High-level resistance to non-nucleos(t)ide reverse transcriptase inhibitor based first-line antiretroviral therapy in Ghana; A 2017 study

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Expanding access to effective antiretroviral therapy (ART) is a major tool for management of Human Immunodeficiency Virus (HIV) infection. However, rising levels of HIV drug-resistance have significantly hampered the anticipated success of ART in persons living with HIV (PLWH), particularly those from Africa. Though great strides have been made in Ghana toward achieving the UNAIDS “95–95–95” target, a substantial number of PLWH receiving ART have not attained viral suppression. This study investigated patterns of drug resistance mutations in ART naïve as well as ART-experienced PLWH receiving first-line regimen drugs from Ghana. In a cross-sectional study, blood samples were collected from HIV-1 infected adults (≥18 years) attending HIV/AIDS clinic at the Eastern Regional Hospital, Koforidua, Ghana from September to October 2017. Viral RNA isolated from plasma were subjected to genotypic drug resistance testing for Protease Inhibitors (PI), Reverse Transcriptase Inhibitors (RTI), and Integrase Strand Transfer Inhibitors (INSTI). A total of 95 (84 ART experienced, 11 ART naïve) HIV-1 infected participants were sampled in this study. Sixty percent (50/84) of the ART-experienced participants were controlling viremia (viral load<1,000 copies/ml). Of the 95 patient samples, 32, 34, and 33 were percent (50/84) of the ART-experienced participants were controlling viremia despite treatment with current ART regimen drugs from Ghana. In a cross-sectional study, blood samples were collected from HIV-1 infected adults (≥18 years) attending HIV/AIDS clinic at the Eastern Regional Hospital, Koforidua, Ghana from September to October 2017. Viral RNA isolated from plasma were subjected to genotypic drug resistance testing for Protease Inhibitors (PI), Reverse Transcriptase Inhibitors (RTI), and Integrase Strand Transfer Inhibitors (INSTI). A total of 95 (84 ART experienced, 11 ART naïve) HIV-1 infected participants were sampled in this study. Sixty percent (50/84) of the ART-experienced participants were controlling viremia (viral load<1,000 copies/ml). Of the 95 patient samples, 32, 34, and 33 were successfully sequenced for protease, reverse-transcriptase, and integrase regions, respectively. The dominant HIV-1 subtypes detected were CRF02_AG...
(70%), and A3 (10%). Major drug resistance associated mutations were only detected for reverse transcriptase inhibitors. The predominant drug resistance mutations were against nucleos(t)ide reverse transcriptase inhibitors (NRTI)—M184V/I and non-nucleos(t)ide reverse transcriptase inhibitors (NNRTI)—K103N. In the ART-experienced group, M184V/I and K103N were detected in 54% (15/28) and 46% (13/28) of individuals, respectively. Both mutations were each detected in 33% (2/6) of ART naïve individuals. Multiclass resistance to NRTI and NNRTI was detected in 57% of ART-experienced individuals and two ART naïve individuals. This study reports high-level resistance to NNRTI-based antiretroviral therapy in PLWH in Ghana. However, the absence of major PI and INSTI associated-mutations is a good signal that the current WHO recommendation of Dolutegravir in combination with an NRTI backbone will yield maximum benefits as first-line regimen for PLWH in Ghana.

KEYWORDS
HIV-1, drug resistance, Ghana, nucleos(t)ide reverse transcriptase inhibitors, non-nucleos(t)ide reverse transcriptase inhibitors, protease inhibitors, integrase strand transfer inhibitors

