Quantum criticality associated with dimensional crossover in the iso-electronic series Ca$_{2-x}$Sr$_x$RuO$_4$

Swagata Acharya, Dibyendu Dey, T Maitra and A Taraphder

1 Department of Physics, King’s College London, London WC2R 2LS, United Kingdom
2 Department of Physics, Indian Institute of Technology, Kharagpur, Kharagpur 721302, India
3 Department of Physics, Indian Institute of Technology, Roorkee, Roorkee 247667, India
4 Centre for Theoretical Studies, Indian Institute of Technology Kharagpur, Kharagpur 721302, India
5 The author to whom any correspondence should be addressed

E-mail: swagata.acharya@kcl.ac.uk, dibyendu@phy.iiitkgp.ernet.in, tulimfph@iitr.ac.in and arghya@phy.iiitkgp.ernet.in

Keywords: electronic structure, dimensional crossover, quantum critical scaling, Ruthenates

Abstract

The iso-electronic series, Ca$_{2-x}$Sr$_x$RuO$_4$, is studied within the GGA (and spin-orbit coupled GGA) plus DMFT formalism using the hybridization expansion of continuous time Quantum Monte Carlo (CT-QMC) impurity solver. GGA+DMFT, along with CT-QMC impurity solver we used, provides insights into the retarded electronic correlations at finite temperatures. We use GGA+U and energy considerations at T = 0 for complementary understanding of the ground state structural and electronic properties. While the dynamical correlations make Sr$_x$RuO$_4$ a Hund’s metal, they drive Ca$_2$RuO$_4$ to a Mott insulating ground state. We study the single-particle and two-particle responses at three different points (x = 2.0, 0.5, 0.0) to understand the anomalous cross-over from Hund’s metal (x = 2.0) to a Mott insulator (x = 0) and observe that a structural distortion is likely to be responsible. Further, dynamical correlations reveal that the band-width (W) of the Hund’s metal is larger than its effective local Hubbard U, and a finite Hund’s coupling J$_H$ helps it remain in a bad metallic and nearly spin-frozen state over a large temperature range. Ca$_2$RuO$_4$, though, is driven to the proximity of a Mott transition by the narrowing of band width (U/W > 1.5). We show that there is a critical end point of second-order structural transition at x = 0.5, where spin fluctuations become critical and follow the scaling of local quantum criticality. We argue that this critical end point of quasi-3D nature is associated with an effective dimensional cross-over from the quasi-2D structures of x = 2.0 and x = 0.0 end-members. Finally we draw an electronic and magnetic phase diagram in T-x plane with these novel inputs, with a fan like region starting from the quantum critical end point at x = 0.5.

1. Introduction

Partially filled d- and f-electron systems are usually correlated electronic materials and the proximity of a Mott transition makes some of these materials rather interesting [1, 2]. Mott metal-insulator (MIT) transition, heavy fermion behaviour, unconventional high-Tc superconductivity, colossal magnetoresistance are some of the dramatic phenomena arising, solely or partly, due to strong local correlations. They can fall in either of the effective single or multi-orbital (MO) framework depending on the active orbitals at the Fermi level. There are, however, MO systems such as Ruthenates [3, 4], iron pnictides [5, 6] and chalcogenides [7] which are strongly correlated metals not at the border of a Mott insulating phase. The role of Hund’s coupling [8–12] in single and two-particle responses in many such MO materials have now been extensively investigated. The Hund’s coupling leads to an exponential suppression of the coherence scale of a MO metal and leads to a large spin-frozen non Fermi-liquid phase. Hund’s coupling has profound and distinct effects on spin, orbital and charge degrees of freedom. Nearly degenerate d-orbital systems away from half-filling are driven away from the Mott transition as the Hund’s coupling prevents opening of a dynamical charge gap. These disparate, double-faced
nature of Hund’s coupling in controlling the properties of a correlated metal earned considerable recent interest [13, 14].

Ruthenates appear to be tailor-made for investigating the role of Hund’s coupling at and away from half-filling. Being 4d-materials, the electrons are less localized than their 3d-counterparts and are not as strongly correlated; they are relatively far from the Mottness. The symmetry of the Ru $t_{2g}$ orbitals favors a large hybridization with O p orbitals and leads to a large splitting between the $t_{2g}$ and $e_g$ orbitals. This, in turn, populates the 3 $t_{2g}$ orbitals with 4 electrons and leads to a lower spin state than that of isolectronic Manganites (with 3d valence states). An extensive study on the isoelectronic Ruthenates, CaRuO$_3$, SrRuO$_3$ [15–18] and BaRuO$_3$ [19, 20] (Ru$^{4+}$, 4d$^5$ electron configuration), reveals that all of them can be regarded as prototypical examples of Hund’s metals with nearly spin-frozen state. The role of Van Hove singularity and $J_{H}$ have been extensively studied [19] in these compounds to rationalize their electronic and magnetic properties. Reasonable values of $U$ and $J$ have been gleaned [16, 19, 20] on these materials from experimental single and two-particle features. The ground states and the finite temperature non-Fermi-liquid states are described within three-orbital DFT+DMFT framework with such values of $U$ and $J_{H}$. Large mass enhancement factors [16], substantial increment in the linear specific heat coefficient $\gamma$ and absence of a Mott insulating phase are common to all these three materials. The difference between them, however, involves the nature of magnetic ground states and the Ru-O-Ru bond-angles. The smaller Ca ion leads to a larger rhombohedral distortion (Ru-O-Ru bond-angles is 150°) of the lattice than that of the rhombohedral GdFeO$_3$ structure of SrRuO$_3$ (Ru-O-Ru bond-angles is 163°). The bandwidth of CaRuO$_3$ reduces substantially and the density of states at the Fermi level diminishes leading to a (non-ferromagnetic) magnetic ground state unlike SrRuO$_3$. On the other hand BaRuO$_3$ has no GdFeO$_3$ distortions with Ru-O-Ru bond-angle 180° and is perfectly cubic.

This scenario, however, changes significantly for 4d$^4$ (Ru$^{4+}$) Ruthenates, Sr$_2$RuO$_4$ and Ca$_2$RuO$_4$. Sr$_2$RuO$_4$ is a p-wave superconductor (though the symmetry may change under strain [21]) below 1.5 K and a Ferri-liquid metal at low temperatures, between 1.5 K and 25 K. The isoelectronic member at the other end of the series, Ca$_2$RuO$_4$, is a Mott insulator with an antiferromagnetic (AFM) ground state for $T < 113$ K, a paramagnet state for $T < 356$ K [22] and a bad metal above 356 K [23] all the way up to about 1300 K. Recent studies on Sr$_2$RuO$_4$ have established that it is a non-Fermi-liquid above 25 K, with Curie-Weiss susceptibility, and can be explained satisfactorily within Hund’s metal framework with $U = 2.3$ eV and $J = 0.4$ eV [24]. The interplay of SOC and Hund’s correlations [25, 26] govern most of its properties. While the Hund’s metal picture of this compound seems well settled, it or may not be entirely true in case of Ca$_2$RuO$_4$ [27]. A recent experimental work finds signatures of Hund’s coupling in the Mott insulator Ca$_2$RuO$_4$ [28]. The values of $U$ and $J$ for this compound need to be settled within a DFT + DMFT analysis by putting the single and two-particle responses to test against the experimental findings. At the same time it is interesting to investigate why, having all the required features of being a tailor-made Hund’s metal (finite and large $J$, not half-filled), the system chooses to become a correlated (Mott) insulator instead. One relevant question is, whether the smaller Ca ions lead to a larger distortion of the octahedra. Existing literature suggests that the strong distortion of RuO$_6$ octahedra, associated with rotation, tilting and flattening respectively, drives the ferro- or anti-ferro-magnetic [29] nature of the ground states of Ca$_2$-Sr$_2$RuO$_4$ [30] and stabilizes them, while in case of CaRuO$_3$ the distortion is comparatively less because there is only one Ca ion in the unit cell, instead of two for Ca$_2$RuO$_4$.

This ‘large distortion’ argument immediately raises questions: is there an effective dimensional cross-over across the series as one starts replacing Sr by Ca? As we move across the series ($x = 2$ to $x = 0$), is some kind of a quantum critical point encountered? How do the local spin fluctuations evolve across the series? Are they critical at any $x$? Keeping these questions in mind, we would like to focus on the recent magnetic phase diagram for the series [31]. Our aim is to verify and update the magnetic and electronic phase diagram from our theoretical analysis, systematically analyzing the structural distortion, dimensional crossover, spin fluctuations and possible local quantum criticality.

