Abnormal dynamic ventilation function of COVID-19 survivors detected by pulmonary free-breathing proton MRI

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Abstract

Objectives To visualize and quantitatively assess regional lung function of survivors of COVID-19 who were hospitalized using pulmonary free-breathing 1H MRI.

Methods A total of 12 healthy volunteers and 27 COVID-19 survivors (62.4 ± 8.1 days between infection and image acquisition) were recruited in this prospective study and performed chest 1H MRI acquisitions with free tidal breathing. Then, conventional Fourier decomposition ventilation (FD-V) and global fractional ventilation (FV_global) were analyzed. Besides, a modified PREFUL (mPREFUL) method was developed to adapt to COVID-19 survivors and generate dynamic ventilation maps and parameters. All the ventilation maps and parameters were analyzed using Student’s t-test. Pearson’s correlation and a Bland-Altman plot between FV_global and mPREFUL were analyzed.

Results There was no significant difference between COVID-19 and healthy groups regarding a static FD-V map (0.47 ± 0.12 vs 0.42 ± 0.08; p = .233). However, mPREFUL demonstrated lots of regional high ventilation areas (high ventilation percentage (HVP): 23.7% ± 10.6%) existed in survivors. This regional heterogeneity (i.e., HVP) in survivors was significantly higher than in healthy volunteers (p = .003). The survivors breathed deeper (flow-volume loop: 5375 ± 3978 vs 1688 ± 789; p = .005), and breathed more air in respiratory cycle (total amount: 62.6 ± 19.3 vs 37.3 ± 9.9; p < .001). Besides, mPREFUL showed both good Pearson’s correlation (r = 0.74; p < .001) and Bland-Altman consistency (mean bias = −0.01) with FV_global.

Conclusions Dynamic ventilation imaging using pulmonary free-breathing 1H MRI found regional abnormity of dynamic ventilation function in COVID-19 survivors.

Key Points

- Pulmonary free-breathing 1H MRI was used to visualize and quantitatively assess regional lung ventilation function of COVID-19 survivors.
- Dynamic ventilation maps generated from 1H MRI were more sensitive to distinguish the COVID-19 and healthy groups (total air amount: 62.6 ± 19.3 vs 37.3 ± 9.9; p < .001), compared with static ventilation maps (FD-V value: 0.47 ± 0.12 vs 0.42 ± 0.08; p = .233).
- COVID-19 survivors had larger regional heterogeneity (high ventilation percentage: 23.7% ± 10.6% vs 13.1% ± 7.9%; p = .003), and breathed deeper (flow-volume loop: 5375 ± 3978 vs 1688 ± 789; p = .005) than healthy volunteers.
Keywords  COVID-19 survivors · Pulmonary free-breathing $^1$H MRI · Dynamic ventilation imaging · Regional lung function · Phase-resolved functional lung (PREFUL)

Abbreviations
End-exp End-expiration
End-insp End-inspiration
FD Fourier decomposition
FD-V FD ventilation
FRC Full respiratory cycle
FV Fractional ventilation
FV Global Global fractional ventilation
HVP High ventilation percentage
PREFUL Phase-resolved functional lung

Introduction
As of December 2021, more than 260 million people worldwide have been infected with coronavirus disease 2019 (COVID-19) [1, 2]. Recent studies indicated long-term lung function damage might exist among discharged COVID-19 survivors [3]. Previous studies on other coronavirus infections also showed persistent impairment on lung function would last for years [4]. Attention has been paid on assessment of COVID-19 survivors using pulmonary function tests (PFTs) [5–9]. However, PFTs have limited sensitivity to regional abnormalities, while regional lung function imaging could directly visualize function at regional and whole level [10].

