Neural network model for user request analysis during software operations and maintenance phase

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Abstract
This article offers a transition-based neural network model for extracting informative expressions from user request texts. The configuration and transition system that turns the process of informative expression extraction into the execution of a sequence of transitions is described. Prediction of transition sequence is done using a neural network that uses features derived from the configuration. To train and evaluate a proposed model, a corpus of annotated Android mobile application reviews from the Google Play store was created. The training procedure of the model for informative expressions extraction and selected model’s hyperparameters are described. An experiment was conducted comparing the proposed model and an alternative model based on a hybrid of convolutional and recurrent neural networks. To compare quality of these two models, the F1 score that aggregates recall and precision of extracted informative expressions was used. The experiment shows that the proposed model extracts expressions of interest better than the alternative: the F1 score for spans extraction increased by 2.9% and the F1 for link extraction increased by 36.2%. A qualitative analysis of extracted expressions indicates that the proposed model is applicable for the task of user request analysis during operation and the maintenance phase of software products.

Key words: natural language processing; software maintenance; machine learning; deep learning; transition-based model.

Citation: Gribkov E.I., Yekhlakov Yu.P. (2020) Neural network model for user request analysis during software operations and maintenance phase. Business Informatics, vol. 14, no 1, pp. 7–18.
DOI: 10.17323/2587-814X.2020.1.7.18
Introduction

The competitiveness of a software product on the market largely depends on the speed and quality of the developer’s response to end user requests on issues associated with software bugs, errors in technical documentation, insufficient qualification of end users, etc. These problems are usually resolved at the software operation and maintenance stages [1]. According to [2], these account for 67% of the whole software life cycle.

To handle user requests that usually are presented as unstructured text (emails, messages on forums and support chats) and make appropriate corrective actions, IT companies create specialized structures called technical support services. Modern helpdesk software systems like HappyFox, Service Desk Plus, Zendesk have a large set of functions for the acceptance and storage of user requests, linking related requests, request status monitoring, storage of communication between support staff and users. Despite that, the understanding and interpretation of a request text, as well as assignment of a support specialist to resolve the request is still done by human beings. Unexpected growth of the user base can lead to problems with support service scalability and increase costs of non-core structures within the company.

In addition to processing “explicit” user requests related to the software features, “implicit” requests made by users in channels that are rarely the focus of support services can be of great interest to companies: forums, blogs, and social network pages made at the initiative of the community of software users. “Implicit” requests, presented in the form of opinions or reviews, can be just as useful to the software developer as “explicit” ones, and at the same time they are much more numerous. Since analysis of a large amount of text is a time consuming task, this kind of feedback is either not reviewed systematically or not reviewed at all.

To handle the problems mentioned above, methods of automatic text analysis can be applied to the requests in order to identify statements about problems that users encountered while using the software. There is a body of research devoted to the problem of applying automatic text analysis methods to user requests in order to identify and extract knowledge useful for development and maintenance of software products. In [3], the authors propose to use decision trees, a naive Bayes classifier and logistic regression to identify user complaints about bugs among other kinds of requests in open source software bug trackers. The study [4] is devoted to the analysis of various aspects of the review texts about mobile applications published in the Apple AppStore. It is noted that although some of the reviews are not informative to application developers, others contain information about bugs, user experience, and feature requests. The method for discovery of feature requests in review texts from the Google Store is considered in [5]. The authors use a set of linguistic rules to classify sentences into classes “contains / does not contain a request,” and then use a Latent Dirichlet Allocation to determine the main topics in requests. In [6], the authors propose a corpus of mobile application reviews in German from the Google Play Store, in which they annotate application features — aspects, and user opinions about them — descriptions.

Analysis showed that most of the existing models can do only coarse-grained analysis on the level of whole request text or a sentence that is unable to identify and extract the set of phrases that express the essence of request. Furthermore, each of the described models can identify only a kind of request which does not correspond to the real needs of the support service. In this paper, we propose a solution that can extract many kinds of user requests within a single model and at the same time can extract key phrases in the texts. This model is a development of the ideas described earlier in [7].
1. The problem of processing user requests during software operations and maintenance phase

In this work, the task of user request analysis during software operations and maintenance is reduced to extraction of informative expressions (IE) with specific questions, wishes and requirements of users, from request texts. The structure of an IE is defined as an “object — description” pair, where an object is the mention of the software itself, its functions or graphic user interface elements in the text, and a description is a phrase in which the user evaluates the object or talks about its current state.

