Calcium Regulation of Single Ryanodine Receptor Channel Gating Analyzed Using HMM/MCMC Statistical Methods

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ABSTRACT Type-II ryanodine receptor channels (RYRs) play a fundamental role in intracellular Ca2+ dynamics in heart. The processes of activation, inactivation, and regulation of these channels have been the subject of intensive research and the focus of recent debates. Typically, approaches to understand these processes involve statistical analysis of single RYRs, involving signal restoration, model estimation, and selection. These tasks are usually performed by following rather phenomenological criteria that turn models into self-fulfilling prophecies. Here, a thorough statistical treatment is applied by modeling single RYRs using aggregated hidden Markov models. Inferences are made using Bayesian statistics and stochastic search methods known as Markov chain Monte Carlo. These methods allow extension of the temporal resolution of the analysis far beyond the limits of previous approaches and provide a direct measure of the uncertainties associated with every estimation step, together with a direct assessment of why and where a particular model fails. Analyses of single RYRs at several Ca2+ concentrations are made by considering 16 models, some of them previously reported in the literature. Results clearly show that single RYRs have Ca2+-dependent gating modes. Moreover, our results demonstrate that single RYRs responding to a sudden change in Ca2+ display adaptation kinetics. Interestingly, best ranked models predict microscopic reversibility when monovalent cations are used as the main permeating species. Finally, the extended bandwidth revealed the existence of novel fast buzz-mode at low Ca2+ concentrations.

KEY WORDS ryanodine channel gating • adaptation • hidden Markov models • Bayesian statistics • Markov chain Monte Carlo

INTRODUCTION Type II calcium release RYR channels play a fundamental role in the intracellular Ca2+ signaling dynamics of cardiac muscle cells that govern contractility of the heart. RYR channels are activated by a small Ca2+ influx through the surface membrane by a process known as Ca2+-induced Ca2+ release (CICR). The CICR process, an inherently self-regenerating process, is precisely controlled in cells. The regenerative feedback that counters the positive feedback of CICR is thought to depend on the cytosolic Ca2+ concentration (Fabiato, 1985). Since then, the cytosolic RYR Ca2+ regulation has been the subject of extensive research (Györke and Fill, 1993; Laver and Curtis, 1996; Schiefer et al., 1995; Sitsapesan et al., 1995; Zaradniková et al., 1999; Copello and Fill, 2002), and recent matter of debate (Fill et al., 2000; Lamb et al., 2000; Sitsapesan and Williams 2000).

Several models of single RYR channel gating have been developed to explain the RYRs role in the overall Ca2+ dynamics of cardiac muscle cells. These models, however, have been usually chosen and estimated by following rather phenomenological criteria in order to reproduce experimentally observed properties such as the Ca2+-dependent activation, inactivation (Zaradniková and Zahradník, 1995; Stern et al., 1999), adaptation (Cheng et al., 1995; Sachs et al., 1995; Keizer and Levine, 1996; Villaba-Galea et al., 1998; Fill et al., 2000), and modality gating—as well as the local RYR-mediated intracellular Ca2+ release events known as Ca2+ sparks (Jafri et al., 1998; Sobie et al., 2002; Villaba-Galea et al., 2002). Even in the presence of high quality data, model estimation and selection have only rarely been statistically addressed. For example, Saftenku et al. (2001) present a maximum likelihood approach that is subject to several drawbacks. The raw data is idealized by using standard threshold methods at a relatively limited bandwidth (i.e., 2 kHz). Second, models for particular visually identified gating modes are then separately estimated via maximum likelihood (Qin et al., 1996). This analysis heavily relies on the quality of the initial idealizations and the accuracy of the mode identification.

Abbreviations used in this paper: BIC, Bayes information criterion; CICR, Ca2+-induced Ca2+ release; HMM, hidden Markov model; MCMC, Markov chain Monte Carlo.

The online version of this article contains supplemental material.

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http://www.jgp.org/cgi/doi/10.1085/jgp.200308868

Supplemental material can be found at:
http://gpp.hcp.med.yale.edu/gpp.200308868
steps. This makes it subject to errors that are very difficult to statistically assess. Even more importantly, it does not reveal the connections between the identified modes and thus presents only a partial picture of the salient dynamics with limited predictive power.

This article presents a first step toward a statistical analysis of single type II RYRs at steady-state. The analysis provides some substantial benefits when compared with previously used approaches. First, the applicable bandwidth of the analysis is extended up to 10 kHz by the use of hidden Markov models (HMMs; see Michalek et al., 1999; Venkataramanan and Sigworth, 2002). Second, statistical inferences are made using a combination of Bayesian statistics and stochastic search methods (Markov chain Monte Carlo [MCMC]). These techniques have proven to be particularly well suited for complex estimation problems where the more commonly applied maximum likelihood–based approaches fail (Robert and Casella, 1999; Liu, 2001). The MCMC method not only provides a rich description of the modeling process but also a direct indication of where and why a particular model fails. More importantly, it allows us to directly assess the errors incurred at each estimation step, since all the estimates used throughout are endowed with a central limit theorem. The MCMC technique used here, namely a Gibbs sampler, is a generalization of the method described in Rosales et al. (2001) that allows us to consider the constraints imposed by the aggregation of states into conductance classes and the set of state interconnections. These techniques are similar in spirit to those of Ball et al. (1999) and Hodgson (1999); however, here the continuous gating of the channel is approximated by a discreetly sampled process, producing a less computationally intensive algorithm that is based on a solid probabilistic theory.

16 gating models were compared on this basis by analyzing three datasets containing the steady-state activity of single RYRs at 1, 10, and 100 μM Ca²+. The results obtained provide direct evidence for the existence of different Ca²+-dependent gating modes. The open/closed states associated with each mode were also identified. Additionally, gating schemes were used to predict the relaxation kinetics of single RYR channel response to Ca²+ step stimuli. The kinetic predictions for the top ranked schemes agree well with those that have been experimentally defined, showing that single RYRs respond transiently to sudden Ca²+ steps. Our results also demonstrate that gating follows detailed balance at the experimental conditions so far considered.

### Materials and Methods

#### 2.1 Microsome Preparation

Heavy microsomes enriched in type II RYRs obtained from canine ventricular cardiac muscle (Tate et al., 1985) were reconstituted in planar lipid bilayers (Miller and Racker, 1976). Briefly, the tissue was kept in a saline solution (154 mM NaCl, 10 mM Tris-malate, pH 6.8) at a temperature of 4°C before being chopped and then homogenized. Heavy microsomes were obtained by differential centrifugation and then kept at −70°C in saline solution with 300 mM sucrose until used.

#### 2.2 Reconstitution and Recording

Planar lipid bilayers were formed across a 150 μm hole in a Delrin cup. Bilayers were obtained with a mixture (50 mg/ml in decane) of phosphatidylcholine (PC) and phosphatidylethanolamine (PE) (Avanti Polar Lipids, Inc.) in a 7:3 relation. Bilayers with an electrical capacitance of 200–400 pF were used in our experiments. The microsomes were added to one side of the bilayer defined as cis. The other side, defined as trans, was held at virtual ground. The standard solution was 20 mM CsCH₃SO₃, 20 μM CaCl₂, 20 mM HEPES, pH 7.4. The fusion of the microsomes was promoted by mechanical stirring and an osmotic gradient (i.e., addition of 400 mM CsCH₃SO₃). The orientation of an incorporated RYR was such that their cytoplasmic side was in the cis compartment (i.e., defined by sidedness of ATP sensitivity). After channel incorporation, the trans CsCH₃SO₃ concentration was adjusted to 420 mM. The charge carrier was Cs+. All experiments were done at room temperature.

