Subjective life expectancy is a risk factor for perceived health status and mortality

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Abstract

Background: The purpose of this study was to investigate the association between subjective life expectancy (SLE) and self-rated health and further SLE will predict higher risk for mortality.

Methods: Data from the Korean Longitudinal Study of Aging (KLoSA) from 2006 to 2014 was assessed using longitudinal data analysis and 10,244 research subjects were included at baseline in 2006. Our modeling approach was based on generalized estimating equation (GEE) for self-rated health and Cox proportional hazards models for mortality.

Results: SLE was significantly associated with mortality ($p$ for trend <0.0001), with the following ORs predicting mortality (yes vs. no): HR = 2.133 ($p < .0001$) for 0%, HR = 1.805 ($p < .0001$) for 10-20%, HR = 1.494 ($p 0.002$) for 30-40%, HR = 1.423 ($p 0.002$) for 50-60%, HR = 1.157 ($p 0.235$) for 70-80%, vs. 90-100%. In terms of age-specific association with SLE for self-rated health and mortality, as subjects got older, self-rated health tended to lean more toward poor self-rated health, but as for mortality, the probability of dying increased for people who are younger and HR also tended to increase.

Conclusion: This study has shown that SLE is associated with self-rated health and further is a powerful predictor of mortality after adjusting for self-rated health as well as sociodemographic factors and health risk status and behavior factors in a representative population of Koreans.

Keywords: Expectancy, Mortality, Life, Health

Background

There is a growing interest in subjective measures of health and survival. People have expectations about their remaining length of life, and these expectations appear to make sense [1]. Economic theories often assume that people have expectations that accurately account for the information available. As people get older, the future becomes more meaningful. For example, people in their late-midlife period tended to show significant changes in behavior in economic choices [2], plans [3], predicting mortality [4] and investing in future health (e.g., participating in cancer screening more) [5, 6].

Subjective life expectancy (SLE) indicates an individual’s subjective length of life expectancy, and it provides a personalized timeframe that can act as a guide for apportioning work, leisure, and finances [7]. This subjective measure can sometimes offer information that other objective measures are unable to [8]. Therefore, SLE is being used more to elucidate people’s decisions across various life domains such as health.

Currently, SLE is asked in a number of longitudinal surveys of older persons, such as The Health and Retirement Study (HRS) [8–10], the English Longitudinal Study of Ageing, the Study of Health and Ageing in Europe, and the China Health and Retirement Longitudinal Study. Responses to this question can be predictive measures for mortality even in the presence of other mortality-related characteristics [1, 4, 8]. Furthermore, self-rated health [11] as well as subjective life expectancy (SLE) is predictive of actual mortality. It is considered an inclusive measure of health, meaning that self-rated health yields information inaccessible by targeted health measurements [12]. To support this, negative health ratings seem to represent pathogenetic biological processes in the body that...
compromise health status and may herald future health adversities [12]. However, the study of Siegel M. et al. [1] shows that although both self-rated health and SLE may be conceptually related, they have independent empirical effect on mortality despite their ability to predict future risk of objective health outcomes such as mortality.

Therefore, we address the following research questions. (1) Does SLE predict poor self-rated health? If so, (2) is SLE an independent predictor of mortality after adjusting for self-rated health? Thus, this study performed two analyses for self-rated health and mortality, respectively. In the first analysis, we estimated the influence of SLE on self-rated health with generalized estimating equation (GEE) model, while adjusting for age, gender, residential region, education, smoking status, alcohol use, labor and number of chronic disease. In second analysis, we estimate the independent effect of SLE as a predictor of mortality using cox proportional hazard model while adjusting for age, gender, residential region, education, smoking status, alcohol use, labor, number of chronic disease and self-rated health.

Methods
Study sample & design
Data were obtained from the 2006, 2008, 2010, 2012 and 2014 waves of the Korean Longitudinal Study of Aging (KLoSA). KLoSA conducted a multistage stratified cluster sampling based on 15 geographical areas and housing types across the nation to create nationally representative longitudinal data of Koreans aged 45 years or more by the Korea Labor Institute. In the first baseline survey in 2006, 10,254 individuals in 6171 households (1.7 per household) were interviewed using the Computer-Assisted Personal Interviewing method. There were 292 individuals with cancer. The second survey, in 2008, followed up with 8688 subjects, who represented 86.6% of the original panel. The third survey, in 2010, followed up with 7920 subjects, who represented 80.3% of the original panel, the fourth survey, in 2012, followed up with 7486 subjects, who represented 72.8% of the original panel. To estimate the association between SLE and self-rated health and mortality. GEE was required in order to handle the unbalanced data with correlated outcomes and missing data. This GEE model assumed proper distributions for each individual while taking into account the correlation among individual. In this study, the correlation structure was modeled as an exchangeable correlation structure. Self-rated health (yes/no) was the outcome in GEE models. Covariates of interest from all subjects were added to the model to determine their effects on the probability of reporting poor self-rated health. Further, to examine the impact of SLE on mortality, adjusted hazard ratio (HR) was calculated by cox proportional hazard model. The outcome variable was survival time, which was measured from date of enrollment to death or censoring (up to 8 years).

