Flavor Changing Processes in Quarkonium Decays

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Abstract

We study flavor changing processes $\Upsilon \to B/B\bar{X}_s$ and $J/\psi \to D/D\bar{X}_u$ in the B factories and the Tau-Charm factories. In the standard model, these processes are predicted to be unobservable, so they serve as a probe of the new physics. We first perform a model independent analysis, then examine the predictions of models; such as TopColor models, MSSM with R-parity violation and the two Higgs doublet model; for the branching ratios of $\Upsilon \to B/B\bar{X}_s$ and $J/\psi \to D/D\bar{X}_u$. We find that these branching ratios could be as large as $10^{-6}$ and $10^{-5}$ in the presence of new physics.
1 Introduction

The possibility of observing large CP violating asymmetries in the decay of $B$ mesons has motivated the construction of high luminosity $B$ factories at several of the world’s high energy physics laboratories. These $B$ factories will be producing roughly about $10^8$ Upsilon. Meanwhile BES has already accumulated $9 \times 10^6 J/\psi$ and plans to increase the number to $5 \times 10^7$ in the near future. An interesting question, that we investigate in this paper, is whether the large sample of the $\Upsilon$ and the $J/\psi$ can be used to probe flavor changing processes in the decays of $\Upsilon$ and $J/\psi$. In particular we look at the flavor changing processes $\Upsilon \to B/\bar{B}X_s$ and $J/\psi \to D/\bar{D}X_u$, from the underlying $b \to s$ and $c \to u$ quark transitions. For the quarkonium system, these flavor changing processes are expected to be much smaller than in the case of decays of the $B$ or the $D$ meson because of the larger decay widths of the bottomonium and the charmonium systems which decay via the strong interactions. Indeed the standard model contributions to $\Upsilon \to B/\bar{B}X_s$ and $J/\psi \to D/\bar{D}X_u$ are tiny. However, new physics may enhance the branching ratios for these processes. Whether this enhancement maybe sufficient for these processes to be observable in the next round of experiments is the subject of this work. Invisible decays of $\Upsilon$ and $J/\psi$ resonances in the standard model and beyond have been studied recently[1].

Non leptonic decays of heavy quarkonium systems can be more reliably calculated than the non leptonic decays of the heavy mesons. A consistent and systematic formalism to handle heavy quarkonium decays is available in NRQCD [2] which is missing for the heavy mesons. As in the meson system [3] it is more fruitful to concentrate on quasi-inclusive processes like $\Upsilon \to B/\bar{B}X_s$ and $J/\psi \to D/\bar{D}X_u$ because they can be calculated with less theoretical uncertainty and have larger branching ratios than the purely exclusive quarkonium non leptonic decays. The branching ratios of exclusive flavor changing non leptonic decays of $\Upsilon$ and $J/\psi$ in the standard model have been calculated and found to be very small [4].

We begin with a model independent description of the processes $\Upsilon \to B/\bar{B}X_s$ and $J/\psi \to D/\bar{D}X_u$. In the standard model these decays can proceed through tree and penguin processes. For new physics contribution to these processes we concentrate on four quark operators of the type $\bar{s}b\bar{b}b$ and $\bar{u}c\bar{c}c$. We
choose the currents in the four quark operators to be scalars and so these operators may arise through the exchange of a heavy scalar for e.g a Higgs or a leptoquark in some model of new physics. These four quark operators, at the one loop level, generate effective $\bar{q}_b\{g,\gamma,Z\}$ and $\bar{q}_c\{g,\gamma,Z\}$ vertices which would effect the flavor changing decays of the $B$ and the $D$ mesons. The effective vertices for an on shell $g$ and $\gamma$ vanish and so there is no contribution to $b \to s\gamma$ or $c \to u\gamma$. We can however put constraints on these operators by considering the processes $b \to sl^+l^-$ and $c \to ul^+l^-$. The constrained operators can then be used to calculate the branching ratios for $\Upsilon \to B/\overline{B}X_s$ and $J/\psi \to D/\overline{D}X_u$.

We then consider some models that may generate the kind of four quark operators described above. A few examples of models where these operators can be generated are top color models, MSSM with R parity violation and a general two Higgs doublet model without any discrete symmetry. In some cases constraints on the parameters that appear in the prediction for the branching ratios for $\Upsilon \to B/\overline{B}X_s$ and $J/\psi \to D/\overline{D}X_u$ are already available. In other cases the parameters are constrained, as in our model independent analysis, from the processes $b \to sl^+l^-$ and $c \to ul^+l^-$. 

In the sections which follow, we describe the effective Hamiltonian for the $\Upsilon \to B/\overline{B}X_s$ and $J/\psi \to D/\overline{D}X_u$. Next we describe the calculation of the matrix elements and decay rates for these processes. We then discuss the calculation of the effective $\bar{q}_b\{g,\gamma,Z\}$ and $\bar{q}_c\{g,\gamma,Z\}$ vertices and constraints from the processes $b \to sl^+l^-$ and $c \to ul^+l^-$. This is followed by a description of some models that can generate the new four quark operators in the effective Hamiltonian for $\Upsilon \to B/\overline{B}X_s$ and $J/\psi \to D/\overline{D}X_u$. Finally we present our results and conclusions.

## 2 Effective Hamiltonian

In this section we present the effective Hamiltonian for $\Upsilon$ decays. The effective Hamiltonian for charmonium decays can be written down by making obvious changes. In the Standard Model (SM) the amplitudes for hadronic $\Upsilon$ decays of the type $b\bar{b} \to sb + \bar{s}b$ are generated by the following effective Hamiltonian $^3$:

\[
H_{eff}^q = \frac{G_F}{\sqrt{2}} \left[ V_{tb}V_{f_q}^*(c_1 O^q_{1f} + c_2 O^q_{2f}) \right] - \sum_{i=3}^{10} \left( V_{ub}V_{q_i}^{*}c_i^u + V_{cb}V_{q_i}^{*}c_i^c + V_{tb}V_{q_i}^{*}c_i^t \right) O^q_{i} + H.C.,
\] (1)
where the superscript $u$, $c$, $t$ indicates the internal quark, $f$ can be $u$ or $c$ quark, $q$ can be either a $d$ or a $s$ quark depending on whether the decay is a $\Delta S = 0$ or $\Delta S = -1$ process. The operators $O_i^q$ are defined as

$$
O_{1f}^q = \bar{q}_\alpha \gamma_\mu L f \bar{f}_\beta \gamma^\mu L b_\alpha , \quad O_{2f}^q = \bar{q} \gamma_\mu L f \bar{f} \gamma^\mu L b , \\
O_{3,5}^q = \bar{q}_\gamma \gamma_\mu L b \bar{q}_\gamma \gamma_\mu L (R) q' , \quad O_{4,6}^q = \bar{q}_\alpha \gamma_\mu L b \bar{q}_\beta \gamma_\mu L (R) q'_\alpha , \\
O_{7,9}^q = \frac{3}{2} \bar{q}_\gamma \gamma_\mu L b e_q \bar{q}'_\gamma \gamma^\mu R (L) q' , \quad O_{8,10}^q = \frac{3}{2} \bar{q}_\alpha \gamma_\mu L b \bar{q}_\beta \gamma_\mu R (L) q'_\alpha ,
$$

