The Role of Telemedicine to Alleviate an Increasingly Burdened Healthcare System: Retinopathy of Prematurity

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ABSTRACT

Telemedicine-based remote digital fundus imaging (RDFI-TM) offers a promising platform for the screening of retinopathy of prematurity. RDFI-TM addresses some of the challenges faced by ophthalmologists in examining this vulnerable population in both low- and high-income countries. In this review, we studied the evidence on the use of RDFI-TM and analyzed the practical framework for RDFI-TM systems. We assessed the novel technological advances that can be deployed within RDFI-TM systems including noncontact imaging systems, smartphone-based imaging tools, and deep learning algorithms.

Keywords: Artificial intelligence; Remote digital fundus imaging; Retinopathy of prematurity; Telemedicine

Key Summary Points

Over the last 20 years, telemedicine-based remote digital fundus imaging evaluation for ROP gradually transitioned from being a research tool to becoming a routinely used method of ROP screening alongside ophthalmoscopy.

Telemedicine has increased the accessibility of screening for ROP by complementing the screening effort in high-income countries and by overcoming geographic and resource limitations in low-income countries.

Novel noncontact digital cameras and smartphone-based imaging systems have the potential for improving image acquisition in telemedicine-based ROP screening programs.

Deep learning in ROP screening holds great promise but remains a research tool at the moment. Currently available algorithms have demonstrated capacity to accurately and consistently detect plus disease, a diagnostic classification that expert ophthalmologists frequently disagree on.
INTRODUCTION

Retinopathy of prematurity (ROP) is a neovascular retinal disorder that occurs in low birth weight premature infants. It is a leading cause of childhood blindness in industrialized as well as developing nations [1]. In the USA, between 2000 and 2012, the incidence of ROP rose from 15% to 20% [2]. Elsewhere in the world, an increase in premature birth rates coupled with higher neonatal intensive care unit (NICU) admissions has also led to a substantial increase in the number of cases [3]. These increasing trends are largely hypothesized to be related to the advancement of neonatal care enabling higher survival rates among premature newborns worldwide [2], thus leading towards a growing ROP epidemic worldwide [3].

Current guidelines from the American Academy of Ophthalmology (AAO) and the American Academy of Pediatrics (AAP) recommend that infants with gestational age (GA) 30 weeks or less, birth weight 1500 g or less, or a complicated clinical course be screened for ROP [1]. Fundoscopic examination using binocular indirect ophthalmoscopy (BIO) is typically carried out by an ophthalmologist with expertise in the evaluation of ROP. The first examination is performed at 31 weeks postmenstrual age for infants with GA 27 weeks or less at birth, and at 4 weeks chronological age for infants born at GA 27 weeks or older [1]. The follow-up schedule depends on the level and course of maturation of the retina.

BIO examination by a trained ophthalmologist remains the gold standard for the screening of ROP. However, conventional screening methods have faced great difficulties in the last two decades [4]. In high-income countries, the number of ophthalmologists performing ROP screening has decreased significantly amid lowering reimbursement and increasing liability claims related to ROP [5]. Worldwide, the scarcity of trained ophthalmologists to screen and treat ROP is a major hurdle [6]. Additional challenges include geographic limitations (rural areas) which limit access for direct screening, difficulty implementing the infrastructure to systemically screen and track patients with electronic hospital systems, and an unknown disease burden [6]. Over the years, there has been significantly increasing interest in telemedicine-based remote digital fundus imaging (RDFI-TM) evaluation for ROP [7]. Telemedicine screening systems can reach infants in settings where in-person examination might not be readily accessible both in high- and low-income countries. Digital fundus images obtained by trained photographers are sent to a remote location for analysis by an ophthalmologist or a trained grader. Bedside examination would then be limited to cases of poor image readability and cases with high-risk features requiring treatment [8].

Currently, the only validated ocular telehealth programs widely recognized and applied are for diabetic retinopathy (DR) [9]. These are being implemented with four major categories of validation defined by the American Telemedicine Association (ATA) following stringent guidelines where threshold sensitivity and specificity for validation categories 1 and 2 need to be 80% and 95% or higher, respectively [10]. Although no such criteria exist yet for ROP tele-screening, it is hoped that they will develop in the foreseeable future, since ROP is a disease that is well suited for successful screening programs owing to high disease burden in premature infants, its progression in a stepwise and documentable manner, and significant visual benefits from early treatment [1].

The explosion of publications in recent years in this domain demonstrate the growing interest and utility of telemedicine in this field of ophthalmology. In this review, the authors sought to discuss landmark advances in the field to highlight the realities of telemedicine screening of ROP both in developed and developing countries. The purpose of this narrative review was to provide an overview of the role of telemedicine in the management of retinopathy of prematurity (ROP) by bringing together seminal articles in the literature pertaining to the need for telemedicine in ROP, the methods of implementation, the outcomes from already established programs and by discussing its limitations, and the future of the field in light of the arrival of machine learning. While by no means a systematic review, this paper sought to
provide an overview of the transformation of telemedicine-based screening for ROP from a research tool to a routinely used screening method.