Independent variables
Subjective life expectancy (SLE)
SLE measures a continuum of subjective probabilities by asking “What is the percent chance that you will live to be [75 (if age is 64 or less) / 80 (if age is 65–69) / 85 (if age is 70–74) / 90 (if age is 75–79) / 95 (if age is 80–84) / 100 (if age is 85–94)] / 105 (if age is 95–99) / 110 (if age is 100 or more)?” The target age in expectation is determined by respondents’ current age. The response to the question ranges from 0 to 100, where 0 means that you think there is absolutely no chance, and 100 means that you think the event is absolutely sure to happen.

Dependent variables
Self-rated health
Self-rated health asks respondents to rate their health, often using a 5-point scale: “excellent,” “very good,” “good,” “fair,” and “poor,” with variations of the response scales such as a 5-point scale of “very good,” “good,” “neither good nor bad,” “bad,” and “very bad”. The response “fair” or “poor” indicated “Bad,” and the response “excellent,” “very good,” or “good” indicated “Good,” thus dichotomizing the response.

All-cause mortality
All-cause mortality during the time interval from year 2006 to the end of follow-up was the main outcome of the study. Death over a maximum follow-up period of 8 years was determined by death certificates.

Control variables
Covariates were collected: age (45–54, 55–64, 65-74 and ≥65 years), gender, residential region (metropolitan, urban and rural), education (elementary, middle, high school, and ≥college), cigarette smoking (non-smoker, former smoker and smoker), alcohol consumption (nothing, former drinker and drinker), labor status (yes and no), and comorbidities of hypertension, diabetes, cancer, chronic obstructive pulmonary disease, liver disease, heart disease, cerebrovascular diseases, mental illness and arthritis or rheumatoid arthritis (0, 1, 2 and ≥3).

Analytical approach and statistics
Chi-square test, log-rank test, generalized estimating equation (GEE) model and Cox proportional hazards models were used to investigate the association between SLE and self-rated health and mortality. GEE was required in order to handle the unbalanced data with correlated outcomes and missing data. This GEE model assumed proper distributions for each individual while taking into account the correlation among individual. In this study, the correlation structure was modeled as an exchangeable correlation structure. Self-rated health (yes/no) was the outcome in GEE models. Covariates of interest from all subjects were added to the model to determine their effects on the probability of reporting poor self-rated health. Further, to examine the impact of SLE on mortality, adjusted hazard ratio (HR) was calculated by cox proportional hazard model. The outcome variable was survival time, which was measured from date of enrollment to death or censoring (up to 8 years). For all
Table 1 General characteristics of participants at baseline

