Gene methylation of CADM1 and MAL identified as a biomarker of high grade anal intraepithelial neoplasia

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Human papillomavirus (HPV) is detected in up to 96% of anal squamous cell cancers, where screening programs needed. However, the best methodology is still undetermined. Host DNA methylation markers CADM1, MAL and miR124 have been identified in cervical disease, but not anal disease. Anal swabs varying by disease grade were assessed for DNA methylation of CADM1, MAL and miR124-2. Each marker was compared across disease grades, stratified by HPV and HIV status. Receiver operating characteristic curves identified the predictive value of significant gene candidates. CADM1 methylation was significantly higher in high-grade squamous intraepithelial lesions (HSIL) compared with low-grade (LSIL) (p = 0.005) or normal (p < 0.001) samples with 67.2% correctly identified as HSIL. MAL methylation was significantly (p = 0.002) increased in HSIL compared with LSIL in HIV positive participants with 79.8% correctly indicated as HSIL. Gene miR124-2, showed no difference between disease grades. Biomarkers with established diagnostic value in cervical disease have limited utility in the prediction of anal disease, with CADM1 identified as a marker with screening potential in a gay and bisexual men (GBM) population and MAL in HIV positive GBM population. New markers specific to the anal mucosa are required to improve triage of high-risk individuals.

Abbreviations
HPV  Human papillomavirus
LSIL  Low-grade squamous intraepithelial lesion
HSIL  High-grade squamous intraepithelial lesion
ASCC  Anal squamous cell carcinomas
HRHPV  High-risk HPV
GBM  Gay and bisexual men
HRA  High-resolution anoscopy
ROC  Receiver operating characteristic
AUROC  Area under the receiver operating characteristics
SPANC  Study of Prevention of Anal Cancer
LAST  Lower Anogenital Squamous Terminology
qMSP  Quantitative methylation-specific PCR

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Human papillomavirus (HPV) causes approximately 88% to 96% of anal cancers\(^1,2\). The majority of anal cancers arise from the squamo-columnar junction of the anal canal and are predominantly anal squamous cell carcinomas (ASC).\(^3\)

Rates of ASCC in the general population are low, at less than 2 per 100,000 person years, with slightly higher rates in women compared with men\(^4\). Incidence has been steadily increasing over the past three decades\(^5\), although, recent data has suggested ASCC in people with HIV have decreased over the past decade (2008–2012), thought to be due to improved HIV treatments\(^6\). Vaccination against high-risk HPV (HRHPV) genotypes that cause the majority of anal cancers, when administered prior to HPV exposure, are highly effective in reducing the incidence of the precursor lesion, anal high-grade squamous intraepithelial lesions (HSIL)\(^7\). However, the progress of introduction of gender neutral vaccine programs is slow\(^8\), as such a reduction in anal cancer rates will not be seen for many decades\(^7,9\). Among the high-risk groups predicted to benefit from effective screening, gay and bisexual men (GBM) are the most studied as HIV negative GBM are up to 20 times and HIV positive GBM are up to 100 times more likely to develop anal HSIL and/or ASCC than heterosexual men. Other high-risk populations with increased rates of ASCC include women and heterosexual men with HIV infection (12-fold higher), women with previous lower genital tract disease related to HPV, and transplant recipients\(^10–12\).

Current screening algorithms are based on anal cytology assessment, followed by high-resolution anoscopy (HRA) guided biopsy and histology as a diagnostic tool. Performance of anal cytology as a screening test for anal cancer prevention has been demonstrated to vary depending on the population and screening protocols to define risk of cancer development\(^13\). A recent meta-analysis evaluated the performance of anal cytology in women and men and identified an overall sensitivity and specificity of atypical squamous cells of undetermined significance or higher positive cytology for the detection of AIN2 or higher at 77.3% and 55.5%, respectively\(^14\). Furthermore, the use of HRA-guided biopsies are considered imperfect due to anal mucosal folds and coexisting pathology\(^15\). There is also a lack of treatment options available. Consequently, there are no universally accepted guidelines for anal screening\(^14\).

