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Orientation-Induced Redox Isomerism in Planar Supramolecular Systems

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ABSTRACT: In this work, a previously undescribed phenomenon of orientation induced redox isomerism in a Langmuir monolayer is revealed in the case of cerium bis [teta (15 crown 5) phthalocyaninate] (Ce[(15CS)₄Pc]₂). It was established that intramolecular electron transfer (IET) from the electronic system of phthalocyanine to the 4f orbital of cerium atom occurs upon spreading of a (Ce[(15CS)₄Pc]₂) chloroform solution onto the air–water interface (3D → 2D IET). This process is related to the transformation of Ce⁺⁺⁺ to Ce⁺⁺⁺ in the monolayer. It was also found that reversible Ce⁺⁺⁺ ↔ Ce⁺⁺⁺ IETs occur upon compression (π₁ → π₂) and expansion (π₂ → π₁) of monolayer (2Dβ → 2Dα II surface pressure). The mechanism of genuine redox isomerism was confirmed by the results of in situ UV–vis spectral measurements performed on monolayers and Langmuir–Blodgett films, AFM, and XPS studies of Langmuir–Blodgett films transferred at different surface pressures. The understanding of this reversible IET mechanism is especially important due to possible applications of such redox isomeric systems in the development of nanoscale multibit information storage devices.

INTRODUCTION

Molecular scale systems that exhibit intramolecular electron transfer (IET) induced by various external stimuli occupy a special place among the systems whose behavior is determined by charge transfer processes. Such redox isomeric materials are indeed promising for applications in the development of nanoscale information storage devices. Research in this field has been conducted with increasing intensity in various aspects related to the design, the synthesis, and the study of new compounds with different paths of IET (metal–metal, metal–ligand, ligand–ligand) as well as the search for new efficient methods of inducing such reversible transitions.1–11 Currently, the most widely used ways of IET control involve micro environment (i.e., solvents), thermal, and photophysical effects. Furthermore, the action of these driving forces are investigated mainly in the case of dispersed molecular systems (solutions)2–4,12 and crystals.3,4 Only a few works are devoted to the study of IET in aggregated molecules (including liquid crystals).5,6,13

In systems characterized by the coexistence of two redox isomers of the same compound, we can suppose that not only driving forces listed above (light, temperature, microenvironment), but any physical effect that even slightly affects the internal energy of the system can shift the dynamic equilibrium toward the predominance of one of the isomers. The present work is devoted to the study of such physical effects that accompanies 3D–2D transition of discotic molecules as well as phase transitions in 2D systems.

Complexes in which both metal and ligand are redox active are target objects for the implementation and the study of redox isomerism phenomenon (reversible intramolecular metal–ligand electron transfer). From this standpoint, tetrapyrrrole complexes of metals with variable oxidation states are one of the most promising compounds.14 Moreover, the ability of the metal ion in such complexes to change its valent state can be tuned by the introduction of peripheral substituents of different nature into phthalocyanine ring.15 Substituents can also drastically affect the electrochemical and optical properties of the materials based on these compounds. For example, the introduction of crown ethers into phthalocyanine leads to novel efficient photorefractive materials and components of nonlinear optics.16–20 Such unique features of
crown phthalocyaninates result from the ability of these building blocks for supramolecular assembling facilitated by presence of crown ether moieties in the molecule.21–24

The special emphasis on lanthanide phthalocyaninate series is related to the existence of correlations between the molecular characteristics of these complexes and their physicochemical properties.25–30

In this study, we are more particularly interested in complexes incorporating cerium atom. Indeed, the key feature of cerium atom in the lanthanide series is its ability to exist in two stable valent states. Besides oxidation number 3+ usual for other representatives of lanthanide series, it can exhibit 4+ oxidation number that is derived from a particular stability of its 4f0 electronic state.15,31 The coexistence of cerium complexes with tetrapyrrole ligands in two neutral forms (two redox isomers) that differ by the valent state of the cerium atom (III/IV) and the corresponding charge of the ligands was described earlier.15,34,35

Unusual physicochemical and electrochemical properties of cerium bis [tetra (15 crown 5) phthalocyaninate] (Ce[(15C5)4Pc]2) (Figure 1) in comparison with other rare earth lanthanide bis [tetra (15 crown 5) phthalocyaninate] Ce[(15C5)4Pc]2,34 Pr[(15C5)4Pc]2,39 Tb[(15C5)4Pc]2,39,40,41 Yb[(15C5)4Pc]2,42 and Lu[(15C5)4Pc]2,43 and unsubstituted CePc237 was performed according to previously described procedures.

