Review Article

Survival of Exfoliated Epithelial Cells: A Delicate Balance between Anoikis and Apoptosis

Kaeffer Bertrand

UMR-Phan 1280, Place Alexis Ricordeau, 44000 Nantes, France

Correspondence should be addressed to Kaeffer Bertrand, bertrand.kaeffer@univ-nantes.fr

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The recovery of exfoliated cells from biological fluids is a non-invasive technology which is in high demand in the field of translational research. Exfoliated epithelial cells can be isolated from several body fluids (i.e., breast milk, urines, and digestive fluids) as a cellular mixture (senescent, apoptotic, proliferative, or quiescent cells). The most intriguing are quiescent cells which can be used to derive primary cultures indicating that some phenotypes retain clonogenic potentials. Such exfoliated cells are believed to enter rapidly in anoikis after exfoliation. Anoikis can be considered as an autophagic state promoting epithelial cell survival after a timely loss of contact with extracellular matrix and cell neighbors. This paper presents current understanding of exfoliation along with the influence of methodology on the type of gastrointestinal epithelial cells isolated and, finally, speculates on the balance between anoikis and apoptosis to explain the survival of gastrointestinal epithelial cells in the environment.

1. Introduction

The recovery of exfoliated cells from biological fluids is a non-invasive technology which is in high demand in the field of translational research as well as during long-term experiments designed to minimize the sacrifice of long-lived or precious animals. Exfoliated epithelial cells can be used as surrogate for tissue biopsies in predicting changes in gene expression, DNA methylation, DNA damage, protein expression, and accumulation of dietary components [1, 2]. Exfoliation has also been described as an active biochemical process linked to the homeostasis of gut epithelium [3–6]. It is believed that epithelial cells, loosing contact with companion cells (like fibroblasts) as well as extracellular matrix, enter anoikis [7]. Recent in vitro models are opening new avenues to conceptualize the exfoliation of gut epithelia in order to explain this highly context-dependent phenomenon. Loss of extracellular matrix contact induces autophagy in normal epithelial cells, and autophagy promotes the survival of detached cells during both anoikis and lumen formation in 3D epithelial cell culture [8, 9]. Under these assumptions, exfoliation may be understood as a natural process to remove external cells from the luminal surface of an epithelium. Consequently, exfoliation may have a physiological role by allowing the formation of a lumen, preserving the epithelium’s architecture, and, we can surmise, by providing sufficient flexibility to preserve the physical integrity of epithelia and allow its growth. In three-dimensional epithelial cell cultures, both autophagy and apoptosis are observed during lumen formation [8, 9]. By loosing contact with the original mucosa, exfoliated epithelial cells have to activate autophagy as a survival mechanism to endure starvation. Starving cells are degrading cytoplasmic material to generate both nutrients and energy [10]. Indeed, quiescent exfoliated epithelial cells without signs of apoptosis can be recovered under specific clinical conditions in gastric fluid aspirates [4] or by suction from breast glands [11, 12] or extensive rinsing at the end of routine colonoscopy [13]. Many exfoliated quiescent epithelial cells can be cultured suggesting that detachment-induced autophagy contributes to the viability of these cells. However, the survival of quiescent epithelial cells outside the tissue structure is highly variable. Human mammary epithelial cells die after 24–48 hours of detachment; certain epithelial cells, notably rat intestinal epithelial cells, perish within a few hours following substratum detachment [9, 14].

This paper presents current understanding of exfoliation along with the influence of methodology on the isolation of exfoliated gut epithelial cell phenotypes and, finally,
speculates on the balance between anoikis and apoptosis to explain the survival of epithelial gut cells in the environment.

