Polymer-derived amorphous silica-based inorganic–organic hybrids having alkoxy groups: intermediates for synthesizing microporous amorphous silica materials

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Alkoxy group-functionalized amorphous silica-based inorganic–organic hybrid materials were designed through polymer precursor route, in order to develop a novel route for the fabrication of microporous amorphous silica-based materials. Commercial perhydro polysilazane (PHPS) was chemically modified with alcohols (R–OH, R = n-C5H11OH, n-C10H21OH) at a PHPS (Si basis) to ROH molar ratio of 4/1, and subsequently oxidized to afford alkoxy group-functionalized amorphous silica by exposure to aqueous ammonia vapours at room temperature. Then, the oxidized materials were heat-treated at 600°C in air. Nitrogen sorption analysis revealed that micropore volume of the amorphous silica increased upon alkoxy group-functionalization prior to the heat treatment. As a result, higher micropore volume of 0.204 cm³/g was achieved, with a specific surface area of 387 m²/g for the PHPS-derived amorphous silica chemically modified with n-C10H21OH at the Si/n-C10H21OH molar ratio of 2/1. The micropores evaluated by the SF method were in the size range of 0.43 to 1.6 nm, and the resulting micropore size distribution plot exhibited a peak at 0.43 nm. The in-situ formation of the microporosity was further studied by the simultaneous thermogravimetry-mass spectrometry analysis. The relationship between the number of carbon atoms in the alkoxy group, the evolution of gaseous species during the heat treatment and the resulting microporosity is discussed.

Key-words : Perhydropolysilazane, Inorganic–organic silica-based hybrids, Amorphous silica, Microporosity, Thermogravimetry-mass spectrometry, Polymer-derived ceramics

1. Introduction

The use of chemical approaches in ceramic processing allowed a direct access to numerous types of ceramic materials that can be synthesized and characterized for a broad range of applications. The organometallic precursor route has received growing attention as an attractive ceramic processing method since it has inherent advantages over conventional powder processing methods such as purity control, compositional homogeneity in the ceramic final-product and lower processing temperatures in ceramic preparation.1–3 Moreover, this route provides alternatives towards the synthesis of advanced silicon-based non-oxide ceramics such as silicon nitride (Si3N4)-based ceramics, particularly starting from polysilazanes as reported in several studies.4–6 Perhydropolysilazane (PHPS) has been extensively studied as a carbon-free polymer precursor, that is readily oxidized to yield pure silica with a high ceramic yield, either by pyrolysis in air,7,8 or by exposure to aqueous ammonia vapour at room temperature.9–11 To date, micro- and meso-porous structures formation has been often discussed for the polymer-derived amorphous ceramics such as silicon nitride (Si–N),10,11 silicon carbide (Si–C),12–17 silicon carbonitride (Si–C–N),18 quaternary Si–M–C–N (M=Al,19,20 Ni21), silicon oxy carbide (SiOC)22–24 and silica (Si–O)25–26 During the crosslinking and subsequent high-temperature pyrolysis of polymer precursors, by-product gases such as CH4, NH3 and H2 were detected,27 and the microporosity in the polymer-derived non-oxide amorphous ceramics could be assigned to the release of the small gaseous species formed in-situ.15,27

Recently, Iwamoto et al.7 reported an approach in controlling the micro- and meso-porous structure formation using organo-substituted polysilazane precursor, in which the organic moieties acted as a “sacrificial template” during polymer to ceramic conversion by heat treatment in air, thus allowing the micro- and meso-porous structure formation. In our recent study, alkoxy group-functionalized PHPSs28 were synthesized using a variety of alcohols, and successfully converted to microporous amorphous silica by oxidative crosslinking at 270°C followed by pyrolysis at 600°C in air (Route I in Fig. 1).29 However, due to the vigorous oxidation reaction of PHPSs, it was difficult to investigate the relationship between their pyrolytic behaviours and the microporous structure formation.

Herein, as continuation of our ongoing studies, alkoxy group-functionalized amorphous silica-based inorganic–organic hybrid materials were designed using room temperature oxidation technique.30 Then, the thermal conversion of the hybrids to micro-
porous amorphous silica was investigated by the simultaneous thermogravimetry-mass spectrometry (TG-MS) analysis (Route II in Fig. 1). The relationship between the number of carbon atoms in the alkoxy group, the gaseous species formed in-situ during the thermal treatment and the microporosity within the final silica materials is discussed and compared with our previous results,25 in order to achieve a better understanding of the microporous structure formation in polymer-derived amorphous silica.

