Complementary Two-Particle Correlation Observables for Relativistic Nuclear Collisions

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(Dated: January 9, 2023)

Two-particle correlations are a widely used tool for studying relativistic nuclear collisions. Multiplicity fluctuations comparing charge and particle species have been studied as a possible signal for Quark-Gluon Plasma (QGP) and the QCD critical point. These fluctuation studies all make use of particle variances which can be shown to originate with a two-particle correlation function. Momentum correlations and covariances of momentum fluctuations, which arise from the same correlation function, have also been used to extract properties of the nuclear collision medium such as the shear viscosity to entropy density ratio, the shear relaxation time, and temperature fluctuations. Searches for critical fluctuations are also done with these correlation observables. We derive a mathematical relationship between several number and momentum density correlation observables and outline the different physics mechanisms often ascribed to each. This set of observables also contains a new multiplicity-momentum correlation. Our mathematical relation can be used as a validation tool for measurements, as a method for interpreting the relative contributions of different physics mechanisms on correlation observables, and as a test for theoretical and phenomenological models to simultaneously explain all observables. We compare an independent source model to simulated events from PYTHIA for all observables in the set.

I. INTRODUCTION

We present a set of two-particle number density and transverse momentum correlation observables that each separately test different aspects of relativistic heavy-ion collisions, but are mathematically connected through a parent correlation function. Several observables in the set have previously been measured individually, but are rarely measured simultaneously under the same collision system, energy, and acceptance conditions. One observable, multiplicity-momentum correlations, is new. The mathematical connection between the observables allows any one to be described as a combination of the others, signaling the relative contributions of the physical mechanisms of each. This connection also poses a challenge for models to address experimental measurements of all observables simultaneously. In this paper, we outline the construction and interpretation of the individual observables, demonstrate their mathematical connection, and compare a simple independent source model to simulated data.

Two-particle correlation observables are widely used to study aspects of relativistic heavy-ion collisions. Multiplicity fluctuations, \( \mathcal{R} \), have been linked to centrality or volume fluctuations and studied as a possible signal for Quark-Gluon Plasma (QGP) [1–16]. We outline these aspects and the experimental measurement in Sec. II A. The dependence of \( \mathcal{R} \) on volume fluctuations is also informed by its representation in an independent source model which is discussed in Appendix A.

Transverse momentum correlations, in the form of a covariance of two different particle’s traverse momentum fluctuation away from the global average, \( \langle \delta p_1 \delta p_2 \rangle \), have also been examined as a signature of critical fluctuations and linked to event-by-event temperature fluctuations [17–30]. In past work, we argue that these correlations result from initial state correlations modified by radial flow [31]. We also argue that these correlations can signal the level of thermalization reached by the collision medium [32–34]. We discuss this observable in detail in Sec. II C.

We distinguish the net correlation of transverse momentum fluctuations, \( \langle \delta p_{1z} \delta p_{2z} \rangle \), from a different measure of two-particle transverse momentum correlations that we label \( \mathcal{C} \). In \( \langle \delta p_{1z} \delta p_{2z} \rangle \), the fluctuation of an individual particle’s traverse momentum away from the global average, \( \delta p_{l} = p_{l} - \langle p_{l} \rangle \), is compared to that of every other particle. \( \mathcal{C} \) directly compares two different particles’ traverse momentum. \( \mathcal{C} \) was first defined in Ref. [35] and used there to estimate the shear viscosity to entropy density ratio and shear relaxation time [36–44]. In this work, we focus on the mathematical and observable construction of these correlations and discuss how the effects of dynamical correlations and the influence of multiplicity fluctuations can be distinguished (see Secs. II and II B).
A major component of this work is the definition of a new two-particle correlation that measures the covariance of event-by-event multiplicity and total transverse momentum in excess of random fluctuations,

$$D = \frac{\text{Cov}(P_T, N) - \langle p_t \rangle \text{Var}(N)}{(N)^2}. \quad (1)$$

The first mention of (1) can be found in Ref. [45]. In Sec. II, we show that $D$ emerges as a moment of the same parent correlation function that produces correlations $R$, $C$, and $(\delta p_{t1}\delta p_{t2})$. More detailed discussion of the construction of $D$ can be found in Sec. II D.

In Sec. II D we discuss how $D$ will vanish if the only source of multiplicity-momentum correlations is multiplicity fluctuations. Additionally we show that, in the Grand Canonical Ensemble, $D$ is also zero in equilibrium. Non-zero values of $D$ represent correlations born from the particle production mechanism that survive to freeze-out, and similarly to $(\delta p_{t1}\delta p_{t2})$ [34], could be a sign of incomplete thermalization.

Interestingly, in Sec. III, we find that $D$ is not zero in PYTHIA/Angantyr simulations of proton-proton ($pp$) and nucleus-nucleus ($AA$) collisions. Also, we find $D$ is comparable in magnitude to correlations of transverse momentum fluctuations, $(\delta p_{t1}\delta p_{t2})$, which have been well measured at both RHIC and LHC. Until this work, we have previously assumed $D$ is zero and this is also assumed in Ref. [37] where ALICE measures two-particle transverse momentum correlations differentially in relative pseudorapidity and relative azimuthal angle.

The main result of this work is that multiplicity-momentum correlations, $D$, net correlations of transverse momentum fluctuations, $(\delta p_{t1}\delta p_{t2})$, multiplicity fluctuations, $C$, and transverse momentum correlations, $R$, are mathematically related by the equation

$$(1 + R)(\delta p_{t1}\delta p_{t2}) - C + 2\langle p_t \rangle D + \langle p_t \rangle^2 R = 0. \quad (2)$$

We derive this result in Sec. II E. When each observable is measured individually, (2) provides a previously unknown validation. Additionally, theoretical and phenomenological models that demonstrate good agreement with one observable can now use that comparison as a benchmark for simultaneously addressing the other observables. Importantly, each observable potentially represents a different physics effect; with (2), one observable can be decomposed into the contributions from each different effect.

In Sec. III, we compare an Independent Source Model (ISM) to simulated data generated with PYTHIA 8.2 [46] for several collision energies in $pp$ collisions, as well as in $AA$ collisions using the Angantyr model [47]. A deviation of experimental measurement from the ISM may signal novel physics. The Angantyr model for heavy-ion collisions uses a superposition of nucleon-nucleon sub-collision model, similar to the wounded nucleon model, and allows for fluctuating positions of nucleons in the target and projectile nuclei. Additionally, multi-patron interactions and fluctuations exist in individual nucleon-nucleon sub-collisions. PYTHIA and Angantyr do not include any mechanism for collective expansion in $pp$ or $AA$ collisions, therefore our calculations from simulated events provide a good comparison to ISM results. Furthermore, the lack of collective effects makes the PYTHIA/Angantyr results an important baseline for experimental measurement. Using PYTHIA/Angantyr, we demonstrate the relationship (2), and calculate the first estimate of $D$, which we find to be non-zero and positive.

II. CORRELATIONS AND FLUCTUATIONS

The construction of two-particle correlation observables begins by defining the two-particle momentum density

$$\rho_2(p_1, p_2) = \rho_1(p_1)\rho_1(p_2) + r(p_1, p_2). \quad (3)$$

Here $p_{1,2}$ is the three-momentum of particle 1 or 2 in the pair. Single particle and pair momentum densities, $\rho_1(p)$ and $\rho_2(p_1, p_2)$, are the momentum densities of particles for an ensemble of events such that

$$\rho_1(p) = \frac{dN}{d^3p}, \quad (4)$$

$$\rho_2(p_1, p_2) = \frac{dN}{d^3p_1 d^3p_2}. \quad (5)$$

and

$$\langle N \rangle = \int \rho_1(p)d^3p \quad (6)$$

$$\langle N(N-1) \rangle = \int \int \rho_2(p_1, p_2)d^3p_1 d^3p_2. \quad (7)$$

The angled brackets represent an average over the events in the ensemble. For any quantity $X$, the event average is defined as $\langle X \rangle = \frac{1}{N_{events}} \sum_{k=1}^{N_{events}} X_k$. Then, $\langle N \rangle$ is the average number of particles per event, and $\langle N(N-1) \rangle$ is the average number of particle pairs neglecting autocorrelations.

Equation (3) highlights that particle pairs have two contributions. First, if pairs are formed from independent particles, i.e. no correlations, then the pair distribution is simply the multiplication of two single particle densities $\rho_1\rho_1$. Second, correlated pairs are represented by

$$r(p_1, p_2) = \rho_2(p_1, p_2) - \rho_1(p_1)\rho_1(p_2). \quad (8)$$

By construction, correlations vanish in the case of uncorrelated particle emission, when only statistical fluctuations are present.

We focus on four moments of this distribution due to
the complementary relationship provided by (2),

\[ R = \frac{\iint r(p_1, p_2) d^3p_1 d^3p_2}{\langle N \rangle^2}, \]  

(9)

\[ C = \frac{\iint r(p_1, p_2) p_{t,1} p_{t,2} d^3p_1 d^3p_2}{\langle N \rangle^2}, \]  

(10)

\[ \langle \delta p_{t,1} \delta p_{t,2} \rangle = \frac{\iint r(p_1, p_2) \delta p_{t,1} \delta p_{t,2} d^3p_1 d^3p_2}{\langle N(N - 1) \rangle}, \]  

(11)

and

\[ D = \frac{\iint r(p_1, p_2) \delta p_{t,1} d^3p_1 d^3p_2}{\langle N \rangle^2}. \]  

(12)

Here

\[ \delta p_{t,i} = p_{t,i} - \langle p_t \rangle \]  

(13)

is the fluctuation of the transverse momentum of particle \( i \) from the global average transverse momentum per particle, \( \langle p_t \rangle \), for a given centrality class.

