Krebs von den Lungen-6 glycoprotein circulating levels are not useful as prognostic marker in COVID-19 pneumonia: A large prospective cohort study

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Coronavirus disease 2019 (COVID-19) has rapidly expanded worldwide. Currently, there are no biomarkers to predict respiratory worsening in patients with mild to moderate COVID-19 pneumonia. Small studies explored the use of Krebs von de Lungen-6 circulating serum levels (sKL-6) as a prognostic biomarker of the worsening of COVID-19 pneumonia. We aimed at a large study to determine the prognostic value of sKL-6 in predicting evolving trends in COVID-19. We prospectively analyzed the characteristics of 836 patients with COVID-19 with mild lung disease on admission. sKL-6 was obtained in all patients at least at baseline and compared among patients with or without respiratory worsening. The receiver operating characteristic curve was used to find the optimal cutoff level. A total of 159 (19%) patients developed respiratory worsening during hospitalization. Baseline sKL-6 levels were not higher in patients who had respiratory worsening (median IQR: 315.5 (209–469) vs. 306 (214–423) U/ml, p = 0.38). The last sKL-6 and the change between baseline and last sKL-6 were higher in the respiratory worsening group (p = 0.02 and p < 0.0001, respectively). The best sKL-6 cutoff point for respiratory worsening was 497 U/ml (area under the curve 0.52, 23% sensitivity and 85% specificity). sKL-6 was not found to be an independent predictor of respiratory worsening.

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A conditional inference tree (CTREE) was not useful to discriminate patients at risk of worsening. We found that sKL-6 had a low sensibility to predict respiratory worsening in patients with mild-moderate COVID-19 pneumonia and may not be of use to assess the risk of present respiratory worsening in inpatients with COVID-19 pneumonia.

KEYWORDS
COVID-19, Krebs von den Lungen-6 (KL-6), pneumonia, biomarker, predictor

Introduction

Since severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) was identified as the cause of coronavirus disease 2019 (COVID-19) in Wuhan, China at the end of December 2019 (1), it has expanded rapidly, causing a global pandemic with more than 400 million confirmed cases and a death toll of more than five million people, according to the World Health Organization (1) (https://covid19.who.int).

The clinical manifestations of COVID-19 are variable. Most affected patients show mild respiratory symptoms without special healthcare requirements (2, 3). Nevertheless, some patients require hospital admission due to respiratory failure caused by severe pneumonia (4). Several therapies, including corticoids, Janus kinase inhibitors, interleukin-6 receptor antagonists, and antivirals (remdesivir, molnupiravir, and nirmatrelvir), improve the course of the disease (5), but only vaccines have demonstrated the strength required to modify this scenario (6). Despite this, SARS-CoV-2 infection can still lead to adult respiratory distress syndrome (ARDS), especially in immunocompromised patients (7). Different poor prognosis factors have been identified, such as age, male sex, chronic comorbidities, and laboratory parameters (8–14).

The mucin-like glycoprotein Krebs von den Lungen-6 (KL-6) is primarily expressed on the surface of type II epithelial alveolar cells when the cell is damaged or regenerating (13). KL-6 has been proposed as a potentially useful biomarker in Interstitial Lung Diseases (ILDs) (15–20). sKL-6 has also been described as a biomarker for pulmonary damage in ARDS since higher levels correlate well with mean and peak airway pressure (21). The usefulness of the sKL-6 level as a prognostic biomarker in COVID-19 has been suggested in previous cohort studies but their interpretation is limited due to the sample size (from 5 to 364 cases) (22–28).

Our study aimed to assess the potential of sKL-6 as a biomarker of lung disease progression in a large cohort of COVID-19 cases and to determine its prognostic value.