HRHPV DNA detection has been adopted for cervical screening in many countries being more objective and sensitive to cytology\(^16\). The application of HRHPV DNA detection as a primary test for anal screening in high-risk populations may be limited, due to the high prevalence of anal HRHPV and the presence of multiple HPV types, resulting in high sensitivity but poor specificity\(^14,17–19\).

Cellular biomarkers are indicative of underlying biological changes as a result of modulation of oncogenic gene regulation during carcinogenesis, and may be used as markers of neoplastic transformation of cells\(^20\). The methylation of CpG sites for cell adhesion molecule 1 (CADM1), T-lymphocyte maturation associated protein (MAL) and the micro RNA 124-2 (miR124-2) are promising biomarkers in HPV-related cervical intraepithelial neoplasia (CIN)\(^21\) but have had limited evaluations in HPV-related anal disease, with only a single study investigating miR124-2\(^22\). These three genes have been previously identified as tumour suppressors, mediators of organised epithelial cell growth and potent immune system regulators\(^23–29\).

This study aimed to examine the performance of previously identified cervical cancer methylation markers (CADM1, MAL, and miR124-2) in anal HSIL, using samples collected as part of the Study of the Prevention of Anal Cancer (SPANC)\(^3\). This was used to determine how well these methylation markers predict anal HSIL, as a potential triage test alone and in combination with HRHPV positivity and HIV status.

### Results

#### Study participants

From the 617 SPANC participants with collected baseline anal cytology samples, 454 were included in this analysis (4 participants without consent, 86 participants were lost to follow-up, 17 samples with unassessable baseline HPV results and 56 samples with insufficient remaining sample). The median age was 49 years (range 35–75 years) and 36% were HIV positive. Of the total, 429 (94.5%) samples had valid host DNA methylation results (Table 1) and 315 (73.4%) tested positive for HRHPV. The highest degree of abnormality diagnosed was HSIL n = 117 (27%), LSIL n = 26 (6%) and normal n = 286 (66.7%) (Table 1).

#### Methylation of the gene CADM1 differentiates between HSIL and LSIL/normal samples

CADM1 methylation was significantly different across all samples when stratified by disease (p < 0.001) (Fig. 1, panel A1). A further group specific analysis (Wilcoxon), also revealed that CADM1 methylation from anal swab samples from men with HSIL was significantly different compared to those with both LSIL (p = 0.005) and normal samples (p < 0.001) (Fig. 1, panel A1). There was also a significant difference between HSIL and LSIL (p = 0.024) and HSIL and normal (p = 0.002) when the analysis was limited to HRHPV positive samples only (Fig. 1, panel A2).

The AUROC for the methylation of the gene CADM1 was 67.2% for HSIL over LSIL and 60.4% for HSIL over normal (Fig. 2A). Also, a comparison of HRHPV positive samples indicated an AUROC of 67.3% for HSIL over LSIL and 61.8% for HSIL over normal (Fig. 2B). Furthermore, similar AUROC (between 60 and 62%) were determined for comparisons between HSIL and normal for HIV negative and positive participants including all samples (Fig. 2A) and HRHPV positive samples only (Fig. 2B). Most significantly, in HIV positive men, CADM1 methylation distinguished HSIL from LSIL with an AUROC of 73.3% (Fig. 2A) and in HIV positive men with HRHPV infections, the AUC was 78.2% (Fig. 2B).
Methylation of the gene MAL differentiates between HSIL and LSIL for HIV positive men. An overall analysis (Kruskal–Wallis) in the percentage methylation of MAL identified a significant difference in HIV positive only samples \( p = 0.041 \) respectively; Fig. 3, panel C1). Further individual analysis in all samples identified HSIL as significantly different to LSIL \( p = 0.0065 \). This was also observed in HIV positive group \( p = 0.0023 \).

