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Experimental Constraints on Forecasting the Location of Volcanic Eruptions from Pre-eruptive Surface Deformation

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Volcanic eruptions pose a threat to lives and property when volcano flanks and surroundings are densely populated. The local impact of an eruption depends firstly on its location, whether it occurs near a volcano summit, or down on the flanks. Then forecasting, with a defined accuracy, the location of a potential, imminent eruption would significantly improve the assessment and mitigation of volcanic hazards. Currently, the conventional volcano monitoring methods based on the analysis of surface deformation assesses whether a volcano may erupt but are not implemented to locate imminent eruptions in real time. Here we show how surface deformation induced by ascending eruptive feeders can be used to forecast the eruption location through a simple geometrical analysis. Our analysis builds on the results of 33 scaled laboratory experiments simulating the emplacement of viscous magma intrusions in a brittle, cohesive Coulomb crust under lithostatic stress conditions. The intrusion-induced surface deformation was systematically monitored at high spatial and temporal resolution. In all the experiments, surface deformation preceding the eruptions resulted in systematic uplift, regardless of the intrusion shape. The analysis of the surface deformation patterns leads to the definition of a vector between the center of the uplifted area and the point of maximum uplift, which systematically acted as a precursor to the eruption’s location. The temporal evolution of this vector indicated the direction in which the subsequent eruption would occur and ultimately the location itself, irrespective of the feeder shapes. Our findings represent a new approach on how surface deformation on active volcanoes that are not in active rifts could be analysed and used prior to an eruption with a real potential to improve hazard mitigation.

Keywords: surface deformation, laboratory modeling, cone sheets, dykes, eruption forecasting

KEY POINTS

- We quantitatively analyse pre-eruptive intrusion-induced surface deformation from 33 scaled laboratory experiments resulting in eruptions.
- A robust proxy extracted from surface deformation geometry enables systematic predictions of the locations of a subsurface intrusion and imminent eruption.
- Forecasting an eruption location is possible without geodetic modeling but requires volcano monitoring at high spatiotemporal resolution.
INTRODUCTION

Preceding any potential volcanic eruption, the propagation of magma at shallow depth induces deformation of the Earth’s surface (Dzurisin, 2007). During the last decade, geodetic measurements of ground deformation due to such magmatic intrusions have become a standard tool in monitoring active volcanic systems (Amelung et al., 2000; Wright et al., 2006; Sigmundsson et al., 2010, 2015). These tools commonly include tiltmeters (Toutain et al., 1992), Global Navigation Satellite Systems (GNSS; Bonforte and Guglielmino, 2015; Lee et al., 2015), Interferometry Synthetic Aperture Radar (InSAR; Massonnet et al., 1995; Lanari et al., 1998; Fukushima et al., 2005), and photogrammetry (Cayol and Cornet, 1998; Hollingsworth et al., 2013; Hibert et al., 2015).

Surface deformation is one of the several routinely monitored observables from active volcanic regions that are used to assess the volcano’s behavior and eruption probability. However, pre-eruptive geodetic data from active volcanoes that were acquired at high frequency suggest that they contain some precursory signals useful to track the pre-eruptive propagation of volcanic feeders (e.g., Toutain et al., 1992; Cannavò et al., 2015). Thus, they may have the potential to be used to forecast the location of subsurface magma in real time. Nevertheless, systematic and robust surface deformation precursors for volcanic eruption locations have not been identified yet.

Here we present results from 33 scaled laboratory models of shallow intrusions that ultimately fed eruptions (Figure 1). During each experiment we periodically monitored the surface deformation caused by the subsurface propagation of the feeder. A simple geometrical analysis of the surface deformation data reveals that the eruption locations were systematically forecastable without performing any geodetic modeling. We also observe that distinct shapes of the eruptive feeders, here dykes and cone sheets, exhibit distinct, characteristic surface deformation signatures. We conclude that geodetic surface deformation data, if acquired at high enough spatial and temporal resolutions, do have the potential to be used to follow magma pathways at shallow depth and to forecast the locations of imminent volcanic eruptions without any modeling.