Methods

Study population

A total of 876 patients, admitted consecutively to a tertiary university hospital in the COVID-19 emergency context, were included in a prospective, observational, single-center study. All patients had a SARS-CoV-2 infection, laboratory-confirmed by a real-time reverse transcription-polymerase chain reaction (PCR) test using nasopharyngeal or oropharyngeal swabs, and had mild to moderate COVID-19 pneumonia without baseline needs for intensive care unit (ICU) admittance, high oxygen flux supplementation, or noninvasive ventilation. The clinical status of our admitted patients was assessed using the WHO eight-category ordinal scale (29): (1) not hospitalized and no limitations of activities; (2) not hospitalized, with limitation of activities, home oxygen requirement, or both; (3) hospitalized, not requiring supplemental oxygen, and no longer requiring ongoing medical care (used if hospitalization was extended for infection-control or other nonmedical reasons); (4) hospitalized and not requiring supplemental oxygen but requiring ongoing medical care (related to COVID-19 or to other medical conditions); (5) hospitalized and requiring any supplemental oxygen; (6) hospitalized, requiring noninvasive ventilation, or use of high-flow oxygen supply; (7) hospitalized, receiving invasive mechanical ventilation, or extracorporeal membrane oxygenation (ECMO); and (8) death. Patients with a clinical status corresponding to categories 4 or 5 at admission were included in this study.

All patients were admitted between 14 March 2020 and 7 February 2021. Most patients (55.3%) were recruited during the first and second COVID-19 waves and received hydroxychloroquine 400 mg/12 h (day 1), hydroxychloroquine 200 mg/12 h (days 2–5), and azithromycin 500 mg/day (days 1–3), according to our standard of care at that time point. In patients with cytokine release syndrome (CRS), defined as respiratory function worsening and high or progressively increasing D-dimer (>1,500 ng/ml) or high IL-6 levels (>40 pg/ml), a single dose of intravenous tocilizumab (600 mg for patients ≥75 kg; 400 mg for those < 75 kg) was prescribed following Spanish Agency for Medicinal Products and Medical
Devices (AEMPS) recommendations (https://www.aemps.gob.es/la-aemps/ultima-informacion-de-la-aemps-acerca-del-covid%e2%80%9919/tratamientos-disponibles-para-el-manejo-de-la-infeccion-respiratoria-por-SARS-CoV-2/?lang=en). Furthermore, all patients received a prophylactic low dose of molecular weight heparin unless otherwise contraindicated. Oral consent was obtained from patients due to the pandemic emergency situation, in line with our observational study design. The ethics committee of the Hospital Universitari de la Santa Creu i Sant Pau approved this study (IIBSP-COV-2020-35).

Data collection

At least one serum sample for KL-6 was obtained from each patient during hospital admission. In patients with long-standing admission, more KL-6 samples were collected based on the criteria of the attending physician. Respiratory function worsening was considered if patients changed to a worse category of the WHO eight-category ordinal scale during hospitalization. The PaO₂/FiO₂ (PAFI) ratio was estimated according to the method developed by Brown et al. (30, 31).

Demographic data, preexisting chronic medical conditions (including presence or absence of lung diseases and/or connective tissue diseases), previous use of potential toxic lung drugs, clinical symptoms at hospitalization, length of symptoms, length of hospital stay, and clinical and laboratory (complete blood count, renal and liver function, C-reactive protein, D-dimer, and ferritin) outcomes during hospitalization, including the time to worsening since KL-6 serum extraction, of the need for invasive mechanical ventilation, ICU admission, length of hospital stay, and in-hospital death, were collected from each patient. We analyzed all patients with at least one sKL-6 and performed an additional analysis in patients with serial sKL-6 levels.

KL-6 assay

sKL-6 levels were determined in serum samples using the chemiluminescence reagent "Lumipulse G KL-6" (Fujirebio Europe NV, Gent, Belgium) following the manufacturer's instructions and expressed in U/ml.

