Combinatorial Invariants of Multidimensional Topological Network Data

Gregory Henselman, Paweł Dłotko
Electrical and Systems Engineering Department
University of Pennsylvania
Philadelphia, Pennsylvania, USA
grh@seas.upenn.edu, dlotko@sas.upenn.edu

Abstract—Modern applications of algebraic topology to point-cloud data analysis have motivated active investigation of combinatorial clique complexes – multidimensional extensions of combinatorial graphs. We show that meaningful invariants of such spaces are reflected in the combinatorial properties of an associated family of linear matroids and discuss matroid-theoretic approaches to several problems in computational topology. Our results allow us to derive estimates of the summary statistics of related constructs for random point cloud data, which we discuss for several sampling distributions in $\mathbb{R}^2$ and $\mathbb{R}^3$.

Index Terms—matroid connectivity, matroid minor, max-flow min-cut, wireless sensor network, random topology, topological data analysis, embedding problem

I. INTRODUCTION

Modern understanding of network science is broadly informed by spectral graph theory. As early as 1952, Tutte [1] demonstrated that the spectral data encoded in the node-incidence matrix of a graph can be refined through the study of an associated chain lattice and corresponding graphic matroid.

Over the course of the past two decades, advances in applied algebraic topology have demonstrated the utility of spectral analysis of the homogeneous family of boundary operators associated with the clique complex of a simple graph (see [2] and references). Much work in this area has focused on the descriptive statistics of $k$-th Betti numbers, nullities of the degree-$k$ boundary operator, but the majority of topological data encoded in the sequence $\partial_0, \partial_1, \ldots$ is lost in such abstractions.

The present paper applies matroid-theoretic methods of analysis to the study of $n$-dimensional cell complexes, and to network clique complexes in particular. In [3] we discuss the topological realization of five matroid minors ($F_7, F_7^*, N_8, N_9$, and $U_2^3$) and four combinatorial invariants, ($k$-connectivity, graphicness, regularity, and the max-flow min-cut property) engendered by cellular boundary operators. In [4] we apply the results of [3] to obtain estimates of the statistical distribution of these minors and invariants in spaces generated by random point cloud data in $\mathbb{R}^2$ and $\mathbb{R}^3$.

A recurring theme is the observation that topological cell complexes efficiently encode salient features of abstract spaces in terms of linear dependence relations, while linear matroids provide a highly developed theory for computing with such relations. Together, these objects offer a rich theory for modeling.

At the outset the reader should be careful to distinguish between the cellular matroid of a CW complex (definition below) and the abstract simplicial complex represented by the independence structure of a matroid. While the latter has been studied extensively, we are aware of little work outside [3] regarding the former. Further documentation, including detailed proofs, summary statistics, and visuals may be found in the supplemental appendix [4].

II. PRELIMINARIES

We assume familiarity with the basic definitions of cellular homology and matroid theory. Introductory texts in both subjects abound, and for the current discussion [2] and [5] are particularly relevant. Throughout $M = (E, \mathcal{I})$ denotes a matroid with ground set $E$ and independence system $\mathcal{I}$. The associated rank function and circuit set are $r$ and $C$, respectively. The dual matroid is written $M^*$, and all dual constructions are demarcated similarly. Linear representations are assumed to have form $[ I \mid A ]$, where $I$ is an $r(M)$-identity matrix. It is advantageous to suppress the identity block, placing the label of column $i = 1, \ldots, r(M)$ on row $i$ of $A$. Under this notation we say $A$ represents $M$ in standard form.

