End-to-End Learning for Uplink MU-SIMO Joint Transmitter and Non-Coherent Receiver Design in Fading Channels

Songyan Xue, Yi Ma, and Na Yi

Abstract—In this paper, a novel end-to-end learning approach, namely JTRD-Net, is proposed for uplink multuser single-input multiple-output (MU-SIMO) joint transmitter and non-coherent receiver design (JTRD) in fading channels. The basic idea lies in the use of artificial neural networks (ANNs) to replace traditional communication modules at both transmitter and receiver sides. More specifically, the transmitter side is modeled as a group of parallel linear layers, which are responsible for multuser waveform design; and the non-coherent receiver is formed by a deep feed-forward neural network (DFNN) so as to provide multuser detection (MUD) capabilities. The entire JTRD-Net can be trained from end to end to adapt to channel statistics through deep learning. After training, JTRD-Net can work efficiently in a non-coherent manner without requiring any levels of channel state information (CSI). In addition to the network architecture, a novel weight-initialization method, namely symmetrical-interval initialization, is proposed for JTRD-Net. It is shown that the symmetrical-interval initialization outperforms the conventional method (e.g. Xavier initialization) in terms of well-balanced convergence-rate among users. Simulation results show that the proposed JTRD-Net approach takes significant advantages in terms of reliability and scalability over baseline schemes on both i.i.d. complex Gaussian channels and spatially-correlated channels.

Index Terms—End-to-end learning, multuser single-input multiple-output (MU-SIMO), joint transmitter and receiver design, multuser detection (MUD), weight initialization.

I. INTRODUCTION

MULTIPLE-INPUT MULTIPLE-OUTPUT (MIMO) technology can significantly improve the system capacity, spectral efficiency and link reliability by exploiting the spatial-domain degrees of freedom [1]. Due to these advantages, MIMO technology has been applied in a wide range of wireless communication standards including IEEE 802.11n, Long Term Evolution (LTE), and 5G new radio (NR) [2]. To take full advantage of the spatial multiplexing-gain, most approaches require accurate channel estimation at the base station, which can be carried out by periodically transmitting pilot sequences [3]. However, the channel estimation procedure can introduce considerable latency and training overhead. The training overhead scales linearly with the number of user terminals (UTs) [4]. Moreover, the maximum number of UTs served in the multuser system is limited by the number of orthogonal pilot sequences. This restriction on the availability of orthogonal resources forces the reuse of pilots for UTs in different cells. One of the main consequences of pilot-signal reuse is the pilot contamination, which has become a fundamental performance bottleneck in large-scale MIMO systems. A good overview of schemes to tackle this problem can be found in [5] and references therein, including pre-coding, semi-blind, and blind estimation methods.

As an alternative, non-coherent MIMO communication systems have attracted great attention [6]–[9], since they require no prior knowledge of instantaneous channel state information (CSI) at either transmitter or receiver side. In this case, the common practice is to form the transmit signal in a way that permits accurate detection in the presence of channel uncertainty, e.g., differential encoding [10], space-time codes [11], [12]. The former provides unambiguous signal reception by using modulation schemes (e.g. phase-shift-keying (PSK)) to ensure time-domain dependence of the transmitted signal. The latter is shown to be capacity-achieving in high signal-to-noise ratio (SNR) regime for block Rayleigh fading channels [7], [13]. The idea is to carry the information in a subspace of the transmitted signal block which makes it invariant to the channel matrix multiplication, and such a subspace belongs to the Grassmannian manifold [7]. However, its computational complexity grows exponentially with the size of the decision region, which makes it unrealistic in real practice. To achieve the best performance-complexity trade-off, a group of suboptimal detection algorithms has been proposed for Grassmannian constellations [14]–[16].

Owing to its powerful data learning capability, deep learning technology has achieved significant success in wide range of fields of applications, including natural language processing, image processing, computer vision, and many others. Recently, it has been applied to wireless communication physical layer, such as signal detection [17]–[20], channel coding [21]–[23], and channel estimation [24]–[26]. A relatively comprehensive survey of deep learning techniques for wireless communication systems can be found in [27]. More importantly, deep learning enables a low-complexity end-to-end optimization of communications systems. The idea...
is to represent transmitter and receiver as neural networks and interpret the whole system as an autoencoder, which can be trained in a supervised manner using learning algorithms [28]. Compared with traditional block-level system design, the end-to-end learning of a communication system is more likely and much easier to ascertain global optimality particularly in complicated communication scenarios [29]. This is because the individual blocks therein are separately designed and optimized with different assumptions and objectives. Also, such a design highly relies on the mathematical model of wireless channel, which cannot always correctly or accurately reflect the actual propagation scenario, thereby compromising the system performance. Besides, it has been shown that a learned communications system can work efficiently without requiring any levels of CSI at both transmitter and receiver sides [28], [30], [31]. Specifically for MIMO systems, in [32], the authors proposed a joint modulation and signal detection approach for single-user MIMO system. In [33], deep learning-based multiuser single-input multiple-output (MU-SIMO) joint transmitter and receiver design outperforms the minimum mean-square-error (MMSE) receiver in small-size MU-SIMO systems. In [34], an autoencoder-based approach outperforms the orthogonal frequency division multiplexing with index modulation (OFDM-IM) in energy-based MU-SIMO systems.

Despite their advantages, current solutions are still challenged by the signal processing scalability with respect to the size of MU-SIMO networks. It has been shown that most of the existing solutions can only work efficiently for special cases such as MU-SIMO with small size (e.g., $2 \times 4$) and low data rate [32], [33]. Our preliminary work in [35] has explored the potential of applying end-to-end learning in large-size MU-SIMO systems. Moreover, current solutions only consider simple channel models (i.i.d. complex Gaussian channel). To the best of our knowledge, none of the existing works has investigated the impact of channel correlation on the end-to-end learning in MU-SIMO systems.

