Rapid (<5 min) Identification of Pathogen in Human Blood by Electrokinetic Concentration and Surface-Enhanced Raman Spectroscopy

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This study reports a novel microfluidic platform for rapid and long-ranged concentration of rare-pathogen from human blood for subsequent on-chip surface-enhanced Raman spectroscopy (SERS) identification/discrimination of bacteria based on their detected fingerprints. Using a hybrid electrokinetic mechanism, bacteria can be concentrated at the stagnation area on the SERS-active roughened electrode, while blood cells were excluded away from this region at the center of concentric circular electrodes. This electrokinetic approach performs isolation and concentration of bacteria in about three minutes; the density factor is increased approximately a thousand fold in a local area of 5000 mm² from a low bacteria concentration of 5×10³ CFU/ml. Besides, three genera of bacteria, S. aureus, E. coli, and P. aeruginosa that are found in most of the isolated infections in bacteremia were successfully identified in less than one minute on-chip without the use of any antibody/chemical immobilization and reaction processes.

Rapid separation, concentration, and detection of pathogens in clinical diagnosis of bacteremia and sepsis infections have become increasingly important. Conventional bacteria identification assays typically require several hours for DNA amplification and hybridization, hours for antibody-antigen reaction, or even days for bacteria culture. Raman spectroscopy is attractive for analysis of chemical and biological components without complicated sample preparations. Unfortunately, Raman signals obtained from biological samples are usually very weak, especially in dilute samples. Metallic nanoparticles (NPs) and roughened metallic substrates have been used to enhance the Raman signal via surface plasmon resonance in Surface-Enhanced Raman Spectroscopy (SERS). SERS is a useful tool that could identify and analyze cell/bacteria/virus/protein/molecule without DNA hybridization and antibody-antigen reaction if the bacteria concentration is increased by orders of magnitude through incubation. The coffee-ring effect due to surface tension and the evaporation of the sample liquid can concentrate the target sample around the edge of the evaporated droplet. The effect has been widely utilized in SERS measurements, not only does it increases the local density of the bacteria, but it also makes bacteria contact the SERS substrate. However, a high bacteria concentration of 10⁹ CFU/ml is still required for the coffee-ring technique to achieve a dense bacteria ring for SERS measurement. In microbe infections, the typical target concentration is as low as 10³ CFU/ml in the real sample. Therefore, a time consuming process of incubating bacteria to a high concentration of 10⁹ CFU/ml is required. It is even more difficult to detect pathogens from a mixed sample, such as the detection of bacteria in a blood sample, which is very important for diagnosing bacteremia and sepsis. The pathogenic bacteria must be isolated from human blood and their densities increased before Raman measurements so that a pure bacteria signal can be obtained without inferences from others such as the RBC and WBC signals. A novel method has been developed by Liu et al. using a van-coated SERS substrate to trap the bacteria from blood for SERS measurement. However, immobilization of chemical/antibody on the SERS-active substrate surface, and long incubation time (hour level) for van-bacteria binding, and repeated wash steps to reduce interferences from non-specific bound cells are still required.

Dielectrophoresis (DEP) has been widely used for the separation, concentration, assembly and discrimination of particles/cells/bacteria/DNA. The rapid electrokinetic separation and concentration of bacteria from
bacteria identifies the bacteria. (b) Illustration of the hybrid mechanism of selective concentration over a wide range asymmetric electrode array.

and electrohydrodynamics were used to rapid concentrate bacteria form human blood. SERS Raman spectroscopy fingerprint of the concentrated pathogens from blood for on-chip SERS measurements and analysis. We demonstrate that a hybrid AC electrokinetic strategy not only can separate bacteria and blood cells but it also can concentrate the rare bacteria from manifold and dense blood cells. This strategy would allow an extremely high density of bacteria aggregate to be obtained for effective measurements and very clear and specific SERS-fingerprints for pathogenic identification.

Results

Concentration of bacteria from human blood. A concentric set of electrodes with a maximum diameter of 6 mm was designed for the wide-range selective concentration of bacteria from human blood, as shown in Fig. 1(a and b). AC voltages of 12 Vpp, 8 Vpp and a ground with a DC bias of 0.5 V were applied to the wider ring and the narrower ring electrodes, and the central circle-shaped electrode, respectively.

