Using High-Pressure Torsion to Achieve Superplasticity in an AZ91 Magnesium Alloy

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Abstract: An AZ91 magnesium alloy (Mg-9%, Al-1% Zn) was processed by high-pressure torsion (HPT) after solution-heat treatment. Tensile tests were carried out at 423, 523, and 623 K in the strain rate range of $10^{-5}–10^{-1}$ s$^{-1}$ to evaluate the occurrence of superplasticity. Results showed that HPT processing refined the grain structure in the alloy, and grain sizes smaller than 10 $\mu$m were retained up to 623 K. Superplastic elongations were observed at low strain rates at 423 K and at all strain rates at 523 K. An examination of the experiment data showed good agreement with the theoretical prediction for grain-boundary sliding, the rate-controlling mechanism for superplasticity. Elongations in the range of 300–400% were observed at 623 K, attributed to a combination of grain-boundary-sliding and dislocation-climb mechanisms.

Keywords: creep; high-pressure torsion; magnesium; superplasticity

1. Introduction

Superplasticity refers to the ability of a polycrystalline material to undergo large stable deformation in tension and achieve high elongation prior to failure. Formally, it was defined as elongations larger than 400% and strain-rate sensitivity of ~0.5 [1]. It is now recognized that the deformation mechanism associated with superplasticity is grain-boundary sliding in which the grains slide over each other with little or no change in their overall shape [2]. In practice, sliding along grain boundaries is caused by the movement of grain-boundary dislocations that then pile up at obstacles such as triple junctions and activate slip in a neighboring grain as an accommodating mechanism [3]. This leads to a pile up of dislocations at the opposite grain boundary, and the rate of deformation is then controlled by the rate of climb of these dislocations at the head of the pile up. Two requirements for superplasticity are a fine-grained structure, with average grain sizes typically smaller than ~10 $\mu$m, and a high homologous temperature, typically larger than ~0.5 of the absolute melting temperature [4].

Small grain sizes in the range of a few microns are usually obtained through conventional thermomechanical-processing operations such as extrusion and rolling. More recently, the development of severe-plastic-deformation (SPD) [5] techniques, such as equal-channel angular pressing (ECAP) [6] and high-pressure torsion [7], allowed the production of metallic materials with ultrafine (<1 $\mu$m) or even nanostructured grains (<100 nm). This significant decrease in structural size, compared to conventional thermomechanical processing, allowed the introduction of superplastic behavior into a very wide range of different alloys, and affected the temperature and strain-rate range associated with the superplastic process. In practice, it is now recognized that superplastic behavior is observed at lower temperatures.
and/or faster strain rates when grain size is decreased. Moreover, it also clear that a decrease in grain size may also increase the maximal elongations achieved under superplastic deformation.

Early reports showed that ECAP processing introduced superplastic properties in many different magnesium alloys, including Mg-0.6% Zr [8], Mg-9% Al [9], and commercial alloys AZ31 [10–12], AZ91 [13–17], and ZK60 [15,18–22]. It also led to the highest elongation reported to date in a superplastic magnesium alloy [23]. Despite the outstanding ability to develop superplasticity in magnesium alloys, the ECAP processing of these alloys is generally highly demanding. This is due to their limited formability and restricted slip systems that tend to prevent easy processing at ambient temperature, and invariably lead to cracking, inhomogeneities, and segmentation within the gauge section [24]. In practice, therefore, heating the dies and samples is time-consuming and limits the overall effectiveness of grain refinement.

By contrast, the high hydrostatic compressive stresses developed in high-pressure torsion (HPT) provide an opportunity for delaying or even effectively suppressing the advent of early sample failure [25–27]. A further advantage of HPT processing is the ability to apply severe plastic deformation in magnesium alloys at room temperature, and thereby achieve exceptionally fine grain structures. A recent review on the HPT processing of magnesium alloys [28] revealed that there are few reports concerning the development of superplasticity in these alloys. In addition, although the as-processed grain structures are finer in HPT-processed magnesium alloys, the inherent high density of crystalline defects may serve to reduce the overall thermal stability of these materials. As a consequence, grain growth may take place in the temperature range associated with superplasticity, and thus prevent the occurrence of high superplastic elongations. This deficiency may be critical if planning to use these alloys in industrial superplastic-forming operations [29].

