Engineering Students’ Thinking About Technical Systems: An Ontological Categories Approach

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This paper aims at identifying ontological categories as higher-order knowledge structures that underlie engineering students’ thinking about technical systems. Derived from interviews, these ontological categories include, inter alia, a focus on the behavior, structure, or purpose of a technical system. We designed and administered a paper-based test to assess these ontological categories in a sample of \( N = 340 \) first-year students in different engineering disciplines. Based on their activation patterns across ontological categories, students clustered into six different ontological profiles. Study program, gender as well as objective and self-perceived cognitive abilities were associated with differences in jointly activated ontological categories. Additional idiosyncratic influences and experiences, however, seemed to play a more important role. Our results can inform university instruction and support successful co-operation in engineering.

Keywords: conceptual knowledge, ontologies, engineering education, higher education, cluster analysis

INTRODUCTION

Many of today’s challenges are engineering problems that refer to the design, construction, or maintenance of technical systems—from mobile phones or car brakes to power plants or automatic production facilities. Such technical systems consist of several interrelated technical components that belong together in a larger unit and work together to achieve some common objective (Lauber and Göhner, 1999; VDI, 2011). They may comprise software, mechanical, and electronic components. To solve engineering problems, technical content knowledge is necessary (Ropohl, 1997; Abdulwahed et al., 2013). However, due to the complexity of technical systems, different content knowledge could be activated to think about a certain engineering problem. For instance, imagine you had to construct a soccer-playing robot, what comes to your mind immediately might differ inter-individually and range from depicting the robot body to reflecting on programming solutions. The question we ask in this study is whether there is a superordinate structure that determines what comes to your mind immediately and that could be described as a thinking tendency adopted to deal with engineering problems. A problem solver, for example, could have the tendency to focus on the logical relations and object classifications within the technical system (e.g., reflecting on programming solutions), the configuration of the different components (e.g., what components the soccer robot is made of), on the behavior of the system (e.g., how sensory information leads to certain body movements), or on its appearance (e.g., depicting the robot body) to name just a few. As knowledge structures, these tendencies are subject to change: they develop based on prior experiences and can potentially be modified by instruction (Chi et al., 1981, 2012; Jacobson, 2001; Vosniadou et al., 2012; Björklund, 2013; Smith et al., 2013). Being able to identify
such tendencies in thinking about engineering problems can open up new ways of analyzing communication in engineering teams and designing instruction in engineering education.

A focus on the “appearance of a technical system” (e.g., depicting the robot body in the above example) can be considered to represent higher-level conceptual knowledge that activates subordinate knowledge including procedural knowledge about designing pleasant facial expressions or conceptual knowledge about the look and feel of different surface materials. Likewise, a focus on the “logic of technical systems” (e.g., reflecting on programming solutions) may represent another higher-level knowledge element that triggers subordinate elements such as knowledge about repeat or while algorithms. Since these higher-level knowledge elements to some extent determine the possibility space of thinking, they are called ontological categories (e.g., focus on appearance, logic, behavior, or on structures of technical systems). Since one may activate several ontological categories (e.g., focus on the appearance and the behavior of a system) during engineering problem solving, we speak of ontological profiles to describe the joint activation of different ontological categories.

Most instruments focus on the assessment of conceptual or procedural knowledge, for example, about programming languages, characteristics of different surface materials for soccer-playing robots, physics laws or circuits. Examining ontological profiles allows us to describe similarities and differences in first-year civil-, mechanical-, electrical-, and software engineering students’ tendencies in thinking about technical systems. Acknowledging and explicitly addressing ontological categories in engineering education could improve university instruction and support student learning. To do so, we first aim to identify the ontological categories that students entering engineering disciplines may activate when they think about engineering problems. In this paper, we present and discuss an approach to assess these higher-level knowledge structures in first-year engineering students.

In the following sections, we first briefly describe the cognitive architecture model this work is based on and illustrate the idea of ontological categories and ontological profiles. Covariates of ontological profiles and the assessment of conceptual knowledge structures are addressed in the next sections before we focus on the present study.

**Conceptual Knowledge Structures**

Knowledge is organized in several layers of hierarchically and network-like structured knowledge elements (see Figure 1 for an exemplary structure in the context of technical systems)—with basic declarative knowledge, like surface feel, and procedural knowledge, like sketching, at the lowest level that may be grouped to intermediate-level conceptual knowledge structures, like material (Gupta et al., 2010; Chi et al., 2012). Conceptual knowledge structures describe content-specific knowledge elements and their interrelations (Posner et al., 1982; Chi, 1992; de Jong and Ferguson-Hessler, 1996; Carey, 2000; Duit and Treagust, 2003), shaping reasoning, communication, and thus thinking in the respective knowledge domain (Schneider and Stern, 2010; Shtulman and Valcarcel, 2012). Higher-level conceptual knowledge structures are also referred to as ontologies that provide categories for thinking about entities, like “appearance” in Figure 1. Attributes of an ontological category describe its substantial characteristics (e.g., entities in the ontological category “appearance of technical systems” all share attributes such as purposeful or visual, and entities in the ontological category “animals” all share attributes such as has blood or breathes, see Slotta et al., 1995). Building on the ideas of Piaget’s cognitive constructivism (Powell and Kalina, 2009), individuals actively construct intermediate- and higher-level conceptual knowledge structures based on their existing knowledge. Without formal instruction, these knowledge structures often reflect naïve theories or everyday experiences. They develop and change with increasing expertise in a content domain (Baroody, 2003; Chi, 2006; Ericsson, 2009; Kim et al., 2011; Vosniadou et al., 2012).

