Burden of disease associated with a COPD eosinophilic phenotype

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Purpose: Based on blood and sputum samples, up to 40% of patients with COPD have eosinophilic inflammation; however, there is little epidemiology data characterizing the health care burden within this sub-population. Given that COPD-attributable medical costs in the USA are predicted to approach $50 billion by 2020, we analyzed the effect of blood eosinophil counts and exacerbations on health care resource utilization and costs.

Patients and methods: This cross-sectional study used electronic medical records and insurance claims data from the Reliant Medical Group (January 2011–December 2015). Eligible patients were ≥40 years of age, continuously enrolled during the year of interest (2012, 2013, 2014, or 2015), had ≥1 COPD-related code in the preceding year, and documented maintenance therapy use. Patients with ≥1 blood eosinophil count recorded were stratified into 2 cohorts: ≤150 cells/µL and ≥150 cells/µL. Endpoints included demographics, clinical characteristics, health care resource utilization, and costs. The impact of blood eosinophil count and exacerbation patterns on health care resource utilization and costs was assessed with multivariate analyses.

Results: On average, 2,832 eligible patients were enrolled annually, of whom ~28% had ≥1 eosinophil count recorded during the year. The ≥150 cells/µL cohort had numerically higher all-cause and COPD-related health care resource utilization and cost each year compared with the <150 cells/µL cohort, but varied by service and year. Among patients with exacerbations, the ≥150 cells/µL cohort exhibited significantly higher COPD-related costs compared with the <150 cells/µL cohort.

Conclusion: Blood eosinophil counts may be a useful biomarker for burden of disease in a subgroup of patients with COPD.

Keywords: COPD triple therapy, COPD exacerbations, eosinophils, health care resource utilization

Introduction
COPD is a complex and heterogeneous condition, characterized by chronic airway inflammation, progressive airway obstruction, persistent respiratory symptoms, and acute exacerbations.1 In 2015, 3.2 million deaths were attributed to COPD, making it the fourth leading cause of death worldwide,2 and in 2016, COPD was the eighth greatest cause of disability-adjusted life years.3 In addition to this significant disease burden, COPD places an important economic burden on health care systems. COPD-attributable medical costs in the USA were estimated to reach $32.1 billion in 2010 and predicted to increase to $49.0 billion in 2020.4

Much of COPD morbidity and mortality is associated with severe exacerbations,5 during which airway inflammation may play a significant role. In some patients, this inflammation is predominantly neutrophilic; however, studies have reported that a
subgroup of patients exhibit an increase in sputum eosinophil counts (≥3%) during exacerbations.⁶ Additionally, in small interventional trials, up to 40% of patients with COPD exhibit an eosinophilic phenotype (based on a sputum criterion) during stable disease and exacerbations.⁷ ⁸ ⁹

Although eosinophilic airway inflammation has traditionally been assessed by sputum eosinophil counts ≥2% or ≥3%, there is also evidence to support the use of peripheral blood eosinophil counts as a diagnostic biomarker to define an eosinophilic COPD phenotype. Analysis of the ECLIPSE study population demonstrated that 37% of patients with COPD persistently presented with blood eosinophil counts ≥2%.¹⁰ Furthermore, assessments of the relationship between induced sputum and peripheral blood eosinophil counts have demonstrated a significant association between increased eosinophil levels in the sputum, blood, and lungs;¹¹ and cluster analyses have shown that peripheral blood eosinophil counts >2% can predict sputum eosinophilia during exacerbations with a 60% specificity and 90% sensitivity.¹²

An eosinophilic phenotype based on a blood eosinophil count ≥2%, or the highly correlated value of ≥150 cells/µL,¹⁰ has been associated with increased COPD exacerbations,¹³ and better response to eosinophil-targeting treatments in patients with COPD and asthma.¹⁴⁻¹⁵ However, there is still uncertainty on how health care resource utilization and costs vary across the continuum of eosinophilic and non-eosinophilic phenotypes, and within this COPD eosinophilic phenotype.

The objective of this study was to use real-world epidemiology data to characterize a COPD population per demographic and clinical characteristics. Based on the association between blood eosinophil counts ≥150 cells/µL and increased COPD exacerbations, we evaluated the impact of blood eosinophil counts <150 cells/µL and ≥150 cells/µL on health care resource utilization and costs among patients with and without exacerbations, and also the presence of exacerbations among patients with blood eosinophil counts <150 cells/µL or ≥150 cells/µL.

