Distinct Functional Patterns of Gene Promoter Hypomethylation and Hypermethylation in Cancer Genomes

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Abstract

Background: Aberrant DNA methylation plays important roles in carcinogenesis. However, the functional significance of genome-wide hypermethylation and hypomethylation of gene promoters in carcinogenesis currently remain unclear.

Principal Findings: Based on genome-wide methylation data for five cancer types, we showed that genes with promoter hypermethylation were highly consistent in function across different cancer types, and so were genes with promoter hypomethylation. Functions related to “developmental processes” and “regulation of biology processes” were significantly enriched with hypermethylated genes but were depleted of hypomethylated genes. In contrast, functions related to “cell killing” and “response to stimulus”, including immune and inflammatory response, were associated with an enrichment of hypomethylated genes and depletion of hypermethylated genes. We also observed that some families of cytokines secreted by immune cells, such as IL10 family cytokines and chemokines, tended to be hypomethylated in various cancer types. These results provide new hints for understanding the distinct functional roles of genome-wide hypermethylation and hypomethylation of gene promoters in carcinogenesis.

Conclusions: Genes with promoter hypermethylation and hypomethylation are highly consistent in function across different cancer types, respectively, but these two groups of genes tend to be enriched in different functions associated with cancer. Especially, we speculate that hypomethylation of gene promoters may play roles in inducing immunity and inflammation disorders in precancerous conditions, which may provide hints for improving epigenetic therapy and immunotherapy of cancer.

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Introduction

DNA hypermethylation and hypomethylation play important roles in the initiation, progression and metastasis of cancer [1,2]. It is commonly believed that DNA hypermethylation and hypomethylation are independent processes governed by different mechanisms, and they appear to play separate roles in tumor progression [3,4]. Specifically, DNA hypermethylation in cancer genomes usually occurs in the promoter regions of tumor suppressor genes, which can result in silencing of tumor suppressor genes [5]. In contrast, DNA hypomethylation often targets DNA repeats, which may induce genomic instability and mutation events in cancer genomes [6,7,8,9]. There is evidence that promoter hypomethylation of some genes may be associated with the development of cancer by regulating the activity of genes [10] and that promoter hypomethylation of specific immunity-related genes may promote carcinogenesis [11,12]. For example, the promoter hypomethylation of cytokine IL-10 can activate its expression to inhibit the generation of immune response in breast cancer [11], and the promoter hypomethylation of SPAN-Xβ, an immunogenic antigen, can induce de novo B-cell response in myeloma cells [12]. However, the biological significance of promoter hypomethylation in cancer is still poorly understood [13].

In this work, we explored the distinct roles of genes with promoter hypermethylation and hypomethylation in cancer (hereafter referred to as hypermethylated and hypomethylated genes for simplicity) using the promoter methylation profiles of five cancer types. First, we evaluated the consistency of functions enriched with hypermethylated (or hypomethylated) genes across different cancer types. Then, we identified hypermethylation-specific (or hypomethylation-specific) functions significantly enriched with hypermethylated genes (or hypomethylated genes) and significantly depleted of hypomethylated genes (or hypermethylated genes). Finally, we discuss potential links between hypo-
methylation in cancer and immune and inflammatory response disorders in precancerous conditions.

**Materials and Methods**

**Methylation Data**

The promoter methylation datasets for five cancer types were extracted from the Gene Expression Omnibus (GEO) and The Cancer Genome Atlas (TCGA) database (http://tcga-data.nci.nih.gov/tcga), as described in Table 1. For each dataset, the data were derived from paired samples of tumor and adjacent normal tissues from the same organ site, and the percentage of tumor cells in each tumor sample of TCGA was higher than 70% [14]. Details about the preparation of tissues can be found in the TCGA document [http://rcb.cancer.gov/rcb-internet/appl/rfp/07013/SOWAttachmentNo3-BCR-3-10.pdf](http://rcb.cancer.gov/rcb-internet/appl/rfp/07013/SOWAttachmentNo3-BCR-3-10.pdf). To avoid a potential batch effect [15], we selected the batch with the largest sample size for each cancer type for analysis. All data were collected with the Illumina HumanMethylation27 platform, which detected the methylation value of 27578 CpG loci located within the proximal promoter regions of transcription start sites of 14495 genes.

