Multiplicity dependence of (multi-)strange baryons in the canonical ensemble with phase shift corrections

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The increase in strangeness production with charged particle multiplicity, as seen by the ALICE collaboration at CERN in p-p, p-Pb and Pb-Pb collisions, is investigated in the hadron resonance gas model taking into account interactions among hadrons using S-matrix corrections based on known phase shift analyses. Strangeness conservation is taken into account in the framework of the canonical strangeness ensemble. A very good description is obtained for the variation of the strangeness content in the final state as a function of the number of charged hadrons in the mid-rapidity region using the same fixed temperature value as obtained in the most central Pb-Pb collisions. It is shown that the number of charged hadrons is linearly proportional to the volume of the system. For small multiplicities the canonical ensemble with local strangeness conservation restricted to mid-rapidity leads to a stronger suppression of (multi-)strange baryons than seen in the data. This is compensated by introducing a global conservation of strangeness in the whole phase-space which is parameterized by the canonical correlation volume larger than the fireball volume at the mid-rapidity. The results on comparing the hadron resonance gas model with and without S-matrix corrections, are presented in detail. It is shown that the interactions introduced by the phase shift analysis via the S-matrix formalism are essential for a better description of the yields data.

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I. INTRODUCTION

The analysis of data on hadron yields produced in heavy-ion collisions covering a broad range of energies in fixed-target and collider experiments, confirms that produced hadrons originate from a thermal fireball formed in such collisions [1–8]. The yields of produced hadrons are quantified by the statistical operator of the hadron resonance gas model (HRG) with a common freezeout temperature $T_f$ and chemical potentials $\vec{\mu}_f$ associated with the conserved charges. The volume of the fireball is fixed such as to reproduce the multiplicities of hadrons at a given collision energy $\sqrt{s}$. The thermal freezeout parameters of the produced fireball were shown to be uniquely linked to the collision energy [1, 2].

The description of particle production in nucleus-nucleus collisions in the framework of the HRG is particularly transparent at the Large Hadron Collider (LHC) energies. There, at midrapidity, particles and antiparticles are produced in pairs, thus all chemical potentials vanish. Consequently, the chemical freezeout of all hadrons is quantified by the temperature and the volume of the fireball only.

An impressive overall agreement has been obtained between the measured particle yields by the ALICE collaboration for the most central PbPb collisions and the HRG model results [1, 2]. The agreement spans nine orders of magnitude in abundance values, encompassing all measured mesons and baryons, as well as light nuclei and hypernuclei and their antiparticles. The analysis was further successfully extended to heavy flavor production by accounting for the initially produced charm quark pairs and their conservation laws [1, 10, 11].

For the most central PbPb collisions, the best description of the ALICE data on yields of particles in one unit of rapidity at mid-rapidity was obtained at $T_f = 156.6 \pm 1.7$ MeV [1, 5]. Remarkably, this value of $T_f$ coincides within errors with the pseudo-critical temperature $T_c = 156.5 \pm 1.5$ MeV obtained from first principles Lattice QCD (LQCD) calculations [12], albeit with the possibility of a broad transition region [13]. Furthermore, assuming that the net-charge probability distributions follow the Skelam [14] or generalized Skelam distribution function [15], the ALICE data on different particle yields have been directly compared with LQCD results on charge fluctuations and correlations [16]. The results of this comparison have shown that the susceptibilities are consistent within errors with the results obtained from LQCD at the chiral crossover point. This provides strong evidence for the observation that in central Pb-Pb
collisions all hadrons and their bound states are originating from the hadronized QGP. This conclusion can also be extended to lower collision energies, since freezeout conditions in central heavy-ion collisions were shown to closely follow the chiral crossover at finite baryon chemical potential as calculated in LQCD.

One of the consequences of confinement in QCD is that at lower temperatures $T \leq T_\chi$, physical observables require a representation in terms of hadronic states. On the other hand, the successful description of hadron yields in heavy-ion collisions by the HRG and the coincidence of the freezeout and chiral crossover temperatures suggests that the statistical operator of HRG is a good approximation to QCD thermodynamics in the confined phase. Indeed, a direct comparison of the equation of state (EOS) of LQCD and the HRG model has shown that they closely coincide in the hadronic phase, both at vanishing and at small finite $\mu_B$ [17,18]. These results provide strong support for the view that matter produced in central heavy-ion collisions is a QCD medium in thermal equilibrium described by the HRG statistical operator in the hadronic phase. There are, however, some limitations to the HRG description of QCD thermodynamics which are identified in the context of LQCD as well as in the description of hadron production yields data at the LHC in heavy-ion collisions [5,19,20].

Recent results of LQCD on second-order fluctuations and correlations $\chi_{PQ}$ of conserved charges $P$ and $Q$ allow to identify the HRG approximation in different sectors of hadronic quantum numbers. In particular, in this context it was shown, that at $T \simeq T_\chi$ the HRG underestimates the baryon-strange $\chi_{BS}$ and overestimates the baryon-charge $\chi_{BQ}$ correlations [20,21]. In the HRG, the main contribution to $\chi_{BQ}$ is due to protons and resonances which decay into protons, thus too large a value of $\chi_{BQ}$ indicates an excess of protons at $T \simeq T_\chi$. Indeed the HRG analysis of ALICE data in central heavy-ion collisions at collision energy $\sqrt{s} = 2.72$ TeV has shown, that the HRG predicts about 25% more protons and antiprotons than measured by the ALICE collaboration in central PbPb collisions at the LHC [1,5]. This constitutes the much-debated proton-yield anomaly in the heavy-ion collisions at the LHC.

