Management of colorectal cancer
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Abstract
Colorectal cancer is one of the most frequent solid tumors in the Western world. Treatment options are dependent on the stage of the disease, the performance status of the patient, and increasingly the molecular makeup of the tumor. In countries with surveillance programs, the incidence rate as well as the mortality rate has gone down because of the earlier stages at which the tumors are detected. For rectal cancer, standard of care differs from that of colon cancer with regard to perioperative treatment. In the metastatic setting, treatment options are uniform for colorectal cancer. Over the years, treatment options have emerged from single-agent 5-fluorouracil (5-FU) treatment to combination regimens using 5-FU and oxaliplatin or irinotecan or both. Treatment efficacy in the metastatic setting has been increased with the introduction of targeted substances. These include (a) the anti-vascular endothelial growth factor-A (anti-VEGF-A) antibody bevacizumab, (b) the anti-epidermal growth factor receptor (anti-EGFR) antibodies cetuximab and panitumumab, (c) the anti-angiogenic multi-kinase inhibitor regorafenib, and (d) the anti-angiogenic compound aflibercept. Anti-EGFR antibodies have shown efficacy only in the subpopulations of tumors that do not have any mutation in KRAS and NRAS exon 2, 3, 4. Physicians have the choice in the first line to use anti-EGFR or anti-VEGF inhibitors in combination with chemotherapy based on treatment goals and patient performance. In recent years, tumor location has been shown to be prognostic and predictive for clinical outcome. Right-sided sporadic colon cancers differ significantly in molecular characteristics and, with the exception of microsatellite instability (MSI-H) tumors, are associated with poor prognosis. Tumors based on hereditary non-polyposis colorectal cancer, on the other hand, have excellent prognosis in stage II and III disease. Recent efforts have focused on the molecular classification of colorectal cancer with the purpose of establishing molecularly defined subgroups.

Epidemiology
Colorectal cancer (CRC) is the third most common cancer in males and females, accounts for 8% of new cancer cases in the United States (US), and is responsible for 8% to 9% of the estimated cancer deaths in the US in 2014 [1]. The lifetime probabilities of developing invasive CRC in the US are 5% in males (1 in 20) and 4.6% in females (1 in 22), and the median age at time of diagnosis is about 70 years. Worldwide incidence rates of CRC vary widely; the incidence rate is 10-fold higher in the US and Europe than in African and Asian countries. First-generation immigrants have the incidence rates of their home country, whereas in second-generation immigrants, incidence rates adapt to the rates of the country of immigration. Western lifestyle, with its known risk factors of red meat (beef and pork), alcohol consumption, and obesity, is associated with a higher risk of CRC. Patients with inflammatory bowel disease (ulcerative colitis and Crohn’s disease) also have a higher risk of CRC and warrant close surveillance programs. Hereditary syndromes that are known to be associated with the development of CRC, such as FAP (familial adenomatous polyposis) and HPNMC (hereditary non-polyposis CRC), account for 5% of CRC cases. Familial clustering is assumed to account for another 20% of cases. Sporadic CRC cases account for the vast majority (about 75%) [2].
Cure and survival rates depend on the stage of CRC. Staging is done by the size of the primary tumor (T stage), the involvement of lymph nodes (N stage), and the occurrence of distant metastases (M stage). Table 1 gives the 5-year survival rates depending on the stage in CRC [3].

Primary prevention strategies of sporadic CRC include increased consumption of whole grains and fruits and vegetables [4,5], increased physical activity, and, for adipose patients, weight reduction [6]. Owing to inconsistent study results, no recommendations with regard to nutrition can be made. Although chemoprevention of colorectal polyps with low-dose aspirin or other COX2 inhibitors has been shown to be effective [7,8], no reduction in the incidence rate of CRC could be established. With the known gastrointestinal side effects of aspirin, such as gastric ulcers and gastrointestinal bleeding, and the increased risk of cardiovascular events associated with the long-term intake of COX2 inhibitors, there is no consensus on chemoprevention.

