Histological grade and steroid receptor content of primary breast cancer – impact on prognosis and possible modes of action

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Summary The clinical course of breast cancer was related to degree of anaplasia (DA) and steroid receptor (SR) content of primary tumours in 743 patients (pts) with clinical recurrence, initially enrolled in the DBCG-77 protocols. The oestrogen receptor (ER) and the progesterone receptor (PR) content was known in 110 and 67 pts. The recurrence-free interval, survival after recurrence, and the overall survival were all prolonged in patients with well differentiated tumours or with high SR content.

The tumour growth rates were estimated as clinical rates of progression (i.e., the time elapsed from a single distant metastasis until dissemination). The progression rate was prolonged in relatively well differentiated as well as in receptor rich tumours. The extent of dissemination, as indicated by the number of metastatic sites, was not associated with either DA or SR content. However, the anatomical distribution of metastases varied with both DA and SR content: signs of poor prognosis (high DA or low SR content) were associated with occurrence of visceral metastases. In contrast, SR rich tumours had a propensity for recurrence in bone. The results suggest that the impact on prognosis of the features examined here includes both variations in growth rate and metastatic pattern.

In general, breast cancer is a subclinical disseminated disease at the time of initial diagnosis, and in most patients disease is expected to recur. Although the ultimate outcome of the disease can thus be predicted for most patients, there are considerable variations in length of survival (Brinkley & Haybittle, 1975).

Subsets of patients with breast cancer who have approximately the same expected survival time can be identified by means of various features of the patients or their primary tumours (i.e., prognostic factors). Generally, such factors are considered to reflect metastatic potential or growth rate. However, supplementary interpretations of these prognostic factors may reveal that their impact on survival is mediated through differences in extent and/anatomical location of recurrent disease. According to this concept, different prognostic characteristics may predict different metastatic patterns. Dissimilarities in both the degree of anaplasia (DA) and steroid receptor (SR) content of the primary tumour probably influence prognosis by differences in growth rate. Thus, less differentiated tumours and tumours with a low receptor content have a higher growth rate (Meyer et al., 1986; Adami et al., 1985) and, consequently, a shorter survival (Schuuer et al., 1985; Heuson et al., 1977) than higher differentiated and receptor rich tumours. However, variations in prognosis with DA and SR content may also reflect differences in the pattern of spread. The present study was undertaken to examine whether such relationships exist.

Materials and methods

Criteria of selection

All patients had initially operable breast cancer and participated in the 77 protocols of the Danish Breast Cancer Cooperative Group (DBCG) study of primary treatment and follow up (Andersen et al., 1981). They were all followed at one of the participating oncological centres or at the regional medical or surgical departments. The confirmative diagnosis of recurrent disease and subsequent treatment was undertaken by the oncological centres.

The primary treatment was total mastectomy with partial axillary dissection. Patients were divided into a high and a low risk group: Low risk patients had tumours ≤5 cm in diameter, no positive nodes, and no invasion of skin or fascia. These patients received no further therapy following mastectomy. The high risk patients had tumours >5 cm in diameter and/or positive lymph nodes and/or skin or fascial invasion. These patients received postoperative radiotherapy to the chest wall, the axillary and peri-vascular areas equivalent to 1335 rets, and were further randomized to different forms of systemic adjuvant treatments as described in detail elsewhere (Andersen et al., 1981; Kamby et al., 1988). All patients were seen for physical examination every 3 months until 18 months after mastectomy, and every 6 months thereafter. Chest X-rays, bone scintigraphy, and blood chemistry were carried out every 6 months for one year. Thereafter, chest X-rays were repeated once a year for another 4 years. Abnormal bone scintigram required bone X-ray survey.

Treatment of recurrent disease

Low risk patients with locoregional recurrence received radiotherapy. High risk patients with locoregional recurrence and all patients with distant metastases were treated according to menopausal status and age. Premenopausal patients were castrated and received a 3 drug chemotherapy combination with cyclophosphamide, Adriamycin and 5-fluorouracil. Postmenopausal patients below the age of 65 years received tamoxifen and a 3 drug chemotherapy combination with cyclophosphamide, methotrexate or adriamycin, and 5-fluorouracil. Patients above 65 years of age received endocrine therapy only. All mastectomy specimens were macroscopically and microscopically evaluated at the local pathological departments, according to uniform protocolled guide lines. The histological evaluation of primary tumour included histological typing according to WHO recommendations (Scarff,
The ductal carcinomas NOS were classified as well (DA = I), medium (DA = II), and poorly (DA = III) differentiated, according to the grading system of Bloom & Richardson (1957), using the following histologic factors: (1) tubule formation; (2) pleomorphism, and (3) mitotic nuclei.

