The effect of the PARK16 rs11240572 variant on brain structure in Parkinson’s disease

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Abstract
Increasing evidence suggests that genetic factors play a key role in the development of Parkinson’s disease (PD). The variant rs11240572 in the PARK16 gene locus is strongly associated with PD. However, its effect on the pathogenesis of PD is yet to be clarified. The objective of the study was to explore the effect of the PARK16 rs11240572 variant on brain structure in PD patients. A total of 51 PD patients were enrolled in the study and genotyped for the rs11240572 variant. Clinical assessments and MRI scans were conducted across all participants. Voxel-based morphometry (VBM) was used to investigate gray matter volume (GMV) of the whole brain between these two groups. Correlation analysis was performed to identify the relationships between GMV and clinical features. There were 17 rs11240572-A variant carriers and 34 non-carriers, with no significant demographic differences between these two groups. Compared with non-carriers, rs11240572-A carriers showed increased GMV in the left caudate nucleus and putamen, but decreased GMV in the left superior temporal gyrus and supramarginal gyrus. In non-carriers, left basal ganglia GMV was positively correlated with UPDRS III (r = 0.365, p = 0.034) and bradykinesia (r = 0.352, p = 0.042), but negatively correlated with MMSE (r = –0.344, p = 0.047), while in carriers negative correlation between basal ganglia GMV and MMSE was also observed (r = –0.666, p = 0.004). Moreover, the GMV of left temporoparietal cortex was positively associated with cognitive function in both groups (carriers, r = 0.692, p = 0.002; non-carriers, r = 0.879, p < 0.001). When reducing the sample size of non-carriers to the level of the carrier sample, similar correlations were observed in both groups. Our study showed that the PARK16 rs11240572 variant affects the brain structure of patients with PD, especially in the basal ganglia and temporoparietal cortex. This indicated that this variant might play an important role in the pathogenesis of PD.

Keywords Parkinson’s disease · PARK16 · rs11240572 · Structural MRI · Voxel-based morphometry

Introduction
Parkinson’s disease (PD) is a common neurodegenerative disorder affecting about 1.7% of the population ≥ 65 years old in China (Zhang et al. 2005). The main clinical manifestations of PD are motor symptoms, such as resting tremor, rigidity, bradykinesia and postural instability. In addition, non-motor symptoms such as paresthesia, autonomic dysfunction and cognitive impairment often occur in the early stages of the disease. Pathological changes in PD include the loss of dopaminergic neurons in the substantia nigra pars compacta and the aggregation of α-synuclein in intracellular inclusion bodies (Homayoun 2018). These neurons, projecting to the basal ganglia, are substantially damaged, causing
a reduction in the dopamine levels of the striatum (Hu and Wang 2016).

Although the pathogenesis of PD is still elusive, evidence suggests that genetic factors are closely related to PD susceptibility. Researches have improved the understanding of PD genetics, especially as novel gene loci and disease-related SNPs in PD are progressively identified. Some mutational SNPs either protect against or aggravate the progression of the disease (Guo et al. 2015). The PARK16 locus, which is strongly associated with PD, was first identified by a genome-wide association study in a Japanese population (Satake et al. 2009). Subsequently, its role was confirmed in various regions, including Europe, China, Singapore, Chile and Malaysia, as well as in the Jewish population (Simón-Sánchez et al. 2009; Tan et al. 2010; Liu et al. 2011; Mata et al. 2011; Ramirez et al. 2011; Gopalai et al. 2016). Within PARK16, rs11240572 is the variant most robustly associated with risk modulation of PD in a Chinese population (Tan et al. 2010). Subsequent studies demonstrated that rs11240572 were associated with PD risk reduction in Chinese population (Chang et al. 2013; Tan et al. 2010; Yan et al. 2011). However, the role of PARK16 in PD is still elusive. A recent longitudinal study demonstrated that rs11240572-variant carriers showed greater motor function deterioration in PD compared with non-carriers (Deng et al. 2019), although its exact mechanism in PD remains unclear.

