Localized Swelling Inhomogeneity Detection in Lithium Ion Cells Using Multi-Dimensional Laser Scanning

Yan Zhao,∗Franz B. Spingler,†∗Yatish Patel,‡Gregory J. Offer,§∗∗Andreas Jossen∥

†Department of Mechanical Engineering, Imperial College London, London SW7 2AZ, United Kingdom
∥Institute of Electrical Energy Storage Technology, Technical University of Munich (TUM), 80333 Munich, Germany

The safety, performance and lifetime of lithium-ion cells are critical for the acceptance of electric vehicles (EVs) but the detection of cell quality issues non-destructively is difficult. In this work, we demonstrate the use of a multi-dimensional laser scanning method to detect local inhomogeneities. Commercially available cells with Nickel Cobalt Manganese (NMC) cathode are cycled at various charge and discharge rates, while 2D battery displacement measurements are taken using the laser scanning system. Significant local swelling points are found on the cell during the discharge phase, the magnitude of swelling can be up to 2% of the cell thickness. The results show that the swelling can be aggravated by a combination of slow charge rate and fast discharge rate. Disassembly of the cells shows that the swelling points are matched with the location of ‘adhesive-like’ material found on the electrode surfaces. Scanning Electron Microscope (SEM) images show that the material is potentially blocking the electrodes and separators at these locations. We therefore present laser-scanning displacement as a valuable tool for defect/inhomogeneity detection.

© The Author(s) 2019. Published by ECS. This is an open access article distributed under the terms of the Creative Commons Attribution 4.0 License (CC BY, http://creativecommons.org/licenses/by/4.0/), which permits unrestricted reuse of the work in any medium, provided the original work is properly cited. [DOI: 10.1149/2.0011902jes]

Experimental

Cells characterization and cycling.—The cells used in this study are commercial 5 Ah pouch cells with a nickel-manganese-cobalt-lithium oxide (NMC) cathode and graphite anode of the type SLPB 11543140H5 by Kokam Ltd. Cell characteristics are summarized in Table I. For each of the tests described in Table II, a fresh cell in condition as received from the supplier was used after the initial characterization. In a preliminary study, a wide range of charge and discharge rates were used across different cells to ensure the results observed were repeatable.

Before each test, the initial characterization test was performed on each fresh cell to ensure that the cells were in condition as specified by the manufacturer. The fresh cells were cycled 10 times with 100% depth of discharge at 0.5C using constant current, constant voltage (CC-CV) charge and constant current (CC) discharge protocol, followed by a CC-CV discharge capacity determination at 0.1C. All CV phases were interrupted when the current fell below 0.05C or 0.25A.

Cycling was done at current rates ranging from 0.2C to 4C using a CC-CV charge and CC discharge protocol. Full cycles at various combinations of charge and discharge rates were conducted to assess the rate dependency of local swelling. Chamber temperature was 15°C in all experiments.

2-D thickness scan.—Two laser heads directed at both sides of the cell are mounted on a linear axis aligned in parallel to the cell surface as shown in Figure 1. Each laser head performs a continuous triangulation measurement while the slide is moved along the cell surface. The slide moves at a speed of 2 cm/s, so the entire surface of the Kokam cell is captured in 6 s. The differential of the height was used to measure the average thickness change of a commercial pouch cell compressed between two plates. More accurate displacement sensors touching on a single location on the cell surface were used in Refs. 21–23 and on various locations simultaneously on both sides of the cell in Ref. 24. Local resolution of cell dilation has been achieved by 3-D image correlation25 and laser triangulation.26–28 Other non-touching measurements include X-ray tomography29 and neutron diffraction.30

Dilation measurements have been used to detect lithium plating,22 and, by making use of local resolution across the cell surface, to investigate inhomogeneous aging.27,30 Here, we investigate and discuss the relationship of macroscopic inhomogeneities observable in fresh cells to anomalous local volume expansion and local deposits on the electrodes found post-mortem.

