Estimation of the Long-Term Care Needs of Stroke Patients by Integrating Functional Disability and Survival

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Abstract

Objectives: This study aimed to estimate the dynamic changes of different physical functional disabilities and life-time care needs for patients with stroke.

Data Sources and Study Design: We examined a hospital-based cohort including 16,043 patients who had their first stroke during 1995–2010. The Barthel Index (BI) was used to measure disability levels in 1,162 consecutive patients, with a total of 1,294 measurements at the stroke clinics and the rehabilitation wards, and a cross-sectional design.

Extraction Methods: The survival function was extrapolated to lifetime by a semi-parametric method and multiplied with proportions of different disabilities over time to obtain the long-term care needs for different stroke subtypes.

Principal Findings: On average, stroke patients would suffer at least 0.86 years with mild disability, 1.24 years with moderate disability and 1.39 years with severe disability, as measured by the BI. Among these, patients with a cardio-embolic infarct or intracerebral hemorrhage (ICH) suffered more than 2 years of severe disability. Assistance in bathing was the most common need for care in stroke patients.

Conclusions: Among different subtypes of stroke, cardio-embolic infarct and ICH lead to the longest durations of severe physical functional disability. The method presented in this work may also be applied to other chronic diseases and different functional disabilities.

Introduction

Worldwide, stroke is the second leading cause of death [1]. In the United States alone, there are 795,000 patients with new or recurrent strokes and approximately 128,900 stroke-related deaths every year. There are also more than seven million stroke survivors, who cost the US economy about $38.6 billion per year [2]. Although current data show that more than 32% of these patients have received outpatient rehabilitation [2], the lifelong need for care of stroke patients with disabilities has not been fully explored [3].

Stroke is a highly heterogeneous disorder with distinct subtypes, each of which presents specific clinical pictures [2,4–7], including a range of functional disabilities [8–10]. Information on the dynamic changes of functional disabilities for different stroke subtypes is useful to improve the quality of clinical management, rehabilitation, and long-term care. Furthermore, quantification of the length of different disability levels can be used to project potential long-term care needs, as well as profile changes in these levels. However, because a systematic collection of such data from established patient cohorts has been lacking, we conducted this study to quantify dynamic time trends in different physical functional disability states using the Barthel Index (BI) and to estimate life-time care needs for patients with different subtypes of stroke. As BI has a well recognized floor and ceiling effect [11,12], and does not include performance other than physical function, this effort could be regarded as the beginning of more extensive assessments of other functional disabilities, such as cognitive ones, as well as of the care needed by such patients.

Methods

Establishing the Stroke Patient Cohort

The study was approved by the Institutional Review Board of the National Taiwan University Hospital (NTUH) [IRB number: 9561703047] prior to commencement, and every interviewed patient provided written informed consent. We used data from the stroke registry of the NTUH [7,13], which was established in 1995...
in order to investigate the etiological factors, clinical courses, and outcomes of stroke. At the time of this study, the registry contained information on 16,043 patients who experienced their first stroke between 1995 and 2010. The patients were categorized into four groups: intracerebral hemorrhage (ICH), large artery atherothrombotic infarct (LAA), lacunar infarct, cardio-embolic infarct (CEI), and infarct of other specific or undetermined etiologies. The diagnosis of ICH was made when a parenchymal hemorrhage, as identified through brain imaging, corresponded to clinical observations. Subarachnoid hemorrhage was not included in this study [7,13]. The diagnostic criteria for ischemic stroke were adopted from the Trial of Org 10172 in Acute Stroke Treatment (TOAST) classification system [4].

Definition of Disability Levels and Collection of Cross-sectional Data

From September 2008 to August 2012, patients from the stroke clinics of the NTUH with ischemic stroke or ICH were invited for functional and questionnaire assessments using a cross-sectional design. Patients were not recruited if they had disturbances in consciousness, moderate to severe dementia or aphasia, or an inability to appropriately communicate with the clinicians. To broaden the study sample, we also included consecutive patients with strokes who were hospitalized in the rehabilitation wards. The patients were assessed on the date of discharge between January 2009 and August 2012. Some of these patients were assessed again one year after the initial diagnosis through telephone interviews. Each subject was assessed by the investigator and/or research assistants who were formally trained in administering the BI and the related assessments [14].

