Liquid-assisted grinding and ion pairing regulates percentage conversion and diastereoselectivity of the Wittig reaction under mechanochemical conditions

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Abstract
Mechanochemistry is maturing as a discipline and continuing to grow, so it is important to continue understanding the rules governing the system. In a mechanochemical reaction, the reactants are added into a vessel along with one or more grinding balls and the vessel is shaken at high speeds to facilitate a chemical reaction. The dielectric constant of the solvent used in liquid-assisted grinding (LAG) and properly chosen counter-ion pairing increases the percentage conversion of stilbenes in a mechanochemical Wittig reaction. Utilizing stepwise addition/evaporation of ethanol in liquid-assisted grinding also allows for the tuning of the diastereoselectivity in the Wittig reaction.

Introduction
Mechanochemistry is maturing as a discipline and continuing to develop and grow [1-16]. Thus it is important to continue studying and understanding the rules governing the system. Under mechanochemical conditions, the reactants are added into a vessel along with one or more grinding balls, and the vessel is shaken at high speeds to generate the product. Several years ago, Balema and Percharsky first demonstrated the success of the Wittig reaction under mechanochemical conditions [17,18]. The Wittig reaction is one of the most useful reactions for the synthesis of olefins [19-23]. Aside from its synthetic utility, its unique reaction mechanism (shown in Figure 1) and inherent diastereoselectivity has led to a vast amount of intrigue by the chemical community [24-26].

Our research group has continued the study of the Wittig reaction under mechanochemical conditions with the use of a functionalized polymer resin. During these studies, we discovered a few exciting differences between our results and the ones ob-
Figure 1: Solution-based Wittig reaction mechanism.

Table 1: LAG solvent effect on the mechanochemical Wittig reaction.

| LAG solvent | dielectric constant [17] | % conversion to stilbene | E:Z ratio | stilbene/side product ratio |
|-------------|---------------------------|--------------------------|-----------|---------------------------|
| none        | –                         | 30                       | 67:33     | 1/0.83                    |
| toluene     | 2.38                      | 25                       | 61:39     | 1/0.44                    |
| ethanol     | 24.5                      | 95                       | 40:60     | 1/0.03                    |
Figure 2: $^1$H NMR spectra of stilbene mixture (a) and benzyl benzoate (b).

utilizing a LAG solvent with a high dielectric constant. These results were used to probe the ability to tune the Wittig reaction under mechanochemical conditions.

To determine the origin of benzyl benzoate, we performed a number of control reactions to determine if all reactants are necessary to form the side product (Table 2). Benzyl bromide was absent in each control reaction, and a \( \sqrt{\text{•}} \) in the table indicates reactants that were present in each control trial.

Interestingly, it was found that all four components of the reaction (benzyl bromide, benzaldehyde, base, and PS-\[\text{C}_6\text{H}_4\text{PPh}_2\]) are necessary for the production of benzyl benzoate. Based on this evidence, we propose a mechanism for the formation of this side product, which involves the addition of benzaldehyde to the traditional betaine intermediate of the Wittig reaction. This addition step occurs before the rotation and formation of the oxaphosphetane (Scheme 1). In the presence of very small amounts of solvent (LAG) or no solvent at all, the concentration of reactants is very high. This could cause the additional benzaldehyde to be close enough to the intermediate to react before the rotation occurs. To bolster further this argument, the highest amount of side product is observed in the absence of solvent, i.e., at highest reactant concentration (Table 1).

Further, our results show that the $E$ selectivity and benzyl benzoate formation are observed together (when the dielectric constant of the LAG solvent is low). Therefore, if the benzyl
Table 2: Control reactions to determine the origin of the side product benzyl benzoate.

| control trial | benzaldehyde | K$_2$CO$_3$ | PS-C$_6$H$_4$-PPh$_2$ | result |
|---------------|--------------|-------------|-----------------------|--------|
| 1             | √            |             |                       | No reaction |
| 2             | √            | √           |                       | No reaction |
| 3             | √            |             | √                     | No reaction |
| 4             | √            | √           | √                     | No reaction |

Scheme 1: Possible mechanism of benzyl benzoate formation.

benzoate formation follows the path presented in Scheme 1, perhaps the mechanism of the $E$ selectivity is similar. The intermediate (Scheme 1) allows for a reaction pathway involving the formation of a six-membered ring instead of the traditional four-membered oxaphosphetane ring of the Wittig reaction. This six-membered ring may account for the higher $E$ selectivity due to the preference for larger groups to be in equatorial positions in cyclohexane rings (Scheme 2).

