A temporary forward head posture decreases function of cervical proprioception

Sun-Young Ha¹, Yun-Hee Sung¹,²,*

¹Department of Physical Therapy, Graduate School, Kyungnam University, Changwon, Korea
²Department of Physical Therapy, College of Health Sciences, Kyungnam University, Changwon, Korea

Increased use of smartphones can cause abnormal alignment of head and neck, resulting in forward head posture (FHP). This can lead to change in the structures and function of the cervical vertebrae. The purpose of this study was to determine the impact on deep neck flexor muscles, proprioception, vestibular function, and balance of subjects with induced FHP by using smartphone. Twenty-two healthy persons were randomly divided into two groups. The FHP group maintained a craniovertebral angle (CVA) of < 49° and a normal head posture group maintained a CVA of > 50° watching the smartphone for 40 min. We measured the area of the longus colli and longus capitis muscles, cervical joint position sense, Romberg test, subjective visual vertical test, and subjective visual horizontal test. There was no significant difference in the deep neck flexor muscles, vestibular function, and static balance between the groups. However, there was a significant difference in the cervical proprioception (P<0.05). Proprioception may be considered to be the most influential factor in induced FHP by smartphone viewing.

Keywords: Forward head posture, Proprioception, Vestibular function, Static balance

INTRODUCTION

The rapid development of information and communication technology has increased the use of computers and smartphones (Eltayeb et al., 2009; Larsson et al., 2007). When using a smartphone, a user tends to angle their head and neck more forward than when they view a traditional video display (Straker et al., 2008). Thus, phone users maintain an abnormal alignment of the head and neck (Kim and Kim, 2019). The most common problem with smartphone use is forward head posture (FHP) and rounded shoulders (Kang et al., 2012). FHP is defined as ≥ 5 cm in horizontal distance between the tragus of the ear and the posterior angle of acromion in a standing position. It is also accompanied by flexion of the lower cervical vertebrae and hyper extension of the upper cervical vertebrae (Braun and Amundson, 1989; Hanten et al., 1991). FHP can cause neck pain, leading to decreased movement of the cervical vertebrae and changes in neck muscle function (Burgess-Limerick et al., 1998; Lee, 2016).

FHP moves the body’s center-of-gravity forward, thereby inducing mechanical deformation of the joints and vertebrae and muscles involved in postural control (Lee and Jeong, 2001). The deep neck flexor muscles in the cervical vertebrae play an important role in stabilizing the cervical spine and reducing lordosis of the neck during neck movement (Falla et al., 2004; Javanshir et al., 2011; Jull et al., 2008). FHP is characterized by a decrease in the deep neck flexor muscles located on both sides and an increase in surface neck flexion (Kapreli et al., 2008). As a result, this reduces the range of motion of the neck and increases the bending torque of the surface neck flexor muscles (Vasavada et al., 1998). The cervical vertebrae contribute proprioceptive sense input. The proprioceptive sensing of the cervical vertebrae transmits information to correct misalignment and plays an important role in postural control. In addition, it reacts sensitively to the fine movement of the head by acting in coordination with sensing from the
vestibular system (Johnson and van Emmerik, 2012). Asymmetric alignment of the head and neck results in errors in the information received as visual and vestibular sensing (Treleaven, 2008). This eventually reduces the balance and increases the risk of falling and musculoskeletal injuries while performing activities (Lee and Jeong, 2001). In previous studies, severe neck pain has been reported to be associated with decreased balance (Chester, 1991), and decreased joint sensation has been reported to reduce balance control (Brockett et al., 1997).

Previous studies have investigated the relationship between FHP and deep neck muscle area, balance, and intervention methods (Jull et al., 2004; Lee, 2016; Sterling et al., 2003). These studies have been conducted in a variety of contexts and present different results. Therefore, we investigated the physical changes that result from FHP. The purpose of this study was to investigate the effects of FHP on deep neck flexor muscles, proprioception, vestibular function, and static balance.

