Analysis of 3D plastic deformation in vertical rolling based on global weighted velocity field

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Abstract
Energy method is an essential theoretical approach to analyze plastic forming, which is widely used in rolling process. An analysis model for vertical rolling process is established according to energy theory. By using global weighted method firstly, the 3D continuous velocity field, strain rate field and the corresponding power functional are proposed. The unknown variables are calculated numerically based on the principle of minimum energy. Then, deformation parameters and rolling force are determined. The analysis on specific examples shows that the theoretical prediction value of weighted model is in good agreement with experimental results. Moreover, the effects of several shape and rolling parameters on rolling force, rolling power and edge deformation are studied. Both the width reduction rate and initial slab thickness have significant influences on dog-bone size and rolling force. A wider slab slightly increases the nonuniformity of dog-bone deformation. And the increase of vertical roller radius can weaken the edge deformation.

Keywords Vertical rolling · Energy method · Weighted velocity field · 3D plastic deformation

1 Introduction

In continuous hot rolling process, the vertical rolling is often arranged at initial stage to fix the width and improve the rectangular degree of the casting slab. Quite distinct from the entire and uniform plastic deformation in flat rolling, the nonuniform plastic deformation only occurs at the edge zone, which leads to the complex rules of rolling force and plastic deformation. In order to guarantee the quality requirement of steel, establishing an accurate mathematical model for vertical rolling is of great significance.

Variational principle, which can be summarized as presenting the kinematically admissible velocity field at first, and then determining the real plastic flow by minimizing the power functional, is widely used in rolling research. Oh and Kobayashi [1] established the kinematically admissible velocity field based on energy method, and obtained rolling force and width spread of 3D rolling deformation numerically. Kato et al. [2] weighted the velocity components in x and z directions, and obtained the local weighted velocity field with the corresponding numerical results of rolling force and torque. By using upper bound approach, Avitzur and Pachla established the continuous deformation [3, 4] and triangular [5] velocity fields in strip rolling, respectively. The total power functional contains four independent process parameters (relative thickness, reduction, friction and net front-back tension). Dong et al. [6] built a simplified 3D theoretical model for rail rolling by universal mill firstly. The kinematically admissible velocity fields of the web, head and base of rail were determined, respectively. The experiment results verified the reliable and feasible of the model. Zhang and Cui [7] proposed a mixed analytical–numerical method to predict the velocity field and strain distribution during multi-pass plate hot rolling. After solving the velocity field, strain rate field and rolling force, the thermal model coupling with plastic deformation is exploited through series function solution to determine temperature distribution and calculate the flow stress. Based on elastic and plastic mechanics, Sun et al. [8] gave a hyperbolic sine velocity field to predict rolling force in tandem cold rolling. The theoretical prediction was compared with other researchers’ models and online measured results. For the analysis of hot strip rolling, Zhang et al. [9] simplified the weighted velocity field and presented the analytical expression of total power.
functional. The effects of various rolling conditions such as thickness reduction, friction factor, shape factor, upon separating force, location of neutral angle and stress state coefficient were discussed systematically. Cao et al. [10] set up a logarithmic velocity field and the corresponding total power was obtained by using linear equal area (EA) yield criterion and co-line vector inner product method. The rolling force and torque are received according to the principle of minimum energy. Zhang et al. [11] proposed a 3D velocity field for plate rolling by global weighted method. After deducting the relationship between weighting coefficient and width spread, the rolling force and energy parameters are calculated. Finally, a series of laws between the mechanical parameters and shape parameters are put forward. In order to obtain the analytical equation of power functional in hot strip finishing mill, Ma et al. [12] assumed a sine velocity field and determined average deformation resistance by thermo-mechanical coupled analysis. The effects of various rolling conditions, such as thickness reduction, friction factor and shape factor, on rolling force, location of neutral angle and stress-state coefficient are discussed systematically. Peng et al. [13, 14] proposed parabolic and cylindrical angle and stress-state coefficient are discussed systematically. Cao et al. [10] set up a logarithmic velocity field and the corresponding total power functional is deduced. After minimizing the total power, the analysis solution of mechanics parameters is obtained and discussed by minimizing the total power. Based on a tangent velocity and the linear mean yield (MY) criterion, Li et al. [15] predicted the rolling force in hot strip rolling process and compared the result with the experimental data. Recently, energy method has been applied in the theoretical research on vertical rolling process. Yun et al. [16] proposed a dog-bone model with exponential function and quartic function, and the velocity field was built based on stream function and volume incompressibility condition. According to the results of finite element simulation, some parameters of dog-bone shape and rolling force are fitted. Li et al. [17] used a continuous symmetric parabola to describe dog-bone shape and established a 2D kinematically admissible continuous velocity field as well as the corresponding upper deformation power functional. The results are verified by experiment data and traditional models. Simplifying the vertical rolling process as a 2D plastic flow, Liu et al. [18, 19] established the sine and parabolic dog-bone models, respectively. Based on rigid–plastic theory, volume invariant condition and the property of flow function, the total power functional is deduced. After minimizing the total power, the deformation shape parameters and rolling force were obtained, which are basically consistent with the measured values. On this basis, the 3D mathematical model is established by using dual-stream function method. The results show the improvement of accuracy and application [20–22].