**Materials and methods**

**Study design and data source**

This cross-sectional study was conducted using electronic medical record (EMR) and claims data from January 1, 2011 to December 31, 2015. Patients were observed on an annual basis for years 2012, 2013, 2014, and 2015. EMR and insurance claims data were sourced from the Reliant Medical Group, a large, multi-specialty group practice in central Massachusetts (see Supplementary Materials for details).

**Study participants**

Eligible patients were ≥40 years of age, had continuous clinical activity throughout the calendar year of interest (except for 2015, where patients had to be clinically active for at least the first 9 months of the year), with ≥1 COPD-related code in the preceding year (Supplementary Materials), and ≥1 prescription for inhaled maintenance therapy (MT), involving either an inhaled corticosteroid (ICS), long-acting β₂-agonist (LABA), long-acting muscarinic antagonists (LAMA) or a combination, during the calendar year of interest.

**Cohorts for analysis**

All patients who met the inclusion criteria for the calendar year of interest were included in the MT population. EMR and claims data were used to define subgroups: 1) patients with ≥1 blood eosinophil count during the calendar year of interest; 2) patients with triple therapy use (defined as a prescription for either 3 individual inhalers [ICS + LABA + LAMA] or any 2-plus-1 combination type for ≥30 consecutive days during the calendar year of interest); and, 3) patients with moderate/severe exacerbation(s) during the calendar year of interest. COPD exacerbations were identified using a claims-based algorithm (Supplementary Materials).

Patients with ≥1 blood eosinophil count recorded during the year were divided into 2 cohorts: patients with all eosinophil counts <150 cells/µL (MT <150 cohort), and patients with ≥1 eosinophil count ≥150 cells/µL (MT ≥150 cohort). Patients included in >1 subgroup defined previously were classified in the overlapping subgroups.

**Outcomes**

All demographic and clinical characteristics were evaluated at the closest value on July 1, except for comorbidities, eosinophil counts, and Quan-Charlson comorbidity index (CCI) score, which were evaluated over the entire calendar year. Health care resource utilization and costs were also evaluated over the entire calendar year.

Eosinophil count-related outcomes included the proportion of patients with a blood eosinophil count recorded, the frequency of eosinophil counts per year, and the proportion of patients with a blood eosinophil count ≥150, ≥300, or ≥400 cells/µL. For the eosinophil count classification, the highest value recorded during the calendar year of interest was used.

All-cause and COPD-related health care resource utilization outcomes were defined as the number of hospitalizations, and emergency room (ER), outpatient, and other visits during the calendar year. All-cause and COPD-related health care...
cost outcomes were total medical health care, hospitalization, and ER, outpatient, and other visit costs. COPD-related health care resource utilization and costs were identified as any claim with a relevant COPD International Classification of Disease 9 code.

Statistical analysis
Descriptive statistics were used to summarize demographics, clinical characteristics, blood eosinophil counts, and health care resource utilization and costs. Descriptive statistics included mean, SD, and median for continuous data and relative frequencies for categorical data. Cost data were standardized and reported as 2016 US dollars using the Medical Care Consumer Price Index. Statistical comparisons between groups of interest used Chi-squared tests for categorical variables, and Student t-tests for continuous variables. Analyses were conducted for calendar years 2012, 2013, 2014, and 2015, separately.

Multivariate analyses used generalized estimating equation models with a normal distribution, combining data across all calendar years of interest (2012–2015), and controlling for repeated patients using an unstructured correlation matrix. Models were used to calculate the unadjusted and adjusted total medical health care cost difference between: 1) patients with blood eosinophil counts <150 cells/µL and ≥150 cells/µL in patients with and without exacerbations and, 2) patients with and without exacerbations in the MT <150 and MT ≥150 cohorts. Multivariate analyses were also repeated using a threshold of 300 cells/µL. In both cases adjustments were made for: age, gender, insurance type, body mass index, blood pressure, calendar year, asthma, malignant neoplasm of respiratory and intrathoracic system, rheumatoid arthritis, Crohn’s disease, systemic lupus erythematosus, multiple sclerosis, allergic rhinitis, respiratory infections, chronic sinusitis, diabetes, cardiovascular disease, acute sinusitis, atopic dermatitis, eosinophilic granulomatosis with polyangiitis, nasal polyps, hypereosinophilic syndrome, eosinophilic esophagitis, bacterial infections, CCI score, and triple therapy use.

