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Awareness and uptake of layered HIV prevention programming for young women: analysis of population-based surveys in three DREAMS settings in Kenya and South Africa

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Abstract

Background: The DREAMS Partnership is an ambitious effort to deliver combinations of biomedical, behavioural and structural interventions to reduce HIV incidence among adolescent girls and young women (AGYW). To inform multi-sectoral programming at scale, across diverse settings in Kenya and South Africa, we identified who the programme is reaching, with which interventions and in what combinations.

Methods: Randomly-selected cohorts of 606 AGYW aged 10–14 years and 1081 aged 15–22 years in Nairobi and 2184 AGYW aged 13–22 years in uMkhanyakude, KwaZulu-Natal, were enrolled in 2017, after ~ 1 year of DREAMS implementation. In Gem, western Kenya, population-wide cross-sectional survey data were collected during roll-out in 2016 (n = 1365 AGYW 15–22 years). We summarised awareness and invitation to participate in DREAMS, uptake of interventions categorised by the DREAMS core package, and uptake of a subset of ‘primary’ interventions. We stratified by age-group and setting, and compared across AGYW characteristics.

Results: Awareness of DREAMS was higher among younger women (Nairobi: 89% v78%, aged 15-17 v18–22 years; uMkhanyakude: 56% v31%, aged 13-17 v18–22; and Gem: 28% v25%, aged 15-17 v18–22, respectively). HIV testing was the most accessed intervention in Nairobi and Gem (77% and 85%, respectively), and school-based HIV prevention in uMkhanyakude (60%). Among those invited, participation in social asset building was > 50%; > 60% accessed ≥2 core package categories, but few accessed all primary interventions intended for their age-group. Parenting programmes and community mobilisation, including those intended for male partners, were accessed infrequently.

In Nairobi and uMkhanyakude, AGYW were more likely to be invited to participate and accessed more categories if they were: aged < 18 years, in school and experienced socio-economic vulnerabilities. Those who had had sex, or a pregnancy, were less likely to be invited to participate but accessed more categories.

(Continued on next page)
Conclusions: In representative population-based samples, awareness and uptake of DREAMS were high after 1 year of implementation. Evidence of ‘layering’ (receiving multiple interventions from the DREAMS core package), particularly among more socio-economically vulnerable AGYW, indicate that intervention packages can be implemented at scale, for intended recipients, in real-world contexts. Challenges remain for higher coverage and greater ‘layering’, including among older, out-of-school AGYW, and community-based programmes for families and men.

Keywords: HIV prevention, Adolescent girls, Implementation, Evaluation, Complex intervention,

Background
Adolescent girls and young women (AGYW) aged 15–24 years remain at high risk for HIV infection compared to their male counterparts, particularly in sub-Saharan Africa [1, 2]. The estimated 450,000 new HIV infections among AGYW globally in 2015 [1] is far from the UNAIDS goal to reduce annual new infections to below 100,000 by 2020 [1].

The DREAMS (Determined, Resilient, Empowered, AIDS-free, Mentored, and Safe lives) Partnership is an ambitious public-private investment, established in 2015 to reduce the rate of new HIV infections among AGYW in ten sub-Saharan African countries [3, 4]. DREAMS is based on the principle that ‘combination HIV prevention’ [5] – an approach to reduce HIV transmission through integrated behavioural, biological and structural interventions tailored to the needs of a population – is essential. In the case of DREAMS, the multiple sources of HIV risk for adolescent girls and young women are conceptualised through a theory of change model and are to be addressed through a package of ‘layered’ evidence-based interventions [6]. ‘Layering’ is defined by

| Package level | Individual level | Package category | Target group(s) | Description of activities & examples |
|---------------|-----------------|-----------------|----------------|-------------------------------------|
|               |                 | HIV Testing Services | AGYW & male partners | HIV testing; linkage to care & ART if positive, or linkage to other DREAMS prevention if negative |
|               |                 | Social asset building | AGYW | Build social skills and networks; connect AGYW with peers & adults, for information, emotional & material support |
|               |                 | Expand contraceptive mix | AGYW | Promote use of modern contraception, dual methods alongside condoms, to reduce unplanned pregnancy and school drop-out |
|               |                 | Condom promotion & provision | AGYW & male partners | Increasing consistent use & availability, e.g. through condom distribution, adolescent-friendly SRH services |
|               |                 | Post-violence care | AGYW experienced/ at risk for violence | Youth-friendly screening & care for intimate partner violence/ violence against children, PEP |
|               |                 | PrEP *selected countries | AGYW at highest risk of acquiring HIV | Targeted provision of PrEP, linkage to support services |
|               |                 | Social protection | AGYW & parents/guardians | Cash transfers, educational subsidies, combination socio-economic approaches e.g. savings groups |
| Strengthen families | | Parenting/caregiver programmes | AGYW & parents / care-givers of AGYW | Parenting programmes on adolescent sexual/risk behaviours & protection from violence |
|               |                 | School-based HIV prevention | AGYW & boys in schools | HIV & sex education, violence prevention education in schools |
| Contextual level | | Community mobilisation & norms change | AGYW, boys & men, broader communities | Community-based HIV and violence prevention programmes, social/gender norms change & gender-related messaging |
|               |                 | Characterisation of male sex partners to target interventions | Sexual partners of AGYW | Target highly effective HIV prevention, care and treatment interventions. Develop services men are more likely to use. Research & characterise ‘typical’ partners of AGYW. |
the President’s Emergency Fund for AIDS Relief (PEPFAR) as “at the individual level...to provide multiple interventions or services from the DREAMS core package to each DREAMS recipient (i.e. AGYW)” while “layering also takes into account contextual level interventions (i.e. those that are not delivered directly to an AGYW but from which she may benefit)” [7].

Figure 1 illustrates the key components of the DREAMS core package of interventions, grouped into categories (e.g. social protection), which in turn are organised by levels (e.g. ‘strengthen families’, which can also be described as ‘contextual level’) [6, 8, 9]. At the individual-level, interventions aim to empower AGYW and reduce their risk of HIV and violence, for example, through access to HIV testing and youth-friendly sexual and reproductive health services, or social asset building interventions such as ‘safe spaces’ where AGYW can meet with mentors and peers for social support, courses, and links to services. Contextual-level interventions are intended to strengthen families, for example, economically and through parenting support, and to mobilise communities more broadly to address social norms, including through schools. The core package also includes strategies to reduce an AGYW’s risk of acquiring HIV from a male partner, through the expansion of essential HIV and/or prevention services including HIV testing, linkage to ART and voluntary medical male circumcision (VMMC). Each country has subsequently selected a minimum package of ‘primary’ interventions from the core package, some that are intended for all AGYW and some that are for particular age groups. ‘Secondary’ interventions are based on need, rather than being intended for all AGYW, for example, post-violence care services for those who have experienced violence [7].

Complex interventions are proliferating, for example, with the ‘Sauti’ initiative in Tanzania, ‘She Conquers’ in South Africa, and ‘DREAMS-like’ AGYW programs funded by the Global Fund for AIDS, TB and Malaria [10–12]. Investments in packages of services are also promoted to address the broader needs of adolescents, with global calls to go beyond school-based education to involve families, communities and media in adolescent development [13, 14]. To date, however, there is sparse empirical evidence that such complex adolescent interventions can be taken to scale (at district level, for example) and implemented effectively in real-world, non-trial conditions. Their effectiveness will depend on the intensity and quality with which they are delivered and whether they are accessed by young people and related target populations.

The question of how to achieve effective delivery and reach is fundamental to addressing the ‘implementation gap’ [15, 16]. It has been argued that it is now imperative to fill the gap between what we know works and what can be achieved in reality. Fauci and colleagues emphasise there should be “no more excuses” and that “we have the tools to end the HIV/AIDS pandemic”, although “from a practical standpoint, this will be difficult and will require aggressive implementation of the biomedical research advances that have been made...” [15].

As part of an independent impact evaluation of DREAMS among representative samples of AGYW in Kenya and South Africa [17], we investigate the population-level uptake of DREAMS, specifically the awareness and uptake of any and multiple ‘layered’ DREAMS interventions after the first year of implementation.

