ABSTRACT: Graphene nanoribbons (GNRs) have attracted strong interest from researchers worldwide, as they constitute an emerging class of quantum-designed materials. The major challenges toward their exploitation in electronic applications include reliable contacting, complicated by their small size (<50 nm), and the preservation of their physical properties upon device integration. In this combined experimental and theoretical study, we report on the quantum dot behavior of atomically precise GNRs integrated in a device geometry. The devices consist of a film of aligned five-atom-wide GNRs (5-AGNRs) transferred onto graphene electrodes with a sub 5 nm nanogap. We demonstrate that these narrow-bandgap 5-AGNRs exhibit metal-like behavior at room temperature and single-electron transistor behavior for temperatures below 150 K. By performing spectroscopy of the molecular levels at 13 K, we obtain addition energies in the range of 200−300 meV. DFT calculations predict comparable addition energies and reveal the presence of two electronic states within the bandgap of infinite ribbons when the finite length of the 5-AGNR is accounted for. By demonstrating the preservation of the 5-AGNRs' molecular levels upon device integration, as demonstrated by transport spectroscopy, our study provides a critical step forward in the realization of more exotic GNR-based nanoelectronic devices.

KEYWORDS: graphene nanoribbons, device integration, molecular spectroscopy, Coulomb blockade, Raman spectroscopy
in spintronic devices. Finally, the presence of topologically nontrivial quantum phases resulting in zero-energy states offers prospects for electrically tunable single-photon emitters. Most of these exotic properties, however, have been studied in situ using scanning probe techniques. The integration of GNRs into electronic devices, and in particular the preservation of their electronic structure, remains challenging and a largely unexplored area. Only a few on-chip measurements have been reported so far and show that the device properties are critically determined by the quality of the GNRs, as well as the quality of the GNR/electrode interface. Recently, the first high-performance field-effect transistors based on wide-bandgap 9- and 13-atom GNRs have been realized using metallic contacts. Charge transport was there shown to be limited by several factors such as the electrode/GNR interface and the quality of the film after transfer. The growth process was also shown to play a role in a study performed by Martini et al., where UHV-grown 9-AGNRs incorporated between graphene electrodes are claimed to provide higher device performance than CVD-grown 9-AGNRs. This higher quality of UHV-grown GNRs is of particular interest for 5-AGNRs. A recent scanning tunneling microscopy (STM) study has indeed demonstrated that very short UHV-grown 5-AGNRs (3–5 units) show a quasi-metallic behavior and possess the smallest HOMO–LUMO gap reported for GNRs measured on surfaces so far (≈100 meV). This ultra-small bandgap has not yet been verified in devices, a challenge requiring the preservation of the GNR integrity upon device integration and a weak electrode/GNR coupling for the reliable extraction of addition energies.

In this work, we demonstrate the formation of quantum dots in GNR-based field-effect transistors. The properties of the quantum dots are determined by the band structure of the GNRs. Our devices, based on 5-AGNR films that were transferred onto sub 5 nm graphene gaps, are characterized electrically, at both room and cryogenic temperatures. At room temperature, the devices present a metal-like behavior with a linear low-bias conductance, whereas at low temperature, single-electron tunneling and Coulomb blockade are observed. Furthermore, this quantum dot behavior is preserved up to temperatures as high as 150 K. The values of the HOMO–LUMO gap extracted from transport measurements are comparable with density functional theory calculations and confirm that the molecular levels of the 5-AGNRs are preserved during device integration.
temperature (see Figure 1a). STM characterization of the 5-AGNRs were verified in situ by STM at room temperature (see Methods section). The high quality and degree of alignment after transfer and that they are aligned perpendicular to the nanogaps.