We used level_1 data with methylated signal intensity (M) and unmethylated signal intensity (U). The methylation level (beta-value) for each CpG locus was calculated by max (M, 0)/(|U|+|M|+100), and a constant of 100 was added to regularize the beta value when both U and M values were small [16]. Then, a beta value between 0 (unmethylated) and 1 (fully methylated) was assigned to each CpG locus in each sample. For each dataset, the detection P value reported by BeadStudio (Illumina) was used as a quality control measure of probe performance. We excluded samples that consisted of >5% probes with detection P values >0.05 and probes that consisted of >10% samples with detection P values >0.05. A total of 1092 CpG loci within promoters of 605 sex chromosome genes were also excluded from the analysis to eliminate gender-specific bias.

**Cytokine Data**

The cytokine data were derived from the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway database (ko04052: Cytokines) [17] downloaded on March 8, 2011. The data included 230 cytokines from 8 classes: Class I cytokines (hematopoietin family), Class II cytokines (interferon/IL-10 family), PDGF family, TNF family, IL-1 family, IL-17 family, TGF-beta family and chemokines.

**Selection of Differentially Methylated Genes**

The non-parametric Mann-Whitney U test was applied to select differentially methylated (DM) CpG loci around the promoter regions of genes [18] by comparing the beta values of each CpG locus between normal and cancer samples. The false discovery rate (FDR) was controlled by the Benjamin and Hochberg procedure [19]. If the promoter of a gene had both hypermethylated and hypomethylated CpG loci, this gene was excluded from subsequent analyses [20]. The genes with at least one DM CpG locus were termed DM genes. By comparing the mean beta values of DM CpG loci between normal and cancer samples, we classified the DM genes into hypermethylated and hypomethylated genes.

**Functional Enrichment and Consistency Analysis**

Using the GO function algorithm [21] with an FDR <0.05, we selected GO terms (biological processes) [22] that were significantly enriched with hypermethylated (or hypomethylated) genes for each cancer type, and then treated the local redundancy. For treatment of local redundancy, when both an ancestor and its offspring term(s) were detected to be statistically significant, the GO function extracted only the ancestor term as being relevant if there was evidence that the remaining genes in the ancestor term were still likely to be relevant to the disease after the removal of genes in its significant offspring term(s), [21]; otherwise, only the offspring term was kept.

If there were N significantly hypermethylated terms in dataset 1, among which K1 terms were also identified as significantly hypermethylated in dataset 2, the PO (percentage of overlaps) score of the two term lists (from dataset 1 to dataset 2) was calculated as K1/N. Then, we proposed a score, denoted as the POE (percentage of overlaps extended) score, to evaluate the consistency of these two lists of significant GO terms. For a hypermethylated GO term extracted from dataset 1, if its raw P value of enrichment with hypermethylated genes for dataset 2 was lower than 0.05, then it was defined to be tentatively significant in dataset 2. If K2 of the N hypermethylated terms extracted from dataset 1 were significant or tentatively significant in dataset 2, the POE score of the two term lists (from dataset 1 to dataset 2) was calculated as K2/N. Finally, we performed random experiments to demonstrate that the observed POE score was unlikely to be produced by chance. From dataset 2, we randomly extracted genes as “hypermethylated genes”, with the same number of hypermethylated genes extracted from dataset 2, and then performed the functional analysis and calculated the random POE scores. This process was repeated 10,000 times, and the P value of the observed score from dataset 1 to dataset 2 was calculated as the percentage of the random scores exceeding the observed score. The same analysis was performed for the hypomethylated terms.

**Results**

**Extensive Hypermethylation and Hypomethylation of Gene Promoters in Cancers**

We selected DM genes using the Mann-Whitney U test with an FDR <5%. As shown in Figure 1, approximately one third of all the measured genes for each dataset were found to be differentially

Table 1. The methylation data analyzed in this study.

| Cancer type               | Sample size (cancer vs. normal) | Batch number | Data sources       |
|---------------------------|---------------------------------|--------------|--------------------|
| Colon adenocarcinoma      | 22:22                           | –            | GEO:Gse17648       |
| Kidney renal clear cell carcinoma | 50:50                           | 64           | TCGA               |
| Stomach adenocarcinoma   | 47:47                           | 48           | TCGA               |
| Lung adenocarcinoma      | 24:24                           | 58           | TCGA               |
| Breast invasive carcinoma | 20:20                           | 93           | TCGA               |

