On the $\alpha$ formalism for the common envelope interaction

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ABSTRACT
The $\alpha$ formalism is a common way to parametrize the common envelope interaction between a giant star and a more compact companion. The $\alpha$ parameter describes the fraction of orbital energy released by the companion that is available to eject the giant star’s envelope. By using new, detailed stellar evolutionary calculations, we derive a user-friendly prescription for the $\lambda$ parameter and an improved approximation for the envelope binding energy, thus revising the $\alpha$ equation. We then determine $\alpha$ both from simulations and from observations in a self-consistent manner. By using our own stellar structure models as well as population considerations to reconstruct the primary’s parameters at the time of the common envelope interaction, we gain a deeper understanding of the uncertainties. We find that systems with very low values of $q$ (the ratio of the companion’s mass to the mass of the primary at the time of the common envelope interaction) have higher values of $\alpha$. A fit to the data suggests that lower-mass companions are left at comparable or larger orbital separations to more massive companions. We conjecture that lower-mass companions take longer than a stellar dynamical time to spiral into the giant’s core, and that this is key to allowing the giant to use its own thermal energy to help unbind its envelope. As a result, although systems with light companions might not have enough orbital energy to unbind the common envelope, they might stimulate a stellar reaction that results in the common envelope ejection.

Key words: stars: evolution – binaries: close – stars: horizontal branch – white dwarfs – planetary nebulae: general.

1 INTRODUCTION
Common envelope (CE) binary interactions occur when expanding stars transfer mass to a companion at a rate so high that the companion cannot accrete it. This results in the companion being engulfed by the envelope of the primary (Paczynski 1976). The companion’s orbital energy and angular momentum are then transferred to the envelope via an as yet poorly characterized mechanism. This can result in the ejection of the envelope and in a much reduced orbital separation. If the companion cannot eject the CE, it merges with the core of the primary. The CE interaction is thought to last for only a few years, and it is therefore likely that we have never witnessed it directly, although some claims have been made, e.g., for V Hya (Kahane & Jura 1996). The existence of companions in close orbits around evolved stars, whose precursor’s radius was larger than today’s orbital separation, vouches for such interactions having taken place.

The CE interaction is thus thought to be responsible for short-period binaries such as cataclysmic variables (CV; King 1988; Warner 1995), close binary central stars of planetary nebula (PN; De Marco 2009), subdwarf B binaries (Han et al. 2002, 2003; Morales-Rueda et al. 2003), low-mass X-ray binaries (Charles & Coe 2006), the progenitors of Type Ia supernovae (Belczynski, Bulik & Ruiter 2005) and other classes of binaries and single stars thought to have suffered a merger (such as FK Comae stars; Bopp & Stencel 1981). The specific characteristics exhibited by these binary classes, as well as their relative population sizes are dictated by the period and mass ratio distribution of the progenitor binary population, as well as by the details of the physical interaction during the CE phase.

The CE interaction can be parametrized in terms of the binding and orbital energy sources at play (e.g., Webbink 1984, 2008), and the post-CE period has been expressed as a function of how efficiently orbital energy can be used to unbind the CE. The efficiency
parameter, $\alpha$, was thus introduced:

$$\alpha = \frac{E_{\text{bin}}}{\Delta E_{\text{orb}}},$$

(1)

where $E_{\text{bin}}$ is the gravitational binding energy of the envelope and $\Delta E_{\text{orb}}$ is the amount of orbital energy released during the companion’s in-spiral. The expressions used for binding and orbital energies in the literature have varied. As a result, the conclusions reached in the numerous papers discussing the CE interaction by means of the $\alpha$ formalism are difficult to compare. The first motivation of this paper is therefore to choose a formalism by revisiting past choices.

Several papers (e.g. Maxted et al. 2006; Afsar & Ibanoglu 2008; Zorotovic et al. 2010) use individual post-CE binaries to derive $\alpha$. Their observed primary and secondary masses, together with their orbital periods, provide us with parameters of the post-CE systems. Based on the primary mass, one can reconstruct the mass and radius of the primary at the time of the CE interaction and, with this information, a value of $\alpha$ can be derived. However, this method has many hidden uncertainties, and the values of $\alpha$ derived in this way are, once again, only indicative. We therefore use our preferred $\alpha$ formalism to re-evaluate the value of $\alpha$ for observations and simulations in a homogeneous way and with an increased attention to the sources of uncertainty.

The values of $\alpha$ determined by simulations (e.g. Sandquist et al. 1998) have their own flavour of hidden caveats and are not easily comparable with those derived from observations. We therefore use the better-understood simulations from the literature to gain insight into how the values of $\alpha$ determined in this way compare with those derived from observations.

In Section 2 we discuss the $\alpha$ formalism in the literature and derive our preferred form. We also discuss the value of $\lambda$, often used in parametrizing the envelope binding energy. In Section 3 we calculate the value of $\alpha$ for a set of simulations and observations in a self-consistent manner. We then (Section 4) discuss the dependence of $\alpha$ on stellar and system parameters. We conclude and summarize in Section 5.

2 THE $\alpha$ EQUATION

In this section we determine the best form of the $\alpha$ equation (equation 1).

2.1 The $\alpha$ formalism in the literature

The original $\alpha$ equation can be found in Tutukov & Yungelson (1979), who used

$$\Psi \frac{M^2}{R} = \beta \frac{M_{1,R}^4 m^4}{2 R_{He}}.$$  

(2)

where we have maintained the original symbols to emphasize the subtly different assumptions made in each equation. $\beta$ is the binding energy parameter equivalent to $\alpha$; $M_{1,R}$ and $R_{He}$ are the post-CE primary’s core mass and radius, respectively; $m$ is the companion’s mass; and $M$ and $R$ are the mass and radius of the primary at the time of the CE interaction. The value of $\Psi$ was taken to be 0.5 to account for the fact that the radius of the primary at the start of the dynamically significant part of the CE interaction was thought to be twice as large as it was at the beginning of the CE interaction.

Later Iben & Tutukov (1984) used a similar expression but modified the symbols:

$$\frac{M_1^2}{A} = \alpha \frac{M_{1,R} M_2}{A_f},$$

(3)

where $M_1$ is the primary mass at the time of the CE and $M_{1,R}$ is the primary (remnant) mass after the CE interaction. Remembering that there is a factor of 1/2 on both sides of equation (3) we see that $A$, the initial binary separation, has replaced the initial primary radius (a reasonable assumption) and that $A_f$, the final binary separation, has taken the place of the primary core radius, a choice that seems sensible, as the final binary separation should be larger than the primary’s core radius.

In the same year, Webbink (1984) rewrote the expression as

$$-G \frac{M_1 M_{1,e}}{\lambda R_{1,L}} = -\alpha C_E G \left[ \frac{M_1 M_2}{2 A_i} - \frac{M_1 M_{1,e}}{2 A_f} \right],$$

(4)

where we have adopted the symbols used by Webbink (2008): $M_{1,e}$ and $M_{1,c}$ are the primary’s core and envelope masses, respectively; $A_i$ is the initial binary separation; $R_{1,L}$ is the Roche lobe of the primary at the onset of mass transfer; and $\lambda$ is a number of order unity which depends on the mass distribution of the primary’s envelope ($\lambda R$ is effectively the mass-weighted mean radius of the envelope). The symbol $\lambda$ was actually introduced by de Kool, van den Heuvel & Pylyser (1987) as an addition to the Webbink (1984) equation and has been included in the equation ever since. Equation (4) contains a term for the orbital energy at the beginning of the CE interaction, a term that others have neglected, on the ground that its value is far smaller than that of the final orbital energy, due to the considerable in-spiral that happens during the interaction.

