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Baseline Susceptibility and Cross-Resistance of HearNPV in Helicoverpa armigera (Lepidoptera: Noctuidae) in Brazil

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Simple Summary: Helicoverpa armigera nucleopolyhedrovirus (HearNPV: Baculoviridae: Alphabaculovirus (Armigen®)) is a registered insecticide for the management of cotton bollworm, Helicoverpa armigera (Hübner) (Lepidoptera: Noctuidae) in Brazil. We conducted studies of baseline susceptibility of Brazilian populations of H. armigera to HearNPV (Armigen®, AgBiTech, Fort Worth, TX, USA) and cross-resistance between HearNPV and insecticides as valuable knowledge in support of integrated pest management and insect resistance management programs.

Abstract: The marked adoption of bioinsecticides in Brazilian agriculture in recent years is, at least partially, explained by the increasingly higher levels of insect pest resistance to synthetic insecticides. In particular, several baculovirus-based products have been registered in the last 5 years, including Helicoverpa armigera nucleopolyhedrovirus (HearNPV: Baculoviridae: Alphabaculovirus (Armigen®)). Understanding the susceptibility of Helicoverpa armigera (Hübner) (Lepidoptera: Noctuidae) to HearNPV is an important step toward development of robust Integrated Pest Management (IPM) and Insect Resistance Management programs (IRM) aimed at managing this serious insect pest. In this study, droplet feeding bioassays were used to characterize the baseline susceptibility to HearNPV in H. armigera populations collected from major soybean and cotton-growing regions in Brazil. We defined and validated a diagnostic concentration for susceptibility monitoring of H. armigera populations to HearNPV. Additionally, cross-resistance between HearNPV and the insecticides flubendiamide and indoxacarb was evaluated by testing HearNPV in a susceptible strain and in resistant strains of H. armigera populations collected from major soybean and cotton-growing regions in Brazil. A low interpopulation variation of H. armigera to HearNPV was detected. The LC₅₀ values ranged from 1.5 × 10⁵ to 1.1 × 10⁶ occlusion bodies (OBs) per mL (7.3-fold variation). The mortality rate at the identified diagnostic concentration of 6.3 × 10⁸ OBs/mL, based on the calculated LC₉₀, ranged from 98.6 to 100% in populations of H. armigera collected from 2018 to 2020. No cross-resistance was detected between HearNPV and flubendiamide or indoxacarb. These results suggest that HearNPV (Armigen®) can be an effective tool in IPM and IRM programs to control H. armigera in Brazil.

Keywords: cotton bollworm; HearNPV; baculovirus; insect resistance management

1. Introduction

The evolution of insect pest resistance to insecticides is one of the main problems in agricultural production systems, worldwide [1]. The cotton bollworm, Helicoverpa armigera (Hübner) (Lepidoptera: Noctuidae) is considered an important insect pest in both Old and New World countries [2]. Resistance has already been reported in H. armigera to pyrethrins [3,4], spinosyns [5], carbamates [6], diamides [7], oxadiazines [8], Bt proteins [9], among others. As a result, the development of new chemical and biological insecticides with new modes of action is important for IRM programs.
In Brazil, the *Helicoverpa armigera* NPV-based bioinsecticide (HearNPV: *Baculoviridae: Alphabaculovirus*), a new mode-of-action insecticide (Group 31, Insecticide Resistance Action Committee-IRAC) was recently registered to control *H. armigera* [20]. HearNPV acts as host-specific occluded pathogenic viruses that specifically target *H. armigera* larval midgut epithelial columnar cell membranes. During primary infection, occlusion bodies are ingested by the larvae and solubilized by their alkaline midgut environment. This causes virions to be released and pass through the peritrophic membrane and fuse with the microvilli of midgut epithelial cells. The envelope of each virion contains at least nine proteins termed *per os* infectivity factors that form an entry complex that is essential for midgut epithelial cell entry [21,22]. A secondary infection begins after the nucleocapsids travel to the nucleus, where they release the viral genome to initiate self-replication. Progeny viruses are then produced to infect larval tissues and organs, eventually leading to larval death.

