Measuring Shear Viscosity Using Transverse Momentum Correlations in Relativistic Nuclear Collisions

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Elliptic flow measurements at RHIC suggest that quark gluon plasma flows with very little viscosity compared to weak coupling expectations, challenging theorists to explain why this fluid is so nearly “perfect”. It is therefore vital to find quantitative experimental information on the viscosity of the plasma. We propose that measurements of transverse momentum fluctuations can be used to determine the shear viscosity. We use current data to estimate the viscosity-to-entropy ratio in the range from 0.08 to 0.3, and discuss how future measurements can reduce this uncertainty.

Measurements of elliptic and radial flow at RHIC are described by viscosity-free hydrodynamics, indicating that the quark-gluon system produced in these collisions is a nearly perfect liquid \[1, 2, 3, 4\]. In particular, the strong suppression of flow due to shear viscosity predicted by weak-coupling transport calculations is not observed \[2\]. This result is exciting because a small viscosity relative to the entropy density of the system may indicate that the system is more strongly coupled than expected. The collisional shear viscosity is proportional to the mean free path, which is shorter when the coupling is stronger. But is the viscosity really small? Hirano \textit{et al.} point out that color glass condensate formation may produce more elliptic flow than considered in refs. \[1, 2\], requiring a larger viscosity for agreement with data \[5\].

We seek an experimental probe of viscosity that is independent of elliptic flow. To that end, we propose that transverse momentum correlation measurements can be used to extract information on the kinematic viscosity,

\[ \nu = \eta / Ts, \]  

where \( \eta \) is the shear viscosity, \( s \) is the entropy density and \( T \) is the temperature. This ratio characterizes the strength of the viscous force relative to the fluid’s inertia and, consequently, determines the effect of \( \eta \) on the flow \[3\]. We argue that viscous diffusion broadens the rapidity dependence of transverse momentum correlations, and then show how these correlations can be extracted from measurements of event-by-event \( p_t \) fluctuations.

A number of experiments have studied transverse momentum fluctuations at SPS and RHIC \[3, 4\]. Interestingly, the STAR collaboration reports a 60% increase of the relative rapidity width for \( p_t \) fluctuations when centrality is increased \[4\]. While the STAR analysis differs from the one we propose, model assumptions provide a tantalizing hint that the viscosity is small.

Any experimental information on the kinematic viscosity of high energy density matter is vital for understanding the strongly interacting quark gluon plasma. Theorists had long anticipated a large collisional viscosity based on weak coupling QCD \[9\] and hadronic computations \[10\], with values of \( \eta / s \) roughly of order unity for both phases near the crossover temperature \( \sim 170 \) MeV. Supersymmetric Yang Mills calculations give the significantly smaller ratio \( \eta / s = 1/4\pi \) in the strong coupling limit \[11\]. Lattice QCD calculations of the shear viscosity will eventually settle the question of the size of the viscosity near equilibrium \[12\]. However, the effective viscosity in the nonequilibrium ion-collision system may differ from these calculations. In particular, plasma-instability contributions can also explain the small viscosities in nuclear collisions \[13\].

We begin by formulating a simple model to illustrate how shear viscosity attenuates correlations due to fluctuations of the radial flow. Next, we show how transverse momentum fluctuations can be used to measure these correlations. We then demonstrate the impact of viscosity on the rapidity distribution of fluctuations. Finally, we explore the implications of current fluctuation data.

Before wading into the quark-gluon liquid, it is useful to recall how shear viscosity affects the flow of more common fluids. In a classic example of shear flow, a liquid is trapped between two parallel plates in the \( xy \) plane, while one plate moves at constant speed in the \( x \) direction. The fluid is pulled along with the plate, so that \( v_x \) varies with the normal distance \( z \). The viscous contribution to the stress energy tensor is then

\[ T_{zx} = -\eta \partial v_x / \partial z; \]

see ref. \[14\] for a general treatment.