It is widely assumed that economies of scale will help solve the cost problem of lithium ion batteries in automotive and home or grid storage applications.1–3 Apart from reducing sourcing costs of primary materials, a large portion of the savings from scaling up production is realized through improving manufacturing processes.4 The most important characteristics of lithium-ion cells, namely safety, performance, and lifetime, are determined by their manufacturing quality as much as the material composition of the active and passive components and the cell design. As of today, a limited amount of research on the effects of imperfect manufacturing processes on cell performance has been published. In Mohanty et al.,5 the cell performance implications and the effect of electrode manufacturing defects were studied. In Cannarella et al.,6 it was shown that deliberately manufactured pore closure in separators led to lithium plating on the graphite anode around the compressed areas. Both studies found that the negative impact of a defect depends disproportionally on its size, such that very small local defects may not lead to secondary defects like lithium plating during operation at all. Defect size dependence on lithium plating has been further investigated in Liu et al.7

In this paper, we show how local, macroscopic inhomogeneities in a commercial lithium-ion pouch cell that possibly originate from the manufacturing process, lead to unexpected local volume changes. Volume changes of lithium ion cells during operation can be attributed to several mechanisms. Firstly, intercalation materials used in lithium ion cells undergo volume changes as a function of their degree of lithiation.5–11 Secondly, every component of a cell swells and contracts as a function of its temperature and individual thermal expansion coefficient.12–14 Other reasons for volume changes are morphology changes in the porous electrodes and separators, side reactions that take place in the porous electrodes and separators, side reactions that take place during electrochemical reactions, and, by making use of local resolution across the cell surface, to investigate inhomogeneous aging.27,30 Here, we investigate and discuss the relationship of macroscopic inhomogeneities observable in fresh cells to anomalous local volume expansion and local deposits on the electrodes found post-mortem.

Various approaches have been presented to measure the dilation of lithium-ion cells in operation. In Lee et al.,21 a thickness gauge was used to measure the average thickness change of a commercial pouch cell compressed between two plates. More accurate displacement sensors touching on a single location on the cell surface were used in Refs. 21–23 and on various locations simultaneously on both sides of the cell in Ref. 24. Local resolution of cell dilation has been achieved by 3-D image correlation25 and laser triangulation.26–28 Other non-touching measurements include X-ray tomography29 and neutron diffraction.30

Dilation measurements have been used to detect lithium plating,22 and, by making use of local resolution across the cell surface, to investigate inhomogeneous aging.27,30 Here, we investigate and discuss the relationship of macroscopic inhomogeneities observable in fresh cells to anomalous local volume expansion and local deposits on the electrodes found post-mortem.
profiles recorded by each laser head is the cell thickness. The setup is enclosed in a temperature-controlled chamber. The cell is connected to a BaSyTec CTS battery cycler. An infrared temperature sensor tracks the surface temperature at the center of the cell. More details about the test bench can be found in previous studies.\(^{26,27,30}\) The scan area was partitioned into 96 rectangles and the thickness was averaged across the surface of every rectangle in a pre-processing step. In these rectangles, thickness is resolved within \(+/−1\ \mu m\) at a reproducibility of \(+/−2\ \mu m\) including dismounting and re-mounting of a test cell. As the cell edges of the Kokam pouch cells are bent down and their shape varies slightly from cell to cell, the scan area excluded a few millimeters of the cell edge to each side to get consistent results.

Post-mortem and SEM.—Before disassembly, cells were discharged with CC-CV to 2.7 V and rested for one to two hours. Disassembly of cells took place inside an argon filled glove box \((<0.1 ppm O_2 / H_2O)\). Photographs of the cell stack and of single electrodes were taken immediately after disassembly. Separator and electrode probes were left to dry inside the glove box and then transferred out, where they were exposed to normal laboratory atmosphere. Separator probes were gold-sputtered in vacuum atmosphere for 90 s at 10 mA where they were exposed to normal laboratory atmosphere. Separator probes were left to dry inside the glove box and then transferred out, where they were exposed to normal laboratory atmosphere. Separator probes were gold-sputtered in vacuum atmosphere for 90 s at 10 mA before they were introduced into the SEM. A JCM-6000Plus Tabletop SEM by JEOL was used for electrode probes, separators were investigated using a ZEISS NV40 Crossbeam Workstation.

