Zel’’dovich approximation and General Relativity

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3 May 2014

ABSTRACT
We show how the Zel’dovich approximation and the second order displacement field of Lagrangian perturbation theory can be obtained from a general relativistic gradient expansion in ΛCDM cosmology. The displacement field arises as a result of a second order non-local coordinate transformation which brings the synchronous/comoving metric into a Newtonian form. We find that, with a small modification, the Zel’dovich approximation holds even on scales comparable to the horizon. The corresponding density perturbation is not related to the Newtonian potential via the usual Poisson equation but via a modified Helmholtz equation. This is a consequence of causality not present in the Newtonian theory. The second order displacement field receives relativistic corrections that are subdominant on short scales but are comparable to the second order Newtonian result on scales approaching the horizon. The corrections are easy to include when setting up initial conditions in large N-body simulations.

Key words: cosmology: theory – large scale structure of Universe – dark matter

1 INTRODUCTION
The Zel’dovich approximation (ZA) (Zel’dovich 1970; Buchert & Goetz 1987; Buchert 1992; Bouchet et al. 1995; Buchert & Ehlers 1993; Bernard et al. 2002; Rampf & Buchert 2012; Rampf 2012) provides a very simple analytical model of the gravitational evolution of CDM inhomogeneities which reproduces the appearance of the cosmic web, correlating well with the large scale filamentary features and void regions emerging in non-linear N-body simulations (Sathyaprakash et al. 1995; Buchert et al. 1997; Springel 2005; Matsubara 2008; Neyrinck 2012; Rampf & Wong 2012) with the same initial conditions. The ZA can be derived from the full system of (Newtonian) gravitational equations and forms a subclass of solutions in Lagrangian perturbation theory (LPT) (Buchert 1992). In fact, the ZA and its second order improvement (2LPT) are used to provide the initial displacements and velocities of particles in N-body simulations (Scoccimarro 1998; Crocce, Pueblas & Scoccimarro 2006).

The ZA arises in Newtonian theory and one might wonder about its status within general relativity (Ellis 2002; Buchert & Ostermann 2012). This question is particularly relevant if the ZA is used for example to set the initial dynamics of very large simulations which approach or exceed the size of the horizon. In this letter we show how the ZA and the 2LPT displacement field are derived in a general relativistic framework for ΛCDM cosmology. They correspond to a gradient expansion solution of the Einstein equations (Rigopoulos & Valkenburg 2012), expressed in a coordinate system in which the metric takes a Newtonian form. In the process we calculate the relativistic corrections to the displacement field as well as the time shift between the proper time of the irrotational CDM particles and the “Newtonian” time corresponding to a weakly perturbed metric.

As is the case in LPT, the gradient expansion allows in principle for density contrasts that are larger than unity, \( \delta \rho/\bar{\rho} > 1 \). The expansion eventually breaks down at points where caustics occur and the density becomes infinite. Close to such singularities higher order terms in the gradient series become important and the expansion loses its predictive power. However, one would expect that, unless black holes form, such singularities are in some sense removable since they appear where the worldlines of CDM particles cross. We find that when the gradient expansion breaks down, the corresponding Newtonian frame spacetime can still be considered a weak perturbation of FLRW.

2 THE GRADIENT EXPANSION METRIC
The gradient expansion is a technique for approximating solutions to the Einstein equations which is not based on expanding in small perturbations, as in conventional perturbation theory, but on writing the time-evolved metric in terms of a series in powers of the initial 3-curvature. The idea dates back to Lifshitz & Khalatnikov (1963) and Tomita (1975),...
and was developed more recently in Stewart et al. (1994), Comer et al. (1994) and Tanaka & Sasaki (2006) (see also Comer (1996) and Bruni & Sopuerta (2003) for covariant formulations). We will use here the gradient expansion solution for an irrotational flow of CDM particles in the presence of a fluid element. The metric can then be approximated by \( \gamma_{ij}(a, q) \cong a^2 k_{ij} + \lambda(a) \left[ \hat{R}_{kij} - 4 \hat{R}_{ij} \right] \), where in moving coordinates, possible to construct in this case, the functions appearing in the integrands in (2) satisfy \( J \cong \frac{a^{3/2}}{5H_0 \sqrt{\Omega_M}} \), \( \lambda \cong \frac{a^3}{5H_0^3 \Omega_M} \), \( \Lambda \cong \frac{2}{175} \frac{a^{9/2}}{H_0^2 \Omega_M^{3/2}} \), and \( a \cong t^{2/3} (H_0 \sqrt{\Omega_M})^{-2/3} \). These expressions are exact for an EdS universe with \( \Omega_M \to 1 \) and \( H_0 \to 0 \).

