HIGH PERFORMANCE LYOT AND PIAA CORONAGRAPHY FOR ARBITRARILY SHAPED TELESCOPE APERTURES

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ABSTRACT

Two high-performance coronagraphic approaches compatible with segmented and obstructed telescope pupils are described. Both concepts use entrance pupil amplitude apodization and a combined phase and amplitude focal plane mask to achieve full coronagraphic extinction of an on-axis point source. While the first concept, called Apodized Pupil Complex Mask Lyot Coronagraph (APCMLC), relies on a transmission mask to perform the pupil apodization, the second concept, called Phase-Induced Amplitude Apodization complex mask coronagraph (PIAACMC), uses beam remapping for lossless apodization. Both concepts theoretically offer complete coronagraphic extinction (infinite contrast) of a point source in monochromatic light, with high throughput and sub-λ/D inner working angle, regardless of aperture shape. The PIAACMC offers nearly 100% throughput and approaches the fundamental coronagraph performance limit imposed by first principles. The steps toward designing the coronagraphs for arbitrary apertures are described for monochromatic light. Designs for the APCMLC and the higher performance PIAACMC are shown for several monolith and segmented apertures, such as the apertures of the Subaru Telescope, Giant Magellan Telescope, Thirty Meter Telescope, the European Extremely Large Telescope, and the Large Binocular Telescope. Performance in broadband light is also quantified, suggesting that the monochromatic designs are suitable for use in up to 20% wide spectral bands for ground-based telescopes.

Key words: planets and satellites: detection – techniques: high angular resolution – telescopes

Online-only material: color figures

1. INTRODUCTION

Direct imaging of exoplanets with ground-based telescopes is becoming possible due to advances in adaptive optics, as demonstrated by several recent direct imaging exoplanet discoveries (Lagrange et al. 2010; Marois et al. 2008; Carson et al. 2013). While current ground-based instruments are most sensitive to relatively massive and young planets at large angular separation (typically beyond a few tenths of an arcsecond), recent developments in coronagraphic techniques, “extreme” Adaptive Optics and calibration techniques are pushing detection limits deeper in contrast and closer in angular separation, soon providing access to the planet-rich inner parts of planetary systems (Macintosh et al. 2008; Beuzit et al. 2008; Martinache & Guyon 2009; Crepp et al. 2011). Direct imaging of the inner part (1 to 5 AU) of young planetary systems is of especially high scientific value to constrain and understand planetary systems formation and evolution near the habitable zone, and requires the combination of an efficient coronagraph offering small inner working angle and a high level of wavefront correction and calibration.

High-contrast imaging from space allows access to considerably better contrast than possible with ground-based telescopes, due to the absence of atmospheric turbulence. Laboratory coronagraphy systems have demonstrated that raw contrasts of about 1e-9 can be achieved in a stable environment with a deformable mirror and a coronagraph (see, for example, Trauger & Traub 2007). At such high contrast, coronagraphic imaging can allow characterization of potentially habitable planets through spectroscopy from space (Levine et al. 2009).

While most high-performance coronagraphs are designed for unobstructed circular pupils, current and future large ground-based telescopes are centrally obscured, and also segmented above 8.4 m diameter. Future large space-based telescopes will also likely be centrally obscured and/or segmented, although a telescope dedicated to high-contrast imaging could be built off-axis if required for coronagraphy (Levine et al. 2009). The scientific return of an exoplanet direct imaging mission or instrument is a steep function of telescope diameter: larger telescopes allow access to exoplanets at smaller angular separations, which are brighter in reflected light (apparent luminosity scales as inverse square of angular separation in reflected light), more numerous (the number of planets of a given type accessible with a telescope scales as the third power of telescope diameter), and more relevant to exoplanet systems habitability than widely separated planets. Larger ground-based telescope size also allows higher contrast observation by better concentrating planet light over the speckle halo background, and the gain in collecting area enables spectroscopic characterization. It is therefore essential to identify and develop coronagraph concepts that can deliver high performance on centrally obscured and/or segmented apertures.

Coronagraph designs for centrally obscured and/or segmented apertures have been proposed by several authors, offering a wide range of solutions and approaches.

1. Lyot-type coronagraphs with amplitude masks. Most studies of coronagraph designs for obscured and/or segmented apertures considered Lyot-type coronagraph optimized for high contrast by either apodization of the entrance pupil (APLC concept introduced by Soummer et al. 2003a) or apodization of the focal plane mask (Band-limited coronagraph concept introduced by Kuchner & Traub 2002). For the apodized pupil Lyot coronagraph (APLC), Soummer (2005) and Soummer et al. (2009) showed that the entrance pupil apodizer can be optimized for centrally obscured pupils. Using this technique, Martinez et al. (2007) studied the APLC for Extremely Large Telescopes...
(ELTs), finding high throughput solutions offering better than 1e-5 contrast at and beyond 3λ/D separation. Martinez (2010) proposed using a multistage APLC to mitigate central obstruction limitations. While central obstruction can be mitigated in the Lyot-type coronagraph design, Sivaramakrishnan & Lloyd (2005): Sivaramakrishnan & Yaitskova (2005) showed that spiders and gaps in APLC and band-limited Lyot coronagraphs diffract light within the geometrical aperture, making it impossible to achieve very high contrast on segmented apertures. Moderate-contrast band-limited Lyot coronagraphs have been designed for the NIRCAM instrument (Krist et al. 2009) on the James Webb Space Telescope, but the aggressive Lyot stop designs, which remove much of the residual diffraction from the secondary structure and the segmented primary, come at the cost of significant companion throughput.

