Theoretical study of the two-proton halo candidate $^{17}$Ne including contributions from resonant continuum and pairing correlations

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Abstract. With the relativistic Coulomb wave function boundary condition, the energies, widths and wave functions of the single-proton resonant orbitals for $^{17}$Ne are studied by the analytical continuation in the coupling constant (ACCC) approach within the framework of the relativistic mean field (RMF) theory. Pairing correlations and contributions from the single-particle resonant orbitals in the continuum are taken into consideration by the resonant Bardeen-Cooper-Schrieffer (BCS) approach, in which the constant pairing strength is used. It can be seen that the fully self-consistent calculations with NL3 and NLSH effective interactions mostly agree with the latest experimental measurements, such as binding energies, matter radii, charge radii and densities. The energy of the $\pi 2s_{1/2}$ orbital is slightly higher than that of the $\pi 1d_{5/2}$ orbital, and the occupation probability of the $(\pi 2s_{1/2})^2$ orbital is about 20%, which is in accordance with the shell model calculation and three-body model estimation.

1 Introduction

The study of unbound or loosely bound exotic nuclei casts a new light on the discovery of nuclear halo structure [1]. The valence nucleons can be readily scattered into single-particle resonant orbitals in the continuum. Due to the small binding energy and small or no centrifugal barrier conditions, the valence nucleons tunnel out of the potential barrier to long distances with an extended density tail to form the so-called halos. Therefore, the resonant orbitals in the continuum and the coupling between bound states and the continuum near the threshold play an important role in the description of halo phenomena [2, 3]. In most calculations, the continuum is replaced by a set of positive-energy orbitals without the contributions of the widths, determined by solving the Hartree-Fock-Bogoliubov (HFB) or relativistic-Hartree-Bogoliubov (RHB) equations in coordinate space and with box boundary conditions [4, 5]. Taking into account the contributions from bound states and resonant orbitals in the continuum, the resonant Bardeen-Cooper-Schrieffer approach based on the relativistic mean field theory (RMF-rBCS) [6–10] is applied to describe the properties of neutron-rich nuclei.

Recently, neutron halos have been prominently observed in experiments [1, 11–14] and widely explored theoretically [15–22]. However, studies on proton halos are still scarce because of the existence of the Coulomb barrier [23–25], and even more so for two-proton halos [26]. As one of the promising two-proton halo candidates, $^{17}$Ne recently attracts experimental [27–29] and theoretical [30–35] attention. The most precise mass and charge radii measurements of $^{17–22}$Ne have been performed with Penning trap mass spectrometry and collinear laser spectroscopy [28]. Furthermore, the reaction cross sections for $^{17}$Ne are measured by the transmission method and the corresponding density distribution is deduced from a modified Glauber-type calculation [29].

The improved experimental measurements for $^{17}$Ne make it possible to better compare with theoretical calculations. Using a Woods-Saxon potential together with Coulomb interaction in the three-body model, the ground-state properties of $^{17}$Ne are analyzed [31] in which the pairing correlation parameters are adjusted to reproduce the threshold of two-proton emission. The root mean square (rms) radius for $^{17}$Ne is estimated to be 2.62 fm and the s-orbit occupation probability is about 15%. It is also useful to make similar calculations for $^{17}$Ne in our fully self-consistent model, including proton resonant orbitals contributions, pairing correlations and Pauli block.
Table 1. Binding energies of $^{17}\text{Ne}$ calculated by the RMF and the RMF+ACCC+BCS (this work) approach with NL3 and NLSH effective interactions, respectively, and compared with experimental binding energy ($B(17\text{Ne})=112.928\text{MeV}$, $B(15\text{O})=111.955\text{MeV}$) [38]. Two-proton separation energies $S_{2p}$ are also shown for the RMF and the RMF+ACCC+BCS approach. All energy values are in units of MeV. Asterisk denotes the result of the RMF+ACCC+BCS calculations without resonant widths contributions.

| Approach          | $B_{\text{NL3}}$ | $B_{\text{NL3}}-B_{\text{Exp.}}$ | $B_{\text{NLSH}}$ | $B_{\text{NLSH}}-B_{\text{Exp.}}$ |
|-------------------|------------------|----------------------------------|------------------|----------------------------------|
| $^{17}\text{Ne}$  |                  |                                  |                  |                                  |
| RMF+ACCC+BCS      | 114.50           | 1.57                             | 113.68           | 0.75                             |
| RMF+ACCC+BCS*     | 114.84           | 1.91                             | 114.01           | 1.08                             |
| RMF               | 112.69           | -0.24                            | 111.86           | -1.07                            |
| $^{15}\text{O}$   |                  |                                  |                  |                                  |
| RMF+ACCC+BCS      | 114.30           | 2.345                            | 113.54           | 1.585                            |
| RMF               | 114.29           | 2.33                             | 113.52           | 1.56                             |
| $S_{2p}$          |                  |                                  |                  |                                  |
| RMF+ACCC+BCS      | 0.20             | 0.14                             |                  |                                  |
| RMF               | -1.60            |                                  |                  |                                  |

The paper is organized as follows. Numerical details, results and discussion are given in sect. 2. The conclusions and outlook for future work are outlined in sect. 3.