Lanthanide phthalocyaninate complexes were solubilized at a concentration in the range of 1.0–1.6·10−3 M in CHCl3 (extrapure grade), which was preliminarily dried over anhydrous CaCl2 and distilled over CaH2. Ultrapure water (18 MΩ cm, pH 5.6) deionized with a Vodolei apparatus (NPP Khimelektronika) was used as subphase. Studied solutions were applied to the air–water interface using a Distriman micropipet (Gilson) in 5 μL portions. The time of solvent evaporation and the monolayer compression rate were 15 min and 0.2 mm/s, respectively.

Monolayer compression isotherms were recorded using a KSV mini trough (Finland) made of Teflon and equipped with polyacetal barriers. Monolayer surface pressure was measured using a platinum Wilhelmy plate at a constant temperature of 20 ± 1°C.

Ultrathin films on solid substrates were obtained using the standard LB procedure: the monolayer was compressed to the required surface pressure, which was then kept constant. The substrate, previously immerged into the subphase perpendicularly to the air–water interface, was removed from the subphase at a constant rate of 5 mm·min−1. The nature of the substrate depended on the following method of film characterization (see below for each method), but all substrates were hydrophilic.

Absorption spectra of monolayers on aqueous subphase were recorded in the wavelength range of 240–750 nm using an AvaSpec 2048 FT SPU fiber optic spectrophotometer. UV–vis reflectometric probe with a fiber diameter of 400 μm combined with a six fiber irradiating cable was located perpendicularly to the subphase surface at a distance of 2–3 mm from the monolayer. The signal obtained upon light reflection from the subphase surface immediately before the monolayer spreading was used as baseline. This method allowed us to obtain UV–vis spectra of monolayers with intensity and resolution high.

**Figure 1.** Structures of (a) nonsubstituted lanthanide bis phthalocyaninate (LnPc2) and (b) lanthanide bis [tetra (15 crown 5) phthalocyaninate] (Ln[(15C5)4Pc]2).

**EXPERIMENTAL SECTION**

The synthesis of double decker lanthanide bis [tetra (15 crown 5) phthalocyaninates] Ce[(15C5)4Pc]2,34 Pr[(15C5)4Pc]2,39 Tb[(15C5)4Pc]2,39,40,41 Yb[(15C5)4Pc]2,42 and Lu[(15C5)4Pc]2,43 and unsubstituted CePc237 was performed according to previously described procedures.

**Figure 1.** Structures of (a) nonsubstituted lanthanide bis phthalocyaninate (LnPc2) and (b) lanthanide bis [tetra (15 crown 5) phthalocyaninate] (Ln[(15C5)4Pc]2).
For clarity, only phase images for the tapping mode, friction images for the contact mode, and mechanical properties of the sample at the nanometer level concerning not only the topographical changes but also about the mechanical properties of the sample at the nanometer level (such as adhesion, viscoelasticity, or chemical composition) thanks to friction and phase images.\textsuperscript{35,46}

Two AFM setups were used in this work, a MultiMode NanoScope III apparatus (AFM imaging), and a Bioscope II, mounted on an Olympus inverted optical microscope and operating with the NanoScope V controller (Veeco Bruker, Santa Barbara, CA). The AFM characterization (imaging) was performed under ambient air in tapping (dynamic) mode and frequency of 307 kHz, with scan rates between 0.1 and 1 Hz (according to the scan size).

For each experiment, several images were recorded at the same time: trace and retrace height images (topography), error signal (amplitude images for the tapping mode, or deflection images for the contact mode), and mechanical properties (phase images for the tapping mode, friction images for the contact mode). According to the expected information, we want to highlight that we will show one or few of these images. For clarity, only flattened images ($512 \times 512$ pixels) are shown.

