Molecular mechanisms underlying increased radiosensitivity in human papillomavirus-associated oropharyngeal squamous cell carcinoma

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Abstract

Oropharyngeal squamous cell carcinoma (OPSCC) is an important type of head and neck squamous cell carcinoma (HNSCC). The traditional risk factors for OPSCC include carcinogen intake, smoking, alcohol consumption, and lifestyle. In recent years, cases of human papillomavirus (HPV)-related OPSCC have gradually increased. At present, HPV-related OPSCC in developed Western countries comprise up to 90% of all OPSCC cases, while in other developing countries, the proportion of HPV-related OPSCC cases is also gradually increasing. Compared with HPV-negative OPSCC, HPV-positive OPSCC patients have better overall survival rates and local control rates and this improved prognosis may be related to the increased radiosensitivity of HPV-positive tumors. Due to this more favorable prognosis, many downgraded treatment schemes are gradually emerging, including simple radiotherapy instead of concurrent radiotherapy or reduced radiotherapy dose. However, there is insufficient theoretical basis for such schemes. Some studies have shown that delayed repair of DNA damage after radiation, G2/M arrest, increased hypoxia, and decreased proliferation capacity are the main reasons for the increased radiosensitivity of HPV-positive tumor cells. In this review, we discuss the four principles of tumor cell damage caused by radiation, including repair, reoxygenation, redistribution, and regeneration in order to reveal the mechanism whereby HPV increases the radiosensitivity of tumor cells. An attempt was made to provide sufficient information to facilitate more individualized treatment for HPV-positive OPSCC patients, under the premise of good tumor control.

Key words: oropharyngeal squamous cell carcinoma, human papillomavirus, radiosensitivity, repair, reoxygenation, redistribution, regeneration

Introduction

Head and neck cancers (HNCs) are the sixth most common form of cancer worldwide, with approximately 400,000 new cases each year [1]. They account for approximately 3.5% of all malignancies in Western countries [2]. Head and neck squamous cell carcinoma (HNSCC) accounts for 90% of all HNC cases. Oropharyngeal squamous cell carcinoma (OPSCC) is one of the important types of HNSCC. Patients with OPSCC usually have a poor prognosis, with a 5-year overall survival (OS) rate of less than 50%. There are many causes of OPSCC, but smoking and drinking are generally considered the biggest risk factors. In addition, smoking is closely related to the prognosis of patients with OPSCC [3]. However, since Syrjanen et al. suggested that human papillomavirus (HPV) is associated with HNSCC 30 years ago [4],
many studies have investigated the relationship between HNSCC and HPV.

HPV infection, like smoking and drinking, has become a risk factor for HNSCC [5, 6]. Meanwhile, it has been reported that HPV-related OPSCC patients have higher OS rates and local control rates than HPV-negative patients [7-11]. It has been reported that this more favorable prognosis may be attributed to higher radiosensitivity [12-16]. In view of this improved prognosis, an increasing number of institutions are attempting a downgraded form of treatment for HPV-positive OPSCC patients [17-20]. However, there is insufficient theoretical basis for these revised treatment protocols [21].

Therefore, the purpose of this review is to summarize recent research on HPV-related radiosensitivity and discuss the potential molecular mechanism whereby HPV enhances radiosensitivity.

The association between HPV and OPSCC

HNC is the sixth most common cancer in the world. Among the different types of HNC, OPSCC is on the rise in some developed countries. In addition to smoking and drinking as risk factors, increasing evidence suggests that HPV infection status is also associated with HNSCC. Currently, HPV-related tumors account for 5-20% of HNSCC cases and 40-90% of OPSCC cases [22-25]. The HPV infection rate in tonsillar squamous cell carcinoma is significantly higher than in other subregions [26, 27]. HPV infection rates in HNC also vary in different countries. This difference is related to differences in alcohol and tobacco consumption, lifestyle, sexual lifestyle, and the testing methods employed. In China, the rate of HPV-related OPSCC cases is low, which may be related to the large consumption of alcohol and tobacco. However, HPV-positive rates are expected to increase further in China as restrictions on tobacco are implemented.

