Vein resection in patients with adenocarcinoma of the head of pancreas adherent to the portomesenteric venous axis is beneficial despite a high rate of R1 resection

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Backgrounds/Aims: En-bloc vein resection (VR) for pancreatic ductal adenocarcinoma (PDAC) of the head of pancreas adherent to the portomesenteric axis benefits patients when the vein wall is not infiltrated by tumour and an R0 resection is achieved, albeit at the expense of greater morbidity and mortality. Methods: A retrospective review of pancreaticoduodenectomy for PDAC over 6 years was conducted. Patients were divided into a standard resection group (Group SR) and simultaneous vein resection group (Group VR) and compared for outcome. Results: The study group consisted of 41 patients (Group SR 15, Group VR 26). VR was performed by end-to-end reconstruction in 12 patients and with interposition grafts in 13 cases (autologous vein in 10, PTFE in 3). R1 resections occurred in 49% patients, with the superior mesenteric artery margin most commonly involved. Patients with Ishikawa grade III and IV vein involvement were more likely to carry a positive SMA margin (p=0.04). Involvement of the splenoportal junction was associated with a significantly greater risk of pancreatic transection margin involvement. No difference in morbidity was seen between the groups. Median survival in the entire group of patients was 17 months and did not vary significantly between the groups. The only significant predictor of survival was lymph node status. Conclusions: Venous involvement by proximal PDAC is indicative of tumor location rather than tumor biology. VR improves outcomes in patients with tumor adhesion to the portomesenteric venous axis despite a high incidence of R1 resections and greater operative mortality. (Ann Hepatobiliary Pancreat Surg 2018;22:261-268)

Key Words: Pancreatic cancer; Vein involvement; Borderline resectable; Vein resection; Survival

INTRODUCTION

Fewer than 20% of patients diagnosed with pancreatic ductal adenocarcinoma (PDAC) involving the head of the pancreas present with resectable disease.1 An additional 5-10% of patients present with borderline resectable pancreatic cancer (BRPC) and are likely to benefit from resection after neoadjuvant therapy (NAT).1,2 Pancreatic resection with simultaneous resection of the portomesenteric venous axis improves resectability and consequently survival in patients with PDAC with operative morbidity and mortality comparable to standard resections.3,6 The benefit is clear and consistent when compared to survival after surgical bypass procedures.3,7 It yields maximal benefit in patients with short segment venous involvement8 and those without histologically demonstrable vein wall infiltration.6,9 However, a few reviews have raised concerns that venous involvement by PDAC indicates advanced disease stage4,5 and questioned the rationale for this procedure unless the vein wall was free of tumor and R0 resection was achieved.5

Conventional preoperative imaging is unreliable in predicting vein wall infiltration and determining the need for vein resection (VR).9,10 Differentiation between tumor adhesion and infiltration of the vein is unreliable even during surgery. Only about 40% (range 17 to 78%) of patients who undergo VR during pancreatic resection manifest true vein wall infiltration.5 Nonetheless, Delpero et
Table 1. Characteristics by Ishikawa type

| Ishikawa Type | I Normal | II Deviation | III Unilateral Narrowing | IV Bilateral Narrowing | V Collaterals |
|---------------|----------|--------------|--------------------------|------------------------|--------------|
| Number of patients | 4 | 5 | 12 | 4 | 1 |
| Neoadjuvant therapy | 0 | 0 | 0 | 4 | 1 |
| R1 resection | 2 | 2 | 9 | 1 | 0 |
| Superior mesenteric artery margin positive | 1 | 0 | 8 | 1 | 0 |
| Histological vein wall involvement | 1 | 1 | 7 | 3 | 1 |
Drain fluid amylase was monitored routinely on day 3, prior to removal of drains, and as needed, based on clinical suspicion of a pancreatic leak. International Study Group definitions were used to diagnose pancreatic leaks, haemorrhage and delayed gastric emptying (DGE). Chylos leaks were diagnosed when drain fluid amylase was normal and drain fluid triglycerides were elevated on a normal diet. All patients were recommended adjuvant chemotherapy. Adjuvant radiotherapy was not prescribed for any patient.

