C*-algebras Associated to Stationary Ordered Bratteli Diagrams

April 28, 2013

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Abstract

In this paper, we introduce a C*-algebra associated to any substitution (via its Bratteli diagram model). We show that this C*-algebra contains the partial crossed product C*-algebra of the corresponding Bratteli-Vershik system and show that these algebras are invariant under equivalence of the Bratteli diagrams. We also show that the isomorphism class of the algebras, together with a distinguished set of generators, is a complete invariant for equivalence of Bratteli diagrams.

1 Introduction

Bratteli-Vershik systems provide an interesting combinatorial model for many dynamical systems, as it is the case of substitutional dynamical systems (see [3]) and Cantor minimal systems (see [9]) and are also related to cellular automata (see [12]). From the non-commutative geometry point of view, the object usually associated to a Bratteli-Vershik system is the crossed product C*-algebra. Recently, Fujino introduced another C*-algebra associated to a Bratteli-Vershik system arising from a primitive substitution, see [8]. But, as far as we know, the literature is missing a non commutative counterpart that can be defined for any substitution, or any ordered (not necessarily...
well ordered) stationary Bratteli diagram. It is with this goal that we write this paper.

Building from the ideas in [8] we define a \( C^* \)-algebra that can be constructed from any stationary ordered Bratteli diagram (and hence from any substitution) and that has a quotient that is isomorphic to Fujino’s algebra (in the case of diagrams arising from primitive, proper substitutions). Of course one of the hurdles we have to overcome when dealing with non necessarily well ordered Bratteli diagrams is the fact that there may be more than one maximal and one minimal infinite path in the diagram, and so it is not clear how to extend the Vershik map to the whole path space. We overcome this problem considering the Vershik map as a partially defined map, and define the \( C^* \)-algebra accordingly (see section 2).

One of the key features of Fujino’s algebra is that it contains the crossed product \( C^* \)-algebra arising from the Bratteli-Vershik system. We show, in section 3, that our algebra contains the partial crossed product arising from the Bratteli-Vershik system and take the opportunity to show that this partial crossed product is an AF algebra. Finally, in section 4, we show that the new algebras introduced are invariant under equivalence of the Bratteli diagrams and show that the isomorphism class of the algebras, together with a distinguished set of generators, is a complete invariant of equivalence, that is, we show that if two diagrams are equivalent their algebras are isomorphic (via an isomorphism that preserves the generators), and if two diagrams have algebras that are isomorphic, via an isomorphism that preserves the generators of these algebras, then the diagrams are equivalent.

Before we proceed, we recall the relevant definitions about Bratteli diagrams (following [3]):

A Bratteli diagram, \((V,E)\), is an infinite directed graph. The vertex set \( V \) is the union of a sequence of finite, non-empty, pairwise, disjoint sets, \( V_n, n \geq 0 \). The set \( V_0 \) is assumed for convenience to consist of a single vertex, \( v_0 \). Similarly, the edge set is the union of a sequence of finite, non-empty, pairwise disjoint sets, \( E_n, n \geq 0 \). An edge \( e \) in \( E_n \) has initial vertex \( i(e) \) in \( V_n \) and terminal vertex \( t(e) \) in \( V_{n+1} \). The graph is always assumed to have no sources other than \( v_0 \) and no
sinks, that is, \( i^{-1}(v) \) and \( t^{-1}(v) \) are non-empty for any \( v \) in \( V \) (other than \( t^{-1}(v_0) \)).

A Stationary Bratteli diagram is a Bratteli diagram, \((V, E)\), such that each \( V_n, n \geq 1 \), consists of \( N \) points, say

\[
V_n = \{x(1, n), x(2, n), \ldots, x(N, n)\},
\]

and each \( E_n, n \geq 1 \) consists of \( M \) edges. Following [8], \( j \) is said to be the label of the vertex \( x(j, n) \) and is denoted by \( L(x(j, n)) \).

Furthermore, the edges at level one completely determine the diagram, that is, for each edge \( e' \) in \( E_1 \) with \( i(e') = x(i, 1) \) and \( t(e') = x(j, 2) \) there exists an edge \( e \) in \( E_n, n \geq 2 \), with \( i(e) = x(i, n) \) and \( t(e) = x(j, n + 1) \) and all edges in \( E_n \) arise in this way.

Throughout this paper it is assumed that there is a partial order on \( E_n, n \geq 1 \), such that two edges are comparable if and only if they have the same terminal vertex. A stationary Bratteli diagram is said ordered, if it has “the same” order on each edge level \( E_n \), that is, all \( E_n, n \geq 1 \) are order isomorphic. The set of maximal edges in \( E_n \) is denoted by \( E_n^{\text{max}} \) and analogously \( E_n^{\text{min}} \) denotes the set of minimal edges. Finally, the successor map (Vershik map) on \( E_n, n \geq 1 \), is denoted by \( \lambda \).

2 The C*-algebra associated to an ordered stationary Bratteli diagram

In [8], Fujino defined a C*-algebra associated to a primitive substitution. This C*-algebra was built as an universal C*-algebra generated by partial isometries and an unitary (implementing the Vershik map) subject to relations. For primitive, proper substitutions, the associated Bratteli diagrams have only one maximal and one minimal infinite path, and so the Vershik map may be extended to the whole infinite path space. For a general substitution this is not the case and it is not clear how to extend the Vershik map. So the natural idea to overcome this problem is to consider the Vershik map as a partially
defined map, which leads to a partial isometry in the universal C*-algebra, instead of an unitary. This is the key point of our definition of the C*-algebra associated to an ordered stationary Bratteli diagram:

**Definition 2.1** Let \((V, E, \leq)\) be a stationary ordered Bratteli diagram. Define \(B_E\) to be the universal unital C*-algebra generated by partial isometries \(\{s_e\}_{e \in E_1}\) and a distinguished partial isometry \(u\), such that:

1. (Cuntz-Krieger relations)
   \[
   \sum_{e \in E_1} s_es_e^* = 1
   \]
   and
   \[
   s_g^*s_g = \sum_{e \in E_1: L(t(e))=L(t(g))} s_es_e^*.
   \]

2. For all \(e \in E_1 \setminus E_1^{\text{max}}\),
   \[
   s_{\lambda(e)} = us_e \quad \text{and} \quad u^*s_{\lambda(e)} = s_e.
   \]

3. \[
   u \sum_{e \in E_1^{\text{max}}} s_e = \sum_{e \in E_1^{\text{min}}} s_eu \quad \text{and} \quad u^* \sum_{e \in E_1^{\text{max}}} s_eu^* = \sum_{e \in E_1^{\text{min}}} s_e.
   \]

4. \(u^n\) is a partial isometry, for each \(n \in \mathbb{N}\).

