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Dielectronic recombination and stability of warm gas in active
galactic nuclei

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ABSTRACT
Understanding the thermal equilibrium (stability) curve may offer insights into the nature of
the warm absorbers often found in active galactic nuclei. Its shape is determined by factors
such as the spectrum of the ionizing continuum and the chemical composition of the gas. We
find that the stability curves obtained under the same set of the above-mentioned physical
factors, but using recently derived dielectronic recombination rates, give significantly different
results, especially in the regions corresponding to warm absorbers, leading to different physical
predictions. Using the current rates we find a larger probability of having a thermally stable
warm absorber at 105 K than previous predictions and also a greater possibility for its multiphase
nature. The results obtained with the current dielectronic recombination rate coefficients are
more reliable because the warm absorber models along the stability curve have computed
coefficient values, whereas previous calculations relied on guessed averages for these because
of a lack of available data.

Key words: galaxies: active – quasars: absorption lines – ISM: abundances – ISM: atoms –
ISM: lines and bands.

1 INTRODUCTION
Warm absorbers are highly photoionized gas found along our line of
sight to the continuum source of active galactic nuclei (AGN). Their
signatures are a wealth of absorption lines and edges from highly
ionized species, notably O vii, O viii, Fe xvii, Ne x, C v and C vi in
the soft X-ray (0.3–1.5 keV) spectra. The typical column density
observed for the gas is \(N_H \sim 10^{22.5} \text{ cm}^{-2}\) and the temperature \(T\) is estimated to be a few times \(10^5\) K. For many objects the warm
absorber exists as a multiphase absorbing medium with all the phases
in near pressure equilibrium (Morales, Fabian & Reynolds 2000;
Collinge et al. 2001; Kastra et al. 2002; Krongold et al. 2003,
2005; Netzer et al. 2003; Ashton et al. 2006).

Any stable photoionized gas will lie on the thermal equilibrium
curve or ‘stability’ curve, in the temperature–pressure phase space,
where heating balances cooling; this is often used to study the
multiphase nature of the photoionized gas. The equilibrium depends
on the shape of the ionizing continuum and the chemical abundance
of the gas (Krolik, McKee & Tarter 1981; Krolik & Kriss 2001;
Reynolds & Fabian 1995; Komossa & Mathur 2001). We are in-
vestigating these dependences in detail and will report elsewhere
(Chakravorty et al., in preparation).

Over the past two decades the estimates of dielectronic recombi-
nation rate coefficients have improved. In this Letter we show that
these have affected the stability curves significantly, which may lead
to quite different physical models for the warm absorber gas. We
conclude with a caution on the reliability of earlier results.

2 THE STABILITY CURVE
As is customary, we consider an optically thin, plane-parallel slab
of gas being photoionized by the central source of the AGN. In ion-
ization equilibrium, photoionization is balanced by recombinations.
Thermal equilibrium is achieved when photoionization and Compton
heating are balanced by collisional cooling, recombination, line
excitation, bremsstrahlung and Compton cooling. The conditions
under which these equilibriums are achieved depend on the shape of
the continuum, the metallicity of the gas, the density, the column
density and the ratio of the ionizing photon flux to the gas density.
Following the convention of Tarter, Tucker & Salpeter (1969), we
specify this ratio through an ionization parameter \(\xi = L/nR^2\), where

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L is the luminosity of the source and \( n \) is the number density of gas at a distance \( R \) from the centre of the AGN.

We consider a sequence of models for a range of ionization parameters \( \xi \), for optically thin gas having a constant density of \( 10^9 \) cm\(^{-3} \), being irradiated by a power-law ionizing continuum with photon index \( \Gamma = 1.8 \), extending from 13.6 eV to 40 keV. The gas, in both cases, is assumed to have old solar abundance (see text). Regions of the plane where heating or cooling dominate are indicated. The curves are seen to differ significantly in the temperature range of \( 4.2 \leq \log T \leq 7.0 \). Multiphase regions on the solid and dashed curve have been highlighted.

3 COMPARISON WITH PREVIOUS WORK

Reynolds & Fabian (1995, hereafter RF95) have studied the stability curve for the warm absorber in MCG–6-30-15, using the standard set of parameters given above. We have reproduced their curve, as given in fig. 3 of RF95, using version C84.12a (hereafter C84) of CLOUDY, which was the stable version between 1993 and 1996; this is shown as the dashed curve in Fig. 1. The C84 and C07 curves match at high temperatures in the range \( T > 10^{7.2} \) K, where Compton heating and cooling dominate. They also agree at low temperatures \( T \leq 10^{4.8} \) K. However, the curves are significantly different in the intermediate-temperature range \( 10^{4.5} \leq T \leq 10^{7.2} \) K, which is the region of interest for the warm absorbers and where recombination and line excitation are dominant cooling mechanisms.

