Deposition Mechanism and Thickness Control of CVD SiC Coatings on Nextel\textsuperscript{TM} 440 Fibers

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Received: 17 February 2020; Accepted: 15 April 2020; Published: 20 April 2020

Abstract: SiC coatings were successfully synthesized on Nextel\textsuperscript{TM} 440 fibers by chemical vapor deposition (CVD) using methyltrichlorosilane as the original SiC source at 1373 K. After deposited, the fibers were fully surrounded by uniform coatings with some bulges. The X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS) and high-resolution transmission electron microscopy (HR-TEM) results indicated that the coatings were composed of $\beta$-SiC and free carbon. Moreover, thickness control of the coatings could be carried out by adjusting the deposition time. The coating thickness rose exponentially, and the exterior of the coatings became looser as the deposition time increased. The thickness of about 1.5 $\mu$m was obtained after depositing for 4 h. The coating thickness was also theoretically calculated, and the result agreed well with the measured thickness. Finally, the related deposition mechanism is discussed and a deposition model is built.

Keywords: SiC coatings; oxide fibers; chemical vapor deposition; deposition mechanism; thickness control

1. Introduction

SiC ceramic has attracted extensive attention due to its excellent performance, such as appropriate high-temperature strength, relatively high oxidation, corrosion and thermal-shock resistance \cite{1–4}, etc. SiC ceramic can be used in coating materials, especially in fiber-reinforced ceramic matrix composites (FRMCs) to improve the bond strength between the fiber and matrix. After introducing SiC interphases, weak fiber/matrix interfaces can be obtained in FRMCs and several toughening mechanisms like crack deflection, fiber debonding, bridging and subsequent pullout can occur, all of which contribute to damage tolerance \cite{5–7}. Our previous works proved that SiC interphases were suitable for the strength improvement of high-temperature structural and functional materials due to its oxidation tolerance and relatively temperature-stable dielectric characteristics \cite{8,9}.

SiC coatings have been successfully deposited by CVD on silicon carbide fibers, carbon fibers, oxide fibers and more \cite{10–12}. Most research has focused on the coatings’ effects on microstructure and mechanical properties of those fibers, the strength improvement of the fiber-reinforced ceramic matrix composites, or the degradation mechanism of the coatings \cite{13,14}. However, research on the deposition mechanism and thickness control of CVD SiC coatings on oxide fibers is rare.

In the present study, SiC coatings were synthesized on Nextel\textsuperscript{TM} 440 fibers by CVD using the gas system of CH\textsubscript{3}SiCl\textsubscript{3}–H\textsubscript{2}–Ar. The coating thickness was adjusted by varying the deposition time, and the deposition mechanism was investigated by the aid of microstructure and composition analysis.
2. Experimental Procedure

2.1. Materials and Deposition of Coatings

Nextel®440 fiber fabrics (BF-30, 3M, St. Paul, MN, USA) were employed as the sample for deposited coating. The fiber, with a diameter of about 11 µm, was composed of Al₂O₃ (70 wt.%), SiO₂ (28 wt.%) and B₂O₃ (2 wt.%) [15]. Methyltrichlorosilane (MTS, CH₃SiCl₃) was used as the SiC precursor, with hydrogen as carrier gas and argon as diluent and protective gas, respectively. As shown in Figure 1, the deposition process of SiC coating was carried out in a hot-wall vertical reactor. Prior to the deposition, the fiber fabrics were desized, ultrasonically cleaned in acetone and dried in an oven. The deposition was performed at 1373 K under a total pressure of 5.0 kPa, with hydrogen and argon flow rates of 200 and 75 sccm, respectively. The coatings were deposited for 1, 2 and 4 h, respectively.

![Deposition process of SiC coatings on Nextel®440 fibers.](image)

2.2. Characterization

The microstructure of the fibers without and with coatings was characterized by scanning electron microscope (SEM) equipped with energy dispersed spectroscopy (EDS) (HITACHI FEG S4800, HITACHI, Tokyo, Japan). The phase composition of the fibers without and with coatings was analyzed by XRD equipped with a D8 ADVANCE diffractometer using monochromatic Cu Kα radiation (Bruker, Hamburg, Germany). The chemical composition of the fibers without and with coatings was traced by XPS using Al Kα radiation of energy 1486.6 eV (Thermo ESCALAB 250, Thermo Scientific, Waltham, MA, USA). TEM analysis was carried out on Tecnai F20 operating at 200 kV, and the samples were prepared according to [16].

3. Results and Discussion

3.1. Characteristics of the CVD SiC Coatings

The surface of the fibers without and with SiC coatings was observed by SEM. As shown in Figure 2, the as-received fibers showed a smooth and homogeneous surface, except for a few white sheet alumina micro-grains. After depositing for 2 h with SiC coatings, the fiber surface was no longer smooth and a large number of micro-bulges with the largest diameter of about 1 µm appeared. The coatings were generally dense, without any micro-cracks or pores.