The abstract simplex on $k+1$ vertices is denoted $\sigma_k$. The set of $k$-cells of a CW complex $X$ is denoted $X_k$. We say $X$ is regular if it is compact and all attaching maps are embeddings; it is $n$-dimensional if the union of $n$-cells of $X$ forms an open dense subset of the ambient space. Given $E \subseteq X_k$, we denote the point-set topological closure in $X$ of the union of cells in $E$ by $\overline{E}$. The degree-$k$ boundary operator and degree-$k$ homology group of $X$ with coefficients in $\mathbb{Z}$ are denoted $\partial_k$ and $H_k(X; \mathbb{Z})$, respectively. The degree-$k$ cellular matroid of $X$ with coefficients in $\mathbb{Z}$, denoted $\mathcal{M}_k(X)$, is the linear matroid on $\partial_k$. Where context leaves no room for confusion, we will drop the arguments $k$ and $\mathbb{Z}$, and will not distinguish between $X_k$ and $\mathcal{M}_k(X)$.

Given any finite metric space $\mathcal{P} = \{p_0, \ldots, p_m\}$ with metric $d$, the Vietoris-Rips complex on $\mathcal{P}$ with parameter $\varepsilon$, denoted $\mathcal{R}(\mathcal{P}, \varepsilon)$, is the clique complex on the combinatorial graph $\mathcal{P}$.

$^1$Regularity of a CW complex should be distinguished from regularity of a linear matroid, an unfortunate but unavoidable conflict of terminology. For the purpose of this discussion we assume that any pair of $k$-cells in a regular CW complex share at most one $(k-1)$-dimensional face.
is shown that $F_7$ may be realized as a minor of $M^{\pm 2}_5(X)$ for $X$ a network clique complex with cliques of size 5 and below.

The following lemma is a special case of a more general result for linear matroids. We record it here for reference in $\text{[IV]}$ and $\text{[III-B4]}$.

**Lemma 2:** Let $X$ be an arbitrary $n$-dimensional CW complex, $Y$ be an $n$-dimensional subcomplex of $X$ with distinguished element $y \in Y_n$, and $C$ be a circuit of $M_n(X)$ such that $C \bigcap Y_n = \{y\}$. To every minor $N_Y$ of $M_n(Y)$ containing $y$ and every $x \in C \setminus \{y\}$ there corresponds a minor $N_X$ of $M_n(X)$ containing $x$ and a matroid isomorphism $\psi : N_X \rightarrow N_Y$ with $\psi(x) = y$.

Construction of the $N_X$ of Lemma 2 should be understood as an analog of the connect sum operation in topology, and contrasted with the $k$-sum operation of $\text{[III-B]}$. Both constructions recall a weak form of bordism. In the dual setting, coboundary relations perform a similar function.

Since each $y \in M_2(\sigma_5)$ is contained in a minor isomorphic to $F_7$ (respectively, $F_7^*, N_8, N_9$, and $U_2^*$), Lemma 2 implies that any 2-dimensional face $x$ of a Vietoris-Rips complex $\mathcal{R}$ contained in a circuit that intersects any 6-clique in exactly one 2-dimensional face is also contained in a minor isomorphic to $F_7$ (respectively, $F_7^*, N_8, N_9$, and $U_2^*$). We use this fact in $\text{[IV]}$ to study topological properties of forbidden minors in random clique complexes.

**B. Properties**

1) **Connectedness:** An illustration of the conceptual utility of cellular matroids can be found in the context of $k$-connectivity. Topological $k$-connectivity and matroid $k$-connectivity are distinct notions: a contractible CW complex is topologically $k$-connected for all $k \geq 0$, while the associated matroid fails $k$-connectivity for $k \geq 2$; conversely the complete graph on four vertices fails topological $k$-connectivity for $k \geq 1$, while the associated matroid is 3-connected. As it will be directly relevant to the following discussion, we provide the definition of matroid $k$-connectivity below. Topological $k$-connectivity is defined in [10], where the reader may also find a useful survey of connections with the theory of greedoids.

**Definition 1:** A $k$-separation of $M = (E, \mathcal{I})$ is a partition of $E$ into disjoint subsets $E_1, E_2$ such that $|E_1|, |E_2| \geq k$ and $r(E_1) + r(E_2) \leq r(M) + k - 1$. We say $M$ is $k$-connected if $M$ has no $l$-separation for $1 \leq l < k$.

