Energy dependence of the transverse momentum distributions of charged particles in pp collisions measured by ALICE

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Abstract Differential cross sections of charged particles in inelastic pp collisions as a function of $p_T$ have been measured at $\sqrt{s} = 0.9$, 2.76 and 7 TeV at the LHC. The $p_T$ spectra are compared to NLO-pQCD calculations. Though the differential cross section for an individual $\sqrt{s}$ cannot be described by NLO-pQCD, the relative increase of cross section with $\sqrt{s}$ is in agreement with NLO-pQCD. Based on these measurements and observations, procedures are discussed to construct pp reference spectra at $\sqrt{s} = 2.76$ and 5.02 TeV up to $p_T = 50$ GeV/c as required for the calculation of the nuclear modification factor in nucleus–nucleus and proton–nucleus collisions.

1 Introduction

The measurement of charged particle production in proton–proton collisions at high energy gives insight into the dynamics of soft and hard interactions. Hard parton–parton scattering processes with large momentum transfer are quantitatively described by perturbative Quantum Chromodynamics (pQCD). Measurements at high transverse momenta ($p_T$) at LHC-energies can help to constrain parton distribution and fragmentation functions in current next-to-Leading-Order (NLO) pQCD calculations [1] of charged particle production. As data at various $\sqrt{s}$ become available at the LHC, a systematic comparison with current NLO-pQCD calculations over a large span of $\sqrt{s}$ is now possible. However, most particles are produced at low momentum, where particle production is dominated by soft interactions and only phenomenological approaches can be applied (e.g. PYTHIA [2–4], PHOJET [5]) to describe the data. A systematic comparison to data at different values of $\sqrt{s}$ is an essential ingredient to tune these Monte Carlo event generators.

Furthermore, the measurement of charged particle transverse momentum spectra in pp collisions serves as a crucial reference for particle spectra in Pb–Pb collisions. To quantify final state effects due to the creation of a hot and dense deconfined matter, commonly referred to as the Quark–Gluon Plasma (QGP), $p_T$ spectra in the two collision systems are compared. The observed suppression [6] in central Pb–Pb collisions at LHC-energies at high $p_T$ relative to an independent superposition of pp collisions is generally attributed to energy loss of the partons as they propagate through the hot and dense QCD medium. To enable this comparison a pp reference $p_T$ spectrum at the same $\sqrt{s}$ with the same $p_T$ coverage has to be provided. Similarly, a pp reference spectrum is also needed for p–Pb collisions to investigate possible initial-state effects in the collision.

In this paper we present a measurement of primary charged particle transverse momentum spectra in pp collisions at $\sqrt{s} = 0.9$, 2.76 and 7 TeV. Primary charged particles are considered here as all charged particles produced in the collision and their decay products, except for particles from weak decays of strange hadrons. The measurement is performed in the pseudorapidity range $|\eta| < 0.8$ for particles with $p_T > 0.15$ GeV/c. Reference spectra for comparison with Pb–Pb spectra at $\sqrt{s_{\text{NN}}} = 2.76$ TeV and p–Pb spectra at $\sqrt{s_{\text{NN}}} = 5.02$ TeV in the corresponding $p_T$ range up to $p_T = 50$ GeV/c are constructed.

2 Experiment and data analysis

The data were collected by the ALICE apparatus [7] at the CERN-LHC in 2009–2011. The analysis is based on tracking information from the Inner Tracking System (ITS) and the Time Projection Chamber (TPC), both located in the central barrel of the experiment. The minimum-bias interaction trigger was derived using signals from the forward scintillators (VZERO), and the two innermost layers of the ITS, the Silicon Pixel Detector (SPD). Details of the experimental setup used in this analysis are discussed in [8].
The events are selected based on the minimum-bias trigger MBOR requiring at least one hit in the SPD or VZERO detectors, which are required to be in coincidence with two beam bunches crossing in the ALICE interaction region. In addition, an offline event selection is applied to reject beam induced (beam-gas, beam-halo) background. The VZERO counters are used to remove these beam-gas or beam-halo events by requiring their timing signals to be in coincidence with particles produced in the collision. The background events are also removed by exploiting the correlation between the number of the SPD hits and the number of the SPD tracklets (short track segments reconstructed in the SPD and pointing to the interaction vertex). The beam-gas or beam-halo events typically have a large number of hits in the SPD compared to the number of reconstructed tracklets; this is used to reject background events. In total 6.8 M, 65 M and 150 M pp events at $\sqrt{s} = 0.9$, 2.76 and 7 TeV fulfill the MBOR trigger and offline selection criteria. The typical luminosity for these data taking was about $10^{29} \text{cm}^{-2}\text{s}^{-1}$. The average number of interactions per bunch crossing varied from 0.05 to 0.1.

