Disorders of Microtubule Function in Neurons: Imaging Correlates

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ABSTRACT

BACKGROUND AND PURPOSE: A number of recent studies have described malformations of cortical development with mutations of components of microtubules and microtubule-associated proteins. Despite examinations of a large number of MRIs, good phenotype-genotype correlations have been elusive. Additionally, most of these studies focused exclusively on cerebral cortical findings. The purpose of this study was to characterize imaging findings associated with disorders of microtubule function.

MATERIALS AND METHODS: MRIs from 18 patients with confirmed tubulin mutations (8 TUBA1A, 5 TUBB2B, and 5 TUBB3) and 15 patients with known mutations of the genes encoding microtubule-associated proteins (5 LIS1, 4 DCX, and 6 DYNC1H1) were carefully visually analyzed and compared. Specific note was made of the cortical gyral pattern, basal ganglia, and white matter to assess internal capsular size, cortical thickness, ventricular and cisternal size, and the size and contours of the brain stem, cerebellar hemispheres and vermis, and the corpus callosum of patients with tubulin and microtubule-associated protein gene mutations. Results were determined by unanimous consensus of the authors.

RESULTS: All patients had abnormal findings on MR imaging. A large number of patients with tubulin gene mutations were found to have multiple cortical and subcortical abnormalities, including microcephaly, ventriculomegaly, abnormal gyral and sulcal patterns (termed “dysgyria”), a small or absent corpus callosum, and a small pons. All patients with microtubule-associated protein mutations also had abnormal cerebral cortices (predominantly pachygyria and agyria), but fewer subcortical abnormalities were noted.

CONCLUSIONS: Comparison of MRIs from patients with known mutations of tubulin genes and microtubule-associated proteins allows the establishment of some early correlations of phenotype with genotype and may assist in identification and diagnosis of these rare disorders.

ABBREVIATIONS: MAP = microtubule-associated protein; MT = microtubule

The relationship of lissencephaly and pachygyria to mutations of specific genes, such as LIS1,1 DCX,2 and ARX,3 has been known for >20 years, with some mutations resulting in milder forms known as double cortex4 or band heterotopia.4 Many lissencephalies, in particular lissencephalies associated with significant microcephaly (head circumference of ≥3 SDs below the mean for age, also called “microlissencephalies”5), were not associated with mutations of any of these genes. In the past decade, studies have shown that many lissencephalies (and microlissencephalies) are associated with mutations of genes coding for tubulins, proteins that form the components of microtubules (MTs),6,7 and microtubule-associated proteins (MAPs)8 which, interestingly, include LIS1 and DCX. The recent literature has revealed that mutations of genes encoding tubulin and MAPs cause severe developmental delay, epilepsy, and severe anomalies of brain development. Although most mutations of both tubulin and MAP genes cause abnormal sulcation, tubulin gene mutations are associated with severe microcephaly and white matter anomalies, while patients with mutations of MAP genes have small normal brains or mild microcephaly with normal or nearly normal white matter structures. Specific MR imaging phenotype-
genotype correlations have been elusive, despite examinations of large numbers of MR images. In this report, we describe the imaging appearances of 18 patients with brain malformations secondary to tubulin mutations and 15 patients with malformations secondary to tubulin-associated protein mutations, including cortical malformations, white matter disorders (corpus callosum, white matter volume, brain stem anomalies), and head size. These data allow establishment of some early correlations of phenotype with genotype.

MATERIALS AND METHODS

Imaging

MRIs were retrieved from a large file of imaging studies of >2500 patients with malformations of cortical development that is part of ongoing studies of the genetics of epilepsy and cerebral malformations. The file includes MRIs of patients of all ages who were imaged during the past 30 years, mainly for developmental disorders or epilepsy, and were referred to one of the authors for evaluation; all consented to the use of their studies for research purposes. All patients have mutations identified in specific genes that are thought to be the cause of the malformation. Because these studies were obtained in many different facilities in multiple countries during >25 years, both the MR imaging techniques and the techniques for identifying mutations differed considerably. Most MRIs were obtained at 1.5T, though some recent scans were obtained at 3T. T1WI and T2WI (spin-echo or spoiled gradient-echo in more recent volumetric acquisitions) with section thicknesses ranging from 1 to 3 mm were analyzed. For inclusion, MRIs required 3 orthogonal planes with adequate contrast between the cerebral cortex and (myelinated or unmyelinated) white matter to determine the cortical gyral pattern, basal ganglia, and white matter to visually assess internal capsular presence or absence, cortical thickness (normal, <4 mm), ventricular and cisternal size, and the size and contours of the brain stem, cerebellar hemispheres and vermis, and the corpus callosum.

