Psychological status and intervention strategy of maintenance hemodialysis patients in COVID-19 epidemic

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Abstract

Background An outbreak of novel coronavirus disease 2019 (COVID-19) is a newly discovered contagious disease, which first broke out in China. Based on the psychological state, maintenance hemodialysis (MHD) patients might bear psychological problems under the COVID-19 epidemic situation. To evaluate the psychological health of MHD patients and explore the corresponding intervention strategies.

Methods A cluster sampling approach was employed to obtain a sample of 206 MHD patients from two hospitals in Yunnan province: a COVID-19-designated hospital and a non-COVID-19-designated hospital. Post-traumatic stress disorder (PTSD) checklist was used to assess the psychological status, while Kidney Disease and Quality of Life – Short Form (KDQOL-SF) was applied to assess the quality of life (QOL). The factors influencing the psychological status in MHD patients were employed by binary logistic regression.

Results Among MHD participants (age 53.4 ± 15.3 years), 61 cases showed mild to moderate positive PTSD, and 72 cases presented severe positive PTSD. The level of education, capital income, hospital, depressive tendency, and QOL were the influence factors for the occurrence of PTSD. Moreover, the PTSD was negatively correlated with QOL and positively correlated with COVID-19-designated hospital and the depressive tendency.

Conclusion During the COVID-19 epidemic, MHD patients, especially in COVID-19-designated hospitals, with low QOL and depressive tendency had a high incidence of positive PTSD, which requires prompt psychological counseling and effective measures from medical staff to ensure timely treatment of patients.

Background In late 2019, an outbreak of novel coronavirus disease 2019 (COVID-19) is a newly discovered contagious disease caused by SARS-CoV-2 virus, which first broke out in China, primarily manifesting as severe respiratory illness and human-to-human transmission, but can affect multiple organs, such as kidney, digestive tract, heart, and blood system. It is rapidly spreading worldwide has raised global concerns [1, 2]. After 7 months, we are still experiencing a devastating pandemic with local outbreaks across all continents. By the end of June 2020, the World Health Organization (WHO) reported more than 10,000,000 confirmed COVID-19 cases and 500,000 deaths across 210 countries and regions. The infection has developed into a world emerging health threat [3].

In order to contain the spread of this disease, scientists from various countries have focused their efforts on the study of its epidemiology, clinical features, modes of transmission, and the management of COVID-19. Although with the advanced medical science and resources, the impact on the public was direct and realistic with prolonged duration and wide coverage; also, it might cause a series of psychological problems [4].
Reportedly, viral RNA has been identified in both kidney tissue and urine; moreover, infectious SARS-CoV-2 was successfully isolated from semen and urine of a COVID-19 patient [5]. Also, maintenance hemodialysis (MHD) patients developed COVID-19 infection in hemodialysis (HD) centers [6–8]. An HD center is the unit with concentrated population and high mobility. MHD patients need to go to the HD centers for HD 3 times a week for 4 h each time. During the frequent trips between the HD center and home, the risk of COVID-19 infection could be high. Moreover, these patients are accompanied by various complications [9]. Based on the psychological state, MHD patients might bear more psychological problems under the epidemic situation than the normal population.

Thus, the present study evaluated the psychological health level of MHD patients under the COVID-19 epidemic situation in order to provide emergency psychological intervention strategies.

**Methods**

**Study area, population, and sampling techniques**

A cross-sectional survey was conducted in May 2020. A cluster sampling approach was employed to extract a sample of 206 MHD patients. The COVID-19-designated hospital is responsible for unified screening of suspected COVID-19 patients and treating confirmed COVID-19 patients, while the non-COVID-19-designated hospital is not responsible for screening and treating COVID-19 patients. The First Affiliated Hospital of Kunming Medical University is the COVID-19-designated hospital, while Kunming Tongren Hospital is the non-COVID-19-designated hospital. The inclusion criteria were as follows: patient's glomerular filtration rate < 15 mL/min.1.73 m²; receive HD three times/week for 4 h each time over a period longer than 3 months; aged ≥ 18 years. The exclusion criteria were as follows: the induction period of hemodialysis, recent major surgery, dottiness, malignant tumors, and patients who were unwilling to cooperate.

