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Hypothesis Paper

Evidence-based assessment of potential therapeutic effects of adjunct osteopathic medicine for multidisciplinary care of acute and convalescent COVID-19 patients

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ABSTRACT

Although the COVID-19 pandemic affects predominantly the respiratory function, epidemiological studies show that multiple systems can be affected. The severe complications of SARS-CoV-2 infection seem to be induced by an inflammatory dysregulation ("cytokine storm"), which can also induce an immunodepression. Several studies highlight beneficial effects of osteopathic medicine on inflammation and immune regulation. A careful review of evidence-based literature brings to the fore significant improvements of osteopathic manipulative treatment (OMT) in conjunction with conventional care. OMT can improve the condition of infected patients by decreasing symptoms and boosting the efficiency of conventional care. OMT might also benefit surviving patients by reducing the long-lasting consequences of the infection as well as improving their quality of life during convalescence. This review should constitute an argument in favor of multidisciplinary care, although further biological and clinical research is essential to better assess the potential beneficial contributions of adjunct osteopathic medicine to conventional care in the fight against pandemics such as COVID-19.

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Introduction

As of March 12th 2020, the viral infectious disease COVID-19 has been declared a pandemic by the World Health Organization after 20,000 confirmed cases and 1000 deaths in Europe.\textsuperscript{1} At the time this article is submitted, 3,544,222 cases have been reported worldwide, including 250,977 deaths (7.1%).\textsuperscript{2} The virus responsible for COVID-19 belongs to a specific type of coronaviruses (\(\beta\)-CoV) that cause severe acute respiratory syndrome (SARS); it is referred to as SARS-CoV-2.

Coronaviruses are a large family of viruses that infect a wide range of mammals, causing pathologies of various symptoms and severities, from the common cold to SARS.\textsuperscript{3} However, SARS-CoV-2 is very similar to SARS-CoV-1 and MERS-CoV, all of them being highly pathogenic for humans.\textsuperscript{3} SARS-CoV-2 appears to spread from person to person via droplets or direct contact. Van Doremalen et al. have shown that in specific laboratory conditions, the virus can survive in aerosols for hours, and on different surfaces for up to several days,\textsuperscript{3} contributing to the very high infection rate. The diagnosis relies on the presence of COVID-19 nucleic acid in the infected tissues,\textsuperscript{5}–\textsuperscript{10} Nasal or throat swabs constitute the main screening test by real-time reverse-transcription-polymerase chain reaction (RT-PCR),\textsuperscript{7} although chest CT scans have been proven to confirm a false negative diagnosis.\textsuperscript{7,9} More recently, specific serologic tests show very high sensitivity and accuracy (\(>97\%\)), and allow efficient screening of asymptomatic subjects.\textsuperscript{11}

As emphasized by the Glossary of Osteopathic Terminology (\textit{osteopath}, p.33), the status of osteopathic practitioners varies depending on their country of professional practice.\textsuperscript{12} However, osteopathic medicine is internationally defined as a holistic approach that relies on palpatory diagnosis and manipulative treatment (commonly referred to as OMT).\textsuperscript{12} The most common reason for visits to
Inflammatory biochemical pathways

Pathophysiology of SARS viruses

The angiotensin-converting enzyme-2 (ACE2) is a surface protein expressed in the cells of essentially all tissues; its activity has been shown to be very high in the colon, kidney, gallbladder, myocardium, breast, ovary, lung, etc. It hydrolyzes angiotensin II (Ang II) into Ang-(1–7). Hydrolases of angiotensin II (Ang II) into Ang-(1–7) are promoted to inflammatory response, anti-inflammatory cytokines production that results in local inflammation, fibrosis, oxidative stress, and vasocostriction. The fixation of Ang-(1–7) on its specific receptors (MAS-R) causes opposite effects (Fig. 1).

SARS viruses enter host cells by fixating specifically on ACE2, inducing its downregulation. As shown on Fig. 1, this leads to a compensatory overproduction of Ang II by ACE, as well as a very low rate of hydrolysis of Ang II into Ang-(1–7). Therefore, the main process that has been identified to explain SARS (and its resulting high death rate) appears to be a viral hyper-inflammation.