The phase composition of the fibers without and with SiC coatings was analyzed by XRD. As shown in Figure 3a, diffraction peaks of mullite and γ-Al₂O₃ were detected for the as-received fibers. After being coated with SiC coatings (Figure 3b), three accessional peaks (2θ = 35.60°, 60.06° and 71.83°) were observed, which respectively belonged to the (111), (220) and (311) crystal planes of β-SiC. It is clear that the coatings were mainly composed of β-SiC phase [17].
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Figure 2. Surface morphology of the fibers without (a) and with (b) coatings.

Figure 3. XRD patterns of the fibers without (a) and with (b) SiC coatings.

The chemical composition of the fibers without and with SiC coatings was analyzed by XPS. As shown in the survey scan (Figure 4a), the peaks of Al 2s and Al 2p disappeared after depositing with SiC coatings. Element analysis of the coated fibers revealed that the content of C increased while the contents of Al, Si and O decreased greatly. Moreover, the Cl 2p3/2 (~198 eV) peak and Cl 2s (~271 eV) peak were detected [18], indicating the absorption of HCl. As shown in Figure 4b, the C 1s peak could be fitted into two sub peaks, C–Si bond (282.6 eV) and C–C bond (283.2 eV) [19], which was attributed to Si–C bonding. In conclusion, the coatings were composed of β-SiC and free carbon.

An HR-TEM image of SiC coatings deposited for 2 h on the fibers is displayed in Figure 5a. It could be found that the coatings consisted of large quantities of turbostratic carbon and some cubic β-SiC micro-grains oriented in the (111) crystal plane with 0.250 nm spacing. The result was coincident with XPS analysis and revealed that the coatings were rich in carbon. As shown in the SAED pattern (Figure 5b), the diffraction rings could be indexed as (111), (220) and (311) planes of cubic β-SiC [20].
3.2. Thickness Control of the CVD SiC Coatings

The cross-sectional morphology of the samples deposited for different amounts of time is shown in Figure 6. It is apparent that the fibers were surrounded closely by SiC coatings. The coating thickness was measured 10 times at different points to obtain the average value. As shown in Table 1, the coating thickness increased with the deposition time, and the value of about 1.5 µm was obtained after depositing for 4 h. Figure 7 shows the effect of the deposition time on the coating thickness. It could be found that the thickness of the coatings deposited for 1, 2 and 4 h increased exponentially with the deposition time. However, the exterior of the coatings became looser as the deposition time increased above 2 h, owing to the reduction of the adhesion force on the coating surface, thus leading to the deposition difficulty of new raw materials [21,22].
The parameter \( \eta \) can be expressed as:

\[
d = r_0 \left[ (1 + \eta c) \frac{1}{2} - 1 \right]
\]

(1)

where \( r_0 \) is the original fiber radius; \( c = (\varrho_c/\varrho_f) \), where \( \varrho_c \) and \( \varrho_f \) are the density of the coatings and fibers, respectively; and \( \eta = (\omega_c/\omega_f) \% \), where \( \omega_c \) and \( \omega_f \) are the weight of the coatings and fibers.

The original fiber radius \( r_0 \) was about 10 \( \mu \)m. The density of the pure SiC was 3.20 g/cm\(^3\). As the coatings were rich in carbon, the coating density \( (\varrho_c) \) was not constant, and should be calculated...
according to the volume mixing law, where the volume ratio of the carbon and SiC in the coatings can be obtained by element ratio from XPS analysis. Then, the parameter $\rho_c$ can be expressed as:

$$\rho_c = 2.764 e^{-0.02t}$$  \hspace{1cm} (2)

Combining Equations (1) and (2), and adopting $\eta_f = 3.0 \text{ g/cm}^3$, the coating thickness could be obtained and the results are shown in Table 1:

$$d = r_0 \left[ \left( 1 + 1.085 \eta e^{0.02t} \right)^{\frac{1}{2}} - 1 \right]$$  \hspace{1cm} (3)

As shown in Figure 7, both the measured and calculated coating thickness increased exponentially with the deposition time. The results of both methods were quite consistent in general. The calculated thickness was a little larger than the measured one. There were two main reasons: one was that the volume ratio of the carbon in the coatings was not accurate due to the ladder-like decrease of the carbon with the deposition time; the other one was that the coatings were a little loose. As a result, the actual coating density was different from the theoretical one. However, the calculated thickness could still reflect the actual one, and it was meaningful for the thickness control of CVD coatings.

### 3.3. Deposition Mechanism Research

The chemical reaction process of CVD SiC coatings using MTS as a raw material was investigated to explain the deposition mechanism for the coatings. As the bond energy for C-Si, C-H and Si-Cl are 314, 337 and 466 J/mol, respectively, the breakdown of the C-Si bond occurred first at high temperature. The reaction process can be summarized as follows [24,25]:

$$\text{CH}_3\text{SiCl}_3 \leftrightarrow \text{CH}_3\cdot + \text{SiCl}_3\cdot$$  \hspace{1cm} (4)

$$\text{CH}_3\cdot \leftrightarrow \langle \text{C} \rangle + \frac{3}{2}\text{H}_2$$  \hspace{1cm} (5)

