Usefulness of Plasma Branched-Chain Amino Acid Analysis in Predicting Outcomes of Patients with Nonischemic Dilated Cardiomyopathy

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Summary

The metabolism of branched-chain amino acids (BCAAs) is reported to change in heart failure (HF) and correlate with cardiac function. However, the effect of BCAAs on HF remains controversial. We investigate the prognostic value of the plasma BCAA level in nonischemic dilated cardiomyopathy (NIDCM).

This study enrolled 39 NIDCM patients, who underwent plasma amino acid (AA) analysis. The ratio of BCAAs to total AA was calculated. All patients were divided into two groups at the median of BCAA/total AA ratio; high BCAA/total AA group (≥ 0.15, n = 20) and low BCAA/total AA group (< 0.15, n = 19). A cardiac event was defined as a composite of cardiac death, hospitalization for worsening HF, and lethal arrhythmia.

The mean age was 51.1 ± 12.3 years and left ventricular ejection fraction (LVEF) was 32.7 ± 10.1%. In the low BCAA/total AA group, the body mass index and the total cholesterol level were lower than in the high BCAA/total AA group. The BCAA/total AA ratio was positively correlated with LVEF (r = 0.35, P = 0.031) and negatively correlated with brain natriuretic peptide (r = −0.37, P = 0.020). The low BCAA/total AA group had a lower cardiac event-free rate (5-year: 100% versus 73%; P = 0.019). In univariate analysis, angiotensin converting enzyme inhibitor or angiotensin II receptor blocker (hazard ratio: 0.045, P = 0.0014), hemoglobin (hazard ratio: 0.49 per 1 g/dL, P = 0.0022), and BCAA/total AA ratio < 0.15 (hazard ratio: not available, P = 0.0066) were major predictors for cardiac events.

The BCAA/total AA ratio might be a useful predictor for future cardiac events in patients with NIDCM.

Key words: Metabolism, Heart failure, Nutrition, Prognosis

The average heart can use several substrates, such as fatty acids, glucose, ketone bodies, and amino acids (AAs), to meet high energy demands for continuous contraction. These substrates’ metabolism changes dramatically in patients with heart failure (HF). For instance, the primary substrate used for energy switches to glucose from fatty acids in a failing heart. Additionally, a change in the metabolism of branched-chain amino acids (BCAAs) has been reported in a failing heart. BCAAs, including leucine, isoleucine, and valine, are essential AAs and metabolized mainly in the skeletal muscles and heart. Almost all other AAs are metabolized in the liver. Within the BCAA catabolic cascade, BCAAs are first converted into branched-chain α-keto-acids (BCKAs) by branched-chain amino-transferase. Following that, BCKAs are oxidized by the branched-chain α-keto acid dehydrogenase (BCKD) complex and eventually degraded into acetyl-coenzyme A (CoA) or succinyl-CoA. In failing hearts, such as in HF or myocardial infarction (MI), the activity of the BCKD complex decreases, and BCAAs and BCKAs accumulate in the tissue. The increase of BCAAs and BCKAs leads to cardiac remodeling and dysfunction through the mechanistic target of rapamycin (mTOR) activation and reactive oxygen species (ROS). Indeed, a high plasma BCAA level is a risk factor for cardiac events in patients with ischemic heart disease.

However, Hakuno, et al. reported that Fischer’s ratio [BCAA/aromatic AA (AAA) ratio] correlated significantly with cardiac function in HF with reduced ejection fraction (HFrEF). Additionally, several clinical trials have demonstrated the benefit of AA supplementation containing BCAAs in HF patients. For instance, oral intake of AAs reportedly improve exercise capacities. Furthermore, BCAA treatment decreases heart rate, preserves cardiac function, and prolongs survival in HFrEF model.
Thus, the effect of BCAAs on HF remains controversial. The previous clinical trials consisted of several etiologies of HF, including ischemic heart disease. This variety of etiologies may have resulted in the different observed effects of BCAAs. Therefore, this study’s purpose was to investigate the impact of plasma BCAAs on patients with nonischemic dilated cardiomyopathy (NIDCM).

