Composition of Caatinga Species Under Anthropic Disturbance and Its Correlation With Rainfall Partitioning

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Abstract

The vegetation structure is a good indicator of the conservation condition of an ecosystem, since it reflects alterations caused by anthropic action. This study proposes to analyze the phytosociological aspects of the Caatinga domain under anthropic disturbance and their correlations with hydrological variables. Twenty-five 400 m\(^2\) plots were sampled in the municipality of Floresta - PE, Brazil. Phytosociological parameters such as density, frequency and dominance were calculated. The seasonality of plant area index was analyzed for six species. The association between structural characteristics and hydrological variables (throughfall, stemflow and interception loss) in the species was evaluated by multivariate analysis. A total of 930 individuals, six families and 10 species were recorded. The abundance of the species *Cenostigma pyramidale* in the area may be an indicator of the degree of change in the vegetation. The structural characteristics of the species revealed little association with rainfall partitioning.

**Keywords:** phytosociology, plant area index, semi-arid.

1. INTRODUÇÃO

The Caatinga is a domain found exclusively in the semi-arid region of Brazil. Its features differentiate it from the main biomes of the world, with species highly heterogeneous and endemic, dominated by arboreal and shrubby plant species. It has thorny and herbaceous succulent plants that during dry periods lose their leaves in response to weather conditions (Beuchle et al., 2015; Queiroz et al., 2019).

The Caatinga Domain has suffered intense anthropogenic action over time (i.e., deforestation, logging and implementation of agricultural crops), and today it is estimated that half of its original surface has already been modified (Schulz et al., 2016; Vieira et al., 2013). The climatic seasonality also affect the plant cover, promoting alterations on phytosociology, and the floristic composition of the domains (Ferreira et al., 2016).

Studies of floristic and phytosociological compositions allow to know the performance of different physiognomies, to simulate future scenarios of the floristic composition, phytosociological structure, regeneration of different plant communities and of the biomass, and to subsidize forest management plans (Martins et al., 2017; Melo et al., 2019). Sampaio and Silva (2005) cited several phytosociological studies of Caatinga, generating accurate data on plant measurements in various environments.

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The vegetation structure can be used as an indicator of an ecosystem's conservation state. Thus, a forest inventory with multiple measurements of floristic and structural parameters is often the only way of predicting the components of vegetation changes over time (Batista et al., 2015; Rodrigues et al., 2016).

The type of vegetation in ecosystems influences the redistribution of local water resources. Therefore, interception loss is an important hydrological agent (Zhang et al., 2015). The factors controlling the distribution of rain water upon interaction with plant canopies are numerous and complex, and they vary across species, land use, meteorological conditions, precipitation characteristics (Ávila et al., 2014; Siegert et al., 2016). Moreover, physiological and morphological characteristics related to forest composition and leaf canopy seasonality control rainfall partitioning (Siegert et al., 2016).

The aim was to investigate the floristic composition and phytosociological structure in an anthropized Caatinga area in the State of Pernambuco, Brazil, and to identify which structural variables affect the rainfall partitioning. This information expands the database on anthropized Caatinga fragments.

2. MATERIAL AND METHODS

2.1. Study area

The study was conducted in the municipality of Floresta (08°18’31”S, 38°31’04”W, 380 masl), Sertão Central region of Brazil, in the State of Pernambuco (Figure 1). The climate of the region is a semi-arid BSwh’ type, according to the Köppen classification (Alvares et al., 2013). The annual rainfall is approximately 489 mm, average temperature of 26 °C, with minimum and maximum of 20.4 and 33.3 °C, in this order and a daily average evapotranspiration equal to 5.5 mm.

The natural vegetation in this region is described as Shrub Savanna-steppe (Caatinga) (Melo et al., 2019) with a height between 3 and 15 m, and which during the wet period exhibit native tree-like shrub and herbaceous stratum species, while in the dry period show leafless tree-like shrub species and bare soil. The experimental site is located in an area which underwent several years of selective wood extraction and which has a highly heterogeneous landscape characterized by tree-shrub vegetation, with deciduous species. Extensive livestock activities are also performed in the region, with grazing cattle, goat and sheep.

![Location map of the study site in the District of Floresta, PE, in the central hinterlands of Brazil.](image-url)
2.2. Floristic composition and phytosociological survey

Twenty-five 20 × 20 m (400 m²) experimental plots were selected and sampled with at least 0.50 m border (roads, trails, rocky outcrops, etc.). All live shrub-tree individuals (except species of the cactus family) with a circumference at breast height (CBH), at 1.3 m above the soil ≥ 0.6 m were counted and identified. All species were classified according to the Angiosperm Phylogeny Group (2016) system.

