Therapeutic Effect Of First-Line EGFR-TKIs Combined With Concurrent Cranial Radiotherapy On NSCLC Patients With EGFR Activating Mutation And Brain Metastasis: A Retrospective Study

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Purpose: Non-small cell lung cancer (NSCLC) patients with EGFR mutation are suffering from a high incidence of brain metastasis (BM). It is still controversial whether cranial radiotherapy could be delayed when the EGFR-tyrosine kinase inhibitors (TKIs) used as first-line therapy for EGFR-positive patients with BM. This study aims to investigate the therapeutic effect of TKIs combined with concurrent cranial radiotherapy on BM.

Patients and methods: NSCLC patients with EGFR mutation and BM were retrospectively analyzed from January 2013 to December 2016 in Shandong Cancer Hospital. Identified cases were treated with first-line EGFR-TKIs with or without concurrent cranial radiation.

Results: A total of 64 eligible patients were enrolled in this study, while 35 patients received first-line EGFR-TKIs plus cranial radiotherapy (RT+TKI group) and 29 patients received first-line EGFR-TKIs only (TKI alone group). The intracranial progression-free survival (PFS) of the RT+TKI group was significantly longer than the TKI alone group (25 vs 16 months; \( p = 0.019 \)), but no significant differences were observed between the two groups on extracranial PFS (20 vs 17 months, \( p = 0.660 \)). The median overall survival was also longer in the RT+TKI group (31 vs 24 months, \( p = 0.019 \)).

Conclusion: Our retrospective data suggest that first-line TKIs plus concurrent cranial radiotherapy is a promising therapeutic strategy that led to remarkable intracranial PFS improvement and survival benefits for EGFR-mutant NSCLC with BM. Hence, it should be considered as a crucial treatment method during clinical management.

Keywords: non-small cell lung cancer, EGFR mutation, brain metastasis, radiotherapy, tyrosine kinase inhibitor

Introduction

Lung cancer continues to be the most common cancer (11.6% of all cases) and the leading cause of mortality (18.4% of the total cancer deaths).¹ The 5-year survival rate of lung cancer is less than 10% in patients with advanced disease.¹ Non-small cell lung cancer (NSCLC) accounts for approximately 85% of lung cancers.² EGFR positive mutations are key drivers of NSCLC, especially in adenocarcinoma, which occurred in 47.9% Asian patients and 19.2% Western patients.²,³ With the
development of EGFR-tyrosine kinase inhibitors (TKIs),
patients tend to have survival benefits with EGFR muta-
tion. However, NSCLC patients with EGFR mutations
suffering a higher incidence of brain metastasis (BM),
with a range of 44% to 63%.4

Cranial radiotherapy, including whole-brain radiation
therapy (WBRT), stereotactic radiosurgery (SRS) or
WBRT with simultaneous integrated boost (WBRT-SIB),
is the cornerstone treatment for patients with BM. The
choice of radiation regimen was dependent on the tumor
characteristics, such as the site of lesions, the number and
size of tumors.5,6 However, cranial radiotherapy, espe-
cially WBRT, causes central nervous system (CNS) tox-
icity like general weakness and lymphocytopenia. It also
causes long-term effects such as neurocognitive function
impaired, which mainly occur in elderly patients.7 A lower
quality of life after WBRT may trouble the patients. SRS
has also been used to treat BM, but is only applicable to
the situation of limited number and size of brain lesions.8

Considering the good effect of EGFR-TKIs in the
treatment of BM, it is notionally rational to treat BM of
EGFR-mutant NSCLC patients with EGFR-TKIs. The
clinical efficacy of EGFR-TKIs in NSCLC with BM has
been reported.9,10 In a Phase II trial, EGFR-mutant
NSCLC patients with BM had a longer intracranial pro-
gression-free survival (PFS) than those without EGFR
mutation (median PFS, 15.2 vs 4.4 months, p<0.02)
when treated with EGFR-TKIs.9 And in a prospective
study, EGFR-TKIs reached an intracranial disease control
rate of 93% (83% of patients getting a partial response,
11% getting stable disease).10

Preclinical data reported that EGFR-TKIs might have
synergistic effect with radiation therapy on tumor control.11,12
And some studies have pointed out that EGFR-TKIs can be
applied concurrently with cranial radiotherapy and gain good
curative effect.13 However, whether the concurrent combi-
nation of cranial radiotherapy and TKIs could bring curative
benefits is controversial. A recent Phase II study failed to
demonstrate any benefits of intracranial PFS and overall sur-
vival (OS) when concurrent WBRT and erlotinib compared
with WBRT alone in EGFR-mutant NSCLC patients with
multiple BM.14 The therapeutic effects of combination therapy
may relate to multiple factors, such as the applying time of
cranial radiotherapy. It has been discussed whether the time
of cranial radiotherapy could be delayed after TKI administra-
tion for EGFR-mutant NSCLC patients with newly developed BM
to avoid the serious neurological side effects.15,16 However, no
Phase III clinical trial has been published to show compelling
evidence.

