CONDENSED MATTER PHYSICS

Visualizing electron localization of WS₂/WSe₂ moiré superlattices in momentum space

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The search for materials with flat electronic bands continues due to their potential to drive strong correlation and symmetry breaking orders. Electronic moirés formed in van der Waals heterostructures have proved to be an ideal platform. However, there is no holistic experimental picture for how superlattices modify electronic structure. By combining spatially resolved angle-resolved photoemission spectroscopy with optical spectroscopy, we report the first direct evidence of how strongly correlated phases evolve from a weakly interacting regime in a transition metal dichalcogenide superlattice. By comparing short and long wave vector moirés, we find that the electronic structure evolves into a highly localized regime with increasingly flat bands and renormalized effective mass. The flattening is accompanied by the opening of a large gap in the spectral function and splitting of the exciton peaks. These results advance our understanding of emerging phases in moiré superlattices and point to the importance of interlayer physics.

INTRODUCTION

In a stack of two-dimensional (2D) materials, the formation of a moiré superlattice provides a method for engineering electron behavior at a controlled momentum and energy scale via the modified electrostatic and strain environments. Superlattices can originate either due to a lattice constant mismatch δ between the interface layers or due to their rotational misalignment θ, where the periodicity λ is given by

\[ \lambda = \frac{(1 + \delta) a}{\sqrt{2(1 + \delta)(1 - \cos \theta) + \delta^2}} \]

In the single-particle picture, superlattices can markedly modify the electronic states at low energy via zone folding. The periodic variation in lattice alignment introduces an associated mini-Brillouin zone with corresponding mini-bands and enhancement of the effective mass. Further, lattice reconstruction becomes energetically favorable when the superlattice periodicity becomes sufficiently large, driving further modification to the electronic states. These effects provide an opportunity to engineer the entire band structure toward a functional purpose or toward the realization of exotic phases. Under appropriate conditions, the on-site potential can even dominate the mini-bandwidth and drive correlated phases to emerge on the superlattice (1–8). In superlattices formed by semi-conducting transition metal dichalcogenides (TMDCs), modifications to the excitonic states have also been observed (9–11).

Direct measurements of the superlattice electronic band structure may provide important insights into the emergence of the correlated phases. In particular, angle-resolved photoemission spectroscopy (ARPES) is an ideal and direct tool to measure electronic band structure and many-body effects. However, ARPES measurements on 2D heterostructures are challenging due to stringent sample and experimental requirements, including a small beam diameter (<10 μm) to probe small samples and an exposed sample surface. To overcome these limitations, most prior studies used large, but lower-quality chemical vapor deposition–grown films (12, 13) or samples without control of interlayer alignment (14). Further, no ARPES studies exist on TMDC heterostructures that simultaneously display moiré excitons or other hallmarks of strong superlattice modification. As a result, the consequences of strong band structure modifications in large wavelength TMDC superlattices remain essentially unexplored by the most direct technique.

Here, we report the first such study in WS₂/WSe₂ TMDC superlattices through combined optical absorption spectroscopy and nano-ARPES with beam diameter smaller than 2 μm. Whereas heterostructures with large twist angles—and corresponding small superlattice wave vectors—produce the spectral functions of the constituent monolayers, nearly aligned superlattices of WS₂/WSe₂ exhibit marked modification of the electronic bands. In these samples, we observe renormalization of the binding energies of the low-energy W d-bands [at both the zone edge (K) and the zone center (Γ)], an opening of a bonding-antibonding–like splitting at the Γ point at a large energy scale, and a splitting of the exciton peaks by the superlattice potential in agreement with previous reports (9–11). Most directly, these features also accompany marked flattening of the low-energy bands at Γ, a sign of localization of valence electrons even away from the band edge at the K point.
RESULTS

WS₂ and WSe₂ superlattices were prepared on SiO₂ substrates with an intermediate layer of graphite or hBN, as schematically shown in Fig. 1A, to enable optical and photoemission spectroscopy measurements on the same sample. As ARPES requires access to the sample surface, samples were prepared either with a monolayer graphene cap or via polypropylene carbonate (PPC) transfer with inversion to leave a clean vacuum-exposed superlattice (see Materials and Methods). To explore the evolution of the electronic structure in the presence of superlattices of varying wavelength, we fabricated heterostructures with a different relative alignment between the two TMDC monolayers. We focus principally on two heterostructures, heterostructures with a different relative alignment between the two monolayer samples (Supplementary Materials). On the other hand, the valence band for the λ = 2.8 nm sample (central curves, Fig. 1E) is consistent with a weighted combination of the valence bands of each individual layer.