The following result establishes a simple criterion for $k$-connectivity of a regular $n$-dimensional CW complex. It should be contrasted with the homotopy theorems of Tutte [6], Maurer [11], and Björner et al. [12]. A corresponding $k$-sum operation is described in the appendix. To simplify notation, given subsets $E_1, E_2 \subseteq X_n$, let $\nu_{n-1}(E_1, E_2)$ denote the multiplicity of the map $H_{n-1}(\overline{E_1} \bigcap \overline{E_2}) \rightarrow H_{n-1}(\overline{E_1}) \oplus H_{n-1}(\overline{E_2})$ induced by inclusion on relative homology groups.

**Theorem 3:** Let $X$ be a regular $n$-dimensional CW complex and let $E_1, E_2$ be any partition of $X_n$ into disjoint nonempty subsets. Then $r(M_n(X)) = r(E_1) + r(E_2) + \nu_{n-1}(E_1, E_2)$.

---

$\text{1)}$ Connectedness: An illustration of the conceptual utility of cellular matroids can be found in the context of $k$-connectivity. Topological $k$-connectivity and matroid $k$-connectivity are distinct notions: a contractible CW complex is topologically $k$-connected for all $k \geq 0$, while the associated matroid fails $k$-connectivity for $k \geq 2$; conversely the complete graph on four vertices fails topological $k$-connectivity for $k \geq 1$, while the associated matroid is 3-connected. As it will be directly relevant to the following discussion, we provide the definition of matroid $k$-connectivity below. Topological $k$-connectivity is defined in [10], where the reader may also find a useful survey of connections with the theory of greedoids.

**Definition 1:** A $k$-separation of $M = (E, \mathcal{I})$ is a partition of $E$ into disjoint subsets $E_1, E_2$ such that $|E_1|, |E_2| \geq k$ and $r(E_1) + r(E_2) \leq r(M) + k - 1$. We say $M$ is $k$-connected if $M$ has no $l$-separation for $1 \leq l < k$.

The following result establishes a simple criterion for $k$-connectivity of a regular $n$-dimensional CW complex. It should be contrasted with the homotopy theorems of Tutte [6], Maurer [11], and Björner et al. [12]. A corresponding $k$-sum operation is described in the appendix. To simplify notation, given subsets $E_1, E_2 \subseteq X_n$, let $\nu_{n-1}(E_1, E_2)$ denote the multiplicity of the map $H_{n-1}(\overline{E_1} \bigcap \overline{E_2}) \rightarrow H_{n-1}(\overline{E_1}) \oplus H_{n-1}(\overline{E_2})$ induced by inclusion on relative homology groups.

**Theorem 3:** Let $X$ be a regular $n$-dimensional CW complex and let $E_1, E_2$ be any partition of $X_n$ into disjoint nonempty subsets. Then $r(M_n(X)) = r(E_1) + r(E_2) + \nu_{n-1}(E_1, E_2)$.
Corollary 4: For regular, \( n \)-dimensional cell complex \( X \), the matroid \( M_n(X) \) is \( k \)-connected if and only if \( \nu_{n-1}(E_1, E_2) \geq l \) for all \( 1 \leq l < k \) and all partitions \( X_n = E_1 \cup E_2 \) with \( |E_1|, |E_2| \geq l \).

It should be noted that if \( E_1 \) and \( E_2 \) are connected as topological spaces, then \( H_0(E_1) = H_0(E_2) = 0 \). In this case \( \nu_0(E_1, E_2) \) is the rank of \( H_0(E_1 \cap E_2) \). Thus, for \( n = 1 \), Corollary 4 recovers the fact that a connected simple graph is vertex \( k \)-connected if and only if the corresponding matroid is \( k \)-connected.

Three elements of Theorem 4 and Corollary 4 bear emphasis. First, proper interpretation of Corollary 4 underscores the power of algebraic topology to reduce technical algebraic conditions to informative geometric concepts: a matroid \( M_n(X) \) is \( k \)-connected if, in a mathematically rigorous sense, for any partition of \( X_n \) into sets \( E_1, E_2 \) of size at least \( l (1 \leq l < k) \), \( l \) or more nontrivial loops straddling the intersection \( E_1 \cap E_2 \) may be contracted to points (trivial loops) in both \( E_1 \) and \( E_2 \).