Motivate by the above observations, we explore the feasibility of providing a scalable and robust solution for uplink MU-SIMO joint transmitter and non-coherent receiver design. Major contributions of this paper include:

- The development of a novel end-to-end learning approach for uplink MU-SIMO systems, namely JTRD-Net. In JTRD-Net, transmitters are modeled as a group of parallel linear layers, which are responsible for multiuser waveform design; and the non-coherent receiver is formed by a deep feed-forward neural network (DFNN) so as to provide multiuser detection (MUD) capabilities. The entire JTRD-Net can be trained from end to end through deep learning. After training, JTRD-Net can work efficiently in a non-coherent manner. Simulation results show that JTRD-Net outperforms baseline schemes on both i.i.d. complex Gaussian channels and spatially-correlated channels. More interestingly, it is shown that channel correlation benefits end-to-end learning in terms of reliability and training complexity.
- The analysis of computational complexity for the proposed JTRD-Net. It is shown that JTRD-Net has a simple network architecture with only feed-forward neural network works. Therefore, the computational complexity is mainly dominated by matrix multiplication, i.e., it bypasses matrix inversions or factorizations which are needed for most of the conventional non-coherent detection approaches.
- The development of a novel weight initialization method for the proposed JTRD-Net, namely symmetrical-interval weight initialization. It is shown that the symmetrical-interval initialization outperforms the conventional method (e.g., Xavier initialization) in terms of well-balanced convergence-rate among different UTs.

The rest of this paper is organized as follows. Section II presents the system model and preliminaries. Section III presents the novel JTRD-Net approach with detailed training procedure and complexity analysis. Simulation results are presented in Section IV; and finally, Section V draws the conclusion.

**Notation:** Regular letter, lower-case bold letter, and capital bold letter represent scalar, vector, and matrix, respectively. $\mathbb{R}$ represents the real field and $\mathbb{C}$ represents the complex field. $\Re(\cdot)$ and $\Im(\cdot)$ represent the real and imaginary parts of a complex number, respectively. The superscripts $(\cdot)^T$, $(\cdot)^H$, and $(\cdot)^{-1}$ represent the transpose, Hermitian, and inverse of a vector/matrix, respectively. $|\cdot|$, $\|\cdot\|$ represent the absolute value, and the $\ell_2$-norm, respectively. $E[\cdot]$, $p(\cdot)$, $\det(\cdot)$, and $\log(\cdot)$ represent the expectation, the probability, the determinant and the logarithm function, respectively. $\tr\{\mathbf{A}\}$ is the trace of matrix $\mathbf{A}$. The operator $\text{vec}\{\mathbf{A}\}$ stacks all columns of the matrix $\mathbf{A}$ on top of each other, from left to right. The notation $\|\mathbf{z}\|_F^2$ denotes the operation of $\mathbf{z}^H \mathbf{A} \mathbf{z}$.

## II. System Model and Preliminaries

### A. MU-SIMO Uplink System Model

Consider MU-SIMO uplink communications, where $M$ UTs simultaneously communicate to an uplink access point (AP) with $N$ receive antennas ($N \geq M$). The MU-SIMO channel is assumed to be block-fading, i.e., the channel remains constant with a coherent block of length $T > 1$ and changes independently between blocks, and each UT employs a single transmit-antenna to send a fixed number of data streams. Within a coherent block, each UT sends a signal vector $\mathbf{x}_m$, and the received signal at the AP is described by the following matrix form

$$\mathbf{Y} = \mathbf{XH} + \mathbf{V}$$

where $\mathbf{Y} \in \mathbb{C}^{T \times N}$ is the received signal block over $T$ coherent intervals, $\mathbf{X} \triangleq [\mathbf{x}_1, \mathbf{x}_2, \ldots, \mathbf{x}_M] \in \mathbb{C}^{T \times M}$ is the transmitted signal block, $\mathbf{H} \in \mathbb{C}^{M \times N}$ is the MU-SIMO channel matrix, $\mathbf{V} \in \mathbb{C}^{T \times N}$ is the matrix of additive white Gaussian noise (AWGN).

We will work under the following assumptions:

1. The MU-SIMO channel matrix $\mathbf{H}$ is unknown at both transmitter and receiver, and no stochastic model is assumed for it.
2. The transmitted signal block $\mathbf{X}$ is randomly drawn from a finite alphabet set $\mathcal{A} = \{\mathbf{x}_1, \mathbf{x}_2, \ldots, \mathbf{x}_K\}$, with equal probability. Let $x_{m} \in \mathbb{C}^{T \times 1}$ be the $m^{th}$ column of $\mathbf{X}$, the average transmit power of each UT
is regularized by $\mathbb{E}\left[x_{mn}^H x_{mn}\right] \leq \alpha_m P$, where $\alpha_m \geq 0$ satisfy $\sum_{m=1}^M \alpha_m = T$, and $P$ is the power budget. 

3) Each element in noise matrix $V$ is independently drawn from $\mathcal{CN}(0, \sigma^2)$, where $\sigma^2$ is the noise variance, and $\Phi = \mathbb{E}[VV^H]$ denotes the noise covariance matrix.

