Tunable/Reconfigurable Metasurfaces: Physics and Applications

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Metasurfaces, ultrathin metamaterials constructed by planar meta-atoms with tailored electromagnetic (EM) responses, have attracted tremendous attention due to their exotic abilities to freely control EM waves. With active elements incorporated into metasurface designs, one can realize tunable and/or reconfigurable metadevices with functionalities controlled by external stimuli, opening a new platform to dynamically manipulate EM waves. In this article, we briefly review recent progress on tunable/reconfigurable metasurfaces, focusing on their working mechanisms and practical applications. We first describe available approaches, categorized into different classes based on external stimuli applied, to realize homogeneous tunable/reconfigurable metasurfaces, which can offer uniform manipulations on EM waves. We next summarize recent achievements on inhomogeneous tunable/reconfigurable metasurfaces with constitutional meta-atoms locally tuned by external knobs, which can dynamically control the wave-fronts of EM waves. We conclude this review by presenting our own perspectives on possible future directions and existing challenges in this fast developing field.

1. Introduction

Metamaterials (MTMs), artificial materials composed by subwavelength metallic/dielectric micro/nanostructures (e.g., "meta-atoms") with predesigned electromagnetic (EM) responses, have attracted intense research interest in the past decades due to their exceptional abilities to manipulate EM waves. These strongly enhanced wave-control capabilities, typically induced by EM resonances possessed by constitutional meta-atoms, lead to many fascinating physical effects and versatile applications not achievable with naturally occurring materials, such as negative refraction, superimaging, and invisibility cloaking [1–3]. However, many MTMs realized so far exhibit complicated structures, bulky sizes, and relatively high losses (especially in the optical regime), which are unfavorable for practical applications.

To overcome the above issues, metasurfaces, ultrathin MTMs constructed by planar meta-atoms with tailored EM responses arranged in certain sequences, were recently proposed. In sharp contrast to conventional MTMs whose functionalities critically rely on phase accumulations of EM waves propagating inside bulk media, metasurfaces fully exploit the abrupt and controllable phase changes at the structure surfaces by engineering the interactions between EM waves and meta-atoms. Arranging meta-atoms with desired properties in certain predesigned sequences, one can realize metasurfaces exhibiting arbitrary phase/amplitude profiles for reflected/transmitted EM waves, which can efficiently reshape EM wave-fronts based on Huygens’ principle, generating new physical effects such as anomalous light refraction/refraction, surface wave couplings, flat-lens imaging, and others [4–12]. In addition to exploring new physics based on these planar structures, scientists also made remarkable achievements on implementing metasurfaces in practical applications, thanks to their unique properties such as low-loss, ease of fabrication/integration, and flat configuration.

Along with the fast development of metasurface research, people gradually realized the limitations of passive metasurfaces in realistic applications, i.e., limited working bandwidths and fixed wave-manipulation functionalities. Therefore, many efforts have been devoted to making tunable/reconfigurable metasurfaces that can actively control EM waves upon external tunings [13–23]. Such attempts are...
far from easy, however, due to the challenges in finding appropriate strategies to make tunable/reconfigurable meta-atoms at given frequency domains and significantly increased difficulties in locally tuning individual meta-atoms inside a metadevice. Nevertheless, these challenges, together with the pressing demands from application side, drive this field move forward quickly and have made it a frontier in metasurface research.

In this article, we briefly review the key achievements in this fast-developing subfield, focusing particularly on established tuning mechanisms and practical applications of active metasurfaces. We hope such a concise review can serve as a useful guide to help newcomers jump into the field quickly. This review is organized as follows. In Section 2, we introduce different tuning approaches, categorized into different classes based on the external stimuli adopted with some representative implementations, to realize tunable/reconfigurable homogeneous metasurfaces at different frequency regimes, which can actively control EM waves in a uniform manner. We next describe in Section 3 recent efforts of making tunable/reconfigurable inhomogeneous metasurfaces/metadevices with constitutional meta-atoms individually tuned by external knobs, which can realize more sophisticated dynamical manipulations on EM waves. Finally, we conclude this review with our own perspectives on future developments and challenges in this subfield.

2. Homogeneous Tunable/Reconfigurable Metasurfaces

We start from introducing the available mechanisms to realize tunable/reconfigurable metasurfaces in which all meta-atoms are tuned by external knobs in a uniform manner. Obviously, the most crucial design step is to combine meta-atoms with appropriate active elements whose EM responses sensitively depend on appropriate external stimuli. In contrast to bulk MTMs involving complex 3D structures, the planar and ultrathin configurations of metasurfaces greatly facilitate the integrations of meta-atoms with different types of active elements. In this section, we categorize recently realized active metasurfaces into different classes based on external stimuli applied (e.g., electrical, optical, mechanical, or thermal stimuli) to control their EM responses. We emphasize that the mechanisms presented below actually provide a solid basis for constructing inhomogeneous active metasurfaces with all meta-atoms individually controlled, which can be used to realize more performant active metadevices (see Section 3).

2.1. Electrically Tunable Metasurfaces. Over the past decades, electrically tunable metasurfaces (ETMs) have attracted enormous research interests. Thanks to the maturities of electronic and semiconductor technologies, integrating meta-atoms with different electrically sensitive materials (such as varactor/PIN diodes, liquid crystals (LCs), doped semiconductors, 2-dimensional (2D) materials, and conducting transparent metals) has become possible, allowing the realizations of many high-performance active metadevices with functionalities tuned dynamically in different frequency domains.

