LETTERS

Job-related formaldehyde exposure and ALS mortality in the USA

Animal models and in vitro experiments suggest neurotoxic effects of formaldehyde that may be relevant for amyotrophic lateral sclerosis (ALS). Formaldehyde induces neuronal \( \tau \) protein misfolding and aggregation, leading to neuronal apoptosis. Formaldehyde also increases mitochondrial membrane permeability and causes oxidative damage partly by reducing superoxide dismutase activity, mechanisms implicated in ALS.

Studies have had mixed findings regarding formaldehyde exposure and ALS mortality. A large prospective study found an elevated risk that did not quite reach statistical significance, but found a strong dose–response relationship with total years of exposure.1 Two studies found no significant association,1 2 although one found a suggestion of elevated risk among the very highly exposed.2 We examine here the association of ALS mortality with job-related formaldehyde exposure in the National Longitudinal Mortality Study (NLMS), a US-representative cohort with occupation data collected prospectively.

METHODS

The NLMS is a multistage probability sample of the civilian non-institutionalised population (response rate \( \sim 96\% \)). We included the 794,541 men and 674,694 women who were at ages 25+ when surveyed. Participants were asked about their current or most recent job. We used a formaldehyde exposure matrix constructed by industrial hygienists at the National Cancer Institute and previously described.3 Intensity and probability of formaldehyde exposure were calculated for each occupation and industry, and coded as none, low, medium or high.4 Intensity reflected the frequency and level of formaldehyde exposure; probability reflected the likelihood of any formaldehyde exposure.

NLMS records were matched to the National Death Index (NDI, 1979–2011) to obtain cause of death. ALS deaths were defined as International Classification of Diseases Ninth and 10th Edition (ICD)-9 333.2 or ICD-10 G12.2 as the underlying or contributing cause. Data were handled and analyses conducted as in prior publications (M G Weisskopf et al. Military service and ALS in a population-based cohort; Submitted). Briefly, we estimated HRs using survival analyses with age as the time metric, adjusted for education, race/ethnicity and income. Participants contributed follow-up time from the time of their survey until time of death or last date of National Death Index (NDI) linkage. We calculated HRs separately for each probability and intensity level, using persons with no exposure as the reference group, separately by sex. We then calculated HRs for each intensity level using the same reference group, and restricting exposed respondents to those with high probability of exposure.

We conducted five sensitivity analyses. As ALS cases are less reliably diagnosed in persons >75 years, we restricted follow-up to age 75. We next conducted four analyses with exclusions that may have improved exposure ascertainment. First, as ALS symptoms may have affected employment, we excluded the first 5 years of follow-up. Second, as the occupation and industry of younger persons is less stable than older persons, we restricted analyses to persons at ages 35–75 at enrolment and ages 50–75 at enrolment. Third, estimated job-related formaldehyde exposure may be less accurate for persons unemployed versus employed at enrolment. We, therefore, restricted the analysis to persons employed at the time of the survey. Additionally, we further adjusted for military service and smoking in the sub-sample of this data.

RESULTS

Participants exposed versus unexposed to formaldehyde were slightly poorer, less educated, and less frequently non-Hispanic White (see online supplementary eTable S1). High probability of formaldehyde exposure versus no exposure predicted an almost three times higher rate of ALS mortality in men (table 1). Among women, few had high-exposure-probability jobs and there were no ALS deaths in this category; so the HR was inestimable (see online supplementary eTable S2). Intensity of formaldehyde exposure was less strongly associated with ALS (table 1). High-probability, high-intensity exposure was associated in men with increased rate of ALS mortality (HR=4.43, 95% CI 1.16 to 16.85, p<0.05), although there were only two ALS deaths among these highly exposed men. Results were robust to further adjustment for military service and smoking. All men with high-probability, high-intensity exposure were funeral directors. Among men, all sensitivity analyses resulted in higher HR estimates than the main analysis (table 1).

DISCUSSION

Men in jobs with high probability of exposure versus no formaldehyde exposure had almost three times greater rate of ALS mortality. We did not find increased risk of ALS in women associated with formaldehyde exposure. Only 99 women in our sample reported jobs with high-probability, high-intensity formaldehyde exposure; thus, our sample of exposed women may have been too small to detect a possible increased risk of ALS. Moreover, all men (N=493) and all but one woman (99%, N=98) in our study in jobs with high-probability high-intensity formaldehyde exposure were funeral directors. In the USA, female versus male funeral directors are more likely to interact with bereaved clients and less likely to perform embalming, where exposure to formaldehyde occurs. Thus, formaldehyde exposure may vary by sex in this profession.

