Comparison of Region-of-Interest Delineation Methods for Diffusion Tensor Imaging in Patients with Cervical Spondylotic Radiculopathy

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Research Article

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Abstract

Background

Diffusion tensor imaging is a promising technique for determining the responsible lesion of cervical radiculopathy, but the selection and delineation of the region of interest (ROI) affects the results. To explore the impact of different ROI sketching methods on the repeatability and consistency of DTI measurement values in patients with cervical spondylotic radiculopathy (CSR).

Methods

This was a retrospective study that included CSR patients who underwent DTI imaging. The images were analyzed independently by two radiologists. Four delineation methods were used: free-hand method, maximum roundness, quadrilateral method, and multi-point averaging method. They re-examined the images 6 weeks later. To investigate the consistency between the two measurements and the reproducibility between two radiologists, the intra-class correlation coefficient (ICC) was used.

Results

A total of 42 CSR patients were enrolled in this study. The distribution of compressed nerve roots was five C4, eight C5, sixteen C6, eleven C7, and two C8. No difference was found among the four methods in fractional anisotropy (FA) or apparent diffusion coefficient (ADC), irrespective of radiologists. (all P>0.05). Similar results were observed between the first and second measurements (all P>0.05), but some significant differences were observed for radiologist 2 for the four-small rounds method (P=0.033). Between the two measurements and the two radiologists, the free-hand and single largest circle methods were the two methods with the highest ICC (all ICC >0.90).

Conclusion

For the delineation of DTI ROI in patients with CSR, the free-hand and single largest circle methods were the most consistent methods.

Background

Cervical radicular pain is an impingement of cervical spinal nerve and/or nerve root typically characterized by unilateral shooting electric pain in the upper limb, and, if radiculopathy, sensory, motor, and/or reflex deficits; nonspecific symptoms include neck pain etc. [1–3]. When neurological symptoms appear or if conservative treatment is ineffective, neurodecompression surgery has to be performed in time [4, 5].

Patients with cervical nerve root radiation pain often encounter the following problems during management. First, preoperative imaging findings are inconsistent with the symptoms and signs [6].
Second, because of multi-segment cervical disc herniation or spinal canal stenosis, the exact responsible segment cannot be determined [7]. Third, even though electrophysiological tests are widely used, it is difficult to distinguish the responsible lesion from other cervical peripheral nerve injury diseases (such as ulnar neuritis, cubital tunnel syndrome, carpal tunnel syndrome, etc.) and shoulder joint-related diseases. With the continuous development of minimally invasive spine surgery, how to accurately identify the responsible lesion, accurately judge the responsible segment and location, and achieve accurate diagnosis and treatment are challenges and for spinal surgeons.

At present, clinical routine computed tomography (CT) and magnetic resonance imaging (MRI) examinations can reveal the location of cervical disc herniation and fibrous bone canal stenosis, thereby indirectly determining whether the nerve is compressed and injured, but cannot provide direct evidence of nerve root injury [8–10]. In addition, in order to better judge the responsible segment, imaging can be assisted by neuroelectrophysiological examination and nerve root block. Nevertheless, these examinations are invasive, and their specificity and sensitivity are open to question [11], and their clinical application is limited due to the risk of complications [12]. Therefore, there is an urgent need for a non-invasive, accurate, and operable examination methods that could qualitatively and quantitatively reflect the degree and location of nerve root injury.

Diffusion tensor imaging (DTI) is a diffusion-weighted imaging (DWI) sequence based on multiple b-values and can quantitatively analyze the diffusion of water molecules in living tissues [13]. The main parameters include fractional anisotropy (FA) and apparent diffusion coefficient (ADC). Since the movement of water molecules in the nerve tissue is along the nerve fibers with anisotropic dispersion, the DTI technique can be used in theory to better evaluate the pathological changes of nerve roots [14] and quantify the changes [15]. DTI has been widely used for the diagnosis of central nervous system diseases [16]. Chen et al. [17] reported that DTI could potentially be used to assess the microstructural abnormalities in the cervical nerve roots in patients with disc herniation.

