Fragmented Sleep and the Prevalence of Hypertension in Middle-Aged and Older Individuals

Juan Zhao¹
Wenjuan Wang¹
Suhua Wei¹
Lihong Yang²
Yanhua Wu²
Bin Yan¹,³

¹Department of Haematology, the First Affiliated Hospital of Xi’an Jiaotong University, Xi’an, People’s Republic of China; ²Department of Clinical Research Center, the First Affiliated Hospital of Xi’an Jiaotong University, Xi’an, People’s Republic of China; ³Department of Psychiatry, the First Affiliated Hospital of Xi’an Jiaotong University, Xi’an, People’s Republic of China

Objective: We aimed to investigate the association between fragmented sleep and the prevalence of hypertension in middle-aged and older individuals.

Methods: This study included 5804 participants with an average age of 63.1±11.2 years from the Sleep Heart Health Study. Fragmented sleep parameters including arousal index in total sleep (ArI-Total), rapid eye movement sleep (ArI-REM), non-rapid eye movement sleep (ArI-NREM), fragmented sleep index (SFI), sleep efficiency (SE) and wake after sleep onset (WASO) were monitored using polysomnography. The information on hypertension, defined as systolic blood pressure (SBP) ≥140 mmHg and/or diastolic blood pressure (DBP) ≥90 mmHg or under antihypertensive treatment, was collected at baseline. We conducted multivariable logistic regression to explore the cross-sectional association between fragmented sleep and the prevalence of hypertension.

Results: After adjusting for potential confounders, fragmented sleep parameters (per 5-unit change) including SE (odds ratio [OR] 0.904; 95% confidence interval [CI] 0.877–0.932; P < 0.001), WASO (OR 1.019; 95% CI 1.012–1.027; P < 0.001), ArI-Total (OR, 1.036; 95% CI, 1.005–1.068; P = 0.024), and ArI-NREM (OR 1.032; 95% CI 1.004–1.062; P = 0.027) were significantly associated with the prevalence of hypertension. In addition, ArI-Total, ArI-NREM, and ArI-REM were positively correlated with both systolic blood pressure and diastolic blood pressure.

Conclusion: We found a high prevalence of hypertension among middle-aged and older individuals with fragmented sleep. The causal association between fragmented sleep and hypertension warrants further investigation.

Keywords: blood pressure, polysomnography, fragmented sleep, Sleep Heart Health Study, cross-sectional study

Introduction

Hypertension, also known as elevated blood pressure, is a global public health issue. Approximately 1.28 billion people age 30–79 years have hypertension worldwide, and the number of people with systolic blood pressure (SBP) of 140 mmHg or higher increased from 17,307 to 20,526 per 100,000 between 1990 and 2015.¹,² Individuals with hypertension have an increased risk of heart, brain, and kidney disease.³ Hypertension is the most common preventable risk factor for cardiovascular disease; the prevention of hypertension would decrease the social burden associated with the condition and improve the quality of life.⁴–⁶ Previous studies have found a close relationship between sleep and hypertension.⁷,⁸ Individuals with poor sleep quality have higher average SBP and
diastolic blood pressure (DBP). Short sleep duration is a risk factor for hypertension, and sleep-disordered breathing may lead to an increased risk of the condition. Fragmented sleep is another sleep condition that can be monitored using wrist actigraphy. A previous study based on wrist actigraphy reported that individuals with low sleep efficiency (SE) had high daytime SBP but not DBP.

Polysomnography (PSG) can record more fragmented sleep parameters than wrist actigraphy, including arousal index (ArI) in total sleep (ArI-Total), sleep fragmentation index (SFI), SE, and wake after sleep onset (WASO). Furthermore, PSG can be used to monitor the ArI in rapid eye movement sleep (ArI-REM) and non-rapid eye movement sleep (ArI-NREM). There is little evidence regarding the association between fragmented sleep and hypertension in NREM and REM sleep based on PSG records. In this study, we aimed to explore the relationship between fragmented sleep and the prevalence of hypertension using data from the Sleep Heart Health Study (SHHS).