Further comparative analysis of each group (all samples and HRHPV positive samples) stratified by HIV status identified that only HIV positive men had significant differences \( p = 0.0023 \) (Fig. 3, panel C1). Within the HIV positive group, methylation in HSIL was significantly different to LSIL \( p = 0.0018 \) (Fig. 3, panel C2) and HRHPV samples \( p = 0.0018 \) (Fig. 3, panel C2), however there was no difference when compared with normal samples (Fig. 3, panel C2); (as observed in the overall group). The AUROC for the methylation of the gene MAL was 67.6% for HSIL over LSIL (Fig. 4). Furthermore, HSIL compared with LSIL in HRHPV, and HIV positive anal swab samples indicated an AUROC of 78.9%.

**Table 1.** Samples included in analysis categorised by composite disease grade.

| Highest grade | Anal swabs | HRHPV positive | HRHPV positive |
|---------------|------------|----------------|----------------|
|               | Total HIV−| HIV+ Total HIV−| HIV+           |
| HSIL          | 117 (27)  | 52 (19)        | 65 (40)        |
| LSIL          | 26 (6)    | 15 (6)         | 11 (7)         |
| Normal        | 286 (67)  | 200 (75)       | 86 (53)        |
| Total valid   | 429       | 267            | 162            |
| Failed methylation | 25 | 15 | 10 |
| Total tested  | 454       | 282            | 172            |

**Figure 1.** Percentage Methylation of gene CADM1. All samples represented by HRHPV positivity and HIV status. Comparisons assessed by the nonparametric Wilcoxon test, with error bars corresponding to the first and third quartiles (the 25th and 75th percentiles).
The methylation of gene *miR124-2* is not predictive of anal HSIL in GBM. The methylation of the promoter region for *miR124-2* compared with composite histologically and cytologically defined anal disease identified no significant differences by anal disease grade between any of the subgroups analysed, including HRHPV positive samples or HIV status (Fig. 5).

The value of different screening algorithms to predict histologically confirmed HSIL. The utilisation of percentage methylation for gene *CADM1* and *MAL* in potential screening algorithms was assessed in combination with and without HRHPV positivity and/or cytological HSIL. Overall, the addition of cytology results had limited effect on the AUROC value of gene methylation (Fig. 6). Cytology alone had the highest AUROC within histologically-confirmed HSIL (68.8%) (Fig. 6A). Using multiple screening markers, *CADM1* in combination with HRHPV positivity had an AUROC value of 62.3% for histologically-confirmed HSIL (Fig. 6A). Within the HIV positive population, the highest AUROC was cytology alone (70.1%) (Fig. 6B). Using a combination of different markers lowered the AUROC value with all markers only achieving 56.8% in histology confirmed HSIL (Fig. 6B). Cut-off values for each methylation marker were also assessed, however no combination tested improved the AUROC percentage above cytology alone (Supplementary Fig. 1).

Discussion
In the current study, men with HSIL had an increase in the host DNA methylation of CpG sites within promoter regions for the gene *CADM1* and, to a lesser extent, *MAL*. Among HIV positive individuals only, gene *MAL* had significantly less gene methylation in men whose highest grade of disease was LSIL compared with both normal histology and HSIL. This limits the utility of *MAL* as a biomarker of disease detection.

The methylation of CpG sites for both *CADM1* and *MAL* have previously been indicated as potential biomarkers in HPV-related cervical intraepithelial neoplasia (CIN) with reports of 78% specificity and 70% sensitivity in women with HRHPV infections for histological CIN3+30. This is the first report of these markers being associated with anal HSIL in men and identifies their potential to be included in combination with other biomarkers for anal cancer screening programs.

The predictive value of *CADM1* methylation for the determination of HSIL indicated that approximately 67% of anal samples would be correctly diagnosed as HSIL with this single marker, with no difference between HIV or HRHPV status.