A patch clamp amplifier (Axopatch 200B; Axon Instruments, Inc.) was used to optimize single channel recording (Györke and Fill, 1993). The acquisition software (pClamp 6.0; Axon Instruments, Inc.) was run on a Pentium III computer controlling a 12-bit analogue/digital-analogue converter (Axon Instruments, Inc.). Single-channel data were digitized at 100 kHz and filtered at 32 kHz. To reduce posterior computational effort, the data was digitally Gaussian filtered down to 10 kHz and sampled at 25 kHz. Long single RYR channel recordings (3×10⁶ samples each) were made at three different steady-state free Ca²⁺ concentrations (1, 10, and 100 μM cis). Free Ca²⁺ concentration was verified using a Ca²⁺ electrode.

#### 2.3 Data Analysis

A representative single-channel recording at each Ca²⁺ concentration was selected for analysis. The baseline was corrected to account for any drift and other irregularities. The files were analyzed separately with each of the 16 gating models shown in Fig. 1. The data was modeled by considering constrained HMMs and a combination of Bayesian statistics and MCMC methods. The most relevant aspects of these techniques together with a brief mention to further methods used are described below. A detailed account of the Bayes/MCMC framework is given in Rosales (2004).

#### 2.3.1 Constrained HMMs

Observations were modeled by using constrained HMMs. Briefly, the gating dynamics of single RYR channels were assumed to follow a discreetly time sampled homogeneous Markov process with a finite state space $E = \{1, 2, \ldots, n\}$. States were further aggregated into two conductance classes $O = \{O_1, \ldots, O_C\}$ and $C = \{C_1, \ldots, C_v\}$, with $n + w = n$ and $O_i$ and $C_j$ denoting single states, hereafter labeled open and closed. Transitions between the states of this process are not directly observed. The data arising from bilayer experiments is inherently corrupted by additive noise, assumed to be independent and Gaussian distributed as a first approximation. The constraints on the HMM parameter space considered here are those induced by the topology of the associated graph of a gating mechanism and those inherent to the association of states into $C$ and $O$. The parameters involved are the transition probabilities $p_{ij}$ grouped into a transition probability matrix $P = [p_{ij}]$, for any $i, j \in O$, or $C$, the conductance levels $\mu_w$ and $\mu_v$, the class noise variances $\sigma_{w \sigma}^2$ and $\sigma_{v \sigma}^2$, and finally the initial den-
2.3.2 Bayesian Treatment

Within this framework, the identification of a gating model from the raw data leads to the following well posed statistical tasks: (A) signal idealization, (B) parameter estimation, and (C) model selection. Statistical inferences concerning C are considered by using an asymptotic form of penalized ratio test, namely the Bayesian information criterion, whereas tasks A and B are considered from a Bayesian perspective. Let denote a set of N observations \(y_1, y_2, ..., y_N\) and \(z\) a particular realization \(z_1, z_2, ..., z_N\) of the underlying process, i.e., \(z\) represents a sequence of states visited by the channel. Furthermore, let \(Z\) stand for the space of all possible state sequences, and \(\Theta\) a subset of the Euclidean space formed by the set of all possible values for \(\Theta\). For each gating mechanism denote by \(L(\Theta, z)\) the likelihood function,

\[
L(\Theta, z) = P(y|z, \Theta) = P(y|z, \Theta)P(z|\Theta),
\]

defined as the joint probability for the occurrence of the data and a hidden realization, given a particular value for the parameters \(\Theta\). Let \(\phi(\Theta)\) denote the prior, a density that expresses belief or knowledge about the parameters before the observations have been examined. Then, following Bayes theorem, the joint posterior density for both unknowns \(\Theta\) and \(z\), is

\[
\pi(\Theta, z|y) = L(\Theta, z)\phi(\Theta)w^{-1},
\]
with
\[
w = \sum_{\theta \in \Theta} \int L(\theta, z) \phi(\theta) d\theta.
\]

Estimates for model parameters, task B, are based on this density by integrating out \(z\), for instance,
\[
E_{\pi}(\theta) = \sum_{z \in Z} \theta \pi(\theta, z) \int d\theta,
\]
\[
\text{Var}_{\pi}(\theta) = \sum_{z \in Z} \left( \theta - E_{\pi}(\theta) \right)^2 \pi(\theta, z) \int d\theta,
\]
constitute unbiased estimates for the model parameters and their associated variances. The same argument also applies for estimates of the hidden sequence \(z\) by integration of \(\theta\), which then represents a solution to task A.

2.3.3 MCMC

Although there are clear theoretical advantages for the use of posterior based inferences over maximum likelihood based approaches in this setting, none of the integrals in Eqs. 2–4 can be evaluated directly. This occurs even for the simplest gating mechanism and regardless of the choice of \(\phi(\theta)\), due the complex structure of the HMM marginal likelihood \(\sum_{z} L(\theta, z)\). Thus, the required integrals have to be evaluated numerically. This task is performed here by using MCMC methods, more precisely by using the Gibbs sampler initially described by Rosales et al. (2001) and further modified by Rosales (2004) in order to accommodate class constraints. The basic principle behind any MCMC algorithm is to perform Monte Carlo integration by drawing samples of the required posterior in Eq. 2, and then evaluating sample averages to approximate the expectations in Eqs. 3 and 4. More precisely, in the current setting, the Gibbs sampler generates a sequence of random variables \((\theta^*, z^*)\), \(u = 1, 2, \ldots, m\), by running a Markov chain for a long time, such that in the limit as \(m \to +\infty\), the pair \((\theta^*, z^*)\) is asymptotically distributed according to the target distribution \(\pi\). In fact, for the constrained HMM outlined above, the Gibbs sampler converges toward \(\pi\) from any arbitrary starting point \((\theta^0, z^0)\). These and other regularity properties of the sampler have been formally established in Rosales (2004).

An example of the output generated by analyzing a small data segment with model \(M_0\) of Fig. 1 is shown in Fig. 2. This example shows how the sampler performs a random walk on two of the model parameters \(\theta\), namely on \(\sigma_{o1}^2\) and \(\sigma_{o2}^2\). After a few steps the walk finally stays within a boundary that corresponds to a region of maximum posterior probability for \((\sigma_{o1}^2, \sigma_{o2}^2)\). This figure also presents the output generated for the hidden process shown as a randomly chosen set of idealized traces, \(z^*\), each one generated at a given iteration of the MCMC run. Well identifiable features are actually recovered in almost all iterations, whereas very brief events appear only in some of the sampled realizations.

Formally, due to convergence toward \(\pi\), Monte Carlo estimates for the posterior mean and standard deviation of \(\theta\) (Eqs. 3 and 4) are, respectively, the empirical averages of the obtained sequence \((\theta^*)\), i.e.,
\[
\bar{\mu}_\pi(\theta) = \frac{1}{G} \sum_{u=1}^{m} \theta^* = E_{\pi}(\theta)
\]
\[
\bar{\sigma}_\pi(\theta) = \left[ \frac{1}{G} \sum_{u=1}^{m} (\theta^* - \bar{\mu}_\pi(\theta))^2 \right]^{1/2} = \text{Var}_{\pi}(\theta).
\]

Figure 2. MCMC output example. (Top left) Brief segment of RYR activity at 10 kHz. Horizontal axis is 0.1 s long and vertical axis stretches over 5 pA. (Bottom left) Ensemble of 10 MCMC-sampled realizations of the hidden process obtained by analyzing the data at the top with gating scheme \(M_0\) of Figure 1. The first five realizations are within the first 1,000 iterations of the sampler and the last 5 are from the remaining 1,000 iterations. (Right) Trajectory followed by 2,000 iterations of the MCMC sampler on the class variances \(\sigma_{o1}^2\) (x-axis), \(\sigma_{o2}^2\) (y-axis) plotted as solid lines. Dashed lines represent 12 contour levels for a surface proportional to the HMM log posterior computed by varying \((\sigma_{o1}^2, \sigma_{o2}^2)\) and leaving all other model parameters fixed at their posterior estimates.

