The ATLAS Inner Detector commissioning and calibration

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Abstract The ATLAS Inner Detector is a composite tracking system consisting of silicon pixels, silicon strips and straw tubes in a 2 T magnetic field. Its installation was completed in August 2008 and the detector took part in data-taking with single LHC beams and cosmic rays. The initial detector operation, hardware commissioning and in-situ calibrations are described. Tracking performance has been measured with 7.6 million cosmic-ray events, collected using a tracking trigger and reconstructed with modular pattern-recognition and fitting software. The intrinsic hit efficiency and tracking trigger efficiencies are close to 100%. Lorentz angle measurements for both electrons and holes, specific energy-loss calibration and transition radiation turn-on measurements have been performed. Different alignment techniques have been used to reconstruct the detector geometry. After the initial alignment, a transverse impact parameter resolution of $22.1 \pm 0.9 \mu m$ and a relative momentum resolution $\sigma_p/p = (4.83 \pm 0.16) \times 10^{-4} GeV^{-1} \times p_T$ have been measured for high momentum tracks.

1 Introduction

The ATLAS detector [1] is one of two large general-purpose detectors designed to probe new physics at the unprecedented energies and luminosities available at the Large Hadron Collider at CERN [2]. ATLAS is divided into three major regions: a large toroidal-field high-precision muon spectrometer surrounding a set of high-granularity calorimeters which, in turn, surround an optimized, multi-technology tracker situated in a 2 T magnetic field provided by a solenoid.

This central tracking detector is referred to as the Inner Detector (ID). This paper describes the commissioning and calibration of the Inner Detector from its final installation in August of 2008 through cosmic-ray data-taking until the end of the year. In this period the full tracking system operated for the first time. The aim of this commissioning phase was to prepare the detector for LHC collisions which took place in 2009. The necessary steps were:

- to operate all the services and controls,
- to perform an in-situ calibration of the detector,
- to synchronise all sub-detectors,
- to measure efficiency and noise occupancy for each sub-detector in combined operation,
- to test the reconstruction software and the tracking triggers on real data,
- to perform an initial alignment of the detector.

A significant component of the commissioning involved setting up the hardware and software infrastructure needed to operate the detector. This included the calibration procedures, which will be repeated regularly during proton-proton data-taking periods. The most relevant aspects are therefore described here.

Cosmic-ray events were used to perform a preliminary alignment and to commission the track reconstruction. They mostly consist of a single muon traversing the whole detector, and have a hard momentum spectrum. Their kinematics makes them particularly suitable for some specific measurements, for example intrinsic detector efficiency, track resolution and study of detector response to ionisation as a function of momentum and incident angle.

The layout of the paper is as follows. The main components of the ID are briefly described in Sect. 2. The operating modes and conditions during the different data-taking periods, the reconstruction software and the tracking triggers are described in Sect. 3. The synchronisation of the
sub-detectors is presented in Sect. 4 and the calibration procedures and results in Sect. 5. Section 6 describes the alignment, while Sect. 7 presents measurements of the detector performance: intrinsic efficiency, the Lorentz angle in silicon for both electrons and holes, resolution of tracking parameters, the specific energy loss for particle identification at low momentum and the observation of transition radiation turn-on.

In the following, the ATLAS coordinate system will be used. The nominal interaction point is defined as the origin of a right-handed coordinate system. The beam direction defines the $z$-axis and the $x$–$y$ plane is transverse to it. The positive $x$-axis is defined as pointing from the interaction point to the centre of the LHC ring and the positive $y$-axis points upwards. Cylindrical coordinates $R$ and $\phi$ are often used in the transverse plane. The pseudorapidity $\eta$ is defined in terms of the polar angle $\theta$: $\eta = -\ln \tan(\theta/2)$.

Tracks are described using the parameters of a helical trajectory at the point of closest approach to the $z$-axis: the transverse impact parameter, $d_0$, the $z$ coordinate, $z_0$, the angles of the momentum direction, $\phi_0$ and $\theta$, and the inverse of the particle momentum multiplied by the charge, $q/p$.

### 2 The ATLAS Inner Detector

The layout of the Inner Detector is shown in Fig. 1. The acceptance in pseudorapidity is $|\eta| < 2.5$ for particles coming from the LHC beam-interaction region, with full coverage in $\phi$. The detector has been designed to provide a transverse momentum resolution, in the plane perpendicular to the beam axis, of $\sigma_{p_T}/p_T = 0.05\%p_T$ GeV $\oplus 1\%$ and a transverse impact parameter resolution of 10 $\mu$m for high momentum particles in the central $\eta$ region [1]. The Inner Detector comprises three complementary sub-detectors: the Pixel Detector, the SemiConductor Tracker and the Transition Radiation Tracker. Relevant features are described briefly below; full details can be found in [1].

**The Pixel Detector** sensitive elements cover radial distances between 50.5 mm and 150 mm. The detector consists of 1 744 silicon pixel modules [3] arranged in three concentric barrel layers and two endcaps of three disks each. It provides typically three measurement points for particles originating in the beam-interaction region. Each module covers an active area of 16.4 mm$\times$60.8 mm and contains 47 232 pixels, most of size 50 $\mu$m $\times$ 400 $\mu$m. The direction of the shorter pitch defines the local $x$-coordinate on the module and corresponds to the high-precision position measurement in the $R\phi$ plane. The longer pitch, corresponding to the local $y$-coordinate, is oriented approximately along the $z$ direction in the barrel and along $R$ in the endcaps. A module is read out by 16 radiation-hard front-end chips [4] bump-bonded to the sensor; the total number of readout channels is $\sim 80.4$ million. Hits in a pixel are read out if the signal exceeds a tunable threshold. The pulse height is measured using the Time-over-Threshold (ToT) technique.

**The SemiConductor Tracker** (SCT) sensitive elements span radial distances from 299 mm to 560 mm. The detector consists of 4 088 modules of silicon-strip detectors arranged in four concentric barrels and two endcaps of nine disks each. It provides typically eight strip measurements (four space-points) for particles originating in the beam-interaction region. The strips in the barrel are approximately parallel to the solenoid field and beam axis, and have a constant pitch of 80 $\mu$m, while in the endcaps the strip direction is radial and of variable pitch. Most modules [5, 6] consist of four silicon-strip sensors [7]; two sensors on each side are daisy-chained together to give 768 strips of approximately 12 cm in length. A second pair of identical sensors is glued
back-to-back with the first pair at a stereo angle of 40 mrad to provide space points. The strips are read out by radiation-hard front-end readout chips [8], each chip reading out 128 channels; the total number of readout channels is \( \sim 6.3 \) million. The hit information is binary: a hit is registered if the pulse height in a channel exceeds a preset threshold, normally corresponding to a charge of 1 FC.

Measurements in the silicon detectors often perform a selection on the angle of a track incident on a module. The angle between a track and the normal to the plane of a sensor is called \( \alpha \). The angle between a track and the normal to the sensor in the plane defined by the normal to the sensor and the local \( x \)-axis (i.e. the axis in the plane of the sensor corresponding to the high-precision measurement in the Pixel Detector or perpendicular to the strip direction in the SCT) is termed \( \phi_{\text{local}} \).

The Transition Radiation Tracker (TRT) sensitive volume covers radial distances from 563 mm to 1066 mm. The detector consists of 298 304 proportional drift tubes (straws), 4 mm in diameter, read out by 350 848 channels of electronics. The straws in the barrel region are arranged in three cylindrical layers and 32 \( \phi \) sectors; they have split anodes and are read out from each side [9]. The straws in the end-cap regions are radially oriented and arranged in 80 wheel-like modular structures [10]. The TRT straw layout is designed so that charged particles with transverse momentum \( p_T > 0.5 \) GeV and with pseudorapidity \( |\eta| < 2.0 \) cross typically more than 30 straws. The TRT provides electron identification via transition radiation from polypropylene fibres (barrel) or foils (endcaps) interleaved between the straws. The much higher energy of the transition radiation photons (\( \sim 6 \) keV compared with the few hundred eV deposited by an ionising particle in the Xe, CO\(_2\), O\(_2\) gas) is detected by a second, high-threshold, discriminator in the radiation-hard front-end electronics [11].

The Beam Conditions Monitor (BCM) [12] is designed to monitor the rate of background particles and to protect the silicon trackers from instantaneous high radiation doses caused by LHC beam incidents. The BCM consists of two stations, forward and backward, each with four modules located at a radius of 5.5 cm and at a distance of ±1.84 m from the interaction point. Each module has two pCVD diamond sensors of 1 \( \times \) 1 cm\(^2\) surface area and 500 \( \mu \)m thickness mounted back-to-back. The 1 ns signal rise-time allows the discrimination of particle hits due to collisions (in-time) from background (out-of-time). The BCM signal provides both trigger information and an instantaneous hit-rate used as input to a beam-abort signal.

Readout systems The Pixel and SCT detectors’ readout systems use optical transmission for the outgoing module data and the incoming timing, trigger and control data. The transmission is based on VCSELs operating at a wavelength of 850 nm and radiation-hard fibres [13, 14]. For each SCT module, there are two optical links operating at 40 Mbits/s for the data readout. Redundancy is implemented to allow for the loss of one optical link, without significant loss of data. For the cosmic-ray data-taking, the Pixel Detector links also operated at 40 MBit/s. The TRT uses shielded twisted-pair lines to transfer data to a patch panel inside the muon spectrometer, where up to 31 lines are multiplexed [15] into one 1.6 Gbits/s optical link.