- 16,043 patients who had their first ischemic stroke or intracerebral hemorrhage during 1995-2010 and were hospitalized at the National Taiwan University Hospital (NTUH).
- The survival status of all the registered stroke patients was determined by cross-linkage with the National Mortality Registry in Taiwan to estimate the survival function.
- From September 2008 to August 2012, all patients from the NTUH stroke clinics were invited for functional assessments using the BI (Barthel Index).
- After excluding less than 10% patients who were unable to communicate with the clinicians, a total of 772 patients were included.
- From September 2009 to August 2012, we collected 390 patients from the rehabilitation ward of the NTUH for BI functional assessment, after exclusion of five patients with moderate to severe dementia.
- Among these patients, 132 were assessed two times: once on the day of discharge, and again one year after diagnosis via a telephone interview to estimate the BI functional status.

Lifelong durations for different disability levels were estimated by multiplying the proportions of functional disabilities with survival probability at time t after diagnosis, then sum up throughout lifetime after stratification for different stroke subtypes.

Figure 1. Flow chart of the computation process for lifelong duration of different disability levels and long-term care. doi:10.1371/journal.pone.0075605.g001

The BI is composed of 10 items with varying weights, and has been shown to have good reliability and validity [11,12]. Two items regarding grooming and bathing are assessed using a two-point scale (0 and 5 points); six items regarding feeding, toilet use, ascending and descending stairs, dressing, controlling bowls, and bladder control are scored on a three-point scale (0, 5 and 10 points), and two items regarding moving from a wheelchair to bed and returning and walking on a level surface are evaluated on a four-point scale (0, 5, 10, and 15 points). The total score can range from 0 to 100, with higher scores reflecting more independence and lower scores representing greater dependency. The BI scores were classified into four categories: no disability (BI = 100), mild disability (BI: 90–95), moderate disability (BI: 60–85) and severe disability (BI ≤ 55) [15,16]. We also used the original score of each item to estimate detailed long-term care needs in stroke patients.

Survival Analysis and Extrapolation

The survival status of all the registered stroke patients was determined by cross-linkage with the National Mortality Registry in Taiwan. The patients were followed from the time that they were diagnosed with a stroke until they were deceased or censored on December 31, 2010. We applied the Kaplan-Meier method to estimate survival for different stroke subtypes from the onset of stroke. However, survival can only be estimated through the time limit of follow-up with this method [17], whereas many young stroke patients in our cohort may survive for more than 20 or 30 years. We thus employed a semi-parametric extrapolation method, which only requires an assumption of constant excess hazards or mortality to estimate lifelong survival [18]. The estimates were obtained using iSQoL software [19]. The feasibility and accuracy of the methods and software used in this work have been demonstrated in cohorts with stroke [13] and different cancers [20,21], and the relative biases for the estimates of extrapolation are usually within 5–10% [20,21]. The semi-parametric method can produce accurate survival extrapolations, because it uses additional information from age- and sex-matched referents, and the logit transformation of the survival ratio between patients and referents has been shown to be linear over time [22]. We also estimated the expected years of life lost (EYLL), which can be obtained by subtracting the area under the survival curve of stroke patients after diagnosis from that of the sex- and age-matched reference population in our study [22]. Detailed methods and mathematical proofs are described in our previous reports [17–23].

Estimation of Lifelong Duration for Different Disability Levels and Long-term Care

The health status of a subject with a specific condition can be classified into k exclusive categories denoted as H1,...,Hk according to a given measure. The function Pj(t) can be interpreted as the proportion of the surviving subjects whose health statuses are Hj at time t, of which the sum of Pj(t) is one. The estimate of mean lifelong duration Dj of the population with health status Hj can thus be obtained by multiplying the estimates of survival probability S(t) by the proportion Pj(t) of the specific health status at time t, and then summing up throughout the patient’s lifetime, i.e.,

