AN INTER-LAYER WEIGHT PREDICTION AND QUANTIZATION FOR DEEP NEURAL NETWORKS BASED ON A SMOOTHLY VARYING WEIGHT HYPOTHESIS

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ABSTRACT

Network compression for deep neural networks has become an important part of deep learning research, because of increased demand for deep learning models in practical resource-constrained environments. In this paper, we observe that the weights in adjacent convolution layers share strong similarity in shapes and values, i.e., the weights tend to vary smoothly along the layers. We call this phenomenon Smoothly Varying Weight Hypothesis (SVWH). Based on SVWH and an inter-frame prediction method in conventional video coding schemes, we propose a new Inter-Layer Weight Prediction (ILWP) and quantization method which quantize the predicted residuals of the weights. Since the predicted weight residuals tend to follow Laplacian distributions with very low variance, the weight quantization can more effectively be applied, thus producing more zero weights and enhancing weight compression ratio. In addition, we propose a new loss for eliminating non-texture bits, which enabled us to more effectively store only texture bits. That is, the proposed loss regularizes the weights such that the collocated weights between the adjacent two layers have the same values. Our comprehensive experiments show that the proposed method achieved much higher weight compression rate at the same accuracy level compared with the previous quantization-based compression methods in deep neural networks.

1 INTRODUCTION

Deep neural networks have demonstrated great performance for various tasks in many fields, such as image classification (LeCun et al. 1990a; Krizhevsky et al. 2012; He et al. 2016), object detection (Ren et al. 2015; He et al. 2017; Redmon & Farhadi 2018), image captioning (Jia et al., 2015), and speech recognition (Hinton et al. 2012; Xiong et al. 2018). Heavy and deep neural networks achieved great accuracy with the aid of the enormous number of weight parameters and high computational cost (Simonyan & Zisserman 2014; He et al. 2016; Huang et al. 2017). Recently, however, as demands toward constructing the neural networks in the resource-constrained environments have been increasing, making the resource-efficient neural network while maintaining its accuracy performance becomes an important research area of deep neural networks.

Many studies have aimed to solve this problem. In [LeCun et al. (1990b), Hassibi & Stork (1993), Han et al. (2015b) and Li et al. (2016), network pruning methods were proposed for memory-efficient architecture, where unimportant weights were forced to have zero values in terms of accuracy performance. In [Fiesler et al. (1996), Gong et al. (2014) and Han et al. (2015a), weights were quantized and stored in memory, enabling less memory usage of deep neural networks. On the other hand, some literature decomposed convolution operations into sub operations (e.g., depth-wise separable convolution) requiring less computation costs at similar accuracy levels (Howard et al. 2017; Zhang et al. 2018; Sandler et al. 2018; Ma et al. 2018).

In this paper, we found out that the weights between the adjacent two convolution layers tend to share high similarity in shapes and values. We call this phenomenon Smoothly Varying Weight Hypothesis (SVWH). Based on SVWH and an inter-frame prediction method in conventional video coding schemes, we propose a new Inter-Layer Weight Prediction (ILWP) and quantization method which quantize the predicted residuals of the weights. Since the predicted weight residuals tend to follow Laplacian distributions with very low variance, the weight quantization can more effectively be applied, thus producing more zero weights and enhancing weight compression ratio. In addition, we propose a new loss for eliminating non-texture bits, which enabled us to more effectively store only texture bits. That is, the proposed loss regularizes the weights such that the collocated weights between the adjacent two layers have the same values. Our comprehensive experiments show that the proposed method achieved much higher weight compression rate at the same accuracy level compared with the previous quantization-based compression methods in deep neural networks.

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This paper explores an efficient neural network method that fully takes advantage of SVWH.

Specifically, inspired by the prediction techniques widely used in video compression field (Wiegand et al. 2003; Sullivan et al. 2012), we propose a new weight compression scheme based on an inter-layer weight prediction technique used in the conventional video compression frameworks, which can be successfully incorporated into the depth-wise separable convolutional blocks (Howard et al. 2017; Zhang et al. 2018; Sandler et al. 2018; Ma et al. 2018).