Results

Initial measurements.—Cells were charged with a CC-CV procedure to 4.2 V, rested for 30 mins, and then discharged with 1C CC to 2.7 V, while the laser measurement was taken. Figure 2 shows snap shots of the normalized thickness distribution change during the 1C CC discharge step. To show the thickness change clearly, the thickness at the start of the discharge was subtracted from the raw measurement. Previous work\(^{31}\) shows that the cell thickness change roughly follows the state of charge change, where the cell thickness increases during charge and decreases during the discharge process. At time 776 s in Figure 2, four spots on the cell started to swell, while the rest of the cell contracted as expected. The approximate x-y coordinates of the swelling points are point 1 (−33,5); point 2 (35,7); point 3 (−33,−13) and point 4 (35,−14). These points and the surrounding area continued to swell as the discharge continued. At point (−33,5) the thickness increased from 0.034 mm to 0.062 mm between 776 s and 2716 s. The thickness of the rest of the cell continued to decrease with decreasing SoC. At time 2716 s, the cell thickness at the center (0,0) was reduced by 0.049 mm. As a result, the difference in thickness between center (0,0) and the swelling point (−33,5) was around 0.1 mm. The thickness change behavior at these swelling points is unexpected; therefore more investigation was done to understand this behavior.

Cycling at different charge and discharge rates.—In this section, the effects of charge and discharge rate on the swelling points are investigated. A fresh cell was cycled at different charge rates followed by a CC discharge, and vice versa.

Effect of the charge rate.—Cells were charged using a CC-CV protocol at increasing current rates, 0.2C, 0.5C, 1C and 2C. Each charging event was followed by a 1C discharge. Figure 3 shows the thickness change as a function of time. The average thickness (black line) increased upon charging and decreased upon discharge. The average thickness is defined as the average of all recorded local

![](image)

**Figure 1.** 2-D thickness scan setup used in this study. One laser head on each side of the cell scans the cell surface while moving in parallel to the cell surface. The cell surface is partitioned into 96 rectangles whose area-average thickness is computed after every scan.

| Charge rate | Discharge rate | Comments |
|-------------|----------------|----------|
| Fig. 2       | 1C             | 1C       | Full cycle        |
| Fig. 3/Fig. 4| 0.2C/0.5C/1C/2C| 1C       | Full cycle        |
| Fig. 5       | 0.2C/0.5C/1C/2C| 0.2C/0.5C/1C/2C | Full cycle |
| Fig. 6       | 0.2C/2C        | 2C/0.2C  | Full cycle        |

**Table II.** Cycling conditions of Kokam SLPB11543140H5 cell. All experiments were conducted at 15°C chamber temperature.

### Table I. Characteristics of Kokam SLPB11543140H5 pouch cells used in this study.

| Parameter                  | Value                           |
|----------------------------|---------------------------------|
| Outer dimensions (LxWxH)   | 142.5 mm × 51 mm × 11.7 mm      |
| Anode sheet size (LxWxH)   | 111 mm × 39 mm × 97 μm (measured) |
| Cathode sheet size (LxWxH)| 110 mm × 38 mm × 79 μm (measured) |
| Current collector thickness| Anode: ~21 μm/Cathode: ~21 μm (measured) |
| Coating thickness          | Anode: ~76 μm/Cathode: ~58 μm (measured) |
| Stack design               | 50 double side coated anodes, 49 double side coated cathodes + 2 single side coated cathodes. Separator Z-folded. |
| Max. charge/discharge rate | 5C/20C                          |
| Upper and lower cutoff volt.| 4.2 V/2.7 V                     |
| Rated capacity             | 5 Ah (0.5C, 25°C)               |
| Gravimetric energy density | 140 Wh/kg                       |

### Table II. Characteristics of Kokam SLPB11543140H5 pouch cells used in this study.
thickness measurements at a given point in time. The shape of the average thickness resembled that of the voltage curves. The thickness varied by approximately 0.23 mm (2% of the total thickness). The slight difference between different charge rates could be caused by variation in temperature and utilized SoC window.

At the four swelling points, local thickness revealed thickness overshoots close to the end of charge that increased in magnitude with the charging current. These thickness overshoots have been shown in a previous study and could be linked to partly reversible lithium plating.30 Interestingly, at the beginning of the discharge phase, the points again swelled sharply. Thickness only started to decrease once the SoC dropped below approximately 30%–40%.

Figure 3. The thickness change as function of time with different charge rates (0.2C, 0.5C, 1C and 2C)- cell voltage(top) and thickness (bottom) at selected points, where the black line is the cell average thickness and the gray lines are thickness at swelling point 1–4.