2. Methods

Although a substantial number of experiments to probe charge, spin and orbital sectors across the phase diagram has been carried out, systematic theoretical studies are lacking. We perform a first-principles calculations (GGA and spin-orbit (SO) coupled GGA) followed by dynamical mean field theory, using state-of-the-art hybridization expansion of ‘exact’ continuous time quantum Monte-Carlo (CT-QMC) impurity solver [32, 33] as implemented in ALPS [34]. We probe both single- and two-particle local static and dynamic responses for this series. We first perform ab-initio density functional theory calculations within GGA and GGA + SO for Sr$_2$RuO$_4$ using the full potential linearized augmented plane-wave (FP-LAPW) method as implemented in the WIEN2k code [35]. DFT calculations are performed using experimental structural parameters [22, 36, 37]. At the outset we discuss the results from only GGA calculations (without SO). We
perform Wannierization of the Wien2k output bands around the Fermi level via interface programs like Wannier90 [38], WIEN2Wannier [39]. This, in turn, gives us the Wannier orbitals around the Fermi level which serve as inputs to the DMFT self-consistency calculation. Similar procedure is followed subsequently for the calculations of Ca1.5Sr0.5RuO4 and Ca2RuO4. However, SO-coupled GGA has not been performed for these two compounds as discussed later. From the first-principles calculations, we would also try to address the role of van Hove singularities, effective band widths (of itinerant bands crossing the Fermi level), and Ru-4d – t2g-O-2p hybridization in tuning the local electronic and magnetic properties of these series.

We then rationalize our choices of U and J for different x, and the role of local correlations in modifying the low energy single- and two-particle responses, using DMFT. The double counting term is $E_{dc} = U(n - 1/2) - J(n/2 - 1/2)$, where n is the total occupation of the Wannier projected Ru – d orbitals. Some standard choices of CT-QMC parameters that ensure proper convergence of data are: total number of Monte Carlo steps per core 100000000, warmup number of QMC steps 5000000, and the global flip 200000. However, apart from these, the convergence criteria imposed on the occupancy is $10^{-6}$. The convergence in occupancy ensures that the dynamic quantities like hybridization, local single particle Green’s function and self energies also converge properly. At the end we also check that the chemical potential converges within $10^{-6}$ precision after several (10–15) DMFT iterations.

3. Results and Discussion

3.1. Sr2RuO4: DFT+DMFT

3.1.1. DFT (GGA) bands and Wannier fit

The delocalized Ru 4d-orbitals and a large Ru atomic weight (Z = 44) make SO coupling relevant in these materials (as the SO coupling strength $\sim Z^2$). Whether a $p$-wave triplet instability, derived from a momentum-dependent SO coupling, drives a pairing instability in the material at low temperatures has been debated over last two decades [3, 40–42]. We are, however, interested in probing the ‘normal state’; in particular, the role of SO coupling on single- and two-particle dynamical responses down to low temperatures (we could reach 19 K), well inside the normal phase. We discuss below the results we obtained from DFT + DMFT calculations on Sr2RuO4 without and with SO coupling. The first-principles calculations within GGA and subsequent Wannier fit lead us to 3 active orbitals at the Fermi level (figure 1(a)). These orbitals are primarily derived from Ru4d states and have reasonably large hybridization with the O p states at the Fermi level. The hybridization of the Ru-4d and apical Oxygenes are predominantly between Ru-4d $d_{xy}$, $d_{xz}$ and O-2p $p_x$, $p_y$ states. The $p_x$, $p_y$ orbitals of the Oxygenes in the RuO2 plane hybridize with the Ru $d_{xy}$ and $d_{xz}$ orbitals respectively. The latter kind of hybridization is more favorable, and is reflected in the energy range of 300 meV about the Fermi energy, while the earlier one is relevant away from the Fermi level ($\sim 1$ eV). These hybridizations lead to dispersive electrons in the RuO8 octahedral geometry. We perform Wannier fits to these three bands (of mixed O-2p – Ru-4d character). In figure 1(a) the character of the Wannier bands are shown. The three figures, figures 1(b), (c) and (d), respectively, bring out the $d_{xy}$, $d_{xz}$ and $d_{yz}$ contributions to the bands crossing the Fermi level. Although the original bands are now rotated and mixed, as is apparent in figure 1, the band characterization allows us to refer to them as nominally $d_{xy}$, $d_{xz}$, $d_{yz}$ respectively. Subsequently we take these Wannier bands as input of our DMFT calculations and refer to them as such for the rest of the discussion. We choose a value $U = 2.30$ eV and $J_{HF} = 0.40$ eV from the literature [24] for Sr2RuO4. The choice is motivated by the fact that it predicts the experimentally derived values of local moment and quasi-particle weight over a wide range of temperature quite well. We start by showing the crystal structure of Sr2RuO4 with (space-group 14/mmm) tetragonal structure ($a = 3.860$ 6 Å$^0$ and $c = 12.706$ 58 Å$^0$) in figure 2(a).

3.1.2. CT-QMC+DMFT: Single-particle Green’s function and self energy, two-particle spin susceptibility

Imaginary parts of the single-particle self-energy, Im$\Sigma(i\omega)$ (figure 2(b)), and the Green’s function, Im$G(i\omega)$, are plotted for temperatures in a range between 116 K to 19 K for individual orbitals. The intercept of Im$\Sigma(i\omega)$ at $\omega = 0$ and hence, the scattering rate reduces monotonically (figure 2(c)) and approaches zero with lowering temperatures. Im$G(i\omega)$ also becomes more coherent as the temperature is lowered: the systematic retrieval of coherence is evident from Im$\Sigma(i\omega)$, and Im$G(i\omega)$. We fit Im$\Sigma(i\omega)$ to a fourth order polynomial in $i\omega$. The mass enhancement, related to the coefficient $s_1$ of the linear term in the expansion $m^*/m = 1 + s_1$ [43, 44], increases with lowering of temperature (figure 2(c)). It can be seen that the $xy$ orbital has a larger mass enhancement than the $xz$ and $yz$ orbitals [13, 16], and concomitantly, the magnitude of the intercept of Im$\Sigma(i\omega)$ at $\omega = 0$ for xy orbital is larger than that for the other two (figure 2(c)). The $m^*/m$ for $d_{xy}$ is 5.8 and for $d_{xz}$, $d_{yz}$ 4.5, in excellent agreement with experiments and earlier theoretical studies.

To unravel the nature of spin fluctuations, we apply a small uniform field ($h$) and measure the magnetization (M) over a range of temperatures. We plot the inverse of the uniform spin susceptibility ($\chi^{-1} = h/M$) as a
function of temperature between 290 K and 29 K and extract the critical temperature (Tc) for the magnetic transition (from \( \chi^{-1} \sim T - T_c \), figure 2(d)). For Sr2RuO4, \( T_c \) is \(-16.6 \) K, indicating pre-dominant anti-ferromagnetic spin fluctuations. On the other hand, the non-Fermi-liquid to Fermi-liquid cross-over around 25 K, observed in experiments, cannot be demonstrated cleanly from these results. We switch over to static and dynamic spin susceptibilities to glean whether the incoherence to coherence cross-over is reflected in the two-particle sector: it often happens that a low-energy single-particle description is not adequate to trace such cross-over scales associated with multi-particle dynamics [45, 46]. The local dynamic spin susceptibilities \( \chi(\tau) \) [47, 48] have been plotted against \( \tau \) (Matsubara time) for a large range of temperature (figure 2(e)). We also calculate the time-integrated local spin susceptibility \( \chi(T) \) (figure 2(f)). \( \chi(T) \) below \( \sim 29 \) K seems to have a zero intercept at \( \tau = \beta/2 \) and a \( \tau^2 \) behavior around \( \tau = \beta/2 \), while for higher temperatures the intercept is finite and large and increases with a rise in temperature. \( \chi(T) \) also deviates from a low-energy \( \tau^2 \) behavior with increasing temperatures. To add to that, \( \chi(T) \) clearly shows a deviation from its high temperature Curie-Weiss behaviour at \( \sim 41 \) K (figure 2(f)). Although the low temperature behavior (as shown in inset of figure 2(f)) of \( \chi(T) \) below 41 K is not Pauli-like (indicating the spins are not quenched completely below this temperature), the strong deviation from Curie-Weiss behavior is a signature of the emergence of a low temperature coherence scale.

