The Schreier-Sims algorithm for matrix groups

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Abstract. This is the report of a project with the aim to make a new implementation of the Schreier-Sims algorithm in GAP, specialized for matrix groups. The standard Schreier-Sims algorithm is described in some detail, followed by descriptions of the probabilistic Schreier-Sims algorithm and the Schreier-Todd-Coxeter-Sims algorithm. Then we discuss our implementation and some optimisations, and finally we report on the performance of our implementation, as compared to the existing implementation in GAP, and we give benchmark results. The conclusion is that our implementation in some cases is faster and consumes much less memory.
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Preface

This report is made as part of a student project at the Department of Mathematics, Imperial College of Science, Technology and Medicine in London, United Kingdom. It is made in partial fulfillment of the requirements for the degree of Master of Science in Pure Mathematics.

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CHAPTER 1

Introduction

The following report makes up one the two parts of a project in computational group theory, the other part being a software package for the computer system GAP (see [GAP]), which can be found on the WWW at the URL given on the title page. This text will describe the mathematics that provide the foundations of the package, including the algorithms used and their complexity, and also some of the more computer science oriented aspects, like what data structures that were used, and how the implementation was done.

Computational group theory (CGT) is an area of research on the border between group theory and computer science, and work in CGT is often of both theoretical (mathematical) and practical (programming) nature, leading to both theoretical results (mathematical theorems and proofs) and practical results (software), and this project is no exception. Introductory surveys of CGT can be found in [Sim03], [Ser97], [Neu95] and [CH92].

The aim of the project was to make a GAP package with an implementation of the Schreier-Sims algorithm for matrix groups. The Schreier-Sims algorithm computes a base and a strong generating set for a group, and an implementation of this fundamental algorithm is already included in the standard GAP distribution, but that implementation always first computes a faithful action (ie a permutation representation) of the given group and then executes the algorithm on the resulting permutation group. The idea for this project was to restrict attention to matrix groups, and implement a version of the algorithm which works with the matrices directly, and see if one can obtain a more efficient implementation in this way.

A survey of computational matrix group theory can be found in [NP01]. It should be noted that we are only interested in finite groups, ie matrix groups over finite fields, and therefore there is no need to worry about any noncomputability or undecidability issues.

We will begin with a quick reference of the basic concepts from group theory and computer science that are being used, before moving on to describe the Schreier-Sims algorithm. The description will be quite detailed, and then we will turn to the variants of the algorithm that have also been implemented in the project: the random (ie. probabilistic) Schreier-Sims algorithm and the Schreier-Todd-Coxeter-Sims algorithm. After that we will say something about the implementation, and describe some tricks and improvements that have been done to make the algorithm faster. Finally, the practical performance and benchmark results of the implementation will be shown, and compared to the existing implementation in GAP.

It must be mentioned that a report similar to this one is [Mur93], from which a fair amount of inspiration comes.
CHAPTER 2

Preliminaries

The definitions and statements in this section are assumed to be known, but we state them anyway, since authors often use different notation and sometimes put slightly different meaning to some of the following concepts (eg. the exact definition of graphs tend to vary).

1. Graph theory

First some graph theory, where we follow Big89.

Definition 2.1. A directed graph is an ordered pair $G = (V, E)$ where $V$ is a finite non-empty set, the vertices of $G$ and $E \subseteq V \times V$ is the edges of $G$.

Remark 2.2. A graph in the sense of 2.1 is sometimes called a combinatorial graph in the literature, to emphasize that they are not metric graphs in the sense of BH99. As we are interested only in finite graphs and not in geometry, we do not make use of this nomenclature.

Remark 2.3. The definition implies that our graphs have no multiple edges, but may have loops. We will henceforth omit the word "directed" since these are the only graphs we are interested in.

Definition 2.4. If $G = (V, E)$ is a graph, a sequence $v = v_1, v_2, \ldots, v_n = u$ of vertices of $G$ such that $(v_i, v_{i+1}) \in E$ for $i = 1, \ldots, n-1$ is called a walk from $v$ to $u$. The length of a walk $v = v_1, \ldots, v_k = u$ is $k-1$. If $v = u$ then the walk is called a cycle. If all vertices in the walk are distinct, it is called a path. If every pair of distinct vertices in $G$ can be joined by a path, then $G$ is connected.

Definition 2.5. A graph $G' = (V', E')$ is a subgraph of a graph $G = (V, E)$ if $V' \subseteq V$ and $E' \subseteq E$.

Definition 2.6. A tree is a connected graph without cycles. A rooted tree is a tree $T = (V, E)$ where a vertex $r \in V$ have been specified as the root.

Definition 2.7. If $G = (V, E)$ is a graph, then a tree $T$ is a spanning tree of $G$ if $T$ is a subgraph of $G$ and $T$ have vertex set $V$.

The following are elementary and the proofs are omitted.

Proposition 2.8. In a tree $T = (V, E)$ we have $|E| = |V| - 1$ and there exists a unique path between every pair of distinct vertices. Conversely, if $G$ is a graph where every pair of distinct vertices can be joined by a unique path, then $G$ is a tree.

Proposition 2.9. Every graph has a spanning tree.
DEFINITION 2.10. Let $T = (V, E)$ be a rooted tree with root $r \in V$. The depth of a node $x \in V$ is the length of the path from $x$ to $r$. The height of $T$ is the maximum depth of any node.

DEFINITION 2.11. If $G = (V, E)$ is a graph, then a labelling of $G$ is a function $w : E \to L$ where $L$ is some set of "labels". A labelled graph is a graph with a corresponding labelling.

2. Group theory

Now some group theory, where we follow our standard references [BB96] and [Ros94].

NOTE 2.12. All groups in this report are assumed to be finite.

DEFINITION 2.13. If $G = \langle S \rangle$ is a group, then the Cayley graph $C_G(S)$ is the graph with vertex set $G$ and edges $E = \{ (g, sg) \mid g \in G, s \in S \}$.

DEFINITION 2.14. An action of a group $G$ on a finite set $X$ is a homomorphism $\lambda : G \to \text{Sym}(X)$ (where $\text{Sym}(X)$ is the group of permutations on $X$). If $\lambda$ is injective, the action is faithful.

REMARK 2.15. Following a convention in computational group theory, actions are from the right, and $\lambda(g)x$ is abbreviated with $x^g$, for $g \in G$ and $x \in X$. The elements of $X$ are called points. Note that some of the rules for exponents hold since we have an action, eg $(x^g)^h = x^{gh}$.

DEFINITION 2.16. Let $G$ be a group acting on the finite set $X$. For each point $\alpha \in X$, the orbit of $\alpha$ is $\alpha^G = \{ \beta \in X \mid \beta = \alpha^g, g \in G \}$ and the stabiliser of $\alpha$ is $G_\alpha = \{ g \in G \mid \alpha^g = \alpha \}$.

The following are elementary and the proofs are omitted.

PROPOSITION 2.17. Let $G$ be a group acting on the finite set $X$. For each $p \in X$ we have $G_p \leq G$, and so we can define inductively

$$G_{\alpha_1, \alpha_2, \ldots, \alpha_n} = (G_{\alpha_1, \alpha_2, \ldots, \alpha_{n-1}})_{\alpha_n}$$

where $n > 1$ and $\alpha_1, \ldots, \alpha_n \in X$.

PROPOSITION 2.18. Let $G$ be a group acting on the finite set $X$. For each $p \in X$, the map $\mu_p : G/G_p \to p^G$ given by

$$G_p g \mapsto p^g$$

for each $g \in G$, is a bijection. In particular, $|p^G| = [G : G_p]$.

3. Computer science

When it comes to computer science, our standard reference is [CLR90] where all basic computer science notions that are used here can be found. First of all, complexity analysis of algorithms and its asymptotic notation, in particular the $O(\cdot)$-notation, is assumed to be known. Basic graph algorithms like breadth-first search, computation of connected components and spanning tree algorithms are also assumed to be known.

Hash tables will likewise be used without further explanation. Even though the code in the project does not use hashing explicitly, but rely on GAP for that, it is worth mentioning that, to the author’s knowledge, the best general-purpose hash function known to humanity is described in [Jen97].
CHAPTER 3

The Schreier-Sims algorithm

We will now describe the Schreier-Sims algorithm, and the references we are using are CSS99, Ser03 and But91. First we have to describe some background and clarify the problem that the algorithm solves.

1. Background and motivation

The overall goal for work in group theory is to understand groups, and to answer various questions about groups. In particular, in CGT the interest is focused on questions of algorithmic nature, so given a group \( G \) we are interested in algorithms for things like the following:

- What is \(|G|\)?
- List all elements of \( G \), without repetition.
- If \( G \leq H \) and we are given an arbitrary \( g \in H \), is it true that \( g \in G \)? This is referred to as the membership problem.
- Generate a random \( g \in G \).
- Is \( G \) abelian (soluble, polycyclic, nilpotent)?
- Find representatives for the conjugacy classes of \( G \).
- Find a composition series for \( G \), including the (isomorphism classes of the) composition factors.
- Given \( g \in G \) or \( H \leq G \) find the centralizer of \( g \) or the normalizer of \( H \), respectively.

To accomplish these tasks we need a computer representation of \( G \), ie a datastructure. A common way in which a group is given is via a generating set, but this alone does not help in solving our problems, so we need a better representation. It must be possible to compute this representation from a generating set, and a nice property would be if subgroups of \( G \) in some direct way inherit the representation, so that divide-and-conquer techniques could be used when designing algorithms (see CLR90).

2. Base and strong generating set

Consider the situation where we have a chain of subgroups of \( G \)

\[
G = G_0 \geq G_1 \geq \cdots \geq G_n = 1
\]

Each \( g \in G \) can be written \( g = g_1 u_1 \) where \( u_1 \) is a representative of \( G^1 g \) and \( g_1 \in G^1 \), and inductively we can factorize \( g \) as \( g = u_n u_{n-1} \cdots u_1 \), since the subgroup chain reaches 1. Moreover, this factorization is unique since for each \( i \) the cosets of \( G^{i+1} \) partition \( G^i \), and by the same reason, different group elements will have different factorizations.
3. The Schreier-Sims Algorithm

We thus see that if we know generating sets for the subgroups in such a subgroup chain, and if we know a right transversal $T_i$ of the cosets of $G^{i+1}$ in $G^i$ for each $i = 0, \ldots, n - 1$, then we could easily solve at least the first two listed problems listed in section 1. By Lagrange we know $|G| = |T^1| |G^1|$ and inductively $|G| = |T^1| \cdots |T^n|$, which solves the first problem. Using the factorization we have a bijection from $G$ to $T^1 \times T^2 \times \cdots \times T^n$ so by enumerating elements of the latter set and multiplying in $G$ we can list the elements of $G$ without repetition.

Now we introduce a special type of subgroup chain.

