RESEARCH ARTICLE

The Use of Recommended Communication Techniques by Maryland Family Physicians and Pediatricians

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Abstract

Background

Health literacy experts and the American Medical Association have developed recommended communication techniques for healthcare providers given that effective communication has been shown to greatly improve health outcomes. The purpose of this study was to determine the number and types of communication techniques routinely used by Maryland physicians.

Methods

In 2010, a 30-item survey was mailed to a random sample of 1,472 Maryland family physicians and pediatricians, with 294 surveys being returned and usable. The survey contained questions about provider and practice characteristics, and 17 items related to communication techniques, including seven basic communication techniques. Physicians’ use of recommended communication techniques was analyzed using descriptive statistics, analysis of variance, and ordinary least squares regression.

Results

Family physicians routinely used an average of 6.6 of the 17 total techniques and 3.3 of the seven basic techniques, whereas pediatricians routinely used 6.4 and 3.2 techniques, respectively. The use of simple language was the only technique that nearly all physicians routinely utilized (Family physicians, 91%; Pediatricians, 93%). Physicians who had taken a communications course used significantly more techniques than those who had not. Physicians with a low percentage of patients on Medicaid were significantly less likely to use the recommended communication techniques compared to those providers who had high proportion of their patient population on Medicaid.
Conclusions

Overall, the use of recommended communication techniques was low. Additionally, many physicians were unsure of the effectiveness of several of the recommended techniques, which could suggest that physicians are unaware of valuable skills that could enhance their communication. The findings of this study suggest that communications training should be given a higher priority in the medical training process in the United States.

Introduction

Healthy People 2020 (HP 2020) highlights the national importance of effective patient-provider communication [1]. Health Communication and Health Information Technology Objective 2 of HP 2020 aims to “increase the proportion of persons who report that their health care providers have satisfactory communication skills [1].” Strong communication skills are an essential component of patient-centered care, an approach to care that actively involves patients in the treatment decision-making process [2]. Patient-centered care has received increased attention in the past decade in the United States (U.S.), and will likely become an even more important model of patient care under the Patient Protection and Affordable Care Act of 2010 [2].

Communication is also an important part of health literacy, which is defined as “the degree to which individuals have the capacity to obtain, process, and understand basic health information and services needed to make appropriate health decisions [3].” The first assessment of adult health literacy in the U.S. found that a majority of adults lack the necessary health literacy skills to fully understand or make decisions based on health information that they receive [4]. Low health literacy can negatively impact an individual’s health by causing non-compliance to medication; poor adherence to recommended treatment; delayed decision making; and poor management of chronic diseases, including oral health conditions [5–9].

Given that low health literacy is nearly ubiquitous and that low health literacy has been linked to poorer health outcomes, effective physician communication becomes especially critical to ensure that patients fully understand the health information presented to them and to increase the chances for positive health outcomes [1,10,11]. Studies examining physicians’ communication skills have shown that effective communication is related to greater patient adherence to treatment and ultimately improved patient health outcomes including improved management of chronic diseases, such as diabetes and hypertension [12–14].

Despite the benefits of effective communication, this skillset is often underutilized by physicians [11,15,16]. The overestimation of patients’ health literacy by physicians is one likely cause of less-than-optimal communication with patients [10]. In other words, physicians may not always place as much focus on communicating effectively with patients because they may incorrectly assume that patients medical knowledge and comprehension is greater than it actually is [10]. An additional barrier to effective provider communication could be physicians’ unawareness of the negative impact that poor health literacy has on patient self-management and health outcomes [17].

In an effort to improve provider communication, health literacy experts and the American Medical Association (AMA) have recommended 17 communication techniques [11]. Some of these techniques include using simple language; handing out printed materials; speaking more slowly; presenting 2–3 concepts at a time; asking patients how they will follow instructions at home; and asking patients to repeat information (teach back) [11]. A 2007 study on health care providers’ use of communication techniques found that while some techniques,
such as using simple language (94.7%) were commonly used; the majority of techniques recommended by health literacy experts were not routinely used by providers [11]. To further complicate this issue, medical students receive very little practical training in communication, and during residency training when most patient contact occurs, training in communication receives very low priority [2].

Understanding the use of communication techniques by family physicians and pediatricians is especially critical because these practitioners see a wide range of patients, making them a valuable resource in promoting other aspects of health, such as oral health [18]. Therefore, the overall aims of this study are to determine: 1) the number and types of communication techniques Maryland pediatricians and family practice physicians use on a routine basis 2) their perception of the effectiveness of the recommended communication techniques and 3) factors associated with their use of these techniques. This survey of Maryland physicians was part of a comprehensive, statewide assessment of oral health literacy and communication techniques that was conducted. The proposed study aims were achieved, and the results will serve as a baseline for future studies aimed at further assessing and improving provider communication in the state of Maryland.

**Materials and Methods**

**Sampling Strategy**

This cross-sectional study used a 30-item, self-administered questionnaire on dental caries prevention and communication techniques that was mailed to a random sample of 1,472 family practice physicians (family physicians) and pediatricians in the state of Maryland in June and July of 2010. This study will focus on the responses related to the use of communication techniques. The questionnaire contained 17 items concerning the routine use of recommended communication techniques, which were adopted from a questionnaire used by Rozier et al. and the recommended techniques from the health literacy experts and the AMA [11,19]. It was used to assess the perceived effectiveness, routine use, and characteristics associated with the use of recommended communication techniques by Maryland physicians. This questionnaire has been used in previous studies to assess the use of recommended communication techniques by Maryland dentists and dental hygienists [20,21].