Methods

Patient population: We included 39 consecutive patients newly diagnosed as NIDCM at our institute between January 2012 and March 2018. NIDCM was defined as previously described.10 In brief, NIDCM was the presence of both a reduced left ventricular ejection fraction (<50% as determined by echocardiography or contrast left ventriculography) and a dilated left ventricular cavity, in the absence of coronary artery disease, valvular heart disease, or secondary cardiac muscle disease caused by any known systemic condition, as determined by endomyocardial biopsy. Blood samples for amino acid analysis were collected from all patients. Also, they underwent echocardiography for a cardiac function assessment and right heart catheterization for a hemodynamic assessment.

AA analysis in blood samples: Fasting blood samples in the morning were collected at the timing of the first NIDCM diagnosis and chronic stable phase in HF. The plasma AA analysis was performed by liquid chromatography-mass spectrometry (SRL Inc., Tokyo, Japan). We measured the plasma levels of 39 kinds of AAs (taurine, aspartic acid, hydroxyproline, threonine, serine, asparagine, glutamic acid, glutamine, sarcosine, α-aminoacidic acid, proline, glycine, alanine, citrulline, β-aminoacidic acid, valine, cysteine, cystathionine, methionine, isoleucine, leucine, tyrosine, phenylalanine, γ-amino β-hydroxy butyric acid, β-alanine, β-amino-iso-butyric acid, γ-aminoacidic acid, monooethanolamine, homocysteine, histidine, 3-methylhistidine, 1-methylhistidine, carnosine, anserine, tryptophan, hydroxylsine, ornithine, lysine, and arginine). Plasma concentration of BCAAs (valine, isoleucine, and leucine) is affected by sex and physical constitution.11 Therefore, we calculated the ratio of BCAAs to total AAs. The normal range of BCAA/total AA ratio was 0.11-0.18. Other laboratory data, such as hemoglobin (Hb), aspartate aminotransferase, alanine aminotransferase, total bilirubin (T-Bil), blood urea nitrogen, creatinine, total protein, albumin (Alb), total cholesterol (TC), sodium, and brain natriuretic peptide (BNP), were measured simultaneously with the AA analysis. Additionally, we calculated the geriatric nutritional risk index (GNRI) to evaluate nutritional status.17 GNRI was calculated using the formula: [14.89 × Alb (g/dL)] + [41.7 × (weight/ideal weight)].17

Echocardiography: Echocardiographic findings [left ventricular ejection fraction (LVEF), left ventricular diastolic dimension (LVDd), left ventricular systolic dimension, interventricular septal thickness (IVST), posterior wall thickness (PWT), left ventricular mass index (LVMI), left atrial dimension, velocity of mitral annulus early diastolic motion (e’), and E/e’ ratio] were measured following the guidelines of the American Society of Echocardiography.18 LVEF was measured using the modified-Simpson method, and the tissue Doppler indices of e’ were measured at the septal basal region. LVMI was calculated at end-diastole using the formula of Devereux, et al.: 

\[0.8 \times [(LVDd + IVST + PWT) - LVDd^3] + 0.67 \times \text{body surface area} \] 

Cardiac catheterization and endomyocardial biopsy: All patients underwent coronary angiography and endocardial biopsy to rule out ischemic heart disease and secondary cardiomyopathy.20 Additionally, hemodynamics were evaluated by right heart catheter and included pulmonary wedge pressure, pulmonary artery pressure, right ventricular pressure, right arterial pressure, and cardiac index which was calculated using the thermodilution method.

