Clinical Consequences and Cost of Limiting Use of Vancomycin for Perioperative Prophylaxis: Example of Coronary Artery Bypass Surgery

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Routine use of vancomycin for perioperative prophylaxis is discouraged, principally to minimize microbial resistance to it. However, outcomes and costs of this recommendation have not been assessed. We used decision-analytic models to compare clinical results and cost-effectiveness of no prophylaxis, cefazolin, and vancomycin, in coronary artery bypass graft surgery. In the base case, vancomycin resulted in 7% fewer surgical site infections and 1% lower all-cause mortality and saved $117 per procedure, compared with cefazolin. Cefazolin, in turn, resulted in substantially fewer infections and deaths and lower costs than no prophylaxis. We conclude that perioperative antibiotic prophylaxis with vancomycin is usually more effective and less expensive than cefazolin. Data on vancomycin’s impact on resistance are needed to quantify the trade-off between individual patients’ improved clinical outcomes and lower costs and the future long-term consequences to society.

The emergence of vancomycin-resistant enterococci has opened a new era of hardly treatable bacterial infections, and there is now evidence that more virulent common pathogens such as Staphylococcus aureus can also develop resistance to vancomycin (1,2). The use of vancomycin is hypothesized to promote the development or transmission of this resistance (3,4). Restrictive guidelines have therefore been disseminated for the use of vancomycin or teicoplanin, another glycopeptide agent (5). These guidelines include a recommendation against the routine use of vancomycin as perioperative antibiotic prophylaxis for surgical site infections.

However, vancomycin is preferred for preventing infections caused by methicillin-resistant Staphylococcus aureus (MRSA) or methicillin-resistant coagulase-negative staphylococci. This is the rationale for recommending vancomycin prophylaxis when the risk for infection from methicillin-resistant pathogens is high (6-11), although no guideline has made a clear statement on when to use this alternative. Since antibiotics are commonly used for prophylaxis, liberal interpretation of the prophylaxis guidelines will clearly jeopardize efforts to limit the use of vancomycin. Vancomycin is also more expensive to purchase and administer than cephalosporins.

To inform both the clinical and public policy debate with respect to the optimal prophylaxis regimen, we conducted a cost-effectiveness analysis to compare the short- and long-term consequences of using vancomycin and cefazolin as first-line perioperative prophylaxis. We focused on patients who underwent coronary artery bypass graft surgery (CABG) because this is a large, relatively homogeneous population with substantial risk for serious surgical site infection (12,13).

Methods

Cost-Effectiveness Analysis

We developed a decision-analytic model (Figure 1) to calculate the clinical benefits and costs associated with alternative strategies for antibiotic prophylaxis in a hypothetical cohort of 10,000 patients undergoing CAGB surgery. The three strategies evaluated were 1) no prophylaxis; 2) routine cefazolin, reserving vancomycin for those with a history of allergic reaction to beta-lactam antibiotics; and 3) routine vancomycin. In the base-case analysis, we adopted a payer perspective and included clinical outcomes and direct medical costs in the 3 months after surgery. Clinical outcomes included deep and superficial surgical site infections, as well as hospital deaths.

We also conducted a reference case analysis, as recommended by the Panel on Cost-Effectiveness in Health and Medicine (14), which assumed a societal perspective and relied on a longer time horizon. The reference case was a 65-year-old man undergoing CABG surgery for stable multivessel coronary heart disease. A state-transition model incorporated the lifetime probability of death, myocardial infarction, angina, or asymptomatic coronary artery disease following CABG surgery (15,16) to estimate life expectancy, quality-adjusted life expectancy, and total lifetime costs. Future costs and benefits were discounted at an annual rate of 3%.

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The efficacy of antibiotic prophylaxis in patients undergoing CABG surgery is difficult to quantify directly since the only available placebo-controlled studies were terminated early because significantly better outcomes occurred in the patients assigned to prophylaxis compared with controls (34,35). Therefore, we assumed a relative risk of 0.4 for a surgical site infection in patients who received antibiotic prophylaxis compared with those who did not, which corresponds to the highest effectiveness of antibiotic prophylaxis within the range of results from completed placebo-controlled studies in clean surgical procedures other than CABG surgery (18-23). We used data on the incidence of surgical site infections among patients receiving cefazolin from five surveillance programs in university-affiliated hospitals in Boston (17). By assuming that patients who received either cefazolin or vancomycin shared the same relative risk of 0.4 of developing a surgical site infection due to a susceptible organism, compared with patients who did not receive prophylaxis, we were able to estimate the incidence of surgical site infections for each strategy. We used data from the National Nosocomial Infection Surveillance System (26) to estimate the proportion of surgical site infections caused by antibiotic-resistant organisms. We recognize that the incidence of surgical site infections caused by antibiotic-resistant organisms varies from one institution to another, and therefore varied the resistance pattern over a wide range in sensitivity analysis.

