Sequential induction chemotherapy followed by radical chemo-radiation in the treatment of locoregionally advanced head-and-neck cancer

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We describe a retrospective series of patients with advanced head-and-neck cancer who were treated with induction chemotherapy followed by radical chemo-radiation. Patients treated with two cycles of induction chemotherapy followed by definitive chemo-radiation for squamous cell carcinoma of the head-and-neck region, from 2001 – 2006 at the Royal Marsden Hospital, formed the basis of this study. Cisplatin (75 mg m\(^{-2}\)) on day 1 and 5-FU (1000 mg m\(^{-2}\)) day 1 – 4 was the standard regimen used for induction treatment. Cisplatin (100 mg m\(^{-2}\)) on day 1 and day 29 was used for concomitant treatment. The radiation was delivered using conformal technique. Tissues containing macroscopic and microscopic disease were treated to doses of 65 Gray (Gy) in 30 fractions and 50 Gy in 25 fractions, respectively. Data on patterns of relapse and acute toxicity (NCICTCv.3.0) were collected. A total of 129 patients were included, median age was 58 (range: 27 – 78). The site of tumour was: oropharynx 70 (54%), larynx 30 (23%), hypopharynx 24 (19%) and other 5 (4%). The median follow-up was 19 months (range: 4 – 58). Local control, disease-specific survival and overall survival at 2 years were 71%, 68% and 63%, respectively. The distant recurrence rate at 2 years was 9%. Ten patients required dose reduction during induction chemotherapy due to toxicity. The dose of 5-FU was reduced in six patients and that of cisplatin in four patients. The incidence of grade 3/4 toxicity was: neutropenia 5%, thrombocytopenia 1%, nausea and vomiting 3%. One cycle of concurrent cisplatin was omitted in 23 patients due to toxicity. Full-dose radiotherapy was administered to 98% of patients. The incidence of grade 3/4 toxicity was: skin 20%, dysphagia 65%, mucositis 60%, neutropenia 3%, anemia 1%, nausea and vomiting 4%, nephrotoxicity 1%. Induction chemotherapy followed by radical chemo-radiation is a safe and tolerable regimen in the treatment of advanced head-and-neck cancer. Distant recurrence rates are lower with equivalent local control and survival compared to chemo-radiation alone (historical controls).

Keywords: induction; chemotherapy; chemo-radiation; advanced; head-and-neck cancer

Surgery and radiotherapy are the mainstays of treatment for head-and-neck cancer patients. However, in recent years, systemic chemotherapy has been increasingly incorporated into the treatment plan in patients with stages III and IV disease. As part of the primary treatment, systemic chemotherapy can be administered before (induction chemotherapy), or during radiotherapy (concomitant chemotherapy). Meta-analysis showed that concurrent chemotherapy confers an absolute survival benefit of 8% (Pignon \textit{et al}, 2000). Concurrent chemo-radiation is the current standard of care as the first line treatment for stages III and IV disease. Two recent trials have also reported on the benefit of concomitant chemo-radiotherapy in the post-operative setting for high-risk patients (Bernier \textit{et al}, 2005). In contrast, the role of induction chemotherapy is not yet clear. Although not the standard of care, induction chemotherapy is used in clinical practice and is thought to be beneficial for reducing the rate of distant metastases (Paccagnella \textit{et al}, 1994; Lefebvre \textit{et al}, 1996), increasing organ preservation (Group, 1991; Lefebvre \textit{et al}, 1996) and survival rates (Paccagnella \textit{et al}, 1994; Zorat \textit{et al}, 2004). Combining induction and concomitant chemotherapy with radiation, that is, in a sequential treatment approach, has the potential for improving disease outcomes.

A combination of cisplatin (75 – 100 mg m\(^{-2}\)) and 5-fluorouracil (5-FU, 750 – 1000 mg m\(^{-2}\) for 5 days) every three weeks is the most commonly used regimen for induction treatment. Single-agent cisplatin is the cytotoxic agent of choice for concomitant chemo-radiotherapy. The majority of clinical trials reported in the literature have not used these standard aforementioned regimens.