The optimal management of BM in patients with
EGFR-mutant NSCLC is controversial. Aiming at finding
the therapeutic effect of concurrent cranial radiotherapy
combined with EGFR-TKIs, we compared the intracranial
PFS and OS following first-line TKIs treatments with and
without concurrent cranial radiotherapy in newly diag-
nosed EGFR-mutant NSCLC patients with BM.

Materials And Methods

Patients

We reviewed the clinical records of patients diagnosed with
lung adenocarcinoma and BM at Shandong Cancer Hospital
(Shandong, People’s Republic of China) between January
2013 to December 2016. The clinical records of these patients
included their demographic data, clinical characteristics,
tumor-related features, treatment modalities, and clinical out-
comes. Clinical characteristics included age, gender, smoking
status, and KPS at diagnosis. Tumor-related features com-
prised NSCLC histological types, EGFR/ALK mutation status,
treatments (including EGFR-TKIs and cranial radiotherapy),
gadolinium-enhanced magnetic resonance imaging (MRI)
findings and date of death or last follow-up. The inclusion
criteria were: 1) diagnosed with lung adenocarcinoma histo-
pathologically or cytologically; 2) BM identified by MRI; 3)
patients with sensitive EGFR mutations (19del and 21L858R);
4) first-line treatment of EGFR-TKIs; and 5) cranial radio-
therapy was conducted at the initial of TKI administration.
According to the treatment modality, all enrolled patients
were divided into 2 groups: first-line TKIs therapy combined
with concurrent cranial radiotherapy treatment group
(RT+TKI group) or first-line TKIs therapy alone group
(TKI alone group).

Treatment

EGFR-TKIs including erlotinib 150 mg daily, gefitinib 250
mg daily, and icotinib 125 mg 3 times daily were provided
orally at recommended doses. Cranial radiotherapy, which
should be conducted before progression, included WBRT,
WBRT-SIB, and SRS. The choice of WBRT, WBRT-SIB,
or SRS for brain RT was the decision of the multidisci-
plinary team ground on number of BM, patient’s age,
performance status, and other individual factors.

Clinical follow-up examinations were performed every
4 to 8 weeks, including image examination, physical
examination, and routine laboratory tests. PFS was defined
as the time from the initiation of EGFR-TKIs treatment until the time of progression or last follow-up visit (September 18, 2018). OS was defined as the time from the initiation of EGFR-TKIs treatment until the time of death or last follow-up visit.

Statistical Analysis
PFS and OS curve were calculated by Kaplan–Meier curve. Differences in intracranial progression between TKI alone group and TKI+RT group were compared using a Fine and Gray model (competing risks model).17 Extracranial progression was regarded as competing risk events in the intracranial progression of patients. Differences in OS were compared by log-rank test. Independent factors associated with OS or PFS were calculated by Cox proportional hazards regression. Statistical significance was defined as p<0.05 with 2 sides. SPSS 20.0 (Armonk, NY, USA) and R statistical software were used to conduct statistical analyses (R Foundation for Statistical Computing, Vienna, Austria).

Results
Patient Characteristics
According to the inclusion criteria, 64 eligible newly diagnosed EGFR-mutant NSCLC patients with BM treated with first-line EGFR-TKIs were enrolled in the retrospective study. The median age of patients was 53 years (range 36–81 years), 65.6% of them were female. Forty-seven patients (72.3%) were never smokers. Thirty-three patients (51.6%) had extracranial metastasis at the time of diagnosis. All the patients had 19del (30, 46.9%) or 21L858R (34, 53.1%) mutation. Determination of EGFR mutation was performed via a fragment analysis using PCR and the real-time quantitative PCR techniques. The patient characters are detailed in Table 1. Of the 64 EGFR-mutated oligometastatic NSCLC patients, 35 received TKIs plus concurrent cranial radiotherapy (10 with WBRT, 13 with WBRT-SIB, and 12 with SRS), while the other 29 cases received first-line TKI alone. The patient’s characteristics were balanced between the two groups (Table 1).