|                | Total | Perceived health status | P-value | Death | P-value |
|----------------|-------|-------------------------|---------|-------|---------|
|                | N     | %                       | N       | %     |         |
| **Subjective life expectancy (point)** |       |                         |         |       |         |
| 0              | 655   | 6.4                     | 451     | 68.9  | 204     | 31.2    | <.0001  | <.0001 |
| 10-20          | 579   | 5.7                     | 346     | 59.8  | 233     | 40.2    |         |       |
| 30-40          | 1063  | 10.4                    | 519     | 48.8  | 544     | 51.2    |         |       |
| 50-60          | 2781  | 27.2                    | 959     | 34.5  | 1822    | 65.5    |         |       |
| 70-80          | 2740  | 26.8                    | 559     | 20.4  | 2181    | 79.6    |         |       |
| 90-100         | 2426  | 23.7                    | 349     | 14.4  | 2077    | 85.6    |         |       |
| **Age**        |       |                         |         |       |         |
| 45-54          | 3293  | 32.2                    | 406     | 12.3  | 2887    | 87.7    | <.0001  | <.0001 |
| 55-64          | 2791  | 27.3                    | 783     | 28.1  | 2008    | 72.0    |         |       |
| 65-74          | 2679  | 26.2                    | 1143    | 42.7  | 1536    | 57.3    |         |       |
| 74+            | 1481  | 14.5                    | 851     | 57.5  | 630     | 42.5    |         |       |
| **Gender**     |       |                         |         |       |         |
| Male           | 4458  | 43.5                    | 1043    | 23.4  | 3415    | 76.6    |         | <.0001 |
| Female         | 5786  | 56.5                    | 2140    | 37.0  | 3646    | 63.0    |         | <.0001 |
| **Residential region** |     |                         |         |       |         |
| Metropolitan   | 1765  | 17.2                    | 507     | 28.7  | 1258    | 71.3    |         | 0.001  |
| Urban          | 2967  | 29.0                    | 875     | 29.5  | 2092    | 70.5    |         | 0.003  |
| Rural          | 5512  | 53.8                    | 1801    | 32.7  | 3711    | 67.3    |         |       |
| **Education**  |       |                         |         |       |         |
| ≤ Elementary   | 4823  | 47.1                    | 2294    | 47.6  | 2529    | 52.4    | <.0001  | <.0001 |
| Middle school  | 1656  | 16.2                    | 395     | 23.9  | 1261    | 76.2    |         |       |
| High school    | 2708  | 26.4                    | 374     | 13.8  | 2334    | 86.2    |         |       |
| ≥ College      | 1057  | 10.3                    | 120     | 11.4  | 937     | 88.7    |         |       |
| **Smoking status** |     |                         |         |       |         |
| Non-smoker     | 7291  | 71.2                    | 2413    | 33.1  | 4878    | 66.9    | <.0001  | <.0001 |
| Former smoker  | 978   | 9.6                     | 318     | 32.5  | 660     | 67.5    |         |       |
| Smoker         | 1975  | 19.3                    | 452     | 22.9  | 1523    | 77.1    |         |       |
| **Alcohol consumption** |     |                         |         |       |         |
| Nothing        | 3884  | 37.9                    | 803     | 20.7  | 3081    | 79.3    | <.0001  | <.0001 |
| Former drinker | 689   | 6.7                     | 370     | 53.7  | 319     | 46.3    |         |       |
| Drinker        | 5671  | 55.4                    | 2010    | 35.4  | 3661    | 64.6    |         |       |
| **Labor**      |       |                         |         |       |         |
| Yes            | 3953  | 38.6                    | 612     | 15.5  | 3341    | 84.5    | <.0001  | <.0001 |
| No             | 6291  | 61.4                    | 2571    | 40.9  | 3720    | 59.1    |         | <.0001 |
| **Number of chronic diseases**<sup>a</sup> |     |                         |         |       |         |
| 0              | 5470  | 53.4                    | 775     | 14.2  | 4695    | 85.8    | <.0001  | <.0001 |
| 1              | 2945  | 28.8                    | 1140    | 38.7  | 1805    | 61.3    |         |       |
| 2              | 1265  | 12.4                    | 789     | 62.4  | 476     | 37.6    |         |       |
| ≥ 3            | 564   | 5.5                     | 479     | 84.9  | 85      | 15.1    |         |       |
| **Total**      | 10,244| 100.0                   | 3183    | 31.1  | 7061    | 68.9    |         |       |

<sup>a</sup>Hypertension, diabetes, cancer, chronic obstructive pulmonary disease, liver disease, heart disease, cerebrovascular diseases, mental illness and arthritis or rheumatoid arthritis
analyses, the criterion for statistical significance was $p \leq 0.05$, two-tailed. All analyses were conducted using the SAS statistical software package, version 9.4 (SAS Institute Inc., Cary, NC, USA).

### Results

#### Sample characteristics

Table 1 shows the baseline characteristics of participants. As shown in Table 1, of the 10,244 individuals at baseline 2006, those with bad self-rated health were 3183 participants (31.1%) and about 11.2% of participants (N: 1143) died during the follow-up period. Grouping the SLE responses, 6.4% of participants (655/10,244) estimated their chance of living another 10–15 years as 0%, 5.7% (579/10,244) estimated a 10-20% chance, 10.4% (1063/10,244) estimated a 30-40% chance, 27.2% (2781/10,244) estimated a 50-60% chance, 26.8% (2740/10,244) estimated a 70-80% chance and 23.7% (2426/10,244) estimated a 90-100% chance. Just over half of participants were female (56.5%; 5786/10,244). Nearly one third had high school educational attainment (26.4%; 2708/10,244) and 47.1% had elementary or lower educational attainment (4823/10,244). Age, residential region, smoking status, alcohol use, labor and number of chronic disease are also shown in Table 1.

