THE SLOAN DIGITAL SKY SURVEY REVERBERATION MAPPING PROJECT: NO EVIDENCE FOR EVOLUTION IN THE $M_\ast - \sigma_\ast$ RELATION TO $z \sim 1$

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ABSTRACT

We present host stellar velocity dispersion measurements for a sample of 88 broad-line quasars at $0.1 < z < 1$ (46 at $z > 0.6$) from the Sloan Digital Sky Survey Reverberation Mapping (SDSS-RM) project. High signal-to-noise ratio coadded spectra (average S/N $\approx$ 30 per 69 km s$^{-1}$ pixel) from SDSS-RM allowed for the decomposition of the host and quasar spectra and for measurements of the host stellar velocity dispersions and black hole (BH) masses using the single-epoch (SE) virial method. The large sample size and dynamic range in luminosity ($L_{5100} = 10^{43.2-44.7}$ erg s$^{-1}$) lead to the first clear detection of a correlation between SE virial BH mass and host stellar velocity dispersion far beyond the local universe. However, the observed correlation is significantly flatter than the local relation, suggesting that there are selection biases in high-$z$ luminosity-threshold quasar samples for such studies. Our uniform sample and analysis enable an investigation of the redshift evolution of the $M_\ast - \sigma_\ast$ relation relatively free of caveats by comparing different samples/analyses at disjoint redshifts. We do not observe evolution of the $M_\ast - \sigma_\ast$ relation in our sample up to $z \sim 1$, but there is an indication that the relation flattens toward higher redshifts. Coupled with the increasing threshold luminosity with redshift in our sample, this again suggests that certain selection biases are at work, and simple simulations demonstrate that a constant $M_\ast - \sigma_\ast$ relation is favored to $z \sim 1$. Our results highlight the scientific potential of deep coadded spectroscopy from quasar monitoring programs, and offer a new path to probe the co-evolution of BHs and galaxies at earlier times.

Key words: black hole physics – galaxies: active – quasars: general

Supporting material: FITS file

1. INTRODUCTION

The observed correlations between black hole (BH) mass and host galaxy properties in the local universe have motivated extensive theoretical work on active galactic nucleus (AGN) feedback and the co-evolution of supermassive black holes (SMBHs) and their hosts (e.g., Benson 2010; Kormendy & Ho 2013, and references therein). The $M_\ast - \sigma_\ast$ relation between BH mass and bulge stellar velocity dispersion ($\sigma_\ast$) arguably represents the tightest among these relations, and has been well measured for both inactive galaxies (e.g., Ferrarese & Merritt 2000; Gebhardt et al. 2000a; Tremaine et al. 2002; Gültekin et al. 2009; McConnell & Ma 2013) and active galaxies (e.g., Gebhardt et al. 2000b; Ferrarese et al. 2001; Nelson et al. 2004; Onken et al. 2004; Barth et al. 2005; Greene & Ho 2006b; Dasyra et al. 2007; Watson et al. 2008; Grier et al. 2013; Woo et al. 2013; Bennert et al. 2011, 2014) in the local universe.

Given the importance of these BH scaling relations in our understanding of galaxy formation and evolution, there have been extensive observational efforts in the past decade both to refine the measurements of the local relations (e.g., Ferrarese & Merritt 2000; Gebhardt et al. 2000a; Graham et al. 2001, 2011; Tremaine et al. 2002; Marconi & Hunt 2003; Haring and Rix 2004; Aller & Richstone 2007; Bentz et al. 2009; Gültekin et al. 2009; Kormendy & Ho 2013; McConnell & Ma 2013, and references therein) and to probe their possible redshift evolution (e.g., Treu et al. 2004, 2007; Peng et al. 2006a, 2006b; Woo et al. 2006, 2008; Salvagni et al. 2007; Shen et al. 2008b; Jahnke et al. 2009; McLeod & Bechtold 2009; Bennert et al. 2010; Decarli et al. 2010; Merloni et al. 2010; Cisternas et al. 2011; Canalizo et al. 2012; Hiner et al. 2012;
Targett et al. 2012; Salviander & Shields 2013; Bongiorno et al. 2014; Matsuoka et al. 2014). Given the small angular size of the sphere of influence of the BH, so far all measurements beyond the local universe are based on broad-line quasar samples, where the BH mass can be estimated using the properties of the broad lines, instead of spatially resolved gas or stellar kinematics. Most of these studies reported a positive evolution, advocating a scenario where BH growth precedes that of the bulge. However, statistical biases resulting from uncertainties in BH mass estimates and host property measurements, and from the selection biases in broad-line quasar samples with luminosity thresholds provide cautionary notes on such claims (e.g., Lauer et al. 2007; Salviander et al. 2007; Shen et al. 2008a; Shen & Kelly 2010; Schulze & Wisotzki 2011, 2014; Salvadori & Shields 2013; Shen 2013).

On the other hand, measuring the host galaxy stellar velocity dispersion of quasars is notoriously difficult. It requires sufficient spectral quality to measure the host stellar absorption features diluted by the quasar continuum. Such exercises based on survey-quality spectra are limited to low redshifts (e.g., Greene & Ho 2006a, 2006b; Shen et al. 2008b). At $z > 0.3$, Woo et al. (2006, 2008) presented $\sigma_v$ measurements in 14 quasars at $z = 0.36$ and five quasars at $z = 0.57$, respectively, based on high signal-to-noise ratio (S/N) Keck spectroscopy. The latter two studies represent the best effort to date in measuring $\sigma_v$ for higher-$z$ quasar hosts. So far, there has been no direct measurement of $\sigma_v$ in $z > 0.6$ quasars.

