Pre-Clinical Evaluation of Proprietary Lutein, Zeaxanthin, and Rosemary Formulation for Its Dermal Protective Activity in Male Swiss Albino Mice

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ABSTRACT: This study aimed to evaluate the efficacy of the proprietary lutein, zeaxanthin, and rosemary formulation for its dermal protection against ultraviolet (UV) irradiated skin dehydration. A total of 48 male Swiss albino mice of 8 ~ 12 weeks of age were divided into eight groups of 6 mice: mice in group 1 (G1) were considered the normal control, without treatment and without skin shaving; mice in G2 had their skins were shaved, but did not receive treatment; mice in G3 were the pathological control; mice in G4 were treated as standard (hyaluronic acid); mice in G5 ~ G8 were treated with low and high doses of 2 different test substances, respectively. Mice were anaesthetized and then depilatory was applied on the dorsal skin area (2 cm×2 cm) on alternate days, then UV/blue light irradiation was carried out for 15 min for 6 weeks. Collagen type 1 gene expression was determined via densitometric analysis, skin elasticity was assessed, and stratum corneum water contents were measured using a cutometer and corneometer. Skin hydration was assessed through transepidermal water loss, and several serum biochemical parameters (collagenase, hydroxyproline, hyaluronic acid, and ceramide levels) were determined to assess the skin moisturizing activity of the product. Images for assessing photoaging were considered between different groups on day 42. All these subjective parameters reached statistical significance (P<0.05) in groups treated with the proprietary lutein and rosemary formulation compared with the placebo-treated group. In conclusion, the proprietary lutein, zeaxanthin, and rosemary formulation showed better protection of skin subjected to UV irradiated skin dehydration.

Keywords: dermal protection, moisturizer, skin irradiation, transepidermal water loss

INTRODUCTION

Solar ultraviolet (UV) irradiation penetrates the skin causing sunburn, mild inflammation, photocarcinogenesis, and premature skin aging (Cooper et al., 1992). Photo-aging is the most common form of skin damage (due to increase collagen degradation, irregular pigmentation, and wrinkles) caused by chronic, repetitive sun exposure (Fisher et al., 1997). Long-term exposure to solar UV irradiation damages the dermal connective tissue and extracellular matrix (ECM), which in turn leads to aged appearance of photo-damaged skin (Pittayapruek et al., 2016). A hallmark of this UV-induced ECM remodeling is degradation of collagen and elastin through UV-induced activation of matrix metalloproteinases and decreased de novo synthesis of collagen (Quan et al., 2009). The mechanisms of UV-induced matrix metalloproteinase activation and inhibition of collagen synthesis have been studied in detail (Fisher et al., 1996). In contrast, the effect of UV on hyaluronic acid (HA), another key component of the dermal ECM, is much less understood, and the underlying mechanisms are primarily unknown. HA is a linear polymer composed of repeating disaccharides (D-glucuronic acid-β-1,3-N-acetylglucosamine-β-1,4-) and assembled from the respective activated nucleotide sugars (uridine diphosphate-glucuronic acid, uridine diphosphate-N-acetylg glucosamine) at the inner plasma membrane by HA syntheses (Papakonstantinou et al., 2012). In the skin, HA also has important structural functions that are related to the unique molecular features of HA. The high
polymer length and polyanionic charge enables HA to bind water, which in turn supports volume expansion and turgidity of the skin, diffusion of metabolites and nutrients, and elasticity of the skin (Manuskiati and Maibach, 1996). Taken together, HA confers functions through initiating receptor signaling, and creates an extracellular microenvironment that supports the typical physicochemical and mechanical properties of the skin (Papakonstantinou et al., 2012).

In the epidermis, HA is induced during wound healing and regeneration (Tammi et al., 2005), whereas the regulatory pathways of HA are much less understood in the dermis. Interestingly, several conditions that accelerate skin aging, such as estrogen deficiency (Sator et al., 2004; Kanda and Watanabe, 2005), are associated with loss of HA from the dermis, and treatments that counteract actinic skin aging, such as retinoic acid, increase dermal HA (Margelin et al., 1996; Saavalainen et al., 2005). These results strongly suggest that dermal HA plays an important role during photo-aging.