DISEASE CLASSIFICATION AND REFERRAL-WARRANTED ROP

The goal of ROP screening is to recognize cases of potentially damaging ROP that necessitate treatment. ROP is classified according to the International Classification of Retinopathy of Prematurity (ICROP) that describes the disease by location (zone), stage, and extent [11]. Lower zone numbers and higher stage numbers indicate more severe ROP (Table 1). Plus disease refers to marked arteriolar tortuosity and venous engorgement of the posterior pole vasculature and signifies severe disease. Pre-plus disease refers to dilatation and tortuosity that are abnormal but less than plus disease. The first large treatment trial for ROP, the CRYO-ROP study, recommended treatment with cryotherapy when disease reached threshold severity. Threshold ROP in this study was defined as at least five contiguous or eight cumulative clock hours of stage 3 ROP in zones I and II, in the presence of plus disease (Table 2) [12]. Current treatment guidelines are based on the findings of the Early Treatment for Retinopathy of Prematurity (ETROP) trial published in 2003 [13]. Type 1 ROP refers to ROP that requires treatment and type 2 ROP refers to eyes that may be carefully monitored without treatment [13]. Eyes requiring treatment are those with any stage ROP in zone I with plus disease, stage 3 ROP in zone I without plus disease, and stage 2 or 3 ROP in zone II with plus disease [13]. Eyes that can be monitored are those with stage I or 2 ROP in zone I without plus disease and those with stage 3 ROP in zone II without plus disease.

Table 1  International Classification of Retinopathy of Prematurity (ICROP) includes five parameters: zone, clock hours, stage, plus and pre-plus disease

| Parameter     | Description                                                                 | Classification                                                                 |
|---------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| Zone          | Refers to the location of the disease in the retina, classified in Roman numerals | Zone I: posterior retina within 60° from the optic disc                             |
|               |                                                                             | Zone II: concentric circle extending from zone I to the nasal ora serrata        |
|               |                                                                             | Zone III: the remaining temporal retina                                           |
| Stage         | Refers to the severity of abnormal vascularity, classified in Arabic numerals | Stage 1: demarcation line between the vascularized and non-vascularized retina   |
|               |                                                                             | Stage 2: demarcation line with elevation                                          |
|               |                                                                             | Stage 3: demarcation line with fibrovascular proliferation                      |
|               |                                                                             | Stage 4: partial retinal detachment not involving the fovea (4a) or involving the fovea (4b) |
|               |                                                                             | Stage 5: total retinal detachment                                                 |
| Clock hours   | Refers to the extent of the developing vasculature quantified from 1 to 12 clock hours |                                                                                |
| Plus disease  | Arterial tortuosity and venous dilation in the posterior pole equal to or greater than in the consensus standard photograph |                                                                                |
| Pre-plus disease | Abnormal vasculature not meeting the criteria for plus disease              |                                                                                |
| Description | Classification | Studies and use |
|-------------|----------------|-----------------|
| Threshold, type 1 and type 2 ROP | Threshold ROP | On the basis of the CRYO-ROP study, eyes with threshold ROP benefited from therapy [12]. On the basis of the ETROP study, type 1 ROP indicates the need for treatment within 48–72 h. Type 2 ROP can be closely observed [13] |
| Prethreshold type 1 ROP | Zone I with any stage ROP with plus disease Zone I with stage 3 ROP without plus disease Zone II with stage 2 or 3 ROP with plus disease | |
| Prethreshold type 2 ROP | Zone I with stage 1 or 2 ROP without plus disease Zone II with stage 3 ROP without plus disease | |
| Referral-warranted ROP (RW-ROP) | Zone I ROP ROP stage 3 or greater in any zone Presence of plus disease | The term RW-ROP was introduced by Ells et al. in 2003 and is applicable to RDFI-TM systems. Eyes meeting criteria for RW-ROP must be referred for a bedside BIO examination [14] |
| Clinically significant ROP (CSROP) | Zone I, any ROP, without vascular dilation or tortuosity Zone II, stage 2 or 3, with up to one quadrant of vascular dilation and tortuosity | CSROP was defined in the Photo-ROP study [16]. CSROP, as a telemedicine referral threshold, indicates the need for BIO bedside examination. CSROP contains criteria from type 1 ROP in addition to other factors serving as a “buffer zone”, minimizing the risk of missing treatable disease |
| Suspected treatment-requiring ROP (STR-ROP) | Threshold ROP in zone II Prethreshold ROP in zone I | The term STR-ROP was introduced in Germany by Lorenz et al. in 2009 [15]. The definition of STR-ROP is narrower than RW-ROP and can avoid unnecessary referrals, at the expense a lower level of safety |
| Treatment-warranted ROP (TW-ROP) | Type 1 ROP Any plus disease Any stage 4 or higher disease | The definition TW-ROP is used in the SUNDROP live RDFI-TM screening program. The definition of TW-ROP intersects with the definition of type 1 ROP, for the most part. Eyes meeting criteria for TW-ROP must be referred for a bedside BIO examination and potential treatment [17] |
Current telemedicine screening programs aim to identify all infants with high-risk characteristics who need in-person BIO examination for consideration of treatment. The concept of referral-warranted ROP (RW-ROP) was introduced by Ells et al. in 2003 for the detection of severe ROP features on retinal images [14]. The features of RW-ROP include the key components of type 1 and type 2 ROP as defined by the ETROP trial. Eyes that warrant a referral for a bedside examination are those with zone I ROP, ROP stage 3 or greater in any zone, and those with plus disease [14]. Alternative classifications include suspected treatment-requiring ROP (STR-ROP), clinically significant ROP (CSROP), and treatment-warranted ROP (TW-ROP) [15–17].