Prior to mailing the survey, the instrument was first pilot-tested with family physicians and pediatricians. After receiving feedback from the physicians, necessary revisions were made and the survey was printed in a format that allowed it to be pre-paid and returned without an envelope.

The 17 communication questions are grouped into the following five domains: Interpersonal communications, Teach-back method, Patient-friendly materials and aids, Assistance, and Patient-friendly practice. The seven basic communication techniques are found in the first two domains (Interpersonal communications and Teach-back method). Physicians were asked how often they used each of the 17 communication techniques in a typical workweek using a Likert-type scale with the following five response options: always, most of the time, occasionally, rarely, and never. The responses were scored from 5 = “always” to 1 = “never”. Additionally, for each technique the physicians were asked whether they thought that the technique was effective using the following response options: “yes”, “no”, or “do not know.”

The outcome variable for the analysis of the communication techniques was a count of the routine use of the 17 communication techniques. Additionally, seven of the 17 recommended communication techniques were used as a separate variable (the seven basic techniques). For the purpose of analysis, “routine use” was defined as responses of “always” or “most of the time” (verses the responses of “never,” “rarely,” or “occasionally”). Respondents were also asked additional questions regarding provider characteristics (i.e.: if they’ve taken a communication course) and practice characteristics (i.e.: if they’ve assessed their office for user-friendliness).
The study sample was obtained using the membership lists of Maryland Academy of Family Physicians (MAFP) and the Maryland American Academy of Pediatrics (MDAAP). An initial mailing consisted of the full survey questionnaire along with a cover letter explaining the survey that was signed by either the President of the MAFP or MDAAP. Three weeks after the first mailing, a second complete mailing was sent to non-respondents. Approximately three weeks after the second mailing, a postcard was mailed to remaining non-respondents. The executive director of each organization was asked to send a blast email reminder to urge all members to respond to the survey.

Ethics Statement
The Institutional Review Board, University of Maryland, College Park, reviewed and approved this study. The physicians were informed that their participation in this study was completely voluntary, and passive informed consent was obtained by participants completing and returning the survey.

Data Analysis
Data was analyzed using SAS Version 9.3 (SAS Institute, Inc., Cary, NC.). Separate analyses were conducted for family physicians and pediatricians because they were from different sampling frames. The statistical analyses included distributions (frequencies and percentages) of physician characteristics, routine use of techniques, and perceived effectiveness of techniques. Additionally, the associations between all demographic variables and the mean number of communication techniques used were examined using the Analysis of Variance (ANOVA). For the ANOVA, the selected predictor variables were used as the independent variable and the mean number of communication techniques routinely used was the dependent variable. Finally, ordinary least squares regression was used to analyze the association between selected predictor variables (i.e.: provider and practice characteristics) as the independent variables, and the count of communication techniques routinely used as the dependent variable. The level of significance was set at \( p < 0.05 \).

Results
Sample results and characteristics
Of the 1,472 surveys mailed to Maryland family physicians and pediatricians, 415 were returned and of the total surveys returned, 294 were usable (consisting of 215 pediatricians and 79 family physicians) yielding an effective response rate of 20%. Table 1 displays the characteristics of the sample in total, and by family physician and pediatrician providers. The majority of respondents were white (75%), female (62%), private practitioners (67%), and practiced in a group setting (58%). These characteristics were similar for both family physicians and pediatricians. Thirty percent of pediatricians graduated prior to 1980 compared to only 12% of family physicians. Nearly half of all respondents had assessed their office to determine if it was user friendly. Additionally, about half of both family physicians and pediatricians reported to have previously taken a communications course, and a similar proportion of respondents were interested in attending a communications continuing education course.

Descriptive results for communication techniques used
Tables 2 and 3 display the percentage distribution for each of the five possible Likert-scale responses and the mean response score for each of the 17 communications techniques grouped into five domains for family physicians and pediatricians, respectively. The first seven
techniques are grouped into the “Interpersonal communication” and “Teach-back methods” domains, and are considered to be the basic communications techniques that every health provider should routinely use. Family physicians reported routinely using on average 6.6 of the 17 total techniques and 3.3 of the seven basic techniques, whereas pediatricians reported routinely using 6.4 and 3.2 techniques, respectively (data not shown). The frequency of use varied considerably across the 17 techniques and five domains. The use of simple language was the only basic technique that was routinely used (used “always” or “most of the time”) by nearly all of the respondents (Family physicians, 91%; Pediatricians, 93%) and the technique with the highest mean Likert-scale score (Family physicians, 4.27; Pediatricians, 4.23). Limiting the number

