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Evaluation of the user seal check on gross leakage detection of 3 different designs of N95 filtering facepiece respirators

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Background: The use of N95 respirators prevents spread of respiratory infectious agents, but leakage hampers its protection. Manufacturers recommend a user seal check to identify on-site gross leakage. However, no empirical evidence is provided. Therefore, this study aims to examine validity of a user seal check on gross leakage detection in commonly used types of N95 respirators.

Methods: A convenience sample of 638 nursing students was recruited. On the wearing of 3 different designs of N95 respirators, namely 3M-1860s, 3M-1862, and Kimberly-Clark 46827, the standardized user seal check procedure was carried out to identify gross leakage. Repeated testing of leakage was followed by the use of a quantitative fit testing (QNFT) device in performing normal breathing and deep breathing exercises. Sensitivity, specificity, predictive values, and likelihood ratios were calculated accordingly.

Results: As indicated by QNFT, prevalence of actual gross leakage was 31.0%-39.2% with the 3M respirators and 65.4%-65.8% with the Kimberly-Clark respirator. Sensitivity and specificity of the user seal check for identifying actual gross leakage were approximately 27.7% and 75.5% for 3M-1860s, 22.1% and 80.5% for 3M-1862, and 26.9% and 80.2% for Kimberly-Clark 46827, respectively. Likelihood ratios were close to 1 (range, 0.89-1.51) for all types of respirators.

Conclusions: The results did not support user seal checks in detecting any actual gross leakage in the donning of N95 respirators. However, such a check might alert health care workers that donning a tight-fitting respirator should be performed carefully.
a respirator), and the ratio reflects the degree of leakage. To make it simple, QNFT is “an assessment of the adequacy of respirator fit by numerically measuring the amount of leakage into the respirator.” To assess any possible leakage, most of the preset fit testing systems require the wearer with a donned N95 respirator to perform a series of exercises, including a static portion without body movement (ie, normal and deep breathing) and a dynamic portion with both normal breathing and designated movements (ie, side-to-side head movement, up and down head movement, talking or reading a standard set of passages, grimacing, bending over). These exercises simulate the common working activities in the clinical environment; hence, the results of QNFT can conservatively reflect any possible leakage. The characteristics of objective measurement and an automatic process increase the significance of QNFT, which now serves as the gold standard in worldwide guidelines and research literature.

Although QNFT warrants reliability of N95 respirator usage, any significant change in facial morphology, body weight, or donning method may contribute to on-site leakage. Therefore, even if a given respirator is considered fit by the recognized fit testing, a user seal check is still suggested in order to check the appropriateness of every donning. A user seal check is a self-examination procedure for wearers of N95 respirators to identify on-site gross leakage through repeated visual checks on obvious gaps and positive and negative pressure checks on the seal. N95 respirator manufacturers and some authorities recommend that this practice should be routinely carried out by frontline health care workers. Previous experimental studies on U.S. subjects suggested that the user seal check improved the donning of N95 respirators. Although the scale of these studies was not large enough (N = 11 and N = 64), the rigor of the experimental design and the use of repeated measurements increased the credibility of the results. Some guidelines suggest that no further fit testing is needed for a given respirator if subjective leakage is detected by a user seal check. This check may substitute for fit testing if fit testing is not available because of logistic difficulties or failure of the fit testing system. Several recent studies, nevertheless, have consistently rejected this suggestion of substitution. In Hong Kong, a retrospective study demonstrated that the user seal check failed in determining the fit of N95 respirators because its false-positive (19%-31%) and false-negative (24%-40%) rates were too high among 84 Chinese nursing staff. Lam et al further supported the previously mentioned claim through 2 prospective studies on Chinese nursing students (N = 204 and N = 349, respectively) by presenting the sensitivity (15%-23%), specificity (89%-98%), positive (46%-63%) and negative (60%-67%) predictive values, and Kappa values (-0.031 to -0.047, P > .05) of the user seal check. In a Canadian study, similar results and conclusions were also reported on research involving 784 health care workers. The PortaCount Pro+ Respirator Fit Tester 8038 (TSI, St Paul, MN) was adopted to measure the actual gross leakage. The details, including technologic information and protocol setting of this system, were introduced elsewhere. Currently, this system is widely adopted in public and private hospitals in Hong Kong and is used as a local quality control standard by respirator manufacturers.

