Classical and non-classical MHC I molecule manipulation by human cytomegalovirus: so many targets—but how many arrows in the quiver?

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Major mechanisms for the recognition of pathogens by immune cells have evolved to employ classical and non-classical major histocompatibility complex class I (MHC I) molecules. Classical MHC I molecules present antigenic peptide ligands on infected cells to CD8$^{+}$ T cells, whereas a key function for non-classical MHC I molecules is to mediate inhibitory or activating stimuli in natural killer (NK) cells. The structural diversity of MHC I puts immense pressure on persisting viruses, including cytomegaloviruses. The very large coding capacity of the human cytomegalovirus allows it to express a whole arsenal of immunoevasive factors assigned to individual MHC class I targets. This review summarizes achievements from more than two decades of intense research on how human cytomegalovirus manipulates MHC I molecules and escapes elimination by the immune system.

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Major histocompatibility complex (MHC) class I molecules represent a basic molecular framework that mediates the activation and function of cytotoxic effector cells of the adaptive and innate branches of the immune system, such as CD8$^{+}$ T cells and natural killer (NK) cells. MHC I molecules are cell surface resident type I transmembrane glycoproteins that possess a common molecular architecture with an $\alpha_1$ and an $\alpha_2$ domain (Figure 1). Most also contain an $\alpha_3$ domain and are able to dimerize with soluble $\beta_2$-microglobulin ($\beta_2$m) (Figure 1). The need to load a peptide of 8 to 10 amino acids (aa) in length between the $\alpha_1$ and $\alpha_2$ domains in order to stabilize the protein conformation is a hallmark of polymorphic (‘classical’) MHC I molecules (Table 1). The eminently developed polymorphic nature of the classical MHC I molecules is reflected by a very high number of HLA-A, -B and -C alleles. Their strict peptide dependency has allowed the CD8$^{+}$ T-cell arm of the adaptive immune system to develop, providing long-lasting antigen-specific immunological memory to the host.

CD8$^{+}$ T cells express T-cell receptors (TCRs) that are activated in a highly sensitive manner through low affinity binding to the peptide bound to MHC class I molecules. In most cell types, MHC I molecules gain their peptide ligands from endogenous polypeptide degradation products, thereby sampling the cellular protein content for CD8$^{+}$ T cells. The T cell is activated upon the recognition of a foreign peptide on a MHC I complex, leading to elimination of the target cell. Many viruses have evolved mechanisms to escape this recognition process by downregulating the peptide presenting MHC class I molecules from the cell surface. Because of this, the recognition algorithm needs to be complemented by an independent surveillance system responding to the absence of MHC I molecules (‘missing self’). To this end, the human immune system has produced particular killer cell immunoglobulin-like receptors (KIRs) and leukocyte Ig-like receptors (LIRs) expressed by NK cells (and by other cell types that are discussed later). Both receptors bind to MHC I molecules and inhibit NK cell activation. Consequently, if expression of MHC I is impaired, for example by viruses, the inhibitory signal is reduced, facilitating the activation of

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conserved determinants of MHC I confer a more stringent allele specificity for KIRs than for LIR receptors. According, the contact sites of the TCR on both the α1 and α2 domains of MHC I and especially on the loaded peptide render the TCR interaction with MHC I the most selective.10

In addition to receptors recognizing classical MHC I, NK cells express a large arsenal of prominent inhibitory and activating receptors (e.g., NKG2A, NKG2C, NKG2D; Table 1), that are capable of interacting with a broad spectrum of different ligands. Many of these are non-classical MHC I molecules, such as MHC class I chain-related (MIC) A and MICB and the UL16-binding proteins (ULBP), all of which are induced in target cells by stress signals.11 These non-classical MHC I molecules are common targets for viral immunoevasins that both downregulate activating ligands and induce inhibitory signals in NK cells. This review summarizes our current knowledge of the intertwined and sophisticated mechanisms by which human cytomegalovirus (HCMV) targets classical and non-classical MHC I molecules to counteract cytotoxic effector cells and, in some cases, to restore immune recognition.

HCMV

HCMV constitutes a prototypical β-herpesvirus within the human pathogenic Herpesviridae family. Herpes viruses are found in all human populations, with high immunoglobulin G (IgG) seroprevalence rates of 50%–98%.12 Despite the expression of a very large antigenic proteome of about 750 translational products13 during the sequentially ordered immediate-early (IE), early (E) and late (L) phases of gene expression, HCMV avoids sterile immunity and persists lifelong in the human host. The virus exists in a latent state, with periodic phases of reactivation, lytic replication and virus shedding. The co-evolution and co-speciation of HCMV with the human host over millions of years has equipped the virus with greatly adapted mechanisms for the evasion and exploitation of human immune functions. Nonetheless, HCMV replication is well controlled by the healthy immune system, causing overt disease only after failure of essential components of antiviral control, such as CD8+ T cells or NK cells. As a consequence, the HCMV disease burden is closely associated with multiple conditions of immunocompromisation and immunological immaturity, with the latter explaining severe disease manifestations observed in congenitally infected infants.12,14 An astounding hallmark of HCMV infections is the prodigious expansion of CD8+ T cells specific for only a few HCMV epitopes. This subpopulation can comprise more than 20% of the CD8+ T-cell memory compartment.15 Likewise, cytomegalovirus exerts a strong imprinting effect on subtypes of NK cells bearing CD94/NKG2C and/or specific KIRs and promotes the expansion of these cells.16,17

