Integrative Systems

Role of the $K^+\text{-Cl}^-$ Cotransporter KCC2a Isoform in Mammalian Respiration at Birth

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Abstract

In central respiratory circuitry, synaptic excitation is responsible for synchronizing neuronal activity in the different respiratory rhythm phases, whereas chloride-mediated inhibition is important for shaping the respiratory pattern itself. The potassium chloride cotransporter KCC2, which serves to maintain low intraneuronal Cl– concentration and thus render chloride-mediated synaptic signaling inhibitory, exists in two isoforms, KCC2a and KCC2b. KCC2 is essential for functional breathing motor control at birth, but the specific contribution of the KCC2a isoform remains unknown. Here, to address this issue, we investigated the respiratory phenotype of mice deficient for KCC2a.

In vivo plethysmographic recordings revealed that KCC2a-deficient pups at P0 transiently express an abnormally low breathing rate and a high occurrence of apneas. Immunostainings confirmed that KCC2a is normally expressed in the brainstem neuronal groups involved in breathing (pre-Bötzinger complex, parafacial respiratory group, hypoglossus nucleus) and is absent in these regions in the KCC2a–/– mutant. However, in variously reduced in vitro medullary preparations, spontaneous rhythmic respiratory activity is similar to that expressed in wild-type preparations, as is hypoglossal motor output, and no respiratory pauses are detected, suggesting that the rhythm-generating networks are not intrinsically affected in mutants at P0. In contrast, inhibitory neuromodulatory influences exerted by the pons on respiratory rhythmogenesis are stronger in the mutant, thereby explaining the breathing anomalies observed in vivo. Thus, our results indicate that the KCC2a isoform is important for establishing proper breathing behavior at the time of birth, but by acting at sites that are extrinsic to the central respiratory networks themselves.

Key words: Apnea; breathing; KCC2a; neural network; rhythmogenesis

Significance Statement

The expression of the neuronal specific potassium chloride cotransporter KCC2 is necessary for the generation of respiratory activity at birth, but the specific role of the KCC2a isoform in this process remains unknown. By examining in vivo and in vitro the breathing motor output of newborn KCC2a–/– mice, we observed a lower breathing frequency and a high occurrence of apneas at birth. These anomalies, expressed mainly at P0 (day of birth), do not arise from changes in the brainstem respiratory rhythm-generating circuits, but appear to result from abnormally strong inhibitory pontine neuromodulatory influences that target these networks. Our results provide evidence for a transient but important role of the KCC2a isoform in the proper development of the central respiratory command.
Introduction

The development of functional neuronal circuits requires the establishment of appropriate excitatory and inhibitory synaptic signaling between interconnected neurons and circuits. One important function with vital physiologic relevance is breathing, which is controlled by interacting neuronal assemblages located in the brainstem. In the respiratory rhythm-generating networks, synaptic excitation is required for activity synchronization within synergetic neuronal pools, whereas inhibitory synaptic transmission is mostly implicated in pattern formation, the regulation of neuronal excitability, and the activation of intrinsic membrane properties (Shao and Feldman, 1997; Brockhaus and Ballanyi, 1998; Ren and Greer, 2006; Morgado-Valle et al., 2010; Janczewski et al., 2013; Smith et al., 2013; Chapuis et al., 2014; Richter and Smith, 2014; Sherman et al., 2015; Marchenko et al., 2016; Ramirez et al., 2016; Baertsch et al., 2018).

In the central nervous system (CNS), inhibitory synaptic neurotransmission relies on chloride ion movements through transmembrane channels, the direction of which depends directly on chloride ion gradients. Neuronal chloride homeostasis is mainly ensured by two types of cation-chloride cotransporters, the Na\(^+\)-K\(^+\)-2Cl\(^-\) cotransporter NKCC1 that participates in accumulating chloride in the neuronal intracellular compartment (Sun and Murali, 1999) and the K\(^+\)-Cl\(^-\) transporter KCC2, which extrudes chloride from neurons (Payne et al., 1996; Rivera et al., 1999). The chloride ion gradient results from the difference between intra- and extracellular Cl\(^-\) concentrations. Thus, the excitatory or inhibitory nature of chloride-mediated signaling depends on a fine balance between the expression and functional state of the Cl\(^-\) cotransporters NKCC1 and KCC2 in the cellular membrane (Rivera et al., 1999), with anomalies in this relationship having important pathologic consequences (Ben-Ari et al., 2012; Kaila et al., 2014).

KCC2 is abundantly expressed in the vast majority of mammalian central neurons, with a very low expression occurring in neurons of the peripheral nervous system and in non-neuronal cell types such as glia. Two isoforms differing by their N-terminal sequences have been described for KCC2 (Uvarov et al., 2007, 2009; Markkanen et al., 2014). Both isoforms, KCC2a and KCC2b, are expressed in several regions of the CNS, including the hindbrain (Uvarov et al., 2009; Markkanen et al., 2014). Transgenic mice deficient for the two KCC2 subtypes die at birth because of severe deficits in motor control, including that KCC2 is responsible for breathing (Hübner et al., 2001). In contrast, knockout mice for KCC2a alone survive until adulthood without exhibiting any obvious major deficits, which is possibly due to a compensatory process involving the still present and related KCC2b isoform (Markkanen et al., 2014). On the other hand, mice deficient solely for the KCC2b isoform survive for 2 to 3 weeks (Woo et al., 2002), thereby suggesting a transient but nevertheless important role for KCC2a in early postnatal respiratory function. To further address this possibility, we characterized the respiratory phenotype caused by a KCC2a mutation at birth, and by using variously reduced types of isolated brainstem preparations, we explored the impact of a KCC2a deletion on the rhythmic capability of respiratory circuitry in vitro. We show that despite the finding that KCC2a mutants survive and subsequently appear to develop normally, they transiently exhibit respiratory anomalies at P0, confirming that the KCC2a isoform normally contributes to proper respiratory network function at birth. However, our data indicate that KCC2a’s regulatory role may be accomplished in pontine assemblages upstream from the medullary rhythm-generating networks, rather than within neurons of the respiratory motor circuits themselves.