Methods

Evaluation settings

The impact evaluations are underway in three diverse settings: urban, informal settlements in Nairobi, Kenya; Gem constituency in rural Siaya county, western Kenya; and uMkhananyakude in rural KwaZulu-Natal, South Africa. Descriptions of each setting and methods for the evaluation protocol have been described previously [17].

HIV prevalence and incidence are historically high in these settings [18–22].

Implementation of DREAMS interventions

In all three settings, DREAMS interventions were first introduced in 2016: January–February in Nairobi; April in Gem; and May in uMkhananyakude. Implementation of services was staggered and took time to scale-up, especially for newer services without pre-existing infrastructure, such as social asset building. All services apart from Pre-Exposure Prophylaxis (PrEP) were being provided by March 2016 in Nairobi, by late 2016 in uMkhananyakude, and by January 2017 in Gem. Details about the timing and model of DREAMS delivery in each site are summarised elsewhere [23].

DREAMS implementers sought to reach and invite the most vulnerable AGYW to participate, although the way this was operationalised differed by setting [24]. All AGYW were eligible for DREAMS interventions in uMkhananyakude, the area having been identified as high priority after an extensive geographic mapping exercise. In both sites in Kenya, AGYW at highest risk were targeted for and invited to participate in DREAMS interventions. Examples of targeting included to invite those who had children/were pregnant, were school-age and out of school, or were sexually abused, through door-to-door home visits followed by enrolment interviews. They were identified through the ‘Girl Roster’ census method, supplemented by local experience of community-based organisations [25].
Evaluation study design and data collection
The impact evaluation design [17] leverages long-standing demographic and HIV survey platforms in each setting: the Nairobi Urban Health and Demographic Surveillance System (NUHDSS); the Kenya Medical Research Institute/ CDC site in western Kenya; and the Africa Health Research Institute platform in KwaZulu-Natal, South Africa [26–28]. In brief, the design includes three main components: 1) general population (cross-sectional) surveys of women and men, to be repeated over 2–3 time points; 2) nested within this, randomly-selected cohorts of AGYW stratified on age, for more detailed understanding of trajectories and transitions over time, and with annual follow-up for 2 years; and 3) process evaluation activities to document the implementation and adaptation of DREAMS in each context.

The first time period for data collection for the impact evaluation was during 2016–2018. In Nairobi, it was from March–July 2017, after one full year of DREAMS implementation. All men and women aged 15–49 years were eligible for the general population survey, and AGYW aged 10–14, 15–17 and 18–22 years were enrolled into nested cohorts. Adolescents aged 10–14 were included given DREAMS also intends to deliver prevention interventions to this age group, and because it could make a difference to reach AGYW relatively early [6]. In uMkhanyakude, data were collected during January–December 2017 for the general population survey (residents aged ≥15 years), while nested cohorts of AGYW aged 13–17 years and 18–22 years were enrolled between May 2017 and February 2018. In Gem, a population-wide bio-behavioural survey was conducted during early roll-out of DREAMS, from May to September 2016, for which ~25% of randomly-selected households in the demographic platform were eligible, including all their household members.

In Nairobi and uMkhanyakude, DREAMS-specific questions were embedded in the population-wide survey platforms, covering overall awareness of and self-reported invitation to participate in DREAMS, as well as awareness and recent (prior 12 months) usage of individual DREAMS interventions. Those who had participated in an intervention in the previous 12 months were asked if they identified the activity as a DREAMS service, and which organisation delivered it. In Gem, a relatively limited set of data were collected that included awareness of and usage (ever) of individual interventions. Socio-demographic data were collected in all settings. These general-population survey data were used for analyses among women aged ≥25 years and men, and (for Gem only) for AGYW analyses.

As well as the questions included in the general population surveys, additional questions were asked in the nested cohort interviews, and so cohort data were used for analyses among AGYW in Nairobi and uMkhanyakude. For girls aged 10–14 years in Nairobi, the questions were modified and so data from this age group were analysed separately.

Analysis
Descriptive analyses were conducted using Stata15 to summarise proportions of respondents who reported awareness of, self-reported invitation to participate in, and uptake of DREAMS interventions, categorised using the DREAMS core package framework (Fig. 1) and by primary interventions (Additional file 1). We defined uptake as any usage in the last 12 months (Nairobi and uMkhanyakude), or ever (Gem), regardless of whether the respondent specifically recalled the intervention was delivered through DREAMS. For some curriculum-based interventions, e.g., those to be delivered in ‘safe spaces’ for AGYW, this implies participation in at least one session, rather than completion of all sessions.

We analysed usage of individual intervention categories from the core package, for example the proportion who used HIV testing services or social protection interventions (Fig. 1). To assess evidence of ‘layering’ we:

a) summarised proportions who had received interventions from multiple core package categories (≥2 or ≥3);

b) determined combined usage of intervention categories from across different levels, for example, empowering AGYW (individual-level) plus interventions that aim to strengthen families and/or mobilise communities (contextual-level); and

c) examined the number of primary intervention categories used and the proportion receiving the complete ‘package’ of intended primary interventions (Additional file 1) [17].

Analyses were stratified by sex, age-group, setting, and invitation to participate in DREAMS. Individual- and contextual-level interventions were summarised for AGYW; contextual-level for older women and men (as the DREAMS core package also aims to reach families, male partners and communities through contextual-level interventions) (Fig. 1). We restricted analyses among males to those aged 15–34 years, to reflect the typical age range of partners of AGYW in these settings [29]. We also made comparisons across AGYW characteristics. Selection of characteristics for analyses was informed by the programme implementation and targeting of AGYW for DREAMS interventions. Variable categories were standardised across settings where feasible, to aid comparisons.

To understand who is reached by DREAMS, univariable analyses were done first and then used to guide multivariable logistic regression. These analyses were conducted
with AGYW cohort data (Nairobi and uMkhanyakude only), to quantify associations between AGYW characteristics and measures of DREAMS uptake, specifically: (i) invitation to participate in DREAMS, and (ii) uptake of multiple (‘layered’) core package intervention categories. Variables were added in a forward step-wise fashion, and retained in the model if there was statistical evidence of association with the outcome ($p < 0.10$), based on likelihood ratio tests.

**Reporting**

The STROBE reporting guidelines were used to guide synthesis and standardise reporting of our results across settings (Additional file 2) [30].

**Ethics**

Ethics approval was received by research ethics committees at the London School of Hygiene and Tropical Medicine (Ref 11835) and within the host countries: the Biomedical Research Ethics Committee of the University of KwaZulu-Natal, South Africa; the African Medical and Research Foundation Health Africa for the research in Nairobi, Kenya; and the Kenyan Medical Research Institute for the research in Siaya, Kenya. Written informed consent was obtained from all participants, in addition to assent from legal minors with guardian consent (for those aged <18 years). Compensation for participation in the research included refreshments, soap and/or reimbursement for transport costs, where applicable.

**Results**

**Participant numbers and characteristics**

Overall, 606 AGYW aged 10–14 years, 547 aged 15–17 years and 534 aged 18–22 years were recruited into nested cohorts in Nairobi (response rate of 61% for AGYW aged 15–22 years, $n = 1770$ eligible); 1148 aged 13–17 years and 1036 aged 18–22 years in uMkhanyakude (response rate of 85% for all AGYW aged 13–22 years, $n = 2555$ eligible) (Tables 1 and 2). In Gem, 481 AGYW aged 15–17 years and 884 aged 18–22 years participated in the general population survey and answered questions on DREAMS.

Most AGYW respondents were never married, or in the case of girls aged 10–14 years in Nairobi, had never had romantic relationships. Most aged <18 years were in school, while the majority aged 18–22 years in Nairobi were out of school and had completed at least some primary or secondary education, compared to similar proportions in and out of school among AGYW aged 18–22 years in uMkhanyakude. Very few AGYW aged 18–22 years were currently employed either part-time or full-time in uMkhanyakude (~4%), in contrast to 21% and 26% of those aged 18–22 years in Nairobi and Gem, respectively. Proportions who had ever had sex were similar in Nairobi and uMkhanyakude, and rose by age group, from 2% of girls aged 10–14 years in Nairobi, to 12% of those aged 15–17 years and 13–17 years respectively in Nairobi and uMkhanyakude, and ~70% among those aged 18–22 years in both settings. In Gem, a higher proportion of AGYW aged 15–17 years reported having had sex (22%); 75% among AGYW aged 18–22 years. Around half of those aged 18–22 years in each setting had been pregnant. Few respondents self-reported HIV-positive (2% of those who had ever tested in Nairobi; 3% for Gem; 6% for uMkhanyakude).