![FIGURE 1](https://example.com/figure1.png)

**FIGURE 1** | Visualization of a potential hierarchical structure of knowledge elements in the context of “technical systems” with ontological categories at the highest level, intermediate-level conceptual knowledge, and procedural and declarative knowledge elements at the lowest level. The trees could be extended in any manner.
Ontological Categories and Ontological Profiles

The often cumbersome process of developing and revising existing conceptual knowledge on the intermediate-level has been investigated and described in conceptual change studies (Hake, 1998; Hofer et al., 2018; Vosniadou, 2019). However, only a few researchers have assessed higher-level conceptual knowledge structures (i.e., ontological categories). With the exception of the assessment and modification of the ontological categories sequential processes and emergent processes in the specific context of understanding diffusion, heat transfer, and microfluidics in a sample of undergraduate engineering students (Yang et al., 2010), ontological categories in engineering have been largely unknown so far.

Depending on the discipline and situational requirements, the activation of certain ontological categories may be more beneficial than others. Accordingly, physics experts have been found to flexibly apply different ontological categories to deal with intermediate-level concepts such as energy or quantum particles depending on situational goals and requirements such as communication with novices or experts (Gupta et al., 2010). In studies on complex systems problem solving, novices thought about complex systems as static structures, while experts thought about complex systems as equilibration processes (Jacobson, 2001; Chi et al., 2012).

An engineering problem that is defined by the underlying technical system is by definition multi-faceted and allows approaching a solution from several perspectives—as illustrated at the beginning with the soccer-playing robot. To give another example, if you had to sketch a shelf stocker system, you could immediately think about the appearance of the system, or the processes performed by the system, or the functions of different elements of the system, or the logic behind the system. We think of these different tendencies in thinking as examples of ontological categories that might be activated in the context of engineering problems. We refer to different patterns of ontological categories that are activated when thinking about engineering problems as ontological profiles.

Covariates of Ontological Profiles

Due to the complexity of engineering problems, we expect that first-year students without formal discipline-specific education may tend to activate several of such ontological categories during problem solving—whereas experts might tend to adhere to particular ontological categories that reflect common discipline-specific thinking approaches (to give an example from the field of medicine, for instance, like a dermatologist might focus on a patient’s skin and a neurologist on a patient’s behavior when diagnosing). What first comes to these students’ minds when thinking about technical systems, however, cannot be expected to be arbitrary but to depend on cognitive prerequisites and prior experiences (Chi et al., 1981, 2012; Jacobson, 2001; Vosniadou et al., 2012; Björklund, 2013; Smith et al., 2013). We accordingly included objective as well as self-perceived measures of ability (e.g., general reasoning, spatial ability, self-concept) that have proven to correlate with success in technology-related domains (Deary et al., 2007; Marsh and Martin, 2011; Wei et al., 2014; Hofer and Stern, 2016) to explore whether differences in ontological category activation are associated with differences on these variables.

More specifically, differences and similarities may be associated with individual characteristics that have been found to predict achievement in STEM subjects. General reasoning ability and verbal abilities were identified as predictors of academic achievement in general (e.g., Deary et al., 2007). While numerical abilities turned out to be particularly predictive of science achievement, the spatial ability to mentally manipulate figural information successfully predicted spatial-practical achievement (Gustafsson and Balke, 1993). There is broad evidence that spatial abilities, especially mental rotation, contribute to the development of expertise in STEM domains and, in particular, in engineering (e.g., Shea et al., 2001; Sorby, 2007; Wai et al., 2009). In a sample of engineering students, for instance, spatial ability could significantly predict overall course grades (Hsi et al., 1997). A recent study assessing undergraduate mechanical engineering and math-physics students, reported that verbal and numerical abilities were associated with students’ achievements on most physics and math courses, whereas spatial ability was associated with students’ achievements on an engineering technical drawing course (Berkowitz and Stern, 2018). Based on existing literature, we can accordingly expect general reasoning ability as well as verbal, numerical and—in particular—spatial abilities to affect thinking about engineering problems in specific underlying ontological profiles. In addition to basic cognitive abilities, students’ subject-specific belief in their own abilities—their self-concept—turned out to be a frequent predictor of academic success (Marsh, 1986; Marsh and O’Mara, 2008; Marsh and Martin, 2011; Marsh et al., 2012). Students’ self-concept related to technology may hence be informative when interpreting ontological profiles in engineering.

In addition, students choosing different study programs in the engineering domain (e.g., mechanical engineering vs. software engineering) may also differ in their thinking approaches (e.g., a stronger focus on the behavior and purpose of a system vs. a stronger focus on classification and logic; see Vogel-Heuser et al., 2019). Although in the first semester at the university these knowledge structures can be expected to be still rather unaffected by formal education in the different engineering disciplines, student self-selection effects reflecting their prior experiences can be expected.

Assessing Conceptual Knowledge Structures

Conceptual knowledge structures have been assessed directly, for example, by means of thinking-aloud protocols (Ford and Sterman, 1998) that externalize participants’ thinking processes, and indirectly by means of observations of actual behavior (Badke-Schaub et al., 2007; Jones et al., 2011; Silva and Hansman, 2015), in the context of design briefs, for instance (Björklund, 2013). Those methods are rather time-consuming and not fit for efficient data collection in large groups. More efficient concept tests that are based on single- or multiple-choice questions have
been proposed for different STEM contents (Hestenes et al., 1992; Klymkowsky and Garvin-Doxas, 2008; Hofer et al., 2017; Lichtenberger et al., 2017)—but have not been applied to assess higher-level conceptual knowledge up to as far as we know.

