Abstract—In this paper, we focus on the experimental evaluation of TCP over the High Speed Downlink Packet Access (HSDPA), an upgrade of UMTS that is getting worldwide deployment. Today, this is particularly important in view of the “liberalization” brought in by the Linux OS which offers several variants of TCP congestion control. In particular, we consider four TCP variants: 1) TCP NewReno, which is the only congestion control standardized by the IETF; 2) TCP BIC, that was, and 3) TCP Cubic that is the default algorithm in the Linux OS; 4) Westwood+ TCP that has been shown to be particularly effective over wireless links. Main results are that all the TCP variants provide comparable goodput but with significant larger round trip times and number of retransmissions and timeouts in the case of TCP BIC/Cubic, which is a consequence of their more aggressive probing phases. On the other hand, TCP Westwood+ provides the shortest round trip delays, which is an effect of its unique way of setting control windows after congestion episode based on bandwidth measurements.

Index Terms—TCP congestion control; HSDPA; performance evaluation

I. INTRODUCTION

Wireless high-speed Internet is spreading worldwide thanks to the development of wireless technologies such as IEEE 802.11 for local access and 3G-4G for large area coverage. In a recent report published by Cisco it is stated that mobile traffic is doubling for the fourth year in a row and it is projected that more than 100 millions of smartphones will consume more than one gigabyte of traffic per month [5].

High Speed Downlink Packet Access (HSDPA) is an upgrade of UMTS that is getting worldwide deployment even in countries where the CDMA-EVDO networks had the early lead on performance. Today, HSDPA is present in 128 countries distributed over all the continents, with the most advanced deployment in Europe.

Current available HSDPA commercial cards provide downlink peak rate of several Mbps, which is more than one order of magnitude improvement with respect to the 100kbps offered by GSM EDGE few years ago [20].

At the beginning of wireless access to the Internet, the Transmission Control Protocol (TCP) experienced very low throughput over wireless links due to the fact that losses due to unreliable wireless links were interpreted as due to congestion [2]. In [7] it has been shown that this problem can be overcome by making the wireless link reliable through link layer retransmissions. This is today well-known and implemented at link layer of different technologies such as 3G-4G systems through Automatic Repeat reQuest (ARQ) protocols [7], which guarantee error-free segment delivery to the transport layer. The use of ARQ mechanisms masks link layer losses at the expenses of increased transmission delays.

Optimization of physical and MAC layers do not necessarily translate into higher throughputs due to the fact that the transport layer plays an important role in determining the bandwidth seen at application layer. This has motivated researchers to evaluate the performance of different transport layers protocols that are designed for specific underlying networks [13].

Regarding the issue of improving TCP performance over wireless links, a large amount of literature has been published which proposes to modify the link layer, the transport layer or both using a cross-layer approach [2]. Variants that have been proposed to improve the performance of the TCP over wireless networks include TCP Westwood+ [10] and TCP Veno [9].

Thus, due to the importance of the issue, new TCP proposals are currently under evaluation in the IRTF ICCRG working group [7].

Today, the Linux OS offers the choice of as many as twelve TCP congestion control algorithms of which TCP Cubic is selected by default. If this can be viewed as a “liberalization” with respect to the “old” BSD TCP style that used to offer only the TCP with the enhancements standardized by the IETF [11], it poses questions on the stability and efficiency from both the point of view of the users and the network.

If a large body of literature is available concerning the performance evaluation of congestion control variants in high-speed networks [11], the same cannot be said regarding the performance evaluation over new cellular networks, in spite of the fact that more than 300 million users are accessing the Internet using broadband cellular networks such as WCDMA/UMTS [3], [20].

In this work we evaluate the TCP performance over HSDPA, an optimization of the UMTS radio interface, which can provide downlink throughputs up to 14 Mbps and round trip times (RTT) in the order of 100 ms [20].