The study of the cervical nerve root system by the DTI technique is still in the initial stage. The one of most challenging aspect is the proper selection and delineation of the region of interest (ROI) [18, 19]. Indeed, the ROI size has a considerable influence on tumors’ ADC values [20, 21]. Therefore, this study aimed to explore the impact of different ROI sketching methods on the repeatability and consistency of DTI measurement values in patients with cervical spondyloitic radiculopathy. The results could help develop the appropriate method and lay an objective and solid theoretical foundation for subsequent studies.

**Methods**

**Study design and patients**

This was a retrospective study that included CSR patients who underwent imaging from May 2016 to May 2018 at the Department of Spine Surgery, Zhujiang Hospital of Southern Medical University. The diagnostic criteria of cervical spondyloitic radiculopathy were typical root symptoms (arm numbness or pain), the area of which was consistent with the area of a cervical spinal nerve, and the brachial plexus tension test or
foraminal compression test was positive [2]. In all the patients, the location of symptoms (e.g., dermatomal pain or neurological deficit) matched the evaluated nerve root on the DTI images. This study was approved by the Institutional Review Board of Zhujiang Hospital of South Medical University (Guangzhou, China). The need for individual consent was waived by the committee because of the retrospective nature of the study.

The inclusion criteria were: 1) diagnosis of cervical spondylotic radiculopathy, as above; 2) symptoms and signs of unilateral cervical nerve root compression; and 3) radiological clues of single-level, unilateral posterolateral protrusion into the intervertebral foramen of the intervertebral disc. The exclusion criteria were: 1) a previous history of spinal trauma or surgery; 2) a history of neurological disease; 3) a history of chronic infection; or 4) a history of claustrophobia or any psychological problems.

**DTI procedure**

All included patients underwent DTI in the supine position using a 3-T scanner (Ingenia, Philips, The Netherlands) with a 6-channel head and neck coil. The coil was positioned at the center of the mandible, and the scanning interval was between C2 and T1. The DTI scan was at the axial position before the sagittal and coronal planes constructed. Two radiologists (6 and 5.5 years of experience in spine MRI) supervised the imaging. The imaging parameters were: b value, 0 and 800 s/mm²; directions, 32; TR/TE, 4500/67 ms; orientation, axial; slice thickness/gap, 2/1 mm; FOV, 224×224 mm²; actual voxel size, 2.5×2.9×2 mm³; total slices, 30; and scan time, 9 min 49 s. Axial T2-weighted anatomical images were also obtained using the T2 turbo-spin-echo (TSE) sequence: variable flip angle radiofrequency excitations; TR/TE, 2500/110 ms; FOV, 155×155 mm; and section thickness/gap, 15/1.2 mm. A typical case was shown in Figure 1A-B.

**Image processing and analysis**

The DTI images were transferred to the EWS4.1 workstation and measured by two radiologists (6 and 5.5 years of experience in spine MRI) independently using the Philips post-processing software (Figure 1C). To determine the level of entrance to the intervertebral foramen, an anatomic fusion of DTI and T2WI-weighted images was performed for the intersection of the attachment of the medial edge of the affected superior pedicle to the inferior pedicle and the nerve root (Figure 1D). The ROI was set on the B0 images.

