A functional calculus and restriction theorem on H-type groups

Heping Liu and Manli Song

Abstract

Let $L$ be the sublaplacian and $T$ the partial Laplacian with respect to central variables on H-type groups. We investigate a class of invariant differential operators by the joint functional calculus of $L$ and $T$. We establish Stein-Tomas type restriction theorems for these operators. In particular, the asymptotic behaviors of restriction estimates are given.

1 Introduction

The restriction theorem for the Fourier transform plays an important role in harmonic analysis as well as in the theory of partial differential equations. The initial work on restriction theorem was given by E. M. Stein [8] that the Fourier transform of an $L^p$-function on $\mathbb{R}^n$ has a well-defined restriction to the unit sphere $S^{n-1}$ which is square integral on $S^{n-1}$. The result is listed as follows:

**Theorem 1.1 (Stein-Tomas)** Let $1 \leq p \leq \frac{2n+2}{n+3}$. Then the estimate

$$||\hat{f}||_{L^2(S^{n-1})} \leq C||f||_{L^p(\mathbb{R}^n)}$$

(1.1)

holds for all functions $f \in L^p(\mathbb{R}^n)$.

A simple duality argument shows that the estimate (1.1) is equivalent to the following estimate:

$$||f \ast d\sigma_r||_{L^{p'}} \leq C_r||f||_p$$

(1.2)

for all Schwartz functions $f$ on $\mathbb{R}^n$, where $\frac{1}{p} + \frac{1}{p'} = 1$ and $d\sigma_r$ is the surface measure on the sphere with radius $r$.

Moreover, according to the Knapp example [8], the above estimates (1.1) and (1.2) fail if $\frac{2n+2}{n+3} < p \leq 2$.

2010 Mathematics Subject Classification: 42B10, 43A65, 47A60.

Key words and phrases: H-type group, scaled special Hermite expansion, joint functional calculus, restriction operator.

The first author is supported by National Natural Science Foundation of China under Grant #11371036 and the Specialized Research Fund for the Doctoral Program of Higher Education of China under Grant #2012000110059. The second author is supported by the China Scholarship Council under Grant #201206010098.

*Corresponding author.
Later, many authors have worked on the topic and various new restriction theorems have been proved. The study of restriction theorems has recently obtained more and more attention. Recent progress on the restriction theorems can be found in [10]. To generalize the restriction theorem on Heisenberg group, D. Müller [6] established the boundedness of the restriction operator with respect to the mixed $L^p$–norm and also give a counterexample to show that the estimate between Lebesgue spaces for the restriction operator is necessarily trivial, due to the fact that the center of the Heisenberg group is of dimension 1.

On an H-type group, let $T$ be the Laplacian on the centre and $L$ the sublaplacian. It is well known that $L$ is positive and essentially self-adjoint. Let $L = \int_0^{+\infty} \lambda dE(\lambda)$ be the spectral decomposition of $L$. Then the restriction operator can be formally written $P_\lambda f = \delta_{\lambda}(L)f = \lim_{\epsilon \to +\infty} \chi_{(\lambda-\epsilon,\lambda+\epsilon)}(L)f$ which is well defined for a Schwartz function $f$, where $\chi_{(\lambda-\epsilon,\lambda+\epsilon)}$ is the characteristic function of the interval $(\lambda - \epsilon, \lambda + \epsilon)$. Liu and Wang [5] investigated the restriction theorem for the sublaplacian $L$ on H-type groups with the center whose dimension is more than 1. They give the following result:

**Theorem 1.2** Let $G$ be an H-type group with the underlying manifold $\mathbb{R}^{2n+m}$, where $m > 1$ is the dimension of the center. Suppose $1 \leq p \leq 2$ and $2m+2d+3$. Then the following estimate

$$
||P_\lambda f||_{L^p} \leq C \lambda^{2(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \lambda > 0
$$

holds for all Schwartz functions $f$ on $G$.

In a recent paper, V. Casarino and P. Ciatti [2] extend the work of Müller, Liu and Wang on Métivier groups. They prove the following result.

**Theorem 1.3** Let $G$ be a Métivier group, with Lie algebra $\mathfrak{g}$. Let $\mathfrak{z}$ and $\mathfrak{v}$ denote, respectively, the centre of $\mathfrak{g}$ and its orthogonal complement. If $\text{dim} \mathfrak{z} = d$ and $\text{dim} \mathfrak{v} = 2n$, and if $1 \leq r \leq \frac{2d+2}{d+3}$, then for all $p, q$ satisfying $1 \leq p \leq 2 \leq q \leq \infty$ and for all Schwartz functions $f$, we have

$$
||P_\lambda f||_{L^r(\mathfrak{z})L^q(\mathfrak{v})} \leq C \lambda^{d(n+\frac{1}{q} - \frac{1}{p})^{-1}}||f||_{L^r(\mathfrak{z})L^p(\mathfrak{v})}, \lambda > 0
$$

Although V. Casarino and P. Ciatti [2] investigate the joint functional calculus of $L$ and $T$ on the Heisenberg group, they only prove a restriction theorem for the sublaplacian $L$ on Métivier groups. The invariant differential operators related to the joint functional calculus of $L$ and $T$ on H-type groups do not have the homogeneous properties in general. Thus the asymptotic behaviors of restriction estimates for these operators are also interested. In this article we will show restriction theorems for these operators on H-type groups. The range of $p$ depends on the dimension of the center. In particular, the asymptotic behaviors of restriction estimates are given.