2 Numerical details, results and discussion

The single-particle (s.p.) energies $E_B$ and wave functions for bound states are extracted from the RMF approach. With the relativistic Coulomb wave functions boundary condition [36], the s.p. energies $E_R$, widths $\Gamma$, and wave functions for proton resonant orbitals are extracted from the analytical continuation of the coupling constant (ACCC) [37] approach within the framework of the RMF theory. Using $E_B$, $E_R$ and $\Gamma$, we solve the BCS gap equations to obtain the Fermi energy, pairing gap, the occupation probabilities of bound and resonant orbitals [10]. With contributions from the relevant s.p. orbitals, the nuclear densities are recalculated solving the coupled Dirac equations, as well as equations of meson field and photon field. The total binding energy is recovered from the summation of s.p. energies, meson energies, pairing energies and center-mass corrections. The next iteration starts with new $E_B$, $E_R$, $\Gamma$ and wave functions for s.p. orbitals extracted from the new potential. Therefore, the RMF+ACCC+BCS calculations are numerically self-consistent by an iterated way until convergence. The binding energies are precise to $10^{-3}\text{MeV}$ and the densities to $10^{-4}\text{fm}^{-3}$. Details for the neutron case can be found in ref. [10].

The pairing window is opened from all the bound states below the Fermi surface to one harmonic oscillator shell above the Fermi surface in our model, including the bound proton states 1$s_{1/2}$, 1$p_{3/2}$, 1$p_{1/2}$, and single-proton resonant states 1$d_{5/2}$, 2$s_{1/2}$. The constant pairing strength $G=C/\Lambda$ in the BCS approximation, is chosen by fitting the odd-even mass difference extracted from three-point formula for neutron pair,

$$\Delta_n = \frac{1}{2}[B(Z,N-1) - 2B(Z,N) + B(Z,N+1)],$$

in which binding energies are taken from ref. [38] except for $^{17}\text{Ne}$ by the latest mass measurements [28]. For $^{17}\text{Ne}$, $C_n = 25.5$ (26.0) can reproduce $\Delta_n = 1.8\text{MeV}$ for NL3 (NLSH) effective interaction. By fitting the odd-even mass difference $\Delta_p = 0.9\text{MeV}$ extracted from three-point formula for proton pair,

$$\Delta_p = \frac{1}{2}[B(Z-1,N) - 2B(Z,N) + B(Z+1,N)],$$

$C_p = 10.5$ (11.0) for NL3 (NLSH) effective interaction. Highly excited resonant orbitals with large widths, such as 1$d_{3/2}$ and 1$f_{7/2}$, are ignored in our calculations because of the minor occupation probability. For odd neutrons, the blocking effect is considered in the pairing correlations [39]. Note that RMF+ACCC+BCS approach is different from the conventional BCS approach, taking only the real resonant orbitals into consideration and kicking the spurious continuous orbitals off from the continuum [9, 10]. Using this approach, the properties of s.p. bound orbitals and resonant orbitals in stable and unstable Ni, Zr and Sn isotopes have been well described [10, 40, 41].

