Far-Field and Non-Intrusive Optical Mapping of Nanoscale Structures

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Abstract: Far-field high-density optics storage and readout involve the interaction of a sub-100 nm beam profile laser to store and retrieve data with nanostructure media. Hence, understanding the light–matter interaction responding in the far-field in such a small scale is essential for effective optical information processing. We present a theoretical analysis and an experimental study for far-field and non-intrusive optical mapping of nanostructures. By a comprehensive analytical derivation for interaction between the modulated light and the target in a confocal laser scanning microscopy (CLSM) configuration, it is found that the CLSM probes the local density of states (LDOSs) in the far field rather than the sample geometric morphology. With a radially polarized (RP) light for illumination, the far-field mapping of LDOS at the optical resolution down to 74 nm is obtained. In addition, it is experimentally verified that the target morphology is mapped only when the far-field mapping of LDOS coincides with the geometric morphology, while light may be blocked from entering the nanostructures medium with weak or missing LDOS, hence invalidating high-density optical information storage and retrieval. In this scenario, nanosphere gaps as small as 33 nm are clearly observed. We further discuss the characterization for far-field and non-intrusive interaction with nanostructures of different geometric morphology and compare them with those obtainable with the projection of near-field LDOS and scanning electronic microscopic results.

Keywords: far-field; non-intrusive; local density of state; super-resolution; nanostructure

1. Introduction

With the rapid development of big data and artificial intelligence, emerging information technology compels an increasing demand for data information storage [1–4], while conventional magnetization-based methods suffer from the bottleneck of the slow speed of write-in and read-out, large volume, and comparatively low storage capacity. In comparison, optical storage is expected to be able to crack the hard nut [2,3]. Since the storage media are designed by complex photonic or plasmonic systems at subwavelength scales, it is inherently restricted by the optical diffraction limit, and it requires the optical system to achieve super-resolution beyond such barriers [4–6]. Therefore, researchers have endeavored to develop super-resolution optical storage techniques in both near-field and far-field.

The most direct super-resolution techniques can be achieved with near-field techniques [7–10], which collect and reconstruct the evanescent field scattered or irradiated by
the targets. Higher spatial frequencies could then be recovered; thus, higher optical storage density can be achieved [11]. Despite the fact that near-field techniques could provide an effective solution, the confined propagation distance of evanescent waves requires the excitation source or detection probe to be physically close to the target, hence disturbing the physical property of the target on top of the inherent probe sensitive mapping. In contrast, far-field super-resolution techniques that can reduce the full width at half maximum (FWHM) of the intensity distribution of focal spot in the far-field are able to avoid such physical disturbance. The two-photon absorption (TPA)-based process [12–14] and stimulated emission depletion (STED) fluorescence-inspired approaches [15,16] are typical ways to achieve this goal. However, the TPA process relies on a non-linear interaction between light and the recording medium, which highly depends on the materials, while the STED process has to use fluorescence functional groups as the labels, which is chemically intrusive to the target, and only the position information can be recorded. Therefore, there is a necessity for further development of far-field and non-intrusive super-resolution optical storage methods.

It is proposed that vectorial-field-modulated light is effective in generating a focal spot with an FWHM beyond the diffraction limit [17–21], which can be implemented into a confocal laser scanning microscopic (CLSM) configuration to achieve label-free super-resolution imaging [22–26] and storage [27,28]. While researchers endeavor to narrow the focal spot, a fundamental question remains if the light may be blocked from entering the nanostructures medium no matter how small the interacting light field is, as the optical properties rather than the actual morphology of complex photonics or plasmonic structures do really support the concentrated excitations.