Other studies of gene methylation markers from anal HSIL and cancer in HIV positive and negative men include *p16, Ki-67, ASCL1, SST, ZIC1, ZNF582* and the HPV E4 gene where significant differences have been reported from tissue samples31–33. The methylation of the CpG promoter region of gene *MAL* was observed to be significantly increased only in HIV positive GBM with HSIL (p ≤ 0.01) as compared with those with LSIL, but not when compared to normal samples or in HIV negative participants. Changes to the expression of the *MAL* gene have previously been identified in several different cancers with early reports identifying *MAL* as a tumour suppressor34. Subsequent reports have also identified decreases in MAL expression observed in cervical, colon, breast, stomach, bladder, salivary gland, head and neck and non-small cell lung cancers35. In the current
report, the significant increase in MAL methylation in HSIL compared with LSIL in HIV positive individuals is perplexing, due to the similar methylation patterns between normal and HSIL samples. This unusual progression of changing methylation between normal, low and high grade disease in HIV positive GBM could be due to the MAL protein acting as a raft for other oncogenic proteins, as proposed by Lara–Lemus. However, the limitation of this change to HIV-positive individuals could also be due to HIV-related changes in T-lymphocytes. Individuals infected with HIV experience a sustained hyper immune activation, triggered by changes in T cell dynamics and function. It is possible that during acute infections of HPV, HIV-positive individuals have a varied response to acute HPV infection compared with HIV negative individuals.

Although, the significant findings of ZNF582 with a 93% specificity for ≥ AIN3 does show promise for the diagnostic potential of methylation markers in the anal mucosa. In addition to these strictly male gender assigned at birth and currently identifying as male studies, further analysis of the gene EPB41L3 and HPV16 in anal samples from HIV positive and negative men and women identified a combined sensitivity of 90.6%, specificity of 50.7% and AUROC of 0.82 in identifying HSIL and cancer from normal tissue samples. It is important to note that these anal specific studies all utilised tissue samples whereas the current study focuses on swab samples. This difference is an important point of difference. If a methylation marker is to be utilised as a screening tool it needs to be sensitive enough to detect disease without the collection of tissue samples, which require highly specialised equipment and personnel. By only focusing on tissue samples the application of the findings are limited until the relevant sample has been assessed. This is where the current study is unique compared to all other anal methylation studies.

However, it should also be noted that determining small changes for low levels of disease is a difficult task which is compounded by utilising swab samples, which contain a high diversity of cellular material, to measure lesion specific changes. Our result indicating higher methylation levels in normal versus LSIL samples could be a consequence of the sample utilised and not differences between disease presentations.

Methylation-based silencing of precursor micro RNAs of microRNA 124 have previously been indicated in various human neoplasms, including the cervix, where a significant inverse correlation was observed in the methylation of the promoter region and the presence of miR124-2 RNA. The same study also demonstrated

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**Figure 3.** Percentage Methylation of gene MAL. All samples represented by HRHPV positivity and HIV status. Comparisons assessed by the nonparametric Wilcoxon test, with error bars corresponding to the first and third quartiles (the 25th and 75th percentiles).
in-vitro, that silencing of miR124-2 is functionally involved in cervical cancer development\(^3\). However, in the current study, methylation of the promoter region of miR124-2 was not found to be different between men with HSIL, LSIL or normal samples. The absence of this important biomarker could be due to the nature of the samples collected, as anal swab samples contain a mixture of normal and diseased cells. Other explanations could be that this is a phenotype specific to the anal canal. Further analyses utilising specific tissue samples from laser capture microdissection techniques and investigations into other precursor micro RNAs for miR124 are required to define any roles in the development of HSIL.

Combination algorithms utilising CADM1, HRHPV detection and cytology diagnosis marginally improved the predictive value (from 61.2% alone to 61.6% combined) with cytology alone outperforming all other combinations at 68.8% AUROC in differentiating HSIL from LSIL and normal samples. Similar findings were also observed utilising MAL, in HIV positive participants. These findings indicate that complex screening algorithms may be required to achieve similar results to those seen in the cervix. For example, if all samples are screened by cytology to filter out all normal samples then CADM1 and HRHPV testing can be added for disease grade diagnosis (between LSIL, HSIL and ASCC) then the predictive value increases to 78.2% (similar to findings in the cervix at 78% specificity and 70% sensitivity in women with HRHPV infections)\(^3\).