Pancreaticoduodenectomy specimens were evaluated as per the Leeds Protocol using serial axial specimen slicing after inking the different margins. A positive margin was defined as one in which tumor cells were within 1mm of the inked surface of the specimen. IBM SPSS Statistic v.20 for Windows (IBM, Armonk NY) was used for data entry and analysis. Continuous data were evaluated using medians, ranges and Mann-Whitney U test. Categorical data were evaluated by Fisher’s exact test. Multivariate analysis was performed using multiple regression to determine the factors predicting 90-day survival. A $p$-value below 0.05 was considered statistically significant for all tests of comparison. Survival was estimated using Kaplan-Meier method and compared using the Log rank test.

**RESULTS**

We performed 94 pancreaticoduodenectomies between July 2010 and July 2016, 41 of which were indicated for PDAC and constituted the study group. An additional 7 patients with PDAC did not proceed to resection due to metastatic disease diagnosed at laparoscopy or laparotomy. The median age of the patients in the study group was 59 years (range: 42-79 years) and included 17 women.

Of these, 5 patients were diagnosed with BRPC and received NAT. Four patients received a gemcitabine-capecitabine combination, and one received FOLFIRINOX (5-fluorouracil, leucovorin, irinotecan, and oxaliplatin) regimen. Three patients also underwent preoperative radiation therapy. None experienced down-sizing of the tumor with NAT.

**Operative details of vascular resection**

The extent of vein involvement by Ishikawa Classification is described in Table 1.

Segmental resection was performed in all but one patient who underwent sleeve resection. Reconstruction was carried out via primary anastomosis in 12 patients, interposition autologous left internal jugular vein graft in 10, and interposition PTFE graft in 3 patients. Of the 11 patients with tumor involvement of the splenportal junction, the SV was ligated in 6, reconstructed to the neo portal vein in 3, and 2 patients underwent total pancreatectomy with splenectomy.

Arterial reconstruction was performed in a patient with limited involvement of the common hepatic artery adjacent to the gastroduodenal artery.

**Morbidity, mortality and hospital stay**

Of the 4 pancreatic fistulae, 3 were Grade A, and 1 was Grade B requiring percutaneous drainage of an intra-abdominal collection. Of the 5 patients with DGE, all from group VR, 3 were Grade A, and 1 each Grade B and Grade C. One patient underwent re-exploration for bleeding from the mesentery on day 1 after resection in the VR group. Details are provided in Table 2.

All three deaths occurred in the VR group. One death was attributed to hepatic ischemia in a patient with in-

| Table 2. Group SR vs Group VR* - comparison of outcomes |
|--------------------------------------------------------|
| **Group SR** | **Group VR** | **p value** |
| Number of patients | 15 | 26 |
| Pancreatic Fistula | 1 | 3 | 0.33 |
| Delayed Gastric Emptying | 0 | 5 | 0.06 |
| Clavien Dindo Grade 1-3a | 11 | 18 | 1.0 |
| Clavien Dindo Grade 3b-5 | 0 | 4 | 0.27 |
| Operative Mortality | 0 | 3 | 0.29 |
| Hospital Stay | 10 d (7-17) | 11 d (7-28) | 0.85 |
| Tumour Diameter | 3 cm (1.5-7) | 4 cm (1.5-7) | 0.59 |
| Lymph node involvement | 11 | 17 | 0.34 |
| Lymph node ratio | 33.9% | 13.8% | 0.16 |
| Superior mesenteric artery margin positive | 6 | 10 | 1.0 |
| Pancreatic duct margin positive | 2 | 9 | 0.17 |
| R1 resection | 6 | 14 | 0.52 |

*Group SR, Standard pancreatic resection (no vascular resection); *Group VR, Additional vascular resection
adequate portal flow following prolonged PTFE reconstruction with compromised hepatic arterial flow. Another patient died from pulmonary sepsis following re-suturing of her abdominal wound for wound dehiscence. She also had Grade C DGE. The third patient also died from sepsis, the source of which is unclear. However, drain amylase was normal and CT scans did not reveal any intra-abdominal collections. Of the three deaths, two occurred in patients who underwent PTFE reconstruction.