$$\text{SiCl}_3\cdot + \frac{3}{2}\text{H}_2 \leftrightarrow \langle \text{Si} \rangle + 3\text{HCl}$$  \hspace{1cm} (6)

$$\langle \text{Si} \rangle + \langle \text{C} \rangle \rightarrow \text{SiC}$$  \hspace{1cm} (7)

$$\langle \text{Si} \rangle \rightarrow \text{Si}$$  \hspace{1cm} (8)

$$\langle \text{C} \rangle \rightarrow \text{C}$$  \hspace{1cm} (9)

where $< \text{Si} >$ and $< \text{C} >$ correspond to the intermediate states of Si and C, which can form SiC as shown in Equation (7). It can be concluded from Equations (5)–(7) that hydrogen has a great effect on the formation of silicon or free carbon during the CVD process. That is to say, pure SiC coatings can hardly be prepared via CVD process, and they will always be rich in silicon or free carbon. It is reported in the literature that coatings deposited on carbon plate, fibers or composites by CVD using MTS at temperatures above 1200 °C are usually composed of SiC, and Si impurities form at lower deposition temperature [25–27]. In this study, the coatings deposited on Nextel\textsuperscript{TM} 440 fibers by CVD using MTS at 1100 °C were composed of SiC and free carbon.

In addition, the cross-sectional morphology of the coated fibers (Figure 6a–c) revealed that the coatings were loose. In order to investigate structure and composition of the interior of the coatings, the fibers with SiC coatings deposited for 2 h were ultrasonically treated to thin the coatings from 364.2 to 97.6 nm. As shown in Figure 8a,b, many hemispherical bulges with uniform diameter of about 4 µm with a disorderly distribution on the fiber surface. The magnified SEM image (Figure 8c) shows that the plane area of the coatings was dense and smooth, without any defects. Furthermore, the EDS analysis (Figure 8e–f) revealed that after ultrasonic treatment, the fibers were totally covered by thin carbon layers with few SiC bulges. Compared to the original coatings (Figure 4b), no Cl peak was detected, indicating a dense structure for the interior of the coatings, without any absorption of HCl.
As a result, the deposition mechanism can be described. As shown in Figure 2b and schematized in Figure 9a, there were a few white sheet alumina micro-grains with disorderly distribution on the original fibers. Theses micro-grains not only increased the specific surface area but also had high catalysis activity, which led to the $<\text{Si}>$ and $<\text{C}>$ attaching onto them and reacting to form spherical SiC particles rapidly. At the same time, MTS was decompounding and depositing on fibers per Equations (4)–(9) to form carbon-rich SiC coatings (as shown in Figure 9b). As the deposition process continued and the coating thickness increased, the growth rate of spherical SiC particles and deposition rate of SiC coatings gradually became close to each other, and a relatively smooth surface was finally obtained (Figure 9c). As mentioned previously, SiC coatings became looser as the coating thickness increased due to the reduction of adhesion force on the coating surface.

Figure 8. Surface morphology and EDS analysis of the coatings deposited for 2 h after ultrasonic treatment: (a) overview image, (b) detailed image with marked areas for the EDS measurement, (c) detailed image for smooth area of the fiber surface, (d) detailed image for the fracture surface, (e) EDS measurement of smooth area and (f) EDS measurement of bulges.

Figure 9. Schematic of the deposition mechanism for SiC coatings on NextelTM 440 fibers: (a) the original fiber, (b) initial stage of deposition and (c) later stage of deposition.
4. Conclusions

We investigated the deposition mechanism of CVD SiC coatings on Nextel™440 fibers, and thickness control of the coatings was actualized by varying the deposition time. The main conclusions can be summarized as follows:

- Carbon-rich SiC coatings were synthesized on Nextel™440 fibers by CVD. Some traces of bulges were observed on the coating surface.
- CVD SiC coatings with different thickness were obtained by varying the deposition time. As the deposition time increased from 1 to 4 h, the coating thickness increased from 143.5 nm to 1.5 µm accordingly. An empirical formula was put forward to calculate the coating thickness, and the calculated thickness was quite coincident with the measured one.
- The deposition mechanism of the coatings was discussed. Spherical SiC particles were formed at a high rate on alumina micro-grains at the fiber surface, and the coatings became looser with increasing thickness due to the reduction of the adhesion force on the coating surface.

Coatings composed of β-SiC and free carbon could be used for interface engineering of oxide fiber-reinforced composites. After preparation of the composites under inert atmosphere, free carbon could be oxidized to obtain porous SiC interphases, which could improve the fiber/matrix interface characteristics. Further work will focus on interface engineering of Nextel™440-reinforced mullite composite by porous SiC interphases. The damage mode and strength of the composites will be systematically investigated.

Author Contributions: Conceptualization, Y.W. and H.C.; Data curation, J.S. and B.S.; Investigation, Y.W., J.S. and H.C.; Methodology, Y.W. and B.S.; Writing—original draft, Y.W.; Writing—review and editing, H.C. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by the National Natural Science Foundation of China (NSFC) under Grant No. 51602347.

Conflicts of Interest: The authors declare no conflicts of interest.

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