Cholesteryl Ester Transfer Protein (CETP) Polymorphisms Affect mRNA Splicing, HDL Levels, and Sex-Dependent Cardiovascular Risk

Audrey C. Papp1*, Julia K. Pinsonneault1, Danxin Wang1, Leslie C. Newman1, Yan Gong2, Julie A. Johnson2, Carl J. Pepine3, Meena Kumari4, Aroon D. Hingorani4, Philippa J. Talmud5, Sonia Shah4, Steve E. Humphries5,6, Wolfgang Sadee1

1 Program in Pharmacogenomics, Department of Pharmacology, College of Medicine, The Ohio State University, Columbus, Ohio, United States of America, 2 Department of Pharmacy Practice, College of Pharmacy, University of Florida, Gainesville, Florida, United States of America, 3 College of Medicine, Division of Cardiovascular Medicine, University of Florida, Gainesville Florida, United States of America, 4 Genetic Epidemiology Group, Department of Epidemiology and Public Health, University College London, London, United Kingdom, 5 Centre for Cardiovascular Genetics, BHF Laboratories, Institute Cardiovascular Science, University College London, London, United Kingdom, 6 UCL Genetics Institute, University College London, London, United Kingdom

Abstract

Polymorphisms in and around the Cholesteryl Ester Transfer Protein (CETP) gene have been associated with HDL levels, risk for coronary artery disease (CAD), and response to therapy. The mechanism of action of these polymorphisms has yet to be defined. We used mRNA allelic expression and splice isoform measurements in human liver tissues to identify the genetic variants affecting CETP levels. Allelic CETP mRNA expression ratios in 56 human livers were strongly associated with several variants 2.5–7 kb upstream of the transcription start site (e.g., rs247616 p = 6.4×10⁻⁶, allele frequency 33%). In addition, a common alternatively spliced CETP isoform lacking exon 9 (Δ9), has been shown to prevent CETP secretion in a dominant-negative manner. The Δ9 expression ranged from 10 to 48% of total CETP mRNA in 94 livers. Increased formation of this isoform was exclusively associated with an exon 9 polymorphism rs5883-C>T (p = 6.8×10⁻¹⁰) and intron 8 polymorphism rs9930761-T>C (5.6×10⁻⁵) (in high linkage disequilibrium with allele frequencies 6–7%). rs9930761 changes a key splicing branch point nucleotide in intron 8, while rs5883 alters an exonic splicing enhancer sequence in exon 9. The effect of these polymorphisms was evaluated in two clinical studies. In the Whitehall II study of 4745 subjects, both rs247616 and rs5883T/rs9930761C were independently associated with increased HDL-C levels in males with similar effect size (rs247616 p = 9.6×10⁻²⁸ and rs5883 p = 8.6×10⁻¹⁰, adjusted for rs247616). In an independent multiethnic US cohort of hypertensive subjects with CAD (INVEST-GENE), rs5883T/rs9930761C alone were significantly associated with increased incidence of MI, stroke, and all-cause mortality in males (rs5883: OR 2.36 (CI 1.29–4.30), p = 0.005, n = 866). These variants did not reach significance in females in either study. Similar to earlier results linking low CETP activity with poor outcomes in males, our results suggest genetic, sex-dependent CETP splicing effects on cardiovascular risk by a mechanism independent of circulating HDL-C levels.

Citation: Papp AC, Pinsonneault JK, Wang D, Newman LC, Gong Y, et al. (2012) Cholesteryl Ester Transfer Protein (CETP) Polymorphisms Affect mRNA Splicing, HDL Levels, and Sex-Dependent Cardiovascular Risk. PLoS ONE 7(3): e31930. doi:10.1371/journal.pone.0031930

Editor: Welli Zhang, FuWai Hospital - Chinese Academy of Medical Sciences, China

Received August 26, 2011; Accepted January 19, 2012; Published March 5, 2012

Copyright: © 2012 Papp et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Funding: This study was in part supported by National Institutes of Health (NIH) grants from the General Medical Sciences GM61390, U01 GM092655 to WS. The work on Whitehall II was supported by the British Heart Foundation (BHF) PG/07/133/24260, RG/08/008, SP/07/007/23671 and a Senior Fellowship to ADH (FS/2005/125). SEH is a BHF Chairholder. The WH-II study has been supported by grants from the Medical Research Council; British Heart Foundation; Health and Safety Executive; Department of Health; National Institute on Aging (AG13196); US, NIH; Agency for Health Care Policy Research (HS06516); and the John D. and Catherine T. MacArthur Foundation Research Networks on Successful Midlife Development and Socio-economic Status and Health. PJT and SEH are funded by the British Heart Foundation (RG008/08). ADH is a British Heart Foundation Senior Fellow (FS/2005/125). INVEST-GENE was funded by NIH grants HL074730, HL69758, HL077113, GM074492 and RR017568. CP and JAJ received a grant from Abbott Pharmaceuticals and the Florida Opportunity Fund. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have read the journal’s policy and have the following conflicts: CP and JAJ have received funding from Abbott Pharmaceuticals. This does not alter the authors’ adherence to all PLoS ONE policies on sharing data and materials.