The present paper was prepared with the objective of evaluating the high-temperature behavior of an AZ91 magnesium alloy processed by HPT, with special emphasis on the ranges of temperature and strain rates associated with the development of superplastic flow. As is demonstrated, it is feasible to use HPT processing to achieve excellent superplastic properties in the AZ91 alloy with elongations up to and exceeding 1000%.

2. Materials and Methods

The material used in the present investigation was an AZ91 magnesium alloy (Mg-9% Al-1% Zn) provided by Rima (Bocaiuva/MG, Brazil) as a cast slab. A piece in the shape of a cylinder 10 mm diameter and 60 mm length was machined from the as-cast material and subjected to solution treatment at 693 K for 24 h, followed by water quenching. Discs with thickness of ~0.9 mm were cut from the solution-treated cylinder and ground to ~0.85 mm. HPT processing was carried out at room temperature using a quasiconstrained facility [27,30]. The rotation rate was 2 rpm, and discs were processed to 10 turns under an applied pressure of 6.0 GPa. A detailed characterization of the processed material is given elsewhere [31].

Miniature tensile specimens with 1 mm gauge length were cut from the processed discs using spark erosion. Tensile tests were carried out at temperatures of 423, 523, and 623 K using a furnace adapted to a universal testing machine. Samples were heated with the testing grips, and temperature homogenization was achieved by maintaining the testing temperature for 30 min before starting the test. Testing was carried out at a constant rate of cross-head displacement with initial strain rates in the range of $10^{-5}$–$10^{-1}$ s$^{-1}$. The load and displacement data were converted into stress and strain. The elastic portions of the stress–strain curves were associated with the elastic modulus of magnesium to minimize the distortion of the testing machine and tensile grips. In order to estimate grain growth due to high-temperature exposure, the microstructures at the grip areas of the samples tested at the highest strain rates were examined using conventional metallographic techniques. These areas did not undergo straining during tensile testing, and exposure time was only slightly longer than for the samples subjected to testing. Therefore, grain structures in these areas were considered representative.
of the initial grain structures in the gauge area. Spatial grain sizes \( d \) were determined using relationship \( d = 1.74 \times \bar{L} \), where \( \bar{L} \) is mean linear intercept length [32].

3. Results

True stress vs. true strain curves obtained from tensile tests at different temperatures and strain rates are shown in Figure 1. Flow stresses decreased at lower testing strain rates and higher temperatures. All curves displayed a large initial portion in which stress increased with increasing strain. This strain-hardening behavior was observed earlier in a superplastic magnesium alloy, and attributed to grain growth during deformation [33]. The sample pulled in tension with an initial strain rate of \( \text{10}^{-2} \text{ s}^{-1} \) at 423 K failed without noticeable deformation, which suggested brittle behavior. Therefore, the curve associated with this condition was not included in Figure 1.

![Figure 1. True stress vs. true strain curves obtained at different testing temperatures and strain rates.](image)

