Lie symmetries of a generalized Kuznetsov-Zabolotskaya-Khoklov equation

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Abstract

We consider a generalized Kuznetsov-Zabolotskaya-Khoklov (gKZK) equation and determine its equivalence group which is then used to give a complete symmetry classification of the equation. The infinite-dimensional symmetry is used to transform the equation to a one-dimensional one. A special attention is paid to group-theoretical properties of generalized dispersionless KP (gdKP) or Zabolotskaya-Khoklov equation as a subfamily of the gKZK equation. The conditions are established under which the equation is invariant under a Lie algebra containing the Virasoro algebra as a subalgebra. This occurs if and only if it is completely integrable.

1 Introduction

The Kuznetsov-Zabolotskaya-Khoklov equation (also known as 2+1 dimensional Burgers equation)

\[(u_t + uu_x - u_{xx})_x - u_{yy} = 0\] (1.1)

was originally derived in [1, 2] as a model for the description of nonlinear acoustic beams. Later it has been used to deal with finite-amplitude compressional waves in solids. For several physical applications of (1.1) and its dispersionless version over the last two decades or so see for example [3, 4]. A brief review of the works devoted to symmetries, Painlevé analysis, Baecklund transformations and exact solutions can be found in Ref. [5].

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A dispersionless version of (1.1) is the Zabolotskaya-Khoklov equation, also called dispersionless Kadomtsev-Petviashvili (dKP) equation
\[(u_t + uu_x)_x - u_{yy} = 0.\] (1.2)
It first appeared in the study of unsteady motion in transonic flows, and then in the acoustic context. Equation (1.2) is known to enjoy all the integrability properties of a typical integrable equation. It is integrable by inverse scattering technique, has a Lax pair. It is also invariant under a Kac-Moody-Virasoro algebra isomorphic to that of KP equation [5]. Recently, a variable coefficient generalization of (1.2) in the form
\[(u_t + p(t)uu_x)_x - u_{yy} = 0\] (1.3)
is introduced in [6] as the $U(1)$-invariant null Kähler Einstein condition. We shall show in Section 2 that it can be possible only to normalize to a constant, say 1 when the coefficient $p(t)$ in (1.3) is a special power function. It also turns out that (1.3) is completely integrable only for this very special value of the coefficient.

The purpose of this paper is to carry out a Lie symmetry analysis of a generalized version of (1.1) obtained by allowing its coefficients to be arbitrary functions of time in the form
\[(u_t + p(t)uu_x + q(t)u_{xx})_x + \sigma(t)u_{yy} = 0,\] (1.4)
where $p$, $q$ and $\sigma$ are arbitrary nonzero functions. The case $q = 0$ will be investigated separately. This type of generalization can usually be considered as manifestation of a more realistic model for variable geophysical conditions. A study towards analyzing symmetries and invariant solutions of a special case of (1.4), where only a single coefficient is present,
\[(u_t + uu_x - u_{xx})_x + \sigma(t)u_{yy} = 0, \quad \sigma \neq 0\] (1.5)
appeared in Ref. [7, 8]. Drawback with these papers is the lack of an effective use of the notion of equivalence transformations. As a result, the list of equations obtained in the classification may contain some redundant classes which otherwise would not be so apparent to detect without recourse to them. These transformations have the advantages of identifying equations with isomorphic symmetry algebras that can be transformed to each other. One of the motivations of this article is to emphasize the role they play in different applications including picking out classes of variable coefficient equations transformable to their constant coefficient counterparts.

The organization of the article is as follows. In Section 2 we derive the equivalence group, in Section 3 we use the standard algorithm to find the symmetry vector field with coefficients satisfying an ODE and then continue to determine the forms of symmetry algebras and the invariant equations for the gKZK equation of (1.4). We also look at group-theoretical properties of the generalized dKP (dispersionless Kadomtsev-Petviashvili) equation. We specify the most general conditions for the equation to be invariant under an arbitrary parametrization of time. We show that this can happen if and only if the equation can be transformed into the dKP equation itself. In Section 4 a reduction to one-dimensional generalized (variable coefficient) Burgers equation is performed using the infinite-dimensional algebra as an application.
2 Equivalence transformations

Equivalence transformations have been used very effectively as an essential instrument in symmetry classification of differential equations as well as discrete ones depending on unspecified functions of independent, dependent variables and their derivatives in general. Lie group classification problems based on this approach abound in the literature; see for example [9] for prototype examples of several PDEs, [10, 5, 11] for applications to 2+1-dimensional variable coefficient evolution equations, [12] for 1+1-dimensional general evolution equations and [13, 14] for generalized discrete differential-difference equations. An equivalence transformation (also called allowed or form-preserving transformation) is one taking an equation from a given class of equations depending on arbitrary functions to another equation in the same class, but possibly with different arbitrary functions, coefficients here. The set of all invertible smooth equivalence transformations forms a Lie group called the equivalence group of the equation. Symmetry is an equivalence transformation preserving also the arbitrary functions.

Basically, there are two different approaches for finding the equivalence group. The first one is the infinitesimal method. Once a Lie algebra of equivalence vector fields acting not only on independent and dependent variables but also on parameter (coefficient) functions as new dependent variables has been found by the usual prolongation process, it can be integrated to a local equivalence group. The second one is the direct or global method in which the equivalence group is searched by applying the most general local point transformations

\[ u = U(\tilde{x}, \tilde{y}, \tilde{t}, \tilde{u}), \quad x = X(\tilde{x}, \tilde{y}, \tilde{t}, \tilde{u}), \quad y = Y(\tilde{x}, \tilde{y}, \tilde{t}, \tilde{u}), \quad t = T(\tilde{x}, \tilde{y}, \tilde{t}, \tilde{u}), \]  

(2.1a)

with nonvanishing total Jacobian determinant

\[ J = \frac{D(X, Y, T, U)}{D(\tilde{x}, \tilde{y}, \tilde{t}, \tilde{u})} \neq 0, \]  

(2.1b)

to the original equation and requiring that the transformed equation expressed in tildes should have exactly the same form as the initial one. The new coefficients in tildes will generally be different from the old ones induced by the transformations. When they happen to coincide \((\tilde{p}(\tilde{t}) = p(\tilde{t}), \tilde{q}(\tilde{t}) = q(\tilde{t}), \tilde{\sigma}(\tilde{t}) = \sigma(\tilde{t}))\) the equivalence transformations are nothing else but the Lie point symmetries.

