Combining texture features of whole slide images improves prognostic prediction of recurrence-free survival for cutaneous melanoma patients

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Abstract

**Background:** Accurate prediction of recurrence-free survival (RFS) is important for the prognosis of cutaneous melanoma patients. The image-based pathological examination remains as the gold standard for diagnosis. It is of clinical interest to account for computer-aided processing of pathology image when performing prognostic analysis.

**Methods:** We enrolled in this study a total of 152 patients from TCGA-SKCM with complete information in recurrence-related survival time, baseline variables (clinicopathologic variables, mutation status of BRAF and NRAS genes), gene expression data and whole slide image (WSI) features. We preprocessed WSI to segment global or nucleus areas, and extracted 3 types of texture features from each region. We performed cross validation and used multiple evaluation metrics including C-index and time-dependent AUC to determine the best model of predicting recurrence events. We further performed differential gene expression analysis between the higher and lower-risk groups within AJCC pathologic tumor stage III patients to explore the underlying molecular mechanisms driving risk stratification.

**Results:** The model combining baseline variables and WSI features had the best performance among models with any other types of data integration. The prognostic risk score generated by this model could provide a higher-resolution risk stratification within pathologically-defined subgroups. We found the selected image features captured important immune-related variations, such as the aberration of expression in T cell activation and proliferation gene sets, and therefore contributed to the improved prediction.

**Conclusions:** Our study provided a prognostic model based on the combination of baseline variables and computer-processed WSI features. This model provided more accurate prediction than models based on other types of data combination in recurrence-free survival analysis.

**Trial registration:** This study was based on public open data from TCGA and hence the study objects were retrospectively registered.

Background

Melanoma is a type of skin cancer with a high mortality rate. In 2018, 287,732 new cases and 60,712
deaths of melanoma were registered worldwide[1]. Cutaneous melanoma, which accounts for over 90% of melanoma cases, remains one of the most aggressive forms of skin cancer and shows an increasing incidence and mortality rate globally[2, 3]. Improving prognosis of cutaneous melanoma patients has important implications for a better management of the disease. Routine prognosis method uses clinicopathologic features including Breslow tumor thickness, ulceration, mitotic index, Clark level and AJCC (The American Joint Committee on Cancer) pathologic tumor stage[4, 5]. Whether such method can be improved with the addition of WSI or high-throughput sequencing data is under active investigation.

Many previous studies had attempted to develop prognostic models using different types of variables including clinicopathologic, mutation, mRNA, microRNA, and methylation variables. Zhao et al.[6] identified a 25-gene signature that can effectively estimate the level of immune cell infiltration in melanoma, providing a robust biomarker significantly related to survival outcome (disease-specific survival, post-recurrence survival, or overall survival). Multidimensional omics data were also utilized to provide more accurate prediction. Jayawardana et al.[7] developed models to classify 1-year and 4-year survival status based on clinicopathologic, mutation, mRNA, microRNA, protein information and their different combinations. They identified that models based on the combination of clinicopathologic variables and mRNA expression profile performed the best under a cross-validation framework. Jiang et al.[8] used sparse PCA and partial least squares methods to take whole multidimensional omics profiles into consideration. Their methods showed a significant increase of C-index values of overall survival prediction. However, these studies had not extended to including WSI features, while studies that did use image data were not focused on the prediction of survival outcomes. For example, Lu et al.[9] proposed a diagnostic model based on epidermis segmentation, keratinocytes segmentation, melanocytes detection and feature construction on whole slide images. This technique achieved a classification accuracy of 90% for skin tissue malignancy. Failmezger et al. [10] analyzed the spatial association between different types of nodes in WSI. They identified that two stromal features (stroma clustering and stromal barrier) had significant coefficients in a Cox model.

To our knowledge, few studies proposed pathology image-based prediction models for recurrence-free
survival analysis.

Our work presented a prognostic model based on baseline variables and texture features extracted from WSI. This model provided a higher accuracy in predicting recurrence-free survival than baseline variables-based model or models with other types of data integration. The extracted WSI features contributed to the improved prediction by capturing variations in immune-related gene expressions.

