Inter-individual decision-making differences in the effects of cingulate, orbitofrontal, and prelimbic cortex lesions in a rat gambling task

Marion Rivalan†, Etienne Coutureau, Aurélie Fitoussi and Françoise Dellu-Hagedorn*

Aquataine Institute of Cognitive and Integrative Neuroscience, Université de Bordeaux, CNRS UMR 5287, Bordeaux, France

Deficits in decision-making is a hallmark of several neuropsychiatric pathologies but is also observed in some healthy individuals that could be at risk to develop these pathologies. Poor decision-making can be revealed experimentally in humans using the Iowa gambling task, through the inability to select options that ensure long term gains over larger immediate gratification. We devised an analogous task in the rat, based on uncertainty and conflicting choices, the rat gambling task (RGT). It similarly reveals good and poor performers within a single session. Using this task, we investigated the role of three prefrontal cortical areas, the orbitofrontal, prefrontal, and cingulate cortices on decision-making, taking into account inter-individual variability in behavioral performances. Here, we show that these three distinct subregions are differentially engaged to solve the RGT. Cingulate cortex lesion mainly delayed good decision-making whereas prefrontal and orbitofrontal cortices induced different patterns of inadapted behaviors in the task, indicating varying degree of functional specialization of these three areas. Their contribution largely depended on the level of adaptability demonstrated by each individual to the constraint of the task. The inter-individual differences in the effect of prefrontal cortex area lesions on decision-making revealed in this study open new perspectives in the search for vulnerability markers to develop disorders related to executive dysfunctioning.

Keywords: animal model, choice, executive functions, inflexible behavior, inter-individual differences, prefrontal cortex, lesion

INTRODUCTION

Making a decision in complex and uncertain situations is a fundamental adaptive process resulting from the integration of several executive functions. These functions encompass a set of cognitive skills responsible for the planning, initiation, and monitoring of goal-directed behavior (Fellows, 2004; Ernst and Paulus, 2005; Rangel, 2008). Decision-making in humans can be accurately modeled in the laboratory using the Iowa gambling task (IGT; Bechara et al., 1994, 1997). This card-based task simulates real-life decision-making since the subjects deduce the best of several options trial by trial to maximize monetary gains. The contingencies are arranged so that some decks are associated with a higher immediate gain but are disadvantageous in the long run due to higher unpredictable penalties, in comparison with advantageous options associated with smaller immediate gain, but also smaller penalties. Most healthy individuals end up by making the best decision within a single session. In contrast, poor decision-making behavior characterizes patients suffering from psychiatric disorders such as attention deficit/hyperactivity disorder, or drug addiction (Dunn et al., 2006). Interestingly, poor decision-making is also observed in a significant proportion of healthy subjects that cannot refrain from choosing disadvantageous options (Bechara and Damasio, 2002; Bechara et al., 2002).

Significant progress has been made in relating poor decision-making in lesioned or psychiatric patients to specific prefrontal cortical areas, notably the orbitofrontal (OF) cortex, the dorsolateral prefrontal cortex (DL), and the anterior part of the cingulate cortex (AC; Bechara et al., 2002; Rushworth et al., 2009). The relative contribution of each of these brain areas in decision-making still remains poorly understood, due to several limitations. These limitations include the anatomical specificity of neuroimaging techniques, the localization of the lesions and also the symptom variability across psychiatric patients. Moreover, the inter-individual variability inherent to the control subjects is also a very important issue that has been poorly addressed in previous research (see Buelow and Suhr, 2009). Yet, recent works have shown that this behavioral variability can be reliably related to specific and differential patterns of frontal activation (Cazalis et al., 2003; Carlson et al., 2009; George and Koob, 2010; McGuire and Botvinick, 2010).

In an attempt to resolve these issues, several tasks assessing decision-making in the rat were developed (Van Den Bos et al., 2006; Pais-Vieira et al., 2007; Zeeb et al., 2009). We recently devised a rat version of the IGT, the rat gambling task (RGT; Rivalan et al., 2009), based on the same principle. This task tracks, as it does for humans, the continuous and dynamic process of deduction and readjustment of choice in a complex and ambiguous situation, within a single session. A majority of good decision-makers can solve the RGT, following a rapid, experience-based evaluation and subsequent deduction of the best options. In contrast, some poor decision-makers prefer larger immediate rewards despite suffering...
large losses, whereas a minority of rats has no preference. The parallel between behaviors in humans and rodents suggests the recruitment of similar cognitive processes and resources to solve these tasks. As such, the RGT is particularly appropriate for an easy exploration of the neurobiological bases that account for good and poor decision-making. Therefore, we investigated the effects of specific prefrontal cortical area lesions in the anterior cingulate, OF; and prelimbic (PL) cortices, on the time-course of decision-making in the RGT, with a special focus on inter-individual differences in behavioral responding.