Introduction

CETP shuttles cholesterol esters from high-density lipoprotein particles (HDL) to low density lipoproteins (LDL). High CETP activity lowers the HDL/total cholesterol ratio, potentially increasing risk for coronary artery disease (CAD). Therefore, inhibition of CETP offers a new approach to CAD therapy [1,2]. However, the CETP inhibitor torcetrapib was found to increase cardiovascular events, even though HDL increased and LDL decreased substantially [3]. As LDL supports reverse cholesterol transport to the liver, patients with rare genetic defects in CETP present with numerous lipid abnormalities [4]. Recent results further question the validity of the CETP-HDL-CAD relationship under all conditions, showing that low CETP levels can associate with increased CAD risk [3], possibly because of functions other than cholesterol transport. CETP is highly polymorphic. Clinical studies have demonstrated a robust association of the Taq1B allele in intron 1 (rs708272C>T;
TaqIBB, minor allele frequency (MAF) 0.44) with low CETP activity, decreased total cholesterol, and increased HDL cholesterol (HDL-C) [6–12]. In addition, TaqIBB was correlated with poor response to pravastatin in male but not female CAD patients [13–15]. While this finding has not been replicated in other studies [16–19], CETP polymorphisms appear to affect cardiovascular risk and therapy in a sex-dependent manner, reflecting different lipid metabolism in males and females [13–15]. However, TaqIB appears to serve merely as a surrogate marker for promoter/enhancer polymorphisms [19–25], and the responsible regulatory polymorphisms remain uncertain. Non-synonymous polymorphisms, such as rs5882A>G (I405V), have also been suggested to affect CETP function, but definitive data are lacking.

Alternative splicing also affects CETP activity. An in-frame deletion of exon 9 (Δ9) generates a shorter Δ9 protein, which dimerizes with the full-length form preventing its efflux from the liver [19,26], possibly acting in a dominant negative manner. While production of the Δ9 splice variant is influenced by diet [27,28], genetic factors have yet to be determined. To search for regulatory variants affecting CETP mRNA expression and test for the presence of genetic effects on splicing, we measured allelic mRNA expression and splicing in human livers, identifying candidate promoter/enhancer SNPs located 2.5–7 kb upstream, and discovering two SNPs in near complete linkage disequilibrium (LD) tightly associated with Δ9 CETP splicing. We then asked whether these polymorphisms affect HDL-C and risk for myocardial infarction.

**Results**

**Total CETP mRNA levels in human livers and CETP genotype**

PCR cycle thresholds (CTs; mean 27.9±1.1 SD) varied considerably for CETP mRNA between tissues. To scan the CETP locus for polymorphisms associated with mRNA expression, we genotyped multiple SNPs spanning ~37 kb (Figure S1, Table S1). None of these SNPs yielded a robust association with overall mRNA levels, consistent with earlier results [29].

**Allelic CETP mRNA ratios in liver**

Using the SNaPshot™ primer extension assay, allelic mRNA ratios were measurable in 56 livers with rs5882 as the marker SNP. Significant allelic expression imbalance (AEI) was detectable in 29 of 56 livers tested (AEI ratios log2>0.4, or >30% below or above the mean gDNA ratio). The allelic mRNA ratios were distributed above and below the mean DNA ratio, indicating the presence of one or more cis-acting regulatory polymorphisms present in low LD with the marker SNP rs5882. Scanning the CETP locus with 13 SNPs genotyped in livers (Table S2), we determined SNP associations with presence of absence of AEI, or AEI ratios as continuous variable (absolute log ratios only). An LD structure map showing r2 and D’ values between all 13 SNPs is presented in Figure S5. Shown in Figure 1A, three SNPs located 2.6–7 kb upstream scored significantly (rs173539, rs247616, and rs3764261), with rs247616 having the strongest association (p = 6.4×10−5), indicating that transcription is under genetic control by these variants or others in high LD across the large 5′-haplotype block. Previously proposed promoter SNPs did not score significantly (Figure 1A). The allelic mRNA ratios differed strongly between genotypes of rs247616 (Figure 1B).

**Association of CETP exon 9 rs5883I>C and intron 8 SNP rs9930761T>C with the Δ9 CETP mRNA splice variant in liver**

Measured with fluorescently labeled PCR primers, the Δ9 splice variant accounted for 10% to 48% of total CETP mRNA in 94 livers analyzed. An initial CETP SNP scan first revealed an association of I405V (rs5882) and G84A in the 3′ UTR (rs1801706) with increased Δ9 splice variant, suggesting the presence of a

![Figure 1. Association of CETP SNPs with Allelic Expression Ratios. Panel A. Association of each SNP with RNA absolute allelic ratios, as measured using rs5882 as an indicator. * Since rs5882 is used as the indicator in the assay, the p value is not applicable. The allelic mRNA ratios were normalized to the overall mean allelic gDNA ratios (there was no indication of a gene dosage effect requiring normalization to the gDNA for each individual). The data are mean ± S.D. (n = 3–6). Panel B. Log2 AEI absolute values in rs247616 genotypes. There would not be a detectable difference in allelic expression of homozygous samples if the SNP is functional. doi:10.1371/journal.pone.0031930.g001](https://www.plosone.org/doi/10.1371/journal.pone.0031930)
splicing polymorphism. Sequencing a 3128 bp CETP genomic DNA region containing exon 8 through exon 10 in 6 liver tissues with low and high Δ9 splice variant expression yielded only two SNPs, in intron 8 (rs99300761 T>G) and exon 9 (rs5883), present in all three tissues with high, and absent in those with low Δ9 expression. In 94 livers, rs5883 and rs9930761 (in complete LD (D’ = 1); MAF 5.9% and 6.9%, respectively) were the only SNPs strongly associated with the Δ9 splice variant (p = 3.5E-20 and p = 1.7E-17, respectively) (Figure 2A). Levels of the Δ9 splice variant were markedly higher in rs5883T homozygous carriers (mean 39%, range 25–48% of total CETP mRNA) and in rs9930761G carriers (mean 36%, range 15–48%; compared to non-carriers (mean 20%, range 10–31%) (Figure 2B). There were no samples homozygous for the minor allele. Two subjects were heterozygous for rs9930761 but not rs5883. Both livers had a relatively low content of the Δ9 splice variant (18%), accounting for the lower p value of rs9930761 than of rs5883.

