“Identity check” is QMA-complete

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Abstract

We define the problem “identity check”: Given a classical description of a quantum circuit, determine whether it is almost equivalent to the identity. Explicitly, the task is to decide whether the corresponding unitary is close to a complex multiple of the identity matrix with respect to the operator norm. We show that this problem is QMA-complete.

A generalization of this problem is “equivalence check”: Given two descriptions of quantum circuits and a description of a common invariant subspace, decide whether the restrictions of the circuits to this subspace almost coincide. We show that equivalence check is also in QMA and hence QMA-complete.

1 Stating the problem “equivalence check”

So far there is only one QMA-complete problem known, namely the 3-local Hamiltonian problem [1, 2, 3]. Here we give another example that occurs naturally in the problem of constructing quantum networks from elementary gates:

Let \( U \) be a quantum network acting on \( n \) qubits that consists of two-qubit gates

\[
U = U_k \cdots U_2 U_1.
\]

Someone claims that the same transformation \( U \) could also be implemented by another sequence

\[
V_l \cdots V_2 V_1.
\]

Assume that he did not tell us why he thinks that this sequence also implements \( U \). How difficult is it to determine whether it really does? Also the following slight modification of the problem is natural. Usually we are not interested in the whole physical state space but rather in a computational subspace. This subspace may, for instance, be defined by a quantum error correcting code [4] or a decoherence free subspace [5, 6].

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Then it is not relevant whether the alternative network coincides with the original one on the whole space but only on the code space. Assume that we already know (for example by construction) that the alternative network leaves the subspace invariant. Does the alternative circuit agree with the original one when it is restricted to the subspace? This is obviously equivalent to the question whether the restriction of

\[ V_1^\dagger V_2^\dagger \cdots V_l^\dagger U_k \cdots U_2 U_1 \]

is the identity.

First we introduce some notations that will be used through the paper. We denote the Hilbert space of a qubit by \( B := \mathbb{C}^2 \). Let \( x \in \{0,1\}^* \) be an arbitrary binary string. We denote the length of \( x \) by \(|x|\). For any Hilbert space \( H \) we denote the set of density matrices acting on \( H \) by \( S(H) \).

We define formally:

**Definition 1 (Equivalence Check)**

Let \( x, y \) be classical descriptions of quantum networks consisting of \( \text{poly}(|x|) \) and \( \text{poly}(|y|) \) many two-qubit gates, respectively. Let \( U_x \) and \( U_y \) be the unitary transformations implemented by the circuits acting on \( n \) qubits with \( n = \text{poly}(|x|) \) and \( n = \text{poly}(|y|) \). Given a common invariant subspace \( V \) of \( B^{\otimes n} \). Let \( V \) be specified by a quantum circuit \( V \) on \( B^{\otimes(n+m)} \) with polynomial complexity such that \( V V = W_1 \) where \( W_1 \) is the space of all states of \( B^{\otimes(n+m)} \) where the last qubit is in the state \( |1\rangle \).

The problem equivalence check is to decide whether the restrictions of \( U_x \) and \( U_y \) to \( V \) coincide approximately. Explicitly we assume that it is promised that either

1. There is a vector \(|\Psi\rangle \in V\) such that

\[ \|(U_x U_y^\dagger - e^{i\phi} 1)|\Psi\rangle\| \geq \delta \]

for all \( \phi \in [0,2\pi) \) or

2. There exists an angle \( \phi \in [0,2\pi) \) such that for all vectors \(|\Psi\rangle \in V\)

\[ \|(U_x U_y^\dagger - e^{i\phi} 1)|\Psi\rangle\| \leq \mu , \]

where \( \delta - \mu \geq 1/\text{poly}(|x|) \) and \( \delta - \mu \geq 1/\text{poly}(|y|) \).

In the following section we will show that equivalence check is in QMA. In Section 3 we will show that a specific instance of equivalence check, namely to decide whether a circuit is almost equivalent to the identity, encompasses QMA. Hence equivalence check and identity check are both QMA-complete.

