Family history identifies sporadic schizoaffective disorder as a subtype for genetic studies

**Background:** Schizophrenia is a heterogeneous disorder with strong genetic vulnerability. Family history of schizophrenia has been considered in genetic studies under several models. *De novo* genetic events seem to play a larger role in sporadic cases.

**Aim:** This study used the familial–sporadic distinction with the aim of identifying a more homogeneous phenotype to delineate the genetic and clinical complexity of schizophrenia.

**Setting:** The study was conducted at Weskoppies Hospital, Pretoria, South Africa.

**Methods:** The study included 384 participants with schizophrenia or schizoaffective disorder from the Afrikaner founder population in South Africa who are considered comparable to Caucasian patients from the United States. A comprehensive data capturing sheet was completed.

**Results:** When schizophrenia and schizoaffective disorder diagnoses were considered jointly, we found no significant differences between the sporadic and the familial groups for age at disease onset, season of birth, comorbid diagnoses, clinical symptomatology, history of suicide or marital status. When the diagnoses were examined separately, however, the sporadic schizoaffective disorder, bipolar type, was found to have a significantly lower age at onset (mean 20.6 vs. 25.3 years).

**Conclusion:** The sporadic schizoaffective disorder, bipolar type, forms a more homogeneous subgroup for genetic studies.

**Keywords:** schizophrenia; family history; genetic studies; *de novo* genetic events; familial–sporadic distinction.

**Introduction**

Schizophrenia is considered etiologically as a heterogeneous group of disorders. Against this background of considerable heterogeneity, the presence of mental illness in close relatives (familial cases) versus no history of mental illness in close relatives (sporadic cases) has been considered in genetic studies. This criterion represents one of the multiple strategies used to define a more homogeneous group of subjects.

It is difficult to ascertain familiality accurately, especially in outbred populations where families are largely mobile and historical data on multiple family members are scarce. However, despite the difficulties, it is a variable of fundamental importance in genetic studies, as already demonstrated. Specifically, significantly increased rates of *de novo* copy number variants have been reported in sporadic, as opposed to familial cases of schizophrenia, and *de novo* single-nucleotide polymorphisms are also more common. This provides evidence for an association on a genetic level, with at least some mutations enriched in sporadic cases.

Numerous attempts to parse the complicated, heterogeneous clinical presentation of schizophrenia into homogeneous groups that may be suitable for genetic research have taken place without much success. A number of clinical as well as environmental factors have been considered, such as gender, birth and perinatal insults, season of birth, early childhood pre-psychotic deviant behaviour, substance abuse, several comorbid conditions, specific clinical symptoms, age at the onset of primary illness and suicidality, amongst others.

Phenotype refinement has been beneficial in elucidating the genetic architecture of other neuropsychiatric disorders, such as Alzheimer’s disease and Parkinson’s disease. Gene identification efforts in schizophrenia may also benefit through the definition of more homogeneous phenotypes that allow a meaningful sub-grouping of individuals with the disease.
To our knowledge, a phenotype using a familial versus sporadic sub-grouping has not been characterised. The aim of this study was to use this sub-grouping to determine a more homogeneous phenotype for genetic research via comparison of various clinical, socio-demographic as well as environmental variables in familial versus sporadic schizophrenia.

**Methods**

**Study design and participants**

This study included participants from the collaborative study on Genetics of Schizophrenia led by Dr Karayiorgou since 1997. Participants were drawn from the Afrikaner founder population in South Africa and were initially recruited from inpatient, outpatient and follow-up clinics at Weskoppies Hospital in Pretoria. Previous work has shown that the Afrikaner sample is clinically comparable to samples recruited from outbred populations, including the United States. The Afrikaner sample, however, being derived from a founder population, offers additional advantages for mapping genes caused by reduced genetic heterogeneity and a more uniform environment. The close-knit nature of Afrikaner families and the presence of long-term care mental health facilities in the country allow for a relatively reliable ascertainment of family history of mental illness and subsequent distinction between sporadic and familial groups.