Yungelson, Tutukov & Livio (1993) rewrote the $\alpha$ equation in the following way:

$$\frac{(M_1 - M_{1,R})(M_1 + M_2)}{A_0} = -\alpha M_{1,R} M_2 \left( \frac{1}{A_i} - \frac{1}{A_0} \right),$$

(5)

where all symbols have been previously defined and $A_0$ is the binary separation at the beginning of the dynamically significant part of the CE interaction. Equation (5) actually contains a factor of 0.5 on both sides of the equality, which was cancelled out by Yungelson et al. (1993), but that must be there for the expression of the orbital energy to be correct. This factor implies that the size of the primary at the start of the dynamically significant part of the CE interaction is 2 $\times$ $A_0$ (equation 3). Using this expression will therefore result in smaller values of $\alpha$ (as also noticed by Han, Podsiadlowski & Eggleton 1995). Aside from the difference in primary radius, Equation (5) is different from that of Webbink (1984) also because the binding energy of the envelope is more negative: the giant’s envelope during the CE is bound also by the gravitational attraction of the companion within it.

Pre-empting our derivation in Section 2.2, in this work we will use

$$-G \frac{M_e (M_e + M_{1,c})}{\lambda R} = -\alpha G \left[ \frac{M_e M_{1,c}}{2 A_i} - \frac{(M_e + M_{1,c}) M_{1,c}}{2 A_f} \right],$$

(6)

where $M_e$ and $M_{1,c}$ are now the giant’s primary’s core mass (which is assumed to be the same as the mass of the primary after the CE interaction) and the primary’s envelope mass (which is assumed to be ejected by the interaction), respectively. In Section 2.3 we will use the virial theorem to show that the energy budget should include a factor of 0.5 on the left-hand side of equation (6), corresponding to the thermal energy of the gas lessening the stellar envelope’s gravitational potential well. For now, however, we have presented the $\alpha$ equation using only the traditionally included energy sources. The thermal energy source will be discussed further in Sections 2.3, 3.4 and 5.

In the case of observed systems (Section 3.2), we assume that the primary is filling its Roche lobe radius at the beginning of the
CE interaction (i.e. $R = R_{1,RL}$) and that $A_v = R_{1,RL}/r_{1,RL}$, where (Eggleton 1983; Webbink 2008):

$$r_{1,RL} \sim \frac{0.49q^{2/3}}{0.6q^{-2/3} + \ln(1 + q^{-1/3})}$$

(7)

and $q = M_2/M_1$. Our expression is almost identical to that of Webbink (1984), except that our binding energy is somewhat lower.

Clearly, irrespective of which formalism one uses, it is paramount that values of $\alpha$ calculated with one formalism not be used as input to a different formalism in order to derive, for instance, values for the final orbital separation.

### 2.2 The binding energy term

Several authors (e.g. Han et al. 1995) chose not to use an approximation for the binding energy of the envelope, but instead integrate the envelope mass from stellar structure calculations. As we will see in Section 2.4, this choice does not necessarily lead to a more accurate value of $\alpha$. So, here we determine as accurate an approximation to the binding energy as possible.

The binding energy of a star is

$$E_{\text{bin}} = \int \frac{Gm}{r} \, dm.$$  

(8)

In the case of giants, the small dense core is surrounded by a vast envelope whose density rapidly falls with radius. Therefore, we model the core as a point mass and the envelope as a shell of homogeneous density:

$$\rho = \frac{M_c}{4\pi(\lambda R)^3},$$

located at a distance $\lambda R$ from the core. The factor $\lambda < 1$ accounts for the fact that, in the shell approximation of the real envelope, the shell is located at the mass-averaged stellar radius. Using this assumption we calculate separately the envelope binding energy deriving from the attraction of the core, $E_{\text{ce}}$, and that deriving from the attraction of the envelope on to itself, $E_{\text{ee}}$:

$$E_{\text{bin}} = E_{\text{ce}} + E_{\text{ee}}.$$  

(9)

The core–envelope binding energy can be easily calculated:

$$E_{\text{ce}} = -G \int \frac{M_dm}{\lambda R} = -G \frac{M_c M_e}{\lambda R},$$

(10)

where $dm$ is a parcel of envelope mass sitting at distance $\lambda R$ from the core, and the integration is over the entire envelope, approximated as a shell with radius $\lambda R$. The self-gravity of the envelope results in

$$E_{\text{ee}} = -\frac{G}{2} \int \frac{dm'dm}{|r-r'|},$$

(11)

where $dm$ and $dm'$ are mass parcels in the envelope shell separated by a distance $|r-r'|$. The factor $\frac{1}{2}$ prevents double-counting the interaction between parcels. Since we have made the assumption that all mass parcels are in a shell with radius $\lambda R$, $|r'| = |r| = \lambda R$, the denominator is

$$\frac{1}{|r-r'|} = \frac{1}{\lambda R} \sum_{n=0}^{\infty} P_n(\cos \psi) \sim \frac{1}{\lambda R} [1 - (\cos \psi)].$$

(12)

1 Different studies substitute the Roche lobe radius for $A_v$ instead of the actual separation between primary and secondary at the time of Roche lobe overflow. The initial orbital energy term is mostly negligible compared to the final orbital energy one though, so specific choices of the initial orbital separation are not fundamental.

Table 1. Error on the virial theorem calculations for the entire star using the $2 M_\odot$ model. $R_c$ is defined by the leftover mass criterion in Section 2.4.

|                | RGB        | AGB        |
|----------------|------------|------------|
| $2U_{\text{env}}$ (erg) | $0.9983 \times 10^{48}$ | $2.7101 \times 10^{47}$ |
| $4\pi R_c^2 P_c$ (erg) | $0.0532 \times 10^{48}$ | $0.1365 \times 10^{47}$ |
| $2U_{\text{env}} + 4\pi R_c^2 P_c$ (erg) | $1.0516 \times 10^{48}$ | $2.8466 \times 10^{47}$ |
| $E_{\text{bin, env}}$ (erg) | $-1.0433 \times 10^{48}$ | $-2.8348 \times 10^{47}$ |
| Error without extra term | 4 per cent | 4 per cent |
| Error with extra term | 0.8 per cent | 0.4 per cent |

where $P_c$ is the Legendre polynomial. For the final step, we only consider $P_0 = 1$ and $P_1 = \cos \psi$, where $\psi$ is the angle between $r$ and $r'$ and we neglect orders $n > 1$. Because of symmetry, the term including $\cos \psi$ vanishes. Substituting equation (12) into equation (11), we obtain the binding energy of the envelope in the shell approximation:

$$E_{\text{bin}} \sim -G \frac{M_c (K + M_e)}{\lambda R}.$$  

(13)

This expression is different from all of the ones used before (the numerator of our expression is the lowest) although our expression is actually quite similar to that used by Webbink (1984).

### 2.3 The thermal energy

The virial theorem can be used to quantify another source of energy that may play a role in the CE interaction, namely, the thermal energy of the envelope (Webbink 2008). The familiar identity $2K + U = 0$, where $K$ is the total thermal, or kinetic energy of the star and $U$ is its potential (binding) energy, accurately represents the global properties of the entire star. If we only include the stellar envelope rather than the entire star, the virial theorem takes a slightly different form (for the derivation, see Webbink 2008):

$$2K_{\text{env}} + 4\pi R^2 P_c + E_{\text{bin, env}} = 0,$$  

(14)

where $K_{\text{env}}$ is the thermal energy of the envelope only, and we now use $E_{\text{bin, env}}$ to represent the binding energy of the envelope only, and $R_c$ and $P_c$ for the values of the radius and the pressure at the core–envelope boundary, respectively (defined in Section 2.4).

We can show that the extra term ($4\pi R^2 P_c$) can be neglected, by integrating stellar structure models (whose details will be given in Section 2.4). We use a $2 M_\odot$ main-sequence model evolved to the RGB and AGB phases. The total stellar binding energy is integrated using the denominator in equation (16). A similar equation is used to determine the total thermal energy of the stellar models:

$$U = \sum_{i=1}^{n} \frac{3}{2} P(r_i)4\pi r_i^2 \Delta r_i,$$  

(15)

where $r_i$ is the radius of the $i$th concentric shell, $\Delta r_i$ are the shell’s thickness and $P(r_i)$ is the pressure in the shell. $R_c$ is the core–envelope boundary radius chosen according to the criteria explained in Section 2.4.