Contributions: This paper delivers the following major contributions:

- From comprehensive experiments, we found out that the weights between the adjacent layers tend to share strong similarities, which lead us to establishing SVWH.
- Based on SVWH, we propose a simple and effective Inter-Layer Weight Prediction and quantization framework enabling more compressed neural networks than only applying quantization on the weights of the neural networks.
- To further enhance the effectiveness of the proposed Inter-Layer Weight Prediction, we devise a new regularization function that aims to minimize the difference between collocated weight values in the adjacent layers, resulting in significant bit saving for non-texture bits (i.e., bits for indices of prediction).
- Our comprehensive experiments showed that, the proposed scheme achieved about 53% compression ratio on average in 8-bit quantization at the same accuracy level compared to the traditional quantization method (without prediction) in both MobileNetV1 (Howard et al., 2017) and MobileNetV2 (Sandler et al., 2018) neural networks.

2 RELATED WORK

Network pruning: Network pruning methods prune the unimportant weight parameters, enabling to reduce the redundancy of weight parameters inherent in neural networks. LeCun et al. (1990b) and Hassibi & Stork (1993) reduced the number of weight connections implicitly through setting an proper objective function for training. Han et al. (2015b) successfully removed the unimportant weight connections through certain thresholds for the weight values, showing no harm of accuracy in the state-of-the-art convolutional neural network models. Recently, structured (filter/channel/layer-wise) pruning methods have been proposed in Liu et al. (2017) and Li et al. (2016), where a set weights is pruned based on certain criteria (e.g., the sum of absolute values in the set of weights), demonstrating significantly reduced number of weight parameters and computational costs. This paper is linked to the pruning methods in perspective of inducing more zero weights for weight compression.

Quantization: Quantization reduces the representation bits of original weights in neural networks. Fiesler et al. (1990) proposed a weight quantization using weight discretization in neural networks. Han et al. (2015b) incorporated a vector quantization into the pruning, proving that quantization and pruning can jointly work for weight compression without accuracy degradation. This pruning-quantization framework, i.e., called Deep Compression, became a milestone in model compression research of deep neural networks. Lin et al. (2016) proposed a fixed-point quantization using a linear scale factor for weight values where bit-widths for quantization are adaptively found for each layer, thus enabling 20% reduction of the weight size in memory without any loss in accuracy compared to the baseline fixed-point quantization method.

For 1-bit quantization which is named as Binary Neural Networks, several studies (Courbariaux et al. 2015; Courbariaux et al. 2016; Hubara et al. 2017) binarized the weights and/or activations in the process of back-propagation, enjoying considerably reduced usage of memory space and computation overhead. Compared to the aforementioned quantization techniques, our work applies quantization in the combination of the residuals for the weights in inter layers rather than the weight values themselves. Empirically, we found that the residuals tend to produce much larger portion of zero values when quantized, since they often follow very narrow Laplacian distributions. Therefore, our proposed method can more significantly reduce usage in memory space of neural networks as shown in Section 4.
Prediction in conventional video coding: Prediction technique is considered one of the most crucial parts in video compression, aiming at minimizing the magnitudes of signals to be encoded by subtracting the input signals to the most similar encoded signals in a set of prediction candidates (Wiegand et al. 2003; Rijke 1996; Sullivan et al. 2012). The prediction methods can produce the residuals of signals with low magnitudes as well as a large number of non/near-zero signals. Therefore, they have effectively been incorporated into transforms and quantization for concentrating powers in low frequency regions and reducing the entropy, respectively. There are two prediction techniques: inter- and intra-predictions. The inter-prediction searches the best prediction signals from the encoded neighbor frames out of the current frame, while the intra-prediction generates a set of prediction signals from the input signals and determine the best prediction (Wiegand et al. 2003; Sullivan et al. 2012). This is because intra frames that use only intra-prediction for compression are used as reference frames for subsequent frames to be predicted.

Note that a few studies explored to apply the transform techniques of video and/or image coding to the weight compression problem in neural networks. Wang et al. (2016) and Ko et al. (2017) applied DCT (Discrete Cosine Transform) used in the JPEG (Joint Picture Encoding Group) algorithm to the model compression problem of deep neural networks such that the energy of weight values became concentrated in low frequency regions, thus producing more non/near-zero DCT coefficients for the weights. Compared to the aforementioned papers, our work does not adopt transform techniques to reduce model sizes, because the transforms introduce much computation in inference, decreasing the effectiveness of the weight compression in practical applications.