The CBH(i) and circumference at 0.3 m CBL(i) were converted to diameter at breast height (DBH(i)) and diameter at soil level (DBL(i)). Next, were then calculated individual basal area (BA(i)), individual crown area (CA(i)), basal area per unit area (BAA(i)) and relative basal area (BAr(i)), assuming circular shape (Albuquerque et al., 2015). Estimates of biomass (BM(i)) were obtained by allometric equations, as proposed by Sampaio and Silva (2005).

The following variables were calculated for the species: Absolute frequency (Fa(i), %), relative frequency (Fr(i), %), absolute density (Da(i), %), relative density (Dr(i), %), abundance (A(i)), relative abundance (Ar(i)), importance value index (IVI(i)), relative importance value index (IVIr(i)), absolute dominance (DOa(i)) and relative dominance (DOr(i)), based on the dry biomass of the individuals (Rodal et al., 2008a).

Additionally, were obtained: number of species in the vegetation (v), number of individuals, total density (individuals ha−1), average height (H(v), m), average total basal area (TBA(v), cm² ha−1), average crown area (CA(v), m²), dry biomass per area unit (BM(v), kg ha−1). Heterogeneity and floristic diversity were quantified using diversity index (Shannon index, H', nats species−1) and Pielou evenness index (EH') (Júnior Pereira et al., 2014).

2.3. Seasonality of Plant Area Index

The vegetation cover seasonality was assessed based on the estimated Plant Area Index (PAI). For this, photosynthetically active radiation (PAR) was measured using a ceptometer (LP-80, Decagon Devices Inc., Pulman, USA). One reading of incident PAR (open areas) and four readings of PAR transmitted (below the vegetative canopy) were obtained from each of the 27 monitored individuals, totaling 135 readings for each date of measurement, for 14 different dates, from March 2016 to September 2017.

Uninterrupted measurements of PAR were also taken using linear quantum sensors (LI-190SB Quantum sensor, Li-cor, Nebraska, USA) installed above and below the canopy of plants. After the ceptometer and quantum sensor readings were obtained, the intercepted fraction of PAR was calculated according to Equation 1:

\[ f_{\text{PAR}} = 1 - \frac{\text{PAR}_{\text{below}}}{\text{PAR}_{\text{above}}} \]  

The \( f_{\text{PAR(ceptometer)}} \) data were correlated to the corresponding values of \( f_{\text{PAR(plant)}} \). Resulting in linear equations:

\[ f_{\text{PAR(i)}} = a \cdot f_{\text{PAR(plant)}} + b \]  

where “a” and “b” are coefficients of the equation which varied according to species. This method made it possible to estimate the daily \( f_{\text{PAR(i)}} \) and thus determine the PAI per species throughout the period from October 2014 to October 2017.

2.4. Measurements of gross rainfall, throughfall and stemflow

In the period from March 2016 to September 2017, four processes involved in rainfall partitioning in the Caatinga domain were monitored, namely, gross rainfall (GR), throughfall (TF), stemflow (SF) and interception loss (I).

The GR corresponds to the water volume precipitated before interaction with the plant, and was obtained using a rain gauge (CS700-L, Hydrological Services, Liverpool, Australia) installed at a height of 8 m, at the top of a micrometeorological tower, connected to the datalogger. TF was measured by rain gauges made of PVC with a capture area of 707 cm² which were positioned randomly at a height of 1.0 m above the soil surface, beneath the canopy. The gauges were positioned in different places periodically (Vernimmen et al., 2007). Stemflow was collected by zinc structures adapted to plant trees with a circumference greater than 20 cm at breast height, due to better adherence (Figure 2).

TF and SF were measured in five predominant species: *Spondias tuberosa*, *Commiphora leptophloeos*, *Cnidoscolus quercifolius*, *Aspidosperma pyrifolium* and *Cenostigma pyramidale* (three replicates per species).

