Deformation in $^{28}$Si* produced via $^{16}$O + $^{12}$C reaction

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The energy spectra of the $\alpha$ particles emitted in the reactions $^{16}$O (7-10 MeV/nucleon) + $^{12}$C have been measured in the center of mass angular range of $25^\circ \leq \theta_{c.m.} \leq 70^\circ$. The experimental energy spectra have been compared with those obtained from the statistical model calculation with “deformability” parameters predicted by rotating liquid drop model (RLDM) and also fitted the same with optimized “deformability” parameters, which are quite different from the respective RLDM values. The data have also been found to be explained quite well using “frozen” deformation approximation, where the “deformability” parameters have been kept fixed at RLDM values of the parent nucleus throughout the decay process. The effective radius in the latter case is smaller compared to that obtained using the optimized parameters; however, in both cases, the deformations (effective radii) are larger than the corresponding RLDM values. So, considering the uncertainties in the estimation of actual compound nucleus deformations, it can, only qualitatively, be said that equilibrium orbiting, which is similar to particle evaporation in time scale, could also be one of the contributing factors for the observed deformation.

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I. INTRODUCTION

Exploring the role of clustering in fragment emission mechanism is a subject of current interest in low energy nuclear reaction. Several attempts have recently been made to understand the effect of cluster structure on the reaction mechanism of light $\alpha$-cluster nuclei, e.g., $^{20}$Ne + $^{12}$C $^{13}$, $^{24}$Mg + $^{12}$C $^{13}$, $^{28}$Si + $^{12}$C $^{13}$ etc. In each case, an enhancement in the yield and/or resonance-like excitation function in a few outgoing channels not very different from the entrance channel has been observed, which was indicative of significant contribution from the deep-inelastic orbiting (DIO) mechanism. Through this process, a long-lived dinuclear composite is formed $^{8}$, which is not fully equilibrated in all (in particular, shape) degrees of freedom and therefore decays preferentially around the entrance channel; at higher excitation energy, however, the decay is expected to be of fully equilibrated in nature. It is thus interesting to probe into the limit of survival of such dinuclear composites at higher excitations.

The study of deformation of the excited composite is important to differentiate the DIO composite from the fully equilibrated compound nucleus (CN) because the deformation should be larger in the former case. Detailed studies of the deformation of $^{40}$Ca* $^{9,10}$ and $^{32}$S* $^{11}$ were done recently with the help of light charged particle (LCP) spectroscopy to extract “anomalous” deformation in these cases confirming the presence of DIO mechanism. For these systems, orbiting ($^{40}$Ca* $^{9,10}$, $^{32}$S* $^{11}$) had already been conjectured from the fragment emission studies. Recently, we have reported that, for $\alpha$-cluster system $^{16}$O + $^{12}$C $^{12}$, there was enhancement in the boron product yield at excitation energies $\sim$67-85 MeV (beam energy in the range of 7-10 MeV/nucleon), which indicated the survival of long-lived dinuclear orbiting composites at such high excitation energies. An orbiting dinuclear complex is assumed to be more deformed than a fully shape equilibrated CN; this motivated us to look for such enhanced deformation in a $^{16}$O + $^{12}$C dinuclear system at same excitation energies using the LCP spectroscopy technique. In this technique, LCPs, evaporated from the excited composites, are used to study the properties of hot rotating nuclear systems as a function of excitation energy, angular momentum, and deformation $^{11,13,23}$ using statistical model codes e.g., CASCADE $^{20}$, GANES $^{27}$, CARACIZO $^{28}$.

The article has been arranged as follows. The experimental setup has been described in Sec. II. The experimental results and analysis have been presented in Sec. III. The details of the statistical model calculation has been given in Sec. IV. The extracted values of the deformation of $^{28}$Si* and their possible implications have been discussed in Sec. V. Finally, the summary and conclusion have been given in Sec. VI.

