Membrane fouling of forward osmosis in dewatering of soluble algal products: Comparison of TFC and CTA membranes

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Abstract

Forward osmosis (FO) has increasingly penetrated into traditional process, i.e. microalgae dewatering, to become one of the new energy saving technologies. However, FO membranes can be fouled by soluble algal products (SAP) and fouling behavior of the membrane is not clear due to the variety of SAP composition. In this work, two types of membranes including a self-made TFC and a commercial CTA, and three kinds of draw solutions including NaCl, MgCl2 and CaCl2 were adopted to investigate the dewatering of SAP from microalgae of Chlorella vulgaris. The dependence of permeate flux and foulants composition on the membranes, membrane orientation and reverse salt diffusion were then compared for membrane fouling behavior. The results showed that TFC membrane exhibited higher water permeability but more loss of water flux in comparison with CTA. The SAP was inclined to be adsorbed by TFC membrane while adsorbate was easier to be removed by physical cleaning. By contrast, the pollutants accumulated on the membrane surface of CTA were much more irreversible. The interaction between SAP from feed solution and calcium cations from draw solution induced the formation of cake layer on the surface of TFC membrane while irreversible granular deposits of SAP were found accumulated on CTA membrane surface. Possible membrane fouling mechanism was finally discussed for better fabrication of anti-fouling forward osmosis membranes, thus to propel the integration of forward osmosis with traditional field of microalgae dewatering.

1. Introduction

Currently, energy crisis and water shortage have been considered as two challenges in the world along with population growth and urbanization [1,2]. Microalgae, owing to the coupling advantages of oil production and wastewater treatment, has become one of the most promising sources to handle the energy dependence and water scarcity [3,4]. Despite microalgae has many competitive advantages, it is well accepted that some challenges still remained to be addressed before large-scale microalgae production can be realized practically. For example, to separate and harvest algae cells from culture medium is one of the technical and economical bottlenecks to batch cultivation of microalgae [5].

Unlike conventional methods, for example centrifugation requires a considerable energy input due to the small size of algae cells (typically a few micrometers), the similar density of microalgae to water, and low algal concentration in the culture medium [6–8], membrane-based process is recently becoming one popular operation unit in bulk production of microalgae [9]. Various studies involved in membranes have been investigated for dewatering and concentration of microalgae cultures including microfiltration (MF), ultrafiltration (UF), and reverse osmosis (RO) [10–13]. Submerged membrane module has also been adopted into photobioreactors for not only microalgae cultivation but also nutrients removal from tertiary wastewater, thus to seek the reliability and applicability of the whole system [14,15].

Unlike those conventional membrane processes, forward osmosis (FO) is recognized as an emerging membrane separation technology which has been potentially used to treat complex highly concentrated organic wastewater, such as landfill leachate, dyeing wastewater, anaerobic digester concentrate and liquid foods in the food industry [16–20]. Microalgae dewatering with FO has also been developed in the past few years for its low energy consumption and high efficiency [21]. However, during the process of microalgae dewatering, the fouling layers on the membrane surface could be formed by algal cells and gel-like soluble algal products (SAP), hindering the membrane performance greatly. For example, Gu et al. [22] studied flux reduction using alginate as the model foulant and found that commercial TFC membrane showed greater fouling propensity compared to the CTA membrane.

Keywords:
Soluble algal products (SAP)
Forward osmosis
Microalgae dewatering
Membrane fouling
Reverse salt diffusion
Honda et al. [23] investigated flux recovery and foulants characteristics with a commercial CTA membrane for the concentration of Chlorella vulgaris. They observed that SAP was related to the irreversible fouling in active layer facing draw solution (AL-DS) mode. The SAP, released naturally by microalgae during the entire culturing process, was prone to be sticky on the membrane, making it serious for membrane fouling.

Actually, the composition of SAP is complicated with various components including carbohydrates, proteins, lipids, amino acids, peptides, organic phosphorus, enzymes, vitamins, and so on [24,25]. These macromolecules are also inclined to interact with inorganic salts such as calcium carbonate, magnesium carbonate, and calcium phosphate [26].