Introduction

Infection with HIV-1 continues to be a major global public health issue. Globally, over two thirds of the estimated 37.7 million people living with HIV (PLWH) as of 2020 were from Sub-Saharan Africa (World Health Organization, 2021b). Indeed, increasing access to effective ART is one of the key tools for HIV/AIDS prevention and control. Thus, the Joint United Nations Program on HIV/AIDS (UNAIDS) proposed a global target of diagnosing 95% of all HIV-positive individuals, providing ART for 95% of people who know their status, and achieving viral suppression in 95% of those receiving treatment by 2030, termed 95-95-95 (UNAIDS, 2014). In a concerted effort to meet this global target, Ghana, in 2016, adopted a national strategy of treating all HIV infected individuals, regardless of clinical status or CD4 cell count, with a triple drug regimen [Ghana Health Service (GHS), National AIDS/STI Control Programme, 2016]. The anticipated impact of increased access to ART has been hampered by increasing levels of HIV drug-resistance in low- or middle income countries (LMIC) such as found in Sub-Saharan Africa (Aghokeng et al., 2011; Gupta et al., 2018; World Health Organization, 2019). This is attributable to several factors, including poor adherence, inadequate virological monitoring, and lack of drug resistance testing.

In Ghana, the initiation of treat all policy has significantly expanded access to ART, however, only 66% of PLWH receiving ART have achieved viral suppression (UNAIDS, 2019). Though pre-treatment/transmitted drug resistance has consistently been reported to be low in Ghana, acquired drug resistance remains a major concern (Nii-Trebi et al., 2013; Martin-Odoom et al., 2018; Deletsu et al., 2020; Obeng et al., 2020), due to lack of routine viral load testing and drug resistance testing to guide the selection of drugs. At the time of sampling, first-line regimens in Ghana consisted in NRTI and NNRTIs only, while the preferred second- and third-line drug regimen in Ghana included PI and INSTI, respectively [Ghana Health Service (GHS), National AIDS/STI Control Programme, 2016]. With the current World Health Organization recommended Dolutegravir-based first-line regimen in combination with an NRTI backbone (World Health Organization, 2021a), it is critical to investigate resistance profiles of patients in order to provide country-specific data to guide optimum drug choices. This study reports on patterns of resistance observed against protease (PR), reverse transcriptase (RT), and integrase (IN) inhibitors in both ART-naïve and -experienced PLWH in Ghana.

Materials and methods

Study design

In this cross-sectional study, we enrolled HIV-1 infected adults (≥18 years) attending an HIV/AIDS clinic at the Eastern Regional Hospital, Koforidua, Ghana. All participants resided in communities located within an area of 1,200 km² in the Eastern region of Ghana. Blood samples were collected from consenting participants between September and October 2017. Clinical and demographic data were obtained from hospital records for all consenting participants.

HIV-1 drug-resistance genotyping

Viral RNA was isolated from 200 ul of plasma samples using High Pure Viral RNA Kit (Roche, Mannheim, Germany) following the manufacturer’s protocol, and stored at −80°C until use. One-step reverse transcription PCR (RT-PCR) was performed for the PR, RT, and IN regions of the polymerase

KEYWORDS
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Statistical analysis

Statistical analyses were performed using GraphPad Prism version 9. Comparison between categorical variables between groups was performed with Fisher’s exact test. Continuous variables were assessed using Wilcoxon rank sum test. Values of p less than 0.05 were considered significant.

Results

Clinical and demographic information

A total of 95 HIV-1 infected participants were sampled in this study; majority of whom were females (72/95, 76%). Eleven (11/95) were ART naïve while 84 were receiving ART (Supplementary Figure 1). Three of the ART naïve participants had undetectable plasma viral RNA (<20 copies/ml), while seven of them had plasma viral RNA >1,000 copies/ml with a median CD4 cell count of 265 cells/ml (IQR, 167–415). Eighty-four of the participants had been on ART for a median duration of 54 months (IQR, 20–101) with 50 (60%) of them controlling viremia (<1,000 copies/ml). The median CD4 cell count in those receiving ART but failing treatment (n=34; VL ≥1,000 copies/ml) was 204 cells/ml (IQR, 113–464). All participants receiving ART were on first-line regimen consisting of two NRTI (Zidovudine + Lamivudine or Tenofovir disoproxil fumarate + Lamivudine) and one NNRTI (Efavirenz or Nevirapine). Majority (47/84, 56%) of participants receiving ART were on Tenofovir disoproxil fumarate + Lamivudine + Efavirenz combination first-line regimen (Table 1).