In this paper, we found out that the inter-prediction technique can play a crucial role for weight compression under the SVWH condition (i.e., the weights between adjacent layers tend to be similar). The proposed regularization function, denoted as inter-layer loss, reinforces SVWH for reducing the non-texture bits in the training process. As a result, the proposed inter-prediction and quantization framework for weight compression yields impressive compression performance enhancement at the similar accuracy level compared to the baseline models.

3 Method

3.1 Basic Inter-Layer Weight Prediction

Figure 1: Our Inter-Layer Weight Prediction scheme consists of (1) finding the most similar kernel $K_{u,v}$ at all previous layer ($u < i$), using $L_1$ distance; (2) calculating the residuals between two kernels; (3) saving the residuals with the index of the reference kernel, i.e., the best prediction, into memory space.

Figure 1 shows an simple example of the proposed Inter-Layer Weight Prediction (ILWP) method with a full search strategy. The full search strategy indicates that, for the $j$-th weight in the kernel of the $i$-th convolution layer ($K_{i,j}$), it searches the most similar weight kernel (i.e., $K_{u,v}$) in Figure
in the range of $[1, i - 1]$-th layers given a pre-trained neural network model. We then compute the residuals ($R_{i,j}$) between the current weight and the best prediction, which is finally quantized in a certain bit representation and stored in memory space with the index of the best prediction (i.e., $(u, v)$ in Figure 1).

The proposed ILWP is performed from the second layer to the last layer in a sequential manner. It is noted that, because of the large portion of non-texture bits (indices of the best prediction), ILWP with the full search strategy tends to produce more bits (both texture (residual) and non-texture bits) than the standard weight values without ILWP, which makes ILWP worthless in compressing the weights. In the next subsection, we describe how SVWH solves this problem effectively.

3.2 Smoothly Varying Weight Hypothesis

Figure 2: Heatmaps that show the percentage in the number of best predictions with respect to minimizing $L_1$ distance between the source layer ($x$-axis) and the target layer ($y$-axis) in the pre-trained MobileNet and MobileNetV2. (a) MobileNet trained on CIFAR-10; (b) MobileNet trained on CIFAR-100; (c) MobileNetV2 trained on CIFAR-10; (d) MobileNetV2 trained on CIFAR-100.

Figure 2 shows the heatmaps for the percentage in the number of the best predictions with respect to minimizing $L_1$ distance between the source layer ($x$-axis) and the target layer ($y$-axis) in the pre-trained neural networks i.e., MobileNet, MobileNetV2 in the first and second columns, respectively. As shown in Figure 2-(a), -(b), -(c) and -(d), the dominant portions of the best predictions in the current ($i$-th layer) layer are obtained from its previous ($i - 1$-th layer) layers, being consistently observed in all the layers of the neural networks.

From these observations, we claim that the adjacent layers tend to consists of the similar weight values, leading us to proposing Smoothly Varying Weight Hypothesis (SVWH). The proposed SVWH can be mathematically expressed as

$$\Pr[L(K_{i,j}, K_{i-1,v}) > L(K_{i,j}, K_{u,v})] > \Pr[L(K_{i,j}, K_{i-1,v}) \leq L(K_{i,j}, K_{u,v})],$$

where $\Pr[\cdot]$ is a probability, $L(\cdot, \cdot)$ is a distance between two kernels, and $(u, v)$ are the indices of the layer and kernel, where $1 \leq u < i - 1$ (See Figure 1).
Inspired by SVWH, we propose an enhanced version of ILWP i.e., ILWP with a local search strategy that finds the best prediction only from the previous layer. This local search strategy can effectively reduce the non-texture bits, because the non-texture bits for the layer index are not required. Moreover, this local search strategy allows the network to keep the weights only in the previous one layer in inference, thus reducing the memory buffer for keeping the weights. Our experimental results in Section 4 showed that, the local search strategy enhances the compression performance compared to the full search strategy in the ILWP framework of deep neural networks. In this paper, to further increase the effectiveness of the proposed ILWP, we devise a new regularization loss, namely inter-layer loss, by which non-texture bits are not needed to be stored anymore.