The majority of men aged 15–34 years were never married, ranging from 53% in Nairobi, to 69% in Gem and 99.5% in uMkhanyakude (Additional file 3). Higher proportions were employed in Nairobi compared to Gem, with lower levels of employment in uMkhanyakude. A greater proportion of men aged 15–34 years were in school in uMkhanyakude compared to Kenya, at least in part reflecting the younger age distribution in this setting.

**Awareness of DREAMS**

After 1 year of implementation, AGYW awareness of DREAMS was higher in Nairobi (80% aged 10–14 years, data not shown, 89% aged 15–17 years, 78% aged 18–22 years) than uMkhanyakude (55% aged 13–17 years, 31% aged 18–22 years). During the initial 6 months of roll-out in Gem, about one-quarter of AGYW were aware of DREAMS (Table 1), with the proportion increasing each month (data not shown). Lower proportions of men (Nairobi: 39% and 34%; Gem: 13% and 11%, for ages 15–34 years and 35–49 years respectively) and women aged 25–49 years (Nairobi: 64%; Gem: 20%) had heard of DREAMS (Additional file 3).

The primary sources of information about DREAMS in Nairobi were word of mouth and community-based/ non-governmental organisations for AGYW (Additional file 4), as for men and older women (Additional file 5). School was the key information source for AGYW in uMkhanyakude, and commonly cited among school-aged girls and boys in Nairobi.

Awareness of specific DREAMS interventions among AGYW was generally high, more so for individual-level interventions than contextual-level. For most interventions, the majority of AGYW reporting participation within the last 12 months also recognised the intervention as being delivered through DREAMS, and recognition of DREAMS was generally higher among those aged 13/15–17 years than those aged 18–22 years (Additional files 6 and 7, example shown for Nairobi).

**Uptake of individual intervention categories of the DREAMS core package**

HIV testing was the most accessed intervention category among AGYW in Kenyan settings (77% overall...
| Characteristics of AGYW | Nairobi, Kenya | uMkhanyakude, South Africa | Gem, Kenya |
|------------------------|---------------|----------------------------|------------|
|                        | 15–17 | 18–22 | Total | n = 547 | % | 13–17 | 18–22 | Total | n = 1148 | % | 15–17 | 18–22 | Total | n = 481 | % | 18–22 | Total | n = 886 | % |
| DREAMS awareness        |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Heard of DREAMS         | 489   | 89.4  | 414   | 77.5  |     | 627   | 54.6  | 324   | 31.3  |     | 135   | 28.1  | 223   | 25.2  |     | 886   | 25.2  |     |
| Not heard of DREAMS     | 58    | 10.6  | 120   | 22.5  |     | 521   | 45.4  | 710   | 68.7  |     | 346   | 71.9  | 661   | 74.8  |     |       |       |     |
| Informal settlement site Nairobi |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Korogocho               | 317   | 58.0  | 300   | 56.2  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Viwandani               | 230   | 42.0  | 234   | 43.8  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Residence area          |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Rural                  | 727   | 63.9  | 661   | 64.4  |     | 454   | 94.6  | 544   | 61.6  |     |       |       |        |     |       |        |        |     |
| Peri-urban              | 351   | 30.8  | 309   | 30.1  |     | 0     | 0     | 1      | 0.1   |     | 26    | 5.4   | 324   | 36.7  |     |       |       |     |
| Urban                  | 60    | 5.3   | 57    | 5.6   |     | 0     | 0     | 0      | 0     |     | 0     | 0     | 15    | 1.7   |     |       |       |     |
| Marital status          |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Never                  | 534   | 97.6  | 309   | 57.9  | 1148 | 100   | 1035  | 99.9  | 454   | 94.6  | 544   | 61.6  |     |       |        |        |     |
| Previously married/cohabiting | 1   | 0.2    | 32     | 6.0    |     | 0     | 0     | 0      | 0     |     | 0     | 0     | 15    | 1.7   |     |       |        |     |
| Currently married/cohabiting | 12 | 2.2    | 193    | 36.1   | 0   | 0     | 1     | 0.1   |       | 26    | 5.4   | 324   | 36.7  |     |       |        |     |
| Educationa             |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| None                   | 0     | 0     | 7      | 1.3   | 0    | 0     | 2     | 0.2   | 1     | 0.2   | 5     | 0.6   |     |       |        |        |     |
| Currently in school    | 459   | 83.9  | 167    | 31.3  | 1128 | 98.3  | 516   | 49.9  | 412   | 85.7  | 11    | 1.3   |     |       |        |        |     |
| Not in school, some primary | 57 | 10.4    | 126    | 23.6  | 5    | 0.4   | 27    | 2.6   | 57    | 11.9  | 443   | 50.3  |     |       |        |        |     |
| Not in school, some secondary | 29 | 5.3    | 210    | 39.3  | 15   | 1.3   | 433   | 41.9  | 11    | 2.3   | 401   | 45.6  |     |       |        |        |     |
| Food insecurec         |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| No                     | 351   | 65.5  | 366    | 68.9  | 898  | 78.2  | 603   | 58.3  | 469   | 98.5  | 646   | 74.0  |     |       |        |        |     |
| Yes                    | 185   | 34.5  | 165    | 31.1  | 250  | 21.8  | 432   | 41.7  | 7     | 1.5   | 227   | 26.0  |     |       |        |        |     |
| Socio-economic status  |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Low                    | 380   | 34.2  | 347    | 36.0  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Middle                 | 386   | 34.8  | 361    | 37.4  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| High                   | 344   | 31.0  | 256    | 26.6  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| Food insecurec         |       |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| No                     | 351   | 65.5  | 366    | 68.9  | 898  | 78.2  | 603   | 58.3  | 469   | 98.5  | 646   | 74.0  |     |       |        |        |     |
| Yes                    | 185   | 34.5  | 165    | 31.1  | 250  | 21.8  | 432   | 41.7  | 7     | 1.5   | 227   | 26.0  |     |       |        |        |     |
| Number of household assets |   |       |        |        |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| 0–5                    | 115   | 21.1  | 108    | 20.2  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| 6–10                   | 167   | 30.5  | 190    | 35.6  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| 8–9                    | 160   | 29.3  | 152    | 28.5  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |
| 10–15                  | 105   | 19.2  | 84     | 15.7  |     |       |       |        |        |     |       |       |        |     |       |        |        |     |

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in Nairobi and 85% in Gem), while in uMkhanyakude, school-based HIV and violence prevention was most accessed overall (60% among all AGYW aged 13–22 years; 80% among those aged 13–22 years and in school) and among girls aged 13–17 years (Fig. 2, panel A). In all three settings, expanding the contraceptive method mix and condom promotion/provision were more frequently used by AGYW aged 18–22 years than younger AGYW, while in uMkhanyakude and Nairobi, social asset building and social protection were more commonly accessed by younger AGYW aged <18 years than those aged 18–22 years.

Among AGYW invited into DREAMS in Nairobi and uMkhanyakude, almost all participated in (any) DREAMS interventions (≥97% in both settings, data not shown), and recent participation in most intervention categories was substantially higher compared to those not invited (Fig. 2, panel B). Differences were greatest for social asset building and social protection interventions, usage rising to >50% of those invited (versus <10% among those not invited). Participation in post-violence care, community mobilisation/norms change, and parenting/caregiver interventions was also markedly higher among those invited compared to those not invited. However, parenting and community-based programmes were accessed infrequently overall, in all settings.

Patterns of uptake among 10–14 year-olds in Nairobi were broadly similar to those among 15–17 s, with HIV testing services, school-based prevention, social asset building and social protection the most used intervention categories, although levels of HIV testing were lower (Fig. 3). However, among 10–14 s invited to participate in DREAMS, recent usage of HIV testing services rose to 80%, with substantial differences in participation between those invited versus not invited for all intervention categories.

