Neurodegeneration and dementia pose a major public health challenge worldwide owing to their devastating impact on quality of life and the tremendous burden they place on health-care systems 1,2. The number of adults with a diagnosis of neurodegenerative disease across the globe is set to increase dramatically in the coming decades — the ageing population harbours a potential epidemic of functional disability, pain and loss of independence3–5. Among the neurodegenerative disorders, amyotrophic lateral sclerosis (ALS) is the most rapidly fatal6 — the typical time from symptom onset to death is 2–3 years7,8.

Research in the past 25 years has improved our understanding of the pathophysiology of ALS, but the translation of this knowledge into effective treatments has been disappointing — even today, most patients with ALS do not have the opportunity to participate in clinical trials 9. Previously unrecognized bottlenecks in the translational process have been revealed, including a lack of large-scale research infrastructure and of the coordination necessary to initiate trials. In addition, inherent disease characteristics, such as disease heterogeneity, make a one-size-fits-all solution unworkable and long durations of clinical trials are necessary owing to a lack of proven biomarkers and clinical trial outcome measures10. Progress in this era of potential therapeutic advance, in which an unparalleled number of disease-modifying therapies are ready to be trialled or implemented into clinical practice, requires the establishment of stronger collaborative networks across clinical and translational neuroscience domains.

In this Review, we first appraise the current status of the ALS field from a basic neuroscience perspective with respect to recent molecular discoveries and then discuss progress in the development of therapeutics, including biomarkers, drug repurposing strategies and high-throughput drug screening. We critically analyse molecular technology and the emergence of genetic and cellular therapies for patients currently living with ALS. Finally, we consider new trial designs that are facilitated by advances in patient-reported outcome measures.

Deconstructing ALS
Clinical identification of ALS starts with the confirmation of upper and lower motor neuron abnormalities that involve the brain, spinal regions and the peripheral neuromuscular system11. Typical clinical presentations include bulbar-onset ALS with speech and swallowing...
Key points

• The development of effective treatments for amyotrophic lateral sclerosis (ALS) has been limited by a lack of comprehensive understanding of the biological processes that trigger the disease and promote progression.
• Causative genetic mutations have been identified, many of which are linked to RNA function and metabolism.
• Disease heterogeneity suggests that a precision medicine paradigm incorporating extensive phenotypic and genotypic information will be required to realize effective therapy and improve the outcomes for individual patients with ALS.
• The repurposing of drugs with established safety profiles from their use in other human diseases is a new approach to therapeutic discovery in ALS.
• Enhanced clinical trial designs, including multi-arm, multi-stage platform trials, that incorporate biomarkers of treatment responses will accelerate drug discovery and increase trial participation.
• Improved patient stratification and patient-reported outcome measures, including home assessments, will improve the reliability and sensitivity of trial endpoints.

The development of effective treatments for amyotrophic lateral sclerosis (ALS) has been limited by a lack of comprehensive understanding of the biological processes that trigger the disease and promote progression. Causative genetic mutations have been identified, many of which are linked to RNA function and metabolism. Disease heterogeneity suggests that a precision medicine paradigm incorporating extensive phenotypic and genotypic information will be required to realize effective therapy and improve the outcomes for individual patients with ALS. The repurposing of drugs with established safety profiles from their use in other human diseases is a new approach to therapeutic discovery in ALS. Enhanced clinical trial designs, including multi-arm, multi-stage platform trials, that incorporate biomarkers of treatment responses will accelerate drug discovery and increase trial participation. Improved patient stratification and patient-reported outcome measures, including home assessments, will improve the reliability and sensitivity of trial endpoints.

ALS are commonly grouped according to whether they alter proteostasis, RNA function or the cytoskeleton.

By contrast, sporadic ALS presents in individuals without a family history of the disease. Family aggregation studies have identified overlap between sporadic ALS and other neurodegenerative or developmental disorders, suggesting that variants in some susceptibility genes increase the overall risk of brain and mind disorders within families, similar to findings in familial ALS that are consistent with genetic pleiotropy.

Attempts to determine the genetic basis of sporadic ALS have produced limited success, supporting the concept of ALS as a common disease associated with multiple rare variants.

The pathophysiological basis of ALS is typically thought to involve glutamate-induced excitotoxicity, structural abnormalities of mitochondria, autophagy, neuroinflammation and disruption of axonal transport mechanisms (FIG. 1). Non-neuronal cells, including astrocytes and microglia, also play a role in neurodegeneration in ALS via the secretion of neurotoxic mediators and the modulation of glutamate receptor expression.

TAR DNA-binding protein 43 (TDP43) is thought to be pathogenic in ALS. This protein is a major component of the ubiquitylated cytoplasmic protein aggregates that are present in most cases of sporadic and familial ALS. The observation that mutations in highly conserved regions of the TARDBP gene are not evident in controls and segregate with the disease provides further evidence for the pathogenicity of TDP43.

Development of therapeutics
Precision approaches and biomarkers

In light of the clinical heterogeneity of ALS, the involvement of the upper and lower motor neuron systems, and the underlying molecular complexity that determines disease expression, the concept of therapy that is tailored to an individual’s genetic signature has started to emerge (FIG. 2). An example of how this approach could be beneficial has come from post hoc analysis of three trials of lithium for ALS, which showed that patients experienced different effects of the therapy according to their UNC13A genotype. For patients with ALS who were homozygous for a specific variant in UNC13A, lithium had a positive disease-modifying effect despite the overall negative results of trials. Among individuals with the UNC13A variant, the probability of survival at 12 months was 28% higher among those who received lithium than among those who received placebo.

The understanding of individual differences in response to a trial therapy, particularly in a longitudinal manner to assess progression, requires reliable biomarkers. Several candidate biomarkers for ALS have emerged, including biofluid, neurophysiological and neuroimaging measures that indicate various aspects of disease, from network-level dysfunction to structural changes and basic cellular processes. Most of these markers indicate the loss of either the upper or lower motor neuron systems but rarely of both. However, their clinical utility and ability to enable patient stratification are variable.

