Analysis of respiratory V-P curves is increasingly being included in the evaluation of whether ventilator settings are suited to the mechanical properties of the respiratory system of the patient. In dynamic conditions, resistive forces, the resistance of the conducting airway and the endotracheal tube (ETT), and viscoelastic or inertial forces, adaptation to stress of units within the lung and the chest wall tissues, are measured. In order to obtain information regarding lung elastic forces, dynamic forces must be eliminated and respiratory muscles relaxed.

The static V-P curve obtained in normal persons has a sigmoidal shape, with a linear segment above functional residual capacity (FRC) where tidal ventilation takes place, two inflection points, and a hysteresis curve. The lower inflection point (LIP) is observed at lung volume lower than FRC, and is therefore not observed in lungs of normal persons above relaxation volume. The upper inflection point (UIP) is observed at near total lung capacity.

In patients with the acute respiratory distress syndrome (ARDS), the classical shape of the V-P curve is altered. Abnormalities include appearance of a LIP above FRC, of a UIP at lower lung volume than in normal persons, decreased slope in the linear portion, and increased hysteresis. The mechanical abnormalities are dependent on the stage of disease [1].

Animal studies [2–6] have shown that mechanical ventilation can initiate or worsen pre-existing lung injury. Ventilator-induced lung injury (VILI) appears to result from repetitive closing and opening of collapsed alveolar units, or from pulmonary overdistension. Both of these exacerbate or initiate significant lung injury and inflammation [4,5,7]. In animal studies [5], VILI is reduced by setting the positive end-expiratory pressure (PEEP) level slightly higher than the LIP of the thoracopulmonary V-P curve, thus avoiding repetitive closing and opening of collapsed alveolar units and keeping the lung open. Also,
an appropriate tidal volume \((V_t)\) helps to avoid end-inspiratory overdistension.

The results of randomized prospective trials conducted in ARDS patients that compared protective ventilation strategies with more conventional strategies \([8–11]\) suggested that VILI may have a clinical counterpart known as ventilator-associated lung injury. In one study \([9]\), a strategy that combined recruitment manoeuvres, lower \(V_t\) and higher PEEP (adjusted to maintain the tidal ventilation above the LIP of the static \(V-P\) curve) improved survival and decreased the incidence of barotrauma. In another study, conducted in 861 patients \([10]\), a 25% decrease in mortality was achieved by reducing the stress on the diseased lung by reducing the \(V_t\). In a third study \([11]\) lower concentrations of inflammatory mediators in bronchoalveolar lavage fluid and blood were observed in a group of patients ventilated with lower \(V_t\) and higher PEEP (set according to the LIP and the UIP of the \(V-P\) curve of the respiratory system) as compared with patients ventilated according to conventional criteria.

Therefore, in ARDS it has been proposed \([12]\) that PEEP should be set above the LIP. The UIP has been suggested \([13,14]\) to be the upper limit of adaptation of \(V_t\). It correlates with pressure and volume values above which a decrease in compliance is observed, and with an increased risk of overdistension.

Young children have a more compliant chest wall than do adults \([15]\). They may therefore be more prone to lung overdistension, because at a given airway pressure the relative degree of lung distension is greater than that in adults \([16]\). Many analyses of respiratory \(V-P\) curves in ventilated adults, including detection of overdistension on (quasi)-static and dynamic \(V-P\) curves, have been reported (for review \([17]\)). However, very few studies have described results regarding detection of overdistension on (quasi)-static and dynamic \(V-P\) curves in ventilated children. The present review therefore summarizes the use of \(V-P\) curves in the paediatric population as a guide to ventilatory therapy, with particular focus on detection of overdistension.

Techniques to measure the static or quasi-static properties of the respiratory system

The super syringe technique, which is considered the reference technique, allows a \(V-P\) curve to be constructed by insufflating increasing gas volumes while recording airway pressure during a period of constant volume. This technique has been abandoned because it necessitates disconnection of the patient from the ventilator, and because gas exchange during measurement complicates interpretation.