Let us now focus on the following conformal seed metric \( k_{ij} = \delta_{ij} + \frac{10}{3} \Phi(q) \), where \( \Phi(q) \) is the initial Newtonian potential, taken to be a Gaussian random field with amplitude given by the appropriate transfer function. The metric \( k_{ij} \) is simply the linear initial condition derived from inflation and expressed in the synchronous gauge. Of course, non-Gaussian initial conditions could also be incorporated in \( k_{ij} \) but we keep (3) for simplicity. Solution (2) becomes

\[
\gamma_{ij} \cong a^2 \delta_{ij} \left[ 1 + \frac{10}{3} \Phi(q) \right] + 20 \frac{\lambda(a)}{3} \left[ \Phi_{,ij} \left( 1 - \frac{10}{3} \Phi \right) - 5 \Phi_{,i} \Phi_{,j} + \frac{5}{6} \delta_{ij} \Phi_{,i} \Phi_{,i} \right] + T_1(a) \Phi_{,i} \Phi_{,ij} - T_2(a) \Phi_{,i} \Phi_{,ij} - \frac{T_2(a)}{4} F \delta_{ij},
\]

where

\[
T_1(a) = a^2 \int_0^a \frac{\lambda(x) J(x) - L(x)}{x^5 H(x)} \frac{dx}{3},
\]

\[
T_2(a) = a^2 \int_0^a \frac{\lambda(x) J(x) - L(x)}{x^5 H(x)} \frac{dx}{9},
\]

and

\[
F = \Phi_{,lm} \Phi_{,jm} - \Phi_{,j} \Phi_{,mm}.
\]

A “,l” denotes a differentiation w.r.t. Lagrangian coordinate \( q_l \). Note that a solution in the context of second order perturbation theory for Einstein-De Sitter cosmology was given in Matarrese, Pantano & Saez (1994). However, the expressions are not identical to ours; we have retained terms with two spatial gradients (the first two lines of (3)) which are up to two powers in the potential \( \Phi \). These terms are crucial for the coordinate transformation below.

The energy density is given by

\[
\rho(a, q) = \frac{3H_0^2 \Omega_M}{8\pi G} \sqrt{\det \left[ \gamma_{ij}(a, q) \right]}^{3/2},
\]

which matches to the linear perturbation theory density in synchronous gauge at sufficiently early times. It should be stressed that in deriving (2) no assumption has been made about the magnitude of the density perturbation and values of \( \delta \rho / \bar{\rho} > 1 \) are in principle allowed. Of course, the density is accurate only up to the gradient order kept in the expression for the metric. However, the metric in the form (3) or (9) predicts that eventually regions of zero volume will form where the density becomes infinite. As we will see below,

1 See Barrow & Goetz (1989) for a Newtonian treatment.
this in general corresponds to the formation of caustics in LPT.

3 NEWTONIAN COORDINATES AND THE DISPLACEMENT FIELD

The spatial coordinate system used above is comoving with the CDM fluid, i.e., each fluid element, or particle, is characterised by a fixed \( \mathbf{q} \) throughout the evolution. All information about inter-particle distances and clustering is encoded in the metric. This however is not the most convenient way to visualise the situation, to relate to Newtonian intuition or, for example, to compare with the output of an N-body simulation. Let us therefore define a coordinate transformation from the comoving coordinates \((t, \mathbf{q})\) to another coordinate system \((\tau, \mathbf{x})\) where we require the metric to take the Newtonian form

\[
g_{00}(\tau, \mathbf{x}) = -[1 + 2A(\tau, \mathbf{x})], \quad g_{0i}(\tau, \mathbf{x}) = 0, \\
g_{ij}(\tau, \mathbf{x}) = \delta_{ij} [1 - 2B(\tau, \mathbf{x})] a^2(\tau),
\]

where \( A \ll 1 \) and \( B \ll 1 \), and where

\[
x^i(t, \mathbf{q}) = q^i + \mathcal{F}^i(t, \mathbf{q}), \\
\tau(t, \mathbf{q}) = t + \mathcal{L}(t, \mathbf{q}).
\]