MATERIALS AND METHODS

APPARATUS

Twelve identical operant chambers (Imetronic, Pessac, France; adapted from five-choice serial reaction time chambers; Blondeau and Delu-Hagedorn, 2007) were used for the RGT. Four circular holes were available on a curved wall, two on the left and two on the right side, and could be dimly illuminated with a white led located at their rear. A food magazine on the opposite wall was connected to an external dispenser delivering food pellets (45 mg, formula P, Bioserv, USA). A clear vertical Plexiglas partition (28 cm × 0.5 cm × 30 cm) with a central opening (7 cm × 7 cm) was placed across the middle of the chamber, parallel to the food wall. This partition allowed an equal distance to each nose-poke hole and avoids thigmotaxic behavior.

SUBJECTS

Sixty-six male Wistar Han rats (Charles River, Lyon, France), weighing 200–250 g when received, were used in two identical replications of the experiment. The rats were housed in groups of four in a temperature-controlled room (23°C) on an inverted 12 h light/dark cycle (light on at 8:00 p.m.). Behavioral measures always began at least 1 h after the beginning of the dark phase of the cycle. Before behavioral training, rats were handled for a few minutes every day. During training and testing phases, rats were moderately food-deprived but had free access to water. Food rationing was adjusted to maintain their weight to approximately 95% of free-feeding weight. All experiments were performed in accordance with the European Communities Council Directive of November 24, 1986 (86/609/EEC).

SURGERY

Rats were anesthetized using ketamine (i.p, 100–90 mg/kg) after analgesia with xylazine (i.p, 12–10 mg/kg), and then placed in a Kopf stereotaxic frame (Kopf Instrument, Tujunga, CA, USA) in a flat skull position. Local anesthetic (xylocaïne) was sprayed on the skin of the head before incision. The bone of the skull above the region to be lesioned was removed using a high-speed drill. N-methyl-d-aspartate (NMDA, Sigma-Aldrich) was dissolved in phosphate buffer saline (0.2 M) to provide a solution with a concentration of 20 mg/ml. This solution was injected into the brain through a glass pipette glued onto the end of the needle of a 5-μl Hamilton syringe held with a microjector – micromanipulator (Imetronic, Pessac, France). Injections were made manually at a rate of 0.1 μl/30 s and the pipette was left in place for 3 min after the injection to allow diffusion of the solution into the tissue. PL cortex lesions were made with three bilateral infusions of NMDA: AP + 3.8, ML ± 0.6, DV − 3.8 (0.1 μl); AP + 3.2, ML ± 0.6, DV − 3.6 (0.1 μl); AP + 2.5, ML ± 0.6, DV − 3.4 (0.1 μl) (adapted from Killcross and Coutureau, 2003). Anterior–posterior and mediolateral coordinates were measured (in millimeters) relative to bregma, whereas dorsoventral coordinates were measured from brain surface. OF cortex lesions were made with three bilateral infusions of NMDA: AP + 4.2, ML ± 0.9, DV − 4.4 (0.1 μl); AP + 3.7, ML ± 2, DV − 4.5 (0.1 μl); AP + 3.2, ML ± 2.6, DV − 5.2 (0.1 μl) (adapted from Rudebeck et al., 2006). Anterior cingulate cortex (AC) lesions were made with four bilateral infusions of NMDA: AP + 2.3, ML ± 0.5, DV − 1.9 (0.1 μl); AP + 1.6, ML ± 0.5, DV − 2.4 (0.2 μl); AP + 0.9, ML ± 0.5, DV − 2.4 (0.2 μl); AP + 0.2, ML ± 0.5, DV − 2.2 (0.1 μl) (adapted from Rudebeck et al., 2006). Rats in the Sham group were given a similar surgical procedure, but the dura was simply breached with a needle tip, and no injection was given. All subjects recovered for a minimum period of 14 days after surgery with ad libitum access to food and water. Body weights were controlled daily.

