Abstract

Three important QCD-related aspects of the \( \tau \) and \( \mu \) dynamics are reviewed: the determination of the strong coupling from the hadronic tau decay width, leading to the updated value \( \alpha_s(m^2) = 0.331 \pm 0.013 \); the measurement of \( |V_{us}| \) through the Cabibbo-suppressed decays of the \( \tau \), and the Standard Model prediction for the muon anomalous magnetic moment.

1. The \( \tau \) hadronic width

The \( \tau \) is the only known lepton massive enough to decay into hadrons. Its semileptonic decays are then ideally suited to investigate the hadronic weak currents and perform low-energy tests of the strong interaction \([1]\).

The inclusive character of the \( \tau \) hadronic width renders possible an accurate calculation of the ratio \([2–6]\)

\[
R_\tau \equiv \frac{\Gamma(\tau^\to \nu_\tau, \text{hadrons})}{\Gamma(\tau^\to \nu_\tau, e^-\bar{\nu}_e)} = R_{\tau,V} + R_{\tau,A} + R_{\tau,S} .
\]

The theoretical analysis involves the two-point correlation functions for the vector \( V_{ij} = \bar{\psi}_j \gamma^\mu \gamma^5 \psi_i \) and axial-vector \( A_{ij}^\mu = \bar{\psi}_j \gamma_\mu \gamma^5 \psi_i \) colour-singlet quark currents \((i, j = u, d, s; J = V, A)\):

\[
\Pi_{ij,\ell}^{\mu\nu}(q) \equiv i \int d^4x \, e^{iqx} \langle 0 | T(\bar{f}_\ell^\mu(x) f_i^\nu(0)) | 0 \rangle ,
\]

which have the Lorentz decompositions

\[
\Pi_{ij,\ell}^{\mu\nu}(q) = \left( -g^{\mu\nu} q^2 + q^\mu q^\nu \right) \Pi_{ij,\ell}^{(1)}(q^2) \\
+ q^\mu q^\nu \Pi_{ij,\ell}^{(0)}(q^2) ,
\]

where the superscript \((J = 0, 1)\) denotes the angular momentum in the hadronic rest frame.

The imaginary parts of \( \Pi_{ij,\ell}^{(J)}(q^2) \) are proportional to the spectral functions for hadrons with the corresponding quantum numbers. The hadronic decay rate of the \( \tau \) can be written as an integral of these spectral functions over the invariant mass \( s \) of the final-state hadrons:

\[
R_\tau = 12\pi \int_0^{m_\tau^2} ds \frac{Im \Pi^{(J)}(s)}{s^2} \left( 1 - \frac{s}{m_\tau^2} \right)^2 \times \left[ 1 + \frac{2s}{m_\tau^2} \right] \, |V_{us}|^2 \left( \Gamma_{I}^{(J)}(s) + \Gamma_{II}^{(J)}(s) \right) .
\]

The appropriate combinations of correlators are

\[
\Pi_{ij,\ell}^{(J)}(s) \equiv \sum_{q=d,s} |V_{iq}|^2 \left( \Gamma_{I}^{(J)}(s) + \Gamma_{II}^{(J)}(s) \right) .
\]

The two terms with \( q = d \) correspond to \( R_{\tau,V} \) and \( R_{\tau,A} \) respectively, while \( R_{\tau,S} \) contains the remaining Cabibbo-suppressed contributions.

Since the spectral functions are sensitive to the non-perturbative effects that bind quarks into hadrons, the integrand in Eq. \([4]\) cannot be reliably predicted from QCD. Nevertheless the integral itself can be calculated by exploiting the fact that \( \Pi_{ij,\ell}^{(J)}(q^2) \) are analytic functions of \( s \), except along the positive real \( s \)-axis where their imaginary parts have discontinuities. Weighted integrals of the spectral functions can then be written as contour integrals in the complex \( s \)-plane running counter-clockwise around the circle \(|s| = m_\tau^2\):

\[
\int_0^{m_\tau^2} ds \, w(s) \, Im \Pi_{ij,\ell}^{(J)}(s) = \frac{i}{2} \oint_{|s|=m_\tau^2} ds \, w(s) \, \Pi_{ij,\ell}^{(J)}(s) ,
\]
with \( w(s) \) an arbitrary weight function without singularities in the region \( |s| \leq s_0 \).