**Remark 2.2** In the case of a Bratteli diagram arising from a primitive, proper substitution, Fujino’s C*-algebra \(B_\sigma\), see [8], is isomorphic to the quotient of \(B_E\) by the ideal generated by \(1 - uu^*\) and \(1 - u^*u\).

Since we have defined the above C*-algebra as an universal object we need to construct a non-zero representation of it. To do so we need to set up notation and give a few definitions.

We define the infinite path space associated to the diagram, denoted by \(X\), as the following compact subspace (with the product
topology) of $\prod_{n=1}^{\infty} E_n$:

$$X = \left\{ \xi \in \prod_{n=1}^{\infty} E_n : t(\xi_j) = i(\xi_{j+1}) \text{ for each } j \geq 1 \right\}$$

and define the finite path space, denoted by $P$, as

$$P = \bigcup_{m=1}^{\infty} \{ f_1 f_2 \ldots f_m : f_j \in E_j \text{ and } t(f_j) = i(f_{j+1}) \forall j \in \{1, 2, \ldots, m-1\} \}.$$  

Notice that for general Bratteli diagrams there is no clear way to extend the Vershik map. So we will define it on the subsets $X_1 := X \setminus X_{\text{min}}$ and $X_{-1} := X \setminus X_{\text{max}}$, where

$$X_{\text{max}} = \{ \xi \in X : \xi_j \in E_{j}^{\text{max}}, \forall j \geq 1 \}$$

and

$$X_{\text{min}} = \{ \xi \in X : \xi_j \in E_{j}^{\text{min}}, \forall j \geq 1 \}.$$  

Now, to extend the definition of the Vershik map (which so far has only been defined on the edges at a specific level) to $\lambda : X_{-1} \to X_1$ we proceed in the following way: given $\xi \in X_{-1}$, let $n$ be such that $\xi_i \in E_i^{\text{max}}$, for $1 \leq i \leq n - 1$ and $\xi_n \notin E_{n}^{\text{max}}$ and define $\lambda(\xi) = g_1 \ldots g_{n-1} g_n \xi_{n+1} \xi_{n+2} \ldots \in X$, where $g_n$ is the successor of $\xi_n$ (that is, $\lambda(\xi_n) = g_n$) and $g_1 \ldots g_{n-1} g_n$ is the unique path such that $g_j \in E_j^{\text{min}}$ for each $j \in \{1, \ldots, n-1\}$. Note that $\lambda : X_{-1} \to X_1$ is a bijective map. Also notice that the definition of $\lambda$ does not rely on the existence of a unique minimal path (with the lexicographic order, as in [3]).

In an analogous way we define the successor map, $\lambda$, in a subset of the finite path space. Note that $\lambda : P \setminus P_{\text{max}} \to P \setminus P_{\text{min}}$, where

$$P_{\text{max}} = \bigcup_{m=1}^{\infty} \{ f_1 \ldots f_m \in P : f_j \in E_{j}^{\text{max}} \forall j = 1, \ldots, m \}$$

and

$$P_{\text{min}} = \bigcup_{m=1}^{\infty} \{ f_1 \ldots f_m \in P : f_j \in E_{j}^{\text{min}} \forall j = 1, \ldots, m \},$$
is a bijection.

Finally, for simplicity, from now on we will write $s_g^*s_g = \sum_{e \in E_1 \mid L(i(e)) = L(t(g))} s_es_e^*$, instead of $s_g^*s_g = \sum_{e \in E_1 \mid i(e) = t(g)} s_es_e^*$.

We have now set the ground to construct a representation of $B_E$ in the bounded operators in $l^2(X)$, $B(l^2(X))$. To this end we let $U : l^2(X) \to l^2(X)$ be defined by

$$U(\delta_\xi) = [\xi \in X_{-1}] \delta_{\lambda(\xi)},$$

where $\{\delta_\xi\}_{\xi \in X}$ is the canonical basis of $l^2(X)$, $[\xi \in X_{-1}] = 1$ if $\xi \in X_{-1}$ and $[\xi \in X_{-1}] = 0$ if $\xi \notin X_{-1}$. Note that $U$ is a partial isometry and $U^*(\delta_\xi) = [\xi \in X_1] \delta_{\lambda{-1}(\xi)}$. Also, for each $e \in E_1$, we define $V_e : l^2(X) \to l^2(X)$ by

$$V_e(\delta_\xi) = [t(e) = i(\xi_1)] \delta_{\xi_1},$$

which is a partial isometry. Note that the adjoint operator $V_e^*$ of $V_e$ is given by $V_e^*(\delta_\xi) = [\xi_1 = e] \delta_{\xi_1},\xi_2,\ldots$.

The operators $U$ and $V_e$ satisfy the relations defining $B_E$ and hence, by the universal property of $B_E$, we obtain a *-homomorphism $\phi : B_E \to B(l^2(X))$ such that $\phi(s_e) = V_e$ and $\phi(u) = U$. Notice that the partial isometry $u \in B_E$ is not an unitary, because $U$ is not an unitary in $l^2(X)$.

Next we establish that $B_E$ contains a commutative algebra, but to do so we first need to understand better the product structure in $B_E$.

Let $W$ be the set of all finite words arising from $(V,E)$, that is, $W = \bigcup_{m=1}^{\infty} \{f_1 \ldots f_m : f_j \in E_j \ \forall j = 1,\ldots,m\}$. We say that a word $f = f_1 \ldots f_m$ of $W$ has length $m$ and write $|f| = m$. Note that a word $f$ is an element of $P$ if and only if $t(f_j) = i(f_{j+1})$ for each $j \in \{1,\ldots,m-1\}$. Finally let $s_f$ denote the corresponding element $s_f = s_{f_1} \ldots s_{f_m}$ in $B_E$.

