A Linguistic Perspective on Reference: Choosing a Feature Set for Generating Referring Expressions in Context

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Abstract

This paper reports on a structured evaluation of feature-based Machine Learning algorithms for selecting the form of a referring expression in discourse context. Based on this evaluation, we selected seven feature sets from the literature, amounting to 65 distinct linguistic features. The features were then grouped into 9 broad classes. After building Random Forest models, we used Feature Importance Ranking and Sequential Forward Search methods to assess the “importance” of the features. Combining the results of the two methods, we propose a consensus feature set. The 6 features in our consensus set come from 4 different classes, namely grammatical role, inherent features of the referent, antecedent form and recency.

1 Introduction

Various studies have raised the question of which factors play a role in the choice of referring expressions. One of the main ideas in this tradition (henceforth, the linguistic tradition) is that there is a direct relationship between the “prominence” (in a broad sense) of a referent at a given point in the discourse, and the form used to refer to it. If a referent is prominent, a short anaphoric form (e.g. a pronoun) suffices; if it is less prominent, longer forms with more semantic content are used. Prominence has been argued to be influenced by various factors such as recency and frequency of mention (Ariel, 1990), grammatical function (Brennan, 1995), distance (McCoy and Strube, 1999), animacy (Fukumura and van Gompel, 2011), and competition between the referents (Arnold and Griffin, 2007).

Reference production is also one of the most-studied topics in Natural Language Generation (Gatt and Krahmer, 2018), where it is known as Referring Expression Generation [REG] (Krahmer and van Deemter, 2019). A key part of the REG problem is deciding which form (e.g., proper name, definite description or pronoun) to employ to refer to a referent at a given point in the discourse. Henceforth, we call this task Selection of Referential Form (SRF). SRF models come in many shapes and forms, with feature-based Machine Learning (ML) models playing a dominant role. However, the feature sets employed by these models can differ considerably from one model to the next, and although features akin to the ones employed in the linguistic tradition are often used, other types of features, which are harder to interpret linguistically, are frequent as well.

Our aim in this paper is to examine feature-based SRF models from a linguistic perspective. We will conduct a systematic evaluation of these models, asking what features make them work best. Having done this, we propose a “consensus” feature set. Finally, we compare the features in our consensus feature set against the factors considered to be important in the linguistic tradition.

An important question in any systematic evaluation is how the objects of study (in our case, SRF feature sets) are selected. We have proceeded as follows:

- We selected all SRF algorithms submitted to GREC (Belz et al., 2010) and extracted the feature sets used by these algorithms. GREC was a Shared Task Evaluation task that still forms a natural starting point, because it attracted all the main SRF algorithms that existed at the time.

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We additionally selected two other feature sets from the papers archived in the ACL anthology. The selection method will be detailed in subsection 3.1.

We re-implemented the features that were obtained, following the method detailed in subsection 3.2.

Note that our systematic evaluation does not include Deep Learning methods (e.g., Castro Ferreira et al. (2018); Cao and Cheung (2019)) since, at the current state of the art, these do not yet offer much opportunity for linguistic interpretation. Our focus is on interpretable linguistic features.

To perform our evaluation, two further choices need to be explained and motivated: Since the SRF task as such can be defined in different ways, we had to define an exact task, and we had to specify a corpus. These two choices will be further explained in section 3. Section 4 details the feature selection experiments and propose a consensus feature set. The paper concludes with a discussion of the extent to which the features are linguistically interpretable.

2 Related Work

Data-driven models employ different features to make choices similar to those made by humans. For instance, Greenbacker and McCoy (2009a) used linguistically informed features such as recency, sub-jecthood, parallelism and ambiguity. In a comprehensive study, Kibrik et al. (2016) argued that reference generation is a multifactorial process governed by various linguistically motivated features. Hendrickx et al. (2008), on the other hand, focused more on existing patterns in the text and less on the use of linguistic categories. In a more recent study, Castro Ferreira et al. (2016) used features marking the syntactic position, recency and referential status of the referents to model the referential choice variations.