Single-photon emission computed tomography (SPECT) is currently the gold standard of regional lung function imaging [11, 12]. CT ventilation imaging (CTVI) [13] and its variant N-Phase ventilation method [14] could also assess regional ventilation, and recently, CTVI was used to predict lung lesions in the early stage of COVID-19 disease [15]. Nevertheless, SPECT and CTVI are limited by radiation. Hyperpolarized gas magnetic resonance imaging (MRI) has also been used for functional imaging of lung [16–18], and our group detected damaged lung gas-exchange function with hyperpolarized $^{129}$Xe MRI in COVID-19 survivors [19]. Hyperpolarized $^{129}$Xe MRI requires significant equipment and technical expertise and is thus currently not suitable for usage outside of expert centers.

Proton ($^1$H) MRI is one of the most widely used medical imaging modality [20, 21]. With development of fast acquisition and image processing, $^1$H MRI could be used to assess regional lung function based on image registration or Fourier decomposition (FD) [22, 23]. Previous studies have shown $^1$H MRI is a promising method for imaging lung ventilation function without radioactivity [24–32]. Howbeit, most methods do not visualize dynamic process of ventilation and might conceal some abnormal regions [33, 34]. Recently a phase-resolved functional lung (PREFUL) MRI method was proposed to quantify regional dynamic ventilation using pulmonary free-breathing $^1$H MRI acquisition [35–39]. PREFUL MRI has been demonstrated to be more sensitive for detecting regional abnormality compared with static ventilation measurements.

Base on the above, we intend to visualize and quantitative-ly assess regional ventilation function of COVID-19 survivors using pulmonary free-breathing $^1$H MRI. In this work, a modified PREFUL method (mPREFUL) is developed to adapt to COVID-19 survivors and generate dynamic ventilation maps and parameters. Besides, conventional FD ventilation (FD-V) [23] and global fractional ventilation (FV Global) [40] are also analyzed. We anticipate regional lung ventilation imaging using free-breathing $^1$H MRI could help interpret the causes of lung dysfunction in COVID-19 survivors.

Materials and methods

Study subjects
A total of 12 healthy volunteers and 27 COVID-19 survivors were recruited in this prospective study. The local institutional review board approved the experiments and informed written consent was obtained from each subject. The survivors were discharged from Tongji Hospital, Wuhan, China, from February 4 to March 5, 2020. The inclusion criteria of survivors and healthy volunteers were as follows: (1) the survivors and healthy volunteers had no previous history of pulmonary disease or smoking; (2) the discharge time of survivors was about 1 month; (3) the age of healthy volunteers was close to the survivors as possible. One healthy volunteer and six COVID-19 survivors were excluded because of image quality (low SNR, motion artifacts, etc.). According to the guidelines of diagnosis of COVID-19 pneumonia [3, 41], the rest of the survivors were divided into mild and severe groups based on clinical symptoms during hospitalization (e.g., whether respiratory rate > 30 breaths/min or oxygen saturations < 93% at rest state).

Thus, a total of 11 healthy volunteers (mean age ± standard deviation (SD), 34 ± 6 years, and 5 females) and 21 COVID-19 survivors (39 ± 9 years, 10 females, and divided into 13 mild and 8 severe survivors) were evaluated in this study. The images were acquired 62.4 ± 8.1 days later after the COVID infection. Among these survivors, 5 (23.8%) had long COVID-19 symptoms (3 had dyspnea, 1 had chest pain, and 1 had palpitations). The remaining survivors had no obviously long COVID-19 symptoms (e.g., fatigue, cough). Demography characteristics of subjects are summarized in Table 1.
Image acquisitions

Pulmonary free-breathing $^1$H MRI images were acquired from all subjects using a 3-T scanner (uMR 780, United Imaging Healthcare) in head-first supine position. One coronal slice at the middle of lungs was acquired using a chest coil. The acquisitions were performed during free tidal breathing using a spoiled gradient echo sequence over a period of 85 s at a temporal resolution of 0.441 s (total 192 time-series $^1$H MRI images for each subject). The sequence settings were field of view 50 × 50 cm$^2$, matrix size 256 × 256, slice thickness 15 mm, echo time 1.04 ms, repetition time 3 ms, flip angle 5°, and bandwidth 384 kHz (1500 Hz/pixel × 256 pixel).

