Role of Diffusion Tensor Imaging as an Independent Predictor of Cognitive and Language Development in Extremely Low-Birth-Weight Infants

U. Pogribna, K. Burson, R.E. Lasky, P.A. Narayana, P.W. Evans, and N.A. Parikh

ABSTRACT

BACKGROUND AND PURPOSE: Diffusion tensor imaging at term can predict later development of cerebral palsy. Less is known about its ability to independently predict cognitive and language development in extremely preterm infants. The goals of the study were to investigate the following: 1) whether regional DTI measures at term-equivalent age in extremely low-birth-weight infants (birth weight, \( \leq 1000 \) g) are predictive of Bayley III developmental scores at 18- to 22-months’ corrected age, and 2) to compare white matter microstructural development at term and neurodevelopmental outcomes of extremely low-birth-weight infants with healthy term controls.

MATERIALS AND METHODS: Fractional anisotropy and mean diffusivity in 7 vulnerable cerebral regions were measured in 42 extremely low-birth-weight and 16 term infants with high-quality DTI scans. The Bayley mental scale score (average of cognitive and language scale scores) was the primary outcome of interest with individual scores serving as secondary outcomes. Multiple linear regression modeling was used to identify the incremental ability of DTI measures to predict Bayley scores over known predictors.

RESULTS: Compared with healthy term infants, extremely low-birth-weight infants exhibited significantly higher mean diffusivity and lower fractional anisotropy in 6 of 7 regions. At 18- to 22-months’ corrected age, 39 extremely low-birth-weight infants (93%) and 14 term infants (88%) had undergone neurodevelopmental assessments. Although not statistically significant, extremely low-birth-weight infants averaged 7–9 points lower on Bayley subtests than term controls. In multivariable analyses, centrum semiovale mean diffusivity was a significant predictor of mental and language scale scores, and subventricular zone fractional anisotropy was a significant predictor of cognitive scale scores. A 10% increase in centrum semiovale mean diffusivity was associated with a 4.6 (95% CI, 1.6–7.6) point lower mental scale score (adjusted \( R^2 = 0.341, P = .001 \)).

CONCLUSIONS: In our extremely low-birth-weight cohort, DTI was an independent predictor of later cognitive and language development.

ABBREVIATIONS: ELBW — extremely low-birth-weight (\( \leq 1000 \) g birth weight); FA — fractional anisotropy; GA — gestational age; MD — mean diffusivity

U. Pogribna, K. Burson, R.E. Lasky, P.A. Narayana, P.W. Evans, and N.A. Parikh

Received May 30, 2013; accepted after revision July 24.

From the Division of Neonatology (U.P., K.B., R.E.L., P.W.E., N.A.P.), Department of Pediatrics, and Department of Radiology (P.A.N.), University of Texas Health Science Center, Houston, Texas; Center for Perinatal Research (N.A.P.), The Research Institute at Nationwide Children’s Hospital, Columbus, Ohio; and Department of Pediatrics (N.A.P.), Ohio State University College of Medicine, Columbus, Ohio.

Author contributions: conceived and designed the experiments: N.A.P., R.E.L., P.A.N.; performed the experiments: N.A.P., K.B., P.W.E., U.P.; analyzed the data: U.P., N.A.P.; wrote the paper: U.P., N.A.P.; critically reviewed the paper: U.P., K.B., R.E.L., P.A.N., P.W.E., N.A.P.

This work was supported by the National Center for Research Resources grant UL1 RR024148 (University of Texas Health Science Center at Houston Center for Clinical and Translational Sciences) and the National Center for Research Resources/Eunice Shriver Kennedy National Institute of Child Health & Human Development, grant U11 RR024148-04S3 (Best Pharmaceuticals for Children Act). The 3T scanner was partially funded by National Center for Research Resources/National Institutes of Health through a grant to P.A.N. (grant 550 RR09986). The funding agencies played no role in the design, conduct, or analysis of the trial. The authors take full responsibility for the integrity of the data and analyses.

Paper previously presented at: Neonatology General Poster Session at the Pediatric Academic Societies Annual Meeting, May 4–7, 2013; Washington, DC (Abstract, Publication 3832.535).

Please address correspondence to Nehal A. Parikh, D.O., M.S., Center for Perinatal Research, Research Building III, 575 Children’s Crossroad, Columbus, OH 43215; e-mail: Nehal.Parikh@NationwideChildrens.org
could facilitate targeted intensive early intervention therapies and novel neuroprotection trials.

