Network analysis: a novel approach to identify PM$_{2.5}$ hotspots and their spatio-temporal impact on air quality in Santiago de Chile

Adam Clements$^1$ · Rodrigo Herrera$^2$ · Stan Hurn$^1$

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Abstract
Air pollution, particularly PM$_{2.5}$ particulate matter, is a significant issue in Santiago, the capital of Chile. Santiago’s pollution problem is exacerbated by its unique geographic location nestled against the Andes mountain range in the central valley of Chile. This paper uses network models that were developed primarily to analyze systemic risk in the financial system to identify those locations in the city that are most important for explaining PM$_{2.5}$ levels. High average concentrations are associated with both systemically important locations and those that are most sensitive to pollution arriving from other areas. A detailed picture of the links across the city can help direct official efforts to combat pollution.

Keywords Particulate matter · PM$_{2.5}$ · Santiago · Networks

Introduction
Air pollution is a major environmental problem that has both health and economic implications. The component of pollution of most concern is particulate matter with a diameter less than 2.5 microns, known as PM$_{2.5}$. Given the negative and potentially dangerous consequences of PM$_{2.5}$ pollution, it is not surprising that the issue of modelling its concentration levels has attracted a great deal of attention.

Chile is an extreme case in point. It is estimated that at least 60% of Chile’s inhabitants are exposed to PM$_{2.5}$ concentrations over recommended levels. The annual U.S. norm is 15 μg/m$^3$, while the WHO suggests an annual limit of only 10 μg/m$^3$ (Cifuentes 2010). It is estimated that there are 4000 premature deaths due to chronic exposure to this component of pollution in Chile (MMA 2011) and the net economic benefit to Chile of effectively regulating PM$_{2.5}$ is estimated to be USD 7.1 billion (SINIA 2010). The Chilean capital, Santiago, where 41% of the country’s total population resides, is of particular concern because of its location in a low mountainous valley near the Andes, and the simultaneous presence of the Pacific Anticyclone and the phenomenon of thermal inversion which inhibits the dispersion of pollution. Consequently exposure to PM$_{2.5}$ pollution in Santiago has significant impacts on mortality and morbidity (Pino-Cortés et al. 2020), particularly during the winter months when air pollution increases significantly relative to the summer months.$^1$

There are a number of studies aimed at forecasting air pollution and particularly PM$_{2.5}$ levels in Chile and this body of research employs a number of different methods. These techniques include forecasting based on artificial neural networks (Perez and Reyes 2006; Díaz-Robles et al. 2008; Perez and Gramsch 2016); weather and chemical based models (Saide et al. 2011, 2016); and a systems approach based on a hierarchical set of linear regression equations where forecasts at a location are related to observations at other locations (Moisan et al. 2018). While predictive models are clearly important, a growing area of research considers the interaction between concentration levels observed at different geographical locations within small-scale geographical areas. Spatio-temporal models (Sahu et al. 2006) account for the full

$^1$For the adverse impacts of PM$_{2.5}$ in European cities, see Maciejewska (2020).
spatial distribution of monitoring stations. In the case of Santiago, Nicolis et al. (2019) develop a Bayesian scheme for forecasting from a spatio-temporal model at a 1-km high-resolution grid, using PM\(_{2.5}\) measurements from the coarser network of measuring stations. It is also possible to use the spatial correlations between monitoring stations to develop information-based indices to determine the quality of the monitoring network. This work has been undertaken in Santiago by Osses et al. (2013) and Henriquez et al. (2015). The core idea in this analysis is to determine the amount of information generated by PM\(_{2.5}\) observations at each station, in relation to concentrations across the entire Santiago area.

The spatial-temporal behaviour of pollution across the entire Santiago region is also the central focus of this paper. The primary objective is to identify the locations, or hotspots, which have the greatest impact on PM\(_{2.5}\) levels. To achieve this aim, the methodology developed by Diebold and Yilmaz (2014) for measuring systemic risk in the financial system is adapted to deal with the problem of modelling air pollution. The temporal dynamics of particulate matter is captured using a vector autoregressive (VAR) model. While there are clearly complex physical, chemical and meteorological processes underlying the dynamic behaviour of PM\(_{2.5}\) measurements, VAR modeling is an efficient reduced form statistical approach that is particularly adept at capturing the interactions between observable data.2 Publicly available weather data is easily incorporated in the methodology and can be safely assumed to be exogenous to the system.3 In addition, one of the well-known strengths of VAR models is that the interactions between the observable data mitigate the effects of any omitted variables. For example, local emissions due to traffic flows are known to influence air pollution but are not included in the analysis because no data is available.