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which was unlikely to be observed by chance (binomial test, tentatively significant). For each cancer type, the hypermethylated terms extracted for kidney carcinoma had an enrichment of less than two of the terms extracted for kidney carcinoma, with POE scores of 95–100%. However, even for the same cancer type, the significant hypermethylated (or hypomethylated) terms extracted from different datasets tended to have low PO scores due to the inherent limitations of the statistical decision [21]. To address this problem, we proposed the POE score to evaluate the functional consistency of the hypermethylated terms extracted from different datasets (see Materials and Methods). For example, 41 of the 43 hypermethylated terms extracted for kidney carcinoma had raw enrichment $P$ values less than 0.05 in all of the datasets for the other cancer types, with POE scores of 95–100%. However, an average of less than two of the terms extracted for kidney carcinoma had an enrichment $P$ value less than 0.05 in 10,000 randomized datasets for each of the other cancer types (see Materials and Methods), which is significantly fewer than the number observed in the original dataset ($P<0.0001$). This result suggested that “regulation of biological process” might be more biologically relevant [21]. However, in some cases, the general parent term could be globally disturbed. Taking the term “cell differentiation” (GO:0030154) as an example, the genes remaining after the removal of the genes in its four significant offspring terms were still significantly enriched with hypermethylated genes in the dataset for colon adenocarcinoma (hypergeometric test, $P=7.98e-005$). This result suggested that “regulation of cell differentiation” might be widely disturbed in this cancer.

Similarly, the lists of hypomethylated terms extracted for different cancer types with an FDR of 5% had low percentages of overlap (Figure 2A). For example, only 6 to 11 of the 21 hypomethylated terms extracted from the dataset for colon adenocarcinoma were also found in the datasets for the other cancer types, with PO scores of 28–52%. However, 19 of the 21 hypomethylated terms for colon adenocarcinoma had raw enrichment $P$ values less than 0.05 in all of the other four cancer types, and the other two terms had raw enrichment $P$ values less than 0.05 in at least one of the other cancer types, all with POE scores greater than 90%. In 10,000 randomized experiments for each cancer type (see Materials and Methods), less than one of the terms, on average, extracted for colon adenocarcinoma had enrichment $P$ values less than 0.05 in all of the other four cancer types, which was significantly fewer than the corresponding number observed in the original dataset ($P<0.0001$). Thus, most of the hypomethylated terms for colon adenocarcinoma could be non-randomly found in the datasets for the other four cancer types. Similar results were observed for hypomethylated terms extracted for the other four cancer types (Figure 2B). Therefore, hypomethylated genes for different cancer types were also highly consistent in their function.

Finally, we identified 41 terms that were consistently hypomethylated across the five different cancer types (Table S2). Each of these terms was significant in at least one cancer type and tentatively significant ($P<0.05$) in all of the other cancer types, which was unlikely to be observed by chance (binomial test, $P<6.25E-06$). As shown in Table S1, these terms are mainly related to “developmental process” (including “cell differentiation” and “cell development”), “transport” (including “calcium ion transport” and “neurotransmitter transport”), “response to stimulus” (including “response to chemical stimulus” and “behavior”), and the “regulation of biological process” (including “regulation of transcription, DNA-dependent” and “regulation of signaling”). Notably, when a term and one of its offspring terms are both detected to be significant, researchers are often interested in the specific offspring term, assuming that specific GO terms might be more biologically relevant [21].
with an equal percentage of lymphocytes in each pair of samples and found that hypomethylated genes were still significantly enriched in “immune response” ($P = 2.41 \times 10^{-5}$) but not in “inflammatory response” ($P = 3.74 \times 10^{-1}$) which could be due to the low power of detecting hypomethylated genes with an FDR < 5% for “inflammatory response” [24]. As the functional enrichment analysis is rather robust to the false discoveries of DM genes [25], we selected hypomethylated genes with an FDR < 10% and found that “inflammatory response” was also enriched with hypomethylated genes ($P = 1.92 \times 10^{-2}$). These results indicated that the methylation changes in “immune response” as well as in “inflammatory response” could not be explained by the infiltration of lymphocytes in cancer tissue.

