Paternity of Subordinates Raises Cooperative Effort in Cichlids

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Abstract

Background: In cooperative breeders, subordinates generally help a dominant breeding pair to raise offspring. Parentage studies have shown that in several species subordinates can participate in reproduction. This suggests an important role of direct fitness benefits for cooperation, particularly where groups contain unrelated subordinates. In this situation parentage should influence levels of cooperation. Here we combine parentage analyses and detailed behavioural observations in the field to study whether in the highly social cichlid Neolamprologus pulcher subordinates participate in reproduction and if so, whether and how this affects their cooperative care, controlling for the effect of kinship.

Methodology/Principal Findings: We show that: (i) male subordinates gained paternity in 27.8% of all clutches and (ii) if they participated in reproduction, they sired on average 11.8% of young. Subordinate males sharing in reproduction showed more defence against experimentally presented egg predators compared to subordinates not participating in reproduction, and they tended to stay closer to the breeding shelter. No effects of relatedness between subordinates and dominants (to mid-parent, dominant female or dominant male) were detected on parentage and on helping behaviour.

Conclusions/Significance: This is the first evidence in a cooperatively breeding fish species that the helping effort of male subordinates may depend on obtained paternity, which stresses the need to consider direct fitness benefits in evolutionary studies of helping behaviour.

Introduction

Cooperative breeding, where subordinates help dominants to raise offspring, is rather widespread in vertebrates [1–5]. This helping behaviour has puzzled evolutionary biologists for a long time, as it is costly and often does not generate obvious fitness benefits to subordinates [6–8]. Several hypotheses have been proposed to explain the regulation of helping behaviour in cooperative breeders. First, the kin selection hypothesis predicts that subordinates should raise their level of help with increasing relatedness to recipients to acquire indirect fitness benefits, contingent on the relationship between benefits to recipient, costs to subordinates, and the relatedness between them [7]. If subordinates and dominants are only distantly related or unrelated, several mutually non-exclusive alternative hypotheses attempt to explain helping behaviour. (i) The prestige hypothesis proposes that subordinates may help dominants to signal their genetic quality to potential future partners [9]. (ii) The group augmentation hypothesis proposes that cooperative care is selected by beneficial effects of group size [10]. (iii) The pay-to-stay hypothesis proposes that subordinate helping serves as payment for being allowed to stay in the group [11,12]. Finally (iv), helping subordinates may accrue current direct fitness benefits by participating in reproduction [13–15] (see [16] for a review of hypotheses).

The importance of current direct fitness benefits to subordinates obtained through parentage acquisition for the decision to help has been questioned, partly due to assumed monopolization of reproduction by dominants [3,17]. However, studies of several cooperatively breeding vertebrates have found intra-group reproductive participation of subordinates (e.g. in fish: [18]; birds: [19] and mammals: [20]), which suggests a potential for direct fitness benefits of subordinates due to care of own offspring. In polyandrous birds with cooperative care, for example, a positive association has been found between receiving a share in mating - but not necessarily in parentage - and subordinate investment [21,22], which highlights the potential importance of direct fitness benefits for the brood care effort of subordinate males. In cooperative breeders, a positive association between subordinate relatedness and helping effort has been observed in several cases (e.g. [13,23,24]), whereas in others this did not hold [25,26] or the results were mixed [14,27,28]. As yet, a positive relationship between subordinates’ parentage and their helping effort has been rarely found in cooperative breeders in the wild (but see [22,29] for support in birds and mammals). Nevertheless, in cooperatively breeding fish, one experimental laboratory study showed that...
female subordinates unrelated to the dominants performed more alloparental brood care when they acquired a share in reproduction (i.e., when they were allowed to produce own clutches [30]).

In the cooperatively breeding cichlid fish *Neolamprologus pulcher*, subordinates are often distinctly related or unrelated to the dominants, due to the high philopatry of subordinates and high turn-over rates of dominants, which is most pronounced in males [31,32]. The main benefit of subordinates to stay in a territory of dominant breeders is the protection gained against predators, which is provided by the large group members [33,34]. By participating in reproduction, subordinates face a threat of eviction [35], which may be detrimental due to the high mortality risk outside of territories [36]. In the laboratory, male subordinates unrelated to the breeding pair were found to participate in reproduction [31,33,37,38]. However, their reproductive role in nature was questioned because subordinates have smaller gonads than breeders [17]. At present, data on subordinate parentage under natural conditions are lacking [39], and potential effects on subordinate helping effort are unknown.