The question of which method is more advantageous depends on the complexity of the structure of the equation. In our case, we find it more convenient to use the second method to construct the equivalence group of (1.4). It is easy to extract it from the results of Ref. [5], where a more general form of (1.4) involving other derivatives with coefficients depending on a single variable \(t\) or both \(t\) and \(y\) was studied. To this end, we simply specialize some coefficients to functions of \(t\) alone, then the rest to zero and obtain the following result:

\[ u(x, y, t) = R(t)\tilde{u}(\tilde{x}, \tilde{y}, \tilde{t}) - \frac{\dot{\alpha}}{\alpha p}x + S(y, t), \]

\[ \tilde{x} = \alpha(t)x + \beta(y, t), \quad \tilde{y} = Y(y, t), \quad \tilde{t} = T(t), \]  

(2.2)

\[ \alpha \neq 0, \quad R \neq 0 \quad Y_y \neq 0, \quad \dot{T} \neq 0, \]
where the functions $\alpha, \beta, Y, R, S$ must be chosen as consistent solutions of the system of PDEs

\begin{align*}
\sigma Y_{yy} &= 0, \\
2\sigma \beta_y Y_y + \alpha Y_t &= 0, \\
\beta_t \alpha + pS\alpha^2 + \sigma \beta_y^2 &= 0, \\
-R\dot{\alpha} + \dot{R} \alpha + \sigma R \beta_{yy} &= 0, \\
-\frac{d}{dt} \left( \frac{\dot{\alpha}}{\alpha p} \right) + \frac{1}{p} \left( \frac{\dot{\alpha}}{\alpha} \right)^2 + \sigma S_{yy} &= 0,
\end{align*}

where the dots in (2.2)-(2.3) and elsewhere will denote derivatives with respect to $t$. In other words, transformations (2.2) take equations from the initial class (1.4) to those having exactly the same differential structure but with the new coefficients (written in tildes). The nondegeneracy conditions in (2.2) follow from the Jacobian requirement (2.1b). Note that the transformations (2.2) are fiber-preserving or projectible because the new independent variables do not depend on $u$. Here, the new coefficients in the transformed equation satisfy

\begin{align*}
\tilde{p}(\tilde{t}) &= p(t) \frac{R \alpha}{\dot{T}}, \\
\tilde{q}(\tilde{t}) &= q(t) \frac{\alpha^2}{\dot{T}}, \\
\tilde{\sigma}(\tilde{t}) &= \sigma(t) \frac{Y_y^2}{\alpha T}.
\end{align*}

We are now in a state to ask whether we can normalize simultaneously $p$ and $q$ to 1 which is equivalent to requiring $\tilde{p}(\tilde{t}) = 1$, $\tilde{q}(\tilde{t}) = 1$ under the transformation (2.2). To achieve this normalization we choose the functions $R(t)$, $\alpha(t)$ and $T(t)$ in equation (2.2) so that for some $\delta \neq 0$ we have $Y_y = \delta(t)$ and

\begin{align*}
\dot{T}(t) &= q(t) \alpha^2(t), \\
R(t) &= \frac{q(t)}{p}. 
\end{align*}

The remaining functions figuring in (2.2) must satisfy the compatibility equations (2.3). It is straightforward to see that there exists such a transformation with coefficients given by

\begin{align*}
\beta &= \frac{1}{2} \omega(t) y^2, \\
\omega(t) &= -\frac{\alpha \dot{k}}{\sigma k}, \\
R &= k(t) \alpha(t), \\
k(t) &= \frac{q}{p}, \\
\delta(t) &= k^2(t), \\
Y &= \delta(t) y, \\
S &= -\frac{1}{p\alpha^2} \left( \frac{1}{2} \alpha \dot{\omega} + \sigma \omega^2 \right) y^2,
\end{align*}

where $\alpha$ satisfies a second order nonlinear ODE

\begin{equation}
-p \left( \frac{\dot{\alpha}}{\alpha p} \right)^2 + \left( \frac{\dot{\alpha}}{\alpha} \right)^2 + \left( \frac{\dot{k}}{k} \right)^2 + \frac{\dot{k}}{k} \left( \frac{\dot{\alpha}}{\alpha} - \frac{\dot{\sigma}}{\sigma} \right) - 2 \left( \frac{\dot{k}}{k} \right)^2 = 0.
\end{equation}

Setting $A(t) = \dot{\alpha}/\alpha$, this ODE is reduced to a Riccati equation

\begin{equation}
\dot{A} = A^2 + \frac{q}{\dot{q}} A + \left( \frac{\dot{k}}{k} \right)^2 - 2 \left( \frac{\dot{k}}{k} \right)^2 - \frac{\dot{\sigma}}{\sigma} \left( \frac{\dot{k}}{k} \right).
\end{equation}
Furthermore, \( T \) is obtained from the integration
\[
T(t) = \int \alpha^2(t)q(t) \, dt,
\]
and the action of the obtained equivalence transformation on \( \sigma \) is given by
\[
\tilde{\sigma}(\tilde{t}) = \frac{\delta^2}{q\alpha^3\sigma} = \frac{q^3}{p^4\alpha^3}\sigma.
\]
When \( \dot{k} = 0 \), i.e. \( p \) and \( q \) are proportional, a reparametrization of time \( t \to T(t) \) only will suffice for normalization and we have \( \tilde{\sigma} = q^{-1}\sigma \).