The off-detector readout electronics is based on custom-made Read-Out Driver (ROD) modules [16, 17]. The RODs gather the data belonging to a single trigger into one packet (and in the case of the TRT perform data compression) and transmit the data to the ATLAS readout system using optical links operating at 1.6 Gbits/s [15]. The RODs also perform monitoring and calibration tasks [18].

Cooling The silicon detectors are cooled with a bi-phase evaporative system [19] which is designed to deliver C\(_3\)F\(_8\) fluid at \(-25^\circ\)C in the low-mass cooling structures on the detector. The target temperature for the silicon sensors after irradiation is 0 \( ^{\circ} \)C for the Pixel Detector and \(-7^{\circ} \)C for the SCT; these values were chosen to mitigate the effects of radiation damage. In the commissioning phase in 2008 both detectors limited the coolant temperature to \(-10^{\circ} \)C in the circuits cooling their sensors. The resulting sensor temperatures were in the range \(-7^{\circ} \)C to \(+5^{\circ} \)C, depending on layer and module type. In 2009 the coolant temperature was reduced. Sensor temperatures were in the range \(-17^{\circ} \)C to \(-7^{\circ} \)C for the Pixel Detector and \(-7^{\circ} \)C to \(-2^{\circ} \)C for the SCT.

In contrast to the silicon detectors, the TRT operates at room temperature. The electronics is cooled by a monophase-liquid cooling loop separate from the Pixel and SCT bi-phase system.

3 Data samples and operation conditions

3.1 Data-taking periods

In 2008 the Inner Detector participated in three main data-taking periods:

- Single-beam LHC running. Particularly relevant were the so called beam-splash events, where the LHC beams were directed into the tertiary collimators located 150 m from the interaction point in order to provide secondary particles crossing the whole cross-section of the ATLAS detector. Since the incident particles had a direction almost parallel to the beam axis, they crossed many detector elements and were used for synchronization of the individual TRT readout units (see Sect. 4). For reasons of detector
safety, during this period the Pixel Detector and SCT barrel were switched off and the SCT endcaps were operated at a reduced bias voltage of 20 V instead of 150 V, with the readout threshold increased to 1.2 fC to reduce the data volume.

- Combined ATLAS cosmic-ray run. Data were taken by the full ATLAS detector with different magnetic field combinations: toroid and solenoid switched on and off independently.

- Standalone ID cosmic-ray run. Only the Inner Detector took part in this run, which used a newly introduced Level-1 tracking trigger (see Sect. 3.4). All data taken during this period were with the solenoid off.

Cosmic rays come predominantly from the vertical direction. They were therefore particularly useful for studying the barrel region of the detector, where they resemble particles from collisions.

In the time between the combined and standalone cosmic-ray data-taking periods, a complete tuning and calibration of the detectors was performed as detailed in Sect. 5.

A summary of the numbers of reconstructed tracks in the 2008 cosmic-ray data-taking periods is shown in Table 1. Similar data-taking periods in 2009 have been used to confirm the performance achieved in the 2008 commissioning period.

### 3.2 Operating conditions

Most of the detector was operational during the cosmic-ray data-taking periods. Loss of coverage was mainly due to issues with the recently-commissioned evaporative cooling system and the optical links. The fractions of non-operational channels in each sub-detector are summarised in Table 2.

In the Pixel Detector three cooling loops, each serving 12 modules, showed apparent leaks, two on the positive-\(z\) endcap and one on the negative-\(z\) endcap. For safety, these loops were disabled in 2008, but were operated successfully in 2009, after the installation of a leak-monitoring system during the winter shutdown. In the SCT, 36 modules in the negative-\(z\) endcap were turned off because of problems in two cooling loops. One of these loops was repaired after the end of 2008 operation, resulting in the recovery of 23 modules.

![Table 1](image1.png)

**Table 1** Number of tracks collected during the 2008 cosmic-ray runs. Numbers are given for all reconstructed Inner Detector tracks, those having at least one SCT hit and those having at least one Pixel hit

| Detector | Solenoid off | Solenoid on |
|----------|--------------|-------------|
| All      | 4 940 000    | 2 670 000   |
| ≥1 SCT hit | 1 150 000   | 880 000     |
| ≥1 Pixel hit | 230 000     | 190 000     |

A major problem with the optical links for the SCT and Pixel detectors was the failure of VCSEL arrays in the off-detector electronics. The loss of data for the SCT was reduced because of the redundancy system, but the problem prevented the read-out of 35 pixel modules in the combined run. These were recovered by replacing the defective VCSEL arrays with spare parts between the combined and standalone data-taking periods. The VCSEL failures are believed to be due to Electro Static Discharge (ESD) damage. During the 2008–2009 shutdown all VCSEL arrays in the off-detector electronics were replaced with new components produced with much tighter ESD controls. A very low rate of problems was observed in 2009.

Remaining inactive parts in the Pixel Detector and SCT were mainly due to failure in high- or low-voltage connections.

In the TRT barrel 1.6% of the straws were inactive due to mechanical problems in the detector which had been noted prior to installation and 0.7% were inactive due to scattered electronics problems at the board and chip level after installation. In the endcaps about 1.6% of the electronics channels were inactive, largely due to high- and low-voltage power connection problems, while only 0.3% of the straws had known mechanical problems. The mechanical defects were always straw cathodes that had been deformed during module or wheel construction so that they would not reliably hold high-voltage, and in these cases the anode wires were removed. These numbers remained essentially constant throughout the 2008 and 2009 data-taking periods.

The detector conditions were supervised and monitored by a Detector Control System [20], which monitored high-voltage and low-voltage values, temperatures and other environmental parameters. In particular the applied bias voltage on the silicon detectors was used to compute the Lorentz angle (Sect. 7.2) during track reconstruction, and the detector status was used to assess the data quality.
Monitoring software [21] running within the ATLAS Athena framework [22] was used to analyse data and to reconstruct tracks as described in Sect. 3.3, both online during the physics run and during offline reconstruction. The lightweight online monitoring ran on a limited subset of data, while the offline monitoring provided more in-depth analysis over larger samples of data.

### 3.3 Track reconstruction

Data were reconstructed using ATLAS software in the Athena framework [22]. In a first step, groups of contiguous pixels (in the Pixel Detector) or strips (in the SCT) with a hit were grouped into clusters. Channels which were noisy, as determined from either online calibration data or offline monitoring, were rejected at this stage. The one-dimensional strip clusters from the two sides of an SCT module were combined into three-dimensional space-points using knowledge of the stereo angle and the radial (longitudinal) positions of the barrel (endcap) modules; in the case of pixel clusters, only the knowledge of the radial (longitudinal) position was necessary to construct a barrel (endcap) space-point. The construction of TRT drift circles, i.e. the radial distance of the particle trajectory to the wire in a tube, required knowledge of the time of the cosmic ray passing through, which was determined using the iterative procedure described in Sect. 4. The three-dimensional space-points, in the Pixel Detector and SCT, and the drift circles, in the TRT, formed the input to the pattern-recognition algorithms.

The track reconstruction [23] started the pattern recognition by using space-points from the silicon detectors. In cosmic-ray data, these track candidates were allowed to span the central beam-axis region, and no cut was placed on the transverse impact parameter $d_0$. These silicon-only tracks were extended in both directions into the TRT, and refitted using all associated space-points from the silicon and TRT detectors. As shown in Table 1, a significant fraction of tracks from cosmic rays do not pass through the silicon detectors, and these were found by running a TRT stand-alone track-finding algorithm on the remaining measurements. At all stages, the track fitting was performed using the global $\chi^2$ fitter described in [24].

To measure the resolution of the track parameters the cosmic-ray tracks which traverse the ATLAS detector from top to bottom were split into two halves. This was done by fitting two new tracks, each containing the hits in the upper or lower half of the detector only. These new tracks are referred to as split tracks. Figure 2 shows the momentum and angular distributions of the split tracks as measured in data. The shapes of the $\phi_0$ and $\theta$ distributions reflect the fact that particles could enter the ATLAS cavern through the access shafts more easily than through the rock. The range of $\phi_0$ is always negative as the split tracks in both the upper and lower halves of the detector are reconstructed from top to bottom. The high $\mu^+/\mu^-$ asymmetry in the low momentum bins in Fig. 2(a) is due to the toroid deflecting $\mu^-$ coming from the shafts away from the ID. The resolution results are presented in Sect. 7.3.
3.4 Tracking triggers

The ATLAS trigger system has a three-level architecture: Level-1, Level-2 and Event Filter. Level-2 and Event Filter together form the High Level Trigger (HLT) [1].

The trigger for cosmic-ray events was provided by the muon or calorimeter systems at Level-1. For the ID standalone data-taking, a Level-1 TRT trigger was added, based on a fast digital OR of groups of approximately 200 TRT straws [25].

