Treatment with anthelminthics during pregnancy: what gains and what risks for the mother and child?

ALISON M. ELLIOTT1,2, JULIET NDBAZZA1, HARRIET MPAIRWE1, LAWRENCE MUKANG1, EMILY L. WEBB2, DENNISON KIZITO1, PATRICE MAWA1, ROBERT TWEOYONGHERE3 and MOSES MUWANG3 for the ENTEBBE MOTHER AND BABY STUDY TEAM

1 MRC/UVRI Uganda Research Unit on AIDS, Uganda Virus Research Institute, PO Box 49, Entebbe, Uganda
2 London School of Hygiene and Tropical Medicine, Keppel Street, London WC1E 7HT, UK
3 Faculty of Veterinary Medicine, Makerere University, Kampala, Uganda
4 Entebbe Hospital, PO Box 29, Entebbe, Uganda

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SUMMARY

In 1994 and 2002, respectively, the World Health Organisation proposed that treatment for hookworm and schistosomiasis could be provided during pregnancy. It was hoped that this might have benefits for maternal anaemia, fetal growth and perinatal mortality; a beneficial effect on the infant response to immunisation was also hypothesised. Three trials have now been conducted. Two have examined the effects of benzimidazoles; one (the Entebbe Mother and Baby Study) the effects of albendazole and praziquantel. All three were conducted in settings of high prevalence but low intensity helminth infection. Results suggest that, in such settings and given adequate provision of haematinics, the benefits of routine anthelminthics during pregnancy for maternal anaemia may be small; none of the other expected benefits has yet been demonstrated. The Entebbe Mother and Baby Study found a significant adverse effect of albendazole on the incidence of infantile eczema in the whole study population, and of praziquantel on the incidence of eczema among infants of mothers with Schistosoma mansoni. Further studies are required in settings that differ in helminth species and infection intensities. Further research is required to determine whether increased rates of infantile eczema translate to long-term susceptibility to allergy, and to explore the underlying mechanisms of these effects. The risks and benefits of routine anthelminthic treatment in antenatal clinics may need to be reconsidered.

Key words: Anthelminthic, pregnancy, albendazole, praziquantel, hookworm, Schistosoma mansoni, atopic eczema, anaemia.

INTRODUCTION

Hookworm causes iron deficiency and anaemia (Roche and Layrisse, 1966); and maternal iron deficiency anaemia is associated with adverse pregnancy outcomes including still birth, prematurity, low birth weight and possibly maternal mortality (Allen, 2000). Because of this, in 1994, an informal consultation of the World Health Organisation (WHO) recommended that hookworm control using levamisole or pyrantel, or the benzimidazoles albendazole or mebendazole, should be included in strategies for the improvement of health in girls and women in areas where hookworm is endemic and anaemia prevalent (WHO, 1995). In particular it was suggested that a single dose of anthelminthic treatment could be given in pregnancy, during the second to third trimester; routine anthelminthic treatment was already being implemented during antenatal care in Sri Lanka (Atukorala et al., 1994; de Silva et al., 1999). However, at that time no randomised trials to assess the risks and benefits of this intervention had been undertaken.

A further consultation in 2002 considered the use of praziquantel during pregnancy and lactation (WHO, 2002); previous recommendations had been against this. The consultation recognised that the former recommendations could result in exclusion of women in endemic areas from treatment of schistosomiasis for a large proportion of their reproductive lives. It was proposed that as well as specific end-organ damage, schistosomiasis in pregnancy might contribute to important subtle maternal morbidity including anaemia and decreased work capacity, and perhaps to impaired fetal growth. There was no evidence of fetal toxicity for praziquantel in animals, and its use during human pregnancy in a small number of documented cases had shown no severe adverse effects. Therefore the consultation recommended the use of praziquantel during pregnancy.

Again, no randomised trials of praziquantel treatment during pregnancy had been undertaken and in 2006 a WHO working group on schistosomiasis listed among its priorities to “conduct without delay randomised, placebo-controlled trials of praziquantel...”
treatment in pregnancy for all species of human schistosome in areas of both high and low transmission” (WHO, 2006).

