Fantastic Morphisms and Where to Find Them *

A Guide to Recursion Schemes

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Abstract. Structured recursion schemes have been widely used in constructing, optimizing, and reasoning about programs over inductive and coinductive datatypes. Their plain forms, catamorphisms and anamorphisms, are restricted in expressivity. Thus many generalizations have been proposed, which further led to several unifying frameworks of structured recursion schemes. However, the existing work on unifying frameworks typically focuses on the categorical foundation, and thus is perhaps inaccessible to practitioners who are willing to apply recursion schemes in practice but are not versed in category theory. To fill this gap, this expository paper introduces structured recursion schemes from a practical point of view: a variety of recursion schemes are motivated and explained in contexts of concrete programming examples. The categorical duals of these recursion schemes are also explained.

Keywords: Recursion schemes · Generic programming · (Un)Folds · (Co)Inductive datatypes · Equational reasoning · Haskell

1 Introduction

Among the wilderness of recursive functions, there exists a taxonomy of tame functions, each with its own character and behaviour that is more predictable than the other wilder functions. The first function to be tamed was the catamorphism, so-named by Meertens in 1988 [13] who wanted to capture and more closely study the unique function that arises as a homomorphism from an initial algebra. Such functions had previously been studied in the context of category theory, but this identification marked the beginning of the appreciation of such functions as valuable companions in the menagerie of functional programmers. They were appreciated for their many benefits to programming: by expressing recursive programs as a recursion schemes such as a catamorphism, the structure of a program is made obvious; the recursion is ensured to terminate; and the program can be reasoned about using the calculational properties.

These benefits motivated a whole research agenda concerned with identifying and classifying structured recursion schemes that capture the pattern of many other recursive functions that did not quite fit as catamorphisms. Just

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as with catamorphisms, these structured recursion schemes attracted attention since they make termination or productivity manifest, and enjoy many useful calculational properties which would otherwise have to be established afresh for each new application.

In the early days, and in keeping the Bird-Meertens formalism, also known as Squiggol due to its lavish use of squiggly notation, the identification of a new species came both with an exotic name, as well as exotic notation to describe such recursion schemes. Soon there came a whole zoo of other interesting species, and this paper attempts to document its main inhabitants.

1.1 Diversification

The first variation on the catamorphisms was paramorphisms [44], about which Meertens talked at the 41st IFIP Working Group 2.1 (WG2.1) meeting in Burton, UK (1990). Paramorphisms describe recursive functions in which the body of structured recursion has access to not only the (recursively computed) sub-results of the input, but also the original subterms of the input.

Then came the zoo of morphisms. Mutumorphisms [14], which are pairs of mutually recursive functions; zygomorphisms [41], which consist of a main recursive function and an auxiliary one on which it depends; monadic catamorphisms [13], which are recursive functions that also cause computational effects; so-called generalized folds [6], which use polymorphic recursion to handle nested datatypes; histomorphisms [50], in which the body has access to the recursive images of all subterms, not just the immediate ones; and then there were generic accumulations [48], which keep intermediate results in additional parameters for later stages in the computation.

While catamorphisms focused on terminating programs based on initial algebra, the theory also generalized in the dual direction: anamorphisms. These describe productive programs based on final coalgebras, that is, programs that progressively output structure, perhaps indefinitely. As variations on anamorphisms, there are apomorphisms [53], which may generate subterms monolithically rather than step by step; futumorphisms [50], which may generate multiple levels of a subterm in a single step, rather than just one; and many other anonymous schemes that dualize better known inductive patterns of recursion.

Recursion schemes that combined the features of inductive and coinductive datatypes were also considered. A hylomorphism [45] arises when an anamorphism is followed by a catamorphism, and a metamorphism [16] is when they are the other way around. A more sophisticated recursion scheme gives dynamorphisms [57] which encodes dynamic programming algorithms, where a lookup table is coinductively constructed in an inductive computation over the input.

1.2 Unification

The many divergent generalizations of catamorphisms can be bewildering to the uninitiated, and there have been attempts to unify them. One approach is the identification of recursion schemes from comonads (RSFCs for short) by Uustalu
et al. [52]. Comonads capture the general idea of ‘evaluation in context’ [51], and this scheme makes contextual information available to the body of the recursion. It was used to subsume both zygomorphisms and histomorphisms.

Another attempt by Hinze [23] used adjunctions as the common thread. Adjoint folds arise by inserting a left adjoint functor into the recursive characterization, thereby adapting the form of the recursion; they subsume accumulating folds, mutumorphisms, zygomorphisms, and generalized folds. Later, it was observed that adjoint folds could be used to subsume RSFCS [28].

Thus far, the unifications had dealt largely with generalizations of catamorphisms and anamorphisms separately. The job of putting combinations of these together and covering complex beasts such as dynamorphisms was first achieved by Hinze and Wu [26], which was then generalized by Hinze et al.’s conjugate hylomorphisms [29], which WG2.1 dubbed mamamorphisms. This worked by viewing all recursion schemes as specialized forms of hylomorphisms, and showing that they are well-defined hylomorphisms using adjunctions and conjugate natural transformations.

1.3 Overview

The existing literature [28, 29, 51] on unifying accounts to structured recursion schemes has focused on the categorical foundation of recursion schemes rather than their motivations or applications, and thus is perhaps not quite useful for practitioners who would like to learn about recursion schemes and apply them in practice. To fill the gap, this paper introduces the zoo of recursion schemes by putting them in programming contexts. This paper provides a survey of many recursion schemes that have been explored, and is organized as follows.

- **Section 2** explains the idea of modelling (co)inductive data types as fixed points of functors, which makes generic recursion schemes possible.
- **Section 3** explains the three fundamental recursion schemes: catamorphisms, which compute values by consuming inductive data; anamorphisms, which build coinductive data from values; and their common generalization, hylomorphisms, which build data from values and consume them.
- **Section 4** introduces structured recursion with an accumulating parameter.
- **Section 5** is about mutual recursion on inductive data types, known as mutumorphisms, and their duals comutumorphisms, which build mutually defined coinductive datatypes from a single value.
- **Section 6** talks about primitive recursion, known as paramorphisms, featuring the ability to access both the original subterms and the corresponding output in the recursive function. Their corecursive counterpart, apomorphisms, and a generalization, zygomorphisms, are also shown.
- **Section 7** discusses the so-called course-of-values recursion, histomorphisms, featuring the ability to access the results of all direct and indirect subterms in the body of recursive function, which is typically necessary in dynamic programming. Several related schemes, futumorphisms, dynamorphisms, and chronomorphisms are briefly discussed.
Section 8 introduces recursion schemes that cause computational effects.
Section 9 explains recursion schemes on nested datatypes and GADTs.
Section 10 briefly demonstrates how one can do equational reasoning about programs using calculational properties of recursion schemes.
Finally, Section 11 discusses two general recipes for finding more recursion schemes and concludes.

The recursion schemes that we will see in this paper are summarized in Table 1. Sections 3–9 are loosely ordered by their complexity, rather than by the order in which they appeared in the literature, and these sections are mutually independent so can be read in an arbitrary order. A common pattern in these sections is that we start with a concrete programming example, from which we distil a recursion scheme, followed by more examples. Where appropriate, we also consider their dual corecursion scheme and hylomorphic generalization.

2 Datatypes and Fixed Points

This paper assumes basic familiarity with Haskell as we use it to present all examples and recursion schemes, but we do not assume any knowledge of category theory. In this section, we briefly review the prerequisite of recursion schemes—recursive datatypes, viewed as fixed points of functors.

Datatypes Algebraic data types (ADTs) in Haskell allow the programmer to create new datatypes from existing ones. For example, the type List a of lists of elements of type a can be declared as follows:

\[
data \text{List } a = \text{Nil} \mid \text{Cons } (a \text{ List } a)
\]

which means that an element of List a is exactly Nil or Cons x xs for all x :: a and xs :: List a. Similarly, the type Tree a of binary trees whose nodes are labelled with a-elements can be declared as follows:

\[
data \text{Tree } a = \text{Empty} \mid \text{Node } (\text{Tree } a) a (\text{Tree } a)
\]

In definitions like List a and Tree a, the datatypes being defined also appear on the right-hand side of the declaration, so they are recursive types. Moreover, List a and Tree a are among a special family of recursive types, called inductive datatypes, meaning that they are least fixed points of functors.

Functors and Algebras Let us recall how endofunctors, or simply functors, in Haskell are type constructors \( f : \ast \rightarrow \ast \) instantiating the following type class:

\[
\text{class } \text{Functor } f \text{ where } \text{fmap} :: (a \rightarrow b) \rightarrow f a \rightarrow f b
\]

Additionally, \( \text{fmap} \) is expected to satisfy two functor laws:

\[
\text{fmap id = id} \quad \text{fmap (h o g) = fmap h o fmap g}
\]
Table 1: Recursion schemes explored in this paper

| Scheme          | Type Signature                                                                 | Usage                                           |
|-----------------|--------------------------------------------------------------------------------|-------------------------------------------------|
| Catamorphism    | $(f \ a \rightarrow a) \rightarrow \mu f \rightarrow a$                     | Consume inductive data                          |
| Anamorphism     | $(c \rightarrow f c) \rightarrow c \rightarrow \nu f$                       | Generate coinductive data                       |
| Hylomorphism    | $(f \ a \rightarrow a) \rightarrow (c \rightarrow f c) \rightarrow c \rightarrow a$ | Generate then consume data                      |
| Accumulation    | $(\forall x. f x \rightarrow p \rightarrow f (x, p)) \rightarrow$             | Recursion with an accumulating parameter         |
| $\longrightarrow$ | $(f a \rightarrow p \rightarrow a) \rightarrow \mu f \rightarrow p \rightarrow a$ |                                                  |
| Mutamorphism    | $(f (a, b) \rightarrow a) \rightarrow (f (a, b) \rightarrow b) \rightarrow$   | Mutual recursion on inductive data              |
| $\longrightarrow$ | $(\mu f \rightarrow a, \mu f \rightarrow b)$                               |                                                  |
| Conutumorphism  | $(c \rightarrow f c c) \rightarrow (c \rightarrow g c c) \rightarrow$        | Generate mutually defined coinductive data      |
| $\longrightarrow$ | $c \rightarrow (\nu_1 f g, \nu_2 f g)$                                      |                                                  |
| Paramorphism    | $(f (\mu f, a) \rightarrow a) \rightarrow \mu f \rightarrow a$             | Primitive recursion, i.e. access to original input |
| Apomorphism     | $(c \rightarrow f (\text{Either}(\nu f) c)) \rightarrow c \rightarrow \nu f$ | Early termination of generation                |
| Zygomorphism    | $(f (a, b) \rightarrow a) \rightarrow (f b \rightarrow b) \rightarrow$     | Recursion with auxiliary information            |
| $\longrightarrow$ | $\mu f \rightarrow a$                                                      |                                                  |
| Histomorphism   | $(f (\text{Cofree } f a) \rightarrow a) \rightarrow \mu f \rightarrow a$    | Access to all sub-results                       |
| Dynamorphism    | $(f (\text{Cofree } f a) \rightarrow a) \rightarrow (c \rightarrow f c) \rightarrow c \rightarrow a$ | Dynamic programing                             |
| Futumorphism    | $(c \rightarrow f (\text{Free } f c)) \rightarrow c \rightarrow \nu f \rightarrow$ | Generate multiple layers                       |
| Monadiccatamorphism | $(m x) \rightarrow m (f x)) \rightarrow (f a \rightarrow m a) \rightarrow \mu f \rightarrow m a$ | Recursion causing computational effects         |
| Indexedcatamorphism | $\rightarrow a) \rightarrow \mu f \rightarrow a$                      | Consume nested datatypes and GADTs             |

for all functions $g :: a \rightarrow b$ and $h :: b \rightarrow c$.

Given a functor $f$, we call a function of type $f \ a \rightarrow a$, for some type $a$, an $f$-algebra, and a function of type $a \rightarrow f \ a$ an $f$-coalgebra. In either case, type $a$ is called the **carrier** of the (co)algebra.

**Fixed Points** Given a functor $f$, a fixed point for $f$ is a type $p$ such that $p$ is isomorphic to $f \ p$. In the set theoretic semantics, a functor may have more than one fixed point: the **least fixed point**, denoted by $\mu f$, is the set of $f$-branching trees of finite depths, while the **greatest fixed point**, denoted by $\nu f$, is intuitively the set of $f$-branching trees of possibly infinite depths.
However, due to the fact that Haskell is a lazy language with general recursion, the least and greatest fixed points of a Haskell functor $f$ coinde as the following datatype of possibly infinite $f$-branching trees:

\[
\text{newtype } \text{Fix } f = \text{In } \{ \text{out} :: f \left( \text{Fix } f \right) \}
\]

This notation introduces the constructor $\text{In} :: f \left( \text{Fix } f \right) \rightarrow \text{Fix } f$ to create fixed point, and its inverse $\text{out} :: \text{Fix } f \rightarrow f \left( \text{Fix } f \right)$.

Although Haskell allows general recursion, the point of using structural recursion is precisely avoiding general recursion whenever possible, since general recursion is typically tricky to reason about. Hence in this paper we use Haskell as if it is a total programming language, by making sure all recursive functions that we use are structurally recursive as much as possible. Thus we distinguish the least and greatest fixed points as two datatypes:

\[
\text{newtype } \mu f = \text{In} \left( f \left( \mu f \right) \right) \quad \text{newtype } \nu f = \text{Out}^\circ \left( f \left( \nu f \right) \right)
\]

While these two datatypes $\mu f$ and $\nu f$ are the same datatype declaration, we mentally understand $\mu f$ as the type of finite $f$-branching trees, and $\nu f$ as the type of possibly infinite ones, as in the set-theoretic semantics. Making such a nominal distinction is not entirely pointless: the type system at least ensures that we never accidentally misuse an element of $\nu f$ as an element of $\mu f$, unless we make an explicit conversion. But it is our own responsibility to make sure that we never construct an infinite element in $\mu f$ using general recursion.