Three Inner Detector tracking algorithms were run at Level-2. One algorithm was specifically designed for cosmic-ray running and used only barrel TRT information. It reconstructed tracks in a search window of up to about 45° to the vertical in azimuthal angle. The other two algorithms [26] were designed for collisions but were adapted for cosmic-ray running in order to exercise the algorithms online and also to complement the coverage of the TRT trigger. These algorithms started with track reconstruction in the silicon detectors and then extrapolated tracks to the TRT. As a consequence of being designed for collisions, the cosmic-particle trajectory was reconstructed as two tracks: one going upwards and the other downwards. The two algorithms used a common input consisting of space-points formed from clusters of hits in the pixel layers and from associated stereo-layer hits in the SCT. They shared common tools for track fitting and extrapolation to the TRT, but differed in the initial track-finding step:

- SiTrack was based on a combinatorial method. It first looked for pairs of space-points in the inner layers consistent with beam-line constraints, then combined these pairs with space-points in other layers to form triplets and finally merged triplets to form track candidates. In order to achieve good efficiency in cosmic-ray data-taking, the beam-line constraints were relaxed compared with those used for collision data.

- IDSCAN used a three-stage histogramming method to first determine the z-coordinate (position along the beam) of the interaction point in collision events, and then look for track candidates consistent with this interaction point. For cosmic-ray data-taking a first step was introduced which shifted the space-points in the direction transverse to the beam-axis, so that the shifted points lay on a trajectory passing close to the nominal beam position.

The efficiency of the Level-2 ID cosmic-ray trigger was determined using events triggered by the Level-1 muon trigger and containing an offline ID track. In Fig. 3 the efficiency is shown as a function of the transverse impact parameter of the offline track, $d_0$, for each of the three different algorithms as well as for the combined trigger. The efficiency was calculated for the sample of offline tracks with 3+3 space-points on the upper+lower track segments in the silicon barrel. The track was also required to be within the TRT readout time window. The efficiency for IDSCAN and SiTrack falls off for tracks with $d_0$ approaching the radius of the first SCT layer (300 mm). The space-point shifting step that precedes IDSCAN fails for high curvature tracks, and this is reflected in a lower efficiency for IDSCAN. The combined efficiency is $(99.96 \pm 0.02)\%$.

3.5 Simulation

Cosmic-ray events were simulated by a sequence which first generated single particles at the surface above ATLAS, then filtered them for acceptance in the detector and finally ran the standard detector simulation, digitisation and reconstruction.

The generator used the flux calculations in [27] and a standard cosmic-ray momentum spectrum [28]. Muons pointing to a sphere representing the inside of the experimental cavern were propagated through the rock, cavern structures and the detector using simulation software based on GEANT4 [29, 30]. To increase the acceptance, only events with at least one hit in a given volume inside the detector were submitted to the digitization algorithms and the event reconstruction. The digitisation was adapted to reproduce the timing properties of cosmic-ray muons (see Sect. 4), and tracks were reconstructed as described in Sect. 3.3.

4 Detector timing

All sub-detectors use a common clock signal, with a 25 ns period corresponding to the spacing of LHC bunch-crossings (BC). This is either an ATLAS internal clock or
one provided by the LHC and synchronised to the bunch-crossing. A delay to this signal is then applied by each detector component in order to account for signal propagation times.

A major difference between cosmic-ray running and detector operation with LHC collisions is that cosmic-ray events occur evenly distributed in the interval between two clock edges. In order to properly treat cosmic-ray events, it is therefore necessary to measure for each event the time difference between the clock edge and the passage of the cosmic-ray particle. This time difference is then an input to the track reconstruction and analysis. The TRT timing determines the precision of this measurement, because the granularity of its leading-edge measurement is 3.125 ns (1/8 of a BC) instead of one BC as for the silicon detectors. It is therefore used as a reference. The broader readout window of the Pixel Detector helped in verifying the coarse selection of beam clock offsets for both the TRT and SCT, and in understanding the trigger time offsets for the various triggers used in cosmic-ray data-taking.

4.1 TRT timing

TRT timing requirements are set by the constraint that both the leading-edge and trailing-edge transitions of a signal must be within the 75 ns (three BC) readout window. About 50 ns are required to cover the range of electron drift times at the full 2 T magnetic field. Propagation time differences within a front-end board are about 5 ns and, combined with small cabling and time-of-flight effects, imply that a time offset bigger than 10 ns would result in acceptance losses. The readout timing was initially synchronized across the detector using measured cable lengths, which gave a spread of ±5 ns in the barrel, and within one bunch-crossing in the endcaps.

In the barrel region, the time offset $T_0$ for each Trigger, Timing and Control unit [11] was improved using cosmic-ray tracks, and the corresponding corrections were applied to the hardware settings. These offsets were validated using the LHC beam-splash events. In these events many particles passed through the detector at the same time. Almost every TRT straw was hit multiple times and, apart from time-of-flight effects, different parts of the detector were hit simultaneously. Figure 4(a) shows $T_0$ settings which were estimated with a single beam-splash event. In these events many particles passed through the detector at the same time. Almost every TRT straw was hit multiple times and, apart from time-of-flight effects, different parts of the detector were hit simultaneously. Figure 4(a) shows $T_0$ settings which were estimated with a single beam-splash event. Since the readout timing before beam-splash events had already been adjusted using cosmic-ray events, the systematic effect due to time-of-flight in cosmic-ray data can clearly be seen. Apart from this, the measured time is uniform, with variations of about 1 ns. These settings were monitored in the subsequent running periods and they have remained stable.

In the endcap regions very few cosmic-ray events had been collected by September 2008. The initial correction was derived from beam-splash data. This adjustment was validated using cosmic-ray data and, after subtracting the time-of-flight, the measured $T_0$ constants in the endcap showed an accuracy of 1.3 ns.

In the cosmic-ray run the TRT time measurement was used to determine the time, $T_{\text{TRT}}$, of a cosmic ray passing through the ID. This was determined by the average of measured TRT leading-edge times for all hits on a track, corrected for electron drift time and offline $T_0$ calibration constants (see Sect. 5.3). Since the estimated electron drift time depends on the track trajectory, the track was first fit using only the position of the centre of each hit wire, without using the drift-time information. These track parameters were then used to estimate $T_{\text{TRT}}$ and this estimate was used to correct the position of TRT hits and to repeat the track fit.
The accuracy of this $T_{TRT}$ measurement procedure was studied by splitting the cosmic-ray track into upper and lower parts and fitting $T_{TRT}$ separately for each. The time difference between the two segments is shown in Fig. 4(b). The resolution is estimated as the spread of this difference, divided by two. This factor assumes a statistical error only, and is a combination of a $\sqrt{2}$ due to both upper and lower $T_{TRT}$ uncertainties contributing to the spread, and another factor of $\sqrt{2}$ because split tracks have half the number of hits. The accuracy of $T_{TRT}$ for barrel tracks in the 2008 cosmic-ray data was shown to be better than 1 ns.

4.2 Pixel Detector timing

The Pixel Detector front-end electronics can read out up to 16 consecutive BC for each trigger [4]. Each recorded hit includes the number of the BC in which it occurred. At luminosities higher than $10^{32}$ cm$^{-2}$ s$^{-1}$, the expected occupancy will only permit read-out of a single BC per trigger. In cosmic-ray data-taking the low trigger rate allows a broader time window. In the 2008 commissioning run, eight BC were read out per trigger.

The BC distribution for hits from cosmic-ray muons is shown in Fig. 5(a). The spread is due to the convolution of the front-end electronics timewalk, which results in low pulse-height hits being assigned to a late BC, and to the uniform time distribution of cosmic rays.

The distribution of hits among bunch crossings can be used to improve the detector timing relative to the corrections computed from measured signal delays in cables and read-out electronics.

Module-to-module synchronization in the barrel was assessed averaging the BC, corrected for $T_{TRT}$, of clusters with a pulse height greater than 15 000 e. The subtraction of $T_{TRT}$ reduces the spread due to the event time and the requirement on pulse height removes the timewalk effect. The measured values are shown in Fig. 5(b) and indicate a time variation of 0.17 BC, equivalent to 4.25 ns without any specific module-to-module tuning. The measured values are shown in Fig. 5(b) and indicate a time variation of 0.17 BC, equivalent to 4.25 ns without any specific module-to-module tuning. This is sufficient to obtain full efficiency in the readout window used for detector commissioning. To reduce the spread and extend the tuning to the endcap region, the higher statistics from collision events will be needed.

4.3 SCT timing

The readout of the SCT needs to be synchronized with the bunch-crossing time to ensure that the signal is sampled at the peak of the charge-response curve. In cosmic-ray data-taking, a strip is read out if the signal is above threshold in any one of three 25 ns time-bins centred on the triggered bunch-crossing.

Prior to cosmic-ray data-taking, the timing of each module was adjusted to compensate for differences in the lengths of the optical fibres used for data transmission to and from the modules. During data-taking, the overall timing of the SCT was adjusted in steps of 25 ns until a peak in occupancy associated with tracks was observed. No attempt was made to refine this timing using finer adjustments, and no corrections for time-of-flight were applied.