Methods
Patients and samples
This study was performed using 152 patients from the TCGA-SKCM[11] (The Cancer Genome Atlas Skin Cutaneous Melanoma) project. The study work flowchart was shown in Fig. 1. We focused on recurrence-free survival analysis in this study. For each patient, the recurrence status was defined as 1 for those who had experienced recurrence and as 0 for censoring. The censoring time was set as the death time if one had the record of death or as last follow-up time if otherwise.

We collected 4 types of data for RFS analysis: clinicopathologic variables, high-throughput gene mutational profile, high-throughput gene expressional profile and WSI features. For clinicopathologic variables, 4 covariates were included: age at diagnosis, gender, primary location and AJCC pathologic tumor stage. We selected the mutation status of NRAS and BRAF genes to represent the gene mutation data as previously described[7]. The mutation status was coded as 1 if there was at least one somatic mutation within the gene. These clinicopathologic variables and gene mutations were used as the baseline variables for following model development. The distributions of these variables were summarized in Table 1. For gene expression, we used the FPKM (fragments per kilobase of exon model per million reads mapped) value to represent gene expression levels. We kept genes by following criteria: 1) mean of FPKM across all patients is greater than 1; 2) have non-zero expression in more than 60% patients (91 patients); 3) standard deviation of log-transformed FPKM across samples is greater than 0.5. As a result, a total of 5277 genes were retained for further analysis. A total of 280 features were extracted from each WSI.
Table 1
Summary of distributions of baseline variables.

| Baseline variables                  | Summary                      |
|-------------------------------------|------------------------------|
| Age at diagnosis                    | mean = 60.06, std = 14.05    |
| Gender                              | 92 males                     |
| Primary location                    | 60 metastatic, 92 locoregional |
| AJCC pathologic tumor stage         | 67 stage III&IV, 85 stage < III |
| BRAF                                | 82 mutated                   |
| NRAS                                | 32 mutated                   |
| Additional files                    |                              |
| Additional file 1                   |                              |
| Supplementary Tables                |                              |

Whole slide image processing and feature extraction

All the melanoma tissue slides were stained by hematoxylin and eosin (H&E) and scanned by Aperio Digital Pathology Slide Scanner. Ten slides were magnified 20 times (20X) and the other 142 slides were magnified 40 times (40X). We extracted 3 types of texture features in 2 regions of interest (ROI): global and nucleus ROI, respectively. This process consisted of two steps: region segmentation and feature extraction.

For region segmentation, we first applied OTSU[12] method to segment the foreground of WSI and retained the region with maximum area. We then segmented global and nucleus ROI from this region.

For each 20X slide, the global ROI was defined as a block with a size of 16,000 16,000 pixels, while the block size was 32,000 32,000 for each 40X slide. We covered as much foreground as possible and finally the mean ratio of foreground areas in all global ROIs was 86%. To develop macro observation and speed up the computations, we shrunk all the global ROIs to 1000 1000 pixels. For nucleus ROI, we first sampled 20 blocks with a size of 1000 1000 pixels for 40X slides or 500 500 pixels for 20X slides. These blocks were latter resized to 500 500 pixels. We then segmented nuclei from these blocks by following steps:

1. Use color deconvolution[13] to convert each block from RGB channels into HEO channels;
2. Apply the locally adaptive threshold segmentation within each block to pre-identify the nuclei region and apply morphology opening to widen the segmentation edges[14];
3. Use OTSU to compute a global gray-level threshold in the H-channel image;
4. Retain pixels whose gray-level was higher than the threshold and who were located...
5. Set area threshold to each connected component and perform morphology operations to optimize the shape.

The connected components were considered as the nuclei regions. We cropped the rectangle centering around a nucleus and included its 5-pixel dilation region as the nucleus ROI. For each block, only 20 nuclei were randomly sampled for further analysis. Three examples of nucleus segmentation were shown in Figure S1.

For feature extraction, we extracted 24 GLCM (gray level cooccurrence matrix) features, 16 GLRLM (gray level run length matrix) features and 16 GLSZM (gray level size zone matrix) features[15] from each ROI. For nucleus ROIs from the same WSI, we calculated their mean, standard deviation, range and disorder as the summary statistics[16]. This resulted in a total of 224 nucleus features and 56 global features. Image processing and feature extraction were performed by Python 3.7 and packages including “Pyradiomics”[15].

Modeling and evaluation
We performed QR decomposition-based method[17] to reduce linear dependencies among the gene expressional profile before model fitting. In addition to the three types of features mentioned above, we also combined different types of features as new feature sets for model development. The number of features in each set was summarized in Table S1.