2. Materials and methods

2.1. Microalgae cultivation

Chlorella vulgaris (FACHB-36) was purchased from Freshwater Algae Culture Collection at the Institute of Hydrobiology (FACHB-collection, China). The monocolure was first cultivated with Selenite Enrichment (SE) medium in an artificial photobioreactor, the diameter and height of which was 20 cm and 40 cm with a working volume of 10 L. Continuous light irradiation was provided at 50 µmol photons m⁻² s⁻¹ and compressed air (vvm) was sparged into the reactor by an air pump at constant room temperature of 25 ± 2 °C. The concentration of algal broth was analyzed every two days using a visible spectrophotometer (HACH DR3900, USA) in a batch mode until the biomass was analyzed every two days using a visible spectrophotometer (HACH DR3900, USA) in a batch mode until the biomass was analyzed every two days using a visible spectrophotometer (HACH DR3900, USA) in a batch mode until Chlorella vulgaris reached its stationary phase after 25 days of cultivation. The stock solution of SAP, filtered through 0.45 µm glass fiber membrane (Xingya Purification Material Factory, China), was used as the feed solution for dewatering with FO.

2.2. FO membranes

The thin film composite membrane (TFC) made at Yantai Institute of Coastal Zone Research Chinese Academy of Sciences (Yantai, China) and cellulose triacetate membrane (CTA) purchased from Hydration Technology Innovations (HTI, USA) were used as representative FO membranes in this study. Both asymmetric membranes were composed of bilayer substrate. The TFC membrane prepared via the layer-by-layer assembly method had an active layer of polyamide and a support layer of polysulfone. By contrast, the CTA membrane, with polyester fabric embedded in the second layer to provide the mechanical strength, was characteristic of the excellent property of hydrophilicity. The FO membranes were placed either in active layer facing feed solution (AL-FS) mode or active layer facing draw solution (AL-DS) mode to explore the corresponding fouling mechanism.

Basic membrane parameters including pure water permeability (A), salt permeability (B), and selectivity (S) were measured following the reported method [30]. These parameters objectively represented the comparable performance of membranes. After attaining water flux (Jw) and reverse salt flux (Jr) using four concentrations of draw solutions, A, B and S were calculated according to the following equations [30]:

\[
J_w = A \left( \frac{\pi_f \exp\left( -\frac{-\pi_s}{\beta a} \right) - \pi_i \exp\left( \frac{\pi_s}{\beta a} \right)}{1 + \frac{\beta}{\pi_f} \left[ \exp\left( \frac{\pi_s}{\beta a} \right) - \exp\left( -\frac{-\pi_s}{\beta a} \right) \right]} \right)
\]

(1)

\[
J_r = B \left( C_f \exp\left( -\frac{-\pi_s}{\beta a} \right) - C_i \exp\left( \frac{\pi_s}{\beta a} \right) \right) \left[ 1 + \frac{\beta}{\pi_f} \left[ \exp\left( \frac{\pi_s}{\beta a} \right) - \exp\left( -\frac{-\pi_s}{\beta a} \right) \right] \right]
\]

(2)

where C_f and C_D represented the concentration of feed solution and draw solution, respectively; \(\pi_f\) and \(\pi_D\) were the osmotic pressure of feed solution and draw solution, respectively; \(k\) was the mass transfer coefficient of feed solute, and \(D\) was the bulk diffusion coefficient of draw solute.

In order to understand the effect of surface charge of membranes on the adsorption of SAP, zeta potential analyzer (SurPASS, Anton Paar GMBH, Australia) was applied to quantitatively characterize the charge property (positive or negative) and charge density at the membrane interface. Wet membrane samples were first soaked in 1 mM KCl solution for 1 h before it was attached to an adjustable gap cell. Membrane samples and membrane module were then thoroughly rinsed for testing. The pH of solution was adjusted to 7 using 0.1 M HCl and 0.1 M NaOH, and test temperature was kept at 25 °C.

