Prevalence of oropharyngeal beta-lactamase-producing \textit{Capnocytophaga} spp. in pediatric oncology patients over a ten-year period

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Abstract

\textbf{Background}: The aim of this study was to evaluate the prevalence of beta-lactamase-producing \textit{Capnocytophaga} isolates in young children hospitalized in the Pediatric Oncology Department of Hôpital Sud (Rennes, France) over a ten-year period (1993–2002).

\textbf{Methods}: In neutropenic children, a periodic survey of the oral cavity allows a predictive evaluation of the risk of systemic infections by \textit{Capnocytophaga} spp. In 449 children with cancer, 3,053 samples were collected by oral swabbing and plated on TBBP agar. The susceptibility of \textit{Capnocytophaga} isolates to five beta-lactams was determined.

\textbf{Results}: A total of 440 strains of \textit{Capnocytophaga} spp. were isolated, 309 (70\%) of which were beta-lactamase producers. The beta-lactamase-producing strains were all resistant to cefazolin, 86\% to amoxicillin, and 63\% to ceftazidime. The proportion of strains resistant to third-generation cephalosporins remained high throughout the ten-year study, while susceptibility to imipenem and amoxicillin combined with clavulanic acid was always conserved.

\textbf{Conclusion}: These results highlight the risk of antibiotic failure in \textit{Capnocytophaga} infections and the importance of monitoring immunosuppressed patients and testing for antibiotic susceptibility and beta-lactamase production.

\textbf{Background}

\textit{Capnocytophaga} spp. are capnophilic, gram-negative fusi-form rods with gliding motility, common inhabitants of the oral cavity, but their role as an etiologic agent in juvenile periodontitis remains controversial [1]. In immuno-compromised granulocytopenic patients, a number of complications, including sepsis, endocarditis, and peripheric lesions, have been reported [2-4]. Several
authors have described cases of infections by *Capnocytophaga* strains resistant to antimicrobial agents [5-8]. An episode of bacteremia can be the consequence of bacterial translocation from oral flora. In the absence of bacterial strain isolation from blood cultures, empiric treatment might be adjusted according to the susceptibility of strains isolated during the survey. A sequential survey of the oral cavity during hospitalization was performed to evaluate the prevalence of beta-lactamase-producing *Capnocytophaga* isolates in children hospitalized in the Pediatric Oncology Department of Hôpital Sud (Rennes, France) over a ten-year period (1993–2002).

**Methods**

Samples were collected by swabbing the bucco-pharyngeal area of children hospitalized in the Pediatric Oncology Department of Hôpital Sud (Rennes, France) [9]. Samples were taken periodically with a minimum of 15 days between each collection. All cancer patients from one to 17 years were included whatever the type of cancer, chemotherapy, and clinical situation were. The number of samples taken per child depended on the oncological disease (Acute Lymphoblastic Leukemia, Acute Myeloblastic Leukemia, others), the number of cures, and the number and length of hospitalizations or treatments. Each sample was inoculated on TBBP agar plates [4% trypticase soy agar supplemented with 5% sheep blood, 0.1% yeast extract (AES Laboratory, France), 100 µg/ml polymyxin and 50 µg/ml bacitracin (Sigma)] [10], which was then incubated for two to five days in a 10% CO₂ atmosphere. Isolates were identified on the basis of colony morphology, Gram staining, negative catalase and oxidase reactions, API ZYM (BioMérieux, France) [11], and fatty acid profiles (gas chromatography, SHERLOCK Microbial Identification System™ MIDI Inc., Newark, DE, USA). Beta-lactamase production was tested using the qualitative chromogenic cephalosporin disk test (Cefinase®, BBL Microbiology Systems, Cockeysville, MD, USA). The results were read after 30 minutes.

Susceptibility testing was determined by standard methods and break points using the criteria of Bremmelgaard *et al.* [12] for screening determinations, and NCCLS [13] for intermediate/resistant strains. Minimal Inhibitory Concentrations (MICS) were confirmed by the E-test method (AES Laboratory, Combourg, France) using the same incubation conditions. Researchers tested the following antibiotics: amoxicillin, amoxicillin combined to clavulanic acid, cefazolin, cefazidime, and imipenem.