Under (2.2) together with (2.6) we obtain the normalized form of our initial equation (1.4)
\[
(u_t + uu_x + u_{xx})_x + \sigma(t)u_{yy} = 0, \quad \sigma \neq 0.
\]
From now on we will restrict our analysis to the subclass (2.8). The equivalence transformations of (2.8) are obtained now by setting \( p = q = 1 \) in (2.3) and solving for \( \alpha, \beta, T, Y \) and \( S \) again. First of all, from the normalization condition we must have \( R(t) = \alpha(t) \) and \( \dot{T} = \alpha^2 \). We then solve equations (2.3) and find
\[
Y(y, t) = Y_1y + Y_0(t), \quad (2.9a)
\]
\[
\beta(y, t) = -\frac{\alpha\dot{Y}_0}{2Y_1\sigma}y + \beta_0(t), \quad (2.9b)
\]
\[
S(y, t) = \frac{1}{2Y_1} \left( \frac{\dot{Y}_0}{\sigma} + \frac{\ddot{Y}_0 - \dot{Y}_0\sigma}{\sigma^2} \right) y - \frac{\dot{\beta}_0}{\alpha} - \frac{1}{4Y_1^2}\frac{\dot{Y}_0^2}{\sigma} \quad (2.9c)
\]
where \( Y_1 \neq 0 \) is a constant, \( Y_0(t), \beta_0(t) \) are arbitrary functions. Equation (2.7) is simplified to the elementary equation \( \ddot{A} = A^2 \) from which \( \alpha \) and hence \( T \) are obtained explicitly. Alternatively, \( T \) satisfies the special Schwarzian equation
\[
\frac{\ddot{T}}{T} - \frac{3}{2} \left( \frac{\dot{T}}{T} \right)^2 = 0,
\]
whose solutions generate Möbius transformations in \( t \). These transformations represent the Lie group \( \text{SL}(2, \mathbb{R}) \).

Summing up, we obtain

**Theorem 2.1** The maximal equivalence group \( G_E \) of the normalized equation (2.8) is infinite-dimensional and given by
\[
\begin{align*}
\tilde{t} &= T(t) = \frac{at + b}{ct + d}, \\
\tilde{x} &= \frac{\varepsilon\sqrt{\Delta}}{ct + d} x - \frac{\varepsilon\sqrt{\Delta}}{Y_1} \frac{\dot{Y}_0}{(ct + d)\sigma} y + \beta_0(t), \\
\tilde{y} &= Y_1y + Y_0(t), \\
u &= \frac{\varepsilon\sqrt{\Delta}}{ct + d} \tilde{u} + \frac{c}{ct + d} x + \frac{1}{2Y_1} \left( \dot{\frac{\dot{Y}_0}{\sigma}} - \frac{c}{ct + d} \frac{\dot{Y}_0}{\sigma^2} \right) y - \frac{1}{4Y_1^2} \frac{\dot{Y}_0^2}{\sigma} - \frac{\varepsilon}{\sqrt{\Delta}}(ct + d)\beta_0, \\
\tilde{\sigma} &= \varepsilon\Delta^{-3/2}Y_1^2(ct + d)^3\sigma,
\end{align*}
\]
(2.10)
where $\varepsilon = \pm 1$, $a$, $b$, $c$, $d$ arbitrary constants, $\Delta = ad - bc > 0$ and $Y_0(t)$, $\beta_0(t)$ are arbitrary functions.

Remarks:

1. The quadruple of real numbers $(a, b, c, d)$ in (2.10) is defined up to a nonzero multiplier so that one can set $\Delta = 1$ with no loss of generality.

2. $G_\mathbf{E}$ depends on $\sigma$. For $Y_0 = \text{const.}$ the equivalence group greatly simplifies and becomes independent of $\sigma$. This will be called the generic equivalence group, otherwise nongeneric one.

3. The new coefficient $\tilde{\sigma}(t)$ is related to $\sigma(t)$ by

$$
\tilde{\sigma}(t) = \varepsilon \Delta^{3/2}Y_1^2(ct + d)^{-3}\sigma \left(\frac{at + b}{ct + d}\right).
$$

An immediate conclusion of this relation is that the most general equation of the form (2.8) that can be transformed to its constant coefficient version should have the coefficient

$$
\sigma = \sigma_0(t + \kappa)^{-3}
$$

for some constants $\sigma_0 \neq 0$ and $\kappa$.

The special case of (1.4) for $q = 0$ (generalized dKP equation) can be treated by a similar argument. From the second condition of (2.4) we have $\tilde{q} = 0$. We try to solve the system (2.3) for the functions figuring in (2.2) such that $\tilde{p} = 1$, $\tilde{\sigma} = 1$. We find that this is possible only if the functions $p$ and $\sigma$ are related by

$$
p(t) = p_0 \left[ \int \sigma(t) \, dt - t_0 \right]^{-3/2} \sigma(t),
$$

where $p_0$, $t_0$ are constants. The relevant functions in the equivalence transformations are given by

$$
R = c_1 \left[ \int \sigma(t) \, dt - t_0 \right]^{-1/2}, \quad \alpha = 1,
$$

$$
Y = \delta(t)y + \nu(t), \quad \delta(t) = \delta_0 R^2,
$$

$$
\beta = -\frac{\dot{R}}{2\sigma R} y^2 + \beta_1(t)y + \beta_0(t),
$$

$$
S = -\frac{1}{p} \left[ (\dot{\beta}_1 + \frac{\dot{\nu}}{\sigma \delta R})y + \dot{\beta}_0 + \sigma \beta_1^2 \right], \quad \beta_1 = -\frac{\dot{\nu}}{2\sigma \delta},
$$

where $c_1$, $\delta_0$ are constants, and $\beta_0$, $\nu$ arbitrary functions. $T(t)$ is obtained from the relation $\dot{T} = pR$.