The occlusion technique allows \(V-P\) curves to be constructed in the volume-controlled mode of ventilation, by changing respiratory frequency in order to obtain various \(V_t\)'s and by using the end-inspiratory button of the ventilator to identify the corresponding plateau pressure. As with the super syringe technique, however, this technique is time consuming, and its use is limited in clinical practice.

The easiest way to obtain a complete inspiratory \(V-P\) curve during one slow insufflation and without disconnecting the patient from the ventilator is to use the low flow inflation (LFI) technique. This method is rapid, and it can be performed using a modern ventilator; the ventilator ensures a constant inspiratory flow \((V')\), and the software and the screen allow the \(V-P\) curve to be observed and analyzed. Provided that the insufflation \(V'\) is sufficiently low (<9 l/min), a quasi-static state can be reached \([18]\). Higher \(V'\) increases the resistive pressure, and leads to a slight displacement of the \(V-P\) curve to the right, although this does not appear clinically relevant; the mean increase in resistive pressure induced by constant inspiratory \(V'\) of 3 and 9 l/min are 1 ± 1 and 1.8 ± 2.1 cmH2O, respectively \([18]\). The LFI technique has been compared to reference techniques, with good results \([19]\).

**Detection of overdistension on dynamic respiratory volume-pressure curves in ventilated children**

Analysis of the \(V-P\) curve obtained under dynamic conditions takes resistive, viscoelastic and elastic pressures into account. Because dynamic \(V-P\) curves are obtained during mechanical ventilation without modification of ventilator settings or artificial pauses, they are more relevant to the evaluation of potential lung trauma generated in such circumstances.

To our knowledge, only three studies regarding indices of dynamic \(V-P\) curves in ventilated children \([20–22]\) have quantified the decrease in slope (ie compliance) that is observed at the limit of \(V_t\) in case of overdistension.

Fisher et al \([20]\) quantified the decrease in compliance at the end of tidal inspiration using the \(C_{20}/C\) ratio, which was calculated on the inspiratory part of the \(V-P\) curve obtained during mechanical ventilation. This index represents the ratio of the compliance calculated from the last 20% of \(V-P\) curve \((C_{20})\) to the total compliance calculated from the entire slope of the curve \((C)\). The equations for \(C\) and \(C_{20}\) are as follows.

\[
C_{20} = (V_t - V_{0.80P_{max}})/(P_{max} - 0.80P_{max}) \tag{1}
\]

Where \(V_t\) is inspiratory \(V_t\); \(V_{0.80P_{max}}\) is the volume at 80% of maximum inspiratory pressure; \(P_{max}\) is the airway opening pressure at the zero point flow corresponding to end inspiration; and \(0.80P_{max}\) is 80% of maximum inspiratory pressure.
\[ C = \frac{V_t}{P_{\text{max}} - P_{\text{min}}} \]  

(2)

Where \( P_{\text{min}} \) is airway opening pressure at the zero flow point, corresponding to the beginning of inspiration.

Those investigators analyzed the V-P curves of neonates ventilated in volume-controlled mode. They used the shape of the V-P curve as the ‘gold standard’, identifying overdistension by visual inspection. They observed that all patients with evidence of overdistension on the V-P curve had a \( C_{20}/C \) ratio below 0.80, and that all those with a normal-appearing loop had a \( C_{20}/C \) ratio greater than 1.00. They did not observe any overlapping of the \( C_{20}/C \) ratios calculated in nonoverdistended and in overdistended curves. However, these findings obtained in dynamic V-P curves were not compared with results obtained using a static V-P curve reference technique.

Kano et al [21] compared the \( C_{20}/C \) ratio with \( \%E_2 \) obtained in dynamic V-P curves in children aged 3–66 months, ventilated in the volume-controlled or the pressure-controlled mode. Those investigators calculated respiratory mechanics using multiple linear regression to fit a volume-dependent single compartment model (VDSCM), expressed as follows.

\[ \text{Pao} = (E_1 + E_2V)V + R_{rs}V' + \text{EEP} \]  

(3)