**Measuring tools**

Post-traumatic stress disorder (PTSD) is a psychological disorder characterized by intense fear, helplessness, and avoidance, resulting from exposure to a traumatic event or catastrophic life event. It is clinically manifested with three main syndromes: re-experiencing, avoidance behavior or numbness, and physiological hyperarousal [10, 11]. The current study used the post-traumatic checklist scale (PCLS-L) to measure the PTSD of MHD patients. PCLS-L is a brief and self-report questionnaire for evaluating the severity of three main syndromes in PTSD [12]. It encompasses a wide variety of three projects, which is divided into 17 items, such as items 1–5 (re-experiencing), items 6–12 (avoidance), and items 13–17 (hyperarousal syndrome). Each item was subjected to five-level scoring to accumulate the total score. The range of scores was 17–85; the higher score implied the higher likelihood of suffering PTSD. Normal: the total score is 17–37; mild and moderate PTSD: 38–49; severe of PTSD: 50–85 [13, 14].

Kidney Disease and Quality of Life–Short Form (KDQOL-SF) can be defined as a kidney patient’s usual or expected physical, emotional well-being, or social experience that consists a 36-item short form health
survey (SF36) and kidney disease target areas (KDTAs). It is a comprehensive assessment of general health status and kidney disease-related clinical characteristics[15]. KDQOL-SF is an overall assessment of QOL in MHD patients.

Data collection

Four doctors and two medical students were educated as interviewers for data collection. The questionnaire included demographic characteristics, the age of onset, complications, the duration of treatment, transportation, educational level, income, PCLS-L, and KDQOL-SFTM. The capital income was adjusted to 2020 values and converted to USD using the official conversion rate in 2020 ($1.00 = ¥7.13).

Statistical Analysis

SPSS 23.0 software was used for statistical analysis. The enumeration data were expressed as percentages. The quantitative data between two groups were expressed as mean ± standard deviation, while the affecting factors of PTSD were analyzed by binary logistics regression analysis. Statistical significance was based on two-tailed P-values, and a P-value of < 0.05 was considered to be statistically significant.

Results

A total of 215 MHD participants from two HD centers, one is COVID-19-designated hospital, and the other is a non-COVID-19-designated hospital. Of these, 206 consented, representing a response rate of 95.8%. Consequently, a total of 206 valid questionnaires were collected.

General characteristics of the patients

A total of 206 MHD participants, aged ≥ 18 years, underwent MHD, 4 h/session, three times/week. The general characteristics of the patients are presented in Table 1. The cohort consisted of 128 males and 78 females; the ratio of male to female was 1.64, and the average age was 53.4 ± 15.3 (range: 18–90)-years-old. About 69% of the MHD participants were from COVID-19-designated hospitals. The patients in the COVID-19-designated hospital had a higher level of education and capital income than patients in the non-COVID-19-designated hospital group (P < 0.05). Moreover, the patients in COVID-19-designated hospital were younger, while the duration of HD in the non-COVID-19-designated hospital was longer (P < 0.01).
Several studies have suggested that a score of 38 is the critical value in the PCLS-L for PTSD-positive patients [16, 17]. Therefore, the score of ≥ 38 points was classified as positive PTSD in this study. The higher score implied the higher likelihood of suffering from PTSD, which encompasses a wide variety of three projects: re-experiencing, avoidance, and hyperarousal. In this study, the average PCLS-L score was 42.55 ± 14.51 (range: 27–59). A total of 61 cases showed mild to moderate positive PTSD (29.6%) and 72 cases were severe PTCD (35.0%). Table 2 shows the scores of different dimensions among the three projects in PCLS-L. Participants with higher education level had higher scores of PCLS-L (P < 0.05). In addition, MHD patients with higher capital income had higher scores of PCLS-L (P < 0.01). Conversely, the scores of MHD patients in the non-COVID-19-designated hospital were lower than those in the COVID-19-designated hospital (P < 0.01). Also, the scores of patients with depressive tendencies were higher than those without such tendencies (P < 0.01).
Table 2
Dimensional scores of PCLS-L($x \pm S$)