The partition function of the HRG is evaluated in the usual formulation of the HRG model, the conservation laws are implemented in the GC ensemble where they are fulfilled on average and are controlled via chemical potentials linked to the conserved quantum numbers. In heavy-ion collisions, however, the conservation of quantum numbers is fulfilled exactly as they are fixed by the initial conditions. Focusing on strangeness production in the hadronic phase, thus producing a more accurate description of the measured particle yields in heavy-ion collisions.

A qualitative display of the S-matrix corrections (relative to the HRG baseline) is shown in Fig. [1]. The trend of the overall correction is clear: a reduction in the proton yield and an enhancement in the $\Lambda + \Sigma^0$ yields. The corrections can reach $\simeq -25\%$ ( $\simeq +23\%$) for protons ($\Lambda + \Sigma^0$-baryons) at the LHC freezeout conditions. The shaded region (in gray) shows the S-matrix scheme implemented with different levels of improvement: e.g. from including only elastic and quasi-elastic scatterings (black solid line), to the full list of channels (black points). A detailed composition analysis of the hadron yields under the S-matrix scheme will be presented in Sec. [11].

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The ratios of proton (left) and $\Lambda + \Sigma^0$ (right) yields in the S-matrix and the HRG baseline results. The yellow band signifies the range of pseudo-critical temperatures $T_c = \pm 156.5 \pm 1.5$ MeV [12]. The shaded region (in gray) shows the S-matrix scheme implemented with different levels of approximation: e.g., from including only elastic and quasi-elastic scatterings (black solid line), to the full list of channels (black points). See Table I and the discussion in Sec. III. These results can be interpreted as the correction factors for a statistical model provided that the same HRG baseline is employed. (Here it is THERMUS in its default setting [32].) In all figures we follow the convention to use $\Lambda$ to signify $\Lambda + \Sigma_0$. The main objective of this paper is to apply the S-matrix extended HRG model to analyze data obtained by the ALICE collaboration on charged-particle multiplicity in p-p collisions at 7 TeV [47], as well as at 13 TeV [56], in p-Pb collisions at 5.02 TeV [8, 48] and in Pb-Pb collisions at 2.76 TeV [49, 51], all in the central region of rapidity.

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formulate the HRG model in the canonical ensemble of strangeness conservation and account for differences between the fireball volume at midrapidity $V_A$ and the correlation volume $V_C$ required for exact global strangeness conservation \[38, 60–62\]. We include the S-matrix corrections to the hyperon yields, employing an existing coupled-channel study involving $\pi A$, and $\pi \Sigma$ interactions in the $S = -1$ sector. We include the $S$-matrix corrections to proton production by using the empirical phase shifts of $\pi N$ scattering and the contribution of hyperons to proton yield.

We will show that the yields of (multi-)strange baryons versus $dN_{ch}/d\eta$ measured by the ALICE collaboration follow the expectations of thermal production with the canonical suppression due to exact strangeness conservation at fixed temperature $T \simeq 156.5$ MeV, that is consistent with the chiral crossover in LQCD. The yields of (multi-)strange hadrons are quantified for different $dN_{ch}/d\eta$ within one standard deviation. Furthermore, increasing suppression with increasing strangeness content of baryons as a function of $dN_{ch}/d\eta$ follows patterns obtained recently by the ALICE collaboration. We will discuss the S-matrix extended HRG model results in the C-ensemble on yields of (multi-)strange baryons, protons and kaons normalized to pion multiplicity for different $dN_{ch}/d\eta$, as well as, normalized to $A$ yield to indicate the importance of $S$-matrix corrections. Our results show, that the thermal origin of particle yields observed in the most central heavy-ion collisions at the LHC \[11\] can be extended to events with decreasing $dN_{ch}/d\eta$. Furthermore, the observed scaling of hadron yields with $dN_{ch}/d\eta$ for different colliding systems is a natural consequence of the HRG model with exact conservation of strangeness. These results provide further evidence for the thermal origin of particle production at the LHC in p-p, p-A and A-A collisions at a common $T_f \simeq T_c$.

The paper is organized as follows: In Section II, we introduce the HRG model in the canonical ensemble. In Section III, we discuss the $S$-matrix corrections to proton and $A$ yields. In Section IV, we introduce the model comparison with LHC data. In section V, we present our summary and conclusions.

II. STRANGENESS PRODUCTION WITH CANONICAL SUPPRESSION

In the framework of the HRG model considered here and in the Boltzmann approximation, the above leads to

$$\ln Z_{GC}(T, \vec{\mu}, V) = \sum_i g_i V \int \frac{d^3p}{(2\pi)^3} \exp\left(-\frac{E_i - \vec{q}_i \vec{\mu}}{T}\right),$$

where $g_i$ is the spin degeneracy factor of particle $i$ and $E_i$ its energy, $V$ is the fireball volume, $\vec{\mu}$ are chemical potentials associated with conserved charges $\vec{q}_i$ carried by particle $i$. The sum is taken over all stable hadrons and resonances as well as their antiparticles. The thermal yield of particle $i$ in the fireball is given by:

$$\langle N_i \rangle_T = V g_i \int \frac{d^3p}{(2\pi)^3} \exp\left(-\frac{\sqrt{p^2 + m_i^2}}{T}\right),$$

where all chemical potentials were set to zero, as relevant for the beam energies at the LHC considered here. We will introduce the density of particle $i$, as $n_i = \langle N_i \rangle_T / V$.

