Stepwise Processing Analyses of the Single-Turnover PCSK9 Protease Reveal its Substrate Sequence Specificity and Link Clinical Genotype to Lipid Phenotype

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Running title: PCSK9 Substrate Specificity and Genotype

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ABSTRACT

Proprotein convertase subtilisin/kexin type 9 (PCSK9) downregulates the low-density lipoprotein (LDL) receptor (LDL-R), elevating LDL cholesterol (LDL-C) and accelerating atherosclerotic heart disease, making it a promising cardiovascular drug target. To achieve its maximal effect on the LDL-R, PCSK9 requires auto-proteolysis. After cleavage, PCSK9 retains its prodomain in the active site as a self-inhibitor. Unlike other proprotein convertases, however, this retention is permanent, inhibiting any further protease activity for the remainder of its life cycle. Such inhibition has proven a major challenge toward a complete biochemical characterization of PCSK9’s proteolytic function, which could inform therapeutic approaches against its hypercholesterolemic effects. To address this challenge, we employed a cell-based, high-throughput method using a luciferase readout to evaluate the single-turnover PCSK9 proteolytic event. We combined this method with saturation mutagenesis libraries to interrogate the sequence specificities of PCSK9 cleavage and proteolysis-independent secretion. Our results highlight several key differences in sequence identity between these two steps, complement known structural data, and suggest that PCSK9 self-proteolysis is the rate-limiting step of secretion.

Additionally, we found that for missense single nucleotide polymorphisms (SNPs) within PCSK9, alterations in both proteolysis and secretion are common. Lastly, we show that some SNPs allosterically modulate PCSK9’s substrate sequence specificity. Our findings indicate that PCSK9 proteolysis acts as a commonly perturbed but critical switch in controlling lipid homeostasis and provide a new hope for the development of small molecule PCSK9 inhibitors.

On the cell surface of the hepatocyte, proprotein convertase subtilisin/kexin type 9 (PCSK9) binds to the LDL receptor (LDL-R), and upon internalization, chaperones the entire complex to the lysosome for degradation.(1,2) By preventing recycling of the LDL-R back to the cell surface, PCSK9 reduces the availability of the LDL-R to remove LDL cholesterol (LDL-C) from the bloodstream,(3,4) which ultimately promotes atherosclerotic heart disease.(5,6) Therapeutics targeting PCSK9 function lead to impressive reductions in LDL-C in patients and, for those with established heart disease, provide additional clinical benefit beyond standard therapy.(7,8) The large therapeutic window of PCSK9 inhibition along with the prevalence of the underlying disease justify a need for additional anti-PCSK9 therapies, particularly ones amenable to lower manufacturing...
costs or oral administration, such as small molecules.

Successful processing of the PCSK9 polypeptide is required for its maximal effect on the LDL-R.(9) PCSK9 contains a signal sequence to direct its translation to the ER, where it then folds into a self-cleaving zymogen.(10) Like other proprotein convertases, self-cleavage of the prodomain is required for PCSK9 to exit the ER, transit the secretory pathway and reach the extracellular space.(11) Unlike other proprotein convertases, however, PCSK9 does not cleave its prodomain a second time. Instead, the prodomain C-terminus remains bound in the active site, inhibiting further proteolytic function.(12) Disruption of PCSK9 proteolysis is an attractive strategy to inhibit PCSK9 function, particularly as genetic variants within the cleavage sequence preventing auto-proteolysis protect against cardiovascular disease.(13) Unfortunately, the self-inhibition of PCSK9 has proven to be a major challenge towards a complete biochemical characterization of its proteolytic activity. Furthermore, while the structure of mature PCSK9 has been solved by multiple groups,(12,14,15) no structure of the uncleaved proPCSK9 has been reported.

In this work, we approach these challenges by developing a luciferase-based assay to evaluate PCSK9 proteolytic activity in trans. We use this assay to characterize the protease sequence specificity, which correlates with known structural data of the mature protease. In addition, we modify our proteolytic assay to evaluate post-cleavage PCSK9 secretion, and use our findings to identify residues differentially affecting proteolysis and secretion. We show that PCSK9 proteolysis is the rate-limiting step of PCSK9 secretion. Additionally, we apply our assays to clinically identified missense single-nucleotide polymorphisms (SNPs), finding that over half of these reported genetic alterations affect PCSK9 processing, suggesting a mechanism for many of these SNPs which are incompletely characterized. Through these SNPs, we also find regions of PCSK9 involved in allosteric modulation of its cleavage by loosening the substrate specificity of the active site from its native sequence. Overall, our findings suggest that PCSK9 proteolysis acts as a key structural switch whose regulation controls its ultimate function.