**Lemma 2.3** If $f = f_1 \ldots f_m \in W$, then $s_f$ is a partial isometry in $B_E$ and:

1. if $t(f_j) = i(f_{j+1})$ for each $j \in \{1,\ldots,m-1\}$, then $s_f \neq 0$;
Proof: That $s_f$ is a partial isometry follows from the Cuntz-Krieger relations. We prove 1 and 2:

Suppose $t(f_j) = i(f_{j+1})$ for each $j \in \{1, ..., m-1\}$ and let $\phi : B_E \to B(l^2(X))$ be the *-homomorphism defined above. Then

$$\phi(s_f)(\delta_\xi) = V_{f_1}V_{f_2}...V_{f_m}(\delta_\xi) =$$

$$= [t(f_1) = i(f_2)]t(f_2) = [i(f_3)]t(f_3) = ...[i(f_{m-1})]t(f_{m-1}) = [t(f_m) = i(\xi_1)]\delta_{f_1f_2...f_m}\xi =$$

$$= [t(f_m) = i(\xi_1)]\delta_{f_{m+1}}.$$

It follows that $\phi(s_f) \neq 0$ (since if we choose $\xi \in X$ such that $i(\xi_1) = t(f_m)$ then $\phi(s_f)(\delta_\xi) = \delta_{f_{m+1}}$) and hence $s_f \neq 0$, proving 1.

To prove 2, suppose now that $t(f_j) \neq i(f_{j+1})$ for some $j \in \{1, ..., m-1\}$. Then

$$s_{f_j}s_{f_{j+1}} = s_{f_j}s_{f_j}^*s_{f_j}s_{f_{j+1}} = s_{f_j} \left( \sum_{e \in E_1 : i(e) = t(f_j)} s_es_e^* \right) s_{f_{j+1}} = 0,$$

since $s_{f_j}^*s_{f_{j+1}} = 0$ for each $e \in E_1$ with $i(e) = t(f_j)$ and hence $s_f = s_{f_1}...s_{f_m} = 0$. \qed

In the universal C*-algebra $B_E$, for each word $f$, let $e_f = s_fs_f^*$. Then the sub-C*-algebra of $B_E$ generated by $\{e_f : f \in P\}$ is commutative, as we show below:

**Proposition 2.4** Let $f, g \in P$, and suppose that $|f| = m$, $|g| = n$, with $m \leq n$.

1. If $g = fh$, that is, if $g_1...g_m = f_1...f_m$, then $e_f e_g = e_g e_f$.
2. If $f_i \neq g_i$ for some $i \in \{1, ..., m\}$, then $e_f e_g = 0 = e_g e_f$.

**Proof:** Suppose $g = fh$. Then

$$e_f e_g = s_f s_{f}^* s_{g} s_{g}^* = s_f s_{f}^* s_{f} s_{f}^* = s_f s_{f}^* s_{f} s_{f}^* = s_f s_{f}^* s_{f} s_{f}^* = s_f s_{f}^* = e_g.$$

It follows that $e_f e_g = e_g$, and so $e_g e_f = (e_f e_g)^* = e_g^* = e_g$. This proves 1.
To prove 2, notice first that if $w_1 w_2 \in P$ then $s_{w_1 w_2}^* s_{w_1 w_2} = s_{w_1}^* s_{w_1}$, since

$$s_{w_2}^* s_{w_1} s_{w_2} = s_{w_2}^* \left( \sum_{u \in E_1: i(u) = t(w_1)} s_u s_u^* \right) s_{w_2} = s_{w_2}^* s_{w_2}.$$

Now, if $f_i \neq g_i$ for some $i \in \{1, \ldots, m\}$, and $k$ is the least index $i$ such that $f_i \neq g_i$, we have that

$$s_{f_k}^* s_{f_{(k-1)}} \cdots s_{f_1}^* s_{g_1} \cdots s_{g_{(k-1)}} s_{g_k} = s_{f_k}^* s_{f_{(k-1)}} \cdots s_{f_1}^* s_{f_1} \cdots s_{g_{(k-1)}} s_{g_k} = s_{f_k}^* s_{f_{(k-1)}} s_{f_{(k-1)}} s_{g_k}$$

and

$$s_{f_k}^* s_{f_{(k-1)}} s_{f_{(k-1)}} s_{g_k} = s_{f_k}^* \left( \sum_{u \in E_1: i(u) = t(f_{(k-1)})} s_u s_u^* \right) s_{g_k} = s_{f_k}^* s_{g_k} = 0.$$

It follows that $s_{f}^* s_{g} = 0$ and so $e_{f} e_{g} = 0$ as desired. 

The sub-C*-algebra of $B_E$ generated by $\{e_f : f \in P\}$ will play a crucial role in the next section and so we show below some of its important properties (corresponding, but in a more general setting, to [8, 3.10]).

**Proposition 2.5** In the universal C*-algebra $B_E$ it holds that:

1. $\sum_{f \in E_1} e_f = 1$;
2. $e_g = \sum_{h \in E_1: i(h) = t(g)} e_{gh}$;
3. $e_{\lambda(f)} = u e f u^*$ for each $f \in P \setminus P_{\text{max}}$.
4. $e_{\lambda^{-1}(f)} = u^* e f u$ for each $f \in P \setminus P_{\text{min}}$.

**Proof:**

This proposition is a consequence of the relations which define $B_E$. To give a flavor of the techniques involved we prove 4:
Let \( f \in P, \ f = f_1 \ldots f_n \ldots f_m \) with \( f_i \in E^{\min}_1 \) for \( i \in \{1, \ldots, n - 1\} \) and \( f_n \notin E^{\min}_1 \). Notice that if \( f_k \) and \( f_j \) are in \( E^{\min}_1 \) and \( f_k \neq f_j \) then \( t(f_k) \neq t(f_j) \). It follows that

\[
u^* s_f = u^* s_f \ldots s_f = u^* \left( \sum_{e \in E^\min_1} s_e \right) s_f \ldots s_f = \left( \sum_{e \in E^\min_1} s_e \right) u^* s_f \ldots s_f =
\]

\[
\left( \sum_{e \in E^\min_1} s_e \right) \ldots \left( \sum_{e \in E^\min_1} s_e \right) u^* s_f \ldots s_f =
\]

\[
= \left( \sum_{e \in E^\max_1} s_e \right) \ldots \left( \sum_{e \in E^\max_1} s_e \right) s_{\lambda^{-1}(f)} \ldots s_{f_m} =
\]

\[
= s_{h_1} \ldots s_{h_{n-1}} s_{\lambda^{-1}(f)} \ldots s_{f_m},
\]

where \( h_1 \ldots h_{n-1} \lambda^{-1}(f_n) f_n \ldots f_m = \lambda^{-1}(f) \). Then \( u^* s_f = s_{\lambda^{-1}(f)} \) and hence \( e_{\lambda^{-1}(f)} s_f s_{\lambda^{-1}(f)} u = u^* e u \). \( \square \)