II. EXPERIMENTAL DETAILS

The experiment was performed at the Variable Energy Cyclotron Centre, Kolkata, using $^{16}$O ion beams of energies 117, 125, 145 and 160 MeV. Self-supporting $^{12}$C of thickness $\sim$ 514 $\mu$g/cm$^2$ was used as a target. The $\alpha$ particles were detected using a Si(SB) telescope ($\sim$10$\mu$m $\Delta$E, $\sim$ 5 mm E). The typical solid angle covered by the telescope was $\sim$ 0.3 msr. The calibration of the telescope was done using elastically scattered $^{16}$O ion from a Au target, as well as a $^{229}$Th-\(\alpha\) source. Inclusive energy dis-
significant at the measured angles. The effects of other direct reaction mechanisms are not completely matched with each other. It is thus clear that the slopes of the both spectra are nearly constant, starting from the lowest angle, were multiplied by $10^{-2}$, $10^{-1}$, $10^{0}$, $10^{1}$, $10^{2}$, $10^{3}$, respectively (see Table II). All experimental and calculated spectra, representing CASCADE calculations with RLDM and optimized values of spin dependent ‘deformability’ parameters, respectively (see Table II). All experimental and calculated spectra, starting from the lowest angle, were multiplied by $10^{-2}$, $10^{-1}$, $10^{0}$, $10^{1}$, $10^{2}$, $10^{3}$, respectively.

III. EXPERIMENTAL RESULTS

A. Energy spectra

The center-of-mass (c.m.) energy spectra of the $\alpha$ particles at different beam energies are shown in Fig. 1. Because of the inclusive nature of the spectra, there may be some admixture of contributions of other direct reaction mechanism (such as pre-equilibrium emission, etc.) to the equilibrium emission spectra at forward angles in particular. In Fig. 2 the energy spectra obtained at lab angles $11^{0}(\theta_{c.m.}=26^{0}$, open triangles) and $29^{0}(\theta_{c.m.}=66^{0}$, solid inverted triangles) at $E_{lab}=145$ MeV are compared; it is seen that the slopes of the both spectra are completely matched with each other. It is thus clear that the effects of other direct reaction mechanisms are not significant at the measured angles.

B. Angular distribution

The c.m. angular distributions, $(d\sigma/d\theta)_{c.m.}$, obtained by integration of the c.m. energy distributions for the beam energies of 117, 125, 145 and 160 MeV, are shown in Fig. 2 as a function of $\theta_{c.m.}$. In all cases, the values of $(d\sigma/d\theta)_{c.m.}$ are found to be constant over the whole range of observed c.m. angles. So, $(d\sigma/d\Omega)_{c.m.}$ is of the form $1/\sin\theta_{c.m.}$, which is characteristic of the emission from an equilibrated composite nucleus.

C. Average velocity

The average velocities, $v_{av}$, of the $\alpha$-particles emitted at different angles have been extracted from the average energy, $E_{av}$, which has been calculated using the expression

$$E_{av} = \frac{\sum_{i} E_{i} (d^{2}\sigma/dE d\Omega)_{i}}{\sum_{i} (d^{2}\sigma/dE d\Omega)_{i}},$$

where the index $i$ covers the whole energy spectrum in the laboratory. The parallel ($v_{||}$) and perpendicular ($v_{\perp}$) components (with respect to the beam direction) of $v_{av}$ at each angle are plotted in Fig. 3. It is seen that they fall on a circle with the center at CN velocity, $v_{CN}$, and radius of average velocity in c.m., $v_{avm}$, which implies that the average velocities (as well as energies) of the $\alpha$ particles are independent of the c.m. emission angles. It again indicates that the $\alpha$ particles are emitted from a fully energy equilibrated source moving with the velocity $v_{CN}$.

IV. STATISTICAL MODEL CALCULATIONS

It is confirmed from the experimental results that the $\alpha$-particles are emitted from a fully energy equilibrated...
composite which may be a CN. So the statistical model code CASCADE [26] has been used to explain the present experimental data because this code is based on the assumption that the evaporation of particles takes place from an excited CN which is in full equilibrium with respect to all degrees of freedom.