In the microwave regime, ETMs can be constructed with meta-atoms incorporated with varactor/PIN diodes, whose EM characteristics (say, capacitances) can be dramatically tuned through varying applied voltages. For example, Xu et al. experimentally demonstrated a microwave polarization-manipulator in metal/insulator/metal (MIM) configuration, based on meta-atoms with PIN diodes incorporated. By tuning the working state of the incorporated diode from “on” state to “off” one through changing the bias voltage, the authors can dramatically tune the EM responses of the meta-atoms and, in turn, switch the functionality of the device from a EM-wave helicity convertor/hybridizer within two separate frequency bands to a helicity keeper within an ultrawide frequency band [54]. Based on similar concept, versatile dynamic microwave metadevices were realized with different functionalities, such as switchable absorbers [55, 56] and tunable polarization controllers [57].

However, such a design strategy cannot work at frequencies higher than GHz due to lack of suitable varactor diodes. Fortunately, doped semiconductors can be alternative active elements for designing tunable metadevices in the terahertz (THz) and infrared (IR) regimes, since their conductivities (strongly related to their optical responses) can be efficiently modified via charge-carrier doping [24, 58]. In addition, such approach features high modulation speed, broad bandwidth, large dynamic range, and compatibility to complementary-metal-oxide-semiconductor (COMS) technology, thus exhibiting nice potential for real applications. The first experimental demonstration of THz ETM was achieved by Chen et al. in 2006 [24]. The realized metadevice was composed by an array of electrically connected gold double split-ring resonators (SRRs), patterned on a thin n-type gallium arsenide (GaAs) layer grown on a semi-insulating GaAs wafer. Varying the external voltage applied across the Schottky diode formed at the metal-semiconductor interface, the authors can dramatically change the carrier density in the n-doped GaAs layer, which, in turn, helps control the THz wave transmitted through the device. As shown in Figure I(a), a transmission modulation of 50% was experimentally demonstrated at 0.72 THz, dictated by the voltage-controlled conductivity of the doped GaAs layer [24]. By simply optimizing the design of top SRRs, Chen et al. further improved the performance of such a THz metasurface, achieving real-time active amplitude (55%) and phase (0.56 rad) modulations with a speed up to 2 MHz for the transmitted beam at 0.81 THz [58]. Further improvements in terms of modulation depths, speeds, or tuning ranges were reported in many literatures where versatile tunable metadevices are realized based on similar mechanism but with different geometries (e.g., metagrating [52]; nanodisk [59]) and active materials (e.g., 2D electron gas systems, epsilon-near-zero (ENZ) materials [52, 59–61], and quantum-well [62]).

Graphene, a 2D material with only one atomic layer, is another excellent candidate to help realize ETMs in THz and mid-IR regimes [63, 64], thanks to its wide-range electrically tunable conductivity. The gate-tunability of graphene is much
larger than traditional bulk materials, owing to the low carrier concentration and unique linear band structure of graphene [65]. In 2011, Ju et al. experimentally demonstrated that the plasmon resonance of a graphene microring array can be dramatically tuned via varying the gating voltage applied [25], as shown in Figure 1(b). Following this seminal work, many other graphene metastructures, ranging from nanorods to disk and inverse-hole arrays, have been subsequently reported, all exhibiting tunable optical responses dictated by the gate-controlled plasmonic resonances in such systems [66–68]. However, the absolute optical responses of these patterned graphene structures are too weak for real applications, since graphene has only one atomic layer and thus its interaction with light is much weaker than bulk materials. To overcome this shortcoming, scientists proposed to combine gate-controlled graphene with appropriately designed meta-surfaces to form graphene metasurfaces, which possess both high electrical tunability and strong optical responses. The first experimental demonstration of graphene metasurface was reported by Lee et al. in 2012, who successfully integrated a monolayer graphene with an electric metasurface formed by an array of hexagonal metal patches [69]. The electric resonances in the metallic structure strongly enhance the local fields experienced by graphene, which, under external gating, can tune the whole structure’s EM responses efficiently, yielding an active amplitude (47%) and phase (32.2°) modulation on EM-wave transmission at 0.86 THz.

Inspired by this work, many other graphene metasurfaces were proposed to achieve diversified functionalities in both THz [70–74] and IR regimes [75–77], such as amplitude modulators [71, 72, 75], polarization controller, and phase modulators [73, 76].

However, the phase tuning ranges of these graphene metasurfaces are unfortunately quite narrow (typically much less than π), which seriously restrict their applications for dynamical wave-front manipulations. This is not surprising, since the single-layer electric MTMs previously adopted to construct the graphene hybridized structures typically exhibit narrow phase variation ranges, dictated by their Lorentz-type responses. Recently, Miao et al. proposed a new mechanism to achieve wide-range active phase control on EM waves based on a new type of graphene metasurfaces [26]. Different from previously realized structures, here the authors chose to combine graphene with an MIM metasurface supporting...
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variation behaviors as frequency changes. Graphene, under
external gating, essentially functions as a tunable loss to drive
the whole metasystem from an underdamped resonator to
an overdamped one, thus inducing dramatic changes on the
reflection phase accompanying such transition. The authors
experimentally demonstrated an absolute phase modulation
of ±π around the resonant frequency of 0.31 THz by tuning
the applied voltage on graphene, as shown in Figure 1(c).
Combining two gate-controlled graphene meta-atoms with
slightly different resonant frequencies, an extremely large
phase modulation (with a maximum phase tuning range
of 243°) was experimentally achieved within the frequency
interval between the two resonances (at 0.48 THz). Utilizing
a similar mechanism, Sherrott et al. experimentally demon-
strated a maximum phase modulation of 237° at an operating
wavelength of 8.5 μm, based on reflective graphene metasur-
face [79] similar to that proposed in [67]. However, the wide-
range phase tunings in such metadevices are strongly coupled
with amplitude modulations of reflection. In particular, at
frequencies close to the resonances, while such metadevices
can indeed exhibit large phase modulations (approaching
±π), their reflectance also unfortunately turns close to zero,
since the underdamped to overdamped resonator transition
unavoidably passes through a critical point where perfect
absorption occurs. As a result, such strongly diminished
reflectance places an obstacle to realize efficient wave-control
metadevices except tunable perfect absorbers [71, 77, 80].
In addition to graphene, many other 2D materials (e.g.,
MoS2, Black Phosphorus, and h-BN) have also been widely
used to achieve ETMs at different frequencies for diversified
applications [81].