Rapid separation and concentration of the rare pathogen from blood are the critical needs before identifying the specific genus. In approximately 90% of bacteremia patients, only single pathogenic species can be found at a time24; it is rare to see the mixed infection in a blood sample4. Thus effectively concentrating bacteria in a blood sample is a key technique for further identification. There is an optimal AC voltage frequency range for selectively concentrating bacteria in a blood sample electrohydrodynamics flow transports the bacteria toward a central stagnation area; where the pathogen was trapped onto the roughened Au surface by AC DEP forces (Fig. 1(a and b)).

Figure 2(a) shows that at low frequencies, the blood cells in fact experience opposite DEP force than the bacteria, furthermore, the blood cells (d = 6–8 μm) and bacteria (d = 0.5–2 μm) experience very different magnitudes in the induced DEP forces. Therefore, by tuning the applied frequency, EHD forces could be adjusted to be comparable to DEP forces; this effect allows us to separate the bacteria and the blood cells in addition to concentrating the bacteria. Figure 2(b) shows that the blood cells and bacteria are distributed randomly when no electric field was applied to the electrodes. After applying an AC voltage at a frequency of 400 Hz, Fig. 2(c) shows that neither bacteria nor blood cells were conveyed to the centre area. This is because both types of particles experience stronger DEP forces repelling blood cells away from the electrodes and attracting bacteria at the rings than the EHD force that moves them toward the central region, as the state A1 in Fig. 2(a). When a 3 kHz voltage is applied, Fig. 2(d) shows that bacteria and blood cells were both concentrated onto the central electrode due to a strong biased ACEO flow (F_{EHD} > F_{DEP(bacteria)} and F_{DEP(blood)}) as the state A3 in Fig. 2(a). Under the optimal frequency range between 600 Hz and 1.5 kHz, as shown in Fig. 2(e) for 800 Hz and after only one minute, bacteria are effectively conveyed and concentrated onto the central electrode while blood cells were excluded from the electrodes, as the state A2 in Fig. 2(a). At 800 Hz the blood cells experience a negative DEP force that is stronger than the ACEO drag (F_{DEP(blood)} > F_{EHD}) and pushes the blood cells away from the center region. On the other hand, the ACEO drag transports the bacteria toward the center region (F_{EHD} > F_{DEP(bacteria)}) and the DEP force further pulls the bacteria into the stagnation area in the middle of the centre electrode, where the EHD force is the weakest, and the DEP and EP forces are the largest. Thus the bacteria are separated from the blood cell, concentrated, and effectively captured on the roughened

Figure 1 | (a) Experimental setup. The SEM image shows the roughened Au surface at the centre electrode. AC electric field induced dielectrophoresis and electrohydrodynamics were used to rapid concentrate bacteria form human blood. SERS Raman spectroscopy fingerprint of the concentrated bacteria identifies the bacterium. (b) Illustration of the hybrid mechanism of selective concentration over a wide range asymmetric electrode array.
Au surface. This hybrid AC electrokinetic technique enables separation and concentration of pathogens from a sample containing a mixture of blood cells and bacteria in three minutes.

**Raman scattering detection after concentrating bacteria on the SERS-active surface.** Figure 3(a) shows that the Raman spectra and the SERS spectra of *S. aureus* and *P. aeruginosa*. For comparison, bacteria suspended in buffer droplets with volume of 5 μl at the high concentration of $1 \times 10^9$ CFU/ml were used for coffee-ring formation on a smooth Au coated surface as a control. The Raman spectra of these formed coffee-ring samples are also shown in Fig. 3(a). To investigate the enhancement of ACEK-SERS, 5 μl buffer samples were dropped onto the chips and subjected to AC excitation at 800 Hz for 1 minute. After electrokinetically concentrating the dropped sample for 1 minute, the target bacteria had accumulated a sufficiently high volume onto the roughened SERS surface for on-chip Raman measurements. Clearly the ACEK-SERS signal is much stronger than the coffee ring samples (Fig. 3(a)).

