Mutual exclusivity as a challenge for neural networks

Kanishk Gandhi\textsuperscript{1} and Brenden M. Lake\textsuperscript{1,2}
\textsuperscript{1}New York University; \textsuperscript{2}Facebook AI Research
Email: kanishk.gandhi@nyu.edu

Abstract

Strong inductive biases allow children to learn in fast and adaptable ways. Children use the mutual exclusivity (ME) bias to help disambiguate how words map to referents, assuming that if an object has one label then it does not need another. In this paper, we investigate whether or not standard neural architectures have a ME bias, demonstrating that they lack this learning assumption. Moreover, we show that their inductive biases are poorly matched to early-phase learning in several standard tasks: machine translation and object recognition. There is a compelling case for designing neural networks that reason by mutual exclusivity, which remains an open challenge.

1 Introduction

Children are remarkable learners, and thus their inductive biases should interest machine learning researchers. To help learn the meaning of new words efficiently, children use the “mutual exclusivity” (ME) bias – the assumption that once an object has one name, it does not need another\textsuperscript{1} (Figure 1). In this paper, we examine whether or not standard neural networks demonstrate the mutual exclusivity bias, either as a built-in assumption or as a bias that develops through training. Moreover, we examine common benchmarks in machine translation and object recognition to determine whether or not a maximally efficient learner should use mutual exclusivity.

When children endeavour to learn a new word, they rely on inductive biases to narrow the space of possible meanings. Children learn an average of about 10 new words per day from the age of one until the end of high school\textsuperscript{2}, a feat that requires managing a tractable set of candidate meanings. A typical word learning scenario has many sources of ambiguity and uncertainty, including ambiguity in the mapping between words and referents. Children hear multiple words and see multiple objects within a single scene, often without clear supervisory signals to indicate which word goes with which object\textsuperscript{3}.

The mutual exclusivity assumption helps to resolve ambiguity in how words maps to their referents. Markman and Wachtel\textsuperscript{1} examined scenarios like Figure 1 that required children to determine the referent of a novel word. For instance, children who know the meaning of “cup” are presented with two objects, one which is familiar (a cup) and another which is novel (an unusual object). Given these two objects, children are asked to “Show me a dax,” where “dax” is a novel nonsense word. Markman and Wachtel found that children tend to pick the novel object rather than the familiar object. Although it is possible that the word “dax” could be another word for referring to cups, children predict that the novel word refers to the novel object – demonstrating a “mutual exclusivity” bias that familiar objects do not need another name. This is only a preference; with enough evidence, children must eventually override this bias to learn hierarchical categories: a Dalmatian can be called a “Dalmatian,” a “dog,” or a “mammal”\textsuperscript{4}. As an often useful but sometimes misleading cue, the ME bias guides children when learning the words of their native language.
Figure 2: Evaluating mutual exclusivity in a feedforward (a) and seq2seq (b) neural network. (a) After training on a set of known objects, a novel label (“dax”) is presented as a one-hot input vector. The network maps this vector to a one-hot output vector representing the predicted referent, through an intermediate embedding layer and an optional hidden layer (not shown). A representative output vector produced by a trained network is shown, placing almost all of the probability mass on known outputs. (b) A similar setup for mapping sequences of labels to their referents. During the test phase a novel label “dax” is presented and the ME Score at that output position is computed.

It is instructive to compare word learning in children and machines, since word learning is also a widely studied problem in machine learning and artificial intelligence. There has been substantial recent progress in object recognition, much of which is attributed to the success of deep neural networks and the availability of very large datasets [5]. But when only one or a few examples of a novel word are available, deep learning algorithms lack human-like sample efficiency and flexibility [6]. Insights from cognitive science and cognitive development can help bridge this gap, and ME has been suggested as a psychologically-informed assumption relevant to machine learning [7]. In this paper, we examine standard neural networks to understand if they have a ME bias. Moreover, we analyze whether or not ME is a good assumption in common learning scenarios such as machine translation and object recognition.