2. Phase mask coronagraphs. Coronagraphs using phase focal plane masks are also affected by central obscuration and spiders/gaps. Lloyd et al. (2003) showed that the four-quadrant phase mask can only achieve full coronagraphic suppression on unobstructed pupils free of gaps or spiders, as any obscuration diffracts light outward in the Lyot plane. The optical vortex coronagraph is similarly affected by obscurations, although Mawet et al. (2011) showed that central obstruction can be mitigated by a dual-stage approach, where the second stage rejects most of the light diffracted by the central obstruction. For both the vortex and the four quadrant phase mask coronagraphs, no solution has been found to eliminate the light diffracted by spiders and gaps.

3. Shaped Apertures. For moderate contrast level and relatively large inner working angle (IWA), shaped apertures can be designed for centrally obscured and segmented pupils. Tanaka et al. (2006) designed shaped apertures delivering 1e-7 contrast at 4λ/D. Similarly, Carlotti et al. (2011) showed that shaped pupil can be designed for 1e-6 contrast and 4λ/D inner working angle for a variety of centrally obscured and segmented apertures.

A different approach to this problem is to remap the entrance aperture to remove central obstruction and/or spiders. Murakami & Baba (2005) propose a two-mirror system to remove central obstruction and spiders for a four-quadrant coronagraph. Guyon & Shao (2006) propose a high efficiency nulling coronograph concept adapted to central obstruction and spiders by performing destructive interferences between pairs of unobstructed off-axis subapertures. Lozi et al. (2009) demonstrate that a prism-like transmissive device and aspheric optics can be used to remove both central obstruction and spiders from the Subaru Telescope pupil, theoretically allowing high performance coronagraphy with the full telescope aperture. These remapping solutions are complex, challenging to implement and align, and extremely sensitive to tip-tilt and stellar angular size (Crepp et al. 2009) at high contrast: when points on either side of an obstruction are brought next to each other in the remapped pupil, a small tip-tilt in the entrance beam leads to a phase discontinuity in the remapped beam. When due to finite stellar angular diameters, diffraction due to this discontinuity cannot be mitigated or controlled by wavefront control, as it is incoherent (opposite sides of the stellar disk produce diffracted light components of opposite signs). Serabyn et al. (2007) chose to avoid entirely the problem by using an unobstructed 1.5 m diameter off-axis part of the 5 m Palomar telescope to perform high contrast imaging with the optical vortex coronagraph. While this allows the use of high performance coronagraphs designed for unobstructed apertures, the performance loss due to the use of an aperture considerably smaller than the full telescope is significant.

The solutions previously proposed to mitigate the effects of central obstruction, spiders and gaps are generally suitable for ground-based coronagraphy at a few λ/D IWA, with a raw contrast around 10^-5, as reported by Martinez et al. (2008), who performed a study of coronagraphic performance on ELTs including realistic assumptions on the level of residual wavefront error after an extreme-AO system. For most of the coronagraphs, central obstruction and spiders were found to have a major impact on performance, limiting the achievable contrast to 10^-2 in the 1 to 4 λ/D separation range. The notable exceptions to this rule were the Achromatic Interfero Coronagraph (Baudouz et al. 2000), which is insensitive to centro-symmetric pupil features (such as a central obstruction or a set of four radial spiders at 90 deg), and the APLC, which could be designed to take into account central obstruction and was found to be quite robust to spiders at the 10^-5 contrast level. The coronagraphs concepts for which ground-based designs compatible with central obscuration have been proposed (shaped aperture, APLC, band-limited Lyot coronagraph) are unfortunately not able to offer IWA less than 2λ/D, and also do not enable high contrast (approximately 10^-6) coronagraphy on centrally obscured or segmented apertures.

The work presented in this paper aims to demonstrate that high-performance coronagraphy is possible in monochromatic light on centrally obscured and/or segmented pupils for both ground-based and space-based telescopes. The Apodized Pupil Complex Mask Lyot Coronagraph (APCMLC) and Phase-Induced Amplitude Apodization complex mask coronograph (PIAACMC) concepts, previously described for circular unobstructed apertures in Guyon et al. (2010), are here adapted to arbitrarily shaped apertures. Section 2 describes how the APCMLC can be adapted to non-circular apertures, and a step by step process to design an APCMLC for any aperture shape is proposed and examples are shown. In Section 3, the PIAACMC is shown to offer performance superior to the APCMLC, and its design for centrally obscured and segmented apertures is discussed, with examples representative of current and future large telescopes shown. High performance APCMLC and PIAACMC for pupils with strong aspect ratios is briefly discussed in Section 4. Chromaticity of the concepts is discussed in Section 5. Results are discussed in Section 6.

