BMJ Open  Nissen-Sleeve procedure versus laparoscopic Roux-en-Y gastric bypass in patients with morbid obesity and gastro-oesophageal reflux disease: protocol for a non-inferiority randomised trial (GINSBY)

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ABSTRACT
Introduction Laparoscopic sleeve gastrectomy (LSG) and laparoscopic Roux-en-Y gastric bypass (LRYGB) are the most frequently performed procedures in bariatric surgery. In patients with morbid obesity and gastro-oesophageal reflux disease (GORD), LRYGB is the most accepted procedure. For patients with a contraindication for LRYGB or a strong preference for LSG, the Nissen-Sleeve procedure may be a viable new option. The aim of this study is to compare effectiveness of Nissen-Sleeve with LRYGB.

Method and analysis This is a single-centre, phase III, parallel-group randomised controlled trial in a high-volume bariatric centre in the Netherlands. A total of 88 patients with morbid obesity and GORD will be randomised to evaluate non-inferiority of Nissen-Sleeve versus LRYGB (non-inferiority margin 15%, power 80%, one-sided α 0.025, 9% drop out). Patients with morbid obesity aged 18 years and older with GORD according to the Montreal definition will be included after obtaining informed consent. Exclusion criteria are achalasia, neoplastic abnormalities diagnosed during endoscopy, super obesity (body mass index >50 kg/m²), Crohn’s disease and medical history of major abdominal surgery. After randomisation, all patients will undergo an upper gastrointestinal endoscopy. The primary intervention arm will undergo a timed barium oesogram to exclude oesophageal motility disorders. Patients in the Nissen-Sleeve arm will undergo a swallow X-ray to confirm passage. At day 1 postoperative, patients in the Nissen-Sleeve arm will undergo another endoscopy. The primary outcome is GORD status. Absence of GORD is defined as <8 points on the GORD questionnaire. Secondary outcome measures are long-term GORD improvement; failure rate of procedure; health-related quality of life; weight loss; proton pump inhibitor use; postoperative complications <30 days and >30 days; length of hospital stay; duration of primary surgery; effect on comorbidities; presence and grade of oesophagitis (grade A–D) and/or presence of Barrett’s oesophagus and cost-effectiveness.

Ethics and dissemination The protocol was approved by the Medical Research Ethics Committees United (MEC-U), the Medical Ethics Committee Erasmus MC, the Medical Ethics Committee Franciscus Gasthuis & Vlietland, the Medical Ethical Committee Amsterdam and the Medical Ethical Committee Utrecht. The study is registered in the Dutch Trial Register (NL7989).

STRENGTHS AND LIMITATIONS OF THIS STUDY
⇒ Patients in the intervention arm might have a direct benefit since both morbid obesity and gastro-oesophageal reflux disease (GORD) will be treated.
⇒ Long-term follow-up of 5 years will provide information on GORD status and quality of life of Nissen-Sleeve compared with laparoscopic Roux-en-Y gastric bypass.
⇒ Patients undergo an extra upper gastrointestinal endoscopy 1 year postoperatively to monitor oesophageal abnormalities and Barrett’s oesophagus.
⇒ Patients and the surgical team will not be blinded for safety reasons as both procedures have different aftercare.
⇒ The non-invasive and globally well-accepted Montreal consensus will be used for inclusion to define GORD instead of a manometry.

INTRODUCTION
The incidence of morbid obesity is increasing rapidly and herewith the incidence of bariatric surgery.1 Laparoscopic sleeve gastrectomy (LSG) and laparoscopic Roux-en-Y gastric bypass (LRYGB) are the most frequently performed procedures in bariatric surgery.2 Unfortunately, LSG can worsen pre-existing gastro-oesophageal reflux disease (GORD) or can cause new onset GORD.3–6 GORD has negative impact on the health-related quality of life (HRQoL) and often leads to proton pump inhibitor (PPI) dependency.
Moreover, GORD can cause Barrett’s oesophagus, which is a risk factor for oesophageal adenocarcinoma.8

