Extracorporeal membrane oxygenation during the first three waves of the coronavirus disease 2019 pandemic—A retrospective single-center registry study

Eugen Widmeier1 | Tobias Wengenmayer1,2 | Sven Maier3 | Christoph Benk3 | Viviane Zotzmann1,2 | Dawid L. Staudacher1,2 | Alexander Supady1,2,4

Abstract

Background: Despite increasing knowledge about the optimal treatment for patients with severe COVID-19, data from different cohorts suggested that survival of patients treated with ECMO seemed to decline over the course of the pandemic.

Methods: In this non-interventional retrospective single-center registry study we analyzed all consecutive patients tested positive for SARS-CoV-2 infection and supported with VV ECMO in our center during the first three waves of the pandemic. From March 2020 through June 2021, 59 patients have been included.

Results: Overall 90-day survival was 32%. Besides changes in drug treatment for COVID-19 and a lower PaO2/FiO2 ratio before ECMO initiation during the third wave, all other patient baseline characteristics were similar during the three waves. Survival rate was highest during the first wave and lowest during the third wave, yet this difference was not statistically significant.

Conclusions: VV ECMO has shown to be a feasible and safe support option for patients with severe respiratory failure due to COVID-19. The results from this single-center study confirm findings from other cohorts showing declining survival rates of patients treated with VV ECMO during the COVID-19 pandemic, however, the specific reasons for this finding remain unclear.

KEYWORDS

COVID-19, extracorporeal membrane oxygenation, survival

1 | BACKGROUND

Venovenous extracorporeal membrane oxygenation (VV ECMO) is an established support option for patients with severe respiratory failure.1 Recent studies suggested a survival benefit for patients supported with ECMO in severe acute respiratory distress syndrome (ARDS) with persistent hypoxemia or hypercapnia when combined with optimized mechanical ventilation support.2–5

Early during the coronavirus disease 2019 (COVID-19) pandemic skepticism was raised about the role of ECMO for the treatment of severe COVID-19 ARDS.6 Concerns...
not only related to the low survival rates initially observed but also to the relationship between the effort and the outcomes, in light of dwindling resources and shortage of staff during the pandemic.\textsuperscript{7-10} However, data from larger cohorts confirmed a benefit for patients with severe presentations of the disease, when appropriately selected.\textsuperscript{11-14} Clinical parameters, such as age or time on mechanical ventilation prior to ECMO, were described as relevant factors for the outcome of ECMO support.\textsuperscript{15-17}

From the onset of the pandemic, evidence was eagerly collected and rapidly reported, and guidelines were developed and continually revised to provide up-to-date evidence to clinicians at the bedside.\textsuperscript{18,19} Although there are some unique features in COVID-19, much of the experience with severe respiratory failure in COVID-19 patients is not different from that with other forms of ARDS, and therefore similar recommendations apply to the treatment with ECMO as in non-COVID-19 ARDS.\textsuperscript{20,21}

In our center, the first COVID-19 patient was admitted to the intensive-care unit (ICU) in March, 2020. Ever since, following the regional incidence rates, we treated varying numbers of patients on our wards over the course of the first three waves of the pandemic through June 2021. Here we report treatment characteristics and 90-day survival data of all patients with COVID-19 treated with ECMO in our center during the first three waves of the pandemic from March 2020 until the end of June 2021.

2 | METHODS

This is a non-interventional retrospective single-center registry study. All data were collected retrospectively from patient records at the Freiburg University Medical Center Interdisciplinary Medical Intensive-Care Unit. All patients with reverse transcriptase polymerase chain reaction (rtPCR)-confirmed severe acute respiratory syndrome coronavirus type 2 (SARS-CoV-2) infection supported with veno-venous ECMO received anticoagulation treatment with unfractionated heparin or argatroban, aiming for an activated prothrombin time (aPTT) of 40–50 s. In patients with bleeding complications, a lower aPTT was accepted (40 s, if acceptable with regard to the bleeding complications). In case of signs of ECMO circuit thrombosis (not requiring immediate or timely system exchange) an aPTT range of 50–60 s was aimed for. In case of patient thromboembolism (e.g. pulmonary embolism or deep vein thrombosis), the aPTT target was 60–80 s.\textsuperscript{24}

