Performance Comparison of Handover mechanisms in mobile wireless communication networks for Broadband Wireless Access Systems

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Abstract: Mobility is the mobile communication characteristics which make it diverse and attractive for all. Wireless communication is now attractive to the whole world and provides the ability of users to communicate very active. Transferring the users from one radio network to another is accomplishing the IT. The process is called the handover process. The transfer takes place by adjusting the duration of the soft transfers, the area size and either by cell crossing or by deterioration in the signal quality of the current channel — the brief overview of handover, Handover in WiMAX and LTE, types of handover, handover types solutions, approaches used in literature are typically transfer parameters and I contemporary the convergent fact for continuance in the area of mobile and wireless communication Handover.

Keywords: Wireless Communication Network, WiMAX, LTE, Handover, GSM and CDMA.

1. Introduction

Handover is a progression in mobile communications and telecommunications in which a connected a data session, or cellular calling shall be transferred from one cell site (base station) to another without session disconnection. Cellular services are based on handover and mobility, permitting the user to be progressed from one cell position range to another or to be moved to the nearest cell position for better action [1,2,3]. Handover is an essential element in deploying and planning cellular networks. It lets users create connect phone or data sessions calls on the move. This process keeps connected the calls and data sessions even if users move from one cell position to another. Mobility is the main features that has made cellular wireless communication an indispensable device. Handover is obligatory during signal quality deprivation or/and cell-crossing in the current channel. And also, we need handover for user performance and mobility. During the transition, users and networks are transferred to another network or base station [4]. The fundamental of 5 G is heterogeneous networks, and smooth handover is permanent in such
networks. Handover phases are, decision, execution and: discovery. Network discovery helps to find a suitable network that satisfies the user on desired Quality of Services (QoS). Decision phase is where the handover should take place (handover initiation phase). These phases helps to regulate seamlessness handover. Wrong initiation time will lead to increased unnecessary handover or call drop rate resulting in poor QoS. Therefore, in order to ensure that QoS will not be affected and unnecessary transfers are avoided, handover take place at right time by the decision to trigger the handover taking due account of all the parameters.

2. Classification of Handover

2.1 Technology Assessment

2.1.1 Horizontal Handover

A horizontal handover is a traditional handover. Horizontal handovers are Layer-2 handovers. Only BS is changed here that maintains the IP information. Here, MS uses the hard handover (HHO, break-before-make handover) when the information is moved to another BS. Where connections are broken at every layers and no context information is shared between BSs. Latency is on the order of around 1000ms. Recent WiMAX standard supports the soft handover (SHO, make-before-break) and Fast BS Switching (FBSS) [5,6,7].

2.1.2 Vertical Handover

There are also intertechnology handovers where the connection of a call will be transferred from one access technology to another assess technology, e.g. a call is transferred from Group Special Mobile (GSM) to the Universal Mobile Telecommunications System (UMTS) or from Code-Division Multiple Access (CDMA IS-95) to cdma2000. This is called as inter-technology handover, and it's a transition that takes place between two different technology networks, e.g. 3G to 4G. [8,9].

3. Protocol Layers

- Cross Layer handover
- Data link Layer handover
- Network Layer handover

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**Fig. 1.** Horizontal and Vertical Handover
4. Type of Technology for Network supports

4.1 Hard handover

4.1.1 Before HO

- Network Topology Advertisement
- MS scanning of neighbour BSs
- Association procedure

4.1.2 During HO

- Cell Reselection
- HO decision and initiation
- Synchronize with the new downlink to obtain parameters
- Obtain the uplink parameters
- Adjustment of Ranging and uplink parameter
- MS re-authorization
- Re-registration
- Termination with serving BS

4.2 Soft Handover

Soft Handover is similar to the HHO with one significant difference; at the same time, an MS is registered with SHO on multiple BSs (the Active Set). [10,11].