2. Exfoliation of Epithelial Cells: A Source of Reliable Biological Information on the Mucosa Physiology?

Exfoliation can be understood as a natural process to preserve tissue architecture. Following that first point of view, exfoliation is a loss of cellular material retaining the basic cytological features of typical cells (plasma membrane, cytoplasm, and nucleus). Exfoliated epithelial cells can be obtained from a wide range of mucosae whose line body passages and cavities communicating directly or indirectly with the exterior like mammary glands, oral, bronchial, urothelial, or gastrointestinal epithelia. Epithelia can be classified as simple cylindrical cell monolayers like colon or pseudostratified like urothelium [17]. According to histology, epithelia are organized in functional units containing different cellular compartments (stem, proliferative, mature, or functional and senescent) as shown in Figure 1. These functional units are always at the interface with the environment. At a given time point, a mucosal epithelium is supposed to loose different categories of cells by different mechanisms of exfoliation. However, the cell turnover of these epithelial cells is driven by a delicate balance between cellular loss and proliferation. Proliferation is running on two cellular compartments, the proliferative cells capable of rapid mitosis to amplify tissue regeneration and the stem cells which are giving rise to all phenotypes by asymmetric mitosis. The speed of mitosis in proliferative compartment is dependent on cellular loss at the top of the structure and on tightly regulated cell migration along the functional units [18]. Cell migration in the small and large bowels of mice shows a strong circadian rhythm, with cell velocity maximal at 9 a.m. and minimal at 5 p.m [19]. Other rhythms which could be controlled by circadian clocks have been observed in the intestine like cellular proliferation [20, 21] or apoptosis [22]. Cell proliferation is also believed to be under the control of clockwork not only in hepatocytes [23] but also along the rat's gut [24]. Seasonal rhythms of proliferation have been described in adult rats [25, 26]. Circadian as well as seasonal rhythms in cell proliferation seem clearly relevant to the recovery of exfoliated quiescent cells retaining specific and functional biomarkers.
However, there is a second point of view where exfoliation is a loss of cells in the environment due to external mechanical forces like brushing or friction. Such forces are deeply altering the epithelium architecture but allow to yield rapidly high amounts of epithelial cells retaining phenotypes and physiological status as close as possible to the mucosal cells remaining in the epithelium. Manual exfoliation has been reported with brushing or scraping technique on oral epithelium of cheek or tongue [27], cervical [28], or rectal swabblings [29], airway epithelial cells in sputum and buccal mucosal cells obtained by rinsing the mouth or chewing-gum (betel chewers—[30]), esophageal cells [31], mammary by nipple aspirate, ductal lavage Klein et al., [12], breast milk [11], or bladder urothelial cells present in urine samples [32, 33]. Manual exfoliation has also been proposed as a way to recover intact, normal epithelial cells on tissue biopsies made on colon resection [34, 35]. Some device has also been designed to recover surface exfoliated cells of human rectal mucosa by a minimal invasive scraping [36]. The technique partially purifies the cell preparation by taking advantage of the cell’s inherent biology. Epithelial cells remained in small groups or sheets, detached from any stromal elements that may have been scraped off [34]. This observation is also true for gastric epithelial cells [4]. The problem is that in most clinical situation, there is no direct access to the mucosa and the technique simply cannot be used.

Consequently, we may wonder whether some useful biological information can be recorded from relatively low numbers of cells, isolated as a mixture of cellular phenotypes with different physiological states? Magnetic beads and antibodies are well-known systems to recover low numbers of epithelial cells in biological fluids or to recover highly purified epithelial phenotypes. Antibodies against human cell surface antigens like anti-Hep [48], or antiepithelial Surface marker [4] from an original antibody described by Moldenhauer et al., [49] or anti-Ber-Ep4 [34, 35] from an original antibody described by Sheibani et al. [50] labeled with paramagnetic particles are used to capture and to purify epithelial cells. Viability of recovered cells by this exfoliation/enrichment method as well as by other similar techniques is on average between 90 and 100% by the Trypan blue exclusion assay. However, in our experience, shieving is necessary to perform immunocapture. With samples containing sheets of 5 to 30 cells, shieving or Percoll gradients are not adapted as this precious cellular material is discarded by the purification process. In addition, microbial contaminations are not easily removed by such density gradient methods because microbes are tightly associated with cells. Even with the manual exfoliation technique [35], the exfoliated cell populations may contain other cell types, most notably lymphocytes and plasma cells. It should be underlined that the problem of cross-contaminations by other sources of exfoliated epithelial cells like breast cells from the milk with gastric cells of lactating infants or exfoliated cells from manipulators is particularly difficult to ward off calling for the development of biomarkers of tissue origin which can guarantee both the cellular origin and the affordability of testing.