The SR content was measured by a dextran-coated charcoal assay in a single laboratory according to the methods recommended by the EORTC (EORTC Breast Cancer Cooperative Group, 1980). Tumours were considered positive when at least 10 fmol mg⁻¹ cytosol protein were present. When analyzing the SR contents semiquantitatively, the following scale was used: low content: <10 fmol mg⁻¹, intermediary content: 10–99 fmol mg⁻¹, and high content: ≥ 100 fmol mg⁻¹. The SR content was in all cases measured in histologically verified malignant tissue from the primary tumour. Due to geographical and temporal restrictions (i.e., SR analyses were started in September 1979), the SR contents were known in only 13% of the patients. Therefore, the period of observation after recurrence was shorter for SR determined patients compared with patients without SR determination. Patients with and without SR determination were comparable with respect to age, menopausal status, stage and type of adjuvant systemic treatment (data not shown).

All metastatic sites detected within one month after diagnosis of the first site of metastasis were grouped together and designated as the sites of metastases at the time of first recurrence. Subsequent metastases in other sites, the dates of their detection, and the treatment were recorded. These metastases, together with the metastatic sites of first recurrence, were grouped and defined as the cumulated sites of recurrence at the time of follow up.

The sites of metastases were divided into the following categories: local skin recurrence (skin and/or subtubular tissue of the ipsilateral mammary region); other skin recurrence (skin and/or subtubular tissue outside the ipsilateral mammary region); regional lymph node recurrence (RLN; regional lymph nodes of the ipsilateral axilla or peri-clavicular region); other lymph node metastases (OLN; lymph nodes other than RLN). Contralateral breast tumours (all carcinomas in the contralateral breast were regarded as recurrences of the primary tumour). Bone metastases (verified by X-rays). Lung and pleural recurrences (demonstrated by X-ray examination; solitary pleural effusion required cytological verification). Liver metastases (demonstrated by ultrasound or CT scan). Brain metastases (confirmed by brain scintigraphy or CT scan). The number of metastatic sites was defined as the number of above-mentioned anatomico-locations with metastases, irrespective of the number of tumours of deposits within each site. In the case of bone metastases, information about the number, the localization, and the radiographic morphology was obtained from the radiology reports.

The incidence of metastases in a specific anatomical site was evaluated in the following 5 ways: (1) at the time of first recurrence, (2) as the only site at first recurrence, (3) at the time of evaluation, (4) as the only site of recurrence at the time of evaluation, and (5) within the first year after mastectomy.

The period of follow up was defined as the time from mastectomy until the date of evaluation (autumn 1984). The recurrence-free interval (RFI) and the overall survival (OS) were calculated from mastectomy until the date of recurrence (RFI) or death (OS). The survival after recurrence (SAR) was defined as the time from first recurrence to death. The tumour growth rate was estimated from life table analyses as the time to progression. The time to progression was defined as the interval from initial recurrence in a single distant site until detection of other distant metastases. Thus, the three year actuarial proportions of patients with subsequent metastasis were used as measurements for comparisons of progression rates between DA = I, II, and III. When comparing the SR contents, we used the two year actuarial proportions, since the period of observation was shorter for the SR determined subgroup of patients (cf. above).

Comparisons of the frequencies of metastases were performed by the Chi-square or the rank t-test (Mann-Whitney rank sum test) for ordered categories (Bartolucci, 1984; Bross, 1954). The Mantel-Haenszel chi-square statistics extended for stratified data was used in order to control for the possible confounding effect of stage, DA, and SR (Kleinbaum et al., 1982). Actuarial life table analyses have been performed on all data concerning RFI, OS, SAR, and progression time. The log rank test was used to evaluate differences between survival curves (Peto et al., 1977). A two-tailed P value of < 0.05 was considered significant.