(2)

where $R(L) = 1 \pm \gamma_5$, and $q'$ is summed over all flavors except $t$. $O_{1f,2f}$ are the tree level and QCD corrected operators. $O_{3-6}$ are the strong gluon induced penguin operators, and operators $O_{7-10}$ are due to $\gamma$ and $Z$ exchange (electroweak penguins), and “box” diagrams at loop level. The Wilson coefficients $c_i^q$ are defined at the scale $\mu \approx m_b$ and have been evaluated to next-to-leading order in QCD. The $c_i^q$ are the regularization scheme independent values obtained in Ref. [7]. We give the non-zero $c_i^q$ below for $m_t = 176$ GeV, $\alpha_s(m_Z) = 0.117$, and $\mu = m_b = 5$ GeV,

$$
c_1 = -0.307 , \quad c_2 = 1.147 , \quad c_3^q = 0.017 , \quad c_4^q = -0.037 , \quad c_5^q = 0.010 , \quad c_6^q = -0.045 , \\
c_7^q = -1.24 \times 10^{-5} , \quad c_8^q = 3.77 \times 10^{-4} , \quad c_9^q = -0.010 , \quad c_{10}^q = 2.06 \times 10^{-3} , \\
c_{3,5}^{u,c} = -c_{4,6}^{u,c}/N_c = P_{s}^{u,c}/N_c , \quad c_{7,9}^{u,c} = P_{c}^{u,c} , \quad c_{8,10}^{u,c} = 0
$$

(3)

where $N_c$ is the number of color. The leading contributions to $P_{s,e}^i$ are given by: $P_s^i = (\frac{9}{5} \alpha_s) c_2 (\frac{10}{9} + G(m_i, \mu, q^2))$ and $P_e^i = (\frac{2 \alpha_s}{\pi^2}) (N_c c_1 + c_2) (\frac{10}{9} + G(m_i, \mu, q^2))$. The function $G(m, \mu, q^2)$ is given by

$$
G(m, \mu, q^2) = 4 \int_0^1 x (1-x) \ln \frac{m^2 - x(1-x)q^2}{\mu^2} \, dx .
$$

(4)

All the above coefficients are obtained up to one loop order in electroweak interactions. The momentum $q$ is the momentum carried by the virtual gluon in the penguin diagram. When $q^2 > 4m^2$, $G(m, \mu, q^2)$ becomes imaginary. In our calculation, we use $m_u = 5$ MeV, $m_d = 7$ MeV, $m_s = 200$ MeV, $m_c = 1.35$ GeV [8, 9]. For $\Upsilon \rightarrow \bar{B}X_s$, the operators $O_{1f}^q$ and $O_{2f}^q$ do not contribute and the gluon momentum is fixed at $q^2 = M_\Upsilon^2$.

A similar expression for the standard model contribution to the flavor changing decays $J/\psi$ can be written down.
To the standard model contribution we add higher dimensional four quark operators generated by physics beyond the standard model. In this paper, we consider the four quark operators with two scalar currents.

\[
L_{\text{new}} = \frac{R_1}{\Lambda^2} \bar{s}(1 - \gamma^5)b \bar{t}(1 + \gamma^5)b + \frac{R_2}{\Lambda^2} \bar{s}(1 + \gamma^5)b \bar{b}(1 - \gamma^5)b + \text{h.c.}
\] (5)

The four quark operators in \( L_{\text{new}} \) are the product of two scalar currents. In Eq. (5) \( \Lambda \) represents the new physics scale and \( R_1 \) and \( R_2 \) are two free parameters which describe the strength of the contribution of the underlying new physics to the effective operators. In our analysis we will only keep dimension six operators suppressed by \( 1/\Lambda^2 \) and neglect all higher dimension operators.

Using a Fierz transformation one can express the scalar-scalar combination in terms of vector-vector combination. For instance we can write

\[
\bar{s}_\alpha (1 - \gamma^5)b_\alpha \bar{b}_\beta (1 + \gamma^5)b_\beta = -\frac{1}{2} \bar{s}_\alpha \gamma^\mu (1 + \gamma^5)b_\beta \bar{b}_\beta \gamma^\mu (1 - \gamma^5)b_\alpha \\
- \bar{s}_\alpha T^a_{\alpha \beta} \gamma^\mu (1 - \gamma^5)b_\beta T^a_{\beta \alpha'} \gamma^\mu (1 - \gamma^5)b_{\alpha'}
\] (6)

where \( T^a \) are the \( SU(3) \) color matrices with the normalization \( Tr[T^a T^b] = \delta_{ab} / 2 \) and \( N_c \) is the number of colors. In the quarkonium system the leading component in the Fock space expansion involves the quark-antiquark pair being in a \( ^3S_1 \) state, probed by the operator \( \bar{s} \gamma^\mu (1 + \gamma^5)b \bar{b} \gamma^\mu (1 - \gamma^5)b \). Note the general Fock space expansion of quarkonium in NRQCD is \[10\]

\[
|\psi_Q > = O(1)| \overline{QQ}[^3S_1^{(1)}]| + O(v)| \overline{QQ}[^3P_0^{(8)}]g) \\
+ O(v^2)| \overline{QQ}[^3S_1^{(8,1)}]gg) + O(v^2)| \overline{QQ}[^1S_0^{(8,1)}]g) + O(v^2)| \overline{QQ}[^3D_1^{(8,1)}]gg) + \cdots ,
\] (7)