Due to the large number of covariates, a sensitivity analysis adjusting for fewer characteristics was also conducted (Supplementary Materials). All analyses were conducted using SAS, version 9.3 or later (SAS Institute, Cary, NC, USA). This research was a retrospective analysis of de-identified administrative claims data and as such did not require approval from an ethics committee or institutional review board. However, approval was obtained from the Reliant Medical Group’s institutional review board. The data used in this analysis are not publicly available as these are de-identified claims data.

Results
Study population and clinical characteristics
In total, 11,895 unique patients with a diagnosis of COPD during the preceding year were identified for the calendar years 2012–2015. A total of 2,802, 2,822, 2,847, and 2,858 patients were eligible for the MT population in 2012, 2013, 2014, and 2015, respectively. There was consistency across years regarding most demographic factors. Patients identified were on average 70 years of age and ~60% were female (Table 1). In any given year, just over a quarter (~28%) of the patients in the MT population had a blood eosinophil count recorded. Of those, ~72% had ≥1 blood eosinophil count ≥150 cells/µL. The percentage of MT patients with ≥1 exacerbation within a year ranged from 16% (2014) to 28% (2012), whereas the percentage of patients with triple therapy was relatively constant across the years (~20%). Overall, the proportion of patients in the MT ≥150 cohort with a history of exacerbation or triple therapy use was comparable with that of the MT population. The proportion of patients in the overlapping subgroups varied across the years examined (Figure 1).

Health care resource utilization and cost by blood eosinophil count
Patients in MT ≥150 cohort had unadjusted numerically higher COPD-related health care resource utilization each year compared with patients in the MT <150 cohort; however, statistically significant differences varied by service and year (Figure 2). In 2012, COPD-related health care resource utilization, in terms of hospitalization, outpatient, and other visits, was significantly higher among the MT ≥150 cohort, compared with the MT <150 cohort; however, in 2013, only COPD-related ER visits were significantly higher in the MT ≥150 cohort. All-cause health care resource utilization, in terms of hospitalizations, outpatient visits, and other visits, was significantly higher in the MT ≥150 cohort than the MT <150 cohort in 2012 and 2014; however, only all-cause ER visits in 2013, and all-cause outpatient visits in 2015, were significantly higher in the MT ≥150 cohort vs the MT <150 cohort (Table 2). All-cause and COPD-related health care costs were also numerically higher for the MT ≥150 cohort in each year of interest, as compared with the MT <150 cohort. Statistically significant differences varied by service and year, except all-cause total health care cost, which was significantly
higher in the MT ≥150 cohort than the MT <150 cohort across all 4 years (Table S1). Notably, hospitalization was the biggest driver of cost difference between the MT <150 and MT ≥150 cohorts, with COPD-related and all-cause hospitalization costs being significantly higher in the MT ≥150 cohort than the MT <150 cohort in 2012 and 2013.

### Interaction of blood eosinophil count and COPD exacerbations on health care costs

The multivariate analysis showed that, among patients with exacerbations, those in the MT ≥150 cohort had significantly higher COPD-related health care costs (adjusted cost difference: $9,800, P=0.006) and all-cause health care costs (adjusted cost difference: $14,412, P=0.002), compared with those in the MT <150 cohort. For patients without exacerbations, there was a non-significant trend toward higher all-cause health care costs for the MT ≥150 cohort compared with MT <150 cohort (adjusted cost difference: $218, P=0.915; Figure 3).

Multivariate analyses also showed significantly higher COPD-related and all-cause health care costs for patients with exacerbations vs those without exacerbations in both the MT <150 cohort (adjusted cost differences: $16,114, P<0.0001; $14,413, P=0.0003, respectively) and the MT ≥150 cohorts (adjusted cost differences: $26,314, P<0.0001; $28,607, P<0.0001, respectively; Figure 4). The sensitivity analysis adjusting for fewer covariates produced comparable results (Figures S1 and S2).

Multivariate analyses using the 300 cells/µL threshold also had similar trend and significance to those using the lower threshold of 150 cells/µL (Figures S3 and S4).