Central nuclear collisions produce a high energy density fluid that flows outward with an average radial velocity \( v_r \). In the hydrodynamic description of these collisions, we typically assume that \( v_r \) varies smoothly with spacetime \((t, x)\) and is the same for all collisions of a fixed impact parameter. For central collisions, \( v_r \) is radially symmetric. More realistically, small deviations \( u(x) \) of the radial flow occur throughout the fluid, varying with...
each ion-collision event. Such deviations occur, e.g., because the number and location of nucleon-nucleon sub-collisions varies in each event.

Viscous friction arises as neighboring fluid elements flow past each other. This friction reduces $\mathbf{u}$, driving the velocity toward the local average $v_\tau$. The final size of the velocity increment $\mathbf{u}$ depends on the magnitude of the viscosity and the lifetime of the fluid.

In order to illustrate how the damping of radial flow fluctuations depends on the viscosity of the fluid, we introduce a velocity increment in the radial direction $r$ that depends only on the longitudinal coordinate $z$ and $t$. Our aim is to determine the linear response of the fluid to this perturbation. For simplicity, we take the unperturbed flow as slowly varying, and work in a co-moving frame where $v_r$ locally vanishes. As in (2), the flow of neighboring fluid elements at different radial speeds $u(z)$ produces a shear stress

$$T_{zr} = -\eta \partial u / \partial z.$$  

This stress changes the radial momentum current of the fluid, which is generally $T_{0r} = \gamma^2 (\epsilon + p) v_r$ for energy density $\epsilon$, pressure $p$, and $\gamma = (1 - \nu^2)^{-1/2}$ \cite{14}. The perturbation $u$ results in the change $g_t = \delta T_{0r} \approx (\epsilon + p) u$ in the co-moving frame. On the other hand, the energy-momentum conservation law $\partial_T T^{\mu \nu} = 0$ implies $\partial g_t / \partial t = -\partial T_{zr} / \partial z$.

We combine these results to obtain a diffusion equation for the momentum current

$$\left( \frac{\partial}{\partial t} - \nu \nabla^2 \right) g_t = 0 \quad (4)$$

to linear order, where the kinematic viscosity is given by \cite{11}, since $\epsilon + p \approx T_s$ for small net baryon density. Observe that (4) applies for any fluctuation $g_t$ for which $\nabla \cdot g_t = 0$ \cite{14}; our physically-motivated radial $g_t(z, t)$ is a specific instance of such a flow. Such shear modes are related to sound waves (compression modes) but diffuse rather than propagate. Note that the scale over which sound is attenuated $\Gamma_s = (4\eta/3 + \zeta)/T_s$ depends on both shear and bulk viscosity \cite{14, 13}.

Viscosity tends to reduce fluctuations by distributing the excess momentum density $g_t$ over the collision volume. This effect broadens the rapidity profile of fluctuations. We write (3) in terms of the spatial rapidity $y = 1/2 \ln (t + z) / (t - z)$ and proper time $\tau = (t^2 - z^2)^{1/2}$ to find $\partial g_t / \partial \tau = (\nu/\tau^2) \partial^2 g_t / \partial y^2$. A similar equation is used to study net charge diffusion in ref. \cite{14}, and we can translate many of those results to the present context. Defining $V \equiv \langle (y - \langle y \rangle)^2 \rangle = \int y^2 g_t dy / \int g_t dy$ for $\langle y \rangle = 0$, we compute the rapidity broadening

$$\Delta V = \frac{2\nu}{\tau_0} \left( 1 - \frac{\tau_0}{\tau} \right), \quad (5)$$

where $\Delta V \equiv V - V(\tau_0)$ for $\tau_0$ the formation time.

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**FIG. 1:** Rapidity spread vs. time for momentum diffusion computed using (5) and (6) for the large viscosity (viscous) and small viscosity (perfect) scenarios discussed in the text. The gray area marks the range extrapolated from data in ref. \cite{16} using (14).