B. Conventional Non-Coherent Detection and Problem Formulation

Based on the system model and the above assumptions, non-coherent MU-SIMO receiver faces a multiple hypothesis testing problem which can be solved by [36]

$$\hat{X}_k = \arg\min_{X \in \mathcal{A}} \left\| y - (I_N \otimes X_k) \hat{h}_k \right\|^2_{\Phi^{-1}}$$

(2)

where

$$\hat{h}_k = \left(X_k^H \hat{X}_kight)^{-1} X_k^H \Phi^{-1/2} y$$

(3)

and

$$\hat{X}_k = \Phi^{-1/2} (I_N \otimes X_k)$$

(4)

where $y = \text{vec}(Y)$, $I_N$ stands for the $(N) \times (N)$ identity matrix, and $A \otimes B$ for the Kronecker product between $A$ and $B$. The detection can be implemented by employing $K$ parallel processors with each process corresponds to a specific codeword $X_k$, $1 \leq k \leq K$, and computes the likelihood ratio of each codeword. In order to achieve more accurate estimates of the transmitted signal block, the codebook $\mathcal{A}$ needs to be carefully designed. We first consider the simplest case that the codebook only have two codewords (e.g. $X_i$ and $X_j$, $i \neq j$). Let $P(X_i \rightarrow X_j)$ be the probability of misclassification in noiseless case, i.e., the detector mistakenly deciding $X_j$ when $X_i$ is transmitted, as

$$P(X_i \rightarrow X_j) = \mathcal{P} \left( \|d_u\|^2 > \|d_j\|^2 \right)$$

(5)

where

$$d_u = \Phi^{-1/2} \left(y - (I_N \otimes X_u) \hat{h}_u\right)$$

$$= \Phi^{-1/2} y - \hat{X}_u \hat{h}_u,$$

(6)

for $u = i, j$.

Since $X_i$ is transmitted, then we have

$$y = (I_N \otimes X_i) h + v$$

(7)

where $h = \text{vec}(H)$, and $v = \text{vec}(V)$. Plugging (6) and (7) in (5) yields [8]

$$P(X_i \rightarrow X_j) = \mathcal{P} \left( w^H (\Gamma_i - \Gamma_j) w - 2\Re(\{w^H \Gamma_j X_j h\}) > \lambda \right)$$

(8)

where

$$w = \Phi^{-1/2} v$$

(9)

denotes the zero-mean white Gaussian noise, and

$$\Gamma_u = I_{NT} - \hat{X}_u (\hat{X}_u^H \hat{X}_u)^{-1} \hat{X}_u^H$$

(10)

is the orthogonal projector onto the orthogonal complement of the column space of $\hat{X}_{(j)}$, and

$$\lambda = h^H \hat{X}_i^H \Gamma_j \hat{X}_j h$$

(11)

The probability in (8) cannot be easily calculated. Consider the operation at high-SNR regime, and the quadratic term of $w$ is negligible [37]. Therefore, we have the following approximation

$$P(X_i \rightarrow X_j) \approx \mathcal{P} \left( -2\Re(\{w^H \Gamma_j X_j h\}) > \lambda \right)$$

$$\approx Q\left( \frac{1}{\sqrt{2}} \|h\| \sqrt{\lambda_{\min,L_{ij}}^{-1}} \right)$$

(12)

where $L_{ij} = X_i^H (I_{NT} - X_j (X_j^H X_j)^{-1} X_j^H) X_i$ and $Q(\cdot)$ is the Q-function. (12) shows that the probability of misclassification depends on the channel realization $h$ and the relative geometry of the codewords $X_i$ and $X_j$. Since the $Q$-function is monotonically non-increasing, using the inequality [8]

$$h^H L_{ij} h \geq \lambda_{\min,L_{ij}} \|h\|^2$$

(13)

where $\lambda_{\min,L_{ij}}$ denotes the minimum eigenvalue of the Hermitian matrix $L_{ij}$. We can obtain the upper bound at high-SNR regime

$$P(X_i \rightarrow X_j) \leq Q\left( \frac{1}{\sqrt{2}} \|h\| \sqrt{\lambda_{\min,L_{ij}}} \right)$$

(15)

From (15) we know that the pairwise error probability (PEP) is decided by the fading channel $h$ as well as $L_{ij}$, where the latter is directly decided by the codewords. In order to minimize the PEP, (15) shows that we can either maximize $\|h\|$ or $\lambda_{\min,L_{ij}}$. Since we cannot control the gain of wireless channel $h$, the only solution is to design a codebook that maximizing $\lambda_{\min,L_{ij}}$. However, such a joint codebook optimization problem is mathematically challenging since it is a high-dimensional and non-linear problem [36]. Nevertheless, the optimization in (15) is a sub-optimal solution in nature compared with the maximum-likelihood sequence detection (MLSD) algorithm. Motivated by the above facts, we fundamentally rethink the joint transmit and non-coherent receiver optimization problem in MU-SIMO systems, and consider deep learning technique as a potential solution. This is because: 1) deep learning has demonstrated remarkable performance in end-to-end design of point-to-point communications [28]; 2) deep learning based MUD can achieve near-optimal performance in various scenarios [17]–[20]; 3) most of the deep learning algorithms have parallel computing architecture in nature, which means the computational complexity can be well handled by employing the high-performance computing technologies, e.g., Graphical processing unit (GPU) and field programmable gate array (FPGA).

III. END-TO-END LEARNING FOR MU-SIMO SYSTEM

In this section, we first introduce the proposed end-to-end learning approach for uplink MU-SIMO joint transmitter and non-coherent receiver design, namely JTRD-Net. Afterward, the training procedure and computational complexity analysis are provided.
A. JTRD-Net Architecture

Fig. 1 illustrates the block diagram of the proposed JTRD-Net approach for uplink MU-SIMO joint transmitter and non-coherent receiver design. In JTRD-Net, both multiuser transmitters and non-coherent receiver are designed by using neural networks. Specifically, the transmitter is modeled as a group of parallel linear layers with each followed by a normalization function. The input to the linear layer is a one-hot vector $\mathbf{s}_{m} \in \mathbb{R}^{(L \times 1)}$, $1 \leq m \leq M$, which is obtained by one-hot encoding [38] the binary information-bearing vector $\mathbf{m} \in \{0, 1\}^L$, $1 \leq m \leq M$, where $J = \log_2 L$ is the number of information bits per codeword. For instance, two information-bits can be encoded as