The metrics are related through

\[
\gamma_{ij} = \frac{\partial \tau}{\partial q^i} \frac{\partial \tau}{\partial q^j} [1 + 2A] + \frac{\partial \gamma^i}{\partial q^j} \frac{\partial \delta_{ij}}{\partial q^0} (1 - 2B) a^2, \\
0 = \frac{\partial \gamma^i}{\partial t} [1 + 2A] + \frac{\partial \gamma^0}{\partial q^i} \frac{\partial \delta_{ij}}{\partial q^0} (1 - 2B) a^2, \\
-1 = \frac{\partial \gamma^i}{\partial \tau} [1 + 2A] + \frac{\partial \gamma^0}{\partial q^i} \frac{\partial \delta_{ij}}{\partial q^0} (1 - 2B) a^2.
\]

In the above equations the various functions are evaluated at the same spacetime point, which we choose at this stage to label with the \((t, \mathbf{q})\) coordinates that parametrize the worldlines of the CDM particles. For simplicity we will ignore possible vector and tensor modes that are generated at next to leading order – this can be straightforwardly rectified. We can now obtain the displacement field and the time shift at different orders in the potentials

\[
\mathcal{F}^i = \mathcal{F}_1^i(t, \mathbf{q}) + \mathcal{F}_2^i(t, \mathbf{q}) + \ldots, \\
\mathcal{L} = \mathcal{L}_1(t, \mathbf{q}) + \mathcal{L}_2(t, \mathbf{q}) + \ldots.
\]

3.1 Zel’doovich approximation

Solving \((19) - (21)\) at linear order in \( \Phi \) we obtain

\[
\mathcal{F}_1^i(t, \mathbf{q}) = \frac{10}{3} \frac{\lambda(t)}{a^2(t) \partial q^i} \Phi(q), \\
\mathcal{L}_1(t, \mathbf{q}) = \frac{10}{3} J(t) \Phi(q),
\]

and the gravitational potentials read

\[
A_1(\tau, \mathbf{x}) = B_1(\tau, \mathbf{x}) = \frac{5}{3} [2H(\tau)J(\tau) - 1] \Phi(x).
\]

We see that the transformation \((17)\) has a direct interpretation: When expressed in terms of \( \tau \) it is simply the trajectory in the Newtonian frame \((\tau, \mathbf{x})\) of a particle with initial coordinate \( \mathbf{q} \):

\[
x^i(\tau, \mathbf{q}) \simeq q^i + \frac{10}{3} \frac{\lambda(\tau)}{a^2(\tau) \partial q^i} \Phi(q),
\]

where the replacement \( t \to \tau \) only induces a change at second order. We have checked that the prefactor 10\( a^2/(3a^2) \), although satisfying apparently different equations is numerically identical to the ΛCDM growth factor \( D_+(\tau) \)

\[
\frac{10}{3} \frac{\lambda(\tau)}{a^2(\tau)} = D_+(\tau) = \frac{5}{2} \frac{H_0^2 \Omega_m}{a} \int_0^a \frac{dx}{H(x)},
\]

\[
= \frac{2}{5\Omega_M^{1/2} \Omega_\Lambda^{1/2}} 2F_1 \left[ \frac{3}{2} \frac{5}{6} \frac{11}{6} \frac{\Omega_\Lambda}{\Omega_m} a^3 \right],
\]

with \( H \) the conformal Hubble parameter. The representation of \( D_+ \) in terms of the hypergeometric function \( 2F_1 \) was found in Enqvist & Rigopoulos (2011) and Bellaco, Garcia-Bellido & Sapone (2011). We have thus obtained directly the Zel’doovich approximation for ΛCDM from a general relativistic solution. Note that the formal steps described above resemble a gauge transformation from the synchronous to the Newtonian gauge. However, we stress again that we have not assumed here that \( \delta \rho/\bar{\rho} \) is smaller than unity. So, eq. \((26)\) applies also in principle when \( \delta \rho/\bar{\rho} > 1 \).