This phenomenon, well studied and documented in previous literature, is usually referred to as a “cytokine storm”. Tisoncik et al. emphasize on the complexity of cytokines’ classification and physiological description, mainly due to the fact that each of them has several target cells, multiple roles, and can induce various responses (essentially depending on the presence or absence of other cytokines). However complex, the cytokine storm can be summarized as a loss of balance between pro- and anti-inflammatory mechanisms. In the early minutes to hours post-infection, several pro-inflammatory cytokines appear (TNF-α, IL-1β, IL-8, MCP-1...). TNF-α and IL-1β induce an important production of IL-6 (which concentration in peripheral blood is commonly used to assess the intensity of systemic cytokine responses). MCP-1 is a chemoattractant cytokine that recruits several leukocytes during an immune response, including basophils for which they activate degranulation. In the case of SARS-CoV-2, the infection induces a primary inflammation at a local site, mostly (but not exclusively as detailed further) in the lungs. The acute inflammation causes swelling resulting in fibrosis and persistent organ dysfunction, due to an “acute mononuclear/neutrophil inflammatory response followed by a chronic fibroproliferative phase marked by progressive collagen deposition in the lung”.

To balance the acute inflammatory response, anti-inflammatory cytokine IL-10 appears shortly after. However, IL-10 high concentration is associated with an increased fibrosis, as well as an immune downregulation termed “immunoparalysis”. This state is mainly defined by T lymphopenia — especially CD4+T cells, which are known to play an essential role in immunity responses, their decrease contributing to immunosenescence of the elderly and a decrease of functional neutrophils and monocytes. The persistence of this immunosuppressed state 3-4 days after the initial acute sepsis ends up increasing the mortality risk instead of preventing it. In these contexts, the local inflammation can spread to the systemic circulation, leading to systemic sepsis (symptoms being persistent hypotension, hyper- or hypothermia, leukocytosis or leukenia, thrombocytopenia). At this point, multiple organ dysfunction syndrome associated with immunosuppression results in a high mortality rate.

OMT modulation of inflammatory and immune processes

Lymph is known to suppress inflammation, mainly through cytokines regulation; it also increases endothelial cell permeability, redistributes leukocytes, and in vitro studies have shown that lymph can inhibit macrophages activity and neutrophil apoptosis. A specific category of OMT techniques generally referred to as ‘pump techniques’ are promoted to influence the lymphatic circulation.

During pump techniques, the lymph flow is increased as well as the total leukocytes count (neutrophils, monocytes, CD4+T, CD8+T, IgG, IgA1) in the thoracic and mesenteric duct lymph. Pump techniques also increase the mobilization of leukocytes into the lymphatic circulation from the Gut-Associated Lymphoid Tissues (GALT) is “the largest mass of lymphoid tissue in the body” and produces

1 CD4+T = T helper cell, CD8+T = cytotoxic T cell, IgG = Immunoglobulin G, IgA = Immunoglobulin A
60% of the daily total Ig, then secreted into the gastrointestinal tract.31,29,30

Animal studies have shown that pump techniques increase the lymph concentration of MCP-1, thus contributing in inflammatory responses.32 In vivo studies also show that specific OMT seems to rapidly modulate the concentration of several cytokines in the lymph ducts (30 min post-treatment).32,33 Licciardone et al. have shown a significant decrease of TNF-α after OMT in patients with chronic low back pain.34,35 An in vitro study confirmed the causality between increased lymph flow and TNF-α decreased production.27 OMT also induces a significant decrease in the level of monocytes, as well as that of nitric oxide (which plays a complex regulatory role in inflammation).34 It is also important to note that osteopathic pump techniques do not affect the macrophages viability.27

An in vitro study on fibroblasts cultures showed that strain from repetitive motion (similar to that of self-reeducation or physiotherapy) induces pro-inflammatory mechanisms (measured by a significant increase of IL-1α, IL-1β, IL-2, IL-3, IL-6); there was no such increase of these IL after specific OMT, in fact IL-3 and IL-6 productions significantly decreased.16,36 Moreover, specific OMT applied after repetitive motion strain reversed the pro-inflammatory mechanisms previously induced. Some preliminary results also show that the strain direction, frequency and orientation modifies the biochemical responses.39

There is evidence that OMT could increase antibody response to vaccination: Jackson et al. observed a faster and more important production of antibodies for patients who received specific OMT after hepatitis B vaccination.37 Animal studies also show that the efficiency of antibiotics to diminish the bacterial load in acute pneumonia is significantly improved when associated with pump techniques.38 Lastly, Walkowski et al. have shown that OMT induces an increase of specific immune cells not only in the lymphatic circulation but in the peripher-ical blood circulation as well, supporting the idea of a direct influence of OMT on immunity.33

All of the above suggests that mastered specific OMT could contribute to modulate immunity, inflammatory processes and fibroblast proliferation that result from an infection such as SARS. OMT could also be a beneficial adjunct to other therapies, both to enhance their efficiency and to diminish adverse side effects.