### 3 The partial crossed product

Let \( X \) be the infinite path space, \( X_{-1} = X \setminus X_\max \) and \( X_1 = X \setminus X_\min \), as is the previous section. Recall that \( X \) is compact, \( X_{-1}, X_1 \) are open subsets of \( X \) and the Vershik map \( \lambda : X_{-1} \to X_1 \) is a homeomorphism.

Define, inductively, for each \( n \in \mathbb{Z}, \ n \geq 2 \),

\[
X_{-n} := X_{-(n-1)} \cap \lambda^{-(n-1)}(X_{-1} \cap X_{n-1})
\]

and

\[
X_n := X_{n-1} \cap \lambda^{n-1}(X_1 \cap X_{-(n-1)}),
\]

which are open subsets of \( X \). Let \( X_0 = X \). Notice that \( X_{-n} \) is the domain of \( \lambda^n \) and so \( \lambda^n \) is a homeomorphism between \( X_{-n} \) and \( X_n \), for all \( n \in \mathbb{Z} \). Now, for each \( n \in \mathbb{Z} \), let \( \theta_n = \lambda^n \). Then \( \theta = \{ \{ X_n \}, \{ \theta_n \} \} \) is a partial action of \( \mathbb{Z} \) in \( X \), in the sense of [5][13], and we denote the corresponding partial action in \( C_0(X) \) by \( \alpha \). (Note that, for all \( n \in \mathbb{Z} \),
\[ \alpha_n : C_0(X_{-n}) \to C_0(X_n) \] is given by \( \alpha_n(f) = f \circ \theta_{-n} \).

Our main goal in the next pages is to show that the partial crossed product \( C_0(X) \rtimes_\alpha \mathbb{Z} \) is a sub-C*-algebra of \( \mathcal{B}_E \). For this purpose, we need to present some notations and preliminary results.

For each \( f = f_1 \ldots f_m \in P \), let \( 1_f \) denote the characteristic function of the subset \( X_f = \{ \xi \in X : \xi_1 \ldots \xi_m = f_1 \ldots f_m \} \). Note that \( 1_f \in C(X) \) because \( X_f \) is clopen in \( X \). Define \( P_0 := P \) and for each \( n \in \mathbb{Z} \), \( n \geq 1 \),

\[ P_{-n} = \{ f \in P : \lambda^i(f) \in P \setminus P_{\text{max}}, i = 0 \ldots n - 1 \} \]

and

\[ P_n = \{ f \in P : \lambda^{-i}(f) \in P \setminus P_{\text{min}}, i = 0 \ldots n - 1 \} \]

Note that if \( f = f_1 \ldots f_m \in P_n \), for \( n \in \mathbb{Z} \), then \( 1_f \in C_0(X_n) \), since \( X_f \subseteq X_n \).

Lemma 3.1 1. Let \( f, g \in P \) with \( |f| > |g| \). Then \( 1_f 1_g = 1_f \), if \( f = gh \) and \( 1_f 1_g = 0 \) otherwise.

2. For each \( n \in \mathbb{Z} \), \( \text{span}\{1_f : f \in P_n\} \) is dense in \( C_0(X_n) \).

3. For each \( n \in \mathbb{Z} \) and for each \( f \in P_{-n} \) it holds that \( \alpha_n(1_f) = 1_{\lambda^n(f)} \).

Proof: The first statement of the lemma is clear. The second statement follows from the Stone-Weierstrass theorem: \( \text{span}\{1_f : f \in P_n\} \) vanishes nowhere, since if \( \xi \in X_n \) then there exists \( m \in \mathbb{N} \) such that \( \xi_1 \ldots \xi_m \in P_n \), hence \( 1_{\xi_1 \ldots \xi_m} \in C_0(X_n) \) and \( 1_{\xi_1 \ldots \xi_m}(\xi) = 1 \). Also one can easily check that \( \text{span}\{1_f : f \in P_n\} \) separates points in \( C_0(X_n) \) and the hypothesis of the Stone-Weierstrass theorem are satisfied. Finally, the third statement also holds, since if \( f \in P_{-n} \), then \( 1_f \in C_0(X_{-n}) \) and for all \( \xi \in X_n \) one has that

\[ \alpha_n(1_f)(\xi) = 1_f(\theta_{-n}(\xi)) = 1_f(\lambda^{-n}(\xi)) = 1_{\lambda^n(f)}(\xi). \]

One important step before we can show that \( C(X) \rtimes_\alpha \mathbb{Z} \) is a sub-algebra of \( \mathcal{B}_E \) is to embed \( C(X) \) in \( \mathcal{B}_E \). We do this below.
Let $B$ be the universal $C^*$-algebra generated by projections $\{P_f\}_{f \in P}$ subject to the relations $\sum_{h \in E_1} P_h = 1$ and, for each $f \in P$, $P_f = \sum_{h \in E_1: \delta(h) = \ell(f)} P_{fh}$. Notice that the first relation implies that all $P_f$, with $f \in E_1$, are orthogonal. This, together with the second relation implies that if $f, g \in P$ with $|f| = |g|$ then $P_f$ and $P_g$ are orthogonal. Finally, this last conclusion, together with the second relation, imply that $B$ is a commutative algebra, with product structure analogous to proposition 2.4, that is, $P_f P_g = P_g P_f$ if $g = fh$ and $P_f P_g = 0 = P_g P_f$ if $|g| = |f|$ and $g \neq f$ or if $|g| \geq |f|$ and $g \neq fh$. Notice that according to proposition 2.5 there is a $*$-representation from $B$ in $B_E$ which maps $P_f$ to $e_f$.