Both rs9930761 and the synonymous SNP rs5883 have potential impact on the splicing process. The exonic rs5883 minor allele disrupts an exonic splicing enhancer site for SC35 (GTCTTCCCA>GTCCTTCCA) (ESE finder site [http://rulai.cshl.edu/cgi-bin/tools/ESE3/esefinder.cgi?process = home]) (Figure 3). In addition, the rs5883 SNP alters predicted mRNA folding throughout exon 9, as calculated with Mfold (Figure S2). The minor C allele of rs9930761 disrupts a predicted splicing branch point (Figure 3).

CETP haplotypes

While pair-wise LD analysis confirms the presence of two main 5’ and 3’ haplotype blocks, using Helix Tree genetic analysis software package (Golden Helix, Inc., Bozeman, MT) [29] a 6-SNP haplotype analysis [rs173539, rs708272 (Tag1B), rs9930761 (or rs5883), rs5882 (I405V), and rs1801706 (G84A)] reveals the presence of only a few long-range haplotypes (Table S3). The minor C/T alleles of rs9930761/rs5883 are nearly exclusively embedded in a haplotype consisting of the wild-type alleles of Tag1B (intron 1) and rs173539 (upstream enhancer region), and the minor alleles of I405V (G) and G84A (A) accounting for weak associations of I405V and G84A with splicing (Figure 2A). Since the wild-type alleles of Tag1B and rs173539 (in high LD with rs247616) are associated with higher CETP levels and reduced HDL-C, the effects of rs5883/rs9930761 on HDL-C must be considered conditional on the upstream promoter SNPs.

Association of rs5883/rs9930761 with HDL-C levels in Whitehall II

Of 95 CETP SNPs genotyped in 4,745 subjects, many SNPs were strongly associated with HDL-C, largely owing to high LD among them (e.g., rs247616 p = 7.18E-25), while, rs5883 and rs9930761 had lower significance (p = 6.09x10E-6, and p = 0.0012, respectively) (Table S4 A). The better score for rs5883 (Minor Allele Frequency 5.5%) versus rs9930761 (MAF 6.7%) in this cohort supports a critical role for rs5883 while a contribution from rs9930761 cannot be excluded. The lower overall significance for rs5883 and rs9930761 is partially accounted for by low allele frequency compared to enhancer region SNP rs247616 (33.6%). We then estimated associations of rs5883/rs9930761 with HDL-C by adjusting for rs247616, grouped by sex (females have higher HDL-C levels than males) (Table 1). The p values for both rs5883 and rs9930761 in males, when made contingent upon rs247616, decreased to p = 8.6E-10 and 3.8E-07, respectively (Table 1). Each minor allele of either rs5883/rs9930761 or rs247616 was independently associated with a substantial increase in HDL-C (~0.1 mmol/L/minor allele) (Table S4 B), showing significant interactions between them (p = 0.00033; Table S4 C).

Effect of CETP rs5883/rs9930761 on risk of MI and other primary events in INVEST-GENES

This nested case–control study specifically tested the main hypothesis whether rs5883/rs9930761 affects risk of primary outcome events (cases: MI, stroke or all-cause mortality) in

Figure 2. Association of CETP SNPs with the Δsplice variant. Panel A: Association p values assessing relationship between CETP SNPs and Δ9 splice variant formation in human livers (n = 94). Only rs9930761 and rs5883 in exon 8–10 region can account for increased formation of the Δ9 splice variant, rs247616 (intron 9), rs5882 (I405V), and rs1801706 (G84A) show varying degrees of LD with rs9930761, accounting for the observed association p values. Details for the SNPs in this study are provided in Table S3. Panel B: Percent Δ9 splice variant of total CETP mRNA as a function of rs5883 CT and rs9930761 TC. Homozygous minor allele carriers for rs5883/rs9930761 were not observed. All livers were heterozygous for both rs9930761 and rs5883, except for two livers heterozygous only for rs9930761, indicating that rs5883 is necessary for enhanced splicing. Using ANOVA with Dunnett’s post-test, p values for both homozygous vs. rs9930761 and vs. rs5883 are P<0.01. doi:10.1371/journal.pone.0031930.g002
INVEST-GENES patients, in comparison to other regulatory variants that also increase HDL-C. With stratification by genotype, sex and race, significant associations were observed only in the Caucasian group (866 subjects, Table S5 A; other groups were too small). White male subjects, but not females, carrying the minor rs5883T and rs9930761C alleles (MAF 6.0% and 7.3%, respectively; \( D^2 = 1, r^2 = 0.88 \)), had significantly increased risk of progression to first event (males \( p = 0.0018–0.0019 \), respectively, females \( p = 0.73–0.90 \)) (Table 2, Table S5 A). The odds ratios for rs5883 and rs9930761 male carriers were 2.36 and 2.24, respectively (95% CI 1.29–4.30 and 1.28–3.91; \( p = 0.0051 \) and 0.008). Risk for white males without statin therapy was also substantial (OR 2.0; \( p = 0.034 \)), but risk in the smaller statin-treated male group did not reach significance (rs9930761 carriers (OR 2.8; \( p = 0.089 \)). Therefore, rs5883/rs9930761 appeared to be a general risk factor for male subjects, but larger cohorts are needed to assess the interaction with statin therapy.