To get the total multiplicity of a hadron $i$ one has to add resonances decaying to particle species $i$

$$\langle N_i \rangle_T = \langle N_i \rangle_T + \sum_j Br(j \to i) \langle N_j \rangle_T,$$

where $Br(j \to i)$ is the decay branching ratio of resonance $j$ to particle $i$. To include the width $\gamma_j$ of a resonance $j$ with mass $m_j$ in Eq. [4], its thermal yield is calculated from,

$$\langle N_j \rangle_T = V g_j \int \frac{d^3p}{(2\pi)^3} \int_{m_{th}}^{\infty} \frac{dM}{2\pi} e^{-\sqrt{p^2 + M^2}/T} \Gamma_j(M),$$

where $\Gamma_j(M)$ is the relativistic BreitWigner distribution function

$$\Gamma_j(M) = \frac{4M^2\gamma_j}{(M^2 - m_j^2)^2 + M^2\gamma_j^2},$$

and $m_{th}$ is the value of the invariant mass at threshold for a given decay channel of the resonance.

The results, summarized in Eqs. [3,5], extended to quantum statistics, constitute the HRG model in the GC ensemble with all chemical potentials $\vec{\mu} = 0$, i.e. the system is charge neutral. Such a model has been applied to quantify thermalization and particle production in most central heavy-ion collisions at the LHC. However, it is already well established, that the GC model can overestimate the yield of particles. This is particularly the case if data are taken in low multiplicity events where the fireball volume is small or at low collision energies where the temperature is low. In such cases, a thermal description requires exact implementation of charge conservation which is usually described in the C-ensemble \[39\].

In the following, we focus on exact strangeness conservation and assume that all other quantum numbers are conserved on average in the GC ensemble with $\vec{\mu} = 0$. As mentioned earlier, this is a good approximation for
the thermal description of the collision fireball produced at LHC energies. In this case, the canonical ensemble with exact implementation of strangeness conservation and total strangeness \( S = 0 \) is achieved by introducing a delta function under the trace in Eq. (7)

\[
Z_{S=0}^C = \text{Tr} \left[ e^{-H/T} \delta_{S,0} \right].
\]

For a non-interacting Hamiltonian and after performing the Fourier decomposition of the delta function, the canonical partition function can be written in the following integral representation

\[
Z_{S=0}^C = \frac{1}{2\pi} \int_{-\pi}^{\pi} d\phi \exp \left( \sum_{s=3}^{\infty} S_k e^{i\phi} \right),
\]

where \( S_k = \sum_{s} z_{k,s} \) and the sum is taken over all particles and resonances that carry strangeness \( s \). The one-particle partition function is defined, as \( z_{k,s} = V_{C} n_k^s(T) \) with the particle density \( n_k^s(T) \) as in Eq. (2). In view of \( \bar{\mu} = 0 \) the thermal phase space of particles is the same as for antiparticles, i.e. \( S_\bar{s} = S_{-s} \). The volume \( V_C \) is the volume where exact strangeness conservation \( S = 0 \) is fulfilled.

The strangeness canonical partition function in Eq. (8) can also be expressed as a series of Bessel functions \( 38, 39, 63 \),

\[
Z_{S=0}^C = \sum_{n,p=-\infty}^{\infty} I_n(S_2) I_p(S_3) I_{-2n-3p-\lambda}(S_1).
\]

The yields of strange particles are usually measured in a given acceptance region, often corresponding to a restricted region in rapidity space. Consequently, the single-particle partition functions \( z_{k,s} \) should be split into two parts, \( z_{k,s} \rightarrow z_{k,s}^A + z_{k,s}^R \), where \( z_{k,s}^A \) stands for a particle in the acceptance and \( z_{k,s}^R \) for those outside the acceptance region \( 33 \). Furthermore, we parameterize \( z_{k,s}^A = V_A n_k^s(T) \), where \( V_A \) is the volume in the acceptance window.

For the calculation of the mean multiplicity of particle \( k \) carrying strangeness \( s \) in the acceptance, one introduces the auxiliary parameter \( \lambda_k^A \) in Eq. (9) by replacing \( z_{k,s}^A \rightarrow \lambda_k^A \).

The resulting mean multiplicities in the canonical ensemble in a given experimental acceptance are obtained from

\[
\langle N_k^A \rangle = \lambda_k^A \frac{\partial \ln Z_{S=0}^C}{\partial \lambda_k^A} \bigg|_{\lambda_k^A=1},
\]

in the following form:

\[
\langle N_k^A \rangle = V_A n_k^s(T) \frac{1}{Z_{S=0}^C} \times \sum_{n,p=-\infty}^{\infty} I_n(S_2) I_p(S_3) I_{-2n-3p-\lambda}(S_1).
\]

It is thus clear, that global and exact strangeness conservation constrained to \( S = 0 \) in the full phase-space influences yields of strange particles in the experimental subspace. The yields are quantified by the temperature and two volume parameters: the volume of the system in the acceptance \( V_A \) and the correlation volume \( V_C \) of global strangeness conservation which appear in the arguments of the Bessel functions. Furthermore, to get the total multiplicity of a given strange particle in \( C \)-ensemble, the decays of resonances have to be added as in Eq. (4), albeit with thermal contribution of strange resonances calculated from Eq. (10).

The first two terms in Eq. (11) constitute the yields of strange particles in the GC ensemble as introduced in Eq. (3), whereas the last terms describe the strangeness canonical corrections. To identify their contribution and dependence on particle strange quantum number \( s \), one considers only the leading terms, which correspond to \( p = n = 0 \) in the series in Eqs. (9) and (11),

\[
\langle N_k^A \rangle = V_A n_k^s(T) \frac{I_0(S_1)}{I_0(S_1)}.
\]

Thus, the ratio of \( I_0(S_1)/I_0(S_1) \) is just the suppression factor which decreases in magnitude with increasing \( s \) of hadrons and with decreasing thermal phase-space occupied by strange particles, as described by the argument \( S_1 \) of the Bessel functions. A decrease of \( S_1 = V_C \sum_k n_k(T) \) is due to decreasing \( T \), thus also \( \sqrt{s} \), or decreasing \( V_C \) which scales with charged particle density. These are the main properties of strangeness canonical suppression that have been introduced \( 38 \) to describe thermal production of multi(strange) hadrons in heavy-ion collisions.