ENZ thin films, made by doped semiconductors or trans-
parent conducting oxide (TCO) materials, have also been
combined with passive metasurfaces to realize ESTs in IR and
optical regimes. As the permittivity of a material approaches
zero, the density of optical modes inside it is strongly
enhanced. As a result, optically tuning an ENZ material is
much more efficient than tuning other materials. In 2013,
Jun et al. experimentally demonstrated a gate-tunable strong-
coupling effect in a system containing an array of metallic
SRRs patterned on a semiconductor substrate possessing an
ENZ layer (30 nm n-doped GaAs). Dynamically modulated
strong-coupling effect was observed as the ENZ layer was
gated electrically, illustrated by a clear resonance frequency
shift in the transmission spectrum [60]. Recently, Atwater’s
group and Brongersma’s group realized gate-tunable MIM
metasurfaces in near-IR and mid-IR regimes, respectively.
In both systems, dielectric spacers in the fabricated MIM
metasurfaces were replaced by ENZ layers, which, under
external gating, can help tune the EM properties of the whole
devices dramatically. By changing the doping conditions of
the ENZ layers, the authors could drive their metasystems
from underdamped resonators to overdamped ones based
on the mechanism described in Ref. [78] and experimentally
demonstrated electrical tuning of reflection phase over 180° at
1.55 um and 5.94 um, respectively (see Figure 1(d)) [27]. Very
recently, Atwater and coworkers introduced a dual-gated
field-tunable MIM metasurface that enables ultrawide reflec-
tion phase modulation exceeding >300° at the wavelength of
1.55 um. Such dual-gated ETM consists of an Al background
plate, a gated-dielectric/ITO/gated-dielectric heterostructure
and a periodic array of Al meta-atoms with “fishbone”
pattern, as shown in Figure 1(e). It features two-charge
depletion/accumulation at both top and bottom ITO/gated-
dielectric interfaces, facilitating a large variation of the
complex refractive index of the ITO layer via carrier density
modulation at both interfaces and leading to such wide phase
tuning range [28]. Very recently, Forouzmand et al. proposed
ITO-integrated all dielectric tunable metasurfaces that can
realize relatively large phase modulation in both transmission
and reflection modes at two different wavelengths in NIR
regime [82]. In addition, many other TCO materials (e.g.,
Al2ZnO; Ga2ZnO) exhibiting ENZ properties have also been
widely used to realize ETMs in the NIR regime [83].

Most ETMs introduced above are based on carrier-
density modifications inside given materials, which result in
changes in both real and imaginary parts of their permittivity.
While changing Im(ε) can indeed help in making tunable
metadevices (say, in [28, 60]), it also introduces inevitable
Ohmic losses which can degrade the performances of realized
devices. It is highly desired to find a mechanism that only
modulates the real part of permittivity. Liquid crystals (LCs),
formed by elongated molecules with orientations controlled
by external electric fields, exhibit electrically tunable Re(ε).
Incorporating LCs into MTM designs, one can realize ESTs
with resonant properties strongly modulated via applying
electric field across the LCs. Such a mechanism works in a
wide operating frequency range covering THz to visible regime
[29, 84–86], achieving various functional devices, such as
spatial light modulators (SLMs) [29, 84], color tuning [85],
and tunable wave-plate [86]. For instance, in 2013, Padilla
and coworkers experimentally demonstrated a tunable absorber
in the THz regime based on an MIM structure with an
LC layer as the dielectric spacer. A bias voltage applied
between the ground plane and the upper resonator can
change the refractive index of involved LC layer by an amount
of 0.19, resulting in a 30% absorption modulation at 2.62 THz
associated with a resonant frequency shift of ~4% [29], as
shown in Figure 1(f). They further improved the performance
of such LCs based tunable absorber design to THz SLMs
with experimentally achieved modulation depth of 75% [84].
Pixelating these tunable meta-atoms, one can realize different
types of tunable devices such as SLMs, sensors, and imagers,
which usually do not need extremely high modulation speed
(limited to 1 KHz).

2.2. Mechanically Switchable Metasurfaces (MSMs). The tun-
ing mechanisms described in last subsection are mainly based
on modifying the optical environments (i.e., substrates and
spacers) of metallic resonators. Alternatively, one may realize
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Figure 2: Mechanically switchable metasurfaces. (a) Schematics of a THz thermomechanical tunable metasurface with meta-atom design and measured transmission spectra at different temperatures. (b) A micromachined MSM composed by reconfigurable asymmetric SRRs for controlling THz waves. (c) MEMS-controlled phase transitions between underdamped and overdamped resonators in a THz MSM. Insets: schematics and photos of the realized MSM. (d) A MSM realized with NEMS technology for actively controlling the transmission/reflection properties of near-IR light. Inset: image of the fabricated metadevice with detailed meta-atom structure. (e) Dynamic resonant-frequency tuning achieved with a MSM based on a flexible substrate in IR regime. (f) Active polarization conversion of microwaves achieved by a liquid-metal-incorporated metasurface realized with microfluidics technology. Insets: schematics of the designed tunable meta-atom (bottom panel) and a zoomed-in view of the microfluidic metasurface with galinstan filled-in (up-panel). Reproduced with permissions: (a) from [30] ©2009 APS; (b) from [31] ©2011 Wiley-VCH; (c) from [32] ©2017 Wiley-VCH; (d) from [33] ©2013 NPG; (e) from [34] ©2010 ACS; (f) from [35] ©2017 Wiley-VCH.

dynamically reconfigurable metadevices through mechanically changing the geometrical structures of constitutinal meta-atoms or altering the distances between adjacent meta-atoms or that between meta-atoms and their substrate. The latter can manipulate the near-field interactions between meta-atoms, enabling dramatic modifications on the EM properties of the whole metasystem.