Second, the Mayer-Vietoris sequence of algebraic topology lies at the heart of Theorem 4. Like many constructions in this field, Mayer-Vietoris integrates local data distributed across spacial partitions and dimensions. The development of computational tools to leverage such interconnections for strictly greater information than is available via stand-alone, level-wise analysis is among the most profound contributions of algebraic topology.

Third, functoriality, a cornerstone of topological reasoning, plays a central role. The application of functorial tools often appears to “overkill” in low-dimensional settings, however it simplifies the statements and proofs of both results listed above.

To concretize each of the remaining matroid definitions it will be helpful to keep the following example in mind. First, proper interpretation of Corollary 4 underscores the power of algebraic topology to reduce technical algebraic conditions to informative geometric concepts: a matroid \( M_n(X) \) is \( k \)-connected if, in a mathematically rigorous sense, for any partition of \( X_n \) into sets \( E_1, E_2 \) of size at least \( l (1 \leq l < k) \), \( l \) or more nontrivial loops straddling the intersection \( E_1 \cap E_2 \) may be contracted to points (trivial loops) in both \( E_1 \) and \( E_2 \).

A flow on matroid \( M \) is a nonnegative integer-valued function \( C \to \). Given a distinguished element \( l \in E \) and capacity function \( h : E \setminus \{l\} \to \), we say \( \varphi \) is admissible if \( \varphi(e) \leq h(e) \) for all \( e \in E \setminus \{l\} \). The flow value of \( \varphi \) on \( e \in E \) is \( \varphi(e) = \sum_{C \subseteq E, e \in C} \varphi(C) \), where \( \varphi_{\varphi} = (C \subseteq E \colon e \in C) \). A flow is maximal if its value is maximal among admissible flows. An \( l \)-cut is a subset \( \gamma \subseteq E \setminus \{l\} \) for which \( C \cap \gamma \neq \emptyset \) for all \( C \subseteq \gamma \). The cut value of \( \gamma \) with respect to \( h \) is \( h(\gamma) = \sum_{e \in \gamma} h(e) \).

We say \( \gamma \) is minimal if \( h(\gamma) \leq h(\gamma') \) for all \( \gamma' \)-cuts \( \gamma' \). The pair \((M, l)\) is said to have the max-flow min-cut property (MFMC) if and only if the maximum flow value equals the minimum cut value for every capacity function \( h \).

A number of important combinatorial problems can be cast in terms of flows and cuts, among them the minimum bounding surface problem, the circuit packing problem, and the minimum cocircuit problem.

In the context of random spaces both flows and cuts afford natural interpretations. Posit a compact, contractible subset \( D \subseteq \mathbb{R}^2 \) with connected, nonempty interior and piecewise linear boundary, and a finite subset \( P \subseteq D \). Heuristically, \( D \) is a region in which one wishes to monitor the occurrence of an event, and \( P \) is a collection of sensors distributed throughout \( D \). Suppose that each sensor lacks GPS capability, but may broadcast a unique identification code detectable by exactly those sensors lying within distance \( \varepsilon > 0 \) (a fixed parameter) and that each may detect events occurring within distance \( \varepsilon/\sqrt{3} \). Suppose further that a ring of fence nodes \( F \subseteq \mathbb{R} \) line the perimeter of \( D \), and that the maximal subcomplex of \( \mathcal{R}(P, \varepsilon) \) on vertex set \( F \) forms a one-dimensional circuit \( F \) in \( M_1(R) \). Finally, let \( sh : \mathbb{R} \to \mathbb{R}^2 \) be the linear extension of the map \( R_0 \to \mathbb{R}^2 \) sending \( \{p_i\} \) to \( p_i \); we will call this the shadow map.

