SDSS1133: an unusually persistent transient in a nearby dwarf galaxy

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ABSTRACT

While performing a survey to detect recoiling supermassive black holes, we have identified an unusual source having a projected offset of 800 pc from a nearby dwarf galaxy. The object, SDSS J113323.97+550415.8, exhibits broad emission lines and strong variability. While originally classified as a supernova (SN) because of its non-detection in 2005, we detect it in recent and past observations over 63 yr and find over a magnitude of rebrightening in the last 2 yr. Using high-resolution adaptive optics observations, we constrain the source emission region to be $\lesssim 12$ pc and find a disturbed host-galaxy morphology indicative of recent merger activity. Observations taken over more than a decade show narrow [O III] lines, constant ultraviolet emission, broad Balmer lines, a constant putative black hole mass over a decade of observations despite changes in the continuum, and optical emission-line diagnostics consistent with an active galactic nucleus (AGN). However, the optical spectra exhibit blueshifted absorption, and eventually narrow Fe II and [Ca II] emission, each of which is rarely found in AGN spectra. While this peculiar source displays many of the observational properties expected of a potential black hole recoil candidate, some of the properties could also be explained by a luminous blue variable star (LBV) erupting for decades since 1950, followed by a Type IIn SN in 2001. Interpreted as an LBV followed by an SN analogous to SN 2009ip, the multidecade LBV eruptions would be the longest ever observed, and the broad Hα emission would be the most luminous ever observed at late times (> 10 yr), larger than that of unusually luminous SNe such as SN 1988Z, suggesting one of the most extreme episodes of pre-SN mass-loss ever discovered.

Key words: supernovae: general – galaxies: active – galaxies: dwarf.

1 INTRODUCTION

The coalescence of binary supermassive black holes (SMBHs) in galaxy mergers is thought to constitute the strongest source of gravitational waves (GW; Merritt & Milosavljević 2005). Theory suggests that these waves carry momentum, causing the merged black hole (BH) to experience a velocity recoil or kick that displaces it from the centre of its host galaxy (Peres 1962; Bekenstein 1973; Thorne & Braginskii 1976). Numerical simulations of SMBH mergers have found, surprisingly, that GW recoil kicks may be quite large, up to $\sim 5000$ km s$^{-1}$ (Campanelli, Lousto & Zlochower 2006; Lousto & Zlochower 2011). Consequently, a merged SMBH may even be ejected from its host galaxy. An active galactic nucleus (AGN) ejected from the centre of a galaxy should be able to carry along its accretion disc as well as the broad-line region, resulting in an AGN spatially offset from its
host galaxy and/or an AGN with broad emission lines offset in velocity (Madau & Quataert 2004; Blecha & Loeb 2008; Komossa & Merritt 2008). Recooling AGNs with offsets >1 kpc may have lifetimes up to tens of Myr for a fairly wide range in kick speeds, and velocity-offset AGNs may have similar lifetimes (Blecha et al. 2011, 2013). Thus far, several candidate recoiling SMBHs have been found via spatial offsets, though none has been confirmed (see Komossa 2012 for a review). The disturbed galaxy CXOC J100043.1+020637 (or CID-42) contains a candidate recoiling AGN offset by 2.5 kpc from the galactic centre (Civano et al. 2010, 2012). However, in order for the recoiling AGN to produce narrow-line emission, it must be observed very quickly after the kick while it still inhabits a dense gaseous region. Another recoil candidate found by Jonker et al. (2010) consists of an X-ray source offset by 3 kpc from the centre of an apparently undisturbed spiral galaxy, but this could also be explained as an ultraluminous X-ray source (ULX) associated with an accreting intermediate-mass black hole (IMBH) in a massive, young stellar cluster, or perhaps a very luminous Type IIn supernova (see Filippenko 1997 for a review).

These recoiling kicks have significant implications for models of SMBH and galaxy coevolution (Volonteri 2007; Blecha et al. 2011; Sijacki, Springel & Haehnelt 2011). A hitherto unknown low-redshift population of SMBH mergers in dwarf galaxies could have profound implications for GW detectors. Although the SMBH occupation fraction in dwarf galaxies is uncertain, their shallower potential wells greatly increase the possibility of detecting GW kicks; nearly every major SMBH merger would result in a substantial displacement of the remnant SMBH. While current detectors, like the advanced Laser Interferometer Gravitational-Wave Observatory or Pulsar Timing Arrays, are more sensitive to stellar-mass BHs or the most massive SMBHs (Sesana 2013), future space-based detectors such as the proposed Laser Interferometer Space Antenna would be more sensitive to lower mass SMBHs (~10^7−10^9 M⊙), making this mass range found in dwarf galaxies an important population for study.

Particularly at low bolometric luminosities (L_{bol} ≤ 10^{43} erg s^{-1}), some SNe IIn can be a source of imposters for AGNs (Filippenko 1989) and recoiling SMBHs, especially when the broad-line emission lasts for decades. Some SNe are also preceded by strong winds and non-terminal eruptions similar to those of luminous blue variables (LBVs) such as η Carinae (Smith et al. 2011). There is also evidence that LBV eruptions can be followed by SNe IIn (Gal-Yam et al. 2007; Mauerhan et al. 2013; Ofek et al. 2014), with narrow as well as broader Balmer emission lines that can persist for decades (Smith et al. 2008b; Kiewe et al. 2012), sometimes with an appearance similar to that of broad-line AGNs. SNe IIn occur in a dense circumstellar medium (CSM) created by pre-SN mass-loss, and the narrow hydrogen lines are produced by photoionization of the dense winds irradiated by X-rays from the region behind the forward shock (Chevalier & Fransson 1994). (The broader lines arise when the SN ejecta interact directly with the CSM.) Type IIn SNe can even produce forests of narrow coronal lines such as [O III] (e.g. SN 2005ip; Smith et al. 2009).

Our study focuses on an enigmatic point source, SDSS J113323.97+550415.8 (hereafter SDSS1133), having a projected offset of 800 pc (5.8 arcsec) from the centre of a nearby dwarf galaxy, Mrk 177 (UGC 239), and with broad-line emission observed by the Sloan Digital Sky Survey (SDSS) in 2003. SDSS1133 was mentioned as a possible case of a quasar having a non-cosmological redshift because of its broad Balmer lines but very low luminosity (López-Corredoira & Gutiérrez 2006). In a study of narrow-line Seyfert 1 galaxies, Zhou et al. (2006) classified SDSS1133 as an SN because of its non-detection in data obtained in January 2005 with the 2.16-m telescope at the Beijing Observatory. This SN classification was also applied by Reines, Greene & Geha (2013) based on the SDSS spectra using the automated SN detection code of Graur & Maoz (2013). Finally, SDSS1133 was listed as a possible ‘Voorwerp’ candidate for a giant ionized cloud (Keel et al. 2012).