2.3. Experimental setup for FO membrane fouling

All FO membrane fouling experiments were conducted using a custom-made membrane filtration module. The effective membrane surface area of membrane module was 3 × 10⁻³ m² (10 cm × 3 cm) with the cross-flow rate of 5.5 cm s⁻¹ on both sides. Draw solutions including NaCl, MgCl₂ and CaCl₂ were used to investigate characteristics of membrane fouling induced by different cations. The concentration of each draw solution was adjusted to achieve a situation that the osmotic pressure was almost at the same level with 1 M NaCl solution. For feed solution, the SAP of microalgae was diluted with deionized water to contain 20 mg CL⁻¹ of dissolved organic carbon (DOC) in order to ensure the same initial conditions of each experiment. 1 L feed solution and 3 L draw solution were circulated for 10 h using two peristaltic pumps (Longer pump BT300–2J, China). The temperature of both solutions were kept at 25 ± 1 °C by two heating rods (GOL-25W, China). The weight of feed solution was monitored in real time for the determination of permeate water flux from feed solution to draw solution.

The FO system was cleaned completely before every cycle experiment was carried out. Cleaning of the whole system comprised two steps. The membrane module was flushed first with deionized (DI) water at a crossflow velocity of 11 cm s⁻¹ for 0.5 h for three times. DI water was then circulated through the system for 1 h after the assembly of a new membrane coupon.

2.4. Analysis of foulants

Feed samples were collected before and after experiments to determine their DOC, carbohydrates and proteins content, respectively. These measurements were carried out after the filtration through 0.45 µm glass fiber membrane in order to ensure all data represented the soluble content. The DOC content was quantified by TOC analyzer (Shimadzu TOC-VPCH, Japan) [31]. For carbohydrates measurement, the method of phenol-sulfuric acid with glucose as the standard was used [32]. Proteins content was measured by modified Lowry method, in which the bovine serum albumin (Sigma Aldrich, China) was used as
the standard [33].

At the end of each FO experiment, the membrane subsection of 2 cm × 2 cm was cut from the center of membrane immediately and placed in a centrifuge tube containing 26 mL ultrapure water with 100 μL of 0.1 M HCl. The test tube was then sonicated in a flask filled with ice in fifteen 60-s cycles to remove organic compound from the membrane. After retaining the resultant solution, the concentration of DOC, carbohydrates and proteins was determined respectively, and calculation of the content of the whole membrane was conducted according to the following equation:

\[ m = C \times V \times A_0 / A \]  

where \( m \) represented the mass of DOC, carbohydrates and proteins content respectively, \( C \) represented the mass concentration of DOC, carbohydrates and proteins respectively; \( A_0 \) and \( A \) were the subsection area of membrane sample and effective membrane area. Theoretically, the DOC, carbohydrates and proteins content in the feed solution before and after FO experiments and that retained on membrane followed the following equation:

\[ m_b = m_s + m_r + m_{loss} \]  

where \( m_b \) and \( m_s \) represented the content of DOC, carbohydrates and proteins in the feed solution before and after FO experiments respectively. It is noteworthy that the DOC, carbohydrates and proteins content which could be washed from the membrane by ultrasound was defined as reversible part of \( m_r \); those could not be washed from the membrane together with those insolubles formed on membrane surface and then were washed into feed solution by hydraulic scouring during the period of dewatering, were collectively referred to as \( m_{loss} \).

Surface morphologies of membranes were further visualized with the cold cathode field emission scanning electron microscopy (FESEM, SU8010, HITACHI, Japan). The naturally dried membrane sample was carefully mounted on the stainless steel stub using a conductive tape respectively, followed by gold sputtering upon all the membrane samples [34].

3. Results and discussion

3.1. Membrane performance parameters

The five representative performance parameters including pure water permeability (A), salt permeability (B), selectivity (S), reverse salt flux of draw solute and zeta potential for both TFC and CTA membranes were summarized in Table 1. The \( A \) value of TFC membrane was about four times that of the CTA membrane. The attractive water permeability of this TFC-based membrane was comparative to the commercial TFC membranes of HTI [27,35]. The superior performance was consistent with the fact that the TFC membrane used in this study had a support structure that was more porous than CTA. The porous layer reduced internal concentration polarization (ICP) in the membrane thus greatly improved the water flux [23]. In addition, it was clear to find that the selectivity of TFC in this work was much lower than that of CTA. Such a decrease in salt selectivity conform to the intrinsic relationship between \( A \) and \( S \), where the increase in water permeability was accompanied by a rapid decline of salt selectivity [26].

