CHARGE-EXCHANGE LIMITS ON LOW-ENERGY $\alpha$-PARTICLE FLUXES IN SOLAR FLARES

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ABSTRACT

This paper reports on a search for flare emission via charge-exchange radiation in the wings of the Ly$\alpha$ line of He$\i$ at 304 Å, as originally suggested for hydrogen by Orrall & Zirker. Via this mechanism a primary $\alpha$ particle that penetrates into the neutral chromosphere can pick up an atomic electron and emit in the He$\i$ bound–bound spectrum before it stops. The Extreme-ultraviolet Variability Experiment on board the Solar Dynamics Observatory gives us our first chance to search for this effect systematically. The Orrall–Zirker mechanism has great importance for flare physics because of the essential roles that particle acceleration plays; this mechanism is one of the few proposed that would allow remote sensing of primary accelerated particles below a few MeV nucleon$^{-1}$. We study 10 events in total, including the $\gamma$-ray events SOL2010-06-12 (M2.0) and SOL2011-02-24 (M3.5) (the latter a limb flare), seven X-class flares, and one prominent M-class event that produced solar energetic particles. The absence of charge-exchange line wings may point to a need for more complete theoretical work. Some of the events do have broadband signatures, which could correspond to continua from other origins, but these do not have the spectral signatures expected from the Orrall–Zirker mechanism.

Key words: acceleration of particles – radiation mechanisms: non-thermal – Sun: flares – Sun: particle emission – Sun: X-rays, gamma rays

Online-only material: color figures

1. INTRODUCTION

The behavior of high-energy particles in solar flares lies at the core of the physics. We detect such particles in flares via remote sensing of their bremsstrahlung continuum (for electrons) and via $\gamma$-ray line and continuum emissions (for energetic ions). The ion-related continua include those from pion decay and the “nuclear continuum” composed of unresolved lines. Flare energy released suddenly from the coronal magnetic field tends to appear initially in the form of high-energy particles (e.g., Lin & Hudson 1976; Ramaty et al. 1995). Unfortunately, though, the X-ray and $\gamma$-ray emissions are not generally sensitive to non-thermal electrons at energies below about 10 keV, or protons below about 20 MeV, respectively.

Orrall & Zirker (1976) proposed one of the few plausible techniques capable of filling in this major observational gap, namely, the charge-exchange line radiation that could be created by lower-energy particles. Historically, we noted that the proton aurora was first identified via a similar technique: the observation of Doppler-shifted H$\alpha$ radiation (Vegard 1939; Eather 1967). In this mechanism a fast proton penetrates a region of low ionization, picks up an electron, and then radiates a Doppler-shifted emission-line spectrum. For a solar proton moving directly away from the observer, i.e., toward the photosphere from a coronal source at disk center (assuming B is radial), the hydrogen Ly$\alpha$ line would appear at $\approx$30 Å to the red, for proton energies of 10–100 keV (Orrall & Zirker 1976). A more complicated beam or field geometry would generally produce both red and blue line wings and alter the spectral mapping, but in the idealized process the red wing dominates. Charge-exchange line wings will also develop for other lines, such as the He$\i$ Ly$\alpha$ line at 304 Å that we study in this paper.

The intensity of the Ly$\alpha$ charge-exchange radiation depends upon several factors, as discussed further in several papers (Canfield & Chang 1985; Brosius et al. 1995; Fisher et al. 1985; Brosius & Woodgate 1999). One must assume the existence of a proton beam in the first place; the particles producing the $\gamma$-ray emission may have broad pitch-angle distributions (see Simnett 1995 for a review of proton effects in solar flares). The intensity of the beam obviously dictates the intensity of the emission; Orrall & Zirker (1976) assumed a proton beam flux of only $4 \times 10^7$ erg cm$^{-2}$ s$^{-1}$, a weak flare, whereas Canfield & Chang (1985) extended the theory to a proton beam intensity comparable in energy to that assumed to be present in a major flare ($\approx 10^{11}$ erg cm$^{-2}$ s$^{-1}$). The protons may induce wave–particle interactions (Tamres et al. 1989) that can compete with the more standard theory (e.g., Emslie & Brown 1985), based on Coulomb collisions, that is often applied to problems of particle propagation in solar flares. Alternatively they may be a part of a neutral beam (e.g., Martens & Young 1990). For the charge-exchange mechanism to work, the high-energy ions must propagate in a sufficiently unionized medium, and yet not ionize it so that the charge-exchange process remains efficient; the ionization of the plasma requires a finite time interval that may compete with the timescales for flare development. Detailed calculations such as those of Canfield & Chang (1985) invoke equilibrium conditions between the beam and the target, but also discuss the timescales over which heating (which greatly reduces the intensity of the charge-exchange emission) results from the beam interactions themselves.