2. Experimental procedures

2.1 Precursor synthesis

Commercially available perhydropolysilazane (PHPS, Type NN110, 20% xylene solution, AZ Electronic Materials, Japan) was used as a starting polymer. For the chemical modification of PHPS, n-pentanol (n-C₅H₁₁OH) and n-decanol (n-C₁₀H₂₁OH) were used. The reaction between as-received PHPS and the alcohols was carried out under dry argon (Ar) atmosphere using Schlenk techniques.29) PHPS (Si basis)/ROH molar ratio of 4/1 was applied for both samples, and the molar ratio of 2/1 was further investigated for the PHPS chemically modified with n-C₁₀H₂₁OH. In a typical procedure, the alcohol was added dropwise to a xylene solution of as-received PHPS with magnetic stirring at room temperature, followed by the addition of toluene to decrease the PHPS concentration from 20 to 1 wt%. After the addition was completed, the mixture was refluxed for 1 h under Ar flow and cooled down to room temperature. The xylene and toluene were removed from the reaction mixture under vacuum to give the alcohol adduct as a viscous liquid.

2.2 Conversion of the polymer precursor to amorphous silica-based hybrid and pyrolysis

The oxidative polymer/amorphous silica-based hybrid conversion was carried out by exposing the polymer precursor to vapour from aqueous ammonia (NH₃) according to the procedure reported by Kubo et al.30) In this process, 15 mL of aqueous NH₃ was placed in a 200 mL beaker with a slightly open lid and the polymer precursor (ca. 600 mg) was suspended over the aqueous NH₃ until the silica-based hybrid formed as white powder. The resulting amorphous silica-based hybrid was ground to a fine powder using a mortar and pestle, then heat-treated in a furnace under air flow up to 600°C for a period of time of 6 h. Then the temperature was maintained at 600°C for an additional hour, and finally cooled down to room temperature to afford amorphous silica as white powders.

2.3 Characterization

Fourier transform infrared (FT-IR) spectra of the polymers, the polymer-derived amorphous silica-based hybrids and pyrolyzed products were recorded using KBr pellets over the range of 400 to 4000 cm⁻¹ (FT/IR-4200 IF, Jasco, Japan).

The thermal behaviours up to 1000°C were studied by simultaneous thermogravimetric (TG) and mass spectroscopic (MS) analyses (Model TG/DTA6300, Hitachi High Technologies Ltd., Tokyo, Japan/Model JMS-Q1050GC, JEOL, Tokyo, Japan). The measurements were performed under flowing mixed gas of helium (He) and oxygen (O₂) (He:O₂ = 4:1, 100 mL/min) with a heating rate of 20°C/min. To avoid the presence of dominant fragment peaks at around m/z = 32 (O₂⁺), related to the presence of O₂, the m/z ratios in the range of 20 to 35 were excluded from the MS spectra for all the samples. Conventional TG analyses in air were also performed on the polymer-derived amorphous silica-based hybrids up to 1000°C at a heating rate of 100°C/h (Model TG8120, Rigaku, Tokyo, Japan), in order to examine the influence of the He and O₂ mixed gas atmosphere.

The pore size distribution for the pyrolyzed samples was determined using N₂ sorption technique with the N₂ relative pressures ranging from 0 to 0.99 (Model Belsorp Max, BEL Japan Inc., Osaka, Japan). The micropores (rpore < 2.0 nm) and mesopores (2.0 nm ≤ rpore < 50 nm) of the polymer-derived amorphous silica materials were characterized by the S̅²⁰ and BJH³¹ methods, respectively.

