Stratigraphic analysis of intercalated graphite electrodes in aqueous inorganic acid solutions

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ABSTRACT
A detailed stratigraphic investigation of the intercalation mechanism when graphite electrodes are immersed inside diluted perchloric (HClO₄) and sulfuric (H₂SO₄) electrolytes is obtained by comparing results when graphite crystals are simply immersed in the same acid solutions. By combining time-of-flight secondary ion mass spectrometry (ToF-SIMS) and in-situ atomic force microscopy (AFM), we provide a picture of the chemical species involved in the intercalation reaction. The depth intensity profile of the ion signals along the electrode crystal clearly shows a more complex mechanism for the intercalation process, where the local morphology of the basal plane plays a crucial role. Solvated anions are mostly located within the first tens of nanometers of graphite, but electrolytes also diffuse inside the buried layers for hundreds of nanometers, the latter process is also aided by the presence of mesoscopic crystal defects. Residual material from the electrolyte solution was found localized in well-defined circular spots, which represent preferential interaction areas. Interestingly, blister-like micro-structures similar to those observed on the highly oriented pyrolytic graphite (HOPG) surface were found in the buried layers, confirming the equivalence of the chemical condition on the graphite surface and in the underneath layers.

KEYWORDS
highly oriented pyrolytic graphite (HOPG), intercalation, graphite, graphene, time-of-flight secondary ion mass spectrometry (ToF-SIMS), atomic force microscopy (AFM)

1 Introduction
Ion batteries development (by exploiting the change of the transport properties) and, more recently, graphene industrial production (by quenching the layer-layer interaction) are two important examples of technological areas where anion intercalation into stratified crystal structures plays a crucial role [1-3]. The stratified crystals prototype, namely highly oriented pyrolytic graphite (HOPG), consisting of honeycomb graphene layers stacked parallel with each other. The graphene exfoliation can be achieved by a wide range of chemical procedures in liquid-phase [4-7]. One of the more tunable and advantageous methods is based on electrochemistry [8, 9]. Historically, perchloric (HClO₄) and sulfuric (H₂SO₄) acids represent suitable electrolytes in mild aqueous solutions for graphite treatment and oxidation [10-12]. By applying proper electrochemical (EC) potentials, solvated ClO₄⁻ and HSO₄⁻ ions percolate between the HOPG layers. HOPG thus evolves into the so-called graphite intercalated compound (GIC), which shows different transport properties with respect to the pristine sample [13-15]. In addition, the presence of ions within the interlayer spacing of graphite helps its delamination in liquid-phase [16]. GIC is considered a new kind of crystal having the intercalated ions in between the ordered arrays of one or more graphite layers (see below for details) [17-19]. The most relevant morphological change on the crystal surface after the graphite intercalation is the formation of blisters [17, 18]. The latter, bubble-like circular structures (with lateral sizes within hundreds of nm and up to the micrometer length scale; height above tens of nm) which swell the HOPG basal plane, are generally interpreted as a consequence of the evolution of gaseous molecular species (CO, CO₂, and O₂) during the oxidative process [20-23]. The presence of such gases was recently detected by a mass-spectrometer just placed above the electrode surface [24]. If blisters induce a detriment of the HOPG surface, the gas production reasonably also affects the crystal bulk (by the formation of defects, dislocations, strains, etc.). Because of this, having a complete picture of the HOPG surface and bulk chemical evolution during the exfoliation process becomes crucial. The local morphological changes occurring on the HOPG basal plane have been extensively studied by microscopic techniques, like electrochemical-atomic...
force microscopy (EC-AFM) [12, 25]. More recently, it has been possible to monitor the appearance of blisters by combining electrochemical-scanning tunneling microscopy (EC-STM), cyclic voltammetry (CV), and normal-pulse voltammetry (NPV) [26–29]. All results from these works demonstrate an interdependence between the HOPG surface swelling and the reaching of the oxygen evolution potential (OEP). These new results have refined the current interpretative model used for the morphological characterization of the electrode [18]. A similar experimental effort is now wished for a more local chemical analysis of the intercalation process. To this goal, Raman spectroscopy has been initially exploited allowing an in-situ characterization during the EC reaction [23]. However, in a very recent work, we employed time-of-flight secondary ion mass spectrometry (ToF-SIMS) technique proving that a more sensitive analysis of the chemical species present on the HOPG electrode surface after the first intercalation stage is possible despite only ex-situ measures are possible [30]. By using this technique, the correlation between chemical and morphological changes can be highlighted by combining mass spectra and chemical maps [31, 32]. In this work, in order to investigate the intercalation also in the sub-surface regions of the crystals, we exploited the so-called dual beam ToF-SIMS depth profiling experiments at very low sputter energy. The use of low energy Cs+ ions as a sputtering source has already been demonstrated to be efficient for characterizing hybrid organic/inorganic architectures with a sub-nanometer depth resolution [33, 34]. Besides, thanks to an innovative experimental set-up, which combines ToF-SIMS and in-situ atomic force microscopy (AFM) measures, we were able to monitor and spatially localize the penetration depth of the molecular species involved in the intercalation process. Molecular species coming from the electrolyte (ClO4– and SO4H–), as well as oxygen-based molecular fragment ions generated as a consequence of the EC treatment (CO2H+ and O2–), were revealed and located within the graphitic planes.