The purpose of this work is twofold: on one hand we aim at evaluating how TCP performs on 3.5G mobile networks; on the other hand, we provide a comparison of relevant congestion control protocols over such networks. We have made extensive experimental measurement over downlink channel in static conditions of the User Equipment (UE). Cumulative distribution functions, average values, and time evolutions of

Luca De Cicco is research assistant at Dipartimento di Elettronica ed Elettronica, Politecnico di Bari, Via Orabona 4, Italy (e-mail: ldecicco@gmail.com), Phone: +390805963851, Fax: +390805963410

Saverio Mascolo is full professor at Dipartimento di Elettronomica, Politecnico di Bari, Via Orabona 4, Italy (e-mail: mascolo@poliba.it), Phone: +390805963621, Fax: +390805963410

1http://www.gsmworld.com/our-work/mobile_broadband/networks.aspx

2http://trac.tools.ietf.org/group/irtf/trac/wiki/ICCRG
the most important end-to-end metrics which are goodputs, retransmission ratios, number of timeouts, and RTTs have been collected. We focus on a static scenario in order to be able to provide and unbiased comparison among the considered TCP variants.

We have considered four TCP variants: TCP NewReno, which is the only TCP congestion control standardized by IETF, TCP BIC and TCP Cubic, which have been selected as default congestion control algorithms in the Linux OS, and TCP Westwood+ that is known to be particularly efficient over wireless networks [15].

The rest of the paper is organized as follows: in Section II we briefly review the congestion control algorithms employed by the considered TCP variants along with the state of the art concerning TCP performance evaluation over HSDPA live networks. Section III describes the employed experimental testbed. Section IV reports the experimental results whereas, a discussion is presented in Section V. Finally, Section VI concludes the paper.

II. BACKGROUND AND RELATED WORK

In this Section we report a brief background on the TCP congestion control variants we have considered and a brief summary of related work on HSDPA performance evaluation.

A. TCP congestion control algorithms

1) TCP NewReno: The TCP congestion control [12] is made of a probing phase and a decreasing phase, the well-known Additive Increase and Multiplicative Decrease (AIMD) phases introduced by Jain [4]. Congestion window (cwnd) and slow-start threshold (ssthresh) are the two variables employed by the TCP to implement the AIMD paradigm. In particular, cwnd is the number of outstanding packets, whereas ssthresh is a threshold that determines two different laws for increasing the cwnd: 1) an exponential growth, i.e. the slow-start phase, in which the cwnd is increased by one packet every ACK reception to quickly probe for extra available bandwidth and which lasts until cwnd reaches ssthresh; 2) a linear growth when cwnd ≥ ssthresh, i.e. the congestion avoidance phase, during which cwnd is increased by 1/cwnd packets on ACK reception.

The probing phase lasts until a congestion episode is detected by TCP in the form of 3 duplicate acknowledgments (3DUPACK) or timeout events. Following a 3DUPACK episode, TCP NewReno [8] triggers the multiplicative decrease phase and the cwnd is halved, whereas when a timeout occurs cwnd is set to one segment. The algorithm can be generalized as follows:

1) On ACK: \( cwnd \leftarrow cwnd + a \)
2) On 3DUPACK:
   \[ cwnd \leftarrow b \cdot cwnd \]  
   \[ ssthresh \leftarrow cwnd \]  
3) On timeout: \( cwnd \leftarrow 1; \) \( ssthresh \leftarrow b \cdot cwnd \)

In the case of TCP NewReno a is equal to 1, when in slow-start phase, or to 1/cwnd when in congestion avoidance, and b is equal to 0.5.

2) TCP Westwood+ : TCP Westwood+ [10] is a sender-side modification of TCP NewReno that employs an estimate of the available bandwidth \( BWE \) obtained by counting and averaging the stream of returning ACKs to properly reduce the congestion window when congestion occurs. In particular, when a 3DUPACK event occurs, TCP Westwood+ sets the cwnd equal to the available bandwidth \( BWE \) times the minimum measured round trip time \( RTT_{min} \), which is equivalent to set \( b = BWE \cdot RTT_{min} / cwnd \) in (1). When a timeout occurs, ssthresh is set to \( BWE \cdot RTT_{min} \) and cwnd is set equal to one segment.

The unique feature of TCP Westwood+ is that the setting of cwnd in response to congestion is able to clear out the bottleneck queue, thus increasing statistical multiplexing and fairness [10], [16].