We assumed that 10% of patients had a history of allergy to beta-lactam antibiotics (27). The incidence of adverse events secondary to vancomycin was based on data from a prospective study in which vancomycin was used for 10 days (24). We adjusted these estimates to reflect the probability of toxicity with a 2-day prophylactic regimen by assuming a linear relationship between the incidence of adverse events and the duration of therapy. We assumed that the incidence of adverse events was the same for cefazolin and vancomycin, since several comparative prophylactic studies have reported the toxicity profiles to be similar (25,36,37). Death rates secondary to deep surgical site infection (12), and anaphylactic reaction to cefazolin (28) were obtained from published studies. We then derived the death associated with the surgical procedure and its noninfectious complications by using data on all-cause hospital deaths following CABG surgery reported for the state of Massachusetts (29).

We estimated quality-adjusted life expectancy by applying quality weights to the health states representing death, myocardial infarction, angina, or asymptomatic coronary artery disease. These quality weights were obtained from the Beaver Dam Health Outcomes Study, in which time trade-off techniques were used to elicit utilities (38). We explored a wide range of quality-weights for the temporary health states reflecting a superficial or deep surgical site infection.

Costs
To estimate the costs associated with surgical site infections and hospital deaths, we relied on published estimates (30-33) and adjusted these to 1998 U.S. dollars by using the medical care component of the consumer price indexes published by the Bureau of Labor Statistics (39). These studies used costs from a cost accounting system (30,32) or charges.

Clinical Data
Table 1 summarizes the parameter estimates and their plausible ranges. We assumed that antibiotics used to prevent surgical site infection were partially protective only against infections caused by susceptible bacteria. Vancomycin-susceptible bacteria included all gram-positive organisms except vancomycin-resistant enterococci. Cefazolin-susceptible bacteria included aerobic gram-positive organisms (except enterococci, MRSA, and methicillin-resistant coagulase-negative staphylococci) as well as some aerobic gram-negative bacteria. We based the proportion of surgical site infections attributed to specific causative organisms on microbiologic data from two published studies of patients undergoing CABG surgery, most of whom received a first-generation cephalosporin for prophylaxis (12,13).
Table 1. Model variables

| Variables                                      | Base case | Plausible range | References  |
|------------------------------------------------|-----------|-----------------|-------------|
| **Incidence of SSI**                           |           |                 |             |
| Superficial                                    | 0.08      | 0.02 – 0.12     | (12,17)     |
| Deep                                           | 0.04      | 0.01 – 0.06     | (12,17)     |
| **Causative organisms**                        |           |                 | (12,13)     |
| Staphylococcus aureus                          | 0.25      | 0.20 – 0.35     |             |
| Coagulase-negative Staphylococci               | 0.25      | 0.20 – 0.35     |             |
| Enterococci                                    | 0.05      | 0.02 – 0.15     |             |
| Gram-negative bacteria                         | 0.30      | 0.15 – 0.50     |             |
| **Relative risk of SSI caused by susceptible organisms** | | | |
| Vancomycin vs. no prophylaxis                  | 0.4       | 0.20 – 0.80     | (18-23)     |
| Cefazolin vs. no prophylaxis                   | 0.4       | 0.20 – 0.80     | (18-23)     |
| **Incidence of antibiotic-related adverse events** | | | |
| Vancomycin                                    | 0.08      | 0.01 – 0.20     | (24)        |
| Cefazolin                                     | 0.08      | 0.01 – 0.20     | (24,25)     |
| **Incidence of SSI due to resistant organisms**|           |                 | (26)        |
| MRSA (% of all SSI due to S. aureus)           | 0.012 (0.40) | 0 – 0.03   |             |
| Methicillin-resistant CNS (% of all SSI due to CNS) | 0.024 (0.80) | 0 – 0.03 |             |
| VRE (% of all SSI due to enterococci)          | 0.003 (0.15) | 0 – 0.006 |             |
| Incidence of SSI caused by cefazolin-susceptible gram-negative bacteria (% of all SSI due to gram-negative bacteria) | 0.01 (0.28) | 0 – 0.036 |             |
| History of allergy to beta-lactams             | 0.1       | 0.05 – 0.15     | (27)        |
| **Probability of hospital death**              |           |                 |             |
| Deep surgical site infection                   | 0.082     | 0.01 – 0.10     | (12)        |
| Antibiotic allergic reaction                   | 0.00002   |                 | (28)        |
| Coronary artery bypass graft surgery-related events | 0.036     | 0.01 – 0.1     | (29)        |
| **Costs per case (US$)**                       |           |                 |             |
| Vancomycin                                    | 80        | 60 – 250        | (24)        |
| Cefazolin                                     | 24        | 10 – 50         | (24)        |
| Superficial SSI                               | 8,000     | 3000 – 15,000   | (30,31)     |
| Deep SSI                                      | 36,800    | 10,000 – 50,000 | (30,31)     |
| Vancomycin-related adverse event              | 107       | 20 – 1,000      | (24)        |
| Cefazolin-related adverse event                | 107       | 20 – 1,000      | (24)        |
| Death                                         | 5,900     | 0 – 10,000      | (32)        |
| Multiplication factor for infections due to methicillin-resistant organisms | 1.13 | 0.9 – 2 | (33) |
| Multiplication factor for infections due to VRE | 1.5       | 0.9 – 3         | b           |