The FA and ADC values were measured as follows: B=800 image high signal area, fusing 3D-FFE image to assist localization and sketched four ROIs at the level of entrance to the intervertebral foramen. Four delineation methods were used: 1) free-hand method (Figure 2A): manually tracing the ROI along the nerve root contour to avoid cerebrospinal fluid interference; 2) maximum roundness (Figure 2B): the round ROI was drawn as large as possible, tangential to the edge of the nerve root, covering the maximum nerve root area and not exceeding the edge; 3) quadrilateral method (Figure 2C): the longest axis of the nerve root section area is first drawn, followed by the vertical axis, and then the endpoints of the two axes are connected clockwise to form a quadrilateral; and 4) the multi-point averaging method (Figure 2D): the above two axes above divide the nerve roots into four quadrants, the largest circle is drawn in each
quadrant, the average value of the four circles is taken as the measured value of DTI. The corresponding cervical 4-8 nerve root reconstructions were generated. Six weeks later, the two radiologists repeated the measurements. The size of the free-hand method ROIs was $34.7 \pm 12.48 \text{ mm}^2$, maximum roundness was $34.2 \pm 11.25 \text{ mm}^2$, quadrilateral method was $33.9 \pm 10.8 \text{ mm}^2$, and the multi-point averaging method was $33.6 \pm 13.4 \text{ mm}^2$.

**Statistical analysis**

All analyses were performed using SPSS 25.0 (IBM, Armonk, NY, USA). The continuous data were presented as means ± standard deviations (SD). The categorical data were presented as n (%). For the repeated measurements between the two radiologists, the comparisons were made using the paired t-test. For the overall differences among the four methods, one-way repeated measurement ANOVA was used, with the LSD. post hoc test. To investigate the consistency between the two measurements and the reproducibility between the two radiologists, the intra-class correlation coefficient (ICC) was used. The model of ICC was set as two-way random, which considers both rater and participant error. The ICC type was set as an absolute agreement. The R software (version 3.5.2) and ‘BlandAltmanLeh’ package were used to obtain the Bland-Altman plots. A P-value <0.05 was considered statistically significant (two-tailed).

**Results**

**Baseline characteristics of the patients**

56 patients were enrolled, and after screening, 42 patients were finally included. The exclusion reasons were: 6 patients, because the nerve root volume was too small, so the DTI image was not clear enough to accurately delineate the nerve root boundary; 5 patients, DTI and the T2 images showed poor fusion image quality; 3 patients, the scanning time was too long to tolerate, so the scanning was stopped, resulting in partial image loss. Their baseline characteristics were shown in Table 1. Their age was $51.8 \pm 6.1$ years (range: 37 to 65), and the sex ratio was 1:0.83 (male/female=23/19). The distribution of compressed nerve roots was five C4, eight C5, 16 C6, 11 C7, and two C8.
Table 1
Clinical characteristics of the patients

| Parameters                  | Mean ± SD / n (%) |
|-----------------------------|-------------------|
| Age, years                  | 51.8±6.1          |
| Sex                         |                   |
| Male                        | 23 (54.8%)        |
| Female                      | 19 (45.2%)        |
| Compressed nerve root       |                   |
| C4                          | 5 (11.9%)         |
| C5                          | 8 (19.1%)         |
| C6                          | 16 (38.1%)        |
| C7                          | 11 (26.2%)        |
| C8                          | 2 (4.8%)          |

SD: standard deviation.