The network framework is perfectly suited to the task of modeling pollution as it provides estimates of directional links between each time series of PM\(_{2.5}\) measured at individual monitoring stations throughout greater Santiago. Based on these directional links, two important summary measures of linkages can be constructed. Fragility captures how sensitive concentrations at an individual station are in response to changes in concentrations at all other locations. Centrality measures how strongly shocks to PM\(_{2.5}\) levels at one station are propagated to other locations and hence how important that station is in terms of explaining the overall level of pollution across the city. The equivalent concept in the context of the banking system is what effect the loss made by one bank has on the performance of all other banks, which reflects its systemic importance.

Note that the analysis in this paper is quite different to that of Osses et al. (2013) and Henriquez et al. (2015). These papers use contemporaneous correlations between monitoring stations to determine the quality of the monitoring network in Santiago. By contrast, the analysis in this paper reveals the effect of shocks or perturbations to the pollution levels at each monitoring station on concentrations at other locations, taking the monitoring network as given. This work is similar in spirit to Liang et al. (2019) who consider large-scale spatial correlations in annual PM\(_{2.5}\) levels across different parts of China, but the network approach used here is very different to the traditional spatial econometric models they employ. The biggest difference is that there is no need to impose a spatial weighting matrix, defined in terms of either adjacency or inverse distance. Instead, the VAR underlying the network captures the strength of the relationship between measurements at different locations directly from the observed data without the need for assigning arbitrary spatial weights.

The rest of the paper is structured as follows. “Data” discusses the monitoring stations spread across Santiago along with some important properties of the observed PM\(_{2.5}\) data. “Measuring network connectedness” outlines the network methodology used to estimate the systemic importance of locations relative to pollution across the entire city. “Results” discusses the empirical results identifying the areas from which pollution has the biggest impact. “Conclusion” provides some concluding comments.

Data

The data used in this study are hourly historical observations of weather variables and environmental concentrations from 11 monitoring stations located in Santiago, Chile. The data were collected from the National Air Quality Information System (SINCA) for the period January 1, 2011, to August 31, 2015.

Figure 1 shows the geographic distribution of the monitoring stations in the Santiago region. The values in parentheses report the annual average of PM\(_{2.5}\) concentrations for the 2011–2015 period at each station. It is immediately apparent that the stations are not uniformly spaced. There are some stations such as Talagante and Las Condes that are separated by more than 50 km and where there is likely to be a weak relationship between their concentration levels. In contrast, there is likely to be greater interaction between stations like Pudahuel and Cerro Navia, given their proximity.

Table 1 reports average hourly PM\(_{2.5}\) concentrations, together with firewood consumption and a number of

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2For a general introduction to time series modeling using VARs, see Martin et al. (2013), Chapters 13 and 14.

3See Acharya et al. (2016) for the potential to introduce bias by using potentially endogenous variables in this setting.
poverty and population statistics for each commune surrounding each monitoring station. A number of interesting observations may be made by comparing the characteristics of each commune with its average PM$_{2.5}$ concentration. The communes with the greatest average PM$_{2.5}$ levels, namely El Bosque and Cerro Navia, are not the areas with the largest population. The two communes with the largest populations, Puente Alto and La Florida, experience relatively high pollution levels but not the highest. The most polluted communes, El Bosque and Cerro Navia, have both the highest population densities and the worst poverty. Although it is reasonable to expect that greater concentrations of relatively poor households will be associated with increased burning of firewood, which is one of the most important contributory factors leading to higher PM$_{2.5}$ levels (Molina et al. 2017), this is in fact not the case. Apart from Puente Alto, where both firewood consumption and PM$_{2.5}$ levels are high, there is little association between firewood consumption and PM$_{2.5}$ levels.

In summary, there appear to be no obvious patterns between the characteristics of the local commune areas and the pollution levels they experience. The network analysis undertaken here will provide a formal analysis of the links between concentration levels at all 11 stations. The results will reveal whether locations with the highest average concentrations have the biggest impact on pollution levels across the entire city, or whether these areas merely suffer from pollution generated in other areas.