METHOD

Experimental Protocol

All the experiments were performed in the experimental apparatus of, and using the experimental protocol described by Galland et al. (2009), Galland (2012), Galland et al. (2014), and Guldstrnd et al. (2017). Galland et al. (2006) describe in detail the mechanical properties of the model materials and the scaling of the models. Below, we briefly summarize the experimental materials and protocol.

The model materials are fine-grained silica flour and molten vegetable oil, to simulate brittle rocks and magma, respectively. The flour consists of fine (∼15–μm), angular grains of crystalline silica flour. It has a cohesive strength of 369 ± 44 Pa, a friction coefficient of 0.81 ± 0.06 (corresponding to an angle of internal friction of ∼39°) and a tensile strength of 100 Pa (Galland et al., 2006, 2009). As 1 cm in the models represents 100–1,000 m in nature, the resulting stress ratio indicates that the model crust should be 13 × 10^3-250 × 10^3 times weaker than its geological prototype (Abdelmalak et al., 2016). The silica flour fulfills this criterion. It reproduces the brittle Coulomb behavior of the Earth’s crust (Abdelmalak et al., 2016). Additionally, the floor is cohesive and has the ability to stand non-negligible elastic stresses along stable vertical walls (Abdelmalak et al., 2016). However, the elastic properties of silica flour remain poorly constrained, as is the case for granular materials in general. It is therefore challenging to address how the elastic stresses in our models scale with those in geological systems (Galland et al., 2017).

The model magma consists of a vegetable oil that is solid at room temperature and melts at ~31°C (Galland et al., 2006). Molten, it is a Newtonian fluid with a weak temperature-dependent viscosity (Galland et al., 2006). Using these materials, a generic experiment consists in injecting hot oil into the flour at room temperature to generate an intrusion. At the injection temperature of ~50°C, the oil exhibits a viscosity of 2 × 10^−2 Pa s and a density of 890 kg m^−3. Oil percolation within the flour during injection is inhibited as silica is chemically incompatible with the oil and an oil intrusion is dominantly accommodated by deformation of the flour (Galland et al., 2006). During an experiment, the effects of cooling of the oil against the flour can be neglected, as intrusion durations are shorter than conductive cooling timescales. Our model scales through assuming that the ratio of viscous stresses in the oil/magma to the cohesion of the flour/host rock are identical in the model and nature (Galland et al., 2014). In nature, magma velocities can be of the order of 1–10^-2 m s^-1 (Toutain et al., 1992). The experimental device allows for oil velocities of 10^-3-10^-1 m s^-1. As magma viscosities cover a wide range (10–10^7 Pa s), relevant model viscosities fall in the range 4 × 10^-9–75 Pa s, which the oil fulfills. To simplify, the oil at 50°C dominantly represents a rather viscous magma of intermediate to felsic composition.

For a generic experiment, the experimental setup consists of a 40 cm wide square box with a circular inlet pipe at its center, into which a known mass of silica flour is poured. Then a high-frequency vibrator shakes the box to compact the flour until a bulk density of 1,050 kg m^−3 is reached. A flat metal plate is placed onto the model surface during compaction to ensure repeatable experiment preparation and an initial flat and horizontal surface of the models; the metal plate is removed after compaction. A volumetric pump injects the molten oil at constant flow rate through the circular inlet. With such a setup, it is possible to vary, among other parameters, the injection depth, the diameter of the inlet, and the flow rate. Depending on these parameter settings, the models systematically produce various geometries of intrusions, such as vertical sheet intrusions (dykes) and cone sheets (Figure 2; Galland et al., 2014). The vertical sheet intrusions initiated at the inlet and propagated to the surface. They often split to form a hull-shaped termination or turned into inclined sheets before reaching the surface (Galland et al., 2014).

Surface Data

The surface deformation data used in the present study were acquired during 33 out of the 51 experiments from Galland et al.
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FIGURE 1 | Experimental device used to simulate shallow intrusions of oil into silica flour (adapted from (Galland, 2012) with permission, Galland et al., 2006, 2014), which induced uplift of the surface of the models that were periodically monitored (1.5 s time steps) using a moiré projection system (Bréque et al., 2004; Galland, 2012), and fed eruptions (black star). At each time step of a given experiment, simple geometrical parameters (see legend box) were calculated from the surface uplift map. This study shows that their evolution with time represents a precursor for the location of the next eruption. (2014). Note that although surface deformation was monitored during all their experiments, Galland et al. (2014) focused on the dynamics of the intrusion processes at depth and on the resulting intrusion shapes, only. The resulting surface deformation dataset has subsequently been analyzed by Guldstrand et al. (2017), who focused on mechanical interpretations associated with the intrusion mechanisms at depth. The present analysis of the dataset is different and discusses the implications for volcanic hazards assessment. The 33 experiments considered here correspond to those for which enough surface deformation data were available during the entire duration of the experiments. They are representative of the full ranges of the parameters explored by Galland et al. (2014).