Collections consisted of one or more events, depending on the possibility of displacement to the experimental area.
The volume was converted to SF by dividing it by the canopy projection area (Zabret et al., 2018). Interception loss (in mm) is expressed as the GR that does not reach the soil and is retained in the canopy (Equation 3) (Zhang et al., 2015, 2016):

\[
I = GR – TF – SF
\]  

Thus, individual rainfall was accounted for 32 rain events. The accumulated values of rain partition components for five Caatinga plant species (each species contained three replicas) are presented in Table 1.

| Species          | Throughfall | Stemflow | Interception loss |
|------------------|-------------|----------|-------------------|
| S. tuberosa      | 351.85±10.18| 4.05±0.05| 150.31±3.28       |
| C. leptophloeos   | 444.31±11.76| 4.05±0.05| 59.88±3.13        |
| A. pyrifolium    | 412.58±11.68| 6.01±0.25| 89.15±3.17        |
| C. quercifolius  | 433.53±12.63| 3.29±0.10| 70.59±4.18        |
| C. pyramidale    | 413.65±11.12| 2.65±0.14| 90.14±3.10        |

The same measurements performed in the forest inventory \( \text{DBH}_i, \text{H}_i, \text{CA}_i, \text{BM}_i \) were performed in the trees selected for hydrological measurement. Additionally, the number of shafts was counted and the PAI was estimated per individual. These were the data used in the following step: multivariate analyzes.

2.5. Statistical analyses

The following multivariate analyses were employed for the association between structural characteristics of the vegetation \( \text{CA}_i, \text{PAI}_i, \text{DBH}_i, \text{SHF}_i, \text{H}_i, \text{BM}_i \), representing the explanatory group and, hydrological variables \( \text{TF}, \text{ST} \) and \( I \), considered as the response group: Pearson’s matrix, multicollinearity, canonical analysis and path analysis, as described in detail in Queiroz et al. (2019). For that, the average values of the structural characteristics of the species and the cumulative values of the hydrological variables obtained for the same species were used. To obtain the averages of the species, we used data from the 27 monitored individuals, representing the five studied species. The analyses were performed in “GENES” software (Cruz, 2006) and the graphs were designed using SigmaPlot®14 software (Systat Software Inc.).

3. RESULTS AND DISCUSSION

3.1. Floristic composition and phytosociological survey

The total 930 individuals per hectare of 10 shrub-tree species were sampled and grouped into six botanical families, namely, Fabaceae, Apocynaceae, Euphorbiaceae, Anacardiacea, Burseraceae and Bignoniaceae. The first three families contained 415, 280 and 200 individuals, respectively, and can be considered the most abundant ones. Euphorbiaceae was the most species-rich, including three out of the 10 identified species (Table 2).

Phytosociological surveys conducted in several Caatinga environments showed that the families Fabaceae, Anacardiaceae and Euphorbiaceae are the most species-rich (Ferraz et al., 2013; Sabino et al., 2016). This fact was confirmed in the present study, where, despite presenting only one species, Fabaceae was the family with the highest number of individuals, whereas the families Anacardiaceae and Euphorbiaceae stood out most (three species each).

Melo et al. (2019) found in an area of Caatinga in the municipality of Floresta that the Fabaceae family had the largest number of individuals, and may be considered great...
richness in studies conducted in seasonally dry tropical forests, due to their adaptation to severe periods of drought. In the Caatinga, deciduous trees to remain with leaves in periods of lower soil moisture, such as C. pyramidale (Fabaceae) and A. pyrifolium (Apocynaceae) (Queiroz et al., 2019). These species are abundant in degraded areas after cutting or burning the Caatinga, and are considered abundant in environments affected by desertification (Souza et al., 2015). Sabino et al. (2016) found in two fragments of an area of anthropized Caatinga (open tree shrub caatinga) that the Fabaceae and Euphorbiaceae families were the richest in species, concentrating 55.7% and 23.8% of the total sampled individuals.

Three species stood out with the highest number of representatives, which contributed to a higher relative abundance (Ar), namely, C. pyramidale (38%), A. pyrifolium (26%) and J. mollissima (14%). Also, along with C. blanchetianus, these species exhibit shrub-like physiognomies, showing lower H(i), DBL(i) and ABI(i), but accounting for 79% of the IVI(i) (Table 2). Dominant taxa were observed, with 88% of the identified individuals belonging to only three species. Data analysis pointed C. pyramidale as the species holding higher IVI(i), among the studied. Species like C. pyramidale and A. pyrifolium are ecologically dominant and pioneer. Resistance to drought, low-quality wood for coal production and low palatability for domestic animals protect these species against anthropic action (Ferraz et al., 2014; Pereira et al., 2003; Souza et al., 2015), except in the dry periods, when the senescent leaves are a food source for herd (Parente et al., 2012; Souza et al., 2015). Rodal et al. (2008b) mentioned that C. pyramidale is at the top of the Caatinga domain species, whereas A. pyrifolium is structurally relevant in drier Caatinga environments. The higher IVI(i) shows that C. pyramidale was more successful in using the resources of the area, indicating its high competitiveness in anthropized environments.

