Two-Stage Movie Script Summarization: An Efficient Method For Low-Resource Long Document Summarization

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Abstract

The Creative Summarization Shared Task at COLING 2022 aspires to generate summaries given long-form texts from creative writing. This paper presents the system architecture and the results of our participation in the Scriptbase track that focuses on generating movie plots given movie scripts. The core innovation in our model employs a two-stage hierarchical architecture for movie script summarization. In the first stage, a heuristic extraction method is applied to extract actions and essential dialogues, which reduces the average length of input movie scripts by 66% from about 24K to 8K tokens. In the second stage, a state-of-the-art encoder-decoder model, Longformer-Encoder-Decoder (LED), is trained with effective fine-tuning methods, BitFit and NoisyTune. Evaluations on the unseen test set indicate that our system outperforms both zero-shot LED baselines as well as other participants on various automatic metrics and ranks 1st in the Scriptbase track1.

1 Introduction

The goal of the Creative Summarization Shared Task 2022 (ASCW@COLING’22) is to automatically generate summaries based on long-form creative texts like literature, movie scripts, or TV screenplays. This task is encouraged by practical settings in part by the condition to reflect the information that is realistically available in real-world natural language generation task – realistic texts like movie scripts and plot summaries can be prohibitively long (See Movie Script and Plot Summary in Figure 1).

Current dominant neural approaches to long document summarization (Zhang et al., 2020; Xiao et al., 2022; Guo et al., 2022) mainly embrace neural sequence-to-sequence architectures consisting of an encoder-decoder setup where the entire input sequence is first encoded before decoding the output sequence autoregressively. While the encoder-decoder architecture is triumphant in natural language generation tasks (Peng et al., 2020a; Akermi et al., 2020; Erdem et al., 2022; Qian et al., 2022), it is not without its challenges, some of which are exacerbated in this shared task.

In particular, the challenges in this shared task stem from the inherent characteristics of the corpus, which consists of not only texts (i.e. scripts of 24K tokens) that are much longer than the context length of current state-of-the-art long document summarizers (e.g. 16K in LongT5 (Guo et al., 2022)), but also requires that the decoder be exploited of its long-term attention capabilities to an extreme extent and generate up to summaries of 1K tokens. The excessively long decoding time made it impossible to experiment with different model architectures and perform extensive hyperparameter tuning for model selection. Therefore, the optimization space during training time is severely limited; and the inference step becomes highly time-consuming for experimentation during the trial and error process.

To this end, our system employs a two-stage procedure for movie script to movie plot summarization. In the first stage, we propose to heuristically extract sentences that effectively reduce the average input length from 24K to 8K tokens. Next, we propose to improve upon the Longformer-based encoder-decoder model (LED) (Beltagy et al., 2020) by coupling it with two effective fine-tuning methods, i.e., BitFit (Ben Zaken et al., 2022) where only the bias-terms (0.09% of the parameters) of the model are being updated and NoisyTune (Wu et al., 2022) where employs a matrix-level perturbation strategy to increase the variation amplitude of the parameters.

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1 Source code and pre-trained models are available at: https://github.com/tony-hong/script-2-story
Further, we start to tease apart the intricate relationship between decoding beam size, model performance, and maximum encoder and decoder lengths in our ablation studies (see Section 3.6). We examine the trade-offs between performance and decoding runtime, and empirically find that beam search size = 4 is the most suitable.

To summarize, our contributions are as follows:

1. We describe the long-form challenge in this shared task as a challenge that impacts not only the model performance but also the model training and evaluation.

2. We propose a two-stage solution to solving this challenge by first reducing its average input length, and then incorporating the Longformer architecture with either a simple yet effective finetuning technique (BitFit) or a matrix-wise perturbing method for finetuning (NoisyTune).

3. We are the first to apply the transformer-based model for summarization on this dataset (Scriptbase), and our model ranks 1st on metrics including ROUGE, BERTScore, and N-gram diversities.

2 Our Approach

Our method is a two-stage summarization method where the 1st stage is a heuristic extraction method (Sec. 2.1) and the 2nd stage is neural seq2seq summarization model (Sec. 2.2).

2.1 Heuristic Extraction Method

Because the average input length (24K) is way beyond the maximum context length of SOTA long-text summarization models, e.g., 16K in LongT5 (Guo et al., 2022). We are required to first reduce input text length by applying heuristics about what parts of the input to drop.