In one of the few studies targeting feature selection, Greenbacker and McCoy (2009b) surveyed the psycholinguistics literature to decide on feature sets for their SRF study. They implemented several linguistically informed rules in their prototyping system, and “examined the incorrect classifications which resulted in an attempt to discover which other factors suggested by psycholinguistic research could explain the patterns they observed.” Additionally, they incorporated some of the features used in Hendrickx et al. (2008) and created an extensive set of features which they felt had an impact on the referential choice. Afterwards, they trained C5.0 decision trees on 5 subsets of these features. The interesting result of their study is that the maximum number of features does not necessarily lead to best results. The problem with their study is that firstly, they do not propose any explanation on how they have selected different subsets. Secondly, their feature selection strategy is subjective, since it mostly concerns with the features they find important, and has less to do with the features of other models. Lastly, they have provided no linguistic explanation for their best performing system. Kibrik et al. (2016) also briefly talked about the feature selection in their study, highlighting the importance of recency-related features. Although their study is linguistically informed, the annotation effort behind it is very intense. The question is whether they could achieve a nearly similar performance using a smaller set of features.

3 Corpus and feature sets considered

As stated in section 1, we want to evaluate the features used in various ML SRF studies in a systematic way. So, we need first to select a collection of feature sets. Subsection 3.1 describes the criteria for the selection procedure, and provides an overview of the chosen sets. Afterwards, we explain how we applied the selected feature sets to the OntoNotes corpus (subsection 3.2).

3.1 Feature sets

The criteria to select the feature sets were as follows: we looked for studies that (1) had SRF as their main objective, (2) used a ML method, (3) used an English dataset and (4) applied interpretable features.

We first selected all the feature sets used by the SRF algorithms in the GREC challenges. We excluded the JUNLNG set (Gupta and Bandopadhyay, 2009) because their study was rule-based, and the WLV feature set (Oräsan and Dornescu, 2009) because we were not able to interpret all their features.
Since the GREC challenges were conducted several years ago, we also examined the more recent literature to include later SRF feature sets satisfying our criteria. We downloaded the full ACL anthology BibTex file from https://www.aclweb.org/anthology/ and used regular expressions to search manually the expressions of Table 1 in the title and abstracts of the articles:

\[ [R|r]eferring [E|e]xpression.*[M|m]achine [L|l]earning [G|g]enerat[ion|ing].*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse title =.*[R|r]eferring [E|e]xpression.*discourse
\]

| Number | Dataset | Reference | Number of features |
|--------|---------|-----------|--------------------|
| 1      | IS-G    | Bohnet (2008) | 5 |
| 2      | Ferreira | Castro Ferreira et al. (2016) | 5 |
| 3      | OSU     | Jamison and Mehay (2008) | 8 |
| 4      | ICSI    | Favre and Bohnet (2009) | 14 |
| 5      | Kibrik  | Kibrik et al. (2016) | 17 |
| 6      | U-Del   | Greenbacker and McCoy (2009a) | 18 |
| 7      | CNTS    | Hendrickx et al. (2008) | 21 |

Table 1: Terms used to search for SRF studies

We excluded several results because they did not meet the criteria defined above (Zarrieß and Kuhn, 2013; Siddharthan et al., 2011; Stent, 2011; Castro Ferreira and Paraboni, 2017). Based on the result of the manual search, we included feature sets from the papers by Castro Ferreira et al. (2016) and Kibrik et al. (2016), which together with the GREC feature sets form the seven sets we use in the feature selection experiments. As a naming convention, the GREC feature sets are called with their names from Belz et al. (2010); the other two feature sets are named after the last name of their first authors.

To provide an overview, we grouped the features into 9 broad categories namely Grammatical role, Inherent features, Referential status, Recency, Competition, Antecedent form, Surrounding patterns, Position and Protagonism explained below. Throughout this article, REF refers to the current referent and ANTE refers to its coreferential antecedent. In Tables 3-7, the first column, Feature, provides the description of each feature. The column Type indicates whether the value of the feature is numeric (num), categorical (cat), Boolean (bool) or character (char). Also, the number \([N]\) next to the Type attribute specifies how many distinct features it encodes. For instance, “Grammatical role of the 2\(^{nd}\) and 3\(^{rd}\) ANTE” with the type attribute cat\([2]\) refers to 2 categorical features namely “Grammatical role of the 2\(^{nd}\) ANTE” and “Grammatical role of the 3\(^{rd}\) ANTE”. The column DT shows which data sets contain the feature.

