Improved Biocompatibility of Intra-Arterial Poly-L-Lactic Acid Stent by Tantalum Ion Implantation: 3-Month Results in a Swine Model

Kangmin Kim,1,* Suhyung Park,2,* Jeong Hwan Park,3 Won-Sang Cho,1 Hyoun-Ee Kim,2 Sung-Mi Lee,2 Jeong Eun Kim,1 Hyun-Seung Kang,1 Tae-Sik Jang4

Objective: Biodegradable poly-L-lactic acid (PLLA) with a highly biocompatible surface via tantalum (Ta) ion implantation can be an innovative solution for the problems associated with current biodegradable stents. The purpose of this study is to develop a Ta-implanted PLLA stent for clinical use and to investigate its biological performance capabilities.

Methods: A series of in vitro and in vivo tests were used to assess the biological performance of bare and Ta-implanted PLLA stents. The re-endothelialization ability and thrombogenicity were examined through in vitro endothelial cell and platelet adhesion tests. An in vivo swine model was used to evaluate the effects of Ta ion implantation on subacute restenosis and thrombosis. Angiographic and histologic evaluations were conducted at one, two and three months post-treatment.

Results: The Ta-implanted PLLA stent was successfully fabricated, exhibiting a smooth surface morphology and modified layer integration. After Ta ion implantation, the surface properties were more favorable for rapid endothelialization and for less platelet attachment compared to the bare PLLA stent. In an in vivo animal test, follow-up angiography showed no evidence of in-stent stenosis in either group. In a microscopic histologic examination, luminal thrombus formation was significantly suppressed in the Ta-implanted PLLA stent group according to the 2-month follow-up assessment (21.2% vs. 63.9%, \( p=0.005 \)). Cells positive for CD 68, a marker for the monocyte lineage, were less frequently identified around the Ta-implanted PLLA stent in the 1-month follow-up assessments.

Conclusion: The use of a Ta-implanted PLLA stent appears to promote re-endothelialization and anti-thrombogenicity.

Key Words: Absorbable implants · Lactic acid · Stents · Tantalum · Pig.
INTRODUCTION

Intra-arterial stenting is one of the most important treatment options for cerebral aneurysms. Although the use of bare metal stents in complex aneurysms has rapidly increased due to their superior mechanical strength and supportability, they remain permanently in the treated vessel and act as a foreign body, which may cause severe complications such as thrombus formation and arterial restenosis. A biodegradable stent that dissolves naturally within the blood vessel after implantation can be a potential solution for these problems, and many studies have been conducted to develop clinically relevant biodegradable stents, especially in the cardiovascular field.

Poly-L-lactic acid (PLLA) is a widely utilized biodegradable polymer in biomedical applications because it can hydrolyze to a natural byproduct under physiological conditions. With regard to stents and the use of PLLA, however, several critical issues must be addressed. In particular, its strong hydrophobicity and innate hypersensitivity with an inflammatory response are regarded as the major causes of poor re-endothelialization and thrombosis. We have initiated studies to overcome these problems via plasma-based surface modification techniques, especially Tantalum (Ta) ion implantation. During this surface treatment, biocompatible Ta is directly implanted within the topmost surfaces of PLLA struts with a complex 3D geometry, forming a moderate hydrophilic surface and eliciting better cell-material interactions. In this study, we report for the first time a novel, biodegradable PLLA stent. This is realized by Ta ion implantation onto a laser-cut PLLA stent for intravascular implantation. The biological effectiveness of the Ta ion implantation treatment on re-endothelialization and anti-thrombogenicity was evaluated in in vitro and in vivo model systems.

MATERIALS AND METHODS

The animal experiment conducted here was approved by the Institutional Animal Care and Use Committee of OSONG Medical Innovation Foundation (KBIO-IACUC-2018-015) and followed the “the Guiding Principles in the Care and Use of Animals” approved by the American Physiological Society.