## 2 Equivalence check is in QMA

The complexity class QMA consists of the problems of deciding whether a given string is in a certain language in QMA. The set of QMA languages is defined following [2].
Definition 2 (QMA)
Fix $\varepsilon = \varepsilon(|x|)$ such that $2^{-\Omega(|x|)} \leq \varepsilon \leq 1/3$. Then a language $L$ is in QMA if for every classical input $x \in \{0, 1\}^*$ one can efficiently generate (by classical precomputation) a quantum circuit $U_x$ (“verifier”) consisting of at most $p(|x|)$ elementary gates for an appropriate polynomial $p$ such that $U_x$ acts on the Hilbert space
$$\mathcal{H} := B^\otimes n_x \otimes B^\otimes m_x,$$
where $n_x$ and $m_x$ grow at most polynomially in $|x|$. The first part is the input register and the second is the ancilla register. Furthermore $U_x$ has the property that
1. If $x \in L$ there exists a quantum state $\rho$ that is accepted by the circuit with high probability, i.e.,
$$\exists \rho \in S(B^\otimes n_x), \quad \text{tr}(U_x (\rho \otimes |0\ldots 0\rangle \langle 0\ldots 0|) U_x^\dagger P_1) \geq 1 - \varepsilon,$$
where $P_1$ is the projection corresponding to the measurement “Is the first qubit in state 1?”.
2. If $x \not\in L$ all quantum states are rejected with high probability, i.e.,
$$\forall \rho \in S(B^\otimes n_x), \quad \text{tr}(U_x (\rho \otimes |0\ldots 0\rangle \langle 0\ldots 0|) U_x^\dagger P_1) \leq \varepsilon.$$
Note that our “witnesses” are mixed states in contrast to the definitions in [1, 2]. Due to linearity arguments this modification does not change the language $L$. Note furthermore that it is always possible to construct a verifier for the same language with $\varepsilon'$ arbitrarily close to 0. This “amplification of probabilities” is described in [1] in full detail. This may be necessary in Section 3.

To prove that equivalence check is in QMA we have to describe how to give a witness state that proves that $U_x$ and $U_y$ do not coincide. For an arbitrary unitary operator $W$ the difference from multiples of the identity is a normal operator. Hence its operator norm is given by the greatest modulus of the eigenvalues. Therefore the operator norm distance between $W$ and the set of trivial transformations (global phases) can be determined as follows.

Whenever there exist eigenvalues $\exp(i\alpha)$ and $\exp(i\beta)$ of $W$ the norm distance to $\exp(i\phi)1$ is at least
$$\max\{|\exp(i\alpha) - \exp(i\phi)|, |\exp(i\beta) - \exp(i\phi)|\} \geq 2 \sin((\alpha - \beta)/2).$$
If $|\alpha - \beta| \leq \pi$ the minimum of expression (1) is achieved for $\phi := (\alpha - \beta)/2$ and the norm distance to the trivial transformations implementing global phases is hence at least
$$|1 - \exp(i(\alpha - \beta)/2)| = \sqrt{2(1 - \cos((\alpha - \beta)/2))}.$$
Let $U'_x, U'_y$ be the restrictions of $U_x$ and $U_y$ to $V$. If case 1 of Definition 1 is true there exists eigenvectors $|\psi_a\rangle$ and $|\psi_b\rangle$ of $U'_x(U'_y)^\dagger$ with eigenvalues $e^{i\alpha}$ and $e^{i\beta}$, respectively such that
$$\delta \leq \sqrt{2(1 - \cos((\alpha - \beta)/2))}.$$
In order to check that the eigenvalues corresponding to the given eigenvectors satisfy this criterion one can use the phase estimation procedure [7].
Due to the promise that in case 2 one has $\sqrt{2(1 - \cos((\alpha - \beta)/2)} \leq \mu$ the accuracy of the phase estimation has to be chosen such that $\cos((\alpha - \beta)/2)$ can be determined up to an error of $(\delta^2 - \mu^2)/4$. It remains to check whether $|\psi_a\rangle$ and $|\psi_b\rangle$ are elements of $\mathcal{V}$. This can be done using the given circuit $V$.