HISTOLOGY

After behavioral testing, animals received a lethal dose of sodium pentobarbital and were perfused transcardially with saline (0.9%) and then by 10% formaldehyde solution. The brains were then removed and post-fixed in 10% formaldehyde solution at −4°C. After post-fixation, the brains were transferred in a 0.1-M phosphate-buffered 20% sucrose solution and remained at −4°C for 24 h. Coronal sections (50 μm thick) were cut using a freezing microtome (−20°C). The sections were collected onto gelatin-coated slides and dried at room temperature for 36 h before being stained with thionin. Histological analysis was performed by experimenters blind to the lesion condition. The extent of lesion was determined by microscopically examining slides for gross morphological changes, gliosis, and scarring. Reconstructions were drawn from sections with reference to the atlas of Paxinos and Watson (1997).

BEHAVIORAL PROCEDURE

The training and test procedures were identical to that previously described (Rivalan et al., 2009). The effects of the lesions in the RGT were tested in naive animals, since previous experience in the task changes the behavior: rats remember the rules of the task described (Rivalan et al., 2009). Rats were nevertheless accustomized to the experimental set up prior surgery.

Training

Prior to surgery, rats were initially trained to associate two consecutive nose-pokes in the same hole in order to obtain a reward, for 5 days. Rats could freely choose between the four holes during daily sessions (all rats sample the four holes), until they obtained 100 pellets within one session. When a nose-poke was made, only this hole remained illuminated until the pellet was collected. Afterward, the next trial immediately began with all the holes lighted-on. After recovery from surgery, rats were re-trained for two more days, to control the effects of the lesions on animal behavior in the task. The general ability to perform
the task (activity and motivation levels) was controlled by the number of nose-pokes per minute, the mean duration of a session before reaching the criterion, as well as hole sampling (number of nose-pokes per option).

**Rat gambling task**

The test consisted in a single 1 h session (or cut-off when 250 pellets were obtained). Rats are faced to the four choice outcomes of the task during the 1-h unique test session, for the first time. In the RGT, rats had free access to the four nose-poke holes (A–D) but each choice was associated with different outcomes (Figure 1). Choice C or D allowed immediate delivery of one pellet, whereas choice A or B delivered two pellets simultaneously. Choices A and B were disadvantageous since they could be followed by unpredictable longer penalties consisting of time-outs during which no reward can be obtained (time-out of 222 and 444 s respectively) compared to advantageous choices (C and D, 12 and 6 s). Penalties occurred according to a low probability (¼) for B and C and to a high probability (½) for A and D. During the penalty, the chosen hole remained illuminated to facilitate association between each choice and its consequences. A brief extinction of this light (1 s) signaled the end of the time-out. The four hole lights were then illuminated again to allow the rat to make a new choice. Trials not associated with a penalty had no time-out. The theoretical maximum gain was the same for choices (C and D) and was five times higher than for both choices (A and B; lower probabilities of a penalty are compensated by a longer duration of the penalty).

**RGT after learning of choice outcomes**

We tested the possibility that inflexible and undecided behaviors of lesioned rats could have been modified in easier RGT conditions. The order of presentation was counterbalanced for each session and their respective outcomes identical to previous ones. The test consisted in a single 1 h session (or cut-off when 250 pellets were obtained). The animals were then tested in the RGT the following day.

**STATISTICAL DATA ANALYSIS**

Comparisons of scores (mean ± SEM) with random choice (50%) in the RGT were made using a two-tailed t-test for groups and for subgroups of good and poor decision-makers with homogenized scores. Comparisons of scores between groups were made with ANOVAs. Comparisons of proportions of the subgroups were made using the Fisher exact test for 2 × 2 data (lesioned vs. shams comparisons), or the Pearson’s Chi-square exact test for independence (difference from chance level). Exact Chi-square and Fisher tests are considered as being the most appropriate analysis for comparing proportions of small samples (Agresti, 1992). Comparisons of choices during training and test sessions were made by measuring proportions of individuals with similar preferences [more than 60% preference for the same options (AB, or CD) or indifference in both cases]; or different preferences. These comparisons were made using the Chi-square exact goodness-of-fit-test.

**RESULTS**

**IMPACT OF THE LESIONS ON THE GENERAL ABILITY TO PERFORM THE TASK**

Lesions of the prefrontal areas had no effect on the general ability to behave during the training sessions. Levels of activity, motivation, and sampling of the holes were unchanged.

Surgery effects on levels of activity and motivation were controlled by comparing the mean number of nose-poke per minute and the total duration of the task before reaching the criterion, during the last training session before surgery and, after surgery, during the last training session before testing. A small decrease in the number of nose-poke per minute was observed for all the rats after surgery [16.8 ± 0.5 vs. 15.0 ± 0.6 visits, n = 55; ANOVA, F(1,51) = 8.12; p < 0.01]. However, this decrease was observed similarly for the four groups [interaction effect of surgery × group, ANOVA, F(3,51) = 0.26, ns].