HIV-1 subtypes

The PR, RT, and IN regions were successfully sequenced from 32, 34, and 33 of samples, respectively. Sequence success rate was higher in samples with viral loads greater than 1,000 copies/ml (n=41): 28 (68%), 31 (76%), and 31 (76%) for PR, RT, and IN, respectively. In 30 samples with both PR and RT sequences, 21 (70%) were classified as CRF02_AG and 3 (10%) as A3 (Figures 1A,B). CRF06_cpx and D were detected in one sample each. Likewise, recombinants of A3 and G; A3 and CRF02_AG; A1 and G, as well as G and CRF02_AG were detected in one sample each.

Drug resistance-associated mutations

In this study, we classified major mutations as those defined in the 2019 edition of the IAS–USA drug resistance mutations list (Wensing et al., 2019). Mutations for drug resistance surveillance are classified as defined by WHO list of mutations for surveillance of transmitted drug resistant HIV strains (Bennett et al., 2009; Tzou et al., 2020). Interpretation of drug resistance mutations was done using the Stanford University HIV drug resistance database version 9.0 (Liu and Shafer, 2006).
Resistance associated mutations detected in analyzed sequences are summarized in Table 2. There were no major drug resistance (DR) mutations detected for PI or INSTI. Among the 28 ART-experienced RT sequences, 16 (57%) possessed one or more major NRTI resistance mutation. All 16 ART-experienced participants possessing one or more major NRTI resistance mutation were failing treatment (VL > 1,000 copies/ml). The predominant mutation to NRTI was M184V/I; detected in 15 (54%) of ART-experienced RT sequences. Other mutations to NRTI included T215F/Y, A62V, K70E/R, K219E/Q, M41L, K65R, L74I, and D67N. One or more major NNRTI resistance mutation was detected in 75% (21/28) of ART-experienced RT sequences. K103N was the predominant NNRTI resistance mutation. Any major NRTI resistance mutations were detected in 16 (57%) of ART-experienced RT sequences. Other NRTI resistance-associated mutations included E44D, T69N/S, K70G/N, V106A, K101E, V108I, H221Y, E138A, Y181C, V106I/T, L234I, K238T, and K101R.

### Table 2. Patterns of mutations detected in protease, reverse-transcriptase, and integrase regions of the HIV-1 polymerase gene.

| Description | ART experienced | ART Naive | Total |
|-------------|----------------|-----------|-------|
| Major NRTI resistance mutations* | 17 (50%) | 5 (15%) | 22 (62%) |
| Any NRTI resistance mutations | 18 (53%) | 2 (33%) | 20 (57%) |
| Other NRTI resistance-associated mutations | 3 (9%) | 2 (6%) | 5 (14%) |
| Major NNRTI resistance mutations | 15 (44%) | 2 (33%) | 17 (47%) |
| Any NNRTI resistance mutations | 18 (53%) | 2 (33%) | 20 (57%) |
| Other NNRTI resistance-associated mutations | 3 (9%) | 2 (6%) | 5 (14%) |