Patients

All patients were referred for seizures and developmental anomalies, often associated with microcephaly that was variable in severity. Genetic analyses required that the candidate gene have a logarithm of odds score of ≥3 or microarray demonstration of abnormal gene expression. Targeted genetic analyses during the past few years revealed 18 patients with confirmed diagnoses of tubulin mutations: 8 with TUBA1A mutations, 5 with TUBB2B mutations, and 3 with TUBB3 mutations. No TUBA8, TUBB5, or TUBG1 mutations were identified in any of our patients. The MR imaging findings of these patients were carefully analyzed and compared with those of 15 patients with known mutations of the MAP genes LIS1 (5 patients), DCX (4 patients), and DYNC1H1 (6 patients). Included patients ranged in age from 2 days to 33 years. Although the scans had already been reviewed and findings recorded, all were rereviewed by the authors. Results were then analyzed to determine which imaging features best defined mutations of tubulin and MAP genes, which features overlapped, and which features or combinations of features, if any, allowed specific diagnoses. Recorded results were determined by unanimous consensus of the authors.

RESULTS

All patients had abnormal findings on brain MR imaging. In particular, abnormalities of white matter structures/pathways (corpus callosum, internal capsules, and portions of the brain stem), the cerebral cortex (gyral pattern), and the cerebellum (vermis more frequently than hemispheres) were seen in essentially all patients. When the olfactory sulci and bulbs were adequately visualized with coronal images, the sulcal depth and appearance of the bulbs were assessed. Abnormalities of the midbrain tectum were recorded when present.

Tubulin Mutations

All 18 patients with mutations of tubulin genes had diminished white matter volume, ranging from moderate to severe, associated, in all cases, with microcephaly (by provided clinical history). Ventriculomegaly was present in 8/8 patients with TUBA1A mutations, 3/5 patients with TUBB2B mutations, and 2/5 patients with TUBB3 mutations (Table). The degree of enlargement varied considerably, from mild to severe; in more severely affected patients, ventricular enlargement was diffuse (Figs 1 and 2), but in 2 TUBA1A mutations, ventriculomegaly was asymmetric (1 left > right, the other right > left). A third patient had colpocephaly with enlarged trigones and occipital horns but normal temporal horns, frontal horns, and ventricular bodies.

All patients had abnormalities of the corpus callosum, dysmorphic or absent in all patients with TUBA1A or TUBB2B mutations and either dysmorphic (2/5) or abnormally thin (4/5) in patients with TUBB3 mutations (Figs 1–3 and On-line Fig 3). All patients with tubulin gene mutations also had abnormalities of the basal ganglia, including an enlarged caudate and an absent or diminutive internal capsule dividing the caudate from the putamen, resulting in a fused striatum (Figs 1–3). Additionally, nearly all patients with tubulin gene mutations had a small, often asymmetric brain stem (Table, Fig 2D, and On-line Fig 2) and a small cerebellar vermis; and a number had large midbrain tecta (Figs 2 and 3).

Abnormalities of the cerebral cortex were seen in essentially all patients in this group, ranging from complete agyria to mixtures of agryria and pachgyria to complete “dysgyria” (a term intended to describe a cortex of normal thickness but with an abnormal gyral pattern characterized by abnormalities of sulcal depth or orientation: obliquely oriented sulci directed radially toward the center of the cerebrum and narrow gyri separated by abnormally deep or shallow sulci (Fig 1)). Other patients had regions of pachgyria mixed with regions of dysgyria. Of the 5 patients with TUBB3 mutations, 3 had both dysgyria and pachgyria, with pachgyria located in the Sylvian and supra-Sylvian areas. The cortex in patients with TUBA1A mutations ranged from lissencephalic to pachgyric to dysgyric, with pachgyria usually present in the parietal and occipital lobes (Fig 4). Differentiation of regional pachgyria (with a cell sparse zone) from localized band heterotopia was based on the
widths of the gyri and depths of the sulci: For classification, where sulci were deeper than the breadth of the gyri, the diagnosis of band heterotopia was made; and if the sulci were shallower than the breadth of the gyri, pachygyria was diagnosed. Four of the 5 patients with TUBB3 mutations exhibited dysgyria of varying severity, including 1 patient with both diffuse dysgyria and bifrontal band heterotopia (Fig 2). The fifth patient had nearly normal cerebrocortical morphology. Hypoplastic or absent olfactory bulbs or sulci were noted in 11/16 patients with tubulin mutations (4/6 TUBA1A, 3/5 TUBB2B, 4/5 TUBB3) for which they were adequately evaluated (On-line Fig 1).