This study made use of a cross-sectional observational design. We used data from the database that has been assembled for the ongoing genetic research on this population. The database contains detailed information obtained by administering in-person the Diagnostic Interview for Genetic Studies (DIGS) to all subjects, medical intake forms, questionnaires on early development, family history, medication history, as well as information derived from witnesses and medical records when necessary. From this extensive database, we selected a subsample of 384 participants for this study. Our criteria for this selection were: (1) subjects met full Diagnostic and Statistical Manual of Mental Disorders (DSM) criteria for schizophrenia or schizoaffective disorder (as determined by the DIGS, which in multi-site test-retest analyses have been found to be reliable for the major DSM diagnostic categories), and (2) subjects in whom family history of schizophrenia or schizoaffective disorder had been ascertained and was available. Any subject with a first-degree, second-degree or third-degree relative diagnosed with schizophrenia or schizoaffective disorder was considered as a familial case for the analyses presented here.

**Measurements**

All data recorded upon the initial recruitment of subjects, as well as any available follow-up data, were reviewed and captured using a data capturing sheet. We assessed basic socio-demographic variables, such as gender, month of birth (grouped to reflect seasonality, with July to September representing the peak months for winter or spring births in the southern hemisphere, previously associated with schizophrenia risk), marital status, employment status and the highest level of education.

We also assessed several clinical variables, including age at onset of the primary psychiatric diagnosis (defined as the age when full DSM criteria were first met), suicide ideation and number of suicide attempts, as well as the presence of comorbid diagnoses. Amongst the comorbidities, we considered the presence of intellectual disability (ID) or borderline ID, obsessive compulsive disorder or symptoms, major depressive disorder, alcohol abuse, cannabis abuse or use of more than one illicit substance. An illicit substance was defined as any substance with abuse potential and not legal under South African law. Notable legal substances include tobacco and alcohol, with cannabis defined as an illegal substance under current legislation. Several individual clinical symptoms were also considered. These included delusions, hallucinations (captured in three sub-categories of visual, auditory and other sensory hallucinations), disorganised behaviour, disordered thought and alogia.

Information about history of early childhood, pre-psychotic and deviant behaviour before the age of 10, which has been previously linked to the development of schizophrenia, was also obtained from the database where available. The following seven early deviant behaviours were captured and analysed: social difficulties, extreme aggression, odd behaviour, extreme fear, chronic sadness, learning disability and impairment in attention.

Finally, birth and perinatal insults were also considered.

**Data analysis**

Logit models were fitted to test whether there is a relationship between a binary outcome variable and covariates of interest (differential family history, sex and primary diagnosis). Patients for whom the response to the outcome variable was unknown were excluded from the analysis. The binary outcome variables considered in the different models were comorbid diagnosis, cannabis use, illicit substance abuse, a patient being single, perinatal insults, hallucinations, early deviant behaviour and suicidality. Descriptive data is provided in Table 1. A backward elimination procedure was used, and only covariates with a $p > 0.15$ were retained in the model. In the reporting of results, $p$-values were given for relationships with $p < 0.05$ and estimates of odds ratios together with 95% confidence intervals (95% CI). Where more than one covariate was retained in the model, the $p$-value for the overall model based on the Wald chi-square test statistic was also given.

Two main logit models were considered to account for the large differences in group sizes of primary diagnosis. In the first model (results given in Table 1-A1), the primary diagnosis entered into the model was schizophrenia and schizoaffective disorder (bipolar and depressive types combined) and in the second model (results in Table 2-A1) only schizoaffective disorder was considered and entered as bipolar or depressive. As there are two models for each binary outcome, a Bonferroni correction should be made by comparing the $p$-values given in the table with 0.025.
TABLE 1a: Demographic & clinical characteristics of 214 familial and 170 sporadic participants with a DSM diagnosis of schizophrenia or schizoaffective disorder.

| Variable                      | Familial SCZ (N = 149) | Sporadic SCZ (N = 130) | Familial SAD, bipolar type (N = 44) | Sporadic SAD, bipolar type (N = 22) | Familial SAD, depressive type (N = 21) | Sporadic SAD, depressive type (N = 18) |
|-------------------------------|------------------------|------------------------|-----------------------------------|-----------------------------------|------------------------------------|-------------------------------------|
| Mean                          | SD                     | Mean                   | SD                                | Mean                               | SD                                  | Mean                               |
| Age at recruitment            | 35.21                  | 13.89                  | 31.16                             | 9.84                               | 38.00                              | 12.83                               |
| SCZ, schizophrenia; SAD, schizoaffective disorder; N, total number of subjects in a group; n, number of subjects in a group for whom the covariate was known; n, number of subjects who were positive for a particular covariate; SD, standard deviation. |