3. Results and discussion

3.1 Chemical structures identified by FT-IR spectroscopic analysis

The chemical structures of the polymeric precursors were assessed based on their FT-IR spectra. As shown in Fig. 2(a), as-received PHPS exhibited absorption bands at 3400 cm⁻¹ (N-H), 2150 cm⁻¹ (Si-H), 1180 cm⁻¹ (N-H) and 840–1020 cm⁻¹ (Si-N-Si).26),32) The spectra of the PHPS modified with n-C₁₀H₂₁OH [Fig. 2(b)] and that with n-C₁₀H₂₁OH [Fig. 2(c)] showed additional absorption bands at 2950–2850 cm⁻¹ (νC-H), 1450 cm⁻¹ (νCH₃), and 1090 cm⁻¹ (νSi-O).26),32) The intensity of the C-H absorption band remarkably increased with increasing number of carbon atom in the alcohol used for the chemical modification. By increasing Si/n-C₁₀H₂₁OH molar ratio from 4/1 to 2/1 [Fig. 2(d)], the Si-H absorption band intensity at 2150 cm⁻¹ decreased remarkably while that at 1090 cm⁻¹ assigned to Si-O increased. However, a new broad absorption band assigned to νO-H⁻³³ appeared at around 3400 cm⁻¹ thus overlapping the N-H band, which suggests the existence of unreacted n-C₁₀H₂₁OH.

After exposure to vapours from aqueous NH₃, the absorption bands corresponding to the as-received PHPS completely disappeared, and new absorption bands appeared at 3400 (Si-OH)³³ and 1090 cm⁻¹ (Si-O-Si)³³ [Fig. 2(a)]. In addition to these absorption bands, the spectra of the chemically modified PHPSs exhibited C-H absorption bands in the vicinity of 2950 to 2850 cm⁻¹ [Figs. 2(b)–2(d)]. These results indicate that the chemically
modified PHPSs were oxidized and successfully converted to alkoxy group-functionalized amorphous silica. The polymer derived amorphous silica-based hybrids were further heat-treated at 600°C in air. As shown in Figs. 2(b)–2(d), the C–H absorption bands completely disappeared, and the spectra for these samples were similar to that of the 600°C heat-treated amorphous silica derived from as-received PHPS [Fig. 2(a)].

3.2 Microporosity of polymer-derived amorphous silica

The textural properties of the heat-treated samples at 600°C were studied by N2 physisorption at –196°C (77 K). The PHPS-derived amorphous silica generated a non-porous type III isotherm according to the IUPAC classification method [Fig. 3(a)]. The isotherm of n-C5H11O-modified amorphous silica exhibited a transitional type between type I and type IV without hysteresis loops. The N2 uptake at the relative pressure lower than P/P0 = 0.2 is related to the micropore filling, while another slight uptake above P/P0 = 0.9 is thought to be related to the meso- and/or macroporosity generated by agglomeration of the powdered sample. Thus, the isotherm of this sample is ascribed to type I-related microporous material [Fig. 3(b)]. The isotherm of n-C10H21O-modified amorphous silica also presented a similar transitional type. The N2 uptake below P/P0 = 0.2 remarkably increased with increasing the carbon number in the alkoxy group from 5 to 10 [Fig. 3(c)], and further increase in the N2 uptake was achieved by increasing the Si/ROH ratio from 4/1 to 2/1 [Fig. 3(d)].

Figure 4(a) shows the pore size distribution (PSD) plots obtained for the heat-treated samples at 600°C. The micropore volumes for these samples are plotted as a function of number of carbon atoms in the alkoxy group as shown in Fig. 4(b). Compared with the non-porous amorphous silica, an apparent enhancement in the micropore volume was achieved by the alkoxy group-functionalization prior to the heat treatment. The micropores evaluated for the sample derived from n-C5H11O group-functionalized silica were in a range of 0.43 to 1.0 nm in size. The resulting PSD plot exhibited a peak at 0.5 nm, and the micropore volume was measured to be 0.024 cm³/g. Upon functionalization with the long alkoxy group (n-C10H21O), the PSD plot extended to approximately 1.6 nm in size, while the PSD was peaked at 0.43 nm, and the micropore volume increased to 0.173 cm³/g. By increasing the Si/n-C10H21OH molar ratio from 4/1 to 2/1, the PSD remained almost unchanged, while the micropore volume and specific surface area reached 0.204 cm³/g and 387 m²/g, respectively.

The adsorption/desorption isotherms and the resulting PSD plots obtained in this study were similar to those obtained for the polymer-derived amorphous silica samples previously synthesized by the two-step heat treatment (Route I in Fig. 1).26) The variations in the micropore volumes were also compatible...
with those previously reported for the amorphous silica samples synthesized by the two-step heat treatment [Fig. 4(b)].