Results

Patient characteristics

The median time (range) of follow up from initial diagnosis was 4.9 years (2.0–7.0), and the median (range) period of observation after recurrence was 3.6 years (0.8–6.4). A total of 863 patients with clinical recurrence met the criteria of selection. Table I shows the distribution of these patients according to menopausal status, primary stage, and type of systemic adjuvant therapy.

Degree of anaplasia

The DA of the primary tumour was known in 743 patients (86%). Of these, 133 patients (18%) had grade I, 431 patients (58%) had grade II, and 179 patients (24%) had grade III tumours. Fourteen percent of the 863 patients with clinical recurrence could not be graded, because their tumours were not ductal.

Survival Patients with low differentiated tumours had a shorter OS than patients with higher differentiated tumours. The reduction in OS comprised both a reduction of RFI (P = 0.0001) and SAR (P = 0.0001) of stage II patients, whereas only RFI was effected in stage I patients (P = 0.02). The actuarial three year survival rates according to DA I, II, and III were 93%, 89%, and 89% in patients with stage I (P = 0.06), and 91%, 76%, and 63% in stage II patients (P = 0.0001). Grade III tumours occurred more often in patients with stage II disease than they did in stage I patients (P < 0.0001; rank t-test).

Metastatic pattern Most patients recurred initially in a single site. There were no differences in the number of metastatic sites between groups of patients with tumours of different DA's (Table II). The most common site of first metastasis was bone (36% of all patients with recurrence), followed by recurrence in lung (24%) and local skin (22%). The incidence of visceral metastases was increasing with increasing DA, whereas the distribution of soft tissue and bone metastases was unassociated with DA, both at the time of first recurrence (Figure 1) and at the time of evaluation.
Table II Distribution of patients according to number of metastatic sites at the time of first recurrence and degree of anaplasia of the primary tumour. N (%) indicates the number of patients with recurrence in each group

| Degree of anaplasia | Number of sites | I (%) | II (%) | III (%) |
|---------------------|----------------|-------|--------|---------|
| 1                   | 100 (75)       | 306 (71) | 123 (69) |
| 2                   | 22 (17)        | 82 (19)  | 32 (18)  |
| ≥ 3                 | 11 (8)         | 43 (10)  | 24 (13)  |
| TOTAL               | 133 (100)      | 431 (100) | 179 (100) |

P = 0.38 (Kruskal–Wallis test).

Figure 1 Anatomical distribution of metastases at the time of first recurrence according to degree of anaplasia (DA) of the primary tumour. Heights of the columns represent occurrence (%) of metastases in relation to the total number of patients (N) in each stratum.

(Figure 2). In patients with a single site of first recurrence, both recurrences of OLN and liver were more common in patients with DA = III tumours than in patients with tumours of lower DA’s (P < 0.05; data not shown). Moreover, in patients with a single site of metastases at the time of evaluation, only liver metastases occurred more often in patients with tumours of higher DA (P < 0.05; data not shown).

Temporal relations Two hundred and forty-eight (33%) of the 743 patients with clinical recurrence had their first recurrence within the first year after mastectomy. As expected, there was a significant trend for patients with tumours in higher DA to have recurrence earlier than patients with tumours of lower DA (P = 0.00002). The anatomical distribution of metastases among patients recurring within the first year after mastectomy is presented in Table III: OLN recurrences and liver metastases occurred more often among patients with DA = III tumours compared to patients with primary tumours of lower grades (P < 0.05).

Table III Distribution of patients with recurrence within the 1st year after mastectomy according to degree of anaplasia and anatomical site of recurrence. N indicates the total number of patients in each group

| Degree of anaplasia | I (N=32) | II (N=143) | III (N=73) |
|---------------------|----------|------------|------------|
| Local skin          | 6        | 3          | 17         |
| Other skin          | 2        | 6          | 7          |
| Regional lymph nodes| 4        | 25         | 13         |
| Other lymph nodes   | 0        | 8          | 13*        |
| Contralateral breast| 2        | 9          | 3          |
| Bone                | 10       | 58         | 13         |
| Lung                | 6        | 27         | 19         |
| Pleura              | 2        | 17         | 9          |
| Liver               | 1        | 21         | 14*        |
| Brain               | 1        | 3          | 2          |

*P < 0.05 (rank t test).