Therapeutic effects of adjunct OMT

It seems important to emphasize that, due to the pressing nature of COVID-19 topicality, all the following values vary greatly depending on authors: some symptoms might be overlooked leading to undervalued rates, other studies might focus on specific affliction leading to overvalued rates.

Large epidemiological meta-analyses showed the main symptoms of COVID-19 to be fever (85–89%), cough (65–68%), fatigue (38–42%), sputum production (34%) and dyspnea (19–21%).39 A smaller study showed that appetite loss appears to be very common (80%).40 COVID-19-induced digestive symptoms have been observed: the most common is diarrhea (3.8% to 29% depending on authors), as well as abdominal discomfort, nausea and vomiting.5,10,40 Although 42% of COVID-19 patients exhibit only respiratory symptoms on hospital admission, 45% present with respiratory and digestive symptoms, and 3% exhibit digestive symptoms exclusively.40 Some authors also describe a 36.4% rate of various neurological symptoms,11 and at least 5% of COVID-19 patients also present anosmia and taste loss.42,43

The main severe complications are acute respiratory distress syndrome (ARDS) (5.6–13.2%), acute cardiac infection (ACI, 5.8%), septic shock (4.7%) and acute kidney infection (AKI, 2.8%); the global mortality rate ranges from 2.0% to 4.4%.10 The risk of complications and death is higher in male patients over 50 years old and in patients with comorbidities, mainly diabetes (7.7%), high blood pressure (15.6%) and cardiovascular diseases (4.7%).39 Recent systematic reviews show that children are also infected, with similar initial symptoms. However, the rate of infected children is very low, the severity is moderate to mild, their prognosis is better than adults’. Inflammatory dysregulation is less common, and lymphocytopenia seems rare.44,45

The following paragraphs are organized by system and begin with a brief presentation of the known pathophysiological aspects of the SARS-CoV-2 infection. Then, literature allowed us to identify OMT key-elements that could influence those pathophysiological processes and relieve either the symptom(s), the systemic affliction, or both (Fig. 2).

Respiratory system

The respiratory symptoms are common to numerous and often benign pathologies. But the main outcome of COVID-19 is viral pneumonia, which induces abnormal CO2 rejection in the alveolar region, leading to the inflammation of the pulmonary parenchyma.46 CT scans of COVID-19 patients show that most lesions are bilateral, multilobar and peripheral; vascular hypertrophy of the lesions are often observed as well as a retraction and thickening of the pleura.7,48 Complications such as pleural effusion or lymphadenitis have been observed. All of this concurs with an acute inflammatory response consistent with the cytokine storm description. This is called acute lung injury, or ARDS in its more severe form, which is common in SARS-CoV and influenza infections.3,24 By the Berlin definition, ARDS is characterized by a type of acute diffuse inflammatory lung injury leading to increased pulmonary vascular permeability, increased lung weight and loss of aerated lung tissue.49 Paradoxically, it is facilitated by the downregulation of ACE2 induced by SARS-CoV infection, since ACE2 has been shown to play a protective part against it.5

Chronic obstructive pulmonary disease (COPD) is a common affliction of which the main symptoms are similar to those of COVID-19 (shortness of breath, cough, sputum production).50 The literature shows that OMT applied to COPD patients seems to improve lung functionality, with significant improvements of blood gases, increase of total lung capacity and decrease of residual volume.51 A randomized controlled trial also showed a significant and lasting increase of...
the forced vital capacity. In the end, OMT contributed to an improvement of COPD symptoms and exercise capacity, and might induce similar beneficial effects to COVID-19 patients. Moreover, Herridge et al. observed that survivors of ARDS suffered from spirometric and lung volumes impairments up to 6 months after discharge, and blood gases impairments up to over a year after discharge; global quality of life is impaired, especially exercise capacity. Adjunct OMT might reduce the recovery period and could improve global condition for patients recovering from ARDS.

Considering the pathophysiological process, SARS-CoV-2 infection induces similar pulmonary complications than other and better known viral pneumonias. This allows us to speculate on the potential effects of OMT in COVID-19 patients, based on observation from previous research. A Cochrane systematic review shows that adjunct of OMT to conventional care for pneumonia allows a two days’ average diminution of hospital length of stay. A multicenter double-blind controlled trial showed that adjunct OMT reduces the rate of respiratory distress by 8%, equally reducing the necessity for mechanical ventilators; this study also showed that OMT reduces the hospital mortality rate by 6% in adults and up to 11% in the elderly (≥75 years old). OMT might reduce the recovery period and could improve global condition for patients recovering from ARDS.