Limitations to this study were the use of single promoter regions for methylation measurements and the absence of mRNA measurements to assess the level of gene silencing at a transcriptional level. Future studies should also assess the mRNA effects due to methylation of all possible promoter regions. The methylation patterns of CADM1 and MAL will be assessed for changes in longitudinal studies of HPV persistence utilising the SPANC cohort of samples. The detection cut off for the internal control was also increased from the published > 32 to > 40 cycle threshold which is a considerably low threshold. However, through modifications to the PCR reactions and confirmation through serial dilutions of the SiHa cell line (inter and intra assays) we confirmed that our modifications increased the assays sensitivity allowing for the increased cycle threshold cut-off.

In conclusion, this study demonstrates methylation of the promoter region for gene CADM1, when used in a panel of biomarkers, is a promising candidate for use as part of an anal screening algorithm for HSIL in HRHPV positive GBM. Furthermore, in HIV positive GBM, methylation of the promoter region for gene MAL may be important in HPV related immune modulation. It is clear from these findings that biomarkers utilised in the cervix are of limited use within other mucosa tissue sites highlighting the need to further explore new genes of interest specific for HPV related disease of the anal mucosa.
Methods

Study population. Anal specimens were obtained as part of the SPANC study. SPANC was a prospective cohort study based in Sydney, Australia, that followed HIV-negative and HIV-positive GBM aged 35 years and older at five visits over a period of three years. Overview of study design, recruitment and protocols have been previously published. Participants were eligible for inclusion if they met the following criteria: had given consent to the use of their samples for further research; had sufficient residual sample remaining after routine SPANC tests were completed; had completed the first three study visits; had baseline samples with satisfactory HPV testing. In this substudy, only baseline samples were utilised.

Diagnosis and disease classification. Laboratory methods have been previously described. Briefly, cytology was graded as per the Bethesda System criteria and terms used for cytology reporting. Histology reporting was in accordance with the Lower Anogenital Squamous Terminology (LAST) Project. Final diagnosis for each sample was a composite of cytology and histology reporting (disease results were derived by histology findings from HRA guided biopsy collection. In cases of normal or LSIL cytology grades where biopsies were not collected, or histology unavailable cytology results were utilised). Where multiple samples were collected during HRA, the highest-grade histology findings were used. Composite end points were as follows; All reports of negative were reported as normal, all reports of LSIL was reported as LSIL, all reports of AIN2 and AIN3 were reported as HSIL.

HPV genotype testing. Baseline residual anal cytology specimens were tested for HPV DNA (including HRHPV types 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66 and 68) as previously described, using the Roche Linear Array HPV genotyping test (Roche Molecular Systems, Alameda, CA, United States). As it has been established that Linear Array has a lower sensitivity for several HRHPV genotypes (HPV40, 42, 54 and 68) a secondary assay was utilised with a higher sensitivity (for these specific genotypes). The Anyplex II HR HPV Detection (Seegene, Seoul, South Korea), a multiplex real-time PCR method that detects 14 HR HPV genotypes (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66, 68), was utilised for all specimens. Specimens were considered
positive for any HPV type that was detected on either Linear Array or Anyplex™ to achieve a high sensitivity for all HPV genotypes.

**Isolation of nucleic acids for methylation PCR.** A 1 mL aliquot taken from a 20 mL cytology sample in Thin Prep PreservCyt medium (Hologic, Inc. Marlborough, MA, USA) was centrifuged at 17000 g for 15 min, the supernatant removed, and the pellet resuspended in 200 µl of PBS. DNA was extracted on an automated MagNAPure 96 (Roche Diagnostics GmbH, Penzberg, Germany) using the DNA and Viral Nucleic Acid Small Volume Kit (Roche Diagnostics) with a sample volume of 200 µl and an elution volume of 50 µl, according to the manufacturer’s instructions. The concentration of double-stranded DNA was measured with a Qubit® Fluorometer (Life technologies, California, USA).