Actually the setting of QMA problems (see Definition 2) requires that the witness is one quantum state instead of two. Formulated as an Arthur-Merlin game [1] Merlin proves Arthur that a string $x$ is in QMA by sending the witness quantum state. Here he may prove that $U_xU_y^\dagger$ has eigenvalues of non-negligible distance by sending the state $|\psi_a\rangle \otimes |\psi_b\rangle$. A priori it is not clear that Merlin cannot cheat by sending entangled (wrong) witnesses. However, one can check easily that the circuit in Fig. 1 treats any state

$$\sum_j c_j |\psi_a^j\rangle \otimes |\psi_b^j\rangle$$

as an incoherent mixture of product states $|\psi_a^j\rangle \otimes |\psi_b^j\rangle$ with weights $|c_j|^2$. Note that it is also irrelevant whether the witness states $|\psi_a\rangle$ and $|\psi_b\rangle$ are really eigenstates of $U_xU_y^\dagger$. The phase estimation procedure can only produce output that really exists as eigenvalues (up to the accuracy that is determined by the size of the used ancilla register). In Fig. 1 one can see the whole circuit.

### 3 “Identity check” is QMA-complete

First we state the problem “Identity check” formally.

**Definition 3 (Identity Check)**

Let $x$ be a classical description of a quantum circuit $U_x$ of complexity polynomial in $|x|$. Decide whether $U_x$ is close to the trivial transformation in the following sense. Decide which of the two following cases is true given the promise that either of 1. or 2. is satisfied:

1. for all $\phi \in [0, 2\pi)$
   $$\|U_x - e^{i\phi}1\| \geq \delta$$
   or
2. there exists an angle $\phi \in [0, 2\pi)$ such that
   $$\|U_x - e^{i\phi}1\| \leq \mu.$$

Assume furthermore that $\delta - \mu \geq 1/poly(|x|)$.

Note that this problem is a specific instance of equivalence check.

The general QMA setting is that a quantum circuit $U$ is given and the problem is to decide whether there is a state $|\psi\rangle$ such that the state

$$U|\psi\rangle \otimes |0\ldots 0\rangle$$

has the property that the first qubit is with high probability in the state $|1\rangle$. In order to show that Identity Check encompasses QMA we construct a circuit $Z$ that implements
Figure 1: Circuit used to verify that $U_x U_y^\dagger$ is not close to the identity on the subspace $\mathcal{V}$. The two copies of $V$ check that the witness states are really elements of $\mathcal{V}$. The results of this check are copied to additional ancilla qubits by Controlled-NOT gates. The main part of the circuit ($A^k$ and $F$) is a usual phase estimation procedure. The ancilla registers are initialized into the superposition state $(1/\sqrt{m}) \sum_{k \leq m} |k\rangle$ and control the implementation of $A^k := (U_x U_y^\dagger)^k$. The state $|k\rangle$ obtains a phase according to the eigenvalues of $A^k$. By Fourier transformations $F$ the phases can be read out from the ancilla registers. A circuit $D$ computes the phase difference and $C$ checks whether the difference is sufficiently large and the witness states are elements of the subspace $\mathcal{V}$. 
Figure 2: Circuit $Z$ consisting of $U, U^\dagger$ and two controlled phase shifts $V$ and $W$ with phase $\varphi$. If $U$ rejects all states with high probability the circuit is closer to the identity than in the case that there is a state that is likely to be accepted. The first ancilla can only obtain a phase shift $2\varphi$ if the other ancilla register has been correctly initialized and the input has been accepted by $U$.

