Contralateral disconnection of the rat prelimbic cortex and dorsomedial striatum impairs cue-guided behavioral switching

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Switches in reward outcomes or reward-predictive cues are two fundamental ways in which information is used to flexibly shift response patterns. The rat prelimbic cortex and dorsomedial striatum support behavioral flexibility based on a change in outcomes. The present experiments investigated whether these two brain regions are necessary for conditional discrimination performance in which a switch in reward-predictive cues occurs every three to six trials. The GABA agonists baclofen and muscimol infused into the prelimbic cortex significantly impaired performance leading rats to adopt an inappropriate turn strategy. The NMDA receptor antagonist D-AP5 infused into the dorsomedial striatum or prelimbic cortex and dorsomedial striatum contralateral disconnection impaired performance due to a rat failing to switch a response choice for an entire trial block in about two out of 13 test blocks. In an additional study, contralateral disconnection did not affect nonswitch discrimination performance. The results suggest that the prelimbic cortex and dorsomedial striatum are necessary to support cue-guided behavioral switching. The prelimbic cortex may be critical for generating alternative response patterns while the dorsomedial striatum supports the selection of an appropriate response when cue information must be used to flexibly switch response patterns.

[Supplemental material is available for this article.]

Changes in environmental contingencies often require a rapid adjustment of actions to achieve goals. Changes in outcome information, such as an empty cache site or cue information, e.g., presence of a predator in a foraging area, represent two fundamental ways in which information is used to guide a switch in actions. In particular, an action that no longer leads to a positive outcome can lead to a subsequent switch in actions. In other conditions, certain cue information may be used proactively to switch actions to obtain a positive reinforcement (Hikosaka and Isoda 2010; Baker and Ragozzino 2014). Numerous studies have demonstrated that various rodent prefrontal cortex and/or striatal subregions support a switch in actions when a particular action is no longer followed by reinforcement in reversal learning or set-shifting tests (Birrell and Brown 2000; Nicolle and Baxter 2003; Tzavos et al. 2004; Kim and Ragozzino 2005; Ragozzino and Rozman 2007; Floresco et al. 2008; McDonald et al. 2008; Kimchi and Laubach 2009; Castane et al. 2010; Pastuzyn et al. 2012). In these reversal learning and set-shifting paradigms, rodents are commonly required to learn an initial discrimination and then either have to reverse choice patterns or learn to use different stimulus information to obtain a reinforcement. Manipulations of different brain areas occur prior to the reversal learning or set-shifting test. The rat prelimbic cortex is one prefrontal cortex area important for set-shifting when there is a change in outcome contingencies, e.g., selecting a choice based on odor information to shifting the choice based on visuospatial information (Birrell and Brown 2000; Ragozzino et al. 2003; Stefani et al. 2003; Rich and Shapiro 2007, 2009; Oualian and Gisquet-Verrier 2010; Enomoto et al. 2011; Bissonnette and Powell 2012). The set-shifting deficits following prelimbic cortex inactivation result from initial perseveration of the previous response pattern, but do not affect maintaining a currently correct response pattern after an initial switch (Ragozzino et al. 1999a,b; Stefani et al. 2003; Block et al. 2007; Floresco et al. 2008).

Recent studies investigating the prelimbic cortex indicate that this area also supports behavioral switching when cues can be used to shift response patterns for an upcoming choice (Leenaars et al. 2012; Baker and Ragozzino 2014). In these behavioral paradigms, rats commonly learn the different discrimination contingencies prior to manipulations of brain areas. In a cue-guided behavioral switch the prelimbic cortex may not only reduce initial perseverative responses, as observed in set-shifting tests, but also support multiple processes to enable a fluid behavioral switch. For example, in learned conditional discrimination tests in which a visual cue signals that a behavioral switch should occur every few trials, e.g., three to six trials, GABA agonists into the prelimbic cortex impaired performance by increasing errors during the initial switch trial, as well as increasing errors immediately following a switch error (perseverative error) and errors after making an initially correct behavioral switch in a trial block (maintenance error) (Leenaars et al. 2012; Baker and Ragozzino 2014). Thus, the prelimbic cortex not only enables behavioral switching when a change in reward outcomes signals a behavioral

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switch, but also when reward-predictive cues can be used to proactively switch response patterns. Moreover, analysis of the errors committed during trial blocks suggest that the prelimbic cortex may be critical for sustained monitoring of task cues when they signal repeated behavioral switches.

Similar to prelimbic cortex inactivation, NMDA receptor blockade in the subthalamic nucleus impairs cue-guided behavioral switching, as well as a contralateral disconnection of the prelimbic cortex and subthalamic nucleus (Baker and Ragozzino 2014). Contralateral disconnection of the prelimbic cortex and subthalamic nucleus impaired cue-guided behavioral switching by selectively increasing switch and perseverative errors. This differs somewhat from bilateral prelimbic cortex inactivation alone, which increased switch and perseverative errors, but additionally increased maintenance errors. This latter finding raises the possibility that the prelimbic cortex interacts with other brain areas to maintain a behavioral switch after being initially executed. The dorsomedial striatum may be one brain region that, combined with the prelimbic cortex, enables maintenance of a behavioral switch. The dorsomedial striatum receives excitatory input from the prelimbic cortex (Sesack et al. 1989; Conde et al. 1995; Gabbott et al. 2005; Mailly et al. 2013). In tests where a change in reward outcome signals a behavioral switch is required, the dorsomedial striatum supports a switch in response patterns by facilitating the maintenance of the new response pattern (Pisa and Cyr 1990; Ragozzino et al. 2002, 2003; Braun and Hauber 2011). For example, in an egocentric response reversal learning test, NMDA receptor blockade in the dorsomedial striatum impairs reversal learning by selectively increasing maintenance errors (Palencia and Ragozzino 2004). Although there is some evidence that the dorsal striatum also supports cue-guided behavioral switching (Adams et al. 2001; Featherstone and McDonald 2005; Hallock et al. 2013), it is unknown whether the dorsomedial striatum combined with the prelimbic cortex supports cue-guided behavioral switching by enabling maintenance of a behavioral switch. Past studies have primarily focused on studying how individual brain regions contribute to behavioral switching and not how brain areas interact to support behavioral switching. Investigating how different brain areas interact to support cue-guided behavioral switching can provide a richer understanding of the neural systems underlying behavioral flexibility.

