Ecological Operation Objectives for Four Major Chinese Carps Spawning in the Xijiang River

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ABSTRACT The river basin cascade development has prominent influence on water ecology for breeding of four major Chinese carps, and the determination of ecological operation objectives is an important basic work to carry out the ecological operation. This study determines the main control indexes of reservoir operation by analysing the relationships between larval population of Xijiang Dongta spawning ground and impact indexes like water temperature, flood process and flow velocity. Taking peak flow, initial discharge, flow rising range, daily increasing rate of flow and duration of water level rising of the spawning ground as the control indexes, the target flow process of reservoir ecological operation can be determined. Furthermore, the drifting velocity of fish eggs is used as the test index to control the section flow in the scheduling river reach. The proposed method is applied to the ecological operation of Dongta spawning ground, and the target flow processes of ecological operation under low, medium and high flow levels are obtained.

1 Introduction
For the development and utilization of water resources, a large number of reservoir dams are built in China. These projects played an important role on flood control, power generation, water supply, irrigation and navigation, and effectively promoted the economic and social development, but also caused remarkable influences on watershed hydrological regime and river ecosystem [1].

The construction and operation of reservoir dams interrupted river longitudinal connectivity, cut off the migration route of fish, changed the natural hydrological rhythm and destroyed the aquatic environment, leading to a series of different degree ecological environment problems such as water eutrophication, reduction of fish spawning scale and descending of biology variety. Change in natural hydrological conditions caused by development and utilization of water resources like damming and water diversion is considered to be one of the main causes of river ecological problems [2]. Take Xijiang River basin as an example, the reservoir area formed by hydropower cascade development flooded part of the spawning grounds, and the space for fish to reproduce and survive is compressed. The original 29 spawning grounds now 17 exist only, and the quantity of four major Chinese carps decreased sharply and the fish individual is becoming smaller.

In order to reduce or compensate the negative effects on the ecosystem caused by reservoirs, people put forward the concept of "ecological operation". To maintain or restore the robust aquatic habitat, so as to achieve the aim of protecting aquatic ecosystems, hydrological processes for aquatic creatures needs can be obtained by improving the reservoir operation mode and establishing reasonable operation rules [3]. At present, the reservoir ecological operation has become an important measure of river ecological restoration, and scheduling practices has been carried out on some major rivers such as the Yangtze River [4,5,6]. In this paper, the main control indexes for spawning of four major Chinese carps, such as water temperature, flood processes and flow velocity, are identified by analyzing historical data of the larval population, and then the feasible ecological flow processes are obtained through research and analysis.

2 Ecological-hydrological indexes for breeding season of four major Chinese carps

Four major Chinese carps (Mylopharyngodon piceus, Ctenopharyngodon idellus, Hypophthalmichthys molitrix and Aristichthys nobilis), belong to the typical fishes with pelagic eggs, of which mature parent fish will gather in specific areas to spawn when there is a appropriate water level rising process during May to July of each year and the eggs will float down the river and hatching. Xijiang Dongta spawning ground (Fig. 1), located at the confluence of Qianjiang, Yujiang and Xunjiang, with significant habitat characteristics and high diversity, is the largest existing fish spawning ground of four major Chinese carps in China. A large number of previous research results indicate that the following conditions need to be met for Chinese carps to spawn [7]: appropriate water
temperature, appropriate water level rising process, specific spawning grounds, and so on.

![Location of the Dongta fish spawning ground](image)

**Figure 1. Location of the Dongta fish spawning ground**

### 2.1 Appropriate water temperature

Water temperature is one of the most important external conditions for the growth of fish and eggs hatching. Generally the reproductive water temperature of four major Chinese carps is considered no less than 18 °C, otherwise there is basically no egg laying. The related studies show that the water temperature during breeding season of Chinese carps on the Yichang reach of the mainstream of the Yangtze River is 21-23 °C, and spawning activities are most frequent in this temperature range.

Using the data of fish larval population collected in the surveys from 2007 to 2013, relational scatter diagram of larval population and water temperature for four major Chinese carps of Dongta spawning ground is plotted (Fig. 2). Through statistical analysis of the maximum and minimum temperature values of the spawning activities of four major Chinese carps in Dongta spawning ground, we can come to conclusion that the water temperature range of Dongta spawning ground during breeding season of four major Chinese carps is 20.7-30.1 °C, and the water temperature range when spawning occurs frequently and on a large scale is generally 24.5-28.3 °C, of which the water temperature of spawning peak is 26.7 °C and four major Chinese carps spawning rarely or on a tiny scale when water temperature is less than 22.6 °C.

![Relationship between larval population and water temperature](image)

**Figure 2. Relationship between larval population and water temperature**

### 2.2 Appropriate flood process

Water level rising means the increase of the flow, as well as the increase of flow velocity. Most spawning of four major Chinese carps takes place during rising period of one flood, and begins after rising for some time. And meanwhile, a certain flow velocity can keep the pelagic eggs from sinking, and ensure that the eggs will be
fertilized and incubated normally.