Two prior studies found no association of ALS with job-related formaldehyde exposure. A study of garment workers (geometric mean formaldehyde exposure=0.15 ppm) found no elevated ALS mortality compared with the general population.4 As garment work does not involve high-probability or high-intensity exposure, these results may not be inconsistent with ours. Funeral directors experience high-intensity and high-probability formaldehyde exposure, with exposure ranging from 0.15 to 9.2 ppm during embalming.5 6 Additionally, formaldehyde is absorbed through the skin during embalming (at 49.2 mg/h).6 A second study found no overall association between estimated occupational formaldehyde exposure and ALS. However, in the small subset of participants with the highest exposure to formaldehyde (>60 000 h, N=4 cases, N=4 controls), a large, non-statistically-significant odds for ALS was found (OR=3.0, 95% CI 0.7 to 12.9).

Our results should be interpreted cautiously. Jobs involving both high probability and high intensity of formaldehyde are relatively uncommon in the USA, and ALS is also rare; there were only two ALS deaths among men in such jobs. Moreover, we did not find a dose–response association between formaldehyde exposure and ALS. Formaldehyde exposure was estimated from a single report at enrolment. This single job report likely did not accurately capture lifetime exposure. As non-differential error in exposure classification typically leads to attenuation of the true association of exposure with disease, our estimated HRs could have been attenuated and any trend obscured.

In addition to formaldehyde, funeral directors are exposed to other chemicals used in embalming, as well as to viral, bacterial and prion pathogens. Thus, further study of the association of ALS with high
Table 1  Adjusted HRs* and 95% CIs for ALS mortality by level of occupational formaldehyde exposure, National Longitudinal Mortality Study, men ages 25 years and older, 1973–2011

| Intensity, exposed restricted to probability=high | Respondents | Person-years | ALS deaths | HR (95% CI)* |
|-------------------------------------------------|-------------|--------------|------------|--------------|
| Unexposed                                       | 607 416     | 9 815 195    | 372        | 1.0 (Reference) |
| Low                                             | 97 301      | 1 641 068    | 55         | 0.99 (0.74 to 1.30) |
| Medium                                          | 86 766      | 1 427 789    | 43         | 0.63 (0.44 to 0.90) |
| High                                            | 3058        | 46 188       | 2          | 1.53 (0.40 to 5.80) |
| Probability, excluding first 5 years of follow-up | Unexposed   | 607 416      | 9 815 195  | 372         | 1.0 (Reference) |
| Low                                             | 98 228      | 1 681 862    | 51         | 0.85 (0.63 to 1.15) |
| Medium                                          | 88 043      | 1 417 659    | 47         | 0.76 (0.54 to 1.06) |
| High                                            | 854         | 1 493        | 2          | 2.98 (0.78 to 11.30) |
| Probability, follow-up to age 75 only           | Unexposed   | 604 116      | 9 794 521  | 332         | 1.0 (Reference) |
| Low                                             | 82 155      | 1 637 260    | 47         | 0.87 (0.64 to 1.18) |
| Medium                                          | 71 598      | 1 372 603    | 39         | 0.77 (0.54 to 1.10) |
| High                                            | 758         | 15 230       | 2          | 4.39 (0.92 to 15.69) |
| Probability, respondents 35≤age≤75 at enrolment  | Unexposed   | 427 530      | 6 493 151  | 332         | 1.0 (Reference) |
| Low                                             | 65 736      | 1 046 575    | 47         | 0.91 (0.67 to 1.24) |
| Medium                                          | 60 676      | 921 096      | 43         | 0.79 (0.56 to 1.12) |
| High                                            | 568         | 9731         | 2          | 3.41 (0.89 to 13.01) |
| Probability, respondents 50≤age≤75 at enrolment  | Unexposed   | 175 376      | 2 441 370  | 197         | 1.0 (Reference) |
| Low                                             | 25 611      | 377 534      | 31         | 1.00 (0.67 to 1.49) |
| Medium                                          | 27 247      | 393 151      | 27         | 0.75 (0.47 to 1.19) |
| High                                            | 270         | 4125         | 2          | 4.76 (1.16 to 19.49) |
| Probability, respondents employed at enrolment   | Unexposed   | 548 645      | 8 876 712  | 331         | 1.0 (Reference) |
| Low                                             | 85 041      | 1 459 452    | 45         | 0.88 (0.65 to 1.21) |
| Medium                                          | 76 249      | 1 238 082    | 38         | 0.71 (0.50 to 1.03) |
| High                                            | 770         | 14 063       | 2          | 3.26 (0.86 to 12.38) |

*Adjusted for race/ethnicity, education and household income as a percentage of the poverty line.

ALS, amyotrophic lateral sclerosis.

levels of formaldehyde exposure and among funeral directors is warranted.

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