Dynamic ventilation imaging

The acquired time-series $^1$H MRI images were pre-processed (e.g., first three images omitted, de-noising, lung segmentation) and spatially co-registered [23]. Then, dynamic ventilation maps were generated by using mPREFUL. The general framework of mPREFUL included three major steps: (1) time-series signal construction, (2) full respiratory cycle (FRC) reconstruction, and (3) dynamic ventilation maps generation, as shown in Fig. 1. Compared with original PREFUL [35], an automatic method was used to construct time-series signal in mPREFUL, and thus the dynamic ventilation maps could be automatically generated. Besides, the actual respiratory cycle time in FRC of each subject was calculated in mPREFUL. Correspondingly, the dynamic ventilation imaging of subjects was assessed based on their real respiratory time. The detailed description of mPREFUL is provided in the Supplementary Material.

Dynamic ventilation parameters

In mPREFUL, the dynamic $^1$H-density difference maps [22] and relative difference maps [26] between each time point ($t_x$) $^1$H MRI image and end-exp $^1$H MRI image in FRC were used to represent the dynamic ventilation maps (denoted as $V(t_x)$ maps) and dynamic fractional ventilation maps (denoted as $FV(t_x)$ maps). The mean value of a time point $V(t_x)$ map/$FV(t_x)$ map was calculated to reflect the air volume/relative air volume that was breathed in that time point. Then, these time-varying scattered points were fitted into a continuous curve, denoted as $V(t_x)$ curve or $FV(t_x)$ curve. The $V(t_x)$ curve and $FV(t_x)$ curve directly reflected dynamic change of air amount that was breathed during respiratory cycle. The slopes of $V(t_x)$ curve/$FV(t_x)$ curve reflected the air flow rate (i.e., the air amount that was breathed per unit time). Specially, the end-insp $V(t_x)$ map/$FV(t_x)$ map were referred as $V_{100\%}$ map/$FV_{100\%}$ map, and the mean value of $V_{100\%}$/$FV_{100\%}$ map reflected the total air volume/relative air volume that was breathed in whole respiratory cycle. The $V(t_x)$ curve and its slopes could be plotted as a closed air flow-volume loop (as displayed in Figure 3), which was used to reflect the breathing state during free tidal breathing [42]. In addition, the regional heterogeneity marker of dynamic ventilation maps was generated from the K-means cluster which was commonly used in the post-processing of ventilation imaging [43].

Table 1 The demography and pulmonary function characteristics of all the subjects

| Characteristics | Healthy (n = 11) | COVID-19 (n = 21) | p value$^a$ |
|-----------------|-----------------|------------------|-------------|
|                 | Mild (n = 13)   | Severe (n = 8)   | All (n = 21) |
| Age (years)     | 34 ± 6          | 36 ± 9           | 44 ± 8      | 39 ± 9 | .101 |
| Female          | 5 (45.5%)       | 9 (69.2%)        | 1 (12.5%)   | 10 (47.6%) | .911 |
| FEV$^1$/FVC (%) | 80.9 ± 4.1      | 81.7 ± 2.6       | 86.4 ± 4.9  | 83.2 ± 4.0 | .137 |
| PaO$_2$ (mmHg)  | 98.4 ± 0.7      | 98.4 ± 0.9       | 98.5 ± 0.8  | 98.4 ± 0.8 | .848 |
| Hospital stay (days) | N/A             | 23.2 ± 7.2      | 28.3 ± 7.9  | 25.3 ± 7.7 | N/A |
| Discharge time (days) | N/A             | 35.1 ± 6.1      | 41.3 ± 13.8 | 37.4 ± 9.8 | N/A |
| Infection to image acquisition (days) | N/A             | 58.3 ± 3.4      | 69.6 ± 9.0  | 62.4 ± 8.1 | N/A |
| Dyspnea         | N/A             | 2 (15.4%)        | 1 (12.5%)   | 1 (4.8%) | N/A |
| Chest pain      | N/A             | 0 (0%)           | 1 (12.5%)   | 1 (4.8%) | N/A |
| Palpitations    | N/A             | 0 (0%)           | 1 (12.5%)   | 1 (4.8%) | N/A |