**Bisulphite DNA modification and quantitative methylation-specific PCR.** Extracted DNA underwent bisulphite conversion using the Methylamp™ DNA Modification Kit (Epigentek, New York, USA). Quantitative methylation-specific PCR (qMSP) targeting CpG sites in promoter regions of CADM1 (promoter region M9), MAL (promoter region M1) and miR124-2 (promoter region 2) were performed using the primer sets and methods previously described, with minor modifications (single-plex PCR reactions, and an increase in magnesium chloride concentration from 3 to 4 mM MgCl₂). PCR mixtures for the modified protocol contained 2.5 µl of bisulfite modified DNA, primers at 417 nM, probes at 208 nM (labelled with 5’-FAM and 3’-BHQ1), 1 mM of added MgCl₂, and 1 × Bioline Sensifast Probe Mastermix (containing 3 mM MgCl₂ per reaction). A segment of the beta actin gene (ACTB) was targeted as an internal reference and for quantitation of input DNA. A standardised sample of bisulphite-treated DNA extracted from cervical cancer cell line SiHa, (American Type Culture Collection, Manassas, Virginia, USA) containing a single copy of HPV16 per cell, was included as a positive control in each qMSP run. PCR thermocycler conditions were unchanged from the previously published method and were performed on the LC480 LightCycler technology (Roche Diagnostics). The human cell line A549 was utilised as a negative control. SiHa cell line was used as a positive control for variations of each bisulphite modification assay performed and validation of the qPCR methylation analysis for each gene. Briefly, each PCR run had an old bisulphite modified SiHa cell line that had been previously validated for methylation analysis and a new (identical DNA concentration) SiHa cell line that was bisulphite modified at the same time and with the same bisulphite reagents that the samples to be analysed by qPCR that day (in general 9 samples). The objective of this procedure was to analyse the variation in the bisulphite modification procedure between assays and to validate the methylation analysis between different runs (reproducibility of % of methylation of the positive control for each gene). Our results showed very high reproducibility in the % of methylation in the SiHa cell lines for each gene between runs (data not shown).
Data analysis. A sample was deemed satisfactory if the crossing threshold value for ACTB was <40. Any sample that was negative for ACTB was not considered for analysis regardless of methylation marker status. The percentage methylation in each individual sample (% meCpG) was calculated as described based on the housekeeping gene ACTB.

\[
\% \text{meCpG} = \left( \frac{1}{2(CpmeCpG\, target - CpACTB)} \right) \times 100
\]

Percentage methylation for each marker (CADM1, MAL and miR124-2), for each composite disease grade (HSIL, LSIL and normal) was compared using two non-parametric comparative analysis, Wilcoxon test for between groups and Kruskal–Wallis for overall analysis: this was visualised using box and whisker plots. These analyses were further stratified by HRHPV positivity and HIV status.

The diagnostic performance of each methylation marker was evaluated by receiver operating characteristics (ROCs) analyses. Area under the curve (AUROC) was the main measure used to assess the ability of the genes to distinguish/differentiate HSIL from LSIL/normal by using the best cut-off with the maximum sum of sensitivity and specificity. Different screening algorithms were also assessed utilising gene methylation for markers that were significantly associated with HSIL detection, within a sub cohort of HRHPV positive samples and anal cytological HSIL, to predict histologically confirmed HSIL. All %meCpG calculations were performed in Microsoft Excel v16.39 (Microsoft, Redmond, Washington, USA). The results were then analysed and figures produced using the statistical platform R studio (v4.0.1)49 and programs ggplots2 (v3.3.2)50, ggpubr (v0.4)51 and pROC (v1.16.2)52 and cutpointr (v1.1.1)53 with p values ≤ 0.05 considered significant.

All mentioned methods were performed in accordance with the relevant guidelines and regulations.

Ethics approval and consent to participate. Ethics approval for the Study of the Prevention of Anal Cancer (SPANC) was granted by the St Vincent’s Hospital (SVH, Sydney, Australia) Human Research Ethics Committee (File Number 09/203).

Consent for publication. Informed consent was granted by each participant included in the trial through the SPANC recruitment process and detailed in the human research ethics approval (File Number 09/203).

Data availability All data and materials are available within this document and the supplementary files.