For all patients included in this study, sex, age, time of hospital and ICU admission, time of initiation of mechanical ventilation, time of initiation of ECMO and decannulation from ECMO, and survival time were recorded. Furthermore, pre-existing comorbidities, laboratory and treatment parameters required for the calculation of SOFA, RESP and PRESERVE scores and blood gas analysis parameters before initiation of ECMO were collected.\textsuperscript{25-28} Follow-up period for all patients was 90 days. All data were entered into an electronic chart (Microsoft Excel 2010, Microsoft Corp., Redmond, WA, USA) by members of the study team and cross-checked after entry by a second study team member for accuracy. For estimation of inspired oxygen fraction in patients not
mechanically ventilated, we used the approach described in previous studies to determine the concentration of oxygen supply.25,29,30

For statistical analyses, Prism (version 9; GraphPad Software Inc., San Diego, CA, USA) and SPSS Statistics (version 27; IBM Corp., Armonk, NY, USA) were used. Survival time was visualized using Kaplan–Meier plots and statistical differences between the groups were calculated using the Log-rank (Mantel-Cox) test. For these analyses, patients were grouped according to the first three waves of the pandemic in our center (first wave: March 2020 – July 2020; second wave: October 2020 – February 2021; third wave: March 2021 – June 2021) or according to the duration of mechanical ventilation before VV ECMO (<3 days vs. 3–7 days vs. >7 days and ≤7 days vs. >7 days).

Baseline and outcome parameters were compared between the groups of patients treated during the three waves of the pandemic. Continuous variables were compared using one-way analysis of variance (ANOVA). Categorical variables were evaluated using Freeman–Halton tests.

Finally, PaO2/FiO2 ratio and time of treatment with respect to the three waves were entered in a multivariate logistic regression model assessing 90-day survival as the dependent variable. Results are expressed as odds ratios (OR) with 95% confidence intervals, and p-values. In all evaluations, a p-value at or below 0.05 was considered statistically significant.

3 | RESULTS

In our center, from March 18, 2020 through June 26, 2021, 59 patients with rtPCR-confirmed SARS-CoV-2 infection were supported with VV ECMO. Of all 59 patients, 15 (25%) were treated during the first wave, 21 (36%) during the second wave and 23 patients (39%) were treated during the third wave of the pandemic (Figure 1). Median age (IQR) of all patients was 59 (53–63) years and 16 (26%) were female. 30 patients (51%) survived until day 30, 23 patients (39%) survived until day 60, and 19 patients (32%) survived until day 90 (Tables 1 and 2).

For patient baseline characteristics during the three waves see Table 1. Most baseline parameters did not differ between the three groups. Statistically significant differences were found for the partial pressure of arterial oxygen (PaO2) and the PaO2/FiO2 ratio before initiation of ECMO with lowest values during the third wave of the pandemic. Additionally, COVID-19 specific medical treatment was different during the three waves. At the beginning of the pandemic, according to the recommendations at that time, most patients were treated with hydroxychloroquine and lopinavir/ritonavir, and only few patients received corticosteroids. During the second wave and the third wave all patients received methylprednisolone. Only few patients received remdesivir, but during the third wave, following recent evidence and recommendations, selected patients were treated with tocilizumab (Table 1).

During the first wave, the SARS-CoV-2 wild-type virus was common. At that time, in our laboratories no sequencing of SARS-CoV-2 samples was done, but it can be assumed that all patients were infected with the wild-type virus. During the second wave of the pandemic, the B.1.1.7 (alpha) variant of concern spread and soon dominated in Germany. In our laboratories, we started