HPV is a small, double-stranded, circular DNA virus. Currently, there are more than 130 known types of HPV virus, which are divided into high-risk and low-risk groups. The high-risk group mainly includes HPV16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 68, 73, 82, etc. The low-risk group mainly includes HPV6, 11, 40, 42, 43, 44, 54, 61, 70, 72, 81, etc. HPV-16 and HPV-18 are the most common types in the high-risk group. High-risk HPV infection is usually associated with squamous cell carcinoma (SCC). The most common types in the low-risk group are HPV-6 and HPV-11, which are usually associated with genital warts and papillomas [28]. HPV has long been considered an important cause of cervical cancer. It has also been shown to be associated with cancers of the penis, vulva, and upper respiratory tract. Similarly, HPV has been considered as a distinct causative agent and HPV-16 is the most common type associated with OPSCC [29, 30], accounting for 90% of all HPV-positive OPSCC cases [26, 31, 32]. HPV infection can lead to abnormalities in the expression of various cycle-related proteins, including increased expression of p16. Some studies have proposed p16 as a surrogate marker for HPV infection [33].

Currently, according to the 8th edition of the American Joint Committee on Cancer (AJCC) Cancer Staging Manual, OPSCC is classified into two different phenotypes, according to the expression of p16 [34]. HPV-related OPSCC patients are considered to be a unique group. They are usually younger [35-37], with specific histopathological characteristics, such as HPV-positive tumors that tend to be poorly differentiated and often have basal-cell-like histological structures [28]. In addition, HPV-related OPSCC is often associated with degradation of p53, inactivation of the Rb pathway, and upregulation of p16. This is clearly contrasted with tobacco-related OPSCC, which is characterized by p53 mutations and p16 down-regulation [38]. It has been reported that HPV-positive OPSCC has improved survival rates compared with HPV-negative OPSCC [9, 10]. Compared with HPV-negative HNSCC patients, HPV-positive HNSCC patients have a 60–80 percent lower risk of dying from cancer [39, 40]. This favorable prognosis may be associated with an improved response to radiation or chemotherapy treatment [9, 10, 41-43]. It is generally believed that this favorable prognosis is related to the high radiosensitivity of HPV-positive tumors [10, 12-15, 44]. In addition, in vitro experiments have shown that the average survival rate of HPV-positive cells after radiation is significantly lower than that of HPV-negative cells [14, 16, 45]. Therefore, domestic and foreign research institutions are evaluating downgraded forms of treatment for HPV-positive OPSCC patients [17, 46-48], such as simple radiotherapy instead of concurrent chemoradiotherapy and lower radiotherapy dose. This is based on the notion that concurrent chemoradiotherapy is an overtreatment for HPV-positive OPSCC patients [49] and will thus, increase the risk of adverse effects on normal tissues, such as mucosal-related weight loss, bone marrow suppression, and dysphagia, with no difference in tumor control [50-52]. As a result, an increasing number of downgraded treatment protocols have been proposed [49, 53-55]. Currently, there have been 2 phase III clinical trials showing the same or a similar response to downgraded radiotherapy in patients with stage III-IV HPV-positive...
OPSCC, when compared to conventional radiotherapy protocols [55, 56]. However, the mechanism whereby HPV enhances tumor radiosensitivity is not clear.

Radiosensitivity refers to the rate of death, injury, or other adverse effects on organism, cells, tissues, or organs after receiving ionizing radiation. The radiosensitivity of cells and tissues depends on their biological characteristics and is influenced by environmental factors. The effect of radiation on cells depends on the 4Rs principle in radiobiology, which refers to repair, reoxygenation, redistribution, and regeneration. Therefore, the molecular mechanism responsible for HPV enhancing the radiosensitivity of OPSCC cells is likely related to these four aspects, which is consistent with previous reports. However, most studies have only reported related phenomena, such as the delay in DNA damage repair [15, 45, 57] and G2/M phase arrest [15, 58, 59]. Thus far, a clear mechanism to explain how HPV-positive OPSCC has increased radiosensitivity has not been proposed.

Based on the aforementioned studies, HPV has become a recognized risk factor for OPSCC and the proportion of HPV-related OPSCC is increasing year by year. Some studies have preliminarily proposed that HPV-related tumors have high radiosensitivity, which may explain the favorable prognosis of patients with HPV-positive OPSCC (Table 1). Because of this improved prognosis, downgraded forms of treatment for HPV-positive OPSCC patients have been attempted to improve the quality of life of patients and reduce the risk of adverse reactions, while ensuring adequate tumor control.