FA and ADC measurement results

Table 2 shows the results of all FA and ADC measurements. As indicated, no significant difference was found among the four methods in FA or ADC, irrespective of radiologists. (all P>0.05). Similar results were observed between the first and second measurements (all P>0.05), but some significant differences were observed for radiologist 2 for the four-small rounds method (P=0.033).
|                | FA          |            | ______ | ______ | ______ | ______ | ______ | ______ | ______ | ______ | ______ | ______ |
|----------------|-------------|------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|                | Pre         | Post       | P       | ICC*    | Pre     | Post     | P       | ICC*    | Pre     | Post     | P       | ICC*    |
| Radiologist 1  |             |            |         |         |         |         |         |         |         |         |         |         |
| Free hand      | 0.22±0.04   | 0.22±0.03  | 0.479   | 0.904   | 1.54±0.21 | 1.54±0.18 | 0.949   | 0.934   | (0.829   | 0.880   | to 0.947 | to 0.964 |
|                |             |            |         |         |         |         |         |         |         |         |         |         |
| The single     | 0.22±0.03   | 0.22±0.03  | 0.623   | 0.975   | 1.56±0.15 | 1.56±0.15 | 0.121   | 0.984   | (0.955   | 0.971   | to 0.987 | to 0.991 |
| largest round  |             |            |         |         |         |         |         |         |         |         |         |         |
| Single         | 0.21±0.03   | 0.21±0.03  | 0.916   | 0.892   | 1.56±0.16 | 1.57±0.15 | 0.572   | 0.920   | (0.807   | 0.856   | to 0.940 | to 0.956 |
| rectangle      |             |            |         |         |         |         |         |         |         |         |         |         |
| Four-small     | 0.22±0.03   | 0.21±0.03  | 0.213   | 0.793   | 1.55±0.15 | 1.56±0.14 | 0.369   | 0.796   | (0.648   | 0.652   | to 0.883 | to 0.885 |
| rounds         |             |            |         |         |         |         |         |         |         |         |         |         |
| P              | 0.955       | 0.942      |         | 0.941   | 0.847   | 0.941   |         | 0.847   | 0.867   | 0.930   |         | 0.882   | 0.737   |
| Radiologist 2  |             |            |         |         |         |         |         |         |         |         |         |         |
| Free hand      | 0.22±0.03   | 0.22±0.03  | 0.914   | 0.905   | 1.54±0.18 | 1.55±0.18 | 0.533   | 0.937   | (0.829   | 0.886   | to 0.948 | to 0.966 |
|                |             |            |         |         |         |         |         |         |         |         |         |         |
| The single     | 0.22±0.03   | 0.22±0.03  | 0.781   | 0.916   | 1.56±0.15 | 1.56±0.14 | 0.709   | 0.960   | (0.849   | 0.927   | to 0.954 | to 0.978 |
| largest round  |             |            |         |         |         |         |         |         |         |         |         |         |
| Single         | 0.21±0.03   | 0.22±0.03  | 0.215   | 0.671   | 1.57±0.16 | 1.55±0.16 | 0.133   | 0.866   | (0.466   | 0.764   | to 0.808 | to 0.926 |
| rectangle      |             |            |         |         |         |         |         |         |         |         |         |         |
| Four-small     | 0.21±0.03   | 0.21±0.02  | 0.726   | 0.779   | 1.56±0.16 | 1.53±0.15 | 0.033   | 0.755   | (0.624   | 0.580   | to 0.875 | to 0.862 |
| rounds         |             |            |         |         |         |         |         |         |         |         |         |         |

FA: fractional anisotropy; ADC: apparent diffusion coefficient; ICC: intra-class correlation coefficient.

*ICC analysis for both FA and ADC of different measurements were all significant (P<0.001).
Within each radiologist, the ICC was used to investigate the consistency of the repeated measurements. As indicated in Table 2, all results were positively significant (all P<0.001), indicating high consistency. The free-hand and single largest circle were the two methods with the highest ICC (all ICC >0.90).

FA and ADC measurement consistency between two radiologists

The ICC between the two radiologists was used to investigate the reproducibility of the measurements between investigators. As indicated in Table 3, all results were significant (all P<0.001), but the ICC for the free-hand and the single largest round methods were higher than for the two other methods (all ICC >0.90). The Bland-Altman plots in Figures 3 and 4 also indicated similar results to the numeric statistics. The free-hand method had differences gathered around zero (mean of -0.010±0.105 to 0.001±0.016), fewer outliers (n=1-4), and well-distributed plots. The same was observed for the single largest round method (mean of -0.001±0.012 to -0.000±0.064; 2-3 outliers), compared with the other two methods.