In the following, we apply the HRG model described above in the C-ensemble to quantify production of (multi-)strange hadrons and their behavior with charged particle multiplicity as observed by the ALICE collaboration in different colliding systems and collision energies at the LHC. To this end we also correct the HRG model with a more complete implementation of interactions within the S-matrix formalism as described in the next section.

### III. S-MATRIX AND HRG

Interactions among hadrons modify the density of states (DOS) of a thermal system and hence the thermal abundances of hadron states \( 23, 29, 64, 63 \). In the scattering matrix (S-matrix) formulation of statistical mechanics, an effective spectral function \( B(M) \) describing the DOS can be computed from the S-matrix \( 23 \):

\[
B(M) = \frac{1}{2} \text{Im} \text{Tr} \left[ S^{-1} \frac{\partial}{\partial M} S - \left( \frac{\partial}{\partial M} S^{-1} \right) S \right].
\]

where \( M \) is the center-of-mass energy of the system. The quantity \( B(M) \) summarizes the various interactions
among the scattering channels. For the simple case of a single-channel, two-body scattering, the phase shift $\delta(M)$ uniquely identifies the DOS due to the presence of an interaction. For example, when the interaction is dominated by a single resonance of mass $m_{\text{res}}$ and width $\gamma(M)$, the resonant phase shift can be written as

$$\delta_{\text{res}}(M) = \tan^{-1} \frac{M \gamma(M)}{m_{\text{res}}^2 - M^2}. \quad (14)$$

The effective spectral function $B$ assumes the standard Breit-Wigner form upon neglecting the energy dependence of the numerator $(M \gamma(M)) \rightarrow (M \gamma_{\text{bw}})$ in Eq. (14), such that

$$B_{\text{res}}(M) = 2 \frac{dM}{dM} \delta_{\text{res}}(M)$$

$$\approx 2M \times \left( \frac{2M \gamma_{\text{bw}}}{(M^2 - m_{\text{res}}^2)^2 + M^2 \gamma_{\text{bw}}^2} \right) \quad (15)$$

Note that the normalization condition

$$\int_{m_{\text{th}}}^{\infty} \frac{dM}{2\pi} B_{\text{res}}(M) = 1 \quad (16)$$

is satisfied provided that

$$\delta_{\text{res}}(\infty) - \delta_{\text{res}}(m_{\text{th}}) = \pi \quad (17)$$

for a threshold energy $m_{\text{th}}$.

Furthermore, when the empirical phase shift from a partial wave analysis (PWA) of the scattering experiment is used for the calculation of $B(M)$, both resonant and non-resonant interactions are correctly incorporated, and the result becomes insensitive to the choice of parameters in an individual model.

As energy increases, new interaction channels open and the scattering becomes inelastic. The prescription of Eq. (13) remains valid, but the S-matrix should now be formally understood as a matrix acting in the open-channel space, i.e. an $N_{\text{chan}} \times N_{\text{chan}}$ matrix. The trace operation (Tr) in Eq. (13), originated from the thermal trace in constructing the partition function, enforces a summation over the $N_{\text{chan}}$ channels. The effective spectral function describing a multichannel system reads

$$B(M) = \sum_{a=1}^{N_{\text{chan}}} B_a(M), \quad (18)$$

where

$$B_a(M) = \frac{1}{2} \text{Im} \left[ S^{-1} \frac{d}{dM} S - \left( \frac{d}{dM} S^{-1} \right) S \right]_{aa} \quad (19)$$

Here $[\ldots]_{aa}$ means the $a-$th diagonal matrix element.

The channel-specific spectral function $B_a(M)$ describes the (energy-dependent) component of the full $B(M)$ when projected into a specific interaction channel $a$. It generalizes the standard prescription of a branching ratio $(Br(X \rightarrow a))$ in Eq. (4) and reflects the energy dependence from resonant and non-resonant interactions. The channel yield, e.g., from a resonance decaying into multiple final states, can be readily computed via

$$n_a(T) = \int_{m_{\text{th}}}^{\infty} \frac{dM}{2\pi} B_a(M) n^{(0)}(T, M), \quad (20)$$

where $n^{(0)}$ is the ideal gas formula for the particle density.

In this study we focus on computing the hadron yields of protons and $\Lambda+\Sigma^0$-baryons. For the protons, we follow previous studies and employ the empirical phase shifts from the GWU/SAID PWA on the $\pi N$ scattering [65]. In addition, we implement a $\pi N$ background contribution based on the LQCD computation of the baryon charge-susceptibility $\chi_{BQ}$. For the strange $(|S| = 1)$ baryon system, we employ an existing coupled-channel model involving $KN$, $\pi\Lambda$, and $\pi\Sigma$ interactions. The hyperon model allows to calculate not only the thermal yields of strange baryons, $\Lambda$’s and $\Sigma$’s, but also to quantify the additional contribution of protons from the strange baryons.

### A. Composition of hadron yields

Hadron yields predicted by a thermal model can be generally separated into two parts: a purely thermal yield determined by the freezeout parameters $T_f$ and $\vec{\mu}_f$, and a contribution which describes the multiparticle interaction involving the hadron species under study. The latter can be further classified according to the conserved quantum numbers of the interaction channel. For example the total proton yield can be written as

$$\langle p \rangle = \langle p \rangle_{\text{th}} + \langle p \rangle_{N^*} + \langle p \rangle_{\Delta} + \langle p \rangle_{\bar{K}N} + \ldots. \quad (21)$$

where the notions $N^*$, $\Delta$, etc. stand for the general quantum numbers ($B = 1; I = 1/2, 3/2$) rather than referring to a specific resonance state.