The progression time (i.e., interval between first and subsequent distant metastases) was shorter for patients with grade II and III tumours than for patients with grade I tumours. Thus, although the differences are not statistically significant, more than 40% of the grade II and III patients developed multiple distant metastases within 3 years compared to 21% of the grade I patients. There were no differences in type of adjuvant treatment and treatment of advanced disease among patients with different DA (P = 0.25) (Table IV).

Steroid receptor data

The ER content of the primary tumour was determined in 110 of the 863 patients; 35 patients (32%) had < 10 fmol/mg−1, 44 patients (40%) had 10–99 fmol/mg−1, and 31 patients (28%) had ≥ 100 fmol ER mg−1 cytosol protein. The PgR content was measured in 67 of the patients with recurrence; 29 patients (43%) had < 10 fmol/mg−1, 21 patients (31%) had 10–99 fmol/mg−1, and 17 patients (25%) had ≥ 100 fmol PgR mg−1 cytosol protein.

Survival Prolonged RFI (P = 0.001), SAR (P = 0.0050), and OS (P = 0.0004) were associated with increasing ER concentrations. The same pattern applied to PgR determined patients with respect to SAR (P = 0.0062) and OS (P = 0.00554), but not to RFI (P = 0.2155). (All P values derive from log rank tests: low vs. intermediate vs. high SR content, degree of freedom: 2). The SR content was comparable in patients with stage I and II tumours (ER: P = 0.82; PgR: P = 0.42; rank t-test).

Metastatic pattern The ER and PgR content of the primary tumour did not predict the number of metastatic sites either at the time of first recurrence (P = 0.47 and P = 0.35, respectively) or at the time of follow up (P = 0.44 and P = 0.10, respectively). (P values derive from rank t-tests: low SR content vs. intermediate or high SR content).

The anatomical distribution of metastases at the time of first recurrence is presented according to SR status in Figures 3 and 4. There was a propensity for receptor positive tumours to recur in bone (P < 0.05), while ER negative tumours more often occurred in lung, liver, and brain. When the ER data were analyzed semiquantitatively, it was found that while the incidence of contralateral breast tumours increased with increasing ER concentrations, visceral metastases occurred more often among patients with low ER content (Table V). These differences were also found at the time of evaluation. The anatomical distribution of metastases according to PgR content was in agreement with the ER data except for contralateral breast and lung (small numbers). Thus, although the numbers are small, the data show a tendency for tumours with high PgR content to recur in bone, and with low PgR content to recur in the liver. The
Table IV  Distribution of patients with a single distant site of recurrence according to the degree of anaplasia, type of therapy, and proportions of patients with progression within 3 years after recurrence

| Degree of anaplasia | Total no. of patients | Type of therapy | Three-year actuarial proportion of patients with progression (%) |
|---------------------|-----------------------|-----------------|---------------------------------------------------------------|
|                     |                       | ET* | CT* | ET+CT | Other |                                  |
| I                   | 74                    | 29  | 11  | 25    | 9     | (21)                              |
| II                  | 230                   | 85  | 18  | 91    | 36    | (42)                              |
| III                 | 89                    | 37  | 7   | 29    | 16    | (46)                              |

*ET=endocrine therapy; CT=chemotherapy.

Figure 3  Anatomical distribution of metastases at the time of first recurrence according to oestrogen receptor (ER) status of the primary tumour. For interpretation, see legend to Figure 1.

Figure 4  Anatomical distribution of metastases at the time of first recurrence according to progesterone receptor (PgR) status of the primary tumour. For interpretation, see legend to Figure 1.

The anatomical distribution of metastases was not associated with SR status in the group of patients recurring within the first year after mastectomy, and among patients with only one site of recurrence.

Thirty-seven (34%) of the ER and 23 (34%) of the PgR determined patients had bone metastases. More than 75% of these were located in the spine, and metastases were confined to a single bone region in more than half of the patients. The extent and location of bone metastases was not associated with the SR content (Table VI). Osteolysis, which was the most dominating radiographic morphology, occurred in 76% of the patients with bone metastases; osteosclerosis in 24%, and mixed bone metastases in 14% of the patients. Osteolytic metastases were found more often among patients with low or intermediate ER content than among patients with high ER content (P<0.05).