CSROP was defined in the Photo-ROP study as a telemedicine referral threshold indicating the need for BIO bedside examination [16]. CSROP contains criteria from type 1 ROP in addition to other factors serving as a “buffer zone” minimizing the risk of missing treatable disease and is defined as any ROP in zone I without vascular dilation or tortuosity, and, ROP stage 2 or 3 in zone II, with up to 1 quadrant of vascular dilation or tortuosity. STR-ROP was proposed by Lorenz et al. in Germany in 2009 [15] as threshold ROP in zone II or prethreshold ROP in zone I. This definition is narrower than RW-ROP and can avoid unnecessary referrals, at the expense a lower level of safety. Finally, TW-ROP was used in the SUNDROP live RDFI-TM screening program. The definition of TW-ROP intersects with the definition of type I ROP, for the most part. In addition to type 1 ROP, TW-ROP also includes eyes with any plus disease and any stage 4 or higher disease [17]. While there is significant overlap between the different disease classifications, those cannot be used interchangeably and, as such, comparison between studies cannot be directly made.

METHODOLOGY

The authors conducted this retrospective study by a systematic review of studies of RDFI-TM systems without meta-analysis. Articles published on the PubMed database in the last two decades found using the search terms “telemedicine” and “retinopathy of prematurity” were reviewed. All the included studies employed independent masked image graders and used ophthalmoscopic examination as the reference standard. The inclusion criteria were case–control study, meta-analysis, cohort study, clinical trials, practice guidelines, and reviews. The exclusion criteria were case reports, letters, or overviews. The authors chose to discuss only landmark studies, those that best illustrate the RDFI-TM paradigm and its evolution over time. Table 3 provides a summary of those studies and highlights important features such as the standard reference used, information about image acquisition and grading, output, and results. This review article is based on previously conducted studies and does not contain any studies with human participants or animals performed by any of the authors.

EVIDENCE FOR RDFI-TM IN HIGH-INCOME COUNTRIES

In 2003, Ells et al. published a pilot study to evaluate the use of RDFI in the diagnosis of RW-ROP. In a study that included 44 infants, digital photographs using RetCam-120 were obtained directly after standard BIO examination by the same examiner. A masked independent pediatric ophthalmologist located in a remote location graded and interpreted the photographs. RW-ROP was detected with a sensitivity of 100% and a specificity of 96% [14]. This study was the first to demonstrate the promising role of RDFI for telemedicine-based screening of ROP. Three years later, in 2006, Chiang et al. also used a RetCam-120 and compared it to the gold standard BIO examination [18]. In contrast to Ells’ previous study, an ophthalmic photographer instead of the examiner captured the photographs of the 64 subjects [14]. Masked interpretation by three image readers resulted in a mean sensitivity of 77% and a specificity of 96% for the detection of type 2 or worse ROP. Average sensitivity and specificity were better for the detection of treatment-requiring type 1 or worse ROP, with 87% and 96%, respectively.
Table 3 Summary of the evidence for RDSI-TM systems in high-income countries