Micro-electro-mechanical-systems (MEMS) and nano-electro-mechanical-systems (NEMS) are two widely used technologies to realize MSMs in different frequency domains for different applications [30–33, 87–91]. An early MSM was reported in 2006, which is a tunable microwave band-stop filter where micromachined switches were incorporated inside an EM bandgap structure [87]. In 2009, Tao et al. realized a THz thermomechanical switchable metasurface, containing an array of SRRs patterned on bimaterials cantilevers. As shown in Figure 2(a), the cantilevers can be bend upwards out of plane with external thermal stimuli, resulting in a remarkable tuning of the electric and magnetic responses of such metasurface (e.g., a ~50% modulation on transmission at 0.5 THz achieved by synchronously reorienting the SRRs within their unit-cells) [30]. Several other MSMs were subsequently demonstrated in both THz and optical regimes, based on electrically activated MEMS switches [31, 32, 89, 90]. In one typical type of designs, the devices consist of arrays of carefully designed meta-atoms, each of which exhibits two separated parts, one fixed on the substrate while the other patterned on a floating frame which can be driven by actuators [31, 89]. Adjusting the relative positions between these two portions can deform the lattice structure and, in turn, change the near-field interactions between adjacent meta-atoms, thus allowing for efficient tailoring on EM properties of the whole devices. For instance, Zhu et al. fabricated an electrical MSM device based on the above-mentioned techniques, adopting an asymmetric SRR (Figure 2(b)) as their basic meta-atom [31]. The authors experimentally demonstrated a large relative switch (up to 31%) on resonant frequency with a response time of 500 µs at ~2 THz, suggesting the application potentials of the proposed device in THz polarization-switch and imaging. In another class of systems, researchers chose to utilize external-stimuli-sensitive flexible MEMS cantilevers to construct MSMs [32, 90].
For example, Cong et al. experimentally demonstrated a wide-range amplitude and phase modulation on THz waves in an MEMS-based metadevice (Figure 2(c)) [32]. The working mechanism is based on the underdamped-to-overdamped resonator transition established in Ref. [26], which in this case is driven by varying the system's radiative Q factor via electrically controlling the gap distance between cantilevers and spacers. Moreover, NEMS technology has also been adopted to achieve electrical MSMs in IR regime [33, 91]. A reconfigurable metasurface, driven by electrostatic forces, was reported in 2013, which consists of a metallic “meander-near-the-wire” pattern manufactured on a grid of flexible dielectric membrane (Figure 2(d)) [33]. A continuous reflection modulation (up to 8%) on incident light (at 1550 nm) with a mega-hertz modulation rate was experimentally achieved by changing the configuration of the metastructure through NEMS technology.

Flexible substrates offer another straightforward way to realize reconfigurable metadevices [34, 92–96]. In 2010, Pryce et al. experimentally demonstrated wide-range tunability on working wavelength (Δλ ∼ 400 nm), based on a reconfigurable metasurface composed by planar, coupled SRR arrays adhered to an elastic polydimethylsiloxane (PDMS) substrate. As shown in Figure 2(e), mechanical deformation can stretch the substrate and, in turn, enlarges the interdistances between metallic resonators. As a result, both inter-SRR couplings and the capacitance contributed by the SRR gap are modulated accordingly, leading to a large resonant-frequency tuning [34]. Recently, Halas’s group reported a plasmonic metadevice integrating a square array of aluminum nanotriangles with an elastomeric substrate. They experimentally demonstrated that the metadevice exhibits continuously tunable EM responses covering the entire visible spectrum, as well as an active image switching, enabled by mechanically stretching the structure [94]. Despite the limited modulation speed of MSMs based on elastic materials, one can realize different functional devices in different frequency ranges, such as switchable metaholograms and tunable metalenses.

Microfluidics is another powerful technology to achieve MSMs [35, 97, 98]. To achieve the meta-atoms of tunable EM responses, people have designed an array of cavity that can be filled with liquid metal or solvent in a controllable manner based on microfluidics technology and pneumatic valves. For example, Wu et al. experimentally demonstrated a microwave active polarization converter/attenuator with galinstan-based metasurfaces (see Figure 2(f)). By actively controlling the arm length of the L-shaped galinstan resonators via microfluidic channels, the authors demonstrated that the polarization of reflected EM wave can be actively switched among different states, such as linear, circular, and elliptic polarizations, within a broad frequency band (60% relative working bandwidth) and a large incident angle range of 45° [35]. However, the limited modulation speed (up to 100 Hz) and the difficulty on pixelated control for high-frequency EM waves have restricted its potential for versatile applications.