| Characteristics                          | Family Physicians, n = 79 | Pediatricians, n = 215 | Total, N = 294 |
|-----------------------------------------|---------------------------|------------------------|---------------|
|                                         | n a | Percentage (%) | n a | Percentage (%) | n a | Percentage (%) |
| Year of Graduation                      |     |               |     |               |     |               |
| 1979 or Prior                           | 9   | 12.7          | 59  | 30.0          | 68  | 25.4          |
| 1980–1989                               | 27  | 38.0          | 56  | 28.4          | 83  | 31.0          |
| 1990–1999                               | 18  | 25.4          | 45  | 22.8          | 63  | 23.5          |
| 2000 or Later                           | 17  | 23.9          | 37  | 18.8          | 54  | 20.2          |
| Practice Setting                        |     |               |     |               |     |               |
| Solo Practice                           | 12  | 15.4          | 23  | 10.9          | 35  | 12.1          |
| Group Practice                          | 41  | 52.6          | 128 | 60.7          | 169 | 58.5          |
| All Other                               | 25  | 32.1          | 60  | 28.4          | 85  | 29.4          |
| Occupation                              |     |               |     |               |     |               |
| Private Practice                        | 49  | 63.6          | 145 | 68.7          | 194 | 67.4          |
| All Other                               | 28  | 36.4          | 66  | 31.3          | 94  | 32.6          |
| Race/Ethnicity                          |     |               |     |               |     |               |
| White                                   | 57  | 74.0          | 158 | 76.0          | 215 | 75.7          |
| Black                                   | 5   | 6.6           | 19  | 9.1           | 24  | 8.5           |
| All Other                               | 14  | 18.4          | 31  | 14.9          | 45  | 15.9          |
| Gender                                  |     |               |     |               |     |               |
| Female                                  | 48  | 62.3          | 132 | 62.0          | 180 | 62.1          |
| Male                                    | 29  | 37.7          | 81  | 38.0          | 110 | 37.9          |
| Type of insurance for child patients    |     |               |     |               |     |               |
| Medicaid/SCHIP                          | 71  | 28.8*         | 200 | 35.2*         | 271 | 33.5*         |
| Private Insurance                       | 71  | 63.9*         | 202 | 60.5*         | 273 | 61.4*         |
| Out of Pocket                           | 66  | 7.3*          | 190 | 4.5*          | 256 | 5.2*          |
| Ever taken a communications course      |     |               |     |               |     |               |
| Yes                                     | 41  | 53.2          | 103 | 48.4          | 144 | 49.7          |
| No                                      | 36  | 46.8          | 110 | 51.6          | 146 | 50.3          |
| Interested in attending communications continuing education course |     |               |     |               |     |               |
| Yes                                     | 30  | 39.0          | 93  | 44.1          | 123 | 42.7          |
| No                                      | 47  | 61.0          | 118 | 55.9          | 165 | 57.3          |
| Ever assessed office to determine if user-friendly |     |               |     |               |     |               |
| Yes                                     | 33  | 47.1          | 101 | 49.5          | 134 | 48.9          |
| No                                      | 37  | 52.9          | 103 | 50.5          | 140 | 51.1          |

* sample size for each variable may not add up to overall sample size due to missing values

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of concepts presented, speaking slowly, and writing or printing out instructions were other techniques routinely used by at least 60% of family physicians and pediatricians.

Table 4 shows the physicians’ perceived effectiveness of the communications techniques. Using simple language was the technique that both family physicians and pediatricians thought was most effective (family physicians, 81.36%; pediatricians, 85.63%). Limiting the number of concepts, asking patients to repeat back information, writing/printing out instructions, and using a translator/interpreter were techniques that approximately 70% or more family physicians and pediatricians thought were effective. Additionally, about 70% of pediatricians also thought that drawing pictures/using printed illustrations and speaking slowly were effective techniques. Physicians were most unsure about the effectiveness of using videos/DVDs (family physicians, 77.36%; pediatricians, 75%). Over half of family physicians were also unsure about the effectiveness of using models and x-rays to explain health issues and referring patients to the internet or other sources of information, while over half of pediatricians were unsure about

| Communication Technique, Domain and Item | Sample Size, n | Percent Distribution (%) | Mean Score |
|----------------------------------------|----------------|--------------------------|------------|
| **Interpersonal Communication** b      |                | Always (5) | Most of the Time (4) | Occasionally (3) | Rarely (2) | Never (1) |
| Limit the number of concepts presented at a time (2 to 3) | 67             | 7.46       | 65.67       | 23.88       | 1.49       | 1.49       | 3.76 |
| Ask patients whether they would like a family member or friend accompany them in the discussion | 68             | 0.0        | 11.76       | 51.47       | 26.47      | 10.29      | 2.65 |
| Draw pictures or use printed illustrations | 69             | 4.35       | 27.54       | 47.83       | 14.49      | 5.80       | 3.10 |
| Speak slowly                           | 67             | 10.45      | 55.22       | 28.36       | 4.48       | 1.49       | 3.69 |
| Use simple language                    | 67             | 38.81      | 52.24       | 7.46        | 0.0        | 1.49       | 4.27 |
| **Teach-back Method** b                |                |            |              |              |            |            |      |
| Ask patients to repeat back information or instructions | 68             | 2.94       | 29.41       | 48.53       | 13.24      | 5.88       | 3.10 |
| Ask patients to tell you what they will do at home to follow instructions | 68             | 1.47       | 29.41       | 48.53       | 10.29      | 10.29      | 3.01 |
| **Patient-friendly materials and aids**|                |            |              |              |            |            |      |
| Use video or DVD                       | 68             | 0.0        | 0.0         | 8.82        | 23.53      | 67.65      | 1.41 |
| Hand out printed materials             | 68             | 22.06      | 33.82       | 32.35       | 8.82       | 2.94       | 3.63 |
| Use models or x-rays to explain        | 69             | 2.90       | 7.25        | 43.48       | 27.54      | 18.84      | 2.48 |
| **Assistance**                         |                |            |              |              |            |            |      |
| Underline key points on print material | 68             | 10.29      | 20.59       | 38.24       | 17.65      | 13.24      | 2.97 |
| Follow-up with patients by telephone to check understanding and adherence | 68             | 0.0        | 8.82        | 38.24       | 35.29      | 17.65      | 2.38 |
| Read instructions out loud             | 68             | 19.12      | 38.24       | 26.47       | 8.82       | 7.35       | 3.53 |
| Ask other office staff to follow up with patients for post-care instructions | 68             | 2.94       | 14.71       | 32.35       | 32.35      | 17.65      | 2.53 |
| Write or printout instructions         | 68             | 25.00      | 36.76       | 35.29       | 0.0        | 2.94       | 3.81 |
| **Patient-friendly practice**          |                |            |              |              |            |            |      |
| Refer patients to the Internet or other sources of information | 69             | 2.90       | 34.78       | 46.38       | 10.14      | 5.80       | 3.19 |
| Use a translator or interpreter when needed | 69             | 27.54      | 21.74       | 23.19       | 20.29      | 7.25       | 3.42 |