where \( v \) is the velocity of the constituents in the quarkonium and \( g \) represents a dynamical gluon, \textit{i.e.} one whose effects cannot be incorporated into an instantaneous potential and whose typical momentum is \( m_Q v^2 \). The low energy hadronization of the leading component in the Fock space expansion of the quarkonium takes place at \( O(v^3) \). As to the other Fock states notice that the \( | \overline{QQ}[^3P_0^{(8)}]g) \), configuration
arises when the predominant state radiates a soft dynamical gluon. Such a process is mediated principally by the electric dipole operator, for which the selection rule is \( L' = L \pm 1, \ S' = S \), and which involves a single power of heavy quark three-momentum. Thus, the coefficient associated with this state is of order \( v \). The electric dipole emission of yet another gluon involves a change from the \( P \)-wave state to the \( S \)- and \( D \)-wave states \( |\overline{Q}Q^{'(1,8)}S_1^0 gg\rangle \), \( |\overline{Q}Q^{'(1,8)}D_{J}^0 gg\rangle \) and so the associated coefficients are of order \( v^2 \). Finally, the coefficient of the state \( |\overline{Q}Q^{'(8)}S_0^1 g\rangle \) results from fluctuations into this spin-singlet state from the predominant spin-triplet state with the emission of a soft gluon via a spin-flipping magnetic dipole transition. Such transitions involve the gluon three-momentum (\( \sim m_Q v^2 \)) rather than the heavy quark three-momentum (\( \sim m_Q v \)), and therefore the associated coefficient is of order \( v^2 \). The low energy hadronization of these component in the Fock space expansion of the quarkonium takes place at \( O(v^7) \).

Also for the \( P \) wave, an additional factor of \( v \) comes from the derivative of the wavefunction.

Before concluding this sections, we point out that besides operator in Eq. (5) there are additional four quark operators in the effective lagrangian\[11\], such as those with vector-vector current structure, which contribute also to the processes we consider. We focus on operators in Eq. (5) because, as mentioned earlier, they can be generated by the exchanges of the new scalar bosons in models we consider below in section 5.

### 3 Matrix Elements for \( \Upsilon \to B X_s \)

We proceed to calculate the matrix elements of the form \(<\overline{B}X_s|H_{eff}|\Upsilon>\) which represents the process \( \Upsilon \to \overline{B}X_s \) and where \( H_{eff} \) has been described above. The effective Hamiltonian consists of operators with a current \( \times \) current structure. Pairs of such operators can be expressed in terms of color singlet and color octet structures. The factorization formalism based on NRQCD \[2\], which allows a systematic and consistent probe of the complete quarkonium Fock space, can then be used to calculate the \( \Upsilon \) decay rate.

The matrix element of \( \Upsilon \to \overline{B}X_s \) decay, can be expressed as,
\[ M = \frac{G_F}{\sqrt{2}} W_1 < B X_s | \bar{\psi} \gamma^\mu (1 - \gamma^5) b | 0 > < 0 | \bar{b} \gamma_\mu b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} W_1' < B X_s | \bar{\psi} \gamma^\mu (1 + \gamma^5) b | 0 > < 0 | \bar{b} \gamma_\mu b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} W_8 < B X_s | \bar{\psi} \gamma^\mu (1 - \gamma^5) T^a b | 0 > < 0 | \bar{b} \gamma_\mu T^a b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} W_8' < B X_s | \bar{\psi} \gamma^\mu (1 + \gamma^5) T^a b | 0 > < 0 | \bar{b} \gamma_\mu T^a b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} U_8 < B X_s | \bar{\psi} \gamma^\mu (1 - \gamma^5) T^a b | 0 > < 0 | \bar{b} \gamma_\mu T^a b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} U_8' < B X_s | \bar{\psi} \gamma^\mu (1 + \gamma^5) T^a b | 0 > < 0 | \bar{b} \gamma_\mu T^a b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} V_8 < B X_s | \bar{\psi} (1 - \gamma^5) T^a b | 0 > < 0 | \bar{b} (1 - \gamma^5) T^a b | \Upsilon > \\
+ \frac{G_F}{\sqrt{2}} V_8' < B X_s | \bar{\psi} (1 - \gamma^5) T^a b | 0 > < 0 | \bar{b} (1 + \gamma^5) T^a b | \Upsilon > \]  

(8)

where

\[ W_1 = W_{1std} + W_{1new} \]
\[ W_1' = W_{1std} + W_{1new} \]
\[ W_8 = W_{8std} + W_{8new} \]
\[ W_8' = W_{8std} + W_{8new} \]
\[ U_8 = U_{8std} + U_{8new} \]
\[ U_8' = U_{8std} + U_{8new} \]
\[ V_8 = V_{8std} + V_{8new} \]
\[ V_8' = V_{8std} + V_{8new} \]  

(9)

with

\[ W_{1std} = \left\{ A_3 + A_4 - \frac{1}{2} (A_9 + A_{10}) \right\} (1 + \frac{1}{N_c}) + \left( A_5 + \frac{A_6}{N_c} \right) - \frac{1}{2} \left( A_7 + \frac{A_8}{N_c} \right) \]
\[ W_{1std}' = 0 \]
\[ W_{8std} = [2 (A_3 + A_4 + A_6) - (A_8 + A_9 + A_{10})] \]
\[ W'_{8std} = 0 \]
\[ U_{8std} = [2(-A_3 - A_4 + A_6) - (A_8 - A_9 - A_{10})] \]
\[ U'_{8std} = 0 \]
\[ V_{8std} = [-4A_5 + 2A_7] \]
\[ V'_{8std} = 0 \] (10)

and

\[ W_{1new} = -\frac{1}{2N_c G_F \Lambda^2}\sqrt{2} R_2 \]
\[ W'_{1new} = -\frac{1}{2N_c G_F \Lambda^2}\sqrt{2} R_1 \]
\[ W_{8new} = -\frac{\sqrt{2} R_2}{G_F \Lambda^2} \]
\[ W'_{8new} = -\frac{\sqrt{2} R_1}{G_F \Lambda^2} \]
\[ U_{8new} = -\frac{\sqrt{2} R_2}{G_F \Lambda^2} \]
\[ U'_{8new} = \frac{\sqrt{2} R_1}{G_F \Lambda^2} \]
\[ V'_{8} = 0 \] (11)

We have defined

\[ A_i = -\sum_{q=u,c,t} c^i_q V_q \] (12)

with

\[ V_q = V_{qs} V_{qb} \] (13)

Similar expressions can be written for the matrix elements describing the \( J/\psi \) decay.

