Phase I study of weekly nab-paclitaxel combined with S-1 in patients with human epidermal growth factor receptor type 2-negative metastatic breast cancer

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We conducted a phase I study of a weekly nab-paclitaxel and S-1 combination therapy in patients with human epidermal growth factor receptor type 2-negative metastatic breast cancer. The primary objective was to estimate the maximum tolerated and recommended doses. Each treatment was repeated every 21 days. Levels 1, 2a, 2b, and 3 were set depending on the S-1 dose (65 or 80 mg/m²) and nab-paclitaxel infusion schedule (days 1 and 8 or days 1, 8, and 15). Fifteen patients were enrolled. Dose-limiting toxicity was observed in one patient at Level 3 (100 mg/m² nab-paclitaxel on days 1, 8, and 15 with 80 mg/m² S-1 daily for 14 days, followed by 7 days of rest). Although the maximum tolerated dose was not reached, the recommended dose was determined to be Level 3.

Neutropenia was the most frequent grade 3–4 treatment-related adverse event. For patients with measurable lesions, the response rate was 50.0% and the median time to treatment failure and median progression-free survival was 13.2 and 21.0 months, respectively. The present results show the feasibility and potential for long-term administration of this combination therapy.

Chemotherapies for breast cancer, including molecular-targeted therapies, have undergone remarkable development in recent years; conventional anthracycline and taxane-containing regimens continue to play a key role in this treatment. For cases of human epidermal growth factor receptor type 2 (HER2)-negative breast cancer, the treatment options are limited compared to those for HER2-positive cases and the development of highly efficacious therapy is warranted.

Combination chemotherapy represents a treatment choice that has been prescribed for increased efficacy. The selection of a combination of cytotoxic chemotherapies versus sequential single-agent treatment is controversial. In phase III clinical trials involving metastatic breast cancer (MBC), O’Shaughnessy et al. evaluated a combination therapy with docetaxel and capecitabine, whereas Albain et al. prescribed a combination therapy with paclitaxel and gemcitabine; both research groups reported the superiority of the combined regimens over monotherapies. Combination therapies have also been reported to correlate with a high incidence of toxicity and high efficacies, therefore, the development of a well-tolerated, highly efficacious therapy is anticipated.

Nab-paclitaxel is a 130-nm nanoparticulate drug preparation comprising paclitaxel bound to human serum albumin particles and is widely used as a key drug for the treatment of breast cancer. In a pivotal phase III clinical study, treatment with nab-paclitaxel showed a significantly better response rate (RR; a primary endpoint) of 24.0%, as compared with an RR of 11.1% for treatment with the standard solvent-based paclitaxel. Furthermore, in a randomized phase II clinical study, the median progression-free survival (PFS) and RR of weekly nab-paclitaxel was 12.9 months and 49%, respectively, which suggested that weekly nab-paclitaxel might be superior to tri-weekly administration. In that study, the major toxicities associated with weekly nab-paclitaxel were myelosuppression and peripheral neuropathy.

The oral, fixed-dose combination agent S-1 comprises tegafur (FT), a fluoropyrimidine prodrug of 5-fluorouracil (5-FU), and the 5-FU metabolism modulating agents 5-chloro-2,4-dihydroxypyridine (CDHP) and oteracil potassium (Oxo). S-1 is designed to orally deliver 5-FU, a pyrimidine analog antimetabolite and antineoplastic agent while reducing the rate of 5-FU degradation and conversion in the gastrointestinal tract.
to a toxic phosphorylated metabolite. The results of a phase II clinical study revealed an RR of 41.7% for patients with MBC who received S-1 monotherapy, indicating the efficacy of this regimen. The major adverse events associated with S-1 treatment in that study were myelosuppression and gastrointestinal toxicity. A phase III study (SELECT BC) carried out in chemotherapy-naïve patients with HER2-negative MBC, which investigated overall survival as a primary endpoint, confirmed the non-inferiority of S-1 to taxanes.

