The Caries-Preventive Effect of Chlorhexidine Varnish in Children and Adolescents: A Systematic Review

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Abstract

Aims: Our purpose was to systematically review the literature on the effectiveness of chlorhexidine varnish for preventing dental caries in children and adolescents and to determine its effectiveness compared to fluoride varnish.

Methods: MEDLINE, EMBASE and the Cochrane Library were searched through December 2009 to identify relevant randomised trials with blind outcome assessment and a minimum duration of 1 year. The search was later updated in MEDLINE and the Cochrane Library to March 19th, 2010. Risk of bias of the included trials was assessed. The primary outcome was the caries increment. Results: Twelve trials met the inclusion criteria for the review. There was considerable variation between trials in the concentration and frequency of application of the chlorhexidine varnish, in baseline caries levels and in background exposure to fluoride. Six parallel-group trials reported no statistically significant difference in caries increment in permanent teeth with the application of chlorhexidine varnish compared to placebo or no treatment. The results of 4 split-mouth trials were conflicting: 2 trials found no significant difference in caries increment and 2 reported statistically significant results in favour of chlorhexidine varnish. One trial of the effect of chlorhexidine varnish in primary teeth demonstrated a statistically significant reduction in caries increment. The results of 1 trial comparing chlorhexidine varnish with fluoride varnish for preventing caries in adolescents were equivocal. Conclusion: Evidence regarding the effectiveness of chlorhexidine varnish for preventing caries in adolescents was equivocal. Further well-conducted randomised trials are required before chlorhexidine varnish can be recommended for caries prevention.

Although the prevalence and severity of dental caries has declined in many developed countries in recent decades, the disease is still a major public health problem in industrialised countries and is an emerging public health problem in developing countries, as a consequence of increased consumption of sugars, inadequate exposure to fluoride and limited access to dental care [Petersen, 2003].

There is a large body of evidence to support the use of topical fluorides (varnish, gel, toothpaste, mouthrinse) [Marinho et al., 2002a; Marinho et al., 2002b; Marinho et al., 2003a; Marinho et al., 2003b] and fissure sealants [Mejare et al., 2003; Ahovuo-Saloranta et al., 2008] for caries prevention. Mutans streptococci (MS) have been shown to play a major role in the initiation of the caries...
process [van Houte, 1980; Loesche, 1986], and the use of antimicrobials, as an alternative to or in combination with fluoride, has also been explored.

Chlorhexidine is an antimicrobial agent that is particularly effective in reducing the levels of MS in saliva and dental plaque [Emilson, 1981; Schaeken et al., 1989; Schaeken et al., 1991; Emilson, 1994]. Its effectiveness is attributed to its substantivity (i.e. its ability to maintain therapeutic activity for a prolonged period of time), which is facilitated by its adsorption onto tooth surfaces, pellicle, plaque and mucous membranes [Rölla et al., 1971; Bonesvoll et al., 1974]. Chlorhexidine has been studied extensively both as an antiplaque and antigingivitis agent and for its potential to prevent and control dental caries. It is available in a variety of different formulations (i.e. mouthrinse, gel, varnish, toothpaste) and concentrations. Chlorhexidine varnish was developed to prolong the contact of the chlorhexidine with the teeth and to provide sustained release of the antimicrobial agent for increased effectiveness [Balanyk and Sandham, 1985].

Studies published in the 1970s and 1980s evaluating the caries-preventive effect of chlorhexidine gel, rinse and toothpaste reported generally positive results [van Rijkom et al., 1996]. However, the results of subsequent trials, mainly evaluating chlorhexidine varnish, conducted in a variety of locations worldwide and published by many different research groups, have produced conflicting results. The systematic review by Twetman [2004] included 16 trials published between 1995 and 2003. Due to the conflicting results of the trials, evidence for the effectiveness of chlorhexidine varnish was rated as inconclusive. Based on the results of 10 trials, 8 of which had featured in the Twetman review, Zhang et al. [2006a] concluded that chlorhexidine varnish had a moderate caries-preventive effect when applied at intervals of 3–4 months. The authors of this systematic review added that there was no evidence for effectiveness with longer intervals of application.