The proton yield from a given interaction channel can be related to its thermal abundance. A further simplification enters when we focus on the freezeout conditions for describing hadron production in central nucleus-nucleus collisions at LHC energies: the freezeout chemical potentials are practically zero, and by isospin symmetry all isospin charge states contribute equally, giving

$$\langle p \rangle_{N^*} = \frac{2}{3} \langle N^*_{Q=0} \rangle + \frac{1}{3} \langle N^*_{Q=1} \rangle \approx \frac{1}{2} \langle N^* \rangle \quad (22)$$
TABLE I. The list of interaction channels included in the coupled-channel PWA describing the |S| = 1 hyperon system by the Joint Physics Analysis Center (JPAC) Collaboration [67]. Note that K* is spin one and together with a nucleon can couple to spin 1/2 (denoted $K^*_N$) and spin 3/2 (denoted $K^*_N$). Subindices ± represent the higher and lower orbital angular momentum states which couple to a given partial wave.

| channel | elastic | channel | quasi-elastic | channel | unitarity |
|---------|---------|---------|--------------|---------|-----------|
| 1       | $\bar{K}N$ | 6       | $\bar{K}^*_N$ | 15      | $\pi\Lambda$ |
| 2       | $\pi\Sigma$ | 7       | $[\bar{K}^*_N]_+$ | 16      | $\pi\pi\Sigma$ |
| 3       | $\pi\Lambda$ | 8       | $[\bar{K}^*_N]_-$ |          |            |
| 4       | $\eta\Lambda$ | 9       | $[\pi\Sigma(1385)]_-$ |          |            |
| 5       | $\eta\Sigma$ | 10      | $[\pi\Sigma(1385)]_+$ |          |            |
| 6       |          | 11      | $[K\Delta(1232)]_-$ |          |            |
| 7       |          | 12      | $[K\Delta(1232)]_+$ |          |            |
| 8       |          | 13      | $[\pi\Lambda(1520)]_-$ |          |            |
| 9       |          | 14      | $[\pi\Lambda(1520)]_+$ |          |            |

where $\langle N^+ \rangle = \langle N^0_{Q=0} \rangle + \langle N^+_{Q=1} \rangle$ is the total thermal yield of $N^+$. The analysis proceeds similarly for protons emanating from $\Lambda$’s and other channels composed of a single nucleon.

We also compute the proton yields coming from the |S| = 1 strange baryon system. The relevant channels are given by entries (1, 6, 7, 8, 11–14) in Table I. In particular, quasi-two-body states like $\pi\Lambda(1520)$ can contribute via the channel weights $B_{13,14}$, after further multiplication by an inherent branching ratio (≈ 45% to protons) of the resonance $\Lambda(1520)$. However, the dominant contribution comes from the $KN$ ($B_1$) channel, where no further manipulation is required. The magnitude of $\langle p \rangle_{hyp}$ from all hyperon channels is consistent with the HRG estimate based on branching ratios, and amounts to ≈ 6% of the total proton yield at $T = 156.5$ MeV.

In Fig. 2, we show the proton density (normalized to $T^3$) as a function of temperature. As noted in previous studies, implementing the essential features of the empirical $\pi N$ scattering, i.e. the widths of resonances and the non-resonant interactions, via the S-matrix formalism leads to a reduction of the proton yield relative to the HRG baseline. Including the protons from strong decays of |S| = 1 hyperons does not alter this conclusion.

An estimate of the contribution by the $\pi\pi N$ background, based on LQCD results on the baryon-charge susceptibility $\chi_{BG}$, is also displayed. This gives ≈ 8.6% of the total proton yield at the LHC freezeout conditions. Note that it is an independent source of protons compared to the ones from the hyperons as the latter do not contribute to $\chi_{BG}$ in an isospin-symmetric system [20]. A dissection of the composition of proton yield in the full S-matrix scheme is shown in Fig. 2 (right).

We perform an analogous study for the $\Lambda + \Sigma^0$ yields based on the multichannel hyperon model. The result is shown in Fig. 3 (left). Here it is convenient to classify the interaction channels into three groups:

Group I: elastic scatterings of elementary (ground state) hadrons (channel 1-5 in Table I).

Group II: quasi-two-body states involving the resonances $K^*(802)$, $\Sigma(1385)$, and $\Delta(1232)$ (channel 6-14 in Table I).

Group III: dummy channels that collectively account for the missing inelasticity arising from channels not included explicitly (channel 15-16 in Table I).

Groups II and III should be formally understood as an effective treatment of three-body final states within the framework of an isobar decomposition, which is also compatible with the notion of effective elementary in thermal state counting [65, 69].

The $16 \times 16$ S-matrix is computed for the following partial waves: for $I = 0$: $S_{01}, P_{01}, P_{03}, D_{03}, D_{05}, F_{05}, F_{07}$, and $G_{07}$ and for $I = 1$: $S_{11}, P_{11}, P_{13}, D_{13}, D_{15}, F_{15}, F_{17}$, and $G_{17}$ cases. The subscripts specify the quantum numbers $(I, 2 \times J)$, where $I$ is isospin and $J$ is total spin. These are included in computing the thermal yields of protons and hyperons.

Most channels in the Groups I and II have single-energy partial-wave data to fit, see [67] for details. To distinguish between the different levels of approximation, we employ the following convention to present the results: The black points correspond to the full S-matrix scheme, including the full list of interactions. The solid black lines are partial results accounting only for the elastic and quasi-elastic scatterings, i.e. Groups I and II. The shaded region (in gray) shows the values spanned from including only Group I to the full list of interactions.

Unlike in the case of protons, the hyperon model predicts a higher $\Lambda + \Sigma^0$ yield than the HRG baseline. The S-matrix approach entails the proper treatment of resonances and naturally incorporates some additional hyperon states beyond the listing of the PDG [22]. Here it leads to an enhancement of hyperon yields relative to the HRG results. The need for a stronger interaction strength has already been indicated by an analysis of the LQCD results of baryon-strangeness susceptibility $\chi_{BS}$ [19].

