Multiple Traditional Chinese Medicine interventions for idiopathic pulmonary fibrosis
A protocol for systematic review and meta-analysis of overview
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Abstract
Background: The therapeutic strategies of idiopathic pulmonary fibrosis (IPF) tend to be comprehensive. Improving the major symptoms and quality of life (QoL) is as important as postponing the process of fibrosis. However, only pirfenidone and nintedanib conditionally recommended by guidelines and no definite proof indicate that they can significantly ameliorate the main symptoms and QoL of IPF sufferers. At present, multiple types of Traditional Chinese Medicine (TCM) interventions alone or in combination with conventional western medicine management are widely applied in IPF treatment, which seemingly have a promising clinical effect, especially in ameliorating the main symptoms and improving QoL. Subsequently, the number of relevant studies in systematic reviews (SRs) and meta-analyses of randomized controlled trials (RCTs) increased significantly. Hence, we plan to implement an overview to collect, evaluate, and summarize the results of these SRs.

Methods: An all-round literature retrieval will be conducted in 9 electronic databases, including PubMed, EMBASE, CINAHL, Cochrane Library, Epistemonikos, CNKI, CBM, Wanfang, and VIP. We will focus on the systematic review and meta-analysis of RCTs for multiple TCM interventions alone or in combination with routine western medicine measures in IPF treatment. The main outcomes we follow with interest include the improvement of major symptoms (cough, dyspnea) and QoL. Secondary outcomes will consist of minor symptoms improvement, clinical total effective rate, lung function, blood gas analysis, 6-minute walk test, adverse events, acute exacerbation, all-cause mortality, and IPF-related mortality. Two reviewers will independently select the SRs satisfactory with the enrolling criteria, extract key characteristics, and data on predefined form, evaluate methodological quality by AMSTAR-2, ROBIS and PRISMA tools, and the quality of evidence adopting GRADE method. In case of any divergence will be reached an agreement by discussion or adjudicated by a third senior reviewer. We will perform a narrative synthesis of the proofs from SRs included.

Results: The findings of this overview will be presented at relevant conferences and submitted for peer review publication.

Conclusions: We expect to obtain comprehensive and reliable evidence of IPF treated by diversified TCM interventions from the potential standard SRs, which may provide suggestions for future RCTs and SRs.

Registration number: INPLASY 202080110

Abbreviations: AMSTAR-2 = assessment of multiple systematic reviews-2, GRADE = grading of recommendations assessment, development and evaluation, INPLASY = International Platform of Registered Systematic Review and Meta-analysis Protocols, IPF = idiopathic pulmonary fibrosis, PRISMA = preferred reporting items for systematic reviews and meta-analyses, RCTs = randomized controlled trials, ROBIS = risk of bias in systematic review, SRs = systematic reviews.

Keywords: idiopathic pulmonary fibrosis, overview, protocol, systematic review, traditional Chinese medicine
1. Introduction

Idiopathic pulmonary fibrosis (IPF) is a chronic, progressive and fibrotic interstitial lung disease (ILD) characterized by extensive pulmonary remodeling caused by abnormal deposition of extracellular matrix. The etiology of IPF is still unclear, and it often occurs in middle-aged and elderly people. IPF is the most common idiopathic interstitial pneumonia (IIP), accounting for about 60% of cases.

It is estimated that the annual incidence of IPF is 6.8 to 16.3/100,000, and about 40,000 new cases are diagnosed each year in Europe. There is a lack of large-scale epidemiological study in China. Nevertheless, the ILD epidemiological document indicates that its morbidity in China has an increasing tendency, what’s more, with the burgeoning of domestic population aging, the number of patients will show continuously increasing trend accompanied by growth of disease burden. IPF has a poor prognosis, with a median survival of 2 to 3 years after diagnosis. The recent study suggests that IPF survival has not improved significantly and mortality seems to be rising, although this may partly reflect improved recognition and diagnosis.

The pathogenesis of IPF is complex and complicated, but there are sufficient proofs bear out that its mechanism is closely related to immunity and inflammation, involving a variety of cytokines and signaling pathways. Transforming growth factor-β (TGF-β) is considered to be probably the principal profibrotic cytokine within them. Additionally, connective tissue growth factor, platelet-derived growth factor, vascular endothelial growth factor, interleukin-1α, tumor necrosis factor-α, and interferon-γ are closely related to IPF development. The involved pathways mainly include wnt/β-catenin, shh (sonic hedgehog) and notch signaling pathway and so on. Recent literatures suggest that epigenetic regulation mechanism may be interrelated with occurrence and development of the disease, in which the DNA methylation, histone modification and micro RNA changes are probably the key factors in triggering IPF.