**RESULTS AND DISCUSSION**

Figure 2 shows the $\pi$--$\Lambda$ compression isotherms of non substituted and substituted phthalocyaninates, CePc$_2$ and Ce[\((15CS)_5]Pc]_2$, respectively, and proposed model of molecular orientation and aggregate structures that are formed in the monolayer at different surface pressure values. As shown in this figure, the substitution induces strong changes in the isotherm, with a shift of the isotherm to higher mean molecular areas and a change in the slope of the isotherm. Previous quantum chemical calculations gave information on the dimensions of these complexes, with disc area being around 500 $\Lambda^2$.\textsuperscript{36} The comparison of mean molecular areas combined with these calculations enable to propose an orientation of molecules at different stages of the compression. At low surface pressure, molecules are likely lying at the air–water interface. The compression first induces a regular increase of the surface pressure until a plateau at surface pressures in the 22–27 mN·m$^{-1}$ range. Since a regular increase of the tilt angle corresponding to a regular straightening of molecules is not likely for steric reasons, we can suppose that only some molecules switch to an upright position, while others are lying at the interface in this first part of the isotherm. At the end of the plateau, mean molecular areas around 170–180 $\Lambda^2$ suggest that the planes of discotic molecules are all oriented perpendicularly to the air–water interface. This probably leads to the formation of stacks whose axes are parallel to the subphase surface. The fact that compression isotherms of nonsubstituted and crown substituted complex (Figure 2) converge at high surface pressure values is in agreement with this hypothesis, since their mean molecular areas are supposed to be in the same range in the upright orientation. It suggests also that both complexes form extensive stacking aggregates under such conditions.

In order to confirm the proposed monolayer architecture, these monolayers were transferred on mica at 20 and 35 mN·m$^{-1}$ (i.e., below and above the change of slope on the isotherm) for investigation with AFM. Images obtained in tapping mode are shown in Figure 3. Figure 3a,b shows AFM images obtained from each LB film surface with the AFM tapping mode. The main information provided by these height images is the sample topography and more precisely the corrugation of the films (light areas being higher than the dark ones). As shown in Figure 3, at both surface pressures, images are heterogeneous, showing grid patterns in LB films and their density increases with the surface pressure. Height profiles, which are extracted from height cross sections in the $(X,Y)$ plane done on the corresponding height images, are more appropriate to get more qualitative and quantitative information about LB film morphology both at a local level and over a wide scanned area. Figure 3c,d shows typical height profile obtained in different areas of the LB films. One can suggest that the dark areas correspond to defects in a relatively uniform LB film. The height difference between these parts of the film, determined from the height profile (Figure 3), is in close agreement with the above mentioned highest dimension of a complex molecule. The thickness of the LB films is confirmed by AFM imaging after the scratching of an area with the tip: this thickness is obtained by the difference of height between the intact part of the LB film and the scratched one as indicated in Figure 4. The estimated film thickness is about 1.8–2 nm. This
thickness value can correspond to either face on bilayer film (two molecule stacks with axes perpendicular to the substrate surface) or film consisting of discotic molecules organized in columnar stacks, their axes being parallel to the substrate surface. But values of mean molecular area, at which the films were transferred, indicate the impossibility of face on bilayer formation. Thus, AFM data together with monolayer compression isotherms allow us to conclude that at surface pressure values above 20 mN·m⁻¹, studied monolayers on both liquid and solid substrates consist of extensive stacking aggregates with edge on molecular orientation.

In order to reveal the possibility of a redox isomerization in monolayers of Ce[(15C₅)₄Pc]₂, we recorded in situ UV–vis differential reflection–absorption spectra (DRAS) of these monolayers at the air–water interface. They were recorded 15–20 min after spreading to achieve a complete evaporation of solvent and the completion of the spreading process. DRAS of monolayers are shown in Figure 5 with the UV–vis spectrum of the complex solubilized in chloroform at a concentration of 1.6 × 10⁻⁵ M. This last one (Figure 5, curve 1) is characterized by the presence of two intense bands at 650 and 700 nm (Q bands) and a broad band centered at 500 nm, that is typical for the bisphthalocyanine compounds. The band at 500 nm indicates the presence of an unpaired electron in the double decker crown phthalocyaninate. The ratio of intensities of bands at 650 and 700 nm is indicative of the valent state of the cerium atom: in the case of Ce⁴⁺ short wavelength part of the Q band is prevalent, and in the case of Ce³⁺, this part