The outline of the paper is as follows. In the second section, we provide the necessary background for the H-type group. In the next section, by introducing the joint functional calculus of $L$ and $T$, the restriction operator can be computed explicitly. In the fourth section, we prove the restriction theorem on H-type groups. Finally, in the last section, we show that the range of $p$ in the restriction theorem is sharp.
2 Preliminaries

Definition 2.1. (H-type Group) Let \( \mathfrak{g} \) be a two-step nilpotent Lie algebra endowed with an inner product \( \langle \cdot, \cdot \rangle \). Its center is denoted by \( \mathfrak{z} \). \( \mathfrak{g} \) is said to be of H-type if \( [\mathfrak{z}^\perp, \mathfrak{z}^\perp] = \mathfrak{z} \) and for every \( t \in \mathfrak{z} \), the map \( J_t : \mathfrak{z}^\perp \to \mathfrak{z}^\perp \) defined by
\[
\langle J_t u, w \rangle := \langle t, [u, w] \rangle, \forall u, w \in \mathfrak{z}
\]
is an orthogonal map whenever \( |t| = 1 \).

An H-type group is a connected and simply connected Lie group \( G \) whose Lie algebra is of H-type.

For a given \( 0 \neq a \in \mathfrak{z}^* \), the dual of \( \mathfrak{z} \), we can define a skew-symmetric mapping \( B(a) \) on \( \mathfrak{z}^\perp \) by
\[
\langle B(a) u, w \rangle = a([u, w]), \forall u, w \in \mathfrak{z}^\perp
\]
We denote by \( z_a \) be the element of \( \mathfrak{z} \) determined by
\[
\langle B(a) u, w \rangle = a([u, w]) = \langle J_{z_a} u, w \rangle
\]
Since \( B(a) \) is skew symmetric and non-degenerate, the dimension of \( \mathfrak{z}^\perp \) is even, i.e. \( \dim \mathfrak{z}^\perp = 2n \).

For a given \( 0 \neq a \in \mathfrak{z}^* \), we can choose an orthonormal basis \( \{ E_1(a), E_2(a), \ldots, E_n(a), \overline{E}_1(a), \overline{E}_2(a), \ldots, \overline{E}_n(a) \} \) of \( \mathfrak{z}^\perp \) such that
\[
B(a) E_i(a) = |z_a| J_{za} E_i(a) = |a| \overline{E}_i(a)
\]
and
\[
B(a) \overline{E}_i(a) = -|a| E_i(a)
\]
We set \( m = \dim \mathfrak{z} \). Throughout this paper we assume that \( m > 1 \). We can choose an orthonormal basis \( \{ e_1, e_2, \ldots, e_m \} \) of \( \mathfrak{z} \) such that \( a(e_1) = |a|, a(e_j) = 0, j = 2, 3, \ldots, m \). Then we can denote the element of \( \mathfrak{g} \) by
\[
(z, t) = (x, y, t) = \sum_{i=1}^{n} (x_i E_i + y_i \overline{E}_i) + \sum_{j=1}^{m} t_j e_j
\]
We identify \( G \) with its Lie algebra \( \mathfrak{g} \) by exponential map. The group law on H-type group \( G \) has the form
\[
(z, t)(z', t') = (z + z', t + t' + \frac{1}{2}[z, z'])
\]
where \([z, z']_j = \langle z, U^j z' \rangle \) for a suitable skew symmetric matrix \( U^j, j = 1, 2, \ldots, m \).

Theorem 2.1 \( G \) is an H-type group with underlying manifold \( \mathbb{R}^{2n+m} \), with the group law (2.1) and the matrix \( U^j, j = 1, 2, \ldots, m \) satisfies the following conditions:
(i) \( U^j \) is a \( 2n \times 2n \) skew symmetric and orthogonal matrix, \( j = 1, 2, \ldots, m \).
(ii) \( U^i U^j + U^j U^i = 0, i, j = 1, 2, \ldots, m \) with \( i \neq j \).

Proof. See [1].
Remark 2.1 In particular, \( \langle z, U^1 z' \rangle = \sum_{j=1}^{n} (x'_j y_j - y'_j x_j) \).

Remark 2.2 All the above expressions depend on a given \( 0 \neq a \in \mathfrak{z}^* \), but we will suppress \( a \) from them for simplification.

Remark 2.3 It is well known that H-type algebras are closely related to Clifford modules \([7]\). H-type algebras can be classified by the standard theory of Clifford algebras. Specially, on H-type group \( G \), there is a relation between the dimension of the center and its orthogonal complement space. That is \( m + 1 \leq 2n \) (see \([4]\)).

The left invariant vector fields which agree respectively with \( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1} \) at the origin are given by

\[
X_j = \frac{\partial}{\partial x_j} + \frac{1}{2} \sum_{k=1}^{m} \left( \sum_{l=1}^{2n} z_{k,l}^j \right) \frac{\partial}{\partial t_k}
\]

\[
Y_j = \frac{\partial}{\partial y_j} + \frac{1}{2} \sum_{k=1}^{m} \left( \sum_{l=1}^{2n} z_{k,l+n} \right) \frac{\partial}{\partial t_k}
\]

where \( z_l = x_l, z_{l+n} = y_l, l = 1, 2, \cdots, n \).