Reverse salt fluxes of NaCl, MgCl₂, and CaCl₂ with DI water as feed solution revealed the difference in back diffusion abilities of these three inorganic salts. Since the reverse salt flux was changed along with \( S \), as shown in Table 1, reverse salt fluxes of TFC membrane were higher than those with CTA membrane. For TFC membrane, reverse salt fluxes in AL-DS mode were 1.4 – 1.8 times than those under AL-FS mode for each type of salt. By contrast, there were no significant variations between both modes in terms of their back diffusion for CTA membrane.

As shown in Table 1, both membranes showed negative potential and the TFC membrane was much more negative than CTA. According to Elimelech et al. [36], the surface electronegativity of TFC membrane
was caused by dissociation of carboxylic acid groups R–COOH of the polyamide following R-COOH = R-COO- + H+. Whereas for CTA membrane, the acetyl (CH₃CO-R) and hydroxyl (R-OH) groups did not dissociate in aquatic systems, so it was possible to form the negative surface charge due to the adsorption of electrolyte anions (OH⁻ and Cl⁻) during zeta potential measurement, and/or traces of acetic acid from acetylation and/or acidic functional additives during membrane fabrication. Since the pH of SAP solution was usually in the range of 7 – 8, TFC membrane became more negative due to the ionization of carboxylic acid groups.

3.2. Effect of SAP on water flux for TFC and CTA membranes

The comparison of water flux behavior for membranes with different draw solutions in both AL-FS and AL-DS mode was demonstrated in Fig. 1. It was expected that TFC membrane exhibited higher water permeability than CTA. The initial fluxes were 1.5 – 2 times higher in both modes of TFC, and final fluxes of TFC were also higher except for the test in AL-DS mode with CaCl₂. It showed that the decline of water flux caused by CaCl₂ was the most serious among the three draw solutions and it might relate to the specific fouling behavior of CaCl₂.

As shown in Fig. 1, water flux decreased with time. All curves experienced a rapid decline followed by a level one. When the active layer of membrane was facing feed solution (AL-FS), the decline was developed within half an hour. The flux in AL-DS mode, by contrast, had a much longer and more rapid drop as a result of the stronger combination of the foulants accumulation and dilution of draw solution. It seemed that for the purpose of maintaining high permeate water flux, it was much better to choose AL-FS mode for the application of microalgae dewatering.

Since water flux decline was in response to membrane fouling, the relative water flux loss was then investigated for a better understanding of membrane fouling. The relative water flux loss was corrected by subtracting the loss of DI water relevant to each type of draw solutions and then normalized respectively so that to reveal the net impact of SAP completely. As a result, no other loss was included like the dilution of draw solutions causing the reduction of osmotic pressure difference. As shown in Fig. 2, flux loss occurred mainly at the beginning of each test, suggesting the formation of foulants on membrane was a quick process. By contrast, the mode of AL-DS had a much more noticeable increase in the loss of flux during the whole experiment period, indicating the relatively severe membrane fouling. It was reported that the roughness characteristic of membrane support layer was inclined to adsorb foulants [37,38]. It was probably that not only the internal pore clogging but also cake layer formation contributed to the relatively severe membrane fouling in AL-DS mode [23]. Although the flux decline rate was different for both membrane orientations, their final loss followed almost the same order: TFC-CaCl₂ > CTA-CaCl₂ > CTA-MgCl₂ ≥ TFC-MgCl₂ > TFC-NaCl ≥ CTA-NaCl. In addition, the TFC membrane

![Fig. 1. Effect of membrane orientation and draw solution type on relative water flux for TFC and CTA membranes: (a) in AL-FS mode, (b) in AL-DS mode.](image1)

![Fig. 2. Effect of membrane orientation and draw solution on relative water flux loss for TFC and CTA membranes: (a) in AL-FS mode, (b) in AL-DS mode. All relative water flux loss curves were corrected by baselines corresponding to each type of draw solutions and then normalized respectively.](image2)
showed higher flux loss than CTA when NaCl and CaCl₂ were used as draw solutions. Noting that the flux loss of CTA membrane was higher than that of the TFC membrane in AL-DS mode when MgCl₂ was used as draw solution. It indicated that membrane fouling may be attributed to the possible synergistic effect of both membranes and draw solutions, and therefore foulants composition was further explored.