| Variables                  | PCLS-C         |          |          |          |
|----------------------------|----------------|----------|----------|----------|
|                            | Reexperiencing | Avoidance| Hyperarousal| Total     |
| Age                        |                |          |          |          |
| < 60 years                 | 13.03 ± 4.78   | 17.28 ± 6.09 | 12.47 ± 4.31 | 42.78 ± 14.34 |
| ≥ 60 years                 | 12.74 ± 5.25   | 16.90 ± 6.38 | 12.46 ± 4.60 | 42.09 ± 14.95 |
| Gender                     |                |          |          |          |
| Male                       | 12.50 ± 5.07   | 16.66 ± 6.23 | 12.27 ± 4.45 | 41.42 ± 14.70 |
| Female                     | 13.64 ± 4.63   | 17.96 ± 6.04 | 12.79 ± 4.32 | 44.40 ± 14.09 |
| Level of education         |                |          |          |          |
| Primary or lower           | 11.65 ± 5.06** | 15.80 ± 5.90* | 11.61 ± 4.21* | 39.06 ± 14.17* |
| High school                | 13.73 ± 4.50   | 17.69 ± 5.80 | 12.55 ± 4.33 | 43.96 ± 13.63 |
| University or higher       | 13.87 ± 4.79   | 18.37 ± 6.52 | 13.42 ± 4.52 | 45.66 ± 14.83 |
| Capital income (USD)**     |                |          |          |          |
| ≤ 3086                     | 10.80 ± 4.08   | 13.82 ± 4.27 | 10.73 ± 3.54 | 35.35 ± 10.17 |
| > 3086                     | 13.81 ± 4.99   | 18.52 ± 6.33 | 13.18 ± 4.53 | 45.51 ± 15.01 |
| Hospital**                 |                |          |          |          |
| COVID – 19-designated hospital | 15.48 ± 3.54   | 19.80 ± 5.18 | 14.18 ± 3.84 | 49.45 ± 11.29 |
| Non-COVID – 19-designated hospital | 7.28 ± 1.98   | 11.28 ± 3.65 | 8.67 ± 2.95 | 27.23 ± 7.36 |
| Modes of trip              |                |          |          |          |
| Public transport           | 13.57 ± 4.82   | 18.25 ± 6.13 | 13.22 ± 4.38 | 45.04 ± 14.36 |

*P < 0.05, **P < 0.01
## Variables

| Variables                      | PCLS-C |
|-------------------------------|--------|
|                               | Reexperiencing | Avoidance       | Hyperarousal | Total      |
| Personal motor vehicle travel | 12.56 ± 4.97  | 16.51 ± 6.13   | 12.02 ± 4.36 | 41.09 ± 14.46 |
| Depressive tendency**         |        |                |              |            |
| Yes                           | 15.51 ± 3.96 | 20.60 ± 4.93   | 14.95 ± 3.72 | 51.60 ± 11.21 |
| No                            | 10.50 ± 4.53 | 13.90 ± 5.45   | 10.10 ± 3.65 | 34.50 ± 12.65 |
| Total                         | 12.93 ± 4.93 | 17.15 ± 6.18   | 12.47 ± 4.40 | 42.55 ± 14.51 |

*P < 0.05, **P < 0.01

### Influencing factors of PTSD

Binary logistics regression was performed with PCLS-L of MHD patients. The dependent variable is the positive PTSD (0 = asymptomatic, 1 = symptomatic), while age (0 = less than 60 years, 1 = exceed 60 years), level of education (0 = below junior school, 1 = high school, 2 = bachelor’s degree or above), gender (0 = male, 1 = female), per capita income (0 = less than $3086, 1 = more than $3086), hospital (0 = COVID-19-designated hospital, 1 = non-COVID-19-designated hospital), mode of trip (0 = public transport, 1 = personal motor vehicle), duration of treatment (0 = less than 12 months, 1 = exceed 12 months), and depressive tendency (0 = no, 1 = yes) were independent variables (Table 3). The present study revealed that the factors affecting the PCLS-L included hospital (B = 4.331, P < 0.001), depressive tendency (B = 1.639, P < 0.05), and KDQOL-SF (B = 0.076, P < 0.05). The PTSD was positively correlated with COVID-19-designated hospital and depressive tendency but negatively correlated with KDQOL-SF.
Table 3
Influencing factors of PCLS-L in MHD patients