4.3 Softer Handover

This is same as soft transfer that happens between two different sectors of the same cell.
5. Different Handover Mechanisms in the LTE Network

In Handover Parameter Optimization (HPO), LTE system Handover performance can be improved by (HPO). Load Balancing (LB) is an instrument that advances the user's fulfillment. LB may result in the rise of the frequency of the inter-cell handover and henceforth increase its opportunity of handover glitches leading to discount in handover performance. Also, the techniques to improve both HPO and LB do not consider the network permitted maximum radio link failure (RLF) ratio. Radio link failure would raise the possibility of call drop. The (DHA) dynamic hysteresis-adjusting method be able to be used to develop handover performance and the number of fulfilled users in LTE networks. Dynamic Hysteresis Adjusting uses the allowed extreme RLF ratio is considered as a key indicator[12].

In that respect, one of the main objectives of LTE or any wireless system is to provide seamless and rapid transfer from one cell (a source cell) to another (a target cell). During the
handover period, the service should be maintained; data transmission would not be delayed or missed; otherwise, output would be significantly compromised.

The versatility for supporting various 4G implementations and meeting the latency criteria on handover is important for the design of IMT-Advanced Systems. In the cellular network mobility management, handover is one of the primary mechanisms. The IMT-Advanced systems are designed with IEEE 802.16 m, based on next generation WiMAX networks and 3GPP LTE-Advanced. Different delivery schemes are developed, proposed and analyzed with IEEE 802.16 m and 3GPP to minimize the latency of delivery and to meet the quality of service requirement during delivery [13].

![Handover Process in LTE](image)

**Fig.5. Handover Process in LTE**

### 6. Handovers in Mobile WiMAX

A great need for a mobile device is to change its base station coverage if a mobile station (MS) is reached by another base station with the strongest signal strength. Handover is a process that provides continuous connection where a Mobile Station travels from one base station's air interface to another Base Station's (BS) air interface without troubling the existing connections. Supporting mobility requires transfers. For a transfer to take place, one must have at least two base stations: (i) base station serving (SBS) and (ii) base station target (TBS) serving. The transfers have typically measured a change in service to the base station and does not inherently mean that the BS needs to be changed [14,15].

#### 6.1 Latency and Handover

Handover is a system for keeping user contact session uninterrupted during a user's travel from a place to another. When Mobile Station (MS) moves to a cell and conducts
handover, the MS service packets are delayed, and the operation may be interrupted for a while. This delay is referred as Latency Time.

Latency time is not a problem for a non-real-time services like e-mail or file transfer. The delay prone applications like video streaming service, should however be provided within 20 to 25ms delay. If the real-time packet transmission delay will be longer than the playout delay, then those packets are discarded and the probability of packet loss will be increased.

![Fig.6. Handovers in Mobile WiMAX](image)

6.2 Handover Procedure

The Serving BS sends information message for a handover process, usually uses MOB NBR-ADV, which has information about neighboring BSs. MS regularly checks the neighboring BSs for selecting the target BS candidates by the consistency of the signals and message is sent to the serving BS with the order for handover. The Serving BS exchanges the messages with destination BSs candidates to select a target BS and sends the message of handover response to MS. After receiving the message, the MS breaks the link to the serving BS and connects to the target BS and performs the process of re-entering the network. The Target BS receives the MS’ security information from the serving BS. Upon re-entering the network MS may receive or send traffic. The re-entry process of the network involves synchronization with the new downlink, range and synchronization for uplink, re-authorization, and re-registration. The MS synchronizes with the new downlink of Target BS after breaking the connection with Serving BS to get parameters for DL and UL transmission. MS conducts process to acquire correct offset timing and power adjustments. Target BS uses the Fast-Ranging IE for this range process and provides the MS with a non-contention range opportunity. If MS do not receive the Fast-Ranging IE message then it conducts a contention-based range process by transmitting the CDMA codes.
6.3 Handover Mechanisms

HO latency remains a problem which affects applications in real time environment. Many researchers proposed methods to improve the mechanism for HO applications for real time application. This section gives a few suggestions for improving the HO mechanism.

7. Fast Handover Algorithm for IEEE 802.16e Broadband Wireless Access System

Lee introduced the IEEE 802.16e BWA quick HO algorithm for reducing the loss of wireless channel resources and latency of the HO. He suggested algorithm involves Target BS Selection (TBS), Quick Synchronization and Association (FSA), and OHIT. Here running time of HO is evaluated for four different scenarios of HO. This will result in increased system throughput and a certification of proposed algorithm’s efficiency. Some parameters of this scheme depend on the traffic condition of the backbone network, the rate of cell charging, user mobility, and CINR.