Thymidine phosphorylase is an enzyme that converts 5-FU to its active form, fluorodeoxyuridylate, and taxanes have been reported to induce the upregulation of thymidine phosphorylase in tumor tissues. Nukatsuka et al. reported a synergistic reduction in tumor size following treatment with paclitaxel combined with S-1 in a mouse model of human breast cancer.

The mechanisms of cytotoxic action differ between nab-paclitaxel and S-1. A major toxicity of both nab-paclitaxel and S-1 is myelosuppression; otherwise, these two drugs have no other overlapping toxicity profiles that would affect the continuation of treatment. Given this information and the assumption from the results of basic studies that the combined use of these two drugs might yield synergistically enhanced efficacy, we carried out a phase I study of weekly nab-paclitaxel in combination with S-1 in patients with HER2-negative MBC.

Materials and Methods
This phase I dose-escalation study to evaluate treatment with weekly nab-paclitaxel and S-1 was carried out in conformance with the Good Clinical Practice guidelines and the Declaration of Helsinki, and the protocol was approved by the Institutional Review Board of each participating medical institution prior to initiation of the study. Written informed consent was obtained from every patient prior to participation in the study.

Patient population. Patients who met the following major criteria were considered eligible to participate in the study: women with cytologically or histologically confirmed breast cancer who were aged 20–74 years; patients with clinically confirmed MBC; patients with demonstrated HER2-negativity through immunohistochemical analysis or FISH; patients previously treated with single-regimen or no chemotherapy for MBC; a survival expectancy of ≥60 days; an Eastern Cooperative Oncology Group performance status of 0 or 1; and an Eastern Cooperative Oncology Group performance status of 0 or 1; and an absolute neutrophil count (ANC) of ≥2000/mm³, hemoglobin concentration of ≥9.0 g/dL, platelet count of ≥100 × 10³/mm³, total bilirubin concentration of ≤1.5 mg/dL, albumin concentration of ≥3.5 g/dL, serum aspartate aminotransferase concentration of <100 IU/L, serum alanine aminotransferase concentration of <100 IU/L, and creatinine clearance of >60 mL/min as determined from a 24-h urine collection or predicted creatinine clearance calculated using the Cockcroft-Gault formula.

However, patients with tumor progression during or within 12 months after the last dose of pre- or post-operative taxane chemotherapy were excluded from the study. Patients with a history of taxane or S-1 chemotherapy for MBC and those who had experienced grade ≥2 peripheral neuropathy before or since enrolment were also excluded. Measurable disease using the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 was not required.

Study design and treatment. The dosage schedules at each dose level are shown in Figure 1. Nab-paclitaxel (100 mg/m²) was given by i.v. drip infusion over a 30-min period in doses based on the body surface area (BSA) and calculated using the Mosteller formula; doses were given on days 1 and 8 for Levels 1 and 2b, and on days 1, 8, and 15 for Levels 2a and 3. S-1 was given orally twice daily for 14 consecutive days, followed by a 7-day rest. The S-1 dosage was set at 65 mg/m² for Levels 1 and 2a, and at 80 mg/m² for Levels 2b and 3. The following daily S-1 dose levels were based on the BSA and calculated using the Fujimoto formula: Level 1 and 2a cohorts (65 mg/m²), the dose was 50, 80, or 100 mg at a BSA of <1.25, 1.25–1.5, or ≥1.5 m², respectively; Level 2b and 3 cohorts (80 mg/m²), the dose was 80, 100, or 120 mg at a BSA of <1.25, 1.25–1.5, or ≥1.5 m², respectively. Administration of the combination chemotherapy was repeated in 21-day cycles until the occurrence of disease progression or development of intolerable toxicities. Although the rule was to avoid corticosteroid or anti-allergic pretreatments, such treatments were allowed in cases with signs of hypersensitivity.

Dose modification was carried out in accordance with the protocol. Before commencement each cycle, patients were required to have an ANC ≥1500/mm³, platelet count ≥75 000/mm³, total bilirubin ≤1.5 mg/dL, liver transaminase <100 IU/L, serum creatinine ≤1.5 mg/dL, grade 2 peripheral sensory neuropathy, grade 2 eye disorders, grade 3 diarrhea, and grade 3 stomatitis. If any toxicity applicable to Table S1 occurred during the administration period in a cycle, the study treatment was to be interrupted. If any toxicity applicable to Table S2 occurred, the dose of each drug in the next administration was to be decreased according to Table S3.