LRYGB is the most accepted procedure for patients with morbid obesity and GORD. In addition to inducing weight loss, LRYGB can improve GORD by bypassing the corpus and fundus, which contain most acid-producing parietal cells.9 Nevertheless, 11% of all patients undergoing LRYGB develop GORD.3 Furthermore, LRYGB is contraindicated in a small portion of patients. Contraindications for LRYGB include inflammatory bowel disease, intestinal adhesions due to previous surgery or other abnormalities of the abdomen (such as a large ventral hernia of the abdominal wall). In addition, some patients do not want to undergo LRYGB because of the changes in anatomy and risk of long-term complications, such as internal herniation, vitamin deficiencies or chronic abdominal pain and diarrhoea.10,11

The Nissen-Sleeve (N-Sleeve) is a novel surgical technique first described by Nocca et al for patients with morbid obesity and GORD.12 The N-sleeve is a combination of an antireflux procedure (Nissen fundoplication) and LSG. So far, the N-Sleeve has only been studied in small pilot studies. Results of 25 patients with morbid obesity and GORD who underwent N-Sleeve suggest that N-sleeve is effective with 88% of patients no longer experiencing GORD, and an excess weight loss (EWL) of 58% after 1 year. These results also suggested that N-sleeve is safe with a complication rate of only 4%.12 In another cohort study, 70 patients who underwent N-Sleeve showed a 91% recovery rate of GORD, an EWL of 69% and a complication rate of only 10%.13 These results suggest that GORD improvement, weight loss after N-Sleeve is similar to LRYGB, complication rates after N-sleeve are comparable to LSG (8%) and LRYGB (17%).14,15 However, there are no randomised controlled trials and no long follow-up (FU) to validate these results. The aim of the current randomised controlled trial is to compare GORD status in patients with morbid obesity and GORD status after N-Sleeve versus LRYGB.

Methods and analysis

This is a single centre, phase III, parallel-group randomised controlled non-inferiority trial in a high-volume bariatric centre in the Netherlands. We will compare outcomes of N-Sleeve versus LRYGB in patients with morbid obesity and GORD. Patients will be randomised with an allocation ratio of 1:1. A summary of the trial registration information is found in Table 1.

Eligibility and exclusion criteria

Patients will be asked to participate in this study if they have GORD according to the Montreal definition, are ≥18 years and found suitable for bariatric surgery according to the national and international guidelines (Table 2).16–18 To be eligible subjects must meet the following inclusion criteria: primary bariatric procedure, good knowledge of Dutch or English and written informed consent. Potential subjects will be excluded from participation

Table 1  Trial registration information

| Data category                                      | Information                                                   |
|---------------------------------------------------|--------------------------------------------------------------|
| Primary registry and trial Identifying number     | Netherlands Trial Register: NL9789                           |
| Date of registration in primary registry          | 5 October 2021                                               |
| Secondary identifying numbers                     | Medical Research Ethics Committee unified: R21.040; The Central Committee on Research Involving Human Subjects: NL77783.100.21; Franciscus Gasthuis & Vlietland (CastorSMS): 2021–102 |
| Sources of monetary and material support          | Monetary support: Stichting BOF (Foundation for the promotion of research Franciscus). |
| Primary sponsor                                   | Franciscus Gasthuis & Vlietland                             |
| Secondary sponsor(s)                              | NA                                                           |
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| Public title                                      | N-Sleeve vs Roux-en-Y gastric bypass in patients with morbid obesity and gastroesophageal reflux disease |
| Scientific title                                  | Nissen-sleeve procedure vs laparoscopic Roux-en-Y gastric bypass in patients with morbid obesity and gastroesophageal reflux disease: protocol for a non-inferiority randomised trial (GINSBY) |
| Countries of recruitment                         | The Netherlands                                              |
| Health condition(s) or problem(s) studied         | Morbid obesity and GORD                                      |
| Intervention(s)                                   | N-Sleeve                                                     |
| Inclusion and exclusion criteria                  | Patients with morbid obesity and GORD                       |
| Inclusion criteria: ≥18 years, primary bariatric surgery, GORD diagnosed by the Montreal definition |
| Exclusion criteria: Pregnancy, body mass index (BMI) ≥50kg/m², achalasia, Crohn’s disease, malignancy or other abnormalities (such as low- and high-grade dysplasia) at endoscopy, and a medical history of major abdominal surgery. |
| Study type                                         | Intervventional                                              |
| Allocation: randomised, parallel assignment, two arms, open label |
| Primary purpose: to cure                           | Phase III                                                    |

