ABSTRACT

Objective: Formation of clindamycin hydrochloride (clindamycin HCl) in monohydrate-ethanolate from the recrystallization process with ethanol–water (5:2) has been reported a long time ago. However, the effect of ethanol-water compositions into pseudo-polymorphism formation and its stability was not reported yet. This study aimed to investigate the effect of ethanol-water proportion on the formation of clindamycin HCl-monohydrate and its ethanol solvate.

Methods: Clindamycin HCl was recrystallized with the various percentages of ethanol. The fresh and after storage for 24 h at humidity and room temperature (25±2 °C, RH: 70±1%) crystals were characterized by FTIR (Fourier transform infra-red), PXRD (powder x-ray diffractometer), and DTA (differential scanning calorimeter). The study of desolvation/dehydration then was observed with a polarization microscopy-plate heater.

Results: The results showed that monohydrate crystal was obtained from recrystallization in a concentration less than 50% ethanol in water. Next, the ethanolate was produced from the solvent of>70% ethanol. Meanwhile, the 50–70% ethanol produced a hydrate–ethanolate, crystal, which has both hydrate and ethanol in its lattice. This hydrate-ethanolates was unstable, even in ambient temperature.

Conclusion: Concentration of ethanol in water as the solvent will determine the clindamycin HCl pseudo polymorphism, which will back to its original crystal form by the time of storage.

Keywords: Clindamycin HCl, Hydrate, Ethanolate, Stability

INTRODUCTION

Solid active pharmaceutical compounds, including clindamycin HCl, can arrange a pseudo-polymorphism, which is defined as the crystal involving water or other organic solvents in its lattice structure. If the solvent is water, the product is called a hydrate. Meanwhile if with an organic solvent, it is namely solvated. Then both are classified as solvato morph [1, 2]. Tablet manufacturing, which usually involves solvent, drying and compression (mechanical energy) is likely affect the formation or loss of solvate [3]. Likewise, suspension dosage can affect the formation of hydrates. Solvate entrapment can cause changes in the physicochemical properties such as physical stability, compatibility, compressibility, flow rate, solubility, dissolution testing, and bioavailability of solid active pharmaceutical compounds [4, 5].

Clindamycin HCl is a lincomycin class antibiotic that works bacteriostatic, specifically against a wide range of gram-positive aerobic and anaerobic bacteria. Clindamycin is a lincosamide antibiotic that inhibits bacterial protein synthesis and is used for the treatment of anaerobic, streptococcal, and staphylococcal infections. The use of clindamycin is increasing in clinical practice due to its tolerability, efficacy and excellent tissue penetration. Various studies have shown the association between clindamycin and skin related problems. Clindamycin HCl is a white or nearly white crystalline powder, which is freely soluble in water and in ethanol. Clindamycin HCl can form hydrates and hydrate-solvates [6–8].

The state affects the activity of an antibiotic. Therefore, solids preparations, including hydrates and solvates have to be characterized, as well as their transformation and physical stability, as essential information for pre-formulation of ingredient-related activities. The three-dimensional structure of clindamycin-hydrochloride-hydrate and its hydrate-ethanolate have been reported by Ravikumar and Sridhar [9] (fig. 1).

Fig. 1: Chemical structure of (A) clindamycin HCl; three-dimensional structure of (B) clindamycin HCl monohydrate; (C) clindamycin HCl monohydrate-ethanolate.
Solid characterization widely studied to find more information, to support the dosage form formulation. As known, pseudo-polymorphism will affect on drug dissolution and moreover, on bioavailability. This study requires a valid analysis used the instruments, such as: FTIR, DSC/DTA, PXRD, microscope (polarization, scanning electron), etc. Fortunately, some researchers have been reported the successful experiments on solid characterization. Furthermore, some methods of quantification of the amount of crystal changes/transformation also have been developed [1, 2, 9–16]. Nowadays, clindamycin HCl can be found at the market in tablet and liquid suspension. As a substance that has the possibility to change its crystal form, especially pseudo-polymorphism, the study of effect solvent should be done. The characterization and detection of clindamycin HCl pseudo-polymorphism have been reported [9, 10]. However, so far, the effect of ethanol/water composition on clindamycin HCl-crystallize and ethanolate formation still has not explained details yet. This stability also has not been investigated as to niclosamide solvate suspension [12]. This research aimed to explain details yet. This stability also has not been investigated as to niclosamide solvate suspension [12]. This research aimed to explain details yet. This stability also has not been investigated as to niclosamide solvate suspension [12].

Materials and Methods

Materials

Materials used in this experiment were: clindamycin HCl (batch no. P-003-ws12021501 from pharmaceutical industry PT Prydam, Indonesia), varied percentages of ethanol (Merck, Germany) in water (40, 50, 60, 70, 80, 95%), KBr crystal (Merck, product No. 1049070500, Germany).

