Risk of Serious Falls Between Hemodialysis and Peritoneal Dialysis Patients: A Nationwide Population-based Cohort Study

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The association between serious falls and dialysis modality (hemodialysis (HD) and peritoneal dialysis (PD)) is unclear. A nationwide population-based retrospective cohort study with 127,823 end-stage renal disease patients aged over 18 years was conducted with the unmatched cohort of 101,304 HD and 7,584 PD patients retrieved from Taiwan’s National Health Insurance Research Database during 2000–2013. A total of 7,584 HD and 7,584 PD patients matched at 1:1 ratio by propensity score were enrolled to the study. Serious falls were defined by the diagnostic codes, E code, and image studies. Cox regression model and competing-risk model were used for statistical analysis. HD patients were older and had more comorbidities at baseline than PD patients. After matching and adjustment, HD patients had a higher risk of serious falls than PD patients (sHR 1.27 (95% CI 1.06–1.52)). Females, elders, a history of falls before dialysis, comorbidity with stroke or visual problems, using diuretics, α-blockers, and mydriatics were associated with higher risks of serious falls among dialysis patients. The risk of serious falls was higher in HD patients than PD patients. Health professionals should create age-friendly environments, reduce unnecessary medications, and raise patients’ awareness of falls in daily life.

Dialysis patients have a higher risk for falls than general population1–4, with data suggesting fall rates of 1.2–1.7 per patient-year among patients treated with hemodialysis (HD) and peritoneal dialysis (PD)5. Dialysis patients with serious fall injuries such as hip fracture, joint dislocation, or brain injury are at greater risk for functional decline, loss of independence, poor quality of life, restrictions in mobility and social participation, nursing home placement, and high cost to the health system6,7. Most importantly, serious falls can result in hospitalization, disability, and mortality1,7–9.

Previous studies have shown that the risk factors for falls in dialysis patients include old age1,5,7–10, female10, malnutrition1, depression1,10, cognitive dysfunction1, a history of falls or stroke1,11, low Body Mass Index (BMI)10, frailty8,12, post-dialysis fatigue7, high comorbidity5,10, psychotropic drug use and polypharmacy1,13,14 as well as instability (hemodynamic and metabolic) caused by the dialysis therapy2,7,15,16. Some studies indicated that the risk of fall and hip fracture is higher in HD than in PD population17–19, but some reported that the fall rates were similar between both groups5,20. However, we still cannot conclude that which dialysis modality may have greater impact on the increasing fall risk among dialysis patients.

We designed this nationwide population-based retrospective cohort study to estimate the risk of serious falls among HD and PD patients to determine which dialysis modality would be more associated with serious falls.
Methods

Data source. The present study obtained data from Taiwan’s National Health Insurance Research Database (NHIRD), one of the largest and most comprehensive nationwide population reimbursement databases in the world. This database contained electronic medical records of all patients insured by the National Health Insurance (NHI) program in Taiwan, with approximately 99.9% of Taiwan’s population (23 million) enrolled in this program by the end of 2014. Taiwan government provided de-identified secondary datasets for scientists for research purposes. All dialysis patients in Taiwan are registered in this database, and we have retrieved related data from the subsets named “Registry for Catastrophic Illness Patients.” This study was approved by the Institutional Review Board (IRB) of E-DA Hospital, Kaohsiung, Taiwan (EMRP-103-012).

Study participants. We selected all end-stage renal disease (ESRD) individuals who were older than 18 years and underwent dialysis from 1 January 2000 to 31 December 2013. ESRD was defined by the diagnostic codes of International Classification of Disease, Ninth Revision, Clinical Modification (ICD-9-CM = 585.X). A total of 127,823 incident ESRD patients comprised the unmatched cohort of 101,304 HD patients and 7,584 PD patients between 1 January 2000 and 31 December 2013 (Fig. 1). The index date of dialysis was defined as the first day after starting regular dialysis for 3 months. Two cohorts (HD and PD) were categorized from the ESRD patients. The exclusion criteria were patients under 18 years old when identification of ESRD, patients with modality-switch or renal transplantation, and patients diagnosed with any cancer. The endpoint of follow-up was the date of serious fall, death, or the end of 2013.