2. APODIZED PUPIL COMPLEX MASK LYOT CORONAGRAPH (APCMLC) FOR APERTURES OF ARBITRARY SHAPE

2.1. Principle

In this section, it is shown that the APCMLC is compatible with non-circular apertures, as illustrated in Figure 1, and a description of how it can be designed for arbitrarily shaped pupils is provided. While the APCMLC description provided here remains qualitative and focused on aspects relevant to non-circular apertures, a more complete analytical description is provided in Guyon et al. (2010) for circular unobstructed apertures. We describe the APCMLC by following how electric field (also referred to as complex amplitude in this paper) from an on-axis point source propagates through the coronagraph system.
The APCMLC, illustrated in Figure 1, uses a circular focal plane mask to partially transmit and phase shift the on-axis point-spread function (PSF) core (complex amplitude B on Figure 1). The transmission and phase shift are uniform within the mask radius, and the mask is fully transmissive, with no phase shift, outside this radius. This produces a destructive interference within the geometric pupil between the light that passes around the focal plane mask disk and the phase-shifted light passing through the focal plane phase-shifting disk. With a Lyot mask (Lmask) selecting only the geometric pupil, a coronagraphic effect is achieved. The concept is thus an intermediate point between the conventional Lyot coronagraph or APLC (Soummer et al. 2003a), which use a large fully opaque focal plane mask, and the phase mask coronagraph (Roddier & Roddier 1997; Guyon & Roddier 2000; Guyon et al. 1999; N’diaye et al. 2010) which uses a small size fully transmissive phase-shifting focal plane mask. In the APCMLC, the focal plane mask size can be chosen anywhere between these two extremes, and defines the ratio between the amount of light within the circular mask and outside the mask. As the focal plane mask radius decreases, a smaller fraction of the light is within the mask radius, and its transmission must increase to maintain the flux balance between the “inside focal plane mask” (corresponding to low spatial frequencies in the pupil) and “outside focal plane mask” (high spatial frequencies in the pupil) components, a necessary condition to achieve destructive interference.

Full destructive interference within the geometric pupil also requires that the two components are equal in amplitude for every point within the pupil. Since this match does not naturally occur, all three concepts (APLC, Roddier phase mask coronagraph, and APCMLC) require the entrance pupil to be amplitude apodized to enforce this match. Qualitatively, for small focal plane mask size, the apodization mostly changes the pupil light distribution for the “outside focal plane mask” component, while the light distribution for the “inside focal plane mask” component is mostly driven by the size of the focal plane mask. The entrance pupil apodization can therefore be iteratively derived to force the “outside focal plane mask” component to match the “inside focal plane mask component,” using the following steps.

1. Adopt a focal plane mask diameter \( a \).
2. Compute the on-axis complex amplitude PSF for the aperture. This is the Fourier transform of the pupil complex amplitude \( P \).
3. Clip the PSF: values outside the focal plane mask radius are forced to zero.
4. Inverse-Fourier transform the clipped PSF, and adopt this function as the apodized pupil plane amplitude function \( A \), after multiplication by a factor \( \Lambda a \) so that its maximum value across the pupil is equal to 1 (full transmission).
5. Return to step (2), with the output of step (4) as the pupil complex amplitude function.

This iterative algorithm is a generalization of the iterative algorithm used by Guyon & Roddier (2000, 2002) and detailed in Guyon (2002) to compute optimal apodization for the phase mask coronagraph (for which the mask is fully transmissive), and the iterative algorithm used to compute optimal apodization for the APLC (for which the mask is fully opaque) on centrally obscured circular apertures (Soummer 2005; Martinez et al. 2010) and on more complex pupil shapes (Soummer et al. 2009). Aime et al. (2002) and Soummer et al. (2003a) showed that the apodization solutions obtained for rectangular and circular apertures are Prolate functions for which analytical expressions exist. Apodization functions can also be computed for centrally obscured apertures (Soummer 2005), and for arbitrary non-circular symmetric pupils (Soummer et al. 2009). A remarkable property of the iterative algorithm described above is that it converges for a wide range of pupil shapes and focal plane mask diameters (Guyon 2002). For small focal plane mask...
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The entrance pupil shape is defined by the real function \( P(r) \), with \( r \) the two-dimensional (2D) position vector in the pupil plane, and \( P(r) = 1 \) for points within the pupil and \( P(r) = 0 \) outside of the pupil. The apodizer function \( Apo(r) \) is applied to the pupil, yielding the following complex amplitude in plane \( A \)

\[
\Psi_A(r) = Apo(r)P(r). \tag{1}
\]

The \( r \)-dependence is dropped in subsequent equations. The iterative algorithm previously described is used to numerically compute the apodization function \( Apo(r) \), which will converge to a pupil function \( \psi_a \) which is the eigenvector of the “truncate (by \( P \))” Fourier Transform, truncate (by \( |r| < a \)), and inverse Fourier Transform” operator, with eigenvalue equal to the scaling factor \( \Lambda_a \) used in step (4) of the iterative algorithm given previously:

\[
(\psi_aP) \otimes \tilde{M}_a = \Lambda_a \psi_a \tag{2}
\]

where \( \otimes \) is the convolution operator, \( M_a \) is equal to 1 within a disk of diameter \( a \) and is equal to 0 outside it, and \( \tilde{M}_a \) is the Fourier Transform of \( M_a \). In the APMLC, the apodizer function is chosen equal to \( \psi_a \)

\[
\Psi_A = \psi_a P. \tag{3}
\]

The focal plane mask complex amplitude is:

\[
F_{mask} = 1 - (1 - t)M_a \tag{4}
\]

where \( t \) is the complex amplitude transmission within the circular focal plane mask. The complex amplitude in plane \( B \) is

\[
\Psi_B = F_{mask} \tilde{\Psi}_A = \tilde{\Psi}_A - (1 - t)M_a \tilde{\Psi}_A. \tag{5}
\]

The complex amplitude in plane \( C \) is obtained by Fourier transform of \( \Psi_B \):

\[
\Psi_C = \Psi_A - (1 - t)(\psi_a P) \otimes \tilde{M}_a. \tag{6}
\]

By combining Equations (2) and (6), and multiplying by \( P(r) \), the complex amplitude in plane \( C \) within the geometrical pupil is

\[
\Psi_C P(r) = P(r) \times (1 - (1 - t)\Lambda_a)\psi_a. \tag{7}
\]