The Present Study

Supporting students to become successful problem solvers in engineering domains can be considered one central goal of engineering education. To reach this goal, one reasonable step is to learn more about how students think about technical systems and advance research on the identification, assessment, and description of engineering students’ ontological categories. The present study aims at assessing tendencies in thinking about engineering problems. Since ontological categories in engineering have been largely unknown so far, a first goal of this study was to identify the different ontological categories activated by individuals when thinking about engineering problems. For this first step, a structured interview study was conducted. To efficiently assess the ontological categories identified in the first step in larger groups, a test instrument inspired by existing concept tests was developed and evaluated in the next step. In the third step and main part of this study, this test was administered to a sample of first-year civil-, mechanical-, electrical-, and software engineering students. We expected engineering students at the beginning of their studies to show ontological profiles that are characterized by several ontological categories that are activated when thinking about technical systems. We further assumed that inter-individual differences in ontological profiles might be associated with differences in cognitive prerequisites and prior experiences. Accordingly, cluster analyses were used to describe students’ ontological profiles. Objective and self-perceived measures of ability as well as study program were used as covariates of profile membership to better understand and interpret students’ ontological profiles.

METHODS AND MATERIAL

Figure 2 represents the research design of this study. To assess tendencies in thinking about engineering problems, we proceeded in three steps. First, we conducted an interview study to identify ontological categories occurring in novices’ and experts’ thinking about technical systems. In a second step, we designed test items to assess these categories on a quantitative level and evaluated our instrument in a pilot study. In the main study, we applied this test instrument to first-year university students and clustered the data to describe their ontological profiles in engineering.

Participants

Twenty-nine students and professionals in electrical-, civil-, mechanical-, or software engineering were recruited at different German universities to participate in the interview study on a voluntary basis. Forty-two participants from the educational and mechanical engineering department of the Technical University of Munich, Germany, served to evaluate our test instrument to assess ontological categories in engineering. A total of \( N = 340 \) students (26.8% female) took part in the main study. They studied electrical-, civil-, mechanical-, or software engineering in their first year at different German universities. For a subsample of \( n = 254 \) students (28.5% female), we have data on both ontological
profiles and covariates (see Table 1). Their mean age was 20.8 years (SD = 2.8).

Identification of Ontological Categories: The Interview Study

Ontological categories for thinking about engineering problems were derived from structured interviews with open card sorting tasks, where \( N = 29 \) participants with varying expertise were asked to describe what comes to their minds when dealing with technical systems, i.e., a bicycle gearing (including shifting mechanism, sprocket, and chain) and an extended pick and place unit (including stack depot, crane, sorting belt, and stamp; see Vogel-Heuser et al., 2014). Instead of written or verbal stimuli, we used two short silent video sequences that visualized the technical system without any descriptions that could influence participants’ thinking in a certain direction (for the bicycle gearing-scenario, we used a video zooming in on a common bicycle gearing in action; for the extended pick and place unit, see Video 1 in the Supplementary Material). After watching a video, participants were instructed to describe what they saw in the film in their own words. They were asked to verbalize their initial impression of what they saw. After that, they used empty cards of different color and shape that they could label to visualize their thinking about the technical system, while thinking aloud. To give some examples, they used the cards to represent different components of the bicycle gearing or the pick and place unit and arranged the cards on the table reflecting the shape of the technical system. Other participants used the cards to indicate functions of elements of the system or included arrows to visualize a process or temporal order. Their verbalized thoughts during this activity helped us to understand the meaning of their card sorting (for a more detailed description of the interview study, see Vogel-Heuser et al., 2019). When finished, the whole procedure was repeated with the video of the second technical system. The order of the two technical systems was randomized. Participants gave written consent for the use of the recorded audio files as well as photographs of the produced card sorting models for research purposes by the authors.

Based on Grounded Theory (Charmaz and Belgrave, 2015) all available data—interview data and the products from the open card sorting tasks—were jointly scrutinized to derive potential categories of thinking that were present in the interviewees’ mental representations of the technical systems. These potential categories were then described in a coding manual (general description of each category, indicators) and used by three raters to code the data of all interviewees. Discussions of the results of the coding process within the author team led to the exclusion, merging, refining, broadening, and/or renaming of categories. This process was repeated until all interviewees’ representations could be unambiguously described by relating their statements and visual structures to specific ontological categories. These empirically derived categories are theoretically substantiated by existing research in psychology and engineering (see Table 2). For each of the nine final categories Behavior, Structure, Purpose, Classification, Logic, Appearance, Function, Animism, and Prerequisites, Table 2 gives brief category descriptions, substantiating literature references, and two sample items from the test we developed in the next step.

Development and Piloting of Test Items

We developed a test instrument asking students to judge whether or not statements representing each of the nine ontological categories in the context of a variety of different engineering problems conform with their spontaneous mental representation of the problem. The spontaneous mental representations triggered by short paragraphs describing the respective engineering problem (like drafting an elevator) should reflect the ontological categories that shape students’ thinking. The engineering problems were embedded into different technical systems, i.e., coin sorter, assembly line, shelf stoker, traffic lights, Leonardo’s alternate motion machine, elevator, respirator, bicycle gearing, computer, hamburger automat, drip coffee maker, TV remote control device, ticket machine, and robot in assembly line production (the seven technical systems printed in bold type are included in the final instrument).