Where Pao represents airway opening pressure; \( E_1 + E_2V \) represents total dynamic elastance of the respiratory system (\( E_1 \) is the volume-independent elastance, and \( E_2V \) is the volume-dependent elastance); \( R_{rs} \) is the dynamic respiratory system resistance; and EEP is the alveolar pressure at end-expiration. \( \%E_2 \) reflects the contribution of the volume-dependent elastance \( (E_2V_t) \) to total dynamic elastance of the respiratory system for a given \( V_t \) \( (E_1 + E_2V_t) \):

\[ \%E_2 = \left( \frac{E_2V_t}{(E_1 + E_2V_t)} \right) \times 100 \]  

(4)

Different degrees of inflation were obtained by changing the level of PEEP. However, no static V-P curves were obtained for reference purposes, which would have allowed the V-P curves to be classified into two groups: overdistended and nonoverdistended. Increasing PEEP decreased the \( C_{20}/C \) ratio and increased \( \%E_2 \). That study had the advantage of showing the effect of ETT on these two indices. Subtraction of the resistive pressure drop across the ETT from Pao induced a systematic decrease in \( C_{20}/C \), being most marked with the smallest ETT (3.0–4.0 mm internal diameter), with no apparent change in \( \%E_2 \). The investigators also emphasized the influence of the mode of ventilation on the \( C_{20}/C \) ratio. Indeed, in the pressure-controlled mode of ventilation, the last part of the V-P curve may not reflect the respiratory system compliance. This is because, once the predetermined pressure is approached, the inspiratory \( V' \) is decreased to maintain pressure and to allow the \( V_t \) to be delivered during the inspiratory period. Kano et al concluded that no clear threshold value corresponding to overdistension could be determined for the \( C_{20}/C \) ratio, which was influenced by ETT size, airway resistance and mode of ventilation.

Finally, the \( C_{20}/C \) ratio may be compared with the sign of the nonlinear coefficient \( c \) of a SOPE, which is fitted to the V-P data obtained during a period of constant \( V' \) in children ventilated in the volume-controlled mode [22]. The governing equation is as follows:

\[ \Delta V = a + bP + cP^2 \]  

(5)

Where \( a, b, c \) are constants, \( c \) being the dynamic nonlinear coefficient.

Ranieri et al [23], in adult patients with ARDS, showed that the sign of this coefficient \( c \) describes the curvature of the V-P curve. When a convex shape with a progressive decrease in slope with increasing inflation volume was observed, the coefficient \( c \) was negative, indicating overdistension. When a concave shape with a progressive increase in slope with increasing inflation volume was observed, the coefficient \( c \) was positive. Figure 1 illustrates the detection of overdistension using the \( C_{20}/C \) ratio and coefficient \( c \) in two representative patients.

**Validation of the detection of overdistension on dynamic volume–pressure curves by comparison with a reference method**

Two of the studies referred to above [21,22] are interesting because they aimed to validate indices of overdistension obtained in dynamic conditions in comparison with an index of overdistension obtained using a reference method, such as (quasi)-static V-P curves.

In mongrel puppies, Kano et al [21] compared the \( C_{20}/C \) ratio and \( \%E_2 \) calculated from dynamic V-P data with detection of overdistension in static expiratory V-P curves. Dynamic V-P curves that showed various degrees of lung inflation were obtained by increasing \( V_t \) or PEEP. Respiratory system overdistension was judged by superimposing each dynamic V-P curve on the static V-P curve for that animal. Dynamic V-P curves were classified as nonoverdistended if they fell entirely within the linear portion, and as overdistended if they extended into the nonlinear region of the static curve. Respiratory mechanics were calculated using multiple linear regression to fit different models.

The best fit, especially in the overdistended group, was with a VDSCM (see Equation 3, above). Overdistension was quantified using \( \%E_2 \). Overdistended dynamic V-P curves showed higher \( \%E_2 \) (43% ± 15% versus 0.51% ± 18%) and lower \( C_{20}/C \) ratio (0.71 ± 0.1 versus 0.92 ± 0.16) than did nonoverdistended dynamic V-P curves. \( C_{20}/C \) ratio and...
%E₂ were inversely correlated. Compared with a linear single compartment model (Pao = Eₚ V + Rₚ V' + EEP, where Eₚ is total dynamic elastance of the respiratory system), the volume-dependent elastance (E₂ V) should only become significant if the lung is either overventilated (overdistension of some lung units) or underventilated (atelectasis). Comparison of the fit of the linear single compartment model with that of the VDSCM suggested that overdistension could be assumed if the %E₂ was found to be greater than 30%.