To determine whether the prelimbic cortex and dorsomedial striatum together are necessary to enable cue-guided behavioral switching, the present experiments used a contralateral disconnection approach to test visual cue-place conditional discrimination performance, as in past studies (Chudasama et al. 2003; Baker and Ragozzino 2014). To investigate the role of the prelimbic cortex and dorsomedial striatum in cue-guided behavioral switching, rats received either the GABA agonists baclofen and muscimol infused into the prelimbic cortex and/or the NMDA receptor antagonist D-AP5 infused into the dorsomedial striatum. Experiment 1 determined whether bilateral baclofen/muscimol infusions into the prelimbic cortex or bilateral D-AP5 infusions impaired conditional discrimination performance. Experiment 2 determined whether contralateral and/or ipsilateral disconnection of the prelimbic cortex and dorsomedial striatum affected conditional discrimination performance. Experiment 3 determined whether pharmacological manipulations of the prelimbic cortex and dorsomedial striatum had a more general effect on discrimination performance that did not require a switch in response patterns. In the visual cue–place discrimination, rats learned to use a visual cue in the start arm of a T-maze to select one of two place locations to receive a food reward. The visual cue (black or white) was switched every three to six trials indicating a rat should enter the other place location. The experiments further determined whether these pharmacological manipulations affected switch trial performance, initial perseveration of a previously relevant response pattern, and/or maintenance of the currently relevant response pattern once selected.

**Results**

**Histology**

Rats included in the behavioral analysis from the visual cue–place conditional discrimination test were restricted to those who had cannulae placements in the prelimbic cortex and dorsomedial striatum. Figure 1 shows placements of cannula tip locations for the prelimbic cortex (Fig. 1A) and dorsomedial striatum (Fig. 1B) across the three experiments. Prelimbic cortex cannula placements were primarily located 2.7–3.8 mm anterior to bregma. Dorsomedial striatum cannulae were principally located in the portion of the nucleus located 0.7–1.7 mm anterior to bregma.

Thirteen rats were excluded from the analyses because of misplacements. In Experiments 1–3, four rats were excluded due to placements outside the prelimbic cortex. All misplacements were anterior to the prelimbic cortex located in the medial orbital subregion. Three of these rats also had misplaced striatal cannulae. Two rats had bilateral cannulae ventral to the dorsomedial striatum in the nucleus accumbens and one had a unilateral cannula in the nucleus accumbens. An additional rat was excluded from analysis due to damage in the prefrontal cortex. There were an additional eight rats excluded from analyses in Experiments 1–3 because of cannula placements outside the dorsomedial striatum. One rat had a unilateral placement in the nucleus accumbens core with another rat having a bilateral

[Figure 1. Cannula tip placements in the prelimbic cortex and the dorsomedial striatum in Experiments 1–3. (A) Representation of cannula placements in the prelimbic cortex. (B) Representation of cannula placements targeting the dorsomedial striatum. (Adapted from Paxinos and Watson [1997], with permission from the Anatomical Society of Great Britain and Ireland © 1997.)]
cannulae placement in the nucleus accumbens core. Two rats had bilateral placements ventral to the dorsomedial striatum in the nucleus accumbens shell. One rat had a bilateral placement in the dorsolateral striatum. Three rats had bilateral placements dorsal to the dorsomedial striatum located in the corpus callosum.

**Switch cost in a visual cue–place conditional discrimination**

To determine whether there was a greater likelihood of an error on a switch trial vs. a nonswitch trial, the switch errors and nonswitch errors for vehicle treatment were collapsed across Experiments 1 and 2. A paired t-test revealed that rats were more likely to commit an error on switch trials (26.00% ± 1.71%) than on nonswitch trials (13.34% ± 0.94%), *t*(31) = 6.48, *P* < 0.01.

**Experiment 1: bilateral prelimbic cortex inactivation and dorsomedial striatum NMDA receptor blockade impair cue-guided behavioral switching**

Rats (*n* = 8) required ~30 min to complete a session following the various treatments. The difference in session completion time among the various treatments was not significant, *F*(3, 21) = 0.77, *P* > 0.05.

Vehicle infusions into the prelimbic cortex or dorsomedial striatum led to a performance accuracy of ~80%. In contrast, prelimbic cortex inactivation and NMDA receptor blockade in the dorsomedial striatum reduced conditional discrimination performance to ~65% (see Fig. 2A). A repeated measures ANOVA revealed that there was a significant treatment effect on performance accuracy, *F*(3, 21) = 49.38, *P* < 0.01. Post hoc analyses revealed that prelimbic cortex inactivation significantly impaired conditional discrimination performance compared to those of vehicle injections into the prelimbic cortex or dorsomedial striatum (*P*’s < 0.01). In a comparable manner, NMDA receptor blockade in the dorsomedial striatum significantly reduced conditional discrimination performance compared to those of vehicle injections into either the prelimbic cortex or dorsomedial striatum (*P*’s < 0.01). There was not a significant difference in performance between prelimbic cortex inactivation and NMDA receptor blockade in the dorsomedial striatum (*P* > 0.05).

The results on the different error measures in Experiment 1 are illustrated in Figure 3. Saline treatment into either brain area led to approximately three switch errors in a session. Prelimbic cortex inactivation increased switch errors to about five per session while D-APS infusions into the dorsomedial striatum led to an average four switch errors per session. There was a significant treatment effect for switch errors, *F*(3, 21) = 11.37, *P* < 0.01. Post hoc analyses revealed that prelimbic cortex inactivation led to significantly more switch errors than the D-APS treatment (*P* < 0.05) or either saline treatment (*P*’s < 0.01). Additionally, dorsomedial striatum NMDA receptor blockade led to an increase in switch errors compared to those of vehicle treatments (*P*’s < 0.05). Similar to switch errors, there was a significant treatment effect for perseverative errors, *F*(3, 21) = 12.63, *P* < 0.01. Specifically, NMDA receptor blockade of the dorsomedial striatum led to an increase in perseveration compared to those of all other treatments (*P*’s < 0.01). In contrast, no effect of prelimbic cortex inactivation was observed on perseverative errors (*P*’s > 0.05). Finally, there was a significant treatment effect for the number of maintenance errors committed, *F*(3, 21) = 10.93, *P* < 0.01. Both prelimbic cortex inactivation and dorsomedial striatum NMDA receptor blockade led to an increase in the number of maintenance errors committed compared to those of vehicle treatments (*P* < 0.01 and *P* < 0.05, respectively), but did not significantly differ from one another (*P* > 0.05).