Recent MR imaging advances permit quantification of water diffusion and anisotropy in vivo by using DTI. This advancement has facilitated sensitive detection of microstructural white matter injury and aberrant brain development in extremely preterm infants, making DTI a powerful diagnostic tool and potential early imaging biomarker for cognitive and other neurodevelopmental impairments.8–11 There is emerging evidence that DTI can predict neurodevelopmental impairments in very low-birth-weight (≤1500 g) infants.12–15 However, studies in more immature extremely low-birth-weight (≤1000 g) (ELBW) populations are lacking, and the incremental benefits of DTI over conventional MR imaging remain poorly defined. The goals of our study were 2-fold: 1) to investigate whether regional abnormalities on DTI at term-equivalent age in ELBW infants are independent predictors, over known clinical predictors, of standardized cognitive and language scores at 18- to 22-months’ corrected age; and 2) to compare white matter microstructural development at term and neurodevelopmental outcomes of ELBW infants with healthy term controls at 18- to 22-months’ corrected age by using the newer Bayley Scales of Infant and Toddler Development III.16

MATERIALS AND METHODS
Participants
Fifty ELBW and 16 healthy term infants from the Children’s Memorial Hermann Hospital neonatal intensive care unit and neonatal nursery, respectively, were enrolled to undergo brain DTI at 38 weeks’ postmenstrual age or before discharge, if discharge was earlier. Dates of enrollment for ELBW and term infants were May 2007 to July 2009 and July 2008 to January 2010, respectively. The main eligibility criteria for ELBW infants were a birth weight ≤1000 g and survival to 34 weeks postmenstrual age or greater and, for term infants, gestational age (GA) of ≥37 weeks and birth weight appropriate for GA. ELBW infants with known congenital CNS anomalies or who were mechanically ventilated with unstable clinical status at the time of enrollment were excluded. Term infants with any history of perinatal distress or complications or ≥42 weeks’ GA were excluded. Institutional review board approval and informed consent were obtained before enrollment.

Image Acquisition and Processing
We used a 3T Achieva scanner (Philips Healthcare, Best, the Netherlands), equipped with a 32-channel receiver and a gradient system capable of producing gradient amplitudes of 80 mT/m with a slew rate of 200 T/m/s for all MR imaging. An 8-channel phased array head coil was used for data acquisition. The DTI protocol consisted of a single-shot, spin-echo planar sequence with TR/TE, 6000/61 ms; in-plane resolution, 1.6 × 1.6 mm²; FOV, 180 mm²; 128 × 128 matrix; and 2-mm contiguous sections. Fifteen directions of diffusion gradients were used with a b-value of 800 s/mm²; low b-value = 0; sensitivity encoding factor = 2. The imaging parameters for the proton-attenuation/T2-weighted scan were the following: TE1, 9 ms; TE2, 175 ms; TR, 10,000 ms; flip angle, 90°; FOV, 180 × 180 mm²; 256 × 256 mm² matrix; section thickness, 2 mm. Sagittal T1, axial FLAIR, axial MPRAGE, coronal T2, and axial gradient-recalled echo sequences were also obtained. Total acquisition time was 4 minutes for the DTI sequence and 25 additional minutes for the conventional sequences. Total imaging time, including repeated sequences, took approximately 1 hour on average.

Patients were fed and swaddled before MR imaging scans. MedVac infant vacuum splint (CFI Medical Solutions, Fenton, Michigan), Insta-Puffy Silicone Earplugs (E.A.R. Inc, Boulder, Colorado), and Natus Mini Muffs (Natus Medical, San Carlos, California) were used for restraint and noise reduction. No sedation was given. All scans were supervised by an experienced neonatologist and a neonatal research nurse.

Image postprocessing and tensor calculations were performed by using MRStudio software, Version 3.0.3 (Johns Hopkins University, Baltimore, Maryland; http://cmrm.med.jhmi.edu).17 Regions of interest were selected on the basis of prior published data.18,19 The following white matter ROIs were selected for further analysis: anterior and posterior limbs of the internal capsule, frontal and occipital periventricular zones, the centrum semiovale, the genu and splenium of the corpus callosum, and the subventricular zone. To minimize variability in region-of-interest placement, we used ImageJ, Version 1.44p (National Institutes of Health; http://imagej.nih.gov/ij) for development and placement of region-of-interest templates (1 for each region of interest) in the native space (Fig 1). All analyses were blinded to clinical variables and cranial sonography and anatomic MR imaging findings. Fractional anisotropy (FA) and mean diffusivity (MD) were measured for each region of interest.