*Some groups of percentages do not add up to 100% due to rounding

a Mean score on a five-point Likert scale ranging from 5 (“always”) to 1 (“never”)

b Items in the “Interpersonal Communication” and “Teach-back Method” categories constitute the seven basic communication techniques
the effectiveness of asking their patients if they would like a family member to accompany them and referring patients to the internet or other sources of information.

**Variables associated with routine use of communications techniques**

Bivariate analysis of the routine use of communication techniques according to provider and practice characteristics are displayed in Tables 5 and 6. Family physicians that had taken a communications course outside of medical school used significantly more of the 17 recommended communications techniques on average than those who had not (7.71 vs. 5.50; P < 0.01). The mean number of 17 and seven basic techniques did not differ significantly by other provider or practice characteristics for family physicians.

For pediatricians, a significant association was observed between year of graduation and the mean number of techniques used. Pediatricians that graduated prior to 1980 or after 1999 reported using significantly more of the 17 and basic techniques on average than those who graduated between 1980 and 1999.

### Table 3. Percentage distribution of techniques used routinely by pediatricians and mean Likert scale scores. *

| Communication Technique, Domain and Item | Sample Size, n | Percent Distribution (%) | Mean a Score |
|-----------------------------------------|---------------|--------------------------|--------------|
| **Interpersonal Communication** b       |               |                          |              |
| Limit the number of concepts presented at a time (2 to 3) | 190           | 9.47 61.58 21.05 6.32 1.58 3.71 |
| Ask patients whether they would like a family member or friend to accompany them in the discussion | 194           | 1.55 6.19 26.29 32.99 32.99 2.10 |
| Draw pictures or use printed illustrations | 192           | 3.65 21.35 47.92 22.40 4.69 2.97 |
| Speak slowly | 192           | 14.06 59.38 21.35 3.13 2.08 3.80 |
| Use simple language | 194           | 32.99 60.31 4.64 1.03 1.03 4.23 |
| **Teach-back Method** b                 |               |                          |              |
| Ask patients to repeat back information or instructions | 192           | 3.13 24.48 43.23 23.44 5.73 2.96 |
| Ask patients to tell you what they will do at home to follow instructions | 192           | 7.29 20.31 32.81 29.69 9.90 2.85 |
| **Patient-friendly materials and aids** |               |                          |              |
| Use video or DVD | 193           | 0.0 2.07 5.70 18.13 74.09 1.36 |
| Hand out printed materials | 191           | 14.14 42.93 34.03 6.81 2.09 3.60 |
| Use models or x-rays to explain | 193           | 1.04 8.29 26.42 38.86 25.39 2.21 |
| **Assistance**                          |               |                          |              |
| Underline key points on print material | 192           | 4.69 34.90 28.13 19.79 12.50 2.99 |
| Follow-up with patients by telephone to check understanding and adherence | 194           | 2.58 9.28 43.81 26.29 18.04 2.52 |
| Read instructions out loud | 193           | 13.99 38.86 21.24 15.03 10.88 3.30 |
| Ask other office staff to follow up with patients for post-care instructions | 192           | 3.13 11.46 33.85 32.81 18.75 2.47 |
| Write or printout instructions | 193           | 10.88 50.78 31.09 5.70 1.55 3.64 |
| **Patient-friendly practice**           |               |                          |              |
| Refer patients to the Internet or other sources of information | 192           | 2.08 20.83 55.73 17.19 4.17 2.99 |
| Use a translator or interpreter when needed | 189           | 28.57 20.11 21.69 20.63 8.99 3.39 |

*Some groups of percentages do not add up to 100% due to rounding

a Mean score on a five-point Likert scale ranging from 5 ("always") to 1 ("never")

b Items in the "Interpersonal Communication" and "Teach-back Method" categories constitute the seven basic communication techniques

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graduating in other years \( (P < 0.05) \). Similar to family physicians, pediatricians that had taken a communications course outside of medical school used significantly more of the 17 total and seven basic communications techniques on average than those who had not (17 techniques: 6.96 vs. 5.84, \( P < 0.01 \); seven basic techniques: 3.67 vs. 2.83, \( P < 0.001 \)). Finally, a significantly greater mean number of techniques were observed in pediatricians that had assessed their office for user-friendliness than those who had not (\( P < 0.001 \)). All pediatrician practice characteristics showed a significant association with the mean number of both the 17 and the seven basic techniques used. A significantly higher mean score was observed as the percentage of pediatricians’ child patients on Medicaid increased (\( P < 0.05 \)). Additionally, pediatricians who practiced in settings other than group or solo practices (i.e.: public health, hospital, other) and those who had an occupation other than a private practitioner reported significantly greater mean use of the 17 total and the seven basic communication techniques.

