Energy budget-based characterization of convection-driven dynamos.

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We investigate the energy pathways between the velocity and the magnetic fields in a rotating plane layer dynamo driven by Rayleigh-Bénard convection using direct numerical simulations. The kinetic and magnetic energies are divided into mean and turbulent components to study the production, transport, and dissipation associated with large and small-scale dynamos. This energy balance-based characterization reveals distinct mechanisms for large- and small-scale magnetic field generation in dynamos, depending on the nature of the velocity field and the conditions imposed at the boundaries.

Hydromagnetic dynamo action is the commonly accepted source of magnetic fields in planets and stars. We explore the energy balance in a plane layer convection-driven Childress-Soward (CS) dynamo [1]. In this simple Cartesian dynamo model, driven by rotating Rayleigh-Bénard convection (RBC), the velocity field provides energy to the magnetic field to sustain the dynamo action against Joule dissipation. Our analysis distinguishes between the part of the kinetic energy that produces the horizontally-averaged large-scale mean magnetic field and the small-scale turbulent magnetic field. Based on this decomposition, we derive the kinetic and magnetic energy budgets and characterize a dynamo with both large- and small-scale magnetic fields in terms of their energy conversion mechanisms.

In this study, the CS dynamo is driven by a classical Rayleigh-Bénard convection setup with a plane layer of incompressible, electrically conducting, Boussinesq fluid kept between two parallel plates with a distance $d$ and temperature difference $\Delta T$, where the lower plate is hotter than the upper plate. The system rotates with a constant angular velocity $\Omega$ about the vertical axis, anti-parallel to the gravity $g$. This fluid has the kinematic viscosity $\nu$, thermal diffusivity $\kappa$, adiabatic volume expansion coefficient $\alpha$, and magnetic diffusivity $\eta$. The Navier-Stokes equations, coupled with the energy equation, the Maxwell equation, and the solenoidal field conditions, govern the velocity, pressure, temperature, and the magnetic field $\{u_i, p, \theta, B_i\}$ as presented in [2,3]. The governing non-dimensional parameters are the Rayleigh number $(Ra = g \alpha \Delta T d^3/\nu \kappa)$ and Ekman number $(E = \nu/2 \Omega d^2)$ representing the thermal forcing and rotation rates, respectively, whereas, the thermal and magnetic Prandtl numbers $(Pr = \nu/\kappa$ and $Pm = \nu/\eta)$ are the properties of the fluid. The current setup is a local approximation to the astrophysically more relevant spherical shell dynamo models [4].

Dynamos are generally classified based on the scale of the magnetic field generated by them [5]. The magnetic field for a large-scale dynamo has a length scale larger than the velocity field, whereas the length scale of the magnetic field is smaller than the velocity field for a small-scale dynamo. For a plane layer dynamo, this classification is apparent from the fraction of energy in the mean magnetic field to the total magnetic energy [4]. The magnetic Reynolds number at the convective scale $\tilde{Rm}$, representing the dominance of electromagnetic induction over ohmic diffusion, is one of the important diagnostic quantities that decides this mean energy fraction. Large-scale dynamos with high mean energy fraction, $O(0.1)$ has been found to operate at low magnetic Reynolds numbers $\tilde{Rm} \lesssim 13$ [4], where kinetic helicity has been proposed as a driving mechanism. Conversely, higher values of $\tilde{Rm}$ lead to small-scale magnetic field generation driven by the stretching of magnetic field lines by the velocity field, with comparatively lower mean energy fraction. However, a recent study [6] demonstrates the existence of large-scale dynamos, despite the presence of a strongly turbulent velocity field with low helicity. In the present investigation, we use the kinetic and magnetic energy budgets to understand the different mechanisms associated with large- and small-scale magnetic field generation.