a unitary close to the identity whenever there is no state that is accepted by $U$ and a circuit less close to the identity if there is a witness. The register is extended by one qubit and the whole circuit is the transformation

$$Z := U^\dagger WUV.$$  

The transformation $V$ is a phase shift controlled by the states of the ancillas. Whenever the ancilla part of the register is initialized in the state $|0\ldots0\rangle$ the additional qubit gets a phase $\exp(i\varphi)$. The gate $W$ is a phase shift controlled by the output qubit of $U$. The additional qubit gets a phase $\exp(i\varphi)$ whenever the circuit has accepted (see Fig.2).

**Theorem 1** Let $U$ be a quantum circuit on $B^\otimes(n+m)$ with the promise that either of two cases in Definition 2 is true. Then for the circuit $Z$ in Fig. 2 the following statements hold:

If case 1 is true then we have

$$\|Z - e^{i\gamma}1\| \geq \sqrt{2(1 - \cos \varphi)} - 2\sqrt{\epsilon}$$

for all $\gamma \in \mathbb{R}$.

If case 2 is true then we have

$$\|Z - e^{i\varphi/2}1\| \leq 2\sqrt{1 - \cos(\varphi/2)} + 2\sqrt{2\epsilon}$$

**Proof:** The effect of $Z$ on a general state $|\Psi\rangle$ can be understood if we express $|\Psi\rangle$ as

$$|\Psi\rangle = |\Psi_1\rangle \oplus |\Psi_2\rangle,$$
where $|\Psi_1\rangle$ is a state with ancillas all set to 0 and $|\Psi_2\rangle$ a state with ancilla register in states different from $|0\ldots0\rangle$. We have

$$Z|\Psi\rangle = U^\dagger WUV|\Psi_1\rangle \oplus U^\dagger WUV|\Psi_2\rangle.$$ 

Consider case 2 and the effect of $Z$ on the summand $|\Psi_1\rangle$:

$$U^\dagger WUV|\Psi_1\rangle = U^\dagger W P_1 UV|\Psi_1\rangle \oplus U^\dagger W (1 - P_1) UV|\Psi_1\rangle$$

where $P_1$ is (see Definition 2) the projection onto the state $|1\rangle$ of the output qubit. By definition of $W$ one has

$$W(1 - P_1) = (1 - P_1).$$

Hence we have

$$Z|\Psi_1\rangle = U^\dagger W P_1 UV|\Psi_1\rangle \oplus U^\dagger W (1 - P_1) UV|\Psi_1\rangle + V|\Psi_1\rangle - U^\dagger P_1 UV|\Psi_1\rangle$$

Since the probability of acceptance is at most $\epsilon$ the length of the vector $P_1 UV|\Psi_1\rangle$ is at most $\sqrt{\epsilon} \| |\Psi_1\rangle \|$. We conclude

$$\|Z|\Psi_1\rangle - V|\Psi_1\rangle\| \leq 2\sqrt{\epsilon} \| |\Psi_1\rangle \|.$$ 

Note that $\|V - \exp(i\varphi/2)|1\rangle\| = |1 - \exp(i\varphi/2)|$ due to the arguments at the end of Section 2. Due to $\|V|\Psi_1\rangle - e^{i\varphi/2}|\Psi_1\rangle\| \leq |1 - \exp(i\varphi/2)| \| |\Psi_1\rangle \|$ we have

$$\|Z|\Psi_1\rangle - e^{i\varphi/2}|\Psi_1\rangle\| \leq (2\sqrt{\epsilon} + |1 - \exp(i\varphi/2)|) \| |\Psi_1\rangle \|. \quad (2)$$

Consider the effect of $Z$ on $|\Psi_2\rangle$.