**Grammatical Role** This category contains information about the syntactic position of REF and ANTE.

| Feature                      | Type\([N]\) | DT | Symbol   |
|-----------------------------|-------------|----|----------|
| Grammatical role of REF     | cat\([1]\)  | 1-7| gm       |
| Grammatical role of ANTE    | cat\([1]\)  | 5,6| gm_p1    |
| Grammatical role of the 2\(^{nd}\) and 3\(^{rd}\) ANTE | cat\([2]\)  | 6  | gm_p2, gm_p3 |
| Trigram grammatical roles of the 3 antecedents | cat\([1]\)  | 7  | gm_tri   |
| Is REF subject of the current & 2 prev sentences? | bool\([3]\)   | 6  | subj_S, subj_prevS, subj_prev2S |
| Is ANTE in the subject position? | bool\([1]\)  | 6  | ante_subj |
| Are REF and ANTE prepositional phrases? | bool\([2]\)  | 5  | ref_pp, ante_pp |

Table 3: Grammatical features encoded in different datasets. REF and ANTE respectively refers to the current mention and its antecedent.
**Inherent features of a referent** It contains features marking the inherent properties of the referents such as semantic category or animacy \(\text{anim}\) [datasets 3, 4, 5 & 7], gender \(\text{gender}\) [5] and plurality \(\text{plur}\), i.e. whether the referent is plural or singular [5].

**Antecedent form** This feature is concerned with the form of ANTE. As Bohnet (2008) notes, most of the times, this feature is determined based on the prediction of its predecessor, hence is regarded as insecure information. This feature, referred to as \text{ante.form}, is used in datasets 1 & 5.

**Position** This category contains information about the position of REF.

| Feature                      | Type[N] | DT  | Symbol |
|------------------------------|---------|-----|--------|
| Sentence Number              | num[1]  | 6,7 | sent_num |
| NP number                    | num[1]  | 7   | np_num |
| Mention number               | num[1]  | 1,5 | ment_num |
| Referent number              | num[1]  | 6   | ref_num |
| How many times has REF occurred since the beginning? (1,2,3,4+) | cat[1]  | 4   | count_bef |
| How many times does REF occur since the last change? (1,2,3,4+) | cat[1]  | 4   | count_aft |
| Mention order (first, second, middle, last) | cat[1]  | 3   | ment_ord |
| Does the REF appear in the first sentence? | bool[1] | 7   | first_sent |
| Does the REF appear in the beginning of a paragraph? | bool[1] | 4   | firstS_par |

Table 4: Positional features of different feature sets

**Recency** This notion refers to the distance between REF and its ANTE.

| Feature                                              | Type[N] | DT  | Symbol |
|------------------------------------------------------|---------|-----|--------|
| Distance in number of words                         | num[1]  | 1,5 | dist_w |
| Distance in number of NPs                           | num[1]  | 7   | dist_np |
| Distance in number of markables                      | num[1]  | 5   | dist_mark |
| Distance in number of sentences                     | num[1]  | 5,7 | dist_sent |
| Distance in number of paragraphs                    | num[1]  | 5   | dist_par |
| Distance to the nearest non-pronominal antecedent    | num[1]  | 5   | dist_full |
| Word distance (5 bins of 0-10, 11-20, 21-30, 31-40 and 40+) | cat[1] | 2   | bin5_w |
| Word distance (3 bins of 0-5, 6-12 and 13+)          | cat[1]  | 3   | bin3_w |
| Sentence distance (+/-2 sentences)                  | cat[1]  | 6   | bin2_sent |
| Sentence distance (3 bins of 0, 1, 2+ sentences)     | cat[1]  | 3   | bin3_sent |