The next section discusses recent works in 3D mammary reconstruction and the functioning of acini which have shed new light on the capacity of surface epithelial cells to survive outside their epithelium. Laboratory rodent models are also discussed as they open the possibility to induce exfoliation by nutritional manipulations.

3. In Vitro and Animal Model to Study Exfoliation

3.1. In Vitro Model to Study the Loss of Contact of Epithelial Cells with Extracellular Matrix and Cellular Neighbors. Exfoliated epithelial cells are in a situation similar to freshly isolated primary cells. Primary cells are inoculated as single-cell suspensions or small clumps of cellular aggregates. These cells have also lost contact with the tissue architecture (companion fibroblasts, epithelial cell neighbors, and with the extracellular matrix), as well as with the nervous regulation or the blood nutriments. From tissue cultures, we know that a molecule of nutriment has to be within 50 nm away from a single cell to be accessible. So even if some cells are exfoliated in a nutritious matrix (milk for instance), they may have to trigger a survival mechanism. Some set of genes are progressively turned-down like clock genes [51], but in this particular situation, they can be reinduced under specific stimulation in culture. Over the years, the conditions of culture have been adapted to mimic the tissue architecture by creating three-dimensional (3D) environment.

Recent works have shown that autophagy can be observed during lumen formation in 3D cell cultures in vitro. The MCF-10A cells are a nontransformed human mammary epithelial cell line, which can form spherical structures (called acini) in which a layer of polarized epithelial cells surrounds a hollow lumen, mimicking the glandular epithelium in vivo [8, 9]. The lesson we can learn from this 3D reconstruction of mammary gland is that epithelial cells are able to flexibly leave or reenter an epithelium. The property is useful for tissue growth as well as to heal rapidly microlesion in the epithelium cell lining. Epithelial cells exfoliated in milk are able to withstand lumen environment. Their biochemical state should be close to the state of cells having lost contact with the 3D reconstructed gland. In the next section, we present recent works in laboratory rodents which have shown that this capacity of an epithelial cell to adapt to changing environmental conditions is highly context dependent.

3.2. Animal Models to Study Exfoliation. Laboratory rodent models have been developed to study the inducing effect of nutrient intake on the exfoliation of epithelial cells in the digestive lumen. On adult rats fastened for 24 hours and refed for one hour, the feeding intake induces exfoliation of quiescent parietal cells at the top of the gastric gland through an unknown exfoliation factor [5]. Under these conditions, stem cells located in the neck region of gastric glands are believed to be recruited actively to repopulate the surface of the adult rat stomach. On lactating rat pups, we obtained similar results by fastening the pups for 5 hours and allowed them to be reunited with their mother for one hour before sacrifice [43]. By contrast, on adult laboratory mice, fatty acids (like palmitate) are inducers of intestin, a protein
implicated in the exfoliation of apoptotic cells at the top of the villus of the small intestine within an hour after meal [3]. These models of nutritional manipulations to induce exfoliation on small intestine of mice [3] or gastric mucosa of rats [5] indicate that the mechanism of exfoliation is highly context dependent, but they also open the possibility to develop in vivo studies of anoikis and autophagy in relation with the functioning of peripheral circadian clocks. The availability of laboratory rodent models is of paramount importance to develop in vivo studies on anoikis and its connection with molecular circadian clocks to evaluate the stability of chronobiological molecular information in exfoliated cells. The proof that exfoliation of quiescent cells is following a circadian rhythm is still missing, probably because the set of physiological parameters leading to the induction of active exfoliation is difficult to handle and the interpretation of data obtained from manual exfoliation is also highly context-sensitive.

In conclusion, exfoliation is a broad term recovering many different biological or experimental situations but as illustrated by the next section, progress in the understanding of the delicate balance between autophagy and apoptosis will help scientists to design new bioassays tailored for specific clinical situations.