TABLE 1b: Demographic & clinical characteristics of 214 familial and 170 sporadic participants with a DSM diagnosis of schizophrenia or schizoaffective disorder.

| Variable                      | Familial SCZ (N = 149) | Sporadic SCZ (N = 130) | Familial SAD, bipolar type (N = 44) | Sporadic SAD, bipolar type (N = 22) | Familial SAD, depressive type (N = 21) | Sporadic SAD, depressive type (N = 18) |
|-------------------------------|------------------------|------------------------|-----------------------------------|-----------------------------------|------------------------------------|-------------------------------------|
| %                             | n                      | %                      | n                                | %                                | n                                  | %                                  |
| Male                          | 59.73                  | 89                     | 70.77                             | 92                                | 54.55                              | 24                                  |
| Single (Never married)        | 69.13                  | 103                    | 75.38                             | 98                                | 45.45                              | 20                                  |
| Employed                      | 82.55                  | 123                    | 82.31                             | 107                               | 68.18                              | 30                                  |
| Completed Grade 12            | 44.30                  | 66                     | 64.62                             | 84                                | 68.18                              | 30                                  |
| Completed tertiary education  | 12.75                  | 19                     | 12.31                             | 16                                | 25.00                              | 11                                  |
| SCZ, schizophrenia; SAD, schizoaffective disorder; N, total number of subjects in a group; n, number of subjects in a group for whom the covariate was known; n, number of subjects who were positive for a particular covariate; SD, standard deviation. |

TABLE 1c: Demographic & clinical characteristics of 214 familial and 170 sporadic participants with a DSM diagnosis of schizophrenia or schizoaffective disorder.

| Syndrome features             | Familial SCZ (N = 149) | Sporadic SCZ (N = 130) | Familial SAD, bipolar type (N = 44) | Sporadic SAD, bipolar type (N = 22) | Familial SAD, depressive type (N = 21) | Sporadic SAD, depressive type (N = 18) |
|-------------------------------|------------------------|------------------------|-----------------------------------|-----------------------------------|------------------------------------|-------------------------------------|
| %                             | n                      | %                      | n                                | %                                | n                                  | %                                  |
| Age of primary diagnosis onset| 22.49                  | 7.5                    | 23.29                             | 6.66                               | 25.07                              | 8.06                               |
| SCZ, schizophrenia; SAD, schizoaffective disorder; N, total number of subjects in a group; n, number of subjects in a group for whom the covariate was known; n, number of subjects who were positive for a particular covariate; SD, standard deviation. |

TABLE 1d: Demographic & clinical characteristics of 214 familial and 170 sporadic participants with a DSM diagnosis of schizophrenia or schizoaffective disorder.

| Co-morbid diagnosis           | Familial SCZ (N = 149) | Sporadic SCZ (N = 130) | Familial SAD, bipolar type (N = 44) | Sporadic SAD, bipolar type (N = 22) | Familial SAD, depressive type (N = 21) | Sporadic SAD, depressive type (N = 18) |
|-------------------------------|------------------------|------------------------|-----------------------------------|-----------------------------------|------------------------------------|-------------------------------------|
| %                             | n                      | %                      | n                                | %                                | n                                  | %                                  |
| Intellectual disability      | 6.04                   | 9                      | 3.85                              | 5                                | 0.00                               | 0                                  |
| Borderline intellectual disability | 8.05                 | 12                     | 3.85                              | 5                                | 4.55                               | 2                                  |
| Obsessive compulsive disorder | 4.70                   | 7                      | 6.15                              | 8                                | 0.00                               | 0                                  |
| Obsessive compulsive symptoms | 4.03                   | 6                      | 6.15                              | 8                                | 2.27                               | 1                                  |
| Major depressive disorder     | 10.07                  | 15                     | 9.23                              | 12                               | 15.91                              | 7                                  |
| Social phobia                 | 2.01                   | 3                      | 0.00                              | 0                                | 0.00                               | 0                                  |
| Alcohol abuse                 | 12.08                  | 18                     | 13.08                             | 17                               | 25.00                              | 11                                  |
| Cannabis abuse                | 34.23                  | 51                     | 35.38                             | 46                               | 27.27                              | 12                                  |
| > 1 illicit substance used before | 10.07               | 15                     | 12.31                             | 16                               | 11.36                              | 5                                  |
| SCZ, schizophrenia; SAD, schizoaffective disorder; N, total number of subjects in a group; n, number of subjects in a group for whom the covariate was known; n, number of subjects who were positive for a particular covariate; SD, standard deviation. |