Here we use spectroscopic data from the the Sloan Digital Sky Survey Reverberation Mapping (SDSS-RM) project (Shen et al. 2015) to study the relationship between BH mass and host stellar velocity dispersion in quasars at $0.1 < z < 1$, a regime where BH masses can only be estimated (other than from BH-galaxy scaling relations) in broad-line quasars with reverberation mapping (e.g., Peterson 2014) or its extension, the SE virial BH mass estimators (e.g., Shen 2013). SDSS-RM is a major multi-object reverberation mapping project; it spectroscopically monitors a flux-limited ($i < 21.7$) sample of 849 quasars in a single 7 deg$^2$ field with the SDSS-III BOSS spectrograph (Smee et al. 2013) on the 2.5 m wide-field SDSS telescope (Gunn et al. 2006). With a total of 32 spectroscopic epochs totaling $\sim 60$ hr of exposure from the SDSS-RM baseline program in 2014, the coadded spectra provide very high S/N to allow decomposition of the host and quasar spectra, and measurements of BH and host properties. In this work, we focus on $\sigma_v$, and more detailed studies of the host stellar populations of these quasars will be presented elsewhere.

The paper is organized as follows. In Section 2, we describe the data, the host/quasar spectral decomposition, and the measurements of $\sigma_v$ and BH mass. In Section 3, we present the results. We discuss the caveats of our analysis and the interpretation of the data in Section 4 and conclude in Section 5. A flat $\Lambda$CDM cosmology is adopted throughout with $\Omega_0 = 0.3$ and $h_0 = 0.7$. We use $M_*$ to denote the true BH mass, and $M_{BH,vir}$ to denote the virial BH mass estimate. By default, wavelengths are restframe vacuum wavelengths. For ease of discussion, we use “high-$z$” to refer to $z > 0.3$ in this paper.

2. DATA AND SPECTRAL MEASUREMENTS

The spectra were taken as part of the SDSS-III BOSS survey (Eisenstein et al. 2011; Dawson et al. 2013) from 2014 January to July. The SDSS-RM field was observed with an upgraded version of the pair of multi-object fiber spectrographs used for SDSS I/II (Smee et al. 2013) with a fiber diameter of 2″. The wavelength coverage of BOSS spectroscopy is $3650$–$10,400$ Å, with a spectral resolution of $R \sim 2000$, and a pixel size of $10^{-4}$ in $\log_{10} \lambda$ (69 km s$^{-1}$ native SDSS pixel). The typical S/N per pixel in $g$ band in a two-hour exposure is $\sim 4.5$ at $g_{pet} = 21.2$. The spectra for individual epochs were flux-calibrated using a custom approach based on simultaneous standard star observations to an absolute accuracy of $\sim 5\%$ for point sources (Shen et al. 2015).

All 32 epochs from the 2014 observations of SDSS-RM were coadded using an inverse-variance-weighted spline fit (as in the IDL-based BOSS pipeline$^{18}$ idlspec2d), with a logarithmic wavelength binning of $10^{-4}$ in $\log_{10} \lambda$. The spectra are stored in vacuum wavelength, and calibrated to the heliocentric reference with an accuracy of better than 5 km s$^{-1}$. Redshifts were measured using the SDSS redshift pipeline ( Bolton et al. 2012) on the coadded spectra and visually verified.

We limit the current study to the 212 $z < 1.09$ quasars in the full SDSS-RM sample for which we can decompose the host component from the spectrum and measure the virial BH mass using the broad H$\beta$ line, arguably the best line to estimate a virial BH mass (see the discussion in Shen (2013, Section 3.1.7). All data and measurements in this paper are provided in a FITS catalog described in Table 1.

2.1. Spectral Decomposition

We decompose the quasar and host-galaxy spectra using the principal component analysis (PCA) method adopted by Vanden Berk et al. (2006) and Shen et al. (2008b). This method assumes that the composite (quasar+galaxy) spectrum can be described by the combination of two independent sets of eigenspectra derived from pure galaxy and pure quasar samples. Vanden Berk et al. (2006) found empirically that a PCA decomposition using only the first few galaxy and quasar eigenspectra can reasonably recover the properties of the host galaxy, provided there is a significant contribution from the host galaxy in the composite spectrum. Using more eigenspectra will lead to a better overall reconstruction of the full spectrum, primarily around the broad lines. However, the decomposition of the quasar and host components may become ambiguous because the orthogonality between the higher-order host and quasar eigenspectra is not guaranteed.

Following Vanden Berk et al. (2006) and Shen et al. (2008b), we use the first 5 galaxy eigenspectra and the first 10 quasar eigenspectra constructed from the SDSS (Yip et al. 2004a, 2004b) to decompose our coadded quasar spectra. Figure 1 (top) shows an example of the spectral decomposition. A decomposition is deemed to be successful by Vanden Berk et al. (2006) if the host fraction $f_H$ within the wavelength range 4160 Å $< \lambda < 4210$ Å is greater than 0.1. This threshold, of course, depends on the quality of the spectrum. For our high S/N spectra, we set a threshold of $f_H = 0.05$ for a successful decomposition, with additional criteria to test the reliability of the successive spectral measurements on the decomposed components. As in Shen et al. (2008b), we obtain the pure-quasar (pure-host) spectrum by subtracting the reconstructed galaxy (quasar) spectrum from the original spectra and use the original spectral errors for the decomposed spectra. We refer to these spectra as the (decomposed) quasar and host spectra.

$^{18}$ Publicly available at http://www.sdss3.org/svn/repo/idlspec2d/.
These spectra are used in our fitting procedures instead of the directly reconstructed spectra, because the former allows for the use of the associated spectral errors, and the regions with large residuals due to imperfect decomposition can be easily masked during the fitting. In addition, the reconstructed spectrum is a “model” rather than real data, and there could be systematic differences between the reconstructed spectrum and the real spectrum due to the limited number of eigenspectra used.

The spectral decomposition removes broadband quasar features such as the power-law continuum and Fe II emission, as well as narrow-band features including quasar broad and narrow emission lines from the galaxy spectra. While the removal of the broadband quasar feature is reasonably accurate, the removal of the quasar emission lines often shows significant residuals, due to the small number of quasar eigenspectra used in the decomposition (which are insufficient to account for the full variance seen in quasar emission lines). We could add higher-order quasar eigenspectra to better reconstruct the quasar broad and narrow emission lines. However, doing so would potentially over-fit the quasar component in the stellar absorption line regions and confuse the decomposition, which will impact the $\sigma_*$ measurement. Therefore, we opt to use a small number of quasar eigenspectra to remove most of the broadband quasar features, and then mask out these emission-line regions when measuring $\sigma_*$ with the decomposed host spectrum.