Materials and Methods

All animal procedures were performed in accordance with the University of Bordeaux animal care committee’s regulations. Mice were housed under standard conditions with a 12/12-h dark/light cycle and food and water provided ad libitum.

Animals

The KCC2a mouse strain (background strain C57BL/6Hsd; Markkanen et al., 2014) that specifically disrupts the KCC2a isoform was maintained and bred in our animal facilities. Because homozygous animals survive and breed normally, they were intercrossed to generate litters containing exclusively KCC2a\(^{+/+}\) embryos. Wild-type control animals were obtained from the same mouse line (intercrossed male and female KCC2a\(^{+/+}\))

Plethysmographic recordings

Breathing parameters were measured from unanesthetized and unrestrained pups using a whole-body plethysmograph. P0–P5 newborn mice were placed inside a 50 ml chamber for recording sessions lasting 5 min. The chamber was placed under a heating lamp to avoid cooling of experimental animals and to maintain a constant temperature throughout the entire recording period. The chamber was not supplied with a continuous flow of fresh air (as is commonly used to avoid CO\(_2\) accumulation),
since this procedure was found to introduce artifactual noise that disrupted readout and measurement of the low-amplitude respiratory movements produced by the small P0 animals. Under this condition, however, no sign of asphyxia was observed after 5 min of recording, indicating that the chamber volume was sufficiently large relative to the quantity of CO$_2$ produced by such young pups. The chamber was connected to a data acquisition system (Emka Technologies) that measured flow and pressure changes within the chamber using a ox2 software. Data were sampled at 1 kHz. Recordings were analyzed offline using PCclamp10 software (Molecular Devices). Breathing frequency was calculated on a breath-to-breath basis. Interruptions in the breathing rhythm were considered as apneas when at least 1.5 ongoing cycles were skipped. Because of the small size of animals at P0 and their very limited respiratory volumes, to avoid obtaining erroneous measurements we did not analyze other classically tested breathing parameters such as tidal volume, ventilation, and the durations of inspiration and expiration.

**In vitro preparations**

Three types of in vitro preparations at various stages of isolation were used in the present study: pontomedullary preparations containing the neuronal circuits of the pons and the medulla; isolated medullary preparations (brainstem) that contain the two main respiratory networks, the pFRG and the pre-Bötzinger complex (preBöTC); and transverse brainstem slices containing the preBöTC network alone. All preparations were dissected in an artificial CSF (aCSF) solution composed of (in mM): 120 NaCl, 8 KCl, 1.26 CaCl$_2$, 1.5 MgCl$_2$, 21 NaHCO$_3$, 0.58 NaH$_2$PO$_4$, and 30 glucose, pH 7.4, maintained at 4°C and continuously bubbled with a mixture of 95% O$_2$ and 5% CO$_2$. Experimental newborn animals were decerebrated and decapitated. Pontomedullary preparations were isolated from the heads by a rostral section performed at the junction between the rhombencephalon and mesencephalon and a caudal section made below the sixth cervical roots. Surrounding tissue was carefully removed to keep intact ventral cervical roots required for electrophysiological recordings. For medullary preparations, the pons was removed by an additional transverse section performed at the rostral border of the facial nucleus to eliminate the neuromodulatory influences that rostralmost structures exert on the respiratory networks (Abdala et al., 2009; Dutschmann and Dick, 2012; Smith et al., 2013; Bautista and Dutschmann, 2014). Transverse brainstem slices were further obtained by serially sectioning in the rostral-to-caudal direction isolated hindbrains mounted in a low-melting-point agar block using a vibratome (Leica VS 1000). A 450-$\mu$m-thick slice isolating the preBöTC was obtained at the axial level that was $\sim$300 $\mu$m more caudal to the posterior limit of the facial nucleus. Other anatomic landmarks were also used, such as the opened fourth ventricle, the inferior olive, nucleus ambiguus, and hypoglossal nucleus, all of which should be detectable in the slice (Thoby-Brisson et al., 2005; Ruangkittisakul et al., 2011). All in vitro preparations were then positioned ventral (pontomedullary and medullary preparations) or rostral (transverse slice) side up in the recording chamber and continuously bathed in circulating oxygenated aCSF (as detailed above) at 30°C.

**Electrophysiological recordings of neuronal activity**

With pontomedullary and medullary preparations, the activity of the phrenic nerve ventral root (C4) or that of the hypoglossal root (XII) was recorded using a suction electrode fabricated from glass tubing broken at the tip to match the diameter of the root in question. In transverse brainstem slices, preBöTC population activity was recorded using a glass micropipette positioned at the surface of the slice in the region containing the preBöTC respiratory network (in the proximity of the nucleus ambiguus). The recording pipette was filled with aCSF and connected through a silver wire to a high-gain amplifier (AM Systems). Signals were filtered (bandwidth 3 Hz to 3 kHz), rectified, integrated (time constant 100 ms; Neurolog, Digitimer), recorded, and analyzed offline on a computer through a Digidata 1440 interface and PCclamp10 software (Molecular Devices). Fictive respiratory frequency was measured over periods of 2–3 min. Statistical differences in frequencies were estimated using the Mann–Whitney rank sum test. Differences were considered significant at $p < 0.05$. An irregularity of respiratory periodicity was determined for each cycle by applying the following formula for consecutive cycle duration values: ISn = 100 × ABS[(Pn − Pn−1)/Pn−1], with IS being the irregularity score of the nth cycle, Pn its period, Pn − 1 the period of the preceding cycle, and ABS the absolute period value (Barthe and Clarac, 1997). Thus, the lower the IS value, the more regular was the ongoing respiratory rhythm.