SSI = surgical site infection; MRSA = methicillin-resistant Staphylococcus aureus; CNS = coagulase-negative staphylococci; VRE = vancomycin-resistant enterococci.

We assumed that the cost of an infection caused by a vancomycin-resistant enterococcus was 50% greater than the cost of a comparable infection caused by a susceptible strain.
converted to costs (31, 33) as a proxy for direct medical costs. We based the costs of prophylaxis-related adverse events on a study of vancomycin (24) and assumed identical costs for cefazolin-related adverse events. We assumed that both vancomycin and cefazolin would be used for 48 hours, which implies a total of 5 doses of 1 g of vancomycin or 6 doses of 1 g of cefazolin. Antibiotic costs were based on hospital pharmacy acquisition costs, although we added the cost associated with perfusion for both antibiotics (24). A preparation cost was added for vancomycin only, since cefazolin is available in bags ready for infusion.

We estimated the costs of follow-up care by extrapolating the 5-year follow-up medical care cost after CABG surgery among patients included in the Bypass Angioplasty Revascularization Investigation (40).

Results

Base Case

Table 2 shows the intermediate health outcomes and costs associated with the three prophylactic strategies for a hypothetical cohort of 10,000 patients undergoing CABG surgery. With no prophylaxis, the model predicted 570 deep surgical site infections, 1,141 superficial surgical site infections, and 405 all-cause hospital deaths. Prophylaxis using routine cefazolin, reserving vancomycin for patients with a history of beta-lactam allergy, resulted in 173 fewer deep surgical site infections, 347 fewer superficial surgical site infections, and 14 fewer deaths compared with no prophylaxis. Prophylaxis using routine vancomycin resulted in 29 fewer deep surgical site infections, 58 fewer superficial surgical site infections, 1,141 superficial surgical site infections, 1,170,000 less than routine cefazolin strategy per 10,000 patients. Since the routine vancomycin strategy was more effective and less costly, the strategy of routine cefazolin was eliminated by strong dominance.

Sensitivity Analysis

A strategy of no prophylaxis was always less effective and more costly than using prophylaxis. Ranking of the routine vancomycin and cefazolin strategies, both in terms of costs and clinical outcomes, was not affected when the following parameters were changed over the plausible range described in Table 1: deaths from all causes and surgical site infection-related deaths; incidence of deep or superficial surgical site infection; distribution of causative organisms; incidence of prophylaxis-related adverse events; proportion of patients with allergy to beta-lactam antibiotics; costs of cefazolin, deep or superficial surgical site infections, death, or prophylaxis-related adverse events.

Results were most sensitive to changes in the cost of vancomycin, efficacy of cefazolin and vancomycin in preventing surgical site infections, and prevalence of bacterial resistance to antibiotics. If the acquisition and administration cost of 5 doses of vancomycin exceeded a threshold of $215, vancomycin was no longer dominated by vancomycin since routine vancomycin became more costly. Similarly, routine vancomycin became more costly than routine cefazolin if vancomycin prevented 18% fewer infections caused by susceptible organisms compared with cefazolin; if this difference exceeded 25%, the routine vancomycin strategy was less effective and more costly and was thus dominated by the cefazolin strategy.