Repeated testing of actual gross leakage through QNFT

The PortaCount Pro+ Respirator Fit Tester 8038 (TSI, St Paul, MN) was adopted to measure the actual gross leakage. The details, including technologic information and protocol setting of this system, were introduced elsewhere. Currently, this system is widely adopted in public and private hospitals in Hong Kong and is used as a local quality control standard by respirator manufacturers. The PortaCount Pro+ Respirator Fit Tester 8038 (TSI, St Paul, MN) was adopted to measure the actual gross leakage. The details, including technologic information and protocol setting of this system, were introduced elsewhere. Currently, this system is widely adopted in public and private hospitals in Hong Kong and is used as a local quality control standard by respirator manufacturers.
0–200). Each FF is the ratio of a challenge agent (ambient particles) concentration outside the respirator to the concentration of a challenge agent that leaks into the inside of the respirator. A FF <100 under the normal breathing and deep breathing exercises is defined as actual gross leakage. The higher the FF, the lesser amount of leakage. The PortaCount Pro+ Respirator Fit Tester 8038 went through a daily check procedure to warrant the sufficiency of ambient particles and performance of the system.

Selection of N95 respirators

The cup-shaped 3M-1860s (3M, Minneapolis, MN) (3M-A), 3-panel designed 3M-1862 (3M) (3M-B), and pouch-type Kimberly-Clark 46827 (Kimberly-Clark, Neenah, WI) (KC-C) N95 respirators were selected. The selection was based on 3 reasons. First, these 3 models are typically and widely used in local clinical settings. Second, previous studies demonstrated that the prevalence of the fit-testing failure rate was approximately 40% for the 3M models. It is estimated that the prevalence of actual gross leakage would be lower than that. According to our previous experience on QNFT, the obtained FFs of normal and deep breathing were generally higher than that of the other exercises. Extreme prevalence rates, such as <20% or >80%, greatly deteriorated the accuracy of both positive and negative predictive values. The prevalence rate of actual gross leakage among the 3 different designs of respirators should be within the optimal range for calculation of the predictive values. Finally, it is unrealistic and unnecessary to include all types of N95 respirators for fit testing. In general, most of them were designed under
these 3 categories. This study used a representative respirator from each category; hence, the results could provide a better evaluation on the validity of the user seal check.

**Ethical considerations**

Ethical approval was sought from the President’s Advisory Committee on Research and Development, The Open University of Hong Kong. An invitation letter was prepared. Information about the purposes of the study, right to confidentiality, right to withdrawal, and duration of fit testing and a consent statement were provided. Participants’ written consent was obtained prior to data collection.

**Data analysis**

Descriptive statistics were used to present the participants’ demographic variables and the results of the user seal check (ie, positive, negative) and actual gross leakage (ie, pass, fail). Independent sample t tests were undertaken to test for the difference between participants in the 2 groups (positive and negative user seal checks) with regard to their results of FF. The significance level was set at P < .05. The results of the user seal check compared with the gold standard QNFT on actual gross leakage through cross tabulation were used to compute the following diagnostic parameters: sensitivity, specificity, positive and negative predictive values, and likelihood ratios (refer to the “NOTE” in Table 4 for the respective formula).

The sensitivity (ability of the user seal check to correctly identify a case with gross leakage) and specificity (ability of the user seal check to correctly identify a case without gross leakage) were calculated from the measurements. According to the evaluation of the performance characteristics of diagnostic tests in the medical literature, a combination of high sensitivity and specificity (>80%) is equally important and is an indication of the characteristics of the user seal check itself (ie, test’s ability). Because the user seal check is applied in clinical practice, additional performance evaluations, positive and negative predictive values, are necessary to help interpret the results. A value ≥80% is considered to be satisfactory for both predictive values.

Another method for describing the screening accuracy of the user seal check is the likelihood ratios. The ratios have an advantage over the aforementioned sensitivity, specificity, and predictive values because they are independent of the prevalence of actual gross leakage and hence can be applied across settings and populations.

According to the recommendation of using probabilistic reasoning, the user seal check is moderately good at ruling in leakage if the positive likelihood ratio is >2. Conversely, such a check is moderately good at ruling out leakage when the negative likelihood ratio is <0.5. Values close to 1.0 represent that the user seal check is useless in predicting the presence or absence of actual gross leakage.

**RESULTS**

A total of 638 nursing students participated in the study. For those who did not participate or were excluded, the reasons included 6 who were physically unfit (eg, asthmatic attack, influenza), 2 who were absent (eg, withdrew from the program), and 1 who had unshaven bushy facial hair. The participants ranged from 18-30 years of age, and 25.5% of them were men (n = 163). Their mean height was 163.1 ± 7.69 cm, and their weight was 56.2 ± 11.14 kg. As far as the FFs between a group of positive and negative user seal checks were concerned, generally the participants with negative user seal checks obtained an observable higher score in the 3 types of respirators compared with those with a positive check. However, only significant differences were found regarding the use of the KC-C respirator (t = 2.01-2.75, P = .006-.045) (Table 1).