Table 5: Recency features of different feature sets

**Competition** Features in this category encode the competition between other referents and REF.

| Feature                                                      | Type[N] | DT  | Symbol |
|--------------------------------------------------------------|---------|-----|--------|
| Is the previous referring expression about the same entity?  | bool[1] | 4   | same_ante |
| Does REF have a competitor in the whole text?               | bool[1] | 3   | compet_txt |
| Does REF have a competitor since the beginning of the text? | bool[1] | 3   | compet_beg |
| Is there a competitor between REF and ANTE?                 | bool[1] | 3,6 | compet_prev |
| Are there other referents in the same sentence?              | bool[1] | 6   | compet_sent |
| Does the previous sentence contain another referent?         | bool[1] | 7   | compet_prevS |

Table 6: Competition features of different feature sets

**Referential status** Features such as whether the referent is new in the sentence \(\text{same_sent}\) [datasets 1 & 2], in the paragraph \(\text{new_in_par}\) [dataset 2] or in the text \(\text{new_in_text}\) [dataset 2] mark the referential status of REF. The sentence-level feature can, in theory, belong also to the recency category.
Surrounding patterns  It has information about the lexical and POS tag of the surrounding tokens.

| Feature                                                                 | Type[N] | DT | Symbol                        |
|------------------------------------------------------------------------|---------|----|-------------------------------|
| Word unigram and bigram before and after the target                    | char[4] | 4,7 | \(w\_uni\_bi\)_bef\_aft      |
| Word trigram before and after the target                                | char[2] | 7   | \(w\_tri\)_bef\_aft          |
| 3 POS tags before and after the target                                  | char[6] | 7   | \(pos\_1|2|3\)_bef\_aft        |
| Punctuation type before and after the target                            | cat[2]  | 4   | punct\_bef\_aft              |
| Morphology of the previous and next words (-ed, -ing, -s, -)            | cat[2]  | 4   | morph\_bef\_aft              |
| Is the target immediately followed by and, but, then?                  | bool[3] | 6   | \(w\_and\_but\_then\)        |
| Is the target between comma and and?                                   | bool[1] | 6   | \(w\_command\)               |

Table 7: Surrounding pattern features of different feature sets

Protagonism  Kibrik et al. (2016) used 2 measurements of protagonism. One measures the ratio of the chain length of REF to the maximal chain length in the text (protagonism1). The second one measures the chain length of REF to the sum of all markables in the text (protagonism2).

3.2 Applying feature sets to the OntoNotes corpus

This section begins by giving a brief overview of OntoNotes, the corpus used in this study. Afterwards, the referring expression types used in the prediction task will be explained.

We use the Wall Street Journal portion of OntoNotes (Pradhan et al., 2013) in this study. One of the reasons why we use this data is that it is annotated with structural information (syntax and predicate argument structure) and shallow semantics. Also, in order to extract paragraph information, we incorporated the information from the PDTB parser (https://github.com/WING-NUS/pdtb-parser/tree/master/external/aux_data/paragraphs).

Applying the feature sets to the OntoNotes corpus, 65 distinct features were attained\(^1\). It is noteworthy that applying the features was not always straightforward. Particular difficulty was posed for instance by recency features. To find the distance in words between two mentions, two different approaches were possible: either to keep the punctuation in the counting or to ignore it. The word distance features in this study take punctuation into the consideration. After excluding the first and second person referents, we ended up using 30500 referring expressions, divided into 70% training and 30% test sets.

For the referential choice prediction task, we took the intersection of the referring expression categories used in Belz et al. (2010), Kibrik et al. (2016), and Castro Ferreira et al. (2016). Hence, the task in the current study is to predict the referential form being pronoun, proper name or definite description.

In this section, we described the feature sets which will be used in our feature selection studies, and explained how we applied them to the OntoNotes corpus. In section 4, we explain the feature selection experiments for the assessment of the features.

4 Feature selection experiments for assessing the features

We start by briefly explaining the classification algorithm and the feature selection methods we use in our experiments (subsection 4.1). Afterwards, we elaborate on the classification models trained on the OntoNotes data using the proposed feature sets (subsection 4.2). The section continues with two feature selection experiments with which we assess the importance of the features (subsection 4.3 and subsection 4.4). The next step is to use different subsets of the features and to re-run the classification algorithms, to see how this will affect the accuracy of the models.