To better understand this unusual source, we obtained new optical spectra, adaptive optics (AO) images, and ultraviolet (UV) and X-ray observations of it. We also analysed archival images, finding that the object is detected over a time span of 63 yr. The host galaxy, Mrk 177, is at a distance of 28.9 Mpc (distance modulus 32.3 mag; Tully 1994). We use this redshift-independent distance indicator for the subsequent analysis of SDSS1133 and Mrk 177. At this distance, 1 arcsec corresponds to 140 pc. Galactic foreground extinction is very low, A_V = 0.03 mag (Schlafly & Finkbeiner 2011).

2 OBSERVATIONS AND DATA ANALYSIS

2.1 Imaging

We use archival optical SDSS images from 2001 December 18 and 2002 April 1 (UT dates are used throughout this paper), as well as 111 griz images taken over 26 nights from Pan-STARRS1 (PS1) between 2010 March and 2014 March. Additionally, we have photographic Digital Sky Survey (DSS) plates from the POSS I and II surveys, with 1 arcsec pixel^{-1} sampling and a limiting magnitude of 21 and 22.5, respectively. The 1994 observation, with the IIIaF emulsion and GG395 filter, is comparable to the g filter. The 1950 plate from the POSS-I O survey uses the 103A0 emulsion with a response between u and g. The 1999 observation is with the IIIaF emulsion, similar to the i band. Example images can be found in Fig. 1 with measurements in Table 1.

We measure the photometry of SDSS1133 (Figs 2 & 3) using a two-dimensional surface brightness fitting program, GALFIT (Peng et al. 2002). We fit a 5 arcsec region around SDSS1133, using a point spread function (PSF) model for the source light and a linear model based on radial distance to model contamination from Mrk 177. The PSF model position is allowed to vary across the image region. PSF models from the SDSS and PS1 data are used for the image convolution. For the PSF model in DSS images, we use a median of five nearby, bright, unsaturated stars. The DSS imaging scale is in photographic density, which is non-linear with intensity. Thus, the increase in contamination from the galaxy causes the source intensity to be underestimated. To correct for this non-linearity in DSS images, we measure SDSS PSF magnitudes of five nearby unsaturated stars with varying brightnesses to calculate the magnitude of SDSS1133 in the DSS plates.

We fit the positions of five bright, unsaturated stars common to each of the images for source astrometry, using the 2001 SDSS astrometry as the reference. We find no significant difference between SDSS and PS1 astrometry. For the DSS images, the declination offsets are <0.2 arcsec with respect to the SDSS astrometry, while we find right ascension offsets of 1 arcsec in the 1950 DSS image and 0.6 arcsec in the 1994 image.

We also estimate photometry from SDSS spectra taken in 2003 March using PYSYNPHOT, which determines the flux in the spectra as measured by different photometric filters. We convert from spectra filter magnitudes to PSF magnitudes using the offset between fiber-mag and PSF magnitudes from the original SDSS images. Finally, we include as an upper limit an observation in 2005 January using the 2.16-m telescope of the Beijing Observatory which failed to
detect the source within 3 mag of the 2002 SDSS observation (Zhou et al. 2006).

We obtained AO images on 2013 June 16 with the Near Infrared Camera 2 (NIRC2) instrument on the Keck-2 10-m telescope equipped with the wide camera, which has a 40 arcsec field of view (FOV) and 40 mas pixel$^{-1}$ (Fig. 4). In this observation, we used a three-point dither pattern for 6 min in the $J$ and $K_p$ filters, as well as 12 min in the Paβ filter. The Paβ filter covers the redshift of SDSS1133 and Mrk 177 ($z = 0.007845$). An image of the AO tip-tilt star is used for PSF estimation (Fig. 5) and photometric calibration based on measured 2MASS magnitudes in $J$ and $K_p$.

The Swift satellite observed SDSS1133 as a Target of Opportunity (ToO) programme on 2013 August 26, 27, and 28 for 3.5, 3.8, and 11.2 ks, respectively, with its X-Ray Telescope (XRT) in Photon Counting mode (PC mode) and the UV-Optical Telescope (UVOT) with the UVW1 near-UV filter. The total exposure time was 18.5 ks. X-ray data were reduced with the task XRTPIPELINE (version 0.12.6). Source and background photons were extracted with XSELECT (version 2.4b), from circles with radii of 47 and 200 arcsec, respectively, in the 0.3–10 keV band. For PSF photometry with UVOT, we use a nearby star with known magnitudes scaled to the brightness of SDSS1133.

2.2 Optical Spectroscopy

We use optical spectra from a variety of telescopes for this study of SDSS1133 (Figs 6–8). SDSS spectra were taken in 2003 March, 456 d after the object had diminished in brightness about 2.5 mag from its peak in 2001 December. SDSS1133 was targeted as part of the $ugri$-selected quasar survey because of its AGN-like colours.

SDSS1133 was also observed with the University of Hawaii 2.2-m telescope and the SuperNova Integral Field Spectrograph (SNIFS) on 2013 May 1–4 for a total duration of 160 min. SNIFS is an optical integral field unit (IFU) spectrograph with blue (3000–5200 Å) and red (5200–9500 Å) channels having a resolution of 360 km s$^{-1}$. The SNIFS reduction pipeline SNURP was used for wavelength calibration, spectro-spatial flat-fielding, cosmic ray removal, and flux calibration (Aldering et al. 2006). A sky image was taken after each source image and subtracted from each IFU observation. Flux corrections were applied each night based on the standard star Feige 34. In order to estimate the amount of narrow-line contamination from Mrk 177 in the SDSS spectrum, we placed an aperture at the same radial distance from Mrk 177, but to the north-east of SDSS1133 with the same aperture size as the SDSS fibre (3 arcsec).

We used the DEep Imaging Multi-Object Spectrograph (DEIMOS) on the Keck-II telescope to obtain a 10 min spectrum (range 4730–9840 Å) of the object and the galaxy nucleus (2 arcsec slit, PA = 137.5) with a 600 lines mm$^{-1}$ grating on 2013 December 13. Finally, we used the Multiple Mirror Telescope (MMT) to observe SDSS1133 on 2014 January 3 (1 arcsec slit, PA = 137.5); the spectrum spans 3700–8926 Å.

We fit the spectra using an extensible spectroscopic analysis toolkit for astronomy, PYSPECKIT, which uses a Levenberg–Marquardt algorithm for fitting. We adopt a power-law fit to model the continuum and Gaussian components to model the emission lines. All narrow-line widths were fixed to the best fit of the [O III] $\lambda$5007 line. We fit the spectra of H$r$ using a narrow component based on the [O III] $\lambda$5007 line along with a broad component. Additionally, some AGNs have broad Balmer lines that are poorly fitted with a single Gaussian component, so we fit H$\alpha$ and H$\beta$ with two broad components (broad and very broad) when it is statistically significant based on the reduced $\chi^2$. Fitting the H$\beta$ line is more complicated because of Fe II lines on the red wing as well as [O III] $\lambda$4959 on the red wing; we therefore use the H$\beta$ fitting procedure following the code described by Trakhtenbrot & Netzer (2012).