Materials and Methods

Study Population

This study included individuals from community-based populations examined in the SHHS study. The SHHS (ClinicalTrials.gov Identifier: NCT00005275) recruited participants who met the inclusion criteria (age 40 years or older; no history of treatment of sleep apnea; no tracheostomy; no current home oxygen therapy) from nine existing epidemiological studies, including the Framingham Offspring Cohort, the Hagerstown and Minneapolis/St. Paul sites of the Atherosclerosis Risk in Communities (ARIC) study, the Hagerstown, Sacramento and Pittsburgh sites of the Cardiovascular Health Study (CHS), the Strong Heart Study sites in South Dakota, Oklahoma and Arizona, and Studies of respiratory disease in Tucson and of hypertension in New York. But the Strong Heart Study participants were excluded due to sovereignity issues. Several parent cohorts oversampled snorers to increase the study-wide prevalence of sleep-disordered breathing. There was a clear and rigorous Data Quality Assurance and Control system in each parent study. For the existing data collected by the parent studies, the Comparability Committee was charged with comparing data collected by the various parent studies to determine the data to be used. All participants provided written informed consent, and the study was approved by the institutional review board of each institution. The study design and quality control procedures were previously reported. We also provided details about the PSG monitor in Supplement Methods. We had access to the SHHS database through a signed agreement with the Brigham and Women’s Hospital. This research was approved by the Ethical Review Board of the First Affiliated Hospital of Xi’an Jiaotong University.

Fragmented Sleep Parameters

All the participants underwent overnight unattended PSG at home using a portable monitor (P-Series; Compumedics, Abbotsville, Australia) between November 1995 and January 1998. ArI-total was calculated as the total number of sleep arousals divided by the total sleep time. ArI-NREM and ArI-REM were measured based on the number of arousals per hour during NREM and REM sleep, respectively. SFI was defined as the number of awakenings and sleep-stage shifts per hour. SE was calculated as the total sleep time divided by the total time in bed and multiplied by 100%. WASO was defined as the total awake time from the first time the participant fell asleep to the time the participant was fully awake. Fragmented sleep parameters were also divided into four groups based on quartiles to observe the prevalence of hypertension in different group.

Outcomes and Covariates

All of the initial blood pressure measurements were performed in the subject’s home, prior to setting up the PSG equipment. Blood Pressure was taken by the sleep technician with the subject in a seated position after 5 minutes’ rest at baseline of SHHS, using a standard mercury sphygmomanometer and a choice of four cuff sizes. The observer listened with the bell of a Littman stethoscope and recorded the pressures. The average of the two readings taken with at least 30 second intervals was used to describe the blood pressure. Hypertension status was based on blood pressure measurements (SBP ≥140 mmHg and/or DBP ≥90 mmHg) or antihypertensive treatment (mainly included angiotensin-converting enzyme inhibitors, Beta-blockers, calcium-channel blocker, diuretic and vasodilators). Covariates including age, gender, race, smoking status, alcohol use, body mass index (BMI), benzodiazepine use and history of diabetes mellitus were collected from the SHHS baseline investigations. Sleep duration was based on the total sleep time according
to the PSG records. The apnea-hypopnea index (AHI) was defined as the number of apnea and hypopnea episodes (at least 4% decrease in oxygen saturation) divided by the total sleep time. In the present study, sleep disordered breathing (SDB) was defined as an AHI of ≥5 events/h.

Statistical Analyses

Differences in baseline characteristics between hypertensive and non-hypertensive patients were assessed using chi-square tests for categorical variables and independent-sample t-tests for continuous variables. Univariable and multivariable logistic regression analyses were employed to explore the cross-sectional associations between fragmented sleep parameters and the prevalence of hypertension. We also investigated the correlations between fragmented sleep parameters and SBP/DBP using linear regression analysis. Multicollinearity among the different fragmented sleep parameters was examined using multivariable regression analysis. Interaction analysis stratified by SDB (AHI <5 events/h vs AHI ≥5 events/h), age (≥60 vs <60), gender (males vs females) and alcohol use (yes vs no) were also conducted. All analyses were performed using SPSS software (v.24.0, SPSS Inc., Chicago, IL). Two-sided P-values <0.05 indicated statistical significance.