**Pharmacology**

Pharmacological agents were obtained from Sigma and dissolved in oxygenated aCSF for bath-application lasting 15–30 min at a final concentration of 1 $\mu$M for substance P (SP) to activate NK1R receptors and 50 $\mu$M for yohimbine to block α2-AR. The drugs’ effects on rhythm generation were assessed at the end of the exposure period. Burst cycle frequency values are given as means ± SEM. Statistical significance was assessed by Student’s t test or Mann–Whitney when appropriate. Mean values were considered as statistically different when $p < 0.05$.

**Immunostaining**

For immunostaining procedures, brainstem preparations were placed in 4% paraformaldehyde for 2–3 h for tissue fixation. Transverse or sagittal frozen sections were obtained by placing brainstems overnight in a 20% sucrose-PBS solution for cryoprotection, then embedding them in a block of Tissue Tek (Leica) and sectioning at 30 $\mu$m using a cryostat (Leica). To limit nonspecific labeling, preparations were incubated for 90 min in a solution of PBS containing 0.3% Triton X-100 and 1% BSA. Primary antibodies were then applied overnight at room temperature and under slight agitation. As primary antibodies, we used goat anti-ChAT (1:100; Millipore) as a marker for cholinergic motoneurons, rabbit anti-KCC2a (1:1000;
Uvarov et al., 2009), chicken anti-KCC2b (1/300, Uvarov et al., 2009), or rabbit anti-NK1R (1:10,000; Sigma) as markers for KCC2a, KCC2b, and NK1R, respectively. The specificity of the anti-KCC2a and -KCC2b antibodies employed here has been described previously in detail (Uvarov et al., 2009; Markkanen et al., 2014, 2017). Then, after several washes, preparations were incubated with secondary antibodies for 90 min at room temperature. These secondary antibodies (1:500; Invitrogen) were an Alexa Fluor 568–conjugated donkey anti-rabbit antibody, an Alexa Fluor 488–conjugated donkey anti-chicken antibody, and an Alexa Fluor 488–conjugated donkey anti-goat antibody. Stained slices were coverslipped and mounted in Vectashield Hard Set medium (Eurobio) and kept in the dark until imaging using an epifluorescence microscope (Olympus).

Results

Breathing of KCC2a−/− mice during the first postnatal week
Using whole-body plethysmographic recordings, we compared the breathing behavior of KCC2a+/+ (n = 18) and KCC2a−/− (n = 17) newborn mice during the 6 d following birth (P0–P5). Respiratory cycle frequencies and the number and duration of apneas were measured during 5-min recording sessions, with an individual apnea being defined as a respiratory cycle exceeding 150% of the mean control cycle period. At P0, frequent apneas were observed in 16/17 KCC2a mutant animals examined, with a mean of 8.7 apneic episodes occurring per monitoring session (Fig. 1A, B). The mean duration of apneas was 3.04 ± 0.64 s, corresponding to 8.8% of the total recording time spent in the apneic respiratory state. This proportion of apneas decreased significantly to 0.3% at P1 and 0.15% at P2, until respiratory pauses were no longer detected at subsequent older stages (P3–P5). In contrast, only 50% of the wild-type P0 pups (9/18) expressed apneas, with a mean occurrence of 1.7 per 5-min test period, and a mean duration of 1.96 ± 0.54 s corresponding to 1.1% of the total recording time. No apneas were evident from P1 onward in wild-type pups (Fig. 1B).

Measurements of breathing frequency during non-apneic periods (i.e., outside pauses) revealed significant differences between the mean rate values of the KCC2a mutant versus wild-type animals. KCC2a−/− pups breathed at a significantly slower frequency at all developmental stages tested (P0 to P5; Mann–Whitney test, p < 0.001 for each stage; Fig. 1A, C). For example, at P0, the respiratory frequency was 113 ± 0.5 breaths/min in KCC2a+/+ versus 106 ± 0.6 breaths/min in KCC2a−/− animals. Although the KCC2a mutants then showed a developmental increase in breathing frequency over the P0–P5 period, as in the control population (Fig. 1C), the respiratory rate at each developmental stage remained significantly lower than the corresponding value in KCC2a+/+ animals. These findings thus indicate that newborn mice deficient for KCC2a exhibit a slower breathing frequency and a higher rate of apneas than their control counterparts, thereby suggesting that the proper expression of KCC2a at the time of birth is required for the generation of normal breathing behavior.

Because these breathing anomalies were most evident at P0, we then focused on this specific developmental stage to explore the underlying central causes using in vitro preparations.