$$\|Z|\Psi_2\rangle - e^{i\varphi/2}|\Psi_2\rangle\| = \|U^\dagger WUV|\Psi_2\rangle - e^{i\varphi/2}|\Psi_2\rangle\| = \|U^\dagger (W - e^{i\varphi/2}1) U|\Psi_2\rangle\| \leq \||W - e^{i\varphi}1\| \|||\Psi_2\rangle\||.$$ 

Together with inequality $\|Z|\Psi_2\rangle - e^{i\varphi/2}|\Psi_2\rangle\| \leq (|1 - \exp(i\varphi/2)| + 2\sqrt{\epsilon}(||\Psi_1\rangle\| + |\Psi_2\rangle\|)) \leq \sqrt{2}(|1 - \exp(i\varphi/2)| + 2\sqrt{\epsilon}).$ With $|1 - \exp(i\varphi/2)| = \sqrt{2(1 - \cos \varphi/2)}$ we have

$$\|Z - e^{i\varphi/2}1\| \leq 2\sqrt{1 - \cos(\varphi/2)} + 2\sqrt{2\epsilon}.$$ 

Consider case 1. Let $|\psi\rangle$ be a state that is accepted by $U$ with probability $1 - \epsilon$. Define $P_0 := 1 - P_1$. We take the state vector

$$|\Psi\rangle := \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |\psi\rangle \otimes |0\ldots0\rangle.$$
We have

\[
Z|\Psi\rangle = U^\dagger WUV \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle
\]

\[
= U^\dagger WU \frac{1}{\sqrt{2}}(|0\rangle + e^{i\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle
\]

\[
= U^\dagger (1 - P_0)U \frac{1}{\sqrt{2}}(|0\rangle + e^{i2\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle +
\]

\[
U^\dagger WP_0U \frac{1}{\sqrt{2}}(|0\rangle + e^{i\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle
\]

\[
= \frac{1}{\sqrt{2}}(|0\rangle + e^{i2\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle -
\]

\[
U^\dagger P_0U \frac{1}{\sqrt{2}}(|0\rangle + e^{i2\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle +
\]

\[
U^\dagger P_0U \frac{1}{\sqrt{2}}(|0\rangle + e^{i\varphi}|1\rangle) \otimes |\psi\rangle \otimes |0...0\rangle
\]

\[
=: |\hat{\Psi}\rangle - |\varphi_1\rangle + |\varphi_2\rangle.
\]

Note that the vectors $|\varphi_1\rangle$ and $|\varphi_2\rangle$ have at most norm $\sqrt{\epsilon}$ due to the high probability of acceptance. One checks easily that

\[
\min_{\gamma \in \mathbb{R}} \| |\hat{\Psi}\rangle - e^{i\gamma}|\Psi\rangle\| = \| |\hat{\Psi}\rangle - e^{i\varphi}|\Psi\rangle\| = |1 - \exp(i\varphi)|.
\]

We conclude

\[
\min_{\gamma \in \mathbb{R}} \| Z|\Psi\rangle - e^{i\gamma}|\Psi\rangle\| \geq |1 - \exp(i\varphi)| - 2\sqrt{\epsilon}.
\]

With $|1 - \exp(i\varphi)| = \sqrt{2(1 - \cos \varphi)}$ we conclude that the minimal norm difference between $Z$ and $e^{i\gamma}1$ is at least

\[
\sqrt{2(1 - \cos \varphi)} - 2\sqrt{\epsilon}.
\]

\[\Box\]

As mentioned in the remark after Definition 2 $\epsilon$ can be made arbitrarily small. For small $\varphi$ the lower and upper bounds on the norm distances between $U$ and the trivial transformations are approximatively given by

\[
\varphi + 2\sqrt{2\epsilon}
\]

and

\[
\sqrt{2\varphi} - 2\sqrt{\epsilon},
\]

respectively. This shows that for sufficiently small $\epsilon$ there is a sufficient separation between the lower and upper bound. This shows that every oracle that is able to decide whether $Z = U^\dagger WU_xV$ is close to a trivial transformation can be used to decide whether $x$ is in $L$. 

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