Statistical analysis

Continuous or interval data are summarized in terms of the number of observations, mean and standard deviation (SD), median, minimum, maximum, and interquartile range (IQR). Differences in continuous variables between groups (worsening vs. not worsening) were performed using a Student's t-test or Mann-Whitney U test (nonparametric) when the data did not meet the assumptions of normality as evaluated using the Kolmogorov-Smirnov test. Nominal and ordinal categorical data were summarized in terms of the number of subjects providing data at the relevant time point (n), frequency counts, and percentages presented to one decimal place. Differences between groups for nominal data were identified using the Fisher-exact test. The p-value between groups for ordinal data was estimated using the exact Mantel-Haenszel test. The sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), accuracy, and error rate of all KL-6 values for respiratory worsening were calculated by the area under the receiver operating characteristic (ROC) curve (AUC). The optimal cutoff KL-6 value was obtained using the Youden's index. Independent predictive factors of respiratory worsening were obtained using the multivariate logistic regression model. The final model selects predictive factors as those independent variables with a p < 0.05 on the Wald test. The odds ratio (OR) and the 95% confidence interval (95% CI) were calculated with the exponential model coefficients. The predictive accuracy of the logistic regression model was evaluated using the classification table, where observed values for the dependent outcome and the predicted values (at a defined cutoff value, e.g., p = 0.50) were cross-classified, showing for each predicted value the % of correct events, % correct nonevents, sensitivity, specificity, PPV, and NPV. Time to worsening from admission and from the first symptom was defined as the time from admission (or first symptom) until the date of worsening. Patients without worsening were censored at the hospital discharge date. The median days of time free of worsening, with its 95%CI, were estimated using the Kaplan-Meier (KM) survival analysis and using KL-6 optimal cutoff point, and the statistical significance between groups was performed using the log-rank test. Prognostic factors of time (days) to worsening were obtained using the multivariate cox regression model, with all potential prognostic factors, including KL-6 optimal cutoff, as independent variables. Thereafter, independent variables reaching a p < 0.05 were included in the final adjusted model. The adjusted median days of time free of worsening, with its 95%CI, were estimated using the KL-6 optimal cutoff point. The p-value and the 95% confidence interval for the hazard ratio (HR) were estimated based on the Wald test. Additionally, unbiased conditional inference trees (CTREE) were fitted to analyze the associations between worsening and all covariates at baseline (Initial visit 0), including demographic data, previous pathologies, clinical signs, and analytic data. CTREE is a conditional recursive partitioning algorithm that solves both the overfitting problem and the variable selection bias present in other recursive partitioning algorithms (32). All the variables are potential candidates to be included in the model. The variable selection process is automated, and no assumptions regarding the underlying structure and distribution are needed. As a result, the tree shows the variables in a hierarchical structure of the model that has a relative real weight for taking decisions.
Baseline sKL-6 circulating levels and respiratory function worsening

There was no difference in baseline sKL-6 levels in patients with or without respiratory function worsening (median [IQR] 315.5 [209–469] vs. 306 [214–423] U/ml, p = 0.39) and last sKL-6 values increased significantly in both groups (p < 0.0001). Nevertheless, delta (Δ) sKL-6 (median 95 [29–259] vs. 36 [5–113] U/ml, p < 0.0001) and the last sKL-6 levels were higher in patients that worsened (median [IQR] 414 [292–683.5] vs. 364 [250–330] U/ml, p = 0.027).

The best cutoff baseline sKL-6 level discriminating patients with or without impending respiratory function worsening was 497 U/ml [AUC 0.52; 23% sensitivity; 85% specificity; 24% PPV; and 82% NPV; (Figure 1)]. Patients with sKL-6 ≥ 497 U/ml had a 32% higher risk of respiratory function worsening since admission (p = 0.15; Figure 2). The worsening likelihood did not increase when symptoms onset was considered.

Peak sKL-6 levels and respiratory function worsening

Considering that sKL-6 increased in all patients and the high number of serial samples, we calculated the best cutoff point in the peak (max) sKL-6 level to improve the AUC. The best cutoff point of the peak sKL-6 level to discriminate patients was 408 U/ml (AUC 0.56; 46% sensitivity; 65% specificity; 24% PPV; 84% NPV).

A peak sKL-6 level > 408 U/ml was not associated with a higher likelihood of respiratory function worsening. Patients with a peak sKL-6 > 408 U/ml had a 30% higher risk of respiratory function worsening from admission (p = 0.098), but a trend in the likelihood of increased worsening was found when symptom onset was considered (p = 0.067).

Use of sKL-6 in CTREE algorithm

Figure 3 shows the CTREE algorithm according to the prevalence of worsening. The most important variables associated with impending worsening were mentioned in decreasing order of importance: PAFI (p < 0.001), C-reactive protein (CRP) (p < 0.001), days from disease onset (p = 0.004), age (p = 0.005), and platelet count (p = 0.029). sKL-6 and peak sKL-6 level were not identified as prognostic factors for disease worsening.