ASSEMBLY OF CLASSICAL MHC CLASS I MOLECULES

Classical MHC I molecules consist of the heavy chain comprising the α1-3 ectodomains, a transmembrane segment (TMS) and a cytosolic tail. Assisted by consecutive interactions with the chaperones calnexin and calreticulin, the heavy chain is
folded and dimerizes with β2m in the lumen of the endoplasmic reticulum (ER), thereby forming a peptide receptive complex that can be recruited to the peptide loading complex (PLC). The PLC is a multimolecular complex that assembles around the dimeric peptide transporter TAP (transporter associated with antigen processing), consisting of the subunits TAP1 and TAP2. In addition to the formation of the peptide translocation pore, both TAP subunits possess N-terminal segments in their transmembrane domains that contain binding sites for tapasin, 18–20 important for the stabilization of the TAP dimer and induction of peptide transport.21,22 Furthermore, by binding to MHC I molecules, tapasin places MHC I into close vicinity to TAP1/2. After TAP transport, N-terminally extended MHC I precursor peptides are trimmed by ER aminopeptidases to obtain a length fitting the MHC I peptide binding groove.23 Subsequently, the tapasin-MHC I interaction facilitates efficient loading of peptide ligands, thereby inducing a stable conformation and the ability of MHC I to exit from the ER and be transported to the cell surface. Further chaperones participating in the peptide-loading procedure in the PLC are the lectin-like chaperone calreticulin and the oxidoreductase ERp57. It has been suggested that the unique stable dimer that is formed between ERp57 (Cys57) and tapasin (Cys95) is important for quality control of MHC I peptide loading.24

### HCMV INTERFERENCE WITH CLASSICAL MHC I MOLECULES

Early studies in the 1990s indicated that HCMV targets MHC I molecules and their functions along the antigen presentation pathway in infected cells at numerous points during the maturation process.26–32 The identification of the responsible viral molecules (collectively called ‘immunoevasins’ 33) provided unique tools to elucidate molecular mechanisms, structures and important compartmental checkpoints of the MHC I antigen presentation pathway. Four inhibitors of the HCMV US6 gene family are sufficient for strong downregulation of MHC I peptide loading.24 The first 87 N-terminal amino acids of tapasin are sufficient to facilitate effective peptide loading on recombinant MHC I molecules,25 but the entire mechanistic role of tapasin and ERp57 in the process of MHC I quality control remains to be uncovered.

### Table 1 Classical and non-classical MHC I molecules

| MHC | Immune function | ligand binding between the α1 and α2 domains | TCR binding | NKR binding | β2m-associated genes | Interfering HCMV genes |
|-----|-----------------|---------------------------------------------|-------------|-------------|----------------------|------------------------|
| HLA-A | Yes | Peptide | Yes | LIR, KIR2 | Yes | US2, US3, US6, US11 |
| HLA-B | Yes | Peptide | Yes | LIR, KIR2 | Yes | US6, US2*, US3, US11 |
| HLA-C | Yes | Peptide | Yes | LIR, KIR | Yes | US3, US6 |

Non-classical MHC I molecules

| HLA-E | Yes | Peptide | Yes | CD94/NKG2A/C, LIR | Yes | US6, UL40 |
| HLA-G | Yes | Peptide | Yes | LIR, KIR2/D4165 | Yes | US10, US2 |
| HLA-F | Yes* | No | LIR | Yes |
| CD1 | Yes | Lipids | Yes | (γδ lineage)167 | NKG2D | Yes |
| MICA | Yes | No | Yes | (γδ lineage)167 | NKG2D | No |
| MICB | Yes | No | Yes | (γδ lineage)167 | NKG2D | No |
| ULBPs | Yes | No | No | ULBP4 (γδ lineage)168 | NKG2D | No |
| FeRn169 | Yes | No | No | Yes |
| HFE170 | No | No | No | Yes |
| MR1171 | Yes | Vitamin B metabolites | Yes (MAIT cells) | Yes |
| EPCR172 | Yes | Phospholipid | Yes (γδ lineage)173 | No |
| ZAG174 | No | Fatty acids | No |

HCMV-encoded MHC I-like molecules

| UL18 | Yes | Peptide | No | LIR1 | Yes |
| UL142 | Yes |
| UL37 | Yes |

Abbreviations: HCMV, human cytomegalovirus; LIR, leukocyte Ig-like receptor; MHC, major histocompatibility complex; MICA, MHC class I chain-related A; NK, natural killer; TCR, T-cell receptor; ULBP, UL16-binding protein; MAIT cells, mucosal associated invariant T cells.

* Allele-dependent.

* Function not well defined.

* Not clearly defined.

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include US2, US3, US6 and US11 (Table 1). Whereas US2 and US11 are expressed as early proteins that target MHC I molecules for proteasomal degradation, US3 represents an immediate early protein that retains MHC I in the ER (Figure 2).\textsuperscript{34–37} US6 is synthesized during early and late infection and inhibits peptide loading by blocking the peptide transporter TAP (Figure 2).\textsuperscript{32,38–40} All US6 gene family members are type I transmembrane proteins with a non-conserved N-terminal stretch in front of an Ig-like domain formed by conserved cysteines,\textsuperscript{41} a TMS and a cytosolic tail. Thus, the molecules share several homologies, with basic structural arrangements most likely preserved and a conserved membrane topology.\textsuperscript{41} However, the not very well-conserved primary sequences reflect considerable functional diversity and different protein interactions.

