ANALYTICAL EXPRESSIONS FOR SPIRAL ARM GRAVITATIONAL POTENTIAL AND DENSITY

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Received 2002 March 29; accepted 2002 May 22

ABSTRACT

When modeling the three-dimensional hydrodynamics of interstellar material rotating in a galactic gravitational potential, it is useful to have an analytic expression for gravitational perturbations due to stellar spiral arms. We present such an expression for which changes in the assumed characteristics of the arms can be made easily and the sensitivity of the hydrodynamics to those characteristics examined. This analytic expression also makes it easy to rotate the force field at the pattern angular velocity with little overhead on the calculations.

Subject headings: galaxies: spiral — galaxies: structure — Galaxy: structure — hydrodynamics

1. INTRODUCTION

In this paper we present analytic expressions for the perturbation of the galactic axisymmetric gravitational potential due to redistribution of part of the stellar disk mass into spiral arms, and for the distribution of density responsible for that potential. Adjustable parameters include the number of arms, \( N \), the pitch angle, \( \alpha \), the radial scale length of the drop-off in density amplitude of the arms, \( R_s \), the mid-plane arm density, \( \rho_0 \) at fiducial radius \( r_0 \), and the scale height of the stellar arm perturbation, \( H \).

The amplitude of the spiral density distribution whose gravitational potential we set out to find is given by

\[
\rho_A(r, z) = \rho_0 \exp \left( -\frac{r - r_0}{R_s} \right) \operatorname{sech}^2 \left( \frac{z}{H} \right). \tag{1}
\]

Modulating this by a simple sinusoidal pattern in \( \phi \), following a logarithmic spiral with a pitch angle \( \alpha \), the overall density perturbation is

\[
\rho(r, \phi, z) = \rho_A(r, z) \cos(\gamma), \tag{2}
\]

where

\[
\gamma = N \left[ \phi - \phi_p(r_0) - \frac{\ln(r/r_0)}{\tan(\alpha)} \right]. \tag{3}
\]

More complicated azimuthal arm structures can be constructed with linear combinations of these solutions of the form

\[
\rho(r, \phi, z) = \rho_A(r, z) \sum_n C_n \cos(n\gamma). \tag{4}
\]

In a particularly interesting example, the density behaves approximately as a cosine squared in the arms but is separated by a flat interarm region occupying half the volume. It has three terms in its sum, with \( C_1 = 8/(3\pi) \), \( C_2 = \frac{1}{2} \), and \( C_3 = 8/(15\pi) \). The resulting phase pattern is compared with that of a simple sinusoid in Figure 1.

An important feature of such a perturbation is that its average density is zero. Its potential can thus be added to observationally constrained models for the azimuthally averaged potential without altering the latter. In addition, because the assumed arm perturbation extends over all radii, it is important that the average density be zero at both large and small radii where the arms do not actually exist. The radial exponential damping introduced in equation (1) is also useful in this regard. Thus, the gradient of the perturbation potential in the calculation region is provided predominantly by the local distribution of material.

2. THE POTENTIAL

In developing an expression for the potential, we first considered an infinite sinusoidally oscillating density pattern in a rectilinear coordinate system. Mathematically it was equivalent to equations (1) and (2) with \( R_s \) infinite, \( \gamma \) replaced with \( kx \), and \( y \) extending from \( +\infty \) to \( -\infty \), normal to the wave vector. For this density distribution, we evaluated the potential numerically and fitted the results versus phase \((kx)\) and \( z \) with a simple functional form. We then remapped the solution’s phase into the desired spiral pattern and reintroduced the radial drop-off factor, \( \exp[-(r - r_0)/R_s] \), obtaining a trial potential function.

The resulting potential has three functional parameters dependent on radius:

\[
K_n = \frac{nN}{r \sin(\alpha)}, \tag{5}
\]

\[
\beta_n = K_n H \left( 1 + 0.4K_n H \right), \tag{6}
\]

\[
D_n = \frac{1 + K_n H + 0.3(K_n H)^2}{1 + 3K_n H}. \tag{7}
\]

With these parameters, the trial potential corresponding approximately to the density distribution of equation (4) is

\[
\Phi(r, \phi, z) = -4\pi G \rho_0 \exp \left( -\frac{r - r_0}{R_s} \right) \sum_n \frac{C_n}{K_n D_n} \times \cos(n\gamma) \left[ \operatorname{sech} \left( \frac{K_n z}{\beta_n} \right) \right]^\beta_n. \tag{8}
\]
3. THE DENSITY