We see (Table 1) that the first two terms in equation (14) equate to the third term to within less than 1 per cent for both the RGB and

2 Throughout this paper we will keep referring to the energy due to the thermal motion of the particles as the thermal energy. This will avoid confusion with the energy stored in the bonds of atoms and molecules, called the internal energy by Webbink (2008).
AGB models. We also see that not including the second term still results in an acceptable equality between twice the thermal energy and the gravitational binding energy of the envelope. In this way we have a very simple way to account for the thermal energy of the stellar envelope in the CE energy budget: the thermal energy is simply one-half of the binding energy. Since the two energy sources have opposite signs, the effect of accounting for the thermal energy is to ‘fill’ the stellar envelope potential well, or make the envelope lighter.

Finally, one should remember that there is another possible energy source: the dissociation and ionization energy of the envelope. This was discussed by Han et al. (1995) and Webbink (2008). We will return to this topic in Section 4.

2.4 The stellar structure parameter \( \lambda \)

To determine a suitable value of \( \lambda \), we use a 2-\( M_\odot \) model calculated with the code Modules for Experiments in Stellar Astrophysics (MESA\(^3\); Paxton et al. 2010), evolving from the main-sequence to the tip of the AGB. This new stellar evolutionary code compares well with EVO (e.g. Herwig 2004).

By equating the envelope binding energy obtained by numerical integration of the stellar structure and that obtained by the approximation in equation (13), we calculate \( \lambda \) in this way:

\[
\lambda = \frac{-(G M_e/R)(M_e/2 + M_\ast)}{-4\pi G \sum r_i = R_e m_\ast(r_i) \rho_i \Delta r_i},
\]

where \( \rho_i \) is the density of a shell of material of thickness \( \Delta r_i \) and \( m_\ast \) is the mass internal to that radius.

The choice of a core radius based only on density (e.g. where \( dm/d\log r \) reaches a minimum; see also Tauris & Dewi 2001 and Bisscheroux 1998) leads to locating a core–envelope boundary that is quite different from any boundary located on physical grounds. We therefore chose the core–envelope boundary to be at the radius where the nuclear burning reaches a maximum plus the thickness of a remaining envelope of mass \( 10^{-7} M_\odot \) for the 2.0-\( M_\odot \) AGB model described above and \( 10^{-5} M_\odot \) for the corresponding RGB model. This criterion is motivated by the fact that AGB and RGB stars depart their respective giant branches by contracting, when the envelope mass decreases below a threshold value (or, for RGB stars, when core helium is ignited; Blocherker 1995; Castellani, Castellani & Prada Moroni 2006). In a CE situation, such contraction would detach the primary from its Roche lobe and dictate the end of the interaction. This criterion leads to very similar core–envelope boundaries and values of \( \lambda \) to the criteria of Dewi & Tauris (2000). For more discussion, see Appendix A.

With this choice of core–envelope boundary, we carried out stellar evolution calculations for main-sequence masses of 1, 1.5, 2, 2.5, 3, 4, and 5 \( M_\odot \). For each of these models, we determined \( \lambda \) for a few stellar structure models clustered on the RGB and AGB. The results are summarized in Table 2. For each main-sequence mass, we list the numbers of stellar structures used in determining the average value of \( \lambda \), the smallest and largest stellar radius for the models in each cluster and finally the average value of \( \lambda \) as well as the 1\( \sigma \) spread of values. The minimum and maximum radii on the RGB and AGB for each model cluster were chosen as follows: the minimum radii were those of the first model that had developed a clear shell burning structure (hydrogen for the RGB and helium for the AGB). The maximum values were the maximum RGB and AGB radius values for the respective models. The value of \( \lambda \) does not vary significantly within each cluster, despite the relatively large radius range, because the mass is centrally concentrated.

We have fitted the average \( \lambda \) values as a function of main-sequence mass (Fig. 1) and obtained the resulting fit parameters:

\[
\lambda_{\text{RGB}} = (0.547 \pm 0.068) \times \left( \frac{M_{\text{MS}}}{M_\odot} \right)^{2.1(1\pm0.12)} \times \exp \left( -\frac{M_{\text{MS}}}{M_\odot} \right)
\]

and

\[
\lambda_{\text{AGB}} = (0.237 \pm 0.021) + (0.032 \pm 0.006) \times \frac{M_{\text{MS}}}{M_\odot},
\]

\(^3\)mesa.sourceforge.net

Table 2. Average values of \( \lambda \) calculated for clusters of models equally distributed in radius between \( R_{\text{min}} \) and \( R_{\text{max}} \) on the RGB and AGB.

| \( M_{\text{MS}} \) (\( M_\odot \)) | \# of models in cluster | \( R_{\text{min}} \) (\( R_\odot \)) | \( R_{\text{max}} \) (\( R_\odot \)) | \( \lambda \) |
|-----------------|-----------------|-----------------|-----------------|-----------------|
| 1.0             | 6               | 20              | 120             | 0.175 ± 0.055   |
| 1.5             | 6               | 20              | 120             | 0.275 ± 0.049   |
| 2.0             | 4               | 20              | 80              | 0.352 ± 0.030   |
| 2.5             | 5               | 10              | 30              | 0.300 ± 0.028   |
| 3.0             | 4               | 10              | 40              | 0.218 ± 0.063   |
| 4.0             | 5               | 10              | 50              | 0.146 ± 0.032   |
| 5.0             | 4               | 20              | 80              | 0.121 ± 0.014   |

Figure 1. The average value of \( \lambda \) (symbols with error bars) calculated for clusters of models on the RGB (top panel) and AGB (bottom panel) as a function of the main-sequence mass, along with the best fits (solid lines).
which are valid for 1.0 \(M_\odot \leq M_{AGB} \leq 5.0 \, M_\odot\). By calculating the value of \(\lambda\) for each of our systems using the expression
\[
\lambda^{-1} = 3.000 - 3.816 M_c + 1.041 M_c^2 + 0.067 M_c^3 + 0.136 M_c^4
\]
of Webbink (2008), we obtain much larger values.

Finally, we comment on the fact that in our static calculation of the binding energy we assume that the envelope that needs to be ejected is the same as the mass above the core–envelope boundary just discussed. This assumption does not take into account that in an evolving, mass-losing and expanding giant, the actual mass left on the star after termination of the AGB may not be exactly that which we accounted for in our static calculation. Deloye & Taam (2010) calculate the adiabatic mass-loss response of the primary. They explain that massive stars — they show the case of a 10-M\(_\odot\) one — have a shallow entropy gradient in the core/envelope region, and this can lead to a 30 per cent difference between the donor’s post-CE remnant mass and \(M_c\). However, for the lower-mass stars we are interested in, the gradient is much steeper and two masses are similar. The other concern is that the binding energy as we calculate it, does not take into account the work done by the envelope throughout the adiabatic mass-loss. However, according to Ge et al. (2010), including this effect does not make a large difference on the binding energy (see their section 4 and their equations 62 and 83).

3 THE DETERMINATION OF \(\alpha\) USING SIMULATIONS AND OBSERVATIONS

Here we calculate \(\alpha\) for the observed post-CE binary systems as well as for a set of CE simulations. To do so, we use our approximation (equation 6) together with the value of \(\lambda\) determined from the fits in Section 2.4 (equations 17 and 18).

3.1 The pre-CE giant reconstruction technique

We assume that the observed post-CE systems with \(M_c \geq 0.47 \, M_\odot\) have suffered a CE interaction on the AGB and those with \(M_c < 0.47 \, M_\odot\) have suffered an interaction on the RGB. The latter assumption is not strictly correct, because more massive primaries can have a core mass \(\geq 0.47 \, M_\odot\) during the RGB ascent (see for instance the 5-M\(_\odot\) model in Fig. 5). However, our assumption is approximately correct because lower-mass stars (\(\lesssim 2 \, M_\odot\)), which are statistically more common, have cores in the 0.47–0.62 \(M_\odot\) range only during their AGB ascent. In Section 3.4.2 we discuss this topic further.