2 Experimental

2.1 Sample preparation

Sulfuric acid (99.999%, Sigma-Aldrich) and perchloric acid (70%, ACS reagent, Sigma-Aldrich) were utilized in the diluted (2 M) HClO4 and (1 M) H2SO4 acid solutions for treating the samples. The solutions were purified by bubbling pure Ar in a separator funnel for several hours. The different concentrations for the two acids allow the presence of a comparable number of anions in solution. Pristine z-grade HOPG samples (by Optigraph) were electrochemically intercalated or only immersed [for some minutes in view of having comparable time intervals to those ones required for performing the cyclic-voltammeter (CV), see below] in the two acid solutions. The simple crystal immersion was used as a countercheck for the final data interpretation. In fact, the ex-situ ToF-SIMS measures oblige the graphite extraction from the acid solution bath and the consequent risk of having residuals and precipitates caused by the sample drying. For the electrochemical treatment, HOPG crystal was used as a working electrode (WE) in a three-electrode EC cell, where two Pt wires were used for the counter electrode (CE) and the quasi-reference electrode (PtQRef). The latter is not a proper electrode based on a redox couple, but it shows a stable (within few millivolts) shift of +0.743 V versus the standard hydrogen electrode (SHE) immersed in the same electrolyte. CV (scan rate of 25 mV/s) was performed from 0.3 to 1.1 V (in HClO4) and from 0.3 to 1.3 V (in H2SO4) to reach the IV intercalation stage in both the electrolytes. After the EC process, the HOPG samples were dried under gentle nitrogen flux.

2.2 ToF-SIMS/in-situ AFM analysis

HOPG crystals were analyzed with a ToF-SIMS/AFM combined tool (ToF-SIMS NCS, IONTOF GmbH, Münster, Germany). Such an instrument allows the suspension of the ToF-SIMS depth profile experiment and a subsequent transfer of the sample to the AFM position without breaking the vacuum (see Fig. S1 in the Electronic Supplementary Material (ESM)). During the sample stage transfer, the submicron positioning accuracy is guaranteed by the stage calibration. Regarding the dual beam ToF-SIMS depth profile conditions, Bi+ at 30 keV in bunched mode was used as a primary beam and low energy Cs+ ion source (250 eV) as an erosion gun. The analysis raster of 100 μm × 100 μm was set at the center of the sputtered area of 300 μm × 300 μm. The depth crater values were experimentally established through in-situ AFM surface profile measurements, acquired on the analyzed area before and after each depth-profiling measurement. The values were afterward used for intensity-time to intensity-depth calibration, assuming a constant sputter yield during the depth profiling experiments. The sputter yield value, resulting from the calibration, is consistent with the literature data regarding our experimental conditions [35]. Multiple AFM morphological images and ToF-SIMS chemical maps were acquired on the same areas with the purpose of comparing the topography and the chemical information. AFM was operated in contact mode for all the reported measurements.