Discussion

We analyzed the value of sKL-6 circulating levels as predictors of respiratory worsening in a large population.
TABLE 1  Patient characteristics at hospital admission.

| Respiratory worsening during hospitalization n (%) | Yes 159 (19) | No 677 (81) | Total 836 (100) | p |
|------------------------------------------------------|-------------|------------|----------------|---|
| Female n (%) | 61 (38.4) | 288 (42.5) | 171 (45.6) | ns |
| Age (median [IQR]) | 59 [53–67] | 56 [44–63] | 56 [46–64] | 0.0001 |
| Comorbidities n (%) | 100 (62.9) | 361 (53.3) | 461 (55.1) | 0.034 |
| Hypertension | 60 (37.7) | 207 (30.6) | 267 (31.9) | 0.09 |
| Dyslipidemia | 47 (29.6) | 178 (26.3) | 225 (26.9) | ns |
| Diabetes | 20 (12.6) | 99 (14.6) | 119 (14.3) | 0.069 |
| Lung disease | 35 (20.8) | 99 (14.6) | 132 (15.8) | 0.023 |
| SAD | 10 (6.3) | 600 (97.5) | 809 (96.8) | 0.023 |
| Symptoms n (%) | | | | |
| Tobacco | 43 (27) | 168 (24.8) | 211 (25.2) | ns |
| Drugs | 8 (5) | 9 (1.3) | 17 (2) | 0.0074 |
| Fever (≥37.3°C) | 129 (81.1) | 516 (76.2) | 645 (77.2) | ns |
| Dyspnea | 92 (57.9) | 308 (45.5) | 400 (47.8) | 0.0061 |
| Cough | 96 (60.4) | 448 (66.2) | 544 (65.1) | ns |
| O2 Sat <93% n (%) | 21 (13.2) | 29 (4.3) | 50 (6) | 0.0001 |
| % O2 Sat (median [IQR]) | 95 [94–96] | 96 [95–97] | 96 [95–97] | <0.0001 |
| P/F Ratio (median [IQR])mmHg | 304 [271–338] | 329 [295–375] | 326 [290–367] | <0.0001 |
| Laboratory parameters | | | | |
| Leucocytes, 10⁹/L | 7.4 ± 4.3 | 6.7 ± 3.6 | 6.8 ± 3.7 | 0.0408 |
| Lymphocytes, 10⁹/L | 1.1 ± 0.7 | 1.2 ± 1.7 | 1.2 ± 1.4 | 0.0078 |
| Platelets, 10⁹/L | 199 ± 91.5 | 214 ± 90.3 | 211.2 ± 90.7 | 0.0068 |
| CRP, mg/L | 113.5 ± 79.5 | 80.8 ± 72.1 | 87 ± 74.6 | <0.0001 |
| LDH, U/L | 357.8 ± 136.5 | 339.3 ± 662.4 | 342.8 ± 600.3 | <0.0001 |
| ALT, U/L | 42.5 ± 29.1 | 43.8 ± 48.1 | 43.6 ± 45.1 | ns |
| AST, U/L | 43.2 ± 23.6 | 41.7 ± 42.5 | 42 ± 39.7 | 0.0014 |
| GGT, U/L | 90.1 ± 108.4 | 80.8 ± 91.1 | 82.8 ± 94.9 | ns |
| D–dimer, mg/L | 1,673 ± 6,661.6 | 1,335.7 ± 7,030 | 1,398.2 ± 6,959.7 | ns |
| Ferritin, ug/L | 1,745.5 ± 1,341.6 | 815.2 ± 908 | 882.1 ± 1,099.8 | 0.041 |
| sKL-6 (median[IQR]) | 315.5 [209–469] | 306 [214–423] | 307 [211–430] | ns |

IQR, interquartile range; SAD, systemic autoimmune disease; O2 Sat, oxygen saturation; P/F ratio, arterial oxygen partial pressure to fractional inspired oxygen ratio; CRP, C- reactive protein; LDH, lactate dehydrogenase; ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT, gamma-glutamyltransferase; sKL-6, serum Krebs von den Lungen-6.

of hospitalized patients with mild COVID-19. We did not find differences between baseline sKL-6 levels in patients with or without respiratory impairment during their hospital stay, and we did not find baseline or peak sKL-6 to be a reliable biomarker of respiratory function worsening in patients with mild COVID-19. However, we did observe that the last sKL-6 values increased significantly in both groups and that this increase was higher in patients that worsened.