2.2. Stellar Velocity Dispersion Measurements

The decomposed galaxy spectrum can be used to measure properties of the stellar population in the quasar host. In this work, we focus on the stellar velocity dispersion, as the measurement of this quantity is relatively less affected by the imperfections in the spectral decomposition compared to other properties of the stellar population. We defer a more detailed, full analysis of the host stellar populations to future work.

We use the vdispfit routine, publicly available in the idlspec2d product, to measure $\sigma_*$ from the decomposed galaxy spectra. This routine is used to measure stellar velocity dispersions of SDSS galaxies in the standard SDSS spectroscopic products. vdispfit is a template fitting algorithm that finds the best-fit $\sigma_*$ by minimizing the $\chi^2$ in pixel space. The templates consist of the first four PCA eigenspectra constructed from the echelle stellar spectra in the Elodie database.
and cover restframe wavelengths from 4125 to 6796 Å. We restrict the fit to the wavelength range of 4125–5350 Å, which includes copious stellar absorption features such as the G band (4304 Å), the Mg I b λλ 5167,5173,5184 triplet, and Fe (5270 Å), but excludes the Ca H+K λλ 3969,3934 region that is inherently unreliable for stellar velocity dispersion measurements (e.g., Greene & Ho 2006a). In addition, we mask narrow emission lines due to both galaxy and quasar excitation with a velocity window of ±400 km s\(^{-1}\). The spectral region around the quasar broad Hβ line (4760–5020 Å), where the PCA decomposition often shows significant residuals, is also masked for the fits. A small wavelength shift (within ±500 km s\(^{-1}\)) is allowed in the fit to account for the uncertainty in the systemic redshift estimate. A fifth-order polynomial is added to account for the broadband continuum shape. Figure 1 (middle) shows an example of the vdispfit fits to measure σ*\(\text{vdisp}\). The reported σ*\(\text{vdisp}\) by vdispfit is already corrected for the native SDSS instrument broadening (∼65 km s\(^{-1}\)).

As a sanity check, we also use the direct-pixel-fitting code Penalized Pixel-Fitting (pPXF) of Cappellari & Emsellem (2004) to measure the stellar velocity dispersion. We use the stellar library from Valdes et al. (2004) with a spectral resolution of 25 km s\(^{-1}\) and correct the templates to the SDSS spectral resolution before fitting. Only the first two moments (radial velocity and velocity dispersion) are fitted. In addition to the radial velocity and velocity dispersion, the code solves for an eighth order multiplicative polynomial that accounts for flux calibration errors. Errors in the dispersions are derived by generating 100 mock spectra as a sum of the original spectrum.
and a Gaussian random realization of the spectral noise. Only the spectral range of 4000–5350 Å is used in the fit. We mask out regions around quasar narrow, but not broad, emission lines. The differences in the code, spectral fitting range, and masks between the pPXF and vdispfit approaches are designed to assess the systematic uncertainty in $\sigma_\alpha$ measurements.

The success of the $\sigma_\alpha$ measurements depends on a number of factors such as the subtraction of the quasar spectrum, the S/N of the resulting host galaxy spectrum, and the strength of the stellar absorption features. We define the following set of criteria for a successful $\sigma_\alpha$ measurement: (1) the host fraction $f_H$ is greater than 0.05; (2) the median S/N per pixel of the decomposed host galaxy spectrum over restframe 4125–5350 Å is greater than four; (3) $\sigma_\alpha$ is measured at a $> 3\sigma$ statistical confidence level; and (4) visual inspection shows that the fit is not severely affected by poor PCA decomposition (i.e., significant residuals exist in the fitting region). The first three criteria remove 104 objects and the last criterion removes 20 additional objects, all with no prior knowledge of BH mass.

When compare the resulting 88 $\sigma_\alpha$ values measured with both vdispfit and pPXF, there were 18 objects for which the difference is larger than $2\sigma$. However, 16 of these 18 objects are fits with small statistical errors in high S/N spectra, and the fractional difference between the two methods is $\leq 30\%$. Hence the difference between the two methods can be ascribed to the underestimation of the systematic uncertainties in the $\sigma_\alpha$ measurements (i.e., due to differences in stellar templates, fitting ranges, and emission line masks). We therefore retain the $\sigma_\alpha$ values from the fiducial vdispfit method and indicated by the SIGMA_ERR_WARNING flag in Table 1 that their measurement errors may underestimate the true uncertainty.

For the remaining two objects, manual inspection suggests that the pPXF result is more robust (based on a smaller reduced $\chi^2$) and we decided to replace their vdispfit $\sigma_\alpha$ measurements with those from the pPXF method. Our final sample of quasars with good $\sigma_\alpha$ measurements consists of the 88 objects (which we call the “good sample”). Figure 2 shows their distribution in luminosity–redshift space as compared to the parent sample. Although objects at high $z$ are preferentially lost due to our $f_H$ and S/N cuts, there is otherwise no apparent bias in the good sample compared to the parent sample in terms of object luminosity. In particular, the success rate of $\sigma_\alpha$ measurement is quite high at $z < 0.75$ ($\approx 70\%$), ensuring that our good sample is representative of the general quasar population at these redshifts.

Although we have intentionally avoided the Ca H+K region in our $\sigma_\alpha$ fits, these features are the strongest absorption features in most of our objects, and therefore easier to measure than those at longer wavelengths. For the sake of completeness, we also measured $\sigma_\alpha$ in the restframe 3780–4000 Å region using pPXF (the vdispfit templates do not extend to this regime), and compile the measurements in Table 1. There is broad agreement between these Ca H+K dispersions and our fiducial dispersions, but the scatter is large. We do not use these Ca H+K dispersions in our following analysis and merely provide them in Table 1 for future reference.