In this analysis the focus is on inelastic (INEL) pp events originating from single-diffractive, double-diffractive and non-diffractive processes. The INEL events are selected with an efficiency $\epsilon_{\text{MBOR}}$ of $(91^{+3.2}_{-0.7})\%$, $(88.1^{+5.9}_{-3.5})\%$ and $(85.2^{+6.2}_{-3.0})\%$ for the three energies. The trigger efficiencies are determined [9] based on detector simulations with PYTHIA6 [2–4] and PHOJET [5] event generators.

The primary event vertex is determined based on ITS and TPC information. If no vertex is found using tracks in the ITS and the TPC, it is reconstructed from tracklets in the SPD only. Tracks or tracklets are extrapolated to the experimental collision region utilizing the averaged measured beam intersection profile in the $x$–$y$ plane perpendicular to the beam axis.

An event is accepted if the $z$-coordinate of the vertex is within $\pm 10 \text{cm}$ of the center of the interaction region along the beam direction. This corresponds to about 1.6 standard deviations from the mean of the reconstructed event vertex distribution for all three energies. In this range, the vertex reconstruction efficiency is independent of $z$. The event vertex reconstruction is fully efficient for events with at least one track in the pseudorapidity range $|\eta| < 1.4$ for all three energies.

Only tracks within a pseudorapidity range of $|\eta| < 0.8$ and transverse momenta $p_T > 0.15$ GeV/$c$ are selected. A set of standard cuts based on the number of space points and the quality of the track fit in ITS and TPC is applied to the reconstructed tracks [10].

Efficiency and purity of the primary charged particle selection are estimated using simulations with PYTHIA6 [2–4] and GEANT3 [11] for particle transport and detector response. The overall $p_T$-dependent efficiency (tracking efficiency $\times$ acceptance) is $40$–$73\%$, $36$–$68\%$ and $40$–$73\%$ at $\sqrt{s} = 0.9$, 2.76 and 7 TeV. At $\sqrt{s} = 2.76$ TeV the overall efficiency is lower than at $\sqrt{s} = 0.9$ and 7 TeV due to the smaller number of operational channels in the SPD. Contamination of secondary tracks which passed all selection criteria amounts to $7\%$ at $p_T = 0.15$ GeV/$c$ and decreases to $\sim 0.6\%$ for $p_T > 4$ GeV/$c$. In addition, the contribution from secondary tracks originating from weak decays of strange hadrons was scaled up by a factor of 1–1.5 ($p_T$-dependent) to match the contribution in data. The secondary tracks were subtracbin-by-bin from the $p_T$ spectra.