In particular, for $\sigma = 1$, we have $p = p_0(t - t_0)^{-3/2}$, i.e.

$$
(u_t + p_0(t - t_0)^{-3/2} uu_x)_x + uu_y = 0
$$

is equivalent to its standard form.
3 Lie point symmetries

3.1 Symmetries of gKZK equation

A general element of the symmetry algebra of (2.8) will have the form

$$V = \tau(x, y, t, u)\partial_t + \xi(x, y, t, u)\partial_x + \eta(x, y, t, u)\partial_y + \phi(x, y, t, u)\partial_u.$$  

(3.1)

From (2.10) one can actually assume a priori that $\tau = \tau(t)$, $\xi$ is linear in $x$, $\eta$ in $y$ and $\phi$ in $u$. Standard infinitesimal symmetry requirement which is expressed as the annihilation of (2.8) on the solution set by the third prolongation $p^{(3)}V$ of (3.1) gives the determining equations for the coefficients $\tau$, $\xi$, $\eta$ and $\phi$. The solutions of the elementary ones among them are obtained as

$$\tau = \tau(t), \quad \xi = \frac{1}{2} \dot{\tau}x + \theta(y, t), \quad \eta = \eta(y, t), \quad \phi = -\frac{1}{2} \dot{\tau}u + \frac{1}{2} \ddot{\tau}x + \theta_t$$

with the following constraints (determining equations)

$$\sigma \theta_{yy} = 0, \quad \sigma \eta_{yy} = 0, \quad \eta_t + 2\sigma \theta_y = 0, \quad \ddot{\tau} + 2\sigma \theta_y = 0, \quad \tau \dot{\sigma} + \left[ 3 \tau_2 t + 3 \tau_1 \right] \dot{\sigma} = 0.$$  

(3.2a)

(3.2b)

(3.2c)

(3.2d)

Solving the determining equations (3.2), except for the last one we obtain

$$V = \tau(t)\partial_t + \left( \frac{1}{2} \dot{\tau}x + \theta(y, t) \right)\partial_x + \eta(y, t)\partial_y + \left[ -\frac{1}{2} \dot{\tau}u + \frac{1}{2} \ddot{\tau}x + \theta_t \right]\partial_u,$$  

(3.3)

where

$$\tau(t) = \tau_2 t^2 + \tau_1 t + \tau_0, \quad \theta(y, t) = -\frac{\eta_0}{2\sigma} y + \xi_0(t), \quad \eta(y, t) = \eta_1 y + \eta_0(t).$$  

(3.4)

In (3.4), $\tau_0$, $\tau_1$, $\tau_2$ and $\eta_1$ are arbitrary constants and $\xi_0$, $\eta_0$ arbitrary functions. The remaining determining equation (3.2d) implies that the function $\sigma(t)$ must satisfy a first order ODE

$$\left( \tau_2 t^2 + \tau_1 t + \tau_0 \right) \ddot{\sigma} + \left[ 3 \tau_2 t + \frac{3 \tau_1}{2} - 2 \eta_1 \right] \dot{\sigma} = 0.$$  

(3.5)

The fact that (3.5) does not contain the functions $\xi_0$, $\eta_0$ suggests that the Lie point symmetry algebra is infinite-dimensional for any $\sigma$. Indeed, splitting (3.5) with respect to $\sigma$ and $\dot{\sigma}$ gives $\sigma = 0$ ($\tau_2 = \tau_1 = \tau_0 = 0$) and $\eta_1 = 0$. So we find that the infinite-dimensional symmetry algebra for $\sigma(t)$ arbitrary is represented by the vector field (with $f = \xi_0$, $g = \eta_0$)

$$V = X(f) + Y(g),$$  

(3.6a)
where \( f(t) \) and \( g(t) \) are arbitrary smooth functions. The commutation relations are

\[
[X(f_1), X(f_2)] = 0, \quad [X(f), Y(g)] = 0,
\]

\[
[Y(g_1), Y(g_2)] = X \left( \frac{1}{2\sigma}(g_1'g_2 - g_1g_2') \right).
\]

The symmetry algebra is an infinite-dimensional nilpotent Lie algebra which we denote by

\[
L = \{ X(f), Y(g) \}.
\]

Now we identify all possible cases when the symmetry algebra is larger than (3.8). We shall see that the algebra is extended at least by an additional basis element for other solutions (\( \tau \neq 0 \)) of (3.5). (3.5) has the structure

\[
(pt^2 + qt + r)\dot{\sigma} + (3pt + s)\sigma = 0
\]

for some parameters \( p, q, r, s \). Under the action of the Möbius transformations in \( t \) together with (2.11), eq. (3.9) remains form-invariant with the new coefficients

\[
\bar{p} = pd^2 - qcd + rc^2, \quad \bar{q} = -2pbd + q(ad + bc) - 2rac,
\]

\[
\bar{r} = pb^2 - qab + ra^2, \quad \bar{s} = \Delta s - 3(pbd - qbc + rac).
\]

These relations are defined up to a nonzero factor and the discriminant \( D = \tau_1^2 - 4\tau_0\tau_2 \) of the quadratic polynomial \( \tau \) is a relative invariant, i.e. \( \bar{D} = \bar{\tau}_1^2 - 4\bar{\tau}_0\bar{\tau}_2 = \Delta^2D \). The existence of such an invariant suggests that, with an appropriate choice of the linearly independent pairs \((a, b)\) and \((c, d)\), the triple \((p, q, r)\) can be transformed into one of the three inequivalent values depending on the sign of \( D \). The remaining parameter \( s \) can be rescaled to \( \pm 1 \) only when \( D = 0 \). The final list of inequivalent quadruples \((p, q, r, s)\) is given by

\[
\begin{cases}
(0, 1, 0, s_1), & D > 0, \\
(0, 0, 1, -1), & D = 0, \\
(1, 0, 1, s_2), & D < 0,
\end{cases}
\]

where \( s_1 \) and \( s_2 \) are nonzero constants and \( s_1 \geq 3/2, s_2 \geq 0 \). Once the simplified values of the parameters \((p, q, r, s)\) have been obtained, the corresponding ODE (3.9) is solved in each case. We then substitute them into (3.5) and solve for the constants \( \tau_0, \tau_1, \tau_2, \eta_1 \) figuring in the symmetry algebra.