TABLE 1e: Demographic & clinical characteristics of 214 familial and 170 sporadic participants with a DSM diagnosis of schizophrenia or schizoaffective disorder.

| Deviant behavior < 10 age | Familial SCZ (N = 149) | Sporadic SCZ (N = 130) | Familial SAD, bipolar type (N = 44) | Sporadic SAD, bipolar type (N = 22) | Familial SAD, depressive type (N = 21) | Sporadic SAD, depressive type (N = 18) |
|----------------------------|------------------------|------------------------|-----------------------------------|-----------------------------------|------------------------------------|-------------------------------------|
| %                          | n                      | %                      | n                                | %                                | n                                  | %                                  |
| Social difficulties        | 55.28                  | 68.123                 | 44.25                             | 50/113                            | 50.00                              | 18/36                               |
| Extreme aggression         | 9.40                   | 11/117                 | 6.25                              | 7/112                             | 11.43                              | 4/35                                |
| Odd behavior               | 16.95                  | 20/118                 | 9.91                              | 11/111                            | 5.71                               | 2/35                                |
| Extreme fears              | 24.58                  | 29/118                 | 25.89                             | 29/112                            | 31.43                              | 11/35                               |
| Chronic sadness            | 11.11                  | 13/117                 | 9.09                              | 10/110                            | 20.00                              | 7/35                                |
| Learning disability        | 36.97                  | 44/119                 | 28.57                             | 32/112                            | 20.00                              | 7/35                                |
| Impairment in attention     | 59.83                  | 70/117                 | 49.56                             | 56/113                            | 37.14                              | 13/35                               |
| Presence of any early deviant behavior | 83.33           | 105/126                | 71.93                             | 82/114                            | 75.00                              | 27/36                               |
| SCZ, schizophrenia; SAD, schizoaffective disorder; N, total number of subjects in a group; n, number of subjects in a group for whom the covariate was known; n, number of subjects who were positive for a particular covariate; SD, standard deviation. |
instead of 0.05. General linear models (GLM) were fitted to determine whether any of the covariates (same as for the logit model) are significantly related to the mean age at onset. The normality assumption was validated and Least Squares Mean (LSMeans) was calculated to adjust for the effect of other covariates in the model and differences in group sizes. The results are given in Table 3-A1, and because there are three models, a Bonferroni correction should be made by comparing p-values given with 0.05/3 = 0.0167. Tables 1-A1, 2-A1 and 3-A1 are included in Appendix 1.

**Ethical considerations**

Consent for the use of information in the future studies was obtained during the initial recruitment. The study protocol was submitted to Master of Medicine (MMed) and Research Ethics Committees of the Faculty of Health Sciences of the University of Pretoria. Written approval was obtained from both committees (Approval number 291/2016).

**Results**

Of the 384 participants, 279 (73%) had a diagnosis of schizophrenia, 66 (17%) had a diagnosis of schizoaffective disorder, bipolar type, and 39 (10%) had a diagnosis of schizoaffective disorder, depressive type; 242 (63%) were male and 142 (37%) were female participants. The mean age at onset of the primary diagnosis was 23 years (standard deviation [SD] = 7); 238 (62%) participants had at least one comorbid diagnosis; 45 participants (12%) had suicide ideation and 86 (22%) had suicide attempts; 115 participants (38%) had birth or perinatal complications; 89 (23%) were born in the winter or spring months (July to September in the southern hemisphere); and 261 participants (79%) had one or more of the seven early childhood deviant behaviours that were assessed. Specifically, 166 participants (51%) had early social difficulties: 25 (8%) had extreme aggression, 44 (14%) had odd behaviour, 89 (28%) had extreme fears, 41 (13%) had chronic sadness, 97 (30%) had a learning disability and 168 (53%) had impairment in attention.