A consistent diagnostic biomarker for ALS is cortical hyperexcitability measured with transcranial magnetic
stabilization. Whether the measurement of cortical excitability can contribute to prognostic stratification is less clear — the pharmacodynamic sensitivity of this measure has only been investigated with riluzole, the only established disease-modifying drug for ALS36,37. Structural changes in ALS can also be observed with MRI, which has revealed widespread involvement of cerebral white matter tracts and grey matter. However, the sensitivity of these measures to longitudinal change is inconsistent even at the group level and functional changes are even more difficult to quantify38. Emerging techniques that combine neuroimaging with functional neurophysiological approaches, such as quantitative electroencephalography, evoked responses and source analyses, could capture a true systems-level signature for ALS39.

The most promising biofluid marker of ALS is neurofilament in the cerebrospinal fluid (CSF) and blood, levels of which correlate with the rate of disease progression and are most strongly associated with the involvement of the upper motor neuron system40,41. CSF and serum levels of the neurofilament light chain (NfL) correlate tightly with one another and serum assays have increased in sensitivity to offer a simple, non-invasive measure41. Measurement of serum NfL has been applied in trials of the latest therapies for multiple sclerosis42. In the context of monitoring treatment responses in trials of therapies for ALS, failure of serum NfL levels to decrease during treatment could enable decisions regarding the efficacy of potential therapeutic agents to be made earlier. In a multi-arm, multi-stage (MAMS) platform trial structure (see Enhanced trial design, below), patients could subsequently switch to a new trial agent.

The development of biomarkers for use in trials in ALS is further complicated by the possibility that ALS results from a cascade of multiple pathways. For this reason, multidrug trials will be needed to tackle different aspects of the disease. In such multidrug trials, specific biomarkers are needed for each of the targeted mechanisms to enable the contributions of individual therapies to be assessed as advocated by the Airlie House guidelines10 (See Patient-reported outcomes, below). Biomarkers are also needed to enable the testing of preventive strategies for at-risk individuals, but biomarkers associated with the symptomatic phase of the disease

### Table 1 | Genes known to be associated with ALS

| Gene | Protein product | Protein function | Locus | Familial ALS | Sporadic ALS |
|------|----------------|-----------------|-------|--------------|--------------|
| C9orf72 | Chromosome 9 open reading frame 72 | Nucleotide factor | 9p21–22 | 20–50% | 10% |
| SOD1 | Superoxide dismutase 1 | Superoxide dismutase | 21q22.1 | 10–20% | 2% |
| TARDBP | TDP43 | RNA-binding protein | q36 | 5% | <1% |
| FUS | Fused in sarcoma protein | RNA-binding protein | 16p11.2 | 5% | <1% |
| MAT3 | Matrin 3 | RNA-binding protein | 5q31.2 | <1% | <1% |
| HNRNPA1 | Heterogeneous nuclear ribonucleoprotein A1 | RNA-binding protein | 12q13.1 | <1% | <1% |
| OPTN | Optineurin | Mediator of apoptosis, inflammation and vasoconstriction, cellular morphogenesis, membrane trafficking, vesicle trafficking, transcription activation | 10p15–p14 | 4% | <1% |
| UBQLN2 | Ubiquilin 2 | Ubiquitination and protein degradation | Xp11.23–Xp13.1 | <1% | <1% |
| SQSTM1 | Sequestosome 1 | Autophagosome cargo protein, targets proteins for autophagy | 5q35.3 | <1% | <1% |
| TBK1 | Serine/threonine-protein kinase TBK1 | Phosphorylation of nuclear factor-κB, regulation of cell proliferation, apoptosis and glucose metabolism, promotion of autophagy via the ubiquitylation pathway | 12q14.2 | <1% | <1% |
| VCP | Transitional endoplasmic reticulum ATPase | Ubiquitin segregase | 9p13.3 | 2% | <1% |
| DCTN1 | Dynactin subunit 1 | Mediator of organelle transport, spindle formation and axonogenesis | 2p13 | 1% | <1% |
| ANG | Angiogenin | Ribonuclease | 14q11 | <1% | <1% |
| PFN1 | Profilin 1 | Actin-binding protein | 17p13.2 | <1% | <1% |
| CHCHD10 | Coiled-coil-helix-coiled-helix domain-containing protein 10 | Maintenance of cristae morphology in mitochondria, oxidative phosphorylation | 22q11.23 | <1% | <1% |
| TUBA4A | Tubulin a4A chain | Microtubule formation, maintenance of cytoskeleton and structure of cells | 2q36.1 | <1% | <1% |

ALS, amyotrophic lateral sclerosis; TDP43, TAR DNA-binding protein 43. *The proportion of ALS cases associated with each genetic mutation varies depending on the population studied13,18–22.

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The pathophysiology of ALS. Amyotrophic lateral sclerosis (ALS) preferentially involves the descending corticospinal motor neurons that synapse with spinal motor neurons and project to skeletal muscles via the neuromuscular junction. The processes of neurodegeneration in amyotrophic lateral sclerosis involve a complex array of molecular and genetic pathways. Glutamate-induced excitotoxicity can result from the overactivation of ionotropic glutamate receptors that allow excessive influx of Na$^+$ and Ca$^{2+}$ ions (step 1) and ultimately neurodegeneration through the activation of Ca$^{2+}$-dependent enzymatic pathways. Glutamate excitotoxicity also generates free radicals, which further contribute to the process of neurodegeneration via oxidative stress. Na$^+$–K$^+$ pump dysfunction (step 2) disrupts the resting membrane potential and leads to secondary effects of altered intracellular Na$^+$ levels. Ion channel dysfunction (step 3) also leads to altered intracellular Na$^+$ levels. Altered Na$^+$ levels result in the reversal of the Na$^+$–Ca$^{2+}$ exchanger (step 4), thereby increasing the intracellular Ca$^{2+}$ levels that can cause neuronal toxicity. Defects in RNA processing, RNA metabolism and protein synthesis lead to defects in nucleocytoplasmic trafficking associated with neuronal degeneration (step 5). Mutant SOD1 enzymes increase oxidative stress, induce mitochondrial dysfunction, form intracellular aggregates, and adversely affect neurofilament and axonal transport processes (step 6). Mutations in TARDBP, FUS and C9orf72 can result in the formation of intracellular aggregates of their protein products (step 7), leading to increased oxidative stress, mitochondrial dysfunction, defects in axonal transport and, consequently, in neuronal death. Defects in protein folding and degradation also lead to protein aggregates (step 8). The activation of microglia promotes the secretion of pro-inflammatory cytokines and neurotoxic substances, such as glutamate, which promote neuroinflammation and neuronal death (step 9). Reduced expression and activity of the astrocytic glutamate transporter excitatory amino acid transporter 2 (EAAT2) (step 10) is associated with motor neuron degeneration owing to glutamate toxicity. Accumulation of mutant SOD1 protein in Schwann cells (step 11) are thought to mediate synaptic denervation, which precedes the onset of anterior horn cell degeneration.
might not be useful in this context. Currently, the identification of individuals who are at risk of developing ALS can only be done by screening individuals from families that carry highly penetrant mutations. However, some data suggest that predictive biomarkers do exist. A study of individuals who carried an intronic GGGGCC expansion in C9orf72 showed that expansion-derived dipeptides were present in cerebrospinal fluid and peripheral blood mononuclear cells many decades before symptom onset43. Similarly, a large primary care cohort study identified novel metabolic markers, including alterations in carbohydrate, lipid and apolipoprotein profiles, that were associated with an increased risk of ALS in later life44.