Thickness change as a function of preceding charge rates is shown in Figure 4 where the average thickness is plotted in gray and the swelling point 1 is plotted in black. On average, the cell contracted by

Figure 4. Comparison of the thickness change between swelling point (SP) 1 and the average cell thickness change during the 1C discharge phase after charging at 0.2C, 0.5C, 1C and 2C. The gray line is the cell average thickness and the black lines are thickness at swelling point 1, cell voltage (top) and thickness (bottom).
approximately 0.14 mm during the 1C discharge. When it followed a 0.2C charge, the 1C discharge resulted in 0.012 mm more contraction than when it followed a 2C charge. This may be attributed to greater thermal expansion and contraction in the 2C charge case.

At swelling point 1, thickness started to increase at the beginning of discharge. The point started to contract after approximately 1500 s. Expansion upon discharge was most significant following the 0.2 C charge and the least significant following the 2C charge. The maximum local thickness increase was 0.198 mm, 0.158 mm, 0.122 mm and 0.095 mm following charge rates of 0.2C, 0.5C, 1C and 2C, respectively. At the end of discharge, the local thickness values were still +0.117 mm, +0.087 mm, +0.062 mm and +0.047 mm.

Figure 5. Comparison of thickness change between swell point 1 (SP1) and the average cell thickness change during the discharge (0.2C, 0.5C, 1C and 2C) phase following the 1C charge, where the black line is the cell average thickness and the gray lines are thickness at swelling point 1, cell voltage (top) and thickness (bottom).

As swelling points expanded further while the rest of the cell contracted, the maximum difference in thickness change during the process was over 0.2 mm by the end of the discharge. The difference of 0.2 mm amounts to around 2% of total cell thickness. This could lead to significant mechanical stress within the electrode layers, and potentially result in mechanically driven damages.

**Effect of the discharge rate.**—In this section, the effect of the discharge rate on the behavior of the swelling points is presented. In each experiment, cells were charged at a rate of 1C, followed by discharge at rates of 0.2C, 0.5C, 1C and 2C. The thickness change during the discharge phase is shown in Figure 5, where the average thickness is plotted in gray and the swelling point 1 is plotted in black.

At all discharge rates, the average thickness decreased. The shape of the thickness curve resembled the shape of the voltage curve. The thickness changes at the end of discharge are similar, the thickness decreased by approximately 0.15 mm. At a discharge rate of 0.2C, the cell contracted by 0.167 mm in comparison to 0.136 mm at the end of the 2C discharge. The larger discharge current caused the cell’s terminal voltage to reach the cutoff voltage sooner, limiting the usable capacity. The reduced capacity utilization could have caused the cell to contract less at the end of discharge at higher rates.

At the swelling point, thickness change during the discharge phase was positively correlated with the current rate. The maximum expansion at 0.2C, 1C, 0.5C and 2C were 0.144 mm, 0.095 mm, 0.046 mm and 0.003 mm. The swelling point started to contract when SoC dropped below approximately 60% SoC. At the end of the discharge, swelling point expansion were 0.058 mm, 0.027 mm, −0.022 mm and −0.108 mm. Interestingly, the discharge rate had the opposite effect as the charge rate. The higher the discharge rate, the higher the local swelling following the same charge event.

So far, the results indicate that the swelling points expand more significantly when charged slowly and the opposite is true for the discharge rate. To validate this observation, two tests were performed: 1. Charge 0.2C + Discharge 2C; 2. Charge 2C + Discharge 0.2C.

The hypothesis was that the experiment 1 should indicate the most significant swelling following the 0.2C discharge and the experiments 2 would show the least amount of swelling.

Figure 6 shows the results of experiment 1 and 2. In experiment 1, the thickness increased significantly during the 2C discharge phase at the swelling points. The thickness increase peaked at approximately 0.18 mm during discharge. By the end of the discharge phase, thickness at the swelling points were approximately 0.11 mm higher

Figure 6. Voltage and thickness: experiment 1(charge @ 0.2C + discharge @ 2C), (a) experiment 1 voltage measurement, (b) thickness of swelling points (gray lines) and average thickness (black); experiment 2 (charge @ 2C + discharge @ 0.2C), (c) experiment 2 voltage measurement, (d) thickness of swelling point (gray lines) and average thickness (black).
compared to the start of discharge. In comparison, the average thickness of the cell decreased by 0.13 mm during the discharge phase.