*(Continued)*
TABLE 2Continued

| Description | ART experienced | ART Naïve | Total |
|-------------|-----------------|-----------|-------|
|             | (n=27)          | (n=5)     | (n=32) |
| **Major PI resistance mutations** | | | |
| Any major PI resistance mutations | 0 (0%) | 0 (0%) | 0 (0%) |
| Any PI mutations for Drug Resistance Surveillance | 0 (0%) | 0 (0%) | 0 (0%) |
| **Other PI resistance-associated mutations** | | | |
| M36I/V | 27 (100%) | 5 (100%) | 32 (100%) |
| H60K | 26 (96%) | 5 (100%) | 31 (97%) |
| L89I/M | 26 (96%) | 5 (100%) | 31 (97%) |
| K20I/R | 25 (93%) | 5 (100%) | 30 (94%) |
| G16E | 10 (37%) | 2 (40%) | 12 (38%) |
| L10I/V | 9 (33%) | 1 (20%) | 10 (31%) |
| L63P | 8 (30%) | 2 (40%) | 10 (31%) |
| I64I/M | 4 (15%) | 1 (20%) | 5 (16%) |
| V11I | 4 (15%) | 0 (0%) | 4 (13%) |
| A71T | 1 (4%) | 0 (0%) | 1 (3%) |
| V82I | 1 (4%) | 0 (0%) | 1 (3%) |
| V77I | 0 (0%) | 1 (20%) | 1 (3%) |
|             | (n=27)          | (n=6)     | (n=33) |
| **Major INSTI resistance mutations** | | | |
| Any major INSTI resistance mutations | 0 (0%) | 0 (0%) | 0 (0%) |
| Any INSTI mutations for Drug Resistance Surveillance | 0 (0%) | 0 (0%) | 0 (0%) |
| **Other INSTI resistance-associated mutations** | | | |
| L74I/M | 6 (22%) | 1 (17%) | 7 (21%) |
| E157Q | 3 (11%) | 0 (0%) | 3 (9%) |
| T97A | 2 (7%) | 0 (0%) | 2 (6%) |
| D235N | 1 (4%) | 0 (0%) | 1 (3%) |

1. Major mutations are classified as defined by the 2019 edition of the IAS-USA drug resistance mutations list.
2. Mutations for drug resistance surveillance are classified as defined by WHO list of mutations for surveillance of transmitted drug resistant HIV strains.

(13/28, 46%) mutation to NNRTI. Other NNRTI mutations detected included G190A/S, P225H, Y181C, V106A, K101E, V108I, H221Y, E138A, Y188C/L, and M230L. No statistical difference was detected when association of major DR mutations with the different ART regimen and duration on ART were computed.

Among the six ART naïve patient RT sequences, major DR mutations were detected in two patients. Both patients possessed M184V/I, the only major NRTI resistance mutation detected in ART naïve sequences. For major NNRTI mutations, one patient possessed only K103N while the other possessed K103N in addition to V108I, Y181C, G190A, and E138A mutations.

A profile of inferred levels of resistance to commonly used antiretrovirals is presented in Figure 2A. Seventy-five percent (21/28) of ART-experienced individuals showed high-level resistance to at least one antiretroviral drug. We detected multiclass resistance in 16 (57%) of ART-experienced individuals. For NRTI, high-level resistance to Lamivudine, Emtricitabine, Didanosine, Abacavir, Stavudine, Abacavir, and Tenofovir disoproxil fumarate was detected in 15 (54%), 15(54%), 5(18%), 4(14%), 2(7%), 1(4%), and 1(4%) patients, respectively. Also, for NNRTI, high-level resistance to Nevirapine, Efavirenz, Doravirine, Rilpivirine, and Etiravirine was detected in 21(75%), 20(71%), 9(32%), 9(32%) and 1(4%) patients, respectively. Multiclass resistance was also observed in the two ART naïve patients with one of them showing high-level resistance to all NNRTIs.

**Discussion**

Increasing rates of HIV drug-resistance has impeded the progress of ART in PLWH, particularly those from Africa. As of 2018, viral suppression was achieved in 66% of PLWH receiving ART in Ghana (UNAIDS, 2019). Likewise, in our study, we recruited participants between September and October 2017 and observed that 60% (50/84) of participants receiving ART had achieved viral suppression. This was still below the expected target of 90% by 2020 (UNAIDS, 2014) and leaves much to be desired. Interestingly, the virologic failure cases had been receiving first-line regimen ART for average period of 65 months (standard deviation ±43). These cases would have been detected and given the needed attention if viral load testing was routinely performed as recommended in the national ART guidelines [Ghana Health Service (GHS), National AIDS/STI Control Programme, 2016]. However, logistic constraints such as frequent breakdown of viral load equipment and sporadic shortage of reagents have hindered the full implementation of the guidelines nationwide (UNAIDS, 2019) and resulted in deficiencies in the effectiveness of ART program as observed in our study.