Continued
when meeting any of the following criteria: pregnancy, body mass index ≥250 kg/m², achalasia, Crohn’s disease, malignancy or other abnormalities at endoscopy making bariatric surgery inadvisable (such as low-grade and high-grade dysplasia) and a medical history of major abdominal surgery such as laparotomy.

Recruitment
In the Franciscus Gasthuis & Vlietland hospital, roughly 1000 bariatric procedures are performed annually. Approximately, 30% of these patients have GORD at time of diagnosis and are eligible for inclusion. An inclusion rate of one out of three patients and a drop out of 5 years FU. According to international guidelines, an upper gastrointestinal (GI) endoscopy will be performed preoperatively, to diagnose oesophagitis (grade A–D), Barrett’s oesophagus or other abnormalities. Additionally, patients in the N-sleeve arm will undergo a preoperative timed barium oesophagram to exclude important oesophageal motility disorders. Patients who smoke will be strongly encouraged to quit smoking.

Two dedicated surgeons will perform the procedures. The intervention group will undergo the N-Sleeve procedure as described by Nocca et al (figure 2). Patient positioning, anaesthesia and trocart placement are similar to LSG surgery, as previously described. If present, a hiatal hernia will be dissected and reduced. An extension of

| Table 1 | Continued |
|---------|------------|
| **Date of first enrolment** | September 2022 |
| **Sample size** | 88, 44 per arm |
| **Recruitment status** | Planning to recruit |
| **Primary outcomes** | GORD status after N-Sleeve vs LRYGB, improvement defined as <8 points on the GORD-Q questionnaire |
| **Secondary outcomes** | Long-term GORD improvement, technical failure rate; Health related quality of live; weight loss; PPI use; complications rates<30 days and >30 days; length of hospital stay; duration of primary surgery; comorbidity; presence and grade of oesophagitis and/ or Barrett’s oesophagus, cost-effectiveness |

| Ethics review | Approved by the Medical Research Ethics Committee (in Dutch: Medisch Ethische Toetsingscommissie (METC)) Medical Research Ethics Committees United (MEC-U), Nieuwegein, the Netherlands |

GORD, gastro-oesophageal reflux disease; GORD-Q, gastro-oesophageal reflux disease questionnaire; LRYGB, laparoscopic Roux-en-Y gastric bypass; NA, not applicable; N-Sleeve, Nissen-Sleeve; PPI, proton pump inhibitor.

| Table 2 | Montreal definition |
|---------|---------------------|
| **GORD was defined as a condition that develops when the reflux of stomach contents causes troublesome symptoms and/or complications.** |

| Oesophageal syndromes | Syndromes with oesophageal injury | Established associations | Proposed associations |
|-----------------------|---------------------------------|-------------------------|----------------------|
| **Symptomatic syndromes** | 1. Reflux esophagitis | 1. Reflux esophagitis | 1. Pharygitis |
| 1. Typical reflux syndrome | 2. Reflux stricture | 2. Reflux stricture | 1. Sinusitis |
| 2. Reflux chest pain syndrome | 3. Barrett’s oesophagus | 3. Barrett’s oesophagus | 3. Idiopathic pulmonary fibrosis |
| | 4. Oesophageal | 4. Oesophageal | 4. Recurrent Otitis Media |
| | Adenocarcinoma | Adenocarcinoma | |

GORD, gastro-oesophageal reflux disease.