RESULTS

A High-Throughput Luciferase-Based Assay for PCSK9 Proteolysis—We first sought to develop a high-throughput method to assay PCSK9 proteolysis. In prior work, we showed that the PCSK9 catalytic domain can perform intermolecular proteolysis when co-expressing in trans a specific PCSK9 substrate (a proteolytically inactive PCSK9) along with an uninhibited PCSK9 protease (prodomain-deficient PCSK9).(16) Our prior system relied on immunoblot as the readout which limited our throughput to analyze systemic perturbations. To improve this assay, we required a solution to the following biochemical challenges: 1) the intrinsically low output of a single-turnover protease, 2) the requirement of a cell-based environment to capture both protein folding and protease activity in the ER, and 3) the need for the protease to exist (and presumably fold) in complex with its inhibitory prodomain. Taking inspiration from PCSK9 itself, we hypothesized that by linking proteolytic cleavage to the secretion of an alternative but amplifiable reporter system, we could improve the absolute readout of a single-turnover event. Similarly, by designing the system to prevent secretion of the reporter in the absence of cleavage, we could attain an acceptable dynamic range. We thus constructed our protease substrate to contain the PCSK9 prodomain linked to a secretable luciferase (NanoLuc), (17) such that successful cleavage frees the luciferase to continue through the secretory pathway unlinked to PCSK9, and therefore unimpeded. As our goal was to assay PCSK9 proteolysis itself, we took advantage of the high affinity of the prodomain for the catalytic domain,(12) as the two remain bound even in the presence of proteolysis- or secretion-abolishing mutations.(16) Concomitantly, we engineered our prodomain-deficient PCSK9 protease to have a C679X truncation, so as to retain the entire PCSK9 complex within the ER, but have little to no effect on proteolytic function itself (Fig. 1A).(18,19) We combined both engineered polypeptides on a single expression vector split by a viral P2A sequence to produce stoichiometric amounts of each.(20,21) Via transient transfections of this construct in 293T cells, and using the proportion of secreted luciferase as a proxy for cleavage, our assay provides a robust differentiation between proteolytically active (WT) and inactive (S386A) PCSK9 (Fig. 1B).
Importantly, we assay both the luciferase activity in the conditioned media, as well as the luciferase activity of the cells themselves, as the latter measurement controls for well-to-well (and plasmid-to-plasmid) variations in transfection, transcription, and translation efficiency.

The Substrate Specificity of the PCSK9 Protease is Limited and Explained by the Structure of the Mature Protease—PCSK9 is known to cleave only itself, with its P6 through P6’ cleavage sequence as SSVFAQ\textsuperscript{152}|S\textsuperscript{153}IPWNL.(22) Though the structure of mature PCSK9 is known, no structural data for proteolytically active proPCSK9 exists, leaving a need for thorough biochemical characterization. We were hopeful that the discovery of a complete substrate specificity profile might allow us to identify an improved substrate as the starting point for a competitive inhibitor, or tell us more about the evolutionary trajectory of PCSK9. Previously, we had shown through an alanine scanning approach that residues at P4 (V149) and P3 (F150) were particularly intolerant to change.(16) Given the limited structure information provided through an alanine scan, we coupled our assay in hand with a saturation mutagenesis library at each of the P6-P6’ positions to more fully characterize potential PCSK9 substrates.

To create our library, we employed a Golden Gate cloning strategy using the Type IIS BsaI restriction enzyme.(23, 24) We removed potential BsaI cleavage sites from our cleavage assay vector and installed a bidirectional BsaI cleavage site to allow the scarless insertion of paired oligonucleotides for the P6-P6’ positions (S147-L158) upon ligation. We sequentially used oligonucleotides containing the wild-type (WT) sequence with the exception of one NNK codon for each of the cleavage positions to generate the complete 240-member library (Fig. 1C). Each library member was confirmed by Sanger sequencing. We then assayed the effect of proteolysis for each positional mutant to find the relative amount of proteolysis for each mutant at a given amino acid position, with a value of 0 indicating no proteolysis, and a value of 1 equivalent to the WT sequence (Fig. S-1). The data is best visualized by showing the relative change in proteolytic activity compared to the WT residue only for those mutants with statistically significant differences (Fig. 2A).

In general, our results confirm and expand upon previous mutational analysis of PCSK9 intramolecular cleavage(22,25,26) and correlate well with the crystal structure of mature PCSK9.(12,14,15) Positions P6, P4, and P1 showed a very narrow sequence specificity, allowing only similar substitutions to the WT sequence and with most substitutions showing markedly reduced cleavage efficiency. Positions P3, P2, and P1’ also showed a narrow sequence specificity, though overall, substitutions here appeared mildly less detrimental than those at P6, P4, and P1. These differences are reflected in the sequence logo(27) (Fig. 2B) which highlights the preferred residues. In the known crystal structure of PCSK9, the P6 serine and the P4 valine side chains protrude directly into a narrow hydrophobic binding pocket, explaining why only the P6 S → A and the P4 V → I mutations are fully tolerated (Fig. 3). The P3 phenylalanine points away from the binding pocket but forms a π-π interaction with W72 of the prodomain, which itself likely interacts with F318 of the catalytic domain. The importance of this π-π stacking explains the tolerance at P3 for the other aromatic residues W and Y. Additional steric bulk is tolerated, albeit poorly, at the P2 position. For the P1 position, the hydrogen bond made by the lone pairs from the amide carbonyl of the glutamine side chain with the free amide of N317 of the catalytic domain appears to be very important, as only a methionine residue, which could similarly position its thioether lone pairs, is well tolerated. The presence of a ridge, rather than a binding groove, for C-terminal cleavage positions explains the need for flexibility at P1’, and the tolerance for small residues like glycine, serine, and alanine. The flexibility at P5, particularly for larger positions, correlates well with the lack of strict conservation at this residue (F in \textit{M. musculus}, L in \textit{R. norvegicus}), as well as the protrusion of the side chain away from the binding groove and into a solvent exposed space. The identities of P2’ through P6’ have little effect on cleavage, consistent with the lack of a potential binding pocket for these residues.

The majority of mutations investigated caused a loss of proteolysis, an expected result taking an unbiased mutagenesis approach to an area of the protein critical for its ultimate function. Several mutations at P5 and P5’ showed mild improvement compared to the WT sequence. To
rule out the possibility of an alternative transcriptional start site biasing NanoLuc production, we compared the P5 M, P5'M, and WT cleavage sequences with the inactive S386A protease, confirming no difference in readout (Fig. S-2A). We then investigated the possibility of synergistic mutations at these sites, pairing each of the mutations at P5 and P5' sites which showed improved cleavage readouts. Our results showed no evidence of synergy between the double mutations (Fig. S-2B), with all but one double mutant not significantly different than WT in cleavage activity.