The $p_T$ resolution is estimated from the space point residuals of the track fit. It is verified by the width of the invariant mass peaks of $\Lambda$, $\bar{\Lambda}$ and $K^0_s$, reconstructed from their decays into two charged particles. The relative $p_T$ resolution is $3.5\%$, $5.5\%$ and $9\%$ at the highest $p_T$ of 20, 32 and 50 GeV/$c$ at $\sqrt{s} = 0.9$, 2.76 and 7 TeV, respectively. From invariant mass distributions $M_{\text{inv}}(p_T)$ of $\Lambda$ and $K^0_s$, the relative uncertainty on the $p_T$ resolution is estimated to be $\approx 20\%$ for all three energies. To account for the finite $p_T$ resolution of tracks, correction factors to the spectrum for $p_T > 10$ GeV/$c$ are derived using an unfolding procedure. The determination of the correction factors is based on measured tracks without involving simulation. The choice of the unfolding procedure is based on the observation that $p_T$ smearing has a small influence on the measured spectrum. As input to the procedure a power-law parametrization of the measured $p_T$ spectrum for $p_T > 10$ GeV/$c$ is used. This parametrization is folded with the $p_T$ resolution obtained for a given $p_T$ from the measured track covariance matrix. The $p_T$ dependent correction factors are extracted from the ratio of the input to the folded parametrization and are applied (bin-by-bin) to the measured $p_T$ spectrum. It was checked that the derived correction factors are the same when replacing the measured with the corrected $p_T$ distribution in the unfolding procedure. The correction factors depend on $\sqrt{s}$ due to the change of the spectral shape and reach 2%, 4% and 6.5% at $\sqrt{s} = 0.9$, 2.76 and 7 TeV for the highest $p_T$. The systematic uncertainty of the momentum scale is $|\Delta(p_T)/p_T| < 0.01$ at $p_T = 50$ GeV/$c$, as determined from the mass difference between $\Lambda$ and $\bar{\Lambda}$ and the ratio of positively to negatively charged tracks, assuming charge symmetry at high $p_T$.

A summary of the systematic uncertainties is given in Table 1. The systematic uncertainties on the event selection are determined by changing the lower and upper limits on the $z$-coordinate of the vertex. Track selection criteria [10] are varied to determine the corresponding systematic uncertainties resulting in a maximal contribution of $4.3$–$5.5\%$ for $p_T < 0.6$ GeV/$c$. The systematic uncertainties on the tracking efficiency are estimated from the difference between data and simulation in the TPC-ITS track matching efficiency. The systematic uncertainties related to the $p_T$
resolution correction are derived from the unfolding procedure including a relative uncertainty on the $p_T$ resolution, and reach maximum values at the highest $p_T$ covered. The systematic uncertainties on the material budget ($\sim$11.5% $X_0$ [12], where $X_0$ is the radiation length) are estimated by changing the material density (conservatively) by ±10% in the simulation, contributing mostly at $p_T < 0.2$ GeV/c. To assess the systematic uncertainties on the tracking efficiency related to the primary particle composition the relative abundance of $\pi$, K, p was varied by 30% in the simulation; they contribute mostly at $p_T < 0.5$ GeV/c. The Monte Carlo (MC) event generator dependence was studied using PHOJET as a comparison, with the largest contribution at $\sqrt{s}$ > 7 TeV. The Monte Carlo (MC) event generator dependence was studied using PHOJET as an example, the largest contribution at $\sqrt{s}$ > 7 TeV.

The differential cross section $d^2\sigma/d\eta dp_T$ is calculated as $d^2\sigma/d\eta dp_T = \sigma_{\text{inel}}^{NN} \times d^2 N_{\text{MBOR}} / d\eta dp_T$ with $d^2 N_{\text{MBOR}} / d\eta dp_T$ being the per-event differential yield of charged particles in minimum bias collisions. $\sigma_{\text{inel}}^{NN}$ is determined based on van-der-Meer scans [9] as $\sigma_{\text{MBOR}}^{NN} = 55.4 \pm 1.0 \text{ (62.2 \pm 2.2 )} \text{ mb at } \sqrt{s} = 2.76 \text{ (7 ) TeV. At } \sqrt{s} = 0.9 \text{ TeV van-der-Meer}$. Scans were not performed and $\sigma_{\text{MBOR}}^{NN} = 47.8^{+2.5}_{-3.0} \text{ mb is obtained based on detector simulations using the INEL cross section } \sigma_{\text{inel}}^{NN} = 52.5^{+2}_{-3.3} \text{ mb [9].}

### Table 1: Contribution to the systematic uncertainties on the $p_T$ spectra

| $\sqrt{s}$ | 0.9 TeV | 2.76 TeV | 7 TeV |
|------------|---------|----------|-------|
| Event vertex selection | 1.2 % | 2.3 % | 0.5 % |
| Track selection | 2.5–5.5 % | 2.3–5.1 % | 1.9–4.3 % |
| Tracking efficiency | 5 % | 5 % | 5 % |
| $p_T$ resolution correction | <1.7 % | <1.9 % | <2.6 % |
| Material budget | 0.2–1.5 % | 0.2–1.5 % | 0.2–1.5 % |
| Particle composition | 1–2 % | 1–2 % | 1–2 % |
| MC event generator | 2.5 % | 2–3 % | 2–3.5 % |
| Secondary strange particles | <0.3 % | <0.3 % | <0.3 % |
| Total $p_T$ dependent | 6.7–8.2 % | 6.4–8.0 % | 6.6–7.9 % |
| Normalization uncertainty | ±5.1/–4.0 % | ±1.9 % | ±3.6 % |