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1. Hart JWH, et al. BMJ Open 2022;12:e061499. doi:10.1136/bmjopen-2022-061499
at least 3–5 cm of abdominal oesophagus is aimed to be achieved, after which the hiatal space will be closed. The greater curvature of the stomach is then dissected from the short gastric vessels and gastrocolic ligament to 4 cm proximal to the pylorus. At the level of the gastric fundus, a careful dissection will be performed, which will be used to create the fundoplication. A 34F calibration tube will be introduced to the stomach. A Nissen fundoplication of 3 cm will be created. Dissection of the rest of the greater curvature will continue until 6 cm from the pylorus. A 60 mm endoscopic linear stapler will be used to divide the stomach parallel to the calibration tube along the lesser curvature, leaving just enough space for a gastric boogie to pass, with extra attention to the lateral side of the fundoplication, avoiding stapling double layers. At day 1 postoperative, a swallow X-ray will be made to confirm the passage.

The control group will undergo a LRYGB procedure as described earlier, with the exception of the enteroenterostomy, which is made at 100 cm distally from the gastroenterostomy with a 100 cm biliopancreatic limb.

If, perioperatively, N-Sleeve is deemed technically impossible to perform, LRYGB will be performed as a first option. If that is also impossible, another bariatric procedure (LSG or one-anastomosis gastric bypass (OAGB)) will be performed. Similarly, if LRYGB is deemed technically impossible, N-Sleeve will be performed as first option. If that is also impossible, another bariatric procedure (LSG or OAGB) will be performed.
have already been included will be informed and offered the other treatment arm (LRYGB) if not yet been operated. An independent data safety and monitoring board (DSMB) was installed prior to the first inclusion. Monitoring will take place according to the NFU guideline Quality Assurance Human Research 2020.\textsuperscript{29} The DSMB has been established in accordance with the European Medicines Agency (EMA) guideline and will perform an interim assessment of the stopping rules after inclusion of the first 10 patients in the N-Sleeve arm.\textsuperscript{30} Serious adverse events will be reported to the medical ethical committee.

**Withdrawal of patients**

Subjects can leave the study at any time for any reason if they wish to do so without any consequences. The investigator can decide to withdraw a subject from the study for urgent medical reasons, such as mentioned in the exclusion criteria. Patients who withdraw consent after randomisation, but before surgery, will be replaced. Patients who withdraw consent after surgery will not be replaced. The data of the latter group will remain in the analysis and every effort will be made to acquire FU data with the patient’s consent, unless the patient explicitly prefers otherwise. Based on previous randomised trials performed by our research group, we have accounted for an expected (voluntary) withdrawal of approximately 9% of included patients in the power calculation (see Sample size section).

**Sample size**

The power analysis for a non-inferiority trial (power=80%, \( \alpha=2.5\%\) one-sided) calculated a required sample size of 2×40=80 patients. Non-inferiority was defined as a 1-year GORD recovery rate that is no more than 15 percentage points below the expected 75% GORD recovery rate among patients in de LRYGB arm. To allow 9% drop out, a total of 88 patients are required for randomisation.

**Statistical methods**

Data will be analysed following intention-to-treat (primary analysis) and as-treated principles (secondary analysis). Efforts will be made to prevent missing data by contacting subjects that did not attend their FU visit. GORD status, HRQoL, weight loss, PPI use, complication rate within 30 days postoperatively, long-term complication rate, length of hospital stay, duration of primary surgery, effects of the surgery on comorbidity; presence and grade of oesophagitis (grade A–D) and/or Barrett’s oesophagus and cost-effectiveness (table 3).

**Data management**

Identification of participants will be protected by using study numbers non-traceable to patients’ identity. Only members of the research team will have access to the databases. Data will be kept for 15 years. The data will be monitored by a committee that is appointed by the hospital’s research department.