In this study we combine parentage analysis and detailed behavioural observations to investigate if subordinates participate in reproduction in the field and if so, whether and how this affects subordinate helping behaviour. Due to low relatedness between dominants and subordinates, mature male subordinates can accrue only minor indirect fitness benefits by helping, which might provide incentives to acquire current direct fitness benefits through parentage acquisition. Therefore, we predicted that male subordinates should participate in reproduction in the field and that their helping effort should be contingent on paternity acquisition. Finally, we assessed whether relatedness between subordinates and dominants might affect parentage acquisition and helping behaviour of subordinates.

**Materials and Methods**

**Ethics statement**

This study made use of large cages (see below) that were accepted by all *N. pulcher* enclosed. The fish showed no signs of stress, and food intake rates were similar to *N. pulcher* outside the cages (200–400 plankton bites per 15 min) and to previously reported data [40–42]. At least every four days, all fish in the cages were monitored for signs of stress. This experiment was approved by the Zambian Ministry of Agriculture, Food and Fisheries and it complies with present laws of Zambia, the country where the study was performed. The study was approved by the Swiss Federal Veterinary Office Bern (licence no. 40/05).

**Study species**

*Neolamprologus pulcher* is a monomorphic Lake Tanganyika cichlid occurring all around the sublittoral zone of the shores of Lake Tanganyika [43]. The fish were studied by SCUBA diving between 8–11 m depth at Kasakalawe point, Zambia (8°46′48″S, 31°04′38″E) from September to November 2005 and 2006. Individuals live in social groups consisting of a dominant breeding pair and usually 1–15 subordinates of both sexes that perform brood care, territory defence and maintenance [32,33]. Detailed descriptions of the behaviour have been provided elsewhere [33,44]. Groups contain on average 5 subordinate individuals >20 mm standard length (SL) [45] and the fish reach maturity at about 30–35 mm SL [46]. Dominance among group members is determined by size differences, even if small [34]. Large group members feed predominantly on zooplankton in the water column [32,47], whereas small immature individuals also feed on benthic invertebrates within their territory [41]. In our study population, the fish use distinct stone patches for shelter and breeding, created by digging away sand [45,40]. Predation risk is of key importance for group living in *N. pulcher*, since subordinates are protected by larger group members [34]. Subordinate relatedness towards newborn fry (i.e., beneficiaries) diminishes with age, due to high turn-over rates of dominants and high philopatry of subordinates [31,32]. As a consequence, subordinates often help to raise non-kin broods [33,49], which increases the productivity of dominants [33,50] and lowers their work load [45,51]. Furthermore, group stability was shown to increase with group size [44]. Recently it has been shown that *N. pulcher* is able to recognize relatives [54]. The effect of relatedness between subordinates and dominants on helping effort has revealed mixed results in the field and in the laboratory, where relatedness between dominants and subordinates was negatively associated with helping effort in one study [28], and not associated with helping levels in another [26].

**Set-up and sampling**

Group territories in our study population were mapped and marked with numbered stones. Experimental units were created by haphazardly selecting two adjacent *N. pulcher* group territories with groups composed of at least one breeding pair, one large (>37.5 mm SL) and one small subordinate (25–37.5 mm SL). We used groups with differently sized subordinates, because of demonstrated size-dependent responses to demand, and size-dependent task specialization [42,52]. Small subordinates defend more against egg predators coming close to the breeding shelter than large subordinates, whereas the latter were shown to spend more effort with removing experimentally added sand from the breeding shelter [42]. Experimental groups comprised of 4.3±2.19 subordinates >15 mm SL (mean ± SD; range 2–8 subordinates/group, 16.0–48.5 mm SL). On average, 1.7±1.58 large subordinates (>37.5 mm SL), 1.7±1.16 small subordinates (25–37.5 mm SL) and 0.8±1.41 juveniles (15–24.5 mm SL) were present per group. A cage (2×2×2 m; aluminium frame covered with sturdy plastic net, mesh size 2.5×2.5 mm to allow free plankton flow) was placed over the selected units and all piscivores were removed [41]. Cages were used to allow allocation of parentage of all potential candidates, which proved to be difficult otherwise [55,56]. In total 39 such units were created and 78 groups were enclosed for periods between 14 and 20 days. Before the quantitative recordings started, one to four subordinates per group were caught, sexed, measured (SL in mm, accuracy 0.5 mm) and marked by carefully excising half of a single fin ray of the dorsal fin to facilitate identification [42].