In this work, we present a theoretical analysis and an experimental study to answer what optical properties of the complex nanostructures are probed by the far-field and non-intrusive super-resolution techniques. By a comprehensive analytical derivation for interaction between the modulated light and the target in a CLSM configuration, it is found that the CLSM does probe the local density of states (LDOSs) in the far-field [29,30] rather than the sample geometric morphology. As the LDOS characterizes the overall effect of light–matter interaction in structured environments [31–35], it reflects the surrounding circumstance, the supporting substrate, and adjacent nanostructures will generate the synthetically optical responses on the target, which will intrinsically make the mapping information different from the geometric morphology of the target. This optical property that can usually be probed only by the near-field techniques [36–40] or electronic excited luminescence [41,42] can also be probed in the far-field when the optical resolution of CLSM reaches sub-100 nm. To verify this point of view, a CLSM with radially polarized (RP) light for illumination is built, and the far-field mapping of LDOS is successfully obtained with the optical resolution down to 74 nm. The experiments verify that the target morphology can be correctly mapped only when the far-field mapping of LDOS coincides with the geometric morphology, while light may be blocked from entering the nanostructures medium with weak or missing LDOS, hence invalidating high-density optical information storage and retrieval. We further discuss the characterization for far-field and non-intrusive interaction with nanostructures of different geometric morphology and compare them with those obtained from the projection of near-field LDOS and scanning electronic microscopic results. We believe the unprecedented findings in this work well describe the intrinsic physics of far-field and non-intrusive techniques to interact with complex photonic and plasmonic nanostructures, and it is also of great significance to guide the design of optical storage and retrieval systems to be more effective by avoiding the blocking of light induced by LDOS.

2. Theory

2.1. Resolving Ability of the Far-Field Non-Intrusive CLSM

One of the finest means for far-field non-intrusive optical mapping is through CLSM. Sharper focus can be achieved in this configuration with spatially modulated RP incident
beams. By harnessing both excitation and detection PSFs, the lateral resolution of RP-beam-assisted CLSM can reach about 1/5 λ. Therefore, RP-beam-assisted CLSM is utilized to achieve an optical resolution below sub-100 nm for visible light illumination.

The scheme and the optical path of the proposed far-field non-intrusive CLSM are shown in Figures 1a and 1b based on the reflective configuration, respectively. The same configuration can simultaneously perform the excitation process (see Figure S1 in Supplementary Information) and the detection process (see Figure S2 in Supplementary Information). The linearly polarized beam with a wavelength of 405 nm is used as the incident light in the system. For the excitation process, the incident beam is converted into an RP beam by a polarization converter; then, the annular aperture further filters out the lower spatial frequencies of the RP beam so that the oil-immersion objective with a numerical aperture (NA) as high as 1.4 can achieve tight focusing of the high spatial frequencies light. The excitation field can then be derived (see Supplementary Information Section I for detailed derivation) as:

\[
E_{\text{exc}}(r) = \frac{ie^{-ikf}}{2\pi} \mathcal{F}^{-1} \left\{ E_0(k_x, k_y) P(k_x, k_y) \sqrt{\frac{k_z}{n k}} \left[ \begin{array}{c} k_x k_z \\ k_y k_z \\ -(k_x^2 + k_y^2) \end{array} \right] e^{ikf} \frac{1}{k_z} \right\}
\]

where \( f \) is the focal length of the objective, \( n \) is the refractive index of the immersion oil, \( k = n k_0 \) is the wave vector in the object plane, \( z \) is the longitudinal position on the object plane, \( E_0(k_x, k_y) \) describes the amplitude of incident RP field, and \( P(k_x, k_y) \) is the apodization function determined by both the annular aperture and objective. It has been thoroughly studied that the longitudinal component \( E_{\text{exc},z} \) is much stronger than the transversal component of the tight focusing RP beam, which can be approximated as the excitation field. As shown in Figure 2a, the full-width-at-half-maximum (FWHM) intensity of the focusing field of \( E_{\text{exc},z} \), also known as the excitation PSF, is 111 nm.

Figure 1. (a) A schematic diagram and (b) the optical path of the proposed far-field and non-intrusive CLSM system. The purple illustrations above the excitation system and yellow ones under the detection system, respectively, denote the morphology of optical field during the modulation processes. LP: linear polarizer; BS: beam splitter; PC: polarization converter; RA: ring aperture; OB: objective; TL: tube lens.
is a complex coefficient, and $M$ denotes the magnification of the detection system, $(x', y', z')$ is the coordinate in the image plane, $A_{\text{det}}^0$ is a complex coefficient, and $T_{\text{det}}$ denotes the optical transfer function (OTF) of the dipole. To have an insight of the oscillating properties of the dipole, $T_{\text{det}}$ can be decomposed as $T^x$, $T^y$, and $T^z$, which describes the dipole orientating along $x$, $y$, and $z$-direction, respectively (see Supplementary Information Section I for detailed derivation):