The theoretical binding energies for $^{17}\text{Ne}$ and $^{15}\text{O}$ are calculated by the RMF, RMF+BCS and RMF+ACCC+BCS approaches with NL3 and NLSH effective interactions [42, 43]. As is well known, the results of the RMF+BCS approach (i.e., the conventional BCS approach) change with the box size because of the inclusion of the spurious orbitals. As opposed to the RMF+BCS approach, the results of the RMF+ACCC+BCS approach are invariable for the specified pairing window, which is from all the bound states below the Fermi surface to one harmonic-oscillator shell resonant orbital above the Fermi surface. Therefore, only the binding energies obtained from the RMF and the RMF+ACCC+BCS approaches are shown in table 1. The available experimental binding energy for $^{17}\text{Ne}$ is 112.9276 MeV and that for $^{15}\text{O}$ is 111.955 MeV [38]. An asterisk denotes the result of the RMF+ACCC+BCS calculation without resonant width contribution. In this case, the resonant orbitals are simplified by the discrete positive orbitals without the widths.
It can be seen from table 1 that the results without width effect are larger than those with widths contributions because of the over evaluation of the pairing correlation, which is also mentioned in ref. [9]. The binding energies in the RMF+ACCC+BCS calculations are 1% larger than experimental data. The two-proton separation energy $S_{2p}$ for $^{17}$Ne is 0.93 MeV, and the corresponding theoretical result is 0.2 (0.14) MeV for the NL3 (NLSH) effective interaction. The values of $S_{2p}$ in our calculations are smaller than data, but those at least tell us $^{17}$Ne is a weakly bound nucleus, which cannot be seen from the RMF calculations. The binding energy for $^{15}$O is larger than that for $^{17}$Ne in the RMF calculations, which means $^{17}$Ne is unstable against proton emission if pairing correlations are not taken into account. In the RMF+ACCC+BCS calculations, the binding energy for $^{15}$O is less than that for $^{17}$Ne, which means the valence protons are weakly bound in $^{17}$Ne because of the attractive pairing correlations. As a consequence, pairing correlations are crucial in the existence of the nuclide and need to be taken into consideration. The contributions from the resonant orbitals in the continuum to the pairing are as important as the bound states near the Fermi surface. The width of the resonant orbital has the effect of weakening the pairing gap and decreasing the binding energy. All these factors are self-consistently included in the RMF+ACCC+BCS calculations so that the reasonable description of the binding energy can be given.

To show the contributions from the s.p. energies to the nuclear binding energies, the single proton orbitals of $^{17}$Ne are displayed in table 2, which are calculated by the fully self-consistent RMF+ACCC+BCS approach compared with those by RMF approach, for the NL3 and NLSH effective interactions, respectively. The positive energies of the s.p. orbitals given by the RMF approach are improper because of the box boundary condition. The results of the RMF approach are also shown for comparison with the results of the RMF+ACCC+BCS approach. It can be seen that the energies of the bound states in the RMF calculations are slightly different from those in the RMF+ACCC+BCS calculations because the self-consistent potential of the latter is not the same as the former. For protons, the bound orbitals $1s_{1/2}$, $1p_{3/2}$, $1p_{1/2}$, as well as the resonant orbitals $1d_{5/2}$ and $2s_{1/2}$ with narrow widths, have a similar structure for the NL3 and NLSH effective interactions. For clarity, the s.p. energies of proton orbitals in $^{17}$Ne are plotted in fig. 1 in potential well and barrier, for NL3 effective interaction. The proton potential with the Coulomb barrier $V_p$ (red curve) corresponds to the potential for the $s$ partial wave; $V_p + (l+1)/r^2$ (blue curve) refers to the potential with the Coulomb barrier and centrifugal barrier for the $d$ partial wave. It can be clearly seen that the $2s_{1/2}$ orbit (red line) lies below the Coulomb barrier ($l = 0$), and the $1d_{5/2}$ orbit (blue line) lies below the Coulomb and centrifugal barrier ($l = 2$). Since the $s$ and $d$ orbitals with positive energies lie just above the threshold ($E = 0$) and below the barrier, the two valence protons have the probabilities to tunnel the barrier, which are proportional to the widths of the resonant orbitals.

Furthermore, the density distributions for the core nucleus $^{15}$O and two-proton nucleus $^{17}$Ne in our model are presented in fig. 2 in logarithm scale, for the NL3 (solid line) and NLSH (dashed line) effective interactions, respectively. The error region for the experimental results is displayed by the gray area [29]. The radii of $^{15}$O and $^{17}$Ne are also shown in fig. 2. It can be seen that the densities from the RMF+ACCC+BCS approach agree well with the experimental fit results. The available experimental rms radius of $^{17}$Ne is 2.75(7) fm and experimental charge radius is 3.042(21) fm. In the RMF+ACCC+BCS

### Table 2. Single-proton energy orbitals for $^{17}$Ne in the RMF and the RMF+ACCC+BCS calculations with NL3 and NLSH effective interactions, respectively. All energy values are in units of MeV.

| $\pi nl_j$ | RMF | RMF+ACCC+BCS | RMF | RMF+ACCC+BCS |
|-----------|-----|-------------|-----|-------------|
|           | $E$ | $E$         | $\Gamma$ | $E$         | $E$         | $\Gamma$ |
| $2s_{1/2}$ | 0.81 | 0.73        | 0.02 | 1.24        | 1.17        | 0.18     |
| $1d_{5/2}$ | 0.49 | 0.53        | 0.003| 0.61        | 0.65        | 0.0026   |
| $1p_{1/2}$ | -9.12| -8.94       | 0    | -9.23       | -9.09       | 0        |
| $1p_{3/2}$ | -15.53| -15.36      | 0    | -15.98      | -15.84      | 0        |
| $1s_{1/2}$ | -35.71| -35.43      | 0    | -36.31      | -36.08      | 0        |

![Fig. 1.](image-url) (Color online) Single-proton orbitals in $^{17}$Ne calculated by the RMF+ACCC+BCS approach for the NL3 effective interaction.
calculations, the rms radius of $^{17}\text{Ne}$ is about 2.86 fm for the NL3 effective interaction, and 2.79 fm for the NLSH effective interaction; the charge radius of $^{17}\text{Ne}$ is about 3.15 fm for the NL3 effective interaction, and 3.07 fm for the NLSH effective interaction. The relative errors are less than 5%.