| Author, year | Standard reference | Image acquisition | Image grading | Infants (n) | Outcome | Results |
|--------------|--------------------|-------------------|---------------|-------------|---------|---------|
| Ells et al., 2003 [14] | BIO Ophthalmologist | 1 masked pediatric ophthalmologist | 44 | Detection of RW-ROP | 100% sensitivity and 96% specificity |
| Chiang et al., 2006 [18] | BIO Ophthalmic photographer | 3 masked pediatric ophthalmologists | 64 | Detection of type 1 and type 2 or worse ROP | 87% sensitivity and 96% specificity for type 1 or worse ROP; 77% sensitivity and 96% specificity for type 2 or worse ROP |
| Wu et al., 2006 [19] | BIO Ophthalmologist or ophthalmic photographer | 1 masked pediatric ophthalmologist | 43 | Detection of type 2 ROP or worse | 100% sensitivity and 97% specificity |
| Chiang et al., 2007 [20] | BIO Trained NICU nurse | 3 pediatric retinal ophthalmologists | 67 | Detection of type 1 and type 2 or worse ROP, at 31–33 weeks GA and 35–37 weeks GA | For type 1 ROP or worse, 100% sensitivity and 89% specificity (35–37 weeks). For type 2 ROP or worse, 76% sensitivity and 96% specificity (31–33 weeks), and, 100% sensitivity and 91% specificity (35–37 weeks) |
| Photo-ROP, 2008 [16] | BIO Ophthalmologist | 2 masked ROP experts | 51 | Detection of CSROP | 92% sensitivity and 37% specificity |
| Dhaliwal et al., 2009 [22] | BIO Ophthalmologist | By the ophthalmologist acquiring the images | 81 | Detection of plus disease and stage 3 ROP or worse | 57% sensitivity and 68% specificity for stage 3 ROP or worse; 80% sensitivity and 98% specificity for plus disease |
| Lorenz et al., 2009 [15] | BIO Ophthalmologist | 1 masked pediatric ophthalmologist | 1222 | Detection of STR-ROP | 100% sensitivity for STR-ROP and 82% PPV for referred eyes requiring treatment |
Also in 2006, Wu et al. published the results of their cohort study that included 43 infants with bilateral examinations. Images were obtained by a pediatric ophthalmologist or an ophthalmic photographer and they were graded by a different pediatric ophthalmologist. No cases of threshold or plus disease were missed. The sensitivity was 100% and the specificity was 97% compared with BIO examination [19]. Unlike previous studies that relied on ophthalmic professionals for image acquisition, in the 2007 study by Chiang et al., images were obtained by a trained NICU nurse. The prospective cohort consisted of 67 infants. Sets of three to five wide-angle photographs obtained by a trained nurse were read by three pediatric retinal specialists [20]. Compared to standard BIO examination by a pediatric ophthalmologist, the average sensitivity and specificity varied depending on the date of image acquisition. For examinations performed at 31–33 weeks postmenstrual age, the mean sensitivity for detection of type 2 or worse ROP was 76% and the specificity was 96%. The sensitivity was better for the 35–37 week photos, reaching 100% sensitivity and 91% specificity for the detection of type 2 or worse ROP. Similarly, the average sensitivity for type 1 or worse ROP was 100% and the specificity was 89% [20]. The Photographic Screening for Retinopathy of Prematurity (Photo-ROP) study was a prospective multicentric study published in 2008 that analyzed 300 examinations from 51 infants obtained between 2001 and 2002 [16]. Photographs were taken by an ophthalmologist and graded by two masked ROP specialists. The ability to detect CSROP, as defined in the study, was as follows: 92% sensitivity and 37% specificity. In other words, the screening program was excellent at catching CSROP when the image quality was high [16]. However, the low specificity suggested a high false positive rate, meaning that many infants were referred for BIO examination when they did not need it.

Results in other high-income countries such as the UK, New Zealand, and Germany, using similar study designs, also yielded strong results for ROP detection using RDFI-TM [15, 21, 22]. In the UK, Dhaliwal et al. performed a study in which two ROP specialists were randomized to using either wide-angle retinal photography or standard BIO examination in the screening and

| Author, year | Standard reference | Image acquisition | Image grading | Infants (n) | Outcome | Results |
|--------------|--------------------|-------------------|---------------|-------------|---------|---------|
| Dai et al., 2011 [21] | BIO | Ophthalmologist | 1 masked pediatric ophthalmologist | 108 | Detection of type 1 ROP or worse | 100% sensitivity, 98% specificity; 88% PPV and 100% NPV |
| e-ROP, Quinn et al., 2014 [23] | BIO | Nonphysician personnel including NICU nurses, ophthalmic photographers and technicians | 2 trained, masked non-physician readers | 1257 | Detection of RW-ROP | 90% sensitivity and 87% specificity; 63% PPV and 97% NPV |