2 Related work

Children utilize a variety of inductive biases like mutual exclusivity when learning the meaning of words [2]. Previous work comparing children and neural networks has focused on the shape bias – an assumption that objects with the same name tend to have the same shape, as opposed to color or texture [8]. Children acquire a shape bias over the course of language development [9], and neural networks can do so too, as shown in synthetic learning scenarios [10, 11] and large-scale object recognition tasks [12] (see also [13] and [14] for alternative findings). This bias is related to how quickly children learn the meaning of new words [9], and recent findings also show that guiding neural networks towards the shape bias improves their performance [15]. In this work, we take initial steps towards a similar investigation of the ME bias in neural networks. Compared to the shape bias, ME has broader implications for machine learning systems; as we show in our analyses, the bias is relevant beyond object recognition.

Closer to the present research, a recent study [16] analyzed a ME-like effect in neural machine translation systems at the sentence level, rather than the word level considered in developmental studies and our analyses here. Cohn-Gordon and Goodman [16] showed that neural machine translation systems often learn many-to-one sentence mappings that result in meaning loss, such that two different sentences (meanings) in the source language are mapped to the same sentence (meaning) in the target language. Using a trained network, they show how a probabilistic pragmatics model [17] can be used as a post-processor to preserve meaning and encourage one-to-one mappings. These sentence-level biases do not necessarily indicate how models behave at the word level, and we are interested in the role of ME during learning rather than as a post-processing step. Nevertheless, Cohn-Gordon and Goodman’s results are important and encouraging, raising the possibility that ME could aid in training deep learning systems.
3 Do neural networks reason by mutual exclusivity?

In this section, we investigate whether or not standard neural network models have a mutual exclusivity bias. Paralleling the developmental paradigm [1], ME is analyzed by presenting a novel stimulus (“Show me the dax”) and asking models to predict which outputs (meanings) are most likely. The strength of the bias is operationalized as the aggregate probability mass placed on the novel rather than the familiar meanings.

Our analyses relate to classic experiments by Marcus on whether neural networks can generalize outside their training space [18, 19]. Marcus showed that a feedforward autoencoder trained on arbitrary binary patterns fails to generalize to an output unit that was never activated during training. Our aim is study whether standard architectures can recognize and learn a more abstract pattern – a perfect one-to-one mapping between input symbols and output symbols. Specifically, we are interested in model predictions regarding unseen meanings given a novel input. We also test for ME using modern neural networks in two settings using synthetic data: classification (feedforward classifiers) and translation (sequence-to-sequence models).

3.1 Classification

Synthetic data. We consider a simple one-to-one mapping task inspired by Markman and Watchel [1]. The dataset consists of 100 pairs of input and output patterns, each of which is a one-hot vector of length 100. Each input vector represents a label (e.g., ‘hat’, ‘cup’, ‘dax’) and each output vector represents a possible referent object (meaning). Figure 2a shows the input and output patterns for the ‘dax’ case, and similar patterns are defined for the other 99 input and output symbols. A one-to-one correspondence between each input symbol and each output symbol is generated through a random permutation, and there is no structure to the data beyond the arbitrary one-to-one relationship.

Models are trained on 90 name-referent pairs and evaluated on the remaining 10 test pairs. No model can be expected to know the correct meaning of each test name – there is no way to know. But model predictions can vary in their plausibility, and there are structural patterns that an observant learner could discover and utilize to make predictions. First, there is a precise one-to-one relationship exemplified by the 90 training items, and thus the 10 test items could reasonably be assumed to conform to this same relationship, especially if the network architecture has exactly 10 unused input symbols and 10 unused output symbols. Second, the perfect one-to-one relationship ensures a perfect ME bias in the structure of the data. Although a learner may not know precisely which new output symbol a new input symbol refers to, it could plausibly guess that the new input symbol will correspond to one of the known output symbols rather than one of the known output symbols – the past 90 patterns demonstrated this perfect relationship. An observant model should pick up on (and use) the ME assumption, predicting that an output unit with a known label does not need another.