**Definition 3.1.** Let $G$ be a group acting on the finite set $X$. A sequence of points $(\alpha_1, \ldots, \alpha_n)$ of $X$ such that $G_{\alpha_1, \ldots, \alpha_n} = 1$ is called a *base* for $G$. Note that the base determines a *stabiliser chain* 

$$G \geq G_{\alpha_1} \geq \cdots \geq G_{\alpha_1, \ldots, \alpha_n} = 1$$

(2.2)

Let $G^i = G_{\alpha_1, \ldots, \alpha_i}$ for $i = 1, \ldots, n$. A generating set $S$ for $G$ such that $\langle S \cap G^i \rangle = G^i$ for all $i$ is called a *strong generating set* (SGS) for $G$.

The concept of a base and strong generating was first introduced in Sim70 in the context of permutation groups, and is of fundamental importance, though mainly for permutation groups. We already know that the first two of our problems can be solved if we know a subgroup chain and we shall see that with a base and strong generating set the membership problem can also easily be solved. The Schreier-Sims algorithm computes a base and strong generating set for a group $G$ given a generating set $S$, and since it is an efficient algorithm if $G$ is a permutation group, the concept of base and strong generating set has become very important. Many more sophisticated algorithms for permutation groups require a base and strong generating set as input. For matrix groups, on the other hand, the situation is a bit more complicated, as we shall see later.

### 3. Schreier trees

Before giving the Schreier-Sims algorithm itself, there are a few auxiliary algorithms that must be explained. Consider therefore a group $G = \langle S \rangle$ that acts on a finite set $X$. From the previous section we know that even if we have a base $(\alpha_1, \ldots, \alpha_n)$ for $G$, with corresponding stabiliser chain $G \geq G^1 \geq \cdots G^n = 1$, we also need to find right transversals of the cosets of $G^{i+1}$ in $G^i$ for $i = 1, \ldots, n - 1$. But since these groups are stabilisers from the action on $X$, we can use Proposition 2.15 and instead find the orbits $\alpha_1^{G^1}, \alpha_2^{G^2}, \ldots, \alpha_n^{G^n-1}$. We will see that the orbits are straightforward to compute.

The action of $G$ on $X$ can be represented by a labelled graph with labels from $S$, analogous to the Cayley graph $C_S(G)$ of $G$ from Definition 2.14. Let the vertices of the graph be $X$ and the edges be $\{(p, p^g) | p \in X, g \in G\}$, where the edge $(p, p^g)$ is labelled by $g$. Obviously the orbits of the action are the connected components of this graph, so $\alpha_i^{G^i}$ is the component containing $\alpha_1$. We are interested in finding this orbit and to store it in a datastructure, and we are thus led to consider a spanning tree of the connected component, since such a tree contains enough information for us. The edges of the graph that are left out do not give us any additional relevant information about the action of $G$, only alternative ways to move between the points, and trees are considerably easier to store than graphs.
Definition 3.2. Let $G$ be a group acting on the finite set $X$ and let $\alpha \in X$. A spanning tree rooted at $\alpha$ for the component in the corresponding graph containing $\alpha$ is called a Schreier tree for the orbit $\alpha^G$.

The Schreier tree can be computed by a simple breadth-first search of the component containing $\alpha$, and thus we have an algorithm for finding the orbits. However, it is of course not a computationally good idea to explicitly generate the graph, then compute the connected components and finally find the Schreier trees. A breadth-first search to find the Schreier tree can be done without the graph itself, as demonstrated by Algorithm 3.1.

Algorithm 3.1: ComputeSchreierTree

Data: A group $G = \langle S \rangle$ acting on a finite set $X$ and a point $\alpha \in X$.

Result: A Schreier tree for $\alpha^G$.

/*Assumes the existence of a function Tree($x$) that creates an empty tree with root $x$ and a function AddChild($T$, $p_1$, $p_2$, $l$) that adds $p_2$ as a child to $p_1$ in the tree $T$, with label $l$. */

begin
  1 points := \{\alpha\}
  2 tree := Tree(\alpha)
  3 repeat
    4 children := \{\}
    5 foreach $p \in$ points do
      6     foreach $s \in S$ do
        7       $p' := p^s$
        8       if $p' \notin$ tree then
          9         AddChild(tree, $p$, $p'$, $s$)
        10       end
        11     end
      12     children := children $\cup$ \{ $p'$ \}
    13   end
    14   points := children
  15 until points = \{\}
  16 return tree
end

We noted above that we used Proposition 2.18 to store the orbits instead of the coset representatives, but we still need the latter, so we must be able to compute them. Fortunately, that is straightforward to do when we have a Schreier tree. Assume we have a Schreier tree $T = (V, E)$ for the orbit $\alpha^G$ for some point $\alpha \in X$. If $g \in G$ then $\alpha^g \in V$ and there is a path from $\alpha$ to $\alpha^g$ in $T$. Let $s_1, s_2, \ldots, s_n$ be the labels of the path, so that $s_i \in S$ for $i = 1, \ldots, n$, and let $h = s_1s_2\cdots s_n$. Then obviously $\alpha^h = \alpha^g$ so $G_\alpha g = G_\alpha h$ and $h$ is a coset representative for the coset of $g$. Moreover, $h$ is unique since $T$ is a tree. Thus, to find the coset representative for $g$ we only have to follow the unique path from $\alpha^g$ to the root $\alpha$ and multiply the edge labels. Algorithm 3.2 performs the slightly more general task of following the path from a given point to the root.
Algorithm 3.2: OrbitElement

Data: A group $G = \langle S \rangle$ acting on a finite set $X$, a Schreier tree $T$ for the orbit $\alpha^G$ of the point $\alpha \in X$ and an arbitrary point $p \in X$.

Result: The element $g \in G$ such that $\alpha^g = p$.

/* Assumes the existence of a function $\text{EdgeLabel}(T, p)$ that returns the label of the unique edge between $p$ and its parent in $T$ */

1 begin
2     $g := 1$
3     while $p \neq \alpha$ do
4         $s := \text{EdgeLabel}(T, p)$
5         $p := p^s$
6     end
7     $g := sg$
8 end
9 return $g$

We defer the complexity analysis of these algorithms until later.

4. Formulating the problem

To more formally state the problem solved by the Schreier-Sims algorithm, the following is needed.

Definition 3.3. Let $G$ be a group acting on the finite set $X$. A sequence of points $B = (\alpha_1, \ldots, \alpha_n)$ of $X$ and a generating set $S$ for $G$, such that no element of $S$ fixes all points of $B$, is called a partial base and partial strong generating set, respectively.

Remark 3.4. A base and strong generating set as in Definition 3.2 are called complete.

Remark 3.5. If we define $G^i = G_{\alpha_1, \ldots, \alpha_i}$, $S^i = S \cap G^i$ and $H^i = \langle S^i \rangle$ for $i = 1, \ldots, n$, we see that $H^n = 1$ since no element of $S$ fixes all points of $B$ (and we use the convention that $\langle \emptyset \rangle = 1$). We therefore have

\begin{align}
G \geq G^1 \geq \cdots \geq G^n \\
G \geq H^1 \geq \cdots \geq H^n = 1
\end{align}

Moreover, $G^i \geq \langle S^i \rangle = H^i$ for $i = 1, \ldots, n$ and if we have equality then, by Definition 3.2 $S$ and $B$ are complete. If $h \in H^{i+1} = \langle S^{i+1} \rangle = \langle S \cap G^{i+1} \rangle$ then $h = s_1 \cdots s_k$ where $\alpha_{i+1}^j = \alpha_{i+1}$ for $j = 1, \ldots, k$ so $\alpha_{i+1}^h = \alpha_{i+1}$ and therefore $h \in H^i_{\alpha_{i+1}}$. Thus $H^{i+1} \leq H^i_{\alpha_{i+1}}$ for $i = 0, \ldots, n - 1$.

Now our problem can be stated as follows: given a group $G$ acting on the finite set $X$, together with a partial base $B$ with points from $X$ and partial strong generating set $S$, either verify that $B$ is a (complete) base and that $S$ is a (complete) strong generating set, or extend $B$ and $S$ so that they become complete. This is the problem that is solved by the Schreier-Sims algorithm.

The following result from [Leo80] is used when designing the algorithm.
5. SCHREIER’S LEMMA

THEOREM 3.6. Let \( G \) be a group acting on the finite set \( X \), and let \( B = (a_1, \ldots, a_n) \) be a partial base and \( S \) a partial strong generating set for \( G \). Let also \( G^i = G \alpha_1, \ldots, \alpha_i \), \( S^i = S \cap G^i \), \( H^i = \langle S^i \rangle \) for \( i = 1, \ldots, n \) and \( G = G^0 = H^0 \). Then the following statements are equivalent:

\begin{enumerate}
  \item \( B \) and \( S \) are complete.
  \item \( G^i = H^i \) for \( i = 0, \ldots, n \).
  \item \( H_{\alpha_i+1}^i = H_{\alpha_i+1}^{i+1} \) for \( i = 0, \ldots, n-1 \).
  \item \( [H^i : H^{i+1}] = \left| \alpha_{i+1}^H \right| \) for \( i = 0, \ldots, n-1 \).
\end{enumerate}

PROOF. From Remark 3.5 we know that (1) and (2) are equivalent. Assuming (2) we have

\begin{equation}
H_{\alpha_i+1}^i = G_{\alpha_i+1}^i = G^{i+1} = H^{i+1}
\end{equation}

for \( i = 0, \ldots, n-1 \) which is precisely (\text{3}). If we instead assume (\text{3}) and also assume for induction that \( G^i = H^i \) (the base case \( G = H^0 = G^0 \) is ok) then

\begin{equation}
G^{i+1} = G_{\alpha_i+1}^i = H_{\alpha_i+1}^i = H^{i+1}
\end{equation}

so by induction we get \( G^i = H^i \) for \( i = 0, \ldots, n \), which is (2).

Now assume (\text{4}) and note that from 2.18 we have \([H^i : H_{\alpha_i+1}^i] = \left| \alpha_{i+1}^H \right|\), so since \( H_{\alpha_i+1}^i = H^{i+1} \) we get (\text{4}). Finally, assume (\text{4}). From Remark 3.5 we know \( H_{\alpha_i+1}^i \leq H^{i+1} \) so if we again use 2.18 we get \( \left| \alpha_{i+1}^H \right| = [H^i : H^{i+1}] \geq [H^i : H_{\alpha_i+1}^i] = \left| \alpha_{i+1}^H \right| \) and thus \( H_{\alpha_i+1}^i = H^{i+1} \). \( \square \)

As observed earlier, we are often given a group in the form of a generating set, but Schreier-Sims algorithm requires a partial base and a partial strong generating set as input. Those are easy to compute, though, using Algorithm 3.3. The algorithm also makes sure that the partial strong generating set is closed under inverses and does not contain the identity, which removes the need to consider some special cases later on. We will see how the function \textbf{NewBasePoint} that is used in Algorithm 3.3 can be implemented when \( G \) is a matrix group.

5. Schreier’s Lemma

The name Schreier in Schreier-Sims algorithm comes from the following result, which in our case allows us to find a generating set for a stabiliser. It first appeared in [Sch27], and our proof is originally from [Hal59].

