Analysis of surface plasmon polariton conversion coefficient in slit-groove structure

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Abstract
A method for the analysis of finding the conversion efficiency of the surface plasmon polariton in slit-groove structures is proposed and studied. The conversion efficiency of the surface plasmon polariton can be determined by measuring the intensities of light scattered at the slit and groove positions. To verify the usefulness of the proposed method, two rigorous simulations based on the finite-difference time-domain method were executed and the simulation results compared with previously reported data. One was to mimic the far-field imaging experiment in slit-groove structure and the other was to calculate the conversion coefficient directly in a single scattering structure. The SPP conversion efficiencies obtained from the two simulations were approximately 0.232 and 0.220 respectively, and these agreed with the reported data. The suggested method can be used regardless of the shape of the plasmon-generation structure; therefore, it is expected to be useful in a wide range of experiments with different scattering structures.

Keywords Surface plasmon polariton · Conversion coefficient · Slit-groove structure · Scattering intensities · FDTD simulation

1 Introduction
Since the discovery of surface plasmon polaritons (SPPs), researchers have paid significant attention to them because they enable sub-wavelength focusing and field enhancement in nano-photonics [1, 2], as well as their potential applications to light emission control and plasmonic-integrated circuits [3, 4]. SPPs are promising candidates for integrated photonic circuits in sub-micrometer scale because they can be confined and propagate in the sub-wavelength scale [5]. Therefore, they have great potential to bridge electronics and photonics that face the difficulty in integrating photonic circuits with electronic chips because of the size mismatch problem [6]. To launch SPPs on planar chips, a structure providing momentum transfer is essential because of the mismatch of the dispersion curve between light and SPPs. Momentum delivery can be realized either by evanescent coupling via total internal reflection (Kretschmann geometry) or coupling into a thin narrow slit [7]. Among these, the latter is easy to fabricate on planar metal surfaces and also efficient for SPP creation. A slit or groove edge provides additional momentum to the incident light so that the dispersion of light can be matched by the dispersion curve of SPPs. Therefore, incident light can be converted into SPPs at the interface of the metal and dielectric layers [8].

Since SPPs are intrinsically near-field waves, they cannot be measured by conventional optics measuring far-field intensity of light. Traditional optical components can detect the intensity of only radiating and not near-field waves that decay exponentially. Therefore, probe-detecting apparatus such as near-field scanning optical microscopes (NSOMs) is necessary to measure SPPs [9]. From images of SPPs obtained by NSOMs, it is not easy to determine the coupling efficiency of SPPs with a slit structure [10–12]. To overcome this difficulty, an easy method to determine the coupling efficiency of SPPs using intensities obtained in far-field measurements is presented and explained. To check the validity of the proposed method in this study, finite-difference time-domain (FDTD) simulations were performed [13] and the obtained conversion efficiencies compared with reference data. Two different FDTD simulations were executed to extract the SPP conversion efficiency of the slit structure. One was to mimic a real experiment of a far-field imaging
experiment, which can be called a virtual experiment, and the other was a direct calculation of the SPP conversion efficiency in a single-slit structure.

2 Analysis and discussion

2.1 Analysis of SPP conversion efficiency

To extract the conversion efficiency of SPPs in far-field imaging measurements, a slit-groove structure for SPP generation and scattering measurements was used [14, 15]. A schematic of the slit-groove structure is shown in Fig. 1. A transmission measurement setup was used. The metal for the SPPs was deposited on the substrate, and it is assumed that the metal film is thick enough to not transmit the incident light. By exposing the back of the sample to the incident light, SPPs can be launched by propagating toward the normal direction of the length of the slit with the aid of slit geometry. When light is incident from the substrate, some of the incident light (intensity \( I_0 \)) is reflected and converted as SPPs at the bottom side of the substrate because of the edge of the slit structure. Additionally, light transmitting through the slit structure is absorbed by the metal film. If the coefficient of light reaching the top side of the substrate is written as \( \gamma \), some of \( I_0 \) is also converted to SPPs at the top side of the substrate because it meets the edge structure of the slit. When the conversion coefficient of SPPs by the edge structure is denoted as \( C_s \), the SPP-converted intensity of incident light at the top surface of the substrate can be written as \( 2C_s I_0 \) owing to two edge structures. Therefore, the intensity transmitted through the slit was measured by the detector located above the slit.

\[
I_s = I_0\gamma(1 - 2C_s) \tag{1}
\]