Of the post-AGB primaries (\(M_c \geq 0.47 \, M_\odot\)), some are surrounded by a PN, guaranteeing that the system only emerged from the CE interaction recently (or the PN, which has a lifetime of \(\lesssim 100 000\) yr, would not be visible). When a PN is lacking, there is a chance that the time since the CE interaction might be sufficiently long to have allowed the evolution of the system’s orbital period. In order to avoid such systems, we use the binary post-CE list of Schreiber & Gänsicke (2003) and that of Zorotovic et al. (2010), who determined which post-CE systems have the same period today as they had when they emerged from the CE.

Once the RGB or AGB origin of the primary is determined, the radius and mass of the giant at the time of the CE interaction can be estimated. For post-RGB primaries, the radius has traditionally been obtained by the core mass–radius relation of Iben & Tutukov (1985, \(R \sim 10^{-3} M_c^2\)). RGB masses at the time of the CE interaction cannot be determined from the core mass alone, so a range has to be adopted (e.g. Nelemans et al. 2000).

To determine the pre-CE radius and mass for primaries that went through the interaction on the AGB, several studies, (e.g. Afşar & Ibanoğlu 2008) used the core mass–luminosity relation for post-AGB stars (e.g. Vassiliadis & Wood 1994, \(L/L_\odot = 56.694 (M_c/M_\odot - 0.5)\)) to determine the luminosity of the primary at the time of the CE interaction. They then used the initial-to-final mass relation to determine the main-sequence progenitor mass of today’s primary, to then determine the mass of the primary at the time of the CE interaction (e.g. Vassiliadis & Wood 1994). Once the primary’s mass and luminosity at the time of the CE interaction have been determined, the radius can be found using the relation of Hurley, Pols & Tout (2000, \(R = 1.125 \, M_\odot^{0.33} (L/L_\odot + 0.383 L_\odot^{0.76})\)).

This method cannot be applied to primary stars with core mass \(\lesssim 0.55 \, M_\odot\), because below that value the core mass–luminosity relation of Vassiliadis & Wood (1994) becomes extremely imprecise and, for core masses \(\lesssim 0.5 \, M_\odot\), the stellar luminosity becomes negative. Finally, using the initial-to-final mass relation in this way is incorrect, because the CE interaction interrupted the evolution of the primary and the growth of its core, resulting in a less massive core (i.e. smaller final mass) than if the star had been single.

By studying the relations used in the past, one realizes that reconstructing the giant’s mass and radius using only the mass of the post-CE primary (assumed to have been the mass of the core of the giant) is fundamentally a statistical process. Each relation appears to state that the error on a given quantity, e.g. the giant radius at the time of the CE interaction, depends solely on the error on the measured core mass. However, this error propagation does not include the error in the fit, i.e. the error in the relations themselves. Nor is the error in the assumptions used to establish the relations quantified. We therefore diverge from previous methods, and recalculate the fitting relations from stellar evolution models. This allows us to better quantify the true uncertainty on the entire reconstruction process. In our reconstruction technique, we make use of two sets of stellar evolution calculations. The first set is from the detailed calculations of MESA for \(Z = 0.01\) (Section 2.4). The second set is from the computations of Bertelli et al. (2008), who calculated an extensive grid of models including their metallicity dependence.

3.1.1 The primary mass at the time of the CE interaction

To determine the mass of the primary at the time of the CE interaction for the post-AGB group, we start by determining the mass of the main-sequence progenitors. To do so we use the initial-to-final mass relation (IFMR) determined with the MESA code. The final mass is assumed to be the mass of the core at the first thermal pulse. Although this may appear as an underestimate of the final mass, it is accurate for core mass values \(\lesssim 0.52 \, M_\odot\), because low-mass stars with low-mass cores have low-mass envelopes which get depleted rapidly by mass-loss and do not give the core time to grow. Our assumption also results in good accuracy for masses \(\gtrsim 0.58 \, M_\odot\), because high-mass stars suffer substantial dredge-up so that the core does not grow appreciably during thermal pulses. For values between 0.52 and 0.58 \(M_\odot\), the core could grow slightly above the value that we are using, resulting in a slight overestimate of the initial mass. However, this uncertainty is very small compared to the very large uncertainty on the core mass, as we explain below.

Older predictions for the IFMR (e.g. Bloorecker 1995; Vassiliadis & Wood 1994) compare well to the MESA IFMR for lower initial masses (\(\lesssim 3 \, M_\odot\)), while for the larger initial masses the more recent MESA IFMR yields higher WD masses (also observed by Han, Pdotiakovs & Eggelton 1994). The reason is that the new MESA models include convective core overshooting during the main sequence, which increases the core mass predictions compared to
We note that this prior is not entirely correct, because CE interactions and we ignore it. Before we can use the IFMR, relative $M_{\star} = 0.091$ and $M_{\star} = 0.451$, respectively, standard deviations $0.047$ and $0.148$ $M_\odot$, IFMR compares well with $6$, $2011$ The Authors, MNRAS + $0.024$ $M_\odot$, $1326$ and RR Cae) extremes of the IFMR, the errors were as-

Figure 2. A comparison of the MESA code initial-to-final mass relation (solid line) and that determined empirically by Weidemann (2000, dashed line).

the older models. However, at low initial mass, models now show efficient third dredge-up, which compensates for the core mass increase from core overshooting. For more massive AGB stars the third dredge-up does not play a significant role in the core mass prediction, and the core mass difference from core overshooting assumptions can be observed. The MESA IFMR compares well with the observationally derived IFMR of Weidemann (2000, fig. 2), although the observational IFMR has a large scatter.

For each system’s primary, we obtained the main-sequence mass of its progenitor by direct interpolation. The metallicity dependence of the IFMR is small, as can be seen by a fit to the Bertelli et al. (2008) models ($M_{\text{WD}}/M_\odot = 0.451 + 0.091M_{\text{MS}}/M_\odot - 0.044\log \rm Z/Z_\odot$) and we ignore it. Before we can use the IFMR, we need to account for the fact that the IFMR relates the final WD mass, $M_{\text{WD}}$, to its main-sequence mass, $M_{\text{MS}}$, only if the star evolved to the natural termination of its AGB life. The CE interaction interrupted the regular AGB evolution and core growth, so that the post-CE primary mass, $M_{\star}$, is smaller than it would have been had the primary been single ($M_{\text{WD}}$). This mass discrepancy is determined for each Bertelli et al. (2008) model, assuming that a CE will terminate the AGB evolution at a random value of $R$, between the maximum RGB radius and the maximum AGB radius. We use Bertelli et al. (2008) models in the mass range $0.8-2.5 M_\odot$, and metallicity range $Z = 10^{-4}-0.07$. On average, models depart the AGB with a mass that is $0.028 \pm 0.024 M_\odot$ lower than if the AGB evolution had progressed till its natural termination. The error in this value is dominated by the lack of knowledge of the precise stage at which the system departs the AGB.

We can now calculate the WD mass of each of our post-AGB, post-CE primaries and use the IFMR to determine the main-sequence mass of its progenitor. The error on the WD masses is determined from the error on the measured post-CE primary masses and the error on the core growth estimation, added in quadrature. In Fig. 3 (left) we show the MESA IFMR with our interpolated data points and their error bars. To determine the error on the main-sequence masses thus obtained, we projected the errors calculated on $M_{\text{WD}}$ on the IFMR itself, as demonstrated in Fig. 3 (right). For these data points at the higher (V471 Tau) and lower (SDSS J0110 + 1326 and RR Cae) extremes of the IFMR, the errors were assumed to be the same as the relative error of HS 0705 + 6700 and SDSS J1548 + 4057, for low and high mass, respectively, because these two systems have the same measurement errors and similar core mass values. Using this error estimation method, we are not taking into account the error on the IFMR itself (plotted as a dotted curve in Fig. 3, from approximate estimates). If we had, the errors on the determined main-sequence masses would have been only marginally larger.