### Table 1: Profiles of AGYW aged 13/15–22 in Nairobi, uMkhanyakude (nested cohorts) and Gem (general population survey) (Continued)

| Characteristics of AGYW                  | Nairobi, Kenya | uMkhanyakude, South Africa | Gem, Kenya |
|------------------------------------------|----------------|----------------------------|------------|
|                                          | 15–17          | 18–22                      | 15–17      | 18–22 | 15–17          | 18–22   |
|                                          | Total          | Total                      | Total      | Total | Total          | Total   |
|                                          | n = 547        | %                          | n = 1148   | %     | n = 481        | %       |
| Number of individual assets              |                |                            |            |       |                |         |
| 0 to 3                                   | 48             | 8.8                        | 40         | 7.5   |                |         |
| 4 to 6                                   | 331            | 60.5                       | 370        | 69.3  |                |         |
| 7 to 10                                  | 168            | 30.7                       | 124        | 23.2  |                |         |
| Ever had sex                             |                |                            |            |       |                |         |
| No                                       | 479            | 87.9                       | 163        | 30.5  | 999            | 87.9    |
| Yes                                      | 66             | 12.1                       | 371        | 69.5  | 137            | 12.1    |
| Ever pregnant                            |                |                            |            |       |                |         |
| No                                       | 514            | 94.0                       | 266        | 49.8  | 1077           | 94.5    |
| Yes                                      | 31             | 5.7                        | 268        | 50.2  | 63             | 5.5     |
| Ever given birth                         |                |                            |            |       |                |         |
| No                                       | 519            | 94.9                       | 286        | 53.6  | 1099           | 96.3    |
| Yes                                      | 26             | 4.8                        | 248        | 46.4  | 42             | 3.7     |
| HIV status (self-reported)               |                |                            |            |       |                |         |
| Positive                                 | 15             | 2.7                        | 7          | 1.3   | 27             | 2.4     |
| Negative                                 | 422            | 77.1                       | 467        | 87.5  | 183            | 15.9    |
| Unwilling to share                       | 22             | 4.0                        | 22         | 4.1   |                |         |
| Never tested/unknown                     | 88             | 16.1                       | 38         | 7.1   | 938            | 81.7    |
|                                          |                |                            |            |       |                |         |
| a ' Some primary' indicates completion of at least some primary education; Gem: question on current schooling only asked to a subset of adolescents aged 13–17 years |
| b Nairobi: Yes = recently employed within the last month; uMkhanyakude: Yes = currently employed; Gem: Yes = has a defined occupation or ‘other’ occupation with source of income from a job or business, other than student, housewife, unemployed, or other |
| c Nairobi: Girl or household member went to sleep at night hungry because there was not enough food in past 4 weeks; uMkhanyakude: Girl or household member ever skipped or cut the size of a meal because there was not enough money for food |
Table 2: Profile, invitation to participate, and uptake of DREAMS core package among AGYW aged 10–14, Nairobi

| Characteristics of AGYW | Cohort profile | Invited to participate | Number of core package categories accessed |
|------------------------|----------------|------------------------|-------------------------------------------|
|                        | Total n % (col) | Total n % (row)        | 0 n % | 1 n % | 2 n % | 3+ n % |
| Age                    |                |                        |      |      |      |       |
| 10–12                  | 372 61.4       | 163 43.8               | 2 40  | 2 40  | 0 0   | 1 20   |
| 13–14                  | 234 38.6       | 127 54.3               | 40 17 | 41 17 | 52 17 | 111 47.4 |
| Informal settlement site Nairobi |            |                        |      |      |      |       |
| Korogocho              | 323 53.3       | 192 59.4               | 39 12 | 61 18 | 68 21.1 | 155 48 |
| Viwandani              | 283 46.7       | 98 34.6                | 102 36 | 63 23.3 | 40 14.1 | 78 27.6 |
| Currently enrolled in school | 601 99.2 | 289 48.1               | 139 23.1 | 122 20.3 | 108 18 | 232 38.6 |
| Paid jobs/activities, last 6 months | 577 95.2 | 275 47.7               | 136 23.6 | 122 21.1 | 102 17 | 217 37.6 |
| Family food insecurity |                |                        |      |      |      |       |
| Never                  | 227 37.5       | 95 41.9                | 64 28.2 | 47 20.7 | 38 16.7 | 78 34.4 |
| Sometimes              | 331 54.6       | 165 49.8               | 70 21.1 | 67 20.2 | 65 19.6 | 129 39 |
| Often                  | 47 7.8         | 29 61.7                | 7 14.9 | 9 19.1 | 5 10.6 | 26 55.2 |
| Number of people sleep in same room | 84 13.9 | 35 41.7               | 22 26.2 | 17 20.2 | 12 14.3 | 33 39.3 |
| 0–1                    | 239 39.4       | 108 45.2               | 63 26.4 | 43 18 | 44 18.4 | 89 37.2 |
| 2–3                    | 283 46.7       | 147 51.9               | 56 19.8 | 64 22.6 | 52 18.4 | 111 39.2 |
| Romantic relationships |                |                        |      |      |      |       |
| Never                  | 541 89.4       | 263 48.6               | 128 23.7 | 109 20.1 | 94 17.4 | 210 38.8 |
| Previously             | 41 6.8         | 18 43.9                | 7 17.1 | 7 17.1 | 10 24.4 | 17 41.5 |
| Currently in relationship (not married) | 23 3.8 | 8 34.8               | 6 26.1 | 8 34.8 | 3 13 | 6 26.1 |
| Ever had sex           |                |                        |      |      |      |       |
| No                     | 593 97.9       | 285 48.1               | 137 23.1 | 123 20.7 | 106 17.9 | 227 38.3 |
| Yes                    | 12 2.0         | 5 41.7                | 3 25 | 1 8.3 | 2 16.7 | 6 50 |
| Sexually exploitedc    |                |                        |      |      |      |       |
| No                     | 566 93.4       | 275 48.6               | 131 23.1 | 118 20.8 | 100 17.7 | 217 38.3 |
| Yes                    | 40 6.6         | 15 37.5               | 10 25 | 6 15 | 8 20 | 16 40 |
| Physical violence, last 6 months | 507 83.7 | 244 48.1               | 120 23.7 | 98 19.3 | 89 17.6 | 200 39.4 |
| Yes (slapped, hit, physically hurt) | 99 16.3 | 46 46.5               | 21 21.2 | 26 26.3 | 19 19.2 | 33 33.3 |
| Verbal violence, last 6 months | 407 67.2 | 198 48.6               | 93 22.9 | 90 22.1 | 75 18.4 | 149 36.6 |
| Yes (teased, bullied or threatened) | 199 32.8 | 92 46.2               | 48 24.1 | 34 17.1 | 33 16.6 | 84 42.2 |

*Includes enrolled in school but school holiday/vacation from school currently; °ever been a time when your family did not have enough food because they had no money; °°reported being threatened, coerced or being forced into being touched or having (first) sex, or said they were unwilling to have (first) sex, or they were ever forced into/attempted sex by an adult (childhood experiences), or reported being touched in the last 6 months in a way they did not want to be touched.
In Nairobi and Gem, usage of relevant DREAMS services, for example community mobilisation, cash transfers (social protection) and parenting/caregiver programmes, was generally low among women aged 25–49 years (≤11% for each intervention in both settings) and men aged 15–49 years (≤5%, Nairobi and Gem) (Additional file 8). The exceptions were HIV testing services, accessed by 54% of men in Nairobi in the last 12 months (55% among men aged 15–34 years), and school-based HIV education, accessed by 31% of males aged 15–34 years who were in school (7% among all men aged 15–34 years). Few men had recently accessed VMMC in this setting (2% overall and 2% among men aged 15–34 years). In Gem, 89% of men had ever participated in HIV testing services (88% among those aged 15–34 years), 3% (n = 2828) were circumcised in a health facility in 2016 (4% of men aged 15–34 years, n = 2142), and 43% of men aged 15–34 years had ever accessed school-based HIV education. In uMkhanyakude, 36% of men aged 15–34 years had ever had VMMC (n = 878; 33% of males aged 15–49 years, n = 1020) (data not shown).

