Suitability of the muscle O₂ resaturation parameters most used for assessing reactive hyperemia: a near-infrared spectroscopy study

Adequação de parâmetros de ressaturação muscular de O₂ mais utilizados na avaliação da hiperemia reativa: um estudo por espectroscopia no infravermelho pródimo

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Abstract

Background: There is a spectrum of possibilities for analyzing muscle O₂ resaturation parameters for measurement of reactive hyperemia in microvasculature. However, there is no consensus with respect to the responsiveness of these O₂ resaturation parameters for assessing reactive hyperemia. Objectives: This study investigates the responsiveness of the most utilized muscle O₂ resaturation parameters to assess reactive hyperemia in the microvasculature of a clinical group known to exhibit impairments of tissue O₂ saturation (StO₂). Methods: Twenty-three healthy young adults, twenty-nine healthy older adults, and thirty-five older adults at risk of cardiovascular disease (CVD) were recruited. Near-infrared spectroscopy (NIRS) was used to assess StO₂ after a 5-min arterial occlusion challenge and the following parameters were analyzed: StO₂slope_10s, StO₂slope_30s, and StO₂slope_until_baseline (upslope of StO₂ over 10s and 30s and until StO₂ reaches the baseline value); time to StO₂baseline and time to StO₂max (time taken for StO₂ to reach baseline and peak values, respectively); ∆StO₂reperfusion (the difference between minimum and maximum StO₂ values); total area under the curve (StO₂AUC); and AUC above the baseline value (StO₂AUC_above_base). Results: Only StO₂slope_10s was significantly slower in older adults at risk for CVD compared to healthy young individuals (p < 0.001) and to healthy older adults (p < 0.001). Conversely, time to StO₂max was significantly longer in healthy young individuals than in older adult at CVD risk. Conclusions: Our findings suggest that StO₂slope_10s may be a measure of reactive hyperemia, which provides clinical insight into microvascular function assessment.

Keywords: cardiovascular physiology; capillary endothelial cells; vascular disease; hypertension; aging.

Resumo

Contexto: Existe um espectro de possibilidades na análise dos parâmetros de ressaturação de O₂ muscular como uma medida de hiperemia reativa na microvasculatura. No entanto, não há consenso com relação à responsividade desses parâmetros de ressaturação de O₂ para avaliação de hiperemia reativa. Objetivos: Este estudo investigou a capacidade de resposta dos parâmetros de ressaturação muscular de O₂ mais utilizados para avaliar a hiperemia reativa na microvasculatura de um grupo clínico conhecido por apresentar comprometimento da saturação de O₂ (StO₂). Métodos: Foram recrutados 23 jovens saudáveis, 29 idosos saudáveis e 35 idosos com risco para doença cardiovascular. A espectroscopia no infravermelho pródimo foi usada para avaliar a StO₂ após um teste de oclusão arterial de 5 minutos, no qual os seguintes parâmetros foram analisados: StO₂slope_10s, StO₂slope_30s, e StO₂slope_until_baseline (inclinação da StO₂ em 10s, 30s e até StO₂ atingir valores basais); tempo para StO₂baseline e tempo para StO₂max (o tempo necessário para StO₂ atingir os valores da linha de base e o máximo, respectivamente); ∆StO₂reperfusion (a diferença entre o valor de StO₂minimo e StO₂maximo); área total sob a curva (StO₂AUC); e área sob a curva acima do valor da linha de base (StO₂AUC_above_base). Resultados: Apenas StO₂slope_10s foi significativamente mais lento em idosos em risco de doença cardiovascular comparados com indivíduos jovens saudáveis (p < 0.001) e idosos saudáveis (p < 0.001). Por outro lado, o tempo para StO₂max foi significativamente maior em indivíduos jovens saudáveis do que em idosos em risco de doença cardiovascular.

Keywords: cardiovasculares; células endoteliais capilares; doença cardiovascular; hipertensão; envelhecimento.

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INTRODUCTION

Endothelial dysfunction is an abnormality of the vascular system that predicts a cardiovascular event. Assessment of reactive hyperemia in microcirculation has been used as a measure of microvascular function. Microvascular dysfunction may lead to rarefaction of downstream vessels and consequent reduction in the number of capillaries, contributing to hypertension and cardiovascular events. Moreover, downstream arterial disease may reduce muscle blood supply, leading to fatigue, cramp, discomfort, or pain in limbs during daily activities. Therefore, measuring microvascular function may be important for identification of pathophysiologic mechanisms that impair adequate tissue blood perfusion.

Over the past decades, near-infrared spectroscopy (NIRS) combined with a vascular occlusion test (VOT) has been used for assessment of tissue O₂ saturation (StO₂). Since NIRS detects changes in oxygenated and deoxygenated hemoglobin in the tissues using absorption of near-infrared light at a specific wavelength, oxygenation kinetics in the microcirculation (i.e., arterioles, venules, and capillaries) can be noninvasively assessed and used to measure reactive hyperemia in microcirculation.