Serious falls. The primary outcomes were serious falls that led dialysis patients to emergency department or to be hospitalized. Serious falls were defined by ICD-9-CM codes (800–904, 910–957) and an E code for a fall (E880-E889) combined with correlated image studies (X-ray and/or computer tomography). All study subjects were followed to ascertain whether they had subsequent hospitalization because of serious falls using outpatient (emergency) and inpatient claims from Taiwan’s NHIRD.

Comorbidities. The baseline comorbidities had to be registered before the index day and were defined by ICD-9-CM codes, including coronary artery disease (410–413, 414.01–414.05, 414.8, 414.9), congestive heart failure (428, 398.91, 402.x1), stroke (430–438), hyperlipidemia (272), atrial fibrillation (427.31), hypertension (401–405), diabetes (250), dementia (290.0, 290.1, 290.2, 290.3, 290.4, 291.3), osteoporosis (733.00), osteoarthritis (715.xx), liver cirrhosis (571.xx), and visual problems (362.02 diabetes mellitus with proliferative diabetic retinopathy (PDR), 368.9 visual disturbance, and 369.9 visual loss). Each comorbidity from outpatient claims needed to be confirmed again within the subsequent 12-month follow-up, and the first and last outpatient visits within 1 year had to be more than 30 days apart to prevent miscoding.

Medications. Previous studies indicated that polypharmacy, central nervous system drugs, and psychotropics were associated with an increased risk of falls. Therefore, we also estimated the risk of serious falls when dialysis patients used different types of medications. The history of medications use was defined as medicines prescribed within 3 months before serious falls happened or within 3 months before the endpoint for those who didn’t fall.
Visual problems including proliferative diabetic retinopathy, visual disturbance, visual loss.

Table 1. Comparison of demographic and clinical characteristics of hemodialysis and peritoneal dialysis patients before and after matching by propensity score. Note: di, standardized differences with absolute values > 0.10% considered as residual imbalance. *Visual problems including proliferative diabetic retinopathy, visual disturbance, visual loss.

Statistical analyses. For a better comparability between HD and PD patients, we used propensity score matching on age, gender, index year of initiating dialysis, and initial comorbidities with a 1:1 ratio. Baseline characteristics and comorbidities for two cohorts were analyzed before and after matching. Differences between cohorts were evaluated using t-test for continuous variables and Chi-square test for categorical variables. The incidence of serious fall was calculated for two groups. The estimation of incidence rate was calculated under the Poisson assumption and the difference between HD and PD patients was compared by using the Poisson regression. The impact of different dialysis modalities on the risk of serious falls was analyzed by multivariable Fine and Gray competing-risk regression model is applied to estimate sub-distribution hazard ratio (sHR). To accurately assess fall risks between HD and PD population, death is an important competing risk factor. Thus, Fine and Gray competing-risk regression. The impact of different dialysis modalities on the risk of serious falls was analyzed by multivariable Fine and Gray competing-risk regression model. The hazard ratios (HRs) with corresponding 95% confidence intervals (CI) were calculated and the proportional hazard assumption of the Cox models was assessed by a graphical method. To accurately assess fall risks between HD and PD population, death is an important competing risk factor. Thus, Fine and Gray competing-risk regression model is applied to estimate sub-distribution hazard ratio (sHR).

All statistical analyses were performed by using SAS statistical software (SAS System for Windows, Version 9.4, SAS Institute Inc., Cary, NC, USA). Results with two-sided P values less than 0.05 were considered statistically significant.

