A semi-automatic seed point-based method for separation of individual vertebrae in 3D surface meshes: a proof of principle study

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Abstract

Purpose The purpose of this paper is to present and validate a new semi-automated 3D surface mesh segmentation approach that optimizes the laborious individual human vertebrae separation in the spinal virtual surgical planning workflow and make a direct accuracy and segmentation time comparison with current standard segmentation method.

Methods The proposed semi-automatic method uses the 3D bone surface derived from CT image data for seed point-based 3D mesh partitioning. The accuracy of the proposed method was evaluated on a representative patient dataset. In addition, the influence of the number of used seed points was studied. The investigators analyzed whether there was a reduction in segmentation time when compared to manual segmentation. Surface-to-surface accuracy measurements were applied to assess the concordance with the manual segmentation.

Results The results demonstrated a statically significant reduction in segmentation time, while maintaining a high accuracy compared to the manual segmentation. A considerably smaller error was found when increasing the number of seed points. Anatomical regions that include articulating areas tend to show the highest errors, while the posterior laminar surface yielded an almost negligible error.

Conclusion A novel seed point initiated surface based segmentation method for the laborious individual human vertebrae separation was presented. This proof-of-principle study demonstrated the accuracy of the proposed method on a clinical CT image dataset and its feasibility for spinal virtual surgical planning applications.

Keywords Spine · Vertebra · Segmentation · Virtual surgical planning (VSP) · Computed tomography · Seed point segmentation · 3D surface segmentation

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Introduction

Patients suffering from spinal pathologies that cause instability of the spine are often treated with posterior rigid fixation surgery in order to immobilize the spine and to prevent further damage to the spinal cord [1–3]. Screws are inserted bilaterally through the pedicles or in the lateral mass at each segment level. The spinal segments to be fused are immobilized by the insertion of a rod through the polyaxial screw heads.

Accurate screw insertion is important to minimize the risk of injuring nearby vital structures, such as the spinal cord and the nerve roots, and to facilitate a biomechanical stable fixation [4]. Due to advances in medical technology and the well-understood importance of accurate screw insertion, three-dimensional (3D) virtual surgical planning (VSP) has become increasingly popular since its emergence approximately two decades ago [5–10]. In the University Medical Center Groningen, VSP including the use of 3D printed drill guides is one of standard techniques for pedicle or lateral mass screw insertion in the cervical and upper thoracic spine.

A crucial part of the spinal VSP workflow is bone segmentation in order to obtain 3D bone models from the CT image data. Bone segmentation is the process of partitioning bone tissue from surrounding soft tissues in medical image data. A patient-specific modeling workflow necessitates segmentation of all individual human vertebrae for precise preoperative planning of screw trajectories. However, the complex shape and irregularities in bone density make the delineation of individual vertebrae challenging. Moreover, the per level varying signal-to-noise ratios and the occurrence of CT artifacts further hinder segmentation [11, 12]. In order to obtain accurate bone models, the current workflow requires substantial manual image pre-processing by specially trained medical engineers, which is time-consuming and impedes the cost-effectiveness of VSP.

Development of (semi-)automated image processing has led to new segmentation methods based on statistical shape models and atlases [13–17]. Since these methods have been trained on available databases the algorithm generally underperforms in situations where the anatomy differs substantially from the average spine, i.e., cases with severe spinal deformation. In fact, surface errors are reported to be as high as 5.36 mm [20]. The resulting erroneous models are simply not suitable for computer-aided design and might lead to serious misfit of instruments. The more recent evolution of machine learning techniques reportedly yields smaller errors and might therefore be more useful for computer-aided design of surgical instruments, but these techniques are not yet implemented in commercially available software and should still be evaluated to be sufficiently reliable [18]. Threshold-based bone segmentation is, therefore, still considered the gold standard for VSP applications. The method is reliable since it relies on Hounsfield Units calibrated with respect to water. Moreover, it is not influenced by exceptional changes in anatomy resulting from severe spinal deformation pathologies.