Lutein and zeaxanthin are common carotenoid xanthophylls found in nature, and are especially rich in Marigold flowers (Quackenbush and Miller, 1972). Lutein and zeaxanthin are stereoisomers in the human retina and are hydroxy carotenoids demonstrating potent antioxidant activity (Robert et al., 2009). Commonly present in the human macula and retina, these macular carotenoids are majorly studied for eye health in protecting the eye from UV photo-toxicity by quenching reactive oxygen species (ROS). Thus, they are commonly studied in age-related macular degeneration and cataract (Sommerburg et al., 1999). Because of their good antioxidant potency, lutein and zeaxanthin are known to help maintain better skin tone, skin lightening, and elasticity (Mathews-Roth and Krinsky, 1985; Roberts et al., 2009).

Furthermore, rosemary extract is a potent antioxidant (Klancnik et al., 2009). The antioxidant properties of rosemary are based on the carnosic acid and carnosol with rosemarinic acid, which accumulate in the fatty membranes of cells. Carnosic acid is one of the most potent antioxidants (Aruoma et al., 1992). The antioxidant properties of rosemary extract are attributed to its richness in isoprenoid quinones, which act as chain terminators of free radicals and as chelators of ROS (Nieto et al., 2018). In addition, carnosic acid and carnosol act as potent scavengers of peroxyl radicals (Aruoma et al., 1992). These dietary carotenoids cannot be synthesized by mammals and must therefore be obtained from diet (Jia et al., 2017). Previous research on lutein and zeaxanthin has shown that, as oral or topical treatments, these supplements induce remarkable improvements in skin elasticity, skin hydration, and skin lipid levels, contributing to anti-wrinkle and photo-protective effects (Palombo et al., 2007). One of the best commercial sources of pure lutein and zeaxanthin is marigold flowers (Jia et al., 2017). Known as a potent antioxidant, *Rosmarinus officinalis* has been used as a healing herb for centuries. Over the last few decades, extensive *in vitro*, *in vivo*, and human trials have been conducted to determine scientific evidence for the medicinal properties attributed to rosemary and its active constituents, including carnosic acid (Park et al., 2013; González-Minero et al., 2020). Diterpene carnosic acid, one of the most important active components of rosemary extract, has been studied globally for health benefits for skin, mainly due to its capacity to prevent the generation of radical species (Loussouarn et al., 2017).

The aim of the present study was to elucidate the protective effects of a proprietary lutein, zeaxanthin, and rosemary formulation against harmful UV radiations on dermal HA, and various other parameters including collagen type 1, transepidermal water loss (TEWL), and estimation of important biochemical parameters that may play a key role in skin health.

**MATERIALS AND METHODS**

**Animals**

In-house bred male Swiss albino mice aged between 8 and 12 weeks were housed under controlled conditions: temperature of 22°C±3°C, relative humidity of 50% to 70%, and a 12-h light and 12-h dark cycle. Animals were housed in standard polypropylene cages with stainless steel top grills with facilities for pelleted food and drinking water via a bottle. Sterile paddy husk was used as bedding material and was changed every day. The feed and drinking water were free from any contaminants and provided *ad libitum*. All the experimental procedures and protocols used were reviewed and approved by the Institutional Animal Ethics Committee (IAEC) of Radiant Research Services Pvt. Ltd. (Karnataka, India) (Regd. No.: 1803/PO/RcBi/S/2015/PCPSEA) constituted in accordance with the guidelines of the Committee for the Purpose of Control and Supervision of Experiments on Animals, government of India.

**Preparation of test samples**

*Test sample A:* This was the placebo and natural sunflower oil, which is the base carrier vehicle of the active proprietary formulation. Sunflower oil, a vegetable oil, was considered the best carrier/vehicle since it is stable and effective in this medium.