| Variables       | B    | Standard Error | OddsRatio | 95% confidence interval | P   |
|-----------------|------|----------------|-----------|-------------------------|-----|
| Age             | -0.421 | 0.618            | 0.656     | (0.195,2.205)          | 0.496 |
| Level of education | -0.261 | 0.393            | 0.770     | (0.357,1.663)          | 0.506 |
| gender          | -0.305 | 0.544            | 1.357     | (0.467,3.941)          | 0.575 |
| Capital income  | -0.080 | 0.588            | 0.923     | (0.292,2.922)          | 0.892 |
| Hospital        | 4.331  | 0.664            | 0.013     | (0.004,0.048)          | 0.000 |
| Modes of trip   | -0.099 | 0.598            | 0.906     | (0.280,2.926)          | 0.868 |
| Duration of treatment | -0.033 | 0.539          | 0.968     | (0.336,2.784)          | 0.952 |
| Depressive tendency | 1.639  | 0.646            | 5.152     | (1.452,18.280)         | 0.011 |
| KDQOL-SF        | 0.076  | 0.034            | 1.079     | (1.009,1.155)          | 0.026 |

Discussion

The outbreak of COVID-19 is a rare pandemic. The closing of schools, businesses, sporting events, and meetings is a critical element in “social distancing,” a powerful tool to restrict transmission of this disease [18]. Such unprecedented steps create anxiety and uncertainty in humans that is unparalleled in modern history. MHD patients who experience the COVID-19 epidemic situation are vulnerable to emotional pain and other difficulties [19]. The current study quantitatively examined the psychological characteristics of HD patients’ experiences during this epidemic in addition to the influencing factors of PTSD.

Probable causes of psychological crisis in MHD patients in the COVID-19 epidemic

MHD patients constitute a distinct and high-risk group [20], which is often associated with low immunity, decline or even loss the ability of work, substantial economic burden, inability to fulfill family responsibilities and participate in active social life, and suffer from various complications such as, muscle weakness, pruritus, fatigue, diabetic, hypertension, and restless legs. These factors are associated with lower QOL and poor clinical outcomes, leading to a higher risk of psychological crisis in MHD patients than that in the general population [21, 22].

Due to the characteristics of novelty, asymptomatic shedding, long incubation period, COVID-19 can be directly transmitted from person to person, spreading rapidly and exhibiting variable manifestations; thus, the outbreak of COVID-19 is a challenge to the existing health systems [23, 24]. To date, specific treatment and prevention options, such as targeted antiviral drugs and vaccines, are not available [25]. Hence, the WHO recommended traditional public health outbreak response tactics—quarantine, isolation, social
distancing, and community containment [26]. However, in order to obtain hemodialysis, MHD patients need to travel from home to the HD center three times each week for 4 h/session, serving as potential vectors for infection. This same shift of MHD patients should be set in the same closed space in a HD unit, and thus, home isolation cannot be realized. In this study, the risk of PTSD occurrence in COVID-19 designated hospital is higher than that in the non-COVID-19 designated hospital, indicating that MHD patients in COVID-19 designated hospitals were facing more serious psychological stress and more anxious mental health conditions. Moreover, due to the specificity of the HD center, i.e., donning biohazard suits by medical staff managing patients and inquiry into the history of exposure and clinical symptoms, by each shift, which will inevitably bring about strong fear and aggravate the psychological harm in MHD patients [27].

Indubitably, a myriad of incorrect and false information is spread on the Internet. Many citizens express their negative emotions such as anxiety, fear, worry, and tension, through social media, and hence, excessive mass media exposure, including the latest updated epidemic reports, the number of confirmed cases, and increasing death-toll lead to the "information epidemic." The spreading of rumors and difficulty in obtaining trustworthy information further aggravates the anxiety and fear of MHD patients. During the dialysis interphase, the MHD patients were isolated at home, resulting in less contact with the outside world, which increased the feelings of loneliness and helplessness, in turn increasing the risk of psychological crisis.