![FIGURE 1](image-url) CONSORT flowchart illustrating patient recruitment, case exclusion, and number of survivors and non-survivors treated during the three waves of the COVID-19 pandemic reported in this analysis. ECMO, extracorporeal membrane oxygenation.
| TABLE 1 Patient baseline characteristics | All patients (n = 59) | First wave (n = 15) | Second wave (n = 21) | Third wave (n = 23) | p-value |
|------------------------------------------|----------------------|---------------------|----------------------|---------------------|---------|
| Age (years)                              | 59 (53–63)           | 59 (53–66)          | 62 (52–66)           | 56 (51–61)          | 0.722   |
| Sex                                       |                      |                     |                      |                     |         |
| Male                                      | 43 (73%)             | 11 (73%)            | 15 (71%)             | 17 (74%)            | >0.99   |
| Female                                    | 16 (27%)             | 4 (27%)             | 6 (29%)              | 6 (26%)             | >0.99   |
| Body-mass index (kg/m²)                   | 29.39 (27–35)        | 27.44 (25–30)       | 30.86 (28–39)        | 30.86 (27–35)       | 0.189   |
| Comorbidities                             |                      |                     |                      |                     |         |
| Hypertension                              | 24 (41%)             | 6 (40%)             | 7 (33%)              | 11 (48%)            | 0.594   |
| Diabetes                                  | 15 (25%)             | 4 (27%)             | 4 (19%)              | 7 (30%)             | 0.696   |
| Coronary heart disease                    | 7 (12%)              | 3 (20%)             | 3 (14%)              | 1 (4%)              | 0.310   |
| Hematological malignancy                  | 1 (2%)               | 1 (7%)              | 0                    | 0                   | 0.254   |
| Solid organ malignancy                    | 1 (2%)               | 0                   | 0                    | 1 (4%)              | >0.99   |
| Immunosuppressive therapy                 | 3 (5%)               | 1 (7%)              | 1 (5%)               | 1 (4%)              | >0.99   |
| Scores                                    |                      |                     |                      |                     |         |
| SOFA                                      | 9 (7–10)             | 10 (8–11)           | 8 (7–10)             | 8 (7–10)            | 0.315   |
| RESP                                      | 1 (0–2)              | 1 (0–3)             | 1 (0–2.5)            | 1 (0–2)             | 0.630   |
| PRESERVE                                  | 4 (3–6)              | 5 (3–6)             | 4 (3–5.5)            | 4 (3–6)             | 0.588   |
| Pre-ECMO patient conditions               |                      |                     |                      |                     |         |
| Days of in-hospital treatment before ECMO | 8.7 (5.6–14.7)       | 6.5 (5–11.5)        | 10.7 (6.3–17.5)      | 10.6 (5.6–14.7)     | 0.344   |
| Days of ICU-treatment before ECMO         | 7.65 (4–13)          | 5.6 (3–10.4)        | 8.0 (5.5–16)         | 8.7 (4.6–11.7)      | 0.353   |
| Duration of mechanical ventilation before ECMO (days) | 7.8 (4–12.7) | 5.6 (3.7–10.5) | 8.0 (4.1–16) | 8.7 (5.6–11.7) | 0.553   |
| Prone positioning                         | 49 (83%)             | 11 (73%)            | 16 (76%)             | 22 (96%)            | 0.109   |
| Pre-ECMO ventilation parameters           |                      |                     |                      |                     |         |
| FiO₂ (%)                                  | 1.0 (0.9–1.0)        | 1.0 (0.85–1.0)      | 1.0 (0.88–1.0)       | 1.0 (0.95–1.0)      | 0.452   |
| Positive end–expiratory pressure (mbar)   | 15 (14–16)           | 15 (13–18)          | 15 (13.5–16)         | 15 (14–16)          | 0.240   |
| Peak pressure (mbar)                      | 34 (31–36)           | 32 (30–35)          | 34 (32–36.5)         | 35 (31–36)          | 0.772   |
| Dynamic driving pressure (mbar)           | 18 (16–21)           | 16 (14–19)          | 20 (17–23)           | 19 (16–22)          | 0.281   |
| Tidal volume (ml)                         | 431 (346–517)        | 450 (273–517)       | 431 (366–484)        | 419 (340–553)       | 0.883   |
| Breathing rate (1/min)                    | 27 (22–32)           | 22 (20–27)          | 27 (22–32)           | 30 (24–34)          | 0.055   |
| Pre-ECMO arterial blood gas analysis      |                      |                     |                      |                     |         |
| pH                                       | 7.31 (7.21–7.40)     | 7.28 (7.12–7.36)    | 7.32 (7.16–7.40)     | 7.35 (7.26–7.41)    | 0.295   |
| PaO₂ (mm Hg)                              | 64.2 (50.9–75.4)     | 69.1 (56–84.2)      | 67.0 (57.8–78.8)     | 54.1 (47.6–67.9)    | 0.018   |
| PCO₂ (mm Hg)                              | 60.0 (46.9–71.7)     | 63.8 (42.9–80)      | 61.9 (49–72.9)       | 56.2 (46.9–69.6)    | 0.498   |
| PaO₂/FiO₂                                 | 67.58 (51.9–84.2)    | 83.78 (56–94.5)     | 71.06 (58.96–89)     | 56.90 (47.6–74.13)  | 0.047   |
| Bicarbonate (mmol/l)                      | 24.1 (22.5–28.2)     | 23.90 (21.8–26.1)   | 24.1 (21–29.65)      | 24.70 (23.5–29.3)   | 0.329   |
| Lactate (mmol/l)                          | 1.56 (1.2–2.0)       | 1.9 (1.2–2.2)       | 1.5 (1.1–1.85)       | 1.50 (1.4–1.9)      | 0.934   |
| Medical treatment                         |                      |                     |                      |                     |         |
| Hydroxychloroquin                         | 11 (19%)             | 10 (67%)            | 0                    | 1 (4%)              | <0.001  |
| Lopinavir–ritonavir                       | 6 (10%)              | 6 (40%)             | 0                    | 0                   | <0.001  |
sequencing of SARS-CoV-2 samples end of December, 2020, but none of the samples from the second wave were positive for B.1.1.7. During the third wave sequencing was done for 14/23 patients (61%), and all were tested positive for B.1.1.7; however, for 9/23 patients (39%) no sequencing data were available.