In experiment 2, where the minimum swelling was expected, the thickness at the swelling points decreased by 0.15 mm during discharge. The average thickness decreased by 0.17 mm at the same time. However, the thickness at the swelling points decreased initially, followed by small increases at 3.9 V and 3.5 V. In contrast, the cell average thickness decreased uniformly without increase during the discharge phase.

In accordance with our hypothesis, experiment 1 showed significantly more swelling during the discharge phase. Thickness at swelling points were 0.15 mm higher in comparison to experiment 2.

Post-mortem inspection.—Experimental results showed that low rate charging, high rate discharging causes four areas on the cell surface to swell abnormally during discharge. A fresh and a cycled cell were disassembled inside an argon filled glove box to examine the physical appearance of the cell material. The cycled cell underwent ca. 40 cycles and various current rates and had 98% remaining capacity before it was disassembled.

Upon unwrapping the electrode stack, four discolored spots were discovered on the separator surface. The spots were located at coordinates (−33,5), (35,7), (−33,−13) and (35,−14). The locations of these spots are consistent with the location of the swelling points measured by the laser scan. The spots appeared to be contaminated by adhesive-like gel as shown in Figure 7b. The spot appeared on alternate layers of the separator as shown in Figure 7c. This pattern was repeated in the entire stack. Figure 7d shows the swelling spots of a fresh and a cycled cell. On the fresh anodes, dark spots are visible, these match the locations of the spots on the separator. When unwrapping the cell stack, separator and electrodes adhered to one another at these spots. On and around the spots, gray deposit appears to have accumulated on the anodes of the cycled cell. The zoom inlet in Figure 7d shows a light-gray colored spot with a distinct seam of a different gray on its right side. This is consistent with the numerous disassembly observations made with the same and similar cell types from the same manufacturer by both battery research groups at TUM and Imperial College over the past 3 years. The adhesive-like contaminants were constantly found. These include cells in fresh and cycled condition. Cells were purchased from different suppliers and at different times. It could be that the contaminant is indeed an adhesive that is used on purpose in the manufacturing process to hold the layers (electrodes, separator) in place during stacking and subsequent handling.

![Figure 8. SEM imaging of the disassembled cells. An 'adhesive' like contaminant is visible on the anode surface at the location of the swelling points in a) and c) and on the separator in d).](image)
To investigate the contaminant on the electrode/separator structure, SEM imaging was used. Figure 8a shows the top view of the boundary between swelling spots and the rest of the electrode surface. The contaminant appears to have filled the gaps between individual graphite particles and made the originally rough surface smooth. The cross-sectional image of the ‘normal’ anode and the swelling point further confirms this observation. It appears that the contaminant blocked this part of the electrode surface. The blockage could limit the ion transport and reaction rate. At worst, the entire region could have become inactive. It is difficult to determine the effect of the contaminant from the SEM images alone.

On the separator, the contaminant appears to have closed the pores on the separator, when comparing Figure 8e and Figure 8f. The pore closure could lead to slower ionic transport through the separator. As shown by Cannarella et al., pore closure can cause high local current density at the swelling points, which were found on the anode surface, especially the distinct seam around the spot that can be seen in Figure 7d.

Supporting this hypothesis, it was observed that the thickness increases significantly at the swelling points, it was observed that the thickness increases significantly at the start of the discharge. To explain this observation, we hypothesize the following:

1. the adhesive like contaminants are preventing the swelling points from discharging locally at the same rate as the rest of the cell. This would lead to less reduction in SoC as the discharge starts locally at swelling points and, as a result, less thickness reduction.
2. a greater discharge rate causes more heat generation, leading to higher thermal expansion. The difference in material composition at the swelling points could also contribute to a greater thermal expansion.

Overall, the swelling points’ thickness would increase on discharge if the thermal expansion could not be compensated for by the SoC-dependent thickness reduction when discharge is hampered in these areas. Combined with the thermal expansion a different thickness change pattern can be expected. Figure 9 illustrates the correlation of cell temperature increase with the normalized thickness evolution at the swelling points for different discharge rates. The thickness at SP1 were normalized by the average thickness of the cell. At different C-rates, the normalized expansion at the SP1 and average cell expansion correlates well with the temperature increase. This correlation supports the hypothesis that the containment has a higher thermal expansion coefficient.