Example 1. The datatypes [1] and [2] that we saw earlier are isomorphic to fixed points of functors $\text{ListF}$ and $\text{TreeF}$ defined as follows (with the evident $\text{fmap}$ that can be derived by GHC automatically\[1\]):

\[
\text{data } \text{ListF } a x = \text{Nil} \mid \text{Cons } a x \quad \text{deriving } \text{Functor}
\]
\[
\text{data } \text{TreeF } a x = \text{Empty} \mid \text{Node } x a x \quad \text{deriving } \text{Functor}
\]

The type $\mu (\text{ListF } a)$ represents finite lists of $a$ elements and $\mu (\text{TreeF } a)$ represents finite binary trees carrying $a$ elements. Correspondingly, $\nu (\text{ListF } a)$ and $\nu (\text{TreeF } a)$ are possibly infinite lists and trees respectively.

As an example, the correspondence between $\mu (\text{ListF } a)$ and finite elements of $[a]$ is evidenced by the following isomorphism.

\[
\begin{align*}
\text{conv}_\mu : [a] & \rightarrow \mu (\text{ListF } a) \\
\text{conv}_\mu ([] & = \text{In } \text{Nil}) \\
\text{conv}_\mu (a : as) & = \text{In } (\text{Cons } a (\text{conv}_\mu as))
\end{align*}
\]

\[
\begin{align*}
\text{conv}_\nu^\circ : \mu (\text{ListF } a) & \rightarrow [a] \\
\text{conv}_\nu^\circ (\text{In } \text{Nil}) & = [] \\
\text{conv}_\nu^\circ (\text{In } (\text{Cons } a as)) & = a : \text{conv}_\nu^\circ as
\end{align*}
\]

Supposing that there is a function computing the length of a list,

\[
\text{length} :: \mu (\text{ListF } a) \rightarrow \text{Integer}
\]

The type checker of Haskell will then ensure that we never pass a value of $\nu (\text{ListF } a)$ to this function.

\[1\] It requires the $\text{DeriveFunctor}$ extension of GHC to derive functors automatically.
Initial and Final (Co)Algebra  The constructor $\text{In} :: f (\mu f) \to \mu f$ is an $f$-algebra with carrier $\mu f$, and it has an inverse $\text{in}^\circ :: \mu f \to f (\mu f)$ defined as

$$\text{in}^\circ (\text{In} \; x) = x$$

which is an $f$-coalgebra that witnesses the isomorphism between $\mu f$ and $f (\mu f)$, a fact known as Lambek’s Lemma [40]. Conversely, the constructor $\text{Out}^\circ :: f (\nu f) \to \nu f$ is an $f$-algebra with carrier $\nu f$, and its inverse $\text{out} :: \nu f \to f (\nu f)$ defined as

$$\text{out} (\text{Out}^\circ \; x) = x$$

is an $f$-coalgebra with carrier $\nu f$.

What is special with $\text{In}$ and $\text{out}$ is that $\text{In}$ is the so-called initial algebra of $f$, in the sense that it has the nice property that for any $f$-algebra $\text{alg} :: f a \to a$, there is exactly one function $h :: \mu f \to a$ such that

$$h \circ \text{In} = \text{alg} \circ f \text{map} \; h \quad (3)$$

Dually, $\text{out}$ is called the final coalgebra of $f$ since for any $f$-coalgebra $\text{coalg} :: c \to f c$, there is exactly one function $h :: c \to \nu f$ such that

$$\text{out} \circ h = f \text{map} \; h \circ \text{coalg} \quad (4)$$

The $h$’s in (3) and (4) are precisely the two fundamental recursion schemes, catamorphisms and anamorphisms, which we will talk about in the next section.

3 Fundamental Recursion Schemes

Most if not all programs are about processing data, and as Hoare [30] noted, ‘there are certain close analogies between the methods used for structuring data and the methods for structuring a program which processes that data.’ In essence, data structure determines program structure [11,18]. The determination is abstracted as recursion schemes for programs processing recursive datatypes.

In this section, we look at the three fundamental recursion schemes: catamorphisms, in which the program is structured by its input; anamorphisms, in which the program is structured by its output; and hylomorphisms, in which the program is structured by an internal recursive call structure.

3.1 Catamorphisms

We start our journey with programs whose structure follows their input. As the first example, consider the program computing the length of a list:

\[
\begin{align*}
\text{length} :: [a] & \to \text{Integer} \\
\text{length} \; [] & = 0 \\
\text{length} \; (x : xs) & = 1 + \text{length} \; xs
\end{align*}
\]
In Haskell, a list is either the empty list `[]` or `x : xs`, an element `x` prepended to list `xs`. This structure of lists is closely reflected by the program `length`, which is defined by two cases too, one for the empty list `[]` and one for the recursive case `x : xs`. Additionally, in the recursive case `length (x : xs)` is solely determined by `length xs` without further usage of `xs`.

**List Folds** The pattern in `length` is called structural recursion and is expressed by the function `foldr` in Haskell:

\[
\text{foldr} :: (a \to b \to b) \to b \to [a] \to b
\]

\[
\text{foldr} f e [] = e
\]

\[
\text{foldr} f e (x : xs) = f x (\text{foldr} f e xs)
\]

which is very useful in list processing. As a fold, `length = foldr (\lambda l \to 1 + l) 0`.

The frequently used function `map` is also a fold:

\[
\text{map} :: (a \to b) \to [a] \to [b]
\]

\[
\text{map} f = \text{foldr} (\lambda x xs \to f x : xs) []
\]

Another example is the function flattening a list of lists into a list:

\[
\text{concat} :: [[a]] \to [a]
\]

\[
\text{concat} = \text{foldr} (\oplus+) []
\]

By expressing structural recursive functions as folds, their structure becomes clearer, similarly in spirit to the well accepted practice of structuring programs with if-conditional and for-/while-loops in imperative languages.

**Recursion Scheme 1 (cata).** Folds on lists can be readily generalized to the generic setting, where the shape of the datatype is determined by a functor \[42,12,20\]. Such functions are called catamorphisms, and come from the following recursion scheme:

\[
cata :: \text{Functor } f \Rightarrow (f a \to a) \to \mu f \to a
\]

\[
cata \text{ alg} = \text{alg} \circ \text{fmap} (\text{cata alg}) \circ \text{in}^\circ
\]

Intuitively, `cata alg` gradually breaks down the inductively defined input data, computing the result by replacing constructors with the given algebra `alg`.

The name `cata` dubbed by Meertens \[43\] is from Greek κατά meaning ‘downwards along’ or ‘according to’. A notation for `cata alg` is the so-called banana bracket \(\langle alg \rangle\) but we will not use this style of notation in this paper, as there will not be enough squiggly brackets for all the different recursion schemes.

**Example 2.** By converting the builtin list type \([a]\) to the initial algebra of `ListF` as in Example 1, we can recover `foldr` from `cata` as follows:

\[
\text{foldr} :: (a \to b \to b) \to b \to [a] \to b
\]

\[
\text{foldr} f e = \text{cata alg} \circ \text{conv}_\mu \text{ where }
\]

\[
\text{alg} :: a \to b \to b
\]

\[
\text{conv}_\mu :: \mu f \to [a]
\]

\[
\text{conv}_\mu \text{ alg} = \text{foldr} f e
\]
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\[ \text{alg} \text{ Nil} = e \]
\[ \text{alg} (\text{Cons} \ a \ x) = f \ a \ x \]

Now we can also fold datatypes other than lists, such as binary trees:

\[
\begin{align*}
\text{size} :: & \mu (\text{TreeF} \ e) \rightarrow \text{Integer} \\
\text{size} = & \text{cata alg} \quad \text{where} \\
\text{alg} :: & \text{TreeF} \ a \ \text{Integer} \rightarrow \text{Integer} \\
\text{alg} \ \text{Empty} & = 0 \\
\text{alg} (\text{Node} \ l \ e \ r) & = l + 1 + r
\end{align*}
\]

Example 3 (Interpreting DSLs). The ‘killer application’ of catamorphisms is using them to implement domain-specific languages (DSLs) \[33,19\]. The abstract syntax of a DSL can usually be modelled as an inductive datatype, and then the (denotational) semantics of the DSL can be given as a catamorphism. The semantics given in this way is compositional, meaning that the semantics of a program is determined by the semantics of its immediate sub-parts—exactly the pattern of catamorphisms.

As a small example here, consider a mini language of mutable memory consisting of three language constructs: \text{Put} (i, x) k writes value \( x \) to memory cell of address \( i \) and then executes program \( k \); \text{Get} i k reads memory cell \( i \), letting the result be \( s \), and then executes program \( k \ s \); and \text{Ret} a terminates the execution with return value \( a \). The abstract syntax of the language can be modelled as the initial algebra \( \mu (\text{ProgF} \ s \ a) \) of the following functor:

\[
\text{data} \ \text{ProgF} \ s \ a \ x = \text{Ret a} | \text{Put} (\text{Int}, s) x | \text{Get} (\text{Int}) \ s \rightarrow x
\]

where \( s \) is the type of values stored by memory cells and \( a \) is the type of values finally returned. An example of a program in this language is

\[
p_1 :: \mu (\text{ProgF} \ \text{Int} \ \text{Int}) \\
p_1 = \text{In} (\text{Get} 0 (\lambda s \rightarrow (\text{In} (\text{Put} 0, s + 1) (\text{In} s))))
\]

which reads the 0-th cell, increments it, and returns the old value. The syntax is admittedly clumsy because of the repeating \text{In} constructors, but they can be eliminated if ‘smart constructors’ such as \text{ret} = \text{In} \circ \text{Ret} are defined.

The semantics of a program in this mini language can be given as a value of type \( \text{Map} \ \text{Int} \ s \rightarrow a \), and the interpretation is a catamorphisms:

\[
\text{interp} :: \mu (\text{ProgF} \ s \ a) \rightarrow (\text{Map} \ \text{Int} \ s \rightarrow a) \\
\text{interp} = \text{cata handle} \quad \text{where} \\
\quad \text{handle} \ (\text{Ret} a) = \lambda _{-} \rightarrow a \\
\quad \text{handle} \ (\text{Put} (i, x) k) = \lambda m \rightarrow k (\text{update} m \ i \ x) \\
\quad \text{handle} \ (\text{Get} i k) = \lambda m \rightarrow k (m! i) m
\]

where \( \text{update} m \ i \ x \) is the map \( m \) with the value at \( i \) changed to \( x \), and \( m! i \) looks up \( i \) in \( m \). Then we can use it to run programs:

\[
* > \ \text{interp} \ p_1 \ (\text{fromList} \ [(0, 100)]) \quad \text{-- outputs 100}
\]
3.2 Anamorphisms

In catamorphisms, the structure of a program mimics the structure of the input. Needless to say, this pattern is insufficient to cover all programs in the wild. Imagine a program returning a record:

```haskell
data Person = Person { name :: String, addr :: String, phone :: [Int] }
nkEntry :: StaffInfo → Person
mkEntry i = Person n a p where n = ...; a = ...; p = ...
```

The structure of the program more resembles the structure of its output—each field of the output is computed by a corresponding part of the program. Similarly, when the output is a recursive datatype, a natural pattern is that the program generates the output recursively, called *(structural)* corecursion [18]. Consider the following program generating evenly spaced numbers over an interval.

```haskell
linspace :: RealFrac a ⇒ a → a → Integer → [a]
linspace s e n = gen s where
  step = (e - s) / fromIntegral (n + 1)
  gen i
    | i < e = i : gen (i + step)
    | otherwise = []
```

The program `gen` does not mirror the structure of its numeric input at all, but it follows the structure of its output, which is a list: for the two cases of a list, [], and (:), `gen` has a corresponding branch generating it.

**List Unfolds** The pattern of generating a list in the example above is abstracted as the Haskell function `unfoldr`:

```haskell
unfoldr :: (b → Maybe (a, b)) → b → [a]
unfoldr g s = case g s of
  (Just (a, s')) → a : unfoldr g s'
  Nothing → []
```

in which `g` either produces `Nothing` indicating the end of the output or produces from a seed `s` the next element `a` of the output together with a new seed `s'` for generating the rest of the output. Thus we can rewrite `linspace` as

```haskell
linspace s e n = unfoldr gen s where
  step = (e - s) / fromIntegral (n + 1)
  gen i = if i < e then Just (i, i + step) else Nothing
```

Note that the list produced by `unfoldr` is not necessarily finite. For example,

```haskell
from :: Integer → [Integer]
from = unfoldr (λn → Just (n, n + 1))
```

generates the infinite list of all integers from `n`. 
Recursion Scheme 2 (ana). In the same way that cata generalizes foldr, unfoldr can be generalized from lists to arbitrary coinductive data types. Functions arising from this (co)recursion scheme are called anamorphisms:

\[
an a :: \text{Functor } f \Rightarrow (c \rightarrow f c) \rightarrow c \rightarrow \nu f
\]

\[
an a \text{ coalg} = \text{Out}^\circ \text{fmap} (\text{ana \text{ coalg}}) \circ \text{coalg}
\]

The name ana is from the Greek word ανά means ‘upwards’, dual to cata meaning ‘downwards’.

Example 4. Modulo the isomorphism between \([a]\) and \(\nu (\text{ListF } a)\), unfoldr produces an anamorphism:

\[
\text{unfoldr} :: (b \rightarrow \text{Maybe } (a, b)) \rightarrow b \rightarrow [a]
\]

\[
\text{unfoldr } g = \text{conv}^\circ \nu \circ \text{ana coalg \text{ where}}
\]

\[
\text{coalg } b = \text{case } g b \text{ of Nothing } \rightarrow \text{Nil}
\]

\[
(\text{Just } (a, b)) \rightarrow \text{Cons } a b
\]

Example 5. A more interesting example of anamorphisms is merging a pair of ordered lists:

\[
\text{merge} :: \text{Ord } a \Rightarrow (\nu (\text{ListF } a), \nu (\text{ListF } a)) \rightarrow \nu (\text{ListF } a)
\]

\[
\text{merge} = \text{ana } c \text{ where}
\]

\[
c (x, y)
| \text{null}_\nu x \land \text{null}_\nu y = \text{Nil}
| \text{null}_\nu y \lor \text{head}_\nu x < \text{head}_\nu y = \text{Cons } (\text{head}_\nu x) (\text{tail}_\nu x, y)
| \text{otherwise} = \text{Cons } (\text{head}_\nu y) (x, \text{tail}_\nu y)
\]

where null\text{\_\nu}, head\text{\_\nu} and tail\text{\_\nu} are the corresponding list functions for \(\nu (\text{ListF } a)\).