Since image segmentation is one of the most crucial but time-consuming tasks in spinal VSP, the workflow should be optimized by introducing new methods for separating the individual vertebrae. In order to meet the clinical demand, a more automated approach for splitting of individual vertebrae that relies on the proven reliability and validity of threshold-based segmentation is desired. To address this issue, we propose a semi-automated software tool that uses threshold-based 3D bone surface mesh derived from CT image data and separates individual vertebrae based on 3D surface positioned seed points. The proposed method’s efficacy and the relation to the number of used seed points were evaluated on a patient dataset. An accuracy and time comparison was made between the proposed method and the current standard manual segmentation method.

Methods and materials

The proposed algorithm

The seed point-based method presented in this paper can best be characterized as a surface seeding method, because it is a seed point-based surface segmentation method applied to the bone surface as opposed to direct segmentation of the CT-scan volume. Before this surface seeding method can be applied, a 3D bone surface mesh of the human spine needs to be extracted from the CT image data. For the purpose of this study, global thresholding and marching cubes triangulation were used, which is currently the standard in VSP.

Surface region-growing

Seed points are manually placed on all parts of the extracted bone surface by hand. At least one seed point is required for each bone segment. The method, however, allows to place a higher number of seed points per segment. After the initial seed point placement, the region-growing algorithm can be started. The regions are grown from the seed points using an algorithm with a breadth-first approach. At first, the seed point vertices are added to a last-in-first-out queue. As long as the queue is not empty, the vertex at the front of the queue is dequeued. Each of its neighboring vertices is added to the back of the queue and labeled with the region of the current
vertex, provided that they do not have region label yet and are also ‘connected’ to the current vertex. Two neighboring vertices are ‘connected’ when the angle between the vertex normals does not exceed a maximum allowed threshold angle. This maximum allowed threshold angle is a parameter which is initially set by the user. A pseudocode depiction of the region-growing algorithm can be seen in Algorithm 1. The connectivity constraint causes vertices in smooth areas to form connected surfaces through which the region-growing is propagated. On the other hands, larger angles between normals of neighboring vertices which exceed the threshold angle form natural barriers. The effect can be compared to that of an oil spill, spreading circumferentially over the smooth regions of the surface, starting from the seed points (Fig. 1).

**Iterative threshold adaptation**

The threshold angle for connectivity is set to be low initially. After an initial region-growing pass, the user may wish to increase the connectivity threshold by increasing the maximum allowed angle between vertex normals. A new region-growing algorithm pass is then initiated. Between region-growing algorithm iterations, the user can undo the last region-growing pass and add additional seed points. This interactive process of automatic region-growing steps and possible user intervention can be repeated until all vertices are properly assigned to spinal segments.

```
1 segmentationByRegionGrowingFromSeedPoints (M, Q, ε);
   Input : mesh M, queue of seed point vertices Q and connectivity threshold angle ε
   Output: mesh M with updated segmentation mapping for its vertices.
   while Q ≠ ∅ do
      2   v ← get first vertex from Q
      3   for each vertex n ∈ set of neighbors of v in M do
      4      α ← ∇(normal of v, normal of n)
      5      if α < ε then
      6         segmentation mapping for n ← segmentation mapping for v
      7         add n to the end of Q
      8      end
      9   end
   10 end
```

*Algorithm 1 - Pseudocode of the surface seeding method. This algorithm is applied iteratively with increasingly larger values of ‘ε’. The normal of a vertex ‘v’ is its unweighted surface normal. The set of neighbors a vertex ‘v’ in the mesh ‘M’ are the vertices which share an edge with ‘v’.*

Fig. 1 Conceptual image of two steps of the iterative surface region-growing based on normals between vertices. a Before the region-growing, seed points (shown in green and red) for different bone segments are placed. b In the first iteration, vertices neighboring the seed points are assigned their respective regions if the angle between their normals is low enough. c In the second iteration, vertices bordering the newly assigned vertices can be added to the segments, and so on until there are no newly assigned vertices. Note that not all of the neighboring vertices are assigned to the two segments, because sharp edges are reached.
Surface segmentation application

The surface seeding segmentation algorithm described in this paper was implemented as a C++ application for the purpose of 3D VSP. The input of the seed point algorithm is the 3D bone surface mesh derived from CT image data. In the application, the mesh is obtained by global thresholding and triangulated by marching cubes, equivalent to the current standard in VSP. The user interaction of the segmentation algorithm takes place through a graphical user interface (GUI), which includes a 3D projection of the bone surface to be segmented as well as an options menu. Seed points can be placed on the projected bone surface by mouse clicks.