This equation shows that, if \( t = 1 - \Lambda_a^{-1} \) (this value is now noted \( t_a \)), then \( \Psi_C \) is equal to zero within the geometrical pupil. This is the condition for an APMLC, which completely removes light from an on-axis point source, provided that a Lyot pupil plane mask \( L_{mask}(r) = P(r) \) is used to only select light within the geometrical pupil. Since \( \Lambda_a < 1, t_a \) is negative: the focal plane mask is both partially transmissive and \( \pi \)-phase shifting. A coronagraphic solution requires \( t_a > -1 \), and therefore exists only if \( \Lambda_a > 0.5 \); the focal plane size needs to be sufficiently large so that light going through the mask can be balanced with light going outside the mask.

The same pupil apodization technique is used in the APLC to optimize the pupil entrance complex amplitude to the hard edged opaque focal plane mask (Soummer et al. 2003a). In the APLC, \( t = 0 \) in Equation (7), and the coronagraphic extinction is therefore not total for an on-axis point source. Equation (7) shows that the on-axis PSF in the focal plane mask is an exact copy of the non-coronagraphic PSF, scaled by \((1 - \Lambda_a)^2\) in intensity. For large focal plane mask diameters \( a, \Lambda_a \) is close to 1, and the coronagraphic extinction is satisfactory. The APLC concept has been adopted for the Palomar Observatory high contrast imaging program (Hinkley et al. 2011) and the Gemini Planet Imager (Macintosh et al. 2008) and has been validated in laboratory demonstrations (Thomas et al. 2011). The APMLC concept is very similar to the APLC, the only fundamental difference being that its focal plane mask transmission is allowed to be non-zero, therefore allowing full coronagraphic extinction for any focal plane mask size \( a \) for which \( \Lambda_a > 0.5 \).

2.2. APMLC Designs for Segmented Apertures

Apodized pupil complex mask Lyot coronagraphs (APMLCs) were designed for the Subaru Telescope, Giant Magellan Telescope (GMT), Thirty Meter Telescope (TMT), and European Extremely Large Telescope (E-ELT) pupil geometries, following the process described in the previous section. For each pupil geometry, several focal plane mask sizes were chosen. The designs with the smallest possible focal plane mask sizes use full transmission \( \pi \)-phase shifting circular focal plane masks, and are referred to as optimal IWA APMLC designs in this paper. As the focal plane mask size increases, it also becomes more opaque, the system throughput (which is equal to the apodizer throughput) decreases and the IWA increases. Results are summarized in Table 1, and show that optimal IWA designs offer IWAs around 0.9 \( \lambda /D \) and throughputs around 60%. For all designs, the IWA is approximately equal to the focal plane mask radius, and the throughput decreases rapidly with increasing focal plane mask size: with a 1.2 \( \lambda /D \) radius, the throughput ranges from approximately 10% to 35% depending on the pupil geometry. The performance of the optimal IWA design is largely independent of pupil geometry, and is similar for segmented apertures to the performance previously reported for a full unobstructed circular pupil (Guyon et al. 2010). However, as the mask size increases, pupil geometry has a larger impact on performance, as the range of pupil plane spatial frequencies accessed by the focal plane mask begins to overlap with the low spatial frequency components of the pupil geometry (central obstruction, large segments, thick spider vanes). This difference is most noticeable between the GMT pupil with few large segments and the TMT or E-ELT geometries with numerous small segments.

Selected examples of apodization functions and Lyot plane intensity images are shown in Figure 2. In each case, the apodization function is smooth and free of high spatial frequencies, and no light is left within the geometrical pupil in the Lyot pupil plane, as all residual starlight is diffracted outside of the pupil and in the gaps between segments.

Table 1 gives for several APMLC designs the key design parameters (focal plane mask size \( a \), focal plane mask transmission) as well as the coronagraph performance (throughput and IWA). For each pupil shape considered, the first design (design 1) is the most aggressive in IWA, with a nearly fully transmissive focal plane mask. This aggressive design is also the one with the highest throughput, as the apodization strength needs to increase for larger focal plane mask sizes. The
APCMLC throughput never exceeds 70% due to the need for a pupil apodization. Transmission curves are given in Figure 3 for the APCMLC designs listed in Table 1.

### 3. PHASE INDUCED AMPLITUDE APODIZATION COMPLEX MASK CORONAGRAPH (PIAACMC) FOR APERTURES OF ARBITRARY SHAPE

#### 3.1. Lossless Phase-Induced Amplitude Apodization (PIAA)

While the APCMLC described in Section 2 achieves full on-axis coronagraphic extinction for almost any pupil shape, its throughput is limited by the entrance apodization required to achieve perfect destructive interference in the output pupil plane. The system throughput decreases as the focal plane mask size increases, with a maximum throughput equal to 72% for a 0.64 \( \lambda/D \) radius purely phase-shifting transparent mask on a circular unobstructed aperture. Throughput, and consequently angular resolution, degrade rapidly with increased focal plane mask size: it is 18% for a 2 \( \lambda/D \) radius mask. The results obtained in Section 2.2 also show that the APCMLC maximum throughput (achieved for the designs with the most aggressive IWA) is lower on segmented pupils than it is for an unobstructed circular pupil (“Throughput” column of Table 1). Moreover, throughput, angular resolution, and IWA are significantly degraded when the focal plane mask size is increased—while mitigation of undesired chromatic effects at the focal plane mask may require a larger and more opaque mask.