Drug repurposing
Alongside efforts to discover new disease-modifying therapies for ALS, drug repurposing is also being investigated to rapidly identify effective therapies in ALS. The repurposing of existing drugs enables the rapid assessment of drug efficacy in phase II trials owing to the established safety profiles of these drugs. In addition, advances in cell reprogramming are revolutionizing drug repurposing strategies in ALS. Human somatic cells, including skin fibroblasts, can be reprogrammed into induced pluripotent stem cells45, which can then be differentiated into neural progenitor cells and ultimately into neuronal and glial cell lines46. This phenotypic approach provides a rich source of neurons and glial cells that are derived from patients with ALS and that retain the key pathophysiological properties of the disease. These properties enable the rapid, ex vivo screening of drugs relevant to an individual’s phenotype. The use of existing drugs that modulate established pathophysiological mechanisms in ALS has already identified several therapeutic candidates47 (TABLE 2). The most promising of these candidates from a clinical trial perspective are discussed below.

Edaravone. One candidate for repurposing in ALS is edaravone, which was initially discovered as a free-radical scavenger and potent antioxidant in the treatment of acute ischaemic stroke in Japan48. A phase III clinical trial of edaravone in Japanese patients with ALS showed that the drug slowed disease progression in this cohort49. Based on this finding, edaravone was approved for the treatment of ALS by the FDA in 2017. However, a subsequent multicentre study of edaravone in patients with ALS in Italy did not have a positive outcome50 and therefore regulatory approval of edaravone for ALS in Europe is likely to require additional evidence for its efficacy, including evidence of long-term benefits for survival and of efficacy in cohorts of patients with ALS outside Japan49,51.

Fig. 2 | Model of precision medicine for ALS. A one-size-fits-all approach (left) in amyotrophic lateral sclerosis (ALS) leads to the use of a single treatment in heterogeneous populations. In this scenario, some patients benefit from therapy but others do not. Stratified medicine (centre) improves on the one-size-fits-all approach by enabling patients to be separated into more homogeneous groups based on demographics, clinical phenotype and molecular subtypes of ALS. However, advances in knowledge and technology are enabling a transition to a precision medicine paradigm (right) in ALS. Precision medicine incorporates individual phenotypic and genotypic data to guide individualized therapy. In this scenario, all patients can benefit from treatment.
| Repurposed drug                        | Existing use                                      | Targeted pathogenic mechanism                                                                 | ALS trial identifier | Primary outcome measures | Outcome                                  |
|---------------------------------------|---------------------------------------------------|------------------------------------------------------------------------------------------------|----------------------|--------------------------|------------------------------------------|
| Tauroursodeoxycholic acid             | Familial amyloid polyneuropathy (transthyretin)   | Endoplasmic reticulum stress, mitochondrial dysfunction                                       | NCT03488524          | ALSFRS-R                 | Reduction in functional decline<sup>137</sup> |
|                                       |                                                   |                                                                                                | NCT03127514          | Survival                 | Prolonged<sup>139</sup>                  |
| Mexiletine                            | Cardiac arrhythmia                                | Neuronal hyperexcitability                                                                  | NCT01811355          | Daily cramp frequency    | Significant reduction in cramp frequency and severity<sup>136</sup> |
|                                       |                                                   |                                                                                                | NCT02781454          | Change in resting motor threshold | Pending                                 |
|                                       |                                                   |                                                                                                | NCT01849770          | Safety                   | Safe<sup>139</sup>                      |
| Ezogabine                             | Epilepsy                                          | Neuronal hyperexcitability                                                                  | NCT02450552          | Change in short-interval intracortical inhibition as measured by transcranial magnetic stimulation | Pending                                 |
| Dimethyl fumarate                     | Relapsing–remitting multiple sclerosis            | Neuroinflammation, upregulation of T<sub>reg</sub> cells                                     | ACTRN12618000534280  | ALSFRS-R                 | Pending                                  |
| IL-2                                  | Metastatic melanoma, metastatic renal cancer      | Neuroinflammation, cytokine signalling, upregulation of T<sub>reg</sub> cells               | NCT02059759          | Change in number of T<sub>reg</sub> cells | Pending                                  |
| Edaravone                             | Acute stroke                                      | Oxidative stress                                                                            | NCT01492686          | ALSFRS-R                 | Significant slowing of disease progression vs placebo<sup>140</sup> |
| Dolutegravir, abacavir and lamivudine  | HIV infection                                     | HERVK expression                                                                            | NCT02868580          | Safety                   | Safe<sup>120</sup>                      |
| (Triumeq)                             |                                                   |                                                                                                |                      |                          |                                          |
| Ibudilast (MN-166)                    | Chronic obstructive pulmonary disease             | Neuroinflammation and microglial activation                                                  | NCT02238626          | Safety and tolerability  | Pending                                  |
|                                       |                                                   |                                                                                                | NCT02714036          | Safety and tolerability  | Pending                                  |
| Tamoxifen                             | Breast cancer                                     | Neuroinflammation, proteostasis                                                             | NCT02166944          | ALSFRS-R                 | Not significant<sup>141</sup>            |
|                                       |                                                   |                                                                                                | NCT00214110          | Muscle strength          | Pending                                  |
|                                       |                                                   |                                                                                                | NCT01257581          | ALSFRS-R                 | No significant effect<sup>142</sup>      |
| Memantine                             | Advanced stages of Alzheimer disease             | Glutamate excitotoxicity                                                                     | NCT01020331          | ALSFRS-R                 | No significant effect<sup>143</sup>      |
|                                       |                                                   |                                                                                                | NCT02118727          | ALSFRS-R                 | Pending                                  |
|                                       |                                                   |                                                                                                | NCT00409721          | ALSFRS-R, FVC, muscle strength, cognitive function | Pending                                 |
|                                       |                                                   |                                                                                                | NCT00353665          | ALSFRS-R                 | No significant effect<sup>144</sup>      |
| Perampanel                            | Partial-onset seizures                            | Glutamate excitotoxicity (AMPA-receptor mediated)                                            | NCT03019419          | ALSFRS-R                 | Pending                                  |
|                                       |                                                   |                                                                                                | NCT03377309          | Safety                   | Pending                                  |
|                                       |                                                   |                                                                                                | NCT03793868          | Motor threshold          | Pending                                  |
|                                       |                                                   |                                                                                                | NCT03020797          | Safety                   | Pending                                  |
| Rasagiline                            | Parkinson disease                                 | Oxidative stress and apoptosis                                                              | NCT01786603          | ALSFRS-R                 | No significant effect<sup>145</sup>      |
|                                       |                                                   |                                                                                                | NCT01232738          | ALSFRS-R                 | No significant effect<sup>145</sup>      |
|                                       |                                                   |                                                                                                | NCT01879241          | Survival                 | No significant effect<sup>145</sup>      |
**Table 2 (cont.) Repurposed drugs in clinical development for ALS**