Both reviews included randomised and controlled clinical trials involving children and adolescents, but neither review presented an assessment of the methodological quality of the included trials. In addition, the caries-preventive effect of chlorhexidine in primary teeth was not investigated. Randomised trials are considered to provide the best evidence of effect because the randomisation of participants to intervention and control groups minimises selection bias. The aim of this review is to summarise the evidence of the effectiveness of chlorhexidine varnish at preventing caries in the permanent and primary teeth of children and adolescents compared to placebo or no treatment, using data from randomised controlled trials only. A secondary aim is to summarise the evidence of the caries-preventive effectiveness of chlorhexidine varnish compared to fluoride varnish.

**Materials and Methods**

A search strategy was developed around the terms ‘chlorhexidine varnish’ and ‘dental caries’ and run in MEDLINE, EMBASE and the Cochrane Central Register of Controlled Trials through December 2009. The search was later updated in MEDLINE and the Central Register of Controlled Trials to March 19th, 2010. The search results were limited to include only trials on humans, in English and limited to individuals aged ≤18 years. Six hundred and ninety-eight non-duplicate records were obtained. Previously published systematic reviews were examined for their included trials and reference lists in these reviews were searched, but no additional relevant trials were identified.

Inclusion criteria for the review were decided a priori and applied independently by 2 authors (P.J. and C.P.). Randomised or quasi-randomised controlled trials with blind outcome assessment and a minimum duration of 1 year comparing chlorhexidine varnish to placebo, no treatment or fluoride varnish, in children and adolescents aged 18 years or younger, were included in the review. A decision was made to include split-mouth as well as parallel-group trials for the main review outcome (chlorhexidine varnish versus placebo or no treatment) but to analyse them separately. Split-mouth trials are considered problematic when evaluating the effect of chlorhexidine varnish because of the potential for a carry-over effect from the test to the control side. However, a systematic review which looked at the effect of different formulations of chlorhexidine at reducing the levels of MS in the mouth found no difference in the decrease in MS levels observed in the split-mouth trials compared to other studies with control groups [Ribeiro et al., 2007], which would suggest a negligible impact if carry-over had occurred. It has also been suggested that the amount of chlorhexidine that is released from varnish is too low to be effective on other sites of the dentition [Zhang et al., 2006a]. For the comparison between chlorhexidine varnish and fluoride varnish, only parallel-group trials were included, as a carry-over effect for fluoride varnish in split-mouth trials cannot be ruled out [Marinho et al., 2002a]. Trials where chlorhexidine varnish explicitly formed part of a combined intervention with other preventive methods were excluded.

The primary outcome measure was the caries increment determined using the decayed missing and filled surface (DMFS/dmfs) index. Only trials where caries was assessed by visual/visual-tactile or radiographic methods were included. Side effects such as discoloration of teeth or oral allergic reactions, and acceptability of treatment measured by dropouts or reports of poor taste were considered as secondary outcomes.