During the experiments, surface data were monitored using a moiré projection apparatus. The moiré monitoring (Bréque et al., 2004; Galland, 2012) was performed through projecting sets of illuminated straight fringes onto the model surface. The fringes remain straight on a flat surface but deform when projected on a surface with topography, producing curved fringe patterns. A video camera perpendicular to the surface captured the evolving fringe patterns on the model surface periodically (by successive scans starting at time step intervals of 1.5 s), which were subsequently analyzed to compute time series of digital elevation models (DEMs; Bréque et al., 2004). The duration of a scan for the acquisition of an individual DEM was ~1 s and we chose to set the time of each DEM at the beginning of each scan.
Focussing on surface deformation induced by the intrusions, we have analyzed differential digital elevation models (ΔDEM) obtained from the difference between the DEMs at given time steps and the DEM of the initial model surface. To limit noise effects, ΔDEM data were smoothed. The lateral resolution of the ΔDEMs is ∼1 mm, and the vertical precision of the smoothed ΔDEMs is ∼0.1 mm (Guldstrand et al., 2017). As only uplifts were observed for both dyke and cone sheet experiments, for each ΔDEM we have defined the group of pixels corresponding to the uplifted area using an uplift threshold criterion of 0.1 mm. We have then calculated the location of the mean center (C) of the uplifted area by averaging the positions of each pixel in the uplifted area, giving the same weight to each pixel (Figure 1). The locations of the centers of the uplifted areas were then known for each time step of each experiment in a consistent way. The uplifted areas never extended further than about 15 cm from the box walls, so that sidewall effects are assumed to be negligible. This is confirmed by the random location of the eruption sites in our experimental series.

The experiments lasted between a few seconds up to about 1 min, from the time at which the injection started up to the time at which the oil erupted. The second and the last scans of moiré projections started at about the same times, within errors of 1.5 s, as the injection started and the eruption occurred, respectively. To compare experiments of varying durations, we have normalized the time t at a given time step by the experiment duration, t_e. Therefore, for each experiment, the dimensionless time, t/t_e, which varied from 0 to 1, approximately represents the relative duration of the intrusion up to the eruption.

RESULTS

The 33 experiments that produced suitable surface deformation data lasted from ∼6 to ∼53 s. They produced 16 dykes and 17 cone sheets depending on the values of depth and diameter of the injection inlet, as well as the injection velocity of the oil (Galland et al., 2014; Guldstrand et al., 2017).

All the experiments, i.e., both those producing dykes and cone sheets, displayed an initial symmetrical bell-shaped uplift of the surface followed by the development of an uplift asymmetry that grew until the oil erupted in the immediate vicinity of the point of maximum uplift (Figure 3; Guldstrand et al., 2017). The dykes systematically triggered uplift, regardless of their final shapes, i.e., vertical sheets with or without, split or inclined terminations. To quantify the uplift asymmetry, we have calculated the positions of (1) the center of the uplifted area and (2) the point of maximum uplift at each time step (points C and M, respectively, Figure 1).

We defined a vector, \( \overrightarrow{V_{MC}} \), connecting these points. During the early stages of uplift, in all the experiments, points C and M closely clustered, as illustrated by the short vectors \( \overrightarrow{V_{MC}} \) (Figures 4C,D), the orientation of which strongly varied with time. The points of maximum uplift (M) then migrated away from the center (C), as shown by the lengthening of \( \overrightarrow{V_{MC}} \) (Figures 4C,D). Concomitantly, the orientation of \( \overrightarrow{V_{MC}} \) focused and stabilized in azimuth with time. Importantly, in all the experiments, \( \overrightarrow{V_{MC}} \) ultimately pointed toward the subsequent eruption location (Figures 4C,D). The eruptions systematically initiated at the intersection between the ultimate \( \overrightarrow{V_{MC}} \) direction and the marginal border-zone of the uplifted area.

