Picosecond wavelength conversion using semiconductor optical amplifier integrated with microring resonator notch filter

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Abstract In this paper, we analyse the picosecond wavelength conversion using semiconductor optical amplifier (SOA) with a novel technique. For an accurate and precise modelling, all the nonlinear effects that are relevant to picosecond and subpicosecond pulse regime, such as, self-phase modulation, nonlinear Kerr effect, spectral hole burning, carrier heating, carrier depletion, two-photon absorption and group velocity dispersion are taken into account in the SOA model. We integrate the structure with a microring resonator notch filter to eliminate the unwanted pump and probe signals at the output of the system. It shows that with the three coupled microring resonators, output four-wave mixing (FWM) signal generated by the SOA can be filtered accurately. Moreover, our results demonstrate that the microring resonator can be used for modifying the shape and spectrum of the output FWM signal. Simulation results show that this new technique enhances the output time bandwidth product.

Keywords Semiconductor optical amplifier · Microring resonators · Nonlinear effects · Four-wave mixing · Pulse shaping

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1 Introduction

All optical wavelength converters are the important key elements in ultrafast optical communication systems (Meuer 2011; Connelly 2002). Among different schemes for high-speed wavelength conversion, using wave-mixing technique for generating four-wave mixing (FWM) signals in semiconductor optical amplifiers (SOAs) is important and a promising choice due to its inherent ultrafast nonlinearities (Das et al. 2000).

For the input pulsewidths of picoseconds, besides self-phase modulation (SPM), which has the dominant effects on propagated signal, other nonlinear phenomena, such as, ultrafast nonlinear refraction index (UNRI), spectral hole burning (SHB), carrier heating (CH), carrier depletion (CD), two photon absorption (TPA) and nonlinear Kerr effects change the characteristics of SOA’s output signal shape and spectrum (Razaghi et al. 2009a). Therefore, all of these nonlinearities are included in our model. Based on the simulation time and accuracy of results, finite difference beam propagation method (FD-BPM) is used for modelling of the nonlinear wave propagation in the SOA, because of short convergence time and excellent accuracy of the results (Das et al. 2000).

In this paper, we propose a new technique to investigate (extract) the picosecond wavelength conversion using the SOA with microring resonator notch filters. In this new technique, high power input pump and probe signals are mixed together and the low power FWM and idler signals are generated. These signals have central frequencies close together and coexist at the output of the SOA. For the separation of this output FWM signal from the other signals, it requires a narrow band filter with a good side band rejection. For this purpose, we propose a microring based notch filter integrated with the SOA.

A simple schematic diagram of the proposed system is shown in Fig. 1. The wavelength converter consists of an SOA and an integrated passive microring resonator including the three microrings. Coupling between the microrings and straight waveguides is completed through a gap space between the waveguides. The coupling coefficients can be selected by designing (or controlling) the gap spaces. This structure can filter the output FWM signal of the SOA and suppress the high power pump and probe energy at the output of the system.

Up to now, there are different methods reported to reshape the output signals (Razaghi et al. 2009a,b). Based on the proposed scheme, it has also been shown that the microring resonator can reshape the FWM signal by reducing the side effects of SOA’s nonlinearities. By this technique, output signal becomes more symmetric in comparison with the SOA’s output FWM signal.

This paper is organized as follow: Sect. 1 is introduction, Sect. 2 is theory of the model, the equations which govern the dynamics of the amplification process, Sect. 3 is simulation results and discussion and finally the conclusions are in Sect. 4.
2 Theory of the model

To include all the important nonlinear effects in the SOA, the modified nonlinear Schrödinger equation (MNLSE) is used for this modeling (Das et al. 2000):

\[
\frac{\partial}{\partial z} - \frac{i}{2} \beta_2 \frac{\partial^2}{\partial \tau^2} + \gamma \left( \frac{\gamma_2 p}{2} + i b_2 \right) |V(z, \tau)|^2 V(z, \tau)
\]

\[= \left\{ \frac{1}{2} g_N(\tau) \left[ \frac{1}{f(\tau)} + i \alpha_N \right] + \frac{1}{2} \Delta g_T(\tau) (1 + i \alpha_T) \right. \]