**Lemma 3.2** The spectrum of $B$ is homeomorphic to $X$.

**Proof:** Let $\hat{B}$ denote the spectrum of $B$. We will define a map $T : \hat{B} \to X$. For this, let $\varphi \in \hat{B}$. Note that $\varphi(P_f) \in \{0, 1\}$, for each $f \in P$, and since $\sum_{f \in E_1} \varphi(P_f) = 1$, this implies that there exists one, and only one, $\xi_1 \in E_1$ such that $\varphi(P_{\xi_1}) = 1$. Now, since $\varphi(P_{\xi_1}) = \sum_{h \in E_1} \varphi(P_{h\xi_1})$, there is one, and only one, $\xi_2 \in E_1$ such that $\varphi(P_{\xi_1 \xi_2}) = 1$. Continuing in this manner we construct an unique infinite path $\xi^\varphi \in X$ such that $\varphi(P_{\xi_1^\varphi \ldots \xi^\varphi_m}) = 1$, for each $m \in \mathbb{N}$. Notice that for $f \in P$, $\varphi(P_f) = 1$ if and only if $f = \xi_1^\varphi \ldots \xi_m^\varphi$ for some $m \in \mathbb{N}$.

So, define $T : \hat{B} \to X$ by $T(\varphi) = \xi^\varphi$.

To see that $T$ is injective, let $\varphi, \psi \in \hat{B}$ and suppose that $T(\varphi) = T(\psi)$, that is, $\xi^\varphi = \xi^\psi$. Then, for $f \in P$, $\varphi(P_f) = 1$ iff $f = \xi_1^\varphi \ldots \xi_m^\varphi = \xi_1^\psi \ldots \xi_m^\psi$ for some $m \in \mathbb{N}$, and this holds iff $\psi(P_f) = 1$. It follows that $\varphi$ and $\psi$ coincide on the generators of $B$, and therefore $\varphi = \psi$.

To check that $T$ is surjective, let $\xi \in X$, and define (by the universal property of $B$), $\varphi : B \to \mathbb{C}$ by $\varphi(P_f) = 1$ if $f = \xi_1 \ldots \xi_m$, for some $m \in \mathbb{N}$, and $\varphi(P_f) = 0$ otherwise. Then $\varphi \in \hat{B}$ and $T(\varphi) = \xi$.

It is also not hard to verify that $T$ and $T^{-1}$ are continuous, and hence $T$ is a homeomorphism as desired.

Let $T : \hat{B} \to X$ be the homeomorphism defined in the lema above. It is well known that such a homeomorphism induces a $*$-isomorphism.
\( \Phi : C(X) \to C(\hat{B}) \), defined by \( \Phi(f) = f \circ T \). Note that for each \( f \in P \), \( \Phi(1_f) = \hat{P}_f \), where \( \hat{P}_f \) is the image of \( P_f \) by the Gelfand *-isomorphism, since for all \( \psi \in \hat{B} \),

\[
\Phi(1_f)(\psi) = (1_f \circ T)(\psi) = 1_f(T(\psi)) = 1_f(\xi^\psi) = \psi(P_f) = \hat{P}_f(\psi).
\]

So, the composition \( \wedge^{-1} \circ \Phi \) is a *-isomorphism from \( C(X) \) to \( B \) which maps \( 1_f \) to \( P_f \). Since there exists a *-homomorphism from \( B \) to \( BE \) that maps \( P_f \) to \( e_f \), we obtain an *-homomorphism

\( \varphi : C(X) \to BE \)

such that \( \varphi(1_f) = e_f \), for each \( f \in P \).

**Proposition 3.3** The *-homomorphism \( \varphi : C(X) \to BE \) defined above is injective.

**Proof:** For each \( m \geq 1 \), let \( K_m \) be the cardinality of \( \{ f \in P : |f| = m \} \) and \( C_{K_m} \) be the subalgebra of \( C(X) \), generated by \( \{ 1_f : f \in P, |f| = m \} \). Once we show that \( \varphi|_{C_{K_m}} : C_{K_m} \to BE \) is isometric for all \( m \geq 1 \), it will follow that \( \varphi : C(X) \to BE \) is isometric, since any element of \( \text{span}\{1_f : f \in P\} \) is an element of some subalgebra \( C_{K_m} \) (what can be seen from the equality 1\( h = \sum \sum 1_{hg}, \) for all \( h \in P \)) and from lemma \text{[3.1]} \( \text{span}\{1_f : f \in P\} \) is dense in \( C(X) \).

Now, to show that \( \varphi|_{C_{K_m}} \) is isometric, let \( B_{K_m} \) be the subalgebra of \( BE \) generated by \( \{ e_f : f \in P, |f| = m \} \). Let \( f^{1}, f^{2}, ..., f^{K_m} \) be the elements of \( \{ f \in P : |f| = m \} \). By proposition \text{[2.3]} \( B_{K_m} \) is finite dimensional and *-isomorphic to \( \mathbb{C}^{d} \), where \( d \) is the dimension of \( B_{K_m} \). By lemma \text{[2.3]} \( e_f \neq 0 \) for each \( f \in P \), and so \( d = K_m \). Hence \( B_{K_m} \) is *-isomorphic to \( C_{K_m} \), via the *-isomorphism \( B_{K_m} \ni e_f \mapsto e_f \in C_{K_m} \), where \( \{ e_f \} \) is the standard basis of \( C_{K_m} \). Also, \( C_{K_m} \) is *-isomorphic to \( C_{K_m} \), via the *-isomorphism \( C_{K_m} \ni 1_f \mapsto e_f \in C_{K_m} \). So, \( C_{K_m} \) is isomorphic to \( B_{K_m} \), via the *-isomorphism \( C_{K_m} \ni 1_f \mapsto e_f \in B_{K_m} \), and therefore, since \( \varphi \) coincides with this isomorphism in \( C_{K_m} \), \( \varphi|_{C_{K_m}} \) is isometric as desired.