3.3 Results of TG-MS analysis

To study the pyrolytic behaviours more extensively, TG-MS analysis was performed on the polymer-derived samples after the room temperature oxidation. The results were summarized and shown in Figs. 5–7. The PHPS-derived amorphous silica showed a slight weight loss at 150 up to 400°C, and exhibited a weight gain of approximately 2% at 400 up to 750°C [Fig. 5(a)]. The MS spectrum measured at 200°C is shown in Fig. 5(b). The spectrum composed of m/z ratios at 106, 91, 77, 63, 51, and 39 was identical to that reported for xylene.34),35) Since the molecular ion (m/z = 106) and the tropylium ion (m/z = 91) were detected at 150 up to 400°C [Fig. 5(c)], the observed weight loss is mainly due to the residual xylene, while the slight weight gain may be caused by oxidation reactions occurring at the Si–O–Si amorphous network structural defects,36),37) as a result of the ambient temperature synthesis applied in this study.

As shown in Fig. 6(a), the silica-based hybrid containing n-C5H11O groups exhibited a weight loss of approximately 11% at 150 up to 700°C, and the differential thermal analysis (DTA) resulted in the detection of a dominant exothermic peak centered at 320°C. The MS spectrum measured at 320°C is shown in Fig. 6(b). The m/z ratios at 57 and 43 were assigned to C4H9+ and C3H7+ respectively. These fragment ions could result from the α-cleavage in the n-C5H11O group,34),38) while those at 70 (C5H10+ and 55 (C4H7+)) could be derived from traces of unreacted n-C5H11OH.34),39) The smaller species, m/z ratios at 44 and 18 were assigned to CO2+ and H2O+, respectively. By monitoring the fragment ions with m/z ratios at 57 and 43, it was confirmed that the decompositions of n-C5H11O group mainly occurred at 250 to 500°C [Fig. 6(c)]. Simultaneously, combustion of the in-situ formed hydrocarbons started at around 250°C, while the evolution of CO2 and H2O was detected up to approximately 650°C [Fig. 6(d)].

Fig. 4. Micro- and mesoporous structure of heat-treated samples at 600°C, evaluated by the nitrogen sorption analysis. (a) Pore size distribution. (b) Micropore volume as a function of number of carbon atoms in ROH. Between brackets are the values of (Si/ROH) molar ratios used for the chemical modification of PHPS.

Fig. 5. Thermal behavior of PHPS-derived amorphous silica. a) TG–DTA, b) Monitoring of gaseous species by mass spectrometry at 200°C and (c) Continuous in-situ monitoring of gaseous species with m/z = 106 and 91 by mass spectrometry.
With increasing number of carbon atoms in the alkoxy group from 5 to 10 as well as increasing the Si/ROH from 4/1 to 2/1, the weight loss at 150 up to 700°C increased to 55%, and the exothermic peak became much more pronounced [Fig. 7(a)]. The MS spectrum measured during the weight loss exhibited sequential peaks 14 mass units apart at \( m/z = 85, 71, 57 \) and 43, which can be assigned to the fragment ions derived from hydrocarbons formed in-situ by the typical \( \alpha \)-cleavage in the n-C10H21O group, followed by the sequential C–C bond cleavage which releases methylene units.34),40) However, the spectrum exhibited other peaks at \( m/z = 70 \) (C5H10\(^+\)) and 55 (C4H7\(^+\)) assigned as the fragment ions derived from n-C10H21OH [Fig. 7(b)].34),41)

The thermal decomposition and subsequent combustion behaviours for the n-C10H21O group-functionalized silica were similar to those for the n-C5H11O group-functionalized sample, while the CO2\(^+\) ion intensities detected at around 300°C were apparently higher than those for the n-C5H11O group-functionalized sample [Figs. 7(c) and 7(d)].

### 3.4 Microporosity formations of polymer-derived amorphous silica

The intrinsic microporosity of the PHPS-derived amorphous silica heat-treated at 600°C is thought to be similar to that of the sol gel-derived amorphous silica. As for the disordered form of \( \beta \)-cristobalite, considered to be the generally accepted structure of glass, it is composed of 5- and 6-membered Si–O–Si rings with estimated ring size of approximately 0.3 nm.42),43) Moreover, it has been suggested that PHPS contains 2-, 3- and 4-membered Si–N–Si ring clusters, as microporous units.5),27) Upon oxidation at room temperature, the ring clusters are readily converted to Si–O–Si linkages, which could serve as nucleation sites for subsequent growth of micropores during the heat treatment. However, the diameters of the resulting 5- and 6-membered rings are too small for the N2 probe molecule to access (0.364 nm).44) Consequently, the PHPS-derived amorphous silica was characterized as non-porous.