At our institution, two cycles of induction cisplatin (75 mg m\(^{-2}\)) plus 5-FU (1000 mg m\(^{-2}\) for 4 days) followed by radical chemo-radiation with two cycles of cisplatin (100 mg m\(^{-2}\)) has been the standard of care for patients with stages III and IV head-and-neck cancer since 2001. This regimen was initiated in response to a
desire to deliver short-course induction chemotherapy during the waiting period (average 4 weeks in 2001) for planning and commencing radiotherapy. The relatively conservative dosing of cisplatin (75 rather than 100 mg m$^{-2}$) and 5-FU (4 rather than 5 days) was an attempt to limit the risk that chemotherapy-induced toxicity might delay the start of chemo-radiotherapy, which is considered to be the most important curative component of the treatment approach. Similarly, the decision to restrict induction chemotherapy to two, rather than three or four, cycles was motivated by the wish to commence chemo-radiotherapy as soon as possible after the planning process was complete. In this report, we present data on the toxicity and outcomes for the retrospective study performed using this regimen in advanced head-and-neck cancer.

**MATERIALS AND METHODS**

From January 2001 to September 2006, 145 consecutive patients with head-and-neck cancer were treated at the Royal Marsden Hospital with two cycles of induction chemotherapy followed by radical chemo-radiation (CRT). This report provides data on patients with an established primary tumour of squamous cell (SCC) histology. Patients who presented with carcinoma of the nasopharynx (regardless of WHO type), with WHO performance status two and above, or a tumour of unknown primary origin are not included in this report. All patients were reviewed in a multidisciplinary team meeting before the start of treatment. Our institutional policy is to offer organ-preserving treatment to all patients with locally advanced head-and-neck carcinomas. Therefore, specific data on whether, or not, a tumour was technically operable at diagnosis were not available.

**Chemotherapy schedule**

The induction chemotherapy regimen for locally advanced head-and-neck cancer was two cycles of platinum-based chemotherapy followed by 4 days of 5-FU given on a 21-day cycle. The majority of patients received cisplatin chemotherapy 75 mg m$^{-2}$ day 1 with 5-FU 1 g m$^{-2}$ day 1 – 4. This dose of cisplatin was selected in an attempt to limit adverse effects in our unselected population of patients and to maximise the number of patients who were able to receive cisplatin during concomitant CRT. Patients who had poor renal function at the outset (glomerular filtration rate of < 60 ml per min$^{-1}$) received carboplatin-5 fluorouracil and concomitant carboplatin. These patients were excluded from the analysis. Concomitant cisplatin (100 mg m$^{-2}$ on days 1 and 29) was administered as two, rather than three, cycles to allow us to employ our standard modestly accelerated hypofractionated radiotherapy regimen.

**Radiation delivery**

All patients received a radiobiological equivalent dose of 70 Gy in 35 fractions to the tumour and high-risk nodal groups. The majority of patients were treated using a radiotherapy fractionation of 65 Gy in 30 fractions over 6 weeks. The last week of treatment was given as an accelerated hypofractionated course of 15 Gy in five fractions. Elective nodes received 50 Gy in 25 fractions at conventional fractionation. During the radiotherapy, concomitant cisplatin was given at 100 mg m$^{-2}$ on days 1 and 29. All patients receiving other fractionation regimens were included, provided they were planned to receive a biologically equivalent dose (BED) equal to or greater than our standard fractionation. Patients who were planned with 2D, 3D conformal or intensity-modulated radiotherapy were included in the analysis, provided they were planned to receive both induction and concomitant chemotherapy. Patients who had residual disease at the end of their course of treatment were referred for salvage surgery, provided their disease was operable. Patients who present with node-positive disease underwent a neck dissection after chemo-radiation, unless they achieved complete response (CR) as per the departmental policy.

**Outcome measures**

Data on patient demographics and stage were collected. Patients were staged using radiology (CT and/or MRI) and an examination under anaesthesia and biopsy. Response rates to induction chemotherapy and to CRT were assessed clinically and radiologically. Radiological responses were recorded according to RECIST criteria. A patient was deemed to have had a CR, if there was no evidence of disease either clinically or on imaging following CRT (and salvage surgery when required). Time to disease progression was measured from the date of diagnosis to the date of first recurrence. Survival was measured from the date of diagnosis to the date of death. Toxicities for induction and CRT were recorded and graded from 1 – 4 according to the Common Terminology Criteria for Adverse Events v3.0 (CTCAE) (DCTD, 2003). Patients were not reviewed weekly during induction chemotherapy and blood tests were limited to occasions when the patients were attending the hospital for radiotherapy planning appointments. Therefore, it is likely that the documented haematological and biochemical toxicities may be an underestimate of the true values. During chemo-radiotherapy, patients were reviewed weekly and underwent weekly blood tests. Routine baseline audiometry was not performed and evaluation of ototoxicity was based on clinical assessment.

