Methylation panel is a diagnostic biomarker for Barrett’s oesophagus in endoscopic biopsies and non-endoscopic cytology specimens

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ABSTRACT

Objective Barrett’s oesophagus is a premalignant condition that occurs in the context of gastro-oesophageal reflux. However, most Barrett’s cases are undiagnosed because of reliance on endoscopy. We have developed a non-endoscopic tool: the Cytosponge, which when combined with trefoil factor 3 immunohistochemistry, can diagnose Barrett’s oesophagus. We investigated whether a quantitative methylation test that is not reliant on histopathological analysis could be used to diagnose Barrett’s oesophagus.

Design Differentially methylated genes between Barrett’s and normal squamous oesophageal biopsies were identified from whole methylome data and confirmed using MethyLight PCR in biopsy samples of squamous oesophagus, gastric cardia and Barrett’s oesophagus. Selected genes were then tested on Cytosponge BEST2 trial samples comprising a pilot cohort (n=20 cases, n=10 controls) and a validation cohort (n=149 cases, n=129 controls).

Results Eighteen genes were differentially methylated in patients with Barrett’s oesophagus compared with squamous controls. Hypermethylation of TFPI2, TWIST1, ZNF345 and ZNF569 was confirmed in Barrett’s biopsies compared with biopsies from squamous oesophagus and gastric cardia (p<0.05). When tested in Cytosponge samples, these four genes were hypermethylated in patients with Barrett’s oesophagus compared with patients with reflux symptoms (p<0.001). The optimum biomarker to diagnose Barrett’s oesophagus was TFPI2 with a sensitivity and specificity of 82.2% and 95.7%, respectively.

Conclusion TFPI2, TWIST1, ZNF345 and ZNF569 methylation have promise as potential biomarkers for Barrett’s oesophagus when used in combination with a simple and cost effective non-endoscopic cell collection device.

INTRODUCTION

Barrett’s oesophagus is a metaplasia of the normal stratified squamous epithelium of the distal oesophagus to a columnar epithelium, which generally occurs in individuals with chronic exposure to gastric acid and bile reflux. This metaplasia has been shown to be a risk factor for oesophageal adenocarcinoma (OAC) which, unless detected early, has an overall mortality above 80% at 5 years.1,2 Moreover, in the western world, the incidence of OAC has rapidly increased in recent decades, such that it now constitutes more than 50% of cases of oesophageal cancer,3–6 making diagnosis of Barrett’s oesophagus all the more pertinent.

The clinical relevance of diagnosing Barrett’s oesophagus lies in the opportunity for early detection of dysplasia and superficial carcinoma, for which curative non-surgical treatment options, such as radiofrequency ablation and endoscopic mucosal resection, have become widely available in the past 10 years.7

Currently, the diagnosis of Barrett’s oesophagus relies on endoscopy in patients who are referred with symptoms. In the UK and the USA, only patients with chronic GORD and multiple...
risk factors (at least three of: age 50 years or older, white race, male sex and obesity) are currently recommended for screening endoscopy by best practice guidelines, otherwise it is often an incidental finding and the majority of cases are undiagnosed. A fundamental argument against screening arises from the low conversion rate of Barrett’s oesophagus to OAC, with one population-based pathology study estimating the absolute annual risk of OAC associated with Barrett’s oesophagus may be as low as 0.12%, although a meta-analysis suggested a conversion rate of 0.3%. Moreover, endoscopic diagnosis is invasive and expensive and requires expert training, making it unfeasible as a population screening tool.

Alternative diagnostic techniques such as office-based transnasal endoscopy and string-capsule video endoscopy have been considered, although the problems of expense and specialist training remain. Consequently, non-endoscopic screening tools have been developed in attempts to overcome these cost and training issues. One such tool is the Cytosponge, a non-endoscopic cell collection device that comprises a polyurethane sponge contained within a gelatine-based capsule, which is attached to a thin string. The patient swallows the capsule with some water while holding on to the other end of the string that is attached to a retainer. The capsule dissolves over a few minutes in the proximal stomach; the sponge is then retrieved 5 min later, scraping a sample of more than 500,000 cells from the lining of the oesophagus in the process, which can then be analysed for biomarkers to maximise the accuracy and objectivity of the diagnosis.