00 → 0001, 01 → 0010, 10 → 0100, 11 → 1000

The output of the linear layer is $\mathbf{z}_m = \mathbf{W}_m \mathbf{s}_m$, $1 \leq m \leq M$, where $\mathbf{W}_m$ stands for the weighting matrix. Here the linear layer does not need a bias node, because the weighting matrix can be regarded as codebook independently. Adding a bias node is equivalent to add the same vector to each column of the codebook, which does not make any sense in joint codebook design. Besides, the one-hot vector can be viewed as a codeword selector, which picks one column from $\mathbf{W}_m$ according to the information-bearing vector $\mathbf{s}_m$; and $\mathbf{W}_m$ is the user-specific codebook. It is worth noting that most of the existing deep learning algorithms are based on real-valued operations, but the wireless communication systems are normally modeled as complex-valued symbols [33] (e.g. constellations and channel coefficients). To facilitate the learning and communication procedure, it is common practice (see [17]–[20], [28]) to convert complex signals to their real signal equivalent version by

$$a_{\text{real}} = \begin{bmatrix} \Re(a) \\ \Im(a) \end{bmatrix}$$  \hspace{1cm} (16)

Therefore, we have $\mathbf{W}_m \in \mathbb{R}^{(2T \times L)}$ and $\mathbf{z}_m \in \mathbb{R}^{(2T \times 1)}$, which are equivalent to their complex-valued form $\mathbf{W}_m \in \mathbb{C}^{(T \times L)}$ and $\mathbf{z}_m \in \mathbb{C}^{(T \times 1)}$, where $T$ is the coherent-block length as we introduced in (1). Before transmitting through the channel, $\mathbf{z}_m$ needs to be normalized in order to meet the power constraint, which is given by

$$\mathbf{x}_m = \frac{f_{\text{norm}}(\mathbf{z}_m)}{\sqrt{\alpha_m P} \cdot \sqrt{\sum \|\mathbf{z}_m\|^2}} \hspace{1cm} (17)$$

where $\alpha_m$ and $P$ are the power-constraint parameters. The transmit signal is expressible as

$$\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \ldots, \mathbf{x}_M]^T \hspace{1cm} (18)$$

According to the system model in (1), the received signal is given by

$$\mathbf{y} = \mathbf{x}^T \cdot (I_T \otimes \mathbf{H}_{\text{real}}) + \mathbf{v}_{\text{real}} \hspace{1cm} (19)$$

where $\mathbf{x} = \text{vec}\{\mathbf{X}\} \in \mathbb{R}^{(2MT \times 1)}$, $\mathbf{v}_{\text{real}} \in \mathbb{R}^{(2NT \times 1)}$ stands for the AWGN vector, and

$$\mathbf{H}_{\text{real}} = \begin{bmatrix} \Re(\mathbf{H}) & -\Im(\mathbf{H}) \\ \Im(\mathbf{H}) & \Re(\mathbf{H}) \end{bmatrix} \hspace{1cm} (20)$$

for the channel matrix in its real-valued equivalent form. At the receiver side, $\mathbf{y}$ is utilized as the input to the non-coherent deep neural network (DNN) receiver. The non-coherent DNN receiver is modeled as a FDNN with three hidden layers. The activation function for the hidden layers is the rectified linear unit (ReLU), and for the output layer is standard logistic function (Sigmoid). The final output of the JTRD-Net $\hat{s}_{\text{all}} \in \mathbb{R}^{(JM \times 1)}$ is the estimate of the original information-bearing vectors.
B. Training Procedure of JTRD-Net

The entire JTRD-Net can be trained from end-to-end with the aim of minimizing the following binary cross-entropy cost function

\[
J(\varphi) = -\frac{1}{|B|} \sum_{i=1}^{|B|} \left( s_{all}^{(i)} \log s_{all}^{(i)} + (1-s_{all}^{(i)}) \log(1-s_{all}^{(i)}) \right) \tag{21}
\]

where \(B\) stands for the training mini-batch with size of \(B\), the superscript for the index, and \(\varphi = \{W, b\}\) for the trainable parameters in the JTRD-Net. Moreover, \(s_{all} \in \mathbb{R}^{(JM) \times (1)}\) is the reference training target, which is obtained by concatenating all information-bearing vectors as

\[
s_{all} = [s_1^T, s_2^T, \ldots, s_M^T]^T \tag{22}
\]

The difference between the training of JTRD-Net and other deep learning applications is that the channel matrix \(H\) needs to be considered in the back-propagation (BP) procedure. Otherwise, the linear layers at the transmitter side can not obtain correct gradients for parameter updating. To elaborate a little further, we assume the gradient of the received signal \(y = \nabla_y J(\varphi) \in \mathbb{R}^{(2NT) \times (1)}\) \(^2\) Here we skip the introduction of calculating the gradient for the receiver-side hidden layers, since it can be easily obtained by utilizing standard BP algorithm. In order to update the transmitter-side neural networks, we need to calculate the gradient for the transmitted signal block. To this end, channel matrix is assumed to be known at the training stage, then the gradient of the transmitted-signal block is expressible as

\[
\nabla_x J(\varphi) = (I_T \otimes H_{\text{real}}) \cdot \nabla_y J(\varphi) \tag{23}
\]