3.3. Characteristics of membrane foulants

To further investigate the foulants attracted onto membranes, each membrane coupon was washed by ultrasound after FO experiment. The DOC content in feed solution before (DOCₘ) and after (DOCₙ) FO experiments and reversible content retained on membranes (DOCᵣ) with different draw solutions in both AL-FS and AL-DS orientations were shown in Fig. 3. An interesting result was found that the DOCᵣ content retained on TFC membrane was much higher than that of CTA, indicating that the SAP was inclined to be adsorbed by TFC membrane. In AL-FS mode, the propensity of TFC to undergo organic fouling was mainly due to the hydrophobicity of polyamide active layer [39]. In AL-DS mode, it was speculated that although TFC had higher electronegativity than CTA as shown in Table 1, its rougher and more porous support structure probably promoted its much higher adsorption capacity to SAP. As the adsorbate was not tightly combined with the membrane, physical cleaning such as ultrasound in this work could release the connection between SAP and membrane. The hydrophilicity of both layers of CTA enabled the low adsorption capacity to SAP in both modes and all those draw solutions. Although the adsorptive capacity of CTA to SAP (DOCᵣ + DOCₙ) was much lower than that of TFC, water flux loss of CTA was found close to that of TFC (Fig. 2). It might be attributed to the fact that some irreversible pollutants were formed in the membrane surface of CTA, and the loss of water flux induced by reversible SAP adsorption was far less than that caused by irreversible pollution.

Furthermore, as shown in Fig. 3(a), in AL-FS mode, the DOCᵣ
content adsorbed onto TFC membrane followed the order: NaCl > MgCl₂ > CaCl₂. The high adsorbance of SAP with NaCl was mainly due to the fact that the reverse flux of NaCl was the highest (Table 1) and positive charge promoted the adsorption of membrane surface to SAP. Unlike NaCl, the reverse flux of Mg²⁺ and Ca²⁺ reduced substantially (Table 1), which was consistent with the complexation mechanism showing that Ca²⁺ could complex with oxygen-containing functional groups of SAP and thus enhancing the formation of irreversible adsorption layer which prevented the reverse diffusion of calcium ions and further adsorption of SAP to membrane surface [40,41]. By contrast, in the mode of AL-DS, DOC content adsorbed on TFC membrane followed the sequence of: MgCl₂ > NaCl > CaCl₂. As shown in Fig. 3(b), the amount of SAP adsorbed by MgCl₂ exceeded that of NaCl for TFC membrane in the AL-DS mode. It was probably because the reverse salt flux of MgCl₂ greatly increased in this mode (Table 1) that promoting the adsorption of SAP on membrane surface. Moreover, the reversible adsorption layer formed on membrane surface by MgCl₂ was insufficient to cause more loss of water flux than NaCl (Fig. 2). Thus it could not prevent the reverse diffusion of Mg²⁺ in the porous support layer of TFC and further increase of SAP adsorption.

Theoretically, DOC content followed the equation: DOCₐ = DOCₐ + DOCₜ + DOCₙ as shown in Eq. (3). It was worth noting that there was almost no DOCₙ in TFC and CTA under AL-FS mode, except the CTA-CaCl₂ with extraordinarily unbalanced DOCₙ of 2.35 mg, accounting for 11.8% of the total initial DOC in the feed solution (Fig. 3(a)). The DOCₙ might be attributed to (1) irreversible fouling occurred and formed on membrane when CaCl₂ was used as draw solution, and (2) insolubles formed on membrane surface which were then washed into feed solution by hydraulic scouring. In AL-DS mode, higher DOCₙ was found in both membranes with CaCl₂. DOCₙ of the total initial DOC in the feed solution was 8.4% for TFC membrane, and it was found more significant for CTA-CaCl₂ with the proportion increased to 30.2% (Fig. 3(b)). However, water flux loss of TFC was higher than CTA with CaCl₂, since the irreversible fouling was always found to result in higher water flux loss [23], irreversible fouling should be the main reason for DOCₙ formed on TFC membrane surface.