At this point we do further calculations by incorporating SO coupling to elucidate the presence of any such crossover scale. From GGA+SO calculations we find that the orbital degeneracies are lifted at different \( k \)-points across the Brillouin zone by different amounts (not shown here). The maximum value of SO splitting is \( \sim 130 \) meV while it is negligible at some symmetry points. The effect of SO, however, can hardly be realized by looking at the momentum-integrated density of states of SrRuO4. We find lesser hybridization between the apical Oxygens and Ru 4d \( t_{2g} \) orbitals. We identify the bands active at the Fermi level and perform the Wannierization. Similar to our previous calculations, the Wannier fit orbitals have predominant contributions from Ru-\( d_{xy}, d_{xz}, d_{yz} \) orbitals, however, now we have 6 spin-orbitals instead of three orbitals with spin degeneracy.

### 3.1.3. In-plane and out-of-plane hopping

The values of real space hopping, gleaned from Wannierization, are also suggestive. The relative magnitudes of the in-plane and out-of-plane hopping elements provide insight into the effective dimensionality of the material. The \( c/a \) ratio for Sr2RuO4 is 3.294 and the in-plane hopping elements of the itinerant electrons are nearly an order of magnitude larger than their out of plane counterparts (figure 3(a)). The system is quasi-2D in this case,
The behavior at low energies, below 23 K. The crosses over from a high temperature linear
exponent 2.12
the intercept of Im\(\chi_0\) over a range of temperatures show retrieval of coherence at lower
temperatures. However, the Fermi surface provides more insight into the low energy excitations of the
Hence, the scenario with SO is distinctly different from the scenario without SO. With SO included, both the
Curie-Weiss behavior precisely below the same scale of
The Im\(\chi_0\) and Im\(\chi_0\) for three orbitals (\(d_{xz}, d_{yz}, d_{xy}\)) over a range of temperatures show retrieval of coherence at lower
and non-monotonic across 40 K. The \(m'/m\) is, in turn, found to increase sharply across it (figure 3(c)). We find
that the scattering rate \(\Gamma\) (as can be derived from the intercept of the -Im \(\chi_0\)) over a range of temperatures show the tendency towards retrieval of a low temperature Fermi-liquid phase. (i)
\(\chi^{-1}(T)\) as a function of temperature shows the low temperature Fermi-liquid phase sets in at \(\sim 41\ K\), where the nature of the
susceptibility deviates from Curie-Weiss behavior. The low temperature features of the spin susceptibility in inset is zoomed in to show the temperature scale at which this deviation takes place.

Figure 2. (a) Crystal structure of Sr\(_2\)RuO\(_4\) with (space-group 14/mmm) tetragonal structure (\(a = 3.860\ \text{Å}\) and \(c = 12.706\ \text{Å}\)).
(b) The Im\(\Sigma(i\omega)\) and Im\(\Sigma(i\omega)\) for three orbitals (\(d_{xz}, d_{yz}, d_{xy}\)) over a range of temperatures show retrieval of coherence at lower
temperatures. (c) The intercepts of Im\(\chi_0\) at \(\omega = 0\) and the renormalized mass enhancement factors \(m'/m\) are shown for a large
range of temperatures (here \(m\) is bare mass at DFT level). (d) The inverse of the uniform spin susceptibility (\(\chi^{-1} = h/M\)) as a function of temperature is plotted between 290 K and 29 K. The critical temperature \(T_c\) for the magnetic transition for Sr\(_2\)RuO\(_4\) \(T_c\) is found from a Curie-Weiss fit to be \(\sim 16.6\ K\), indicating pre-dominant anti-ferromagnetic spin fluctuations. (e) The dynamic local spin susceptibilities (\(\chi_{fi}(\omega)\)) over a range of temperatures show the tendency towards retrieval of a low temperature Fermi-liquid phase. (f) the intercepts of Im\(\chi_0\) and Im\(\Sigma(i\omega)\) can be found to have sharp change of slope below \(\sim 16.6\ K\), indicating pre-dominant anti-ferromagnetic spin fluctuations.

Figure 3. (b) The Im\(\Sigma(i\omega)\) and Im\(\Sigma(i\omega)\) for three orbitals (\(d_{xz}, d_{yz}, d_{xy}\)) over a range of temperatures show retrieval of coherence at lower
temperatures. (c) The intercepts of Im\(\chi_0\) at \(\omega = 0\) and the renormalized mass enhancement factors \(m'/m\) are shown for a large
range of temperatures (here \(m\) is bare mass at DFT level). (d) The inverse of the uniform spin susceptibility (\(\chi^{-1} = h/M\)) as a function of temperature is plotted between 290 K and 29 K. The critical temperature \(T_c\) for the magnetic transition for Sr\(_2\)RuO\(_4\) \(T_c\) is found from a Curie-Weiss fit to be \(\sim 16.6\ K\), indicating pre-dominant anti-ferromagnetic spin fluctuations. (e) The dynamic local spin susceptibilities (\(\chi_{fi}(\omega)\)) over a range of temperatures show the tendency towards retrieval of a low temperature Fermi-liquid phase. (f) the intercepts of Im\(\chi_0\) and Im\(\Sigma(i\omega)\) can be found to have sharp change of slope below \(\sim 16.6\ K\), indicating pre-dominant anti-ferromagnetic spin fluctuations.

supported also by a large resistivity anisotropy ratio [49, 50] (\(\rho_{c}/\rho_{ab} \sim 200\) ) and lesser hybridization between the
apical Oxygens and Ru\(_{d\parallel}\) \(_t_{2g}\) orbitals.

3.1.4. CT-QMC+DMFT (with SO): Single-particle Green’s function and self energy, two-particle spin susceptibility
We perform our DMFT calculations using these SO-coupled orbitals. The DMFT self-energies (figure 3(b)) have similar qualitative features as in without-SO calculation, though the details are very different now. Here again the intercept of Im\(\Sigma(i\omega)\) (figure 3(c)) at \(\omega = 0\) decreases with increasing temperature, but the drop is sharper
and non-monotonic across 40 K. The \(m'/m\) is, in turn, found to increase sharply across it (figure 3(c)). We find
that the scattering rate \(\Gamma\) (as can be derived from the intercept of the -Im \(\Sigma(i\omega)\), \(\Gamma = -2\text{Im}\Sigma(\omega = 0)\)) crosses over from a high temperature linear (fitted exponent 1.02) to a lower temperature quadratic (fitted exponent 2.12) in temperature behavior in figure 3(d). This clearly marks the advent of a FL like phase at lower
temperatures. Both Im\(\Sigma(i\omega)\) and Im\(\Sigma(i\omega)\) can be found to have sharp change of slope below \(\omega = 0.03\ eV\) (figure 3(b)), marking the emergence of of coherence at lower temperatures. At this point we look at the two-particle responses. The \(\chi_{fi}(\omega)\) (figure 3(e)) shows Fermi-liquid features, with \(\tau = 0.62\) intercept \(\sim 0\) and \(\tau^2\)
behavior at low energies, below 23 K. The \(\chi_{fi}(T)\) (figure 3(f)) seems to be deviating from the high temperature Curie-Weiss behavior precisely below the same scale of \(T\), marking the emergence of coherence in the system. Hence, the scenario with SO is distinctly different from the scenario without SO. With SO included, both the single and two-particle static and dynamic responses register the emergence of coherence quite consistently at lower
temperatures. However, the Fermi surface provides more insight into the low energy excitations of the
material. We find (figure 4) that \(d_{xz}\) and \(d_{yz}\) Fermi surfaces are effectively one dimensional and \(d_{xy}\) Fermi surface is two dimensional, making the whole system quasi 2D which is in consistency with our estimation of Wannier hopping parameters. The replacement of Sr by a smaller cation Ca suggests the possibility of a dimensional cross-over, and such a cross-over could encounter a structural or magnetic critical point.
3.2. Ca$_{1.5}$Sr$_{0.5}$RuO$_4$: DFT + DMFT

3.2.1. DFT (GGA) bands and Wannier fit

Though the crystal symmetry remains tetragonal (figure 5), RuO$_6$ octahedron is distorted considerably as Ca cations replace Sr. The distortion takes place in steps [51, 52]: first occurs a rotation of the octahedra about the c axis and then a tilt of the octahedra, followed finally by a flattening of it. Ca$_{1.5}$Sr$_{0.5}$RuO$_4$ undergoes the first kind of distortion, starting from a nearly clean undistorted RuO$_6$ octahedra in Sr$_2$RuO$_4$. The GGA calculations allow us to glean the primary changes in its band structure thereon as shown in figure 6. The Ru-4d bands get significantly narrowed in this case compared to Sr$_2$RuO$_4$. Further, the distortions present in the RuO$_6$ octahedra cause a small admixture of $d_{yz}$ and $d_{xz}$-derived characters in the DOS around the Fermi level which are otherwise primarily of $d_{xy}$, $d_{yz}$, and $d_{xz}$ characters (known as $t_{2g}$ states). The $d_{xy}$ orbital is the majority contributor to DOS, with a sharp Van-Hove like feature within an energy range of 50 meV of the Fermi level. The $d_{yz}$ and $d_{xz}$ orbitals are secondary contributors. While $p_x$ and $p_z$ orbitals of in-plane (RuO$_2$ plane) Oxygens hybridize strongly with the Ru 4d at the Fermi level, $p_x$ and $p_y$ orbitals of apical Oxygen hybridizes strongly with the Ru 4d nearly 700–800 meV away from the Fermi level. However, Wannierization and band characterization suggest that the Wannier fit bands can be identified (similar to Sr$_2$RuO$_4$) as predominantly $d_{xy}$, $d_{xz}$, $d_{yz}$-derived ones as shown in figure 6. The sharp Van-Hove feature close to the Fermi surface and the narrow band-width of the Ru d orbitals already place the material close to a ferromagnetic Stoner instability.