\[ D_j = \int_0^\infty S(t) \times P_j(t) \, dt. \] (See Details of the formula S1)
In this study, we applied a kernel smoothing method of averaging the nearest 10% of the observed proportions of living subjects with health status $H_j$ around time $t$ to obtain $\hat{PP}_j(t)$ throughout the period from diagnosis to interview (or, duration-to-date) [23], of which the maximum in this study was 26 years. This approach can capture the proportion of patients with a specific level of disability among those who survive up to a specific length of time, and can be represented by those surveyed cross-sectionally, if it is a random sample and the sample size exceeds 50 [23]. Given the analysis based on the BI total score, the health status was classified into four exclusive categories: disability-free ($H_1$), mild disability ($H_2$), moderate disability ($H_3$), and severe disability ($H_4$). The expected years of life living with disability (EYLDs) can be estimated by subtracting the lifelong duration with the disability-free estimate, $\hat{DD}_1$, from the estimate of the life expectancy of the population, which is equal to $\hat{DD}_2 + \hat{DD}_3 + \hat{DD}_4$. The estimates of the lifelong duration of disability can be obtained using the free iSQoL software (iSQoL 2013) [19]. Similarly, we can obtain estimates of the lifelong duration of disability levels for each item measured in the BI. For example, the functional evaluation of bathing in a stroke patient was classified into two exclusive categories of score 5 ($H_1$) and score 0 ($H_2$), and we can estimate the lifetime duration of score 0 for patients with different stroke subtypes. The confidence limits of the above estimations

| Table 1. Comparison of frequency distributions of stroke patients hospitalized under the National Health Insurance (NHI), at the National Taiwan University Hospital (NTUH), and a cross-sectional sample at the stroke clinics and rehabilitation wards. |
| Case number | NHI | NTUH | Cross-sectional sample |
|-------------|-----|------|------------------------|
| 79,816      | 16,043 | 1,294 |
| Calendar years of data collection | 2008–2009 | 1995–2010 | 2008–2012 |
| Sex (% male)* | 59.6 | 59.3 | 65.2 |
| Age in years, mean(SD)* | 66.9 (14.2) | 65.1 (15.0) | 65.7 (12.6) |
| Types of stroke |
| Infarct (%)* | 62,111 (77.8) | 12,397 (77.3) | 1,097 (84.8) |
| Large artery atherothrombosis | 2,329 (14.5) | 292 (2.2) |
| Lacunar | 3,702 (23.1) | 360 (27.8) |
| Cardio-embolism | 2,504 (15.6) | 169 (13.1) |
| Other | 3,862 (24.1) | 276 (21.3) |
| Intracerebral hemorrhage (%)* | 17,705 (22.2) | 3,646 (22.7) | 197 (15.2) |
| Comorbidities (%) |
| Hypertension* | 59,696 (75.1) | 11,460 (71.4) | 1,053 (81.4) |
| Diabetes | 31,041 (38.9) | 5,072 (31.6) | 418 (32.3) |
| Atrial fibrillation | 7,876 (9.9) | 2,525 (15.7) | 179 (13.9) |
| Ischaemic heart disease* | 9,093 (11.4) | 2,532 (15.8) | 104 (8.4) |
| Cancer* | 6,097 (7.6) | 1,921 (12.0) | 61 (4.7) |

*P<0.001; SD: standard deviation.
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In this study, we applied a kernel smoothing method of averaging the nearest 10% of the observed proportions of living subjects with health status $H_j$ around time $t$ to obtain $\hat{PP}_j(t)$ throughout the period from diagnosis to interview (or, duration-to-date) [23], of which the maximum in this study was 26 years. This approach can capture the proportion of patients with a specific level of disability among those who survive up to a specific length of time, and can be represented by those surveyed cross-sectionally, if it is a random sample and the sample size exceeds 50 [23]. Given the analysis based on the BI total score, the health status was classified into four exclusive categories: disability-free ($H_1$), mild disability ($H_2$), moderate disability ($H_3$), and severe disability ($H_4$). The expected years of life living with disability (EYLDs) can be estimated by subtracting the lifelong duration with the disability-free estimate, $\hat{DD}_1$, from the estimate of the life expectancy of the population, which is equal to $\hat{DD}_2 + \hat{DD}_3 + \hat{DD}_4$. The estimates of the lifelong duration of disability can be obtained using the free iSQoL software (iSQoL 2013) [19]. Similarly, we can obtain estimates of the lifelong duration of disability levels for each item measured in the BI. For example, the functional evaluation of bathing in a stroke patient was classified into two exclusive categories of score 5 ($H_1$) and score 0 ($H_2$), and we can estimate the lifetime duration of score 0 for patients with different stroke subtypes. The confidence limits of the above estimations

Figure 2. Dynamic changes in functional needs for patients with severe disabilities after stroke.
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were calculated by a bootstrap method, and z-tests were also performed, with a p-value, 0.05 considered statistically significant.