We performed 3-fold cross validation for models developed based on each feature set. In each fold, a lasso Cox model was trained on the training set and was tested on the validation set. Lasso is a method to regularize model and select features concurrently. It constrains the regression coefficients by adding $\ell_1$ norm term to cost function. For Cox PH model, the lasso form of optimal function is given as[18, 19]: (see Equation 1 in the Supplementary Files)

Differential gene expression analysis
For differential gene expression analysis, the TMM (the trimmed mean of M values) [21] method was used to normalize the expected gene count data. Only genes whose mean of counts was more than 15 reads and with at least 1 read in every sample were retained for normalization. This resulted in a
total of 17,107 genes used for downstream analysis. The normalized counts were fitted into negative binomial GLM for differential expression analysis using edgeR[22] with tag-wise dispersion. Multiple testing was corrected by Benjamini-Hochberg procedure[23] to control the false discovery rate (FDR) and to obtain the adjusted p-values.

Gene ontology enrichment analysis was performed by GOseq[24], where the differentially expressed genes identified as described above were supplied as the input for genes of interest. The GOseq is able to adjust the confounding effects due to varying transcript lengths and expression levels when analyzing over-representation of gene categories, and is thus particularly suitable for RNA sequencing data. We also used clusterProfiler[25] as a comparison. The clusterProfiler is able to measure semantic similarity among GO terms to reduce the redundancy of GO enrichment results.

Results
Workflow and patient characteristic
The study workflow was shown in Fig. 1. We performed RFS modeling based on three types of variables: baseline variables (including clinicopathologic variables, and mutation status of NRAS and BRAF genes), gene expressional profile and WSI features. We developed 7 sets of features based on these three types of data (Table S1). The model performance was evaluated by C-index and time-dependent AUC on 3-fold cross validation. We further presented the potential application of the best model under clinical setting. We also performed differential gene expression analysis between the higher and lower-risk subgroups stratified by our model for AJCC stage III patients.

We enrolled a total of 152 patients with complete information in recurrence-related survival time, baseline variables, gene expression data and WSI features. A total of 82 patients had experienced recurrence, while 65 had last follow-up time and 5 died without recurrence. We performed Kaplan-Meier estimation for all patients and the RFS probability curve was shown in Figure S2. The median survival time was 1,757 days.

Model comparisons
We compared the performance of models developed based on each single type of data to assess the prognostic power of single-type feature sets. The C-index of models based on baseline variables (mean/std = 0.654/0.014) was the highest (Table S2, Figure S3). The models based on gene
expression or WSI features had a slight difference (mean/std of C-index: expr = 0.639/0.039, im = 0.635/0.033). To evaluate the prediction accuracy at each time point, we computed the time-dependent AUC on the validation results. As shown in Fig. 2A, the models based on baseline variables showed obvious superiority until about day 2,500. In contrast, the model based on WSI features had increasing prediction accuracy since about day 1,500. This motivated the combinatorial modeling, as combing baseline and WSI feature in survival prediction might utilize the prediction advantage of single-type data-based model within specific time intervals.

We then developed models based on combinations of different types of data, and compared their prediction performance. For WSI image analysis, we extracted texture features from global regions or segmented nucleus regions (Figure S1). As summarized in Tables S2, the best C-index (mean/std = 0.772/0.029) was achieved by the model combining baseline variables and WSI features (Figure S3). As shown in Fig. 2B, such model also had the best performance at almost every time point as measured by time-dependent AUC (mean/std of time-dependent AUC = 0.785/0.038), indicating a clear benefit of data integration. We therefore used this feature set and the optimal penalty (Figure S3) to develop a lasso Cox model on all patients. This model was used as the final prognostic model and the coefficients of selected features were showed in Table S3.