The 4Rs principle

Repair

Several common types of DNA damage caused by radiation include base-pair damage, base-pair loss, cross-linking, and single- or double-strand breakage (DSB). Among these, DSBs are the most common cause of cell death, as all other types of damage are more likely to be repaired. DSBs are usually repaired by one of two mechanisms, non-homologous end-joining (NHEJ) or homologous recombination (HR) [60]. The specific repair mechanism employed depends on the type of damage. If the DNA damage is too severe to repair, eventually, the cell pathway will lead to cell death through apoptosis, aging, or other mechanisms. Therefore, it has been suggested that impairment of DNA repair ability may be the reason why HPV-positive cells are sensitive to radiation [45, 59, 61]. Using immunofluorescence staining with anti-γH2AX and 53BP1 antibodies, to detect early signals of cellular responses to DSBs, it has been shown that, in HPV-positive cells, residual γH2AX/53BP1 lesions after irradiation were more obvious, indicating that DSBs remained longer without repair [45]. Moreover, HPV was found to be significantly correlated with the radiosensitivity of cells.

Consistent with these results, Prevc et al. evaluated DNA damage repair at various time points after radiation using γH2AX expression as a marker [15]. They found that, after a radiation dose of 5 Gy, the amount of γH2AX fluorescence in HPV-positive cells was higher than in HPV-negative cells and remained at a higher level for 24 h. However, 24 h after radiation, γH2AX fluorescence in HPV-negative cells was almost down to normal levels, suggesting that HPV-negative cells repair almost all DNA DSBs within 24 h of radiation exposure. These results showed that the DNA damage repair ability of HPV-positive cells was impaired after radiation, which increased the radiation sensitivity of the cells by 30%. In addition, the impaired repair ability may be related to somatic cell aberrations of DNA repair genes [62]. Similarly, in mouse models, the expression of HPV16-E7, leads to a significant increase in γH2AX levels after radiation [61]. The latest evidence suggests that the inactivation of Rb delays the resolution of γH2AX lesions, indicating that Rb inactivation may be the cause of impaired DNA repair, particularly by inhibiting NHEJ [63]. E7, but not E6, also induces DNA damage, as indicated by the induction of γH2AX and 53BP1 nuclear foci, by down-regulating Rb [64]. Dok et al. [57] reported a new function of p16 as an HR DNA damage response inhibitor in HPV-positive OPSCC. p16 can damage the DNA repair system by preventing RAD51 from entering the site of DNA damage, thus impeding HR-mediated DNA repair [57]. This leads to a shift from homologous to non-homologous repair mechanisms, which induce more errors and cell death. Meanwhile, other studies have observed that p16 can inhibit the formation of RAD51 lesions and increase the frequency of micronuclei. In addition, Jirawatnotai et al. [65] proposed that CCND1, a cell cycle regulatory protein, could directly bind to RAD51 and affect HR. In HPV-positive cells, p16 overexpression can inhibit CCND1. When CCND1 is depleted, RAD51 recruitment to sites of DNA damage decreases, thereby hindering HR and increasing radiosensitivity.

In summary, comparisons of the levels of the DSB signal factors, γH2AX/53BP1, and RAD51 in HPV-positive and HPV-negative cells after radiation have enabled the characterization of DNA damage repair in different cells, further confirming that HPV can increase the radiosensitivity of cells by inhibiting DSB repair (Figure 1).
Reoxygenation