The vector fields \( T_k = \frac{\partial}{\partial t_k}, k = 1, 2, \cdots, m \) correspond to the center of \( G \). In terms of these vector fields we introduce the sublaplacian \( L \) and full Laplacian \( \Delta \) respectively

\[
L = -\sum_{j=1}^{n} (X_j^2 + Y_j^2) = -\Delta_z + \frac{1}{4} |z|^2 T - \sum_{k=1}^{m} \langle z, U^k \nabla_z \rangle T_k
\]

\[
\Delta = L + T
\]

where

\[
\Delta_z = \sum_{j=1}^{2n} \frac{\partial^2}{\partial z_j^2} T = -\sum_{k=1}^{m} \frac{\partial^2}{\partial t_k^2} \nabla_z = \left( \frac{\partial}{\partial z_1}, \frac{\partial}{\partial z_2}, \cdots, \frac{\partial}{\partial z_{2n}} \right)'.
\]

3 Restriction operator

First we recall some results about the scaled special Hermite expansion. We refer the reader to \([12]\) and \([13]\) for details. Let \( \lambda > 0 \). The twisted Laplacian (or the scaled special Hermite expansion) \( L_\lambda \) is defined by

\[
L_\lambda = -\Delta_z + \frac{\lambda^2 |z|^2}{4} - i\lambda \sum_{j=1}^{n} \left( x_j \frac{\partial}{\partial y_j} - y_j \frac{\partial}{\partial x_j} \right)
\]

where we identify \( z = x + iy \in \mathbb{C}^n \) with \( z = (x, y) \in \mathbb{R}^{2n} \).
For $f, g \in L^1(\mathbb{C}^n)$, we define the $\lambda$-twisted convolution by
\[
 f \times_\lambda g = \int_{\mathbb{C}^n} f(z - w)g(w)e^{\frac{\lambda}{2}lymzw}dw
\]

Set Laguerre function $\varphi_k^\lambda(z) = L_k^{n-1}(\frac{1}{2}\lambda|z|^2)e^{-\frac{1}{2}\lambda|z|^2}, k = 0, 1, 2, \ldots$, where $L_k^{n-1}$ is the Laguerre polynomial of type $(n - 1)$ and degree $k$.

For $f \in \mathcal{S}(\mathbb{C}^n)$, we have the scaled special Hermite expansion
\[
f(z) = \left(\frac{\lambda}{2\pi}\right)^{n/2} \sum_{k=0}^{n+\infty} f \times_\lambda \varphi_k^\lambda(z)
\]
which is an orthogonal form. We also have
\[
||f||^2 = \left(\frac{\lambda}{2\pi}\right)^{n/2} \sum_{k=0}^{n+\infty} ||f \times_\lambda \varphi_k^\lambda||^2
\]

Moreover, $f \times_\lambda \varphi_k^\lambda$ is an eigenfunction of $L_\lambda$ with the eigenvalue $(2k + n)\lambda$ and
\[
||f \times_\lambda \varphi_k^\lambda||_2 \leq (2k + n)^{n/2 - 1/2} \lambda^{n/2 - 3/2}||f||_p, \text{ for } 1 \leq p < \frac{6n + 2}{3n + 4}.
\]
(see [11])

Now we turn to the expression for the restriction operator. We may identify $\mathfrak{J}^*$ with $\mathfrak{J}$. Therefore, we will write $\langle a, t \rangle$ instead of $a(t)$ for $a \in \mathfrak{J}^*$ and $t \in \mathfrak{J}$.

Lemma 3.1 Let $0 \neq a \in \mathfrak{J}^*$. If $f(z, t) = e^{-i\langle a, t \rangle} \varphi(z)$, then
\[
 Lf(z, t) = e^{-i\langle a, t \rangle} L_{|a|} \varphi(z).
\]

Proof. Because of $\langle a, t \rangle = |a|t_1$ and $\langle z, U^1\nabla_z \rangle = \sum_{j=1}^{n} \left( y_j \frac{\partial}{\partial x_j} - x_j \frac{\partial}{\partial y_j} \right)$, Lemma 3.1 is easily deduced from the expression (2.2).

Set $e_k^a(z, t) = e^{-i\langle a, t \rangle} \varphi_k^{|a|}(z)$. For $f \in \mathcal{S}(\mathcal{G})$, let
\[
f^a(z) = \int_{\mathbb{R}_m} f(z, t)e^{i\langle a, t \rangle} dt
\]
be the Fourier transform of $f$ with respect to the central variable $t$. It is easy to obtain
\[
f \ast e_k^a(z, t) = e^{-i\langle a, t \rangle} f^a \times_{|a|} \varphi_k^{|a|}(z)
\]
(3.4)
Note that $f \ast e_k^a$ is an eigenfunction of $T$ with the eigenvalue $|a|^2$. Furthermore, it follows from Lemma 3.1 that $f \ast e_k^a$ is an eigenfunction of $L$ with the eigenvalue $(2k + n)|a|$. Thus $f \ast e_k^a$ is a joint eigenfunction of the operator $L$ and $T$.

For a Schwartz function $f$ on H-type group, using the inversion formula for the Fourier transform together with (3.1) and (3.3), we have
\[
f(z, t) = \frac{1}{(2\pi)^m} \int_{\mathbb{R}_m} f^a(z)e^{-i\langle a, t \rangle} da
\]
We define functional calculus of $L$ and $T$. Indeed, given a bounded function $f$, they admit a joint spectral decomposition. By the spectral theorem, we can define the joint solution of

$D$

Then the spectral resolution of a Schwartz function $f$ is given in terms of the distributions $\delta_\mu(h(L,T))$ and denotes $\lambda'_k$ the derivative of $\lambda_k$. Replacing in the integral $\lambda$ with $\mu$, we obtain

$$h(L,T)f(z,t) = \int_B \left( \frac{1}{(2\pi)^{n+m}} \sum_{k=0}^{\infty} \lambda_k^{n+m-1}(\mu) |\lambda'_k(\mu)| \int_{S^{m-1}} f * e^{\lambda_k(\mu)\tilde{a}}(z,t) d\sigma(\tilde{a}) \right) d\mu$$

which is the spectral decomposition of $h(L,T)$.