**Statistical analysis**

Local control, disease-specific and overall survival rates at 2 years were obtained using Kaplan—Meier survival curves. Data for patients lost to follow-up or whose follow-up did not reach 2 years was censored. Local control rate was defined as the proportion of patients who were free of local recurrence at 2 years. Disease-specific survival rate defined the percentage of patients who were free of their head-and-neck cancer at 2 years. Univariate analyses were performed for gender, T and N stages, age, smoking status, alcohol ingestion, site and stage of disease followed by a multivariate analysis.

**RESULTS**

Of the initial 145 consecutive patients, 129 are included in the final analysis. Sixteen patients who had received carboplatin throughout their treatment were excluded from analysis. The patient demographics and TNM staging are listed in Tables 1 and 2, respectively. The median follow-up was 19 months (range: 4 – 58). The majority of patients were found to have stage III/IV tumours. Two patients had stage II tumours (T2N0M0) and one stage I. One of these patients had a bulky transglottic laryngeal carcinoma, while the other had a bulky T2 tonsillar tumour extending to midline.

**Toxicity**

A total of 119 patients completed full-dose induction chemotherapy. Ten patients required dose reduction during induction chemotherapy due to toxicity. One patient had grade 3 diarrhoea, three patients had grade 4 haematological toxicity, two patients had dose-limiting renal toxicity and four had dose-limiting cardiac toxicity. Four more patients had cardiac toxicity during induction chemotherapy, which was not considered to be dose limiting. Of the eight patients who developed cardiac toxicity, three developed atrial fibrillation and five developed coronary vasospasm and chest
of grade 3 toxicity was: skin 26%, dysphagia 85%, mucositis 78%, neutropenia 3%, anaemia 1%, nausea and vomiting 4% and nephrotoxicity 1% (Table 3). No grade 4 toxicity was seen during chemo-radiation. There were no deaths related to toxicity during induction chemotherapy and chemo-radiation.

Ten patients developed grade 3/4 late radiation toxicity at 1 year. Two patients had osteoradionecrosis of the mandible; seven patients had persistent dysphagia from abnormal anterior laryngeal movement and narrowing at the cricopharyngeal inlet and one patient had laryngeal necrosis. Three patients required laryngo-pharyngectomy for late radiation toxicity and one patient was successfully treated with oesophageal dilatation.

Response rate

At the end of two cycles of induction chemotherapy, seven (5.4%) achieved radiological CR, 92 (71.3%) patients achieved partial response (PR) and 30 (23%) patients had stable disease (SD). At the end of CRT, residual disease remained in 13 of 92 (14.1%) patients who had had a PR and 14 of 30 (46.7%) patients who had had SD. A total of 102 (79.1%) patients achieved a CR; residual disease was present at the end of treatment in 27 patients. Of these 27 patients, 18 had biopsy-proven residual disease at the end of treatment. Ten patients underwent salvage surgery. Out of the remaining 17 patients, 12 remained inoperable at the end of treatment. Only one patient was operable at initial diagnosis. Two patients refused salvage surgery and two patients were considered to be medically unfit for surgery.

Local control rate

The loco-regional control rates were 75% (95% CI: 67 – 82%) and 71% (95% CI: 62 – 79%) at 1 year and 2 years, respectively (Figure 1). Among the patients with laryngeal/hypopharyngeal cancer, 13 (24%) developed local recurrence, one of whom had a nodal recurrence. Six patients underwent salvage laryngo-pharyngectomy, one patient refused surgery and the remaining patients were considered inoperable. The laryngeal preservation rate (for patients with laryngeal/hypopharyngeal cancer) at 2 years was 62%. Eight (9%) out of the 92 patients who had N+ disease at presentation had nodal recurrence. Two underwent neck dissection and six were considered to be inoperable. Various factors analysed by using univariate analysis are listed in Table 4. T stage (P = 0.009) remained the only significant factor on multivariate analysis.