Stent preparation

Fig. 1 shows a schematic illustration of the Ta implantation treatment of a PLLA stent. PLLA tubes (Poly[L-lactide], 38; 3 mm outer diameter; 2.75 mm inner diameter; length, 10 mm) were purchased from Biogeneral, Inc. (San Diego, CA, USA). Femtosecond laser processing (Spitfire Ace; Spectra Physics, Andover, MA, USA) was utilized to manufacture a PLLA polymer stent under optimized conditions of the pulse energy, scan speed, and spot size. Before the surface treatment, the stent samples provided were ultra-sonicated in ethanol for 10 minutes. Using a DC magnetron sputtering device (Ultech Co. Ltd., Daegu, Korea), Ta was implanted into the stent surface. The chamber was maintained in a vacuum state up to $5 \times 10^{-4}$ Pa for 90 minutes and argon gas was then flowed until the inner pressure reached 0.6 Pa. Three different parts of the stent were marked at constant circumferential intervals for a uniform surface treatment. The three sections were attached to the substrate sequentially and sputtering onto the section.
was conducted for 20 seconds in each case. High negative voltage of 2000 V was applied to the substrate, and the Ta target power was 12 W.

For an in vivo animal experiment, a radio-opaque marker was adopted at the end of the stent using gold wire (0.1 mm thickness; Alfa Aesar, Ward hill, MA, USA). The PLLA stent was then mounted onto a 4×20 mm non-compliant coronary balloon (Genoss, Suwon, Korea) by means of a crimping process (Fig. 2). Various sizes of balloons (3–5 mm) and different pressure levels (8–12 atm) were tested in order to deploy the stent successfully while maintaining its stable structure. The balloon size (4 mm) and pressure (10 atm) used in this study were selected as a result. The arterial coverage ratio by the stent was 27.3%. The control samples were a bare PLLA stent without a surface treatment.

In vitro test

The stents were observed via optical microscopy to confirm the maintenance of the structure after the surface treatment. The surface morphology and roughness (Ra) of the bare and Ta-implanted PLLA stents were examined using a scanning electron microscope (SEM) and a confocal laser microscope, respectively. The wettability of the surface was evaluated by dropping 3.0 µL of distilled water onto it and fitting the droplet in a circle using a water contact angle (WCA) analyzer. For a WCA test of the luminal surface of a Ta-implanted sample, a PLLA tube, only vertical half of which had undergone a laser cutting process, was treated via Ta implantation to mimic a luminal side of a Ta-implanted stent. Ta-implanted PLLA stents were fabricated as transmission electron microscope (TEM) specimens using a focused ion beam after carbon deposition to evaluate the content and depth of the implanted Ta on the surface with an energy-dispersive spectroscopy line scan analysis by TEM. For a mechanical test, a flat plate test was conducted by referring to an earlier method. The stent was placed between two parallel plates and compression force was applied until the gap distance reached 50% of the diameter of the stent. Human umbilical vein endothelial cells (HUVECs; CRL-1730), purchased from American Type Culture Collection, were utilized to evaluate the endothelialization capabilities. All samples were sonicated in 70% ethanol for 10 minutes and then exposed to ultraviolet light for 1 hour. The cells were seeded at a density of 5×10⁴ cells/mL in an endothelial cell basal medium-2 (Lonza, Walkersville, MD, USA) with 10% fetal bovine serum and 1% penicillin-streptomycin. They were cultured in a humidified incubator containing 5% CO₂ at 37°C and obtained after 1, 4, and 7 days. To visualize the spreading morphology and area of the cells on each specimen through confocal laser scanning microscopy (CLSM), they were stained by phalloidin (Invitrogen, Waltham, MA, USA) and 4,6-diamidino-2-phenylindole (Invitrogen) for the cytoplasm and nuclei, respectively. As a proliferation test, the seeding density was 3×10⁴ cells/mL and the absorbance at 492 nm was measured according to a methoxyphenyl tetrazolium salt assay with 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium.

An in vitro platelet adhesion test was conducted as the sterilized stents were incubated in diluted platelet-rich plasma (PRP) for 1 hour. After washing out the remaining platelets, the numbers of platelets adhering to each stent were quantified using a lactate dehydrogenase assay by Multiskan GO (Thermo, Vantaa, Finland). For a SEM analysis, the samples

Fig. 2. Poly-L-lactic acid (PLLA) stent manufactured by laser cutting. The PLLA stent is mounted on a coronary balloon with a gold marker, which is identified in the fluoroscopic image.
were placed into contact with PRP at a higher concentration for one hour, washed, fixed with 2.5% glutaraldehyde, and then dehydrated.