**Digestive system**

SARS-CoV-2 nucleic acid has been identified in fecal matter, confirming that the digestive tract is very likely to be another entry point for it. ACE2 is highly expressed in the epithelial cells of the entire digestive tract as well as in enterocytes; the infection leads to a destruction or a dysfunction of those cells, inducing an inflammatory response contributing to malabsorption, unbalanced intestinal secretion, perturbation of the enteric nervous system and a loss of coordination of the immune responses by the GALT. A disturbed intestinal microbiota is also strongly suspected even though the processes are still to be fully understood. All of these alterations explain the observed digestive symptoms which, when they exist, appear in most cases earlier than the respiratory symptoms and thus could help in early detection of the infection.

Irritable bowel syndrome (IBS) is characterized by episodes of abdominal pain, nausea and diarrhea, all of which are similar symptoms to those induced by COVID-19. Causes of IBS include local micro-inflammations fostered by increased cytokine production, which result in a disruption of the enteric nervous system, an altered bowel motility, a modification of the gut microbiota and a perturbation of the immune processes. A systematic review by Müller et al. shows that OMT has proven significantly efficient to relieve the symptoms of IBS, and to improve the quality of life of IBS patients. Therefore, it is likely that OMT could relieve COVID-19 patients with similar digestive symptoms.

Furthermore, Mao et al. pointed out that patients suffering from chronic inflammatory diseases such as IBS are at higher risk of opportunistic infections and recommend specific attention in regard to the COVID-19 pandemic. OMT could thus play a preventive part for healthy patients with medical history such as chronic inflammatory diseases of the gut or surgical scarring, by restoring local mobility and regulating local micro-inflammatory processes. As discussed above, OMT also stimulates the GALT resulting in leukocytes secretion which could contribute to rebalance the local dysfunctions.

**Cardiovascular system**

In a sample of 416 hospitalized patients, Shi et al. observed a frequent association of acute cardiac injury (ACI) and ARDS (58.6% of cases), and a 51.2% mortality rate in patients with ACI. Chronic cardiovascular diseases increase the risk of ACI. Moreover, their treatments’ implications in COVID-19 are being studied as well, since many of them target the renin-angiotensin-aldosterone system in which ACE2 is a key enzymatic component.

Current knowledge tends to show that there is no direct viral myocardial infection, but signs of interstitial inflammatory infiltration consistent with acute pericarditis which leads to myocardial inflammation, fibrosis, necrosis and eventually ventricular dysfunction. Like in other systems, the cytokine storm would be a rational explanation to this pathophysiological process; furthermore, it is consistent with the biological findings in ACI patients (leukocytosis, elevated C-reactive protein and procalcitonin).

A prospective study showed that immediate OMT following coronary artery bypass graft induced physiologic hemodynamic improvements in the cardiac and perfusion functions (through significant increased mixed venous oxygen saturation, cardiac index and thoracic impedance). Another study with patients suffering from essential hypertension showed that regular OMT for a year induced a significant improvement (reduced blood pressure and reduced intima-media thickness). Thus, OMT has proven beneficial in both acute and chronic heart affections and could play a part reducing the incidence of COVID-19 comorbidities (cardiovascular diseases, high blood pressure). OMT may also minimize the symptoms of ACI and its potential consequences for surviving patients.

**Renal system**

The mortality rate is strongly increased for patients who develop acute kidney injury (AKI); Ruan et al. report a 91.7% mortality rate, Li et al. report a 5.3 higher mortality risk than patients without AKI. Two main hypothesis have been formulated to explain AKI in COVID-19: first, SARS-CoV-2 could enter kidney cells through an ACE2-dependent pathway (ACE2 expression in the kidneys appear to be 100-fold higher than in the lungs); second, the cytokine storm could induce secondary inflammatory effects on the kidneys, causing hypoxia, shock and rhabdomyolysis. This is consistent with the inflammatory processes previously described, and seems to be confirmed by clinical observation of increased creatine kinase levels.

Our research has not lead us to studies evaluating the influence of OMT on kidney functionality. However, a systematic review by Bovo et al. shows the benefit of complementary and alternative medicine (not exclusively OMT) in various nephrology symptoms. Kaufman investigates OMT protocols (albeit untried) for renal and urinary symptoms. Tozzi et al. have shown that a restricted mobility of the kidneys (during breathing, evaluated through real-time ultrasound) is associated with non-specific low back pain, and that specific OMT improves the kidneys mobility, thus reducing pain perception.

Since inflammation induces fibrosis and alters tissue texture and mobility, we hypothesize that a similar OMT approach might improve kidney function in AKI, and is likely to minimize the consequences of AKI for surviving patients.