The results of the user seal check compared with that of actual gross leakage performed by QNFT are presented in Tables 2 and 3. Among the participants, 25.7% (n = 164), 20.4% (n = 130), and 24.5% (n = 156) reported positive user seal checks regarding the 3M-A, 3M-B, and KC-C respirators, respectively.

However, the prevalence of actual gross leakage identified by QNFT in normal breathing was 34.3%-39.2% in both of the 3M res-
pirator models and 65.8% in the KC-C model. In deep breathing, the prevalence was similar, 31.0%-35.0% in both of the 3M respirator models and 65.4% in the KC-C model. Testing on the 3 different respirators in the 2 breathing conditions, the sensitivity and specificity of the user seal check for identifying a case with actual gross leakage ranged from 21.5%-28.0% and 75.2%-81.7%, respectively (Table 4).

Extreme prevalence rates caused deviation of positive predictive values and negative predictive values. According to the current resultson prevalence rates of actual gross leakage (ie, between 31.0% and 65.8%), further evaluation on the characteristics of the test’s performance of positive and negative predictive values was regarded as appropriate.

Regarding the test of the 3M respirators, the positive predictive values of a positive user seal check for estimating the probability of actual gross leakage ranged from 34.6%-42.7%, whereas the negative predictive values ranged from 62.0%-69.9%. In contrast, the test of the KC-C respirator showed different patterns, which were of relatively high positive predictive values (69.9%-74.4%) and low negative predictive values (36.1%-36.9%).

Finally, both the positive and negative likelihood ratios indicating the post-test probability of the user seal check were close to 1.0 (positive likelihood ratio range, 1.08-1.51; negative likelihood ratio range, 0.89-0.98). Table 4 presents the detailed results.

### DISCUSSION

Concerning the 3M respirators, the observed differences of the FFSs between a group of participants with positive and negative user seal checks were minimal and these differences were not statistically significant at all (123.8-134.5 vs 127.2-141.9, respectively). Although a significant difference was found for use of the KC-C respirator, the mean score of the FF of a group of negative user seal

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### Table 1

| Type of respirator (testing condition) | Positive user seal check | Negative user seal check | t value | P value |
|---------------------------------------|--------------------------|--------------------------|---------|---------|
| 3M-A (normal breathing) | 164; 123.8 ± 80.8 | 474; 127.2 ± 77.0 | 0.478 | .63 |
| 3M-A (deep breathing) | 164; 133.0 ± 76.3 | 474; 133.3 ± 73.7 | 0.035 | .97 |
| 3M-B (normal breathing) | 130; 131.3 ± 74.3 | 508; 136.9 ± 73.0 | 0.787 | .43 |
| 3M-B (deep breathing) | 130; 134.5 ± 72.5 | 508; 141.9 ± 70.7 | 1.065 | .29 |
| KC-C (normal breathing) | 156; 70.0 ± 65.0 | 482; 86.9 ± 67.2 | 2.745 | .006 |
| KC-C (deep breathing) | 156; 75.6 ± 66.4 | 482; 87.9 ± 66.4 | 2.012 | .045 |

NOTE. Values are n; mean ± SD or as otherwise indicated. KC-C, Kimberly-Clark 46827; 3M-A, 3M-1860s; 3M-B, 3M-1862. *Nonsignificant.

### Table 2

| User seal check | Fit testing in normal breathing (gold standard) | Total |
|-----------------|-----------------------------------------------|-------|
| 3M-A            |                                               |       |
| Positive (detected leakage) | 70 | 94 | 164 |
| Negative (no leakage) | 180 | 294 | 474 |
| Totals | 250 | 388 | 638 |
| 3M-B            |                                               |       |
| Positive (detected leakage) | 47 | 83 | 130 |
| Negative (no leakage) | 172 | 336 | 508 |
| Totals | 219 | 419 | 638 |
| KC-C            |                                               |       |
| Positive (detected leakage) | 116 | 40 | 156 |
| Negative (no leakage) | 304 | 178 | 482 |
| Totals | 420 | 218 | 638 |

KC-C, Kimberly-Clark 46827; 3M-A, 3M-1860s; 3M-B, 3M-1862.