Our next step was just an inversion of attitude, from considering the density function of equations (1)–(4) as primary and the potential function of equation (8) as an approximate solution, to the inverse perspective. Equation (8) is our final equation for the potential perturbation, and by evaluating

$$\frac{\nabla^2 \Phi}{4\pi G}$$

we find the exact corresponding density function. Because of the radial dependence of the parameters $K_n$, $\beta_n$, and $D_n$, the radial derivatives of the Laplacian are particularly messy. The full solution is given in the Appendix; for each $n$ there is one dominant term for small $H/r$ and not too large $z/H$ such that

$$\rho(r, \phi, z) \approx \rho_0 \exp \left( -\frac{r - r_0}{R_s} \right) \sum_n C_n \left[ \frac{K_n H \beta_n + 1}{D_n \beta_n} \right]^{(2+\beta_n)} \times \cos(n\gamma) \left[ \text{sech} \left( \frac{K_n^2 \gamma}{\beta_n} \right) \right]^{(2+\beta_n)}.$$  \hspace{1cm} (10)

Of course, for particular choices of parameters, the Laplacian can be evaluated numerically to determine the corresponding density function. We did so to check the exact density solution of the Appendix, for example.

4. EXAMPLES

We next present the results for two cases, one with simple sinusoidal arms and one with the more concentrated arms, as per Figure 1. The parameters in both cases are $N = 2$, $\alpha = 15^\circ$, $R_s = 7$ kpc, $\rho_0 = mn_0$, $n_0 = 1$ atom cm$^{-3}$ at $r_0 = 8$ kpc, and $H = 0.18$ kpc (chosen to match the scale height of the thin stellar disk of Dehnen & Binney 1998, Model 2). The average mass per atom is $m = (14/11)m_H$. Unless otherwise noted, results are shown versus $r$ and $z$ along a radial cut passing through maximum arm density at $r = 8$ kpc.

Figures 2 and 3 show the perturbing gravitational potential and corresponding density found from the Laplacian of the potential, for the sinusoidal arm case. Figures 4 and 5 are similar but for the concentrated arms case. In both cases, the density functions are almost indistinguishable from the assumed function of equation (4) or the dominant term form of equation (10); the differences are much smaller than the uncertainty in how the true arms should be represented. As a result, equation (4) (or eq. [10]) can be used with considerable confidence as an approximation to the density distribution responsible for the potential.

It is somewhat easier to compare these two cases by examining separately their radial and vertical behaviors. Figures 6 and 7 compare the midplane densities and potentials versus radius. The maxima and minima of the density functions are bounded by the decaying exponential envelope with $R_s = 7$ kpc. This value for the radial drop-off scale was chosen so that the amplitude of the potential variation would not depend strongly on radius. Our “sinusoidal” case is sinusoidal with phase (and in $\phi$), but in the logarithmic spiral, the effective wavelength is proportional to radius. The “concentrated” case has the desired flatter interarm density and sharper peaks at the arms. The difference is moderated somewhat in the potential function, but the flatter peaks (interarm) and sharper valleys of the arms are
apparent in the concentrated arm case. Figures 8 and 9 compare the vertical structures at the location of an arm. The greater density and deeper and sharper potential well of the concentrated case are evident. Comparison of Figures 8 and 9 emphasizes the much greater scale height of the potentials, compared to that of the responsible densities, evident also in Figures 2–5. The potential scale height depends on both the density scale
height and on the radial wavelength. For an infinite disk (infinite radial wavelength), the vertical scale height is also infinite, having a constant gradient outside the mass distribution. Our results successfully model the useful cases with $K_v H$ small to moderate.

The impressions invoked by the density distributions of Figures 3 and 5 can be somewhat misleading. These densities must be regarded as perturbations to an azimuthally uniform stellar disk with the same vertical scale height. In Figure 10, a disk component with the same radial drop-off and scale height as the perturbation, and just sufficient amplitude to make the net density everywhere positive, has been added to the perturbation density. In the figure

Figure 7.—Midplane potentials of the two cases, vs. radius

Figure 8.—Densities of the two cases at $r = 8$ kpc, vs. height

Figure 9.—Potentials of the two cases at $r = 8$ kpc, vs. height

Figure 10.—Density distribution of concentrated arm perturbation plus net mass disk. See text for description of this intuitive distribution of the arm density, rather than the arm density perturbation, which has average density zero.
captions, this disk is referred to as the Net Mass Disk, as it provides the material for the arms. This picture provides a better idea of the assumed density structure of the arms.