3.2.2. In-plane and out-of-plane hopping

We look at the in-plane and out-of-plane Wannier hopping amplitudes. The c/a ratio turns out to be 3.30 which is almost similar to Sr$_2$RuO$_4$. What is interesting is the ratio of the in-plane and out-of-plane hopping amplitudes. The out-of-plane hopping along the z direction is not suppressed at all: both in-plane and out-of-plane components (figure 7(a)) are nearly of the same order of magnitude. It would be relevant to reflect upon the lattice distortions at this point. As the Sr gets replaced by Ca the RuO$_6$ octahedron starts to rotate with an
angle $\phi$ about the $c$ axis and reaches a maximum of 12.78° at $x = 0.5$. However, the tilting ($\theta$) of RuO$_6$ around an axis parallel to the edge of octahedral basal plane remains 0 till this point. It is also noteworthy that the degree of flattening $\lambda$ along the $c$ axis remains almost unchanged between $x = 2.0$ and $x = 0.5$. The effective three
3.2.3. CT-QMC + DMFT: Single-particle Green’s function and self energy

We use these Wannier-fit (using the three $d_{xz}$, $d_{yz}$, $d_{xy}$ orbitals) bands as inputs for DMFT. The DMFT calculations are performed with $U = 3.0$ eV and $J_H = 0.6$ eV. The rationale behind these parameter values is again the same — the local static quantities are well-reproduced for a range of temperatures with these parameters. These numbers are larger than the corresponding numbers for Sr$_2$RuO$_4$, for the width of the bands crossing the Fermi level has decreased now (smaller Ca cations replacing bigger Sr). Im$\Sigma$($\omega$) and Im$G$($\omega$) are plotted as functions of $\omega$ in figure 7(b) and they show tendencies towards enhanced coherence as temperatures go down. However, unlike Sr$_2$RuO$_4$, the material here remains in-coherent down to the lowest temperatures probed. The intercept, shown in figure 7(c), of Im$\Sigma$($\omega$) at $\omega = 0$ is nearly independent of temperature and remains large and finite down to the lowest temperature studied. In the inset of figure 8 (upper panel) we show the scattering rate $\Gamma$ (as derived from the intercept of the -Im$\Sigma$($\omega$ = 0), $\Gamma = -$ZIm$\Sigma$($\omega$ = 0)) as a function of temperature between 58 K and 290 K. We find that the scattering rate has a thermal exponent 0.18, far from the quadratic Fermi liquid limit. Moreover, the extremely weakly temperature-dependent scattering rate suggests that the low energy scattering of the system is incoherent NFL-like; the temperature does not have significant effect on the nearly spin-frozen metallic state [20]. Although these results clearly show that the single-particle response of the system is not fully coherent, the identification of an NFL needs analysis of the two-particle responses. Further, the scaling features of local spin susceptibility will allow us to quantify the local moment fluctuations, important for identification of a local NFL.

3.2.4. Orbital selectivity and spin fluctuations

We analytically continue the single particle Green’s functions using maximum entropy method (MEM) [53]. The analytically continued, orbitally projected local density of states show that Ca$_{1.5}$Sr$_{0.5}$RuO$_4$ has orbital-selective quasi-particle response. The $d_{xz}$, $d_{yz}$ orbitals have well-defined low-energy quasiparticle spectra, while the $d_{xy}$ orbital has pseudogapped single-particle spectrum (figure 8(upper panel). The orbital-selective nature of the Im$\Sigma$($\omega$) can be gleaned from the fact that $d_{xy}$ orbital has a very small intercept at $\omega = 0$ compared to $d_{xz}$ and $d_{yz}$ orbitals, but the mass enhancement factors for the $d_{xz}$ and $d_{yz}$ orbitals steadily decrease with lower temperatures (figure 7(c)). The dependence is not as monotonic for $d_{xy}$ orbital. This can again be corroborated...
by the orbitally projected density of states: \(d_{xz}\) and \(d_{yz}\) orbitals have coherent features while the \(d_{xy}\) orbital is pseudo-gapped as shown in figure 8.

To glean the nature of spin fluctuations, we apply a small uniform field \(h\) and measure the magnetization \(M\) over a range of temperatures. We plot the inverse of the uniform spin susceptibility \(\chi_s^{-1}\) as a function of temperature and extract the critical temperature \(T_c\) for the magnetic transition from a Curie Law fit (figure 7(d)). For \(\text{Ca}_1.5\text{Sr}_{0.5}\text{RuO}_4\), it is positive 0.59 K. This number for \(T_c\) is weakly positive. What is, however, interesting is its increment with respect to two end points \(x = 0, 2.0\) (the value and the sign for \(T_c\) for \(x = 0\) is discussed later and at \(x = 2.0\) \(T_c\) is -16.6 K). And finally, \(\chi_s(T)\) (figure 7(f)) for this material, is more than an order of magnitude larger [30] than \(\chi_s(T)\) for \(\text{Sr}_2\text{RuO}_4\) in this temperature range. Moreover, as we already discussed, extremely weak temperature dependence of the scattering rate also suggests that the low energy excitations of the system are NFL-like, a nearly spin-frozen state. All these \(T_c > 0\), order of magnitude enhanced \(\chi_s\) and very weak weak temperature dependence of scattering rate, suggest that the system tries to stabilize a large local moment while it has ferromagnetic fluctuations. We note with interest that \(\chi_s(\tau)\) has different functional dependencies on \(\tau\) at high (between 600 K to 120 K) and low (below 120 K) temperatures. The high-temperature \(\chi_s(\tau)\) suggests that even at low energies the spin is completely unquenched — a partial quenching of spins begins below 120 K. Thereafter the spin quenching increases as the temperature is lowered. But \(\chi_s(\tau)\) in figure 7(f) suggests that the response remains Curie-Weiss-like down to the lowest temperatures accessed within our CT-QMC, indicating that local moment survives at the lowest temperatures.

3.2.5. Local critical temperature from a critical end-point
Few concrete observations may be made at this point. First, both \(\text{Im}G(\tau)/\text{Im}G(\beta/2)\) and \(\chi_s(\tau)/\chi_s(\beta/2)\) scale with \(\tau/\beta\) (figure 7(e)) which suggests that temperature is the only scale in this parameter regime. The scaling functional form is \(\sin(\pi\tau/\beta)^{\alpha-1}\) [54] with \(\alpha = 0.10\) and hence the criticality is strictly local. As the \(\chi_s(\tau)\) is analytically continued, using maximum entropy method (MEM) [53], we find that different curves for \(\text{Im}G(\tau)/\text{Im}G(\beta/2)\) and \(\chi_s(\tau)/\chi_s(\beta/2)\) scale with \(\tau/\beta\) (figure 7(e)) which suggests that temperature is the only scale in this parameter regime. The scaling functional form is \(\sin(\pi\tau/\beta)^{\alpha-1}\) [54] with \(\alpha = 0.10\) and hence the criticality is strictly local. As the \(\chi_s(\tau)\) is analytically continued, using maximum entropy method (MEM) [53], we find that different curves for \(\text{Im}G(\tau)/\text{Im}G(\beta/2)\) and \(\chi_s(\tau)/\chi_s(\beta/2)\) scale with \(\tau/\beta\) (figure 7(e)) which suggests that temperature is the only scale in this parameter regime. The scaling functional form is \(\sin(\pi\tau/\beta)^{\alpha-1}\) [54] with \(\alpha = 0.10\) and hence the criticality is strictly local. As the \(\chi_s(\tau)\) is analytically continued, using maximum entropy method (MEM) [53], we find that different curves for \(\text{Im}G(\tau)/\text{Im}G(\beta/2)\) and \(\chi_s(\tau)/\chi_s(\beta/2)\) scale with \(\tau/\beta\) (figure 7(e)) which suggests that temperature is the only scale in this parameter regime. The scaling functional form is \(\sin(\pi\tau/\beta)^{\alpha-1}\) [54] with \(\alpha = 0.10\) and hence the criticality is strictly local. As the \(\chi_s(\tau)\) is analytically continued, using maximum entropy method (MEM) [53], we find that different curves for \(\text{Im}G(\tau)/\text{Im}G(\beta/2)\) and \(\chi_s(\tau)/\chi_s(\beta/2)\) scale with \(\tau/\beta\) (figure 7(e)) which suggests that temperature is the only scale in this parameter regime.