Thus, given a Schwartz function $f$, the spectral decomposition with respect to $h(L,T)$ is

$$f(z,t) = \int_A \left( \frac{1}{(2\pi)^{n+m}} \sum_{k=0}^{\infty} \lambda_k^{n+m-1}(\mu) |\lambda'_k(\mu)| \int_{S^{m-1}} f * e^{\lambda_k(\mu)\tilde{a}}(z,t) d\sigma(\tilde{a}) \right) d\mu$$

Then the spectral resolution of a Schwartz function $f$ is given in terms of the distributions

$$P^h_{\mu} f(z,t) = \delta_\mu(h(L,T)) f(z,t) = \frac{1}{(2\pi)^{n+m}} \sum_{k=0}^{\infty} \lambda_k^{n+m-1}(\mu) |\lambda'_k(\mu)| \int_{S^{m-1}} f * e^{\lambda_k(\mu)\tilde{a}}(z,t) d\sigma(\tilde{a})$$

Specially, for the full Laplacian $\Delta$, $h(\xi,\eta) = \xi + \eta$, thus we have $\mu = (2k+n)\lambda + \lambda^2$, which yields

$$\lambda_k(\mu) = \frac{1}{2} \sqrt{4\mu + (2k+n)^2} - \frac{2k+n}{2} \quad \text{and} \quad \lambda'_k(\mu) = -\frac{1}{\sqrt{4\mu + (2k+n)^2}}$$
A functional calculus and restriction theorem on H-type groups

Therefore,
\[ \mathcal{P}_\mu^\Delta f(z,t) = \frac{1}{(2\pi)^{n+m}} \sum_{k=0}^{\infty} \lambda_k^{n+m-1}(\mu)\lambda'_k(\mu) \int_{S^m-1} f \ast e^{\lambda_k(\mu)\hat{a}}(z,t) \, d\sigma(\hat{a}) \]

4 Restriction Theorem

Our main result is the following theorem.

**Theorem 4.1** Let \( G \) be an H-type group with the underlying manifold \( \mathbb{R}^{2n+m} \), where \( m > 1 \) is the dimension of the center. Let \( h(\xi,\eta) = \xi^\alpha + \eta^\beta \), \( \alpha, \beta > 0 \). Then for \( 1 \leq p \leq \frac{2m+2}{m+3} \), we have

- If \( \alpha < 2\beta \),
  \[ ||P h\mu f||_{p'} \leq C\mu^\frac{2(n+m)}{2}(\frac{1}{p} - \frac{1}{2})^{-1} ||f||_p, \mu > 1 \]
- If \( \alpha > 2\beta \),
  \[ ||P h\mu f||_{p'} \leq C\mu^\frac{2(n+m)}{2}(\frac{1}{p} - \frac{1}{2})^{-1} ||f||_p, \mu > 1 \]
- If \( \alpha = 2\beta \),
  \[ ||P h\mu f||_{p'} \leq C\mu^\frac{2(n+m)}{2}(\frac{1}{p} - \frac{1}{2})^{-1} ||f||_p, 0 < \mu < +\infty \]

hold for all Schwartz functions \( f \).

First we prove the following abstract statement.

**Proposition 4.1** \( h((2k+n)\lambda, \lambda^2) \) is a strictly monotonic differentiable positive function of \( \lambda \) on \( \mathbb{R}_+ \), with the domain \((A,B)\) where \( 0 \leq A < B \leq +\infty \).

Then for \( 1 \leq p \leq \frac{2m+2}{m+3} \), the estimate holds

\[ ||P h\mu f||_{p'} \leq C\mu ||f||_p \]

where

\[ C\mu \leq C \sum_{k=0}^{\infty} (2k+n)^{2(n+m)}(\frac{1}{p} - \frac{1}{2})^{-1} \lambda_k^{2(n+m)}(\frac{1}{p} - \frac{1}{2})^{-1}(\mu)\lambda'_k(\mu) \]  

(4.1)

for all Schwartz functions \( f \) and all positive \( \mu \in (A,B) \).

**Proof.** Because the scaled special Hermite expansion is orthogonal, we have

\[ \left< P_{h\mu}^f, g \right> = \int_G P_{h\mu}^f(z,t)g(z,t) \, dz \, dt \]

\[ = \int_G \left( \frac{1}{(2\pi)^{n+m}} \sum_{k=0}^{\infty} \lambda_k^{n+m-1}(\mu)\lambda'_k(\mu) \int_{S^m-1} f \ast e^{\lambda_k(\mu)\hat{a}}(z,t) \, d\sigma(\hat{a}) \right) g(z,t) \, dz \, dt \]
From Remark 2.3, we have

Because of (3.4), we have

Denote by

Thus, by (4.2), applying H"older inequality and the Minkowski inequality, we get

Denote by \( f_{k, \Delta}(z) = f(z, \frac{1}{\lambda_k(\mu)}) \), and then we have

\[
\left( \int_{S^{m-1}} |f_{k, \Delta}(\mu)\lambda_k(z)|^2 \, d\sigma(\tilde{a}) \right)^{\frac{2}{p}} = \lambda_k(\mu)^{-m} \left( \int_{S^{m-1}} |f_{k, \Delta}(\mu)\lambda_k(z)|^2 \, d\sigma(\tilde{a}) \right)^{\frac{2}{p}}
\]
It follows from Stein-Tomas restriction theorem that
\[
\left( \int_{S^{m-1}} |f_k^\Delta(z)|^2 \, d\sigma(\tilde{a}) \right)^{\frac{1}{2}} \leq C \left( \int_{\mathbb{R}^m} |f_k(z,t)|^p \, dz \right)^{\frac{1}{p}} = C\lambda_k(\mu)^\frac{m}{p} \left( \int_{\mathbb{R}^m} |f(z,t)|^p \, dz \right)^{\frac{1}{p}}.
\]

Therefore,
\[
\mathcal{P}_\mu^\kappa f, g \leq C \sum_{k=0}^{\infty} (2k+n)^{\frac{1}{p}} (2^{(n+m)}(\frac{1}{p} - \frac{1}{2}) - 1) \lambda_k^{2(n+m)(\frac{1}{p} - \frac{1}{2}) - 1} (\mu) |||f|||_p |||g|||_p.
\]
proves the statement.