One limitation of the total error measures is that they do not provide information about the consistency of errors across a test session. For example, a certain error may preferentially occur early in a test session, but not late in a test session or vice versa. An additional analysis on the various error types was conducted to determine whether errors occurred preferentially in the first or second half of a test session. Comparing switch, perseverative, and maintenance errors between session halves revealed that for prelimbic cortex inactivation there was not a significant difference in the number of switch (*t*(7) = 1.32, *P* > 0.05), perseverative (*t*(7) = 0.00, *P* > 0.05), or maintenance errors, *t*(7) = 1.23, *P* > 0.05. Similarly, for D-APS infusions into the dorsomedial striatum there was not a significant difference in the number of switch (*t*(7) = 1.00, *P* > 0.05), perseverative (*t*(7) = 1.07, *P* > 0.05), or maintenance errors (*t*(7) = 0.46, *P* > 0.05) between session halves.

Because the task has several different blocks that vary in length, the total number of errors also does not provide information about the degree to which certain errors occurred. For example, there may be a significant increase in the total number of maintenance errors following a treatment that does not result because such an error was committed across more trial blocks, but because more maintenance errors were committed in a single or small number of trial blocks. To understand the degree to which certain errors occurred, an analysis was carried out to determine the percentage of blocks in which a particular error was committed based on the total number of blocks in which such an error was possible. Analysis of the percentage of error blocks revealed that this measure mimicked the total error pattern for prelimbic cortex inactivation, but deviated to some degree from the total error results for D-APS infusions into the dorsomedial striatum. Specifically, there was a treatment effect for percent of switch error blocks, *F*(3, 21) = 11.51, *P* < 0.01. Post hoc tests indicated that prelimbic cortex inactivation significantly increased the percentage of switch error blocks compared to that of saline treatment in the prelimbic cortex or dorsomedial striatum (*P*’s < 0.01) and
dorsomedial striatum NMDA receptor blockade (P < 0.05). However, there was not a significant effect of dorsomedial striatum NMDA receptor blockade on the percentage of switch error blocks compared to that of saline treatment (P’s > 0.05), reflecting a weaker effect that D-AP5 infusions had on switch errors. There was also a significant treatment effect on the percentage of perseverative error blocks, F(3,21) = 5.25, P < 0.01. Post hoc analyses revealed that D-AP5 treatment led to a significantly higher percentage of perseverative error blocks compared with that of saline in the prelimbic cortex (P < 0.05) or the dorsomedial striatum (P < 0.01). There were no other significant differences between treatments for the percent of perseverative error blocks. Additionally, there was a significant treatment effect on the percentage of maintenance error blocks, F(3,21) = 5.91, P < 0.01. Specifically, baclofen/muscimol injection in the prelimbic cortex resulted in a significantly higher percentage of maintenance error blocks than saline treatment in the prelimbic cortex or dorsomedial striatum (P’s < 0.05) and D-AP5 treatment in the dorsomedial striatum (P < 0.05). However, in contrast to results from the total error analysis, there was no significant effect of D-AP5 treatment compared to that of saline for the percent of maintenance error blocks (P > 0.05).

Observation of rats under D-AP5 treatment suggested that these rats would occasionally commit errors for an entire block of trials. To determine whether a treatment affected the frequency in which a complete error block occurred, an analysis determined the number of complete error blocks (see Fig. 4A). The results revealed a significant treatment effect on the number of complete error blocks in a session, F(3,21) = 9.45, P < 0.01. Specifically, NMDA receptor blockade in the dorsomedial striatum led to significantly more complete error blocks compared to those of all other treatments (P’s < 0.01). There was not a significant difference in the number of complete error blocks between any other treatments (P’s > 0.05).

In a recent study (Baker and Ragozzino 2014) we observed that prelimbic cortex inactivation led to a significant turn bias, but not a place bias, in the conditional discrimination test. To determine whether any treatments affected turn or place bias, an analysis was conducted to determine whether a treatment biased a rat to preferentially use an egocentric response strategy (e.g., always turn right) or an allocentric place strategy that was largely
independent of the relevant cue–place response (see Supplemental Fig. 1A,B). To determine this, turn bias and place bias scores were measured for each treatment (see Materials and Methods). A turn bias score of 1.00 reflected that a rat always turned in the same direction independent of visual cue or start arm used. A place score of 1.00 reflected that a rat always entered the same maze arm independent of visual cue or start arm used. An examination of turn bias scores revealed that there was a significant treatment effect on turn bias scores, \( F_{(3,21)} = 5.27, P < 0.01 \). Prelimbic cortex inactivation (0.82 ± 0.03) significantly increased turn bias compared to those of prelimbic cortex saline (0.64 ± 0.03) and dorsomedial striatum saline (0.63 ± 0.05) (\( P < 0.01 \)) and compared to that of dorsomedial striatal D-AP5 treatment (\( P < 0.05 \)). D-AP5 treatment in the dorsomedial striatum (0.67 ± 0.05) did not significantly alter turn bias scores compared to those of saline treatments (\( P > 0.05 \)). Moreover, there was no significant effect of treatment on place bias scores, \( F_{(3,21)} = 1.53, P > 0.05 \).

There were three rats which had prelimbic cortex cannula misplacements in Experiment 1. These rats had a percent accuracy of 80.66% ± 3.84% following GABA agonist infusion comparable to that of vehicle treatment in rats with accurate placements of 81.37% ± 1.45%. In Experiment 1, there were eight rats that had misplaced dorsomedial striatum placements. Three of these rats also had prelimbic cortex misplacements. In these eight rats, D-AP5 treatment led to performance accuracy of 85.87% ± 1.61% which was comparable to that of vehicle treatment with accurate dorsomedial striatum placements (83.62% ± 1.47%).