The implementation of screening programs in some countries has led to the detection of CRC in earlier stages. In combination with the development of more effective treatment options for the metastatic stage, 5-year survival rates have improved throughout all stages, from about 51% in the ’70s to about 65% in the 2000s when all races are taken together [1]. However, survival rates differ by ethnicity, and rates are lower in African-Americans. As displayed in Table 1, patients with a small tumor (Union for International Cancer Control [UICC] stage I and II) have an excellent 5-year survival rate after surgery alone and are not treated with adjuvant chemotherapy. Locally advanced stages in which the primary tumor has managed to metastasize to the local lymph nodes (UICC stage III) are treated with adjuvant treatment to reduce the risk of recurrence after surgery. In the metastatic setting (UICC stage IV), even with modern and targeted chemotherapeutic regimens and the advances in secondary metastasectomy, 5-year survival rates remain low at about 15%, even though rates up to 32% have been reported in specialized centers in the US [9].

Molecular carcinogenesis

The principle of the adenoma-carcinoma sequence was introduced in 1975 by Day and Morson [10]. They described CRC carcinogenesis from benign precursors to invasive carcinomas in hereditary and sporadic cases. Vogelstein and colleagues [11] proposed the accumulation of genetic alterations, in particular APC, TP53, and KRAS mutations, to be responsible for CRC development. Next to the hereditary syndromes such as FAP, which is caused by APC gene defects, and HNPCC (hereditary non-polyposis colorectal cancer), which is caused by a defective DNA mismatch repair that leads to microsatellite instability (MSI-H), most CRCs are sporadic. They have been divided into three molecularly different types: chromosomal instable (CIN), MSI-H [12], and CpG-island methylated phenotype (CIMP) [13] tumors. Next to the classic adenoma-carcinoma pathway, which is classified by Wnt pathway dysregulation [14], the development of CRC via serrated polyps with early BRAF mutations has been described [15]. The understanding of CRC carcinogenesis has been extended as a result of technical developments. In 2006, first-generation sequencing in 11 colorectal tumors revealed 11 recurrent mutations per tumor [16]. In the landmark publication of The Cancer Genome Atlas Network in 2012 [17] applying next-generation sequencing technique on 97 colorectal tumors, it has become clear that CRC is made up of a complex network of genetic alterations leading to the dysregulation of multiple pathways. At the 2014 American Society of Clinical Oncology Annual Meeting, four major molecularly distinguishable subtypes of CRC were proposed by the CRC subtyping consortium [18]. For this classification, microarray data on more than 4500 CRC tumors of all UICC stages were used to propose four major molecular subtypes of CRC, leaving 21% of the tumors unclassified [18] (Table 2).

It remains to be seen whether this classification will stand the test of time. Until now, it has been unclear whether those molecular subtypes, with the exception of MSI-H tumors, are associated with treatment outcomes in CRC.

| UICC stage | TNM T stage | N stage | M stage | 5-year survival rates [3] |
|------------|-------------|---------|---------|--------------------------|
| 0          | TIS         | N0      | M0      | 93.2%                    |
| I          | T1, T2      | N0      | M0      | 84.7%                    |
| IIA        | T3          | N0      | M0      | 72.2%                    |
| IIB        | T4          | N0      | M0      | 83.4%                    |
| IIIA       | T1, T2      | N1      | M0      | 64.1%                    |
| IIIB       | T3, T4      | N1      | M0      | 52.3%                    |
| IIIC       | T1-4        | N2      | M0      | 8.1%                     |
| IV         | T1-4        | N1-2    | M1      |                          |

Abbreviations: M, distant metastases; N, lymph node involvement; T, tumor size; UICC, Union for International Cancer Control.
Management of rectal cancer

Management of rectal cancer, which accounts for about 35% of all CRC, differs in early stages, as anatomic conditions are distinctive from the rest of the colon, and local recurrence is a major problem for morbidity and quality of life. Prognosis after surgery is dependent on the circumferential resection margin, emphasizing the importance of the surgical quality on outcome [19]. Preoperative magnetic resonance imaging scans to estimate the involvement of the rectum wall and local lymph node metastases are standard in most centers. Well-differentiated (G1/G2) small tumors (<3 cm) (ultrasound staging classification uT1, N0, and M0) can be cured with local excision. After surgical removal of pT1 low-risk tumors, no adjuvant chemotherapy is recommended [20]. In case of a higher postoperative T stage, an additional total mesorectal excision (TME) should be performed. To reduce the possibility of local recurrence in locally advanced rectum cancers (T2-4, N0-2, and M0), neoadjuvant radio-chemotherapy using a fluoropyrimidine followed by TME and adjuvant chemotherapy is considered standard [21]. With a longer follow-up, the difference in 10-year relapse rate was significant ($P = 0.048$) with cumulative incidences of local relapse of 7.1% in the preoperative and 10.1% in the postoperative chemoradiation arm [26]. The primary endpoint of the study, overall survival (OS), was not met. The frequency of distant metastases was comparable in the two treatment arms.