Results

Before matching, mean ages of HD group and PD group were 63.42 and 54.52 years respectively, indicating that HD patients were generally older than PD patients. There was a higher proportion of males in the HD group (50.89%) than in the PD group (48.18%). HD patients (19.26%) were more likely to experience a history of falls before dialysis than PD patients (11.7%). HD patients (3.88 years) had longer duration of follow-up than PD patients (3.06 years). In addition, HD patients had higher prevalence rates of comorbidities than PD patients. After matching by propensity score, 7,584 HD and 7,584 PD patients were enrolled to the study. The baseline was almost balanced between two groups (Table 1) tested by standardized difference.

Before matching, HD patients had higher crude incidence rate of serious falls than PD patients (15.97 (15.58–16.37) versus 8.79 (7.63–10.08) per 1,000 patient-years, P < 0.001). Females and the elders were prone to serious
Our finding is consistent with several studies that suggested the risk of hip fracture is higher in the HD population than in the PD population (74.21 (70.96–77.56) versus 93.73 (89.83–97.75) per 1,000 patient-years, P < 0.001) (Table 2). However, the mortality rate was lower in the HD population than in the PD population (74.21 (70.96–77.56) versus 93.73 (89.83–97.75) per 1,000 patient-years, P < 0.001) after matching. PD patients had equal survival rate only in the first year and then had a higher mortality risk in the follow-up years (see supplementary Table 2).

Table 3 showed the results of relative risk of incidence of serious falls between HD and PD patients. With adjustment for confounders, there is no obvious increased risk of serious falls in HD patients compared with PD patients by Cox model [HR 1.11 (95% CI = 0.93–1.33)]. However, HD patients had a higher risk of serious falls than PD patients in the Fine and Gray model, showing sHR 1.27 (95% CI = 1.00–1.52). Males had a HR of 0.69 (95% CI = 0.58–0.84) and sHR of 0.67 (95% CI = 0.55–0.81) for serious falls, indicating that women had a significantly higher risk of serious falls than men. The elders had a HR of 1.05 (95% CI = 1.04–1.06) and sHR of 1.26 (95% CI = 1.06–1.52). Patients with visual problems had 50% increased risk of serious falls than those with normal visual acuity, showing HR of 1.52 (95% CI = 1.18–1.94) and sHR of 1.50 (95% CI = 1.16–1.93). Patients who used α-blocker agents during dialysis had an almost 30% higher risk of serious falls compared to those who did not, showing HR of 1.29 (95% CI = 1.02–1.65) and sHR of 1.28 (95% CI = 1.00–1.63). Patients who used diuretics during dialysis had a significantly higher risk of serious falls compared to those who did not, showing HR of 1.82 (95% CI = 1.49–2.23) and sHR of 1.78 (95% CI = 1.35–2.34). Patients who used mydriatics had a 2–3 times higher risk of serious falls than those who did not use, showing HR of 2.61 (95% CI = 1.28–5.30) and sHR of 2.97 (95% CI = 1.40–6.27).

**Discussion**

Dialysis patients experience a greater fall risk compared to the general population\[^{14,7-9}\]. However, it is still unclear regarding different dialysis modalities (HD and PD) and the consequence of falls risk in ESRD patients.

Our finding suggested that HD patients had worse baseline characteristics than PD patients before matching, including older age, more cardiovascular diseases (CVD) and comorbidities, and a history of more falls before dialysis; these baseline characteristics may lead to the consequences of higher crude incidence of serious falls and higher crude mortality rate. However, the incidence of serious falls was similar between the two groups after matching. Furthermore, although there is no obvious increased risk of serious falls in HD patients compared with PD patients after adjustment in the Cox model, HD patients had a higher risk of serious falls than PD patients in the Fine and Gray model after considering competing risk.