Similarly, the intensity measured by the detector located above the groove structure can be analyzed as follows: SPPs generated by the top edge of the slit propagate in the direction normal to the slit length at the front metal interface. The initial intensity of the SPPs propagating toward the groove corresponded to \( C_s I_0 \). Then, SPPs are decayed by the exp(-\( ad \)) factor, while they propagate the distance of \( d \) on the metal interface. The parameter of \( \gamma \) is the double of the imaginary part of the wave vector \( (k_{spp} = k_{n,spp} + i k_{s,spp}) \) of the SPP mode formed at the interface, and the propagation length of the SPPs at the interface of the metal and air can be denoted as \( 1/\alpha \). When the propagated SPPs meets a groove located at a distance \( d \) from the slit, they scatter and convert into light because of the edge of the groove structure, as in the case of the slit. When the coefficient of which light is converted from SPPs to light and scattered by the edge of the groove is written as \( C_g \), the intensity obtained at the groove position is as follows:

\[
I_g = I_0\gamma C_s e^{2ik_{n,app}d} \tag{2}
\]

At that time, the coefficient of light-to-plasmon conversion by single edge in slit, \( C_s \), and plasmon-to-light conversion by the edge in groove, \( C_g \), should be the same because the scattering geometries of the slit and groove are the same. Therefore, Eq. (2) can be expressed as follows:

\[
I_g = I_0\gamma C_s e^{-ad} \tag{3}
\]

Finally, the SPP conversion coefficient can be obtained as Eq. (5) by solving the quadratic Eq. (4) related to the ratio of \( I_s \) and \( I_g \).

\[
C_s^2 + 2MC_s - M = 0 \quad \text{where} \quad M \equiv \frac{I_g}{I_s} e^{-ad} \tag{4}
\]

\[
C_s = \sqrt{M^2 + M - M} \tag{5}
\]

In Eq. (5), the parameter \( M \) can be extracted directly from the scattered intensities measured in the far-field imaging experiment. In particular, the decay parameter \( \alpha \) of the SPP propagation can be obtained from the propagation measurement experiment and simultaneously calculated by solving the dispersion of the SPP formed at the interface of the metal and air [16]. Additionally, it is worth noting that this analysis of the conversion coefficient does not depend on the shape of the scattering structure. Therefore, if the shapes of the scattering structure in both light-to-plasmon and plasmon-to-light conversions are the same, the suggested approach can be applied in any plasmon experiment irrespective of the geometry of scattering.
2.2 Comparison of two FDTD simulations

The FDTD simulation can solve Maxwell equations sequentially and directly in an interesting structure. Therefore, two types of 3-dimensional FDTD simulations (Ansys Lumerical Inc. FDTD simulator 2021R2) were executed. One mimicked the real experiment of measuring the far-field intensities and propagation decay length. From this simulation, the parameter $M$ involving slit scattering intensity, groove scattering intensity, and propagation decay length were extracted directly by analyzing the far-field images. The other simulation determined the SPP conversion coefficient of the slit structure directly by simulating only one slit structure. Source with plane wave type and normal incidence angle was used. Type and incidence angle of source were used in both simulations. The minimum mesh size of the simulation was 2.5 nm and the boundary conditions were perfectly matched layer (PML) in $x$-axis and $z$-axis. The BC in $y$-axis was periodic in assumption of semi-infinite in $y$-axis.

Figure 2a shows a schematic of the slit-groove structure used in the first FDTD simulation. In this simulation, a gold layer with a thickness of 300 nm was used as the metal layer for the SPP generation, and the width of the slit was 150 nm, the same as that of the groove. The depth of the groove was 150 nm, and the wavelength of the incident light was 600 nm. Detection monitors that measure scattering intensities in front of the sample and the propagation decay length in the simulation are also shown in the schematic. Monitor 1, indicated by the black line, is located 1.2 μm away from the top surface of the metal layer and measures scattering intensities using the slit and groove. Monitor 2, indicated by the gray dashed line, is located 250 nm away from the top surface of the metal layer and measures the intensity of the propagating SPP at the interface of the metal and air. From the results obtained by monitors 1 and 2, parameter $M$ can be extracted. When a real experiment is performed, $M$ can also be obtained by measuring the intensities scattered at the slit and groove in far-field imaging, and by fitting the...
propagation length in the intensity plot of SPP propagation as an exponential function of distance. Figure 2b shows the distribution of the electric field (E-field) intensity obtained in monitor 2 of the FDTD simulation. Figure 2c is an E-field distribution detected at monitor 3 normal to the x-direction located 1.5 μm away from the slit structure. From Fig. 2b, it is clear that the light converted into SPPs was scattered by the groove structure. Figure 3 shows the intensity plot obtained using monitor 2. The scattering intensity of \( I_s \) at the slit structure can be obtained by summing the whole E-field intensities in the left area enclosed by yellow color in Fig. 3. Similarly, the scattering intensity of \( I_g \) at the groove structure could be extracted by summing the intensities in the right area enclosed by cyan color. The color-dashed areas have intensities same with scattered intensities calculated by near-to-far-field transformation. In addition to \( I_s \) and \( I_g \), the parameter of \( \alpha \) could also be obtained from this plot by fitting the decay intensities of the plot as an exponential function of \( x \), as shown by the red line in Fig. 3. As shown in Fig. 3, the decay parameter of \( \alpha \) was fitted as \( 2.105 \) μm. Finally, the parameter \( M \) in Eq. (4) was calculated as \( 1.768 \), and the coupling coefficient of the SPP conversion was obtained as \( 0.232 \) from Eq. (5). By comparing the obtained number with those previously reported, it is clear that the coupling efficiency obtained from this simulation agreed with values previously reported in some literatures; thus, the proposed analysis method is useful [10, 11, 17–22].