\[\text{Equation 3}\]
\[\text{Equation 4}\]
\[\text{Equation 5}\]
\[\text{Equation 6}\]
\[\text{Equation 7}\]
with $\exp$ as the matrix exponential and $\delta$ as the sampling period of the acquisition system. It should be emphasized that $Q^*$ is not the infinitesimal generator, $Q = \{k_{ij}\}$, of the hidden process, but rather a rate matrix associated to the limiting time resolution $\delta$. Hereafter, however, for notational convenience we write $Q$ for $Q^*$ and $k_{ij}$ for $k'_{ij}$ the elements of $Q^*$. If the continuous process is sampled fast enough, i.e., if

$$
\delta^{-1} \ll \sup_{i,j} p_{i,j}(o,c) k_{ij},
$$

then, following standard theory (see for instance Eq. 7 of Colquhoun and Hawkes, 1995a),

$$
\hat{k}_{ii} = -\sum_{j \neq i} p_{ij}/\delta, \quad \hat{k}_{ij} = (p_{ij}/\delta).
$$

A posterior estimate for the observed transition rate matrix and its standard deviation follow immediately from these equations and Eqs. 5 and 6, namely

$$
\hat{\mu}_i(k_{ii}) = \frac{1}{\delta} \sum_{o \neq i} \sum_{c} p_{i,o}^{c},
$$

$$
\hat{\sigma}_i(k_{ii}) = \left[ \frac{1}{\delta^2} \sum_{o \neq i} \sum_{c} \left( p_{i,o}^{c} \right)^2 + \hat{\mu}_i(k_{ii})^2 \right]^{1/2},
$$

and

$$
\hat{\sigma}_i(k_{ij}) = \left[ \frac{1}{\delta^2} \sum_{o \neq i} \sum_{c} \left( p_{i,o}^{c} \right)^2 - \hat{\mu}_i(k_{ij})^2 \right]^{1/2}.
$$

Convergence of the sampler, and hence estimation of $b$ in Eqs. 5 and 6, was assessed by the Raftery-Lewis and Heidelberger-Welch tests, both implemented in R (http://cran.r-project.org) or SPlus by the BOA program (Bayesian Output Analysis program; Version 1.0.0 for S-PLUS and R; http://www.public-health.uiowa.edu/boa/). MCMC runs were started at an arbitrary initial distribution (see theorem 1.13 of Norris, 1997). This relation describes the evolution for the $i$th segment of lengths $N_1, N_2, \ldots, N_t$, and the likelihood for the $i$th segment is denoted by $L_i$, then from Eq. 11 the BIC takes the form

$$
\text{BIC} = -2 \sum_{i=1}^{t} \ln L_i(\hat{\mu}_i(\theta)) + 2 N_1 \sum_{i=1}^{t} \ln (N_i).
$$

A model is preferred over another if its BIC as defined by Eq. 13 is smaller.

### 2.3.6 Evolution Toward Equilibrium

Let $\eta(k\delta) = \{\eta_i(k\delta)\}, i \in \mathbb{C}, \theta$, be a $n$-dimensional row vector denoting the state occupation density at any time $k=1,2, \ldots$, for $\delta > 0$. Relaxation toward the equilibrium distribution, $\eta(\infty)$, is given by

$$
\eta(\infty) = \lim_{k \rightarrow \infty} \eta(k\delta) = \lim_{k \rightarrow \infty} \eta(0) \mu^k,
$$

with $\eta(0)$ as an arbitrary initial distribution (see theorem 1.13 of Norris, 1997). This relation describes the evolution for the $b$-discretisation of the continuous time process (see theorem 2.1.1 of Norris, 1997). In fact, the continuous time version for Eq. 14 is standard and may be found in the current context at several places by setting $P^k = \exp(Qk\delta)$ (see Eq. 19 of Colquhoun and Hawkes, 1995b). The open probability $P_o$ as a function of $k\delta$, is thus obtained via Eq. 14 by adding the entries in $\eta$ that involve open states, i.e.

$$
P_o(k\delta) = \sum_{i=O} \eta_i(k\delta).
$$

In this study, however, the scope of Eq. 15 is restricted to the evaluation of $\eta(k\delta)$ by using only one of the estimated $Q$ at a time, representing one of the $Ca^{2+}$ conditions considered experimentally.

### 2.3.7 Online Supplemental Material

The MCMC sampler used in this study was written in ANSI C and is freely available under the GNU license as online supplemental material (available at http://www.jgp.org/cgi/content/full/jgp.200308868/DC1). Code is distributed with documentation concerning compilation and running examples. Compilation under various platforms including SUN Sparks running Solaris 8, and several Pentiums with Linux and most Windows versions was

537 Rosales et al.
made with gcc (http://gcc.gnu.org). Precompiled binaries may be available upon request by writing to the first author. Code and documentation for dwell-time kernel estimates is also available as online supplemental material. Kernel estimates for the parameter posterior marginals were computed from the MCMC output by using the sm library implemented in R and conforming to Bowman and Azzalini (1997). Simple plots were made with gnuplot (http://www.gnuplot.info) and others including surfaces with R. All Q matrix computations were made by using Maple 7 (Waterloo Maple, Inc.). When run on the dataset at 10 μM Ca²⁺ (3,051 × 10⁶ samples) with scheme M₃ (9 states and 29 free parameters), each iteration of the sampler took 17.8 s on a Dell Precision 330 with a 1.4 GHz Pentium 4 processor.

RESULTS

3.1 Model Comparison

Results obtained by analyzing single RYR channel data collected at three different Ca²⁺ concentrations with the gating schemes shown in Fig. 1 are summarized in Table I. This table presents the values for the negative of the marginal log likelihood evaluated at the posterior mean for the parameters (see Eq. 12). Posterior means are computed via Eq. 5 from the MCMC output by discarding the first 2,500 samples out of a total of 3,000. The column entitled “BIC/2” represents half the penalized total given by the BIC computed by using Eq. 13.

| Model | 1 μM Ca²⁺ | 10 μM Ca²⁺ | 100 μM Ca²⁺ | BIC/2 |
|-------|-----------|------------|-------------|-------|
| M₁    | 5,017,201.39 | 4,622,527.19 | 8,198,295.779 | 17,839,341.024 |
| M₂    | 5,016,912.009 | 4,622,752.312 | 8,198,978.954 | 17,839,959.940 |
| M₃    | 5,017,487.084 | 4,622,561.437 | 8,198,668.95 | 17,839,979.734 |
| M₄    | 5,017,461.44 | 4,622,935.34 | 8,198,278.653 | 17,839,992.098 |
| M₅    | 5,017,074.007 | 4,622,573.273 | 8,199,085.133 | 17,840,049.078 |
| M₆    | 5,018,281.31 | 4,622,578.398 | 8,198,451.814 | 17,840,384.489 |
| M₇    | 5,017,889.128 | 4,621,674.612 | 8,200,051.146 | 17,841,067.758 |
| M₈    | 5,019,861.823 | 4,622,046.314 | 8,198,188.557 | 17,841,413.359 |
| M₉    | 5,019,369.695 | 4,623,852.529 | 8,201,986.333 | 17,845,525.222 |
| M₁₀   | 5,019,316.927 | 4,623,867.826 | 8,210,510.667 | 17,854,875.878 |
| M₁₁   | 5,022,059.333 | 4,626,502.531 | 8,206,993.875 | 17,856,872.413 |
| M₁₂   | 5,022,645.991 | 4,626,611.635 | 8,207,225.326 | 17,857,390.997 |
| M₁₃   | 5,021,771.153 | 4,630,058.04 | 8,206,811.649 | 17,857,596.676 |
| M₁₄   | 5,021,616.988 | 4,630,147.467 | 8,206,814.655 | 17,857,214.742 |
| M₁₅   | 5,021,768.858 | 4,630,484.904 | 8,207,424.004 | 17,860,177.191 |

Column values for the 1, 10, and 100 μM Ca²⁺ conditions are the marginal −log(likelihood) evaluated at the posterior mean for the parameters (see Eq. 12). Posterior means are computed via Eq. 5 from the MCMC output by discarding the first 2,500 samples out of a total of 3,000. The column entitled “BIC/2” represents half the penalized total given by the BIC computed by using Eq. 13.