Other Statistical Analysis

The differences in the frequency distributions of stroke patients between the NTUH and the cross-sectional sample at the stroke clinics and rehabilitation wards were tested with the Chi-squared test, and p, 0.05 was regarded as significant. The analysis was carried out using SAS (ver.9.2) software.

Results

Because the NTUH Stroke Center has gained the trust of patients during its 15 years of operation, none of the eligible patients refused to be assessed, except for those who were totally unable to communicate with the clinicians. The non-respondents at the stroke clinics were less than 10% of the invited patients, while only five patients with moderate to severe dementia could not be performed BI assessment at the rehabilitation ward (Figure 1). The median duration from onset to the date of interview was 12.9 months (range, 0.1–318.8 months). Among these, 132 patients recruited from the rehabilitation ward were assessed two times: once on the day of discharge, and again one year after diagnosis via a telephone interview. A comparison of the frequency distributions of the patients’ demographic and clinical characteristics is given in Table 1, which shows that the age and diabetes distributions of the smaller sample interviewed at the clinic and bedside seem similar to those of the larger cohort at the NTUH Stroke Center, as well as those abstracted from registered inpatients in the National Health Insurance (NHI) database. The

### Table 2. Mean (standard error, in years) duration of subjects with different levels and types of functional disabilities, as measured by the Barthel Index (BI) and stratified by stroke subtypes.

| Category | Infarct | Lacune | CE | Others | ICH* |
|----------|---------|--------|----|--------|------|
| **BI item** | **Score** | **LAA** | **Lacune** | **CE** | **Others** | **ICH** |
| Feeding | 10 | 9.55(0.30) | 13.75(0.32) | 6.16(0.50) | 11.05(0.20) | 10.35(0.47) |
| | 5 | 0.32(0.16) | 0.39(0.20) | 0.40(0.26) | 0.15(0.05) | 0.67(0.34) |
| | 0 | 0.63(0.20) | 0.43(0.21) | 1.29(0.45) | 0.35(0.17) | 0.42(0.29) |
| Transfer | 15 | 7.99(0.36) | 12.9(0.36) | 5.04(0.53) | 9.59(0.42) | 9.27(0.59) |
| | 10 | 0.87(0.30) | 0.84(0.24) | 0.78(0.34) | 1.23(0.44) | 0.83(0.37) |
| | 5 | 0.85(0.26) | 0.35(0.17) | 0.76(0.38) | 0.49(0.25) | 0.90(0.45) |
| | 0 | 0.63(0.20) | 0.36(0.15) | 1.29(0.43) | 0.30(0.15) | 0.41(0.16) |
| Grooming | 5 | 9.04(0.29) | 13.47(0.32) | 5.70(0.54) | 10.32(0.37) | 8.95(0.68) |
| | 0 | 1.48(0.34) | 1.18(0.31) | 2.14(0.61) | 1.30(0.43) | 2.46(0.62) |
| Toilet use | 10 | 8.34(0.42) | 13.13(0.35) | 4.83(0.58) | 9.96(0.44) | 8.58(0.65) |
| | 5 | 0.99(0.25) | 0.64(0.22) | 1.12(0.45) | 0.86(0.27) | 1.30(0.42) |
| | 0 | 1.18(0.32) | 0.73(0.24) | 1.89(0.54) | 0.81(0.29) | 1.51(0.49) |
| Bathing | 5 | 7.76(0.43) | 12.30(0.40) | 4.45(0.59) | 9.20(0.51) | 7.81(0.69) |
| | 0 | 2.76(0.40) | 2.30(0.36) | 3.37(0.62) | 2.44(0.52) | 3.34(0.79) |
| Mobility | 15 | 8.29(0.38) | 12.4(0.41) | 5.03(0.54) | 8.89(0.56) | 8.73(0.62) |
| | 10 | 0.92(0.26) | 1.35(0.30) | 1.23(0.42) | 1.56(0.56) | 1.56(0.55) |
| | 5 | 0.09(0.05) | 0.26(0.21) | 0.05(0.03) | 0.17(0.08) | 0.15(0.08) |
| | 0 | 1.21(0.26) | 0.52(0.19) | 1.57(0.43) | 0.99(0.41) | 0.95(0.37) |
| Stairs | 10 | 7.09(0.43) | 11.8(0.44) | 4.57(0.62) | 7.99(0.76) | 7.80(0.65) |
| | 5 | 1.01(0.31) | 0.62(0.18) | 0.68(0.36) | 0.48(0.27) | 0.47(0.27) |
| | 0 | 2.39(0.38) | 2.06(0.39) | 2.60(0.52) | 3.20(0.64) | 3.13(0.62) |
| Dressing | 10 | 8.50(0.39) | 12.59(0.38) | 4.70(0.58) | 9.39(0.45) | 8.23(0.23) |
| | 5 | 0.79(0.23) | 1.20(0.30) | 1.18(0.44) | 1.29(0.38) | 1.05(0.41) |
| | 0 | 1.26(0.30) | 0.78(0.23) | 1.96(0.51) | 0.96(0.29) | 2.13(0.62) |
| Bowels | 10 | 9.85(0.27) | 14.18(0.25) | 7.05(0.35) | 11.37(0.16) | 10.90(0.32) |
| | 5 | 0.54(0.20) | 0.35(0.19) | 0.73(0.33) | 0.08(0.04) | 0.44(0.26) |
| | 0 | 0.13(0.06) | 0.07(0.04) | 0.06(0.04) | 0.17(0.12) | 0.05(0.02) |
| Bladder | 10 | 9.69(0.24) | 13.84(0.26) | 6.69(0.41) | 11.16(0.24) | 10.75(0.32) |
| | 5 | 0.39(0.19) | 0.60(0.27) | 0.65(0.32) | 0.17(0.08) | 0.55(0.32) |
| | 0 | 0.40(0.17) | 0.14(0.06) | 0.49(0.27) | 0.39(0.23) | 0.10(0.07) |