Results

Participant Characteristics

A total of 5804 middle-aged and older adults (2765 men and 3039 women with an average age of 63.1±11.2 years) were included in our study. Characteristics of the hypertensive and non-hypertensive participants are shown in Table 1. Hypertension was more prevalent among participants who were older, with a high BMI, and with diabetes mellitus. In addition, when compared to controls, participants with hypertension had significantly elevated ArI-Total, ArI-NREM, SFI, WASO, and AHI and reduced SE.

Fragmented Sleep Parameters and Hypertension

The distributions of hypertension differed significantly in the different fragmented sleep quartiles (Figure 1). Participants with elevated ArI-Total (P<0.001), ArI-NREM (P<0.001) and WASO (P<0.001) tended to have an increased prevalence of hypertension; individuals with high SE had a lower prevalence of hypertension than those with low SE (P<0.001).

Univariable and multivariable logistic regression analyses were further used to investigate the relationships between fragmented sleep parameters and hypertension. Multicollinearity was found among the different fragmented sleep parameters. Therefore, we explored the role of each individual fragmented sleep variable on hypertension risk after adjusting for age, gender, race, BMI, smoking status, alcohol use, diabetes mellitus, sleep duration, benzodiazepine use, triglyceride level, total cholesterol, and AHI. Our results (per 5-unit increased) showed that SE (odds ratio [OR] 0.904; confidence interval [CI] 0.877–0.932; P<0.001), WASO (OR 1.019; 95% CI 1.012–1.027; P<0.001), ArI-Total (OR, 1.036; 95% CI, 1.005–1.068; P=0.034), and ArI-NREM (OR 1.032; 95% CI 1.004–1.062; P=0.027) were significantly associated with the prevalence of hypertension (Table 2). No significant association of SFI and ArI-REM with the prevalence of hypertension was found.

Fragmented Sleep Parameters and SBP/DBP

The correlations between each individual fragmented sleep parameter and SBP/DBP were also determined using multivariable linear regression analysis. ArI-Total (β 0.094; 95% CI 0.041–0.146; P<0.001), ArI-NREM (β 0.080; 95% CI 0.032–0.128; P=0.001), ArI-REM (β 0.061; 95% CI 0.010–0.112; P=0.018), and WASO (β 0.014; 95% CI 0.002–0.026; P=0.022) were positively correlated with SBP, while SE (β −0.126; 95% CI −0.178 to −0.075; P<0.001) was negatively associated with SBP. In addition, ArI-Total (β 0.033; 95% CI 0.002–0.064; P=0.034), ArI-NREM (β 0.029; 95% CI 0.001–0.057; P=0.041) and ArI-REM (β 0.047; 95% CI 0.018–0.077; P=0.002) were significantly correlated with DBP (Table 3).

Interaction Analysis

Individuals with SDB may experience micro-arousal. Therefore, we investigated the association between fragmented sleep parameters and hypertension stratified by SDB (AHI ≥5 events/h vs AHI <5 events/h). We further explored the relationship between fragmented sleep parameters and hypertension stratified by age (≥60 vs <60), gender (males vs females) and alcohol use (yes vs no). No significant interaction was found in these analyses (Pinteraction > 0.05) (Supplement Tables 1–4).
**Discussion**

In this large community-based study, we investigated the cross-sectional associations between fragmented sleep parameters and hypertension prevalence. Fragmented sleep variables, including ArI-Total, ArI-NREM, SE, and WASO, were significantly associated with the prevalence of hypertension. Moreover, ArI-Total, ArI-NREM and ArI-REM were positively correlated with both SBP and DBP, and increased SE was associated with a lower SBP.

Fragmented sleep usually refers to arousals over the course of the entire sleep period. Physical and mental diseases as well as lifestyle habits such as exercising before bedtime, long naps during the day, alcohol use, caffeine consumption and a poor sleep environment may lead to fragmented sleep. SDB accompanied by micro-arousal also contributes to fragmented sleep. Previous studies have shown that fragmented sleep promotes obesity in mice, and this association has also been found in humans. Several studies have explored the relationship between fragmented sleep and blood pressure. Morrell et al found that SFI was associated with a high level of awake SBP, but they found no significant association.