4. Stability of Biological Information in Exfoliated Epithelial Cells

Exfoliated cancerous cells of epithelial origin have been the first to be used to help design noninvasive screening assay of cancerous patients [52, 53]. The relatively high loss of cancerous epithelial cells by patients as well as the stability of molecular information (genetic alterations related to colon cancer, for instance) have helped to establish the methodology.

Recently, Chapkin et al. [6] have developed and patented a transcriptomic approach to explore exfoliation in stools of infants as well as in adults [6]. The weak point of this approach is that the morphological information of the cell population is lost during the extraction process of mRNA, and there is no possibility to check for the exact cellular origin of these molecules. Exfoliation in stools is still highly debated; some visual proof of typical intestinal cells have been published [4, 48], but in my experience if whole crypt material or typical colonocytes can be found, most of the time the criticism of Loktionov [29] that these cells cannot be distinguished from epithelial cells of the anal zone is correct. The proof of similarity between exfoliated epithelial cells with the ones remaining in the mucosa will be probably easier to perform on gastric epithelium following the seminal work of Aoyama et al. [5]. However, the detection of proteins and structural elements will remain possible only in a limited number of clinical situations narrowing the possibility of using exfoliated epithelial cells as indicator of good health.

Most biological information is highly labile and is rapidly lost after exfoliation. However, a better understanding of the key factors allowing the cellular survival outside the tissue architecture will open new avenues to derive useful screening assays from clinical material. The detachment of epithelial cells from the tissue architecture triggers both pro- and anti-apoptotic signals, such as nuclear factor kappa-B and inhibitor of apoptosis protein family members; these antiapoptotic mechanisms presumably delay the onset of apoptosis and allow cells to survive [54–56]. The balance between these signals and the duration of detachment determine further fate of these cells. Antiapoptotic signals presumably delay the onset of anoikis, allowing cells to survive provided that they can reestablish extracellular matrix contact in a timely manner [16]. In cells having lost contact with tissular structure, autophagy corresponds to the recycling of cellular material as well as to the cell capacity to mobilize reserves during periods of starvation. Autophagy is a biochemical pathway allowing survival during fasting period which can be stopped at the organism level to prevent self-digestion. There are three main forms of autophagy: microautophagy, macroautophagy, and chaperone-mediated autophagy [57–59]. In macroautophagy, a portion of the cytosol or organelles are sequestered in a double-membrane-bound vesicle, the autophagosome (Figures 2(a) and 2(b)). A core molecule in autophagy regulation is the kinase mammalian target of rapamycin (mTOR). By sensing signals that monitor nutrient levels, mTOR can trigger protein translation by specific phosphorylation of the ribosomal protein S6 kinase (pS6K) [60].

Recent works on the molecular pathway regulating microtubule-associated protein light chain 3b (LC3b) and autophagy [38] support the idea that regulation of autophagy is interconnected with regulation of apoptosis. LC3b may regulate the extrinsic apoptosis pathway in the lung through direct interactions with caveolin-1 and Fas [61]. Implication of Beclin-2 modifying factor and the antiapoptotic proteins of the Bcl-2 family in the anoikis process have been proposed to play a central role in the survival of human intestinal epithelial cells [62]; this work partly explains, at the molecular level, the low survival rate of exfoliated epithelial intestinal cells. However, autophagy has been demonstrated to occur in vivo in the surface epithelial cells of neonatal small intestine of piglets [63]. In contrast with the data of Hausmann et al. [62], the reports of Nair et al. [64] and Chandel et al. [65] are indicating that high amount of living colonocytes can be recovered from stools (5 × 10⁴–2 × 10⁶ cells/g of stool). From a physiological point of view in infants as well as in adults, some epithelial cells may survive in a state of macroautophagy close to the surface of the epithelium up to finding their way back in the cellular lining. A device can then easily remove such cells (i.e., exfoliate these cells) by mechanical forces. In theory, the physiological status and the genetic profile of these epithelial cells should be close to the ones at the surface of the mucosa.