Our finding is consistent with several studies that suggested the risk of hip fracture is higher in the HD population than in the PD population. As over 90% of hip fracture are the result of a fall\[^{10}\], it may infer that the fall risk is higher in HD patients than PD patients. Besides, the intermittent nature of HD may lead to fluctuation of fluid
status, hemodynamic instability, and orthostatic hypotension. For example, Mathew AT et al. analyzed 842,028 HD and 87,086 PD patients between 1992 and 2009, and concluded that HD patients had 1.6 times greater odds of hip fracture than PD patients after adjustment for risk factors 18. A population-based cohort study including 28,048 HD and 3,506 PD patients from 1998 to 2008 reported the incidence of hip fracture was 13.60 per 1000 patient-years in the HD group and 6.25 in the PD group. In addition, HD had a greater hip fracture risk compared to PD17. Lin ZZ et al. also used NHIRD to analyze 51,473 patients who started dialysis between 1999 and 2005 and found that HD patients had a 31% higher incidence of hip fracture than PD patients19. This result is in contrast to previous studies. Farragher J. conducted a prospective cohort study to compare the falls risk between cohorts of 236 elderly patients maintained on HD and PD, and concluded that accidental falls are equally common in the PD and HD patients20. Farragher disproved the hypothesis that PD patients have lower fall rates than HD patients. This might be the result of PD patients encountering more inconveniences in daily life such as connecting tube around the bed at night, and decreased well-being and worse health20. European researchers undertook a study following 114 patients to assess the prevalence of falls and the impact on mortality and quality of life in elderly patients on HD and PD. They also concluded that fall incidence is comparable between HD and PD27.

Our study consistently supported that women, the elderly, and a history of falls before dialysis and stroke were associated with significantly higher risks of serious falls among dialysis patients as proved in previous studies. It is possible that physical, sensory, and cognitive changes connected with aging and/or stroke resulted in the higher falls risk in older dialysis population. The fact that women showed a greater falls risk compared to men is probably related to less physical activity, lower bone mass, weaker muscles and lower body strength in women than in men28. Patients with falls history before dialysis had a higher risk of recurrent falls than non-fallers, which was consistent with previous studies. One US study concluded that patients with a serious fall had 2.7-fold higher

| Variable                        | Cox proportional hazard model | Competing Risk Regression model |
|---------------------------------|-------------------------------|---------------------------------|
|                                 | HR (95% CI)                   | HR (95% CI)                     |
| Dialysis modality (Reference = PD) | 1.11 (0.93–1.33)          | 1.27 (1.06–1.52)               |
| Sex (Reference = female)        | 0.69 (0.58–0.84)           | 0.67 (0.55–0.81)               |
| Age                             | 1.05 (1.04–1.06)           | 1.03 (1.02–1.04)               |
| Fall history                    | 1.61 (1.31–1.98)           | 1.47 (1.19–1.82)               |
| Comorbidities                   |                               |                                |
| Coronary artery disease         | 0.99 (0.81–1.22)           | 1.00 (0.81–1.24)               |
| Congestive heart failure        | 1.21 (0.98–1.49)           | 1.06 (0.86–1.32)               |
| Stroke                          | 1.42 (1.14–1.76)           | 1.25 (1.00–1.56)               |
| Hyperlipidaemia                 | 0.99 (0.82–1.20)           | 0.99 (0.82–1.20)               |
| Atrial fibrillation             | 0.68 (0.39–1.19)           | 0.61 (0.35–1.08)               |
| Hypertension                    | 1.04 (0.78–1.38)           | 1.11 (0.84–1.48)               |
| Diabetes mellitus               | 1.13 (0.91–1.40)           | 0.95 (0.75–1.19)               |
| Dementia                        | 1.33 (0.84–2.11)           | 0.99 (0.62–1.58)               |
| Osteoporosis                    | 0.95 (0.67–1.34)           | 0.94 (0.66–1.35)               |
| Osteoarthritis                  | 1.10 (0.88–1.36)           | 1.12 (0.90–1.40)               |
| Liver cirrhosis                 | 0.9 (0.51–1.56)            | 0.75 (0.43–1.31)               |
| Visual problems                 | 1.52 (1.18–1.94)           | 1.50 (1.16–1.93)               |
| Medication                      |                               |                                |
| Narcotics                       | 1.07 (0.85–1.34)           | 0.82 (0.64–1.04)               |
| α-blocker agents                | 1.29 (1.02–1.65)           | 1.28 (1.00–1.63)               |
| Diuretics                       | 1.82 (1.49–2.23)           | 1.26 (1.03–1.54)               |
| Anticonvulsants                 | 1.41 (0.94–2.10)           | 1.42 (0.96–2.12)               |
| Skeletal Muscle Relaxants       | 1.60 (0.90–2.87)           | 1.40 (0.79–2.49)               |
| Parkinsonian Agents             | 0.84 (0.51–1.39)           | 0.89 (0.53–1.49)               |
| Sedatives and Hypnotics         | 0.98 (0.81–1.19)           | 0.98 (0.81–1.19)               |
| Anxiety Anxiolytics             | 0.95 (0.39–2.33)           | 0.98 (0.40–2.43)               |
| Antipsychotic                   | 0.77 (0.51–1.17)           | 0.76 (0.49–1.16)               |
| Antidepressor                   | 1.15 (0.86–1.53)           | 1.13 (0.84–1.51)               |
| Antihistamines                  | 1.17 (0.94–1.45)           | 1.21 (0.97–1.50)               |
| Mydriatic agent                 | 2.61 (1.28–5.30)           | 2.97 (1.40–6.27)               |