Overall, our results suggest that the PCSK9 cleavage sequence is essentially optimized with its native sequence, with areas of tolerance unlikely to provide significant improvement on proteolytic activity. In addition, the structure of the mature protein helps to explain the sequence specificity for PCSK9 cleavage, which is fairly well conserved among interspecies PCSK9 genes.(28) Our results lend to the argument that the need to preserve a cleavable sequence as part of a conformational switch has driven the evolution of the P6 and P4-P1' amino acids. By contrast, a different mechanism likely drives the evolutionary conservation of the P2'-P6' amino acids. Indeed, after cleavage, these residues form an α-helix over 20 Å away from the active site, with recent data suggesting that the structure of this helix, in particular residues W156 through I161, cover a binding site on the catalytic domain important in stabilizing the interaction with the EGF(A) domain of the LDL-R.(29)

A Stepwise Analysis of PCSK9 Processing Illustrates Residues Differentially Regulating Proteolysis and Secretion—Previously we reported that the identity of residue 152 (the P1 residue) could differentially regulate proteolysis and secretion.(16) We thus asked whether additional residues within the prodomain tail and bound to the active site (residues P6-P1) could act similarly. We designed an alternative assay scheme to evaluate post-cleavage PCSK9 secretion based on a prior in trans secretion system (Fig. 4A).(30) Here, we altered our bicistronic co-expression system to include an unmodified PCSK9 prodomain, as well as a prodomain-deficient PCSK9 with a C-terminally tagged luciferase. Again using transient transfections in 293T cells, and evaluating the conditioned media and the transfected cells separately for luciferase activity, we found that our assay reliably differentiated secretory (WT) PCSK9 from secretion-restricted (S462P)(31) PCSK9 (Fig. 4B).

Focusing on the post-cleavage residues bound in the active site, we then created a similar single amino acid saturation mutagenesis library for the P6-P1 positions and evaluated the effects of each library member against in trans PCSK9 secretion (Fig. 4C). Similar to the proteolysis assay, positions P6 and P4 were severely intolerant to mutation, whereas the P5 position was mostly accommodating of mutational change (Figs. 5A, 5B; Fig. S-3). A direct comparison between proteolysis and secretion phenotypes revealed several interesting results (Fig. 5C). Despite the relative intolerance of the P4 position to mutation in either assay, charged residues, particularly basic ones, were less detrimental in the proteolysis assay as compared with the secretion assay, where they essentially abolished secretion. This suggests that the transition state for the proteolytic cleavage step is more tolerant of an ionic charge at this position than is the cleaved, mature PCSK9 awaiting secretion. Conversely, several of the mutations in P1, particularly those installing branched aliphatic chains, were less detrimental in the secretion assay than in the protease assay, where essentially no proteolysis was seen. The biochemistry of the protease likely explains this phenomenon: the side chain of N317 stabilizes the oxyanion hole filled by the proteolytic transition state, in turn facilitated by hydrogen bonding with Q152 (or Q152M). After proteolysis, however, only the space occupied by the glutamine side chain remains important, but the requirement to accept hydrogen bonds does not. Overall, these data correlate well with our previous findings.(16)

PCSK9 Proteolysis is the Rate Limiting Step of PCSK9 Secretion—Among the residues evaluated in both assays, only one mutant, P6-C (S147C), revealed a phenotype of improved secretion and reduced proteolysis when each were compared to WT. We recognized this unique profile as a means to biochemically test whether PCSK9 proteolysis is the rate-limiting step of PCSK9 secretion, a concept which is consistent with previous literature but not directly tested.(22,25,26) To confirm that our evaluation of intermolecular PCSK9 proteolysis correlates to auto-proteolysis of full-length PCSK9, we installed
the S147C mutation into a full-length PCSK9 harboring a C-terminal BirA*-FLAG tag. Immunoblots of lysates of 293T cells overexpressing both WT and S147C tagged PCSK9 show that the ratio of mature to total PCSK9 is higher for the WT construct as compared with the mutant (Fig. 6A). These findings are consistent with intramolecular proteolysis being delayed similarly to the intermolecular proteolysis evaluated in our high-throughput assay. We then installed the S147C mutation into the C-terminally NanoLuc tagged full-length PCSK9 construct, and performed a similar luciferase-based secretion assay. We found that overall secretion of the full-length S147C was less than that of the WT construct (Fig. 6B). Given that the S147C PCSK9 has a proteolysis defect but a mild secretion advantage, we conclude that proteolysis is the rate limiting step of WT PCSK9 secretion.

**Missense SNPs in PCSK9 Frequently Affect Proteolytic Function**—Given the importance of proteolysis in PCSK9 maturation, we then utilized our proteolysis assay to survey the landscape of missense SNPs reported in the clinical literature. We selected 84 total mutants from non-redundant SNPs from two well-curated databases, as well as certain site mutants made for selected biochemical studies.(13, 32–34) We then installed each of these mutations through site-directed mutagenesis into our proteolysis assay vector, confirming their identity through extensive sequencing, and ran the proteolysis assay alongside our positive (WT) and negative (S386A inactive) controls. Overall, we found that 47 of the 84 (56%) of the mutants surveyed caused a statistically significant change in proteolysis, about equally distributed between improved and reduced proteolytic function, though the absolute changes were quite modest (Fig. 7, Table S1). Our assay recapitulated the findings of many of the known SNPs previously characterized to cause reduction in self-proteolysis, including loss-of-function (LOF) mutations G106R,(35) Q152H,(25) and N354I,(36) as well as gain-of-function (GOF) mutations S127R(22,37) and D129G.(38) SNPs reported in the prodomain tended to cause a loss of proteolytic activity, whereas SNPs in the catalytic and cysteine-histidine rich (CHR) domains tended to cause improvements in proteolytic activity.