![Figure 3. Height AFM images obtained in tapping mode of LB films of Ce[(15C₅)₄Pc]₂ transferred at (a) 20 and (b) 35 mN·m⁻¹ and (c, d) corresponding height profiles.](image3)

![Figure 4. Height AFM image and section diagram of the Ce[(15C₅)₄Pc]₂ LBF on mica substrate obtained in contact mode after a scratching experiment. Estimated film thickness is deduced after data processing of trace–retrace height profiles. The excessive height at the edge of the scratched area corresponds to a local accumulation of molecules pushed by the tip during the scratching experiment.](image4)
remains only as a shoulder. As it was shown earlier, 34 predominant valent state of cerium in this complex in chloroform solution was Ce$^{4+}$.

DRAS of Ce[\((15C5)_4Pc\)]$_2$ monolayer just after spreading and solvent evaporation (Figure 5, curve 2) differs from the spectrum of the complex in solution. Intensities of Q bands are redistributed in favor of the long wave one. In addition, an increase of intensity of the broad band at about 500 nm is observed, indicating the presence of an unpaired electron in the double decker crown phthalocyaninate.

The shape of monolayer DRAS becomes similar to the spectrum of the one electron oxidized complex \([([15C5]_4Pc)^2\cdot -Ce^{4+}([15C5]_4Pc^-)]\). At the same time, the observed set of bands could correspond also to an electro neutral complex with a trivalent metal center \([([15C5]_4Pc)^2\cdot -Ce^{3+}([15C5]_4Pc^-)]\) as the position and shape of Q band is determined predominantly by electronic structure of phthalocyanine anion itself but not metal. 49 In other words, the observed spectral changes result from the transfer of one electron from the molecular orbital of the phthalocyanine ligand, but there are two possible pathways for this transition. The first one consists of the oxidation of the phthalocyanine ligand by some external oxidizer (cation radical formation, i.e., one electron transfer from ligand to oxidizer). The second one deals with the transfer of an electron from the phthalocyanine ligand to the metal center (IET, i.e., Ce$^{4+}$/Ce$^{3+}$ trans formation).

In order to establish the pathway of the electron transfer that causes these spectral changes, we performed similar investigations for a series of analogous Ln[\((15C5)_4Pc\)]$_2$ complexes of trivalent lanthanides (Ln = Pr$^{3+}$, Tb$^{3+}$, Yb$^{3+}$, Lu$^{3+}$) under the same experimental conditions (Figure 6). It was found that no analogous spectral changes occur upon spreading and compression of monolayers of these complexes, that is in conformity with the fact that these lanthanide ions can only exhibit a 3+ oxidation state in these complexes. 56,58 Spectra of monolayer of unsubstituted CePc$_2$, in which Ce$^{4+}$ state is much more stable than in crown substituted complex, 7,15,34 also do not undergo such alterations upon deposition and compression and do not possess the band at about 500 nm (Figure 6, curve 1). At last, the positions of bands at about 500 nm in DRAS of trivalent lanthanide complexes at the air–water interface recorded at 5 mN m$^{-1}$ surface pressure (Figure 6, curves 2–6) are linearly correlated with corresponding ionic radii (Figure 7). As can be seen, the experimental value for the crown substituted cerium complex monolayer corresponds to the radius of Ce$^{3+}$ cation. This result confirms that the central metal ion of cerium double decker bis [tetra (15 crown 5) phthalocyaninate] becomes trivalent upon deposition of the complex chloroform solution to the air–water interface and its further spreading over the surface as a result of an IET from the phthalocyanine macrocycle $\pi$ system to the cerium 4f orbital.