The rhs of Eq. (6) requires the correlators only for complex \( s \) of order \( s_0 \). Provided \( s_0 \) is significantly larger than the scale associated with non-perturbative effects, one can use the Operator Product Expansion (OPE), \( \Pi^{(J)}(s) = \sum_{D=2n} c_D^{(J)}(-s)^{D/2} \), to express the contour integral as an expansion in powers of \( 1/m_c^2 \). The \( D = 0 \) term corresponds to the perturbative contribution, neglecting quark masses; non-perturbative physics appears at \( D \geq 4 \). Several fortunate facts make \( R_c \) particularly suitable for a precise theoretical analysis [4]:

i) The tau mass is large enough to safely use the OPE at \( s_0 = m_c^2 \).

ii) The OPE is only valid in the complex plane, away from the real axis where the physical hadrons sit. The contributions to the contour integral from the region near the real axis are heavily suppressed in \( R_c \) by the presence in (4) of a double zero at \( s = m_c^2 \).

iii) For massless quarks, \( s \Pi^{(0)}(s) = 0 \). Therefore, only the correlator \( \Pi^{(0+1)}(s) \) contributes to Eq. (4), with a weight function \( w(x) = (1 - x)^2(1 + 2x) = 1 - 3x^2 + 2x^3 \). Cauchy’s theorem guarantees that, up to tiny logarithmic running corrections, the only non-perturbative contributions to \( R_c \) originate from operators of dimensions \( D = 6 \) and 8. The usually leading \( D = 4 \) operators can only contribute to \( R_c \) with an additional suppression factor of \( O(\alpha_s^2) \), which makes their effect negligible.

iv) While non-perturbative contributions to \( R_{\tau V} \) and \( R_{\tau A} \) are both suppressed by a factor \( 1/m_c^6 \), the \( D = 6 \) contributions to the vector and axial-vector correlators are expected to have opposite signs leading to a partial cancelation in \( R_{\tau V+A} \).

The theoretical prediction for the Cabibbo-allowed combination \( R_{\tau V+A} \) can be written as [4]

\[
R_{\tau V+A} = N_C |V_{ud}|^2 S_{EW} \left( 1 + \delta \phi + \delta NP \right),
\]

where \( N_C = 3 \) is the number of quark colours, \( \delta NP \) the small non-perturbative contribution and \( S_{EW} = 1.0201 \pm 0.0003 \) contains the electroweak corrections [5,6]. The dominant effect is the perturbative QCD contribution \( \delta \phi \sim 20\% \). The non-zero quark masses amount to a correction smaller than \( 10^{-4} \)[4][11][12].

The predicted value of \( \delta \phi \) is very sensitive to \( \alpha_s(m_c^2) \), allowing for an accurate determination of the fundamental QCD coupling [3][4]. The calculation of the \( O(\alpha_s^4) \) correction [13] has triggered a renewed theoretical interest on the \( \alpha_s(m_t^2) \) determination [14][27], pushing the accuracy to the four-loop level.

2. Perturbative contribution to \( R_c \)

The result is more conveniently expressed in terms of the the logarithmic derivative of \( \Pi(s) = \frac{1}{2} \Pi^{(0+1)}(s) \), which satisfies an homogeneous renormalization-group equation (\( m_t = 0 \)):

\[
D(s) \equiv -s \frac{d}{ds} \Pi(s) = \frac{1}{4\pi^2} \sum_{n=0} K_n \left( \frac{\alpha_s(s)}{\pi} \right)^n.
\]

For three flavours, the known coefficients take the values: \( K_0 = K_1 = 1; K_2 = 1.63982; K_3(MS) = 6.37101 \) and \( K_4(MS) = 49.07570 \)[13].