### Table 1: Subject Characteristics in Participants with or Without Hypertension

| Characteristics | Total (n=5804) | Hypertension (n=2948) | Controls (n=2856) | P value |
|-----------------|---------------|-----------------------|-------------------|--------|
| Age, years      | 63.1±11.2     | 66.4±10.6             | 59.8±10.8         | <0.001 |
| Gender, n (%)   |               |                       |                   | 0.061  |
| Male            | 2765 (47.7)   | 1440 (48.8)           | 1325 (46.4)       | —      |
| Female          | 3039 (52.3)   | 1508 (51.2)           | 1531 (53.6)       | —      |
| Race, n (%)     |               |                       |                   | <0.001 |
| White           | 4907 (84.6)   | 2483 (84.3)           | 2424 (84.9)       | —      |
| Black           | 515 (8.9)     | 327 (11.1)            | 188 (6.6)         | —      |
| Other           | 380 (6.5)     | 137 (4.6)             | 243 (8.5)         | —      |
| BMI, kg/m²      | 28.2±5.1      | 28.8±5.4              | 27.5±4.7          | <0.001 |
| Smoking status, n (%) |       |                       |                   | <0.001 |
| Current smoker  | 560 (9.7)     | 224 (7.5)             | 336 (11.4)        | —      |
| Former smoker   | 2495 (43.3)   | 1305 (45.0)           | 1190 (42.0)       | —      |
| Never smoker    | 2708 (47.0)   | 1399 (47.5)           | 1309 (46.6)       | —      |
| Alcohol use, n (%) |           |                       |                   | <0.001 |
| At least 1 drink per day | 2412 (44.8) | 1160 (42.2)           | 1252 (47.4)       | —      |
| None            | 2977 (55.2)   | 1590 (57.8)           | 1387 (52.6)       | —      |
| Benzodiazepine use, n (%) | 308 (5.3)   | 201 (6.8)             | 107 (3.9)         | <0.001 |
| Diabetes mellitus, n (%) | 405 (7.0)   | 311 (10.5)            | 94 (3.3)          | <0.001 |
| Sleep duration, n (%) |            |                       |                   | <0.001 |
| <6 hours        | 624 (10.8)    | 366 (12.4)            | 258 (9.1)         | —      |
| 6–8 hours       | 3784 (65.2)   | 1881 (63.8)           | 1903 (66.6)       | —      |
| >8 hours        | 1396 (24.0)   | 701 (23.8)            | 695 (24.3)        | —      |
| Sleep fragmentation |           |                       |                   |        |
| SE, %           | 82.8±10.6     | 81.0±11.2             | 84.6±9.5          | <0.001 |
| WASO, min       | 61.4±4.40     | 67.4±4.65             | 55.3±4.04         | <0.001 |
| SFI, events/h   | 9.0±3.5       | 9.2±3.8               | 8.8±3.2           | <0.001 |
| ArI-Total, events/h | 19.2±10.7   | 20.2±1.17             | 18.1±9.4          | <0.001 |
| ArI-NREM, events/h | 15.5±10.9   | 15.9±11.9             | 15.0±9.9          | 0.002  |
| ArI-REM, events/h | 20.0±11.6   | 21.2±12.7             | 19.1±10.6         | <0.001 |
| AHI, events/h   | 10.2±13.6     | 12.1±15.3             | 8.2±11.3          | <0.001 |
| SBP, mmHg       | 127.4±19.3    | 137.7±19.6            | 116.6±11.6        | <0.001 |
| DBP, mmHg       | 73.7±11.6     | 76.8±13.1             | 70.4±8.7          | <0.001 |
| Triglycerides, mg/dl | 150.1±101.1 | 159.7±98.9            | 140.0±102.3       | <0.001 |
| Cholesterol, mg/dl | 207.6±38.7   | 209.4±38.7            | 205.7±38.6        | 0.001  |

**Notes**: Results are presented as mean ± standard deviation or n (%). The P values represent the difference between two groups.