We explored the impact of different antibiotic susceptibility profiles, as might be observed in different hospitals, on these results. Routine vancomycin remained the most effective and the least costly strategy independent of the prevalence of vancomycin resistance in enterococci. Figure 2 shows a three-way sensitivity analysis of the incidence of surgical site infection caused by each of the following pathogens: an MRSA; a methicillin-resistant coagulase-negative staphylococcus; and a cefazolin-susceptible gram-negative bacteria. For a given incidence of surgical site infection caused by MRSA, each line represents the threshold combinations of methicillin resistance in coagulase-negative staphylococcus and cefazolin susceptibility in gram-negative bacteria for routine vancomycin to be more cost-effective than routine cefazolin. For example, in the base case, we assumed a 2.4 per 100 risk for infection caused by methicillin-resistant coagulase-negative staphylococci and a 1.0 per 100 risk for surgical site infection caused by cefazolin-susceptible gram-negative bacteria. Routine cefazolin was more cost-effective than vanco-

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Table 2. Base-case analysis: clinical outcomes and costs for a hypothetical cohort of 10,000 patients undergoing coronary artery bypass graft surgery

| Strategy          | Deep SSI | Incr. deep SSI | Superficial SSI | Incr. superficial SSI | Hospital deaths | Incr. hospital deaths | Deaths, SSI or both | Incr. deaths, SSI or both | Costs (x $1,000) | Incr. costs (x $1,000) |
|-------------------|----------|----------------|-----------------|------------------------|----------------|----------------------|---------------------|------------------------|----------------|------------------------|
| No prophylaxis    | 570      | -              | 1,114           | -                      | 405            | 2,008                | -                   | -                      | 33,410         | -                      |
| Routine cefazolin | 397      | -173          | 794             | -347                   | 391            | 1,506                | -502                | 24,530                 | -8,880         | -                      |
| Routine vancomycin| 368      | -29           | 736             | -58                    | 388            | 1,423                | -83                 | 23,360                 | -1,170         | -                      |

SSI = surgical site infection; incr = incremental.

aRoutine cefazolin compared with no prophylaxis; routine vancomycin compared with routine cefazolin.

bNegative incremental numbers of infections or deaths represent the numbers of infections or deaths averted; negative incremental costs represent costs saving.
The prevalence of bacterial resistance to antibiotics. For a particular line, points to the lower right indicate that routine vancomycin is more cost-effective; points to the upper left indicate that routine cefazolin is more cost-effective.

Figure 3. Determination of the incremental cost-effectiveness ratio of the routine vancomycin strategy relative to the routine cefazolin strategy, according to bacterial resistance pattern. To determine the incremental cost-effectiveness ratio: 1) Place percent incidence of surgical site infection caused by methicillin-resistant Staphylococcus aureus on the a axis; 2) Place percent incidence of surgical site infection caused by methicillin-resistant coagulate-negative staphylococci on the b axis; 3) Draw a line between these 2 points. This line crosses the c axis at a point x; 4) Place percent incidence of surgical site infection caused by cefazolin-resistant gram-negative bacteria on the scale d; 5) Draw a line passing through this point and the point x on the c axis. If this line crosses the e axis between the two zones of dominance, the incremental cost-effectiveness ratio can be red (in thousands of dollars per additional death or surgical site infection averted). The dotted lines represent the example cited in text.
We believe our decision not to model the relationship between antibiotic prophylaxis and resistance had a limited impact on our results. For instance, routine vancomycin would still be more effective and less costly than routine cefazolin if all enterococci were resistant to vancomycin because of the small proportion of surgical site infections caused by enterococci after CABG surgery. The spread of vancomycin resistance in staphylococci, however, would impact the model more substantially. We therefore simulated a hypothetical scenario in which prevalence of vancomycin resistance in enterococci would continue to increase by about 2% per year, as reported in U.S. hospitals from 1989 through 1997 (4), and in which the same trend would be observed in staphylococci (data not shown). We arbitrarily assumed that vancomycin prophylaxis, but not cefazolin prophylaxis, would accelerate this trend by 50%. Under these circumstances, routine vancomycin would no longer be less costly than routine cefazolin after 6 years and would also become less effective after 13 years. Although such a simulation addresses the issue of future resistance crudely and incompletely, it illustrates how speculative it would be to model this evolution, given the current lack of knowledge about glycopeptide resistance in staphylococci.