The second study [22] compared the value of an overdistension index obtained under dynamic conditions with the detection of overdistension using a reference method, such as quasi-static V-P curves. This is the only study of this type conducted in ventilated children. Data from dynamic V-P curves were analyzed during constant V' ventilation (because the rate of change in pressure during constant V' insufflation indicates a change in compliance, provided that resistive and viscoelastic contributions to pressure remain relatively constant over the range of Vₜ).
conducted. The dynamic C20/C ratio was compared with analyzed patients. Second, a graphic analysis was ing the elastic properties of the respiratory system in par-
the same information as did the static V-P curve regard-
change in airway pressure due to the resistive component
obtained during constant V
conditions (LFI
dynamic conditions (dynamic

Particularities of the measurements of volume–pressure data in ventilated children
In adult patients, P and V’ measurements may be obtained using the standard sensors of the ventilator, and may be assessed using a ventilator screen, such as the Cesar ven-
tilator screen (Taema, Paris, France) [25]. In young children measurements must be performed at the Y piece of the circuit, because the volume as measured by the sensors of the ventilator may be overestimated compared with the volume measured at the airway opening [26]. Circuit com-
pression volume and compliance of the circuit have an important impact on the pressure and V’ delivered at the Y piece. Circuit compression volume is that part of Vf set on the ventilator that remains within the ventilator and inspira-
tory circuit, and does not reach the airways of the patient.
As the pressure in the circuit rises, the system tubing elong-
gates and distends, causing compression of the gas within the inspiratory circuit. During exhalation, compression volume is released and measured by the exhalation valve.

Compression volume depends on the internal volume of the ventilator and humidifier, and on the volume and compliance of the circuit, but also on inflation pressure, which is influenced by lung resistance and compliance. Compression volume and circuit compliance (ratio of compres-
sion volume to maximal inspiratory pressure at which that compression volume is measured) increase as lung compliance decreases, reflecting a greater gas compression at higher maximal inspiratory pressure [27]. Because the dynamic respiratory system resistance in young children is higher than in adults, and their thoracopulmonary compli-
ance is lower, especially in those with the most severe respir-
atory disease, the compression volume represents a larger portion of the Vf that is set on the ventilator.

In addition, pressure reaching the airways of the child may differ from the pressure that is set on the ventilator, because of a pressure drop between the two sites of mea-
surement (inspiratory part and expiratory part of the circuit or Y piece) [28]: the maximal inspiratory pressure that is measured by the sensors of the ventilator may be overesti-
mated in the volume-controlled mode of ventilation [29], and underestimated in pressure-controlled mode [30].

Quasi-static V-P curves can be constructed in children who have received an injection of muscle relaxant (eg vecuronium 0.2 mg/kg) using the LFI technique [19]. In such a procedure, the following must be selected: a low V’ insufflation performed in the volume-controlled mode of ventilation, set with an insufflation to total time ratio of 0.5; a prolonged low V’ inspiration of 6 s, obtained by setting the minimum value of frequency of the ventilator, ie five breaths/min; and a Vf that results in an expected maximal inspiratory pressure of approximately 40 cmH2O. For example, in a child with a compliance of 5 ml/cmH2O, the Vf would be 5 × 40 = 200 ml, and would be delivered at a

Two analyses were performed on the same data to compare detection of overdistention on dynamic with that on LFI curves, the latter being considered the reference technique. First, a mathematical analysis of the curvature of the V-P curve was conducted. A SOPE (see Equation 5, above) was fitted to the dynamic V-P data points obtained during constant V’ inflation, and to the LFI V-P data corresponding to the dynamic Vf range. The sign of the nonlinear coefficient c obtained under dynamic conditions (dynamic c coefficient) was com-
pared with the sign of the c coefficient obtained under LFI conditions (LFI c, reference technique). V-P data points obtained during constant V’ inflation, after the initial step change in airway pressure due to the resistive component of the respiratory system, were analyzed. They provided the same information as did the static V-P curve regarding the elastic properties of the respiratory system in par-
yzed patients. Second, a graphic analysis was conducted. The dynamic C20/C ratio was compared with determination of the UIP on the LFI curve. Overdistension was defined as a negative c value [23], a C20/C ratio below 0.80 [20], and a UIP that fell within the Vf range for that child during regular ventilation.