### Table 1

Average PM$_{2.5}$ concentrations for each monitoring station. Firewood consumption, poverty and population statistics for each commune based around each station are also reported, see Gajardo (2016) and Gramsch (2014) and INE (2015) respectively.

| Station         | Hourly mean PM$_{2.5}$ ($\mu$g/m$^3$) | Consumption of firewood (ton/year) | Poverty due to income (%) | Multidimensional Poverty Index (%) | Population Density (hab/km$^2$) |
|-----------------|----------------------------------------|-----------------------------------|---------------------------|------------------------------------|---------------------------------|
| Cerrillos       | 27.81                                  | 169                               | 8.1                       | 19.7                               | 71.906                          |
| Independencia  | 25.11                                  | 227                               | 9.8                       | 21.3                               | 65.479                          |
| Las Condes      | 21.18                                  | 6044                              | 0.6                       | 4.8                                | 249.893                         |
| El Bosque       | 29.44                                  | 1712                              | 14.5                      | 27.0                               | 175.594                         |
| Parque O’Higgins| 26.20                                  | 1996                              | 5.9                       | 11.6                               | 200.792                         |
| Talagante       | 20.70                                  | 11,892                            | 12.0                      | 29.9                               | 59.805                          |
| Quilicura       | 25.79                                  | 962                               | 7.8                       | 18.5                               | 126.518                         |
| Pudahuel        | 26.63                                  | 2172                              | 7.8                       | 20.5                               | 195.653                         |
| Cerro Navia     | 29.45                                  | 1568                              | 12.1                      | 35.6                               | 148.312                         |
| La Florida      | 26.54                                  | 3006                              | 3.1                       | 17.0                               | 365.674                         |
| Puente Alto     | 28.07                                  | 6848                              | 8.0                       | 27.1                               | 492.915                         |

Fig. 1 Map of 11 monitoring stations in Santiago, Chile. Values in parentheses indicate hourly average PM$_{2.5}$ levels across period (2011–2014) for each commune corresponding to each monitoring station.
Measuring network connectedness

The methodology used to estimate the connectedness between PM2.5 concentrations at the 11 stations is based on Diebold and Yilmaz (2014), who demonstrate how a traditional VAR model and associated variance decomposition is useful for measuring network connectedness. This framework provides estimates of the total directional connectedness from one individual region to all others, and the connectedness from all other regions to an individual region, and permits an analysis of how shocks in PM2.5 concentrations originate and are transmitted across the greater Santiago metropolitan region. An important feature of the VAR framework used in this paper, known as a generalized variance decomposition, is that the ordering of the time series in the model is not important for establishing the impact of a shock to PM2.5 concentration in one location on the concentrations in other locations over the chosen time horizon. Note that this methodology introduces an explicit time dimension to the analysis and is not, therefore, simply accounting for contemporaneous correlations between concentrations at each monitoring location.

The network analysis is based on a VAR model given by

\[ Y_t = \beta_0 + \sum_{j=1}^{6} \beta_j Y_{t-j} + \sum_{l=2}^{24} y_j Y^H_{l} + \sum_{k=2}^{12} \theta_k Y^M_{k} + \delta T_t + \lambda WD_t, WV_t + \epsilon_t, \]

where \( Y_t \) is an \((11 \times 1)\) vector containing the hourly observations of PM2.5 concentration for each of the stations and \( \epsilon_t \) is a disturbance vector which is assumed to be independent and identically distributed. The coefficient matrices, \( \beta_j \), will capture the strength of the links between the different locations through time without the need for a spatial weighting matrix as required by traditional spatial models. The estimates in \( \beta_j \) also capture the temporal dependence between locations and not merely the contemporaneous effects.

There are a number of additional features in this specification that need explanation. The diurnal pattern in PM2.5 is dealt with by an indicator variable, \( Y^H_{l} \) which is a vector of ones when \( t \) falls within the \( l \)th hour of the day, \( l = 2, \ldots, 24 \) and zeros otherwise. Similarly, the annual seasonal pattern is accounted for by the indicator \( Y^M_{k} \) which is a vector of ones when \( t \) falls in month \( k, k = 2, \ldots, 12 \). Therefore, the period, 0:00 to 1:00 in January is the base case.