3 Results and discussion

3.1 General remarks of the intercalation process

A schematic representation of the current HOPG intercalation process is reported in Fig. 1. This reaction can be conceived as a two-step process. The first one involves the HOPG basal plane: solvated anions (water molecules are not depicted in Fig. 1) travel through the structural crystal defects reaching also buried graphene layers. The second process stage foresees the anions diffusion within the first graphite layers. At the end of these two steps, anions should be located, along the z-direction, in mean-ordered spatial intervals of n graphene...
layers \((n = 1, 2 \ldots)\). Up to now, a detailed analysis of this second phase and the effects of the different employed electrolytes have not been fully explored. In Fig. 2, the CVs show the evolution of the Faradaic current through the HOPG in HClO\(_4\) (a) and H\(_2\)SO\(_4\) (b) electrolytes. The shoulder related to the fourth anion intercalation stage \((n = 4)\) is labeled in the positive scans of the voltammograms in Figs. 2(a) and 2(b). The negative peak is traditionally interpreted in terms of a partial ions deintercalation, which gives a quasi-reversible character at the overall reaction [36]. When the fourth intercalation stage is reached during a cyclic-voltammetry, the graphite electrode becomes a so-called GIC. GIC can be considered a new crystal where an ion layer is interposed in the original stratified crystal structure after \(n = 4\) graphite layers. Our chemical and morphological investigation is focused only on the IV intercalation stage because it ensures that the main processes and reactions occur without a massive detriment of the electrode crystal.

### 3.2 Chemical and morphological analysis of the real surface and buried graphite layers

Pristine HOPG sample was initially studied as a reference sample for the ToF-SIMS investigation (see Fig. S2 in the ESM). After the EC treatments, the HOPG was analyzed by AFM. Typically, the EC treatment produces blisters, carbon dissolution, and a general surface detriment [26, 37]. The complexity of the morphology increases when the electrode surface is investigated ex-situ. In this case, some residuals from the electrolyte can also affect the quality of the AFM image. In Fig. 3, we report two representative AFM images of the HOPG real surface upon reaching the fourth stage of intercalation in HClO\(_4\) in Fig. 3(b) and H\(_2\)SO\(_4\) in Fig. 3(c) electrolytes. In the former case, it is possible to recognize a general surface detriment and some sub-micrometer features (blisters). Blisters are also present in Fig. 3(c), where a larger number of roundish shapes (residuals) are observed. The intercalated samples were thus studied by the ToF-SIMS system. The results are then compared with those acquired on the immersed samples, as previously explained. In ToF-SIMS depth profiles, secondary ion intensities are plotted versus depth. The shaded bar areas of the profile curves represent the ToF-SIMS measurement uncertainty, evaluated on the basis of our experimental conditions. The same uncertainty can be applied to all depth profile results for a better comprehension of the data. In all cases (immersed and intercalated samples) the C\(_\text{e}^+\) signal intensity is used as a reference secondary ion for graphite, as already reported in the literature [38]. It reaches a plateau approximately within the first 4 nm, as clearly visible in Fig. 4. Intensity signals related to the anions from HClO\(_4\) in Fig. 4(a) and H\(_2\)SO\(_4\) in Fig. 4(b) acids and some oxygen-based ion fragments in Figs. 4(c) and 4(d) are also reported. Considering that the chloride and sulfur based molecular species are directly involved in the EC process, we would like to figure out possible differences in ClO\(_4^-\) and SO\(_4^2-\) ions behavior. For what regards the HOPG sample treated with HClO\(_4\), it is possible to appreciate that the ClO\(_4^-\) ion (Fig. 4(a)) is more intense in the first 2 nm. The ion is strongly present even in the sample buried layers. This result confirms that the intercalation process proceeds beyond the uppermost graphite layers. On the contrary, in the immersed sample, the ClO\(_4^-\) ion signal intensity rapidly reaches negligible values (blue shaded bar area) at about 4 nm. This result puts in evidence the crucial role of the EC process in forcing the anions inside the HOPG crystal. A similar approach in the evaluation of the EC process was adopted for the sample treated with H\(_2\)SO\(_4\). In Fig. 4(b) the signal intensity variation of the SO\(_4^2-\) species is reported. Also in this case, the EC treatment led to a better insertion of the acid molecules and their diffusion throughout the crystal. In the intercalation theoretical model [18], the authors propose that gases (namely CO, CO\(_2\), and O\(_2\)) develop during graphite oxidation. Consequently, we decided to search traces of this process and the electrochemical graphite oxide (EGO) formation in the evolution of O\(_2\) and CO\(_2\)H molecular species. In Figs. 4(c) and 4(d), the behavior of O\(_2^-\) and CO\(_2\)H\(^+\) ion signals for the intercalated sample in perchloric and sulfuric acid, respectively, is reported. The signals show an initial increase of intensity, with a maximum within the first 2 nm (sample real surface). As already seen for the ClO\(_4^-\) ion, the signal intensity for both ions remains pretty constant even in the HOPG buried layers. The presence of such ions within the first tens of nanometers confirms the strong oxidative action operated by the acid during the EC treatment with a subsequent formation of related gaseous products. In addition, these findings agree and give a rationale of previous results obtained by Alliata and coworkers [25], where the authors deduce an intercalation depth of about a hundred nm as a consequence of the swelling of the HOPG basal plane as observed by an in-situ AFM analysis. When the sample is only