Neuroimaging is widely used in brain research as it is non-invasive. Voxel-based morphometry (VBM) is a whole-brain, quantitative technique for characterizing global and regional cerebral volume, as well as differences of gray or white matter density in structural MRI (Good et al. 2001). Compared to traditional MRI measurements which are based on regions of interest, VBM can directly analyze the original data without prior assumption and quantitatively detect differences in brain tissue density. Therefore, its advantages are automatic, comprehensive, objective and repeatable. Previous studies exploring the relationships between VBM changes and genetic factors in PD have yielded inconsistent conclusions (Brockmann et al. 2011; Vilas et al. 2016). Brockmann et al. (2011) found a volume decrease in symptomatic LRRK2 carriers (i.e., PD patients)—not in asymptomatic carriers. Vilas et al. (2016) also found no volume difference in asymptomatic carriers with LRRK2 mutation compared with controls. Additionally, previous studies have suggested that various SNPs, such as SNCA rs356219 (Burciu et al. 2018) and rs894278 (Zhang et al. 2019), are also involved in the modulation of brain functional activity. However, there are few studies exploring their direct effect on brain structure.

In this study, we investigated the role of the PARK16 rs11240572 variant on the brain structure of PD patients in a Chinese cohort.

### Materials and methods

#### Study participants

A total of 51 PD patients were enrolled in this study, including 17 rs11240572-A variant carriers and 34 non-carriers. They were recruited at the Second Affiliated Hospital of Zhejiang University School of Medicine between April 2016 and July 2019. PD diagnosis was made by two experienced neurologists according to the Movement Disorder Society (MDS) Clinical Diagnostic Criteria for Parkinson’s disease (Postuma et al. 2015). All participants underwent clinical evaluations and 3.0 Tesla MRI scans. The exclusion criteria were as follows: (1) a history of cerebrovascular injury, brain trauma and other neurodegenerative or psychiatric diseases; (2) contraindications for MRI such as metal implants, mental disorders, etc.; and (3) refusal to sign informed consent. This study was approved by the ethics committee of the Second Affiliated Hospital of Zhejiang University School of Medicine and all participants gave informed consent prior to data collection and scanning.

#### Clinical assessment

A detailed personal and medical history was obtained from each participant, and neuropsychological examinations were conducted. PD severity and disease status were evaluated using the Unified Parkinson’s Disease Rating Scale (UPDRS) and the Hoehn and Yahr (H–Y) scale which was obtained in the “drug-off” state (the patient has been off medication for at least 12 h); and cognitive function was assessed using a Mini-Mental State Examination (MMSE). The scores for tremor, rigidity, bradykinesia and posture/gait were calculated by adding the scores from items 20 + 21, item 22, items 23 + 24 + 25 + 26 + 31 and items 27 + 28 + 29 + 30 of UPDRS III, respectively.

#### Genotyping

Genomic DNA of all participants was extracted from peripheral blood samples using standard procedures and assessed with a Qubit 3.0 Fluorometer (Life Invitrogen). PCR and direct sequencing were used to genotype the PARK16 rs11240572 variant. PD patients were classified as carriers if they were found to have the PARK16 rs11240572 A allele, including AC and AA, or non-carriers if they had the PARK16 rs11240572 homozygous C allele.
MRI acquisition

All subjects were scanned using a 3.0 Tesla MRI scanner (GE Discovery 750) at the Department of Radiology, Second Affiliated Hospital, School of Medicine, Zhejiang University. The 3D T1-weighted images were acquired using a fast spoiled gradient recalled sequence: echo time = 3.036 ms; repetition time = 7.336 ms; inversion time = 450 ms; flip angle = 11 degrees; field of view = 260 × 260 mm²; matrix = 256 × 256; in-plain resolution = 1 × 1; slice thickness = 1.2 mm; 196 sagittal slices. The precise voxel size = 1 × 1 × 1.2 mm, and the voxels were almost isotropic.