A. CASCADE calculations procedure

The spin distribution in CASCADE is usually derived from the known fusion cross section using the strong-absorption model wherever data are available; otherwise, it is calculated theoretically. The decay of the CN by particle emission is calculated in the framework of Hauser-Feshbach formalism [26, 29]. The probability that the parent nucleus (excitation energy $E_1$, spin $J_1$, parity $\pi_1$) emits a particle $x$ with an orbital angular momentum $l$, kinetic energy $\epsilon_x$ ($\epsilon_x=E_1-E_2$), and spin $s$ is given by

$$P_x d\epsilon_x = \frac{1}{\hbar} \Gamma(\epsilon_x) \frac{\rho_2(E_2, J_2, \pi_2)}{2\pi\hbar\rho_1(E_1, J_1, \pi_1)} \times \sum_{S=|J_2-s|}^{J_2+s} \sum_{|L|=J_1-S} T_L(\epsilon_x) d\epsilon_x,$$

where $E_2$, $J_2$ and $\pi_2$ are the excitation energy, spin, and parity of the daughter nucleus, respectively, $\rho_1$ and $\rho_2$ are the level-densities of the excited parent nucleus and daughter nucleus, respectively, and, $S = J_2 + s$ is the channel spin. The transmission coefficients $T_L(\epsilon_x)$ for the scattering of particle $x$ on the daughter nucleus (inverse process) are obtained by using standard optical model (OM) potential for elastic scattering. In the OM transmission coefficient calculation, the parameters have been taken from the references given in the Table I.

B. Input parameters

The standard form of CASCADE is quite successful in explaining the LCP evaporation in the light ion induced reaction in general, where the CN is assumed to be nearly spherical. However, in case of heavy-ion-induced reaction, there is appreciable deviation between the experimental and the predicted LCP evaporation spectra. This deviation is attributed to the deformation of the excited compound system which is angular momentum dependent. Therefore, to explain the LCP energy spectra, the effects of the deformation of the CN should be included in the statistical model calculations. The defor-
TABLE I. Input parameters used for CASCADE calculations for the reactions $^{16}$O + $^{12}$C at beam energies 117, 125, 145, \(160\) MeV.

| Parameter | Value |
|-----------|-------|
| $J_\text{cr}$ | Table II |
| $\Delta J$ | $= 1h$ |
| OM potentials of the emitted LCP and neutrons | 
- Neutrons: Wilmore and Hodgson [31].
- Protons: Perey and Perey [32].
- $\alpha$-particles: Huizenga and Igo [33].
| Level-density parameters at low excitation: $E \leq 7.5$ MeV | 
- Fermi-gas level-density formula with empirical parameters from Dilg et al. [34].
| Level-density parameters at high excitation: $E \geq 15$ MeV | 
- Fermi-gas level-density formula with parameters from LDM (Myers and Swiatecki [35]).
- Level-density formula with parameters from Ref. [37].

\textbf{Angular momentum distribution:}

Critical angular momentum $J_\text{cr}$ as in Table II.

Diffuseness parameter $\Delta J = 1h$.

OM potentials of the emitted LCP and neutrons.

1. Neutrons: Wilmore and Hodgson [31].
2. Protons: Perey and Perey [32].
3. $\alpha$-particles: Huizenga and Igo [33].
4. Multiplication factor of the OM radius: $RFAC = 1$.

Level-density parameters at low excitation: $E \leq 7.5$ MeV.

1. Fermi-gas level-density formula with empirical parameters from Dilg et al. [34].
2. Fermi-gas level-density formula with parameters from LDM (Myers and Swiatecki [35]).
3. Level-density formula with parameters from Ref. [37].

\textbf{Yrast line}:

$I_{\text{eff}} = I_0(1 + \delta_1 J^2 + \delta_2 J^4)$, $\delta_1$, $\delta_2$ are given in Table II.

\textbf{\(\gamma\)-ray width (Weisskopf units)}:

1. $E1 = 0.001$
2. $M1 = 0.01$
3. $E2 = 5.0$

In the present work, the parity effects are neglected. Region I (high excitation energy, $E \geq E_{LDM}$): Shell effects and parity corrections are neglected in this region. The same formula is then used but with LDM parameters taken from Ref. [35].