**US2**

Of the four mentioned inhibitors, only the structure of the US2 protein in complex with HLA-A\textsuperscript{*}02:01 has been resolved\textsuperscript{41} to date. A soluble US2 mutant corresponding to aa 15–140 of its luminal domain was sufficient to form a stable complex with the MHC I molecule.\textsuperscript{42} In this crystal structure, the N-terminal residues 15–42 of US2 were not resolved, indicating that these residues are flexible or unstructured. This part of US2 has also been shown to be dispensable for US2 function.\textsuperscript{43} Interestingly, the same soluble mutant of US2 did not form a complex with the HLA-B alleles B*27 and B*07,\textsuperscript{42} even though HLA-B*27 is targeted for degradation by wild type US2.\textsuperscript{44} This implies that US2 is able to contact MHC I molecules in different manners. Indeed, whereas the HLA-A*02:01 residues contacted by US2 in the regions 105–107 and 264–267 are more conserved between MHC I alleles, a third region comprising aa 176–183 is polymorphic, suggesting that US2 must be able to overcome differences in this region of MHC I by displaying flexible binding modes dependent on the target MHC I allele.\textsuperscript{41} These sequence differences possibly render the interaction between US2 and MHC I more stable in the case of HLA-A2 compared with HLA-B27; however, this does not exclude that different modes of interaction will meet the same final fate of MHC I degradation.

The residues contacted by US2 are located in a region between the C-terminus of the a2 helix and the a3 domain and are therefore distant from PLC contact sites on MHC I.\textsuperscript{45}

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**Figure 2** Inhibition of classical MHC I molecules during IE, E and E/L phases of HCMV replication. Under IE conditions of HCMV infection only US3 (blue) is expressed. By forming oligomers, US3 binds to MHC I, leading to MHC I retention in the ER. Additionally, US3 binds to TAP1 and tapasin and blocks interaction of PLC components, thereby conceivably inhibiting proper peptide loading of MHC I molecules. In the E phase, US2 (red) and US11 (yellow) are expressed and direct MHC I molecules to ERAD pathways for proteasomal degradation in the cytosol. US2 and US11 utilize, SPP/TRC8 and Derlin-1/TMEM129 ERAD pathways, respectively. Circumstantial evidence suggests the action of an additional not yet identified factor (light blue) interfering with MHC I recruitment to the PLC. In conjunction with US6 (green), the factors continue to be expressed during the late (L) phase of infection. US6 forms oligomers and blocks TAP-dependent peptide translocation into the ER. ER, endoplasmic reticulum; ERAD, ER-associated degradation; HCMV, human cytomegalovirus; MHC, major histocompatibility complex; PLC, peptide loading complex.
Hence, binding site competition is avoided and allows US2 to attack MHC I molecules that are already associated with the PLC.

Several studies found that HLA-B*07 escapes US2-mediated degradation.\textsuperscript{44,46,47} Based on HLA-B*07 resistance towards US2, MHC I allele sequence analysis predicted that US2 resistance should also be conveyed by HLA-B*08, B*40, B*41, B*42 and B*48.\textsuperscript{44} Interestingly, in cells infected with an HCMV deletion mutant expressing only US2 and not US3, US6 or US11, HLA-B*07 was efficiently downregulated, but HLA-B*08 was not,\textsuperscript{48} which is in clear contrast to the abovementioned studies. This discrepancy could indicate that US2 is functionally different in the context of HCMV infection when compared with selective US2 expression systems.

Whereas the ectodomain of US2 is responsible for the initial recognition of target MHC I molecules, its TMS and cytosolic tail are necessary to complete subsequent steps of the interaction, such as forwarding MHC I for proteasomal degradation.\textsuperscript{49} Analysis of a lysine-free HLA-A*0201 allele revealed that the ubiquitination of the target MHC I molecule is a prerequisite for US2-mediated dislocation and degradation in the cytosol.\textsuperscript{50} The E3 ligase utilized by US2 was identified to be TRC8 (translocation in renal carcinoma, chromosome 8 gene), a multimembrane-spanning, ER-resident RING-type E3-ligase.\textsuperscript{30} The E3 ligase utilized by US2 was identified to be TRC8 (translocation in renal carcinoma, chromosome 8 gene), a multimembrane-spanning, ER-resident RING-type E3-ligase.

US11

In a landmark study by the Ploegh laboratory, US11 was the first HCMV protein described to exploit an ER-associated degradation (ERAD) pathway in order to block MHC I antigen presentation (Figure 2).\textsuperscript{58} What at first glance seems to be a redundant function with the concomitantly expressed US2 inhibitor during the extended E phase of the HCMV replication cycle turned out to be a complementing and elaborate immunoevasive strategy.