Surgery had no general effect on the mean duration of training sessions [before: 548 ± 18 s vs. after: 592 ± 21 s, n = 55; ANOVA, F(1,51) = 2.62, ns], whatever the group [interaction effect of surgery × group, ANOVA, F(3,51) = 0.48, ns]. Although a preference for a hole could be observed individually, no significant preference for any of the four options was observed in any group during training performed either before surgery [interaction number of visits for each option × group; ANOVA, F(9,156) = 0.73, ns] or after surgery [ANOVA, F(9,156) = 0.4, ns]. Surgery had no significant effect on the level of preference for one option during training whatever the group [interaction between largest percentage of choice for one option before and after surgery × group; ANOVA, F(3,52) = 0.31, ns].

During the testing day, there were no weight differences between each lesion group [Sham: 370 ± 7.0 g; AC: 363 ± 7.8 g; OF: 356 ± 7.0 g; PL: 375 ± 7.4 g; F(3,50) = 1.86, ns]. There was no lesion effect on the general activity [total number of nose-pokes in the four holes in the last training phase; F(3,51) = 1.98 ns] of each lesion group in training after lesion and before testing. There was no lesion effect on the number of nose-pokes in the four holes in the last training session after lesion and before testing. There was no lesion effect on the number of nose-pokes in the four holes in the last training session after lesion and before testing. There was no lesion effect on the number of nose-pokes in the four holes in the last training session after lesion and before testing.

**FIGURE 1 | Principle of the rat gambling task.** Rats can nose-poke among four different holes (A–D) to obtain food reward during a 1-h session. The selection of one option is immediately rewarded (food pellet) but can also be followed by a penalty (time-out) of variable intensity. Two options (C, D) are equally more advantageous than the two others (A, B), which are equally disadvantageous in the long term (see Rivalan et al., 2009 for more details).
no lesion effect on motivation to retrieve their reward as shown by
the mean latency to collect food pellet during the test [14.5 ± 0.6
(sham); 13.6 ± 0.8 (AC); 15.4 ± 0.7 (OF); 14.8 ± 1.2 (PL) 1/10 s);
ANOVA, F(1,3) = 0.79, ns]. These data indicate that none of these
prefrontal cortex area is involved directly in primary motivational
processes, nor in general motor activity.

**BEHAVIORS MEASURED IN THE RGT**

Typically (as we observed in many distinct experiments so far),
good decision-making was characterized by individuals that
first chose randomly and then, progressively orientate their
preference toward the more advantageous options by deduc-
tion, trials after trials. They end up making more than 70% of
advantageous choices during the last 20 min of test (criterions
for good decision-making). In contrast, poor decision-makers
sample the different options before early developing a strong and
stable preference for the disadvantageous options. They make
less than 30% of advantageous choices during the last 30 min
of test (criterions for poor decision-making). Undecided rats
do not show any stable preference for either option all along
the test. It is noteworthy that choices in the RGT of undecided,
good and poor decision-making rat groups were never related
to spatial preference sometimes developed during training, as
previously described (Rivalan et al., 2009). In lesioned groups,
a new inflexible behavior was characterized by rats that imme-
diately preferred the pair of holes situated on the same side that
they preferred during training (mean side preference during the
last training day) and persisted in choosing them throughout
the test without evaluating the others. This inflexible behavior
could be distinguished from good and poor decision-making
by a high percentage of choices (criterion: more than 85%) on
the same options during the first 30 min of the test. Moreover,
AC lesion delayed good decision-making compared to sham.
Delayed good decision-making is considered when scores are
below 70% at 30 min.

**LESION-INDUCED BEHAVIORAL CHANGES IN DECISION-MAKING**

As previously described (Rivalan et al., 2009), good (56%), unde-
cided (25%), and poor (19%) decision-makers could be identi-
fied in the RGT out of the sham lesioned rats. No preference was
observed in any subgroup during the first 10 min after which
the three subgroups differed on their within-session pattern of
choice (Figures 3B and 4D). Good decision-makers earned sig-
nificantly more food pellets across the session (174 ± 13) than
undecided (92 ± 9) and poor decision-makers [72 ± 5; ANOVA,
F(1,2) = 15.13, p < 0.001]. Proportion of individuals with analo-
gous choices during training and testing did not significantly
differ from chance level (Chi-square exact goodness-of-fit test,
χ^2 = 0.023; p = 1; ns).