For low bacteria concentrations (lower than $10^6$ CFU/ml), 50 μl buffer samples were dropped onto the chips and subjected to AC excitation at 800 Hz for 3 minutes to accumulate a sufficiently high bacteria volume onto the detection area. Figure 3(b) shows the photography of the dropped bacteria sample at low bacteria concentration of $3 \times 10^4$ CFU/ml without electric field applied. Figure 3(c) and 3(d) demonstrate the applicability of the ACEK technique to samples of low bacteria concentrations of $3 \times 10^4$ and $5 \times 10^3$ CFU/ml, respectively. The concentrated bacteria aggregate on the centre electrode can be clearly observed. Approximately 40–50% capture efficiency onto the stagnation area was achieved.

To investigate the effect of sample bacteria concentrations on SERS signals after electrokinetic concentration, Figure 4(a) shows the SERS spectra of *P. aeruginosa* for sample bacteria concentrations higher than the positive DEP force on the bacteria and lower than the opposite DEP force on the blood cell that allows the selective concentration of bacteria at some specific frequencies of the applied voltage. (b) Bacteria and blood cells were random distribution before a voltage is applied. (c) Neither Bacteria nor blood cells were conveyed to the centre electrode when the applied frequency was 400 Hz and the ACEO drag is low. (d) At a relative higher frequency, bacteria and blood cells were both concentrated into the centre electrode. (e) In the frequency range between 600 Hz to 1.5 kHz, bacteria were conveyed and concentrated onto the centre electrode and blood cells were excluded from electrodes.
Figure 3 | (a) The Raman spectra of *S. aureus* and *P. aeruginosa* obtained by a smooth Au substrate at high bacteria concentration by using the coffee-ring technique, which are much weaker than the ACEK-SERS spectra obtained by the roughened Au surface using ACEK concentration. (b) The bacteria are randomly distributed when no voltage is applied to the electrodes. (c) Result of a concentration of $3 \times 10^4$ CFU/ml bacteria suspended in a 50 ml buffer with an AC voltage at 800 Hz for 3 minutes. (d) Result of an ultra low bacteria concentration of $5 \times 10^3$ CFU/ml sample under the same condition.

Figure 4 | (a) The fingerprint of *P. aeruginosa* is detectable at a concentration as low as $5 \times 10^3$ CFU/ml. (b) *S. aureus* is detectable at very low concentration. (c) The summary of the detection limits at several AC frequencies for three genera of bacteria.
$1 \times 10^6$, $1 \times 10^5$, and $5 \times 10^5$ CFU/ml. The detection limit obtained by the coffee-ring method was approximately $1 \times 10^8$ CFU/ml for obtaining a discriminable SERS spectrum. In contrast, the SERS spectra of S. aureus of concentrations $1 \times 10^6$, $1 \times 10^5$, and $5 \times 10^5$ CFU/ml are shown in Figure 4(b). The detection limit is clearly lower than $5 \times 10^5$ CFU/ml, which is four orders of magnitude than the coffee ring technique. Bacteria concentrations ranging between $3 \times 10^3$ and $1 \times 10^5$ CFU/ml were used to investigate the detection limit at different AC frequencies for the different genera of bacteria. Figure 4(c) shows that the optimal frequency is the same (about 800 Hz); under this condition, detection limits reached $3 \times 10^3$, $5 \times 10^3$, and $1 \times 10^4$ CFU/ml for S. aureus, P. aeruginosa and E. coli. These detection limits match the need for detecting bacteremia/sepsis samples after 2–3 hours of blood culturing and can be reduced with improved electrode array design.

**On-chip identification of bacteria from human blood.** The coffee-ring formation contained a mixture of bacteria and RBCs (bacteria: $1 \times 10^9$ CFU/ml, blood cells: $3 \times 10^7$ cells/ml) after sample evaporation for 15 minutes. The results clearly indicate that blood cells could significantly influence SERS measurements. To demonstrate the selective concentration and detection capability of the ACEK-SERS approach, human whole blood spiked with S. aureus was tested. In order to avoid blood cell coagulation, human blood was diluted 20 times with the prepared bacteria solution, so the final blood cell concentration was approximately $2 \times 10^8$ cells/ml and the bacteria concentration was $1 \times 10^5$ CFU/ml. Figure 5(a) shows the center region of the chip after selectively concentrating S. aureus from the mixed sample containing blood cells and bacteria. S. aureus were concentrated at the stagnation area on the SERS-active roughened electrode by the combined EHD and DEP forces. In contrast, blood cells did not enter the central electrode because the induced repelling DEP force was higher than the EHD drag force. In Fig. 5(a), a dense dark layer of RBC can be seen everywhere except for a ~5000 μm² area centered around the centre electrode. On the other hand, there is a high concentration of bacteria at the center of the centre electrode, as shown in A1 of Fig. 5(a). This visual observation is supported with Raman spectroscopy later.