Performing a VOT with an NIRS device fitted to the limb of interest, the StO₂ signal follows a downward path during the cuff inflation phase and then StO₂ goes rapidly upward immediately after cuff deflation (reperfusion phase). The sudden increase in the StO₂ signal during reperfusion enables various StO₂ parameters to be calculated, which, in general, are interpreted as measures of microvascular function. The majority of StO₂ parameters adopted in previous studies include reperfusion rate (i.e., upslope of the StO₂ signal during the initial 10 s and 30 s, and until the StO₂ signal reaches baseline values), magnitude of reperfusion (i.e., total area under the curve [AUC] of StO₂ and the difference between the lowest and highest StO₂ value), and others (e.g., time to StO₂ baseline, time to StO₂ maximum, and AUC above the baseline).

Despite a range of possibilities for interpretation of muscle O₂ resaturation parameters, the lack of standardization of which NIRS parameters are used during the reperfusion phase makes comparisons between studies difficult and/or may even lead to misinterpretation of results. For example, since the time to maximum StO₂ parameter is calculated as the time elapsed from release of the cuff to the maximum StO₂ value during reperfusion, individuals with higher O₂ extraction capacity (i.e., healthy young individuals) might exhibit a longer time to maximum StO₂. In other words, in individuals with higher O₂ extraction, the distance between the lowest StO₂ value reached during cuff inflation and the highest StO₂ reached during the cuff deflation is longer, thereby influencing calculation of some NIRS parameters, such as time to StO₂ maximum and even area under the reperfusion curve. Therefore, the present study investigates the usefulness of the muscle O₂ resaturation parameters most utilized to assess reactive hyperemia in a clinical group known to exhibit impairments in muscle StO₂. It was hypothesized that some NIRS parameters might be more sensitive than others (mainly those influenced by muscle O₂ extraction) for detecting abnormal reactive hyperemia in a clinical sample.

METHODS

Participants

Twenty-three healthy young individuals, 29 healthy older adults, and 35 older adults at risk of CVD were recruited to participate in the study. For the younger group, inclusion criteria were being healthy (not presenting CVD risk factors) and age < 35 years. For the healthy older adult group, individuals had to be healthy and ≥ 60 years of age. The inclusion criteria for older adults at high risk for CVD were age ≥ 60 years and possessing at least four CVD risk factors, according to a previous study demonstrating that presence of three or more CVD risk factors is related to microvascular dysfunction. The following CVD risk factors were used as inclusion criteria: triglycerides ≥ 150 mg/dL; total-cholesterol ≥ 200 mg/dL; LDL-cholesterol ≥ 150 mg/dL, HDL-cholesterol ≤ 40 mg/dL for men and ≤ 50 mg/dL for women; high-blood glucose ≥ 120 mg/dL and/or taking oral hypoglycemic medications; and high
systolic blood pressure (SBP) ≥ 135 mm Hg, and/or high diastolic blood pressure (DBP) ≥ 90 mm Hg, and/or taking anti-hypertensive medications. The exclusion criteria included human immunodeficiency virus (HIV); cancer; rheumatoid arthritis; smoking; and chronic obstructive pulmonary disease; as well as participants not being engaged in any exercise program. All experimental procedures were performed after explaining the nature of the study and obtaining written consent from participants. The study was conducted in accordance with declaration of Helsinki ethical standards and approved by the institutional ethics committee at the Universidade Federal do Rio de Janeiro (UFRJ), Macaé, RJ, Brasil. (No. 1.489.668).

Experimental protocol
After 12 h fasting, the participants arrived at the laboratory, where they underwent anthropometric measurement (height, weight, and skin-fold) before blood samples were taken. Blood samples were collected only at baseline in order to determine the CVD risk factors (i.e., fasting blood glucose, triglycerides, cholesterol, etc.) of the participants enrolled on the study. Afterwards, participants rested for 10 min in the supine position on an examination table, followed by assessment of the NIRS parameters for level of muscle oxygen saturation. The experimental procedures were conducted between 8:00 and 10:00 a.m. and participants were recommended to avoid caffeine and foods rich in nitrates and nitrites for 24 h before the laboratory visit.