Previous studies have shown that temperature, which influences heating demand, and wind speed and wind direction, play an important role in the prediction of PM2.5 concentrations given their impact on the atmospheric and ventilation conditions in the Santiago river basin (Kurt and Oktay 2010; Feng et al. 2015; Saïde et al. 2016; Moisan et al. 2018). Consequently, \( T_t \), the hourly temperature recorded at each station enters as an exogenous variable. In addition, the prevailing wind direction in Santiago is south-westerly. Given the importance of this direction for ventilation purposes, a dummy variable is included for when the wind is from the south-west as measured at each station, \( WD_t \), and this variable is interacted with wind velocity at each station, \( WV_t \). Temperature and the wind interaction terms are chosen because they are found to give the most effective forecasts, taking into account spatial effects between the measuring stations (Moisan et al. 2018). If there are any relevant meteorological variables which are omitted, their effects will be accounted for by the sets of dummy variables incorporated in the regressions and the lagged PM2.5 terms.

The estimates of connectedness between the PM2.5 concentrations at different stations are generated from the shares of forecast error variation in the concentrations at one station that are due to shocks arising in concentrations at other stations. This approach to connectedness is related to the familiar econometric notion of variance decomposition in which the forecast error variance of variable \( i \) is decomposed into parts attributable to other variables in the system. Let the fraction of variable \( i \)’s H-step forecast error variance due to shocks in variable \( j \) be denoted \( d_{ij}^H \). The quantity \( d_{ij}^H \) takes the form

\[ d_{ij}^H = \frac{\sigma_{jj}^{-1} \sum_{h=0}^{H-1} (e^j_t A_h e_j)^2}{\sum_{h=0}^{H-1} (e^j_t A_h e_j e_t)}, \]

where \( e^j_t \) is a selection vector with \( j \)th element unity and zeros elsewhere at time \( t \), \( A_h \) is the coefficient matrix of the \( h \)-lagged shock vector in the infinite moving-average representation of the VAR model, \( \Sigma \) is the covariance matrix of the shock vector in the VAR, and \( \sigma_{jj} \) is the \( j \)th diagonal element of \( \Sigma \). As shocks are correlated here, sums of forecast error variance contributions are not necessarily unity and therefore \( d_{ij}^H \) is normalized to \( \tilde{d}_{ij}^H \) by dividing by \( \sum_{j=1}^{N} d_{ij}^H \). Note that in general \( d_{ij}^H \neq \tilde{d}_{ij}^H \), meaning there are \( 11^2 - 11 \) separate pairwise directional connectedness measures.

First define total directional connectedness from other stations to station \( i \) as

\[ C_{i \rightarrow} = \sum_{j=1, j \neq i}^{N} \tilde{d}_{ij}^H. \]

\( C_{i \rightarrow} \) reflects how PM2.5 shocks occurring at other stations influences the concentrations observed at the \( i \)th
station, identifying the degree of fragility (sensitivity) of concentrations at station \( i \) from levels across the region. Next, define total directional connectedness to other stations from station \( j \) as:

\[
C_{i \rightarrow j} = \sum_{i=1, i \neq j}^{N} \tilde{d}_{ij}.
\]

\( C_{i \rightarrow j} \) reflects how shocks in the concentration at the \( j \)th station will influence the concentration at all other stations, identifying the degree of centrality (systemic contribution) of one location to pollution levels across the whole greater Santiago area. A 6-h ahead forecast error variance decomposition is used to construct these measures.

## Results

Figure 2 plots the relative degree of fragility, or sensitivity to shocks from all other locations, for each location (left axis—solid line) and the average level of PM\(_{2.5}\) observed at each station (right axis—small dots) as a point of reference. While there is some degree of association between the level of fragility and average PM\(_{2.5}\) concentrations, there are also a number of important differences to note. Las Condes and Talagante have the lowest sensitivity to changes in pollution levels from all other locations leading to the lowest average concentrations. This is not surprising as both locations are some distance from the city centre and Las Condes is located at a higher altitude than most of the city. Puente Alto is an interesting case in that it experiences relatively high average PM\(_{2.5}\) concentrations but exhibits very low sensitivity to the rest of the city. Most importantly, Parque O’Higgins (the highest), Cerrillos and Quilicura exhibit the greatest fragility of all the other communes.

Recall from Table 1 that these locations consume relatively small amounts of firewood, implying that their relatively high concentrations are due to pollution produced in other areas around the city, a result which is consistent with their relatively high degrees of fragility.