We extend this discussion to address a more general ensemble of fluctuations by considering the correlation function

$$r_g = \langle g_t(x_1) g_t(x_2) \rangle - \langle g_t(x_1) \rangle \langle g_t(x_2) \rangle. \quad (6)$$

In local equilibrium, $r_g$ has the value $r_{g, eq}$. The spatial rapidity dependence of $\Delta r_g \equiv r_g - r_{g, eq}$ is broadened by momentum diffusion. If the rapidity width of the one-body density follows (6), then the width of $\Delta r_g$ in the relative rapidity $y_r = y_1 - y_2$ grows from an initial value $\sigma_0$ following

$$\sigma^2 = \sigma_0^2 + 2 \Delta V (\tau_f), \quad (7)$$

where $\tau_f$ is the proper time at which freeze out occurs. This equation is entirely plausible, since diffusion spreads the rapidity of each particle in a given pair with a variance $\Delta V$. We then take

$$\Delta r_g(y_r, y_a) \propto e^{-y_r^2/2\sigma^2 - y_a^2/2\Sigma^2}, \quad (8)$$

where (7) gives the width in relative rapidity and the width in average rapidity $y_a = (y_1 + y_2)/2$ is $\Sigma$. We assume $\Sigma \gg \sigma$ \cite{16}. Observe that (7) and (8) are exact for our diffusion model \cite{14}.

Gyulassy and Hirano survey computations of the ratio of the shear viscosity to the entropy and find that both the hadron gas and the perturbative quark gluon plasma have $\eta/s \sim 1$, if one naively extrapolates these calculations near $T_C$ \cite{16}. These values correspond to $\nu \approx \eta/T$ roughly of order $1 \text{ fm}$ for $T_C = 170 \text{ MeV}$. On the other hand, they argue that the entropy increase near $T_C$ reduces $\eta/s$ for a strongly interacting plasma, perhaps to the supersymmetric Yang-Mills value $\eta/s = 1/4\pi$.

Motivated by these estimates, we show the increase of $\sigma$ given by (5) and (7) as a function of proper time $\tau$ for two extreme and highly schematic scenarios in fig. 1. In the ‘perfect’ scenario, we take $\nu \sim 0.1 \text{ fm}$ for the plasma and mixed phase, and $\nu \sim 1 \text{ fm}$ for the hadronic phase.
In the ‘viscous’ scenario, we take $\nu \sim 1$ fm for the entire evolution. In both cases, we assume that the formation, hadronization, and freeze out times are 1 fm, 9 fm, and 20 fm respectively.

We stress that the rapidity width depends on the viscous diffusion coefficient integrated over the collision lifetime. Comparing the viscous and perfect scenarios in fig. 1 we see that the largest contribution to this width comes from the earliest times. Consequently, we expect measurements of this width to yield information on the viscosity when the evolution is dominated by partons.

Variation of the radial fluid velocity over the collision volume induces correlations in the transverse momenta $p_t$ of particles [17]. To describe such correlations, we divide the inhomogeneous fluid into cells small enough to be uniform. Particles emerging from cells of different radial velocity $v_r$ are more likely to have different $p_t$ than particles from the same cell. The number of particles of momentum $p$ in a cell at position $x$ at the instant of freeze out is $dn = f(x,p)dpdx$, where $dp = d^3p/(2\pi)^3$ and $dx \equiv d^3x$. We take $f(x,p)$ to be a Boltzmann distribution corresponding to a fluid velocity $v(x)$ and a temperature $T(x)$ that vary with each event. A similar formulation is used in ref. 18 to compute nonequilibrium $p_t$ fluctuations. Here, we focus on central collisions where local equilibrium is likely achieved.