THEOREM 3.7 (Schreier’s Lemma). Let \( G = \langle S \rangle \) be a group, let \( H \leq G \) and let \( T \) be a right transversal of the cosets of \( H \) in \( G \). For \( g \in G \), let \( \bar{g} \in T \) be the unique element such that \( Hg = \bar{H} \bar{g} \). Then \( H \) is generated by

\begin{equation}
S_H = \{ ts(ts)^{-1} \mid t \in T, s \in S \}
\end{equation}

PROOF. Without loss of generality we can assume that \( 1 \in T \) (the coset representative of \( H \) itself). By definition, \( Hts = H\bar{t}s \bar{t} \) which implies that \( ts(ts)^{-1} \in H \) for all \( t \in T \), \( s \in S \). Hence, \( S_H \subseteq H \) and \( \langle S_H \rangle \leq H \), so the content of the statement lies in the other inclusion.

Let \( h \in H \leq G \) and observe that since \( S = G \) we have \( h = s_1s_2 \cdots s_k \) where \( s_i \in S \cup S^{-1} \) for \( i = 1, \ldots, k \). Define a sequence \( t_1, t_2, \ldots, t_{k+1} \) of \( k + 1 \) elements of
3. THE SCHREIER-SIMS ALGORITHM

Algorithm 3.3: GetPartialBSGS

Data: A group \( G = \langle S \rangle \) acting on a finite set \( X \) and a sequence of points \( B \) of \( X \) (possibly empty).
Result: A partial base \( B' \) and partial strong generating set \( S' \) for \( G \).

/* Assumes the existence of a function NewBasePoint(\( g \)) that returns a point \( p \in X \) such that \( p^g \neq p \)

begin
1   base := B
2   sgs := \( \emptyset \)
3   foreach \( s \in S \setminus \{1\} \) do
4     if \( base' = base \) then
5       point := NewBasePoint(\( s \))
6       base := base \cup \{point\}
7     end
8   end
9   sgs := sgs \cup \{s, s^{-1}\}
10  end
11 return (base, sgs)
end

As follows: \( t_1 = 1 \) and inductively \( t_{i+1} = t_is_i^{-1} \). Furthermore, let \( a_i = t_is_i^{-1} \) for \( i = 1, \ldots, n \) and observe that

\[
(5.2) \quad h = (t_1s_1t_2^{-1})(t_2s_2t_3^{-1}) \cdots (t_ns_n t_{n+1}^{-1}) = a_1a_2 \cdots a_n \quad t_{n+1}
\]

We now show that \( a_i \in \langle S_H \rangle \) for \( i = 1, \ldots, n \), and that \( t_{n+1} = 1 \), which implies that \( H \leq \langle S_H \rangle \).

For each \( i = 1, \ldots, n \), either \( s_i \in S \) or \( s_i^{-1} \in S \). In the first case we immediately get \( a_i = t_is_i(t_is_i^{-1})^{-1} \in \langle S_H \rangle \), and in the second case we have \( Ht_{i+1}s_i^{-1} = Ht_i \in \langle S_H \rangle \), which implies that \( t_i = t_{i+1}s_i^{-1} \). Hence, \( a_i^{-1} = t_{i+1}s_i^{-1}t_i = t_{i+1}s_i^{-1}(t_{i+1}s_i^{-1})^{-1} \in \langle S_H \rangle \) and thus \( a_i \in \langle S_H \rangle \).

Finally, since \( h \in H \) and \( \langle S_H \rangle \leq H \) we have \( t_{n+1} = (a_1a_2 \cdots a_n)^{-1}h \in H \), so \( t_{n+1} \) is the coset representative of \( H \), and therefore \( t_{n+1} = 1 \). Thus \( H \leq \langle S_H \rangle \). □

Our situation is that we have a group \( G = \langle S \rangle \) acting on the finite set \( X \), and we want to use Schreier’s Lemma to find the generators (usually called the Schreier generators) for the stabiliser \( G_\alpha \), where \( \alpha \in X \). If we compute a Schreier tree for the orbit \( \alpha^G \) using Algorithm 3.1, then we know that Algorithm 3.2 can be used to find the transversal of the cosets of \( G_\alpha \) in \( G \).

For \( p \in X \), let \( t(p) \in G \) denote the result of Algorithm 3.2. Using the notation in Theorem 3.4, we then have \( \bar{g} = t(\alpha^p) \) and the transversal is \( \{t(p) \mid p \in \alpha^G\} \), so for \( s \in S \) and \( p \in \alpha^G \) the Schreier generator can be expressed as

\[
(5.3) \quad t(p)s(t(\alpha^p)s^{-1})^{-1} = t(p)t((\alpha^p)s^{-1})^{-1} = t(p)t(s^{-1})^{-1}
\]

and a generating set for \( G_\alpha \) is

\[
(5.4) \quad \{t(p)t(s^{-1})^{-1} \mid p \in \alpha^G, s \in S\}
\]
5.1. Computing a base and SGS. Going back to our problem, if we have a partial base \( B = (\alpha_1, \ldots, \alpha_n) \) for \( G \) with corresponding partial strong generating set \( S \), then we can use Schreier’s Lemma to solve our problem. Using the notation from Theorem 3.6, we calculate the Schreier generators for each \( G^i \), using (5.4) and add them to \( S \), possibly adding points to \( B \), if some Schreier generator fixes the whole base, in order to ensure that \( B \) and \( S \) are still partial. When this is finished, we have \( H^i = G^i \) for \( i = 1, \ldots, n \) and thus \( B \) and \( S \) are complete. We can use Algorithm 3.4 to calculate a Schreier generator.

**Algorithm 3.4: GetSchreierGenerator**

**Data:** A group \( G = \langle S \rangle \) acting on a finite set \( X \), a Schreier tree \( T \) for the orbit \( \alpha^G \) of the point \( \alpha \in X \), a \( p \in X \) and a generator \( s \in S \).

**Result:** The Schreier generator corresponding to \( p \) and \( s \)

begin
1 \( t_1 := \text{OrbitElement}(T, p) \)
2 \( t_2 := \text{OrbitElement}(T, ps) \)
return \( t_1st_2 \)
end

However, there is a problem with this simple approach, in that the generating sets defined in (5.4) can be very large, and contain many redundant generators. A fraction of the Schreier generators is usually enough to generate the stabiliser. In [Hal59] it is shown that \( |G : G_\alpha| - 1 \) of the Schreier generators for \( G_\alpha \) are equal to the identity. For instance, if for some point \( p \in \alpha^G \) we have that \((p, ps)\) is an edge of the Schreier tree for \( \alpha^G \), then the Schreier generator \( (p)st(ps)^{-1} \) is the identity. Thus, the number of non-trivial Schreier generators can be as large as \((|S| - 1)|G : G_\alpha| + 1\), though some of them may be equal to each other.

In our case we calculate the Schreier generators for each \( G^i \), using \( S^{i-1} \) in place of \( S \), and since \( S^{i-1} \) are precisely the calculated Schreier generators for \( G^{i-1} \), the number of non-trivial Schreier generators for \( G^i \) may be as large as

\[
1 + (|S| - 1) \prod_{j=0}^{i} |\alpha^{G_j}|.
\]

(5.5)

Since the orbit sizes are only bounded by \( |X| \), we see that (5.5) is exponential in \( |X| \), and therefore this method may not be efficient.

5.2. Reducing the number of generators. It is possible to reduce the number of generators at each step, so that our generating set never grows too large. This can be done using Algorithm 3.5, which is due to Sims, and which can also be found in [Sim03] and [CSS99].

This algorithm reduces the generating set to size \( \binom{|X|}{2} \in O(|X|^2) \). With this algorithm, we can solve our problem using Algorithm 3.6 and Algorithm 3.7. This, however, is not the Schreier-Sims algorithm, which is a more clever and efficient method of performing the same things.
Algorithm 3.5: BoilSchreierGenerators

**Data:** A group $G$ acting on a finite set $X$, a partial base $B = (\alpha_1, \ldots, \alpha_k)$ and corresponding partial strong generating set $S$ for $G$, an integer $1 \leq m \leq k$.

**Result:** A smaller partial strong generating set for $G$

1. begin
   2. for $i := 1$ to $m$ do
   3.     $T := S^{i-1}$
   4.     foreach $g \in T$ do
   5.         foreach $h \in T$ do
   6.             if $\alpha^g_i = \alpha^h_i \neq \alpha_i$ then
   7.                 $S := (S \setminus \{h\}) \cup \{gh^{-1}\}$
   8.             end
   9.         end
  10.     end
  11. return $S$
  12. end

Algorithm 3.6: ComputeBSGS

**Data:** A group $G = \langle S \rangle$ acting on a finite set $X$.

**Result:** A base and strong generating set for $G$

1. begin
   2. $(\text{base}, \text{sgs}) := \text{GetPartialBSGS}(S, \emptyset)$
   3. for $i := 1$ to $|\text{base}|$ do
   4.     $(\text{base}, \text{sgs}) := \text{Schreier}(\text{base}, \text{sgs}, i)$
   5.     $\text{sgs} := \text{BoilSchreierGenerators}(\text{base}, \text{sgs}, i)$
   6. end
   7. return $(\text{base}, \text{sgs})$
  8. end

6. Membership testing

We now assume that we know a (complete) base $B = (\alpha_1, \ldots, \alpha_n)$ and a (complete) strong generating set $S$ for our group $G$, and we present an efficient algorithm for determining if, given an arbitrary group element $g$, it is true that $g \in G$. The implicit assumption is of course that $G \leq H$ for some large group $H$ and that $g \in H$, but since we are interested in matrix groups this is always true with $H$ being some general linear group.

This algorithm is used in the Schreier-Sims algorithm together with Theorem 3.6, as we shall see later.

Recall that if we have a base then there is an associated stabiliser chain, and as described in section 2.4 if $g \in G$ we can factorise $g$ as a product of coset representatives $g = u_n u_{n-1} \cdots u_1$ where $u_i$ is the representative of $G^i g$ in $G^{i-1}$. Moreover,
Algorithm 3.7: Schreier

**Data:** A group $G$ acting on a finite set $X$, a partial base $B = (\alpha_1, \ldots, \alpha_k)$ and corresponding partial strong generating set $S$ for $G$, an integer $1 \leq i \leq k$ such that $G^j = H^j$ for $j = 0, \ldots, i - 1$.

**Result:** Possibly extended partial base $B = (\alpha_1, \ldots, \alpha_m)$ and corresponding partial strong generating set $S$ for $G$ such that $G^j = H^j$ for $j = 0, \ldots, i$.

/*Assumes the existence of a function `NewBasePoint(g)` that returns a point $p \in X$ such that $p \neq p^g$*/

begin
1 $T := S^{i-1}$
2 tree := ComputeSchreierTree$(T, \alpha_i)$
3 foreach $p \in \alpha_i^{H^{-1}}$ do
4     foreach $s \in T$ do
5         gen := GetSchreierGenerator$(tree, p, s)$
6         if gen $\neq 1$ then
7             $S := S \cup \{\text{gen, gen}^{-1}\}$
8             if $B^\text{gen} = B$ then
9                 point := NewBasePoint$(\text{gen})$
10                $B := B \cup \{\text{point}\}$
11             end
12         end
13     end
14 end
15 return $(B, S)$
end

if we for each $i = 1, \ldots, n$ have computed a Schreier tree $T_i$ for $\alpha_i^{G^{-1}}$ then we can use Algorithm 3.2 to compute each coset representative.