Values were presented as mean ± SD or n (%)

$^a$The significant difference between the healthy volunteers and all the COVID-19 survivors was determined with Student’s t-test

COVID-19, corona virus disease 2019; FEV$^1$/FVC, the ratio of forced expiratory volume in first second and forced vital capacity; PaO$_2$, arterial partial pressure of oxygen
Validation of mPREFUL

The end-exp and end-insp lung volumes of the free-breathing $^1$H MRI images were manually extracted and segmented by two radiologists (with more than 10 years of experience in pulmonary imaging diagnosis) [40]. The relative change of end-exp and end-insp lung volumes was calculated and denoted as FVGlobal [40]. The FVGlobal value reflected the relative air volume that was breathed in whole respiratory cycle and could be used to verify the ventilation maps generated from mPREFUL [22, 28, 40].

Statistical analysis

The FD-V maps [23] of the subjects were used as static ventilation maps [36]. To correct out-of-phase ventilation, the N-Phase ventilation method [14] was used to obtain the adjusted dynamic ventilation maps. All the ventilation maps and parameters of different groups were analyzed using unpaired 2-tailed Student’s $t$-test. Pearson’s correlation and a Bland-Altman plot between mPREFUL and FVGlobal results were analyzed. All the statistical analyses were performed on SPSS statistics software (version 22.0, IBM Inc.). Significance was determined by using a difference with $p < 0.05$.

Results

Dynamic ventilation maps

Figure 2 shows the dynamic $^1$H MRI images (at end-exp, middle, and end-insp time points) and corresponding dynamic ventilation maps (at middle and end-insp, i.e., $V_{50\%}$ map and $V_{100\%}$ map) of a healthy volunteer (male, 31 years old), a mild COVID-19 survivor (female, 47 years old), and a severe COVID-19 survivor (male, 53 years old). The green and red lines denoted
the location of diaphragm at end-exp and end-insp. It could be seen that the ranges of diaphragm motion in survivors were relatively deeper than in healthy volunteer. Meanwhile, the signal intensities in $V_{50\%}$ map and $V_{100\%}$ map of healthy volunteer were homogeneous, while lots of hyperintense signal areas (i.e., high ventilation areas, indicated by red color in ventilation maps) existed in both $V_{50\%}$ map and $V_{100\%}$ map of survivors. Besides, the changes in regional features between $V_{50\%}$ map and $V_{100\%}$ map in survivors were also much larger than in healthy volunteer. In details, the proportion of the lung pixels with ventilation values higher than 50% of the maximal ventilation value was used to quantify these changes. The changes of this proportion between $V_{50\%}$ map and $V_{100\%}$ map in healthy and COVID-19 groups were $1.8\% \pm 0.8\%$ and $3.7\% \pm 1.6\%$ ($p = 0.001$), respectively. Furthermore, the comparison between dynamic ventilation maps and static FD-V maps is shown in Fig. S1 of the Supplementary Material, and the adjusted $V_{100\%}$ maps by N-Phase ventilation method are shown in Fig. S2 of the Supplementary Material.

**Dynamic ventilation parameters**

Figure 3 shows the $V(t_s)$ curves, flow-volume loops, and $FV(t_s)$ curves of a healthy volunteer (male, 31 years old), a mild COVID-19 survivor (female, 47 years old), and a severe COVID-19 survivor (male, 53 years old). The distance between green line and red line in dynamic $^1$H MRI images indicated the range of diaphragm motion in the respiratory cycle. The hyperintense signal areas (red color areas) of the $V_{50\%}$ map and $V_{100\%}$ map indicated high ventilation areas.
indicating survivors breathed more air per unit time and breathed more air in respiratory cycle.

