Toward a Census of Bacteria in Soil

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For more than a century, microbiologists have sought to determine the species richness of bacteria in soil, but the extreme complexity and unknown structure of soil microbial communities have obscured the answer. We developed a statistical model that makes the problem of estimating richness statistically accessible by evaluating the characteristics of samples drawn from simulated communities with parametric community distributions. We identified simulated communities with rank-abundance distributions that followed a truncated lognormal distribution whose samples resembled the structure of 16S rRNA gene sequence collections made using Alaskan and Minnesotan soils. The simulated communities constructed based on the distribution of 16S rRNA gene sequences sampled from the Alaskan and Minnesotan soils had a richness of 5,000 and 2,000 operational taxonomic units (OTUs), respectively, where an OTU represents a collection of sequences not more than 3% distant from each other. To sample each of these OTUs in the Alaskan 16S rRNA gene library at least twice, 480,000 sequences would be required; however, to estimate the richness of the simulated communities using nonparametric richness estimators would require only 18,000 sequences. Quantifying the richness of complex environments such as soil is an important step in building an ecological framework. We have shown that generating sufficient sequence data to do so requires less sequencing effort than completely sequencing a bacterial genome.

Introduction

Enumerating the human population of a country or region through a census is an ancient problem that is complicated by the challenges inherent in accurately representing a large and often inaccessible population. The same issues manifest in censuses of microbial communities, but are intensified by greater complexity and methodological challenges. Although a complete census of a country is theoretically possible, it is currently impractical to survey all $10^9$ bacterial cells in a gram of soil [1], making a sample-based census the best option for estimating richness—the number of bacterial taxa in soil. To do so accurately requires a reliable means to access the bacteria, a reasonable definition of “species,” and a robust description of the frequency distribution of the species. Just as a country’s census describes a fundamental property of that country, an environment’s richness is the most fundamental descriptor of community structure, and patterns of richness can be correlated with an environment’s geography, productivity, extremeness, climate change, and degree of isolation [2]. Our inability to estimate richness impedes investigation of the effects of soil chemistry, pollution, and land use on the soil microbial community.

The method used to access the microbial biodiversity assuredly shapes the outcome of a census. Culture-based methods suggest that a gram of soil contains fewer than 100 species [3], but these are undoubtedly underestimates because multiple lines of evidence indicate that fewer than 1% of the species in soil are presently culturable [4]. Culture-independent methods include DNA reassociation and 16S rRNA gene sequencing, which have provided conflicting results due to the problems inherent in defining a species and in estimating the frequency distribution of species in soil. Depending on how the data are analyzed, DNA reassociation experiments produce richness estimates ranging from 4,000 to 10,000,000 genome equivalents per 10 or 30 g of soil [5–11]. The variability in these estimates stems from application of different assumptions to reassociation curves, and their interpretation is complicated by the lack of controls that account for intergenomic variation. Finally, DNA reassociation kinetics cannot be used to compare the membership of different communities.

An alternative method relies on analysis of 16S rRNA gene sequences amplified from soil by PCR [12]. The power of this method lies in its use of the universal tool of bacterial phylogeny and our ability to define operational taxonomic units (OTUs) based on the relatedness of sequences. Estimates of richness have been obtained through parametric or nonparametric empirical models of species frequency distribution to produce richness estimates between 590 and 100,000 species per gram of soil [13–15]. Parametric models have assumed that the incidence of different species follows a lognormal [13], Pareto [16], or uniform distribution [14]. Although the lognormal model has been useful as a “null model” [17], data are insufficient from any soil community to support reliance on a lognormal or Pareto frequency distribution, and we are unaware of any dataset that supports a uniform frequency distribution [18]. Analyses based on nonparametric models, which do not assume a defined frequency distribution but are based on the frequency of

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Abbreviations: CI, 95% confidence interval; OTU, operational taxonomic unit; RFLP, restriction fragment length polymorphism; SE, standard error

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Synopsis

Soil is more than dirt. It is the source and sink of nutrients, wastes, pharmaceuticals, and energy required to make Earth supportive of life—it is Earth’s most vital organ. Although we know a considerable amount about the physical structure and chemistry of soil, there is a glaring paucity of knowledge regarding the microbial component responsible for its many functions. Over the past 100 years, microbiologists have attempted to characterize the biodiversity of microbial life in soil, and many had reached the unsatisfying conclusion that bacteria may be too diverse to count. Schloss and Handelsman have developed statistical models that they apply to molecular data to predict that the richness of bacteria in 0.5-g soil samples from Alaska and Minnesota were 5,000 and 2,000 species, respectively. At the current level of sampling, approximately 20% of the bacteria appear to be endemic to both soils. The enumeration and description of these organisms points to the need and relative ease of characterizing bacterial communities to identify the organisms responsible for sustaining all of life.

abundant community members [19–21], estimate a minimum richness of 590 species based on 16S rRNA gene sequences in a Scottish soil [15,22]. Although the extent of the universality of phylum-specific PCR primers and potential toxicity of some fragments is not well understood, these effects would reduce the perceived richness. Finally, use of 16S rRNA gene sequences permits direct comparisons of the membership of different communities.