Titles and abstracts of the 698 deduplicated records were screened and 22 were considered potentially relevant and examined in full. Additional data were sought from the authors of 3 trials regarding blind outcome assessment [Madlena et al., 2000; Jenatschke et al., 2001; Rodrigues et al., 2008] and were received for 2 [Jenatschke et al., 2001; Rodrigues et al., 2008], which per-
mitted their inclusion in the review. In all, 12 trials met the inclusion criteria [Bratthall et al., 1995; Fennis-le et al., 1998; Forgie et al., 2000; Petersson et al., 2000; Jenatschke et al., 2001; De Soet et al., 2002; Baca et al., 2003; Haukali and Poulsen, 2003; Du et al., 2006; Zhang et al., 2006b; Ersin et al., 2008; Rodrigues et al., 2008] and 10 were excluded [Ogaard et al., 1997; Twetman and Petersson, 1999; Madlena et al., 2000; Slieth et al., 2000; Araujo et al., 2002; Baca et al., 2002; Baca et al., 2004; Skold-Larsson et al., 2004; Plotzitza et al., 2005; De Amorim et al., 2008]. In one of the included trials [Ersin et al., 2008], the control group received dental health education every 3 months. It was considered acceptable to include this trial in the review because this group was the intended control group and dental health education alone has not been shown to be effective in reducing caries [Kay and Locker, 1996; Vanobbergen et al., 2004]. Data were extracted from the included trials by 1 author (P.J.) using a specially developed data extraction form and checked by another author (C.P.).

**Table 1. Parallel-group trials comparing chlorhexidine varnish to placebo/no treatment/fluoride varnish**

| Trial | Age years | Intervention | Comparison | Application frequency | n | Dropouts % | Trial duration years | Tooth type/surface | Mean DMFS baseline | Exposure to fluoride | ΔDMFS CHX/comparison | Statistical significance (p value) | Risk of bias |
|-------|-----------|--------------|------------|-----------------------|---|-------------|----------------------|-------------------|-------------------|-------------------|-----------------|--------------------------|-------------|
| **Permanent teeth** |
| Baca [2003] (RCT) | 6–7 | CHX 1% + thymol 1% | no tx | 3/12 | 127 | 45 | evaluated 3 years after end of 2-year trial | FPM/all | 0.23 (D) | FTP assumed | D: 3.03/2.86 | NS (p = 0.877) | high |
| Ersin [2008] (RCT) | 11–13 | CHX 1% + thymol 1% | DHE | 3/12 | 149 | 15.4 | 2 | all/all | 0 | FTP | D: 0.95/1.05 | NS (p > 0.05) | low |
| Forgie [2000] (RCT) | 11–13 | CHX 10% | placebo | 6–12 applications depending on MS levels | 592 | 16.2 | 3 | all/all | 4.11 (D) | FTP assumed | E+D clinical + B/W: 11.03/10.24 | NS | unclear |
| De Soet [2002] (RCT) | 13–14 | CHX 40% | placebo | 6/12 | 238 | 18.5 | 2.5 | all/all | 3.93 | FTP use uncommon | E+D: 2.07/1.68 | NS | unclear |
| Fennis-le [1998] (RCT) | 6 and 12 | CHX 40% | placebo | 6/12 | 332 | 5 | 3 | FPM/SMP/Occ. | 1.95 dmfs (age 6) 1.0 (age 12) | FTP assumed topical F 6/12 | E+D: 1.4/1.5 | NS | unclear |
| Jenatschke [2001] (RCT) | 11–18 | CHX 40% | placebo | 2/12 | 33 | 0 | median 21 months | all/all | 9.25 (D) | FTP assumed FMR daily F gel weekly | D: 3.9/6.3 | NS | high |
| Petersson [2000] (RCT) | 13–14 | CHX 1% + thymol 1% | FV 0.1% | 3/12 | 180 | 8 | 3 | canine-2nd molar/Approx. | 2.35 | FTP | E+D B/W: 3.68/2.81 | NS | unclear |
| **Primary teeth** |
| Du [2006] (RCT) | 4–5 | CHX 40% | placebo | 6/12 | 334 | 13 | 2 | primary molars/all | 2.7 (dmfs molar) | F water 0.1–0.3 ppm | D: 1.0/1.6 | PF 37.3% | S (p = 0.036) | low |

n = Number of participants at baseline; CHX = chlorhexidine; RCT = randomised controlled trial; no tx = no treatment; DHE = dental health education; FPM = first permanent molar; SPM = second permanent molar; Occ. = occlusal surface; Approx. = approximal surface; D = dentine; E+D = enamel + dentine; S = statistically significant; NS = not statistically significant; F = fluoride; FMR = fluoride mouthrinse; FTP = fluoride toothpaste; FV = fluoride varnish; B/W = bitewing radiographs; PF = prevented fraction.