### Table 3

| User seal check | Fit testing in deep breathing (gold standard) | Total |
|-----------------|-----------------------------------------------|-------|
| 3M-A            |                                               |       |
| Positive (detected leakage) | 61 | 103 | 164 |
| Negative (no leakage) | 162 | 312 | 474 |
| Totals | 223 | 415 | 638 |
| 3M-B            |                                               |       |
| Positive (detected leakage) | 45 | 85 | 130 |
| Negative (no leakage) | 153 | 355 | 508 |
| Totals | 198 | 440 | 638 |
| KC-C            |                                               |       |
| Positive (detected leakage) | 109 | 47 | 156 |
| Negative (no leakage) | 308 | 174 | 482 |
| Totals | 417 | 221 | 638 |

KC-C, Kimberly-Clark 46827; 3M-A, 3M-1860s; 3M-B, 3M-1862.
In some occasions, participants who felt the gross leakage of a given respirator (assessed by the user seal check) passed the fit testing in normal and deep breathing (ie, false-negative rate: 28.0%-31.3%). This was not surprising because the fit testing examines the degree of leakage during a series of exercises, whereas the actual gross leakage is computed only based on the measured FF on the static portion. However, the actual gross leakage that was found in the KC-C respirators was still frequent (up to 65%). It may imply that a higher failure rate on fit testing of this model was expected among the Chinese population. This warrants future empirical testing.

Concerning donning with 3M respirators, the prevalence of actual gross leakage in this study (31%-39%) was slightly lower than that of the failure rate of fit testing in previous studies (35%-43%).

This was not surprising because the fit testing examines the degree of leakage during a series of exercises, whereas the actual gross leakage is computed only based on the measured FF on the static portion. However, the actual gross leakage that was found in the KC-C respirators was still frequent (up to 65%). It may imply that a higher failure rate on fit testing of this model was expected among the Chinese population. This warrants future empirical testing.

The positive user seal checks ranged from 20%-25% in the current study, which is comparable with that of previous studies (10%-29%).

In some occasions, participants who felt the gross leakage of a given respirator (assessed by the user seal check) passed the fit testing in normal and deep breathing (ie, false-positive rate: 19.3%-24.8%). In contrast, more frequently, participants subjectively expressed the good fit of a given respirator, but the actual gross leakage was still detected by QNFT in normal or deep breathing mode (ie, false-negative rate: 72.0%-78.5%). Similar observations were consistently reported in the literature, which reinforced that the leakage between the face and respirator is unlikely identified by human sense.

The literature indicated the sensitivity and specificity of the user seal check in determining the fit of N95 respirators were 15%-23% and 89%-90%, respectively. Such results suggested that the user seal check cannot replace the fit testing because the fit testing simulated a series of head and body movement on leakage detection. The current study hypothesizes that the user seal check may contribute to the detection of gross leakage in normal and deep breathing, which is important information during on-site donning.

However, based on the unacceptable sensitivity (21.5%-28.0%) and specificity (75.2%-81.7%) in the current results, the hypothesis that the user seal check is able to detect actual gross leakage in normal and deep breathing is also rejected. Interestingly, the sensitivity and specificity of the user seal check in determining the fit of N95 respirators and in detecting gross leakage are fairly comparable. Such a phenomenon may imply that leakage in normal and deep breathing shall predict the result of fit testing. However, further empirical testing is warranted to work out this possibility.

To illustrate the clinical implication of the current results of predictive values and likelihood ratios, by using an example of donning the 3M-A respirator, an interpretative summary of the validity and test performance of the user seal check for identifying actual gross leakage is presented as follows.

The prevalence of the actual gross leakage was approximately 37% (39.2%-35.0%, as indicated in Table 4) when donning the given respirator, which was interpreted as pretest probability. Before conducting any kind of testing, a randomly selected nurse wearing the 3M-A respirator would have a 37% chance of having actual gross leakage.

Predictive values vary according to the prevalence of the actual gross leakage. High prevalence tends to have higher positive predictive value, whereas low prevalence tends to have higher negative predictive value. The current prevalence of actual gross leakage was approximately 37% as mentioned, which was satisfactory in further calculating post-test probability.

This nurse then performs a routine user seal check to ensure the absence of subjective gross leakage. Likelihood ratios help to calculate post-test probability of actual gross leakage. The current results indicated that positive and negative likelihood ratios were 1.13 and 0.96, respectively. Therefore, with these ratios, the chance of the nurse with a positive user seal check having actual gross leakage is 41.8% (37% × 1.13), whereas a negative user seal check reduces the chance of the nurse having such leakage from 37% to 35.5% (37% × 0.96). Figure 3 illustrates such probabilities through the nomogram. Based on this example, the practice of the user seal check provides limited information in predicting the actual gross leakage when donning the given respirator.