Figure 2 shows the appearance of specimens pulled to failure at different temperatures and strain rates with an untested specimen shown at the top for comparison purposes. The final elongations to failure are listed to the right of each sample. Superplastic elongations, defined as elongations >400%, were observed at 423 K at strain rates of \( \text{10}^{-4} \text{ s}^{-1} \) and slower, and at all strain rates tested at 523 K. The report of superplasticity at 423 K was considered representative of low-temperature superplasticity because this temperature was lower than \( 0.5 \, T_m \), where \( T_m \) is the absolute melting temperature. Superplastic elongation was observed at \( \text{10}^{-2} \text{ s}^{-1} \) at 523 K, which was considered representative of high-strain-rate superplasticity [34]. Although superplastic elongations were not observed at \( \text{10}^{-3} \text{ s}^{-1} \) at the lower testing temperature of 423 K and at the highest testing temperature of 623 K, the final elongations were in the range of \( \sim 300–400\% \) for these conditions, which is exceptionally high for magnesium alloys. Specimens pulled under superplastic conditions exhibited no evidence of any localized necking within the gauge area, which is consistent with expectations for true superplastic flow [35].
The grain structures observed in the grip area are shown in Figure 3 for samples heated to the testing temperatures of (a) 423, (b) 523, and (c) 623 K, respectively. The image at 623 K was taken at a lower magnification. Average grain sizes were recorded as ~1.3, ~3.3, and ~9.6 µm, respectively. This showed that the grain structure was not stable in this temperature range but all grain sizes remained within the conventional upper limit of ~10 µm for superplasticity [4]. An earlier report showed that the grain size obtained in a similar alloy processed by HPT using similar processing parameters was ~100 nm [31]. Therefore, significant grain growth took place even at the lowest testing temperature of 423 K. Precipitation of second-phase particles was observed at this temperature. Many grain boundaries appeared to be decorated with second-phase particles at 523 K. The formation of a Zn-rich film along the grain boundaries of aluminum was associated with improved strain-rate sensitivity [36]. These precipitates might aid in impeding grain growth. Therefore, the excellent superplastic behavior observed at 523 K can be associated, in part, with the precipitation of second-phase particles along grain boundaries.

Figure 2. Appearance of tensile specimens pulled to failure at different temperatures and strain rates.
4. Discussion

The present results showed that grain sizes obtained in the AZ91 alloy after HPT processing followed by heating to the temperature range of 423 to 623 K were smaller than the limiting grain sizes for superplasticity (~10 µm). In practice, superplastic elongations up to and over 1000% were achieved in these experiments. Analysis of deformation behavior showed a significant difference between the fine- and coarse-grained AZ91 alloys in this temperature range.

Figure 4 shows flow-stress plots as a function of strain rate observed in the present experiments and in experiment data collected from published reports [14,37–41] for testing temperatures of (a) 423, (b) 523, and (c) 623 K. Grain sizes reported in various experiments were also recorded. It was clearly observed that the present data and other data from the fine-grained AZ91 alloy exhibited lower flow stresses and/or faster strain rates than those of their coarse-grained counterparts. For example, the creep testing of cast AZ91 alloy [37,39] resulted in strain rates more than three orders of magnitude slower than those observed in the present experiments for similar levels of flow stress at 423 K. The present data agreed fairly well with other reports of high-temperature testing of AZ91 processed by ECAP [14] and HPT [38] in which the range of grain sizes was similar. A coarse-grained (~85 µm) AZ91 alloy [40] exhibited much larger flow stresses at 523 and 623 K within a similar strain-rate range than flow stresses observed in the present experiments. Analysis showed that the deformation mechanism operating under these conditions in the coarse-grained alloy was dislocation climb.
The deformation mechanism associated with superplasticity is grain-boundary sliding, and the strain rate for this mechanism is given by an equation of form

\[
\dot{\varepsilon}_{GBS} = \frac{5 \delta D_{gb} G}{k T} \left( \frac{b}{\delta} \right)^2 \left( \frac{\sigma}{G} \right)^2,
\]

where \( \delta \) is the grain-boundary width (considered to be \( 2b \)), \( b \) is the Burgers vector modulus, \( D_{gb} \) is the grain-boundary diffusion coefficient, \( G \) is the shear modulus, \( k \) is Boltzmann's constant, \( T \) is the absolute temperature, and \( \sigma \) is flow stress.

