Intra-annual density fluctuations (IADFs) in *Pinus nigra* (J. F. Arnold) at high-elevation in the central Apennines (Italy)

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Abstract

Although wood anatomical features can provide yearly resolved climatic information at sub-seasonal resolution, the occurrence of intra-annual density fluctuations (IADFs) might be triggered by several abiotic factors under different ecological settings. Here, we use information on cambial age and tree-ring width to standardize the frequency of IADFs in European black pines from three different mountain slopes in the central Apennines (Italy). At each site, we sampled isolated 15–30-year pioneer pines above the forest limit, as well as close-grown 40–60-year planted pines at the forest limit. Mainly restricted to the latewood of both pioneer and planted trees, the occurrence of IADFs reveals a significant positive relationship with cambial age and ring width. Although the standardized IADFs are well synchronized between the planted and pioneer pines, the frequency of IADFs in narrow rings was higher in the pioneer pines. Drought conditions in July and August are responsible for the highest IADFs frequency in planted and pioneer pines, respectively. Our study underlines the value of IADFs to obtain a more nuanced understanding of the climatic drivers of wood formation at the intra-annual scale.

Keywords European black pine · Anthropogenic forest limit · Pioneer vs planted · Standardization · Weibull and Chapman functions

Introduction

Though tree-ring width records are among the most important and commonly used annually resolved climate proxies (Sheppard 2010), intra-ring wood anatomical traits, such as intra-annual density fluctuations (IADFs), resin canals density, and maximum wood density, can also provide useful climate-growth information, albeit at sub-seasonal resolution (De Luis et al. 2007; Esper et al. 2015; Björklund et al. 2017). However, it is not clearly understood, at the intra-annual level, how the many climatic factors affecting wood formation operate at the anatomical level (Olano et al. 2012). Within the growing season, environmental and climatic variations set the pace of cambial activity and cell development, leading eventually to IADFs formation (e.g., Bogino et al. 2009; Battipaglia et al. 2016). The position of IADFs within a ring (e.g., in earlywood or in latewood) is also determined by the timing of the triggering factor (Campelo et al. 2007). Therefore, the study of IADFs can help to detect changes in cambial activity within the growing season (Campelo et al. 2007; Rozas et al. 2011; De Micco et al. 2014). However, the occurrence of IADFs is not straightforward, since their formation is not only climate driven, but also influenced by...
other factors, such as slope, aspect, tree species, tree status, tree age, and total ring width (Vieira et al. 2009; Campelo et al. 2013; Klisz et al. 2016; Zalloni et al. 2016; Campelo et al. 2018).

Recently, new analytical methods have been developed to disentangle IADFs triggering factors. Novak et al. (2013) assessed the IADF climatic signal in Pinus halepen-sis in Spain applying a three-parameter Weibull function to remove the effect of cambial age on IADFs frequency. Campelo et al. (2015) removed direct (indirect) ring-width (cambial age) effects from IADFs chronologies after standardizing with a Chapman function. Both methods increase or add new signals to IADFs chronologies by removing the effect of predisposing factors. For example, a negative effect of September temperature on IADFs formation was detected only after removing the ring-width effect (Campelo et al. 2015).

In this study, we investigated tree-ring growth and IADFs occurrence on European black pine (Pinus nigra J. F. Arnold) growing at high altitude in three sites at the central Apennines, Italy. In addition, we proposed a revised method to remove the tree-ring width and age effects from IADFs chronologies by adjusting a Chapman or a Weibull functions.

At each site, we sampled planted pines (PLP) at the upper closed forest limit, and pioneer pines (PIP) occurring above the artificial upper forest line. PLP were planted in the 1950s, whereas the PIP represent natural regeneration 15–20 years after the planted pines reached maturity (Piermattei et al. 2014; Vitali et al. 2017). The planted pines are older, located at lower altitude, and grow in dense stands. In contrast, the pioneer pines are younger and mainly live as isolates. We tested the following two hypotheses: (1) the IADFs frequency in pioneer pines is higher than in planted pines due to the more limiting growing conditions and to the lower cambial ages, and (2) the IADFs frequency is synchronized among the three sites for PIP and PLP, suggesting a common climatic driver.

