An Effect of Chronic Stress on Prospective Memory via Alteration of Resting-State Hippocampal Subregion Functional Connectivity

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The alteration of hippocampal function by chronic stress impairs higher order cognitive functions such as prospective memory (PM). However, how chronic stress affects hippocampal subregions related to PM remains largely unknown. In this study, the altered functional network of hippocampal subregions related to PM in chronic stress was explored. College students (N = 21) completed PM tasks and resting-state functional magnetic resonance imaging scans one month prior to (baseline) and during the final examination week (chronic stress). Hippocampal subregions’ seed-based functional connectivity (FC) and PM were compared between baseline and chronic stress. PM performance declined in chronic stress. The FC of the cornu ammonis 2, 3 and dentate gyrus (CA23DG) with the bilateral caudate and precuneus was increased in chronic stress, while the FC of the subicular complex (SUBC) with the left middle frontal gyrus, the left inferior parietal gyrus and the right supramarginal gyrus was decreased. There was a negative correlation between PM performance and the FC of hippocampal subregions. We found chronic stress impairs PM by decreasing the FC of SUBC and increasing the FC of CA23DG. These findings suggest functional changes in hippocampal subregion networks as a mechanism underlying the impairment of PM in chronic stress.

Chronic stress can be defined as long-term exposure to repeated experiences perceived to be stressful1. Coincident with economic development, chronic stress has increased in modern society, and it has a long-term impact on mental health2. Numerous studies have indicated that chronic stress impairs high-order cognitive function as exemplified by impairment of prospective memory (PM)3–5. Previous studies found that PM is sensitive to stress6–8. Exposure to chronic stress leads to activation of hypothalamic-pituitary-adrenocortical (HPA) axis and the release of glucocorticoids9. These bind to receptors in specific areas of the brain, such as the hippocampus, a region critical for PM10. A set of recent neuroimaging studies found that acute stress altered cognition-related activity in specific brain regions11,12. However, the effect of chronic stress on PM related to hippocampal function in humans remains unclear.

PM is a form of memory that involves remembering to perform a planned action or recall a planned intention that is supported by the frontoparietal network13,14. There are two kinds of prospective memory: time- and event-based PM (TBPM and EBPM, respectively)15,16. Previous studies found the commonalities and differences in the neural substrates of EBPM and TBPM17. Planned information is stored in the hippocampus, as a buffer of memory information18. Previous studies have indicated that the hippocampus is one of the crucial neural substrates of PM19. Exposure to chronic stress leads to reversible impairment of hippocampus morphology, accompanied by dysfunction of PM19,20. Empirical evidence from multiple pharmacological studies in animals has shown that chronic stress has different effects on different hippocampal subregions, such as neuronal atrophy in CA3 and

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In the present study, we chose final examinations as a chronic stressor for academic performance from a few days of written exams is the primary factor determining success for freshman in Chinese college. Performance on final examinations affects scholarship applications and postgraduate recommendations. Hence, college students spend a lot of time preparing for examinations and are inevitably in a state of stress during this period. In China, the performance of final examinations affects scholarship applications and postgraduate recommendations. Hence, college students spend a lot of time preparing for examinations and are inevitably in a state of stress during this period.

We used resting-state functional magnetic resonance imaging (fMRI) to investigate how chronic stress alters the hippocampal subregion network related to PM. We hypothesized that (1) participants would score lower on PM tasks in chronic stress, (2) there would be significant changes in the functional connectivity (FC) of hippocampal subregions under chronic stress compare to baseline, and (3) PM performance would be selectively associated with changes in the FC of different hippocampal subregions in chronic stress.

### Results

#### Sample description.

The demographic characteristics are shown in Table 1. Twenty-one college students were recruited in our study (11 male, 10 female; age = 19.89 ± 0.83 years). They came from different majors, including Psychology, Fine Art, Politics and Music. The intervals between the two time points was 31.25 ± 2.67 days (Table 1).

