Review

Transforming growth factor-β signaling in epithelial-mesenchymal transition and progression of cancer

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(Communicated by Masatoshi TAKEI, M.I.A.)

Abstract: Transforming growth factor-β (TGF-β) is a multifunctional cytokine that induces growth arrest, tissue fibrosis, and epithelial-mesenchymal transition (EMT) through activation of Smad and non-Smad signaling pathways. EMT is the differentiation switch by which polarized epithelial cells differentiate into contractile and motile mesenchymal cells. Cell motility and invasive capacity are activated upon EMT. Multiple transcription factors, including ΔEF1/ZEB1, SIP1/ZEB2, and Snail/SNAI1, are induced by TGF-β-Smad signaling and play critical roles in TGF-β-induced EMT. In addition, both non-Smad signaling activated by TGF-β and cross-talk with other signaling pathways play important roles in induction of EMT. Of these, Ras signaling synergizes with TGF-β-Smad signaling, and plays an important role in the induction of EMT. TGF-β inhibitors prevent invasion and metastasis of advanced cancer through multiple mechanisms, including inhibition of EMT. The discovery of molecules that inhibit TGF-β-induced EMT but not TGF-β-induced growth arrest may be an ideal strategy for treatment of invasion and metastasis of cancer.

Keywords: TGF-β, EMT, E-cadherin, Ras, invasion, metastasis

1. Introduction

Transforming growth factor-β (TGF-β) is a multifunctional cytokine that potently inhibits the proliferation of most types of cells, including epithelial cells, endothelial cells, hematopoietic cells, and lymphocytes.1) TGF-β also induces tissue fibrosis through induction of various extracellular matrix proteins. Recently, numerous studies have revealed that TGF-β stimulates epithelial-mesenchymal transition (EMT) in certain epithelial cells.2) TGF-β has thus been found to have bidirectional functions in the progression of cancer: it acts as a tumor suppressor by inhibition of cell proliferation through repression of c-Myc expression and some cyclin-dependent kinase inhibitors,3) while it functions as a pro-oncogenic factor through stimulation of matrix deposition, perturbation of immune function, and induction of EMT.4) Recent findings have shown that cancer cells, e.g. diffuse-type gastric cancer cells, treated with TGF-β secrete an anti-angiogenic factor thrombospondin-1, and thus that TGF-β acts as an anti-oncogenic factor via effects on the tumor microenvironment.5,6)

EMT is an important step in the invasion and metastasis of cancer, and TGF-β induces progression of cancer through EMT. TGF-β was originally discovered as a cytokine that induces anchorage-independent growth of normal fibroblasts in the presence...
of epidermal growth factor (EGF), and TGF-β-induced EMT-like activity was reported by many investigators even in the early 1990's. Welch et al. reported that treatment of mammary adenocarcinoma cells with TGF-β1 resulted in acceleration of lung metastasis in a xenograft model, due to increased capacity for extravasation and elevated expression of gelatinolytic activity. Similarly, Meth A sarcoma cells expressing active TGF-β1 were shown to be more adhesive in vitro and more tumorigenic in vivo than parental cells. Although levels of expression of epithelial and mesenchymal markers were not fully determined in these studies, it is most likely that TGF-β triggered EMT in these cancer cells, and thereby promoted invasion and metastasis of cancer.

EMT occurs in various settings during embryonic development, but is also tightly linked to the pathogenesis of certain disease processes, including progression of fibrosis and cancer. In addition to TGF-β, various extracellular factors, including bone morphogenetic proteins (BMPs), Wnt, Notch ligands, hepatocyte growth factor, platelet-derived growth factor, and fibroblast growth factor, induce EMT in a coordinated and/or sequential fashion. Since the roles of EMT during embryonic development and progression of fibrosis have been discussed in other review articles, I will focus my discussion on TGF-β-induced EMT in cancer progression in this review.

2. TGF-β activates Smad and non-Smad signaling pathways

TGF-β binds to type II and type I serine-threonine kinase receptors, termed TβRII and TβRI, respectively. TβRII transphosphorylates TβRI, and the latter activates receptor-regulated Smads
In addition to the Smad signaling pathways, TGF-β activates various types of non-Smad signaling in certain types of cells (Fig. 1). Among them, it is reported that Erk, c-Jun N-terminal kinase (JNK), and p38 MAP kinases, phosphatidylinositol-3 (PI3) kinase, and RhoA, Rac1, and Cdc42 GTPases play important roles in TGF-β-induced EMT.

