessity for the employer and the regulatory authorities close attention subject. Its effectiveness depends directly on the professional risk management procedures integration.

The professional risk management system ensures workplace working conditions improvement, which leads to savings on non-production costs [3, 4]. The successful workplace safety organization based on the occupational risk levels reduction is obvious [2, 5-7].

The leading role in the professional risk assessment and management organization is assigned to occupational safety specialists who do not always possess the necessary competence. Sometimes they are not sufficiently trained and are not actually able to organize an effective occupational risk management system in the company, because they do not have sufficient knowledge for this, which does not allow for an acceptable occupational safety management system functioning level. This circumstance testifies to the relevance of the working conditions and labor protection state dependence problem in the organization on the labor protection specialists’ competence level studied by the authors.

2 Methods

The research used factor and statistical analysis methods, synthesis, method of principal components

The professional risk management system state studies in more than 600 organizations employing more than 1,000 occupational safety specialists in 18 regions of Russia, Western Siberia and the Khanty-Mansi Autonomous Area-YUGRA for the period 2017-2019 were conducted.

3 Results

The professional risk management system implementation in Russian organizations and its integration into occupational health and safety management systems, quality management systems and occupational safety and health management systems is an urgent task related to a number of methodological and
competency-based problems. Appropriate tools are needed to solve these problems and minimize risks.

In addition to the technical and technological factor of reducing occupational risks, it is necessary to take into account the human and organizational factors [8–10]. Competence potential as a tool for reducing the accidents number and severity is an axiom [11–14]. At this point the occupational safety specialists’ professional competence becomes one of the main tools for effective occupational risks management.

The accumulated experience using in the professional risks assessing and managing in international companies has led to the practice of simple step-by-step procedures by safety managers in organizations. However, in the Russian legislation in the professional risk management methodology and its practice application field not all is unambiguously. Labor protection specialists face problems that are difficult or impossible to solve at the current level of their professional competence.

Therefore, the occupational safety specialists’ assessment by the degree of readiness and ability to implement their competencies in the professional risks identification, assessment and management field, and their basic knowledge state can give employers and top managers the necessary tools to improve the professional risk management system effectiveness.

The competent occupational safety specialist role affects the organization strategy choosing and formation for working conditions and safety improving on the ground of scientifically based approach to professional risks managing.

The ambiguity of a professional risk assessment method choosing that is suitable for this industry and production activities specifics are explained by the following circumstances.

Firstly, there is no unified methodology in Russia, and at the same time a significant number of different methodological approaches to professional risk management are proposed. In such event, the employer has the right to choose an effective method for his production, but labor protection specialists have their first difficulties here. Today in Russia there are about 100 methods and approaches to professional risk assessment prepared and proposed for employers [15].

Professional risks are assessed:

– by direct and indirect method;
– using a qualitative, quantitative, or semi-quantitative method;
– by normative, scientific and methodological, expert or international method;
– by the individual risks forecasting method, group risks forecasting method or the whole organization risks;  
– by the individual method with or without account taken of employees personal data.

It is difficult for most occupational safety specialists to study them and choose the best one for their organization.

Secondly, there are still conceptual problems with risk assessment. The various methodology conceptual aspects increase the methods analyzing complexity and complexity of choosing the optimal one for implementation in production.

For example, risks are assessed in the occupational medicine context (employees health deterioration risk), in the safety context (industrial risks), in the labor protection context (occupational risks), in the social insurance fund context (organizational professional risk classes), in the effective management context (risk management).

At this stage, an insufficiently competent occupational safety specialist is aware of the lack of their basic professional knowledge and is not able to make the right decision that the employer needs [16].

This leads to a third group of problems – the competence group. The personality influence studies as the main factor in preventing accidents [13, 17] prove that effective professional risks management requires not only highly qualified managers, but also highly qualified labor protection specialists. Therefore, in addition to the methodological nature problems, according to the authors, there are problems associated with the low organizations labor protection specialists professional competence, on which the direct and leading participation in the effective professional risk management system organization for certain production depends.

According to the "Occupational Safety Specialist" professional standard occupational safety specialist of 6th qualification level should identify, analyze and manage professional risks in the organization; occupational safety specialist of 7th qualification level should evaluate the occupational safety and occupational risk management systems effectiveness, develop corrective actions, develop and improve the effectiveness of these systems. Thus, this professional standard also creates a need in high labor protection professional competence level specialists for organization.

The authors’ research has shown that the occupational safety specialists’ professional competence level in the organization in the field of determining occupational risks will depend on:

– labor protection specialist basic education;
– professional development, including identification and methodology of professional risk assessment;
– work experience as a labor protection specialist in the organization, including experience in the field of professional risk assessment;
– corporate standards and procedures for professional risk management systems;
– motivation of heads and managers at all levels in the company.

It is revealed that the labor protection specialists professional competence level, their work experience, ability to train and motivate organization’s employees – often determine the methodology choosing for assessing professional risks and the effectiveness of risk management. Also, the research conducted by the authors has shown that the leading factor in the professional competence formation is basic education.

The using of the author's 16-level of occupational safety specialists professional competence scale for managing occupational risks by the basic education factor is shown in table 1. The conducted research
allowed defining and describing the labor protection specialists’ professional competence levels without taking into account the industry specifics of enterprises, the number of employees in the organization, the region where the enterprise is located, etc. (Table 1).