The helium line wings produced by charge-exchange reactions were mentioned by Orrall & Zirker (1976) and then calculated in detail by Peter et al. (1990). The ions accelerated in a solar flare almost certainly include a proportion of $\alpha$ particles (Share & Murphy 1997); the He$\i$ line intensity will depend on the $\alpha$/proton ratio, which Share & Murphy (1998) find to be significantly larger than for the ambient photosphere, perhaps more than 50%. As with the Ly$\alpha$ charge-exchange radiation, the signal should appear as broad wings of the line, with the peak red (or blue) wavelength determined by the assumed decrease of...
primary particle flux (at large $\Delta \lambda$), and the diminishing primary particle range against collisional losses (at small $\Delta \lambda$).

The Extreme-ultraviolet Variability Experiment (EVE) instrument on the Solar Dynamics Observatory (SDO) opens a new window of opportunity to detect and exploit the charge-exchange line wings, owing to its temporal and spectral resolution. It provides 1 Å spectral resolution, at 10 s cadence, for the total solar spectrum (Woods et al. 2012). Its MEGS-A (Multiple EUV Grating Spectrograph-A) spectrometer, which we use here, covers the range 64–370 Å. Some earlier searches for the charge-exchange mechanism have been possible, but not with comprehensive coverage nor for major events known to have $\gamma$-ray emission. Brosius (2001) studied a GOES C3.8 event with CDS data, obtaining no detection but setting a limit of 250 erg cm$^{-2}$ s$^{-1}$ sr$^{-1}$ Å$^{-1}$. EVE is a Sun-as-a-star instrument and thus suffers from relatively high background levels due to non-flare emission from the rest of the Sun. Nevertheless, solar flares produce clear signatures across the spectrum (Woods et al. 2011), with good signal-to-noise ratio for the He$^+$ 304 Å line in major M- or X-class flares (e.g., Hudson et al. 2011). This latter paper also describes the Doppler sensitivity of the MEGS-A spectra.

In this paper, we describe searches for broad wings of the 304 Å line for a set of 10 flares, including seven X-class events, plus two others with $\gamma$-ray emission detected by RHESSI$^4$ and Fermi (Schwartz et al. 2010). We describe the EVE data in Section 2 and the analysis in Section 3, and then discuss what the observations imply—upper limits on the charge-exchange wings, but other interesting features—in Section 4.

2. DATA SURVEY

The flares studied, as listed in Table 1, include all of the $\gamma$-ray events and X-class flares observed after EVE launch 2010 February 11, up to the time of writing. The first four events in the table are presented in some of the four-panel figures below, and the whole set in the ten-panel plots. The table shows that EVE successfully observed all of the events, and that both of the $\gamma$-ray observatories (RHESSI and Fermi) observed seven of them. Only SOL2011-09-22T11:01 was not observed at high energies, and of the others eight (those marked with “$\gamma$” in the table) had some signature of the presence of hard X-rays above 100 keV, or $\gamma$-ray photons, and hence by implication involved particle acceleration to high energies. The presence of accelerated $\alpha$ particles in these events is hypothetical, but highly likely based on earlier experience (Share & Murphy 1997). The M-class event SOL2011-08-04T03:57 (M9.7) was notable because it produced the strongest$^5$ solar energetic particle (SEP) event of Cycle 24 at the time of writing, though at an intensity ($>10$ MeV) of only about 100 particles, (cm$^2$ s sr)$^{-1}$ much smaller than many SEP events of the previous cycle. Finally, two of the events (SOL2011-02-24T07:35 and SOL2011-09-22T11:01) were limb events, with the latter being the weakest HXR/$\gamma$-ray event in the nine-event sample. Figure 1 shows the RHESSI hard X-ray and GOES soft X-ray time profiles for each of the four primary events.