Using nano-ARPES, we measured the electronic structure for the monolayers (Fig. 2A and B) and for the two superlattices with small and large superlattice vectors (Fig. 2C and D), along the ΓK high symmetry direction (Fig. 2F). In agreement with previous ARPES studies (15–17), theoretical models (18–21), and the tight-binding calculations shown in Fig. 2E, locally parabolic bands at Γ disperse with a clearly resolved spin-orbit splitting to the K/K′ high symmetry. The excellent correspondence to theory and the narrow linewidths demonstrate the high quality of the studied samples.

For the sample with the smaller superlattice vector (λ = 2.8 nm), the ARPES spectrum is largely a superposition of the individual monolayer spectra. Both spectra, however, have undergone a rigid
Fig. 2. High-symmetry band structure. (A to D) Measured high-symmetry dispersions for WS\textsubscript{2} monolayer (A), WSe\textsubscript{2} monolayer (B) collected from nonoverlapping portions of the \( \lambda = 2.8 \) nm sample (C), and aligned heterostructure (D). Below each ARPES spectrum is the lattice structure for each system. ARPES spectra recorded from the \( \lambda = 2.8 \) nm sample show only a small rigid band shift compared to the monolayer spectrum, while in aligned samples the WSe\textsubscript{2} originating band is substantially shifted toward smaller binding energy and both bands are renormalized to larger effective mass. Additional dispersing bands observable near the \( K \) point in (C) are due to the presence of a small piece of WSe\textsubscript{2} monolayer on graphite (relative alignment, 5°, red arrow), also under the beam. For monolayers and the misaligned heterostructures, beam sizes smaller than 10 \( \mu \)m were used, while beams smaller than 1.5 \( \mu \)m were used for all presented data of \( \lambda = 7 \) nm samples. Dashed lines across (A) to (D) show the valence band edge, with the change in energy between the band edges in the \( \lambda = 7.0 \) nm sample annotated as \( \Delta E_{\text{F1}} \). (E) Tight-binding calculation of the valence band structure for individual monolayers. Energy degenerate bands at the \( \Gamma \) point evolve continuously to spin-orbit split bands at the \( K/K' \) points where there is a direct bandgap. (F) Momentum direction for the data presented in (A) to (D) in the monolayer WS\textsubscript{2} Brillouin zone.

shift toward the chemical potential by less than 100 meV, consistent with the increased dielectric screening relative to monolayers on graphite (22). By contrast, in the sample with the larger superlattice vector, \( \lambda = 7.0 \) nm, the electronic structure is markedly modified relative to the monolayers (Fig. 2E). We observe three main features: (i) a visually apparent flattening of the bands at \( \Gamma \), (ii) an increased binding energy offset (in Fig. 2E, annotated as \( \Delta E_{\text{F1}} \)) between the two lowest energy bands (Fig. 2, B to E, dashed lines) at \( \Gamma \), and (iii) an increase and asymmetry of the spectral linewidth across the observed momentum region. These three features are observed in each of two aligned samples we studied by nano-ARPES (see the Supplementary Materials). Before unpacking this phenomenology, we turn to take a more granular look at the ways in which the band structure is renormalized.

Figure 3 shows a closer look of the energy renormalization effects at the \( \Gamma \) and \( K \) points in the two heterostructures along selected momentum directions mapped in Fig. 3I. In addition to the energy shift discussed above, a strong flattening of the bands is observed near the zone center for the \( \lambda = 7.0 \) nm heterostructure (Fig. 3A), but not in the \( \lambda = 2.8 \) nm heterostructure (Fig. 3B). This flattening is even clearer from the comparison of the energy distribution curves (EDCs), or spectra at constant momentum, shown in Fig. 3 (C and D). The momentum location of the spectra is indicated by the vertical tick marks in the \( x \) axis of Fig. 3 (A and B). For the smaller \( \lambda = 2.8 \) nm superlattice sample, two sharp well-separated and dispersing peaks are observed, corresponding to the modified valence bands from the WSe\textsubscript{2} and WS\textsubscript{2} layers (Fig. 3D). When plotting the peak position as a function of momentum (purple and yellow dots overlayed in the raw image plot in Fig. 3B), we can follow the valence band dispersion of each monolayer all the way down to the valence band minimum in sample S2. In contrast, for the \( \lambda = 7.0 \) nm sample, three weakly dispersive peaks are observed (Fig. 3C), which give rise to highly localized (nondispersive) bands as shown in Fig. 3A. If we directly compare the dispersions in 3c and 3d, the WS\textsubscript{2} monolayer valence band (purple peak series, Fig. 3D) is gapped by 450 meV along the band to correspond to two distinct peak series (dark gray peaks 3c, curves 2 to 9) in the \( \lambda = 7.0 \) nm sample.