Figure 3. MCMC samples for the Q matrix and the noise variances σ²₀, σ²ₙ for scheme M₃. Samples for the transition rates of communicating states of scheme M₃ are plotted against the number of iterations, when analyzing data at 1 μM Ca²⁺ (top left), 10 μM Ca²⁺ (top right), and 100 μM Ca²⁺ (bottom left). The plot at the bottom right presents the samples for the class noise variances at 10 μM Ca²⁺.
The following general topological properties of the graph associated to a mechanism may be inferred from Table I:

1. RYR channel gating schemes with three open states are preferred over those with two open states. Two open state schemes are preferred over those with one open state. Three open states would be consistent with the RYR channel experimental results published by Sitsapesan and Williams (1994) and Saftenku et al. (2001).

2. RYR gating schemes with two communicating open states are preferred, see for example $M_1$ and $M_2$.

3. RYR gating schemes with a hexagonal cycle of states have higher BIC values than those with smaller cycles.

4. The best RYR gating schemes with three open states, except for scheme $M_{13}$, share a common motif that includes states $O_4$, $O_4$, $C_4$, $C_5$, and $C_5$. Schemes, such as $M_8$ and $M_8$, lacking this structure are ranked low.

5. RYR gating schemes with more than two consecutive closed states not communicating with an open state at the left of the cycle such as $C_3$, $C_4$, and $C_5$ in $M_t$ and $M_s$ are also heavily penalized.

6. Table I also suggest the existence of more than four pathways between the open and the closed class. Interestingly, more than one pathway is necessary to explain modal RYR channel gating, an experimentally observed feature of single RYR channels (Zaradniková and Zahradník, 1995; Copello et al., 1997).

### Table II: MCMC Convergence Assessment

| [Ca^{2+}] | 1 μM | 10 μM | 100 μM |
|------------|------|-------|--------|
| C1→C2     | 1.500 | 1.500 | 1.200  |
| C2→C1     | 1.500 | 1.500 | 1.200  |
| C2→C4     | 1.500 | 1.500 | 1.500  |
| C4→C2     | 1.500 | 1.500 | 1.500  |
| C4→O4     | 1.500 | 1.500 | 1.500  |
| O4→C4     | 1.500 | 1.500 | 1.200  |
| C1→O1     | 1.500 | 1.500 | 1.500  |
| O1→C1     | 1.500 | 1.500 | 1.500  |

Posterior mean value for Q and associated posterior standard deviation (±) for: 1, 10, and 100 μM Ca^{2+}. Mean and standard deviation values are computed from the MCMC output by using Eq. 9, and taking the last 500 iterations out of 3,000. Diagonal entries of the transition rate matrix are not shown ($k_{ij} = \Sigma k_{ij} - k_{ij}$ for all $i,j \in \{O,C\}$). All mean values are expressed in ms\(^{-1}\).
Statistical Analysis of RYR Gating

Results and predictions for the top ranked models with three open and six closed states are very similar and thus will not be detailed separately here. Instead, we will turn our attention below to the best ranked model, namely RYR gating scheme $M_3$.

3.2 Q Matrix Estimates

Estimates for the $Q$ matrices at each Ca$^{2+}$ concentration constitute the basis for all further descriptions and predictions of the dynamics of single RYR channel behavior here. Fig. 3 displays the sampled values of the transition rates between communicating states of mechanism $M_3$ for each Ca$^{2+}$ condition, plotted against the MCMC iteration. These rates were obtained from the sampled probabilities by using Eq. 8. This figure also presents the sampled values for the variance of the open and the closed levels, $\sigma_{O_2}$ and $\sigma_{C_2}$, respectively.

Simple inspection suggests that rate estimates for 1 and 100 μM Ca$^{2+}$ have converged after ~1,000 iterations. Convergence takes perhaps longer for the data at 10 μM Ca$^{2+}$ (see Fig. 3, top right). Convergence at each concentration was quantitatively assessed by the Raftery-Lewis and Heidelberger-Welch tests (see Table II). The latter being more conservative than the first one indicates a convergence period lag of 1,500 iterations. Based on this information, the first 2,500 iterations were discarded and only the remaining 500 iterations were considered for further inferences.

The posterior mean and standard deviation for each rate, computed by using the last 500 samples via Eqs. 9 and 10, are shown in Table III. Few posterior marginal density estimates for some individual parameters are shown in Fig. 4. They were computed directly from the MCMC output according to Bowman and Azzalini (1997).
low) have relatively high variances. An example is provided by $G_4$ at 1 μM Ca$^{2+}$. Rates leaving long-lived states with larger occupancy probabilities, such as $G_1$ at 1 μM Ca$^{2+}$, have relatively smaller variances.

The presence of multiple maxima in the posterior marginals (Fig. 4, A and C), and thus almost surely on the respective likelihood projections, should warn against the naive use of maximum likelihood methods for HMMs. This may include the use of standard deviations obtained from the likelihood curve at “the maximum” (see Qin et al., 2000).

3.3 Single-state Ca$^{2+}$-induced Changes

Straightforward computations with the $Q$ matrix estimates lead to the associated stationary probabilities of being at each state and the expected time spent in each state. These quantities, shown in Tables IV and V, when considered together allow us to draw a detailed picture of the states involved in each mode.

Gating modes are clearly visible at 1 μM Ca$^{2+}$ and follows then by identifying the states involved in each mode.

Gating modes are clearly visible at 1 μM Ca$^{2+}$. Fig. 5 displays two representative single RYR channel records illustrating at least three gating modes. The first of them, labeled $G_6$, consists of long openings interrupted by brief closures. The second, named $G_3$, consists of brief openings followed by brief closures and finally the third mode, named $G_2$, is formed by brief openings followed by long shut periods. As shown in Fig. 5, open sojourns in $G_1$ and $G_3$ are grouped in clusters forming burst-like behavior. $G_2$ has previously been identified in single RYR channel studies as a mode of high open probability, and $G_4$ as a mode with low probability named L gating mode (Zaradnijová and Zahradník, 1995, 1996; Armisén et al., 1996). $G_5$ is not mentioned in these earlier references. The states participating in each of the $G_6$, $G_3$, and $G_2$ modes and the closed periods between them may be identified directly by inspecting the last MCMC sampled realizations for the hidden process (z$^2$). However, as mentioned above, this may also be done by considering the single state time constants and occupation probabilities (see Tables V and IV) obtained from the $Q$ matrix estimates.

At 1 μM Ca$^{2+}$ the channel spends most of its time at the $G_4$ and $G_2$ states, which account for the long closed sojourns between the $G_1$ and $G_3$ modes. From the $G_2$ state, the channel may then enter the $G_1$ state generating a brief opening. From the $G_3$ state, it may enter the $G_4$ state forming events corresponding to the $G_6$ gating mode. Also, from the $G_4$ state, via $G_2$, the RYR channel may also (very rarely) enter the $G_3$ state generating a long lasting opening. These long openings may be followed by transitions toward the $G_1$ state (brief openings) and subsequently to the $G_4$ or $G_6$ states (brief closings) forming bursts of channel activity characteristic of the $G_4$ gating mode. From either the $G_1$ or $G_3$ states, $G_6$ bursts are terminated either via $O_3 \rightarrow G_1 \rightarrow O_3 \rightarrow G_3$ or $O_3 \rightarrow G_2 \rightarrow O_3$. The appearance of the $G_6$ gating mode is associated with transitions of the form $O_3 \leftrightarrow G_6 \leftrightarrow G_6$, where the $G_6$ state is responsible for the very fast closed characteristic of this mode. From the rates leaving $G_2$ shown in Table III, there are 23 more transitions per unit of time toward the $G_1$ state, the intermediate state for the initiation of a $G_4$ gating mode bursts, than those for the $O_3$ state that starts the $G_6$ gating mode. Despite this, $G_6$ gating mode bursts are quite rare when compared with the occurrence of the $G_4$ gating mode. This is due to an extremely low rate for the transition from the $G_4$ to $O_3$ state. The appearance of the $G_3$ or $G_6$ modes is governed by the rates leaving the state $G_4$ to the $O_3$ and $O_4$ states, respectively. Note that transitions to the $O_3$ state are twice as frequent as those to the $O_2$ state. This explains the apparent excess of the occurrence of $G_3$ mode over $G_4$ bursts. These results suggest the following gating mode frequency relations, $G_4, G_6, G_3$. At this Ca$^{2+}$ concentration the open probability for the channel is 0.06599. A schematic view of the modes described above and their participating states is summarized in Fig. 6.