Survival

The overall survival at 2 years was 63% (95% CI: 53 – 71%). Overall survival is demonstrated in Figure 2. Various factors analysed by using univariate analysis are listed in Table 4. T (P = 0.001) and N stages (P = 0.018) were significant factors on univariate analysis. However, on multivariate analysis, the only factor affecting overall survival was T stage (P < 0.001). N stage was not significant on multivariate analysis (P = 0.06). When nodal status was broken down according to N0/N1 or N2/N3, it was still not significantly associated with survival on multivariate analysis (P = 0.06). The median survival for all patients was 3.2 years (95% CI: 2.6 – 3.7).

The disease-specific survival (DSS) at 2 years was 68% (95% CI: 58 – 76%). At the time of the analysis, median DSS was not reached (Figure 3). Various factors analysed using univariate analysis are listed in table, only T (P = 0.005) and N stages (P = 0.007) were significant. On multivariate analysis, these factors remained significant (T stage (P = 0.035), N stage (P = 0.029)). Of the patients who died from head-and-neck cancer, 84% died due to uncontrolled or relapsed loco-regional disease.

The rate of freedom from distant metastases was 93 and 91% at 1 and 2 years, respectively. There were 12 deaths from intercurrent
illnesses: nine patients (7%) died from a second primary cancer (six non-small cell lung cancer, two oesophageal cancer, one breast cancer); one patient died of respiratory infection; one patient died of cerebrovascular disease and one patient died of myocardial infarction.

**DISCUSSION**

The patients in our study had a 2-year local control rate of 71%, DSS of 68%, overall survival of 63%. We identified only one study in the literature using a treatment regimen similar to ours (Psyrri et al, 2004). Psyrri et al (2004) reported on a phase II study with 42 patients with stages III and IV head-and-neck cancer patients. At 2 years, the authors reported a local control rate of 76.3%, DSS of 69%, overall survival of 66.7% and rate of control of distant metastases of 79%. This study included patients with nasopharyngeal tumours, which have a better stage for stage outcome compared to tumours at other head-and-neck sites. This might account for the slightly inferior outcomes in our study.

Two other studies using cisplatin and 5-FU for induction and cisplatin alone for concomitant treatment have been reported in the literature. The study by Hitt et al, 2005 (189 patients) used three cycles of induction and concomitant chemotherapy. This was compared in a randomised setting to an induction regimen that included paclitaxel. The SWOG study (42 patients) used two cycles of induction treatment but three cycles of concomitant chemotherapy (Urba et al, 2005). The overall survival at 2 years in the Hitt study was 53.6% in the control arm (without paclitaxel). The overall survival in the SWOG study at 3 years was 64%. The superior results in the SWOG study might be due to the exclusion of patients with T4 tumours. The rates of local control or the DSS were not stated in the published reports of these studies.

Chemo-radiation is the standard treatment for patients with advanced head-and-neck cancer. Table 5 summarizes the disease outcomes from a few published trials using chemo-radiation for treatment of advanced head-and-neck cancer. The 2-year loco-regional control rate (71%) and overall survival (63%) in our study was superior to the studies with reported 2-year outcomes. This could be due to a combination of low nodal recurrence rates (9%) in patients with N+ disease and reduced rate of distant metastases. This is consistent with the Pignon meta-analyses where the analyses of trials using the cisplatin and 5-FU regimen for induction treatment showed an absolute survival benefit of 5% (Pignon et al, 2000).

Organ conservation is one of the rationales for induction chemotherapy (Group, 1991; Lefebvre et al, 1996). In our study, the laryngeal preservation rate at 2 years was 62%. This included patients who had died without loco-regional recurrence and therefore had an intact larynx at the time of death. This rate is comparable to the other studies. In the phase II studies, using the sequential approach by Psyrri et al (Psyrri et al, 2004) and the SWOG study (Urba et al, 2005), the larynx preservation rates were 64.3 and 64%, respectively.
It has been postulated that the induction chemotherapy reduces the rates of distant metastases (Paccagnella et al., 1994; Lefebvre et al., 1996). In our study, the rate of freedom from distant metastases was 91% at two years. This is comparable to other studies using the sequential approach: Psyrri et al. (2004; 79%), Machtay et al. (84%) and Vokes et al. (93%). It is better than the rate of distant metastases in trials using chemo-radiation alone (see Table 5).