In one study, the addition of oxaliplatin to this infusional 5-FU treatment resulted in a significantly higher rate of pathological response [27] and a significantly longer 3-year disease-free survival (DFS) [28]. However, the recently presented PETACC-06 study tested oxaliplatin in combination with capecitabine and radiotherapy in the same setting and failed to demonstrate a better outcome for the oxaliplatin-treated cohort [29]. This is in line with previous published data from phase III trials (STAR01 [30] and ACCORD/PRODIGE2 [31]). Therefore, the addition of oxaliplatin to the neoadjuvant radio-chemotherapy cannot be recommended. The ADORE study tested 5-FU bolus versus 5-flourouracil, leucovorin, oxaliplatin (FOL-FOX) as adjuvant treatment after surgery for rectal cancer. 5-FU bolus resulted in a significantly shorter DFS [32] and should not be administered any longer.

To summarize, in locally advanced rectal cancer, capecitabine during radio-chemotherapy is superior to bolus 5-FU [22] as a combination partner for radiotherapy. Within this concept, the addition of oxaliplatin to capecitabine was not shown to be of superior outcome [29]. In high-risk patients with positive lymph nodes and no major patho-histological response after neoadjuvant

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**Table 2. Molecular subclassification of colorectal cancer tumors** [18]

| Type   | Clinical characterization | Molecular features                                      |
|--------|---------------------------|--------------------------------------------------------|
| CMS1   | Females, older age, right colon | MSI, hypermutation, BRAF mutant, immune activation |
| CMS2   | Left colon                | Epithelial, MSS, high CIN, TP53 mutant, WNT/ MYC pathway activation |
| CMS3   | Younger age, stage III/IV  | Epithelial, heterogeneous CIN/MSI, KRAS mutant, IGFBP2 overexpression |
| CMS4   |                             | Mesenchymal, CIN/MSI, TGFβ/VEGF activation, NOTCH3 overexpression |
| Unclassified |                   | Immune and stromal infiltration, variable epithelial-mesenchymal activation |

*BRAF, proto-oncogene BRAF; CIMP, CpG-island methylated phenotype; CIN, chromosomal instable; CMS, consensus molecular subtype; IGFBP2, insulin-like growth factor binding protein 2; KRAS, Kirsten Ras; MSI, microsatellite instable; MSS, microsatellite stable; TGFβ, transforming growth factor beta; TP53, tumor protein 53; VEGF, vascular endothelial growth factor.*
treatment, the addition of oxaliplatin to 5-FU in the adjuvant treatment might be considered [32].

In larger T4 tumors, a gynecological and urological examination should be performed to exclude other organ involvement before treatment is started. A simplified workflow of the treatment of local or locally advanced rectal cancer is presented in Figure 1.

Metastatic rectal cancer is treated according to metastatic CRC (mCRC).

Management of colon cancer
Depending on the stage of CRC, recurrence rates, survival times, and management are different. In early-stage tumors (UICC stage I), radical hemicolectomy with lymph node resection without any additional treatment is appropriate. In low-risk carcinomas (pT1, G1-2, L0, and R0), local procedures, such as endoscopic mucosal resection or a laparoscopic segment resection, may be discussed. Tumors invading the serosa (T3) or spreading to local lymph nodes (N+) have a higher risk of recurrence, so adjuvant treatment is recommended.

Adjuvant setting
UICC stage II
In UICC stage II patients without risk factors, the gain in 5-year survival rate (2% to 3%) by adjuvant chemotherapy 5-FU or capecitabine is small and was established in only a single study in which the nodal staging was considered inadequate by current standards [33]. The addition of oxaliplatin to 5-FU or capecitabine in the adjuvant treatment of UICC stage II patients without risk factors cannot be recommended, as no survival gain could be demonstrated. In patients with the risk factor of T4 tumor, tumor perforation, emergency surgical procedure, or fewer than 12 removed lymph nodes, the addition of oxaliplatin to the adjuvant treatment can be discussed. Tumors with a defective mismatch repair do not appear to benefit from a 5-FU adjuvant monotherapy and have excellent prognosis and are not recommended for adjuvant therapy [34].