**Uptake of multiple intervention categories**

The majority of AGYW had accessed interventions from multiple core package categories (Table 3), with > 60% accessing ≥2 categories and > 30% accessing ≥3 categories, in both younger and older AGYW and in both settings. AGYW aged 13–17 years accessed a greater number of categories compared to those aged 18–22 years. Over 50% of girls aged 10–14 years in Nairobi had accessed ≥2 categories and > 30% ≥3 categories (Table 2). Interventions were also frequently used in combinations across the individual and contextual levels, with > 60% of those aged 13–22 years using individual level interventions also participating in interventions that aim to strengthen the family or mobilise communities (Fig. 4).

In terms of the ‘primary interventions’ specified by countries, the majority of AGYW in Nairobi had accessed at least two of them, although few had accessed all seven (Fig. 5). Findings were broadly similar for uMkhanyakude, where most AGYW had accessed at least two, but few had accessed all five intended primary interventions (Fig. 6).
Uptake by characteristics of AGYW

Based on univariable analyses (Tables 3 and 4), self-reported invitation to participate in DREAMS was highest among the younger adolescent girls (13–17 years) than young women (18–22 years) in both Nairobi and uMkhanyakude. AGYW were also more likely to be invited if they were: in school, had never had sex and were never pregnant. Higher proportions of AGYW who were not recently employed, never married, self-assessed as ‘very poor’, and food insecure were invited in Nairobi, as well as rural residents, recipients of government grants (among AGYW aged 13–17 years), those with low socio-economic status, and those who had migrated in uMkhanyakude. In general, associations between individual characteristics and invitation to participate in DREAMS followed the same pattern among older and younger AGYW. Overall, patterns for the number of intervention categories accessed were broadly similar to the patterns for invitation to participate, although those who had sex, or a pregnancy/birth, generally accessed more categories.

In Nairobi, higher proportions of AGYW aged 13–14 years were invited to and participated in DREAMS interventions compared to those aged 10–12 years (Table 2), and compared to those aged 18–22 years. AGYW aged 10–14 reporting socio-economic vulnerabilities (family often had insufficient food, or higher density sleeping arrangements) were more frequently invited into DREAMS, and participated in a greater number of intervention categories, compared with those not reporting such vulnerabilities.

In multivariable analyses, in both settings there was strong evidence for associations of schooling and food insecurity with invitation to participate in DREAMS (p < 0.001 for each), although those reporting hunger/reduced meals were less likely to be invited in uMkhanyakude, and more likely to be invited in Nairobi (Table 4; p < 0.001 for both). Age group was strongly associated with invitation to participate in uMkhanyakude (p < 0.001), with the older group (18–22 years) less likely to be invited, but not so in Nairobi after accounting for other characteristics. In Nairobi, never/previously married women (p < 0.001) and those not recently employed (p = 0.003) were more likely to be invited, as were those with rural residence (p < 0.001), low SES (p = 0.001) and ever pregnant (p = 0.07) in uMkhanyakude.
### Table 3

| Characteristics of AGYW | Nairobi, Kenya |  |  |  | uMkanyakude, South Africa |  |  |  |  |  |  |  |
|-------------------------|---------------|---|---|---|---------------------------|---|---|---|---|---|---|---|
|                         | Age 13–17 years | Age 18–22 years |  |  | Age 13–17 years | Age 18–22 years |  |  |  |  |  |  |  |
|                         | Total Invited  | No. core package categories accessed | Total Invited  | No. core package categories accessed | Total Invited  | No. core package categories accessed | Total Invited  | No. core package categories accessed |  |  |  |  |  |
|                         | N %          | 1 2 3 3+ | N %          | 1 2 3 3+ | N %          | 1 2 3 3+ | N %          | 1 2 3 3+ |  |  |  |  |  |
| Overall (total)          | 547 58.9     | 14.8 19.0 18.1 48.1 | 534 40.1     | 10.5 24.0 25.7 39.9 | 1148 40.3     | 7.1 23.3 23.4 46.2 | 1036 17.0     | 10.7 24.5 30.5 34.3 |  |  |  |  |  |
| Currently in school      | 88 48.9      | 19.3 23.9 18.2 386 | 367 33.2     | 10.1 25.9 30.8 33.2 | 20 30.0       | 10.0 45.0 30.0 15.0 | 520 11.5       | 13.5 31.9 34.2 20.4 |  |  |  |  |  |
| Yes                     | 459 60.8     | 13.9 18.1 18.1 499 | 167 55.1     | 11.4 19.8 14.4 54.5 | 1128 40.5     | 7.1 22.9 23.3 46.7 | 516 22.5       | 7.9 17.1 26.7 48.3 |  |  |  |  |  |
| Marital status           | 535 59.4     | 15.0 18.9 17.8 484 | 341 48.1     | 12.3 22.9 196 45.2 |  |  |  |  |  |  |  |  |  |
| Never/ previously married | 335 33.3    | 8.3 25.0 33.3 33.3 | 193 25.9     | 7.3 25.9 363 30.6 |  |  |  |  |  |  |  |  |  |
| Currently married        | 12 33.3      | 8.3 25.0 33.3 33.3 | 193 25.9     | 7.3 25.9 363 30.6 |  |  |  |  |  |  |  |  |  |
| Geographic area           |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Rural                   | 727 45.3     | 6.6 22.6 24.1 468 | 661 19.7     | 94 22.7 30.9 37.1 |  |  |  |  |  |  |  |  |  |
| Peri-urban              | 351 34.8     | 7.4 22.2 21.7 48.7 | 309 12.0     | 129 27.5 30.4 29.1 |  |  |  |  |  |  |  |  |  |
| Urban                   | 60 10.0      | 11.7 38.3 23.3 267 | 57 14.0      | 140 24.6 31.6 29.8 |  |  |  |  |  |  |  |  |  |
| Employment<sup>b</sup>   |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Yes                     | 20 35.0      | 20.0 30.0 15.0 350 | 113 30.9     | 6.2 26.5 30.1 37.2 | 16 20.5       | 37.5 18.8 12.5 31.3 | 40 15.0       | 22.5 20.0 35.0 22.5 |  |  |  |  |  |
| No                      | 527 59.8     | 14.6 18.6 18.2 486 | 421 42.8     | 11.6 23.3 24.5 40.6 | 1129 40.6     | 6.6 23.4 23.6 464 | 990 17.2      | 10.2 24.6 304 34.7 |  |  |  |  |  |
| Self-assessed household poverty |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Very poor               | 66 66.7      | 6.1 91 21.2 636 | 73 45.2      | 96 16.4 192 54.8 |  |  |  |  |  |  |  |  |  |
| Moderately poor         | 435 58.4     | 16.3 20.7 17.0 460 | 423 40.2     | 102 24.6 265 38.8 |  |  |  |  |  |  |  |  |  |
| Not poor                | 46 52.2      | 13.0 174 23.9 45.7 | 38 28.9      | 158 31.6 289 23.7 |  |  |  |  |  |  |  |  |  |
| Received government grant |  |  |  |  |  |  |  |  |  |  |  |  |  |
| No                      | 338 18.0     | 13.0 293 23.7 340 | 775 19.4     | 120 25.5 274 35.1 |  |  |  |  |  |  |  |  |  |
| Yes (child-care/foster-child) | 773 49.8 | 4.5 204 23.8 51.2 | 259 10.0     | 66 21.6 402 31.7 |  |  |  |  |  |  |  |  |  |
| Socio economic status   |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Low                     | 380 48.4     | 8.2 21.1 23.2 47.6 | 347 19.0     | 11.2 21.3 31.1 36.6 |  |  |  |  |  |  |  |  |  |
| Middle                  | 386 38.3     | 5.7 21.5 23.3 49.5 | 361 16.9     | 7.2 24.9 32.7 35.2 |  |  |  |  |  |  |  |  |  |
| High                    | 344 33.7     | 7.3 276 23.8 41.3 | 256 15.6     | 148 27.0 289 29.3 |  |  |  |  |  |  |  |  |  |
| Food insecure<sup>b</sup> |  |  |  |  |  |  |  |  |  |  |  |  |  |
| No                      | 351 55.3     | 15.4 199 18.2 464 | 366 33.6     | 11.2 26.8 28.1 33.9 | 898 41.1     | 6.7 24.1 23.2 461 | 603 21.2       | 11.3 26.2 279 34.7 |  |  |  |  |  |
| Yes                     | 185 67.0     | 13.5 15.7 17.8 53.0 | 165 54.5     | 8.5 17.6 20.6 53.3 | 250 37.6     | 8.8 20.4 24.4 464 | 432 11.1       | 9.7 22.2 34.3 33.8 |  |  |  |  |  |
Table 3 Invitation to DREAMS and uptake of core package, by characteristics and age-group, Nairobi and uMkhanyakude (Continued)