**In vivo test**

In total, four specific-pathogen-free male swine of about 30 kg were used for the *in vivo* test. Antiplatelet drugs (aspirin 100 mg and clopidogrel 75 mg daily) were administered 1 week before stent placement and were maintained until the animals were sacrificed.

For stent deployment, a 6 Fr femoral sheath was inserted, and a 6 Fr guiding catheter was positioned in the common carotid artery using a 0.035-inch guide wire under angiographic

![Image](https://doi.org/10.3340/jkns.2021.0009)

**Fig. 3.** Surface morphology of the stent. Optical (A) and scanning electron microscope (B) images of bare and tantalum (Ta)-implanted poly-L-lactic acid (PLLA) stents. The PLLA stent shows a smooth surface. There is no difference in the surface morphology of the PLLA stent before and after Ta implantation treatment.

![Image](https://doi.org/10.3340/jkns.2021.0009)

**Fig. 4.** Roughness of the stent. A : Surface topography 3D maps of bare and tantalum (Ta)-implanted poly-L-lactic acid (PLLA) stents. B : There is no significant difference in the calculated average roughness on each surface.

![Image](https://doi.org/10.3340/jkns.2021.0009)

**Fig. 5.** Water contact angle test. The tantalum (Ta) treatment increased the wettability of the stent. *p<0.05.
guidance (Artis zee, Siemens, Germany). Balloon-mounted PLLA stents were deployed in the external carotid artery (ECA) with diameters of 2.6 to 3.2 mm. Two Ta-implanted PLLA stents were deployed in the right ECA and two bare PLLA stents were placed in the left ECA. Ultimately, sixteen PLLA stents were successfully deployed in the four swine. All procedures were performed under general anesthesia by a certified veterinarian. Anesthesia was induced with an intramuscular injection of Zoletil® (Virbac, Carros, France) 4 mg/kg and Rompun® (Bayer, Leverkusen, Germany) 2.2 mg/kg. It was maintained using an inhaled agent (sevoflurane) and oxygen during the procedures.

Animals were sacrificed at 1 (n=2), 2 (n=1), and 3 (n=1) months after stent deployment. A follow-up angiography was performed to confirm arterial patency before sacrifice. One Ta-implanted stent at 1-month follow-up was lost during the harvesting procedure and thus 15 stents were harvested. Three paraffin blocks (the proximal, middle, and distal parts) and one resin block were made from each stent sample. For a histologic analysis, hematoxylin and eosin (H&E) staining and immunohistochemical staining for CD 31, CD 34, CD 68, and anti-smooth muscle cell alpha actin were performed. The histologic analysis included luminal thrombus (% = 100 × [luminal thrombus area/luminal area]), neointimal hyperplasia and stenosis, as suggested by Schwartz et al.18, and inflammation and fibrosis according to a quantitative method to evaluate local biological effects after the implantation of the prosthesis in this case9,15. All histologic analyses were performed by an independent, blinded and certified pathologist. SPSS software (version 20; IBM corp., Armonk, NY, USA) and MedCalc for Windows (version 19; MedCalc Software, Ostend, Belgium) were used for the statistical analysis. A value of \( p < 0.05 \) was considered statistically significant.

**Fig. 6.** Radial force of the poly-L-lactic acid (PLLA) stent (no significant difference).

**Fig. 7.** Tantalum layer of the poly-L-lactic acid (PLLA) stent. Representative cross-sectional transmission electron microscope (TEM) image and the TEM/energy-dispersive spectroscopy line profile with C, O, and tantalum (Ta) along the white line from points a to b on the (A) luminal surface and (B) the abluminal surface of the Ta-implanted PLLA stent.
RESULTS