Note that the above assumption does not affect the non-coherent detection, since the channel knowledge is only utilized for backpropagation at training stage. After training, the entire JTRD-Net can still work efficiently in a non-coherent manner without requiring any levels of CSI. The gradient of each transmitted signal-block can be obtained by reshaping \(\nabla_{x_m} J(\varphi) \in \mathbb{R}^{(2MT) \times (1)}\) to a \((2T) \times (M)\) matrix in a row-major order, and the \(m^{th}\) column of the matrix is the gradient of \(x_m\), i.e., \(\nabla_{x_m} J(\varphi) \in \mathbb{R}^{(2T) \times (1)}, 1 \leq m \leq M\). Afterwards, the gradient of the weighting matrix on each linear layer can be computed by

\[
\nabla_{W_m} J(\varphi) = (\nabla_{x_m} J(\varphi) \odot f'_\text{norm}(z_m)) \cdot s_m^{\text{oh}}^T \tag{24}
\]

where \(\odot\) stands for point-wise multiplication, and \(f'_{\text{norm}}(z_m)\) for the derivative of the normalization function which is given by

\[
f'_{\text{norm}}(z_m) = \frac{\partial}{\partial z_m} \left( \sqrt{\alpha_m P} \cdot \frac{z_m}{\sqrt{\sum ||z_m||^2}} \right) = \sqrt{\alpha_m P} \cdot \left( 1_{(2T,1)} \otimes \sum ||z_m||^2 \right) - z_m \tag{25}
\]

where \(1_{(2T,1)}\) stands for an all-one vector with size of \((2T) \times (1)\). Moreover, the training procedure does not need to be implemented to the one-hot encoding function, because it consists of no trainable parameters and can be viewed as a bijective mapping between \(s_m\) and \(s_m^{\text{oh}}\).

C. Complexity Analysis

Define \(b\) as the size of the mini-batch, the computational complexity for the JTRD-Net is approximately \(O(b(4^2MT + L_hN^2T^2 + L_hM))\) in the training procedure \((T \geq M)\), and \(O(4^2MT + L_h N^2T^2 + L_h M)\) in the communication procedure, where \(J\) stands for the number of information bits per codeword per user and \(L_h\) for the number of neurons on the receive-DNN hidden layer which might vary with the size of the MU-SIMO network. The complexity is mainly dominated by matrix multiplications. To put this in perspective, the expectation propagation (EP) based non-coherent MU-SIMO detection normally has a computational complexity around \(O(M^22^Kn_{\text{iteration}})\) [39], where \(n_{\text{iteration}}\) is the number of detection iterations. The maximum-likelihood detection for Grassmannian modulation has a complexity of \(O(2^{3MK})\) dominated by an exhaustive search. It has been shown, in the literature, that most of the conventional approaches can only work efficiently when spatial-domain user load is relatively low (e.g., \(M = 4\)). Also, the coherent block length \(T\) has to be much larger than \(M\) (e.g., \(T > 2M\)). In the simulation, we will show that the proposed JTRD-Net is scalable in term of the spatial-domain user load, and the coherent block length does not need to be that long. Moreover, recall that the proposed JTRD-Net approach is mainly formed by neural networks, it fits into the trend of high-performance computing technologies that highly rely on parallel processing to improve the computing speed, the capacity of multi-task execution as well as the computing energy-efficiency. This is an important feature as it equips the receiver with a great potential of providing ultra-low latency and energy-efficient signal processing that is one of the key requirements for future wireless networks [40].

IV. SIMULATION RESULTS

This section presents the simulation result and performance analysis. The data sets and implementation details are introduced at the beginning, followed by the introduction of the proposed weight initialization method. Afterwards, a comprehensive performance evaluation is provided, which demonstrates the performance of the proposed JTRD-Net approach.

A. Data set and Implementation Details

In traditional artificial intelligence (AI) applications including image classification and nature language processing, learning algorithms and models depend heavily on training data-set (e.g., MNIST [41], MS-COCO [42], and CIFAR-10 [43]). In many cases, it is very difficult to build training datasets that are large enough to meet the training requirements. However, this problem can be easily solved in the wireless communication domain, since we are dealing with artificially manufactured data (e.g. modulation and coding) which can be accurately generated. Therefore, we would like to define the
data generation routines instead of giving a specific training dataset in this work.

As far as supervised learning is concerned, the training dataset consists of a number of randomly generated pairs. The training input is a group of one-hot vectors \( s_m \), \( 1 \leq m \leq M \), and the referenced training target is a binary vector \( s_{\text{all}} \) as we introduced in (19). For each training iteration, channel matrix is randomly generated subject to specific channel models. In this work, we considered three different channel models, including i.i.d. complex Gaussian MIMO channel, Kronecker MIMO channel, and 3GPP 3D MIMO channel. Specifically, each element of the i.i.d. complex Gaussian MIMO channel \( \mathbf{H}_R \) is \( h_{ij} \sim \mathcal{CN}(0,1/M) \), while the Kronecker MIMO channel is described by

\[
\mathbf{H}_K = \mathbf{R}_R^{1/2} \mathbf{W}_R \mathbf{R}_T^{1/2}
\]

(26)

where \( \mathbf{R}_T \) and \( \mathbf{R}_R \) denote the transmitter and receiver side spatial-correlation matrices, respectively, and \( \mathbf{W}_R \) is independently and identically distributed as circular-symmetric complex Gaussian with zero-mean and unit variance, which is generated according to the exponential correlation model in [44] with the same correlation coefficient \( \rho \). For the case of realistic channels, we consider 3GPP 3D MIMO channel [45], and implemented in QuaDRiGa channel simulator [46]. The AP is assumed to be equipped with 4 dual-polarized antennas with a height of 25m. The sector coverage is 120°, and 4 single-antenna UTs are randomly dropped with a radius range of 500m. Users are modeled to move along a linear trajectory with a speed of 1 m/s. Besides, perfect power control is assumed, which normalizes the average received power across antennas to one.

At each training iteration, we randomly generate a group of user-specific information-bearing bits as well as a MIMO channel matrix. In the evaluation stage, network is tested until the number of error bits reaches a certain threshold, e.g., 1,000. The network is trained by using standard BP [47] with the mini-batch gradient descent algorithm, and the size of the mini-batch is set to be 100. To train the network well, Adam optimizer [48] is also utilized with an initial learning rate of 0.001. With the number of training epoch increases, we dynamically decrease the learning rate until reaching a given threshold, which can be expressed as

\[
\eta_{\text{epoch}} = \max(\eta_i/\sqrt{n_{\text{iteration}}}, \eta_{\text{low}})
\]

(27)

where \( \eta_i \) stands for the initial learning rate, \( n_{\text{iteration}} \) for the number of training epoch, and \( \eta_{\text{low}} \) for the lower bound of the learning rate, which is set to be 10^{-5} in this work; as we found that a smaller learning rate can achieve better convergence performance particularly at the later training stage.