To obtain Theorem 4.1, it suffices to show the convergence of the series in (4.1). Next we will exploit the following estimates, which can be easily proved by comparing the sums with the corresponding integrals:

Lemma 4.1 Fix \( \nu \in \mathbb{R} \). There exists \( C_\nu > 0 \) such that for \( A > 0 \) and \( n \in \mathbb{Z}_+ \), we have
\[
\sum_{m \in \mathbb{N}} (2m+n)^\nu \leq C_\nu A^{\nu+1}, \quad \nu < -1; \quad (4.3)
\]
\[
\sum_{m \in \mathbb{N}} (2m+n)^\nu \leq C_\nu A^{\nu+1}, \quad \nu > -1. \quad (4.4)
\]

Now theorem 4.1 follows from the result in the following lemma.

Lemma 4.2 Let \( h(\xi, \eta) = \xi^\alpha + \eta^\beta \), \( \alpha, \beta > 0 \). The series in (4.1) has the estimate
if \( \alpha < 2\beta \),
\[
C_\mu \leq C\mu^{\frac{2(n+m)}{\alpha(2k+n)}(\frac{1}{p} - \frac{1}{2}) - 1}, \quad \mu > 1
\]
and
\[
C_\mu \leq C\mu^{\frac{2(n+m)}{\beta} - 1}, \quad 0 < \mu \leq 1
\]
if \( \alpha > 2\beta \),
\[
C_\mu \leq C\mu^{\frac{2(n+m)}{\beta} - 1}, \quad \mu > 1
\]
and
\[
C_\mu \leq C\mu^{\frac{2(n+m)}{\alpha(2k+n)}(\frac{1}{p} - \frac{1}{2}) - 1}, \quad 0 < \mu \leq 1
\]
if \( \alpha = 2\beta \),
\[
C_\mu \leq C\mu^{\frac{2(n+m)}{\beta} - 1}, \quad 0 < \mu < +\infty
\]

Proof. \( h(\xi, \eta) = \xi^\alpha + \eta^\beta \), \( \alpha, \beta > 0 \), thus we have \( \mu = (2k+n)^\alpha \lambda_k^\alpha(\mu) + \lambda_k^{2\beta}(\mu) \), which yields
\[
\lambda_k^{\alpha}(\mu) = \frac{1}{\alpha(2k+n)^\alpha \lambda_k^{\alpha-1}(\mu) + 2\beta \lambda_k^{2\beta-1}(\mu)}
\]

To study the convergence of this series, we need to distinguish three cases according to the relative of \( \alpha \) and \( 2\beta; \alpha < 2\beta, \alpha > 2\beta \) and \( \alpha = 2\beta \). In order not to burden the exposition, we only prove the case \( \alpha < 2\beta \), and the other cases are analogous.
If $\alpha < 2\beta$:
when $\mu \leq 1$, it is easy to see that $\lambda_k(\mu) \sim \frac{\mu^{\frac{1}{2}}}{2k + n}$ and $\lambda_k'(\mu) \sim \frac{\mu^{\frac{1}{2} - 1}}{2k + n}$, so that the series

\[
C_\mu \leq C \sum_{k=0}^{\infty} (2k + n)^2 2^{n(\frac{1}{p} - \frac{1}{2})-1} \lambda_k^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}(\mu)|\lambda_k'(\mu)| \\
\leq C \sum_{k=0}^{\infty} (2k + n)^2 2^{n(\frac{1}{p} - \frac{1}{2})-1} \left(\frac{\mu^{\frac{1}{2}}}{2k + n}\right) 2^{n+m}(\frac{1}{p} - \frac{1}{2})-1 \frac{\mu^{\frac{1}{2} - 1}}{2k + n} \\
\leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \sum_{k=0}^{\infty} \frac{1}{(2k + n)^{2m(\frac{1}{p} - \frac{1}{2})+1}} \leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \sum_{k=0}^{\infty} \frac{1}{(2k + n)^{2m(\frac{1}{p} - \frac{1}{2})+1}} \\
\leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \\
(\mu)_{\infty}^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1} \\
(4.5)
\]

converges.

When $\mu > 1$, we split the sum into two parts, the sum over those $k$ such that $(2k + n)^{\alpha} \lambda_k^2(\mu) \geq \lambda^{2\beta}(\mu)$ and those such that $(2k + n)^{\alpha} \lambda_k^2(\mu) < \lambda^{2\beta}(\mu)$. They are denoted by $I$ and $II$ respectively.