The image-based prognostic model
The proposed model included 20 WSI features and 5 baseline variables. For the WSI features, 14 of them were extracted from nucleus ROIs and 6 were from global ROIs. The computational formula of each feature was shown in Supplementary Methods. A positive value of coefficient represented that the hazard of recurrence would increase with the feature values. As shown in Table S3, the 3 largest absolute values of the coefficients were from GLRLM (RunEntropy_std and ShortRunEmphasis_range) and GLCM (Idn_range) in nucleus ROIs. Both the RunEntropy and ShortRunEmphasis were the measurement of the distribution of run lengths. The Idn (Inverse Difference Normalized) quantified the local homogeneity within nucleus ROI, which could be low value if there was necrosis or dissolution of nucleus. Since the standard deviation or range of these features was significant predictor in the model, we inferred that the variance of nuclei shape, surrounding textures and
homogeneity contributed to an effective prognostic analysis. For the features extracted from global ROIs, the $glcm_{Idmn}$ and $glzm_{Large Area High Gray Level Emphasis}$ had the largest absolute coefficients. The $Idmn$ (Inverse Difference Moment Normalized) also measured the local homogeneity, while the $Large Area High Gray Level Emphasis$ computed the emphasis of regions with large area and high gray level. Both of the features were indicators of the tumor region size.

Risk stratification
To illustrate potential applications of the prognostic model, we computed a risk score by summing over the product of model features and their coefficients. To compare the risk score with traditional prognostic variables, we also computed risk scores for models based on AJCC pathologic tumor stage or baseline variables. We used each risk score to fit a univariate Cox PH model on 5 subgroups of patients (all, AJCC stage < III, AJCC stage ≥ III, metastatic or locoregional) and applied likelihood ratio test to assess the significance of the score. As summarized in Table S4, both the likelihood ratio test statistic and its p value showed that adding WSI features to baseline variables greatly improved the prediction accuracy.

To illustrate the independent prognostic value of image-based risk score, we set the median of risk score as the threshold and stratified all the patients into a higher or lower-risk group. We then performed Kaplan-Meier estimation for each group. As shown in Fig. 3A, the survival distributions of the two risk groups characterized among all patients were significantly different (Log-rank p value < 0.0001). The median survival time of the two risk groups were 678 days and 3716 days, respectively. We also estimated the survival probability within 4 specific pathologically-defined subgroups of patients (Fig. 3). The survival distributions were all significantly different for each subgroup. The median survival time of each subgroup was shown in Table S5. Of note, the lower-risk group in patients with severe stage (AJCC stage ≥ III) had a median RFS time of 5354 days, which is 1.54 times longer than that of with mild or moderate stage (AJCC stage < III), who had a median RFS time of 3488 days. As shown in Figure S4, this risk score could significantly stratify the higher and lower-risk group for overall survival as well.

Differential risk-related enrichment of gene sets
To explore the molecular mechanisms underlying the superiority of image-based prognostic model, we performed differential gene expression analysis between the higher (43 patients) and the lower-risk (15 patients) group characterized by our model for patients within AJCC stage III. To reduce the biological variation within each risk group, we calculated the spearman correlation coefficients between any pairs of samples and filtered out those with a correlation coefficient smaller than 0.85 with more than 20 other samples among the higher-risk group, or with more than 7 other samples among the lower-risk group. This resulted in 9 lower-risk patients and 21 higher-risk patients for further analyses.

A total of 188 down-regulated and 28 up-regulated genes were identified as significantly differentially expressed. We found 226 enriched BP (biological process), 18 enriched CC (cellular component) and 12 MF (molecular function) GO terms. As shown in Figure S5, the most majority of top 20 enriched BP terms was involved in immune response, immune cell activation and proliferation. In particular, the T cell activation and proliferation-related pathways were significantly enriched. These enriched BP terms were also identified by the clusterProfiler package as shown in Figure S8, which suggested that the variation in the regulation of T cell activation was the potential key driver for differential risk. For CC terms, the T cell receptor-related GO terms were significantly enriched as shown in Figure S6 & S9. For MF terms, MHC protein binding and cytokine activity-related GO were associated with the risk stratification (As shown in Figure S7 & S10). These enriched GO terms suggested that the selected image features accounted for the variations of T cell activities and hence provided a more accurate assessment of disease progression.

Discussion
In this study, we performed recurrence-free survival analysis, and developed lasso Cox prediction models based on different types of data (baseline variables, gene expression and whole slide image features). Our evaluation criteria included C-index and time-dependent AUC. We identified that combining baseline variables and WSI features achieved the best prediction performance (cross validation C-index: 0.772/0.029, time-dependent AUC: 0.785/0.038). We showed that models trained on single-type data could have varied prediction accuracy within specific time intervals. We were then
motivated to combine different types of data to develop a model that achieved uniformly best prediction accuracy at most majority of time after initial diagnosis. We also showed that this combinatorial model provided significant risk stratifications within specific subgroups defined by metastatic status or AJCC pathological tumor stages. We found from gene expression profiles that T cell activities were significantly associated with the differential risk determined by the image-based prognostic model.