3) TCP BIC : TCP Binary Increase Congestion Control (BIC) [21] consists of two phases: the binary search increase and the additive increase. In the binary search phase the setting of cwnd is performed as a binary search problem. After a packet loss, cwnd is reduced by a constant multiplicative factor \( b \) as in (1), cwnd_{max} is set to the cwnd size before the loss event and cwnd_{min} is set to the value of cwnd after the multiplicative decrease phase (cwnd_{min} = b \cdot cwnd_{max}). If the difference between the value of congestion window after the loss and the middle point (cwnd_{min} + cwnd_{max})/2 is lower than a threshold \( S_{max} \), the protocol starts a binary search algorithm increasing cwnd to the middle point, otherwise the protocol enters the linear increase phase. If BIC does not get a loss indication at this window size, then the actual window size becomes the new minimum window; otherwise, if it gets a packet loss, the actual window size becomes the new maximum. The process goes on until the window increment becomes lower than the threshold \( S_{min} \) and the congestion window is set to cwnd_{max}. When cwnd is greater than cwnd_{max} the protocol enters into a new phase (max probing) that is specular to the previous phase; that is, it uses the inverse of the binary search phase first and then the additive increase.

4) TCP Cubic : TCP Cubic [19] simplifies the dynamics of the congestion window employed by TCP BIC and improves its TCP-friendliness and RTT-fairness. When in the probing phase, the congestion window is set according to the following equation:

\[ cwnd \leftarrow C(t - K)^3 + max\_win \] (3)

where \( C \) is a scaling factor, \( t \) is the time elapsed since the last cwnd reduction, \( max\_win \) is the cwnd reached before the last window reduction, and \( K \) is equal to \( \sqrt{max\_win \cdot b / C} \), where \( b \) is the multiplicative factor employed in the decreasing phase triggered by a loss event.

According to (3), after a reduction the congestion window grows up very fast, but it slows down as it gets closer to \( max\_win \). At this point, the window increment is almost zero. After that, cwnd again starts to grow fast until a new loss event occurs.
B. Live performance evaluations of HSDPA networks

In [13], authors report goodput and one-way delay measurements obtained over both HSDPA and WCDMA networks from the end-user perspective, focusing in particular on VoIP and web applications. Regarding TCP, the paper reports that HSDPA provides better results with respect to WCDMA. In particular, the maximum value measured for the goodput is close to the advertised downlink capacity that was 1Mbps, whereas concerning the one-way delay, the reported measured average value is around 50ms. In the case of the HSDPA network, the number of spurious timeouts due to link layer retransmission is also lower than in the case of WCDMA due to the employment of the ARQ mechanism in the Node-B rather than in the RNC.

In [14], authors perform measurements related to physical, data-link and transport layer, in order to evaluate the interactions between these levels when variations in the wireless channel conditions occur. Regarding TCP performances, authors report measurements of goodput, retransmission percentage and excess one-way delay by using TCP NewReno, TCP Westwood+, TCP Vegas and TCP Cubic. Experiments were conducted in both static and dynamic scenarios, in the case of WCDMA2000 considering one flow or four flows sharing the downlink channel.

In the single flow case, experiments in both static and mobile scenarios provide similar results; authors have found that TCP Vegas achieves a much lower goodput than the other variants, with the lowest packet loss. The other variants generally achieve higher goodput at the expense of higher packet delays, with TCP Cubic exhibiting the largest latency.

In this paper we have not considered TCP Vegas because of its known problems in the presence of reverse traffic [10], [17].

In [6] we carried out an experimental evaluation of TCP NewReno, TCP BIC, and TCP Westwood+ when accessing UMTS downlink and uplink channels. We found that the three considered TCP variants performed similarly on the downlink. In particular we found: 1) a low channel utilization, less than 40%, in the case of a single flow accessing the downlink; 2) a high packet retransmission percentage that was in the range [7, 11]%; 3) a high number of timeouts, quantified in 6 timeouts over a 100s connection, that was not dependent on the number of flows accessing the downlink; 4) RTTs in the range [1440, 2300]ms increasing with the number of concurrent flows.