For the first part, $(2k + n)^{\alpha} \lambda_k^2(\mu) \geq \lambda^{2\beta}(\mu)$ implies

\[
\lambda_k(\mu) \sim \frac{\mu^{\frac{1}{2}}}{2k + n}, \lambda_k'(\mu) \sim \frac{\mu^{\frac{1}{2} - 1}}{2k + n}, \text{ and } 2k + n \geq \mu^{\frac{2\beta}{2\alpha}} \\
\]

Then we control the first part $I$ by

\[
I \leq C \sum_{2k + n \geq \mu^{\frac{2\beta}{2\alpha}}} (2k + n)^2 2^{n(\frac{1}{p} - \frac{1}{2})-1} \lambda_k^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}(\mu)|\lambda_k'(\mu)| \\
\leq C \sum_{2k + n \geq \mu^{\frac{2\beta}{2\alpha}}} (2k + n)^2 2^{n(\frac{1}{p} - \frac{1}{2})-1} \left(\frac{\mu^{\frac{1}{2}}}{2k + n}\right) 2^{n+m}(\frac{1}{p} - \frac{1}{2})-1 \frac{\mu^{\frac{1}{2} - 1}}{2k + n} \\
\leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \sum_{2k + n \geq \mu^{\frac{2\beta}{2\alpha}}} \frac{1}{(2k + n)^{2m(\frac{1}{p} - \frac{1}{2})+1}} \\
(\mu)_{\infty}^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1} \\
(4.6)
\]

Then by (4.6), we have

\[
I \leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \frac{1}{(\mu)_{\infty}^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}} \leq C \mu^{\frac{2}{\alpha}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \\
(4.7)
\]

For the second part, $(2k + n)^{\alpha} \lambda_k^2(\mu) < \lambda^{2\beta}(\mu)$ implies

\[
\lambda_k(\mu) \sim \mu^{\frac{1}{2\alpha}}, \lambda_k'(\mu) \sim \mu^{\frac{1}{2\alpha} - 1}, \text{ and } 2k + n < \mu^{\frac{2\beta}{2\alpha}} \\
\]

Then we control the second part $II$ by

\[
II \leq C \sum_{2k + n < \mu^{\frac{2\beta}{2\alpha}}} (2k + n)^2 2^{n(\frac{1}{p} - \frac{1}{2})-1} \lambda_k^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}(\mu)|\lambda_k'(\mu)| \\
\]

\[
(4.8)
\]
A functional calculus and restriction theorem on H-type groups

\[ \leq C \sum_{2k+n<\mu} (2k+n)^{2n(\frac{1}{p} - \frac{1}{2})-1} \left( \mu^{\frac{1}{2p}} \right)^{2(n+m)(\frac{1}{p} - \frac{1}{2})-1} \mu^{\frac{1}{2p} - 1} \]

\[ \leq C \mu^{\frac{1}{3}(n+m)(\frac{1}{p} - \frac{1}{2})-1} \sum_{2k+n<\mu} (2k+n)^{2n(\frac{1}{p} - \frac{1}{2})-1} \]

Because \(1 \leq p \leq \frac{2m+2}{m+3}\), we obtain \(2n(\frac{1}{p} - \frac{1}{2}) - 1 \geq -1\). Hence, by (4.4) we get

\[ \sum_{2k+n<\mu} (2k+n)^{2n(\frac{1}{p} - \frac{1}{2})-1} \leq (\mu^{\frac{2\beta-\alpha}{m+3}})^{2n(\frac{1}{p} - \frac{1}{2})} = \mu^{(\frac{2\beta-\alpha}{m+3})n(\frac{1}{p} - \frac{1}{2})} \]

Thus, for the second part we also have

\[ II \leq C \mu^{\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{m+3}} \] (4.7)

Finally, the estimate for case \(\alpha < 2\beta\) follows from (4.5), (4.6) and (4.7). This completes the proof of the first case.

Combining Proposition 4.1 and Lemma 4.2, Theorem 4.1 comes out easily.

Specially, in case of \(\Delta = L + T\), \(h(\xi, \eta) = \xi + \eta\), we obtain the restriction theorem associated with the full Laplacian on H-type groups.

**Corollary 4.1** For \(1 \leq p \leq \frac{2m+2}{m+3}\), the estimates

\[ \|P^{\alpha}_{\mu} f\|_{p'} \leq C \mu^{(2n+m)(\frac{1}{p} - \frac{1}{2})-1} \|f\|_{p}, \mu > 1 \]

and

\[ \|P^{\alpha}_{\mu} f\|_{p'} \leq C \mu^{(2n+m)(\frac{1}{p} - \frac{1}{2})-1} \|f\|_{p}, 0 < \mu \leq 1 \]

hold for all Schwartz functions \(f\).

Similarly to what we have done so far in Theorem 4.1 we now discuss other operators with the form of the joint functional calculus of \(L\) and \(T\). We obtain the following results. We omit the arguments which are really similar to that of Theorem 4.1.

**Theorem 4.2** Let \(h(\xi, \eta) = (\xi^\alpha + \eta^\beta)^{-1}\), \(\alpha, \beta > 0\). Then for \(1 \leq p \leq \frac{2m+2}{m+3}\), we have if \(\alpha < 2\beta\),

\[ \|P^{\alpha}_{h} f\|_{p'} \leq C \mu^{-\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{2}} \|f\|_{p}, \mu > 1 \]

and

\[ \|P^{\alpha}_{h} f\|_{p'} \leq C \mu^{-\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{2}} \|f\|_{p}, 0 < \mu \leq 1 \]

if \(\alpha > 2\beta\),

\[ \|P^{\alpha}_{h} f\|_{p'} \leq C \mu^{-\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{2}} \|f\|_{p}, \mu > 1 \]

and

\[ \|P^{\alpha}_{h} f\|_{p'} \leq C \mu^{-\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{2}} \|f\|_{p}, 0 < \mu \leq 1 \]

if \(\alpha = 2\beta\),

\[ \|P^{\alpha}_{h} f\|_{p'} \leq C \mu^{-\frac{2(n+m)(\frac{1}{p} - \frac{1}{2})-1}{2}} \|f\|_{p}, 0 < \mu < +\infty \]

hold for all Schwartz functions \(f\).
Theorem 4.3 Let $h(\xi, \eta) = (1 + \xi)^{-1}$. For $1 \leq p \leq \frac{2m+2}{m+3}$, the estimates

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-2(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \text{ when } \mu \to 0^+$$

and

$$||\mathcal{P}_h^\mu f||_{p'} \leq C(1 - \mu)^{2(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \text{ when } \mu \to 1^-$$

hold for all Schwartz functions $f$.