We perform a Reynolds decomposition of the variables into mean and fluctuating parts [5] such that $\phi(x,y,z,t) = \bar{\phi}(z,t) + \phi'(x,y,z,t)$ where $\phi = \{u_i, p, \theta, B_i\}$. Here, the over-bar denotes an average over the horizontal directions [3,4]. The kinetic energy ($K = 1/2 u_i u_i$) and magnetic energy ($M = 1/2 B_i B_i$) are also divided into the mean ($\bar{R}$ and $\bar{M}$) and turbulent ($\tilde{K}$ and $\tilde{M}$) components, and are presented in equations [1,4]. This decomposition into mean and turbulent parts of the energies is the primary distinctive feature of the present study from an earlier budget analysis of a dynamo [7]. The turbulent kinetic energy (TKE) [3] evolves as:

$$\frac{dK}{dt} = S + B - D - \frac{\partial T_j}{\partial x_j} + P,$$

where $K = 1/2 u_i u_i$ is the TKE, $S = -u_i^j u_i^j \partial u_i/\partial x_j$ is the production of TKE by mean shear, $B = u_i^j \partial \theta/\partial x_j$ is the conversion of available potential energy (APE) to TKE by the turbulent buoyancy flux [8], $D = \sqrt{Pr/Ra} \partial u_i/\partial x_j \partial u_i/\partial x_j$ is the viscous dissipation which converts TKE to internal energy (IE), $\partial T_j/\partial x_j$
is the redistribution of TKE [9], representing the divergence of the TKE flux \( \nabla \cdot \mathbf{T} = \nabla \cdot \mathbf{T}_p + \nabla \cdot \mathbf{T}_t + \nabla \cdot \mathbf{T}_v + \nabla \cdot \mathbf{T}_m \). The components of the TKE flux are the pressure flux, \( \mathbf{T}_p = u_i' u_j' \), the turbulent flux \( \mathbf{T}_t = \frac{1}{2} u_i' u_j' \), the viscous flux \( \mathbf{T}_v = -\sqrt{\frac{Pr}{Ra}} \frac{\partial \mathbf{B}_i}{\partial x_j} \), and the magnetic flux \( \mathbf{T}_m = -B_j B_i' - u_i' B_j' \). In equation \( \mathbf{P} \) the term \( \mathbf{P} \) represents the production of \( \mathbf{K} \) due to the work done by the Lorentz force on the flow field. It can be further divided into three components \( \mathbf{P} = \mathbf{P}_1 + \mathbf{P}_2 - \mathbf{P}_3 \), where \( \mathbf{P}_1 = -B_j B_i' \partial u_i' / \partial x_j \), is the production of TKE due to work done by the fluctuating strain rate on the mean magnetic field, \( \mathbf{P}_2 = u_i' B_j' \partial B_i / \partial x_j \), signifies the production of TKE due to mean magnetic field gradient and \( \mathbf{P}_3 = B_j B_i' \partial u_i' / \partial x_j \) represents the amplification (or attenuation) of the magnetic energy, due to the work done by stretching (or squeezing) of magnetic field lines by the fluctuating velocity gradients.

The mean kinetic energy (MKE) budget is expressed as,

\[
\frac{d \mathbf{\mathcal{M}}}{dt} = -\mathbf{\mathcal{D}} - \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j + \mathbf{\mathcal{P}}_4 - \mathbf{\mathcal{P}}_5 \tag{3}
\]

where \( \mathcal{M} = 1/2 \mathcal{B}_i \mathcal{B}_j \) is the MKE, \( \mathcal{B} = \mathcal{B}_i \mathcal{B}_j \) is the mean buoyancy flux, \( \mathcal{D} = \sqrt{\frac{Pr}{Ra}} \mathcal{B}_i \partial \mathcal{B}_j / \partial x_j \) is the mean viscous dissipation, and \( \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j \) is the divergence of the MKE flux \( \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j = \mathcal{B}_i \partial \mathcal{B}_j / \partial x_j \) signifies the production of TKE due to mean magnetic field gradient and \( \mathcal{P}_3 = \mathcal{B}_j \mathcal{B}_i' \partial u_i' / \partial x_j \) represents the amplification (or attenuation) of the magnetic energy, due to the work done by stretching (or squeezing) of magnetic field lines by the fluctuating velocity gradients.