A composition analysis of the $\Lambda + \Sigma^0$ yield is shown in Fig. 3 (right). The S-matrix scheme predicts that a substantial fraction of the hyperons comes from the quasi-two-body states and the 3-body backgrounds. The latter, constrained only by unitarity, represent the major uncertainty in the model. Theoretical analysis of the amplitudes beyond the elastic, two-body limit is, as a rule, much more involved. In particular, the proper treatment of these channels as genuine three-body states remains a challenging task, and is currently under active development [70, 73]. To make progress we attempt to extract a phenomenological estimate of the densities based on measured hadron yields and the fireball volume from a thermal model.
FIG. 2. The proton yield density (left) and a composition analysis (right) computed within the S-matrix formulation. The corresponding results from the HRG scheme, implemented with and without resonance widths, are also shown. For the S-matrix prediction: solid black points correspond to including the full list of interactions, and the black line represents the partial result accounting only for the elastic and quasi-elastic scatterings, i.e. without the ππN background contribution constrained by the LQCD result for $\chi_{BQ}$. The blue triangle depicts the proton yield density calculated from the proton yield data measured by the ALICE collaboration for the most central Pb-Pb collisions (at 2.76 TeV) and the fireball volumes in Eq. (23). The errorbar represents only the experimental errors of the yield data.

FIG. 3. Similar to Fig. 2 but for the $\Lambda + \Sigma^0$-baryon yield density. The shaded region (in gray) shows the range of predictions by the S-matrix scheme at different levels of approximation: from accounting only for the elastic scattering of elementary states (bottom), adding the quasi-elastic scatterings (black solid line), to including the full list of interactions (black points). See Table I for the list of interaction channels in the multichannel hyperon model.

B. Estimation of hadron densities

As discussed, the LQCD results on $\chi_{BS}$ support an enhancement in the thermal yields of hyperons, while those on $\chi_{BQ}$ suggests a suppression of thermal proton yield. It is then of interest to investigate whether a particle yield analysis by a thermal model would support these claims.

A key quantity to extract from a thermal model in the GC ensemble at a given temperature is the volume of the fireball. See Eq. (23) and the discussion. The volume is the same for all hadron species, and is obtained from a global fit to all hadrons. Clearly, the volume thus obtained is not free from the influence of densities of protons and $\Lambda + \Sigma^0$-baryons, the latter we are trying to estimate. However, the value is most strongly determined by the most abundant hadrons in the fireball, i.e., the pions. Thus, constructing the hadron densities based on measured yields and the fireball volume gives a consistent estimate of the hadron densities by a thermal model. With
the measured particle yields will be presented in the next section.

Further thermal model analysis of the S-matrix scheme as shown in Fig. 4. Evenly, the result obtained from the measured hadron yields compares favorably with the HRG scheme (with and without width) shown. The blue triangle depicts the experimental value measured by the ALICE collaboration for the most central Pb-Pb collisions (at 2.76 TeV).

For protons the estimate agrees with the full S-matrix result. Note the different origins of the error on the estimate and the gray band: the former is due to the experimental error of the measured proton yield, the latter represents the effect of including or excluding the contribution from the $\pi\pi N$ backgrounds. For the hyperons, the density estimate supports the trend predicted by the S-matrix approach: an increase in the hyperon yields compared to the HRG baseline. The estimate substantiates the need for including quasi-two-body states (up to the black line in Fig. 3(left)) and in addition part of the three-body unitarity backgrounds. The remaining discrepancy may be resolved by including other channels such as $K \Xi$ (and other multi-strange states), and an improved treatment of the three-body states.

It is also possible to construct observables that are independent of the fireball volume. An interesting quantity to consider is the ratio of the yields of protons to that of $\Lambda + \Sigma^0$-baryons. As discussed, the HRG scheme tends to overestimate the numerator (more so for the scheme with resonance widths) while underestimating the denominator. This results in a much larger value than the prediction of the S-matrix scheme as shown in Fig. 4. Evidently, the result obtained from the measured hadron yields favors the latter, demonstrating the robustness of the S-matrix scheme. Further thermal model analysis of the measured particle yields will be presented in the next section.

![Graph](image_url)

**FIG. 4.** The ratio of the proton yield to that of $\Lambda + \Sigma^0$-baryons. The predictions of the S-matrix scheme at various levels of approximation (see text for conventions) and those from the HRG scheme (with and without width) are shown. The blue triangle depicts the experimental value measured by the ALICE collaboration for the most central Pb-Pb collisions (at 2.76 TeV).

**IV. MODEL COMPARISON WITH ALICE DATA**

In the GC ensemble with $\bar{\mu} = \bar{0}$ and for constant temperature the yields of all particles per volume should be clearly independent of $dN_{ch}/d\eta$. On the other hand, from the previous section, it is clear that the density of charged particles in the C-ensemble exhibits a non-linear dependence on volume parameters. Consequently, in a thermal model any dependence of charged particle densities on $V$ can be a strong indication of possible corrections due to exact conservation of quantum numbers. Indeed, in the context of strangeness production at the LHC, already from a previous analysis [72] it was clear that the canonical ensemble with exact strangeness conservation (CSE) is the best ensemble for describing the low multiplicity classes in p-p collisions at the LHC. Furthermore, the CSE was shown to connect better to the high multiplicity classes than the canonical ensemble with exact baryon, strangeness and charge conservation [73]. Thus, in the following, we focus on only exact strangeness conservation and analyze data obtained by the ALICE collaboration on (multi-)strange particle multiplicity in p-p collisions at 7 TeV [77] as well as 13 TeV [50], in p-Pb collisions at 5.02 TeV [8, 48] and in Pb-Pb collisions at 2.76 TeV [49, 51]. The thermal model calculations are done using the latest version of THERMUS [72, 73], which is further extended here to account for a more complete description of hadron interactions within the S-matrix approach.