The associations of additional CETP SNPs with outcomes are shown in Table S5 A, separated by males and females. Sex-dependent unadjusted \( p \) values of \( p < 0.05 \) were observed for several SNPs, e.g., enhancer region rs12708967 (males \( p = 0.012 \) and females \( p = 0.77 \)). The same SNPs also showed highly significant associations HDL levels (rs12708967 \( p = 1.8 \times 10^{-19} \)) (Table S5 B). However, some enhancer/promoter region SNPs scored only nominally significant in males and others in females (e.g., rs6499861), with \( p \) values that do not survive multiple hypotheses adjustments (necessary here because we have yet to identify the exact SNPs associated with transcription). Moreover, enhancer region rs247616 (strongly associated with AEI and HDL-C) failed to show significant association in INVEST-GENES (\( p = 0.592 \) in males and \( p = 0.067 \) in females). These results suggest that the promoter/enhancer SNPs did not show a detectable effect on outcomes in INVEST-GENES, in contrast to strong effects on HDL-C.

### Table 1. Association analysis of HDL-C levels with rs9930761T>C and rs5883C>T, with and without adjusting for upstream SNP rs247616C>T, in the Whitehall II Study.

| Male subjects | SNP | position | Subject number | \( \beta \) (0.0065) | \( P \) (0.012) | conditional on |
|---------------|-----|----------|----------------|----------------|----------------|----------------|
| rs247616      | -6152 C>T | 3332 | 0.072 | | 9.6E-28 | - |
| rs9930761     | Intron 8 T>C | 3493 | 0.033 | | 0.0078 | - |
| rs9930761     | Intron 8 T>C | 3329 | 0.065 | 0.013 | 3.8E-07 | rs247616 |
| rs5883        | Exon 9 C>T | 3329 | 0.052 | 0.013 | 8.6E-05 | |
| rs5883        | Exon 9 C>T | 3329 | 0.084 | 0.014 | 8.6E-10 | rs247616 |

| Female subjects | SNP | position | Subject number | \( \beta \) (0.001) | \( P \) (0.019) | conditional on |
|-----------------|-----|----------|----------------|----------------|----------------|----------------|
| rs247616        | -6152 C>T | 1181 | 0.067 | 0.011 | 9.2E-10 | - |
| rs9930761       | Intron 8 T>C | 1247 | 0.024 | 0.019 | 0.20 | - |
| rs9930761       | Intron 8 T>C | 1179 | 0.045 | 0.019 | 0.016 | rs247616 |
| rs5883        | Exon 9 C>T | 1181 | 0.047 | 0.021 | 0.024 | |
| rs5883        | Exon 9 C>T | 1181 | 0.069 | 0.021 | 0.001 | rs247616 |

Minor allele frequency (MAF) was 6.7% for rs9930761, 5.5% for rs5883, and 33.6% for rs247616. Linkage disequilibrium (LD) for rs247616−rs9930761 is \( R^2 = 0.035 \) and \( D^2 = 0.962 \) (haplotype frequencies are versus expected under linkage equilibrium) TC 0.001 (0.023), CC 0.067 (0.044), TT 0.337 (0.315), and CT 0.595 (0.618). Note that the minor alleles rarely occur together (TC 0.001), indicating a strong negative LD between rs9930761/rs5883 and rs247616, requiring HDL-C versus rs9930761/rs5883 analysis conditional on rs247616. For all SNPs see Supporting Information Table S4.

doi:10.1371/journal.pone.0031930.t001

---

**Figure 3. Schematics of the genomic CETP region spanning exons 8–10.** The exonic enhancer site (ESE) in exon 9 is disrupted by rs5883. Splice site sequences and the predicted splice branch point with rs9930761 are also depicted.

doi:10.1371/journal.pone.0031930.g003
The rs5883T allele disrupts an ESE enhancer consensus site and is predicted to alter RNA folding of the entire exon 9 (Figure S2). The rs9930761C allele, located 40 bp’s upstream of exon 9, modulates a splicing branch point consensus sequence (C>T>CRAV) required in mammalian splicing (Figure 3) [31]. With the intron 8 wild-type sequence CTGAG already predicted to be a weak branch point, low level of exon 9 skipping does occur in livers. Moreover, transfection of a minigene construct resulted in predominant exon 9 skipping (80–90%; data not shown), supporting the view that the splice branch point is already compromised in the wild-type sequence. As none of the livers were homozygous for the minor splicing allele, the maximum measured level of 48% Δ9 formation in heterozygotes represents a high degree of exon 9 skipping of the variant rs5883/rs9930761 alleles. No other CETP SNPs account for the observed genetic effect on splicing.

The biological effect of exon 9 deletion could be amplified by dominant-negative interactions through heterodimer formation of the Δ9 splice variant with full-length CETP, preventing cellular exit of mature CETP protein [19,26–28]. Splicing in tissues other than the liver remains to be studied.