| Repurposed drug | Existing use | Targeted pathogenic mechanism | ALS trial identifier | Primary outcome measures | Outcome |
|-----------------|--------------|------------------------------|----------------------|-------------------------|---------|
| Masitinib       | Mastocytosis, severe asthma | Neuroinflammation (microglia) | NCT02588677 | ALSFRS-R | Significant slowing in functional decline<sup>51</sup> |
|                 |              |                              | NCT03127267 | ALSFRS-R | Pending |
| Methylcobalamin | Vitamin B12 deficiency | Glutamate excitotoxicity | NCT03548311 | ALSFRS-R | No significant effect<sup>23</sup> |
| Cu(II)ATSM      | PET ligand | Copper deficiency | NCT02870634 | Safety | Pending |
| Arimoclomol     | Insulin resistance, complications of diabetes mellitus | Impaired proteostasis | NCT00244244 | Safety | Safe<sup>82</sup> |
|                 |              |                              | NCT00760147 | Time to death, tracheostomy or permanent assisted ventilation | Safe, no significant effect on outcomes<sup>187</sup> |
|                 |              |                              | NCT03491462 | Combined assessment of function and survival | Pending |
|                 |              |                              | NCT03836716 | Safety | Pending |

ALS, amyotrophic lateral sclerosis; ALSFRS-R, ALS Functional Rating Scale—Revised; Cu(II)ATSM, diacetyl-bis(4-methyl-3-thiosemicarbazonato) copper(II); FVC, forced vital capacity; HERVK, human endogenous retrovirus type K; T<sub>reg</sub> cell, regulatory T cell. *In a select group of patients with ALS.

**Ezogabine.** Neuronal hyperexcitability is an important pathophysiological mechanism in ALS<sup>24</sup> and the antiepileptic agent ezogabine is a potent K<sup>+</sup> channel activator that induces membrane hyperpolarization<sup>25</sup> and therefore reduces neuronal excitability. Consequently, ezogabine has potential for repurposing in ALS and has been investigated in this context. In an induced pluripotent stem cell model of ALS, ezogabine reduced neuronal hyperexcitability and increased motor neuron survival<sup>54</sup>. On this basis, a clinical trial has been initiated to assess the efficacy of ezogabine on central and peripheral nerve excitability in ALS — results are expected in late 2020<sup>REF<sup>57</sup></sup>.

**Immunomodulatory therapies.** Neuroinflammatory pathways have been implicated in the pathophysiology of ALS and immunomodulatory therapies are under investigation for repurposing in ALS. Two of these agents — dimethyl fumarate and IL-2 — target regulatory T (T<sub>reg</sub>) cell function. T<sub>reg</sub> cells have neuroprotective effects via the suppression of toxic neuroinflammation in the CNS<sup>68</sup> and autologous infusion of expanded T<sub>reg</sub> cells in a small cohort of patients with ALS slowed disease progression<sup>69</sup>. Dimethyl fumarate, which is approved for the treatment of relapsing–remitting multiple sclerosis, increases T<sub>reg</sub> cell numbers and function<sup>68</sup> and could therefore be beneficial in ALS. A phase II clinical trial is currently under way to assess the efficacy of dimethyl fumarate in this context<sup>68</sup>. IL-2 is a cytokine that is important for T<sub>reg</sub> cell development and homeostasis<sup>69</sup> and recombinant analogues of IL-2 are used in the treatment of cancers. Currently, two trials in Europe are in progress to assess the effects of IL-2 in ALS<sup>64</sup>. Results from one of these studies — the IMODALS study — showed that low-dose IL-2 was well tolerated and immunologically effective in ALS<sup>65</sup>.

Microglia are another potential neuroinflammatory target in ALS. Masitinib, which was originally developed as a therapy for cancer, is a tyrosine kinase inhibitor that inhibits microglial activation. In a phase II–III trial in patients with ALS, masitinib as an add-on therapy to riluzole slowed disease progression<sup>19</sup>, underscoring the importance of neuroinflammation as a treatment target in ALS<sup>24</sup>.