We also calculated (1) the evolution of \( |\overrightarrow{V_{MC}}| / d \) scaled by the injection depth (d) and (2) the rotation angle (\( \theta_{MC} \)) of the vectors \( \overrightarrow{V_{MC}} \) between two successive time steps (Figures 5, 6). For each experiment, the evolution of \( |\overrightarrow{V_{MC}}| / d \) quantifies how point M moved away from C, and \( \theta_{MC} \) indicates the stability of the direction of V_{MC}. We arbitrarily consider that \( \theta_{MC} \) was stable once it remained <20°.

There are systematic differences in the evolution of \( |\overrightarrow{V_{MC}}| / d \) and \( \theta_{MC} \) for dykes and cone sheets (Figures 5, 6). During dyke experiments, on average, \( |\overrightarrow{V_{MC}}| / d \) remained small until t/t_e∼0.4, from which \( |\overrightarrow{V_{MC}}| / d \) increased rapidly before stabilizing again at t/t_e∼0.8 (Figure 5A), displaying an overall stepwise or two-phase evolution. In detail for each individual experiment, the rapid \( |\overrightarrow{V_{MC}}| / d \) increase started at different times (t/t_e∼0.2 to 0.8; Figure 5A) and was often relatively short in

\[ \text{FIGURE 2 | Typical intrusions produced using our experimental setup, (A) vertical sheet intrusion classified as dyke and (B) cone sheet (reprinted with permission from Guldstrand et al., 2017).} \]
FIGURE 3 | Differential digital elevation models (ΔDEMs) at early (A), intermediate (B) and ultimate (C) time steps measured during a representative dyke experiment. ΔDEM is displayed as fringes, each fringe series corresponding to an uplift of 0.5 mm.

FIGURE 4 | (A,B) ΔDEM before eruption for a representative dyke (A) and cone sheet (B) experiment (uplift in mm). White and black crosses show the successive locations of the centers (C) of the uplifted area, and of the maximum uplifts (M), respectively. (C,D) Plots of the successive vectors $\vec{V}_{MC}$ computed from the respective maps, (A,B), from the early (dark blue) to the final stages (dark red). A black star locates the eruption points. Final points of maximum uplifts almost locate the eruptions.

During the 33 experiments, the vector $\vec{V}_{MC}$ systematically pointed toward the location of the subsequent eruption once approximately stabilized in azimuth ($\pm 20^\circ$; Figures 4, 6). As $\vec{V}_{MC}$ is a parameter that was directly extracted from surface deformation data using only minimal calculations, real-time

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measurements of $\mathbf{V}_{MC}$ are potentially achievable in natural systems. Therefore, the evolution of $\mathbf{V}_{MC}$ represents a robust geometrical precursor that could be useful in forecasting where a real eruption should occur, with substantial implications for hazard mitigation in active volcanic areas.

Consistent with our observations, previous two-dimensional (Abdelmalak et al., 2012) and three-dimensional (Galland, 2012) experiments, as well as theoretical models of surface uplift due to sheet intrusions (Pollard and Holzhausen, 1979; Okada, 1985), have also shown that the points of maximum uplift roughly locate the shallowest parts of intrusive feeders, such as dyke tips, at depth. Hence, the migration of a point of maximum uplift at the Earth’s surface in volcanic areas likely represents a relevant geometric proxy to locate where magma is the shallowest and is ascending underground.

The distinct surface deformation signatures associated with the experimental dykes and cone sheets likely reflect contrasting emplacement dynamics (cf. Guldstrand et al., 2017). The progressive increase of $\mathbf{V}_{MC}/d$ from the earliest stages of subsurface propagation reflects the gradual asymmetrical propagation of a cone sheet (Figure 5B). Conversely, the stepwise or two-phase increase of $\mathbf{V}_{MC}/d$ is interpreted to indicate a two-stage evolution with (1) an initial vertical ascent of a dyke at depth, followed by (2) the interaction with the free surface and possible splitting of the dyke tip or oblique propagation toward the surface from a shallower depth (Mathieu et al., 2008; Abdelmalak et al., 2012; Galland et al., 2014). The stabilization of the orientation of $\mathbf{V}_{MC}$ (Figure 6B) may coincide with this second phase. In addition, the contrasting signatures of
the experimental dykes and cone sheets suggest that real-time
analysis of the deformation of natural surfaces can be useful
to infer the geometry of a propagating intrusion prior to an
eruption.