**Association of promoter/enhancer SNPs and rs5883/rs9930761 with HDL-C levels**

A previous analysis of the Whitehall II study [25] showed that SNPs in CETP were predominantly associated with HDL-C and apoAI but less so or not at all with LDL-C, apoB, or TG. Strong HDL-C associations were observed with a series of promoter/enhancer SNPs present at high frequency (>30%) (e.g., for rs247616 p = 6.14E-25), consistent with previous results [19–25], whereas the association was relatively weaker for rs9930761 and rs5883 (Table S4 A), seemingly indicating less clinical relevance. However, haplotype estimates revealed that rs5883T/rs9930761C predominantly share a haplotype consisting of the main wild-type alleles (associated with high HDL-C levels) of all high scoring SNPs in the promoter/enhancer region (Table S3). Adjusting for enhancer SNP rs247616, the HDL-C association strengthened for both rs9930761 and rs5883 (p = 8.6E-10 in males) (Table 1). rs5883 consistently scored with greater significance than rs9930761, the latter with ~1% greater allele frequency, supporting the notion that rs5883 is necessary for exon 9 skipping, while rs9930761 is insufficient but may also be required. A strong interaction was observed for effects on HDL-C between rs247616 and the splicing SNPs (interaction model p = 0.00033), consistent

**Table 2. Associations between CETP rs9930761 and rs5883 minor variant carriers versus homozygous wild-type carriers, sex, race, and primary event (myocardial infarction, stroke or death) in INVEST.**

| group     | rs9930761 Genotype | #   | Odds ratio | 95% CI | p value | SNP*statin interaction p value |
|-----------|--------------------|-----|------------|-------|---------|-------------------------------|
| White female | TT                | 347 | (ref)      |       |         |                               |
|            | TC/CC             | 55  | 1.03       | 0.50–2.14 | 0.93 | 0.36 |
| White male | TT                | 395 | (ref)      |       |         |                               |
|            | TC/CC             | 69  | 2.16       | 1.22–3.82 | 0.008 | 0.9  |

| group     | rs5883 Genotype   | #   | Odds ratio | 95% CI | p value | SNP*statin interaction p value |
|-----------|-------------------|-----|------------|-------|---------|-------------------------------|
| White female | CC                | 360 | (ref)      |       |         |                               |
|            | CT/TT             | 43  | 1.02       | 0.45–2.35 | 0.96 | 0.23 |
| White male | CC                | 405 | (ref)      |       |         |                               |
|            | CT/TT             | 59  | 2.36       | 1.29–4.30 | 0.0051 | 0.64 |

Odds ratios represent occurrence of primary event for rs9930761/rs5883 carriers versus homozygous wild-type carriers (for all SNPs see Supporting Information Table S5).

**Discussion**

This study identifies two CETP SNPs strongly associated with splicing to a Δ9 CETP protein thought to act in a dominant-negative fashion. Both rs5883 and rs9930761 show significant associations with HDL, and clinical outcomes in cardiovascular risk patients. Previously described CETP polymorphisms in a 5’ haplotype block affecting transcription also score highly with respect to HDL levels but failed to carry significant associations with clinical outcomes. Allelic CETP mRNA ratio analysis in human livers identified a region 2.5–7 kb 5’ upstream of the transcription start site, with at least three abundant SNPs, including rs247616, that are strong candidates as regulatory factors.

**Identification of promoter/enhancer SNPs affecting CETP mRNA expression**

Using allelic CETP mRNA ratios measured in human livers, we have identified at least three upstream promoter/enhancer SNPs (rs173539, rs247616, and rs3764261) strongly associated with expression. Several of the SNPs tested here and previously proposed to have regulatory impact on transcription did not score significantly. This approach based on a highly reproducible proximate phenotype (allelic mRNA ratios) and SNP-scanning a gene locus has proven powerful for detecting regulatory variants [30]. Further extensive molecular studies are needed to determine which regulatory variant(s) modulate CETP expression, with multiple candidates contained in the 5’ haplotype block.

rs5883/rs9930761 disrupts CETP mRNA splicing to yield the Δ9 splice variant

Formation of the Δ9 splice variant in human livers was nearly complete even in African populations, residing predominantly in a single haplotype stretching over at least 20 kb, suggesting this represents an evolutionarily conserved haplotype.

rs5883/rs9930761

Table 2. Associations between CETP rs9930761 and rs5883 minor variant carriers versus homozygous wild-type carriers, sex, race, and primary event (myocardial infarction, stroke or death) in INVEST.
with their location on different haplotypes and mechanistically distinct effects. Considering the combined effects of rs247616 and rs5883 reveals that each minor allele appears to incrementally increase the HDL levels (Table S4 B). In particular, carriers heterozygous for both SNPs (n = 142) have substantially higher HDL (1.67 \(+/−\) 0.48 mmole/L) compared to carriers of a minor allele in only one SNP (1.43 and 1.45 mmole/L) and carriers of only the main alleles (1.35 \(+/−\) 0.37 mmole/L) (Table S4 B). Heterozygous carriers of the minor alleles of rs247616 (n = 516) were at 1.55 \(+/−\) 0.43 mmole/L. The groups with other allele combinations had much fewer subjects because of the negative LD between the two SNPs, and therefore could not be evaluated.

Reported rs9930761 and rs5883 allele frequencies differ between ethnic groups, while maintaining high LD and \( r^2 \), ranging from 0% in Asians, and 7.5% in Caucasians to 12.5% in Yorubans (Table S6 for rs9930761). In a Yoruban population, rs9930761 allele frequency was reported to be 4% in subjects with coronary artery disease. Even though present at relatively low allele frequency, rs9930761 are predictive of increased primary events (MI, stroke or death) in male at-risk patients. The results reported here could not be evaluated.

CETP genotype effect on progression to event in the INVEST-Gene study

While the genotyping array contains 95 CETP SNPs, the present study on patients with pre-existing coronary artery disease and high blood pressure focuses on a single hypothesis, namely, whether the newly discovered splicing SNPs have clinical relevance. Even though present at relatively low allele frequency, rs5883/rs9930761C were significantly associated with risk for an event (either MI, stroke, or death), in males (rs5883 in Caucasians, OR 2.36; 95% CI 1.29–4.3, \( p = 0.0051 \) (Table 2 and Table S5 A; other groups were too small to assess ethnic differences). As no significant association was observed in females, we propose that this effect is sex-dependent, as previously suggested for the influence of CETP variants on outcomes [13–15]. In contrast to the interactions between the promoter/enhancer SNPs observed with HDL-C, there was no discernible interaction with respect to outcomes, consistent with the notion that the promoter enhancer variants have no effect, or the effect is too small to be observed in this cohort. Given the relatively low allele frequency of the splicing variants and the high odds ratios, the influence of the splicing SNPs on outcomes appears to be substantial.