90-day survival decreased during the course of the pandemic. During the first wave, 7 of 15 patients (47%), during the second wave, 8 out of 21 patients (38%), and during the third wave, 4 out of 23 patients (17%) survived until day 90. Yet, these differences did not reach statistical significance (Figure 2).

The duration of mechanical ventilation before ECMO was not different between the three cohorts (Table 1). Furthermore, we did not reveal a meaningful impact of the duration of mechanical ventilation before initiation of ECMO on the survival of the patients (<3 days vs. 3–7 days vs. >7 days and ≤7 days vs. >7 days, Figures 3 and 4).
In a multiple logistic regression for the effect of $\text{PaO}_2/\text{FiO}_2$ ratio and treatment during the different waves of the pandemic no statistically significant effect on 90-day survival was detected (Table 3).

**4 | DISCUSSION**

We report treatment data and 90-day survival from 59 consecutive patients with COVID-19 supported with VV ECMO in our center during the first three waves of the pandemic between March 2020 and June 2021. Overall survival until day 90 was 32%. Compared with larger cohorts previously reported, the survival rate in our cohort was low. This finding warrants explanation.

90-day survival in the largest reported cohort of COVID-19 patients with ECMO extracted from the Extracorporeal Life Support Organization (ELSO) registry was around 50%, and 90-day survival in another large cohort treated in Paris, France, was 46%.\(^1\,^1^,\,^3^1\) As a major difference, the patients' median age was higher in our cohort (59 years) compared with the other cohorts (50 and 52 years, respectively). Patient age is known to be an important factor for the probability of survival in COVID-19; therefore, the higher age in our cohort could at least partly explain lower survival rates.\(^1^7,^3^2,^3^3\) Interestingly, a recent

![Fig 2: Kaplan–Meier curves for survival time until day 90 during the three waves of the COVID-19 pandemic. ECMO, extracorporeal membrane oxygenation.](image)

![Fig 3: Kaplan–Meier curves for survival time until day 90 relative to the duration of mechanical ventilation prior to VV ECMO (<3 days vs. 3–7 days vs. >7 days). VV ECMO, venovenous extracorporeal membrane oxygenation.](image)
analysis of all ECMO treatments during the first three waves in Germany also reported a 32% survival rate. This analysis was based on billing data of all hospitals in Germany, therefore, the risk of selection bias or under-reporting of negative results was lower than in previous cohorts. In contrast to this, in the ELSO cohort reporting bias might skew the results to some extent. Participation in the registry was voluntary and complete reporting of all ECMO runs from the participating centers could not be guaranteed. This could lead to underreporting of negative results.