Radiation causes cells to produce free radicals, which cause damage to DNA. Therefore, oxygen plays an important role in radiation damage and the formation of free radicals. In most solid tumors, hypoxic centers make cancer cells resistant to radiation, while in the DNA microenvironment, free radicals fail to provide oxygen and cause damage to the DNA. Oxygen plays an important role in repairing radiation-induced damage. Thus, under low-oxygen conditions, DNA damage is reduced, leading to cell survival. It has been reported that the radiosensitivity of cells is reduced by 3-fold in a low-oxygen environment. Therefore, studies have indicated that the increased radiosensitivity of HPV-positive cells may be attributed to the improvement of the intracellular hypoxic environment [14, 66]. In the Danish Head and Neck Cancer Group (DAHANCA) 5 experiment, HPV-negative cells produced more hypoxia-related modifications than HPV-positive cells, which were closely related to the levels of hypoxia markers [67, 68]. In 2018, Lassen et al. concluded that low levels of hypoxia in HPV-positive cells were the reason they avoided the development of radiation resistance [66]. In addition, they indicated that the benefit of the hypoxia modifier, nimorazole, was limited to p16-negative tumors, with no effect on p16-positive tumors, suggesting that nimorazole is not effective in HPV-positive cells due to hypoxic deficiency. We also noted that hypoxic regression...
early in the treatment of HPV-positive tumors may explain the lack of benefit of nimorazole in patients with early regression [69]. However, the relationship between hypoxia and HPV is not entirely clear, since several other studies have reported no correlation between hypoxia markers and HPV [70, 71]. Meanwhile, Sorensen et al. also showed that oxygen enhancement rate is not different between HPV-positive and HPV-negative cell lines [72].

In summary, no consistent conclusion has been reached on the relationship between hypoxia and HPV and therefore, the role of hypoxia in HPV-positive cells needs to be further clarified (Figure 1).

**Redistribution**

The cell cycle represents the entire life process of a cell, starting from the end of one cell division, through the accumulation of substances, until the end of the next cell division. Most eukaryotic cell cycles consist of four phases, each with different radio-sensitivities. The G2/M phase is the most sensitive phase, followed by G1, and S is the least sensitive phase [73]. The sequential cell cycle is the result of the regulation of a series of related genes, and to ensure the normal operation of the cell cycle, there are a series of checkpoints within the cell. When DNA damage occurs, the cell cycle is immediately interrupted and damaged DNA is detected and repaired. If the DNA damage is minor, the damage can be repaired and the cell cycle can return to normal, but if the damage is too severe to repair, it can further initiate apoptosis or other cell death pathways. There are four checkpoints in the cell, including G1/S, S, G2/M, and the mid-to-late checkpoint. The G2/M checkpoint is the most important checkpoint, as it determines cell division and is mainly responsible for detecting DNA damage. Since G2/M phase cells have the highest radiosensitivity, the high radiosensitivity associated with HPV may be due to the G2/M arrest of infected cells, leaving most cells in the G2/M phase, thus causing a large number of cells to be killed [14, 45, 57, 59]. In a study of radiation-induced changes in five HPV-positive tumor cell lines, eight cells that were arrested in the G2 phase showed significant changes. Therefore, the authors suggested that the increased radiosensitivity of HPV-positive cells may be related to increased G2/M arrest associated with DSBs [45]. Arenz et al. [59] compared the ratio of cells at different cell cycle phases in HPV-positive and HPV-negative cell lines after radiation. They found that HPV-positive cell lines progressed faster at S phase and significantly higher numbers of cells were at G2/M phase. Similarly, Kimple et al. [14] described extensive G2/M arrest in HPV-positive cells. Meanwhile, in 2018, Prevc et al. performed a cell cycle analysis after 15 Gy of radiation and found that HPV-positive cells showed G2 arrest 24 h after radiation and the proportion of G2 cells was significantly higher than that in the control group [15]. This phenomenon is also seen in HPV-negative cells, but to a lesser extent. After 72 h of radiation, the proportion of G2 cells in the HPV-positive group decreased to a normal level, possibly as a result of the death of HPV-positive G2 cells during mitosis. In addition, G2 arrest was found to be associated with increased apoptosis and accelerated S phase progression [59].

In summary, several studies have confirmed that HPV can increase the number of cells in G2/M phase and improve the radiosensitivity of tumors by mediating the G2/M arrest of cells after radiation. However, the molecular mechanism whereby HPV participates in G2/M arrest has not been elucidated.