Figure 7. (a) The in-plane \((t_{xy})\) and out of plane \((t_z)\) hopping elements for \(\text{Ca}_1.5\text{Sr}_{0.5}\text{RuO}_4\) extracted from real space Wannier hopping matrix showing the 3D electron itinerant nature. (b) The \(\text{Im}{\Sigma}(\omega)\) and \(\text{Im}G(\omega)\) for three orbitals \((d_{xy}, d_{xz}, d_{yz})\) are shown over a range of temperatures. (c) The inverse of \(\text{Im}{\Sigma}(\omega)\) at \(\omega = 0\) and the renormalized mass enhancement factors \(m^*/m\) shows orbital selective coherence for a large range of temperatures (m is bare mass at DFT level). (d) The inverse of uniform spin susceptibility \((h/\chi(\tau))\) as a function of temperature is shown with Curie-Weiss fitting \((\chi_0 \sim (T - T_\chi))\). The critical temperature \(T_c\) for the magnetic transition is positive 0.59 K. (e) The scaled dynamic spin susceptibilities \(\chi_\omega(\tau)/\chi_\omega(\beta)\) for a range of temperatures show perfect scaling collapse as functions of \(\tau/\beta\). The strong thermal scaling collapse is also evident from \(G(\tau)/G(\beta/2)\) as shown in inset. (f) The inverse of local static spin susceptibility \(\chi_s^{-1}(T)\) shows that the system has Curie-Weiss spin response down to the lowest accessible temperatures. The inset zooms in the low temperature response for \(\chi_s(T)\) confirming the same. Also the magnitude of the susceptibilities is substantially enhanced at this point.
hybridization scales are equally and apical

3.3. Ca$_2$RuO$_4$: DFT

fl
critical

As experimental evidences suggest [30, 61], Ca$_2$RuO$_4$ has an AFM Mott insulating state below 113 K. Between 356 K and 113 K it is a paramagnetic Mott insulator and above 356 K, a paramagnetic bad metal. The metal to Mott insulator transition at 356 K is associated with a structural transition from an $L$-Pbca (figure 10) to S-Pbca structure. We first do GGA calculations using $L$-Pbca structural inputs from experiments [22], perform

Figure 8. (Upper Panel) The analytically continued local orbitally projected DOS for Ca$_{1.5}$Sr$_{0.5}$RuO$_4$, clearly shows the quasiparticle excitation in $d_{xz}, d_{yz}$ channels, while the $d_{xy}$ channel is pseudogapped. In the inset we show the scattering rate $\Gamma$ (as derived from the intercept of the -Im$\Sigma$($\omega = 0$) as a function of temperature. We find that the scattering rate has a thermal exponent 0.18, which is far from the quadratic Fermi liquid limit. (Lower Panel) The local dynamic spin susceptibilities ($\chi_{\omega}(T)$) are analytically continued using maximum entropy method. On thermal scaling the curves (-Im$\chi_{\omega}(T)/\chi_{\omega}(T)$) over a range of temperature have perfect collapse on each other up to $\omega/ T \sim 1.0$. The local dynamic spin susceptibilities ($\chi_{\omega}(T)$) are analytically continued using maximum entropy method. On thermal scaling the curves (-Im$\chi_{\omega}(T)/\chi_{\omega}(T)$) over a range of temperature have perfect collapse on each other up to $\omega/ T \sim 1.0$.

$\chi_{\omega}(T)$ (figure 8(lower panel)) have perfect collapse on each other up to $\omega/ T \sim 1.0$ over a range of temperatures. This thermal scaling collapse on real frequencies further substantiates the suggestion that Ca$_{1.5}$Sr$_{0.5}$RuO$_4$ is in the proximity of a quantum critical point. These signatures indicate a strong quantum critical fluctuation at $x = 0.5$ at temperatures below our reach in CT-QMC. This could well be a critical end point ($T = 0, x = 0.5$), as there is no experimentally reported structural transition either suppressing or facilitating the critical ferromagnetic fluctuation at this point [37]. The orbital selective pseudogapped (figures 7(b), 8) [54] density of states, non-Fermi-liquid self-energy response, nearly spin-frozen scattering rate also support the quantum criticality scenario, which is shown to exist in different contexts [55–58] in the literature with similar single-particle responses. However, a recent report [31] suggests the presence of a very low temperature cluster glass phase at $x = 0.5$, which may obscure access to this point.

It is worth noting that $c/a$ ratio can not be the lone parameter deciding the effective dimensionality of a bulk single crystal. The distortion–induced changes in the Ru $d$ and apical O $p$ hybridization scales are equally important in determining the effective dimensionality. The Fermi surfaces (figure 9) for $x = 0.5$ clearly show that they have significant dispersion along the $z$ direction [59, 60] unlike the Fermi surfaces for Sr$_2$RuO$_4$ which was quasi-2D. This effective dimensionality, critical ferromagnetic spin fluctuations, finite temperature Curie-Weiss spin susceptibility, positive $\theta_c$, finite temperature scaling collapse of dynamic spin susceptibilities, and absence of any magnetic, orbital or charge ordering down to lowest temperatures indicate that $x = 0.5$ is critical.

This is again of great interest, as it is already reported in literature [37] that there is a $T - x$ line that separates the Ca$_{2-x}$Sr$_x$RuO$_4$ phase diagram in to two regions; one with two fold in-plane susceptibility-anisotropy (at lower $x$ below $x = 0.5$) [61] and the other region, $x > 0.5$, without any anisotropy. This suggests that there is reasonably compelling evidence that $x = 0.5$ could well be a quantum critical end point of a second-order structural transition. Having observed the criticality at $x = 0.5$, a moot question is: what would be the effect of the critical fluctuations at lower $x$? We investigate Ca$_2$RuO$_4$ now on these lines.

3.3.3. Ca$_2$RuO$_4$: DFT + DMFT

3.3.1. DFT (GGA) bands and Wannier fit

As experimental evidences suggest [30, 61], Ca$_2$RuO$_4$ has an AFM Mott insulating state below 113 K. Between 356 K and 113 K it is a paramagnetic Mott insulator and above 356 K, a paramagnetic bad metal. The metal to Mott insulator transition at 356 K is associated with a structural transition from an $L$-Pbca (figure 10) to S-Pbca structure. We first do GGA calculations using $L$-Pbca structural inputs from experiments [22], perform
Wannierization, apply local correlations within DMFT scheme on Wannier-fit orbitals (figure 11) and look for a Mott metal-insulator transition. In this case all the \( d \) orbitals have substantial contributions to the DOS at the Fermi level due to large distortions causing stronger admixture of these orbitals. There are sharp Van-Hove features near the Fermi level, but they are farther from the Fermi level than those in \( \text{Sr}_2\text{RuO}_4 \). However, the bandwidth for the dispersive \( d \) orbitals are even lower in this case than the \( x = 0.5 \) material. The highly distorted octahedron admits strong hybridization between all \( Ru \) \( d \) orbitals and \( O \) \( p \) orbitals: the apical Oxygen \( p_x, p_z \) and in-plane Oxygen \( p_x, p_y, p_z \) have sufficient hybridization with \( Ru \) \( d \) orbitals. All these facts put together, the L-Pbca structure here has an intrinsic tendency towards nesting and thence antiferromagnetic fluctuations, which stabilize a low temperature AFM ground state with energy gain \( \sim \hbar^2 \). The L-Pbca structural details,
however, do not explain why it should become a Mott insulator below 356 K. It is often suggested in the literature \[27\] that the P-bca to S-pca structural transition drives the Mott transition associated with an orbital ordering \[61\] across 356 K. However, Gorelov et al \[27\] do not get any orbital selective Mott transition for the L-Pbca phase down to 300 K in their analysis. After Wannierization of the GGA bands for L-Pbca, we employ local correlations within DMFT + CT-QMC framework and try to see if there is Mott transition somewhere lower temperatures. Subsequently the questions of interest are: what is the nature of the Mott insulator? Re-paraphrasing, whether all the active orbitals become Mott insulating or is there orbital selectivity? How do the DMFT results compare with available ARPES data? And finally, is the desired Mott insulating state recovered at 356 K if the S-Pbca structure is subjected to local correlations within GGA + U?