As a first step, we made a fit for each multiplicity bin by keeping the number of parameters to a minimum. For the temperature we are guided by the results from LQCD [12] and the recent HRG model analysis of ALICE data for central Pb-Pb collisions [1, 5] and allow only two choices: $T_f = 156.5$ MeV and $T_f = 160$ MeV, to identify $T$-variation of particle yields. For the strangeness suppression factor $\gamma_s$ we work with the value $\gamma_s = 1$ as motivated by fits in central Pb-Pb collisions, this is in contrast to [7, 76] where substantial deviations have been proposed. The chemical potentials due to conservation of baryon number and electric charge are being set to zero as in the energy region of interest at the LHC, particle-antiparticle symmetry is observed with a good degree of accuracy. Thus, in the SCE only two parameters remain, the volume of the system in the experimental acceptance $V_A$ and the canonical volume $V_C$ which quantifies the range of exact strangeness conservation.

For large multiplicities we have found that $V_A \simeq V_C$, therefore we first put them equal for all multiplicities. In Fig. 5(left) we show the yields of hadrons calculated in the SCE for different charged particle multiplicities $dN_{ch}/d\eta$ at fixed temperature and for a single volume $V = V_A \simeq V_C$. It is to be noted that, the charged particle multiplicity is measured by ALICE collaboration in the pseudorapidity range $0.5 < \eta < 0.5$, while the particle yields are measured in a rapidity interval $0.9 < y < 0.9$.

The SCE model is seen in Fig. 5(left) to capture ba-
scopic properties of hadron yields data already with a single volume parameter. For large $dN_{ch}/d\eta > 100$ all hadron yields, as well as extracted $V$, depend linearly on charged particle rapidity density. However, for lower $dN_{ch}/d\eta$ this dependence is clearly non-linear for strange particles due to strangeness canonical suppression which increases with the strangeness content of particles. On the quantitative level, however, one can see in Fig. 5 (left), that using a single volume, leads to too much suppression at small charged particle multiplicities, particularly for $S = -2$ and $S = -3$ baryons. This result is consistent with the previous observation, that a single volume canonical model implies too strong strangeness suppression in low multiplicity events [48, 57, 59].

In general, strangeness conservation relates to the full phase-space whereas particle yields are measured in some acceptance window. Thus, the strangeness canonical volume parameter $V_C$ can be larger than the fireball volume $V_A$, restricted to a given acceptance. To quantify ALICE data we have performed the SCE model fit to data with two independent volume parameters as shown in Fig. 5 (right). The resulting yields exhibit much better agreement with data by decreasing strangeness suppression at lower multiplicities due to larger value of $V_C$ than $V_A$.

The fitted volume parameters are shown in Fig. 6 for $T = 160$ MeV. Two features are to be noted in this figure. First of all the overall volume $V_A$ can be determined fairly accurately and increases linearly with the charged-particle multiplicity. This strongly supports that the yields are directly proportional to the volume of the fireball and agrees with one of the basic ingredients of the thermal model. Second, the canonical volume $V_C$ differs from $V_A$, however the difference is not so well determined at larger $dN_{ch}/d\eta$ as it appears in a ratio of Bessel functions which is already near to its asymptotic value. For small multiplicities, however, the value of $V_C$ is clearly larger than $V_A$ leading to a reduced suppression of strange-particle yields. The fits to $V_A$ and $V_C$ were made for each multiplicity bin and can be well parametrized as linear functions of charged particle multiplicity:
The chemical freezeout temperature, red points were obtained as a function of the charged particle multiplicity for two values of radius. The lower panel shows the volume points in the upper figure show the values of the canonical radius. The lower panel shows the volume $V_A = 4/3\pi R^3$ as a function of the charged particle multiplicity for two values of the chemical freezeout temperature, red points were obtained from $T = 160$ MeV, blue points are for $T = 156.5$ MeV.

$$V_A = 1.27 + 2.58 \times \frac{dN_{ch}}{d\eta} \quad \text{for} \quad T = 160 \text{ MeV}$$

$$V_A = 1.55 + 3.02 \times \frac{dN_{ch}}{d\eta} \quad \text{for} \quad T = 156.5 \text{ MeV} \tag{23}$$

The volume is slightly larger for $T = 156.5$ MeV than for $T = 160$ MeV so as to compensate for the smaller particle densities. A possible form for the canonical volume is given by

$$V_C = 8.87 + 2.64 \times \frac{dN_{ch}}{d\eta} \quad \text{for} \quad T = 160 \text{ MeV}$$

$$V_C = 12.32 + 3.02 \times \frac{dN_{ch}}{d\eta} \quad \text{for} \quad T = 156.5 \text{ MeV} \tag{24}$$

These parametrizations are shown Fig. 6 as lines and have been used in all our model comparisons to data. All numbers in Eqs. (23) and (24) are in units of $[\text{fm}^3]$.

To appreciate the quality of the SCE model description of ALICE data illustrated in Fig. 5 (right), we show in Fig. 7 the ratio of data and the model results. It can be seen that the model prediction agrees quite well with the data up to two standard deviations for all $dN_{ch}/d\eta$.

![Graph showing ALICE data compared to model predictions.](image)

FIG. 6. The upper panel shows the radius (red points) as extracted from fits to p-p collisions at 7 TeV as a function of the charged hadron multiplicity in mid-rapidity region using the SCE model with $T = 160$ MeV and $\gamma_s = 1$. The black points in the upper figure show the values of the canonical radius. The lower panel shows the volume $V_A = 4/3\pi R^3$ as a function of the charged particle multiplicity for two values of the chemical freezeout temperature, red points were obtained from $T = 160$ MeV, blue points are for $T = 156.5$ MeV.

