Four-body calculation of proton-$^3$He scattering

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The four-body equations of Alt, Grassberger and Sandhas are solved, for the first time, for proton-$^3$He scattering including the Coulomb interaction between the three protons using the method of screening and renormalization as it was done recently for proton-deuteron scattering. Various realistic two-nucleon potentials are used. Large Coulomb effects are seen on all observables. Comparison with data at different energies shows large deviations in the proton analyzing power but quite reasonable agreement in other observables. The effect of nucleon-nucleon magnetic moment interaction and correlations between $p$-$d$ and $p$-$^3$He analyzing powers are studied.

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Modern calculations of light nuclear systems $A \leq 12$ are essential to our understanding of the force models that have been developed to describe how nucleons interact at low energies [1, 2]. Of these nuclear systems, the four-nucleon ($4N$) system is particularly important because it gives rise, experimentally, to the simplest set of nuclear reactions that shows the complexity of heavier systems and the Coulomb interaction manifest itself in new ways relative to what is observed in the three-nucleon ($3N$) system. Theoretically it is also important because with powerful numerical techniques and fast computers one can calculate not only bound state properties but also scattering observables [4, 5, 6, 7, 8, 9, 10] for a number of elastic, transfer and breakup reactions that place new challenges to our understanding of the underlying force models. The importance of scattering calculations also has to do with the possibility to probe states in the continuum associated with specific resonances, states of higher angular momentum than corresponding bound states, effects that depend on the spin orientation of the projectile and/or target, and threshold effects on the observables, among others.

While the three-nucleon system has been extensively studied [11, 12] through neutron-deuteron ($nd$) and proton-deuteron ($pd$) elastic scattering and breakup experiments, exact calculations using realistic force models as well as interactions derived from Effective Field Theory were restricted, for a long time, to the $nd$ system due to limitations in including the Coulomb force in the description of $pd$ scattering at low energy $pd \rightarrow pd$ and $pd \leftrightarrow \gamma^3$He calculations [13, 14] in the framework of the variational hyperspherical approach. The situation has now changed due to the work of Refs. [15, 16] where calculations of $pd \rightarrow pd$, $pd \rightarrow ppm$, $pd \leftrightarrow \gamma^3$He, $\gamma^3$He $\rightarrow ppm$, $e^3$He $\rightarrow e^d$, and $e^3$He $\rightarrow e^ppm$ were performed at energies ranging from 1 MeV in the center of mass (c.m.) system to the pion production threshold. The work is based on the solution of the momentum-space Alt, Grassberger and Sandhas (AGS) equations [17] together with the screening and renormalization approach [18, 19, 20] for the Coulomb interaction leading to the results of observables that are independent of the screening radius, provided it is sufficiently large.

In the present manuscript for the first time we extend the method of Refs. [15, 16] to the $p$-$^3$He elastic scattering using the four-body AGS equations [21]. The aim is to bring the $4N$ scattering problem to the same level of understanding in terms of the underlying two-nucleon ($2N$) forces as already exists for $3N$, which means that calculations are carried out without approximations on the $2N$ transition matrix (t-matrix) like in Ref. [6] or limitations on the choice of basis functions as in Refs. [22, 23]. Therefore, after partial wave decomposition, the AGS equations are three-variable integral equations that are solved numerically without any approximations beyond the usual discretization of continuum variables on a finite momentum mesh. The results we present here are converged vis-a-vis number of partial waves and momentum meshpoints as well as the value of the screening radius of the Coulomb potential. These calculations are also an extension to $p$-$^3$He of the work already developed for $n$-$^3$H [24], and were presented for the first time in Ref. [25]. Our work follows the work of Refs. [7, 22, 23], but with greater number of $2N$, $3N$, and $4N$ partial waves in order to get fully converged results for the spin observables and with various $2N$ potentials. The advantage of the present work is that it is easier to extended to inelastic reactions and to use with nonlocal interactions.