Through the analysis of the above studies, it can be seen that the theoretical study on flat rolling is complete and in-depth, however, lack of research on vertical rolling. The calculation results are far from each other. In addition, the semi-theoretical and 2D models have a limited application. Models cannot be in good agreement with reality especially in big reduction rate. Although dual-stream function model performs better, it brings a great difficulty to the selection of velocity field and the calculation of power. $\Gamma$ function and parabola function are used to describe dog-bone shape. Then, a new global weighted velocity field with the corresponding strain rate field is successfully proposed to analyze 3D deformation in vertical rolling process. Based on upper bound analysis, the rolling force and dog-bone deformation are solved numerically. The calculated results are compared with experimental and theoretical models, which verified the effectiveness and advantage of the presented weighted model. Finally, the mechanical parameters and edge deformation are studied by using the established model.

## 2 Vertical rolling model

### 2.1 Analysis of plastic deformation and basic assumptions

Vertical–horizontal rolling process in hot tandem rolling is shown in Fig. 1. The continuous casting slab passes through the vertical roller gap at a certain initial speed. The width of the slab is reduced in order to achieve the requirement of horizontal rolling. During vertical rolling, a 3D plastic deformation occurred, which result in obvious bulge at the edge of the steel slab. But the deformation is mainly restricted in a small zone while the middle part in width direction remains unchanged because of the high ratio of width to thickness. The rolled cross section can be regarded as a “dog-bone,” as the insert of Fig. 1 illustrated. The rolling velocity is $v_0$. The initial thickness of steel slab is given by $H_0$. The width of the steel slab before and after rolling is given by $W_0$ and $W_1$, respectively.

Before the mechanical analysis of vertical rolling process, the following assumptions are given:

1. The vertical rollers are rigid bodies and perpendicular to the rolling direction without assembly error. The radial displacement of rollers in rolling process is very small and can be ignored.
2. The steel slab is analyzed as rigid–plastic material whose microstructure is uniform and isotropic. The upper and lower as well as the left and right parts of the slab are symmetrical with consistent force and plastic deformation rules.
3. There is no tension, and the bite zone material is in steady stage without width lose.
4. The influences of environmental factors (temperature, etc.) on mechanical properties are very small and not considered.
2.2 Γ-parabola function dog-bone model

According to the symmetry of deformation, a quarter of the deformation zone is selected for mechanical analysis. As shown in Fig. 2, a 3D coordinate system is established. The center of entrance cross section is chosen as the coordinate origin and coordinate axis x, y and z represent the rolling, thickness and width directions, respectively.