*Test sample B:* This was the active and proprietary XanMax® 80 (NutriScience Innovations LLC, Milford, CT, USA) and rosemary formulation (ratio: 11.11:88.88), dispersed in sunflower oil. XanMax® 80 (NutriScience Innovations) is a marigold extract that contains 80% free lutein and 6% zeaxanthin. Lutein and zeaxanthin ratios...
were based on dietary reference intakes, whereby there is strong evidence that lutein is safe up to 20 mg/d (Ranard et al., 2017). Dried marigold was extracted in hexane and subjected to saponification. The extract was filtered, evaporated until dry, and frozen until use. Rosemary extract, which contained 8% carnosic acid, was extracted in ethanol and evaporated until dry. According to EU regulation 1333/2008, the maximum level (mg/L or mg/kg) of rosemary extracts in food supplements should be 400 mg/kg. Our formulation contains less rosemary extract than the maximum allowed dose per day (de Raadt et al., 2015). During the formulation stage, the dose of individual active ingredients (lutein, zeaxanthin, and rosemary) was taken into consideration following scientifically supported dietary guidance and intake recommendations.

**Experimental design**

A total of 48 male mice were divided into eight groups of 6 mice (Table 1). At the start of the experiment, mice in groups (G) 2 to 8 were anesthetized on the dorsal skin surface, and then depilatory was applied to the dorsal skin (2 cm × 2 cm) on alternate days. UV irradiation (equivalent to 14 mJ/cm²) was carried out using UV simulators (2 cm × 2 cm) on alternate days. UV irradiation (equivalent to 400 nm) five times a week during the 6 week treatment period. Subsequently, animals were anaesthetized using isoflurane anesthesia, blood samples collected via retro-orbital route for biochemical analyses. Animals were sacrificed using extended isoflurane anesthesia, followed by treatment with standard product. The primers used for second strand synthesis were: forward, 5'-GTGCTAAAAGGTGCAAATGTG-3'; reverse, 5'-ACCAGGTCACCCGCTGTAC-3'. The PCR-amplified products were analyzed using 1% agarose gel electrophoresis. Gels were scanned using the Alpha DigiDoc system (Alpha Innotech, San Leandro, CA, USA) and subjected to semi-quantitative densitometric analysis using Alpha Imager software (Alpha Innotech).

**Measurement of TEWL**

Photographic skin exposed to UV irradiation from day 0 to 42 of the treatment period was assessed by TEWL, detected using a Tewameter (Courage and Khazaka Electronics, Cologne, Germany). TEWL scores are digitally generated by measuring the dielectric properties of the skin. For TEWL measurements, room temperature and humidity were maintained at 21°C ± 1°C and 50% ± 5%, respectively. The test mice were shifted to the room and allowed to stabilize for 30 min prior to measuring the TEWL scores. Several measurements were performed on

| Group | Group description | Treatment description | No. of animals |
|-------|-------------------|-----------------------|----------------|
| G1    | Cell control      | No treatment and no skin shaving (no UV light irradiation nor product treatment) | 6              |
| G2    | Sham control      | No treatment, but mice were shaved | 6              |
| G3    | Pathological control | Mice were shaved and exposed to UV radiation, but did not receive a test product | 6              |
| G4    | Standard control  | Mice were shaved and exposed to UV radiation, followed by treatment with standard product | 6              |
| G5    | Test A group (66.28 mg/kg) | Mice were shaved and exposed to UV radiation, followed by treatment with test product A at a low dose | 6              |
| G6    | Test A group (133.57 mg/kg) | Mice were shaved and exposed to UV radiation, followed by treatment with test product A at a high dose | 6              |
| G7    | Test B group (66.28 mg/kg) | Mice were shaved and exposed to UV radiation, followed by treatment with test product B at a low dose | 6              |
| G8    | Test B group (133.57 mg/kg) | Mice were shaved and exposed to UV radiation, followed by treatment with test product B at a high dose | 6              |

UV, ultraviolet.
| Group | Treatment                                      | Day 0                                                                 | Day 42               |
|-------|-----------------------------------------------|----------------------------------------------------------------------|---------------------|
| G1    | Cell control                                  |                                                                      | No change           |
| G2    | Sham control                                  |                                                                      | No change           |
| G3    | Pathological control                          |                                                                      | Extremely dry skin  |
| G4    | Standard control (hyaluronic acid 120 mg/kg)  |                                                                      | mild dry skin       |
| G5    | Test A group (66.28 mg/kg)                    |                                                                      | Extremely dry skin  |
| G6    | Test A group (133.57 mg/kg)                   |                                                                      | Extremely dry skin  |
| G7    | Test B group (66.28 mg/kg)                    |                                                                      | mild dry skin       |
| G8    | Test B group (133.57 mg/kg)                   |                                                                      | mild dry skin       |

**Fig. 1.** Images of skin exposed ultraviolet irradiation during treatment period in experimental mice on day 0 and day 42. Skin irradiation was compared on day 0 and day 42 between group 1 (G1) to G8.
Fig. 2. Effect of test substance on body weight in mice. G5 to G8 were induced with UV-irradiation. G5 (low dose) and G6 (high dose) were treated with test compound A; G7 (low dose) and G8 (high dose) were treated with test compound B.