Since these meetings, two randomised trials on the use of benzimidazoles during pregnancy have been reported (Haider et al. 2009), one from Peru (Larocque et al. 2005, 2006) and one from Sierra Leone (Torlesse and Hodges, 2001), and in Uganda we have conducted a randomised trial of both albendazole and praziquantel during pregnancy, using a 2×2 factorial design: the Entebbe Mother and Baby Study (Elliott et al. 2007). We here summarise the results of our trial, with follow-up of infants to one year of age, and discuss the implications of our findings, and of the findings of other recent studies, for recommendations on the routine use of anthelminthic drugs during pregnancy, and for further research priorities.

The Entebbe Mother and Baby Study [ISRCTN32849447]

Although the WHO consultations focused mainly on potential benefits for anaemia and helminth-specific pathology in the mother and for growth in the fetus, there are other important potential effects of helminths and anthelminthic treatment during pregnancy. Helminths have a major impact on the host immune system, modulating the response both to themselves and to unrelated antigens (van Riet et al. 2007). Before the start of our trial, it had been observed that exposure to maternal helminths in utero could result in sensitisation of the fetus to helminth antigens (Novato-Silva et al. 1992; Malhotra et al. 1997), and in modulation of the infant immune response to neonatal BCG immunisation (Malhotra et al. 1999). The Entebbe Mother and Baby Study was therefore designed to address the hypothesis that helminth infections can influence the immune response to non-worm antigens (including immunogens and pathogens), that these effects can be established in utero and that they can be modified by anthelminthic treatment during pregnancy.

The study commenced in 2002 as a trial of albendazole versus placebo during pregnancy, but was suspended following publication of the WHO recommendations on use of praziquantel during pregnancy (Allen et al. 2002) and modified to allow us to investigate effects of praziquantel treatment during pregnancy, as well as of albendazole treatment. Data collected before this modification created a preliminary study of the effects of albendazole treatment during pregnancy among 104 mothers and their infants. In this preliminary study we observed a protective effect of maternal helminth infection, and a possible detrimental effect of albendazole treatment during pregnancy, on the incidence of infantile eczema (Elliott et al. 2005). Therefore we also modified the trial design to allow us to investigate effects of anthelminthics during pregnancy on allergic disease outcomes.

The main Entebbe Mother and Baby Study thus compared single-dose albendazole (400 mg) versus placebo and praziquantel (40 mg/kg) versus placebo, given during the second or third trimester of pregnancy, in a 2×2 factorial design. All women were treated with both albendazole and praziquantel after delivery. The study included a further randomisation of the children, from age 15 months to five years, to quarterly albendazole versus placebo; this part of the study will be completed in 2011 and will not be discussed in this review.

The study is based in Entebbe and the neighbouring sub-county of Katabi, a peninsula in Lake Victoria, Uganda. We recruited 2507 women at the government district hospital between 2003 and 2005. Most women were poor: 82% reported a monthly cash income of less than 30,000 Uganda shillings (then approximately US$20) per month. They came from the relatively urban setting of Entebbe Municipality and from the surrounding fishing communities and rural farming communities. The prevalence of helminth infection at enrolment was high: 68% had at least one helmint infection, 45% had hookworm, 21% M. perstans and 18% had Schistosoma mansoni; other species were less common (Woodburn et al. 2009). For intestinal helminths these results were based on examination of two slides from a single stool sample, implying that the true prevalence of helminths was higher (Hall, 1981; Utzinger et al. 2001). Although the prevalence of infection was high, intensity was generally low, according to WHO classifications (WHO, 1995, 1999): 85% of those with hookworm had egg counts below 1000 eggs per gram (epg) of stool (91% below 2000 epg), and 65% of those with S. mansoni had counts below 100 epg. At age one year, only 44 of 1338 infants examined had helminth infections, the commonest being Ascaris (15 infants), Trichuris (12 infants) and Mansonella (eight infants); because these numbers were so small the effects of infants’ helminth infections are not considered further in this review.

Effects of anthelminthic treatment in pregnancy

On helminth prevalence

Anthelminthic treatment during pregnancy was effective in our study (Ndbazza et al. 2010) (Fig. 1). The prevalence of hookworm declined in the albendazole treatment group from 45% to 5%. This was similar to the effect of albendazole reported by Torlesse and Hodges, (2001) but greater than the effect reported by Larocque et al. (2006) for single-dose mebendazole, in accord with the greater efficacy of albendazole against hookworm (Keiser and Utzinger, 2008). There was no change in hookworm prevalence in
the albendazole placebo group. Single-dose albendazole was also effective for *Ascaris*, but had little effect on *Strongyloides* or *Trichuris*. Praziquantel was effective against *S. mansoni*; prevalence declined from 18% to 5%. This is important because pregnancy is associated with immunosuppression and the efficacy of praziquantel may depend partly on immunologically-mediated killing following disruption of the tegument and exposure of antigens on the surface of the parasite (Doenhoff et al. 2008). We found that boosts in cytokine and antibody response to schistosome antigens after treatment tended to be lower when praziquantel was given during pregnancy than when it was given after delivery, but the cure rate for *S. mansoni* was similar (Tweyongyere et al. 2008, 2009).