MATERIALS AND METHODS

Participants

This study was conducted on 22 healthy male and female adults. The criteria for selection were (a) no visual impairment, (b) no musculoskeletal disease, (c) no arthritis or other inflammatory disease, and (d) no neck pain. The exclusion criteria were (a) those who had trauma or surgery within the past 6 months, and (b) those who had vestibular or neurological disorders (Table 1). Prior to the experiment, the research purpose and procedure were fully explained to the selected participants. The participants were randomly assigned to one of two groups. The experimental group maintained a craniovertebral angle (CVA) of $<49^\circ$ and the control group maintained a CVA of $>50^\circ$ watching the smartphone for 40 min. All procedures followed were in accordance with Helsinki Declaration. Information on the purpose and method of the present study was explained to the subjects, and Informed consent was obtained from them.

Table 1. General characteristics of participants

| Variable      | Experimental group (n = 11) | Control group (n = 11) |
|---------------|-----------------------------|------------------------|
| Height (cm)   | 171.91 ± 7.98               | 167.18 ± 4.92          |
| Weight (kg)   | 66.91 ± 12.96               | 61.09 ± 8.73           |
| Age (yr)      | 21.82 ± 1.78                | 21.36 ± 1.43           |
| Sex           |                             |                        |
| Male          | 7                           | 5                      |
| Female        | 4                           | 6                      |

Values are presented as mean ± standard deviation or number.

Craniovertebral angle

To measure the CVA, participants were imaged at a distance of 1.5 m from their sitting position, and the participant’s shoulder and the camera height were placed at the same level (Moghadam et al., 2018). The CVA was measured using a horizontal line passing through the C7 spinous process and a line connecting C7 to the tragus of the ear (Quek et al., 2013). The angle measurement in the captured image was determined using Image J software (ver. 1.46; U.S. National Institutes of Health, Bethesda, MD, USA).

Ultrasonography

We measured the area of the longus colli and longus capitis muscles of the deep neck flexors. The SonoAce X8 (Samsung Medison, Seoul, Korea) was used for the measurements. The area of the deep neck flexor muscles was measured after sitting in-position for 40 min in FHP or normal head posture after watching a smartphone, keeping the posture state. Using the Image J software, the area of the deep neck flexor muscles was measured (in mm$^2$) using a 7.5 MHz linear probe placed vertically at a distance of 3 cm from the trachea. Measurements were taken two times before and after each measurement, and the mean value was used (Fig. 1).

Cervical joint position sense

To examine the proprioceptive sensing of the cervical vertebrae, each participant wore a headband with a laser pointer affixed to it.
on their head. The participant was directed to stand 90 cm away from the wall and focus on the center point of the joint position error target. The participant closed their eyes. The evaluator arbitrarily rotates the head left or right, then the participant is instructed to return (reorient back) to the center of the error target. At this time, the color of the circle nearest to the center point of the joint position error target was 3 points, and the color of the circle farthest from the center point was 1 point. A score was assigned according to the location to which they returned on reorienting. Measurements were made 5 times for left and right, respectively, and mean values were used.

### Subjective visual vertical test and subjective visual horizontal test

This is the classic test method for measuring vestibular sensing. The test uses a bucket that is larger than 25 cm in diameter, with a black line drawn on the inside bottom of the bucket. On the outside bottom of the bucket, there is a goniometer scale attached so that the bucket can rotate. The reading of 0 on the goniometer should be aligned with true vertical or true horizontal orientation, and weighted to keep from rotating along with the bucket. While the participant's head is inside, the bucket is rotated, and the participant indicates when they believe that the line is perfectly vertical (or horizontal, respectively), the weighted scale informs the size of the angle off of the vertical or horizontal line.

### Romberg test with Wii balance board

We used the Wii balance board (Nintendo Co. Ltd., Kyoto, Japan) to analyze the static balance of the subjects. The measured static balance analysis was performed using Balancia software (ver. 2.0; Mintosys, Seoul, Korea). The collected data is transmitted to a computer device connected via Bluetooth. The participants were asked to stand upright on the Wii balance board, with both arms relaxed. Participant eyes were open, then closed, for 30 sec each. Measurements were made three times before and after the experiment, and the mean value was used.