The Cytosponge has been found to be feasible in the primary care setting and acceptable to patients, suggesting that this inexpensive, minimally invasive tool may be appropriate as a triaging test for general practitioners. Furthermore, initial health economic analyses have suggested that the cost of this test is favourable when coupled with endoscopic therapy for dysplasia. The biomarker that has been tested extensively to date is an immunohistochemical biomarker, trefoil factor 3 (TFF3), which is a mucin-associated peptide that has been shown to distinguish Barrett’s oesophagus cells from normal squamous epithelium and gastric cardia with a sensitivity of 79.9% and specificity of 92.4% in a multicentre case–control study. The sensitivity increases with the length of the segment to 87.2% in segments of circumferential Barrett’s oesophagus ≥3 cm and is preserved in dysplasia. While the accuracy is favourable compared with other screening tests, ideally accuracy would be improved further and although an advantage of the TFF3 result is its binary nature, it would be ideal if analysis did not require paraffin embedding and a pathological examination. Therefore, we sought to explore the use of DNA methylation in view of promising data in other contexts.

DNA methylation is a phenomenon whereby a methyl group is added to the carbon-5 position of cytosine at CpG sites, which consist of a cytosine located adjacent to guanine. Crucially, this epigenetic change is thought to influence gene expression and hence may be a contributor to oncogenesis. CpG islands, areas of DNA with high GC content, have been found to be hypermethylated in cancer cells. Aberrant DNA methylation has been identified in a number of tumour suppressor, DNA repair and adhesion molecule genes in Barrett’s cells, such as AKAP12, APC and GPX3. Such studies have elucidated that promoter hypermethylation is commonly an early but progressive aberration in the Barrett’s oesophagus–OAC sequence, suggesting that differential methylation of such genes may prove useful as biomarkers in detecting Barrett’s oesophagus and may also have value in risk stratification.

The overall objective of this study was therefore to discover, test and validate new methylation biomarkers and to test their performance when applied to a non-endoscopic cell-collecting device—the Cytosponge.

METHODS

Identification of differentially methylated genes from methylation array

We analysed the beta values from a relevant, publicly available dataset generated from an Illumina 27k array analysis. We first filtered the probes and kept only those that covered gene CpG islands. A non-paired Wilcoxon test was applied to determine significant differentially methylated genes between normal squamous biopsies and Barrett’s samples with p < 0.05.

Cohorts design and ethics

Both biopsies and Cytosponge tissues were selected from the BEST2 trial. Ethics approval for this study had been obtained from the East of England – Cambridge Central Research Ethics Committee (No: 10/H0308/71) and registered in the UK Clinical Research Network Study Portfolio (9461). Individual written informed consent was obtained for each patient including permission to perform additional biomarker research. The BEST2 study is registered with ISRCTN, number 12730505.

Cytosponge processing

The stored paraffin blocks from non-dysplastic Barrett’s cases and controls (without Barrett’s oesophagus) from the BEST2 trial Cytosponges were used as well as standard FFPE blocks from endoscopic biopsies. For Cytosponge processing, the entire cell pellet is embedded in a single paraffin block as previously described. Cases were selected from the database to include all non-dysplastic BE cases and controls with adequate material remaining in the block for a methylation assay. No consideration was given to the proportion of columnar cells. Genomic DNA was extracted from 8 × 10 µm sections using Deparaffinisation Buffer (Qiagen, Manchester, UK) and the QIAamp FFPE DNA Tissue Kit (Qiagen). The protocol was followed as described by the manufacturer with the exception that samples were incubated at 56°C overnight instead of the described 1 hour, and 10 µL of extra Proteinase K was added to the samples roughly halfway through the 24-hour incubation.