In addition to G2/M arrest after radiation, apoptosis commonly occurs after radiation. Apoptosis, also known as type I programmed cell death, is an active gene-dominated cell death process that plays a key role in normal physiological processes, such as embryogenesis, maintaining the stability of the body's internal environment, and regulating cell proliferation. Apoptosis also refers to cell suicide induced by foreign factors. When DNA damage is severe, cell processes will directly turn to cell death. Radiation may also induce DNA damage in cells to mediate their selective apoptosis through the following three pathways: p53-caspase, p53-Bax-mitochondria-caspase, and the p53-Fas-caspase cascade reaction. p53 can induce apoptosis via exogenous and endogenous pathways. In endogenous pathways, p53 plays a role by activating downstream pro-apoptotic genes, such as Bax and PUMA, after radiation [74]. Bax then inserts into the mitochondrial membrane, causing the release of cytochrome c and triggering apoptosis through the caspase cascade. In exogenous pathways, p53 induces the expression of the apoptotic receptor, CD95/Fas and CS95/Fas ligands, leading to induction of the downstream caspase cascade and ultimately, apoptosis [74]. It has been confirmed that the increased radiosensitivity of HPV-positive cells is due to increased levels of p53-mediated apoptosis and cells with high expression levels of wild-type p53 usually have increased radiosensitivity.

It has previously been shown that p53 is usually mutated in HPV-negative tumor cells, whereas wild-type p53 is usually found in HPV-positive tumor cells. In HPV-related OPSCC, although wild-type p53 is partially inactivated by E6 protein, low levels of p53 can still increase the sensitivity of cells to DNA...
damage factors [7, 74]. In addition, studies have shown that HPV-E6-positive OPSCC cell lines have higher radiosensitivity in a p53-independent manner [58]. Kimple et al. [14] compared four HPV-positive and HPV-negative cell lines and detected apoptosis by caspase activity measurement and flow cytometry. They found that apoptosis and caspase activity were significantly increased in the HPV-positive group, compared with the HPV-negative group, 24 h after 4 Gy of radiation. Through the detection of cytokine expression, the activation of p53 and its gene expression level were found to be significantly higher in the HPV-positive group after radiation. These results showed that HPV-positive cells promoted apoptosis by activating the p53 pathway, thus increasing the radiosensitivity of the cells. Later, in HPV-positive cell lines, an siRNA was used to knock out the remaining p53, resulting in increased cell colony formation, indicating increased cell survival. Furthermore it was shown that low-level p53 can be activated by radiation, initiating the p53 apoptosis pathway and promoting apoptosis [14].

Previous studies have shown that HPV-E6/E7 can down-regulate p53 and Rb, respectively, resulting in p16 overexpression [38, 75-77]. The most common form of DNA damage caused by radiation is DSBs. It has been proposed that the delay in DNA damage repair in HPV-positive cells after radiation leads to the increase in DSBs [15]. Molecular biological studies have shown that DSBs can further act on cycle-dependent kinases, including Chk1, Chk2, and cdc25, by activating the ATM/ATR pathway and finally, act on the cdc2-cyclin B complex, leading to G2/M phase arrest. Because G2/M phase cells are most sensitive, cells blocked at G2 phase are killed in large numbers. At the same time, damaged DNA can also stimulate Akt-related pathways and activate the expression of downstream p53, thereby affecting downstream signaling molecules, such as Bcl-2 and Bax, ultimately leading to increased apoptosis. Previous studies have shown that there is a delay in DNA damage repair after radiation and significant G2/M arrest, as well as an increase in the number of apoptotic HPV-positive cells. These studies provide a preliminary explanation for the increased radiosensitivity of HPV-related tumors, including G2/M arrest related to the ATM/ATR pathway and apoptosis related to the Akt-p53 pathway (Figure 1). However, the molecular mechanisms and signaling pathways that cause DNA damage repair delays, cell cycle changes, and increased apoptosis have not been fully elucidated. Therefore, much follow-up basic research is necessary to further clarify the molecular mechanisms.

### Regeneration

Accelerated proliferation refers to the phenomenon of increased proliferation of cells at a certain stage during the radiation process, with different proliferation rates. There are two types of cells that proliferate in the irradiated region. Firstly, some cells travel to the irradiated area for replication from outside the area of irradiation. For example, after radiation injury of oral mucosa and gastrointestinal mucosa, the tissue is repaired by this mechanism. Secondly, cells replicate in the range of exposure. In this way, tumor cells can produce more tumor cells. The accelerated proliferation of tumor cells is not conducive to tumor control and therefore, additional doses of radiation are needed to stop the accelerated proliferation of cells. Therefore, the ability of cells to proliferate after radiation is also one of the factors affecting radiosensitivity.