Focusing on scales that are comparable to the Hubble length, we can expand \((13)\) to linear order in the potential and express the result in terms of \( \tau \) using \((24)\):

\[
\frac{\delta \rho}{\bar{\rho}}(\tau, \mathbf{x}) \simeq -\frac{\lambda(\tau)}{a^2(\tau)} \frac{10}{3} \nabla_2^2 \Phi(x) + 10H(\tau)J(\tau) \Phi(x).
\]

We see that in the Newtonian frame and on scales comparable to the horizon the Newtonian potential and the density perturbation are related through a (modified) Helmholtz equation instead of the standard Poisson equation. Writing the equation in terms of an evolving Newtonian potential \( \phi_N(\tau, \mathbf{x}) \) we have

\[
\nabla_2^2 \phi_N(\tau, \mathbf{x}) - 3a^2HJ \frac{\delta \rho}{\bar{\rho}}(\tau, \mathbf{x}) = \delta \rho, \\

\]

with the non-local solution

\[
\phi_N(\tau, \mathbf{x}) = -\int d^3y \frac{\exp\left\{-\frac{3a^2HJ}{\lambda} |x-y|\right\} \delta \rho(\tau, y)}{4\pi |x-y|}.
\]

To be concrete let us set \( \Omega_\Lambda = 0, \Omega_m = 1 \) so that eq. \((11)\) holds exactly. We then have

\[
\frac{3a^2HJ}{\lambda} \to 3H_0^2 a.
\]

We see that density fluctuations at distances sufficiently far away do not contribute to the potential. Furthermore, the region over which density fluctuations do contribute to the potential grows with time. This is of course expected since equation \((25)\) and the underlying solution \((26)\) are derived from general relativity. Such causal behaviour is absent in the Newtonian theory which misses the second term on the RHS of \((29)\).

Let us finally see how formula \((28)\) can be understood in terms of the particle trajectories \((26)\). Suppose for the moment that the Zel’dovich displacement \((28)\) is imposed on a Euclidean grid. This would result in a density fluctuation

\[
\left( \frac{\delta \rho}{\bar{\rho}} \right)_{\text{Euclidean}} = -\frac{\lambda(\tau)}{a^2(\tau)} \frac{10}{3} \nabla_2^2 \Phi(x).
\]

The true spatial geometry of the Newtonian frame is not Euclidean and the density contrast acquires an extra term

\[
\frac{3a^2HJ}{\lambda} \to 3H_0^2 a.
\]
expressions can be found in the appendix. The trajectory in
This is in agreement with the result of Chisari & Zaldarriaga
and evolved with (26) will reproduce the correct density.

∇ \cdot \mathbf{c} = -5\Phi . \quad (33)

and evolved with (22) will reproduce the correct density.
This is in agreement with the result of Chisari & Zaldarriaga
(2011). A detailed discussion about the initial conditions
2.2 Trajectory at second order and short-scale
behaviour

The displacement field, the time shift and the gravitational
potentials can be calculated to second order as well. Explicit
expressions can be found in the appendix. The trajectory in
the Newtonian frame (τ, x) of a particle with initial coordi-
nate q reads at second order

\mathbf{x}(\tau, \mathbf{q}) \simeq \mathbf{q} + \frac{10}{3} \frac{\lambda(\tau)}{a^2(\tau)} \frac{\partial}{\partial \mathbf{q}} \Phi(\mathbf{q}) + \frac{1}{8} \frac{T_3(\tau)}{a^4(\tau)} \frac{\partial}{\partial \mathbf{q}} \frac{1}{\nabla^2_q} F

+ 50 \frac{[\lambda(\tau) + J^2(\tau)]}{a^2(\tau)} \frac{\partial}{\partial \mathbf{q}} \frac{1}{\nabla^2_q} \left( \Phi \partial \Phi - \frac{3}{2} \frac{1}{\nabla^2 q} F \right)

- 50 \frac{[\lambda(\tau) + J^2(\tau)]}{a^2(\tau)} \frac{\partial}{\partial \mathbf{q}} \Phi^2 , \quad (34)

where 1/\nabla^2_q denotes the inverse Laplacian. The last term
in the first line of (34) is precisely the result from
Newtonian 2LPT [Rampf & Buchert 2012 | Rampf 2012 |
Rampf & Rigopoulos 2012]. On small scales the second line
in (34) is completely negligible and we obtain the com-
plete Newtonian result, showing that Newtonian dynam-
ics on short scales produce the correct evolution. This is of
course not surprising, since general relativity is con-
structed to reproduce Newtonian physics in the appro-
riate limit. However, on scales approaching the horizon the last two
terms in (34) become comparable to the second order New-
tonian terms, the ratio between the two scaling roughly as