$$
\begin{align*}
T^x & \propto \begin{bmatrix}
(k_y^2 k_z^2 + k_x^2 k_y^2 + k_z (k_z^2 + k_y^2)^2) / k^3 \\
(-k_y^2 - k_y^2 k_y^2) / k_z \\
0
\end{bmatrix} \\
T^y & \propto \begin{bmatrix}
(k_x^3 k_z^2 + k_x^2 k_y k_z^2 + k_y (k_z^2 + k_y^2)^2) / k^3 \\
(k_x^3 + k_x^2 k_y^2) / k_z \\
0
\end{bmatrix} \\
T^z & \propto \begin{bmatrix}
[-k_z^4 k_x (k_x^2 + k_y^2) - k_z^2 k_x (k_x^2 + k_y^2)^2) / k^3 \\
0 \\
0
\end{bmatrix}
\end{align*}
$$

(3) (4) (5)
The matrices above show that the x- and y-oriented dipoles only have x- and y-components, while the z-oriented dipole only has an x-component. With $\mathbf{E}_{\text{det}}(x', y', z') = \frac{\omega^2}{c^2} \mathbf{G}_{\text{det}}(x', y', z') \cdot \mathbf{P}_0$, where $\mathbf{P}_0$ denotes the dipole moment, each component of the electric field of the orientating dipoles is depicted in Figure S4. It can be seen that only the x-component of the z-oriented dipole has a non-zero field in the center; therefore, filtered by a small pinhole, the detected signal mainly originates from the z-oriented dipole that PSF$_{\text{det},z}$ can be approximately taken as the detection of PSF, as shown in Figure 2b. As the total PSF of the far-field non-intrusive CLSM is determined by both the excitation of PSF and the detection of PSF, the total PSF of the system is illustrated in Figure 2c, with an FWHM of 74 nm, which is well beyond sub-100 nm.

### 2.2. The Influence of Light Matter Interaction to Optical Nanoscale Mapping

As previously mentioned, the longitudinal component $E_{\text{exc},z}$ is much stronger than the transversal component in the excitation field of the tight-focusing RP beam within its FWHM near the optic axis; hence, the contribution of the polarization induced by the transverse field can be neglected. A nano-object is further assumed to be constituted by an assembly of dipoles. Therefore, the polarization of each excited dipole $\mathbf{P}_0$ can be denoted as:

$$\mathbf{P}_0(r_j) = [0, 0, \alpha(r_j) E_{\text{exc},z}(r_j)]^T$$

where $\alpha(r_j)$ is the electric susceptibility at a certain position of $r_j$. The radiation from this dipole is:

$$I(r_j) = -\frac{1}{2} \int d\mathbf{r} \text{Re} [\mathbf{J}(\mathbf{r}) \cdot \mathbf{E}(\mathbf{r})]$$

where $\mathbf{J}(\mathbf{r}) = -i\omega \mathbf{P}_0(r_j) \delta(\mathbf{r} - r_j)$ is the current density of the induced dipole at $r_j$, and the actual electric field of the induced dipole is $\mathbf{E}(\mathbf{r}) = \mathbf{S}(\mathbf{r}, r_j) \cdot \mathbf{P}_0(r_j)$. $\mathbf{S}(\mathbf{r}, r_j)$ is the field susceptibility of the whole system [40,43], which is described by $\mathbf{S}(\mathbf{r}, r_j) = \mathbf{G}_{\text{det}}(\mathbf{r}, r_j) + \mathbf{G}_{s}(\mathbf{r}, r_j)$, where $\mathbf{G}_{\text{det}}(\mathbf{r}, r_j)$ is the detection of Green’s function in free space, and $\mathbf{G}_{s}(\mathbf{r}, r_j)$ denotes the interruption of the detection of PSF induced by the extra scattered field. As a result, the radiation can be described as (see Supplementary Information Section I for detailed derivation):

$$I(r_j) = \frac{\omega'}{2} \{\alpha(r_j) E_{\text{exc},z}(r_j)\}^2 \text{Im} \{S_{zz}(r_j, r_j)\}$$