Surface characterization

After the Ta ion implantation treatment, the PLLA stent had an opaque and colorless appearance, and its complex shape was well maintained without any deformation or distortion (Fig. 3). Despite the several manufactured tracks on the stent surfaces before and after the surface treatment, their average surface Ra values were only approximately 0.028±0.008 μm and 0.028±0.003 μm for the bare and Ta-implanted PLLA stents, respectively, representing excellent micro-scale flatness on both surfaces (Fig. 4). As shown in Fig. 5, the WCAs (º) of the luminal and abluminal sides of the Ta-implanted samples were 60.0º±1.88º and 51.1º±9.12º, respectively, while it was

![Fig. 8. Endothelialization test. A: Confocal laser scanning microscopy images of adhered endothelial cells. Surface coverage of endothelial cells (B) and cell viability (C) on bare and tantalum (Ta)-implanted poly-L-lactic acid (PLLA) surfaces after 1, 4, and 7 days of culturing, respectively. *p<0.05, †p<0.01.](image)

![Fig. 9. Platelet adhesion test. A: Scanning electron microscope images of the adhered platelet morphology on bare and tantalum-implanted poly-L-lactic acid (PLLA) stents. B: Absorbance difference between 492 nm and 690 nm of a platelet-lysed solution and (C) the number of adhered platelets on each PLLA stent from the lactate dehydrogenase assay. *p<0.05.](image)
104.1±0.44° on the bare PLLA ($p=0.000$); that is, the Ta treatment increased the hydrophilicity of the stent by introducing a Ta oxide layer. As depicted in Fig. 6, the Ta-implantation process on the surface did not affect the mechanical strength of the PLLA stent, indicating that good mechanical integrity remained.

Fig. 7 shows that Ta ions were successfully implanted into both the luminal and abluminal surfaces of the PLLA stent, forming a Ta-implanted skin layer regardless of the location. Because the distance from the Ta target and physical hindrance could affect the efficiency of Ta implantation on the luminal surface, the atomic concentration of Ta was higher on the abluminal surface. However, even on the luminal surface, the Ta layer was clearly observed at a depth of 10 nm on the topmost surface with the highest atomic concentration of approximately 6%.

**In vitro endothelialization and blood compatibility**

The effects of Ta ion implantation on the interaction between the HUVECs, platelets and the PLLA stent surface were examined by visualizing the corresponding adhesion morphologies on bare and Ta-implanted PLLA substrates. Fig. 8A shows representative CLSM images of the adhered HUVECs after 1, 4, and 7 days of culturing. On the Ta-implanted PLLA surface, a significant number of HUVECs were adhered and progressively spread in every direction, forming a typical cobblestone-like morphology with tight cell-cell interaction, whereas the bare PLLA exhibited fewer cells which were adhered individually with a lack of cell-cell junctions. The fractions of cell surface coverage with culturing times of 1, 4, and 7 days were 48%, 61%, and 77% on the Ta-implanted PLLA, respectively, and were 14%, 34%, and 40% on the bare PLLA.
(Fig. 8B). In addition, HUVECs tended to proliferate more actively on the Ta-implanted PLLA, showing a higher cell viability value when compared to those cultured on bare PLLA (Fig. 8C).

The blood compatibility of each sample was investigated by platelet adhesion tests and the results were compared quantitatively. As shown in Fig. 9A, significantly fewer platelets were found to have adhered when the PLLA surface was treated with Ta ion implantation; the density of the adhered platelets (number/mm$^2$) on the Ta-implanted PLLA was 92.2±95.5, significantly lower than that on the bare PLLA (306.0±10.2, $p=0.046$) (Fig. 9B and C).

**In vivo test**

The follow-up angiographic images showed that all ECAs were patent with no evidence of in-stent stenosis (Fig. 10A). However, an arterial lumen collapse was identified in the histologic analysis (Fig. 10B). Therefore, an accurate analysis of percent area stenosis ($[1 - \text{luminal area/internal elastic lamina}] \times 100$) based on a histologic examination could not be done. Luminal thrombus formation was significantly suppressed in the Ta-implanted PLLA stent group at 2 months after stent placement (21.2% vs. 63.9%, $p=0.005$, Fig. 11). Neointimal hyperplasia, luminal stenosis, inflammation and fibrosis showed no differences between the two groups.