3. Process of EMT

EMT is a process in which epithelial cells forming an organized, tightly connected sheet trans-differentiate into disorganized motile mesenchymal cells (Fig. 2). EMT occurs in an orchestrated fashion; one of the earliest events in it involves the disruption of tight junctions that connect epithelial cells, as well as delocalization of tight junction proteins, including zonula occludens 1 (ZO-1), claudin 1, and occludin. Adherens junction complexes, which contain E-cadherin and β-catenin, are also disrupted, and reorganization of the actin cytoskeleton from a cortical location into actin stress fibers anchored to the focal adhesion complexes is observed.

Upon EMT, apical-basal cell polarity is lost, and cells exhibit a spindle-shaped, fibroblast-like morphology and express mesenchymal markers, including N-cadherin, vimentin, fibronectin, smooth muscle α-actin (SMA), and fibroblast-specific protein-1 (FSP1). Expression of Smad7 and c-Ski, induced by TGF-β-Smad signaling, represses TGF-β signaling through negative feedback loops.

4. Transcriptional program eliciting TGF-β-induced EMT

Several transcription factors, including the zinc-finger factors Snail (also known as SNAI1) and Slug (SNAI2), the two-handed zinc-finger factors of δEF1
family proteins, including δEF1/ZEB1 and Smad-interacting protein 1 (SIP1)/ZEB2, and the basic helix-loop-helix (bHLH) factors Twist and E12/E47, play critical roles in the induction of EMT. Although it is currently unclear how each of the transcription factors plays a role in the EMT program, they may act independently in the induction of EMT in certain types of cells, but may also act cooperatively on the promoters of some genes, e.g. the E-cadherin promoter, in other cells. Mouse NMuMG mammary epithelial cells have been widely used to study the mechanisms of induction of EMT by TGF-β. TGF-β induces the expression of several transcription factors and transcription regulators involved in EMT, including δEF1, SIP1, and Snail, and represses that of Id proteins in NMuMG cells (Fig. 3). TGF-β does not affect the expression of E12/E47, and expression of Twist is not detectable in these cells.

Id (inhibitor of differentiation) proteins have a helix-loop-helix (HLH) domain but lack the basic DNA-binding domain. Id proteins interact with and inhibit the transcriptional activity of bHLH proteins. Four isoforms of Id proteins, i.e. Id1–4, have been identified in mammals, and TGF-β suppresses the expression of Id1, 2, and 3 in NMuMG cells.

Suppression of the expression of Id2 mRNA is observed at 5 hours after the stimulation by TGF-β, preceding the repression of E-cadherin mRNA expression, and continues for more than 24 hours. E12 and E47, two alternatively spliced products of the E2A gene, are bHLH factors which induce a fibroblastic phenotype and suppress the expression of E-cadherin. Through constitutive association with E2A proteins, Id proteins maintain epithelial phenotypes by repressing the function of E2A.

δEF1 and SIP1 are the two-handed zinc-finger transcriptional factors involved in EMT. Levels of expression of δEF1 and SIP1 are gradually increased by TGF-β until 24 hours, with profiles of expression reciprocal to that of E-cadherin in NMuMG cells. δEF1 and SIP1 repress the transcription of E-cadherin through binding to the E-box region of the E-cadherin promoter. Smads interact with δEF1 and SIP1, and form repressor complexes on the E-cadherin promoter, although binding of Smads to δEF1 and SIP1 appears not to be required for their repressor activity. In contrast to the repression of E-cadherin, expression of mesenchymal markers, such as N-cadherin and fibronectin, is not affected by SIP1 and δEF1 in NMuMG cells.

Snail and Slug are zinc-finger-containing tran-
scription factors and repress E-cadherin expression and induce EMT.\textsuperscript{30,31} TGF-\(\beta\)-\(\beta\) induces the expression of Snail in biphasic, Smad-dependent, fashion. It induces greater than 10-fold expression of Snail mRNA by 1 hour in NMuMG cells, following which mild but significant induction is observed at 10–24 hours.\textsuperscript{24} A similar biphasic profile of Snail induction can be observed in other types of cells.\textsuperscript{32} In Madin-Darby canine kidney (MDCK) cells, Slu, but neither Snail nor Twist, is induced by TGF-\(\beta\).\textsuperscript{33}