Comparing the results of the dynamic with the quasi-
static V-P curve (reference technique), the C20/C ratio did not appear to be a suitable index for estimating overdistension on dynamic V-P curves, because it failed to detect overdistension in three out of four children. However, the SOPE applied to the period of constant V’ of the dynamic V-P curve appeared a more adequate and sensitive index of overdistension, because assessment of the coefficient c in dynamic V-P curves detected all cases of overdistension that were detected on the LFI quasi-
static V-P curves.
V′ rate of 200 ml/6 s = 33 ml/s. In small children, however, the V′ rate (V′/6 s) and corresponding volume set on the ventilator should be corrected for gas compression in ventilator, humidifier and tubing (because compression volume represents a larger part of their VT), and especially in those with low compliance.

This LFI technique can be performed at any PEEP level, and can be combined with calculation of recruited volume [31]. The gas volume of collapsed lung units recruited using PEEP (recruited volume) can be calculated as the difference in lung volume between zero end-expiratory pressure and the selected PEEP level. Evaluating the aspect of the V-P curve with the PEEP that corresponds to the actual ventilator settings has several advantages. Firstly, it provides a more realistic individual evaluation of the effects of the ventilator settings on the lung. In addition, keeping a sufficient level of PEEP may be particularly important in young paralyzed children, in order to maintain their end-expiratory lung volume (EELV). During spontaneous ventilation, children aged up to 6–12 months dynamically maintain their EELV above the volume determined by the mechanical properties of the system, by using diaphragmatic activity to retard expiratory flow [32]. With muscle paralysis, ventilated children can no longer use this strategy, and PEEP is required to maintain their EELV.

**Difference between (quasi)-static and dynamic volume–pressure curves**

The importance of dynamic pressure recordings has recently been emphasized [33], because the goal of the measurement is to avoid lung trauma during mechanical ventilation. Under dynamic conditions, the time course of applied pressure during constant V′ is characterized by an immediate steady change in Pao, caused by the resistive component of the respiratory system, and abruptly followed by a progressive increase in Pao (steady-state portion of the dynamic V-P curve), that reflects the elastance of the respiratory system. This implies a linear model of the respiratory system, characterized by a single resistance and a single elastance (ie a single time constant). Discrepancies between theoretical and clinical applications of the constant V′ technique, which preclude analysis of the initial pressure and volume changes, are due to time delays. These are caused by inability of the ventilator to provide constant V′ during the onset of inspiration; nonlinear behaviour of the resistive component (especially the ETT, at very low V′ in the initial part of the curve); and presence of inhomogeneities in the respiratory system caused by different time constants and/or the presence of viscoelastic behaviour in pulmonary tissues. These factors may impact on the measurement of overdistension.

Because the initial part of the V-P curve reflects the pressure needed to overcome resistive pressures, including the resistance associated with an ETT, it contributes to the widening of the dynamic V-P loop. It increases the C20/C ratio. The total inspiratory compliance of the C20/C ratio is obtained by fitting a line to the zero flow points at the beginning of inspiration and at end-inspiration. It therefore includes, in the initial part of the V-P curve, the pressure required to overcome the resistive pressure drop across the ETT, airways and tissues of the respiratory system. In this initial part, the pressure increase is not associated with a volume increase. Increase in this resistive component decreases total inspiratory compliance and increases the C20/C ratio. However, it does not modify the value of the c coefficient that is calculated from V-P data obtained after the initial step change in Pao caused by the resistive component of the respiratory system [23], and has no influence on %E2.