- Type 1 - contention based ranging with pre-association.
- Type 2 - contention based ranging without pre-association.
- Type 3 - non-contention based ranging with pre-association.
- Type 4 - non-contention based ranging without pre-association.

8. Fast Base Station Switching in Soft Handover

A switching technique is considered to quick base station. In this process, a collection of diversity for each mobile station is preserved. Diversity set is maintained by mobile station as well as base station service. In fig. 7, a mobile station selects a base station from diversity set which is a collection of base stations that is chosen as target base station for handover. Diversity set is considered as the presenter base station which sends its current location to the controller of the base station for a handover decision.

![Fig.7. Fast Base Station Switching](image)

**Fig.7.** Fast Base Station Switching
The base and mobile station serving monitor the nearby base stations which is added in a diversity set. Whenever there is a need for the base station controller to hand over to the mobile station the initiation message is sent. Depending on the implementation, the decision on the transfer is taken by the mobile station, base station or base station controller.

9. General Procedure of Handover Control

- **Measuring**
  - Remote Network Support (RNC) determines the measurement artifacts. Normally either the P-CPICH channel's Ec / Io or RSCP (Received Signal Code Power) is used for the decision on handover.
  - RNC takes the measurement of Ec / Io (Energy per chip to interference power ratio) because Ec / Io embodies the signal strength received and the interference. The Ec / Io and RSCP relation is follows:
    - \[ \text{Ec/Io} = \frac{\text{RSCP}}{\text{RSSI}} \]
  - RSSI (Received Signal Strength Indicator) is measured with the associated channel bandwidth in the above equation.

- **Filtering**
  - The results of the measurement is filtered before reporting. Filtering of measurements is considered a low pass filtering technique. For filtering the following equation is applied.
  - \[ F_n = (1-a) F_{n-1} + a M_n \]
  - Definition of Variants:
    - \( F_n \): filtered measurement result;
    - \( F_{n-1} \): last filtered measurement result;
    - \( M_n \): latest Ec/Io or RSCP measurement result that is received from the physical layer;
    - \( A = \frac{1}{2(k/2)} \), \( k \) means the "Filter coefficient," that includes the Measurement of Control message and is decided by UTRAN.
    - \( F_0 \) is initialized by \( M_1 \).

- **Reporting**
  - The period report triggers the handover
    - Due to filtered measurement result
Event report is the triggered handover

- Due to the event

Handover Algorithms

- All handover processes containing soft and hard handover, and other algorithms are applied to the quantity-reported event decision.

Events are defined in 3GPP specifications

- Event of Intra-frequency is 1A~1F
- Event of Inter-frequency is 2A~2F
- Event of Inter-RAT is 3A~3D
- Note: RAT is "Radio Access Technology," e.g., UMTS&GSM

10. Concepts of Handover

- Active Set:
  - A group of cells which has radio links to a particular mobile station.
  - User information is directed from all the cells.

- Monitored Set:
  - A set of cells not in active set but which are tracked in compliance with the list of adjacent cells allocated by UTRAN.

- Detected Set:
  - A set of cells neither in active set nor in monitor set.
11. Simulation model

Remember Fig's scenario of handing over. In which the MN switches from the Global Mobile Communications System (GSM) network to WiMAX network domain with the velocity \( v \). The distance between the two BSs is \( D = R_1 + R_2 \), where the BS1 and BS2 radii are \( R_1 \) and \( R_2 \). \( L \) is the two BSs' overlapping distance. The signal strength MN has received from BSi is:

\[
S_i(k) = P_t + G_t - L_t - P_{Li}(k) + G_r - L_r
\]

Where \( P_t \) is the transmitted signal strength from BS. \( G_t, L_t \) and \( G_r, L_r \) are considered as the antenna gains, transmitter and receiver loss respectively. \( P_{Li}(k) \) represents the path loss component at Kth sample distance from BSi. The Okumura Hata propagation model is considered as the GSM network. The path loss equation is:

\[
P_{Li}(k) = 69.55 + 26.16 \log f_i - 13.82 \log h_b - \alpha(hm) + (44.9 - 6.55 \log h_b) \log d_i(k)
\]
WiMAX network an extended version of Erceg model uses equation for calculating path loss in decibels and is given by

\[ P_{li}(k) = A + 10\gamma \log_{10}(d(k)/d_0) + Z_i(k) + P_{Lf} + P_{Lhms} + P_{L0ms} \]