To measure the full width at half-maximum intensity (FWHM) of the broad component of the Balmer lines, we first subtract the narrow-line component. Finally, we fit a separate broad component...
to each of the calcium near-infrared (NIR) triplet (λ8498, 8452, 8662) lines and to OI λ8446. The luminosities of the narrow lines and their velocity offsets from Mrk 177 can be found in Table 2.

3 RESULTS

3.1 X-ray, UV, optical, and NIR emission

Imaging from multiple time periods can differentiate an AGN from an SN; the latter typically fade quickly, and they rapidly become redder in colour. Using images from the DSS, SDSS, and the PS1 survey (Fig. 1) along with synthetic photometry from the observed spectra, we measure the g mag of SDSS1133 between 1950 and 2013 (Fig. 2). We find that SDSS1133 brightened in 2001 and 2002 compared to 1950 and 1993, and it shows variable brightening and dimming between 2010 and 2013. A non-detection in a 2MASS image on 2001 January 10, in the NIR, suggests that significant brightening happened sometime between 2001 January 10 and the first SDSS image on 2001 December 18. SDSS1133 was detected in the near-UV at −10.7 mag AB in 2004 and at −10.9 mag AB in 2013 by the GALEX and Swift satellites (respectively), 2 and 12 yr after peak brightness (assumed to be the first SDSS observation on 2001 December 18). Over the last 12 yr, the optical and UV colours of SDSS1133 have remained relatively constant (Fig. 2, right) and consistent with those of quasars (Richards et al. 2001).

High-resolution imaging at the ≲20 pc scale is critical for differentiating between an ongoing merger of two galaxies and a post-merger recoiling SMBH that has left its host-galaxy nucleus behind. We imaged a 40 arcsec region around SDSS1133 in the NIR Paβ and Kβ filters using AO with the NIRCam instrument on the Keck-II telescope (Fig. 4). The images reveal an unresolved point source at spatial scales of ≲12 (Kp) and ≲22 pc (Paβ) coincident with the location of SDSS1133. SDSS1133 shows average colours (g − i ≈ −0.3 to 0.2 mag) constant within the uncertainties from 2002 to 2014. This colour is consistent with nearby (z < 0.1) SDSS quasars (Richards et al. 2001). Additionally, the iAB − Kvega colour of SDSS1133 is 2.5 mag, in agreement with results for low-redshift quasars (Peth, Ross & Schneider 2011) and much redder than the stellar locus (i − K ≈ 1 mag). While red colours can be found in SNe because of dust formation, these colours often evolve with time.

NIR images trace the old stellar population and the bulk of the stellar mass. Those of SDSS1133 exhibit a disturbed morphology and a double-nucleus structure with a separation of 60 pc. The Kp absolute magnitudes of the nuclei are −14.1 and −14.2, in the range of dwarf-galaxy nuclei or very young, massive star clusters (Forbes et al. 2008). Radial profiles of SDSS1133 and the tip-tilt star used in each observation are shown in Fig. 5. The radial profiles of the nuclei in Kp and Paβ are consistent with the stellar profiles of the tip-tilt stars, suggesting no extended emission.

With the Swift XRT at 0.3–10 keV, 7.6 ± 3.4 background-subtracted counts were detected, corresponding to a signal-to-noise ratio (S/N) of 2.2 and a net count rate of (4.1 ± 1.8) × 10−4 counts s−1. The marginal Swift X-ray detection cannot distinguish between the AGN and SN scenarios. Assuming a power-law index of 1.9, representative of an AGN, the luminosity is 1.5 × 1039 erg s−1 in the 0.3–10 keV band.

3.2 Spectral shape, narrow-line diagnostics, broad-line emission

We measure the amount of narrow-line emission detected in SDSS1133, the nucleus of the host galaxy Mrk 177, and at a position the same radial distance from the nucleus of Mrk 177 as SDSS1133 (Mrk 177 IFU-offset) using the IFU image; the latter allows us
to determine the expected level of narrow-line contamination in SDSS1133 from Mrk 177. All line offsets are from the wavelength of [O III] $\lambda$5007 in Mrk 177 at $z = 0.007845$. The measured line offset of the narrow lines in SDSS1133 and the host galaxy, Mrk 177, is redshifted by a small amount (26 $\pm$ 9 km s$^{-1}$), while Mrk 177 IFU-offset is blueshifted by a small amount ($-18$ $\pm$ 12 km s$^{-1}$).

The narrow-line FWHMs of SDSS1133 and Mrk 177 are consistent with the SDSS instrumental resolution (150 km s$^{-1}$). The FWHM of Mrk 177 IFU-offset is consistent with the spectral resolution of the IFU (360 km s$^{-1}$).

We apply AGN emission-line diagnostics (e.g. Veilleux & Osterbrock 1987; Kewley et al. 2006) to the [N II]/H$\alpha$, [S II]/H$\alpha$, [O I]/H$\alpha$ narrow lines of SDSS1133 and Mrk 177 (Fig. 6). The host galaxy, Mrk 177, is classified as an H II region in all diagnostics. SDSS1133 is classified as a Seyfert with the [S II] and [O I] diagnostics in 2003 SDSS spectra.

We find that the [N II] luminosity of the narrow-line emission found in SDSS1133 in 2003 and 2013 is consistent with that of Mrk 177 IFU-offset. However, the [O III], H$\beta$, and [O II] lines are significantly stronger than in Mrk 177 IFU-offset. This is confirmed by the two-dimensional images, which show that the emission lines are above the continuum level of SDSS1133 and Mrk 177. By 2013, the [O III] luminosity decreased.

A plot of the H$\beta$ spectral region can be found in Fig. 7. We see that the 2013 Keck spectrum exhibits narrow Fe II emission, less broad H$\beta$ emission (2020 versus 2530 km s$^{-1}$), lower luminosity, weaker continuum emission, and a lower intensity ratio of [O III] to H$\beta$ compared to the 2003 spectrum. Examining H$\alpha$ in 2013 (Fig. 8), we find wider broad H$\alpha$ emission (1390 versus 1660 km s$^{-1}$) and lower luminosity than in 2003. Assuming SDSS1133 is an AGN, we fit the H$\beta$ region and measure an SMBH mass (Grier et al. 2013) of $\log (M_{\text{BH}}/M_{\odot}) \approx 6.0, 6.0, \text{and} 6.2$ in 2003, 2013, and 2014,
Figure 4. AO images of SDSS1133 and Mrk 177. The image is centred on Mrk 177 with a spatial scale of 40 mas pixel$^{-1}$ and displayed with an arcsinh scale in CCD counts. Top-left: 12 arcsec wide $K_p$ image. The FWHM of SDSS1133 is consistent with the PSF image (FWHM = 0.08 arcsec), corresponding to point-like emission at a scale of 12 pc. Top-right: 12 arcsec wide Pa$\beta$ image. The FWHM of SDSS1133 is consistent with the PSF image (FWHM = 0.15 arcsec) corresponding to point-like emission at a scale of 22 pc. Bottom: 2.4$''$ $K_p$ zoomed-in image of the nuclear region of Mrk 177 showing signs of morphological disruption and two nuclei.

respectively. Like all single-epoch (‘virial’) $M_{\text{BH}}$ determinations, these estimated values have uncertainties of about 0.5 dex (Shen 2013), suggesting that the measured SMBH masses are constant within the uncertainties.