The degree of synchronisation of the SCT was studied using the cosmic-ray timing derived from the TRT. Figure 6 shows the fraction of in-time clusters on a track as a function of $T_{TRT}$ for barrel modules. The clusters were required to contain at least two strips, all from the same BC, to reduce the effect of variations in the charge-collection time. The distribution has a flat top with a width of about 25 ns and can be fitted to a step function convolved with two Gaussian functions. The peak time of the charge response corresponds to the mid-point of the step function. Separate fits have been performed for the SCT barrel modules served by a single optical-fibre ‘harness’ (each harness serves six modules on a barrel at the same azimuthal angle). Most of the barrel harnesses are well synchronised: the r.m.s. width of the distribution is 1.8 ns.
4.4 BCM timing

Even though the BCM acceptance for cosmic rays is very limited, during the November 2008 operation, a total of 131 events had muons passing through this detector. These allowed the relative timing between the BCM signal and the trigger to be measured. From the timing distributions, an offset of $19.5 \pm 0.4$ BC was observed for triggers based on the muon system and of $19.4 \pm 0.1$ BC for the events triggered by the TRT Fast-Or, as shown in Fig. 7. These observed time offsets agree well with the expectation of 19 BC from the estimation of propagation time along cables and optical fibres.

Fig. 6 Fraction of in-time clusters on track as a function of $T_{\text{TRT}}$ for SCT barrel modules. The curve shows a fit to a step function convolved with two Gaussian functions. The peak time of the response curve is assumed to be at the centre of the step function

5 Sub-detector calibration

To be prepared for data-taking, each sub-detector performs a set of calibrations necessary to provide a uniform response, to map defective channels and to ensure an acceptable noise rate. Offline calibrations are then obtained during normal data-taking. They consist of additional noise suppression and, for the Pixel Detector and TRT, corrections to the position measurement of reconstructed tracks.

During collision data-taking, it is planned that offline calibrations will be performed on a subset of the data and the bulk processing of most data will start only after these calibrations have been validated. This model could not be applied during the 2008 data-taking, since the rate of events with tracks, especially in the silicon detectors, is many orders of magnitude lower than in LHC collisions. Therefore offline calibration results were only used in the reprocessing at the end of the data-taking period.

5.1 Pixel Detector calibration

The calibration of the Pixel Detector consists in tuning the optical communication links and adjusting the front-end electronics to provide uniform thresholds and response to injected charge. Suppression of noisy channels is also done at this time. Data for these calibrations are acquired in special runs. The quality of the calibration is then verified using measurements of noise rate, charge collection and timing in normal ATLAS runs. The cluster reconstruction algorithm, which uses the pulse height to improve the accuracy of the position measurement is also calibrated.

The optical data-links contain arrays of 8 or 16 VCSEL devices [14, 31]. The bias voltage which controls optical power can only be adjusted for the data-link as a whole. Due to the spread in the device characteristics, the optical power for a setting is not uniform and a scan of the bias voltage is performed to determine a suitable value for all devices in the data-link. A bit-error rate of $<2.7 \times 10^{-8}$ with a confidence level of 99% was measured for the two bandwidth configurations, 40 and 80 Mbits/s, which will be used for operation up to a luminosity of $10^{33}$ cm$^{-2}$ s$^{-1}$. At higher luminosity, the innermost layer will be operated at a readout speed of 160 Mbits/s, by using two 80 Mbits/s channels for each module.

Threshold calibration of the front-end electronics is performed by injecting known amplitude signals into the input of the electronics chain. The fraction of observed hits as a function of the injected charge is fitted with an error function, providing the threshold, defined as the 50% efficiency point, and the electronic noise. An 8-bit DAC is used to adjust the threshold to the target value. The distributions of threshold and noise for the whole detector are shown in Fig. 8. At the nominal working point, corresponding to a 4000 $e$ threshold, a uniformity of 40 $e$ r.m.s. is
achieved after tuning. In these conditions the average noise level is 160 e for most pixels, and slightly higher for pixels of 600 µm size (long pixels) or for pairs of interconnected pixels (ganged pixels), which are used to cover the otherwise dead area between front-end chips [32]. The tails in Fig. 8 correspond to $4 \times 10^{-5}$ of channels differing by more than 250 e from the nominal threshold and $1.3 \times 10^{-4}$ of channels with noise greater than 600 e, which may give high noise occupancy during operation.

Due to the finite electronics rise-time, low-amplitude pulses may be assigned to a BC later than the one in which the signal is generated [4]. Therefore the in-time threshold is also measured. This is the minimal signal for which the hit is located in the same BC as the particle crossing. For the reference 4 000 e threshold, the in-time threshold is 5 400 e, with a r.m.s. spread of 240 e.

Due to the high threshold-to-noise ratio, random noise occupancy, i.e. the probability for a channel to give a noise hit per BC, is extremely low. Dedicated standalone runs with random triggers are used to find and mask the small fraction of channels that show an anomalous occupancy, greater than $10^{-6}$ hits/BC. Random triggers during normal data-taking runs are used for monitoring additional noisy channels which are not used in reconstruction if they have an occupancy greater than $10^{-5}$ hits/BC.

The actual fraction of noisy pixels was below $2.2 \times 10^{-4}$ for all the 2008 data-taking. After masking these channels, the noise occupancy was $\sim 10^{-10}$ hits/BC, corresponding to less then one noise hit per event in the Pixel Detector.

The pulse height is measured using the Time-over-Threshold (ToT) method. The relationship between amplitude and ToT is calibrated with charge injection and the resulting calibration curve is used to reconstruct the energy deposited in the detector by charged particles. The absolute scale of the ToT calibration can be estimated by comparing the observed spectrum of collected charge with the expectation obtained by combining the theoretical model of energy loss in silicon [33], the average energy needed to create an electron-hole pair, $W = 3.68 \pm 0.02$ eV/pair [34], and the effect of losses of collected charge due to the finite threshold of pixels (Fig. 9). For this study two methods were used. The first selected two-pixel clusters on tracks with incident angle $\alpha < 25^\circ$: for these clusters the losses due to threshold effects are negligible and the most probable value could be directly compared to theoretical predictions. The second compared the pulse height of one-pixel and two-pixel clusters in data and Monte Carlo as a function of $\alpha$ in the range $\alpha < 30^\circ$.

Both methods agreed, providing a calibration factor for the charge scale of $0.986 \pm 0.002$ (stat.) $\pm 0.030$ (syst.), consistent with unity. The largest systematic uncertainties are 2.4% from the spread of the measured values of $W$ [34–37] and 2% from the theoretical modelling of energy loss in silicon.
Pulse-height measurements improve the accuracy of the position measurement, in both the local x and y coordinates, for clusters consisting of more than one pixel. The charge-sharing ratios, \( \Omega_x \) and \( \Omega_y \), between the signals collected on the first and last row or column in the cluster

\[
\Omega_x = \frac{Q_{\text{last row}}}{Q_{\text{last row}} + Q_{\text{first row}}},
\]

\[
\Omega_y = \frac{Q_{\text{last column}}}{Q_{\text{last column}} + Q_{\text{first column}}}
\]

are used to correct the geometrical centre-of-cluster positions \((x_c, y_c)\) with a linear function

\[
(x_c, y_c) \rightarrow \left[ x_c + \Delta_x \left( \Omega_x - \frac{1}{2} \right), y_c + \Delta_y \left( \Omega_y - \frac{1}{2} \right) \right], \quad (1)
\]

with weights, \( \Delta_x \) and \( \Delta_y \), depending on the particle incident angle and cluster size [38].

Cosmic rays with transverse momenta \( p_T > 5 \text{ GeV} \) provided a calibration of \( \Delta_x \) for two- and three-pixel clusters and \( \phi_{\text{local}} < 45^\circ \) (Fig. 10), a range much wider than expected for particles from proton-proton collisions. Along the beam direction, the limited range of cosmic-ray polar angles (Fig. 2(c)) only allowed the \( \Delta_y \) calibration for two-pixel clusters up to \(|\eta| < 1\); collisions are needed to cover the full acceptance in pseudorapidity. This calibrated position-reconstruction algorithm is expected to provide a measurement accuracy of 6 \( \mu \text{m} \) in the transverse plane for two-pixel clusters.

### 5.2 SCT calibration

Good front-end calibration is essential to the operation of the SCT because of the binary readout employed. The channel thresholds must be set to provide good efficiency (>99%) and uniformity of response while keeping the noise occupancy below \( 5 \times 10^{-4} \) hits/BC. The calibration procedure is described in [18] and it follows a sequence similar to the one described for the Pixel Detector. Calibration runs are performed with the SCT data-acquisition system in a standalone mode, and the data analysed online. As a first step the parameters of the optical data links [13] are tuned to ensure reliable communication to and from the modules.

Threshold calibration is performed by injecting known charges into the front-end of each readout channel and measuring the occupancy as a function of threshold. For each input charge the dependence is parameterized using a complementary error function. The threshold at which the occupancy is 50% \( (V_{50}) \) corresponds to the median of the injected charge while the sigma gives the noise after amplification. Channel gains are extracted from the dependence of \( V_{50} \) on the input charge, and are used to set the discriminator thresholds. Channel-to-channel variations are compensated using a 4-bit DAC (TrimDAC). The TrimDAC steps can themselves be set to one of four different values to allow uniformity of response to be maintained when uncorrected channel-to-channel variations increase after irradiation. The achieved uniformity of response is shown in Fig. 11(a), which shows the distribution of the r.m.s. spread of \( V_{50} \) values on a chip. Distributions are shown separately for chips in each TrimDAC range; most of the chips are configured in the finest setting, with a small spread. After irradiation it is expected that coarser settings will become necessary. The uniformity at the nominal threshold of 1 fC, corresponding to a signal of 54–58 mV, is \( \sim 4\% \). The corresponding noise level, shown in Fig. 11(b), is between 900 and 1 700 e, depending on the strip length.