Based on 42 actual flood processes of Dongta spawning ground, scatter plots are plotted for the spawning activities of four major Chinese carps to characterize the relationships between larval population and impact indexes, which include characteristic flows (initial discharge of one flood, peak flow), flow rising range and average daily increasing rate of flow. Statistical analysis results support the following findings: 1) The flood magnitude is relatively broad for four major Chinese carps of Xijiang River in breeding season. The larval population can be monitored when the peak flow varies from 4780 to 35600 m$^3$/s, and spawning activities are more frequent and larval population is larger when the peak flow is 9600–17000 m$^3$/s; 2) Spawning activities are more frequent and larval population is larger when the initial discharge of the flood is 4000~6000 m$^3$/s while flow rising range is 7000~13000 m$^3$/s; 3) Spawning occurs frequently and larval population is larger when the average increasing rate of flow is 700~3000 (m$^3$/s)/d, spawning activities are relatively concentrated, but larval population is relatively small when the increasing rate is 600~1000 (m$^3$/s)/d, and spawning of four major Chinese carps occurs infrequently and the monitored number of eggs is very small and negligible when the increasing rate is less than 500 (m$^3$/s)/d.

The total average duration of the flood of Dongta spawning ground in breeding season of four major Chinese carps is 15.6 days, and the average duration of flood rising is 6.1 days, and the average rising durations of single-peak, double-peak and multi-peak floods are 4.6 days, 7.1 days and 11.5 days respectively (Tab. 1). The probability of large scale spawning of four major Chinese carps is high when the duration of water level rising is between 3 and 9 days, and the spawning activities collected in the surveys are the most frequent and the number of larval population is the largest when duration of water level rising is 5 days. The collected spawning scale of four major Chinese carps is relatively larger when the total flood duration is between 12 and 18 days.

| Flood Type       | Total Duration (d) | Average Total Duration (d) | Average Rising Duration (d) | Average Retreat Duration (d) |
|------------------|--------------------|----------------------------|----------------------------|----------------------------|
| Single-peak      | 7–18               | 11.8                       | 4.6                        | 7.3                        |
| Double-peak      | 8–29               | 19.2                       | 7.1                        | 12.1                       |
| Multi-peak       | 20–34              | 25.8                       | 11.5                       | 14.3                       |
| Total            | 7–37               | 15.6                       | 6.1                        | 9.5                        |

2.3 Appropriate flow velocity

At present, many studies are unable to give a unified range of spawning velocity of four major Chinese carps. Yi Bolu [8] suggested that the average flow velocity range of four major Chinese carps in breeding season on the mainstream of Yangtze River is 0.95–1.3 m/s. Yi Yujun et al. [9] suggested that the preference flow velocity range of four major Chinese carps in breeding season is 0.2~0.9 m/s. Yi Bai Haixia al. [10] synthesized previous research and suggested that the flow velocity range of four major Chinese carps is large, and is generally 0.33~1.5 m/s. Liu Jiankang [11] proposed that the minimum flow velocity needed for fish spawning on the mainstream of Yangtze River is 1~1.5 m/s.

Statistical analysis showed that the initial flow velocity of four major Chinese carps of Dongta spawning ground in breeding season is generally 0.91–1.58 m/s with an average of 1.28 m/s, and the velocity increment is 0.15–1.11 m/s with an average increase of 0.54 m/s. Four major Chinese carps spawning rarely and the larval population is very small when the velocity increment is less than 0.2 m/s, and spawning activity is more frequent and the larval population is larger with the velocity increment of 0.2~1.1 m/s, especially when the velocity increment is 0.4–1.1 m/s (Fig. 3).
Since the relationship between mean flow velocity and flow quantity of Dongta spawning ground is relatively simple and the change of flow velocity in the spawning ground is consistent with the trend and amplitude of flow variation, the analytical minimum value and appropriate scope of flow velocity and flow indicators can be considered as same as the demand of rising process for four major Chinese carp.

3 Reservoir ecological operation objectives in breeding season of four major Chinese carp

3.1 Control indexes for reservoir ecological operation

Control indexes for ecological operation refer to the ecological-hydrological indexes to meet the ecological needs of fish as much as possible by reservoir operation. In this paper, drifting speed of fish eggs (v), peak flow of the spawning ground (Q), initial discharge (Q), flow rising range (ΔQ), average daily increasing rate of flow (ΔQ/Δt) and duration of water level rising (TR) are determined to be the 6 control indexes for reservoir ecological operation, of which 5 indexes of the spawning ground (Q, Q, ΔQ, ΔQ/Δt, TR) are directly used to determine the target flow process of reservoir ecological operation, and v is used to test the flow process of each control section in the dispatching river reach (Tab. 2).