Table 3. Analysis of relative risk of incidence of fall between patients receiving hemodialysis and peritoneal dialysis after matching by multivariable Cox proportional hazard model and multivariable subdistribution hazard models.
rates of serious fall injuries in the first year after the start of dialysis. Another Canadian study reported that a history of one or more falls in the previous year was an independent risk factor for falls.

In this analysis, we found that diuretics, α-blockers, and mydriatics were the possible risk factors for serious falls among dialysis patients. This finding had not been commonly mentioned in previous documents. In the present study, dialysis patients prescribed with diuretics showed an approximately 40–95% significantly higher risk of serious falls compared to those who did not use diuretics. Diuretics and α-blockers have been classified as “fall-risk-increasing drugs” (FRIDs) because some of the side effects such as frequent urination, fatigue, dizziness, lightheadedness, muscle cramps, and postural hypotension might make people fall down. Mydriatics were used to paralyze and relax the iris muscle and to dilate the pupil in the eye for diagnostic procedures. Common side effects of mydriatics include blurred vision, decreased vision, and eye sensitivity to light, which could be the possible explanations for a high risk of falls in the dialysis population. In addition, patients who need to use mydriatics implied that they had visual problems or eye diseases that need ophthalmic exams. According to previous studies, loss of visual function was associated with a 2–3 times higher risk for falls or fall-related injuries in elderly patients. Jack CI et al. found that there was a particularly high prevalence in elderly patients who were admitted with falls. In this study we also found that patients with visual problems did have higher risks for serious falls. Postural balance changes due to impaired vestibular, neurological and musculoskeletal functions were shown in hemodialysis and peritoneal patients. Vision is an important compensation in maintaining balance physiologically, and our data suggested mydriatics or impaired vision was a significant risk factor for serious falls among PD and HD patients.

Although we found that HD patients had a higher mortality rate than PD patients at baseline, the mortality rate became lower in HD group compared to PD group after matching. This finding is consistent with one meta-analysis that suggested a higher risk of death in elderly patients receiving PD than in those receiving HD. Another study stated that PD patients had a lower risk of death than HD patients in the first 3 months of dialysis and the survival advantage would last for 1.5–2 years. Nevertheless, the mortality risk would change over time and become equal or greater in PD compared to HD. Our finding is inconsistent with a retrospective study in Canada, which concluded that HD and PD are associated with similar mortality and the effect of modality on survival does not appear to change over time. However, our study represented that PD patients had better performance of survival curve than HD patients only before matching (Fig. 2). Higher rates of technique failure, fluid overload due to poor adherence, low effluent drain volume, high transporter status, higher protein loss during dialysis process, metabolic derangement caused by glucose-based dialysate, and PD related infection may lead to shorter survival and inferior outcome in PD patients.