Material and methods

Study sites

The three sites are Mt. Vettore (VET), Mt. San Franco (SFR) and Mt. Ocre (OCR) in the central Apennines (Italy). They are located within the Sibillini Mts. National Park, Gran Sasso and Laga Mts. National Park, and Acquazzeze Forest Natural Reserve, respectively (Fig. 1). All sites differ in their aspect, slope angle and altitude of the upper forest line (Table 1, Fig. 1). At all sites, we sampled PLP close to the upper edge of the forest limit. At VET and SFR, we sampled PIP along the entire ecotone above the forest line; whereas at OCR we were limited to an altitudinal transect 200 m wide, extending to the mountain top, due to the higher density of pine cohorts. At all sites PIP are randomly distributed between 1600 and 2100 m a.s.l. (Piermattei et al. 2016).

Tree-ring data analysis

Dendrochronological sampling took place between 2009 and 2014 during which one basal increment core from each pioneer pine and two breast height cores from each planted tree were collected. All cores were mounted on wood supports and polished using sandpapers of a progressively finer grain (from 240 to 1000 grit) until tree-ring boundaries and cells were clearly visible under a binocular stereomicroscope. Tree-ring width was measured with the LINTAB device and TSAPWin software (Rinntech, Germany) to 0.01 mm precision. Cross-dating quality was checked visually and using COFECHA software (Holmes, 1983). The dating of each individual series was checked against a local master chronology and deleted from the dataset if the Pearson’s correlation coefficient ($r$) was less than 0.4. For pioneer pines, only cores with more than 15 tree rings, no visible damage, and containing the pith were selected. For planted pines, the core with the highest number of tree-rings and containing the pith was used. PLP and PIP tree-ring width series were detrended using a 20-years smoothing spline with 50% frequency cutoff, which isolated high-frequency variability, using the R packages detrendeR (Campelo et al. 2012) and dplR (Bunn 2008). Autoregressive modelling was performed to remove the temporal autocorrelation. Finally, a biweight robust mean was computed to average the individual series and to produce standardized tree-ring width chronologies. For each PLP and PIP tree-ring width chronology, the mean sensitivity (MS), the first-order autocorrelation (AC1), the inter-series correlation (Rbar), and the expressed population signals (EPS, Wigley et al. 1984) were computed. Mean ring widths, MS and AC1 were calculated on the raw data, while Rbar and EPS were calculated on the indexed series. The Spearman’s correlation ($\rho$) between all PLP and PIP tree-ring width chronologies, both between and within sites, was also calculated.

Intra-annual density fluctuations

To detect IADFs presence and type a stereomicroscope, with up to a 25× magnification, was used. IADFs were classified according to their positions within the tree ring. Type E IADFs are those characterized by latewood-like cells within the earlywood; type E+ within transition cells between earlywood and latewood; type L are those formed by earlywood-like cells within latewood, and type L+ showing earlywood-like cells between the latewood and the earlywood of the following tree ring (Campelo et al. 2007). Since
the occurrence of IADFs in the earlywood (E and E+) was very low, only the frequency of IADFs in the latewood zone (L, L+ or both LL+) was used. Because of their non-normal distribution, IADFs frequency cannot be used directly as a continuous variable in regression equations. Therefore, a binary dataset assigning the value 1 for presence or 0 for absence of IADFs in each tree ring in a core was built. There are different approaches to develop IADFs chronologies, and correcting the bias introduced by changing sample depth over time (Osborn et al. 1997), by cambial age (Novak et al. 2013), and by size (Campelo et al. 2015). To calculate the IADFs frequency through time, and with varying sample depth, the method of Osborn et al. (1997) was applied. The adjusted IADFs frequency was calculated as follows:

\[ f(\text{stabilized IADF frequency}) = F \times n^{0.5} \]

where \( F \) is the ratio of \( N/n \), where \( N \) is the number of trees possessing an IADF type in a given year, and \( n \) is the total number of observed trees. However, to develop IADFs