All simulations are run on a Dell PowerEdge R730 2x8-Core E5-2667v4 server, and implemented in Matlab. We release our source code at github.com/jtrdnet/source_code.

**Remark 1.** One of the reviewers pointed out that the simulated system considers the use of a practical but relatively small number of antennas compared to massive-MIMO systems. The training complexity and memory requirement might grow significantly with the increase of antenna size. This is indeed a very important issue for the feedforward network. A potential approach is to employ the bi-directional long short-term memory (bi-LSTM) structure to improve the training scalability; and this could be a very good research direction for future work.

### B. Weight Initialization

In addition to the above settings, we proposed a novel weight initialization method for the transmitter-side linear layers in the JTRD-Net. It is well known that weight initialization plays an important role in neural network training, since it directly affects the convergence performance. Traditional initialization method such as Xavier initialization [49], randomly generates the coefficients of weighting matrices by using the following heuristic

\[
\mathbf{W}_{ij} \sim \mathcal{U}(0, \frac{1}{\sqrt{n}})
\]

(28)

where \( \mathcal{U}(a, b) \) denotes the uniform distribution in the interval of \( (a - b, a + b) \), and \( n \) is the size of the input to the current layer. Although Xavier initialization has been demonstrated to achieve fast convergence performance in many deep learning applications, it is not a good solution for the proposed JTRD-Net approach. The reason is that the initialized coefficients might differ by orders of magnitude, which will result in unbalanced training among different users; as shown in Fig. 2-(left). To tackle this issue, we proposed a modified weight-initialization method for JTRD-Net, namely symmetrical-interval initialization, which generates the coefficients by using the following heuristic

\[
\mathbf{W}_{ij} \sim \mathcal{U}\left(0, \frac{1}{\sqrt{n}}\right) \cup \mathcal{U}\left(\frac{1}{\sqrt{n}}, \frac{1}{\sqrt{n}}\right)
\]

(29)

where \( \zeta \) is an arbitrary number with at least one order of magnitude smaller than \( 1/\sqrt{n} \). By such means, the convergence among different users can be largely improved; as shown in Fig. 2-(right) that all users are able to achieve a balanced convergence performance. It is perhaps worth noting that the proposed method seems require much more training iterations than the conventional method. This is due to the fact that the
C. Simulations and Performance Evaluation

In this section, we study the performance of the proposed JTRD-Net approach under various channel models. The performance is evaluated using the bit error rate (BER) averaging over sufficient Monte-Carlo trials of block fading channels, and compared to wide range of baselines under different scenarios. The SNR of the system, defined as

\[
\text{SNR} = \frac{\mathbb{E} \|Hx_m\|^2}{\mathbb{E} \|v_m\|^2}
\]

is to measure the noise level.

1) Analysis of Network Size: Fig. 3, Fig. 4 and Fig. 5 illustrate the BER performance of the proposed JTRD-Net approach versus the number of layers in non-coherent DNN receiver with different transmission rates (e.g. 2 bits/codeword/user and 4 bits/codeword/user) under different channel models. Besides, two training SNRs are considered (e.g. SNR = 9 dB and 12 dB for i.i.d complex Gaussian channels and Kronecker channels, and SNR = 21 dB and 24 dB for 3GPP MIMO channel); the system environment is 4-by-8 MU-SIMO. It is shown that JTRD-Net converges within four layers under both channel models. Further increases layers can not improve the detection performance as the BER curve converges to a certain value. Besides, it is shown that lower transmission-rate is more sensitive to the change of SNRs, because the gap between the bottom two curves is much larger than the other one. Based on these results, the number of layers in non-coherent DNN receiver is set to be 4 in the following simulations.

2) Analysis of Coherent Block Length: Fig. 6 illustrates the average BER performance of the proposed JTRD-Net approach versus the length of coherent block under 4-by-4 i.i.d. complex Gaussian MIMO channels. The aim is to investigate the effects of coherent-block length on the detection performance. It is shown that the detection performance increases with the length of the coherent block. This phenomenon is easy to understand since the time-domain degree of freedom introduces power gain to the signal detection. It is worth noting that the pilot-based channel estimation approach requires at least \( T = M + 1 \) time slots to estimate channel. Therefore, the minimum coherent-block length for pilot-based solutions is \( T = M + 1 \). To facilitate the performance comparison in the following simulations, we set the length of coherent block to be \( M + 1 \).

3) i.i.d. complex Gaussian Channels: This section aims to investigate the BER performance of the proposed JTRD-Net approach with different system configurations under i.i.d.
complex Gaussian MIMO channels. Due to the lack of comparable algorithms, the performance of the proposed JTRD-Net approach is compared with pilot-based channel estimation approaches, e.g., MMSE channel estimation (MMSE-CE) with MMSE equalization or MLSD algorithm, and a conventional hand-engineered non-coherent detection approach, e.g., POCIS [39]. The layout of the JTRD-Net is listed in Table I, which is obtained by trying different combinations; and the above setup is believed to offer the best training performance.

Fig. 7 illustrates the BER performance of the JTRD-Net approach with different data rates under 4-by-4 i.i.d. complex Gaussian MIMO channels. Benefited by the spatial-domain diversity gain, all detection algorithms have their performance improved compared with the 4-by-4 MU-SIMO system. For 2 bits/codeword/user, the gap between JTRD-Net and the POCIS detector is approximately 2.2 dB at BER of $10^{-3}$, and JTRD-Net largely outperforms pilot-based approaches throughout the whole SNR range. For 4 bits/codeword/user, the performance gap between JTRD-Net and POCIS is slightly reduced with approximately 2 dB at BER of $10^{-3}$. The performance degradation is caused by the expansion of the decision region. Moreover, JTRD-Net fails to achieve the full diversity order at high SNR. Meanwhile, the proposed JTRD-Net approach still largely outperforms conventional baselines, but the performance gap between JTRD-Net and POCIS is reduced to approximately 3 dB at high SNR. This is potentially due to the increasing data rate introduces additional training complexity for joint waveform design, i.e., the decision region has been largely increased.