Alternatively, using the fact that the vector field

\[
\bar{V} = (\tau_2t^2 + \tau_1t + \tau_0)\partial_t + (\tau_2t + \frac{\tau_1}{2})x\partial_x + \eta_1y\partial_y + [-((\tau_2t + \frac{\tau_1}{2})u + \tau_2x)]\partial_u,
\]
which will generate the additional symmetries is invariant under the equivalence transformations

\[
\begin{align*}
\tilde{t} &= \frac{at + b}{ct + d}, \quad \tilde{x} = \frac{x}{ct + d}, \quad \tilde{y} = Y_1 y \\
u &= \frac{\tilde{u}}{ct + d} + \frac{cx}{ct + d}, \quad ad - bc = 1,
\end{align*}
\]

(3.12)

we can simplify the symmetry vector field (3.11) and reobtain the same representative equations as above. The Möbius transformations act on the $t$-coefficient $\tau \partial_t$ of the vector field $\tilde{V}$ by conjugation. This means we can transform $\tau \partial_t$ which represents a general element of an $\text{sl}(2, \mathbb{R})$ algebra into one of its one-dimensional subalgebras: $t\partial_t$, $\partial_t$, $(t^2 + 1)\partial_t$ depending on the sign of the adjoint action invariant $D = \tau_1^2 - 4\tau_0\tau_2$ being positive, zero and negative, respectively.

In the following we sum up the additional symmetries:

1. $D > 0$: We obtain

   \[ \sigma(t) = \sigma_0 t^s. \]

   $\sigma_0$ can be rescaled to $\pm 1$ by a scaling transformation in $y$. The corresponding symmetry for $s \neq -3$ is

   \[ D(s) = 2t\partial_t + x\partial_x + \frac{(2s + 3)}{2}y\partial_y - u\partial_u. \]

   In the special case $s = -3$, there are two additional symmetries

   \[ D(-3) = 2t\partial_t + x\partial_x - \frac{3}{2}y\partial_y - u\partial_u, \quad C_0 \equiv C(0) = t^2\partial_t + xt\partial_x + (x - tu)\partial_u. \]

   Note that under an equivalence transformation (pure inversion in $t$) we have $s \rightarrow -(s + 3)$. This means that the case $s = -3$ is equivalent to $\sigma(t) = \sigma_0$. Note that under the transformation $\tilde{t} = -1/t$, $\tilde{x} = x/t$, $\tilde{y} = y$, $\tilde{u} = tu - x$ $D(-3)$ and $C_0$ get transformed to $D(0)$ (up to sign) and $T_0 = \partial_t$, respectively.

2. $D = 0$: We have $\sigma(t) = \sigma_0 e^t$, $\sigma_0 = \pm 1$. The corresponding symmetry is

   \[ T = \partial_t + \frac{1}{2}y\partial_y. \]

3. $D < 0$: We have

   \[ \sigma(t) = \sigma_0 (1 + t^2)^{-3/2} e^{s \arctan t}, \quad \sigma_0 = \pm 1. \]

   The additional symmetry is

   \[ C(s) = (1 + t^2)\partial_t + xt\partial_x + \frac{s}{2}y\partial_y + (x - tu)\partial_u. \]
Table 1: Symmetry classification of \([2,3] \sigma_0 = \pm 1\).

| \(N\) | \(\sigma(t)\) | Basis elements of symmetry algebra \(L\) |
|-------|---------------|---------------------------------------------|
| 1     | \(\forall\)   | \(X(f), Y(g)\)                             |
| 2     | \(\sigma_0\)  | \(X(f), Y(g), T_0, D_0\)                 |
| 3     | \(\sigma_0 t^s\) | \(X(f), Y(g), D(s), s \neq -3\)            |
| 4     | \(\sigma_0 e^t\) | \(X(f), Y(g), T\)                          |
| 5     | \(\sigma_0 (t^2 + 1)^{-3/2} e^{s \arctan t}\) | \(X(f), Y(g), C(s)\)                     |

Remarks:

1. Note that if \(\sigma = \sigma_0 = \pm 1\), then we have \(\tau_2 = 0\), \(\eta_1 = \frac{3}{4} \tau_1\) and \(\tau_0\) arbitrary. This gives two additional symmetry generators

\[
T_0 = \partial_t, \quad D_0 \equiv D(0) = 2t \partial_t + x \partial_x + \frac{3}{2} y \partial_y - u \partial_u.
\]

2. All the extended algebras have a semi-direct sum structure

\[
L = X_0 \triangleright \{X(f), Y(g)\},
\]

where the ideal is on the right and \(X_0\) is one of the basis elements \(C\), \(T\), and \(D\). For \(\sigma = \pm 1\), \(X_0 = \{T_0, D_0\}\).

In Table 1 we present representatives of all classes of functions \(\sigma(t)\) for the symmetry algebra. The classification is done under the equivalence transformations \((2.10)\).