**Regional dynamic ventilation**

Figure 4 shows the regional heterogeneity analysis of the dynamic ventilation maps (V100% maps) of the representative subjects in Fig. 2. The lung pixels of V100% maps were categorized as normal ventilation regions (green color) and high ventilation regions (red color) by K-means cluster. It could be seen that the high ventilation regions in healthy volunteer were uniformly distributed in lungs. However, in survivors, the high ventilation regions were heterogeneous and aggregated in some regions. The high ventilation percentage (HVP) of the lungs in survivors was higher than that in healthy volunteer. Besides, the regional V(tx) curves of normal and high ventilation regions were also displayed. The slope and peak value in healthy volunteer were small. While in survivors, the regional V(tx) curves of normal ventilation regions were similar with that of healthy volunteer, but the regional V(tx) curves of high ventilation regions were obviously different with a large slope and peak value. In this way, the regional dynamic ventilation information of the subjects could be provided and quantitatively assessed.

**Group analysis**

Table 2 and Figure 5 display group analysis of the static FD-V map, dynamic ventilation maps (V100% map, FV100% map), dynamic ventilation parameters (HVP, V(tx) curve, FV(tx) curve, and flow-volume loop), and FVGlobal. There was no significant difference between the COVID-19 and healthy groups regarding mean value of FD-V map (0.47 ± 0.12 vs 0.42 ± 0.08; p = 0.233). However, dynamic ventilation maps and parameters all showed significant difference between survivors and healthy volunteers. The mean value of V100% map/FV100% map in survivors was significantly higher than in healthy volunteers (62.6 ± 19.3/15.1% ± 4.1% vs 37.3 ± 9.9/9.2% ± 2.1%; p < 0.001/p < 0.001). The slope of V(tx) curve/FV(tx) curve in survivors was significantly higher than in healthy volunteers (43.6 ± 17.0/8.9% ±
2.9% vs 24.3 ± 7.3/5.2% ± 1.4%; \( p = 0.001 \)). The area of flow-volume loop in survivors was significantly higher than in healthy volunteers (5375 ± 3978 vs 1688 ± 789; \( p = 0.005 \)). Besides, the FV\(_{\text{Global}}\) in survivors was also significantly higher than in healthy volunteers (12.9% ± 3.9% vs 8.8% ± 1.9%; \( p = 0.003 \)).

Specially, the regional heterogeneity marker (HVP) in the lungs of survivors was significantly higher than in healthy volunteers (23.7% ± 10.6% vs 13.1% ± 7.9%; \( p = 0.003 \)). The HVP in 10 of 11 (90.9%) healthy volunteers were belonging to the range (5.8%, 15.9%), while only 7 of 21 (33.3%) survivors have HVP values in that range.

**Validation of mPREFUL**

Figure 6a shows the validation of the dynamic ventilation map (FV\(_{100\%}\) maps) by measuring the relative changes between the end-exp lung volume and end-insp lung volume of the free-breathing \(^1\)H MRI images of all subjects. The measured relative changes of lung volumes and the calculated mean FV values of FV\(_{100\%}\) maps of all subjects were 0.11 ± 0.04 and 0.13 ± 0.04, without significant difference (\( p = 0.142 \)). Figure 6b shows Pearson’s correlations between the measured relative changes and the calculated mean FV values. The two methods had a good correlation (\( r = 0.74; p < 0.001 \)). Figure 6c shows a Bland-Altman plot of two methods, where mean bias ± SD was
−0.01 ± 0.03 (95% limit of agreement: −0.07 to 0.04). Besides, the comparisons between FV and lung volumes (e.g., functional residual capacity, tidal volume) are shown in Fig. S3 of the Supplementary Material. These results indicated the measured relative changes and the calculated mean FV values were in good agreement, and supported the accuracy of the proposed method.

Discussion

In this study, pulmonary free-breathing $^{1}$H MRI was used to visualize and quantitatively assess regional ventilation function of COVID-19 survivors. The results showed the static FD-V map could not distinguish survivors and healthy volunteers. However, the dynamic ventilation maps revealed lots of regional high ventilation areas existed in survivors. The regional heterogeneity marker (HVP) in survivors was significantly higher than that in healthy volunteers ($p = 0.003$). The survivors breathed deeper, breathed more air per unit time, and breathed more air in respiratory cycle. The proposed mPREFUL was validated by FVGlobal, showing both good Pearson’s correlation and good consistency of the Bland-Altman plot.
The dynamic ventilation maps were shown to be more sensitive to detect lung function abnormality in COVID-19 survivors, compared with static ventilation maps. The concept that dynamic ventilation imaging was more sensitive to detect lung function abnormality has also been demonstrated in recent PREFUL studies [36]. In this work, the value of dynamic ventilation imaging on the assessment of regional lung function in COVID-19 survivors was demonstrated.