**On maternal anaemia**

The WHO consultations discussed above anticipated that reductions in anaemia would be an important benefit of intervention against hookworm during pregnancy, and also a benefit of treating schistosomiasis during pregnancy. In our study, the prevalence of anaemia at baseline was 40%. No individual helminth species was associated with the presence of anaemia, but increasing intensity of hookworm was associated with lower haemoglobin level (Muhangi et al. 2007). These findings were similar to those of Larocque et al. (2005) in Peru, where the prevalence and intensity of hookworm infection were similar. By contrast to a study in Tanzania (Ajanga et al. 2006), we found no association at baseline between maternal anaemia and intensity of *S. mansoni*.

We provided haematinics routinely to all women, as well as two doses of intermittent presumptive treatment for malaria with sulphadoxine-pyrimethamine. We found no overall benefit of anthelmintic treatment during pregnancy on maternal anaemia after delivery and no benefit of albendazole in the subgroup of mothers shown to have hookworm at baseline, or of praziquantel among mothers shown to have *S. mansoni* infection (Ndibazza et al. 2010). An exploratory analysis stratified on hookworm intensity suggested a possible benefit of albendazole among women with moderate to heavy hookworm, but this difference in effect was not statistically significant. Again, the findings of Larocque et al. (2006) were similar: no benefit for maternal anaemia in the context of the provision of haematinics. The Sierra Leone trial (Torlesse and Hodges, 2001) compared single-dose albendazole with haematinics in a factorial design and found some benefit of albendazole but a greater benefit of haematinics for both anaemia and iron status. Together, these results suggest that, given adequate provision of haematinics, the benefit of routine anthelmintics during pregnancy for maternal anaemia may be less than had been anticipated.

**On birth weight**

The possibility that birth weight would be improved by routine treatment with benzimidazoles during pregnancy in areas of high hookworm prevalence was suggested by the cross-sectional study in Sri Lanka (de Silva et al. 1999). Very low birth weight (below 1·5 kg) was less common among women who reported taking mebendazole during pregnancy than among those that did not. Similarly, a non-randomised study of albendazole treatment in Nepal suggested a benefit for birth weight (Christian et al. 2004). The principal limitation of these studies was the possibility that taking anthelmintics was associated with better overall care-seeking behaviour and hence better outcomes mediated by a variety of factors. More recently, studies in animals suggested possible adverse effects of schistosomiasis on birth weight and other perinatal outcomes, but no adequate studies have been conducted to explore similar effects in humans (Friedman et al. 2007).

Neither we nor Larocque et al. (2006) found any benefit of anthelmintic treatment during pregnancy...
for mean birth weight or low birth weight (below 2·5 kg) (Ndibazza et al. 2010). Larrocque and colleagues found a possible benefit of mebendazole for very low birth weight (below 1·5 kg), but only seven infants fell into this category in their study. In our study, 11 infants were very low birth weight and there was no association between this outcome and the treatment the mother had received. Again, the provision of adequate haematinics could be a factor in preventing an adverse effect of hookworm mediated by iron deficiency, and a consequent benefit of albendazole, from becoming evident, but the role of iron and folic acid supplementation in determining pregnancy outcomes other than anaemia remains uncertain (Pena-Rosas and Viteri, 2006).