The effects of the lesions revealed the critical role of the three
prefrontal cortex areas in the resolution of the RGT. Overall, these
three lesions impaired decision-making (Table 1), and induced new
patterns of behavior in the RGT (detailed below). Detailed analy-
sis revealed that the deficits induced by AC vs. PL and OF lesions
induced different patterns of behaviors, in varying proportions.
It is noteworthy that the proportion of side bias during training
(before lesion) did not differ between sham and lesioned groups.

The proportion of good decision-makers was similar after
AC lesions (50%; Fisher’s exact test, p = 0.51) compared to the
sham group (56%) but was reduced by half in OF and PL lesioned
groups (25 and 23% respectively; p = 0.1 and p = 0.7 respectively;
Figure 3A). However, although the AC good performers managed
to select the advantageous options by the end of the task, they
showed delayed decision-making compared to shams (Figure 3B).
Whereas eight out of nine good performers already had a score above
70% at 30 min, this was not yet the case for five out of seven AC good
decision-makers: the proportion of those with delayed decision-
making process among the good decision-makers was significantly
higher than for the shams (71 vs. 11%; Fisher’s exact test, AC vs.
sham, p = 0.024; Figure 3A). This particular form of behavior
is shown in Figure 6B and clearly illustrated by the individual
scores of the two groups at midcourse (Figure 3C). From 14 to
23% of lesioned good decision-makers behaving similarly to those
observed in shams (see Figure 3D), remained in all lesioned groups.

Conversely, the proportion of maladapted decision-makers
increased in OF and PL lesioned groups (Figure 4A). Among
maladapted decision-makers of the three lesioned groups, inflex-
bility in behavior, emerged that was never observed in the sham
rats. As opposed to shams, all rats with inflexible behavior (1) did
not sample the four options at the beginning of the session but
immediately demonstrated a preference as shown by their score
during the first 10 min. (2) They remained on the holes situated
on the side that they preferred during training (mean side prefer-
cence during the last training day: 77.4 ± 2.9%) and persisted in
choosing them throughout the test without evaluating the oth-
ers (Figure 4B). This inflexible behavior resulted in more than
85% of choices on the same options during the first 30 min of the
test, a score never reached by any of the good, poor, or undecided
decision-makers in any group. A large proportion of rats exhibiting
this behavior was observed in OF and PL lesion groups (50 and
38% respectively; Fisher’s exact test for comparison with the shams,
p = 0.002 and p = 0.011) and to a lesser extent in AC rats (21%;
p = 0.089; Figures 4A,B). The proportion of AC rats with analogous
choice during training and testing did not significantly differ from
chance level (Chi-square exact goodness-of-fit test = 1.2; p = 0.41;
ns). However, the probabilities of this proportion differing from
chance were much higher for OF and PL rats (χ^2 = 3.86, p = 0.062
and χ^2 = 4.8; p = 0.05 respectively).

Beside these inflexible rats, 7 and 17% of undecided decision-
makers were observed in the OF and AC lesion groups respectively,
behaving like undecided shams and in similar proportions (Fisher’s
exact test for comparison with the shams, p = 0.48 and p = 0.35,
respectively; Figure 4C). This behavior was exhibited by the half of
maladaptive PL (38%) that demonstrated similar undecided behav-
ior during testing with large variations in their choices. These unde-
cided rats had no marked preference for any hole even during training
(mean side preference during the last training day: 63.8 ± 3.4%).

About 21.5% of poor decision-makers, behaving similarly to those
observed in shams (Figure 4D), remained in the AC lesion
group in identical proportion to that of shams (19%; Fisher’s exact
test, AC vs. sham, p = 1), whereas they almost disappeared in PL
(0%) and OF (8%) groups lesion (Figure 4A; Fisher’s exact test,
PL and OF vs. sham, p = 0.23 and p = 0.60 respectively). These
poor decision-makers sampled the four options during the five first
Figure 2 | Representation of the largest (dark shading) and smallest (light shading) lesions at each level of the (A) Anterior Cingulate cortex (B) Orbitofrontal cortex, and (C) Prelimbic cortex lesion groups. Outlines are reproduced from Paxinos and Watson (1997), and represent sections ranging from −0.8 to 5.2 mm anterior to bregma. Microphotographs of representative lesions of the (D) Anterior Cingulate cortex, Orbitofrontal cortex (E), and Prelimbic cortex (F) lesion groups and a schematic representation of coronal sections where photographs were taken, located respectively at 4.7, 3.2, and 0.48 mm anterior to bregma.