3.2 Symmetries of gdKP equation

We now turn to study symmetries of the generalized dKP (gdKP) equation

\[
(u_t + p(t) uu_x)_x + \sigma(t) u_{yy} = 0, \quad p \cdot \sigma \neq 0.
\] (3.13)

If an integrable equation is modified by introducing variable coefficients its integrability is usually destroyed. We are interested in looking at the situation if the symmetry algebra of gdKP equation can be invariant under a Kac-Moody-Virasoro (KMV) algebra. The symmetry algebra of \((3.13)\) is represented by the vector field

\[
V = \tau(t) \partial_t + [\mu(t)x + \theta(y, t)] \partial_x + (\eta_1(t)y + \eta_0(t)) \partial_y + [Q(t)u + \frac{1}{p}(\dot{\mu}x + \dot{\theta}_t)] \partial_u.
\] (3.14)

\[
\mu(t) = \frac{1}{3} \tilde{\tau} + \frac{\tau}{9} \left( \frac{\dot{p}}{p} - \frac{\dot{\sigma}}{\sigma} \right) + C_0, \quad (3.15a) \\
\eta_1(t) = \frac{2}{3} \tilde{\tau} + \frac{2}{9} \tau \left( \frac{\dot{p}}{p} + \frac{2 \dot{\sigma}}{\sigma} \right) + \frac{C_0}{2}, \quad (3.15b) \\
Q(t) = -\frac{2}{3} \tilde{\tau} - \frac{\tau}{9} \left( \frac{5 \dot{p}}{p} + \frac{3 \dot{\sigma}}{\sigma} \right) + C_0, \quad (3.15c) \\
\theta(y, t) = \frac{1}{2\sigma}(\dot{\mu} + \dot{Q})y^2 - \frac{\eta_0(t)}{2\sigma} y + \theta_0(t), \quad (3.15d)
\]
and $\tau$, $p$ and $\sigma$ are constrained by the relation

$$3\dot{\mu} - \left(\frac{\dot{p}}{p} + 2\frac{\dot{\sigma}}{\sigma}\right)\dot{\mu} = -\frac{\dot{\sigma}}{\sigma} \frac{d}{dt} \left(\frac{1}{p} \frac{d}{dt} (p\tau)\right) + \frac{d^2}{dt^2} \left(\frac{1}{p} \frac{d}{dt} (p\tau)\right),$$  \hspace{1cm} (3.15e)

where $C_0$ is a constant and $\eta_0, \theta_0$ are arbitrary functions. First of all, parameter $C_0$ generates a dilational symmetry

$$D = 2x\partial_x + y\partial_y + 2u\partial_u$$

for any $p$ and $\sigma$. We are interested in specifying conditions on $p$, $\sigma$ that leave $\tau$ free. Eq. (3.15e) can be viewed as a linear relation in $\tau$ and its derivatives with coefficients depending on $p$, $\sigma$ and derivatives. We note that the coefficients of $\dot{\tau}$ and $\ddot{\tau}$ in (3.15e) are identically zero and eq. (3.15e) has the form

$$E(t) \dot{\tau} + F(t) \tau = 0,$$

where, with

$$K = \dot{\rho}/\rho, \quad L = \dot{\sigma}/\sigma,$$

$$E(t) = 6(\dot{K} - \dot{L}) - 4K^2 + 2KL + 2L^2,$$

$$F(t) = 3(\ddot{K} - \ddot{L}) - (4\dot{K} - \dot{L}) \dot{K} + (K + 2L) \dot{L}. \hspace{1cm} (3.16)$$

If we force $E(t)$ and $F(t)$ to vanish simultaneously we obtain the necessary conditions for $\tau$ figuring as $t$-coefficient in the vector field to remain free. A compatibility of the resulting equations provides

$$2\left(\frac{\dot{p}}{p}\right)^2 - 3\frac{d}{dt} \left(\frac{\dot{p}}{p}\right) - \dot{\rho} \frac{d}{dt} \left(\frac{\dot{\sigma}}{\sigma}\right) + 3\frac{d}{dt} \left(\frac{\dot{\sigma}}{\sigma}\right) - \left(\frac{\dot{\sigma}}{\sigma}\right)^2 = 0. \hspace{1cm} (3.17)$$

This condition can be shown to be equivalent to the condition (2.12) for the gdKP equation to be transformable to the standard dKP equation. Indeed, substituting change of variable $p = \sigma e^{\Omega(t)}$ into (3.17) results in the ODE

$$\ddot{\Omega} - \dot{\sigma} \dot{\Omega} - \frac{2}{3} \dot{\Omega}^2 = 0,$$

which integrates to give

$$e^\Omega = \left[C_1 \int \sigma(t) dt + C_2\right]^{-3/2}.$$

This is exactly the condition (2.12) relating $p$ and $\sigma$ when the equation can be transformed to (1.2) by a point transformation. This implies that under condition (2.12) the vector field (3.14) depends on three arbitrary functions of time and the general element of the symmetry algebra of the gdKP equation can be written as

$$V = T(\tau) + X(\theta_0) + Y(\eta_0) + \gamma D, \hspace{1cm} (3.18a)$$

where $\tau, \theta_0, \eta_0$ are arbitrary smooth functions of $t$, $\gamma$ is a parameter. Here

$$T(\tau) = \tau \partial_\tau + (\mu x + \chi y^2) \partial_x + \eta_1 y \partial_y + [Qu + \frac{1}{p}(\dot{\mu} x + \dot{\chi} y^2)] \partial_u, \hspace{1cm} (3.18b)$$

$$X(\theta_0) = \theta_0 \partial_x + \frac{1}{p} \dot{\theta}_0 \partial_u, \hspace{1cm} (3.18c)$$

$$Y(\eta_0) = -\frac{1}{2\sigma} \dot{\eta}_0 y \partial_x + \eta_0 \partial_y - \frac{1}{p} \frac{d}{dt} \left(\frac{\dot{\eta}_0}{2\sigma}\right) y \partial_u, \hspace{1cm} (3.18d)$$

$$\chi = \frac{1}{2\sigma}(\dot{\mu} + \dot{Q}).$$
A basis for the Lie symmetry algebra is \( \{T(\tau), X(\xi), Y(\eta), D\} \) and the commutation relations are given by

\[
\begin{align*}
[T(\tau_1), T(\tau_2)] &= T(\tau_1\dot{\tau}_2 - \dot{\tau}_1\tau_2), \\
[D, X(\xi)] &= -2X(\xi), \\
[D, Y(\eta)] &= -Y(\eta), \\
[D, T(\tau)] &= 0,
\end{align*}
\]