Specifically, for each of the movie scripts, which consist of two elements: (1) action (red and grey in Figure 1(I)), descriptions of events or expressions that can be heard by the audiences; (2) dialogue (green and blue in Figure 1(I)), conversations between characters. We first identify all the titles and texts of actions and dialogues. Then we extract the titles and texts of all actions with regular expression because they deliver essential information about the movies. However, some important concepts are only in the dialogues (Gorinski and Lapata, 2015). According to the narrative structures of movie scripts (Lee et al., 2021), when a new character occurs, the first few dialogues contain introductory concepts about this character. So our heuristics also include these essential dialogues in our input.

2.2 Long Document Encoder-Decoder

Bottleneck of Transformer  Transformer-based models, based on the multi-head self-attention (MHSA) mechanism (Vaswani et al., 2017), are allowed to simultaneously attend to the con-
text at different positions from different representation subspaces: \( \text{MHSA}(Q_i, K_i, V_i) = [A_1, A_2, ..., A_h]W^Q + B^Q \) where \( Q_i, K_i, V_i \in \mathbb{R}^{t \times d} \) are the input attention matrices, \( t \) is the sequence length, \( d_m \) is the model embedding dimension, \( A_i \) is the \( i \)-th attention head, \( h \) is the number of attention heads, and \( W^Q \in \mathbb{R}^{d_m \times d_m} \) is the parameter matrix and \( B^Q \in \mathbb{R}^{t \times d_m} \) is the bias term. Each attention head is defined as:

\[
A_i = \sigma \left( \frac{Q_i(K_i)^T}{\sqrt{d}} \right) V_i
\]

(1)

where

\[
Q_i = XW^Q_i + B^Q_i,
\]

\[
K_i = XW^K_i + B^K_i,
\]

\[
V_i = XW^V_i + B^V_i,
\]

\( X \in \mathbb{R}^{t \times d_m} \) represents input embedding, \( W^Q_i, W^K_i, W^V_i \in \mathbb{R}^{d_m \times d_m}, B^Q_i, B^K_i, B^V_i \in \mathbb{R}^{t \times d} \) are bias terms. \( d = d_m / h \), \( \sigma \) is the softmax function. The input to the softmax function can be represented by \( \Phi \in \mathbb{R}^{t \times t} \). Because the computational complexity of \( \Phi \) is \( O(t^2) \), which is very expensive, it becomes the main bottleneck of the Transformer model for dealing with long sequences.

**Sparse Attention** Due to the problem of the original Transformer’s attention described above, for long documents, the common practice of previous works (Qiu et al., 2020; Pilault et al., 2020) is to slice the long sequence document into different blocks (which is usually limited to 512 tokens). The downside is that there is no interactive information between the sliced blocks, which causes valuable knowledge loss. Moreover, reducing the input sequence length does not inherently change the algorithm’s complexity. In our task, we apply Longformer (Beltagy et al., 2020) to alleviate the computational problem by introducing a sparse attention mechanism consisting of three parts: sliding window attention, dilated sliding window attention, and global sliding window attention.

To be specific, for sliding windows, the query at each location attends only to the keys of the adjacent \( w \) locations, which is suitable for capturing the shallow local information. For the dilated sliding window, the query of each position also attends to the keys on \( w \) positions, but the position of interest is not adjacent but discontinuous. Dilated sliding attention can attend to non-proximity tokens, which is more suitable for capturing long-distance dependency.

Global attention is identical to the ordinary attention mechanism but only for specific tokens. A token with global attention is associated with every input token. Local tokens attend to the tokens in their own sliding window, and also to all global tokens. The essence of Sparse Attention is to reduce the number of tokens used to compute attention scores, thereby reducing the computational complexity.

### 2.3 Efficient Fine-Tuning

Most PLMs are highly likely to overfit on the pre-trained data because of the huge amount of parameters. When there is a large domain gap between pre-training and fine-tuning data, the model’s parameters are difficult to adjust effectively during fine-tuning (Gao et al., 2021), because: (1) the parameters adjust only slightly during fine-tuning, which is often not sufficient to bridge the domain gap; (2) there is very limited training data for low-resource tasks, making it even harder to adjust many over-fitted parameters.

**Parameter Variation** To alleviate the first problem, we apply the NoisyTune (Wu et al., 2022)
which employs a matrix-level perturbation strategy to increase the variation amplitude of the parameters to adapt the PLMs faster to the target domain on our low-resource data.