2.3. Optically Tunable Metasurfaces (OTMs). Optical modulation through ultrafast light pulses is so far the fastest modulation technology, which provides another way to realize active metasurfaces typically constructed with meta-atoms involving optically sensitive materials. Semiconductors belong to such kind of materials whose conduction carriers (and in turn, dielectric constants) strongly depend on external optical pumping, and therefore, they are widely used to realize active metadevices at frequencies ranging from THz to the visible [36, 37, 99, 100]. The first OTM was reported in 2006 by Padilla et al., who patterned a copper SRR array on a semi-insulating gallium arsenide (GaAs) substrate [99]. The authors experimentally demonstrated that, under ultrafast (50 fs pulse width) light pumping at 800 nm, photoexcitation induced conductivity in GaAs can short out the gaps of metallic SRRs, thereby tuning off the electric resonance of the metastructure, yielding a strong modulation on transmission amplitude at 0.56 THz. Several years later, instead of using semiconductors as substrates, Padilla and coworkers realized another OTM by directly combining metallic and semiconducting materials to form their composite meta-atom (inset to Figure 3(a)) [36]. As shown in Figure 3(a), the designed composite meta-atom, placed on a silicon-on-sapphire substrate, consists of a metallic SRR with central gap filled with photoconductive silicon. Such configuration permits an optically stimulated capacitance change of the SRR gap, thus leading to a significant resonant frequency shift upon pumping. With an optical pump fluence of 0.5 mJ/cm², the authors observed a 20% resonant-frequency-tuning of the device at ~0.9 THz. Based on similar configuration, Gu et al. designed and fabricated a THz OTM to achieve optically controlled electromagnetically-induced transparency (EIT) associated with actively tuned EM wave group delay. As shown in Figure 3(b), the meta-atom consists of a metallic cut wire and two SRRs with gaps filled with photoconductive silicon [37]. Pumped by light at 800 nm with a power 1350 mW, the whole device undergoes an on-to-off EIT peak modulation, with transmission amplitude varying from 85% to 43% accompanied with a 5.74 ps change in EM wave group delay, at the frequency of 0.74 THz.

On the other hand, high-index semiconducting materials can be directly used to build functional meta-atoms based on the Mie mechanism [101]. Therefore, optically pumping such all-dielectric meta-atoms can efficiently modulate the EM responses of the constructed device, through changing the dielectric constant of the constitututional semiconducting material. Figure 3(c) presents such an OTM, formed by Silica/GaAs/AlGaO nanopillars grown on a bulk GaAs substrate. By means of fs pump-probe spectroscopy, Shcherbakov et al. demonstrated that the absolute reflection amplitude from the metasurface can be modulated by 35%, under a low pump fluence and with a recovery time of ~6 ps. The physical origin comes from ultrafast tuning of spectral position of the magnetic dipole resonance (up to Δλ=30 nm), induced by refraction-index change of GaAs under external optical pumping [38]. Such a metaconfiguration could work at both visible and NIR regimes, with appropriately chosen semiconducting materials.
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Figure 3: Optically tunable metasurfaces. (a) A semiconductor-based OTM achieving dynamical modulation on transmitted THz wave under different pumping conditions. Inset: SEM picture of the involved meta-atom. (b) Optically controlled EIT peak tuning achieved in a THz OTM with photoconductive silicon incorporated. (c) Illustration of ultrafast all-optical tuning of Mie-resonant mode in a GaAs involved dielectric OTM. Inset: measured transient reflectance of the metadevice and the substrate under the same optical pumping. (d) Measured field-dependent nonlinear transmission spectra of a THz OTM with VO$_2$ incorporated. Inset: optical image of the SRR deposited on a VO$_2$/sapphire substrate. (e) All-optical and high-contrast transmission switching of near-IR light in a metadevice incorporated GST nanolayer. Inset: schematics of the multilayer structure of the designed OTM. (f) An OTM realized with optically sensitive polymers for dynamic polarization manipulation of visible light. Reproduced with permissions: (a) from [36] ©2009 NGP; (b) from [37]; (c) from [38]; (d) from [39] ©2012 NGP; (e) from [40] ©2013 Wiley-VCH; (f) from [41] ©2017 NPG.

Light-induced phase-change materials (PCMs) [39–42, 102–107] were another class of candidates to realize OTMs. In 2012, D. Averitt and coworkers experimentally demonstrated a dynamical modulation on THz transmission (with modulation depth of ~38% at 0.41 THz) through a metasurface with vanadium dioxide (VO$_2$) incorporated (see Figure 3(d)). The building meta-atom of such an OTM is a gold SRR with a 1.5 µm gap, patterned on a thin VO$_2$ film deposited on a sapphire substrate. Such optical modulation arises from the insulator-metal transition of the VO$_2$ film induced by high-field THz pulses (3.3MV cm$^{-1}$), aided by strong subwavelength field enhancement generated by the SRR [39]. Actually, VO$_2$ has been popularly used for constructing active metasurfaces, since its insulator-metal transition can be induced by different probing (e.g., optical, electrical, and thermal ones) [42, 104]. Germanium-antimony-tellurium (GST) is another PCM [22, 40, 105], which exhibits two phases (crystalline and amorphous phases) with distinct optical properties (i.e., dielectric constants) under different conditions. Phase changes in GST materials (with optical contrast, high tuning speed, and good thermal stability) can be induced by different external stimuli (e.g., electrical, optical, and thermal ones), which makes GST an ideal material to build tunable metasurfaces [40, 106, 107]. For instance, in 2013, Zheludev and coworkers demonstrated an all-optical OTM through hybridizing GST with a metallic metasurface consisting of asymmetric split ring slots in a metal film (see Figure 3(e)). Using a high-intensity pulsed light (at 660 nm) to induce the amorphous-crystalline phase transition in GST, the authors experimentally observed an obvious shift in resonant frequency of the metasurface, resulting in a 400% modulation on absolute reflection amplitude from the device, at the wavelength around 1550 nm [40].