Bisulfite modification and MethyLight PCR

Extracted DNAs were bisulfite converted by following EZ DNA-Methylation Gold kit instructions (Zymo Research, Irvine, California, USA). For MethyLight PCR, a TaqMan approach was carried out as described by Eads and collaborators. Each PCR reaction included 1× of LightCycler 480 Probes Master Mix (Roche, Welwyn Garden City, UK), 100 µM final concentration of probe, 10 µM final concentration of each forward and reverse primer, DNAse free water up to 8 µL final volume and 2 µL of bisulfate-converted DNA. Both probes and primers were generated using BEACON software and the corresponding sequences are shown in online supplementary table 1. Primers and probes were first tested on a Universally Methylated DNA (UM-DNA) (Millipore, Watford, UK) for amplifying methylated DNA only after bisulfite modification. Standard curves were generated for each gene of interest using a bisulfate converted UM-DNA, and a calibrator (corresponds to the 1:100 dilution of UM-DNA) was used in all subsequent experiments to allow absolute quantification. Each sample was analysed in triplicate, and the methylation level was calculated as follows: methylation = (A/B)/(C/D),
Figure 1  Testing methylation level of gene candidates in a biopsy cohort. The methylation level of 13 genes was assessed by MethyLight PCR in five squamous, seven cardia and nine Barrett’s biopsies. Ten out of 13 genes were significantly hypermethylated in Barrett’s biopsies compared with normal squamous tissue (*p<0.05; **p>0.01). Only TFPI2, TWIST1, ZNF345 and ZNF569 were specifically hypermethylated in Barrett’s samples and not in squamous tissue nor cardia biopsies.

Table 1  Patient demographics of pilot and validation Cytosponge cohorts

| Characteristic                  | Controls          | Pilot cohort       | Validation cohort | p Value | Cases       | Pilot cohort       | Validation cohort | p Value | p Value | p Value |
|--------------------------------|-------------------|--------------------|-------------------|---------|-------------|--------------------|-------------------|---------|---------|---------|
|                                | (n=10)            | (n=129)            | (n=20)            |         | (n=149)     | (n=20)            | (n=149)           |         |         |         |
| Age (years, median (IQR))      | 48.0 (39.0–61.0)  | 55.0 (43.0–63.0)   | 0.2985            |         | 66.0 (58.0–75.0) | 65.0 (56.0–72.0) | 0.4771            | 0.003   | <0.0001 |
| Gender (n (%))                  |                   |                    |                   |         |             |                    |                   |         |         |         |
| Female                         | 7 (70.0)          | 72 (55.8)          | 0.5884            |         | 6 (30.0)    | 7 (18.1)          | 0.3381            | 0.09    | <0.0001 |
| Male                           | 3 (30.0)          | 57 (44.2)          | 14 (70.0)         |         | 14 (70.0)   | 122 (81.9)        |                   |         |         |         |
| Ethnicity (n (%))               |                   |                    |                   |         |             |                    |                   |         |         |         |
| White British                  | 9 (90.0)          | 113 (87.6)         | 0.4654            |         | 19 (95)     | 139 (93.3)        |                   |         |         |         |
| Other white background          | 0                 | 11 (8.5)           |                   |         | 1 (5)       | 5 (3.4)           |                   |         |         |         |
| Asian                          | 1 (10.0)          | 2 (1.6)            |                   |         | 0           | 5 (3.4)           |                   |         |         |         |
| African                        | 0                 | 2 (1.6)            |                   |         | 0           | 0                 |                   |         |         |         |
| Other                          | 0                 | 1 (0.8)            |                   |         | 0           | 0                 |                   |         |         |         |
| Body mass index (kg/m², median (IQR)) | 27.7 (24.0–29.2) | 27.4 (24.5–30.4)   | 0.6531            |         | 28.3 (25.2–29.9) | 28.4 (25.7–30.8) | 0.6143            | 0.67    | 0.106   |
| Waist:hip (median (IQR))       | 0.87 (0.81–0.88)  | 0.87 (0.82–0.94)   | 0.5227            |         | 0.92 (0.90–0.95) | 0.95 (0.92–0.99) | 0.06754           | 0.002   | <0.0001 |
| Hiatus hernia                  | 3 (30%)           | 46 (35.7%)         | 0.9722            |         | 17 (85%)    | 118 (79.2%)       | 0.7557            | 0.009   | <0.0001 |
| Barrett’s length               |                   |                    |                   |         |             |                    |                   |         |         |         |
| C (cm)                         | –                 | –                  | 3.0 (0.4–6.3)     |         | 2.0 (1.0–5.0) | 0.8188            |                   |         |         |         |
| M (cm)                         | –                 | –                  | 5.0 (3.0–7.0)     |         | 4.0 (3.0–7.0) | 0.7306            |                   |         |         |         |
wherein $A =$ value of methylation of gene of interest in each sample; $B =$ value of methylation of the gene of interest in the calibrator; $C =$ level of amplification of $\beta$ actin in each sample; and $D =$ level of amplification of $\beta$ actin in the calibrator.