A plot of the broad Balmer lines smoothed to the same resolution and offset to the same continuum can be found in Fig. 9. There is evidence of blueshifted absorption in the H$\beta$ and H$\alpha$ regions at $-3000$ to $-8000$ km s$^{-1}$. The total broad H$\beta$ emission dropped by 10–20 per cent between 2003 and 2013–2014, whereas the total broad H$\alpha$ emission dropped by 65–70 per cent. The broad H$\alpha$/H$\beta$ intensity ratio is 13 in 2003 and 5 in 2013–2014. The Balmer decrement in 2003 for the broad hydrogen lines is considerably larger than the recombination value, suggesting that the broad emission originates in very dense gas or suffers higher extinction. Finally, the change in blueshifted absorption, which is different in 2003 than in 2013–2014, may cause variation in the broad-line emission and Balmer decrement.

A feature associated with the broad-line region in 1/3 of quasars (Netzer 1990) and some SNe is broad emission in the Ca$\Pi$ NIR triplet ($\lambda\lambda 8498, 8452, 8662$) and O$\i$ $\lambda 8446$ (Fig. 10). In 2003, we find the FWHM of these lines to be 630–650 km s$^{-1}$, significantly higher than that of the narrow-line region, and offset by $117 \pm 36$ km s$^{-1}$ for the Ca$\Pi$ triplet and $210 \pm 52$ km s$^{-1}$ for O I. The 2013 Keck spectrum exhibits narrower Ca$\Pi$ emission of 270 km s$^{-1}$. Fits to [Fe$\ii$] $\lambda 7155$ and [Ca$\ii$] $\lambda \lambda 7291, 7324$ show that no emission lines are detected in 2003, whereas the 2013 Keck spectrum exhibits strong, narrow emission consistent with the instrumental resolution (220 km s$^{-1}$).

We fit the spectrum blueward of 5000 Å and find a best fit for a possible non-thermal power-law AGN contribution ($\lambda^p$) of
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Figure 5. AO radial profiles of SDSS1133 (solid line) and the tip-tilt star (dashed line) used for PSF estimation in each observation. 0.1 arcsec corresponds to a physical scale of 14 pc. The radial profiles of the \( K_p \) (FWHM = 0.08 arcsec) and Pa\( \beta \) (FWHM = 0.15 arcsec) of SDSS1133 images are consistent with the stellar profiles of the tip-tilt stars.

\[ p = 0.92 \pm 0.27 \text{ in 2003 (SDSS)} \quad \text{and} \quad p = 0.42 \pm 0.21 \text{ in 2013 (Keck).} \]

3.3 Host galaxy

SDSS1133 is located in Mrk 177, a nearby blue compact dwarf galaxy with peculiar morphology (Petrosian et al. 2007). The 5.8 arcsec separation between SDSS1133 and the centre of Mrk 177 corresponds to a projected physical distance of 0.81 kpc. Given the observed magnitude of \( g = 15.69 \) (SDSS), the galaxy absolute magnitude is \( M_g = -16.6 \), comparable to that of the Small Magellanic Cloud. The centre of the host galaxy was also observed spectroscopically by SDSS, resulting in a measurement of the oxygen abundance, \( 12 + \log(O/H) = 8.58 \), somewhat oxygen-rich given its brightness, but still on the SDSS mass–metallicity relation from Tremonti et al. (2004). In the host galaxy, Mrk 177, we measure the Ca \( \Pi \) NIR triplet absorption lines to be within \( 3 \pm 10 \) km s\(^{-1} \) of the redshift derived from the [O III] emission Line.

We can also measure the star formation rate (SFR) based on GALEX observations of Mrk 177 taken in 2004. Because of the low-spatial resolution of GALEX, we are unable to completely rule out the possibility of contamination by SDSS1133, but we measure an SFR of 0.05 M\(_{\odot}\) yr\(^{-1}\) based on Kennicutt (1998).

4 DISCUSSION

Table 3 summarizes the unusual properties of SDSS1133. Given its long observed lifetime, luminosity, and highly variable behaviour, SDSS1133 is peculiar among AGNs, as well as among SNe, tidal disruption flares, ULXs, and other stellar phenomena. In the following sections, we discuss possible source scenarios for SDSS1133 in more detail. An LBV followed by an SN, or a recoiling SMBH, are the most likely scenarios, while a ULX, tidal flare, or tidally stripped AGN are disfavoured by the observations. Finally, we discuss the frequency of other events like SDSS1133.

4.1 LBV outburst, or LBV outburst followed by an SN

SDSS1133 is a source with an optical absolute magnitude of \(-13.5\) (which could either be constant or caught serendipitously erupting in 1950, 1994, and 1999) followed by a brief, luminous transient in 2001/2002 that reached \(-16\) mag and slowly faded to \(-13\) mag. The value \(-13.5\) mag exceeds the classical Eddington limit for a 100 M\(_{\odot}\) star. The peak brightness of \(-16\) is unprecedented for an LBV eruption, though there is still some debate about whether SN 2009ip and SN 1961V, both reaching...
nearly −18 mag in the optical, were extreme η Carinae-like events or core-collapse SNe (see also Kochanek, Szczygieł & Stanek 2011; Smith et al. 2011; Van Dyk & Matheson 2012). The closest LBV is η Car, which had a OGreat Eruption in the mid-19th century that was ~13.0 mag from 1843 to 1855, with some uncertainty from the historical records and estimated extinction (Smith & Frew 2011). Broad-line emission is also found in blue compact dwarfs (Izotov, Thuan & Guseva 2007) because of stellar winds around young massive stars (Izotov et al. 2007; Izotov, Thuan & Privon 2012) in regions of active star formation. However, stellar winds are ruled out for SDSS1133 since the Hα luminosity of 0.7 × 10^{40} erg s^{-1} is too large. A superbubble produced by multiple SNe is ruled out by the small spatial scale of the emission, <12 pc. As we discuss below, an LBV followed by an SN is a more likely scenario given the features of the recent optical spectra.