Notably, we found that some typical cancer-associated functions such as “cell cycle” and “apoptosis” were not enriched with hypermethylated genes or hypomethylated genes. Oppositely, some of these functions were significantly depleted of both hypermethylated and hypomethylated genes for all five cancer types. For example, “cell cycle” was significantly depleted of both hypermethylated and hypomethylated genes for all five cancer types (all $P < 2.09 \times 10^{-10}$). This result could be partially due to the strong target gene specificity of methylation alternations [26,27]. On the other hand, we still observed some genes in these functions

Figure 2. Functional consistency between hypermethylated terms from different cancer types with PO and POE scores. (A) The PO scores of the hypermethylated genes from different cancer types. (B) The POE scores of the hypermethylated genes from different cancer types. Each row represents the scores between the hypermethylated terms for one cancer type and the hypermethylated terms for the other cancer types. A POE score of 1 is shown in red and 0 is indicated in blue.
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Figure 3. Functional consistency between hypomethylated terms from different cancer types with PO and POE scores. (A) The PO scores of the hypomethylated genes from different cancer types. (B) The POE scores of the hypomethylated genes from different cancer types. Each row represents the scores between the hypomethylated terms for one cancer type and the hypomethylated terms for the other cancer types. A POE score of 1 is shown in red and 0 is indicated in blue.
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that were consistently differentially methylated across the five cancer types. For example, 80 genes associated with cell cycle showed consistent hypermethylation or hypomethylation changes across the five cancer types, indicating that they are also common targets of methylation alterations in these cancer types.

**Hypermethylation- and Hypomethylation-specific Functions**

From the 117 terms consistently hypermethylated across the five cancer types, we defined hypermethylation-specific functions as those that were not significantly enriched with hypomethylated genes in any of the cancer types and significantly depleted of hypomethylated genes in at least one cancer type (Table S4). We found 24 hypermethylation-specific functions, the majority of which are related to “regulation of biology process” and “developmental process”. Table S3 contains a complete list of hypermethylation-specific functions.

Similarly, from the 41 terms consistently hypomethylated across the five cancer types, we defined hypomethylation-specific functions as those that were not significantly enriched with hypermethylated genes in any of the cancer types and significantly depleted of hypermethylated genes in at least one cancer type (Table S3). We found 24 hypomethylation-specific functions, the majority of which are related to “regulation of biology process” and “developmental process”. Table S3 contains a complete list of hypomethylation-specific functions.

**Discussion**

Our results showed that genes with promoter hypermethylation and hypomethylation in different cancer types are highly consistent in function, respectively. Although different tissues have specific methylation patterns [31], this high level of consistency suggests that they have similar methylated functional changes in different cancer types. Our results also indicated that gene promoter hypermethylation and hypomethylation tend to target different biological processes associated with tumor progression. Hypermethylation-specific functions are mostly associated with “development process” and “regulation of biology process”, whereas hypomethylation-specific functions are mostly related to “response to stimulus” (including “immune response”, “response to fungus”, “defense response” and its offspring “inflammatory response”), immune system process and cell killing (Table 2). Considering that immune cells affect malignant cells through the production of various types of cytokines, we found that cell cytokines collected in the KEGG database were significantly hypomethylated in each of the five cancer types (P=3.72E−13, 5.11E−11, 6.60E−08, 2.44E−08 and 5.94e−09 for colon, kidney, stomach, lung and breast cancers, respectively). Specifically, we found that the hematopoietin, TNF, IL1, IL10 and IL17 families of cytokines had a significant tendency to be hypomethylated in all five cancer types. For example, an average of 70.0% of genes in the IL10 family, which promote innate immune responses from tissue epithelia to limit the damage caused by infection or inflammation [29], were hypomethylated in all five cancer types (Figure 4).

We noticed that hypermethylation- and hypomethylation-specific functions are related to different types of “response to stimulus”, as shown in Figure 5. The hypomethylation-specific functions are mainly related to “immune response”, “response to fungus” and “defense response” (including its offspring “inflammatory response”), which are mainly performed by immune cells in an organism in response to a potential threat (such as cancer cells and bacteria); in these processes, cells communicate with each other through the use of signal molecules, such as cytokines [30]. In contrast, the hypomethylation-specific functions are mainly related to “signal transduction” within the cell and “behavior” (specific actions or reactions) of an organism in response to external or internal stimuli (Figure 5).
(CIMP-H, CIMP-L, cluster 3 and cluster 4) reported by Hinoue et al [35] were highly consistent in function (Figure S1) although these subtypes were differ in terms of their hypermethylated genes [35]. Specifically, for the 117 terms consistently hypermethylated across different cancer types, we found that 115 terms were consistently enriched with hypermethylated genes for all four subtypes (hypergeometric test, \( P < 0.05 \)) and the other two terms were also marginally significant for all four subtypes (hypergeometric test with \( P < 0.1 \)). Different samples for a particular cancer type may harbor different methylation alternations which could also be consistent in function.