3.3.2. In-plane and out-of-plane hopping

Before addressing those issues, it is also useful to note the relative values of the in-plane and out-of-plane effective hopping for Ca$_2$RuO$_4$ (figure 12(a)). Figure 12(a) clearly shows that, even inside the L-Pbca phase, just above the Mott transition, $c/a \sim 2.06$ and the out of plane hopping is significantly suppressed in comparison to the in-plane hopping, $t_c/t_{ab}$ ratio being 0.3 at $x = 0$. The nearly 2D nature \[61–63\] of the Ca$_2$RuO$_4$ bulk single crystal is in marked contrast to its $x = 0.5$ counterpart, as far as the effective dimensionality (measured by $t_c/t_{ab}$ ratio ~1 and $c/a$ ratio 3.3 for $x = 0.5$) is concerned.

3.3.3. CT-QMC+DMFT: Single-particle Green’s function and self energy, two-particle spin susceptibility

We choose $U = 3.1$ eV and $J_{H} = 0.7$ eV \[27\] for the present analysis. As the temperature is lowered from 1000 K to 360 K, we observe that unlike the rest of the materials that we have studied, there is no monotonic tendency for Im$\Sigma(i\omega)$ and Im$G(i\omega)$ (figure 12(b)) towards coherence. Rather, orbital specific loss of coherence can be clearly observed by lowering the temperature \[64, 65\]. Finally it is found that the $d_{xy}$ orbital becomes Mott gapped with consistent singular low energy features in the Im$\Sigma(i\omega)$ below 250 K. The charge gap is clearly orbital selective \[65\] in nature, as other orbitals remain metallic as far as their single-particle dynamic responses are concerned, down to the lowest temperature. One important point is that, for Ca$_{1.5}$Sr$_{0.5}$RuO$_4$, the orbital with primarily $d_{xy}$ character was the one that became pseudogapped and for $x = 2.0$ the orbital with major contribution from $d_{xy}$ becomes Mott gapped below 250 K (figure 12(c)). The critical Mott temperature, as is apparent, is much lower than the experimentally realised 356 K scale.

ARPES studies do suggest \[64, 65\] that the transition is orbital selective in nature, although the absence of orbital-selective Mott nature is also supported by one ARPES study \[66\] and one theoretical study \[27\]. Not
much can, however, be concluded from the intercept of \(\text{Im} \Sigma(\omega)\) at \(\omega = 0\) and the orbital specific mass enhancement factors (figure 12(d)), except for the fact that the intercept becomes extremely large in the proximity of Mott transition. We find that \(\text{Im} \Sigma(\omega)\) becomes singular below a critical temperature, and the quasi-particle description becomes somewhat untenable.

In the two-particle sector, the temperature dependence of \(\chi_s(\tau)\) suggests that the local moment remains unquenched at all energy scales in the temperature range between 80 K to 400 K. The local moment remains large and finite down to the Mott critical temperature \(T_c\), however, the local susceptibility behavior just above 356 K deviates slightly from Curie-Weiss (figure 12(e)). The high temperature \(\chi_s(T)\) follows Curie-Weiss law as shown in main panel and inset of figure 12(e). The extracted uniform spin susceptibility (figure 12(f)) shows that \(T_c\) is negative \((-21.48\ K)\) here, showing that the predominant fluctuation of the material is antiferromagnetic.

It is not possible to properly trace a self-consistent Mott metal-insulator transition across 356 K within our local analysis, as it does not include the structural transition [27] at 356 K, role of phonons and the likelihood of an orbital ordering [67–69]. We start with a high temperature L-Pbca structure, cool the system down to 250 K and observe that there is a possibility of an orbital selective Mott transition around this temperature. Next we perform a GGA calculation with the S-Pbca structure and include local Hubbard correlations within the GGA + U framework. We find that there is indeed a Mott transition with S-Pbca structure.

This Mott transition, via the structural transition, has already been studied in literature [27]. It is interesting to compare the relative scales of local correlations \(U\) and \(J_H\) with the band-widths of the dispersive electronic orbitals around the Fermi level across the series. \(\text{Sr}_2\text{RuO}_4\) has a larger bandwidth \((W)\), roughly 2.8 eV, and the \(U/W\) ratio is about 0.89, while \(J_H/W\) is 0.18. The Mott criteria suggests that \(U/W\) has to be of order one or more to facilitate a Mott transition. A comparatively large \(J_H/W\), in addition, takes the system away from the proximity of a Mott transition, as the system is less than half filled. For \(\text{Ca}_2\text{RuO}_4\) the effective bandwidth of the bands crossing the Fermi level is only \(-1\ eV\). The large distortion of the octahedra narrows the \(d_{xy}\) bands significantly and leads to an increment in the \(U/W\), driving the material to the proximity of a Mott transition via a structural transition. This effectively pushes the system away from the Hund’s limit and puts it in the Mott limit. The
L-Pbca to S-Pbca transition is again associated with a flattening of the octahedra with nearly 10% decrease in \(c/a\) ratio at 356 K. While the flattening parameter \(\lambda\) shows a reduction from 1.07 to 0.96 [30, 52], the rotation angle remains nearly fixed between \(x = 0.5\) and \(x = 0.0\). However the tilting angle \(\theta\) changes by 12° between \(x = 0.5\) and \(x = 0.0\).

4. A GGA+U insight into the ground state properties across \(x = 0.5\)

The impurity solver CT-QMC solves the impurity correlated Hamiltonian by sampling all essential fermionic diagrams at finite temperatures and those are ‘exact’ within a local-approximation. However, being a stochastic finite-T solver, it cannot access the ground state properties. GGA+U, instead, can be used as a complementary technique at the ground state (\(T = 0\)) to see how much of the physics observed at finite temperatures with CT-QMC+DMFT has its roots at \(T = 0\). We have performed GGA+U calculations by varying \(c/a\) ratio from \(-5\%\) to +5% around the experimental \(c/a\) for \(x = 0.5\) structure. We note that according to the experimental phase diagram [61], at lower temperatures there is no metal-insulator (MIT) transition across \(x = 0.5\) and the system remains metallic with \(14_1/\text{acd}\) symmetry for the entire range \(0.2 < x < 1.5\) [27]. However, there is a magnetic phase transition (ferromagnetic to paramagnetic (PM)) at low temperature across \(x = 0.5\) (0.2 < \(x\) < 0.5 the system is ferromagnetic metal, and \(x > 0.5\) it is paramagnetic metal). System shows AFM insulating behavior at low temperatures only in the doping range \(0 \leq x \leq 0.2\) (S-Pbca structure).

In order to find out if GGA+U can correctly reproduce the ground state magnetic and transport properties of Ca$_2$RuO$_4$ (\(x = 0\)) and \(x = 0.5\) doped structure where the experimental ground state for the former is antiferromagnetic insulator and of the latter is ferromagnetic metal, we have carried out GGA+U calculations for both the compounds considering their low temperature experimental structures [30]. \(U_{\text{eff}}(U-J) = 2.5\) eV has been considered for Ru in our calculations. We observe that AFM is the ground state magnetic configuration for the parent compound whereas FM is the ground state in case of \(x = 0.5\) (see 1). We can also see from figure 13(a) that there is a band gap (\(\approx 0.8\) eV) in the density of states (DOS) for Ca$_2$RuO$_4$ compound whereas, Ca$_{1.5}$Sr$_{0.5}$RuO$_4$ compound shows metallic density of states (13(b)) in the minority spin channel. Calculated magnetic moment at the Ru site is 1.3 \(\mu_B\) which is comparable to the experimentally reported magnetic moment [22]. Thus GGA+U calculations can reproduce the magnetic and electronic properties observed experimentally for these two compounds. The results suggest orthorhombic distortion is responsible for the insulating ground state in the parent compound. These GGA+U results agree well with the experimental findings.