4.1 The classification algorithm and the feature selection methods explained

We use the Random Forest algorithm (RF), an ensemble learning method, as our classifier in this study. The classification is based on the results achieved from the myriad of decision trees it generates while

\(^1\)There are a few features that we did not apply to the corpus. Examples of such cases are elementary discourse unit (EDU) and rhetorical distance (RhD) measurements, both from Kibrik et al. (2016).
training (Nayak and Natarajan, 2016; Biau, 2012). We employ RF because it also reliably computes the permutation importance of the variables while training the classification models.

Afterwards, we use two automatic feature selection methods to assess the features used in each model: “Rank Features by Importance” [henceforth RFI] and “Sequential Forward Search” [henceforth SFS]. These methods will be detailed in subsection 4.3 and subsection 4.4.

4.2 Building RF models for predicting the referential choice

To implement RF, we used ranger (Wright and Ziegler, 2015), which is a fast implementation of Random Forests in R. Table 8 presents the results of the models with the original features of each feature set.

| Dataset | IS-G  | Ferreira | OSU   | ICSI  | Kibrik | UDeL   | CNTS  |
|---------|-------|----------|-------|-------|--------|--------|-------|
| Accuracy| 0.68  | 0.601    | 0.697 | 0.69  | 0.793  | 0.624  | 0.723 |

Table 8: Accuracy rates of the RF models with their original features

According to Table 8, the model trained by the Kibrik feature set has the highest accuracy (henceforth, best performing model), followed respectively by the CNTS and OSU models. In the next section, we evaluate the features of each set to see which contributed the most to the predictive success of the models.

4.3 Experiment one: evaluating the importance of the features using RFI

To assess the features, we use the built-in permutation importance (Breiman, 2001) of RF, ranking the “importance” of the features. According to Strobl et al. (2008), to measure the importance of the feature $X_i$, first the model is built, and its accuracy is computed in Out-of-bag (OOB) observations. Afterwards, any link between the values of $X_i$ and the outcome of the model is broken by the permutation of all the values of $X_i$, and the accuracy of the model with the permuted values is re-computed. The difference between the accuracy of the new model and the original score is defined as the permutation importance of $X_i$. Hence, if a feature has noise or random values, it is likely that the permutation does not influence the accuracy. Instead, a high difference between the two rates signal the importance of the feature for the prediction task. Figure 1 shows the importance of different variables in the seven models. The higher the value of the Mean Decrease in Accuracy on the x-axis, the greater the importance of the feature.

Also, we computed the p-values for the variables following the method of Altmann et al. (2010) under the null hypothesis that the permutation of the variable has no impact on the accuracy. Out of the 65 distinct features, the null hypothesis was confirmed for 4 features from the UDeL dataset (w_and, w_but, w_then & w_command) and one feature from OSU (compet_txt). The rest of features contributed to the models with varying degrees of importance.

4.4 Experiment two: evaluating the importance of the features using SFS

Our second method is Sequential Forward Search. The algorithm starts with an empty set and adds the features until this no longer yields much improvement in accuracy. The algorithm stops if the improvement is below the minimum required value of improvement (alpha=0.01) that we set. We used the R package mlr (Bischl et al., 2016) for the implementation of the SFS algorithm. The learner we used in this model is classif.randomForest and the resampling strategy is Holdout. Each box in Figure 2 shows the selected features of each feature set.

| IS-G   | Ferreira | OSU   | ICSI  | Kibrik | UDeL   | CNTS  |
|--------|----------|-------|-------|--------|--------|-------|
| gm     | gm       | gm    | gm    | gm     | gm     | gm    |
| ante_form | same_sent | anim | bin5, w | anim | bin3, sent | anim |
| same_sent | bin5, w | new_in_par | bin3, w | same_ante | count_aft | dist_sent |
| punct_bef | ante_form | num | num | num | num | num |
| IS-G   | Ferreira | OSU   | ICSI  | Kibrik | UDeL   | CNTS  |
| gm     | gm       | gm    | gm    | gm     | gm     | gm    |
| anim   | anim     | anim  | anim  | anim   | anim   | anim  |
| plur   | bin3, sent | dist_sent | dist_par | dist_num | bin2, sent | dist_num |
| ante_form | num | num | num | num | num | num |