3.3 **Inter-layer Loss**

To further exploit SVWH, We propose a new inter-layer loss which makes the collocated filter weights between the adjacent layers have almost the same values. We found out that our ILWP can more effectively be applied to the depth-wise convolution \((3 \times 3)\) spatial convolution layer in the depth-wise separable convolution block [Howard et al. 2017], compared to the traditional 3D convolutions \((3 \times 3 \times C)\) convolution). This is because high dimensionality of the weights in the traditional 3D convolution filter tends to hinder finding out the best prediction sharing strong similarity. This can introduce a longer tail and wider shape of the Laplacian distribution for the residuals of the weights, thus decreasing the compression efficiency. Moreover, it is not possible to predict the weight kernels having different channel dimensions from the current weight kernel. This can limit the usability of the proposed ILWP.

On the other hand, the depth-wise convolution consists only of nine elements, and all the depth-wise convolutions have canonical kernel shapes with \(3 \times 3\) size in whole networks. Also, since the point-wise convolution \((1 \times 1\) convolution) learns channel correlations for the output features of the depth-wise convolution layer, forcing the collocated weights to be similar does hardly affect the accuracy performance of neural networks. These characteristics of depth-wise convolution enhance the usability of the proposed ILWP in the weight compression of neural networks.

Moreover, it becomes more popular to use depth-wise separable convolutions in the recent neural network architectures, due to its high efficiency [Kaiser et al. 2017; Sandler et al. 2018; Zhang et al. 2018; Ma et al. 2018]. Therefore, we apply the proposed method into the spatial convolution in the depth-wise separable convolution block. Our proposed inter-layer loss can dramatically eliminate the non-texture bits and is defined as follows:

\[
L_{inter-layer} = \frac{1}{Z} \sum_{i=2}^{N} \sum_{j=0}^{c_i-1} |K_{i,j} - K_{i-1,v}|, \tag{2}
\]

where \(Z\) is the number of weights to be predicted, and \(N\) is the number of depth-wise convolution layers in a whole neural network. In Eq. (2), \(v\) is the index in the previous layer which is equal to \(j \mod c_{i-1}\) in the inter-layer loss. The proposed loss function in Eq. (2) not only regularizes the weights but also allows us to eliminate all the non-texture bits for indices of the best predictions, since the network can always predict the weight values of the current layer from the collocated weights in its previous layer. For training the neural networks with our proposed loss, our total loss is formulated as follow:

\[
L_{total} = L_{cls} + \lambda L_{inter-layer}, \tag{3}
\]

where \(L_{cls}\) is the conventional classification loss using the cross-entropy loss [He et al. 2016]. \(\lambda\) in Eq. (3) is the control parameter to the proposed regularizer and is set to 1 over all experiments. From our comprehensive experiments, we found that setting \(\lambda\) in Eq. (3) to 1 is suitable to match the trade-off between the performance of neural networks and the parameter size of neural networks (See Figure 4 in Section 4.1).

Through our new inter-layer loss, SVWH is explicitly controlled in a more elaborate manner as shown in Figure 3. Figure 3 shows the Heatmaps for the percentage in the number of best predictions with respect to minimizing \(L_1\) distance between the source layer (x-axis) and the target
layer (y-axis) in MobileNet and MobileNetV2 trained with the proposed inter-layer loss. Finally, the reconstruction of the current weight kernel is performed as follow:

$$\hat{K}_{i,j} = \tilde{R}_{i,j} + \hat{K}_{i-1,v},$$  \hspace{1cm} (4)

where $\tilde{R}_{i,j}$ is the quantized residuals at the $i$-th layer and $j$-th filter position. $\hat{K}$ in Eq. (3) is the final reconstruction of $K$. Note that, to reconstruct the current weights, the weights only in the previous layer (i.e., $\hat{K}_{i-1,v}$ in Eq. (4)) are required. The residuals of the weights are quantized through a linear quantization technique, and then saved using Huffman coding for the purpose of efficient model capacity (Han et al., 2015b). It is noticed that, thanks to the prediction scheme, our method usually remains more high-valued non-zero weights than the traditional quantization methods. Since high weight values importantly contribute to the prediction performance (Han et al., 2015b), our method can retain both high accuracy and weight compression performance.