More specifically, if $g \in G$ then $g = g_1t(\alpha_1^g)$ where, as before, $t(p)$ is the output of Algorithm 3.2 on the point $p$ and $g_1 \in G^1$. On the other hand, if $g \notin G$ then either $\alpha_1^g \notin \alpha_i^G$ or $g_1 \notin G_1$. To test if $g \in G$ we can therefore proceed inductively, and first check if $\alpha_1^g \in \alpha_i^G$ and if that is true then test whether $g_1 \in G_1$. This is formalised in Algorithm 3.8.

The terminology residue and level is introduced here, with obvious meanings. As can be seen, the algorithm returns the level at which it fails, as this is needed in the Schreier-Sims algorithm. Note that even if all $n$ levels are passed, it might happen that the residue $r \neq 1$ at line 3.8 which also indicates that $g \notin G$.

In the literature, Algorithm 3.8 is usually referred to as sifting or stripping of the group element $g$.

7. The main algorithm

Finally, we can now present the Schreier-Sims algorithm itself. It uses a more efficient method of reducing the number of Schreier generators considered, by making use of Algorithm 3.8.
Algorithm 3.8: Membership

**Data:** A group \( G \) acting on a finite set \( X \), a base \( B = (\alpha_1, \ldots, \alpha_n) \), a Schreier tree \( T_i \) for the orbit \( \alpha_i^G \) for each \( i = 0, \ldots, n-1 \), and a group element \( g \).

**Result:** A residue \( r \) and drop-out level \( 1 \leq l \leq n+1 \).

```
begin
  r := g
  for i := 1 to n do
    if \( \alpha_i^r \notin T_{i-1} \) then
      return \((r, i)\)
    end
    element := OrbitElement\( (T_{i-1}, \alpha_i^r) \)
    r := r \cdot element^{-1}
  end
  return \((r, n+1)\)
end
```

Using the notation from Theorem 3.6, we want to show that \( H_{\alpha_{i+1}} = H_i \) for each \( i \), or equivalently that all Schreier generators for \( H_i \) are in \( H_i^{i+1} \). If we proceed from \( i = n-1, \ldots, 0 \) instead of the other way, then for \( H^n = 1 \) we obviously already have a base and strong generating set, so we can use Algorithm 3.8 to check if the Schreier generators for \( H_{\alpha_n}^{n-1} \) are in \( H^n \).

When we have checked all Schreier generators for \( H_{\alpha_n}^{n-1} \) we then have a base and strong generating set for \( H_{\alpha_n}^{n-1} \) by Theorem 3.6 since \( H_{\alpha_n}^{n-1} = H^n \), and we can therefore proceed inductively downwards. This is shown in Algorithm 3.9 and Algorithm 3.10.

Algorithm 3.9: ComputeBSGS

**Data:** A group \( G = \langle S \rangle \) acting on a finite set \( X \).

**Result:** A base and strong generating set for \( G \)

```
begin
  (base, sgs) := GetPartialBSGS(S, \emptyset)
  for i := |base| to 1 do
    (base, sgs) := SchreierSims(base, sgs, i)
  end
  return (base, sgs)
end
```

7.1. **Matrix groups.** As can be seen in the given algorithms, the main part of the Schreier-Sims algorithm is independent of the particular type of group, but we are interested in the situation where \( G \leq \text{GL}(d, q) \) for some \( d \geq 1 \) and some \( q = p^r \) where \( p \) is a prime number and \( r \geq 1 \). Here, \( d \) is called the degree of \( G \) and is the number of rows (columns) of the matrices in \( G \), and \( q \) is the finite field size, i.e. \( G \) contains matrices over \( \text{GF}(q) = F_q \). In this setting, \( G \) acts faithfully from the right.
Algorithm 3.10: SchreierSims

Data: A group $G$ acting on a finite set $X$, a partial base $B = (\alpha_1, \ldots, \alpha_k)$ and corresponding partial strong generating set $S$ for $G$, an integer $1 \leq i \leq k$ such that $H_{\alpha_j}^{-1} = H_j$ for $j = i + 1, \ldots, k$, and Schreier trees $T_j^{-1}$ for $\alpha_{H_j}^{-1}$ for $j = i + 1, \ldots, k$.

Result: Possibly extended partial base $B = (\alpha_1, \ldots, \alpha_m)$ and corresponding partial strong generating $S$ set for $G$ such that $H_{\alpha_j}^{-1} = H_j$ for $j = i, \ldots, m$ and Schreier trees $T_j^{-1}$ for $\alpha_{H_j}^{-1}$ for $j = i, \ldots, m$.

/* Assumes the existence of a function $\text{NewBasePoint}(g)$ that returns a point $p \in X$ such that $p^g \neq p$ */

begin
  gens := $S_i$
  $T_i^{-1}$ := ComputeSchreierTree(gens, $\alpha_i$)
  foreach $p \in \alpha_i H_i^{-1}$ do
    foreach $s \in$ gens do
      gen := GetSchreierGenerator($T_i^{-1}, p, s$)
      if gen $\neq 1$ then
        (residue, dropout) := Membership($T_i, \ldots, T^k, \text{gen}$)
        if residue $\neq 1$ then
          $S := S \cup \{\text{gen, gen}^{-1}\}$
          if dropout = $k + 1$ then
            point := $\text{NewBasePoint}(\text{gen})$
            $B := B \cup \{\text{point}\}$
          end
        end
      end
    end
  end
  for $j := r$ to $i + 1$ do
    ($B, S$) := SchreierSims($B, S, j$)
  end
end

return ($B, S$)
end

on the vector space $X = \mathbb{F}_q^d$ by multiplication of a row vector with a matrix. We denote the standard base in $\mathbb{F}_q^d$ by $e_1, e_2, \ldots, e_d$.

What remains to specify is the algorithm $\text{NewBasePoint}$, which depends on the particular point set $X$ and the action that is used. To construct this algorithm, we observe that given a matrix $M \neq I \in G$, if $M_{ij} \neq 0$ for some $i \neq j$ then $e_i M \neq e_i$ so the row vector $e_i$ is a point moved by $M$. If $M$ instead is diagonal, but not a scalar matrix, then $M_{ii} \neq M_{jj}$ for some $i \neq j$, and $(e_i + e_j) M \neq e_i + e_j$ so this row vector is moved by $M$. Finally if $M$ is scalar, then $M_{11} \neq 1$ since $M \neq I$ and thus $e_1 M \neq e_1$. This translates directly into Algorithm 3.11.
Algorithm 3.11: NewBasePoint

Data: A matrix $M \neq I \in G \leq \text{GL}(d, q)$.

Result: A row vector $v \in \mathbb{F}_d^q$ such that $vM \neq v$

\begin{verbatim}
1. begin
2.   for $i := 1$ to $d$ do
3.     for $j := 1$ to $d$ do
4.       if $i \neq j$ and $M_{ij} \neq 0$ then
5.         return $e_i$
6.     end
7.   end
8. end
9. for $i := 1$ to $d$ do
10.    for $j := 1$ to $d$ do
11.      if $i \neq j$ and $M_{ii} \neq M_{jj}$ then
12.         return $e_i + e_j$
13.     end
14.   end
15. end
16. return $e_1$
17. end
\end{verbatim}

8. Complexity analysis

We now analyse the time complexity of some of the given algorithms, but we will not be interested in space complexity. For the analysis we assume that the external functions Tree, AddChild and EdgeLabel, that depend on the particular datastructure used, take $\Theta(1)$ time. This is a reasonable assumption and it is satisfied in the code for the project, which uses hash tables to implement the Schreier trees. We also assume that the datastructure used for sets is a sorted list, so that elements can be found, added and removed in logarithmic time using binary search. This assumption is satisfied in GAP.

Moreover, since we are working in a matrix group $G = \langle S \rangle \leq \text{GL}(d, q)$ that acts on the vector space $X = \mathbb{F}_d^q$, we know that the multiplication of two group elements takes $\Theta(d^3)$ time and that the action of a group element on a point takes $\Theta(d^2)$ time, under the assumption that the multiplication of two elements from $\mathbb{F}_q$ takes $\Theta(1)$ time. This assumption is reasonable since we are mostly interested in the case when $q$ is not too large, so that one field element can be stored in a machine word and manipulated in constant time. Then we also see that testing equality between two group elements takes $O(d^2)$ time and testing equality between two points takes $O(d)$ time.

Consider first Algorithm 3.1. We know that it is a breadth-first search and we know that a simple breadth-first search on a graph $G = (V, E)$ takes $O(|V| + |E|)$ time. In our case we have $|E| = |V| \cdot |S| = |X||S|$, so the edges are dominating. We also see that line 3.1 takes $\Theta(d^2)$ time and line 4.1 takes $O(\log |S|)$ time since the size of the set children is bounded by $|S|$. The former line is therefore dominating, and thus we have that Algorithm 3.1 takes $O(|X||S|d^2)$ time.
For Algorithm 3.2, we just note that in a tree with vertex set \( V \), the depth of any node is bounded by \( |V| \). In our case the vertices of the Schreier tree is the points of the orbit, which may be the whole of \( X \) if the action is transitive. Therefore we see that Algorithm 3.2 takes time \( O(|X|^d) \).

It is obvious that Algorithm 3.11 takes time \( O(d^3) \), and it is also obvious that Algorithm 3.4 takes time \( O(|X|^d + d^3) = O(|X|^d) \). In Algorithm 3.3 we see that line 3.3 takes time \( O(1) \) because the base is just a list and can therefore be augmented in constant time. We see that line 3.3 takes time \( O(|B|d^3) \) since we might have to multiply every base point with the group element and check equality, in the case where it actually fixes the base. Thus, Algorithm 3.3 takes time \( O(|S| \log |S| |B| d^3) \).

Since Algorithm 3.7 is not used in the GAP package of the project, we skip the complexity analysis of it and its related algorithms. We also skip any detailed complexity of the Schreier-Sims algorithm itself, since we will see that for matrix groups, this is futile anyway. The case of permutation groups is analysed in [But91] and the result is that the Schreier-Sims algorithm has time complexity \( O(|X|^5) \).

The essential problem when using the algorithm for matrix groups is then that \( X = F_q^d \) so \( |X| = q^d \) and the Schreier-Sims algorithm is thus exponential in \( d \). This is quite disheartening, since it is the case when \( d \) grows large that we are interested in, more often than when \( q \) is large. However, it is possible to make the implementation fast enough for many practical purposes, so all is not lost.
CHAPTER 4

The probabilistic Schreier-Sims algorithm

It is well-known in computer science that probabilistic algorithms often turn out to be much simpler in structure than the corresponding deterministic algorithms for the same problem, while still producing good solutions. That the algorithms are simpler usually means that they can be made more efficient and are easier to implement.

On the other hand, the drawback with the probabilistic approach is that there is a non-zero probability that the algorithms in some sense can "lie", i.e., return incorrect solutions. An introduction to the subject and the various complexity classes can be found in [Pap94].