Mutual exclusivity. Mutual exclusivity can be measured during or after the training process. Models are presented with a novel input symbol and the predicted output pattern is analyzed. We define a ME score by measuring the aggregate probability assigned to all of the novel output symbols. Let us denote the training symbol by $Y$, drawn from the data distribution $(X, Y) \sim D$ and the held out symbols $Y'$ drawn from $(X', Y') \sim D'$. The mutual exclusivity score is the sum probability assigned to unseen output symbols $Y'$ on being presented with a novel input symbol $x \in X'

\[
\text{ME Score} = \frac{1}{|D|} \sum_{(x, y) \in D'} \sum_{y \notin Y'} P(f_{net}(x) = y|x_i),
\]

averaged over each of the test items. A network with a perfect ME score will have a value of 1.0. For the case in Figure 2a, the probability assigned to the novel output symbol is 0.01 and thus the corresponding ME Score is 0.01. The challenge is to get a high ME score for novel (test) items while also correctly classifying known (training) items.

Neural network architectures. A wide range of standard neural networks are evaluated on the mutual exclusivity test. We use an embedding layer to map the input symbols to vectors of size 20 or 100, followed optionally by a hidden layer, and then by a 100-way softmax output layer. The networks are trained with different activation functions (ReLUs [20], TanH, Sigmoid), optimizers (Adam [21], Momentum, SGD), learning rates (0.1, 0.01, 0.001) and regularizers (weight decay, batch-normalisation [22], dropout [23], and entropy regularization). The models
are trained to maximize log-likelihood. All together, we evaluated over 400 different models on the synthetic ME task.

**Results.** Several representative training runs with different architectures are shown in Figure 3. The ideal mutual exclusivity score is 1; for a novel input, the network would assign all the probability mass to the unseen output symbols. In contrast, none of the configurations and architectures tested behave in this way. As training progresses, the mutual exclusivity score (solid blue line; Figure 3) tends to fall along with the training loss (red line). In fact, almost all of the networks acquire a strong anti-mutual exclusivity bias, transitioning from a initial neutral bias to placing most or all of the probability mass on familiar outputs (seen in Figure 4). An exception to this pattern is the entropy regularized model, which maintains a score equivalent to an untrained network. In general, trained models strongly predict that a novel input symbol will correspond to a known rather than unknown output symbol, in contradiction to ME and the organizing structure of the synthetic data.

Further informal experiments suggest these architectures do not learn this one-to-one regularity regardless of how many input/output symbols are provided in the training set. Even with thousands of training examples demonstrating a one-to-one pattern, the networks do not learn this abstract principle. Other tweaks were tried in an attempt to induce ME, including eliminating the bias units or normalizing the weights, yet we were unable to find an architecture that reliably demonstrated the ME effect.

### 3.2 Sequence-to-sequence learning.

**Synthetic data.** Next we evaluate if a different class of models, sequence-to-sequence (seq2seq) neural networks [24], take better advantage of ME structure in the data. This popular class of models is used in machine translation and other natural language processing tasks, and thus the nature of their inductive biases is critical for many applications. As in the previous section, we create a synthetic dataset that has a perfect ME bias: each symbol in the source maps to exactly one symbol in the target. We also have a perfect alignment in the dataset, so that each token in the source corresponds to the token at the same position in the target. The task is illustrated in Figure 2b. We consider translation from sequences of words to sequences of referent symbols.

The dataset consists of 20 label-referent pairings. Ten pairs are used to train the model and familiarize the learning algorithm with the task. The remaining ten pairs were used in the test phase. To train the model, we generate 1000 sequences of words whose lengths range from 1 to 5. To generate sequences for the test phase, words in the training sequences are replaced with new words with a probability of 0.2. Thus, 1000 sequences are used to test for ME. The ME score is evaluated using Equation 1 at positions in the output sequence where the
corresponding input is new. As shown in Figure 2b, the ME score is evaluated in the second position which corresponds to the novel word “dax,” using the probability mass assigned to the unseen output symbols.

Neural network architectures. We probe a seq2seq model that has a recurrent encoder using Gated Recurrent Units (GRUs) [25] and a GRU decoder. Both the encoder and decoder had embedding and hidden sizes of 256. Dropout [26] with a probability of 0.5 was used during training. The networks are trained using an Adam [21] optimizer with a learning rate of 0.001 and a log-likelihood loss. Two versions of the network were trained with and without attention [27].