Figure 3 | (A) Proportions of good decision-makers including proportion of delayed good performers (scattered bar) are represented for each group. (B) Sham and AC delayed good decision-makers’ mean time-course of advantageous choices during the RGT (mean ± SEM). (C) Individual percentage of advantageous choices at 30 min of test, in sham and AC good decision-makers. (D) Sham and AC good decision-makers’ (star symbol) mean time-course of advantageous choices during the RGT (mean ± SEM). Comparison with no preference level (gray line) t-test, *p < 0.05, **p < 0.01, ***p < 0.001.
The sham rat had substantial damages located in the secondary motor cortex area M2 and was therefore excluded from the study. The relationships between the size of the anterior cingulate, OF, and PL cortex lesions and the behaviors in the RGT were evaluated (see Table A1 in Appendix). Among lesioned rats, two AC, four OF, and four PL were excluded from the analyses because the lesion size was not significant (less than 50% of the area on more than two consecutive histological 50 μm slices). The final group sizes were: 16 sham rats, 14 AC, 12 OF, and 13 PL. All AC lesions were more anterior to the PL area (maximum +2.7). Each behavioral pattern in the RGT could be induced by any of the prefrontal cortex lesion size.

**Discussion**

Using our rat version of the human IGT, we show that the prefrontal cortex plays a critical role in the resolution of the task and that the effects of distinct prefrontal cortex area lesions vary according to the subject.

As previously shown (Rivalan et al., 2009), the RGT is efficient in revealing inter-individual differences since the majority of the control rats can evaluate and progressively deduce favorable options within a 1-h session, despite the complexity of the task, whereas other rats systematically choose the disadvantageous options.

**Histological results**

The largest and smallest lesions of each prefrontal cortex area, as well as photomicrographs of representative lesions, are represented in Figure 2. Histological control of the brains revealed that one sham rat had substantial damages located in the secondary motor cortex area M2 and was therefore excluded from the study. The relationships between the size of the anterior cingulate, OF, and PL cortex lesions and the behaviors in the RGT were evaluated (see Table A1 in Appendix). Among lesioned rats, two AC, four OF, and four PL were excluded from the analyses because the lesion size was not significant (less than 50% of the area on more than two consecutive histological 50 μm slices). The final group sizes were: 16 sham rats, 14 AC, 12 OF, and 13 PL. All AC lesions were more anterior to the PL area (maximum +2.7). Each behavioral pattern in the RGT could be induced by any of the prefrontal cortex lesion size.

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We found that three key prefrontal cortex sub areas, namely the OF cortex, the PL cortex, and the cingulate cortex (AC) are solicited for decision-making in the RGT. Such a pattern of results indicates that decision processes might result from coordinated activity within the prefrontal areas, as suggested by recent evidence (Endepols et al., 2010).

It is likely that the coordination of decision-making within the prefrontal sub areas reflects the integration of different forms of cost-benefit decision processes. In fact, previous research suggests that time-related choice as assessed in delay-discounting tasks involves the integrity of the OF and the PL, but not of the AC (Cardinal et al., 2001; Mobini et al., 2002; Winstanley et al., 2004).

**Table 1 | Number and percentage of individuals exhibiting the behaviors observed in the RGT in the sham and lesioned groups in the anterior cingulate (AC), orbitofrontal (OF), prelimbic (PL) cortices.**

| Number of individuals | Sham (n = 16) | AC (n = 14) | OF (n = 12) | PL (n = 13) |
|-----------------------|---------------|-------------|-------------|-------------|
| Good decision-making  | n (%)         | n (%)       | n (%)       | n (%)       |
| Delayed good decision*| 1 (6)         | 5 (36)      | 1 (8)       | 0           |
| Poor decision-making  | 3 (19)        | 3 (21.5)    | 1 (8)       | 0           |
| Undecided behavior    | 4 (25)        | 1 (7)       | 2 (17)      | 5 (38.5)    |
| Inflexible behavior   | 0             | 3 (21.5)    | 6 (50)      | 5 (38.5)    |

*Delayed good decision-making is considered when percentage of choices for favorable options is below 70% at 30 min.