**AMX0035.** AMX0035 is a combination of sodium phenylbutyrate and tauroursodeoxycholic acid. These agents have been used individually in the treatment of various disorders — sodium phenylbutyrate for urea cycle disorders<sup>70</sup> and tauroursodeoxycholic acid for familial amyloid polyneuropathy, cholelithiasis and cholestatic liver disease<sup>71</sup>. In combination, they act synergistically to prevent neuronal death and oxidative, bioenergetic and metabolic stress<sup>72</sup> and could therefore be beneficial in ALS. A trial to evaluate the efficacy of AMX0035 in 137 people with ALS has been completed<sup>73</sup>. Treatment with this combination therapy resulted in a significant 25% slowing of disease progression over 6 months<sup>74</sup>. Secondary outcomes of vital capacity and quantitative strength trended in a positive direction. When the randomized controlled study was combined with a period of open-label access, survival was also improved in patients who originally received placebo; overall, random assignment to receive AMX0035 conferred a 6.5-month survival advantage compared with random assignment to receive placebo<sup>75</sup>. Separately, the antioxidant effects of tauroursodeoxycholic acid alone in ALS are being assessed in a European consortium trial (TUDCA-ALS)<sup>76</sup>.

**Rasagiline.** Rasagiline is a monoamine oxidase B inhibitor used for the treatment of Parkinson disease, but a study in the SOD1 mouse model of ALS indicated a benefit of rasagiline in this context<sup>77</sup>. However, two subsequent trials of rasagiline in patients with ALS did not demonstrate its efficacy<sup>21,23</sup> (although post hoc analysis identified a possible effect in patients with rapid disease progression) suggesting that, overall, repurposing of this drug will not be a useful strategy in ALS. This lack of translation from the SOD1 mouse model to humans with ALS could be a result of differences in pathophysiology. For instance, in SOD1 mice, the loss of motor neurons and associated weakness progresses over a short period
of time\textsuperscript{24}, whereas symptoms in humans do not emerge for decades, apparently triggered by a multi-step process that has not yet been replicated in animal models\textsuperscript{75–80}. The safety and tolerability of arimoclomol has been established in patients with ALS\textsuperscript{82} and larger trials are now being conducted to assess its efficacy; whether translational difficulties similar to those encountered with rasagiline arise remains to be seen.

**Arimoclomol.** Arimoclomol is a co-inducer of heat shock proteins that is under investigation for therapeutic use in insulin resistance. Preclinical data suggest that arimoclomol could be beneficial in ALS, as it reduced misaggregated proteins in ALS models and in models of lysosomal storage diseases\textsuperscript{83}. The safety and tolerability of arimoclomol has been established in patients with ALS\textsuperscript{82} and larger trials are now being conducted to assess its efficacy; whether translational difficulties similar to those encountered with rasagiline arise remains to be seen.

**High-throughput drug screening**

High-throughput drug screening (HTDS) methodologies represent an alternative to drug repurposing strategies for the identification of effective therapeutic agents in ALS. The main advantage of HTDS is the ability to identify novel compounds that exert unexpected effects on the pathogenic mechanisms of ALS. Advances in computational techniques and artificial intelligence have led to the development of powerful platforms that enable the screening of millions of compounds and hundreds of thousands of cell assays\textsuperscript{84}.

In ALS, in silico approaches have predominantly been used to screen millions of drugs for predicted effects such as receptor binding or protein stabilization. Drug-focused and disease-focused databases and online tools that associate drugs with disease mechanisms are being developed and are likely to result in additional therapeutic breakthroughs for ALS\textsuperscript{85}. However, the increase in potential therapies that these platforms are expected to identify could lead to bottlenecks if large numbers of drugs subsequently need to be tested in patients.

Engineering tools that simulate developmental systems, such as cell-based in silico HTDS approaches, are increasingly being used in ALS drug discovery, largely driven by advances in computational and cell reprogramming technologies. Many cell-based HTDS studies have been undertaken to identify compounds that exert anti-glutamatergic activity\textsuperscript{86}, downregulate mutant SOD1 transcription\textsuperscript{87}, reduce TDP43 protein levels and aggregation\textsuperscript{88}, reduce oxidative stress\textsuperscript{89}, and improve motor neuron survival\textsuperscript{90}. Several promising drug candidates have been identified in these studies but none have yet been translated into effective therapies for ALS.

Cell-free in silico HTDS strategies have also been used in ALS drug discovery to identify drugs that modulate protein interactions and misfolding. This cell-free approach has identified drugs that inhibit TDP43 protein misfolding and aggregation but whether these drugs are beneficial in ALS remains to be determined\textsuperscript{91}. Similarly, the disruption of molecular pathways, including interactions between mutant SOD1 protein and proteins that trigger motor neuron death via the resident endoplasmic reticulum protein Derlin 1, could represent a further therapeutic option\textsuperscript{92}. However, a critical limitation of cell-free HTDS approaches is that biological interactions are assessed outside of the cellular environment and in the absence of other pathogenic processes that could have a role in the onset and progression of ALS; therefore, the translational relevance remains unclear.

**Genetic and cellular therapy**

The success of targeted therapies for spinal muscular atrophy — antisense oligonucleotides (ASOs) or small molecules and the use of viral vectors for gene replacement — has demonstrated the power of genetic therapy\textsuperscript{93}. Genetic mutations are present in ~15% of patients with ALS, but the multiplicity of mutation types that cause ALS, including missense mutations, repeat expansions and loss-of-function mutations, means that a variety of gene therapy approaches would be needed to target all the causal mutations. In addition, although many causal mutations are associated with the toxicity of the mutant protein and therefore reducing the expression of the toxic protein seems a logical approach, many different mutations have been identified in single genes, making it difficult to design ASOs to selectively target the mutant allele. Furthermore, the safe inactivation of gene expression with ASOs is likely to be impossible in some cases; for example, the inactivation of TARDBP would be lethal owing to the physiological function of TDP43 in splicing and the contribution of the protein to other pathways that are critical for cellular survival\textsuperscript{94}.