| Characteristics of AGYW | Nairobi, Kenya | Age 13–17 years | Total Invited | No. core package categories accessed | Nairobi, Kenya | Age 18–22 years | Total Invited | No. core package categories accessed | uMkhanyakude, South Africa | Age 13–17 years | Total Invited | No. core package categories accessed | uMkhanyakude, South Africa | Age 18–22 years | Total Invited | No. core package categories accessed |
|------------------------|---------------|-----------------|---------------|-------------------------------|---------------|-----------------|---------------|-------------------------------|---------------------------|-----------------|---------------|-------------------------------|---------------------------|-----------------|---------------|-------------------------------|
|                        |               | Age 15–17 years |               |                               | Age 18–22 years |               |                               |                             |                             | Age 13–17 years | Total Invited | No. core package categories accessed |                             |                             | Total Invited | No. core package categories accessed |
| Ever had sex           |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
| No                     |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
|                        | 479           | 60.5            | 16.3          | 18.8                         | 466           | 163             | 53.4          | 18.4                         | 22.1                       | 153            | 44.2          | 999             | 40.6          | 7.6                         | 25.1                       | 23.0            | 44.2          | 279           | 22.9            | 21.1                         | 28.0                       | 25.1            | 25.8          |
| Yes                    | 66            | 45.5            | 4.5           | 21.2                         | 576           | 371             | 34.2          | 70                           | 24.8                       | 302            | 38.0          | 137            | 39.4          | 3.6                         | 95                         | 27.7            | 591            | 724           | 14.8            | 68                           | 22.8                       | 330            | 37.4          |
| Ever pregnant          |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
| No                     |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
|                        | 514           | 60.1            | 15.4          | 19.1                         | 479           | 266             | 46.6          | 15.4                         | 23.7                       | 180            | 42.9          | 1077           | 40.9          | 7.4                         | 23.8                       | 23.3            | 45.5          | 499           | 20.4            | 16.2                         | 28.9                       | 269            | 28.1          |
| Yes                    | 31            | 35.5            | 6.5           | 19.4                         | 484           | 268             | 33.6          | 56                           | 24.3                       | 33.2           | 36.9          | 63             | 31.7          | 1.6                         | 143                        | 28.6            | 55.6          | 494           | 14.0            | 5.3                           | 20.2                       | 338            | 40.7          |
| Ever given birth       |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
| No                     |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
|                        | 519           | 59.7            | 15.2          | 19.1                         | 480           | 286             | 45.5          | 147                          | 25.5                       | 189            | 40.9          | 1099           | 40.5          | 7.3                         | 23.7                       | 23.4            | 45.6          | 548           | 20.1            | 15.9                         | 29.9                       | 265            | 27.7          |
| Yes                    | 26            | 38.5            | 7.7           | 19.2                         | 462           | 248             | 33.9          | 56                           | 22.2                       | 33.5           | 38.7          | 42             | 35.7          | 2.4                         | 119                        | 28.6            | 57.1          | 469           | 13.4            | 4.5                           | 18.3                       | 356            | 41.6          |
| Gender based violencec |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
| No                     |               |                 |               |                               |               |                 |               |                               |                           |                             |               |                 |                               |                           |                             |               |                               |
|                        | 321           | 57.9            | 20.2          | 16.2                         | 455           | 286             | 40.9          | 119                          | 25.9                       | 245            | 37.8          | 715            | 40.1          | 9.0                         | 22.7                       | 23.5            | 449          | 697            | 15.1            | 12.5                         | 25.3                       | 29.7            | 32.6          |
| Yes                    | 226           | 60.2            | 7.1           | 23.0                         | 518           | 248             | 39.1          | 89                           | 21.8                       | 27.0           | 42.3          | 433            | 40.6          | 4.2                         | 242                        | 23.3            | 483          | 339            | 20.9            | 7.1                           | 23.0                       | 322            | 37.8          |

Denominators shown are all girls in each age-group and characteristic category, regardless of invitation to participate

a Nairobi: Not recently employed in last month vs. employed within last month; uMkhanyakude: Not employed currently vs. full or part time employment

b Nairobi: Girl or household member went to sleep at night hungry because there was not enough food in past 4 weeks; uMkhanyakude: Girl or household member ever cut the size of their meal or skipped meals because there was not enough money for food

c Nairobi: reported any of the following by a man in the past 12 months: humiliated; threatened to hurt or harm; insulted; pushed, shook, threw something; slapped; twisted arm or pulled hair; punched; kicked, dragged or beaten; tried to choke or burn; threatened to attack; attacked; unwanted sexual advances; attempted unwanted sex; forced sexual intercourse; forced sex acts
In multivariable analysis there was evidence that the following were associated with participation in ≥3 core package intervention categories: being in school ($p < 0.001$, both settings) and ever being pregnant ($p = 0.008$ Nairobi, $p < 0.001$ uMkhanyakude); not being married ($p = 0.01$), household poverty ($p = 0.002$), food insecurity ($p = 0.002$) and experience of sexual exploitation ($p = 0.001$) in Nairobi; and younger age group ($p = 0.001$), lower SES ($p = 0.02$) and ever had sex ($p = 0.006$) in uMkhanyakude (Additional files 9 and 10). Characteristics associated with participation in ≥4 intervention categories were largely similar.

![Fig. 4 Layering of interventions across DREAMS core package levels in Nairobi and uMkhanyakude. Footnote: Numbers indicate those AGYW aged 15–22 in Nairobi and 13–22 in uMkhanyakude who used any intervention within each DREAMS core package intervention level in the last 12 months.](image)

![Fig. 5 Number of primary interventions accessed, overall, and among those invited to DREAMS, by age, Nairobi. Footnote: Primary interventions in Kenya: HIV Testing Services, HIV and violence prevention, contraceptive method mix education, condom education and demonstration, financial capability training, entrepreneurship training, social asset building (PrEP excluded from the analysis - not asked on the 2017 Nairobi enrolment survey).](image)
Discussion
As possibly the most ambitious example of combination HIV prevention to date, we sought to evaluate the extent to which DREAMS reached AGYW and related target groups in large and representative samples in diverse settings. Our findings are among the first to demonstrate that it is possible to deliver combination HIV prevention interventions to AGYW, in real-world (non-trial) settings, addressing biological, behavioural (sexual) and social protection pathways.

We found high levels of awareness and uptake of DREAMS among AGYW after 1 year of implementation in Kenyan and South African, urban and rural, settings, with the highest levels in Nairobi (where DREAMS had been implemented longest). In Gem, lower awareness reflected the earlier phase of DREAMS implementation at the time these data were collected. In contrast, awareness and uptake was low among other population groups, e.g. adult women and young men targeted for ‘contextual’ interventions in the DREAMS package.