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**Table 1** Main features of the three study sites: VET (Mt. Vettore); SFR (Mt. San Franco); OCR (Mt. Ocre)

|                     | VET          | SFR          | OCR          |
|---------------------|--------------|--------------|--------------|
| Geographic coordinates (Lat.; Long.) | 42° 81’ N; 13° 26’ E | 42° 45’ N; 13° 38’ E | 42° 15’ N; 13° 27’ E |
| Pine forest upper limit altitude (m a.s.l.) | 1600 | 1500 | 1350 |
| Altitude range of pioneer pines encroachment (m a.s.l.) | 1610–2050 | 1695–1930 | 1635–1915 |
| Slope aspect        | S-SE         | W-SW         | N-NE         |
| Slope steepness (%) | 35.8         | 31.7         | 33.3         |

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**Fig. 1** Representation of the three study sites located in the central Apennines (Italy): Mt. Vettore (VET), Mt. San Franco (SFR), and Mt. Ocre (OCR). For each site, from top to bottom we provided: an altitude and aspect layout, a site overview, and the spatial distribution of all sampled trees over a 2010 orthophoto. In the orthophoto, the altitude contours are every 50 m, black dots are the pioneer pines (PIP) sampled above the artificial pine plantation (PLP), and white dots the pioneer pines analysed for this study. In the orthophoto, the altitude increases across a bottom up direction.
chronologies without the effect of age and size the method proposed by Campelo et al. (2015) was adapted. Tree rings were sorted based on their widths, and then the ring width effect on IADFs occurrence was removed by fitting a Chapman or a Weibull function. The selection of the best function was determined using the Akaike’s Information Criterion (AIC) that corresponds to the lowest AIC value (Akaike 1974). According to the Chapman function, the IADF frequency increases with tree-ring width up to a maximum value and afterwards reaches a plateau, whereas the Weibull function decreases after reaching its maximum. This means that the probability of IADFs occurrence decreases for wider tree rings. Finally, the obtained IADF frequency indices were averaged into a chronology of IADFs. The resulting IADFs chronologies are considered standardized and assumed to be independent of age and size. The Spearman’s correlation of all PLP and PIP standardized IADFs chronologies, between and within sites, was also calculated.

Climatic data

Monthly data of mean, minimum, and maximum air temperature ($T_{\text{mean}}$, $T_{\text{min}}$, and $T_{\text{max}}$) and total monthly precipitation (Pre) were retrieved from the CRU TS V.4.0 database through the Climate Explorer application (http://climexp.knmi.nl). The gridded data were then corrected for the mean altitude of each site, using the climate software package ClimateEU v.4.63 (http://tinyurl.com/ClimateEU, Hamann et al. 2013). To assess IADFs-climate relationships, Pearson’s correlations between the climatic data and the standardized IADFs chronologies, for the common period 1984–2008, were calculated. The 1984–2008 time interval was selected based on the common period, sample replication greater than four trees.

Results

Tree-ring width chronologies

The sample depth at each site ranges from 17 to 29 trees. The mean PLP tree age is 48 years, and the mean PIP tree age is 24 years (Table 2). The PLP have the highest values of first-order autocorrelation (AC1). The mean tree-ring width of PIP is lower than the PLP, as well as the range of their increments 1.25–7.5 mm (PIP), vs 4.30–10.6 mm (PLP) (Table 3). PLP radial growth curves show a clear negative trend after 1970, whereas the shorter PIP radial growth curves are relatively steady without evident age effect (Fig. 2). One of the largest PIP tree-ring width is in 2003, followed by a narrow ring in 2004. Spearman’s correlation between the PLP and PIP tree-ring width chronologies are not always significant among and within sites (Table S1). The PIP tree-ring width chronologies are significantly correlated higher with each other than the PLP chronologies with one exception (Table S1). Moreover, PIP tree-ring width chronologies are significantly correlated ($p < 0.05$) with PLP chronologies with one exception (OCR site, Table S1).