Late-time observations of interacting SNe provide critical information about the nature and mass-loss history of massive stars immediately before core collapse. The redshifted portion of the Hβ lines has become suppressed over the last decade, and the reddest individual peak has diminished in luminosity between 2003 and 2013. This could be dust in the post-shock medium that is obscuring emission from ejecta components in the receding hemisphere of the explosion (e.g. SN 2006jc; Smith, Foley & Filippenko 2008a). The Type II SN 1998S (Mauerhan & Smith 2012) does exhibit [O i], [O ii], and [O iii] emission 14 yr after outburst. Several SNe show ongoing broad emission as the fast SN ejecta cross the reverse shock behind the SN/CSM interface (e.g. SNe 1980K, 1993J, 1970G, and 1957D; Milisavljević et al. 2012). Finally, the appearance of late-time narrow [Fe ii] and [Ca ii] in the 2013 spectra has been found in other SNe (e.g. SN 1992H; Filippenko 1997).

We can make a conservative order-of-magnitude estimate of the total bolometric luminosity of SDSS1133 over 12 yr based on the observed UV, optical, and NIR observations (2200–24,000 Å) to test whether it violates the total expected energy from an SN. To do this, we assume that the spectral energy distribution (SED) of SDSS1133 follows the last UV observation in 2013, the 2014 MMT optical spectrum (3675–8850 Å), and the J and K$_s$ Keck measurements. We interpolate between the unobserved regions in the range 2200–24,000 Å. We assume that the SED follows the dashed lines in the g-band light curve in Fig. 2 and obtain a total energy of 1.7 × 10^{50} ergs. This is probably a lower limit since we exclude the far-UV and X-ray emission, and the bolometric correction is larger at earlier times because of the greater amounts of UV and X-ray emission. The largest amount of emission from an SN explosion thus far has been from SN 2003ma, with an integrated bolometric luminosity of 4 × 10^{42} ergs. While the integrated bolometric luminosity of SN 2003ma was a factor of ~20 higher than for SDSS1133, the peak emission of SN 2003ma was $M_p = -21.5$ mag, about 150 times brighter than SDSS1133.

The eruptive LBV and SN hypothesis has several issues that make SDSS1133 one of the most unusual LBV/SN candidates. The 51 yr putative eruptive LBV phase before the SN (whose peak brightness was in 2001) is the longest observed before an SN explosion. The luminous broad Hα emission requires interaction with a very dense CSM linked to an extreme amount of mass-loss by the LBV. A plot of Hα emission from SDSS1133 as a function of time after peak magnitude can be found in Fig. 11, as compared with the other most luminous SNe observed at late times. In 2013, SDSS1133 shows little decrease in Hα compared to other known SNe, and it has more luminous late-time Hα emission than even extreme cases like SN 1988Z (Aretxaga et al. 1999). Additionally, UV emission in SNe typically drops by several magnitudes in tens of days, while the UV emission of SDSS1133 exhibits no change when observed between 2004 by GALEX and 2013 by Swift. Strong UV emission is unexpected because SNe have line blanketing, and LBV eruptions usually form much dust. A possible explanation is a cluster of O-type stars or an H II region that is not detected in the NIR images. However, the NIR AO Paβ observations should directly trace the gas ionized by young, massive stars. In this case, the flux would be overestimated, which would reduce the pre-SN flux and the late-time fading. On the other hand, an H II region seems unlikely given the ~22 pc resolution of AO in Paβ and the change in narrow-line emission between 2003 and 2013. Finally, additional monitoring is required to understand whether the light curve is consistent with a monotonic decrease expected from an SN. If the variability is confirmed to be non-monotonic, showing very significant rebrightening, scenarios for SDSS1133 with an LBV and SN origin will be severely constrained.

4.2 An AGN

4.2.1 Recoiling BH

While the SMBH occupation fraction in dwarf galaxies is only beginning to be constrained (e.g. Reines et al. 2013), any SMBH mergers that occur as a result of dwarf–dwarf mergers could produce a substantially offset recoiling AGN. This is because dwarf galaxies have low escape speeds, and thus even low-velocity recoil kicks may displace an SMBH from the galactic nucleus. If we assume that the potential of Mrk 177 is dominated by a dark-matter halo with an NFW profile (Navarro, Frenk & White 1996b) for its spatial mass distribution of dark matter and a halo to stellar-mass ratio of 30, then for the inferred stellar mass of $10^{9.55} M_{\odot}$, a reasonable guess for the central escape speed is ~170–200 km s^{-1}. The recent spectra have
Table 2. Emission-line properties.