Dry cough and dyspnea are the main clinical manifestations of IPF, within which the nonproductive cough is an irritating symptom presenting in 73% to 86% of patients. Besides, breathless has been proven to be bound up with survival and tend to impaired lung function as the condition progresses. Owing to progressive worsening of symptoms and irreversible deterioration in lung function, patients will become more debilitated and progressively restricted in activity, which probably bring about a lower quality of life (QoL).

Therefore, the therapeutic strategies of IPF should be comprehensive. Alleviating symptoms, improving QoL, and postponing disease progression are of equal importance for cases. However, currently available options for conventional drugs in western medicine are limited, only pirfenidone and nintedanib are conditionally recommended by evidence-based guidelines for IPF therapy. Pirfenidone is an oral multi-target small molecule therapeutic drug with effects of anti-inflammatory, antioxidant, and anti-fibrotic. Nintedanib, a small molecule tyrosine kinase inhibitor (TKI), plays an antifibrotic role by blocking intracellular signal transduction, fibroblast proliferation, migration, and transformation. However, neither drug can take a turn for the worse in fibrosis progression, mild to moderate adverse events such as gastrointestinal symptoms and abnormal liver function often occur during the application of both drugs. Furthermore, there are insufficient proofs corroborate that the 2 medications can significantly improve the major symptoms and QoL of sufferers, and the high price seriously hinders the application of patients in China.

Therefore, some deficiencies and gaps need to be further filled. Traditional Chinese Medicine (TCM) has been used for thousands of years to treat respiratory diseases in China and some other countries in Asia. Although TCM is not the mainstream treatment for IPF, it has been increasingly accepted as a form of complementary and alternative medicine in western countries. There are many types of TCM interventions, including Chinese herb formulas (CHFs), acupuncture, moxibustion, acupoint application, and so on. They have been well authenticated that many CHFs or extracts possess the effects on regulating cytokines, signal transduction pathways, and oxidative stress, as well as inhibiting extracellular matrix synthesis. What is more exhilarating is that the latest researches provide additional evidence that some CHFs are inclined to affect epigenetic mechanism to achieve the therapeutic purpose. Due to the diversity of active ingredients in CHF compositions and the potential synergistic effect among them, which enable them to have a wide-ranging targets and multiple therapeutic mechanisms. Moreover, acupuncture, moxibustion, and acupoint application also have the functions of regulating and improving inflammation and immunity.

In recent years, a great quantity of clinical trials has been carried out in the treatment of IPF by multiple types of TCM interventions alone or in combination with conventional western medicine measures. Many trials have shown that these TCM interventions seem to have positive significance for IPF treatment, especially for the improvement of symptoms and QoL. Subsequently, the number of SRs and meta-analyses pooling these results increased significantly. Currently, there is still no overview of systematic review (OoSR) to synthesize the evidences of effectiveness of multiple TCM interventions, either alone or combined with routine western medicine measures, on the main symptoms and QoL of IPF patients in these SRs. Only 1 OoSR protocol has been published, which mainly focuses on CHFs as intervention in the therapy of pulmonary fibrosis, and the indicator of symptoms improvement is limited to TCM CHFs as intervention in the therapy of pulmonary fibrosis, and the indicator of symptoms improvement is limited to TCM. Consequently, for the sake of systematically collecting, evaluating, and summarizing the proofs in these SRs, we project to perform an OoSR and drafted this protocol. This OoSR will officially assess the methodological quality of SRs included and the certainty of evidence in SRs, and we will implement a narrative synthesis of the evidence-based of our interest from the selected SRs.
3.2. Eligibility criteria

3.2.1. Types of reviews. We will include published and peer-reviewed SRs based on RCTs, and provide meta-estimates of the indicators of main symptoms (cough, dyspnea) and/or QoL. SRs published only in abstract, without meta-analyses, non-SRs, or other overviews will be excluded. We will not place restrictions on publication time of SRs and RCTs included.

3.2.2. Participants. We will restrict our overview to studies of human patients with IPF in stable stage. We will exclude meta-analyses of trials exclusively populations in IPF with acute exacerbation or IPF in stable period with other respiratory diseases.

3.2.3. Interventions. TCM therapy alone or combined with routine western medicine measures should be applied in the treatment group. TCM interventions comprise CHFs, acupunct-ure, moxibustion, and acupoint application.

3.2.4. Comparisons. Intervention measures in the control group we defined consist of conventional pharmacotherapy, placebo, oxygen therapy, and no treatment.

3.2.5. Outcomes

3.2.5.1. Primary outcomes. We are interested in indicators of major symptoms (dry cough, dyspnea) and QoL improvement, and therefore all reliable measurements of them will meet the criteria, such as TCM symptom score (dry cough, dyspnea), the St.George’s respiratory questionnaire, Leicester cough questionnaire, the breathing problems questionnaire, the MOS item short from health survey, a tool to assess QoL in IPF, and so on.