On congenital anomalies

The principal mode of action of benzimidazoles is to bind tubulin and inhibit the synthesis of microtubules which are ubiquitous components of the eukaryotic cytoskeleton. Their specificity for helminths is relative and selectivity seems to be related to the stability of binding between the drug and the tubulin molecules (Lacey, 1990; MacDonald et al. 2004). Thus interference with processes such as mitosis provide a plausible mechanism of fetal toxicity for benzimidazoles, and there is evidence of toxicity in animal models (Dayan, 2003). Although this was recognised by the WHO committee in 1995, differences in dose, metabolism and pharmacokinetics between animal models and human usage led them to suggest that benzimidazoles were probably safe for pregnant and lactating women and their offspring (WHO, 1995). In the cross-sectional study in Sri Lanka, the number of congenital anomalies was higher among infants of women who reported taking mebendazole during the first trimester than among those that did not, but this difference was not statistically significant (de Silva et al. 1999) and, overall, observational studies have not reported a significant excess of congenital anomalies following use of benzimidazoles in human pregnancy (Diav-Citrin et al. 2003).

The mode of action of praziquantel is less certain (Doenhoff et al. 2008), but for this drug there is no evidence of genotoxicity or fetal toxicity (Dayan, 2003; Adam et al. 2005).

We avoided anthelmintic treatment during the first trimester, and found no evidence of association between either albendazole or praziquantel and congenital anomalies in our study (Ndibazza et al. 2010). Similarly, the trials in Peru and Sierra Leone found no evidence of fetal toxicity for benzimidazoles given in the second trimester (Torlesse and Hodges, 2001; Larrocque et al. 2006). These findings are encouraging, but pharmacovigilance remains appropriate, especially if anthelmintics are given in the first trimester.

On perinatal and infant mortality

The observational studies in Sri Lanka and Nepal suggested a benefit of benzimidazoles during pregnancy for perinatal mortality and infant survival to 6 months of age (de Silva et al. 1999; Christian et al. 2004). However, we found no benefit of either albendazole or praziquantel during pregnancy for rates of still birth, neonatal mortality or infant mortality (Ndibazza et al. 2010; Webb et al. 2011). Similarly, Larrocque et al. (2006) found no effect of mebendazole on perinatal mortality.

On infant response to immunisation

The evidence that led to the hypothesis that antenatal exposure to helminth infections has an important influence on the infant response to immunisation in low-income and tropical settings has been reviewed elsewhere (Labeaud et al. 2009). As discussed above, this hypothesis was the principal motivation for our study. We used a six-day whole blood culture assay at age one year to assess the cellular response to BCG and tetanus immunisation, stimulated using crude culture filtrate proteins of Mycobacterium tuberculosis and tetanus toxoid (TT), respectively; we examined production of type 1 (interferon (IFN)-γ) type 2 (interleukin (IL)-5 and IL-3) and regulatory (IL-10) cytokines in supernatant using enzyme-linked immunosorbent assays (ELISAs). ELISAs were also used to assess antibody responses to TT and measles immunisation.

We found no overall effect of either treatment on the response to immunisation (Webb et al. 2011). In sub-group analyses we found a reduction in type 2 cytokine responses to tetanus toxoid among infants of mothers with hookworm who received albendazole, compared to those who received placebo, but there was no effect on total immunoglobulin (Ig)G production, the key parameter for protection following tetanus immunisation (Plotkin, 2010). No other effects were observed for the response to BCG, tetanus or measles immunisation in the planned sub-group analyses for effects of albendazole in mothers with hookworm, or of praziquantel in mothers with S. mansoni. Such results could occur if effects of helminth exposure were established prior to the trial intervention, say during the first trimester, and could not be reversed by subsequent treatment. This explanation is unlikely since, in observational analyses, infant response to vaccines showed no consistent associations with the presence of maternal hookworm or S. mansoni (Elliott et al. 2010).

In observational analyses, maternal infection with the filarial helminth, Mansonella perstans, was associated with increased IL-10 responses to the vaccine antigens. Curiously, this effect was evident principally in the albendazole placebo group, although Mansonella prevalence was not affected by the single
dose of albendazole given. Maternal *Mansonella* showed no effect on type 1 or type 2 responses (Elliott et al. 2010).

Taken together, our results suggest that maternal helminth infection is unlikely to be a major contributor to poor efficacy of immunisation in infancy in the tropics, and routine anthelminthic treatment during pregnancy is unlikely to lead to important improvements in this outcome.

**On infectious disease incidence in infancy**

There is considerable evidence, both from animal models and from studies in humans, that exposure to helminths in *utero* programmes the infant response to subsequent challenge, influencing susceptibility to infection, and immunologically-mediated pathology (Lammie et al. 1991; Steel et al. 1994; Malhotra et al. 2006). Pursuing the idea that some of the immunoregulatory effects of helminths may influence responses to bystander antigens, we investigated whether anthelminthic treatment during pregnancy influenced infant susceptibility to infectious diseases. We found no effect on incidence of malaria or on malaria infection at age one year; and no effect on incidence of diarrhoea or lower respiratory tract infection (Webb et al. 2011).