In Fig. 2a, half of the slab width before and after rolling is given by \( w_0 \) and \( w_1 \), respectively. The unilateral width reduction \( \Delta w = w_0 - w_1 \). The vertical roller radius is denoted by \( R \). The bite angle \( \theta = \cos^{-1}\left(\frac{R-\Delta w}{R}\right) \). The projected length of the roller-slab contact arc in the rolling direction \( l = R \sin \theta \). Half of the width, the unilateral width reduction and the contact angle at any position in bite zone are \( w_x = w_1 + R - \sqrt{R^2 - (l-x)^2} \), \( \Delta w_x = w_0 - w_x \) and \( \phi = \sin^{-1}\left(\frac{w_1}{R}\right) \), respectively. The relationship between \( dx \) and \( d\phi \), and the first- and second-order derivative equation of \( w_x \) are expressed as follows:

\[
dx = -R \cos \phi \, dx
\]

\[
w'_x = -\frac{l-x}{\sqrt{R^2 - (l-x)^2}} = -\tan \phi
\]

\[
w''_x = \frac{R^2}{[R^2 - (l-x)^2]^{3/2}}
\]

As Fig. 2b shows, the stem area of dog-bone can be regarded as rigid area while the end zone is plastic area. The half thickness of steel slab in bite zone is given by \( h_{(x,z)} \). In deformation zone, \( h_{bx} \), \( h_{rz} \), \( l_{cx} \) and \( l_{px} \) represent peak height, edge height, peak position and the length of dog-bone, respectively.

Take the peak of dog-bone as the boundary to divide the dog-bone into two parts. Use Γ function and parabola function to describe the half thickness of dog-bone in the center part \((0 < z < w_x - 2d_x)\) and the edge part \((w_x - 2d_x < z < w_x)\), respectively, and assume an inverse proportion between the increase value of half thickness at dog-bone edge \( \Delta h_x \) and the friction factor \( m \). Then, two parts of the dog-bone thickness are shown as follows:
\[ h_a = h_0 + kh_0 \frac{\Delta w_x}{d_x} \left( \frac{w_x - z}{d_s} \right)^2 e^{-\frac{z}{\Delta x}} \] (1)

\[ h_\beta = h_0 + \frac{4k}{e^2} h_0 \frac{\Delta w_x}{d_x} - \frac{mk}{e^2} h_0 \frac{\Delta w_x}{d_x} \left( \frac{w_x - z}{d_s} - 2 \right)^2 \] (2)

where \( k \) is the undetermined constant, \( m \) is the friction factor, and \( d_x = \frac{1}{6} (w_x - w_y) \) [18] expresses the half distance from peak position to slab edge at arbitrary cross section.

The obtained expressions of dog-bone model satisfy the following boundary conditions:

\[
\begin{align*}
\begin{cases}
  v_{xI} = v_0 \\
v_{yI} = \frac{v_0}{h_0} \frac{\partial h_\beta}{\partial x} = \frac{k}{e^2} v_0 [4t_x - mt_x (u - 2)^2 - 2mt(u - 2)u'] \\
v_{zI} = v_0 \frac{\partial w_x}{\partial x} = \frac{4k}{e^2} v_0 \left( \Delta w_x u' + \frac{2}{3} w_x' - w_x' \right) + \frac{mk}{e^2} v_0 \left[ \frac{1}{3} w_x' (u - 2)^3 - \Delta w_x (u - 2)^2 u' \right]
\end{cases}
\end{align*}
\]

\[ \frac{\partial h_a(x,z)}{\partial z} \bigg|_{z=-w_c-2d_x} = \frac{\partial h_\beta(x,z)}{\partial z} \bigg|_{z=-w_c-2d_x} = 0 \]

\[ h_a(x, w_c - 2d_x) = h_\beta(x, w_c - 2d_x) = h_0 + \frac{4k}{e^2} h_0 \frac{\Delta w_x}{d_x} = h_{bx} \]

\[ h_\beta(x, w_c) = h_0 + \frac{4(1-m)k}{e^2} h_0 \frac{\Delta w_x}{d_x} = h_{bx} \]

2.3 Velocity field and strain rate field of plastic flow

Because of the contact of vertical roller and the resulting friction, there will be a certain change of rolling direction velocity at the edge of the slab, especially under big reduction rate. In previous studies [17–19], it seems feasible to simplify vertical rolling process as 2D plastic deformation, however, also bring a few impacts on accuracy. Considering 3D plastic flow, two simple deformation velocity fields are established. The kinematically admissible velocity field is determined by using global weighted method.