Initial comparisons across the sample, controlling for familiality and diagnosis, mainly identified some gender differences. In the combined schizophrenia and schizoaffective group, when controlling for diagnosis, the odds of being a male subject and single was four times higher compared to female participants (95% confidence interval [CI] = 2.5, 6.3; p < 0.0001), an association driven mostly by the schizoaffective disorder bipolar type group, where the corresponding odds ratio was 4.6 (95% CI = 2.0,
Another difference in the combined schizophrenia and schizoaffective group was that male participants had higher odds for a comorbid diagnosis than female participants (2.97 [95% CI = 1.9, 4.6; \( p < 0.0001 \)) and were also more likely to have abused cannabis than female participants (5.8 [95% CI = 3.3, 10.2; \( p < 0.0001 \)). This association held true when prior abuse of any substance (not just cannabis) was considered (5.2 [95% CI = 3.1, 8.6; \( p < 0.0001 \)).

We then focused on familiality in the logit models and controlled for sex and diagnosis.

Table 1 shows the descriptive statistics of the three diagnostic groups subdivided into familial and sporadic cases. The table contains information on basic socio-demographic variables, age of primary diagnosis onset, comorbid diagnoses, early childhood deviant behaviours, clinical symptoms history, suicidal ideation or attempts, birth and perinatal insults, as well as season (month) of birth.

From a general linear model, the mean age at onset for sporadic schizophrenia versus familial schizophrenia when controlling for sex did not differ significantly (23.7 vs. 22.7; \( p = 0.23 \)). The adjusted or LSMeans that was calculated also accounted for differences in group sizes. The same was true for schizoaffective disorder, depressive type (22.1 vs. 25.0; \( p = 0.17 \)). However, there was a statistically significant difference in schizoaffective disorder bipolar type, where disease onset was at 20.6 years of age in sporadic cases versus 25.3 years of age in familial cases (\( p = 0.0077 \), effect size \( \eta^2 = 0.23 \)). The difference was also gender-dependent (20.4 for male participants and 25.5 for female participants, \( p = 0.0022 \)).

No statistically significant differences were identified regarding familiality and being single.

There were no differences between sporadic and familial cases with regard to the presence of a comorbid diagnosis in any of the diagnostic groups.

There were no statistically significant differences when comparing birth and perinatal insults based on familiality.

In the combined schizophrenia and schizoaffective disorder group, the odds for the presence of any early deviant behaviour was 1.9 times higher in the familial compared to the sporadic group (95% CI = 1.1, 3.3, \( p = 0.0167 \)) when controlling for sex (Wald chi-square statistic for overall model \( p = 0.02 \)).

Suicidal ideation and suicide attempts were significantly higher (2.3 times) in the schizoaffective disorder group compared to the schizophrenia group (95% CI = 1.5, 3.7, \( p = 0.0003 \)), but there was no significant difference based on familiality.

Frequencies for the month of birth were higher in March, April, May, July, September and October in the familial group, and higher in June in the sporadic group. Further analysis did not yield significant results regarding the season of birth.

**Discussion**

We attempted to delineate a more homogeneous phenotype for genetic research via a comparison of various socio-demographic and clinical variables in an adult population with a lifetime diagnosis of schizophrenia or schizoaffective disorder. The main comparative variable was familiality, as this could have significant discriminatory value in genetic studies. Our subjects were drawn from the Afrikaner population in South Africa, where determination of familiality could be carried out relatively accurately given the close-knit family structure, restricted population movement and wide availability of family medical records in long-term mental health facilities.

Our findings demonstrated that the combined schizophrenia and schizoaffective disorder group did not differ significantly on most of the covariates that we compared between the sporadic and familial groups. These included marital status, clinical symptomatology, comorbidities, birth and perinatal insults, suicidality and season of birth. The only variable that differed was the early childhood deviant behaviour, previously linked to the later development of schizophrenia, which we found to be more common in the familial group. This could make sense from a genetic point of view where familial predisposition could lead to early manifestations of an illness. Early deviant behaviour was not, however, associated with lower age at onset.