A simple calculation of thermal expansion during a 2C discharge is performed for both the ‘normal’ and the swelling parts of the cell. Equation 1 is used to calculate the overall thermal expansion coefficient of the cell from the individual components. A 4°C temperature increase was measured during 2C discharge. Table III shows the

**Table III. Thermal expansion coefficient calculation for ‘normal’ part of cell.**

|                | Total thickness [mm] | Percentage of total | Expansion coefficient | Proportional coefficient | Reference |
|----------------|----------------------|---------------------|-----------------------|--------------------------|-----------|
| CC Cu          | 1.05                 | 9.0%                | $1.60 \times 10^{-5}$ | $1.44 \times 10^{-6}$    | 38        |
| CC Al          | 1.07                 | 9.2%                | $2.30 \times 10^{-5}$ | $2.11 \times 10^{-6}$    | 38        |
| Anode          | 3.95                 | 33.8%               | $5.50 \times 10^{-5}$ | $1.86 \times 10^{-4}$    | 39        |
| Cathode        | 2.90                 | 24.8%               | $5.50 \times 10^{-5}$ | $1.36 \times 10^{-3}$    | Assumed same as Anode |
| Separator/SP   | 2.40                 | 20.5%               | $2.50 \times 10^{-3}$ | $5.13 \times 10^{-4}$    | 40        |
| Casing         | 0.32                 | 2.7%                |                       | $5.49 \times 10^{-4}$    |           |
| Total          | 11.69                |                     |                       |                          |           |

**Table IV. Thermal expansion coefficient calculation for swelling point (SP).**

|                | Total thickness [mm] | Percentage of total | Expansion coefficient | Proportional coefficient | Reference |
|----------------|----------------------|---------------------|-----------------------|--------------------------|-----------|
| CC Cu          | 1.05                 | 9.0%                | $1.60 \times 10^{-5}$ | $1.44 \times 10^{-6}$    | 38        |
| CC Al          | 1.07                 | 9.2%                | $2.30 \times 10^{-5}$ | $2.11 \times 10^{-6}$    | 38        |
| Anode          | 3.95                 | 33.8%               | $5.50 \times 10^{-5}$ | $1.86 \times 10^{-4}$    | 39        |
| Cathode        | 2.90                 | 24.8%               | $5.50 \times 10^{-5}$ | $1.36 \times 10^{-3}$    | Assumed same as Anode |
| Separator/SP   | 2.40                 | 20.5%               | $9.00 \times 10^{-3}$ | $1.85 \times 10^{-3}$    | Fitted    |
| Casing         | 0.32                 | 2.7%                |                       | $1.88 \times 10^{-3}$    |           |
| Total          | 11.69                |                     |                       |                          |           |
calculation of the thermal expansion coefficient for the ‘normal’ part of the cell. Based on the thermal expansion coefficient for individual components, the overall equivalent expansion coefficient is $5.49 \times 10^{-4}$ 1/K. This corresponds to a 0.025 mm expansion for the 4°C temperature increase during a 2C discharge. The calculated equivalent expansion coefficient is consistent with measurements made in our previous study and with literature.

Assuming there is no thickness reduction due to SoC change at the swelling point, it would need to be 6°C hotter than the rest of the cell using the calculated expansion coefficient. As the cell is made of thermally conductive materials (aluminum and copper), we consider a hot spot of this magnitude to be unlikely. In our previous work, Zhao et al. showed that the maximum temperature gradient within a cell of the same type is approximately 2.5°C at the end of a 2C discharge under quiet aggressive thermal boundary conditions realized via metallic heat sinks. In this work, all cell surfaces (electrode stack and electrical terminals) were exposed to ambient air, which should result in a much smaller thermal gradient than 2.5°C. Hence an alternative hypothesis must be considered. Based on the above, it is hypothesized that the swelling point may have a higher thermal expansion coefficient than the ‘normal’ parts of the cell.

$$\alpha_{total} L_{total} = \sum \alpha_i L_i$$  \[1\]

In Equation 1, $\alpha_{total}$ is the overall thermal expansion coefficient, $L_{total}$ is the total cell thickness, $\alpha_i$ is the thermal expansion coefficient of individual components, and $L_i$ is the thickness of individual components.