3.3 Hylomorphisms

Catamorphisms consume data and anamorphisms produce data, but some algorithms are more complex than playing a single role—they produce and consume data at the same time. Taking the quicksort algorithm for example, a (not-in-place, worst-case complexity \(O(n^2)\)) implementation is:

\[
\text{qsort} :: \text{Ord } a \Rightarrow [a] \rightarrow [a]
\]

\[
\text{qsort } [] = []
\]

\[
\text{qsort } (a : as) = \text{qsort } l \uplus [a] \uplus \text{qsort } r \text{ where}
\]

\[
l = [b | b \leftarrow as, b < a]
\]

\[
r = [b | b \leftarrow as, b \geq a]
\]

Although the input \([a]\) is an inductive datatype, qsort is not a catamorphism as the recursion is not performed on the sub-list as. Neither is it an anamorphism, since the output is not produced in the head-and-recursion manner.
Felleisen et al. \[11\] referred to this form of recursive programs as \textit{generative recursion} since the input \(a:as\) is used to generate a set of sub-problems, namely \(l\) and \(r\), which are recursively solved, and their solutions are combined to solve the overall problem \(a:as\). The structure of computing \texttt{qsort} is manifested in the following rewrite of \texttt{qsort}:

\[
\texttt{qsort}': \texttt{Ord} a \Rightarrow \{a\} \to \{a\}
\]

\[
\texttt{qsort} = \texttt{combine} \circ \texttt{fmap qsort'} \circ \texttt{partition}
\]

\[
\texttt{partition} :: \text{Ord} a \Rightarrow \{a\} \to \text{TreeF} a \{a\}
\]

\[
\texttt{partition} [\text{}] = \text{Empty}
\]

\[
\texttt{partition} (a:as) = \text{Node} [b | b \leftarrow as, b < a | a [b | b \leftarrow as, b \geq a]
\]

\[
\texttt{combine} :: \text{TreeF} a \{a\} \to \{a\}
\]

\[
\texttt{combine Empty} = \{\}
\]

\[
\texttt{combine} (\text{Node} l x r) = l + + [x] + + r
\]

The functor \(\text{TreeF} a x\) governs the recursive call structure, which is a binary tree. The \((\text{TreeF} a)\)-coalgebra \texttt{partition} divides a problem (if not trivial) into two sub-problems, and the \((\text{TreeF} a)\)-algebra \texttt{combine} concatenates the results of sub-problems to form a solution to the whole problem.

It is worth noting that, quicksort, as well as many other sorting algorithms such as merge sort can be understood as the combination of catamorphisms and anamorphisms in various ways, leading to numerous dualities between various sorting algorithms \[24,25\], but we will not explore that further here.

\textbf{Recursion Scheme 3 (hylo).} Abstracting the pattern of divide-and-conquer algorithms like \texttt{qsort} results in the recursion scheme for \textit{hylomorphisms}:

\[
\texttt{hylo} :: \text{Functor} f \Rightarrow (f \{a\} \to \{a\}) \to (c \to f c) \to c \to \{a\}
\]

\[
\texttt{hylo a c} = a \circ \texttt{fmap (hylo a c)} \circ c
\]

The name is due to Meijer et al. \[45\] and is a term from Aristotelian philosophy that objects are compounded of matter and form, where the prefix hylo- (Greek ὑλή-) means ‘matter’.

Hylomorphisms are highly expressive. In fact, all recursion schemes in this paper can be defined as special cases of hylomorphisms, and Hu et al. \[32\] showed a mechanical way to transform almost all recursive functions in practice into hylomorphisms. In particular, hylomorphisms subsume both catamorphisms and anamorphisms: for all \(\texttt{alg} :: f a \to a\) and \(\texttt{coalg} :: c \to f c\), we have

\[
\texttt{cata alg} = \text{hylo alg} \text{ in }^\circ \quad \text{and} \quad \texttt{ana coalg} = \text{hylo Out }^\circ \text{ coalg}.
\]

However, the expressiveness of \texttt{hylo} comes at a cost: even when both \(\texttt{alg} :: f a \to a\) and \(\texttt{coalg} :: c \to f c\) are total functions, \(\text{hylo alg coalg}\) may not be total (in contrast, \(\text{cata alg}\) and \(\text{ana coalg}\) are always total whenever \(\texttt{alg}\) and \(\texttt{coalg}\) are). Intuitively, it is because the coalgebra \(\texttt{coalg}\) may infinitely generate sub-problems while the algebra \(\texttt{alg}\) may require all subproblems solved to solve the whole problem.
Example 6. As an instance of the problematic situation, consider a coalgebra

\[
geo :: \text{Integer} \to \text{ListF Double Integer} \\
geo n = \text{Cons} \left(1 / \text{fromIntegral} n, 2 * n\right)
\]

which generates the geometric sequence \([\frac{1}{n}, \frac{1}{2n}, \frac{1}{4n}, \frac{1}{8n}, \ldots]\), and an algebra

\[
sum :: \text{ListF Double Double} \to \text{Double} \\
sum \text{Nil} = 0 \\
sum (\text{Cons} n p) = n + p
\]

which sums a sequence. Both \(geo\) and \(sum\) are total Haskell functions, but the function \(zeno = \text{hylo} \ sum \ geo\) diverges for all input \(i :: \text{Integer}\). (It does not mean that Achilles can never overtake the tortoise—\(zeno\) diverges because it really tries to add up an infinite sequence rather than taking the limit.)

**Recover Totality** One way to tame the well-definedness of \(\text{hylo}\) is to consider coalgebras \(\text{coalg} :: c \to f \ c\) with the special properties that the equation

\[
h = \text{alg} \circ \text{fmap} \ h \circ \text{coalg}
\]

has a unique solution \(h :: c \to a\) for all algebras \(\text{alg} :: f \ a \to a\). Such coalgebras are called recursive coalgebras. Dually, one can also consider corecursive algebras \(\text{alg}\) that make \(\text{in}^\circ \) have a unique solution for all \(\text{coalg}\). For example, the coalgebra \(\text{in}^\circ :: \mu f \to f (\mu f)\) is recursive, since the equation

\[
h = \text{alg} \circ \text{fmap} \ h \circ \text{in}^\circ \iff h \circ \text{In} = \text{alg} \circ \text{fmap} \ h
\]

has a unique solution by property \(\text{(3)}\) of the initial algebra. Dually, \(\text{Out}^\circ :: f (\nu f) \to \nu f\) is a corecursive algebra by \(\text{(4)}\).

Besides these two basic examples, quite some effort has been made in searching for more recursive coalgebras (and corecursive algebras): Capretta et al. \(\text{[9]}\) first show that it is possible to construct new recursive coalgebras from existing ones using comonads, and later Hinze et al. \(\text{[29]}\) show a more general technique using adjunctions and conjugate pairs. With these techniques, all recursion schemes on (co)inductive datatypes presented in this paper can be uniformly understood as hylomorphisms with a recursive coalgebra or corecursive algebra. However, we shall not emphasize this perspective in this paper since it sometimes involves non-trivial category theory to massage a recursion scheme into a hylomorphism with a recursive coalgebra (or a corecursive algebra).

Example 7. The coalgebra \(\text{partition} :: [a] \to \text{TreeF} a [a]\) above is recursive (when only finite lists are allowed as input). This can be proved by an easy inductive argument: for any total \(\text{alg} :: \text{TreeF} a b \to b\), suppose that \(h :: [a] \to b\) satisfies

\[
h = \text{alg} \circ \text{fmap} \ h \circ \text{partition}.
\]
Given any finite list \( xs \), we show \( h \) is determined by \( \text{alg} \) by an induction on \( xs \). For the base case \( xs = [] \), we have

\[
h [] = \text{alg} (\text{fmap} \ h \ (\text{partition} \ [])) = \text{alg} (\text{fmap} \ h \ \text{Empty}) = \text{alg} \ \text{Empty}.
\]

For the inductive case \( xs = y : ys \), we have

\[
h (y : ys) = \text{alg} (\text{fmap} \ h \ (\text{partition} \ (y : ys)))
= \text{alg} (\text{fmap} \ h \ \text{Node} \ ls \ y \ rs)
= \text{alg} (\text{Node} \ (h \ ls) \ y \ (h \ rs))
\]

where \( ls = [l \mid l \leftarrow ys, l < y] \) and \( rs = [r \mid r \leftarrow ys, r \geq a] \) are strictly smaller than \( xs = y : ys \), and thus \( h \) is uniquely determined by \( \text{alg} \). Consequently, \( h \) is uniquely determined by \( \text{alg} \). Thus we conclude that \( h \) satisfying the \( \text{hylo} \) equation (6) is unique.

**Aside: Metamorphisms** If we separate the producing and consuming phases of a \( \text{hylo} \) \( \text{alg} \ \text{coalg} \) for some recursive \( \text{coalg} \), we have the following equations (both follow from the uniqueness of the solution to \( \text{hylo} \) \( \text{equations} \) with recursive \( \text{coalg} \)):

\[
\text{hylo} \ \text{alg} \ \text{coalg} = \text{cata} \ \text{alg} \circ \text{hylo} \ \text{In} \ \text{coalg}
= \text{cata} \ \text{alg} \circ \nu2 \mu \circ \text{ana} \ \text{coalg}
\]

where \( \nu2 \mu = \text{hylo} \ \text{In} \ \text{out} \circ \nu \ f \to \mu \ f \) is the \textit{partial} function that converts the subset of finite elements of a coinductive datatype into its inductive counterpart. Thus, loosely speaking, a \( \text{hylo} \) is a \( \text{cata} \) after an \( \text{ana} \). The opposite direction of composition can also be considered:

\[
\text{meta} :: (\text{Functor} \ f, \text{Functor} \ g) \Rightarrow (c \to g \ c) \to (f \ c \to c) \to \mu \ f \to \nu \ g
\]

\[
\text{meta} \ \text{coalg} \ \text{alg} = \text{ana} \ \text{coalg} \circ \text{cata} \ \text{alg}
\]

which produces functions called \textit{metamorphisms} by Gibbons [16] because they \textit{metamorphose} data represented by functor \( f \) to \( g \). Unlike \( \text{hylo} \)morphisms, the producing and consuming phases in \( \text{metamorphisms} \) cannot be straightforwardly fused into a single recursive function. Gibbons [16,17] gives conditions for doing this when \( f \) is \( \text{ListF} \), but we will not expand on this in this paper.

### 4 Accumulations

Accumulating parameters are a well known technique for optimizing recursive functions. An example is optimizing the following \( \text{reverse} \) function:

\[
\begin{align*}
\text{reverse} \ &: \ [a] \to [a] \\
\text{reverse} \ &\ [\ ] \quad = \ [\ ] \\
\text{reverse} \ &\ (x : xs) = \text{reverse} \ xs + [x]
\end{align*}
\]
This can be transformed from running in quadratic time (due to the fact that \(xs + ys\) runs in \(O(length\ xs)\) time) to linear time by first generalizing the function with an additional parameter—an accumulating parameter \(ys\):

\[
\text{revCat} :: [a] \rightarrow [a] \rightarrow [a] \\
\text{revCat}\ ys\ [] = ys \\
\text{revCat}\ ys\ (x : xs) = \text{revCat}\ (x : ys)\ xs
\]

This specializes to \(\text{reverse}\) by letting \(\text{reverse} = \text{revCat}\ [\ ]\). This pattern of scanning a list from left to right and accumulating a parameter at the same time is abstracted as the Haskell function \(\text{foldl}\):

\[
\text{foldl} :: (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b \\
\text{foldl}\ f\ e\ [] = e \\
\text{foldl}\ f\ e\ (x : xs) = \text{foldl}\ f\ (f\ e\ x)\ xs
\]

which specializes to \(\text{revCat}\) for \(f = \lambda ys\ x \rightarrow x : ys\). Similar to \(\text{foldr}\), \(\text{foldl}\) follows the structure of the input—a base case for \([\ ]\) and an inductive case for \(x : xs\). What differs is that \(\text{foldl}\) has an argument \(e\) varied during the recursion.

The pattern of accumulation is not limited to lists. For example, consider writing a program that transforms a binary tree labelled with integers to the tree whose nodes are relabelled with the sum of the labels along the path from the root in the original tree. A natural idea is to keep an accumulating parameter for the sum of labels from the root:

\[
\text{relabel} :: \mu (\text{TreeF}\ \text{Integer}) \rightarrow\text{Integer} \rightarrow \mu (\text{TreeF}\ \text{Integer}) \\
\text{relabel}\ (\text{In}\ \text{Empty})\ s = \text{In}\ \text{Empty} \\
\text{relabel}\ (\text{In}\ (\text{Node}\ l\ e\ r))\ s = \text{In}\ (\text{Node}\ (\text{relabel}\ l\ s')\ s'\ (\text{relabel}\ r\ s')) \\
\text{where}\ s' = s + e
\]

In the \(\text{Node}\) case, the current accumulating parameter \(s\) is updated to \(s'\) for both of the subtrees, but we can certainly accumulate the parameter for the subtrees using other accumulating strategies. In general, an accumulating strategy can be captured as a function of type

\[
\forall x.\text{TreeF}\ \text{Integer}\ x \rightarrow \text{Integer} \rightarrow \text{TreeF}\ \text{Integer}\ (x,\ \text{Integer})
\]

For example, the strategy for \(\text{relabel}\) is

\[
\text{st}_{\text{relabel}}\ \text{Empty}\ s = \text{Empty} \\
\text{st}_{\text{relabel}}\ (\text{Node}\ l\ e\ r)\ s = \text{Node}\ (l,\ s')\ e\ (r,\ s')\ \text{where}\ s' = s + e
\]

Notice that the type above is polymorphic over \(x\), which means that the accumulation cannot depend on the subtrees. This is not strictly necessary, but it reflects the pattern of most accumulations in practice.

**Recursion Scheme 4** \((\text{accu})\). Abstracting the idea for a generic initial algebra, we obtain the recursion scheme for \(\text{accumulations}\) \([31,48,15]\).