In addition, some optically sensitive molecules or polymers exhibiting binary isomeric states can also be good candidates to design OTMs. Very recently, Ren et al. reported an OTM in optical regime, which can actively modulate the polarization characteristics of transmitted light beam upon optical stimulation. The realized OTM combines a plasmonic metasurface, which is a metal film drilled with an array of L-shaped slits placed on a fused quartz substrate, and a thin layer of photosomerizable azo-ethyl-red. The tunability of such configuration comes from the strong coupling between plasmonic resonances of the meta-atoms and the switchable
isomeric state of ethyl-red polymer. As shown in Figure 3(f), the authors experimentally demonstrated a >20° nonlinear optical polarization modulation at wavelengths around 820 nm, under weak tuning light excitation of just a few milliwatts [41].

2.4. Thermally Tunable Metasurfaces (TTMs). Combining passive metasurfaces with thermally sensitive materials (e.g., PCMs, LCs, and superconductors), one can realize thermally tunable metasurfaces (TTMs). In last subsection, we have mentioned that VO₂ is a PCM exhibiting a metal-insulator transition under certain conditions. Such phase transition with lower transition temperature compared to GST can be induced not only by light pumping, but also by varying the ambient temperature. As a result, VO₂ has been widely used to design TTMs in different frequency regimes [42, 108, 109]. For instance, in 2009, Driscoll et al. realized a TTM composed by a metallic SRR array placed on a VO₂ substrate and experimentally demonstrated 20% tuning on THz transmission through it (see Figure 4(a)) via changing temperature. The authors further proposed a memory device based on such a TTM [42]. Very recently, Butakov et al. constructed a TTM consisting of an array of wire-and-disk resonators put on a VO₂ layer and experimentally demonstrated that dramatic thermal tuning on reflectance induced resonance-frequency shift triggered by the insulator-metal transition of the VO₂ substrate (Figure 4(b)) [43]. In addition, Chalcogenide phase change materials such as GST are also widely used to realize various switchable functionalities at different working frequencies with thermal stimuli, such as spatial light modulation [105], beam steering, and bifocal lensing [106].

Superconductor is an intriguing material to design TTMs in microwave and THz regimes, since its conductivity strongly depends on temperature which may trigger the transition from superconducting phase to normal one [44, 110]. In 2010, Chen et al. fabricated a TTM based on high-temperature superconducting materials and experimentally demonstrated that its resonance frequency can be tuned efficiently via changing temperature. The realized TTM consists of electric SRRs, made by YBCO superconducting thin films, deposited on a LaAlO₃ substrate. As shown in Figure 4(c), the strength of electrical resonance of the realized TTM decreases as increasing the ambient temperature, manifested by the much broadened and weakened transmission dip [44]. In a parallel line, Jin et al. reported that a superconducting THz metasurface, consisting of double SRRs made by niobium film, exhibits an actively tunable EM response.
stimulated by varying temperature or applying magnetic field [110].

Thanks to their temperature-dependent refraction indexes, LCs have also been widely used to realize TTM at different frequency ranges, such as spatial light modulators, active polarization controllers [45], and beam deflector [111]. Recently, Sautter et al. fabricated a TTM through combining nematic LCs with a dielectric metasurface consisting of silicon nanodisk array. They experimentally demonstrated that the TTM exhibits a thermally controlled resonant frequency shift (up to 40 nm) with a huge transmission contrast (up to 500%) in telecom wavelength regime [45], as shown in Figure 4(d).

2.5. Chemical Approaches to Realize Tunable/Reconfigurable Metasurfaces. Using chemical approach to alter the chemical components of constituent materials forming a metasurface, one can change the EM properties of a whole device accordingly, thereby achieving the desired optical tunability. Recently, Duan et al. experimentally demonstrated the ability to reversibly control the hydrogenation and dehydrogenation of magnesium (Mg) nanoantennas (see Figure 4(e)), enabling a reversible switch between metallic (Mg) and insulating (MgH2) states of the constitutional material forming the nanoantennas, eventually leading to a significant resonant frequency shift of the meta-atom in the optical regime [46]. Such a smart material-processing technology can be used to realize dynamically controlled plasmonic color displays and hydrogen detections.

3. Inhomogeneous Tunable/Reconfigurable Metasurfaces

In previous section, we have summarized available approaches to realize homogeneous tunable/reconfigurable metadevices with constitutional meta-atoms tuned uniformly. However, more fascinating effects would be achieved in inhomogeneous active metasurfaces with meta-atoms tuned independently, such as beam steering, programmable imaging, and holograms. In principle, one can implement the tuning schemes developed in Section 2 to independently tune the EM response of each individual meta-atom in an inhomogeneous metasurface. However, grand challenges exist in reality, especially for constructing metadevices working at high frequencies, caused by issues arising from both scientific and engineering aspects. As a result, naturally, progress along this direction is much less than that along the homogeneous active metasurfaces. In this section, we will briefly review recent efforts devoted to this subfield, including achievements and challenges.