Evaluation

Clinical datasets

CT image datasets from five human patients who previously underwent spinal fixation surgery by use of individualized 3D-printed drill templates were obtained from the University Medical Center Groningen. The datasets are representative for future clinical cases in terms of uniform spine scan and reconstruction protocol (0.6 mm slice thickness, 0.4 mm slice increment, sharp reconstruction kernel I70h). The cohort data range in age from 12 to 75.

Ground truth construction

To build a ground truth for the validation of our algorithm, all cervical and upper thoracic human vertebrae (when available in the dataset) were manually segmented by threshold segmentation and the split mask feature in Mimics v20 (Materialise, Leuven, Belgium) by an experienced clinician (PP) and checked by the surgeon (JKu). In addition, the time required for manual segmentation was measured, from the moment of loading the dataset until the export of all models.

Seed point-based surface segmentation

All individual vertebrae for each patient were segmented and reconstructed to 3D models using the presented method (Fig. 2). To investigate whether a higher number of seed points improves accuracy, the algorithm was applied twice to each dataset, once with 5 seed points per vertebra and once with 12 seed points. The seed points were predefined near anatomical locations that are likely to touch adjacent vertebrae. These anatomical locations are also easy to find in the 3D space, making the study outcomes reproducible (Fig. 3). Two observers performed the segmentations independently to determine the inter-observer variability. For each case, the 3D bone surface was derived from CT image data using the previously chosen HU threshold. The initial connectivity threshold angle was set to 5 degrees, and this was increased with 5 degrees after each iteration of region-growing.

Evaluation metrics

The algorithm performance was evaluated on both the whole vertebra and the vertebral substructures. Using 3-matic Research v12 (Materialise, Leuven, Belgium) a surface-to-surface Euclidean distance could be calculated.

Fig. 2 The results of applying the algorithm to the first dataset, showing, a the initially set seed points on each level, b the colored parts during surface region-growing after 3 iterations, and c the final result after finishing the iterative process.

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from each surface point to the nearest point on the reference model (Fig. 4). The distance error was calculated from seed point model to ground truth and contrariwise (both-ways), in order to detect protrusions and missing parts. The list of analysis values was then exported to calculate relevant parameters that reflect the segmentation accuracy. The calculated parameters include the average symmetric surface distance (ASSD), the average asymmetric surface distance (AASD), and the root mean square of the surface distance, all according to the formulas provided by Heimann et al. [19] In addition, the maximum surface distance, the 95th percentile of error, and the percentage of surface with a distance error underneath the 0.2 mm were calculated.

By visual inspection, it was noticed that the performance of the algorithm varies at different vertebral segments. The vertebrae were therefore partitioned into anterior and posterior substructures by positioning a vertical cutting plane midway through the pedicles. Moreover, the laminae were

Fig. 3 The predefined locations for the 5 seed points (green) and 12 seed points (blue) scenarios displayed on an already preprocessed and segmented example human vertebra, showing the vertebra in posterior view (left) and the anterior view (right). In case of using 5 seed points (green) per vertebrae, the posterior seed points were located on the edge of the superior and inferior articulating process (red) bilaterally. Anteriorly, one seed point was positioned on the center of the vertebral body. In case of 12 seed points (blue), additional seed points were positioned at the anterior articular area, and 4 seed points were positioned on the vertebral body, instead of one seedpoint

Fig. 4 Example of surface-to-surface distance plot (case number 4, T2 vertebra, 12 seed points, observer 1). Here, the green represents a perfect segmentation, the red color a positive error, and the blue a negative error. The gray, yellow-outlined, areas indicate the holes in the surfaces. The color grading legend is displayed in millimeters. Note that the typical areas of error, like the articular processes, are colored in red.
separately analyzed after isolation using the wave brush marking tool.

All aforementioned parameters were calculated from the surface distance data using a custom-written Python script and presented as descriptive statistics. The inter-observer variability was supported by the calculation of the intraclass correlation coefficient (ICC). The proposed methods and manual segmentation times were compared by using a paired t-test. Data analysis was performed using IBM SPSS statistics version 23 (IBM corp., Armonk, NY, the USA).