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**Figure 2** Characteristic CV \((V_{\text{scan}} = 25 \text{ mV/s})\) acquired on HOPG treated with 2 M HClO\(_4\) (a) and 1 M H\(_2\)SO\(_4\) (b) solution. The reported arrows indicate the CV scan direction.

**Figure 3** AFM images (8 μm × 8 μm) of HOPG pristine surface (a), HOPG surface intercalated in 2 M HClO\(_4\) solution (b), and in 1 M H\(_2\)SO\(_4\) solution (c). For all images, three dimensional (3D) AFM topography are shown.
immersed in the acid, the \( \text{O}_2^- \) and \( \text{CO}_2\text{H}^- \) signal behavior starts with a fairly intense value at the surface and it rapidly decreases in intensity. These results shed a light on the importance of studying the effects of the EC treatment on the HOPG buried layers.

While the correlation between the surface chemistry and the microscopic analysis has been already discussed [30], a similar investigation is still lacking for the buried graphite layers. Therefore, we have broadened our interests considering a wide depth range (stratigraphic analysis from 0 to 165 nm) and by combining microscopic and chemical analysis using the ToF-SIMS/AFM set-up. In Fig. 5, results from depth profiling experiments on a sample intercalated with HClO₄ are presented. \( \text{C}_6^- \), \( \text{ClO}_4^- \), \( \text{O}_2^- \), and \( \text{CO}_2\text{H}^- \) depth profiles (Fig. 5(a)) and chemical maps for \( \text{ClO}_4^- \) at specific depth values (basal plane, 4, 50, 110, 140, and 165 nm) are shown. Excluding the \( \text{C}_6^- \) signal, all the other ion signals taken into account decrease in intensity as a function of the sample depth (Fig. 5(a)). The ToF-SIMS chemical maps are helpful to rationalize the behavior of the signals. At the basal plane (Fig. 5(b)), the \( \text{ClO}_4^- \) two-dimensional (2D) distribution reveals the widespread presence of residual acid from the EC treatment. The acid is homogeneously distributed till a depth of 4 nm (limit of the real surface, Fig. 5(c)). In the next tens of nanometers in depth, it is possible to appreciate the exponential decreasing of the \( \text{ClO}_4^- \) ion signal intensity (Fig. 5(a)) corresponding to a strong reduction of the number of counts in the chemical map (Fig. 5(d)). Then, an unexpected increase of the signal intensity was observed in buried layers (\( z = 110 \) and 140 nm). A quite similar behavior was detected for \( \text{O}_2^- \) and \( \text{CO}_2\text{H}^- \). The co-presence in the graphite bulk of the electrolyte and oxygen-based molecular fragment ions suggests that the electrochemical oxidation can occur also far from the crystal subsurface. Here, the \( \text{ClO}_4^- \) ion signal is not homogeneously distributed all over the XY-plane, as previously shown in Figs. 5(b) and 5(c), but it exhibits a very specific distribution (Figs. 5(e) and 5(f)). Thereafter, the ion signal drops again at the end of the depth profiling experiment (Fig. 5(g)).

Combining ToF-SIMS chemical maps and AFM images it is possible to highlight at the same time changes in the crystal topography and distribution of the chemical species at the surface as well as in the buried layers. Here, such combined approach aims to correlate the presence of electrolyte residuals with characteristic crystal defects to explain the presence of \( \text{ClO}_4^- \) at hundreds of nanometers. AFM measurements performed on the same area at the beginning (top) and the end (bottom) of the sputtering process are shown in Fig. 6.