1. Velocity field I

For velocity field I assumes a constant rolling direction velocity \( v_0 \), the whole reduced metal flows along the thickness direction. The velocity field and strain rate field can be obtained according to the property of stream function [18, 19], incompressibility condition as well as the boundary condition \((w_x (w_x - 2d_x)) = w_\beta (w_x - 2d_x))\). The velocity field and strain rate field in center part and edge part are calculated, respectively, as follows:

\[
\begin{align*}
\begin{cases}
  v_{xII} = v_0 \\
v_{yII} = \frac{v_0}{h_0} \frac{\partial h_\beta}{\partial x} = \frac{k}{e^2} v_0 [4t_x' - mt_x' (u - 2)^2 - 2mt(u - 2)u'] \\
v_{zII} = v_0 \frac{\partial w_x}{\partial x} = \frac{4k}{e^2} v_0 \left( \Delta w_x u' + \frac{2}{3} w_x' - w_x' \right) + \frac{mk}{e^2} v_0 \left[ \frac{1}{3} w_x' (u - 2)^3 - \Delta w_x (u - 2)^2 u' \right]
\end{cases}
\end{align*}
\]

\[
\begin{align*}
\begin{cases}
  \dot{\epsilon}_{xII} = 0 \\
\dot{\epsilon}_{yII} = \frac{v_0}{h_0} \frac{\partial h_\beta}{\partial x} = \frac{k}{e^2} v_0 [4t_x' - mt_x' (u - 2)^2 - 2mt(u - 2)u'] \\
\dot{\epsilon}_{zII} = -\frac{2}{3} \frac{\partial w_x}{\partial x} = -\frac{k}{e^2} v_0 [4t_x' - mt_x' (u - 2)^2 - 2mt(u - 2)u']
\end{cases}
\end{align*}
\]

where \( u = \frac{w_x - z}{d_x}, t = \frac{\Delta w_x}{d_x}, t_x' = -\frac{w_x' + \Delta w_x u'}{d_x}, u_x' = \frac{w_x' - (w_x - z) u'}{d_x} \), \( \Delta w_x = -k \Delta w_x u^2 + 2u + 2 \), \( m = \frac{w_y}{w_x} \), \( w_x' = \frac{k}{e^2} v_0 \left[ \frac{1}{3} w_x' (u - 2)^3 - \Delta w_x (u - 2)^2 u' \right] \) are lateral displacement functions under the assumption of plane deformation.

2. Velocity field II

In Yun’s FEM [16], the influence of vertical rollers leads to a relative change of rolling direction velocity \( v_x \). The velocity at entry section is the minimum, then increases gradually and reaches the initial velocity \( v_0 \) again at exit, which is similar to flat rolling. Meanwhile, the change of \( v_x \) decreases when it is near to the width middle. Therefore, the velocity field II assumes no thickness displacement as well as a parabolic change of \( v_x \) from center to edge at the same cross section. Then, \( v_{xII} \) can be deduced based on Cauchy equation, incompressibility condition and the boundary condition. The velocity field and strain rate field are given as follows:

\[
\begin{align*}
\begin{cases}
  v_{xII} = v_0 + \frac{3v_0}{w_x^2} \left( \frac{w_x}{w_x^2} - 1 \right)^2 \\
v_{yII} = 0 \\
v_{zII} = 3v_0 \left( \frac{w_x}{w_x} - 1 \right) \frac{w_x'^3}{w_x^3} + v_0 \frac{w_x'^3}{w_x^3}
\end{cases}
\end{align*}
\]
\[
\begin{aligned}
\epsilon_{\beta I} &= -9\nu_0 \left( \frac{w_i}{w_s} - 1 \right) \frac{v_w^2}{w_i^2} - 3 \nu_0 \frac{w_i^2}{w_s^2} \epsilon_{\beta I} \\
\epsilon_{\beta II} &= 9\nu_0 \left( \frac{w_i}{w_s} - 1 \right) \frac{v_w^2}{w_i^2} + 3 \nu_0 \frac{w_i^2}{w_s^2} \epsilon_{\beta II} = 0
\end{aligned}
\] (8)

Weighting velocity field and strain rate field components of Eqs. (3)–(8) in three directions with weight coefficient \( g \) simultaneously, the field components become:

\[
\begin{aligned}
v_{ix} &= g v_{iI} + (1 - g) v_{iII} \\
v_{iy} &= g v_{iI} + (1 - g) v_{iII} \\
v_{iz} &= g v_{iI} + (1 - g) v_{iII}
\end{aligned}
\] (9)

\[
\begin{aligned}
\dot{\epsilon}_{ix} &= g \dot{\epsilon}_{iI} + (1 - g) \dot{\epsilon}_{iII} \\
\dot{\epsilon}_{iy} &= g \dot{\epsilon}_{iI} + (1 - g) \dot{\epsilon}_{iII} \\
\dot{\epsilon}_{iz} &= g \dot{\epsilon}_{iI} + (1 - g) \dot{\epsilon}_{iII}
\end{aligned}
\] (10)

where \( i = \alpha, \beta \).

When \( z = w_x \), the velocity field satisfies the boundary condition:

\[
v_{ix} = g v_{iI} + (1 - g) v_{iII} = g v_0 + (1 - g) \left[ v_0 + 3 \nu_0 \left( \frac{w_i}{w_s} - 1 \right) \right] = \frac{w_i}{w_0} v_0
\]

The weight coefficient can be calculated as:

\[
g = \frac{2}{3}
\]

The relationship between the undetermined coefficients \( k \) and \( g \) is confirmed by the flow volume per second \( U \) = constant at any cross section:

\[
k = \frac{e^2}{18 - \frac{8}{3} m}
\]

The above velocity fields satisfy the following boundary conditions:

At the entry cross section: \( v_{\alpha y} (0, 0, z) = \dot{v}_{\beta y} (0, 0, z) = 0 \).

At the exit cross section: \( v_{\alpha y} (l, y, z) = \dot{v}_{\beta y} (l, y, z) = 0 \);

\( v_{\alpha z} (l, y, z) = \dot{v}_{\beta z} (l, y, z) = 0 \).

At the boundary of two parts: \( v_{\alpha z} (x, y, w_x - 2d_x) = \dot{v}_{\beta z} (x, y, w_x - 2d_x) \)

\( v_{\alpha y} (x, y, w_x - 2d_x) = \dot{v}_{\beta y} (x, y, w_x - 2d_x) \)

\( v_{\alpha z} (x, y, w_x - 2d_x) = \dot{v}_{\beta z} (x, y, w_x - 2d_x) \)

\[
\dot{\epsilon}_{\alpha x} + \dot{\epsilon}_{\alpha y} + \dot{\epsilon}_{\alpha z} = 0; \dot{\epsilon}_{\beta x} + \dot{\epsilon}_{\beta y} + \dot{\epsilon}_{\beta z} = 0.
\]

2.4 Twin shear stress yield criterion

Twin shear stress (TSS) yield criterion [23] locus on the \( \pi \)-plane is shown in Fig. 3, which is obviously the circumscribed hexagon of Mises locus. TSS yield criterion assumes that yielding begins when the Haigh–Westergaard stress space is \( (\sigma_1 \geq \sigma_2 \geq \sigma_3) \) [24]:

\[
\tau_{12} + \tau_{13} = \sigma_1 - \frac{1}{2} (\sigma_2 + \sigma_3) = \sigma_x, \text{if } \sigma_2 \leq \frac{1}{2} (\sigma_1 + \sigma_3).
\]

\[
\tau_{13} + \tau_{23} = \frac{1}{2} (\sigma_1 + \sigma_3) - \sigma_3 = \sigma_y, \text{if } \sigma_2 \geq \frac{1}{2} (\sigma_1 + \sigma_3).
\]