To calculate the decay rate we use the parton model to write the process \( \Upsilon \rightarrow B X_s \) as \( \Upsilon(P) \rightarrow b(p_1) s(p_2) \). The squared matrix element is then given by

\[ |M|^2 = 2MZ_1 \left[ \langle \Upsilon | O_1(3S_1) | \Upsilon \rangle (||W_1||^2 + ||W'_1||^2) + \langle \Upsilon | O_8(3S_1) + 2 \frac{O_8(3P_1)}{m_b^2} | \Upsilon \rangle (||W_8||^2 + ||W'_8||^2) \right] \]
\[ + 6MZ_2 \left[ \langle \Upsilon | O_8 \left( ^1S_0 \right) | \Upsilon > \left( |U_8|^2 + |U_8'|^2 \right) \right] \]
\[ + 6MZ_3 \left[ \langle \Upsilon | O_8 \left( ^1S_0 \right) | \Upsilon > \left( |V_8|^2 + |V_8'|^2 \right) \right] \]  \hspace{1cm} (14)

where

\[
\begin{align*}
Z_1 &= 8 \left[ p_1 \cdot p_2 + \frac{2p_1 \cdot P p_2 \cdot P}{M^2} \right] \\
Z_2 &= 8 \left[ -p_1 \cdot p_2 + \frac{2p_1 \cdot P p_2 \cdot P}{M^2} \right] \\
Z_3 &= 8 \left[ p_1 \cdot p_2 \right]
\end{align*}
\]

(15)

with \( M \) being the quarkonium mass. The matrix elements of the various color singlet and color octet operators, \( O_1^{(2S+1)LJ} \) and \( O_8^{(2S+1)LJ} \) encode the non-perturbative long distance effects in the evolution of \( \bar{Q}Q^{(2S+1)LJ}_{1,8} \) to \( \Upsilon \).

Along with the CP violating phases present in the standard model contribution there can be additional phases from the new contribution. We can then construct the CP violating rate asymmetry as

\[
a_{CP} = \frac{\Gamma(\Upsilon \rightarrow B^0 \bar{X}_s) - \Gamma(\Upsilon \rightarrow \bar{B} X_s)}{\Gamma(\Upsilon \rightarrow B^0 \bar{X}_s) + \Gamma(\Upsilon \rightarrow \bar{B} X_s)}
\]  \hspace{1cm} (16)

4 Low Energy Constraints

The lagrangian \( L_{new} \) generates, at one loop level, the effective \( \bar{s}b\gamma^* \), \( \bar{s}bg^* \), \( \bar{s}bZ \) vertices as shown in Fig. 1, where \( \gamma^* \) and \( g^* \) indicate an off shell photon and a gluon. Similar vertices involving \( c \rightarrow u \) transitions are generated in the charmonium sector also. These vertices, with a \( \gamma \) and \( Z \), will contribute to \( b \rightarrow sl^+l^- \) and \( c \rightarrow ul^+l^- \). Note there is no contribution to \( b \rightarrow s\gamma \). The vertex \( b \rightarrow sg^* \) can give rise to the process \( b \rightarrow sq\bar{q} \) which will contribute to non-leptonic \( B \) decays. We expect the constraints from \( b \rightarrow sl^+l^- \) to be better than from non-leptonic \( B \) decays because of the theoretical uncertainties in calculating non-leptonic decays. The effective \( \bar{s}b\gamma^* \), \( \bar{s}bg^* \), \( \bar{s}bZ \) lagrangian can be written as

\[
\delta L_{\bar{s}b\gamma^*} = \frac{\epsilon_b}{\Lambda^2} \frac{3}{4} \left[ R_+ C_+^\mu + R_- C_-^\mu \right] b
\]  \hspace{1cm} (17)
Figure 1: Effective $\bar{s}b\gamma^*$, $\bar{s}bg^*$, $\bar{s}bZ$ vertices generated by $L_{\text{new}}$

where

\begin{align*}
R_+ &= \frac{R_1 + R_2}{2} \\
R_- &= \frac{R_1 - R_2}{2} \\
C_+ &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8q^\mu \gamma \cdot q x (x-1) + 8\gamma^\mu q^2 x (1-x) \right] \\
C_- &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8q^\mu \gamma \cdot q \gamma^5 x (x-1) + 8\gamma^\mu \gamma^5 q^2 x (1-x) \right] \quad (18)
\end{align*}

with

\[ B^2 = m_b^2 - q^2 x (1-x) \]

where $e_b$ is the $b$ quark electric charge and $q$ is the photon momentum. The effective $\bar{s}bg^*$ lagrangian can be obtained by replacing $e_b$ by $g_s$, the strong coupling constant. The effective $\bar{s}bZ$ lagrangian can be written
\[ \delta L_{\tau b Z} = \frac{g}{2c_w^2} \gamma^2 \left[ R_+ (g_L F_{1+} + g_R F_{2+}) + R_- (g_L F_{1-} + g_R F_{2-}) \right] \] (19)

where

\[
\begin{align*}
F_{1+} &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8 q^\mu \gamma \cdot q x (x-1)(1+\gamma^5) + 8\gamma^\mu q^2 x (1-x)(1+\gamma^5) - 8\gamma^\mu \gamma^5 m_b^2 \right] \\
F_{1-} &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8 q^\mu \gamma \cdot q x (x-1)(1+\gamma^5)8\gamma^\mu q^2 x (1-x)(1+\gamma^5) - 8\gamma^\mu m_b^2 \right] \\
F_{2+} &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8 q^\mu \gamma \cdot q x (x-1)(1-\gamma^5)8\gamma^\mu q^2 x (1-x)(1-\gamma^5) + 8\gamma^\mu \gamma^5 m_b^2 \right] \\
F_{2-} &= \frac{1}{16\pi^2} \int_0^1 dx \log \left( \frac{\Lambda^2}{B^2} \right) \left[ 8 q^\mu \gamma \cdot q x (1-x)(1-\gamma^5)8\gamma^\mu q^2 x (x-1)(1-\gamma^5) + 8\gamma^\mu m_b^2 \right] 
\end{align*}
\] (20)

with

\[
\begin{align*}
g_L &= -\frac{1}{2} + \frac{1}{3} s_w^2 \\
g_R &= \frac{1}{3} s_w^2
\end{align*}
\]

For \( b \to s l^+ l^- \) the \( q^\mu \) terms in the equations above do not contribute if we neglect the lepton masses. Furthermore the contribution from the Z exchange is suppressed with respect to the \( \gamma \) exchange by factor of \( q^2/M_Z^2 \) and so we do not include the Z contribution. The additional contribution to the effective Hamiltonian for \( b \to s l^+ l^- \) can be written as

\[ \delta H_{b\to sl^+l^-} = -\frac{e^2}{16\pi^2} \frac{e_B}{\Lambda^4} \int_0^1 dx 8x(1-x) \log \left( \frac{\Lambda^2}{B^2} \right) \left[ R_3 \bar{\tau}^{\gamma^\mu} b_L \gamma_\mu l + R_2 \bar{\tau}^{\gamma^\mu} b_R \gamma_\mu l \right] \] (21)

which has to be added to the standard model contribution \[3\]. Similar results can also be written for the charm sector.