Our description of $4N$ scattering is based on the symmetrized four-body AGS equations given in Ref. [21], where the solution technique is discussed in detail. In order to include the Coulomb interaction we follow the methodology of Refs. [15, 16] and add to the nuclear $pp$ potential the screened Coulomb one $w_R$ that, in configuration space, is given by

$$w_R(r) = w(r) e^{-\gamma R},$$

where $w(r) = \alpha_e/r$ is the true Coulomb potential, $\alpha_e \approx 1/137$ is the fine structure constant, and $\gamma$ controls the smoothness of the screening; $n = 4$ is the optimal value which ensures that $w_R(r)$ approximates well $w(r)$ for $r < R$ and simultaneously vanishes rapidly for $r > R$, respectively.
providing a comparatively fast convergence of the partial-wave expansion. The screening radius \( R \) must be considerably larger than the range of the strong interaction but from the point of view of scattering theory \( w_R \) is still of short range. Therefore the equations of Ref. [24] become \( R \) dependent. The transition operators \( U_{(R)}^\alpha \) where \( \alpha (\beta) = 1 \) and 2 correspond to initial/final 1 + 3 and 2 + 2 two-cluster states, respectively, satisfy the symmetrized AGS equations

\[
U_{(R)}^{11} = -(G_0 t^{(R)} G_0)^{-1} P_{34} - P_{34} U_{(R)}^1 G_0 t^{(R)} G_0 U_{(R)}^{11}
+ U_{(R)}^1 G_0 t^{(R)} G_0 U_{(R)}^{21},
\]

(2a)

\[
U_{(R)}^{21} = (G_0 t^{(R)} G_0)^{-1} (1 - P_{34})
+ (1 - P_{34}) U_{(R)}^1 G_0 t^{(R)} G_0 U_{(R)}^{11}.
\]

(2b)

Here \( G_0 \) is the four free particle Green’s function and \( t^{(R)} \) the two-nucleon t-matrix derived from nuclear potential plus screened Coulomb between \( pp \) pairs. The operators \( U_{(R)}^\alpha \) obtained from

\[
U_{(R)}^\alpha = P_\alpha G_0^{-1} + P_\alpha t^{(R)} G_0 U_{(R)}^\alpha,
\]

(3a)

\[
P_1 = P_{12} P_{23} + P_{13} P_{23},
\]

(3b)

\[
P_2 = P_{13} P_{24},
\]

(3c)

are the symmetrized AGS operators for the 1 + (3) and (2) + (2) subsystems and \( P_{ij} \) is the permutation operator of particles \( i \) and \( j \). Defining the initial/final 1 + (3) and (2) + (2) states with relative two-body momentum \( p \)

\[
|\phi_\alpha^{(R)}(p)| = G_0 t^{(R)} P_\alpha |\phi_\alpha^{(R)}(p)|,
\]

(4)

the amplitudes for \( 1 + 3 \rightarrow 1 + 3 \) and \( 1 + 3 \rightarrow 2 + 2 \) are obtained as \( |\phi_f^{(R)}(p_f)| = S_{\alpha\beta} |\phi_\alpha^{(R)}(p_f)| U_{(R)}^{\alpha\beta} |\phi_\beta^{(R)}(p_i)| \)

with \( S_{11} = 3 \) and \( S_{21} = \sqrt{3} \).

In close analogy with pd elastic scattering, the full scattering amplitude, when calculated between initial and final \( p^3\)He states, may be decomposed as follows

\[
T_{(R)}^{11} = t_R^{m} + [T_{(R)}^{11} - t_R^{m}],
\]