Fig. 8 illustrates the BER performance of the JTRD-Net approach with different data rates under 4-by-8 i.i.d. complex Gaussian MIMO channels. The network is trained at SNR of 12 dB in 2 bits/codeword/user case and 15 dB in 4 bits/codeword/user case. Benefited by the spatial-domain diversity gain, all detection algorithms have their performance improved compared with the 4-by-4 MU-SIMO system. For 2 bits/codeword/user, the gap between JTRD-Net and the POCIS detector is approximately 2.2 dB at BER of $10^{-3}$, and JTRD-Net largely outperforms pilot-based approaches throughout the whole SNR range. For 4 bits/codeword/user, the performance gap between JTRD-Net and POCIS is slightly reduced with approximately 2 dB at BER of $10^{-3}$. The performance degradation is caused by the expansion of the decision region. Moreover, JTRD-Net fails to achieve the full diversity order at high SNR. Meanwhile, the proposed JTRD-Net approach still largely outperforms conventional baselines, but the performance gap between JTRD-Net and POCIS is reduced to approximately 3 dB at high SNR. This is potentially due to the increasing data rate introduces additional training complexity for joint waveform design, i.e., the decision region has been largely increased.

Fig. 9 illustrates the BER performance of the JTRD-Net approach with different data rates under 8-by-8 i.i.d. complex Gaussian MIMO channels. The network is trained at SNR of 12 dB in 2 bits/codeword/user case and 15 dB in 4 bits/codeword/user case. Benefited by the spatial-domain diversity gain, all detection algorithms have their performance improved compared with the 4-by-4 MU-SIMO system. For 2 bits/codeword/user, the gap between JTRD-Net and the POCIS detector is approximately 2.2 dB at BER of $10^{-3}$, and JTRD-Net largely outperforms pilot-based approaches throughout the whole SNR range. For 4 bits/codeword/user, the performance gap between JTRD-Net and POCIS is slightly reduced with approximately 2 dB at BER of $10^{-3}$. The performance degradation is caused by the expansion of the decision region. Moreover, JTRD-Net fails to achieve the full diversity order at high SNR. Meanwhile, the proposed JTRD-Net approach still largely outperforms conventional baselines, but the performance gap between JTRD-Net and POCIS is reduced to approximately 3 dB at high SNR. This is potentially due to the increasing data rate introduces additional training complexity for joint waveform design, i.e., the decision region has been largely increased.
Fig. 10. Average BER performance comparison of the proposed JTRD-Net approach versus other MIMO detection algorithms with different transmission rates under 4-by-4 Kronecker MIMO channels.

Fig. 11. Average BER performance comparison of the proposed JTRD-Net approach versus other MIMO detection algorithms with different transmission rates under 4-by-8 Kronecker MIMO channels.

Fig. 12. Average BER performance comparison of the proposed JTRD-Net approach versus other MIMO detection algorithms with different transmission rates under 8-by-8 Kronecker MIMO channels.

4) Correlated MIMO Channel: This section aims to investigate the BER performance of the proposed JTRD-Net approach with different system configurations under correlated MIMO channels (e.g., Kronecker MIMO channels with $\rho = 0.5$). All of the network configurations and baselines remain unchanged as we utilized under i.i.d. complex Gaussian MIMO channels.

Fig. 10 illustrates the BER performance of the JTRD-Net approach with different data rates under 4-by-4 Kronecker MIMO channels. The network is trained at SNR of 12 dB in 2 bits/codeword/user case and 15 dB in 4 bits/codeword/user case. In this figure, it is shown that the conventional detection algorithms have their performance degraded for approximately 5 dB compared with the BER under i.i.d. complex Gaussian MIMO channels. Meanwhile, it is also shown that the detection accuracy of the proposed JTRD-Net approach is increased for around 1.3 dB at high SNR. Through our analysis of the neural-network designed codebooks, we found that the transmission power is jointly optimized among different users’ codebooks, which can largely mitigate the inter-user interference (IUI). This means that the transmit power is not evenly distributed over $T$ coherent block length, and is indeed jointly optimized by backpropagation algorithm throughout the training procedure. For 2 bits/codeword/user, the POCIS detector achieves nearly the same performance as the MMSE-CE with MLSD algorithm at low SNR. The performance gap between the JTRD-Net approach and the pilot-based schemes is approximately 6.5 dB at BER of $10^{-2}$. For 4 bits/codeword/user, this gap is further increased to approximately 9 dB at high SNR. Again, the POCIS detector achieves nearly the same performance as the pilot-based solutions.

Fig. 11 illustrates the BER performance of the JTRD-Net approach with different data rates under 4-by-8 Kronecker MIMO channels. The network is trained at SNR of 9 dB in 2 bits/codeword/user case and 12 dB in 4 bits/codeword/user case. For 2 bits/codeword/user, the gap between the JTRD-Net approach and the MMSE-CE with MLSD algorithm is around 5.2 dB at BER of $10^{-3}$. The POCIS detector achieves nearly the same performance as the MMSE-CE with MMSE.
algorithm with the performance difference around 1.2 dB. For 4 bits/codeword/user, it is shown that JTRD-Net fails to achieve full diversity gain. The gap between JTRD-Net and the MMSE-CE with MLSD algorithm is approximately 4 dB at BER of $10^{-2}$, and getting close with the SNR increasing. Meanwhile, JTRD-Net is still able to largely outperform other baseline schemes.