Expression of KCC2a in brainstem respiratory nuclei of newborn mice
To further investigate the functional consequences of a KCC2a-targeted mutation on respiration, we first assessed the anatomic expression of KCC2a at P0 in brainstem areas where the respiratory motor rhythm–generating networks are known to reside. To this end, immunostaining directed against KCC2a was performed using a specific antibody (Uvarov et al., 2009; Markkanen et al., 2014)
in conjunction with a ChAT antibody to locate brainstem motoneuronal groups (Ericson et al., 1992) that neighbor the respiratory networks. These adjacent groups include the nucleus ambiguus (nA) and the facial motor nucleus landmarking the preBöC region and the pFRG, respectively, and the hypoglossal nucleus controlling the upper airway musculature. First, at the axial level of the pFRG, KCC2a-positive staining was found in a large region covering the ventralmost two-thirds of each slice. (Fig. 2A, three left panels). At a higher magnification, a significant staining was visible in the area lying ventral to the facial nerve, where the hypoglossal nuclei are located (Fig. 2A, three right panels). It is noteworthy that KCC2a was heterogeneously expressed in the facial motor nucleus, with a more pronounced labeling occurring in the most lateral subnucleus. Second, at the axial level of the preBöC, KCC2a was again expressed in a large proportion of the tissue, including the region encompassing the preBöC area (Fig. 2B). Third, at a more caudal level where closure of the fourth ventricle is starting, strong KCC2a staining was found in the region where the hypoglossal nuclei are located (Fig. 2C), as well as in their entire rostrocaudal extensions. Note that, as previously reported (Markkanen et al., 2014, 2017), the pattern of KCC2a labeling is rather diffuse because KCC2a immunoreactivity is generally detected mainly in distal dendrites and is barely visible in neuronal somata and proximal dendrites. Altogether, therefore, these immunostaining data indicate that KCC2a is strongly expressed at P0 in hindbrain regions containing the preBöC and pFRG, the two main respiratory oscillators, and in motor nuclei also involved in respiratory function. Accordingly, the breathing anomalies observed in KCC2a mutant newborns might indeed be directly due to a dysfunction of the neuronal circuits that orchestrate the central breathing command.

PreBöC network activity in vitro is not affected at birth by the KCC2a<sup>−/−</sup> mutation

Inspiration, the major component of the respiratory rhythm, is primarily generated by rhythmogenic circuitry in the preBöC (Smith et al., 1991). To test whether the frequency alteration observed in KCC2a-deficient mutants <i>in vivo</i> could be caused by a specific dysfunction of the preBöC, we used transverse brainstem <i>in vitro</i> slice preparations that completely isolate this network (see Methods). Spontaneous preBöC inspiratory activity was recorded from 13 such preparations obtained from KCC2a<sup>+/+</sup> pups and 17 preparations obtained from KCC2a<sup>−/−</sup> pups. Sample recordings from each genotype are illustrated in Fig. 3A. The mean rhythm frequency values for the KCC2a<sup>+/+</sup> preparations (8.9 ± 0.7 bursts/min) and KCC2a<sup>−/−</sup> preparations (9.2 ± 0.9 bursts/min) were not statistically different (p = 0.8; Fig. 3B). Moreover, no sign of interruptions corresponding to respiratory pauses were detected throughout the entire recording sessions for all preparations tested. Therefore, these findings indicate that preBöC network function remains unaffected in the mutant and that the breathing anomalies observed <i>in vivo</i> do not originate from deficits in preBöC operation alone.

A mechanism allowing the preBöC network to appear to function properly in the mutant could derive from a functional overlap between the two KCC2 isoforms, whereby the lack of one isoform (here KCC2a) is compensated for by the other (KCC2b), as previously proposed in other brain structures (Markkanen et al., 2014). To test the basis for this possibility, we verified the expression of...
KCC2b in the region encompassing the preBötC. As shown in Fig. 3 (upper panels), both KCC2a and KCC2b were coexpressed in the preBötC region of wild-type animals. In the mutant, as expected, KCC2a was absent in the preBötC (Fig. 3, lower panels) as well as in all inspected brainstem regions (data not shown), in contrast to KCC2b, whose continued presence indicated that this isoform was indeed in a position to potentially compensate for the lack of KCC2a.

**Combined pFRG and preBötC network activity in vitro is not affected at birth by the KCC2a<sup>−/−</sup> mutation**

It is now well established that the preBötC network is functionally interconnected to the pFRG to form a dual oscillator system for the generation of breathing (Mellen et al., 2003; Feldman and Del Negro, 2006; Thoby-Brisson et al., 2009; Bochorishvili et al., 2012). To test whether the respiratory anomalies observed in KCC2a<sup>−/−</sup> newborn pups arose from deficits in the preBötC's neighboring pFRG network and/or in their strong synaptic interactions (see Discussion), we recorded spontaneously produced respiration-related activity in isolated medullary preparations (see Discussion) that preserve both respiratory networks (see Discussion). In such preparations obtained from KCC2a<sup>−/−</sup> newborns, rhythmic inspiratory motor bursts recorded from a phrenic nerve root (C4) were generated at a mean frequency of 6.7 ± 0.6 bursts/min (n = 11; Fig. 4A, top, and 4B). In equivalent recordings of fictive respiratory activity in isolated preparations from KCC2a<sup>−/−</sup> newborns (n =...
13), the mean frequency of spontaneous C4 bursts was 7.9 ± 0.8 bursts/min, a slightly higher value that was not statistically different from that of control preparations (t-test, p = 0.3; Fig. 4A, bottom, and 4B). Moreover, no sign of any intermittent longer periods between consecutive rhythm cycles that would correspond to the apneas seen in vivo was evident in mutant in vitro preparations. Further confirmation of the complete absence of fictive respiratory pauses can be seen in the group data histograms of Fig. 4C, where period distributions for both KCC2a+/− (at left) and mutant preparations (at right) are plotted: the two plots display a comparable repartition of rhythm cycle periods, without any obvious longer periods that would have indicated the occurrence of apneic-related events in the mutant preparations. We also calculated the irregularity score (IS, see Methods) of the rhythmic respiratory activity recorded in medullary preparations, in reasoning that the occurrence of any fictive apneas would affect the...
regularity (i.e., by increasing IS) of the ongoing respiratory rhythm. However, the mean IS value for mutant KCC2a−/− preparations (50.0 ± 4.4, n = 7) was not statistically different from that of control KCC2a+/+ preparations (40.7 ± 4.4, n = 9; Mann–Whitney test, p = 0.6), indicating that the fictive respiratory rhythm was as regular in the mutant as in the wild type, and was not subjected to any significant activity interruptions. Taken together, therefore, these results demonstrate that the neural signaling for the apneas and slower breathing rate occurring in KCC2a-depleted newborns in vivo does not derive from an intrinsic alteration in the central breathing command arising from the two main respiratory oscillators operating in combination.