When there were free swimming fry at the end of the two week’s observation periods, all fish larger than 15 mm SL present in the cage were caught with hand nets and transparent Plexiglas tubes to be sexed, measured and fin-clipped [41]. All fish larger than 30 mm (SL) were sexed by close inspection of the genital papilla. After removing the stone covering the breeding shelter, fry were caught with help of the anaesthetic eugenol (1 part eugenol dissolved in 4 parts 70% ethanol; [57]) and sampled wholly in Eppendorf vials together with all fish ≥15 mm SL. In one case during fry sampling, eggs were found and collected as well using tweezers. Above water, eggs, fry, fish ≤15 mm SL and the fin clips of larger fish were stored in 95% ethanol for future DNA analyses.

**Genotyping**

Ten polymorphic microsatellite loci were used to determine parentage of all broods (see Text S1 for details on loci and...
microsatellite DNA markers). The software CERVUS3.0 [58] was used to assign offspring based on exclusion. When offspring could not be assigned to a known male, the minimum number of sires was estimated using the program GERUD2.0 [59]; see Text S1 for details. Pairwise relatedness \( r \) estimations between mature subordinates \( (>30\) mm SL) and dominants were calculated with the program KINGROUP v2_090501 [60]. We calculated relatedness between: mature subordinates and dominant males, mature subordinates and dominant females, and mature subordinates and the midpoint of the dominant pair (average \( r \) to the dominant pair) using the KINSHIP estimator [61] and background allele frequencies calculated according to Konovalov & Heg (2008) [62].

**Results**

In total 27 out of 78 groups (34.6%) produced fry during the experimental period. However, due to practical reasons broods of only 15 groups could be collected. From these 15 groups we collected 18 broods, rendering on average 16.4±9.1 fry and eggs per brood (range 4–33). From the 295 offspring analysed (258 fry and 37 eggs) we determined both parents of 276 offspring, one parent of 17 offspring, and no parent of one fry and one egg each (Table 1; Table S1). The majority of offspring (88.8%) were assigned to the dominant breeding pair; dominant females sired 99.7% of all offspring and dominant males sired 88.8%. In six out of 18 broods (33.3%) the dominant male shared paternity with other males and in five out of these six cases the extra-pair sires were assigned to subordinates of the same group (27.8% of all clutches; size range of subordinate males siring offspring: 31–41 mm SL). If male subordinates participated in reproduction, they gained on average 11.8% paternity. One fry was assigned to a dominant male from a neighbouring group, accounting for 7.7% extra-pair offspring in this brood. Taken together, in the six clutches with extra-pair paternity, on average 11.1% of the young in the brood were not sired by the dominant male (range: 6.3–22.7%). Subordinate females had never participated in reproduction. Seventeen young collected in one territory belonged to two different size classes indicating two separate broods; all four larger fry had been produced by the dominant female of a neighbouring territory and three out of these four young were fathered by a male not included in the cage population, suggesting a recent territory take-over by the current dominant pair preceding the experimental period.

### Table 1. Offspring sired by different males.

| Assigned fathers | Offspring number |
|------------------|-----------------|
| **Father**       |                 |
| Broods without extra-pair offspring (\( n = 12 \) broods) |                 |
| Dominant male    | 161             |
| Broods with extra-pair paternity (\( n = 6 \) broods) |                 |
| Male group members (\( n = 5 \) broods) |                 |
| Dominant male    | 100             |
| Large subordinate | 14             |
| Small subordinate | 1               |
| Other male       | (\( n = 4 \) broods) |     |
| Dominant non-group male | 1               |
| Unknown male     | 18             |

Note that one egg did not amplify, thus no parentage could be assigned. *Large male subordinates sired offspring in four broods, \( ^* \)including 14 eggs of two broods collected at a breeding shelter with two fry cohorts produced by two different females.

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digging between subordinates siring offspring and those that did not participate in reproduction (GLMM, n = 15: siring effect: Wald $\chi^2 = 0.699, p = 0.403$; dominant male r vs. siring effect: Wald $\chi^2 = 0.729, p = 0.393$; dominant female r vs. siring effect: Wald $\chi^2 = 0.514, p = 0.473$).

In the egg predator exposure trials, subordinates who sired part of the offspring showed more defence effort against experimentally presented egg predators than same-sized subordinates that had not participated in reproduction (logistic regression, n = 8: same-sized subordinates r vs. siring effort: Wald $\chi^2 = 6.181, df = 1, p = 0.013$; siring effect: Wald $\chi^2 = 13.874, df = 1, p < 0.001$). Furthermore, these results did not change when relatedness was added as a covariate to the two GLMMs above. No effects of subordinate relatedness were found in the other behaviours tested (Table S2).