| Demographic and neuropsychological data in baseline and chronic stress. Notes: Data are presented as mean ± SD. Abbreviations: SD, standard deviation; SLSI, Student-Life Stress Inventory; EBPM, event-based prospective memory; TBPM, time-based prospective memory. Significantly different from baseline (p < 0.05, two-tailed test). |
|-----------------|-----------------|------|---|
| Gender(male:female) | 11:10 | 19.89 ± 0.83 | 31.25 ± 2.67 |
| Time interval | 108.67 ± 10.63 | 16.85 ± 3.12 | 0.146 |
| IQ | 20.15 ± 4.08 | 2.35 ± 5.92 | 0.054 |
| Physiological reaction-SLSI | 11.50 ± 2.44 | 13.00 ± 2.08 | 0.093 |
| Emotional reaction-SLSI | 7.65 ± 1.35 | 9.10 ± 1.62 | 0.003 |
| Cognitive reaction-SLSI | 16.45 ± 4.15 | 17.10 ± 4.13 | 0.585 |
| Total scores-SLSI | 105.25 ± 14.69 | 116.50 ± 17.52 | 0.010 |
| EBPM | 0.93 ± 0.12 | 0.76 ± 0.26 | 0.013 |
| TBPM | 1.00 ± 0.00 | 0.62 ± 0.27 | 0.000 |

Table 1. Demographic and neuropsychological data in baseline and chronic stress.
Hippocampal subregion network within-group analysis. The patterns of each hippocampal subregion FC network at baseline and under chronic stress are illustrated in Fig. 1. In both conditions, each functional network of hippocampal subregions involved diffuse subcortical, medial frontal, temporal cortical, parietal and cerebellar sites \( p < 0.0001 \), corrected by FDR. The patterns of FC were similar to the network connections observed in previous studies on the whole and bilateral hippocampus\(^{38,39}\). However, in both chronic stress and baseline conditions, the stronger FC of hippocampal subregional seeds was located in the temporal lobe. In addition, regions that were close to the seed region showed stronger connectivity than other regions in each of the three hippocampal subregions.

Longitudinal changes in hippocampal subregion networks. As shown in Fig. 2, the CA23DG showed greater FC with the bilateral caudate and precuneus in chronic stress compared to baseline. In addition,
the SUBC showed decreased FC with the left middle frontal gyrus, left inferior parietal gyrus, and right supramarginal gyrus in chronic stress compared to baseline (Fig. 2). There was no significant change in the CA1 network.

Correlations among hippocampal subregional networks, SLSI scores and PM performance in chronic stress. As shown in Table 3, the FC between the bilateral caudate and the CA23DG was positively correlated with SLSI scores (Conflict-SLSI: \( r = 0.616, p = 0.011 \); Stress-SLSI: \( r = 0.582, p = 0.018 \); Change-SLSI: \( r = 0.543, p = 0.030 \); Self-reinforcing-SLSI: \( r = 0.394, p = 0.131 \); Physiological reaction-SLSI: \( r = 0.341, p = 0.196 \); Emotional reaction-SLSI: \( r = 0.571, p = 0.021 \); Behavior reaction-SLSI: \( r = 0.511, p = 0.043 \); Cognitive reaction-SLSI: \( r = 0.336, p = 0.203 \); Total scores-SLSI: \( r = 0.667, p = 0.005 \) (Table 3). The FC between the left inferior parietal gyrus and the SUBC was negatively correlated with the SLSI scores (Physiological reaction: \( r = -0.487, p = 0.047 \) (Table 3). A significant negative correlation was also found between the FC of hippocampal subregional networks and PM performance in chronic stress. Particularly, the FC between the bilateral caudate and the CA23DG was negatively correlated with EBPM performance (\( r = -0.440, p = 0.046 \); Fig. 3). The FC between the left inferior parietal gyrus and the SUBC was negatively correlated with EBPM performance (\( r = -0.525, p = 0.015 \); Fig. 4). In addition, the FC of the SUBC with the left inferior parietal gyrus was negatively correlated with TBPM performance (\( r = -0.496, p = 0.022 \); Fig. 5). There was no significant result with regard to the moderating effect.

Discussion
In this study, we aimed to investigate chronic-stress-induced modulations in the activity of PM-related hippocampal subregions. The results confirmed our hypothesis regarding the altered FC of hippocampal subregion networks in chronic stress. Our results showed that (a) participants scored lower on the PM task in chronic stress compared to baseline; (b) the CA23DG FC network showed significantly increased FC with the bilateral caudate and precuneus, while the SUBC network showed significantly decreased FC with the left middle frontal gyrus, left inferior parietal gyrus and right supramarginal gyrus in chronic stress; and (c) the altered FC of hippocampal subregions was significantly associated with PM performance in chronic stress. These results may provide insight into the underlying neural mechanism of PM impairment related to hippocampal subregions in chronic stress.