### 5 Models

In this section we look at various models that can give rise to \( L_{new} \) given in Eq. 5. As a first example we consider a recent version of top color models [12]. In such models the top quark participates in a new strong
interaction which is broken at some high energy scale Λ. The strong interaction, though not confining, leads to the formation of a top condensate \(< \bar{t}_L t_R >\) resulting in a large dynamical mass for the top quark. The scale Λ is chosen to be of the order of a TeV to avoid naturalness problem which implies that the electroweak symmetry cannot be broken solely by the top condensate. In the low energy sector of the theory, scalar bound states are formed that couple strongly to the \(b\) quark \[13, 14\]

\[L_b = \frac{m_t}{f_\pi \sqrt{2}} \bar{b}_L (H + i A^0) b_R + h.c\]  \(22\)

where \(f_\pi \sim 50\) GeV is the top pion decay constant. On integrating out the Higgs fields \(H\) and \(A^0\) we have an effective four fermion operator

\[L_{\text{eff}} = \frac{m_t^2}{f_\pi ^2 m_H^2} \bar{b}_L b_R \bar{b}_R b_L\]  \(23\)

Since the \(b\) quark in (22) is in the weak-eigenstate, \(L_{\text{eff}}\) in (22) will induce flavor changing neutral current (FCNC) four quark operators in Eq. (5) after diagonalizing the quark mass matrix \[14\], with coefficients,

\[R_1 = \frac{1}{4} \frac{m_t^2}{f_\pi ^2 m_H^2} |D_{Lbb}|^2 D_{Rbb} D_{Rbs}^*\]

\[R_2 = \frac{1}{4} \frac{m_t^2}{f_\pi ^2 m_H^2} |D_{Rbb}|^2 D_{Lbb} D_{Lbs}^*\]  \(24\)

where \(D_L\) and \(D_R\) are the mixing matrices in the left and the right handed down sector. In the charm sector similar interactions can arise due to the strong couplings of the top quark to top pions. The effective operators generated by integrating out the top-pions are similar to Eq. (5) with replacement of \(b\) by \(c\) and \(s\) by \(u\). In topcolor II models \[14, 15\], where there can be strong top-pion couplings of the top with the charm quark, we have

\[R_1 = \frac{1}{4} \frac{m_t^2}{f_\pi ^2 m_H^2} |U_{Lcc}|^2 U_{Rtc} U_{Rtu}^*\]

\[R_2 = \frac{1}{4} \frac{m_t^2}{f_\pi ^2 m_H^2} |U_{Rtc}|^2 U_{Lcu} U_{Lcc}^*\]  \(25\)

In supersymmetric standard models without \(R\) parity, the most general superpotential of the MSSM, consistent with \(SU(3) \times SU(2) \times U(1)\) gauge symmetry and supersymmetry, can be written as

\[W = W_R + W_R,\]  \(26\)

12
where \( \mathcal{W}_R \) is the \( R \)-parity conserving part while \( \mathcal{W}_R' \) violates the \( R \)-parity. They are given by

\[
\mathcal{W}_R = h_{ij} L_i H_2 E_j^c + h'_{ij} Q_i H_2 D_j^c + h''_{ij} Q_i H_1 U_j^c, \tag{27}
\]

\[
\mathcal{W}_R' = \lambda_{ijk} L_i L_j E_k^c + \lambda'_{ijk} L_i Q_j D_k^c + \lambda''_{ijk} U_i D_j^c D_k^c + \mu_i L_i H_2. \tag{28}
\]

Here \( L_i(Q_i) \) and \( E_i(U_i, D_i) \) are the left-handed lepton (quark) doublet and lepton (quark) singlet chiral superfields, with \( i, j, k \) being generation indices and \( c \) denoting a charge conjugate field. \( H_{1,2} \) are the chiral superfields representing the two Higgs doublets. In the \( R \)-parity violating superpotential above, the \( \lambda \) and \( \lambda' \) couplings violate lepton-number conservation, while the \( \lambda'' \) couplings violate baryon-number conservation. \( \lambda_{ijk} \) is antisymmetric in the first two indices and \( \lambda''_{ijk} \) is antisymmetric in the last two indices.

While it is theoretically possible to have both baryon-number and lepton-number violating terms in the lagrangian, the non-observation of proton decay imposes very stringent conditions on their simultaneous presence [14]. We, therefore, assume the existence of either \( L \)-violating couplings or \( B \)-violating couplings, but not the coexistence of both. We calculate the effects of both types of couplings.

In terms of the four-component Dirac notation, the lagrangian involving the \( \lambda' \) and \( \lambda'' \) couplings is given by

\[
\mathcal{L}_{\lambda'} = -\lambda'_{ijk} \left[ \bar{\nu}_i^d \tilde{d}_R^j \tilde{d}_L^k + \bar{d}_L^j \tilde{d}_R^k \nu^c_i + (\tilde{d}_R^k)^c (\bar{\nu}_i^d)^c \tilde{d}_L^j 
\right. \\
- \bar{e}_L^i \tilde{d}_R^k \bar{u}_L^j - \bar{u}_L^i \tilde{d}_R^k \bar{e}_L^j - (\tilde{e}_R^k)^c (\bar{u}_L^j)^c \bar{d}_L^i \right] + h.c., \tag{29}
\]

\[
\mathcal{L}_{\lambda''} = -\lambda''_{ijk} \left[ \tilde{d}_R^k (\bar{u}_L^i)^c \tilde{d}_L^j + \tilde{d}_R^j (\bar{d}_L^k)^c \bar{u}_L^i + \bar{u}_L^i (\tilde{d}_L^k)^c \tilde{d}_L^j \right] + h.c. \tag{30}
\]

The terms proportional to \( \lambda \) are not relevant to our present discussion and will not be considered here.