At the intracellular level, autophagosomes are connected to mTOR and CLOCK pathways, and if needed to apoptotic pathways. Autophagy can be described in amino-acid-free situations (Figure 2(a)) as well as in glucose-free situations (Figure 2(b)). The balance is specially relevant in protein kinetics in preterm infants where amino acids are provided by intravenous solutions [66]. The autophagic stage is probably deeply affecting the cellular metabolism. In mammals, autophagy undergoes rhythmic variation in accordance with
Figure 2: From a physiological point of view, exfoliated epithelial cells resulting from nutritional induction or mild stress are in anoikis by activating a survival mechanism partly using the molecular paths of macroautophagy. Macroautophagy, here referred to as autophagy has been described in amino-acid-free (a) as well as in glucose-free (b) situations. Autophagosome elongation is triggered by lipid modification of LC3 (by phosphatidylethanolamine, PE). The first pathway is linked to growth factors and nutrient-sensing pathways (a), and the second is related to energy-sensing pathway (b). Detachment of extracellular matrix induces both pathways and may activate also the integrated stress response through PERK and eIF2alpha, [16] as such the situation of exfoliated cells is complex and needs more biochemical description to delineate stable molecular tags, useful in assay design, from the labile ones. The experimental starvation of primary or cancerous cells in culture consists in exposing cells to eagle minimum essential medium without serum for few hours. Under these conditions, amino-acid-free situations have been described [37, 38]. Phosphorylation involving Ulk1 in AMPK regulation has been demonstrated [39]. Survivin has also been involved in the inhibition of the conversion of LC3-I to LC3-II form (i.e., acting as an inhibitor of autophagosome formation—[40]) but in cancer cells or stem cells. However, the role of survivin in the turnover of adult cells is still debated [41, 42]. The expression of survivin has been reported in gastric parietal cells both in adult rat and human [38]. Gastric exfoliated epithelial cells of preterm infants do express high amount of survivin suggesting a crucial role of this molecule in the survival of these cells [43, 44]. Glucose starvation occurs at birth [45, 46]. Along with cytokines and drugs, nutritional factors are now considered to be able to alter the balance between cellular survival and death [47].
the feeding cycles. The relationship between autophagy and circadian rhythms has been proposed [67], but the molecular link between these two phenomena is not yet known. Cells have to process diverse signals such as temperature, pH, and nutrient concentrations in order to maintain a normal physiology. In vivo, cells are believed to use clock genes to organize and adapt cellular metabolisms and coordinate three-dimensional macromolecular organization (their phenotype) in a noisy molecular environment (molecular signals criss-crossing in and between cells and irrelevant chemical messages). Exfoliated epithelial cells in anoikis can be seen as a way to obtain chronobiological information dating back to the time of cells leaving the top of the functional units. Signals encoded in the amplitude domain are predominantly based on concentrations of signaling molecules, a parameter difficult to measure on exfoliated cells in anoikis without proper normalization related to the single cell level on highly purified cellular phenotypes. In addition, some highly labile biochemical modifications like phosphorylation are probably lost during the storage of biological fluids and in the isolation process or can be made irrelevant to the pathophysiology of the mucosa due to the turnover of the signal by the cellular machinery. However, addition of phosphatase inhibitors to cell extracts may preserve period proteins [68]. Significant improvements in the quality of cellular extracts may be achieved by using extraction buffers suitable to preserve clock gene products. The biological information encoded in the frequency domain of an oscillatory signal can be transmitted as concatenated signals with multiple biologically significant signals to gene behind the regulatory sequence within the promoter. On transgenic mammals, oscillations can be measured by recording rhythms of light emissions by cells in which the promoter of some clock gene is linked to a luciferase reporter. On human or nontransgenic mammals, oscillations per se cannot be measured in absence of spectrofluorimetric methods applicable on freshly recovered living cells, but indirect evidence of gene-circuit activation may be recovered. Long-lasting or resilient information may be accessible either through (1) the machinery of transcription at the site of fixation on the DNA of the cells or (2) by the histone code as these epigenetics modifications are believed to be acquired with a stability related to the original tissue ([69] and to the time of day [70]).