**Antisense oligonucleotides.** Evidence from mouse studies suggests that knockdown of the SOD1 protein is tolerated without severe phenotypic consequences. Whether this tolerance would be maintained over decades, particularly in the context of human ageing, is unknown, but these findings have encouraged the development of ASO therapy directed against wild-type and mutant SOD1 (Ref\textsuperscript{95}). Initial reports suggest that such an approach is well tolerated and could be effective. Results of the phase I–II trial of the ASO tofersen, which mediates the degradation of SOD1 mRNA, were published in 2020 and confirmed that the treatment reduced CSF SOD1 protein concentration to intraparenchymal brain delivery, although intrathecal delivery has been used most frequently to date. Commonly observed lumbar puncture-related adverse events could be mitigated in the future through the development of specialized nanotechnology drug delivery systems\textsuperscript{96}.

In ALS caused by C9orf72 mutations, ASOs against apparently toxic RNA species that arise from the hexanucleotide repeat expansion are currently in early phase clinical trials. Preclinical research suggests that RNA repeats can be successfully targeted in this way without downregulating the C9orf72 protein, which could contribute to toxicity independently of the RNA\textsuperscript{97}.

The efficiency of ASOs is currently limited by a failure to traverse the blood–brain barrier, a generic problem that will need to be solved for all forms of
precision-based molecular therapies in ALS. However, ASOs are also limited by complexities in intracellular processing that limit their direct engagement with mRNA species. Advances in the development of chemical modifications that increase the stability of ASOs and their ability to penetrate cells will result in a new generation of ASOs that could be delivered via the systemic circulation.

**Gene editing.** Besides the use of ASOs, genome editing could form the basis of another gene-based therapy for ALS. Rapid advances in genome editing have greatly advanced the cellular modelling of ALS but also raise the possibility that precise targeting of genetic mutations with systems such as CRISPR–Cas9 could be possible in vivo; such gene editing could effectively prevent ALS from developing. A key requirement for such an approach is the ability to safely target constructs to the nervous system, thereby avoiding off-target effects. However, the use of gene editing in ALS poses similar challenges as the use of ASOs as many of the mutations in genes commonly linked to ALS are unique to the individual; therefore, a bespoke approach would be required that also avoids the inactivation of the normal gene copy. Gene editing is a rapidly advancing field and the technical challenges in developing genome editing as a therapy for diseases such as ALS are gradually being addressed — studies are moving from cell and rodent models into larger animals, including dogs.

**Cellular therapy.** Expectations in most therapeutic trials in ALS are limited to slowing or arresting disease progression, but patients understandably place a high value on the search for treatments that could restore function. Whether targeting the cause of ALS will influence disease progression in symptomatic individuals remains unclear; if this is not the case, then gene therapy that targets causal genes would be pointless in these people. So-called regenerative medicine approaches, in which various forms of stem cells or neural progenitor cells are used, are more plausibly based around the notion that transplanted cells could provide trophic support to slow the disease process and raise hopes for restorative therapy for people already living with ALS. However, given the immense complexity of the functional networks required to produce voluntary movement and the fact that these networks are produced via a complex developmental programme that is inactivated in adulthood, the restoration of function with cellular therapy is currently a theoretical rather than practical option. Several early phase research programmes are under way to explore the potential of transplantation of neural progenitors into the spinal cord, but results of small-scale tolerability and safety studies have not yet provided any clear evidence of disease-modifying effects.

**Improving trial design**

**Challenges with traditional trials**

In ALS, as in other neurodegenerative diseases, the mainstay of clinical research has been the randomized controlled trial in which the effects of a therapeutic candidate are compared with those of a placebo. Typically, a single agent is tested in a homogeneous population, with a null hypothesis that treatment with this agent is no better than standard care. Such trials are costly and inefficient given that only a small proportion of treatments make it from initial testing to the commercial market — the FDA reports that just 8% of treatments tested in a phase I trial make it to market. This sequential approach has been used in more than 40 clinical trials of potential disease-modifying therapies for ALS. The approach limits the speed with which treatments for ALS can be evaluated owing to the finite capacity of trial networks in terms of personnel and infrastructure, the limited number of patients with ALS who are eligible, and limited financial resources, especially for academic-led clinical trials. In addition, inherent problems exist in this approach, such as patient heterogeneity and long trial durations, even when the ALS Functional Rating Scale is used as the primary outcome measure.

The inefficiencies in the current approach to ALS clinical trials become more evident when considering the time commitment and expense required for staff recruitment, deployment and training, contract negotiations, regulatory approvals, monitoring arrangements, and infrastructure; these costs must be reconstituted anew for each trial. After trial completion, staff move elsewhere, skills are lost and approvals lapse. A further inefficiency, and perhaps the most problematic from a patient perspective, is the fact that each study has a separate placebo arm. Such an approach is costly and is a major disincentive for patients to participate in a clinical trial, particularly as their disease is terminal. Indeed, patients with ALS are known to be particularly motivated to participate in trials and report being less dissuaded by the possibility of adverse effects and privacy and confidentiality issues than are people with other diseases. However, as their disease progresses, patients with ALS face increasing challenges, including the logistics of attending clinic appointments.

**Advanced trial design**

Interest is increasing in methods that maintain the rigour of randomized controlled trials while maximizing their efficiency, reducing costs and providing answers regarding efficacy. One approach that is gaining traction is the use of a master protocol for the simultaneous evaluation of multiple compounds. With a master protocol, a common system is used for patient selection, logistics, templates and data management. This approach can incorporate precision genotyping and molecular markers and can be implemented in different trial designs, including basket trials (in which the therapeutic target is a specific genetic mutation) and MAMS platform trials. Such designs have been successfully used in cancer, HIV and some neurological diseases such as multiple sclerosis.