### Features of tubulin and microtubule-associated gene mutations

|                      | TUBA1A | TUBB2B | TUBB3 |
|----------------------|--------|--------|-------|
| Dysmorphic/absent corpus callosum | 8/8*   | 5/5    | 2/5   |
| Thin corpus callosum | 4/5    | 4/5    | 4/5   |
| Dyngyric cerebral cortex | 4/7*   | 4/5    | 5/5   |
| Pachygyric/agryic cerebral cortex | 4/7*   | 0/5    | 3/5   |
| Small cerebellar vermis | 8/8   | 5/5    | 4/5   |
| Small cerebellar hemisphere | 5/8   | 1/5    | 1/5   |
| Small brain stem | 7/8    | 5/5    | 5/5   |
| Large tectum | 4/8    | 1/5    | 1/5   |
| Mild ventriculomegaly | 1/8    | 2/5    | 0/5   |
| Moderate ventriculomegaly | 3/8    | 1/5    | 1/5   |
| Severe ventriculomegaly | 4/8    | 0/5    | 1/5   |

* Corpus callosum absent 3/8.
* L-shaped corpus callosum 4/5.
* L-shaped corpus callosum 3/6.
* Thick corpus callosum 5/6.
* In 1 patient, poor gray-white differentiation precludes accurate cortical assessment.

### MAP Mutations

Of the 15 patients with mutations of MAPs, the imaging findings were more consistent within groups (Table). Patients with LIS1 mutations (5 total) had cortical malformations ranging from complete agyria to frontal pachygyria with nearly normal cortical thickness associated with parietal and occipital pachygyria or agyria. They had anomalies of the corpus callosum, with a small or absent rostrum and inferior genu, normal body, and an unusual, sharp angle at the junction of the body and splenium (Fig 4), with the splenium coursing more caudal than usual (4/5) or a short splenium (1/5). These findings were very similar to the callosal anomaly seen in patients with DYNCHI1 mutations (6 total), who also had posterior pachygyria (less severe, overall, than that seen in the patients with LIS1 mutations, but some cases were indistinguishable by imaging).

Half (3/6) of the patients with DYNCHI1 mutations had a slightly small anterior cerebellar vermis compared with published norms, compared with 1/5 patients with LIS1 mutations and no patient with DCX mutations. This vermian anomaly and the presence of large caudate heads (found in all 6/6 patients with DYNCHI1 mutations [Fig 4], but in no 0/5 patients with LIS1 mutations) were the only consistent differences between patients with LIS1 and DYNCHI1 mutations.

The patients with DCX mutations had different appearances of the corpus callosum, which were either normal (2/4) or diffusely thin (2/4). The cortex of patients with DCX mutations, as has been reported, varied from normal

**FIG 1.** A 5-year-old child with a TUBB3 mutation. Midline sagittal T1WI (A) shows a fully formed but thin corpus callosum, an enlarged third ventricle, a dysmorphic cerebellar vermis with a disproportionately small anterior vermis, and a thin pons. Axial T1WI (B) shows asymmetry of the basal ganglia with an unseparated left striatum (white arrowhead). Note that the gyral pattern is abnormal, with sulci coursing deeply at many different angles and in unusual locations (white arrows). This abnormal pattern is classified as “dysgyria.” Axial T1WI at a slightly higher level of the same patient (C) shows again the dysmorphic, asymmetric basal ganglia with an enlarged left caudate head (black arrows) and marked ventriculomegaly. Cortical dysgyria is again noted (white arrows).
thickness to slightly thick, associated with shallow sulci and a layer of neurons (band heterotopia) deep to the cortex; the cortical anomaly was more severe frontally than in the occipital cortex. No cerebellar or brain stem anomalies were identified; however, large caudate heads were identified in 3/4 patients with cerebellar or brain stem anomalies were identified; however, large anomaly was more severe frontally than in the occipital cortex. No cerebellar or brain stem anomalies were identified; however, large caudate heads were identified in 3/4 patients with DCX mutations, and cerebral white matter volume was mildly or moderately diminished in 3/4.