The corresponding specific plastic work rate is:

\[
D(\dot{\epsilon}_y) = \frac{2}{3} \sigma_s (\dot{\epsilon}_{\max} - \dot{\epsilon}_{\min})
\] (11)

where \( \dot{\epsilon}_{\max} \) and \( \dot{\epsilon}_{\min} \) are the maximum and minimum principal strain rates, respectively.

2.5 Total power functional model

According to the first variational principle of rigid–plastic material, the total power functional consists of three parts:

\[
J^* = \dot{W}_i + \dot{W}_s + \dot{W}_f
\] (12)
where $W_p, W_s, W_f$ represent plastic deformation power, shear power and friction power, respectively.

According to TSS yield criterion, the plastic deformation power is:

$$W_p = \int_V D(\dot{\varepsilon}_p) dV = \frac{2}{3} \sigma_s \int_V \dot{\varepsilon}_{\text{max}} - \dot{\varepsilon}_{\text{min}} dV$$

$$= \frac{2}{3} \sigma_s \int_0^l \int_0^{w-2d} \int_0^{h_y} \dot{\varepsilon}_{\text{max}} - \dot{\varepsilon}_{\text{min}} dydzdx$$

$$+ \frac{2}{3} \sigma_s \int_0^l \int_{w-2d}^w \int_0^{h_y} \dot{\varepsilon}_{\text{max}} - \dot{\varepsilon}_{\text{min}} dydzdx$$

$$= \int_S \bar{\tau} \cdot [\Delta \vec{v}] \cos (\vec{\tau}, \Delta \vec{v}) ds$$

$$= 4m \tau_s \int_0^l \int_0^{h_y} \sqrt{(v_y|_{z=w_s} - v_y|_{z=w_s})^2 + (v_z|_{z=w_s} - v_R |z=w_s| \cos \alpha)^2 + (v_z|_{z=w_s} - v_R |z=w_s| \sin \alpha)^2} \sec adydx$$

When the parameters, such as $h_0, \Delta w, v_R, R, \sigma_s, m$, are given, the undetermined constant $d$ can be obtained when $J^*$ attains the minimum value $J^*_{\text{min}}$ [25]. Substituting optimal value $d$ into Eqs. (1), (2) and (17), the dog-bone shape and total power functional are received. The rolling force per unit slab thickness $F_0$ can be achieved by [26]:

$$J^*_{\text{min}} = 2M \frac{y_R}{R} = 4h_0 \chi F_0 \omega$$

where $M$ is the rolling torque, $\chi$ is the arm factor, which is selected as 0.4 [27].

3 Numerical research

3.1 The calculation and discussion of edge deformation

Shibahara et al. [28] carried out a vertical–horizontal rolling experiment with a simulation ratio of 1:10, in which the parameters of the fourth vertical rolling process are: $w_0 = 666.5mm, w_1 = 640mm, h_0 = 48.5mm, R = 400mm, \omega_R = 3rad/s$. The cross section of the dog-bone shape at exit calculated by weighted model ($m = 0.3$) is compared with Shibahara’s result, Xiong’s model [29], Okado’s model [30], Yun’s semi-theoretical model [16] and 2D theoretical model ($v_s = v_0$ at any position), as shown in Fig. 4.