During examination week, participants showed higher stress levels compared to baseline. This finding is consistent with previous studies, which showed that academic examinations are a key factor stress-causing for Chinese students. In addition, we found a correlation between SLSI scores and the FC of hippocampal subregions.
subregions. This indicated that the altered FC of hippocampal subregions may be related to increased stress levels. Consist with previous study that chronic stress causes hippocampal morphological changes and impairs hippocampal function. In the present study, participants had lower scores on TBPM and EBPM during chronic stress compared to baseline. A previous study indicated that chronic stress affects cognitive function including PM. Interestingly, although both EBPM and TBPM were affected by stress in our study, TBPM was affected to a greater extent compared to EBPM. These finding suggest that TBPM is more sensitive to chronic stress than EBPM. This is in agreement with other studies that have found TBPM to be more affected by emotional factors than EBPM. Moreover, our results support Ellis and Ashbrook's (1988) model suggesting that emotional factors adjust the allocation of cognitive resources, which are more necessary in TBPM tasks than EBPM tasks.

We found an alteration of the intrinsic FC network of CA3DG and SUBC, accompanied by impairment of PM, in chronic stress. Previous studies have indicated that stress activates the HPA, releasing stress-related hormones that bind to receptors in hippocampus, which affects memory-related function. Our study found opposite FC activation patterns in different hippocampal subregions, with activated FC of the CA3DG network and deactivated FC of the SUBC network. Numerous animal studies have shown that stress has distinct impacts on different hippocampal subregions which may involve in different cognitive processes. Moreover, previous studies reported functional dissociation in hippocampal subregions. This study concurred with those findings and extended the knowledge by demonstrating chronic stress modulates the FC of hippocampal subregions.

In the current study, the FC of the CA3DG with the caudate was negatively correlated with EBPM scores. Previous studies have shown that TBPM and EBPM performance depends on shared and distinct cognitive abilities that rely on specific brain regions. The caudate nucleus, which provide an early analysis of the affective properties of the stimuli in a PM task, is activated by aversive stimuli. Performance on EBPM tasks is largely dependent on the cognitive functions of working memory and executive function, which the caudate nucleus is critically involved in. Therefore, these findings further suggest that altered cooperation of the CA3DG sub-region with the caudate nucleus may be a key factor in EBPM under chronic stress.

In chronic stress, the FC of the SUBC with the left inferior parietal gyrus was negatively correlated with both EBPM and TBPM scores. This result suggests that the deactivation of the FC of the SUBC and the inferior parietal gyrus under chronic stress related to PM impairment. PM success is linked to the anterior prefrontal cortex, parietal lobe, and hippocampus regions. Previous studies have confirmed that the parietal cortex is a shared substrate of EBPM and TBPM that maintains future intention. Other studies found that the parietal lobe plays an important role in attention and memory retrieval, which, in turn, play an important role in PM. Furthermore, deactivation of inferior parietal gyrus induced by medicine was found to increase failures of PM. To summarize,
the altered FC of the SUBC and left inferior parietal gyrus in our study may reflect the underlying neurological mechanism whereby chronic stress impairs PM.

This study has two limitations: First, our study had a relatively small sample size. Further studies with larger sample sizes with a more diverse age range are needed. Second, we did not include stress-sensitive psychophysiological measurements, such as cortisol and heart rate. In future studies, the stress level of participants will be measured in different ways, including psychophysiological assessment and self-assessment questionnaire.

To our knowledge, this is the first study to explore the chronic stress-induced specific activation pattern of hippocampal subregions related to impairment of PM. The results suggest that the activation of the FC of SUBC and CA23DG under chronic stress related to impairment of EBPM, while the deactivation of the FC of SUBC is related to both EBPM and TBPM. These findings suggest functional changes in hippocampal subregion networks as a mechanism underlying the impairment of PM in chronic stress.