Elevated HDL-C levels associated with rs5883/rs9930761C would normally be considered protective. However, this subgroup of male patients may suffer MI’s with primary causes other than aberrant lipid metabolisms. Also, CETP may have distinct biological effects not reflected in overall HDL and LDL levels, including anti-inflammatory properties [32] that could have been compromised by exon 9 deletion.

In conclusion, the clinical outcome studies suggest that rs5883/rs9930761C are predictive of increased primary events (MI, stroke or death) in male at-risk patients. The results reported here support CETP variants as a potential disease markers and predictor of statin therapy outcome, and in evaluating CETP inhibitor drugs, such as torcetrapib [3], in the treatment of coronary artery disease.

Limitations of the study

While the liver results strongly implicate rs5883/rs9930761C as a causative factor in CETP mRNA splicing, further molecular studies are needed to resolve the mechanism and regulation of splicing, and to identify the regulatory variant(s) affecting transcription. Also, our results leave open whether rs5883/rs9930761C are risk factors independent of statin use or affect MI risk under statin therapy in males, or both. Lastly, the important conclusion that male CAD patients with specific CETP genotypes may be at elevated risk of MI incidence or other outcomes has been drawn from a patient registry not specifically designed for this study, requiring independent replication.

Materials and Methods

Study samples

Human liver tissues. Frozen human liver samples (125 normal liver biopsy and autopsy samples) were obtained from The Cooperative Human Tissue Network, Midwestern and Western Divisions, which is funded by the National Cancer Institute. Other investigators may have received specimens from the same subjects. CHTN specimens are derived from material that is removed as part of routine medical care or autopsy specimens collected in accordance with operative state and local law. Every CHTN institution has obtained human subjects assurance from the Office of Human Research Protections, DHHS. The Assurance document provides agreement that the institution will comply with federal human subjects regulations. Each Division of the CHTN is approved by its local IRB to collect and distribute biospecimens. Collection to processing intervals were <24 hours.

Whitehall II study

Between 1985 and 1988, all civil servants aged between 35 and 55 years in 20 departments in London were invited to a medical examination at their workplace [33]. Follow-up visits took place every two years. In the present analysis, CETP association with HDL was limited to white subjects (n = 4745) [25]. The WHII study was approved by the UCL Research Ethics Committee, and participants gave written informed consent to each aspect of the study. Ethics approval was obtained at all hospitals or institutions where participants were recruited.

INVEST-GENES

The INternational VErapamil SR Trandolapil Study (INVEST) [34] evaluated adverse cardiovascular outcomes following randomized treatment with either an atenolol- or a verapamil-based treatment strategy in 22,576 patients aged 50 years or older, with documented CAD and essential hypertension as defined by JNC VI [34]. Primary outcomes were first occurrence of all-cause mortality, nonfatal myocardial infarction (MI), or nonfatal stroke. From 5,979 INVEST patients from 213 sites in the USA and Puerto Rico providing DNA samples, a nested case-control study was designed with 292 INVEST-GENES patients experiencing primary outcome events during follow-up (cases) and 1168 individuals who did not, frequency-matched to cases for age (by decades), sex, and race/ethnicity in a ratio of approximately 4:1 (controls/cases), an approach shown to yield equivalent results to analyses of the entire cohort [35]. All patients provided written informed consent for participation in the main INVEST and in the genetic substudy and both studies were approved by the University of Florida Institutional Review Board.

RNA and DNA preparation from liver tissues

RNA was extracted from 125 biopsy or autopsy liver tissues. Frozen tissue samples were pulverized under liquid nitrogen. RNA was extracted using TRIZOL™, followed by DNase treatment and Qiagen RNeasy column purification. cDNA was generated from 1 \( \mu \)g purified mRNA using the Superscript II kit [Invitrogen,
Cloned fragments of full length supporting accuracy of the results. Allelic mRNA ratios compared to SNaPshot $R = 0.89$, (Figure S4), also employed allele-selective qRT-PCR, which yielded similar specific qRT-PCR [36].

Liver DNA was prepared by digestion of pulverized frozen liver tissue in Tris EDTA buffer containing proteinase K and SDS, followed by NaCl salting-out of proteins and ethanol precipitation [30]. Independent analysis using quantitative RT-PCR with GeneMapper version 3.1 (Life Technologies, Foster City, CA) was performed using the Helix Tree genetic analysis software. Splicing was analyzed using a both Genotype and Basic Allele Tests. Allelic mRNA ratios were analyzed with genotype tests. F-Test $p$ values are reported. Pair-wise linkage disequilibrium (LD) was determined for each combination of liver SNPs, also using Helix Tree software See Figure S5 for L.D. plot. Haplotypes were predicted with the Helix Tree estimation-maximization algorithm.