BIO binocular indirect ophthalmoscopy, ROP retinopathy of prematurity, RW-ROP referral-warranted ROP (see Table 2), NICU neonatal intensive-care unit, GA gestational age, CSROP clinically significant ROP (see Table 2), STR-ROP suspected treatment-requiring ROP (see Table 2), NPV negative predictive value, PPV positive predictive value
management of ROP [22]. No remote image grading was performed. Sensitivity for retinal photography to detect stage 3 ROP or worse was 57%, lower than in most studies. Nonetheless, there was 80% sensitivity and 98% specificity for the detection of plus disease [22]. In Germany, long-term results from a multicentric study that included 1222 infants were published by Lorenz et al. in 2009 [15]. Images were acquired by ophthalmologists and grading was performed by a masked experienced pediatric ophthalmologist in a remote reading center. Compared to BIO examination, the sensitivity for the detection of STR-ROP was 100%. Interestingly, among the eyes referred for BIO evaluation, 88% required laser treatment, yielding a very high positive predictive value (PPV) for this referral criteria [15]. In New Zealand, Dai et al. published a study that included 422 examinations from 108 infants who were screened using RDFI-TM and concurrent BIO examinations, performed by one ophthalmologist [21]. Image grading was performed remotely by a masked pediatric ophthalmologist. Compared to BIO examination, the sensitivity for detecting type 1 ROP or worse was 100% and the specificity was 98%. The negative predictive value (NPV) was 100%, meaning that no cases of type 1 ROP or worse were erroneously classified as normal [21].

For the first time, in 2014, the Telemedicine Approaches to Evaluating Acute-Phase ROP (e-ROP) study demonstrated the validity of RDFI-TM image grading by nonphysician readers in a large multicentric study that included 5520 examinations from 1257 infants. Images were also acquired by nonphysician personnel including NICU nurses and ophthalmic photographers and technicians. The outcome measure was the detection of RW-ROP. The sensitivity was 90% and the specificity was 87%. The negative and positive predictive values were 97% and 63%, respectively [23].

The early studies on the effectiveness and safety of RDFI-TM systems for the screening of ROP laid the groundwork for the currently available live screening programs. One of the largest currently available programs implementing RDFI-TM is the Stanford University Network for Diagnosis of Retinopathy of Prematurity (SUNDROP) [17]. Images are acquired by trained NICU nurses in six satellite NICUs located across Northern California. Images are sent to the Stanford University Byers Eye Institute reading center for remote interpretation by a ROP specialist. In the SUNDROP program, the telemedicine-based screening is the sole in-hospital screening technique. However, as stipulated in the AAO/AAP guidelines, a BIO examination is obtained at discharge. This examination helped serve as a standard reference in the evaluation of the screening program’s outcome which was the detection of TW-ROP [17].

Six-year data from the SUNDROP program were published by Wang et al. in 2015. During the 6 years, 2169 examinations of 1216 eyes (608 infants) were performed, generating 26,970 retinal images. The rate of TW-ROP was 3.6%. Compared to BIO examination the sensitivity of the screening program was 100%, the specificity 99.8%, the positive predictive value 95.5%, and the negative predictive value 100%. This real-world data is the strongest evidence that telemedicine is safe and reliable for the screening of ROP [17].

UNIQUE APPROACHES FOR RDFI-TM IN MIDDLE- AND LOW-INCOME COUNTRIES: THE KIDROP EXAMPLE

In 2010, an estimated 184,700 premature infants developed ROP worldwide, of whom 7% developed mild-to-moderate visual impairment and 11% became blind from the disease [24]. Rapid medical progress in many middle- and low-income countries has resulted in service expansion for neonates leading to increased survival of premature infants. However, the lack of structured frameworks for ROP screening in those countries has led to a significant increase in the incidence of the disease [6].

Some of the challenges faced by countries like India for managing ROP include lack of awareness for the disease among care-givers, inadequately trained personnel for screening and treatment, geographic limitations and lack
of resources in rural areas, and large numbers of infants to screen since ROP manifests in heavier weight premature infants (birth weight 2000 g and less) [3, 6, 25]. One successful program to address ROP screening in India has been the Karnataka Internet Assisted Diagnosis of ROP (KIDROP) program. Since its inception, this non-traditional RDFI-TM system has allowed the screening of more than 45,000 infants from 126 centers in Southern India and the treatment of 2250 infants [6]. The program employs ROP imagers who travel with mobile units to visit specific NICUs on a fixed schedule. On average, each team would visit 16–28 NICUs weekly and travels around 1500 km each week [25]. The imagers consisted of pediatric optometrists and ophthalmic photographers who received a three-level accreditation that takes up to 90 days to complete [26]. Image acquisition would be performed by the imagers using a RetCam camera and interpretation would be performed in real time by the same trained imager. Decision triage was based on specific criteria: red (type 1 ROP or worse, requiring urgent evaluation by an expert), orange (type 2 ROP, requiring follow-up), and green (discharge). This provided the parents with an immediate management plan with a diagnosis and next date for follow-up, thereby decreasing the administrative burden of communicating the decisions at a later time to the parents [25].