A detailed comparison between the stable phases for warm absorbers predicted by the two different versions of CLOUDY is done in Table 1. The second column of the table shows that for an absorber at \( T \sim 10^4 \) K, C84 predicts \( \xi > 45 \) as compared with \( \xi \sim 74 \) obtained from the C07 stability curve, where \( \xi \) is defined to be the ionization parameter corresponding to the middle of the ionisation range. This is because C07, from one version to another, against the logarithm of \( \xi / T \) is rather different from C84, predicting a greater probability of finding 105-K warm absorbers. In the sixth column we have compared the range of \( \xi / T \) over which the warm absorber exists are given in the fourth and fifth columns respectively for the low- and high-temperature states. The extent of the 105-K phase in Fig. 1. The C07 curve continues to have stable thermal states at \( \sim 10^4 \) K which is not true in the C84 case. The range of \( \log (\xi / T) \) over which the warm absorber exists are given in the fourth and fifth columns respectively for the low- and high-temperature states. The extent of the 105-K phase in log(\( \xi / T \)) is about four times larger in C07, predicting a greater probability of finding 105-K warm absorbers. In the sixth column we have compared the range of log(\( \xi / T \)) where the warm absorber exhibits multiple cooling mechanisms. C07 predicts a 40 per cent larger range and hence greater possibility of a multiphase warm absorber.

In order to isolate the atomic physics underlying the change in the stability curve, we have plotted the fractional variation of temperature \( \Delta T / T_{\text{TOT}} = (T_{\text{C84}} - T_{\text{C07}}) / T_{\text{C07}} \), from one version to another, against log \( \xi \) in the top panel of Fig. 2. We see that \( \Delta T > 0 \) for a major part of the range \( 1.0 < \log \xi < 4.5 \), so that the gas is predicted to be cooler by C07. The cooling fractions (\( \Delta C \)) of the major cooling agents and the heating fractions (\( \Delta H \)) contributed by the principal heating agents using C07 are plotted against log \( \xi \) respectively in the middle and bottom panels of Fig. 2. The ions that contribute significantly where \( \Delta T / T_{\text{TOT}} > 0.5 \) are He\(^{+1} \), and high-ionization species of silicon (+10 and +11) and iron (+21, +22 and +23). In the same log \( \xi \) range, the principal heating agents are highly ionized species of oxygen (+6 and +7) and iron (+17 to +25).

To identify the ions that are responsible for \( \Delta T / T_{\text{TOT}} > 0.5 \) per cent, we compare their column densities predicted by C84 and C07. In the previous photoionization calculations in this Letter it was sufficient to use one-zone models for optically thin gas. However, to calculate and compare the column densities of the ions over the range

| Version | \( \xi \) | N\(_{\text{phases}} \) | \( \Delta \log (\xi / T) \) \( \sim 10^5 \) K | \( \Delta \log (\xi / T) \) \( \sim 10^6 \) K |
|---------|---------|--------|----------------|----------------|
| C84     | 45      | 2      | 0.05           | 0.47           |
| C07     | 74      | 2      | 0.22           | 0.46           | 0.07

Figure 1. Stability curves generated by versions C07 and C84 of CLOUDY, for an optically thin gas with constant density \( 10^9 \) cm\(^{-3} \), being ionized by a power-law ionizing continuum with photon index \( \Gamma = 1.8 \), extending from 13.6 eV to 40 keV. The gas, in both cases, is assumed to have old solar abundance (see text). Regions of the plane where heating or cooling dominate are indicated. The curves are seen to differ significantly in the temperature range of \( 4.2 \leq \log T \leq 7.0 \). Multiphase regions on the solid and dashed curve have been highlighted.

Table 1. Parameters for the warm absorber obtained using versions C84 and C07 of CLOUDY. The second column gives the value of the ionization parameter of the \( \sim 10^{0.7} \) K stable warm absorber phase, the third column the number of discrete phases in the multiphase medium, the fourth and fifth columns the range of \( \log (\xi / T) \) respectively for the \( \sim 10^{5} \) and \( \sim 10^{6} \) K stable warm absorber phases, and the sixth column the range of \( \log (\xi / T) \) over which a multiphase medium is obtained.
Figure 2. Top: the fractional change of temperature between the two versions C84 and C07 of cloudy as a function of the ionization parameter. Middle: the cooling fraction ($\Delta C$) for the major cooling agents as a function of $\log \xi$, using C07. He$^+$ and highly ionized species of silicon and iron play important roles. Bottom: the heating fraction ($\Delta H$) contributed by the principal heating agents as a function of the ionization parameter, obtained using C07. Highly ionized species of oxygen like O$^{+6}$ and O$^{+7}$, and various ions of iron like Fe$^{+7}$ to Fe$^{+10}$ and Fe$^{+17}$ to Fe$^{+25}$ are the key ingredients that are responsible for heating the gas in the range $1.0 < \log \xi < 4.5$.

Figure 3. The column densities for the same ions as in the middle and bottom panels of Fig. 2. The solid lines are predicted by C07 and the dashed lines are for C84. The difference in the column densities is more pronounced for the ions that are significant cooling agents, with Si$^{+11}$ showing as much as a difference of about two orders of magnitude at $\log \xi \sim 2.0$ where it acts as the dominant cooling ion.