2.3. Single-epoch (SE) Virial Mass Estimates

To apply SE virial BH mass estimators for our quasars, we follow earlier work (e.g., Shen et al. 2008a, 2011) to fit the quasar spectrum with continuum emission, Fe II emission, and broad and narrow emission lines around the H$\beta$ region. Figure 1 (bottom) shows an example of the fits to the quasar spectrum. The continuum luminosity (i.e., $L_{5100} \equiv \lambda L_{\lambda,5100}$) and broad line width are measured from the best-fit model. We use the Monte Carlo approach described in Shen et al. (2011) to estimate measurement errors for these quantities. We choose the FWHM to characterize the width of the broad H$\beta$ line instead of using the line dispersion $\sigma_\text{line}$ for the reasons discussed in Shen (2013, and references therein). However, we will experiment with different virial BH mass recipes that account for the difference between using FWHM and $\sigma_\text{line}$.

The standard SE virial BH mass estimator based on H$\beta$ is

$$
\log \left( \frac{M_{BH,\text{vir}}}{M_\odot} \right) = a + b \log \left( \frac{L_{5100}}{10^{44}\text{erg s}^{-1}} \right) + c \log \left( \frac{\text{FWHM}}{\text{km s}^{-1}} \right)
$$

where coefficients $a$, $b$, and $c$ are determined empirically using the local RM AGN sample in different recipes (e.g., Vestergaard & Peterson 2006; Wang et al. 2009; Feng et al. 2014). The luminosity range in the local RM AGN sample encompasses most of our quasars.

Our fiducial mass recipe is that of (Vestergaard & Peterson 2006, Equation (5); hereafter VP06) with $a = 0.91$, $b = 0.5$, and $c = 2$. In VP06, the continuum luminosity $L_{5100}$ was not corrected for host starlight contamination, hence we use the total 5100 Å luminosity in this recipe.\textsuperscript{19} We use the VP06 virial BH masses in our following analysis.

\textsuperscript{19} We also tried using the quasar-only 5100 Å luminosity in the VP06 recipe and found similar conclusions. The systematic uncertainties in the virial BH mass estimates (including the zero-point uncertainty) are much larger than this detail (e.g., Shen 2013).
Figure 3. Relation between $\sigma_v$ and SE virial BH mass for the sample with good velocity dispersion measurements. The black points show all 88 objects, and the red points show the 46 objects at $z > 0.6$. Error bars are measurement errors only, and the systematic uncertainty in the virial BH masses is $\sim 0.5$ dex (Shen 2013). Spearman’s test results are shown: a significant correlation is detected both for the full sample and for objects at $z > 0.6$. The black solid line is the median relation derived using the Bayesian linear regression method developed by Kelly (2007), and the gray band indicates the 2$\sigma$ confidence range of the correlation. The vertical scatter around the best-fit relation, after correcting only for measurement errors, is 0.4 dex. The dashed line is the relation for local inactive galaxies from Kormendy & Ho (2013, Equation 7). The slope of the $M_{\text{BH,vir}} - \sigma_v$ relation in our quasars is significantly shallower than that of the local relation, as discussed in the text.

We also consider an alternative recipe in (Feng et al. 2014, hereafter F14; Equation (14)) with $a = 3.602$, $b = 0.504$, and $c = 1.2$. The F14 recipe requires the host-corrected quasar $L_{\text{Å,4000}}$ which is measured from the decomposed quasar spectrum. This recipe relaxes the assumption that BH mass is proportional to the square of FWHM, and uses the best-fit slope on FWHM from the calibration against the local sample of AGNs with RM masses (also see, e.g., Wang et al. 2009). Since these RM masses were computed using the line dispersion $\sigma_{\text{line}}$ in the rms spectrum rather than FWHM, this recipe effectively accounts for the nonlinear relation between FWHM and $\sigma_{\text{line}}$ (e.g., Collin et al. 2006; Shen & Ho 2014). We use this alternative set of BH mass estimates to demonstrate the ambiguities in measuring BH masses for high-$z$ quasars with the SE methods, as discussed in Section 4.

The measurement errors in $M_{\text{BH,vir}}$ are propagated from the measurement errors in FWHM and $L_{\text{Å,4000}}$, but the dominant uncertainty in $M_{\text{BH,vir}}$ is the systematic uncertainty of SE virial masses, which can be as large as $\sim 0.5$ dex (e.g., Shen 2013). We successfully derived $M_{\text{BH,vir}}$ estimates for all quasars in our parent sample except for a few cases where restframe 5100 Å is not covered by the spectrum. None of these sources were included in the good sample.

The VP06 SE mass recipe uses a constant average virial coefficient that normalizes the virial BH masses of nearby reverberation mapping AGNs to follow the local $M - \sigma_v$ relation in inactive galaxies (e.g., Onken et al. 2004). An implicit assumption in our investigations is that this average virial coefficient does not evolve with redshift, which is a reasonable assumption since this is largely a geometrical factor to account for the structure of the quasar broad-line region (e.g., Shen 2013).

3. RESULTS

We show our $\sigma_v$ and $\log M_{\text{BH,vir}}$ measurements in Figure 3. The full sample is shown in black circles with measurement errors only. The Spearman test finds a strong probability of a correlation, as shown by the values presented in Figure 3. For the first time, we were able to directly detect a correlation between $\sigma_v$ and virial BH mass estimates at $(z) = 0.6$, while earlier studies did not have the statistics and dynamic range to clearly detect a correlation at $z > 0.3$ (e.g., Woo et al. 2006, 2008). A moderately strong correlation is still present if we restrict the analysis to the subset of 46 $z > 0.6$ objects (red circles). The correlation for the high-$z$ subset is similar to the relation for the full sample, suggesting negligible evolution, as further demonstrated below.