### Discussion

The results of this study suggest that health care resource utilization and cost differ with blood eosinophil counts in a subgroup of patients with COPD. Regardless of eosinophil counts, exacerbations were associated with significantly higher all-cause and COPD-related health care costs.
However, among patients with exacerbations, eosinophil counts $\geq 150$ cells/µL were associated with significantly higher all-cause and COPD-related health care costs than eosinophil counts $< 150$ cells/µL. In our models, the adjusted cost differences for COPD-related and all-cause medical health care costs between eosinophil count cohorts were around $10,000 per year, highlighting a substantial burden on the health care system. Together, these results suggest that higher peripheral blood eosinophil levels represent an additional burden to patients with COPD and exacerbations, and this sub-population requires significantly greater health care resource utilization and associated costs.

Numerous epidemiological studies have demonstrated associations between peripheral blood eosinophil counts and important clinical outcomes in COPD. A study in the Netherlands found COPD-specific mortality to be increased in patients with a peripheral blood eosinophil count $\geq 275$ cells/µL. Epidemiological analyses of the Copenhagen General Population Study reported blood eosinophil counts $\geq 340$ cells/µL to be associated with increased risk of severe exacerbations. Additionally, a recent randomized controlled trial reported lower rates of COPD exacerbations in patients with blood eosinophil counts $< 150$ cells/µL vs $\geq 150$ cells/µL. In contrast, analysis of the ECLIPSE study did not find an association between blood eosinophil count and COPD exacerbations.

Several studies have also suggested that peripheral blood eosinophil counts may predict patient response to ICS therapy.
A retrospective analysis of 3 randomized controlled trials demonstrated that in patients with baseline blood eosinophil counts ≥2%, exacerbation rates were significantly reduced in response to ICS/LABA therapy compared with anticholinergic bronchodilator or placebo. No significant difference was observed between treatment options in patients with blood eosinophil counts <2%. Similarly, Pascoe et al demonstrated that in patients with blood eosinophil counts ≥2%, but not <2%, annualized exacerbation rates were significantly reduced in response to ICS/LABA therapy vs LABA alone.20

Results of this present study suggest that in addition to their potential as a biomarker associated with exacerbations and therapy response, peripheral blood eosinophil counts could serve as a biomarker for identifying patients with COPD who experience a higher burden of disease. Prospective studies are needed to further clarify the role of blood eosinophil counts as a biomarker in COPD, as different thresholds may be required for predicting clinical outcomes and burden of disease. Our results demonstrate that a threshold of 150 cells/µL is sensitive enough to distinguish patients with greater health care resource utilization.

### Table 2

| All-cause health care resource utilization, mean ± SD | 2012 patients with blood eosinophil count (N=773) | 2013 patients with blood eosinophil count (N=768) | 2014 patients with blood eosinophil count (N=788) | 2015 patients with blood eosinophil count (N=803) |
|---------------------------------------------------|--------------------------------------------------|--------------------------------------------------|--------------------------------------------------|--------------------------------------------------|
| [Eosinophil count] <150 cells/µL                  | [Eosinophil count] ≥150 cells/µL                  | [Eosinophil count] <150 cells/µL                  | [Eosinophil count] ≥150 cells/µL                  | [Eosinophil count] <150 cells/µL                  |
| (n=225)                                           | (n=548)                                          | (n=206)                                          | (n=562)                                          | (n=213)                                          |
| Hospitalizations                                  | ER visits                                        | Outpatient visits                                | Other visits                                     | Hospitalizations                                  |
| 0.5±1.2**                                         | 0.8±1.7**                                        | 0.4±1.5                                          | 0.6±1.3                                         | 0.3±1.0**                                         |
| 0.3±1.3                                           | 0.6±1.2                                          | 0.4±1.5**                                        | 0.7±2.0**                                       | 0.4±1.2**                                         |
| 16.9±16.9*                                        | 20.1±17.2*                                       | 17.5±17.8                                       | 18.3±17.9                                       | 12.7±15.0*                                       |
| 11.7±15.1*                                        | 17.9±23.3*                                       | 15.1±24.2                                       | 18.5±30.2                                       | 9.1±16.2*                                        |

Note: Statistical significance is indicated as *P<0.05, **P<0.01, ***P<0.001.

Abbreviation: ER, emergency room.
Burden of disease in eosinophilic COPD

This study had several limitations. Only one quarter of patients included in the study population had a blood eosinophil count recorded; hence, the absence of a blood eosinophil count ≥150 cells/µL does not necessarily indicate that a care resource utilization and associated costs. However, it should be noted that while this threshold may indicate an eosinophilic COPD phenotype, it does not necessarily represent eosinophilia.