*LAA: Large artery atherothrombosis; CE: Cardio-embolism; ICH: Intracerebral hemorrhage.

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cross-sectional sample was composed of slightly lower proportions of patients with cancer or ischemic heart disease, and thus the prevalence of functional disabilities may be underestimated. Although the interviewed sample is composed of a higher proportion of ischemic stroke and lacunar infarct patients, all the sample sizes of different stroke subtypes are more than 169, which is sufficiently large for estimation of changes in functional disabilities with different durations-to-date.

The proportions of different physical functional disabilities among stroke patients with individual BI items (e.g., feeding, transfer, bathing, and so on) scored as zero were plotted against time after diagnosis (Figure 2), and the lifelong durations for the care needs of different functional items in BI are summarized in Table 2. The results show that patients with CEI required the longest duration of self-care and mobility assistance, with 3.37 (standard error of mean (SEM), 0.62) years for bathing, 1.89 (SEM, 0.54) years for toilet use, 1.57 (SEM, 0.43) years for

![Figure 3. The lifetime health-adjusted survival of stroke patients.](image)

The survival probability (long-dash line) multiplied by the proportion of patients with no disability (dotted line) over time after diagnosis results in the health-adjusted survival curve (solid line), which can be summed to estimate the expected life years without functional disabilities for stroke patients (shaded area).