The perturbative component of \( R_c \) is given by

\[
\delta \pi = \sum_{n=1} K_n A^{(n)}(\alpha_s) = \sum_{n=1} (K_n + g_n) a^2_s,
\]

where \( a_s \equiv \alpha_s(m_c^2)/\pi \) and the contour integrals [5]

\[
A^{(n)}(\alpha_s) = \frac{1}{2\pi i} \int_{|s|=m_c^2} ds \frac{\left( \alpha_s(s)/\pi \right)^n}{s} \times \left( 1 - 2 s/m_c^2 + 2 s^3/m_c^4 - s^4/m_c^6 \right)
\]

can be numerically computed with high accuracy, using the exact solution (up to unknown \( \beta_{N=4} \) contributions) for \( \alpha_s(s) \) given by the renormalization-group \( \beta \) function equation. The resulting contour-improved perturbation theory (CIPT) series [5][28] has a very good perturbative convergence and is stable under changes of the renormalization scale.

One can instead expand the integrals in powers of \( a_s \):

\[
A^{(n)}(\alpha_s) = a^2_s + O(a_s^{n+1}).
\]

This procedure [4], known as fixed-order perturbation theory (FOPT), gives a rather bad approximation to \( A^{(n)}(\alpha_s) \), overestimating \( \delta \pi \) by 12% at \( a_s = 0.11 \)[5]. The contour integration generates the \( g_n \) coefficients which are much larger than the original \( K_n \) contributions [5]: \( g_1 = 0; g_2 = 3.56; g_3 = 19.99; g_4 = 78.00; g_5 = 307.78 \). FOPT suffers from a large renormalization-scale dependence [5]: its bad perturbative behaviour originates in the long running of \( \alpha_s(s) \) along the circle \( |s| = m_c^2 \) which makes compulsory to resum the large logarithms, \( \log^n (-s/m_c^2) \), using the renormalization group [5]. This is precisely what CIPT does.

It has been argued that, once in the asymptotic regime (large \( n \)), the expected renormalonic behaviour of the \( K_n \) coefficients could induce cancelations with the running \( g_n \) corrections, which would be missed by CIPT. In that case, FOPT could approach faster the ‘true’ result provided by the Borel summation of the full renormalon series. Models of higher-order corrections with this behaviour have been advocated [16], but the results are however model dependent [29].
3. Determination of \( \alpha_s \)

The numerical size of \( \delta_{NP} \) can be determined from the measured invariant-mass distribution of the final hadrons in \( \tau \) decay, through the study of weighted integrals which are more sensitive to OPE corrections \([11,12]\). The presently most complete and precise experimental analysis, performed with the ALEPH data, obtains \([13,14]\).

\[
\delta_{NP} = -0.0064 \pm 0.0013. \tag{11}
\]

The QCD prediction for \( R_{\tau,V+A} \) is then completely dominated by the non-perturbative corrections being smaller than the perturbative uncertainties.

Combining the \( \tau \) lifetime and \( e/\mu \) branching fractions into a universality-improved electronic branching ratio, the Heavy Flavor Averaging Group quotes \([15,16]\):

\[
R_{\tau,V+A} = 3.4696 \pm 0.0080, \quad R_{\tau,S} = 0.1618 \pm 0.0026. \tag{12}
\]

Using \([V_{ud}] = 0.97425 \pm 0.00022 \tag{15}\) and Eq. (11), the pure perturbative contribution to \( R_{\tau} \) is determined to be:

\[
\delta_{PV} = 0.2009 \pm 0.0031. \tag{13}
\]

The main uncertainty in the \( R_{\tau} \) determination of the strong coupling originates in the treatment of higher-order perturbative corrections \([11]\). Using CIPT one obtains \( \alpha_s(m_{\tau}^2) = 0.341 \pm 0.013, \) while FOPT would give \( \alpha_s(m_{\tau}^2) = 0.319 \pm 0.014. \) Combining the two results, but keeping conservatively the smallest error, we get

\[
\alpha_s(m_{\tau}^2) = 0.331 \pm 0.013. \tag{14}
\]

The direct analysis of the ALEPH invariant-mass distribution \([15,16]\) determines \( \alpha_s(m_{Z}^2) \) and the \( (D \leq 8) \) OPE corrections through a global fit of the \( R_{\tau,V+A} \) and four weighted integrals \([6]\) with \( s_0 = m_{Z}^2 \) and weights \( w(x) = (1 + 2x)(1 - x)^{n_f} \) \( (x = s/m_{Z}^2, \ l = 0, 1, 2, 3) \). Using CIPT, this gives \( \delta_{NP} \) in Eq. (14) and \( \alpha_s(m_{\tau}^2) = 0.341 \pm 0.008, \) fully consistent with our CIPT result.