**Abbreviations**: AHI, apnea hypopnea index; ArI, arousal index; BMI, body mass index; DBP, diastolic blood pressure; NREM, non-rapid eye movement; REM, rapid eye movement; SBP, systolic blood pressure; SE, sleep efficiency; SFI, sleep fragmentation index; WASO, wake after sleep onset.
between the SFI and awake SBP after adjusting for AHI. Doyle et al found that SE was positively associated with average daytime SBP, but not DBP, using wrist actigraphy. In the present study, we also investigated the correlations between fragmented sleep parameters and SBP and DBP. Our results showed that SE and WASO were associated with SBP, but not with DBP, based on PSG records. In addition, no significant associations were found between SFI and SBP and DBP. Ramos et al revealed that a 10% reduction in SE was associated with a 7.5% increase in hypertension prevalence based on wrist actigraphy. In our study, SE and WASO were significantly associated with the prevalence of hypertension. Our results also indicated that elevated Arl-Total and Arl-NREM increased the risk of hypertension. Furthermore, we found that Arl was positively correlated with both SBP and DBP in total sleep time, NREM sleep, and REM sleep. Our findings showed that individuals with fragmented sleep had a higher level of SBP/DBP and a higher prevalence of hypertension. Improving fragmented sleep may aid in controlling human blood pressure and decreasing the risk of hypertension.

The relationship between hypertension and sleep disturbances is complex. Some conditions such as age, gender, SDB and alcohol use may contribute to both sleep disorder and hypertension which may be the mediate or confounders. Previous studies have shown that SDB is a risk factor for hypertension. SB may be accompanied by micro-arousal, which may further lead to fragmented sleep. AHI is usually used to assess the severity of SDB. Our multicollinearity analysis revealed that AHI had some effect on Arl. Fragmented sleep parameters,
including ARI-T, ARI-NREM, ARI-REM, SE, and WASO, remained significantly associated with the prevalence of hypertension whether we adjusted for AHI in the multivariable analysis. Interaction analysis stratified by SDB and non-SDB showed no significant interaction when exploring the associations between each fragmented sleep variable and hypertension. Furthermore, no significant interaction was found when performed the subgroup analysis stratified by age, gender, and alcohol use.

The mechanisms underlying the association between fragmented sleep and hypertension are not fully understood. It is possible that fragmented sleep increases the activity of the sympathetic nervous system, which then further contributes to elevated blood pressure. Fragmented sleep is also associated with decreased physical activity, insulin resistance, obesity, and increased inflammation. In addition, fragmented sleep may increase the body’s stress response and lead to short sleep, poor sleep quality, and more daytime naps, all of which may contribute to high blood pressure.

The present study has several strengths. Our analysis was based on a large community population from the SHHS datasets, and all fragmented sleep parameters were objectively monitored using PSG. We investigated the association between fragmented sleep and hypertension in total sleep time, NREM sleep, and REM sleep. However,