### Statistical analysis

The Kolmogorov–Smirnov test was used to investigate the normal distribution of the variables. The independent *t*-test was used to examine the effects between groups. The level of significance was set as *P* < 0.05.

### RESULTS

#### Changes in deep neck flexor muscles area

The area of the deep neck flexor muscles and the extent of change (post-pre) in the deep neck flexor muscle area showed no significant difference between the two groups (Table 2).

#### Changes in proprioception

For the task of returning their head to the original position, there was a significant difference in the posttest (*P* < 0.05) after having their head turned to the right, however, as to the same reorienting task after having their head turned to the left, there was no significant difference between the groups in the posttest. The extent of change (post-pre) in the cervical joint position sensing did show a significant difference between the two groups (*P* < 0.05) (Table 3).

#### Changes in vestibular function

The subjective visual vertical (SVV) test, subjective visual horizontal (SVH) test, and the extent of change (post-pre) in the SVH test and SVV test showed no significant difference between the

| Table 2. Comparison of the area of deep neck flexor muscles after smartphone use (mm²) |
| Variable     | Experimental | Control | *P*-value |
|--------------|--------------|---------|-----------|
| Longus capitis |              |         |           |
| Pre          | 21.96 ± 4.47 | 22.85 ± 3.99 | 0.628     |
| Post         | 20.71 ± 4.44 | 21.39 ± 4.31 | 0.719     |
| Post-pre     | -1.25 ± 4.93 | -1.45 ± 3.17 | 0.907     |
| Longus colli |              |         |           |
| Pre          | 24.27 ± 5.24 | 22.39 ± 6.08 | 0.446     |
| Post         | 24.54 ± 5.26 | 23.76 ± 1.74 | 0.645     |
| Post-pre     | 0.26 ± 5.55  | 1.36 ± 5.19  | 0.636     |

Values are presented as mean ± standard deviation.

| Table 3. Comparison of the cervical joint position sense after smartphone use (cm) |
| Variable                       | Experimental group | Control group | *P*-value |
|--------------------------------|--------------------|---------------|-----------|
| Centered after turning to the right |                   |               |           |
| Pre                            | 1.77 ± 0.88        | 1.68 ± 0.47   | 0.753     |
| Post                           | 1.37 ± 0.92        | 2.06 ± 0.36   | 0.041*    |
| Post-pre                       | -0.04 ± 0.66       | 0.37 ± 0.51   | 0.006*    |
| Centered after turning to the left |                   |               |           |
| Pre                            | 1.83 ± 0.74        | 1.78 ± 0.45   | 0.856     |
| Post                           | 1.82 ± 0.72        | 2.22 ± 0.49   | 0.140     |
| Post-pre                       | -0.01 ± 0.22       | 0.44 ± 0.32   | 0.001*    |

Values are presented as mean ± standard deviation. * *P* < 0.05, statistically significant difference.
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Changes in static balance

Sway velocity, sway path length, sway area, and the extent of change (post-pre) in the sway velocity, sway path length, and sway area showed no significant difference between the two groups (Table 5).

DISCUSSION

Excessive use of smartphones for long periods of time can lead to decreased postural control and FHP (Kim and Kim, 2019). The purpose of this study was to determine the effect of FHP and normal head posture on deep neck flexor muscles, proprioception, vestibular function, and static balance when watching a smartphone.

In the present study, there was no significant difference in the deep neck flexor area between the two groups. A persistent poor head posture causes excessive joint and muscle loading and affects deep cervical muscle weakness, resulting in a change in the cervical region (Harman et al., 2005; Szeto et al., 2002; Yong et al., 2015). In a study of the correlation between the cranio-vertebral angle and longus colli area of FHP, the longus colli thickness decreased as the angle decreased. This result suggested that the FHP changes the position of the head in the sitting position and causes disuse of the deep neck flexor muscles in daily life (Ishida et al., 2015). The area of the deep neck flexor muscles was compared when the cranio-cervical flexion test was performed in a group of females with FHP and in a normal head posture group. There was no difference in the area at rest or during the cranio-cervical flexion test between the two groups. This result is due to the fact that the participants maintained FHP for a short period of time and short-term-induced tension changes cannot affect the deep neck flexor muscles (Moghadam et al., 2018). Bokaee et al. (2017) measured the thickness of the cervical muscles in a sitting position on females with and without FHP. There was a significant difference between the two groups in sternocleidomastoid muscle, but there was no significant difference between the two groups in longus colli muscle. This is in agreement with the results of the present study. The area of the deep neck flexor muscles tended to decrease, though not significantly, due to the transiently induced FHP. Future research will be needed to observe changes over long periods of time.