Threshold scans with no injected charge are used to measure the noise occupancy and strips with occupancy greater than \( 5 \times 10^{-4} \) hits/BC are disabled. Figure 12 shows the occupancy values measured in calibration mode after removing the \( \sim 0.2\% \) of noisy strips. Normal data-taking runs are used for the identification of noisy channels which escape detection during the calibration runs. Strips with an occupancy above \( 5 \times 10^{-3} \) hits/BC are subsequently removed during reconstruction. The number of such strips never exceeds 0.1% of the channels. The noise occupancy in cosmic-ray data was calculated as the number of hits per event not associated to a track, per channel and BC. This rate was found to be of order of \( 10^{-5} \), in good agreement with the calibration-mode data.

### 5.3 TRT calibration

As for the other sub-systems, the first step in calibrating the TRT is to adjust the data-links to provide reliable communication. There are separate steps for adjusting, on one hand, the phasing of the clock and the trigger and control lines

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**Fig. 10** Residual between track extrapolation and the centre-of-cluster position in the Pixel Detector for two-pixel clusters in the local x direction and different incident angles. The measured slopes are used to improve the position resolution with respect to the purely binary readout according to (1)
Fig. 11  SCT threshold dispersion and noise from calibrations at 2 fC threshold based on charge injection. (a) Distribution of the r.m.s. spread of the threshold $V_{t50}$ for each chip. The average values for each trim range are given. (b) Distribution of the input noise values for each chip as obtained in response curve tests. The average values for each detector region are given. The average SCT sensor temperatures for barrel and endcap modules as estimated from the operation conditions are also given.

![Graph of SCT threshold dispersion](image1)

**ATLAS SCT Calibration 2008**  
Endcaps: -4.5 °C  
Barrel: 4.5 °C

**Fig. 12** The SCT noise occupancy per channel measured in calibration mode at 1 fC threshold for barrel and endcap modules in 2008 data. The dotted line is the specification value of $5 \times 10^{-4}$. A fraction of 0.2% of strips with occupancy above specification are excluded. The average noise occupancies and operational temperatures are shown.

![Graph of SCT noise](image2)

**ATLAS SCT Calibration 2008**  
Endcaps: -4.5 °C  
Barrel: 4.5 °C

and, on the other hand, the phasing of the data lines from the front end into the optical links going to the TRT RODs. Noise data are then acquired in special calibration runs and are used for the high-uniformity tuning of detector thresholds.

The effective gain and inherent noise of the front-end chips were measured during production by injecting each channel with known amplitude signals at multiple threshold settings. At the board, module and detector level, thresholds were set to give a noise occupancy corresponding to the desired threshold in fC. The uniformity of the random noise occupancy (or rate) for different detector elements at the same effective threshold gives a measure of element-to-element matching.

The TRT low (tracking) threshold is set to about 2 fC, corresponding to 250 eV of deposited ionization energy. This setting gives an average noise occupancy of about 2% for the three bunch-crossings sampled by each trigger. This calibration process achieves a uniform response to particles across the detector, correcting, for example, for the effect on the physical thresholds of ground offsets in the low voltage levels supplied to the front-end electronics. Figure 13 shows the TRT low threshold noise occupancy in 2008 cosmic-ray data. The occupancy is uniform with a r.m.s. spread across the detector of 0.5%. The ~2% permanently dead straws and the handful with 100% occupancy are discarded.

Normal data-taking runs are used for the identification of noisy channels and measurement of random noise. These runs are also used to compute parameters needed to optimize the determination of the particle crossing point. The parameters consist of the $T_0$ for each 16-straw time-measuring chip and the global time-distance relationship, $R-T$, shown in...
Fig. 13 TRT low threshold noise occupancy for 2008 cosmic-ray data averaged over each group of eight straws

Fig. 14 Measured time–distance (R–T) relationship for the TRT barrel with solenoid field on

The R–T relationship is obtained by fitting a third-order polynomial to the distance of the reconstructed track from the centre of the straw as a function of the time of the leading-edge, corrected by TRT.

6 Alignment

The accuracy with which particle tracks can be reconstructed is limited by how precisely the positions and orientations of the ID sensor modules and wires are known. The requirement on the alignment quality is that the resolution of track parameters is to be degraded by no more than 20% with respect to the intrinsic resolution [39]. The silicon pixel and strip modules must be aligned with a precision of respectively 7 μm and 12 μm in the sensitive Rφ direction. In the z (R for the endcap) direction of silicon modules and for the TRT, the alignment precision is required to be of several tens of micrometres. In addition, the alignment should have minimal systematic effects which could bias the track-parameter determination.

The alignment is specified by a set of constants, six for each individual module or assembly structure (barrel layer, endcap disk, etc.) corresponding to the six degrees-of-freedom of a rigid body: three translations $T_x$, $T_y$ and $T_z$ with respect to the nominal position and three rotations $R_x$, $R_y$ and $R_z$ with respect to the nominal axis orientations.

Track-based alignment algorithms were used to determine alignment constants using the cosmic-ray data collected in 2008. The algorithms use the tracking residual distributions of the modules; a residual is defined as the distance between the position of the measurement and the intersection of the fitted track with that module. The alignment constants can be determined via a minimisation of the following $\chi^2$ function:

$$\chi^2 = \sum_{\text{tracks}} r^T V^{-1} r$$  \hspace{1cm} (2)$$

where the sum is over all tracks in a given event sample, $r$ is the vector of residuals for a given track and $V$ is the covariance matrix of those residuals. In general, $r$ is a function of both the track parameters,

$$\tau = (d_0, z_0, \phi_0, \theta, q/p),$$  \hspace{1cm} (3)$$

and of the alignment constants,

$$a = (T_x, T_y, T_z, R_x, R_y, R_z),$$  \hspace{1cm} (4)$$

of those modules with hits contributing to the track fit. The alignment was determined using the Global $\chi^2$ algorithm [40]. In this algorithm the $\chi^2$ given by (2) was simultaneously minimised with respect to $\tau$ and $a$ to determine the alignment constants.

The results were cross-checked using two alternative algorithms, which gave consistent results. In the Local $\chi^2$ algorithm [41, 42] the minimisation was done only with respect to $a$. In the Robust algorithm [43], used only for silicon detectors, the alignment corrections were calculated directly from the size of the residual bias. In all cases, an iterative procedure was used.

The 7.6 million tracks reconstructed in the Inner Detector during the 2008 cosmic-ray data-taking period were used to perform a preliminary alignment of the tracking system which significantly improved the tracking performance.

Because cosmic rays come from above and not from the centre of the ATLAS detector, more hits were recorded in silicon modules in the top and bottom quadrants of the barrel than the side quadrants or the endcaps. In addition, the large incidence angles in the side and endcap modules result in poor-resolution large or fragmented clusters. This limits the precision to which these regions of the Pixel Detector
Table 3  Alignment levels used with cosmic-ray data for the Inner Detector subsystems. Naming, brief description, number of structures and the total number of degrees of freedom to be aligned at each level are given. The six degrees of freedom per structure in (4) are used, unless otherwise indicated.

| Level | Brief description | Structures | Degrees of freedom |
|-------|-------------------|------------|--------------------|
| 0     | Total:            | 7          | 41                 |
|       | Whole Pixel detector | 1       | 6                  |
|       | SCT barrel and 2 endcaps | 3       | 18                 |
|       | TRT barrel (except $T_z$) and 2 endcaps | 3       | 17                 |
| 1     | Total: 14        | 84         |                    |
|       | Pixel barrel layers split into upper and lower halves plus 2 endcaps | 6+2 | 48                 |
|       | SCT barrel split into 4 layers plus 2 endcaps | 4+2 | 24                 |
| 2     | Total: 2472      |            |                    |
|       | Pixel barrel layers split into staves plus 2 endcaps | 112+2 | 684                |
|       | SCT barrel layers split into staves plus 2 endcaps | 176+2 | 1068               |
|       | TRT barrel modules (except $T_z$) | 96 | 480                |
|       | TRT endcap wheels (only $T_x$, $T_y$ and $R_z$) | $40 \times 2$ | 240                |
| 3     | Total: 3568      | 7136       |                    |
|       | Pixel barrel modules (only $T_x$ and $R_z$) | 1456 | 2912               |
|       | SCT barrel modules (only $T_x$ and $R_z$) | 2112 | 4224               |

and SCT can be aligned. Due to its structure and larger acceptance, the TRT is less sensitive to this anisotropy and its alignment precision was more uniform.

6.1 Global alignment

The alignment proceeds in stages from larger structures to the individual module level, as detailed in Table 3. At each stage more degrees of freedom are introduced, but the expected sizes of the corrections are smaller.

In the first step, the Level 0 alignment, the SCT barrel and two endcaps are aligned relative to the entire Pixel Detector, followed by the TRT alignment with respect to the silicon detectors. In aligning the TRT barrel, only 5 degrees of freedom are used; the $T_z$ is not considered because the TRT barrel modules are almost 1 m long and do not measure the $z$ coordinate.