Provided that \( im(sh|_F) \) is the point-set boundary in \( \mathbb{R}^2 \) of \( D \), [13] show that \( H_2(R, F) \neq 0 \) implies that the image of the shadow map contains \( D \), hence that an event anywhere in the sensing region will be detected. The condition \( H_2(R, F) \neq 0 \) holds if and only if \( H_2(R') \neq 0 \), where \( R' \) is a regular cell complex derived from \( R \) by attaching a 2-cell \( l \) to \( F \) along an embedding. Under this construction the max-flow on \( R' \) with respect to \( l \) is equal to the maximum number of pairwise-disjoint subsets \( C_1, C_2, \ldots \subseteq R \) satisfying the coverage criterion, and the min-cut is the minimum number of 2-cells whose removal from \( R \) forces \( H_2(R') = 0 \). Insofar as one is able to measure coverage topologically, this is the tightest lower bound on the number of triangles where sensor failure must occur for an event to go unnoticed.

2) Graphicness: A matroid \( M \) is graphic (respectively, cographic) if \( M \) (respectively, \( M^* \)) can be represented by the binary node-incidence matrix of a graph. The class of (co)graphic matroids is among the most computationally tractable, with efficient greedy algorithms for both the max-flow and min-cut problems.

We show in the appendix that any regular CW complex \( X \) that embeds as the \( n \)-skeleton of a cellular realization of \( S_{n+1} \) is graphic over \( 2 \). Thus, while the problem of determining piecewise-linear embeddability in codimension-one is NP-hard [14], the (co)graphicness test of Bixby and Cunningham [15] can provide a negative certificate for the related problem of skeletal piecewise-linear embedding in \( |E| \log(|E|) \) time. If this algorithm detects that the underlying matroid is cographic it also explicitly constructs the dual graph, which, while insufficient to prove embeddability, nevertheless provides comprehensive access to efficient graph algorithms for \( M_n(X) \).

3) Regularity: A matroid \( M \) is regular if it can be represented by a totally unimodular (TU) matrix \( A \) over \( \mathbb{R} \). It is well known that integer programs with TU constraint matrices may be solved by linear relaxation; the max-flow and min-cut problems fall under this umbrella.

It can be shown that a matroid with binary representation matrix \( B \) is regular if and only if the nonzero coefficients of \( B \) may be signed such that the resulting matrix is TU over \( \mathbb{R} \). If such a signing exists, then up to scaling of rows and columns it is unique. There exist efficient algorithms both to perform and to check such a signing, e.g., [5]. If this algorithm detects an

In the sense of [5], for \( k \geq 2 \), and excepting the wheel graph \( W_3 \).

When such is the case A represents \( M \) over every coefficient field.
incorrect signing it returns (up to permutation and scaling) a cycle matrix with 1’s on the diagonal, -1’s on the subdiagonal, a positive 1 in the top right entry, and zeros elsewhere. Such matrices are of basic interest as, for example, in the case of closed cellular manifolds they represent obstructions to orientability and present as instances of nontrivial holonomy[1]. Furthermore, one may deduce from the existence of such matrices that any $X$ with regular $M_2^z(X)$ and non-TU real boundary operator operator has a real $U_2^*$ minor, see appendix.

It should be noted for completeness that polynomial time algorithms for considerably more general formulations of the max-flow problem are available for regular matroids, even in the absence of a linear representation [17].

4) MFMC: The class of MFMC matroids is among the largest of computationally tractable isomorphism families closed under reduction, with polynomial time algorithms for both the max-flow and min-cut problems, and substantial connections with integer optimization, e.g. via signed graphs [5]. By contrast, for general matroids the minimum cut and shortest cocircuit problems are NP-hard [18].

Recall from [III-A] that a matroid fails MFMC with respect to a distinguished element $l$ if and only if $l$ is contained in a minor isomorphic to $F_2$. The observations following Lemma 2 therefore imply that $(R’, l)$ lacks the MFMC property if there exists a circuit in $M_2^z(R’)$ containing $l$ and exactly one 2-dimensional face of a 6-clique of the underlying network. We use this fact to obtain estimates on the frequency with which $(R’, l)$ fails MFMC in [IV].