III. EXPERIMENTAL TESTBED

Figure 1 shows the employed testbed which is made of two workstations equipped with the Linux Kernel 2.6.24 patched with Web100 [18]. TCP flows have been generated and received using iPerf, which was instrumented to log instantaneous values of internal kernel variables, such as cwnd, RTT, ssthresh by using libweb100.

A laptop is connected via USB 2.0 to the User Equipment (UE), which is a mobile phone equipped with a commercial HSDPA card provided by a local mobile operator. The UE has been tested in a static scenario so that handovers could not occur during measurements. The other workstation, instead, was connected to the Internet using an Ethernet card.

The considered TCP variants have been evaluated over the downlink channel in the cases of single, 2, 3 or 4 concurrent connections.

For each experiment run, we have injected TCP flows by rotating the four considered TCP variants, repeating this cycle many times, resulting in 55 hours of active measurements involving 2500 flows. The experiments have been executed in different hours of the day and over many days. Two different scenarios have been considered: 1) long lived flows: the connections lasted 180 seconds each; 2) short lived flows: short file transfers of size 50 KB, 100 KB, 500 KB, and 1 MB have been considered.

For each flow we have logged the most relevant TCP variables and we have computed a rich set of TCP metrics, such as goodput, throughput, round trip time, number of timeouts, packet loss ratio. In the case of N concurrent flows, the fairness has been evaluated using the Jain Fairness Index 4 defined as:

$$JFI = \frac{\left(\sum_{i=1}^{N} g_i\right)^2}{N \sum_{i=1}^{N} g_i^2}$$

where $g_i$ is the average goodput obtained by the $i$-th concurrent flow.

IV. EXPERIMENTAL RESULTS

In this Section, we report the main measurements obtained over the downlink channel. Cumulative distribution functions (CDF), along with average values of each metrics are shown. In the box-and-whisker diagrams shown in this Section the bottom of each box represents the 25-th percentile, the middle line is the median value, whereas the top of each box represents the 75-th percentile. The length of the whiskers is 1.5 times the interquartile range. The average value is represented with a cross and the outliers are not shown.

A. Round Trip Time measurements

Figure 2 shows the cumulative distribution functions (CDF) of the average round trip time (RTT) experienced by a flow for

\[ http://dast.nlanr.net/Projects/Iperf/ \]
Figure 2: CDFs of the RTT in the case of one (a), two (b), three (c) and four (d) flows sharing the HSDPA downlink. $RTT_{85}$ are shown in dashed lines.

Each considered TCP variant, and in the case of one, two, three and four flows sharing the HSDPA downlink, respectively.

In all the cases, there is a remarkable difference between the pair of algorithms formed by TCP NewReno and TCP Westwood+, and the pair formed by TCP BIC and TCP Cubic, with the latter producing higher delays.

It is worth noting that TCP Westwood+ provides the lower round trip times in all considered scenarios. Figure 2 shows that the 85th percentile $RTT_{85}$ of TCP Westwood+ is around 530ms whereas the $RTT_{85}$ of TCP Cubic is around 760ms, in all the considered scenarios.

Table I summarizes the average RTTs for each considered algorithm and scenario: in parenthesis we report the relative RTT percentage increase with respect to the lowest average value. In particular, in the case of the single flow, TCP Cubic provides an average RTT that is 54% higher than that of TCP Westwood+.

It is interesting to compare average values measured over the HSDPA downlink with those obtained over UMTS access links and reported in [6]. For the HSDPA network, measured values were in the range [377,665]ms, whereas for the UMTS network they were in the range [1102,1550]ms.

Figure 3 shows a box-and-whisker plot of measured number of timeouts per connection when one, two, three or four flows shared the HSDPA downlink.

Again, there is a remarkable difference between the NewReno-Westwood+ TCP pair, and the BIC-Cubic TCP pair.