The exchange of sneutrinos with the \( \lambda' \) coupling will generate \( L_{\text{new}} \) for \( \Upsilon \rightarrow \bar{B}X_s \) with

\[
R_1 = \frac{1}{4} \sum_i \frac{\lambda'_{i32} \lambda'_{33}}{m^2_{\tilde{\nu}_i}},
\]

\[
R_2 = \frac{1}{4} \sum_i \frac{\lambda'_{i23} \lambda'_{33}}{m^2_{\tilde{\nu}_i}}. \tag{31}
\]

For the case of \( J/\psi \rightarrow DX_u \) the operators in \( L_{\text{new}} \) cannot be generated at tree level.
Another model of interest is an extension of the SM with additional scalar SU(2) doublets, the simplest of these would be the two Higgs doublet model (2HDM). In general, when the quarks couple to more than one scalar doublet, there are inevitably FCNC couplings to the neutral scalars. When the up-type quarks and the down-type quarks are allowed simultaneously to couple to more than one scalar doublet, the diagonalization of the up-type and down-type mass matrices does not automatically ensure the diagonalization of the couplings with each single scalar doublet. Frequently, as in the Weinberg model for CP violation [17] or in Supersymmetry, the 2HDM scalar potential and Yukawa lagrangian are constrained by a *ad hoc* discrete symmetry [18], whose only role is to protect the model from FCNC’s at the tree level.

Let us consider a Yukawa lagrangian of the form

\[
L_Y^{(A)} = \eta^U_{ij} \bar{Q}_{i,L} \tilde{\phi}_1 U_{j,R} + \eta^D_{ij} \bar{Q}_{i,L} \phi_1 D_{j,R} + \xi^U_{ij} \bar{Q}_{i,L} \tilde{\phi}_2 U_{j,R} + \xi^D_{ij} \bar{Q}_{i,L} \phi_2 D_{j,R} + h.c. \tag{32}
\]

where \(\phi_i\), for \(i = 1, 2\), are the two scalar doublets of a 2HDM, while \(\eta^U_{ij}\) and \(\xi^U_{ij}\) are the non-diagonal matrices of the Yukawa couplings.

When no discrete symmetry is imposed then both up-type and down-type quarks can have FC couplings [19]. Such models were called Class A in [20] to be contrasted with models with a forced absence of FCNC, called Class B.

In the notation and basis of Ref[21] the flavor changing part of the lagrangian can be written as

\[
L_{Y,FC}^{(III)} = \tilde{\xi}^U_{ij} \bar{Q}_{i,L} \tilde{\phi}_2 U_{j,R} + \tilde{\xi}^D_{ij} \bar{Q}_{i,L} \phi_2 D_{j,R} + h.c. \tag{33}
\]

where \(Q_{i,L}, U_{j,R}\), and \(D_{j,R}\) denote now the quark mass eigenstates and \(\tilde{\xi}^U_{ij}\) and \(\tilde{\xi}^D_{ij}\) are the rotated couplings, in general not diagonal. If we define \(V_{L,R}^{U,D}\) to be the rotation matrices acting on the up- and down-type quarks, with left or right chirality respectively, then the neutral FC couplings will be

\[
\tilde{\xi}_{\text{neutral}}^{U,D} = (V_{L}^{U,D})^{-1} \cdot \xi^{U,D} \cdot V_{R}^{U,D}. \tag{34}
\]
On the other hand for the charged FC couplings we will have

\[ \hat{\xi}_{\text{charged}}^U = \hat{\xi}_{\text{neutral}}^U \cdot V_{\text{CKM}} \]

\[ \hat{\xi}_{\text{charged}}^D = V_{\text{CKM}} \cdot \hat{\xi}_{\text{neutral}}^D \]  

(35)

where \( V_{\text{CKM}} \) denotes the Cabibbo-Kobayashi-Maskawa matrix.

The phenomenology for the 2HDM, for the quarkonium processes under study, is not very different from the other two models considered above and so we will concentrate mainly on the top color models and supersymmetry models with R-parity violation.

### 6 Results and Discussion of Theoretical Uncertainties

| NRQCD matrix elements | Value  |
|------------------------|--------|
| \( \langle O_1^S(3S_1) \rangle \approx 3 \langle \psi|O_1(3S_1)|\psi \rangle \) | 0.73 GeV |
| \( \langle \Upsilon|O_1(3S_1)|\Upsilon \rangle \) | 2.3 GeV |
| \( \langle O_8^S(3S_1) \rangle \) | 0.014 GeV |
| \( \langle O_8^S(3P_0)/m_c^2 \rangle \) | \(10^{-2}\) GeV |
| \( \langle O_8^S(1S_0) \rangle \approx \langle O_8^S(3P_0)/m_b^2 \rangle \) | \(5 \times 10^{-4}\) GeV |
| \( \langle \Upsilon|O_8(3S_1)|\Upsilon \rangle \) | \(7 \times 10^{-3}\) GeV |

In this section we discuss the results of our calculations. First let us look at the \( \Upsilon \) decays. The inputs to our calculation are the various well known NRQCD matrix elements given in table 1. The standard model contribution to the branching ratio is \(5.2 \times 10^{-11}\) from the penguin induced \( b \rightarrow s \) transition. The process \( \Upsilon \rightarrow bX_s \) can also have a contribution in the standard model from tree level processes. The effective Hamiltonian, suppressing the Dirac structure of the currents,

\[ H_W = \frac{G_F}{\sqrt{2}} V_{ub} V_{us}^* \left[ a_1(\bar{u}\bar{b})(\bar{u}u) + a_2(\bar{u}\bar{b})(\bar{u}u) \right] \]

(36)
where $a_1$ and $a_2$ are the QCD coefficients can generate the process $\Upsilon \rightarrow B^+K^-$. We can estimate the branching ratio for this process as

$$BR[\Upsilon \rightarrow B^+K^-] \approx \left| \frac{V_{ub}}{V_{cb}} \right|^2 BR[\Upsilon \rightarrow B_c^+K^-]$$

Using $BR[\Upsilon \rightarrow B_c^+K^-]$ calculated in Ref[4] one obtains $BR[\Upsilon \rightarrow B^+K^-] \sim 1.5 \times 10^{-14}$. For a rough estimate of $BR[\Upsilon \rightarrow B^+X_s]$ we can scale $BR[\Upsilon \rightarrow B^+K^-]$ by the factor $BR[B \rightarrow D^0X]/BR[B \rightarrow D\pi]$. The measured value of $BR[B \rightarrow D^0X]$ [3] includes $D^0$ coming from the decay of $D^{0*}$ and $D^{+*}$. From the spin phase factors $BR[B \rightarrow D^*X] \sim 3BR[B \rightarrow DX]$. Hence $BR[B \rightarrow D^0X]/BR[B \rightarrow D\pi] \sim 20$ leading to $BR[\Upsilon \rightarrow B^+X_s] \sim 3 \times 10^{-13}$.