At this point, we make no assumption about the physical mechanisms that produce the correlations characterized by (8), though many possibilities have been identified. Correlations of particle emission (momentum) angle with respect to an event plane is commonly called flow and is characterized by the coefficients \( v_n \) of a Fourier fit to the particle azimuthal distribution \( dN/d\phi = \sum_n v_n \cos(n\phi - n\psi_n) \) [48–50]. Since the event plane angle \( \psi_n \) is calculated for each order and is identified with a geometrical shape – \( n = 2 \) is elliptical, \( n = 3 \) is triangular, etc. – flow correlations are often called geometrical correlations. Much effort has gone into identifying so-called “non-flow” correlations that include HBT-like femtoscopic correlations [51, 52], resonance decays and final state interactions [53], momentum conservation [54, 55], and jets. In other works, [31, 56, 57], we have proposed that particles created in close spatial proximity develop a momentum correlation due to transverse expansion. We have argued that this mechanism accounts for much of the signal of two-particle correlations. Since this effect is only indirectly tied to an event reaction plane, many would label this effect as a non-flow effect.

Instead of trying to diagnose the relative contributions from different correlation mechanisms in one observable, we propose the collection of observables (9), (10), (11), and (12) that all originate with (3). Each observable has a different sensitivity to particle production, initial state correlations, and dynamical evolution; their mathematical connection, (2), challenges experiments to measure each in a consistent way and constrains models to agree with all of the observables simultaneously. We comment that any theoretical model with a well-defined two-particle correlation function should produce correlation moments (9)-(12) that satisfy (2) since (2) is a mathematical identity. However, if a model is tuned to address experimental results for one observable, that tuning can now be tested by simultaneous comparison to all of (9)-(12). This highlights the importance of simultaneous experimental measurements of (9)-(12) that satisfy (2).

It is common in modern studies [29, 36, 37] for experiments to measure correlation and fluctuation quantities differentially in relative azimuthal angle \( \Delta\phi = \phi_1 - \phi_2 \) and relative pseudorapidity \( \Delta\eta = \eta_1 - \eta_2 \). It is also common for experiments to measure pairs that are separated by a gap in pseudorapidity larger than \( |\Delta\eta| \gg 1 \).

Measuring observables as a function of \( \Delta\phi \) allows for the diagnosis of contributions from anisotropic flow. Projections of differential measurements of \( R, C \), and \( \langle \delta p_{t,1} \delta p_{t,2} \rangle \) onto the \( \Delta\phi \) axis all show a similar pattern of two peaks, one at \( \Delta\phi = 0 \) and one at \( \Delta\phi = \pi = \text{an} \) that is characteristic of both momentum conservation and anisotropic flow. These observables also show a broader peak at \( \Delta\phi = \pi \) in comparison to the narrower peak at \( \Delta\phi = 0 \). This observation is often attributed to the existence of triangular flow.

Pseudorapidity gaps between pairs are used to eliminate “short-range”, \( |\Delta\eta| < 1 \), correlations such as resonance decays and jets. Separately, in differential measurements, HBT and track pileup effects are often removed by eliminating the \( \Delta\eta = 0 \) bin. Projections onto the \( \Delta\eta \) axis of the differential measurements of \( R, C \), and \( \langle \delta p_{t,1} \delta p_{t,2} \rangle \) all show a “long-range”, \( |\Delta\eta| > 1 \), correlation in central collisions. This long-range “near-side” \( (\Delta\phi = 0) \) correlation appears to extend beyond detector rapidity acceptances. Thus, the near-side peak is often described as a peak sitting on a long and flat pedestal, commonly called “the ridge”. Experimental measurements often fit the ridge with a Fourier series like \( \sum_n a_n \cos(n\Delta\phi) \) that is flat in \( \Delta\eta \) and then relate the \( a_n \) coefficients to the \( v_n \) anisotropic flow harmonics [28, 29, 37, 41, 58]. The peak sitting on the pedestal represents correlations in excess of the ridge (in excess of flow correlations) and still extends to long-range in \( \Delta\eta \) (and possibly beyond the experimental acceptance) in central collisions. The broadness in \( \Delta\eta \) of this excess decreases as collisions become more peripheral. Peripheral peaks have widths between 0.5–1, which are consistent with jet and resonance decay correlations. The increasing \( \Delta\eta \) width of the near side peak from peripheral to central collisions indicates that a correlation mechanism that is not attributed to flow harmonics is at work. See, for example, Ref. [42].

If \( R, C \), and \( \langle \delta p_{t,1} \delta p_{t,2} \rangle \) are not measured differentially in \( \Delta\eta, \Delta\phi \), then all flow effects are eliminated. To understand this, imagine the quantity \( R(\Delta\phi) \) has been measured and is well described by a Fourier series with terms \( a_n \cos(n\Delta\phi) \). To find the integrated quantity, one calculates \( R = \int_0^{2\pi} R(\Delta\phi) d\Delta\phi \). When calculating the equivalent integral of the Fourier series, the integral of all terms \( \cos(n\Delta\phi) \) over a symmetric interval vanish term by term, indicating \( R = 0 \) if correlations are only described by flow. Therefore, if the integrated quantity \( R \) is not zero, it is not fully explained by Fourier flow coefficients. Although these remaining correlations might be characterized as non-flow, they are still interesting and potentially
provide useful information about the collision dynamics or initial state. In particular, we highlight the near-side correlations in excess of flow that are long-range in nature. Additionally, if jet effects dominate the integrated observables (9)-(12), then analyzing them together may provide clues for identifying classes of events based on jet properties. Alternatively, the centrality and system energy dependence of these correlations can indicate the level of thermalization of events, which we leave to future work.

Correlations (8) also embody the event-by-event fluctuations in produced particles. Notice that integrating (8) over all momenta for both particles results in

\[
(N(N - 1) - ⟨N⟩)^2 = Var(N) - ⟨N⟩^2.
\]

Here the variance of particles, \(Var(N) = ⟨N^2⟩ - ⟨N⟩^2\), characterizes the fluctuation in produced particles. If each event is independent of all other events, then this variance should follow Poisson statistics – where the variance is equal to the mean – resulting in a vanishing integral of (8).

Non-Poissonian fluctuations indicate that a physical mechanism – in initial state production, the dynamical expansion, or final state interactions – generates fluctuations in a correlated way in all of the events of the ensemble; in this case \(r(p_1, p_2) \neq 0\). Consequentially, since these fluctuations are tied to physical processes, they are not completely random and can be identified with correlation observables. Non-Poissonian behavior is seen in experiments as well as simulations, and will be discussed in the next sections.

### A. Multiplicity Fluctuations

In this section we outline aspects of the multiplicity fluctuation observable (9) that is measurable as

\[
\mathcal{R} = \frac{⟨N(N - 1)⟩ - ⟨N⟩^2}{⟨N⟩^2} = Var(N) - ⟨N⟩^2, \tag{14}
\]

[16]. From (9) we see that \(\mathcal{R}\) is a direct integral of the correlation function (8) and therefore sets an overall scale for all two-particle correlations. There are three important features of \(\mathcal{R}\) that influence the construction and interpretation of all observables in this work: First, is the choice of normalization of \(\mathcal{R}\) by \(⟨N⟩^{-2}\). Second, is the non-Poissonian multiplicity distribution that makes \(\mathcal{R}\) non-zero. This distribution has been measured as a Negative-Binomial Distribution [10]. Third, is the expected \(⟨N⟩^{-1}\) behavior with collision centrality.

In Ref. [16], Pruneau et al. show that, for inclusive distributions, the observable \(\mathcal{R}\) is robust against detection efficiency effects and acceptance limitations. To show this, we start by constructing (14) from the single particle distribution, \(ρ_1\), and pair distribution, \(ρ_{12}\), using (6) and (7) and follow arguments from both Refs. [16] and [31]. If (6) and (7) are given arbitrary normalizations \(a\) and \(b\) such that we have \(ρ_2 → aρ_2\) and \(ρ_1ρ_1 → bρ_1ρ_{1}\), then (14) becomes

\[
\mathcal{R}_{acc} = \frac{a}{b} \mathcal{R} + \frac{a-b}{b}. \tag{15}
\]

If \(a \neq b\), then \(\mathcal{R}\) will receive a scale and offset that could be detector and collision system and energy dependent. However, if \(a\) and \(b\) are equal, such as the case for detector tracking efficiency, then \(\mathcal{R} = \mathcal{R}_{measured}\). This motivates the choice to normalize \(\mathcal{R}\) by \(⟨N⟩^{-2}\). For this reason, \(\mathcal{C}\) was first constructed with the same normalization [35], and consequently, in this work, we construct \(\mathcal{D}\) in the same way. However, \((δp_{t1}δp_{t2})\) was normalized to the number of particle pairs \((⟨N(N - 1)⟩)\), and this difference is the source of the \((1 + \mathcal{R})\) factor in (2). Since \((1 + \mathcal{R}) = (⟨N(N - 1)⟩)/⟨N⟩^2\), it changes the normalization of \((δp_{t1}δp_{t2})\) to match the other observables.