To separate IEs into semantically similar subgroups, an original classifier based on the elements of the universal activity model [8] is proposed: the subject of activity, the target object of the activity, the tools used in the process, the relationships between the elements of activity. In this specific task, the subject of activity is the software product user, the object is a software product itself, the tools are hardware and side software that ensure the functioning of the software product. A hierarchical classifier of IE types based on this model is presented in Figure 1. The classifier consists of the following elements:

![Diagram](image)

*Fig. 1. Classifier of informative expressions*
User competencies — user questions about the features of the software, its graphical user interface and documentation;

Opinions about software features — positive and negative user opinions about the software features;

Software features — phrases in which users report incorrect operation of software features, its graphical user interface, factual errors in the documentation;

Features — requests for new features in software and improvements in documentation and GUI;

Software environment and hardware bugs — user complaints about failures in the operation of software environment and hardware.

The authors do not claim that the proposed classifier of informative expressions is complete and general enough to be applied in any domain. In this work, the authors analyzed review texts from the Google Play store and selected 4 classes of IE from the classifier frequently mentioned by users in their reviews: bug (“software and hardware bugs”), feature request (“improvement of the software”), positive feature, negative feature. Here are some examples of IEs from the Google Play Marker review texts (translated from Russian):

Bug: “player does not appear on the lock screen”, “playlist does not update when swipe down”;

Feature request: “add shuffle button”, “allow to edit tags”;

Positive feature: “many possibilities for manipulating sound”, “quickly cancel the reception”;

Negative feature: “record archive is not stored”, “duplicates features of the Gosuslugi application”.

Thus, given the user request text as a sequence of words $w = w_1, \ldots, w_N$ and classifier structure, we should extract the set of IEs from this text. Formally, IE is a triple $(o, d, l)$ where $o$ is an object, $d$ is a description and $l$ is a class of IE from the set $Labels = \{\text{Bug}, \text{Feature request}, \text{Positive}, \text{Negative}\}$. Objects and descriptions in the text are defined as spans: $o = (w_1, \ldots, w_p)$, $d = (w_p, \ldots, w_q)$. The IE class is determined by the class label assigned to description. Examples of the IE structure are presented at Figure 2.

Fig. 2. Examples of informative expression structure

2. Transition-based neural network model

In order to extract IEs from user request text, an original transition-based neural network model is proposed. This kind of model is widely used in tasks where the target variable is an object with a complex structure: prediction of sentence phrase structure [9], dependency parsing [10] and named entity recognition [11]. This kind of model is attractive since it is fast at prediction time and can use complex non-local features. A transition-based model requires the definition of abstract automata that accept text as input and transform it into set of IEs. The state of the abstract automata is determined by configuration $C$, which changes when automata execute transition. Initial configuration $C_0$ constructed from the input text. After completion of the transition sequence $y = y_1, \ldots, y_T$, $y_t \in Y$, the automata reach final configuration $C_T$ which contains all the IEs from analyzed text. The next transition at step $t$ is selected by probabilistic classifier that maps current configuration $C_t$ to probability distribution over possible transitions:
We define configuration as tuple $\{B, S, L, H\}$. Buffer $B$ holds words from the input text. Stack $S$ contains object and description spans in the order they are found in the text. As spans that consist of several words are built incrementally, the entity on the top of the stack can be extended with additional words during prediction. Buffer $L$ holds links between elements of stack $S$. List $H$ holds the history of taken transitions that led initial configuration to the current $C$. Let us describe in detail the meaning of the elements of the set of transitions $Y = \{\text{Shift, Start(e), Add(e), Link(n_1,n_2), End}\}$. Shift discards the first element of $B$. Start(e) creates a new span of the class $e \in \text{Labels} \cup \{\text{Object}\}$, puts it on the top of $S$ and moves a word from the beginning of $B$ to created span. Add(e) moves the item from the beginning of $B$ in the span on the top of $S$. Link($n_1,n_2$) links elements of $S$ at positions $n_1$ and $n_2$; the created link is placed in the end of $L$. By defining set $Y$ in this way, we limit the maximum depth at which a connection between elements of $S$ can be created. We estimate this depth as the maximum depth between linked spans in the training set because further increase in depth will not be supported by appropriate training samples. End transition ends the prediction process.