The last step in the main-sequence mass determination is carried out by using conditional probability considerations. For each of the three populations of post-CE binaries (the central stars of PN, the post-AGB stars with no PN and the post-RGB stars) we know the main-sequence mass distribution of the progenitors from population considerations and observations (see below). To exploit this extra knowledge, we convert the progenitor main-sequence mass of each system’s primary, determined above from the WD mass alone (dotted vertical lines in Fig. 4), into a Gaussian probability distribution function (PDF), by using the error estimates (dotted curves in Fig. 4). We then multiply each PDF with the main-sequence mass distribution for the parent population (also called the prior in Bayesian statistics; dashed curves in Fig. 4). This procedure results in a PDF for the ‘conditional’ values of the main-sequence masses of each of our post-CE primaries (solid curves in Fig. 4), from which we can determine a mean value (solid vertical lines in Fig. 4) and a new error estimate. These are the values we adopt for the primary progenitors’ main-sequence masses. We note that two identical primary masses ($M_{\star}$) that have different error estimates will result in two different ‘conditional’ main-sequence progenitor masses, because of the Bayesian statistical treatment (compare HW Vir, HS0705 + 6700 and MS Peg in Table 3). In Fig. 4 we show two specific cases, one for a central stars of PN, and one for a post-AGB system with no PN.

In Fig. 4 (dashed lines) the prior distributions are the main-sequence mass distribution of the parent populations. For the central stars of PN, the progenitor main-sequence mass distribution is known from population synthesis as well as observations (Moe & De Marco 2006, dashed line in the left-hand panel of Fig. 4). For the post-AGB stars with no PN, the main-sequence mass distribution of the progenitors can be determined using the WD mass distribution (Kepler et al. 2007) and using the IFMR to translate the WD mass distribution into a main-sequence mass distribution. The WD mass distribution of Kepler et al. (2007) is fitted by three Gaussian curves with different means and standard deviations. The Gaussian curve with the lowest mean mass corresponds to the helium WDs and we ignore it. To represent the WD mass distribution corresponding to the post-AGB stars (with no PN), we only use the remaining two Gaussian curves combined (with mean masses of $0.578$ and $0.678 M_\odot$, respectively, standard deviations $0.047$ and $0.148 M_\odot$, respectively, and relative strength $2.9:1$).

For the post-RGB group we cannot use the IFMR, because the primaries have not been through the AGB evolution. For all the post-RGB primaries, we therefore use the initial mass function ($\propto M^{-2.35}$; Kroupa 2001), truncated between $0.78$ and $2.3 M_\odot$ (Nelemans et al. 2000). This distribution results in a mean value with an error ($1.19 \pm 0.40 M_\odot$).

Once we have calculated the primaries’ progenitors main-sequence masses, we can derive the mass of the primary at the time of the CE interaction ($M_{\star}$), by determining how much mass the star lost between the main sequence and the time of the CE interaction ($M_{\star}$). We note that this prior is not entirely correct, because CE interactions on the AGB happen slightly more frequently to relatively more massive stars. This is because lower-mass stars grow to relatively larger radii while on the RGB, increasing the chance that an interaction will happen on the RGB rather than the AGB. As a result the priors for the post-AGB, post-CE binary populations should be slightly weighted towards more massive stars. To calculate the correct priors would entail a complete population study, which we have not carried out here.

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interaction on the RGB or AGB. The stellar evolutionary calculations of Bertelli et al. (2008) for a range of masses and metallicities are used once again to average the RGB and AGB stellar masses using uniform weighting with respect to radius (for the AGB, we used only the values for which the radius was larger than the maximum radius attained on the RGB). The values thus obtained are $M_1/M_{\text{MS}} = 0.98 \pm 0.02$ for post-RGB stars and $0.87 \pm 0.07$ for post-AGB stars, where the errors are derived from the scatter on the fit. We then considered that the presence of a companion would stimulate mass-loss prior to the CE interaction (e.g. Bear & Soker 2010), so we lowered these numbers slightly and raised the uncertainty: $M_1/M_{\text{MS}} = 0.90 \pm 0.10$ for RGB systems and $0.75 \pm 0.15$ for AGB ones. Once again, the dependence on the mass and metallicity is not what dominates the error, but rather the lack of knowledge as to the stage during the RGB or AGB evolution in which the systems enter the CE phase.

3.1.2 The primary radius at the time of the CE interaction

We next determined the radii of the giants at the time of the CE interaction. From the MESA calculations we know the radius evolution as a function of the mass of the hydrogen-exhausted core (Fig. 5). We first selected the track corresponding to the main-sequence progenitor’s mass of each of our post-CE primary stars (Section 3.1.1); we then read from the plot the radius corresponding to today’s core mass. The error on this radius has to be determined taking into account the errors for both the measured core mass and the derived main-sequence mass. Considering each error bar in turn results in new radius values, from which we can estimate the final error on the radius. There is, however, one additional complication. The radius evolution of each model is complex and non-monotonic. This means that we need to use logical arguments to consider each radius value obtained in this way: a star can only be caught in a CE interaction...
while its radius is growing and if it is larger than at any time in the past. Once all these conditions are accounted for, it is found that the range of the possible radii corresponding to each of our systems is quite large and that it is no less accurate to use fits of the core mass versus radius values from the Bertelli et al. (2008) calculations, for the usual ranges of masses and metallicities:

\[ R = 440 \, R_\odot \left( \frac{M_{\text{MS}}/M_\odot}{0.6} \right)^{0.47} \left( \frac{M_1/0.6 \, M_\odot}{Z/Z_\odot} \right)^{1.1} \left( \frac{Z/Z_\odot}{0.05} \right)^{0.15}, \]

(19)

where the rms error on the fit is ±0.5 R_\odot. Or, evaluating R in terms of M_1 instead of M_{MS}:

\[ R = 440 \, R_\odot \left( \frac{M_1/M_\odot}{0.6} \right)^{0.54} \left( \frac{M_1/0.6 \, M_\odot}{Z/Z_\odot} \right)^{0.70} \left( \frac{Z/Z_\odot}{0.05} \right)^{0.14}, \]

(20)

with the same fit scatter. We note that this relation applies equally to the post-RGB and post-AGB stars, although the fit was technically carried out for the AGB phase only. These fits are overplotted on the detailed MESA calculation in Fig. 5. As can be seen from Fig. 5, the radius values implied by our approximate fits and the range of radii one would derive using the detailed MESA tracks become quite different for larger main-sequence masses. The most massive of our systems, V 471 Tau, with a core mass of 0.84 M_\odot, has a conditional main-sequence mass of 3.9 M_\odot. Using the MESA tracks one derives a radius at the time of the CE interaction of 435 ± 100 R_\odot. The value derived instead from the fits is 570 ± 62 (see Table 3). These two values are quite different, although they are consistent within the uncertainties. Pre-emptying our results from Section 3.4, the MESA radius value results in \alpha = 0.28 ± 0.16, while using the radius fit from equation (20) \alpha = 0.21 ± 0.15. We therefore see that even for the worse-case scenario of the system with the largest mass,
the advantage of using the detailed MESA code for this purpose is limited.

Finally, as we did for the case of the main-sequence mass, we combine these radius values and their formal errors with the prior knowledge that for our primaries’ progenitor populations, the radii of the RGB primaries should be in the range of $10–300\, R_\odot$, while for the AGB primaries they should be in the range of $50–650\, R_\odot$ (Bertelli et al. 2008), in the usual mass and metallicity ranges. The maximum RGB radius of $300\, R_\odot$, and maximum AGB radius of $650\, R_\odot$, are the maximum radii achieved by any model in the set considered. The minimum radius value adopted for the AGB ($50\, R_\odot$) was selected because it is the smallest of all maximum RGB radii, achieved by any of the considered models. The minimum RGB radius ($10\, R_\odot$) is the approximate radius that separates Hertzsprung gap from RGB models for the Bertelli et al. (2008) tracks. Convolution with the prior results in a new radius value and a new error, according to Bayesian statistics.