Snail suppresses E-cadherin expression by direct binding to the E2-box sequence of the E-cadherin promoter.\textsuperscript{30} Snail interacts with transcriptional corepressor Sin3A, and histone deacetylase activity recruited to Snail is essential for repression of the E-cadherin mRNA.\textsuperscript{34} SIP1 and Snail bind to partly overlapping E-cadherin promoter sequences.\textsuperscript{27} Snail has also been shown to induce cell survival and movement, while it represses cell proliferation. In addition to TGF-\(\beta\), Snail is induced by various extracellular signals, including those of the EGF and Notch pathways.\textsuperscript{34} The activity of Snail is regulated by different phosphorylation events via various kinases, including GSK3\(\beta\) and p21-activated kinase-1 (PAK1). In addition, Snail protein is stabilized by tumor necrosis factor (TNF)-\(\alpha\) through activation of the NF-\(\kappa\)-\(B\) pathway.\textsuperscript{35} LIV1, a breast-cancer associated zinc transporter protein, is required for the nuclear localization of Snail.\textsuperscript{36}

The transcriptional program of the EMT inducers is regulated by certain upstream transcription factors (Fig. 3). TGF-\(\beta\)-\(\beta\) induces the expression of Ets1, which functions as an upstream component of \(\delta\)EF1 and SIP1. Since Ets1 acts in cooperative fashion with the E2A proteins, Id proteins are also involved in the suppression of the TGF-\(\beta\)-induced up-regulation of \(\delta\)EF1 and SIP1. The high mobility group factor HMGA2 was identified as a new regulator of EMT. HMGA2 is induced by TGF-\(\beta\)-Smad signaling, and induces the expression of Snail, Slug, and Twist.\textsuperscript{37,38} In addition, TGF-\(\beta\) induces nuclear translocation of myocardin-related transcription factor-A and -B (MRTF-A and -B; also known as MAL and MKL1/2) in Rho-dependent fashion. MRTFs form complexes with Smad3, and induce the expression of Slug by direct binding to the Slug promoter, leading to execution of EMT in various epithelial cells, including MDCK and NMuMG cells.\textsuperscript{33}

MicroRNAs (miRNAs) are short non-coding RNAs of 20–22 nucleotides, which are implicated in tumor progression. MiRNAs repress gene expression by interaction of their seed sequences with complementary sequences located in the 3' UTR of target mRNAs. Emerging evidence indicates that miR-200 family members regulate the process of EMT by targeting \(\delta\)EF1 and SIP1, and that their expression is down-regulated in cells undergoing EMT. Loss of miR-200 results in acquisition of the EMT phenotype, while re-expression of miR-200 leads to reversal of the process of EMT.\textsuperscript{39}

5. Non-Smad signaling

Both Smad and non-Smad signaling appear to be important for full development of EMT (Fig. 1). Although the involvement of Smad4 in EMT is controversial, key transcriptional events of EMT are mediated, at least in part, by the Smad signaling pathways.\textsuperscript{20,40,41} Analyses of certain fibrotic diseases using Smad3-null mice have revealed the essential role of Smad3 in the process of EMT.\textsuperscript{42} However, there is some evidence that non-Smad signaling also participates in the process of EMT. Direct interaction between the TGF-\(\beta\) receptors and the polarity complex that regulates epithelial polarization has been demonstrated. T\(\beta\)RI is localized in the tight junction through interaction with the PAK network and occludin.\textsuperscript{43} Activated T\(\beta\)RII induces phosphorylation of the tight junction protein Par6, which in turn recruits Smurf1, an E3 ubiquitin ligase. Smurf1 then stimulates ubiquitylation and degradation of RhoA, leading to disorganization of tight junctions and loss of cell polarity.