**Safety and stopping rules**

The N-sleeve procedure should neither lead to a significant increase in GORD and weight, nor should it lead to more severe complications. Furthermore, both surgery techniques should be reasonably feasible. Therefore, the following parameters are closely monitored after 10, 20 and 30 patients are included in the N-Sleeve arm (table 4):

- Severe complications (Clavien-Dindo grade ≥3).
- Conversion of N-Sleeve to LRYGB due to technical difficulties.

If outcomes of one or both stop rules are reached, the sponsor will be notified immediately and further inclusion will be stopped. Patients in the intervention arm who

**Outcomes**

The primary outcome measure is GORD status. Absence of GORD is defined as <8 points on the GORD-Q\textsuperscript{19}. Secondary outcome measures are long-term GORD improvement, technical failure rate of procedure, HRQoL, weight loss, PPI use, complication rate within 30 days postoperatively, long-term complication rate, length of hospital stay, duration of primary surgery, effects of the surgery on comorbidity; presence and grade of oesophagitis (grade A–D) and/or Barrett’s oesophagus and cost-effectiveness (table 3).

**Figure 2** Technical aspects of the N-Sleeve. N-Sleeve, Nissen-Sleeve.
Repeated measurements analysis (linear mixed model) will be used to evaluate between group differences. Technical failure rate and presence/grade of oesophagitis (grade A–D) and Barrett’s oesophagus/malignancy between both groups will be analysed using the \( \chi^2 \) test, similar as PPI use, complication rates and the effects on comorbidity, for which also a Kaplan-Meier analysis will be performed. The length of hospital stay (days) between the groups will be analysed using the Mann-Whitney U test or the \( \chi^2 \) test, as appropriate. Duration of procedure between the groups will be tested using the unpaired Student’s t test. Results will be evaluated at a significance threshold of \( p<0.05 \) (two sided). Cost-effectiveness analyses will also be performed. Incremental cost-effectiveness (model type: cost-utility analysis) will be studied from the perspective of a ‘cost-effectiveness analysis alongside the clinical trial’ and in line with the Dutch and international guidelines. Utility will be measured with the EQ5D5L (Dutch
forms including data validation checks and change confirmations are created by Ciwit B.V., Amsterdam, V.8.51 (Castor EDC) prior to the start of the study. Randomisation and allocation of individual trial numbers will also be performed via Variable Block Randomisation software by Ciwit B.V. (Castor EDC). Results will be communicated via national and international conferences and via publications in peer-reviewed journals.

**DISCUSSION**

In this trial, we investigate the effect of N-Sleeve versus LRYGB in patients with morbid obesity and GORD. Patients with obesity are 2–2.5 times more at risk of developing GORD. Earlier studies have shown an incidence of GORD of 10%–30% after LSG. However, more recent data after LSG suggest a higher incidence of GORD as well as a higher risk of development of Barrett’s oesophagus. For patients with GORD who cannot or do not want to undergo LRYGB, the N-Sleeve may be a more suitable alternative compared with LSG. Since Barrett’s oesophagus generally does not develop until 3 years after LSG, patients will be followed for 5 years.

Other antireflux surgery techniques such as anterior fundoplication or Rossetti fundoplication in combinations with LSG have been described. However, these studies included a limited number of patients, with short FU and insufficient data on postoperative complications. As most experience and data are available for the N-Sleeve technique, this is currently considered the most appropriate technique for combination with the LSG procedure.

This study has some limitations. The golden standard to diagnose GORD is 24-hour oesophageal (impedance) pH monitoring or manometry. However, these are intensive and invasive procedures that would reduce patient willingness to participate in the study and consequently lead to low inclusion rates. In addition, the 24-hour oesophageal (impedance) pH monitoring also has its limitations. In 12.7% of all patients, the 24-hour pH monitoring was positive although they did not have GORD. Therefore, the Montreal definition is used for inclusion, as it is a non-invasive and globally well-accepted consensus to define GORD.