Tables 7 and 8 present results from the ordinary least squares regression analysis with communication techniques as the dependent variable. The observed results were generally in-line with the associations observed in the bivariate regression analysis, with some additional information provided. As seen in the bivariate analysis, family physicians who had taken a communications course were more likely to use the 17 techniques than those who did not (\( P < 0.01 \)). Family physicians with less than 26% of their child patients on Medicaid were significantly less

| Communication Technique | Family Physicians | Pediatrics |
|-------------------------|------------------|------------|
|                         | \( n \) | Yes (%) | No (%) | Unsure (%) | \( n \) | Yes (%) | No (%) | Unsure (%) |
| **Interpersonal Communication \(^a\)** | | | | | | | |
| Limit the number of concepts presented at a time (2 to 3) | 58 | 74.14 | 0.0 | 25.86 | 160 | 75.63 | 1.88 | 22.50 |
| Ask patients whether they would like a family member or friend | 55 | 58.18 | 0.0 | 41.82 | 141 | 41.84 | 5.67 | 52.48 |
| Draw pictures or use printed illustrations | 58 | 58.62 | 1.72 | 39.66 | 154 | 71.43 | 0.65 | 27.92 |
| Speak slowly | 58 | 60.34 | 5.17 | 34.48 | 164 | 71.95 | 1.83 | 26.22 |
| Use simple language | 59 | 81.36 | 0.0 | 18.64 | 160 | 85.63 | 0.63 | 13.75 |
| **Teach-back Method \(^a\)** | | | | | | | |
| Ask patients to repeat back information or instructions | 56 | 73.21 | 3.57 | 23.21 | 157 | 68.79 | 3.82 | 27.39 |
| Ask patients to tell you what they will do at home to follow instructions | 55 | 61.82 | 0.0 | 38.18 | 152 | 59.21 | 1.97 | 38.82 |
| **Patient-friendly materials and aids** | | | | | | | |
| Use video or DVD | 53 | 15.09 | 7.55 | 77.36 | 136 | 22.79 | 2.21 | 75.00 |
| Hand out printed materials | 59 | 57.63 | 3.39 | 39.98 | 160 | 59.38 | 6.88 | 33.75 |
| Use models or x-rays to explain | 55 | 41.82 | 3.64 | 54.55 | 148 | 52.70 | 2.03 | 45.27 |
| **Assistance** | | | | | | | |
| Underline key points on print material | 58 | 48.28 | 3.45 | 48.28 | 153 | 53.59 | 3.92 | 42.48 |
| Follow-up with patients by telephone to check understanding and adherence | 59 | 59.32 | 1.69 | 38.98 | 150 | 68.67 | 2.00 | 29.33 |
| Read instructions out loud | 58 | 67.24 | 0.0 | 32.76 | 157 | 52.23 | 5.73 | 42.04 |
| Ask other office staff to follow up with patients for post-care instructions | 57 | 49.12 | 1.75 | 49.12 | 149 | 49.66 | 4.70 | 45.64 |
| Write or printout instructions | 59 | 69.49 | 0.0 | 30.51 | 160 | 77.50 | 1.25 | 21.25 |
| **Patient-friendly practice** | | | | | | | |
| Refer patients to the Internet or other sources of information | 58 | 43.10 | 5.17 | 51.72 | 156 | 40.38 | 5.77 | 53.85 |
| Use a translator or interpreter when needed | 57 | 73.68 | 0.0 | 26.32 | 148 | 77.03 | 0.68 | 22.30 |

*Some groups of percentages do not add up to 100% due to rounding

\(^a\) Items in the “Interpersonal Communication” and Teach-back Method” categories constitute the seven basic communication techniques

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likely to use the 17 techniques and the seven basic techniques compared to those providers who had greater than 75% of their patient population on Medicaid (P < 0.05).

In agreement with what was observed in the bivariate analysis, pediatricians who had taken a communications course or assessed their office for user-friendliness were more likely to use the 17 techniques and the seven basic techniques than those providers who had not. Likewise, pediatricians with less than 26% of their child patients on Medicaid were less likely to use the 17 techniques and seven basic techniques than those who had greater than 75% of their patient population on Medicaid.

### Table 5. Bivariate analysis of predictor variables and mean communication techniques used routinely by family physicians.