Figure 3 (E and F) shows ARPES spectra across the \( K \) point in the \( \lambda = 7 \) nm and \( \lambda = 2.8 \) nm heterostructures. As near the zone center (Fig. 3, A and B), we observe a flattening of the band structure for the \( \lambda = 7 \) nm sample (Fig. 3E) for each of the spin-orbit split branches at the \( K \) point. However, at the \( K \) point, the spectra are overall more diffuse than at the zone center, as is expected due to mini-band formation with the larger native effective mass (\( \approx 0.4m_0 \)) and the lower overall photoemission yield from K in WS\textsubscript{2} and WSe\textsubscript{2}. We extract the dispersion from the local maximum of the intensity, which is plotted as red circles over the image plots in Fig. 3 (E and F). A comparison between extracted dispersion for the two samples and a monolayer tight-binding model for WS\textsubscript{2} is shown in Fig. 3G. We find excellent correspondence between the weakly coupled \( \lambda = 2.8 \) nm
sample and the monolayer tight binding. By contrast, notable flattening at the K point is observed in the λ = 7.0 nm heterostructure, including a possible concavity consistent with mini-band formation at K (Supplementary Materials). From the flattened region around the lower K-point band edge, we determine that the width of the low-energy state whose dispersion is traced in Fig. 3G is between 3 and 4 nm⁻¹. From ARPES on twisted bilayer graphene moirés (23, 24), dimensional crossover in nanowires (25), and from ARPES on aromatic hydrocarbons (26), width photomission features in localized systems are related inversely to spatial confinement of the system. From the measured width in momentum, we can estimate the localization of electrons in the supercell with local density of states contributing to the K-point band edge by calculating via the uncertainty principle Δλ/Δk = 2π to be 1.6 to 2.1 nm. Because the supercell λ exceeds 7 nm, our observations verify that the lowest energy electrons at the band edge are highly localized around a few lowest energy patches in the superlattice. The recovered values for the localization of the band edge states are in extremely good correspondence with the value of around 2 nm found by scanning tunneling spectroscopy (STS) for the WSe₂ valence mini-band in WS₂/WSe₂ (27).

We constructed a three-band tight-binding model of WS₂ with a superlattice periodic on-site perturbation due to the presence of the WSe₂ layer (the detailed procedure is available in the Supplementary Materials). This model permits an examination of which of the observed spectral features can be assigned to structural effects, which are modeled effectively without recourse to the partner layer in the heterostructure through modifications to the on-site and hopping terms. The bands were unfolded to produce the spectral function on the first Brillouin zone of WS₂ and are shown along the high symmetry directions in Fig. 3H. Upon the introduction of only a moderate on-site perturbation of 100 meV or with a comparable perturbation to the hopping terms, our model reproduces essentially flat bands at the top and bottom of the valence band, in agreement with our ARPES results and over a comparably large momentum range. The on-site perturbation of 100 meV was chosen conservatively smaller than the linewidth broadening to demonstrate that localization can occur even with a moderate perturbation. The degree of flattening at each of the Γ and K points can be independently tuned by the degree of modulation of the on-site energy for the relevant orbitals. While this model captures the flattening of the valence bands throughout the Brillouin zone, it does not and cannot reproduce other large-scale changes to the spectral function including the increased band separation at Γ.

To visualize the degree of the electron localization in a way that permits comparison, we plot the effective masses as a function of the superlattice vector for both the upper and lower bands (Fig. 4, A and B). In each case, the effective masses m* are directly extracted from the dispersion relations in Fig. 3 (A and B), by curve-fitting for the peak locations E(k) at fixed k and fitting the resultant peaks for the local band curvature using a parabolic band approximation

$$E(k) = \frac{\hbar^2 k^2}{2m^*}$$

We find that the upper and lower valence bands are strongly renormalized in WS₂/WSe₂ heterostructures with large moiré wave
and \( \approx 8 \) in the latter case, masses are lower bounded by \( \approx 5 \) is more weakly renormalized than the next lowest energy band. In form a mass estimate—momentum ranges smaller than \( \approx 0.2 \) for the valence band, the value is close to \( 3 \)
m different