**Intervention tactics**

Despite the introduction of the psychological first aid manual (PFA) by the WHO in 2005, there has been a lack of psychological support and counseling model based on local cultural characteristics pertaining to MHD. Thus, the management of MHD patients under the COVID-19 pandemic needs to be under intensive focus, especially the MHD patients in COVID-19 designated hospitals who exhibit depressive tendencies.

Firstly, the COVID-19-related and mental health education of MHD patients is strengthened using bulletin board, phone Application, official accountsof WeChat, improving the scientific understanding of the outbreak, and ensuring that every patient (or families/carers) focuses on the official accounts of HD center and join the WeChat group of HD center. The WeChat group and official accounts may provide mental health knowledge and COVID-19-related protection information regularly. For example, the questionnaire survey revealed that few patients know diarrhea as one of the symptoms of COVID-19 [28], but have a poor understanding of the correct disinfection methods at home; the education with respect to such situation is strengthened via WeChat.

Secondly, effective psychological monitoring should be carried out by medical teams, especially in COVID-19 designated hospitals. The medical staff in COVID-19 designated hospitals should recognize that adjustment and intervention measures for mental disorders should be initiated as early as possible. The psychological evaluation form and questionnaire identified the high-risk patients who were then selected for interviews. Targeted professional psychological support was provided by psychological therapists among patients with unstable emotions or obvious depression and anxiety behaviors. In
addition, the medical staff of the HD unit should provide appropriate humane care to enhance the sense of belonging and social support in MHD patients [29].

Finally, if medical conditions permit, the ventilation and alexipharmic environment should be recommended in the HD unit. The access control system and epidemic buffer zone should be set up, attaining the pre-examination in batches to reduce the aggregation of patients, thereby creating a comfortable, clean, and relaxed environment in the HD unit. During the dialysis interphase, the patients' communication with family members should be increased, such as perform chores and enjoy entertainment together, which would reduce negative emotions, maintain a balanced diet, and gain adequate sleep. Therefore, an optimistic and healthystate of mind is created.

Conclusions

COVID-19 poses a severe threat to the mental health of both medical staff and MHD patients. MHD patients with low QOL, depressive tendency, and in COVID-19-designated hospital, have a high incidence of positive PTSD. Early detection of mental problems and interventions are crucial. Medical staff, MHD patients, and their families work together to establish the corresponding intervention strategies.

Limitations

The present study has some limitations. First, it is a cross-sectional study, and hence, prospective researches with large samples should be employed to further confirm the conclusions. Secondly, this is the first outbreak of COVID-19, lacking baseline data. Finally, due to financial constraints, only a few indicators were applied for the survey of psychological status, which should be improved in future studies.

Abbreviations

MHD- Maintenance hemodialysis

COVID-19- Novel coronavirus disease 2019

PCLS-LPost-traumatic stress disorder checklist-specific

PTSD- Post-traumatic stress disorder

KDQOL-SF- Kidney Disease and Quality of Life Short Form

HD- Hemodialysis

WHO- World Health Organization

Declarations
Ethics approval and consent to participate: The study was conducted in accordance with the Declaration of Helsinki, and the Ethics Committee of Kunming Medical University approved the protocol. All participants have given written informed consent.

Consent for publication: Not applicable.

Availability of data and materials: The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request. We confirm that the data on the sample of 206 MHD patients from two hospitals have not been reported in any other submission by us or anyone else.

Competing interests: The authors declare that there are no conflicts of interest.

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Authors’ contributions: QL conceived the idea, designed the study, administered the project, and drafted the manuscript. JN conducted the field study and drafted the original manuscript. SZ conducted field study and investigation. WC conducted the investigation and curated the data. YC collected the data. HW provided critical comments and edited the manuscript. XW conducted the investigation. All authors approved the final version of the manuscript.

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