Our model uses an initial flat surface and does not include the
effect of an initial topography or slope, often relevant
for volcanic systems. Additionally, our model crust material
is homogeneous and does not account for any heterogeneity
that may also influence surface deformation signatures due to
intrusions. Whether or not our method applies for shallow
intrusions that develop elsewhere than under flat volcanic fields
or calderas and in stratified and/or fractured crusts has not been
tested. However, we expect that any magma-induced surface
deformation will reflect the underlying developing asymmetry of
the intrusion, in which case the method proposed here should still
be applicable from a non-flat initial surface and a heterogeneous
crust.

The surface deformation above our experimental dykes differs
from that associated with dykes emplaced in rifts (e.g., Wright
et al., 2006; Biggs et al., 2009; Sigmundsson et al., 2015) and the
expected deformation predicted by static elastic analytical models
of dykes (e.g., Okada, 1985). The latter display two prominent
lobes of uplift separated by a trough aligned above the dyke apex.
In contrast, our experimental dykes only triggered surface uplift,
regardless of whether the intrusions propagated vertically up to
the surface or deviated into inclined sheets. Guldstrand et al.
(2017) attributed the difference with the static elastic models
to the use of a weakly elastic, cohesive Mohr-Coulomb flour,
in which the experimental dykes likely propagated as viscous
indenters instead of resulting in pure elastic tensile fractures. In
addition, the experiments account for magma flow and intrusion
propagation, whereas elastic models are static. They are thus
likely relevant for volcanic systems where the shallow crust is
weak (e.g., Thun et al., 2016) and/or in which the intruding
magma is relatively viscous (Galland et al., 2014; Guldstrand
et al., 2017). Guldstrand et al. (2017) also attributed the difference
with surface deformation measured in rifts to the absence of far-
field tectonic extension in the experiments, thus making them
relevant for volcanic systems that are not located in rifts.

Uplifting in the form of doming is commonly measured in
active volcanic areas and models of inflating/pressurized
spherical sources or horizontal sheet-intrusions generally fits
such uplifts (e.g., Pedersen and Sigmundsson, 2006; Walter and
Motagh, 2014). From our results, an alternative interpretation
may consist in propagating vertical sheet intrusions through
a Mohr-Coulomb crust (Guldstrand et al., 2017). Moreover,
as our experiments produced inclined sheets on top of some
vertical dykes, and cone sheets, our analysis may also be relevant
for interpreting surface deformation in volcanic areas prone to
forming inclined sheets and cone sheets (e.g., Bagnardi et al.,
2013).

As mentioned above, the relevance of using points of
maximum uplift has been proposed earlier. Such points have been
recorded among geodetic data measured on active volcanoes, e.g.,
at Piton de la Fournaise, Réunion Island (Toutain et al., 1992).
The data and interpretation of Toutain et al. (1992) satisfactorily
compare to those from our experiments. Indeed, the correlation
between the zone of maximum uplift and the eruption location,
as well as the two-stage behavior of the surface deformation
due to an intrusive feeder that was interpreted as a dyke,
reveal encouraging similarities with our experimental results.
Another famous example was the prominent asymmetrical
bulging preceding the 1980 eruption of Mount Saint Helens
(Dzurisin, 2007, and references therein). The bulging flank of
the volcano happened to be the location of the 1980 explosion,
and laboratory experiments demonstrated that the asymmetry
of the bulging reflected the asymmetrical shallow growth of the
underlying cryptodome (Donnadieu and Merle, 1998; Merle and
Donnadieu, 2000). These examples suggest that the precursors
identified in the laboratory may also be applied to active
volcanoes. Consequently, monitoring surface deformation on
active volcanoes with both high temporal and spatial resolution
has the potential to constrain, in real-time, simple geometrical
parameters, such as |∇MC| and θMC, to forecast the location
of both shallow intrusions and imminent eruptions. To make
such forecasts possible requires implementing high frequency
monitoring methods, such as GNSS and/or tiltmeter, and fast
data processing. However, the lack in spatial resolution does not
ensure accurate identification of the locations of uplift center and
maximum, which conversely can easily be identified using InSAR
data.