**Parameter Efficiency** Although previous work applied efficient Transformers strategies to reduce the theoretical complexity of the self-attention in long document summarization, how to efficiently utilize PLMs and adapt to new domain data is not explored. Mainly, how to fine-tune large PLMs under exceptionally low-resource settings (1K training samples in our case) using limited hardware resources (Nvidia V100 with 32GB memory) is not well explored. To experiment with parameter efficient fine-tuning method, we also apply BitFit (Ben Zaken et al., 2022), a method that only fine-tunes the bias terms (i.e. \( B^O, B^K, B^i, B^v \)), on a pre-trained LED model checkpoint\(^2\). By reducing the number of trainable parameters, we aim to increase the fine-tuning speed.

## 3 Experiments and Analysis

### 3.1 Experimental Setup

We build our system using HuggingFace transformers (Wolf et al., 2019) and train LED on the training split of the Scriptbase dataset. We choose the checkpoint before over-fitting for evaluation. We limit the output length between 512 and 1024 tokens. For the rest, we follow the configuration from Longformer (Beltagy et al., 2020).

All experiments is optimized using AdamW (Loshchilov and Hutter, 2017) (where \( \beta_1 = 0.9, \beta_2 = 0.99, \epsilon = 1e-8 \)) and the initial learning rate is set to \( 5e-5 \) with weight decay of 0.01. The number of warm-up steps is 512. We enable mixed precision during training and evaluation to save memory for larger batch size. We use ROUGE in evaluation on validation split. All ROUGE scores are multiplied by 100.

### 3.2 Hidden Test Submission

For the test submission, we train our models on the training and validation splits of the Scriptbase dataset following the organizers’ instructions. We train all the models either in pure fine-tuning or coupling with NoisyTune or BitFit method. Hence, we obtain 3 systems: UdS, UdS NoisyTune and UdS BitFit respectively.

For the sake of fairness, the results on the unseen test set are released by the organizing committee as shown in Table 1. Compared with the official baseline models, all candidate models have improved in various metrics. Particularly, UdS NoisyTune that introduces noise during fine-tuning performs the best overall. Among them, 6 of the 9 evaluation metrics achieved the best performance. The competitor’s model (MovING) outperforms ours only on the SummaCZS metric (Laban et al., 2022), which is an evaluation metric that focuses on inconsistency in summaries. Furthermore, UdS BitFit that applies the BitFit algorithm to fine-tune only 0.09% of the parameters is very close to the UdS performance, but its more significant advantages lie in fewer computational parameters and shorter training time.

### 3.3 Baseline Comparison

Unfortunately, no previous work reports standard summarization metrics (like ROUGE) on the Scriptbase dataset (Gorinski and Lapata, 2015; Papalampidi et al., 2019, 2021; Lee et al., 2021). We therefore create a naive baseline by copying first \( M \) tokens from source sequence. We apply this baseline to both the original movie scripts (original) and the extracted texts using our heuristics (heuristics). As we increase \( M \) from 128 by a factor of 2 up until the length of the source text, we observe that the recall of ROUGE-1/2/L increase and the precision decrease as expected. We thus report the naive baseline with the highest F1 (2048) and with input full length in Table 2. Results show that the naive baseline using our heuristics outperforms the

| Size       | EFT   | R-1   | R-2   | R-L   |
|------------|-------|-------|-------|-------|
| original   |       |       |       |       |
| 2048       | 30.10 | 4.50  | 11.80 |       |
| full       | 4.60  | 1.80  | 2.70  |       |
| heuristics |       |       |       |       |
| 2048       | 30.40 | 4.80  | 12.10 |       |
| full       | 13.60 | 3.30  | 6.30  |       |

Table 2: Results of the proposed model on validation set compared to other systems using automatic metrics including ROUGE-1 F1 (R-1), ROUGE-2 F2 (R-2), ROUGE-L F1 (R-L). EFT means efficient fine-tuning.
Table 3: Results of the model trained on data created with our heuristics extraction method compared to other extraction methods.

| Input | Avg. Len. | Input Len. | % | R-2-P | R-2-R | R-2-F1 |
|-------|-----------|------------|---|-------|-------|--------|
| all   | 24106     | 8192       | 100% | 10.46 | 11.34 | 10.26  |
| all   | 24106     | 16384      | 100% | 11.26 | 12.05 | 11.02  |
| dialogue | 15818    | 8192       | 66% | 10.67 | 11.71 | 10.52  |
| dialogue | 15818    | 16384      | 66% | 10.39 | 12.78 | 10.90  |
| ours  | 8288      | 8192       | 34% | 10.47 | 11.91 | 10.52  |

Table 4: Case study of model-generated output. More examples can be found in our GitHub repo.