**Results**

Over the ten-year period of this study, researchers analyzed 3,053 samples from 449 hospitalized children (266 males and 183 females). *Capnocytophaga spp* (440 strains) were isolated in 232 children, on TBBP agar and identified with conventional methods. The annual percentage of children, who carried a *Capnocytophaga* strain at least once varied, with a minimum from 1995 to 1997 (17% and 22%, respectively), and maxima in 1993 (52%), 2001 (58%), and 2002 (61%). These results were also observed studying the number of *Capnocytophaga* isolates in the same periods: the number of *Capnocytophaga* isolates changed, with a minimum (11, 6%) in 1996 and a maximum (96, 22%) in 2001, without modification to the isolation and culture techniques (Table 1).

| Year | Number of children included | Number of *Capno*<sup>1</sup> carriers<sup>2</sup> (%) | Number of samples collected | Number of *Capno* isolates (%) |
|------|----------------------------|---------------------------------|-----------------------------|-------------------------------|
| 1993 | 60                         | 31 (52)                         | 305                         | 56 (18)                       |
| 1994 | 50                         | 24 (48)                         | 228                         | 49 (21)                       |
| 1995 | 45                         | 10 (22)                         | 195                         | 14 (7)                        |
| 1996 | 49                         | 8 (16)                          | 198                         | 11 (6)                        |
| 1997 | 58                         | 9 (17)                          | 251                         | 13 (5)                        |
| 1998 | 62                         | 24 (39)                         | 296                         | 41 (14)                       |
| 1999 | 68                         | 24 (35)                         | 267                         | 38 (14)                       |
| 2000 | 84                         | 17 (20)                         | 347                         | 31 (9)                        |
| 2001 | 85                         | 49 (58)                         | 429                         | 96 (22)                       |
| 2002 | 80                         | 49 (61)                         | 537                         | 91 (17)                       |
| Total| 449                        | 232 (52)                        | 3,053                       | 440 (14)                      |

<sup>1</sup> *Capnocytophaga*

<sup>2</sup> Number of children who were *Capnocytophaga* spp. carriers at least once during the studied period.
Whatever the number of isolates, there was a high prevalence of beta-lactamase-producing strains (70%), with a varying incidence from 54% in 1996 (based on three out of 49 children) to 78% in 1995 (similarly, 11/14 isolates describes 8/45 children) (Figures 1 and 2). The susceptibility of Capnocytophaga strains was always conserved with imipenem (MIC < 4 µg/ml) and amoxicillin combined with clavulanic acid (MIC < 4/2 µg/ml). All beta-lactamase producing strains were uniformly resistant to cefazolin (MIC > 8 µg/ml), but the authors noted different levels of resistance to amoxicillin and ceftazidime (Table 2).

**Discussion**

In immunocompromised children, a periodic survey of the oral cavity during hospitalization allows a predictive evaluation of the risk of systemic infections by Capnocytophaga spp.

In this study, the authors correlated the number of Capnocytophaga isolates with the number of child carriers of Capnocytophaga, indicating that protocol was correctly conducted. A decrease in incidence was observed during the 1995–1997 period, which could be due to use, in first-line antibiotic protocols, of beta-lactamase inhibitor combinations. During the other time periods, extended-spectrum antibiotic were given. Aminoglycosides were always associated to beta-lactam antibiotics in all protocols from 1993 to 2002. Incidence rates of total extended-spectrum beta-lactamase producing bacteria (ESBL) in gram-negative rods responsible for infection in Hôpital Sud (Rennes, France), calculated for 1,000 days of hospitalization, varied in 2002 from 0.04 to 0.7 depending on the department (higher rate in reanimation units) [http://www.cclinouest.comhttp://www.cclinouest.com/PDF/r_bmr2002_internet.pdf](http://www.cclinouest.comhttp://www.cclinouest.com/PDF/r_bmr2002_internet.pdf). Interestingly, all Capnocytophaga...
spp. strains collected in this study appeared as colonizing strains, because neither bacteremia, nor other systemic infections, were observed during this study. Results of MIC determinations agree with previous works reporting that beta-lactamases confer a high degree of resistance to a wide range of beta-lactam antibiotics [14] while having no effect on imipenem and beta-lactamase-inhibitor combinations [15,16]. In a Canadian study, Roscoe et al. [17] reported that 36% of the strains collected mainly from clinical sources were beta-lactamase producers, while in a study in Taiwan, Lin et al. [3] reported that 18% of Capnocytophaga strains isolated from patients with bacteremia were beta-lactam resistant. In our study, the prevalence of beta-lactamase producers did not increase linearly during the study period. A first hypothesis to explain these changes in incidence of beta-lactamase production could be the impact of current and previous therapy especially antimicrobial treatments or pathology, on the oral carriage of Capnocytophaga (study in progress). In a previous French study, Maury et al. [18] reported a high prevalence of beta-lactamase-producing Capnocytophaga species (75%), which they associated with previous beta-lactam treatments. Even if Capnocytophaga spp. belongs to the so-called late colonizers in the normal flora, meaning that it is more frequently found in children at 12 months and later, in a follow-up study of healthy infants from the age of 2 to 12 months, [19] Nyfors et al. reported a positive correlation between antimicrobial exposure and beta-lactamase production in oral anaerobic gram-negative species, while reporting