Level escalation plan. This study was carried out with a unique 3 + 3 design in a sequential order of Levels 1, 2a, 2b, and 3. Whether to proceed to the next Level was determined by deliberation between the investigator, medical officer, and study sponsor by considering the dose-limiting toxicity (DLT) and administration conditions during the first and second cycles. For cases in which DLT was observed in one or two of three patients at any Level, three additional patients were to be recruited for the same Level. When DLT was observed in three or more of six patients at any Level, that Level was considered a maximum tolerated dose (MTD) and the dose level immediately below that Level was defined as a recommended dose (RD). In the case that the DLT incidence was <50% at Level 3, the study sponsor was entrusted with the final judgment of an RD after deliberation with the medical officer and at the suggestion of the Data and Safety Monitoring Committee. Dose-limiting toxicity was defined as the occurrence of any of the following during cycle 1:
grade 4 platelet count decrease; grade 3 platelet count decrease requiring blood transfusion; febrile neutropenia with a neutrophil count of <500/mm³ and pyrexia at ≥38.5°C; grade 4 neutrophil count decrease persisting for ≥8 days; grade ≥3 nausea, vomiting, and diarrhea refractory to symptomatic treatment; and the postponement of cycle 2 initiation for ≥15 days from the scheduled time point because of adverse reaction(s). For the last measurable time point (AUCₐ₀₋₄₈), the lower limit of quantitation for paclitaxel in human plasma was incorporated HPLC with tandem mass spectrometry at the Shin mined through validated analytical procedures that tions of paclitaxel, FT, 5-FU, CDHP, and Oxo were deter- ples were collected at 0, 0.5, 1, 2, 4, 6, 8, and 10 h after S-1 dosing during the first cycle only. The plasma concentrations of paclitaxel, FT, 5-FU, CDHP, and Oxo were determined through validated analytical procedures that incorporated HPLC with tandem mass spectrometry at the Shin Nippon Biochemical Laboratories (Wakayama, Japan). The lower limit of quantitation for paclitaxel in human plasma was 1 ng/mL, and the reliable response range was 1–1000 ng/mL. The lower limit of quantitation values for FT, 5-FU, CDHP, and Oxo in human plasma were 20, 2, 4, and 4 ng/mL, respectively, and the reliable response ranges were 20–4000, 2–400, 4–800, and 4–400 ng/mL, respectively.

The pharmacokinetic parameters were calculated according to non-compartmental techniques using the WinNonlin software program (Pharsight, Mountain View, CA, USA). The maximum observed concentration (C_max) and the time to C_max (t_max) were determined directly from the observed plasma concentration–time profiles over the 72-h sampling interval. The apparent terminal elimination rate constant (λz) was estimated by linear regression of the individual plasma concentration–time data. The terminal elimination half-life (t½z) was calculated as t½z = ln (2) / λz for each individual. Individual areas under the concentration–time curves (AUCs) from time 0 to the last measurable time point (AUC₀₋₄₈) were calculated according to the trapezoidal rule. Individual AUCs extrapolated to infinity (AUCᵢₐ₈) were calculated using the last measurable concentration (Cₙₜₙₐₓ) according to the formula AUCᵢₐ₈ = AUC₀₋₄₈ + Cₙₜₙₐₓ / λz.

Results
Fifteen patients were enrolled at two medical institutions in Japan between July 2010 and December 2012. A follow-up to the study treatment continued until December 2013.

Patient characteristics. The patient characteristics are summar- ized in Table 1. All 15 patients were subjected to the safety analysis. Eleven and four patients had histologically positive and negative hormone receptor statuses, respectively. Nine patients had chemotherapy-naïve MBC and the other six had undergone chemotherapy for MBC with anthracycline-containing regimens.