where $S_{zz}$ is the z-component of the field susceptibility $\mathbf{S}$. It is worth noting that, even though the far-field non-intrusive CLSM is capable of achieving an optical resolution of sub-100 nm, the interaction of the optical field with the object and its surroundings will further impose an influence on the optical nanoscale mapping. For the excitation, the reflection field on the interface of the supporting layer will be superimposed on the excitation field. For the detection, the reflective field will also interact with the nano-objects, with which extra scattered fields will inevitably occur to interrupt the detection field. As a result, if an ensemble of nano-objects is considered, the local field is the superposition of the incident radiation and all the partial fields scattered by the surrounding, as shown in Figure 3a. Therefore, it turns out that the dipoles are interacting with each other, and each dipole is dependent on all other neighboring dipoles and structures. Such a process can be described by the volume-integral method [44], which cannot be ignored for sub-100 nm far-field non-intrusive microscopy. To intuitively demonstrate this phenomenon, the excitation field derived from the previous part is imported into a finite difference time domain software (Anasys Lumerical 2022R1, Vancouver, BC, Canada) for simulation. Detailed simulation conditions can be referred to in the Supplementary Information Section II (Figure S3).
It is worth noting that, even though the far-field non-intrusive CLSM is capable of detecting the excitation field without the substrate, high order scattering may occur, which may be collected by the objective.

Furthermore, the scattered field shown in Figure 3e is obtained by removing the excitation field from the total field. The scattered field decreases with the position of the nanosphere located farther away from the focus. It is worth noting that even though the nanosphere is located very far away, e.g., outside the effective field of view of the objective, a part of the scattered field can be detected since it will be reflected by the substrate, or high order scattering may occur, which may be collected by the objective.

2.3. Nanoscale Mapping of the Local Density of State

By considering the light–matter interaction between the nano-object and its surroundings, the final detected field is rather complicated containing reflections of the excitation field from the substrate and the scattered field induced by the nanosphere. Such a phenomenon is closely related to the LDOS of the target. As the target is mainly excited by the z-component of the excitation field and the z-oriented dipoles mainly contribute to

Figure 3. (a) Schematic show of light matter interaction with the excitation field, the nanosphere, and its surroundings. z denotes the coordinate direction. (b,c) show the simulated focusing field in immersion oil without and with the substrate, respectively. (d) The total field of the nanosphere with different distances $d$ away from the center of the focus. The bottom of the nanosphere and the ITO layer are separated by a 40 nm PMMA layer. (e) The corresponding scattered fields by removing the excitation field.
the detection field which have been discussed previously, the LDOS of the target can be described by longitudinal component \( \rho_z \) as [43,45]:

\[
\rho_z(r_j) = \frac{1}{2\pi\omega} \text{Im}\{S_{zz}(r_j, r_j)\}
\]  

Thus, Equation (8) can be rewritten as:

\[
I(r_j) = \pi^2\omega^2\alpha^2(r_j)E_{\text{exc},z}(r_j)\rho_z(r_j)
\]  

In the linear regime, the transversal component of the total field is much weaker than its longitudinal component that the above radiation can be also expressed as [40]:

\[
I(r_j) = A\alpha^2(r_j)E_{\text{exc},z}^2(r_j)
\]  

In the proposed far-field and non-intrusive CLSM, only the response field of the target in the far-field can be detected. As a result, the signal obtained by the detector through the pinhole for any raster scanning position is derived (see Supplementary Information Section I for detailed derivation) as:

\[
I_{\text{im}}(r_{c,j}) \propto \sum_{j=1}^{N} PSF_{\text{det},z}(r_j)PSF_{\text{exc},z}(r_j)\alpha^2(r_j)\rho_z(r_j)
\]  

Equation (12) indicates that the scanning signal of the proposed configuration contains the LDOS of the target, which directly relates to the light matter interaction. However, the resolution is still modulated by the detection of PSF. Therefore, the nanoscale mapping of the nano-objects obtained by the far-field and non-intrusive CLSM is the PSF-modulated LDOS. Figure 4 demonstrates the simulation of the mapping result of an 80 nm nanosphere on a substrate (Figure 4a and Figure 6). Figure 4b is the simulated LDOS of Figure 4a, and Figure 4c shows the simulated scanning image mapped by the proposed CLSM.

Figure 4. (a) The sample of a single nanosphere immersed in oil medium on an ITO glass substrate. (b) The corresponding partial LDOS along the longitudinal direction. (c) The calculated confocal image.