The second FDTD simulation was executed to verify the usefulness of the suggested analysis. In this case, the coupling coefficient was extracted directly by simulating a single-slit structure. Figure 4a shows the schematic of the single-slit structure used in the second FDTD simulation. For the single-slit simulation, a gold layer with a thickness of 300 nm and a slit with a width of 150 nm were used. In addition, the wavelength of the incident light was 600 nm. The schematic shows detection monitors enclosing the slit structure in the analysis. In a single-slit structure, the incident light from the substrate is divided into four parts. The incident light is reflected (reflection \( R \)), transmitted (transmission \( T \)), absorbed (absorption \( A \)), and converted into SPPs at four edges of the slit structure (conversion \( C_s \)). \( R \) and \( T \) can be calculated from Monitors 1 and 2, which are located apart by 1.2 μm away from the metal layer. The conversion coefficient of \( C_s \) can be extracted by multiplying the intensity of monitor 3 or 4 normal to the x-direction, as shown in Fig. 4, by the factor of \( \exp(\beta s) \). Here, \( s \) is the distance of the monitoring location in the x-direction and \( \beta \) is the decay parameter of the SPPs. Since SPPs generated at the slit decay because of absorption by the metal, the intensity \( ISPP \) measured by the monitor apart by \( s \) should be compensated by multiplying the factor of \( \exp(\beta s) \). Therefore, \( C_s \) can be calculated from \( ISPP \cdot \exp(\beta s) \). The absorption \( A \) can also be extracted from the simulation by calculating the boxed region that is shown by the dashed gray box in Fig. 4a. The absorption at the slit structure can be obtained by directly calculating the absorption power of \( P_{abs} = -0.5\text{real}(\nabla \cdot E) = -0.5\omega \text{Re}(E)^2 \text{imag}(\varepsilon) \) from simulated E-fields in monitor 3 [23]. Figure 4b also shows the bound SPP mode supported by the metal layer and air, which was obtained by monitor 3 located at the position apart by 1.5 μm from the slit structure. Figure 4c shows the spectra of the \( T \) and \( C_s \) obtained from the simulation. The calculated \( C_s \) was \( 0.220 \), which is close to the result obtained from the first slit-groove simulation and the previously reported data. At this point, it is worth noting that the error between results from two simulation may come from the mode mismatch.

3 Conclusion

In conclusion, a method for obtaining the SPP conversion efficiency from a slit-groove imaging experiment was proposed and verified through FDTD simulations. By matching the parameters measured in the far-field imaging
simulation with those obtained from the proposed analysis, the SPP conversion efficiency was obtained independent of the shape of the scattering structure. FDTD simulations with two different approaches were performed to verify the proposed analysis: one to mimic the entire far-field imaging experiment using FDTD simulation and the other to calculate the conversion coefficient directly in a single scattering structure. The SPP conversion efficiencies obtained from the two simulations were approximately 0.232 and 0.220, respectively, in close agreement with each other. From this agreement, the usefulness of the suggested analysis was verified, and it is expected to be applicable in various plasmon experiments because the analysis is independent of the shape of the plasmon scattering structure.

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Declarations

Conflict of interest Not applicable.

Availability of data and material Not applicable.

Code availability Lumerical FDTD simulator.

Ethics approval Not applicable.
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Consent to participate  Not applicable.
Consent to publication  Not applicable.

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