### 3.2 Observed systems used in the determination of $\alpha$

In Table 3 we list the values of $M_1$, $M_2$, $P$ and $A_1$ determined from observations as well as the values of $M_1$ and $R$ deduced from stellar evolution in Section 3.1. References for the observed values can be obtained from De Marco (2009), for central stars of PN. Of all the $\sim 40$ post-CE binary central stars listed by De Marco (2009) only six central stars with non-degenerate secondaries have sufficient information to be useful in the present study. For the observed post-CE binary at the centre of PN NGC 6337, we list two sets of parameters in Tables 3 and 4. When we fit the value of $\alpha$ (Section 3.4.2), we use only one set (that with $M_2 = 0.35\, M_\odot$). The fit with the alternative set, results in very similar fit parameters. Finally, for the binary system in the PN HFG 1 there also are two sets of parameters (De Marco 2009). We use the set with the most massive companion, $M_2 = 1.09\, M_\odot$, because a recent analysis of X-ray radiation (Montez et al. 2010) finds this system’s companion to be coronally active and the only way to reproduce the X-ray luminosity is to have a companion at least as massive as a $\sim 1\, M_\odot$ main-sequence star.

Other post-CE binaries are primarily from the list of Schreiber & Gänscicke (2003), where we selected only those systems that have not suffered substantial reduction of the orbital period after emerging from the CE). Wherever parameters have been updated by new measurements of Zorotovic et al. (2010), we have adopted the revisions. From the extensive list of Sloan Digital Sky Survey post-CE binaries in Zorotovic et al. (2010), we only use the systems SDSS J1548 + 4057, SDSS J0110 + 1326 and SDSS J1435 + 3733 (for more discussion, see Section 5). Finally, we have also considered AA Dor (Müller, Geier & Heber 2010) and HD 149382 (Geier et al. 2009), because of their low-mass secondaries. Whenever errors were not given in the cited references, we assumed an error of 20 per cent on both primary and secondary masses. In Table 4 we list the calculated values of $q (= \frac{M_2}{M_1})$ and $\alpha$, determined using equation (6), along with their uncertainties, in both linear and logarithmic form. We also list values of $\alpha$ determined using the Webbink (2008) relation.

### 3.3 The simulations used in the determination of $\alpha$

Hydrodynamic simulations, carried out both with smooth particle hydrodynamics (e.g. Terman & Taam 1996) or grid methods (e.g. Sandquist et al. 1998), are a tool to determine the value of $\alpha$ \textit{ab initio}. These simulations start by mapping a giant star into the simulation domain. The quantities describing the star (i.e. density, temperature, internal energy, etc.) are calculated using a one-dimensional stellar evolution model. The companion is represented by a point mass, as is the compact core of the giant. The physics included in the simulation is typically only the gravitational attraction of all the masses involved. The interaction time-scale is short enough that radiation transport can be neglected (e.g. see discussion in Sandquist et al. 1998). The in-spiral of the companion is typically followed until the resolution of the grid becomes insufficient, which may or may not be at the termination of the CE interaction (i.e. when sufficient mass has become unbound from the system to dictate the collapse of the primary and its detachment from its Roche lobe). At the end of a simulation, $\alpha$ can be determined by using equation (6).

We determined $\alpha$ from five simulations, four from Sandquist et al. (1998) and one from De Marco et al. (2003). All simulations were carried out with the Burkert & Bodenheimer (1993) code, as modified by Sandquist et al. (1998). Relevant parameters are taken from the listed publications and are reproduced in Tables 3 where, for the Sandquist et al. (1998) simulations, we use the same labels as in their table 1. Their simulations 1 and 2 were identical, except for the giant envelope’s rotation being either zero or synchronized with the companion’s orbital motion. For these two simulations we take the average of their post-CE binary periods. All simulations considered were stopped after orbital decay time-scales lengthened considerably, when only a very small amount of envelope mass was left inside the orbit of the companion ($\sim 10^{-3}–10^{-4}\, M_\odot$).
However, in all cases a considerable amount of envelope gas was still bound to the system albeit at some distance from the core (usually outside the original giant radius and with small binding energy). Because of this leftover bound envelope, we may not assume that the separation of the binary at the end of the simulation is the actual post-CE binary separation. Any envelope still bound to the system at the end of the CE will fall back onto the system to form a circumbinary disc that might have some dynamical effect on the binary period. To understand this eventuality, will require further numerical simulations.

The simulations of Yorke, Bodenheimer & Taam (1995) started the interaction with the companion well inside the AGB stellar envelope. This results in a negative value of $\alpha$ because $M_*/M_i < (M_e + M_i)/A_i$. Although they justified this initial set-up by noting that spin-up only becomes important within their initial radius, when the time-scale for orbital decay exceeds the orbital period, they also stated that a value for $\alpha$ cannot be trivially derived from their simulations, but that the values can be estimated between 0.3 and 0.6. Since it is not clear how these values were determined, we did not use their models.

Finally, we did not use the simulations of Terman & Taam (1996). They considered a 5-$M_\odot$ AGB giant in two different evolutionary stages. The less evolved AGB star, still at the very base of the AGB, and still undergoing core helium burning, was used to simulate a CE interaction with a 0.5-$M_\odot$ companion and presumably resulted in a merger. The more evolved of the two stars was used to simulate CE interactions with 0.5- and 1.0-$M_\odot$ main-sequence companions. In neither case was the simulation followed till the end of the interaction, and a substantial amount of envelope remained bound to the system at the end of the simulation. However, the orbital decay time-scale had considerably slowed down and envelope mass was still being unbound. The authors therefore concluded that the system would survive, albeit with a smaller orbital separation than that reached by the end of the simulation.

### 3.4 Results

Here, we finally calculate the values of $\alpha$ from observations and simulations (Table 4, where we report both linear and logarithmic values). The error bars on $\alpha$ are significant but realistic.
3.4.1 \( \alpha > 1 \)

For the six observed systems and all the simulations, \( \alpha \) exceeds unity. The physical interpretation of this is that the specific CE interaction under consideration has benefited from more energy than the orbital energy supplied by the companion. Several authors (e.g. Han et al. 1995; Webbink 2008) discuss thermal energy as well as the dissociation and ionization energies as additional sources of energy in the \( \alpha \) equation. In Section 2.3 we discussed the thermal energy and showed that we can use the virial theorem to gauge its value, which is exactly half of the binding energy. If we include the thermal energy in this way, all values of \( \alpha \) will be below unity, except for the system with a substellar companion (HD 149382, discussed further in Section 4) and the simulations.

3.4.2 A possible \( \log q \) versus \( \log \alpha \) anticorrelation

In Fig. 6 we explore possible correlations between \( \alpha \) and other relevant parameters. The simulations’ \( \alpha \) values are plotted (the cross symbols) but not fitted, because it is impossible to determine their error bars in a way that is consistent with the observations. We fit \( \log M_1 \) versus \( \log \alpha \) for post-AGB and CSPN systems (not for post-RGB primaries, because for those stars we had to adopt a single mass value). We find a very marginal correlation (correlation coefficient, \( r = 0.56 \)). On the \( \log M_2 \) versus \( \log \alpha \) plane we see a slightly better anticorrelation with \( r = -0.79 \) (post-RGB systems are fitted here). Fits to the data and their errors result in reduced \( \chi^2 \) values of 0.77 and 1.79 for the \( M_1 \) and \( M_2 \) cases, respectively. The correlation between \( \log \alpha \) and the period is extremely weak (\( r = 0.33 \)).