**Missense SNPs in PCSK9 Can Affect Proteolysis-Independent Secretion**—To fully characterize the effects of these SNPs on PCSK9 processing, we analyzed all but one of these SNPs using our PCSK9 secretion assay. Here, we found a smaller number of mutants (26 of 84) to affect in trans secretion, with all but two having a detrimental impact (Fig 7). As in the protease assay, we confirmed the findings of mutations previously shown to reduce PCSK9 secretion by sequestration in the ER, including G236S(36) and S462P.(31) Most of the affected SNPs resided in the prodomain, consistent with the purported role of the prodomain as a chaperone to assist with overall protein folding. Only L108R and A168E showed modestly improved secretion. As these results were similar to the findings in the proteolysis assay, we suspect that these mutations may improve the rate of protein folding overall.

Combining the results of our assays, we characterized the SNPs into three categories based on their effect on processing: improved, reduced, and unchanged (Table 1). 34 SNPs (40%) showed improved processing, exclusively due to improved proteolytic ability, where 23 SNPs (27%) showed decreased processing, split nearly equally between decreased proteolysis or decreased protease-independent secretion. Thus, two-thirds of the SNPs showed a phenotype affecting some aspect of processing. In addition, among SNPs of uncertain clinical significance, defined as those with either no known associated phenotype or a possible but unconfirmed phenotype (53 total), 30 SNPs could potentially be functionally reclassified (Table 1, bold). Lastly, 11 SNPs displayed processing phenotypes discordant with what would be expected according to their proven or suggested effects on LDL-C (Table 1, italics). For many of these particular SNPs, the processing effects were quite modest. However, this also illustrates that additional mechanisms, beyond PCSK9 processing alone, can and do play more significant roles in driving serum LDL-C levels.

**Mutations in PCSK9 Distal to the Active Site Can Allosterically Modulate Proteolytic Function**—We were struck by the spatial clustering of certain SNPs in affecting proteolytic function, particularly when mapped onto the crystal structure of mature PCSK9. In particular, in the minimal L-loop of the PCSK9 prodomain (S127 and D129), mutations reduced proteolysis, whereas along the unstructured loop on the catalytic domain containing a furin cleavage sequence (R215, F216,
R218, Q219), (18) mutations improved cleavage. Additionally, mutations spread through the CHR domain tended to correlate with improved proteolytic ability, consistent with previous evidence that the CHR domain may interact with the prodomain. (39) To investigate the possibility that certain regions could allosterically modulate PCSK9 proteolysis, we selected several SNPs with improved proteolytic ability, and evaluated their effects on the sequence specificity of the protease (Fig. 8A). In comparing relative proteolysis to the WT cleavage sequence, R215H showed a profile of increased tolerance for the P1A (Q152A) and P1’Q (S153Q) cleavage sequences (Fig. 8B). By contrast, A245T appeared markedly similar to the WT protease, and L108R, though showing no significant difference from WT, qualitatively appeared to have an intermediate phenotype (Fig. 8B). These data suggest that the R215H protease allows more flexibility in the cleavage sequence, both in permitting a loss of hydrogen bonding between Q152 and N317, as well as allowing increased size at the P1’ position. While L108R and A245T permit increased proteolysis overall, this does not translate into a selective advantage for a particular sequence profile. These differential findings imply structure-activity relationships suggestive of specific allosteric effects.

The unstructured furin cleavage sequence in the catalytic domain is located in close proximity to the active site, and, like the native 147-153 SSVFAQS cleavage sequence, is immediately preceded by an EED motif. We thus hypothesized that this sequence could act as a competitive inhibitor of the native cleavage sequence in the uncleaved proPCSK9. We directly substituted residues 212-220 of full-length PCSK9 (encoding GTRFHRQA) for the SSVFAQS cleavage sequence in an attempt to optimize that region for active site binding. However, secretion of full-length PCSK9 was mildly improved with this substitution (Fig. 8C), inconsistent with this region acting as a competitive inhibitor, though consistent with the region tolerating significant mutation. Moreover, when the same substitution was placed in Q152V PCSK9 (which eliminates proteolysis at residue 152), no secretion was observed. This indicates that the engineered alternative sequence cannot undergo proteolysis and rescue the secretion phenotype when the native cleavage sequence also cannot be cleaved. Along similar lines, neither direct substitution nor an insertion of the SSVFAQS optimized cleavage sequence at residues 212-220 produced substantial differences in either of our dedicated in trans proteolysis or secretion assays (Fig. S-4). When taken together with the differential effects of the R215H mutation on the cleavage sequence, these data argue that the unstructured furin cleavage loop acts as a negative allosteric modulator of PCSK9 cleavage.

**DISCUSSION**

In this work, we developed a cell-based, high throughput method to evaluate the sequence specificity of a single turnover protease, previously only known to cleave itself at the SSVFAQ|SIPWNL sequence. We provide biochemical evidence that the sequence specificity is quite restricted at the P6 and P4 through P1’ residues, and that only modest improvements to the native cleavage sequence are possible through single amino acid mutagenesis. While the limitations of generalizing the results of our assay to WT PCSK9 include our design of intermolecular (rather than intramolecular) proteolysis, as well as the need for an overexpression system, our results correlate well with the structure of the mature, cleaved PCSK9. No structure of the uncleaved proPCSK9 has been reported.