As observed with US2, US11 is able to recognize both free and β2m-associated MHC I heavy chains.\textsuperscript{46} However, US11-mediated MHC I degradation utilizes a different route than US2. Instead of taking advantage of SPP and TRC8, US11 directs MHC I to ERAD degradation through a Derlin-1-dependent pathway.\textsuperscript{59,60} Deploying its ectodomain, US11 interacts with MHC I molecules\textsuperscript{61,62} and recruits the intramembrane pseudoprotease Derlin-1 via a single amino acid in the TMS (Q192).\textsuperscript{59,60,62} The transfer of MHC I to Derlin-1 is dependent on the length of the MHC I cytosolic tail.\textsuperscript{63} Specific hydrophobic residues at the terminus of the cytosolic tail lead to efficient recruitment of the dislocation complex,\textsuperscript{64} which consists of VIMP,\textsuperscript{60} the AAA ATPase p97,\textsuperscript{65} and SEL1L.\textsuperscript{66} Upon ubiquitination of the MHC I molecule, it becomes rapidly dislocated and degraded. In two recent independent studies, the dislocation complex was resolved in even greater detail; TMEM129, a previously uncharacterized protein, was shown to be the E3 ligase utilized by US11.\textsuperscript{67,68} In cells deficient in TMEM129 expression, the US11-dependent degradation of MHC I was lost. Both studies demonstrated that an interaction between TMEM129 and Derlin-1 also occurs in the absence of US11 and in conjunction with the increased unfolded protein response occurring in TMEM129-deficient cells, this implies that TMEM129 is a true and essential component of ERAD. Furthermore, it was shown that both the previously described E2 ligase UBE2K (E2-25K)\textsuperscript{67,69} and a newly identified UBE2J2 are required for US11-mediated degradation of MHC I.\textsuperscript{67,68} Therefore, in a typical E2 enzyme reaction pattern, UBE2J2 possibly confers the initiation of MHC I monoubiquitination, whereas UBE2K promotes the elongation of the ubiquitin chain.

Also US11 exhibits MHC I allele-specific effects. Whereas it is well documented that HLA-A2 is rapidly degraded in the presence of US11\textsuperscript{70} and HLA-C is resistant to US11,\textsuperscript{71} the effect of US11 on HLA-B alleles is not yet clear. In addition to the cytosolic tail of MHC I,\textsuperscript{65,70} degradation efficiency depends on luminal interactions between US11 and MHC I.\textsuperscript{65} Data based on the analysis of swap mutants of the α1 and α2 domains of HLA-A2 and HLA-G suggested that US11 recognizes specific determinants of these domains.\textsuperscript{63} On the contrary, antigen presentation by the US11-sensitive allele HLA-A*02:01 became resistant to US11 when a chimera of HLA-A*02:01 and HLA-C*07:02 consisting of the α1 and α2 domains from HLA- *02:01 was applied. In contrast, a chimera with α1 and α2 domains corresponding to HLA-C*07:02 but with a C-terminal HLA-A*02:01 sequence was sensitive towards US11.\textsuperscript{72}
However, neither essential residues on MHC I nor on US11 have been defined that are important for the US11-MHC I interaction. Most likely, US11 recognizes multiple structural determinants in a hierarchical or ‘proofreading’ manner.

It should be noted that the TMS of US11 is highly potent in directing interaction partners for degradation. Sole expression of the US11 TMS in the context of HLA-A2 and the vesicular stomatitis virus glycoprotein forced interactions with Derlin-1 and rendered them highly unstable and sensitive to proteasomal degradation.68 Clearly, US11 must in itself possess mechanisms to resist Derlin-1-dependent degradation. Indeed, a very recent study showed that swapping the HLA-A2 cytosolic tail for the US11 molecule renders US11 highly sensitive to degradation.68

US3

The impact of US3 on MHC I is fully different from US2 and US11. Expressed as an immediate early protein for only a few hours after infection,27 US3 retains MHC I molecules for an extensive period of time in the ER (Figure 2). However, the final fate of these molecules has not been determined. Several studies suggest that even if delayed, a large portion of the MHC I molecules will finally reach the cell surface.36,74,75 In contrast to US11, US3 does not trigger NK cell activation by MHC I downregulation.75 Whether the surface expressed MHC I molecules have ‘slipped’ out of US3 control or whether this is a deliberate intention of the US3-mediated inhibition strategy is not clear. Possibly only certain MHC I alleles are retained, while others escape the US3 effect.36 US3 was shown to bind to tapasin and TAP and to reduce the level of interaction between the PLC components.76 This interaction could result in reduced MHC I quality control. Whereas tapasin-independent MHC I alleles were not affected by this US3 function, the maturation and surface expression of tapasin-dependent MHC I were hindered.76 It could be envisaged that MHC I molecules reaching the cell surface do not possess optimal antigen presentation potential, but rather present HCMV-controlled decoy molecules. Moreover, in HCMV infected cells US3 possibly works in concert with other immunoevasins, which could change its net effect on MHC I provided that the immunoevasion mechanisms to resist Derlin-1-dependent degradation.36,74 Therefore, newly synthesized US3 molecules gains Endo H resistance and is transported to lysosomes, where it is degraded.36,74 Notably, two forms of US3 have been observed. The longer variant is approximately 22 kDa and exerts the inhibitory effect on MHC I. The shorter form of US3 is approximately 18 kDa and lacks a TMS.36 It was shown that the expression of the shorter form in HeLa cells releases MHC I from the inhibitory effect by the longer US3 form, suggesting that the shorter US3 variant is able to regulate the magnitude of MHC I control.81

US6

US6, expressed with early/late kinetics during the HCMV replication cycle, does not target MHC I directly, but blocks peptide transport by the peptide transporter TAP.38-40 Controlling the import of a large majority of peptides into the ER, TAP inhibition leads to efficient downregulation of all peptide dependent classical and non-classical MHC I molecules.82 In contrast, HLA-A*02:01 is exceptionally resistant to TAP inhibition, and peptides produced in a TAP-independent manner can stabilize HLA-A*02:01 and confer cell surface expression of this allele.83 UL40 counteracts US6 by providing its signal peptide for HLA-E and UL18 stabilization84,85 (discussed later).