The value of \( \alpha_s \) in Eq. (14) is significantly larger \((16\sigma)\) than the result obtained from the \( Z \) hadronic width, \( \alpha_s(n_f=5)(M_{Z}^2) = 0.1197 \pm 0.0028 \tag{33} \) \( (n_f \) denotes the relevant number of quark flavours at the given energy scale). After evolution up to the scale \( M_{Z} \tag{34,35} \), the strong coupling in (14) decreases to \( \alpha_s(n_f=5)(M_{Z}^2) = 0.1200 \pm 0.0015, \) in excellent agreement with the direct measurement at the \( Z \) peak. The comparison of these two determinations provides a beautiful test of the predicted QCD running; i.e., a very significant experimental verification of asymptotic freedom:

\[
\alpha_s(M_{Z}^2)_{IR} - \alpha_s(M_{Z}^2)_{IR} = 0.0003 \pm 0.0032. \tag{15}
\]

4. Duality violations

When the OPE is used to perform the contour integration \([6]\), one is neglecting the difference \( \Delta_{ij,j'}(s) \equiv \Pi_{ij,j'}(s) - \Pi_{ij,j'}^{\text{OPE}}(s) \). The missing correction can be expressed as \([41,42]\):

\[
\frac{i}{2} \int_{s_0}^{s_0} ds w(s) \Delta_{ij,j'}(s) = - \int_{s_0}^{\infty} ds w(s) \Im \Delta_{ij,j'}(s). \tag{16}
\]

This effect is negligible in \( R_{\tau} \), since it is smaller than the errors induced by \( \delta_{NP} \) which are in turn subdominant with respect to the leading perturbative uncertainties; however, it could be more relevant for other weighted integrals of the invariant-mass distribution.

Parametrizing \( \Im \Delta_{ij,j'}(s) \) with the ansatz \([41,43]\):

\[
\Im \Delta_{ij,j'}(s) = \pi \epsilon^{(\delta + \gamma)} \sin(\alpha + \beta s) \tag{16}
\]

the \( \tau \) data can be used to fit the parameters \( \alpha, \beta, \gamma, \delta \), which are different for each correlator \( \Pi_{ij,j'} \). In order to maximize duality violations, Refs. \([22]\) analyze the weight \( w(x) = 1 \) and fit the \( s_0 \) dependence of the corresponding \( V \) and \( A \) integrated distributions in the range \( s_{\min} \approx 1.55 \text{ GeV}^2 \leq s_0 \leq m_{\tau}^2 \). This is equivalent to a direct fit of the measured spectral functions in this energy range \([31,32]\) plus the total integral at \( s = s_{\min} \). Thus, one pays a very big price because i) \( \sqrt{s_{\min}} = 1.2 \text{ GeV} \) is too low to be reliable; ii) one directly touches the real axis where the OPE is not valid, and iii) the separate \( V \) and \( A \) correlators have larger non-perturbative contributions than \( V + A \). In addition, one has a too large number of free parameters to be fitted to a highly correlated data set. In spite of all these caveats, this procedure results in quite reasonable values of the strong coupling (CIPT): \( \alpha_s(m_{\tau}^2) = 0.310 \pm 0.014 \) (ALEPH), \( 0.322 \pm 0.026 \) (OPAL) \([22]\). Although the quoted uncertainties seem underestimated, this suggests a much better behaviour of perturbative QCD at low values of \( s \) than naively expected. This had been already noticed long-time ago in the pioneering analyses of the \( s_0 \) dependence performed in Refs. \([30,34,45]\).