In some places, local guidelines recommend that patients over a certain age limit (e.g., over 70 years) do not receive ECMO. While regarding age as a relevant prognostic factor to be considered when selecting a patient for ECMO, ELSO guidelines do not specify an age limit above which ECMO should not be considered. However, an expert consensus document, agreed on early during the COVID-19 pandemic, recommended a patient age at or above 65 years as a relative contraindication for ECMO. In our center, we did not have a specific age limit for patients considered for ECMO. In the here reported cohort, 14/59 patients (24%) were aged 65 years or older. Five of these patients (36%) were alive at day 90 - representing 8% (5/59) of all patients included in this analysis. Probably, most of these patients would have died without ECMO.

In addition to that, we observed declining survival rates of patients treated with ECMO over the course of the three waves of the pandemic. This observation was also reported from other cohorts. Yet, this finding is surprising, since it contradicts intuition and previous observations. One would expect that over the course of the pandemic experience and knowledge for the optimal treatment of the disease increased, and therefore outcomes should have improved. This expectation is supported by the observation of higher survival rates for patients with COVID-19 ARDS treated with ECMO at centers with a caseload of at least 30 ECMO patients in the year before compared with centers with lower numbers in the previous year. Likewise, in an analysis before the COVID-19 pandemic, a higher annual hospital ECMO volume was associated with lower mortality.

A potential reason for the decreasing probability of survival in our cohort may have been pathophysiological changes of COVID-19 over time due to the evolution of SARS-CoV-2 variants. In our center, we started sequencing of SARS-CoV-2 samples at the end of December 2020, when the B.1.1.7 (alpha) variant of concern emerged and rapidly spread globally. During the first two waves, we did not detect B.1.1.7 in any of the patients of the here

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**FIGURE 4** Kaplan–Meier curves for survival time until day 90 relative to the duration of mechanical ventilation prior to VV ECMO (≤7 days vs. >7 days). VV ECMO, venovenous extracorporeal membrane oxygenation

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**TABLE 3** Multiple logistic regression for 90–day survival: Effects of pre–ECMO PaO2/FiO2–ratio and treatment during different waves of the pandemic

|                      | Odds ratio (95% CI) | p-value |
|----------------------|--------------------|---------|
| PaO2/FiO2            | 1.015 (0.995, 1.036) | 0.157   |
| Wave (first vs. second) | 0.634 (0.156, 2.578) | 0.524   |
| Wave (first vs. third) | 0.328 (0.069, 1.549) | 0.159   |

Note: Multiple logistic regression for the effect of PaO2/FiO2–ratio and treatment during different waves of the pandemic on 90–day survival. For both factors no statistically significant effect was detected in this model.

Abbreviations: CI, confidence interval; ECMO, extracorporeal membrane oxygenation; FiO2, fraction of inspired oxygen; PaO2, partial pressure of arterial oxygen.
reported cohort. During the third wave, we performed sequencing of the virus genome for 14/23 patients (61%) and all were positive for B.1.1.7. Assuming that most of the remaining patients during this wave in whom the virus was not sequenced were also infected with B.1.1.7, it is conceivable that the B.1.1.7 variant had a negative impact on patient survival.

Fluctuations in quality of care due to increased workload for the personnel may have had an additional impact on the decreasing survival rates during the course of the pandemic. During the first wave, activities in our hospital were focused on the care for an expected very high number of COVID-19 patients and many non-emergency admissions and interventions were deferred or canceled. As a result, the available structural and human resources were at all times well above the resources needed to care for the patients – supply bottlenecks and staff overload were avoided. During the second and third waves, in addition to the care for severely diseased COVID-19 patients, most of the other routine and emergency patient care continued at regular levels, so that the overall workload for physicians and nurses was significantly higher. This, at least in part, might also have had an effect on survival rates.