The expression levels of CD 31, CD 34, and anti-smooth muscle cell alpha actin showed no significant differences between the two groups in the immunohistochemical analysis. CD 68(+) cells, which represent an inflammatory response, were more frequently identified around the bare PLLA stent during the 1-month follow-up (Fig. 12A and B), and the difference disappeared over time (Fig. 12C).

**DISCUSSION**

Our study aimed to investigate the biological effects of Ta ion implantation on the re-endothelialization and thrombogenicity of PLLA stents to assess whether this surface treatment technique can ameliorate the serious complications associated with bare PLLA stents. Although PLLA stents for cardiovascular applications have been approved by the Food and Drug Administration in the USA and have been used in clinical practice$^{24}$, they are currently being withdrawn from the market due to excessive inflammation and thrombus formation$^{4,11,16,20}$. Because these problems are caused by hypersensitive reactions to the PLLA surface in the vessel, biocompatible coatings have been demonstrated as an effective and suitable treatment capable of reducing the risk$^{22}$. In particular, previous studies have revealed that the surface of Ta is hydrophilic and biologically stable, enabling favorable interaction...
between endothelial cells and blood proteins. Ta is a well-known biocompatible metal used in various medical applications, of which oxides enhance its hemocompatibility by preventing the charge transfer of fibrinogen in the blood, resulting in the restricted formation of thrombosis. It was reported relatively few metal ions rarely cause cytotoxicity, allergies, or other biological side-effects. Thus, Ta implantation is able to apply on a biodegradable intravascular stent because the fine Ta or its oxide particles could be released out and partially dissolved and transported along the normal circulation.

In this study, we confirmed that the surfaces of the PLLA stents were successfully modified with Ta ion implantation. Sputtered Ta ions from a target gun were implanted into the topmost surface of the PLLA stent, forming a Ta-implanted skin layer with a thickness of around 10 nm on its surface (Fig. 7). After the Ta ion implantation treatment, the PLLA stent maintained its original 3D geometry and surface flatness without any micro-scale unevenness or defects (Fig. 3), which should minimize turbulent intraluminal blood flows and provide a stable environment at the implantation site.

In vitro studies showed that Ta ion implantation substantially improved the endothelialization ability of the PLLA stent, whereas platelet attachment was suppressed on its surface. These favorable interactions of the Ta-implanted PLLA stent with cells can enhance the in vivo biocompatibility.

Prior to a discussion with histological results, we would like to highlight a practical difficulty which arises during the preparation of histologic specimens. In general, resin embedding is used in intravascular stent experiments to maintain the integrity of the stent structure. However, in this study, relatively weak paraffin embedding was selected in most specimen preparation cases for the immunohistochemical analysis. In addition, the relatively small size of the PLLA stent (3×10 mm) complicated the maintenance of the original shape during the histological sample preparation process. Despite the fact that the histologic examination showed what appeared to be an arterial occlusion in a segment of the stent, the angiographic results clearly indicated that both stents were widely patent without any signs of in-stent stenosis. Therefore, it should be reasonable to conclude that the angiographic results are more reliable with respect to the long-term patency rate of the stent.

Anti-thrombogenicity is a crucial characteristic of a hydrophobic PLLA polymer stent, as it allows the suppression of platelet aggregation and prevents in-stent restenosis or late thrombosis, leading possibly to clinical failures. Although there were no differences found during the 1-month follow-up assessment, less thrombogenicity was identified at the follow-up exam after 2 months in a histologic analysis. Interpretation of this result is described below.

A critical limitation of the in vivo test appears to be the use of a balloon to deploy the PLLA stent in the artery. We used coronary balloons with a diameter of 4 mm, greater by 1mm than the stent diameter used to reduce the recoil phenomenon of PLLA stents caused by the mechanical properties of PLLA. The diameter of the ECA was approximately 3 mm; therefore, balloon inflation for the deployment of the PLLA stent may damage the arterial wall. As a result, the evaluation of thrombosis may have been affected by arterial damage during an acute period. However, over time, the arterial wall would have healed. The result of thrombogenicity in the 2-month follow-up assessment appears to stem from the healing of the damaged arterial wall. Under such conditions, the Ta-implanted PLLA stent showed less thrombogenicity, in good agreement with the results of the in vitro experiment.