3 Results

The differential cross section in INEL pp collisions as a function of $p_T$ is shown in Fig. 1 for all three measured collision energies. At high $p_T$ a clear evolution of the slope from $\sqrt{s} = 0.9$ to 7 TeV can be observed. A NLO-pQCD calculation [1] for $p_T > 3$ GeV/c is compared to the spectra. The calculation shows a similar evolution of the high-$p_T$ dependence with $\sqrt{s}$ but overpredicts the data by a factor two [12, 15]. The low systematic uncertainties demonstrate the accuracy of the measurements for all energies over the full $p_T$ range.

Though the $p_T$ dependence of the cross section for a single $\sqrt{s}$ is not well described by NLO-pQCD, the relative dependence on $p_T$ of cross sections of two collision energies is described much better. Figure 2 shows the ratio be-

Fig. 1 Top: Differential cross section of charged particles in INEL pp collisions at $\sqrt{s} = 0.9$, 2.76 and 7 TeV as a function of $p_T$ compared to a NLO-pQCD calculation [1] at the same energy. Only statistical uncertainties are shown. Bottom: Systematic uncertainties as a function of $p_T$ for all three energies. The uncertainty on the normalization (compare Table 1) of the spectra is not included (Color figure online)
b tween the differential cross section in INEL pp collisions at \( \sqrt{s} = 2.76 \text{ TeV} \), 0.9 to 2.76 TeV and 0.9 to 7 TeV as a function of \( p_T \) in comparison to the same ratio calculated with NLO-pQCD. The total \( p_T \) dependent systematic uncertainties on the ratios are evaluated taking into account correlated contributions, and amount to 8.1—9.8 %, 7.8—9.8 % and 7.9—9.9 % for 0.9 TeV/2.76 TeV, 0.9 TeV/7 TeV and 2.76 TeV/7 TeV. The corresponding normalization uncertainties amount to +5.4 %/−4.4 %, +6.2 %/−5.4 % and +4.1 %, and are calculated assuming that the normalization uncertainties on the \( p_T \) spectra (Table 1) are uncorrelated. In all three ratios good agreement between data and NLO-pQCD calculations is found, which can be seen in the double ratio of data and NLO-pQCD for the three energy ratios in the lower panel of Fig. 2.

4 Construction of a pp reference for \( \sqrt{s} = 2.76 \text{ TeV} \)

For the determination of the nuclear modification factor

\[
R_{AA}(p_T) = \frac{d^2N_{ch}^{AA}}{dp_T \eta} \frac{d^2\sigma_{pp}/dp_T}{d^2\sigma_{pp}/dp_T}
\]

in heavy-ion collisions a well described pp reference \( d^2\sigma_{pp}/dp_T \) at the same center-of-mass energy up to high \( p_T \) is essential. \( N_{ch}^{AA} \) describes the charged particle yield per event in nucleus–nucleus collisions and \( (T_{AA}) \) is the average nuclear overlap function [6, 10]. The statistics in the measurement of \( d^2\sigma_{pp}/dp_T \) for \( \sqrt{s} = 2.76 \text{ TeV} \) reported in this paper allows \( p_T = 32 \text{ GeV}/c \) to be reached. In order to extrapolate to higher \( p_T \), the measured cross section needs to be parametrized.