EVE obtains spectra with 10 s time integrations and approximately 1 Å spectral resolution in two units. The Multiple EUV Grating Spectrograph-A (MEGS-A) grazing-incidence spectrograph covers the 50–370 Å range, with the spectrum presented on a CCD detector (Woods et al. 2012). The resulting signal-to-noise ratio is extremely good, and this gives great freedom for analysis of the charge-exchange line wings, with sensitivity limited only by the photon statistics of the background counting rates. Emission from the non-flaring Sun dominates these background rates, which vary slowly in the absence of competing flares. Figure 2 illustrates the time behavior of the EVE data for SOL2011-02-15T01:56, the most powerful event of the four events chosen for primary analysis (see Figure 1, lower left panel, for comparison with the RHESSI and GOES time series). The He$^+$ line has a strong impulsive-phase component, but not in excess of the gradual component as in the case of SOL2010-06-12 (Hudson et al. 2011). The figure shows time variation of spectral irradiance at the nominal wavelength, and the spectrum around that wavelength, without (upper panels) and with (lower panels) subtraction of a pre-flare sample. The nominal centroid wavelength of the doublet is taken from the CHIANTI database (Dere et al. 1997, 2009).

Figure 2 and especially Figure 3 show how stable the EVE/MEGS-A spectra can be. The latter plots the background spectra used for all of the events studied. The small differences in level result from global changes of the spectral irradiance in the vicinity of the line, given that the flares are spread out over many months. The background for SOL2010-06-12 is lower than that for the 2011 events, consistent with the solar-cycle increase. The MEGS-A stability enables good use to be made of the excellent counting statistics in the 10 s integrations, for example in constructing difference spectra with good precision as shown in Figure 2, lower-right panel.

Figures 4 and 5 show a search for the beam signature in the four primary events. According to the Peter et al. (1990) calculations, a broad red wing should appear, with a peak wavelength depending on the $\alpha$-particle energy. The results of Share & Murphy (1997) for other $\gamma$-ray events suggest that the particle beams may be diffusive, rather than highly directional. Thus, the blue wing may also be enhanced as a result of upward particle motions. Note that such motions are not part of the simple Orrall–Zirker theory, but would be expected in a realistic field structure due to mirroring and tilts, as well as to pitch-angle scattering; see, e.g., Murphy et al. (2007) or Kontar et al. (2008). This search therefore defined three bands (one blue, two red) in the line wings, as shown by the dotted lines in Figure 4. These locations correspond to weak line emission, as determined by the appearance of the spectra as well as the predictions from the CHIANTI models (see below). The widths of the chosen regions correspond to the MEGS-A spectral resolution (Woods

### Table 1 Flares Studied

| Flare Identifier | Location | Observations | EVE | RHESSI | Fermi | GBM |
|------------------|----------|--------------|-----|--------|-------|-----|
| SOL2010-06-12T00:57 (M2.0) | N23W43 | Y, Y, Y | Y, Y, Y |
| SOL2010-06-12T00:57 (M2.0) | N23W43 | Y, Y, Y | Y, Y, Y |
| SOL2010-06-12T00:57 (M2.0) | N23W43 | Y, Y, Y | Y, Y, Y |
| SOL2010-06-12T00:57 (M2.0) | N23W43 | Y, Y, Y | Y, Y, Y |

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$^4$ The Reuven Ramaty High Energy Spectroscopic Imager (RHESSI) spacecraft (see Lin et al. 2002).