A fit to \( \log \alpha \) as a function of \( \log (q = M_2/M_1) \) is plotted in Fig. 7. In Table 5 we list the parameters of the linear fits with their errors, along with the number of data points, the reduced \( \chi^2 \) values, the probability to exceed\(^5\) and the correlation coefficient \( r \); we then list constant \( \alpha \) fits, along with their reduced \( \chi^2 \) and the probability to exceed. First, we fit all of our data together, except for the simulations, as before. The data are reasonably anticorrelated. We then exclude the outlier (HD 149382 [\( q = 0.014, \alpha = 33 \]) the anticorrelation is somewhat degraded, although these two fits are very equivalent from a statistical point of view. Both have a reduced \( \chi^2 \) below unity and a probability-to-exceed of 0.8, meaning that the errors may have been overestimated or too many degrees of freedom have been considered in the fit. However, a constant \( \alpha \) fit never represents the data better with a probability-to-exceed of zero, which means that random deviations would always explain the data better than the constant \( \alpha \) fit.

To investigate further our data, we eliminated groups of systems to determine whether this would improve the statistics of the anticorrelation among the remaining data. By fitting only post-RGB and central stars of PN systems can one improve the anticorrelation (Fig. 7, right-hand panel). On the other hand the probability to exceed reduces further and since the constant \( \alpha \) fit is not better, we once again have to conclude that the errors have been overestimated for this fit. The improved anticorrelation might derive from the fact that in our reconstruction technique we have assumed that any primary with mass larger than 0.47 \( M_\odot \) went through the AGB evolution. That assumption results in a specific primary mass at the time of the CE interaction (Section 3.1.1). It is however possible that some of these primaries are actually post-CE objects descending from more massive stars, as we described in Section 3.1. More massive stars develop a helium core more massive than 0.47 \( M_\odot \) before ascending the RGB. Once they expand, they may suffer a CE interaction on the RGB that truncates the RGB evolution by ejecting the envelope. These massive, post-CE primaries would later ignite core helium, thus developing a CO core, but with

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\(^5\) The probability to exceed is the probability that a set of randomly sampled data from a normal distribution produces a reduced \( \chi^2 \) that exceeds the one determined. This value should be as close as possible to 0.5, corresponding to a reduced \( \chi^2 \) of approximately unity, where the exact value depends on the number of degrees of freedom (Bevington & Robinson 2003).
an envelope mass low enough to make them appear as hot subdwarfs with a close companion. It is therefore possible that these objects’ masses and radii at the time of the CE interaction might have been significantly miscalculated, resulting in the observed large scatter on the log $q$ versus log $\alpha$ plot in Fig. 7 (left-hand panel). On the other hand, the central stars of PN are (almost) guaranteed to have been on the AGB, and the systems with $M_c < 0.47 M_\odot$ are post-RGB stars, making these two groups less prone to error.

The values of $\alpha$ derived by Sandquist et al. (1998) are approximately a factor of 4 lower than those we derive. They used the formalism of Tutukov & Yungelson (1979), except that they revised the expression for the orbital energy to include the orbital energy value at the time of the CE interaction, unlike Tutukov & Yungelson (1979). Sandquist et al. (1998) also derived the binding energy as the difference between the binding energy at the beginning and at the end of the CE interaction, where they integrated the stellar structure for the initial value and used the simulation output to determine the remaining binding energy from the leftover bound envelope in the system. Although we suspect that the leftover envelope binding energy is low, they do not report the actual value. It is not possible at this point to account exactly for this discrepancy. However, a large part of it is certainly due to the fact that Tutukov & Yungelson (1979), like Yungelson et al. (1993) (equations 2 and 5) use a far larger radius of the primary at the time of the CE interaction, reducing the value of $\alpha$ (see Section 2.1).

4 THE STELLAR RESPONSE AND THE THERMAL ENERGY

In Section 2.3 we discussed using the virial theorem to quantify the entire energy budget of the envelope. If we accounted for the thermal energy of the envelope in this way, we would multiply the envelope binding energy by a factor of 0.5, which would lower all $\alpha$ values by a factor of 2, and bring all but one of the observed systems below unity.

It is possible that the anticorrelation in the data, where some of the systems with low $q$ value appear to need the thermal energy, while others do not, may hold some clues. Since the virial theorem is based on the principle of hydrostatic equilibrium, and since adjustments to hydrostatic equilibrium take place on the stellar dynamical time-scale, we may hypothesize that the time-scales of envelope penetration and in-spiral vary as a function of $q$.

The equation of hydrostatic equilibrium dictates that, during their giant phases, stars expand upon losing mass because the pressure gradient overcomes gravity. When the envelope mass is reduced below a certain threshold by mass-loss, the gravity term dominates and the star shrinks rapidly – this is the phase when the star leaves the RGB or AGB and moves to the left of the Hertzsprung–Russell diagram. If a small companion triggers additional mass-loss while in the outer parts of the envelope, it will also trigger expansion and reduction of the envelope binding energy, because of radius relaxation. This means that relatively light companions could unbind an envelope that is in principle too heavy for them to lift.
The time over which stars respond to changes is the stellar dynamical time. For a 100-R⊙ RGB star this is approximately 2 weeks, while for a 500-R⊙ AGB star it is of the order of a few months to a year. The latter is similar to the CE interaction time-scale determined by the few simulations carried out so far (e.g. Sandquist et al. 1998; De Marco et al. 2003). On these grounds we suggest that if the in-spiral of the companion takes longer than a dynamical time the giant can use its own thermal energy to help unbind the envelope, but if it takes less, this energy source will not be available. It may be possible that when q is smaller it takes the companion longer to plunge into the primary envelope, thus giving the primary star more time to respond by expanding and reducing its own envelope binding energy. In other words, for slower changes the star has time to become virialized, which results in a stellar expansion, i.e. the star is tapping its own thermal energy.

This idea is supported by the calculation of Nordhaus & Blackman (2006, their fig. 2) that shows that lower-mass companions take longer to in-spiral. This also makes sense in view of the fact that the transfer of orbital energy to the envelope is via gravitational drag (Ricker & Taam 2008): the companion creates a dense wake that slows it down and makes it fall in towards the giant’s core. Such a wake would be less massive for lower-mass companions. This suggestion can also explain the simulations’ above-unity α values. Hydrodynamic simulations do not include the stellar energy source, but as long as the CE in-spiral time-scale is shorter than the thermal time-scale, the simulated star will behave physically.

HD 149382, with a substellar companion, remains troublesome. Its value of α is so high that it cannot be explained simply by invoking the thermal response of the star. One could invoke additional sources of energy such as dissociation and ionization energies, but it seems suspicious that such a source should only be effective for the lightest companion. It is possible that a third body was present in these systems, such as another planet. We could also question the precision of the determined parameters; however, we note that with such a low-mass companion, there is no reasonable way of reducing the value of α. To test this we increased the primary mass from 0.41 M⊙ (the middle of the calculated range) to 0.47 M⊙, suggested by Geier et al. (2009) as a more likely value and we determined α under the assumption that HD 149382 is a post-RGB system as well as a post-AGB one. The smallest value of α (10) is obtained for a post-AGB object and the reduction from the value of 33 (Table 4) is due primarily to the fact that the radius would be larger for a larger core mass. In our log–log fit this does not alter appreciably the fit parameters and the anticorrelation is preserved.

5 SUMMARY AND DISCUSSION

In this paper we have compared different derivations of the CE efficiency equations used in the literature, and have derived a more accurate form. Our approximation is quite similar to that of Webbink (1984), although our binding energy is more negative, resulting in higher values of α.

We have then used this approximation to determine the value of α for a set of observed post-CE systems as well as a set of simulations. In so doing we have revealed the difficulties inherent in deriving α values from observations: the methods available to reconstruct the parameters of the primary at the time of the CE interaction do not lead to accurate values. As a result the errors on the determined α are large.