The probabilistic Schreier-Sims algorithm is a good example of this situation. It was first described in [Leo80], and the idea comes from the following

Theorem 4.1. Let $G$ be a group acting on the finite set $X$, and let $B = (\alpha_1, \ldots, \alpha_k)$ be a partial base and $S$ a partial strong generating set for $G$. For $i = 1, \ldots, n$, let $G^i = G_{\alpha_1, \ldots, \alpha_i}$, $S^i = S \cap G^i$, $H^i = \langle S^i \rangle$, let $G = G^0 = H^0$ and let $T^i$ be a right transversal for the cosets of $H_{\alpha_i}^{-1}$ in $H_i^{-1}$. Then $|\prod_{i=1}^n T^i|$ divides $|G|$.

Proof. Since $|T^i| = [H_i^{-1} : H_{\alpha_i}^{-1}]$ we have

$|G| = \prod_{i=1}^n [H_i^{-1} : H^i] = \prod_{i=1}^n [H_i^{-1} : H_{\alpha_i}^{-1}] [H_{\alpha_i}^{-1} : H^i] = \prod_{i=1}^n |T^i| \prod_{i=1}^n [H_{\alpha_i}^{-1} : H^i]

(0.1)

and thus the theorem follows. \Box

Corollary 4.2. Let $G$ be a group acting on the finite set $X$, and let $B = (\alpha_1, \ldots, \alpha_k)$ be a partial base and $S$ a partial strong generating set for $G$. If $B$ and $S$ are not complete and $g \in G$ is a uniformly random element, then the probability that Algorithm 3.8 returns residue $r \neq 1$ when given $g$ is at least 1/2.

Proof. We see that even if $B$ and $S$ are not complete, we can compute Schreier trees for the orbits $\alpha_i H_{\alpha_i}$ for $i = 0, \ldots, n-1$ and feed them to Algorithm 3.8 which then tries to express $g$ in terms of the corresponding right transversals $T^i$ for $[H_i^{-1} : H_{\alpha_i}^{-1}]$.

Thus, Algorithm 3.8 checks if $g \in \prod_{i=1}^n T^i$ and by Theorem 4.1 if $\prod_{i=1}^n T^i$ is not the whole $G$, then it contains at most half of the elements of $G$. Therefore, since $g$ is uniformly random, we have $Pr[g \notin \prod_{i=1}^n T^i] \geq 1/2$. \Box

This suggests a probabilistic algorithm for computing a base and a strong generating set. Given a partial base and partial strong generating set for $G$, compute
Schreier trees and chose random elements uniformly from \( G \). Use Algorithm 3.8 on each element, and if it returns a non-trivial residue, add it to the partial SGS, and possibly augment the base.

If the base and SGS are complete, then of course the residue will be trivial. On the other hand, if the base and SGS are not complete, then by Corollary 4.2, the probability that \( k \) consecutive random elements have trivial residues is less than \( 2^{-k} \). We can thus choose a large enough \( k \) for our purposes and assume that the base and SGS are complete when we have stripped \( k \) consecutive random elements to the identity. This is formalised in Algorithm 4.1.

**Algorithm 4.1: RandomSchreierSims**

**Data:** A group \( G \) acting on a finite set \( X \), a partial base \( B = (\alpha_1, \ldots, \alpha_k) \) and corresponding partial strong generating set \( S \) for \( G \), an integer \( m \geq 1 \) and Schreier trees \( T^i_{j-1} \) for \( \alpha_i^{H^i_{j-1}} \) for \( i = 1, \ldots, k \).

**Result:** Possibly extended partial base \( B = (\alpha_1, \ldots, \alpha_n) \) and corresponding partial strong generating set for \( G \) such that \( m \) consecutive random elements have been stripped to identity with respect to \( B \) and \( S \).

/*Assumes the existence of a function \( \text{NewBasePoint}(g) \) that returns a point \( p \in X \) such that \( p^g \neq p \).*/

/*Assumes the existence of a function \( \text{Random}(G) \) that returns a uniformly random element from the group \( G \).*/

begin
sifts := 0
while sifts < m do
    element := Random(G)
    (residue, dropout) := Membership(T^1, \ldots, T^k, element)
    if residue \neq 1 then
        S := S \cup \{element, element^{-1}\}
        if dropout = k + 1 then
            point := NewBasePoint(element)
            B := B \cup \{point\}
            k := k + 1
        end
    end
    for i := 1 to k do
        T^i := ComputeSchreierTree(S^i, \alpha_i)
    end
    sifts := 0
else
    sifts := sifts + 1
end
end
return \((B, S)\)
Indeed, Algorithm 4.1 is simpler than Algorithm 3.10 and implementations are usually faster. However, it is not clear how the algorithm \texttt{Random} should be constructed. First of all, we need random bits, and the generation of pseudo-random bits is an old problem in computer science, see \cite{Pap94} and \cite{Knu97}, which we will not dwell into. Instead, we will assume that uniformly random bits are available.

1. Random group elements

The generation of random group elements is also difficult in general, and an introduction to the topic can be found in \cite{Bab97}. If one knows a base and strong generating set one can easily generate random elements by using the factorisation described in section 2, select random elements from each transversal and multiply them. In our case we do not yet have a base and an SGS, since that is what we are trying to compute, and then the only known algorithm that can \textit{provably} generate (almost) uniformly random group elements is described in \cite{Bab91} and runs in time $O(d^{10} (\log q)^5)$ for subgroups of $\text{GL}(d, q)$, and this is too slow for our purposes.

The practical algorithms are instead \textit{heuristics}, see \cite{ACG99}, for which there are no \textit{proven} guarantees for any uniformly randomness, but instead experimentation and statistical analysis has shown them to be good. It appears that the most successful algorithm is the \textit{product replacement} algorithm, also called "Shake", which is originally an idea by Charles Leedham-Green and Leonard Soicher, and is described in \cite{CLGM95}.

We will not dwell deeper into the analysis of this algorithm, but the algorithm itself is quite simple. Given a group $G = \langle g_1, \ldots, g_n \rangle$, the "Shake" algorithm maintains a global variable $S = (a_1, \ldots, a_m) \in G^m$ for some $m \geq n$, and then each call to Algorithm 4.2 returns a proposed random group element.

\begin{algorithm}
\SetAlgoLined
\DontPrintSemicolon
\KwData{The global state $S = (a_1, \ldots, a_m) \in G^m$}
\KwResult{An element in $G$ that hopefully is a good approximation of a uniformly random element from $G$.}
\small
\begin{algorithmic}[1]
\State $i := \text{RandomInteger}(m)$
\Repeat
\State $j := \text{RandomInteger}(m)$
\Until $i \neq j$
\If {$\text{RandomInteger}(1) = 0$}
\State $b := a_i a_j$
\Else
\State $b := a_j a_i$
\EndIf
\State $a_i := b$
\State \Return $b$
\end{algorithmic}
\end{algorithm}
Evidently, the algorithm is very cheap in terms of time and space. The questions that remain are how the state $S$ should be initialised and how $m$ should be chosen, and the ability of the algorithm to generate uniformly random group elements are highly dependent on the answers. In [CLGM+95] the suggestion is that $m = \max(10, 2n + 1)$ and that $S$ is initialised to contain the generators $g_1, \ldots, g_n$, the rest being identity elements. Moreover, the state should be initialized by calling Algorithm 4.2 a number $K$ of times and discarding the results, and the suggestion is that $K \geq 60$.

In [NP01] this algorithm is also described, as well as a variant of it, called "Rattle", which is due to Leedham-Green. The algorithms are compared and "Rattle" is found to be slightly better, but its running time is a bit higher. In GAP, there is an implementation of the "Shake" algorithm, which is used in this project. An implementation of "Rattle" was also made, but it turned out that it was not efficient enough.
CHAPTER 5

The Schreier-Todd-Coxeter-Sims algorithm

Coset enumeration is one of the oldest algorithms in group theory, and it was first described in [TC36]. Given a finitely presented group $G$ and $H \leq G$, the aim of coset enumeration is to construct the list of the cosets of $H$ in $G$, i.e., to find a set $X$ with a point $p \in X$ such that $G$ acts transitively on $X$ and such that $H = G_p$. The set $X$ is usually taken to be $\{1, \ldots, n\}$ for some $n$ and $p = 1$. If the index of $H$ is not finite, then the algorithm will usually not terminate.

The coset enumeration is a trial-and-error process, and quite a few strategies have been developed over the years. We will not be concerned about the actual algorithm, since it is beyond the scope of this report, and there is a good implementation in GAP, which is used in this project. A more detailed description of coset enumeration can be found in [Sim03].

However, it is important to be aware of the overall structure of coset enumeration. It works by letting the elements of $G$ act on $X$ and introducing new cosets when necessary. Then it may happen that some cosets turn out to be the same and thus are identified in the list. The structure of the algorithm makes it possible to exit prematurely when a given number of cosets have been defined, and this can be used if one knows an upper bound on the number of cosets.

In our case, we want to use the last case of Theorem 3.6. At level $i$ of Schreier-Sims algorithm, we know that the base and SGS are complete for higher levels, and want to verify that $H^{i+1} = H^i_{\alpha_i^1}$, which by the theorem is equivalent to $[H^i : H^{i+1}] = |\alpha^i_{H^i + 1}|$ and since we can compute a Schreier tree for $\alpha^i_{H^i + 1}$ we know the orbit size. Thus, if we can compute $[H^i : H^{i+1}]$ using coset enumeration and it turns out to be equal the orbit size, it is unnecessary to compute any Schreier generators and check for membership.

All this is formalised in Algorithm 5.1 where we use the option to exit the coset enumeration after $M |\alpha^i_{H^i + 1}|$ cosets have been defined, for some rational number $M \geq 1$, since this certainly is an upper bound. In our implementation, the number $M$ can be specified by the user, and the default is $M = 6/5$. This value comes from [Leo80], where the algorithm was introduced and some experimentation was carried out to determine a good value of $M$.

The Schreier-Todd-Coxeter-Sims algorithm is known to perform particularly good when the input partial base and SGS are actually already complete. Thus, it can be used for verification of the output from a probabilistic algorithm, and in this project it is mainly used in that way, to verify the output from the probabilistic algorithm described earlier.
Algorithm 5.1: SchreierToddCoxeterSims

Data: A group $G$ acting on a finite set $X$, a partial base $B = (\alpha_1, \ldots, \alpha_k)$ and corresponding partial strong generating set $S$ for $G$, an integer $1 \leq i \leq k$ such that $H_{\alpha_i}^{-1} = H^j$ for $j = i + 1, \ldots, k$, and Schreier trees $T_j^{-1}$ for $H_{\alpha_j}^{-1}$ for $j = i + 1, \ldots, k$.

Result: Possibly extended partial base $B = (\alpha_1, \ldots, \alpha_m)$ and corresponding partial strong generating set $S$ such that $H_{\alpha_j}^{-1} = H^j$ for $j = i, \ldots, m$ and Schreier trees $T_j^{-1}$ for $H_{\alpha_j}^{-1}$ for $j = i, \ldots, m$.