(5)

with the long-range part \( t_R^{m} \) being the two-body t-matrix derived from the screened Coulomb potential of the form \( \text{(1)} \) between the proton and the c.m. of \( ^3\)He, and the remaining Coulomb-distorted short-range part \( [T_{(R)}^{11} - t_R^{m}] \) as demonstrated in Refs. [20, 24]. Applying the renormalization procedure, i.e., multiplying both sides of Eq. (5) by the renormalization factor \( Z^{-1}_R \) \( \text{(15, 20)} \), in the \( R \rightarrow \infty \) limit, yields the full \( 1 + 3 \rightarrow 1 + 3 \) transition amplitude in the presence of Coulomb

\[
\langle p_f | T^{11} | p_i \rangle = \langle p_f | t_R^{m} | p_i \rangle
+ \lim_{R \rightarrow \infty} \left\{ \langle p_f | [T_{(R)}^{11} - t_R^{m}] | p_i \rangle Z^{-1}_R \right\},
\]

(6)

where the \( Z^{-1}_R \) \( \langle p_f | t_R^{m} | p_i \rangle \) converges (in general, as a distribution) to the exact Coulomb amplitude \( \langle p_f | t_C^{m} | p_i \rangle \) between the proton and the c.m. of the \( ^3\)He nucleus, and therefore is replaced by it. The renormalization factor is employed in the partial-wave dependent form as in Ref. [13]

\[
Z_R = e^{-2i(\sigma_L - \eta_{LR})}
\]

(7)

with the diverging screened Coulomb \( p^3\)He phase shift \( \eta_{LR} \) corresponding to standard boundary conditions and the proper Coulomb one \( \sigma_L \) referring to the logarithmically distorted proper Coulomb boundary conditions. The second term in Eq. (6), after renormalization by \( Z^{-1}_R \), represents the Coulomb-modified nuclear short-range amplitude. It has to be calculated numerically, but, due to its short-range nature, the \( R \rightarrow \infty \) limit is reached with sufficient accuracy at finite screening radii \( R \). As in pd elastic scattering \([13]\) one needs larger values of \( R \) for decreasing proton energies, making the convergence of the results more difficult to reach. Nevertheless for \( E_p > 2 \) MeV the method leads to very precise results as we demonstrate in Fig. 1 for the differential cross section \( d\sigma / d\Omega \), proton analyzing power \( A_y \), and \( p^3\)He spin correlation coefficient \( C_{xy} \) at proton lab energy \( E_p = 4 \) MeV. The observables are shown as functions of the c.m. scattering angle. Fully converged results are obtained with \( R = 12 \) fm, but already \( R = 8 \) and \( 10 \) fm results are very close to them. The calculations include isospin-singlet 2N partial waves with total angular momentum \( I \leq 4 \) and isospin-triplet 2N partial waves with orbital angular momentum \( I_z \leq 7 \), 3N partial waves with spectator orbital angular momentum \( I_y \leq 7 \) and total angular momentum \( J \leq \frac{11}{4} \), 4N partial waves with 1+3 and 2+2 orbital angular momentum \( I_z \leq 7 \) and all initial/final \( p^3\)He states with orbital angular momentum \( L \leq 3 \). The charge-dependent (CD) Bonn potential \( [27] \) is used. The effect of Coulomb is large in the whole angular region, particularly for \( A_y \) where it reduces the magnitude of the maximum. The \( R = 0 \) fm curve corresponds to the so-called Doleschall approximation which clearly fails to reproduce the full Coulomb effect.

In Figs. 2-11 we compare the results of our calculations with data for a number of observables at \( E_p = 2.25, 4.0, \) and 5.54 MeV. In addition to CD Bonn we use AV18 \( [28] \), inside-nonlocal outside-Yukawa (INOY04) potential by Doleschall \( [3, 29] \), and the one derived from chiral perturbation theory at next-to-next-to-next-to-leading order (N3LO) \( [30] \). The \( ^3\)He binding energy (BE) calculated with AV18, N3LO, CD Bonn, and INOY04 potentials is 6.92, 7.13, 7.26, and 7.73 MeV, respectively; the experimental value is 7.72 MeV. As in \( n^3\)H scattering \([24]\), \( p^3\)He observables depend on the choice of potential; predictions with N3LO and AV18 agree best with the cross section data but it is INOY04 that provides the highest \( A_y \) at the peak. If one considers AV18, CD Bonn, and INOY04 potentials alone, one might be tempted to
conclude about a possible correlation between observables and $^3$He BE. Nevertheless, as discussed in Ref. [24], N3LO, for reasons not yet fully understood, breaks this correlation in the considered energy region. As found in Ref. [24], 4N $S$-wave phase shifts correlate with the 3N BE [24] but as the energy increases 4N $P$-waves become very important as well and behave differently depending on the choice of potential. Therefore correlations between $p$-$^3$He observables and $^3$He BE cannot be established easily without further studies, e.g., inclusion of a 3N force.