**Experiment 2: contralateral disconnection of the prelimbic cortex and dorsomedial striatum impairs cue–guided behavioral switching**

Following contralateral and ipsilateral treatments, rats (\( n = 8 \)) needed ~30–33 min to complete a session. A repeated measures ANOVA revealed there was not a significant treatment effect for session time completion, \( F_{(3,21)} = 2.78, P > 0.05 \).

The effects of contralateral and ipsilateral disconnections of the prelimbic cortex and dorsomedial striatum areas on conditional discrimination performance are shown in Figure 2B. There was a significant treatment effect for percent accuracy, \( F_{(4,21)} = 27.99, P < 0.01 \). Post hoc tests indicated that contralateral disconnection significantly decreased percent accuracy compared to those of contralateral saline treatment (\( P < 0.01 \)) and both ipsilateral saline and drug treatments (\( P < 0.01 \)).

An analysis of errors revealed a significant treatment effect on switch errors, \( F_{(3,21)} = 4.08, P < 0.05 \) (see Fig. 5A). The contralateral disconnection treatment significantly increased switch errors compared to those of all other treatments (\( P < 0.05 \)). There was also a significant treatment effect of treatment on perseverative errors, \( F_{(3,21)} = 17.44, P < 0.01 \) (see Fig. 5B). The contralateral disconnection treatment significantly increased perseverative errors compared to those of all other treatments (\( P < 0.01 \)). Similar to switch and perseverative errors, the difference in maintenance errors among the treatment conditions was significant, \( F_{(3,21)} = 5.31, P < 0.01 \) (see Fig. 5C). The contralateral disconnection treatment led to significantly more maintenance errors than the contralateral saline treatment (\( P < 0.01 \)) and the ipsilateral saline and drug treatments (\( P < 0.05 \)).

Examination of errors committed across the test session under contralateral disconnection revealed no significant differences between the first and second half of a session for switch (\( t_{(7)} = 0.31, P > 0.05 \)), perseverative (\( t_{(7)} = 1.14 P > 0.05 \)), and maintenance errors, \( t_{(7)} = 0.68, P > 0.05 \).

As in Experiment 1, an analysis was conducted to determine the degree in which errors occurred in a test session. The results indicated a significant treatment effect for the percent of switch error blocks, \( F_{(2,21)} = 4.14, P < 0.05 \). Contralateral disconnection led to a significantly greater percentage of switch error blocks than those of contralateral saline and ipsilateral saline treatments (\( P < 0.05 \)) (see Fig. 5D). There was not a significant difference in percent of switch error blocks between ipsilateral disconnection and contralateral saline treatments (\( P = 0.05 \)) and compared to other treatments (see Fig. 5E). The contralateral disconnection treatment led to a significantly higher percent of maintenance error blocks than either drug treatment compared to other treatments (\( P < 0.05 \)) and compared to other treatments (see Fig. 5F). There was not a significant difference in percent of maintenance error blocks between ipsilateral and contralateral saline treatments (\( P > 0.05 \)).

![Figure 5. Distribution of errors under disconnection of the prelimbic cortex and dorsomedial striatum during cue-guided behavioral switching.](www.learnmem.org)

- **A** The number of switch errors (mean ± SEM) increased in the contralateral drug treatment compared with other treatments (\( * P < 0.05 \)).
- **B** The contralateral treatment led to significantly more perseverative errors (mean ± SEM) than all other treatments (\( * * P < 0.01 \)).
- **C** The number of maintenance errors (mean ± SEM) increased in the contralateral treatment compared to other treatments.
- **D** Percent of switch error blocks. Contralateral DRUG treatment led to a significantly higher percent of switch error blocks than either SAL treatment. No differences were observed between ipsilateral DRUG treatment and any other treatment (\( * P < 0.05 \) vs. SAL treatments).
- **E** Percent of perseverative error blocks. Contralateral Drug treatment led to a higher percentage of perseverative error blocks than any other treatment. No differences were observed between ipsilateral DRUG and either SAL treatment (\( * P < 0.05 \) vs. SAL treatments).
- **F** Percent of maintenance error blocks. Contralateral Drug treatment led to a higher percentage of maintenance error blocks than contralateral saline treatment. No other differences were observed between treatments (\( * P < 0.05 \) vs. contralateral SAL treatment).
treatment and any other treatment ($P > 0.05$). There was also a significant effect of treatment on the percent of perseverative error blocks, $F_{3,21} = 10.95, P < 0.01$ (see Fig. 5E). Contralateral disconnection treatment significantly elevated the percentage of perseverative error blocks than either contralateral or ipsilateral saline treatment ($P < 0.01$), as well as ipsilateral disconnection treatment ($P < 0.05$). Moreover, analysis of the percent of maintenance error blocks indicated a significant treatment effect, $F_{3,21} = 3.82, P < 0.05$ (Fig. 5F). Contralateral disconnection treatment significantly increased the percentage of maintenance error blocks compared to that of contralateral saline treatment ($P < 0.05$). There were no other significant differences in the percent of maintenance error blocks between treatments ($P > 0.05$).

As illustrated in Figure 4B, there was a significant treatment effect for the number of complete error blocks committed in a session, $F_{3,21} = 6.86, P < 0.01$. Post hoc analyses revealed that contralateral disconnection treatment significantly increased the number of complete error blocks compared to those of saline treatments ($P < 0.01$) or ipsilateral drug treatment ($P < 0.05$).

Contralateral disconnection and ipsilateral disconnection treatments, while affecting the different error measures, did not affect turn or place bias (see Supplemental Fig. 1C,D). The difference in turn bias scores among the treatments was not significant, $F_{3,21} = 2.47, P > 0.05$. In a comparable fashion, there was no significant treatment effect for place bias scores, $F_{3,31} = 2.07, P > 0.05$.