### Table 3 Univariable and Multivariable Linear Regression Analysis for Sleep Fragmentation Associated with SBP and DBP

| Variables | Unadjusted | Multivariable Adjusted a | Multivariable Adjusted b |
|-----------|------------|--------------------------|--------------------------|
|           | β (95% CI) | P value                  | β (95% CI)               | P value                  |
|           |            |                          | β (95% CI)               | P value                  |
| SBP       |            |                          | β (95% CI)               | P value                  |
| SE        | -0.243 (−0.290 to −0.196) | <0.001 | -0.131 (−0.182 to −0.080) | <0.001 | -0.126 (−0.178 to −0.075) | <0.001 |
| WASO      | 0.041 (0.029 to 0.052)    | <0.001 | 0.015 (0.003 to 0.028)    | 0.014 | 0.014 (0.002 to 0.026)    | 0.022 |
| SFI       | 0.103 (0.039 to 0.245)    | 0.155 | -0.058 (−0.209 to 0.093)  | 0.449 | -0.079 (−0.230 to 0.073)  | 0.307 |
| ARI-T     | 0.181 (0.134 to 0.229)    | <0.001 | 0.107 (0.056 to 0.158)    | <0.001 | 0.094 (0.041 to 0.146)    | <0.001 |
| ARI-REM   | 0.090 (0.043 to 0.136)    | <0.001 | 0.074 (0.025 to 0.124)    | 0.003 | 0.061 (0.010 to 0.112)    | 0.018 |
| ARI-NREM  | 0.164 (0.121 to 0.208)    | <0.001 | 0.092 (0.045 to 0.139)    | <0.001 | 0.080 (0.032 to 0.128)    | 0.001 |
| DBP       |            |                          | β (95% CI)               | P value                  |
| SE        | 0.013 (−0.016 to 0.041)   | 0.392 | -0.015 (−0.045 to 0.014)  | 0.312 | -0.014 (−0.044 to 0.016)  | 0.368 |
| WASO      | -0.013 (−0.020 to −0.006) | <0.001 | -0.003 (−0.010 to 0.004)  | 0.444 | -0.003 (−0.010 to 0.004)  | 0.379 |
| SFI       | -0.070 (−0.155 to 0.015)  | 0.106 | -0.054 (−0.141 to 0.034)  | 0.231 | -0.061 (−0.149 to 0.027)  | 0.174 |
| ARI-T     | 0.059 (0.031 to 0.088)    | <0.001 | 0.039 (0.009 to 0.069)    | 0.010 | 0.033 (0.002 to 0.064)    | 0.034 |
| ARI-REM   | 0.099 (0.071 to 0.127)    | <0.001 | 0.052 (0.023 to 0.081)    | <0.001 | 0.047 (0.018 to 0.077)    | 0.002 |
| ARI-NREM  | 0.041 (0.014 to 0.067)    | 0.003 | 0.030 (0.003 to 0.058)    | 0.031 | 0.029 (0.001 to 0.057)    | 0.041 |

Notes: The results between SE, WASO, SFI and SBP/DBP were based on 5691 individuals. The results between ARI-T, ARI-REM, ARI-NREM and SBP/DBP were based on 5536 individuals. Each individual sleep fragmentation variable including ARI-T, ARI-REM, ARI-NREM, SFI, SE and WASO was adjusted for age, gender, race, BMI, smoking status, alcohol use, diabetes mellitus, sleep duration, benzodiazepine use, triglyceride and total cholesterol. Adjusted for AHI. Abbreviations: AHI, apnea hypopnea index; ARI, arousal index; BMI, body mass index; 95% CI, 95% confidence interval; NREM, non-rapid eye movement; OR, odds ratio; REM, rapid eye movement; SE, sleep efficiency; SFI, sleep fragmentation index; WASO, wake after sleep onset.
there are several potential limitations to the study that should be noted. First, we found a cross-sectional association between fragmented sleep and hypertension, but the causal relationship requires further study. Second, a single-night PSG may not fully represent an individual’s sleep characteristics. Wrist actigraphy has an advantage as it allows for multiple sleep monitoring. Thirdly, the definition of hypertension in our study was based on the blood pressure measurement and information about antihypertensive treatment. It is difficult to identify the masked hypertension in our study. Ambulatory blood pressure monitoring may be a useful measurement method. In SHHS, scorers reviewed the record, on an epoch by epoch basis (on screen), marking each sleep stage, each arousal, and each respiratory event. All the sleep technicians and investigators were trained and the portable monitor were corrected in SHHS study, but the information bias could not be completely avoided. Finally, as our findings were based on middle-aged and older individuals, they may not extrapolate to the entire population.

**Conclusion**

In conclusion, our study provides evidence that middle-aged and older individuals with fragmented sleep have an increased risk of hypertension. Elevated ArI-Total, ArI-NREM, and ArI-REM were positively correlated with high SBP and DBP. Our results indicate that ArI-Total, ArI-NREM, SE, and WASO were significantly associated with the prevalence of hypertension. Improving fragmented sleep may help control high blood pressure. The causal relationship between fragmented sleep and hypertension also deserves further investigation.

**Author Responsibility Information**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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**Disclosure**

All the authors declare no conflicts of interest in this work.

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