Rapid endothelialization after stent deployment is essential to prevent intimal hyperplasia or restenosis. In the evaluation of endothelialization (CD 31, CD 34) and the tissue response (anti-smooth muscle cell alpha actin), there were no differences between the two stent groups. It appears that the effect of arterial damage due to the inflation of the balloon is more prevalent than that of the PLLA stent itself. In addition, the longest follow-up duration after stent deployment was only 3 months in this study, which may be too short a period of time to assess endothelialization. The results of CD 68-positive cells, which represent inflammation, also appear to be strongly influenced by balloon-induced arterial wall damage. If we can increase the number of experimental animals for an acute-phase evaluation and minimize damage to blood vessels as caused by the balloons, more significant results can be gained.

This preclinical study of Ta-implanted PLLA stents presents some implications for future research on biodegradable PLLA stents in the cerebrovascular field. In a swine model, the balloon-mounted PLLA stent, which has a similar navigability compared to a conventional balloon-mounted coronary stent, was easily navigated to the ECA with a 6 Fr guiding catheter.
Of course, the arterial anatomy of the swine was straight, and the navigability of the stent was not an issue. More flexibility may be needed for application to the cerebral arteries to overcome the tortuosity of the internal carotid artery. Further research is therefore needed to improve the navigability of the stent.

The use of balloons may not be suitable, especially for the treatment of an intracranial aneurysm patient owing to the high risk of arterial damage during the deployment of the stent. Therefore, it is necessary to create a type of self-expandable stent to minimize intraoperative vascular injuries. In order to make a biocompatible and self-expandable stent with PLLA, it is necessary to modify not only the surface biological properties but also the bulk mechanical strength of the PLLA, including the elastic modulus, toughness, and strain-to-failure levels. In previous studies, blending with several biopolymers such as cellulose, abaca fibers, chitosan, polyvinyl acetate, and low-density polyethylene has shown the ability to enhance the mechanical properties of PLLA\textsuperscript{2,17,21}. We expect that a better understanding of the biological performance capabilities of Ta-implanted PLLA stents will be achieved by developing these devices in a self-expandable form, followed by testing in an \textit{in vivo} model in the future.

**CONCLUSION**

A novel strategy for developing a more biocompatible and less thrombogenic PLLA stent has been demonstrated. The Ta ion implantation technique was able to produce a Ta-implanted surface layer without any deformation or distortion of the PLLA stent. The biological properties of the PLLA stent became remarkably more favorable by enhancing endothelial cell responses and suppressing platelet adhesion. The swine \textit{in vivo} study conducted here confirmed the lower level of thrombogenicity of the Ta-implanted PLLA stent as compared to that with a bare stent.

**CONFLICTS OF INTEREST**

No potential conflict of interest relevant to this article was reported.

**INFORMED CONSENT**

This type of study does not require informed consent.

**AUTHOR CONTRIBUTIONS**

Conceptualization : WSC, TSJ, HSK, JEH, HEK  
Data curation : KK, SP, SML  
Formal analysis : KK, SP, JHP, SML  
Funding acquisition : WSC, TSJ, HSK, JEH, HEK  
Methodology : KK, SP, SML, TSJ, HSK, HEK  
Project administration : KK, SP, TSJ, HSK, HEK  
Visualization : KK, SP, TSJ, HSK, HEK  
Writing - original draft : KK, SP  
Writing - review & editing : HSK, TSJ, HEK

**ORCID**

Kangmin Kim https://orcid.org/0000-0002-7409-4412  
Suhyung Park https://orcid.org/0000-0002-1808-018X  
Jeong Hwan Park https://orcid.org/0000-0003-4522-9928  
Won-Sang Cho https://orcid.org/0000-0002-3345-8718  
Hyoun-Ee Kim https://orcid.org/0000-0001-8542-0124  
Sung-Mi Lee https://orcid.org/0000-0002-0130-1572  
Jeong Eun Kim https://orcid.org/0000-0002-6927-3109  
Hyun-Seung Kang https://orcid.org/0000-0002-6957-1907  
Tae-Sik Jang https://orcid.org/0000-0003-3540-0525

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