To objectify and quantify GORD, we will use the validated GORD-Q questionnaire and endoscopy at baseline and during FU. The FU upper GI endoscopy is an additional invasive procedure, which will be discussed with the patient in the outpatient clinic. The risk of this study procedure is low and the extra information is very useful for patient treatment and can be used as comparison material to the upper GI endoscopy preoperatively. Another limitation is that patients and the surgical team will not be blinded. Blinding the surgical team is impossible since they have to perform the procedure. In addition, it is considered unsafe to blind the patients because of different aftercare. Patients need different multivitamins after both procedures to address potential deficiencies. Moreover, there are other possible tariffs. Costs will be studied from a societal perspective, distinguishing healthcare costs inside and outside hospital, patient-related costs and other non-healthcare costs.

**Patient and public involvement**

Patients were not invited to comment on the study design and will not be consulted to develop patient-relevant outcomes or interpret the results. Patients will not be invited to contribute to the writing or editing of this document for readability or accuracy. The results of this study will be communicated to the patient association, who may disseminate the results among their members.

**Ethical and dissemination**

This study has been approved by the Medical Research Ethics Committees United (MEC-U, Nieuwegein (R21.040) and has been registered in the Netherlands Trial Register (NTR 9789). The study will be conducted according to the standards of Good Clinical Practice principles, in agreement with the Declaration of Helsinki, with Dutch law in general, and with the Medical Research Involving Human Subjects Act in particular. The principal investigator (JAA) will be responsible for recruitment, data collection, FU, completion of case report forms, and adherence to the study protocol. This will be performed according to the local guidelines for data management of the Franciscus Gasthuis & Vlietland hospital. The supervising doctor or any other doctor of the surgical team will point out the ongoing study to the subjects. Patients will be informed by the investigator about both treatment arms and asked for their consent using a standard patient information letter and an informed consent form.

If protocol revisions are made, the new protocol must be approved by MEC-U before implementation. Case record

| Stop rules | After 10 included patients in the N-Sleeve arm | After 20 included patients in the N-Sleeve arm | After 30 included patients in the N-Sleeve arm |
|------------|---------------------------------------------|---------------------------------------------|---------------------------------------------|
| 1. Severe complications Clavien-Dindo grade ≥ III* | >40% | >35% | >30% |
| 2. Conversion of N-Sleeve to LRYGB, due to anatomical difficulties. (feasibility)† | >30% | >20% | >16.7% |

*Percentages are based on the upper limit of the 95% CI of 17% (30-day postoperative or in-hospital mortality).† Percentages are based on the upper limit of the 95% CI of 6% (conversion, based on Sleeve bypass trial).
complications after both procedures. Patients should know what symptoms to be alert for. The current study does not investigate sleeve gastrectomy or OAGB. There are numerous studies comparing ‘normal’ sleeve gastrectomy to LRYGB. It is known that there is a higher risk of (de novo) GORD after LSG.4 As this study will investigate an antireflux procedure, sleeve gastrectomy has not been considered as additional study arm. After OAGB reflux can occur, which is defined as biliary reflux. Biliary reflux increases the risk of oesophagitis and Barret oesophagus, which might bias the outcome of the study. Therefore, no LSG- nor OAGB-arm was added to this study.

Protocol version V.2.0, 15 September 2021

Contributors JWHH drafted the first version of this manuscript. BJN, LUB, MD, IL and JAA participated in the study design and critically revised the manuscript. JWHH, BJN, LUB, MD, IL and JAA drafted the original study protocol. JWHH, BJN, LUB, MD, IL and JAA acquired funding for the trial. JAA initiated the trial and is the principal investigator. All authors read and approved the final manuscript and agree to be accountable for all aspects of the work.

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Competing interests None declared.

Patient and public involvement Patients and/or the public were involved in the design, conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication Not applicable.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon reasonable request. The identified individual clinical trial participant-level data, protocols and the statistical analysis plan will be available upon reasonable request at publication. These data will be available for researchers who provide a methodologically sound proposal to achieve the aims in the approved proposal. Proposals should be directed to j.apers@franciscus.nl, and to obtain access, data requestors will need a data access agreement. This data sharing plan was updated in the trial registry (NTR (trialregister.nl)) on 29 April 2022.

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