Abdominal computed tomography: If patients performed abdominal computed tomography (CT), the skeletal muscle mass was evaluated using the psoas muscle mass index (PMI).21 The cross-sectional area of the bilateral psoas muscles was measured using manual tracing at the umbilical level, and the PMI was calculated using the formula: cross-sectional area of bilateral psoas muscle/height² (cm²/m²).22

Treatment after diagnosis of NIDCM: After NIDCM diagnosis, all patients received optimal medical therapy according to standard HF guidelines,23-27 including β-blocker, angiotensin converting enzyme inhibitor (ACEI) or angiotensin II receptor blocker (ARB), and mineralocorticoid receptor antagonists (MRA). Diuretics were administered for patients with symptoms and/or signs of congestion. An implantable cardioverter defibrillator (ICD) was used as primary prevention in patients with non-sustained ventricular tachycardia and a reduced LVEF of more than 35%. Additionally, if patients had a broadened QRS complex or needed ventricular pacing, cardiac resynchronization therapy (CRT) was considered.

Prognostic analysis: We divided the patients into two groups based on the median BCAA/total AA ratio: high BCAA/total AA group (≥ 0.15) and low BCAA/total AA group (< 0.15). The primary endpoint was defined as the composite cardiac events of cardiac death, hospitalization for worsening HF, and lethal arrhythmia (hospitalization for sustained ventricular tachycardia or ventricular fibrillation). The event-free survival rates after diagnosis of NIDCM were compared between the high and low BCAA/total AA groups. Furthermore, the univariate analysis identified prognostic predictors for cardiac events.

Ethics: The study protocol was approved by the Ethics Review Board of Nagoya University School of Medicine (approved research number, 2006-0359 and 2017-0031) according to the ethical guidelines of the 1975 Declaration of Helsinki and its amendments. Written informed consent was obtained from all subjects, and the patient records were anonymized before analysis.

Statistical methods: Continuous variables were expressed as means ± standard deviations or medians and interquartile range. Categorical variables were expressed as numbers and percentages. When comparing the two groups, Pearson’s chi-square test or Fisher’s exact test were used.
Results

Patient characteristics and baseline data: Baseline patient characteristics and treatment are summarized in Table I. The mean age was 51.1 ± 12.3 years, and 74% of the patients were male. In the low BCAA/total AA group, body mass index (BMI) and the history of dyslipidemia were lower than in the high BCAA/total AA group. There were no significant differences among the other comorbidities, New York Heart Association functional class, medication, and device implantation. Furthermore, the administration rates of β-blockers (100% versus 100%), ACEis/ARBs (85% versus 74%; P = 0.38), and MRAs (75% versus 79%; P = 0.77) increased during the follow-up period in both the high and low BCAA/total AA groups. However, the usage rates of ICD and CRT in low BCAA/total AA group were increased during follow-up period compared to those in high BCAA/total AA group (ICD: 5% versus 37%; P = 0.014, and CRT: 5% versus 32%; P = 0.031).

Table II shows clinical data at baseline. The mean LVEF was 32.7 ± 10.1%, and the median BNP level was 118 (57, 334) pg/mL. The TC level was lower and PWT was thinner in low BCAA/total AA group (199 ± 35 mg/dL versus 171 ± 31 mg/dL; P = 0.012, and 9.0 ± 1.4 mm versus 8.0 ± 1.7 mm; P = 0.017, respectively). There were no significant differences in other laboratory data and echocardiographic parameters between the two groups. However, GNRI was lower in low BCAA/total AA group (112.9 ± 12.4 versus 100.2 ± 12.3; P = 0.0029). Additionally, no difference was shown in hemodynamic data in right heart catheterization.

With respect to the AA analysis, the plasma BCAA level was lower in the low BCAA/total AA group than in the high BCAA/total AA group (500 nmol/mL versus 381 nmol/mL; P < 0.0001), leading to a lower Fischer’s ratio and BCAA/total AA ratio (3.35 versus 3.10; P = 0.02, and 0.16 versus 0.14; P < 0.0001, respectively). The BCAA/total AA ratio was positively correlated with BMI (r = 0.44, P = 0.0047), TC (r = 0.45, P = 0.0041), GNRI (r = 0.45, P = 0.0045) and LVEF (r = 0.35, P = 0.031). Furthermore, the BCAA/total AA ratio was negatively correlated with the T-Bil level (r = −0.43, P = 0.0076) and BNP (r = −0.37, P = 0.020) (Figure 1).