Voxel-based morphometry

MR images of the brain were preprocessed using the Computational Anatomy Toolbox (CAT12: http://dbm.neuro.uni-jena.de/cat12/) implemented within Statistical Parametric Mapping 12 software (SPM12, http://www.fil.ion.ucl.ac.uk/spm). Data were preprocessed with CAT12 (http://www.neuro.uni-jena.de/cat12/CAT12-Manual.pdf) as follows: (1) the original T1 images were normalized to a template space and segmented into gray matter (GM), white matter (WM) and cerebrospinal fluid (CSF); (2) the quality of the image was manually checked to maintain sample homogeneity. The unsmoothed segmentations were used to provide more anatomical detail; (3) total intracranial volume (TIV) was estimated to correct individual brain size during statistical analysis; (4) all segmented GM images were smoothed with a Gaussian kernel of 6 mm full-width-half-maximum (FWHM).

Statistical analysis

Demographic and clinical data were analyzed using SPSS version 23.0 (IBM, Chicago, IL, USA). Statistical charts were produced by GraphPad Prism 7.0a (GraphPad Inc., San Diego, CA, USA). The results were expressed as mean ± standard deviation (SD) for continuous variables and as frequencies for the categorical variables. The Kolmogorov–Smirnov test was used to identify the normality of variable distribution. Independent t test was used to compare normally distributed continuous variables, and the Mann–Whitney U test was used to compare continuous variables that were not normally distributed. The Chi-squared test was used for the comparison of categorical variables. Partial correlation analysis was performed to evaluate the correlations between basal ganglia GMV, temporoparietal cortex GMV and UPDRS III, tremor, rigidity, bradykinesia, posture/gait, MMSE scores with regressing out age, sex and TIV, respectively. In this way, each GMV residual that eliminated the influence of covariates was calculated and used to plot linear correlation between GMV and clinical assessments. A p value < 0.05 was considered significant.

Image analysis was performed in the Data Processing & Analysis for Brain Imaging toolbox (Yan et al. 2016). Two-sample t test was conducted to compare the GMV between the two groups of participants. To balance the type I and type II errors in the multivoxel comparison (Lieberman and Cunningham 2009), both conservative threshold with Gaussian random field (GRF) correction of voxel p = 0.001 and cluster p = 0.05, and preliminary threshold with GRF correction of voxel p = 0.005 and cluster p = 0.05 were employed. Again, age, sex and TIV were regressed out as covariates.

Results

Demographic and clinical features

The demographic and clinical features are summarized in Table 1. There were no significant differences between carriers and non-carriers in clinical characteristics including age, gender, years of education, disease duration, total scores of UPDRS, UPDRS I, UPDRS II, UPDRS III or UPDRS IV.

Table 1: Demographic and clinical information of rs11240572-A carrier and non-carrier PD patients

| Characteristics | A carriers (n = 17) | A non-carriers (n = 34) | P |
|-----------------|-------------------|------------------------|---|
| Gender (male/ female) | 9/8 | 14/20 | 0.426 |
| Age | 60.39 ± 12.58 | 61.74 ± 8.73 | 0.655 |
| Education | 8.88 ± 5.23 | 7.53 ± 5.44 | 0.401 |
| Disease duration | 5.87 ± 4.55 | 5.37 ± 5.32 | 0.484 |
| UPDRS score | 30.53 ± 15.90 | 35.15 ± 16.29 | 0.332 |
| UPDRS I score | 1.41 ± 1.58 | 1.82 ± 1.90 | 0.517 |
| UPDRS II score | 8.06 ± 5.07 | 9.09 ± 6.00 | 0.547 |
| UPDRS III score | 19.76 ± 10.63 | 22.94 ± 11.40 | 0.368 |
| UPDRS IV score | 1.29 ± 1.40 | 1.29 ± 1.53 | 0.900 |
| Tremor score | 3.59 ± 2.98 | 3.29 ± 2.69 | 0.800 |
| Rigidity score | 4.71 ± 3.20 | 5.65 ± 3.98 | 0.493 |
| Bradykinesia score | 7.41 ± 4.98 | 9.50 ± 5.63 | 0.262 |
| Posture/gait score | 2.88 ± 1.22 | 3.12 ± 2.41 | 0.847 |
| HY scale | 2.27 ± 0.47 | 2.24 ± 0.53 | 0.720 |
| MMSE | 27.65 ± 2.60 | 25.88 ± 4.80 | 0.220 |