As can be seen in Fig. 1 for \( p_T > 10 \text{ GeV}/c \) the pp spectrum at \( \sqrt{s} = 2.76 \text{ TeV} \) shows a clear power-law dependence on \( p_T \). To constrain the parametrization better by including data points at lower \( p_T \), \( d^2\sigma_{pp}/dp_T \) has been parametrized by a so-called modified Hagedorn function [16]

\[
\frac{1}{2\pi p_T \eta} \frac{d^2\sigma_{ch}^{pp}}{dp_T} = A \frac{p_T}{m_T} \left( 1 + \frac{p_T}{p_{T,0}} \right)^{-n}
\]

where \( m_T \) denotes the transverse mass \( m_T = \sqrt{m_0^2 + p_T^2} \), with \( m_0 = 140 \text{ MeV}/c \) assumed for all tracks. For small \( p_T \), the term \( (1 + \frac{p_T}{p_{T,0}})^{-n} \) behaves like an exponential function with an inverse slope parameter of \( p_{T,0}/n \) while for large \( p_T \) the Hagedorn function behaves like a power-law function.

To determine the extrapolation to high \( p_T \), \( d^2\sigma_{ch}^{pp}/dp_T \) is parametrized for \( p_T > 5 \text{ GeV}/c \). For 5 GeV/c < \( p_T < 10 \text{ GeV}/c \) the exponential part of the Hagedorn function acts as a correction term to the power-law part in the function.

Figure 3 shows the differential cross section in INEL pp collisions as a function of \( p_T \) for \( \sqrt{s} = 2.76 \text{ TeV} \) together with the parametrization for \( p_T > 5 \text{ GeV}/c \). The ratio between data and parametrization in the lower panel demonstrates the good agreement of the parametrization with the data. The gray band indicates the total \( p_T \) dependent systematic uncertainty of the measured spectrum as presented in Table 1.

To estimate the systematic uncertainty of the parametrization and extrapolation, the lower boundary of the fit range of the Hagedorn parametrization is varied between \( p_T = 3 \text{ GeV}/c \) and \( p_T = 7 \text{ GeV}/c \), while the upper boundary is fixed to the highest data point measured at \( p_T = 32 \text{ GeV}/c \). Together with the systematic uncertainties on the measured differential cross section as shown in Table 1 this results in a total systematic uncertainty on the reference at \( \sqrt{s} = 2.76 \text{ TeV} \) of 6.4 % for low \( p_T \) up to 19 % at \( p_T = 50 \text{ GeV}/c \).
The final pp reference for the determination of $R_{AA}$ at $\sqrt{s} = 2.76$ TeV is constructed from the measured data points up to $p_T = 5$ GeV/c and the parametrization for $p_T > 5$ GeV/c. Statistical uncertainties in the extrapolated part of the reference are obtained from the covariance matrix of the parametrization. The systematic uncertainties on the spectrum are propagated to the reference by application of the full extrapolation procedure using the measured data points shifted up and down by the total systematic uncertainty.

This reference is compared to alternative measurements and approaches. Figure 4 shows the ratio between alternative pp references and the reference at $\sqrt{s} = 2.76$ TeV presented in this paper. Above $p_T = 20$ GeV/c, all references agree within the systematic uncertainties. Simulations with the PYTHIA8 generator [17] agree with the new reference for $p_T > 15$ GeV/c. Below $p_T = 20$ GeV/c, the shape of the PYTHIA8 spectrum is similar to the measured reference. A pp reference presented by the CMS collaboration [18] agrees best for $p_T < 6$ GeV/c. The overall normalization systematic uncertainties $\pm 1.9\%$ ($\pm 6\%$) for ALICE (CMS) are not included in the comparison. A reference based on an interpolation between measured yields at $\sqrt{s} = 0.9$ and 7 TeV as discussed in [6] does not agree with the new reference for $p_T > 6$ GeV/c. Finally a scaling of the measured differential cross section in INEL pp collisions at $\sqrt{s} = 7$ TeV with the ratio of pQCD calculations (as shown in Fig. 2)

$$d^2\sigma_{\text{ch}}^{pp}/d\eta dp_T |_{\sqrt{s}=2.76 \text{ TeV}} = \frac{d^2\sigma_{\text{ch}}^{pp}/d\eta dp_T |_{\text{NLO}, 2.76 \text{ TeV}}}{d^2\sigma_{\text{ch}}^{pp}/d\eta dp_T |_{\text{NLO}, 7 \text{ TeV}}} \times d^2\sigma_{\text{ch}}^{pp}/d\eta dp_T |_{\sqrt{s}=7 \text{ TeV}}$$

agrees well in shape and normalization with the measured data over a wide range in $p_T$. The systematic uncertainty of the new reference is indicated in Fig. 4 as a gray band for comparison.