\(^2\) The recursion scheme requires the \texttt{GHC} extension \texttt{RankNTypes} since the first argument involves a polymorphic function.
\[\text{accu} :: \text{Functor } f \Rightarrow (\forall x. f x \to p \to f (x, p)) \rightarrow (f a \to p \to a) \rightarrow \mu f \rightarrow p \to a\]

\[\text{accu st alg (In } t) p = \text{alg } (\text{fmap } \text{uncurry } \text{accu st alg}) (\text{st } t) p\]

Using the recursion scheme, the \text{relabel} function can be rewritten as

\[
\text{relabel'} :: \mu (\text{TreeF Integer}) \to \text{Integer} \to \mu (\text{TreeF Integer})
\]

\[
\text{relabel'} = \text{accu st}_{\text{relabel alg where}}
\]

\[
\text{alg Empty} \quad s = \text{In Empty}
\]

\[
\text{alg } (\text{Node } l \_ r) s = \text{In } (\text{Node } l \_ s \_ r)
\]

Example 8. In Example 3, the semantics function \text{interp} is written as a catamorphism into a function type \text{Map Int } s \to a. With a closer look, we can see that the \text{Map Int } s parameter is an accumulating parameter, so we can more accurately express \text{interp} using \text{accu}:

\[
\text{interp'} :: \mu (\text{ProgF } s \_ a) \to \text{Map Int } s \to a
\]

\[
\text{interp'} = \text{accu st}_{\text{alg where}}
\]

\[
\text{st} :: \text{ProgF } s \_ a \_ x \to \text{Map Int } s \to \text{ProgF } s \_ a \_ (x, \text{Map Int } s)
\]

\[
\text{st } (\text{Ret } a) \quad m = \text{Ret } a
\]

\[
\text{st } (\text{Put } (i, x) k) m = \text{Put } (i, x) (k, \text{update } m i x)
\]

\[
\text{st } (\text{Get } i k) \quad m = \text{Get } i (\lambda x \to (k, m))
\]

\[
\text{alg} :: \text{ProgF } s \_ a \_ a \to \text{Map Int } s \to a
\]

\[
\text{alg } (\text{Ret } a) \quad m = a
\]

\[
\text{alg } (\text{Put } \_ k) m = k
\]

\[
\text{alg } (\text{Get } i k) \quad m = k (m ! i)
\]

Compared to the previous version \text{interp} in Example 3, this version \text{interp'} singles out \text{st}, which controls how the memory \text{m} is altered by each operation, whereas \text{alg} shows how each operation continues.

5 Mutual Recursion

This section is about \text{mutual recursion} in two forms: mutually recursive functions and mutually recursive datatypes. Mutually recursive functions are called mutumorphisms, and we will discuss their categorical dual, which turns out to be corecursion generating elements of mutually recursive datatypes.

5.1 Mutumorphisms

In Haskell, function definitions can not only be recursive but also be mutually recursive—two or more functions are defined in terms of each other. A sim-
example is \textit{isOdd} and \textit{isEven} determining the parity of a natural number:

\begin{verbatim}
data NatF a = Zero | Succ a

isEven :: Nat → Bool
isEven (In Zero) = True
isEven (In (Succ n)) = isOdd n

isOdd :: Nat → Bool
isOdd (In Zero) = False
isOdd (In (Succ n)) = isEven n
\end{verbatim}

Here we are using an inductive definition of natural numbers: \textit{Zero} is a natural number and \textit{Succ} \textit{n} is a natural number whenever \textit{n} is. Both \textit{isEven} and \textit{isOdd} are very much like a catamorphism: they have a non-recursive definition for the base case \textit{Zero}, and a recursive definition for the inductive case \textit{Succ} \textit{n} in terms of the substructure \textit{n}, except that their recursive definitions depend on the recursive result for \textit{n} of the other function, instead of their own, making them not a catamorphism.

Another example of mutual recursion is the following way of computing the Fibonacci number \( F_i \) (i.e.\( F_0 = 0, F_1 = 1, \) and \( F_n = F_{n-1} + F_{n-2} \) for \( n \geq 2 \)):

\begin{verbatim}
fib :: Nat → Integer
fib (In Zero) = 0
fib (In (Succ n)) = fib n + aux n

aux :: Nat → Integer
aux (In Zero) = 1
aux (In (Succ n)) = fib n
\end{verbatim}

The value \textit{aux} \textit{n} is defined to be equal to the \((n-1)\)-th Fibonacci number \( F_{n-1} \) for \( n \geq 1 \), and \textit{aux} \textit{0} is chosen to be \( F_1 - F_0 = 1 \). Consequently, \( \text{fib} 0 = F_0 = 1 \), \( \text{fib} 1 = \text{fib} 0 + \text{aux} 1 = F_0 + (F_1 - F_0) = F_1 \), and \( \text{fib} n = \text{fib} (n-1) + \text{fib} (n-2) \) for \( n \geq 2 \), which matches the definition of Fibonacci sequence.

\textbf{Well-Definedness} The recursive definitions of the examples above are well-defined, in the sense that there is a unique solution to each group of recursive definitions regarded as a system of equations. For the example of \textit{fib} and \textit{aux}, the values at \textit{Zero} are uniquely determined for both functions:

\[ \langle \text{fib} 0, \text{aux} 0 \rangle = \langle 0, 1 \rangle \]

Then the values at \textit{Succ} \textit{Zero} are uniquely determined for both functions too, according to their inductive cases: \( \langle \text{fib} 1, \text{aux} 1 \rangle = \langle 1, 0 \rangle \), and so on for all inputs:

\[ \langle \text{fib} 2, \text{aux} 2 \rangle = \langle 1, 1 \rangle, \; \langle \text{fib} 3, \text{aux} 3 \rangle = \langle 2, 1 \rangle, \; \langle \text{fib} 4, \text{aux} 4 \rangle = \langle 3, 2 \rangle, \; \ldots \]

The same line of reasoning applies too when we generalize this pattern to mutual recursion on a generic inductive datatype.

\textbf{Recursion Scheme 5.} Two mutually recursive functions on an inductive datatype are called \textit{mutumorphisms} \[12], and arise from the recursion scheme that defines them both at once\[3\].

\[3\] The name \textit{mutumorphism} is a bit special in the zoo of recursion schemes: the prefix \textit{mutu-} is from Latin rather than Greek.
\[ \text{mutu :: Functor } f \Rightarrow (f \ (a, b) \to a) \to (f \ (a, b) \to b) \to (\mu f \to a, \mu f \to b) \]
\[ \text{where } h = \text{cata alg} \]
\[ \text{alg } x = (\text{alg}_1 \ x, \text{alg}_2 \ x) \]

in which \( \text{alg} :: f \ (a, b) \to (a, b) \) makes use of \( \text{alg}_1 \) and \( \text{alg}_2 \) to compute the results of the two functions being defined, from the sub-results of both functions.

For example, using \( \text{mutu} \), \( \text{fib} \) and \( \text{aux} \) can be expressed as

\[
\text{fib}, \text{aux} :: \text{Nat} \to \text{Integer} \\
(\text{fib}, \text{aux}) = \text{mutu } f \ g \text{ where}
\]

\[
f \text{ Zero} = 0 \\
(\text{Succ } (n, m)) = n + m \\
g \text{ Zero} = 1 \\
(\text{Succ } (n, m)) = n
\]

In the unifying theory of recursion schemes of conjugate hylomorphisms, a mutumorphism \( \text{mutu alg}_1 \ alg_2 :: (\mu f \to a, \mu f \to b) \) is the left-adjunct of a cata-morphism of type \( \mu f \to (a, b) \) via the adjunction \( \Delta \dashv \times \) between the product category \( C \times C \) and some base category \( C \) \[27\] (In the setting of this paper, \( C = \text{Set} \)). The same adjunction also underlies a dual corecursion scheme that we explain below.

### 5.2 Dual of Mutumorphisms

Since mutumorphisms are two or more mutually recursive functions folding one inductive datatype, we can consider the dual situation—unfolding a seed to two or more mutually-defined coinductive datatypes. An instructive example is recovering an expression from a Gödel number that encodes the expression. Consider the grammar of a simple family of arithmetic expressions:

\[
\text{data Expr } = \text{Add Expr Term} | \text{Minus Expr Term} | \text{FromT Term} \\
\text{data Term } = \text{Lit Integer} | \text{Neg Term} | \text{Paren Expr}
\]

which is a pair of mutually-recursive datatypes. A Gödel numbering of this grammar invertibly maps an \( \text{Expr} \) or a \( \text{Term} \) to a natural number, for example:

\[
\begin{align*}
\text{encE } (\text{Add } e \ t) &= 2 \text{encE } e * 3 \text{encT } t \\
\text{encE } (\text{Minus } e \ t) &= 5 \text{encE } e * 7 \text{encT } t \\
\text{encE } (\text{FromT } t) &= 11 \text{encT } t \\
\text{encT } (\text{Lit } n) &= 2 \text{encLit } n \\
\text{encT } (\text{Neg } t) &= 3 \text{encT } t \\
\text{h } (\text{Paren } e) &= 5 \text{encE } e
\end{align*}
\]

where \( \text{encLit } n = \text{if } n \geq 0 \text{ then } 2 * n + 1 \text{ else } 2 * (-n) \) invertibly maps any integer to a positive integer. Although the encoding functions \( \text{encE} \) and \( \text{encT} \) clearly hint at a recursion scheme (of folding mutually-recursive datatypes to the same type), in this section we are interested in the opposite decoding direction:
decE :: Integer \to Expr

\[
\text{decE } n = \text{let } (e_2, e_3, e_5, e_7, e_{11}) = \text{factorize11 } n \text{ in if } e_2 > 0 \lor e_3 > 0 \text{ then } \text{Add } (\text{decE } e_2) (\text{decT } e_3) \\
\text{else if } e_5 > 0 \lor e_7 > 0 \\
\text{then } \text{Minus } (\text{decE } e_5) (\text{decT } e_7) \\
\text{else FromT } (\text{decT } e_{11})
\]

decT :: Integer \to Term

\[
\text{decT } n = \text{let } (e_2, e_3, e_5, e_7, e_{11}) = \text{factorize11 } n \text{ in if } e_2 > 0 \text{ then } \text{Lit } (\text{decLit } e_2) \\
\text{else if } e_3 > 0 \text{ then } \text{Neg } (\text{decT } e_3) \\
\text{else Paren } (\text{decE } e_5)
\]

where \text{factorize11 } n computes the exponents for 2, 3, 5, 7 and 11 in the prime factorization of \(n\), and \(\text{decLit}\) is the inverse of \(\text{encLit}\). Functions \(\text{decT}\) and \(\text{decE}\) can correctly recover the encoded expression/term because of the fundamental theorem of arithmetic (i.e. the unique-prime-factorization theorem).

In the definitions of \(\text{decE}\) and \(\text{decT}\), the choice of \(\text{decE}\) or \(\text{decT}\) when making a recursive call must match the type of the substructure at that position. It would be convenient, if the correct choice (of \(\text{decE}\) or \(\text{decT}\)) can be automatically made based on the types—we can let a recursion scheme do the job for us.

For the generality of our recursion scheme, let us first generalize \text{Expr} and \text{Term} to an arbitrary pair of mutually recursive datatypes, which we model as fixed points of two bifunctors \(f\) and \(g\) given by the \textit{Bifunctor} class:

\[
\textbf{class Bifunctor } f \textbf{ where} \\
\text{bimap} :: (a \to a') \to (b \to b') \to f a b \to f a' b'
\]

A bifunctor \(f\) \(a\) \(b\) can be understood as a functor whose domain is the product category. A valid instance must respect the following laws:

\[
\text{bimap } \text{id} \text{id} = \text{id} \\
\text{bimap } (h \circ g) (k \circ j) = \text{bimap } h k \circ \text{bimap } g j
\]

These laws correspond to the usual identity and composition laws of functors.

The least fixed point models finite inductive data, and the greatest fixed point models possibly infinite corecursive data. Here we are interested in the latter, specialized to bifunctors:

\[
\textbf{newtype } \nu_1 f g \textbf{ where} \\
\text{Out}_1^\nu :: f (\nu_1 f g) (\nu_1 f g) \to \nu_1 f g \\
\textbf{newtype } \nu_2 f g \textbf{ where} \\
\text{Out}_2^\nu :: g (\nu_2 f g) (\nu_2 f g) \to \nu_2 f g
\]

For instance, \text{Expr} is isomorphic to \(\nu_1 \text{ExprF TermF}\) and \text{Term} is isomorphic to \(\nu_2 \text{ExprF TermF}\):

\[
\textbf{data } \text{ExprF } e t = \text{Add}' e t \mid \text{Minus'} e t \mid \text{FromT'} t \\
\textbf{data } \text{TermF } e t = \text{Lit'} \text{Int} \mid \text{Neg'} t \mid \text{Paren'} e
\]
Recursion Scheme 6 (comutu). Now we can define a recursion scheme that generates a pair of elements of mutually recursive datatypes from a single seed:

\[
\text{comutu} :: (\text{Bifunctor } f, \text{Bifunctor } g) \Rightarrow (c \rightarrow f \, c \, c) \rightarrow (c \rightarrow g \, c \, c) \\
\rightarrow c \rightarrow (\nu_1 \, f \, g, \nu_2 \, f \, g)
\]

comutu \( c_1 \, c_2 \) s = \((x \, s, \, y \, s)\) where
\[
x = \text{Out} \circ_1 \circ \text{bimap} \, x \, y \circ c_1 \\
y = \text{Out} \circ_2 \circ \text{bimap} \, x \, y \circ c_2
\]

which remains unnamed in the literature, and so we will call this the recursion scheme for *comutumorphisms*, because of its relationship to mutumorphisms.