To guide the decision in stage II disease, considerable efforts have been made to develop molecular-based scores for the prediction of recurrence [35–38]. Gene expression of tumor samples has been measured by microarray or...
quantitative reverse transcription-polymerase chain reaction in fresh-frozen or formalin-fixed paraffin-embedded material, and predictive scores have been developed accordingly. But tests add only little to the known risk factors. Reasons for this observation are manifold but taking tumor heterogeneity into account, the cell clone ultimately responsible for tumor recurrence may only account for a fraction of the investigated tissue sample and its signature cannot be reliably detected. Until now, the use of those tests could not be recommended.

**UICC stage III**

Patients with UICC stage III disease (lymph node involvement) should be treated with adjuvant chemotherapy to improve survival. 5-FU-based regimens led to an increase in 5-year survival rates of about 10% to 15%. The addition of oxaliplatin to a capecitabine regimen added another 4% of 3-year DFS to a bolus 5-FU-based regimen [39] and increased benefit in stage III disease in combination with infusional 5-FU [40,41]. Standard regimens are FOLFOX (12 cycles for 24 weeks) [40] or capecitabine plus oxaliplatin (XELOX) (8 cycles for 24 weeks) [39]. For patients older than 70 years of age, single-agent 5-FU-based therapy has shown a benefit [42]; however, a meta-analysis of the ACCENT database was not able to show a benefit for the addition of oxaliplatin to 5-FU-based chemotherapy [43]. In this age group, only highly motivated patients with an excellent performance status (Eastern Cooperative Oncology Group score of 0) should be considered for the addition of oxaliplatin in adjuvant treatment.

**Metastatic setting**

Patients with UICC stage IV (mCRC) disease have poor outcomes; 5-year survival rates are only about 6%. In metastatic, unresectable, untreated disease, a median OS of 6 months has been reported, which was increased to 12 months by treatment with 5-FU and leucovorin [44]. Development of continuous 5-FU infusional regimens, in combination with leucovorin, decreased toxicity and increased efficacy of 5-FU treatment. The addition of irinotecan (5-fluorouracil, leucovorin, irinotecan [FOLFIRI]) and oxaliplatin (FOLFOX) raised OS to a median of about 20 months [45]. The addition of biologicals, such as the vascular endothelial growth factor-A (VEGF-A) antibody bevacizumab or the epidermal growth factor receptor (EGFR) antibodies cetuximab and panitumumab, to those standard regimens led to OS times of about 24 months [46–48]. The exposure to all active agents over time in sequence appears to give the best overall outcomes as exemplified by the recent data from CALGB 80405 and FIRE-3, in which 88% and 78% of patients received subsequent therapy; a median OS of 30 months and beyond can be reached in molecularly defined subpopulations [49,50].

**First-line treatment**

Choice of first-line treatment is important, as it is the most active treatment line when it comes to tumor response, progression-free survival (PFS), and OS. Additionally, substances administered in the first line trigger the possibilities of further-line treatment. For example, aflibercept is approved only after the failure of an oxaliplatin-based therapy [51]. An experienced surgeon should be consulted to discuss the probability of resectability as this is the only way to achieve cure [9].

In a carefully selected, younger, and medically fitter patient population, the combination of 5-FU, irinotecan, and oxaliplatin (FOLFOXIRI) has shown better efficacy than FOLFIRI [52]. As both study arms combined chemotherapy with bevacizumab, the effect of bevacizumab cannot be evaluated. This concept might be of special interest for the molecularly defined subgroup of BRAF mutant tumors. An exploratory analysis pooling BRAF mutant patients treated with FOLFOXIRI plus bevacizumab showed an OS of 24 months [53], which is promising when compared with subgroup analyses of FOLFIRI-treated patients in the CRYSTAL and FIRE-3 trials, in which an OS of 16 to 17 months was reported [46,49,54]. As toxicity is higher than in doublet chemotherapy, FOLFOXIRI should be considered only in young patients with an excellent performance status.