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3.5 Case Study

Table 4 shows the first two sentences of the movie summary of “Tomorrow Never Dies” in the dataset. Where Gold is the human answer, we compared the output of our model with the current SOAT model BART and T5. We find that our model can effectively capture proper nouns in movies, such as characters, organizations, locations, etc., and the generated sentences are more in line with a reasonable story logic. However, the BART model seems to only be able to focus on a certain part of the plot in the movie and cannot summarize the movie well. T5 model often generates sentences that contradict the truth and has difficulty handling transitions between sentences.

3.6 Ablation Study

Input Data To further show the effectiveness of our heuristics extraction method, we conduct an ablation study where we train our best summarization model with three different inputs: the full movie scripts (all), the actions and dialogues that are selected by our heuristics (ours), and the dialogues that are omitted by our heuristics (dialogue). We also experiment with two input lengths (8192 and 16384). Results in Table 3 demonstrate that our heuristics extraction method reduces the input length significantly down to 33% of the original length with only 4.5% performance loss compared to the model using full scripts (all).

Performance and Decoding Time Trade-off To understand the dependency between model performance and runtime, we conduct the ablation study of testing the evaluation runtime when varying the beam size (BS). Figure 2 illustrates that UdS BitFit stops showing significant improvement in performance after BS = 4 but takes more decoding time than the total training time (red dotted line). Using large BS from 5 to 8 requires additional 1 to 6 hours yet only obtains 0 to 2% performance gain. This indicates the decoding with large BS...
is extremely expensive but unnecessary. We also provide further analysis to understand the impact of encoding and decoding lengths on performance and runtime in Appendix B.

4 Related Work

4.1 Efficient Transformers

Transformer-based models (Vaswani et al., 2017) are widely applied for text generation problems, but the $O(n^2)$ complexity of the attention calculation makes long document text generation computationally expensive and prohibitive. Various strategies have been proposed to ameliorate this issue (Correia et al., 2019; Child et al., 2019; Beltagy et al., 2020; Guo et al., 2022; Tay et al., 2020; Ainslie et al., 2020; Zaheer et al., 2020; Wang et al., 2020; Peng et al., 2020b; Dai et al., 2019). Most of these proposals demonstrate efficiency of their model on Long Range Arena (LRA), a benchmark of six simple tasks to evaluate the efficiency of different Transformers (Tay et al., 2021). However, only one of these tasks (Path-X) has an input length of 16K which is much longer than the input lengths of the other five tasks (mostly below 10K), and most of these methods failed on Path-X. Thus it is unclear whether the good performance on LRA can be transferred to more realistic downstream tasks like long-document summarization.

4.2 Long Document Summarization

Long document summarization is a trending natural language generation task. Existing solutions are principally divided into two directions: The first is a multi-stage strategy that reduces long input sequences while minimizing the loss of important details (Moro and Ragazzi, 2022; Zhang et al., 2022). The second improves the internal representation of the summarization model to process longer inputs efficiently (Zhang et al., 2020; Xiao et al., 2022; Mao et al., 2022; Cao and Wang, 2022). However, the above strategies are either domain-specific or pre-training corrections. Few people have explored effective fine-tuning strategies for long sequence large models in text summarization tasks, and the main content of our work is to fill this gap.

5 Conclusion

In this paper, we present the details of our system, which ranks 1st in the Scriptbase track on various metrics, including ROUGE, BERTScore, and N-gram diversities. We show that the proposed approach involving a two-stage solution results in competitive and efficient performance for long-form text encoding and generation. In addition, we deliver analysis and ablation studies for the components within our proposed techniques, which allows us to draw further conclusions about decoding configurations and vocabulary sampling. Lastly, we argue that more work can be done to speed up the model selection process, which impacts the model performance and model training and evaluation.

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### A Appendix: Benchmark Comparison

Table 5 compares current state-of-the-art Transformer-based models to find the optimal model architecture. For BART, we extend input length up to 5120 until total memory footprints can be loaded into one Nvidia Tesla V100 with
To further understand the effects of different encodings and decoding lengths on model performance to select models and its inference efficiency, we design the controlled trails to test the impact of encoding and decoding lengths. We use our performant UdS NoisyTune and evaluate model on validation set using $BS$ to encode whole movie scripts. Lastly, we fine-tune all models for 10 epochs and report the ROUGE-2 F1 score (R-2-F1) on the validation set. Based on the finding of the ablation study on beam size (Sec. 3.6), all experiments use $BS = 4$.