Tissue O₂ saturation measurement
Tissue O₂ saturation (%StO₂) was assessed using an NIRS system (PortaMon, Artinis, Medical Systems) connected to a personal computer via Bluetooth for data acquisition (10 Hz). Analogue-to-digital conversion and subsequent analysis of the raw data (i.e., no filter was used) was conducted using native software (Oxysoft version 2.1.6; Artinis Medical Systems), as previously described by Oliveira et al. In brief, the NIRS device was placed on the forearm flexor muscle (flexor carpi radialis), 2 cm below the medial epicondyle of the humerus, and muscle %StO₂ was recorded continuously throughout the VOT (30 s at baseline, 5 min of occlusion, and 2 min of reperfusion). The cuff was placed over the arm, 2 cm above the medial epicondyle of the humerus and a cuff pressure of 250 mm Hg was used during the occlusion period (Figure 1). To evaluate muscle StO₂ during ischemia and reperfusion, the following NIRS parameters were used in the statistical analysis: (i) StO₂ during baseline (StO₂base, %) was calculated as the average over the 30 s before cuff occlusion; (ii) the lowest StO₂ value reached during cuff inflation (StO₂min) and the highest StO₂ value reached following cuff deflation (StO₂max); (iii) time for the StO₂ signal to reach its peak after cuff release (time to StO₂max); (iv) time for the StO₂ signal to reach the pre-occlusion baseline value after cuff release (time to StO₂base); (v) difference between StO₂min and the StO₂max after cuff deflation (ΔStO₂reperfusion); (vi) the area under the reperfusion curve (AUC) above the baseline (StO₂AUCabove_base); (vii) the total AUC over 2 minutes of reperfusion (StO₂AUC); (viii) upslope of StO₂ signal over a 10 s reperfusion window immediately following cuff deflation (StO₂slope_10s); (ix) upslope of StO₂ signal over a 30 s reperfusion window immediately following cuff deflation (StO₂slope_30s); and (x) upslope of StO₂ signal over the time elapsed between StO₂min and reaching pre-occlusion baseline StO₂ values (Figure 2).

Statistical analysis
One-way ANOVA was used to identify significant differences between the characteristics of the participants at baseline and tissue StO₂ parameters during the VOT. An a priori power analysis was conducted (G*Power v. 3.0.1) for a specific test (ANOVA: Fixed effects, omnibus, one-way). Based on a statistical power (1 − β) of 0.80, an effect size of 0.46, and an overall significance level of 0.05, 51 participants would be needed to detect a statistical difference. The effect size was based on a previous study that found d=0.93 (or f=0.46; d=2f) when assessing reperfusion rates in older individuals and young participants. The present study enrolled 87 participants. Additional post hoc tests with Bonferroni adjustment were performed when appropriate. Statistical significance was set at a P value ≤ 0.05 and the results were expressed as mean ± standard deviation (SD). A commercially

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**Figure 1.** Cuff and near-infrared spectroscopy (NIRS) probe on skin overlying forearm flexor muscles. Note that in the picture the NIRS probe is shown without the black vinyl sheet and the elastic tensor band that cover it, for the purposes of illustrating the probe location in the image.
available statistical package (IBM SPSS Statistics version 22 for Mac, Armonk, N.Y., USA) was used for statistical analysis. The figure was designed using GraphPad Prism 5.0.

RESULTS

Baseline characteristics of the participants and medications used are shown in Table 1. Healthy young individuals exhibited significant lower body mass index than healthy older adults (P = 0.029) and than older adults at risk for CVD (P = 0.016). Blood glucose (P < 0.001), total cholesterol (P = 0.004), triglycerides (P = 0.049), and systolic blood pressure (P = 0.001) were significantly higher in older adults at risk for CVD than in healthy young individuals. Moreover, blood glucose (P < 0.001), triglycerides (P = 0.026), and systolic blood pressure (P = 0.012) were significantly higher than in healthy older adults. Muscle StO₂ saturation parameters are shown in Table 2. Significantly slower StO₂slope_10s (P < 0.001), and StO₂slope_30s (P < 0.001), and blunted ΔStO₂reperfusion (P < 0.001), StO₂AUCabove_base (P < 0.001), StO₂AUCt (P < 0.001), StO₂max (P = 0.035), and StO₂min (P < 0.001) were observed in older adults at CVD risk compared to healthy young individuals. Additionally, StO₂slope_10s was significantly slower (P < 0.001) in older adults at risk for CVD compared to their healthy counterparts. Time to StO₂max was significantly slower (P = 0.047) in healthy young individuals than older adults at risk of CVD.

Significant slower StO₂slope_30s (P = 0.003) and blunted ΔStO₂reperfusion (P < 0.001), StO₂AUCabove_base (P = 0.006), StO₂AUCt (P = 0.001), and StO₂min (P = 0.002) were found in healthy older adults compared to healthy young people. No significant differences in StO₂base, time to StO₂base, or StO₂slope until base were found between groups (P > 0.05).
**DISCUSSION**

Given the widespread utilization of NIRS devices and the spectrum of possibilities for analyzing muscle $O_2$ resaturation parameters as a measurement of reactive hyperemia in the microvasculature of different populations, the present study investigated the effectiveness of the $O_2$ resaturation parameters most frequently used for evaluating reactive hyperemia in healthy older adults or older adults with CVD risk factors. It was hypothesized that analyzing the effectiveness of the most widespread NIRS parameters for detecting aging and CVD risk factors might help...
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The recovery time parameters (i.e., time to $\text{StO}_2^{\text{max}}$) should be recommended when the clinical population evaluated is not assumed to exhibit impairment in muscle $O_2$ extraction; otherwise, data interpretation and comparison may be rendered incorrect.