When considering the schizoaffective group, we found that the age at onset in the bipolar type of the schizoaffective diagnosis was significantly lower in the sporadic group. Age at onset has been associated with increased genetic loading. It is also one of the most frequently studied outcome predictors in schizophrenia. A recent meta-analysis found a statistically significant association between lower age at onset and higher rates of hospitalisation, relapses and negative symptoms. It was also associated with worse social or occupational functioning and worse global outcome. Our study did not specifically look at the number of hospitalisations, relapses, social or occupational functioning or global outcomes in any of the groups.

**Limitations**

The study has some limitations. The subjects in the study were all Caucasian of European descent (Afrikaner), and the findings may therefore not be applicable to other races. Although appropriate statistical corrections were made, the diagnostic, gender and familial–sporadic groups were not equally represented. The duration of illness may have also influenced severity and thus presentation at recruitment.
The database of information was not complete for all the covariates that were assessed.

The size of the schizoaffective disorder subset also limited the analyses that could be performed. Accurate recording of family history was challenging, and although it was much more accurate in the Afrikaner, and perhaps other founder populations, than outbred populations, there might still be some errors.

**Conclusion**

The sporadic bipolar type of the schizoaffective diagnosis seems to form a more homogeneous group with lower age at onset. The age at onset has prognostic value with a possible genetic origin. An earlier age at onset has also been linked to a higher genetic load in Alzheimer’s disease, systemic lupus erythematosus and cancer.1

In addition to this study, genetic linkage analysis also appears to support a relatively specific genetic component in schizoaffective disorder.5 Specifically, we have previously shown that a genetic linkage to the 13q locus was 4.16 times more common in schizoaffective disorder compared with linkage to the 1p locus.17

Therefore, the sporadic bipolar type of the schizoaffective diagnosis may be helpful in elucidating the genetic complexity of schizophrenia and should be studied as a separate diagnostic entity in genetic studies.

Unfortunately, there is low diagnostic reliability for the schizoaffective disorder diagnosis18 and, in particular, the distinction between the bipolar and the depressive types. Equally challenging is the accurate assessment of the sporadic versus the familial nature of psychiatric illness. Founder populations offer certain advantages on that front.

Given these results, it appears that the efforts required for both correct diagnostic assessments and family history assessments are warranted, as the bipolar type of the schizoaffective diagnosis in its sporadic form is a clinical entity with potential utility in genetic research.

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**Competing interests**

The authors have declared that no competing interest exists.

**Authors’ contributions**

N.J.v.d.M. was the principal investigator. M.K., R.H. and J.L.R. were co-investigators. N.J.v.d.M. was responsible for data collection. R.H. was primarily responsible for data analysis. All authors contributed to the project design and writing of the manuscript.

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**Data availability statement**

Data sharing is not applicable to this article as no new data were created or analysed in this study.

**Disclaimer**

The views and opinions expressed in this article are those of the authors and do not necessarily reflect the official policy or position of any affiliated agency of the authors.

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TABLE 2-A1: General linear models for different diagnostic groupings and sex at onset of outcome variable and prediction entered are differential family history and sex.

| Parameter | Intercept | DFH | SAD (b or d) | Sex | Schizophrenia | Early deviant behavior | Hallucinations | Comorbid disorder |
|-----------|-----------|-----|--------------|-----|----------------|-----------------------|---------------|------------------|
| DFH       | 3.446     | 3.202| 0.666        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |
| SAD (b or d) | 0.294   | 0.294| 0.294        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |
| Sex       | 0.216     | 0.216| 0.216        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |

Appendix 1

**TABLE 2-A2**: General linear models where predictors entered are DFH, sex, schizoaffective disorder (bipolar or depressive).

| Parameter | Intercept | DFH | SAD (b or d) | Sex | Schizophrenia | Early deviant behavior | Hallucinations | Comorbid disorder |
|-----------|-----------|-----|--------------|-----|----------------|-----------------------|---------------|------------------|
| DFH       | 3.446     | 3.202| 0.666        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |
| SAD (b or d) | 0.294   | 0.294| 0.294        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |
| Sex       | 0.216     | 0.216| 0.216        | 1   | 0.373         | 0.394                 | 0.007         | 1.983            |

Global null hypothesis (b or d)