**Statistical analysis**

To achieve a power of 0.95 (0.05 error) for a large effect size (comparable with area under the curve (AUC) of 0.8), we needed a minimum of 52 samples, and we therefore used all the non-dysplastic and control samples from the BEST2 trial with adequate material for analysis. We used the non-paired Wilcoxon test to compare the methylation level between Barrett’s oesophagus and normal samples.

For demographic statistics, the $\chi^2$ test was used to compare categorical variables, and the Pearson correlation coefficient was calculated between the percentage of gene methylation and demographic factors. The receiver operating characteristic (ROC) analysis was performed using the pROC package to identify sensitivity, specificity and the AUC. The ROC analysis was also used to determine the methylation cut-off for each gene in the discovery cohort to be applied on the validation cohort.

**RESULTS**

**Differential gene methylation in Barrett’s biopsies compared with control tissues**

In order to identify differentially methylated genes in Barrett’s oesophagus compared with normal squamous tissue, we reanalysed a dataset from our laboratory that had originally been generated to assess methylation changes in malignant progression.28 This dataset assessed the methylome of 22 of Barrett’s samples (biopsies), 24 OAC samples and also included two squamous oesophageal biopsies as internal control samples. We found 18 hypermethylated genes in Barrett’s oesophagus compared with normal tissue with a difference in methylation of between 30% and 75% of methylation and with a $p<0.05$ (online supplementary figure 1A). Using unsupervised hierarchical clustering, the methylation level of these candidates clustered all Barrett’s

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**Table 2** ROC analysis results on the validation Cytosponge cohort

| Gene  | Specificity (%) | Sensitivity (%) | AUC (%) | 95% CI       | Threshold (%) |
|-------|-----------------|----------------|---------|--------------|---------------|
| TFPI2 | 96.9            | 78.52          | 87.7    | 84.08 to 91.34 | 0.94          |
| TWIST1| 93.02           | 69.8           | 81.4    | 77.1 to 85.72 | 1.47          |
| ZNF345| 100             | 62.42          | 81.2    | 77.31 to 85.11 | 0.29          |
| ZNF569| 99.22           | 59.06          | 78.7    | 75.11 to 83.18 | 0.03          |

AUC, area under the curve; ROC, receiver operating characteristic.
samples together indicating a potential diagnostic value of these candidates (online supplementary figure 1B). Four of these genes (APC, EYA4, RB1P and SFRP4) have previously been reported to be hypermethylated in Barrett’s oesophagus lending support to our candidate gene list. It is also interesting that some other genes that had been reported to be differentially methylated previously (CDX2, B3GAT2 and vimentin) were found to be more highly methylated in Barrett’s oesophagus compared with normal squamous tissues that did not reach statistical significance (<0.05) and so were not taken forward (online supplementary figure 2).

In order to confirm whether the candidates identified from the methylation array were indeed differentially methylated in Barrett’s oesophagus compared with normal squamous tissues, we first performed MethyLight PCR in an independent biopsy cohort comprising nine confirmed Barrett’s samples and five normal squamous biopsies. Thirteen out of 18 candidates passed primer testing. CCND2, CDKN2B and ZNF625 methylations were not significantly different between Barrett’s oesophagus and squamous tissues. The 10 other genes were significantly hyper-methylated in Barrett’s oesophagus compared with normal squamous tissue (HOXD4, PTRO and RPB1 with p<0.01 and the rest of the genes with p<0.05) (figure 1). Since the Cytosponge collects cells from the gastric cardia as well as from oesophageal tissues, it is important that any biomarker can also discriminate Barrett’s from cardia cells. Therefore, we also assessed the methylation level of these 13 candidates in gastric cardia tissues. This identified four genes, TFP12, TWIST1, ZNF345 and ZNF569, that were hypermethylated in Barrett’s oesophagus but not in either of the control tissues (p<0.01) (figure 1).