In Western and Central Africa, CRF02_AG has been the predominant HIV-1 subtype (Hemelaar et al., 2019). Consistent with previous studies (Delgado et al., 2008; Nii-Trebi et al., 2013, 2017; Martin-Odoom et al., 2018; Villa et al., 2018; Deletsu et al., 2020; Obeng et al., 2020), we report CRF02_AG as the predominant (70%) HIV-1 subtype in this study population from Ghana.

We report a high frequency of major mutations associated with resistance to NRTI (57%) and NNRTI (75%) in PLWH receiving ART. The pattern and frequency of the most common
mutations, such as M184V/I, K103N, T215F/Y, G190A/S, P225H, Y181C, and V106A were consistent with reports from Ghana and other sub-Saharan African countries (Hamers et al., 2012; Nii-Trebi et al., 2013; Martin-Odoom et al., 2018; Villa et al., 2018; World Health Organization, 2019; Deletsu et al., 2020). The non-polymorphic mutation K103N is selected in patients receiving Nevirapine or Efavirenz and reduces susceptibility to these drugs (Melikian et al., 2014). Likewise, M184V/I is selected by Lamivudine/Emtricitabine and reduces susceptibility to these drugs (Whitcomb et al., 2003; Melikian et al., 2012; Quercia et al., 2018). The high occurrence of M184V/I and K103N in our patients is not surprising since all ART-experienced participants were receiving regimens containing Lamivudine and Efavirenz or Nevirapine (Table 1). As expected, the high prevalence of M184V/I resulted in occurrences of high resistance to Lamivudine and Emtricitabine,
while most of the patients were susceptible to Zidovudine and Tenofovir disoproxil fumarate (Figure 2A). This is a well-known phenomenon in virus isolates harboring M184V/I mutation (Quercia et al., 2018). Thymidine analogue mutations (TAMs) including M41L, D67N, K70R, L210W, T215Y/F, and K219Q/E are known to confer reduced susceptibility to most approved NRTI (Whitcomb et al., 2003). In this study, the rate of TAMs was 29% (8/28). The most common TAM detected was T215F/Y, detected in 18% (5/28) patients. Other TAMs detected include M41L, D67N, K70R, and K219Q/E. Consistent with a previous study reporting higher prevalence of TAMs in individuals on long-term ART (>36 months) from Ghana (Nii-Trebi et al., 2013), all the TAMs detected in this study were in individuals receiving ART for a minimum of 40 months. TAMs are reported to be common in individuals failing tenofovir disoproxil fumarate-based regimens in sub-Saharan Africa (Gregson et al., 2017; Henerico et al., 2022). Likewise, in this study, six out of the eight individuals with TAMs were on tenofovir disoproxil fumarate-based regimen. Further, we observed that CD4 cell count was significantly lower (122 ± 72, mean ± SD) in individuals with TAM compared to non-TAM individuals (356 ± 387, mean ± SD). This is also consistent with reports from sub-Saharan African settings where individuals with previous exposure and drug resistance to thymidine analogues were at greater risk of clinical complication due to lower CD4 counts (Gregson et al., 2017). No correlation was detected between ART duration and frequency of DR mutations in this study. Although it is possible that even PI-naïve or INSTI-naïve patients can possess PI or INSTI resistance-associated mutations as a transmitted drug resistance, we did not detect any such mutations in the current study.