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**Table 3.** Estimation of life expectancy (LE, 95% confidence interval (CI), in years), EYLL (expected years of life loss, with standard error of mean in parenthesis), mean lifelong duration (95% CI, in years) of each functional disability state as measured by the Barthel Index (BI) and EYLD (expected years of living with disability) stratified by different stroke subtypes.

| Category of stroke | Infarct | Lacune | CE** | Others |
|--------------------|---------|--------|------|--------|
| Average age        | 68.38   | 68.29  | 70.38| 61.04  | 59.75 |
| LE                 | 10.50 (10.29–10.75) | 14.66 (14.49–14.93) | 7.85 (7.64–8.05) | 11.67 (11.50–11.84) | 11.37 (11.17–11.57) |
| EYLL               | 4.41 (0.12) | 1.69 (0.11) | 7.28 (0.12) | 7.62 (0.09) | 10.50 = 0.10 |
| Years with no disability* | 6.96 (6.13–7.86) | 11.79 (10.97–12.46) | 4.32 (3.37–5.57) | 8.28 (6.94–9.12) | 7.07 (5.48–8.23) |
| (% subjects)       | (54%)   | (73%)  | (29%)| (61%)  | (38%) |
| Years with mild disability† | 0.91 (0.54–1.44) | 0.48 (0.29–0.85) | 0.23 (0.01–0.76) | 1.06 (0.54–1.76) | 1.15 (0.44–1.99) |
| (% subjects)       | (10%)   | (6%)   | (4%) | (11%)  | (17%) |
| Years with moderate disability‡ | 1.17 (0.63–1.89) | 1.44 (0.83–2.20) | 0.95 (0.32–1.62) | 1.31 (0.74–2.22) | 1.15 (0.36–1.88) |
| (% subjects)       | (18%)   | (14%)  | (22%)| (13%)  | (22%) |
| Years with severe disability§ | 1.46 (0.85–2.06) | 0.95 (0.46–1.49) | 2.35 (1.25–3.38) | 1.02 (0.54–1.65) | 2.00 (0.82–3.32) |
| (% subjects)       | (18%)   | (7%)   | (45%)| (15%)  | (23%) |
| EYLD               | 3.54    | 2.87   | 3.53 | 3.39   | 4.30 |

*no disability (BI = 100);
†mild disability (BI = 90–95);
‡moderate disability (BI = 60–85);
§severe disability (BI ≤55);
LAA:Large artery atherothrombosis;
CE: Cardio-embolism;
**ICH: Intracerebral hemorrhage.

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mobility, and 0.49 (SEM, 0.27) years for bladder control. Patients with lacunar infarct appeared to have the least need for long-term care for every BI item (Table 2). On average, the total life expectancy of stroke patients was composed of 8.35 (95% confidence intervals [CI], 7.88–8.83) years without disability, 0.86 (95% CI, 0.53–1.20) years with mild disability, 1.24 (95% CI, 0.94–1.60) years with moderate disability and 1.39 (95% CI, 1.07–1.65) years with severe disability. Figure 3 shows the disability-free durations for the four major subtypes of stroke. Patients with CEI suffered the longest period of severe disability (2.35 years) and the shortest life expectancy, followed by patients with ICH and LAA (Table 3). However, there is no statistical difference between the mean durations of CEI and ICH for the severe disability.

**Discussions**

While the Sullivan method has been used to integrate the weight of functional disabilities with survival in the general population to compute health expectancies [24], it has never been applied to an actual cohort of a particular illness with functional disabilities, such as stroke patients, because there are usually no life tables for such groups. We applied a novel semi-parametric method to extrapolate the survival function of stroke patients to lifetime under the assumption of constant excess hazard (or mortality rate), which solves this fundamental problem. The survival probability was then multiplied with the proportion of functional disabilities at each time t and summed up to estimate lifelong durations for different disabilities or needs for long term care (an example shown in Figure 3). Under ideal conditions, all the functional measurements (BI, cognition, and others) would be performed for all patients, including those with any pre-existing disability, who would then be followed longitudinally for the rest of their lives to obtain the durations for newly developed functional impairments and different long term care needs. However, such studies would require several decades to complete. We took an alternative approach to recruit cross-sectional, consecutive patients from stroke clinics and rehabilitation wards, and the data thus obtained can be used along with the survival function to estimate the lifelong duration, if it is a random sample and the sample size is over 50 [23]. We consecutively sampled patients from stroke clinics and rehabilitation wards and the number of patients was more than three times the above requirement to compensate for non-random sampling (Table 1). This study has following strengths: The NTUH Stroke Registry Cohort has been shown to be representative of Taiwanese stroke patients in general, if compared with all those hospitalized under NHI (Table 1); the follow-up period for this group was over 15 years, and physical functional disabilities were directly assessed on consecutive patients in both stroke clinics and rehabilitation wards. Therefore, we tentatively conclude that the durations of severe physical functional disabilities, which indicate the need for long-term care, are usually the longest in patients with CEI and ICH, while those of patients with lacunar infarct are the shortest (Table 2). In addition, the expected years of life lost are the shortest (Table 2). Dynamic changes in the need for assistance by patients with severe disabilities appeared to decrease within the first two years for all subtypes of stroke (Figure 2), but they increased after a nadir, probably because of aging and multiple co-morbidities. Patients with lacunar infarct recovered quickly and suffer the least for most items (Figure 2 and Table 2). The results indicate that CEI patients suffered the greatest physical functional disabilities compared to other patients with ischemic stroke, because these often had coexisting heart problems (Table 1), such as ischemic heart diseases, heart failures, or arrhythmia, and might have difficulty swallowing. In total, patients with CEI would be expected to require longer duration of self-care and mobility assistance throughout their lifetimes, with 1.29 years for feeding, 0.49 years for bladder control, 1.29 years for transfer, and 1.57 years for mobility (Table 2). Patients with intracranial hemorrhages also suffered from such functional impairments with a similar magnitude.