Electrical Characterization. Figure 3a presents I–V curves recorded at zero gate voltage on four typical 5-AGNR devices (labeled A–D) characterized at room temperature. At a low bias voltage range, we systematically observe a nonzero conductance value with mostly linear behavior in the voltage range ±0.05 V, while the current is below our detection limit before the GNRs transfer (see Figure S1 of the Supporting Information). This metal-like behavior was observed for all 31 devices investigated at room temperature. Beyond this regime, we observe nonlinearities in the I–V curves for several devices.

The bottom-right inset of Figure 3a presents a histogram of the low-bias resistance for all devices (fit range ±50 mV). While the linearity of the I–V curves is observed for all the devices, a large range of resistances is measured (from 0.01 to 10 GΩ) with an average value around 100 MΩ. We attribute this device-to-device variation to possible difficulties in controlling the GNR transfer process from the growth substrate to the device substrate. We are currently dedicating substantial efforts to better characterize the GNR properties after transfer.22,23 We nevertheless can observe that the molecular level of the 5-AGNRs is preserved once integrated in a device structure. In particular, the formation of quantum dots at low temperature allowed us to extract addition energies reflecting the electronic structure of the 5-AGNRs, as discussed below.

An example of the gate dependence of the conductance is given in Figure 3b for a 5-AGNR device (device A), alongside a measurement on a 9-AGNR device (details of the growth and transfer can be found in previous works13,22,25) shown as comparison. While a strong gate dependence is observed for the 9-AGNR with an on/off ratio of about 70, no appreciable dependence is present for the 5-AGNR device. We note that device A has a pronounced gate dependence at 13 K (see Figure 4e). The absence of gate dependence is therefore attributed to the low bandgap of the 5-AGNR, in combination with the thermal smearing of the transmission function at room temperature. An overview of the devices is presented in the inset and contrasted with the measured gate dependence of the 9-AGNR, as well as values on 9-AGNR-based devices.
reported in the literature (with values reaching as high as 105),13,14 highlighting the difference to our metal-like GNRs.

To perform a spectroscopic characterization of the energy levels in 5-AGNR junctions, the devices were cooled and electrically characterized at 13 K. Figure 4a and b show color-coded current versus bias and gate voltage maps (stability diagrams), recorded on devices E and F. The general aspect of the stability diagrams reveals the formation of quantum dots in the GNR devices. In the black regions, no current flows through the device, as neither the temperature nor the bias voltage provides the energy required for adding an extra electron onto the nanoribbon. The edges of this diamond-shaped blocking region (Coulomb blockade regime) correspond to the onset of resonant transport. For bias voltages exceeding the resonance condition, single-electron tunneling occurs (SET regime). We extract the energy required to add an electron to the quantum dot as illustrated in Figure 4b for two diamonds (\(E_{\text{small}}\) and \(E_{\text{large}}\) correspond to half of the height of the Coulomb diamonds). Typically, weakly coupled quantum dots give rise to stability diagrams exhibiting alternating small and larger Coulomb diamonds due to the interplay between the quantum mechanical level spacing and charging energy.26

We observe such size variations experimentally, and, following this logic, we tentatively divided the diamonds in these two categories. We observe characteristic energies in the range 50–300 meV (see table in Figure 4d).

Figure 4c presents the differential conductance map of device E, zoomed in to the SET region. Here, several resonances are visible (gray dashed lines) which form the edges of the Coulomb diamonds. The edge widths depend on the thermal energy (\(k_B T\)) and the coupling \(\Gamma\) of the ribbon to the graphene leads. Some of the resonances are asymmetric upon bias polarity inversion, which may be attributed to an asymmetric coupling to the source and drain electrodes as a result of different overlap between the ribbon and the two leads. Also, an additional resonance (white dashed line) running parallel to the diamond edge in the SET regime is observed. This resonance corresponds to an electronic or vibrational excited state of the ribbons that creates an additional transport channel. The energy of this excitation can be extracted from the graph by measuring the intersection between the excitation lines and the edge of the Coulomb diamonds.