**Figure 4 | (A) Proportions of maladaptive behavior in RGT are represented for each group. Maladaptive behavior consists in poor decision-making (preference for disadvantageous options), undecided behavior (no preference for any option), and inflexible behavior (marked preference related to a spatial preference developed during training). Mean time-course of choices (mean ± SEM) of each group displaying inflexible (B), undecided behavior (C) as well as poor decision-makers (AC and OF lesioned rats pooled) (D). Gray line represents no preference level, 50%. Comparison of scores of inflexible lesioned rats with no preference level (gray line) t-test; ***p < 0.001.
Floresco et al., 2008). In contrast, various data suggests that decisions related to the effort required to get access to optimal reward depends on the integrity of the AC, but not OF or PL (Walton et al., 2003; Rudebeck et al., 2006). Risky decision-making, as assessed in tasks similar to the one used in the present study, has been shown to depend upon the OF (Pais-Vieira et al., 2007; Zeeb and Winstanley, 2011). It is important to note that decision-making in each of the abovementioned tasks additionally involves attentional and mnesic processes, which have also been shown to depend on the integrity of the medial prefrontal cortex (see Granon et al., 1994; Muir et al., 1996).

In addition to these prefrontal areas, recent data have demonstrated that the coordination of decision-making in relation to cost-benefit processes involves extended neural circuits (Cardinal, 2006). This research has demonstrated in particular that effort-related decision processes might depend upon the relationship between the AC and accumbens regions (Hauber and Sommer, 2009). This research has also provided evidence that the amygdala sends important information related to the integration of outcome representation (Balleine and Killcross, 2006).

In the present study, we found that the effects of the lesions were far from homogeneous, suggesting various levels of adaptability according to the individual. Some lesioned individuals behaved like controls whereas others were just less efficient, as shown by delayed good decision-making predominantly induced by AC lesion. Others were drastically impaired, as observed for PL and OF lesions that predominantly induced various patterns of inadapted behaviors, i.e., undecided and inflexible behaviors. Inflexible behavior in the RGT was largely induced by OF and PL, but also in a few AC. This behavior was characterized by choices similar to those preferred during training, reflecting an inability to detect changes in condition contingencies and to adapt preferences toward a specific option. The possibility that these impaired lesioned rats could have solved the RGT in easier conditions can be excluded since we failed to observe any improvement after previous learning of choice outcomes. It is therefore tempting to suggest that this variation in decision-making in the impact of sub area lesioning is reflected in individual variation in the neural circuit of basic cognitive processes.

Several studies in the literature highlight the role of OF, PL, and AC in behavioral flexibility, but their respective involvement in this process does not seem to rely on the same mechanisms. This functional differentiation is supported by differences in the anatomical connections to these regions (Mohaddam and Homayoun, 2008). Lesions or drug infusions in the OF impair reversal learning which requires a shift of a specific choice pattern, and induce perseverative responding (Bohn et al., 2003; Chudasama and Robbins, 2003; Mcalonan and Brown, 2003). The OF cortex receives prominent inputs from sensory associative cortices, as well as from the hypothalamus and amygdala, suggesting that it plays a role in integrating potentially salient information about environmental contingencies (Ongur and Price, 2000). Indeed, converging evidence indicates that the main role of the OF is to signal the value of an expected outcome (Stalnaker et al., 2009). Damage to the OF may therefore prevent the adequate integration of information about the consequences of responding for a signaled reward and the subjective value of that rewarding outcome (Schoenbaum et al., 1999; Ostlund and Balleine, 2007). Accordingly, OF lesioned rats impaired in the RGT could not perceive the change in the contingencies’ values between training and the test, inducing perseverative responding because OF lesioned rats continue to encode the old contingencies or fail to encode the new ones (Delamater, 2007).

The mPFC is crucial for coordinating actions and habits and current research suggests that the PL is a key component of the neural circuit regulating goal-directed responding both in rodents and primates (Balleine and O’doherty, 2010). PL lesions induce behavioral alterations in adaptation to both outcome value and reward contingency changes, that might have rendered behavior habit-based (Corbit and Balleine, 2003; Killcross and Coutureau, 2003; Ostlund and Balleine, 2005; Coutureau et al., 2009). Altered behavior of PL lesioned rats in the present ambiguous decision-making task might therefore be taken as a further argument of habit-based responding following PL lesions. It is worth noting that mPFC dopaminergic signal arising from the ventral tegmental area has recently been shown to trigger adaptation to reward contingency changes, likely through a prediction error signal mechanism (Naneix et al., 2009). As a consequence, perseverative responding in PL rats likely results from an inability to detect action-outcome contingency variations whether rats are good or bad decision-makers.