(3.19a)–(3.19d)

\[ [X(\xi_1), X(\xi_2)] = 0, \quad [Y(\eta_1), Y(\eta_2)] = X\left(\frac{1}{2}\sigma(\eta_1\dot{\eta}_2 - \dot{\eta}_1\eta_2)\right), \quad (3.19e) \]

where

\[
\pi_x = \frac{1}{3}\dot{\tau}\xi - \tau\dot{\xi} + \frac{1}{9}\xi\tau\left(4\frac{\dot{p}}{p} - \frac{\dot{\sigma}}{\sigma}\right), \quad \pi_y = \frac{2}{3}\dot{\tau}\eta - \tau\dot{\eta} + \frac{2}{9}\eta\tau\left(\frac{\dot{p}}{p} + 2\frac{\dot{\sigma}}{\sigma}\right).
\]

The commutation relations suggest that the infinite-dimensional symmetry algebra \( L \) of the gdKP has the structure of a Kac-Moody-Virasoro algebra only if the condition (2.12) is satisfied among \( p \) and \( \sigma \). This fact also indicates that an integrable subfamily, which we call the integrable gdKP equation, has been singled out of the original gdKP class. The symmetry algebra of the integrable gdKP equation can be written as a semidirect sum

\[
L = \{T(\tau)\} \triangleright \{X(\xi), Y(\eta), D\}
\]

(3.20)

with the ideal on the right. We sum up the result as two theorems.

**Theorem 3.1** The generalized dKP (gdKP) equation (3.13) admits the (centerless) Virasoro algebra as a symmetry algebra if and only if the coefficients satisfy (2.12).

**Theorem 3.2** The gdKP equation (3.13) is invariant under a Lie symmetry algebra which contains a Virasoro algebra as a subalgebra, if and only if it can be transformed into the dKP equation itself by a point transformation. The transformation is given by (2.13).

If \( E(t) \neq 0 \) in \( E(t)\ddot{\tau} + F(t)\tau = 0 \), then this first order ODE fixes \( \tau = \dot{\tau} = \tau_0 \exp\left\{\int(-F(t)/E(t)dt)\right\} = \tau_0H(t) \) and the loop structure of the algebra is lost though it is still infinite-dimensional. The Virasoro part \( \{T(\tau)\} \) of the Lie symmetry algebra (3.20) is no longer present for all other values of \( p \) and \( \sigma \) where \( E(t) \cdot F(t) \neq 0 \). In this case, the symmetry element \( T(\dot{\tau}) \) is all that is remnant of the arbitrary reparametrization of time corresponding to \( T(\tau) \). The algebra gets largely reduced. A basis for the algebra is \( \{X(\xi), Y(\eta), T(H), D\} \). The subalgebra \( \{X(\xi), Y(\eta)\} \) is a centerless Kac-Moody algebra. If \( E(t) \neq 0 \), but \( F(t) \equiv 0 \), then \( \tau = \tau_0 \), a constant. This happens when \( p(t) = Ae^{kt} \) and \( \sigma(t) = Be^{lt} \). The group transformations generated by \( T(\tau_0) \) are only a time translation plus dilations in the other variables.
3.2.1 Special integrable cases

We now consider some special cases satisfying condition (2.12).

1. \( p = 1 \) and \( \sigma = 1 \) (Standard dKP equation): Eq. (3.17) is trivially satisfied and we have \( \mu = \dot{\tau}/3 \) (ignoring constant \( C_0 \)) and \( Q = -2\dot{\tau}/3 \). So the symmetry algebra of dKP equation is recovered as

\[
V = T(\tau) + X(\xi) + Y(\eta),
\]

\[
T(\tau) = \tau \partial_t + \frac{1}{6} \left( 2\dot{\tau}x - \ddot{\tau}y^2 \right) \partial_x + \frac{2\dot{\tau}}{3} y \partial_y + \frac{1}{6} \left( -4\dot{\tau}u + 2\ddot{\tau}x - \dddot{\tau}y^2 \right) \partial_u,
\]

(3.21a)

\[
X(\xi) = \xi \partial_x + \dot{\xi} \partial_u,
\]

(3.21b)

\[
Y(\eta) = -\frac{1}{2} \dddot{\eta} y \partial_x + \eta \partial_y - \frac{1}{2} \dddot{\eta} y \partial_u,
\]

(3.21c)

where \( \tau, \xi, \eta \) are arbitrary smooth functions of \( t \).

2. \( p = 1, \sigma = t^{-3} \) (up to translation and scaling of integration constants): The symmetry vector field (3.18) has the coefficients

\[
\mu(t) = \frac{1}{3} (\dot{\tau} + \frac{\tau}{t}), \quad \eta_1(t) = \frac{2}{3} (\dot{\tau} - 2\frac{\tau}{t}), \quad Q(t) = -\frac{1}{3} (2\dot{\tau} - \frac{\tau}{t}).
\]

3. \( p = t^{-3/2}, \sigma = 1 \): We have

\[
\mu(t) = \frac{1}{3} (\dot{\tau} - 2\frac{\tau}{t}), \quad \eta_1(t) = \frac{1}{3} (2\dot{\tau} - \frac{\tau}{t}), \quad Q(t) = -\frac{1}{3} (2\dot{\tau} - \frac{5\tau}{2t}).
\]

The point transformations taking the corresponding gdKP equations to standard form are given by (2.13).