Each engineering problem requires the student to contemplate nine forced-choice items representing the nine ontological categories and asks students to judge whether or not each statement conforms with their initially activated mental representation (i.e., activated knowledge structures). Sample items from the final test are provided in Table 2 for the coin sorter and the elevator.

This approach allows detecting the pattern of activation across the nine ontological categories. The first draft of the instrument with all of the 14 technical systems listed above was evaluated in an unpublished pilot study with an independent sample of \( N = 42 \) participants. In addition to the psychometric analysis of the test items, participants’ comments were recorded, discussed, and considered in the test instrument, if applicable. This evaluation led to the exclusion of seven technical systems and modifications in the instructions and item wording. The final instrument (i.e., Ontological Categories in Engineering Test, OCET) contains the seven technical systems printed in bold type above (see Vogel-Heuser et al., 2019, for a more detailed description of the test development process). Accordingly, the OCET assesses each ontological category with seven items that refer to seven different engineering problems.

TABLE 1 | Total number of participants, gender, and study program distribution.

| Overall sample | Subsample |
|---------------|-----------|
|               | \( N \) | Female | Male | NA* | \( N \) | Female | Male | NA* |
| Total         | 340     | 91     | 246  | 3   | 254     | 72     | 181  | 1   |
| Civil engineering | 120   | 46     | 73   | 1   | 99      | 42     | 56   | 1   |
| Electrical engineering | 66    | 14     | 52   | 0   | 60      | 12     | 48   | 0   |
| Software engineering | 49    | 11     | 37   | 1   | 26      | 5      | 21   | 0   |
| Mechanical engineering | 101   | 18     | 82   | 1   | 65      | 11     | 54   | 0   |
| NAb | 4      | 2      | 2    | 0   | 4       | 2      | 2    | 0   |

*Three participants did not report their gender.

bFour participants did not report their study program.

TABLE 2 | Development and piloting of test items.

| Function | Structure | Purpose | Classification | Logic | Appearance | Frequency | Example |
|----------|-----------|---------|----------------|-------|------------|-----------|---------|
| Behavior |          |         |                |       |            |           |         |
|          |          |         |                |       |            |           |         |
|          |          |         |                |       |            |           |         |
|          |          |         |                |       |            |           |         |
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|          |          |         |                |       |            |           |         |
|          |          |         |                |       |            |           |         |
TABLE 2 | Nine ontological categories and their reliability, their descriptions, literature references, and two sample items from the Ontological Categories in Engineering Test (OCET).

| Description | Exemplary Items from the OCET |
|-------------|--------------------------------|
| Behavior \( (\omega = 0.56) \) | Thinking is focused on the behavior or the procedure that is implemented \( (\text{Vogel-Heuser, 2014}) \) | Coin sorter: I think, i.e., about … How an elevator behaves during operation (e.g., upward movement, decelerating, opening doors). |
| Structure \( (\omega = 0.60) \) | Thinking is focused on the components of the technical system and their configuration \( (\text{Vogel-Heuser, 2014}) \) | The machine's behavior, i.e., the machine in operation (e.g., I imagine how coins move through the machine). |
| Purpose \( (\omega = 0.68) \) | Thinking is focused on the purpose of the whole technical system \( (\text{Björklund, 2013}) \) | The machine's purpose (e.g., counting and sorting of coins). |
| Classification \( (\omega = 0.70) \) | Thinking is focused on the classification of elements of the technical systems based on defining characteristics \( (\text{Vogel-Heuser, 2014}) \) | Categories of elements which have common characteristics (e.g., collecting containers, sensors, slides). |
| Logic \( (\omega = 0.61) \) | Thinking is focused on logical or causal relationships between elements of the technical system \( (\text{Medeiros et al., 2019}) \) | The logic that determines how the machine operates (e.g., the coins' mass determines to which storage compartment it will be allocated). |
| Appearance \( (\omega = 0.78) \) | Thinking is focused on the appearance of the technical system in a non-formal and concrete way \( (\text{Chi et al., 1981}) \) | A realistic and concrete image of such a machine (e.g., size, shape, color). |
| Function \( (\omega = 0.61) \) | Thinking is focused on the functions of elements of the technical system \( (\text{Vogel-Heuser, 2014}) \) | The function of the machines' components (e.g., component to collect coins, component to determine a coins' mass). |
| Animism \( (\omega = 0.62) \) | Thinking is focused on implicit notions of human-like behavior of the technical system, e.g., "thinking" or "deciding" \( (\text{Ben-Ari and Yeshno, 2008}) \) | A machine with human traits (e.g., swallows and spits out coins, decides to which storage compartment coins are allocated). |
| Prerequisites \( (\omega = 0.70) \) | Thinking is focused on the requirements for the technical system to operate, e.g., "electricity" \( (\text{Berkovich et al., 2011}) \) | Prerequisites which have to be fulfilled (e.g., electricity supply, deposition of coins). |

The Main Study Assessment Instruments

In the following sections, we introduce the instruments used in the main assessment of the study that aimed at describing and understanding students' ontological profiles. Study program (electrical-, civil-, mechanical-, or software engineering) was directly assessed in a brief demographic questionnaire.