Phase-Induced Amplitude Apodization (PIA) uses aspheric mirrors to achieve a lossless beam apodization (Guyon 2003), and can therefore produce a highly apodized beam suitable for high contrast imaging without the angular resolution loss and throughput loss of a conventional apodizer. PIAA can also be used to replace the entrance apodization in the APCMLC design described in Section 2, as previously proposed for unobstructed circular pupils (Guyon et al. 2010). The resulting coronagraph, denoted PIAACMC, offers simultaneously full throughput, small inner working angle and total on-axis extinction.

An example PIAACMC design is shown in Figure 4 for a segmented centrally obscured pupil. The entrance pupil P (image shown in the lower left of the figure) is apodized with lossless aspheric PIAA optics. Because the PIAA optics perform apodization by remapping instead of selective transmission, the resulting pupil P1 shape is modified. A conventional apodizing mask may be used to fine-tune the apodization if the PIAA optics do not exactly produce the required amplitude distribution (this will be addressed in the following section). The resulting pupil A is shown in the second image from the lower left corner. The image of an on-axis point source is shown in the center image, where the phase-shifting partially transmissive focal plane mask is inserted. In the output pupil plane C, all light within the pupil has been removed, while diffracted starlight fills the gap and obstructions of the segmented pupil. A Lyot mask (noted Lmask) can then select only the geometric pupil area (after remapping) to fully block on-axis starlight while fully transmitting the light from distant off-axis source. A well-documented side effect of apodization with PIAA optics is that off-axis PSFs are highly distorted, and corrective optics (inverse PIAA) are required at the output of the coronagraph to maintain diffraction limited sharp PSFs over a scientifically useful field of view (Lozi et al. 2009). Except for PIAA and inverse PIAA optics, the PIAACMC architecture is functionally identical to the APCMLC architecture described in Section 2.1: between planes P1 (output of the PIAA optics) and the plane immediately after the pupil plane Lyot mask, the architecture is an APCMLC.

The main difference between APCMLC and PIAACMC is that the lossless apodization allows increased performance by
maintaining full throughput and angular resolution, regardless of the focal plane mask size adopted.

3.2. Designing a PIAACMC for a Non-circular Aperture

We consider in this work PIAACMC designs that perform a lossless PIAA apodization of the pupil to produce a generalized prolate function for the aperture. We note that other apodization functions could be adopted, and could potentially lead to superior performance, but this is not explored in this paper. In the unobstructed circular pupil case (Guyon et al. 2010), designing the PIAACMC is relatively simple, as PIAA apodization using a radial remapping function preserves the circular aperture shape. The prolate function can thus be first computed, and then realized with a radial PIAA apodization.
Designing a PIAACMC for complex shaped apertures is considerably more challenging because the PIAA apodization modifies the aperture shape, which itself changes the generalized prolate function. In addition to this circular problem, if the aperture is not circularly symmetric, the generalized prolate is also not symmetric, and the required remapping function therefore cannot be written as a radial function. While PIAA optics can be designed for any radial remapping (Guyon 2003), an arbitrarily chosen 2D remapping function can almost never be realized with a set of two PIAA optics.

To overcome the two challenges listed above (circular design problem due to effect of PIAA on aperture shape, and complexity/impossibility of designing PIAA optics for non-circular symmetric remapping), a hybrid PIAACMC design is adopted, which includes a conventional apodizer after the remapping to produce the required prolate function. Due to this post-apodizer, the output of the PIAA apodization does not need to exactly match the generalized prolate function, allowing radial remapping functions to be used on non-circular symmetric apertures. The goal of the design optimization is to bring the PIAA apodization and generalized prolate functions close, in order to minimize the strength of the post-apodizer and thus maintain a high system throughput.

The proposed steps for designing a PIAACMC for complex shaped apertures are as follows.

1. Choose radial remapping function \( r_1 = f_b(r_0) \), where \( r_0 \) and \( r_1 \) are the radial coordinate in the input (before remapping) and output (after remapping) pupils, respectively. For convenience, the remapping function is selected among a pre-computed set of functions used to produce prolate spheroidal apodizations on circular apertures. The focal plane mask diameter corresponding to the prolate spheroidal function is denoted \( b \), and the corresponding remapping function and apodization intensity profile are respectively \( f_b \) and \( I_b \).

2. Apply the remapping function to the entrance pupil.

3. Choose a focal plane mask diameter \( a \).

4. Compute the generalized prolate function \( Prola(x, y) \) for the remapped aperture shape defined by \( P1(x, y) > 0 \), using the focal plane mask diameter \( a \). This is done iteratively as described in Section 2.1.

5. Compute the amplitude ratio \( Apo(x, y) = Prola(x, y)/P1(x, y) \). This is the post-apodizer amplitude transmission function. \( Apo(x, y) \) is then scaled to ensure that its maximum value is equal to 1. The intensity-weighted average of \( Apo(x, y)^2 \) defines the coronagraph throughput for off-axis sources.

Figure 3. Coronagraph system transmission for a monochromatic point source, as a function of the angular separation between the point source and the optical axis, for the APCMLC designs listed in Table 1. System transmission is measured by integrating all light in the final focal plane (plane E in Figure 1).