**Association between CETP SNPs and HDL-C in the Whitehall II study**

Two (rs173539 and rs3816117) out of 13 SNPs investigated in vitro were not present on the Illumina IBC Candidate Gene array, version 2. These two, and additional CETP SNPs, were imputed from the HapMap3 and 1000 Genomes Project CEU datasets using the IMPUTE2 software [http://mathgen.stats.ox.ac.uk/impute/impute_v2.html]. CETP/SNP association analysis with log-transformed HDL was carried out using PLINK [http://pngu.mgh.harvard.edu/purcell/plink/] [38], assuming an additive model. The additive model was used in order to maximize the prediction quality of the dependent variable from various distributions. For the additive effects of SNPs, the direction of the regression coefficient represents the effect of each extra minor allele. Analysis was performed in men and women separately with no adjustment for any covariates. A further analysis was carried out conditional on the enhancer region SNP rs247616, which itself was strongly associated with HDL levels. The Whitehall II analyses were not adjusted for use of lipid-lowering drugs because the prevalence was generally low; of 5059 total individuals from WH-II, 39 (0.8%) were taking lipid-lowering medication at the time of lipid measurement [25].

**INVEST-GENES**

Baseline characteristics were compared using chi-squared test or analysis of variance. To minimize population stratification in the diverse population of INVEST, all analyses were conducted separately by race/ethnicity. For the INVEST-GENES case-control samples, adjusted odds ratios (ORs) and 95% confidence intervals (CIs) for occurrence of the primary outcome were calculated using logistic regression. Assuming alpha level of 0.05, at minor allele frequency of 6%, we have >90% power to detect a SNP main effect with OR of 2 or greater. However, in order to have >80% power to detect SNP*treatment interaction OR of 2 or greater, 4 times as many patients would be needed.
Figure S2  Mfold RNA folding predictions of CETP exon 9. The rs5883 T variant influences internal base pairing of the exon. This causes changes in nucleotide access at both 5’ and 3’ ends of the exon. (TIFF)

Figure S3  Allelic expression and splice assay standard curves. Plasmid DNA containing either the A or G allele of H405V, or the normal (long splice) or Δ9 (short splice) isoform of CETP was diluted over 3 orders of magnitude. Allele specific or splice specific primers were used to amplify and quantitate the samples via Real-Time PCR in SYBR Green Master Mix (Applied Biosystems). Each point represents the average of 3 standard curves. (TIFF)

Figure S4  Correlation between Real-Time and fluorescent assay results. Correlation between allelic specific Real Time PCR (RT) assays and SNaPshot Primer Extension (PE) assays (panel A), or splice specific Real-Time PCR (RT) assays and fluorescent splice specific primer assays (panel B) performed on the ABI 3730. Nine samples were analyzed in duplicate. (TIFF)

Figure S5  Linkage Structure of Genotyped CETP SNPs in Liver. Thirteen SNP’s were genotyped in 94 livers. Pairwise LD correlation R² is shown on the horizontal axis. Pairwise D’ is shown on the vertical axis. Calculated and graphed using HelixTree Genetic Analysis Software Package. (TIF)

Table S1  PCR primers and amplicons for rs993076 and the CETP splice variant (other primers are available on request). rs5883 was determined using preselected commercial TaqMan and PCR probes (Life Technologies, Foster City, CA). (DOCX)

Table S2  Table of polymorphisms in the CETP locus genotyped in the liver study, and genotyping methods. Not all of the SNPs were analyzed in each liver, so that the allele frequencies reflect some selection bias and may not represent allele frequencies in the clinical groups in this study. (DOCX)

Table S3  Estimated CETP haplotypes constructed from 5 SNPs genotyped in 44 liver samples (calculated with HelixTree). rs5883 and rs9930761 are in complete LD in the liver samples tested for all SNPs in these tissues. The EM probability represents ambiguity in calling the individual haplotypes. (DOCX)

Table S4  Association p values between CETP SNPs and log-transformed HDL-C levels in the Whitehall II study (4,744 subjects, males plus females). SNPs with available rs id number and unadjusted p value <0.001 were included. A. Mean HDL-C levels grouped by genotype for rs247616 and rs5883 in all subjects. B. Mean allele frequencies and LD between rs9930761 and rs5883 in the INVEST cohort. (DOCX)

Table S5  Allele associations between CETP polymorphisms and primary outcomes in the INVEST-GENES study (464 males and 402 females, all Caucasians) using an additive model. The SNPs in this table are sorted by chromosomal location. The p values are unadjusted. B. Minor allele frequencies and LD between rs9930761 and rs5883 in the INVEST cohort. (DOCX)

Table S6  Published allele frequencies of rs9930761 in various populations (http://www.ncbi.nlm.nih.gov/projects/SNP/snp_ref.cgi?rs = 9930761) (September 2010). (DOCX)

Author Contributions
Conceived and designed the experiments: ACP J KP PJT WS. Performed the experiments: ACP JKP DW LCN ADH. Analyzed the data: ACP JKP DW YG J AJ CJP MK ADH PJT SS SEH WS. Contributed reagents/materials/analysis tools: JAJ YG CJP PJT SEH WS. Wrote the paper: ACP J KP DW YG JAJ CJP MK ADH PJT SS SEH WS. (1996) Increased coronary heart disease in Japanese-American men with mutation in the cholesteryl ester transfer protein gene despite increased HDL levels. J Clin Invest 97: 2917–2923.