Like other US6 gene family members, US6 is a glycosylated type I transmembrane protein and blocks TAP by its ectodomain (i.e., the TMS and cytosolic tail of US6 are dispensable for its function).38 Anchoring of US6 to the membrane renders the protein substantially more efficient (unpublished observation). TAP function is dependent on ATP in order to complete a cycle of peptide translocation.86 By binding to TAP, US6 inhibits crosstalk between the transmembrane domains and the cytosolic nucleotide domains of both TAP subunits; binding of ATP to TAP is blocked and peptide translocation cannot proceed.87 By the insertion of a point mutation in the ectodomain of US6 (Cys108Tyr), US6 lost the ability to block mouse and rat TAP dimers.88 This instrumental mutation was utilized to define US6 contact sites on TAP1 and TAP2, thereby experimentally revealing the 10 TMS topology of the TAP1 subunit.89 By forming oligomers, US6 interacts with at least four independent sites formed by the TAP dimer, the significance of which differs with regard to the inactivation of the transporter.89 In accordance with this, US6 was shown to possess a core domain indispensable for TAP inhibition but not for binding (aa 89–108), and also a site proximal to the ER membrane (aa 116–125) that conferred stability to the TAP interaction and enhanced the inhibitory effect.90

Other HCMV regulated functions that might affect classical MHC I molecules in HCMV-infected cells

It is conceivable that in addition to US2, US3, US6 and US11, additional proteins impact MHC I antigen presentation in HCMV-infected cells. In a closely related non-human primate cytomegalovirus (rhesus cytomegalovirus), in addition to the US2 to US11 genes another potent MHC I inhibitor was identified (Rh178) that is not conserved between HCMV and rhesus cytomegalovirus.91

We observed that tapasin biosynthesis is impaired independently of the HCMV US2–US6 genes during the course of
HCMV replication, and that MHC I molecules are inefficiently recruited to the PLC, pointing at interference with the process of MHC I peptide loading. Because these phenotypes cannot be explained by the established inhibitory functions, additional mechanisms by which HCMV modulates the class I pathway of antigen presentation are likely to exist. Given the very large HCMV proteome, extensive collections of HCMV deletion mutants are instrumental for identifying unrecognized interfering factors and pinpointing responsible HCMV genes.

Observations made in overexpression systems of single proteins suggest further mechanisms of interference with MHC I antigen presentation. The US6 family member US10 was shown to co-precipitate with classical MHC I and to delay the egress of MHC I from the ER in transfected cells. However, it is not clear if there is a preference for a specific allele and whether the interaction with US10 could impact the MHC I molecule. US6 family member US8 was found to bind to MHC I heavy chains in the ER, but no effect on MHC I maturation rate or surface disposition was observed.

The HCMV encoded MHC I homolog UL18 was proposed to interact with TAP, uncoupling the US6-mediated block upon the overexpression of the viral proteins by recombinant vaccinia viruses. In this way, UL18 could gain access to peptide ligands and simultaneously interrupt MHC I interaction with the PLC. It was suggested that quality control of MHC I peptide loading is reduced in this manner.

In HCMV-infected fibroblasts, alternative splicing of the tapasin transcript resulted in an induced level of a tapasin variant lacking exon 3. The tapasin variant had lost the ability to interact directly with MHC I and ERp57, but stabilized TAP expression. Although protein synthesis of the shorter splice variant has not yet been verified in fibroblasts, the finding exemplifies that alternative splicing induced by HCMV is an additional potential mechanism by which cellular functions could be manipulated.

The HCMV tegument protein pp71 (UL82) was reported to delay MHC I maturation. However, to date, no independent studies were able to confirm this effect on MHC I (Ref. 98 and our observations). Further studies are also required to shed light on the expression and function of miR-US4-1. The expression of the sequence used to demonstrate that miR-US4-1 downregulates the trimming aminopeptidase ERAP1 was not verified during infection with the HCMV strain Towne, which shares genomic sequences with the AD169 and Merlin strains in this region.

**HCMV INTERFERENCE WITH NON-CLASSICAL MHC I MOLECULES**

HLA-E and HLA-G are non-classical but peptide-dependent MHC I molecules encoded in the MHC I locus with low levels of heterogeneity compared to classical MHC I molecules. Whereas HLA-E is IFN-γ-inducible, HLA-G with its polymorphic promoter has lost the ability to respond directly to NF-kB and IFN-γ. Instead, HLA-G expression can be induced by interferon-inducible transcription factors, such as IRF-1, and other stimuli.

HLA-E is highly stringent regarding its peptide ligands, with leader sequences from certain HLA-A, -B, -C and -G alleles being its natural ligands. Under stress conditions, a leader sequence derived from the 60 kDa heat-shock protein can also be loaded. Moreover, in TAP-deficient cells where MHC I leader sequences are lost, HLA-A2-like peptide ligands were found loaded onto HLA-E. Peptide bound HLA-E is expressed at low levels on the cell surface, where it serves as a dominant inhibitory ligand for the dimeric CD94/NKG2A (and NKG2B) receptor on NK cells. Whereas the CD94 chain is invariant, NKG2 is variable and the variants C, E and H forward activating signals after engagement with HLA-E. Thus, it is not surprising that isolated expression of US2 and US11 does not affect HLA-E, while TAP inactivation by US6 clearly impairs HLA-E densities on the plasma membrane.