Previous investigations [11,42] showed that this equation predicted well the behavior of the AZ31 magnesium alloy in conditions where superplasticity was observed. In order to evaluate whether the equation also reasonably predicted the behavior of the AZ91 alloy, the present data and other results from the literature reporting superplasticity in this alloy [14,38,41,43] were compared to the theoretical prediction in Figure 5, where strain rate was normalized by the effect of grain size and temperature, and plotted as a function of the stress normalized by the shear modulus. It is apparent that data in Figure 5, which correspond to various processing techniques including HPT [38], ECAP, and ECAP + Annealing [14], extrusion [43] and rolling [41], showed good agreement with the theoretical prediction and, therefore, confirmed the mechanism of grain-boundary sliding as the rate-controlling mechanism for the AZ91 alloy. The only set of results that tended to fall below the prediction were associated with the material processed by ECAP. However, an as-processed grain size of \(~0.7 \mu m\) was reported.

Figure 4. Plots of stress vs. strain rate for AZ91 alloy with different grain sizes and tested at (a) 423 K, (b) 523 K, and (c) 623 K. Data from the literature [14,37–41] were also included.
for this condition, and the subsequent occurrence of grain growth before high-temperature testing was not considered. Therefore, it is reasonable that the datum points were not fully consistent with the predictions.

![Graph](image)

**Figure 5.** Strain rate normalized by effect of temperature and grain size, and plotted as function of stress normalized by shear modulus. Data from the literature [14,38,41,43] were also included.

Different thermomechanical-processing operations introduced superplasticity in the AZ91 alloy. In Figure 5, data were collected from various processing methods, but the largest elongations were associated with processing by SPD. Thus, maximal elongations of 425% [43] and 455% [41] were reported after extrusion and rolling, respectively, whereas elongations of 956% [14] and 1308% [38] were reported after ECAP and HPT, respectively. The present results confirmed that SPD was the optimal processing technique to introduce superplasticity in this alloy, as elongations over 1000% were observed at 523 K at strain rates of $10^{-5}$ s$^{-1}$ and $10^{-4}$ s$^{-1}$. Elongations over 400%, which is considered a limiting threshold for superplasticity, were also not reported at temperatures below 0.5 $T_m$ or at strain rates of $10^{-2}$ s$^{-1}$ or faster after extrusion or rolling. However, low-temperature superplasticity at $T = 423$ K was obtained in the present experiments in material processed by ECAP [14] and HPT [38]. High-strain-rate superplasticity was observed in the present experiments and reported only after HPT processing [38].

Finally, samples tested at $10^{-3}$ s$^{-1}$ at 423 K and at all strain rates at 623 K displayed elongations in the range of 300–400%. Although these elongations were below the conventional limiting threshold for superplasticity, they were much larger than elongations expected for coarse-grained material. Stress- and strain-rate data observed in these conditions agreed well with the prediction for grain-boundary sliding. A recent report outlined analysis of the creep-deformation behavior of the fine-grained AZ31 alloy, and developed deformation-mechanism maps for this alloy [44]. It was shown that there is a region between the mechanisms of grain-boundary sliding and climb where both mechanisms appear to operate. The reason for this overlap was suggested to be the formation of subgrain structures within grains at large stresses that affect the operation of grain-boundary sliding. Thus, elongations between 300% and 400% observed in the present experiments were attributed to a combination of both grain-boundary-sliding and conventional dislocation-climb mechanisms. The combination of these deformation mechanisms was observed experimentally in the AZ31 alloy [45]. Although the alloying content in the AZ31 alloy differed from that in the AZ91 used in the present experiments,
it is reasonable to anticipate that this difference should have no significant effect on the operative creep mechanisms.

5. Conclusions

(1) An AZ91 alloy was processed by HPT and tested in tension in the temperature range of 423 to 623 K. Superplastic elongations were observed, including evidence for low-temperature and high-strain-rate superplasticity.

(2) Grain refinement changed the deformation mechanism in the alloy, and experiment data agreed well with the theoretical predictions for the grain-boundary sliding mechanism.

(3) Severe plastic deformation through HPT introduced superplastic properties at lower temperatures and/or at faster strain rates, and produced higher elongations than those in samples prepared using conventional thermomechanical processing.

(4) Elongations between 300% and 400% were observed at temperatures of 423 and 623 K, attributed to the occurrence of a combination of grain-boundary-sliding and dislocation-climb mechanisms.

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