Fig. 12 illustrates the BER performance of the JTRD-Net approach with different data rates under 8-by-8 Kronecker MIMO channels. The network is trained at SNR of 9 dB in 2 bits/codeword/user case and 15 dB in 4 bits/codeword/user case. For 2 bits/codeword/user, the POCIS detector achieves nearly the same performance as the MMSE-CE with MLSD algorithm throughout the whole SNR range. The proposed JTRD-Net approach still largely outperforms all baseline schemes with at least 9 dB performance improvement. Similar phenomena have been observed for 4 bits/codeword/user case, the performance improvement from the JTRD-Net approach to the pilot-based schemes is approximately 4 dB at high SNR regime.

5) 3GPP MIMO Channel: This section aims to investigate the BER performance of the proposed JTRD-Net approach under realistic MIMO channel models, e.g., 3GPP 3D MIMO channels. To achieve the best performance, we slightly modify the network architecture by increasing the size of the hidden-layers to 1500, 1000, and 500. Besides, we consider only the pilot-based schemes for performance comparison, since the POCIS detector cannot work in this case.

Fig. 13 illustrates the BER performance of the JTRD-Net approach with different data rates under 4-by-8 3GPP 3D MIMO channels. The network is trained at SNR of 21 dB in 2 bits/codeword/user case and 27 dB in 4 bits/codeword/user case. It is shown that all detection algorithms have their performance largely degraded under realistic channels, since the practical channels are very ill-conditioned and seriously correlated. For 2 bits/codeword/user, the JTRD-Net approach outperforms the conventional MMSE-CE with MLSD algorithm when the SNR is lower than 27 dB. For 4 bits/codeword/user case, similar phenomena have been observed and the proposed JTRD-Net approach outperforms the pilot-based solutions when SNR is smaller than 28.5 dB.

6) Analysis of Training Complexity: This section aims to investigate the training complexity of the proposed JTRD-Net under different channel models. The complexity is evaluated by comparing the required training iterations, which is obtained by continuously training JTRD-Net until the loss function converges, i.e., does not change significantly during a certain number of iterations. Fig. 14 illustrates the number of training iterations required for various data rates under different channel models in 4-by-8 MU-SIMO system with 4 layers in DNN receiver.

V. CONCLUSION

In this paper, we have developed a novel end-to-end learning approach for uplink MU-SIMO joint transmitter and non-coherent receiver design, namely JTRD-Net. The network is easy and fast to train because only feed-forward neural networks are employed. After training, the entire network can
work efficiently in a non-coherent manner without requiring any channel knowledge in communication procedure. Besides, we have developed a novel weight initialization method for JTRD-Net, which aims to mitigate the training imbalance among different UTs. Simulation results have demonstrated that the proposed JTRD-Net approach took significant advantages in terms of reliability and complexity over hand-engineered detection schemes. More interestingly, we revealed that channel correlation can benefit the deep learning-based joint transmitter and receiver design. Compared with the i.i.d. complex Gaussian channels, JTRD-Net achieved better performance and required lower computational complexity under spatially-correlated channels.

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Songyan Xue (Member, IEEE) received the B.S. degree in electronic engineering from University of Electronic Science and Technology of China (UESTC), Chengdu, China, and the M.S. and Ph.D. degrees in wireless communication from the University of Surrey, U.K., in 2020. He is currently a senior research engineer at Huawei Technologies Co., Ltd., Shanghai, China. His research interests include machine learning, multiple-input multiple-output, and modulation and detection techniques.

Yi Ma (Senior Member, IEEE) received the Ph.D. degree in electrical engineering and electronics from the University of Liverpool, U.K., in 2004. He joined the Institute for Communication Systems (ICS), University of Surrey, Guildford, U.K., in 2004, where he is currently an Academic Staff Member. He is the Head of Artificial Intelligence for Wireless Communication Group within the ICS to exploit the fundamental research of wireless communication systems covering signal processing, applied information theory and artificial intelligence. He is also the Chair of Air-Interface Club, ICS, and the Work Area Leader of the 5G and 6G Innovation Centre, University of Surrey. He has authored or co-authored over 150 peer-reviewed IEEE journals and conference papers in the areas of deep learning, cooperative communications, cognitive radios, interference utilization, cooperative localization, radio resource allocation, multiple-input multiple-output, estimation, synchronization, and modulation and detection techniques. He holds four international patents in the areas of spectrum sensing and signal modulation and detection. He has served as the Tutorial Chair for EuroWireless2013, PIMRC2014, and CAMAD2015. He was the Founder of the Crowd-Net Workshop in conjunction with ICC’15, ICC’16, and ICC’17. He is the Co-Chair of the Signal Processing for Communications Symposium in ICC’19.

Na Yi (Member, IEEE) received the Ph.D. degree in wireless communications from the University of Surrey, U.K., in 2009. She is currently the University Fellowship in 6G Research. She is the Founder of DEEPGO Ltd. Her research interests and expertise cover cognitive radios/networks, advanced signal processing in wireless communications and sensor networks, cooperation and relaying in wireless networks, and cognitive resource allocation for wireless networks. She received the Ph.D. Studentship from EPSRC, U.K., in 2005. She was involved in the U.K. Mobile VCE Core 4 Efficiency Program on the Cooperation and Cognition for Future Wireless Communications tasks. She led the system structure in C2Power on the cooperative relaying for power saving task and ACROPOLIS on spectrum awareness and decision execution tasks. She also involved in WHERE on the Location-Aided Cognitive Radio task and led Location-Aided fixed relays for cellular systems task in WHERE2. She was investigating the scalable transceiver design for multicell in SGIC and low-complexity relaying design with partial destroyed network infrastructure in RESCUE. She currently focuses on the large-scale 5G pilot platform in the H2020 EXCITING Project and Car2X in the H2020 5GDRIVE Project, respectively. She is the Founder and Chair of eURLLC Workshop in conjunction with ICC’19, ICC’20, ICC’21.