| Name\textsuperscript{d} | Line | Offset\textsuperscript{b} (km s\textsuperscript{-1}) | FWHM\textsuperscript{c} (km s\textsuperscript{-1}) | Luminosity\textsuperscript{d} (10\textsuperscript{36} erg s\textsuperscript{-1}) | Date |
|-------------------------|------|---------------------------------|---------------------------------|---------------------------------|------|
| Narrow lines            |      |                                 |                                 |                                 |      |
| SDSS1133                | H\beta | 26 ± 9                          | 150                             | 180 ± 8                         | 2003 |
| SDSS1133                | H\beta | 31 ± 15                         | 220                             | 94 ± 6                          | 2013 |
| SDSS1133                | [O III] \lambda 5007 | 26 ± 9                          | 150                             | 391 ± 3                         | 2003 |
| SDSS1133                | [O III] \lambda 5007 | 31 ± 15                         | 220                             | 170 ± 3                         | 2013 |
| SDSS1133                | [O I] \lambda 6300  | 26 ± 9                          | 150                             | 16 ± 6                          | 2003 |
| SDSS1133                | [O I] \lambda 6300  | 31 ± 9                          | 220                             | 22 ± 2                          | 2013 |
| SDSS1133                | H\alpha  | 26 ± 9                          | 150                             | 211 ± 7                         | 2003 |
| SDSS1133                | H\alpha  | 31 ± 9                          | 220                             | 335 ± 21                        | 2013 |
| SDSS1133                | [N II] \lambda 6583 | 26 ± 9                          | 150                             | 29 ± 2                          | 2003 |
| SDSS1133                | [N II] \lambda 6583 | 31 ± 9                          | 220                             | 15 ± 8                          | 2013 |
| SDSS1133                | [S II] \lambda 6716 | 26 ± 9                          | 150                             | 51 ± 6                          | 2003 |
| SDSS1133                | [S II] \lambda 6716 | 31 ± 9                          | 220                             | 27 ± 3                          | 2013 |
| SDSS1133                | [S II] \lambda 6731 | 26 ± 9                          | 150                             | 34 ± 6                          | 2003 |
| SDSS1133                | [S II] \lambda 6731 | 31 ± 9                          | 220                             | 19 ± 2                          | 2013 |
| SDSS1133                | [Fe II] \lambda 7155 | 19 ± 7                          | 220                             | 15 ± 2                          | 2013 |
| SDSS1133                | [Ca II] \lambda 7291 | 14 ± 4                          | 220                             | 46 ± 3                          | 2013 |
| SDSS1133                | [Ca II] \lambda 7324 | 8 ± 4                           | 220                             | 38 ± 3                          | 2013 |
| Broad lines             |      |                                 |                                 |                                 |      |
| SDSS1133                | H\beta BLR | 252 ± 43                        | 1623 ± 144                      | 554 ± 66                        | 2003 |
| SDSS1133                | H\beta BLR | 252 ± 43                        | 1623 ± 144                      | 441 ± 42                        | 2013 |
| SDSS1133                | H\alpha BLR | 330 ± 8                         | 1254 ± 26                       | 2335 ± 89                       | 2003 |
| SDSS1133                | H\alpha VBLR | 876 ± 28                        | 4397 ± 72                       | 4703 ± 201                      | 2003 |
| SDSS1133                | H\alpha BLR | 285 ± 5                         | 2310 ± 13                       | 1555 ± 17                       | 2013 |
| SDSS1133                | H\alpha VBLR | 1888 ± 38                       | 6567 ± 67                       | 862 ± 26                        | 2013 |
| SDSS1133                | H\alpha BLR | 38 ± 5                          | 879 ± 35                        | 578 ± 89                        | 2014 |
| SDSS1133                | H\alpha VBLR | 72 ± 8                          | 3281 ± 532                      | 1698 ± 342                      | 2014 |
| SDSS1133                | O\alpha \lambda 8446 | 328 ± 36                        | 647 ± 42                        | 72 ± 16                         | 2003 |
| SDSS1133                | O\alpha \lambda 8446 | 41 ± 5                          | 262 ± 3                         | 11 ± 2                          | 2013 |
| SDSS1133                | Ca II \lambda 8498  | 176 ± 22                        | 643 ± 43                        | 72 ± 15                         | 2003 |
| SDSS1133                | Ca II \lambda 8498  | 38 ± 6                          | 264 ± 10                        | 27 ± 3                          | 2013 |
| SDSS1133                | Ca II \lambda 8452  | 176 ± 22                        | 640 ± 43                        | 79 ± 10                         | 2013 |
| SDSS1133                | Ca II \lambda 8452  | 38 ± 4                          | 265 ± 10                        | 28 ± 3                          | 2013 |
| SDSS1133                | Ca II \lambda 8662  | 173 ± 22                        | 631 ± 43                        | 53 ± 11                         | 2003 |
| SDSS1133                | Ca II \lambda 8662  | 37 ± 4                          | 265 ± 3                         | 23 ± 3                          | 2013 |
| Host galaxy             |      |                                 |                                 |                                 |      |
| Mrk 177                 | H\beta | 0                               | 150                             | 115 ± 9                         | 2003 |
| Mrk 177-IFU offset      | H\beta | −18 ± 6                         | 360                             | 103 ± 35                        | 2013 |
| Mrk 177                 | [O III] \lambda 5007 | 0                              | 150                             | 188 ± 4                         | 2003 |
| Mrk 177-IFU offset      | [O III] \lambda 5007 | −18 ± 6                         | 360                             | 69 ± 25                         | 2013 |
| Mrk 177                 | [O I] \lambda 6300  | 0                               | 150                             | 26 ± 5                          | 2003 |
| Mrk 177-IFU offset      | [O I] \lambda 6300  | −18 ± 6                         | 360                             | 6 ± 3                           | 2013 |
| Mrk 177                 | H\alpha | 0                               | 150                             | 332 ± 5                         | 2003 |
| Mrk 177-IFU offset      | H\alpha | −18 ± 6                         | 360                             | 127 ± 17                        | 2013 |
| Mrk 177                 | [N II] \lambda 6583 | 0                               | 150                             | 86 ± 5                          | 2003 |
| Mrk 177-IFU offset      | [N II] \lambda 6583 | −18 ± 6                         | 360                             | 25 ± 8                          | 2013 |
| Mrk 177                 | [S II] \lambda 6716 | 0                               | 150                             | 86 ± 5                          | 2003 |
| Mrk 177-IFU offset      | [S II] \lambda 6716 | −18 ± 6                         | 360                             | 27 ± 11                         | 2013 |
| Mrk 177                 | [S II] \lambda 6731 | 0                               | 150                             | 63 ± 5                          | 2003 |
| Mrk 177-IFU offset      | [S II] \lambda 6731 | −18 ± 6                         | 360                             | 22 ± 11                         | 2013 |

\textsuperscript{a}Name of spectrum. Mrk 177 and SDSS1133 are from the SDSS. Mrk 177 IFU-offset is taken using the SNIFS IFU at the same radial offset from the nucleus of Mrk 177 as SDSS1133 (but in the opposite direction) and with the same aperture size. SDSS1133-IFU is taken of SDSS1133 with Mrk 177 IFU-offset subtracted, leaving only the broad lines to fit.

\textsuperscript{b}Offset from the measured [O III] line in Mrk 177.

\textsuperscript{c}All of the narrow lines measured were consistent with the instrumental resolution.

\textsuperscript{d}Luminosity assuming a distance of 28.9 Mpc.

a broad H\alpha component consistent with little or no velocity offset; thus, in the recoiling AGN scenario we can assume that either the recoil kick was directed largely in the transverse direction or that the AGN is on a bound orbit near turnaround. While high-velocity kicks (> 1000 km s\textsuperscript{-1}) are expected to be quite rare, GW recoil velocities of 100–200 km s\textsuperscript{-1} should be relatively common. Lousto et al. (2012) calculate that, even if the progenitor SMBH spins are mostly aligned prior to their merger.
Figure 9. Broad-line region of SDSS1133 in Hβ (left) and Hα (right) from SDSS in 2003, Keck in 2013, and the MMT in 2014. The spectra have been smoothed to the same spectral resolution, offset to the same continuum level, and plotted on a log scale in the ordinate. There is evidence of blueshifted absorption in the Hβ and Hα regions at −3000 to −8000 km s\(^{-1}\) in almost all of the spectra except the 2003 SDSS spectra. The total broad Hβ emission dropped by 10–20 per cent between 2003 and 2013–2014, whereas the total broad Hα emission dropped by 65–70 per cent.

Figure 10. Calcium near-IR triplet region of SDSS1133 as observed in 2003 (left) and 2013 (middle left), showing line fits to O I\(\lambda 8446\) and Ca II\(\lambda\lambda 8498, 8452, 8662\). The 2003 spectra shows broader emission. Fits to [Fe II]\(\lambda 7155\) and [Ca II]\(\lambda\lambda 7291, 7324\) in 2003 (middle right) and 2013 (right) are also shown. No emission lines are detected in 2003, whereas the 2013 spectrum exhibits strong, narrow emission consistent with the resolution of the instrument (220 km s\(^{-1}\)).