![Figure 4. Electrical characterization of two devices at 13 K. (a, b) Color-coded current maps as a function of gate and bias voltage for devices E and F, respectively. (c) Differential conductance (\(dI/dV\), stability diagrams) recorded on device E. The black area corresponds to a blockade regime, while the areas with high currents are in the single-electron tunneling regime. (d) Overview table containing devices A and E–H with the corresponding addition and excitation energies. (e) Stability diagrams recorded on device A at 13, 50, 75, and 150 K.](https://dx.doi.org/10.1021/acsnano.0c00604)
diamond. We do not consider non-closing and irregular Coulomb diamonds that may be related to the signature of several ribbons bridging the gap. The extracted excitation energies are listed in Figure 4d for all devices, all lying in the range from 60 to 100 meV. The presence of such excitations is commonly interpreted as a signature of a single quantum dot dominating transport in a particular gate voltage range. Note that in device F (Figure 4b), we can observe partially overlapping diamonds together with the absence of a crossing point at \( V_g = -35 \) V, suggesting that two or more GNRs contribute to the transport. In semiconducting quantum dots formed in systems such as 2DEGs, top-down patterned graphene or carbon nanotubes, the addition energies are typically \(<10\) meV, and several Coulomb diamonds can be observed.\(^{28-30}\) In our case, the addition energies are substantially larger and reach up to several hundreds of millielectronvolts, making the diamond structure apparent up to temperatures as high as 150 K. Figure 4e shows four stability diagrams between 10 and 150 K on device A in the gate voltage range of \( -70 \) to \( -50 \) V. The plots show a crossing point around \( -63 \) V, where the diamond edges meet. Upon increasing the temperature, the resonances running along the diamond edges broaden, until about 150 K, where thermal broadening is sufficient to blur the diamond shapes. The large addition energies in our system limit the number of diamonds visible in the experimentally accessible gate range. For some devices, only a lower boundary on the addition energy can be set (for instance, about 300 meV for the right diamond in device E).

**Theoretical Modeling.** To gain a deeper understanding of the charge-transport properties of our devices, we simulate the behavior of a 5-AGNR between two graphene electrodes, as depicted in Figure 5a. For such a device, we obtain the mean-field Hamiltonian from density functional theory (DFT) and use the Gollum\(^{31,32}\) quantum transport code to compute the electron transmission through the 5-AGNR from the left graphene electrode to the right one (more details are provided in the Methods section). Note that the size of the graphene nanogap is a few nanometers in our experiments; we therefore expect transport to be phase coherent.

Figure 5a presents the calculated zero-bias conductance curve, alongside the wave functions corresponding to the resonances closest to the Fermi energy. The plot shows that these two resonances correspond to orbitals localized at the two extremities of the 5-AGNR. These end states are located closer to the Fermi energy than the resonances resulting from the fully delocalized 5-AGNR bulk states, located around \(-0.25\) and \(+0.35\) eV. Those resonances can be identified with the highest occupied molecular orbital level (HOMO) and lowest unoccupied molecular orbital level (LUMO) of the finite ribbon. Such states have recently been observed for GNRs using scanning tunneling microscopy.\(^{7}\) As a comparison, Figure 5b presents the transmission of an infinite 5-AGNR bridging the two graphene electrodes. Here, the 5-AGNR is considered to be infinitely long and no end states are present. The figure shows that the resulting bandgap is about 0.4 eV, which is larger than the HOMO—LUMO gap of the finite-length 5-AGNR. The reduction of the finite-ribbon gap is a result of the emergence of the end states (\( -30 \) and \(+150\) meV), yielding transport channels within the bandgap of the infinite ribbon. As a result, although counterintuitive, the transport gap of a finite-sized 5-AGNR is smaller than that of an infinitely long ribbon. This decrease in the HOMO—LUMO gap is accompanied by an increase of the electrical conductance.