More generally, we have

Theorem 4.4 Let $h(\xi, \eta) = (\xi^\alpha + \eta^\beta)^\gamma$, $\alpha, \beta, \gamma > 0$. Then for $1 \leq p \leq \frac{2m+2}{m+3}$, we have

if $\alpha < 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \mu > 1$$

and

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\beta}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu \leq 1$$

if $\alpha > 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \mu > 1$$

and

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\beta}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu \leq 1$$

if $\alpha = 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu < +\infty$$

hold for all Schwartz functions $f$.

Theorem 4.5 Let $h(\xi, \eta) = (\xi^\alpha + \eta^\beta)^{-\gamma}$, $\alpha, \beta, \gamma > 0$. Then for $1 \leq p \leq \frac{2m+2}{m+3}$, we have

if $\alpha < 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \mu > 1$$

and

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\beta}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu \leq 1$$

if $\alpha > 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, \mu > 1$$

and

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\beta}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu \leq 1$$

if $\alpha = 2\beta$,

$$||\mathcal{P}_h^\mu f||_{p'} \leq C\mu^{-\frac{2\alpha}{\alpha+\gamma}(n+m)(\frac{1}{p} - \frac{1}{2})^{-1}}||f||_p, 0 < \mu < +\infty$$

hold for all Schwartz functions $f$. 
Theorem 4.6 Let $h(\xi, \eta) = (1 + \xi^\alpha + \eta^\beta)^{-\gamma}$, $\alpha, \beta, \gamma > 0$. For $1 \leq p \leq \frac{2m+2}{m+3}$, the estimates if $\alpha \leq 2\beta$,

$$||P_\mu f||_{p'} \leq C \mu^{-\frac{2\beta}{m+3}(n+\frac{2m}{m+3})\left(\frac{1}{m+3} - \frac{1}{2}\right)-1}||f||_p,$$
and

$$||P_\mu f||_{p'} \leq C(1 - \mu^{-\frac{1}{m+3}})\frac{2\alpha}{m+3}\left(\frac{1}{m+3} - \frac{1}{2}\right)-1||f||_p,$$

if $\alpha > 2\beta$,

$$||P_\mu f||_{p'} \leq C \mu^{-\frac{2\alpha}{m+3}(n+\frac{2m}{m+3})\left(\frac{1}{m+3} - \frac{1}{2}\right)-1}||f||_p,$$
and

$$||P_\mu f||_{p'} \leq C(1 - \mu^{-\frac{1}{m+3}})\frac{2\beta}{m+3}\left(\frac{1}{m+3} - \frac{1}{2}\right)-1||f||_p,$$

hold for all Schwartz functions $f$.

5 Sharpness of the range $p$

In this section we only give an example to show that the range of $p$ in the restriction theorem associated with the full Laplacian $\Delta$ is sharp. The example is constructed similarly to the counterexample of Müller [6], which shows that the estimates between Lebesgue spaces for the operators $P_\mu$ are necessarily trivial.

Let $\varphi \in C_c^\infty(\mathbb{R}^m)$ be a radial function, such that $\varphi(a) = \psi(|a|)$, where $\psi \in C_c^\infty(\mathbb{R})$, $\psi = 1$ on a neighborhood of the point $n$ and $\psi = 0$ near 0. Let $h$ be a Schwartz function on $\mathbb{R}^m$ and define

$$f(z, t) = \int_{\mathbb{R}^m} \varphi(a) h(a) e^{-\frac{|a|}{\lambda}|z|^2} e^{-i(a, t)|a|} da$$

Denote $g(z, t) = \int_{\mathbb{R}^m} \varphi(a) e^{-\frac{|a|}{\lambda}|z|^2} e^{-i(a, t)|a|} da = \int_{\mathbb{R}^{m+2n}} \varphi(a) e^{-\frac{|a|^2}{\lambda} - i(a, t) + n\lambda^2} da.$

Hence $g(\xi, a) = \varphi(a) e^{-\frac{|a|^2}{\lambda} - i(a, t)}$, which shows that $g$ and consequently $g$ are Schwartz functions. On the other hand, we have $f = h \ast_t g$, where " $\ast_t$ " denotes the involution about the central variable. By Lemma 3.1, we have $\Delta \left( e^{-i(a, t)} e^{-\frac{|a|^2}{\lambda^2}} \right) = (n\lambda + \lambda^2) e^{-i(a, t)} e^{-\frac{|a|^2}{\lambda^2}}$. Therefore, we write $f$ by the integration with polar coordinates as

$$f(z, t) = \int_{0}^{+\infty} \left( \lambda^{n+m-1} \psi(\lambda) e^{-\frac{\lambda^2}{4}|z|^2} \int_{S^{m-1}} \hat{h}(\lambda w) e^{-i\lambda(w, t)} d\sigma(w) \right) d\lambda$$