Data are shown as the mean ± standard deviation
“A carriers” represents the participants with at least one rs11240572 A allele
“A non-carriers” represents the participants without rs11240572 A alleles
PD Parkinson’s disease, UPDRS Unified Parkinson’s Disease Rating Scale, HY Hoehn and Yahr, MMSE Mini-Mental State Examination
tremor, rigidity, bradykinesia, posture/gait, H-Y scale stage or MMSE.

**Group differences in VBM**

After taking sex, age and TIV as covariates, carriers showed increased GMV recognized in the left basal ganglia (mainly in caudate nucleus and putamen), while decreased GMV was detected in the left temporoparietal cortex (mainly in the superior temporal gyrus and supramarginal gyrus) compared with non-carriers (threshold GRF of voxel $P = 0.005$, cluster $P = 0.05$, the corrected cluster size was greater than 861 voxels). The results of the two-sample $T$ test are shown in Fig. 1 and Table 2. However, these GMV differences did not survive after applying a stricter statistical threshold of voxel $P = 0.001$, cluster $P = 0.05$.

**Correlation analysis**

Correlation analyses are summarized in Fig. 2. We found that the GMV of the left basal ganglia was positively correlated with UPDRS III ($r = 0.365$, $p = 0.034$) and bradykinesia.

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**Fig. 1** Anatomical regions of significant GMV alterations between rs11240572-A carriers and non-carriers. PARK16 rs11240572-A carriers have increased GMV in the left caudate nucleus and putamen, and decreased GMV in the left superior temporal gyrus and supramarginal gyrus compared with non-carriers.
Table 2: Anatomical regions showing significant GMV alterations between rs11240572-A carrier and non-carrier PD patients

| Regions (AAL)                  | Side | Peak MNI coordinate | t statistics | Cluster size |
|--------------------------------|------|---------------------|--------------|-------------|
| Cluster1                       |      | −4.5 18 10.5        | 4.26         | 1009        |
| Caudate nucleus                | L    |                     |              | 442         |
| Putamen                        | L    |                     |              | 208         |
| Cluster2                       |      | −45 −36 7.5         | −4.71        | 1775        |
| Superior temporal gyrus        | L    |                     |              | 794         |
| Supramarginal gyrus            | L    |                     |              | 593         |

Cluste1: left basal ganglia; Cluster2: left temporoparietal cortex; GFR: voxel \( p = 0.005 \), cluster \( p = 0.05 \)

GMV gray matter volume, PD Parkinson’s disease, L Left, MNI Montreal Neurological Institute, AAL automated anatomic labeling, GFR Gaussian random field correction

Fig. 2 Correlation analysis between regional GMV residual and clinical assessments after regressing out age, sex and total intracranial volume (TIV). In rs11240572 variant non-carriers, left basal ganglia GMV is positively correlated with UPDRS III \( (r = 0.365, p = 0.034) \) and bradykinesia \( (r = 0.352, p = 0.042) \), while negative correlation with MMSE scores is observed \( (r = −0.344, p = 0.047) \). Similarly, the same negative correlation was observed between the left basal ganglia GMV and MMSE in the carriers \( (r = −0.666, p = 0.004) \). The left temporoparietal cortex GMV was positively associated with cognitive function in both groups (carriers, \( r = 0.692, p = 0.002 \); non-carriers, \( r = 0.879, p < 0.001 \)).