$^5$ [http://umbra.gsfc.nasa.gov/SEP/](http://umbra.gsfc.nasa.gov/SEP/)
et al. 2012). The time series of excess irradiance in these bands, for each flare, then appears in Figure 5. A wavelength of 31 nm (as shown) corresponds to 0.5 MeV amu\(^{-1}\) in the initial beam spectrum; at higher energies the charge-exchange cross-section for $\alpha$ particles on H atoms rapidly decreases. No signal with the expected distribution is present; the models predict enhanced wing(s) with peaks separated from the line core by an amount larger than the MEGS-A resolution (Peter et al. 1990). We note the appearance of a weak flare-associated excess in the search area of the spectrum, in both the impulsive and gradual-phase spectra shown, but that it does not have the spectral profile expected for the broad line wings. For example, there is a comparable blue-wing excess for SOL2011-02-15, which would imply upward particle motions even for an event near disk center (S20W15).

Any of the excess fluxes could well be due to unresolved spectral lines or other continuum sources (e.g., Feldman 1987). The evaporation blueshifts known to occur in the impulsive phase of a flare, as described for EVE data by Hudson et al. (2011), do not extend as far as our blue reference band, and would thus not be detectable. We discuss other possibilities in Section 4.

The observed spectra make it clear that MEGS-A observes many lines, and that they tend to dominate the continuum. We have used CHIANTI (Dere et al. 1997, 2009) to get a feel for the line population in the domain of the He \(\text{ii}\) charge-exchange regions. The spectrum in Figure 6 shows a CHIANTI model with standard assumptions of coronal abundances and the Mazzotta et al. (1998) ionization equilibrium, smoothed to the spectral resolution of MEGS-A, in comparison with the integrated excess spectra observed for SOL2010-06-12. This model uses the flare emission-measure distribution (DEM) of Dere & Cook (1979), as extended to high temperatures by G. Del Zanna.

In studying Figure 6, we note that the normalization to the peak 30.4 nm irradiance predicts a spectrum that is low compared with other components of the observed spectrum. We can also see discrepancies in the line population: Ca xviii (30.219 nm) is overstated in the model, and we find this to be true of all 10 events in our survey. This is beyond the scope of the present paper, but clearly the EVE spectra will produce more definitive emission-measure distributions for major flares than CHIANTI’s representative flare DEM. As regards the continuum, the CHIANTI model points to locations in the spectrum where it may dominate. Note that the impulsive-phase...
and gradual-phase spectra do not differ by very much in this representation, i.e., the red and blue lines almost overlap. This overlap conceals the fact that the excellent signal-to-noise ratio of EVE allows them to be distinguished quantitatively (e.g., Hudson et al. 2011). We return to this in Section 4.

3. ANALYSIS OF 10 EVENTS

These initial four events show no obvious sign of the charge-exchange line wings, but data from the other major events are available. We therefore display all of this information in the ten-panel plots of Figures 7 and 8, which represent integrations over the impulsive and gradual phases of each event. We have defined these time intervals by referring to the GOES event times, with adjustments to match the 30.4 nm event time histories as seen by EVE/MEGS-A, which differ in some details. Table 2 lists the times used for the integrations. In general, a simple background subtraction from a pre-flare level should suffice to show the newly appearing emission features associated with a flare. In practice, though, there may be systematic uncertainties regarding this. As Bornmann (1990) pointed out in the context of whole-Sun soft X-ray observations, if a flaring structure had previously been contributing to the background level and were inadvertently subtracted, this would result in an overestimate of the flare brightness in that feature. Also, EUV radiation generally can easily be absorbed by cool material such as that often found near active regions in prominences. In these 10 cases, there are no obvious negative features in the difference spectra, but these caveats should generally be borne in mind.

These figures do not show bright wings of the 30.4 nm line that could be attributed to the expected signature. In each of the plots the dashed line indicates 1% of the peak irradiance of the line itself, which is about the level expected from the calculation of Peter et al. (1990). Note that this expected signature would occur mainly in the impulsive phase and (theoretically) should be much less prominent in the gradual phase. In addition, the theoretical work assumed a beam intensity much smaller than that necessary to supply the flare energy, and so the discrepancy is extreme. All of these events show the presence of non-thermal particles in various ways, including line $\gamma$-radiation and SEPs (but in the case of SOL2011-09-22, only radio signatures), and this set of events therefore provides the best test of this theory possible thus far. The absence of a charge-exchange signature...
Figure 4. EUV spectra for the four primary flares, in the order shown in Figure 1. In each case, the heavy line shows the excess spectrum during the impulsive phase, and the light line the gradual phase, as defined in Table 2 (which also gives the times for the background integrations). From left to right, the dotted lines show the blue-1, red-1, and red-2 bands.