**Methods**

**Participants.** One hundred and five freshmen were recruited from South China Normal University. All participants were right-handed and were selected according to the following criteria: (1) age between 19 and 21 years old, (2) moderate stress as indicated by a the Student-Life Stress Inventory (SLSI) score of >79 and <153, (3) more than 12 years of education, (3) no history of neurological, or psychiatric disorder or head injury, and (4) no history of alcohol/drug dependence. Finally, 25 students (13 male, 12 female) with moderate stress scores were selected as participants. Data were collected at two time points from the participants in May, 2016 (one month prior to examine week: baseline) and June, 2016 (examine week: chronic stress). Informed written consent was obtained from all subjects who participated in the current study. The study was approved by the Research Ethics Board of the South China Normal University. The experiments were performed in accordance with the approved guidelines.

**Neuropsychological assessment.** The SLSI is a self-administered 51-item Likert-type questionnaire that requires participants to rate their life stress from 1 to 5 (1 = never, 2 = seldom, 3 = occasionally, 4 = often, and 5 = most of the time). The SLSI consists of two parts: stressors and reactions to stressors. The stressors measurement consists of five sections frustration, conflict, pressures, changes, and self-imposed stressors while reactions to stressors includes physiological, emotional, behavioral, and cognitive sections. A greater level of stress is indicated by higher scores on stressors and reaction to stress. The SLSI has been shown to have satisfactory reliability and high internal consistency (Cronbach’s α = 0.92)55.

Estimated IQ was assessed with the Wechsler Adult Intelligence Scale-Revised (WAIS-R), and subjects with a total score of less than 80 were excluded56. WAIS-R was performed by experienced clinical psychologists.

**Prospective memory assessment.** PM performance was measured through a classical dual-task paradigm including an ongoing task and a prospective memory task. Ongoing tasks are same in EBPM and TBPM, but the PM task is different. The PM task was divided into two categories: a time-based prospective memory task (TBPM task) and an event-based prospective memory task (EBPM task).

**Ongoing-task.** For the ongoing task, four Chinese words were displayed in the center of a screen (e.g., 安居乐业). The subject was asked to judge whether the four words formed an idiom. Subjects were instructed to press the “F” key if the four words did not form an idiom (e.g., 直接选举) and the “J” key if they did (e.g., 大材小用).

**PM task.** In the EBPM task, subjects were instructed to press the space bar if they detected the name of an animal (target event) within the four words (e.g., 如鱼得水). There were five target words (e.g., 鸡, 马, 鱼, 鸟, 鱼), which appeared at approximately one minute intervals. Non-animal words, such as colors and numbers were used as interference. The participants received one point for each correct response to a target event (total of five target events). Therefore, scores ranged from 0 to 5.

In the TBPM task, a standard clock was placed in the lower left corner of the screen. Subjects were instructed to monitor time throughout the trial and to press the spacebar after one minute. The task lasted for approximately 5 minutes. The participants received one point for each correct response after a full minute. Again, scores ranged from 0–5.

**fMRI data acquisition.** fMRI data were collected by a clinically approved Siemens Magnetom Avanto 3.0T (Siemens Medical Solutions, Erlangen, Germany) using the Siemens 12-channel receive-only head coil. The imaging sessions included structural T1, a resting condition FC, and two task-related functional acquisitions. Sessions were conducted on the same day and the Siemens Auto Align scout protocol was used to minimize variations in head positioning. For structural analysis, a T1 high-resolution anatomical sequence, 3D MPRAGE (magnetization prepared rapid gradient echo) was performed with the following scan parameters: repetition time (TR) = 2.4 s, echo time (TE) = 3.62 ms, 160 sagittal slices with no gap, field-of-view (FoV) = 234 mm, flip angle (FA) = 8°, in-plane resolution = 1.2 × 1.2 mm² and slice thickness = 1.2 mm. During resting-condition fMRI acquisition, a gradient T2 weighted echo-planar imaging (EPIs) protocol was used and participants were instructed to keep their eyes closed and to think about nothing in particular. The imaging parameters were: 100 volumes, TR = 3 s, TE = 50 ms, FA = 90°, in-plane resolution = 3.4 × 3.4 mm², 30 interleaved slices, slice thickness = 5 mm, imaging matrix of 64 × 64 and FoV = 220 mm. PM was acquired using: TR = 2 s, TE = ms, FA = 90°, in-plane resolution and slice thickness = 3.3 mm, 38 ascending interleaved axial slices with no gap and FoV = 212 mm. The functional paradigm protocol was previously described and the paradigm was presented using the fully integrated fMRI system IFIS-SA57.
Image pre-processing. Prior to data processing and analysis, all images were visually inspected to confirm the absence of head motion and brain lesions. Pre-processing was conducted with Statistical Parametric Mapping (SPM12, http://www.fil.ion.ucl.ac.uk/spm), Data Processing Assistant for Resting-Condition fMRI (DPARSF 4.3 Advanced Edition), Resting-Condition fMRI Data Analysis Toolkit (REST 1.8, http://www.restfmri.net) and MATLAB 8.30. The first 10 volumes of the scanning session were discarded to achieve signal stabilization and allow participants to adjust to the scanner noise. Scans with head motion of more than 3 mm maximum displacement in x, y, or z direction or 3° of any angular motion were excluded from analysis. The remaining images were spatially normalized to the standard MMI (Montreal Neurological Institute) echo-planar imaging template, resampled to 3 × 3 × 3 mm³ cubic voxels, and smoothed with a Gaussian kernel 6 × 6 × 6 mm³. To further reduce the effects of confounding factors, the white matter (WM) signal, the cerebrospinal fluid (CSF) signal and six motion parameters were regressed out from the data. Finally, the images were temporally band-pass filtered (0.01–0.08 Hz) and liner trends were removed.