A similar shift of dynamic equilibrium between redox isomers was observed for copper complexes with organic ligands in solvents of different polarities. 4 In the case of the transition of complex discotic molecules from chloroform solution (3D system) to the air–water interface (2D one), not only the solvent characteristics change, but also the orientation of Ce[\((15C5)_4Pc\)]$_2$ molecules moving from a random orientation in solution to a more homogeneous one at the interface. This 3D ↔ 2D effect constitutes an additional contribution to the driving force of IET and participates in the shift of the
environmental dependent equilibrium. Evidently, spreading of the solution of sandwich type crown phthalocyaninate on the water surface leads to a horizontal (“face on”) orientation of discotic molecules, as shown by mean molecular areas in the isotherm. The induced hydration of crown ether substituents of one phthalocyanine deck, whichever interacts with polar subphase, violates the symmetry of the complex electronic system and enhances the mobility of π electrons and the electron donating properties of unsolvated moieties oriented toward air. Eventually it makes the IET to the metal center easier. Such redox isomerization can partially compensate the influence of asymmetrical hydration, and thereby dynamic equilibrium in the system shifts toward the trivalent metal complex formation.

The subsequent compression of monolayer up to 22 mN·m⁻¹ results only in a simultaneous increase of intensities of all bands in DRAS. The most interesting results, however, were found after compression of monolayer up to a surface pressure of 25–30 mN·m⁻¹. Achievement of these relatively high π values leads to unexpected transformation of the complex reflection–absorption spectrum, if the monolayer is kept a few minutes at these pressures. In this case, the spectrum becomes similar to the spectrum of the complex in chloroform solution with Ce⁴⁺ (Figure 5). It should be noted that Ce³⁺ ↔ Ce⁴⁺ transformation occurring upon monolayer compression is reversible: when surface pressure decreases upon monolayer expansion, DRAS gradually reverts to the one observed before compression (Figure 5, curve 2).

The reversibility of spectral changes in the absence of reducing agents evidences, once again, that the transformation observed upon spreading of complex solution onto air–water interface is not determined by its oxidation. Such types of transformation (oxidation/reduction) could not be reversible under the given experimental conditions. This experimental observation strengthens the hypothesis of an IET process versus oxidation. Obtained results allow to conclude that the spreading of the complex solution at the air–water interface is accompanied by an IET from the π system of the phthalocyanine macrocycle to 4f orbital of cerium ion according to the following scheme: [(15CS₅)₄Pc²⁻]Ce³⁺((15CS₅)₄Pc⁻⁻) ↔ [(15CS₅)₄Pc²⁻]Ce⁴⁺((15CS₅)₄Pc⁻⁻). Compression of the monolayer to high values of surface pressure induces the reverse IET. This second IET governed by surface pressure is reversible and can be described as: [(15CS₅)₄Pc²⁻]Ce³⁺((15CS₅)₄Pc⁻⁻) ↔ [(15CS₅)₄Pc²⁻]Ce⁴⁺((15CS₅)₄Pc⁻⁻) equilibrium, which can be considered as an orientation induced redox isomerism.

What is the thermodynamic foundation of this process? During the compression, discotic molecules straighten, adopting a vertical (“edge on”) orientation and forming stacks whose axes are parallel to the subphase surface. One can assume that a further compression decreases the activation energy of the electronic transition from the cerium 4f orbital to the conjugated electronic system of ligands in stacks. It is known from X-ray photoelectron measurements that the electron binding energy for the 4f orbital of Ce atom is very low (0.1 eV), as it is screened from the nucleus to a big extent by the remaining electrons of this electron rich atom. This anomalously low value is the reason for the facilitation of electron transitions in cerium double decker complex. Taking this effect into account, we suppose that there is a high lateral pressure of about 25–30 mN·m⁻¹ results in a high density of stack packing and thereby facilitates the change of valent state of the metal center Ce³⁺ → Ce⁴⁺, since this transition leads to the conjugation of electronic systems of the molecules in stacks due to electron delocalization. Moreover, the switching of the central ion oxidation state from 3+ to 4+ is accompanied by a decrease of the distance between decks. Such a downsizing under 2D compression is thermodynamically favorable in terms of attaining the minimum of mean molecular area.