Moreover, as the size of the nanogap cannot be accurately determined, we performed DFT calculations to account for the variability of the 5-AGNR length between the graphene electrodes. We calculated the length-dependent conductance by increasing the number of fused naphthalene units within the nanogap of the device in Figures 5b and S4b. We obtained a low decay factor of ca. 0.6 nm\(^{-1}\) where the conductance only decreases by 2 orders of magnitude upon an increase of the length of the 5-AGNR from 3.5 nm to 14 nm (inset of Figure 5a). The transmission function for such devices is shown in Figure S4 of the Supporting Information.

The room-temperature measurements of the 5-AGNR devices show a semimetallic behavior with linear \( I-V_s \)s, albeit with high resistances. These high resistances may be due to a weak coupling between the GNRs and the graphene electrodes. This is in agreement with our low-temperature measurements, which exhibit the signatures of weakly coupled quantum dots. This weak coupling is attributed to the presence of tunneling barriers at the graphene/GNR interfaces, which may be due to the presence of defects in the graphene and/or polymer residues from the transfer process, resulting in a reduced orbital overlap.

The observed sample-to-sample variations may also be related to differences in the length of the ribbons and the number of ribbons bridging the gap. In this study, the average length of the GNRs varies between 2 and 10 nm. DFT calculations predict that the HOMO—LUMO gap of a 5-AGNR depends on the ribbon length, in particular for short ribbons, a trend that has been confirmed experimentally using STM measurements.\(^{16}\) We note that the addition energies extracted from the different junctions are comparable to the 5-AGNR HOMO—LUMO gap value obtained from DFT calculations, which we, however, would expect to under-
estimates the bandgap. A quantitative comparison between experiment and theory therefore remains elusive, requiring GW calculations including advanced image-charge correction models in order to access the energy levels of the substrate-supported GNRs. Nevertheless, our calculations qualitatively reproduce the decrease of the bandgap with increasing ribbon length.

A noteworthy result from the DFT calculations is that the localized states formed at the end of the short ribbons are predicted to be spin-polarized (see Edge State section in Methods). A more elaborate description of this effect is presented in Figures S3 and S4 of the Supporting Information. To confirm these spin-polarized states, measurements using spin-polarized currents under magnetic field are required, which are beyond the scope of this paper. This prediction nonetheless offers interesting prospects for 5-AGNR-based spintronic devices.

Finally, we note that the interaction of the 5-AGNRs with the graphene electrodes may result in additional features in the SET regime. In particular, quantum interference effects within the graphene leads have been shown to give rise to an energy-dependent transmission and fluctuations in the sequential tunnel rates. However, such excitations originating from the leads are not parallel to the end of the coulomb diamonds, in contrast to excitations coming from the channel itself. This leads us to conclude that this scenario can be excluded in our measurements.

CONCLUSION
To conclude, we have demonstrated the successful integration of short 5-AGNRs in a device geometry using sub 5 nm graphene junctions. At room temperature, we observe linear, metal-like I–V curves. This indicates that the bandgap of the 5-AGNR is very small, which qualitatively agrees with DFT calculations and STM measurements. At 13 K, we observe single-electron transistor behavior, with addition energies reaching a few hundred meV. Our first-principles transport calculations attribute this small energy gap to the finite size of the ribbons, and the resulting presence of end states at the two termini of the ribbon. Finally, the observation of additional resonances in the SET regime with energies around 70 meV supports the fact that transport through the junction in a particular gate voltage range is dominated by a single quantum dot. This validates the use of graphene electrodes to contact ultrasmall GNRs as well as the prospects of GNR-based electronic devices.