Treatment-related toxicity in our study was not excessive. A total of 90% of the patients completed full-dose induction treatment and 82% of the patients had full-dose concomitant cisplatin. None of the patients required treatment gaps during chemo-radiation due to the toxicity. Although, the incidence of acute toxicity during chemo-radiation was quite significant, it was transient and the majority of patients recovered. Enteral feeding was required in 85% of our patients due to severe mucositis. This is much higher than that reported for trials using chemo-radiation alone (33 – 44%). However, most of these patients recovered and only four patients (3%) required enteral support after 1 year. This rate is considerably lower than the rates of late feeding tube dependence (24 – 50%) in other series.

The overall survival in this group of patients leaves scope for improvement. Phase II studies have been conducted using taxanes in addition to cisplatin and 5-FU (Colevas et al., 1999; Posner et al., 2001). These have shown better response rates and tolerable toxicity levels. There have been three randomised trials adding taxanes to the standard sequential approach. In one study, paclitaxel was added to cisplatin and 5-FU in the experimental arm (Hitt et al., 2005). Although the response rates were better in the experimental arm, there was no significant difference in the overall survival (51 vs 43%, P = 0.063). Furthermore, the design of that study incorporated a planned neck dissection between the induction chemotherapy and chemo-radiotherapy phases of treatment. In our view, this represented a suboptimal approach, since the delay for surgery and wound healing potentially provided an opportunity for repopulation at the primary tumour site. Therefore, when those data were published, we decided to continue to treat patients with our standard protocol of induction cisplatin/5-FU followed by chemoradiation. More recently, two phase II studies have been published (EORTC24971/TAX323 and TAX 324) in which docetaxel was added to cisplatin and 5-FU in the experimental arm for induction treatment (Posner et al., 2007; Vermorken et al., 2007). The study by Vermorken et al. (2007) showed a survival benefit for the docetaxel arm but the overall 2-year survival (43%) was lower than in our study or other reported studies using the sequential approach. However, this study exclusively included patients with unresectable disease and concomitant chemotherapy was not used. Therefore, it is difficult to draw any conclusions from this study with regard to the benefit of taxanes in patients treated using the sequential approach. Posner et al. (2007), demonstrated a statistically significant 2-year survival of 68% for the TPF arm vs 55% for the PF arm using the sequential approach but that study employed an unconventional concomitant regimen of carboplatin AUC 1.5 every week. It is possible that the statistically significant difference observed in the TAX 324 study could be due to a suboptimal control arm, that is, using carboplatin instead of cisplatin for concomitant treatment. This supposition is further supported by the 63% 2-year survival for PF followed by CRT in our study, which was superior to the control arm of the TAX 324 study. Currently, there are no data for a head-to-head comparison of carboplatin vs cisplatin in this setting, but the meta-analysis most strongly supports the use of cisplatin for concomitant treatment (Pignon et al., 2000). Thus, until randomised data are published from studies that employ standard cisplatin-based concomitant regimens, it is difficult to draw definitive conclusions on the utility of taxanes as part of induction chemotherapy regimens.

Patients with oropharyngeal cancers comprised the largest group (54%) in this study. This observation is important, because it is known that a subset of these tumours have a different aetiology (human papilloma virus (HPV) infection) and a better prognosis when compared with ordinary tobacco- and alcohol-induced cancers (Weinberger et al., 2006; Psyrri and DiMaio, 2008). However, this percentage of patients with oropharyngeal cancers is typical of other large treatment series, including the two recently published phase III trials of taxane-containing induction chemotherapy (Posner et al., 2007; Vermorken et al., 2007). In those studies, patients with oropharyngeal tumours comprised 46 and 53% of the treated subjects, respectively. Therefore, although we do not have data on the number of patients with HPV-related tumours in our series, it is likely that the rates would have been comparable to those in other series.

CONCLUSIONS

This study shows the results of induction chemotherapy using the PF regime followed by radical CRT forms as a baseline for investigation of new treatments in advanced head-and-neck cancer. Randomised phase III trials comparing the standard sequential approach (using cisplatin and 5-FU) to concomitant chemo-radiation (using cisplatin) are required to provide a definite answers to the questions regarding the benefit of induction chemotherapy.

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