The 2D system energy change upon the change of molecular size can be estimated as ΔS, where ΔS = Sₘ − S₄, with S₄ and Sₘ being the areas of 1 mol of [([(15CS₅)₄Pc²⁻]) Ce³⁺((15CS₅)₄Pc⁻⁻)] and [([(15CS₅)₄Pc²⁻]) Ce⁴⁺((15CS₅)₄Pc⁻⁻)] in close packed monolayers, respectively. Such a simple estimation shows that at π = 35 mN·m⁻¹ ΔS ≈ 6 kJ mol⁻¹ (details of the calculation are given in Supporting Information). This value is very close to the value of energy required to transfer the electron from the Ce 4f orbital to the Fermi level, that is, the above mentioned electron binding energy (0.1 eV, corresponds to 9.6 kJ mol⁻¹). Nearly equal values of these physical quantities indicate that IET in 2D systems under consideration can be caused by the monolayer compression at a high surface pressure. These results prove that the reversible spectral changes observed for Ce[(15CS₅)₄Pc]₂ complex upon compression originate from the switching of the cerium redox state. In other words, we are dealing here with a two dimensional phase transition controlled by surface pressure and demonstrate the first example of an orientation induced redox isomerism phenomenon in planar supramolecular systems. It is also one of the first examples of a genuine redox isomerism in a rare earth metal complex in monolayer.

At last, the redox behavior of cerium double decker complex in Langmuir monolayers is supposed to impact the properties of LB films on the solid substrate. The comparison of UV–vis spectra of Ce[(15CS₅)₄Pc]₂ LB films transferred onto quartz plates at 20 and 35 mN·m⁻¹ with spectra of corresponding monolayers reveals that the transfer of the monolayer onto the solid substrate does not lead to any significant spectral changes (Figure S9). The difference in valent states of the metal center of the double decker cerium bis [tetra (15 crown 5) phthalocyaninate] in LB films transferred at different surface pressures is also proved by the X-ray photoelectron spectroscopy (XPS) technique.

The XPS spectrum obtained for Pr[(15CS₅)₄Pc]₂ LB film, transferred at 35 mN·m⁻¹ (Figure 8) indicates that the amount of Pr³⁺ cations in the film is in stoichiometric proportion with

![Figure 8. X-ray photoelectron spectrum of LB film of Pr[(15CS₅)₄Pc]₂ transferred at 35 mN·m⁻¹.](image-url)
respect to N atoms, thus confirming the reliability of the XPS technique for determination of lanthanide valent states in ultrathin films.

XPS spectrum of LB films of Ce[(15CS)_2Pc]_2 transferred at 20 mN·m⁻¹ (Figure 9a) shows the presence of two bands at 882.2 and 866.0 eV assigned to Ce⁴⁺ and Ce³⁺, respectively. Both oxidation states are thus present in the films, even if the molecules with a trivalent metal center are predominant. On the contrary, molecules with a tetravalent metal center are predominant in LB films transferred at 35 mN·m⁻¹ (Figure 9b) and in LB films produced from unsubstituted Ce⁴⁺[Pc²⁻]₂ formed at 20 mN·m⁻¹ for which no IET was found (Figure 9c).

Figure 9. X-ray photoelectron spectra of LB films of Ce[(15CS)_2Pc]_2 transferred at (a) 20 and (b) 35 mN·m⁻¹, and (c) LB films of CePc₂ obtained at 20 mN·m⁻¹.

These results allowed us to conclude that the valent states of the metal center in monolayers and corresponding LB films transferred at different values of surface pressure are identical. Therefore, the transfer of a Langmuir monolayer onto a solid substrate by the LB technique does not alter the electronic and structural states of the cerium complex: the redox isomerization in the monolayer allows one to tune LB films characteristics.

CONCLUSION

In conclusion, we present here evidence of an unprecedented reversible orientation induced IET associated with a redox isomerism of discotic molecules of cerium bis phthalocyanine in Langmuir monolayers. We were able to transfer these monolayers onto solid substrates forming LB films of two different redox isomers of the studied complex. These ultrathin films consist of extensive supramolecular aggregates organized in fibrous planar bundles of columnar stacks, and their structure and redox isomeric state of Ce[(15CS)_2Pc]_2 can be tuned by varying the surface pressure during the monolayer transfer. The redox isomerism was also found upon spreading of Ce[(15CS)_2Pc]_2 dissolved in chloroform over the air–water interface at which Ce⁴⁺ cation in the solution transforms into Ce³⁺ in the monolayer (3D → 2D IET). Mechanisms of both IETs were proposed and supported by several techniques. Such systems could open the way to the development of new nanoscale multibit information storage devices.

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