4 Reduction of (2.8) to the one-dimensional Burgers equation

Reductions by subalgebras \( X(f) \) and \( Y(g) \) for (2.8) in the generic case were presented in [7]. Moreover, in the nongeneric case where \( \sigma \) is a power or an exponential function, reductions by two dimensional subalgebras of the symmetry algebra to ODEs and analysis of their possible solutions that led to exact solutions of the original PDE were investigated in the same work. Here we would like to give reductions in the generic case by the algebra \( L \) represented by \( X(f) + Y(g), g \neq 0 \) which is actually conjugate under the group \( \exp\{Y(G)\} \) for some \( G(t) \) to \( Y(g) \). An invariant solution must then have the form

\[
u = W(\xi, t) - \frac{1}{g} \left[ \frac{d}{dt} \left( \frac{\dot{g}}{4\sigma} \right) y^2 - \dot{f} y \right],
\]

\[
\dot{\xi} = x + \frac{\dot{g}}{4\sigma g} y^2 - \frac{\dot{f}}{g} y.
\]

(4.1)
Once integrated reduced equation satisfies

\[ W_t + WW_{\xi} + W_{\xi\xi} + \sigma \left( \frac{f}{g} \right)^2 W_{\xi} + \frac{\dot{g}}{2g} W - \frac{\sigma}{2g} \frac{d}{dt} \left( \frac{\dot{g}}{\sigma} \right) \xi + \rho(t) = 0, \]

where \( \rho \) is an arbitrary function which can be transformed away by a time dependent translation of the dependent variable \( W \to W + h(t) \) with \( h \) appropriately chosen (as a solution of a first order linear ODE). Note that the above translation has an effect of increasing the coefficient of the first derivative term \( W_{\xi} \) by \( h \). \( W_{\xi} \) can also be set to zero. This is achieved by the transformation

\[ W = F(z, t), \quad z = \xi + \gamma(t), \]

where \( \gamma \) satisfies

\[ \dot{\gamma} = h - \left( \frac{f}{g} \right)^2 \sigma. \]

Further simplification comes from the choice \( \dot{g} = \sigma \). The final form of the reduced equation can be written as

\[ F_t + FF_z + F_{zz} + \frac{\dot{\Sigma}}{2\Sigma} F = 0, \quad \dot{\Sigma} = \sigma, \quad \text{(4.2)} \]

which is a one-dimensional generalized Burgers equation. The transformation

\[ \tilde{t} = T(t) = \int \Sigma^{-1/2} dt, \quad \tilde{z} = z, \quad F = \Sigma^{-1/2} \tilde{F}(\tilde{z}, \tilde{t}) \]

takes equation (4.2) to the more usual form

\[ \tilde{F}_{\tilde{t}} + \tilde{F}_{\tilde{z}} + \tilde{g}(\tilde{t}) \tilde{F}_{\tilde{zz}} = 0, \quad \text{(4.3)} \]

where \( \tilde{g}(\tilde{t}) = \Sigma^{1/2}(\tilde{t}) \). A group classification of (4.3), completing the partial results in the literature, appeared very recently in Ref. [15]. According to the results of this paper, the maximal symmetry algebra of (4.3), which occurs when \( g = \pm 1 \) is five dimensional and isomorphic to the complete Galilei algebra (Galilei-similitude algebra extended by projective elements) having the semi-direct sum structure \( \mathfrak{sl}(2, \mathbb{R}) \triangleright 2A \). This is the usual non-potential Burgers equation well-known for its linearizability to the heat equation by the Hopf-Cole transformation. For three special forms of \( g \) it is three-dimensional, otherwise two-dimensional generating space translations and Galilean boosts for arbitrary \( g \).

5 Conclusions

We have demonstrated that equivalence transformations are useful in symmetry classification. A knowledge of equivalence group enabled us to simplify the arbitrary coefficients figuring in the equation (1.4) (we have been able to normalize two out of three), then proceed to classify all equations with nontrivial symmetries. The
equation under study is shown to have an infinite-dimensional symmetry algebra for arbitrary coefficient $\sigma$. The symmetry algebra is extended at least by one additional element when $\sigma$ has special forms. We again made use of equivalence transformations to give only a representative list of equations which can not be transformed into each other. The results of this paper reveal that some of the equations presented in the classification list of Refs. [7, 8] are redundant. Also, symmetry properties of the gdKP equation are studied. This is precisely where the equivalence transformations come in again. Requiring that the equation be invariant under a reparametrization of time we have identified the most general equation of the form (3.13) allowing a Kac-Moody-Virasoro algebra and have shown that this equation can be transformed into the integrable dKP equation. We shall call this class the integrable gdKP equation. Thus, all variable coefficient integrable nonlinear PDEs are singled out from the generic nonintegrable gdKP equation. Based on this fact we can transform the Lax pair and any solution for the dKP equation to a Lax pair and to a solution for the gdKP equation. For a further discussion of this topic and other applications we refer the interested readers to a recent work [12] for PDEs in 1+1-dimensions and [10, 16] in 2+1-dimensions.

Finally, the question of weather (2.12) is the only case when the gKP equation passes the Painlevé test remains unanswered. The leading-order exponent in its Painlevé series expansion arises as $p = 0$. This indicates that the resulting expansion may correspond to (a special case of) the Taylor expansion solution or to the possibility that it must be modified by adding logarithmic terms. See the original article [17] for a discussion of this theme. A possible answer to this question is beyond the scope of the current article.

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