Ontological categories in engineering test (OCET)

With the OCET, we assessed the following nine ontological categories of thinking about engineering problems: Behavior, Structure, Purpose, Classification, Logic, Appearance, Function, Animism, and Prerequisites. Each ontological category is measured by seven items across seven different technical systems (i.e., the engineering problem context). McDonald's \( \omega \) was calculated for each ontological category (see Table 2) and ranged from \( \omega = 0.56 \) (Behavior) to \( \omega = 0.78 \) (Appearance). The, in part, rather low reliability scores could be explained by the different engineering problem contexts that might have stimulated the activation of particular ontological categories in first-year students. For instance, students might be more likely to activate the ontological category “Behavior” when they have to think about a “hamburger automat” (preparing hamburgers) compared to “traffic lights.” Capturing the fluctuation (several ontological categories activated across the seven problem contexts in the OCET) and uniformity (the same few ontological categories activated across the seven problem contexts) of ontological category activation, is one of the objectives of the OCET. Table 2 provides more detailed information on this test instrument. An independent double coding of 50% of all tests revealed an excellent inter-rater reliability, \( k = 0.98 \). For the final instrument, please contact the corresponding authors of this paper.

Cognitive and affective scales

We assessed verbal, numerical, spatial, and general reasoning ability, and students' self-concept regarding technical systems.

Verbal Ability was measured with a verbal analogies scale: 20 items; possible scores range from 0 to 20; \( \omega = 0.67 \); pair of words given, missing word in a second pair must be picked out of five possible answer alternatives to reproduce the relation represented in the given pair; part of the Intelligence Structure Test IST 2000 R (see Liepmann et al., 2007).
Numerical Ability was measured with a calculations scale: 20 items; possible scores range from 0 to 20; $\omega = 0.78$; basic arithmetic problem given that has to be solved with the solution being a natural number; part of the Intelligence Structure Test IST 2000 R (see Liepmann et al., 2007).

Spatial Ability was measured with a mental rotation scale: 24 items; possible scores range from 0 to 24; $\omega = 0.93$; three-dimensional geometrical structure built out of cubes given, the two correctly rotated structures must be picked out of four (see Peters et al., 1995, 2006).

General Reasoning was measured with a short form of Raven's Advanced Progressive Matrices: 12 items; possible scores range from 0 to 12; $\omega = 0.69$ (see Arthur and Day, 1994).

In addition, we adapted standardized measures for participants’ self-concept (Marsh and Martin, 2011) on four-point Likert-scales—as beliefs in one’s own abilities regarding technical systems (Self-Concept; 5 items; possible scores representing the mean Likert-scale-scores across the five items range from 1 to 4; $\omega = 0.85$; sample item: “I am perceived as an expert with technical systems”).

An independent double coding of 7% of the sample revealed acceptable to excellent inter-rater reliabilities: all scales showed inter-rater reliabilities of $\kappa > 0.96$, with the exception of the Calculations scale with $\kappa = 0.65$.

Data Collection Procedures
Participants were tested in their major lectures during the first semester of their university studies. All instruments were paper-based and conducted under controlled and standardized conditions by the authors.

Participants received the cognitive and affective scales in a 40-page booklet. We kept to the time limits recommended for each scale resulting in a total working time of 45 min. In a subsequent lecture, students worked on the OCET (a 44-page booklet) for 40 min (as shown in the pilot study, this time limit allows working on the test without time pressure). The OCET was explicitly introduced as a non-evaluative test instrument that does not assess correct or wrong answers.

Participants took part in the study on a voluntary basis and were not given any remuneration. They were asked for their informed consent in both tests separately. Consent could be withdrawn within a time period of two months—15.4% of the students attending the lectures did not give consent and were excluded from the analyses. Data from both tests were matched using anonymized personal codes. A list matching the anonymized personal codes and participants’ names was kept by one person not related to this study and was destroyed after the given time for withdrawing consent—resulting in completely anonymized data. Data was analyzed only after this anonymization process was completed.

Statistical Analyses
All statistical analyses were run in $R$ (R Core Team, 2008). In a first step, a hierarchical cluster analysis on the data of the full sample of $N = 340$ participants was conducted on the nine ontological categories (i.e., Behavior, Structure, Purpose, Classification, Logic, Appearance, Function, Animism, and Prerequisites). As we were interested in ontological profiles across all nine ontological categories—and not mere differences in the scale values—we used a hierarchical clustering algorithm with Pearson correlation coefficients as proximity measures in combination with a complete linkage approach. Scores were $z$-standardized within the scales before clustering. We took quantitative and qualitative indicators (elbow criterion, gap statistic, consideration of the explanatory value of each cluster solution) into account to specify the number of clusters.

In a second step, the resulting ontological profiles (i.e., the clusters) were further described by analyzing the distribution of study program within the profiles and the profile-specific manifestations of the cognitive and affective covariates described above. For study program, we used Chi-squared tests to check for differences in the distributions between each profile and the total sample.

For the cognitive and affective covariates, we conducted one-sample $t$-tests against reported means from comparable reference groups based on the subsample of $n = 254$ students (see Table 1) for which data on both the ontological profiles and the cognitive and affective covariates were available. For verbal and numerical abilities, the reference sample are 21–25-year-old students from Germany with comparable formal education (i.e., higher education entrance qualification, German: “Abitur,” see Liepmann et al., 2007). For general reasoning, we compared our sample to a sample of US university students with a mean age of 21.4 years, which represent the only available published reference values for the short form of the Raven APM (see Arthur and Day, 1994). For spatial ability, we compared our sample to a gender-matched university students’ sample from Germany within science study fields (see Peters et al., 2006). For technology-related self-concept, we refer to an own data collection of $N = 1,268$ university students in engineering study programs at the very beginning of their first semester.