IV. Numerical Results

The statistical distribution of algebraic invariants of randomly generated topological spaces constitutes a subject of active research, with applications in point-cloud data analysis and notable ties to the theory of random graphs [19, 20]. The simulated data described in this section are intended to serve as reference for the formulation of informed questions regarding the statistical distribution of matroid-theoretic invariants of random space ensembles. Point clouds are sampled from $D_1$, the uniform distribution on the unit square in $\mathbb{R}^2$. $D_2$, the uniform distribution on the unit square in $\mathbb{R}^2$ appended with fixed fence nodes satisfying the hypotheses of $F$ in the sensor example of [III D3], the standard normal distribution on $\mathbb{R}^2$, and $D_4$, the standard normal distribution in $\mathbb{R}^3$. For $i = 1, \ldots, 4$, $n = 1, \ldots, 100$, and $\varepsilon = 0.01, \ldots, 0.35$ we repeatedly sample $n$ points from $D_i$, construct the corresponding Vietoris-Rips complex with parameter $\varepsilon$, and record the relevant summary statistics.

A brief summary of experimental results is provided below. Further discussion, including an analysis of matroid 2-connected components, a detailed examination of the distribution of minors within connected components, estimates on the failure of MFMC for the pair $(R’, l)$, and detailed summary statistics and may be found in the appendix.

Our analysis is made possible by the recently published Unimodularity Library of [21]. An adaptation of this code is used to test for total unimodularity, regularity, and graphicness, and a modification of the PHAT library [22] is used to compute field homology on our own implementation of the Vietoris-Rips construction. Further details may be found in the appendix.

5) Connectedness: In the majority of samples generated by $D_2$ in which coverage holds, $M_2^z(R)$ is 2-connected. In all covered instances the number of connected components is either one or two.

6) (Co)Graphicness: Instances of graphic and cographic matroids are absent in all distributions. This is unsurprising given the sensitivity of such structures to minors appearing naturally in small cliques. In light of [III-B2] this provides a strong indication that complexes embeddable in $\mathbb{R}^3$ are rare.

7) Regularity: In the majority of covered instances regularity fails. This is unsurprising for small values of parameter $\varepsilon$ in particular, as the condition that all points in a relatively large sensing region lay within $\varepsilon$ of a sensor but no six sensors lie pairwise within $\varepsilon$ is quite strong.

8) Max-flow values: Upper bounds on the maximal flow values of $(R’, l)$ described in [III-B] are computed by linear relaxation of the integer program $\max \{z_1 : \partial^R_{\ell}z = 0\}$. Observationally, those spaces generated by dense sampling parameter tend to engender maximum flows of value 1. Distributions exhibiting tenuous coverage and connectivity engender a higher percentage of max-flow values between 2 and 5.

9) Minor $U_2^*$: In the majority of cases where regularity fails, 6-cliques are present. In rare but noteworthy instances where total unimodularity fails but regularity does not, a $U_2^*$ minor is present, see appendix.

10) Minors $F_7, F_7^*, N_6, N_9$. In the overwhelming majority of cases where regularity fails one or more 6-cliques are present, an observation consistent across distributions $D_i$. Since each of $F_7, F_7^*, N_6$, and $N_9$ are absent in regular complexes, it follows that the frequency with which each occurs is well approximated by that with which regularity fails.

V. Conclusion

Matroid testing, classification, and decomposition theorems provide relevant information regarding related properties in topological cell complexes. The coincidence of topological, functorial, and matroid-theoretic structures in cellular matroids suggests new questions in modeling and analysis. In future work we will continue to explore the descriptive statistics of random spaces vis à vis forbidden minors and refined measures of connectivity.

REFERENCES

[1] W. T. Tutte, “A class of Abelian groups,” Canad. J. Math., vol. 8, pp. 13–28, 1956.

[2] R. Ghrist, Elementary Applied Topology, 2014.