Table 3. Source properties.

| Property                  | AGN | LBV Outburst | SNe |
|--------------------------|-----|--------------|-----|
| Bright (>−13 mag) emission for 51 yr | Y   | Y            |     |
| Optical drop of 2.2 mag in 1 yr | R   | Y            | Y   |
| Peak optical mag of −16  | Y   | Y            |     |
| <20 pc point-source emission | Y   | Y            | Y   |
| Constant UV emission for a decade | Y   |              |     |
| Constant \(g−i\) colour for a decade | Y   |              |     |
| Changing broad-line width | Y   |              | Y   |
| Broad Hα (>1000 km s\(^{-1}\)) for a decade | Y   | R            |     |
| Broad-line Balmer decrement change | R   | Y            |     |
| Narrow [O III] emission | Y   | R            |     |
| Late-time narrow [Fe II] emission | R   | R            |     |
| Late-time narrow [Ca II] emission | R   | R            |     |
| P-Cygni blueshifted absorption | R   | Y            |     |
| \(i−K_s\) colour = 2.5 mag | Y   | R            |     |
| 0.3–10 keV/Hα ratio ≈ 1 | R   | Y            |     |

\(^aR\) indicates rarely, \(Y\) indicates common, and blank indicates never observed among this type of source.

(thus reducing the kick speed), 100–200 km s\(^{-1}\) kicks should be produced in 21–28 per cent of SMBH mergers (assuming a cosmologically motivated distribution of SMBH mass ratios). These would correspond to bound or marginally unbound recoil kicks. An additional 12–13 per cent of such mergers should result in recoil velocities of 200–300 km s\(^{-1}\), which would leave the recoiling AGN unbound from its host.

The accretion rate on to a recoiling AGN should decrease monotonically with time as its accretion disc diffuses outwards (unless the SMBH encounters a fresh fuel supply). Thus, even if the SMBH was accreting at the Eddington limit at the time of the kick, its accretion rate may be only a few percent of Eddington by the time it is observed as an offset AGN, several Myr later. This is consistent with the low AGN luminosity observed for SDSS1133. Note that typical offset AGN lifetimes are a few to a few tens of Myr (Blecha et al. 2011), consistent with the inferred travel time for an SMBH from the centre of Mrk 177 to the current offset (0.8 kpc) of SDSS1133, and allowing for some deceleration.
Furthermore, if the recoiling SMBH is on a bound orbit, it may be able to replenish its fuel supply on subsequent passages through the galaxy, thus extending its offset AGN lifetime (Blecha et al. 2011).

While the expected properties of recoiling AGNs are poorly understood, SDSS1133 does show many features similar to observed broad-line AGN and those expected for recoiling AGNs, including the following: (1) point-like emission for 63 yr that is on very small scales of ≲12 pc, (2) broad Fe II emission is seen in almost all Type-1 AGN spectra, (3) broad Ca II NIR triplet emission is found in 1/3 of quasars (Netzer 1990), (4) a blue power-law continuum with no detected stellar absorption lines from the host-galaxy nucleus, (5) some narrow-line optical emission-line diagnostics consistent with an AGN, (6) an SMBH mass measurement that remains constant within the uncertainties over a decade, despite changes in the continuum, (7) variability with dimming and rebrightening, (8) lack of significant colour evolution, (9) relatively constant UV luminosity, and (10) Hα luminosity consistent with a low-mass SMBH accreting at a typical rate of a few percent of $L_{\text{Edd}}$.

Since the majority (≈80–100 percent) of AGNs are variable (MacLeod 2012), and less luminous AGNs tend to be more variable (Desjardins, Sarajedini & vanden Berk 2007), one might expect SDSS1133 to show high variability. However, the drop in brightness of SDSS1133 between the last SDSS image on 2002 April 1 and the SDSS spectra taken on 2003 March 9, over a period of about a year, is quite large at 2.2–2.9 mag. While this sharp a change has been observed in a few AGNs (e.g. Gaskell 2006), the large variability and strong late-time UV emission are more consistent with tidal flares.

As an AGN, the rapid drop in luminosity between 2002 and 2003, the narrow [Fe II] lines, and the presence of [Ca II] $\lambda\lambda 7291, 7324$ emission are difficult to understand. The [Ca II] lines are rarely seen in AGNs because dust grains are presumed to suppress the Ca II emission through the substantial Ca depletion (Ferland 1993). While found in some AGNs such as I Zw 1 (Phillips 1976), the feature is rare among AGN spectra.

The total broad Hα luminosity of $7 \times 10^{40}$ erg s$^{-1}$ would make this a very low luminosity AGN, with a predicted bolometric luminosity of $\sim 10^{42}$ erg s$^{-1}$ (Greene & Ho 2007) and an Eddington ratio of 0.02. Thus, this object is similar in its gross properties to many of the very low luminosity AGNs recently discovered, which have inferred BH masses in the $10^5$–$10^6 M_\odot$ range (Reines et al. 2013).

Finally, in a variant of the recoiling AGN scenario, the extreme variability of SDSS1133 could arise from the tidal disruption of a star by the recoiling BH. This would result in a short-lived, luminous flare from the accretion of the stellar debris. Tidal disruption events are bright in the X-rays, UV, and sometimes optical (Komossa 2002; Gezari et al. 2006). For low-mass SMBHs such as SDSS1133, the flare is expected to be super-Eddington, with an estimated duration of $\sim 2$–5 yr (Ulmer 1999). Stellar tidal disruptions by recoiling SMBHs may contribute a small but non-negligible fraction to the total rate of tidal disruptions by SMBHs (Komossa & Merritt 2008; Stone & Loeb 2012).

Because SDSS1133 is detected over 63 yr, with roughly constant luminosity and broad-line emission over the past decade, this scenario requires the SMBH to have a reservoir of gas persisting before and after the event, which produces continuous AGN emission. Since the 2003 spectrum does not show any distinct features expected from tidal disruption flares (e.g. Strubbe & Quataert 2011), we conclude that any such flare must have faded in $<2$ yr from observed peak brightness. This, combined with the small decline in brightness during the 104 d between the 2001 and 2002 observations, argues against the tidal disruption scenario. More fundamentally, this scenario requires an additional rare event (a tidal disruption flare) in a highly unusual object, namely a recoiling BH in a dwarf galaxy.