Cosmic-ray simulation studies with a misaligned geometry showed that, using solenoid-on tracks for the silicon detectors’ Level 0 alignment, may lead to corrections being underestimated. The presence of a misalignment between the sub-detectors could lead to a bias in reconstructed track momentum, with part of the misalignment being absorbed into the curvature. Therefore these alignment corrections were derived using only solenoid-off data. The simulation tests also showed that the solenoid-off data were able to estimate the Level 0 misalignments with a precision better than 100 μm. This precision is limited by misalignments of the internal structures and by multiple Coulomb scattering effects.

Table 4  Level 0 alignment parameters, translations ($T_x$, $T_y$ and $T_z$) and rotation ($R_z$ only), of the SCT and TRT barrel, endcap A (positive $z$) and endcap C (negative $z$). The statistical errors were much smaller than the last digit.

| Structure          | $T_x$ [mm] | $T_y$ [mm] | $T_z$ [mm] | $R_z$ [mrad] |
|--------------------|------------|------------|------------|--------------|
| SCT barrel         | 0.9        | 0.6        | 0.5        | −1.8         |
| SCT endcap A       | −1.8       | 0.5        | 0.0        | −1.3         |
| SCT endcap C       | −0.4       | 0.6        | 1.0        | −1.3         |
| TRT barrel         | 0.2        | −0.1       | N/A        | 0.0          |
| TRT endcap A       | −1.5       | 0.2        | −3.4       | −7.0         |
| TRT endcap C       | −1.0       | 1.7        | 2.1        | 6.4          |

For the TRT instead, both a solenoid-on and a solenoid-off sets of tracks were used. The results were compared and found consistent within the uncertainties.

Shifts from the nominal positions of up to 2 mm were observed, with rotations $R_z$ of several mrad, as shown in Table 4; the rotations $R_x$ and $R_y$ were all consistent with zero.

6.2 Local alignment of the Pixel Detector and SCT

After the initial alignment of the detector components as a whole, the subsequent alignment levels consider smaller structures.

Due to the low statistics the endcaps were aligned globally, but no attempt was made to align individual disks or modules. The initial geometry for the alignment was based on the nominal position of the modules.
The first stage in the internal alignment of the Pixel Detector and SCT (Level 1) was the alignment of the pixel half-shell barrel layers, the full SCT barrel layers and the four endcap structures (two for each of the Pixel Detector and the SCT). The SCT barrel layers were considered to be rigid cylinders, whilst the pixel half-shells were considered rigid half-cylinders. For all the structures, the full set of 6 degrees of freedom was considered in the alignment. This level was aligned combining both solenoid-on and solenoid-off cosmic-ray data. The computed alignment corrections were of the order of hundreds of micrometres in all $T_x$, $T_y$ and $T_z$, with in particular a rotation of the first pixel upper half shell of almost 2 mrad with respect to the other layers.

The next step was the alignment of the Pixel Detector and SCT stave-by-stave (Level 2). The pixel staves are real structures, composed of 13 modules in the same $\phi$ position, which were assembled and surveyed. The SCT was not assembled in staves but the modules were individually mounted on the support cylinder. Nevertheless, for alignment purposes the SCT barrel was also split into rows of 12 modules. The staves were considered a rigid body and all 6 degrees of freedom were used. The alignment corrections for the translations of the staves were of the order of tens of micrometres.

Once the staves were aligned the alignment at module-to-module level (Level 3) was performed. The positions of pixel modules mounted within the staves were surveyed just after assembly [44]. This survey information was used as a starting point for the internal alignment of the pixel modules, but not to constrain the alignment corrections, because the deformation of staves after the survey was expected to be significantly larger than survey errors. This step was performed in the local coordinate system described in Sect. 2 for individual silicon modules.

The number of hits per module was much smaller than for the larger structures, and thus the statistical precision of the alignment becomes a significant consideration. Therefore the number of degrees of freedom was reduced to just two per module, $T_x$ and $R_z$. These two parameters were chosen because they were appropriate to describe the lateral bending along the pixel staves, the largest deformation observed in the residuals, with an amplitude reaching 500 $\mu$m for the worst case.

Pixel Detector and SCT residual distributions before and after the alignment procedure are shown in Fig. 15 for tracks with $p_T > 2$ GeV and $|d_0| < 50$ mm. These are compared to distributions obtained using a perfectly-aligned Monte Carlo simulation of cosmic rays. Before alignment the residual distributions are very wide compared to the Monte Carlo simulation and also biased. After alignment their widths were substantially reduced and the means are consistent with zero to within a few micrometres.

The residuals cannot be used to quote the point resolution, because their errors include a contribution from extrapolation uncertainties larger than the point resolution. This contribution also depends on the track momentum and silicon layer, resulting in strongly non-Gaussian distributions. By comparing the width of the aligned residual distributions to the simulation, and assuming that the only contribution to the increased width is from misalignments, the size of the remaining module-level misalignments is estimated to be approximately 20 $\mu$m.

### 6.3 Local alignment of the TRT

The second step of the TRT barrel alignment internally aligned the 96 individual TRT barrel modules (three layers of 32 $\phi$-sectors each). Although the straw anodes inside the barrel modules are physically separated at $z = 0$, no such distinction exists at the module level. As for the Level 0 barrel alignment, only five degrees of freedom were used, $T_z$ being non-measurable. The internal alignment was determined separately for different periods of cosmic-ray data taking, which could either be solenoid on or solenoid off. This internal alignment used TRT stand-alone tracks, giving high statistics because of the larger acceptance of the TRT volume. The size of the translation alignment corrections was of the order of 200–300 $\mu$m with respect to the nominal position of the modules.

In each endcap, the 40 wheels were aligned in three degrees of freedom: $T_x$, $T_y$, and $R_z$. The corrections for the translations were of the order of 100 $\mu$m and the rotations were tenths of a milliradian.

Figure 15(d) shows the residual distribution for tracks with $p_T > 2$ GeV in the barrel modules, both before and after alignment. The distributions are compared to those obtained using a perfectly aligned cosmic-ray Monte Carlo simulation. Again the width and bias of the residual distribution were improved after alignment.

### 6.4 Summary and perspectives

The cosmic-ray alignment significantly improved the track reconstruction and the track-parameter resolutions, presented in Sect. 7.3. The achieved level of precision, about 20 $\mu$m, ensures that track reconstruction efficiency with early LHC data will not be significantly affected by residual misalignments.

Local alignment with cosmic rays is statistically limited by the small acceptance of individual detector modules, especially in the endcap region. Therefore it was not possible to perform a Level 3 alignment in the endcaps. In addition, a reduced set of degrees of freedom was used in the barrel region. That not all possible misalignments can be recovered using only cosmic-ray data partially explains why the nominal Monte Carlo resolution has not yet been achieved.

In order to reach the design granularity, a high statistics sample of tracks from proton-proton collisions is needed.
When this has been collected, all 1,744 and 4,088 Pixel Detector and SCT modules will be aligned with the full set of degrees of freedom in (4). Individual TRT wires will also be aligned with the two more sensitive degrees of freedom: the translation along the $\phi$ direction and the rotation about the $R$ or $z$ directions in the barrel and endcap regions, respectively.

7 Detector performance

7.1 Intrinsic detector efficiency

The intrinsic detector efficiency measures the probability of a hit being registered in an operational detector element when a charged particle traverses the sensitive part of the element. Both a high intrinsic efficiency and a low non-operational fraction are essential to ensure good-quality tracking.

The intrinsic efficiencies of the Pixel and SCT detectors are measured by extrapolating well-reconstructed tracks through the detector and counting the numbers of hits (clusters) on the track and ‘holes’ where a hit would be expected but is not found. The track extrapolation uses the full track fit described in Sect. 3.3 to compute the intersections of the track with all modules along its trajectory. If a module (module side for the SCT) does not have a cluster associated to the track and the intersection point is more than 3σ from the edge of the sensitive area the absence is called a hole. The efficiency, $\varepsilon$, is defined as the ratio of the number of clusters found to the number expected:

$$\varepsilon = \frac{N_{\text{clusters}}}{N_{\text{clusters}} + N_{\text{holes}}}$$  \hspace{1cm} (5)$$

where $N_{\text{clusters}}$ is the number of clusters found and $N_{\text{holes}}$ is the number of holes.

Pixel efficiencies are determined using tracks with at least 30 TRT hits (40 for the data with solenoid off), at least 12 SCT hits and $\sin \alpha < 0.7$. There must be only one track passing these cuts in the event. Tracks used to measure the SCT efficiency must have at least 30 TRT hits or 7 SCT hits, a hit both before and after the module side under investiga-
tion and $|\phi_{\text{local}}| < 40^\circ$. A run-dependent cut on $T_{\text{TRT}}$ is applied to ensure good timing. The angular cuts are applied because the tracking algorithm does not function as well at high incidence angle; charge sharing among many channels combined with the readout threshold may result in multiple clusters and reduced apparent efficiency.