There are configurations from which some transition can be executed only if the configuration satisfies certain restrictions: for example, if $B$ is empty, no transition can be performed except End and Link($n_1,n_2$). These restrictions are expressed with function $A(C_y):C \rightarrow Y \subseteq Y$ that returns the subset of available transitions for current configuration $C_y$. The necessary conditions for each transition are shown in Table 1.

| Transition | Precondition |
|------------|--------------|
| Shift      | $B \neq \emptyset$ |
| Start(e)   | $B \neq \emptyset$ |
| Add(e)     | $B \neq \emptyset \land S \neq \emptyset \land \text{class}(S) = e$ |
| Link($n_1,n_2$) | $\exists S_{n_1} \land \exists S_{n_2} \land (n_1,n_2) \notin L$ |
| End        | $B \neq \emptyset$ |

Conditional probability distribution on possible transitions from expression (1) is defined as a probabilistic model of the following form:

$$
\hat{y}_t = \arg\max_{y \in A(C_y)} P(y | C_y).
$$

$$
P(y | C) = \text{softmax}(W \cdot \varphi(C) + b)_y,
$$

where $W, b$ – classifier weights; $\varphi(C)$ – feature vector derived from the current configuration.

Feature vector $\varphi(C)$ is formed by a concatenation of feature vectors for individual parts of the configuration:

$$
\varphi(C) = (\varphi(B) ; \varphi(S) ; \varphi(H)).
$$

To construct feature vectors of configuration elements, we use contextual vector representations of input text that are obtained from function $F$:

$$
\varphi(B_1, ..., \varphi(B_n) = F(E(w_1), ..., E(w_N)),
$$

where $E$ – function that maps words into vector space.

$F$ can be any mapping from one vector sequence to another. This work considers two options that have been used in natural language processing problems: one-dimensional convolutional (CNN) [12–14] and recurrent (RNN) [15–17] neural networks.

In the case of CNN, each vector in the input sequence at position $n$ is built from input
sequence vectors in the window of size $k$ centered at $n$ by the following equation:

$$h_n = \sigma(W(E(w_{n-(k-1)/2}); \ldots; E(w_{n+(k-1)/2}))+b), \quad (5)$$

where $W$, $b$ — weights of convolution; $\sigma$ — non-linear activation function.

So, $h_n$ can be thought of as a contextual representation of the original word in position $n$.

We use MaxOut activation [18] as $\sigma$ because it showed the best accuracy during preliminary experiments. To improve the training process of the neural network, we applied the residual learning approach from [19], when the output of layer is summed with unmodified layer input. In such a case, the output of the layer $i$ is defined as:

$$h'_i = \sigma(W h_{i-1}+b) + h_{i-1}. \quad (6)$$

Contextual representations of sequence elements can be obtained with recurrent neural networks (RNNs) by the following recurrent formula:

$$h_n = \sigma(U E(w_n) + Wh_{n-1} + b). \quad (7)$$

In this case, the contextual information is transmitted in such a way that the element at position $n$ carries information about previous $(n-1)$ elements. To get context information about future context, bidirectional RNNs [20] can be used. The resulting contextual representations are formed as a concatenation of vector representations $\tilde{h}_n$ and $\bar{h}_n$ from forward (from left to right) and reverse (from right to left) RNNs:

$$\tilde{h}_n = \sigma(\tilde{U} E(w_n) + \tilde{W} h_{n-1} + \tilde{b}),$$
$$\bar{h}_n = \sigma(\bar{U} E(w_n) + \bar{W} h_{n+1} + \bar{b}), \quad (8)$$
$$h_n = (\tilde{h}_n; \bar{h}_n).$$

One of the most used types of RNNs are Long Short-Term Memory (LSTM) networks [21] which show good performance in many natural language processing tasks [22–24]. In this work, we use a multilayer bidirectional LSTM.