\[- i \frac{1}{2} \frac{\partial g(\tau, \omega)}{\partial \omega} \left| \frac{\partial}{\partial \tau} - \frac{1}{4} \frac{\partial^2 g(\tau, \omega)}{\partial \omega^2} \right|_{\omega_0} \frac{\partial^2}{\partial \tau^2} \right\} V(z, \tau)
\]

where, \( V(z, \tau) \) is the complex envelope function of an optical pulse. The definitions of some parameters in the Eq. (1) are as follows:

\[g_N(\tau) = g_0 \exp \left[ - \frac{1}{E_{sat}} \int_{-\infty}^{+\infty} u(s) |V(\tau - s)|^2 ds \right] \quad (2)\]

\[f(\tau) = 1 + \frac{1}{\Gamma_{shb} P_{shb}} \int_{-\infty}^{+\infty} u(s) e^{-s/\Gamma_{shb}} |V(\tau - s)|^2 ds \quad (3)\]

\[\Delta g_T(\tau) = -h_1 \int_{-\infty}^{+\infty} u(s) e^{-s/\tau_{ch}} \times (1 - e^{-s/\tau_{shb}}) |V(\tau - s)|^2 ds \quad (4)\]

\[\left. \frac{\partial g(\tau, \omega)}{\partial \omega} \right|_{\omega_0} = A_1 + B_1 [g_0 - g(\tau, \omega_0)] \quad (5)\]

\[\left. \frac{\partial^2 g(\tau, \omega)}{\partial \omega^2} \right|_{\omega_0} = A_2 + B_2 [g_0 - g(\tau, \omega_0)] \quad (6)\]

\[g(\tau, \omega_0) = g_N(\tau, \omega_0) / f(\tau) + \Delta g_T(\tau, \omega_0) \quad (7)\]

where, \( \tau = t - z/v_g \) is the frame of local time which propagates with the group velocity \( v_g \) of the optical pulse at central angular frequency \( \omega_0 = 2 \pi f_0 \).

The slowly varying envelope function approximation is used in Eq. (1), where the temporal change of the complex envelope function is very slow compared with the cycle of an optical field. \( |V(z, \tau)|^2 \) represents the optical power of an optical pulse, \( \beta_2 \) is the group velocity dispersion (GVD), \( \gamma \) is linear loss, \( \gamma_2 p \) is the two-photon absorption coefficient, \( b_2 = \omega_0 n_2 / c A \) is the instantaneous self-phase modulation term due to the Kerr effect, \( n_2 \) is an instantaneous nonlinear refractive index, \( c \) is the velocity of light in vacuum, \( A = w d / \Gamma \) is the effective area, \( d \) and \( w \) are the thickness and width of the active region, respectively, and \( \Gamma \) is the confinement factor.

In addition, \( g_N(\tau) \) is the saturated gain due to carrier depletion, \( g_0 \) is the linear gain, \( E_{sat} \) is the saturation energy, \( \tau_c \) is the carrier lifetime, \( f(\tau) \) is the spectral hole-burning function, \( P_{shb} \) is the spectral hole-burning saturation power, \( \tau_{shb} \) is the spectral hole-burning relaxation time, \( \alpha_N \) and \( \alpha_T \) are the linewidth enhancement factor associated with the gain changes.
due to the carrier depletion and carrier heating, respectively. $\Delta g_T(\tau)$ is the resulting gain change due to the carrier heating and two-photon absorption, $u(s)$ is the unit step function, $\tau_{ch}$ is the carrier heating relaxation time, $h_1$ is the contribution of stimulated emission and free-carrier absorption to the carrier heating gain reduction, and $h_2$ is the contribution of two-photon absorption. Finally, $A_1$ and $A_2$ are the slope and the curvature of the linear gain at $\omega_0$, respectively, while $B_1$ and $B_2$ are constants describing the changes in these quantities with saturation (Das et al. 2000).

For solving Eq. (1), the SOA cavity is divided into M equal sections. By using a central-difference approximation in time domain and trapezoidal integration over spatial section, and applying an iterative procedure, a set of MNLSEs are solved with high precision in few seconds (Das et al. 2000).