\frac{\text{Relativistic}}{\text{Newtonian}} \sim \frac{H_0^2}{\tau^2} . \quad \text{This shows that, unlike the first order result, at second order general relativistic effects do have an impact on the trajectories of particles on such scales. In particular, any deviation from the Zel’dovich approximation computed with Newtonian dynamics on scales approaching the horizon will introduce errors. However, since dynamics on such scales are accurately described by expression (34), it is easy to include these corrections in an N-body simulation. We give more quantitative details in Rampf & Rigopoulos (2012).}

Finally, let us make a few comments for the regime where the gradient expansion breaks down. On short scales the second order potentials read (see appendix)

A_2(\tau, \mathbf{x}) = B_2(\tau, \mathbf{x}) \simeq \frac{25}{9} \frac{\lambda}{a^4} \Phi \partial \Phi

+ \frac{25}{9} \frac{1}{a^4} \left( 2\lambda H J - \lambda - J^2 - HL \right) \frac{1}{\nabla^2_x} F + O(\Phi^2) , \quad (35)

where we have dropped terms that are not enhanced by spatial
gradients; “||” denotes differentiation w.r.t. Eulerian co-
nordinates x_i, and F is the analogue to F in Eulerian space.

It is interesting to examine what happens to the metric po-
tentials when the gradient expansion solution breaks down.
To simplify the expressions let us set Ω_\Lambda = 0, Ω_0 = 1 so
that eq. (7) holds exactly. Expression (9) predicts that the
components of the synchronous metric will go to zero for
fluctuations with highest wave-number k approximately at a
time defined by

\frac{4}{3} \frac{k^2}{H_0^2} \Phi \sim 1 . \quad (36)

At this point the spatial volume element of the comoving
synchronous hypersurfaces goes to zero and the density be-
comes infinite. In the Newtonian frame this signifies the
crossing of the CDM particle worldlines and the formation of
caustics (shell crossings). At these points the gradient
expansion solution breaks down.

Ultimately, shell crossings are the result of the assump-
tion of a single velocity for each fluid element. In reality such
singularities will be smoothed out by the non-zero velocity
dispersion of the CDM particles but the zero pressure gra-
dient expansion solution used here will be inaccurate in these
regions. However, some qualitative estimates can be made.
At the time when these singularities form we approximately
have

A_2 \sim B_2 \sim \frac{5}{84} \Phi . \quad (37)

We thus see that the second order correction to the metric
is enhanced, formally becoming first order. This would signify
that, even if zero pressure is still assumed, the complete se-
ries should be summed to obtain the correct spacetime met-
ic. However, unless terms of successive orders become even
more dominant, eq. (37) shows no evidence that spacetime in-
ner product of the CDM particles but the zero pressure gra-
dient expansion solution used here will be inaccurate in these
regions. However, some qualitative estimates can be made.
At the time when these singularities form we approximately
have

A_2 \sim B_2 \sim \frac{5}{84} \Phi . \quad (37)

4 SUMMARY AND DISCUSSION

We have shown that the application to our universe of the
gradient expansion method for approximating solutions to
the Einstein equations is the relativistic equivalent of solving
Lagrangian Perturbation Theory. At first order the relativis-
tic displacement field coincides with the Zel’dovich approxi-
mation up to an extra initial displacement \mathbf{e}(\mathbf{q}) which has to be
imposed on the initial positions of particles to reproduce
the correct density. We have therefore found that even for
scales close to (or larger than) the horizon, the Zel’dovich ap-
proximation is essentially correct as a description of particle
motion. However, the relation between the resulting density
contrast and the Newtonian potential is not the standard
Poisson equation but a modified Helmholtz equation, reflect-
ing the causality of the relativistic theory. Contrary to what
happens at first order, the second order displacement field
receives relativistic corrections that are as important as the
corresponding Newtonian result on large scales.