![Graph showing data relative to model calculations.](image)

FIG. 7. Data relative to model calculations. The upper panel shows the protons in blue, the pions in red, the kaons in green. The lower panel shows the $\Xi$ in black, the $\Lambda$ in magenta and the $\Omega$ in purple.

The data on pion yields are always slightly above the calculated points while the kaons are always below. This has implications for the kaon to pion ratio discussed further below. This illustrates the pitfalls of showing ratios in the thermal model. It is better to compare directly yields.

The strangeness suppression effect and its CSE model description are particularly transparent when removing an overall linear dependence of particle yields on the fireball volume $V_A$. This is e.g. achieved by plotting the ratio of strange particle and pion yields, as shown in Fig. 8. This ratio has been discussed prominently by the ALICE collaboration [47] where a comparison with other model calculations was presented. The SCE model introduced here compares very favorably to the ones discussed in [47]. The underestimation of the pion yield is responsible for the larger discrepancy in the kaon to pion ratio as seen in Fig. 7.

The importance of the S-matrix description of proton and hyperon yields can be directly verified with data from the central Pb-Pb collisions by comparing measured $p/\Lambda$ ratio with the S-matrix results shown in Fig. 4. It is seen in this figure, that data are indeed well consistent with S-matrix predictions at $T_f \simeq T_c$, indicating that particles are produced at the phase boundary. Furthermore, such comparison can also be done for densities of protons and hyperons as discussed in the context of Figs. 2 and 3 by using yields data and the fitted fireball volume for the central Pb-Pb collisions.

To justify the S-matrix results for different charged particle densities we show in Fig. 9 the $p/\pi$ (left) and $p/\Lambda$ (right) ratios as functions of $dN_{ch}/d\eta$. Both ratios are
We have studied the influence of global strangeness quantum number conservation on strangeness production in heavy-ion and elementary collisions in a given acceptance region, accounting for the strangeness neutrality condition formulated in the hadron resonance gas (HRG) model in the canonical ensemble. We have focused on (multi-)strange baryon production yields in p-p, p-A and A-A collisions at the LHC energies and their behavior with charged-particle multiplicity at mid-rapidity, as measured by the ALICE collaboration at CERN.

To this end, the HRG model is augmented with the S-matrix corrections to the yields of protons and hyperons. The S-matrix calculation is based on the empirical phase shifts of \( \pi N \) scattering, an estimate of the \( \pi\pi N \) background constrained by Lattice QCD results of baryon-charge susceptibility, and an existing coupled-channel model describing the \( |S| = 1 \) strange baryons. It is demonstrated that an accurate description of the widths of resonances and the non-resonant interactions in a thermal model leads to a reduction of the proton yield relative to the HRG baseline (by \( \approx 25\% \)). Including the protons from strong decays of \( |S| = 1 \) hyperons, which constitute \( \approx 6\% \) of the total yields, does not alter this conclusion. Such a reduction is also crucial for resolving the proton anomaly in the LHC data. For the hyperons, the S-matrix scheme predicts an increase in the \( \Lambda + \Sigma^0 \) yields relative to the HRG baseline by \( \approx 23\% \). This is consistent with the data from the ALICE collaboration. Furthermore, the S-matrix prediction on the ratio of yields of proton to \( \Lambda + \Sigma^0 \) is in good agreement with the measured values by the ALICE collaboration in Pb-Pb collisions in the events with the largest multiplicities \( \langle dN_{ch}/d\eta \rangle \). The evolution of (multi-)strange baryons \( \Lambda \) yields with \( dN_{ch}/d\eta \) calculated in the present thermal model in the C-ensemble follows the measured values within two standard deviations.

Comparing these experimental findings alongside the thermal model predictions (including the S-matrix corrections), it is evident that an accurate treatment of two-body scatterings and in addition the three-body interactions, at least those captured within the quasi two-body framework, is necessary for a satisfactory description of data. A more realistic theoretical treatment of the three-body interactions may resolve the remaining background contribution.

A good description was obtained for the variation of the strangeness content in the final state as a function of the number of charged hadrons at mid-rapidity with the same freezeout temperature \( T_f \sim 156.5 \, \text{MeV} \) as calculated previously from data in the most central Pb-Pb collisions. This lends further support that at LHC energies and independently of colliding system, the freezeout temperature coincides with the chiral crossover as calculated in LQCD.

We have argued that the observed behavior of hadron yields at the LHC with charged particle multiplicity can be explained naturally in the thermal model. The temperature is linked to the collision energy and is independent of the colliding system. The fireball volume parameter at mid-rapidity was found to scale linearly...
with $dN_{ch}/d\eta$. The observed increasing suppression of strange hadron yields with decreasing $dN_{ch}/d\eta$ and its dependence on their strangeness content, was found to be qualitatively consistent with predictions of the HRG model formulated in the strangeness canonical ensemble. An exact conservation of strangeness is to be imposed in the full phase-space rather than in the experimental acceptance at mid-rapidity. Consequently, the correlation volume parameter where strangeness is exactly conserved was found to be larger than the fireball volume at mid-rapidity.

The S-matrix formulation of statistical mechanics accurately describes the measured hadron yields and supports the interpretation of the LQCD results. This en-
courage its further adoption in analyzing also the light-flavored hadrons (i.e. pions and kaons). In fact many Fock states considered in the current scheme contain multi-pion components. It is thus pivotal to investigate their influence on the thermal production of pions and kaons. This requires an extensive analysis involving also the purely mesonic sector [77], and will be explored in a future study [78].

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