In the search for critical fluctuations, the PHENIX collaboration measured the scaled variance of the charged multiplicity

\[
ω = \frac{⟨N^2⟩ - ⟨N⟩^2}{⟨N⟩} = \frac{σ^2}{µ} \tag{16}
\]

where \(⟨N⟩ = µ\) is the average charged particle multiplicity and \(σ^2 = ⟨N^2⟩ - ⟨N⟩^2\) is the variance [10]. The multiplicity distribution of heavy ion collisions follows a Negative Binomial Distribution (NBD) with mean \(µ\) and scaled variance \(ω = 1 + µ/k_{NBD}\), where \(k_{NBD}\) is a parameter. The NBD parameter is related to (14) by

\[
\mathcal{R} = \frac{σ^2 - µ}{µ^2} = \frac{ω - 1}{µ} = \frac{1}{k_{NBD}}. \tag{17}
\]

Importantly, subsets of a NBD, randomly sampled with constant probability, will have the same \(k_{NBD}\).

Using the properties of the NBD, we now show that it is acceptable to measure \(\mathcal{R}\) using only a subset of the multiplicity; this guides our methods in Sec. III. Let \(µ\) and \(ω\) be the mean multiplicity and scaled variance from an unlimited acceptance. Also let \(µ_{acc}\) and \(ω_{acc}\) be the mean and scaled variance from a fractional acceptance. By definition, the scaled variance for fractional acceptance is then \(ω_{acc} = 1 + µ_{acc}/k_{NBD}\). Using \(\mathcal{R} = k_{NBD}^{-1}\) and the relation (17) for \(µ_{acc}\) and \(ω_{acc}\), we find \(R = (ω_{acc} - 1)/µ_{acc} = R_{acc}\), since \(k_{NBD}\) is identical for the full acceptance and fractional acceptance regions. This result is consistent with (15) for \(a = b\), and makes \(\mathcal{R}\) an ideal measure of the strength of correlations.

The variance of a NBD is proportional to the mean, but, importantly, always larger than the mean. In the case where \(k_{NBD} \rightarrow \infty\), the NBD approaches the Poisson distribution where the variance equals the mean. Examining the rightmost definition of \(\mathcal{R}\) in (14), notice that for the case of independent particle production, the distribution would be Poisson and then \(\mathcal{R} = 0\). In the case where the variance of particles is proportional to the mean, then both terms in the numerator have a scale of \(⟨N⟩\), and \(\mathcal{R}\) will follow a \(1/⟨N⟩\) behavior. For this reason we expect that all observables \(\mathcal{R}, \mathcal{C}, \mathcal{D}\), and \((1 + \mathcal{R})⟨δp_{t1}δp_{t2}\)
will all follow $1/\langle N \rangle$, a centrality and collision energy dependent quantity. Furthermore, in Appendix A, we show that in an independent source model, all of the observables trend like $\langle K \rangle^{-1}$ where $K$ is the number of sources in an event. This $1/\langle N \rangle$ or $1/\langle K \rangle$ behavior is a defining characteristic of these correlations and therefore we look for deviations from this trend to signal novel physics. To have a positive $R$, the multiplicity variance must be larger than $\langle N \rangle$. To deviate from a $1/\langle N \rangle$ behavior, the multiplicity variance must also change faster or slower than $\langle N \rangle$ with increasing centrality.

When investigating the centrality dependence of multiplicity fluctuations (14), biases are introduced if the same particles are used to measure correlations and to measure centrality. This will be discussed in detail in Sec. III, however, it is informative to briefly discuss one aspect here. Imagine (14) was calculated from an ensemble of events where each event has exactly the same number of particles. Then, $\langle N^2 \rangle = \langle N \rangle^2$ and

$$R \rightarrow -\frac{1}{\langle N \rangle}.$$  \hspace{1cm} (18)

This shows a limiting behavior that is a response to multiplicity binning. To avoid this effect, the multiplicity used to measure centrality must be different from the multiplicity used to calculate (14). This is acceptable because $R$ is robust against acceptance effects, as discussed earlier in this section.

### B. Transverse Momentum Correlations

Two-particle transverse momentum correlations, (10), are measurable as

$$C = \frac{\langle \sum_{i=1}^{N_\ell} \sum_{j \neq i} p_{t,i} p_{t,j} \rangle - \langle P_T \rangle^2}{\langle N \rangle^2},$$  \hspace{1cm} (19)

where

$$\langle P_T \rangle = \frac{\sum_{i=1}^{N_\ell} p_{t,i}}{\langle N \rangle} = \int \rho_1(p) p_t \, d^3p$$ \hspace{1cm} (20)

is the average total transverse momentum per event.

Momentum correlations (19) are sensitive to both number density fluctuations as well as transverse momentum fluctuations; both are necessary to address the diffusion of transverse momentum fluctuations due to shear viscosity. Reference [35] predicts that the simultaneous diffusion and dampening of initial state momentum fluctuations due to shear viscous forces results in the broadening of correlations $C$ in relative rapidity over the collision lifetime. Since central collisions have longer lifetimes than peripheral ones, a centrality dependent measurement of the relative rapidity width of $C$ should show a monotonic increase. This behavior was first seen by STAR when they measured (19) differentially in relative pseudorapidity and relative azimuthal angle $C(\Delta \eta, \Delta \phi)$ [36].

We can see how (19) incorporates number density fluctuations by writing it in terms of the correlation function (8) to find (10). Comparing (10) to (9), notice that all multiplicity fluctuations in (19) are the same as those in (14), except they are weighted by transverse momentum. This is important because every particle carries some momentum, and therefore correlations and diffusion of particles necessarily implies correlations and diffusion of momentum.

$C$ probes the transfer of transverse momentum correlations between two points in the QGP from small rapidity separation to larger separations. Imagine an event with a fluctuating initial state. Movement toward equilibrium is driven, in part, by viscous forces transferring energy density or momentum density or particle number density from higher temperature spots to lower ones. Interestingly, shear viscosity transports momentum perpendicular to the direction of flow, therefore shear viscosity spreads transverse momentum fluctuations (and therefore correlations) in the longitudinal direction up to approximately $1 - 2$ units in relative rapidity.

Momentum correlations emerge from the initial state because pairs of particles are emitted from the same source and are generally subject to local enforcement of conservation laws. Since particles originate at the same spatial location, they experience roughly the same dynamics and can develop new correlations with each other and with the global event plane due to transverse expansion [31, 56, 57, 59]. If correlations exist over rapidity ranges of $|\Delta \eta| > 1 - 2$ units then causality requires that they develop at the early stages of the collisions [60].

If momentum correlations originate because pairs of particles are emitted from the same source, then the number of correlated pairs is roughly proportional to the temperature of the source. The more pairs, the stronger the correlation. In equilibrium, the distinction between different sources is destroyed, reducing the strength of the correlation. In this manner, $C$ is sensitive to the equilibration process and can be used to estimate levels of partial thermalization [34].

### C. Covariance of Transverse Momentum Fluctuations

Transverse momentum correlations in excess of multiplicity fluctuations, defined by (11), have been widely studied as a possible signal for the existence of QGP [17–30]. QCD critical point searches look for non-monotonic behaviors since fluctuations are expected to diverge if the system passes through a phase transition [6, 61]. Similarly, the event-by-event variation in $p_t$ can be used as a measure of event temperature fluctuations [20, 62].

We focus on momentum correlations defined by (11),
which are experimentally measurable with

\[ \langle \delta p_{1} \delta p_{2} \rangle = \frac{1}{N(N-1)} \sum_{i=1}^{N_s} \sum_{j=1, j \neq i}^{N_s} \delta p_{1,i} \delta p_{1,j} \]  

(21)

where \( \delta p_{1,i} \), defined by (13). Since (13) is a fluctuation, (21) is a covariance of fluctuations. In this work, we distinguish correlations of transverse momentum fluctuations (21) from transverse momentum correlations in Sec. II B to avoid confusion. We will discuss the relationship between these two types of momentum correlations in Sec. III E.

When two particles both have larger or smaller \( p_t \) than the average, that pair contributes positively to \( \langle \delta p_{1} \delta p_{2} \rangle \). When one particle of a pair has positive \( \delta p_t \) and the other has negative \( \delta p_t \), then that pair contributes negatively to \( \langle \delta p_{1} \delta p_{2} \rangle \). In the case of purely independent particle emission, \( \langle \delta p_{1} \delta p_{2} \rangle = 0 \).