We use the Bayesian linear regression method in Kelly (2007) to fit for $\log M_{\text{BH,vir}}$ as a function of $\log \sigma_v$:

$$\log \left( \frac{M_{\text{BH,vir}}}{M_\odot} \right) = \alpha + \beta \log \left( \frac{\sigma_v}{200 \text{ km s}^{-1}} \right).$$

where $\alpha$ and $\beta$ are the intercept (at $\sigma_v = 200$ km s$^{-1}$) and slope of the regression, and we use $\epsilon$ to denote the intrinsic scatter in $\log M_{\text{BH}}$ around the best fit. This Bayesian regression method properly accounts for the intrinsic scatter, thus the best-fit slope is not biased due to a few points with small measurement errors (e.g., see the discussion in Tremaine et al. 2002). The results are summarized in Table 2, where the significantly non-zero (at 5$\sigma$) slope $\beta$ for the full sample again suggests a strong correlation. We only consider the measurement errors of $\log M_{\text{BH,vir}}$ in the fit, and thus the intrinsic scatter $\epsilon$ includes the contribution from systematic errors in $\log M_{\text{BH,vir}}$. We also tried adding 0.5 dex systematic errors on $\log M_{\text{BH,vir}}$ or using alternative regression methods (e.g., Tremaine et al. 2002), but did not find significantly different results for the intercept and slope.

In Figure 3, the solid line represents the relation with the median intercept $\alpha = 8.38$ and slope $\beta = 1.54$ in the posterior

| Sample | $(\alpha)$ | $(\beta)$ | Intrinsic Scatter |
|--------|------------|------------|-------------------|
| full   | 0.60       | 8.377      | 1.538              |
| $z > 0.6$ | 0.76     | 8.395      | 1.081              |
| 0.12 $< z < 0.42$ | 0.26     | 8.324      | 1.692              |
| 0.42 $< z < 0.60$ | 0.53     | 8.372      | 1.592              |
| 0.61 $< z < 0.76$ | 0.70     | 8.388      | 1.199              |
| 0.76 $< z < 1.00$ | 0.84     | 8.364      | 0.960              |

Note. Linear regression of the relation $\log (M_{\text{BH,vir}}/M_\odot) = \alpha + \beta \log (\sigma_v/200 \text{ km s}^{-1})$ on the data, using the Bayesian approach described in Kelly (2007). The intrinsic scatter refers to the scatter in $\log M_{\text{BH}}$ around the best-fit relation, after only accounting for measurement errors. Systematic uncertainties in $\log M_{\text{BH,vir}}$ are included in this intrinsic scatter. The 1$\sigma$ errors are estimated from 16% and 84% of the posterior distribution of the parameter from the Bayesian fits.
distribution from the Bayesian fit, and the gray band indicates the 2σ confidence range of the correlation. The intrinsic scatter is estimated to be 0.4 dex. Although there is a strong correlation between \( M_{\text{BH,vir}} \) and \( \sigma_v \) in our sample, the best-fit slope is significantly shallower than that of the \( M_{\text{L}}-\sigma_v \) relation for local inactive galaxies (Kormendy & Ho 2013, Equation 7), with \( \beta = 4.38 \), which is shown as the dashed line. We discuss this discrepancy in detail in Section 4. On the other hand, the average BH mass at \( \sigma_v = 200 \text{ km s}^{-1} \) is \( \sim 2.5 \times 10^8 M_\odot \), consistent with the local relation.

The large dynamic range in luminosity and redshift of our sample, as well as the homogeneous analysis, make it possible to investigate the potential redshift evolution of the \( M_{\text{BH,vir}}-\sigma_v \) relation within our sample. We divide the full 88 objects into four equal-number subsamples by redshift, and show the results in Figure 4. We perform linear regression with the Bayesian method to the data in each subsample and show the median relation (red lines) and the 1σ (2σ) confidence range in cyan (light blue) shaded bands in Figure 4. A correlation is inferred (albeit at low statistical significance) even in the highest redshift bin with \( \langle z \rangle = 0.84 \). The regression results are summarized in Table 2 and plotted in Figure 5.

The median relation for the full sample is shown as black lines, and is consistent within 1σ with the relations at different redshifts. Therefore, we do not detect any redshift evolution in the \( M_{\text{BH,vir}}-\sigma_v \) relation. In particular, the mean BH mass at \( \sigma_v = 200 \text{ km s}^{-1} \) is remarkably stable, and is consistent with the value from the local relation in Kormendy & Ho (2013). There is, however, an indication that the relation flattens toward higher redshift (see Table 2), as further discussed in Section 4.

4. DISCUSSION

The results presented above should be interpreted with great caution. Below we discuss a list of potential caveats that went into the above analyses and interpretation, as well as our resolutions.

Spectral decomposition. Measuring the host stellar velocity dispersion in quasars is always challenging because the stellar absorption features are diluted by the significant contribution from the quasar. The PCA decomposition technique attempts to subtract the quasar continuum and broad line emission (including the optical Fe II complex that extends over a broad spectral range). However, it is difficult to ensure a clean subtraction of the quasar contribution, and this may affect the dispersion measurements. To mitigate the residual impact of quasar emission, we masked prominent broad and narrow absorption features are diluted by the significant contribution from the quasar. The PCA decomposition technique attempts to

\[
\log(M_{\text{BH,vir}}/M_\odot) = \alpha + \beta \log(\sigma_v/200 \text{ km s}^{-1})
\]

Figure 5. Redshift evolution of the best-fit parameters in the \( M_{\text{BH,vir}}-\sigma_v \) relation defined by Equation (2) and tabulated in Table 2. The black filled circles are for the full sample, the red open circles are for the \( z > 0.6 \) subsample, and the cyan open squares are for the four redshift bins.
emission line regions when fitting the decomposed galaxy spectrum for $\sigma_*$; while broadband quasar residuals are accounted for with low-order polynomials in the $\sigma_*$ fit. We believe, in general, this approach should work well.