The advantages of our assay system include its ease of use, scalability, and enzymatically amplified readout. We anticipate that our assay system would be generalizable to other low-activity proteases. In theory, the system could be applied to any protease capable of proper folding in the secretory pathway, so long as the target cleavage sequence could be linked to an intracellular anchor, and that successful cleavage could free the luciferase for secretion. Indeed, similar secretable luciferase-based assays have been used as biosensors for caspase activation and viral cleavage. (40–42) Here, we used the native PCSK9 prodomain as our cleavage target. However, in a system not reliant upon the presence of an auto-inhibitor, the cleavage sequence could be sequestered to particular compartments by choosing an appropriate type I membrane protein as the anchor. In so doing, one could probe protease activity in specific compartments. Similar approaches using a release of luciferase from pro-IL-1-β protein aggregates have been used to probe protease activity in the inflammasome. (43)
We applied our system to evaluate clinical SNPs found in PCSK9, a key modulator of serum LDL-C and an attractive cardiovascular target. Our findings suggest that alteration in PCSK9 proteolytic function is a frequent effect of SNPs which present in a clinical setting. For some mutants, alterations in PCSK9 processing alone may be enough to cause the phenotype observed. The E32K and R93C mutants serve as examples at each extreme: the increased cleavage of the E32K mutant likely drives higher serum PCSK9, which in turn lowers LDL-C, whereas the reduced cleavage of R93C causes the opposite effect. In a general Japanese population, the E32K mutation correlates with lower serum PCSK9 levels, consistent with our proposed mechanism. However, the correlation between serum PCSK9 and genotype in other populations is variable with efforts to measure serum PCSK9 as a means to identify carriers of certain PCSK9 mutations seeing mixed success. Serum PCSK9 levels undergo significant fluctuations, compared with the more stable LDL-C,(48–50) likely contributing to this difficulty. As a result, our assay may provide additional value in evaluating the likely effect of a novel clinically identified SNP, and can be readily performed in any standard biological laboratory with the capacity for PCR, mammalian tissue culture, and a plate reader. As others have noted, relatively modest changes in LDL-C caused by PCSK9 mutations produce life-long effects, leading to an effectively lower “cumulative dose” of LDL-C on the vasculature over many years. (6) Thus, small biochemical effects in PCSK9 processing may very well be clinically relevant.

While our ability to categorize the SNPs based on processing ability is helpful, it also illustrates the complexity of PCSK9 physiology. For some mutations, the effects on processing are likely to be overshadowed by their other effects, such as LDLR binding (D374Y)(34,51) or cleavage and degradation by other proteases (F216L).(18,52) For other mutations, these additional effects can explain the discordance between processing phenotype and functional classification. For SNPs with increased proteolytic function but LOF (low LDL-C) phenotypes, for example, A522T has previously been shown to have an overall secretion restricted phenotype, consistent with the presence of additional requirements for secretion post-cleavage, while the Q219E mutation has been shown to render the protein more susceptible to furin-mediated cleavage.(18) Similarly, some mutants with reduced cleavage show GOF (high LDL-C) phenotypes, and the S127R mutation is a prime example. Prior well-conducted pulse-chase studies have shown the rate of PCSK9 maturation in the S127R mutant to be slower than WT; however these studies looked at total PCSK9 from cellular lysates, and therefore could not definitively exclude an increased rate of intracellular degradation of S127R PCSK9, compared to WT, as an explanation. Our assay, looking at proteolysis alone, provides compelling evidence to support the fact that PCSK9 proteolysis is hindered by this mutation. The mechanism by which S127R PCSK9 causes a GOF phenotype, however, despite its impaired processing and secretion, remains to be defined.

While PCSK9 has several transcriptional regulators, it is strongly induced by SREBP-2,(54) the same sterol sensor upregulated by HMG CoA reductase inhibitors to increase LDL-R transcription. This has led to the recognition that statin therapy induces PCSK9 production,(55) which blunts some of the cholesterol-lowering effect. Mutants in PCSK9 which enhance processing would likely have an amplified response to statin therapy, and therefore exhibit lower-than-expected responses. Whether such genotypes could predict response to statins deserves further study. Additionally, such genotypes may also predict a higher likelihood to receive benefit from anti-PCSK9 therapies currently in clinic.

Our work also illustrates the power of clinical findings in illustrating the native biology of our protein of interest. While by no means an unbiased sample, the clinical SNPs evaluated revealed that a sizeable proportion of them affected proteolytic function, and we provide evidence that some mutations allosterically regulate this function. This is not altogether surprising: allosteric regulation of enzyme substrate specificity has been described in many enzyme classes. Kinases in the DYRK family regulate their specificity by first performing self-phosphorylation of tyrosine residues, allowing a conformation change that permits ultimate serine/threonine phosphorylation of targets.(56) Metabolic enzymes such lipoxygenases and transcarbamylases alter their specificity through allosteric regulation by their products.(56,57) Small molecules have been used
to allosterically regulate the specificity for broad proteases such as γ-secretases away from pathogenic substrates such as amyloid precursor protein.\(^{(59)}\) Given that targeting PCSK9 remains of great interest to the cardiovascular community, this latter example is particularly relevant. A strategy which may phenocopy and induce the allosteric effects of these SNPs, whether by a small molecule or other means, may be a reasonable approach to ultimately reduce PCSK9 function and lower LDL-C in the clinic.

**EXPERIMENTAL PROCEDURES**

**Materials and Reagents—Oligonucleotide**

Primers were custom synthesized by Elim Biopharmaceuticals (Hayward, CA). Restriction enzymes and polymerases were purchased from New England Biolabs (Ipswich, MA). Mouse monoclonal antibody to FLAG (clone M2) was obtained from MilliporeSigma (St. Louis, MO). Mouse monoclonal antibody to beta-actin (8H10D10) was obtained from Cell Signaling (Danvers, MA). Goat anti-mouse IRDye 800CW conjugate secondary antibody was obtained from Li-Cor Biosciences (Lincoln, NE). Coelenterazine was obtained from Gold Biotechnology (St. Louis, MO). All other reagents were obtained from MilliporeSigma unless otherwise noted.