/* Assumes the existence of a function $\text{NewBasePoint}(g)$ that returns a point $p \in X$ such that $p^g \neq p$. */
/* Assumes the existence of a function $\text{ToddCoxeter}(U_1, U_2, k)$ that performs coset enumeration on $G = \langle U_1 \rangle$ and $H = \langle U_2 \rangle \leq G$, exiting when $k$ cosets have been defined. */

begin
1  gens := $S^i$
2  $T_i^{-1}$ := $\text{ComputeSchreierTree}(\text{gens}, \alpha_i)$
3  foreach $p \in \alpha_i^{H_i^{-1}}$ do
4      foreach $s \in \text{gens}$ do
5          table := $\text{ToddCoxeter}(S_i, S_i + 1, |T_i| + 1)$
6          if $|\text{table}| = |T_i|$ then
7              return $(B, S)$
8          end
9          gen := $\text{GetSchreierGenerator}(T_i^{-1}, p, s)$
10         if $\text{gen} \neq 1$ then
11            (residue, dropout) := $\text{Membership}(T_i, \ldots, T^k, \text{gen})$
12            if $\text{residue} \neq 1$ then
13                $S := S \cup \{\text{gen}, \text{gen}^{-1}\}$
14            end
15            if $\text{dropout} = k + 1$ then
16                point := $\text{NewBasePoint}(\text{gen})$
17                $B := B \cup \{\text{point}\}$
18            end
19        end
20    end
21    for $j := r$ to $i + 1$ do
22        $(B, S) := \text{SchreierToddCoxeterSims}(B, S, j)$
23    end
24 end
25 return $(B, S)$
end
CHAPTER 6

Implementation and optimisation

We will now consider some more practical issues regarding the actual implementation and optimisation of the code in the project.

In GAP, a very fast implementation of the Schreier-Sims algorithm already exists. It is described in [Ser03] and is a heuristic based on the algorithm described in [BCFÁS91]. As with many other algorithms in GAP, it works only with permutation groups, so for a general group \( G \) it first calculates a faithful permutation representation, ie an injective homomorphism \( \lambda : G \to \Sym(X) \), for some finite set \( X \), which exists due to the well-known theorem by Cayley. It then work with the image \( \lambda(G) \), or rather with \( H \leq S_{|X|} \) such that \( H \cong \lambda(G) \).

Maybe the most important motivation for the GAP package developed in this project is the idea that if \( G \) is a matrix group, and one work with the matrices directly instead of first converting to permutations, then perhaps one could use the additional structure, that is otherwise thrown away, and come up with a faster algorithm. The code is therefore created with the basic assumption that all group elements are invertible matrices over a finite field, and it uses the internal GAP representation of such matrices.

1. Schreier tree creation

An important issue is how the Schreier trees are managed. Actually, what we really want is the transversals defined by the Schreier trees, so a possibility is to store not a tree, but just a list of all points and for each point the element that moves the root to this point. This can be realised as a tree with height 1, where the edge labels are not the generators, as in the case of a Schreier tree, but the group elements, so in general this will take up more memory than the Schreier tree. On the other hand, to find a coset representative in a Schreier tree we need to follow a path from a point to the root, which takes logarithmic time on average, and may take linear time if the tree is not properly balanced, but in the case where we store the coset representatives as edge labels, then of course it takes constant time to find them later.

To conclude, we have a trade-off between time and space, and in this project, both of the above strategies are available to the user of the package. The concrete representation of the trees are as hash tables, where the keys are the points and the values are the edge labels of the unique edges directed towards the root, ie we use "back-pointers". This makes it possible to perform in constant time the common task of checking if a given point is in the orbit defined by a Schreier tree, since this is just a hash table lookup.

1.1. Extending vs creating. If we consider [Algorithm 3.10] in more detail, then we notice that the sets \( S' \) are only augmented if they are changed. Therefore,
at line 3.10 we could save the tree that is computed and, at the next time we arrive there, only extend the tree using the new generators, if there are any.

This may or may not give a faster algorithm. Evidently, there will be less work in Algorithm 3.1 to extend a Schreier tree than to create a new one, but it may happen that the tree becomes more balanced if it is recomputed using all generators than if it is extended. If the tree is not balanced, then Algorithm 3.2 will take more time, and this is in fact the function where most time is spent. In [Ser03] it is claimed that this problem with unbalanced trees are more common for matrix groups, and empirical studies in this project has shown that in most cases, it is better to recompute the Schreier trees every time.

1.2. Shallow trees. There are algorithms for the creation of Schreier trees that are guaranteed to make the Schreier trees shallow, i.e., balanced, so that the they have worst-case logarithmic height. This is crucial if one wants to have good worst-case complexity, and two algorithms are described in [Ser03], one deterministic and one probabilistic. In this project the deterministic algorithm have been implemented, which is also described in [BCFAS91]. It is too complicated to be included here in more detail, but the essential idea is to choose a different set of edge labels, rather than the given generators.

2. Orbit sizes

As have been noted earlier, using the Schreier-Sims algorithm for a matrix group $G \leq \text{GL}(d, q)$ is in a sense doomed from the beginning, since the complexity is exponential in $d$ when $G$ acts on $\mathbb{F}_q^d$. One manifestation of doom in this case is that the orbits may become huge, something that does not happen for permutation groups. This will make our Schreier trees huge and a large amount of Schreier generators must be created, so the algorithm will be slow.

2.1. Alternating actions. To avoid large orbits, one can use another action of $G$. However, only if the action is faithful is it a permutation representation of $G$, and this is needed if the Schreier-Sims algorithm is going to work, otherwise we will calculate a base and strong generating set for the quotient of $G$ with the kernel of the action.

In [But76], a clever trick was introduced where base points are chosen alternatingly as one-dimensional subspaces (lines) and vectors from those lines. When a vector $v = (v_1, \ldots, v_d) \in \mathbb{F}_q^d$ is chosen as base point, it is preceded in the base by the line $\langle v \rangle$. The action of $G$ on the lines is known as the projective action and of course it is not faithful, but since the next point $v$ is from the kernel this does not matter. Also, the subspace $\langle v \rangle$ has a canonical representative $(1, v_2v_1^{-1}, \ldots, v_dv_1^{-1})$, which is trivial to find from $v$. Therefore, in terms of time, the projective action is not particularly more expensive than the action on points.

Now, if $k = |v^G|$ then $|v^G| = |v^{G_\langle v \rangle}| = k$ and $G_\langle v \rangle$ is the stabiliser of the line containing $v$. This implies that if $u \in v^{G_\langle v \rangle}$ then $u$ is also on that line, so $u = mv$ where $m \in \mathbb{F}_q$. We see that $M = \{ m \in \mathbb{F}_q | mv \in v^{G_\langle v \rangle} \}$ is a subgroup of $\mathbb{F}_q^*$ and thus $l = |v^{G_\langle v \rangle}| = |M|$ divides $q - 1$.

Instead of one orbit of size $k$ we therefore have two orbits of size $k/l$ and $l$, and since $k \geq k/l + l$ whenever $l \geq 2$ we will for example need to compute and sift fewer Schreier generators. On the other hand, our base will probably be longer.
when using this trick, and empirical studies in this project has shown that it is not always a good idea, but it nevertheless implemented and can be used.

2.2. Eigenspaces. Another method for producing smaller orbits is described in [MO95]. The idea is to choose the base points to be eigenvectors of the given generator matrices.

Recall from linear algebra that the characteristic polynomial of a matrix $A \in \text{GL}(d, q)$ is $c_A(x) = \det(axI - A)$ where $I$ is the identity matrix, and an eigenvalue of $A$ is a root of $c_A(x)$. Normally, an eigenvector is defined as a vector $v \in \mathbb{F}_q^d$ such that $v^A = \lambda v$ where $\lambda$ is an eigenvalue of $A$, or equivalently $v^g(A) = 0$ where $g(x)$ is a linear factor of $c_A(x)$. Here we use a more general version of the latter definition, where we allow factors of higher degree as well as linear factors of $c_A(x)$.

We see that for a linear factor $g(x) = x - \lambda$ and a corresponding eigenvector $v$, the size of the orbit $v^{(A)}$ is a divisor of $q - 1$, since if $u \in v^{(A)}$ then $u = v^{\alpha A} = \alpha \lambda v$, and as before the possible values of $\alpha$ form a subgroup of $\mathbb{F}_q^*$. More generally, for a factor of $c_A(x)$ of degree $m$, the size of the orbit $v^{(A)}$ for an eigenvector $v$ is bounded above by $q^m - 1$. To get the smallest possible orbits, we should therefore choose eigenvectors corresponding to factors of as small degree as possible, and linear factors are easy to find since they correspond to eigenvalues, which are easy to compute.

Note that we have only considered the orbits of groups generated by single matrices, and the orbit of a matrix group $G = \langle S \rangle$ acting on the finite set $X$, a point $\alpha \in X$ and a subgroup $H = \langle S' \rangle \leq G\alpha$, it checks whether $H = G\alpha$. If this is not the case, the algorithm computes $g \in G\alpha \setminus H$ to witness that. To check a whole proposed base and SGS, one then uses the third case of Theorem 3.6 and checks each level.

The algorithm is quite involved, both theoretically and when it comes to implementing it. It has a recursive nature, inducting on $S \setminus S'$, and it involves things like changing base points and computing block systems.

3. Further developments

The package contains implementations of two more advanced algorithms, the so-called Verify routine by Sims, which is an algorithm for verifying if a proposed base and SGS are complete, and the nearly linear time algorithm for finding a base and an SGS. The complete descriptions of these algorithms are beyond the scope of this report, but for completeness we include brief accounts on them.

3.1. The Verify routine. This algorithm is due to Sims, and has never been published, but it is described in [Ser03]. Given a group $G = \langle S \rangle$ acting on the finite set $X$, a point $\alpha \in X$ and a subgroup $H = \langle S' \rangle \leq G\alpha$, it checks whether $H = G\alpha$. If this is not the case, the algorithm computes $g \in G\alpha \setminus H$ to witness that. To check a whole proposed base and SGS, one then uses the third case of Theorem 3.6 and checks each level.

The algorithm is quite involved, both theoretically and when it comes to implementing it. It has a recursive nature, inducting on $S \setminus S'$, and it involves things like changing base points and computing block systems.
3.2. The nearly linear time algorithm. For permutation groups $G$ acting on $X$, there is an algorithm for computing a base and an SGS that runs in nearly linear time, i.e., linear in $|X|$ except for some logarithmic factor of $|X|$ and $|G|$. It is the best known algorithm in terms of time complexity, and is described in [BCFAS91] as well as in [Ser03] and it is probabilistic. The complexity is achieved by using shallow Schreier trees, a fast probabilistic verification algorithm, and by sifting only a few randomly selected Schreier generators. Otherwise, the algorithm is quite similar to the algorithms we have described earlier for computing a base and an SGS.

The random Schreier generators are computed using random subproducts which are described in [Ser03] as well as by selecting random group elements, and the algorithm relies on some theorems relating the number of Schreier generators to compute to the given probability of correctness.
CHAPTER 7

Performance

As observed earlier, one of the objectives of the project was to make an implementation that hopefully would be faster than the one already existing in GAP. To determine if this objective was met, the implementation has been benchmarked and compared with the built-in GAP implementation.