**Assessment of Risk of Bias in Included Trials**

Risk of bias of the included trials was assessed independently by 2 authors (P.J. and C.P.) using the ‘risk of bias’ assessment tool described in the Cochrane Handbook for Systematic Reviews of Interventions Version 5.0.2 [Higgins and Deeks, 2009]. Any areas of disagreement were resolved by discussion. The overall assessments of risk of bias for each trial as ‘high’, ‘low’ and ‘unclear’ are listed in tables 1 and 2.

**Data Analysis**

It was envisaged that the results of the included trials would be presented graphically in a forest plot and that statistical heteroge-
Heterogeneity would be assessed in this manner. Parallel-group and split-mouth trials would be considered separately to take account of potential differences in effect due to study design. However, due to missing data and variation in the reporting of outcomes, this approach was not possible. Therefore, it was decided to present a narrative summary of the results in which parallel-group and split-mouth trials are considered separately. Heterogeneity was assessed informally by examination of the summary of results tables (tables 1 and 2).

**Results**

**Chlorhexidine Varnish Compared to Placebo or No Treatment in Permanent Teeth**

Six parallel-group trials assessed the effectiveness of chlorhexidine varnish compared to placebo or no treatment for preventing caries in permanent teeth and details of these trials are presented in table 1. There was substantial variation between the trials in the concentration of chlorhexidine varnish used (1, 10 and 40%), the baseline level of caries (range: 0–9.25 DMFS) and background fluoride exposure. The majority of the parallel-group trials assessed the effectiveness of chlorhexidine varnish at preventing caries on all surfaces of all teeth. Most of the trial participants were considered at moderate to high risk of developing dental caries. The duration of the trials ranged from 2 to 3 years, with the exception of 1 trial where the duration of the intervention was dependent on the treatment time with fixed orthodontic appliances (median duration 21 months) [Jenatschke et al., 2001]. One trial evaluated the effect 3 years after the termination of a 2-year trial [Baca et al., 2003]. None of the parallel-group trials included in the review reported a statistically significant reduction in caries in the chlorhexidine varnish groups compared to placebo or no treatment.

Four split-mouth trials assessed the effectiveness of chlorhexidine varnish for preventing caries and details of these trials are presented in table 2. Three of the trials evaluated 1% chlorhexidine-thymol varnish [Bratthall et al., 1995; Haukali and Poulsen, 2003; Rodrigues et al., 2008]. The fourth trial evaluated 1% chlorhexidine varnish compared to placebo 3 years after the termination of a 2-year trial [Baca et al., 2003].
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Chlorhexidine Varnish for Preventing Caries in Primary Teeth

Chlorhexidine Varnish Compared to Placebo or No Treatment in Primary Teeth

Only one included trial evaluated the caries-preventive effect of chlorhexidine varnish in primary teeth [Du et al., 2006] (table 1). This double-blind cluster randomised placebo-controlled trial was conducted in China and involved 6-monthly applications of 40% chlorhexidine varnish in 4- to 5-year-old children with low background exposure to fluoride. Although the overall 2-year caries increment in primary molars was quite low, a statistically significant reduction in the caries increment in dentine was reported for children in the chlorhexidine varnish group compared to children in the placebo group (mean DMFS molar 1.0 versus 1.6, p = 0.036). The results suggested a 37.3% reduction in caries increment over 2 years.