The last step we need before we can embed \( C(X) \rtimes_{\alpha} \mathbb{Z} \) in \( BE \) is
to define a partial representation of \( Z \) in \( B_E \) that is covariant with respect to the action \( \alpha \). For this, define \( \pi : Z \rightarrow B_E \) by \( \pi(n) = u^n \) if \( n \geq 0 \) and \( \pi(n) = (u^*)^{|n|} \) if \( n < 0 \). By the definition of \( B_E \), \( \pi(n) \) is a partial isometry, for each \( n \in Z \). Moreover, we have the following:

**Proposition 3.4** The map \( \pi : Z \rightarrow B_E \) is a partial representation of \( Z \).

**Proof:** It is clear that \( \pi(-n) = \pi(n)^* \) for all \( n \in Z \) and that \( \pi(0) = 1 \). So, all we need to show is that \( \pi(-n)\pi(n)\pi(m) = \pi(-n)\pi(n+m) \), for each \( n, m \in Z \). Note that if \( m, n \) are both positive or both negative, or if \( m = -n \), then \( \pi(-n)\pi(n)\pi(m) = \pi(-n)\pi(n+m) \) by the definition of \( \pi \). To prove the remaining cases we will use that if \( r, s \) are both positive, or both negative, then \( \pi(r)\pi(-r) \) and \( \pi(-s)\pi(s) \) commute (see \[5.3\]). So, let \( n, m \) be integers with opposite signs.

If \( |m| < |n| \) then \( n = -m + k \) where \( k \) has the same sign as \(-m\). So, it follows that

\[
\pi(-n)\pi(n)\pi(m) = \pi(m - k)\pi(k - m)\pi(m) = \\
\pi(m)\pi(-k)\pi(-m)\pi(m) = \pi(m)\pi(-m)\pi(m)\pi(-k)\pi(k) = \\
= \pi(m)\pi(-k)\pi(k) = \pi(m - k)\pi(k) = \pi(-n)\pi(k) = \pi(-n)\pi(n + m).
\]

If \( |m| > |n| \) then \( m = -n + k \), where \( k \) has the same sign as \(-n\), and in this case,

\[
\pi(-n)\pi(n)\pi(m) = \pi(-n)\pi(n)\pi(-n + k) = \pi(-n)\pi(n)\pi(-n)\pi(k) = \\
= \pi(-n)\pi(k) = \pi(-n)\pi(m + n).
\]

\[\square\]

**Lemma 3.5** For each \( n \in Z \) and \( a \in C_0(X_{-n}) \) it holds that:

1. \( \varphi(\alpha_n(a)) = \pi(n)\varphi(a)\phi(-n) \)
2. \( \pi(-n)\pi(n)\varphi(a) = \varphi(a) = \varphi(a)\pi(-n)\pi(n) \).

**Proof:** By lemma \[3.3\] it is enough to prove the equalities for \( a = 1_f \) with \( f \in P_{-n} \). By the same lemma, \( \alpha_n(1_f) = 1_{\lambda^a(f)} \).
We start with the first statement:
Suppose \( n \geq 0 \). Then,
\[
\pi(n)\varphi(1_f)\pi(-n) = u^n e_f u^{*-n} = e_{\lambda^n(f)} = \varphi(1_{\lambda^n(f)}) = \varphi(\alpha_n(1_f)),
\]
where the second equality above follows by repeatedly using item 3 of proposition 2.5. The case \( n < 0 \) follows analogously.

Now, for the second statement, suppose \( n \geq 0 \). Then, repeatedly using the relations \( us_h = s_{\lambda(h)} \) and \( u^* s_h = s_{\lambda^{-1}(h)} \) we obtain that \( u^n s_f = s_{\lambda^n(f)} \) and \( u^{*-n}s_{\lambda^n(f)} = s_f \). It follows that
\[
\pi(-n)\pi(n)\varphi(1_f) = u^{*-n} u^n e_f = u^{*-n} u^n s_f s_f^* = s_f s_f^* = e_f = \varphi(1_f),
\]
and taking adjoints we obtain that \( \varphi(1_f)\pi(-n)\pi(n) = \varphi(1_f) \).

The case \( n < 0 \) is analogous. ∎

We are now ready to prove the main result of this section:

**Theorem 3.6** There exists an injective \(*\)-isomorphism from \( C(X) \rtimes_{\alpha} \mathbb{Z} \) in \( B_E \).

**Proof:** Define \((\varphi \times \pi) : \{\sum a_n \delta_n : n \in \mathbb{Z}, a_n \in C_0(X_n)\} \rightarrow B_E \) by \((\varphi \times \pi)(\sum a_n \delta_n) = \sum \varphi(a_n)\pi(n)\). Note that \( \varphi \times \pi \) is contractive, that is, \( \|((\varphi \times \pi)(\sum a_n \delta_n))\| \leq \sum \|a_n\| \). Moreover, in light of lemma 3.5 and the fact that \( \pi \) is a partial representation, it is straightforward to check that \( \varphi \times \pi \) preserves adjoints and multiplications, and hence \( \varphi \times \pi \) extends to \( C(X) \rtimes_{\alpha} \mathbb{Z} \). We denote this extension also by \( \varphi \times \pi \).

Now, by proposition 3.3 \( \varphi \) is injective and since \( \mathbb{Z} \) is amenable and \( \theta \) is a free partial action, if follows, by [10, 4.2] and [7, 2.6], that \( \varphi \times \pi \) is injective. ∎

One of the reasons the above result is interesting is that tail equivalence, in the case of a simple well ordered Bratteli diagram, played a very important role in the study of Cantor minimal systems and orbit equivalence, see theorem 4.16 of [11] for example. It is easy to check that the partial orbit equivalence (transformation groupoid) associated to the partial action of the beginning of this section is the same
as tail equivalence and hence it is an AF equivalence relation. It follows (by realizing the partial crossed product as the C*-algebra of the partial orbit equivalence relation, see [1] or [2]) that $C(X) \rtimes_\alpha \mathbb{Z}$ is AF.

Next we show directly that the partial crossed product $C(X) \rtimes_\alpha \mathbb{Z}$, as in the theorem above, is an AF algebra, regardless of any hypothesis on the Bratteli diagram (this is also a partial converse for a result from Exel, see [4], where he shows that every AF-algebra can be realized as a partial crossed product). Before we proceed we need a technical lemma.

Let $W_N$ be the set of all the words of $P$ with length $N$. Note that $W_N$ is finite, for each $N \in \mathbb{N}$, since $E_1$ is finite.