Results

The results show a clear algorithm performance difference between the 5 and 12 seed point scenario (Table 1). The ASSD for using 5 seed points was 0.52 mm and decreased to 0.23 mm when using 12 seed points. The 95th percentile for 5 and 12 seed points was 3.07 mm and 0.69 mm, respectively. To put this in perspective, the width of a typical T1 vertebral body measures 26.5 mm [20]. The detailed segmentation accuracy results for the 12 seed point scenario are listed in Table 2, providing a distinction between cases, vertebral levels, and anatomical regions. Grouping per case showed that the ASSD ranges from 0.05 mm for Case 2 to 0.32 mm for Case 5, and the respective 95th percentiles were 0.09 mm and 1.47 mm. The ASSD for the different anatomical levels ranged from 0.11 mm in C1 vertebrae to 0.69 mm in C7 vertebrae. The cumulative error distribution plot provides insight in the distribution of error for each vertebral level and shows that for all levels except for the C7 vertebra, 90 percent of the surface demonstrates an error below 0.2 mm (Fig. 5). The results for the different anatomical regions show an ASSD of 0.25 mm for the anterior substructures and 0.49 mm for the posterior parts of the vertebrae. When limiting to the laminar region, the ASSD decreased to 0.03 mm. The respective 95th percentiles were found to be 0.99 mm (anterior), 0.71 mm (posterior), and 0.11 mm (lamina).
The performance of the algorithm between the defined anatomical regions is illustrated in the cumulative error distribution plot (Fig. 6). An inter-observer correlation (ICC) of 0.96 between the two raters was found.

The proposed method yielded consistently lower processing times compared to manual segmentation (Table 3). The manual method took 18.52 min per subject (all vertebrae) on average. When using the proposed seed point algorithm, segmentation times significantly reduced to 9.74 min per subject in case of the 12 seed point scenario ($P = 0.021$) and further reduced to 4.94 min in case of the 5 seed point scenario ($P = 0.016$).

### Discussion

The use of VSP in spinal surgery provides surgeons with a tool to precisely plan pedicle screw positions and use 3D-printed patient-specific guides to translate the plan to the operating theater. The current VSP workflow requires
Several novel volume segmentation approaches have been proposed in the literature, including statistical shape models, atlas-based methods, or more recently, machine learning-based methods [13–17]. The essence of fully automated segmentation methods is often based on time saving and the reduction of errors during the clinical interpretation of image data. Although the methods have the potential to be applied to a large number of datasets without manual user input, using the atlas- and statistical shape-based methods for VSP applications would currently be imprudent considering the reported average surface errors ranging from 0.64 mm up to 5.36 mm in an osteoporotic cohort [22]. On the other hand, the evolution of machine learning techniques, such as the recently presented fully convolutional network by Lessmann et al., leads to significant progress in the accuracy of automated vertebra segmentation [18]. To the best of our knowledge, such machine learning-based methods are, however, currently not implemented in commercially available software packages. Manual threshold-based segmentations are therefore still considered as standard method, also because they incorporate expert knowledge and can handle exceptional changes in anatomy resulting from severe spinal deformation pathologies.

2. In case of a mesh-based approach, as proposed in this study, segmentation is applied after obtaining a 3D surface model from the image data. In this context, we developed an algorithm that skips the laborious manual image post-processing and introduces a seed point-based surface segmentation method that is applied after surface triangulation. The GUI allows the user to interactively position seed points in 3D. An iterative region-growing process based on surface normals is then initiated which adds neighboring vertices to segments when the angle does not exceed the threshold angle. The GUI enables additional user interaction during the iterative thresholding, providing the ability to add seed points as required.