The track extrapolation does not predict holes near the sensor edges or ambiguously mapped pixels, so these areas are excluded from the efficiency calculation. For the Pixel detector, clusters or holes within 0.6 mm of ganged pixels in the $\phi$ direction, or within 1.0 mm of the sensor edge in the $\phi$ or $z$ direction, are excluded. Similarly, for the SCT the intersection of the track with the sensor is required to be at least 2 mm from the edge in $\phi$ and at least 3 mm in $z$. To reduce the bias due to the track fitting and pattern recognition criteria, which are affected by residual misalignments, clusters not already associated to a track but close to an intersection are included in $N_{\text{clusters}}$ in (5) and removed from $N_{\text{holes}}$. Due to the low noise occupancy (Sect. 5), it is likely that these result from track reconstruction inefficiencies rather than noise. The inclusion of these clusters improves the efficiency by 0.04% in the Pixel barrel and 0.2% in the SCT barrel. Varying the distance for inclusion of non-associated clusters between 2 mm and 10 mm changes the efficiencies by at most 0.002% and 0.004% for Pixel Detector and SCT respectively, and is included in the systematic uncertainties.

Non-functioning detector elements (Sect. 3.2) are not included in the calculation of the intrinsic efficiency. In the SCT, complete module sides and chips are excluded; these amount to ~2% of the detector. The measured inefficiency contains a contribution from isolated dead strips for which no correction is applied. For the Pixel detector, non-operational modules and front-end chips amount to 4–6% of the detector.

The measured efficiency of each barrel layer is shown for the Pixels and SCT in Fig. 16(a) for data taken with solenoid on. Efficiencies measured with solenoid off are typically ~0.2% lower, indicating some residual inefficiencies arising from track reconstruction when the particle momentum is unknown. The overall efficiency of the Pixel barrel is $(99.974 \pm 0.004 \text{(stat.)} \pm 0.003 \text{(syst.)})\%$ and of the SCT barrel is $(99.78 \pm 0.01 \text{(stat.)} \pm 0.01 \text{(syst.)})\%$; the systematic error in each case is determined by varying the track selection criteria. Of the remaining 0.026% pixel inefficiency, $(0.017 \pm 0.004)\%$ is the contribution due to known defective channels observed during detector construction.

The efficiency of the TRT is determined in a similar manner to that of the silicon detectors, excluding the 2% non-functioning channels. Tracks are extrapolated through the TRT in a series of steps. To reduce tracking biases, at each point all straws in a region containing up to the third nearest neighbour are considered. The efficiency is determined by dividing the number of hit straws by the total number of straws within the region. The efficiency depends on the path length of a track inside a straw, and is therefore determined as a function of the distance of a track from the wire. Tracks are required to have at least 20 TRT hits, at least 6 SCT hits, $T_{\text{TRT}}$ between 5 ns and 25 ns and an angle to the vertical of less than 15°. The efficiency of the TRT barrel, for data with solenoid on, is shown in Fig. 16(b). The overall efficiency over the plateau region is $(97.2 \pm 0.5)\%$.

7.2 Lorentz angle measurement

The charge carriers in the silicon detectors are subject to the electric field $E$, generated by the bias voltage and oriented normal to the module plane, and the solenoid magnetic field $B$. In the endcaps the fields are nearly parallel and the charge carriers drift directly towards the electrodes. In the barrel modules these fields are perpendicular and the charge carriers drift at the Lorentz angle, $\theta_L$, with respect to the normal to the sensor plane. The Lorentz angle depends on the charge carrier mobility, which in turn depends on the bias.
voltage, the thickness of the depleted region and the temperature [45]. For fully-depleted modules, the average shift in collected charge is approximately 30 µm for the Pixel Detector and 10 µm for the SCT, in both cases not negligible with respect to the detector resolution and alignment precision. Measurements of the Lorentz angle for the ATLAS sensors have already been performed in test beams [38, 46], but in conditions different from the actual operation in ATLAS.

The Lorentz angle is measured from the dependence of the cluster size on the incident angle of the particle. When the incident angle equals the Lorentz angle, all the charge carriers generated by the particle drift along the particle direction and, apart from charge diffusion, are collected at the same point on the sensor surface, giving a minimum cluster size.

The dependence of the cluster size on the incident angle \( \phi_{\text{local}} \) is shown for the Pixel Detector and SCT in Fig. 17. Data are fitted using the convolution of the function:

\[
f(\phi_{\text{local}}) = a|\tan \phi_{\text{local}} - \tan \theta_L| + b
\]

with a Gaussian distribution. Fit parameters are the Lorentz angle \( \theta_L \), the shape parameters \( a, b \) and the width of the Gaussian. For the Pixel Detector an improvement of the fit quality was observed by replacing the second term in (6) by \( b/\sqrt{\cos \phi_{\text{local}}} \), which is a phenomenological attempt to describe the bigger relative weight of diffusion effects for tracks at high incident angle.

The measured values are \( 11.77^\circ \pm 0.03^\circ \) and \( -3.93^\circ \pm 0.03^\circ \) for the Pixel Detector and SCT respectively, where the errors are statistical only. The values differ by a factor of three due to the different mobility of the charge carriers which provide the dominant signal: electrons in the Pixel Detector, holes in the SCT.

As a cross check for systematic effects, the same measurement was performed for data with no magnetic field, giving values of \( 0.09^\circ \pm 0.03^\circ \) and \( 0.05^\circ \pm 0.05^\circ \) for the Pixel Detector and SCT respectively. Since for the Pixel Detector the disagreement with respect to the expected null value is statistically significant, it is used as a component of the systematic uncertainty. The other dominant source of systematic uncertainty is the fit range, which has been estimated to give a contribution of \( 0.07^\circ \) for the Pixel Detector and \( 0.10^\circ \) for the SCT. The measured values of the Lorentz angle in the 2 T magnetic field are shown in Table 5 where they are compared with the expectation from the model in [45]. The measurements are compatible with the model predictions within the uncertainties on the predictions arising from the values of charge-carrier mobilities.

Since Pixel Detector modules operated with different temperature ranges in 2008 and 2009, it was possible to measure the dependence of the Lorentz angle on the silicon

![Cluster-size dependence on the particle incident angle for the Pixel Detector (a) and the SCT (b). The displacement of the minimum for the data with solenoid on is a measurement of the Lorentz angle \( \theta_L \).](image)

**Table 5** Measured values of the Lorentz angle in 2 T magnetic field at the average operational temperature in 2008, compared with model expectations [45]. For the measurements, the first error is statistical and the second systematic. The error on the model prediction arises from uncertainties in the charge-carrier mobility.

| Detector   | \( T \) [°C] | Measured \( \theta_L \) [°] | Model \( \theta_L \) [°] |
|------------|-------------|-----------------|-----------------|
| Pixel (electrons) | -3          | \( 11.77 \pm 0.03 \pm 0.13 \) | \( 12.89 \pm 1.55 \) |
| SCT (holes)    | 5           | \( -3.93 \pm 0.03 \pm 0.10 \) | \( -3.69 \pm 0.26 \) |
temperature. The resulting dependence
\[ \frac{d\theta_L}{dT} = (-0.042 \pm 0.003)^\circ/K \]  \hspace{1cm} (7)

is in agreement with the model expectation of $-0.042^\circ/K$.

7.3 Track parameter resolution

The expected resolution of the perigee parameters $d_0$, $z_0$, $\phi_0$, $\theta$ and $q/p$ of a particle emerging from proton-proton collisions in the LHC can be predicted using reconstructed and split tracks from cosmic-ray data. Since particles coming from cosmic-ray showers mostly traverse the detector from top to bottom, the resolutions can only be derived for the ATLAS barrel detectors.

In order to select tracks with good quality, the split tracks are each required to have at least 2, 6 and 25 hits in the barrel of the Pixel, SCT and TRT detectors respectively, and a transverse momentum of more than 1 GeV. The $|d_0|$ impact parameter has to be less than 40 mm to guarantee that the split tracks originate in the interaction region inside the beam pipe.

The perigee parameters $T_{\text{up}}$ and $T_{\text{down}}$, where $T$ is any of the five parameters, of each split-track pair are compared to each other to extract the overall track parameter resolutions. Since both tracks come from the same particle, their difference $\Delta T = T_{\text{up}} - T_{\text{down}}$ for each perigee parameter $T$ must have a variance $\sigma^2(\Delta T)$ which is two times the variance $\sigma^2(T)$ of the parameters of each track. The resolution of the track parameter $T$ is therefore given by the root mean square of the $\Delta T$ distribution divided by $\sqrt{2}$. This method has been used to study the resolution of the perigee parameters of Inner Detector tracks. The variances were calculated excluding the outermost 0.3% of events in each distribution.

The measured resolution is compared to the Monte Carlo expectation for a perfectly-aligned detector. The difference in performance is attributed to the remaining misalignment after the procedure in Sect. 6. In addition, the refit of the split-track pair can be restricted to a subset of measurements in the Inner Detector. This has been done to study the perigee parameter resolutions of silicon-only tracks (Pixel and SCT) and compare them to resolutions of the same tracks which have been fitted using the full Inner Detector.

A summary of the measured track-parameter resolutions for $p_T > 30$ GeV, where the multiple-scattering contribution can be neglected, is given in Table 6.

**Impact parameter resolution**

Figure 18 shows the transverse and longitudinal impact parameter resolutions as determined from the data using the track-splitting method. They are displayed as a function of transverse momentum. At low momenta the resolution is governed by multiple scattering in the beam pipe and first pixel layers. For higher momenta, above about 10 GeV, the impact parameter resolutions rapidly approach an asymptotic limit which is given by the intrinsic detector resolution and residual misalignments.