HCMV has taken advantage of the very restricted specificity of HLA-E ligands and copied a stretch of amino acids in the UL40 signal peptide that is identical to the HLA-C leader sequence VMAPRTLIL (Figure 3). However, in contrast to MHC I leader sequences, loading of the UL40 peptide onto HLA-E is TAP-independent. Although the mechanism by which HCMV conducts the loading is not completely clear, recent studies demonstrated that the positioning of the ligand sequence between two hydrophobic regions at the C-terminus of the long UL40 signal peptide is an important feature for TAP independent loading. This assures a peptide supply for HLA-E even in the presence of the simultaneously expressed TAP inhibitor US6. Several studies have shown that the expression of UL40 and stabilization of HLA-E exerts a repressive effect on NK cells and that IFN-γ-induced HLA-E expression increases the inhibitory effect on NK cells through UL40.

Interestingly, HLA-E reactive NKT (NK-CTL) cells were found in HCMV-positive individuals who do not have HLA alleles that contain the VMAPRTLIL sequence. Consequently, tolerance towards the VMAPRTLIL-HLA-E complexes is not present and HLA-E presentation of the UL40-derived peptide was shown to induce CTL reactivity. Sequencing of a number of HCMV clinical strains revealed that even though VMAPRTLIL represents the most common sequence, variable sequences also exist within the UL40 signal peptide. A detailed analysis of the propensities of such UL40 variations showed that they have different impacts on the affinity for the inhibitory NKG2A and activating NKG2C receptors when loaded onto HLA-E. Some even abolish the ability to stabilize HLA-E molecules. It is conceivable that variations in the UL40 sequence become selected when the virus is confronted with HLA-E reactive NK-CTL cells.

A significant expansion of CD94/NKG2C-positive NK cells was consistently observed in HCMV-positive subjects. Cocultivation of PBMCs with HCMV-infected fibroblasts reproduced the expansion of this NK cell subset in vitro. It is not clear whether HLA-E expression on HCMV-infected cells is required for the expansion of this NK cell subpopulation. Cocultivation of PBMCs using a UL40 deletion mutant of...
HCMV did not have an effect on CD94/NKG2C cell expansion, suggesting that a mechanism other than the upregulation of HLA-E is required. Moreover, when a HCMV mutant was applied that lacked the gene region US2-US11 encompassing the established MHC I inhibitors, the expansion of CD94/NKG2C cells was blocked. This finding implies that MHC I expression prohibits the expansion of these NK cells, possibly by engaging KIR receptors. However, it has still not been excluded that an NK cell ligand stimulating the expansion of CD94/NKG2C NK cells is encoded in this region.

Intriguingly, a recent study on miRNA regulation of HCMV-infected cells adds a twist to the sophisticated viral HLA-E control. HCMV infection induces the expression of the RNA editing protein ADAR p110, which leads to increased levels of the edited form of miR-376a. The edited miRNA specifically targets HLA-E transcripts and reduces HLA-E biosynthesis, resulting in induced NK cell recognition of infected cells. The effect on NK cells was dependent on UL40-induced HLA-E expression, meaning that HCMV stabilizes HLA-E expression by UL40 and simultaneously reduces the translation of HLA-E by the upregulation of ADAR p110 and edited miR-376a. The expression kinetics of UL40 and ADAR p110 significantly overlap (E), highlighting the delicate balance of both effects.

**HLA-G**

HLA-G expression was first thought to be restricted to fetal trophoblasts regulating NK cells at the fetal-maternal interface during pregnancy. Compelling data linking HLA-G to immune inhibition and tolerance has given HLA-G additional attention (reviewed in Ref. 119). In its homodimeric form, HLA-G binds to KIR2DL4, LIR-1 and LIR-2 with a higher affinity than classical MHC I molecules, and therefore functions as a potent inhibitory ligand. Because LIR-1 and LIR-2 are expressed not only on subsets of NK cells, but also on monocytes and macrophages, and, in addition, LIR-1 is expressed on B cells and some CD4+ and CD8+ T-cell subsets, HLA-G is now considered to function as an important immunomodulatory molecule. Indeed, the expression of HLA-G on myeloid cells can be regulated by cytokines, such as IL-10 and interferons.

Seven differently spliced transcripts from the HLA-G gene have been described. This reorganization of exons results in different combinations of the α1-3 domains with and without a TMS and dimerization with β2m. The HLA-G1 transcript...
corresponds to the full length type I transmembrane protein and is the most abundant transcript, but HLA-G5, which encodes for a shorter soluble form of HLA-G1 lacking a TMS but still capable of dimer formation with β2m, is expressed by myeloid cells.

It has been reported that HLA-G is targeted by US2 resulting in its degradation \(^{44}\) (Figure 3). Furthermore, it is interesting that while US10 interacts with classical MHC I and reduces their maturation rate, \(^{93}\) HLA-G is destabilized by US10 in a proteasome-dependent manner. \(^{123}\) A tri-leucine motif in the cytoplasmic tail of US10 was found to be crucial for HLA-G degradation. The C-terminal tail of HLA-G was important for this function, while the tail of HLA-A2 blocked US10-mediated degradation. \(^{123}\) This suggests that US10 can only target transcript variants of HLA-G with a cytosolic tail if the direct contact via the ectodomains remains intact. For understanding of the respective role that US2- and US10-mediated HLA-G degradation plays in HCMV immune control requires further investigation in the future.

**NKG2D ligands: MICA, MICB and ULBP1–6**

The NKG2D ligands are broadly expressed stress-induced molecules that trigger the activating CD94/NKG2D receptor, which is expressed on most NK cells and a substantial subpopulation of CD8\(^+\) T cells. MICA and MICB are type I transmembrane proteins with preserved α1-3 domains that do not form dimers with β2m. MICA and MICB are encoded in the MHC locus and are highly polymorphic, with 79 and 26 different proteins currently reported, respectively (according to the IMGT/HLA database June 2014).