The SERS signatures of RBCs, a RBCs-bacteria mixture (1:50), and the electrokinetically separated S. aureus from blood were measured and are shown in Figure 5(b). The results demonstrate that the spectrum of the RBC-bacteria mixture is similar to the RBC signature (most of the peaks are contributions from blood cells). This makes it difficult to detect the target bacteria without a separation procedure. On the other hand, a clear and pure bacteria SERS signature was obtained by directing a laser spot at the bacteria aggregate separated from the blood cells after applying a pre-determined electric excitation at a frequency of 1 kHz for 3 minutes, as shown in Figure 5(a).

Figure 5(c) shows very distinct fingerprints of S. aureus, E. coli, and P. aeruginosa that were detected after each was separated and concentrated from a bacteria-blood mixture. The background profile was measured from the human blood diluted with sucrose buffer.

![Figure 5](www.nature.com/scientificreports)

Figure 5 | (a) On-chip selective concentration of $1 \times 10^5$ CFU/ml bacteria and clear separation from a very dense blood sample (RBC concentration ~ $2 \times 10^8$ cells/ml). The A1 shows a higher magnification photo of the centre area, there is a high concentration of bacteria without blood cells at the center of the centre electrode. (b) The SERS signatures of RBCs, RBCs-bacteria mixture, and the S. aureus electrokinetically separated from blood. (c) The measured clear SERS signatures of S. aureus, E. coli, P. aeruginosa, and background after ACEK concentration of bacteria from human blood.
without any bacteria after electrokinetically excluding the blood cells. The detected main characteristic peaks of S. aureus were at the wave-number of 1006, 1158 and 1522 cm$^{-1}$, E. coli at 732, 782, 1006, 1123, 1334, 1584 and 1663, and P. aeruginosa at 750, 833, 923, 1125, 1310, 1455 and 1585 cm$^{-1}$. The detected bacteria spectra are very consistent with the spectra libraries that are shown in previous reports$^{35-37}$. In addition, Gram positive (S. aureus) and Gram negative (E. coli and P. aeruginosa) bacteria discrimination are easy and rapid without requiring complex sample treatment. The results show that this technique is capable of capturing low concentration bacteria from a sample containing very dense blood cells to determine the blood infections (positive or negative), and can perform on-chip identification of pathogenic bacteria in bacteremia by comparing the detected SERS spectra with a spectra library.

**Discussion**

Here, blood cells (RBC and WBC) was induced a negative DEP force and bacteria was induced a positive DEP force by an AC electric field at the frequency range between 200 Hz and 20 kHz. The magnitude of DEP force is complex function of the particle size ($F_{DEP} \sim r^3$) and the applied frequency ($F_{DEP} \sim f_{CM(o)}$). The EHD is a bulk fluid force, which acts on a particle via hydrodynamic drag. The DEP force is proportional to $r^3$ while the EHD induced drag force is proportional to the particle radius $r$. Therefore, the scaling dependence of the relative influence of DEP and EHD for manipulating particle of different sizes $F_{EHD}/F_{DEP} = 1/r^2$. Based on the above scaling dependence equation, the importance of EHD relative to DEP increases for smaller particles while DEP becomes the dominated dynamics for manipulating bigger particles. The DEP force is approximately constant when the applied frequency is below/above the crossover-frequency ($f_{cof}$), but the magnitude of DEP force has a large difference near the $f_{cof}$. Besides, the magnitude of ACEO is also very sensitive to frequency of the applied voltage (eq. (1)). The high ACEO velocity appears near the charge relaxation characteristic frequency, and the velocity decays violently when the applied frequency deviates from the characteristic frequency.