(A color version of this figure is available in the online journal.)
Steps (3)–(5) are repeated for different values of the focal plane mask size \(a\). The off-axis coronagraph throughput is computed for each choice of \(a\), and the final focal plane mask size is chosen to maximize throughput. This optimization links the choice of the remapping function (step (1)) to a value of the focal plane mask radius \(a\). For a circular unobstructed aperture, the solution would be \(a = b\), for which the PIAA apodization would perfectly match the generalized prolate function. On arbitrarily shaped pupils, the focal plane mask radius is usually close to, but not equal to, \(b\). Stronger apodization functions correspond to larger values for \(a\) and \(b\).

### 3.3. PIAACMC Design Examples

#### 3.3.1. Centrally Obscured Pupils: Subaru Telescope Pupil

The Subaru telescope pupil is representative of current large aperture astronomical telescopes, with a large central obstruction and thick spiders. Both features must be taken into account for the design of a high performance coronagraph.

Figure 5 shows two PIAACMC designs for the Subaru Telescope pupil. The small IWA design (left) was computed from the \(b/2 = 0.6\lambda/D\) beam remapping, and uses a small sub-\(\lambda/D\) radius focal plane mask with high transmission. The large IWA design was computed from \(b/2 = 1.2\lambda/D\), adopts a larger mostly opaque focal plane mask, and relies on a stronger PIAA remapping. Both designs offer throughput above 97%, and their throughput could be further increased by slightly elongating the focal plane mask, which was kept circular for simplicity in this study. The large IWA design, by relying on a stronger PIAA remapping, introduces a large pupil deformation, as visible in the figure. The post-focal plane mask pupil images demonstrate the PIAACMC’s ability to diffract all of the light from a central source outside the geometrical pupil, including within the gaps of the pupil (here, central obstruction, and spiders).

#### 3.3.2. Segmented Pupils: Giant Magellan Telescope (GMT)

The GMT consists of one central 8.4 m circular segment, surrounded by a ring of six 8.4 m diameter segments. While the outer segments are unobscured, the central segment includes a central obstruction due to the secondary mirrors and its support structure.

Figure 7 show three PIAACMC designs for the GMT pupil: a small IWA design computed for \(b/2 = 0.7\lambda/D\) (design 1), a medium IWA design computed for \(b/2 = 1.2\lambda/D\) (design 2), and a large IWA design computed for \(b/2 = 1.5\lambda/D\) (design 3). As \(b\) increases, the PIAA remapping becomes stronger, and the physical size of the focal plane mask increases. In each case, the PIAACMC achieves complete suppression of the on-axis point source, and its light is diffracted outside the geometrical aperture in plane C, including between the seven subapertures and within the secondary mirror obstruction and support structure.

The imaging quality of the GMT PIAACMC designs is illustrated in the right panel of Figure 7, which shows that for each of the three designs, the final coronagraphic image maintains high throughput and largely uncompromised imaging quality outside the central \(\approx 1\lambda/D\) region. The images also show...
Figure 5. Two PIAACMC designs for the Subaru Telescope pupil: small IWA design (left) and large IWA design (right). The entrance pupil P (top left) is remapped into P1 (top center) with PIAA optics. A high transmission apodizer (top right) slightly modifies P1 into the desired generalized Prolate $\psi_a$ for the corresponding aperture shape. A circular phase-shifting partially transmissive mask is introduced in the focal plane, producing the complex amplitude B (bottom left shows real part of B) which is a real function. The corresponding pupil plane complex amplitude (bottom center) shows total destructive interference within the pupil. The brightness scale at the bottom of the figure applies to the apodizing mask in the top right.
Figure 6. Simulated Subaru PIAACMC images of five point sources of equal brightness. The point sources are at coordinates (0;0), (1;0), (0;2), (−4;0), and (0;−8) in \(\lambda/D\) units. A non-coronagraphic images (left) shows all five point sources. The partially transmissive central focal plane mask is visible in the intermediate focal plane image (center), where off-axis PSFs are distorted by the PIAA remapping. In the output focal plane image (right), the central source is fully canceled and the off-axis PSFs images are sharp thanks to the inverse PIAA optics.

For these designs, the Lyot mask must mask the gaps between the segments while transmitting light within the segments, and it must therefore be carefully aligned with the pupil. A Lyot mask for which the masked zones are slightly oversized may be used to accommodate pupil alignment errors at the cost of system throughput.

3.4. Discussion

The transmission as a function of angular separation for each of the PIAACMC designs listed in this section is shown in Figure 10. Table 2 summarizes the PIAACMC designs discussed in this section. For each design, the circular remapping function was first chosen, and is represented in the table by the parameter \(b\), which is the diameter of the focal plane mask used to iteratively compute the generalized prolate function for a circular aperture. A small value of \(b\) indicates a weak apodization. The PIAA strength listed in the table is the surface brightness ratio between the brightest and faintest parts of the remapped beam, and is a function of only \(b\). This ratio is a good indicator for both the level of distortions of the off-axis PSFs in the intermediate focal plane, and for the difficulty in making the PIAA optics. Current PIAA optics for conventional PIAA coronagraphs have a strength around 100, and any value below 100 therefore corresponds to PIAA optics that can be manufactured to nanometer-level surface accuracy without technological advances. For PIAA strength values above 100, a hybrid scheme where some of the edge apodization is offloaded to a conventional apodizer should be adopted, at the cost of lower throughput (typically up to 10% throughput loss) and loss of angular resolution and IWA (by typically up to 5%).