The chronobiological information that we can extract from exfoliated epithelial cells depends on the techniques used to isolate cellular material or the manipulation of physiological parameters (Figure 1) and on the affinity of interactions of clock molecular components with stable molecules like DNA or the persistence of the physiological effects that they are inducing. We may speculate that quiescent cells like epithelial gastric cells are retaining fully functional clocks, that is, consistent information with their time at exfoliation and subsequent cell survival out of the organism. The induction of gastric cell exfoliation by nutrient cycle developed in rats [5] that we have adapted on lactating rat pups [43] can be used in the future to address questions about the stability of clock information during anoikis. Exfoliated epithelial cells can be followed by microscopic examination from the initial step of loss of contact at the mouth of the gastric gland to the recovery of cells in the stomach lumen. In addition, the model is clearly relevant to clinical situation in which patients are equipped with nasogastric tubing. However, there may be tissue-specific differences in the molecular composition of the circadian clock, and clock components that have subtle effects on the central clock function may play a more prominent role in the regulation of peripheral clocks. Yagita et al. [71] have used spontaneously immortalized mouse embryo fibroblasts to explore the main clock components (proteins and mRNA) suggesting that peripheral clocks in cultured cells may be similar in composition and regulation as central clock, but all these components are not always present in cells depending on their tissular origin. The most striking example is the apparent redundancy of clock with its homolog npas2, which are largely equivalent molecules with strict structural differences [72, 73]. Exfoliated epithelial cells after losoing contact with extracellular matrix are surviving by activating autophagy.

Three main physiological pathways have been described associated to the regulation of autophagy: AKT (energy sensing), EGR-R (growth factor sensing), and bcl-2 (stress-related programmed-cell death). According to Gan et al. [74], mTOR downregulation is also observed following extracellular matrix detachment. Clock components are probably also altered during this process, but there are no data on the relation between autophagy and clock regulation.

By contrast, explants of tissue isolated from transgenic rat for period1 gene is giving some chronobiological information about the chaotic expression of this gene under the drastic external conditions of explantation [75]. Recording of luminescence emitted in vitro by the explants maintained in classic tissue culture conditions (37°C, 5% CO₂) has clearly shown that the chaotic light emissions by the transgene system stands up to 12–14 hours, thereafter the rhythms of light emissions by liver explants are organized according to an oscillatory model reminiscent of period1’s in vivo oscillations (as of Stokkan et al., [75], the phase of the peak has been recorded during the first subjective day in culture i.e., between 12 and 36 hours). To avoid such chaotic evolution with the loss of chronobiological information, experimenters are using mechanical punches of mucosae which are directly snap frozen in liquid nitrogen. This strategy is a reliable but invasive solution to study clock gene expression in time series. Otherwise, tissue biopsies can be explanted in culture to derive primary cells or cell lines and record clocks functioning just like with exfoliated cells. However, cell lines have lost contact with body’s network, and their clock systems are probably quickly reorganized to tune up with their new in vitro environment [76, 77]. In the future, the use of transgenic mice for autophagic gene circuity will also help to appreciate the exfoliation status and the molecular link between clocks and autophagy [78].

5. Perspectives

The development of non-invasive methods is crucial to allow easy sampling of human populations in nutritional/clinical intervention studies. Exfoliated epithelial cells could be
exfoliation may be useful not only to translational research but also to tissue reconstruction of mucosa.

A specific application explored in our laboratory is to study exfoliated epithelial cells and the role of clock genes, if any, in autophagy. Among many unsolved questions which can be listed, we can wonder what is the biological information retained, altered, or lost during anoikis? Future works may focus on the mTOR signaling pathway which has been found downregulated in detached epithelial cells [74] and, in adipocytes, linked to diurnal gene expression and metabolic regulation [80]. Recent data on the molecular biology of clock components indicate that central and peripheral clocks differ in their coupling with the different categories of synchronizers as well as in their output on rodent models [81, 82] as well as on human data [68]. A better understanding of exfoliation may be useful not only to translational research but also to tissue reconstruction of mucosa.

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