At 10 μM Ca$^{2+}$ the channel tends to leave $G_1$ and $G_2$, which are shorter than at 1 μM Ca$^{2+}$, and starts spending more time in states $G_4$ and $G_6$, both simultaneously.

TABLE IV

| $C_i$ | $C_2$ | $C_3$ | $C_4$ | $C_5$ | $O_1$ | $O_2$ | $O_3$ | $O_4$ |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| $\eta_{1}(\infty)$ | 0.29646 | 0.40528 | 0.06542 | 0.09653 | 0.12754 | 0.00168 | 0.02973 | 0.0103 | 0.02956 |
| $\eta_{2}(\infty)$ | 0.01903 | 0.26684 | 0.18034 | 0.00583 | 0.01514 | 0.08467 | 0.22102 | 0.07414 | 0.04741 |
| $\eta_{3}(\infty)$ | 0.00806 | 0.11761 | 0.00293 | 0.40861 | 0.06581 | 0.15602 | 0.02345 | 0.10944 | 0.08467 |

The densities at each condition were computed from the $Q$ estimates shown in Table III as $\eta_{1}(\infty) = u(S'')^{-1}$, with $S$ a $n \times n + 1$ matrix formed by augmenting the $Q$ estimate with a column of ones, $S'$ as the transpose of $S$, and $u$ as a $n$-column vector with each element equal to 1 (see for example Eq. 17 of Colquhoun and Hawkes [1995b]).

TABLE V

| $\text{Ca}^{2+}$ | $\tau_{C1}$ | $\tau_{C2}$ | $\tau_{C3}$ | $\tau_{C4}$ | $\tau_{C5}$ | $\tau_{O1}$ | $\tau_{O2}$ | $\tau_{O3}$ | $\tau_{O4}$ |
|-----------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| μM              | ms          | ms          | ms          | ms          | ms          | ms          | ms          | ms          | ms          |
| 1               | 53.8077     | 4.5092      | 0.1888      | 0.3337      | 7.4782      | 0.0407      | 21.2984     | 0.1995      | 0.7955      |
| 10              | 15.4694     | 5.3341      | 1.1258      | 1.0251      | 3.2319      | 0.262       | 5.3206      | 1.6144      | 0.7213      |
| 32.4956         | 2.4008      | 0.1856      | 1.2086      | 0.3132      | 5.4641      | 1.354       | 0.3411      | 0.2489      |             |
Apart from the mean times and the probabilities at each state, further insights into the Ca\(^{2+}\) gating modes is more equally distributed in contrast to the univariate single-class densities suggest the existence of Ca\(^{2+}\)-dependent RYR channel modal gating.

### 3.4 Dwell Time Density Estimates

Apart from the mean times and the probabilities at each state, further insights into the Ca\(^{2+}\)-induced changes in single RYR channel activity may be gained by inspection of the relative frequencies of visits to particular states. These are shown in Fig. 7, which presents the log dwell-time densities for the sojourns in the open and closed classes together with their single-state components. Overall densities and components were computed by using the spectral decomposition of Q (Colquhoun and Hawkes, 1995b) and the estimates shown in Table III. At 1 \(\mu\)M Ca\(^{2+}\) both open and closed densities show two clearly defined maxima. Fast events in the open class (Fig. 7 A) constituted by sojourns in the open class (Fig. 7 B) presents quite frequent fast and slow events corresponding to sojourns in \(C_6\) and \(C_1\), respectively. The presence of multiple maxima in both classes at 10 \(\mu\)M Ca\(^{2+}\) is not as apparent as for 1 Ca\(^{2+}\). This accounts for a partial disappearance of modal behavior. At this Ca\(^{2+}\) concentration (10 \(\mu\)M) the maximum for the open class is slightly shifted toward the right (Fig. 7 C) when compared with the mayor maximum at 1 Ca\(^{2+}\). Furthermore, \(O_3\) is now the most frequent open state, being then closely followed by \(O_2\) and \(O_1\). The most frequent states for the closed class (Fig. 7 D) are \(C_6\) and \(C_1\). Provided the gating modes have still the same meaning as at 1 \(\mu\)M Ca\(^{2+}\), both plots at 10 \(\mu\)M Ca\(^{2+}\) suggest the following mode frequency relations \(G_1 < G_6 < G_5\). Finally at 100 \(\mu\)M Ca\(^{2+}\) (Fig. 7, E and F) the densities for both classes have essentially a single well-defined maximum. This corresponds to a complete disappearance of modal behavior. At this concentration (100 \(\mu\)M Ca\(^{2+}\)), the single-state frequency proportions are roughly the same as for 10 \(\mu\)M Ca\(^{2+}\). These results suggest that a mayor change in the single state frequencies occurs only around 1–10 \(\mu\)M Ca\(^{2+}\). The univariate single-class densities suggest the existence of Ca\(^{2+}\)-dependent RYR channel modal gating. However, direct evidence for this is only provided by the adjacent open-closed sojourn correlations. These are presented in Fig. 8, which displays a MCMC posterior estimate for the log open-closed dwell time densities and their derived dependency-difference plots. Dwell-time densities were computed by using only the last five MCMC sampled realizations of the hidden process. Use of more MCMC realizations did not apprecia-
bly improve these estimates. A gating mode corresponds (in principle) to the existence of a peak (or maximum) in the joint density estimate. Inspection of Fig. 8 (top) suggests the existence of two modes at 1 μM Ca\(^{2+}\). These modes appear to gradually merge into a single mode at progressively higher Ca\(^{2+}\) concentrations (10–100 μM Ca\(^{2+}\)). Such a simple visual inspection of the joint density plots can be misleading. Specific correlations between communicating states may be shown instead via dependency-difference plots. At 1 μM Ca\(^{2+}\) Fig. 8 B suggests the existence of at least three maxima (yellow to faint yellow contoured regions in the range of 0.0 to 0.04). The first of them corresponds to fast open-closed events associated to the G\(_{z}\) mode and is located at the bottom left corner of the plot. Another clearly identified maximum corresponds to long openings followed by brief closures (G\(_{l}\) mode). This is located in the region that starts at (2,0) at stretches horizontally to about (5,0). A third relatively minor maximum corresponds to intermediate openings followed by short closures. This one may be identified with a region that starts at (0,0) and stretches vertically up to about (0,4).

This analysis also suggests there is a major change in modal gating characteristics between 1 to 10 μM Ca\(^{2+}\). At 10 μM Ca\(^{2+}\) the dependency-difference plots show evidence of two maxima instead of three (Fig. 8 D). One of these corresponds to brief openings followed by long closures. The other corresponds to intermediate length closures. The other corresponds to intermediate openings followed by short closures. This
pattern is also evident at 100 μM Ca^{2+} (Fig. 8 F). However, correlations at 100 μM Ca^{2+} are much smaller (note contour level scales). This analysis presents direct evidence for RYR channel modal gating and reveals how it changes at different Ca^{2+} concentrations.