As a safety net, images were also transferred to a remote online platform accessible by smartphone for near-live reports by ROP experts. Images from severe cases were uploaded first and the turnover cycle from uploading to obtaining expert opinion was less than 10 min in some cases [26]. Results from the screening of 1601 infants in KIDROP program were published in 2014 by Vinekar et al. [25]. The highest-level technicians agreed with 94% of expert decisions. For the detection of type 1 ROP or worse, the sensitivity was 96%, the specificity 93%, and the positive and negative predictive values were 82% and 99%, respectively. As such, fewer than 1% of infants requiring treatment would have been missed [25].

**FRAMEWORK FOR LIVE RDFI-TM PROGRAMS**

The AAO/AAP guidelines for telemedicine for the evaluation of ROP describe the practical framework for RDFI-TM systems [7]. The guidelines are described in this ensuing section in light of recent advances in smartphone imaging.

Dilation is performed with phenylephrine 2.5% and tropicamide 1%, or Cyclomydril (cyclopentolate 0.2% with phenylephrine 1%; Alcon Laboratories, Fort Worth, TX). Six clear images per eye are captured, centered on the following locations: anterior segment and iris (to assess dilation and other pathology), optic disc, temporal retina, nasal retina, superior retina, and inferior retina [7]. Image acquisition is often performed by trained NICU nurses, information technology personnel, or other caregivers. The guidelines stipulate that nurse team members should be trained by a certified ophthalmic photographer or someone familiar with fundus photography [7]. Given the absence of formal certification programs, oversight by the ophthalmologist is often required to provide insight about the quality of the acquired images. While nonphysician image graders have been used within clinical trials (e-ROP, Quinn et al.), current guidelines recommend that remote image grading should only be done by ophthalmologists [7, 23]. The absence of a formal certification process prevents the routine use of nonphysician graders.

The gold standard camera currently used is the RetCam family of cameras (Clarity Medical Systems, Pleasanton, CA, USA). This system is contact-based and uses a coupling agent between the camera lens and cornea. Wide-angle photography with a 130° field-of-view of the fundus is preferred. Recently, novel noncontact digital cameras and smartphone-based imaging systems were developed for imaging the pediatric retina [27–31]. An RDFI-TM system based on the Pictor noncontact camera has been shown to have high sensitivity and specificity for detecting pre-plus and plus disease, at levels comparable to RetCam-based RDFI [29].
Similarly, smartphone-based imaging systems like RetinaScope have also been used to image premature infants. The device captures 50° fundus images which can be stitched together to generate a wide-field montage of the retina [28]. In 2019, Patel et al. tested RetinaScope’s ability to detect plus disease in patients with ROP [27]. Fifty-four eyes of 27 premature infants were imaged using RetinaScope. Images were reviewed by two masked ROP specialists who determined the image quality and the presence or absence of ROP and plus disease. Images were considered acceptable or excellent in more than 95% of cases. There was strong intergrader agreement for the presence of any retinopathy on the digital images (Cohen’s \( \kappa = 0.92 \)). Also, there was substantial agreement between image-based diagnosis and standard BIO examination for the detection of plus disease (\( \kappa = 0.85 \)) [27].

In parallel, the MII Ret Cam device has also emerged as a powerful tool in smartphone-based imaging in ROP [32, 33]. The device is used with a 20-diopter lens and a smartphone in video mode (with flash) to perform indirect ophthalmoscopy by an ROP specialist. In a pilot study of 20 premature infants (27 eyes), still pictures obtained with the MII Ret Cam device were extracted from the videos and analyzed by two masked ROP specialists. There was strong intergrader agreement between the gold standard BIO examination and the photographic evaluation (Cohen’s \( \kappa = 1.0 \)) for referable cases and moderate agreement (\( \kappa \) range, 0.62–0.78) for staging, zone, and plus disease status [31].

**DATA TRANSFER**

Currently, the most widely used model for RDFI-TM for ROP is the store-and-forward (asynchronous) model. Image acquisition and image grading are separated in time and place. Data is transferred over the internet and reading physicians are notified by e-mail, fax, or telephone. Data from the infant’s chart like birth weight, gestational age, weight, and medical history are sent along with the photos. Physicians are required to provide an “impression” and recommendations within a pre-set time frame and must recognize and follow up on non-ROP incidental ocular findings such as optic nerve colobomas [7]. Currently, eligibility for screening termination cannot be determined using RDFI-TM systems. As such, each infant who has undergone ROP screening must be examined using BIO before discharge or within 72 h of discharge, as defined by the AAO guidelines [1]. The cessation of ROP surveillance in those infants should be expected to decrease the burden for ophthalmologists, infants, and their families.