The $E$ selectivity is driven by both the high concentrations of reactants and a low dielectric constant of the LAG solvent (or no solvent). To evaluate which might be playing a more critical role in the selectivity, the reaction was run with an excess of benzaldehyde (Scheme 3) to increase the concentration of reactants. At the same time, benzaldehyde can be considered a LAG solvent with a high dielectric constant (benzaldehyde has a dielectric constant of 17.8 [28]). The increased concentration should favour $E$ selectivity, but the high dielectric constant should favour $Z$ selectivity.

Compared to the original reaction ($E:Z$ ratio 67:33, Table 1, entry 1), the reaction with excess benzaldehyde resulted in an increase in $Z$ selectivity with an $E:Z$ ratio of 52:48. Therefore, it can be concluded that, if a LAG solvent is present, its dielectric constant will be the determining factor in diastereoselectivity, consistent with our previous observations.

Scheme 2: A possible mechanistic explanation for the $E$ selectivity.
Counter-ion pairing

We further hypothesized that, if the benzyl benzoate is formed through a six-membered ring intermediate to give (E)-stilbene as the major product, then the same rationale could be used in the case of our solvent-free conditions. In solution, ions are separated and stabilized by solvent molecules. Mechanistically we envision ions to start out as contact ion pairs, then solvent separated ion pairs (i.e., loose ion pairs) followed by free ion pairs. However, this pathway is shut down under solvent-free conditions, making everything in the system a contact ion pair. The traditional solution-based mechanism of the Wittig reaction proceeds via a four-membered oxaphosphetane intermediate. However, by incorporating the halide anion and the alkali metal cation into the mechanism, a six-membered ring, similar to that proposed in Scheme 2, would result (Figure 3).

Figure 3: Comparison of solution based Wittig reaction (a) with polymer-supported mechanochemical Wittig reaction (b).
Based on this hypothesis, in addition to the oxygen and phosphorus forming a stable bond, the alkali metal and halide must form a stable contact ion pair as well. These interactions and the formation of this bond may have a large influence under mechanochemical conditions because there is not a solvent reservoir to accept the dispersion of these ions. Using Pearson’s hard and soft acid and base (HSAB) theory [29] and the Jones–Dole viscosity B coefficient [30] (Table 3), we can predict which alkali metal and halide pairs would be most favourable. For example, bromide is a borderline soft anion, so based on the proposed mechanism we would expect more product to form if the counter ion is Cs\(^+\) (soft) than if it was Li\(^+\) (hard). Using the Jones–Dole viscosity B coefficient, we could also predict that Cs\(^+\) and Br\(^-\) would be a good pair, since their values are similar. We would expect Rb\(^+\) to pair well with Br\(^-\) for the same reason. To test this idea and to understand the effect of the interaction, we conducted the solvent-free polymer-supported Wittig reaction with various carbonate salts and alkyl halides (Table 4).

Pairing of a hard acid (Li\(^+\) or Na\(^+\)) with a moderately soft base (Br\(^-\)) leads to no or poor conversion to stilbene products. Conversely, the best conversion resulted when combining Cs\(^+\) (soft acid) with Br\(^-\) (borderline soft base). The benzyl benzoate side-product observed in trials 1, 2, and 5 indicates that the carbonate bases deprotonated the phosphonium salt to form the ylide which then subsequently added to the benzaldehyde. However, the oxygen anion could not bind to the phosphorus cation to produce the stilbene product, presumably due to the mismatched counter ion pair. After identifying the caesium–bromine pair was optimal for the conversion, caesium carbonate was the base of choice for the described stepwise study with ethanol.
Tuning liquid-assisted grinding with ethanol

Because using ethanol (high dielectric constant) as the LAG solvent afforded the highest conversion to stilbene and the least amount of benzyl benzoate, we began our study on the yield and diastereoselectivity using this solvent. First, we were interested in the influence of ethanol on the mechanochemical reaction of the alkyl halide and the polymer-supported triphenylphosphine. For this purpose, PS-C_6H_4-PPh_2 and 4-nitrobenzyl bromide were ball-milled for two hours with and without ethanol as the LAG solvent. Afterwards, the reaction mixture was filtered with ethanol to determine the amount of 4-nitrobenzyl bromide in solution: the higher the amount recovered means that less 4-nitrobenzyl bromide reacted and is bound to the polymer and thus less production of the desired phosphonium salt. As expected, only 8.5% unreacted 4-nitrobenzyl bromide was recovered when ethanol was used as a LAG solvent, demonstrating that ethanol is an effective LAG solvent for the production of the phosphonium salt (Table 5).