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0 2.5 5.0 7.5 ∞

\( \lambda \) = 7 nm samples (see the Supplementary Materials), while for the valence band, the value is close to \( 3m_0 \). The renormalization for \( \lambda = 7 \) nm samples may also include contributions due to multi-layer splitting as well as those due to moiré effects: A moderate increase in the lower band mass is observed in TMDC bilayers and multilayers. Together with the increased separation between the bands at \( \Gamma \), this asymmetry between the upper and lower bands demonstrates a substantial role for interlayer hybridization in dictating the band structure of moirés. In the case of \( \lambda = 7 \) nm samples, the masses presented place practical lower but not upper bounds on the degree of valence band localization because a large enough momentum range (>0.25 \( \text{Å}^{-1} \)) to observe band dispersion is required to form a mass estimate—momentum ranges smaller than \( \approx 0.2 \) \( \text{Å}^{-1} \), which are frequently used in assessing the effective mass of TMDCs in ARPES, are consistent with completely flat valence band edges.

**DISCUSSION**

Although it is not possible to construct superlattices with \( \lambda > 8 \) nm in WS\(_2\)/WSe\(_2\) due to the 4.4\% lattice incommensuration, it is useful to treat MX\(_2\)/M’X’\(_2\) superlattices in a uniform way by examining the relationship between \( \lambda \) across chemical families and in using the effective mass as a correlate of localization. In the limit of very large \( \lambda \), the superlattice is allowed to reconstruct into commensurate or nearly commensurate domains of constant local stacking separated by narrow domain walls (28, 29). In this case, the mass measured by ARPES should be expected to return to the unrenormalized value. This return occurs because ARPES measures the spectral function averaged over different types of domains and the 2D commensurate stacking regions dominate 1D domain walls in area as \( \lambda \) grows. This relationship between the effective mass, a measure of localization that is spatially averaged over states contributing to intensity near a given band, and the structure of the superlattice potential is explored in terms of our superlattice tight-binding model in the Supplementary Materials.

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Fig. 4. Evolution of localization and excitons in heterostructures. (A and B) Renormalized mass in a variety of related bilayers, arranged by lattice constant from short wave vector through very-long-wavelength superlattices. As strong coupling is reached at moderate values of \( \lambda \), the renormalized mass increases and excitons are localized at the band extrema. Moving further, reconstruction into commensurate domains is possible and the effective mass again becomes small. The case of the homobilayer is taken as an archetypal superlattice with \( \lambda = \infty \) or, alternatively, the limit that \( \theta \rightarrow 0 \). (C) The exciton binding energy, optical gap, and electronic gap are related to one another through energy conservation. In moiré superlattices, mini-band formation due to zone folding allows additional peaks in the reflection contrast signal because transitions to the mini-bands have finite oscillator strength. Despite resolution limits precluding direct measurements of this effect is annotated in Fig. 4C as an increase in phonons (11). This picture is shown schematically in Fig. 4C for each of the \( \lambda = 2.8 \) nm and \( \lambda = 7.0 \) nm cases.

By performing absorption contrast spectroscopy measurements on the same samples we used for ARPES (Fig. 4D), we observed that the splitting of the exciton lines, attributed to the moiré, exactly accompanies the valence band flattening we observe in ARPES in each of our aligned samples, linking these phenomena as part of a consistent complex of superlattice phenomenology. Because of their very small size, direct observation of these K-point mini-bands by current ARPES experiments is difficult. One observable consequence, however, is that the unfolded mini-bands will increase the linewidth of the band at the K point and as shoulders in the EDC at K. This effect is annotated in Fig. 4C as an increase in \( \text{w(E)} \). Our results directly demonstrate this widening and show a series of peak shoulders.
consistent with mini-band formation at K (Supplementary Materials). Because ARPES on undoped samples cannot resolve the single particle gap, the linewidth increase at the K point in ARPES only provides half of the picture. Nonetheless, the order of magnitude correspondence between these phenomena is consistent with an origin for the moiré exciton peak structure, which comes from supercell periodic modulations in the single particle gap. Phenomenological agreement between ARPES and reflectance contrast spectroscopy firmly places our ARPES results in the broader context of TMDC moiré physics.

The same linewidth broadening effect at K, because of the presence of mini-bands, or equivalently different low-energy configurations in the unit cell, presents as an increase in the linewidth also at Γ. The net effect across the Brillouin zone is that miniband formation decreases the apparent resolution of photoemission measurements on moiré WS2/WSe2. The relationship between intrinsic effects, sample quality, and resolution is explored in more depth in the Supplementary Materials.