\( (r = 0.352, p = 0.042) \), but negatively correlated with MMSE scores \( (r = −0.344, p = 0.047) \) in non-carriers. Similar negative correlation was observed between basal ganglia GMV and MMSE in the carriers \( (r = −0.666, p = 0.004) \), but no correlations were found between the altered GMV and motor symptoms. Moreover, the GMV of left temporoparietal cortex was positively associated with cognitive function in both groups (carriers, \( r = 0.692, p = 0.002 \); non-carriers, \( r = 0.879, p < 0.001 \)).

After matching the sample size of non-carriers to the level of the carrier sample (Fig. 3), we still found similar clinical correlations of the left basal ganglia GMV in non-carriers (UPDRS III, \( r = 0.525, p = 0.030 \); bradykinesia, \( r = 0.511, p = 0.036 \); MMSE, \( r = −0.517, p = 0.034 \)) and carriers (MMSE, \( r = −0.614, p = 0.009 \)). Correlations between the left temporoparietal cortex and MMSE in carriers \( (r = 0.661, p = 0.004) \) and non-carriers \( (r = 0.724, p = 0.001) \) were observed.
Discussion

We believe that this is the first study to investigate the effect of the PARK16 rs11240572 variant on the GMV of the whole brain in PD patients by VBM analysis. Our results showed that patients with the variant had a different brain structure to a control group in a Chinese PD cohort. The differences of brain structure were located at basal ganglia and the temporoparietal cortex, hinting that it might play a key role in the pathogenesis of PD.

PARK16 is located on chromosome 1q32, and seven of its SNPs (rs16856139, rs823128, rs823122, rs947211, rs823156, rs708730 and rs11240572) were found to be risk factors for PD in a Japanese cohort (Satake et al. 2009). However, subsequent studies demonstrated that some SNPs, including rs11240572, were in fact associated with PD risk reduction in a Chinese population (Chang et al. 2013; Tan et al. 2010; Yan et al. 2011). The SNP rs11240572 is located in the peptidase M20-domain-containing protein 1 (PM20D1) gene, which is associated with metal ion binding and peptidase activity (Kimura et al. 2006). Brain iron deposition is closely related to PD, as the accumulation of iron in the substantia nigra has been recognized as a major characteristic of PD (Guan et al. 2017; Sanchez-Mut et al. 2018). However, whether rs11240572 also affects iron homeostasis in the brain need to be further elucidated.

Our study found that PARK16 rs11240572-A variant carriers had increased GMV of the basal ganglia, along with better motor and cognitive scores, which were slightly better on the numerical in carriers, but the difference was not significant compared to non-carriers. These results suggest that the rs11240572-A variant may slow down the progression of PD, consistent with previous reports suggesting that it has a protective function (Chang et al. 2013; Tan et al. 2010; Yan et al. 2011). The pathogenesis of PD involves the basal ganglia, including the caudate nucleus and putamen, resulting in impaired movement control (Fang et al. 2020) as well as poor cognition such as impaired learning, planning, memory and emotion (Obeso et al. 2008; Gao et al. 2017). Previous studies have found that PD patients have a variable GMV in the putamen, caudate nucleus, cerebellum and cerebral cortex. Brockmann et al. (2011) reported a decreased basal ganglia GMV, especially in the putamen of LRRK2 mutation patients. Similarly, Reetz et al. (2009) showed that...
subjects with a parkin gene mutation had decreased basal ganglia GMV. In contrast, two studies (Burciu et al. 2018; Vilas et al. 2016) found no change in the brain structure of patients with either a LRRK2 mutation or a SNCA variant. We use a preliminary threshold with GRF correction of voxel \( p = 0.005 \) and cluster \( p = 0.05 \). Previous study has reported positive results of VBM using volumetric threshold of \( p < 0.005 \) and cluster level of \( p < 0.05 \). (Engelhardt et al. 2021). No findings survived with a threshold of voxel \( p < 0.001 \) and cluster \( p < 0.05 \).