The equations for the evolution of the turbulent and the mean magnetic energies are presented below. As the Maxwell equation [2, 3] is similar to the vorticity transport equation, the magnetohydrodynamic (MHD) equations resemble the transport equations [10]. The magnetic energy (TME) budget equation is given below.

\[
\frac{d \mathbf{\mathcal{M}}}{dt} = -\mathbf{\mathcal{D}} + \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j + \mathbf{\mathcal{P}}_4 \tag{3}
\]

where \( \mathcal{M} = 1/2 \mathcal{B}_i \mathcal{B}_j \) is the TME, \( \mathcal{B} = \mathcal{B}_i \mathcal{B}_j \) is the mean magnetic energy, \( \mathcal{D} = 1/2 \mathcal{B}_i \partial \mathcal{B}_j / \partial x_j \) is the Joule dissipation, \( \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j \) is the redistribution of TME flux given by \( \mathcal{T}_{\mathcal{M}} = 1/2 \mathcal{B}_i \partial \mathcal{B}_j / \partial x_j \). Here, the energy exchange terms can be expressed as \( \mathcal{P}_4 = \mathcal{P}_1 + \mathcal{P}_3 + \mathcal{P}_4 - \mathcal{P}_6 \), where \( \mathcal{P}_6 = \mathcal{B}_i' \mathcal{B}_j' \partial \mathcal{B}_i / \partial x_j \) exchange energy between the turbulent and mean magnetic fields. We can anticipate its appearance in the mean magnetic energy (MME) equations with an opposite sign.

\[
\frac{d \mathbf{\mathcal{M}}}{dt} = -\mathbf{\mathcal{D}} - \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j + \mathbf{\mathcal{P}}_4 \tag{4}
\]

where \( \mathcal{M} = 1/2 \mathcal{B}_i \mathcal{B}_j \) is the MME, \( \mathcal{B} = \mathcal{B}_i \mathcal{B}_j \) is the mean kinetic energy (MKE) budget equation is given below.

\[
\frac{d \mathbf{\mathcal{M}}}{dt} = -\mathbf{\mathcal{D}} - \partial \mathbf{\mathcal{T}}_{\mathcal{M}} / \partial x_j + \mathbf{\mathcal{P}}_4 \tag{3}
\]
size are given in \[2, 3\]. We choose two dynamos at \(\mathcal{R} = Ra/R_o \approx 10\) and \(Pm = 1\) where \(Ra\) is the Rayleigh number at the onset of non-magnetic rotating convection at \(E = 5 \times 10^{-7}\) \[3\]. At this Ekman number, the critical Rayleigh number has the value \(Ra_c = 3.830 \times 10^9\) for no-slip and \(Ra_c = 2.192 \times 10^9\) for free-slip boundary conditions. In table \[I\] the case R10Pm1N is simulated using no-slip and electrically conducting boundaries, whereas free-slip and pseudo-vacuum boundaries \[3\] are incorporated for the R10Pm1F case. The instantaneous snapshots of the magnetic field in the \(x_1\)-direction, as depicted in figures \[2\] and \(b\), illustrate the small-scale nature of the magnetic field produced by these turbulent dynamos. Another turbulent dynamo case has been simulated, by lowering \(Pm\) to 0.1, following \[6\], as denoted by R10Pm0.1F in table \[I\]. In this case, lowering \(Pm\) leads to large-scale magnetic field generation, as evident from figure \[2\]. Additionally, we simulate a case with \(\mathcal{R} = 2\) and \(Pm = 0.2\) and designate it as R2Pm0.2N. This case is also a large-scale dynamo with weakly-nonlinear convection \[11\] as demonstrated by the large-scale magnetic field in figure \[2]\.

The volume-averaged diagnostic parameters reported in table \[I\] outline the global behavior of these dynamos. The convective Rossby number, \(Ro_C = E(Ra/Pr)^{1/2}\), representing the ratio of inertia to Coriolis force, is of the order \(10^{-2}\), indicating the dominant role of the Coriolis force. Therefore, all the dynamos are produced by rapidly rotating convection with comparatively small inertia \[3\]. The reduced Rayleigh number \(\tilde{Ra} = RaE^{4/3}\) indicates that the dynamos operate in a turbulent state of the flow for \(\mathcal{R} = 10\), whereas weakly-nonlinear column convection can be observed for \(\mathcal{R} = 2\) \[2, 11\]. The reduced magnetic Reynolds number \(\tilde{Rm} = RmE^{1/3}\) represents the strength of electromagnetic induction relative to ohmic diffusion at the convective scales. Large-scale dynamos are expected to be found for \(\tilde{Rm} \lesssim O(1)\) \[6\]. The distinction between large- and small-scale dynamos \[I\] is apparent from the mean energy fraction \(\langle \mathcal{M} \rangle / M\), where \(M = \langle \mathcal{W} \rangle + \langle \mathcal{M} \rangle\). For the small-scale dynamos, the volume-averaged MME is three orders of magnitude smaller than the TME, while they are of the same order for the large-scale dynamos. Additionally, the fraction of magnetic energy \(M/E\), where \(E = M + K\) is the total energy, can be regarded as the efficiency of the dynamo action.