Figure 5. EUV time series for each spectral band, in the order shown in Figure 1. Red-1 is in red, red-2 in gold, and blue-1 in blue. The vertical dotted lines show the time ranges for each impulsive phase.
made a strong case for detectability near Ly\(\alpha\) is somewhat unexpected, noting that Canfield & Chang (1985) made a strong case for detectability near Ly\(\alpha\).

4. DISCUSSION

Although the charge-exchange signature does not reveal itself here, some of the events do have the appearance of a broad continuum both in the impulsive and gradual phases. As shown in Figure 6, the CHIANTI models (Dere et al. 1997, 2009) do show the presence of a broad continuum due to free–free and free–bound contributions, and Milligan et al. (2012) have recently described the EVE continuum spectral contributions for the SOL2011-02-15 event. Hudson & Ohki (1972) and Shimabukuro (1972) showed that the soft X-ray thermal continuum, as observed by GOES, extends into the centimeter-wavelength range. Therefore, such a component must be present at some level throughout the EUV. Note that the identification of true continuum regions in the spectrum is not straightforward because of the large numbers of emission lines. Figure 9 shows ad hoc overlays of CHIANTI continua calculated for coronal abundances and the Mazzotta et al. (1998) ionization-equilibrium tables. This comparison shows clearly that continuum from hot plasma, at flare temperatures, could not explain the broadband excess. Plasma at lower temperatures (chromospheric/transition region, rather than coronal) could be present, in which case this EUV continuum might eventually reappear in the far-infrared/radio domain. Figure 6 shows that the standard CHIANTI “flare” DEM has such a continuum close to the observed levels in our selected continuum bands.

A pseudo-continuum made up of overlapping emission lines is also a strong possibility at 1 Å resolution. We note (from Figure 9) that such a pseudo-continuum may be prominent below 14 nm, possibly reflecting the density of lines in that region; the red and blue lines show, respectively, a GOES-like 10⁷ K thermal continuum, mainly free–free–free emission in the EUV range, and a cooler 10⁶ K spectrum for reference. It is also quite possible that scattered light within the instrument could play a role, but this too would be inconsistent with the appearance of the CHIANTI model continuum in Figure 6. A further point requiring investigation would be the possible absorption spectrum of cool intervening material (e.g., Kucera et al. 1998). However, none of these explanations would affect our conclusions about the charge-exchange radiation, and so lie beyond the scope of the present paper.

We now have the task of understanding why the charge-exchange wings at 30.4 nm do not appear in the EVE spectra. The flares we have studied definitely have hard X-ray emission and, in some cases, \(\gamma\)-ray line fluxes. Generally, these flares are the best candidates for the line-wing search. To function, though, the charge-exchange process requires the penetration of the particle beam into a weakly ionized region. This requirement conflicts at some level with the fact that flares involve strong heating and ionization of the very atmosphere into which the particles penetrate. This effect has been considered theoretically and via modeling (Canfield & Chang 1985; Fisher et al. 1985; Peter et al. 1990; Brosius & Woodgate 1999), since the original theoretical estimates were based on an equilibrium state within the interacting beam and plasma. As the beam becomes more intense, this assumption fails, and so there is a timescale for its validity (see Figure 5 of Canfield & Chang, and Figure 11 of Peter et al., as well as Table 1 of Brosius & Woodgate 1999). Roughly speaking, the charge-exchange signal will decrease in intensity according to the ratio of the timescale for substantial ionization to be caused by the beam, to the duration of the existence of the beam at a given location. For a weak beam structure (a negligible non-Maxwellian tail), as one might expect for example from a spatially diffusive acceleration process, this ratio might remain near unity. In the only suggested detection of the Ly\(\alpha\) wing signature, the stellar-flare