Figure 3 shows how contextual representations of sequence elements are obtained with CNN and Bi-LSTM networks.

Vector $\varphi(B)$ is a concatenation of feature vectors for the first three elements of the buffer $B$:

$$\varphi(B) = [\varphi(B_1); \varphi(B_2); \varphi(B_3)].$$

To obtain feature vector $\varphi(S)$, the feature vectors for elements of stack $S$ are calculated according to the following formula:
\( \varphi(S_i) = \left( \frac{1}{e(i) - b(i)} \sum_{j = e(i)}^{b(i)} \varphi(B_j); E(\text{type}(i)) \right) \), (9)

where \( b(i), e(i) \) — indices of the first and last words in the \( i \)-th text span;

\( E(\text{type}(i)) \) — embedding of the span type.

Since in the training set the maximum distance between any two fragments joined by a link is 4, the depth of the search for a link can be limited by the first five elements of \( S \). Thus, to get the feature vector for \( S \), we concatenate the first five feature vectors for \( S \) elements:

\[ \varphi(S) = (\varphi(S_1); \ldots; \varphi(S_5)). \] (10)

Features for the history of the taken transitions \( H \) at step \( t \) are obtained from the last step of LSTM network that processes the sequence of history element embeddings:

\[ \varphi(H) = \text{LSTM}(E(H_1); \ldots; E(H_t)), \] (11)

Proposed model (1–11) shares feature extraction components that are used for span extraction and span linking tasks. This reduces the total number of model parameters that should be estimated during training and prevents overfitting. Furthermore, this kind of model structure enables multitask learning [25, 26] when using one model to learn several related tasks can speed up learning or improve accuracy. During training, we maximize the likelihood of true transition sequences that are constructed from the training samples by minimizing cross-entropy loss between predicted distribution on possible transitions and true transition:

\[ L(\hat{y}, y) = \sum_t \left( - \sum_{i \in t} \log(P(\hat{y}_t | C_i)_i(y_i)_i) \right) \] (12)

Model parameters \( \theta \) include the parameters of the classifier, context representation network (BiLSTM or CNN), history representation LSTM and vector representation matrices for \( E(H) \) and \( E(\text{type}(i)) \). To optimize the parameters set, any gradient optimization algorithm can be used. The final neural network architecture is shown in Figure 4. 

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Figure 4. Neural network architecture
3. Experimental study of the model and analysis of the results

Validation of the neural network model was conducted on the annotated user request corpus in Russian that was obtained from the Google Play application store and consists of nine categories of mobile applications: “Auto and vehicles,” “Maps and navigation,” “Medicine,” “Music and audio,” “Personalization,” “Finance,” “Shopping,” “Education,” “Video players.” Five applications were selected in each category and 20 requests were randomly selected per application. Each request was split into sentences.

Annotation of the corpus was carried out by the authors for 3 weeks, after which the annotated corpus was given to a third-party specialist for audit. Then the corpus was corrected based on the feedback received. Quantitative statistics on the collected corpus are given in Table 2.

| Table 2. Quantitative statistics on the collected corpus from the Google Play Store |
|---------------------------------------------------------------|
| **Total request count** | 900 |
| **The average number of words in a query** | 52.3 |
| **Number of IEs** | 2391 |
| **The average number of IEs per request** | 2.65 |
| **Number of objects** | 2273 |
| **Number of positive features** | 999 |
| **Number of negative features** | 851 |
| **Number of feature requests** | 200 |
| **Number of bugs** | 677 |

During the experiments, two versions of the proposed model were tested, using a convolutional neural network (6) and a bi-directional LSTM (8) as a mechanism for obtaining contextual representations, which will be called Trans-CNN and Trans-LSTM. The following hyper-parameter values were set for Trans-CNN: convolution window size – 3, number of convolutional layers – 3, number of filters – 150. In the case of Trans-LSTM: the number of BiLSTM layers is 2, the size of the hidden state BiLSTM layer is 200. Other model hyper-parameters: the size of the hidden layer LSTM is 30, the representation \( E(H_t) \) is 30, \( E(\text{class}(i)) \) is 30.