**Lemma 3.7** For a fixed $N \in \mathbb{N}$, there exists $n_N \in \mathbb{N}$ such that $P_n \cap W_N = \emptyset$, for all $n \in \mathbb{Z}$ with $|n| \geq n_N$.

**Proof:** Let $m$ be the number of edges in $E_1$. Then $P_m \cap W_N = \emptyset$ and $P_{-m} \cap W_N = \emptyset$. Notice that if $r, s \in \mathbb{Z}$ with $r \geq s \geq 0$ or $r \leq s \leq 0$ then $P_r \subseteq P_s$ and hence it follows that, if $n \in \mathbb{Z}$ with $|n| \geq mN$ then $P_n \cap W_N = \emptyset$. □

**Proposition 3.8** The partial crossed product $C(X) \rtimes_\alpha \mathbb{Z}$ associated to the partial action given in the beginning of this section is an AF-algebra.

**Proof:** First notice that $\text{span}\{1_f : f \in P_n\}$ is dense in $C_0(X_n)$ (see lemma 3.1) and hence $\text{span}\{1_f \delta_n : f \in P_n, n \in \mathbb{Z}\}$ is dense in $C(X) \rtimes_\alpha \mathbb{Z}$. So, it is enough to show that $\text{span}\{1_f \delta_n : f \in P_n, n \in \mathbb{Z}\}$ is an increasing union of finite dimensional C*-algebras.

Let $A_N = \text{span}\{1_f \delta_n : f \in P_n \cap W_N, n \in \mathbb{Z}\}$. By the previous lemma, together with the fact that $W_N$ is finite, $A_N$ is a finite dimensional vector space. Next we show that $A_N$ is a finite dimensional C*-algebra.

Let $f \in P_n \cap W_N$ and $g \in P_m \cap W_N$. Then,

$$1_f \delta_n 1_g \delta_m = \alpha_n(\alpha_{-n}(f)1_g)\delta_{n+m} = \alpha_n(1_{\lambda^{-n}(f)}1_g)\delta_{n+m} = [\lambda^{-n}(f) = g]1_f \delta_{n+m}.$$  

Notice that if $\lambda^{-n}(f) = g$ then $f \in P_{n+m}$, and so $1_f \delta_{n+m} \in A_N$. This shows that $A_N$ is closed under multiplication.
To see that $A_N$ is also closed under involution, note that if $f \in P_n$ then $\lambda^{-n}(f) \in P_{-n}$, and hence $(1_f \delta_n)^* = 1_{\lambda^{-n}(f)} \delta_{-n} \in A_N$.

So, it follows that $A_N$ is a $*$-algebra. Since $A_N$ is finite dimensional, $A_N$ is complete and hence a C*-algebra.

It remains to show that $A_N \subseteq A_{N+1}$.

Let $f \in P_n \cap W_N$. Notice that we can write $X_f$ as

$$X_f = \bigcup_{e \in E_1 : i(e) = t(f)} X_{fe},$$

and hence $1_f = \sum_{e \in E_1 : i(e) = t(f)} 1_{fe}$. Since $f \in P_n \cap W_N$, we have that, for each $e \in E_1$ with $i(e) = t(f)$, $fe \in P_n \cap W_{N+1}$. So $1_f \delta_n = \sum_{e \in E_1 : i(e) = t(f)} 1_{fe} \delta_n \in A_{N+1}$, and therefore $A_N \subseteq A_{N+1}$.

We conclude that $\text{span}\{1_f \delta_n : n \in \mathbb{Z}, f \in P_n\} = \bigcup_{N=1}^{\infty} A_N$ is an increasing union of finite dimensional C*-algebras and the proposition follows. \hfill \qed

4 Invariance

In this last section we show that the C*-algebras introduced in section 2 are almost complete invariants for equivalence of stationary ordered Bratteli diagrams, a notion we make precise below.

Recall that for a Bratteli diagram $(E, V)$, $E_1$ denotes the set of edges at level one, two edges are comparable iff they have the same terminus and $\lambda$ denotes the successor (Vershik) map (either at $E_1$ or at the infinite path space).

**Definition 4.1** Let $(E, V)$ and $(\tilde{E}, \tilde{V})$ be two stationary ordered Bratteli diagrams. We say that $(E, V)$ and $(\tilde{E}, \tilde{V})$ are equivalent if there exists a bijection $T : E_1 \to \tilde{E}_1$ such that:

1. For all $e, f \in E_1$, $t(e) = i(f)$ if and only if $t(T(e)) = i(T(f))$;
2. For all $e \in E_1 \setminus E_1^{\max}$, $T(\lambda(e)) = \tilde{\lambda}(T(e))$, where we assume that $\lambda$ and $\tilde{\lambda}$ are defined only on $E_1 \setminus E_1^{\max}$ and $\tilde{E}_1 \setminus \tilde{E}_1^{\max}$, respectively.

**Remark 4.2** It follows from the second item in the above definition that $e$ is maximal if and only if $T(e)$ is maximal, and $e$ is minimal if and only if $T(e)$ is minimal.
Example 4.3 Let \((E, V)\) end \((\tilde{E}, \tilde{V})\) be two Bratteli diagrams as in the picture below. In this case, \(E_1 = \{e_1, e_2, e_3, e_4, e_5, e_6\}\) with \(e_1 < e_2\) and \(e_4 < e_5 < e_6\), and \(\tilde{E}_1 = \{f_1, f_2, f_3, f_4, f_5, f_6\}\) with \(f_1 < f_2\) and \(f_4 < f_5 < f_6\). The Bratteli diagrams \(E\) and \(\tilde{E}\) are equivalent, with the bijection \(T : E \rightarrow \tilde{E}\) given by \(T(e_n) = f_n\), for \(n \in \{1, 2, ..., 6\}\).

\[
\begin{array}{c}
\begin{array}{cccccc}
e_1 & e_2 & e_3 & e_4 & e_5 & e_6 \\
E
\end{array}
\end{array}
\begin{array}{c}
\begin{array}{cccccc}
f_1 & f_4 & f_3 & f_2 & f_5 & f_6 \\
\tilde{E}
\end{array}
\end{array}
\]

Theorem 4.4 If \((E, V)\) and \((\tilde{E}, \tilde{V})\) are equivalent then \(B_E\) and \(B_{\tilde{E}}\) are isomorphic C*-algebras. Furthermore, there exists an *-isomorphism \(\phi : B_E \rightarrow B_{\tilde{E}}\) that preserves the generators of the algebras, that is, \(\phi\) is such that \(\{\phi(s_e)\}_{e \in E_1} = \{s_{\tilde{e}}\}_{\tilde{e} \in \tilde{E}_1}\) and \(\phi(u) = \tilde{u}\), where \(\{s_e\}_{e \in E_1} \cup \{u\}\) are the generators of \(B_E\) and \(\{s_{\tilde{e}}\}_{\tilde{e} \in \tilde{E}_1} \cup \{\tilde{u}\}\) are the generators of \(B_{\tilde{E}}\).