Dose-limiting toxicity, MTD, and RD. The dosage level was escalated up to Level 3, the highest level specified in the protocol; however, no DLT was observed in three patients per group through Levels 1 to 3. Three additional patients were enrolled for Level 3 treatment with the intent to evaluate tolerability at that dosage level in six patients. As a result, a DLT (neutropenia leading to a delay in the start of cycle 2 for ≥15 days beyond the scheduled day) occurred in one patient, so MTD was not reached. However, at Level 3 dose reductions were required in three of the six patients in cycle 2 (grade 1 diarrhea in one patient, grade 2 diarrhea and grade 1 vomiting in one patient, and a prolonged neutropenia in one patient); therefore, it was determined that the dosage should not be increased further, and the RD was determined to be Level 3.

Drug administration and safety profile. Fifteen patients received a total of 206 cycles of combination chemotherapy. The median number of cycles administered per patient was 14.0 (range, 1–35). The overall relative dose intensity (RDI) was 62.5% for nab-paclitaxel and 70.5% for S-1. The overall RDIs up to cycle 2, as required to determine whether to proceed to the next Level, were 84.0% and 81.0% for nab-paclitaxel and S-1, respectively. The RDIs up to cycle 2 at Level 3 were 68.5% and 76.3% for nab-paclitaxel and S-1, respectively. The major reasons for requiring a nab-paclitaxel dose reduction were peripheral sensory neuropathy (33.3%; n = 5) and fatigue (20.0%; n = 3); S-1 dose reductions were mainly because of fatigue (26.7%; n = 4) or diarrhea (20.0%; n = 3). Skipping of nab-paclitaxel administration was most often because of fatigue (33.3%; n = 5) or peripheral sensory neuropathy (20.0%; n = 3), whereas neutropenia (26.7%; n = 4), decreased appetite (13.3%; n = 2), and diarrhea (13.3%; n = 2) were the main reasons for skipping S-1 treatment. Neutropenia was a major reason for delaying the initiation of the next cycle (86.7%; n = 13). The following factors accounted for the discontinuation of treatment: disease progression in six patients; adverse events (psoriasis, ker-

| Characteristic | No. of patients (%) |
|---------------|---------------------|
| Age, years    | 63.0 (41–67) |
| ECOG PS       | 1 9 (60.0) |
| Hormonal status | ER-positive and/or PgR-positive 11 (73.3) |
| Metastatic site | Lung 6 (40.0) |
| Prior chemotherapy for MBC | 0 9 (60.0) |

ECOG, Eastern Cooperative Oncology Group; ER, estrogen receptor; PgR, progesterone receptor; PS, performance status.

Table 1. Characteristics of patients with human epidermal growth factor receptor type 2-negative metastatic breast cancer (MBC) treated with nab-paclitaxel and S-1 combination therapy (n = 15)
atexitis, cheilitis, and diarrhea) in four patients; refusal of further treatment in three patients; and end of study in two patients.

The treatment-related adverse events that occurred in ≥30% (≥5 patients) of all patients are listed in Table 2. The hematological toxicities with high incidence were neutropenia (100%; n = 15), leukopenia (100%; n = 15), and anemia (80%; n = 12). The non-hematological toxicities with high incidence included alopecia (93%; n = 14), peripheral sensory neuropathy (87%; n = 13), diarrhea (80%; n = 12), and decreased appetite (80%; n = 12). Most of the treatment-related adverse events, although high in incidence, were grade ≤2 and clinically manageable. Grade ≥3 treatment-related adverse events that occurred in two or more patients included neutropenia (93%; n = 14), leukopenia (67%; n = 10), lymphopenia (20%; n = 3), fatigue (20%; n = 3), and peripheral sensory neuropathy (13%; n = 2). Grade 3 peripheral sensory neuropathy improved to grade 2 rapidly after skipping the administration.