The majority of AGYW beneficiaries accessed multiple categories of the core package, typically 2–3, which often included both individual and contextual-level interventions. This evidence of ‘layering’ indicates that programmatic integration across sectors is feasible. Findings from other studies in similar settings have also indicated that it is feasible and acceptable, though challenging, to deliver combination HIV prevention packages to AGYW, though these packages have usually combined either “health service”, or social, or behavioural interventions, rather than all three together. Examples of such initiatives include delivery of a prevention package including universal HIV testing and treatment to young people within the context of the PopART trial in Zambia [31]; and combination of microfinance, gender/HIV training and community mobilisation for women through the IMAGE trial in South Africa [32]. The EMPOWER trial in Tanzania and South Africa also offers useful insights by demonstrating the feasibility and acceptability of combining a wider array of interventions for AGYW, e.g. integrating gender-based violence screening with HIV testing services, delivering PrEP alongside sexual and reproductive health interventions, counselling, community/partner mobilisation, and empowerment clubs [33]. Our analyses extend these findings to non-trial settings and to ‘layering’ a comprehensive combination of biological, behavioural, and social interventions to AGYW and their partners/families. They also complement findings emerging from parallel research conducted by the Population Council on the effectiveness of efforts to recruit vulnerable AGYW to DREAMS, in a range of different communities [34–37]. However, detailed findings on awareness and ‘layering’ of the DREAMS core package and primary interventions have not been reported and there are methodological differences compared to our evaluation. Our study design leverages long-standing population-based demographic surveillance platforms,
Table 4 Uni/multi-variable analyses of characteristics associated with invited to participate in DREAMS, Nairobi and uMkhanyakude

| Characteristics of AGYW | Nairobi | | | Nairobi | | | Nairobi | | | uMkhanyakude | | | uMkhanyakude | | |
|-------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|                         | cOR     | 95% CI  | p (LRT) | aOR     | 95% CI  | p (LRT) | cOR     | 95% CI  | p (LRT) | aOR     | 95% CI  | p (LRT) | cOR     | 95% CI  | p (LRT) |
| Age                     |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| 13/15–17                | 1       |         |         | 1       |         |         | 0.3     | 0.3–0.4 | < 0.001 | 0.5     | 0.4–0.6 | < 0.001 |         |         |         |
| 18–22                   | 0.5     | 0.4–0.6 | < 0.001 | 1       |         |         | 0.6     | 0.5–0.7 | < 0.001 | 0.6     | 0.5–0.7 | < 0.001 |         |         |         |
| Marital status          |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Never/previously married| 1       |         |         | 1       |         |         | 0.3     | 0.2–0.4 | < 0.001 | 0.4     | 0.3–0.7 | < 0.001 |         |         |         |
| Currently married        | 0.3     | 0.2–0.4 | < 0.001 | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Urban or rural           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Rural                   | 1       |         |         | 1       |         |         | 0.6     | 0.5–0.7 | < 0.001 | 0.6     | 0.5–0.7 | < 0.001 |         |         |         |
| Peri-urban/urban         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Currently in school      |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes                     | 2.6     | 2.0–3.3 | < 0.001 | 1.7     | 1.3–2.4 | < 0.001 | 3.8     | 2.9–5.1 | < 0.001 | 1.9     | 1.4–2.7 | < 0.001 |         |         |         |
| Employment               |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes (in last month)      | 0.4     | 0.3–0.6 | < 0.001 | 0.5     | 0.4–0.8 | 0.003   | 0.6     | 0.3–1.0 |         |         |         |         |         |         |
| Household poverty        |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Very poor               | 1       |         | 0.05 for trend | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Moderately poor         | 0.8     | 0.6–1.1 |         | 0.6     | 0.5–0.8 | 0.001   | 0.7     | 0.5–0.9 | < 0.001 | 0.6     | 0.5–0.8 | 0.001   |         |         |         |
| Not poor                | 0.6     | 0.3–1.0 |         | 0.6     | 0.5–0.8 | 0.001   | 0.7     | 0.5–0.9 | < 0.001 | 0.6     | 0.5–0.8 | 0.001   |         |         |         |
| Socio-economic status    |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Low                     | 1       |         |         | 1       |         |         | 0.7     | 0.6–0.9 | 0.7     | 0.6–0.9 |         |         |         |         |         |
| Middle                  | 0.7     | 0.6–0.9 |         | 0.7     | 0.6–0.9 |         | 0.6     | 0.5–0.8 | 0.001   | 0.6     | 0.5–0.8 | 0.001   |         |         |         |
| High                    | 0.7     | 0.5–0.9 | < 0.001 | 0.6     | 0.5–0.8 | 0.001   | 0.7     | 0.5–0.9 | < 0.001 | 0.6     | 0.5–0.8 | 0.001   |         |         |         |
| Food insecure           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes                     | 2.0     | 1.5–2.6 | < 0.001 | 2.0     | 1.5–2.7 | < 0.001 | 0.5     | 0.4–0.7 | < 0.001 | 0.6     | 0.5–0.8 | < 0.001 |         |         |         |
| Ever had sex            |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 0.4     | 0.3–0.5 | < 0.001 | 0.4     | 0.3–0.5 | < 0.001 |         |         |         |
| Yes                     | 0.4     | 0.3–0.5 | < 0.001 | 1       |         |         | 0.4     | 0.3–0.5 | < 0.001 | 0.8     | 0.6–1.0 | 0.07     |         |         |         |
| Ever pregnant           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes                     | 0.4     | 0.3–0.5 | < 0.001 | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Sexually exploited      |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 0.6     |         |         | 0.9     | 0.7–1.3 |         |         |         |         |         |         |         |
| Yes                     | 0.9     | 0.7–1.3 |         | 0.7     | 0.3–1.5 | 0.3     | 0.6     | 0.4–1.1 |         |         |         |         |         |         |         |
| Transactional sex       |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes                     | 0.7     | 0.3–1.5 | 0.3     | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Experienced violence    |         |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         | 1       |         |         | 1       |         |         |         |         |         |         |         |         |
| Yes                     | 1.0     | 0.8–1.2 | 0.8     | 1.2     | 1.0–1.5 | 0.04    |         |         |         |         |         |         |         |         |
allowing for robust comparison groups (those not reached by DREAMS) and for analyses of uptake of relevant DREAMS interventions in the community.

Although we found evidence of ‘layering’, the intention of the DREAMS Partnership is to ‘layer’ more interventions than we observed, e.g., between 3 and 7 interventions in the primary intervention packages defined by age group. More time may be required to achieve greater ‘layering’, given the primary interventions were specified in the second year of DREAMS implementation (circa July 2017), and because roll-out of interventions was typically staggered until the full package became available [20]. In particular, interventions that were new to an area, e.g., ‘safe spaces’ and social asset building, or social protection interventions, took longer to implement than pre-existing interventions that were expanded with DREAMS funding, e.g., HIV testing services and school-based HIV education. This is reflected in the relatively lower uptake and ‘layering’ of the new interventions, in comparison to more established services.

In both Nairobi and uMkhanyakude, uptake of individual and ‘layered’ interventions was highest among younger women (particularly those aged 13/15–17 years) compared to 18–22 years. This indicates that DREAMS offers a model for reaching adolescents early, prior to entering a high risk window (e.g., before age 15/16, when HIV incidence starts to rise rapidly among girls [1]), and before most young women access health services for the first time (often with their first pregnancy). This was reinforced in Nairobi with the high receipt of DREAMS interventions, including multiple interventions, among 10–14 year olds – a stage dubbed the ‘window of opportunity’ by UNICEF [38]. On the other hand, the program was relatively less effective at reaching young women entering the period of ‘peak’ HIV risk (typically early/mid-twenties in high-burden settings [1]), which may impede its impact on HIV incidence, particularly over a short timeframe.

DREAMS was effective at reaching AGYW with socio-economic vulnerabilities, in both Kenya and South Africa, although less so for AGYW who were out of school than those in school. Invitation to participate was also lower among AGYW who had ever had sex or ever been pregnant, i.e., those at potentially higher sexual risk, although ‘layering’ was more common among these AGYW (which may reflect engagement in pre-existing antenatal care and reproductive health services). Research conducted by Population Council in Zambia and Kenya has also found under-representation of out-of-school and sexually active AGYW among DREAMS beneficiaries and, more generally, defined the majority of an out-of-school AGYW sample in Kenya as ‘lower’ vulnerability [34, 39].