Histopathology
The median maximum tumor diameter of the entire group was 3.6 cm (range 1.5-7cm). The median number of lymph nodes excised was 23 (range 1-77). Twenty-eight patients (68.3%) had lymph node metastases. The lymph node ratio (LNR) for the entire cohort was 19.25%.

Positive microscopic resection margins were noted in 20 (48.8%) patients. The superior mesenteric artery margin (39%) was the most frequently involved followed by the pancreatic transection margin (27%). Forty per cent of patients with positive margins showed multiple margin involvement. Patients with Ishikawa grade III and IV vein involvement were more likely to have a positive SMA margin than those with lesser degrees of involvement ($p=0.04$). Tumour infiltration of the splenoportal junction was associated with a significantly greater risk of pancreatic transection margin involvement ($p=0.03$). Four of 5 patients who received NAT had R0 resections, despite Ishikawa grade IV and V venous involvement. Details are presented in Table 1 and 2.

Histological venous invasion was demonstrated in 13 patients (50%), and was significantly more common in patients with Ishikawa III and IV grade venous involvement ($p=0.04$); it was associated with increasing Ishikawa type (Table 2).

Survival
The median survival for the entire group of patients was 17 months (95% CI 13.3-20.7) and was not different between Groups SR (14 months, 95%CI 8.7-19.3) and VR (17months, 95%CI 7.1-26.9), (Log Rank $p=0.91$) (Fig. 1). Median survival was significantly better in patients without lymph node metastases (44 months vs. 15 months, Log Rank $p=0.05$) (Fig. 2) and worse in patients with histologically demonstrable vein wall infiltration by tumor (22 months vs. 11 months, Breslow Wilcoxon $p=0.04$, Log Rank 0.14) (Fig. 3). No statistically significant difference was found in survival between patients who under-

| Group | 0 m | 6 m | 12 m | 18 m | 24 m | 30 m | 36 m |
|-------|-----|-----|------|------|------|------|------|
| Group SR | 15  | 12  | 9    | 2    | 2    | 2    | 2    |
| Group VR  | 25  | 18  | 10   | 5    | 4    | 3    | 2    |

**Fig. 1.** Comparison of patient survival between the standard resection (SR) group and vein resection (VR) group.

| LN   | 0 m | 6 m | 12 m | 18 m | 24 m | 30 m | 36 m |
|------|-----|-----|------|------|------|------|------|
| N0   | 13  | 10  | 7    | 5    | 5    | 5    | 3    |
| N1   | 28  | 19  | 10   | 3    | 1    | 1    | 0    |

**Fig. 2.** Comparison of patient survival according to lymph node involvement.
went R0 or R1 resections (22 months vs. 12 months, $p=0.28$) (Fig. 4). Survival was not significantly better in those who underwent direct venous reconstruction, indicative of a shorter resection, compared to those who required an interposition graft (28 months vs 12 months, $p=0.12$) (Fig. 5).

Multivariate analysis revealed that the 90-day survival was not significantly affected by age, tumor size, lymph node ratio, completeness of resection (R0 or R1), complications (DGE, pancreatic leak) or concomitant vascular resection. However, this finding may be attributed to the small sample size.

**DISCUSSION**

Early reports extolling the benefits of VR along with pancreaticoduodenectomy found that vein involvement was a function of tumor location rather than an indication of aggressive tumor. This observation was supported by the latest relevant meta-analysis of 9005 patients from 27 selected trials. However two large, recently published series from the Association Francaise de Chirurgie and the Japanese Multicentre Study group for Hepatobiliary Surgery state that tumors requiring VR were more likely to be stage T4, poorly differentiated, and have a greater incidence of lymph node metastases compared with those...
undergoing standard resections. The present series supports the meta-analysis data. Tumor size and lymph node involvement did not correlate with venous involvement. Small tumors measuring 1.5 cm in maximal diameter required VR whereas others as large as 7 cm in maximal diameter did not. Lymph node metastases were more common and the LNR higher in patients undergoing standard resections (SR). Patients with lymph node metastases showed significantly poorer survival after resection in the present series. Improved survival in the VR group therefore likely reflects the lower LNR rather than any benefit from VR itself.