$\sigma_*$ measurements. The main hurdle in our $\sigma_*$ measurement is the S/N of the stellar absorption features in the decomposed galaxy spectrum. We have set a threshold of median S/N > 4 pixel$^{-1}$ to ensure a reasonable $\sigma_*$ measurement (the median S/N per pixel in the galaxy spectrum is ~15 for our good sample). We have also tested the sensitivity of the $\sigma_*$ measurement to changes in the stellar templates, fitting code, spectral fitting range and masks, and found our fiducial $\sigma_*$ measurements are robust against these details. The ultimate limitation on $\sigma_*$ measurements in quasars likely lies in the systematics from spectral decomposition in extremely quasar-dominated spectra, spectral calibration, and template matching—our measurements are far from reaching that limit. For example, it is still difficult to measure $\sigma_*$ in the most luminous PG quasars at low $z$ (which are highly biased relative to the general quasar population in terms of luminosity and radio-loudness) with a huge quasar–host contrast—S/N is not the limiting factor there.20

The completeness in $\sigma_*$ measurements for quasar samples poses another concern. If a significant fraction of objects with high quasar-to-host contrasts are preferentially lost from the sample due to the failure of $\sigma_*$ measurements, there is a potential selection bias in the resulting $M_{\text{BH}, \text{vir}}-\sigma_*$ relation, such that the average $\sigma_*$ at fixed BH mass may be biased high. Fortunately for our sample, the completeness in $\sigma_*$ measurements is high ($\approx 70\%$) at $z < 0.75$, meaning that the potential bias due to sample incompleteness is not a severe issue for the bulk of our sample. However, it may become important for the highest redshift bin shown in Figure 4, where the fraction of quasars with measured $\sigma_*$ is low mainly due to small host fractions. There is no easy way to quantify this effect—deeper spectroscopy is required to increase the chance of measuring $\sigma_*$ in these objects.

The next question is what $\sigma_*$ we are actually measuring. SDSS-BOSS spectra collect light enclosed in a 2″ diameter circle on the sky, which translates to a physical radius of 3.3–7.8 kpc at 0.2 < $z$ < 0.9. Therefore, our $\sigma_*$ is measured from the integrated light from the bulge and a potential disk component at high $z$. We are ignorant about the morphological types of our quasars and their redshift dependence, so an object-by-object correction to the bulge $\sigma_*$ is difficult. We have tried the empirical correction for aperture effects in Shen et al. (2008b), and did not find significant changes in our results. While we caution that some of the scatter in our results may arise from the failure to resolve the host light into disk and bulge components, the estimated bias in $\sigma_*$ due to the inclusion of a rotating disk is $\lesssim 16\%$ based on local AGN samples (e.g., Bennett et al. 2014). Thus this effect is negligible given the measurement uncertainties and intrinsic scatter in Figure 3.

BH mass estimates. A larger concern is in the BH mass estimates based on the SE methods. As discussed at length in Shen (2013), there are currently large systematic uncertainties in the SE virial masses for high-$z$ quasars at the ~0.5 dex level (both in scatter and in zeropoint) and systematic differences between different recipes.

To investigate the difference due to alternative SE mass recipes, we have repeated the analysis based on the F14 mass recipe for H/β. We still find a significant correlation between $M_{\text{BH}, \text{vir}}$ and $\sigma_*$ in different redshift bins, but the slope of the correlation is even shallower than that based on the VP06 masses. This exercise highlights the ambiguity in estimating BH masses using the SE method, and the difficulty in comparing different studies using different BH mass recipes. Nevertheless, the small samples at $z = 0.36$ and $z = 0.57$ in Woo et al. (2006, 2008), when matched in luminosity and redshift to our quasars, fall consistently within our data points in Figure 4.

Since all SE mass recipes explicitly depend on luminosity, statistical biases will arise from samples with a threshold luminosity (as we further discuss below).

Statistical biases. There are several statistical biases due to quasar-based sample selection and BH mass uncertainties that have been previously discussed (e.g., Adelberger & Steidel 2005; Lauer et al. 2007; Salvianer et al. 2007; Shen et al. 2008a; Shen & Kelly 2010; Schulze & Wisotzki 2011). Below, we discuss these effects and provide qualitative assessments of their implications on the interpretation of Figures 3 and 4.

Lauer et al. (2007) pointed out that (also see Adelberger & Steidel 2005; Salvianer et al. 2007), because there is intrinsic scatter in the $M_\ast-\sigma_*$ relation, samples selected based on a threshold in quasar luminosity will preferentially select overmassive BHs with respect to galaxy stellar velocity dispersion, assuming that more luminous quasars represent more massive BHs on average. Shen & Kelly (2010) further suggested that (based on the earlier suggestion in Shen et al. 2008a), because SE virial mass estimates explicitly depend on luminosity and there is non-negligible random scatter between instantaneous luminosity and line width (i.e., the origin of the uncertainties in SE virial masses), quasar samples with a threshold luminosity are, on average, biased high in their SE virial mass estimates.21

These two statistical biases will work independently to produce a BH mass offset relative to $\sigma_*$ in high-$z$, hence high-luminosity, quasar samples; this BH mass offset is more prominent at lower $\sigma_*$ (hence flattening the slope in $M_\ast-\sigma_*$), where under-massive BHs (relative to $\sigma_*$) are more easily lost due to the sample luminosity threshold. In addition, both effects become worse at higher threshold luminosities, which qualitatively explains the flattening in the slope of the log $M_{\text{BH}, \text{vir}}-\sigma_*$ relation with redshift seen in Figure 4.

A corollary of this result is that if we probe sufficiently faint quasar luminosities at high $z$, we should recover the population of undermassive BHs at fixed $\sigma_*$.

To strengthen these arguments, we perform a test using the low-$z$ quasar sample in Shen et al. (2008b). Under the premise that there is no redshift evolution in the intrinsic $M_\ast-\sigma_*$ relation, we plot the sample in Shen et al. (2008b, hereafter the Shen08 sample) and compare with our data in Figure 6. The Shen08 sample is a low-$z$ SDSS quasar sample, analyzed using the same PCA method to decompose the spectrum and measure host stellar velocity dispersion, quasar continuum luminosity, and broad H/β FWHM. To be consistent with our analysis, we

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20 A promising approach for measuring $\sigma_*$ in these most luminous quasars is to use near-infrared stellar absorption features assisted with adaptive optics to minimize the impact of the quasar continuum (e.g., Dasyra et al. 2007; Watson et al. 2008; Grier et al. 2013). However, even in this case, the measurement of $\sigma_*$ can be extremely difficult in the most luminous quasars.