To characterize the dynamic correlations of $p_t$, we use the transverse momentum covariance

$$\mathcal{C} = \langle N \rangle^{-2} \sum_{i \neq j} \langle p_{ti}p_{tj} \rangle - \langle p_{ti} \rangle^2,$$  \hspace{1cm} (9)$$

where $i$ labels particles from each event and the brackets represent the event average. The average transverse momentum is $\langle p_t \rangle = (\sum p_{ti})/\langle N \rangle$. This covariance vanishes in local equilibrium, where the momenta are uncorrelated and number fluctuations satisfy Poisson statistics.

This covariance is related to the spatial correlations of the momentum current [16] by

$$\mathcal{C} = \langle N \rangle^{-2} \int \Delta r_g(x_1,x_2)dx_1dx_2.$$ \hspace{1cm} (10)$$

To obtain this result, observe that $\langle N \rangle \langle p_t \rangle = \int \langle g_t(x) \rangle dx$, where

$$g_t(x) = \int f(x,p)p_tdp$$ \hspace{1cm} (11)$$

is the momentum current discussed earlier. Similarly, we write the unrestricted sum $\sum p_{ti}p_{tj} = \int p_{ti}pdn_1dn_2 = \int g_t(x_1)g_t(x_2)dx_1dx_2$ and average over events to find

$$\int r_gdx_1dx_2 = \sum_{all i,j} \langle p_{ti}p_{tj} \rangle - \langle N \rangle^2 \langle p_t \rangle^2$$

$$= \langle N \rangle^2 \mathcal{C} + \sum p_{ti}^2;$$ \hspace{1cm} (12)$$

the second equality follows from (9). In local equilibrium, $\mathcal{C} \equiv 0$ implies $\int r_g_{eq}dx_1dx_2 = (\sum p_{ti}^2)$. Subtracting this term from (12) gives (10).

The correlation information probed by $\mathcal{C}$ differs from that found in the multiplicity variance $R = (\langle N^2 \rangle - \langle N \rangle^2)/\langle N \rangle^2$. As before, we write $R = \langle N \rangle^{-2} \int \Delta r_n dx_1dx_2$, where $\Delta r_n = r_n - r_{n,eq}$ and

$$r_n = \langle n(x_1)n(x_2) \rangle - \langle n(x_1) \rangle \langle n(x_2) \rangle.$$ \hspace{1cm} (13)$$

The density correlation function (13) carries different information than (9) because particle number is not conserved. Density fluctuations evolve by the full hydrodynamic equations, while $g_t$ follows diffusion. The correlation function probed by net charge fluctuations is discussed in ref. 16.

Viscosity information can be obtained from $\mathcal{C}$ as follows. The broadening in rapidity of $\Delta r_g$ depends on the shear viscosity via (7). Equation (10) implies that the rapidity dependence of $\Delta r_g$ can be measured by studying the dependence of $\mathcal{C}$ on the rapidity window in which particles are measured. We illustrate this acceptance dependence in fig. 2 for our idealized scenarios by integrating $\mathcal{C}$ over the interval $-\Delta/2 \leq y_1, y_2 \leq \Delta/2$; $\langle N \rangle \mathcal{C}_\infty$ is the value for large $\Delta$.

The STAR analysis in ref. 8 incorporates some of these ideas and, intriguingly, finds a broadening in rapidity together with a narrowing in azimuth for $p_t$ correlations in central compared to peripheral collisions. We will use the rapidity information to estimate the viscosity. However, the measured quantities differ sufficiently from $\mathcal{C}$ that this estimate requires significant model assumptions. We therefore regard the result only as a signal of our method’s promise.