Chlorhexidine Varnish Compared to Fluoride Varnish

One trial compared the caries-preventive effect of chlorhexidine varnish directly with that of fluoride varnish (table 1). In a single-blind, randomised controlled trial, Petersson et al. [2000] compared the effectiveness of 3-monthly 1% chlorhexidine-thymol varnish applications with 3-monthly 0.1% fluoride varnish applications for preventing approximal caries in a group of 180 Swedish adolescents with background exposure to topical fluoride applications. The caries increment measured radiographically after 3 years was mostly in enamel and was slightly higher in the chlorhexidine varnish group, but the authors reported that the difference was not statistically significant (mean DMFS approximal 3.08 versus 2.81 respectively, no p value reported).

Risk of Bias in Included Trials

Sequence generation and allocation concealment were poorly reported in the majority of the included trials; only 4 of them reported sufficient information to assess the method of randomisation [Haukali and Poulsen, 2003; Du et al., 2006; Zhang et al., 2006b; Ersin et al., 2008]. One of the 4 was judged to be quasi-randomised and therefore at risk of selection bias because the method of randomisation, although systematic, was not considered to be truly random [Zhang et al., 2006b]. Personal communication with the author of another trial indicated that the trial was also quasi-randomised [Rodrigues et al., 2008]. Baseline imbalances in MS counts [Fennis-Le et al., 1998] and caries levels [Forgie et al., 2000; Jenatschke et al., 2001], high or unexplained losses to follow-up [de Soet et al., 2002; Baca et al., 2003], lack of assessment of intraexaminer reliability [Petersson et al., 2000; de Soet et al., 2002] and small sample size [Jenatschke et al., 2001] reduced the quality of the evidence provided by the included trials.

Adverse Effects and Acceptability of Treatment

No adverse effects were reported in any of the included trials. Three trials reported that the chlorhexidine varnish (1 and 10%) was well tolerated by the trial participants [Bratthall et al., 1995; Forgie et al., 2000; Rodrigues et al., 2008]. Two trials reported dropouts due to the taste of the varnish. Dropouts were minimal (2 participants) for one of these trials [Haukali and Poulsen, 2003] involving 13-year-old children and 1% chlorhexidine-thymol...
varnish. The second trial [Du et al., 2006] reported that 13 participants aged 4–5 years (4% of the participants at baseline) objected to the taste of the varnish (40% chlorhexidine) and refused to be examined.

Discussion

The results of the trials included in this review are conflicting, but in general, the evidence does not support the use of chlorhexidine varnish for preventing caries in children and adolescents. By restricting the included trials to randomised trials with blind outcome assessment, the intention was to focus the review on the highest-quality evidence available on the effectiveness of chlorhexidine varnish. However, despite this, the quality of the 12 included trials was disappointing, with only 4 being assessed as having a low risk of bias [Bratthall et al., 1995; Haukali and Poulsen, 2003; Du et al., 2006; Ersin et al., 2008]. Focusing our attention on the results of the 4 trials with a low risk of bias does not provide clarity as the results are still conflicting and the variation between the trials further confuses matters: 2 of the trials [Bratthall et al., 1995; Du et al., 2006] reported statistically significant results in favour of the chlorhexidine varnish but differed in their design, concentration of varnish used, application frequency, type of control group (no treatment in one trial and placebo in the other) and dentition to which the varnish was applied. The other 2 trials failed to find a significant caries-preventive effect for chlorhexidine varnish [Haukali and Poulsen, 2003; Ersin et al., 2008], and these trials differed in design, unit of analysis (quadrants versus individuals) and type of control (placebo versus dental health education). Evidence of the comparative effectiveness of chlorhexidine varnish and fluoride varnish comes from just 1 randomised trial [Petersson et al., 2000] and is equivocal.

The rationale for using chlorhexidine to prevent caries is based on its ability to effectively suppress MS. The duration of suppression of MS is variable and may be influenced by the chlorhexidine concentration of the varnish [Ribeiro et al., 2007]. Although suppression of MS has been shown to be more effective with higher-concentration varnishes such as 40% chlorhexidine [Schaeken et al., 1989; Schaeken et al., 1991; Attin et al., 2003], no pattern in relation to concentration and caries-preventive effect could be observed in this review. The frequency of application of the varnish may also influence the duration of MS suppression [Le and Schaeken, 1993], but among the trials that showed a significant effect, the frequency of application varied. The results of 2 trials suggest that any potential effect on caries fades 1–3 years after the last chlorhexidine varnish application [Baca et al., 2003; Zhang et al., 2006b].