4.2.2 An infalling tidally stripped dwarf or ULX?

It is theoretically possible that Mrk 177 is observed in an earlier stage of merging, such that SDSS1133 originated in a satellite galaxy falling into the host galaxy. In this case, the centre of the host galaxy could contain its own, relatively quiescent SMBH. The AO images in the $K_s$ and $Pa\beta$ bands both show an unresolved point source at the location of SDSS1133 down to spatial scales of 12 and 22 pc, respectively. This is consistent with a recoiling AGN that has left its host galaxy behind. If instead SDSS1133 is an infalling dwarf galaxy, then it must have been tidally stripped at radii $> 12$ pc, a strong constraint. Numerical models suggest that repeated strong tidal encounters with a much more massive host can remove more than 99 percent of the total initial mass of a satellite galaxy (e.g. Peharrubia, Navarro & McConnachie 2008). However, the only galaxy near SDSS1133 is Mrk 177, a dwarf galaxy with insufficient mass to be responsible for such extreme tidal stripping of a fellow dwarf companion.

When an SMBH is present, its sphere of influence sets an upper limit on the amount of stripping; even strong tidal encounters will be ineffective at removing mass where the SMBH dominates the gravitational potential. Making the first-order assumption that the dwarf galaxy followed the observed SMBH-bulge scaling relations prior to stripping, our SMBH mass estimate of $10^{6.0} M_\odot$ for SDSS1133 implies a stellar velocity dispersion of 60 km s$^{-1}$ (Tremaine et al. 2002). Using the standard definition for the size of the SMBH sphere of influence, $r_{\text{rad}} = G M_{\text{BH}}/\sigma^2$, we find $r_{\text{rad}} \approx 1$ pc. This implies that the SMBH sphere of influence is likely to be unresolved, such that a tidal dwarf remnant stripped to within a few times $r_{\text{rad}}$ cannot be ruled out. Note that extrapolation from the SMBH-bulge scaling relations (H{"a}ring & Rix 2004) implies a
progenitor galaxy for SDSS1133 larger than Mrk 177. However, the value of \( \sigma_* \) inferred from Tremaine et al. (2002) is likely an upper limit. Tidal stripping and stellar feedback can decrease the velocity dispersion (e.g. Navarro, Eke & Frenk 1996a; Peñarrubia et al. 2009; Zolotov et al. 2012), and the progenitor may also have been somewhat less massive. All of these would yield a larger radius of influence. Specifically, a factor of 4 decrease in velocity dispersion from the above estimates would imply that the SMBH sphere of influence is at least marginally resolvable (\( r_{\text{rad}} \approx 7–19 \mathrm{pc} \)). Therefore, the tidal stripping scenario is very strongly constrained by the need for both an unidentified massive galaxy to strip the SDSS1133 progenitor and for a compact, unresolved SMBH sphere of influence.

In contrast, a recoiling SMBH ejected from a spherical stellar distribution will only retain stars within a radius \( r \approx (\sigma_* / v_t)^2 r_{\text{rad}} \). For a Bahcall–Wolf profile normalized such that \( M(r < r_{\text{rad}}) = 2M_{\text{BH}} \) (Bahcall & Wolf 1976), a kick of 200 km s\(^{-1}\) would only allow the inner 0.003 pc of the stellar cusp, or a few thousand M\(_{\odot}\), to remain bound to the SMBH. Thus, in the recoil scenario the stellar cusp would not be resolved under any circumstances.

Interpreting SDSS1133 as a ULX, it is either accreting ambient gas (i.e., indistinguishable from an AGN discussed in previous scenarios) or accreting from a stellar companion (which is untenable for our large inferred BH mass \( 10^6 \mathrm{M}_{\odot} \)). The most extreme ULX is HLX-1 (ESO 243-49), with \( L_X = 2 \times 10^{42} \mathrm{erg \ s^{-1}} \) and a BH mass of \((3–300) \times 10^5 \mathrm{M}_{\odot}\) (Farrell et al. 2009), making it the most convincing example of an IMBH. This BH mass is significantly lower than that inferred for SDSS1133. HLX-1 is offset from its host-galaxy centre by \(-3 \mathrm{kpc}\) (in projection), and may reside in a tidally stripped dwarf galaxy that is merging with the host galaxy (e.g. Mapelli, Zampieri & Mayer 2012). In principle, the AGN in SDSS1133 could have a similar origin, such that its host galaxy was tidally stripped long ago, but the point-like AO observations and lack of nearby massive galaxies place strong constraints against this. If SDSS1133 were a ULX, it would be the first ever to be observed with broad Balmer lines (Roberts et al. 2011). Given the inferred BH mass of SDSS1133, as a ULX it is extremely X-ray weak, with a 2–10 keV to V-band flux ratio of \(-100\); this is below that of most ULXs, though some in their low states such as M101-ULX1 and M81-ULX1 have observed ratios similar to this (Tao et al. 2012).

### 4.3 Frequency of objects like SDSS1133

A survey of all 3579 low-redshift (\( z < 0.3 \)) broad emission-line objects (>1000 km s\(^{-1}\) FWHM; Stern & Laor 2012) found only two objects offset from the host-galaxy nucleus: SDSS1133 along with a very close dual AGN Mrk 739 (Koss et al. 2011). The low frequency of detections of spatially offset broad-line sources found in the SDSS quasar survey (1/3579, \(<0.1\) percent) does not exclude the possibility of a significant population. The small physical separation of 800 pc found for SDSS1133 can only be resolved at very low redshift with the imaging of the SDSS (at \( z < 0.03, 1.3 \text{arcsec} \approx 800 \mathrm{pc} \)). The broad-line luminosity of SDSS1133 is among the weakest dozen of the SDSS sample, and at the current reduced brightness of SDSS1133 it would be too faint for the survey (Richards et al. 2004). Future space-based surveys such as Euclid and WFIRST will obtain high-resolution images with precision astrometry of large areas of the sky, enabling them to probe the nuclear regions of nearby galaxies for offset point sources. Both have IR grisms as part of their wide-field surveys to cover broad AGN lines in the NIR such as the Paschen lines.

### 5 CONCLUSION

SDSS1133 has many observed properties consistent with a recoiling AGN, but it also has some properties that favour interpretation of this source as an eruptive LBV star outbursting for decades followed by a Type Iln SN. SDSS1133 would be the longest LBV eruption ever observed and have a much greater late-time H\alpha luminosity than even extreme events like SN 1988Z. SDSS1133 has recently undergone a 1 mag rebrightening in PS1 images, suggesting that the coming years will be critical to understand the true nature of this source. Future high-spatial resolution and high sensitivity UV, X-ray, and radio observations of SDSS1133 are critical for constraining the source nature. In the UV, the measurement of strong N V \( \lambda 1240 \) and (especially) broad C IV \( \lambda 1550 \) emission would decisively favour the AGN interpretation. Additionally, high-resolution UV images could determine whether the strong UV emission and prominent narrow-line emission in [O i], [O ii], and [O iii] is produced by a compact H\(_\upalpha\) region or a young star cluster not seen in the NIR AO image. High-sensitivity X-ray observations could differentiate between softer thermal X-ray emission from an SN shock and an AGN power law.

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