The conclusions of this analysis were not meaningfully influenced by uncertainty around the model parameters, according to sensitivity analyses conducted over a wide range of plausible values. Other than the influence of susceptibility patterns discussed above, the results were sensitive only to large variations of the price of vancomycin and to the relative effectiveness of the two prophylactic drugs. This last aspect is a limitation of the study due to insufficient data. The effectiveness of cefazolin may have been underestimated if cefazolin has some effect against methicillin-resistant staphylococci when the inoculum is small, as may be the case during surgery. We can also speculate that a large proportion of methicillin-resistant organisms are acquired after surgery; perioperative antibiotic prophylaxis may not prevent infections caused by these organisms. We are not aware of data supporting this hypothesis, however. We assumed that both vancomycin and cefazolin had the same preventive efficacy against susceptible organisms. However, vancomycin would no longer be the best option if it were 18% less effective than cefazolin in preventing infections caused by susceptible organisms. Beside uncertainty regarding the relative intrinsic effectiveness of the two drugs, this effectiveness may be differently affected by suboptimal use such as wrong timing or wrong dose, because of distinct pharmacokinetic characteristics. In general, vancomycin’s longer half-life in serum would be expected to make it more tolerant than cefazolin if delays occur between administration of prophylaxis and initiation of surgery. Finally, we did not include patient time costs in the reference case analysis. However, their inclusion would only have increased the estimated benefit associated with preventing infections.

These results underscore the importance of using a perioperative prophylaxis regimen with reasonable activity against gram-positive organisms. Failure to provide patients undergoing CABG with an acceptable perioperative prophylaxis regimen is an example of a medical error; in the aggregate, these errors have been recognized to negatively affect clinical outcomes and to impose a large burden on health resources (42). Because the circumstances in which perioperative prophylaxis must be administered are highly structured, development of systems to achieve near-perfect compliance could be feasible for the health-care delivery system. The motivation for making this a priority is particularly strong because prophylaxis was estimated to save at least $900 per person. The strategy of no prophylaxis was always associated with both higher costs and a greater number of deaths and surgical site infections than either of the two alternative prophylactic strategies. In fact, the cost attributed to failure to provide prophylaxis may have been underestimated, since we assumed that the risk reduction was similar to that observed in other clean surgical procedures (18-23).

Approximately 366,000 CABG operations are performed yearly in the United States (43). Using the best data currently available and considering clinical outcomes to individual patients, our model predicts that routine vancomycin

Table 3. Reference case analysis: quality-adjusted life expectancy and lifetime costs for a 65-year-old man undergoing coronary artery bypass graft surgery

| Strategy          | Total costs ($) | Incremental costsa ($) | QALYs   | Incremental QALYs a | Incremental cost-effectiveness ratio a |
|-------------------|-----------------|------------------------|---------|---------------------|---------------------------------------|
| No prophylaxis    | 62,892          | -                      | 8.312   | -                   | -                                     |
| Routine cefazolin | 62,016          | - 876b                 | 8.335   | 0.023               | Dominatedf                           |
| Routine vancomycin| 61,913          | - 103b                 | 8.339   | 0.004               | Cost saving                           |

QALYs = quality-adjusted life years.

a Routine cefazolin compared with no prophylaxis; routine vancomycin compared with routine cefazolin.

b Negative incremental costs represent cost savings.

f A dominated strategy is one that costs more and is less effective than an alternative strategy.

Similarly, the spread of vancomycin resistance in highly pathogenic species such as S. aureus could have substantial clinical and economic consequences. When data become available to document and quantify the relationship between the routine use of vancomycin and vancomycin resistance, we will be able to better describe the trade-off between the short-term benefits to individual patients and the long-term consequences to society at large. However, there are similar uncertainties with respect to the consequences of routine cefazolin, which selects for MRSA and cefazolin-resistant gram-negative organisms and is a recognized risk factor for the acquisition of infection caused by vancomycin-resistant enterococci (4).
would prevent 110 deaths and 3,184 surgical site infections compared with routine cefazolin. Under conditions similar to those in our base case, the routine vancomycin strategy would also save $43 million nationwide. Similar conclusions are to be expected from the analysis of clean surgical procedures other than CABG, since most surgical site infections are caused by staphylococci in these settings. Because data are insufficient to provide information about the potential downstream societal consequences of routine vancomycin use on the development of vancomycin resistance, we are reluctant to recommend the universal routine use of vancomycin. However, the incremental clinical benefits and cost savings associated with routine vancomycin compared with routine cefazolin for perioperative prophylaxis in CABG surgery provide an estimate of the magnitude of benefits that would need to result, at least for society at large, from slowing the emergence of vancomycin resistance by restricting its use. We recommend that immediate priority be given to studies that will inform the impact of vancomycin use on the development of resistance in gram-positive organisms.

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