In two previous studies concerning helminths and HIV infection, we had noted a temporary increase in HIV load following treatment of *S. mansoni* (Elliott et al. 2003; Brown et al. 2005), so a particular concern was that treatment with praziquantel during pregnancy might result in increased intrauterine HIV transmission. Our study had little power to assess this outcome and was inconclusive, but vertical HIV transmission was, if anything, lower among HIV-positive mothers that received praziquantel (OR 0·60 (95% CI 0·29–1·23)) (Webb et al. 2011).

**On allergy**

There is considerable evidence from animal models, and some from studies in humans, that helminth infection can protect against allergic disease through active immunoregulatory pathways (Smits et al. 2010). The results of epidemiological studies to date have been less clear-cut. In cross-sectional studies atopy (represented by skin-prick test positivity) has shown a fairly consistent inverse association with helminth infection, and hookworm has shown a consistent inverse association with asthma, but associations with asthma for other helminths, and associations between helminths and eczema, have been variable (Leonardi-Bee et al. 2006; Flohr et al. 2009). Some, but not all, intervention studies have shown an effect of anthelminthic treatment on skin-prick test responses to allergens but, prior to our study, none had shown an effect on an allergic disease outcome (Lynch et al. 1993; van den Biggelaar et al. 2004; Cooper et al. 2006; Endara et al. 2010; Flohr et al. 2010). In the Entebbe Mother and Baby Study, eczema was the commonest allergic condition observed during infancy, as expected for the age group, with an incidence of 10 per 100 person years of follow-up. In a sub-group of infants for whom skin-prick testing was conducted, eczema was strongly associated with skin prick test positivity, indicating that it was atopic. We found that albendazole treatment during pregnancy was associated with an increased risk of eczema in the whole study population (Cox proportional Hazard Ratio (HR) 1·82 (95% CI 1·26–2·64)), while praziquantel treatment was associated with increased risk of eczema among infants of mothers with *S. mansoni* (HR 2·65 (95% CI 1·16–6·08), interaction *P* value 0·02) (Mpairwe et al. 2011) (Fig. 2).

For albendazole, we expected that the effects would be strongest among infants of women with hookworm – the commonest species, and most susceptible to albendazole treatment – but, surprisingly, stratification by maternal hookworm status showed no difference in effect (interaction *P* value 0·52). Indeed, an effect of albendazole was seen even among infants of mothers with no detected helminth infection. This may mean that the effect of albendazole was mediated (a) by the drug itself, (b) by an effect on low intensity hookworm, or other albendazole-susceptible helminth species, not detected by the single stool sample examined or (c) by an effect on another organism. Albendazole has a broad spectrum of action, sometimes overlooked in relation to anthelmintic treatment programmes, and candidates for option (c) include malaria, intestinal protozoa, microsporidia and commensal yeasts (Cruz and Edlind, 1997; Skinner-Adams et al. 1997; MacDonald et al. 2004; Solaymani-Mohammadi et al. 2010).

By contrast, our findings for schistosomiasis are entirely consistent with a protective effect of exposure to maternal *S. mansoni* infection that is removed by praziquantel treatment.

Our study is thus the first to demonstrate an effect of anthelminthic treatment on an allergic disease outcome and provides support for the hypothesis that helminths protect against allergic disease. Why did we observe an effect, while previous studies in school-age children did not? Given the low prevalence of allergic disease in rural tropical environments, several of the previous studies had limited power to show an effect on disease outcomes. However, an important possibility is that our study showed an effect because susceptibility to allergic disease is programmed *in utero* or very early in postnatal life, with relatively little effect of subsequent intervention (von Mutius and Le Souef, 2007). Thus, from the perspective of allergology, our results provide important evidence that pre-natal or very
CONCLUSIONS AND FURTHER RESEARCH NEEDS

The Entebbe Mother and Baby Study is the first randomised, double-blind, placebo-controlled trial of praziquantel treatment during pregnancy. It is also the first trial to examine the effects of praziquantel or of benzimidazole treatment during pregnancy on the broad range of maternal and infant outcomes that might be influenced by pre-natal exposure to helminths. Some of the long-term effects of anthelminthic treatment during pregnancy, including effects on the offspring’s own susceptibility to infection and morbidity when exposed to the same species, have yet to be examined. However, the results to date, taken together with the findings of other recent studies, suggest that the risks and benefits of anthelminthic treatment during pregnancy, and policy regarding routine provision of anthelminthics during antenatal care, may need to be reviewed.