**DISCUSSION**

Microtubules are filamentous intracellular structures critical for long-range intracellular cargo transport in neurons and, therefore, important in brain formation and function. They have key roles in mitosis, organization of intracellular structure, axonal pathfinding, neuronal migration, protein transport, and ciliary motility. Not surprising, disorders of MT formation affect multiple aspects of brain development, resulting in multiple malformations, including microcephaly (presumably from impaired mitosis), lissencephaly, band heterotopia and other types of cortical dysgenesis (impaired neuronal migration), anomalies of white matter pathways (impaired axonal pathfinding), anomalies of the cranial nerves (impaired axonal pathfinding), and malformations of the midbrain and hindbrain (possibly impairment of both neuronal migration and axonal pathfinding); these malformations have some characteristic features that allow them to be recognized on routine MR imaging.

Similar malformations had been previously reported in patients with mutations of genes encoding MAPs, such as LIS1 and DCX1 that bind to MTs and aid long-range intracellular transport into distal axons, dendrites, and leading processes (actin-based transport is more important for short-range transport to synapses and axonal growth cones). Recent revelations on MT functions and their interactions with MAPs in so many developmental processes make it clear that disorders of MT and MAP formation and function cause many developmental brain disorders. Although some MR imaging findings of cortical malformations and cranial neuropathies associated with mutations of tubulins and MAPs have been described, the precise nature of the cortical anomalies (which have been nearly uniformly called "pachygyria" and "polymicrogyria") and the other aspects of abnormal brain development associated with them, such as callosal anomalies, brain stem anomalies, and cerebellar anomalies, have not been previously compared and contrasted, to our knowledge. In making this comparison, we have discovered several MR imaging findings that may help narrow the search for genes responsible for malformations.

A brief description of how microtubules form and function may be useful in this discussion. MTs form by polymerization of tubulin heterodimers, which are composed of the 2 main tubulin proteins, α-tubulin (formed from proteins constructed by transcription and translation of TUBA genes) and β-tubulin (from TUBB genes), which spontaneously combine (dimerize) to form α-β-heterodimers. To initiate the formation of an MT, heterodimers bind to a γ-tubulin ring complex, composed of γ-tubulins (formed from proteins constructed by transcription and translation of TUBG genes) bound to γ-tubulin complex proteins in the wall of a centrosome. The γ-tubulin ring complex functions as a scaffold, with exposed γ-tubulin on its periphery, to which α-β-heterodimers bind and begin to polymerize, ultimately forming the MT (Fig 5D). The advancing ends of the MTs, at the growth cone of a pathfinding axon or the leading process of a migrating neuron, follow actin and myosin scaffolding that interacts with chemical signals in the interstitium (Fig 5B, C). Attractive signals cause rapid growth of the actin in that direction, which quickly stimulates MT polymerization in its wake, whereas repulsive signals cause actin breakdown, quickly followed by MT depolymerization.

Thus, the MT plays a critical role in the navigation and formation of axons and neurons through the developing brain.
The same process occurs during mitosis as the MTs migrate from centrosomes to attach to and separate chromosomes and begin cell division (Fig 5A). Thus, MTs are critical to the processes of mitosis, axon navigation, and neuron migration, all key factors in development. During neuronal migration, an important role is also played by MAPs (in particular LIS1, NudE, and Dynein), which are critical to the process of nucleokinesis, by which the nucleus of the migrating neuron is pulled forward after its leading process advances; in the absence of nucleokinesis, the neuron does not migrate. The precise role of MAPs in axonal navigation is less well-established, but it is becoming clear that external axonal guidance cues in the brain interstitium couple with other molecules in the axonal growth cone (such as ROBO3 or DCC) to direct microtubule dynamics. It is entirely possible (and, indeed, likely) that MAPs participate in this process to some extent.

Knowledge of the developmental functions of MTs and MAPs helps in understanding imaging findings. MTs play a key role in mitosis; therefore, cell proliferation is diminished in patients who have mutations of MT genes. In addition, patients with tubulin mutations (TUBA1A, TUBB2B, TUBB3, TUBG, and so forth) almost universally have microcephaly; the most severely affected do not survive gestation. A review of the recent literature indicates that patients with MT mutations very commonly have severe microcephaly, some profoundly so, and the severity of the microcephaly seems to be directly related to the severity of the brain malformation: most severe in microlissencephaly followed by lissencephaly and pachygyria and least severe with dysgyria (60% of these patients are normocephalic). The microcephaly seems likely to be related to abnormalities of mitosis. In contrast, patients with MAP mutations have much milder impairment of brain growth, with most normocephalic, and those with small heads usually mildly affected.