Follow-Up and Developmental Assessment
Standardized follow-up assessments of ELBW and term infants were completed at 18- to 22-months’ corrected age in the High-Risk Infant Follow-up Clinic at the University of Texas Health Science Center at Houston. During the follow-up visit, all patients had a complete neurologic physical examination to assess gross motor function and ascertain the presence of cerebral palsy by standardized Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) Neonatal Research Network examiners. The Bayley Scales of Infant and Toddler Development III cognitive and language subtests (scale of 50 to 150; mean of 100; 150 indicating the most advanced development) were administered by a masked, certified examiner.

Data Analysis
Detailed data that included demographics, maternal, perinatal, and neonatal history were prospectively collected for all enrolled ELBW infants during their hospitalization. Additional data in regard to demographics and socioeconomic and health statuses were also collected for infants during their follow-up period. All data were entered into a secure data base with error checks by qualified neonatal research nurses. All unique identifiers were removed to protect privacy and to blind investigators to clinical history.

STATA/IC 12 (StataCorp, College Station, Texas) was used for all data analyses. Two-sample t tests, χ² tests, and Fisher exact tests were used to describe and compare demographic characteristics of ELBW and term infants, as appropriate. Intrarater reliability
for region-of-interest placements was assessed by using intraclass correlation coefficients on 17 randomly selected ELBW cases.

The mental scale score (the average of cognitive and language scale scores) on Bayley III was the primary outcome of interest. This average score is an overall composite of cognitive and language abilities and permits comparison with the Bayley II mental scale score. Bayley III cognitive and language scale scores were also evaluated independently as secondary outcomes. Bayley III scores (mental, cognitive, and language) and FA and MD measures for each region of interest were compared between term and ELBW infants by using a 2-sample $t$-test or a Wilcoxon Mann-Whitney test, as appropriate.

Postmenstrual age was significantly associated with several regional diffusion measures as well as neurodevelopmental outcomes. As such, all regression analyses were controlled for this important confounder. Only regions in which FA and MD were significantly different in ELBW infants compared with term controls were selected for correlation with Bayley III outcomes. Univariate analyses for each region of interest were correlated with mental, cognitive, and language scale scores in separate analyses. Multiple regression modeling was used to identify the incremental and independent ability of DTI measures to predict Bayley III scores. All significant FA and MD ROIs ($P < .05$) were further analyzed in adjusted, multiple regression models. Each multiple regression model was adjusted for birth weight, white matter injury on cranial sonography (presence of ventriculomegaly with or without blood in the ventricles, echodense lesions in the parenchyma, cystic periventricular leukomalacia, and/or a porencephalic cyst evident on cranial ultrasound before 28 days of life), and abnormal conventional MR imaging findings at term (the presence of signal abnormalities, brain atrophy, and/or abnormal gray matter or white matter maturation for age). All assumptions of linear regression were met. In the final models, $P < .05$ was considered significant. All model results are presented as adjusted $R^2$ values, which represent the proportion of variability in the outcome explained by the model and regression coefficients, with corresponding 95% confidence intervals. Bootstrapping was used to assess the internal validity of the final adjusted models.

RESULTS
Forty-eight of 50 (96%) ELBW infants and 14 of 16 (88%) term infants returned for follow-up at 18- to 22-months’ corrected age. Forty-two of these 48 ELBW infants and all 14 of the term infants had high-quality DTI scans free of motion artifacts. Complete Bayley testing was unavailable in 3 ELBW (2 had only cognitive scores) and 2 term infants due to behavioral problems, resulting in the final sample size of 39 ELBW and 12 term infants with complete follow-up and high-quality DTI scans. Demographic and clinical characteristics of these infants are presented in Table 1. ELBW infants were significantly smaller for GA (range, 23–30 weeks) and weight (range, 468–1000 g) than their term counterparts; however, postmenstrual age at MR imaging was similar between groups. Both groups were similar in regard to race and insurance status at birth and at follow-up. The 6 ELBW infants excluded secondary to motion artifacts were similar in a majority of key demographic and clinical variables and Bayley scores compared with those with complete follow-up data.

Cerebral palsy was diagnosed in 4 of 39 (10%) ELBW infants but in none of the term infants at 18- to 22-months’ corrected age. Cerebral palsy was not considered as an outcome measure due to our limited study power (Table 1).