#### Relationship between subjective life expectation (SLE) and self-rated health

In the fully adjusted model (Table 2), SLE was associated with self-rated health in a statistically significant linear dose response fashion ($p$ for trend <0.0001), with the following ORs predicting self-rated health (yes vs. no): OR = 6.778 ($p < .0001$) for 0%, OR = 3.940 ($p < .0001$) for 10-20%, OR = 2.936 ($p < .0001$) for 30-40%, OR = 2.094 ($p < .0001$) for 50-60%, OR = 1.483 ($p < .0001$) for 70-80%, vs. 90-100% (Table 2). Older age was positively associated with self-rated health (OR = 2.415; $p < .0001$), as was having elementary or lower educational attainment (OR = 2.974; $p < .0001$ vs. college or more educational attainment), being a current smoker (OR = 1.140; $p < .0006$), being alcohol drinker (OR = 2.193; $p < .0001$) and having complex comorbidity (OR = 11.541; $p < .0001$; Table 2).

#### Relationship between subjective life expectation (SLE) and mortality

Figure 1 shows the Kaplan-Meier curve for all-cause mortality. All of log-rank test were statistically significant. In the fully adjusted model including self-rated health (Table 2), SLE was also associated with mortality in a statistically significant linear dose response fashion ($p$ for trend <0.0001), with the following HRs predicting mortality (yes vs. no): HR = 2.133 ($p < .0001$) for 0%, HR = 1.805 ($p < .0001$) for 10-20%, HR = 1.494 ($p = 0.002$) for 30-40%, HR = 1.423 ($p = 0.002$) for 50-60%, HR = 1.157 ($p = 0.002$) for 70-80%, and 1.000 ($p = 1.000$) for 90-100% (Table 2).
(p 0.235) for 70-80%, vs. 90-100% (Table 3). Older age was positively associated with mortality (HR = 10.193; p < .0001), as was having elementary or lower educational attainment (HR = 1.458; p 0.009 vs. college or more educational attainment) and having poor self-rated health (HR = 1.575; p < .0001; Table 2).

**Table 3** Age-specific association of subjective life expectancy with self-rated health and death

| Age group | Subjective life expectancy (%) | Self-rated health | Death |
|-----------|--------------------------------|-------------------|-------|
|           |                                | OR    | SE | P-value | HR    | SE | P-value |
| ≤64       |                                | 2.338 | 0.331 | <.0001 | 1.879 | 0.232 | <.0001 |
| 10-20     |                                | 1.916 | 0.379 | 0.638   | 1.196 | 0.236 | 0.072   |
| 30-40     |                                | 2.035 | 0.252 | 0.005   | 1.528 | 0.236 | 0.072   |
| 50-60     |                                | 1.398 | 0.201 | 0.095   | 1.342 | 0.184 | 0.199   |
| 70-80     |                                | 1.462 | 0.189 | 0.045   | 1.426 | 0.184 | 0.099   |
| 90-100    |                                | 1.000 | 1.000 |        | 1.000 | 1.000 |        |
| 65-74     |                                | 2.241 | 0.232 | <.0001 | 1.879 | 0.232 | <.0001 |
| 10-20     |                                | 1.528 | 0.236 | 0.072   | 1.196 | 0.236 | 0.072   |
| 30-40     |                                | 1.564 | 0.206 | 0.030   | 1.342 | 0.184 | 0.109   |
| 50-60     |                                | 1.342 | 0.184 | 0.109   | 1.426 | 0.189 | 0.045   |
| 70-80     |                                | 1.462 | 0.189 | 0.045   | 1.426 | 0.184 | 0.099   |
| 90-100    |                                | 1.000 | 1.000 |        | 1.000 | 1.000 |        |
| 75-85     |                                | 2.241 | 0.232 | <.0001 | 1.879 | 0.232 | <.0001 |
| 10-20     |                                | 1.528 | 0.236 | 0.072   | 1.196 | 0.236 | 0.072   |
| 30-40     |                                | 1.564 | 0.206 | 0.030   | 1.342 | 0.184 | 0.109   |
| 50-60     |                                | 1.342 | 0.184 | 0.109   | 1.426 | 0.189 | 0.045   |
| 70-80     |                                | 1.462 | 0.189 | 0.045   | 1.426 | 0.184 | 0.099   |
| 90-100    |                                | 1.000 | 1.000 |        | 1.000 | 1.000 |        |

*adjusted for gender, residential region, education, smoking status, alcohol use, labor and number of chronic disease

**Age specific relationship between SLE and self-rated health and mortality**

Both self-rated health and mortality were positively associated with SLE. The relationship between SLE, self-rated health and mortality was statistically significant (Table 3). As people got older, self-rated health tended to lean more toward poor self-rated health, but as for mortality, the probability of dying increased for people younger and HR also tended to increase.