Twenty patients performed abdominal CT and PMI was calculated. PMI was lower in low BCAA/total AA group [9.38 ± 1.94 (n = 10) versus 6.69 ± 1.65 (n = 10); P = 0.0037] and positively correlated with the BCAA/total AA ratio (r = 0.49, P = 0.028).
Follow-up of cardiac events: All patients were followed-up for a mean of 1297 ± 691 days (1166 ± 632 days versus 1434 ± 740 days in the high and low BCAA/total AA groups, respectively; \( P = 0.23 \)). During the follow-up period, six cardiac events (0 cardiac death, five hospitalizations for worsening HF, and one lethal arrhythmia) were experienced. Kaplan-Meier survival analysis revealed that the event-free survival rate was significantly lower in the low BCAA/total AA group than in the high BCAA/total AA group (1-year: 79% versus 100%, 5-year: 73% versus 100%; \( P = 0.019 \)) (Figure 2A). On the other hand, the rate of all-cause deaths was not significantly different between the low and high BCAA/total AA groups (1-year: 95% versus 100%, 5-year: 83% versus 100%; \( P = 0.082 \)) (Figure 2B).

In univariate analyses, the factors associated with an
increased risk of composite event were age (HR: 1.09 per 1 year, 95% CI: 1.01-1.19, $P = 0.027$), administration of ACEi/ARB (HR: 0.045, 95% CI: 0.002-0.31, $P = 0.0014$), usage of ICD (HR: 6.22, 95% CI: 1.03-47.28, $P = 0.047$), Hb level (HR: 0.49 per Hb 1 g/dL, 95% CI: 0.29-0.77, $P = 0.0022$), and BCAA/total AA ratio < 0.15 (HR: not available, $P = 0.0066$) (Table III). By analyzing the ROC curve, the area under the ROC curve of the BCAA/total AA ratio was 0.75 with the best cutoff point of 0.1497.

Discussion

The main findings of this study are: 1) The BCAA/total AA ratio was positively correlated with BMI, TC, and LVEF, and negatively correlated with T-Bil and BNP; 2) NIDCM patients with low BCAA/total AA ratio had a poor prognosis compared to that with high BCAA/total AA ratio; 3) Low BCAA/total AA ratio was a predictor of composite cardiac event in patients with NIDCM.

In stressed hearts, the activity of the BCKD complex...
Because BCAAs are essential AAs which cannot be synthesized in the body, the BCAA level is affected by dietary intake and absorption from the intestine. Indeed, Ottestad, et al. reported that the BCAA level decreased in sarcopenic adults, whereas the non-essential amino acid levels did not change. In chronic HF, intestinal function declines because of restricted intestinal perfusion and consequent mucosal edema, enhanced intestinal permeability, and a lack of immunological defense with augmented bac-
tional biofilm. Concomitantly, the absorption of proteins declines, leading to a decrease in the BCAA level. In the current study, the BCAA/total AA ratio was correlated with BMI, TC, and GNRI. The weight loss and low level of TC are risk factors for mortality in patients with HF. Additionally, GNRI is a nutrition-related risk index that can classify patients according to a risk of morbidity and mortality associated with malnutrition. Therefore, a low BCAA/total AA ratio may reflect poor nutritional condition and indicate a poor prognosis in NIDCM patients.