In a MAMS trial with a sequential design, the sample size is not fixed in advance and data are sequentially analysed, with pre-determined futility or superiority analyses built in, which enable treatment arms to be discontinued owing to a lack of efficacy or to graduate to the next phase of study. Consequently, adaptive, seamless phase II–III designs can be used to reduce the...
delays that are usually encountered after an early promising signal in a phase II trial. Typically, criteria for the analysis of accumulating data are pre-planned and, if a favourable signal is detected in one sub-study, recruitment into that arm is increased to provide phase III efficacy data for regulatory approval. As data accumulate, response-adaptive randomization can be used to allocate more patients to a treatment arm that is showing more promise than others and to reduce random assignment to arms with inferior outcomes.

These approaches work well in diseases for which readouts are robust, for example, tumour load in cancer or white matter lesion burden on MRI in multiple sclerosis. Such a robust biomarker does not yet exist for ALS and therefore adaptive decisions will be limited by existing metrics such as ALS Functional Rating Scale — Revised (ALSFRS-R) scores and survival. However, a further advantage of the MAMS platform is that patients can be randomly assigned to a particular sub-study for which genetic or other molecular data predicts a favourable response and can be automatically excluded from sub-studies for which they are not suitable. This possibility promotes personalized stratification in a biologically heterogeneous disease.

The advantages of a master protocol platform design include efficiencies in terms of cost (fixed costs shared across a number of trials), time and infrastructure that enable more patients to participate in clinical trials. However, these designs are not a panacea and they bring their own challenges, including highly complex Bayesian statistical analyses and the fact that several small sub-studies being conducted in parallel can increase the rate of false positive findings. Additional challenges of platform trials include their large-scale, long-term nature, the associated costs of managing and executing the trial, the need to build organizations or frameworks that can operate these trials perpetually, and the need for an independent data monitoring committee.

Regulatory authorities are open to discussing such approaches: the FDA has published its guidance for adaptive platform master protocol designs and the EMA has established a taskforce to explore the issue. In addition to the trial platform, the complex pathophysiological mechanisms in ALS and the involvement of multiple pathways mean that the clinical benefits might not be apparent with a single drug. The use of a multi-drug regimen could enable the detection of a more universal treatment effect by simultaneously addressing multiple disease mechanisms. However, designing trials that test multiple drugs remains complex and determining the mechanisms of action of a synergistic combination of therapeutics would logically require a factorial design.

Patient stratification
In the context of disease heterogeneity in ALS, the stratification of patients as an inclusion criterion for clinical trials is becoming an important consideration when determining the efficacy of a compound. A lead-in phase
during which patients are monitored before being randomly assigned to receive a treatment (typically after patient inclusion but before initiation of therapy) might provide a more accurate indication of disease progression in individuals and thereby enable the assessment of investigational drug efficacy112–114. In addition, patient stratification can be based on clinical parameters, genetics, disease stage and disease trajectory.

The purpose of pre-selection criteria for trial entry is to facilitate the use of tailored treatments. In ALS, pre-selection is a technique that is still in its infancy and remains largely confined to selection on this basis of genetic causes. However, some studies suggest that modifier genes, such as those that affect prognosis, can also be used as enrolment criteria15 and specific clinical features, such as respiratory, nutritional or emotional symptoms, have been used for pre-selection115,116. For example, patients with respiratory impairment have been the focus of trials of enhancers of troponin C (which activates muscle contraction) to improve diaphragmatic function17. Pre-selection is also an obvious approach for clinical trials of nutritional interventions and approaches to saliva control, which have so far only been tested in exploratory studies. For example, dextromethorphan–quinidine is beneficial for emotional lability in ALS, which occurs in a subset of patients, often in association with bulbar impairment. Anecdotal patient reports also suggest that dextromethorphan–quinidine might be useful for improving bulbar function and a phase II trial has indicated benefits on all aspects of self-reported bulbar function, including speech, swallowing and salivation18.

Stratification at the time of recruitment also enables patients to be matched according to their likely clinical course. Ideally, the rate of disease progression for an individual would be established at the initial clinic visit and classified as fast, medium or slow119. However, the clinical course remains heterogeneous and unpredictable and, therefore, attempts to homogenize cohorts on the basis of likely disease course at trial entry lead to restrictive inclusion criteria for most trials. The ENCAPS Prediction model, published in 2018, is based on data from over 11,000 patients with ALS in population-based registers and has improved the accuracy of personalized prediction of clinical decline and survival.1 The model is based on eight factors: age, El Escorial classification, site of onset, vital capacity, genetic status for C9orf72 expansion, diagnostic delay, cognitive status and functional score. In the context of clinical trial enrolment, the model generates a personalized prediction of survival for each patient and enables the inclusion of those in whom an effect of the trial treatment is most likely (for example, minimizing the number of patients with very slow or very rapid disease progression). The use of the model as an inclusion criterion could enable up to 80% of patients to participate in trials while minimizing the impact of disease variance. This model was recently used in ALS in a phase II clinical trial of dolutegravir, abacavir and lamivudine (Triumeq)120.

Another emerging approach to stratification for clinical trials in ALS is disease staging. Staging is important because ALS is a continuously evolving process and treatments might need to be administered at precise time points in the disease course to be beneficial — staging provides information about this timing. The benefits of staging were shown in a post hoc analysis of the original riluzole trial data; specifically, post hoc analysis showed that riluzole has a beneficial effect late in the disease course121–123. No information was available in the original trial data about patients in the very early stages of disease and therefore the post hoc analysis could not examine whether there was an effect early in the disease. A subsequent analysis of data from the Pooled Resource Open-Access ALS Clinical Trials (PRO-ACT) database, which includes patients in the early stages of the disease, confirmed the original finding and showed that riluzole also has an effect in the early stages of ALS123.

The two main staging systems currently under consideration in ALS are the King’s Clinical Staging system and the Milano-Torino Staging (MiToS) system124. The King’s system includes five stages based on disease burden, assessed according to the muscle groups that are clinically involved, and incorporates feeding difficulties and respiratory failure125; stage 1 is symptom onset and stage 5 is death. The MiToS system involves six stages (0–5) based on functional ability assessed with the ALSFRS-R; stage 0 is defined as normal function and stage 5 is death. The MiToS stage at diagnosis correlates with survival at 18 months126. The two staging systems are complementary — the King’s system has a higher resolution in early disease to mid-disease, when clinical or disease burden is increasing, and the MiToS system has a higher resolution in late disease, when functional involvement has developed. Cognitive change, which is a feature of ALS, is not accounted for in either the King’s or MiToS systems127,128. However, use of the Edinburgh Cognitive and Behavioural ALS Screen (ECAS) tool has demonstrated that increasing impairment in executive function and behavioural changes correlate with later King’s stages129 and a cognitive protocol is likely to form part of future staging systems.