![Figure 3: Heatmaps that show the percentage in the number of best predictions with respect to minimizing $L_1$ distance between the source layer (x-axis) and the target layer (y-axis) in MobileNet and MobileNetV2 trained with the proposed inter-layer loss. (a) MobileNet trained on CIFAR-10; (b) MobileNet trained on CIFAR-100; (c) MobileNetV2 trained on CIFAR-10; (d) MobileNetV2 trained on CIFAR-100.](image)

Note that the weight kernels in the first layer are not quantized since it is as important as Intra-frames (i.e., reference frames) in a group of pictures of the video coding scheme (Wiegand et al., 2003). If the weight kernels in the first layer are quantized, the weight kernels in subsequent layers which are predicted based on the weight kernels in the first layer are negatively affected, leading to accuracy drop in neural networks.

4 EXPERIMENTS

In this section, we evaluate our proposed method on image classification tasks, specifically for CIFAR-10 and CIFAR-100 datasets. For securing generality of our proposed IWLP, we applied our method in two convolutional neural networks, MobileNet and MobileNetV2. Environmental
settings for the experiments are that we use four Nvidia 2080-Ti Graphic Processing Unit (GPU) with the Intel i9-7900X CPU. For the hyper-parameter setting in the training process, we set the initial learning rate as 0.1, which is multiplied by 0.94 per 2 epochs. We used Stochastic Gradient Descent (SGD) optimizer with Nesterov momentum \cite{Sutskever2013} factor 0.9. We set the batch size to 256 and trained all the neural networks for 200 epochs. In the following experiments, every network is trained for 6 times and the average validation accuracy over 6 times is recorded in all the following figures and table.

4.1 Optimal $\lambda$ Selection

First, we found the optimal $\lambda$ in Eq. (3) that is suitable to match the trade-off between the accuracy performance and the parameter size of neural networks. In Figure 4-(a) shows the parameter sizes in MobileNet trained on the CIFAR-10 dataset for different $\lambda$ in Eq. (3) after quantization and Huffman coded. We can see that the case of $\lambda = 1$ has the smallest parameter size in neural networks, and slightly lower top-1 test accuracy in the CIFAR-10 dataset. So, we set the $\lambda$ in Eq. (3) to 1.

4.2 Experimental Results

Figure 5 shows the parameter size of our proposed methods for different quantization bits in \{2, 3, 4, ..., 8\} on CIFAR-10 and CIFAR-100 datasets. In Figure 5: (a) ILWP with the full search strategy (ILWP-FSS); (b) ILWP with the local search strategy (ILWP-LSS); (c) ILWP trained with inter-layer loss and without searching (ILWP-ILL) described in Section 3.3. It shows our proposed ILWP-ILL yields higher compression ratio in the weight parameters. On the other hand, ILWP-FSS and ILWP-LSS have larger parameter sizes than the baseline. This is because both ILWP-FSS and ILWP-LSS contain non-texture bits (bits for indices of the best predictions), resulting in bit overheads.

For more analysis on the texture and non-texture bits in the proposed ILWP, Table 1 shows the performance comparison of our proposed methods in terms of the parameter sizes for the depthwise convolution kernels and top-1 test accuracy. Due to the inter-layer prediction scheme, all the proposed methods show less amount of texture bits compared to the baseline. However, the total amounts of bits of ILWP-FSS and ILWP-LSS are higher than the baseline model, which is due to the presence of the non-texture bits ($u$ and $v$ in Figure 1). However, ILWP-ILL demonstrated much reduced amount of total bits compared to the baseline, which is due to the property that this method does not require saving any indices of reference kernels while maintaining good accuracy under the SVWH condition.

Figure 6 shows the results of our proposed methods on CIFAR-10 and CIFAR-100 datasets for the parameter size in kilobytes (KB) and test accuracy. As shown in Figure 6, the ILWP-ILL outperforms the other compared ones in terms of the trade-off between the amounts of compressed bits.
Figure 5: Comparison of Parameter size in kilobytes (KB) for different quantization bits in \{2, 3, 4, ..., 8\} on CIFAR-10 and CIFAR-100 datasets. Top: MobileNet, Bottom: MobileNetV2; (a) ILWP with the full search strategy (ILWP-FSS); (b) ILWP with the local search strategy (ILWP-LSS); (c) ILWP trained with inter-layer loss and without searching (ILWP-ILL) described in Section 3.3.