Received: 4 April 2021; Accepted: 8 February 2022
Published online: 03 March 2022

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Acknowledgements

We would like to acknowledge and thank the participants of the SPANC study. The SPANC study team includes Brian Acraman, Marjorie Adams, Claire Biro, Andrew Carr, Susan Carroll, David Cooper, Alyssa Cornall, Leonie Crampton, Deborah Ekman, Christopher Fairley, Annabelle Farnsworth, Lance Feneen, Eddie Fraissard, Marko Garcia, Suzanne Garland, Andrew Grulich, Richard Hillman, Kirsten Howard, Fengyi Jin, Johann Kolste, Carmella Law, Matthew Law, Dorothy Machalek, Kirsten McCaffery, Ross McDonald, Patrick McGrath, Robert Mellor, Susan Pendlebury, Kathy Petoumenos, Piero Pezzopane, Samuel Phillips, Mary Poynten, Garrett Prestage, Adele Richards, Jennifer Roberts, Daniel Seeds, Sepehr Tabrizi, David Templeton, Julia Thurlow, Winnie Tong and Rick Varma. We would also like to acknowledge and thank the laboratory work of Kahli Cassells, Steph Atchison and Monica Molano.
Author contributions
S.P.: Methodology, completion of laboratory work, writing original draft, software analysis, data curation. K.C.: completion of laboratory work, methodology, writing original draft, review and editing. S.G. and G.M.: Supervision, funding acquisition, investigation, project administration, writing—review and editing. D.A.M.: visualization, methodology, writing—review and editing. J.M.R.: Resources, methodology, cytological and histological analysis writing—review and editing. D.J.T., F.J., I.M.P., R.J.H. and A.E.G.: Resources, funding acquisition, writing—review and editing. S.N.T.: Supervision, funding acquisition, investigation, project administration, writing—review and editing. M.M. and A.M.C.: Conceptualization, methodology, completion of laboratory work, supervision, project administration, writing and reviewing.

Funding
This work was supported by National Health and Medical Research Council Program Grant (Sexually Transmitted Infections: causes, consequences, and interventions; grant no. 568971; to A.E. Grulich), Cancer Council New South Wales Strategic Research Partnership Program Grant (Preventing morbidity and mortality from anal cancer; Grant No. 13-11; to A.E. Grulich, I.M. Poynten, F. Jin, R. Hillman, D.J. Templeton, J.M. Roberts), and Cancer Council Victoria grant for evaluating molecular biomarkers of anal cancer risk (application no. APP1130507; to S.M. Garland, M. Molano, A.M. Cornall). The Kirby Institute is affiliated with the Faculty of Medicine, University of New South Wales and funded by the Australian Government of Health and Ageing. Cytologic testing materials were provided by Hologic (Australia) Pty Ltd.

Competing interests
J.M. Roberts reports other from Hologic Australia (Thin Prep consumables) during the conduct of the study and other from Roche Australia (donated antibodies for further research in this field) outside the submitted work. I.M. Poynten reports other from Seqirus (travel funding) outside the submitted work. M. Molano reports grants from Cancer Council Victoria (project: evaluating molecular biomarkers of anal cancer risk, application no. APP1130507) during the conduct of the study. D.A. Machalek reports nonfinancial support from MSD and Seqirus, grants from Seqirus, and other from Roche Diagnostics (manuscript license sponsorship) outside the submitted work. F. Jin reports grants from the National Health and Medical Research Council and Cancer Council New South Wales during the conduct of the study. S.M. Garland reports grants (to institution for researcher-initiated grant for HPV young women's study), personal fees (lecture fees; work performed in personal time), and other from Merck (global advisory board member for HPV) outside the submitted work. A.E. Grulich reports grants from the National Health and Medical Research Council during the conduct of the study. A.M. Cornall reports grants from Cancer Council Victoria during the conduct of the study. No potential conflicts of interest were disclosed by the other authors.

Additional information
Supplementary Information The online version contains supplementary material available at https://doi.org/10.1038/s41598-022-07258-5.

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