Among the current SOTA models: BART, T5 and LED, we obtain 6.3 and 8.6 and 8.7 on R-2 F1 scores respectively. For the performance, LED outperforms BART and T5 by 2.4 and 0.1 points. The demand of high complexity attention computation in BART limits the maximum input length and thus fails to generalise well on the long-form movie summarization. On the other hand, employing to global sliding window attention, LED does not need to shrink its input context length and greatly benefits from 16-bit mixed precision training by which take only 2 hours. In contrast, fine-tuning T5 requires 32-bit training which results in $8 \times$ slower than LED at training phase. Based on the performance and training efficiency advantages, we leverage LED for the development of our long-form summarization system on CreativeSumm’22.

### B Appendix: Runtime Performance

| Architecture | # Tok. | T | R-2-F1 |
|--------------|--------|---|--------|
| BART         | 5K     | 1 | 6.50   |
| T5           | 8K     | 16| 8.60   |
| LED          | 8K     | 2 | 8.70   |

Table 5: Comparison of model architectures. BART, T5 and LED are trained for 10 epochs. #Tok.: the number of tokens as the maximum input length to the encoder. $T$: the training time in hours. All models are in base size and evaluated on validation set and use decoding length 1024.

Figure 3: Performance (blue) and decoding runtime (red) when varying in encoding lengths. We report the ROUGE-2 F1 score and inference time on various input lengths from 256 up to 8192.

32 GB. Because BART is not designed to handle such long-form sequences, we extend the size of position embeddings by initializing from the pre-trained position embeddings in BART. For T5 and LED, we use the input length ($\text{#Tok.}$) 8192 to encode whole movie scripts. Notably, runtime varying in decoding length follows a quadratic trend. By shrinking decoding length to 768, we find that the summarizer obtains 1.6× speedups at inference time while keeping 96% performance. In addition, by reducing decoding length to 512, our model achieves 3.3× speedups and keeps 89% performance on the R-2 F1 score. Our result indicates that reducing decoding length to 75% or 50% of the original decoding length significantly improves its inference efficiency without much performance drops.

#### Impact of Decoding Lengths

Unlike the encoding length, the decoding length plays a more critical role in the inference time. The performance gradually drops caused by shorter decoding length which is making sense as the length of gold summary is around 1K.

Notably, runtime varying in decoding length follows a quadratic trend. By shrinking decoding length to 768, we find that the summarizer obtains 1.6× speedups at inference time while keeping 96% performance. In addition, by reducing decoding length to 512, our model achieves 3.3× speedups and keeps 89% performance on the R-2 F1 score. Our result indicates that reducing decoding length to 75% or 50% of the original decoding length significantly improves its inference efficiency without much performance drops.

#### Impact of Beam Size

To understand the dependency between model performance and runtime when varying beam sizes ($BS$), Figure 5 illustrates...
Figure 4: Performance (blue) and decoding runtime (red) when varying in decoding lengths. We report the ROUGE-2 F1 score and inference time on various output lengths from 64 up to 1024.

As choosing $BS = 2$, UdS BitFit gains 3.4 points improvement compared to the model using greedy search ($BS = 1$) on ROUGE-2 F1 score. In addition, our UdS BitFit using large $BS$ from 4 to 8 achieve the best performance 14.9 and significantly outperforms the naive baseline 2048 by 10.1 points on the ROUGE-2 F1 score. The result suggests $BS = 4$ is sufficient to obtain the most performant result.

However, the runtime scales linearly with the $BS$ increasing. For instance, UdS BitFit stops showing the improvement in performance after $BS = 4$ but takes more computational cost. Using large $BS$ from 5 to 8 requires around 5 to 8 minutes for merely one mini-batch (size=1), which shows the decoding with large $BS$ is extremely expensive.

Figure 5: Performance (blue) and runtime (red) of UdS BitFit when varying in beam sizes. We evaluate UdS BitFit on various beam size ($BS$) from 1 to 8. All models are evaluated on validation set.

C Appendix: Background

C.1 Efficient Transformers

Transformer-based models (Vaswani et al., 2017) are widely applied for text generation problems but the $O(n^2)$ complexity of the self-attention makes long document text generation computationally expensive and prohibitive. Various strategies have been proposed to address this issue: 1. Complexity can be reduced by restricting the global attention to local patterns, (Correia et al., 2019) learn shorter attention patterns for different heads and different layers, (Child et al., 2019; Beltagy et al., 2020; Guo et al., 2022) use random, stride or fixed local attention patterns, (Tay et al., 2020) use learnable attention patterns improve the memory efficiency of the attention module. 2. (Ainslie et al., 2020; Zaheer et al., 2020) use memory/downsampling methods. 3. Complexity can also be reduced by approximating self-attention using low-rank decomposition (Wang et al., 2020) or kernels (Peng et al., 2020b). 4. The context of transformers can also be encoded into a fixed sized hidden state (Dai et al., 2019).