All patients were stage IV lung adenocarcinoma with BM when diagnosed. The choice of the dose-fractionation regimen was made by the treating radiotherapist, with curative intent when possible. Accepted definitive radiotherapy included SRS (30 to 45Gy in 5 to 10-fraction), WBRT (30 Gy in 3-Gy fractions), and WBRT-SIB (30Gy for the whole brain and 50–60Gy for BM).

Survival Outcomes
The median follow-up duration time was 30.5 months. Of the 64 patients, 21 patients (32.8%) were alive without evidence of disease progression, and 25 (39.1%) were dead due to disease progression, intracranial progression was detected in 32 patients (50.0%). The median intracranial PFS in RT+TKI group was 25 months and 16 months in TKIs alone group (p=0.019, Gray test, Figure 1A). No significant differences were observed between the two groups regarding extracranial PFS (20 vs 17 months, p=0.660, Gray test, Figure 1B). In univariate analysis of all patients included, cranial radiation

Table 1 Baseline Demographic Data Of 64 Patients And Comparison Of Clinical Characteristics Between 2 Groups

| Characteristic                           | RT+TKI (N=35) | TKI (N=29) | P     | Total |
|-----------------------------------------|---------------|------------|-------|-------|
| Age (years)                             |               |            |       |       |
| <65                                      | 30 (85.7)     | 25 (86.2)  | 0.955 | 55 (85.9) |
| ≥65                                      | 5 (14.3)      | 4 (13.8)   | 9 (14.1) |
| Sex                                      |               |            |       |       |
| Male                                     | 14 (40)       | 8 (27.6)   | 0.298 | 22 (34.4) |
| Female                                   | 21 (60)       | 21 (62.4)  |       | 42 (65.6) |
| Smoking status                           |               |            |       |       |
| Smoker                                   | 8 (22.9)      | 9 (31)     | 0.461 | 17 (26.6) |
| Never-smoker                             | 27 (77.1)     | 20 (69)    |       | 47 (73.4) |
| EGFR mutation status                     |               |            |       |       |
| 19del                                    | 16 (45.7)     | 14 (48.3)  | 0.838 | 30 (46.9) |
| 21L858R                                  | 19 (54.3)     | 15 (51.7)  |       | 34 (53.1) |
| Performance status                       |               |            |       |       |
| 0-1                                      | 32 (91.4)     | 25 (86.2)  | 0.505 | 57 (89.1) |
| 2                                        | 3 (8.6)       | 4 (13.8)   |       | 7 (10.9) |
| Others                                   | 8 (23.5)      | 5 (17.2)   |       | 13 (19.7) |
| T status                                 |               |            |       |       |
| T1-2                                     | 18 (51.4)     | 15 (51.7)  | 0.981 | 33 (51.6) |
| T3-4                                     | 17 (48.6)     | 14 (48.3)  |       | 31 (48.4) |
| Nodal status                             |               |            |       |       |
| N0-1                                     | 11 (31.4)     | 7 (24.1)   | 0.518 | 18 (28.1) |
| N2-3                                     | 24 (68.6)     | 22 (75.9)  |       | 46 (71.9) |
| Extracranial metastasis                  |               |            |       |       |
| Yes                                      | 19 (54.3)     | 14 (48.3)  | 0.632 | 33 (51.6) |
| No                                       | 16 (45.7)     | 15 (51.7)  |       | 31 (48.4) |
| No. of brain metastasis                  |               |            |       |       |
| ≤3                                       | 21 (60)       | 17 (65.5)  | 0.885 | 38 (59.4) |
| >3                                       | 14 (40)       | 12 (43.8)  |       | 26 (40.6) |
Figure 1 Survival outcomes of the patients. (A) Intracranial PFS of 2 groups for all patients, 25 vs 16 months, \( p=0.019 \), Grey test. (B) Extracranial PFS of 2 groups for all patients, 20 vs 17 months, \( p=0.660 \), Grey test. (C) OS of 2 groups for all patients, 31 vs 24 months, \( p=0.019 \), log-rank test.
therapy (HR=0.465; 95% CI: 0.224–0.965; p=0.040) is the only factor that significantly associated with longer intracranial PFS (Table 2). And multivariate analysis showed that cranial radiation therapy (HR=0.433; 95% CI: 0.207–0.905; p=0.026, Table 2) is an independent prognostic factor for PFS.