The definition (21) differs slightly from definitions found in experimental measurements. Experiments measure

\[ \langle \delta p_{1} \delta p_{2} \rangle = \frac{1}{N_{\text{event}}} \sum_{k=1}^{N_{\text{event}}} \frac{C_k}{N_k(N_k-1)} \]  

(22)

with

\[ C_k = \sum_{i=1}^{N_k} \sum_{j=1, j \neq i}^{N_k} (p_{t,i} - M_{p_t})(p_{t,i} - M_{p_t}) \]  

(23)

and

\[ M_{p_t} = \frac{1}{N_{\text{event}}} \sum_{k=1}^{N_{\text{event}}} \langle p_{t,k} \rangle \]  

(24)

where \( \langle p_{t,k} \rangle \) is the average transverse momentum in event \( k \),

\[ \langle p_{t,k} \rangle = \frac{1}{N_k} \sum_{i=1}^{N_k} p_{t,i} \]  

(25)

There are two differences. First, the average transverse momentum (24) is calculated event-by-event such that the average transverse momentum per particle of each event is found first then averaged over all events in the same centrality class. In (21) we define the average transverse momentum per particle as

\[ \langle p_t \rangle = \langle P_T \rangle / \langle N \rangle . \]  

(26)

where \( \langle P_T \rangle \) is (20), which is more representative of our theoretical description of the momentum density (4). The second difference between (21) and (22) is in the normalization. The denominator of (21) is calculated independently, where the ratio \( C_k/N_k(N_k-1) \) is calculated event-by-event in (22). We make this choice in (21) to maintain as much consistency as possible between (9), (10), (11), and (12). In Fig. 1 we plot both (21) and (22) calculated with the same PYTHIA events. Excellent agreement is observed.

Experiments report positive values of \( \langle \delta p_{1} \delta p_{2} \rangle \) in \( pp \) and \( AA \) collisions at various energies. \( \langle \delta p_{1} \delta p_{2} \rangle \) decreases with centrality, but not quite following \( 1/\langle N \rangle \) [20, 26, 30]. If \( \langle \delta p_{1} \delta p_{2} \rangle \) falls with \( 1/\langle N \rangle \), then the quantity \( (dN/d\eta)(\delta p_{1}\delta p_{2}) \) should be approximately flat. However, experimental measurements of \( (dN/d\eta)(\delta p_{1}\delta p_{2}) \) rise from peripheral to mid-peripheral collisions and plateau toward more central collisions. This rise could signal the onset of critical fluctuations [20, 26] or the effects of incomplete thermalization [34].

It is common for experimental measurements to report \( \langle \delta p_{1} \delta p_{2} \rangle \) as a relative dynamical correlation

\[ \sqrt{\langle \delta p_{1} \delta p_{2} \rangle} / \langle p_t \rangle , \]  

(27)

which is dimensionless. It also rescales the growth of (21) to be dependent on the cumulative effect of correlations rather than the size of \( \langle p_t \rangle \). This scaling nearly removes the collision energy dependence of the measurements [20, 26, 30]. Using PYTHIA/Angantyr simulated events, we calculate (27) using (21) and (26), and compare to experimental data in Figs. 1, 2, and 3. Details are discussed in Sec. III.

As with \( R \) and \( C \), experiments measure \( \langle \delta p_{1} \delta p_{2} \rangle \) differentially in relative rapidity and relative azimuthal angle \( (\Delta \eta, \Delta \phi) \). The ALICE collaboration measures the quantity \( P_2(\Delta \eta, \Delta \phi) = \langle \delta p_{1} \delta p_{2} \rangle(\Delta \eta, \Delta \phi)/\langle p_t \rangle^2 \) which
shows the characteristic ridge-like shape for charge independent correlations [29]. The near-side ridge at $\Delta \phi = 0$ is not completely explainable with Fourier decomposition, and excess correlations appear to be long-range in $\Delta \eta$. Short range effects like resonance decays and jets can produce positive correlations in $\langle \delta p_T (1) \delta p_{T2} \rangle$, but they cannot fully explain the excess long range correlations seen in $P_2(\Delta \eta, \Delta \phi)$.

Several explanations for these correlations have been proposed. They include the quark coalescence models [63], string percolation models where clustered strings produce colored sources [64], fluctuations in event size and entropy [65], and a boosted source model where correlations originating in initial state hot-spots are enhanced by radial flow [31]. We propose that any explanation of these correlations, should simultaneously address other two-particle correlations that originate from (8) such as (9), (10), and (12).

**D. Covariance of Multiplicity and Transverse Momentum**

A new observable $D$, defined by (12), tests the correlation of transverse momentum with particle production event-by-event. In Sec. III we show that in PYTHIA/Angantyr simulations $D$ is generally positive and comparable in magnitude to $\langle \delta p_T (1) \delta p_{T2} \rangle$.

In (12), $\delta p_t$ is defined by (13), and $\langle p_t \rangle$ is the average transverse momentum per particle for a given centrality class of events (26). Experimentally, (12) can be measured with the final state particle pair sum

$$D = \frac{\left\langle \sum_{i=1}^{N_k} \sum_{j=1,j\neq i}^{N_k} \delta p_{t,i} \right\rangle}{\langle N \rangle^2} = \frac{\left\langle \sum_{i=1}^{N_k} \delta p_{t,i} \right\rangle}{\langle N \rangle^2}.$$  

(28)

To understand this observable, we expand $\delta p_{t,i}$ in the middle term of (28) with (13) and substitute

$$\left\langle \sum_{i=1}^{N_k} \sum_{j=1,j\neq i}^{N_k} p_{t,i} \right\rangle = \langle P_T N \rangle - \langle P_T \rangle$$  

(29)

and

$$\left\langle \sum_{i=1}^{N_k} \sum_{j=1,j\neq i}^{N_k} \langle p_t \rangle \right\rangle = \langle p_t \rangle \langle N(N-1) \rangle.$$  

(30)

Adding and subtracting $\langle P_T \rangle \langle N \rangle$ and making use of the fact that (26) can be written as $\langle P_T \rangle = \langle p_t \rangle \langle N \rangle$, we find Eq. (1), where $\text{Cov}(P_T, N) = \langle P_T N \rangle - \langle P_T \rangle \langle N \rangle$ is the covariance of total transverse momentum $P_T$ and multiplicity $N$ per event. The event multiplicity variance is $\text{Var}(N) = \langle N^2 \rangle - \langle N \rangle^2$.

Since every particle carries some transverse momentum, adding any particle to an event will increase the total transverse momentum in that event. Therefore, a natural correlation between total $p_t$ and multiplicity exists that is dominated purely by multiplicity fluctuations.
Notice this contribution is subtracted by the rightmost term of (1). This indicates that D should be zero if multiplicity fluctuations are the only source of multiplicity-momentum correlations.

In the Grand Canonical Ensemble, we can follow Ref. [45] to show that D should vanish in equilibrium. In equilibrium, the Grand Partition Function with chemical potential \( \mu \), volume \( V \), and temperature \( T \), is \( Z(\mu, V, T) = \sum_i \exp(\alpha N_i - \beta E_i) \). Here the Gibbs factor - with number of particles \( N_i \) and energy \( E_i \) of state \( i \) - is summed over all states. We define \( \alpha = \mu/T \) and \( \beta = 1/T \) and take the Boltzmann constant to be in natural units \( k_B = 1 \). The average number of particles and average energy are found in the usual way

\[
\langle N \rangle = \sum_i N_i \frac{e^{\alpha N_i - \beta E_i}}{Z} = \frac{1}{Z} \frac{\partial Z}{\partial \alpha}, \tag{31}
\]

\[
\langle E \rangle = \sum_i E_i \frac{e^{\alpha N_i - \beta E_i}}{Z} = -\frac{1}{Z} \frac{\partial Z}{\partial \beta}. \tag{32}
\]

Second derivatives in \( \alpha \) yield

\[
\frac{\partial \langle N \rangle}{\partial \alpha} = \sum_i N_i \left( \frac{Ne^{\alpha N_i - \beta E_i}}{Z} - \frac{e^{\alpha N_i - \beta E_i}}{Z^2} \frac{\partial Z}{\partial \alpha} \right) = \langle N^2 \rangle - \langle N \rangle^2, \tag{33}
\]

\[
\frac{\partial \langle E \rangle}{\partial \alpha} = \sum_i E_i \left( \frac{Ne^{\alpha N_i - \beta E_i}}{Z} - \frac{e^{\alpha N_i - \beta E_i}}{Z^2} \frac{\partial Z}{\partial \alpha} \right) = \langle NE \rangle - \langle N \rangle \langle E \rangle = \frac{\partial \langle E \rangle}{\partial \langle N \rangle} \frac{\partial \langle N \rangle}{\partial \alpha}. \tag{34}
\]

Defining \( D_E = \langle Cov(E, N) - \varepsilon \text{Var}(N) \rangle / \langle N \rangle^2 \) where \( \varepsilon = \langle E \rangle / \langle N \rangle \), we find that \( D_E \) vanishes when the energy per particle satisfies \( \varepsilon = \partial \langle E \rangle / \partial \langle N \rangle \).