RESULTS AND DISCUSSION
We used the OCET to assess first-year students’ answer patterns across the nine ontological categories which are assumed to reflect tendencies in thinking about engineering problems. A cluster analysis was conducted on the nine ontological categories, which were each standardized at the category mean. Based on the elbow criterion, the gap statistic, and with respect to the explanatory value of each cluster solution, we decided to interpret a solution that distinguished between six ontological profiles shown in Figure 3. In addition to study program, we used standardized measures of general reasoning, verbal, numerical, and spatial ability as well as students’ technology-related self-concept to describe similarities and differences in the resulting ontological profiles. To ease interpretation, the profile-specific scores on these measures were compared to published reference values or representative samples (only for self-concept), respectively (see Table 3). The results of all analyses are reported jointly in the context of the descriptions of the six ontological profiles. For the sake of readability, the
Six Different Ontological Profiles

In the following, we describe the ontological profiles by focusing on those ontological categories within each profile that show...
a significant difference (see Table 3) to the sample mean (see Figure 3) and provide a short interpretation. Please refer to Table 4 for profile sizes and the distribution of gender and study program for each ontological profile.

The profiles do not reflect traits that are enduring and stable but rather the actual state of students’ higher-level conceptual knowledge structures that are malleable and expected to change in the course of their discipline-specific socialization at university (Schneider and Hardy, 2013; cf., Fläig et al., 2018).

**Condition-Focused Profile**
Students in the Condition-Focused profile focus on the conditions that determine the technical system—both logical and regarding more general prerequisites (e.g., “What conditions and conditional relations have to be considered in order that the system can work?”). They do not care much about the appearance and purpose of the system, and rather tend to animistic thinking. Despite above-average numerical abilities, they also show below-average verbal abilities and lower technology-related self-concept (Tables 3, 5).

**Concrete-Intuitive Profile**
The Concrete-Intuitive profile consists of students who report animism as one major ontological category when thinking about engineering problems. Their thinking is context-driven in the sense that they focus on the concrete appearance (e.g., “How does the technical system look like?”) and the purpose of the technical system at hand. They do not focus on the classification of elements of technical systems, logical relationships between those elements, their function, behavior, or structure (Table 3). These students hence show a profile that rather reflects intuitive thinking and a naturalistic, pragmatic approach to technical systems. Besides above-average numerical abilities, they reached below-average scores on the verbal and spatial ability measures, and a low technology-related self-concept (Table 5).
Concrete-Dynamic Profile

Students in the Concrete-Dynamic profile seem to have a very naturalistic (focused on appearance) and dynamic conception (focused on behavior) of the technical system when solving engineering problems: they activate a realistic representation of the system at work. They do so without zooming in on the components of the system and their function and without zooming out to consider the general prerequisites for using the system (Table 3). Students in this profile show above-average general reasoning abilities in addition to above-average numerical abilities.

Concrete-Static Profile

Students in the Concrete-Static profile also seem to have a very naturalistic (focused on appearance) but—different from the Concrete-Dynamic profile—static conception of the technical system that is characterized by a focus on its structure with little consideration of its behavior. They tend to visualize the appearance of the system with its structural components but without considering the logical relationships between them. These students do not show an animistic approach when thinking about technical systems (Table 3). They are characterized by above-average general reasoning abilities in addition to above-average numerical abilities (Table 5).

Applying Profile

Within the Applying profile, students focus on what the technical system can be used for (purpose), its requirements to operate (prerequisites), its behavior, as well as on logical relationships and the functions of its elements. These students show a profile that vividly considers many aspects and conditions that are important for its application without considering its appearance and without implicit notions of human-like behavior (animism) of the technical system (Table 3). They reached above-average general reasoning and numerical ability scores (Table 5).

Component-Focused Profile

In addition to low animistic tendencies, students within the Component-Focused profile do not think about the purpose, the appearance, or the prerequisites of a technical system. They rather focus on its structural components, their classification, and their specific functions within the technical system. These students seem to follow a rather theoretical approach that focuses on the characteristics and functions of the components of the technical system (Table 3). They likewise show above-average general reasoning and numerical ability scores (Table 5).

Summary

Importantly, students in all six ontological profiles show above-average numerical abilities (see Figure 3 and Table 5), which can be considered a fundamental prerequisite for choosing a study program in the field of engineering (representing one main driver for self-selection into engineering disciplines, e.g., Gustafsson and Balke, 1993). Students in the last four profiles (Concrete-Dynamic, Concrete-Static, Applying, Component-Focused), all show the same pattern of above-average general reasoning abilities in addition to above-average numerical abilities, although they largely differ in their ontological profiles (Table 5). The only thing that they have in common is a low tendency for animism. The two remaining profiles, Concrete-Intuitive and Condition-Focused, seem to have activated the ontological category animism more often during engineering problem solving. Together with the students‘ lower technology-related self-concept and lower scores on the verbal ability measure in both profiles, this tendency may reflect higher uncertainty in dealing with engineering problems.

There are three profiles described as Concrete that all tend to a non-formal and naturalistic approach to engineering problem solving (focus on appearance). Students in the Concrete-Intuitive profile, with slightly less favorable cognitive and affective preconditions, additionally focused on purpose and animism, compared to a focus on either the behavior or structure of a technical system in the Concrete-Dynamic and Concrete-Static profiles.