There are a few reports of resistance evolution in lepidopteran species to specific alphabaculovirus nucleopolyhedrovirus (NPV) isolates, such as in *Spodoptera frugiperda* to SfMNPV [23] and in *Anticarsia gemmatalis* to AgMNPV [24]. However, SfMNPV presented no cross-resistance to different active ingredients (chlorantraniliprole, chlorpyrifos, lambdacyhalothrin, spinosad, and teflubenzuron) or to the Bt proteins when tested in Brazilian populations of *S. frugiperda* [16]. No cross-resistance was detected between ChinNPV and chemical insecticides in *Chrysodeixis includens* (Walker) (Lepidoptera: Noctuidae) [25] or between HearNPV and Bt proteins in *H. armigera* and *Helicoverpa punctigera* (Hübner) (Lepidoptera: Noctuidae) [26].

Because of its promising adoption as an important tool for IPM and IRM programs, the objectives of this study were to characterize the baseline susceptibility of field populations of *H. armigera* to HearNPV, develop a diagnostic concentration for resistance monitoring programs and investigate cross-resistance to flubendiamide (IRAC MoA group 28) and indoxacarb (IRAC MoA group 22A).

**2. Materials and Methods**

**2.1. Insects**

Field populations of *H. armigera* were collected in major non-Bt soybean and non-Bt cotton growing regions, from 2018 to 2020 crop seasons in Brazil (Table 1; Figure 1). In each location, 800 to 1000 larvae were collected. These field populations were used to characterize the baseline susceptibility and validation of a diagnostic concentration.

For the evaluation of cross-resistance between HearNPV and chemical insecticides, we used strains resistant to flubendiamide (Belt®, Bayer Crop Science, Monheim, Germany; 480 g active ingredient (AI)/L) and indoxacarb (Avaunt®, FMC, Philadelphia, PA, USA; 150 g AI/L). The strain resistant to flubendiamide (hereafter FBD-R), was selected from a field population collected in Luís Eduardo Magalhães, Bahia, Brazil, (12°05′58″ S and 45°47′54″ W) [7]. The strain resistant to indoxacarb (hereafter AVA-R) was selected from a field population collected in Chapadão do Sul, Mato Grosso do Sul, Brazil, (18°43′29″ S 36°24′31″ W) [36].
and 52°36′14″ W) [27]. The susceptible laboratory strain (hereafter SUS) was included in all bioassays cited above.

**Table 1.** Populations of *Helicoverpa armigera* used for the characterization of the baseline susceptibility and validation of the diagnostic concentration to HearNPV.

| Population Code | City, State    | Host Crop | Latitude (S) | Longitude (W) | Date            |
|-----------------|----------------|-----------|--------------|---------------|-----------------|
| SUS             | Luís Eduardo Magalhães, BA | Bean      | 12°05′58″   | 45°47′54″     | September 2013  |
| BA-78 Season 2018 | Luís Eduardo Magalhães, BA | Cotton    | 11°46′33″   | 45°43′44″     | June 2018       |
| BA-79 Season 2019 | Roda Velha, BA    | Soybean   | 12°45′00″   | 46°02′25″     | December 2018   |
| BA-81 Season 2019 | Correntina, BA    | Cotton    | 13°11′34″   | 45°23′16″     | June 2019       |
| GO-12 Season 2019 | Mineiros, GO      | Soybean   | 17°30′47″   | 52°33′48″     | December 2018   |
| MT-34 Season 2020 | Sapezal, MT       | Soybean   | 13°27′55″   | 58°55′13″     | January 2019    |
| BA-84 Season 2020 | Correntina, BA    | Soybean   | 13°25′55″   | 45°32′07″     | December 2019   |
| MT-35 Season 2020 | Campo Verde, MT   | Soybean   | 15°33′29″   | 55°11′49″     | December 2019   |
| MS-12 Season 2020 | Chapadão do Sul-MS | Cotton    | 18°43′13″   | 52°34′27″     | June 2019       |

**Figure 1.** Distribution of *Helicoverpa armigera* populations used to establish baseline susceptibility to HearNPV and validation of a diagnostic concentration.

Field populations, resistant, and susceptible strains of *H. armigera* were kept on an artificial diet (adapted from Greene et al. [28]) until pupation. Pupae were transferred to vertical cylindrical cages made of PVC tubes (30 cm high × 25 cm diameter) and covered
with tulle netting (egg laying substrate), where adults emerged for mating and oviposition. Each population was composed of 100 pairs per generation, separated in two cages of approximately 50 pairs each. The adult diet consisted of 10% aqueous honey solution offered in moistened cotton balls. The tulle netting with eggs and the honey solution were replaced every 2 days. The eggs were placed in plastic cups (500 mL) and newly hatched larvae (<24 h) were used in bioassays. All populations were maintained in controlled conditions of 25 ± 2 °C, 70% relative humidity and a photoperiod of 14:10 (L:D) h.