The algorithm has been used to compute a base and SGS for some matrix groups that are easy to construct in GAP, and the generating sets that were used were the standard generating sets from the GAP library. The main test groups were classical groups: the general and special linear groups $\text{GL}(d, q)$ and $\text{SL}(d, q)$ and the general and special orthogonal groups $\text{GO}(d, q)$ and $\text{SO}(d, q)$, for various (small) $d$ and $q$. The algorithm was also tested on some Suzuki groups $\text{Sz}(q)$ (where $q$ is a non-square power of 2) and some Ree groups $\text{Ree}(q)$ (where $q = 3^{1+2m}$ for some $m > 0$).

Another, perhaps more realistic, test of the performance was done by running the algorithm on randomly formed sets of invertible matrices of a given dimension over a given finite field.

The benchmarks was carried out on two quite standard PC computers, the first test on a computer with an AMD Athlon CPU running at 2 GHz and with 1 GB of physical RAM, and the second test on a computer with an Intel Pentium 4 CPU running at 2.8 GHz and also with 1 GB of physical RAM. It is likely that these were the only important parameters, since GAP is mainly CPU intensive, and swapping was avoided during the benchmark, so the hard disk speed should be a negligible factor. The GAP installation tests reported GAP4stones values of 194624 and 253581, respectively.

The details of the benchmarks is shown in the appendix. During the first test, the existing algorithm was the faster one for most groups, but for some groups our implementation was faster, most notably for the Suzuki groups. It should be noted that all running times for our implementation comes from using the same algorithm options, and it is possible to get better times, especially for the smaller groups, by elaborating with other options. The second test also indicated that the existing implementation is faster in most cases.

In terms of memory, no rigorous benchmark has been performed, but during the above benchmark some simple checks of the amount of memory allocated by the GAP process were performed. It seemed that our implementation consumed less memory overall, and in some cases the difference was large. Indeed, since we wanted to avoid swapping during the benchmark, no larger Suzuki group than $\text{Sz}(32)$ could be checked during the first test, since the existing implementation ran out of memory. Our implementation was far from having such problems, consuming no more than about 50 MB for the Suzuki groups.

The conclusion must therefore be that the project is a small success.
Here are details of the results of the first benchmark. The first column shows the test groups, as they are written in GAP, and the other columns show the execution time in milliseconds. The method used to compare the algorithms was to compute the order of the input group using the orbit sizes in the computed base and SGS, so to measure the existing implementation we used a command like 

```gap
Size(GeneratorsOfGroup(GL(4, 4)));
benchmark_time := time;
```

| Group   | Project | GAP |
|---------|---------|-----|
| SL(2, 2)| 110     | 170 |
| GO(+1, 2, 2) | 20  | 10  |
| GO(−1, 2, 2) | 20  | 0   |
| GL(2, 4) | 80   | 20  |
| SL(2, 4) | 60   | 10  |
| GO(+1, 2, 4) | 40  | 0   |
| GO(−1, 2, 4) | 40  | 10  |
| GL(2, 3) | 80   | 30  |
| SL(2, 3) | 50   | 0   |
| GO(+1, 2, 3) | 50  | 10  |
| GO(−1, 2, 3) | 50  | 0   |
| SO(+1, 2, 3) | 20  | 0   |
| SO(−1, 2, 3) | 30  | 10  |
| GL(2, 5) | 70   | 10  |
| SL(2, 5) | 40   | 10  |
| GO(+1, 2, 5) | 50  | 10  |
| GO(−1, 2, 5) | 50  | 0   |
| SO(+1, 2, 5) | 50  | 10  |
| SO(−1, 2, 5) | 40  | 0   |
| SL(3, 2) | 50   | 10  |
| GO(0, 3, 2) | 40  | 0   |
| GL(3, 4) | 170  | 20  |
### Table 2: Benchmark results

| Group     | Project | GAP |
|-----------|---------|-----|
| SL(3, 4)  | 110     | 20  |
| GO(0, 3, 4) | 90      | 10  |
| GL(3, 3)  | 70      | 10  |
| SL(3, 3)  | 100     | 0   |
| GO(0, 3, 3) | 60      | 10  |
| SO(0, 3, 3) | 50      | 0   |
| GL(3, 5)  | 190     | 60  |
| SL(3, 5)  | 110     | 40  |
| GO(0, 3, 5) | 70      | 10  |
| SO(0, 3, 5) | 70      | 20  |
| GL(3, 2)  | 130     | 10  |
| GO(+1, 3, 2) | 40      | 10  |
| GO(−1, 3, 2) | 60      | 0   |
| GL(4, 4)  | 1480    | 30  |
| SL(4, 4)  | 690     | 80  |
| GO(+1, 4, 4) | 90      | 30  |
| GO(−1, 4, 4) | 170     | 20  |
| GL(4, 3)  | 210     | 30  |
| SL(4, 3)  | 410     | 20  |
| GO(+1, 4, 3) | 80      | 20  |
| GO(−1, 4, 3) | 80      | 10  |
| SO(+1, 4, 3) | 80      | 0   |
| SO(−1, 4, 3) | 80      | 10  |
| GL(4, 5)  | 1600    | 50  |
| SL(4, 5)  | 790     | 190 |
| GO(+1, 4, 5) | 100     | 60  |
| GO(−1, 4, 5) | 140     | 50  |
| SO(+1, 4, 5) | 140     | 60  |
| SO(−1, 4, 5) | 100     | 60  |
| SL(5, 2)  | 560     | 10  |
| GO(0, 5, 2) | 90      | 10  |
| GL(5, 4)  | 2640    | 220 |
| SL(5, 4)  | 4650    | 440 |
| GO(0, 5, 4) | 230     | 100 |

### Table 3: Benchmark results

| Group     | Project | GAP |
|-----------|---------|-----|
| GL(5, 3)  | 890     | 30  |
| SL(5, 3)  | 5090    | 130 |
| GO(0, 5, 3) | 100     | 40  |
| SO(0, 5, 3) | 60      | 10  |
| GL(5, 5)  | 24500   | 410 |
| SL(5, 5)  | 12380   | 1450|
| GO(+1, 5, 5) | 250     | 20  |
| GO(−1, 5, 5) | 350     | 10  |
| GL(6, 4)  | 205430  | 460 |
| SL(6, 4)  | 89580   | 4030|
| GO(+1, 6, 4) | 3880    | 580 |
| GO(−1, 6, 4) | 3850    | 100 |
| GL(6, 5)  | 16890   | 660 |
| SL(6, 5)  | 340     | 90  |
| GO(+1, 6, 5) | 520     | 100 |
| GO(−1, 6, 5) | 480     | 100 |
| SO(+1, 6, 5) | 292220  | 84030|
| SO(−1, 6, 5) | 227830  | 82010|
| GL(6, 3)  | 3850    | 100 |
| SL(6, 3)  | 16890   | 660 |
| GO(+1, 6, 3) | 340     | 200 |
| GO(−1, 6, 3) | 520     | 100 |
| SO(+1, 6, 3) | 480     | 100 |
| SO(−1, 6, 3) | 292220  | 84030|
| GL(6, 5)  | 3850    | 100 |
| SL(6, 5)  | 16890   | 660 |
| GO(+1, 6, 5) | 340     | 200 |
| GO(−1, 6, 5) | 520     | 100 |
| SO(+1, 6, 5) | 480     | 100 |
| SO(−1, 6, 5) | 292220  | 84030|
| GL(6, 3)  | 340     | 90  |
| SL(6, 3)  | 16890   | 660 |
| GO(+1, 6, 3) | 520     | 100 |
| GO(−1, 6, 3) | 480     | 100 |
| SO(+1, 6, 3) | 292220  | 84030|
| SO(−1, 6, 3) | 227830  | 82010|
| GL(6, 5)  | 340     | 90  |
| SL(6, 5)  | 16890   | 660 |
| GO(+1, 6, 3) | 520     | 100 |
| GO(−1, 6, 3) | 480     | 100 |
| SO(+1, 6, 3) | 292220  | 84030|
| SO(−1, 6, 3) | 227830  | 82010|
| GL(6, 3)  | 340     | 90  |
| SL(6, 3)  | 16890   | 660 |
| GO(+1, 6, 3) | 520     | 100 |
| GO(−1, 6, 3) | 480     | 100 |
| SO(+1, 6, 3) | 292220  | 84030|
| SO(−1, 6, 3) | 227830  | 82010|

| Group | Project | GAP |
|-------|---------|-----|
| Sz(8) | 180     | 1170|
| Sz(32) | 34390   | 133860|
| Sz(128) | 1084510 | > 3600000|
| Ree(27) | 816590  | 687780|
Here are the results of the second benchmark. The first column shows the finite field size, the second column the matrix dimension and the third column is the size of the random generating set that was formed. Each generating set was computed using the given number of calls to `RandomInvertibleMat`. For each line in the table, 20 generating sets with the given parameters were formed, the algorithm was executed on these sets, and the average time over these 20 executions is shown in the table in the last two columns.

Table 4: Benchmark results

| Field | Dim | Set | Project | GAP |
|-------|-----|-----|---------|-----|
| 2     | 2   | 1   | 25      | 16  |
| 2     | 2   | 2   | 23      | 4   |
| 2     | 2   | 3   | 23      | 2   |
| 2     | 2   | 4   | 23      | 2   |
| 2     | 2   | 5   | 23      | 2   |
| 2     | 2   | 6   | 23      | 2   |
| 2     | 2   | 7   | 23      | 3   |
| 2     | 2   | 8   | 23      | 2   |
| 2     | 2   | 9   | 24      | 3   |
| 2     | 2   | 10  | 23      | 3   |
| 2     | 3   | 1   | 34      | 2   |
| 2     | 3   | 2   | 42      | 3   |
| 2     | 3   | 3   | 49      | 3   |
| 2     | 3   | 4   | 52      | 3   |
| 2     | 3   | 5   | 53      | 4   |
| 2     | 3   | 6   | 58      | 4   |
| 2     | 3   | 7   | 60      | 4   |
| 2     | 3   | 8   | 68      | 4   |
| 2     | 3   | 9   | 62      | 5   |
| 2     | 3   | 10  | 68      | 4   |
| 2     | 4   | 1   | 46      | 3   |
| 2     | 4   | 2   | 87      | 4   |
| 2     | 4   | 3   | 117     | 5   |
| 2     | 4   | 4   | 120     | 6   |
| 2     | 4   | 5   | 126     | 6   |
| 2     | 4   | 6   | 124     | 7   |
| 2     | 4   | 7   | 145     | 7   |
| 2     | 4   | 8   | 151     | 7   |
| 2     | 4   | 9   | 161     | 8   |
| 2     | 4   | 10  | 168     | 8   |
### Table 5: Benchmark results