**Initial block performance in the visual cue–place conditional discrimination**

To test whether the conditional discrimination impairments observed with the various treatments were due to a general inability to perform a visual cue–place discrimination and not due to the difficulty of switching repeatedly between blocks of trials, the performance on the initial block of trials was compared among treatments. In Experiment 1, no significant effect of treatment was observed on the 1st block performance, $F_{3,21} = 2.91, P > 0.05$. Likewise, no significant effect of treatment was observed on the 1st block of trials in Experiment 2, $F_{3,21} = 0.93, P > 0.05$. Thus, the treatments that impaired overall conditional discrimination performance had no effect on initial discrimination accuracy.

Of the three rats that had misplacements in Experiment 2 (all due to misplaced dorsomedial striatal cannulae), performance ranged from 75.33% ± 0.88% in the contralateral high dose treatment to 85.67% ± 4.41% with the ipsilateral vehicle treatment, which was also comparable to vehicle treatments with accurate placements.

**Experiment 3: prelimbic cortex inactivation, NMDA receptor blockade of the dorsomedial striatum, and contralateral disconnection of the prefrontal cortex–dorsomedial striatum do not impair a nonswitch discrimination test**

To further examine whether treatment effects resulted from a more fundamental deficit in discrimination performance, nonswitch discrimination performance was tested under all effective treatments (Fig. 6). Specifically, the effects of bilateral baclofen/muscimol infusions into the prelimbic cortex, bilateral D-AP5 infusions into the dorsomedial striatum, and contralateral disconnection of the prelimbic cortex and dorsomedial striatum compared to saline infusions were tested in a nonswitch discrimination performance. In the test, rats ($n = 7$) received a single 28-trial session in which the same visual cue–place contingency was used throughout testing and only switched between sessions. All treatments led to performance with greater than 80% accuracy. The difference in percent accuracy scores among the treatments was not significant, $F_{3,30} = 0.52, P > 0.05$. Thus, treatments that impaired the conditional discrimination test had no effect in the nonswitch discrimination test.

Two rats were excluded from the analyses because of cannula misplacements in Experiment 3. This was due to misplaced cannulae aimed at the dorsomedial striatum. Performance in these rats was comparable to all other rats in this experiment.

**Discussion**

The present studies demonstrated that the prelimbic cortex and dorsomedial striatum are important for behavioral switching when reward-predictive cues proactively signal that a switch in a response pattern should occur. The conditional discrimination test led to establishment of a response set resulting in switch costs in which vehicle treatment doubled the percentage of switch trial errors compared to nonswitch trial errors. This is comparable to that observed in a recent study using the same behavioral procedure (Baker and Ragozzino 2014). Beyond demonstrating that the behavioral test leads to switch costs, the present experiments found that prelimbic cortex inactivation or NMDA receptor blockade impaired cue-guided behavioral switching. Furthermore, contralateral disconnection of the prelimbic cortex and dorsomedial striatum impaired cue-guided behavioral switching. A lack of effect with the same pharmacological manipulations in rats with misplaced cannulae suggests that actions in either the prelimbic cortex or dorsomedial striatum, and not juxtaposed areas, are principally responsible for the behavioral effects. Past evidence suggests that the prelimbic cortex may facilitate memory retrieval when subjects are exposed to salient cues (Botev et al. 2004). Thus, deficits in cue-guided behavioral switching could have resulted from an impairment in using cue information and/or cue-induced retrieval. However, the same manipulations that impaired cue-guided behavioral switching had no effect on the cued discrimination test that did not require behavioral switching. These findings suggest that the deficits observed with manipulations of the prelimbic cortex and dorsomedial striatum cannot simply be explained by an impairment in memory retrieval. Moreover, because the pharmacological manipulations in either brain region had no effect on the time to complete a session, the conditional discrimination impairment cannot be explained by a more general effect on activity.
Although pharmacological manipulation of either the prelimbic cortex or dorsomedial striatum alone impaired conditional discrimination performance there was a somewhat distinct error pattern, suggesting that these different brain areas support separate, but complementary, functions to enable cue-guided behavioral switching. In Experiment 1, prelimbic cortex inactivation increased switch and maintenance errors, with a trend toward also increasing perseverative errors. This pattern of errors differs from past findings showing that prelimbic lesions or inactivation selectively increases perseverative errors when a change in outcomes signals a behavioral switch should occur (Dias and Aggleton 2000; Ragozzino et al. 2003; Block et al. 2007). The present study also found that prelimbic cortex inactivation increases in both switch and maintenance errors are not due to a more general impairment in discrimination performance, as prelimbic cortex inactivation did not affect the ability of rats to execute a nonswitch discrimination. The increase in multiple error measures likely reflects prelimbic cortex inactivation biasing rats to use a turn strategy that led to reduced conditional discrimination performance. However, prelimbic cortex inactivation producing a turn bias is task dependent, as the same manipulation did not lead to a turn bias in the nonswitch discrimination test. Previous work indicates that medial prefrontal cortical areas act in a top-down manner during cognitive tasks (Narayan and Laubach 2006, 2009; van Schouwenburg et al. 2010). One possibility is that the prelimbic cortex, specifically, acts in a top-down manner to coordinate behavioral switching when cues can be used proactively. Neurons in the medial prefrontal areas, including the prelimbic cortex, are known to be modulated by a diverse range of task components during memory and cognitive flexibility tasks, such as previous choices, reward outcomes, and behavioral switches, raising the possibility that similar neural activity is required in these tasks on a trial by trial basis to organize appropriate behavior in response to cues (Bouret and Sara 2004; Horst and Laubach 2012).

Coordination of the prefrontal cortex with other areas, such as the striatum and hippocampus during maze-based tasks, is known to be important for organizing behavior (Block et al. 2007; Lee and Lee 2013). For example, naturalistic burst patterns in the prefrontal cortex can drive striatal medium spiny neuron depolarization in vivo. This depolarized state is thought to be important for cue-guided behaviors (Gruber et al. 2003; Gruber and O’Donnell 2009). Alternatively, the medial prefrontal cortex phase locks to hippocampal theta rhythms during maze-based tasks important for context specific behaviors (Fujisawa and Buzsaki 2011). Taken together, the findings suggest that under conditions that demand repeatedly switching response patterns, the prelimbic cortex may be critical for monitoring current demands to facilitate a behavioral switch as well as maintaining the current response pattern after the initial switch through connections with multiple regions important for cue-guided switching in a maze task.