Efficacy. The RRs and disease control rates (complete response [CR] + partial response [PR] + stable disease [SD] for ≥16 weeks) are shown in Table 3. Twelve of the 15 patients had measurable lesion(s) as defined by RECIST version 1.1. The responses in the 12 patients included CR in one patient, PR in five patients, SD in five patients, progressive disease in one patient, and not evaluable in one patient, with a RR of 50.0% (95% confidence interval [CI], 21.1–89.9). Among the triple-negative cases, the responses were CR in one patient, PR in one patient, and progressive disease in one patient. Among patients with hepatic metastasis, the responses were PR in three patients and SD in one patient. The disease control rate was 83.3% (95% CI, 51.6–97.9), and the median time to treatment failure (TTF) and median PFS were 13.2 months (95% CI, 6.9–21.0) and 21.0 months (95% CI, 14.9–not reached), respectively.

Table 2. Treatment-related adverse events at each level

| Adverse Events / CTCAE Grade | Level 1 | Level 2a | Level 2b | Level 3 | Total |
|------------------------------|---------|----------|----------|---------|-------|
|                              | n = 3   | n = 3    | n = 3    | n = 6   | n = 15|
|                              | 1 2 3 4 | 1 2 3 4  | 1 2 3 4  | 1 2 3 4 | 1 2 3 4|
| Neutropenia                  | 0 0 2 1 | 0 1 1 1  | 0 0 2 1  | 0 4 2 0 | 1 9 5 |
| Leukopenia                   | 0 0 3 0 | 0 1 2 0  | 0 2 1 0  | 1 3 1 4 | 9 1 1 |
| Alopecia                     | 3 0 NA  | 1 2 NA  | 0 2 NA  | 2 4 NA  | 6 8 NA |
| Peripheral sensory neuropathy| 1 0 1 0 | 0 2 1 0  | 0 2 0 0  | 2 0 0 0 | 3 8 1 0 |
| Anemia                       | 1 1 0 0 | 1 1 0 0  | 1 1 0 0  | 2 0 0 0 | 3 7 4 1 |
| Diarrhea                     | 1 1 0 0 | 2 0 1 0  | 0 1 0 0  | 2 0 0 0 | 3 7 4 1 |
| Decreased appetite           | 2 0 0 0 | 1 1 0 0  | 1 1 0 0  | 4 0 0 0 | 8 3 1 0 |
| Nausea                       | 2 0 0 NA| 2 0 NA  | 2 1 0 0  | 2 1 0 0 | 8 2 0 0 |
| Stomatitis                   | 2 0 0 0 | 2 0 0 0  | 0 2 0 0  | 4 0 0 0 | 8 2 0 0 |
| Fatigue                      | 1 0 0 0 | 0 2 0 0  | 1 1 1 NA | 1 2 0 0 | 3 4 1 0 |
| Dysgeusia                    | 1 0 NA  | 3 0 NA  | 0 1 NA  | 4 0 NA  | 8 1 NA |
| Skin hyperpigmentation       | 1 0 NA  | 1 0 NA  | 2 0 NA  | 4 1 NA  | 8 1 NA |
| Dry skin                     | 0 0 1 0 | 0 1 0 0  | 1 1 0 0  | 4 0 NA  | 5 3 0 0 |
| ALT level increased          | 2 0 0 0 | 0 0 0 0  | 0 0 0 0  | 3 0 0 0 | 5 0 1 0 |
| AST level increased          | 1 0 0 0 | 1 0 0 0  | 0 0 0 0  | 3 0 0 0 | 5 0 1 0 |
| Myelgia                      | 1 1 0 NA| 1 0 NA  | 2 0 0 NA| 1 0 0 NA| 5 1 0 NA|
| Abdominal pain               | 0 0 0 NA| 1 1 0 NA| 0 2 0 NA| 2 0 0 NA| 3 3 0 NA|
| Peripheral edema             | 0 0 0 NA| 1 1 0 NA| 0 0 0 NA| 2 2 0 NA| 3 3 0 NA|
| Lymphopenia                  | 0 0 0 0 | 0 0 0 0 | 0 0 0 0 | 2 1 0 0 | 3 3 0 0 |
| Constipation                 | 1 0 0 0 | 1 0 0 0  | 0 0 0 0  | 2 0 0 0 | 5 0 0 0 |
| Thrombocytopenia             | 2 0 0 0 | 1 0 0 0  | 0 1 0 0  | 1 0 0 0 | 5 0 0 0 |
| Watering of eyes increased   | 0 0 0 0 | NA 0 0  | NA 0 0  | 4 0 NA  | 5 0 0 NA |

Table 4. The plasma concentrations of FT, 5-FU, CDHP, and Oxo increased in a dose-dependent manner at a dose of 65 or 80 mg/m² S-1 with the co-administration of 100 mg/m² nab-paclitaxel. The pharmacokinetic parameters of paclitaxel following the concomitant administration of nab-paclitaxel and S-1 were similar regardless of the S-1 dose level.