Figure \[3\] demonstrates the vertical variation of the horizontally averaged TKE terms in equation \[I\] for the four cases. All the terms in this equation have been averaged over time, and normalized by \((RaPr)^{1/2}/(Nu - 1)\) in the figure, so that the volume average of the source term (\(\mathcal{B}\)) is unity \[12\]. The Nusselt number \(Nu = qd/k\Delta T\), where \(q\) is the total vertical heat flux, is a non-dimensional measure of convective heat transport through the fluid layer, as reported in table \[I\]. At a statistically stationary state, there is a primary balance among the turbulent buoyancy flux (\(\mathcal{B}\)), TKE transport (\(\partial T_j/\partial x_j\)), viscous dissipation (\(\mathcal{D}\)) and the contribution to magnetic energy (\(\mathcal{P}\)) for all the dynamos. The individual components of \(\mathcal{P}\) and \(\partial T_j/\partial x_j\) are shown in the top and the bottom insets, respectively. For instance, in figure \[3\], the TKE is generated by \(\mathcal{B}\) in the bulk, which is partly transported by \(\partial T_j/\partial x_j\) towards the boundaries where \(\mathcal{D}\) dominates. Among the transport components, the pressure transport (\(\partial T_P/\partial x_j\)) is the primary mechanism that transfers TKE towards boundaries while the viscous transport is higher near the boundaries as observed in the bottom inset, similar to non-rotating non-magnetic RBC \[9\]. The vertical variation of all the terms, except \(\mathcal{P}\), are qualitatively similar.
with non-magnetic rotating convection \[3\] 14.

The small-scale dynamos R10Pm1N and R10Pm1F in figure 3a and 3b differ only in the boundary conditions. The choice of a no-slip boundary condition in R10Pm1N results in an Ekman layer near the boundaries. Moreover, the perfectly conducting magnetic boundary condition constrains the magnetic field to be horizontal near the boundaries as compared to a vertical magnetic field at the boundaries for a pseudo-vacuum boundary condition in R10Pm1F 3. For both of these dynamos, the small-scale production of magnetic energy \([\mathcal{P}_3]\) is the dominant component of \([\mathcal{P}]\). For R10Pm1N, the amount of TKE converted to IE via \(D\) is higher than the production of magnetic energy \([\mathcal{P}]\), whereas the latter is higher for R10Pm1F (see also table I). Another difference between these dynamos arises from the transport of TKE due to magnetic energy, which is dominated by the small-scale flux \(\mathcal{B}_i^j u_i^j B_j^i\), while the large-scale flux \(\mathcal{B}_i^j u_i^j B_j^i\) remains small. The small-scale magnetic field transports TKE towards the interior from the boundaries in R10Pm1F, while the same term is small for R10Pm1N. The viscous transport dominates the other transport terms near the boundaries for R10Pm1N. However, this term is small near the boundaries in R10Pm1F owing to the absence of an Ekman layer.

A comparison between the small-scale dynamo R10Pm1F with the large-scale dynamo R10Pm0.1F reveals significant differences in the energy pathways. The mean magnetic field plays an important role in converting TKE to TME through the term \(\mathcal{P}_1\) in R10Pm0.1F, while this term is insignificant for R10Pm1F. However, for both cases, the redistribution of TKE by the magnetic field plays a part in the budget with a major contribution from the small-scale flux \(\mathcal{B}_i^j u_i^j B_j^i\) in R10Pm1F, while the large-scale flux \(\mathcal{B}_i^j u_i^j B_j^i\) is significant for R10Pm0.1F. Additionally, we can contrast the large-scale turbulent dynamo R10Pm0.1F against a large-scale dynamo with weakly non-linear convection R2Pm0.2N in figure 3. For R2Pm0.2N, the conversion of TKE to TME occurs through \(\mathcal{P}_1\) while the small-scale production of TME, \(\mathcal{P}_3\) remains small. Among these four dynamos, the part of TKE that converts to IE due to \(D\) is higher, as compared with the conversion to magnetic energy via \(\mathcal{P}\), when no-slip boundary condition is used (see table I). The conversion to magnetic energy \(\mathcal{P}\) is higher with free-slip conditions, which makes R10Pm1F and R10Pm0.1F more efficient dynamos with higher magnetic energy fraction \(M/E\), as compared to the no-slip boundary condition. Also, the transport of TKE by the magnetic field is significant only for pseudo-vacuum magnetic boundary conditions.