2.2. Baseline Susceptibility

To characterize the baseline susceptibility of *H. armigera* to the commercial product Armigen® (a.i. HearNPV, concentration 7.5 × 10⁹ occlusion bodies [OBs] per mL), we used six field populations collected in three Brazilian states: Bahia (BA-78, BA-79, and BA-81), Goiás (GO-12), Mato Grosso (MT-34 and MT-35) and a susceptible strain (SUS) (Table 1; Figure 1). Droplet feeding bioassays described by Hughes et al. [29] and Harrison et al. [30] were used to determine viral potency against each population. Seven concentrations of HearNPV, 1 × 10², 1 × 10³, 1 × 10⁴, 1 × 10⁵, 1 × 10⁶, and 1 × 10⁸ OBs/mL, were tested to provide mortality between 5 and 95%. These concentrations were composed of HearNPV diluted in distilled water, 30% sucrose solution, and red dye. Each concentration was applied with an electronic pipette in petri dishes as 0.5 µL droplets. After application, 50 neonates (<24 h old) were placed into each petri dish. Larvae that presented a red color in the midgut after 15 min were determined to have consumed the solution and were then transferred individually into 32-well plastic trays (Advento do Brasil, São Paulo, Brazil) containing the artificial diet [28] without formaldehyde or antibiotics. Trays were then sealed with plastic sheets that allowed air exchange with the external environment, and then placed in a growth chamber at 28 ± 1 °C, 60 ± 10% RH at a photoperiod of 14:10 (L:D) h.

The bioassays were performed in a completely randomized design with 8 to 12 replicates for a total of 64 to 96 neonates tested per concentration, respectively. Mortality was assessed at 1 and 7 days. Death observed in the first day (considered to be death due to the transfer process and not infection) was subtracted from final mortality at 7 days after exposure to HearNPV.

2.3. Validation of Diagnostic Concentration

The concentration of 6.3 × 10⁹ OB/mL was estimated from the joint analysis of the entire baseline susceptibility dataset and was used for susceptibility monitoring of *H. armigera* to HearNPV. The methodology previously described was used to validate the diagnostic concentration [29,30]. In these bioassays, 380–550 newly hatched larvae per population were tested. Bioassays were performed with a susceptible strain (SUS) and four field populations collected in different states in Brazil, Bahia (BA-84), Mato Grosso do Sul (MS-12), and Mato Grosso (MT-34 and MT-35) (Table 1; Figure 1).

2.4. Cross-Resistance between HearNPV and Insecticides

Resistant strains of *H. armigera* to chemical insecticide (FBD-R and AVA-R) were used to evaluate the cross-resistance pattern with HearNPV-based insecticide. Concentration-response droplet feeding assays were used to characterize the susceptibility of FBD-R, AVA-R, and SUS strains of *H. armigera* to HearNPV. The reference susceptible strain (SUS) was used to compare the 50% lethal concentrations (LC₅₀) and calculate resistance ratios. The FBD-R strain showed a resistance ratio of 1770-fold to flubendiamide [7] and the AVA-R strain showed a resistance ratio of 357-fold to indoxacarb [27].

2.5. Statistical Analysis

Probit analysis (PROC PROBIT), in SAS ⁹.1 (SAS Institute 2000, Cary, NC, USA) was used to calculate LC₅₀ values and respective 95% confidence intervals (CI) [31]. A likelihood ratio test was conducted to test the hypothesis that the LCp values (lethal concentration at
which a percent mortality \( P \) is attained) were equal. Pairwise comparisons were performed if the hypothesis was rejected, and significance was declared if CIs did not overlap [32]. Resistance ratios were calculated by dividing the \( \text{LC}_{50} \) values of resistant strains by the \( \text{LC}_{50} \) values of the susceptible strain [32]. The diagnostic concentration was estimated from the joint analysis of the entire baseline susceptibility dataset [33]. Mortality data were fitted to a binomial model using the complement log–log link function (PROC PROBIT), in SAS® 9.1 (SAS Institute 2000) [31].