This study has several strengths. First, with population-based cohort, we conquered the weakness of small sample size in previous studies. Second, there is limited research on medication and associated risk of falls among dialysis patients; however, our study estimated the risks of different medications for serious falls among dialysis patients. Third, we used propensity score matching to minimize the selection bias. Fourth, we used Cox model to control potential confounders and investigate the impact of different dialysis modalities on the risk of serious falls. Finally, we considered death and serious falls as competing risks so the Fine and Gray model were applied to calculate the sHR as validation of our finding.

There are several limitations in our study. First, selection bias is an inherent limitation in the nature of retrospective study. To compensate for this disadvantage, we used full data retrieved from the NHI program with the entire dialysis population extending across a 14-year period in Taiwan, and the sample size was large enough to permit propensity matching and minimize selection bias. Second, some important variables that might affect the risk of serious falls, such as frailty, post-dialysis fatigue, malnutrition, and gait speed were not available in the NHIRD. However, these factors were usually related to dialysis modality and comorbid conditions which were controlled in our model construction, and they did not seem to contribute much differential bias in this study. Third, not only intrinsic but also extrinsic risk factors were associated with fall accidents. As most population-based studies, we had no information about environmental hazards, bad quality footwear, inappropriate walking aids, and caregiver factors from each patient in our claims data. Further prospective study is needed to take these considerations into account. Forth, patients who didn't seek for medical help would not have a record in the NHIRD. Consequently, serious falls that led to sudden deaths in patients' homes were not included in this study. However, Taiwan is the 17th densely populated country in the world and has a compulsory national health insurance program with a 99.9% coverage rate. Should an emergency occur, people who need help will be easily found and get medical services soon. Therefore, the true effects of these data omissions will be minimal and equal in both hemodialysis and peritoneal dialysis patients. Finally, statistical matching cannot postulate the general wellbeing. As we all know, PD patients are generally more active, independent, educated, and less comorbid people compared to HD patients. As a result, this study found that less comorbid and younger HD patients have better survival and a similar risk of falls with all PD patients estimated by Cox regression model. In this regard, the dialysis modality does not affect the fall rates of HD patients. Nevertheless it is not always possible to apply this result to all ESRD patients, including the majority of HD patients with high comorbidity burden. We should be very cautious while extending the results to all ESRD patients in our daily practice.

In conclusion, the risk of serious falls was higher in HD patients than PD patients after adjustment by Fine and Gray competing-risk regression model. However, the mortality rate was lower in HD patients than PD patients after matching. Females, elders, a history of fall before dialysis, comorbidity with stroke or visual problems, using diuretics, α-blockers, and mydriatics were the possible risk factors for serious falls among dialysis patients. Health professionals should make more efforts to create age-friendly environments, reduce unnecessary medications, and raise patients’ awareness of falls in daily life.
Data availability
Taiwan’s National Health Insurance Research Database (NHIRD) was provided by the National Health Insurance Administration, Ministry of Health and Welfare, Taiwan. Relevant information and applications are available at the following website https://nhird.nhri.org.tw/en/index.html

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H.H.W., J.L.W. and S.Y.H. had the idea for and designed the study. H.H.W. and J.L.W. analyzed and interpreted the data. H.H.W. drafted the article and submitted the paper for publication. H.H.W. and J.L.W. critically revised the article for important intellectual contents. S.Y.H., Y.C.L., L.C.H., M.Y.C. and H.H.L. provided administrative, technical, logistical or material support. All authors had the final approval of the article.

Competing interests
The authors declare no competing interests.

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