Instruments

Electronic scales milligrams (Mettler M3), FTIR (Jasco-4200 type A, Japan), PXRD (Philips-PW 18 350 Xray Diffraction), DTA (TG8120, Seiko, Japan), polarization microscope (Olympus BX 50), magnetic stirrer (Thermolyne, USA), and other glassware used in the laboratory.

Methods

Clindamycin HCl was dissolved in ethanol with various percentages (95%, 80%, 70%, 60%, 50%, and 40% in water) by magnetic stirring for 60 min at 40 °C. Time by time these solutions were added with the solid until saturation. Then the solution is filtered and allowed to crystallize in the fume hood at room temperature. Crystals formed were investigated in their fresh states. Afterward after storage for 24 h at room temperature (25±2 °C, RH: 70±1%). These were characterized by a polarization microscopy to observe the habit and particle size. The next was characterization using FTIR, PXRD, and DTA. The last was an observation of the desolvation/dehydration process with the polarized microscopy-plate heater.

Characterization with DTA

Approximately 5–10 mg samples were kept in a special aluminum cup for the preparation of the DTA. Subsequently, the sample was heated under a stream of nitrogen gas with a heating rate 10 °C/min, from 30 to 350 °C.

Identification and characterization with FTIR

Samples in powder form mixed crystals of potassium bromide that had previously been put into an oven at 100 °C with a weight ratio of 1:100, then were crushed until homogeneous with an agate mortar. Next, the dispersion was loaded into the mold of stainless steel discs measuring with the dimension±13 mm, afterward compressed at a pressure of±7.5 x 10-3 mm Hg using hydraulic presser. Finally, the disc was mounted on the holder spectra measured at wave number 4000 to 400 cm-1 using FTIR spectroscopy Jasco-4200 type A (Japan).

Characterization by PXRD

Analysis using PXRD done by a number of 200 mg samples, which was prepared at the sample plate. The plate then tested by diffractometer with type: PW 1710 BASED; tube anode: Cu; voltage 40 kV, current of 30 mA, 0.2 inches wide split. Data were collected at a scan speed of 0.8 seconds per step, with scanning distances at 2θ = 5 to 45 °.

Observation with polarization microscope and Koefer’s hot stage

A small amount of fresh and after storage crystals each was put on the objective glasses, then covered by cover glasses. Next, each sample preparation was put on Koefer’s plate and heated. The detail of transformation was observed time by time thoroughly.

Results and Discussion

FTIR analysis

Firstly, FTIR analysis was conducted to screen the changes of infrared spectra of the crystal compounds. The observation was focused especially to the hydrate or solvate included ethanolate area, which will be found on the wave number around±3500 cm-1 [10-12]. The presence of clindamycin HCl’s water is indicated by a characteristic OH stretching bands in the in wave number 3400–3490 cm-1, meanwhile the OH of ethanolate found at about (3500–3570) cm-1 [10]. Fig. 2 shows all the spectra yielded by FTIR measurement. Fig. 2A-a shows FTIR spectra of crystal, which yielded from 95% ethanol. Meanwhile, fig. A-b shows the spectra after storage. Fig. 1B-a shows FTIR data, which is indicated that the crystals from ethanol above 70% formed hydrate-ethanolate. This was seen by the existence of a single hydrate and ethanolate peak. Next, the crystal from 50 % ethanol showed only one peak (fig. 2C-a). Furthermore, in fig. 1B-b, it is shown that this band(s) was lost after storage for 24 h at room temperature (25±2 °C, RH: 70±1%). Spectra FTIR of clindamycin HCl which were recrystallized lost its hydrate and ethanolate spectrums after storage for 24 h (fig. 2A-C, part b).

A. Spectrum of clindamycin HCl from ethanol 95%: (a) fresh; (b) after 24 h of storage
B. Spectrum of clindamycin HCl from ethanol 70 %: (a) fresh; (b) after 24 h of storage

C. Spectrum of clindamycin HCl from ethanol 50 %: (a) fresh; (b) after 24 h of storage

Moreover, to make it clearer, the hydrate and ethanolate spectrums observed were listed in table 1 below:

| Ethanol percentage of solvent for recrystallize | Sample | –OH solvate stretching at wave number (cm\(^{-1}\)) | OH hydrate stretching at wave number (cm\(^{-1}\)) | Interpretation |
|-----------------------------------------------|--------|---------------------------------|---------------------------------|----------------|
| 95%                                           | A      | 3563.81                         | 3451.96                         | ethanolate and hydrate lost after storage |
|                                               | B      | -                               | -                               | ethanolate lost, hydrate still remained |
| 80%                                           | A      | 3540.67                         | 3432.67                         | ethanolate lost, hydrate still remained |
|                                               | B      | -                               | -                               | ethanolate and hydrate lost after storage |
| 70%                                           | A      | 3517.52                         | 3409.53                         | both hydrate and ethanolate lost after storage |
|                                               | B      | -                               | -                               | both hydrate and ethanolate lost after storage |
| 60%                                           | A      | 3544.52                         | 3471.24                         | no ethanolate, hydrate still remained after storage |
|                                               | B      | -                               | -                               | no ethanolate, hydrate lost after storage |
| 50%                                           | A      | -                               | 3471.24                         | no ethanolate, hydrate still remained after storage |
|                                               | B      | -                               | 3486.67                         | no ethanolate, hydrate lost after storage |
| 40%                                           | A      | -                               | 3478.98                         | no ethanolate, hydrate lost after storage |
|                                               | B      | -                               | 3440.39                         | no ethanolate, hydrate lost after storage |

Note: A: the sample before storage, B: the sample after storage in the humidity and room temperature (25±2 °C, RH: 70±1%).

After FTIR experiment, PXRD was used to observe the crystal changes. The diffractogram pattern will distinguish the crystal form produced from the series of recrystallization by ethanol/water. This analysis yielded the results as shown in fig. 3–5. Fig. 3 explains the change of diffractogram: fresh crystal from 95% ethanol/water, after it was stored, then both were compared to its origine crystal, as follows:

**PXRD analysis**

Next, crystallization was done with 70% ethanol/water, yielded diffractogram in fig. 4. This fig. compares the fresh crystal diffractogram with after storage and its origine.

The diffractograms in fig. 5 show the crystal yielded from 50% ethanol/water, the fresh and after storage, compare to its origine clindamycin HCl.
DTA analysis

Differential thermal calorimetry is the analysis to know the internal energy of three-dimensional lattice crystal of the compound. A crystal should have a specific energy which determines its fixed form. As known, all of the transformation need the change of energy. DTA was performed to analyze crystals produced from recrystallization with 40, 50, 60, 70, 80, and 95% ethanol/water. The thermograms, curves yielded from this analysis, are shown in Fig. 6.

Afterward, DTA analysis also conducted on the crystals which had been stored at the ambient temperatures along 24 h. The results were shown in Fig. 7 as follows:

Dehydration and desolvation observation by polarization microscope

Polarization microscope which completed with a heater plate (Koffler's hot stage) can show the dehydration and desolvation process. The release of water or ethanol from the crystals will be shown with the droplet around the heated substance. These processes were illustrated in Fig. 8 below.

FTIR measurements of the crystals were performed as the first step of hydrate or ethanolate formation. Differ from surface water and solvent, which doesn’t have the specific vibration peak, a
hydrate/solvate should show a clear spectrum \[10-12\]. Fresh clindamycin HCl crystal from ethanol 70, 80, and 95% showed infrared peaks at 3517-3563 cm\(^{-1}\) (fig. 2, table 1). It has been reported that ethanolate can be identified by a band at approximately 3500 cm\(^{-1}\), meanwhile the hydrate will show a peak at 3400 to 3490 cm\(^{-1}\) \[11\]. This indicated that the ethanol solvate crystals were formed in \(\geq 70\%\) ethanol in water. Nevertheless, this band was lost after 24 h (table 1).

In addition to the solvate peak around 3500 cm\(^{-1}\), a second peak is seen around 3400 cm\(^{-1}\). The recrystallized crystal from 40 and 50% ethanol showed only one peak. The presence of clindamycin HCl’s crystal or hydrate water is indicated by a characteristic OH stretching bands in the in the wave number of 3400-3490 cm\(^{-1}\). Meanwhile, the OH of ethanolate was found at about 3500–3570 cm\(^{-1}\). This data was confirmed with Beckstead (1993) report \[10\]. With a single exception, this band(s) was also lost after storage for 24 h at room temperature (25±2 °C, RH: 70±1%) as shown in table 1.

The crystals were stored for 24 h, afterward were re-analyzed using FTIR measurement. The results showed that there were not solvate spectrums anymore at wave number 3500-3570 cm\(^{-1}\) region. Table 1 explains that the spectrum of fresh recrystallized clindamycin HCl (A) showed the difference compared to the storage crystal (B). This data indicated that along the storage, the releasing of ethanol/water molecules from the pseudo-polymorphism occurred. It predicted because of the low of energy interaction, which based by a small hydrogen bonding.

All the FTIR data indicated that the crystals from recrystallization with the percentage of ethanol above 70% had formed hydrate-ethanolate. There were seen the existence of a single hydrate and solvate peak (table 1). Then, percentage ethanol of less than 50% will form a hydrate, whereas 60% ethanol produced a mixture of hydrates and solvate-hydrates. This conclusion was based on the presence of single solvate peak and two hydrate peaks. Additionally, hydrate and hydrate-ethanolate lost its water and ethanol after stored for 24 h, which was indicated by the disappearance of the hydrate and solvate spectra in the spectra.