Because fish only spawning when water temperature (T) of the spawning ground is above a certain value, and water temperature of the spawning ground can hardly change from reservoir operation, so T should not be regarded as an index of ecological operation and should be a key constraint factor in timing of ecological operation.

Table 2. Indexes and the control ranges for ecological operation

| Control Indices          | Limit Value | Recommended Value |
|--------------------------|-------------|-------------------|
| Peak flow Q (m³/s)       | > 6000      | 9600-17000        |
| Initial discharge Q (m³/s)| > 3000 | 4000-6000         |
| Flow rising range ΔQ     | > 2500      | 7000-13000        |
| Average daily increasing rate of flow ΔQ/Δt (m³/s) | > 600 | 1000-3000 |
| Duration of water level rising TR (m³/s) | - | 3-9 |

3.2 Target flow process of the spawning ground

The flow process is mainly determined by five parameters: peak flow, initial discharge, retreating flow, duration of water level rising, total duration of flood (or retreating duration). The middle process of rising and retreating can be revised according to natural flood pattern refer to average increasing rate. The basic idea of determining the target flow process of Xijiang ecological operation is as follows: First, determine Q and make it in the recommended range of ecological operation control index.

Next, preliminarily identify the value range of Q according to the recommended ranges of ΔQ and Q. Then, estimate an approximate range of TR based on the recommended range of ΔQ/Δt. After that, determine a reasonable value of TR on the basis of the time interval between water-rising start to spawning of four major Chinese carp and the recommended range of TR. So far, the characteristic parameters of the flow process, such as peak flow, initial discharge and duration of water level rising, can all be determined. Finally, the flow process of the flood is obtained.

The target flow process of reservoir ecological operation of Dongta spawning ground can be determined respectively by low (6000~8000 m³/s), medium (8000~12000 m³/s) and high (12000~17000 m³/s) flow levels. Take the low level flow as an example to specify its determination method: The peak flow should be 6000 < Q < 8000 m³/s according to the recommended range of control index in the scenario of low flow level, and the minimum value of Q is 3000 m³/s. The maximum value of Q is 3500-5500 m³/s subjects to the minimum requirement of ΔQ: 2500 m³/s. At this point, the average cross section velocity of Dongta spawning ground corresponding to Q is 0.96~1.3 m/s, then four major Chinese carps begin to lay eggs about 2 days after initiate rising of the flood. If the concentrated spawning time of four major Chinese carp lasts for 2 days, the duration of water level rising should be no less than 4 days. And if duration of water level rising is more than 5 days, the average daily increasing rate of flow will be out of the recommended range and even less than the recommended lower limit. Therefore, it is most suitable for the duration of water level rising to be set as 4 days. The retreating duration can be roughly taken as 5 days according to the requirement of the time it takes for the eggs to develop into larvae capable of active swimming, then the total duration of the flood should be 9 days. The retreating flow should be about 3000~4500 m³/s as the retreating rate is slightly less than the rising rate.

The above method is also applicable to determine the target flow process of metric spawning ground with medium and high flow level. The control indexes of the target flow process for ecological regulation are listed in Tab. 3, and the corresponding initial discharge range is illustrated with Fig. 4.

Table 3. Control indexes of target flow process of Dongta spawning ground

| Schedule Day | Low flow level | Medium flow level | High flow level |
|--------------|----------------|-------------------|-----------------|
|              | Lower line    | Upper line        | Lower line      | Upper line     |
| 0            | 3000 5500     | 4000 6000         | 4000 6000       |
| 4            | 6000 8000     | 8000 12000        | 1200 17000      |
| 9            | 3000 4500     | 3500 5000         | 3500 5000       |

Flow control during rising period: total flow rising range

ΔQ > 2500 (m³/s), ΔQ/Δt > 600 (m³/s/d)
4 Conclusions

In this paper, the control indexes of the target flow process of reservoir ecological operation are identified by summarizing the previous studies and analyzing the relationships between the spawning of four major Chinese carps and the main ecological-hydrological indexes in Xijiang Dongta spawning ground. The study results indicate that peak flow, initial discharge, flow rising range, daily increasing rate of flow and duration of water level rising of the spawning ground should be as the control indexes, and the range of each index is given. The drifting velocity of fish eggs is used as the test index to control the section flow of the scheduling river reach. The target flow processes of ecological operation under low, medium and high flow levels are obtained by statistical analysis.

There are many indexes impact the spawning of four major Chinese carps and the internal mechanism is complex, and their quantitative identification is difficult. Furthermore, it is more difficult to summarize the control indexes of reservoir operation, not to mention how difficult it will be to develop ecological operation scientifically. Therefore, further research is needed for ecological reservoir operation.

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