The values of $h, r$ predicted by weighted model are only 1% and 0.4% errors compared with Xiong’s results, respectively, and are also less than 4% with Okado’s and Yun’s results concurrently. It is worth noting that weighted
model’s peak position is very close to Yun’s and Xiong’s results, however, comparatively further from Okado’s result. This is due to the vertical roller radius, which is proved to effect dog-bone deformation in previous study [31], is ignored in exponential model fitted by Okado. Due to the consideration of rolling direction flow, the weighted model predicted less degree of dog-bone deformation than 2D model obviously, which is also closer to the experiment. Based on the above analysis, it can be seen that the weighted model has high calculation accuracy, and is more reasonable than 2D theoretical model.

When the equipment and processing parameters are determined as $m = 0.3, v = 1.2 \text{ m/s}$, the edge deformation under the width reduction of 0.03~0.05 is studied. Figures 5, 6, 7 and 8 show the received dog-bone shape parameters compared with other models.

It can be seen from Fig. 5 that as width reduction rate increases, edge deformation moves inward with a notable and linear raise of $\frac{h_b}{h_0}$, $\frac{h_r}{h_0}$ and $\frac{l_p}{w_0}$. In the insert of Fig. 6a, b, shape parameters have a similar law. Another discovery is the decrease of $\frac{h_b}{h_0}$ and $\frac{l_p}{w_0}$ in Fig. 6a, which indicates the more uniform deformation with less plastic flow along the thickness direction. Figure 7 shows that slab width only has tiny effect on dog-bone parameters. The slight “towering” of peak can be attributed to the expand of rigid zone in middle part. Increasing the radius of vertical roller is beneficial to weaken the nonuniformity of edge deformation to a certain extent, which is verified by the calculated result as shown in Fig. 8: lower shape and the inward of the peak.

### 3.2 The calculation and discussion of rolling force and power

The ratio of plastic deformation power $\dot{W}_p$, shear power $\dot{W}_s$ and friction power $\dot{W}_f$ in total power $J^*$ are compared in Fig. 9. In presented model, the velocity variation along rolling direction is considered, which lead to a larger ratio of shear power and friction power. Meanwhile, the less plastic deformation ratio can be explained by the decrease of velocity and displacement along thickness direction result from the proposal of weight coefficient.

Li [17] conducted vertical rolling experiments by copper and aluminum. The length and width of the slab are 300 mm and 60 mm, respectively. The rollers are 50 mm in radius. The other parameters are shown in Table 1. Figure 10 shows the rolling force calculated by weighted model compared with measured value. It shows that the

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**Fig. 4** Comparison of dog-bone shape acquired by the six models

**Fig. 5** The effects of $\frac{\Delta w}{w_0}$ on (a) height and (b) length of dog-bone ($\frac{h_b}{w_0} = 0.1, \frac{h_r}{w_0} = 0.7$)
results are in good agreement within 12% error which proved the validity and precision of the presented model.

A total of 231 groups of experiments were carried out at the range of $w_0 = 550\,mm \sim 800\,mm, \frac{\Delta w}{w_0} = 0.03 \sim 0.05$, $\frac{h_0}{w_0} = 0.06 \sim 0.16, \frac{R}{w_0} = 0.6 \sim 1.1$. The comparison of rolling force calculated by weighted model and Yun’s model is shown in Fig. 11. It can be seen that weighted model is in good agreement with Yun’s model within 10% error. Further research on reduction rate found a less error at around 4%. For weighted model, the predicted dog-bone shape increases linearly with the reduction rate, which result in the uniform rise of rolling force. By contrast, Yun’s model received a wider dog-bone zone, which can be observed in Fig. 4. But the reduction rate makes weaker effect on dog-bone deformation as its increasing (shown in Fig. 5). Therefore, Yun’s model shows higher rolling force while a gradually small raise.