Because neuronal migration is disturbed in tubulinopathies, enlarged or asymmetric basal ganglia, heterotopias, and cortical dysgenesis are common. Pachygyria and agyria appear to be most common in TUBA1A and TUBG mutations. However, the MR imaging appearance of the cortex in the patients in our study and those described in the literature does not resemble true polymicrogyria. Pathologic analysis of the brains with tubulin mutations showed migration of neurons through gaps in the pial-laminating membrane into the subarachnoid space, which is not found in polymicrogyria. Moreover, the appearance of a smooth cortical surface with radially oriented sulci, even on high-resolution images, is not consistent with polymicrogyria. Because the appearance in these patients has not been previously described, we have coined the term “dysgyria” to describe a malformation in which sulci course at unusual angles and unusual depths, an appearance that has been illustrated in published MR imaging figures from patients with mutations of all the tubulin genes described at this time but not discussed in detail. We suggest that recognition of this characteristic feature aids in the diagnosis of tubulinopathy, especially when associated with microcephaly. In addition, the anterior cerebellar vermis is very commonly small, and the midbrain tectum is often large (which can be a result of abnormal migration of cerebellar granule cells). Finally, because axonal pathfinding is disturbed, patients with tubulin mutations may have congenital fibrosis of the extraocular muscles or hypoplastic olfactory nerves; hypoplasia or absence of the corpus callosum; a small, often asymmetric basal ganglia; abnormal-appearing, fused striatum due to the absence of various parts of the internal capsule (most commonly the anterior limb); and diminished overall white matter volume. All of these findings were seen in some of our patients, and nearly all were seen in many of the patients with tubulin mutations.
Of particular interest in this study is the observation that abnormalities of axon pathfinding were much more common in patients with mutations of MT genes compared with those with MAP genes, while the abnormalities of neuronal migration were not. Therefore, these findings appear to be important in making the differentiation. Eighteen of 19 patients with MT gene mutations had a dysmorphic or absent corpus callosum (in the other, the corpus was uniformly thin). Most of the dysmorphic ones were thin and incompletely formed, with an absent rostrum and inferior genu and a thin, short splenium. One was of normal thickness but very short (rostrocaudally). Although only 2/15 patients with MAP gene mutations had a normal corpus callosum, 7 of them (4 with LIS1 mutations and 3 with DYNC1H1 mutations) had a very similar finding of a rather thick corpus callosum with a small inferior genu, absent rostrum, and a rather sharp angle in the posterior half of the callosal body, resulting in an overly vertical splenium situated within a few millimeters of the superior colliculus (Fig 4A). The brain stem was small in 17/18 patients with MT gene mutations and asymmetric in 8, but a small brain stem was seen in only 6/15 patients with MAP gene mutations and all brain stems were symmetric. Mutations of other axonal pathfinding genes, such as ROBO3,\textsuperscript{36} can cause similar (though usu-
In terms of cortical malformations, areas of dysgyria were present in 13/17 patients with MT gene mutations, in whom the cortex was adequately visualized, while pachygyria was seen in 7. Peri-Sylvian pachygyria was seen in addition to dysgyria in 3/5 patients with TUBB3 mutations. In contrast, dysgyria was not seen in patients with MAP gene mutations, while pachygyria was present in all, mostly in the posterior parietal/occipital regions. Only 5/15 patients with MAP mutations (3/6 with DYNCHI) mutations had a small vermis (in contrast to 17/18 of patients with tubulin gene mutations); notably, anterior vermis atrophy predominated in both groups. No patients with predominantly posterior vermian atrophy were seen.

CONCLUSIONS
The human brain is formed in a complex and highly regulated process that includes neurogenesis (proliferation of progenitor cells and production of immature neurons), neuronal migration (migration of immature neurons from germinial zones into organized gray matter structures), and connectivity (formation of white matter tracts by extension of axons). Microtubules and their associated proteins are integral components of all of these processes in the developing brain; thus, their mutations can result in complex, overlapping imaging phenotypes. Diagnostically, these features can be useful because combinations of findings seen in other malformations of cortical development, when present together, can suggest a disorder of MT function. For example, in a patient with the combination of microcephaly, dysgyria, and a small corpus callosum and brain stem, the diagnosis of a tubulin mutation should be considered. It follows logically that while tubulin gene mutations often affect all categories (neurogenesis, migration and axonal pathfinding), mutations of MAPs often have more limited phenotypes, suggesting specialized or redundant functions. MAP gene mutations most notably caused errors in neuronal migration (particularly pachygyria and agyria), while axonal pathfinding abnormalities were much more common in the patients with tubulin mutations.

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