Fig. 3. Effect of test substance on mRNA expression of collagen type I in mice skin. Total RNA isolated from mice skin was used to amplify the genes. Equal quantities of cDNA was used for the reverse transcriptase-PCR reaction and equal quantities of the amplified product was used to load the wells. For normalization, glyceraldehyde 3-phosphate dehydrogenase (GAPDH) expression separately determined of the same cDNA. L (protein ladder), 1 (cell control; G1), 2 (path control; G3), 3 (standard control; G4), 4 (sham control; G2), 5 (test A high dose; G6), 6 (test A low dose; G5), 7 (test B high dose; G8), and 8 (test B low dose; G7).

Fig. 4. Graphical representation of densitometric analysis of gene transcripts of collagen type I gene expression study. The density of each band is quantified by using alpha view software. The area of the peaks generated by alpha view is directly proportional to the band intensities. The fold differences between the band densities of the controls and the treatment groups were used for plotting the graph. The values were expressed in mean±SEM. *P<0.05, **P<0.01, and ***P<0.001 was considered to be statistically significant when compared to path control (Group 3) values.

RESULTS AND DISCUSSION

Photographic images of UV radiation and the effects of the test products on the skin are shown in Fig. 1. Skin was not dried in G1 and G2 on Day 42 when compared to their respective Day 0 images. In G3 where pathological controls were taken, highly dried skin was observed and whereas in G4 that received hyaluronic acid, in spite of irradiation the skin was protected from dryness on Day 42. There were significant clinical changes between the skins of groups that received test samples A and B. G5 and G6 were treated with test sample A (the placebo) at low and high doses, but did not show distinct reductions in skin drying compared with G3 (the patho-
logical control). However, the skin of mice in G8 and G7
was protected from UV irradiation by day 42 at both
high and low doses, showing good efficacy of test sam-
ple B. The body weights increased in general across all
the study groups over a period of 6 weeks (Fig. 2). How-
ever, there were no clinically significant changes in the
body weights between the 8 groups when compared with
respective normal control on Day 0 to week 6 (Day 42) suggesting that the test products (either low or
high doses) had no effects on the body weight of study
animals.

To further evaluate the effects of oral intake of proprie-
tary lutein, zeaxanthin, and rosemary formulation on skin,
changes in expression of collagen type 1, TEWL, and the
water contents in the stratum corneum were measured
after 6 weeks of UV irradiation. The amount of collagen
(Fig. 3 and 4) in the pathological control group was sig-
ificantly lowered (clinically) compared to mice groups
that did not receive radiation. Mice exposed to high doses
treatment B expressed collagen at similar levels to
standard control (HA) mice, confirming the efficacy of
test sample B at a high dose. The level of TEWL was sig-
nificantly higher in mice exposed to UV light when this
level was compared with that of groups that did not re-
ceive radiation. In mice that received standard treatment,
TEWL levels were lower, similar to normal. Also, the
group treated with test sample B showed levels of TEWL
lowered in a dose-dependent manner (Fig. 5). TEWL was
significantly increased in G3 compared with normal and
sham control groups, and was significantly reduced in G7
and G8 compared with G3 (each *P*<0.001). In addition,
skin elasticity (Fig. 6), water contents in the stratum cor-
neum (Fig. 7), and all the 4 biochemical parameters (HA,
ceramide, hydroxyproline, and collagenase; Fig. 8) showed
opposite patterns to TEWL in mice that received UV ra-
diation.