**ARTIFICIAL INTELLIGENCE IN ROP**

The diagnostic ability of ophthalmologists grading images in an RDFI-TM system depends on their capacity to recognize clinical patterns based on retinal appearance and vascular architecture. In a similar fashion, computer-based image analysis (CBIA) systems of fundus images can generate a diagnostic output based on predetermined image characteristics [34–36]. In the past decade, systems like ROPTool, Vessel Map, Retinal Image multiScale Analysis (RISA), and Computer Assisted Image Analysis of the Retina (CAIAR) have emerged to help clinicians recognize plus disease [37]. These systems consisted of feature extraction-based systems that quantified vascular dilation and tortuosity into a value that had diagnostic agreement with the clinical diagnosis of ROP as established by multiple expert clinicians (standard reference) [38]. Although promising, the accuracy of these systems’ outputs alone was not sufficient for wide adoption at the bedside or within RDFI-TM systems.

More recently, models using artificial intelligence (AI) have been developed to recognize plus disease [38]. Table 4 provides a summary of major machine learning models developed for the detection of plus disease in ROP. Machine learning is a subtype of AI that can be applied to image analysis in RDFI-TM systems. Supervised machine learning describes algorithms that can apply what has been learned in the past to new data using labeled examples [39]. In 2015, Ataer-Cansizoglu et al. described the Imaging and Informatics in ROP (i-ROP) model that uses...
support vector machine (SVM), a type of supervised machine learning that was trained to classify images on the basis of manual tracing and segmentation of the retinal vessels as an input. The model had 95% accuracy (experts: 96%, 94%, and 92%) for classifying plus disease compared to reference standards as determined consensus of three expert ROP image graders and clinical examination.

In 2016, Worall et al. demonstrated a plus disease detection tool using deep learning by employing convolutional neural networks (CNNs) [40]. CNNs automatically extract various type of image features that might be indicative of disease, as learned from a training set of images [39]. Worall et al. used real-world datasets from Canada (1459 images) and the UK (106 images) labeled in a binary fashion: plus vs. normal.

### Table 4 Summary of major machine learning models developed for the detection of plus disease in ROP

| System          | Reference            | Type of machine learning | Algorithm | Output Vascular feature analyzed | Results | Limitations                                                                 |
|-----------------|----------------------|--------------------------|-----------|---------------------------------|---------|-----------------------------------------------------------------------------|
| i-ROP, Ataer-Cansizoglu et al., 2015 [52] | Consensus of 3 ROP experts | Supervised learning | SVM       | Plus disease vs. normal tortuosity of arterioles and venules together | 95% accuracy (experts: 96%, 94%, and 92%) for classifying plus disease compared to normal | Requires manual vessel tracing and segmentation as an input |
| Worrall et al., 2016 [40] | Expert-labelled data | Supervised learning | CNN       | Plus disease vs. normal tortuosity and dilation of vessels | 92% accuracy, 82.5% sensitivity, and 98% specificity | Despite being automated, required the use of human-dependant labels |
| i-ROP DL, Brown et al., 2018 [42] | Expert-labelled data | Supervised learning | CNN       | Plus disease vs. pre-plus disease vs. normal | Not reported | For plus disease, 93% sensitivity and 94% specificity For pre-plus disease, 100% sensitivity and 94% specificity None identified |
| ROP.AI, Tan et al. [41] | I ROP expert | Supervised learning | CNN       | Plus disease vs. pre-plus disease vs. normal | Not reported | For plus disease, 97% sensitivity and 98% NPV Data from a single clinician, not taking into account real-world interclinician variations |

SVM: support vector machine; CNN: convoluted neural network; NPV: negative predictive value
no plus disease. For the diagnosis of plus disease, the accuracy was 92%, the sensitivity 82.5%, and the specificity 98%. The authors concluded that the classifier demonstrated good agreement with the expert labelers but also highlighted the limitations associated with the use of human-dependent training sets, since significant discrepancies can be present between clinicians in regards to plus disease diagnosis [40].

With this in mind, Tan et al. trained a CNN model using almost 7000 fundus images labeled by a single clinician at a single institution. The model detected plus disease with 97% sensitivity and 98% negative predictive value. These results are highly promising but additional work is needed to determine its real-world applicability to account for inter-clinician variations in the diagnosis of plus disease [41]. In a different approach to improve the reliability of training sets, Brown et al. used a reference standard diagnosis (RSD). RSD is founded on consensus diagnosis from multiple image gradings as well as a clinical diagnosis [42]. The i-ROP DL model, built to detect plus disease, was trained with data labeled with an RSD [42]. This algorithm diagnosed plus disease with comparable accuracy to human experts: 93% sensitivity and 94% specificity for plus disease and 100% sensitivity and 94% specificity for pre-plus disease [42].