The results of this study have demonstrated highly accurate separation of individual vertebrae. The robustness was shown by applying the proposed method to a representative dataset including osteoporotic cases. Also, an excellent inter-observer correlation was found between both raters. The hypothesized relation between the number of seed points and segmentation accuracy was supported by an over 50% reduction of the ASSD in case of using 12 seed points per level compared to using 5 seed points. Based on the comparable distribution of error in Fig. 6, it could be concluded that the accuracy for anterior and posterior regions did not differ largely. The presupposed lower error in laminar region was confirmed by an ASSD of 0.03 mm, an explainable effect due to exclusion of surface areas that connect with surrounding regions and tend to introduce the highest errors. The laminar error is clinically negligible, as against to a reported accuracy up to 0.62 mm for global thresholding alone [23]. Upon evaluation of the specific anatomical regions, an ASSD of 0.69 mm was found for the C7 vertebra. The often inferior image quality at the cervicothoracic junction, mostly due to shoulder artifacts, probably is an important source of error. Moreover, the ribs at the cervicothoracic junction might have been falsely assigned to the C7 vertebra and introduce severe surface errors. CT image noise is another commonly mentioned source of error, however, since CT noise is present throughout the whole scan and the error in the lamina was almost negligible, we suspect

### Table 3

|                      | Proposed method (min) | Manual method (min) |
|----------------------|-----------------------|---------------------|
|                      | 5 seeds               | 12 seeds            |
| Case 1               | 9.08                  | 19.35               | 34.57               |
| Case 2               | 2.85                  | 4.80                | 9.43                |
| Case 3               | 4.28                  | 7.52                | 19.97               |
| Case 4               | 4.43                  | 10.30               | 12.58               |
| Case 5               | 4.05                  | 6.73                | 16.07               |
| Average              | 4.94                  | 9.74                | 18.52               |
| P value*             | 0.016                 | 0.021               |

*P value between the newly proposed method and the manual method.
this is the least important source of error. Though, it has to
be said that the noise-related error might also be present in
ground truth. Compared to the current manual segmentation
method, the algorithm proved to be significantly faster for
both the 5 seed point scenario and 12 seed point scenario.
The substantially higher segmentation time for the first case
can be explained by the presence of severe degeneration in
the facet joints. It has to be mentioned that the segmentation
by current standard software was performed by an experi-
enced rater, already passed the complete learning curve. This
was not possible for the proposed method, because it is yet
not part of clinical routine.

Despite the low average surface distances, it should be
noted a relatively high maximum surface distance exists
between the model created using mimics and the model cre-
ated using the novel surface seeding algorithm. The reason
for this lies in the fact that the extracted model contains an
inner cortical surface that is formed by the border between
the cortical bone and trabecular bone. The outliers in surface
distance are the results of region-growing artifacts which
arise when parts of the vertebra’s inner surface are added
to an adjacent vertebra, as shown in Fig. 7. Currently, seeds
cannot be placed on the inner surface, neither can they be
placed at the articular parts of the facet joint.

In the literature, not much attention has been paid to
surface segmentation techniques for clinical applications.
Therefore, it is difficult to compare the results of the current
study to earlier presented studies.Although the seed point
method yielded excellent results, it is semi-automatic, and
therefore different to most alternative approaches. Kim et al.
presented a fully automatic surface-based method using 3D
deformable fences [24]. The fences are generated based on
valley information in the CT volume data. The 3D fences
can be used for separating adjacent vertebrae from 3D sur-
face models, and it can therefore be considered a surface
segmentation method. Results were subjectively evaluated
by a classification system, and therefore not comparable to
our results. Lui et al. presented a surface-based method by
means of spectral clustering. It was proven to be success-
ful for small (50–4000 faces), preferably smooth, meshes
with segment boundaries in concave regions [25]. This
approach was not tested for clinical applications, but could
potentially be interesting for bone segmentation, because the
places where bone structures touch tend to form deep con-
cavities. A so-called ‘intelligent scissoring’ or ‘randomized
cuts algorithm’ was showed to be feasible for segmentation
hand bones and might be useful for surface-based vertebra
segmentation [26, 27]. However, for spinal application, the
use might be complicated because the vertebra themselves
also contain a large number of concavities. Moreover, sur-
faces extracted from high detail CT scans (up to 5,000,000
faces) may be challenging on conventional hardware.