**Discussion**

In this representative cohort study of Korean older people, SLE was a strong positive predictor of self-rated health and mortality over the 8-year study follow-up. Those who rated their chances of living another 10 to 15 years as 0% show the poorest self-rated health and highest mortality risk. This finding was independent of sociodemographic factors and health risk behavior factors for self-rated health and mortality, respectively. It was also independent of self-rated health on mortality risk for older adults, indicating that self-rated health might make unique contributions to predicting risk of mortality.

In this study, strong implications have been observed in regards to SLE influencing economic choice behavior [13, 14], as well as health behavior (e.g., participating in a screening programs) indicating investment for future wellness. [15, 16]. As a result, these behaviors may affect the probability of risk of mortality.

Regarding age-specific association with self-rated health, as people got older, self-rated health tended to lean more toward poor self-rated health. One potential reason could be the possibility of loss aversion. Van Nooten FE et al. [17] examined whether SLE impacts the willingness to trade-off (WTT) and the number of years traded-off in a 10-year time trade-off (TTO) exercise to assess the utility of health states. Results of the study
showed that the WTT years and the number of years traded-off were both influenced by SLE in 10-year TTO exercises. Reducing remaining life expectancy to 10 years in a TTO may thus increase loss aversion, especially in respondents losing relatively more expected life years. These findings support the notion that our study is relevant to health economic methodology [17, 18].

In terms of age-specific association with mortality, previous study [16] indicated a positive relationship between SLE and estimated 10-year mortality risk, as well as being predictive of actual mortality risk in a national sample of older American adults. In the HRS, older adults who survived over a 2-year follow-up had a 50-point higher estimate of 15-25 year SLE than those who died [4]. In a longer 8-year follow-up, those who died reported a 56% chance of 15-25 year survival at baseline compared with a 65% average chance reported by those who survived [19].

Several potential limitations of the present study should be noted. First, data was gathered from self-reports of sociodemographic factors and health risk factors; self-report is an imperfect indicator of actual behavior. Second, our measure of life expectancy included a range of ages rather than a specific age estimate. This approach reduced the full range of the data but was more feasible for respondents. Despite our approximate measure of SLE, our findings were consistent with research obtaining precise age estimates of life expectancy [20]. With more precise age estimates, future research will need to confirm observed effects. Finally, we did not assess other factors (e.g., family medical history) that may account for the association between life expectancy and mortality.

Despite these limitations, this study has various strengths, particularly with its use of a population-based representative sample and the 8-year follow-up database. We also prospectively analyzed a large number of individuals from longitudinal data of a well-defined and comprehensively studied sample of older adults to examine the association between SLE and self-rated health, and mortality. Therefore, with the rapidly aging population in Korea, SLE is a reasonably good predictor of future mortality. Our findings leave little room for doubt about whether SLE should be taken seriously to understand future survival and to meaningfully intervene in preventing early mortality.

Inequality in mortality due to varying socioeconomic status has been shown in different countries [21, 22]. People with poor educational attainment and socioeconomic status may have lower expectations of SLE [23, 24], and this low expectation could lead to the increase in actual mortality. Therefore, further research needs to examine SLE due to different sociodemographic factors more accurately, as well as how SLE and sociodemographic factors affect actual mortality [21, 22]. There is a strong relationship between SLE and an individual’s sense of control for the future [25], and this could consequently lead to increased likelihood of participating in health promoting programs that may eventually decrease the risk of mortality.

Conclusion

In conclusion, this study has shown that self-rated health is found to be an independent predictor of SLE and that SLE is a powerful predictor of mortality after adjusting for self-rated health as well as sociodemographic factors, health risk status and behavioral factors in Korean representative population. Further research is needed to examine the accuracy of SLE across socioeconomic groups and its predictive ability in different socioeconomic groups for mortality.

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Availability of data and materials

The dataset supporting the conclusions of this article may be available upon request from http://survey.ksis.or.kr/klosa/Klosa01.jsp.

Authors’ contributions

KJM as well as KJH designed the study, data collection and statistical analyses and drafted the manuscript. All authors read and approved the final manuscript.

Authors’ information

Not applicable.

Ethics approval and consent to participate

The survey of Korean Longitudinal Study of Aging (KLoSA) was approved by Institutional Review Board of the Korea Centers for Disease Control and Prevention. In addition, as KLoSA database is released to the public for scientific use, ethical approval was not needed for this study.

Consent for publication

Not applicable

Competing interests

The authors declare that they have no competing interests.

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