Wave propagation equation in microrings is simulated utilizing 1D finite-difference time domain method (FDTD). This equation in the simplest form is (Gandomkar and Ahmadi 2011)

$$\left( \frac{1}{v_g} \frac{\partial}{\partial t} + \frac{\partial}{\partial z} \right) V(z, t) = 0$$

where, $v_g$ is the group velocity and its value is $80 \mu$m/ps. Periphery of the microrings is split at the coupling points, as shown in Fig. 2, and the wave propagation is solved in each half ring separately. At the coupling points, each traveling field is divided into two fractions as explained by arrows in Fig. 2. One part is coupled into the other waveguide with the rational coefficient $i \kappa'$, where $i$ is the complex number and shows $\pi/2$ phase shift during the coupling and $\kappa'$ is the coupling coefficient. The other part of the field remains in the waveguide with the rational coefficient $\sigma'$ so that always $\kappa'^2 + \sigma'^2 = 1$ (Gandomkar and Ahmadi 2011; Little et al. 1997). Here, $\sigma'$ is transmission coefficient. On the other hand, input field of each half ring consists of a transmitted part and a coupled part. After traveling half of the periphery of the ring (length between the coupling points), an additional phase $2\pi^2 n_{eff} R/\lambda_0$ is added to $V(z, t)$ where $\lambda_0$ is the central wavelength of optical signal pulse.

3 Results and discussion

In simulation, we used the parameters of a bulk SOA (AlGaAs/GaAs, double heterostructure) with a wavelength of 0.86 $\mu$m. The parameters are listed in Table 1 (Razaghi et al. 2009a). The shape of the input signal is sech$^2$ and it is Fourier transform limited. The pulse duration of input signal is varied from 5 to 20 ps. The input probe energies are varied 0.2–1.6 pJ. The input pump pulse energy is 10 times stronger than the input probe pulse energy. The detuning frequency between the input pump and probe pulse is 1 THz. The applicability and precision of the SOA model have been validated through comparison with the earlier published results (Razaghi et al. 2009a).

The coupling coefficients between the waveguides are selected to realize a maximum flat bandpass filter. This means that it satisfies the condition $\kappa_1^2 = \kappa_2^2 = 0.125 \kappa^4$ (Little et al. 1997).
Table 1  List of parameters used in simulation Razaghi et al. (2009a)

| Symbol | Quantity | Value |
|--------|----------|-------|
| L      | SOA length | 500 µm |
| $A_e$  | Effective area | 5 µm² |
| $f_o$  | Center frequency of the pulse | 349 THz |
| $\beta_2$ | Group velocity dispersion | 0.05 ps² cm⁻¹ |
| $E_{sat}$ | Saturation energy | 80 pJ |
| $\alpha_N$ | Linewidth enhancement factor due to the carrier depletion | 3.1 |
| $\alpha_r$ | Linewidth enhancement factor due to the carrier heating | 2.0 |
| $h_1$ | The contribution of stimulated emission and free carrier absorption to the carrier heating gain reduction | 0.13 cm⁻¹ pJ⁻¹ |
| $h_2$ | The contribution of two photon absorption | 126 fs cm⁻¹ pJ⁻² |
| $\tau_s$ | Carrier lifetime | 200 ps |
| $\tau_{ch}$ | Carrier heating relaxation time | 700 fs |
| $\tau_{shb}$ | Spectral -hole burning relaxation time | 60 fs |
| $P_{shb}$ | Spectral -hole burning relaxation power | 28.3 W |
| $\gamma$ | Linear loss | 11.5 cm⁻¹ |
| $n_2$ | Instantaneous nonlinear Kerr effect | −0.70 cm² TW⁻¹ |
| $\gamma_{2p}$ | Two photon absorption coefficient | 1.1 cm⁻¹ W⁻¹ |
| $A_1$ | Parameters describing second order Taylor expansion of the dynamically gain spectrum | 0.15 fs µm⁻¹ |
| $A_2$ | Parameters describing second order Taylor expansion of the dynamically gain spectrum | −80 fs |
| $B_1$ | | −60 fs² µm⁻¹ |
| $B_2$ | | 0 fs² |

1997) where, $\kappa_1$ and $\kappa_2$ are the coupling coefficients between the two microrings and $\kappa$ is the coupling coefficient between each straight waveguide and its nearby microring. Here, $\kappa = 0.3$ [where, it is approximately unchanged in our filtering window (Hagness 1998)] and radii of microrings are $R = 2.5 \mu m$. The effective refractive index of the ring waveguide is $n_{eff} = 3$.