The H’ and EH’ values were 2.13 nats species⁻¹ and 0.93, respectively, indicating the alpha diversity of the community (Table 2). The values obtained in the present study were higher than those found by Sabino et al. (2016), which ranged between 1.76 and 1.92 for H’ and 0.62 and 0.63 for EH’. H’ and EH’ indices explain plant community diversity. Thus, the values observed in the present study are close to those obtained in anthropized Caatinga areas, as presented by Sabino et al. (2016). Those authors reported H’ values in the range of 2.04 to 2.54.

Diversity indices are influenced by several factors such as sampling methodology, inclusion level, area size and number of sample plots, so the comparison between areas should be made with caution (Melo et al., 2019). It is important to highlight that the Caatinga areas in northeastern Brazil have varying characteristics between regions. Therefore, studies on the floristic composition, richness and structure of the species in the semiarid region are necessary to characterize these environments.

Table 2. Phytosociological variables of species and of the shrub-tree community of the Caatinga domain in the municipality of Floresta, State of Pernambuco, Brazil.

| Family/Species | NI | CBI(i) | CBH(i) | DBL(i) | DBH(i) | H(i) | BA(i) | CA(i) | BAA(i) | BA(i) |
|----------------|----|--------|--------|--------|--------|------|-------|------|--------|-------|
|                |     | cm     | cm     | mm     | mm     | m    | cm²   | m²   | m² ha⁻¹ | -    |
| Fabaceae/Cenostigma pyramidale (Tul.) Gagnon & Lewis | 415 | 23±12  | 17±12  | 7±4    | 5±4    | 4±1  | 52±63 | 4±1  | 3       | 0.31  |
| Apocynaceae/Aspidosperma pyrifolium Mart. | 280 | 20±8   | 14±7   | 6±3    | 5±2    | 3±1  | 37±38 | 3±2  | 2       | 0.19  |
| Euphorbiaceae /Jatropha mollissima (Pohl) Baill. | 90  | 16±4   | 11±3   | 5±1    | 3±1    | 3±1  | 21±12 | 1±1  | 0       | 0.02  |
| Euphorbiaceae /Croton blanchetianus Baill. | 75  | 9±2    | 8±1    | 3±1    | 3±0    | 3±1  | 7±3   | 1±0  | 0       | 0.01  |
| Euphorbiaceae/Cnidoscolus quercifolius Pohl | 35  | 51±21  | 30±16  | 16±7   | 10±5   | 5±2  | 239±167 | 9±10 | 1       | 0.10  |
| Anacardiaceae/Spondias tuberosa Arruda | 10  | 79±23  | 59±19  | 25±7   | 19±6   | 4±0  | 506±243 | 22±12 | 1       | 0.06  |
| Burseraceae/Commiphora leptophloeos (Mart.) Gillett | 10  | 113±31 | 109±42 | 36±10  | 35±13  | 6±1  | 1058±555 | 65±40 | 1       | 0.13  |
| Anacardiaceae/Myracrodruon urundeuva Allemão | 5   | 47±5   | 42±4   | 15±2   | 13±1   | 7±1  | 177±18 | 13±1 | 0       | 0.01  |
| Bignoniaceae /Tabebuia aurea (Silva Manso) Benth. & Hook.f. ex S.Moore | 5   | 86±9   | 71±7   | 27±3   | 22±2   | 10±1 | 589±59 | 31±3 | 0       | 0.04  |
3.2. Seasonality of Plant Area Index

The PAI varied largely between the species, with higher values in *S. tuberosa*, followed by *C. leptophloeos* and *C. quercifolius* (Figure 3). The other species exhibited a low and similar PAI, all of which were characterized as shrubs. *Cenostigma pyramidale* was the species with the highest PAI. The PAI data varied in accordance with the monthly seasonality of precipitation, with a slight delay in response, especially in the rainy period of 2016. On average, the PAI values for the six monitored species, in decreasing order, were 2.11 m² m⁻² for *S. tuberosa*, 1.95 m² m⁻² for *C. leptophloeos*, 1.73 m² m⁻² for *C. quercifolius*, 1.34 m² m⁻² for *A. pyrifolium*, 1.10 m² m⁻² for *C. blanchetianus* and 0.94 m² m⁻² for *C. pyramidale* (Figure 3).
The species that exhibited the highest PAI values (S. tuberosa, C. leptophloeos and C. quercifolius) are characterized by having higher $H_\text{(i)}$, $DBH_\text{(i)}$, $CA_\text{(i)}$ and $BM_\text{(i)}$, favoring the formation of canopy (leaves and branches). For the shrub species (A. pyrifolium, C. blanchetianus and C. pyramidale), the occurrence of low $DBH_\text{(i)}$ values (< 10 cm) coupled with lower $H_\text{(i)}$ and $CA_\text{(i)}$ is responsible for the lower PAI. Those attributes confirm the structural mosaic of the Caatinga (Rodal et al., 2008a; Beuchle et al., 2015). The variation in PAI showed that the water regime is a key factor in the formation of the vegetative canopy of species, especially due to the deciduousness in response to water deficit. Pinto-Júnior et al. (2011) stated that this phenomenon is typical of domains subjected to fluctuations in precipitation, even in rainforests.