Figure 2: SFS optimal features of each feature set
4.5 Experiment three: exploring different feature subsets based on their importance

Firstly, in 4.5.1, we explore the accuracy of different subsets of each feature set based on the results of the RFI and SFS experiments. In 4.5.2, we explore the subsets of all the features combined, trying to come up with an optimal consensus set of features.

4.5.1 Subsets of each feature set

The first row of Table 9 with the name original reports on the original accuracy of each model. Rows top1, top2 and top3 report respectively on performing RF on the first, the first two and the first three features of each feature set with the highest permutation importance (according to Figure 1). For instance, in the case of OSU, the first two features with the highest permutation importance are \{anim, bin3.sent\}. The top50\% row reports on applying the RF to the top 50\% features of each feature set. The dashed line in Figure 1 shows which features are among the top 50\%. For instance, in case of Kibrik, the top 50\% features are \{ante_form, anim, dist_sent, plur, dist_par, gender\}. Finally, the row sfs reports on applying RF to the subsets chosen in the SFS.
experiment (Figure 2). In case of UDeL, for instance, the RF is applied to the feature set \{gm, gm.pl, bin2.sent, ent.num\}. Surprisingly, in case of IS-G and OSU, the accuracy of the models solely incorporating SFS features are slightly higher than the original algorithms.

| Row name | IS-G | Ferreira | OSU | ICSI | Kibrik | UDeL | CNTS |
|----------|------|----------|-----|------|--------|------|------|
| original | 0.68 | 0.601    | 0.697 | 0.69 | 0.793 | 0.624 | 0.723 |
| top1     | 0.56 | 0.492    | 0.556 | 0.556 | 0.56  | 0.492 | 0.556 |
| top2     | 0.66 | 0.577    | 0.665 | 0.593 | 0.632 | 0.560 | 0.661 |
| top3     | 0.684 | 0.593   | 0.694 | 0.661 | 0.712 | 0.585 | 0.670 |
| top50%   | 0.66 | 0.593    | 0.665 | 0.659 | 0.761 | 0.597 | 0.696 |
| sfs      | 0.684 | 0.601   | 0.701 | 0.681 | 0.761 | 0.608 | 0.701 |

Table 9: The accuracy of various subset models

### 4.5.2 Subsets of all features

After trying out different subsets of each feature set, we are now going to explore different combinations of all features based on the results of the RFI and SFS experiments.

1. We first applied RF to the set of those features with the highest permutation importance in the RFI experiment. According to Figure 1, the set is \{gm, anim, dist.sent, ante.form\}. The accuracy of this model is 0.728.

2. This time, we applied RF to the union of the two most important features of each feature set: \{gm, bin2.sent, dist.sent, bin3.sent, same.ante, ante.form, same.sent, anim\}. The accuracy of this model is 0.723. Against our expectation, the accuracy of this model is lower than the accuracy of the union of the single most important features.

3. We applied RF to the union of all the SFS feature sets shown in Figure 2. The set has 19 distinct features, and the accuracy is 0.779. So far, this is the highest accuracy obtained from the subsetting of the features.

4. Since the subset outlined in item 3 led to the highest accuracy compared to the other subsets, we ran SFS on this feature set to end up with a smaller set of features. The idea here is to reach an optimal compromise between the number of features (which should be small) and the performance (which should be high). The features selected by SFS are \{gm, ante.form, bin3.sent, anim, plur, dist.par\}. The accuracy of the prediction with the selected subset is 0.776. We used Bayes Factor analysis with a Bernoulli distribution to determine whether there is evidence for a difference in accuracy levels of less than or greater than 0.05 between the best performing model, Kibrik with the accuracy of 0.793, and the new model. There is very strong evidence of the accuracies being closer than the threshold, hence being evidentially indistinguishable.