Therefore, for the bacteria-RBC mixture, the dominated separation mechanism could be achieved via changing the magnitude of the tunable frequency-dependency ACEO relative to size-dependency DEP force. The magnitude of EHD drag force compares to DEP force with two directions for the selectively concentrating bacteria to the centre of inner electrode, which can control smaller particles in one direction and the opposite direction for others.

Blood samples for in-field testing usually have small sample volumes (<100 ml). With such small sample volumes, the conventional centrifugal methods have challenging limits in the separation purity and recovery rate, especially for samples with low bacteria concentrations. The proposed technique significantly shortens the concentration and identification times from several hours to a few minutes, and can detect a low concentration bacteria of 3 $\times$ 10$^4$ CFU/ml among manifold and dense blood cells. It forms a bacterial aggregate with an extremely high density for on-chip SERS measurements. By comparing the detected SERS spectra with a spectra library, on-chip identification of bacteria is feasible. The combination of short-range DEP and wide-range electrohydrodynamic convection can selectively concentrate bacteria from several millimeters to a small small area. Therefore, the detection limit could be lower than pure DEP even with blood dilution$^{28,31}$. Also, the biased ACEO provides a high shear rate that prevents the target cells being attracted to the electrode edges by the positive DEP. The detection limit can be further decreased by extending the asymmetric electrode array to be centimeter wide for increasing the sampling volume, and thus the detection limit could be one order lower.

For bacteria concentrations ranging from 1 $\times$ 10$^3$ to 3 $\times$ 10$^4$ CFU/ml (data not shown), the measured SERS signal did not vary significantly with bacteria concentration. Possibly the local density of bacteria aggregate from samples with bacteria concentrations larger than 3 $\times$ 10$^4$ CFU/ml all reached a saturation density on the roughened surface after 3 minutes of ACEK concentration. Varying the concentration time should be an effective way to quantify the bacterial concentration. The SERS spectra were not significantly different in magnitude at any detectable bacteria concentrations being tested after the electrokinetic concentration, showing the high detection capability and stability of this assay. Compared to the ELISA kits, this chip can isolate and identify bacteria in blood samples without the need for expensive antibody immobilizations, antibody-antigen binding, repeated wash steps, and secondary antibody reporting, thus significantly reducing the operation processes, detection time and the cost. The total detection and identification can be finished within 5 minutes. Sample dilution takes 1 min, electrokinetic selective concentration takes only 3 min, and Raman measurement is quite fast (~10 sec). The platform demonstrated excellent separation and concentration capabilities by AC electrokinetics and high bacteria discriminability by their measured SERS signatures with multiple characteristic peaks. For clinically bacteremia sample, the bacteria concentration in blood is in the range of 10–1000 CFU/mL$^{39,40}$, particularly, often greater than 1,000 CFU/ml in pediatric patients.

The detection limit of this demonstration is hundreds bacteria in 50 μL sample or about 10$^5$ CFU/mL. Blood culturing for 2–3 hours is needed to increase the bacteria concentration over 5 $\times$ 10$^4$ CFU/ml detection of this demonstration. Thereafter, the pathogen in the diluted blood sample can be directly separated, concentrated, and identified within five minutes. In the future, larger range (centimeter size) electrode array could lower the detection limit of the pathogen concentration to match that of bacteria. The combination of the hybrid ACEK and SERS technologies can rapidly determine the blood infections and identify the pathogens in human blood without the time consuming and complicated processes, such as immobilization of DNA/antibody, DNA-DNA/antibody-antigen reactions, and the repeated wash steps.

**Methods**

**Theory, chip design and operation.** The time averaged DEP force $F_{DEP} = 2\pi r^2 v_o \omega Re(f_{CM(o)})$ is dependent on the permittivity of the medium $\epsilon_m$, the radius of the particle $r$, the effective polarizability- Clausius-Mossotti (CM) factor $f_{CM}$ and the magnitude of the electric field gradient $\mathbf{VE}$. If particles are more polarizable than the surrounding medium, they will be attracted to the region of relatively strong electric field gradient (positive DEP, $p$DEP in Fig. 1 and 2a); if particles are less polarizable than the surrounding medium, they will be pushed to the region of relative weak electric field gradient (negative DEP, $n$DEP in Fig. 1 and 2a). However, DEP works in a very short range due to the exponential decay of the electric field gradient with increasing distance from the electrode edge.