Several limitations deserve discussing. One is that only 2 brands of respirators (ie, 3M and Kimberly-Clark) were used for gross leakage detection through QNFT. Although our aim was not to investigate the prevalence of gross leakage of all different models of N95 respirators, it was possible that different results might be obtained with different respirators. Nevertheless, we believe that the results support the unacceptably low sensitivity and positive predictive value and futile likelihood ratios of the user seal check in identifying gross leakage of respirators. Apart from this, participants’ characteristics might affect the passing rate of fit testing. First, most participants were novice users, except that some worked in clinical settings as health care workers. Previous experience and knowledge of donning

### Table 4

Results of the user seal check compared with quantitative fit testing in normal and deep breathing (N = 638)

| Diagnostic parameters          | Normal breathing | Deep breathing | Normal breathing | Deep breathing | Normal breathing | Deep breathing |
|-------------------------------|------------------|----------------|------------------|----------------|------------------|----------------|
| Positive user seal check (%)  |                  |                |                  |                |                  |                |
| Fit-testing failure rate (%)  | 25.7             | 35.0           | 20.4             | 31.0           | 65.8             | 65.4           |
| True positive                 | 70               | 61             | 47               | 45             | 116              | 109            |
| False positive                | 94               | 103            | 83               | 85             | 40               | 47             |
| False negative                | 180              | 162            | 172              | 153            | 304              | 308            |
| True negative                 | 294              | 313            | 336              | 355            | 178              | 174            |
| Sensitivity (%)               | 28.0             | 27.4           | 21.5             | 22.7           | 27.6             | 26.1           |
| Specificity (%)               | 75.8             | 75.2           | 80.2             | 80.7           | 81.7             | 78.7           |
| False-positive rate (%)       | 24.2             | 24.8           | 19.8             | 19.3           | 18.4             | 21.3           |
| False-negative rate (%)       | 72.0             | 72.7           | 78.5             | 77.3           | 72.4             | 73.9           |
| Accuracy (%)                  | 57.1             | 58.5           | 60.0             | 62.7           | 46.1             | 44.4           |
| Positive predictive value (%) | 42.7             | 37.2           | 36.2             | 34.6           | 74.4             | 69.9           |
| Negative predictive value (%) | 62.0             | 65.8           | 66.1             | 69.9           | 36.9             | 36.1           |
| Positive likelihood ratio     | 1.16             | 1.10           | 1.08             | 1.18           | 1.51             | 1.23           |
| Negative likelihood ratio     | 0.95             | 0.97           | 0.98             | 0.96           | 0.89             | 0.94           |

NOTE. Sensitivity = true positive / (true positive + false negative). Specificity = true negative / (false positive + true negative). False-positive rate = false positive / (false positive + true negative). False-negative rate = false negative / (true positive + false negative). Accuracy = (true positive + true negative) / N. Positive predictive value = true positive / (true positive + false positive). Negative predictive value = true negative / (true negative + false positive). Positive likelihood ratio = sensitivity / (1 – specificity). Negative likelihood ratio = (1 – sensitivity) / specificity.
an N95 respirator were insufficient, which may influence the passing rate of QNFT on gross leakage detection. This is different from a previous study, where Viscusi et al recruited subjects who were required to pass a standard QNFT. Therefore, the current results may underestimate the passing rate of QNFT. Second, Asian participants’ weight (reported here) and facial anthropometries (eg, face length, face width; not reported here) were significantly different from that of non-Asian people, which hence affects the passing rate of QNFT. Such differences might reduce the generalizability of the results but increase the specificity of that to Asian populations. Concerning environmental factors, the average monthly humidity in Hong Kong (subtropical climate) ranged from 67%-86% in 201424; yearly humidity computed from 2000-2014 was 78.1%. Most hospitals are only equipped with central air conditioning systems, and indoor humidity of wards may vary from 65%-75%. Relatively high humidity might underestimate the positive result of the user seal check in the current study because participants rely on subjective comparison between inward and ambient air to detect the leakage. Unlike well-controlled internal hospital settings in other regions, these environmental differences may limit the current results in that they are less relevant to other settings but are highly situation-specific results for many hospitals located in subtropical climate regions.

Further studies are recommended to replicate the works from Myers et al23 and Viscusi et al,24 which examined the effectiveness of the user seal check on improving N95 respirator donning among Asian wearers. Another study may investigate how the change of body weight and facial anthropometries of Asian health care workers contributes to leakage of N95 respirators.

CONCLUSIONS

It is difficult to cite any evidence on the value of the user seal check on determining the fit of N95 respirators or even detecting any actual gross leakage during normal and deep breathing. However, the practice of the user seal check might contribute to enhancing the donning procedure of a respirator. Although the leakage is difficult to identify by subjective human sense, this check draws our attention to the issue that the tight-fitting respirator should be worn carefully.

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