3. Results

3.1. Baseline Susceptibility of \( H. \) armigera to HearNPV in Droplet Feeding Bioassays

Field populations and the SUS strain demonstrated similar susceptibility to the HearNPV-based bioinsecticide Armigen® (AgBioTech, Fort Worth, TX, USA). The \( \text{LC}_{50} \) of \( H. \) armigera ranged from \( 1.5 \times 10^5 \) (MT-35 population) to \( 1.1 \times 10^6 \) (SUS strain) OBs/mL (Table 2). These results demonstrate a variation of 7.3-fold in susceptibility among the tested populations of \( H. \) armigera. Based on the joint analysis of concentration-mortality data of all populations, the \( \text{LC}_{99} \) was estimated to be \( 6.3 \times 10^8 \) OBs/mL (FL 95% from \( 2.4 \times 10^8 \) to \( 2.3 \times 10^9 \); \( n = 2932; \) slope \( \pm SE \) = 0.62 \( \pm 0.04\); \( \chi^2 \) = 16.21; df = 5). This \( \text{LC}_{99} \) is the candidate diagnostic concentration for the routine resistance monitoring of \( H. \) armigera to HearNPV.

### Table 2. Baseline susceptibility of \( Helicoverpa \) armigera to HearNPV.

| Population | Generation | \( n^a \) | Slope \( \pm SE^b \) | \( \text{LC}_{50} \) (95% CI) \( ^c \) | \( \chi^2^d \) | df \( ^e \) |
|------------|------------|---------|----------------|-----------------------------|----------|--------|
| SUS        | \( F_{47} \) | 435     | 0.48 \( \pm 0.05 \) | \( 1.1 \times 10^6 \) (\( 3.9 \times 10^5 \) to \( 2.6 \times 10^6 \)) \( ^a \) | 4.87     | 4      |
| Season 2018| BA-78      | \( F_1 \) | 521           | 0.99 \( \pm 0.16 \) | \( 7.3 \times 10^5 \) (\( 1.8 \times 10^4 \) to \( 4.3 \times 10^5 \)) \( ^a \) | 8.55     | 4      |
| BA-79      | \( F_1 \)  | 486     | 0.55 \( \pm 0.09 \) | \( 2.5 \times 10^5 \) (\( 6.5 \times 10^3 \) to \( 1.8 \times 10^6 \)) \( ^a \) | 9.94     | 5      |
| BA-81      | \( F_1 \)  | 412     | 0.49 \( \pm 0.12 \) | \( 3.5 \times 10^5 \) (\( 5.4 \times 10^3 \) to \( 1.7 \times 10^6 \)) \( ^a \) | 7.68     | 4      |
| GO-12      | \( F_1 \)  | 544     | 0.51 \( \pm 0.09 \) | \( 4.4 \times 10^5 \) (\( 1.4 \times 10^4 \) to \( 2.9 \times 10^5 \)) \( ^a \) | 8.91     | 4      |
| MT-34      | \( F_1 \)  | 543     | 0.69 \( \pm 0.11 \) | \( 1.9 \times 10^5 \) (\( 8.6 \times 10^4 \) to \( 4.0 \times 10^5 \)) \( ^a \) | 7.84     | 4      |
| Season 2019| MT-35      | \( F_1 \) | 642           | 0.53 \( \pm 0.12 \) | \( 1.5 \times 10^5 \) (\( 6.4 \times 10^4 \) to \( 5.1 \times 10^5 \)) \( ^a \) | 6.21     | 4      |

\( ^a \) Number of larvae tested. \( ^b \) Slope and standard error. \( ^c \) Lethal concentration (OBs/mL) required to kill 50% of neonates in the observation period of 7 days. Values within the column followed by the same letter are not significantly different. \( ^d \) \( p > 0.05 \) in the goodness-of-fit test. \( ^e \) Degrees of freedom.

3.2. Validation of the Candidate Diagnostic Concentration for Resistance Monitoring

The susceptible strain of \( H. \) armigera (SUS) exposed to the diagnostic concentration of HearNPV (\( 6.3 \times 10^8 \) OBs/mL) exhibited 98.9% mortality (Table 3). Similar results were observed for four field populations, with mortality ranging from 98.8 to 100%. These results validated the diagnostic concentration of \( 6.3 \times 10^8 \) OBs/mL as the rate that causes 99% mortality in HearNPV-susceptible populations. This concentration should be used in routine resistance monitoring programs of \( H. \) armigera to HearNPV.