21 A detailed derivation of this virial BH mass bias is presented in Section 3.3 of (Shen 2013), which is referred to as the luminosity-dependent bias in SE virial BH masses.
use the total continuum luminosity $L_{1100}$ from their compiled measurements and scale their FWHM values upward by a constant $0.05$ dex to match our own measurements for the objects in common with the catalog of Shen et al. (2011). We then use the VP06 recipe to compute virial BH masses for their sample. The Shen08 sample is shown in Figure 6 with small circles. This low-$z$ sample has significantly lower luminosities than our quasars (see Figure 2), and, as expected, they are systematically offset from our quasars at fixed $\sigma_v$. In fact, a systematic trend of BH mass offset as luminosity increases is present in the Shen08 sample as well. These results are consistent with the above argument that high-$z$ luminosity-threshold samples suffer from statistical biases in their average BH mass relative to the expectation from the mean $M-\sigma_v$ relation.

To further illustrate the effects of these two statistical biases, we perform simple simulations of luminosity-threshold quasar samples. We start by simulating a distribution of quasars with known velocity dispersions and true BH masses using the local stellar velocity dispersion function (Bernardi et al. 2010) convolved with the local $M-\sigma_v$ relation (Kormendy & Ho 2013). We assume an intrinsic scatter of $0.44$ dex in $M*$ at fixed $\sigma_v$ for all morphological types of galaxies (e.g., Gültekin et al. 2009). With the true BH masses, we generate quasar bolometric luminosity $L_{\text{bol}}$ using a lognormal distribution of the Eddington ratio, $\lambda \equiv L_{\text{bol}}/L_{\text{Edd}}$, where $L_{\text{Edd}} = 1.26 \times 10^{38} (M_*/M_\odot)$ erg s$^{-1}$ is the Eddington luminosity. We choose a mean Eddington ratio of $(\log \lambda) = -1$ and a scatter of $\sigma_\lambda = 0.3$ dex (e.g., Shen et al. 2008a; Shen & Kelly 2012). We assume $L_{\text{bol}} = 10L_{1100}$ to get $L_{1100}$. We then follow Shen (2013) to generate FWHM and virial BH masses using the VP06 recipe. The FWHMs are generated assuming a lognormal distribution, with the mean depending on true BH mass and mean luminosity at fixed true mass, and a scatter of $\sigma_{\text{FWHM}} = 0.18$ dex. This approach ensures that the virial BH masses are on average unbiased (when no luminosity constraints are imposed), and that the FWHM distribution of quasars is consistent with observation (e.g., Shen et al. 2008a).

We assume no correlation between the luminosity dispersion $\sigma_L$ and FWHM dispersion $\sigma_{\text{FWHM}}$ at fixed true BH mass. Therefore, the uncertainty of the virial masses is $\sigma_v = \sqrt{(0.5\sigma_L)^2 + (2\sigma_{\text{FWHM}})^2} = 0.39$ dex, roughly consistent with expectation (e.g., Shen 2013). In addition, this approach yields a maximum luminosity-dependent bias in the virial BH masses (e.g., Shen et al. 2008a; Shen & Kelly 2010; Shen 2013). Again, we refer the reader to Shen (2013, Section 3.3) for a more elaborate derivation of this virial mass bias.

The results are shown in Figure 7, where we select a random set of 500 quasars from the simulated sample by imposing different luminosity thresholds. We have shuffled the $\sigma_v$ values by $0.1$ dex to mimic measurement errors. The top panels show the simulated distributions in terms of true BH masses, which demonstrate the effect of the Lauer et al. (2007) bias. The bottom panels show the simulated distributions in terms of virial BH masses, which demonstrate the combined effect of the Lauer et al. (2007) bias and the virial BH mass bias. In both cases, the luminosity-threshold samples preferentially select overly massive BHs at the low-$\sigma_v$ end, and the best-fit regression slope (the solid lines) is significantly shallower than the intrinsic slope (the dashed lines). This simple simulation qualitatively explains the observed trends in our data, and provides strong evidence that statistical biases are at work.

Schulze & Wisotzki (2011) suggested a scenario where the active fraction of quasars may be a function of BH mass. Coupled with the intrinsic scatter in the $M-\sigma_v$ relation, this effect would also produce deviations from the mean $M-\sigma_v$ relation in samples with quasar-based selection. However, at low $z$, there appears to be no significant difference in the $M-\sigma_v$ relation in inactive and active galaxies (e.g., Woo et al. 2013; Bennert et al. 2014). The situation, however, may be different at high $z$.

The “intrinsic” scatter in BH mass around the best-fit regression listed in Table 2 is the combination of the intrinsic scatter in the $M-\sigma_v$ relation and the scatter in SE virial BH mass estimates around true BH masses. At face value, this suggests that the systematic uncertainty in SE virial BH masses is less than $0.4$ dex, since there must be intrinsic scatter in the $M-\sigma_v$ relation. However, this statement is incorrect because of the luminosity threshold and the way the two biases operate, we are not sampling the full distribution of objects and thus do not probe the true uncertainty in SE virial masses. If we were to select a sample with fixed continuum luminosity and fixed line width, then the dispersion in the sample virial BH masses would be zero, while the uncertainty in these BH mass estimates would still be $0.5$ dex. Shen (2013, Section 3.3) describes in detail how to distinguish these different concepts.

In brief, the observed distribution in the SE virial masses for a
given sample is the convolution of the underlying BH mass distribution with the luminosity selection function and the SE mass error distribution. Luminosity-threshold quasar samples often show a dispersion of virial masses that can be smaller than the nominal mass uncertainty (e.g., Shen et al. 2008a; Shen & Kelly 2012). These two are equal only when (1) the true masses have zero sample dispersion and (2) the error in SE virial masses does not depend on luminosity—neither of these are true, as discussed in Shen (2013).