Contrasting the three profiles not concerned with the appearance of a technical system, students in the Condition-Focused profile have in mind what is necessary for the system to work—both in terms of general requirements like electricity and in terms of the logic behind all operations such as “if-then” relations. By contrast, students in the Component-Focused profile seem to zoom in on the individual constituents of the system. Students in the Applying profile, on the other hand, focus on
TABLE 5 | Values and one-sample t-tests against reported means from comparable reference groups\textsuperscript{abcd} of the cognitive and affective scales for each ontological profile used in Figure 1.

|                       | N   | M   | SD  | t    | p    |
|-----------------------|-----|-----|-----|------|------|
| Verbal abilities\textsuperscript{a} | 478 | 13.07 | 3.85 | —   | —   |
| Condition-focused     | 23  | 11.22 | 3.49 | —2.546 | 0.018 |
| Concrete-intuitive    | 41  | 11.46 | 3.19 | —3.228 | 0.002 |
| Concrete-dynamic      | 47  | 13.36 | 2.63 | 0.760 | 0.451 |
| Concrete-static       | 33  | 13.67 | 2.06 | 1.667 | 0.105 |
| Applying              | 71  | 13.45 | 2.45 | 1.307 | 0.196 |
| Component-focused     | 39  | 13.49 | 1.86 | 1.399 | 0.170 |
| Numerical abilities\textsuperscript{a} | 478 | 14.17 | 3.85 | —   | —   |
| Condition-focused     | 23  | 16.04 | 2.79 | 3.223 | 0.004 |
| Concrete-intuitive    | 41  | 16.02 | 3.13 | 3.798 | 0.000 |
| Concrete-dynamic      | 47  | 16.26 | 2.90 | 4.929 | 0.000 |
| Concrete-static       | 33  | 15.42 | 3.29 | 2.191 | 0.036 |
| Applying              | 71  | 15.94 | 3.63 | 4.118 | 0.000 |
| Component-focused     | 39  | 15.77 | 3.07 | 3.250 | 0.002 |
| Spatial abilities\textsuperscript{b} | 506 | 13.67 | 4.91 | —   | —   |
| Condition-focused     | 23  | 13.39 | 3.61 | —0.213 | 0.834 |
| Concrete-intuitive    | 41  | 11.10 | 6.07 | —2.717 | 0.010 |
| Concrete-dynamic      | 47  | 13.13 | 6.65 | —0.560 | 0.578 |
| Concrete-static       | 33  | 14.67 | 5.55 | 1.031 | 0.310 |
| Applying              | 71  | 12.35 | 6.41 | —1.733 | 0.088 |
| Component-focused     | 39  | 14.41 | 5.31 | 0.870 | 0.390 |
| General reasoning\textsuperscript{c} | 246 | 8.1   | 2.5  | —   | —   |
| Condition-focused     | 23  | 8.91  | 2.37 | 1.644 | 0.114 |
| Concrete-intuitive    | 41  | 7.93  | 2.09 | —0.530 | 0.599 |
| Concrete-dynamic      | 47  | 9.55  | 1.92 | 5.188 | 0.000 |
| Concrete-static       | 33  | 9.42  | 1.66 | 4.586 | 0.000 |
| Applying              | 71  | 8.69  | 2.13 | 2.336 | 0.022 |
| Component-focused     | 39  | 8.85  | 1.99 | 2.337 | 0.025 |
| Technology-related self concept\textsuperscript{d} | 1,268 | 2.89 | 0.58 | —   | —   |
| Condition-focused     | 23  | 2.55  | 0.54 | —2.931 | 0.008 |
| Concrete-intuitive    | 41  | 2.69  | 0.48 | —2.611 | 0.013 |
| Concrete-dynamic      | 47  | 2.91  | 0.58 | 0.311 | 0.757 |
| Concrete-static       | 33  | 2.96  | 0.54 | 0.759 | 0.453 |
| Applying              | 71  | 2.91  | 0.53 | 0.350 | 0.727 |
| Component-focused     | 39  | 3.03  | 0.51 | 1.710 | 0.086 |

\(N\) = Sample size; \(M\) = Mean; \(SD\) = Standard deviation; \(t\) = t-test statistic; \(p\) = p-value.

aReference value for 21–25-year-olds with high school-diploma (see Liepmann et al., 2007).

bReference value for a gender-matched university subsample (Peters et al., 2006).

cReference value for a university sample (mean age 21.4 years, see Arthur and Day, 1994).

dReference value from own data of \(N = 1,268\) university students in engineering study programs at the beginning of their first semester.

on both conditions and the functionality of the system, from a dynamic (behavior) perspective and without thinking about the component structure and component classification.

**Differences Between Study Programs**

Only in the Concrete-Intuitive profile, the distribution over study programs significantly deviated from expectations, \(X^2(3, N = 336) = 11.73, p < 0.01\). It is noteworthy that there were significantly more women within that profile than could be expected from the existing gender ratio within the total sample, \(X^2(1, N = 337) = 5.14, p < 0.05\). Since a high number of students in the Concrete-Intuitive profile attend study programs with a relatively high proportion of female students (especially civil engineering) and a low number of students in this profile study mechanical engineering with the lowest proportion of female students, differences between study programs could be attributed to a gender effect (gender-specific preferences resulting in self-selection into particular study programs; Table 3). However, self-selection effects and effects of experiences gained in different engineering study programs cannot yet be separated in this study. Although still at the beginning of their studies, students attending civil engineering classes might have already developed more intuitive, naturalistic, and pragmatic thinking approaches adequate for problem solving in this engineering domain. By contrast, this ontological profile might be particularly different from problem solving approaches encountered at the beginning of mechanical engineering studies. Apart from the effects reported for the Concrete-Intuitive profile, study program person could not explain differences and similarities in ontological profiles. With the exception described above, how individuals think about engineering problems does not seem to influence their choice of study program within the engineering domain or, to put it the other way around, first contact with their specific study program apparently did not yet influence their thinking approaches. Accordingly, first-year students’ ontological profiles may rather reflect idiosyncratic preconditions and personal experiences than self-selection or the socialization into discipline-specific thinking that only has just begun in the first semester of their study program.