**Testing the four-gene methylation panel in a Cytosponge case: control cohort**

To evaluate whether the methylation level of these four genes could act as a diagnostic biomarker for Barrett’s oesophagus using the Cytosponge, we used two cohorts: a pilot cohort (n=10 controls; n=20 Barrett’s oesophagus) and a validation cohort (n=129 controls, n=149 Barrett’s oesophagus) from the Barrett’s Oesophagus Screening trial (BEST2). The BEST2 trial enrolled patients with reflux symptoms and no endoscopic evidence of Barrett’s oesophagus (controls) as well as patients with known Barrett’s oesophagus (cases). The demographic and clinical characteristics were as expected for the controls versus Barrett’s cases, and these characteristics were maintained when randomly assigned to the pilot and validation cohorts (table 1).

In the pilot cohort, all four genes were hypermethylated in the Cytosponge samples from patients diagnosed with Barrett’s oesophagus compared with the controls (figure 2A, p<0.001). We therefore proceeded to a validation cohort and again found that all four genes were significantly hypermethylated in Barrett’s oesophagus samples compared with controls (figure 2B, p<0.001). An ROC analysis was performed in order to estimate the specificity and sensitivity for each marker separately (figure 2C and table 2). ZNF569 had the lowest AUC (0.79) with a specificity and sensitivity of 99.2% and 59.1%, respectively (95% CI 75.1% to 83.2%), whereas TFP12 was the best candidate with an AUC of 0.88. Since specificity is critical for a diagnostic biomarker in a disease of low prevalence in the population, the optimal specificity and sensitivity for TFP12 were 96.9% and 78.5%, respectively (95% CI 84.1% to 91.3%).

**Relationship of methylation levels to clinical variables**

The relationship between the methylation levels of these genes and demographic characteristics in the cases are presented in table 3. We did not observe any correlation with body mass index (BMI) and waist-to-hip ratio. In contrast, there was a significant correlation between methylation levels in the gene signature and patient age (p<0.05, r=0.18). This was significant when considering genes individually for ZNF345 and TFP12, [(p<0.01, r=0.24) and (p<0.01, r=0.18) respectively], but not for TWIST1 nor ZNF569. A significant and stronger correlation was also observed for all genes in relation to the circumferential and maximal lengths of the Barrett’s segment (C & M) (table 3 and figure 3). Moreover, we found that there is a linear relationship between sensitivity of the biomarkers and the length of the Barrett’s segment (r=0.94–0.99) and r=0.99–1.00 for C and M respectively), which are both known risk factors for progression to dysplasia and cancer (online supplementary figure 3).

**DISCUSSION**

In this study, we have demonstrated that 10 genes are hypermethylated in Barrett’s biopsies compared with normal squamous tissue and these included six novel targets as well as confirming some genes previously reported in the literature. Of these, TFP12, TWIST1, ZNF345 and ZNF569 genes were the most differentially methylated in Barrett’s oesophagus compared with
neighbouring normal squamous oesophagus and gastric cardia tissues that are important for application to the Cytosponge, which samples cells from these adjacent sites.

TFPI2 is a tumour suppressor gene that is known to be hypermethylated in many tissue types including the gastrointestinal tract and is one of the top 20 genes for detecting early stage oral squamous cell carcinoma. Its tumour suppressor properties are due to its inhibitory effects on protease activity, thus protecting the tumour cell matrix from degradation and counteracting malignant cell invasion and metastasis. Methylated TFPI2 has been identified as a potential cancer biomarker with particular relevance to the early detection of cancer. For example, in stool samples, it has been shown to distinguish colorectal cancer and colorectal adenomas from healthy individuals, with a sensitivity of 76%–89% and a specificity of 79%–93%. In squamous oesophagus carcinogenesis, methylation of the TFPI2 promoter was shown to occur early at dysplasia stage (33% of dysplasia cases). In our study, TFPI2 was the candidate with the best sensitivity in both the pilot and validation Cytosponge cohorts (85.0% and 78.6%, respectively, AUC 87.7%). This observation is consistent with Alvi’s methylome dataset in Barrett’s biopsies, although the number of samples was smaller (online supplementary figure 1B).

Similarly, TWIST1 is also known to be hypermethylated in various tumour types including bladder and colorectal cancers. We found that although TWIST1 was significantly hypermethylated in Barrett’s samples from both pilot and validation cohorts, the AUC was lower compared with TFPI2 (AUC=81.4% for TWIST1).