So far we have not considered $R_1$ and $R_2$ in $L_{new}$. In our model independent analysis we vary $R_1/\Lambda^2$, $R_2/\Lambda^2$ one at a time and the use the constraint from measurements of $b \rightarrow s\ell^+\ell^-$ and $b \rightarrow s\mu^+\mu^-$. We identify $\Lambda$ with the masses of the exchange particles which we take to be between $100 - 200$ GeV. We also take the cut-off for the integral in Eqs. 17-20 as 200 GeV. The allowed values of $R_1/\Lambda^2$, $R_2/\Lambda^2$ are then used to calculate $\Upsilon \rightarrow \overline{B}X_s$ The constraint from $b \rightarrow s\ell^+\ell^-$ gives

$$|R_{1,2}|/\Lambda^2 < (6 - 9) \times 10^{-6}(1/GeV)^2$$

Using the upper bounds on $|R_{1,2}|/\Lambda^2$ we find the branching ratio for the process $\Upsilon(1S) \rightarrow \overline{B}X_s$ to be between $(1 - 2) \times 10^{-6}$. Branching ratios of similar order are also obtained for $\Upsilon(2S)$ and $\Upsilon(3S)$. For $\Upsilon(4S)$ the branching ratio is smaller by a factor of 100 because of the larger width of $\Upsilon(4S)$ which decays predominantly to two $B$ mesons.

Turning now to models, we find for the top color model from Eq. (24) we can write

$$D_{Rbs}^* = 4 \frac{R_1}{\Lambda^2} \frac{f_\pi^2 m_H^2}{m_t^2 |D_{Lbb}|^2 |D_{Rbb}|}$$

(37)

We can identify $\Lambda = m_H$ and use the constraint from $b \rightarrow s\ell^+\ell^-$ for a typical value of $|R_1|/\Lambda^2 \sim 6 \times 10^{-6}(1/GeV)^2$. Assuming $|D_{Lbb}| \approx |D_{Rbb}| \approx 1$, and $f_\pi = 50$GeV we obtain $|D_{Rbs}| \sim 2m_H^2 \times 10^{-6}$.

With typical values of $m_H \sim 100 - 200$ GeV we get $|D_{Rbs}| \sim 0.02 - 0.08$. Similar values have been
obtained for $|D_{Rbs}|$ in Ref.[14] by considering the contributions of the charged higgs and top-pion to $b \to s\gamma$. A similar exercise can be carried out with $|D_{Lbs}|$. Note that $B_s$ mixing probes the combination $D^*_{Lbs}D_{Rbb}D^*_{Rbs}D_{Lbb}$ and so by either choosing $R_1 \sim 0$ or $R_2 \sim 0$ we can satisfy the constraint on $B_s$ mixing by choosing the appropriate mixing elements to be small. Note that in top color models we can have operators $s(1-\gamma^5)b\bar{d}(1+\gamma^5)d$ and $\bar{s}(1+\gamma^5)b\bar{d}(1-\gamma^5)d$ that can contribute to $\Upsilon \to \overline{B}s\bar{d} \to \overline{B}X_s$ after Fierz reordering. However these operators will be suppressed by form factor effects and also from mixing effects.

We have checked that the contribution to $\Upsilon \to \overline{B}X_s$ from these operators are much suppressed relative to the contribution of the operators in $L_{new}$. We will therefore not consider the the above operators in our analysis.

Turning to R-parity violating susy we first collect the constraints on the relevant couplings. The upper limits of the $L$-violating couplings for the squark mass of 100 GeV are given by

\begin{align*}
|\lambda'_{kij}| &< 0.012, \ (k,j = 1,2,3; i = 1,2), \quad (38) \\
|\lambda'_{13j}| &< 0.16, \ (j = 1,2), \quad (39) \\
|\lambda'_{133}| &< 0.001, \quad (40) \\
|\lambda'_{23j}| &< 0.16, \ (j = 1,2,3), \quad (41) \\
|\lambda'_{33j}| &< 0.26, \ (j = 1,2,3), \quad (42)
\end{align*}

The first set of constraints in Eq. (38) come from the decay $K \to \pi\nu\nu$ with FCNC processes in the down quark sector [24]. The set of constraints in Eq. (39) and Eq. (41) are obtained from the semileptonic decays of $B$-meson [25]. The constraint, on the coupling $\lambda'_{133}$ in Eq. (40) is obtained from the Majorana mass that the coupling can generate for the electron type neutrino [26]. The last set of limits in Eq. (42) are derived from the leptonic decay modes of the $Z$ [27]. Assuming all the couplings to be positive we find the branching ratio for $\Upsilon \to \overline{B}X_s$ to be around $2 \times 10^{-6}$ for $m_\tilde{\nu} = 100\text{GeV}$.

Turning next to $J/\psi \to \overline{D}X_u$, we first make an estimate for this process in the standard model. Since the penguin $c \to u$ transition is small in the standard model we neglect its contribution. As in the case for
the Υ system, for a rough estimate, can write

\[ \text{BR}[J/\psi \to D^0 X_u] \approx \text{BR}[J/\psi \to D^0 \pi^0]\text{BR}[D^0 \to K^- X]/\text{BR}[D^0 \to K^- \pi^+] \]

We obtain \( \text{BR}[J/\psi \to D^0 \pi^0] \) from [4] and keeping in mind that \( \text{BR}[D^0 \to K^- X] \) contains contributions from states decaying to \( K^- \) we obtain \( \text{BR}[J/\psi \to D^0 X_u] \approx 10^{-10} \). A similar exercise gives \( \text{BR}[J/\psi \to D^+ X_u] \approx 10^{-9} \).