3.5 Filter and Threshold at 2 kHz

This section presents a standard filter and threshold analysis at 2 kHz. The purpose of this exercise is to illustrate the significance of the extended bandwidth analysis obtained by using the HMM approach. The data used for the MCMC analysis was first filtered down to 2 kHz with a digital Gaussian filter. The filtered data was then idealized by using a standard half amplitude threshold. The resulting dwell-time list was then finally used for dwell-time kernel density estimation. Fig. 9 presents the single-class estimates, whereas Fig. 10 shows the joint density for adjacent open-closed sojourns at each Ca^{2+} concentration.

Both figures show several differences when compared, respectively, to Figs. 7 and 8. Simple inspection shows that changes are quite dramatic at 1 μM Ca^{2+}. Indeed, Fig. 9, A and B, shows that fast events in both the open and the closed classes have disappeared almost entirely at 2 kHz. As a consequence both densities are shifted to the right. This effect is more markedly appre-
that form the Fig. 10 A against the one in Fig. 8 A also suggests the long shut periods. A comparison of the joint density in events that still cross the threshold at 2 kHz and break Fig. 7 B for the slowest events. This effect is due to noise V). Fig. 9 B also presents smaller probability values than fixed with sojourns in state $G_z$Modification mode. This is confirmed by comparison of the dependence-differences in Figs. 10 B and 8 B. The disappearance of $G_z$ modifications the correlation pattern observed at 1 μM Ca$^{2+}$, which is actually inverted when compared against the one in Fig. 8 B. Fig. 10 B presents two regions of positive correlations that correspond to intermediary fast and slow open-closed sojourns, much like the pattern found for 10 and 100 Ca$^{2+}$ (see Figs. 10, D and E, and 8, D and E).

3.6 Reversibility

Reversibility can be evaluated by the Kolmogorov’s criterion for Markov chains with cycles (see Eq. 1.22 in Kelly, 1979) using the $Q$ matrix estimates. Let $a_1, a_2, ..., a_{n-1}, a_n$ denote the states involved in the cycle of a particular gating mechanism. Gating is reversible if the transition rates in the cycle satisfy

$$k_{a_1 a_2} ... k_{a_{n-1} a_n} = k_{a_2 a_1} ... k_{a_n a_{n-1}}.$$  \hspace{1cm} (16)

For the cycle of scheme $M_6$, the clockwise product of the six rates involved at 1 μM Ca$^{2+}$ is $6.9 \times 10^{-6}$. The product of the six rates in the opposite direction product is $6.6 \times 10^{-6}$. For 10 μM Ca$^{2+}$ the products are $1.5 \times 10^{-5}$ and $1.8 \times 10^{-5}$ respectively. For 100 μM Ca$^{2+}$ these products are $2.1 \times 10^{-5}$ and $2.3 \times 10^{-5}$. These results present direct evidence for the reversibility of single RYR channel gating dynamics at the experimental conditions tested here. They are also consistent with Fig. 11. It should be emphasized that none of the cyclic models used so far considered reversibility constraints for the values of the transition probabilities $p_{ij}$. The results of these tests thus provide strong evidence in favor of time-reversible gating as a genuine feature of the data.

3.7 Response to Sudden Ca$^{2+}$ Steps

The response of RYR channels to fast Ca$^{2+}$ changes can be evaluated by computing the relaxation toward equilibrium of the probability of being in the open class, $P_o$. Here, we present the response of mechanism $M_6$ to instantaneous changes in the Ca$^{2+}$ concentration from 0 to 1, 10, or 100 μM Ca$^{2+}$. The predicted response of a single RYR channel was computed using Eq. 15, and considering several initial densities that represent possible candidates of the resting state. These were chosen by assigning different probability values to the closed states and 0 to any open state. For all the Ca$^{2+}$ steps and initial conditions considered, Fig. 12 shows that the $P_o$ rapidly peaks and the slowly relaxes toward a new equilibrium. This pattern of single RYR channel behavior has been experimentally observed (Györke and Fill, 1993; Valdivia et al., 1995). Closer inspection of the plots in Fig. 12 shows that the rising phase of the responses is strongly dependent on the resting condition.

3.8 MCMC Method Reliability

To test the reliability of the MCMC method, simulated single-channel data was obscured by adding random noise. $\theta$ and $z$ were then estimated and compared to their original values. To this end, two synthetic datasets were generated assuming two different Ca$^{2+}$ conditions. One synthetic dataset was generated using $Q$ matrix estimates for the $M_6$ model at 1 μM Ca$^{2+}$. The specific rate constants used for the $M_6$ model are pre-
presented in Table III. The second synthetic dataset was generated using Q-matrix estimates for the $M_{10}$ model at 10 μM Ca$^{2+}$. The specific rate constants used for the $M_{10}$ model are presented below (in ms$^{-1}$).

\[
\begin{align*}
k_{c1c2} &= 1.372, k_{c2c1} = 0.759 \\
k_{c2o1} &= 0.017, k_{o1c2} = 0.025 \\
k_{c2o2} &= 2.598, k_{o2c2} = 0.329 \\
k_{c3o1} &= 0.146, k_{o1c3} = 1.362 \\
k_{c3o2} &= 0.34, k_{o2c3} = 0.025 \\
k_{c3c4} &= 0.021, k_{c4c3} = 0.18
\end{align*}
\]

Synthetic datasets with $N = 2 \times 10^6$ samples were generated by sampling at $\delta = 0.04$ ms. The following parameters were set to mimic those estimated from real data; $\mu_c = 0.9985$, $\mu_o = 2.6784$ and $\sigma^2_c = \sigma^2_o = 0.5955$. The synthetic dataset were then processed using the Gibbs sampler. The Gibbs sampler was run for 3,000 iterations with different gating schemes. Convergence in each case was diagnosed as described in Section 2.3.3. Only the last 1,000 iterations were used for estimation.

For the synthetic dataset generated using the $M_3$ model, the Gibbs sampler was run with four different gating schemes ($M_3$, $M_2$, $M_7$, and $M_{14}$). The actual

---

**Figure 10.** Filtered joint open-closed dwell time densities and dependence-differences. Joint densities for 2 kHz filtered data at 1 surface (A), 10 (C), and 100 (E) μM Ca$^{2+}$. Surfaces show the square root of the density estimate. Dependence-difference plots shown as contours for 1 (contour B), 10 (D), and 100 (F) μM Ca$^{2+}$. 

---
In the different gating schemes model, the Gibbs sampler was also run for with four different schemes respectively. The estimates for the rate constants (in ms\(^{-1}\)) were 0.9979, 2.679, and 0.5961, respectively. The rank order of best-fit models was M\(_1 > M_2 > M_7 > M_{11}\). In the M\(_3\) model case, the estimates generated for \(\mu_C\), \(\mu_O\), and \(\sigma^2_C\) and \(\sigma^2_O\) were 0.9979, 2.679, and 0.5961, respectively. The estimates for the rate constants (in ms\(^{-1}\)) are listed below.

\[
\begin{align*}
k_{C1C2} &= 1.801 \pm 0.193, \quad k_{C2C1} = 1.241 \pm 0.219 \\
k_{C2C3} &= 0.570 \pm 0.127, \quad k_{C3C2} = 3.223 \pm 0.472 \\
k_{C2O3} &= 0.041 \pm 0.003, \quad k_{O3C2} = 0.565 \pm 0.03 \\
k_{C3O1} &= 0.001 \pm 0.001, \quad k_{O1C3} = 0.004 \pm 0.002 \\
k_{C4C5} &= 2.41 \pm 0.957, \quad k_{C5C4} = 0.678 \pm 0.071 \\
k_{C4O2} &= 0.248 \pm 0.077, \quad k_{O2C4} = 0.888 \pm 0.05 \\
k_{C4O3} &= 0.524 \pm 0.209, \quad k_{O3C4} = 0.692 \pm 0.034 \\
k_{C4O1} &= 0.039 \pm 0.004, \quad k_{O1C4} = 0.163 \pm 0.02 \\
k_{C6O2} &= 20.927 \pm 0.47, \quad k_{O2C6} = 2.496 \pm 0.074
\end{align*}
\]