Notably, our results show that the location of most of the
experimental eruptions could have been accurately predicted to
occur within an angular sector of about 20° from approximately
half of the experiment duration (Figure 6). Transposed to nature,
where enough time is required to take suitable societal measures
before an eruption occurs, such a forecast could be achieved up
to several weeks to days before the eruptions. Indeed, the very
first signs of pre-eruptive deformation on volcanoes have been
documented to occur approximately up to 3 months prior to the
eruptions (Froger et al., 2004; Peltier et al., 2006; Poland
et al., 2008; Chadwick et al., 2012; Langmann et al., 2012). As
some intrusions may also propagate underground over shorter
timescales (dykes may propagate as fast as several tens of cm/s;
Toutain et al., 1992), the predictions would be accurate enough
within just a few hours before a potential eruption, which may
be inadequate for hazard mitigation. Nonetheless, in adequate
situations, our results indicate that the accuracy in predicting
the location of an imminent eruption increases as time proceeds
and that the first predictions could be given earlier when the feeder
is a cone sheet. Moreover, our analysis allows for excluding a
large part of the deforming area depending on the early direction
∇MC. Efforts can then be made to focus analysis on the area
highlighted by ∇MC.

Our modeling approach and results highlight the dynamic
nature of surface deformation associated with shallow magma
emplacement. Resolving surface deformation both at high spatial
and temporal resolutions is relevant to follow the evolution of
simple geometric parameters, such as the point of maximum
uplift, which constitute proxies for the location of on-going
magma ascent. In addition, as long as changes in the evolution
of parameters, such as the focus in azimuth of the points of
maximum uplift, develop a significant time prior to an eruption,
they have the potential to be used as precursors, indicative of the approximate location of an imminent volcanic eruption. Extracted only from the direct observation of surface data, these precursors are purely geometrical and are not derived from any mechanical criteria or hypothesis. Yet they are relevant for various magma feeder geometries. Our analysis illustrates that time-consuming computational surface data modeling, as commonly used to analyse geodetic data, may not be necessary for the purpose of forecasting eruption locations.

CONCLUSION

In this study, we analyse the surface deformation monitored during 33 scaled laboratory experiments simulating magma emplacement in a brittle crust under lithostatic stress conditions, i.e., not subjected to regional or local extensional tectonic stresses. Depending on the parameter sets, the experiments simulated the emplacement of dykes or cone sheets (Galland et al., 2014); the associated surface deformation systematically exhibit surface uplift. Our main results are the following:

- We define a vector $\mathbf{V}_{MC}$ joining the center of the uplifted area to the point of maximum uplift.
- During the experiments, the final vectors $\mathbf{V}_{MC}$ systematically point toward the locations of the forthcoming eruptions, regardless of whether the feeder is a dyke or a cone sheet. This result shows that the vector $\mathbf{V}_{MC}$ is a robust geometric precursor for forecasting imminent volcanic eruptions.
- The surface deformation patterns and associated vectors are drastically different for dykes and cone sheets; for cone sheet intrusions the surface vectors elongate gradually and stabilize in direction within angular sectors of about 20° as early as less than half of the intrusion duration; in contrast, when dykes intrude, the surface vectors exhibit a two-stage evolution with sudden elongation and direction stabilization at about half of the intrusion duration. This result shows that the time evolution of the vector $\mathbf{V}_{MC}$ is a good proxy for identifying the nature of the sub-surface volcanic feeder.
- The real-time tracking and identification surface vector evolution could be used on active volcanic systems as robust proxies for determining the shape and location of the sub-surface propagating feeders and locating the area of imminent volcanic eruptions.
- Our study shows that forecasting eruption locations using surface deformation data may be achievable without using geodetic modeling, assuming that the surface data are captured at both high spatial and temporal resolution.

AUTHOR CONTRIBUTIONS

FG produced the data analysis, figures and wrote most of the text. OG contributed with the concept and scaling of the laboratory model, experiments, discussions and interpretations. EH performed experiments and contributed with discussion and analysis. SB performed experiments and provided comments and discussion.

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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