The magnetic energy balance of the dynamos is presented in figure 4. The solid lines represent the terms in the TME budget and are plotted at the bottom abscissa. The terms of the MME budget are represented by the dashed lines and are plotted at the top abscissa. The energy flow direction of each dynamo in figure 4 is positive, representing a conversion of TKE to TME by the action of a large-scale and a small-scale magnetic field, respectively. The term \(\mathcal{P}_2\) is negative, indicating a generation of MME at the expense of TKE. The term \(\mathcal{P}_6\) has negative values indicating a conversion of MME to generate TME, except for the case R2Pm0.2N where the turbulent magnetic field provides energy to the mean magnetic field, exhibiting an upscale transfer of energy.

In figure 4a, the small-scale dynamo R10Pm1N has a primary balance between the small-scale production \(\mathcal{P}_3\) and the Joule dissipation \(\mathcal{D}^M\). In comparison to these
TME terms, the MME terms are three orders of magnitude smaller. The part of TKE that converts to MME ($P_2$) again transforms to TME, with a small mean dissipation $D^M$. A similar energy conversion is observed in $R10Pm1F$ in figure [4], though the MME budget terms are one order of magnitude higher than $R10Pm1N$. Unlike $R10Pm1N$, the transport of TKE $\partial T^M_j/\partial x_j$ plays a significant role in the balance in $R10Pm1F$ by redistributing the TME from the boundaries towards the interior of the domain. For the large-scale dynamos $R10Pm0.1$ in figure [4], the MME terms increase by another order of magnitude compared to the small-scale dynamo $R10Pm1F$. Further, the large-scale production of TME term $P_1$ now makes a significant contribution to the budget in the interior of the domain. In the MME budget, $P_2$ is partially balanced by $P_6$ in the interior. However, the rest of the MME is transported towards the boundary and converted to IE by Joule dissipation $D^M$. The large-scale dynamo in figure [4] demonstrates a transfer of TME to MME by $P_6$ near the boundaries. This is the only dynamo where both the small-scale velocity and magnetic fields provide energy to the mean magnetic field through $P_2$ and $P_6$. Additionally, the large-scale production $P_1$ is the primary source of TME generation whereas $P_3$ remains small in this case, in contrast to $R10Pm0.1F$ in figure [4].

In summary, we have performed direct numerical simulations of four dynamos to compare their magnetic and kinetic energy budgets. The small-scale dynamos $R10Pm1N$ and $R10Pm1F$ differ by the relative magnitude of small-scale magnetic energy production $P_3$ and viscous dissipation $D$, with the latter being higher for $R10Pm1N$. This indicates comparatively efficient dynamo action with free-slip, pseudo-vacuum boundaries that also promote the redistribution of TKE by the magnetic field, unlike a dynamo with no-slip, perfectly conducting boundaries. Nevertheless, the mean Joule dissipation is small for small-scale dynamos. The mechanism for transforming TKE to TME differs between a large- and a small-scale turbulent dynamo, with the large-scale production of TME $P_1$ playing a significant role in the former. This large-scale production $P_1$ becomes the dominant mechanism of TME production in the weakly nonlinear dynamo $R2Pm0.2N$. MME is produced from TKE via the term $P_2$ in the presence of a mean magnetic field gradient. For $R2Pm0.2N$, an upscale transfer of energy occurs through $P_6$, which produces MME at the expense of TME. The scaling of these energy budget terms in the limit of small viscous and inertial forces, following [15], should provide valuable insights into the mechanism of energy conversion in astrophysical dynamos. Additionally, a shell-to-shell energy transfer analysis [14] may elucidate further details on the mechanism of large-scale magnetic field generation.

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