Previously, Dunbar et al. [17] modeled the frequency distribution of 16S rRNA genes in four Arizonan soil communities by fitting a lognormal frequency distribution. Using 200 16S rRNA gene fragments from each soil community, this analysis estimated that 10 g of soil contained between 3,000 and 8,000 16S rRNA gene restriction fragment length polymorphism (RFLP) profiles. Previous analysis using the same four libraries found that the similarity of 16S rRNA gene sequences with the same RFLP profile ranged between 52.2% and 99.9% [3], which makes interpretation of the analysis difficult. We were interested in developing this approach further by analyzing large 16S rRNA gene sequence collections that had not been initially screened by RFLP profiling. Our approach was to find a simulated community whose samples resembled our sampling of 1,033 16S rRNA gene sequences from the same genome would form a single OTU.

To simplify the reporting of our results, OTUs will be designated OTU_{n_{xx}} where the subscript represents the maximum distance between any two sequences within that OTU (Figure 2). In the Alaskan 16S rRNA gene sequence collection containing 1,033 sequences, we observed 633 OTU_{0.03}. We observed 472 OTU_{0.03} once and 94 OTU_{0.03} twice (Figure 2A). The three most abundant OTU_{0.03} affiliated with members of the phylum Gemmimonas (n = 23 sequences in the OTU_{0.03} from 19 distinct primary sequences), Duganella sp. (n = 17 sequences in the OTU_{0.03} from 15 distinct sequences), and Rhodoferax sp. (n = 17 sequences in the OTU_{0.03} from 15 distinct sequences). These three OTU_{0.03} were not observed in the Minnesotan sequence collection.

Since the most abundant OTU_{0.03} in the Alaskan 16S rRNA gene library was observed only 23 times, we were unable to obtain meaningful fits of parametric frequency distribution models to the OTU_{0.03} frequency distribution [31]. Attempts to identify parameters that would define simulated communities following either a Pareto or uniform frequency distribution resembling the observed distribution were unsuccessful. The predicted abundance of the most abundant clustered within 12 phylum-level delineations, four of which had no cultured members (Figure 1). Each phylum was sampled at least twice, except for candidate phylum BD Group and the phylum Chlamydiae, which were each observed once.

We used furthest neighbor clustering to assign sequences to OTUs based on the pairwise genetic distance between sequences. Although controversial [24], Jukes-Cantor-corrected distances less than 0.03 are considered to correspond to a strain-level delineation, 0.03 to species, 0.05 to genus, 0.15 to class, and 0.30–0.40 to phylum [25–28]. Considering potential intragenomic differences between copies of 16S rRNA genes and errors due to sequencing and alignment [29,30], the 0.03 cutoff is also a pragmatic choice since it probably represents the most stringent OTU definition that is practically obtainable using 16S rRNA genes. Since the intragenomic distance between 16S rRNA gene sequences is typically less than 0.03, at this distance, replicate 16S rRNA gene sequences from the same genome would form a single OTU.

Results

Estimating the Bacterial Richness in the Alaskan Soil Library

The aim of this work was to estimate the taxonomic richness in an Alaskan soil sample through a library of 16S rRNA gene sequences derived from the sample. We assigned more than 92% of the 1,033 Alaskan 16S rRNA gene sequences to seven phyla, including the Proteobacteria (48.6%), Acidobacteria (15.3%), Bacteroidetes (9.3%), Actinobacteria (5.8%), Gemmimonas (5.7%), Planctomycetes (4.0%), and Verrucomicrobia (4.0%); the remaining sequences

![Figure 1. Phylum-Level Delineation of the 16S rRNA Gene Fragments in Alaskan Soil](https://example.com/figure1.png)