![Fig. 5. Effect of test substances on transepidermal water loss (TEWL). Eight groups (G1–G8) in total were employed for the experiment. G1 was control and G2 was sham control. G3–G8 were induced with ultraviolet-irradiation; G3 was path control (no treatment). G4 was standard drug (hyaluronic acid) treated. G5 (low dose) and G6 (high dose) were treated with test compound A; G7 (low dose) and G8 (high dose) were treated with test compound B. After 42 days of treatment the TEWL score was measured using corneometer. The TEWL score is digitally generated by measuring the dielectric properties of the skin. The values were expressed in mean±SEM. ***P<0.001 was considered statistically significant when compared to group 3 values.](image1)

![Fig. 6. Effect of test substances on skin elasticity. Eight groups (G1–G8) in total were employed for the experiment. G1 was control and G2 was sham control. G3–G8 were induced with ultraviolet-irradiation; G3 was path control (no treatment). G4 was standard drug (hyaluronic acid) treated. G5 (low dose) and G6 (high dose) were treated with test compound A; G7 (low dose) and G8 (high dose) were treated with test compound B. After 42 days of treatment the skin elasticity score was measured using corneometer. The skin elasticity score is digitally generated by measuring the dielectric properties of the skin. The values were expressed in mean±SEM. ***P<0.001 was considered statistically significant when compared to group 3 values.](image2)

![Fig. 7. Effect of test substances on water contents in stratum corneum. Eight groups (G1–G8) in total were employed for the experiment. G1 was control and G2 was sham control. G3–G8 were induced with ultraviolet-irradiation; G4 was standard drug (hyaluronic acid) treated. G5 (low dose) and G6 (high dose) were treated with test compound A; G7 (low dose) and G8 (high dose) were treated with test compound B. After 42 days of treatment the water contents in stratum corneum were measured using corneometer. The water contents in stratum corneum were digitally generated by measuring the dielectric properties of the skin. The values were expressed in mean±SEM. ***P<0.001 was considered statistically significant when compared to group 3 values.](image3)
The major causes of skin aging are passage of time (intrinsic aging) and cumulative exposure to external influences such as UV radiation and smoking (extrinsic aging) (Yaar and Gilchrest, 2007; Langton et al., 2010). Intrinsically aged skin is characterized by fine wrinkling and reduced elasticity, whereas extrinsically aged skin exposed to UV light is associated with induction of deep wrinkles and significant losses of elasticity (Agache et al., 1980; Warren et al., 1991). Of the external factors that induce skin aging, UV radiation is considered the key cause of skin damage, which is characterized by deep wrinkles, roughness, laxity, and pigmentation (Choi et al., 2010). Many studies have reported that levels of collagen in the skin are decreased significantly after UV radiation (Pienimaki et al., 2001). HA is thought to play important functional roles in healthy skin by controlling the phenotype of epithelial cells. In addition, the HA content governs general functions of the skin, such as water content, turgidity, elasticity, and nutrient diffusion. Detailed information has been previously reported on the regulatory pathways of epidermal HA synthesis (Rilla et al., 2002) the function of epidermal HA (Tammi and Tammi, 1991; Pienimaki et al., 2001) and the expression of epidermal HA synthases and HA receptors (Rilla et al., 2002).

Antioxidants, such as carotenoids (lutein and zeaxanthin), diterpenes, flavonoids, vitamins A, C, D, and E, essential omega-3-fatty acids, and some proteins, have been studied for their beneficial anti-aging effects and are used as ingredients in dietary supplements to minimize the increased levels of harmful ROS (Schagen et al., 2012). The effectiveness of lutein and zeaxanthin in helping to protect the skin from damage caused by environmental exposure arises from their antioxidant effects, which has been a subject of research for over a decade (Roberts et al., 2009). Since humans are unable to synthesize these macular carotenoids, dietary supplements help meet the body’s requirement (Juturu et al., 2016). In addition, carnosic acid in combination with other bioactive compounds such as lutein and zeaxanthin could be an ideal choice for natural skin protectors.

In conclusion, the present study suggests that chronic UV induces progressive loss of HA from the upper dermis due to downregulating transcription. Furthermore, the proprietary lutein, zeaxanthin, and rosemary formulation...
demonstrates a protective role in these circumstances. The study concluded that the proprietary lutein, zeaxanthin, and rosemary formulation and standard drug HA better protects UV irradiated skin against dehydro.

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AUTHOR DISCLOSURE STATEMENT

The authors declare no conflict of interest.

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