As carbohydrates and proteins were major constituents in the SAP of microalgae, the specific contribution of both of them was further
explored for membrane fouling behavior. Carbohydrates and proteins content in the feed solution before \((m_i)\) and after \((m_f)\) FO experiments and reversible content retained on membranes \((m_r)\) with all those draw solutions in AL-FS and AL-DS orientations were further characterized in Fig. 4. The content on membrane represented reversible part washed from the membrane with ultrasound. On the whole, for both TFC and CTA membranes, carbohydrates proportions of reversible and loss content to the total initial content in the feed solution was consistent with that of the DOC content. Moreover, the mass ratio of carbohydrates to DOC was about 2:1 in this work, even though this ratio was not quite the same as literatures of which the ratio was usually 1:1 [42], it was reasonable that differences in culture conditions caused the increase of carbohydrates content and carbohydrates was the main constituent in membrane fouling. It was clearly to see that compared to the AL-FS mode, the mass ratio of loss content of carbohydrates to the total initial content in the feed solution increased in AL-DS mode with all those three draw solutions, indicating that carbohydrates played an important role in irreversible fouling. As for proteins, although the proportion of proteins components to DOC was only 1:10, reversible and loss content of proteins to the total initial content in the feed solution was similar to that of the DOC content. Besides, the mass ratio of reversible content of proteins to the total initial content in the feed solution increased in AL-DS mode in comparison with AL-FS mode, indicating that proteins might have an impact on membrane reversible fouling.

3.4. Possible membrane fouling mechanisms for FO-based dewatering of SAP

The pristine membrane images together with their fouling samples after FO experiments were further characterized by FESEM as shown in Fig. 5, and the schematic of possible membrane fouling mechanism was
shown in Fig. 6. For pristine membranes, it could be clearly seen that TFC had a relatively much rougher and more porous surface structure in comparison with CTA. When NaCl and MgCl₂ were used as draw solutions, the adsorption layer of SAP was found to attach to the TFC membrane in AL-FS mode (Fig. 6(a)). Although SAP was more likely to be adsorbed by the support layer of TFC in AL-DS mode (Fig. 3), the interaction of SAP with Na⁺ and Mg²⁺ was not obvious and that did not block the porous surface structure of support layer completely. When CaCl₂ was used as draw solution for both modes (Fig. 5(a) and (b)), the interaction of SAP from feed solution and reverse salts from draw solution induced a cake layer on the TFC membrane surface (Fig. 6(a)) and thus caused the extraordinary loss of DOC (Fig. 3) and the clogging of channel for water permeation. It indicated that the binding of calcium ions with SAP was more effective than magnesium and sodium ions [5]. It is worth mentioning that there were some cracks in the cake layer (Fig. 5(a)), the formation of which was probably due to the air drying step of SEM pretreatment. Moreover, the rough surface and interaction among Ca⁺², SAP and carboxylic acid groups R-COOH from the polyamide active layer shown in Fig. 6(a), could fasten the cake layer to membrane surface to avoid the hydraulic scouring of feed solution toward it.

For CTA membrane shown in Fig. 5(c) and (d), the different amount of granular deposits of SAP formed on the membrane surface rather than cake layer was due to the smoother surface which made the SAP adsorbed on CTA easier to be washed into the feed solution by hydraulic scouring (Fig. 6(b)). The amount of granular deposits on membrane surface followed the order: CaCl₂ > MgCl₂ ≥ NaCl. When NaCl and MgCl₂ were used as draw solutions in AL-FS mode, almost no granular deposits of SAP was found to accumulate on membrane surface, resulting that there was no obvious variation of water flux of CTA in this mode (Fig. 1(a)). The presence of Ca²⁺ led to deposition of particles on the surface of membrane (Fig. 6(b)) and increase of water flux loss. Due to rougher membrane surface facing to feed and higher reverse salt fluxes in AL-DS mode, the reverse salts and SAP were interacted to settle and fill the pores of support layer partly, aggravating the membrane fouling, and thus the more significant flux decline (Fig. 1(b)). The results showed that a smoother membrane surface and lower reverse salt fluxes would be contributed to the reduction of adsorption or deposition of SAP.

The above discussion revealed that FO membrane fouling was strongly affected by membrane surface chemistry and draw solution chemistry. TFC membranes containing carboxyl acid groups were easier to aggravate membrane fouling for the presence of Ca²⁺ in the draw solution. The interaction between SAP and reverse divalent cations in AL-DS mode. The most severe membrane fouling for CaCl₂ was attributed mainly to the interaction between SAP from feed solution and calcium cations from draw solution, which induced the formation of cake layer on the surface of TFC membrane whereas irreversible granular deposits of SAP were found accumulated on the CTA membrane surface.

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