Gene fragments (n = 1,033) were isolated and sequenced from an Alaskan soil. Candidate phyla WCH81, OP10, ACE, and BD Group have no sequenced representatives. DOI: 10.1371/journal.pcbi.0020092.g001
Next, we heuristically identified the normal mean ($\mu = 6,000$), standard deviation ($\sigma = 3,020$), and OTU$_{0.03}$ richness ($S_r = 5,000$) for a truncated lognormal distribution in which the distribution of its samples resembled the distribution observed in the Alaskan sequence collection (Figure 2A and Table 1). Further confirmation for the plausibility of the simulated community was obtained by comparing the percentage of the total community represented by the most abundant OTU ($100 \times N_{max}/N_T$) in the simulated community (2.9%) to the value observed from the sequence collection (2.2%). These values are comparable to the range 2.9%–8.3% observed by Dunbar et al. [17], but are considerably higher than the range 0.1%–1% suggested by Curtis et al. [13]. We found that the reciprocal of the Simpson’s index (1/D) for the simulated community was 288, which was similar to the value observed for the sequence collection of 225. The values for 1/D are considerably higher than the range 52–107 observed by Dunbar et al. [17]. To sample every OTU in the Alaskan simulated community twice with 95% confidence would require sequencing 480,000 16S rRNA gene fragments, and to observe 95% of the richness, 71,000 16S rRNA genes would be required (Figure 3 and Table 1). To obtain an estimate of the true richness using either the ACE or Chao1 nonparametric richness estimator would require sampling 18,000 or 39,000 16S rRNA genes, respectively, which represented sampling 65% and 85% of the true richness (Figure 3 and Table 1).

Since we were unable to obtain a robust estimate of species richness with our 16S rRNA gene sequence collection without assuming some distribution a priori, we relaxed the OTU definition to obtain a robust nonparametric richness estimate. The OTU$_{0.20}$ richness estimate collector’s curves began to stabilize late in sampling (Figure 3). Although additional sampling would improve the precision of the OTU$_{0.20}$ richness estimate, the Chao1 (188.20, 95% confidence interval [CI] 174–212), ACE (200, CI 181–234), and Jackknife (203, CI 184–222) estimates were similar.

Comparison of Alaskan and Minnesotan Soils Microbial Communities

Recently, the microbial community of a Minnesota farm soil was characterized by metagenomic (direct cloning and analysis of DNA from a soil sample) and 16S rRNA gene sequencing analyses [23]. The authors constructed two separate 16S rRNA gene libraries by using a cell fractionation-based DNA isolation procedure, and sequenced 1,633 overlapping gene fragments from the two libraries [23,32]. We reanalyzed their pooled sequence data to determine the richness of the Minnesota farm soil and to determine the degree of OTU membership that was conserved between the Minnesota and Alaskan soil communities.

Collector’s curves for the number of OTU$_{0.03}$ observed and estimated in the Minnesota soil library were flatter than the Alaskan collector’s curves (Figure 4). In the Minnesota collection, the observed OTU$_{0.03}$ richness was 767, and we observed 477 OTU$_{0.03}$ once and 128 OTU$_{0.03}$ twice (Figure 2B). The nonparametric richness estimates were 1,647 (Chao1), 1,704 (ACE), and 2,248 (Jackknife); however, each estimate continued to increase with sampling. The three OTU$_{0.03}$ most frequently observed in the Minnesota sequence collection contained 37, 27, and 26 sequences, and each clustered within the phylum Chloroflexi; no representa-
Table 1. Example of Simulation Results for Lognormal and Uniformly Distributed Communities with a Richness of 5,000

| $N_r/N_{max}$ | Mean (μ) | Standard Deviation (σ) | 1/D | Sampling Effort Required for Census |
|---------------|----------|------------------------|-----|-----------------------------------|
|               |          |                        |     | Complete 95% of Richness Chao1 Estimator ACE Estimator |
| 10            | 6.000    | 4.901                  | 64  | 560,000 85,000 42,000 17,000 |
| 8.000         | 6.146    | 66                     |     | 475,000 74,000 38,000 13,000 |
| 10.00         | 7.189    | 67                     |     | 450,000 70,000 35,000 12,000 |
| 35            | 6.000    | 3.020                  | 288 | 480,000 71,000 39,000 18,000 |
| 8.000         | 3.813    | 373                    |     | 305,000 48,000 26,000 9,000  |
| 10.00         | 4.489    | 419                    |     | 203,000 43,000 20,000 8,000  |
| 100           | 6.000    | 2.481                  | 541 | 385,000 70,000 40,000 20,000 |
| 8.000         | 3.153    | 891                    |     | 260,000 41,000 21,000 7,000  |
| 10.00         | 3.719    | 1,124                  |     | 200,000 33,000 16,000 5,000  |
| 1,000         | 8.000    | 2.119                  | 2,680 | 185,000 29,000 16,000 6,000 |
| 10.00         | 2.759    | 3,175                  | 2,170 | 140,000 23,000 11,000 6,000 |
| 12.00         | 3.232    | 3,484                  | 12,000 | 120,000 21,000 8,000 5,000 |
| 5,000 Uniform | 5,000    | 75,000                 | 15,000 | 150 110 |