To relate energy and transverse momentum fluctuations, we take the transverse mass to be \( m_t = \sqrt{m^2 + p_t^2} \approx p_t \) for particles with large momentum, \( p_t \gg m \). Near mid-rapidity \( y \approx 0 \), the energy \( E_t = m_t, \cosh y \approx m_t, \approx p_{t,i} \) averaged over states is then approximately the average total transverse momentum \( \langle E \rangle \approx \langle p_T \rangle \). Following that, we substitute \( \partial \langle E \rangle / \partial \langle N \rangle = \partial \langle p_T \rangle / \partial \langle N \rangle \) in the last term of (34). In the case where \( \langle p_t \rangle \) is constant over a wide range of multiplicities, the definition \( \langle p_T \rangle = \langle p_t \rangle \langle N \rangle \), Eq. (26), Eq. (26), yields \( \partial \langle p_T \rangle / \partial \langle N \rangle \approx \langle p_t \rangle \). Using this result in (34) with (33), we find

\[
\langle N \langle p_T \rangle \rangle - \langle N \rangle \langle p_T \rangle \rangle = \langle p_t \rangle \langle (N^2) \rangle - \langle N \rangle^2. \tag{35}
\]

Finally, substituting (35) in (1), we find that \( D = 0 \).

Several factors may generate a non-zero D. Hadronization may violate the assumption that \( p_t \gg m \) for all particles. For example, in \( \sqrt{s} = 200 \text{ GeV} \) collision systems, the average transverse momentum is approximately \( \langle p_t \rangle \approx 0.5 \text{ GeV} \), which is arguably large compared to the pion mass, but not the kaon or proton masses. Heavier particles may skew the momentum-multiplicity covariance \( \text{Cov}(P_T, N) \). Correlations could be affected by canonical effects in the same way proton cumulants are influenced by baryon conservation (an interesting topic we leave for future work). Particle rapidities greater than \(|y| > 0.5\) have increasingly larger deviations from our \( y = 0 \) assumption. If higher momentum particles, say with \( p_t > 2 \text{ GeV} \), come at the cost of producing fewer particles near the average momentum, then the covariance \( \text{Cov}(P_T, N) \) would become negative. If high momentum particles come in conjunction with excess particles near the average, then the covariance \( \text{Cov}(P_T, N) \) will be positive. Lastly, the assumption \( \partial \langle p_T \rangle / \partial \langle N \rangle \approx \langle p_t \rangle \) does not hold if transverse momentum per particle increases with increasing event multiplicity.

Examining \( \langle p_t \rangle \) vs. multiplicity, Figs. 4 and 5, we notice that the average transverse momentum per particle increases with event multiplicity. This is seen by experiment across collision systems and energies. See, for ex-
ample, Ref. [67]. This is a positive transverse momentum and multiplicity covariance, if only a slight one. Possible sources of this covariance include jet particle production or an increased radial flow velocity in central collisions in comparison to peripheral collisions. This increase in \( \langle p_t \rangle \) has been seen in PYTHIA and is considered a consequence of the multiple interaction model [68] and color reconnection [69]. In all of these cases, non-zero \( D \) indicates a correlation related to particle production and dynamics that is distinct from \( R \), \( C \), and \( \langle \delta p_{t1} \delta p_{t2} \rangle \). We will show in Sec. II E, how correlations \( D \) contribute to the other observables \( C \) and \( \langle \delta p_{t1} \delta p_{t2} \rangle \).

E. Complimentary Fluctuation and Correlation Observables

The observables \( R \), (14), \( C \), (19), \( \langle \delta p_{t1} \delta p_{t2} \rangle \), (21), and \( D \), (28), are mathematically related based on their common origin \( (8) \) and the definition of a transverse momentum fluctuation \( \delta p_{t1} \), (13). We find the relation (2).

Starting from the definition (21) we expand the argument \( \delta p_{t,i} \delta p_{t,j} \), to find

\[
\langle \delta p_{t1} \delta p_{t2} \rangle = \frac{\sum_{i=1}^{N_t} \sum_{j \neq i} (p_{t,i} p_{t,j} - p_{t,i} \langle p_{t} \rangle - p_{t,j} \langle p_{t} \rangle + \langle p_{t} \rangle^2)}{(N_t(N_t - 1))}.
\]  

Applying the sums over pairs, we find

\[
\langle N_t(N_t - 1) \rangle \langle \delta p_{t1} \delta p_{t2} \rangle = \langle N \rangle^2 C + \langle p_T \rangle^2 - 2 \langle P_T N \rangle - \langle P_T \rangle \langle p_t \rangle + \langle p_t \rangle^2 \langle N(N_t - 1) \rangle.
\]  

Using (19), the first term of (36), becomes (37a). The middle two terms in (36) both become \( \langle (P_T N - P_T) \langle p_t \rangle \rangle \) after using (29), resulting in (37b). The last term of (36) yields (37c), similarly to (30). After adding and subtracting \( 2 \langle p_t \rangle \langle P_T \rangle \langle N \rangle + 2 \langle p_t \rangle^2 \langle N^2 \rangle \) to (37), we make use of definitions (1) and (14) to construct

\[
\langle \delta p_{t1} \delta p_{t2} \rangle = \frac{C - 2 \langle p_t \rangle D - \langle p_t \rangle^2 \langle N \rangle^2}{(1 + R)}.
\]  

where \( (1 + R) = \langle N(N_t - 1) \rangle/\langle N \rangle^2 \).

The denominator of (38) is a result of the different normalization of (21) compared to (14), (19), and (28). To facilitate direct comparison to measured data, we choose not to alter the normalization of \( \langle \delta p_{t1} \delta p_{t2} \rangle \). However, (38) requires the definition of \( \langle \delta p_{t1} \delta p_{t2} \rangle \) to be (21) rather than (22). We show that this change has small effect on measurement in Fig. 1.

Equation (38) is equivalent to (2) and is a primary result of this paper. Using (38) we now see, explicitly, the intent of the construction of \( \langle \delta p_{t1} \delta p_{t2} \rangle \). First, that it can be interpreted as transverse momentum correlations with multiplicity fluctuations removed \( \langle C - \langle p_t \rangle^2 \rangle \), and second that it also removes multiplicity-momentum correlations. Interpreting \( \langle \delta p_{t1} \delta p_{t2} \rangle \) in terms of the other observables allows for diagnosis of different physics contributions. For example, simulated results for \( R \) and \( C \) are up to an order of magnitude bigger that \( D \).

In Sec. III, we calculate values of \( D \) in simulated PYTHIA events. We find that it is on the same order of magnitude as \( \langle \delta p_{t1} \delta p_{t2} \rangle \), and possibly even larger. Therefore, \( D \) should not be assumed negligible when measuring \( \langle \delta p_{t1} \delta p_{t2} \rangle \) or \( C \).

The \( 1/\langle N \rangle \) (or deviation from \( 1/\langle N \rangle \)) behavior of \( \langle \delta p_{t1} \delta p_{t2} \rangle \) can also be studied with (38). Notably, \( R \) exhibits the most obvious expression of the \( 1/\langle N \rangle \) trend – see discussion in Sec. II A – and, by construction, \( C \) and \( R \) are expected to have similar behavior. This is more obvious in an independent source model, which we discuss in Appendix A. We test this behavior with simulated events in Sec. III.

The influence of \( \langle p_t \rangle \) also appears in (2) and (38). Since \( \langle p_t \rangle \) is seen to rise with multiplicity, it is a potential source of deviation from \( 1/\langle N \rangle \) scaling for \( \langle \delta p_{t1} \delta p_{t2} \rangle \) that is not due to critical phenomena. Since \( \langle p_t \rangle \) also increases with increasing collision energy, experiments tested the scaling (27) for \( \langle \delta p_{t1} \delta p_{t2} \rangle \) that shows approximate agreement over a wide range of systems and energies \([20, 26, 30]\). The quality of the agreement relies somewhat on the choice of centrality measure. In (38), we can see how constituent correlation observables contribute to this scaling and how centrality determination affects this agreement. To avoid interpreting the square root in (27), we instead consider \( \langle \delta p_{t1} \delta p_{t2} \rangle / \langle p_t \rangle^2 \) and write (2) as

\[
\frac{1 + R}{\langle p_t \rangle^2} \langle \delta p_{t1} \delta p_{t2} \rangle = \frac{C}{\langle p_t \rangle^2} - 2D - R. \tag{39}
\]

Using (39) we can see that scaling with collision energy requires consistent handling of multiplicity fluctuation \( R \). Fortunately, if \( R \) and \( C \) are measured with the same methods, centrality biases in \( C \) are subtracted by \( R \). This is what makes \( \langle \delta p_{t1} \delta p_{t2} \rangle \) robust against different centrality definitions.

Alternatively, we can study two-particle transverse momentum correlations by rewriting (2), or (38), as

\[
C = (1 + R) \langle \delta p_{t1} \delta p_{t2} \rangle + 2 \langle p_t \rangle D + \langle p_t \rangle^2 \langle N \rangle^2. \tag{40}
\]

Equation (40) distinguishes the different physical influences on momentum correlations. The rightmost term represents the contribution just from multiplicity fluctuations (including volume fluctuations). This is the largest contribution to \( C \). In this context the quantitative difference between \( R \) and its momentum weighted counterpart \( C \) can be measured. \( C \) is affected by forces like viscosity that impact temperature fluctuations which are represented by the presence of \( \langle \delta p_{t1} \delta p_{t2} \rangle \). Similarly, the presence of \( D \) signals how \( C \) is influenced by the mechanism that correlates total transverse momentum with multiplicity event-by-event.
The ALICE collaboration measures the differential quantity \( G_2(\Delta \eta, \Delta \phi) = C(\Delta \eta, \Delta \phi)/\langle p_t \rangle^2 \) [37–40]. Using (39) we find the integrated version

\[
G_2 = \frac{C}{\langle p_t \rangle^2} = \frac{1 + R}{\langle p_t \rangle^2} + \frac{2D}{\langle p_t \rangle} + R,
\]

but each of the terms on the right hand side can also be measured differentially. For example, the quantities \( P_2 = \langle \delta p_{t1} \delta p_{t2} \rangle/(\langle p_t \rangle^2) \) and \( R(\Delta \eta, \Delta \phi) \) are measured in Ref. [29]. With the measurement of \( D(\Delta \eta, \Delta \phi)/\langle p_t \rangle \), \( G_2(\Delta \eta, \Delta \phi) \) can be checked experimentally, using (41).