4. PUPILS WITH STRONG ASPECT RATIO

4.1. Challenges

The APCMLC and PIAACMC coronagraphs described in the previous section achieve full starlight suppression by performing, for each point in the output pupil, perfect destructive interference between the light that passes through the circular focal plane mask and the light that passes around it. To offer \(\lambda/D\)-level inner working angle, these concepts therefore require that the telescope’s non coronagraphic PSF consist of a central diffraction spot within which a disk containing approximately half of the total PSF flux can be drawn, surrounded by other fainter diffractive features (rings, spikes). The examples given
in the previous sections (Subaru, GMT, TMT, E-ELT) fulfill this requirement, as these pupil shapes are sufficiently close to a disk.

While very sparse or elongated apertures are not compatible with the APCMLC and PIAACMC concepts as described so far, simple geometric transformations can extend the concepts to a wider range of pupil shapes. The Large Binocular Telescope (LBT) pupil is used in this section as an example of a sparse aperture with a strong aspect ratio: with its two centrally obscured 8.4 m diameter circular subapertures separated by 14.4 m (center to center), the LBT pupil has a strong aspect ratio (8.4 m × 22.8 m). The corresponding non-coronagraphic PSF consists of three bright interference fringes within an envelope defined by the single aperture PSF. No circular mask can be drawn within the central bright fringe that contains half of the total PSF flux.
4.2. Using Non-circular Focal Plane Masks

Stretching the LBT pupil along its narrow direction by a factor four would create a pupil sufficiently close to circular for the APCMLC and PIAACMC concepts as presented above. This stretch is equivalent to using an elliptical focal plane mask, which is four times longer in the direction running along the fringe in the PSF. Figure 11 shows an APCMLC design for the LBT pupil using an elliptical focal plane mask. The design shown does produce total extinction of an on-axis point source, and its inner working angle is close to $1 \lambda/D$ along the long axis of the pupil (here, $D$ is defined as the diameter of the circle inscribing the pupil, and is equal to 22.8 m), while it is $\approx 3.5 \lambda/D$ along the short axis (fundamentally limited by the telescope pupil diffraction along this axis, rather than by the coronagraph). A focal plane mask consisting of three
separate zones covering part of the three central fringes may also be adopted to further improve system throughput, although this has not been numerically tested.

The same elliptical focal plane mask scheme can also be applied for the PIAACMC concept on the LBT pupil. Interestingly, the pupil remapping which is part of the PIAACMC concept may be chosen to also bring the two aperture closer to approach the circular pupil case.

The elliptical focal plane mask approach may also be adopted to improve the APCMLC and PIAACMC performance for other non-circular pupil geometries: the focal plane mask shape should ideally be chosen to best match the non-coronagraphic PSF in order to maximize the conventional apodizer’s transmission. For example, the generalized prolate function for the Subaru Telescope PIAACMC design 1 is slightly elongated due to the off-axis spider vanes. This produces a slight mismatch.

**Figure 9.** Small (top left), medium (center left), and large (bottom left) IWA PIAACMC designs for the TMT pupil.
with the circular symmetric remapping function, which is absorbed by the conventional apodizer. Most of the conventional apodizer’s light loss (0.1% total) is due to this mismatch. For this example, using an slightly elliptical focal plane mask would only improve throughput by at most 0.1% since the pupil is very close to being circular. More importantly, the elliptical focal plane mask may allow high performance operation of the PIAACMC without an apodizer. Adopting a hexagonal shaped focal plane mask would offer similar benefits for hexagonal-shaped pupils such as the one shown in Figures 1 and 4.

4.3. Pupil Remapping

With extremely sparse pupil geometries, the re-design of the focal plane mask geometry may not be sufficient to adapt the pupil shape to the APMLC and PIAACMC requirements. In this case, geometrical transformation of the sparse entrance pupil into a more compact geometry can be achieved through pupil remapping. This scheme was explored to implement coronagraphy on sparse aperture (Riaud et al. 2002; Guyon & Roddier 2002), and commonly referred to as the hypertelescope concept.

Even if pupil remapping is not required, it may be useful to improve the APMLC and PIAACMC system throughput. With sparse apertures, the apodizer becomes less transmissive: for example, the LBT pupil APMLC design given in this section offers a 41% throughput, which is significantly less than the ≈60% throughput of comparable APMLC designs for the Subaru, GMT, TMT, and E-ELT pupils. Bringing the LBT subapertures closer together with periscope-like optics would allow for higher throughput in the coronagraph. In order to maintain a good image quality over a wide field of view, the original pupil geometry should be re-created prior to the final imaging focal plane: the compact pupils are an intermediate step required for efficient removal of the central source’s light.

5. CHROMATICITY

5.1. Sensitivity to Chromatic Effects

All coronagraph systems discussed in this paper were designed for monochromatic light operation. While design of polychromatic APMLC and PIAACMC systems is outside the scope of this paper (this will be discussed in a future publication), we describe qualitatively in this section how the monochromatic designs perform in broadband light. Several effects result in a loss of performance in broadband light.

1. The physical size of the focal plane mask is adjusted for a single wavelength. While the mask size is independent of wavelength, it should ideally scale linearly with wavelength.
2. The phase shift introduced by the mask may vary as a function of wavelength, while it should ideally be constant across the spectral band.
3. The transmission of the mask may vary as a function of wavelength, while it should ideally be constant across the band.

The amplitude of the last two effects is a function of how the focal plane mask is manufactured. In this section, we assume that no attempt to achromatize the mask phase shift has been made, and that it consists of a single material deposited on a substrate,
Figure 10. Transmission as a function of angular separation for the PIAACMC designs listed in Table 2.

(A color version of this figure is available in the online journal.)

with the material thickness adjusted for monochromatic light operation.