5 Construction of a pp reference for $\sqrt{s} = 5.02$ TeV

Similar to $R_{AA}$, a nuclear modification factor $R_{pA}$ in proton-lead collisions has been studied [19] at $\sqrt{s} = 5.02$ TeV. No measured pp reference is available at this collision energy. Due to the asymmetric p–Pb collision system, the $\eta$ coverage of the detector is shifted with respect to the symmetric pp or Pb–Pb collisions. To obtain a maximum overlap between the pp and p–Pb systems, a pp reference is needed for $|\eta| < 0.3$. To construct the pp reference at this energy, different methods for three $p_T$-ranges are combined.

0.15 < $p_T$ < 5 GeV/c: As NLO-pQCD becomes unreliable for small $p_T$, the measured differential cross sections for pp collisions of $\sqrt{s} = 2.76$ and 7 TeV are interpolated for a given $p_T$, assuming a power-law behavior of the $\sqrt{s}$ dependence of the cross section. Here the maximum relative
systematic uncertainty of the underlying measurements has been assigned as systematic uncertainty.

\[ 5 < p_T < 20 \text{ GeV}/c: \] The measured differential cross section for pp collisions at \( \sqrt{s} = 7 \) TeV is scaled to \( \sqrt{s} = 5.02 \) TeV using the NLO-pQCD calculations (Eq. (3)). Systematic uncertainties are determined by taking into account differences to an interpolated reference as well as to a scaled reference using \( \mu = p_T/2 \) and \( \mu = 2p_T \) as alternative choices for the renormalization and factorization scales.

\[ p_T > 20 \text{ GeV}/c: \] The NLO-scaled reference is parameterized in the range \( 20 < p_T < 50 \) GeV/c by a power-law function and the parametrization is used.

The constructed pp reference for \( \sqrt{s} = 5.02 \) TeV is shown in Fig. 5 together with the reference for \( \sqrt{s} = 2.76 \) TeV discussed above. For \( p_T > 20 \) GeV/c the data points show the NLO-scaled reference which is parameterized by a power-law function (line) to obtain the final reference at \( \sqrt{s} = 5.02 \) TeV. In the bottom part of the figure a comparison of the NLO-scaled reference and the parametrization is shown.

![Graph showing pp references and parametrization](image-url)

**Fig. 5** Top: Constructed pp references for \( \sqrt{s} = 2.76 \) and \( \sqrt{s} = 5.02 \) TeV. Bottom: Comparison of NLO-scaled reference and parametrization. The parametrization is used for \( p_T > 20 \) GeV/c. The gray band indicates the total \( p_T \) dependent systematic uncertainty as discussed in the text (Color figure online)

### 6 Summary

Differential cross sections of charged particles in inelastic pp collisions as a function of \( p_T \) have been presented for \( \sqrt{s} = 0.9 \), 2.76 and 7 TeV. Comparisons of the \( p_T \) spectra with NLO-pQCD calculations show that the cross section for an individual value of \( \sqrt{s} \) cannot be described by the calculation. The relative increase of cross section with \( \sqrt{s} \) is well described by NLO-pQCD, however. The systematic comparison of the energy dependence can help to tune the model dependent ingredients in the calculation. Utilizing these observations and measurements procedures are discussed to construct pp reference spectra at \( \sqrt{s} = 2.76 \) (\( |\eta| < 0.8 \)) and 5.02 TeV (\( |\eta| < 0.3 \)) in the corresponding \( p_T \) range of charged particle \( p_T \) spectra in Pb–Pb and p–Pb collisions measured by the ALICE experiment. The reference spectra are used for the calculation of the nuclear modification factors \( R_{AA} \) [10] and \( R_{pA} \) [19]. The systematic uncertainties related to the pp reference were significantly reduced with respect to the previous measurement by using the \( p_T \) distribution measured in pp collisions at \( \sqrt{s} = 2.76 \) TeV.

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