STAR employs the transverse momentum fluctuation observable $\Delta \sigma^2_{p_t}$ to construct a correlation function as a function of rapidity and azimuthal angle. They find that near-side correlations in azimuth are broadened in relative rapidity, with a rapidity width $\sigma_\Delta$ that increases from roughly 0.45 in the most peripheral collisions to 0.75 in central ones. We estimate $\Delta \sigma^2_{p_t} / \langle N \rangle \approx C - \langle p_t \rangle^2 R$. This quantity therefore depends on both momentum cur-

![FIG. 2: Rapidity dependence of the $p_t$ covariance for the scenarios in fig. 1. The initial distribution has $\sigma_0 \sim 0.5$.](image-url)
rent and density correlation functions (6) and (13),
\[ \Delta \sigma^2_{r_1} \approx \langle N \rangle^{-1} \int \{ \Delta r_g - \langle p_i \rangle \}^2 \Delta r_n \ dy_1 dy_2. \]  (14)

We can directly compare \( \sigma_\ast \) to \( \sigma \) in fig. 1 if \( \Delta r_g \) and \( \Delta r_n \) have the same widths. The equation then implies that the widths in central and peripheral collisions satisfy \( \sigma^2 \sim 4\nu(\tau_{F,c} - \tau_{F,p}) \). Taking the freeze out times in a central and peripheral collisions to be \( \tau_{F,c} \sim 20 \) fm and \( \tau_{F,p} \sim 1 \) fm, we then find \( \nu \sim 0.09 \) fm. The value \( \tau_{F,p} \sim 1 \) fm is reasonable, since ref. [8] argues that the average participant path length is about 1 fm for these peripheral collisions. We use \( \tau_{F,p} \) to find \( \eta/s \sim 0.08 \).

This result is remarkably close to the supersymmetric Yang Mills value 1/4\( \pi \), and is consistent with some hydrodynamic comparisons to elliptic flow data [2]. However, we must be cautious: If \( \Delta r \) are density correlation functions (6) and (13), we have the same widths. The equation then implies that the widths in central and peripheral collisions satisfy \( \sigma^2 \sim 4\nu(\tau_{F,c} - \tau_{F,p}) \). Taking the freeze out times in a central and peripheral collisions to be \( \tau_{F,c} \sim 20 \) fm and \( \tau_{F,p} \sim 1 \) fm, we then find \( \nu \sim 0.09 \) fm. The value \( \tau_{F,p} \sim 1 \) fm is reasonable, since ref. [8] argues that the average participant path length is about 1 fm for these peripheral collisions. We use \( \tau_{F,p} \) to find \( \eta/s \sim 0.08 \).

In fig. 1, we indicate the range of \( \Delta \sigma^2_{r_1} - \Delta \sigma^2_{r_2} \) for the most central and peripheral STAR values from ref. [8]. The gray band follows from the uncertainty in relating \( \sigma \) to \( \sigma_\ast \), i.e., \( \sigma_\ast < \sigma < 2\sigma_\ast \), which greatly exceeds the experimental uncertainty. Our ‘perfect’ liquid curve falls above the bottom of this range because we assume a large viscosity following hadronization.

In summary, we find that shear viscosity can broaden the rapidity correlations of the momentum current. This broadening can be observed by measuring the transverse momentum covariance as a function of rapidity acceptance. Our rough estimate from current data, \( \eta/s \sim 0.08 - 0.3 \), is small compared to perturbative computations [3]. To reduce the uncertainty range, we suggest comparing C to allow more direct access to the momentum density correlation function. In principle, freeze out times can be inferred from other measurements [21]. Note that minijets, color glass, and other contributions to the particle production mechanism influence the initial fluctuation spectrum and, correspondingly, modify \( \sigma_0 \) in (14). We assume that this contribution cancels in studying the centrality dependence at a fixed beam energy.

The viscosity of a common fluid can be measured by applying a known pressure and observing the resulting flow in a fixed geometry, e.g., a pipe. Alternatively, one can study the attenuation of high frequency sound waves from a calibrated source. Efforts to compare radial and elliptic flow measurements to viscous hydrodynamic calculations are analogous to the first method [2]. Our observable \( C \) is in the spirit of ultrasonic attenuation. The early dynamics produces a spectrum of fluctuations analogous to sound waves that are attenuated by viscosity. We suggest that experimenters pursue both approaches to extract quantitative viscosity information from ion collisions, since the geometry, initial conditions, and probe parameters are all unknown.

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