### Table 3. Mortality of \( Helicoverpa \) armigera populations at the diagnostic concentration of HearNPV (\( 6.9 \times 10^8 \) OBs/mL).

| Population Code | Generation | Tested | Died | % Mortality (95% CI) \( ^a \) |
|-----------------|------------|--------|------|-----------------------------|
| SUS             | \( F_{47} \) | 450    | 445  | 98.9 (97.8–99.9)            |
| BA-84           | \( F_1 \)  | 420    | 415  | 98.8 (97.8–99.6)            |
| MT-34           | \( F_2 \)  | 550    | 547  | 99.5 (98.1–99.8)            |
| MT-35           | \( F_2 \)  | 380    | 378  | 99.6 (98.5–99.8)            |
| MS-12           | \( F_1 \)  | 450    | 450  | 100.0 (98.7–99.5)           |

\( ^a \) Significantly different from each other due to nonoverlap of 95% confidence interval.
3.3. Cross-Resistance between HearNPV and Insecticides

The pesticide resistant strains of *H. armigera*, FBD-R and AVA-R, responded similarly to the susceptible strain when exposed to HearNPV (Table 4). The resistance ratios of 0.06 for FBD-R and 1.36 for AVA-R were not significant (Table 3).

Table 4. Concentration response of susceptible (SUS), flubendiamide (FBD-R), and indoxacarb (AVA-R) resistant strains of *Helicoverpa armigera* to HearNPV.

| Strains   | Generation | n  | Slope ± SE | LC50 (95% CI) | χ² | df  | RR  |
|-----------|------------|----|------------|---------------|----|-----|-----|
| SUS       | F₄₇        | 435| 0.48 ± 0.05| 1.1 × 10⁶ (3.9 × 10⁵–2.6 × 10⁶)  | 4.87| 4   | -   |
| FBD-R     | F₃₄        | 521| 0.99 ± 0.16| 7.3 × 10⁴ (1.8 × 10³–4.3 × 10⁵) | 5.55| 4   | 0.06|
| AVA-R     | F₁₈        | 458| 0.69 ± 0.11| 1.5 × 10⁶ (3.5 × 10³–1.4 × 10⁶) | 7.84| 4   | 1.36|

*a* Number of larvae tested. *b* Slope and standard error. *c* Lethal concentration (OBs/mL) required to kill 50% of neonates in the observation period of 7 days. Values within the column followed by the same letter are not significantly different. *d* p > 0.05 in the goodness-of-fit test. *e* Degrees of freedom. *f* Resistance Ratio = LC₅₀ of the resistant strains/LC₅₀ of the susceptible strain (SUS).

4. Discussion

The rapid rise of insecticide resistance in *H. armigera* was a result of high selection pressure in soybean, cotton, and maize [20]. All necessary measures must be taken to prevent or delay further increases in the number of cases of resistance. New pest management alternatives and insecticides with new modes of action are fundamental to IPM and IRM. In the present study, we characterized the baseline susceptibility of *H. armigera* field populations to HearNPV and investigated cross-resistance to flubendiamide and indoxacarb. The field populations of *H. armigera* demonstrated a low variation in susceptibility to HearNPV, with LC₅₀ values ranging from 1.5 × 10⁵ to 1.1 × 10⁶ OBs/mL (7.3-fold variation). Similar variation in *H. armigera* susceptibility was observed to different HearNPV isolates, with LC₅₀ values ranging from 1.6 × 10⁴ to 3.5 × 10⁴ OBs/mL (2.2-fold variation) [34]. In Brazil, larvae of *S. frugiperda* and *C. includens* were found to have similar variation in susceptibility. The LC₅₀ for *S. frugiperda* ranged from 2.2 × 10⁶ to 4.5 × 10⁶ OBs/mL (2.1-fold variation) with SMNPV [16] and the LC₅₀ for *C. includens* ranged from 1.4 × 10⁵ to 7.7 × 10⁵ OBs/mL (5.5-fold variation) with ChinNPV [17]. In contrast, other studies showed a high variation in susceptibility among populations of *S. frugiperda* and *A. gemmatalis*, when exposed to baculovirus-based insecticides [23,24].

A high variation in the susceptibility in *Lymantria dispar* to *Lymantria dispar* MNPV suggested an antiviral defense that was hormonally controlled [35]. In *H. zeas*, the tracheal epidermis became melanized and encapsulated following exposure to *Autographa californica* MNPV, and hemocytes appeared to be resistant to infection and were able to remove virus from the hemolymph [36]. In contrast, the major mechanisms of resistance to indoxacarb in *H. armigera* can be associated with a metabolic detoxification by P450 and carboxyl esterase [37], whereas the most common lepidopteran resistance to flubendiamide are ryanodine receptors target-site mutations [38]. The risk of resistance development is much more likely for a “uni-site” (e.g., flubendiamide and indoxacarb) than for a “multi-site” insecticide or bio-insecticide (e.g., HearNPV) [39].