One can draw two main conclusions from the above
findings. The first is that the fully relativistic solution re-
produces the Newtonian dynamics on short scales. This is
of course not surprising. However, we believe this is the first
time it is explicitly shown starting from a fully relativistic solution, making no assumptions on the magnitude of the density perturbation. Our results show no evidence that Newtonian cosmology is not a good description on short scales. Correspondingly we expect any backreaction to be small even when large density contrasts form. This finding should be compared with the backreaction estimated in the synchronous gauge [Kolb et al. 2006] [Enqvist et al. 2012].

The second conclusion is that on large enough scales relativistic effects start contaminating the second order Newtonian result with the relative importance of the relativistic terms scaling as $H_0/k^2$. Such corrections will be relevant for simulations that encompass the horizon. Since the Zel’’dovich term will dominate on such scales, the corrections will be rather small. However, any deviation from the Zel’dovich approximation computed purely through Newtonian dynamics will miss the relativistic corrections in (34). Formula (34) then provides a direct way to include relativistic effects on the trajectory of particles in large N-body simulations. We will return to this issue with a more quantitative treatment in Rampf & Rigopoulos (2012).

5 ACKNOWLEDGEMENTS
GR is supported by the Gottfried Wilhelm Leibniz programme of the Deutsche Forschungsgemeinschaft (DFG). We would like to thank Shaun Hotchkiss for useful discussions.

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APPENDIX
In this appendix we summarise our findings of the second order transformation to the Newtonian frame. It reads

$$F^2_2(t, \mathbf{q}) = \frac{1}{8} \frac{T}{a^2} \frac{\partial}{\partial q} \frac{1}{\mathbf{q}} \Phi^2 - \frac{100}{9} \lambda \frac{\partial}{\partial q} \Phi^2$$

$$+ \frac{50}{9} \left( J^2 + \lambda \right) \frac{1}{a^2} \frac{\partial}{\partial q} \frac{1}{\mathbf{q}} \left( \Phi_i \Phi_i - \frac{3}{2} \frac{\mathbf{F}}{\mathbf{q}} \right),$$

(38)

$$L^2(t, \mathbf{q}) = \frac{50}{9} \frac{1}{a^2} \left( \lambda J - \frac{1}{2} L \right) \frac{1}{\mathbf{q}} \Phi^2 + \frac{50}{9} \lambda J \Phi_i \Phi_i$$

$$+ \frac{50}{3} \left( J - 2HJ \right) \frac{2}{3} \frac{\mathbf{F}}{\mathbf{q}} \Phi_i \Phi_i - \frac{1}{(\Phi_i)^2} \Phi^2,$$

(39)

while the second order potentials are

$$A_2(\tau, x) = \frac{25}{9} \frac{\lambda}{a^2} \Phi_i \Phi_i + \frac{25}{9} \left( \frac{3}{2} - \frac{18}{5} HJ + \frac{2}{5} J^2 L \right) \frac{1}{\mathbf{F}} \Phi^2$$

$$+ \frac{25}{9} \left( 2 \lambda HJ - \lambda - J^2 - HL \right) \frac{1}{\mathbf{F}} \frac{\mathbf{F}}{\mathbf{q}}$$

$$- \frac{50}{3} \left( 1 - 3HJ + 7H^2 J^2 - J^2 L \right) \left( \frac{2}{3} \frac{1}{\mathbf{F}} \Phi_i \Phi_i - \frac{1}{(\Phi_i)^2} \right),$$

(40)

$$B_2(\tau, x) = \frac{25}{9} \frac{\lambda}{a^2} \Phi_i \Phi_i + \frac{25}{9} \left( \frac{2}{5} HJ - 4H^2 J^2 + \frac{2}{5} J^2 L \right) \Phi^2$$

$$+ \frac{25}{9} \left( 2 \lambda HJ - \lambda - J^2 - HL \right) \frac{1}{\mathbf{F}} \frac{\mathbf{F}}{\mathbf{q}}$$

$$+ \frac{50}{3} \left( HJ - 2HJ \right) \frac{2}{3} \frac{1}{\mathbf{F}} \Phi_i \Phi_i - \frac{1}{(\Phi_i)^2} \right).$$

(41)

Note again that the dependences and derivatives in eqs. 38 and 39 are w.r.t. Lagrangian coordinates, while for eqs. 40 and 41 w.r.t. Eulerian coordinates.