In the microwave regime, voltage-driven elements, such as diodes/varactors/transistors, have been widely used to design active meta-atoms achieving dynamically tunable EM responses, thanks to their miniaturized sizes compatible with passive metallic resonators. Since each meta-atom can be independently controlled by the tunable element embedded, one can assemble these meta-atoms to form an inhomogeneous metasurface with phase/amplitude profiles accurately determined by the voltages applied on different meta-atoms, thus offering the metadevice desired dynamical wave-manipulation functionalities. More exciting progress has been made using such a scheme [47–49, 112–117]. In 2003, Sievenpiper et al. experimentally demonstrated an electrically steerable reflector in the microwave regime by incorporating varactor diodes into a reflective array in MIM configuration. By programming the reflective phase gradient on the device, the authors demonstrated that the reflected microwave beam can be electrically steered over +/− 40° for both polarizations [112]. Recently, Shadrivov et al. proposed a microwave light-tunable metadevice composed by an array of broadside-coupled SRRs, each containing a varactor diode connected with a light emitting diode (LED) [47]. Manipulating the field pattern illuminated on the LED array through controlling the external light radiation, one can individually control the voltages supplied to those varactor diodes, which, in turn, dynamically modulate the EM functionality of whole device. Based on this strategy, the authors successfully realized active switch between focusing and defocusing functionality for the fabricated metadevice (see Figure 5(a)). Very recently, Zhang et al. proposed and realized remote-mode digital coding metasurfaces composed of light-addressable meta-atoms, which enable actively reconfigurable radiation beams in microwave regime by adjusting the intensity of light-emitting diode light [118]. In a parallel line, Xu et al. proposed a “tunable” approach to solve the chromatic issue in passive metasystems constructed by resonant meta-atoms exhibiting Lorentz-shape responses. The idea is to precisely rectify the dispersion-induced phase distortions in different meta-atoms at frequencies away from the target one, through changing the bias voltages applied on the varactor diodes incorporated in those meta-atoms. Based on this concept, the authors experimentally demonstrated a tunable microwave gradient metasurface functioning as a single-mode high-efficiency anomalous reflector at all frequencies within a wide band [113] and a metadevice exhibiting dynamically switchable functionality between specular reflector and surface-wave converter [113]. Moreover, the authors further fabricated a tunable microwave metalens (Figure 5(b)) that can either exhibit aberration-free focusing functionality within a wide frequency band or exhibit dynamically switchable focusing performances at a fixed frequency, depending on how the gating voltages are applied [48]. Very recently, Cui’s group introduced the concept of reprogrammable coding metasurfaces to achieve dynamically controlled manipulations on microwaves [49, 115, 116]. The adopted meta-atom is a MIM structure with top resonator loaded with a varactor diode. Controlling the bias voltage applied across the diode can yield two different reflection phases for the meta-atom, i.e., 0 and π, which are defined by “0” and “1” states, respectively. Therefore, by reprogramming the bias voltages applied on these meta-atoms, the authors were able to control the phase distribution encoded on the whole metadevice, thereby creating multiple holographic images that can be dynamically switched in real time (Figure 5(c)) [49].

The active metadevices mentioned above are all working in reflection geometry, which are relatively easy to realize but are sometimes unfavorable for certain applications. To realize
tunable/reconfigurable metadevices in transmission mode, one needs to precisely control both phase and amplitude of locally transmitted wave through each meta-atom, which is quite challenging. Recently, Chen et al. experimentally demonstrated a microwave reconfigurable metalens based on a tunable Huygens’ surface, which can simultaneously control multiple focal spots at distinct spatial positions. Such an active metadevice was constructed by a 2D array of composite meta-atoms exhibiting both electric and magnetic responses; inside each a voltage-controlled varactor is embedded. Again, varying the voltages applied across these varactors, the authors can precisely and dynamically control the distribution of transmission phase on the metasurface, yielding a dynamical manipulation on the field pattern generated at the transmission side. The measured absolute focusing efficiency is about 36% due to material losses generated at the transmission side. The measured absolute yield of reconfigurable holograms controlled by voltages applied on PIN diodes embedded in constitutional meta-atoms is quite challenging. Recently, Chen et al. experimentally demonstrated a dynamically switchable focusing effect at frequencies higher than GHz, due to lacking suitable voltage-driven miniaturized elements working at those frequencies. Along with rapid development on nanofabrication and material sciences, enormous efforts have been devoted to exploring new tuning technologies in both THz and optical regimes. In 2014, Watts et al. experimentally demonstrated a THz spatial light modulator (SLM) for high-frame-rate high-fidelity THz imaging with a single-pixel detector (see Figure 5(e)). Such THz SLM was constructed by
experimentally demonstrated that the more, the authors further employed such tunable phaseshift under damped-to-overdamped resonator transition. What is that of the graphene metasurface, based on the same working principle of such a device is essentially the same permittivity of TCO operating at the ENZ condition. The phase of the whole device, thanks to the field-modulated metagrating, the authors can dynamically tune the reflection phase of the whole device, thanks to the field-modulated permittivity of TCO operating at the ENZ condition. The working principle of such a device is essentially the same as that of the graphene metasurface, based on the same underdamped-to-overdamped resonator transition. What is more, the authors further employed such tunable phase shift to design electrically controlled 2-level phase-grating and experimentally demonstrated that the ±1 order diffracted beams angle can be actively steered by gating metagratings with different periodicities, as shown in Figure 5(f) [52]. Recently, stretchable substrates have been widely used to realize inhomogeneous tunable/reconfigurable metadevices in the optical regime, such as tunable color generator [93] and tunable metalens [53, 94]. In 2018, Capasso’s group experimentally demonstrated electrically switchable large-area metalenses controlled by artificial muscles (dielectric elastomer actuators), which are capable to perform simultaneously focal length tuning, astigmatism, and image shift corrections [53]. The metalens’s phase profile can be coupled to voltage-induced stretching by bonding itself with artificial muscles. As shown in Figure 5(g), such an electrically adaptive metalens (with single-layer configuration) exhibits 107% focal length modulation at 1550 nm.