Furthermore, liver function is important when considering the metabolism of AAAs in the liver decreases; on the other hand, the metabolism of BCAAs in skeletal muscles increases. An increase in the plasma AAA level and a decrease in the BCAA level, leads to a low Fischer’s ratio. The Fischer’s ratio was reported to decrease in patients with chronic HF and was significantly correlated with cardiac function and the level of BNP. In the current study, there was no significant difference in the plasma level of AAAs between the high and low BCAA/total AA groups. This may be because the levels of transaminase were within the normal range and liver dysfunction was not severe in most patients. However, BCAA/total AA ratio was significantly correlated with the level of T-Bil. Therefore, the BCAA/total AA ratio may decrease in patients with mild liver dysfunction. An elevated T-Bil level was associated with HF re-hospitalization, cardiac events, and death in patients with chronic HF. Based on these reasons, the BCAA/total AA ratio might be able to predict cardiac events in patients with NIDCM in association with intestinal function, nutritional status, and liver function. Indeed BCAA/total AA ratio was correlated with reliable predictors of prognosis in patients with NIDCM such as BNP and LVEF.

Fischer’s ratio was reported to be a useful prognostic marker in HF patients. However, we selected the BCAA/total AA ratio to investigate the impact of plasma BCAAs in patients with NIDCM, because Fischer’s ratio is affected by the concentration of AAAs. In the current study, the BCAA/total AA ratio was more useful to predict composite cardiac events compared to Fischer’s ratio. AAAs are mainly metabolized in a liver; therefore, the level of AAAs is affected by the severity of heart failure rather than the etiology of heart failure. Our patients were in the early stage of heart failure. In our subjects, the metabolism of BCAAs started to change, whereas the liver function still preserved and the plasma level of AAAs did not increase. This might be a reason Fischer’s ratio was not a predictor for cardiac events in the current study.

This study has several fundamental limitations. First, this was an observational study and the sample size and number of events were relatively small. Therefore, this study might be prone to statistical errors. Second, the study arm was the only group of patients with NIDCM. We could not compare the BCAA/total AA ratio between healthy controls and NIDCM patients. Third, we did not collect information about the content and amount of meals. We could not evaluate the dietary intake of BCAAs. Fourth, we could not perform multivariate analysis because of the small number of events. Therefore, this study could not eliminate the cofounding bias. In addition, we could not compare the prognosis value between the BCAA/total AA ratio and known predictors including the Fischer’s ratio. A prospective multicenter study with a greater number of cases is needed to determine the usefulness of BCAA/total AA ratio in NIDCM patients. Finally, we could not evaluate the BCAA level in myocardium, therefore the metabolism of BCAAs in myocardium in patients suffering from NIDCM is unclear. We need an additional study to elucidate BCAA metabolism in myocardium in patients with NIDCM.

In conclusion, the evaluation of BCAA/total AA ratio by AA analysis might be useful for future cardiac events in patients with HF caused by NIDCM.

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Disclosure

Conflicts of interest: TO has received research grants from Ono Pharmaceutical Co., Ltd., Bayer Pharmaceutical Co., Ltd., Daiichi-Sankyo Pharma Inc., and Amgen Astellas BioPharma K. K. outside the submitted work. TO received honorariums from Ono Pharmaceutical Co., Ltd., Otsuka Pharmaceutical Co., Ltd., and Medtronic Japan Co., Ltd. TM received lecture fees from Bayer Pharmaceutical Co., Ltd., Daiichi-Sankyo Co., Ltd., Dainippon Sumitomo Pharma Co., Ltd., Kowa Co., Ltd., MSD K. K., Mitsubishi Tanabe Pharma Co., Nippon Boehringer Ingelheim Co., Ltd., Novartis Pharma K. K., Pfizer Japan Inc., Sanofi-aventis K. K., and Takeda Pharmaceutical Co., Ltd. TM received unrestricted research grant for Department of Cardiology, Nagoya University Graduate School of Medicine from Astellas Pharma Inc., Daiichi-Sankyo Co., Ltd., Dainippon Sumitomo Pharma Co., Ltd., Kowa Co., Ltd., MSD K. K., Mitsubishi Tanabe Pharma Co., Nippon Boehringer Ingelheim Co., Ltd., Novartis Pharma K. K., Otsuka Pharma Ltd., Pfizer Japan Inc., Sanofi-aventis K. K., Takeda Pharmaceutical Co., Ltd., and Teijin Pharma Ltd. The other authors declare that they have no conflicts of interest.

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