Our study provided a cost-effective way to evaluate the prognosis by only taking baseline variables and automatically generated WSI features as the input. We showed that many top enriched GO terms were related to immune response, T cell activation and proliferation. Genes related to T cell development and function were also identified by Jiang et al.\cite{8} as significantly associated with overall survival by analyses performed based on clinicopathologic variables, methylation, CNA (copy number alteration), gene expression and mutational data. Pastorfide et al.\cite{26} and others\cite{27, 28} also proved that lymphocytic infiltrates were associated with metastasis and survival outcome by artificial analyses of histologic images. These findings were consistent with our study, but we used computer-aided image processing instead to automatically quantify such information from routinely-made WSI.

Our study has limitations. First, due to a large number of missing values, we only enrolled 152 patients with complete information in our study. The best model was determined by 3-fold cross validation without being tested on an independent dataset. Second, the quality of pathology images varied. The variation in staining intensity and marker-pen pollution were the two most frequent problems. The former problem could affect nucleus segmentation, and we mitigated this by segmenting ROIs centering around the localized nucleus region. We also manually checked each ROI to remove polluted ones. Third, we did not evaluate the effects of interaction between different types of data when performing data integration.

Conclusions
In summary, we developed an image-based combinatorial model and demonstrated its prediction ability for recurrence-free survival. The model includes 20 automatically generated WSI features, 3 clinicopathologic variables and mutation status of 2 genes. We hence provided a cost-effective
prognostic model as a substitute for gene expression profiling-based prognosis methods.

List Of Abbreviations

RFS, recurrence-free survival; WSI, whole slide image; AJCC, the American Joint Committee on Cancer; TCGA-SKCM, The Cancer Genome Atlas Skin Cutaneous Melanoma; FPKM, fragments per kilobase of exon model per million reads mapped; H&E, hematoxylin and eosin; ROI, region of interest; GLCM, gray level cooccurrence matrix; GLRLM, gray level run length matrix; GLSZM, gray level size zone matrix; TMM, the trimmed mean of M values; AUC, area under the curve; FDR, false discovery rate; GO, gene ontology; BP, biological process; CC, cellular component; MF, molecular function; CNA, copy number alteration; Idn, Inverse Difference Normalized; Idmn, Inverse Difference Moment Normalized; cln, clinicopathologic variables, mutation status of BRAF and NRAS genes; expr, the selected gene expression data; im, whole slide image features; cln_expr, the combination of cln and expr; cln_im, the combination of cln and im; expr_im, the combination of expr and im; cln_expr_im, the combination of cln, expr and im.

Declarations

Ethics approval and consent to participate

No ethics approval was required for this work. All data in this study are publicly available.

Consent for publication

Not applicable.

Availability of data and materials

The datasets analyzed during the current study are available in the TCGA-SKCM repository, https://portal.gdc.cancer.gov/projects/TCGA-SKCM.

Competing interests

The authors have no competing interests to declare.

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Author’s contributions
Conception and design: Yanbin Peng, Jialu Li; (II) Administrative support: Jialu Li; (III) Collection and assembly of data: Yanbin Peng, Youlong Zhang; (IV) Data analysis and interpretation: Yanbin Peng, Youlong Zhang, Jialu Li; (V) Manuscript writing: All authors; (VI) Final approval of manuscript: All authors.

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Additional Files
Additional file 1

Supplementary Tables
Table S1: The composition and number of features in each feature set.
Table S2: Summary of C-index and time-dependent AUC.
Table S3: The name and coefficient of features selected in the final image-based model.
Table S4: The likelihood ratio (LR) and its p value of models. "AJCC stage", "cln" and "cln_im" represent models based on AJCC tumor pathologic stage, baseline variables and the combination of baseline variables and WSI features, respectively. Abbreviations: "All", all the patients; "AJCC stage<III", patients within AJCC tumor pathologic stage<III group; "AJCC stage≥III", patients within AJCC tumor pathologic stage≥III group; "Metastatic", the group of patients with metastatic tumors; "Locoregional", the group of patients with locoregional tumors.
Table S5: The median survival time of higher and lower-risk subgroups in each pathologically-defined groups of patients.