To investigate detailed contributions to the radii, we plot the occupation probabilities of the single-proton orbitals $1s_{1/2}$, $1p_{3/2}$, $1p_{1/2}$, $1d_{5/2}$ and $2s_{1/2}$ in $^{17}\text{Ne}$ by the RMF+ACCC+BCS approach in fig. 3. In our calculations, the occupation probability of $(2s_{1/2})^2$ is about 20%, and that of $(1d_{5/2})^2$ is about 40% for the NLSH effective interaction, which is in accordance with the results in shell-model calculations and three-body model [30, 49–51]. In fig. 4, the real part of the wave functions for the upper component of proton resonant orbitals $1d_{5/2}$ and $2s_{1/2}$ in $^{17}\text{Ne}$ by the RMF+ACCC+BCS approach are, respectively, plotted for the NL3 and NLSH effective interactions. The extension of the wave functions for $1d_{5/2}$ and $2s_{1/2}$ can be clearly seen at $r > 3$ fm, which give the main contributions to the density of this range for $^{17}\text{Ne}$.

Experimental studies [27–29,47] have not been able to confirm the halo structure of $^{17}\text{Ne}$, and the corresponding theoretical studies are still controversial. The possibility of the halo existence for $^{17}\text{Ne}$ is discussed by the occupation probabilities of the resonant orbitals $2s_{1/2}$ and $1d_{5/2}$. With a three-cluster model, Timofeyuk et al. obtain a $(2s_{1/2})^2$-dominant configuration [48]. Some three-body model calculations suggest almost equal occupation probabilities of the $(2s_{1/2})^2$ and $(1d_{5/2})^2$ orbitals [30, 49–51], but some calculations suggest a $(1d_{5/2})^2$ dominance [31]. From the Coulomb mass shift, Nakamura et al. suggest a $(2s_{1/2})^2$ dominance [52], but Fortune et al. oppositely suggest a $(1d_{5/2})^2$ dominance [44, 45]. By calculating the interaction cross section $\sigma_I$ with a Hartree-Fock-type wave function and the Glauber model [53], Kitagawa et al. also proposed a $(1d_{5/2})^2$ dominance.

In our present scheme, the occupation probability of $(1d_{5/2})^2$ is larger than that of $(2s_{1/2})^2$, because the $\pi 2s_{1/2}$ orbital lies slightly above $\pi 1d_{5/2}$ orbital. The inclusion of tensor force might alter the present results, which has been discussed for proton $1d_{5/2}$ splitting decreasing for Ca isotopes in ref. [54] within the framework of the RMF model, also appearing in ref. [55] within the framework of HB3+Sly5+tensor force model. Moreover, the consideration of pairing correlations is important since the filling near the Fermi surface is embedded in the continuum so that the density of the energy levels becomes large. In this sense, the state-dependent pairing gap may be necessary. Such an effort on this point is in process.
3 Summary

The energies, widths and wave functions of the single-proton resonant orbitals for $^{17}$Ne are studied by the RMF+ACCC approach with the relativistic Coulomb wave functions boundary condition. Pairing correlations and contributions from the s.p. resonant orbitals in the continuum are taken into consideration by the resonant BCS approach, in which constant pairing strength are used. The fully self-consistently microscopic RMF+ACCC+BCS calculations reproduce the main experimental properties of two-proton halo candidate $^{17}$Ne, such as matter radii, charge radii, densities with the NL3 and NLSH effective interactions. The occupation probability of the proton resonant orbit $(\pi^{2}s_{1/2})^2$ is in accordance with the results of the shell model and three-body model. The resonant orbitals in the continuum play an important role in the pairing correlations as well as those bound states near Fermi surface. Our model considers pairing correlations for proton-rich nuclei by the coupling between a few narrow resonant orbitals and bound orbitals, which can be safely extrapolated to describe exotic nuclei. Since many nuclei are deformed, it is desirable to include the deformation effect to study the properties of the deformed nuclei. Preliminary attempts have been made in a deformed Woods-Saxon potential for coupled Dirac equations, which will be explained in detail independently.

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