METHODS
5-AGNR Synthesis. In a first step, the precursor molecule, which consists of an isomeric mixture of 3,9-dibromoperylene and 3,10-dibromoperylene (DBP), was sublimated at 160 °C onto a Au(788) surface kept at room temperature. A slow annealing process (0.2 °C/s) up to 225 °C allowed the formation of 5-AGNRs via polymerization and cyclodehydrogenation. Device Fabrication. The starting point of the devices is a doped (p++) silicon chip with 285 nm of thermally grown SiO2. The CVD-grown graphene is transferred onto the silicon chip using a poly(methyl methacrylate) (PMMA) wet transfer technique. The nanogaps are then made in two steps: first e-beam lithography is used to pattern the graphene films into 400 nm wide stripes (combined with reactive ion etching) and metallic contacts (5 nm Ti/40 nm Au deposited using e-beam evaporation). In a second step, nanogaps are formed in the graphene stripes using the EB technique.

Device Statistics. In this work, we present the room-temperature transport measurement of 30 devices. Of these devices, we have measured 17 at low temperature, of which 12 show closing Coulomb diamonds. Of these, five devices with closing diamonds were selected to perform spectroscopy of the molecular levels. The other devices may have more ribbons contributing to the transport.

Transfer of the GNRs. This transfer method preserves both the structural quality and uniaxial alignment of the ribbons, as demonstrated by the Raman results in Figure 2. In a first step toward the electrochemical delamination of the 5-AGNRs from the Au(788) surface, PMMA was spin-coated on the 5-AGNR-covered Au surface to be used as a support layer during the ribbon transfer. An aqueous solution of NaOH (1 M) was employed as electrolyte in the electrochemical process, and a DC voltage was applied between the PMMA/5-AGNR/Au(788) cathode and a glassy carbon electrode used as anode. During this process, water undergoes reduction, resulting in hydrogen bubbles emerging at the 5-AGNR/Au interface. The H2 bubbles provide enough force to detach the 5-AGNR film from the Au surface, starting from the edges and followed by the permeation of the electrolyte solution into the interface as the edges delaminate. The 5-AGNR/PMMA film is then transferred onto the graphene-nanogap devices using a wet transfer technique. After transfer, the sample undergoes a two-step annealing in order to increase the contact between the GNRs and the graphene-nanogap device. First, the sample is heated to ~80 °C for 10 min, followed by a second annealing at ~110 °C for 20 min. Finally, PMMA is dissolved in hot acetone for 15 min. The substrate transfer of aligned 9-AGNRs followed the same electrochemical delamination procedure performed for the 5-AGNR sample, as further detailed in ref 22.

9-AGNR Synthesis. The growth of 9-AGNRs followed a similar bottom-up approach, as further detailed in ref 25.

Raman Spectroscopy. Raman spectra were acquired with a WITec confocal Raman microscope (WITec Alpha 300R) equipped with a home-built vacuum chamber. Spectra were acquired with 20 mW laser power on the metal contact pad next to the junction B to exclude background from the silicon substrate. Time-series measurements show no radiation damage over the acquisition time. Figure 2a is a spatial average from 266 individual points after subtraction of a polynomial background.

Density Functional Theory Calculations. The optimized geometry and ground state Hamiltonian and overlap matrix elements of each structure studied in this paper were self-consistently obtained using the SIESTA implementation of DFT. SIESTA employs norm-conserving pseudopotentials to account for the core electrons and linear combinations of atomic orbitals to construct the valence states. The generalized gradient approximation of the exchange and correlation functional is used with the Perdew–Burke–Ernzerhof parametrization and a double-ζ polarized basis set. The real-space grid is defined with an equivalent energy cutoff of 250 Ry. The geometry optimization for each structure is performed to the forces smaller than 20 meV/A. For the band structure calculation, the unit cell of 5-AGNR (inset of Figure 5a) was sampled by a 1 × 1 × 500 Monkhorst–Pack k-point grid.