For the synthetic dataset generated using the M\(_{10}\) model, the Gibbs sampler was also run for with four different gating schemes M\(_1\), M\(_6\), M\(_{10}\), and M\(_{11}\). The rate constant estimates (in ms\(^{-1}\)) are listed below.

\[
\begin{align*}
k_{C1C2} &= 1.31, \quad k_{C2C1} = 0.703 \\
k_{C2O1} &= 0.021, \quad k_{O1C2} = 0.021 \\
k_{C2O2} &= 2.59, \quad k_{O2C2} = 0.325 \\
k_{C3O1} &= 0.121, \quad k_{O1C3} = 1.377 \\
k_{C3O2} &= 0.34, \quad k_{O2C3} = 0.024 \\
k_{C3C4} &= 0.022, \quad k_{C4C3} = 0.167
\end{align*}
\]

The BIC/2 factors for the M\(_1\), M\(_6\), M\(_{10}\), and M\(_{11}\) models are 2,571,612.52, 2,571,723.112, 223,011,276.171 and 345,101,055, respectively. This means that the rank order of best-fit model was M\(_{10} > M_{11} > M_7 > M_3\).

In both cases, the estimated rate constants are similar to the original values used to generate the simulated datasets. Thus, the HMM-MCMC analysis performed reasonably well under noise conditions similar to those found experimentally. Further, the BIC values show that the method was also able to accurately choose the correct model.

3.9 Reproducibility of the Best-fit RYR Channel Model Prediction

Model selection was done using real single RYR channel data collected at three different cytosolic Ca\(^{2+}\) concentrations. Here, the reproducibility of the model selection is evaluated using real single RYR channel recordings at a single cytosolic Ca\(^{2+}\) concentration (100 \(\mu\text{M}\)). These recordings were collected from two different RYR channels. For each recording, the Gibbs sampler was used to generate estimates of rate constants.
and BIC values. Two complete rate prediction and BIC value sets from this analysis are provided here to illustrate the degree of channel-to-channel variability present. Rate estimates obtained from the analysis of the previously presented data collected at 100 μM Ca²⁺ are presented in Table VI. Estimates obtained from the analysis of another long (6.84 × 10⁶ samples) single RYR channel recording at 100 μM Ca²⁺ are presented in Table VII. The experimental conditions in which both of these recording were done were exactly the same.

These tables contain the rate constant estimates and associated standard deviations for only three of the top ranked models (M₂, M₃, and M₁₃). These rate estimates where computed by taking only the last 500 of 3,000 iterations of the Gibbs sampler. Convergence in each case was diagnosed as described in Section 2.3.3. Particular rate estimates obtained from the two channels are not identical. As expected, the natural biological variability between channels does impose variability in the absolute values of the predicted time constants. However, the relative magnitudes of the particular rate constants are relatively consistent between the channels. To illustrate the consistency in magnitude, the coefficient of variation between the rate constants estimates for all transitions in one of the top ranked models (M₂) are plotted in Fig. 14.

The BIC values obtained from the different analysis sets were used to rank the models. The BIC values for each analysis set are presented in Tables I and VIII. In each case, the BIC values were then used to rank order the best-fit models for each analysis set. The best-fit rank orders for the two analysis sets are compared in Table VIII. The rank orders from both analysis sets contain the sequence M₄ > M₁₂ > M₉ > M₁₀ > M₁₆ > M₁₄ > M₁₅. More importantly, the top five best-fit models were M₂, M₃, M₅, M₆, and M₁₃ although not in exactly the same order. Note that the BIC value differences between these top five ranked models in most cases are quite small.

**DISCUSSION**

Detailed knowledge about the dynamics of single RYR channel Ca²⁺ regulation is required to understand the role these molecules play in the local control of excitation-contraction coupling (Stern, 1992). Despite extensive research, many questions regarding the mechanisms that govern RYR channel gating remain unanswered. Several Markov models for the gating of single
The HMM approach applied here extends the analysis constraints on the model structure. By doing so, we ties directly from data by setting a minimum number of principal objective here is to infer single RYR proper-
Villaba-Galea et al., 1998; Sobie et al., 2002). These ap-
figure matrix samples for Rosales et al. (1998) of the five top ranked models are compared.
A considerable proportion of very fast events, shifted distributions, and obscured (in some cases eliminated) observed correlations between adjacent open-closed events. The filtering distortion was most marked at low \( \text{Ca}^{2+} \) levels (i.e., \( 1 \mu \text{M} \)) and generated a completely different pattern of single-channel gating. This is an important finding. It introduces new information concerning the physiological relevance of fast events of single RYR channels at low \( \text{Ca}^{2+} \) concentrations specifically.

### 4.2 Reversibility

Both the surface plots at Fig. 11 and the Kolmogorov’s criterion for cycles for the \( Q \) matrix estimates show that RYR channels gate in detailed balance. It should be noted that this result is not in contradiction with recent evidence that supports irreversible gating when \( \text{Ca}^{2+} \) is the main diffusing species (Wang et al., 2002). Furthermore, this agrees and reinforces results of Rengifo et al. (2002) and Villaba-Galea et al. (2002), which propose the dissipation of the \( \text{Ca}^{2+} \) gradient as the source of free energy that keeps the system out of time reversibility.

### 4.3 Modal Gating and Adaptation

The steady-state \( \text{Ca}^{2+} \) sensitivity of single RYR channels is well established (Zaradniková and Zahradník, 1995, 1996; Armisén et al., 1996). The dynamics of single RYR channel \( \text{Ca}^{2+} \) regulation is not (Copello and Fill, 2002). It is clear from numerous studies that single RYR channels display rapid activation in response to a fast \( \text{Ca}^{2+} \) elevation (Györke and Fill, 1993; Zaradniková et al., 1999). In some studies, channel activity peaks and then spontaneously decays following the fast \( \text{Ca}^{2+} \) elevation. The spontaneous decay was originally termed adaptation (Györke and Fill, 1993) and was particularly interesting because it occurred at \( \text{Ca}^{2+} \) concentrations that do not inactivate the RYR channel under steady-state conditions. The spontaneous decay (i.e., adaptation) has now been attributed to a \( \text{Ca}^{2+} \) and time dependent modal gating shift (Zaradniková and Zahradník, 1995, 1996; Villaba-Galea et al., 1998; Györke, 1999; Zaradniková et al., 1999; Fill et al., 2000).

Interestingly, the highest ranked gating model selected from steady-state single-channel data predicts a spontaneous decay after a fast-step \( \text{Ca}^{2+} \) elevation (see Fig. 12). This is interesting because there has been vigorous debate about whether or not this is possible. In the original adaptation studies (Györke and Fill, 1993), the applied \( \text{Ca}^{2+} \) elevation had a very fast (1 ms) overshoot at its leading edge. At first, this very fast \( \text{Ca}^{2+} \) elevation