Table IV shows the value of the thermal expansion coefficient for the swelling point fitted to match the experiment if no local hotspot is assumed. It was found that a total thermal expansion coefficient of $1.88 \times 10^{-3}$ 1/K would result in a 0.09 mm expansion during the discharge. This simple calculation demonstrates that if the contaminant has a higher thermal expansion coefficient than the separator, it could be the cause of the behavior observed during discharge. However, it was not possible to characterize the thermal expansion coefficient of the contaminants and/or modified region of the cell during this study. We consider the local swelling on discharge likely to result from a combination of both effects, a thermal expansion coefficient that differs from the rest of the cell and a local hotspot.

Conclusions

Through a combination of cycling experiments, thickness measurements and post-mortem findings, this work shows that local inhomogeneities in a commercial pouch cell lead to irregular local volume changes and promote local lithium plating. It is hypothesized that the inhomogeneities in the form of an adhesive-like contaminant result from the manufacturing process of the cells. Analysis is provided that shows that the local expansion on discharge, which had not been observed in previous studies using a different cell, could result from a relatively high thermal expansion coefficient of that contaminants and/or localized heating. Local lithium plating found post-mortem in the vicinity of the adhesive-like contaminants and SEM images of the separator and electrodes suggests that the contaminants restricted the ion pathways of the separator and/or blocks access to the electrodes’ surfaces. This would confirm existing theories about separator pore closure and localized lithium plating, which were based on experimental, by means of a commercially available cell. Further work is required to characterize the exact composition and property of the contaminant.

This work also demonstrates that the proposed local thickness measurement is a powerful non-destructive cell quality evaluation tool. We suggest that the laser scanning tool could be used to validate cell designs, to evaluate manufacturing processes and in manufacturing quality control.

Acknowledgments

We acknowledge the funding support received from the BIAM-Imperial Center for Materials Characterisation, Processing and Modelling at Imperial College London, from the Imperial-TUM Global Fellows Program and from the Federal Ministry of Education and Research of Germany (BMBF) under grant number 03XP0133B. We thank Jonathan Becker of WSI in Garching for his great help with SEM imaging and Prof. Dr. Karl-Heinz Pettinger of HAW Landshut for insightful discussions.

ORCID

Yan Zhao https://orcid.org/0000-0002-3211-6740
Franz B. Spingler https://orcid.org/0000-0002-6523-3986
Yatish Patel https://orcid.org/0000-0001-7828-5315
Gregory J. Offer https://orcid.org/0000-0003-1324-8366
Andreas Jossen https://orcid.org/0000-0003-0964-1405