In an in vitro study, the percentage of cells undergoing mitosis significantly decreased in HPV-positive cells, but the proportion of mitotic cells first increased and later declined in HPV-negative cells after radiation. These results suggested that HPV-positive cells have a lower mitotic ability than HPV-negative cells after radiation [15]. The EGFR pathway is the most commonly upregulated mitotic signaling pathway in HNSCC and is usually associated with therapeutic resistance and poor prognosis. Previous studies have shown that the expression levels of HPV and EGFR are negatively correlated in OPSCC [78]. Gupta et al. [79] showed that EGFR phosphorylation is increased in HPV-negative cell lines with strong radiation resistance. In addition, this activated EGFR then activates the oncogene, mTOR, through the Akt pathway.

Cancer stem cells (CSCs) are the reserve cells in tumor tissues. When cells are damaged, CSCs will immediately enter the differentiation process and supplement the damaged tumor cells. Therefore, previous studies have suggested that CSCs may be one of the causes of tumor radiation resistance. Some studies have reported that the lack of CSCs in HPV-positive tumors may be the reason for its increased radiosensitivity [80]. Michelle et al. [80] studied a total of 711 patients with OPSCC. The presence and intensity of CD44 and CD98 (two CSC markers), determined by immunohistochemistry, was used to assess the proportion of CSCs. Their results showed that the expression levels of CD44 and CD98 were lower in HPV-positive cells than in HPV-negative cells. The amount of CD44 staining and the proportion of CD44-positive cells in HPV-negative tumors were significantly higher than in HPV-positive tumors. CD98 staining intensity and the
CD98-positive cell ratio of HPV-negative tumors were also significantly higher than those of HPV-positive tumors. This study confirmed that fewer cells expressed the CSC-enrichment markers, CD44 and CD98, in HPV-positive OPSCC, which may be the reason for the higher radiosensitivity [80].

Although a positive effect of HPV on the prognosis of OPSCC patients has been demonstrated, methods for diagnosing HPV infection have not been developed. Commonly used diagnostic methods include the detection of HPV-DNA by polymerase chain reaction; the detection of the alternative marker, p16, by immunohistochemistry (IHC); and in situ hybridization (ISH). In addition, the Linear Array HPV Genotyping Test is a newly developed Test. The sensitivity and specificity of IHC are 94-97% and 83-84%, respectively, while the sensitivity and specificity of ISH are 85-88% and 88-95%, respectively [81-83]. Different testing methods can lead to different results and the uncertainty of HPV status can affect the prognosis of OPSCC patients. Currently, there is no test with high sensitivity and specificity and therefore, the latest National Comprehensive Cancer Network (NCCN) guidelines recommend comprehensive diagnosis of HPV infection by more than one method.

Conclusion

In summary, the more favorable prognosis of HPV-positive OPSCC patients may be attributed to high radiosensitivity, but the specific molecular mechanism has not been fully elucidated. Therefore, it is necessary to perform further basic research on this topic. Elucidating the molecular mechanism and related pathways of HPV enhancing radiosensitivity in tumor cells will provide a new understanding of HPV-related OPSCC. In addition to tumor control, treatment-related adverse reactions have also attracted more and more attention. Confirmation of high radiosensitivity of HPV related OPSCC will provide theoretical basis for the implementation of downgrading treatment. This will provide more individualized treatment for HPV positive patient and provide new targets for the treatment of OPSCC.

Abbreviations

AJCC: American Joint Committee on Cancer; CSCs: cancer stem cells; DSB: double-strand breakage; HNCs: head and neck cancers; HNSCC: head and neck squamous cell carcinoma; HR: homologous recombination; HPV: human papillomavirus; IHC: immunohistochemistry; ISH: in situ hybridization; NCCN: National Comprehensive Cancer Network; NHEJ: non-homologous end-joining; OPSCC: oropharyngeal squamous cell carcinoma; OS: overall survival; SCC: Squamous cell carcinoma.

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Author Contributions

JX and YX conceived and designed the study. WHH, WB and WJL wrote the paper. ZQH and QC summarized the picture and table. All authors read and approved the manuscript.

Competing Interests

The authors have declared that no competing interest exists.

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