### 5 Conclusion

The aim of this study was to systematically examine feature-based SRF models, fleshing out what features make the models work best. By evaluating different feature sets of the computational SRF studies from a linguistic perspective, we tried to build a bridge between the features employed by computational models and the linguistic explanations behind those features.

Based on the results of the two feature selection experiments conducted on seven feature sets, and the approach outlined in section 4.5.2, we composed a consensus set consisting of six features from 4 classes: animacy and plurality [Inherent features of a referent], grammatical role of the current mention, form of the antecedent, and categorical distance in number of sentences [recency].

Comparing the consensus set with the previously proposed feature sets has interesting implications for both feature-based SRF research and linguistics.
Implications for feature-based SRF studies: We found that by using a smaller set of features, models can often achieve nearly identical performance. For example, as shown in Table 9, the performance of the Kibrik model using 17 features is 0.793, but we can achieve an accuracy of 0.776 using only 6 features. Furthermore, using the largest number of features does not guarantee the best possible performance: the results in Table 9 show that the performance of a subset is often similar to that of a superset. In the case of 2 feature sets, OSU and IS-G, the performance of one of the subsets was even better than the original.

We saw in Table 5 that all systems except ICSI encode recency in one way or the other. Some encode recency in terms of “lower-level” units such as counting words, NPs and markables; others focus on “higher-level” units such as sentences and paragraphs. The two experiments show the higher-level metrics are always ranked more highly than the lower-level ones. Clearly, features encoding similar concepts (e.g. distance to the antecedent) do not always contribute equally to a model. The same holds for referential status, where sentence-level features play a much more important role than the others.

Implications for linguistics: In section 3.1, we grouped the features that were used by the systems that we studied into 9 broad categories. The 6 features that we chose (above) as our consensus set are all from only 4 of these 9, namely grammatical role, inherent features of the referent, antecedent form and recency.

• Inherent features: We showed that two inherent features of a referent, namely animacy and plurality, i.e. whether the referent is plural or singular, play major roles in predicting the referential choice. Given the linguistics literature, the importance of animacy is no great surprise; for example, Fukumura and van Gompel (2011) reported that pronouns were more frequent for referring to animate than inanimate referents. More of a surprise is the role of plurality for SRF which has attracted less attention. The psycholinguistics literature suggests that when conjoined noun phrases are introduced into the discourse, they are treated as a group, and the group is in focus, which makes it prominent (Patson and Warren, 2011). According to Gordon et al. (1999)’s repeated name penalty, referring to a prominent referent with a proper name instead of a pronoun increases the processing time. An implication of this is the possibility that including the plurality feature in a prediction task might facilitate the pronoun detection.

• Grammatical role: Various studies, including centering-based research, tend to emphasize that referents in subject position have a higher tendency to be pronominalized in the subsequent sentence (Brennan et al., 1987; Brennan, 1995; Kaiser, 2010). The focus of previous research has usually been on the subjecthood of the antecedent, and less so on the subjecthood of the current mention. Our analysis suggests that the grammatical role of the current mention is more important than that of the antecedent in predicting the choice of referential form.

• Antecedent form: In this case, our findings match those in the linguistic tradition (Gundel and Hedberg, 2008). This factor could be important either because people tend to avoid consecutive uses of the same expression (Bohnet, 2008), or because having a pronominal antecedent enhances the prominence of the referent (Kaiser, 2003).

• Recency: Recency, in the linguistic tradition, has often been emphasized, but often without a clear definition. Our study suggests that recency is best defined in terms of the number of sentences that intervene between the antecedent and the current mention; next best is recency metric defined in terms of the number of paragraphs. This finding is in line with the linguistic tradition, which tend to focus on “higher level” measures (Fox, 1987; Tomlin, 1987; McCoy and Strube, 1999; Henschel et al., 2000; Arnold et al., 2009).

This concludes our comparison of different feature-based computational studies of referential choice. In view of the above observations, we hope that computational and theoretical studies of language will continue to provide inspiration to each other.
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