| Variable                              | Sample size (Number, %) a | 17 communication techniques (n = 79) | Seven basic communication techniques (n = 79) |
|---------------------------------------|---------------------------|-------------------------------------|---------------------------------------------|
|                                       |                           | Mean number of techniques used      | Analysis of variance (P-value)              | Mean number of techniques used | Analysis of variance (P-value) |
| Year of Graduation                    |                           |                                     |                                             |                             |                             |
| 1979 or prior                         | 8 (12.9)                  | 5.62                                | 0.43                                        | 3.25                         | 0.91                         |
| 1980–1989                             | 22 (35.5)                 | 6.54                                |                                              | 3.22                         |                              |
| 1990–1999                             | 17 (27.4)                 | 5.64                                |                                              | 3.11                         |                              |
| 2000-Onward                           | 15 (24.2)                 | 7.46                                |                                              | 3.53                         |                              |
| Race/Ethnicity                        |                           |                                     |                                             |                             |                             |
| White                                 | 48 (72.7)                 | 6.14                                | 0.10                                        | 3.35                         | 0.72                         |
| Black                                 | 4 (6.1)                   | 6.25                                |                                              | 2.75                         |                              |
| All Other                             | 14 (21.2)                 | 8.28                                |                                              | 3.50                         |                              |
| Gender                                |                           |                                     |                                             |                             |                             |
| Female                                | 43 (64.2)                 | 6.95                                | 0.32                                        | 3.37                         | 0.99                         |
| Male                                  | 24 (35.8)                 | 6.12                                |                                              | 3.37                         |                              |
| Ever taken a communications course    |                           |                                     | 0.002 *                                     |                             | 0.16                         |
| Yes                                   | 35 (52.2)                 | 7.71                                |                                              | 3.62                         |                              |
| No                                    | 32 (47.8)                 | 5.50                                |                                              | 3.09                         |                              |
| Assessed office for user friendliness |                           |                                     | 0.43                                        | 0.98                         |                              |
| Yes                                   | 32 (47.8)                 | 6.78                                |                                              | 3.21                         |                              |
| No                                    | 35 (52.2)                 | 6.14                                |                                              | 3.22                         |                              |
| Percentage of pediatric patients with Medicaid |       |                                     | 0.17                                        | 0.13                         |                              |
| 0 to 25%                              | 37 (60.7)                 | 5.89                                |                                              | 3.00                         |                              |
| 26 to 50%                             | 9 (14.8)                  | 7.22                                |                                              | 3.56                         |                              |
| 51% to 75%                            | 5 (8.2)                   | 7.20                                |                                              | 4.00                         |                              |
| 76% to 100%                           | 10 (16.4)                 | 8.20                                |                                              | 4.20                         |                              |
| Practice setting                      |                           |                                     | 0.67                                        | 0.69                         |                              |
| Solo practice                         | 12 (17.7)                 | 6.08                                |                                              | 3.67                         |                              |
| Group practice                        | 33 (48.5)                 | 6.39                                |                                              | 3.30                         |                              |
| All other                             | 23 (33.8)                 | 7.04                                |                                              | 3.17                         |                              |
| Primary occupation                    |                           |                                     | 0.45                                        | 0.39                         |                              |
| Private practice                      | 41 (61.2)                 | 6.36                                |                                              | 3.46                         |                              |
| All other                             | 26 (38.8)                 | 7.00                                |                                              | 3.12                         |                              |

a The sample size for each variable may not be equal to the overall sample size because of missing values

*P < 0.01

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Table 6. Bivariate analysis of predictor variables and mean communication techniques used routinely by pediatricians.

| Variable                          | Sample size (Number, %) a | 17 communication techniques (n = 215) | Seven basic communication techniques (n = 215) |
|-----------------------------------|---------------------------|--------------------------------------|-----------------------------------------------|
|                                   |                           | Mean number of techniques used        | Analysis of variance (P-value)                | Mean number of techniques used        | Analysis of variance (P-value)                |
| Year of Graduation                |                           |                                      |                                               |                                      |                                               |
| 1979 or prior                     | 53 (29.8)                 | 7.15                                 | 3.66                                          | 0.04*                                | 0.03*                                          |
| 1980–1989                         | 47 (26.4)                 | 5.91                                 | 2.91                                          |                                      |                                               |
| 1990–1999                         | 42 (23.6)                 | 5.62                                 | 2.93                                          |                                      |                                               |
| 2000-Onward                       | 36 (20.2)                 | 6.92                                 | 3.42                                          |                                      |                                               |
| Race/Ethnicity                    |                           |                                      |                                               |                                      |                                               |
| White                             | 143 (76.1)                | 6.25                                 | 3.14                                          |                                      |                                               |
| Black                             | 17 (9.0)                  | 7.59                                 | 3.59                                          |                                      |                                               |
| All Other                         | 28 (14.9)                 | 6.07                                 | 3.36                                          |                                      |                                               |
| Gender                            |                           |                                      |                                               |                                      |                                               |
| Female                            | 120 (62.2)                | 6.45                                 | 3.22                                          |                                      |                                               |
| Male                              | 73 (37.8)                 | 6.21                                 | 3.22                                          |                                      |                                               |
| Ever taken a communications course|                           |                                      |                                               | 0.01**                                | <.0001*                                         |
| Yes                               | 90 (46.6)                 | 6.96                                 | 3.67                                          |                                      |                                               |
| No                                | 103 (53.4)                | 5.84                                 | 2.83                                          |                                      |                                               |
| Assessed office for user friendliness |                       |                                      |                                               | 0.001***                              | 0.001***                                       |
| Yes                               | 96 (50.0)                 | 7.11                                 | 3.60                                          |                                      |                                               |
| No                                | 96 (50.0)                 | 5.69                                 | 2.86                                          |                                      |                                               |
| Percentage of pediatric patients with Medicaid |       |                                      |                                               | 0.04*                                 | 0.01*                                         |
| 0 to 25%                          | 96 (52.2)                 | 6.03                                 | 3.05                                          |                                      |                                               |
| 26 to 50%                         | 37 (20.1)                 | 6.41                                 | 3.16                                          |                                      |                                               |
| 51% to 75%                        | 21 (11.4)                 | 6.57                                 | 3.33                                          |                                      |                                               |
| 76% to 100%                       | 30 (16.3)                 | 7.80                                 | 4.03                                          |                                      |                                               |
| Practice setting                  |                           |                                      |                                               | 0.001***                              | 0.01**                                         |
| Solo practice                     | 22 (11.5)                 | 5.09                                 | 2.77                                          |                                      |                                               |
| Group practice                    | 120 (62.8)                | 6.10                                 | 3.10                                          |                                      |                                               |
| All other                         | 49 (25.7)                 | 7.65                                 | 3.76                                          |                                      |                                               |
| Primary occupation                |                           |                                      |                                               | 0.002**                               | 0.001***                                        |
| Private practice                  | 137 (71.4)                | 5.94                                 | 3.00                                          |                                      |                                               |
| All other                         | 55 (28.6)                 | 7.42                                 | 3.76                                          |                                      |                                               |

a The sample size for each variable may not be equal to the overall sample size because of missing values