| Field | Dim | Set | Project | GAP |
|-------|-----|-----|---------|-----|
| 2     | 5   | 1   | 58      | 3   |
| 2     | 5   | 2   | 208     | 10  |
| 2     | 5   | 3   | 231     | 11  |
| 2     | 5   | 4   | 301     | 12  |
| 2     | 5   | 5   | 294     | 13  |
| 2     | 5   | 6   | 357     | 14  |
| 2     | 5   | 7   | 384     | 15  |
| 2     | 5   | 8   | 355     | 15  |
| 2     | 5   | 9   | 407     | 16  |
| 2     | 5   | 10  | 471     | 17  |
| 2     | 6   | 1   | 75      | 4   |
| 2     | 6   | 2   | 612     | 24  |
| 2     | 6   | 3   | 530     | 27  |
| 2     | 6   | 4   | 704     | 28  |
| 2     | 6   | 5   | 1033    | 32  |
| 2     | 6   | 6   | 903     | 34  |
| 2     | 6   | 7   | 1209    | 37  |
| 2     | 6   | 8   | 1055    | 41  |
| 2     | 6   | 9   | 1300    | 44  |
| 2     | 6   | 10  | 1158    | 45  |
| 2     | 7   | 1   | 86      | 8   |
| 2     | 7   | 2   | 1229    | 24  |
| 2     | 7   | 3   | 1675    | 20  |
| 2     | 7   | 4   | 2289    | 20  |
| 2     | 7   | 5   | 2183    | 25  |
| 2     | 7   | 6   | 2404    | 23  |
| 2     | 7   | 7   | 2556    | 24  |
| 2     | 7   | 8   | 2477    | 25  |
| 2     | 7   | 9   | 2963    | 29  |
| 2     | 7   | 10  | 3343    | 27  |
| 4     | 2   | 1   | 37      | 3   |
| 4     | 2   | 2   | 55      | 4   |
| 4     | 2   | 3   | 60      | 5   |
| 4     | 2   | 4   | 61      | 5   |
| 4     | 2   | 5   | 62      | 5   |
| 4     | 2   | 6   | 64      | 6   |
| 4     | 2   | 7   | 69      | 7   |
| 4     | 2   | 8   | 70      | 7   |
| 4     | 2   | 9   | 73      | 7   |
| 4     | 2   | 10  | 75      | 8   |
| 4     | 3   | 1   | 59      | 5   |
| 4     | 3   | 2   | 118     | 12  |
| 4     | 3   | 3   | 133     | 14  |
| 4     | 3   | 4   | 152     | 17  |
| 4     | 3   | 5   | 158     | 19  |
| 4     | 3   | 6   | 175     | 21  |
| 4     | 3   | 7   | 185     | 22  |
| 4     | 3   | 8   | 215     | 25  |
| 4     | 3   | 9   | 216     | 27  |
| 4     | 3   | 10  | 226     | 28  |

### Table 6: Benchmark results

| Field | Dim | Set | Project | GAP |
|-------|-----|-----|---------|-----|
| 4     | 4   | 1   | 75      | 15  |
| 4     | 4   | 2   | 208     | 10  |
| 4     | 4   | 3   | 576     | 28  |
| 4     | 4   | 4   | 589     | 29  |
| 4     | 4   | 5   | 749     | 28  |
| 4     | 4   | 6   | 714     | 31  |
| 4     | 4   | 7   | 838     | 33  |
| 4     | 4   | 8   | 912     | 38  |
| 4     | 4   | 9   | 1019    | 36  |
| 4     | 4   | 10  | 1085    | 38  |
| 4     | 5   | 1   | 109     | 52  |
| 4     | 5   | 2   | 3141    | 170 |
| 4     | 5   | 3   | 4248    | 119 |
| 4     | 5   | 4   | 5126    | 116 |
| 4     | 5   | 5   | 3895    | 124 |
| 4     | 5   | 6   | 4416    | 134 |
| 4     | 5   | 7   | 5154    | 137 |
| 4     | 5   | 8   | 5002    | 147 |
| 4     | 5   | 9   | 6285    | 156 |
| 4     | 6   | 1   | 193     | 212 |
| 4     | 6   | 2   | 27943   | 655 |
| 4     | 6   | 3   | 33664   | 455 |
| 4     | 6   | 4   | 30882   | 478 |
| 4     | 6   | 5   | 40467   | 506 |
| 4     | 6   | 6   | 36702   | 534 |
| 4     | 6   | 7   | 35179   | 570 |
| 4     | 6   | 8   | 41211   | 593 |
| 4     | 6   | 9   | 47733   | 630 |
| 4     | 6   | 10  | 46698   | 661 |
| 4     | 7   | 1   | 8786    | 19238 |
| 4     | 7   | 2   | 335656  | 95870 |
| 4     | 7   | 3   | 337232  | 100999 |
| 4     | 7   | 4   | 371067  | 98525 |
| 4     | 7   | 5   | 381631  | 94616 |
| 4     | 7   | 6   | 463195  | 94353 |
| 4     | 7   | 7   | 463824  | 102045 |
| 4     | 7   | 8   | 460079  | 104262 |
| 4     | 7   | 9   | 485832  | 115177 |
| 4     | 7   | 10  | 497838  | 99240 |
| 4     | 8   | 1   | 39      | 3   |
| 4     | 8   | 2   | 47      | 3   |
| 4     | 8   | 3   | 49      | 3   |
| 4     | 8   | 4   | 52      | 3   |
| 4     | 8   | 5   | 51      | 4   |
| 4     | 8   | 6   | 53      | 4   |
| 4     | 8   | 7   | 46      | 4   |
| 4     | 8   | 8   | 54      | 4   |
| 4     | 8   | 9   | 54      | 5   |
| 4     | 8   | 10  | 47      | 5   |
### Table 7: Benchmark results

| Field | Dim | Set | Project | GAP |
|-------|-----|-----|---------|-----|
| 3     | 3   | 1   | 59      | 4   |
| 3     | 3   | 2   | 92      | 7   |
| 3     | 3   | 3   | 98      | 8   |
| 3     | 4   | 4   | 101     | 9   |
| 3     | 5   | 5   | 111     | 9   |
| 3     | 6   | 6   | 114     | 11  |
| 3     | 7   | 7   | 126     | 12  |
| 3     | 8   | 8   | 126     | 13  |
| 3     | 9   | 9   | 136     | 12  |
| 3     | 10  | 10  | 143     | 15  |
| 4     | 1   | 1   | 74      | 7   |
| 4     | 2   | 2   | 244     | 22  |
| 4     | 3   | 3   | 278     | 26  |
| 4     | 4   | 4   | 310     | 29  |
| 4     | 5   | 5   | 366     | 32  |
| 4     | 6   | 6   | 334     | 35  |
| 4     | 7   | 7   | 415     | 39  |
| 4     | 8   | 8   | 452     | 40  |
| 4     | 9   | 9   | 475     | 45  |
| 4     | 10  | 10  | 454     | 47  |
| 5     | 1   | 1   | 82      | 15  |
| 5     | 2   | 2   | 1029    | 44  |
| 5     | 3   | 3   | 1110    | 28  |
| 5     | 4   | 4   | 1692    | 29  |
| 5     | 5   | 5   | 1361    | 36  |
| 5     | 6   | 6   | 1612    | 34  |
| 5     | 7   | 7   | 1752    | 35  |
| 5     | 8   | 8   | 1887    | 40  |
| 5     | 9   | 9   | 1928    | 39  |
| 5     | 10  | 10  | 2197    | 42  |
| 6     | 1   | 1   | 111     | 44  |
| 6     | 2   | 2   | 6517    | 105 |
| 6     | 3   | 3   | 5772    | 131 |
| 6     | 4   | 4   | 7463    | 117 |
| 6     | 5   | 5   | 6184    | 104 |
| 6     | 6   | 6   | 7111    | 128 |
| 6     | 7   | 7   | 7191    | 117 |
| 6     | 8   | 8   | 8478    | 120 |
| 6     | 9   | 9   | 11749   | 127 |
| 6     | 10  | 10  | 10142   | 134 |
| 7     | 1   | 1   | 385     | 128 |
| 7     | 2   | 2   | 33440   | 591 |
| 7     | 3   | 3   | 36465   | 586 |
| 7     | 4   | 4   | 35244   | 506 |
| 7     | 5   | 5   | 35492   | 331 |
| 7     | 6   | 6   | 43913   | 351 |
| 7     | 7   | 7   | 47698   | 364 |
| 7     | 8   | 8   | 45104   | 382 |
| 7     | 9   | 9   | 46772   | 401 |
| 7     | 10  | 10  | 62226   | 421 |

### Table 8: Benchmark results

| Field | Dim | Set | Project | GAP |
|-------|-----|-----|---------|-----|
| 5     | 2   | 1   | 46      | 3   |
| 5     | 2   | 2   | 59      | 5   |
| 5     | 2   | 3   | 61      | 6   |
| 5     | 2   | 4   | 64      | 6   |
| 5     | 2   | 5   | 64      | 8   |
| 5     | 2   | 6   | 65      | 9   |
| 5     | 2   | 7   | 68      | 9   |
| 5     | 2   | 8   | 73      | 10  |
| 5     | 2   | 9   | 72      | 10  |
| 5     | 2   | 10  | 77      | 11  |
| 5     | 3   | 1   | 59      | 8   |
| 5     | 3   | 2   | 147     | 14  |
| 5     | 3   | 3   | 157     | 15  |
| 5     | 3   | 4   | 178     | 15  |
| 5     | 3   | 5   | 182     | 14  |
| 5     | 3   | 6   | 203     | 15  |
| 5     | 3   | 7   | 226     | 17  |
| 5     | 3   | 8   | 240     | 17  |
| 5     | 3   | 9   | 258     | 19  |
| 5     | 3   | 10  | 269     | 20  |
| 5     | 4   | 1   | 78      | 35  |
| 5     | 4   | 2   | 957     | 94  |
| 5     | 4   | 3   | 1123    | 73  |
| 5     | 4   | 4   | 1038    | 65  |
| 5     | 4   | 5   | 1300    | 68  |
| 5     | 4   | 6   | 1337    | 72  |
| 5     | 4   | 7   | 1563    | 78  |
| 5     | 4   | 8   | 1703    | 81  |
| 5     | 4   | 9   | 1815    | 93  |
| 5     | 4   | 10  | 1864    | 91  |
| 5     | 5   | 1   | 166     | 158 |
| 5     | 5   | 2   | 10330   | 414 |
| 5     | 5   | 3   | 11236   | 508 |
| 5     | 5   | 4   | 11779   | 402 |
| 5     | 5   | 5   | 13499   | 355 |
| 5     | 5   | 6   | 15476   | 375 |
| 5     | 5   | 7   | 14578   | 475 |
| 5     | 5   | 8   | 14204   | 428 |
| 5     | 5   | 9   | 14994   | 450 |
| 5     | 5   | 10  | 15487   | 472 |
| 5     | 6   | 1   | 903     | 3568|
| 5     | 6   | 2   | 180644  | 86753|
| 5     | 6   | 3   | 195962  | 91533|
| 5     | 6   | 4   | 210412  | 84445|
| 5     | 6   | 5   | 216448  | 84051|
| 5     | 6   | 6   | 225522  | 80495|
| 5     | 6   | 7   | 212674  | 76792|
| 5     | 6   | 8   | 258668  | 81668|
| 5     | 6   | 9   | 269393  | 97520|
| 5     | 6   | 10  | 287699  | 87331|
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