6. Synergism between TGF-\(\beta\) and Ras signaling in EMT

Various signals, including integrin, Notch, Wnt, TNF-\(\alpha\), and EGF signals, have been reported to cooperate or synergize with TGF-\(\beta\) signaling and stimulate tumor invasion and metastasis.\textsuperscript{31,22} Synergism between TGF-\(\beta\) and Ras signaling has been the most extensively investigated. oft et al.\textsuperscript{44} reported that when transformed by mutant Ras, mammary epithelial EpH4 cells undergo EMT by TGF-\(\beta\)1 and exhibit a fibroblast-like and invasive and metastatic phenotype resistant to the growth-inhibitory activity of TGF-\(\beta\)1. Using specific small-molecule inhibitors blocking the MAP kinase or PI3 kinase pathway, Janda et al. reported that hyperactivation of the Raf-MAP kinase pathway synergizes with TGF-\(\beta\) signaling and induces EMT, and accelerates the
tumorigenesis and metastasis of Eph4 mammary epithelial cells, while activation of PI3 kinase results in tumorigenesis but not metastasis via stimulation of scattering of cells and their survival.\textsuperscript{45} Vogelmann \textit{et al.} reported that Ras and PI3 kinase appear to activate Src-family tyrosine kinases, leading to phosphorylation of $\alpha$- and $\beta$-catenin, destabilization of E-cadherin/catenin complexes, and disruption of the adherens junctions.\textsuperscript{46} It is, however, unknown which transcription factor(s) are involved in the cooperation of TGF-$\beta$- and Ras-induced EMT.\textsuperscript{32,34} Constitutively active Ras dramatically enhances the induction of Snail by TGF-$\beta$, while expression of other targets of TGF-$\beta$, e.g. Smad7 and plasminogen activator inhibitor-1, is not affected by Ras signaling in Panc-1 cells.\textsuperscript{32} This finding is of interest, since Smad2 and Smad3 are phosphorylated by MAP kinases and/or other kinases at linker regions, resulting in prevention of nuclear accumulation of Smads. Interestingly, phosphorylation at the linker region of Smad2/3 does not affect the synergistic induction of Snail by TGF-$\beta$ and Ras. Neither Erk/JNK MAP kinases nor PI3 kinase is involved in this synergistic effect between TGF-$\beta$ and Ras in Panc-1 cells, while Snail is transcriptionally induced by TGF-$\beta$ in MAP kinase- and PI3 kinase-dependent fashion in MDCK cells.\textsuperscript{34} Thus, Ras and TGF-$\beta$-Smad signaling selectively cooperate in the induction of Snail, which occurs independently of phosphorylation at the linker region of R-Smads by Ras signaling.

7. Endothelial-mesenchymal transition (EndMT)

Blood vessels are lined by endothelial cells and surrounded by mural cells (i.e. pericytes or smooth muscle cells). Endothelial cells have been shown to differentiate into mesenchymal cells during development, and this process is termed endothelial-mesenchymal (or mural) transition (EndMT).\textsuperscript{47} During the process of EndMT, expression of VE-cadherin, platelet-endothelial cell adhesion molecule 1 (PECAM1), and claudin-5 is reduced, while that of SMA and other mesenchymal markers is induced (Fig. 4). Similar to EMT, EndMT is regulated by various signaling pathways, including TGF-$\beta$, Wnt, and Notch. Indeed, TGF-$\beta$ is required at the onset of EndMT to initiate endocardial cushion tissue formation in mouse embryonic heart.\textsuperscript{48} In addition, several in vitro studies have shown that TGF-$\beta$ induces the differentiation of endothelial cells into mural cells.\textsuperscript{49,50} Although EndMT induced by TGF-$\beta$ plays essential roles in cardiovascular development, it is implicated in certain types of pathology, e.g. cardiac fibrosis and cancer progression.\textsuperscript{47} Activated fibroblasts induced by EndMT may play an important role in cancer progression as a source of CAFs.

Embryonic stem cell (ESC)-derived vascular progenitor cells differentiate into both endothelial and mural cells in \textit{vitro}.\textsuperscript{51,52} Recently, Kokudo \textit{et al.}\textsuperscript{53} demonstrated that TGF-$\beta$ and activin are
capable of inducing differentiation of endothelial cells derived from mouse ESCs into mural cells. TGF-β decreases the expression of claudin-5, and increases that of mural markers, including SMA, SM22α, and calponin. Expression of Snail is induced by TGF-β, and, similar to the process of EMT, Snail is essential for TGF-β-induced EndMT. Other transcription factors involved in EMT, e.g. Slug, SIP1, and δEF1, are not induced by TGF-β in mouse ESC-derived endothelial cells. Snail is also induced in human umbilical vein endothelial cells by TGF-β.

58) Saito et al. reported that TTF-1 antagonizes TGF-β-induced EMT and restores epithelial phenotype in lung adenocarcinoma cells. TTF-1 antagonizes the effect of TGF-β, presumably through interaction with Smads. Saito et al. reported that TTF-1 induces growth arrest and represses the expression of TGF-β-target genes, including Snail and Slug. Decrease in the expression of TTF-1 accelerates TGF-β-induced EMT. Moreover, TGF-β represses the expression of TTF-1, and TTF-1 represses that of TGF-β2, the TGF-β isoform expressed in distal bronchial airway. These findings suggest that TTF-1 exhibits a tumor-suppressive effect with abrogation of response of adenocarcinoma cells to TGF-β, and that modulation of TTF-1 expression can be a novel therapeutic strategy for treatment of lung adenocarcinoma.