Similarly, Fig. 3 shows the estimates of centrality (left axis—solid line) and average concentration levels of PM\(_{2.5}\) (right axis—small dots) at each station. This figure reveals the systemic importance of each location in explaining movements in PM\(_{2.5}\) across the whole city. It may be deduced from Fig. 3 that El Bosque and Cerro Navia are the two most systemically important locations having the greatest impact on PM\(_{2.5}\) across Santiago. These locations experience the highest average concentrations while at the same time do not exhibit relatively high degrees of fragility, leading to the conclusion that it is locally produced PM\(_{2.5}\) that is responsible for the high concentrations at these locations. Consideration of the summary statistics in Table 1 reveals that these high levels of pollution are not due to the burning of firewood, but perhaps are related to high rates of traffic flow in these communes, a function of their very high population densities. Similarly, Puente Alto exhibits a relatively high degree of centrality and hence a significant impact on the rest of the city, but its high concentration levels are solely the result of locally generated PM\(_{2.5}\) given that its degree of fragility is very low. This observation is consistent with its location to the south of central Santiago as pollution will move in a northerly direction on the prevailing wind. At the opposite end of the spectrum are Las Condes and Talagante which are the least centrally important and have the smallest impact on the rest of the city.

These results allow the following conclusions to be drawn about the spatial behaviour of particulate matter in Santiago. The two locations with the highest average concentrations...
Fig. 3 Measures of centrality (solid line left axis) for each monitoring station and unconditional average PM$_{2.5}$ concentrations (dots from right axis) measured in standard units of μg/m$^3$.

PM$_{2.5}$ concentrations, namely El Bosque and Cerro Navia, exhibit the highest centrality. Changes in concentration levels at these locations have the largest, and widest impact on pollution levels across the city. Importantly however, from a public policy perspective, is that Parque O’Higgins and Cerrillos (and to lesser degree, Independencia and Quilicura) have quite low degrees of centrality. Also recall the earlier result that the degrees of fragility at these stations are the highest across the city. The combination of low centrality, high fragility and low local firewood consumption means that the high average concentration levels experienced at these locations are not locally produced and are the result of PM$_{2.5}$ generated at other locations.

To give an easily interpretable summary of the differences in centrality, Fig. 4 shows generalized impulse response functions (GIRFs) which illustrate the effect of shocks at four selected stations on all the other locations. The GIRFs are based on the VAR coefficients from Eq. (1) and are computed for shocks to PM$_{2.5}$ at Parque O’Higgins and Independencia, representing communes with low centrality, and El Bosque and Cerro Navia, representing communes with high centrality. The impact of differences in centrality is immediately evident in Fig. 4. In comparison with Parque O’Higgins and Independencia, shocks to concentrations at El Bosque and Cerro Navia have much larger impacts across most locations for up to 6–9 h ahead. Well beyond just experiencing higher average concentrations, changes in concentrations at El Bosque and Cerro Navia have proportionally a much bigger impact on all other locations in greater Santiago for many hours into the future.

These results provide new insights into the spatial importance of the different locations across Santiago. Osses et al. (2013) show that from a measurement perspective, the information gain is greatest from downtown locations such as Parque O’Higgins, Independencia and El Bosque meaning that they provide the most accurate information regarding pollution levels across the entire city. However...
from a public policy perspective, the current results show that there are significant differences between the importance of these locations. Changes in pollution levels at the most centrally important locations, El Bosque and Cerro Navia, have the greatest impact on the spatio-temporal evolution of pollution across many parts of the city. It is at these locations where official efforts should be focused to help reduce PM$_{2.5}$ pollution.

**Conclusion**

In the Chilean capital of Santiago, air pollution is a major issue. This paper examines how pollution levels, measured in terms of the concentration of PM$_{2.5}$, at different locations across the city interact and seeks to identify the spatio-temporal links between pollution levels at the various monitoring locations. These links are then used to construct the sensitivity of each location to pollution generated elsewhere, and also the systemic importance of each location to the pollution levels of other locations.

While many of the monitoring stations in central Santiago experience relatively high average concentration levels, the driving forces behind these readings differ. A number of important stations in the centre of the city experience high concentration levels that are generated primarily by heavy traffic (and not burning firewood) and these locations turn out to be crucial in transmitting pollution to other parts of the city. On the other hand, there are a number of other heavily polluted areas (with lower populations and firewood consumption) where pollution levels are mainly driven by their sensitivity to neighbouring locations.

Identifying locations of systemic importance provides a powerful tool for policymakers. Pinpointing the most systemically important areas of the city may open up further options for a more targeted approach to controlling PM$_{2.5}$ levels. These options should involve a closer examination of the factors leading to the production of PM$_{2.5}$ at these locations. The results reported here indicate that it is not only the consumption of firewood which is responsible for the high levels of particulate matter pollution in areas with high centrality but also possibly traffic and industrial activity.

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