IADFs frequencies and climatic signals

Results show an increasing frequency of IADFs in PLP trees from 1970–1980 and in PIP trees from 1995–2005 (Fig. 3). In PLP, the stabilized IADFs frequency declines during the last 10–20 years, mainly at sites VET and OCR and the trend is left-skewed with a maximum peak in the juvenile phase.

The years with high-stabilized IADFs frequency are listed in Table 4. Analysis of the relationship between climatic conditions (mean monthly temperatures and total monthly precipitation) in those years with the highest stabilized IADF frequency indicates that there is a clear association with drought conditions, namely high temperatures and low

Table 2 Main features of the sampled trees at the three study sites: VET, SFR, and OCR

|                | VET     | SFR     | OCR     |
|----------------|---------|---------|---------|
| PIP            |         |         |         |
| No. trees      | 29      | 27      | 24      |
| Mean stem base diameter (cm) | 18.5 (± 7.8) | 16 (± 4.4) | 15 (± 5.6) |
| Mean tree height (cm)          | 345 (± 178) | 274 (± 99) | 252 (± 111.6) |
| Mean cambial age (at stem base) | 24 (± 5.3) | 23 (± 6.2) | 24 (± 5.9) |
| PLP            |         |         |         |
| No. trees      | 19      | 18      | 17      |
| Mean DBH (cm)  | 37.3 (± 3.1) | 30.7 (± 5.7) | 24.7 (± 4.1) |
| Mean height (m) | 11.8 (± 1.1) | 11.3 (± 2.1) | 20.2 (± 3.2) |
| Mean cambial age (at DBH)      | 53 (± 8.2) | 43 (± 3) | 49 (± 1.9) |

The standard deviation in brackets

$PIP$ pioneer pines, $PLP$ planted pines
precipitation. Amongst the PLP trees this relationship peaks in July, whereas for PIP trees the peak shift is in August (Fig. 4).

Most IADFs occurred in the latewood and are mainly of L+ and L types. The frequency of IADFs in PIP and PLP, considering the different sample depths and time interval for each site, is presented in Table 5. SFR site shows the highest IADFs frequency in both PLP and PIP trees, and at VET the IADFs frequency in PIP is always higher than the PLP trees.

The relationship between standardized IADFs frequency and ring width is described by exponential curves that tend to stabilize in all cases (Chapman function) except for the
VET pioneer and OCR planted trees (Weibull function) that decline after ring-widths exceed 4 mm (Fig. 5). PLP trees, in general, have a slightly higher frequency than PIP (between 0.4 and 0.55). Nonetheless, the VET planted trees have the lowest frequency value (max 0.3). PIP IADFs frequency is higher in narrow rings than for PLP, and the IADFs frequency becomes steady at 2–3 mm of ring width (SFR and OCR), whereas in PLP at 3–4 mm (VET and SFR) (Fig. 5).

The standardized IADFs chronologies show high synchronicity over time within and between sites (Fig. 6a–c). Spearman’s correlations of planted and pioneer pines IADFs chronologies are always significant among and within sites (Table S2). Correlation coefficients in PLP range from 0.48 to 0.58 for all pairs. PIP IADFs chronologies are significantly correlated ($\rho < 0.05$) with PLP IADFs chronologies at VET ($\rho = 0.48$, $p < 0.05$), SFR ($\rho = 0.67$, $p < 0.05$), and OCR ($\rho = 0.38$, $p < 0.05$) sites.

The IADFs-climate relationships do not show an overall pattern but some similarity within site, mainly in SFR (Fig. 7).