HearNPV demonstrated high toxicity and low variation in susceptibility among field populations and the susceptible strain of *H. armigera* tested. The low natural variation in HearNPV susceptibility might be related to a high gene flow among populations [13] and founding effects since *H. armigera* is an invasive species [40]. The lack of cross-resistance between the HearNPV-based insecticide and strains resistant to indoxacarb and flubendiamide indicates that Armigen (HearNPV) can be effectively used as a new mode of action insecticide for the control and resistance management of *H. armigera*. Furthermore, the use of insecticides such as indoxacarb and flubendiamide does not promote the selection of resistant individuals to the Armigen bioinsecticide because there is no cross-resistance between HearNPV and these synthetic insecticides.
A similar lack of cross-resistance between baculovirus and synthetic insecticides has been reported for *S. frugiperda* and *C. includens* [16,25]. In addition, no cross-resistance was reported between Bt proteins and baculovirus in *Plutella xylostella* (L.) (Lepidoptera: Plutellidae) [41]. HearNPV-based baculovirus stands out as a promising tool in the management of insect resistance in a scenario of integration in control strategies seeking to delay the evolution of *H. armigera* resistance to insecticides in Brazil. The strategy of rotating distinct mode of action insecticides is effective if there is no cross-resistance between the control methods used in rotation [42]. Therefore, it is critical to understand the resistance profiles of specific local populations as basis for effective rotation schemes. With this important aspect in mind, other insecticides should be evaluated in future studies.

Results of this study demonstrated that the HearNPV-based insecticide Armigen® may contribute to IPM and IRM programs. Field populations of *H. armigera* tested showed high susceptibility to HearNPV and no cross-resistance to flubendiamide and indoxacarb. For the success of IPM programs that include Armigen, we recommend routine monitoring of the susceptibility of *H. armigera* to HearNPV with the diagnostic concentration proposed in this study. This best practice will allow for early detection of any changes in susceptibility of these populations to HearNPV and adjustment in management tactics accordingly.

We conclude that the biological insecticide HearNPV in Armigen is a feasible tool for control of *H. armigera* field populations in rotation with other mode-of-action insecticides. Baculoviruses co-evolved with their insect hosts and developed very complex host–pathogen interactions, which make it very challenging for the insect pest host to overcome bio-insecticide infection. In addition, the highly specific viral pathogen does not eliminate the entire host population, allowing natural enemies to thrive and further aid in suppressing the target pest [39].

5. Conclusions

A low interpopulation variation of *Helicoverpa armigera* to HearNPV was detected in Brazil. No cross-resistance was detected between HearNPV and flubendiamide or indoxacarb. These results suggest that HearNPV (Armigen®) can be an effective tool in integrated pest management and insect resistance management programs to control *Helicoverpa armigera* in Brazil.

**Author Contributions:** Conceptualization, D.S.M. and C.O.; methodology D.S.M., T.M.G., D.A., M.F.L., H.J.R.P., P.G.M. and C.O.; software, D.S.M. and C.O.; validation, D.S.M. and C.O.; formal analysis, D.S.M.; investigation, D.S.M., T.M.G., D.A. and C.O.; resources, D.S.M., M.F.L., H.J.R.P., P.G.M. and C.O.; data curation, D.S.M. and C.O.; writing—original draft preparation, D.S.M.; writing—review and editing, D.S.M., H.J.R.P., P.G.M. and C.O.; visualization, D.S.M., H.J.R.P., P.G.M. and C.O.; supervision, C.O.; project administration, D.S.M. and C.O.; funding acquisition, D.S.M. and C.O. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research received no external funding.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The data presented in this study are available in article.

**Acknowledgments:** We thank the Sào Paulo Research Foundation (FAPESP) for granting a doctoral scholarship to the first author (Grant number: 2019/20385-0). We are grateful for the support provided by AgBiTech (Fort Worth, TX) to perform this study. We thank the Brazilian Insecticide Resistance Action Committee (IRAC-BR) helping to collect insect samples in different Brazilian regions.

**Conflicts of Interest:** Authors M.F.L., H.J.R.P. and P.G.M declare a conflict as employees of AgBiTech.
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