Results. Results are shown in Figure 5 and confirm our findings from the feedforward network. The ME score falls to zero within a few training iterations, and the networks fail to assign any substantial probability to the unseen classes. The networks achieve a perfect score on the training set, but cannot extrapolate the one-to-one mappings to unseen symbols. Again, not only do seq2seq models fail to show a mutual exclusivity bias, they acquire an anti-mutual exclusivity bias that goes against the structure of the dataset.

3.3 Discussion

The results show that standard neural networks fail to reason by mutual exclusivity when trained in a variety of typical settings. The models fail to take advantage of the perfect one-to-one mapping (ME bias) seen in the synthetic data, predicting that new symbols map to familiar outputs in a many-to-many fashion.

Although our focus is on neural networks, this characteristic is not unique to this model class. Rather it may be a general characteristic of flexible models trained to maximize log-likelihood. In a trained network, the optimal activation value for an unused output node is zero: for any given training example, increasing value of an unused output simply reduces the available probability mass for the target output. Using other loss functions could result in different outcomes, but we also did not find that weight decay and entropy regularization could fundamentally alter the use of novel outputs. In the next section, we investigate if the lack of ME could hurt performance on common learning tasks such as machine translation and image classification.

4 Should neural networks reason by mutual exclusivity?

Mutual exclusivity has implications for a variety of common learning settings. Mutual exclusivity arises naturally in lifelong learning settings, which more realistically reflect the “open world” characteristics of human cognitive development. Unlike epoch-based learning, a lifelong learning agent does not assume a fixed set of concepts and categories – new concepts can be introduced at any point during learning. An intelligent learner should be sensitive to this possibility, and ME is one means of intelligently reasoning about the meaning of novel stimuli.

The relevance of ME to lifelong learning is self-evident, but its relevance to more common epoch-based training procedures is less clear. In epoch-based learning, the set of possible classes is (often unrealistically) known in advance of training, and test classes are also drawn from this known set. In the sections below, we analyze common epoch-based learning scenarios and benchmarks to understand the potential relevance of mutual exclusivity, even with an ecologically invalid assumption about a closed set of possible classes.
### Table 1: Datasets used to analyze ME in machine translation.

| Name      | Languages          | Sentence Pairs | Vocabulary Size |
|-----------|--------------------|----------------|-----------------|
| IWSLT’14  | Eng.-Vietnamese    | ∼133K          | 17K(en), 7K(vi) |
| WMT’14    | Eng.-German        | ∼4.5M          | 50K(en), 50K(de) |
| WMT’15    | Eng.-Czech         | ∼15.8M         | 50K(en), 50K(cs) |

### 4.1 Machine translation

In this section, we investigate if mutual exclusivity could be a helpful bias when training machine translation models. From the previous experiments, we know that the type of sequence-to-sequence (seq2seq) models used for translation acquire an anti-ME bias over the course of training. Would a translation system benefit from assuming that a single word in the source sentence maps to a single word in the target sentence, and vice-versa? This assumption is not always correct since synonymy and polysemy are prevalent in natural languages, and thus the answer to whether or not ME holds is not absolute. Instead, we seek to measure the degree to which this bias holds in epoch-based learning on real datasets, and compare this bias to the inductive biases of models trained on these datasets.

#### Datasets.

We analyze three common datasets for machine translation, each consisting of pairs of sentences in two languages (Table 1). The vocabularies are truncated based on word frequency in accordance with the standard practices for training neural machine translation models [28, 27, 29].

#### Mutual exclusivity.

There are several ways to operationalize mutual exclusivity in a machine translation setting. Mutual exclusivity could be interpreted as whether a new word in the source sentence ("Xylophone" in English) is likely to be translated to a new word in the target sentence ("Xylophon" in German), as opposed to a familiar word. Since the word alignments are difficult to determine and not provided with the datasets, we instead measure a reasonable proxy: if a new word is encountered in the source sequence, is a new word also encountered in the target sentence? For a source sentence $S$ and an arbitrary novel word $N_S$, and a target sentence $T$ and a novel word $N_T$, we measure a dataset’s ME Score as the conditional probability $P(N_T \in T | N_S \in S)$. A hypothetical translation model could compute whether or not $N_S \in S$ by checking if the present word is absent from the vocabulary-so-far during the training process. Thus this conditional probability is an easily-computable cue for determining whether or not a model should expect a novel output word.