3.2.5.2. Secondary outcomes. The types of secondary outcome measurements contain improvement of minor symptoms, total clinical effective rate, pulmonary function, blood gas analysis, 6-minute walking test, adverse events, acute exacerbation, all-cause mortality, IPF-related mortality.

3.3. Information sources

An overall retrieval will be performed in the following digital databases: PubMed, EMBASE, CINAHL (Cumulative Index to Nursing and Allied Health Literature), Cochrane Library and Epistemikos, China National Knowledge Infrastructure, Chinese Biomedical Literature Database, WangFang Database, and Chinese Scientific Journal Database. The range of search time is from their inceptions to June 2020. The language of published SRs will be restricted in English and Chinese. The bibliographies of identified articles and gray literature will also be searched.

We will seek help from experts in the IPF domain to confirm other potential SRs. A medical librarian will establish and run a retrieval formula to identify relevant studies. The search strategy will undergo internal peer review. The document retrieval strategy in PubMed is as follows and we will adapt it for each database.

Search Strategy in PubMed:

- ("idiopathic pulmonary fibrosis"[MeSH Terms] OR "idiopathic pulmonary fibrosis"[Title/Abstract]) AND ("medicine, chinese traditional"[MeSH Terms] OR "acupuncture"[MeSH Terms] OR "acupuncture therapy"[MeSH Terms] OR "moxibustion"[MeSH Terms] OR "Electroacupuncture"[MeSH Terms] OR "Injection"[MeSH Terms] OR "Transdermal Patch"[MeSH Terms] OR "Chinese herbal"[Title/Abstract] OR "Chinese medicine"[Title/Abstract] OR "herb"[Title/Abstract] OR "decoction"[Title/Abstract] OR "traditional chinese medicine prescription"[Title/Abstract] OR "traditional chinese compound"[Title/Abstract] OR "Acupuncture"[Title/Abstract] OR "Electroacupuncture"[Title/Abstract] OR "acup"[Title/Abstract] OR "moxibustion"[Title/Abstract] OR "injection"[Title/Abstract] OR "Transdermal Patch"[Title/Abstract] OR "Point Application"[Title/Abstract] OR "Tianju Therapy"[Title/Abstract] OR "Acupoint"[Title/Abstract] OR "Acupuncture Application"[Title/Abstract] OR "Acupuncture Point Paste"[Title/Abstract] OR "Acupoint Herbal Patching"[Title/Abstract] OR "External Application"[Title/Abstract] OR "Empiplastrum Therapy"[Title/Abstract] AND ("systematic filter" OR "Review" OR "meta analysis" OR "meta-synthesis" OR "meta-synthesis"[Title/Abstract] OR "meta-synthesis"[Title/Abstract] OR "review"[Title/Abstract])

3.4. Studies selection and data collection process

The selection of SRs and also the extraction of key characteristics will progress in duplicate. We will use NoteExpress V3.0 software to manage retrieved studies and eliminate duplications. First, 2 investigators (ZHY and PLJ) will independently and separately screen the titles and abstracts, and further select eligible literatures by reading the full texts. The consistency of screening and selection will be measured by Kappa statistics, and any disagreements will be resolved by discussion or arbitration by a third senior reviewer (LXD). The reviewers will document all studies that do not meet the criteria and provide reasonable reasons for exclusion in the process. Inconformity studies with justifications will also be reported in the table.

Two reviewers (ZHY and LC) will independently extract key characteristics and data from all standard-compliant systematic reviews and meta-analyses, and use predefined form designed to summarize the information of each SR. Any divergence will be adopted by discussion or adjudicated by a third senior reviewer (LXD). If any important information elements are missing, inadequate or inconsistent reporting in SRs, we will attempt to contact the authors for desired data or obtain directly from the original study.

The reviewers will collect following data items from each SR included: basic informations of SR (title, author, country, publication time, funding, conflicts of interest); basic informations of primary study (author, country, publication time, study design); search strategy (database, time range, retrieval date); population characteristics (age, sex, race, course of disease, diagnostic criteria, setting); interventions in treatment group (type, dosage form, dose, intensity, frequency, course of treatment); interventions in control group (type, dosage form, dose, intensity, frequency, course of treatment); primary and secondary outcome measures; results (risk of bias in original studies, total number of studies and cases, meta-estimate, detection and reporting of subgroups).