4. Conclusions and Perspectives

In conclusion, we have briefly summarized recent exciting progress on tunable/reconfigurable metasurfaces with meta-atoms controlled both uniformly and individually by different types of external knobs, focusing particularly on available tuning mechanisms and potential applications of realized metadevices. These research outputs have offered metasurfaces significantly expanded capabilities to control EM waves in different frequency regimes, providing a promising platform to realize functional devices meeting different applications, such as SLMs [33, 36, 121, 122], switchable color filter [123], tunable metalenses [53, 96, 124], and polarization controller [31, 41]. However, although tunable metadevices can bring expanded controllability on EM waves, they inevitably exhibit certain disadvantage as compared to passive ones. For example, active metasurfaces usually possess more complex configurations than their passive counterparts, since active materials and external control systems must be integrated into the systems. Also, active metadevices may exhibit degraded working efficiencies due to the absorption of added tunable materials.

Overall, it is worth providing a clear comparison on different tuning approaches to realize tunable and reconfigurable metadevices at different working frequency ranges with different functionalities (see Table 1). One of the commonly used approaches to tune EM properties of metadevices is through the free carrier doping in conductive materials with electrical gating and photoexcitation methods. Conventional semiconductors (e.g., GaAs, Silicon, and germanium), atomically thin 2D materials (e.g., graphene; MoS2), and transparent conducting oxides or nitrides (e.g., ITO; AZO) are widely used in the spectral range covering THz and up to visible regime. The tuning approaches based on phase transition under different external knobs (e.g., electrical, optical, and thermal stimuli) have played important roles in active metasurfaces, thanks to the high tunability in refractive index of the PCM (e.g., GST, LCs, VO2, and superconductor) in the spectral range from GHz to visible. Mechanical tuning offers another effective way to switch the EM properties of metadevices by reconfiguring the shape and surrounding environment of meta-atom by the means of MEMS/NEMS, elastic subtract, or microfluidics. Another notable approach to realize tunable metadevices below and up to GHz regime is through electrical control on diodes/varactor/transistor hybridized with metasystems. Due to the restriction on article length, we could not cover more important progress in this rapidly growing subarea, such as spatiotemporal metasurfaces [15, 125–127], active metasurfaces for other waves including acoustic [128–132] and thematic waves [133, 134].

Although we have witnessed the great progress achieved in the field of tunable and reconfigurable metasurfaces, several grand challenges still exist. For example, to freely control EM waves dynamically, one needs to manipulate both phase and amplitude of local EM field independently. However, many currently existing mechanisms modulate the phases and amplitudes of EM waves simultaneously and in a locked way, which significantly limits their applications in realizing functional metadevices. Therefore, it is highly desired to find new mechanisms that can achieve wide-range yet decoupled modulations on EM phase and amplitude, particularly, at high frequencies. Meanwhile, establishing new technologies to realize local controls on light waves at optical frequencies is another major challenge in this subfield, since many techniques developed in GHz/THz regimes do not work at high frequencies, due to significantly increased difficulties in fabrications and material processing. The third grand challenge arises from material aspect. So far, most realized tunable/reconfigurable metadevices are based on plasmonic systems, which are incompatible with current CMOS technology. Such an issue could be an obstacle for integrating the developed metadevices with existing photonic systems. Fortunately, emergence of dielectric metasurfaces and new materials (e.g., transition metal nitrides and transparent conductive oxides) provides new possibilities to achieve CMOS-compatible tunable metadevices. In our opinion, these grand challenges actually provide new opportunities for scientists working in this field, which can eventually push the field forward.
Before concluding this review, we would like to mention several important future directions in this subfield, based on our perspectives:

[1] Spatiotemporal tunable metasurfaces. Recently, as a new branch of active metasurface research, spatiotemporal metasurfaces, incorporating both spatial- and time-varying gradients of abrupt phases, have attracted rapidly growing interests, due to the stimulated new physical effects not presented in their static counterparts [15, 18]. For example, the time-varying gradient can impart additional frequency to the incoming EM wave, thereby resulting in a Doppler-like shift in the frequency. Shalaev and coworkers showed that spatiotemporal metasurfaces can lead to a generalized Snell’s law, where both momentum and energy conservation are relaxed and several fascinating applications could be achieved [127]. Cui et al. experimentally demonstrated that the harmonics of the scattering wave can be freely manipulated in both amplitude and phase with time-varying metasurfaces, by controlling the time-sequences of the external sources [125, 126]. We expect more fascinating discoveries to appear in this subfield.

[2] Active metadevices for the waves other than EM wave. Since the concept of metasurfaces/metamaterials can be extended to manipulate waves other than EM wave (e.g., acoustic wave [128, 129, 131, 132] and thematic wave [133, 134]), many research efforts have been devoted in the new subfield of tunable acoustic and thematic metamaterials/metasurfaces, leading to many fancy physical effect and practical applications [133, 134]. For example, Li et al. theoretically and experimentally demonstrated that robust and switchable acoustic asymmetric transmission can be achieved through gradient-index metasurfaces by harnessing carefully tailored losses [129]. Huang and coworkers established an approach to design switchable thermal cloaking and experimentally realized a macroscopic thermal diode of huge potential applications related to heat preservation and dissipation [133].

[3] Tunable metasystem for real applications. Facing a different application scenario, different tunable and reconfigurable metadevices can be implemented based on different structure with different tuning mechanisms and active materials. However for real applications, lots of efforts are still needed, for example, in integrating tunable metasurfaces with conventional electrooptical devices, in large area low-cost fabrications, and in constructing software-defined platforms for automatic control on metadevices.

Novel ideas in the context of tunable and reconfigurable metasurfaces, beyond those introduced in this review, will surely arise in the near future, leading to versatile and powerful applications, such as tunable lenses, laser beam...
steering, and 3D dynamic holographic display, to name just a few. Based on the progress over the past decade, we can surely have a rosy prospective on the future of tunable and reconfigurable metamaterials.

Conflicts of Interest
The authors declare no conflicts of interest.

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