Where \( d(k) \) is considered as the distance between BS and MN at k\textsuperscript{th} Sampling instant and \( Z_i(k) \) is the shadow fading component at k\textsuperscript{th} sampling instant, which is log-normally distributed and is described (in dB) as the Gaussian distribution with a zero mean and standard deviation \( \sigma_i \).

\[ Z_i(k) = \rho_i Z_i(k-1) + \sigma_i \sqrt{1 - \rho_i^2} \eta_i(0,1) \]

Where \( \rho_i \) is correlation coefficient of \{\( Z_i(k) \)\}. \( \eta_i(0,1) \) are standard random variables with a zero mean and variance as unity function. Parameter \( A \) represent the free space path loss and represented as

\[ A = 20\log_{10}\frac{4\pi d_0}{\gamma} \]

\( \gamma \) - path loss exponent and defined as

\[ \gamma = a - bh_b + \frac{c}{hb} \]

\( P_{Lf} = 6 \log_{10} \frac{f_i}{1900} \)

\( P_{L_{hms}} = -20\log_{10} \left( \frac{2m}{h_b} \right) \) for Suburban areas

\( P_{L_{qms}} = 0.64 \ln \left( \frac{a}{360} \right) + 0.54 \ln(\frac{a}{360})^2 \)

Where \( f_i \) is considered as carrier frequency, and \( bh_b \) as base station antenna height in meters. The empirical constants are well defined for suburban areas in Erceg model and is given in Table 1. \( P_{Lf}, P_{L_{hms}}, P_{L_{qms}} \) are the correction factors that are corresponding to frequency \( f \), MN height \( HM \), and MN antenna directivity \( q \). \( P_{L_{qms}} \) is often referred as the antenna-gain reduction factor and helps in angular scattering reduction owing to the directivity of the antenna. \( \alpha(h_m) \) is the antenna correction factor in an urban area expressed in dB and is given as
### Table 1. Parameters of Simulation

| Parameter             | Value                      |
|-----------------------|----------------------------|
| \( R_1 = 5000 \text{m} \) | GSM cell Radius            |
| \( R_2 = 5000 \text{m} \) | WiMAX cell Radius          |
| \( d_0 = 100 \text{m} \) | Correlation distance       |
| \( P_t = 43 \text{dBm} \) | Transmitter power          |
| \( V = (1-25) \text{m/s} \) | MN Velocity                |
| \( q = 10 \)           | MN antenna directivity     |
| \( \rho = 0.35 \)      | Correlation coefficient    |
| \( G_t = 18 \text{dB} \) | Gain of Transmitter antenna|
| \( T_s = 10 \text{ms} \) | Sampling time              |
| \( a = 3.6 \)          |                            |
| \( b(m) = 0.005 \)     |                            |
| \( c(m^{-1}) = 20 \)   |                            |
| \( L = 3 \text{dB} \)  | Loss of Transmitter antenna|
| \( G_r = 0 \text{dB} \) | Gain of Receiver antenna   |
| \( G_l = 8 \text{dB} \) | Loss of Transmitter antenna|
| \( h_b = 50 \text{meter} \) | Height of Base station antenna |
| \( h_m = 2 \text{meter} \) | Height of Mobile station antenna |
| \( f_1 = 900 \text{MHz} \) | GSM Carrier Frequency     |
| \( f_2 = 900 \text{MHz} \) | WiMAX Carrier Frequency    |
| \( S_h = -100 \text{dB} \) | Threshold of Signal Strength |

### 12. Conclusion

I have offered a list of some methods that have been used in the field of mobile communication handover so far, and it is worth mentioning that Quality of Services (QoS) in mobile communication and is mostly knotted to success and how quickly the handover takes place. It is also well known that the soft computing-based algorithm performs better due to soft computing method's aptitude for gripping the uncertainty embedded in mobile wireless communication network. Consequently, selection of multiple and appropriate network parameters requires more seamless handover.

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