ZNF345 and ZNF569 are novel methylation markers that have not previously been reported to be hypermethylated in cancer. ZNF345 is the most specific biomarker with a specificity of 100% in both pilot and validation Cytosponge cohorts. This is especially important when considering a screening test for a disease with a low population prevalence estimated at approximately 3% in patients with reflux symptoms.

It is possible that a combination of the biomarkers would outperform the individual biomarker analysis. However, this would require validation in an independent cohort of patients to avoid overfitting the data.

The demographics of our cohort was highly consistent with the literature in that Barrett’s cases were more likely to be: older, male, with an increased waist:hip ratios and a hiatus hernia. It is well known in the literature that increasing age is associated with changes in gene methylation status; however, there was no significant difference in the age of our methylation signature-positive cases and methylation negative cases, confirming that age is not a confounding factor. Interestingly, cases that were negative for the methylation biomarkers had smaller circumferential (C) and maximal (M) lengths of Barrett’s (p<0.001 for both C and M). This is in keeping with previous studies that have reported a significant correlation between BE segment length and hypermethylation of other markers, such as AKAP12 and CHD13, for example. Furthermore, the sensitivity of TFF3 is also affected.

Figure 3 Correlation of gene methylation status and patient age and Barrett’s segment length. Dotplot showing correlation between the 4 methylation biomarkers (TFPI2, TWIST1, ZNF345, ZNF569) and age, circumferential (C) and maximal (M) Barrett’s lengths. The r- value represents the Pearson correlation coefficient for each variable.
Oesophagus

by the length of the Barrett’s oesophagus in the same cohort such that the overall sensitivity (79.9%) increased to 87.2% for patients with >3 cm circumferential Barrett’s oesophagus. Similarly, the sensitivity of our methylation signature increased from 82.2% to 95% for segments >3 cm (online supplementary figure 3). This finding is clinically relevant as it has been well documented that long segment BE are more likely to progress to OAC.15–17 It is envisaged that risk stratification for malignant potential could be performed using additional biomarkers on the same Cytosponge sample following evaluation for the Barrett’s oesophagus specific biomarker.18

Strengths of this study include the large validation cohort, although it should be noted that this was a retrospective analysis and prospective validation is required. We have shown that TFFP2 was hypermethylated in Barrett’s samples. Although the focus of this study was non-dysplastic Barrett’s oesophagus, it is interesting to note that Kaz et al13 have shown that TFFP2 methylation persists in high-grade dysplasia and adenocarcinoma. Corroborating these findings, using methylation data previously produced from our laboratory,28 we observe that methylation levels in BE and OAC are comparable (online supplementary figure 4). This is important since one would not want to miss dysplasia in a screening setting, and this suggests that methylation of this gene is preserved in oesophageal carcinogenesis.

An ideal screening test should be easy to perform, acceptable to patients and cost-effective. Our studies so far suggest that the Cytosponge fulfils these criteria with an excellent safety profile in over 2000 patients, high acceptability levels and applicability to the primary care setting.14 17 TFF3 is highly specific (92%–94%) with encouraging sensitivity levels (79.9%) especially in longer segments or after a second Cytosponge test (87.2% and 89.7%, respectively).17 Methylation of TFFP2, TWIST1, ZNF345 or ZNF569 has a comparable performance with BEST2, although it should be noted that the assays were performed on a subcohort due to sample availability. MethyLight PCR is an assay that could be semiautomated and implemented in a diagnostic laboratory without paraffin-embedding reliance on a pathological analysis of the slide. It should be noted that any diagnostic biomarker requires testing in the primary care cohort for which it is intended, and further prospective trials are warranted to further test the accuracy and clinical applicability of these methylation biomarkers.

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Contributors RCF conceived and supervised the overall study. RCF, HC and CR-I conceived and designed the experiments. HC analysed the data. OM, NG-D and NB performed the experiments. SM was involved in data collection. ID-B coordinated the clinical study. MO performed the pathology review. HC and RCF wrote the manuscript. All authors approved the final version of the manuscript.

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Competing interests Since this study was conducted, the Cytosponge™, TFF3 technology has been licensed to Covidien GI solutions (now owned by Medtronic) by the Medical Research Council. Rebecca Fitzgerald and Maria O’Donovan are named inventors on patents pertaining to the Cytosponge™. Covidien Solutions and Medtronic have not been privy to this manuscript or the data therein. All other authors declare no conflicts of interest.

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