The violations of quark-hadron duality could play a more important role in observables which are not dominated by the perturbative contribution. A gold-plated example is \( \Pi_{LR}(s) = \Pi_{\text{NP}}^{(s + 1)}(s) - \Pi_{\text{NP}}^{(s + 1)}(s) \) which vanishes identically to all orders of perturbation theory. The \( \tau \)-data analysis of this correlator has allowed us to extract important information on low-energy couplings of Chiral Perturbation Theory and other non-perturbative QCD parameters \([46,49]\).
5. $V_{us}$ determination

The separate measurement of the $|\Delta S| = 1$ and $|\Delta S| = 0$ tau decay widths provides a very clean determination of $V_{us}$ \[50\] \[51\]. To a first approximation, their ratio directly measures $|V_{us}/V_{ud}|^2$. The experimental values in Eq. \[12\] imply $|V_{us}/V_{ud}| = 0.210 \pm 0.002$. This rather remarkable determination is only slightly shifted by the small SU(3)-breaking contributions induced by the strange quark mass. These effects can be theoretically estimated through a careful QCD analysis of the difference \[11\] \[12\] \[50\] \[57\].

$$\delta R_{\tau} \equiv \frac{R_{\tau, e^+\tau^-}}{|V_{ud}|^2} - \frac{R_{\tau, S}}{|V_{us}|^2}$$

The only non-zero contributions are proportional to the mass-squared difference $m_2^2 - m_1^2$ or to vacuum expectation values of SU(3)-breaking operators such as $\delta O_4 = 0(m_3 \delta s - m_3 d\bar{d})$. The dimensions of these operators are compensated by corresponding powers of $m_2^2$, which implies a strong suppression of $\delta R_{\tau}$.

$$\delta R_{\tau} \approx 24 S_{EW} \left\{ \frac{m_1^2}{m_2^2} (1 - \frac{\epsilon_d}{m_2}) \Delta_{00}(\alpha_s) - 2\alpha^2 \frac{\delta O_4}{m_2^2} Q_{00}(\alpha_s) \right\} \text{,} \tag{17}$$

where $\epsilon_d \equiv m_d/m_s = 0.053 \pm 0.002$ \[58\]. The perturbative corrections $\Delta_{00}(\alpha_s)$ and $Q_{00}(\alpha_s)$ are known to $O(\alpha_s^2)$ and $O(\alpha_s^3)$, respectively \[11\] \[12\].

The only contribution to $\Delta_{00}(\alpha_s)$ shows a pathological behaviour with clear signs of being a non-convergent perturbative series. Fortunately, the corresponding longitudinal contribution to $\delta R_{\tau}$ can be estimated phenomenologically with good accuracy, $\delta R_{\tau, l} = 0.1544 \pm 0.0037$ \[50\], because it is dominated by far by the well-known $\tau \rightarrow \nu_\tau \nu$ and $\tau \rightarrow \nu_\tau K^-$ contributions. To estimate the remaining transverse component, one needs an input value for the strange quark mass; we adopt the lattice world average \[59\], but in much better agreement with the $K_{e3}$ value. Contrary to $K_{e3}$, the final error of the $V_{us}$ determination from $\tau$ decays is dominated by the experimental uncertainties and, therefore, sizeable improvements can be expected.

6. Anomalous magnetic moments

The most stringent QED test \[63\] \[64\] comes from the high-precision measurements of the $e$ \[65\] and $\mu$ \[66\] anomalous magnetic moments, $a_i \equiv (g_i - 2)/2$:

$$a_e = (1 159 652 180.73 \pm 0.28) \times 10^{-12} \text{,} \tag{21}$$

$$a_\mu = (11 659 208.9 \pm 6.3) \times 10^{-10} \text{.}$$

The $O(\alpha^5)$ calculation has been completed in both cases \[67\], with an impressive agreement with the measured $a_e$ value. The dominant QED uncertainty is the input value of $\alpha$, therefore $a_e$ provides the most accurate determination of the fine structure constant (0.25 ppb),

$$\alpha^{-1} = 137.035 999 174 \pm 0.000 000 035 \text{,} \tag{22}$$

in agreement with the next most precise value (0.66 ppb) $a_{eRb} = 137.035 999 037 \pm 0.000 000 091$ \[68\], deduced from the measured ratio $h/m_{Rb}$ between the Planck constant and the mass of the $^{85}$Rb atom. The improved experimental accuracy on $a_\mu$ already sensitive to the hadronic contribution $\delta a_{\mu}^{QCD} = (1.685 \pm 0.033) \times 10^{-12}$, and approaching the level of the weak correction $\delta a_{\mu}^{EW} = (0.0297 \pm 0.0005) \times 10^{-12}$ \[67\].