### Table VIII

| Model | BIC/2-channel A | Model | BIC/2-channel B |
|-------|-----------------|-------|-----------------|
| \( M_1 \) | 8,198,188.557 | \( M_1 \) | 10,526,296.294 |
| \( M_2 \) | 8,198,278.653 | \( M_3 \) | 10,526,519.547 |
| \( M_4 \) | 8,198,295.779 | \( M_5 \) | 10,526,296.294 |
| \( M_6 \) | 8,198,451.814 | \( M_6 \) | 10,526,590.176 |
| \( M_7 \) | 8,198,668.95 | \( M_7 \) | 10,526,752.767 |
| \( M_8 \) | 8,198,797.954 | \( M_8 \) | 10,527,500.14 |
| \( M_9 \) | 8,199,085.133 | \( M_9 \) | 10,527,582.558 |
| \( M_{10} \) | 8,199,158.714 | \( M_{10} \) | 10,528,876.02 |
| \( M_{11} \) | 8,200,051.146 | \( M_{11} \) | 10,530,168.661 |
| \( M_{12} \) | 8,201,986.333 | \( M_{12} \) | 10,530,343.001 |
| \( M_{13} \) | 8,206,814.655 | \( M_{13} \) | 10,530,508.683 |
| \( M_{14} \) | 8,206,816.649 | \( M_{14} \) | 10,537,283.837 |
| \( M_{15} \) | 8,206,993.875 | \( M_{15} \) | 10,537,822.911 |
| \( M_{16} \) | 8,207,225.326 | \( M_{16} \) | 10,538,366.957 |
| \( M_{17} \) | 8,207,424.004 | \( M_{17} \) | 10,538,715.39 |
| \( M_{18} \) | 8,210,510.667 | \( M_{18} \) | 10,540,334.274 |

The comparison for two different channels A (channel described in Section 3.3) and B (channel described in Section 3.8) is shown. The first five models (\( M_2, M_3, M_5, M_6, \) and \( M_8 \)) are common for the two channels (see Section 3.9).
overshoot was not considered to play a role in the much slower spontaneous decay (Velez et al., 1997). However, the possibility that the fast Ca\(^{2+}\) overshoot may actually generate the slow spontaneous decay was quickly forwarded (Lamb and Stephenson, 1995; Lamb et al., 2000; Sitsapesan and Williams, 2000). Here, a spontaneous decay occurs in the absence of fast Ca\(^{2+}\) overshoot. The analysis here supports the notion that this spontaneous decay is generated by a Ca\(^{2+}\)- and time-dependent modal gating shift (see below). The analysis here also suggests that a fast Ca\(^{2+}\) overshoot may indeed accelerate the initial transition into the open configuration, but will not have an impact on single RYR channel behavior after that.

As mentioned above, single RYR channels display rapid activation in response to a fast Ca\(^{2+}\) elevation (Zaradniková et al., 1999). There is no dispute concerning this RYR channel attribute. The model with highest BIC score, selected here from steady-state data, predicts a Ca\(^{2+}\) activation rate similar to those that have been experimentally defined (Györke and Fill, 1993; Valdivia et al., 1995; Velez et al., 1997). Not surprisingly, the Ca\(^{2+}\) activation rate depends on the initial conditions that exist before the fast Ca\(^{2+}\) change.

Several studies have detailed the Ca\(^{2+}\)-dependent modal gating of single RYR channels (Armisén et al., 1996; Zaradniková and Zahradník, 1996; Zaradniková et al., 1999). Again, the highest ranked model predicts the existence of Ca\(^{2+}\)-dependent modal gating (see Fig. 8). The analyses here show that the Ca\(^{2+}\) dependence of modal gating is steepest at relatively low Ca\(^{2+}\) concentrations (≈1 μM). This corresponds to the Ca\(^{2+}\) concentration range over which adaptation is experimentally observed (Fill et al., 2000). This also supports the notion that adaptation (i.e., the spontaneous decay) is due to a Ca\(^{2+}\)- and time-dependent modal gating shift. As initially proposed by Zaradniková and Zahradník (1995) the Ca\(^{2+}\)-dependent modal shift hypothesis rests essentially on two basic assumptions: (a) an increase of Ca\(^{2+}\) should favor transitions out of states with high \(P_o\), but (b) at resting conditions Ca\(^{2+}\) can only bind to transitions that lead to these states. These conditions still remain to be fully demonstrated. The analysis in Zaradniková et al. (1999) and the one presented here are consistent with the first point; however, none of them provides the means for the identification of the specific Ca\(^{2+}\)-dependent transitions.

### 4.4 MCMC Method Reliability and Robustness of the Fit

The reliability of the MCMC method was tested by simulating two different synthetic datasets using the parameters fitted for two different models under two different cytosolic Ca\(^{2+}\) concentrations. Then noise was added to recordings simulated from the \(Q\) matrices and the HMM MCMC formalism was used for analyze the simulated data (Section 3.8). Excitingly, the analysis again correctly retrieves the model used to produce the data for the synthetic datasets.

To test the Robustness of our methods, two different channels under similar ionic conditions (100 μM free cytosolic Ca\(^{2+}\) concentration) were analyzed using the HMM MCMC formalism. In Section 3.9 the model selection for two different channels and the rate constants are compared. Although the model selection rankings are note identical, the best first five models were the same for all the channels. It is not surprising that the ranking for different channels is not identical, mostly because the natural biological variability between channels. Nevertheless, BIC values for these top models are very similar. In addition, when the fitted \(Q\) matrices for the same model (\(M_j\)) and two different datasets were compared (Fig. 14) the rate constants for each transition were not exactly the same but were in the same order. This difference could be explained again by the variability of the biological samples.

### 4.5 Future Directions

A key limitation of the approach applied here is the estimation of a separate \(Q\) matrix for each Ca\(^{2+}\) condition. The simultaneous estimation of a single common matrix should significantly improve its statistical properties; moreover, this should lead to the identification the Ca\(^{2+}\)-dependent transitions of a gating scheme. The latter would certainly clarify several aspects in the Ca\(^{2+}\)-dependent modal shift hypothesis. Finally, a single \(Q\) matrix with well-identified Ca\(^{2+}\)-dependent transitions would enclose all the necessary information for predicting the Ca\(^{2+}\)-dependent dynamic properties of single RYR channels. This includes the response to any imaginable Ca\(^{2+}\) waveform allowing comparison of predicted channel behavior with the myriad of experimental results that have been reported (Györke and Fill, 1993; Sitsapesan et al., 1995; Laver and Curtis, 1996; Zaradniková et al., 1999). More importantly, it would allow prediction of single RYR channels to the nonstationary Ca\(^{2+}\)-regulatory environment that exists in living cells.

To make inferences by considering data at several Ca\(^{2+}\) concentrations simultaneously one has to be able introduce constraints for the rates, such that the involved states become well-defined occupancy sites for Ca\(^{2+}\). For instance, one would generally like to set \(k_j = \alpha_j [Ca^{2+}]\), where \(\alpha_j\) is the rate constant that results in the case \([Ca^{2+}] = 1 \mu M\), if \(k_j\) is to be expressed in \(\mu M \times s^{-1}\). Unfortunately, these constraints do not translate in a simple manner to \(P\) and hence into the standard HMM formulation. The problem may be illustrated by considering an expansion of the exponential form which relates \(P\) and \(Q\) (see Eq. 7), namely,
\[ P = \exp(Q\delta) = 1 + Q\delta + \frac{(Q\delta)^2}{2} + \frac{(Q\delta)^3}{3} + \ldots, \]  
(17)

with \( I \) as the identity matrix. \( P \) may well be obtained from \( Q \) by simple matrix operations, but a simple change in one entry of \( Q \) takes a rather complicated algebraic form in \( P \). Work in progress, however, shows that it is indeed possible to sample \( Q \) directly by using hybrid MCMC moves that include Metropolis-Hastings steps (Robert and Casella, 1999; Liu, 2001) inside the Gibbs sampler used here. This approach is highly promising, both in theory and in practice since it borrows many of the properties of the Gibbs sampler so far used.

In any case, unifying views of the \( \text{Ca}^{2+} \)-regulatory dynamics of RYRs will certainly continue to stimulate the research of thorough quantitative analytical approaches and innovative experimental strategies.

We thank Dr. Carlos Villalba-Galea for helpful discussion. We also thank Ing. Mariano Reyes and Ing. Lorena Masine for their invaluable collaboration during the preparation of the manuscript.

Supported by TTUHSC SEED Grant to A. Escobar, and NIH-HL57832 to M. Fill.

Olaf S. Andersen served as editor.

Submitted: 13 May 2003
Accepted: 9 March 2004

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