The AC is strongly interconnected with OF and PL (Paus et al., 2001; Heidbreder and Groenewegen, 2003; Wang et al., 2004), suggesting tight functional interactions. Data in humans showed that AC and dIPFC, that play similar roles to the rodent PL, are both engaged in task switching, so that the AC monitors and detects the presence of conflict related to actions, whereas the dIPFC engages a top-down process required to deal with it (Hyafil et al., 2009). A current modeling suggests that the AC signals error-likelihood (Sallet et al., 2007) since it predicts that the more cognitive control is required due to increased task demand, the more the AC is activated (Brown and Braver, 2005). This model is consistent with the proposed key role of the AC in choosing appropriate actions when the environment is uncertain or dynamic (Kennerley et al., 2006; Quilodran et al., 2008), and in combining information about the costs and benefits associated with alternative actions (Rudebeck et al., 2006). Indeed, several data in the rat suggest that the complexity of the task is a critical factor that engages the AC (Ragozzino and Rozman, 2007). In the RGT, the AC could be engaged specifically when the task difficulty is enhanced, by signaling the utilities associated with the different options. This could explain why AC lesioned good decision-makers are slower, as observed when decision-making had become more demanding by increased task difficulty (Rivalan et al., 2009). In contrast, the AC may not be engaged in the same manner in individuals that do not take into account the complexity of the task, leading to inflexible behavior or to an absence of effect in these individuals (AC lesioned poor decision-makers are in the same proportions as shams and behave similarly). Recently, findings in humans revealed that the AC is also involved in risky decision-making (De Martino et al., 2006; Brown and Braver, 2008), a characteristic of rat poor decision-makers (Rivalan et al., 2009). Indeed, choosing high-risk decisions is associated with different patterns of OF and AC activations (Cohen et al., 2005), and higher risk-proneness demonstrated by substance abusers correlates with hypoactivity of the AC (Brown and Braver, 2007, 2008). These
results could explain the absence of effect of AC lesion in some individuals, an area which could be disengaged in these risk-prone poor decision-makers.

Strikingly, lesions had no effect in some individuals that exhibited good decision-making, suggesting that compensatory mechanisms could be involved. It is likely that the recruitment of alternative cognitive resources within the prefrontal network, combined with subcortical areas like the striatum, allows some individuals to perform the task. This area is involved in encoding S–R association and in selecting pertinent information related to the expected reward (Hassani et al., 2001; Stalnaker et al., 2009).

CONCLUSION

This study demonstrates that three distinct subregions of the rat prefrontal cortex are involved in resolution of a complex decision-making task and, more importantly, it reveals varying degrees of functional specialization of these subregions that largely depends on the individuals. This behavioral variability in prefrontal cortex area recruitment may depend on (1) the inter-individual capacities of the rat to solve the task, as shown by the AC lesion effects, probably because the prefrontal network is differentially engaged according to the rat’s natural ability to cope with the balance between risk and “wisdom” (Tobler et al., 2009); and (2) the ability to recruit alternative brain networks to compensate for the deficit. The inter-individual differences in lesion studies should be considered in the future since they could constitute an important bias that may explain contradictions in the literature.

Finally, our model should promote research on the psychobiological bases and brain networks involved in good and poor decision-making, as it allows a rapid and reliable assessment of executive functions, with a high degree of comparability to human performance. It provides a promising tool in the search for vulnerability markers of poor decision-making and related psychiatric disorders.

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### Table A1 | Number of subjects exhibiting each kind of behavior, according to the lesion extent of the anterior cingulate (AC), orbitofrontal (OF), and prelimbic (PL) cortices.

| Lesion size | AC | OF | PL |
|-------------|----|----|----|
| Lesion size | 1  | 2  | 3  | 1  | 2  | 3  | 1  | 2  | 3  |
| Good decision-makers | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Poor decision-makers | 1 | 1 | 1 | 1 |
| Undecided behavior | 1 | 1 | 1 | 1 | 1 | 3 |
| Inflexible behavior | 1 | 2 | 2 | 2 | 1 | 4 |
| Delayed good decision-makers | 2 | 2 | 1 | 1 |
| Total number of subjects for each lesion size | 5 | 6 | 3 | 3 | 6 | 3 | 6 | 4 |

Three extents of lesion size were chosen arbitrarily: the overall cerebral structure was lesioned (between 80 and 100%) on every histological section (degree 3); the structure was not completely lesioned on some histological sections (from 60 to 80%; degree 2); and the structure was significantly but not completely lesioned (from 50 to 60%) in most of the histological sections (degree 1).