Figure 3 Multivariate analysis of COPD-related and all-cause annual medical costs for patients with blood eosinophil counts <150 cells/µL and ≥150 cells/µL, either with exacerbations (A) or without exacerbations (B).

Notes: Numbers show adjusted* cost differences, 95% CIs, and adjusted P-values. *Adjusting for age, gender, insurance type, BMI, blood pressure, calendar year, asthma, malignant neoplasm of respiratory and intrathoracic system, rheumatoid arthritis, Crohn’s disease, systemic lupus erythematosus, multiple sclerosis, allergic rhinitis, respiratory infections, chronic sinusitis, diabetes, cardiovascular disease, acute sinusitis, atopic dermatitis, eosinophilic granulomatosis with polyangiitis, nasal polyps, hypereosinophilic syndrome, eosinophilic esophagitis, bacterial infections, CCI score, and triple therapy use.

Abbreviations: BMI, body mass index; CCI, Quan-Charlson comorbidity index.

Figure 4 Multivariate analysis of COPD-related and all-cause annual medical costs for patients with and without exacerbations, with either blood eosinophil count <150 cells/µL (A) or ≥150 cells/µL (B).

Notes: Numbers show adjusted* cost differences, 95% CIs, and adjusted P-values. *Adjusting for age, gender, insurance type, BMI, blood pressure, calendar year, asthma, malignant neoplasm of respiratory and intrathoracic system, rheumatoid arthritis, Crohn’s disease, systemic lupus erythematosus, multiple sclerosis, allergic rhinitis, respiratory infections, chronic sinusitis, diabetes, cardiovascular disease, acute sinusitis, atopic dermatitis, eosinophilic granulomatosis with polyangiitis, nasal polyps, hypereosinophilic syndrome, eosinophilic esophagitis, bacterial infections, CCI score, and triple therapy use.

Abbreviations: BMI, body mass index; CCI, Quan-Charlson comorbidity index.
patient was not of an eosinophilic phenotype, only that their blood eosinophil level was not measured. Additionally, it is unknown when blood eosinophil counts were taken relative to health status (ie, during normal health or sickness); testing may have only been performed in a sub-population of patients exhibiting more severe disease. As such, patients with an eosinophil count recorded may not be representative of the MT population, and results of the analysis of blood eosinophil count on outcomes might not reflect the overall COPD population. It was not possible to directly assess COPD severity based on lung function because spirometry results were not available. Instead, adjustment for COPD severity was limited to treatments received, COPD exacerbations, specific comorbidities, and health care resource utilization and costs. Additionally, identification of exacerbations was based on a claims-based algorithm, therefore, it was not possible to confirm COPD exacerbations, nor check that all COPD exacerbations were captured. The exacerbation population in 2014 was smaller than in other years due to differences in data collection during that year. In particular, some provider claims were not supplied making it likely that exacerbations were underreported in the population of patients assessed during 2014. Finally, the database used for this study only included patients from Massachusetts, so the results may not be generalizable to the whole of the USA. Furthermore, 20%–25% of patients in the MT population each year were also diagnosed with asthma, which may have confounded the results of this study.

Conclusion
This study demonstrates an impact of blood eosinophil counts ≥150 cells/µL in patients with COPD and exacerbations. Among patients with a history of exacerbations, those with at least 1 blood eosinophil count ≥150 cells/µL had significantly higher all-cause and COPD-related health care costs, compared with patients with all blood eosinophil counts <150 cells/µL, indicating that blood eosinophil counts may be a helpful biomarker associated to burden of disease in a subset of patients with COPD.

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Author contributions
BH, HO, CFB, J-PL, MSD, GG, and M-HL were involved in the conception and design of the study. MSD, GG, M-HL, and SRS were involved in data acquisition. BH, HO, CFB, J-PL, GG, and M-HL were involved in data analysis or interpretation. All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work.

Disclosure
HO, J-PL, CFB, and BH are GSK employees and hold stocks/shares. M-HL, MSD, and GG are employees of Analysis Group, Inc., a consulting company that has received research funds from GSK. SRS is an employee of Reliant Medical Group (RMG) Research Department, which has received research funds from GSK. The authors report no other conflicts of interest in this work.

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