Table 5: How much 4-nitrobenzyl bromide adds to the polymer-supported triphenylphosphine, PS-C_6H_4-PPh_2?

| ethanol as LAG solvent? | percent mass recovery^a |
|------------------------|-------------------------|
| no                     | 79.5 %                  |
| yes (1 mL)             | 8.5%                    |

^aAverage of two trials.

As can be seen from the scheme, the reaction proceeded with high conversion and Z selectivity, which was ascribed to the high dielectric constant of ethanol. However, if ethanol was allowed to evaporate from the vial before the addition of benzaldehyde, thus creating a non-LAG condition, then E selectivity should be favoured for the Wittig reaction. Indeed, it turned out that a 98% conversion to the product along with an E:Z ratio of 78:22 (Scheme 5) occurred.

As shown, the dielectric constant of the solvent used in LAG can affect both the percent conversion of the reaction as well as the diastereoselectivity. By running the reaction stepwise, we can tune the reaction to proceed with high percent conversion while changing the diastereoselectivity of the product.

Conclusion

Both a high dielectric constant of the solvent used in liquid-assisted grinding (LAG) and proper ion pairing were found to increase the percent conversion to stilbenes under mechanochemical conditions. Choosing appropriate ion pairs when LAG is utilized in the system also allowed tuning the diastereoselectivity. Specifically, this selectivity could be achieved by combining the Cs^+/Br^- pair with the LAG solvent as follows: if one millilitre of ethanol was present in both reaction steps a higher Z selectivity was obtained. If one millilitre of ethanol was present only in the first step of the experiment, a higher E selectivity was obtained. The high concentration of reactants under mechanochemical conditions allows for unique and potentially selective reactions that may not be achievable by traditional synthetic means. Further studies on the influence of the reaction stepwise could influence our ability to select for both percent conversion and diastereoselectivity. Using a stepwise reaction approach with ethanol as the LAG solvent (no work-up performed between the steps), a 98% conversion to stilbene was observed with an E:Z ratio of 43:57 (Scheme 4).
HSAB theory and the Jones–Dole viscosity B coefficient under mechanochemical conditions are ongoing.

**Experimental**

NMR spectra were obtained using a Bruker Avance 400 MHz spectrometer. Deuterated chloroform was obtained from Cambridge Isotope Laboratories Inc., Addover, MA, and used without further purification. Triphenylphosphine-functionalized polystyrene, 2% cross-linked with divinylbenzene (PS-C₆H₄-PPh₂) was obtained from Biotage® and used without further purification. Benzaldehyde was obtained from Sigma Aldrich and used without further purification. Alkyl halides and carbonate bases were obtained from Fisher Scientific and used without further purification.

**Mechanochemical Wittig reaction**

To a customized stainless steel vial (3.0 mL volume) was added 1 mmol (500 mg) of PS-C₆H₄-PPh₂, 0.998 mmol alkyl halide, 0.58 mmol aldehyde, and 1.3 mmol carbonate base. This mixture was ball-milled for 16 h. For liquid-assisted grinding experiments, also 1 mL solvent was added. For stepwise reactions, PS-C₆H₄-PPh₂, the alkyl halide, and the LAG solvent were ball-milled for 2 h. Afterwards, the aldehyde and carbonate bases were added, and the reaction mixture was ball-milled for further 16 h. A 4.8 mm (3/16") stainless steel ball was added to the vial for all steps. The vial was shaken at 18 Hz in a Spex8000M Mixer/Mill. To work up the reaction after the reaction was complete, 2 mL of ethyl acetate were added to the vial, and the vial was returned to the mill for 5 min. The resulting mixture was gravity filtered with ethyl acetate. The solvent was removed under reduced pressure. ¹H NMR spectroscopy was performed to assess the composition of the filtrate.

**Acknowledgements**

We are thankful for financial support for this research from the National Science Foundation, CHE-1465110. We also would like to thank Dr. Travis Pollard for helpful discussions.

**Scheme 5:** Stepwise ball-milled Wittig reaction with ethanol evaporation between the steps.

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