Those tempted to find the potential of the above combination of disk plus perturbation may wish to consider an alternative approach to evaluation of the spiral arm potential that has been pursued by Martos et al. (2002); they specify a positive density pattern and evaluate the potential with mixed analytic and numerical techniques.

Having created this intuitive view of the density distribution, it is used in Figure 11 to illustrate the qualitative distribution of arm material in the galactic midplane.

In Figures 12 and 13, various amounts of perturbation density are shown added to a representative full stellar disk. The disk parameters were chosen to approximate the thin stellar disk in Model 2 of Dehnen & Binney (1998). It has a sech$^2(z/H)$ form with a scale height of 0.18 kpc, a radial drop-off scale of 2.4 kpc, and an atomic number density of 3.21 cm$^{-3}$ at $r = 8$ kpc. In the sinusoidal arm case, the added perturbation has, at 8 kpc, an amplitude equal to the fractions of the total 3.21 cm$^{-3}$ disk density that are shown in the legend. A fraction of 0.4, for example, implies total interarm and arm densities of 0.6 and 1.4 times the unperturbed density. At smaller radii, the perturbation is a smaller fraction of the full disk because of their different radial drop-off scales.$^1$ In the concentrated arm case, the normalization is slightly different to provide similar arm to interarm density difference at fixed radius, as per Figure 1. In this case, a 0.4 perturbation fraction implies total interarm and arm densities of 0.8 and 1.6 times the unperturbed density at $r = 8$ kpc. (The average density is the same in the

$^1$ The extreme difference between the 2.4 kpc radial drop-off scale for the average thin disk density and our choice of 7 kpc for the radial drop-off scale of the perturbation density was not guided by observations. We are using the perturbation in three-dimensional MHD modeling of the response of the interstellar medium to the stellar arm potential and did not want to introduce, at least initially, a strong radial gradient in the depth of the arm potential well.
two cases because the concentrated arms are narrower.) These figures provide a sense of the degree of modulation required for an observable density contrast in the presence of the steep gradient of the unperturbed disk. Rather large perturbations are required just to flatten the density profile. When the perturbation is large enough to give the total density a local maximum, that maximum is shifted radially inward from the perturbation peak.

Figures 14 and 15 further examine the stellar density distribution. Figure 14 shows the vertical distribution of the density versus radius for the stellar disk described above plus “0.57” fraction sinusoidal arms. Figure 15 is similar, but the perturbation is shown added to the entire axisymmetric galactic density distribution of Model 2 of Dehnen & Binney (1998). (Actually, the potentials were added and then the density evaluated by taking the Laplacian numerically.) In the spiral arm modeling of Gómez & Cox (2002), these are the parameters used for the two-armed case. It had been our prejudice that a 57% modulation of the disk density at \( r = 8 \) kpc represented a rather extreme situation, and we were therefore surprised to learn its rather modest effect on the density structure in comparison to the radial gradient.

These results can be compared with measurements of the actual red light profiles of spiral galaxies, if the contamination due to red supergiants can be neglected, to provide a reasonable estimate for the arm perturbation amplitude. This was done, for example, for M51 by Rix & Rieke (1993) and for several other galaxies by Rix & Zaritsky (1995). They concluded that in galaxies with strong arms, the arm to interarm stellar density contrast was roughly a factor of 2. They further found that in some two-armed spirals, the nonaxisymmetric structure was dominated by the fundamental sinusoidal component, implying very broad arms (as in our “sinusoidal” case); but in cases with very strong arms there was a significant higher harmonic, implying narrower arms (as in our “concentrated” case). Thus, density contrasts at the upper end of those we have explored seem to be relevant to at least strong armed galaxies, as do both varieties of the arm modulation functions of Figure 1.

5. ROTATING THE PATTERN

If one is calculating the hydrodynamics in the inertial frame, it is necessary to rotate the perturbing potential at the pattern angular velocity \( \Omega \). For \( \phi \) increasing counterclockwise and a clockwise rotation, examination of equations (3) and (8) reveals that each term in the sum consists of an amplitude function

\[
A_n(r, z) = -4\pi GH\rho_0 \exp\left(-\frac{r - r_0}{R_s}\right) \left(\frac{C_n}{K_e D_n}\right) \times \left[\text{sech}\left(\frac{K_{n\pi}}{\sigma_n}\right)\right]^{\beta_n} \tag{11}
\]

multiplied by a phase function \( \cos(n\Gamma) \), where

\[
\Gamma(r, \phi, t) = \gamma + N\Omega t = N\left[\phi + \Omega t - \phi_p(r_0) - \frac{\ln(r/r_0)}{\tan(\alpha)}\right] \quad \tag{12}
\]
Thus, for each term in the sum of equation (8), only the function $A_n(r, z)$ needs to be evaluated and stored. At any time, they can be combined according to

$$\Phi(r, \phi, z, t) = \sum_n A_n(r, z) \cos [n \Gamma(r, \phi, t)].$$ \hspace{1cm} (13)