We use a pretrained fastText [27] Russian model of size 300 for obtaining vector representations of words. FastText was chosen for its ability to handle typos in words and strong performance on different tasks with morphologically rich languages such as Russian. Optimization of model parameters is carried out by Adam with a learning rate of \( 10^{-3} \). To prevent overfitting, we employed various regularization techniques: 20% dropout, \( L_2 \) regularization \( 1.2 \cdot 10^{-4} \). As baseline, a hybrid CNN-RNN model from [28] was used.

To evaluate the quality of IEs extraction, the \( k \)-fold cross-validation procedure was used. During cross-validation, the sample is divided into \( k \) disjoint equally sized parts called folds, then \( k \) iterations when model training on \( k-1 \) folds and testing on the fold that was not used during training are performed. Each fold of the divided sample is used at test time only ones. The set of \( k \) quality estimates is then averaged. In our case, the folds were formed from requests from the same application category. Thus, for training and verification, 9 parts were formed. As a result, this method gives a “pessimistic” estimation of model quality: during training the model does not have access to category specific lexicon, which increases the requirements for the generalization ability of the model.
The results of comparing two versions of the transition-based model and the baseline are shown in Table 3. F-measure for spans was calculated by averaging F-measures for each of the five span classes. Trans-LSTM has the best accuracy both in IF span extraction with 67% F1 and span linking with 64.8% F1. The improvement in extraction quality relative to the baseline model is 2.9% for span extraction and 36.2% for span linking.

A detailed comparison of Trans-LSTM and baseline model accuracies for each fold is shown in Table 4, where the first number is an F1 score of Trans-LSTM and the second number in brackets is an F1 score of baseline, best F1 shown in bold.

Testing results suggest that the model showed good results on the IEs extracting task and can be used to solve practical problems.

Table 3.

| Model             | Spans F1 | Links F1 |
|-------------------|----------|----------|
| Baseline          | 0.651    | 0.476    |
| Trans-CNN         | 0.641    | 0.598    |
| Trans-LSTM        | 0.670    | 0.648    |

Table 4.

| Category             | Object | Positive | Negative | Bug       | Feat. Request | Link       |
|----------------------|--------|----------|----------|-----------|---------------|------------|
| Auto and vehicles    | 0.747  | 0.724    | 0.548    | 0.646     | 0.691         | 0.676      |
| Personalization      | 0.721  | 0.685    | 0.602    | 0.598     | 0.602         | 0.633      |
| Music and audio      | 0.748  | 0.649    | 0.624    | 0.630     | 0.632         | 0.603      |
| Maps and navigation  | 0.656  | 0.628    | 0.559    | 0.711     | 0.694         | 0.631      |
| Medicine             | 0.707  | 0.713    | 0.713    | 0.691     | 0.673         | 0.659      |
| Finance              | 0.708  | 0.659    | 0.615    | 0.641     | 0.622         | 0.682      |
| Shopping             | 0.745  | 0.788    | 0.697    | 0.576     | 0.841         | 0.689      |
| Education            | 0.746  | 0.695    | 0.586    | 0.757     | 0.812         | 0.686      |
| Video players        | 0.677  | 0.707    | 0.534    | 0.608     | 0.529         | 0.574      |
| Avg.                 | 0.717  | 0.694    | 0.609    | 0.651     | 0.677         | 0.648      |
Conclusion

The results of the experiments suggest that the proposed model shows better results when processing user requests in comparison with the baseline. The improvement with respect to the baseline is 2.9% for span extraction and 36.2% for span linking. A qualitative analysis of the results indicates that the proposed model is suitable for processing user requests during operations and maintenance of software products.

Information extracted from user requests with respect to the proposed classifier can be used by:

- a software development department to detect and fix critical bugs revealed by user complaints and suggestions analysis, to modify functional and non-functional requirements, to improve GUI and user guides;
- a marketing department for monitoring negative and positive opinions about both own and competitors’ products and for modifying marketing strategy based on this data.

Acknowledgments

This study was conducted under government order of the Ministry of Science and Education of Russia, project “Methodological and instrumental support for decision making in the tasks of managing socio-economic systems and processes in a heterogeneous information environment.”

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