Balance is affected by visual, proprioception, inner ear, and vestibular sensing, cerebellar function, age, heartbeat or respiration, and various musculoskeletal disorders (Di Fabio, 1995). Among them, proprioception provides sensory feedback to the nervous system in the body, contributing to maintaining optimal body alignment. Neck muscles have higher muscle spindle density

Table 4. Comparison of the SVH test and SVV test after smartphone use (cm)

| Test       | Experimental group | Control group | P-value |
|------------|--------------------|---------------|---------|
| SVH test   |                    |               |         |
| Pre        | 2.24 ± 1.00        | 2.12 ± 1.11   | 0.792   |
| Post       | 2.30 ± 1.33        | 2.42 ± 1.30   | 0.832   |
| Post-pre   | 0.06 ± 0.98        | 0.30 ± 2.14   | 0.736   |
| SWV test   |                    |               |         |
| Pre        | 1.69 ± 1.90        | 2.03 ± 1.49   | 0.651   |
| Post       | 2.42 ± 1.27        | 2.75 ± 1.60   | 0.595   |
| Post-pre   | 0.72 ± 1.29        | 0.72 ± 2.11   | 0.998   |

Values are presented as mean ± standard deviation. SVV, subjective visual vertical; SVH, subjective visual horizontal.

Table 5. Comparison of the Romberg test with Wii balance board after smartphone use

| Variable              | Experimental group | Control group | P-value |
|-----------------------|--------------------|---------------|---------|
| Sway velocity (cm/sec)|                    |               |         |
| Eyes closed           |                    |               |         |
| Pre                   | 3.14 ± 0.54        | 3.18 ± 0.52   | 0.859   |
| Post                  | 3.13 ± 0.90        | 3.06 ± 0.60   | 0.837   |
| Post-pre              | -0.01 ± 0.54       | -0.11 ± 0.40  | 0.602   |
| Eyes open             |                    |               |         |
| Pre                   | 2.66 ± 0.68        | 2.70 ± 0.34   | 0.879   |
| Post                  | 2.68 ± 0.50        | 2.67 ± 0.31   | 0.988   |
| Post-pre              | 0.01 ± 0.49        | -0.02 ± 0.35  | 0.830   |
| Sway path length (cm) |                    |               |         |
| Eyes closed           |                    |               |         |
| Pre                   | 94.28 ± 16.18      | 95.49 ± 15.72 | 0.861   |
| Post                  | 94.01 ± 27.13      | 92.00 ± 18.13 | 0.840   |
| Post-pre              | -0.26 ± 16.36      | -3.49 ± 12.11 | 0.605   |
| Eyes open             |                    |               |         |
| Pre                   | 80.02 ± 20.46      | 81.02 ± 10.25 | 0.886   |
| Post                  | 80.33 ± 15.17      | 80.20 ± 9.41  | 0.982   |
| Post-pre              | 0.31 ± 14.80       | -0.81 ± 10.57 | 0.839   |
| Sway area (cm²)       |                    |               |         |
| Eyes closed           |                    |               |         |
| Pre                   | 12.78 ± 7.44       | 9.52 ± 6.58   | 0.289   |
| Post                  | 13.57 ± 14.92      | 7.38 ± 2.74   | 0.191   |
| Post-pre              | 0.78 ± 0.03        | -2.13 ± 5.59  | 0.372   |
| Eyes open             |                    |               |         |
| Pre                   | 5.87 ± 4.15        | 4.67 ± 2.12   | 0.408   |
| Post                  | 6.96 ± 4.75        | 6.14 ± 3.12   | 0.638   |
| Post-pre              | 1.09 ± 4.37        | 1.47 ± 3.65   | 0.827   |