**Plasmid Construction**—All expression vectors were created by Gibson assembly\(^{(60)}\) after PCR amplification of appropriate PCSK9 domains from plasmids previously described,\(^{(16)}\) a plasmid encoding nanoluciferase (Promega, Madison WI), or a plasmid encoding the BirA*-FLAG tag (generous gift from R. Huttenhain and N. Krogan, UCSF) into the pcDNA5/FRT/TO backbone (ThermoFisher Scientific, Waltham MA). Viral P2A sequences and linkers were added during Gibson assembly via appropriate oligonucleotide design. For saturation mutagenesis library vectors, the removal of BsaI restriction sites and creation of a bidirectional BsaI cleavage site at the cleavage site (cleavage library) or prodomain C-terminus (secretion library) was performed by site-directed mutagenesis using partially overlapping primers, as previously described.\(^{(61)}\) Individual point mutations for SNPs and for SNP-based cleavage site mutations were also installed using site-directed mutagenesis. All constructs were extensively sequenced to ensure the absence of errors.

**Saturation Mutagenesis Library Generation**—A series of paired, complementary oligonucleotides were designed containing 4 nucleotides (NTs) of overhang to the library vector, followed by either 36 (cleavage library, P6 through P6\(^{'}\)) or 18 (secretion library, P6 through P1) NTs of the WT sequence. For each pair, one of the codons was designed as NNK (forward) or MNN (reverse), for 12 (cleavage library) or 6 (secretion library) pairs total. 10 μM solutions of oligonucleotide pairs were then phosphorylated by T4 PNK (NEB) at 37°C for 30 min, followed by denaturation at 95°C for 5 min, then a 0.1°C/sec ramp to 25°C to anneal. 50 ng of library vector was then subjected to simultaneous digestion by BsaI-HF (NEB) and ligation by T7 DNA ligase (NEB) in the presence of 4 nM phosphorylated oligonucleotide pair, cycling between 37°C and 23°C for 5 min each for 6 total cycles. The ligation reaction was treated with PlasmidSafe exonuclease (Epicenter, Madison WI) at 37°C x 30 min, followed by transformation into chemically competent Mach1 cells (ThermoFisher Scientific). After sufficient growth at 37°C, colonies were screened by colony PCR using oligonucleotide primers flanking the insertion sequence. PCR products were purified using a ZR-96 DNA Clean & Concentrator kit (Zymo Research, Irvine CA) and subjected to Sanger sequencing. Desired clones not identified on initial sequencing were created by designing oligonucleotide pairs containing the desired sequencing and performing cloning as noted above.

**Cell Culture**—HEK293T cells were obtained from ATCC (Manassas VA), and maintained in high-glucose, L-glutamine and pyruvate supplement DMEM (ThermoFisher Scientific) supplemented with 10% FBS (Axenia BioLogix, Dixon CA) at 37°C in 5% CO\(_2\).

**High Throughput Luciferase Assays**—HEK293T cells were seeded into white-bottomed 96 well plates at 2x4 cells per well on the day prior to transfection. On the day of transfection, media was changed, and cells were transfected with Lipofectamine 3000 (ThermoFisher Scientific) according to the manufacturer’s protocol using 40 ng of DNA per well. Cells were incubated at 37°C for 24 hrs. At time of readout, one-half of the conditioned media was transferred to a fresh white-bottomed 96 well plate. Luciferase activity was measured in the conditioned media by adding a 1:1 mixture of filtered 2X coelenterazine non-lytic...
reagent (300 mM sodium ascorbate, 5 mM NaCl, 0.1% bovine serum albumin (BSA), 40 μM coelenterazine), room-temperature incubation on a shaker in the absence of light for 5-10 min, followed by immediate readout of luminescence on a plate reader (Tecan Systems, San Jose CA). Luciferase activity was measured in the cells simultaneously using a filtered 2X coelenterazine lytic reagent, with 0.1% Triton X-100 instead of BSA. All assays were performed in triplicate and repeated at least three times.

Data Analysis—Data analysis was performed using Prism 7.0 (GraphPad Software, La Jolla CA). The proportion of secreted over total (secreted plus cellular) luciferase activity was calculated for each well. Data were normalized to WT and negative control samples included on each plate. Data was cleaned to remove wells with poor transfection efficiency (identified by poor cellular luminescence readout) and then for potential outliers (using the ROUT method, with a maximum false discovery rate of 1%). For library analyses, data was compiled into tables by grouping results from each amino acid position and normalized within that group. Statistical significance for each mutation was evaluated using multiple unpaired T-tests corrected for multiple comparisons using the Holm-Sidak method and  of 0.05. Heatmaps were then generated showing the normalized activity scores (where 0 indicated no activity, and 1 indicated WT level activity) and the standard deviation (SD) of those scores. Additional heatmaps were generated by using the difference in normalized activity of each amino acid mutant compared to the WT sequence, and plotting only those values that were statistically significant. Sequence logos were created by the WebLogo3 web application(27) via position-weight matrix (PWM) files generated from the normalized activity scores. Data analysis for the SNP assays was essentially similar.