Transport Calculations. The mean-field Hamiltonian obtained from the converged SIESTA DFT calculation was combined with the quantum transport code Gollum11,12 to calculate the phase-coherent, elastic scattering properties of the each system consisting of left (source) and right (drain) graphene leads connected to the scattering region formed from a 5-AGNR bridge (top panel of Figure 5a). The transmission coefficient T(E) for electrons of energy E (passing from the source to the drain) is calculated via the relation $T(E) = \frac{\text{trace}(\Gamma_S(E) G^R(E) \Gamma_L G^L(E))}{\text{trace}(\Gamma_S G^R \Gamma_L G^L)}$. In this expression, $\Gamma_{L,R} = (\sum_{\alpha=\uparrow,\downarrow} E - E_{\alpha})^2/\hbar$ describes the level broadening due to the coupling between left (L) and right (R) electrodes and the central scattering region, $\sum_{\alpha} G^R(E)$ is the retarded self-energies associated with this coupling, and $G^R(E) = \Gamma_S G^R \Gamma_L G^L$ is the retarded Green’s function, where $H$ is the Hamiltonian and $S$ is the overlap matrix. From the resulting transmission coefficient, the conductance is calculated by the Landauer formula $G = G_0 \int dE \frac{\partial T(E)}{\partial E} (\delta(E,T)\delta(E,F))$ where $G_0 = 2e^2/h$ is the conductance quantum, $f(E,T) = 1 + \exp[(E - E_F)/k_B T]^{-1}$ is
the Fermi–Dirac distribution function, $T$ is the temperature, and $k_B = 8.6 \times 10^{-5}$ eV/K is Boltzmann’s constant.

**Edge State.** DFT calculations for finite-length ribbons (different numbers of fused naphthalene units) show that while short ribbons up to 7 naphthalene units are nonmagnetic, for longer ribbons the ground state spin configuration is antiferromagnetic (see Figure S3a and spin density calculations of Figure S3b,c of the Supporting Information). We also found that in ribbons with more than 18 naphthalene units, the energy differences between antiferromagnetic and ferromagnetic spin arrangements is less than room temperature (see Figure S4d of the Supporting Information).

**ASSOCIATED CONTENT**

* Supporting Information
The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsnano.0c00604.

Characterization of the nanogaps before transfer of the 5-AGNR film, stability diagrams of all the devices presented in this work, and additional DFT calculations (PDF).

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O.B. and M.E. fabricated the samples. M.E. performed the electrical characterization. J.O. performed the Raman characterization. T.P., A.N., and K.M. synthesized the precursor molecule. G.B.B. and Q.S. synthesized the 5-AGNR. M.E. and M.P. performed the data analysis. S.S. and H.S. performed the DFT calculations. P.R., H.S., C.L., R.F., and M.C. supervised the study. M.E., M.P., H.S., G.B.B., and M.C. wrote the manuscript. All authors participated in the discussion of the results and commented on the manuscript.

**Notes**

The authors declare no competing financial interest.

**ACKNOWLEDGMENTS**

This work was supported by the EC FP7-ITN MOLESCO grant (no. 606728) and the FET open project QuiET (no. 767187). This work was supported by EPSRC grants EP/P027156/1, EP/N03337X/1, and EP/N017188/1. M.P. acknowledges funding by the EMPAPOSTDOCS-II program, which is financed by the European Unions Horizon 2020 research and innovation program under the Marie Skłodowska-Curie grant agreement number 754364. H.S. acknowledges the UKRI for Future Leaders Fellowship no. MR/S015329/2 and Leverhulme Trust for Early Career Fellowship no. ECF-2017-186. S.S. acknowledges the Leverhulme Trust for Leverhulme Early Career Fellowship no. ECF-2018-375. O.B. acknowledges technical support from the Binning and Rohrer Nanotechnology Center (BRNC), Ruschlikon, in particular from Antonis Olziersky for the e-beam lithography. G.B.B., P.R., and R.F. acknowledge funding by the Swiss National Science Foundation under grant no. 20PC21-155644, the European Unions Horizon 2020 research and innovation program under grant agreement no. 785219 (Graphene Flagship Core 2), and the Office of Naval Research BRC Program under the grant N00014-12-1-1009.

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