The sampling effort represents the size of sample necessary to observe every taxon twice, to observe 95% of the taxa, or for the CI of the Chao1 and ACE richness estimators to include the true richness. For each distribution, 1,000 random communities were drawn. Simulation results were positively correlated with richness. $N_r$ represents the total number of individuals in a community and $N_{max}$ represents the abundance of the most abundant member in the community, and their ratio represents the reciprocal of the probability observed at the distribution’s mode. The reciprocal of the Simpson’s Index (1/D) represents the number of uniformly abundant OTUs needed to observe the same level of diversity found in the community.

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The view of soil microbial ecology shifted from being described by Selman Waksman as a “clear picture” [34] to E. O. Wilson’s pronouncement that its diversity is “beyond practical calculation” [35]. We have shown that neither view is wholly correct, but that a confident estimate of bacterial richness is attainable using a set of parameters that have a reasonable biological basis. We have shown that it is possible to obtain an OTU0.03 richness estimate for soil for considerably less effort than is required to shotgun sequence a bacterial genome (assuming ~100,000 sequence reads per genome and one to five reads for each of 17,000 16S rRNA gene fragments). Determining the richness of specific phylogenetic groups using lineage-specific PCR primers would further reduce the required effort.

Our analysis can also be applied to guide the design of functional and sequence-based metagenomics projects [36].

Discussion

In the 20th century, the view of soil microbial ecology shifted from being described by Selman Waksman as a “clear picture” [34] to E. O. Wilson’s pronouncement that its diversity is “beyond practical calculation” [35]. We have shown that neither view is wholly correct, but that a confident estimate of bacterial richness is attainable using a set of parameters that have a reasonable biological basis. We have shown that it is possible to obtain an OTU0.03 richness estimate for soil for considerably less effort than is required to shotgun sequence a bacterial genome (assuming ~100,000 sequence reads per genome and one to five reads for each of 17,000 16S rRNA gene fragments). Determining the richness of specific phylogenetic groups using lineage-specific PCR primers would further reduce the required effort.

Our analysis can also be applied to guide the design of functional and sequence-based metagenomics projects [36].
Tringe et al. [23] estimated that more than $2 \times 10^9$ bp of sequence from $3 \times 10^6$ sequence reads would be necessary to obtain 8-fold sequence coverage of the most abundant species in their soil sample assuming a genome size of 6 Mbp. To sequence 8-fold coverage of the most abundant OTU$^{0.03}$ from the simulated Alaskan soil community, approximately 450 genome equivalents, or $3 \times 10^9$ bp, would need to be sequenced from the Alaskan soil. To sequence 8-fold coverage of the ten most abundant OTUs$^{0.03}$ from the simulated Alaskan soil community, approximately 1,600 genome equivalents, or $10^{10}$ bp, would need to be sequenced. Although this amount of DNA may be beyond our current sequencing capacity, the $10^{10}$ bp is approximately the content of a 275,000-clone fosmid library. Such a library could be easily constructed and would be useful for functional metagenomic approaches. Although not currently feasible, sequencing 8-fold coverage of every OTU$^{0.03}$ in the Alaskan soil metagenome would require sequencing 950,000 genome equivalents or $6 \times 10^{12}$ bp of DNA. Although PCR bias may affect the true community distribution, these values are a helpful guide when designing metagenomics-based experiments. For some groups of organisms, the 3% cutoff between 16S rRNA gene sequences has been found to correlate with 70% similarity between genome sequences; therefore, it is unclear how many contigs would assemble for the predicted level of sequencing effort given the substantial intragenomic variation that may exist between members of the same OTU$^{0.03}$.