In Entebbe, where good, general antenatal care was provided, there was a possible benefit of albendazole for anaemia in mothers with moderate to heavy hookworm infection, but otherwise none of the expected benefits of anthelminthic treatment on anaemia, birth weight, perinatal mortality, infant

early post-natal interventions may allow the primary prevention of allergic disease in children at risk.

Fig. 2. The effect of treatment with albendazole or praziquantel during pregnancy on the incidence of eczema during infancy in the Entebbe Mother and Baby Study. (A) The effect of albendazole among 2345 live-born infants of all mothers, with or without detected helminth infection. (B) The effect of praziquantel among 421 infants of mothers with detected Schistosoma mansoni infection. Shown are the cumulative hazard functions based on multiple eczema events. Alb, albendazole; praz, praziquantel.
mortality or infant response to immunisation were realised. These findings were in keeping with results from the two other benzimidazole trials (Torlesse and Hodges, 2001; Larocque et al. 2006). Mothers with possible symptomatic helminth infection were excluded from our trial, but such women were unusual in our setting; of 11,783 screened for the study only 17 were excluded for haemoglobin below 8 g/dl, 15 for diarrhoea with blood in stools and none for clinically apparent severe liver disease (Webb et al. 2011). It other settings, or with other helminth species (for example S. japonicum), the balance of benefits to mother and infant may differ. However, the minimal effects associated with helminths and anthelminthic treatment in our setting are put further into perspective by the strong adverse effects of malaria and HIV infection observed; the prevalence of malaria was 13% and of HIV 12% at baseline, during pregnancy, in our study. We found that maternal malaria and HIV infection were strongly associated with maternal anaemia (Muhangi et al. 2007), and were also associated with reduced birth weight and increased perinatal mortality (unpublished data). Maternal and infant HIV infection, and infant malaria, were associated with deleterious effects on the infant response to immunisation (Elliott et al. 2010).

The three trials have been conducted to date in areas with high hookworm prevalence but low intensity, and this is the common pattern of helminth infection. However, a trial in a setting with heavy hookworm infection might have a different result, especially if background levels of nutrition and iron status are poor. Trial results might also be expected to differ according to helminth species: Necator americanus and Ancylostoma duodenale may differ in their pathogenicity for anaemia (Albonico et al. 1998)—and we have not yet determined the prevalent species in Entebbe; schistosome species clearly differ in the immunopathology that they induce (Burke et al. 2009). The three reported studies demonstrate that placebo-controlled trials can be conducted safely, and additional trials are still needed in different settings.

The observed adverse effect on infantile eczema is a concern, and contributes to the current equipoise in evidence regarding the potential risks and benefits of anthelminthic therapy during pregnancy. Whether this finding can be generalised to other environments is uncertain: Entebbe is unusual among lake-shore communities in Uganda in being relatively urbanised and traversed by a major highway, and hosting the international airport. Pollutants may contribute to the induction of allergy (Venn et al. 2001, 2005), and the results of anthelminthic therapy in such a setting may differ from the results in a purely rural context.

A key question is whether effects on infantile eczema will this translate into an impact on asthma in later life? Studies in affluent countries suggest that while infantile eczema per se may not predict later asthma, early development of atopy, and eczema associated with atopy and wheeze in early childhood, may be associated with atopic asthma at school-age (Illi et al. 2004, 2006; Williams and Flohr, 2006). Asthma mortality depends not just on prevalence, but also on quality of care; already 80% of asthma deaths occur in low- and middle-income countries (WHO, 2010). It is possible that, as low-income countries develop, anthelminthic treatment programmes will contribute to an epidemic increase in allergic disease similar to that experienced in affluent countries during the 20th century (Bach, 2002). Further research is needed to determine whether this is likely to be the case; if so, measures to equip such countries to manage such an epidemic need to be planned.

Conversely, studies of the underlying mechanisms of helminth-allergy interactions in endemic countries have the potential to provide insights for the development of new tools for the prevention and management of allergic disease, with global health benefits.

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