The current study also demonstrated a significant reduction in $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$ in healthy older adults and those with CVD risk factors, compared to healthy young individuals. However, no significant difference was observed in these parameters in healthy older adults compared to older adults at risk for CVD, suggesting that $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$ are not sensitive parameters for detecting the effect of CVD risk factors on reactive hyperemia. The $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$ parameters can be interpreted as measures of the magnitude of $O_2$ resaturation, since the lowest and highest $\text{StO}_2$ value (amplitude) within the reperfusion phase (cuff deflation) are considered for calculating these parameters. However, it is important to note that, in common with time to $\text{StO}_2^{\text{max}}$, these parameters may also be affected by parameters of muscle $O_2$ extraction during cuff deflation (i.e. $\text{StO}_2^{\text{min}}$).

Corroborating this idea, Rosenberry et al. demonstrated that the impaired $\text{StO}_2^{\text{AUCt}}$ observed in older adults at CVD risk in comparison to healthy young individuals was eliminated when the level of muscle $O_2$ extraction ($\text{StO}_2^{\text{min}}$) was standardized by varying occlusion times (3-, 4-, and 5-min cuff occlusion) across the two age groups. It is likely that differences between $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$ parameters do not only necessarily reflect impairment in $O_2$ resaturation magnitude (reactive hyperemia), but also in muscle $O_2$ extraction during occlusion (mitochondrial dysfunction). In light of these findings, since NIRS continuously monitors muscle $O_2$ saturation throughout the VOT, assessment of $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$ could be performed controlling the level of tissue ischemia (varying the occlusion times), which would be crucial to better interpret $\Delta\text{StO}_2^{\text{reperfusion}}$ and $\text{StO}_2^{\text{AUCt}}$. Alternatively, $\text{AUC}_{\text{above base}}$ is not affected by muscle $\text{StO}_2^{\text{min}}$ and its utilization might be recommendable.

Experimental considerations

Of note, the NIRS system is a relevant tool that can be easily used during vascular surgery, as it continuously assesses muscle $O_2$ saturation. For example, a previous study conducted at our laboratory showed that prolonged occlusion of limb blood flow (i.e., tourniquet application during limb surgery) causes transient microvascular dysfunction that can be assessed by NIRS-derived reperfusion upslope. Additionally, this study also demonstrated that using a local nitric oxide donor (transdermal nitroglycerin)
administered during prolonged forearm cuff occlusion protected against this microvascular dysfunction,\(^2\) which is relevant for the field of vascular surgery. Moreover, NIRS systems have been widely utilized to detect impaired microvascular reactivity in many clinical populations, such as hypertension, older adults at risk for CVD, and patients with metabolic syndrome.\(^4,10,24\)

Although NIRS systems are largely utilized to assess oxygenation of the forearm muscles, one limitation of this technique is the discrete volume of a specific muscle (2 to 6 cm) and the superficial area of skeletal muscle that NIRS examines.\(^26\) Furthermore, another important factor that should be considered is that adipose tissue thickness can affect NIR light penetration into the muscle of interest and, consequently, the muscle oxygenation levels measured. However, it is important to point out that no significant differences were observed in skin fold thickness between groups, ensuring that the depth of light penetration (~18 mm) sufficiently interrogates the forearm flexor muscles.\(^26,27\) Additionally, it is noteworthy that all participants in this study did not undergo a clinical examination by a clinician prior to muscle oxygenation measurements. Although we are aware that it would be important for all participants to undergo clinical examination, the main purpose of this study was to investigate the effectiveness of tissue oxygenation parameters in detecting impaired reactive hyperemia in the microvasculature of a population previously known to have microvascular damage.\(^3,4,7,9\)

Therefore, the absence of clinical examination does not compromise the results of this study.

In conclusion, the findings of this study suggest that \(\text{StO}_2\) was the most sensitive NIRS parameter for assessing reactive hyperemia. Additionally, the magnitude of reperfusion (i.e., \(\Delta\) \(\text{StO}_2\)) and recovery time (i.e., time to \(\text{StO}_2\)) parameters should be interpreted with caution when assessing clinical populations, since muscle \(O_2\) extraction may affect those parameters. The results of the present study will be helpful for future studies that investigate the effect of an external factor (disease, means of treatment, etc.) on muscle \(O_2\) resaturation parameters.

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