The plot as shown in Fig. 3, compares the ability of single, double and triple microring structures in extraction of the FWM signal from the SOA output. Here, we can see that the single and double microring structures cannot make a sufficient out-of-band rejection and the FWM signal cannot be filtered effectively. Therefore, to filter out all of the signals except the FWM signal, three microrings are cascaded (as shown in Fig. 1). This provides the required out-of-band signal rejection (80 dB reduction of output pump signal) to eliminate the amplified pump energy from the output of the SOA.

The output FWM signal spectra of both the SOA and microring are shown in Fig. 4, for the input probe signal energy of 1.6 pJ and pulsewidth of 5 ps. As it is depicted, the microring’s output FWM signal spectral width is decreased, because of the narrow band filter imposed by the microring structure. Besides, the oscillatory phenomena, which are due to SOA nonlinearities, are removed from the FWM spectrum by this technique. As a result, the output FWM signal shape and spectrum of the microring are more symmetric in comparison with SOA’s output FWM signal.
Figure 3 shows the output FWM signal shape of the SOA and microring corresponding to the Fig. 4. Based on these results, it is clear that the microring can perfectly filter the FWM signal. To compare the results of a ring resonator notch filter with SOA's FWM signal, we filtered the output spectrum of Fig. 4 from $-500$ GHz to $+500$ GHz of the FWM signal’s central frequency (+1 THZ) and performed the inverse Fourier transform of the respective components. As it is illustrated, the microring structure suppresses the SOA’s nonlinearities and makes the FWM signal more symmetric. In addition, the time bandwidth product (TBP) of the SOA’s output FWM signal decreases from 0.5 to 0.45 in microring’s output FWM signal.

Figure 6 depicts the output spectral width of both the FWM signal of SOA and the microring for different input pulsewidths and energies. As the microring notch filter’s bandwidth is 50 GHz, the FWM signal spectrum is compressed to more than half of SOA’s output FWM signal for typical cases. This improves the TBP of the filtered FWM signal. For signals
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Fig. 5 Temporal output signal power of SOA and microring versus normalized time characteristics. The time scale is normalized to the pulsewidth of each corresponding signal.

Fig. 6 Output signal spectral width of SOA's and microring's versus the input probe energy characteristics for different input pulsewidths.

having larger pulsewidths, taking into account that signal frequency spectral width is reduced and microring filter spectral width remains unchanged, the effect of microring on spectral characteristics of FWM signal is decreased, although the filtering mechanism works perfectly.

The output FWM signal peak shift and output FWM signal energy of SOA and the microring for different input pulsewidths and energies are shown in Fig. 7. Based on the simulation results, the output FWM signal's peak shift (Fig. 7a) is decreased by the proposed new scheme. As it is shown in Fig. 4, the SOA’s output FWM signal spectrum is distorted due to the nonlinearities of SOA’s (i.e., SOA’s medium nonlinearities). Therefore, using the microring notch filter the output FWM signal power is reduced as shown in Fig. 7b. Consequently, the effect of nonlinearities due to the high energy input pulses can be decreased in our new...
scheme and the microring’s output becomes more symmetric. It should be noted that for shorter input probe signals (that has the broader/wider spectrum), the effect of microring filter on the output FWM signal energy becomes more noticeable. But in this case, the SOA’s output FWM signal is affected by not only the SPM effect, but also by the fast process of nonlinear phenomena (Das et al. 2000; Razaghi et al. 2009a). Therefore, although the FWM output signal power is suppressed considerably, the influence of the microring filter on the output FWM signal symmetry becomes negligible.

4 Conclusion

We have numerically modelled the picosecond wavelength conversion using SOA integrated with microring notch filter. We used three cascaded microrings to provide the required quality...
factor and the sideband rejection to separate the FWM signal from high power pump and probe signals at very close frequencies. The simulation result has shown that the microring resonator, in addition to filtering of the FWM signal, enhances its temporal signal shape symmetry. It has also shown that the output FWM signal spectrum of the microring is more symmetric in comparison with the output FWM signal of SOA. The microring structure suppressed the SOA’s nonlinearities and the FWM signal became more symmetric. From the simulated results, we observed that the microring is useful and it can filter the FWM signal perfectly. In addition, the TBP of the SOA’s output FWM signal decreases from 0.5 to 0.45 in microring’s output FWM signal. Finally, we conclude that the microring has advantages than other methods and it leads to the compression of FWM signal spectral widths.

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