Supplementary Figures

Figure S1: Three examples of nucleus segmentation results. Figure A shows an image block with a small number of nuclei; B is a block with a higher number of nuclei; C is a block almost all filled with nuclei.

Figure S2: The RFS probability curve of the 152 patients enrolled in this study.

Figure S3: Analysis of variation of cross-validation C-index along with the penalty (log-transformed ). Figure A was for models developed based on baseline variables, while figure B for that of based on both baseline variables and WSI features.

Figure S4: The overall survival probability of subgroups stratified by the risk score. A represents all the patients; B represents the patients in AJCC stage<III; C represents the patients in AJCC stage≥III; D represents the patients with metastatic tumors; E represents the patients with locoregional tumors.

Figure S5: The dot plot of the top 20 GO in BP identified by the GOseq package. The DE Ratio is the ratio of differentially expressed genes among all the genes in a specific GO category. The GO Description displays the ID and brief information of each GO. The color of dot shows the adjusted p value of the GO term. The size of dot represents the number of differentially expressed genes.

Figure S6: The dot plot of the top 20 gene ontologies in CC identified by the GOseq package. The DE Ratio is the ratio of differentially expressed genes among all the genes in a specific GO category. The GO Description displays the ID and brief information of each GO. The color of dot shows the adjusted p value of the GO term. The size of dot represents the number of differentially expressed genes.

Figure S7: The dot plot of the top 20 gene ontologies in MF identified by the GOseq package. The DE Ratio is the ratio of differentially expressed genes among all the genes in a specific GO category. The GO Description displays the ID and brief information of each GO. The color of dot shows the adjusted p value of the GO term. The size of dot represents the number of differentially expressed genes.

Figure S8: The directed acyclic graph of the enriched GO terms in biological process category identified by the clusterProfiler package. The color represents the significance of GO terms (more
significant from yellow to red). The arrow represents the hierarchical relationship between two terms. The shape of each term represents the top 10 significant GO terms (rectangle) and others (ellipse). In each term the GO ID, brief description, FDR, the number of differentially expressed genes and all genes were displayed.

Figure S9: The directed acyclic graph of the enriched GO terms in cellular component category identified by the clusterProfiler package. The color represents the significance of GO terms (more significant from yellow to red). The arrow represents the hierarchical relationship between two terms. The shape of each term represents the top 10 significant GO terms (rectangle) and others (ellipse). In each term the GO ID, brief description, FDR, the number of differentially expressed genes and all genes were displayed.

Figure S10: The directed acyclic graph of the enriched GO terms in molecular function category identified by the clusterProfiler package. The color represents the significance of GO terms (more significant from yellow to red). The arrow represents the hierarchical relationship between two terms. The shape of each term represents the top 10 significant GO terms (rectangle) and others (ellipse). In each term the GO ID, brief description, FDR, the number of differentially expressed genes and all genes were displayed.

**Supplementary methods**

The computational formulas of the finally selected texture features.

**Figures**
Figure 1

The workflow used in this study.
Figure 2

The time-dependent AUC of the models developed based on single-type or multi-type data.

A represents the time-dependent AUC of the models based on single-type data; B represents the time-dependent AUC of the models based on multi-type data. Abbreviations: “cln”, baseline variables-based model; expr, models based on gene expression; “im”, models based on WSI features; “cln_im”, models based on baseline variables and WSI features; “cln_expr”, models based on baseline variables and gene expression; “expr_im”, models based on gene expression and WSI features; “cln_expr_im”, models based on baseline variables, gene expression and WSI features.
Figure 3

The recurrence-free survival probability of subgroups stratified by the risk score. A represents all the patients; B for those in AJCC stage<III; C for those in AJCC stage≥III; D for those with metastatic tumors; E for those with locoregional tumors. The black line represents the recurrence-free survival distribution for the lower-risk subgroup in each set of patients, while the gray line is for the higher-risk subgroup. The shaded area represents the 95% confidence interval.

Supplementary Files
This is a list of supplementary files associated with this preprint. Click to download.
Additionalfile1.pdf
Equation1.pdf