Further, total energy calculations within GGA+U approximation for different \(c/a\) with constant volume around the critical point \(x = 0.5\) provide some more insights. We have kept the spin configuration FM throughout as this is the ground state magnetic order reported experimentally. We then relax the cations and anions for each \(c/a\). The lattice constants, \(c/a\) ratio and the total energy are given in table 2.

We see from figure 13(c) that the experimental \(c/a\) has the lowest energy among all. Also, we find the metallic ground state (figures 13(d), (e), (f)) for all the structures. The result presented above imply that it is not possible to achieve metal insulator transition (MIT) only by changing \(c/a\) ratio, nevertheless, the system also does not have a MIT at \(T = 0\) immediately across the \(x = 0.5\). The crystal symmetry plays a crucial role here and orthogonal distortion (tilt + rotation of RuO$_6$ octahedra) is important to induce MIT in these compounds. This is indeed observed across \(x = 0.2\) experimentally, where system goes from tetragonal (\(14_1/\text{acd}\)) to orthorhombic (S-Pbca) symmetry. GGA+U calculations also corroborate the experimental behaviour.

It is interesting to find that at \(x = 0.5\) GGA+U finds a spin-selective ferromagnetic metallic state. The direct comparison of these results with CT-QMC+DMFT and the DOS shown in figure 8 is difficult, since the DMFT
calculation is paramagnetic and does not distinguish between majority and minority spin sectors. Nevertheless, it finds a metallic state with bad metallic features and enhanced spin fluctuations suggesting that the finite T results from this scheme are a continuation of the T = 0 predictions from GGA+U. However, the quantum critical finite-T scaling collapse observed from CT-QMC+DMFT at x = 0.5 does not have any analogue at T = 0, and is not expected to be borne out of GGA+U finite-T extension since it depends crucially on the sampling of the correct higher order diagrams at finite T. In short, the two-techniques complement each other nicely at this interesting x = 0.5 critical point, where CT-QMC+DMFT explicitly registers the critical fluctuations at finite T and GGA+U registers the correct electronic and magnetic ground state at T = 0.

Figure 13. Spin-polarized DOS are shown for (a) x = 0 (AFM spin configuration) and (b) x = 0.5 (FM spin configuration). Green and yellow solid lines represent partial DOS of Ru in majority (up) and minority (down) spin channel respectively. (c) Energy difference plot for different c/a ratio structures with respect to the experimental c/a ratio structure at x = 0.5. Spin-polarized DOS are shown for x = 0.5 structure with different c/a ratio (d) −5%, (e) 0%, (f) 5% with respect to experimental structures. Green and yellow solid lines represent partial DOS of Ru in majority (up) and minority spin (down) channel respectively.

Table 2. Lattice constants, c/a ratio and total energy difference (ΔE) between different c/a ratio structures with respect to the experimental c/a ratio structure at x = 0.5.

| Lattice Const. (Å) | c/a | ΔE (mRy) |
|-------------------|-----|----------|
| a = 5.4112, c = 24.3271 | 4.4957 | 20.2 |
| a = 5.3554, c = 24.8366 | 4.6377 | 0.7 |
| a = 5.3195, c = 25.1734 | 4.7323 | 0.0 |
| a = 5.2845, c = 25.5079 | 4.8269 | 0.9 |
| a = 5.2337, c = 26.0057 | 4.9689 | 19.5 |
5. Phase diagram for the Iso-electronic series

All the above observations and the previous theoretical and experimental findings put together, it is reasonable to infer that the $T = 0, x = 0.5$ is a quantum critical end point separating two quasi 2D-systems on either side, to the right, $x > 0.5$, and the left, $x < 0.5$ (figure 14). As far as crystal structures are concerned, the $x = 2.0$ structure has higher symmetries than the one at $x = 0.0$. The dynamic in-plane susceptibility, its anisotropy and variation in the Brillouin zone at different energy scales [37, 51] also support the fact that an effective dimensional crossover attends this critical end point. Interestingly, the critical end point is also associated with a strong local ferromagnetic fluctuation extending to finite temperatures. The order of magnitude increment in local static susceptibility at this particular point of the phase diagram and the falling of [61] on both left and right side of $x = 0.5$ substantiate this view. Our findings, supported amply by experimental results, therefore, raises a relevant issue: whether the critical point is purely structural in nature. At this moment we would again like to rely on the detailed calculations and the results presented and infer that it is the structural change of the crystal via replacement of larger cations by smaller ones that leads to this rich structural and magnetic phase diagram. The structural changes are, therefore, the driving force behind the associated magnetic transitions and dimensional crossovers. These analyses of the microscopics of the fundamental crystal structures, rotations and hybridizations of the active bands at the Fermi level, role of dynamic correlations on those bands, their single and two-particle dynamic responses, call for a fresh look at this important series with $K_2NiF_4$ structure, the structural building block of another interesting unconventional superconducting series. These lead us to the phase diagram of the iso-electronic series presented in figure 14. Finally this may also imply that the quantum critical end point of second-order structural transition would lead to a quantum critical fan, much like what is realized in high $T_c$ superconductivity [70–72] or heavy fermion compounds [73, 74]. The resulting magnetic and electronic phase diagram for the series (figure 14), in such a situation, will be quite interesting to explore experimentally. The paramagnetic bad metallic phase that emerges from this end point at $x = 0.5$ extends all the way to the right till $x = 2.0$ and to the left till $x = 0.0$.

At $x = 2.0$, above 25 K, the system is bad-metallic and at $x = 0.0$ the system is bad-metallic above 356 K. This implies that the critical fan would be the one connecting the end point ($x = 0.5, T = 0$) to $x = 0.0$, $T = 25$ K (to the right) and $x = 2.0, T = 356$ K to the left. The iso-electronic material is a Hund’s metal inside this fan, and outside it is a good metal (Fermi-liquid) below 25 K at $x = 2.0$ and magnetic (either ferro or antiferro) for $x < 0.5$. Only at $T = 0$, the $x > 0.5$ good-metal of 2D nature without any magnetic ground state can possibly be tuned through the 3D critical end point at $x = 0.5$ and render magnetically ordered (metal and insulator respectively at $0.2 < x < 0.5$ and $0 < x < 0.2$) in the region $x < 0.5$. While our studies suggest that at

![Phase diagram for the Iso-electronic series](image)
finite temperatures $T < 25$ K a good metal for $x > 0.5$ can be tuned inside the critical fan and made a Hund’s metal that crosses the other side of the fan at $x < 0.5$ and becomes an antiferromagnetic insulator. However, the issues related to non-local, low energy fluctuations that may suppress an access to the $T = 0, x = 0.5$ critical end point, are hard to delineate from a local theory. Very recent experiments suggest that the $T = 0, x = 0.5$ point could be a cluster glass [31], which is beyond the scope of our analysis. Such a scenario, if correct, would indeed require modification of the phase diagram we have come up with: the critical fan will now end at the boundary of the cluster glass phase, and should not extend down to $T = 0$. However, inclusion of the cluster glass phase does not forbid the microscopics of the finite temperature physics of the local criticality and the critical fan sustaining a Hund’s metallic phase.

6. Conclusions

The local spin fluctuation, local quantum criticality, Fermi liquidity and Hund’s metallicity for the iso-electronic series Ca$_2$$_-$Sr$_x$RuO$_y$ have been addressed from a local analysis. Our systematic study of single- and two-particle features establish a comprehensive electronic and magnetic phase diagram for the iso-electronic series. We identify the critical end point at $x = 0.5$, $T = 0$, which acts as the vertex of a critical fan of nearly spin-frozen, non-Fermi liquid extending out in finite temperature. The critical fan suppresses the Fermi-liquid (appearing on the right) and magnetic phase (on its left). The critical point also associates a dimensional crossover and ferromagnetic spin-fluctuations.

Acknowledgments

SA would like to acknowledge useful discussions on the first-principles calculations with Monodeep Chakraborty. SA acknowledges discussions with Alex Hewson, J Annett, M S Laad, C Weber and Debraj Choudhury and thanks Arjun Mukerji for help in preparing a diagram. SA acknowledges Simons Many-Electron Collaboration, EPSRC (grants EP/M011631/1), UGC (India) and DD acknowledges DST-Inspire (India) for research fellowships. AT acknowledges research funding from CSIR (India) through the grant number: 03(1373)/16/EMR-II.