Western Blot Analyses—HEK293T cells were seeded in 6 well plates at 5e5 cells per well on the day prior to transfection. On the day of transfection, media was changed, and cells were transfected with Lipofectamine 3000 (ThermoFisher Scientific) according to the manufacturer’s protocol using 1 μg of DNA per well. Cells were incubated at 37°C for 24 hrs. Cells were then washed with ice-cold PBS twice, resuspended in ice-cold PBS, transferred to a microcentrifuge tube, and centrifuged at 300 x g for 5 min. PBS was aspirated and cell pellets were resuspended on ice in 40 µL lysis buffer (50 mM Tris-HCl pH 7.4, 150 mM NaCl, 0.1% NP-40, 1X cOmplete protease inhibitor cocktail). Lysates were clarified at 21000 x g x 15 min, and protein concentration was quantified by BCA assay. Gel samples were made in SDS-based Laemmli buffer under reducing conditions and heated at 98°C x 5 min. The appropriate primary antibodies were hybridized to the blots overnight at 4 °C. The appropriate secondary antibodies were then transferred to nitrocellulose. The blots were blocked in 5% nonfat dry milk in Tris-buffered saline (20 mM Tris, pH 7.4, 150 mM NaCl) containing 0.1% Tween (TBS-T) for 1 h. The appropriate primary antibodies were hybridized to the blots overnight at 4 °C. The blots were washed 3 times for 10 min each with TBS-T, and the appropriate secondary antibodies were hybridized in 5% nonfat dry milk in TBS-T for 1 h at room temperature. The blots were again washed 3 times for 10 min each with TBS-T before directly imaging with the ODYSSEY infrared imaging system (Li-Cor Biotechnology, Lincoln, NE). Quantification was performed with the Li-Cor Imaging software.

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FOOTNOTES
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The abbreviations used are: PCSK9, proprotein convertase subtilisin/kexin type 9; LDL, low density lipoprotein; LDL-R, low density lipoprotein receptor; LDL-C, low density lipoprotein cholesterol; SNP, single nucleotide polymorphism; WT, wild-type; LOF, loss-of-function; GOF, gain-of-function; CHR, cysteine-histidine rich; SD, standard deviation; PWM, position-weight matrix.
### TABLE 1

**PCSK9 Processing**

|     | Increased | Decreased | Unchanged |
|-----|-----------|-----------|-----------|
| Proteolysis | Secretion | Proteolysis | Secretion |
| E32K | A62D      | R46L      | D35Y      |
| A53V | R93C      | D129N     | E54A      |
| G59R | R104C     | N157K     | E57K      |
| S89L | G106R     | G236S     | A68T      |
| L108F | V114A | R357H     | T77I      |
| L108R | S127R     | D374Y     | R194Q     |
| A168E | D129G     | F379A     | R237W     |
| D204N | Q152H     | H391N     | A239D     |
| E206K | L253F     | R434W     | G263S     |
| R215H | R319W     | S462P     | P279T     |
| F216L | N354I     | S465L     | L283M     |
| R218S | P467A     | D374H     |           |
| Q219E |           |           | H417Q     |
| A245T |           |           | I424V     |
| R248H |           |           | N425S     |
| R272Q |           |           | A443T     |
| G452D |           |           | R469W     |
| V474I |           |           | N513D     |
| E482G |           |           | A514T     |
| R495Q |           |           | Q554E     |
| R496Q |           |           | E620K     |
| R496W |           |           | D651Y     |
| F515L |           |           | R659L     |
| A522T |           |           | S668G     |
| H553R |           |           | S668R     |
| W566S |           |           | E669K     |
| H591Q |           |           | G670E     |
| P616L |           |           |           |
| Q619P |           |           |           |
| V624M |           |           |           |
| V644I |           |           |           |
| V650I |           |           |           |
| N652D |           |           |           |
| D660N |           |           |           |
TABLE LEGEND

TABLE 1. Effect of SNPs on PCSK9 Processing. **Bold**, potential reclassification. *Italics*, discordance with described LDL-C phenotype. LDL-C phenotypes are illustrated by colors (cyan = GOF/high LDL-C, magenta = LOF/low LDL-C), with color intensity reflective of confidence of assignment (bright = confident, light = proposed but unconfirmed).

FIGURE LEGENDS

FIGURE 1. PCSK9 Cleavage Assay and Mutagenesis Library. A, Schematic of *in trans* PCSK9 proteolysis assay. Co-expression of the substrate (Prodomain-NLuc) with a non-secretable prodomain-deficient PCSK9 protease (PCSK9ΔPro-C679X) allows for secretion of the luciferase, and a positive readout in the conditioned media, only when cleavage is permitted. In this situation, the system perturbation is a library of cleavage site mutants as substrates. See text for further details. B, Relative proteolysis of WT vs. inactive (S386A) PCSK9 protease. Error bars indicate standard deviation (SD). Results of an unpaired t-test with Welch’s correction and the Z’ factor(62) are shown. C, Schematic for design of saturation mutagenesis library of proteolysis substrates. The design of the paired oligonucleotides to replace the BsaI cleavage site shown reflects the WT sequence, though each codon was sequentially exchanged for the NNK equivalent to generate the library. See text for details.

FIGURE 2. PCSK9 Cleavage Sequence Specificity. A, Heatmap showing the relative cleavage for each single amino acid mutant in the P6 through P6’ cleavage sequence as compared to the WT sequence. White rectangles illustrate the WT amino acid. A value of -1 (red) indicates essentially no proteolysis, and a value of +1 (cyan) indicates a two-fold increase from the WT value. Mutants with statistically significant differences from WT, as determined by Holm-Sidak corrected unpaired t-test with $\alpha < 0.05$, are shown in color. Mutants with effects not statistically different are shown in black. B, Weblogo(27) illustrating the preferred cleavage sequence for PCSK9. The WT amino acid is shown outlined in black, with other residues colored to reflect the values in the heatmap.