### Table 4

| Years with the highest IADFs frequency (stabilized frequency > 2.5 standard deviation) in each site (VET, SFR, and OCR sites) and considering all planted (PLP) and all pioneer (PIP) pines |
|---|
| **Planted** | **Pioneer** | **All PLP** | **All PIP** |
| VET | SFR | OCR | VET | SFR | OCR |
| 1965 | 1965 | 1987 | 1973 | 2000 |
| 1971 | 1971 | 1973 | 1997 | 1977 | 2003 |
| 1974 | 1974 | 1998 | 1983 | 2000 | 1988 |
| 1977 | 1977 | 2000 | 2001 |
| 1978 | 2003 | 2003 | 2003 |
| 1983 | 1983 | 2005 |
| 1987 | 1988 | 2006 |

**Fig. 4** Trends of mean monthly temperatures (red) and total monthly precipitation (blue) for the years with highest IADFs frequencies (stabilized IADFs frequency > 2.5 standard deviation), for planted and pioneer pines at VET, SFR, and OCR sites. The years with highest IADFs frequency are written in the brackets in each panel.
Correlations between standardized IADFs and averaging December \((t−1)\)–January \((t)\) precipitation is common at all sites in PIP. However, the strongest correlations appear between standardized IADFs and temperatures with both positive and negative effects at SFR and VET sites. In fact, a negative correlation is found with spring temperatures (average February and March \((t)\)) in PIP, and a positive signal with July \((t)\) temperatures in PLP.

Discussion

In this study, we demonstrate how using yearly resolved IADFs frequency can provide valuable tree-climate sensitivity information from trees that would otherwise be challenging to study using traditional dendroclimatic technique due to their young age.

| Sites  | PLP \((L, L+ and LL+)\) | PIP \((L, L+ and LL+)\) |
|--------|-------------------------|-------------------------|
| Entire time span | | |
| VET | 20.2% (1951–2013) | 32.8% (1973–2008) |
| SFR | 40.1% (1967–2011) | 36.5% (1978–2011) |
| OCR | 30% (1962–2012) | 26.6% (1984–2012) |
| Common interval \((1984–2008)\) | | |
| VET | 14.3% | 32% |
| SFR | 34% | 40.3% |
| OCR | 20% | 28.7% |

The analysis time intervals are for the common interval 1984–2008, and for the entire timespans (in brackets).

Radial growth of pioneer and planted pines

In the past 20–30 years, the PIP displayed a steady or even increasing trend in ring width, peaking in 2003 (Fig. 2), which in the Apennines was a growing season with high temperatures and high June precipitation (Fig. S1). This suggests that increasing temperatures with high rainfalls favours tree-ring growth at the upper forest limit by extending the growing season. In fact, the 2003 growing season was 2%, 12% and 64% longer in subalpine, alpine, and nival areas respectively and induced and exceptional increase of basal area in many subalpine species in Europe (Jolly et al. 2005). After the 2003 heatwave, both pioneer and planted pines respond with an abrupt tree-ring width reduction, probably caused by a dry summer in 2004 (Fig. S1). In PLP, the drought effect lasted to 2005, the year with the narrowest ring width (Fig. S2). This can explain the high value of first-order autocorrelation in tree-ring widths, especially for PLP trees, indicating the lag effect of previous year conditions on current growth of black pines at our sites. Moreover, despite some differences in site features (e.g. aspect and slope), the common growth pattern is revealed by high inter-series correlation coefficients (Rbar), and by high cross-correlation values between PIP and PLP tree-ring width chronologies. The only exception is the north exposed site (OCR), likely due to different growing conditions.

IADFs characteristics and relationships with age and tree ring width

Most of the IADFs are in the latewood, with the highest frequency found in the south-west exposed sites (VET and SFR). IADFs are earlywood-like cells within the latewood (L type), and earlywood-like cells between the latewood and the earlywood of the next ring (L+ type) (Campelo et al. 2007). The genus Pinus is prone to latewood IADFs production and their formation is triggered by high precipitation in early (L) or late (L+) autumn (e.g. Rigling et al. 2001; Masiokas and Villalba, 2004; Vieira et al. 2009; Battipaglia et al. 2010; De Luis et al. 2011; Rozas et al. 2011; Carvalho et al. 2015; Vieira et al. 2017). Nonetheless, the central Apennines are not under the typical Mediterranean bioclimatic conditions. The three sampled sites share a temperate oceanic macrobioclimatic (sensu Rivas-Martinez and Rivas-Saenz 2009) with short drought periods in July–August and precipitation peaks in early spring and autumn. The growing season at 1600 m elevation extends from beginning of June to mid-late October. The transition from earlywood to latewood usually begins in August, most of the latewood cells are formed in September, and the maturation and lignification processes are completed in October (Pierrattei et al. 2015). Few earlywood IADFs (type E, and E+) were found (e.g. in 1973, 1974) and only in PLP. Their formation...
usually follows a water deficit early in the growing season (e.g., Wimmer et al. 2000; Campelo et al. 2007), a condition uncommon in the study areas where snowfalls can be abundant in late winter (February and March), and occasionally extends to May–early June (De Bellis et al. 2010).