**Limitations and Future Research**

Following up on the previous section, the cross-sectional design of the study can be considered a limitation of this study. Considering ontological categories in longitudinal models of knowledge development in engineering, future research may be able to differentiate between idiosyncratic variation (including prior experiences with engineering topics, for instance), variation by self-selection, and variation by socialization into different study programs. Such designs also allow investigating differences between discipline experts and novices in terms of lower- and higher-level knowledge as well as ontological flexibility reflecting the ability to flexibly activate different profiles depending on the problem context and the collaboration partner. For instance, a reversed u-shaped development could be expected for ontological flexibility, with many years of socialization in a specific discipline leading to less flexibility after an initial increase.

The solution of complex problems often involves cooperations between many different individuals—who need to understand each other. Although ways of thinking and underlying knowledge structures may differ largely, people tend to extrapolate from their own knowledge to the knowledge of others (the so-called false-consensus bias). In consequence, cooperations are likely to suffer from misunderstanding and often fail to reach their full potential (Bromme, 2000; Godemann, 2006, 2008; Reiff et al., 2017). Existing research focuses on infrastructure...
Implications for Practice
Developing sensitivity for different thinking tendencies, which are subject to change themselves, can be an important step toward university instruction that acknowledges diversity and against uniform teaching for a selective group of students. Addressing higher-level knowledge structures (i.e., ontological categories and ontological profiles) can pave the way for constructing and reconstructing intermediate-level knowledge elements (i.e., concepts). For example, students thinking about technical systems mostly in terms of the behavior of the system (Concrete-Dynamic and Applying) may struggle with instructional materials that assume a structure-perspective and try to integrate the new content into the behavior-focused thinking approach. If students have to work with a block definition diagram, for instance, the Component-Focused profile might be particularly beneficial. This ontological profile is the only one where thinking is focused on the classification of elements of the technical systems based on defining characteristics. Classification is a central element of block definition diagrams. Other thinking approaches might be advantageous when doing a fault tree analysis, a deductive procedure that requires the specification of manifold human errors and system failures potentially causing undesired events. A fault tree analysis of a technical system, such as a space capsule, may be easier having activated the Applying profile with its focus on logic (event A leading to event B if C), function (knowledge of the function of each system element under normal conditions), behavior (knowledge of the different system states and their succession), and prerequisites (knowledge of requirements of the system to function normally). Constructive university instruction may explicitly address ontological knowledge structures—thereby also facilitating intermediate-level conceptual learning (Jacobson, 2001; Yang et al., 2010; Chi et al., 2012). Direct teaching of an emergent-causal ontological category to interpret non-sequential science processes, for instance, helped students develop appropriate conceptual knowledge on the emergent process of diffusion (Chi et al., 2012). Ontological flexibility may further be supported by university instruction that explicitly discusses how different ontological categories shape thinking in different situations, when working on engineering problems. By doing so, students may develop metacognitive knowledge on the relation between ontological categories, intermediate-level conceptual knowledge, and situational requirements (Gupta et al., 2010). In addition to meta-knowledge on when to activate which connection, this kind of model flexibility requires highly interconnected knowledge (Krems, 1995).

CONCLUSION
This study identified and interpreted similarities and differences in the higher-order knowledge structures—the ontological categories—that underlie engineering problem solving in a sample of first-year students in engineering disciplines. These students seem to begin their studies with quite different conceptions of engineering problems that vary with individual characteristics such as study program or technology-related self-concept to some extent. Additional idiosyncratic influences and experiences, however, seem to play a more important role at this early stage of their subject-specific socialization. Individual engineering-related experiences like special engineering courses or activities at secondary school (e.g., Dawes and Rasmussen, 2007) or different attitudes toward engineering (e.g., Besterfield-Sacre et al., 2001) may affect students’ thinking approaches. Just as it is the case for intermediate knowledge structures, the ontological profiles described in this paper may also partly reflect diverse everyday experiences (Baroody, 2003; Chi, 2006; Ericsson, 2009; Kim et al., 2011; Vosniadou et al., 2012). This study is explorative in nature and confirmatory follow-up studies are required to substantiate—and further explain—our results. While we focused on a sample of first-year students, the approach suggested in this paper allows modeling how individual characteristics and discipline-specific learning opportunities may shape thinking about engineering problems and knowledge development over time.

DATA AVAILABILITY STATEMENT
The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT
Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS
SH, FR, FL, and BV-H: conception or design of the work, data collection, data analysis and interpretation, critical revision of the article, and final approval of the version to be published. SH and FR: drafting the article.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/feduc.2020.00066/full#supplementary-material

Video 1 | Video of the extended pick and place unit (Vogel-Heuser et al., 2014) in action, as used in the structured interviews with open card sorting tasks.