FIGURE 3. Crystal Structure of the PCSK9 Active Site and Bound Prodomain Tail. Structure of mature PCSK9 (2P4E)(12) with missing internal loops optimized by ModLoop.(63) The active site with the bound prodomain C-terminus (magenta, residues 147-152) is magnified, and the residues identified. The right panel shows a cut-away view of the prodomain residues protruding directly into the binding groove. See text for discussion.

FIGURE 4. PCSK9 Secretion Assay and Mutagenesis Library. A, Schematic of *in trans* PCSK9 secretion assay. Co-expression of the prodomain with a luciferase tagged, prodomain deficient PCSK9 (PCSK9ΔPro-NLuc) allows for readout of the luciferase in the conditioned media only when secretion of the PCSK9 is permitted. The system perturbation is a library of prodomain tail mutants. See text for details. B, Relative proteolysis of WT vs. non-secretable (S462P) PCSK9 protease. Error bars indicate SD. Results of an unpaired t-test with Welch’s correction and the Z’ factor(62) are shown. C, Schematic for design of saturation mutagenesis library of secretory prodomain tail mutants. The design of the paired oligonucleotides to replace the BsaI cleavage site shown reflects the WT sequence, though each codon was sequentially exchanged for the NNK equivalent to generate the library. See text for details.

FIGURE 5. PCSK9 Secretion Sequence Specificity. A, Heatmap showing the relative secretion for each single amino acid mutant in the P6 through P1 cleavage sequence as compared to the WT sequence. White rectangles illustrate the WT amino acid. A value of -1 (red) indicates essentially no secretion, and a value of +1 (cyan) indicates a two-fold increase from the WT value. Mutants with statistically significant differences from WT, as determined by Holm-Sidak corrected unpaired t-test with $\alpha < 0.05$, are shown in color. Mutants with effects not statistically different are shown in black. B, Weblogo(27) illustrating the
preferred secretion sequence for PCSK9. The WT amino acid is shown outlined in black, with other residues colored to reflect the values in the heatmap. C, Heatmap showing the differential cleavage for the single amino acid mutants in the proteolysis vs. secretion assays. Mutants with statistically significant differences between proteolysis and secretion assays, as determined by Holm-Sidak corrected unpaired t-test with $\alpha < 0.05$, are shown in color. Mutants with effects not statistically different are shown in black. Values in green illustrate preferential proteolysis, while those in cyan illustrate preferential secretion.

**FIGURE 6.** Cleavage is the Rate-Limiting Step of PCSK9 Processing. A, Immunoblot of cell lysates transiently expressing PCSK9-BirA*-FLAG WT or S147C constructs. The relative maturation, as quantified on the immunoblot, is noted below the appropriate column. B, Relative secretion of full-length PCSK9 WT vs. S147C, as measured by luciferase activity. Error bars indicate SD. Results of an unpaired t-test with Welch’s correction are shown.

**FIGURE 7.** Clinical SNPs Frequently Affect PCSK9 Processing. Top, Individual SNPs chosen for analysis graphically represented as yellow bars with black outlines on the protein primary structure, with PCSK9 domains noted above. Residues with two SNPs at a given position are denoted by two half-height bars stacked vertically. Middle, SNPs with statistically significant differences in proteolysis compared to WT, as determined by Holm-Sidak corrected unpaired t-test with $\alpha < 0.05$. A value of -1 (red) indicates essentially no processing activity, and a value of +1 (cyan) indicated a two-fold improvement over WT. Bottom, SNPs with statistically significant differences in secretion compared to WT, as determined by Holm-Sidak corrected unpaired t-test with $\alpha < 0.05$. The color scheme is the same as for the proteolysis assay.

**FIGURE 8.** Allosteric Effects of PCSK9 SNPs. A, Crystal structure of mature PCSK9 (2P4E)(12) with missing internal loops optimized by ModLoop,(63) with selected SNPs with improved proteolytic ability labelled in green. B, Effect of individual SNPs on proteolytic activity of selected cleavage sequence mutants. To compare the effect of the SNPs on sequence specificity, values are normalized to the activity of that SNP on the WT cleavage sequence. Error bars indicate SD. Results of unpaired t-tests with Welch’s correction are shown. C, Effect of optimized cleavage sequence (SSVFAQS) replacing the native 212-220 (GTRFHRQA) sequence in full-length PCSK9-NanoLuc on relative secretion. Error bars indicate SD. Results of an unpaired t-test with Welch’s correction are shown.
Figure 1

(A) System Perturbation:
- Substrate: Prodomain-NLuc
- Protease: PCSK9ΔPro-C679X

Cleavage:
- Cleavage + → Assay Media
- Cleavage - → No Activity

(B) Relative Proteolysis:
- WT: p < 0.0001
- S386A

Z' Factor: 0.40

(C)基因表达图解:
- ATG
- CMV
- SS Prodomain
- BsaI NanoLuc
- P2A SS
- Catalytic Domain
- CHR Domain
- C679X

Bsai Cleavage:
- P(X): [NNK]
- 32 possible members for each position
- 240 total members after selection
Figure 4
Figure 5
Figure 6
Figure 7

SS: Prodomain | Catalytic | CHR

All Assayed SNPs (84)

Altered *in trans* Proteolysis (47)

Altered *in trans* Secretion (26)

Differential Processing (vs. WT)

2-Fold Increase (over WT)

2-Fold Increase

Assay Background

-1.0  -0.5  0  0.5  1.0
Figure 8
Stepwise processing analyses of the single-turnover PCSK9 protease reveal its substrate sequence specificity and link clinical genotype to lipid phenotype

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The labels for the heat map shown in Fig. 7 were inadvertently switched. This error has now been corrected and does not affect the results or conclusions of this work.

Fig. 7