Bayley III subtest scores are presented in Table 2. The mean age at follow-up was 20.8 ± 3.8 and 20.8 ± 1.9 months’ corrected age for preterm and term infants, respectively. The mean cognitive score for term controls was close to the test norm of 100 points, but the mean language score was approximately 5 points lower. Although not statistically significant, ELBW infants averaged 7–9 points lower than healthy term controls on the Bayley subtests.

Compared with healthy term infants, ELBW infants exhibited
Table 1: Demographic and clinical characteristics of study infants

| Term (n = 12) | ELBW (n = 39) |
|--------------|---------------|
| (Mean) (SD) or (%) | (Mean) (SD) or (%) |
| Maternal age (yr) | 23.6 (5.6) | 27.9 (4.9)* |
| GA at birth (wk) | 38.6 (1.1) | 25.6 (1.5)* |
| Birth weight (g) | 3159.8 (428.0) | 768.6 (47.6)* |
| PMA at MRI (wk) | 38.9 (1.1) | 38.4 (2.3) |
| Male | 33% | 54% |
| Race: white | 42% | 46% |
| Private or mix of private/public medical insurance | 33% | 44% |
| Maternal education: college degree or greater | 50% | 72% |
| Antenatal steroids given (full course of betamethasone) | N/A | 62% |
| Small for GA (<10th percentile) | 0% | 18%* |
| Delivery room resuscitation | Intubation at birth | N/A | 92% |
| Resuscitation drug given | N/A | 3% |
| Bronchopulmonary dysplasia | N/A | 85% |
| Late-onset sepsis (≥ culture) | N/A | 67% |
| Culture proven late-onset sepsis | N/A | 28% |
| Necrotizing enterocolitis | N/A | 3% |
| Major surgery (with general anesthesia) | N/A | 3% |
| Severe retinopathy of prematurity | N/A | 21% |
| White matter injury on cranial ultrasoundb | N/A | 21% |
| Abnormal conventional MRI findings at termc | N/A | 66% |
| Child’s insurance status at time of follow-up | Private | 33% | 37% |
| Public medical insurance | 67% | 63% |
| Household income ≥$50,000 at follow-up | 33% | 32% |

Note: —PMA indicates postmenstrual age; N/A, not applicable.

*P < .05.

b Defined as the presence of ventriculomegaly with or without blood in the ventricles, echodense lesions in the parenchyma, cystic periventricular leukomalacia, and/or a porencephalic cyst evident on cranial ultrasound prior to 28 days of life.

c Defined as the presence of signal abnormalities, brain atrophy, and/or abnormal gray matter or white matter maturation for age.

Table 2: Developmental outcome comparisons of term and ELBW infants

| Developmental Outcome | Term (n = 12) (Mean) (SD) or (%) | ELBW (n = 39) (Mean) (SD) or (%) | P Value |
|-----------------------|---------------------------------|---------------------------------|--------|
| Cerebral palsy | 0% | 10% | N/A |
| Bayley III mental scale score | 96.4 (15.4) | 88.5 (16.6) | .16 |
| Bayley III cognitive scale score | 99.2 (17.8) | 92.6 (15.3) | .46 |
| Bayley III language scale score | 94.9 (16.4) | 86.2 (18.6) | .21 |

Note: —N/A indicates not applicable.

RESULTS

greatly higher MD and lower FA in 6 of the 7 selected brain regions (Fig 2). Mean FA and MD for term infants were 0.143 ± 0.037 and 1.232 × 10⁻³ ± 0.099 × 10⁻³, respectively, in the subventricular zone and 0.157 ± 0.031 and 1.330 × 10⁻³ ± 0.118 × 10⁻³, respectively, in the centrum semiovale. For ELBW infants, mean FA and MD in the subventricular zone were 0.118 ± 0.031 and 1.577 × 10⁻³ ± 0.348 × 10⁻³; and in the centrum semiovale, they were 0.126 ± 0.028 and 1.812 × 10⁻³ ± 0.153 × 10⁻³, respectively. The intrarater intraclass correlation coefficient for region-of-interest placements was 0.93. In univariate analyses, subventricular zone FA had a significant positive association with mental and cognitive scale scores; the centrum semiovale and subventricular zone MD had a negative association with mental, cognitive, and language scale scores (Table 3). As such, lower FA or higher MD values in these 2 regions were associated with lower Bayley III scores. White matter injury on cranial sonography performed within the first 28 days of birth was also a significant predictor of all 3 Bayley III scores. Abnormal conventional MR imaging findings (abnormalities in maturation or myelination, atrophy, and structural lesions) at term were a significant predictor of adverse Bayley mental and language scale scores but not cognitive scale scores at 18- to 22-months’ corrected age. No associations between birth weight and Bayley III scores were found in the ELBW cohort.