Estimating richness does not provide the identity of each bacterial type; in the Alaskan soil we studied, identifying every one of the 5,000 different types of bacteria would require sampling more than 480,000 sequences. Furthermore, our analysis assumes an operational species definition of a group of 16S rRNA sequences that are no more than 3% different from one another. Among the members of a single OTU, there is undoubtedly considerable phenotypic and genomic diversity that is not reflected by 16S rRNA sequences [24]. Our attempt to perform a census of the number of bacteria in a gram of soil provides a guidepost from which we can begin to assess the effects of environmental perturbations on community composition, diversity, evenness, and richness. Moreover, an accurate census would quantify the part of the microbial community that is not accounted for in the current models of community structure and function. In the Alaskan sequence collection, two sequences belonging to the sparsely sampled candidate phylum ACE were found only after sampling 832 sequences. We suspect that members of many poorly sampled candidate phyla are rare members in microbial communities [37], but may play significant functional roles in the microbial community. Although a reliable estimate of richness will inform the development of a
conceptual framework for describing the functional biology of the soil microbial community, we will not know the texture and composition of that richness until we have exhaustively sampled and identified every member of the community.

Materials and Methods

Clone library construction, sequencing, and analysis. We obtained a soil core from the Bonanza Creek Long-Term Ecological Research site approximately 30 km southwest of Fairbanks, Alaska, United States (64° 48' N, 147° 52' W) on the site designated BP-1 on an island in the Tanana River [38]. The L1A 16S rRNA gene library was constructed using a single 0.5-g sample of soil. The Bio101 soil DNA kit (Bio101, Irvine, California, United States) was used to extract and partially purify genomic DNA and the sample was further purified using a silica matrix (ExpressMatrix; Bio101) until it was suitable for PCR amplification.

16S rRNA genes were amplified in a single reaction by PCR using primers 27f (AGRGTTTGATYMTGGCTCAG) and 1492r (GGYTACCTTGTTACGACTT) and the products were purified by gel extraction (Qiaex II; Qiagen, Valencia, California, United States). Purified PCR products were ligated into the pGEM-T TA cloning vector as described by the manufacturer (Promega, Madison, Wisconsin, United States) and electroporated into E. coli (DH5α).

Positive transformants were inoculated overnight into LB with ampicillin (100 μg/ml) and the culture was used as template for PCR using the universal M13f and M13r vector primers. These PCR products were purified using AmpPure (Agencourt Bioscience, Beverly, Massachusetts, United States) and sequenced using the 27f and 787r (CTACCRGGGTATCTAAT) primers. If the 787r primer did not produce quality sequence, we used either the M13f or the M13r.
primer for sequencing. Sequencing reactions were performed using BigDye version 3.1 (Applied Biosystems, Foster City, California, United States) and were analyzed at the University of Wisconsin-Madison biotechnology center. All clones had 2-fold sequencing coverage for the first approximately 700 bp of the 16S rRNA gene.

Sequence contigs were constructed using STADEN [59] and aligned using ARB [40] with a reference database of more than 16,000 sequences longer than 1 kb. Putative chimeric sequences were identified using Bellerophon [41] and were further screened using CHIMERA_CHECK [42], partial treeing, and comparing the sequence alignment to predicted secondary structure to detect changes in helical base pairing and nucleotide signatures [43]. Phylogenetic placement of the 1,033 sequences was determined by identifying the phylum to which each sequence showed affinity after adding sequences to the database using the parsimony algorithm implemented in ARB with a 50% consensus mask.

We also obtained (from Susannah Green Tringe) two 16S rRNA gene sequence collections \(N_{AVKH} = 875\) sequences; \(N_{AKYG} = 758\) sequences) constructed using a single 0.5-g sample of Minnesotan (Waseca County, Minnesota, United States [23]) farm soil. The original soil genomic DNA was obtained by cell fractionation followed by enzymatic and chemical extraction of the DNA [23,32]. Since our preliminary analysis using a nonparametric estimator of the fraction of shared OTUs [44] showed that the two Minnesota soil libraries harbored more than 68% of each other’s OTU membership, sequences were made from the soil sample by PCR. After the PCR reactions, we pooled the 1,633 sequences into a single dataset.

For direct comparison, the Minnesotan and Alaskan sequence collections were realigned using the NAST aligner [45] at the Madison biotechnology center. All clones had 2-fold sequencing coverage for the first approximately 700 bp of the 16S rRNA gene. Sequences were analyzed using the parsimony algorithm implemented in ARB with a 50% consensus mask.

**Community analyses.** To describe the community structure of each soil we used DOTUR’s implementation of the nearest neighbor algorithm [18] to assign sequences to OTUs after exporting a Jukes-Cantor corrected distance matrix constructed in ARB using the parsimony algorithm implemented in ARB with a 50% consensus mask. The number of uniformly abundant OTUs needed to observe the same number of species as the soil sample was calculated using the Nearest Taxon computer program that we wrote. If the collector’s curve for the sampling of the Alaskan or Minnesotan 16S rRNA sequence collections crossed the CI for any parameter, the simulated community was rejected.

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