$$= \int_{0}^{+\infty} \left( \lambda\Delta(\mu)^{n+m-1} \lambda'(\mu) \psi(\lambda\Delta(\mu)) e^{-\frac{\lambda^2}{4}|z|^2} \int_{S^{m-1}} \hat{h}(\lambda\Delta(\mu) w) e^{-i\lambda\Delta(\mu)(w, t)} d\sigma(w) \right) d\mu$$

$$= \int_{0}^{+\infty} P_\mu f(z, t) d\mu$$

where

$$P_\mu f(z, t) = \lambda\Delta(\mu)^{n+m-1} \lambda'(\mu) \psi(\lambda\Delta(\mu)) e^{-\frac{\lambda^2}{4}|z|^2} \int_{S^{m-1}} \hat{h}(\lambda\Delta(\mu) w) e^{-i\lambda\Delta(\mu)(w, t)} d\sigma(w)$$
\[
\lambda_\Delta(\mu) = \sqrt{\frac{n^2 + 4\mu - n}{2}}
\]

Therefore, specially let \( \mu = 2n^2 \), we have \( \lambda_\Delta(2n^2) = n \), \( \lambda'_\Delta(2n^2) = \frac{1}{3n} \) and

\[
P_{2n^2}^\Delta f(z, t) = \frac{1}{3} n^{-1+m-2} e^{-\frac{m|z|^2}{4}} \int_{S^{m-1}} h(nw) e^{-in(w,t)} \, d\sigma(w)
\]

\[
= \frac{1}{3} n^{-1} e^{-\frac{m|z|^2}{4}} h * d\sigma_n(t)
\]

From the restriction theorem associated the full Laplacian on H-type groups, we have the estimate \( ||P_{2n^2}^\Delta f||_{L^{p'}(G)} \leq C ||f||_{L^p(G)} \).

Because of

\[
||P_{2n^2}^\Delta f||_{L^{p'}(G)} = C ||h * d\sigma_n||_{L^{p'}(\mathbb{R}^m)}
\]  

(5.1)

and

\[
||f||_{L^p(G)} \leq ||h||_{L^p(\mathbb{R}^m)} ||g||_{L^p_1 L^p_2} \lesssim ||h||_{L^p(\mathbb{R}^m)} ,
\]  

(5.2)

where the mixed Lebesgue norm is defined by

\[
||g||_{L^p_1 L^p_2} = \left( \int_{\mathbb{R}^2n} \left( \int_{\mathbb{R}^m} |f(z, t)| \, dt \right)^p \, dz \right)^\frac{1}{p}
\]

we have \( ||h * d\sigma_n||_{L^{p'}(\mathbb{R}^m)} \leq C ||h||_{L^p(\mathbb{R}^m)} \).

From the sharpness of Stein-Tomas theorem which is guaranteed by the Knapp counterexample, it would imply \( p \leq \frac{2m+2}{m+3} \). Hence the range of \( p \) can not be extended. With the same tricks we can also prove the range of \( p \) for the restriction theorem associated with the functional calculus is also sharp.

**Acknowledgements:** The work is performed while the second author studies as a joint Ph.D. student in the mathematics department of Christian-Albrechts-Universität zu Kiel. She is deeply grateful to Professor Detlef Müller for generous discussions and his continuous encouragement.

**References**

[1] A. Bonfiglioli and F. Uguzzoni, *Nonlinear Liouville theorems for some critical problems on H-type groups*, J. Funct. Anal. 207(2004), 161-215.

[2] V. Casarino and P. Ciatti, *A restriction theorem for Métivier groups*, Adv. Math. 245(2013), 52-77.

[3] A. Kaplan, *Fundamental solutions for a class of hypoelliptic PDE generated by composition of quadratic forms*, Trans. Amer. Math. Soc. 258 (1980), 147-153.

[4] A. Kaplan and F. Ricci, *Harmonic analysis on groups of Heisenberg type*, Harmonic analysis, Lecture Notes in Math. 992, Springer, Berlin (1983), 416-435.
H. Liu and Y. Wang, *A restriction theorem for the H-type groups*, Proc. Amer. Math. Soc. 139 (2011), 2713-2720.

D. Müller, *A restriction theorem for the Heisenberg group*, Ann. of Math. 131 (1990), 567-587.

H. M. Reimann, *H-type groups and Clifford modules*, Adv. Appl. Clifford Algebras 11 (2001), 277-287.

E. M. Stein, *Harmonic analysis: real-variable methods, orthogonality and oscillatory integrals*, Princeton Univ. Press, Princeton, N.J. (1993).

W. Strichartz, *Harmonic analysis as spectral theory of Laplacians*, J. Funct. Anal. 87 (1989), 51-148.

T. Tao, *Some recent progress on the restriction conjecture*, Fourier analysis and convexity, App. Numer. Harmon. Anal., 2004, 217-243.

S. Thangavelu, *Some restriction theorems for the Heisenberg group*, Studia Math. 99 (1991), 11-21.

S. Thangavelu, *Lectures on Hermite and Laguerre expansions*, Math. Notes, Princeton Univ. Press, No. 42 (1993).

S. Thangavelu, *An introduction to the uncertainty principle: Hardy's theorem on Lie groups*, Progr. Math. 217 (2003).

Q. Yang and F. Zhu, *The heat kernel on H-type groups*, Proc. Amer. Math. Soc 136 (2008), 1457-1464.

Heping Liu  
School of Mathematical Sciences  
Peking University  
Beijing 100871  
People's Republic of China  
E-mail address: hpliu@pku.edu.cn

Manli Song  
School of Mathematical Sciences  
Peking University  
Beijing 100871  
People's Republic of China  
E-mail address: songmanli@pku.edu.cn