3. Experimental Results

The far-field non-intrusive CLSM (as shown in Figure 1a) is built to verify the above theory. In the setup, the collimated excitation laser beam from a Nd:YVO4 Diode-Pumped Solid State (DPSS) laser (Shenzhen Optoelectronic Technology Co., Ltd., Shenzhen, China), with a wavelength of 405 nm, is converted into an RP beam via a polarization convertor (LPVISE100, Thorlabs, Newton, NJ, USA). The beam then passes through an annular aperture (R1CA2000, Thorlabs, Newton, NJ, USA), and a pair of objectives (Olympus, Tokyo, Japan) are used to match the incident beam with the entrance pupil of the focusing objective; then, the beam enters the back-aperture of a 1.4 NA, 100X oil objective (Olympus, Tokyo, Japan). The sample is placed on the focal plane of the objective and mounted on a high-precision 3D piezo translation stage (P-733.3CD, Physik Instrumente, Karlsruhe,
Germany), with a closed-loop accuracy down to 0.3 nm along the x and y directions, and 0.2 nm along the z-axis, to precisely control the sample position during the scanning process. The same objectives are used to collect both reflected and scattered light from the sample in the detection process. After reversely passing through the pairing objectives, the annular aperture, and the polarization convertor, the beam is redirected to a tube lens and eventually focused onto a CCD camera (acA2040-90um, Basler, Ahrensburg, Germany). The collected signals are then processed and reconstructed in a workstation. A single pixel of the CCD, with a pixel size of 5.5 µm, is adopted to work as a pinhole (described in Figure 1b) to block out the out-of-focus field. This configuration is technically possible to be promoted to commercial CLSMs.

To obtain high-quality nanospheres of the sample, the self-assembled golden nanoparticles (AuNPs) are then fabricated and arranged [46]. The fabrication of the template and the process of AuNPs’ assembly are depicted in Figure 5. The PMMA (Nippon Kayaku, Japan) solution is first spin-coated on a piece of ultrasonically cleaned ITO (JCOPTIX, Nanjing, China) and thereafter dried. The designed patterns formed by a series of 140 nm-deep nanotraps are subsequently transferred onto the 180 nm PMMA layer via thermal probe writing on a NanoFrazor Explore (Heidelberg Instruments Mikrotechnik GmbH, Heidelberg, Germany) platform (Figure 6a) to form the template [47], leaving a 40 nm-thick PMMA layer away from the ITO layer. It is then placed on a stage of a homemade facility to prepare for the assembly process. The self-assembly procedure begins by placing a droplet of colloidal suspensions containing AuNPs with an average size of 80 nm on the topographically structured template. Thereafter, a coverslip is used to cover the droplet, leading to the formation of a meniscus on the front of the coverslip. Thereafter, the template is dragged at an even velocity controlled by an electric motor whilst keeping the coverslip still. Owing to the evaporation, nanoparticles accumulate at the edge of the meniscus moving over the template and are ultimately deposited in the predefined nanotraps on the template (Figure 6b) [48–51]. The sample is finally dried by nitrogen flow. The quality of the formation of the AuNPs is then characterized by the scanning electronic microscope (SEM, Gemini 500, Carl Zeiss AG, Jena, Germany), as shown in Figure 6c. The SEM image confirms that the average size of the AuNPs is approximately 80 nm. In order to investigate the nanoscale mapping results, various arrangements of AuNPs patterns are also developed.

![Figure 5. Fabrication of the template and process of capillary-assisted assembly of AuNPs.](image-url)

(a) Template fabrication: the designed PMMA template is defined by thermal probe writing. (b) Nanoparticle self-assembly: AuNPs accumulate on the pattern via the self-assembly process. (c) SEM image of assembled AuNPs on PMMA template, which shows the average lateral dimension of the assembled AuNPs is approximately 80 nm.