Similar to prelimbic cortex inactivation, NMDA receptor blockade in the dorsomedial striatum impaired conditional discrimination performance, but did not affect nonswitch discrimination performance. Besides increasing the number of switch errors, NMDA receptor blockade also significantly elevated the number of perseverative and maintenance errors. This pattern of errors differs from past studies showing NMDA receptor blockade in the dorsomedial striatum selectively increases maintenance errors in behavioral flexibility tests where a change in outcomes signals a behavioral switch (Palencia and Ragozzino 2004, 2006). One possibility is that in the conditional discrimination test, disrupting NMDA receptor signaling in the dorsomedial striatum biases a rat toward the first visual cue–place association exposed in a session. This is because a novel finding from these experiments was that D-AP5 infusions into the dorsomedial striatum increased the probability that a rat would miss an entire block of trials. This almost never occurred with any of the vehicle treatments or prelimbic cortex inactivation, but occurred almost two times per test session with D-AP5 treatment into the dorsomedial striatum. This same result occurred with contralateral disconnection of the prelimbic cortex and dorsomedial striatum. The likelihood of missing a block of trials was not due to the previous trial block length or the length of the block which was missed. Additionally, the same block type that was missed in its entirety appeared difficult for D-AP5 treated rats in the next exposure of that same block type (e.g., if a black-cued trial block was missed in its entirety the next black-cued trial block led to low performance). Specifically, D-AP5 treatment in Experiments 1 and 2 led to performances of 16% and 36% correct in these trial blocks, respectively. These results suggest that a rat was still struggling to override the incorrect behavioral response beyond the block that was missed in its entirety.

One explanation for the increase in missed blocks with dorsomedial striatum inactivation and prelimbic cortex–dorsomedial disconnection is that the change in cue–reward contingencies fails to update such that the originally relevant response pattern continues to be preferentially employed. One possibility is that input from the prefrontal cortex or thalamus initially switches striatal firing responsible for initiation of the ongoing motor action. This could be accomplished through input onto cholinergic interneurons via NMDA receptors known to facilitate cognitive flexibility (Palencia and Ragozzino 2006; Bradfield et al. 2013). Specifically, cholinergic cell firing has been shown to briefly block cortical input onto medium spiny striatal neurons (Ding et al. 2010). Subsequently, additional input from the prefrontal cortex about cue information is able to generate an alternative action. Without a pause in cortical input onto medium spiny neurons and/or subsequent input from the medial prefrontal cortex about updated choice expectations, the previous motor plan is instead executed, resulting in continual errors even throughout an entire block. Based on the present findings, when NMDA receptor signaling and/or prelimbic cortex input to the dorsomedial striatum is disrupted, this can bias a rat toward selecting the first response pattern executed in a conditional discrimination test session as opposed to appropriately switching to the alternative cue-guided response pattern consistently throughout the test session.

Another important finding was that dorsolateral striatal NMDA receptor blockade increased maintenance errors and also showed a trend to increase the percent of maintenance error blocks, but the latter effect was not significant. The percent of maintenance error blocks effect may be due to the propensity of rats to miss entire blocks of trials under dorsomedial striatum NMDA receptor blockade. Namely, if a rat misses an entire block of trials, after the initial switch trial error all other errors will be counted as perseverative errors. In this case, a rat will not commit a maintenance error in a block. Although NMDA receptor blockade in the dorsomedial striatum did not significantly increase the percent of maintenance error blocks, the overall increase in maintenance errors suggests that this area supports the reliable execution of a recently selected choice pattern under conditions in which cue information can be used to proactively select a response.

Experiment 2 revealed that contralateral disconnection of the prelimbic cortex and dorsomedial striatum also impairs conditional discrimination performance by reducing the ability to switch on switch trials, as well as increasing errors on subsequent trials in a block. The significant increase in perseverative errors following contralateral disconnection resulted, in large part, from an increased likelihood of a rat to miss an entire block of trials. The behavioral deficit following contralateral disconnection of these structures suggests that the prelimbic cortex and dorsomedial striatum are necessary to enable cue–place conditional
Corticostriatal involvement in proactive switching

The connection between the prefrontal cortex and the dorsomedial striatum has been suggested to support action selection (Seo et al. 2012; Wolfensteller and Ruge 2012). Furthermore, a past study using a contralateral disconnection of the prefrontal cortex from the striatum showed that when rats were required to recall their previous choice and then choose the alternative (delayed alternation), contralateral disconnection impaired performance (Dunnett et al. 2005). The current results extend these findings to indicate that the prelimbic cortex and dorsomedial striatum are necessary to correctly switch from an ongoing response pattern to an alternative response pattern based on proactive cue information. Moreover, findings from a rat model of Huntington’s disease suggest that plasticity in the prefrontal cortex and dorsomedial striatal circuit is important for behavioral flexibility (Höhn et al. 2011). In homozygous transgenic rats having enhanced CAG repeats, they begin to exhibit behavioral deficits in an auditory-based conditional discrimination test at 4 mo of age that are independent of any motor symptoms. At this same age, stimulation of the prelimbic cortex results in altered paired-pulse facilitation, short-term depression, and long-term potentiation in dorsomedial striatal recordings (Höhn et al. 2011). These results suggest that plasticity in this prefrontal cortex–striatal circuit is critical for the expression of behavioral flexibility as required in cue-guided behavioral switching. Thus, the pharmacologically induced contralateral disconnection of the prefrontal cortex and dorsomedial striatum may have disrupted certain forms of neuronal plasticity in these areas that contributed to the behavioral deficit.