Between regions II and III, the level density parameters are interpolated linearly. The parameters are given in Table II. The level density used in regions II and III for a given angular momentum $J$ and excitation energy $E$ is given by well-known Fermi-gas expression [11] with equidistant single-particle levels and a constant level density parameter $a$:

$$\rho(E, J) = \frac{(2J+1)}{12} a^{1/2} \left( \frac{\hbar^2}{2I_{\text{eff}}} \right)^{3/2} \frac{1}{(E + T - \Delta - E_J)^2} \times \exp \left[ 2 \left( a(E - \Delta - E_J) \right)^{1/2} \right], \quad (3)$$

where $T$ is the thermodynamic temperature and $\Delta$ is the pairing correction. The rotational energy, $E_J$, is expressed as:

$$E_J = \left( \frac{\hbar^2}{2I_{\text{eff}}} \right) J(J + 1). \quad (4)$$

The effective moment of inertia, $I_{\text{eff}}$, is written as:

$$I_{\text{eff}} = I_0(1 + \delta_1 J^2 + \delta_2 J^4), \quad (5)$$

where $I_0 = \frac{2}{5} A^{5/3} \rho_0^2$ is the rigid-body moment of inertia, $\delta_1$ and $\delta_2$ are deformability parameters, $\rho_0$ is radius parameter, and $a$ is the level density parameter. So, from the above equations, it is clear that by changing $\rho_0$, $a$, $\delta_1$, and $\delta_2$, it may be possible to reproduce the experimental spectra. By increasing $\rho_0$, both transmission coefficient and level density will be affected. It reduces the potential barrier, leading to the increase of transmission coefficient. Simultaneously, the increase in $\rho_0$ leads to increase of the $I_{\text{eff}}$ and $\text{vis-à-vis}$ the available phase space. We have chosen $\rho_0 = 1.29$ which reproduced the lower energy part of the spectra. A similar value was used in Ref. [37]. The level density parameter $a$ was taken to be $A/8$ as in previous works [12, 30]. To reproduce the present experimental spectra, we have only changed the deformability parameters $\delta_1$ and $\delta_2$, as in the previous works [14, 22, 30].

\textbf{C. Experimental spectra and CASCADE predictions}:

The measured energy spectra have been compared with the respective CASCADE [26] calculations which have been shown in Fig.1. The critical angular momenta, $J_\text{cr}$, used in the calculation, are 20, 21, 22, and 23(h) for the bombarding energies of 117, 125, 145, and 160 MeV, respectively [12]. The other input parameters are given in Table II. The dash-dot-dashed lines represent the results...
of the CASCADE calculation with the radius parameter \( r_1 = 1.29 \) and the default values of the deformability parameters, \( \delta_1^A = 3.7 \times 10^{-4} \) and \( \delta_2^A = 1.1 \times 10^{-6} \), at all beam energies which have been predicted by rotating liquid drop model [38]. The low-energy parts of the experimental \( \alpha \) particle spectra are found to match with the theoretical spectra, but higher energy parts do not. To reproduce the whole spectra, we have followed the procedure proposed by Huizenga et al. [30]. The deformability parameters \( \delta_1 \) and \( \delta_2 \) have been suitably optimized to reproduce the experimental spectra, which in effect modified the phase space for statistical decay by relocation of the yrast line. The results with these modified deformability parameters, are shown by solid lines in Fig. 1 and the optimized values of deformability parameters, \( \delta_1^B \) and \( \delta_2^B \), are given in Table II.

### V. DISCUSSION

It is apparent from Table II that the deformability parameters had to be modified from the corresponding RLDM values considerably to fit the experimental spectra. Following the empirical procedure given in Refs. [10, 13], it can be shown that the above change in the deformability parameters is indicative of substantial enhancement of the deformation of the excited composite over the corresponding ground state (RLDM) deformation. Before we look further into the origin of such deformation, it may be worthwhile to see if there is any other alternative explanation of the observed deviation of the experimental spectra from the respective standard statistical model prediction.

Though the present formalism of angular momentum dependent level density is largely successful in explaining experimental LCP spectra, the magnitude of enhancement required is quite large and lacking proper explanation [39, 40]. So an alternative approach, based on frozen degrees of freedom, has been proposed, which has been shown to reproduce the data quite well [41, 42]. In this approach, it is assumed that the deformation of the CN is frozen during the decay, i.e., there is no change of shape of the nascent final nucleus; so the phase space is calculated using RLDM deformation of the parent nucleus, rather than that of the usual daughter nucleus. This indicates that the dynamical effects such as shape relaxation should be taken into account to properly understand the phenomenon of particle evaporation from a deformed CN.