Considering new physics effects we can constrain \( R_1 \) and \( R_2 \) from \( c \to ul^+l^- \). We get an estimate of the constraint on \( c \to ue^+e^- \) by adding up the exclusive modes

\[ \text{BR}[D \to ue^+e^-] \geq \text{BR}[D \to (\pi^0 + \eta + \rho^0 + \omega)e^+e^-] \]

From \( c \to ul^+l^- \) one obtains

\[ |R_{1,2}|/\Lambda^2 \leq 3.7 \times 10^{-4}(1/\text{GeV})^2 \]

We find the branching fraction for the process \( J/\psi \to \overline{D}X_u \) using the constraint from \( c \to ul^+l^- \) can be \( (3 - 4) \times 10^{-5} \)

In top color models taking \( R_1 \) and \( R_2 \) one at a time, one obtains

\[ \frac{2.1 \times 10^3}{m_{\tilde{\pi}}^4} |U_{Lcc}|^2|U_{R\bar{t}c}U_{Rtu}^*|^2 \]

or

\[ \frac{2.1 \times 10^3}{m_{\tilde{\pi}}^4} |U_{R\bar{t}c}|^2|U_{Lcc}U_{Lcu}^*|^2 \]

as the branching fraction for \( J/\psi \to \overline{D}X_u \). For \( m_{\tilde{\pi}} \) between 100 – 200 GeV this rate can be between \( (0.1 - 2.0) \times 10^{-5} \) if all the mixing angles are \( \sim 1 \). It has been shown in Ref[28] that our choices for \( f_{\tilde{\pi}} \) and \( m_{\tilde{\pi}} \) gives unacceptably large corrections to \( Z \to b\bar{b} \) from one loop contribution of the top pions. However in a strongly coupled theory higher loop terms can have significant contributions. Nonetheless if we change \( f_{\tilde{\pi}} \) to \( \sim 100 \) GeV for better agreement with \( Z \to b\bar{b} \) data then the effect in \( J/\psi \to \overline{D}X_u \) is reduced by a factor of 16. As in the case of the Υ system we can satisfy the constraint from D mixing by choosing \( R_1 \sim 0 \) or \( R_2 \sim 0 \).
For R parity violating susy, contribution to $J/\psi \to \overline{D}X_u$ can only occur at loop level, with both the $\lambda'$ or $\lambda''$ contributing, through the box diagram and so is suppressed. However in the general 2HDM, from Eq. 33, the operator $\overline{t}t\overline{c}c$ can be generated by the tree level exchange of the field $\phi_2$. The contribution to $J/\psi \to \overline{D}X_u$ will be proportional to the combination of couplings $R_2 = \xi_{12}^{U*} \xi_{22}^U$ and $R_1 = \xi_{21}^{U*} \xi_{22}^U$. Note the $D^0 - \overline{D}^0$ mixing probes $\xi_{12}^{U*} \xi_{22}^U$. So we can satisfy the constraint on $D^0 - \overline{D}^0$ mixing by choosing either $R_1 \sim 0$ or $R_2 \sim 0$. One then obtains

\[ \frac{14}{m_H^4} |\xi_{12}^{U*} \xi_{22}^U|^2 \]

or

\[ \frac{14}{m_H^4} |\xi_{21}^{U*} \xi_{22}^U|^2 \]

as the branching ratio for $J/\psi \to \overline{D}X_u$. For $m_H$ between 100 – 200 GeV this rate can be between $(0.1 - 1.4) \times 10^{-7}$ if all the couplings are $\sim 1$. In a strongly interacting theory these couplings can be $> 1$ as in the example of top color models discussed above.

We note in passing that the four quark operators we have considered can also give rise to mixing operators that are $1/\Lambda^4$ suppressed. Taking one operator at a time one can generate the following operators that contribute to mixing

\begin{align*}
O_1 &= -\frac{3R_1^2}{2\pi^2 \Lambda^2} \frac{m_b^2}{\Lambda^2} \log \left( \frac{\Lambda^2}{m_b^2} \right) \overline{s}(1 - \gamma^5)b \overline{s}(1 - \gamma^5)b, \\
O_2 &= -\frac{3R_2^2}{2\pi^2 \Lambda^2} \frac{m_b^2}{\Lambda^2} \log \left( \frac{\Lambda^2}{m_b^2} \right) \overline{s}(1 + \gamma^5)b \overline{s}(1 + \gamma^5)b.
\end{align*}

We then have for $B_s$ mixing,

\[ \Delta B_s = \frac{5}{3} f_{B_s}^2 \eta B M_{B_s} \delta, \] (44)

where $\eta$ is the QCD correction factor and $B$ and $\delta$ are defined through

\[ <B_s^0|\overline{s}(1 - \gamma^5)b\overline{s}(1 - \gamma^5)|B_s^0> = -\frac{5}{3} f_{B_s}^2 B M_{B_s} \delta, \]

and

\[ \delta = -\frac{3R_i^2}{2\pi^2 \Lambda^2} \frac{m_b^2}{\Lambda^2} \log \left( \frac{\Lambda^2}{m_b^2} \right), \]
where $i = 1, 2$. A similar result can be written for $D$ mixing.

For the Upsilon system if we include the constraint from $B_s$ mixing generated by only the operators $O_{1,2}$ then we obtain $|R_{1,2}|/\Lambda^2 > 1 - 2 \times 10^{-6} (1/\text{GeV})^2$. This is of the same order as the constraint obtained from $b \to s l^+ l^-$. In the case of $J/\psi$, if we include the constraint from the $D$ mixing generated by only the operators $O_{1,2}$ then we obtain $|R_{1,2}|/\Lambda^2 \sim 10^{-6} (1/\text{GeV})^2$. This will lower the branching fraction for $J/\psi \to D X_u$ to $\sim 10^{-9}$. However, to evaluate consistently the effects of these operators at order of $(1/\Lambda^2)^2$ would require the addition of other operators with dimension $\leq 8$. As noted earlier we restrict our analysis to only dimension six operators in the effective lagrangian, thus the conservative result for $J/\psi \to \bar{D} X_u$ is of order of $10^{-5}$, which, as we shown above, lies also in the region predicted by the TopColor and 2HDM.

Direct CP violation is possible in these decays through the interference of the standard model and new physics contribution. The CP conserving phase is generated at the quark level from the penguin diagrams. However the standard model contribution is small and so the CP asymmetry $a_{CP}$ is also small with a typical value of 0.1% for $\Upsilon \to \bar{B} X_s$.

In summary we have calculated branching ratios for the flavor changing processes $\Upsilon \to \bar{B} X_s$ and $J/\psi \to \bar{D} X_u$. In a model independent description of new physics\cite{29}, constrained by low energy data from $b \to s l^+ l^-$ and $c \to u l^+ l^-$, we found branching fractions for these processes can be $\sim 10^{-6}$ and $\sim 10^{-5}$ for $\Upsilon$ and $J/\psi$ decays respectively. We also discussed several models of new physics that can allow these processes to occur with branching ratios that maybe measurable in the next round of experiments.

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