B. Timeouts

Figure 3 shows a box-and-whisker plot of measured number of timeouts per connection when one, two, three or four flows shared the HSDPA downlink.
Table II: Average values (in %) of packet retransmissions over the HSDPA downlink

|      | NewReno | Westwood+ | BIC      | Cubic   |
|------|---------|-----------|----------|---------|
| 1    | 0.052   | 0.053     | 0.10     | 0.16    |
| 2    | 0.11    | 0.12      | 0.21     | 0.33    |
| 3    | 0.17    | 0.16      | 0.31     | 0.56    |
| 4    | 0.29    | 0.28      | 0.46     | 0.80    |

Table III: Average per-connection goodput (in Kbps) over the HSDPA downlink

|      | NewReno | Westwood+ | BIC      | Cubic   |
|------|---------|-----------|----------|---------|
| 1    | 1443    | 1406      | 1456     | 1439    |
| 2    | 790     | 777       | 809      | 806     |
| 3    | 500     | 488       | 505      | 503     |
| 4    | 366     | 374       | 374      | 386     |

Figure 5: Box-and-whisker plot of retransmission burst size

In fact, in all the cases the 50% of the connections that use NewReno or Westwood+ experience around a single timeout in 180s; on the other hand, BIC or Cubic flows experience three timeouts during the same connection duration.

Finally, it is worth noting that the average number of timeouts obtained over HSDPA is remarkably lower with respect to the case of the UMTS downlink, where the average was around 6 in 100s [6].

C. Packet Retransmissions

Figure 4 shows the cumulative distribution functions of the packet retransmission percentage in the case of HSDPA downlink, whereas Table II reports the average values. Also in this case, TCP BIC and TCP Cubic provoke higher packets retransmission percentages than those of TCP NewReno or Westwood+, with TCP Cubic generating retransmissions percentages three times larger than TCP NewReno or TCP Westwood+.

From Table III the average packet retransmission percentages belong to the range [0.052, 0.80]%; these values are negligible with respect to those found in the UMTS downlink channel [6], where percentages in the range from 7% to 11% were reported.

Another important aspect to consider is the burst size of the loss events, which is the number of packets that have to be retransmitted when a loss event occurs. Figure 5 shows a box-and-whisker diagram of the retransmission burst size for the considered protocols in all the considered scenarios. It shows that the retransmission burst sizes decrease when the number of concurrent flows increases and that TCP Westwood+/NewReno pair tends to produce shorter retransmission bursts with respect to TCP BIC/Cubic pair in the case of a single flow accessing the downlink.

D. Goodput, Aggregated Goodput and Fairness

Table III reports the average per-connection goodput measured over the HSDPA downlink. All the algorithms provide a similar average goodput per-connection, which are around 1400 Kbps in the single flow case. By increasing the number of connections \(N\), the goodput decreases roughly as \(1/N\).

Figure 6 shows the aggregate goodput, which is the sum of the goodput of each connection when more concurrent flows share the downlink. In all the considered cases, each TCP variant provides similar values for the aggregated goodput that is around 1400 Kbps.

Also the measured Jain fairness indices, obtained when many TCP flows share the HSDPA downlink channel, are all close to 0.98, which is a high value.

E. Short file transfers

In this subsection we report the goodput obtained in the case of short file transfers, i.e. when files of 50 KB, 100 KB, 500 KB, 1000 KB are downloaded over a HSDPA channel. Figure 7(a) shows a Box-and-Whisker plot of the goodput obtained for each considered TCP variants in the case of one, two, three or four flows sharing the downlink.

Let us consider the case of 50 KB file size. When a single 50 KB file is downloaded (Figure 7(a)), all the considered variants perform similarly obtaining an average goodput in the range [610, 690] kbps that is remarkably lower than 1400 kbps obtained in scenario of the long lived connections. In the case of two files are downloaded simultaneously, the per-connection goodput obtained is in the range [550, 590] kbps which is less than 800 kbps that is the average per-connection goodput obtained in the case of long lived connections (see Table III). When the number of simultaneous download increases to 3 or 4, the average per-connection goodput recovers the same values obtained in the case of long lived connection.

When the file size increases to 100 KB (Figure 7(b)), in the case of a single download the goodput obtained is in the range [850, 950] kbps, which is still below 1400 kbps that is the goodput obtained in the long lived connection scenario. Finally, the goodput obtained when two or more 100 KB files are downloaded recover the same values obtained in the long lived scenario.