We have also found some evidence for an anticorrelation between \( \log(q = M_2/M_1) \) and \( \log(\alpha) \), such that \( \alpha \approx (0.05 \pm 0.02) \times q^{-1.2 \pm 0.4} \). Considering that the exponent of \( q \) is \( \lesssim -1 \), this implies that smaller mass companions are left with comparable, if not longer, post-CE periods than their more massive counterparts. One way this could be achieved is if smaller companions took longer than the primary’s dynamical time to penetrate into the CE than larger ones, giving their primary star an opportunity to react and use its own thermal energy to expand, thus helping the ejection of the envelope. Since the CE time-scales seem to be similar to the stellar dynamical time-scale (of the order of a year; Sandquist et al. 1998; De Marco et al. 2003), this suggestion is plausible.

The energetics of the CE interaction are complex, however, and it is not enough to state that ionization and dissociation energies could be available; one has to also show that once tapped, these sources aid the envelope ejection process, a thing that was questioned by Soker & Harpaz (2003) who argued that the opacity of the envelope would be too low. Several other mechanisms could play a role in the common envelope ejections (e.g. excitation of pulsational waves, Soker 1992), which may also depend on the in-spiral time-scales.

Politano & Weiler (2007) and Davis, Kolb & Willems (2010) calculated population synthesis models under the assumption of a constant α, or α proportional to secondary mass. Politano & Weiler (2007) compared the predicted post-CE period distribution, secondary and primary mass distributions with observations of the present-day post-CE systems and cataclysmic variables. The predicted distributions are not strongly dependent on the prescription of α they used, leaving α unconstrained. Their period distribution for the current-day post-CE systems predicts many more systems at longer periods than observed (e.g. Miszalski et al. 2009 or Schreiber et al. 2008, 2009). The predicted secondary mass distribution seems also to be in contrast with observations that show that the most represented companion mass has spectral type M3.5, similarly to the distribution of field main-sequence stars (Farihi, Becklin & Zuckerman 2005). Politano & Weiler (2007) claim that the large fraction of brighter companions they predict would be missed by surveys because they would outshine the WD primary. However, Holberg et al. (2008) shows that the companions that would be missed because they outshine the primary WD are brighter than mid-to-late K. This means that if the real companion mass distribution were that predicted by the models of Politano & Weiler (2007), we would have detected more companions with mass larger than \( \sim 0.4 M_\odot \).

Davis et al. (2010) predicted the space density of post-CE systems, the distribution of secondary masses and the period distribution. The latter two predictions do not match observations. Davis et al. (2010) claim that similarly to observations they predict a steep decline of the systems with secondary masses \(< 0.35 M_\odot \). However, as we can see from the exhaustive compilation of Zorotovic et al. (2010), the number of systems with secondary mass below that threshold is very large. Finally, as is the case for the Politano & Weiler (2007) models, the predicted period distribution peaks at longer periods and is very broad, contrary to the observed ones, that peak at periods shorter than a day and are very narrow. The period distribution is likely to become the best observation against which to test our population synthesis models in the future.

5.1 A comparison of this work to that carried out by Zorotovic et al. (2010)

While this paper was in review we became aware of a publication by Zorotovic et al. (2010), in which the authors determined the values of α for a set of post-CE WDs and drew conclusions from their findings in much the same way as we have done here. Some of their conclusions are similar to our own, while others differ.
We pointed out (Section 3.4.2) that our conclusions remain true, and in fact become even stronger if we eliminate the entire class of post-AGB stars with no PN, leaving the central stars of PN (not addressed by Zorotovic et al. 2010) and the post-RGB stars (which are post-RGB stars also in Zorotovic et al. 2010).

After determining ranges of $\alpha$ values for each star, Zorotovic et al. (2010) determined the most likely values within those ranges by carrying out a population synthesis exercise. We have effectively carried out a similar exercise by using Bayesian statistics in the determination of mass and radius of the primary at the time of the CE, by calculating the differences between $M_{\text{MS}}$ and $M_1$, and between $M_{\text{RIP}}$ and $M_2$, using statistical arguments. Interestingly, the conclusions drawn in the two papers are similar: $\alpha$ values for post-RGB stars are higher. A very weak to no correlation of $\alpha$ with $M_2$ exists (Fig. 6, middle panel). Post-AGB systems display a mild correlation between period and $\alpha$, while post-RGB systems do not (Fig. 6, bottom panel). Zorotovic et al. (2010) did not test possible correlation between $q$ and $\alpha$ nor did they carry out a statistical treatment of their data. In addition, they did not list their mean $\alpha$ values nor the corresponding stellar parameters, making it difficult to carry out a more thorough comparison.

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and its AGB counterpart (right-hand panels; for 2011 The Authors, MNRAS Stellar structures for an RGB star (left-hand panels) which had a main-sequence mass of 2 M⊙, 8 Xα 411, 8 2277–2292 11 9.5 12 12 11.5 12 = 2011 RAS 11 2291 10 8 λ 0.1’ criterion 0.2 0.4 0.6 0.8 1.2 1.4 1.6 1.8 0.2 0.4 0.6 0.8 1.2 1.4 1.6 1.8 Monthly Notices of the Royal Astronomical Society © 2011 RAS additional model parameters, see Table A1). The vertical solid lines represent the core/envelope boundaries chosen according to the ‘Density’ criterion (left-most vertical line), ‘shell’ criterion and ‘remnant envelope’ criterion (second and third vertical lines from the left – overlapping in the le...
Table A1. Parameters at the core–envelope boundary according to different criteria for the 2-\textit{M}_\bigodot model on the RGB (\textit{M} = 2.0 \textit{M}_\bigodot, \textit{R} = 20 \textit{R}_\bigodot) and AGB (\textit{M} = 1.93 \textit{M}_\bigodot, \textit{R} = 100 \textit{R}_\bigodot).

| Criterion | Density | \textit{X}_\text{H} = 0.1 | Shell | Remnant env. |
|-----------|---------|----------------|--------|--------------|
| \textit{M}_c (\textit{M}_\bigodot) | 0.314 | 0.313 | 0.313 | 0.314 |
| \textit{R}_c (\textit{R}_\bigodot) | 0.044 | 0.031 | 0.032 | 0.040 |
| \textit{X}_\text{H} | 0.70 | 0.1 | 0.23 | 0.69 |
| \textit{E}_{\text{bin}}/10^{47} (\text{erg}) | −9.14 | −9.62 | −9.55 | −9.23 |
| \textit{\lambda} | 0.40 | 0.38 | 0.38 | 0.39 |
| \textit{M}_c (\textit{M}_\bigodot) | 0.537 | 0.534 | 0.534 | 0.534 |
| \textit{R}_c (\textit{R}_\bigodot) | 0.233 | 0.037 | 0.038 | 0.040 |
| \textit{X}_\text{H} | 0.70 | 0.1 | 0.28 | 0.52 |
| \textit{E}_{\text{bin}}/10^{47} (\text{erg}) | −2.05 | −3.06 | −3.00 | −2.92 |
| \textit{\lambda} | 0.32 | 0.21 | 0.22 | 0.22 |

in Table A1; see also Section 2.4), there are two additional criteria that lead to similar values of \textit{\lambda}: (i) the radius where the abundance of hydrogen is 0.1 [this is the criterion adopted by Dewi & Tauris (2000)]; and (ii) the largest radius where the nuclear reaction rate decreases below a given threshold value (just outside the hydrogen-burning shell; called ‘Shell’ in Table A1).

Although the abundance criterion leads to a lower value of the core–envelope boundary radius, a glance at Table A1 and Fig. A1 shows that the resulting values of \textit{\lambda} are quite similar. There we list the values of the core mass, core radius and hydrogen abundance of the 2-\textit{M}_\bigodot \textsc{mesa} model for two key evolutionary phases, when the star is halfway up the RGB (\textit{M} = 2.0 \textit{M}_\bigodot, \textit{R} = 20 \textit{R}_\bigodot and \tau = 1.0 \text{Gyr}) and at the start of the thermally pulsating AGB (\textit{M} = 1.93 \textit{M}_\bigodot, \textit{R} = 100 \textit{R}_\bigodot and \tau = 1.2 \text{Gyr}), along with the derived values of the envelope binding energy and of \textit{\lambda}.

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