References
1. Brousses M, Schaefer E, Wolfe M, Bloeden L, Digenio A, et al. (2008) Effects of an inhibitor of cholesteryl ester transfer protein on HDL cholesterol. N Engl J Med 359: 1460–1469.
2. Barter P, Caulfield M, Eriksson M, Grundy S, Kastelein J, et al. (2007) Effects of torcetrapib in patients at high risk for cardiovascular events. N Engl J Med 357: 2109–2122.
3. Vasan RS, Pencina MJ, Robins SJ, Zachariah JP, Kaur G, et al. (2009) The role of a common variant of the cholesteryl ester transfer protein gene in the progression of coronary atherosclerosis. N Engl J Med 338: 929–931.
4. Anguelova M, Benkellati C, Turecki G (2003) A systematic review of association studies investigating genes coding for serotonin receptors and the serotonin transporter: I. Affective disorders. Mol Psychiatry 8: 574–591.
5. Kuivenhoven JA, Jukema JW, Zwinderman AH, de Knijff P, McPherson R, et al. (1998) The role of a common variant of the cholesteryl ester transfer protein gene in the progression of coronary atherosclerosis. N Engl J Med 338: 86–93.
6. Carquist J, Muhlestein J, Home B, Hart N, Bair T, et al. (2003) The cholesteryl ester transfer protein TaqIB polymorphism predicts clinical benefit of statin therapy in patients with significant coronary artery disease. Am Heart J 146: 929–931.
7. Regnier JJ, Jukema JW, Grobbee DE, Kastelein JJ, Kuivenhoven JA, et al. (2008) CETP genotype predicts increased mortality in statin-treated men with proven cardiovascular disease: an adverse pharmacogenetic interaction. Eur Heart J 29: 2792–2799.
8. Kielar A, de Grooth G, Zwijnderman A, Jukema J, Kuivenhoven J, et al. (2004) Cholesteryl ester transfer protein concentration is associated with progression of arteriosclerosis and response to pravastatin in men with coronary artery disease (RIGRESS). Eur J Clin Invest 34: 21–28.
9. de Grooth G, Zerba K, Huang S, Tsuchihashi Z, Kirchgessner T, et al. (2004) The cholesteryl ester transfer protein (CETP) TaqIB polymorphism in the cholesteryl and recurrent events study: no interaction with the response to pravastatin therapy and no effects on cardiovascular outcome: a prospective analysis of the CETP TaqIB polymorphism on cardiovascular outcome and...
interaction with cholesterol-lowering therapy. J Am Coll Cardiol 43: 854–857.

18. Boekholdt SM, Sacks FM, Jukema JW, Shepherd J, Freeman DJ, et al. (2005) Cholesterol ester transfer protein TaqIB variant, high-density lipoprotein cholesterol levels, cardiovascular risk, and efficacy of pravastatin treatment: individual patient meta-analysis of 13,677 subjects. Circulation 111: 278–287.

19. Lira ME, Loomis AK, Paciga SA, Lloyd DB, Thompson JF. (2008) Expression of CETP and of splice variants induces the same level of ER stress despite secretion efficiency differences. J Lipid Res 49: 1953–1962.

20. Spielmann N, Leon AS, Rao DC, Rice T, Skinner JS, et al. (2007) CETP genotypes and HDL-cholesterol phenotypes in the HERITAGE Family Study. Physiol Genomics 31: 29–31.

21. Frisidal E, Klerks AH, Le Goff W, Tanck MW, Lagarde JP, et al. (2005) Functional interaction between -629C/A, -971G/A and -1337C/T polymorphisms in the CETP gene is a major determinant of promoter activity and plasma CETP concentration in the REGRESS Study. Hum Mol Genet 14: 2607–2618.

22. McCaskey PA, Beilby JP, Chapman CM, Hung J, McQuillan BM, et al. (2007) CETP genotypes and HDL-cholesterol levels and the risk of coronary heart disease. Hum Genet 121: 401–411.

23. Kathiresan S, Willer CJ, Peloso GM, Demissie S, Musunuru K, et al. (2009) Common variants at 30 loci contribute to polygenic dyslipidemia. Nat Genet 41: 56–63.

24. Chasman DI, Pare G, Mora S, Hopewell JC, Peloso G, et al. (2009) Forty-three loci associated with plasma lipoprotein size, concentration, and cholesterol content in genome-wide analysis. PLoS Genet 5: e1000736.

25. Talmud PJ, Drenos F, Shah S, Shah T, Palmner J, et al. (2009) Gene-centric association signals for lipids and apolipoproteins identified via the HumanCVD BeadChip. Am J Hum Genet 85: 626–642.

26. Inazu A, Quinet E, Wang S, Brown M, Stevenson S, et al. (1992) Alternative splicing of the mRNA encoding the human cholesteryl ester transfer protein. Biochemistry 31: 2352–2358.

27. Yang T, Agellon L, Walsh A, Beesow J, Tall A (1996) Alternative splicing of the human cholesteryl ester transfer protein gene in transgenic mice. Exon exclusion modulates gene expression in response to dietary or developmental change. J Biol Chem 271: 12603–12609.

28. Desai M, Motiri C, Cortese C, Leonardis E, Giovannini G, et al. (1997) Alternative splicing of human plasma cholesteryl ester transfer protein mRNA in Gaco-2 cells and its modulation by oleic acid. Mol Cell Biochem 177: 107–112.

29. Lambert RA (2008) CETP Variants Affect Splicing, HDL and Mortality. Eur Heart J 29: 2708–2709.

30. Marmot MG, Smith GD, Stansfeld S, Patel C, North F, et al. (1991) Health inequalities among British civil servants: the Whitehall II study. Lancet 337: 1307–1309.

31. Pepine CJ, Handberg EM, Cooper-Dhoff RM, Marks RG, Kowsky P, et al. (2003) A calcium antagonist v a non-calcium antagonist hypertension treatment strategy for patients with coronary artery disease: The International Verapamil- Trandolapril Study (INVEST). A randomized controlled trial. Jama 290: 2805–2816.

32. Miller S, Dykes D, Polesky H (1988) A simple salting out procedure for extracting DNA from human nucleated cells. Nucleic Acids Res 16: 1215.