**Potential Limitations**

This study has the following limitations: First, when we recruited our subjects from both stroke clinics and rehabilitation wards, the most severe patients who were unable to communicate with the clinicians, e.g., patients with very poor cognition and/or aphasia, were not included. Although the most severely disabled patients quickly died, we may still have underestimated the true proportion of disability [8–10], and the results of this study are to be regarded as a lower bound for planning the service needs related to long-term care for different subtypes of stroke. Second, the 130 patients from the rehabilitation wards were assessed two times for their BI, once in the hospital and the second time one year after diagnosis via a telephone interview. The telephone assessment of stroke disability using the BI scale is acceptable in reliability in comparison to direct face-to-face assessments in clinically stable patients with stroke [25], and these patients might be over-represented in this study. However, because these patients made up only 11% of our patients and the repeated assessments were performed almost one year apart, our estimation is probably not too biased. To validate the above claim, we conducted a sensitivity analysis by including only the data from the first assessment, namely, n = 1,162, and the results are almost the same, with slightly wider confidence limits because of the smaller sample size, as shown in the Table S1. Finally, functional disabilities are heavily influenced by age and comorbidities, such as rheumatoid arthritis [26], Alzheimer’s disease [27], and so on. While stroke usually occur in old age, or above 65 year-old, there are wide variations, as indicated by the large standard deviations (Table 1), and some people may have a stroke when they are significantly younger than this [28–30]. Stratifications by age and/or major co-morbidities would thus help achieve a more accurate estimation. It is recommended that future studies regularly assess stroke patients with regard to various types of functional disabilities, as well as carry out cognitive and emotional assessments, in order to collect more detailed and accurate data, so that a better determination of the long-term care needs of different age groups can be obtained.

**Conclusions**

By analyzing data directly obtained from stroke patients, this study found that CEI and ICH patients suffer the longest duration of different physical functional disabilities related to self-care,
followed by those with LAA infarct, whereas patients with lacunar infarct suffer for the shortest period of time. Assistance in bathing appears to be the most common need for care. The estimated results could be used by all stakeholders to reach a consensus on related health policies. Most importantly, this study demonstrates that the integration of survival function from a cohort with a consecutive random sample for direct measurements of functional disability items and levels could provide valuable information (or the lower bound) for the determination of long-term care needs, which could lead to better planning for long-term care by health insurers and government agencies.

Supporting Information

Table S1  Estimation of life expectancy (LE, 95% confidence interval [CI], in years), EYLL (expected years of life loss, with standard error of mean in parenthesis), mean lifelong duration (95% CI, in years [Yrs]) of each functional disability state as measured by the Barthel Index [BI] and EYLD (expected years of living with disability) stratified by different stroke subtypes, of which only data of first assessment were included (n = 1,162).

Details of the formula S1

Author Contributions

Conceived and designed the experiments: JDW JSJ MCH. Performed the experiments: JSH MCH. Analyzed the data: MCH. Contributed reagents/materials/analysis tools: JSJ CLH. Wrote the paper: MCH.