The crystal basal plane (Fig. 6(a)) is characterized by the presence of blisters and acid residuals. The sputtering process simultaneously removed blisters, electrolyte residuals, and graphite planes putting in evidence some crystal structural defects such as steps and riffs (Fig. 6(b)). Surprisingly, the chemical distribution of \( \text{ClO}_4^- \) in the buried layers (Fig. 5(d)–5(f)) seems to perfectly overlap with the crystal rift. Furthermore, right next to the rift clear blisters were identified by AFM. This unforeseen scenario opens an important question concerning
the role of such defects in the anion intercalation process. In fact, despite being of high quality, HOPG samples have intrinsic defects both on the basal plane and in the crystal bulk. In particular, graphite is constituted by wide grains more or less aligned with the neighbors according to the graphite grade. By using gaps between grains, anions can cover longer paths with respect to those through not-aligned defects present in the different graphite stratified layers. This scenario was also validated for the HOPG samples treated with H₂SO₄. In Fig. 7, ToF-SIMS images were reconstructed as XY (100 µm × 100 µm) and XZ (z = 0‒180 nm) slices reconstruction of SO₄H⁻ ion signal from ToF-SIMS depth profile experiments on HOPG intercalated in H₂SO₄ solution. At different depth values (z = 0, 20, 80, 90, 95, and 180 nm), the XY (in-plane) SO₄H⁻ ion intensity distribution and the corresponding XZ (vertical cross-section) distribution are shown. In the bottom right panel, the line scan acquired on the XZ slice (dotted red line) is plotted as depth versus intensity, in order to highlight the dimensional scale of the SO₄H⁻ ion segregation within the HOPG crystal.

Here, when the oxygen evolution potential is reached, graphite oxidation is enhanced and a blister can evolve. Consequently, part of the circular spots observed in the ToF-SIMS maps can be interpreted as the fingerprint of a blister that has been removed during the stratigraphic analysis. Such an interpretation is summarized as a scheme in Fig. 9. In the first steps of the electrochemical oxidative process of the graphite crystal, solvated anions are forced to intercalate in the first nanometers taking...
Figure 9  Definitive scheme that summarizes the interpretation of the collected results. (a) During the initial potential scans, when oxidative wave fine structures appear, solvated anions are intercalated in the first nanometers through HOPG crystal defects and grain boundaries. The presence of rifts in the graphite crystal can drive the intercalation of the solvated electrolytes even at hundreds of nanometers. (b) HOPG oxidation reactions are evidenced by the presence of acid residuals in defective areas represented as circular spots. Electrochemical graphite oxide (EGO) formation, gas evolution, and blister formation can occur at the crystal subsurface as well as in the buried layers. (c) The complete deintercalation of the electrolyte ions should occur in the reduction process during the cathodic scan. However, residuals of electrolyte are still present and located in correspondence of circular spots in the first layers and in the bulk of the graphitic electrode.

4 Conclusions

We have directly proved that the electrochemical intercalation of inorganic acids in HOPG is a process not limited to the uppermost layers of the crystal, but it concerns the first hundreds of nanometers. This was hypothesized in the past by Murray and coworkers and it actually represents the theoretical model adopted to describe the electrochemical intercalation of acid molecules in graphitic electrodes. However, this was never directly observed. The picture of the electrochemical intercalation can be now represented as a mechanism where the electrolyte molecules are forced to travel along the crystal for hundreds of nanometers. At the same time, the oxidation products are not located only at the crystal surface but can move inside the crystal. It also emerged that a crucial role is played by the large crystal defects. They can be assimilated to preferential lanes for the moving of the electrolyte molecules and represent a sort of collection point where diffusing molecules can be trapped.

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Electronic Supplementary Material: Supplementary material (schematic representation of the correlative ToF-SIMS/AFM system, depth profile of a pristine HOPG sample: secondary ion intensities of C$_{6}$ at m/z 72, O$_{2}$ at m/z 31.99, Cl$^{-}$ at m/z 34.96, S$^{-}$ at m/z 31.97, and CO$_{2}$H$^{-}$ at m/z 44.99 plotted versus depth, and in-situ topography and phase-contrast images of the HOPG electrode immersed in sulfuric acid electrolyte) is available in the online version of this article at https://doi.org/10.1007/s12274-021-3614-6.

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