Upper airway motor output is unaffected in KCC2a−/− mice

Thus far, our data have provided strong evidence that the apneas expressed by KCC2a−/− mutants in vivo are not due to a major dysfunction of the central command emanating from the rhythmogenic respiratory circuitry. However, apneas can also originate more peripherally due to a malfunction of motor output transmission to the muscles of the upper airways. Because KCC2a is also normally strongly expressed in the hypoglossal nuclei (see Fig. 2C) that mediate a component of this motor drive, we assessed whether the mutants’ respiratory pauses in vivo resulted from deficits in the production of hypoglossal motor output. In whole isolated medullary preparations obtained from KCC2a−/− newborns at P0, we simultaneously recorded the C4 and XII roots that carry axons of two major motoneuronal pools (phrenic and hypoglossal) driven by the preBötzC network and that control respiration-related muscles of the diaphragm and upper airways, respectively. As illustrated in Fig. 5A, rhythmic coactive inspiratory bursts were systematically observed in the phrenic and hypoglossal roots of all mutant preparations tested (n = 7). No sign of any skipped cycles was detected in the hypoglossal activity over recording periods lasting up to 15 min, as confirmed by cross-correlation analysis (Fig. 5B), which showed a strict 1:1 synchrony in the bursting activity of the two roots. Thus, an anomaly in the motor output to the upper airways was not detectable in our isolated medullary preparations that would have otherwise indicated a failure in transmission of the central respiratory drive to hypoglossal motoneurons.

It should be noted, furthermore, that these in vitro findings were consistent with visual inspection of rib cage displacements during actual breathing expressed by the freely-behaving newborn mutant, where periods with a complete absence of respiration-related movement, including contraction of the diaphragm and intercostal muscles, were observed (see Video 2). Such intermittent pauses were never observed in wild-type control animals (see Video 1). This observation thus further indicated that the mutant’s apneas in vivo were not due to an obstruction of the upper airways, but rather, arose from a transient perturbation of global central respiratory circuit operation, possibly caused by abnormal sensory feedback inputs (that are only present in vivo) or abnormal central modulatory influences.

**Figure 5.** Hypoglossus motor output is normal in KCC2a−/− mutants at P0. **A**, Left: Schematic representation of an isolated medullary preparation containing both the pFRG (red shading) and preBötzC (orange shading) networks with macroelectrodes placed on ventral rootlets to record rhythmic respiratory activity from the phrenic (C4) and hypoglossal (XII) nerves simultaneously. Right: Synchronized activity (indicated by dashed vertical lines) seen in integrated traces recorded from C4 (Int C4, bottom trace) and hypoglossal (Int XII, top trace) nerves in a KCC2a−/− preparation at P0. **B**, Cross-correlation histogram showing perfect 1:1 synchronized bursting in the C4 and the XII rootlets of 7 different mutant preparations. The complete absence of any skipped cycles at the level of the hypoglossal root indicated that apneas observed in vivo are not due to a dysfunction of the upper airways motor command.

**Video 1.** Ventral view of P0 newborn KCC2a+/+ (1) and KCC2a−/− (2) animals. Note the prolonged and recurrent apneas expressed by the mutant. PRINT [ View online]
Enhancement of inhibitory pontine influences on central respiratory circuits in KCC2a<sup>−/−</sup> mice

Given that the respiratory networks of KCC2a mutants remain intrinsically capable of generating regular rhythmic activity when isolated, yet produce a slower rhythm with recurrent episodes of apnea in vivo, one remaining explanation is that extrinsic neuromodulatory influences, notably those exerted by the pons, are affected by the mutation and thus perturb downstream network function. To test this possibility, we recorded respiration-related activity in brainstem in vitro preparations that additionally included the pons (Fig. 6A). The major observable difference between such pontomedullary preparations from KCC2a<sup>+/+</sup> and KCC2a<sup>−/−</sup> newborns was that spontaneous rhythmic activity was completely absent in the C4 root of mutant preparations (n = 10), whereas all wild-type preparations (n = 11) continued to generate a respiratory rhythm, albeit at a lower mean frequency (1.22 ± 0.2 bursts/min) than when the pons was absent (Fig. 6A, B). This finding therefore suggested that the presence of the pons maintains the respiratory networks in a much less excitable state in the mutant than in the wild type. To further test this idea, we bath-applied different pharmacological agents to modify the modulatory environment to which the respiratory rhythm-generating networks were exposed. First, exogenous application of SP (1 μM), a known excitatory modulator of respiratory circuit activity (Gray et al., 1999; Wang et al., 2001), induced an increase in the ongoing rhythm frequency in wild-type preparations to a mean value of 3.43 ± 0.7 bursts/min (Fig. 6A, left; B). In mutant pontomedullary preparations that were otherwise silent under normal ACSF, SP triggered onset of rhythmic activity at a frequency of 2.22 ± 0.4 bursts/min (Fig. 6A, right; B), thus indicating that the respiratory networks were indeed held depressed under normal saline conditions, yet were still potentially functional.