For further analysis, it was used three kinds of samples: recrystallized with 50%, 70%, and 95% ethanol. Recrystallized 70% and 95% was proven have arranged a hydrate–ethanolate, while recrystallized 50% produced the hydrate form. The further characterization was done by PXRD. This work was conducted to investigate the crystal structure changes during storage for 24 h. The recrystallized from 95% ethanol (fig. 3-top) showed loss of solvate after the storage (fig. 3-middle), explained by the change from the pattern, which back to the original diffractogram, similar pattern with its raw material (fig. 3-bottom), especially at the important area at 2\(\theta\) = 5–25 °.

Differential thermal analysis was used to observe the thermic character of clindamycin HCl, which can represent its crystal lattice energy before and after storage. Change of crystal structure will be detected by the change of its pattern resulted. This measurement was done to the crystal yielded from 40–95% ethanol to confirm the types of pseudo polymorphism. Fig. 5 A-G show thermogram of fresh clindamycin HCl crystal from the series concentration of ethanol: 40% (A), 50% (B), 60% (C), 70% (D), 80% (E), 95% (F), compared to the standard (G). This fig. explain the existence of two new curves at a temperature of 60–100 °C. This point of curves indicated as solvates and crystalline water released temperatures, however, the hydrate solvate and hydrate peaks stacked because of the release concurrently. From thermogram data, it has shown that all of the crystal arranged of pseudo polymorphism.

Furthermore, the diffractogram after storage along 24 h was shown in fig. 7. There was the peak, which marks the solvate hydrate has been lost. At the thermogram of fresh clindamycin HCl from ethanol, 50% is
shown an endothermic curve at temperature 105–125 °C (fig. 7A-a). This curve is indicated the hydrate. Meanwhile in the thermogram of crystal after storage for 24 h, there is no endothermic curve that marks the hydrate is no longer there (fig. 7A-b). It showed that the clindamycin HCl from ethanol 50% experienced dehydration after storage for 24 h at room temperature.

Thermograms in fig. 7B indicated there are not the hydrate and solvate from ethanol 70%, both the fresh (7B-a) and after the storage (7B-b). The losing of solvate or hydrate curves indicates the instability of both ethanolate and hydrate form of clindamycin HCl. The unstable pseudo polymorphism phenomenon has also been reported by Villiers and Mahlatji (2004). The research explains the physical instabilities of niososamide solvates, which are formed at different carrier suspension [12].

The next analysis was performed to see the hydrate/solvate release from clindamycin recrystallized by 70% ethanol using a polarizing microscope with a heating plate. By heating under a polarized microscope, the loss of solvate/hydrate shown by the bubbles released from clindamycin HCl crystal. The result showed, clindamycin HCl from ethanol 70% had dehydration and desolvation starting from a temperature of 60–100 °C. It was signed with the release of solvent bubbles from the crystal surface (fig. 8A). Similarly, it happened to clindamycin HCl-ethanol 50%, which showed the dehydration was starting from a temperature of 80 °C to 100 °C in fig. 8B.

In general, the pseudo polymorphism phenomenon is the common cases for some drug substances, which will affect on drug dissolution, moreover, will influence the bioavailability. This phenomenon also can occur to clindamycin HCl. Therefore, a good pre-formulary study should be conducted accurately in purposes to improve the best dosage formulation. From the crystal characterization study, it can be reached the planning for the optimal manufacturing process. This must be conducted to support all kinds of dosage form manufacturing since the FTIR, DTA, PXRD, and polarization microscope. Percentage of released from clindamycin HCl crystal. The result showed, microscopic, the loss of solvate/hydrate shown by the bubbles released from clindamycin HCl crystal. The result showed, clindamycin HCl from ethanol 70% had dehydration and desolvation starting from a temperature of 60–100 °C. It was signed with the release of solvent bubbles from the crystal surface (fig. 8A). Similarly, it happened to clindamycin HCl-ethanol 50%, which showed the dehydration was starting from a temperature of 80 °C to 100 °C in fig. 8B.

CONCLUSION

Clindamycin HCl forms pseudo-polymorphism after its recrystallized in the mixtures of ethanol-water, which can be characterized with FTIR, DTA, PXRD, and polarization microscopy. Percentage of ethanol in water will determine the kind of pseudo-polymorphism. The proportion of >70% ethanol in water will form a monohydrate-ethanolate, meanwhile less than 50% of ethanol will produce only a hydrate, then between 50–70% of ethanol will compose the hydrate-ethanolate. However, these pseudo polymorphs of clindamycin HCl were unstable after storage at 24 h in the ambient temperature (25±2 °C, RH: 70±1 %).

CONFLICT OF INTERESTS

Declared none

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