In a recent study, we demonstrated that the prelimbic cortex and subthalamic nuclei are necessary for cue-guided behavioral switching (Baker and Ragozzino 2014). Specifically, NMDA receptor blockade in the subthalamic nucleus was found to impair switching by selectively increasing switch and perseverative errors without affecting maintenance errors. In addition, contralateral disconnection of the prelimbic cortex and subthalamic nucleus also selectively increased switch and perseverative errors. Because the present findings indicated that contralateral disconnection increased maintenance errors, the combined results suggest that the prelimbic cortex interacts with multiple basal ganglia regions to allow a comprehensive and efficient shift for cue-guided behavioral switching. Specifically, excitatory input from the prelimbic cortex to the subthalamic nucleus may generate an inhibition of an ongoing response pattern and selection of an alternative pattern (Baker and Ragozzino 2014). The present data raise the possibility that excitatory input from the prelimbic cortex to the dorsomedial striatum may play a complementary role by enabling the selection and maintenance of an alternative response pattern. Disruption in this neural system could lead to failure in selecting an alternative response and instead lead to continually executing the same, now inappropriate, response pattern. Although the experimental findings suggest that the prelimbic cortex interacts with both the subthalamic nucleus and dorsomedial striatum to facilitate cue-guided behavioral switching, this does not rule out that the prelimbic cortex, or other prefrontal cortex areas, are functionally connected with other basal ganglia areas, i.e., the nucleus accumbens (Floresco et al. 2006a) or intralaminar thalamic nuclei (Canteras et al. 1990; Castle et al. 2005; Brown et al. 2010) to also enhance cue-guided behavioral switching. Future studies can more fully determine the network of brain areas that support cue-guided behavioral switching.

Materials and Methods

Subjects

Adult, male Long–Evans rats weighing between 300 and 350 g at the time of testing served as subjects (n = 35). Rats were individually housed in plastic cages (26.5 × 50 × 20 cm) in a temperature (22°C) and humidity (30%) controlled environment and placed on a 12-h light–dark cycle (lights on at 7:00 a.m.). Rats were food restricted to 85%–90% of their ad libitum body weight during the experiment, and water was available ad libitum. Animal care and use was in accordance with the National Institutes for Health Guide for the Care and Use of Laboratory Animals and approved by the University of Illinois at Chicago Institutional Laboratory Animal Care and Use Committee.

Apparatus

Training and testing occurred in a four arm cross maze made of black acrylic. Maze arms contained a base that was 10 cm wide × 55 cm long, two side walls that were 15 cm high by 55 cm long and a back wall that was 8 cm wide and 15 cm high. A 10 × 10-cm square-base piece connected all four arms together. A circular food well (3.2-cm diameter and 1.6 cm deep) was located 3 cm away from the end of each arm. The maze was elevated 72 cm above the floor in a room with various extra-maze cues.

Surgery

Prior to behavioral training, all rats underwent stereotaxic surgery for bilateral implantation of guide cannulae aimed at both the prelimbic cortex and dorsomedial striatum. Thus, each rat had a total of four guide cannulae implanted. For surgery, a mixture of ketamine (100 mg/kg) and xylazine (10 mg/kg). Twenty-two-gauge stainless-steel guide cannulae (Plastics One) were implanted into the prelimbic cortex at a 15° angle in the dorsal/medial plane. The stereotaxic coordinates were AP +3.0, ML ± 1.8, DV −3.0 (mm). For the dorsomedial striatum, cannulae were implanted at a 15° angle in the anterior/posterior plane. The stereotaxic coordinates were AP 0.0, ML ± 2.0, DV −3.9. Cannulae were implanted at an angle to allow for all four cannulae to reach their target areas and allow room for dummy cannulae/dust caps when not being injected. The coordinates for each area were based on the stereotaxic atlas by Paxinos and Watson (1997). Four jeweler screws were positioned in the skull surrounding the cannulae and secured with dental acrylic (Stoelting). During the surgical procedure, meloxicam (1 mg/kg) was administered to manage pain post-operatively. Rats recovered for 7 d after surgery before commencing behavioral training. For 5 d following surgery, rats were fed ad libitum and subsequently food restricted as described above. Following this period, subjects were handled ~10 min per day.

Training

Behavioral training in the cue–place conditional discrimination task began a week after surgery in multiple phases. In the first phase, a rat was allowed to consume a quarter piece of Froot Loops cereal (Kelloggs) in each food well. A rat was also picked up after consuming cereal pieces to acclimate being handled in the maze as in past studies. The second training phase required rats to learn that a visual cue in the stem arm indicated which one of two choice arms to enter for a cereal reinforcement. A black plastic block was placed in one maze arm giving the maze a T-shape. The stem arm served as the start arm and the other two arms served as choice arms. The choice arms remained the same throughout training and testing. The other two arms served as start arms and were switched pseudo-randomly such that the same arm was used a maximum of two consecutive trials. Acrylic inserts that covered the walls and floor of the stem arm served as the visual cues. Black and white inserts were used as the visual cues. Each visual cue was always associated with one maze arm containing a cereal reinforcement. For example, if the cue was white, the reinforcement would be in the north arm, while a black cue indicated the reinforcement would be in the south arm. The location of reinforcement for each cue was counterbalanced across rats. When a rat entered one of the choice arms, it was allowed to travel down the arm and explore the food well. If the choice was correct, it was allowed to consume...
a cereal piece after which it was picked up and placed on top of its home cage. The home cage was placed on a table adjacent to the maze. If an incorrect choice was made, a rat was allowed to proceed to the food well and examine it after which it was picked up and returned to its home cage. A rat was exposed to a single cue in a 28-trial session in this training phase. One session was given per day and a rat saw the same visual cue every other day (session). Visual cues were alternated each session until a rat achieved at least 80% reinforcement on two consecutive days.

In the third phase of training, rats received both cues on a single daily session. Rats were trained for 10 consecutive trials with each cue presented in alternating blocks for a total of 40 trials. Across sessions, the cue that was presented first in a session was randomized. After a rat achieved at least 80% correct for both black and white cue trials in a session, each visual cue block was reduced to five consecutive trials over a total of 40 trials (or eight blocks of alternating cues). A rat had to achieve a minimum of 80% correct for each cue type to advance to the final training phase. Rats required seven to 16 sessions to reach criterion in this phase.