Table 3

| Radiologists 1 and 2 | FA                  | ADC                  |
|----------------------|---------------------|----------------------|
| Measurement 1        | ICC                 | P                    | ICC                 | P                    |
| Free hand            | 0.889 (0.804 to 0.939) | <0.001              | 0.918 (0.853 to 0.955) | <0.001              |
| The single largest round | 0.914 (0.846 to 0.953) | <0.001              | 0.973 (0.950 to 0.985) | <0.001              |
| Single rectangle     | 0.839 (0.720 to 0.910) | <0.001              | 0.817 (0.686 to 0.897) | <0.001              |
| Four-small rounds    | 0.832 (0.709 to 0.906) | <0.001              | 0.872 (0.775 to 0.929) | <0.001              |
| Measurement 2        |                     |                      |                     |                      |
| Free hand            | 0.858 (0.751 to 0.921) | <0.001              | 0.833 (0.711 to 0.907) | <0.001              |
| The single largest round | 0.926 (0.866 to 0.959) | <0.001              | 0.904 (0.828 to 0.947) | <0.001              |
| Single rectangle     | 0.734 (0.559 to 0.847) | <0.001              | 0.684 (0.484 to 0.817) | <0.001              |
| Four-small rounds    | 0.642 (0.421 to 0.791) | <0.001              | 0.650 (0.432 to 0.796) | <0.001              |

FA: fractional anisotropy; ADC: apparent diffusion coefficient; ICC: intra-class correlation coefficient.

Discussion

DTI is a promising technique for determining the responsible lesion of cervical radiculopathy [22], but the selection and delineation of the ROI influence the results [20, 21]. Unlike normal nerve roots, diseased nerve roots are compressed in different directions, resulting in different degrees of edema inside the nerve root (nerve fibers), so the signals of the nerve root cross-section are heterogeneous. Different drawing methods
cover different signal areas which may lead to different DTI values. Therefore, it is of clinical significance to discuss the ROI sketching method of diseased nerve roots.

This study aimed to explore the impact of different ROI sketching methods on the repeatability and consistency of DTI measurement values in patients with cervical spondylotic radiculopathy. The results suggest that for the delineation of DTI ROI in patients with cervical spondylotic radiculopathy, the free-hand and single largest circle methods were the most consistent methods.

In this study, we found that when measuring FA and ADC in patients with cervical spondylotic radiculopathy, intra- and interobserver variabilities were dependent upon the methods of ROI delineation. FA and ADC measurements obtained by the largest circle and the free-hand methods were more reproducible than those obtained from the rectangle or four small rounds measurements. Ma et al. [23] found that the ROI size had a considerable influence on the ADC measurements of PDACs and suggested that the largest round ROI yielded the best intra- and interobserver reproducibility. At the same time, Jafari-Khouzani et al. [24] believe that increasing the ROI size can reduce the variance of the FA and ADC values. This is consistent with our results. We think that a larger circle will yield high repeatability and consistency. Of course, the nerve root cross-section is mostly a circle. A round ROI covering the cross-sectional nerve area will include most of the pixels, leading to the highest homogeneity. Moreover, the maximum circular ROI is easier to operate in practice with less time and better controllability, which greatly reduces the measurement errors caused by the circle sketched beyond the actual boundary of the nerve root during the actual operation. Therefore, the ICCs are high. The ROIs area of the free-hand method is greater than the maximum roundness method, nevertheless, due to the time-consuming drawing process, the contour method requires the operator to continuously judge and identify the actual boundary of the nerve roots. Therefore, the result is greatly affected by the operator's subjective factors.