A second bath-application experiment concerned the A5 neuronal group located in the pons, which is known normally to inhibit the respiratory rhythm via the activation of α2 adrenergic receptors (α2-AR; Jodkowski et al., 1997; Dawid-Milner et al., 2001; Hilaire et al., 2004; Viemari, 2008). To test the effective influence of this catecholaminergic modulation on spontaneous respiratory network activity, we then applied yohimbine (50 μM), an α2-AR antagonist, to our pontomedullary preparations. In wild-type preparations, blockade of α2-AR induced a significant increase in respiratory frequency to 3.59 ± 0.5 bursts/min (Fig. 6A, left, B), whereas in mutants, the cycle rate increased to a mean of 2.45 ± 0.4 bursts/min (Fig. 6A, right, B). That the rhythm frequencies expressed by preparations of the two genotypes in the presence of SP or yohimbine were both statistically different (p = 0.03) further supported the conclusion that the respiratory rhythm in the mutants was subjected to a stronger depressive modulatory influence than in wild-type preparations. It should be noted that the A1/C1 and A2/C2 noradrenergic groups located in the medulla also exert modulatory effects on the respiratory networks via α2-AR activation. But since these influences can be either facilitating or stabilizing (Viemari, 2008), they do not readily comply with the different antagonist effects observed here. Furthermore, the more modest changes observed in mutant preparations after blockade of α2-AR could also be indicative of inhibitory influences deriving from other pontine structures.

To further test the hypothesis that the pontine circuits are indeed responsible for the breathing anomalies in the mutant, we performed similar pharmacological applications to isolated medullary preparations, which thus was in the absence of the pons. Exogenous application of 1 μM SP induced an increase in respiratory rhythm frequency in wild-type preparations (n = 8) from a control mean value of 9.1 ± 0.5 bursts/min to 12.9 ± 1.3 bursts/min (p = 0.02; Fig. 6C). In contrast, application of 50 μM yohimbine to the wild-type brainstems had no significant effect on the ongoing rhythm frequency (8.2 ± 0.5 bursts/min; p = 0.2). In mutant medullary preparations (n = 8), SP still induced an increase in respiratory activity from a mean frequency of 7.9 ± 0.6 bursts/min in control conditions to 9.8 ± 0.7 bursts/min (p = 0.05) under SP (Fig. 6C). Significantly, on the other hand, yohimbine treatment remained without any significant effect on the control mean frequency of 7.6 ± 0.6 bursts/min, p = 0.7 (Fig. 6C). Taken together, therefore, these latter findings indicate that SP directly modulates neuronal groups, including the two main respiratory networks, contained within the brainstem of both wild-type and mutant preparations. In contrast, the effect of α2-AR blockade on rhythmogenesis seen in pontomedullary preparations (but not in medullary preparations) originates mainly in the pons itself. Furthermore, a stronger pontine inhibitory modulation, at least partly exerted by the A5 group through the activation of α2-AR, offers an explanation for the slower breathing frequency observed in the mutant in vivo and may also facilitate the expression of apneas by reducing respiratory network excitability.

A prerequisite for the above hypothesis is that KCC2a is normally present in the pons of newborn animals. As for other medullary structures (see Fig. 2), we therefore performed immunostaining directed against KCC2a (Uvarov et al., 2009; Markkanen et al., 2014) in the pons of wild-type preparations (Fig. 7A) in conjunction with ChAT antibody labeling to locate pontine motoneuronal groups, and more specifically the trigeminal motor group and the facial nerve, to provide anatomic landmarks. As illustrated...
in the more rostral (B) and caudal (C) sections of Fig. 7, KCC2a is widely expressed in almost all pontine tissue, including the Kölliker-Fuse and the A5 and A7 adrenergic groups. This expression pattern is thus consistent with the idea that perturbing KCC2a is likely to have functional consequences for pontine neuronal groups that send suppressive inputs to downstream medullary rhythmogenic networks.

Discussion

In this study, we report that the neuronal-specific KCC2 chloride cotransporter is involved in breathing activity at the time of birth. This is at least partly due to a contribution of the KCC2a isoform that we found to be expressed at birth in brainstem regions where respiratory neuronal groups are located and the absence of which induces quantifiable breathing anomalies in vivo. Specifically, in
newborn pups deficient for KCC2a, we observed a significantly slower breathing frequency than in wild-type animals, in conjunction with an elevated occurrence of apneas. However, in in vitro preparations that isolate one or both of the two major respiratory oscillators (the pre-BötC and the parafacial respiratory group), rhythmic respiratory-related activity is generated at a normal frequency with no evidence of recurrent fictive apneas. In addition, the downstream motor command for the upper airways emanating from the hypoglossus nucleus appears to be fully operational in the mutant. Altogether, these results indicate that the reduction in rhythm frequency and the generation of apneas in the absence of KCC2a are not a direct consequence of respiratory oscillator network dysfunction per se. Rather, a transient abnormal enhancement of pontine inhibitory neuromodulatory influences arising upstream from the medullary respiratory networks could maintain the latter in a more depressed state in the mutant, thereby resulting in a reduced breathing frequency and facilitating the genesis of apneas. On this basis, therefore, our data show for the first time that the KCC2a isoform does indeed play an important, albeit indirect, role in ensuring the correct expression of respiratory rhythmogenesis at the time of birth.

In attempting to determine the central sites at which the breathing anomalies observed in vivo in the KCC2a mutants originate, we performed several sets of complementary experiments using different in vitro preparations. Clearly, each of these reduced preparations (transverse medullary slices, isolated medullary preparations, pontomedullary preparations) represents an incomplete part of the whole respiratory system and therefore care must be taken in translating mechanisms of neural circuit function established in vitro to their role in the intact animal. Additionally, the employment of a significantly higher extracellular potassium concentration in the aCSF (which is commonly used in in vitro studies on the respiratory networks), which allows the generation of stable rhythms in vitro for several hours, is not strictly comparable to the in vivo condition. Despite these caveats, in vitro studies have been extremely useful in gaining insights into the origins and operation of the respiratory central command (for example, Smith et al., 1991; Feldman and Del Negro, 2006; Garcia et al., 2011; Ramirez et al., 2012; Feldman et al., 2013), and it is therefore reasonable to predict that our in vitro findings on the respiratory phenotype of the KCC2a mutants reveal at least some of the neural processes occurring in vivo.