The samples are then taken into the proposed far-field non-intrusive CLSM for characterization investigation by raster scanning and on-axis detection. The field distribution on the far-field image plane is recorded as shown in Figure 6. Figure 6a shows the scanning mapping of a single AuNP and the inset shows its SEM image. In this case, the LDOS is very close to the direct imaging of the single AuNP with its FWHM at 80 nm, which shows good fidelity to the size obtained by SEM. Figure 6b,c show the simulated LDOS and PSF-modulated LDOS for the nanosphere. These results indicate that the far-field non-intrusive CLSM can perform high-resolution direct imaging for a single nanosphere since its LDOS can be approximated to its morphology. Figure 6d shows the scanning mapping of two
AuNPs with a gap of 33 nm measured by SEM (shown as inset), and Figure 6e,f show its simulated LDOS and PSF-modulated LDOS, respectively. Even though the gap is much smaller than the optical resolution, it can still be resolved, since the LDOS contains the information of the light–matter interaction between the target and its surrounding. The interference may enhance the contrast for this configuration by converting the evanescent wave into a propagating wave for resolution enhancement.

Figure 6. Experiment and simulation results of LDOS mapping. The first column shows the experimentally acquired field distribution by detecting (a) a single AuNP, (d) two isolated AuNPs, and (g) nine nanosphere clusters on the far-field image plane. The second and third columns are the corresponding (b,e,h) simulated LDOS distribution and (c,f,i) PSF-modulated LDOS. Insets on the first column are SEM images of the corresponding samples. Scale bar is 80 nm.

It is worth noting that, in the case of nanosphere clusters, the results contradict the traditional intuition. Figure 6g shows the scanning mapping of a cluster containing nine AuNPs, with eight in a circle and one in the center. It is expected that the central sphere should appear in the optical imaging for sufficient resolution provided by this system. However, the central one is missing in the experimental mapping. Figure 6h simulates the LDOS of these clusters, and that of the central AuNP is found to be much weaker than the
peripheral ones (Figure S5); hence, the corresponding information can be hardly interpreted after being modulated by the PSF (shown in Figure 6i). These results indicate that light microscopy records complicate LDOS rather than the purely morphological property of the sample. The target morphology can be mapped only when the far-field mapping of the LDOS coincides with the geometric morphology. Therefore, it presents a necessity to re-examine the correspondence between the mapping and nanoscale structures. This is very important for high-capacity optical storage, where the high-density morphology information may not be retrieved due to the limitation imposed by LDOS to prevent the light from entering the nanoscale entities. The good agreement between the theory and experimental results provides evidence that such a spatial limitation should not be ignored, which also applies to high-capacity optical storage and information retrieval.

4. Conclusions

We have presented a theoretical analysis and experimental study to answer what optical properties of the complex nanostructures are probed by the far-field and non-intrusive super-resolution techniques. By a comprehensive analytical derivation for interaction between the modulated light and the target in a CLSM configuration, it is found that the CLSM does probe the LDOSs in the far field rather than the sample geometric morphology. To verify this point of view, a CLSM with radially polarized (RP) light for illumination is built and the far-field mapping of LDOS is successfully obtained at the optical resolution down to 74 nm. The experiments verify that the target morphology can be correctly mapped only when the far-field mapping of LDOS coincides with the geometric morphology, while light may be blocked from entering the nanostructure medium with weak or missing LDOS, hence invalidating high-density optical information storage and retrieval. We further discuss the characterization for far-field and non-intrusive interaction with nanostructures of different geometric morphology and compare them with those obtainable with the projection of near-field LDOS and scanning electronic microscopic results. We believe the unprecedented findings in this work well describe the intrinsic physics of far-field and non-intrusive techniques to interact with complex photonic and plasmonic nanostructures, and it is also of great significance to guide the design of optical storage and retrieval systems to be more effective by avoiding the blocking of light induced by LDOS.

Supplementary Materials: The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/nano12132274/s1. Figure S1: sketch of the optical vector field modulation (VFM) in excitation subsystem; Figure S2: the calculated focal field around the focal point in oil medium for wavelength of 405 nm; Figure S3: sketch of the optical VFM in detection system for imaging a dipole source located at the focus of a high-NA aplanatic objective lens; Figure S4: (a) and (b) respectively shows amplitude and phase distribution for each element in the detection Dynamic Green tensor; Figure S5: simulated LDOS (second row) and image (third row) distribution for corresponding AuNPs arrangements (first row) with different gap distance between the central AuNP and right adjacent one; Figure S6: the simulated LDOS of a single AuNP shown in Figure 4a in the immersion materials of oil, water, and air, respectively. References [52–56] are cited in the Supplementary Materials.

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