Patient-reported outcomes

The requirement for participants in clinical trials to make episodic visits to study centres so that progression of their disease can be measured imposes substantial restrictions on the conduct of clinical trials. First, it limits participation to patients who live close enough to a study centre to attend mandatory visits on a monthly or bimonthly schedule. In an analysis of the reasons for poor adherence in ALS clinical trials, travel difficulties and caregiver burden were contributing factors to the withdrawal of 27% of patients who withdrew their consent127. Second, the requirement for visits to study centres means that substantial variability is seen in outcome measures that are commonly used in phase II and III trials127; some of this variability might be due to true fluctuations in patient status and some due to measurement error. Both of these issues could be addressed if data could be collected through more frequent assessment of patients because it would reduce variability by increasing the sampling frequency; however, this would be possible only if undertaken in...
patients’ homes. Other aspects of trial participation, such as safety assessments and drug dispensing, could also be accommodated at patients’ homes, thereby enabling the participation of patients who have previously been deemed inaccessible.

The value of self-reported outcomes has previously been studied through the use of the PatientsLikeMe website, where patients directly entered their symptoms and the data were subsequently analysed. Such patient-reported outcomes are valuable as they are cost-effective, can be implemented from a distance, can provide comprehensive assessments and can capture an element of personal well-being that is not captured with other approaches. The limitations of self-reported outcomes include a lack of objectivity and unfamiliarity with the use of digital platforms that are necessary for this approach to be successfully implemented.

The assessment of patients at home with the ALSFRS-R has frequently been performed in clinical trials and in clinical practice, usually via a telephone assessment by a trained evaluator. Furthermore, the reliability of an online tool for assessment with the ALSFRS-R that requires no evaluator assistance has been established — values were close to those from assessments in the clinic. The self-reporting of ALSFRS-R scores was done via secure online portals and this approach was reliable and quick.

In an ongoing study, the results of which are not yet available, the evaluation of ALS trial endpoints at home was extended to include novel endpoints as well as commonly used endpoints. In that study, patients were recruited via online tools, diagnosis was confirmed from medical records and consent was obtained from patients through an interactive webinar. Tools for endpoint measurement were sent to patients and demonstration and training in the use of these tools was conducted online. These tools included an activity band, a spirometer, a handgrip metre, a personal electrical impedance myography device and a voice evaluation tool that included an app for most smartphones. ALSFRS-R scores were assessed and recorded via a secure website. Once trained, participants were asked to perform all assessments — except the ALSFRS-R — every day for 3 months and then twice weekly for an additional 6 months. Overall, 114 patients and 30 healthy controls were recruited from 40 states in the USA. At 3 months and at the end of the study, patient views on the experience were assessed with an online questionnaire. The results of this study will be used to determine whether home assessments are a viable strategy for clinical trials in ALS and the extent to which frequent sampling improves the reliability and sensitivity of a range of trial endpoints.

In another study, published in 2019, a hybrid design was used in which a traditional in-clinic trial was combined with a ‘virtual’ study to test the nutritional supplement lunasin, which was previously identified as a candidate for ALS therapy. Fifty participants enrolled at one centre for the 12-month, open-label study. Historical controls were used rather than a control group. The self-reported ALSFRS-R scores closely agreed with confirmatory clinical assessment (Lin’s concordance correlation coefficient, 0.94–0.99). The study produced no evidence that lunasin can lead to “ALS reversal”, but lessons learned from this hybrid virtual trial could provide ideas for ways to reduce the burden on participants by enabling clinical study visits to be reduced.

Given that each trial is unique, the 2019 Airlie House Clinical Trial guidelines recommend that patients and caregivers are included in trial design groups to minimize the trial burden and ensure that recruitment and retention strategies will be successful. These guidelines were developed to accelerate progress in ALS, from preclinical research through to trial design and statistical approaches, and to advocate the incorporation of biomarkers in all future trials and the development of home-based outcome measures. Such measures are included in large genetic and biomarker studies in which the goal is to enrol more than 1,000 patients with ALS and assess phenotypic information in the clinic and at home (ANSWER ALS, TARGET ALS and ALS Therapy Development Institute). Home-based outcome measures are also becoming increasingly relevant for patients with ALS who have substantial disease-related disability, particularly in the context of a shift towards telemedicine owing to the COVID-19 pandemic.

**Conclusions and future directions**

ALS is a complex neurodegenerative disorder mediated by interactions between genetic, epigenetic and environmental factors, with multiple steps probably being required to trigger disease onset. Consequently, effective treatment strategies might require the use of novel compounds acting synergistically, potentially in different phases of the disease. Advances in clinical trials seem critical to accelerate the translation of such novel therapies into the clinic; novel trial designs are needed to enable the assessment of multiple compounds with a common placebo group and to better determine target engagement and the effect on disease trajectory. The implementation of these approaches for the assessment of current and future treatment strategies in ALS could shorten trial durations and reduce costs and burden on patients, thereby providing hope that effective therapies can be rapidly translated into the ALS clinic.

**Box 1 | Priority strategies to improve clinical trial outcomes in ALS**

- Facilitate the translation of genetic and cellular therapies into the clinic
- Develop effective high-throughput screening and incorporate drug repurposing strategies
- Refinement of clinical biomarkers and integration of biomarker discovery and validation in all trials
- Develop platforms for initial testing in small patient cohorts to identify a biological signal using biomarkers
- Develop patient stratification pathways that better reflect clinical populations
- Increase the use of self-reported patient outcomes, thereby reducing costs and streamlining outcomes for investigators and patients
- Widen the use of multi-arm, multi-stage platform trials

ALS, amyotrophic lateral sclerosis.

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