These findings show that, while feasible to deliver multiple interventions for ‘layered’ HIV prevention, among large proportions of the general population of AGYW, challenges remained for higher coverage and greater ‘layering’ of DREAMS, including increasing coverage among older, out-of-school AGYW, and community-based programmes to reach families and men, if DREAMS is to impact on HIV incidence. These findings reflect that achieving scale-up of such a complex intervention, as fully intended, takes time, and suggest that phased implementation can allow time for reprioritisation, where required [24]. Some interventions – like community-based norms interventions and parenting programmes - were newly introduced in these settings, without programme infrastructure to build from. They therefore required intensive training of implementing partners and took longer to roll-out and scale-up than services with a pre-existing infrastructure [24]. Related qualitative research in South Africa has also revealed that conflicts with home priorities and logistical issues such as transport have contributed to challenges with recruiting and retaining AGYW and caregivers into DREAMS parenting programmes (who ideally

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**Table 4** Uni/multi-variable analyses of characteristics associated with invited to participate in DREAMS, Nairobi and uMkhanyakude (Continued)

| Characteristics of AGYW | Nairobi | | | | | | uMkhanyakude | | | |
|-------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|                         | cOR     | 95% CI  | p (LRT) | aOR     | 95% CI  | p (LRT) | cOR     | 95% CI  | p (LRT) | aOR     | 95% CI  | p (LRT) |
| Migrated since age 13   |         |         |         |         |         |         |         |         |         |         |         |         |
| No                      | 1       |         |         |         |         |         |         |         |         |         |         |         |
| Yes                     | 0.5     | 0.4–0.6 | < 0.001 |         |         |         |         |         |         |         |         |         |

Also investigated: Nairobi: migration (lived elsewhere for > 1 month in last 3 months), ever given birth, has at least 1 child, number of individual assets, number of household assets, ≥ 2 classes behind at school.

Also investigated: uMkhanyakude: ever drunk alcohol, cell phone use

cOR Crude odds ratio, aOR Adjusted odds ratio, LRT Likelihood ratio test

a Nairobi: 15–17 and 18–22 years; uMkhanyakude 13–17 and 18–22 years

b Nairobi: Girl or household member went to sleep at night hungry because there was not enough food in past 4 weeks; uMkhanyakude: Ever skipped or reduced a meal

c Nairobi: Migrated since age 13

d Nairobi: reported any of the following by a man in the past 12 months: humiliated; threatened to hurt or harm; insulted; pushed, shook, threw something; slapped; twisted arm or pulled hair; punched; kicked, dragged or beaten; tried to choke or burn; threatened to attack; attacked; unwanted sexual advances; attempted unwanted sex; forced sexual intercourse; forced sex acts. uMkhanyakude: Ever experienced any form of violence.
participate together, at least in some sessions, but are often unable to do so in practice [40]. Future rounds of our evaluation research will continue to track uptake by intervention type and sub-group, to assess changes over time.

Strengths of the study include the large, representative samples which enable accurate, population-level estimates of DREAMS’ reach among AGYW as well as important related target groups, across diverse settings. Harmonised research tools, with questions on all interventions in the core package, allowed for detailed assessment of combinations of interventions and comparable summaries across settings. Still, measuring uptake of such a complex programme with so many components is challenging. We relied on self-reported information on invitation to participate in DREAMS, as a marker of who was intended to benefit from, as well as who actually accessed the programme. This may have underestimated participation, if some AGYW did not know they had been ‘invited to DREAMS’, or that interventions were DREAMS-funded. Going forward, linkages with individual-level programme data may improve our classification of which AGYW were DREAMS beneficiaries. Differences in the data available in the 2016 Gem survey, compared to data collected in 2017 in Nairobi and uMkhanyakude, limited some of the comparisons that could be made. For example, invitation to participate in DREAMS was not captured explicitly in Gem and participation was measured as ‘ever participated’, compared to participation within the last 12 months in the other two settings (although DREAMS was introduced in 2016, so participation in DREAMS-specific interventions in Gem should have reflected participation within the prior 12 months only). Furthermore, the heterogeneity of DREAMS implementation across settings limited the comparability of some measures, though we strove to standardise using common frameworks like the core package and primary intervention packages, as defined by PEPFAR [6]. The STROBE reporting guidelines were also used to guide synthesis and standardise reporting of our findings across settings [30] (Additional file 2). This evaluation focussed on selected DREAMS sites (justified in Birdthistle et al. [17]) and our findings may not be generalisable to all other DREAMS intervention sites.

Conclusions
This study contributes detailed evidence to a relatively sparse body of research on the feasibility of scaling-up combination HIV prevention in non-trial conditions. Such evidence is important for understanding how to bridge the ‘implementation gap’ [15, 16]. Our findings reveal that it is possible to deliver multiple interventions at scale, among target populations of AGYW, including socio-economically vulnerable individuals, in varied settings. However, we showed that maximising ‘layering’ with the full range of intended interventions takes time, especially when interventions are being delivered in an area, or to a population, for the first time. This is particularly true among key sub-groups such as older and out-of-school AGYW, while efforts to reach male partners and families with community-level programmes also need to be intensified. Specifically, lessons here can inform programming that aims to maximise the impact of HIV prevention among young women, especially in the context of current expansion of DREAMS, ‘DREAMS-like’ programmes, and other multi-sectoral programming. Moreover, we will continue to track uptake over time as two further years of data collection (2018–2019), combined with ongoing process evaluation, will offer longer-term lessons about scale-up and sustainability, as well as impact.

Supplementary information

Additional file 1. Summary of primary intervention packages in each country setting, by age.
Additional file 2. STROBE checklist.
Additional file 3. Characteristics of men aged 15–49 and women aged 25–49 years in Nairobi, uMkhanyakude and Gem general population surveys.
Additional file 4. Sources of information about DREAMS, among AGYW who ever heard of DREAMS, in Nairobi and uMkhanyakude.
Additional file 5. Sources of information about DREAMS, among men aged 15–49 years and older women aged 25–49 years who ever heard of DREAMS, by age, in Nairobi.
Additional file 6. Awareness and usage of specific DREAMS interventions among AGYW aged 15–17 in Nairobi.
Additional file 7. Awareness and usage of specific DREAMS interventions among AGYW aged 18–22 in Nairobi.
Additional file 8. Uptake of categorised interventions of the DREAMS Core Package in Kenya (Nairobi and Gem), among men by age group (panel A), and among women aged 25–49 (panel B).
Additional file 9. Univariable and multivariable analyses of AGYW characteristics associated with participation in 3+ or 4+ DREAMS core package intervention categories in the last 12 months in Nairobi.
Additional file 10. Univariable and multivariable analyses of AGYW characteristics associated with participation in 3+ or 4+ DREAMS core package intervention categories in the last 12 months in uMkhanyakude.

Abbreviations
AGYW: Adolescent girls and young women; DREAMS: Determined, Resilient, Empowered, AIDS-free, Mentored, and Safe lives; NUHDSS: Nairobi Urban Health and Demographic Surveillance System; PEPFAR: President’s Emergency Fund for AIDS Relief; PrEP: Pre-exposure Prophylaxis; VMVC: Voluntary male medical circumcision

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Authors’ contributions
IB and SF lead the evaluation study and AZ, DK and MS lead implementation in Nairobi, Gem and uMkhanyakude, respectively. IB, SF and AG conceived
the paper and designed the analysis plan. AG led execution of the analysis with contributions by NTM, KB, BOO and SM. AG led the drafting of the manuscript, IB and SF contributed sections, and all authors reviewed drafts and read and approved the final manuscript.

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**Availability of data and materials**

Data underlying published results will be accessible and open, subject to a data sharing agreement. No additional data are required for interpretation and replication of the results. Details of the data underlying all published figures, tables, and models are provided in the Supplementary materials section. Data sharing agreements are in place with the study sites (e.g. the Kisumu School of Hygiene and Tropical Medicine (Ref 11835) and within the host countries: the Biomedical Research Ethics Committee of the University of KwaZulu-Natal, South Africa; the African Medical and Research Foundation (OPP1136774, http://www.gatesfoundation.org), as per the Open Access Policy of the Researchdatamanagement@lshtm.ac.uk, as per the Open Access Policy of the LMICs. This is a list of all material that was used in the research: refreshments, soap and/or reimbursement for transport costs, where applicable.

**Ethics approval and consent to participate**

Ethics approval was received by research ethics committees at the London School of Hygiene and Tropical Medicine (Ref 11835) and within the host countries: the Biomedical Research Ethics Committee of the University of KwaZulu-Natal, South Africa; the African Medical and Research Foundation Health Africa for the research in Nairobi, Kenya; and the Kenyan Medical Research Institute for the research in Siaya, Kenya. Written informed consent was obtained from all participants, in addition to assent from legal minors with guardian consent (for those aged <18 years). Compensation for participation in the research included refreshments, soap and/or reimbursement for transport costs, where applicable.

**Consent for publication**

Not applicable.

**Competing interests**

The authors declare that they have no competing interests.

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