Ongoing vigilance and continuous observation of survival data, complications, and prognostic factors is not only important on the national level but also regionally and in single centers. This data may be an important basis for decisions about prioritization and limitation of specific services, such as ECMO, when it comes to dwindling resources and shortage of staff during the pandemic.9

There is uncertainty about the effect of the duration of mechanical ventilation before initiation of ECMO on the survival of the patients. Results from two independent large cohort analyses suggest a poorer outcome for patients that received mechanical ventilation before ECMO for more than 3 days.11,15 Yet, these findings could not be confirmed in a recent analysis of a comparably large cohort from Vienna, Austria.39 Similarly, in our cohort we did not identify a meaningful effect of the duration of mechanical ventilation before initiation of ECMO on patient survival.

In our cohort, all patients treated during the second and third wave of the pandemic received methylprednisolone. However, this treatment alone did not reflect in an improvement of survival probability compared with patients from the first wave. Hydroxychloroquine and lopinavir/ritonavir were only given to our patients during the first wave, however, one patient during the third wave had also taken hydroxychloroquine as self-medication before he was admitted to our hospital. The rate of prone positioning was high during all three waves. From the other baseline factors, only PaO2 and the PaO2/FiO2 ratio differed significantly between the cohorts from the three waves. To further analyze the impact of the PaO2/FiO2 ratio on 90-day survival of the patients we performed a regression analysis, which did not yield a significant result.

During all three waves, intracranial hemorrhage occurred more frequently in our cohort than in other cohorts reported previously.12,31,36 We cannot explain with certainty if this is due to baseline differences between our cohort and the other cohorts, such as higher age, or if it is due to any differences in the treatment the patients received.

Several limitations of this study need to be mentioned and considered. First, the data come from a single-center cohort and the number of patients is comparably small. This limitation is particularly challenging when looking at each wave individually or when comparing the patients from the three different waves—in these analyses each group was particularly small limiting the informative value of statistical comparisons. Furthermore, our hospital is serving as a major referral center for ECMO treatment in our region; therefore, most of the patients included in this analysis were transferred from other centers for initiation of ECMO treatment. Therefore, the treatment for the patients before ECMO may well have been different depending on local standards in the respective center. Finally, data of COVID-19 ICU patients without ECMO was not available for this work. Therefore, important questions remain with respect to patient selection for ECMO and survival of patients not eligible for ECMO.40 Consequently, all our findings need to be viewed and interpreted with caution. Nevertheless, the here reported data may be of particular interest in a regional and national context with regard to resource allocation and prognostic factors.

5 | CONCLUSIONS

The results from this single-center cohort of COVID-19 patients treated with ECMO confirm a role for VV ECMO in the treatment of patients with severe respiratory failure due to COVID-19. Yet, mortality is high; therefore, patients have to be selected carefully considering appropriate inclusion criteria and available resources.

CONFLICT OF INTEREST

All authors have completed the ICMJE form (available upon request from the corresponding author). AS reports research grants and lecture fees from CytoSorbents and lecture fees from Abiomed, both outside the submitted work.
AUTHORS CONTRIBUTIONS
Concept/design: Eugen Widmeier and Alexander Supady, Data analysis/interpretation: Eugen Widmeier and Alexander Supady, Drafting article: Alexander Supady, Critical revision of article: Eugen Widmeier, Tobias Wengenmayer, Sven Maier, Christoph Benk, Viviane Zottmann, Dawid L. Staudacher, Alexander Supady, Approval of article: Eugen Widmeier, Tobias Wengenmayer, Sven Maier, Christoph Benk, Viviane Zottmann, Dawid L. Staudacher, Alexander Supady, Statistics: Eugen Widmeier and Alexander Supady, Data collection: Eugen Widmeier, Tobias Wengenmayer, Sven Maier, Christoph Benk, Viviane Zottmann, Dawid L. Staudacher, Alexander Supady.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE
Data collection was approved by the institutional ethics committee of the University of Freiburg (EK 151/14), due to the retrospective and observational nature of the study the need for informed consent was waived.

DATA AVAILABILITY STATEMENT
All data will be available from the corresponding author on reasonable request.

ORCID
Alexander Supady https://orcid.org/0000-0003-4056-3652

TWITTER
Alexander Supady @alexandersupady

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