**Example 9.** The comutu scheme renders our decoding example to become

\[
decExprTerm :: \text{Integer} \rightarrow (\nu_1 \, \text{ExprF} \, \text{TermF}, \nu_2 \, \text{ExprF} \, \text{TermF})
\]

\[
decExprTerm = \text{comutu} \, \text{genExpr} \, \text{genTerm}
\]

where
\[
\text{genExpr} :: \text{Integer} \rightarrow \text{ExprF} \, \text{Integer} \, \text{Integer}
\]
\[
\text{genExpr} \, n = \text{let } (e_2, e_3, e_5, e_7, e_{11}) = \text{factorize} \, 11 \, n \\
\text{in } \text{if } e_2 > 0 \lor e_3 > 0 \text{ then } \text{Add}' \, e_2 \, e_3 \\
\text{else if } e_5 > 0 \lor e_7 > 0 \text{ then } \text{Minus}' \, e_5 \, e_7 \text{ else } \text{FromT}' \, e_{11}
\]

\[
\text{genTerm} :: \text{Integer} \rightarrow \text{TermF} \, \text{Integer} \, \text{Integer}
\]
\[
\text{genTerm} \, n = \text{let } (e_2, e_3, e_5, \ldots) = \text{factorize} \, 11 \, n \\
\text{in } \text{if } e_2 > 0 \text{ then } \text{Lit}' \, (\text{decLit} \, e_2) \\
\text{else if } e_3 > 0 \text{ then } \text{Neg}' \, e_3 \text{ else } \text{Paren}' \, e_5
\]

Comparing to the direct definitions of \( \text{decE} \) and \( \text{decT} \), \( \text{genTerm} \) and \( \text{genExpr} \) are simpler as they just generate a new seed for each recursive position and recursive calls of the correct type is invoked by the recursion scheme \text{comutu}.

Theoretically, \text{comutu} is the adjoint unfold from the adjunction \( \Delta \dashv \times \): \( \text{comutu} \, c_1 \, c_2 :: c \rightarrow (\nu_1 \, f \, g, \nu_2 \, f \, g) \) is the right-adjunct of an anamorphism of type \( (c \rightarrow \nu_1 \, f \, g, \, c \rightarrow \nu_2 \, f \, g) \) in the product category \( C \times C \). A closely related adjunction \( + \dashv \Delta \) also gives two recursion schemes for mutual recursion. One is an adjoint fold that consumes mutually recursive datatypes, of which an example is the encoding function of Gödel numbering discussed above, and dually an adjoint unfold that generates \( \nu \, f \) from seed \( \text{Either} \, c_1 \, c_2 \), which captures *mutual corecursion*. Although attractive and practically important, we forgo an exhibition of these two recursion schemes here.

6 Primitive (Co)Recursion

In this section, we investigate the pattern in recursive programs in which the original input is directly involved besides the recursively computed results, resulting in a generalization of catamorphisms—*paramorphisms*. We also discuss a generalization, *zygomorphisms*, and the categorical dual *apomorphisms*. 
6.1 Paramorphisms

A wide family of recursive functions that are not directly covered by catamorphisms are those in which the original substructures are directly used in addition to their images under the function being defined. An example is one of the most frequently demonstrated recursive function factorial, where Nat has been given a suitable Num instance:

\[
\begin{align*}
\text{factorial} & : \text{Nat} \to \text{Nat} \\
\text{factorial} \; \text{(In Zero)} & = 1 \\
\text{factorial} \; \text{(In (Succ } n)) & = \text{In (Succ } n) \times \text{factorial } n
\end{align*}
\]

In the second case, besides the recursively computed result factorial \( n \), the sub-structure \( n \) itself is also used, but it is not directly provided by \textit{cata}. A slightly more practical example is counting the number of words (more accurately, maximal sub-sequences of non-space characters) in a list of characters:

\[
\begin{align*}
\text{wc} & : \mu \; \text{(ListF Char)} \to \text{Integer} \\
\text{wc} \; \text{(In Nil)} & = 0 \\
\text{wc} \; \text{(In (Cons } c \; c)} & = \text{if isNewWord then wc } c + 1 \text{ else wc } c \\
\text{where} \quad \text{isNewWord} & = \neg (\text{isSpace } c) \land (\text{null } c \lor \text{isSpace (head } c))
\end{align*}
\]

Again in the second case, \( cs \) is used besides \( wc \; cs \), making it not a direct instance of catamorphisms either.

To express \textit{factorial} and \textit{wc} with a structural recursion scheme, we can use mutumorphisms by understanding \textit{factorial} and \textit{wc} as mutually defined with the identity function. For example,

\[
\begin{align*}
\text{factorial}' & = \text{fst (mutu alg alg id)} \quad \text{where} \\
\text{alg Zero} & = 1 \\
\text{alg} \; \text{(Succ } (n, f)) & = (\text{In (Succ } n)) \times f \\
\text{alg id Zero} & = \text{In Zero} \\
\text{alg id} \; (\text{Succ } (-, n)) & = \text{In (Succ } n)
\end{align*}
\]

Better is to use a recursion scheme that captures this common pattern.

**Recursion Scheme 7.** Functions given by structured recursion with access to the original sub-parts of the input are called \textit{paramorphisms}, and are described by the following scheme:

\[
\begin{align*}
\text{para} :: \text{Functor } f \Rightarrow (f \; (\mu \; f, a) \to a) \to \mu \; f \to a \\
\text{para alg} & = \text{alg} \circ \text{fmap} \; (\text{id } \triangle \text{para alg}) \circ \text{in }^\circ \quad \text{where} \\
(f \; \triangle \; g) \; x & = (f \; x, g \; x)
\end{align*}
\]

The prefix \textit{para-} is derived from Greek παρά, meaning ‘beside’.

**Example 10.** With \textit{para}, \textit{factorial} is defined neatly:

\[
\begin{align*}
\text{factorial}'' & = \text{para alg} \quad \text{where} \\
\text{alg Zero} & = 1 \\
\text{alg} \; \text{(Succ } (n, f)) & = \text{In (Succ } n) \times f
\end{align*}
\]
Compared with \textit{cata}, \textit{para} also supplies the original substructures besides their images to the algebra. However, \textit{cata} and \textit{para} are interdefinable in Haskell. Every catamorphism is simply a paramorphism that makes no use of the additional information:

\[
cata \text{ alg} = \text{para} (\text{alg} \circ \text{fmap} \ \text{snd})
\]

Conversely, every paramorphism together with the identity function is a mutumorphism, which in turn is a catamorphism for a pair type \((a, b)\), or directly:

\[
\text{para} \text{ alg} = \text{snd} \circ \cata ((\text{In} \circ \text{fmap} \ \text{fst}) \triangle \text{alg})
\]

Sometimes the recursion scheme of paramorphisms is called \textit{primitive recursion}. However, functions definable with paramorphisms in Haskell are beyond primitive recursive functions in computability theory because of the presence of higher order functions. Indeed, the canonical example of non-primitive recursive function, the Ackermann function, is definable with \textit{cata} and thus \textit{para}:

\[
\text{ack} :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}
\]

\[
\text{ack} = \cata \text{ alg} \ \text{where}
\]

\[
\text{alg} :: \text{NatF (Nat} \rightarrow \text{Nat}) \rightarrow (\text{Nat} \rightarrow \text{Nat})
\]

\[
\text{alg Zero} = \text{In} \circ \text{Succ}
\]

\[
\text{alg (Succ } a_n) = \cata \text{ alg'} \ \text{where}
\]

\[
\text{alg'} :: \text{NatF Nat} \rightarrow \text{Nat}
\]

\[
\text{alg' Zero} = a_n (\text{In} (\text{Succ} (\text{In Zero})))
\]

\[
\text{alg'} (\text{Succ } a_{n+1}, m) = a_n a_{n+1,m}
\]

\subsection{Apomorphisms}

Paramorphisms can be dualized to corecursion. The algebra of a paramorphism has type \(f (\mu f, a) \rightarrow a\), in which \(\mu f\) is dual to \(\nu f\), and the pair type is dual to the \textit{Either} type. Thus the coalgebra of the dual recursion scheme should have type \(c \rightarrow f (\text{Either } (\nu f) c)\).

\textbf{Recursion Scheme 8.} The following recursion scheme gives rise to \textit{apomorphisms} \cite{53,50}. The prefix apo- comes from Greek ἀπο meaning ‘apart from’.

\[
\text{apo} :: \text{Functor } f \Rightarrow (c \rightarrow f (\text{Either } (\nu f) c)) \rightarrow c \rightarrow \nu f
\]

\[
\text{apo coalg} = \text{Out}^o \circ \text{fmap} (\text{either } \text{id } \text{ (apo coalg)}) \circ \text{coalg}
\]

which is sometimes called \textit{primitive corecursion}.

Similar to anamorphisms, the coalgebra of an apomorphism generates a layer of \(f\)-structure in each step, but for substructures, it either generates a new seed of type \(c\) for corecursion as in anamorphisms, or a complete structure of \(\nu f\) and stop the corecursion there.

In the same way that \textit{cata} and \textit{para} are interdefinable, \textit{ana} and \textit{apo} are interdefinable in Haskell too, but \textit{apo} are particularly suitable for corecursive
functions in which the future output is fully known at some step. Consider a function `maphd` from Vene and Uustalu [53] that applies a function `f` to the first element (if there is) of a coinductive list.

\[
\text{maphd} :: (a \to a) \to \nu (\text{ListF} a) \to \nu (\text{ListF} a)
\]

As an anamorphism, it is expressed as

\[
\text{maphd} f = \text{ana}\ c \circ \text{Left} \where
\]
\[
c (\text{Left} (\text{Out}^\circ \text{Nil})) = \text{Nil}
\]
\[
c (\text{Right} (\text{Out}^\circ \text{Nil})) = \text{Nil}
\]
\[
c (\text{Left} (\text{Out}^\circ (\text{Cons} x xs))) = \text{Cons} (f x) (\text{Right} xs)
\]
\[
c (\text{Right} (\text{Out}^\circ (\text{Cons} x xs))) = \text{Cons} x (\text{Right} xs)
\]

in which the seed for generation is of type `Either (\nu (\text{ListF} a)) (\nu (\text{ListF} a))` to distinguish if the head element has been processed. This function is more intuitively an apomorphism since the future output is instantly known when the head element gets processed:

\[
\text{maphd}' f = \text{apo}\ \text{coalg} \where
\]
\[
\text{coalg} (\text{Out}^\circ \text{Nil}) = \text{Nil}
\]
\[
\text{coalg} (\text{Out}^\circ (\text{Cons} x xs)) = \text{Cons} (f x) (\text{Left} xs)
\]

Moreover, this definition is more efficient than the previous one because it avoids deconstructing and reconstructing the tail of the input list.

**Example 11.** Another instructive example of apomorphisms is inserting a value into an ordered (coinductive) list:

\[
\text{insert} :: \text{Ord a} \Rightarrow a \to \nu (\text{ListF} a) \to \nu (\text{ListF} a)
\]
\[
\text{insert} y = \text{apo}\ c \where
\]
\[
c (\text{Out}^\circ \text{Nil}) = \text{Cons} y (\text{Left} (\text{Out}^\circ \text{Nil}))
\]
\[
c xxs@ (\text{Out}^\circ (\text{Cons} x xs))
\]
\[
| y \leq x = \text{Cons} y (\text{Left} xxs)
\]
\[
| \text{otherwise} = \text{Cons} x (\text{Right} xxs)
\]

In both cases, an element `y` or `x` is emitted, and `Left xxs` makes `xxs` the rest of the output, whereas `Right xxs` continues the corecursion to insert `y` into `xs`.

### 6.3 Zygomorphisms

When computing a recursive function on a datatype, it is usually the case that some auxiliary information about substructures is needed in addition to the images of substructures under the recursive function being computed. For instance, when determining if a binary tree is a perfect tree—a tree in which all leaf nodes have the same depth and all interior nodes have two children—by structural recursion, besides checking that the left and right subtrees are both perfect, it is also needed to check that they have the same depth:
The function \textit{perfect} is not directly a catamorphism because the algebra is not provided with \textit{depth}\(_l\) and \textit{depth}\(_r\) by the \textit{cata} recursion scheme. However we can define \textit{perfect} as a paramorphism:

\[
\text{perfect}' = \text{para alg where}
\]
\[
\begin{align*}
\text{alg Empty} & = \text{True} \\
\text{alg } (\text{Node } (p_l, d_l) - (r, p_r)) & = p_l \land p_r \land (d_l \equiv d_r)
\end{align*}
\]

But this is inefficient because the depth of a subtree is computed repeatedly at each of its ancestor nodes, despite the fact that \textit{depth} can be computed structurally too. Thus we need a generalization of paramorphisms in which instead of the original structure being kept and supplied to the algebra, some auxiliary information (that can be computed structurally) is maintained along the recursion and supplied to the algebra, which leads to the following recursion scheme.

\textbf{Recursion Scheme 9.} A structurally recursive function with auxiliary information is called a \textit{zygomorphism} [41]:

\[
\text{zygo} : \text{Functor } f \Rightarrow (f (a \rightarrow b) \rightarrow a) \rightarrow (f b \rightarrow b) \rightarrow \mu f \\
\text{zygo alg}_1 \text{ alg}_2 = \text{fst} (\text{mutu alg}_1 (\text{alg}_2 \circ \text{fmap snd}))
\]

Here \text{alg}_1 computes the function of interest from the recursive results together with auxiliary information of type \textit{b}, and \text{alg}_2 maintains the auxiliary information. Malcolm [41] called zygomorphisms ‘yoking together of paramorphisms and catamorphisms’ and prefix ‘zygo-’ is from Greek \Greek{ζυγόν} meaning ‘yoke’.

\textbf{Example 12.} As we said, \textit{zygo} is a generalization of paramorphisms: \textit{para alg} = \textit{zygo alg In}. And the above \textit{perfect} is \textit{zygo p d} where

\[
\begin{align*}
p : & \text{TreeF } e (\text{Bool, Integer}) \rightarrow \text{Bool} \\
p \text{ Empty} & = \text{True} \\
p (\text{Node } (p_l, d_l) - (p_r, d_r)) & = p_l \land p_r \land (d_l \equiv d_r)
\end{align*}
\]

\[
\begin{align*}
d : & \text{TreeF } e \rightarrow \text{Integer} \\
d \text{ Empty} & = 0 \\
d (\text{Node } d_l - d_r) & = 1 + (\text{max } d_l d_r)
\end{align*}
\]

Note that although zygomorphisms are special cases of mutumorphisms, the recursion scheme \textit{zygo} is not a special case of \textit{mutu}, precisely because of the projection \textit{fst}. In the unifying framework by means of adjunctions, zygomorphisms
arise from an adjunction between the slice category $C \downarrow b$ and the base category $C$ [27]. The same adjunction also leads to the dual of zygomorphisms—the recursion scheme in which a seed is unfolded to a value of a recursive datatype that is defined with some auxiliary datatype.