References

1. B. Nykvist and M. Nilsson, Nature Climate Change, 5, 329 EP · (2015).
2. M. Weiss, M. K. Patel, M. Junginger, A. Perajo, P. Bonnel, and G. van Grootveld, Energy Policy, 48, 374 (2012).
3. L. Gaines and R. Cuenca. Costs of lithium-ion batteries for vehicles, (2000).
4. D. L. Wood, J. Li, and C. Daniel, Journal of Power Sources, 275, 234 (2015).
5. D. Mohanty, E. Hockaday, J. Li, D. K. Hensley, C. Daniel, and D. L. Wood, J. Power Sources, 312, 70 (2016).
6. J. Camarildia and C. B. Arnold, J. Electrochem. Soc., 162(7), A1365 (2015).
7. X. M. Liu, A. Fang, M. P. Haataja, and C. B. Arnold, J. Electrochem. Soc., 165(5), A1147 (2018).
8. Y. H. Hahn, H. Buqa, P. Ruch, D. Goers, M. E. Spahr, J. Ulhein, P. Novák, and V. Pospíšil, J. Electrochem. Solid State Lett., 11(9), A131 (2008).
9. M. Winter, G. H. Wrodnigg, J. O. Besenhard, W. Biberacher, and P. Novák, J. Electrochem. Soc., 147(7), 2427 (2000).
10. O. Dobos, A. Senshin, M. J. Mühlbauer, K. Nikolowski, and H. Ehrenberg, J. Power Sources, 255, 197 (2014).
11. Kejie Zhao, Mechanics of Electrodes in Lithium-ion Batteries, Cambridge (14.6.2012).
12. D. K. L. Tsang, B. J. Marsden, S. L. Fok, and G. Hall, Carbon, 43(14), 2902 (2005).
13. E. A. Kellett and B. P. Richards, *Journal of Nuclear Materials*, 12(2), 184 (1964).
14. K.-Y. Oh and B. I. Epureanu, *Journal of Power Sources*, 303, 86 (2016).
15. J. B. Siegel, A. G. Stefanopoulou, P. Hagans, Y. Ding, and D. Gorsich, *J. Electrochem. Soc.*, 160(8), A1031 (2013).
16. M. Petzl, M. Kasper, and M. A. Danzer, *J. Power Sources*, 275, 799 (2015).
17. M. Lewerenz, A. Warnecke, and D. U. Sauer, *Journal of Power Sources*, 369, 122 (2017).
18. N. A. Samad, Y. Kim, J. B. Siegel, and A. G. Stefanopoulou, *J. Electrochem. Soc.*, 163(8), A1584 (2016).
19. S. Mohan, Y. Kim, J. B. Siegel, N. A. Samad, and A. G. Stefanopoulou, *J. Electrochem. Soc.*, 161(14), A2222 (2014).
20. J. Cannarella and C. B. Arnold, *J. Power Sources*, 269, 7 (2014).
21. J. H. Lee, H. M. Lee, and S. Ahn, *J. Power Sources*, 119–121, 833 (2003).
22. B. Bitzer and A. Gruhle, *J. Power Sources*, 262, 297 (2014).
23. I. Soga and Y. Kinoshita, *Japanese Journal of Applied Physics*, 41(Part 1, No. 11A), 6616 (2002).
24. K.-Y. Oh, J. B. Siegel, L. Seconde, S. U. Kim, N. A. Samad, J. Qin, D. Anderson, K. Garikipati, A. Knobloch, B. I. Epureanu, C. W. Monroe, and A. Stefanopoulou, *J. Power Sources*, 267, 197 (2014).
25. P. K. Leung, C. Moreno, I. Masters, S. Hazra, B. Conde, M. R. Mohamed, R. J. Dawood, and R. Bhagat, *J. Power Sources*, 271, 82 (2014).
26. B. Rieger, S. F. Schuster, S. V. Erhard, P. I. Otiswald, A. Rheinfeld, C. Willmann, and A. Jossen, *Journal of Energy Storage*, 8, 1 (2016).
27. J. Sturm, F. B. Spingler, B. Rieger, A. Rheinfeld, and A. Jossen, *J. Electrochem. Soc.*, 164(7), A1342 (2017).
28. C. Birkenmaier, B. Bitzer, M. Harzheim, A. Hintennach, and T. Schleid, *J. Electrochem. Soc.*, 162(14), A2646 (2015).
29. X. Wang, Y. Song, and S. Kawaijima, *J. Electrochem. Soc.*, 151(2), A273 (2004).
30. F. B. Spingler, W. Wittmann, J. Sturm, B. Rieger, and A. Jossen, *Journal of Power Sources*, 393, 152 (2018).
31. B. Rieger, S. V. Erhard, K. Rumpf, and A. Jossen, *J. Electrochem. Soc.*, 163(8), A1566 (2016).
32. I. A. Hunt, Y. Zhao, Y. Patel, and J. Offer, *J. Electrochem. Soc.*, 163(9), A1846 (2016).
33. Y. Zhao, Y. Patel, I. A. Hunt, K. M. Kareh, A. A. Holland, C. Korte, J. P. Dear, Y. Yue, and G. J. Offer, *Journal of Energy Storage*, 13, 296 (2017).
34. K. Zaghib, F. Brochu, A. Guerfi, and K. Kinoshita, *J. Power Sources*, 103(1), 140 (2001).
35. S. S. Zhang, K. Xu, and T. R. Jow, *Electrochimica Acta*, 49(3), 241 (2002).
36. J. Li, C. F. Yuan, Z. H. Guo, Z. A. Zhang, Y. Q. Lai, and J. Liu, *Electrochimica Acta*, 59, 69 (2012).
37. Y. Zhao, Y. Patel, T. Zhang, and G. J. Offer, *J. Electrochem. Soc.*, 165(13), A3169 (2018).
38. Schaeffler, *Technisches Taschenbuch* (2014).
39. Chetna Bindra, Vera A. Nalimova, Dmitry E. Sklovsky, William A. Kamitakahara, and John E. Fischer, *Phys. Rev.*, B 57, 5182 (1998).
40. James E. Mark, *The Polymer Data Handbook*. 

Downloaded on 2019-04-28 to IP 207.241.231.83 address. Redistribution subject to ECS terms of use (see ecsdl.org/site/terms_use) unless CC License in place (see abstract).