When the equipment and processing parameters are determined as $m = 0.3, v = 1.2\,m/s$ and $\frac{\Delta w}{w_0} = 0.03 \sim 0.05$, the researches on rolling force and power ratio are shown in Figs. 12, 13, 14 and 15. Both edge reduction rate and slab thickness have remarkable effects on rolling force while less impact on power ratio, as Figs. 12 and 13 exhibited. In Fig. 14, the wider of slab, in other words, the lower value of $\frac{h_0}{w_0}$ slightly enhance the edge deformation, which lead to the rise of rolling force and plastic deformation ratio. Figure 15 indicates that the increase of roller radius diminishes the velocity discontinuity at entry section, which result in the limitation of shear power. Another influence is a rise in rolling force and plastic power ratio due to the expansion of deformation zone.
**Fig. 8** The effects of $R$ on (a) height and (b) length of dog-bone ($\frac{\Delta w}{w_0} = 0.05$, $\frac{h_0}{w_0} = 0.08$).

**Fig. 9** The ratio of $W_i$, $W_s$, $W_f$ in $J^*$ predicted by (a) weighted model and (b) dual-steam model ($w_0 = 680\text{mm}$, $\Delta w = 17\text{mm}$, $h_0 = 80\text{mm}$, $R = 580\text{mm}$).

**Table 1** Experiment materials and process parameters

| Materials | Shear yield stress (MPa) | Thickness (mm) | Width after rolling (mm) |
|-----------|--------------------------|----------------|--------------------------|
| Cu        | 217                      | 4.275          | 29.45                    |
|           |                          | 4.3            | 29.45                    |
|           |                          | 4.2            | 29.35                    |
|           |                          | 4.2            | 29.2                     |
| Cu        | 181                      | 3.095          | 28.925                   |
|           |                          | 3.125          | 28.9                     |
|           |                          | 3.13           | 28.85                    |
|           |                          | 3.1            | 28.725                   |
| Al        | 170                      | 2.975          | 29.25                    |
|           |                          | 3.025          | 28.775                   |
|           |                          | 3.05           | 27.625                   |

**Fig. 10** The calculated rolling force by weighted model compared with measured value.
**Fig. 11** Comparison of rolling force calculated by weighted model and Yun’s model

**Fig. 12** The effects of $\Delta w/w_0$ on rolling force and power ratio ($\Delta w/w_0 = 0.03$, $Rw_0 = 0.9$)

**Fig. 13** The effects of $h_0$ on rolling force and power ratio ($\Delta w/w_0 = 0.04$, $Rw_0 = 0.8$)
Fig. 14 The effects of $w_0$ on rolling force and power ratio $(\Delta \frac{w}{w_0} = 0.0667, \Delta \frac{h}{h_0} = 0.15)$

Fig. 15 The effects of $R$ on rolling force and power ratio $(\Delta \frac{w}{w_0} = 0.045, \Delta \frac{h}{h_0} = 0.14)$
4 Conclusions

1. Considering the plastic flow in rolling direction, a global weighted velocity field with the corresponding strain rate field is firstly proposed to analyze the edge deformation in vertical rolling process based on incompressibility condition and rigid–plastic assumption. On this basis, a 3D energy model is solved by minimizing the total power functional. Then, the dog-bone parameters and rolling force are obtained.

2. The dog-bone parameters and rolling force obtained by use of weighted model are compared with several theoretical and experimental models. The results show that the proposed model is of high prediction precision. Moreover, the influences of rolling parameters on dog-bone shape, rolling power and rolling force are studied. Width reduction rate has a noteworthy relationship with dog-bone shape and rolling force but less effect on power ratio, which is similar to initial slab thickness. The increase of slab width will aggravate the nonuniformity of edge deformation, and lead to a minor rise of rolling force and plastic power ratio. The vertical roller with bigger radius can help to control the peak height, while result in the extension of deformation zone as well as the increase of rolling force and plastic power ratio.

3. By specific calculation examples, it is found that the proposed weighted model can accurately predict the edge deformation and rolling force in vertical rolling process, which can satisfy the requirement in industrial production. The research can be helpful for the control of slab shape and the improvement of rolling quality.

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Declarations

Ethics approval The authors declare that the submitted work is original. Neither the entire paper nor any part of its content has been published or has been accepted elsewhere. It is not being submitted to any other journal.

Consent to participate The authors confirm that this research does not involve Human Participants and/or Animals.

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