The first noticeable breathing deficit of KCC2a mutants in vivo was an abnormally low respiratory rhythm frequency. Our immediate assumption was that this slowing was due to a functional defect in the central neuronal networks that generate and control the respiratory rhythm, namely the preBötC and the pFRG. This hypothesis was initially supported by the confirmation that the KCC2a isoform is strongly expressed in the brainstem regions encompassing the preBötC and the pFRG of wild-type animals. Unexpectedly, however, when isolated in vitro, the preBötC network appeared to function normally in the mutant lacking KCC2a, suggesting this isoform is not after all involved, at least in regulating inspiratory rhythmogenesis. One possibility was that the remaining KCC2b isoform compensated for the lack of KCC2a in a manner sufficient to sustain the generation of respiratory activity (also see below). Such a substitutive process has been proposed to occur in other regions of the neonatal mouse brainstem where the two isoforms are codistributed (Markkanen et al., 2014). Although we also found KCC2b normally to be colocalized with KCC2a in medullary respiratory regions, our present data do not allow us to assess this possibility.

A further plausible explanation for the slower frequency in the mutant is a deficiency in the preBötC’s partner pFRG oscillator or in the synaptic coupling between the two networks (Mellen et al., 2003; Feldman and Del Negro, 2006; Thoby-Brisson et al., 2009; Bochorishvili et al., 2012). Indeed, it is known that the interconnected pFRG and preBötC circuits influence each other’s frequency and that the absence of a functional pFRG results in a slower preBötC rhythm frequency recorded from isolated hindbrain preparations (Pagliardini et al., 2008; Dubreuil et al., 2009; Rose et al., 2009; Thoby-Brisson et al., 2009; Caubit et al., 2010). However, here again we found no difference in the fictive respiratory activity recorded from in vitro preparations containing both networks obtained from KCC2a mutant animals compared to wild-type preparations. Based on these findings, therefore, it can be concluded that an intrinsic dysfunction of neither the pre-Bötzinger or pFRG networks, nor their synaptic inter-

Figure 7. The KCC2a cotransporter isoform is also expressed in the pons. A, Schematic of a pontomedullary preparation including the pFRG and preBötC respiratory networks. The black dashed line indicates the boundary between the pons and the medulla. B, C, Immunostainings against KCC2a (red) and ChAT (green) at the two different transverse levels indicated by solid horizontal violet lines in A in a KCC2a−/− preparation at P0. KCC2a is widely expressed throughout the pons. A5, adrenergic group 5; KF, Kölliker-Fuse; Pr5, trigeminal sensory nucleus; V, trigeminal motor nucleus; 7n, seventh nerve.
actions, is the source of the slower breathing frequency observed in vivo in KCC2a−/− newborn animals.

The central command for breathing is also under the influence of neuromodulatory inputs arising in different parts of the CNS, including more proximal nuclei of the pons (Doi and Ramirez, 2008; Dutschmann and Dick, 2012). The overall influence of the pons on the respiratory rhythm is inhibitory, with the latter being generated at a lower frequency in isolated preparations in which the pons is left attached to the medulla. Among the pontine neuromotor systems that modulate breathing, the A5 adrenergic group is known to exert strong inhibitory effects on the respiratory command through the activation of α2-AR (Viemari, 2008), although probably indirectly, since the presence of α2-AR on inspiratory pre-Bötzinger neurons has yet to be established (Viemari and Ramirez, 2006). Nevertheless, a reasonable further explanation for the depressed breathing behavior in the KCC2a mutant is that the activity of the A5 group becomes stronger and thereby more effectively inhibits the activity of its respiratory network targets. In experimental support of this idea, antagonizing α2-AR in pontomedullary preparations from the mutant appears to disinhibit the respiratory networks and trigger the generation of rhythmic activity that was previously totally absent.

However, it remains unclear as to how the deletion of one KCC2 isoform leads to the strengthened inhibitory influence of the A5 group on respiratory rhythmosgenesis. Here again, one possibility is that the KCC2b isoform compensates for the absence of KCC2a. Because KCC2b is associated with a more mature chloride-mediated signaling (Markkanen et al., 2014; Uvarov et al., 2009), A5’s inhibitory influence might effectively become established earlier in KCC2a−/− mutants than would otherwise occur during normal postnatal development. Such a compensatory mechanism could in turn lead to the respiratory rhythmosgenic networks being already subjected to the stronger suppressive influence of pontine neuromodulatory structures at birth, resulting in the generation of breathing activity at an even lower frequency and a greater susceptibility to the expression of apneas. However, it is likely that the respiratory activity phenotype found in the KCC2a−/− mutant is the consequence of changes at multiple sites.