Multiple phase III trials showed that doublet chemotherapy regimens consisting of a fluoropyrimidine (5-FU, tegafur-uracil [UFT], or capecitabine) and irinotecan or oxaliplatin (FOLFOX/capecitabine and oxaliplatin [CAPOX] or FOLFIRI/capecitabine and irinotecan [CAPIRI]) are more active than a monotherapy with fluoropyrimidine [55–57]. Therefore, doublet chemotherapy containing a fluoropyrimidine and oxaliplatin or irinotecan is considered standard for most patients with mCRC. With the addition of irinotecan or oxaliplatin to 5-FU, toxicity is rising, so not all patients qualify for those combinations. For patients with concomitant diseases or a poor performance status, in the absence of contraindications, bevacizumab might be added to infusional 5-FU [58] or capecitabine [59] to prolong OS. The recently published Avastin in Elderly With Xeloda (AVEX) study demonstrated this OS benefit in an older study population [60].

For patients qualifying for doublet chemotherapy, combination with an antibody against VEGF-A or EGFR has been shown to increase first-line treatment efficacy [46–48,61,62]. Since the pivotal investigation by
Sartore-Bianchi and colleagues [63], who showed that the benefit of adding cetuximab to a chemotherapy is restricted to patients with no KRAS exon 2 mutation, approval for the use of cetuximab and panitumumab has been restricted to patients bearing KRAS exon 2 (codon 12 and 13) wild-type tumors. Data from clinical trials more recently showed that no benefit was derived when cetuximab or panitumumab was administered if the tumor was mutant in one of the more rare locations in KRAS exons 3 and 4 (codon 59, 61, 117, and 146) and NRAS exons 2, 3, and 4 (codon 12, 13, 59, 61, 117, and 146) [54, 64–67]. Those additional mutations account for approximately 10% of all mCRC cases, so only 50% of the mCRC population qualifies for anti-EGFR treatment. Furthermore, in patients with a RAS mutant tumor, the combination of oxaliplatin and an anti-EGFR antibody had the detrimental effect of shorter OS [65, 67] than chemotherapy alone. In Europe and elsewhere, the label for the use of panitumumab and cetuximab has changed accordingly. For patients bearing a RAS mutant tumor, the only available biological is bevacizumab, which can be combined with either FOLFOX [47], FOLFIRI [68], or FOLFOXIRI [52]. If an anti-EGFR antibody treatment is considered, extended RAS analysis should be performed early on.

For patients with a RAS wild-type tumor, bevacizumab and cetuximab or panitumumab can be used. Three studies are testing the efficacy of bevacizumab versus cetuximab or panitumumab in combination with FOLFIRI or FOLFOX or both. The CALGB 80405 study tested the addition of cetuximab or bevacizumab to chemotherapy in 1137 patients. Whereas the chemotherapeutic backbone was chosen by the respective physician, patients were randomly assigned to either bevacizumab or cetuximab. The primary endpoint was OS in the KRAS exon 2 wild-type population. The first results have been presented, and the primary endpoint was not met. Cetuximab-treated patients had a median OS of 29.9 months, and bevacizumab-treated patients reached 29.0 months (P = 0.34) [50]. A subgroup analysis of FOLFOX-treated patients showed a trend (P = 0.09) toward longer OS in cetuximab-treated patients versus bevacizumab-treated patients, with OS 30.1 versus 26.9 months, respectively. In FOLFIRI-treated patients, comparable OS times were achieved: 33.4 months in the bevacizumab arm and 28.9 months in the cetuximab arm (P = 0.28). The extended RAS test is ongoing, and data are urgently awaited. The FIRE-3 trial tested bevacizumab versus cetuximab by using FOLFIRI as a chemotherapeutic backbone in 592 patients. This phase III trial did not meet its primary endpoint: objective tumor response (P = 0.183). Although there was no difference in PFS, a difference in OS with a benefit of 3.7 months in cetuximab-treated patients was seen in the KRAS exon 2 wild-type population (P = 0.017) [69]. After extended RAS testing, this difference grew to 7.5 months with a hazard ratio of 0.7 (P = 0.011) [54]. The PEAK trial was a phase II trial that tested panitumumab against bevacizumab in combination with FOLFOX. The exploratory endpoint, PFS, was met after an extended RAS test was applied and showed a significant benefit from panitumumab (13.0 versus 9.5 months; P = 0.029) [70]. Data on OS are still immature but showed a trend (P = 0.058) toward benefit for the panitumumab arm. When the results of CALGB 80405, FIRE-3, and PEAK are taken together, there is circumferential evidence of a longer OS by using cetuximab or panitumumab rather than bevacizumab in the extended RAS wild-type population. For a final decision, results of the extended RAS analysis of the CALGB 80405 have to be awaited. A proposed decision tree for first-line patients is presented in Figure 2. The individual decision may be different because of concomitant diseases and toxicity reasons.