DISCUSSION

Over the last two decades, telemedicine-based remote digital fundus imaging (RDFI-TM) evaluation for ROP gradually transitioned from being a research tool to becoming a routinely used method of ROP screening alongside BIO examination. As shown above, a large number of studies have proven the ability of RDFI-TM to detect ROP with good sensitivity and specificity compared to the gold standard BIO bedside examination. The favorable results were also demonstrated in the real-world setting as evidenced by the success of the SUNDROP and KIDROP programs [17].

Standard BIO examination remains the gold standard for ROP screening. It is performed in real time and allows better visualization of zone III and an increased sense of depth for disease staging. However, ophthalmoscopy has multiple limitations. It is performed in a relatively unfamiliar setting for the ophthalmologist, on an infant attached to life-sustaining equipment, which can be challenging [43]. There is also a shortage of ophthalmologists trained and willing to screen for ROP in resource-limited communities. The main benefit of RDFI-TM is increasing the accessibility of screening in both in high- and low-income countries. In high-income countries, amid a decrease in the number of ophthalmologists performing ROP screening, RDFI-TM programs can complement the screening effort. In low-income countries, RDFI-TM systems (like KIDROP) have successfully reached infants that would have otherwise not had access to ROP screening because of geographic and resource limitations. Another benefit of RDFI-TM is that image acquisition and image grading are often separated in time and place, allowing for a more objective and less distracting evaluation by the ROP specialist. Digital image acquisition allows for objective documentation of findings, facilitating future consultation and serving as evidence for medicolegal purposes [43].

RDFI-TM evaluation for ROP has also been shown to be more cost-effective than traditional ophthalmologist-driven screening [44]. In 2008, Jackson et al. published a cost-utility analysis comparing telemedicine and ophthalmoscopy for ROP management [45]. Both screening methods were shown to be highly cost-effective compared to other healthcare interventions. Telemedicine was, however, more cost-effective than standard ophthalmoscopy. The cost per quality-adjusted life year gained was $3193 with telemedicine compared to $5617 with standard ophthalmoscopy [45]. The feasibility of telemedicine-based screening programs in developing nations remains unclear, despite some encouraging results [25, 46]. Developing countries face multiple challenges for the implantation of telemedicine including state-level policies, budgetary constraints, and issues with cultural perception [47]. The biggest barrier seems to be the lack of formal organizational structures to dispense telemedicine-related services. Also, despite being considered cost-
effective in developed nations, the deployment of telemedicine-related services can have high initial start-up and running costs including purchasing a wide-angle telemedicine camera, setting up a computer network, and paying internet and electricity bills, salary of support staff, and physician reimbursement [47]. Nonetheless, this seems to be changing as more and more developing countries adopt screening for retinal disease such as diabetic retinopathy, which is a good prognosticator for ROP RDFI-TM screening in these regions [48, 49].

The role for CBIA and deep learning-based screening systems has grown over the years. As part of an RDFI-TM program, a deep learning algorithm can perform a preliminary triage before the ophthalmologist’s evaluation and has the potential to replace the image reader altogether, with greater efficacy which may increase screening rates. Currently available algorithms have demonstrated capacity to detect plus disease, a diagnostic classification that expert ophthalmologists frequently disagree on (Table 4). Nonetheless, CBIA for ROP that is trained with human-labelled inputs should be used with caution since they can be prone to bias and disparity with relatively small training sets and high intergrader variability. Deep learning in ROP screening holds great promise but remains a research tool at the current moment. As we learn more and more about the real-life deployment of such systems in the screening of diabetic retinopathy, we will be able to better address its ethical, medicolegal, and logistic considerations.

Novel retinal imaging devices have emerged in the last decade and have the potential for improving image acquisition in telemedicine-based ROP screening programs. Some evidence suggests that telemedicine examination may be less stressful than standard BIO examination for premature infants [50]. Similarly, the use of novel noncontact cameras that avoid the use of a lid speculum and topical anesthesia might provide additional safety benefits for those infants. In parallel, smartphone-based imaging tools may be useful for screening ROP. Such devices leverage on the smartphone’s built-in high-resolution camera, computing power, and data transfer capacities (e.g., Wi-Fi). For low-resource communities and developing countries who face budgetary constraints for the set-up of RDFI-TM programs, smartphone-based imaging tools can be particularly valuable [51].

CONCLUSIONS

Telemedicine-based remote digital fundus imaging offers a validated and viable alternative to in-person, on-site indirect ophthalmoscopy examination of the premature infant by an ophthalmologist for the screening of retinopathy of prematurity. While the latter remains the gold standard for ROP screening, advances in imaging technology, rapid evolution in portable retinal imaging systems, and the rise of automated image interpretation offer great promise in drastically expanding the accessibility of telemedicine-based screening of retinopathy of prematurity worldwide.

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