Proof: Let \(\{s_e\}_{e \in E_1}\) and \(u\) be the generators of \(B_E\) and \(\{s_{\tilde{e}}\}_{\tilde{e} \in \tilde{E}_1}\) and \(\tilde{u}\) be the generators of \(B_{\tilde{E}}\). By the universal property of \(B_E\) there exists a *-homomorphism \(\phi : B_E \rightarrow B_{\tilde{E}}\) such that \(\phi(s_e) = s_{T(e)}\) and \(\phi(u) = \tilde{u}\). In a similar way there is a *-homomorphism \(\psi : B_{\tilde{E}} \rightarrow B_E\) such that \(\psi(\tilde{u}) = u\) and \(\psi(s_{\tilde{e}}) = s_{T^{-1}(\tilde{e})}\). It is now straightforward to check that \(\psi\) is the inverse of \(\phi\). \(\square\)

Theorem 4.5 Let \((E, V)\) and \((\tilde{E}, \tilde{V})\) be two stationary ordered Bratteli diagrams. If there exists a *-isomorphism \(\psi : B_E \rightarrow B_{\tilde{E}}\) that preserves the generators of the algebras (as in theorem 4.4), then \((E, V)\) and \((\tilde{E}, \tilde{V})\) are equivalent.

Proof:

Define \(T : E \rightarrow \tilde{E}\) by \(T(e) = \tilde{e}\), where \(\tilde{e}\) is such that \(\psi(s_{\tilde{e}}) = s_{\tilde{e}}\).

We have to check that \(T\) is a bijection that satisfies conditions 1 and 2 of definition 4.1. For what follows it is important to notice that \(\psi(s_{\tilde{e}}) = s_{T(e)}\) for all \(e \in E_1\).
That $T$ is surjective is clear. Also, if $e \neq f$ in $E_1$, then $s_{T(e)} = \psi(s_e) \neq \psi(s_f) = s_{T(f)}$, and so $T(e) \neq T(f)$. Therefore $T$ is a bijection.

Next we verify the first item of definition 4.1. Let $e \in E_1$. Then

$$\sum_{i(g)=t(T(e))} s_g s_g^* = s_{T(e)}^* s_{T(e)} = \psi(s_e^* s_e) = \psi\left(\sum_{i(f)=t(e)} s_f s_f^*\right) = \sum_{i(f)=t(e)} s_{T(f)} s_{T(f)}^*$$

and so

$$\sum_{i(g)=t(T(e))} s_g s_g^* = \sum_{i(f)=t(e)} s_{T(f)} s_{T(f)}^*. $$

Now, for a fixed $g_0 \in \{g \in \widetilde{E}_1 : i(g) = t(T(e))\}$ we have that

$$s_{g_0} = \sum_{i(g)=t(T(e))} s_g s_g^* s_{g_0} = \sum_{i(f)=t(e)} s_{T(f)} s_{T(f)}^* s_{g_0},$$

and hence there exists $T(f) \in \{T(f) : i(f) = t(e)\}$ such that $T(f) = g_0$.

We have shown that

$$\{g \in \widetilde{E}_1 : i(g) = t(T(e))\} \subseteq \{T(f) : i(f) = t(e)\},$$

and proceeding is a similar way we also obtain that

$$\{T(f) : i(f) = t(e)\} \subseteq \{g \in \widetilde{E}_1 : i(g) = t(T(e))\},$$

and hence

$$\{T(f) : i(f) = t(e)\} = \{g \in \widetilde{E}_1 : i(g) = t(T(e))\}.$$
Let $e \in E_1$ be a non maximal edge and suppose $T(e)$ is maximal. Note that $\tilde{u} s_{T(e)} = \psi(u s_e) = \psi(s_\lambda(e)) = s_{T(\lambda(e))}$. In terms of the representation of the algebra introduced in section 2, this equality yields the equality $U V_{T(e)} = V_{T(\lambda(e))}$, that is, $U V_{T(e)}(\delta_\xi) = V_{T(\lambda(e))}(\delta_\xi)$ for each $\xi$ in the path space $X$ associated to $\tilde{E}$.

Notice that there exists $\xi \in X$, non maximal, such that $T(e)\xi \in X$, since if we suppose that no such $\xi$ exists then $U V_{T(e)} = 0$, what implies that $V_{T(\lambda(e))} = 0$, a contradiction (since $V_f \neq 0$ for each $f \in \tilde{E}_1$). So, for this $\xi$, we have that $\delta_{\tilde{\lambda}(T(e)\xi)} = U V_{T(e)}(\delta_\xi) = V_{T(\lambda(e))}(\delta_\xi) = \delta_{T(\lambda(e))}\xi$, and it follows that $\tilde{\lambda}(T(e)\xi) = T(\lambda(e))\xi$. Since $T(e)$ is maximal then $\tilde{\lambda}(T(e)\xi) = f \tilde{\lambda}(\xi)$, where $f \in \tilde{E}_1$, and therefore $\tilde{\lambda}(\xi) = \xi$, a contradiction. It follows that $T(e)$ is not maximal.

Let us finally verify the second item of definition 4.1. Let $e$ be a non maximal edge in $E_1$. Then $T(e)$ is not maximal and

$$s_{\tilde{\lambda}(T(e))} = \tilde{u} s_{T(e)} = \psi(u s_e) = \psi(s_\lambda(e)) = s_{T(\lambda(e))},$$

and so $T(\lambda(e)) = \tilde{\lambda}(T(e))$ as desired. \qed

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