In the fourth and final training phase, a rat was tested for 57 trials in which a cue was switched every three to six trials. This involved a total of 12 switches in a session and each rat received three blocks each of three, four, five, or six consecutive trials with an extra three trials at the end for the 12th switch. A 57-trial session contained approximately an equal number of presentations for each visual cue (28 or 29). A rat achieved criterion when it accurately discriminated 80% or greater for each visual cue trial type across a 57-trial session. This phase required one to three sessions for rats to reach criterion. After achieving criterion, the test phase began.

In the conditional cue–place association, the visual cue was changed every three to six trials indicating that a behavioral switch should occur for the upcoming response. The relatively short block length was chosen in order to emphasize the need to monitor task cues on every trial while also having a rat establish a response pattern prior to a switch. This is common in a proactive switching task in order to incur a switch cost such that performance is more difficult on a switch trial compared to those of nonswitch trials (Konishi et al. 2005; Hyafil et al. 2009; Hikosaka and Isoda 2010).

Microinfusion procedure

Five minutes prior to a test session, a rat received an intracranial infusion of saline or the NMDA antagonist D-AP5 (Tocris). An infusion into the prelimbic cortex or subthalamic nucleus consisted of either saline or GABA agonists baclofen and muscimol (Hamilton Company). An infusion into the prelimbic cortex extended 1 mm below the guide cannulae. The injection cannulae were connected by polyethylene tubing to a 10-μl syringe (Hamilton Company). An infusion into the prelimbic cortex consisted of either saline or GABA agonists baclofen and muscimol (Sigma Aldrich). An infusion into the dorsomedial striatum consisted of either saline or the NMDA antagonist D-AP5 (Tocris). An infusion into the prelimbic cortex or subthalamic nucleus alone occurred bilaterally with a total volume of 0.25 μl at a rate of 0.15 μl/min by a microinfusion pump (74900 Series Cole Palmer). Injection cannulae were left in place for an additional minute following the injection to allow for diffusion. A similar procedure was used for the contralateral and ipsilateral injection procedures except that a unilateral infusion was made in each brain region. Prior to testing, rats remained in their home cages for 5 min after completion of the injection procedure to allow for the drug to take effect (Hikosaka and Wurtz 1985; Krupa et al. 1999; Palencia and Ragozzino 2004; Baker and Ragozzino 2014). Past studies have shown that microinjections of GABA agonists or glutamate antagonists into specific brain structures can act within a couple of minutes to decrease neural activity and last well over 40 min (Kawabe et al. 2008; McMullen and Pitlowsky 2012). As in past studies (McCool et al. 2008; Brown et al. 2010), the day prior to the first test procedure, an injection cannula was lowered into each guide cannula and left in place for 2 min. This ensured that any effects observed on the first test day of testing were not due to the initial acute damage caused by the injection cannulae extending 1 mm beyond the guide cannulae.
Experiment 1. Contralateral disconnection treatments were: (1) contralateral vehicle injection of saline (CONTRALATERAL SAL); (2) prelimbic baclofen/muscimol and dorsomedial striatum drug doses (CONTRALATERAL DRUG). The ipsilateral disconnection manipulation involved a unilateral infusion into the prelimbic cortex and a unilateral infusion into the same hemisphere of the dorsomedial striatum. Treatments were as follows: (1) prelimbic–dorsomedial striatum injection of saline (IPSILATERAL SAL); (2) ipsilateral injection of the prelimbic cortex and dorsomedial striatum drug doses (IPSILATERAL DRUG). The order of injections and days between test sessions was the same as described in Experiment 1. For all rats, there was a 2-d interval between test sessions. All outcome measurements were the same as in Experiment 1.

Experiment 3: the effect of prelimbic cortex inactivation, NMDA receptor blockade of the dorsomedial striatum, or contralateral disconnection of the prelimbic cortex—dorsomedial striatum in a nonswitch cue–association test

If pharmacological manipulation of the prelimbic cortex, dorsomedial striatum, or contralateral disconnection of these structures impaired conditional discrimination performance, this would be expected because of a basic impairment in discrimination performance. To determine this, another group of rats was tested in a discrimination task in which only one of the cues was presented throughout a given session. The training procedure was similar to that described above except that training was limited to the procedure in which rats receive a single visual cue per session. Thus, rats were trained to discriminate between the different visual cues but this occurred across sessions and not within a session. Once rats completed two consecutive days of training at 80% or higher accuracy, they were advanced to the test phase. The test was identical to the training phase in that rats were tested on a single visual cue discrimination for 28 trials. Rats received a total of six intracranial infusions in this experiment with a total of four injections per rat. The order of treatments was counterbalanced across rats. For all rats, there was a 2-d interval between test sessions. Each rat received the following treatments: (1) bilateral saline infusion into the prelimbic cortex (PL SAL); (2) bilateral baclofen/muscimol high dose infusion into the PL (PL DRUG); (3) bilateral saline infusion into the dorsomedial striatum (DMS SAL); (4) bilateral D-AP5 high dose infusion into the dorsomedial striatum (DMS DRUG); (5) contralateral saline infusion into the prelimbic cortex and dorsomedial striatum (CONTRA SAL); and (6) contralateral baclofen/muscimol high dose infusion into the PL and D-AP5 high dose infusion into the dorsomedial striatum (CONTRA DRUG). The same procedure was employed for the interval between test sessions as described in Experiment 1.

Histology

After completion of behavioral testing, rats were given an overdose of sodium pentobarbital. Rats were intracardially perfused with 0.9% phosphate buffered saline followed by 4% formaldehyde solution. The brain was removed and stored in formaldehyde until sectioning. Brains were frozen and cut into 50-μm coronal sections on a cryostat. Sections were immediately mounted on slides, dried, and then stained with cresyl violet. Positions were then verified with reference to the stereotaxic atlas of Paxinos and Watson (1997).

Statistical analysis

In Experiments 1–3, a repeated measures ANOVA for treatment was used to test the effects of drug treatments on performance accuracy, switch errors, perseverative errors, and maintenance errors. Turn bias scores, place bias, and missed block frequency were also analyzed with repeated measures ANOVAs. A significant treatment effect was followed by Tukey's HSD post hoc tests to determine significant differences between treatments. Switch cost and errors divided into halves of a test session were analyzed.
by using paired Student’s t-test comparing percent error rates on switch vs. nonswitch trials and the various error types, respectively.

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