Considering the dramatic worldwide impact of the COVID-19 pandemic on healthcare systems, it is imperative to find a useful tool to discriminate which patients will worsen before a flare occurs. This is especially crucial in a period of the pandemic, given the pressures of resource allocation.

KL-6 and sKL-6 have recently emerged as potential biomarkers in ILDs, based on their specificity translating damage and regeneration in type II pneumocytes (33). It is well known that the viral infection of alveolar epithelial cells by SARS-CoV-2 is a crucial step in the development of the disease (34). We hypothesized that injured type II pneumocytes express excess KL-6, which spills over into systemic circulation due to the increased permeability of the alveolar-capillary membrane. A correlation between lung injury and sKL-6 levels has been demonstrated in ILDs where pneumocyte and alveolar-capillary membrane damage is observed (15–20, 35–39). Similar findings have been reported in 28 patients with ARDS who had higher median sKL-6 levels than nine ventilated controls of matched illness severity and 10 healthy donors (21).
Studies that analyze the effect of sKL-6 in patients with COVID-19 are scarce, and most have limitations in their interpretation of findings due to several factors. A recent meta-analysis of seven studies that analyzed sKL-6 levels in severe and nonsevere patients supported the potential role of sKL-6 circulating levels as predictors of severe COVID-19 (40). But those meta-analyses were based on small retrospective studies with high heterogenicity. Our prospective observational study includes more patients than all the studies analyzed in that meta-analysis together, and this factor may be critical for a correct interpretation of the findings.

In our experience, neither the best cutoff point of baseline sKL-6 nor peak sKL-6 during hospitalization shows an acceptable AUC that would allow us to consider KL-6 as a potential biomarker for predicting respiratory deterioration in patients with mild to moderate COVID-19. A few studies have looked at the sensitivity, specificity, and predictive values of sKL-6 in COVID-19. Yamaya et al. found a sensitivity of 83.3% and a specificity of 90.5 (AUC 0.89) to predict respiratory worsening with a sKL-6 cutoff point of 684 U/ml in 356 patients with sKL-6 determinations (26). Interestingly, they found a statistical association between baseline, peak, and Δ sKL-6 levels with severe illness and survival. We also found differences in peak and Δ sKL-6, but we did not find different baseline sKL-6 levels between groups, though we did not analyze survival in our cohort. The ROC curve did not allow us to identify a reliable sKL-6 threshold to predict the worsening of COVID-19. There are several differences between the Yamaya study and ours. Unlike us, Yamaya et al. analyzed data retrospectively, and their hospital did not admit patients in critical condition. This fact may have biased their survival findings and ROC results. d’Alessandro et al. analyzed the peripheral natural killer cells and sKL-6 as potential prognostic biomarkers of COVID-19 severity in 22 patients (24). They found higher sKL-6 levels in patients with severe COVID-19 compared with patients with mild infection or a healthy group. The best cutoff point of 406.5 U/ml in sKL-6 was identified using the ROC analysis (83% sensitivity and 89% specificity) in their study. This study has some similarities with ours, notably a similar cutoff point. Nevertheless, they did not detail the timeline of patients’
worsening, and baseline sKL-6 may therefore have been taken in patients who had already worsened. We collected baseline samples in nonseverely ill patients before respiratory function worsened to assess the usefulness of sKL-6 as a predictor of evolving trends in patients. Finally, another retrospective study compared different biomarkers in patients with 33 nonsevere and 21 severe COVID-19 and found higher sKL-6 levels in the severe group with a peak level 1 week after diagnosis (41). With an optimal cutoff value of 371 U/ml, they found a sensitivity and specificity of 85.7 and 96.6%, respectively (AUC 0.84) to assess severity in patients with COVID-19. In our prospective study with more patients (159 who worsened and 677 who did not), we observed opposite results (sensitivity, 46%, specificity 65%, PPV 24%, and NPV 84%; AUC 0.56), though with a similar threshold peak of sKL-6 (408 U/ml). More studies have analyzed the sensitivity and specificity of sKL-6 in COVID-19 (24, 25, 28, 42) but their main goals were different than ours since they analyzed the levels of this biomarker to predict irreversible ILD or death. We did not explore sKL-6 levels and their usefulness to predict outcomes after COVID-19 pneumonia.