| Method   | texture bits | non-texture bits | total bits | Test accuracy |
|----------|--------------|------------------|------------|---------------|
| Baseline | 70.192       | -                | 70.192     | 92.125        |
| ILWP-FSS | 67.716       | 11.177           | 78.893     | 92.225        |
| ILWP-LSS | 67.415       | 8.540            | 75.955     | 92.235        |
| ILWP-ILL | **33.373**   | -                | **33.373** | 91.48         |

Table 1: Parameter size (texture bits, non-texture bits, total bits) in kilobytes (KB) and top-1 test accuracy of depth-wise convolution kernels after 8 bit quantization and Huffman coding, in MobileNet trained on CIFAR-10. We compared the performance of the three variations of ILWP: ILWP-FSS, ILWP-LSS, and ILWP-ILL.

and accuracy. This is because ILWP-ILL significantly saves the weight bits by eliminating the non-texture bits as well as increasing the effectiveness of the quantization process as the residuals tend to follow much narrower Laplacian distributions than the original weight values.

Compared to ILWP-FSS, ILWP-LSS shows very slight improvement in trade-off between the size of parameters and accuracy as ILWP-LSS does not store the layer index of the best prediction (\(u\) in Figure 1). This is due to the fact that the portion of the bits for the layer indices is much smaller than the portion of the bits for the kernel indices. Compared to the baseline models, it is worth noting that both ILWP-FSS and ILWP-LSS have often worse compression efficiency compared to
Figure 6: Performance results of our proposed methods on CIFAR-10 and CIFAR-100 datasets for the parameter size in kilobytes (KB) and test accuracy. Top: MobileNet, Bottom: MobileNetV2. x-axis: the total size of the quantized and Huffman coded depth-wise convolutional kernels in storage; y-axis: Top-1 test accuracy. (a) ILWP with the full search strategy (ILWP-FSS); (b) ILWP with the local search strategy (ILWP-LSS); (c) ILWP trained with inter-layer loss and without searching (ILWP-ILL) described in Section 3.3. In all the figures, each dot represents the average test accuracy over 6 training times in each quantization bit in \{2, 3, 4, ..., 8\}.

the baseline. This is because they introduce non-texture bits consisting of a large portion in the total bits for weight parameters. Therefore, it can be concluded that our foundation of SVWH and the proposed inter-layer loss allow the network to make fully use of advantages in the inter-layer prediction scheme of the conventional video coding frameworks.

5 ANALYSIS

Figure 7 compares the distributions for the weights and residuals in all the depth-wise convolution kernels in MobileNet trained on CIFAR-100. In Figure 7 (a), (b), and (c) visualize the distribution of the weight kernels in baseline model, the distribution of residuals in ILWP-FSS and the distribution of the residuals in ILWP-ILL, respectively. As shown in Figure 7, ILWP-FSS produces a much narrower and single modal Laplacian distribution compared to the baseline. This nice property contributes to high compressed capacity for storing the weights in neural networks when these residuals are quantized and Huffman coded. However, this method requires saving the additional indices, leading to consuming more of bit amounts.

In Figure 7 (c), it is observed that for ILWP-ILL, the residuals are located in near-zero positions (about 46% of the weights), following a very sharp Laplacian distribution. This indicates that
Figure 7: Comparison of the distributions for the weights and residuals in all the depth-wise convolution kernels in MobileNet trained on CIFAR-100. (a) Distribution of the weight kernels in baseline model; (b) Distribution of residuals in ILWP-FSS; (c) Distribution of the residuals in ILWP-ILL.

ILWP-ILL with quantization allows neural networks to archive remarkable weight compression performance by generating a large amount of zero coefficients after quantization.

6 CONCLUSION

We propose a new inter-layer weight prediction with inter-layer loss for efficient deep neural networks. Motivated by our observation that the weights in the adjacent layers tend to vary smoothly, we successfully build a new weight compression framework combining the inter-layer weight prediction scheme, the inter-layer loss, quantization and Huffman coding under SVWH condition. Intuitively, our prediction scheme significantly decreases the entropy of the weights by making them much narrower Laplacian distributions, thus leading remarkable compression ratio of the weight parameters in neural networks. Also, the proposed inter-layer loss effectively eliminates the non-texture bits for the best predictions. To the best of our knowledge, this work is the fist to report the phenomenon of the weight similarities between the neighbor layers and to build a prediction-based weight compression scheme in modern deep neural network architectures.

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