Discussion

To our knowledge, this phase I study represents the first clinical trial carried out to evaluate a combination treatment of weekly nab-paclitaxel and S-1 in patients with HER2-negative MBC. Because the attempt to estimated MTD failed under the 3 + 3 design in this study, we explored the possibility of further nab-paclitaxel dose escalation in order to estimate MTD. However, this dose escalation was determined inappropriate upon deliberation with the medical officer and the Data and Safety Monitoring Committee on the grounds of the RDI at Level 3 as well as the adverse reaction occurrence status, which included <grade 3 adverse reactions. Therefore, Level 3 was determined as the RD (100 mg/m² nab-paclitaxel on days 1, 8, and 15 with an 80 mg/m² S-1 dose for 14 days, followed by 7 days of rest).

One of the most important factors for evaluating combination chemotherapies is the balance of efficacy and toxicity. In previously reported phase III clinical studies of docetaxel in combination with capcitabine and of paclitaxel in combination with gemcitabine, the median time to disease progression was 6.1 months for both combination therapy groups, a signifi-

Pharmacokinetics. Twelve patients (six patients at Levels 1 and 2a, and six patients at Levels 2b and 3) underwent pharmacokinetic evaluations. The pharmacokinetic parameters for the S-1 components and paclitaxel were summarized in Table 4. The plasma concentrations of FT, 5-FU, CDHP, and Oxo increased in a dose-dependent manner at a dose of 65 or 80 mg/m² S-1 with the co-administration of 100 mg/m² nab-paclitaxel. The pharmacokinetic parameters of paclitaxel following the concomitant administration of nab-paclitaxel and S-1 were similar regardless of the S-1 dose level.
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condition in the clinical practice setting. As the non-inferiority of S-1 to taxanes in terms of overall survival was verified in the SELECT BC study, a flexible approach that begins with combined nab-paclitaxel and S-1 therapy and then shifts to maintenance therapy with S-1 monotherapy after attaining control of the tumor size and symptoms might be proven valid.(11)

We also evaluated the pharmacokinetics of combination therapy with nab-paclitaxel and S-1. To compare our findings with previously reported data, we reanalyzed the AUC0-10 h from a phase I study.(20) There was no significant difference between the administration of S-1 alone and in combination with 100 mg/m² nab-paclitaxel in terms of the Cmax and AUC0–10 h of 5-FU, which is considered a relevant compound with respect to the efficacy and safety of S-1. However, the Cmax of FT or CDHP was significantly increased in comparison with data from a previous report. (20) An additional pharmacokinetic study should be carried out to evaluate the pharmacokinetic parameters of combination therapy with nab-paclitaxel and S-1. When compared with the mean total clearance (18.6 ± 2.8 L/h/m²) and mean volume of the terminal phase (527 ± 93.5 L/m²) for paclitaxel in Japanese patients following the administration of nab-paclitaxel alone (80–300 mg/m²), there were no obvious differences between those results and the results of this study. (21,22)

In conclusion, the present data shows the feasibility of a combination therapy with weekly nab-paclitaxel and S-1 and the possibility of long-term administration of this regimen, suggesting that this combination may be a promising therapy for HER2-negative MBC. Further investigation regarding the long-term safety and efficacy in phase II and ensuing studies is needed.

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Disclosure Statement

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Supporting Information

Additional supporting information may be found in the online version of this article:

Table S1. Dose interruption criteria within treatment cycle.

Table S2. Dose reduction criteria for nab-paclitaxel and S-1.

Table S3. Dose reduction schema for nab-paclitaxel and S-1.

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