**Figure 2**
Percentage of positive beta-lactam Capnocytophaga spp. carriers, from children hospitalized in the Pediatric Oncology Department of Hôpital Sud (Rennes, France) from 1993 to 2002.
only two beta-lactamase-producing C. ochracea isolates. In a recent contradictory study, [20] Capnocytophaga spp. has been detected in 1.9% of all episodes of fever and neutropenia before antibiotic therapy, versus 0.3% during antimicrobial treatment.

A second hypothesis to explain these changes in incidence of beta-lactamase production could be linked to the immediate environment and close contact. These conditions have a great influence on the composition of the flora, and coming and going between home and hospital could modify the children's oral ecosystem. A turnover of the bacterial population from beta-lactamase-positive to beta-lactamase-negative strains may occur in young children with a developing oral ecosystem.

Another explanation of this high prevalence of beta-lactamase production could be conferred on transfer of encoding-resistance genes. Anaerobic bacteria are known to be able to exchange genetic material with aerobic bacteria, even though antibiotic-resistance genes are expressed differently in aerobic and anaerobic bacteria [21]. The spread of antibiotic resistance can also play a great part in nosocomial infections in neutropenic patients [22]. The high rate of resistance to third-generation cephalosporins observed in this study could be due to the dissemination of an epidemic clone. Some cefazidime-resistant strains have the same susceptibility and plasmid profiles (data not shown). Other authors have described resistant clinical isolates [5,6,14,16], but Rosenau et al. [8] were the first to characterize a plasmid-encoded TEM extended-spectrum beta-lactamase in Capnocytophaga spp. Clavulanate-sensitive cephalosporinases belonging to class A group 2e from the classification of Bush et al. [23] have recently been described [7,24]. They differ by only one or two substitutions in their sequences (cfxA, Genbank accession No. U75371; cfxA2, Genbank accession No. AF118110; cfxA3, Genbank accession No. AF472622). Large transposons encode all the functions needed for their own conjugation and for resistance to antimicrobial agents, including third-generation cephalosporins.

**Conclusion**

These results show the importance of testing for the antibiotic susceptibility of and beta-lactamase production by clinical Capnocytophaga strains, in which beta-lactamase production has become very common. In neutropenic patients, resistance to third-generation cephalosporins should be taken into consideration upon hospitalization to adapt the empiric antimicrobial treatment previously dispensed.

**Competing interests**

The author(s) declare that they have no competing interests.

**Authors' contributions**

AJG carried out the microbiological studies and wrote the manuscript. ZTS, LD and NMG participated in the microbiological studies. VG was in charge of the clinical studies. Provision of advice was given by JLS, MC and MBM. All authors read and approved the final manuscript.

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**Table 2: Susceptibility of oral *Capnocytophaga* spp. isolated from 1993 to 2002 (Hôpital Sud, Rennes, France). Susceptibility testing was determined by standard methods and break points according to the criteria of NCCLS [13] and Bremmelgaard et al. [12]**

| Year | Number of Resistant or Intermediate *Capnocytophaga* strains (%) (Total number of *Capno* strains = 440) |
|------|----------------------------------------------------------------------------------------------------------|
|      | **Amoxicillin MIC ≥ 4 µg/ml** | **Ceftazidime MIC > 4 µg/ml** |
| 1993 | 31 (88) | 28 (80) |
| 1994 | 31 (84) | 28 (75) |
| 1995 | 11 (100) | 11 (100) |
| 1996 | 6 (100) | 5 (83) |
| 1997 | 10 (100) | 9 (90) |
| 1998 | 27 (96) | 20 (71) |
| 1999 | 23 (85) | 10 (37) |
| 2000 | 16 (84) | 12 (63) |
| 2001 | 54 (80) | 38 (56) |
| 2002 | 56 (81) | 34 (49) |
| **Total** | **265 (86)** | **195 (63)** |

Note: No strains were resistant to an imipenem and amoxicillin/clavulanic acid combination, and all beta-lactamase-producing strains were resistant to cefazolin.

1: Minimal Inhibitory Concentration (µg/ml).
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