Progression time  The proportion of patients with a single distant recurrence who subsequently developed other distant metastases is presented according to the SR content in Table VII. The proportions are actuarial percentages of patients progressing within 2 years. The proportion increased with both decreasing ER (P=0.012) and PgR content (P=0.003). The treatment of these patients was not dependent on either the ER content (+/- chemotherapy: P=0.31; +/- endocrine therapy: P=0.24) or the PgR content (P=0.25 and P=0.58, respectively).

Discussion

We have investigated the clinical course of primary and recurrent breast cancer in relation to two established prognostic factors. The aim was first to confirm the impact of the DA and the SR content on prognosis. Secondly, it was the

Table V  Distribution of patients according to semiquantitative oestrogen receptor receptor (ER) content of the primary tumour and the anatomical sites of metastases at the time of first recurrence and at the time of evaluation. Figures are total number of patients in each group, N, with percentages in parentheses

| 1st recurrence | Follow up |
|----------------|----------|
| ER content, fmol/mg | |
| <10 | 10-99 | ≥100 | <10 | 10-99 | ≥100 |
| N (%) | N (%) | N (%) | N (%) | N (%) | N (%) |

| Sites of recurrences: | Number of patients with recurrence |
|------------------------|-----------------------------------|
| Skin, local            | 35 (100)                          |
| Skin, other            | 9 (26)                            |
| Regional lymph nodes   | 4 (11)                            |
| Other lymph nodes      | 5 (14)                            |
| Contralateral breast   | 2 (6)                             |
| Bone                   | 10 (29)                           |
| Lung                   | 2 (6)                             |
| Pleura                 | 7 (20)                            |
| Liver                  | 2 (6)                             |

Discussion

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Table VI Radiographic pattern of bone metastases according to the steroid receptor content of the primary tumour

| ER° content, fmol/mg^-1 | PgR° content, fmol/mg^-1 |
|-------------------------|--------------------------|
| <10                     | 10-99                    | >100                     |
| Total no. of patients   | 6                        | 20                       | 11                        | 8                         | 7                         | 8                         |
| No. of bone regions with metastases |
| single                  | 4                        | 11                       | 7                         | 4                         | 3                         | 5                         |
| multiple                | 2                        | 9                        | 4                         | 4                         | 4                         | 3                         |
| Localization within the skeleton |
| cranium                 | 0                        | 2                        | 3                         | 0                         | 0                         | 0                         |
| column                  | 5                        | 17                       | 8                         | 7                         | 6                         | 5                         |
| pelvis                  | 2                        | 11                       | 5                         | 4                         | 5                         | 3                         |
| thorax                  | 0                        | 8                        | 4                         | 1                         | 3                         | 2                         |
| extremities             | 1                        | 8                        | 5                         | 1                         | 4                         | 2                         |

*ER° = oestrogen receptor; *PgR° = progesterone receptor.

Table VII Steroid receptor content of the primary tumour according to the two-year actuarial cumulated proportion (ACP) of patients, who after initial recurrence in a single distant site developed additional metastatic sites

| Steroid receptor content, fmol/mg^-1 | ER° determined patients | PgR° determined patients |
|--------------------------------------|-------------------------|--------------------------|
|                                      | N°          | ACP %        | N°          | ACP %        |
| <10                                  | 21          | 44           | 15          | 50           |
| 10-100                               | 28          | 15           | 13          | 14           |
| >100                                 | 17          | 0            | 13          | 8            |

P (log rank) 0.012 0.029

*N° = number of patients.

Figure 5 Line diagram showing that the mechanisms of action of prognostic factors can be ascribed to chronological and biological circumstances: Biological differences may manifest themselves by variations in pattern of metastases or in growth rate. The parameters used in this study to evaluate biological differences are shown below the dotted line.
and SAR compared to patients with either high differentiated or receptor rich tumours. This indicates that differences in the status of either DA or SR reflect tumours with different growth rates, and it is further supported by the findings of progression times, which vary with both DA and SR content. The reduced growth rate with increasing SR content may partly be explained by increasing response rates and response durations for patients who received tamoxifen therapy. However, it seems likely that the SR content also reflects basic growth rate, since the proliferative rate, estimated by the thymidine labelling index, TLI, increases with decreasing SR and increasing DA (Meyer et al., 1986; Adami et al., 1985) and since the TLI is related to prognosis (Strauss et al., 1982).