*P < 0.05

**P ≤ 0.01

***P ≤ 0.001

Population on Medicaid (P ≤ 0.01 and P ≤ 0.001, respectively). Pediatricians in practice settings other than solo or group practices were more likely to use the recommended communications techniques than their counterparts, as were pediatricians who had an occupation other than a private practitioner.
Discussion

Routine use of communication techniques

The AMA and health literacy experts have recommended 17 techniques that physicians can use to improve communication with their patients [11]. Similar to previous studies, this study found that many of these communication techniques were under-utilized by Maryland physicians [11,15,16]. Family physicians and pediatricians make up a large majority of primary care providers in the U.S., and although slight differences were observed, overall the two groups of physicians in this study were similar in their low, routine use of many of the recommended communication techniques.

The use of simple language was the technique that both groups of physicians in this study used most routinely and felt was most effective. Using simple language and avoiding jargon has
been previously demonstrated to have a high utility amongst physicians [11]. While it is encouraging to see that many physicians focus on using simple language, previous research has also found that physicians tend to underestimate their use of jargon during patient encounters even if unknowingly [16]. This study relied on self-report on use rather than direct observation, so there was no way to objectively determine how ‘effectively’ these techniques were delivered.

Future studies should consider not only evaluating the use of these techniques, but whether these communication techniques were used effectively.

A concerning finding was that only approximately 30% of both family physicians and pediatricians in this study routinely used the ‘teach-back methods’ of asking patients to repeat back information and asking patients to tell them what they will do at home to follow instructions. This finding is important because ‘teach-back methods’, which assess patients’ understanding of information received by having them repeat it, are strategies that the Institute for Healthcare

Table 8. Ordinary least squares regression of predictor variables on number of communication techniques used by pediatricians.

| Variable                          | 17 communication techniques (n = 215) | Seven basic communication techniques (n = 215) |
|-----------------------------------|--------------------------------------|-----------------------------------------------|
|                                   | Coefficient (Standard Error)         | P-value                                      | Coefficient (Standard Error) | P-value |
| Year of Graduation                |                                      |                                               |                              |
| 1979 or prior                     | 0.23 (0.64)                          | 0.72                                          | 0.24 (0.31)                  | 0.44    |
| 1980–1989                         | -1.00 (0.66)                         | 0.13                                          | -0.50 (0.32)                 | 0.12    |
| 1990–1999                         | -1.30 (0.68)                         | 0.06                                          | -0.49 (0.33)                 | 0.14    |
| 2000 or later (Ref)               | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Race/Ethnicity                    |                                      |                                               |                              |
| Black                             | 1.34 (0.77)                          | 0.09                                          | 0.45 (0.38)                  | 0.25    |
| All Other                         | -0.18 (0.62)                         | 0.77                                          | 0.22 (0.31)                  | 0.48    |
| White (Ref)                       | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Gender                            |                                      |                                               |                              |
| Female                            | 0.25 (0.45)                          | 0.57                                          | -0.002 (0.22)                | 0.99    |
| Male (Ref)                        | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Ever taken a communications course|                                      |                                               |                              |
| Yes                               | 1.11 (0.43)                          | 0.01**                                        | 0.84 (0.21)                  | <.0001***|
| No (Ref)                          | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Assessed office for user friendliness|                                   |                                               |                              |
| Yes                               | 1.43 (0.43)                          | 0.001***                                      | 0.74 (0.21)                  | 0.001***|
| No (Ref)                          | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Percentage of pediatric patients with Medicaid | |                                               |                              |
| 0 to 25%                          | -1.77 (0.62)                         | 0.01**                                        | -0.98 (0.30)                 | 0.001***|
| 26 to 50%                         | -1.39 (0.73)                         | 0.06                                          | -0.87 (0.35)                 | 0.01*   |
| 51% to 75%                        | -1.23 (0.84)                         | 0.15                                          | -0.70 (0.41)                 | 0.09    |
| 76% to 100% (Ref)                 | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Practice setting                  |                                      |                                               |                              |
| Group practice                    | 1.01 (0.67)                          | 0.13                                          | 0.33 (0.34)                  | 0.33    |
| All other                         | 2.56 (0.74)                          | 0.001***                                      | 0.98 (0.37)                  | 0.01**  |
| Solo practice (Ref)               | 1.00                                 | N/A                                           | 1.00                         | N/A     |
| Primary occupation                |                                      |                                               |                              |
| All other                         | 1.48 (0.47)                          | 0.002**                                       | 0.76 (0.23)                  | 0.001***|
| Private practice (Ref)            | 1.00                                 | N/A                                           | 1.00                         | N/A     |

**P<0.01
***P<0.001

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Improvement and health literacy experts recommend all healthcare providers use [22]. The low routine use comes in spite of the fact that over 60% of physicians in the sample felt that these teach-back techniques were effective. Additionally, many physicians were unsure of the effectiveness of several of the recommended techniques, which could suggest that physicians are unaware of valuable skills that could enhance their communication. Taken together, these findings suggest that provider communication skills should receive a higher priority in the medical and residency training process, including continuing medical education course offerings; so that physicians have the opportunity to more regularly utilize and refine these skills over the course of their careers, thereby making them more likely to use them in practice settings.