In addition, change in airway pressure under dynamic conditions reflects an average response of the respiratory system to change in lung volume, and the contributions of heterogeneous time constants to lung overdistension. At a given respiratory rate, lung units with a shorter time constant receive a greater proportion of ventilation than do lung units with a relatively long time constant, and may contribute to regional overinflation [34], which is reflected in dynamic indices of overdistension. On the contrary, under static conditions, equalization of pressure between lung units with different time constants is achieved.

Finally, viscoelastic pressure is recorded under dynamic, but not under static conditions. It may increase disproportionately at high pressure, and may contribute to a clearer decrease in compliance at high airway pressure, and a more consistent UIP under dynamic conditions, as observed in acute lung injury and ARDS [35,36].

**Limitations of the use of respiratory volume–pressure curves in general, and for the detection of overdistension**

A mathematical model of the ARDS lung, incorporating simulated gravitational superimposed pressure and alveolar opening and closing pressure, suggested that the LIP may not be closely related to open-lung PEEP (minimum PEEP preventing end-expiratory collapse of 97.5% of alveoli inflated at end-inspiration). It has also been suggested that recruitment of previously collapsed lung units could continue in the linear portion of the V-P curve well above the LIP, and that an UIP at a relatively low pressure could occur as recruitment stops or diminishes without alveolar overdistension [14]. The slope of the inflation V-P curve and the tidal V-P plot were greatly affected by continuing recruitment, and did not indicate the amount of aerated lung well. That study is supported by a clinical study [33], which indicates that neither the mean tidal V-P slope during an incremental PEEP trial, nor the LIP of the V-P curve is likely to indicate the minimum PEEP required to prevent end-expiratory collapse of most alveoli. Conversely,
the maximum V-P slope during a decremental PEEP trial with a low $V_T$ may be a useful method to identify the optimal open-lung PEEP in ARDS, and should be studied in clinical practice [37].

The respiratory system V-P curve is influenced by the mechanics of the lung and chest wall. Although ARDS is primarily a lung problem, chest wall compliance may be decreased in patients with severe respiratory failure [38] or intra-abdominal disease [39]. However, studies that measured lung or chest wall compliance, together with total respiratory compliance, showed that reduction in total respiratory compliance measured at high $V_T$ was fully attributable to change in lung compliance. That is, the determination of the UIP, and hence the detection of overdistension on the respiratory V-P curve, was valid [13]. Conversely, the LIP may be influenced by chest wall characteristics [40].

**Conclusion**

Monitoring respiratory mechanics is an important aspect of the management of the ventilated patient. It may reduce ventilator-associated lung injury [8], as suggested by the results of randomized prospective clinical trials that compared protective ventilatory strategies with more conventional strategies [9–11]. It provides a guide for the selection of the level of PEEP and for the upper limit of $V_T$. This individual evaluation is required to avoid overdistension, especially in infants, even if standardized recommendations are applied [41].

Overdistension can be detected on quasi-static V-P curves constructed using the LFI technique, which can be performed using a modern ventilator and does not necessitate disconnection of the patient from the ventilator. Future developments in ventilator technology for use in paediatric intensive care units should include the measurement of pressure and $V'$ at the Y piece. Ventilator technology should also be refined so that ventilators can be used to control performance of the automated LFI technique, with a screen that has greater definition in order to allow immediate analysis of the (quasi)-static V-P curve and determination of co-ordinates of UIP and LIP.

Dynamic indices of overdistension could be provided online by such ventilators.

Further studies are required to define which dynamic index of overdistension is the most valid. On the basis of studies conducted thus far, $%E_2$ or coefficient $c$ of SOPE should be chosen rather than the $C_{20}/C$ ratio. Ranieri et al [42], using an isolated nonperfused lavaged model of acute lung injury, recently showed that the pressure–time curve during constant $V'$ ventilation can be used to prime a noninjurious ventilatory strategy. The pressure–time curve was fitted to an equation ($pressure_t = a \times t^{b} + c$, where $b$ describes the shape of the curve). The threshold value for coefficient $b$ that discriminated best between lungs with and without histologic and inflammatory evidence of VILI ranged from 0.90 to 1.10. Further clinical studies are required to evaluate the utility of analysis of the pressure–time curve during constant $V'$ ventilation, in order to identify noninjurious ventilatory strategies.

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