7 Course-of-Value (Co)Recursion

This section is about the patterns in dynamic programming algorithms, in which a problem is solved based on solutions to subproblems just as in catamorphisms. But in dynamic programming algorithms, subproblems are largely shared among problems, and thus a common implementation technique is to memoize solved subproblems with a table. This section shows the recursion scheme for functions that capture dynamic programming called histomorphisms, a generalization called dynamorphisms, the corecursive dual futumorphisms, and a further generalization chronomorphisms.

7.1 Histomorphisms

A powerful generalization of catamorphisms is to provide the algebra with all the recursively computed results of direct and indirect substructures rather than only the immediate substructures. Consider the longest increasing subsequence (LIS) problem: given a sequence of integers, its subsequences are obtained by deleting some (or none) of its elements and keeping the remaining elements in its original order, and the problem is to find (the length of) longest subsequences in which the elements are in increasing order. For example, the longest increasing subsequences of $[1, 6, -5, 4, 2, 3, 9]$ have length 4 and one of them is $[1, 2, 3, 9]$.

A way to find LIS follows the observation that an LIS of $x:xs$ is either an LIS of $xs$, or a subsequence beginning with the head element $x$ and whose tail is also an LIS (or the whole LIS could be longer). This idea is implemented by the program below.

```haskell
lis = snd ∘ lis'
lis' :: Ord a ⇒ [a] → (Integer, Integer)
lis' [] = (0, 0)
lis' (x:xs) = (a, b) where
  a = 1 + maximum [fst (lis' sub) | sub ← tails xs, null sub ∨ x < head sub]
  b = max a (snd (lis' xs))
```

where the first component of $lis' (x:xs)$ is the length of the longest increasing subsequence that is restricted to begin with the first element $x$, and the second component is the length of LIS without this restriction and thus $lis = snd ∘ lis'$.

Unfortunately this implementation is very inefficient because $lis'$ is recursively applied to possibly all substructures of the input, leading to exponential running time with respect to the length of the input. The inefficiency is mainly due to redundant recomputation of $lis'$ on substructures: when computing $lis' (xs ∪ ys)$, for each $x$ in $xs$, $lis' ys$ is recomputed although the results
are identical. A technique to speed up the algorithm is to memoize the results of \( \text{lil}' \) on substructures and skip recomputing the function when identical input is encountered, a technique called dynamic programming.

To implement dynamic programming, what we want is a scheme that provides the algebra with a table of the results for all substructures that have been computed. A table is represented by the \( \text{Cofree} \) comonad

\[
\text{data Cofree } f \ a \ \text{where}
\]
\[
(\triangleright) :: a \to f \ (\text{Cofree } f \ a) \to \text{Cofree } f \ a
\]

which can be intuitively understood as a (coinductive) tree whose branching structure is determined by functor \( f \) and all nodes are tagged with a value of type \( a \), which can be extracted with

\[
\text{extract} :: \text{Cofree } f \ a \to a
\]

\[
\text{extract} \ (x \triangleright \_ \_ ) = x
\]

**Recursion Scheme 10.** The recursion scheme histomorphism \[50\] is:

\[
\text{histo} :: \text{Functor } f \Rightarrow (f \ (\text{Cofree } f \ a) \to a) \to \mu f \to a
\]

\[
\text{histo alg} = \text{extract} \circ \text{cata} \ (\lambda x \to (\text{alg} \ x) \triangleright x)
\]

which is a catamorphism computing a memo-table of type \( \text{Cofree } f \ a \) followed by extracting the result for the whole structure. The name histo- follows that the entire computation history is passed to the algebra. It is also called course-of-value recursion.

**Example 13.** The dynamic programming implementation of \( \text{lil} \) is then:

\[
\text{lil}'' :: \text{Ord } a \Rightarrow \mu \ (\text{ListF } a) \to \text{Integer}
\]

\[
\text{lil}'' = \text{snd} \circ \text{histo alg}
\]

\[
\text{alg} :: \text{Ord } a \Rightarrow \text{ListF } a \ (\text{Cofree } (\text{ListF } a) \ (\text{Integer}, \text{Integer}))
\to (\text{Integer}, \text{Integer})
\]

\[
\text{alg Nil} = (0, 0)
\]

\[
\text{alg} \ (\text{Cons } x \ \text{table}) = (a, b) \ \text{where}
\]

\[
a = 1 + \text{findNext} \ x \ \text{table}
\]

\[
b = \text{max} a \ (\text{snd} \ (\text{extract} \ \text{table}))
\]

where \( \text{findNext} \) searches in the rest of the list for the element that is greater than \( x \) and begins a longest increasing subsequence:

\[
\text{findNext} :: \text{Ord } a \Rightarrow a \to \text{Cofree } (\text{ListF } a) \ (\text{Integer}, \text{Integer}) \to \text{Integer}
\]

\[
\text{findNext} \ x \ ((a, \_ \_ \_ \triangleright \text{Nil})) = a
\]

\[
\text{findNext} \ x \ ((a, \_ \_ \_ \triangleright (\text{Cons } y \ \text{table}'))) = \text{if } x < y \ \text{then} \ a \ b \ \text{else} \ b
\]

\text{where } b = \text{findNext} \ x \ \text{table}'

which improves the time complexity to quadratic time because \( \text{alg} \) runs in linear time for each element and \( \text{alg} \) is computed only once for each element.
In the unifying theory of recursion schemes by adjunctions, histomorphisms arise from the adjunction \( U \dashv \operatorname{Cofree}_F \) where \( \operatorname{Cofree}_F \) sends an object to its cofree coalgebra in the category of \( F \)-coalgebras, and \( U \) is the forgetful functor. As we have seen, cofree coalgebras are used to model the memo-table of computation history in histomorphisms, but an oddity here is that (the carrier of) the cofree coalgebra is a possibly infinite structure, while the computation history is in fact finite because the input is a finite inductive structure. A remedy for this imprecision is to replace cofree coalgebras with cofree para-recursive coalgebras in the construction, and the \( \operatorname{Cofree}_f \) comonad in histo is replaced by its para-recursive counterpart, which is exactly finite trees whose branching structure is \( f \) and nodes are tagged with \( a \)-values \([29]\).

### 7.2 Dynamorphisms

Histomorphisms require the input to be an initial algebra, and this is inconvenient in applications whose structure of computation is determined on the fly while computing. An example is the following program finding the length of longest common subsequences (LCS) of two sequences \([2]\).

```haskell
lcs :: Eq a ⇒ [a] → [a] → Integer
lcs []     = 0
lcs _ []   = 0
lcs (x:xs) (y:ys)
  | x ≡ y    = lcs xs ys + 1
  | otherwise = max (lcs xs yys) (lcs xxs ys)
```

This program runs in exponential time but it is well suited for optimization with dynamic programming because a lot of subproblems are shared across recursion. However, it is not accommodated by histo because the input, a pair of lists, is not an initial algebra. Therefore it is handy to generalize histo by replacing \( \in^\circ \) with a user-supplied recursive coalgebra:

**Recursion Scheme 11.** A **dynamorphism** (evidently the name is derived from dynamic programming) introduced by Kabanov and Vene \([37]\) is given by:

```haskell
dyna :: Functor f ⇒ (f (\operatorname{Cofree}_f a) → a) → (c → f c) → c → a
dyna alg coalg = extract ∘ hylo (λx → alg x ⊠ x) coalg
```

in which the recursive coalgebra \( \text{coalg} \) breaks a problem into subproblems, which are recursively solved, and the algebra \( \text{alg} \) solves a problem with solutions to all direct and indirect subproblems.

Because the subproblems of a dynamic programming algorithm together with the dependency relation of subproblems form an acyclic graph, an appealing choice of the functor \( f \) in dyna is \( \text{ListF} \) and the coalgebra \( c \) generates subproblems in a topological order of the dependency graph of subproblems, so that a subproblem is solved exactly once when it is needed by bigger problems.
Example 14. Continuing the example of LCS, the set of subproblems of \( \text{lcs} \ s_1 \ s_2 \) are all pairs \((x, y)\) for \(x\) and \(y\) being suffixes of \(s_1\) and \(s_2\) respectively. An ordering of subproblems that respects their computing dependency is:

\[
g :: ([a], [a]) \rightarrow \text{ListF} \ ([a], [a]) ([a], [a])
g ([], []) = \text{Nil}
g (x, y) =\begin{align*}
\text{if} & \text{ null y then Cons (x, y) (tail x, s_2)} \\
\text{else} & \text{ Cons (x, y) (x, tail y)}
\end{align*}
\]

The algebra \(a\) solves a problem with solutions to subproblems available:

\[
a :: \text{ListF} \ ([a], [a]) (\text{Cofree} (\text{ListF} \ ([a], [a])) \text{Integer}) \rightarrow \text{Integer}
a \text{Nil} = 0
a \ (\text{Cons} \ (x, y) \ \text{table})
\begin{align*}
\text{null} \ x & \lor \text{null} \ y = 0 \\
\text{head} \ x & = \text{head} \ y = \text{index table} \ (\text{offset} 1 \ 1) + 1 \\
\text{otherwise} & = \max (\text{index table} \ (\text{offset} 1 \ 0)) \\
& \quad \quad \quad (\text{index table} \ (\text{offset} 0 \ 1))
\end{align*}
\]

where \(\text{index} \ t \ n\) extracts the \(n\)-th entry of the memo-table \(t\):

\[
\text{index} :: \text{Cofree} (\text{ListF} \ a) \ p \rightarrow \text{Integer} \rightarrow p
\text{index} \ t \ 0 = \text{extract} \ t
\text{index} \ (_{\downarrow} \text{(Cons} \ _{\downarrow} \ t')) \ n = \text{index} \ t' \ (n - 1)
\]

The tricky part is computing the indices for entries to subproblems in the memo-table. Because subproblems are enumerated by \(g\) in the order that reduces the second sequence first, thus the entry for \((\text{drop} \ n \ x, \text{drop} \ m \ y)\) in the memo-table when computing \((x, y)\) is:

\[
\text{offset} \ n \ m = n \ast (\text{length} \ s_2 + 1) + m - 1
\]

Putting them together, we get the dynamic programming solution to LCS:

\[
\text{lcs'} \ s_1 \ s_2 = \text{dyna} \ a \ g \ (s_1, s_2)
\]

which improves the exponential running time of specification \(\text{lcs}\) to \(O(|s_1||s_2|^2)\), yet slower than the \(O(|s_1||s_2|)\) array-based implementation of dynamic programming because of the cost of indexing the list-structured memo-table.

7.3 Futumorphisms

Histomorphisms are generalized catamorphisms that can inspect the history of computation. The dual generalization is anamorphisms that can control the future. As an example, consider the problem of decoding the run-length encoding of a sequence: the input is a list of elements \((n, x)\) of type \((\text{Int}, a)\) and \(n > 0\) for all elements. The output is a list \([a]\) and each \((n, x)\) in the input is interpreted as \(n\) consecutive copies of \(x\). As an anamorphism, it is expressed as
rld :: [(Int, a)] → ν (ListF a)

rld = ana c where

  c [] = Nil
  c ((n, x) : xs)
    | n ≡ 1 = Cons x xs
    | otherwise = Cons x ((n - 1, x) : xs)

This is slightly awkward because anamorphisms can emit only one layer of the structure in each step, while in this example it is more natural to emit \( n \) copies of \( x \) in a batch. This can be done if the recursion scheme allows the coalgebra to generate more than one layer in a single step—in a sense controlling the future of the computation.

Multiple layers of a structure given by a functor \( f \) are represented by the \( \text{Free} \) monad:

\[
\text{data } \text{Free} f a = \text{Ret} a | \text{Op} (f \text{ Free} f a)
\]

which is the type of (inductive) trees whose branching is determined by \( f \) and leaf nodes are \( a \)-values. Free algebras subsume initial algebras as \( \text{Free} f \text{ Void} \cong \mu f \) where \( \text{Void} \) is the bottom type, and \( \text{cata} \) for \( \mu f \) is replaced by

\[
\begin{align*}
\text{eval} &: \text{Functor } f \Rightarrow (f b \to b) \to (a \to b) \to \text{Free} f a \to b \\
\text{eval alg } g (\text{Ret } a) &= g a \\
\text{eval alg } g (\text{Op } k) &= \text{alg} (\text{fmap } (\text{eval alg } g) k)
\end{align*}
\]

Recursion Scheme 12. With these constructions, the recursion scheme for futumorphisms [50] is defined by:

\[
\text{futu} :: \text{Functor } f \Rightarrow (c \to f (\text{Free} f c)) \to c \to \nu f
\]

\[
\text{futu coalg} = \text{ana coalg}' \circ \text{Ret} \text{ where}
\]

\[
\begin{align*}
\text{coalg}' (\text{Ret } a) &= \text{coalg } a \\
\text{coalg}' (\text{Op } k) &= k
\end{align*}
\]

Example 15. We can redefine \( rld \) as a futumorphism:

\[
\begin{align*}
rld' :: [(\text{Int}, a)] &\to \nu (\text{ListF } a) \\
rld' &= \text{futu } \text{dec} \\
\text{dec } [] &= \text{Nil} \\
\text{dec } ((n, c) : xs) &= \text{let } (\text{Op } g) = \text{rep } n \text{ in } g \text{ where} \\
\text{rep } 0 &= \text{Ret } xs \\
\text{rep } m &= \text{Op } (\text{Cons } c (\text{rep } (m - 1)))
\end{align*}
\]

Note that \( \text{dec} \) assumes \( n > 0 \) because \( \text{futu} \) demands that the coalgebra generate at least one layer of \( f \)-structure.
Theoretically, futumorphisms are adjoint unfolds from the adjunction $\text{Free}_F \dashv U$ where $\text{Free}_F$ maps object $a$ to the free algebra generated by $a$ in the category of $F$-algebras. In the same way that dynamorphisms generalize histomorphisms, futumorphisms can be generalized by replacing $(\nu F, \text{Out})$ with a user-supplied corecursive $F$-algebra.