The ULBP family of ligands is distinct in that they lack an α3 domain and TMS. Instead, they are attached to the membrane via a glycosyl-phosphatidylinositol anchor (except for ULBP4, which is a predicted type I transmembrane protein). MICA, MICB and the ULBPs do not require a peptide ligand for conformational stabilization. The expression of the NKG2D ligands is non-constitutive and still ill-defined, but by possibly diverse stress signals, their expression can be strongly induced in a wide variety of cells. HCMV infection efficiently induces the expression of NKG2D ligands with the exception of ULBP4. \(^{125}\) The HCMV pp86 IE2 protein was found to induce more potent upregulation of MICA and MICB, whereas IE1 induced the expression of ULBP2, \(^{126}\) emphasizing the different pathways of induction for these ligands.

UL16 was the first HCMV encoded protein that was found to interfere with the expression of the NKG2D ligands (Figure 3). UL16 binds and inhibits cell surface expression of MICB and ULBP1–2 and 6. \(^{127–130}\) This interaction leads to retention and accumulation of Endoglycosidase H-sensitive forms of MICB and is dependent on the cytoplasmic tail of UL16. \(^{129}\) The expression of a UL16 mutant lacking a tyrosine-based motif in the cytoplasmic tail was not able to inhibit surface expression of MICB. \(^{131}\) Domain swapping experiments revealed that the α2 domain of MICB was sufficient for UL16 to target MICA/B chimeras. \(^{132}\) Indeed, the crystal structure of a UL16–MICB complex revealed that several residues in the α2 domain are contacted by UL16. \(^{133}\) Interestingly, despite lacking sequence homologies, by convergent evolution, UL16 has adapted an interaction mode with MICB highly similar to the one used by NKG2D. \(^{133}\)

In the center of the complex, UL16 contacts the α3-helix of MICB and forms a saddle and horseback-like structure. Based on the structure, it was suggested that exchange of glutamine 169 to arginine (as in MICA and ULBP3) may prevent binding by UL16 and therefore, could explain the specificity of UL16 for MICB and ULBP1–2 and 6. This is also in agreement with mutational analysis of UL16 binding to MICB. \(^{132}\)

The selective pressure by UL16 possibly led to the emergence of NKG2D ligand variants. It is conceivable to assume that HCMV-encoded inhibitors have resulted in the diversification of MICA, MICB and ULBPs. One example is the MICA allele MICA*008, which has gained a premature stop codon, resulting in loss of the TMS. MICA*008 is anchored to the membrane by glycosyl-phosphatidylinositol. This mutation rendered the allele MICA*008 resistant to UL142-mediated inhibition of type I transmembrane MICA proteins. \(^{134}\)

UL142 is a MHC I-like protein that inhibits the expression of MICA and ULBP3. \(^{135,136}\) Similar to UL16, UL142-targeted proteins are not degraded but retained in an intracellular compartment, in this case, in the ER and cis-Golgi (Figure 3). \(^{135,136}\) It was shown that the TMS of UL142 is decisive for ER retention and that both the luminal domain and the TMS are involved in the retention of MICA. \(^{135}\)

Recently, new HCMV-encoded inhibitors of MICA have been identified; US18 and US20 belong to the still little characterized US12 gene family encoding seven-transmembrane domain proteins (Figure 3). US18 and US20 redirect MICA to lysosomal compartments for proteolysis, while they exert no effect on MICB and ULBP2. \(^{126}\)

Ligands of NKG2D are not only targeted by HCMV proteins. MICB expression is selectively blocked by one of the HCMV-encoded miRNAs (miR-UL112). \(^{137}\) This additional level of control highlights the arms race between NKG2D ligands of the host and HCMV countermeasures. The multitude of variable inhibitory factors (including UL16, a type I transmembrane protein; UL142, a MHC I-like protein; US18 and US20, seven-transmembrane domain proteins; and miR-UL112, a miRNA) reflects the dominant selective pressure exerted by a single receptor (i.e., CD94/NKG2D) in controlling HCMV replication.

**UL18**

Due to its sequence homology with MHC I proteins, the UL18 molecule gained immediate attention and was the first immunoevasin identified in HCMV. \(^{26,138}\) Because of its ability to form a tight complex with β2m, it was surmised to be an inhibitor of CD8\(^+\) T-cell recognition by sequestering β2m and thus impairing MHC I formation. \(^{26}\) Although impaired levels of MHC I assembly in HCMV-infected cells were documented, this idea was discarded due to unchanged levels of free β2m and a lack of UL18-dependent effects on MHC I molecules. \(^{139}\) The discovery of peptide binding by UL18 strengthened the idea that UL18 could act as a ligand for NK cells. \(^{140}\)

A screen for UL18 binding partners led to the discovery of LIR-1. \(^{6}\) LIR-1 is an MHC I receptor expressed on various cells,
including monocytes, dendritic cells, B cells and a subset of NK cells and T-cells. LIR-1 has now been shown to bind to a broad range of MHC I molecules. The affinity of LIR-1 for UL18 is 1000-fold stronger than for HLA-A2, and might therefore allow for an efficient interaction despite the low surface expression of UL18.