Together, our results put strong constraints on the theory of moiré superlattices and indicate that interlayer hybridization plays a notable role in the strongly coupled regime. The energy scale ΔEα at the Γ point is much larger than the energy scales for mini-band separation proposed at K but are closer to the values observed for the valence band separation in homobilayers where it is the interlayer interaction that is relevant. This experimental evidence indicates that the extremely large renormalization effects observed at Γ are due to the orbital composition. Whereas the orbital texture at the K point in the valence band is composed of largely in-plane d and p orbitals, out-of-plane dz2−r2 and pz orbitals predominate at Γ (32, 33). This orbital texture and interlayer hybridization is responsible for splitting of the bands at Γ into a nondegenerate set of states in bilayer and multilayer MX2 (33). In regions of the supercell resembling high-symmetry stacking, orbital hybridization should lead to appreciable bilayer splitting as we observe in our ARPES data. Because this hybridization depends on the local stacking registry, it is reasonable to presume a role for inhomogeneous hybridization in flattening the Γ point bands, as we observe, in addition to creating the bilayer-like splitting. The inevitable conclusion is that the phenomenology in moiré superlattices is much richer than currently appreciated by experiments that access primarily the lowest energy states: Hybridization, strain, and coexisting superlattice orders (section S8) may all be comparably relevant to engineering electronic behavior in a moiré.

In summary, using combined optical absorption spectroscopy and ARPES, we report the first direct, momentum-resolved measurements of the electronic properties of WS2/WSe2 superlattices across both the weakly and strongly modified regimes. Our measurements indicate marked differences between the spectral functions of heterostructures with increasing superlattice wavelength and the existence of qualitatively different regimes. Near the largest supercell achievable via WS2/WSe2 lattice engineering, we observe a large increase in the renormalized electron mass—corresponding to a quantifiable spatial localization in the superlattice—at the band edges, the formation of a gap at high energy along the dispersing valence band, and a large splitting in energy between the lowest energy states at Γ. Further, our results are the first momentum-direct measurements of moiré band reconstruction in TMDC heterostructures and demonstrate a consistent electronic and optical phenomenology. Our results point to the importance of interlayer physics and hybridization in setting the low-energy band structure and as potential key ingredients to drive the emergence of correlated phases in superlattices. Unraveling the relationship between localization and hybridization effects—whether these are independent and if they can be controlled independently—should be an essential next step toward truly engineering correlations in TMDC moirés.

**MATERIALS AND METHODS**

**Sample preparation**
The full fabrication details are available in the Supplementary Materials. Briefly, monolayers of each of WS2 and WSe2 and multilayered flakes of graphite and hBN were exfoliated onto Si chips with 285-nm SiO2 with scotch tape and identified by optical microscopy. Samples composed of monolayer WS2 or WSe2 and graphite were assembled either at small angle or at alignment (0°, 60°) after identification of the crystal axes by second-harmonic generation. We used a dry transfer procedure either using a PPC-based stamp inversion followed by vacuum annealing (23) or by a sequential pickup and release with polyethylene terephthalate stamp (34). The completed van der Waals heterostructure composed of the WS2/WSe2 heterobilayer and the substrate of graphite or thin (≤20 nm) hBN flake were placed on highly doped p-type silicon chips appropriate for electrically grounded photoemission experiments.

**Optical spectroscopy and atomic force microscopy**
The samples were loaded into a vacuum cryostat and cooled to 77 K with liquid nitrogen for reflection contrast spectroscopy measurement. The spectroscopy measurement was conducted using a supercontinuum laser focused to a spot size of 2 μm. The thickness of the hBN or graphite flakes under the WS2/WSe2 moiré superlattice was determined using atomic force microscopy (Park NX-20) with tapping mode at ambient condition.

**Photoemission measurement**
After introduction to vacuum (better than 1 × 10−9 mbar), the samples were annealed at 600 K for 8 hours to remove air from the surfaces and remaining polymer residues. Samples were then transferred into the measurement chamber (better than 1 × 10−10 mbar) for nano-ARPES experiments conducted at room temperature and at 55 K. Using either a capillary optic or a Fresnel zone plate, x-rays were focused to 1.6 μm as measured in situ by edge contrast (see the Supplementary Materials). The energy resolution during the experiment was 50 meV, and the angular resolution was better than 0.1°/0.001 Å−1. Experiments were performed at the Advanced Light Source’s MAESTRO (nano- and micro-ARPES) and at the ANTARES beamline at Synchrotron SOLEIL (nano-ARPES).

**SUPPLEMENTARY MATERIALS**

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