**Discussion**

Our data suggest that male subordinates of *N. pulcher* participate in reproduction in the field and, if successful, they apparently raise their brood care effort accordingly. Furthermore, relatedness between subordinates and dominants did not affect the likelihood of subordinates’ siring offspring. In addition, helping behaviour did not depend on relatedness between subordinates and dominants. Taken together, we can exclude kin selection as a factor explaining both subordinate parentage and helping behaviour, and the effect of subordinate parentage on their brood care effort remained significant after correcting for the (non-significant) effect of kinship. This indicates that current direct fitness benefits, such as the production of own offspring, are important for the performance and intensity of specific cooperative behaviours in subordinates of cooperatively breeding fish. In species with low relatedness between dominants and subordinates, receiving indirect fitness benefits through helping to raise offspring of relatives is improbable. This might make attempts to obtain direct fitness benefits via parentage more rewarding. In a few cooperatively breeding birds and mammals, subordinates participating in reproduction also increased their helping effort in response to their participation in reproduction [30,38]. These data suggest that more generally, direct fitness benefits might be an important modifier of subordinate helping intensities in cooperative breeders.

Theoretical arguments suggest that in groups with multiple males, the fitness of dominant males may increase when subordinates sire only small parts of the offspring because of conflict reduction [66]. This and the increased levels of subordinate helping could select for reproductive concessions provided by dominants [67]. Previous studies revealed that female subordinates do not increase alloparental care in response to their participation in reproduction [30,38]. These data suggest that more generally, direct fitness benefits might be an important modifier of subordinate helping intensities in cooperative breeders.

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**Table 2.** Pairwise relatedness (mean $r \pm SE$) of mature subordinate males, subordinates females and all subordinates combined with dominant females, dominant males and midpoint dominant pair (average $r$ between the dominant pair).

|                      | Midpoint $r$ | Dominant males | Dominant females |
|----------------------|--------------|----------------|------------------|
| Male subordinates ($n=13$) | 0.172 ± 0.033 | 0.096 ± 0.084 | 0.199 ± 0.055 |
| Female subordinates ($n=16$) | 0.070 ± 0.067 | 0.018 ± 0.084 | 0.122 ± 0.081 |
| Subordinates combined ($n=39^*$) | 0.096 ± 0.242 | 0.058 ± 0.311 | 0.116 ± 0.296 |

*Includes $n = 10$ subordinates with unclear sex.

All subordinates used to calculate relatedness were larger than 30 mm SL (mean SL: 38.7 ± 5.4 mm; range: 30.0–48.5 mm SL).

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Figure 1. Per capita frequency of defence per 10 min for subordinates who participated in reproduction (black circle) and non-participating subordinates (open circle) against presented egg predators. Means ± SE are shown; * $p < 0.05$.

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provide little predictive power to explain reproductive skew in cooperatively breeding fish groups due to the complexity of the mechanisms involved [69]. In N. pulcher it is unlikely that dominant males are in full control of reproduction, because they frantically attempt to prevent subordinates participating in spawning [34], and more dominant reproduction is lost to subordinates if multiple subordinate males are present in the group [38,70]. Furthermore, dominant males show more aggression towards male than female subordinates [71], especially during reproductive periods [70]. This implies that male subordinates entail costs to dominant males mainly by parasitizing reproduction (cf. [35]). In compensation for these fitness costs, dominant males might benefit from increased brood care levels provided by male subordinates that have shared in reproduction. As dominant males provide virtually no help in brood care, apart from deterring large piscivores [33,41,42,53], they may benefit more via increased male subordinate aid than what they lose by sharing part of reproduction. In general, the costs caused by subordinate group members have been predicted to be partly or fully compensated for by their cooperative effort if helpers pay to stay, but helping should not provide net benefits to dominants [72]. In other words, the rent helpers pay to be allowed to stay in the territory (cf. [36,40,42,51–53,73]) merely serves as cost compensation. This predicts that the higher the costs caused by subordinates, the more they should help, which has been supported by our data. Another incentive to increase brood care levels when successfully sharing in reproduction is the fact that some of the young benefitting from care will be own offspring. Currently, we cannot differentiate between these two potential functional causes of the positive correlation between the reproductive participation of helpers and their brood care effort.