We are grateful for useful conversations with Bob Benjamin and Matt Bershady, to the NASA Astrophysics Theory Program for financial support under NASA Grant NAG 5-8417 to the University of Wisconsin-Madison, and to México’s Consejo Nacional de Ciencia y Tecnología for support to G. C. G.

**APPENDIX**

**THE EXACT DENSITY DISTRIBUTION**

The exact density distribution reflected in the $n$th term of the potential of equation (8) is given by

$$\rho_n(r, \phi, z) = C_n \rho_0 \left( \frac{H}{D_n r} \right) \exp \left( -\frac{r - r_0}{R_s} \right) \left[ \frac{K_n z}{\beta_n} \right]^{\beta_n} \times \left\{ \left[ K_n r \left( \frac{\beta_n + 1}{\beta_n} \right) \right] \text{sech}^2 \left( \frac{K_n z}{\beta_n} \right) - \frac{1}{K_n r} \left[ E^2 + r E' \right] \right\} \cos (n \gamma) - 2E \cos(\alpha) \sin(n \gamma),$$

where

$$E = 1 + \frac{K_n H}{D_n} \left[ 1 - \frac{0.3}{(1 + 0.3 K_n H)^2} \right] - \frac{r}{R_s} - (K_n H)(1 + 0.8 K_n H) \ln \left[ \text{sech} \left( \frac{K_n z}{\beta_n} \right) \right] - 0.4(K_n H)^{3/2} \left( \frac{K_n z}{\beta_n} \right) \tanh \left( \frac{K_n z}{\beta_n} \right),$$

and

$$E' = \frac{K_n H}{D_n} \left[ 1 - \frac{0.3(1 - 0.3 K_n H)}{(1 + 0.3 K_n H)^2} \right] + \left( \frac{K_n H}{D_n} \left[ 1 - \frac{0.3}{(1 + 0.3 K_n H)^2} \right] \right)^2 - \frac{r}{R_s}$$

$$+ (K_n H)(1 + 1.6 K_n H) \ln \left[ \text{sech} \left( \frac{K_n z}{\beta_n} \right) \right] - \left[ 0.4(K_n H)^2 \left( \frac{K_n z}{\beta_n} \right) \text{sech} \left( \frac{K_n z}{\beta_n} \right) \right]^2 / \beta_n + 0.4(K_n H)^{3/2} \left( \frac{K_n z}{\beta_n} \right) \tanh \left( \frac{K_n z}{\beta_n} \right).$$

We have stated in the text that, except at very small $r$ or large $z$, the terms other than that in equation (10) are very small. Trying to state this more carefully, we note that for $K_n H \gg 1$, $E$ and $E'$ approach $K_n z$ and $-K_n z$, respectively. In that limit, the density function approaches

$$\rho_n(r, \phi, z) \approx C_n \rho_0 \exp \left( -\frac{r - r_0}{R_s} \right) \left[ \frac{K_n z}{\beta_n} \right]^{\beta_n} \left\{ \frac{\text{sech}^2 \left( \frac{K_n z}{\beta_n} \right) - \left( \frac{z}{r} \right)^2}{\beta_n} \right\} \cos (n \gamma) - 2 \left( \frac{z}{r} \right) \cos(\alpha) \sin(n \gamma).$$

From this it is clear that when

$$2 \left( \frac{z}{r} \right) \cos(\alpha) \geq \text{sech}^2 \left( \frac{K_n z}{\beta_n} \right),$$

the third term will exceed the first. This occurs even with our standard parameters; its effect can be clearly seen in Figures 3, 5, and 10, as a shift in phase of the density perturbation above about 500 pc. So, it is inaccurate to say that the small terms are everywhere negligible compared to the leading term. What is true is that the small terms will dominate at high $z$ and small $r$, but in practical cases of moderate $z/r$ and $K_n H \neq 1$, the small terms will be small where the density is large, and not very noticeable where it is small. They drop off slowly with $z$, about like the perturbation potential.

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