In a meta-analysis, Giovinazzo et al. reported significantly greater overall morbidity (OR 1.34), reoperations (OR 1.4) and postoperative bleeding (OR 1.61) in patients undergoing VR compared with standard resections. The incidence of pancreatic fistula and DGE was not increased after VR. The mean operative mortality in their analysis was 3.9% in patients undergoing VR and 3% in those undergoing standard resections (p=0.02). Although the overall morbidity in the present series was greater following VR, all the complications in the SR group, and all but one in the VR group were minor. The difference in morbidity was wholly accounted for by the 3 postoperative deaths in the VR group, 2 of which occurred in the 3 patients who underwent PTFE reconstruction. Since autologous vein graft was the preferred mode of reconstruction by the authors, the use of PTFE may reflect either the need for an unplanned or uncontrolled reconstruction that did not provide enough time for harvesting an autologous vein, or a very long reconstruction. These situations are known to be associated with increased operative mortality.

Factors associated with poor survival post VR include the need for reconstruction longer than 3 cm, true vein wall invasion on histology, and R1 resection. Although shorter venous reconstructions, as indicated by the ability to perform primary reconstructions were associated with improved survival compared with those requiring interposition grafts, the difference was not significant in the present series. True vein wall invasion was associated with significantly poorer early survival as indicated by the Breslow Wilcoxon test (p=0.04) though not the Log Rank test, and may be predicted reliably in patients with Ishikawa types 3, 4 and 5. VR may thus be beneficial in those patients with higher grades of radiological portomesenteric venous tumor involvement (Ishikawa type 3 and above). This finding together with the 48% R1 resection rate in the present series suggest that all patients planned for VR are recommended NAT despite the lack of reliable evidence suggesting that R0 resections prolong survival compared with R1 resections either in the present series or in other studies.

The risk of isolated local recurrence in patients with R1 resections is less than 10%. Evolving consensus suggest that margin involvement might be more indicative of the quality of pathological examination of the resected specimen and tumor biology. A review of specimen histology using the axial slicing technique described by Verbeke et al. revised the R1 resection rate from 14% to 76%, and 53% to 85% respectively, in 2 large independent series even in patients without VR. The importance of tumor biology in the present series is supported by the high rate of R1 resection in the SR group, the fact that 40% of patients with R1 resections had more than one margin involved by tumor, and that survival advantage from R0 resection was not apparent even with tumor-free margins of 1 mm.

SMA margin is the most frequently involved margin after VR, and was involved in 39% of patients in the present series. SMA-first dissection and periadventitial dissection of the SMA are recommended in order to improve the likelihood of R0 resections along this margin, without clear evidence to support. The other commonly involved margin is the pancreatic transection margin (27% in the present series) especially in patients with tumor overlying the splenopancreatic junction. Pancreatic transection to the left of the SMV (at the splenic artery origin) in such patients, as well as additional resections based on frozen section biopsy of the margin are desirable to ensure clear resection margins. Although the ‘Whipple At The Splenic Artery’ or ‘WATSA’, advocated by Strasberg, appears sensible and also permits better control of the splenic vein in such patients, the role of additional resection dependent on frozen section biopsy is disputed. Kooby et al., and others, determined that involvement of this margin reflected adverse pathological factors such as tumor size, lymph node involvement and perineural infiltration, with an overwhelming effect on survival despite additional pancreatic resection with clear...
margins. This study suffers from being retrospective in nature. Therefore, additional details such as the true length of vein resection, the use of SMA first dissection and peri-adenitial resection of the SMA, the proportion of patients completing adjuvant therapy and the nature of recurrence (local or distant) are not available. The numbers are relatively small, although the proportion of patients undergoing VR is high, reflecting a referral bias.

In conclusion, vein involvement by proximal PDAC is indicative of location rather than tumor biology. VR benefits patients with tumor adhesion to the portomesenteric venous axis despite the risk of R1 resection and operative mortality. Primary reconstruction or interposition of autologous grafts appears to yield better outcomes compared with PTFE. Increased use of NAT in patients with high preoperative suspicion for vein resection may be beneficial, although the survival benefit derived from R0 resection is not clearly established in the present series.

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