The surface seeding segmentation program used in this
study is in a prototype stage. There are a number of addi-
tions to this prototype application that are currently under
development to further increase its clinical efficacy. Firstly,
the prototype application only has a 3D view of the extracted
bone surface and segments. A series of 2D overviews of the
original CT images (axial, coronal, and sagittal) with the
surface and bone outlined could provide valuable additional
feedback. Another missing feature in the prototype appli-
cation a hole-filling operation. Currently, the segments are

Fig. 7 An example of a region-growing artifact which can arise with
the surface seeding method. The meshes of the vertebrae contain
holes where their facet joints touch, which causes the inferior facet
joint surface (cyan) of the C5 vertebra (gray) to be wrongfully attrib-
uted to the C6 vertebra (beige). Note that the holes in the vertebrae
meshes are shown in red.
exported as they are generated by the algorithm, including any holes that appear at the scan borders and where facet joints touch. However, for the VSP, solid body meshes are required. Artifacts like the one shown in Fig. 7 can potentially also be automatically trimmed during automatic hole filling, insofar as they are enclosed by the adjacent segment. It is also the absence of this closing holes operation that hindered the evaluation of the proposed method using the commonly used Dice coefficient (DC). Since the DC requires volumes rather than surfaces, the DC was not calculated. The current study was therefore limited in scope to surface distance-based measurements.

In this preliminary proof of principle study conclusions are based on a rather small dataset, which may be inadequate to draw definitive conclusions in terms of robustness in daily clinical practice. Nevertheless, it demonstrates the feasibility and serves as the basis for further development toward a clinically embedded 3D VSP tool. Minor variations between cases and vertebrae were observed, but differences were minor and ASSD remained sub-millimeter. After implementation of additional features, which will be part of future work, the method should be evaluated on larger datasets to prove its robustness in clinical practice.

The results showed in this study have several surgical and VSP implications. It was found that the time required for individual vertebra segmentation can be considerably reduced when using the semi-automatic segmentation approach. Meanwhile, the segmentation accuracy remained high according to the percentage of surface with an error below 0.2 mm. This metric was set as clinically relevant cut-off value since patient-specific guides in our center are always designed with a 0.2 mm surface offset to cope with remaining soft tissue or small segmentation errors. Although artifacts were found, they do not impact the clinical efficacy of the surface seeding algorithm, because patient-specific drill guides are positioned on the outer surface. This is also reflected in the negligible error that was found when limiting the analysis to the laminar region. In this study, we chose to assess the use of 5 and 12 seed points for reasons of study simplicity and clarity and due to spinal anatomical features. In clinical practice, however, the number of seed points needed per vertebra is likely to vary between cases. The number of seed points should be judged in a clinical setting on a case-to-case basis, depending on bone quality, facet joint osteoarthritis, and degeneration of the intervertebral disks. In addition to this, the expert users have a substantial degree of control during the iterative process due to the ability to add extra seed points after each region-growing iteration.

In conclusion, we have described a semi-automated surface segmentation algorithm for individual vertebrae separation based on manually positioned seed points. The method relies on global threshold segmentation and introduces a seed point-based 3D mesh partitioning method for separating adjacent vertebrae. This proof-of-principle study demonstrated the accuracy of the proposed method on a clinical CT image dataset and the feasibility for spinal VSP applications.

**Author contributions** Peter Pijpker and Tim Oosterhuis contributed equally to this work. All the authors have contributed materially to the elements below: TO, MW, PVO, JKo contributed to development of the algorithm; PP, TO, JKu, RG, JKr were involved in study design; PP, TO, JKr contributed to gathering of data. PP, TO were involved in analyzing data; PP, TO, MW, CF, PVO, JKo, JKu, RG, JKr contributed to critical revision of initial draft.

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**Data availability** The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Code availability** The developed application and source code have been made publicly available for research purposes at https://github.com/TimOosterhuis/semi-automatic_CT_3D_surface_mesh_segmentation.

**Declarations**

**Conflict of interests** All authors certify that they have no affiliations with or involvement in any organization or entity with any financial interest or non-financial interest in the subject matter or materials discussed in this manuscript.

**Ethical approval** This study has been approved by the local medical ethical committee “Medisch Ethische Toetsingscommissie UMC Groningen” as part of the Development of a Spine Guides workflow under reference number M17.206624.

**Informed consent** The study was performed in accordance with the World Medical Association’s Declaration of Helsinki on medical research. Patients provided written informed consent for the use of image data in the development and validation of the software.

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