### 3.3. Association between structural characteristics and hydrological variables of the vegetation

The response hydrological variables (TF, SF and I) exhibited a correlation with the following explanatory variables: $CA_\text{(i)}$, $PAI_\text{(i)}$, $DBH_\text{(i)}$, number of shafts ($SHF_\text{(i)}$), $H_\text{(i)}$ and $BM_\text{(i)}$. After applying multicollinearity analysis and checking for severe multicollinearity, $CA_\text{(i)}$ and $PAI_\text{(i)}$ were removed from the group of explanatory variables, leaving the $DBH_\text{(i)}$, $SHF_\text{(i)}$, $H_\text{(i)}$ and $BM_\text{(i)}$ variables for canonical and path analyses. The occurrence of severe multicollinearity indicates the independent variables that should be removed from the analysis, as they are much correlated. Canonical analysis indicated that there was no association between the two groups, since the canonical axes were not significant according to the chi-squared test ($p > 0.05$). The decomposition of the correlation coefficients in path analysis (Table 3) revealed a direct negative effect of $DBH_\text{(i)}$ (−1.50) and a direct and positive effect of $BM_\text{(i)}$ (1.78) on the hydrological variable of IP. For SF, there was a direct negative effect of $BM_\text{(i)}$ (−0.46) and an indirect negative effect of $DBH_\text{(i)}$ (−0.44). Lastly, for I, $BM_\text{(i)}$ showed direct (−1.78) and indirect (−1.70) negative effects via $DBH_\text{(i)}$, whereas $DBH_\text{(i)}$ had a negative indirect effect via $SHF$ (−0.45) and a positive indirect effect via $H_\text{(i)}$ (1.44). However, a high residual error (> 0.80) was observed in this analysis (greater than the termination coefficient, <0.35), indicating that other factors influence the partition of the rainfall in the Caatinga more than the structural characteristics of the vegetation species (e.g. meteorological variables, rainfall intensity, leaf angle, leaf hydrophobicity, and water droplet retention) (Limin et al., 2015; Zhang et al., 2015; Zhang et al., 2016).

In this study, the increase in $DBH_\text{(i)}$ is in line with the $BM_\text{(i)}$ data. Commiphora leptophloeos has higher $DBH_\text{(i)}$ and $BM_\text{(i)}$ values, but its hydrological measurements are similar to those of other species, except for $S. tuberosa$ (Table 1).
Jian et al. (2014) found positive correlations between the SF of two shrub species and their structural characteristics (projected area, number of branches, height and crown volume) in an area of the semi-arid region of China (average precipitation = 420 mm). In their study, the largest species generated the highest SF. By contrast, Limin et al. (2015) studied a clove plantation in Saba River Basin, Bali, Indonesia, and did not find a significant correlation between TF and canopy opening, with low r² values.

In this study, *C. leptophloeos* has, on average, only one shaft and wide crowns; thus, despite its tall size and elevated biomass, TF and SF are facilitated, resulting in a lower I. The results of the multivariate analysis contribute to encourage future work on the influence of other structural characteristics of the species that improve the understanding of their associations with the hydrological variables of the Caatinga. The high residual error in path analysis reinforces the multiplicity of the Caatinga vegetation.

### 4. CONCLUSIONS

For the anthropized Caatinga fragment evaluated was identified that:

- The Fabaceae family presented the highest species richness;
- The *Cenostigma pyramidale* (Tul.) Gagnon & Lewis species had the highest abundance, dominance, frequency and importance value index values, being the most important phytosociological species in the community;
- Precipitation characterized the variation of plant area index, with clear differences existing between the tree and shrub species;
- The structural characteristics of the plants considered in this study did not explain the dynamics of hydrological variables (throughfall, stemflow and interception loss) of the Caatinga vegetation.
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