Values are presented as mean ± standard deviation.
than other body muscles. Therefore, the neck muscles play a key role in providing the proprioceptive sensory information (Treleaven, 2008). In the results of the present study, there was a significant difference between the two groups when participants needed to rotate (reorient) their head back to center after having it turned to the right. There was a significant difference in the extent of change of joint position sensing between the two groups. The FHP showed a higher error than the normal posture group, and the joint position sensing was reduced. Yong et al. (2015) reported a correlation between head posture and proprioception in 72 subjects. The CVA had a negative correlation with position sensing. Lee et al. (2014) reported that FHP had a higher error value when observing cervical position sensing for FHP and normal head posture. These results suggest that the change in the length of the neck muscles caused by the FHP has a negative effect on the muscle spindle activity involved in proprioception, resulting in a decrease in joint position sensing. In the present study, the induced FHP tended to decrease, but not significantly, the deep neck muscle area, resulting in a higher error value in the experimental group due to adverse effects on the proprioceptive sensation.

The vestibular system provides information related to head movement and direction (Forbes et al., 2016). The sensing of head posture and body alignment are influenced by sensory information coming from the vestibular system and proprioception sensing located in the neck (Armstrong et al., 2008). In particular, the upper cervical spine contains many muscle spindles and more connections with the visual and vestibular systems, contributing more to reflex activity than other parts of the cervical spine (Kulkarni et al., 2001; Liu et al., 2003). Proprioceptive alteration in the neck muscles can lead to the asymmetrical function of the vestibulo-ocular reflex (Padoan et al., 1998). Therefore, in this study, we assumed that an increase in the lordotic curve of the upper cervical spine via FHP could affect vestibular sensing. In this study, there was no significant difference between the groups in SVH and SVV tests for vestibular function. These results suggest that the subjects of this study were not impaired by the vestibular system, and we think that the transiently induced FHP does not affect the vestibular function because it uses visual, proprioception, and vestibular sensing to maintain posture.

Postural sway measurements are most commonly used to evaluate balance and provide information about sensory changes as a result of changes in standing surface or field of view (Kim et al., 2014). In the present study, there was no difference in sway values between the groups when the eyes were opened and closed. In the study of Silva and Johnson (2013), there was no difference between the groups when measuring balance (total distance, total sway area, and mean velocity) for 30 sec divided into a natural head posture group and an induced exaggerated FHP group. They reported that the postural control system of young healthy participants adapted to the exaggerated FHP. Dornan et al. (1978) reported that visual recognition is an essential element in maintaining balance and posture in static conditions. In a cross-sectional study by Lee (2016) of the static and dynamic balance of the FHP group and the normal head posture group, the difference in static balance was higher among those who closed their eyes than those who opened their eyes, indicating that vision plays an important role in balance control. Kang et al. (2012) found that there were no significant differences in the conditions in normal body sensory, visual, and vestibular systems in a study of the effects of the FHP on postural balance in a long-time computer-based worker. However, if the sensing for balance control is blocked, the posture control ability decreased. Based on the results of previous studies, the results of this study also suggest that FHP applied as a single short-duration event in the experimental group did not affect the balance by altering the posture control system. Also, when the sensing for balance control was blocked, through limiting proprioception sensing due to FHP, it is thought that it will affect balance control.

According to the results of this study, proprioception was significantly different when watching the smartphone for 40 min with induced FHP, but deep neck flexor muscles, static balance, and vestibular function were not significantly different. The most important factor affecting the induced FHP applied as a single short-duration event is thought to be proprioception. Limitations of this study include (a) healthy people and a small number of subjects, therefore there is a limit to generalizing the contents. (b) For the FHP, the smartphone usage time was 40 min and one time. (c) Only deep neck flexor muscles were measured by ultrasonography. Future studies should endeavor to address these limitations.

**CONFLICT OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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