In the non-carrier group, we found left basal ganglia GMV was positively correlated with UPDRS III and bradykinesia, but negatively correlated with MMSE using correlation analysis. The same negative correlation was observed with MMSE in the carriers. Several studies has found similar results and explained these phenomena as plasticity compensation of neurons (Binkofski et al. 2007; Brockmann et al. 2011). Changes in GM signal extracted from MRI images can reflect various processes, such as changes in the number of synapses, the number of glial cells, the number of neurons, dendritic structure, vasculature, blood volume and circulation and myelination. (Spruston et al. 2008, Hoekzema et al. 2017). In the structure of neurons, dendrites are key sites for synaptic integration and neuronal connectivity in the brain. Kassem et al. (2013) demonstrated that gray matter loss determined by MRI is primarily due to loss of dendrites and their synapses in stressed mice. Therefore, we hypothesized that the increase in GMV in the basal ganglia, indicating the upregulation of functional dendrites and synapses, may be a compensatory alteration secondary to the dopamine depletion in this region in a special disease stage, and probably in the following years the volume would be decreased with the exhaustion of the ability to maintain the brain function. Of course, many studies have demonstrated that the smaller the gray matter volume is, the greater the damage is, and the worse the disease is. However, no significant correlations were found between the altered GMV and motor symptoms in rs11240572-A carriers. Inconsistencies in results may be due to differences in sample size, research group and disease stage. To figure out the effect of group size on our correlation results, we reduced the sample size of non-carriers to the level of the carrier sample and still found the same correlations in both groups, which strengthen the speculation about a meaningful group difference between the correlation findings of both groups.

Previous studies suggested that PD patients with mild cognitive impairment might suffer from atrophy of the temporal and parietal areas (Pereira et al. 2014; Zhang et al. 2018). In contrast, Biundo et al. (2013) demonstrated that mild cognitive impairment in PD was associated with significant regional thickening in the left temporal–occipital lobe and the thickening has been explained by compensatory neuroplasticity. The association between temporal GMV and cognition impairment in PD is therefore still controversial. Our study showed decreased GMV of both the left superior temporal gyrus and supramarginal gyrus that was positively associated with cognitive function in rs11240572-A carriers as well as non-carriers, which might indicate the temporal and parietal areas may participate in the cognitive function in PD patients.

In addition, instead of bilaterally symmetrical GMV alterations, our study showed differences in the left basal ganglia and left temporoparietal cortex between the two groups of patients. Recently, Garrido et al. (2020) reported the left hemispheric predominance of nigrostriatal deficit in right-handed PD. Thus, it might be on account of the fact that most of our subjects were right-handed or the limited sample size. Further studies will be needed to address the issues.

There were several limitations in the present study. First, because of the difficulty in acquiring genetic and imaging data from the same participants, the sample size recruited in the present study is relatively small. We subdivided the patients according to their genetic profiles, which may lead to the different group sizes. Due to the limited sample size, the results should be interpreted cautiously and larger studies are needed to validate our findings further. Secondly, participants were only estimated by VBM, which can lack accuracy. Therefore a variety of neuroimaging approaches, such as functional MRI and quantitative susceptibility mapping, should be incorporated into future work to elucidate the genetic effect in PD. In spite of these limitations, our study provides novel clues for future efforts to explore the possible pathological mechanism underlying PARK16-related PD.

**Conclusion**

In conclusion, the present study showed that PARK16 rs11240572 variant carriers have altered GMV in the left basal ganglia and left temporoparietal cortex, indicating that this SNP might play an important role in the pathogenesis of PD. Further research on the function and mechanism of the PARK16 locus is required.

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Declarations

Conflict of interest The authors declare no conflicts of interest.

Ethics statement This study was approved by the ethics committee of the Second Affiliated Hospital of Zhejiang University School of Medicine. All participants gave informed consent for their participation.

Data availability statement The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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