In previous studies, extra-group paternity [55,56] and subordinate maternity [56] had been observed under natural conditions in N. pulcher, however reproductive participation of male subordinates was not detected. Our results confirm the levels of male subordinate reproductive participation found in laboratory experiments [35,37,38]. This rather moderate reproductive participation of subordinates is difficult to detect when sample sizes per brood are small (in the previously published studies [55,56], the mean number of sampled offspring per brood had been 3.6 and 3.9, respectively). Additionally, there is evidence that in previous studies the paternity of male subordinates may have remained undetected because of their eviction or dispersal before parentage could be determined [55]. By using large underwater cages we prevented this occurring in our study and therefore obtained genetic samples of almost all potential reproductive individuals present during offspring production. Nevertheless, one egg and one fry (0.7% of all offspring) could not be assigned to any parent and in 17 out of 295 offspring (5.8% of all offspring); one parent could not be assigned. These latter cases might have resulted at least partly from offspring production shortly before the experiment started (see Results section). Furthermore, it should be considered that we incorporated only groups with rather low numbers of subordinates in this study, which might result in an underestimation of male subordinate parentage due to a potential exponential increase of subordinate paternity with increasing numbers of male subordinates in the group [36,62].

Reproductive participation of male subordinates in N. pulcher had been assumed to be ‘unlikely’ due to their relatively low investment in testis and sperm quality [17]. It remains to be tested if subordinates participating in reproduction show a higher investment in testis and sperm quality compared to the ones that did not. For instance, in the cichlid Julidochromis ornatus all subordinate males are likely to participate in reproduction [17], and testis mass correlates positively between dominant male breeders and their male subordinates, which suggests adjustments to the level of intragroup sperm competition [74]. Our results show that in N. pulcher, relatedness did not differ between the dominant pair and subordinates that sired part of the offspring and those that did not. Furthermore, when testing for effects of subordinate parentage and relatedness to dominants on subordinate defence against egg predators, only subordinate parentage showed significant effects, but not relatedness levels between subordinates and dominants. This indicates that relatedness does not strongly affect subordinate helping effort in N. pulcher, or at least not as strongly as subordinate parentage does.

Our results show that male subordinates sharing in reproduction tended to stay closer to the breeding shelter, which might serve as a guarding function. Previously we have reported size-dependent sharing of tasks among subordinates of this species, with small subordinates specialising in defence against egg predators [42]. Our new findings suggest that in addition to size dependence, the effort of male subordinates in brood care and protection may also depend on their participation in reproduction. In banded mongooses, especially male subordinates contribute more to guarding during times of high energy expenditure and the survival rates of young increases with the number of guards [75]. However, in contrast to N. pulcher, banded mongoose yearling non-breeding males tended to make higher individual contributions to the care of pups than yearling breeding males that may have successfully participated in offspring production [65].

Digging behaviour seems to be less flexible than defence in N. pulcher, as the removal of experimentally added sand from the breeding shelter was not related to the subordinates’ share in reproduction. This confirms previous results of similar sand addition trials revealing little plasticity of large subordinates in response to varying digging demands. For instance, no difference in digging intensity was found among large subordinates between: (i) low and high neighbour densities simulating variation in space competition [52]; (ii) natural and reduced food conditions [41]; (iii) isolated and group living individuals [76]; (iv) low and high densities of egg predators [42]; and (v) differences in reproductive status of groups, i.e. with or without free-swimming fry (Bruintjes R, Louter M & Taborsky M, unpubl. data).

In conclusion, our data show that in cooperatively breeding cichlids male subordinates can gain parentage in the field, and that this might affect their effort spent on specific helping behaviours. Our results stress that current direct fitness benefits (participation in reproduction) might be of importance in modifying subordinate helping effort in cooperative breeders.

Supporting Information

Table S1 Parentage of 18 broods collected from 15 groups in the field.

(TEX)

Table S2 Behavioural comparisons of subordinates with and without parentage. The table shows all focal behaviours which were tested with independent sample t-tests, except for submissiveness, which was tested with a Mann-Whitney U-test. 0.05<p-values<0.10 are underlined.

(TEX)

Text S1 This supplementary text contains additional details on genotyping, parentage assignment and egg predator presentation trials.

(TEX)
Acknowledgments

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Author Contributions

Conceived and designed the experiments: RB DH MT. Performed the experiments: RB. Analyzed the data: RB DH. Contributed reagents/materials/analysis tools: DB. Wrote the paper: RB DH MT. Performed all laboratory work: DB.

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