ORCID iDs

Swagata Acharya @ https://orcid.org/0000-0001-8074-0030

References

[1] Kotliar G and Vollhardt D 2004 Phys. Today 57 53
[2] Dagotto E 2005 Science 309 257
[3] Maeno Y, Nakatsuji S and Ikeda S 1999 Materials Science and Engineering B 63.1 70–5
[4] Ovchinnikov S G 2003 Phys. Usp. 46 21
[5] Yin Z, Haule K and Kotliar G 2011 Nat. Mater. 10 932
[6] Haule K and Kotliar G 2009 New J. Phys. 11 025021
[7] Sun L et al 2012 Nature 483.7387 67–9
[8] Okada I and Yosida K 1973 Prog. Theor. Phys. 49 14831502
[9] Jayaprakash C, Krishna-Murthy H and Wilkins J 1981 Phys. Rev. Lett. 47 737
[10] Jones B and Varma C 1987 Phys. Rev. Lett. 58 5843
[11] Kusunose H and Miyake K 1997 J. Phys. Soc. Japan 66 1180
[12] Pruschke T and Bulla R 2005 The European Physical Journal B-Conденсated Matter and Complex Systems 44 217
[13] Mravlje J, Aichhorn M, Miyake T, Haule K, Kotliar G and Georges A 2013 Phys. Rev. Lett. 106 196401
[14] de’Medici L 2017 The Physics of Correlated Insulators, Metals, and Superconductors Modeling and Simulation Hund’s metals, explained ed E Pavarini, E Koch, R Scalettar and R Martin (Forschungszentrum Juelich)
[15] Dang H T, Mravlje J, Georges A and Millis A J 2015 Phys. Rev. B 91 195149
[16] Deng X, Haule K and Kotliar G 2016 Phys. Rev. Lett. 116 256401
[17] Rincón J, Moreo A, Alvarez G and Dagotto E 2014 Phys. Rev. B 90 241105
[18] Kim M and Min B 2015 Phys. Rev. B 91 205116
[19] Han Q, Dang H T and Millis A 2016 Phys. Rev. B 93 155103
[20] Dasari N, Yamajala S, Pati S K, Jain M, Dusgupta T S, Moreno I, Jarrell M and Vidhyadhiraja N 2016 Phys. rev. B 94
[21] Steppke A et al 2016 Science 355 6321
[22] Braden M, Andre G, Nakatsuji S and Maeno Y 1998 Phys. Rev. B 58 847
[23] Alexander C, Cao G, Dobrosavljevic V, McCall S, Crow I, Lochner E and Guertin R 1999 Phys. Rev. B 60 R8422
[24] Mravlje J and Georges A 2016 Phys. Rev. Lett. 117 036401
[25] Acharya S, Laad M S, Dey D, Maitra T and Taraphder A 2017 Sci. Rep. 7 43033
[26] Kim M, Mravlje J, Ferrero M, Parcollet O and Georges A 2018 Phys. Rev. Lett. 120 126401
[27] Gorelov E, Karolak M, Wehling T O, Lechermann F, Lichtenstein A I and Pavarini E 2010 Phys. Rev. Lett. 104 226401
[28] Stutter D et al 2017 Nat. Commun. 8 15176
[29] Khalilin G 2013 Phys. Rev. Lett. 111 197201
[30] Fried O, Braden M, André G, Adelmann P, Nakatsuji S and Maeno Y 2001 Phys. Rev. B 63 174432
[31] Carlo J et al 2012 Nat. Mater. 11 323
[32] Werner P, Comanac A, de Medici L, Troyer M and Millis A J 2006 Phys. Rev. Lett. 97 076405
[33] Gull E, Millis A J, Lichtenstein A I, Rubtsov A N, Troyer M and Werner P 2011 Rev. Mod. Phys. 83 349
[34] Bauer B et al 2011 The alps project release 2.6: open source software for strongly correlated systems Journal of Statistical Mechanics: Theory and Experiment 2011 P03001
[35] Blaha P, Schwarz K, Madsen G, Kvasnicka D and Luitz J 2001 An augmented plane wave. local orbitals program for calculating crystal properties Inst. f. Materials Chemistry, TU Vienna
[36] Braden M, Moudhed H, Nishizaki S, Maeno Y and Fujita T 1997 Physica C 273 248
[37] Nakatsuji S and Maeno Y 2000 Phys. Rev. B 62 6458
[38] Mostofi A A, Yates J R, Lee Y-S, Souza I, Vanderbilt D and Marzari N 2008 Comput. Phys. Commun. 178 685
[39] Kuneš J, Arita R, Wissgot P, Toschi A, Ikeda H and Held K 2010 Comput. Phys. Commun. 181 1888
[40] Raghuram A, Kapitulnik A and Kivelson S 2010 Phys. Rev. Lett. 105 136401
[41] Damascelli A et al 2000 Phys. Rev. Lett. 85 5194
[42] Zhang G, Gorelov E, Servestani E and Pavarini E 2016 Phys. Rev. Lett. 116 106402
[43] Han Q, Dang H T and Millis A J 2016 Phys. Rev. B 93 155103
[44] Acharya S et al 2018 Phys. Rev. X 8 (2) 021038
[45] Schäfer T, Rohringer G, Gunnarsson O, Ciuchi S, Sangiovanni G and Toschi A 2013 Phys. Rev. Lett. 110 246405
[46] Logan D E, Tucker A P and Galpin M R 2014 Phys. Rev. B 90 075150
[47] Werner P, Gull E, Troyer M and Millis A J 2008 Phys. Rev. Lett. 101 166405
[48] Koga A, Kawakami N, Rice T M and Sigrist M 2005 Phys. Rev. B 72 045128
[49] Maeno Y, Kittaka S, Nomura T, Yonezawa S and Ishida K 2012 J. Phys. Soc. Jpn. 81 011109
[50] Mackenzie A P and Maeno Y 2003 Rev. Mod. Phys. 75 657
[51] Fang Z, Nagao N and Terakura K 2004 Phys. Rev. B 69 045116
[52] Fang Z and Terakura K 2001 Phys. Rev. B 64 020509
[53] Jarrell M and Gubernatis J E 1996 Phys. Rep. 269 133
[54] Kirchner S and Si Q 2008 Phys. Rev. Lett. 100 026403
[55] Dasari N, Acharya S, Taraphder A, Moreno J, Jarrell M and Vidhyadhiraja N 2015 arXiv: 1509.09163
[56] Ingersent K and Si Q 2002 Phys. Rev. Lett. 89 076403
[57] Acharya S, Laad M and Taraphder A 2016 J. Phys.: Conf. Ser. 759 012037
[58] Acharya S, Laad M and Taraphder A 2016 arXiv: 1603.09126
[59] Uruma M et al 2007 arXiv: 0711.2160
[60] Wang S C et al 2004 Phys. Rev. Lett. 93 177007
[61] Nakatsuji S and Maeno Y 2000 Phys. Rev. Lett. 84 2666
[62] Nakamura F, Nakai R, Takemoto T, Suzuki T, Alireza P L, Nakatsuji S and Maeno Y 2009 Phys. Rev. B 80 193103
[63] Alireza P L et al J Phys.: Condens. Matter 22 052202
[64] Anisimov V, Nekrasov I, Kondakov D, Rice T and Sigrist M 2002 The European Physical Journal B-Condensed Matter and Complex Systems 25 191
[65] Neupane M, Richard P, Pan Z-H, Xu Y-M, Jin R, Mandrus D, Dai X, Fang Z, Wang Z and Ding H 2009 Phys. Rev. Lett. 103 097001
[66] Shimoyamada A, Ishizaka K, Tsuda S, Nakatsuji S, Maeno Y and Shin S 2009 Phys. Rev. Lett. 102 086401
[67] Zeqinkoglu I et al 2005 Phys. Rev. Lett. 95 136401
[68] Jung J, Fang Z, He J, Kaneko Y, Okimoto Y and Tokura Y 2003 Phys. Rev. Lett. 91 056403
[69] Hotta T and Dagotto E 2001 Phys. Rev. Lett. 88 017201
[70] Sachdev S 2010 Physica Status Solidi (B) 247 537
[71] Chiu J-H, Analytis J G, Kucharczyk C and Fisher I R 2009 Phys. Rev. B 79 014506
[72] Ni N, Thaler A, Kracher A, Yan J, Budko S and Canfield P 2009 Phys. Rev. B 80 024511
[73] Mathur N, Grosche F, Julian S, Walker I, Freye D, Hanselwimmer R and Lonzarich G 1998 Nature 394 39
[74] Si Q and Paschen S 2013 Physica Status Solidi (B) 250 425