To summarize, multiplicity fluctuations, \( R \), set an underlying scale of correlations, (8), that is determined by particle production mechanisms, volume fluctuations, and possibly phase change fluctuations. Momentum correlations, \( C \), indicate both how initial state correlations survive to final state particle \( p_t \), and how transverse momentum can be transferred throughout the collision volume by forces like shear viscosity. \( D \) represents correlations of event-by-event total transverse momentum and multiplicity. Equation (1) demonstrates that these correlations are in excess of those from random multiplicity fluctuations, so \( D \) is therefore tied to particle production. Furthermore, lack of correlations, \( D \), can signal equilibration while enhancement of \( D \) could exist around the QGP critical point.

### III. RESULTS FROM SIMULATION

In this section, our primary goal is to make the first estimates of \( D \) and test relationship (2) with simulated collision events. We do not attempt to perform a comprehensive study using different simulation routines to compare different collision dynamics mechanisms; we leave this for future work. For simplicity, we chose PYTHIA 8.2 [46] since its description of \( pp \) collisions is well established and it includes the Angantyr model for nuclear collisions [47] which provides a baseline estimation based on wounded nucleons.

We look for non-zero values of the new observable \( D \), defined by equations (1) or (12). This may indicate a deviation from thermal equilibrium, see Sec. II.D. Moreover, we also test the \( 1/(N) \) dependence of \( R \), \( C \), \( \langle \delta p_{t1} \delta p_{t2} \rangle \), and \( D \) when using multiplicity as a centrality measure. Deviation from this trend is an indication of non-Poissonian particle production which, in turn, indicates that either particle sources or the particles themselves are not produced independently from event to event, resulting in net correlations. Correlations that develop during the system evolution can also contribute to this behavior.

When measuring correlations based on moments of a multiplicity distribution, centrality biases can be significant especially when the same particles used to calculate the correlations are also used to determine centrality [70]. To eliminate centrality biases due to volume fluctuations, we follow the centrality method of Ref. [30] when calculating observable dependencies on multiplicity. This method allows for one-particle-wide multiplicity bins without encountering the effects described at the end of Sec. II.A.

In this method, observables are calculated using all charged particles in the mid-rapidity region \( |\eta| < 0.5 \) while centrality is determined using all charged particles in the remaining region of experimental rapidity acceptance. We label these accepted centrality determining particles \( N_{\text{acc}} \). For comparison to STAR, charged particles in the region \( 0.5 < |\eta| < 1.0 \) are used for \( N_{\text{acc}} \). For comparison to ALICE, charged particles in the region \( 0.5 < |\eta| < 0.8 \) are used for \( N_{\text{acc}} \). In Figs. 6 and 7 we plot the average mid-rapidity multiplicity vs \( N_{\text{acc}} \) in PYTHIA events. The acceptance difference between STAR and ALICE accounts for the different slopes in the mid-rapidity multiplicities. This centrality measure also has the consequence of transforming the two-particle correlation observables into three-particle correlations since two particles are used to calculate the correlation and different particles are used to determine \( N_{\text{acc}} \).
dorapidity distribution of charged particles is approximately flat in the rapidity acceptance, then the correlation between the number of particles in the centrality determining and mid-rapidity regions is effectively 1, and multiplicity trends can be taken at face value.

A nonlinear correlation between $N_{\text{acc}}$ and the mid-rapidity multiplicity could induce some modulation in correlation measurements away from the expected $1/N$ trend. However, the average mid-rapidity multiplicity $\langle N \rangle$ tracks very linearly with $N_{\text{acc}}$ for PYTHIA events in Figs. 6 and 7 for $pp$ and $AA$ collisions respectively.

Similarly to Ref. [30], we employ the so-called “sub-group” method for estimating the uncertainty of correlation observables. In our analysis, the full set of events for a given centrality class is divided up into 30 subgroups and all observables are calculated for each subgroup. Each observable is then averaged over all subgroups and the standard deviation is used to estimate the uncertainty. For $AA$ collisions, when multiplicity is used for centrality and after taking the sub-group average, we average observable values over several multiplicity bins and set the set the error bands to represent the standard deviation of those values.

In Figs. 4 and 5 we report the average transverse momentum per particle for all charged particles from PYTHIA events in select $pp$ and $AA$ systems and energies. For all PYTHIA simulation results we show in this work, we have used both the centrality and sub-group methods described above. Notice the increase in average $p_t$ per particle as multiplicity increases in both figures. We argue in Sec. IID that this is important for understanding multiplicity-momentum correlations $D$. The smaller increase in $AA$ collisions compared to $pp$ collisions is likely a factor in the different magnitudes of $D$ estimates from different collision systems. We also show STAR data for negative pions, from Ref. [66], to illustrate that experimental measurements also find a comparable increase in $\langle p_t \rangle$ with multiplicity. The overall difference in magnitude of the STAR data compared to our PYTHIA calculation is due to the fact that the PYTHIA calculation includes all charged particles.

We now turn to estimating observables $R$, $C$, $\langle \delta p_{t1}\delta p_{t2} \rangle$, and $D$, and their mathematical relationship (2) with PYTHIA/Angantyr simulations of $pp$ and $AA$ collision systems at select energies. For the four observables we analyze events following (14), (19), (21), and (28) respectively. We use charged particles in the transverse momentum range 0.15 $< p_t < 2$ GeV for both Au-Au collisions at $\sqrt{s} = 200$ GeV and for Pb-Pb collisions at $\sqrt{s} = 2.76$ TeV. To identify deviation from $1/N$ behavior we plot the product of each observable with the multiplicity $\langle N \rangle$. If there is no deviation from $1/N$, then results will be constant with multiplicity, though with different magnitudes.

Results for $\langle N \rangle R$ from PYTHIA simulation of $pp$ collisions at 200 GeV and 2.76 TeV are shown in Fig. 8(a). At lower multiplicities, the deviation from $1/N$ behavior and the transition to negative values are both the result of a small variance of total multiplicity produced in these events. Consider that events with very few particles in the centrality defining rapidity region also have correspondingly few particles in the mid-rapidity region. In this case the variance of mid-rapidity particles is nearly zero. Following the argument surrounding Eq. (18), negative values of $R$ can be expected. At larger multiplicities $\langle N \rangle R$ becomes more flat and the error band increases with the scarcity of events.

It is interesting to note that $\langle N \rangle R$ in $pp$ collisions at $\sqrt{s} = 2.76$ TeV indicates a slightly faster than $1/\langle N \rangle$ decrease with increasing multiplicity when compared to $\sqrt{s} = 200$ GeV collisions. It will be interesting to discover if this change persists to higher or lower collision energies in both simulation and experiment. Moreover, it is also significant to point out that $\langle N \rangle R$ is non-zero. This indicates that particle production – averaged over events – is not Poissonian and therefore not independent. This reinforces the fact that $R$ measures a fundamental particle production mechanism. Deviation of experimental measurements from PYTHIA estimates could signal the contribution form different particle sources. A comparison covering different collision systems and energies may be a useful tool to characterize the onset of QGP or jet influences on particle production.

Results for $\langle N \rangle R$ from PYTHIA/Angantyr simulation of Au-Au and Pb-Pb collisions at $\sqrt{s} = 200$ GeV and $\sqrt{s} = 2.76$ TeV are shown in Fig. 9(a), plotted versus multiplicity, $N_{\text{acc}}$. When centrality is determined by multiplicity, $\langle N \rangle R$ is seemingly constant until the most central points. The deviation in high multiplicity events is likely due to low statistics. The drop of the lowest multiplicity point is the result of averaging the first few lowest multiplicity bins where values may be small or negative for the same reasons small or negative values appeared in low multiplicity $pp$ collisions. In general, the approximately constant value of $\langle N \rangle R$ with multiplicity is consistent with a superposition of $pp$ sub-collision model.

In Appendix A we calculate $R$ and the other correlation observables using an Independent Source Model.
FIG. 8. Calculation of observables (9), (10), (11), and (12), scaled by mid-rapidity multiplicity ⟨N⟩ using PYTHIA pp collisions.

FIG. 9. Calculation of observables (9), (10), (11), and (12), scaled by mid-rapidity multiplicity ⟨N⟩ using PYTHIA/Angantyr AA collisions.
In this model each event is comprised of $K$ independent random sources where $K$ fluctuates from event to event. Each source also yields a random number of particles that fluctuates form source to source. We then choose wounded nucleon sources as a test case and estimate the average correlation of a single source using PYTHIA $pp$ collisions. Values for $pp$ quantities are listed in Table I.