Resolutions as a function of $\eta$ are constant and symmetric around $\eta = 0$, as shown in Fig. 19. Both Figs. 18 and 19 compare the resolution obtained for Inner Detector tracks with that from a fit to solely the silicon part. The $d_0$ resolution is slightly more precise for full tracks, as the TRT Table 6 Track parameter resolution for tracks with $p_T > 30$ GeV in cosmic-ray data and simulation

| Parameter | Asymptotic resolution |
|-----------|------------------------|
|           | Cosmic-ray data 2008   | Monte Carlo |
| $d_0$ [µm] | 22.1 ± 0.9             | 14.3 ± 0.2   |
| $z_0$ [µm] | 112 ± 4                | 101 ± 1      |
| $\phi_0$ [mrad] | 0.147 ± 0.006         | 0.115 ± 0.001|
| $\theta$ [mrad] | 0.88 ± 0.03           | 0.794 ± 0.006|
| $q/p$ [GeV$^{-1}$] | (4.83 ± 0.16) × 10$^{-4}$ | (3.28 ± 0.03) × 10$^{-4}$ |

Fig. 18 Impact parameter resolution determined from data for the track impact parameters as a function of transverse momentum. Resolutions of full ID (solid triangles) and silicon-only (open triangles) tracks are compared to those from full tracks in MC simulation (stars)
Fig. 19 Impact parameter resolution determined from data for tracks with $p_T > 1$ GeV, as a function of pseudorapidity $\eta$. The resolutions are shown for full ID tracks (solid triangles), silicon-only tracks (open triangles) and simulated full ID tracks (stars).

Fig. 20 Transverse impact parameter resolution as a function of transverse impact parameter for tracks with $p_T > 1$ GeV. As for the previous figures, the left plot compares resolutions of full ID tracks, silicon-only tracks and simulated full ID tracks. In the right plot resolutions are compared for full Inner Detector tracks with positive (circles) and negative charge (squares). The vertical lines indicate the positions of the pixel barrel layers.

Angular resolution
A precise and reliable reconstruction of the track direction contributes to the knowledge of the momentum vector and thus is vital for finding decay vertices and matching with signals from other detectors. A precision on the track angles below 1 mrad is achieved, as shown in Figs. 21 and 22.

Momentum resolution
A precise momentum determination of high-energy particles is a key ingredient for any physics analysis. In Fig. 23 the relative momentum resolution $p \times \sigma(q/p)$ is shown as a function of $p_T$ (left plot) and $\phi_0$ has been checked as well, and none was found. This means that the impact parameter resolutions follow the symmetries in the barrel part of the Inner Detector.
Fig. 21 Angular resolution determined from data as a function of transverse momentum. The resolutions are shown for full ID tracks (solid triangles), silicon-only tracks (open triangles) and simulated full ID tracks (stars).

Fig. 22 Angular resolution determined from data for tracks with $p_T > 1$ GeV as a function of pseudorapidity $\eta$. The resolutions are shown for full ID tracks (solid triangles), silicon-only tracks (open triangles) and simulated full ID tracks (stars).

Fig. 23 Momentum resolutions determined from data as a function of transverse momentum and $\eta$. The resolutions are shown for full ID tracks (solid triangles), silicon-only tracks (open triangles) and simulated full ID tracks (stars).

and $\eta$ (right plot). While the resolution is flat in $\eta$, it shows the expected degradation at higher transverse momenta. In this region, the contribution of the TRT to the momentum resolution becomes clearly visible.

7.4 Energy-loss measurement

The average specific energy loss of charged particle $dE/dx$ is described by the Bethe-Bloch function [28]. The specific
energy loss, sensitive to the particle speed $\beta = v/c$, can be combined with the momentum measurement to provide particle identification. Because of the energy loss tails (see Fig. 9) a truncated mean can be used to reduce the variance of the estimation.

Split tracks from cosmic-ray muons have been used to measure the resolution on $dE/dx$ of the Pixel Detector. Tracks are required to have a transverse momentum $p_T > 0.5$ GeV and relative momentum resolution $\sigma(p_T)/p_T < 20\%$. In addition a cut on the distance of closest approach to the beam axis, $|d_0| < 10$ mm, is made in order to select tracks similar to the ones generated by LHC collisions.

The specific energy loss in a Pixel Detector module is derived from the cluster charge, $Q$, taking into account the average energy needed to create an electron-hole pair $W$, and the path in silicon $d/\cos\alpha$ where $d$ is the detector sensitive thickness (250 µm):

$$\frac{dE}{dx} = \frac{Q}{e} \frac{W \cos\alpha}{d}. \quad (8)$$

At high incident angle particles cross several pixel cells; the signal released in some of them may be below threshold and the energy loss underestimated. To reduce this effect, only clusters with $\cos\alpha > 0.6$ and $|\phi_{\text{local}}| < 0.5$ rad are used. The correct association of clusters to the reconstructed track is ensured by requiring position residuals to be less than 300 µm in the local $x$ coordinate and less than 900 µm in local $y$.

Figure 24 shows the most probable $dE/dx$ value of individual clusters in the barrel region as a function of the track momentum. The relativistic rise and its saturation due to the density effect are clearly visible and there is a good agreement between the 7.2 ± 0.4% rise observed in data from 0.5 GeV to 20 GeV in $p_T$, and the 7.5 ± 0.4% estimated from the simulation. For tracks with at least three clusters, a global $dE/dx$ estimation is made by averaging all the individual measurements after the exclusion of the cluster with the maximum $Q \cos\alpha$. This procedure has been verified to produce an almost Gaussian estimator on the relativistic plateau, $p_T > 20$ GeV, with a resolution of 15%.

This would allow a limited particle identification capability, with a $2\sigma$ separation between $K$ and $\pi$ for $p < 500$ MeV.

7.5 Transition radiation measurement

The large spread of momenta of the cosmic rays recorded has allowed a validation of the transition-radiation performance of the TRT by measuring the percentage of high-threshold hits on tracks at different momenta. The probability of producing a transition radiation photon at each material boundary is dependent upon the Lorentz gamma factor of the particle. Since the threshold for producing transition radiation is $E/m \sim 1000$, in LHC collision events transition radiation is essentially limited to electrons. However, the mean $p_T$ of recorded cosmic-ray muons was 60 GeV with a significant tail to almost 1 TeV (see Fig. 2(a)). The high-momentum muons produce enough transition-radiation photons to allow an initial calibration of the TRT as a transition radiation detector.

The transition radiation study used 20000 nearly-vertical tracks in the barrel TRT. The tracks were required to have at least four SCT hits and at least 20 TRT hits, a fit $\chi^2/\text{N dof} < 10.0$, $\sigma(p_T)/p_T < 3.0$ and $0.5 < p_T < 1000$ GeV. The track angle to the vertical, measured using hits in the SCT, was restricted to be less than 15°. Tracks were assigned to (logarithmic) momentum bins, and the high-threshold hit probability calculated as a simple ratio in each bin.

Figure 25 shows the probability of seeing a high-threshold hit on a muon track in the TRT barrel as a function of the Lorentz gamma factor of the particle; the probability is averaged over positively and negatively charged muons. The
fitted curve shown in Fig. 25 is consistent with the result obtained in the 2004 ATLAS combined test beam run and confirms the design of the TRT electron identification capabilities.

8 Conclusions

The final installation of the ATLAS Inner Detector in August 2008 was followed by a period of commissioning and calibration. During this period the detector took data with high efficiency with both LHC single beams and cosmic rays. These data allowed full tests of trigger, data-acquisition and monitoring systems, and of offline track reconstruction. Some problems with the newly-installed evaporative cooling system and the optical links of the silicon detectors were exposed. These were addressed before data-taking with LHC beams in 2009, when more than 98% of the detector was operational.

Detector gains were calibrated and thresholds adjusted to give good uniformity of response. The components of the detector were timed-in with a precision of 1–2 ns. Many detector performance properties were measured. The average noise occupancies were $\sim 10^{-10}$ hit/channel/BC for the Pixel Detector and $\sim 3 \times 10^{-5}$ hit/channel/BC for the SCT, well within specifications. The intrinsic efficiencies of the silicon detectors were measured to be close to 100% and of the TRT to be 97.2±0.5%. The Lorentz angle in the silicon detectors in the 2 T magnetic field was found to be consistent with model expectations. Energy loss in the Pixel Detector and transition radiation were measured and found to be in agreement with expectations from test beams.

A new Level-1 track trigger based on a fast OR of TRT signals was commissioned. The Level-2 trigger-tracking-algorithms were modified for cosmic rays, resulting in a trigger efficiency of 99.6±0.02% for tracks reconstructed offline. The cosmic-ray data were used to perform an initial detector alignment. The resolution of track parameters was measured by comparing two segments of a cosmic-ray track. After detector alignment, the impact parameter resolution for high-momentum tracks was found to be 22.1 ± 0.9 μm and 112 ± 4 μm in the transverse and longitudinal directions, respectively. In this asymptotic limit, the relative momentum resolution was measured to be $\sigma_p/p = (4.83 \pm 0.16) \times 10^{-4} \times p_T$.

The observed performance on this early data showed the ATLAS Inner Detector to be fully operational and providing high-quality tracking before the first LHC collisions.

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The ATLAS detector design and construction has taken about fifteen years, and our thoughts are with all our colleagues who sadly could not see its final realisation.

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