A broader generalization is to combine futumorphisms and histomorphisms in a similar way to hylomorphisms combining anamorphisms and catamorphisms:

$$\text{chrono} :: \text{Functor } f \Rightarrow (f (\text{Cofree } f b) \to b)$$
$$\to (a \to f (\text{Free } f a))$$
$$\to a \to b$$

$$\text{chrono alg coalg} = \text{extract} \circ \text{hylo alg'} \circ \text{coalg'} \circ \text{Ret} \text{ where}$$
$$\text{alg'} x = \text{alg} x \triangleleft x$$
$$\text{coalg'} (\text{Ret } a) = \text{coalg } a$$
$$\text{coalg'} (\text{Op } k) = k$$

These were dubbed chronomorphisms by Kmett [38] (prefix chrono- from Greek χρόνος meaning ‘time’), because they subsume both histo- and futumorphisms.

### 8 Monadic Structural Recursion

Up to now we have been working in the world of pure functions. It is certainly possible to extend the recursion schemes to the non-pure world where computational effects are modelled with monads.

#### 8.1 Monadic Catamorphism

Let us start with a straightforward example of printing a tree with the $\text{IO}$ monad:

$$\text{printTree} :: \text{Show } a \Rightarrow \mu (\text{TreeF } a) \to \text{IO } ()$$
$$\text{printTree } (\text{In } \text{Empty}) = \text{return } ()$$
$$\text{printTree } (\text{In } (\text{Node } l a r)) = \text{do } \text{printTree } l; \text{printTree } r; \text{print } a$$

The reader may have recognized that it is already a catamorphism:

$$\text{printTree'} :: \text{Show } a \Rightarrow \mu (\text{TreeF } a) \to \text{IO } ()$$
$$\text{printTree'} = \text{cata } \text{printAlg} \text{ where}$$
$$\text{printAlg} :: \text{Show } a \Rightarrow \text{TreeF } a (\text{IO } ()) \to \text{IO } ()$$
$$\text{printAlg } \text{Empty} = \text{return } ()$$
$$\text{printAlg } (\text{Node } ml a mr) = \text{do } ml; mr; \text{print } a$$

Thus a straightforward way of abstracting ‘monadic catamorphisms’ is to restrict $\text{cata}$ to monadic values.

**Recursion Scheme 13 ($\text{cataM}$).** We call the morphisms given by the following recursion scheme catamorphisms on monadic values:
cataM :: (Functor f, Monad m) ⇒ (f (m a) → m a) → µ f → m a

which is the second approach to monadic catamorphisms in [49].

However, cataM does not fully capture our intuition for ‘monadic catamorphism’ because the algebra algM :: f (m a) → m a is allowed to combine computations from subparts arbitrarily. For a more precise characterization, we decompose algM :: f (m a) → m a in cataM into two parts: a function alg :: f a → m a which (monadically) computes the result for the whole structure given the results of substructures, and a polymorphic function

seq :: ∀ x. f (m x) → m (f x)

called a sequencing of f over m, which combines computations for substructures into one monadic computation. The decomposition reflects the intuition that a monadic catamorphism processes substructures (in the order determined by seq) and combines their results (by alg) to process the root structure:

algM r = seq r >>= alg.

Example 16. Binary trees TreeF can be sequenced from left to right:

lToR :: Monad m ⇒ TreeF a (m x) → m (TreeF a x)
lToR Empty = return Empty
lToR (Node ml a mr) = do l ← ml; r ← mr; return (Node l a r)

and also from right to left:

rToL :: Monad m ⇒ TreeF a (m x) → m (TreeF a x)
rToL Empty = return Empty
rToL (Node ml a mr) = do r ← mr; l ← ml; return (Node l a r)

Recursion Scheme 14 (mcata). A monadic catamorphism [13,19] is given by the following recursion scheme:

mcata :: (Monad m, Functor f) ⇒ (∀ x. f (m x) → m (f x))
→ (f a → m a) → µ f → m a
mcata seq alg = cata ((≥= alg) ◦ seq)

Example 17. The program printTree above is a monadic catamorphism:

printTree'' :: Show a ⇒ µ (TreeF a) → IO ()
printTree'' = mcata lToR printElem where
printElem Empty = return ()
printElem (Node _ a _) = print a

Note that mcata is strictly less expressive than cataM because mcata requires all subtrees processed before the root.
Distributive Conditions In the literature [13,49,27], the sequencing of a monadic catamorphism is required to satisfy a distributive law of functor \( f \) over monad \( m \), which means that \( \text{seq} :: \forall x. f (m x) \to m (f x) \) satisfies two conditions:

\[
\begin{align*}
\text{seq} \circ \text{fmap return} &= \text{return} \\
\text{seq} \circ \text{fmap join} &= \text{join} \circ \text{fmap seq} \circ \text{seq}
\end{align*}
\]

Intuitively, condition (7) prohibits \( \text{seq} \) from inserting additional computational effects when combining computations for substructures, which is a reasonable requirement. Condition (8) requires \( \text{seq} \) to be commutative with monadic sequencing. These requirements are theoretically elegant, because they allow functor \( f \) to be lifted to the Kleisli category of \( m \) and consequently \( \text{mcata seq alg} \) is also a catamorphism in the Kleisli category (\( \text{mcata} \) by definition is a catamorphism in the base category)—giving us nicer calculational properties.

Unfortunately, condition (8) is usually too strong in practice. For example, neither \( \text{rToL} \) nor \( \text{lToR} \) in Example 16 satisfies condition (8) when \( m \) is the \( \text{IO} \) monad. To see this, let

\[
c = \text{Node} (\text{putStr } "A" \gg \text{return} \ (\text{putStr } "C")) ()
\]

\[
 (\text{putStr } "B" \gg \text{return} \ (\text{putStr } "D"))
\]

Then \( \text{lToR} \circ \text{fmap join} \) \( c \) prints "ACBD" but \( \text{join} \circ \text{fmap lToR} \circ \text{lToR} \) \( c \) prints "ABCD". In fact, there is no distributive law of \( \text{TreeF a} \) over a monad unless it is commutative, excluding the \( \text{IO} \) monad and \( \text{State} \) monad. Thus we drop the requirement for \( \text{seq} \) being a distributive law in our definition of monadic catamorphism.

8.2 More Monadic Recursion Schemes

As we mentioned above, \( \text{mcata} \) is the catamorphism in the Kleisli category provided \( \text{seq} \) is a distributive law. No doubt, we can replay our development of recursion schemes in the Kleisli category to get the monadic version of more recursion schemes. For example, we have monadic hylomorphisms [47,49]:

\[
\text{mhylo} :: (\text{Monad } m, \text{Functor } f) \Rightarrow (\forall x. f (m x) \to m (f x))
\]

\[
 \to (f a \to m a) \to (c \to m (f c)) \to c \to m a
\]

\[
\text{mhylo seq alg coalg } c = \text{do } x \leftarrow \text{coalg } c
\]

\[
y \leftarrow \text{seq} \ (\text{fmap} \ (\text{mhylo seq alg coalg}) \ x)
\]

\[
\text{alg } y
\]

which specializes to \( \text{mcata} \) by \( \text{mhylo seq alg} \ (\text{return} \circ \text{in}^\circ) \) and monadic anamorphisms by

\[
\text{mana} :: (\text{Monad } m, \text{Functor } f) \Rightarrow (\forall x. f (m x) \to m (f x))
\]

\[
 \to (c \to m (f c)) \to c \to m (\nu f)
\]

\[
\text{mana seq coalg} = \text{mhylo seq} \ (\text{return} \circ \text{Out}^\circ) \ \text{coalg}
\]

Other recursion schemes discussed in this paper can be devised in the same way.
Example 18. Generating a random binary tree of some depth with \textit{randomIO} :: IO \textit{Int} is a monadic anamorphism:

\[
\begin{align*}
\text{ranTree} &:: \text{Integer} \to \text{IO} (\nu \text{TreeF \textit{Int}}) \\
\text{ranTree} &= \text{mana IToR gen} \text{ where} \\
\text{gen} &:: \text{Integer} \to \text{IO} (\text{TreeF \textit{Int} Integer}) \\
\text{gen} \ 0 &= \text{return Empty} \\
\text{gen} \ n &= \text{do} \ a \leftarrow \text{randomIO} :: \text{IO} \textit{Int} \ \\
&\text{return} (\text{Node} \ (n - 1) \ a \ (n - 1))
\end{align*}
\]

9 Structural Recursion on GADTs

So far we have worked exclusively with (co)inductive datatypes, but they do not cover all algebraic datatypes and \textit{generalized algebraic datatypes} (GADTs). An example of algebraic datatypes that is not (co)inductive is the datatype for purely functional random-access lists \cite{4}:

\[
\begin{align*}
\text{data} \ R\text{List \ a} &= \text{Null} \ | \ Zero (R\text{List} \ (a, a)) \ | \ One \ a (R\text{List} \ (a, a)) \\
\end{align*}
\]

The recursive occurrences of \textit{RList} in constructor \textit{Zero} and \textit{One} are \textit{RList} \ ((a, a)) rather than \textit{RList a}, and consequently we cannot model \textit{RList a} as \(\mu f\) for some functor \(f\) as we did for lists. Algebraic datatypes such as \textit{RList} whose defining equation has on the right-hand side any occurrence of the declared type applied to parameters different from those on the left-hand side are called \textit{non-regular} datatypes or \textit{nested} datatypes \cite{7,34,36}.

Nested datatypes are covered by a broader range of datatypes called \textit{generalized algebraic datatypes} (GADTs) \cite{35,21}. In terms of the \texttt{data} syntax in Haskell, the generalization of GADTs is to allow the parameters \(P\) supplied to the declared type \(D\) on the left-hand side of an defining equation \texttt{data} \(D \ P = \ldots\) to be more complex than type variables. GADTs have a different syntax from that of ADTs in Haskell\cite{4}. For example, as a GADT, \textit{RList} is

\[
\begin{align*}
\text{data} \ R\text{List} :: \ast \to \ast \text{ where} \\
\text{Null} :: R\text{List} \ a \\
\text{Zero} :: R\text{List} ((a, a)) \to R\text{List} \ a \\
\text{One} :: a \to R\text{List} ((a, a)) \to R\text{List} \ a
\end{align*}
\]

in which each constructor is directly declared with a type signature. With this syntax, allowing parameters on the left-hand side of an ADT equation to be not just variables means that the finally returned type of constructors of a GADT \(G\) can be more complex than \(G\ a\) where \(a\) is a type variable. A classic example is fixed length vectors of \(a\)-values: first we define two datatypes \texttt{data} \(Z'\) and \texttt{data} \(S'\ \ n\) with no constructors, then the GADT for vectors is

\footnote{Support of GADTs is turned on by the extension \texttt{GADTs} in GHC.}
**data** Vec (a :: *) :: * → * where

Nil :: Vec a Z'  
Cons :: a → Vec a n → Vec a (S' n)

in which types Z' and S' n encode natural numbers at the type level, thus it
does not matter what their term constructors are.

GADTs are a powerful tool to ensure program correctness by indexing data-
types with sophisticated properties of data, such as the size or shape of data,
and then the type checker can check these properties statically. For example,
the following program extracting the first element of a vector is always safe because
the type of its argument guarantees it is non-empty.

```
safeHead :: Vec a (S' n) → a
safeHead (Cons a _) = a
```

**GADTs as Fixed Points** As we mentioned earlier, nested datatypes and GADTs
cannot be modelled as fixed points of Haskell functors in general, making them
out of the reach of the recursion schemes that we have seen so far. However, there
are other ways to view them as fixed points. Let us look at the RList datatype
again,

**data** RList a = Null | Zero (RList (a, a)) | One a (RListF f a)

instead of viewing it as defining a type RList a :: *, we can alternatively under-
stand it as defining a functor RList :: * → *, where * is the category of Haskell
types, such that RList satisfies the fixed point equation RList ≅ RListF RList
for a higher-order functor RListF :: (∗ → ∗) → (∗ → ∗) defined as

```
data RListF f a = NullF | ZeroF (f (a, a)) | OneF a (f (a, a))
```

In this way, nested datatypes are still fixed points, but of higher-order functors,
rather than usual Haskell functors [7,34].

This idea applies to GADTs as well, but with a caveat: consider the GADT G
defined as follows:

**data** G a where

Leaf :: a → G a  
Prod :: G a → G b → G (a, b)

then G cannot be a functor at all, let alone a fixed point of some higher-order
functor. The problem is defining fmap for the Prod constructor:

```
fmap f (Prod ga gb) = _ :: G c
```

However, we have no way to construct a G c given f :: (a, b) → c, ga :: G a and
gb :: G b. Luckily, Johan and Ghani [35] shows how to fix this problem. In fact,
all we need to do is to give up the expectation that a GADT G :: * → * is functorial
in its domain. In categorical terminology, we view GADTs as functors from the
discrete category \(|\ast|\) of Haskell types to the category \(\ast\) of Haskell types, rather
than functors from \(\ast\) to \(\ast\). In other words, a GADT \(G : \ast \rightarrow \ast\) is then merely a
type constructor in Haskell, without necessarily a \textit{Functor} instance. A \textit{natural
transformation} between two functors \(a\) and \(b\) from \(|\ast|\) to \(\ast\) is a polymorphic
function \(\forall i. a i \rightarrow b i\), which we give a type synonym \(a \rightarrowL b\):
Example 21. Terms of untyped lambda calculus with de Bruijn indices can be modelled as the fixed point of the following higher-order functor [S]:

\[
data \text{LambdaF} :: (* \to *) \to (* \to *) \text{ where}\\Var :: a \to \text{LambdaF} f a\\App :: f \to f a \to \text{LambdaF} f a\\Abs :: f (\text{Maybe } a) \to \text{LambdaF} f a
\]

Letting \(a\) be some type, inhabitants of \(\mu \text{LambdaF } a\) are precisely the lambda terms in which free variables range over \(a\). Thus \(\mu \text{LambdaF } \text{Void}\) is the type has no inhabitants. Note that the constructor \(\text{Abs}\) applies the recursive placeholder \(f\) to \(\text{Maybe } a\), providing the inner term with exactly one more fresh variable Nothing.