**Nervous system**

COVID-19 manifests both in the central and peripheral nervous systems with various symptoms: headaches, dizziness, impaired consciousness, ataxia, epilepsy, acute, cerebrovascular disease, anosmia, taste loss, neuralgia. Wu et al. point out that neurological symptoms are more likely to occur in severely affected patients. In deceased patients, SARS-CoV-2 nucleic acid was reported in the cerebrospinal fluid, associated with brain tissue edema; a case of viral encephalitis was also reported. Similarly to the processes described in the other systems, Wu et al. discuss direct infectious pathways, as well as indirect degeneration resulting from inflammatory-induced hypoxia and/or immune dysfunction (possibly leading to secondary bacterial infections).

Anosmia and taste loss are more prevalent in COVID-19 than in previous SARS and other coronaviruses infections. It seems
important to note that even though those symptoms are quite common in other infections (common cold, flu, etc.), they occur in COVID-19 without nasal obstruction or any other rhinitis symptoms. The human pathophysiological processes have yet to be precisely explained, but they have been extensively studied in rodents. It appears that olfactory sensory neurons do not express ACE2, which does not support a primary nervous infection by SARS-CoV-2. However, the olfactory epithelial support cells and stem cells, the nasal epithelial cells and oral mucosal cells all highly express ACE2. Smell and taste functionality could be either directly affected, or secondary to the local inflammatory processes (edema, fibrosis, etc.) induced by the cytokine deregulation previously described. The fact that stem cells are affected could also explain the occasional persistence of the symptoms.

A systematic review by Schmid et al. strongly supports the theory that specific OMT could stimulate areas of the central nervous system and activate descending inhibitory pathways, resulting in significant combined effects of hypalgesia, sympathetic nervous system excitation and motor activity. These observations signify that OMT could play a part in the management of some of the COVID-19 symptoms such as headaches and neurodermatitis. A recent randomized controlled trial using magnetic resonance imagery has shown evidence that OMT can also significantly modify the cerebral blood flow. Moreover, the recently explored “glymphatic system” (MeSH was introduced in 2019) emphasize the continuity between the body lymphatic system and cerebrospinal and interstitial fluids. Though further research is needed, these observations support the idea that OMT could, like in other systems, directly influence pathophysiological and immunity processes in the central nervous system.

To our knowledge, there has not yet been specific research about the influence of OMT on anosmia and taste loss. But several OMT protocols have been described to address mechanical or inflammatory issues around the nasal bones and the upper respiratory tract. Also, Apoznanski et al. showed in a single-case report that one OMT session cured a baby with dacryostenosis, stopping the necessity for surgical procedure; and Lee-Wong et al. showed that self-reported pain, pressure and congestion was significantly improved by OMT in patients with chronic sinusitis.

Conclusions

The aim of this review was to determine the potential field of action of osteopathic medicine in regards to SARS-CoV-2 pathophysiological processes and its resulting symptoms. The scientific literature showed that all severe complications of SARS infections seem consecutive to a dysregulation of inflammation and immunity caused by a cytokine storm. OMT has proven to be an important therapeutic adjunction to conventional care against infections, especially when the use of anti-inflammatory medication is limited. Biological and clinical evidence show its benefits against several pathologies with symptoms analog to those of COVID-19. This is especially true for pneumonia, with a substantial decrease in the necessity for a mechanical ventilation support, a shortening of the hospital length of stay and a significantly lower mortality rate. Since OMT has been shown to modify significantly the immunological profile of some circulating cytokines and leukocytes, it could also prove beneficial for prevention in non-infected patients. This, by stimulating the immune system and reducing the harmful effects of previous comorbidities, such as fibrosis and local inflammatory deregulation. Finally, future studies should lead to prove a positive impact of OMT on post-infection tissue sequelae (fibrosis, oxidative stress...), which could prevent the installation of chronic conditions.

Osteopathic medicine is already considered by many as an efficient tool to relieving pain by “resetting joints”. However, the evidence-based effects of OMT highlighted in this review show that its therapeutic effects extend far beyond that. In a context of global ageing and an increase of emerging pathogens, this review provides researchers with many ways to develop solid research hypotheses, and encourages further research to better comprehend OMT biological pathways. More clinical evidence — through rigorous controlled trials — is also necessary, especially regarding a new and highly-infectious disease such as COVID-19; at this point, very little is known of the long term evolution of this pandemic, and of the consequences that will ensue. This review is intended to offer all healthcare practitioners a better understanding of osteopathic medicine, as the authors look forward to more inclusive recommendations for OMT in multidisciplinary care. Osteopathic medicine is holistic, inclusive and accessible: it could be a substantial support for patients and a strong adjunction to preventive medical care.

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