The mPREFUL revealed the COVID-19 survivors breathed more air in respiratory cycle. Similarly, the FVGlobal in survivors was also significantly higher than in healthy volunteers, which verified the mPREFUL findings. The global dynamic ventilation parameters could also be measured by PFTs. As reported, the tidal volume in the severe COVID-19 survivors (mean value = 1200 mL) who were discharged about 35 days was much larger than that in the healthy adults (about 500 mL) [5], which was also consistent with our findings. Nevertheless, PFTs could not provide regional information. Our study may provide some unique regional information, i.e., the regional dynamic ventilation abnormality, for the post COVID-19 researches. This regional information might be useful for interpreting the causes of lung dysfunction in COVID-19 survivors. For example, we found the increase of dynamic ventilation in COVID-19 survivors was not uniformly distributed in the lungs but was concentrated in some regions of the lungs, which could not be observed in PFTs. Furthermore, the analysis on the regional information (e.g., the correlation analysis with CT residual lesions) would lead to the regional treatment on the lungs of COVID-19 survivors.

Previous studies [35–37, 44] have found regional high ventilation existed in some lung diseases (e.g., cystic fibrosis) and attributed this phenomenon as compensatory hyperventilation. The PFTs [7, 9] and hyperpolarized $^{129}$Xe MRI [19] studies have shown the impairment of diffusion capacity which would reduce the efficiency of oxygen entering blood was the most common lung dysfunction in COVID-19 survivors. In this study, the high dynamic ventilation was detected in COVID-19 survivors. A reasonable explanation was the compensatory mechanism of ventilation for the survivors, i.e., the bodies of survivors possibly self-adjusted to breathe more oxygen in respiratory cycle for exchanging with blood to maintain a normal arterial partial pressure of oxygen (as detected in this work). In future, that explanation should be more rigorously evaluated by measuring the perfusion or oxygen intake in survivors.

Our study has some limitations. First, sample size was relatively small. Besides, since this study was conducted during...
the outbreak period of the epidemic, some subjects felt nervous and cooperated not well. Thus, 1 healthy volunteer and 6 COVID-19 survivors were excluded because of image quality (e.g., motion artifacts). Second, only one coronal slice was acquired although this was a usual setting in free-breathing 1H MRI [23]. However, the acquisition with more slices could image whole lung, which is needed in future. Third, detailed regional structural information was insufficient due to current limitation of free-breathing 1H MRI. In the future, multiple modality imaging methods (such as CT, hyperpolarized 129Xe MRI, and free-breathing 1H MRI) should be developed to assess regional lung function of COVID-19 survivors.

In summary, the pulmonary free-breathing 1H MRI was used to visualize and quantitatively assess regional lung ventilation function of COVID-19 survivors. The dynamic ventilation maps and parameters were more sensitive to distinguish the survivors and healthy volunteers, compared with static ventilation map. The dynamic ventilation imaging found the regional lung function abnormality in COVID-19 survivors. Pulmonary free-breathing 1H MRI would be an optional method in clinical to examine regional lung function for the huge number of COVID-19 survivors to understand the long-COVID symptoms and identify the impairment where clinical features cannot.

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Declarations

Guarantor The scientific guarantor of this publication is Xin Zhou.

Conflict of Interest The authors declare no competing interests.

Statistics and Biometry No complex statistical methods were necessary for this paper.

Informed Consent Written informed consent was obtained from all subjects (patients) in this study.

Ethical Approval Institutional Review Board approval was obtained.

Methodology

• prospective
• diagnostic or prognostic study
• performed at one institution

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