The free variables of a lambda term can be extracted into a list quite easily, by converting from \(\mu \text{LambdaF } a\) to \([ a ]\) for any type \(a\):

\[
\text{vars :: } \mu \text{LambdaF } \to [ ]\n\text{vars = icata alg where}\\alg :: \text{LambdaF } [ ] \to [ ]\\alg (\text{Var } v) = [ v ]\\alg (\text{App } fvs xvs) = fvs \uplus xvs\\alg (\text{Abs } vs) = [ v | \text{Just } v \leftarrow vs ]
\]

This obtains all the free variables without attempting to remove duplicates.

The size of a lambda term can also be computed structurally. However, what we get from \(\text{icata}\) is always an arrow \(\mu \text{LambdaF } a\) to \([ a ]\) for any type \(a\):

\[
\text{size :: } \mu \text{LambdaF } \to K \text{ Integer}\\\text{size = icata alg where}\\alg :: \text{LambdaF } (K \text{ Integer}) \to K \text{ Integer}\\alg (\text{Var } _) = K 1\\alg (\text{App } (K n) (K m)) = K (n + m + 1)\\alg (\text{Abs } (K n)) = K (n + 1)
\]

Example 22. An indexed catamorphism \(\text{icata alg}\) is a function \(\forall i. \mu f \to a i\) polymorphic in index \(i\). However, we might be interested in GADTs and nested datatypes applied to some monomorphic index. Consider the following program summing up a random-access list of integers.

\[
\text{sumRList :: RLList Integer } \to \text{ Integer}\\\text{sumRList Null } = 0\\\text{sumRList } (\text{Zero } xs) = \text{sumRList } (\text{fmap } (\text{uncurry } (+)) \to \text{ sumRList } (\text{fmap } (\text{uncurry } (+)) \to \text{ sumRList } (\text{One } x \to \text{ x + sumRList } (\text{fmap } (\text{uncurry } (+)) \to \text{ sumRList } (\text{One } x \to \text{ x + sumRList } \text{...}))\)
\]
Does it fit into an indexed catamorphism from \( \mu \) \( RListF \)? The answer is yes, with the clever choice of the continuation monad \( Cont \ Integer \ a \) as the result type of \( icata \).

**newtype** \( Cont \ r \ a = Cont \ \{ \text{runCont} :: (a \rightarrow r) \rightarrow r \} \)

\[
\begin{align*}
\text{sumRList}' :: \mu \ RListF \ Integer \rightarrow Integer \\
\text{sumRList}' \ x = \text{runCont} \ (h \ x) \ \text{id where} \\
h :: \mu \ RListF \Rightarrow \text{Cont Integer} \\
h = \text{icata \ sum where} \\
\text{sum :: RListF} \ (\text{Cont Integer}) \rightarrow \text{Cont Integer} \\
\text{sum NullF} = \text{Cont} \ (\lambda k \rightarrow 0) \\
\text{sum} \ (\text{ZeroF} \ s) = \text{Cont} \ (\lambda k \rightarrow \text{runCont} \ s \ (\text{fork} \ k)) \\
\text{sum} \ (\text{OneF} \ a \ s) = \text{Cont} \ (\lambda k \rightarrow a + \text{runCont} \ s \ (\text{fork} \ k)) \\
\text{fork} :: (y \rightarrow \text{Integer}) \rightarrow (y, y) \rightarrow \text{Integer} \\
\text{fork} \ k \ (a, b) = k \ a + k \ b
\end{align*}
\]

Historically, structural recursion on nested datatypes applied to a monomorphic type was thought as falling out of \( icata \) and led to the development of generalized folds [6,1]. Later, Johann and Ghani [34] showed \( icata \) is in fact expressive enough by using right Kan extensions as the result type of \( icata \), of which \( Cont \) used in this example is a special case.

## 10 Equational Reasoning with Recursion Schemes

We have talked about a handful of recursion schemes, which are recognized common patterns in recursive functions. Recognizing common patterns help programmers understand a new problem and communicate their solutions with others. Better still, recursion schemes offer rigorous and formal calculational properties with which the programmer can manipulate programs in a way similar to manipulate standard mathematical objects such as numbers and polynomials. In this section, we briefly show some of the properties and an example of reasoning about programs using them. We refer to Bird and de Moor [4] for a comprehensive introduction to this subject and Bird [3] for more examples of reasoning about and optimizing algorithms in this approach.

We focus on **hylo morphisms**, as almost all recursion schemes are a hylomorphism in a certain category. The fundamental property is the unique existence of the solution to a hylo equation given a recursive coalgebra \( c \) (or dually, a corecursive algebra \( a \)) for any \( x \),

\[
x = a \circ fmap \ x \circ c \iff x = \text{hylo} \ a \ c \quad \text{(HYLOUNIQ)}
\]

which directly follows the definition of a recursive coalgebra. Instantiating \( x \) to \( \text{hylo} \ a \ c \), we get the defining equation of \( \text{hylo} \)

\[
\text{hylo} \ a \ c = a \circ fmap \ (\text{hylo} \ a \ c) \circ c \quad \text{(HYLOCOMP)}
\]
which is sometimes called the \textit{computation law}, because it tells how to compute $\text{hylo } a \ c$ recursively. Instantiating $x$ to $id$, we get

$$id = a \circ c \iff id = \text{hylo } a \ c$$

called the \textit{reflection law}, which gives a necessary and sufficient condition for $\text{hylo } a \ c$ being the identity function. Note that in this law, $c : r \to f \ r$ and $a : f \ r \to r$ share the same carrier type $r$. Furthermore this law entails that the algebra $a$ is surjective, and the coalgebra $c$ is injective. A direct consequence of $\text{HYLOREFL}$ is $\text{cata } In = id$ because $\text{cata } a = \text{hylo } a \ \text{in}$ and $id = In \circ \text{in}$.

Dually, we also have $\text{ana } Out = id$.

An important consequence of $\text{HYLOUNIQ}$ is the following \textit{fusion law}. It is easier to describe diagrammatically: The $\text{HYLOUNIQ}$ law states that there is exactly one $x$, i.e. $\text{hylo } a \ c$, such that the following diagram commutes (i.e. all paths with the same start and end points give the same result when their edges are composed together):

If we put another commuting square beside it,

the outer rectangle (with top edge $h \circ x$) also commutes, and it is also an instance of $\text{HYLOUNIQ}$ with coalgebra $c$ and algebra $b$. Because $\text{HYLOUNIQ}$ states $\text{hylo } c \ b$ is the only arrow making the outer rectangle commute, thus $\text{hylo } c \ b = h \circ x = h \circ \text{hylo } a \ c$. In summary, the fusion law is:

$$h \circ \text{hylo } a \ c = \text{hylo } b \ c \iff h \circ a = b \circ \text{fmap } h$$

and its dual version for corecursive algebra $a$ is

$$\text{hylo } a \ c \circ h = \text{hylo } a \ d \iff c \circ h = \text{fmap } h \circ d$$

where $d : td \to f \ td$. Fusion laws combine a function after or before a hylomorphic into one hylomorphism, and thus they are is widely used for optimization [10].

We demonstrate how these calculational properties can be used to reason about programs with an example.

\textbf{Example 23.} Suppose some $f : \text{Integer } \to \text{Integer}$ such that for all $a, b : \text{Integer}$,

$$f \ (a + b) = f \ a + f \ b \ \land \ f \ 0 = 0$$

(10)
and \( \text{sum} \) and \( \text{map} \) are the familiar Haskell functions defined with \( \text{hylo} \):

\[
\begin{align*}
\text{type List } a & \equiv \mu (\text{ListF } a) \\
\text{sum} :: \text{List Integer} & \rightarrow \text{Integer} \\
\text{sum} & \equiv \text{hylo plus in}^\circ \quad \text{where} \\
\text{plus Nil} & = 0 \\
\text{plus } (\text{Cons } a b) & = a + b \\
\text{map} :: (a \rightarrow b) & \rightarrow \text{List } a \rightarrow \text{List } b \\
\text{map } f & = \text{hylo app in}^\circ \quad \text{where} \\
\text{app Nil} & = \text{In Nil} \\
\text{app } (\text{Cons } a bs) & = \text{In } (\text{Cons } (f a) bs)
\end{align*}
\]

Let us prove \( \text{sum} \circ \text{map } f = f \circ \text{sum} \) with the properties of \( \text{hylo} \).

**Proof.** Both \( \text{sum} \circ \text{map } f \) and \( f \circ \text{sum} \) are in the form of a function after a hylomorphism, and thus we can try to use the fusion law to establish

\[
\text{sum} \circ \text{map } f = \text{hylo } g \circ \text{in}^\circ = f \circ \text{sum}
\]

for some \( g \). The correct choice of \( g \) is

\[
\begin{align*}
\text{g} :: \text{ListF } \text{Integer} & \rightarrow \text{Integer} \\
\text{g } \text{Nil} & = f 0 \\
\text{g } (\text{Cons } x y) & = f x + y
\end{align*}
\]

First, \( \text{sum} \circ \text{map } f = \text{sum} \circ \text{hylo } \text{app } \text{in}^\circ \), and by [HYLOFUSION](#)

\[
\text{sum} \circ \text{hylo } \text{app } \text{in}^\circ = \text{hylo } g \circ \text{in}^\circ
\]

is implied by

\[
\text{sum } \circ \text{app} = g \circ \text{fmap } \text{sum} \quad \quad (11)
\]

Expanding \( \text{sum} \) on the left-hand side, it is equivalent to

\[
(\text{plus } \circ \text{fmap } \text{sum} \circ \text{in}^\circ) \circ \text{app} = g \circ \text{fmap } \text{sum} \quad \quad (12)
\]

which is an equation of functions

\[
\text{ListF } \text{Integer } (\mu (\text{ListF } \text{Integer})) \rightarrow \text{Integer}
\]

and it can be shown by a case analysis on the input. For \( \text{Nil} \), the left-hand side of (12) equals to

\[
\begin{align*}
\text{plus } (\text{fmap } \text{sum} \circ \text{in}^\circ (\text{app } \text{Nil})) \\
= \text{plus } (\text{fmap } \text{sum} \circ \text{in}^\circ (\text{In } \text{Nil})) \\
= \text{plus } (\text{fmap } \text{sum } \text{Nil}) \\
= \text{plus } \text{Nil} \quad \quad \text{(by definition of fmap for ListF)} \\
= 0
\end{align*}
\]

and the right-hand side of (12) equals to

\[
\text{g } (\text{fmap } \text{sum } \text{Nil}) = g \text{ Nil} = g 0 = f 0
\]
and by assumption (11) about \( f \), \( f \circ 0 = 0 \). Similarly when the input is \( Cons \ a \ b \), we can calculate that both sides equal to \( f \ a + sum \ b \). Thus we have shown (11), and therefore \( sum \circ hylo \ app \ in = hylo \ g \ in \).

Similarly, by HYLOFUSION \( f \circ sum = hylo \ g \ in \) is implied by

\[
f \circ \text{plus} = g \circ \text{fmap} \ f
\]

which can be verified by case analysis on the input: When the input is \( Nil \), both sides equal to \( f \ 0 \). When the input is \( Cons \ a \ b \), the left-hand side equals to \( f \ (a + b) \) and the right-hand side is \( f \ a + f \ b \). By assumption (10) on \( f \),

\[
f \ (a + b) = f \ a + f \ b.
\]

11 Closing Remarks and Further Reading

We have shown a handful of structural recursion schemes and their applications by examples. We hope that this paper can be an accessible introduction to this subject and a quick reference when functional programmers hear about some morphism with an obscure Greek prefix. We end this paper with some remarks on general approaches to find more fantastic morphisms and some pointers to further reading about the theory and applications of recursion schemes.

From Categories and Adjunctions As we have seen, recursion schemes live with categories and adjunctions, so whenever we see a new category, it is a good idea to think about catamorphisms and anamorphisms in this category, as we did for the Kleisli category, where we obtained mcata, and the functor category \( *[^1] \), where we obtained icata, etc. Also, whenever we encounter an adjunction \( L \dashv R \), we can think about if functions of type \( L \ c \to a \), especially \( L \ (\mu f) \to a \), are anything interesting. If they are, there might be interesting conjugate hylomorphisms from this adjunction.

Composing Recursion Schemes Up to now we have considered recursion schemes in isolation, each of which provides an extra functionality compared with cata or ana, such as mutual recursion, accessing the original structure, accessing the computation history. However, when writing larger programs in practice, we probably want to combine the functionalities of recursion schemes. For example, if we want to define two mutually recursive functions with historical information, we need a recursion scheme of type

\[
\text{mutuHist} :: \text{Functor} \ f \Rightarrow (f \ (\text{Cofree} f \ (a, b)) \to a) \\
\quad \to (f \ (\text{Cofree} f \ (a, b)) \to b) \to (\mu f \to a, \mu f \to b)
\]

Theoretically, \( \text{mutuHist} \) is the composite of \( \text{mutu} \) and \( \text{accu} \) in the sense that the adjunction \( U \dashv \text{Cofree}_F \) underlying \( \text{hist} \) and the adjunction \( \Delta \dashv \times \) underlying \( \text{mutu} \) can be composed to an adjunction inducing \( \text{mutuHist} \) [23]. Unfortunately, our Haskell implementations of \( \text{mutu} \) and \( \text{hist} \) are not composable. A composable library of recursion schemes in Haskell would require considerable machinery for doing category theory in Haskell, and how to do it with good usability is a question worth exploring.
Further Reading The examples in this paper are fairly small ones, but recursion schemes are surely useful in real-world programs and algorithms. For the reader who wants to see recursion schemes in real-world algorithms, we recommend books by Bird [3] and Bird and Gibbons [5]. Their books provide a great deal of examples of proving correctness of algorithms using properties of recursion schemes, which we only briefly showcased in Section 10.

We have only glossed over the category theory of the unifying theories of recursion schemes. For the reader interested in them, a good place to start is Hinze’s lecture notes [22] on adjoint folds and unfolds, and then Uustalu et al.’s paper [52] on recursion schemes from comonads, which are less general than adjoint folds, but they have generic implementations in Haskell [39]. Finally, Hinze et al.’s conjugate hylomorphisms [29] are the most general framework of recursion schemes so far.

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