$1.0 < \log \xi < 4.5$, we chose to specify the gas to have total hydrogen column density $N_H = 10^{22}$ cm$^{-2}$, which is typical for warm absorbers. The column densities are plotted in Fig. 3 with solid lines for C07 and dashed lines for C84. It is seen that the column densities of the major coolants changed significantly. The cooling agents are among the ions for which dielectronic recombination rate coefficients (hereafter DRRCs) have been updated to the references below, as will be discussed in detail in Section 4. Thus the enhanced
cooling in C07 due to the change in DRRCs is the cause of the shift in the stability curves.

### 4 Changes in Dielectronic Recombination Rate Coefficients

The evolution of the thermal phases from the nebular temperatures of $10^6$ K to the coronal temperatures of $10^7$ K depends sensitively on the detailed atomic physics of the various elements that contribute to photoelectric heating as well as to cooling due to recombination and collisionally excited lines. In Table 2, we have compared the total recombination rates (dielectronic + radiative) for the significant cooling agents as predicted by C07 and C84. The values for log $T$ and log $\xi$ at which the comparisons have been made are given in columns 2 and 3 respectively. For all the ions the total recombination rates are significantly higher in C07 than in C84 as shown by columns 4 and 5. Referring to Fig. 2, we see that the differences in total recombination rates are relatively larger for ions like Si$^{+10}$, Si$^{+11}$, Fe$^{+21}$ and Fe$^{+22}$ which are significant cooling agents for log $\xi \sim 2.0$ and $\sim 3.2$, corresponding to which we have maximum difference in predicted equilibrium temperatures $T_{Ce}$ and $T_{Ce}$.

In the warm absorber temperature range $10^5 \lesssim T \lesssim 10^7$ K, dielectronic recombination dominates over radiative recombination for many ions (Osterbrock & Ferland 2006). Unlike the radiative recombination rate coefficients, the DRRCs have undergone significant changes over the last decade. C84 used DRRCs from Nussbaumer & Storey (1983, 1984, 1986, 1987) and Arnaud & Raymond (1992). In C07 we have taken the DRRCs for the isoelectronic sequences of lithium-, beryllium-, boron-, carbon-, nitrogen-, oxygen- and iron-like ions respectively from Colgan, Pindzola & Badnell (2004), Colgan et al. (2003). Altun et al. (2004), Zatsarinny et al. (2004), Mitnik & Badnell (2004), Zatsarinny et al. (2003) and Gu (2003). The DRRCs for Ne- to Na-like ions and Na- to Mg-like ions are taken from Zatsarinny et al. (2004b) and Gu (2004). The C07 DRRC for any given ion is usually substantially larger than the C84 DRRC when the temperature is much lower than the ionization potential of the ion. The significant cooling agents in C07 are among these ions. This indicates that the updated DRRC data base in C07 is the cause of the changes in the stability curve.

It is seen from Table 2 that the increase in recombination rates from C84 to C07 is larger for the lower ionization species. The reason for the large increase, in general, in the low-temperature DRRCs (referenced above) is the explicit inclusion of the contribution from low-lying (in energy) level-resolved dielectronic recombination resonances which have been accurately positioned by reference to the observed core energies. Unlike high-temperature dielectronic recombination, where the full Rydberg series contributes, low-temperature dielectronic recombination is not amenable to simple scaling or empirical formulae or guesses. Zatsarinny et al. (2003) illustrate the radical and erratic changes in the low-temperature DRRCs on moving between adjacent ions of the same isoelectronic sequence, and even on changing from a term-resolved picture to a level-resolved one for the same ion.

The DRRC data base is still not complete, especially for the lower ionization states. For ions that do not have computed DRRC values, C07 uses a solution, as suggested by Ali et al. (1991): for any given kinetic temperature, ions that lack data are given DRRC values that are the averages of all ions with the same charge. The advantage of this method is that the assumed rates are within the range of existing published rates at the given kinetic temperature and hence cannot be drastically off. However, we have checked whether the points in the C07 stability curves have computed DRRC values or guessed average values, concentrating on the parts of the curve that have multiphase solutions for the warm absorber ($10^5 \leq T \leq 10^6$ K) and are different from the C84 stability curve. We find that all the ions that act as major cooling agents for each of these points in the stability curve have reliable computed DRRC values. Thus the new data base provides a more robust measurement of the various physical parameters involved in studying the thermal and ionization equilibrium of photoionized gas.

### 5 Conclusion

We have shown that stability curves for warm absorbers in AGN generated by two versions of the ionization code CLOUDY, C84 and C07, for the same physical conditions, are substantially different in shape, leading to different conclusions regarding the nature of the warm absorber. The differences in the results of the photoionization calculations arise because of major changes in the dielectronic recombination rate coefficient (DRRC) data bases which have taken place over the last decade. The modern version C07 includes reliable computed DRRC values for many more ions than C84 for the entire part of the stability curve relevant for warm absorbers, and does not rely on guessed average values. Thus the physical nature of the warm absorber predicted by modern calculations is more reliable. We suggest that past calculations for photoionized plasma in thermal equilibrium should be reconsidered, taking into account these changes. Since any other atomic physics code (e.g. XSTAR) in popular use for warm absorber studies is also likely to be affected by the changes in the DRRC data base, caution should be exercised while using or comparing results from them as well.

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