Centrum semiovale MD and subventricular zone FA remained significant independent predictors of Bayley III scores in the adjusted multiple-regression models. Centrum semiovale MD was the only significant predictor of mental scale scores, our primary outcome (Table 4). In secondary analyses, centrum semiovale MD was predictive of language scale scores, and lower subventricular zone FA values were predictive of adverse cognitive scale scores on the Bayley scale (Table 4). In clinical terms, a 10% increase in centrum semiovale MD was associated with a −4.6 (95% CI, −7.6 to −1.6) point decrease on mental scale scores and a −4.6 (95% CI, −8.0 to −1.2) point decrease on language scale scores. Similarly, a 10% increase in subventricular zone FA correlated with a 24.5 (95% CI, 9.6–39.3) point increase on the Bayley III cognitive scale score. Most interesting, of the adjusted factors, only white matter injury on cranial sonography remained a significant predictor of lower mental and cognitive scale scores in multivariable regression modeling. Bootstrapping confirmed the internal validity of the 3 adjusted prediction models.

DISCUSSION

We identified 2 independent regional biomarkers of cognitive and language development in a prospective cohort of ELBW infants imaged at term-equivalent age. Our findings are consistent with emerging evidence of the utility of DTI microstructural abnormalities at term for prediction of neurodevelopmental impairment in very low-birth-weight preterm infants. Our data support the value of DTI in ELBW infants and suggest that FA and MD are independent predictive biomarkers of early cognitive and language development, in addition to cranial sonography and conventional MR imaging measures.

Cerebral palsy and results of standardized neurodevelopmental assessments are now the most commonly reported outcome measures in studies of preterm infants. Our small sample size, however, precluded us from testing cerebral palsy as an end point. Cognitive and language assessments may be considered the most clinically meaningful outcomes, with up to 50% of ELBW infants developing cognitive impairments by 2 years of age. Mental scale scores and language and cognitive scale scores on the Bayley III were our primary and secondary outcomes of interest, respectively.
tively, serving as markers of functional brain development in our cohort of ELBW infants. Several studies have found similar associations between DTI and cognitive and language development, though these studies were completed in older preterm infants during childhood and adolescence.21-23

Our study is one of a few that has attempted to correlate early DTI measurements at term with later cognitive development.21-23 The subventricular zone and centrum semiovale were 2 vulnerable regions of particular interest—abnormalities in fractional anisotropy (lower values) and mean diffusivity (higher values) in these regions were associated with lower mental, cognitive, and language scale scores. These abnormalities remained significant predictors of Bayley III scores, even after adjustment for known adverse predictors of outcome (Table 3). Because diffuse excessive high signal abnormalities are especially common in the centrum semiovale, our results provide additional evidence that such diffuse white matter abnormalities are predictive of cognitive impairment.4,5,13 Even relatively small changes (10%)—equivalent to 1.1–3.5 weeks of brain maturation24—in fractional anisotropy or mean diffusivity, respectively, were associated with clinically meaningful effects on Bayley scores at 18- to 22-months’ corrected age. Our results highlight the importance of measuring both MD and FA in vulnerable regions, and differences we observed in correlations with outcome suggest differences in underlying white matter pathology. Mean diffusivity measures the average water diffusion, and high values are believed to reflect destruction of tissue architecture. In contrast, FA values reflect the degree of water anisotropy along different axes; decreased tissue anisotropy likely reflects tissue degeneration.25

In addition to numerous animal models of CNS diseases,26,27 there are now human fetal28 and adult studies29,30 that have demonstrated accurate correlations between DTI microstructural measures and histopathology measures. Increasing fractional anisotropy and decreasing mean diffusivity are markers of improved axonal organization and white matter myelination and are associated with increasing brain development.31 Multiple studies to date, however, have shown that preterm infants exhibit both macro- and microstructural differences in their white matter organization, often irrespective of underlying injury, compared with healthy term infants; such differences persist into early childhood, adolescence, and even adulthood.18,23,24,32 In their study, Shim et al33 observed that chronic lung disease and postnatal infection in preterm infants were independently correlated with altered FA in the posterior limb of the internal capsule and corpus callosum, suggesting that factors other than prematurity