To extrapolate the functional consequence of methylation alternations of gene promoters in cancer genomes, researchers often investigate the relationship between gene methylation and gene expression. Hypermethylation of gene promoters is significantly correlated with the down-regulation of gene expression but hypomethylation of gene promoters is not or is only weakly correlated with gene up-regulation [38,39]. Similar complex relationships were also observed at the functional level. To exemplify this, we analyzed 16 of the 20 pairs of samples for invasive breast cancer that contained both methylation and expression data. The differentially expressed genes were selected using the SAM (significance analysis of microarray) algorithm [40] with an FDR \( < 0.05 \). Then, for the 117 terms consistently hypermethylated across the five cancer types, we found that 64 terms were significantly enriched with down-regulated genes (hypergeometric test with \( P < 0.1 \)). However, for the 41 terms that were consistently hypomethylated across the five cancer types, we found that none was enriched with up-regulated genes. Oppositely, 10 hypomethylated terms (including “inflammatory response” and “leukocyte migration”) were even enriched with down-regulated genes. One possible explanation for this phenomenon is the hypothesis that hypomethylation of gene promoters must cooperate with other key activators such as appropriate levels of transcriptional factors [37,41] to control gene expression. For example, as inflammatory genes tend to be hypomethylated in inflammatory diseases [42,43,44], we could hypothesize that hypomethylation of inflammatory gene promoters may happen in precancerous inflammatory disorders, which together with the activation of the coupled activators could induce hyperactivation of inflammatory response in the precancerous conditions. During the development of cancer, the hypomethylation of inflammatory genes could be inherited through cell division, whereas the coupled activators could lose function due to genome instability induced by the protumorigenic microenvironment [45,46,47]. Thus we could observe these genes’ down-regulation coexisting with hypomethylation in cancer. To prove this hypothesis, we need to monitor the methylation and expression changes during the progression from precancerous inflammation to cancer, which is a difficult task but deserves future study.

Insight into the functional roles of DNA methylation alterations in cancer genomes may help improve the epigenetic therapy of cancer. Currently, most epigenetic drugs are hypomethylating agents that target hypermethylated genes in cancer [48]. However,
because promoter hypomethylation of genes may also play an important role in carcinogenesis, agents targeting hypomethylated genes in cancer might be useful for cancer therapy. For example, reversal of the hypomethylation status of uracilase (UA) promoter blocks breast cancer growth and metastasis [49]. Considering the close link between promoter hypomethylation and immunity, epigenetic therapy and immunotherapy may need to be combined for the treatment of cancer.

Supporting Information

Figure S1  The POE scores between every two lists of the hypermethylated functions extracted for the four methylation-based subtypes of colon cancer. Each row represents the scores between the hypermethylated terms for one subtype and the hypermethylated terms for the other subtypes. The POE score 1 is shown in red and 0 is indicated in blue. The details of the four subtypes are described in [35].

Table S1 Terms that are consistently hypomethylated across different cancer types. This table shows the 117 GO terms that are consistently hypomethylated across different cancer types with the P values of enrichment for all of the cancer types. (XLS)

Table S2 Terms that are consistently hypomethylated across different cancer types. This table shows the 41 GO terms that are consistently hypomethylated across different cancer types with the P values of enrichment for all of the cancer types. (XLS)

Table S3 Hypermethylation-specific functions. This table shows the 38 hypermethylation-specific functions with the P values of depletion for all of the cancer types. (XLS)

Table S4 Hypermethylation-specific functions. This table shows the 24 hypermethylation-specific functions with the P values of depletion for all of the cancer types. (XLS)

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Author Contributions

Conceived and designed the experiments: XS ZG. Performed the experiments: XS ZH HL YZ. Analyzed the data: XS ZH SL CY YZ. Contributed reagents/materials/analysis tools: XS ZH HL YZ. Wrote the paper: XS ZG.
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