The second major breathing anomaly of KCC2a mutants at P0 was the recurrent occurrence of apneas. However, these respiratory pauses were detected in vivo but not in reduced in vitro medullary preparations, suggesting that they too were not of a central respiratory network origin. Another form of respiratory pausing, termed obstructive apnea, is primarily unrelated to a cessation in the central respiratory command itself, but rather, is caused by a collapse or occlusion of the pharyngeal airway that leads to an increased air passage resistance and an interruption of air inflow (Eckert et al., 2009; Ramirez et al., 2013). Of relevance in this context is our finding that KCC2a in wild-type mice at P0 is strongly expressed in hypoglossal motoneurons, which innervate the genioglossus muscle that pulls the tongue forward to enlarge the upper airways during each inspiratory phase of breathing. Failure of such phasic genioglossus muscle contractions driven by hypoglossal motoneurons induces pharyngeal collapse that ultimately may result in a complete upper airway blockade and the expression of obstructive apnea (Ramirez et al., 2013). It is possible, therefore, that a deficiency in hypoglossal motoneuron output was responsible for the frequent occurrence of apneas that we observed in the KCC2b-deficient mouse pups in vivo. However, our in vitro data showed that when isolated from upstream pontine inputs, the central respiratory drive from preBöC interneurons and premotoneurons to hypoglossal motoneurons remained fully efficient in the mutant. This in turn suggests that failure in the transmission of inputs from the rhythmogenic network to the motoneurons cannot be the direct cause of apneas in vivo. Interestingly, the hypoglossus nucleus contributes to genioglossus muscle contractions through many types of both phasic and tonic motor units (Hwang et al., 1983; Haxhiu et al., 1992; Saboisky et al., 2007). Therefore, it remains possible that a deficit in tonic hypoglossus activity might also contribute to a transient collapse of upper airway muscle contractions, which additionally may arise peripherally from a dysfunction at the level of the neuromuscular junction. In any case, the mechanisms leading to apneas are still not fully understood, and it is very likely that multiple underlying factors and events are involved.

Indeed, a further explanation relates to other inputs to hypoglossal motoneurons, including influences from the Kölliker-Fuse nucleus (KF; Bautista and Dutschmann, 2014; Silva et al., 2016; Yokota et al., 2016). The KF, which is located in the dorsolateral pons, is an important center for respiratory rhythm control and the regulation of upper airways patency. This nucleus sends projections to several brainstem areas involved in breathing, including the retrotrapezoid nucleus, the ventral respiratory group, the phrenic nucleus, and the hypoglossus nucleus (Dutschmann and Dick, 2012). Thus, disturbances to KF neuromodulatory influences on respiratory motoneurons in the KCC2a−/− mutant could underlie the respiratory pauses occurring in vivo. Furthermore, the hypoglossal motoneurons also receive inputs from noradrenergic neurons from the A6 and A7 groups (Aldes et al., 1992), so here again, anomalies in these excitatory inputs could be another source of breathing deficiency. Because respiratory apneas generally result from complex interactions between both peripheral and central nervous system factors (Eckert et al., 2009; Ramirez et al., 2013), it is probable that the respiratory pauses occurring in vivo in association with KCC2a deletion are not attributable to a single cause. In further support of this conclusion, the visual inspection of respiratory behavior by KCC2a mutants revealed a complete absence of breathing movement, including diaphragm displacement, during each apnea, indicating a cessation of activity by the entire (both central and peripheral) respiratory apparatus.

The phenotype of respiratory activity observed in KCC2a mutants is transient, as it is mostly evident at P0, before a regular, albeit still slower, rhythm emerges over the ensuing days. It is noteworthy that the respiratory phenotype of the KCC2b-deficient newborn has thus far
not been described in detail in the literature (Woo et al., 2002; Uvarov et al., 2007), other than the generation of atypical sigh-related activity observed in vitro in the mutant at embryonic stages (Chapuis et al., 2014). Although both the KCC2a and KCC2b isoforms are present in brainstem structures at birth, KCC2a represents only 20% to 50% of the total amount of KCC2 mRNA in the neonatal mouse brainstem (Uvarov et al., 2007). In addition, the expression of KCC2b increases postnatally to become widely expressed in the adult CNS (Uvarov et al., 2007, 2009; Markkanen et al., 2014). Therefore, even if KCC2b is able to compensate for the lack of KCC2a in the mutant at birth, in any case the former isoform becomes functionally predominant during the subsequent postnatal period, and accordingly, the consequences of a KCC2a mutation will become progressively less important. It is presumably for this reason that by the time they reach adulthood, KCC2a-deficient mice no longer exhibit any obvious behavioral phenotype related to the mutation (Markkanen et al., 2014).

A further developmental aspect to consider is the significant changes that occur in chloride-mediated signaling. Specifically, expression of the chloride cotransporters NKCC1 and KCC2 is developmentally regulated, with NKCC being predominant at early (including embryonic) immature stages where, by maintaining high [CL–], it produces a depolarizing action of chloride-mediated signaling. Chloride-extruding KCC2 then becomes dominant during later development to promote the hyperpolarizing effect of chloride-mediated transmission (Rivera et al., 1999; Ben-Ari, 2002). Consistent with this transition, it has been shown that during the first two postnatal weeks, KNC1 expression in respiratory-related nuclei of the brainstem (including the pFRG and preBötC) undergoes a developmental decrease, while concomitantly, KCC2 expression increases (Liu and Wong-Riley, 2012; Okabe et al., 2015). Neuronal chloride concentrations also change in brainstem respiratory circuits of rodents during the perinatal period (Ren and Greer, 2006), further pointing to the requirement for the proper expression of the different KCC2 isoforms at different developmental stages. Accordingly, when both KCC2 isoforms are genetically deleted, newborn animals exhibit severe motor deficits that result in a complete lack of breathing behavior (Hübner et al., 2001), thus indicating that KCC2 cotransporters are critically important for the proper establishment of an efficient respiratory function. Because synaptic inhibition is essential for generating stable and properly organized respiratory motor patterns and movements by coordinat- ing the inspiratory/expiratory phases of neuronal activity within and outside the central rhythmic networks, any disturbances in chloride-mediated signaling would have major consequences for breathing behavior.

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September/October 2018, 5(5) e0264-18.2018

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