Patients with different stages of primary breast cancer are supposed to have tumours of different ages (Kamby et al., 1987). Thus, stage of disease may be regarded as a chronological prognostic factor. Higher grades of anaplasia were more common in patients with stage II than stage I tumours. The influence of DA on prognosis may, therefore, also contain an element of tumour chronology. In contrast, since the SR content of the primary tumour was not associated with stage, the impact on survival of SR content does not seem to include differences in tumour age.

In recurrent breast cancer, the prognosis (i.e., the SAR) is among other factors influenced by the anatomical location and the number of recurrences (Vincent et al., 1986; Hietanen et al., 1986). Since SAR in the present study was related to both DA and SR content of the primary tumour, it seems plausible that the influence on prognosis of these factors may be mediated through variations in the pattern of dissemination. However, since the number of anatomical regions with metastases was unassociated with both DA and SR content, it is unlikely that the effect on SAR is mediated through differences in the extent of dissemination. When analyzing the distribution of metastases, however, it was found that initial signs of poor prognosis also reflect the appearance of metastases in organs where lethality would be greater (i.e., brain, liver, and lung), and that receptor positive patients had a propensity for developing bone metastases compared to receptor negative patients. The increased incidence of liver metastases among patients with low differentiated tumours confirms the findings of Bunting et al. (1976) and Coleman & Rubens (1987). Moreover, the literature shows that ER negative tumours preferentially metastasize to viscera (Campbell et al., 1981; Qazi et al., 1984; Singhakwinta et al., 1980; Samaan et al., 1981), while ER positive tumours metastasize to bone (Campbell et al., 1981; Qazi et al., 1984; Singhakwinta et al., 1980; Walt et al., 1976, Stewart et al., 1981; Clark et al., 1987; Williams et al., 1987). The different metastatic patterns of tumours with varying degrees of tumour differentiation and SR content support experimental data concerning clonal evolution and metastasis. According to these, the appearance of clinical metastases is the end result of a process, where selection, adaptation, and growth of tumour cells in various organs lead to progressive heterogeneity between both the primary tumour and the metastases, and between metastases in various organs (Nowell, 1976; Poste & Fidler, 1980). Thus, the propensity of ER positive tumours to recur in the contralateral breast may suggest a growth lead of these tumours compared to ER negative tumours. Moreover, as the hormonal microenvironment of the contralateral breast is expected to facilitate growth of ER rich tumour cells, tamoxifen treatment may reverse this. In accordance with this view, a reduced incidence of contralateral breast tumours was found in tamoxifen treated patients from the NATO trial (Cuzick & Baum, 1985).

Why SR rich tumours tend to recur more often in bone is not known. Since ER positive tumour cells are capable of inducing osteolysis in vitro (Valentim-Opran et al., 1985), these cells may have a survival advantage in the bone system when compared to ER negative cells. In the present study, radiographic osteolysis was more often found in ER negative patients. Since endocrine therapy inhibits the growth of SR rich tumours, and since osteosclerosis may be an indicator of tumour cell response to endocrine therapy (Coombes et al., 1983), the radiographic appearance of osteosclerotic bone metastases in SR positive patients and the appearance of osteosclerosis in SR negative patients are compatible with the present findings.

The inclusion of tamoxifen treated patients in the current study probably does not introduce bias, because randomization resulted in equal SR contents in tumours of treated and untreated patients. Because of low number of receptor determined patients it was not possible to analyze the metastatic pattern in relation to both the type of adjuvant systemic treatment and SR status at the same time. We have, however, previously reported (Kamby et al., 1986a) that failures of adjuvant tamoxifen treatment often involve appearance of lung metastases. This is in agreement with the present results, since ER negative tumours disseminate to lung more often than do ER positive tumours.

In conclusion, it is most likely that the influence on prognosis of tumour grade and SR content works through multiple 'mechanisms'. Of these, biological growth properties such as growth rate and metastatic pattern are probably of greatest importance. The acknowledgement of site specific differences in SR content has implications for rational application of endocrine therapy. Thus, one should not regard patients as either receptor positive or negative, based on primary tumour determinations only. Instead, SR measurements should be performed on metastases in various locations before deciding on treatment.

Supported by a grant from the Danish Medical Research Council no. 12-6006.

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