![Comparison of mean (SD) fractional anisotropy (A) and mean diffusivity (SD) (B) in term (white) and ELBW (gray) infants in selected regions of interest. Asterisk indicates \( P < .05 \); dagger, \( P < .01 \); ALIC, anterior limb of the internal capsule; PLIC, posterior limb of the internal capsule; FPVZ, frontal periventricular zone; OPVZ, occipital periventricular zone; CC, corpus callosum; CS, centrum semiovale; SVZ, subventricular zone.](image)

**FIG 2.** Comparison of mean (SD) fractional anisotropy (A) and mean diffusivity (SD) (B) in term (white) and ELBW (gray) infants in selected regions of interest. Asterisk indicates \( P < .05 \); dagger, \( P < .01 \); ALIC, anterior limb of the internal capsule; PLIC, posterior limb of the internal capsule; FPVZ, frontal periventricular zone; OPVZ, occipital periventricular zone; CC, corpus callosum; CS, centrum semiovale; SVZ, subventricular zone.

**Table 3: Univariate analyses of DTI and clinical variables and their association with Bayley III scores at 18- to 22-months’ corrected age in ELBW infants**

| Variable                      | Mental Score | Cognitive Score | Language Score |
|-------------------------------|--------------|-----------------|----------------|
|                               | Coefficient (95% CI) | \( P \)         | Coefficient (95% CI) | \( P \)         | Coefficient (95% CI) | \( P \)         |
| FA subventricular zone        | 179.4 (13.8–345.0) | .04             | 219.4 (62.8–376.1) | .01             | 141 (—57.3–339.2) | .16             |
| MD subventricular zone        | −18.9 (−33.4 to −4.3) | .01             | −17.5 (−32.0 to −3.1) | .02             | −20.2 (−37.1 to −3.3) | .02             |
| MD centrum semiovale         | −55.8 (−86.7 to −24.9) | .001            | −51.4 (−82.3 to −20.4) | .002            | −60.2 (−96.3 to −24.1) | .002            |
| Birth weight                 | 0.01 (0.02−0.1) | .42             | 0.01 (0.03–0.04) | .80             | 0.01 (0.03–0.04) | .80             |
| White matter injury on cranial US | −16.8 (−29.1 to −4.5) | .01             | −15.7 (−27.9 to −3.5) | .01             | −15.7 (−27.9 to −3.5) | .01             |
| Abnormal findings on conventional MRI | −11.6 (−22.3 to −0.9) | .03             | −5.8 (−16.8–5.1) | .29             | −5.8 (−16.8 to 5.1) | .29             |

**Note:** US indicates ultrasound.
alone may affect brain microstructure in preterm infants. Similarly, we have found an independent association between increasing duration of mechanical ventilation (our proxy for chronic alveolar hypoventilation) and delayed maturation (increased MD) of the occipital periventricular zone and centrum semiovale.[34] Studies in more mature preterm infants found FA and MD to be sensitive markers of microstructural white matter abnormalities and significantly associated with neurodevelopmental impairments.[9,13,14] For example, Krishnan et al[3] observed increasing centrum semiovale MD to be associated with a decreasing developmental quotient in infants ≦34 weeks’ GA at birth, similar to our primary outcome findings. However, van Kooij et al[14] reported a significant association between corpus callosum FA and Bayley III cognitive scores in infants <31 weeks’ GA, a finding we were not able to validate. Study differences in DTI acquisition and processing methodology (eg, region of interest–based versus whole-brain analyses) and cohort characteristics may well explain such a difference in results. Our data further highlight the importance of DTI measures as early imaging biomarkers and useful adjuncts to conventional neuroimaging to predict cognitive and language development, particularly in the smallest and most vulnerable infants.

The main characteristics of our study included a high-risk population, consistent and reproducible DTI measurements by using robust postprocessing methods, high-resolution 3T imaging, internal validation by using bootstrap, and high follow-up rates. However, our relatively small sample size may have resulted in type II errors (false-negatives). In particular, the lack of a statistical difference in neurodevelopmental scores we observed between ELBW and term infants may have been largely affected by our limited study power. Our decision to avoid sedation for MR imaging in any of the study infants likely contributed to the 10% rate of excessive motion artifacts and case exclusions. Additionally, 18- to 22-months’ corrected age is a relatively early follow-up interval for cognitive assessments because these appear to be more accurate at school age.[35] Despite these limitations, our DTI biomarkers accounted for a sizable percentage of the variance in cognitive and language scores, in addition to qualitative neuroimaging approaches, and warrant validation in a larger cohort with school-age follow-up.

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