Similarly, even if we can account for the scatter in SE BH masses around true BH masses, the dispersion in true BH mass for the luminosity threshold sample is not the intrinsic scatter around the mean $M_r - \sigma_v$ relation, precisely due to the Lauer et al. bias: we are sampling the upper envelope of the $M_r - \sigma_v$ relation rather than the full distribution. Jahnke & Macciò (2011) proposed an interesting scenario, based on an earlier suggestion by Peng (2007), that the $M_r - \sigma_v$ relation can be naturally produced by the averaging process during mergers of galaxies with initially uncorrelated BH mass and galaxy properties. Such a scenario predicts an increasing intrinsic scatter in the $M_r - \sigma_v$ relation with redshift, and is testable with observations. Unfortunately, we cannot test this prediction with our data without a more careful forward modeling of the complications just described. Nevertheless, the nearly constant scatter in Table 2, albeit only measuring the upper envelope of the $M_r - \sigma_v$ relation due to selection effects, seems to favor a non-evolving intrinsic scatter in the $M_r - \sigma_v$ relation.

Our observations are consistent with Woo et al. (2006, 2008): if we look only at their samples at $z = 0.36$ and $z = 0.57$, which are at comparable luminosities (see Figure 2), then there is little evolution. A strong evolution was inferred only when they compared to a low-$z$ and low-luminosity sample, as shown here as well (Figure 6). Given the limited dynamic range in luminosity in the samples of Woo et al. (2006, 2008), this evolution manifested as an offset from the local relation. However, the low-$z$ samples, on average, have much lower luminosity than the high-$z$ samples, so this comparison suffers from the statistical biases discussed above. The Woo et al. (2006, 2008) samples are on average more luminous than our quasars at the relevant redshifts (see Figure 2), and they do tend to lie in the upper envelope of the distribution of our quasars in the $M_{BH, vir} - \sigma_v$ plane, as shown in Figure 4. By going to lower luminosities at these redshifts, our sample was able to recover some (but not all) of the low-mass BHs at fixed $\sigma_v$ that were missed in earlier samples. All of these observations are consistent with the expectation from statistical biases that we demonstrated in Figure 7 with simple models.

Our interpretation of the observed $M_{BH, vir} - \sigma_v$ relation at high $z$ is therefore fundamentally different from Woo et al., in that we believe the differences seen between high-$z$ and low-$z$ samples are mainly not due to real evolution, but largely caused by selection biases and BH mass uncertainties in luminosity-threshold quasar samples, as emphasized in several recent studies (e.g., Lauer et al. 2007; Salvioder et al. 2007; Jahnke et al. 2009; Shen & Kelly 2010; Schulze & Wisotzki 2011, 2014; Salvioder & Shields 2013; Schramm & Silverman 2013; Shen 2013; Matsuoka et al. 2014; Sun et al. 2015). Indeed,
such a strong evolution in the slope (or the normalization) of the $M_\ast-\sigma_\ast$ relation to $z \sim 1$ is inconsistent with the latest cosmological hydrodynamical simulations (DeGraf et al. 2014; Khandai et al. 2015; Sijacki et al. 2014), or independent constraints from evolutionary models of the velocity dispersion function and accreted BH mass density (Shankar et al. 2009), which found no significant evolution in the $M_\ast-\sigma_\ast$ relation.

5. CONCLUSIONS

We have presented a large, homogenous set of new host stellar velocity dispersion measurements in a uniformly selected sample of quasars at $0.1 < z < 1$, with 46 quasars at $z > 0.6$, a regime rarely explored in previous studies. The sample size and dynamic range in luminosity show significant improvement over the best samples studied previously (e.g., Woo et al. 2006, 2008). This work was made possible by the high S/N coadded spectra of a homogeneous quasar sample covering a wide luminosity and redshift range from the SDSS-RM project, the first major multi-object reverberation mapping project to date (Shen et al. 2015).

We decomposed the spectra into host and quasar components using the PCA method and measured $\sigma_\ast$ and SE virial mass estimates based on the decomposed galaxy and quasar spectra, respectively.

We found the first direct, conclusive evidence that BH mass correlates with $\sigma_\ast$ in quasars at $z > 0.3$, such that quasar hosts with higher $\sigma_\ast$ have more massive BHs. Similar claims have previously been made, but were based on proxies for $\sigma_\ast$ that are much easier to measure in quasar spectra but with significant caveats (such as the width of the [O ii] or [O iii] line, e.g., Salviander et al. 2007; Salviander & Shields 2013). This correlation holds to $z \sim 0.8$. We do not find significant evolution in this relation with our large sample and uniform analysis, up to $z \sim 1$.

However, the $M_{BH, vir} - \sigma_\ast$ relation is significantly shallower than the local $M_\ast - \sigma_\ast$ relation (Figures 3 and 4). We argued (e.g., Figures 6 and 7) that this is most likely due to the statistical biases induced by the combination of the luminosity-threshold quasar selection and the uncertainties in the SE virial BH masses. The observed trend of the $M_{BH, vir} - \sigma_\ast$ relation with luminosity (both within our sample and combined with other samples) appears to confirm this suggestion, but clearly more data and tests are needed. It is possible that there is still some real evolution to some extent.

Our work represents a step forward in studies of the evolution of the $M_\ast - \sigma_\ast$ relation and demonstrates the potential and caveats of using quasars and virial BH mass estimates to probe the co-evolution of BHs and galaxies up to high redshift. Our SDSS-RM program has reached a depth and a sample size that are difficult to surpass with traditional programs on current 6–10 m telescopes, but future installments of multi-object RM programs on 6–10 m telescopes or spectroscopy with 30 m-class telescopes will be able to provide even fainter, and hence less biased, quasar samples to measure host stellar velocity dispersion. With future direct RM-based BH masses for these quasars (the main goal of the SDSS-RM project), we can largely eliminate the luminosity-dependent bias in SE virial masses due to the explicit luminosity dependence of these mass estimators. It is unlikely that we will obtain a sample with RM masses and $\sigma_\ast$ measurements nearly as large as the one studied here. Nevertheless, these RM masses will help us to better understand the systematics in these SE virial masses. With fainter quasar samples at high $z$, we can potentially recover the population of BHs that are undermassive relative to their hosts. With these two future advances, we will be able to better characterize the relation between BHs and host galaxies at high $z$, which in turn will improve our understanding of galaxy formation and BH feedback.

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