For the three datasets, we consider both forward and backward translation to get six scenarios for analysis. Here, we are interested in the initial stages of training, particularly the initial samples of the first training epoch. The probability $P(N_T \in T | N_S \in S)$ is estimate for a sample of 100 randomly shuffled sequences of the dataset sentence pairs.

#### Results and Discussion.

The measures of conditional probability in the six scenarios are shown in Table 2. There is a consistent pattern through the trajectory of early learning: the conditional probability $P(N_T \in T | N_S \in S)$ is high initially for thousands of initial sentence presentations, but then wanes as the network encounters more samples from the dataset. For a large part of the initial training, a seq2seq model would benefit from predicting that previously unseen words in the source language are more likely to map to unseen words in the target language. Moreover, this conditional probability is always higher than the base rate of encountering a new word, indicating that conditioning on the novelty of the input provides substantial additional signal for predicting novelty in the output. Nevertheless, even the base rate suggests that a model should expect novel words for a substantial portion of early training. This is stark contrast to the results in Section 3.2 showing that seq2seq models quickly acquire an anti-ME assumption, and their expectation of mapping novel inputs to novel outputs decays rapidly as training progresses (Figure 5).
4.2 Image classification

Similar to translation, we examine if object classifiers would benefit from reasoning by mutual exclusivity during the training process. Ideally, we model the probability that an object belongs to a novel class based on its similarity to previous samples seen by the model (e.g., outlier detection). Identifying that an image belongs to a novel class is non-trivial, and instead we calculate the base rate for classifying an image as “new” while a learner progresses through the dataset. This measure can be seen as a lower bound on the usefulness of ME through the standard training process, since this calculation assumes a blind learner that is unaware of any novelty signal present in the raw image.

Datasets. This section examines the Omniglot dataset [30] and the ImageNet dataset [31]. The Omniglot dataset has been widely used to study few-shot learning, consisting of 1623 classes of handwritten characters with 20 images per class. The ImageNet dataset consists of about 1.2 million images from 1000 different classes.

Mutual exclusivity. To measure ME throughout the training process, we examine if an image encountered for the first time while training belongs to a class that has not been seen before. This is operationalized as the probability of encountering an image from a new class \( N \) as a function of the number of images seen so far \( t \), \( P(N|t) \). This analysis is agnostic to the content of the image, and only depends on whether an image and class have been seen before. As before, the analysis is performed using ten random runs through the dataset.

We contrast the statistics of the datasets by comparing them to the ME Score (Equation 1) of the classifiers trained on the datasets. For Omniglot, a convolutional neural network was trained on 1623-way classification. The architecture consists of 3 convolutional layers (each consisting of \( 5 \times 5 \) kernels and 64 feature maps), a fully connected layer (\( 576 \times 128 \)) and a softmax classification layer. It was trained with a batch size of 16 using an Adam optimizer and a learning rate of 0.001. For Imagenet, a Resnet18 model [32] was trained on 1000-way classification with a batch size of 256, using an Adam optimizer and a learning rate of 0.001. The probability mass assigned to the unseen classes is recorded after each optimizer step, as computed using Equation 1.

Results and Discussion. The results are summarized in Figure 7 and Table 3. The probability that a new image belongs to an unseen class \( P(N|t) \) is high for a substantial portion of the initial pass through the dataset. The probability does not fall below 0.1 in either dataset until at least 10,000 images have been encountered, and it eventually approaches zero.
only because of the epoch-based learning structure of the training. Comparing the statistics of the datasets to the inductive biases in the classifiers, the ME score for the classifiers is substantially lower than the baseline ME measure in the dataset, $P(N|t)$ (Table 3). For instance, the ImageNet classifier drops its ME score below 0.1 after about 1,000 images, while the approximate ME measure for the dataset shows that new classes are encountered at above this rate until at least 10,000 images. We found that higher learning rates can force the probabilities assigned to unseen classes to zero on ImageNet after just a single gradient step.