The heavier muon mass makes $\mu$ much more sensitive to electroweak corrections \[69\] \[76\] from virtual heavier states; compared to $e$, they scale as $m_2^2/m_1^2$. The main theoretical uncertainty comes from the hadronic vacuum polarization corrections to the photon propagator (Fig. \[2\]), which cannot be calculated at present with

$$|V_{us}| = \left( \frac{R_{\tau, S}}{|V_{ud}|^2} - \delta R_{\tau, th} \right)^{1/2} \approx 0.2177 \pm 0.0018_{\text{exp}} \pm 0.0010_{\text{th}} \text{.} \tag{19}$$

This result is lower than the most recent determination from $K_{e3}$ decays, $|V_{us}| = 0.2229 \pm 0.0009$ \[60\] \[61\]. The $\tau$ branching ratios measured by BaBar and Belle are smaller than previous world averages, which translates into smaller results for $R_{\tau, S}$ and $|V_{us}|$. The measured $K^- \rightarrow \mu^- \nu$ decay width implies a $\tau \rightarrow \nu_\tau K^-$ branching ratio 1.7$\sigma$ higher than the present experimental value \[1\]. Combining the measured spectra in $\tau \rightarrow \nu_\tau (K\pi)$ decays with $K_{e3}$ data \[62\], one also predicts $\tau \rightarrow \nu_\tau K^0\pi^-$ and $\tau \rightarrow \nu_\tau K^-\pi^0$ branching ratios 1.0$\sigma$ and 1.6$\sigma$ higher, respectively, than the world averages. Replacing the direct $\tau$ decay measurements by these phenomenological estimates, one gets the corrected result $R_{\tau, S} = 0.1665 \pm 0.0034$ \[1\], which implies

$$|V_{us}| = 0.2208 \pm 0.0025 \text{,} \tag{20}$$

This is much better agreement with the $K_{e3}$ value. Contrary to $K_{e3}$, the final error of the $V_{us}$ determination from $\tau$ decay is dominated by the experimental uncertainties and, therefore, sizeable improvements can be expected.
the required precision and must be extracted \cite{63,77,79} from the measurement of \(\sigma(e^+e^-\rightarrow\text{hadrons})\) and from the invariant-mass distribution of the final hadrons in \(\tau\) decays. \(\delta\mu_{\text{hvp}}^{\text{LO}}\) is dominated by the low-energy spectral region; the largest contribution being the 2\(\pi\) decays.

\[\delta\mu_{\text{hvp}}^{\text{LO}} = (11 659 181.5 \pm 4.9) \times 10^{-10}\]

Improved theoretical predictions and more precise \(e^+e^-\) and \(\tau\) data sets are needed to settle the true value of \(\delta\mu_{\text{hvp}}\) and match the aimed \(10^{-10}\) accuracy of the forthcoming muon experiments at Fermilab and J-PARC \cite{105}.

With a predicted value \(\alpha_{\text{th}} = 117 721 (5) \times 10^{-8}\) \cite{106}, the \(\tau\) anomalous magnetic moment has an enhanced sensitivity to new physics because of the large tau mass. However, it is essentially unknown experimentally: \(\alpha_{\text{exp}} = -0.018 \pm 0.017\) \cite{107}. Using an effective Lagrangian, invariant under the Standard Model gauge group, and writing the lowest-dimension \((D = 6)\) operators contributing to \(a_\tau\), it is possible to combine experimental information from \(\tau\) production at LEP1, LEP2 and SLD with \(W^- \rightarrow \tau^-\bar{\nu}_\tau\) data from LEP2 and \(p\bar{p}\) colliders. This allows one to set a stronger model-independent bound on new-physics contributions to \(a_\tau\) (95\% CL) \cite{108}:

\[0.007 < a_\tau^{\text{New Phys}} < 0.005\] \hspace{1cm} (24)

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