As shown in Figs. 3—4 $^3$He target analyzing power $A_{0y}$ and $p$-$^3$He spin correlation coefficients $C_{yy}$ are described quite satisfactorily. This updates the findings of Ref. [22] based on AV18 potential where significant discrepancies were observed for $A_{0y}$ and $C_{yy}$. However, the proton analyzing power is clearly underestimated by all potentials. In contrast to low-energy $pd$ elastic scattering where variations of the 2N interaction at the maximum of $A_y$ lead to 10% fluctuations, here we get 15%, which means that the 4N system is more sensitive to off-shell differences of the 2N force than the 3N system.

In Fig. 5 we compare $A_y$ for potential INOY04 and its version INOY04’ [9, 29] with modified 2N $^3P_I$ wave parameters such that it provides quite satisfactory description of $A_y$ in low-energy $n$-$d$ and $p$-$d$ scattering at the cost of being inconsistent with the 2N data.

FIG. 1: (Color online) Convergence of the $p$-$^3$He scattering observables with screening radius $R$. Results for the differential cross section, proton analyzing power $A_y$, and $p$-$^3$He spin correlation coefficient $C_{yy}$ at 4 MeV proton lab energy obtained with screening radius $R = 0$ fm (dashed-double-dotted curves), 6 fm (dotted curves), 8 fm (dashed-dotted curves), 10 fm (double-dashed-dotted curves), and 12 fm (solid curves) are compared. Results without Coulomb (dashed curves) are given as reference for the size of the Coulomb effect.

FIG. 2: (Color online) The differential cross section and proton analyzing power $A_y$ at 2.25, 4.0, and 5.54 MeV proton lab energy. Results including the Coulomb interaction obtained with potentials CD Bonn (solid curves), AV18 (dashed curves), INOY04 (dashed-dotted curves), and N3LO (dotted curves) are compared. The data are from Refs. [22, 31, 32].

FIG. 3: (Color online) $^3$He target analyzing power $A_{0y}$ and spin correlation coefficient $C_{yy}$ at 4.0 and 5.54 MeV proton lab energy. Curves as in Fig. 2. The data are from Ref. [32].
ever, for \( p^3{\text{He}} \) \( A_y \) disagreement with data still persists.

Finally, using AV18 potential we investigate the effect of 2N magnetic moment interaction. As for \( p-d \) scattering \[35\] it is most visible for \( A_y \) at low energy where at \( E_p = 2.25 \) MeV it gives rise to a 5.3\% increase towards the data. At 4 MeV the MM interaction effect is reduced to 2.7\%.

In conclusion, we have been able to obtain \textit{ab initio} four-nucleon results for \( p^3{\text{He}} \) scattering that include the Coulomb interaction between the protons for different realistic local and nonlocal 2N interactions. The reliability of the screening and renormalization approach is demonstrated. The calculations describe existing data quite well except proton \( A_y \) where there is 25 - 40\% discrepancy at the peak. We find that 4N observables are more sensitive than 3N observables to off-shell changes in the 2N interaction, and that curing \( A_y \) in low energy 3N scattering through changes in the 2N-3\( P_1 \) partial waves still gives rise to a \( p^3{\text{He}} \) \( A_y \) deficiency. A visible effect of 2N magnetic moment interaction is found for \( A_y \) at very low energy.

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