3.5. Assessment of methodological quality of included reviews

Assessment of multiple systematic reviews-2 (AMSTAR-2) is a tool used to measure the methodological quality, which has been demonstrated relatively simple, reliable, and effective for...
methodological evaluation of SRs.\textsuperscript{135} The AMSTAR-2 tool comprises 16 items, covering the whole process of SR in topic selection, design, registration, information extraction, data statistical analysis, and discussion. According to the guidance document of AMSTAR-2, the overall methodological quality of each SR may be classified as “high,” “moderate,” “low,” and “very low.” Scores will be completed and calculated through the online AMSTAR-2 checklist (https://amstar.ca/Amstar_Check list.php) in this study.

Risk of bias in systematic reviews (ROBIS) is a new tool for assessing the bias risk in the design, execution, and analysis processes of SRs,\textsuperscript{136} which mainly consist of 3 phases: evaluating the goodness of fit between the issue to be solved in SRs and the target one, identifying the extent of risk of bias in production process, and judging the overall bias risk of SRs. The responses to each iconic question in ROBIS tool mainly including “yes” “probably yes” “no” “probably no” and “no information.” We will also appraise reporting quality of each SR included by referring to criteria specified in Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA),\textsuperscript{17} and further embody the integrity and transparency of this overview.

The quality assessment of methodology will be separately completed by 2 reviewers (ZHY and LC), and in case of any disagreements in the process will be settled through discussion or judged by a third senior reviewer (LXD) if necessary. We will calculate kappa statistics to understand the consistency of assessments by AMSTAR-2, ROBIS, and PRISMA between 2 reviewers. Kappa $<0.2$ is considered as “poor agreement,” $0.2$ to $0.4$ as “fair agreement,” $0.4$ to $0.6$ as “moderate agreement,” $0.6$ to $0.8$ as “substantial agreement,” and $0.8$ to $1.0$ as “almost perfect agreement.” Based on the evaluation results, we will not exclude any SR during this phase. This overview will not reassess the risk of bias of primary studies in SRs included; instead, we will collect their results of individual study as well as evaluating methods, and report any findings in the review.

3.6. Assessment of quality of evidence in included reviews

The quality of evidence pooled within the SRs and meta-analyses will be assessed independently by 2 overview authors (ZHY and NMH) using Grading of Recommendations Assessment, Development and Evaluation (GRADE) method, which is an algorithm developed to assign levels of meta-analysis evidence for OoSR study types.\textsuperscript{136} According to this approach, RCTs begin to be identified as high-quality evidence to support the estimation of intervention effects. Five factors including study limitations/risk of bias, publication bias, imprecision, inconsistency and indirectness that may lead to reduce the level of evidence, and 3 factors including large magnitude of an effect, dose–response gradient, and effect of plausible residual confounding tend to increase the quality of evidence. The overall quality of proof will be judged as “high,” “moderate,” “low,” or “very low.” Differences over the rating quality of evidence will be reached an agreement through consultation or adjudication by a third senior researcher (LXD).

3.7. Data synthesis

This overview is designed to collect and present the current evidence body of improvement in symptoms and QoL of IPF treated with various TCM interventions alone or combined conventional western medicine measures, and data from primary studies may be pooled to estimate for several times in relevant SRs. Thus, this study will not consider the overlap of original studies between SRs, and we will not perform meta-analysis. However, we will assess it to understand the overall extent of coverage, describe the number and scale of overlapping primary studies with their weight in the analysis through a narrative way, and then create a table to visually demonstrate it.

We will generate and present a summary of the results of primary and secondary outcome measures in all included SRs. When a meta-analysis was performed, we will report the relative risk, odds ratio or hazard ratio for dichotomous outcomes, and weighted mean difference or standard mean difference (SMD) for continuous outcomes with their 95% confidence intervals and heterogeneity estimates.

We will employ the PRISMA flow chart to summarize the screening process of the studies, report the key characteristics extracted from the SRs using a predesigned table, present the results of methodological quality assessed by AMSTAR-2, ROBIS, and PRISMA in tabular form, demonstrate the pool effect estimates with their confidence of evidence adopting forms and forest plots.

3.8. Strengths and limitations of this study

- This study will be the first OoSR to integrate and summarize the relevant evidence of treating IPF with TCM intervention measures.
- We will use multiple tools to formally assess the quality of methodology and evidence included in the SRs to reflect the integrity and transparency of this article.
- The language of literature retrieval is limited to Chinese and English, which may lead to omission.

4. Discussion

We intend to carry out a formal OoSR and have drafted this manuscript of protocol. The overview will be summarized evidence based on the effectiveness of multiple TCM interventions alone or in combination with conventional western medicine measures to alleviate the main symptoms and QoL of patients with IPF; in addition, other proofs of efficacy and safety will also be generalized. This study will systematically collect, evaluate, and synthesize these results, which may benefit clinicians, policy deciders, and clinical guideline makers. We expect that the results of this overview will highlight the gaps in current evidence, which will provide suggestions for future RCTs and SRs.

Author contributions

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