For the whole group, the median OS is 27 months. The median OS was longer in the RT+TKIs group (31 vs 24 months, p=0.019, Figure 1C) than that in TKIs alone group. 70.1% of patients in RT+TKIs group were alive 2 years later from the initial of the therapy. However, the 2-year survival rate of TKIs alone group is only 38.1%. Univariate analysis showed cranial radiotherapy plus TKI (HR=0.381, 95% CI: 0.164–0.887 P=0.025, Table 3) conduces to significantly longer OS than TKIs alone. OS was significantly related to cranial radiotherapy in multivariate analyses (HR=0.397, 95% CI: 0.169–0.932, p=0.034, Table 3). No statistic difference of whether the number of brain metastases has influence on survival was observed in this study (HR=0.481, 95% CI: 0.185–1.246, p=0.132, Table 3).

**Discussion**

Patients with EGFR-positive mutation were reported to have more risk suffering from BM, which may cause poor prognosis compared with other sites of metastasis. For the NSCLC patients with BM, radiotherapy has been considered the standard treatment. However, CNS toxicity effects such as neurocognitive dysfunction and memory loss often restrain patients from receiving adequate irradiation dose and further therapy. With the impressive effect that TKIs therapy achieved in EGFR-positive NSCLC patients, the status and the applying time of cranial radiotherapy have attracted a lot of debate in this cohort of patients. In this study, we observed whether the concurrent cranial radiotherapy could have a better

| Variable | Univariable Analysis | Multivariable Analysis |
|----------|----------------------|------------------------|
|          | HR       | 95% CI       | p       | HR      | 95% CI       | p       |
| Age      | 0.732    | 0.220–2.441  | 0.612   |         |            |          |
| Sex      | 1.479    | 0.692–3.159  | 0.312   | 1.416   | 0.641–3.131 | 0.390   |
| Smoking status | 1.416     | 0.641–3.131  | 0.390   |         |            |          |
| EGFR mutation status | 1.004    | 0.495–2.036  | 0.991   |         |            |          |
| Performance status | 0.787    | 0.353–1.753  | 0.557   |         |            |          |
| T status | 0.954    | 0.516–1.766  | 0.881   |         |            |          |
| Nodal status | 1.314    | 0.657–2.629  | 0.440   |         |            |          |
| Extracranial metastasis | 0.672    | 0.324–1.391  | 0.284   |         |            |          |
| No. of brain metastases | 0.539    | 0.242–1.202  | 0.131   | 0.485   | 0.207–0.905 | 0.078   |
| Treatment | 0.465    | 0.224–0.965  | 0.040   | 0.433   | 0.207–0.905 | 0.026   |
efficiency when combined with first-line TKIs. Clinical benefits of patients were observed in both intracranial PFS and OS when treated with concurrent cranial radiotherapy and TKIs therapies.

Our results are in conformity to previous studies that reported the cranial radiotherapy to BM of NSCLC patients could prolong intracranial PFS. Consistent with the existing studies, the intracranial median PFS of patients with BM in the TKI alone group of our study was 16 months while the median PFS of RT +TKI group reached 25 months. The blood–brain barrier is the main interface between circulating blood and CNS, and it selectively limits many substances, including TKIs drugs, from entering the brain. It has also been reported that TKI increases the radiosensitivity in EGFR-positive tumor cells. This may explain the benefit of radiotherapy combined with TKI in patients with BM of this study.

The survival benefits of cranial radiotherapy plus TKIs are controversial. Chen et al compared 79 patients received TKIs alone therapy with 53 received TKIs plus cranial radiotherapy. The intracranial PFS was significantly improved in TKIs plus cranial radiotherapy (median, 24.7 vs 18.2 months, \( p=0.004 \)). However, they failed to observe significant benefits in OS (median, 48.0 vs 41.1 months; \( p=0.740 \)). Jiang’s study also reported that cranial radiotherapy plus TKIs did not result in a survival benefit compared with TKIs alone in EGFR-mutant NSCLC patients with BM. However, there were also other studies reported concurrent radiotherapy of BM plus TKIs for NSCLC produced longer OS. In our study, we also observed survival benefits in cranial RT+TKI group (31 vs 24 months, \( p=0.019 \), Figure 1). The prognosis of patients with BM related to multiple factors, such as the metastatic site, whether it results in symptoms, the number and diameter of the metastasis and so on. In this study, we observed the simple PFS with