Our study did not demonstrate the utility of sKL-6 in a composite algorithm to predict respiratory impairment in COVID-19. Our CTREE, which includes sKL-6 optimal values, did not find sufficient discriminatory power to predict which patients will worsen. This is the first study that includes unbiased conditional inference trees to analyze sKL-6 circulating levels to predict respiratory worsening. CTREE solves both the overfitting problem and the variable selection bias present in other recursive partitioning algorithms (32). To date, only a small study with 24 patients has explored a combination model with IL-6, sKL-6, and CRP values to detect patients with severe COVID-19 with promising results (43). Our more exact model does not support the use of sKL-6 in algorithm models.

There are a number of factors that may explain the failure of sKL-6 to predict respiratory function worsening. Different subtypes of COVID-19 pneumonia have been proposed (44), and the kind of lung damage and subsequent sKL-6 production can be different in those subtypes (23, 45). An example of this is provided by patients with COVID-19 pneumonia type H (high elastance, high right-to-left shunt, high lung weight, and high lung recruitability). sKL-6 could be useful as a potential biomarker of pulmonary worsening in comparison with type L (low elastance, low ventilation-to-perfusion ratio, low lung weight, and low lung recruitability) COVID-19 pneumonia (23). Unfortunately, we have no tools to know which pneumonia subtype is existent in our patients.

Our study has some limitations and weaknesses. First, we do not have a control group of patients with balanced risk factors, and we therefore only analyzed sKL-6 in hospitalized patients with mild COVID-19 pneumonia on admission. The

![FIGURE 2]
Free survival of respiratory worsening since admission (baseline sKL-6).
absence of a control group does not allow us to determine whether sKL-6 could play a role in detecting patients before clinical pneumonia is present. During the outbreak, we had sKL-6 reactive limitations in Europe, which meant that we focused our study fieldwork on a significant sample of patients affected with mild to moderate pneumonia. Second, some patients may present a nonpreviously diagnosed ILD or have other diseases associated with abnormal sKL-6 levels not related to COVID-19 pneumonia. Another limitation was the fact that we did not study the correlation between sKL-6 levels and imaging tools (chest X-ray and computerized tomography) or other laboratory data. A major issue sometimes observed in patients with COVID-19 is that the cause of lung function worsening is related to thromboembolic phenomena (3% of patients with COVID-19 in our cohort), which may not directly affect KL-6 production and eventually spills over into circulation (46).

Finally, we were unable to analyze the survival rates of our patients. These analyses were not possible due to the low rate of deaths found in our cohort.

To summarize, our findings suggest that baseline sKL-6 levels do not predict respiratory worsening in patients with mild to moderate COVID-19 pneumonia. sKL-6 levels are not reliable enough to be used as a prognostic screening tool on admission to stratify which patients will likely need more intensive respiratory care. Future controlled trials with serial determinations of sKL-6 in patients with COVID-19 pneumonia and the effect of sKL-6 to predict lung fibrosis after hospitalization are warranted to elucidate its role as a potential biomarker for further clinical and therapeutic decisions.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

The studies involving human participants were reviewed and approved by Comité etico de investigación científica (CEIC), Hospital de la Santa Creu i Sant Pau, Barcelona, Spain (IIIBSP-COV-2020-35). Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

Author contributions

Conceiving the research and manuscript drafting: IC, DC, HC, and PD. Patients recruitment: IC, SO, and DL. KL-6
handling: AMA, AB, and LM. Data analysis: IC and PD. All authors read and approved the final manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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