Moreover, to investigate the effect of climate on IADFs frequency over time, we need to account for the unequal probabilities of detecting IADFs in narrow and wide rings (Rigling et al. 2001, 2002; Battipaglia et al. 2010; Campelo et al. 2013; Novak et al. 2013), and that tree-ring widths commonly decrease with age. We expect higher IADFs frequency in wider rings because more cells are under differentiation for a longer period, which makes IADF formation more likely as long as the triggering factor occurs (Campelo et al. 2015; Vieira et al. 2018). Other studies also found more IADFs in younger than in older ones (e.g., Copenheaver et al. 2006; Vieira et al. 2009, 2010). Controversially in our study, the narrowest rings occurred in younger trees. Our results confirmed the importance of age and tree-ring width on IADFs formation. In fact, besides the stabilized IADFs frequency is highly synchronized, PLP showed an increase in IADFs frequency in the year 1970–1990 with a decline in the last years that could be linked to ring width reduction, evident at VET and OCR sites. However, in narrow rings (< 2 mm), the highest IADFs frequency occurred in PIP, whereas an IADFs frequency increase is expected in relatively wider rings (> 2 mm), finding the IADFs frequency peak in 3–5 mm wide tree rings and in 19–38 years old trees (Zalloni et al. 2016). Our results confirm that young trees growing above the forest line, even with narrow rings, showed the formation of more IADFs possibly related to more variable growth conditions. In this study, we used a Weibull or a Chapman function to standardize the IADFs frequency from age and tree-ring width (Novak et al. 2013; Campelo et al. 2015). This revised method to standardize IADF frequency chronologies allows detecting a decrease in IADF formation probability in wider rings using the Weibull curve as it happens in VET pioneer and OCR planted pines. This may explain why IADFs are not formed in wide rings, when environmental conditions are favourable and stable throughout the growing season.

Synchronization of standardized IADF chronologies within and between study sites suggests a common IADF formation driver. Considering only the years with the highest IADFs frequency, a clear pattern emerges: a combination of low precipitation and high mean temperatures in July (August) caused the maximum IADFs occurrence in PLP (PIP) trees. Interestingly, there is a different sensitivity to drought between PIP and PLP. At high altitude, drought condition in August is the driving factor for IADFs formation. However, considering the standardized IADFs–climate relationship in the common interval 1984–2008, this result is confirmed only in PLP. The positive correlation with July temperature is probably related to a water deficit particularly at VET and SFR, respectively south and south-west exposed. Our results are consistent with those of Campelo et al. (2018) where IADFs are more frequent in south-facing slope trees, with a longer growing season.

![Fig. 6 Standardized IADFs chronologies (standardization with removal of age and tree-ring width effect).](image)
Instead, the low climatic sensitivity of standardized IADFs in pioneer pines might be due to a combination of precipitation, temperatures, and soil moisture, where microsite conditions play a fundamental role, highlighting a possible individual adaptation. In conclusion, with this study we want to promote the standardization method to highlight the climatic effect on IADFs chronologies that ultimately allow a better comprehension of tree responses to fluctuations in environmental conditions.

**Author contribution statement** AP and FC planned and designed the research; AP, CU, MG, and AC conducted fieldwork; AP collected the data; AP and FC analyzed the data, with substantial contribution from UB; AP led the manuscript with contributions from all authors. All authors contributed critically to the drafts and gave final approval for publication.
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