The formalism of “frozen deformation” has been applied in the present CASCADE calculation. In the case of \( \alpha \) particle emission, the effect of frozen deformation on the energy spectrum may be taken into account in the following way. As the \( \alpha \) particles are emitted predominantly at the initial stage of the decay cascade, the deformation may be “frozen” at its value corresponding to the highest angular momentum that the CN may have, which is \( \approx J_{cr} \). So in the present CASCADE calculation, the deformation has been kept fixed throughout by freezing the value of \( I_{eff}/I_0 \), which has been calculated using a fixed value of \( J \approx J_{cr} \) using Eq. (2) with \( \delta_1 \) and \( \delta_2 \) obtained from RLDM (see Table I). The results of the CASCADE calculation with frozen deformation have been shown in Fig. 5 along with the experimental data. It is observed that the CASCADE prediction with frozen deformation [11, 42] for \( J = J_{cr} = 2\hbar \) (solid curve) is also in fair agreement with the experimental data.

To investigate further into the nature of deformation, we have computed the values of average effective radius parameter, \( \langle r_{eff} \rangle \), for all sets of deformability parameters (Table I). Following [11], the effective radius parameter, \( r_{eff} \), is defined as \( r_{eff} = r_0 \sqrt{(1 + \delta_1 J^2 + \delta_2 J^4)} \), and the average effective radius parameter is calculated using the expression, \( \langle r_{eff} \rangle = \sum_{j=0}^{\infty} r_{eff}(2j+1)/\sum_{j=0}^{J_{cr}}(2j+1) \). Then the deviation of \( \langle r_{eff} \rangle \) from \( r_0 \) is the measure of deformation, and the deviation of the same from the corresponding RLDM value may be considered as an enhancement. The values of \( r_{eff} \) estimated in the case of ‘frozen’ deformation approach varied between 1.48 (at 117 MeV) and 1.58 (at 160 MeV), which are, though higher than the corresponding RLDM values (\( \langle r_{eff} \rangle_{RLDM} \approx 1.38 - 1.42 \)), quite lower than the values obtained with the best fitted parameter set (\( \langle r_{eff} \rangle_{fitted} \approx 1.52 - 1.66 \)). From the above discussion, it may be said that, though the present study indicates deformation of the excited composite which is higher than the corresponding RLDM value, uncertainty still remains about the actual magnitude of deformation. This limitation of the present study notwithstanding, there is, at least qualitative, indication about some enhanced deformation, which may be linked with orbiting as one of the contributing factors.

### VI. SUMMARY AND CONCLUSION

The energy and angular distribution of \( \alpha \) particles, emitted in the reactions \( {^{16}}O \) (117, 125, 145 and 160 MeV) + \( ^{12}C \), have been measured. It has been observed from the angular distribution and average velocity plots that the \( \alpha \)-particles are emitted from fully energy equilibrated sources, at all beam energies. The measured energy spec-
appreciable change (enhancement) of deformation. How-
spective RLDM values. This appears to be indicative of
bility parameters, which are quite different from the re-
erly explained by CASCADE by optimizing the deforma-
tion (\(J_{eff}/J_0\)) obtained using RLDM deformability param-
eters for \(J = 23\).

tra have been compared with the same predicted by the
standard statistical model calculations. It has been found
that the experimental \(\alpha\) particle energy spectra are prop-
perly explained by CASCADE by optimizing the deforma-
ility parameters, which are quite different from the re-
spective RLDM values. This appears to be indicative of
appreciable change (enhancement) of deformation. How-
ever, the understanding does not seem to be so simple
and straightforward when one compares the above with
the results of CASCADE calculation with frozen deforma-
tion, which has also been shown to be almost equally
effective in explaining the data. In this case, the effect-
ive ‘frozen’ deformation turned out to be smaller than
that obtained using the optimized parameters. So, the
uncertainties about the magnitudes of the actual CN de-
formations notwithstanding, it can, only qualitatively, be
said that equilibrium orbiting, which is similar to parti-
cle evaporation in time scale, could also be one of the
contributing factors for the observed deformation. How-
ever, the present models are too simplistic to predict the
actual deformation of the CN; more realistic event-by-
event Monte Carlo calculations, taking into account the
initial deformation, spin distribution of the CN and their
subsequent evolutions, should be performed to have a
proper understanding of the CN deformation. In addi-
tion, new experimental inputs (such as measurement of
deforation from GDR studies \[43\]) are needed for more
comprehensive understanding of the process.

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