The sensitivity to chromatic effects is mostly driven by the focal plane transmission for both APCMLC and PIAACMC systems. Designs with large nearly opaque focal plane masks are more tolerant to chromatic effects, since the mask’s role becomes close to a simple light block, and the mask size relative to the on-axis source image increases. To illustrate broadband
Figure 12. Polychromatic performance across a 40% wide band for a monochromatic PIAACMC designed for $\lambda = 1650$ nm for the Subaru Telescope pupil. PSF radial profiles are shown at different wavelengths (top). Pupil plane amplitude (center) and focal plane intensity (bottom) images are shown in log contrast scale.

5.2. Example: PIAACMC Design for a Centrally Obscured Pupil

We consider the PIAACMC design 2 for the Subaru Telescope pupil in this section. It is assumed that the focal plane mask size is optimized for monochromatic light at $\lambda = 1.65 \mu$m, and that the mask is a disk of material (SiO$_2$). The mask transmission is fixed to the ideal monochromatic value, and is not assumed to change with wavelength. Several scenarios are considered: monochromatic, 2%, 4%, 10%, 20%, and 40% wide bands (all centered at 1.65 $\mu$m).

The mask thickness is a free parameter, and is adjusted for each case to yield the best broadband on-axis extinction, as measured by the total light in the final focal plane. Results are shown in Table 3. The last three columns of the table show spatially averaged contrast values between the coronagraph’s inner working angle ($0.88\lambda/D$) and $3.6\lambda/D$.

This particular design delivers better than $1e^{-4}$ averaged raw contrast in a 20% wide spectral band, and is therefore valuable for ground-based use behind adaptive optics. Pupil and focal plane images and contrast radial profiles are shown in Figure 12.
across a 40% wide band centered at 1.65 μm, illustrating that raw contrast is best at the center of the band.

6. CONCLUSIONS

The APCMLC and PIAACMC concepts, previously proposed for unobstructed circular apertures, are also applicable to telescopes with arbitrary pupil shapes. Their performance is largely unaffected by aperture shape, and full throughput low-IWA coronagraphy is therefore theoretically possible on any pupil shape with the PIAACMC. The demonstration that the coronagraph with the highest known theoretical performance can be applied on any pupil may remove the requirement that a future space-based exoplanet direct imaging mission should use an off-axis telescope. On ground-based telescopes, which adopt optical designs which are generally not driven by coronagraphy, high-efficiency coronagraphy at and within 1λ/D is possible, potentially allowing direct imaging of habitable planets around nearby M and K type main sequence stars for which the planet-to-star contrast is favorable but the angular separation is extremely challenging and requires ≈λ/D IWA even on a 30 m class telescope.

Manufacturing and implementation challenges have not been addressed in this paper. Manufacturing an achromatic focal plane mask for the APCMLC or PIAACMC is challenging, as its size should scale linearly with wavelength, and its complexity of transmission should be achromatic. Similar challenges have been previously addressed for other coronagraphs (Soummer et al. 2011), using carefully designed multilayer coatings of variable thickness and/or sub-λ/D sized features optimized to produce the required chromatic dependence within the geometric pupil (Soummer et al. 2003b; N’diaye et al. 2012).

The PIAA optics required for the PIAACMC are however not as challenging to manufacture as PIAA optics previously made for hard edged opaque focal plane masks, because the PIAACMC’s entrance apodization is milder. As any high-performance low-IWA coronagraph, the PIAACMC performance is highly sensitive to residual wavefront errors, which must be actively sensed and controlled. The PIAACMC’s high throughput is an asset for achieving the required wavefront quality, as wavefront sensing can be performed rapidly, using all incoming light.

Small IWA high-contrast coronagraphy requires exquisite control of tip-tilt and low order wavefront errors. The central star angular size may also impose limits on the achievable performance (Crepp et al. 2009). These issues have not been addressed or quantified in this paper, but may drive the optimal coronagraph design for a particular scientific application. We note that both sub-λ/D IWA coronographs designs described in this paper can also be designed for IWA equal to or larger than λ/D if required, offering lower performance but improved resilience against pointing errors and stellar angular size. While the APCMLC design with larger IWA has a lower throughput (due to the stronger apodization), for the PIAACMC, the large-IWA designs maintain full throughput and total on-axis extinction, offering a wide range of practical high performance coronagraphic options.

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Table 3

Polychromatic Performance of a Monochromatic PIAACMC Design

| Spectral Band | Mask Thickness | Leakage* | Average Contrast (0.88–3.6 λ/D)* |
|---------------|----------------|----------|----------------------------------|
|               |                |          | Best     | Worst    | Average  |
| Monochromatic | 1568.34 nm     | 0        | 9.56e-11 | 8.31e-7  | 3.10e-7  |
| 2% band       | 1568.25 nm     | 5.29e-5  | 2.15e-10 | 3.35e-6  | 1.16e-6  |
| 4% band       | 1568.03 nm     | 1.89e-4  | 2.19e-7  | 2.13e-5  | 7.07e-6  |
| 10% band      | 1566.54 nm     | 1.08e-3  | 7.68e-7  | 8.57e-5  | 2.80e-5  |
| 20% band      | 1561.24 nm     | 4.30e-3  | 6.09e-7  | 3.24e-4  | 1.05e-4  |
| 40% band      | 1543.88 nm     | 1.52e-2  |          |          |          |

Notes.  
* Fraction of the total on-axis source flux that leaks through the coronagraph system, averaged across the spectral band.

b The average contrast is shown for the best wavelength within the band (left), the worst wavelength within the band (center), and averaged across the band (right).
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