Factors affecting use of communication techniques

Both pediatricians and family physicians in this study who had taken a communications course used more communications techniques than their counterparts. This finding provides additional support for increasing physicians’ exposure to communications training as a way to increase their utilization of communication techniques.

The physicians with a high percentage of patients on Medicaid were also more likely to use the recommended communications techniques (total and seven basic) than those physicians with a low percentage of patients on Medicaid. Additionally, pediatricians who served in non-private practice settings (i.e. public health centers, hospitals) were more likely to use recommended communication techniques than their counterparts. This is a positive finding given that health literacy has been observed to be lower amongst underserved individuals [3]. Physicians may naturally place a greater emphasis on communicating effectively with underserved populations, however, it is important for physicians to realize how pervasive low health literacy is for many different segments of the population [3]. If physicians were more aware of the preponderance of low health literacy in the population and its effects on patient outcomes, they may be more motivated to utilize effective communication techniques for all patients as a regular part of their practice. As part of communications training, physicians should also be made aware of the prevalence of low health literacy in the population and its negative effects on health outcomes [5–9].

Study limitations

There are important limitations in this study. Although the 20% response rate in this study is typical of many mailed surveys to healthcare providers [23], it likely introduced some selection bias into the study, where participating physicians’ responses may not reflect the views of non-responders. Providers who participated in this study were likely to be more interested in the study topic than those who did not, and information on the characteristics of non-responders could not be obtained. Another limitation of this study was the use of a self-reported questionnaire to determine physicians’ communication practices as opposed to using direct observation to validate communication. Although physicians may over-estimate their use of recommended communications techniques, using a validated survey allowed for greater study efficiency and the analysis of a larger sample size. Despite the limitations, this study provides strong baseline data that can be used to develop and implement educational interventions and policies in Maryland aimed at enhancing provider communication.

Conclusions

The purpose of this study was to determine the use of recommended communication techniques by family physicians and pediatricians in Maryland. Overall, and in agreement with previous studies, the use of communication techniques by physicians in the study sample was low [11,15,16], however, those physicians with additional communications training (i.e. those who
had taken a communications course) used more techniques than those who had not. The ben-
fits of effective communication have been well documented [12–14]. Additionally, because of
the wide range of patients that family physicians and pediatricians see, these providers have the
opportunity to communicate and promote other aspects of their patients’ health such as oral
health, making their effective communication especially important [18,19].

One way to help achieve the Healthy People 2020 objective of increasing satisfactory commu-
nication by providers, would be to increase the priority of communications training in the medi-
cal education process [2]. Potential ways to incorporate more communications training could
include: having trained faculty provide more observation and feedback of medical students’ com-
munication with patients during their clinical years (years 3 and 4); having a greater focus on the
Accreditation Council for Graduate Medical Education (ACGME)-required interpersonal and
communication competency during residency training through the use of patient and faculty
feedback; and incorporating required continuing medical education in communication as part of
the maintenance of certification [2]. As the focus on patient-centered care in the U.S. increases,
the need for effective provider communication will become even more essential. Incorporating
this skill into the life-long learning process of physicians and other health care providers will
help to ensure that they are properly trained in this important aspect of patient care.

Supporting Information

S1 Document. Physician Survey. This is a modified questionnaire that was used to survey the
Family physicians and Pediatricians in this study on their communication practices. This sur-
vey also captures Provider and Practice demographic information. Because this survey was
used for a larger study that included dental caries prevention, only the questions pertaining to
provider communication are included (the questions related to dental caries prevention have
been removed). Each question corresponds to a variable in the databases. Both Family physi-
cians and Pediatricians used the exact same questionnaire in this study.
(DOCX)

S1 Dataset. Family Physicians Dataset. This is the dataset that contains the data collected
from Family physicians using the Physician Survey. The variables in the dataset begin with a Q
followed by a number, which corresponds to the specific question in the Physician survey.
Some questions contain sub-questions, and these corresponding variables contain an under-
score after the question number followed by a number/letter to denote the sub-question. Fur-
thermore, for Question 12 on the Physician Survey, participants answered a) How often they
use communication techniques, and b) Do they think it is effective, which are denoted by an
“a” or “b” in the variable. The values of the variables in the dataset correspond to the numbers
listed next to the answer choices on the Physician Survey.
(XLS)

S2 Dataset. Pediatricians Dataset. This is the dataset that contains the data collected from
Pediatrician using the Physician Survey. The variables in the dataset begin with a Q followed
by a number, which corresponds to the specific question in the Physician Survey. Some ques-
tions contain sub-questions, and these corresponding variables contain an underscore after
the question number followed by a number/letter to denote the sub-question. Furthermore,
for Question 12 on the Physician Survey, participants answered a) How often they use com-
munication techniques, and b) Do they think it is effective, which are denoted by an “a” or
“b” in the variable. The values of the variables in the dataset correspond to the numbers listed
next to the answer choices on the Physician Survey.
(XLS)
Author Contributions
Conceived and designed the experiments: AMH DVK. Performed the experiments: AMH DVK. Analyzed the data: DW MQW. Contributed reagents/materials/analysis tools: MQW. Wrote the paper: DW AMH.

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