The 3 trials that showed a significant effect for the use of chlorhexidine varnish were all conducted in developing countries: 2 in China [Du et al., 2006; Zhang et al., 2006b] and 1 in Thailand [Bratthall et al., 1995]. Exposure to fluoride and to preventive programmes can be considered low for the participants of the Chinese trials but is unknown for the trial conducted in Thailand. Chlorhexidine varnish may provide a benefit for children in developing countries, but the generalisability of the results of these trials to the developed world can be questioned, particularly in view of the exposure of children in developed countries to fluoride from many sources.

There is a possibility of carry-over of the effect of chlorhexidine varnish from the test to the control side in the included split-mouth trials. The effect of such carry-over would be to mask any variation in caries increment so that any differences between the test and control sides would be less likely to reach statistical significance. It is worth noting that 2 of the 3 trials that reported a statistically significant reduction in caries with chlorhexidine varnish application were split-mouth trials. Therefore a carry-over effect was not evident in the split-mouth trials included in this review.

In a narrative summary of results it is important to be cautious about drawing conclusions based on the results of individual trials because they may have been underpowered to detect, as statistically significant, a clinically relevant difference between the test and comparison groups. Of the 12 trials included in the review, only 4 reported that a formal method was used to determine the sample size [Forgie et al., 2000; Haukali and Poulsen, 2003; Du et al., 2006; Zhang et al., 2006b] and only 1 of these 4 trials was adequately powered at the end of the trial [Zhang et al., 2006b]. The other 3 trials were very slightly underpowered at follow-up due to higher than expected dropout of trial participants. It is not possible to make any judgement regarding the adequacy of the sample size for the remaining 8 trials.

The findings of this review are in agreement with the conclusion of the systematic review by Twetman [2004]. Of the 16 trials in his review, 8 failed to meet the inclusion criteria. Four of these excluded trials showed a statistically significant effect for chlorhexidine varnish treatment. Twetman concluded that the evidence for a caries-preventive effect of chlorhexidine varnish was inconclusive for children and adolescents with daily exposure to fluoride.
The most recent systematic review of the effectiveness of chlorhexidine varnish [Zhang et al., 2006a] included 10 trials, 7 of which were also part of this review. The authors tentatively concluded that chlorhexidine varnish applied at 3- to 4-month intervals had a moderate caries-preventive effect but no influence when applied at longer intervals. The conclusion was based on the results of 4 split-mouth trials that showed a caries-inhibiting effect for chlorhexidine varnish applied at intervals of 3–4 months. Two of these 4 trials did not meet the inclusion criteria for the present review. The qualitative approach employed in the present review, combined with different criteria for inclusion of trials, may help to explain the difference in our conclusions.

Evidence for the effectiveness of chlorhexidine varnish for preventing caries in permanent and primary teeth of children and adolescents is generally lacking. Two trials judged to have a low risk of bias found a statistically significant effect for chlorhexidine varnish in permanent [Bratthall et al., 1995] and primary teeth [Du et al., 2006]; however as these trials were conducted in areas where background exposure to fluoride was either low or unreported, their applicability to children and adolescents in developed countries is questionable. Further well-conducted, adequately powered randomised trials with a focus on preschool children and children with erupting or newly erupted first permanent molars are required before its use as a caries-preventive agent can be advocated. Consideration should be given to using a high-concentration chlorhexidine varnish with an application frequency adequate to effectively suppress MS over the period of the trial. In the meantime, other caries-preventive agents with established effectiveness, such as fluoride varnish, should be used.

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