The weight losses evaluated for amorphous silica-based hybrid containing n-C5H11O group (Si/ROH = 4/1) and n-C10H21O group (Si/ROH = 2/1) were compatible with those obtained by the conventional TG analysis in air (11 and 57%, respectively). Thus, the microporous structure formations shown in Fig. 4 can be discussed based on the pyrolytic behaviours shown in Figs. 6 and 7. As mentioned above, the thermal decomposition and subsequent combustion of the alkoxy group led to the in-situ formation of gaseous species, and eventually contributed to the formation of micropores, detected using N2 as a probe molecule. The kinetic diameters of main components of the gaseous species C4H9\(^+\) (n-C4H10\(^+\)), C3H7\(^+\) (n-C3H8\(^+\)), and CO2\(^+\) are in the range of 0.33–0.43 nm.44) Since the micropore volume increased consistently with the number of carbon atoms in the alkoxy group as
shown in Fig. 4(b), it is suggested that the micropore volume can be controlled by controlling the total volume of the released gaseous species formed in-situ. However, in the case of n-C_{10}H_{21}OH group-functionalized amorphous silica, the micropore volume did not increase linearly with the Si/n-C_{10}H_{21}OH ratio, which is due to the remaining excess of n-C_{10}H_{21}OH as demonstrated by the FT-IR spectroscopy and TG-MS. The unreacted n-C_{10}H_{21}OH as well as xylene which is physically trapped within the hybrid material, were released up to at 300°C. The resulting micropore units formed in-situ at the initial heat treatment step are thermodynamically unstable, and collapsed during heat treatment at 600°C. In contrast, the in-situ formation of CO_{2} was found to be continuous up to approximately 650°C. Thus, among the in-situ formed small gaseous species, CO_{2} could act as a particularly crucial key factor for the microporosity formation process in the amorphous silica.

In this study, microporous amorphous silica materials were successfully synthesized through the polymer-derived ceramics (PDCs) route via alkoxy group-functionalized amorphous silica-based hybrids. The amount of alkoxy group was found to play an important part in controlling the volume of micropores formed in-situ. Thus, the stoichiometric reaction between the Si–H groups in PHPS and an appropriate amount of alcohol leads to the precise control of micropore volume in the final silica material. In this respect, use of reaction catalyst such as Lewis acid would be attractive to achieve the stoichiometric reaction.

It is also important to perform quantitative analysis of carbon content in the alkoxy-group-functionalized amorphous silica-based hybrids. These key aspects have been given into consideration for our ongoing studies.

4. Summary

In this study, PHPS was modified with n-C_{6}H_{12}OH and n-C_{10}H_{21}OH. The chemically modified PHPSs were converted to alkoxy group-functionalized amorphous silica-based hybrids. The micropore volume was found to be controlled by the total amount of alkoxy group-functionalized amorphous silica at room temperature. The results can be summarized as follows.

1) The alkoxy-group functionalized PHPSs were successfully converted into amorphous silica-based hybrids by exposure to vapour from aqueous ammonia at room temperature.

2) Alkoxy group functionalization of silica prior to the 600°C-heating treatment in air apparently increased the micropore volume of the resulting amorphous silica, and the highest micropore volume of 0.204 cm³/g with a specific surface area of 387 m²/g was achieved for the sample derived from PHPS chemically modified with n-C_{6}H_{12}OH at the Si/n-C_{6}H_{12}OH molar ratio of 2/1.

3) The micropores evaluated by the SF method were in the size range of 0.43 to 1.6 nm, and the resulting micropore size distribution peaked at 0.43 nm. The results of TG-MS analysis suggested that, among the in-situ formed small gaseous species including C_{3} and C_{4} hydrocarbons, CO_{2} gas molecules, continuously released up to approximately 650°C, mainly contributed to the formation of micropores that were detected using N_{2} as a probe molecule.

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