In contrast, AC electroosmosis (ACEO) is caused by the interaction between a non-uniform AC electric field and the electric double layer that is induced via accumulating charges near the surface of the polarized electrode. The ion migration drags the fluid to generate a surface-driven bulk flow over the electrodes. This effect is capable of generating a long-range convection flow without selectivity for the particles with proper designs. The flow velocity of ACEO can be described as follows$^{41}$:

$$v_{ACEO} = \frac{\nu_2 \Omega}{8 \eta (1 + \Omega^2)}$$

The magnitude of ACEO velocity is dependent on the applied AC voltage $V_o$, the characteristic length of the electrode separation $x$, and the non-dimensional frequency $\Omega$ that is dependent on conductivity and permittivity of the medium and Debye length $k$. Biased ACEO combines a DC bias with AC signals to generate two electrode charging effects, capacitive charging and Faradaic charging on different electrodes. This asymmetric polarization is capable of inducing fluid convection more effectively and could be used in a wider range of buffer conductivities to induce sufficiently high convections$^{42,43}$. In high ionic strength fluid, the major electrohydrodynamic influence changes to the nonthermal AC electro-osmosis, and its working frequencies would shift to higher$^{44,45}$.

EHD can induce a net flow to convey liquid samples in a wide range, but there is no specificity/selectivity for the particles in the fluid. By combining the short-range DEP that has a discriminating capability with DC-biased ACEO that has a wide-range transportability, pathogens in a blood sample can be selectively concentrated for subsequent SERS measurements and analysis. The positive DEP force also provides an attraction force to form a hard junction between the bacteria and the peaks of the roughened SERS-active substrate. The magnitude of DEP and EHD forces are very
sensitive to the frequency of the applied AC voltage. Due to the difference in the polarizability and size of particles, the net force at a given locality can be in one direction for some particles and the opposite direction for others. For example a strong repulsive DEP force on the bacteria opposites and overwhems the EHD convective force toward the center electrode, while an attractive DEP force on the bacteria that is lower than the EHD convective force to pull the bacteria onto the center electrode. Consequently, bacteria are continuously conveyed to the stagnation area on the central electrode while blood cells are repelled from the electrodes, as the state A2 of Fig. 2(a).

Bacteria culture and sample preparation. S. aureus, (BCRC 14957, Gram positive), P. aeruginosa (ATCC 27853, Gram negative), and E. coli (BCRC 15922, Gram negative) were cultured on tryptic soy agar (TSA) at 35°C. An isotonic solution, 300 mM sucrose solution with a low conductivity, was used as the suspending solution. Bacteria suspended in the isotonic solution with concentrations ranged from 3 × 10^7 to 1 × 10^8 CFU/ml was used to investigate the detection limit of this system. Human blood was spiked into the prepared bacteria solution in ratios of 1:20, giving a final blood cell concentration of 2 × 10^5 cells/ml. All of the Raman data were acquired with a 10X objective and a spot size of ~5 μm in diameter. A low laser power of 1 mW was used to avoid cell damage during Raman measurements. All spectra reported here had the exposure time set to 2 s and the signal was accumulated five times in a 10-minute range of 400–2000 cm⁻¹. The total detection time is only 10 sec. Note, the background signal caused by the buffer increased after voltages were applied for a prolonged time (>15 minutes) because the buffer concentration was increased as the function of evaporation time increased. Therefore, detection period should be done within 15 minutes before the background increases significantly. After pattern the etching area by standard photolithography, the locally roughened surface was fabricated using inductively coupled plasma (ICP) ion reactive etching. The microelectrodes were patterned by standard photolithography techniques and metal etching. The reaction chamber was made by PDMS (curing condition: 75°C for an hour) at a 1:1 ratio of base-to-curing agents.

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Identification of Pathogen in Human Blood by Electrokinetic Concentration and Surface-Enhanced Raman Spectroscopy. Sci. Rep. 3, 2365; DOI: 10.1038/srep02365 (2013).

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