**Table 1.** Occupational safety specialists’ professional competence levels.

| Level | Labor protection specialists professional competence main factors formation description | Levels’ estimation | The analysis of possibilities and difficulties in occupational risks assessment | Ability to manage professional risks |
|-------|------------------------------------------------------------------------------------------|------------------|--------------------------------------------------------------------------------|------------------------------------|
| 1     | Higher education in “Technosphere safety” (hereinafter referred to as occupational safety) (postgraduate, doctoral studies), labor protection specialist independent certification (hereinafter referred to as certification) and experience as a labor protection specialist for at least 3 years | 1                | It will not cause any difficulties                                                   | The specialist is able to manage professional risks |
| 2     | Higher education in occupational safety (postgraduate studies) and certification         | 2                | It will not cause any difficulties. Practical experience in the organization will be needed | The specialist is able to manage professional risks by having experience |
| 3     | Higher education in occupational safety (postgraduate course)                            | 3                | Risk assessment is possible                                                           | The specialist is able to manage professional risks in certain circumstances |
| 4     | Higher education in occupational safety (master's degree) and certification               | 4                | Risk assessment is possible. It will cause difficulties of practical nature           | The specialist is able to manage professional risks in certain circumstances, but difficulties occur |
| 5     | Higher education in occupational safety (master's degree)                                 | 5                | Risk assessment is possible. It will cause difficulties of methodological nature      | The specialist is able to manage professional risks in certain circumstances |
| 6     | Higher education in occupational safety and certification                                 | 6                | Risk assessment is possible. It will cause difficulties of methodological and practical nature | The specialist is able to manage professional risks in certain circumstances |
| 7     | Higher education in occupational safety                                                   | 7                | Only formal risk assessment can be executed (according to sample)                    | The specialist is not able to manage professional risks |
| 8     | Higher education in the sciences, additional professional education (retraining) in occupational safety and certification | 8                | Risk assessment is impossible                                                        | The specialist is incompetent in this field |
| 9     | Higher education in the sciences and additional professional education (retraining) in labor safety | 9                |                                                                                      |                                    |
| 10    | Higher education in the sciences and advanced training                                     | 10               |                                                                                      |                                    |
| 11    | Higher education in the humanities, additional professional education (retraining) in occupational safety and certification | 11               |                                                                                      |                                    |
| 12    | Higher education in the humanities, additional professional education (retraining) in occupational safety | 12               |                                                                                      |                                    |
| 13    | Higher education in the humanities and advanced training                                   | 13               |                                                                                      |                                    |
| 14    | Specialised secondary education, additional professional education (retraining) in occupational safety and experience as a labor protection specialist for more than 5 years | 14               |                                                                                      |                                    |
| 15    | Specialised secondary education, additional professional education (retraining) in occupational safety | 15               |                                                                                      |                                    |
| 16    | Specialised secondary education and advanced training                                      | 16               |                                                                                      |                                    |

**4 Discussion**

As a result of researches conducted on the basis of Omsk State Technical University, it can be claimed that specialists in labor protection with a bachelor's degree will find it difficult to assess and manage professional risks in the organization. They will face both methodological and practical difficulties. For specialists with a master's degree, this task can be solved. However, practical experience in the organization is necessary. The occupational safety specialists’ professional competence levels that will allow them to solve these tasks are presented in Table 2.

**Table 2.** Ability to manage professional risks.

| Professional competence levels | Levels’ estimation | The analysis of possibilities and difficulties in occupational risks assessment | Ability to manage professional risks |
|-------------------------------|------------------|--------------------------------------------------------------------------------|------------------------------------|
| 1                             | High             | It will not cause any difficulties                                                   | The specialist is able to manage professional risks |
| 2                             | Medium           | It will not cause any difficulties. Practical experience in the organization will be needed | The specialist is able to manage professional risks by having experience |
| 3                             | Low              | Risk assessment is possible                                                           | The specialist is able to manage professional risks in certain circumstances |
| 4                             |                  | Risk assessment is possible. It will cause difficulties of practical nature           | The specialist is able to manage professional risks in certain circumstances, but difficulties occur |
| 5                             |                  | Risk assessment is possible. It will cause difficulties of methodological nature      | The specialist is able to manage professional risks in certain circumstances |
| 6                             |                  | Risk assessment is possible. It will cause difficulties of methodological and practical nature | The specialist is able to manage professional risks in certain circumstances |
| 7                             |                  | Only formal risk assessment can be executed (according to sample)                    | The specialist is not able to manage professional risks |
| 8                             |                  | Risk assessment is impossible                                                        | The specialist is incompetent in this field |

Thus, the occupational safety specialists’ professional competence directly affects the effectiveness of occupational safety and occupational risk management systems in the organization. Without
higher education in "Technosphere safety", it is not possible to choose a scientifically based methodology and assess professional risks. Only professional retraining cannot give students the necessary competencies for this. In addition, the occupational safety specialist’s qualification level, the form of training (full-time, part-time) and the training duration play a significant role.

The authors’ research also showed:

- occupational safety specialists professional competence level is reduced by one step without regular and effective professional development;
- work experience from one year to 3 years increases the existing level by one step;
- work experience of more than 5 years simultaneously with regular and effective advanced training increases the professional competence level by two levels.

Thus, if the labor protection services specialists are assigned the assessment, analysis and effective risk management tasks, then the methodological complexity will consist in the scientifically-based choosing of appropriate methodology. There is a need to choose the optimal and effective method from a large list of authors’ approaches recommended by various sources and international approaches for a particular organization. Therefore, the labor protection specialist professional competence should be of a high level.

Therefore, the labor protection services competence potential objective assessment and their professional competence improvement necessary range identification can serve as a tool for employers and top managers to improve the occupational risk management system effectiveness and the overall labor protection management system effectiveness in organizations.

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