### Table 3 Univariate And Multivariate Analysis Of Overall Survival In Patients Treated With TKI Alone Or With Cranial Radiotherapy, According To The Cox Regression Model

| Variable                          | Univariable Analysis | Multivariable Analysis |
|-----------------------------------|----------------------|------------------------|
|                                   | HR       | 95% CI    | \( p \) | HR       | 95% CI    | \( p \) |
| Age                               |          |           |        |          |           |        |
| <65 vs 65                         | 1.317    | 0.378–4.589 | 0.665 |          |           |        |
| Sex                               |          |           |        |          |           |        |
| Male vs female                    | 2.031    | 0.807–5.112 | 0.133 |          |           |        |
| Smoking status                    |          |           |        |          |           |        |
| Smoker vs never-smoker            | 1.299    | 0.532–3.171 | 0.565 |          |           |        |
| EGFR mutation status              |          |           |        |          |           |        |
| 19del vs 21L858R                   | 1.063    | 0.462–2.446 | 0.886 |          |           |        |
| Performance status                |          |           |        |          |           |        |
| 0-1 vs 2                          | 1.375    | 0.508–3.718 | 0.531 |          |           |        |
| T status                          |          |           |        |          |           |        |
| T1-2 vs T3-4                      | 1.151    | 0.511–2.596 | 0.734 |          |           |        |
| Nodal status                      |          |           |        |          |           |        |
| N0-1 vs N2-3                      | 0.989    | 0.385–2.536 | 0.981 |          |           |        |
| Extracranial metastases           |          |           |        |          |           |        |
| Yes vs no                         | 1.544    | 0.652–3.655 | 0.324 |          |           |        |
| No. of brain metastases           |          |           |        |          |           |        |
| \( \leq 3 \) vs >3                | 0.437    | 0.168–1.134 | 0.089 | 0.481    | 0.185–1.246 | 0.132 |
| Treatment                         |          |           |        |          |           |        |
| TKI vs TKI+LCR                    | 0.381    | 0.164–0.887 | 0.025 | 0.397    | 0.169–0.932 | 0.034 |

Abbreviations: BM, brain metastasis; TKIs, tyrosine kinase inhibitors; WBRT, whole-brain radiation therapy; SRS, stereotactic radiosurgery; WBRT-SIB, WBRT with simultaneous integrated boost; CNS, central nervous system; OS, overall survival; PFS, progression-free survival.
several studies but opposite results of survival. The main difference between our study and this study is that the regimen of cranial radiotherapy. Most of the patients received SRS and WBRT-SIB in our study, which could reach a therapeutic dose without distinct neurotoxicity.

In addition, the timing of cranial radiotherapy applied in the treatment of EGER-positive NSCLC with BM gets debated. Several meta-analyses discussed that for EGFR-mutated NSCLC patients with newly developed BMs, whether the time of cranial RT could be delayed after TKIs administration alone to avoid the serious neurological side effects. However, no Phase III clinical trials has been published to show compelling evidence. Due to the limitation of clinical data, the meta-analysis did not provide a definite conclusion. Ground on the currently available evidence, we can only draw a conclusion that EGFR-mutant NSCLC patients with BM may have better OS and PFS when they receive up-front cranial radiotherapy plus TKIs than TKIs alone. In our study, cranial radiotherapy was applied concurrently with the first-line TKI therapy before progression. This therapeutic regimen prolonged both intracranial PFS and OS significantly, which provides compelling evidence for the benefits of early applying of cranial radiotherapy in EGFR-mutated NSCLC patients with newly developed BMs.

We have the following limitations in this study. Firstly, the enrolled patients in our study were relatively small and they were all from a single institution. Secondly, the study was a retrospective study and some data are not available, which might cause selection bias.

**Conclusion**

Our retrospective data suggest that first-line TKIs plus early cranial radiotherapy is a promising therapeutic strategy that led to remarkable intracranial PFS improvement and survival benefits for EGFR-mutant NSCLC with BM. Hence, it should be considered as an important medical treatment during clinical management.

**Ethical Approval**

Our study was approved by the Ethics Committee of Shandong Cancer Hospital. All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the Helsinki declaration.

**Informed Consent**

Verbal informed consent was obtained from all individual participants included in this study; for this type of study, written informed consent is not required.

**Acknowledgment**

The authors would such as to express their great thanks to the Innovation Project of the National Key R&D Program of China.

**Disclosure**

The authors report no conflicts of interest in this work.

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