The quadrilateral and four small circles ROI methods have high central variability and lower ICCs. It takes longer to include fewer pixels. Nogueira et al. [20] report that small ROIs show high ADC reproducibility in the DTI diagnosis of breast lesions. Inoue et al. [25, 26] showed that the ROI shape has no marked influence on the ICC in endometrial carcinoma. These are contrary to our conclusions and may be due to the different nature of the subjects and lesions. Moreover, an important advantage of using the largest round ROIs is that its placement is much less time-consuming compared to having to delimit the whole slice. Lambregts et al. [18] and Ma et al. [23] showed that the ROI has a considerable influence on tumor DTI values. Sun et al. [27] showed that the ADC and FA values derived from outline ROIs are higher than those from round ROIs. Inconsistent with those previous studies, we found that there were no significant differences in the FA values and ADC values of the four methods, which may be related to the small sample size. Moreover, FA and ADC values may be correlated with age, sex, and BMI. The cross-sectional areas of the nerve roots included in the four methods were similar, that is, the number of axons did not differ significantly, so there was no significant difference in the measured values. The obtained FA measurements of four ROIs are lower than those reported in the previous literature [17, 22], which may be because included patients had more severe root compression than the reported patients. In this present study, the mean FA values in entrapped nerve roots were lower than they were in intact nerve roots.
indicating that diffusion in the tissue had become more isotropic because of edema, in which fluid is trapped in the tissue, creating an isotropic environment and a reduction in FA.

Of course, there are limitations in this study. First, in order to obtain the typical diseased nerve root, we adopted very strict case inclusion criteria, leading to small sample size. Second, due to the limited sample size, we did not group the patients according to sex, age, body mass index, and other factors, and did not study the possible influence of different factors on the results. Therefore, in future studies, we will combine multiple centers to screen and include typical cases, and assess the influence of relevent factors on the results.

Conclusions

In conclusion, the results suggest that for the delineation of DTI ROI in patients with cervical spondylotic radiculopathy, the free-hand and single largest circle methods were the most consistent methods. The results provide a reliable and objective basis for the use of DTI in the diagnosis and treatment of cervical spondylotic radiculopathy.

Abbreviations

ROI: region of interest
CSR: cervical spondylotic radiculopathy
ICC: intra-class correlation coefficient
FA: fractional anisotropy
ADC: apparent diffusion coefficient
CT: computed tomography
MRI: magnetic resonance imaging
DTI: Diffusion tensor imaging
DWI: diffusion-weighted imaging
SD: standard deviations

Declarations

Ethics approval and consent to participate

This study was approved by the Institutional Review Board of Zhujiang Hospital of South Medical University (Guangzhou, China), approval number 2019-20. The need for individual consent was waived by
the committee because of the retrospective nature of the study.

Consent for publication

Not applicable.

Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

PHW contributed to acquisition of data, interpretation of data, and drafted the manuscript; CYH contributed to acquisition and analysis of data; BCS contributed to design, and critically revised the manuscript; AMJ contributed to conception, design, interpretation of data, and critically revised the manuscript; All authors read and approved the final manuscript.

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Figures

Figure 1

The patient was 45 years old, male, with left upper limb pain and numbness for 8 months. The cervical 5-6 disc was herniated and presented with numbness and pain in the left lateral forearm and left middle finger. (A) Cervical 5-6 disc herniation, sagittal plane. (B) Left intervertebral foramen stenosis, axial plane. (C) Diffusion-tensor imaging (DTI) positioned the injured nerve root at 5-6 cervical segments. (D) The measuring plane of regions of interest (ROI) was placed at the entrance to the intervertebral foramen, i.e.,
the intersection of the attachment of the medial edge of the affected superior pedicle to the inferior pedicle and the nerve root.

![Image](image_url)

**Figure 2**

Four methods of region of interest (ROI) sketching. (A) The free hand method. (B) The maximum roundness method. (C) The quadrilateral method. (D) The multi-point averaging method.
Figure 3

Bland-Altman plots of fractional anisotropy (FA) between the two technicians, including pre-measurements of free hand (A), the single largest round (B), single rectangle (C), four-small rounds (D); and post-measurements of free hand (E), the single largest round (F), single rectangle (G), four-small rounds (H).
Figure 4

Bland-Altman plots of apparent diffusion coefficient (ADC) between the two technicians, including pre-measurements of free hand (A), the single largest round (B), single rectangle (C), four-small rounds (D); and post-measurements of free hand (E), the single largest round (F), single rectangle (G), four-small rounds (H).