In this work we attribute all volume fluctuations to source number fluctuations. To see how multiplicity fluctuations are influenced by volume (source) fluctuations, imagine that participant sources are independent, then the variance of the number of participants in the numerator of the rightmost term of (A13) follows Poisson statistics. In that case, $Var(N_{part}) = \langle N_{part} \rangle$, then Eq. (A13) becomes $\mathcal{R} = (2\mathcal{R}_{pp} + 1)/\langle N_{part} \rangle$. Note that the contribution from source correlations is represented by $2\mathcal{R}_{pp}$. If $2\mathcal{R}_{pp} = 1$, then half of multiplicity fluctuations come from source correlations and half come from volume fluctuations. If $2\mathcal{R}_{pp} < 1$, then volume fluctuations contribute more to $\mathcal{R}$ than source correlations. If $2\mathcal{R}_{pp} > 1$, then volume fluctuations contribute less to $\mathcal{R}$ than source correlations. We estimate $\mathcal{R}_{pp}$ using PYTHIA simulations and list values in Table I for $\sqrt{s} = 200 \text{ GeV}$ and $\sqrt{s} = 2.76 \text{ TeV}$ collision energies. At $\sqrt{s} = 200 \text{ GeV}$, a bit less than two thirds of $\mathcal{R}$ comes from volume fluctuations. At $\sqrt{s} = 2.76 \text{ TeV}$, about half of $\mathcal{R}$ comes from volume fluctuations.

To compare to the ISM, in Fig. 10(a) we plot PYTHIA/Angantyr results for $\langle N \rangle \mathcal{R}$ with respect to the number of participating nucleons ($N_{part}$) corresponding to percent centrality event ensembles. The most central events are the top 10% highest multiplicity events, and each subsequently peripheral point uses the next 10% of the remaining highest multiplicity events. The solid and dashed lines represent the ISM with wounded nucleon sources, ISM-WN, from (A13). The average and variance of participants are calculated from PYTHIA/Angantyr in corresponding percent centrality ranges.

The variance of participants is extremely sensitive to the nonlinear shape of the ISM-WN curves in Fig. 10. For purely independent sources, the distributions of participants is Poisson so that the variance of participants equals the mean in Eq. (A13). In that situation, ISM curves on Fig. 10(a) would take constant values of $\langle N \rangle \mathcal{R}_{200\text{GeV}} = 5.130$ and $\langle N \rangle \mathcal{R}_{2.76\text{TeV}} = 8.056$. Differences between ISM-WN and PYTHIA results are likely due in part to the particle production mechanism and in part to multi-particle interactions. Notice that the deviation form ISM-WN is largest in the most central collisions where greatest variety of events is present.

Note that in Fig. 10, we report PYTHIA/Angantyr results with horizontal and vertical error bars. When nucleon participants are used to estimate centrality, uncertainty arises from both fluctuations in participant number as well as fluctuations in the observable values. Since
centrality is not determined directly by multiplicity, all charged particles in the experimental acceptance can be used to calculate observables. Consequently, the fluctuations of calculated observable values is reduced, but this improvement is exchanged for significant uncertainty in the determination of the number of participants. This is represented by the horizontal error bars in Figs. 3 and 10.

Due to its similar construction, $C$ shares many of the same centrality trends as $R$. $C$ scales both with $R$ and $(p_t)^2$; the latter scaling is visible by examining the ISM Eq. (A14). $(N)C$ is reported on Figs. 8(b), 9(b), and 10(b). Centrality behaviors mostly follow those of $(N)R$ for both PYTHIA and ISM-WN results. For purely independent sources, ISM results take constant values of $(N)C_{200 GeV} = 1.342 GeV^2$ and $(N)C_{2.76 TeV} = 2.682 GeV^2$ on Fig. 10(b).

In Fig. 1 we compare $(\delta p_t^1 \delta p_t^2)$ as Eq. (27), calculated from both (21) and (22), with experimental data for $pp$ collisions. The two methods (21) and (22) are in generally good agreement. Similar results are obtained for $AA$ collisions but are omitted from Fig. 2 for clarity.

PYTHIA/Angantyr comparisons to experimental $AA$ data are shown in Fig. 2. Agreement with STAR data is good but significant difference from ALICE data are shown in Fig. 2. Agreement with STAR data indicates increased importance of multiplicity-momentum correlations to those observables.

To test the $1/(\langle N \rangle)$ dependence of $(\delta p_t^1 \delta p_t^2)$, we plot $\langle N \rangle (1 + R) (\delta p_t^1 \delta p_t^2)$ in Figs. 8(d), 9(d), and 10(d). As we discover in Sec. II E and Appendix A, the factor $(1 + R)$ is required to re-scale the normalization of (21) so that it follows the same $1/(\langle N \rangle)$ trend as the other observables.

PYTHIA results for $\langle N \rangle (1 + R) (\delta p_t^1 \delta p_t^2)$ in $pp$ collisions, shown in Fig. 8(d), are mostly flat except in peripheral collisions where fluctuations become small. In $AA$ collisions, shown in Fig. 9(d), the trend is again consistent, inside the error band, with a $1/(\langle N \rangle)$ except in the most central collisions that are statistically limited. $(\delta p_t^1 \delta p_t^2)$ has reduced effect from small fluctuations at low multiplicity in comparison to $R$ or $C$. By construction, $(\delta p_t^1 \delta p_t^2)$ removes multiplicity fluctuations (see the discussion following Eq. (38) in Sec. II E). Therefore, $(\delta p_t^1 \delta p_t^2)$ appears insensitive to choice of centrality via multiplicity or participating nucleons. Results in Fig. 10(d) for $\langle N \rangle (1 + R) (\delta p_t^1 \delta p_t^2)$ with respect to participating nucleons are also constant and in strong agreement with the wounded nucleon model, Eq. (A16).

The deviation of the wounded nucleon model in Fig. 3 in comparison to Fig. 10(d) is likely due to multiple factors. First, the integrated value of $(p_t)^2$ is used with (A16). In the independent source model, $(p_t)$ is the same for individual sources as it is for the whole event. $(p_t)^2$ does not change value in our simple wounded nucleon model, but $(p_t)$ does change in the centrality dependent measurement. Last, the factor of $(1 + R)$ in the denominator of (A16) also induces a difference from PYTHIA values. As we see in Fig. 10(a), $R$ for our wounded nucleon model is generally smaller than PYTHIA values, particularly in more central collisions.

An objective of this work is to stimulate experimental measurement of $D$. The first estimates of $(N)D$ from PYTHIA/Angantyr $pp$ and $AA$ collisions are shown in Figs. 8(c), 9(c), and 10(c). Immediate observations include that $D \neq 0$ and is positive. The positive nonzero value of $D$ is consistent with $(p_t)$ centrality trends. For example, notice in Fig. 4 that the average transverse momentum per particle increases with the number of particles. This is a multiplicity-momentum correlation. The difference of magnitudes of $(N)D$ in $pp$ and $AA$ collisions may be due to the fact that the rate of increase of $(p_t)$ with multiplicity is greater in pp collisions that in $AA$ for PYTHIA simulations.

The flatness of $(N)D$ with respect to multiplicity in Fig. 9(c) indicates agreement with the $1/(\langle N \rangle)$ dependence. Interestingly, $pp$ collisions in Fig. 8(c) show a small negative slope with increasing multiplicity, indicating a faster than $1/(\langle N \rangle)$ drop with increasing multiplicity. This slope seems to increase from $\sqrt{s} = 200 GeV$ to $\sqrt{s} = 2.76 TeV$ collision energies. We look for experimental measurements in a larger range of collision energies to examine this behavior.

Figure 10(c) shows that when centrality is determined by percent centrality, $D$ values from PYTHIA/Angantyr are in the general range of our wounded nucleon model. Deviations may simply signal a difference between our choice to use only participant nucleons as sources in our independent source model and the PYTHIA/Angantyr model. Larger deviations of the most central points may indicate increased importance of multiplicity-momentum fluctuations when interpreting momentum correlation observables like $C$, $G_2$, and $(\delta p_t^1 \delta p_t^2)$; the contributions of multiplicity-momentum correlations to those observables is discussed in Sec. II E around equations (38), (40), and (41).

$D$ may also be sensitive to the thermalization of the medium. In Sec. II D we calculate that $D$ vanishes in equilibrium in the Grand Canonical Ensemble. Therefore, non-zero measurements of $D$ indicate incomplete thermalization. Furthermore, we show in Ref. [34] that $(\delta p_t^1 \delta p_t^2)$ can be used to quantify incomplete thermalization. This suggests that $D$ and $C$ may be used in the same way to provide additional constraints on that model. We leave this to future work.

Finally, as a validation, we calculate Eq. (2) for every point in Figs. 8, 9, and 10. We find minimal numerical error with agreement to the level of $3 \times 10^{-17}$ or smaller for all points.

IV. SUMMARY

We discuss four two-particle correlation observables: multiplicity fluctuations, $R$, Eq. (9), transverse momentum correlations, $C$, Eq. (10), net correlation of transverse momentum fluctuations, $(\delta p_t^1 \delta p_t^2)$, Eq. (11), and
multiplicity-momentum correlations \( \mathcal{D} \), Eq. (12). Importantly, all of these observables are derived from this same common origin, Eq. (8) and we find an observable mathematical connection between them, Eq. (2).