Finally, regarding the cases of 500 KB and 1000 KB files the average per-connection goodput obtained are similar to those obtained in the long lived scenario.
Thus, we conclude that even in this scenario the goodput obtained by each of the considered TCP variants does not differ significantly, even though it can be remarkably lower with respect to the long lived scenario if the file size is less than 500 KB.

V. DISCUSSION OF RESULTS

In this Section we focus only on the case of the single flow. For any of the considered TCP algorithms, we select the “most representative” flow dynamics among all the $N$ runs we have repeated as follows: we define the vector $\bar{x}$ whose components are the values of goodput, RTT and number of timeouts, averaged over all the measured runs $r_i$, with $i \in \{1, \ldots, N\}$; then, we evaluate for each run $r_i$ the vector $x_i$ whose components are the values of the correspondent goodput and RTT, averaged over the connection length duration, and the number of timeouts. The index $\hat{i}$ that corresponds to the most representative flow is then selected as follows:

$$\hat{i} = \arg \min_{i \in \{1, \ldots, N\}} \| x_i - \bar{x} \|$$

where $\| \cdot \|$ is the euclidean norm. In other words the “most representative” flow is the single experiment realization that is closer to the average measured values.

Figure 8 shows the $cwnd$, RTT and goodput dynamics of the representative flows.

Figure 8 (c) and 8 (d) shows that TCP BIC and TCP Cubic employ a more aggressive probing phase that tends to generate more congestion episodes with respect to TCP Westwood+ and TCP NewReno. This aggressiveness provokes a higher number of timeouts, larger retransmission percentages and delays as reported in Section IV. On the other hand, the linear probing used by TCP NewReno and TCP Westwood+ keeps low the number of retransmissions and timeouts. Moreover, in the case of TCP Westwood+, the setting $cwnd = BW \cdot RTT_{min}$ after congestion clears out the buffers along the path connection [16], thus providing the smallest queueing delays among the considered TCP variants.

From results in Section IV it is possible to assert that the considered TCP variants provide roughly the same average goodput over HSDPA downlinks. However, Figure 8 shows that the goodput dynamics of TCP Cubic and TCP BIC are remarkably burstier with respect to those of TCP NewReno and TCP Westwood+. Moreover, Cubic and BIC RTT dynamics exhibit large oscillations around the average value due to the aggressive probing phases, whereas NewReno and Westwood+ show a much more regular RTT dynamics.

Finally, the experimental results show that TCP BIC and TCP Cubic provide the worst outcomes in terms of queuing delay, number of timeouts and retransmission percentage.
VI. Conclusions

In this paper we have tested four relevant TCP congestion control algorithms over a commercial HSDPA network. Key performance variables such as goodputs, retransmissions percentage, number of timeouts and round trip times have been measured.

All the TCP variants provide comparable goodputs but with a larger number of retransmissions and timeouts in the case of BIC/Cubic TCP, which is a consequence of their more aggressive probing phases. On the other hand, TCP Westwood+ provides the shorter round trip delays.

The experiments have shown that the HSDPA downlink channel does not exhibit any remarkable issues, achieving good goodput, low number of timeouts and retransmission percentages when using classic TCP NewReno or TCP Westwood+, both implementing standard congestion avoidance phase. The more aggressive probing phase of BIC/Cubic TCP does not improve the goodput and it increases the number of timeouts and retransmissions, which is bad for the network. Finally, RTT is also higher with respect to NewReno/Westwood+ due to higher queuing time. This may be an important result to be considered since TCP Cubic is currently the default congestion control algorithm in the Linux OS. Moreover, TCP Westwood+ provides the shorter round trip times due to the cwnd setting after congestion that clears out the queue backlog along the connection path [16].

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Figure 7: Box-and-whisker plot of per-connection goodput in the case of short file transfers: the case of (a) 50 KB, (b) 100 KB, (c) 500 KB, (d) 1000 KB file size

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Figure 8: cwnd, RTT and goodput dynamics of the “most representative flow” in the single flow scenario