Owing to the cumulative toxicity of oxaliplatin, causing limiting polyneuropathy, most of the patients cannot be treated continuously for more than 4 months. In clinical practice, a reduction of the oxaliplatin dose or the de-escalation to a less toxic regimen, such as 5-FU plus bevacizumab, is commonly done. Several studies tested the impact of planned drug holidays [71] or different maintenance strategies after an induction therapy [72, 73] on PFS and OS. As anticipated, both trials, CAIRO-3 and AIO KRK-0207, demonstrated that any treatment after an induction therapy with FOLFOX plus bevacizumab led to a longer PFS or maintenance time than no treatment. This supports the clinical practice of a de-escalation strategy. The impact of a drug holiday on OS, which was a secondary endpoint in both trials, cannot be definitely determined. In both trials, the difference in OS was not significant, but owing to the small numbers of events, data are still immature.

**Second-line treatment**

For second-line decisions, evidence is less clear than for first-line treatment. After irinotecan-based first-line treatment, the addition of bevacizumab to FOLFOX showed a significant survival benefit [74]. Similar survival benefits have been shown for the use of bevacizumab beyond progression irrespective of the chemotherapeutic combination [75]. Aflibercept, an anti-angiogenic component targeting VEGF-A, VEGF-B, and placental growth factor (PlGF), in combination with FOLFIRI has been shown to prolong OS significantly after the failure of an oxaliplatin-containing first-line therapy [51]. Trials testing the effect of anti-EGFR treatment in the second line were not able to prove an OS benefit irrespective of the chemotherapeutic backbone [76–78].
Later-line treatment

In later-line treatment, prolongation of survival with regimens with low toxicity is the main focus. Anti-EGFR antibodies with or without the combination of irinotecan have been shown to prolong survival significantly [79,80]. Furthermore, the kinase inhibitor regorafenib was able to prolong OS significantly when tested against best supportive care [81]. Promising new compounds interfering with thymidylate metabolism, such as TS-102 and TS-114, have shown promising results in phase II trials in later-line treatment. TS-102 (trifluridine and tipiracil hydrochloride), which is acting as a thymidylate-synthetase inhibitor, was tested in a global phase III trial (RE COURSE) in refractory mCRC and demonstrated a significant OS benefit of 1.8 months (hazard ratio 0.68; stratified log-rank test \( P < 0.001 \)) against placebo [82].

Summary

The development of new drugs and drug combinations and the implementation of an interdisciplinary management of mCRC resulted in a meaningful increase of median OS from 6 months to more than 30 months during the last two decades. With a better understanding of CRC carcinogenesis and molecular biomarkers leading to a more individualized treatment, personalized therapy will enhance survival and decrease toxicity for patients with mCRC. The investigation of mechanisms of secondary resistance and the evaluation of the best therapy sequence are the next tasks that may improve treatment possibilities.

Abbreviations

5-FU, 5-fluorouracil; CRC, colorectal cancer; DFS, disease-free survival; EGFR, epidermal growth factor
receptor; FAP, familial adenomatous polyposis; FOLFIRI, 5-fluorouracil, leucovorin, irinotecan; FOLFOX, 5-fluorouracil, leucovorin, oxaliplatin; mCRC, metastatic colorectal cancer; MSI-H, microsatellite instability high; OS, overall survival; PEAK, Panitumumab Efficacy in Combination with mFOLFOX6 Against bevacizumab plus mFOLFOX6 in mCRC subjects with wild-type KRAS tumors; PFS, progression-free survival; TME, total mesorectal excision; UICC, Union for International Cancer Control; US, United States; VEGF-A, vascular endothelial growth factor-A.

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