Inhibition of TGF-β signaling by TGF-β antagonists blocks EMT and induces MET, leading to prevention of cancer metastasis in vivo. JygMC(A) mouse mammary carcinoma cells spontaneously metastasize to lung and liver. Inhibition of TGF-β signaling by systemic administration of adenoviruses carrying Smad7 or c-Ski cDNA resulted in prevention of metastasis of JygMC(A) cells. Interestingly, growth of primary tumors inoculated subcutaneously in mice was not significantly affected by administration of Smad7- or c-Ski-adenoviruses. High-throughput Western blot analysis demonstrated increased expression of major components of adherens junctions and tight junctions, and decrease in migratory and invasive capacities of breast cancer cells infected with Smad7-adenovirus. TGF-β also induces the survival of mammary carcinoma cells through expression of transcription factor DEC1, which is suppressed by TGF-β antagonists. These findings thus strongly suggest that inhibition of TGF-β signaling leads to prevention of cancer metastasis by inhibition of the process of EMT.

8. Is MET inducible by TGF-β inhibition?

EMT is most often transient and reversible, and mesenchymal-epithelial transition (MET), the inverse process of EMT, occurs under certain physiological and pathological conditions. However, the signals that induce MET are largely unknown.

Thyroid transcription factor-1 (TTF-1, the product of Nkx2.1 gene) is a homeodomain-containing transcription factor, and serves as a master regulator for lung morphogenesis. Frequent expression of it is reported in lung adenocarcinoma (72.1%), and TTF-1 positivity has been reported to be a good prognostic marker in non-small cell lung cancer. Saito et al. reported that TTF-1 antagonizes TGF-β-induced EMT and restores epithelial phenotype in lung adenocarcinoma cells. TTF-1 antagonizes the effect of TGF-β, presumably through interaction with Smads. Saito et al. reported that TTF-1 antagonizes TGF-β-induced EMT and restores epithelial phenotype in lung adenocarcinoma cells. TTF-1 antagonizes the effect of TGF-β, presumably through interaction with Smads.

9. Conclusion and perspective

Several TGF-β antagonists, including small-molecule inhibitors that inhibit the kinase activity of TβRI and monoclonal antibody to TGF-β, have been generated, and have been reported to inhibit metastasis of cancer through multiple mechanisms. Regulation of immune function and inhibition of EMT may be among the most important mechanisms for prevention of metastasis by TGF-β antagonists. As described, recent findings have suggested that TGF-β induces growth arrest and EMT through partially distinct pathways. It is thus important to discover new drug targets that block EMT, i.e. the tumor-progression arm of the TGF-β signaling pathway, without affecting its growth-inhibitory activity, i.e. the tumor-suppression arm.

Acknowledgements

I would like to thank the members of the Department of Molecular Pathology, Graduate School of Medicine, University of Tokyo. This work was supported by KAKENHI (Grants-in-aid for Scientific Research) on Priority Areas “New strategies for cancer therapy based on advancement of basic research” from the Ministry of Education, Culture, Sports, Science, and Technology of Japan.
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(Received July 15, 2009; accepted Sep. 1, 2009)

Profile

Kohei Miyazono was born in Saga, Japan, in 1956, and received his M.D. degree at the University of Tokyo in 1981, and D.M.S. at the same university in 1988. He started to work at the Department of Hematology at the University of Tokyo, and then moved to Dr. Carl-Henrik Heldin’s lab in Uppsala, Sweden in 1985. After going back to Japan between 1988–1990, he moved to Sweden again, and became assistant and associate member at the Ludwig Institute for Cancer Research, Uppsala, Sweden (between 1990 and 1995). He returned to Japan, and became the Chief of the Department of Biochemistry, the Cancer Institute of Japanese Foundation for Cancer Research in 1995. In 2000, he was appointed to his current position as a full professor at the University of Tokyo. Kohei Miyazono received Incitement Award of the Japanese Cancer Association (1996), Erwin von Bils Prize (1997), Honorary Doctor of Medicine of Uppsala University, Sweden (1999), Princess Takamatsu Cancer Research Award (2000), Academic Award of the Mochida Memorial Foundation (2003), Inoue Prize for Science (2006), Medical Award of the Japan Medical Association (2006), and the Takeda Foundation Award (2008). His research interest is mechanisms of action of the TGF-β family factors and their relation to development of cancer, mechanisms of angiogenesis and lymphangiogenesis, and studies on tumor suppressor genes.

During the past 10 years, his laboratory has studied the signaling mechanisms of TGF-β and bone morphogenetic proteins. Kohei Miyazono was the Vice President of the Japanese Cancer Association (2007–2008), and actively working for Cancer Science and Journal of Biochemistry as an editor, and for Oncogene and Journal of Biological Chemistry as a member of the editorial board.