### Table 2

| Background    | Start           | Peak            | End             |
|---------------|-----------------|-----------------|-----------------|
| 2010 Jun 12 00:52 | 2010 Jun 12 00:55 | 2010 Jun 12 00:57 | 2010 Jun 12 01:07 |
| 2011 Feb 15 01:45 | 2011 Feb 15 01:48 | 2011 Feb 15 01:57 | 2011 Feb 15 02:20 |
| 2011 Feb 24 07:25 | 2011 Feb 24 07:30 | 2011 Feb 24 07:35 | 2011 Feb 24 07:50 |
| 2009 Mar 11 23:17 | 2009 Mar 11 23:19 | 2009 Mar 11 23:23 | 2009 Mar 11 23:29 |

### Figure 6

CHIANTI model spectrum smoothed to MEGS-A resolution (black histogram), with the strong lines (asterisks) shown for the region of the charge-exchange line wings; the dotted line shows the CHIANTI model continuum, based on the flare DEM of Dere & Cook (1979). The model uses the coronal abundances of Feldman et al. (1992) and the Mazzotta et al. (1998) ionization equilibrium. The lower histograms are the impulsive-phase (heavy) and gradual-phase (light, hard to see) integrations (excess relative to the selected background interval) for SOL2010-06-12. Note that the line populations show both agreements and differences (e.g., CHIANTI predicts a Ca xxvi line at 30.22 nm, which is not strong in either phase of this flare).

(A color version of this figure is available in the online journal.)
observation of Woodgate et al. (1992), the duration was only a few seconds.

For a particle interaction highly concentrated into small areas, which is what we observe in hard X-rays (e.g., Hudson et al. 2006; Krucker et al. 2011), this weak-ionization approximation might apply only locally. The close timing relationship of $\gamma$-ray and hard X-ray emissions in the impulsive phase (e.g., Forrest & Chupp 1983) suggests that proton loss regions (and therefore most likely those of $\alpha$-particles) may be similarly compact. We presently do not have sufficient angular resolution for $\gamma$-rays to establish this point directly, but there are strong indications from the RHESSI observations that these regions have the double-footpoint property of the hard X-ray sources (Hurford et al. 2006).

The Peter et al. (1990) calculations for the He II charge-exchange wings assumed a small monoenergetic flux of primary $\alpha$ particles, 5% by number of a total beam flux of $10^{13} \text{ (cm}^2 \text{ s})^{-1}$, corresponding to about 1% of the energy of a “small flare” of total energy $10^{28}$ erg. These already result in a peak-to-wing intensity ratio greater than 1%, which conflicts directly.

Figure 7. Integrated excess irradiance spectra for the impulsive phases of the 10 flares, using the start-peak intervals as defined in Table 2. The background intervals are each 110 s beginning at the reference time listed in the table. The horizontal dotted line shows zero excess, and the dashed lines show 1% of the peak irradiance in the line for each integration.
with our lack of a signature at a much lower level, and for much more powerful flares. Further, to interpret the flare $\gamma$-ray observations (including those reflecting primary $\alpha$ particles directly; see, e.g., Murphy et al. 2007), a larger fraction (50\%) is assumed or deduced. These assumptions together suggest a discrepancy between theory and observation of several orders of magnitude. We note that the comparison with the peak irradiance of the 304 Å line is problematic, because even in the quiet Sun its formation is theoretically challenging (e.g., Judge & Pietarila 2004). But the discrepancy we have found is extreme; the EVE spectra simply show no evidence for the Orrall–Zirker effect. We cannot describe this more precisely because of the assumptions necessary for the theoretical estimates, which should be tackled again with input from the improved observations. Among these assumptions we could also add the primary particle spectral distribution; the beauty of the Orrall–Zirker theory is that we could use charge-exchange reactions to detect low-energy particles. Indeed, energetic neutral hydrogen atoms from

Figure 8. Integrated excess irradiance spectra for the gradual phases of the 10 flares, using the peak-end intervals as defined in Table 2. The background intervals are each 110 s beginning at the reference time listed in the table. The horizontal dotted line shows zero excess, and the dashed lines show 1\% of the peak irradiance in the line for each integration.
charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been detected from solar energetic protons presumed to interact with He-like ions in the charge-exchange reactions have been 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