Although clinical strains exhibit a certain degree of sequence variability in the UL18 gene, all variants are able to bind LIR-1, supporting the idea that this interaction is critical for UL18 function. Additional MHC-like molecules have been proposed to be encoded by the genes UL142 and UL37, respectively. Crystal structures for these proteins are not yet available and no function has been assigned for UL37. To date, of the determined viral MHC I-like structures, UL18 is the only molecule that binds peptides. Although the UL18 ectodomain shares only approximately 25% homology with classical MHC I molecules, it folds with high similarity to HLA-A2 (Figure 4a and b). It is fascinating that whereas human MHC I molecules carry only one N-linked glycosylation site, UL18 has gained 13 potential glycosylation sites (sites are depicted in pink in Figure 4b and c), a majority of which are used. These glycans are not important for UL18 binding to LIR-1, but might block possible interactions with other MHC I binding proteins, such as the TCR, CD8 and KIRs, thereby prohibiting the initiation of undesired immune reactions. The glycans might also be a reason why UL18 fully escapes the viral inhibitors US2, US3 and US11, while preserving the accessibility for its true receptor, the inhibitory LIR-1.

LIR-1 is a type I transmembrane protein consisting of four Ig-like domains (D1–4). The cytosolic tail harbors four ITIM repeats and therefore classifies LIR-1 as an inhibitory receptor. Nevertheless, the verification of the anticipated role of UL18 in the inhibition of NK cell activation through engagement with the LIR-1 receptor was slow in coming due to contradictory observations. Whereas Reyburn et al. reported NK cell inhibition after the ectopic expression of UL18, this was not observed by Leong et al. In the latter work, both the usage of an HCMV UL18 deletion mutant and ectopic UL18 expression indicated that the presence of UL18 induced NK cell responses. One attempt to solve this controversy was made by Prod’homme et al., who found segregating effects, including the activation of LIR-1+ NK cells and inhibition of LIR-1+ NK cells. The activation of LIR-1+ NK cells could be a consequence of weak UL18 binding to CD94/NKG2C.

Because LIR-1 is only expressed by a subset of NK cells, it is unclear whether NK cells are the main target population or whether additional cell types are also influenced by UL18. Indeed, a UL18 fusion protein impacted dendritic cell maturation, motility and cytokine production. It should be noted that whereas a UL18 fusion protein lowered IFN-γ production by PBMC after coculture with HCMV-infected cells, UL18 expressed in the context of HCMV infection induced IFN-γ production, emphasizing the delicate balance of receptor triggering and the resulting immunological responses. This indeed calls for precaution in evaluating various expression systems. Along this line, the overexpression of UL18 by adenoviral or vaccinia virus vectors has proven to more efficiently display UL18 on the cell surface than expression in the context of HCMV infection. Nonetheless, UL18 is detected on the surface of HCMV-infected fibroblasts at late time-points of infection and the expression density increases with proceeding of the replication cycle. This is possibly part of a more general strategy of HCMV: exposure of potential antigens on the cell surface is only allowed at late time points of infection to minimize antibody-dependent effector functions during the early stages of the replication cycle. The kinetics of UL18 surface expression were shown to be regulated by two motifs in the cytoplasmic tail of UL18, causing ER retention and internalization. Indeed, it has been suggested that UL18 might confer both intracellular and extracellular functions, which could be segregated in a manner dependent on the phase of HCMV replication.

What can we expect from future studies? Progressive expression of HCMV-encoded MHC I inhibitors results in the continuous reduction of MHC I expression at the cell surface, with an almost complete downregulation when infected fibroblasts are analyzed at the end of the replication cycle.
**in vitro.** Simultaneously, the expression of multiple NK cell inhibitors accelerates, being in its full-blown state, when the MHC I molecule density is very low. These coordinated effects tell us that the level of MHC I down-regulation aiming at CD8 T-cell evasion causes high immunological costs which must be compensated for by extensive genomic investments in factors that rescue the vulnerable HCMV-infected cell from NK cell recognition. Despite numerous HCMV NK cell inhibitors defined to date, it is tempting to speculate that the absolute number of HCMV factors interfering with NK cell functions is still far from complete.

A frequently discussed issue is the high number and functional redundancy of immunoevasins that are a typical feature of cytomegalovirus counteraction against immune responses, as highlighted with regard to CD8 T cells, NK cells, IgG and IFN. Only the high number of immunoevasin genes may put the virus in a position to respond both most effectively and flexibly to widely varying host conditions. Cytokines, such as IFN-γ, IFN-α or TNF-α, which upregulate MHC expression, were shown to influence the extent of surface resident MHC I and antigen presentation to CD8 T cells following HCMV infection. What has attracted our attention only recently, is the specific role the HCMV-infected target cell type will have. During the infection of its host, HCMV replicates in a large variety of cells, including endothelial cells, epithelial cells and myeloid cells. Still, most studies were carried out using HCMV-permissive fibroblasts that likely provide optimal conditions for the immunological effectiveness of immunoevasins, while infected macrophages or dendritic cells are readily recognized by both CD8+ and NK cells. It is conceivable to assume that HCMV benefits from the sensitization of infected DCs for NK cell destruction due to subsequently impaired activation of T-cell responses.

In conclusion, more than two decades of research has provided an impressive body of evidence that HCMV has learned to pay much attention to all aspects of classical and non-classical MHC class I molecules. However, limited knowledge exists regarding whether the virus can also control peptide presentation of MHC molecules and NK cell recognition. Considering the high immunological costs incurred from non-selective MHC I molecule downregulation as a strategy for CD8+ T-cell escape, HCMV ligand-specific effects could offer a way out. Future insight into the HCMV ligandome may further increase our understanding of MHC I manipulation.

**COMPETING FINANCIAL INTERESTS**

The authors have no conflict of interest to disclose.

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