Table 3: Number of images after which the ME Score falls below threshold.

| Score | Omniglot Classifier | Omniglot Classifier | Imagenet Classifier | Imagenet Classifier |
|-------|---------------------|---------------------|---------------------|---------------------|
| 0.9   | 350                 | 161                 | 196                 | 5                   |
| 0.5   | 2669                | 1041                | 1592                | 316                 |
| 0.1   | 16229               | 3297                | 10000               | 1012                |

These results suggest neural classifiers may train suboptimally due to a lack of ME bias, especially in the early phases of learning. Moreover, in more naturalistic lifelong learning settings when a new class may appear at any point, these classifiers would be further hurt by their lack of ME and their failure to consider that new stimuli likely map to new classes. Ideally, a learning algorithm should be capable of leveraging the image content, combined with its own learning maturity, to decide how strongly it should reason by ME. Instead, standard models and training procedures do not provide these capabilities.

5 General Discussion

Children use the mutual exclusivity (ME) bias to learn the meaning of new words efficiently, yet standard neural networks learn very differently. Our results show that standard deep learning algorithms lack the ability to reason with ME, including feedforward networks and recurrent sequence-to-sequence models trained to maximize log-likelihood with common regularizers. Beyond simply lacking this bias, these networks learn an anti-ME bias, preferring to map novel inputs to familiar and frequent (rather than unfamiliar) output classes. Our results also show that these characteristics are poorly matched to the structure of common machine learning tasks. ME can be used as a cue for generalization in common translation and classification tasks, especially in the early stages of training. But neural nets may be currently stymied by their lack of ME bias, ignoring a powerful assumption about the structure of learning tasks.

Mutual exclusivity may be relevant in other learning scenarios. Recent work has contrasted the ability of humans and neural networks to learn compositional instructions from just one or a few examples, finding that neural networks lack the ability to generalize systematically [33, 7]. The authors suggest that people rely on ME in these learning situations [7], and thus few-shot learning approaches could be improved by utilizing this bias as well.

In the analyses presented here, ME ceases, as defined here, to be useful after the learner has seen all the samples in the dataset, and thus there is no novelty left in the input or output spaces. An objection to our suggestion for integrating ME into learning algorithms is that it is primarily helpful in only the first epoch, but there are several ways that ME could be useful beyond this point. First, ME can be generalized from applying to “novel versus familiar” stimuli to instead handling “rare versus frequent” stimuli (e.g., in translation, rare source words may map to rare target words). During epoch-based learning, neural networks take longer to acquire rare stimuli and patterns of exceptions [34], often mishandling these items for many epochs by mapping them to familiar responses. More importantly, we see learning through...
epochs - sweeps through a static dataset – as a limited notion of learning with little connection to cognitive development. Children and adults learn in an open world with some probability of encountering a new class at any point, resembling the first epoch of training a neural net only. Moreover, the distribution of categories is neither uniformly distributed nor randomly shuffled [35]. The ME assumption will be increasingly important as learners tackle more continual, lifelong, and large-scale learning challenges [36].

Mutual exclusivity is an open challenge for neural networks. Previous cognitive models of word learning have found ways to incorporate the ME bias [37, 38], yet they do not yet offer a general solution. Although successful in reasoning by ME in some domains, these models are highly simplified or require built-in mechanisms for implementing ME, making them so far impractical for use in realistic settings. The ME bias could also be learned via meta learning or learning to learn strategies [39, 40], with the advantage of calibrating the bias to the dataset itself rather than assuming its strength a priori. For example, the meta learning model of [41] seems capable of learning a ME bias, although it was not specifically probed in this way. Recent work by [42] demonstrated that neural nets can learn to reason by ME if trained explicitly to do so using meta seq2seq learning, showing these abilities are within the repertoire of modern tools. However acquiring ME is only a step toward the distinct challenge proposed here: using ME to facilitate efficient lifelong learning or large-scale classification and translation.

In conclusion, standard deep neural networks do not naturally reason by mutual exclusivity, but designing them to do so could lead to faster and more flexible learners. There is a compelling case for building models that learn through mutual exclusivity.

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