We estimate these observables and their connection with PYTHIA/Angantyr simulated collisions events at \( \sqrt{s} = 200 \text{ GeV} \) and \( \sqrt{s} = 2.76 \text{ TeV} \) collision energies.

Multiplicity-momentum correlations are a new observable estimated here for the first time. We propose that this collection of observables and their mathematical relationship, measured or calculated simultaneously, can provide more information than separately examining the individual observables. Measurements of these observables over a wide range of collision systems and energies may provide invaluable information about initial state particle production mechanisms of hadronic collisions as well as observable influences of local equilibration on two-particle correlation measurements.

ACKNOWLEDGMENTS

This work is supported in part by NSF-PHY1913005 (G.M. and M.K.). G.M. would like to give special thanks to undergraduates Alec Ferenstein, Reinaldi Calisin, and Neil Fraylick for assistance in this work.

Appendix A: Independent Source Model

The independent source model assumes that nuclear collision events are comprised of a superposition of independent sources of particles and ignores any interactions between particles emitted from different sources. Each event has a fluctuating number of sources and each source has a fluctuating multiplicity and momentum distribution of particles. In this section we detail how the average total transverse momentum per source is defined as \( \bar{P}_T = \frac{1}{N_{\text{src}}} \int \rho_1(p) p_T \, dp \) and, using

\[
\sigma^2 = \bar{n}^2 - \bar{n}^2. \quad \text{Similarly, the distribution of particle pairs emitted from one source is}
\]

\[
\frac{n(n-1)}{N_{\text{src}}} = \frac{1}{N_{\text{src}}} \sum_{k=1}^{N_{\text{src}}} \sum_{i=1}^{n_k} \sum_{j=1}^{n_k} \delta_{i,j} \int \rho_1(p) d^3p = \mu^2 - \mu,
\]

where \( \rho_1(p) \) is the particle momentum distribution per source. Our independent source model assumes that a single collision event is the sum of \( K \) independent particle sources. Each source is represented by a momentum distribution \( \rho_1(p) \) normalized such that \( \int \rho_1(p) d^3p = \mu \) is the mean multiplicity per source. To understand the average particle distribution of sources, imagine a large number of sources running from \( k = 1, \ldots, N_{\text{src}} \), where each emits \( n_k \) particles. The average number of particles per source is then

\[
\bar{n} = \frac{1}{N_{\text{src}}} \sum_{k=1}^{N_{\text{src}}} \sum_{i=1}^{n_k} \int \rho_1(p) d^3p = \mu,
\]

where the overbar indicates an average over sources, and \( \rho_1(p) \) is the particle momentum distribution per source in the limit of a continuum of all possible sources. In that limit, each source multiplicity has mean \( \mu = \bar{n} \) and variance \( \sigma^2 = \bar{n}^2 - \bar{n}^2. \)

\[
\text{Using Eq. (8) with (A3) and (A4), we find}
\]

\[
\mathcal{R} = \frac{\mathcal{R}_s}{\langle K \rangle} + \frac{\langle K^2 \rangle - \langle K \rangle^2}{\langle K \rangle^2},
\]

where \( \mathcal{R}_s = \frac{\sigma^2 - \mu}{\mu^2} \) is the equivalent of (14) for sources when averaging is done over the ensemble of all possible independent sources. Event-by-event fluctuations in the number of sources are characterized by the variance of \( K \) in the rightmost term. Since the sources are taken to be independent, this variance follows Poisson statistics, so \( \langle K^2 \rangle - \langle K \rangle^2 = \langle K \rangle \), and therefore fluctuations \( \mathcal{R} \) are diminished by \( \langle K \rangle^{-1} \).

Two-particle correlations of transverse momentum, \( \mathcal{C} \), are defined by Eq. (10). Using Eq. (8) with (A3) and (A4) we find

\[
\mathcal{C} = \frac{\mathcal{C}_s}{\langle K \rangle} + \left( \frac{\langle K^2 \rangle - \langle K \rangle^2}{\langle K \rangle^2} \right) \langle p_t \rangle^2,
\]

where \( \mathcal{C}_s = \frac{\sigma^2_{\mathcal{R}_T} - \langle p_t \rangle}{\langle p_t \rangle^2} \) is the equivalent of Eq. (19) for sources. Here the average total transverse momentum per source is defined as \( \bar{P}_T = \frac{1}{N_{\text{src}}} \int \rho_1(p) p_t \, dp \) and, using
Finally, the variance of total transverse momentum per source is \( \sigma_{\bar{p}_T}^2 = \bar{P}_T^2 - \bar{P}_T^2 \), where \( \int \rho_2(p_1, p_2) p_{t1,2} d\vec{p}_1 d\vec{p}_2 = \sigma_{\bar{p}_T}^2 + \mu^2(p_t)^2 - \mu(p_t) \).

Notice that both Eqs. (A7) and (A8) have similar contribution from the fluctuation in the number of sources. Given that the sources are independent, (A8) decreases with the inverse of the number of sources in the same way as (A7). However, momentum correlations (A8) are sensitive to the transverse expansion due to the correlation function weighting by \( p_t \). We reiterate that when \( C \) is measured as defined in (10) and not differentially in relative azimuthal angle or pseudorapidity, then effects from anisotropic flow are eliminated. \( C \) then represents the magnitude of transverse momentum correlations generated in the fireball. A measured deviation from predictions of the independent source model might suggest that sources of correlations are not independent, as would be the case for a partially or fully equilibrated system.

Multiplicity-momentum correlations, \( D \), are defined by (12). Following the same procedure we use for \( R \) and \( C \), we obtain

\[
D = \frac{D_s}{\langle K \rangle} \tag{A10}
\]

where \( D_s = \langle \text{Cov}(P_T, n) - \langle p_t \rangle \sigma^2 \rangle / \mu^2 \) is the equivalent of Eq. (28) for sources rather than events.

Notice that since (28) is constructed to remove the effects of multiplicity fluctuations, (A10) does not have the same dependence on source fluctuations as \( R \) or \( C \). However, all three observables \( R \), \( C \), and \( D \) still are reduced by the inverse of the number of sources.

Lastly, correlations of transverse momentum fluctuations are defined by (11). Again, using Eq. (8) with (A3) and (A4) we find

\[
\langle \delta p_{t1} \delta p_{t2} \rangle = \frac{\langle K \rangle (C_s - 2\langle p_t \rangle D_s - \langle p_t \rangle^2 R_s)}{(K) R_s + \langle K^2 \rangle} \tag{A11}
\]

\[
= \frac{\langle \delta p_{t1} \delta p_{t2} \rangle_s}{\langle K \rangle} \frac{(1 + R_s)}{(1 + R)}. \tag{A12}
\]

Here \( (1 + R_s) \langle \delta p_{t1} \delta p_{t2} \rangle_s = C_s - 2\langle p_t \rangle D_s - \langle p_t \rangle^2 R_s \) following the same reasoning leading to Eq. (2) except that averaging is done over the ensemble of all possible independent sources rather than events. The denominator of (11) is different from the other observables in this work, but since \( \langle \delta p_{t1} \delta p_{t2} \rangle \) is well studied in literature, this form better suits direct comparison to measured data. The consequence is that the effects from fluctuating independent sources are not as obvious as the other observables.

By examining (A11) we see that \( \langle \delta p_{t1} \delta p_{t2} \rangle \) approximately decreases like \( \langle K \rangle^{-1} \) in the limit of large \( K \) where \( R \) is small.

If we take the origin of the sources to be participant nucleons, then the minimum number of sources in any collision is two. Calculations of \( R_s \), \( C_s \), \( D_s \), and \( \delta p_{t1} \delta p_{t2} \) in proton-proton collisions can serve as a possible representation of independent source correlations. In this scenario, pp collisions always have \( K = 2 \) and never have a variance in the number of sources. Therefore we must have \( \langle K^2 \rangle - \langle K \rangle^2 = 0 \) in (A7) and (A8). Taking (A7) as an example for pp collisions, we have \( R_{pp} = R_s/2 \) for \( K = 2 \) participants. Consequently for AA collisions using \( K = N_{part} \) and \( R_s = 2R_{pp} \), we find Eq. (A13). Similarly, Eqs. (A7), (A8), (A10), and (A12) become

\[
R = \frac{2R_{pp}}{\langle N_{part} \rangle} + \frac{\langle N_{part}^2 \rangle - \langle N_{part} \rangle^2}{\langle N_{part} \rangle^2} \tag{A13}
\]

\[
C = \frac{2C_{pp}}{\langle N_{part} \rangle} + \frac{\langle N_{part}^2 \rangle - \langle N_{part} \rangle^2}{\langle N_{part} \rangle^2} \langle p_t \rangle^2 \tag{A14}
\]

\[
D = \frac{2D_{pp}}{\langle N_{part} \rangle} \tag{A15}
\]

\[
\langle \delta p_{t1} \delta p_{t2} \rangle = \frac{\langle \delta p_{t1} \delta p_{t2} \rangle_{pp}}{\langle N_{part} \rangle} \frac{(1 + R_{pp})}{(1 + R)}. \tag{A16}
\]

where \( R \) in the denominator of (A16) must come from (A13).

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