The preferred first-line regimen in Ghana during the study period was Tenofovir disoproxil fumarate + Lamivudine + Efavirenz [Ghana Health Service (GHS), National AIDS/STI Control Programme, 2016]. Thus, majority (56%) of ART-experienced participants were on Tenofovir + Lamivudine + Efavirenz regimen (Table 1). Other NRTIs used were zidovudine and lamivudine. While Efavirenz and Nevirapine were the NRTI used by participants. Prevalence of resistance to Nevirapine (75%) and Efavirenz (71%) were the highest detected in this study. Further, 50% (14/28) of patient samples analyzed showed high-level resistance to multiple drugs, including Emtricitabine, lamivudine, Efavirenz, and Nevirapine. The current WHO guidelines for antiretroviral therapy recommend a first-line regimen consisting of dolutegravir with two NRTIs for all PLWH including adolescents, infants, and children (World Health Organization, 2021a). Despite the high level of M184V/I in this study, all sequences were susceptible to dolutegravir (Figure 2A); an indication that switching to dolutegravir-based regimens in Ghana would be beneficial. Dolutegravir-based regimens have been reported to be effective at maintaining viral suppression in treatment experienced PLWH carrying M184V/I (Ndashimye and Arts, 2021). Further, we show that 16 out of 28 (57%), ART-experienced participants had Genotypic Susceptibility Score (GSS) ≤1 and were highly resistant to at least two drugs prescribed for their treatment (Figure 2B). Prevalence of pretreatment/transmitted drug resistance in Ghana is relatively low (Nii-Trebi et al., 2013; Martin-Odoom et al., 2018; Deletsu et al., 2020; Obeng et al., 2020). However, in this study, two out of six sequences from ART naïve participants possessed DR mutations that conferred multiclass resistance. This indicates an increasing rate of pretreatment/transmitted drug resistance and raises concern. One ART naïve participant showed high-level resistance to all common NNRTI drugs. However, there were no TAMs detected in these two ART naïve sequences with DR mutations.

Our study is limited by our inability to ascertain the absence of previous ART usage in the patients we classified as ART naïve. Since undisclosed antiretroviral use in individuals presenting as treatment naïve is common in Africa (Fogel et al., 2013), we may have classified some of these patients wrongly. On the other hand, the mutations observed in these ART-naïve patients could actually be due to the transmission of resistant strains within the population. Thus, calling for active surveillance of resistant strains among patients at the point of treatment initiation. Also, information on treatment interruptions/adherence was unavailable for participants, so we are unable to assess the impact of these on the resistance profiles observed.

Nonetheless, this study reports high-level resistance to NNRTI-based first-line regimens in Ghana in 2017 and provides evidence of the effectiveness of PI and INSTI in Ghana since no major DR mutations were detected against these drugs. The WHO currently recommends using dolutegravir in combination with an NRTI backbone as first-line regimen as well as second-line regimen for PLWH failing non-dolutegravir based regimen (World Health Organization, 2021a). This is yet to be extensively implemented in Ghana. Our data show that implementing this recommendation would most probably be the game changer in Ghana. Also, it is important to overcome the logistics challenges and implement routine viral load monitoring nationwide.

Data availability statement

The data presented in the study are deposited in the GenBank repository (https://www.ncbi.nlm.nih.gov/genbank/), accession number ON863360-ON863428.

Ethics statement

Ethical approval for this study was obtained from the Institutional Review Board of Noguchi Memorial Institute for Medical Research (NMIMR)-Ghana (approval number: 096/16-1; dated on May 3, 2017), and the Ethical Committee of National Institute of Infectious Diseases (NIID)-Japan (approval number: 685; dated on June 16, 2016). All study participants provided written informed consent to participate in this study.
Author contributions

PP, EB, TK, MN, TMi, KI, WA, and TMa conceived and designed the experiments. GA-T, MA, SO, CA, DK, TA, and TMi contributed to demographic data and sample collection. PP, TA, CA, DK, and NN performed the experiments. PP, LR, and TK analyzed the data. PP, TK, and EB contributed to drafting the manuscript. HK, WA, and TMa critically reviewed and edited the manuscript and secured funding for this study. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmicb.2022.973771/full#supplementary-material

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