Data from four subjects with poor quality images and head movement more than 3 mm or 3 degree in any direction were excluded from analysis. The final analysis included data from 21 participants.

FC analysis. The Anatomy toolbox in SPM12 (http://www.fz-juelich.de/inm/inm-1/DE/Forschung/docs/SPMAnatomy Toolbox/SPMAnatomy Toolbox _node.html) was used to identify the three hippocampal subregions (CA1, CA23DG, SUBC) (Fig. 6). Regions of interest were defined according to previous studies58,59. For each subject, a voxel-wise-based FC was computed separately for each hippocampal subregion using the Data Processing Assistant for Resting-State fMRI (dparsf, http://www.restfmri.net). Cross-correlation values between each subregion and the rest of the brain were then calculated. A Fisher transformation was applied to improve the normality of the correlation coefficient60.

Statistical analysis. A within group analysis was performed to explore differences in the spatial maps of FC in baseline and chronic stress using one-sample t-tests. Data were corrected for false discovery rate (FDR), and the statistical threshold was set at p < 0.0001. To avoid potential interpretational confounds of negative connectivity caused by correction for the global signal, only positive functional connectivity was examined61.

A between-group analysis was performed to detect changes in PM (TBPM and EBPM) and FC in different hippocampal subregions between chronic stress and baseline (CA1, CA23DG, and SUBC subregion network analysis were performed separately) using paired t tests. Statistical significance was set at p < 0.05 using AlphaSim correction. For measuring extent which chronic stress impact, a between-group analysis was performed to compare the difference of TBPM and EBPM using two-sample t-test.

The relationships between FC of hippocampal subregions and SLSI and PM scores were explored. The mean FC strengths of the clusters showing significant between-group differences were extracted in chronic stress. Spearman’s correlation analysis was performed to investigate the relationship between PM and the FC of hippocampal subregions. A partial correlation analysis was performed to explore the relationship between the FC of hippocampal subregions and SLSI scores, controlling for covariates like gender.

We further explored whether the FC of hippocampal subregions moderates the relationship between the stress level and PM. The SPSS PROCESS macro program, designed by Hayes62, was used to measure the mediating or moderating effect. Within PROCESS, model 1 was selected and the confidence interval was set to 95%. In the moderation models, the SLSI scores were entered as the predictor (X), PM performance as the outcome (Y), and mean FC of hippocampal subregions as the moderator (M). All statistical tests were evaluated at the p < 0.05 significance level and constituted two-tailed tests.

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Author contributions
J.C., Z.W. and Z.P. designed the study. J.C., L.J., C.X., D.D. and J.L. acquired the data from papers. J.C., Z.W., H.H., G.W. and Z.P. analyzed the data. J.C., Z.W., G.W. and Z.P. wrote the article and all other authors reviewed the article. All authors approved the publication.

Competing interests
The authors declare no competing interests.

Additional information
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