Neonatal Mortality And Associated Factors Among Neonates Admitted In Public Hospitals, Afar Pastoral Region: A Health Facility Based Study

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Research article

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Abstract

Background: Neonatal mortality is a public health issue in developing countries, such as Ethiopia. Unfortunately, the issue is noticeably under-reported and underestimated, so the true gravity of the situation cannot be acknowledged. Subsequently, Afar in Ethiopia contributes the largest burden of under-five mortality when compared to other regions in the country. Regrettably, there is no current information to the rates and predictors of neonatal mortality for the region even for the health facilities. Thus, this study aims to assess neonatal mortality and associated factors in pastoral region, Afar region. Methods: A health facility-based retrospective cross-sectional study was conducted on 403 neonates admitted to the neonatal intensive care unit (NICU) from May 1st 2015 - May 2nd 2019. Medical records were reviewed and audited for both mothers and neonates to collect data using a standardized data extraction checklist. The medical records were selected using a systematic sampling technique. Binary logistic regression with odds ratio and 95% Confidence interval was calculated to assess the association between neonatal mortality and associated factors. Finally, the statistical significance level was declared at a p-value <0.05. Results: In this study, 391 medical records of neonates were included with the data complete rate of 97.02%. The prevalence of neonatal mortality was 14.6% (95% CI 11.0%-18.4%) with mortality rate of 35.5 per 1000 live births. A multivariable logistic regression showed that the lack of antenatal care (ANC) follow up (AOR = 5.92; 95%CI 2.34, 14.97: P<0.001), giving birth through cesarean section (AOR=3.52; 95%CI 1.22, 10.12: P<0.05), giving birth through assisted delivery (AOR=3.28 (1.14, 9.46): P<0.05), having a temperature less than 36.5oC within the first hour of admission (AOR= 5.89; 95%CI 2.32, 14.94: P<0.001), and perinatal asphyxia (AOR= 6.67; 95%CI 2.35, 18.89: P<0.001) were significantly associated with neonatal mortality. Conclusion: This study revealed that the rate of neonatal mortality is still too high compared to the studies conducted in non-pastoral regions of the nation. Thus, the health facilities should give due attention to improve antenatal care, neonatal resuscitation and follow the standard of care protocol for admitted neonates. Additional community based studies supported with qualitative methods are recommended.

Background

The World Health Organization (WHO) defines neonates as live-born infants whose age is within 28 complete days of birth [1]. Neonatal mortality (NM) is defined as infant death, which occurred during the first four weeks after birth [2]. From this, neonatal deaths are subdivided into early neonatal death (death of a live-born infant during the first seven complete days of life) and late neonatal death (death of a live-born infant after 7 complete days but before 28 complete days of life) [1, 3].

By the end of 2018, an estimated 5.4 million children died before their 5th birthday worldwide and more than 2.5 million neonatal deaths happened in the same year which accounted for 47% of under-five deaths. However, the highest rates of child mortality are still found in sub-Saharan Africa (i.e. 2.7 million children). Besides, sub-Saharan Africa has the highest risk of death in the first month of life and is among the regions showing the least progress [4]. Consequently, the burden of neonatal mortality has not
declined in the needed manner that was hoped for, which were set out by the Sustainable Development Goals. Furthermore, no evidence regarding institutional newborn mortality.

Different strategies, policies, and programs have been implemented in the past to aid in the reduction of neonatal mortality at global, national and regional levels, such as the development of the fourth Millennium Development Goals (MDGs) [5]. Recently, the Sustainable Development Goal (SDGs) was launched, which has thirteen specific targets. Of these goals, there are two specific targets, which focus on the reduction of neonatal mortality rates (NMR) [20 per 100 live births to 12 per 1000 live births] and under-five mortality rates (U5MR) [42.5 per 1000 live births to 25 per 1000 live-births] at the end of 2030 [6, 7]. Every newborn: progress, priority and survival strategy [8] were some of the strategies and policies designed at the global level. Subsequently, in Ethiopia, the Federal Ministry of Health developed a national strategy for addressing community maternal and neonatal health, in which National Scoping to increase the demand, accesses, and use of community-based maternal and neonatal health services those were implemented with the primary health care approach implemented since 1978 and the health extension packages since 1990s [9, 10]. Moreover, newborn health was deemed one of Ethiopia’s top priorities in the past ten years and, still is, in the current 2016–2020 Health Sector Transformation Plan. The country also designed the newly revised child survival and newborn care strategy [3, 5, 11, 12].

Even though developing nations, such as Ethiopia, have made progress in the reduction of under-five mortality rates by more than fifty percent at the end of 2015, the neonatal mortality rates have still not reduced enough [7, 13]. Besides, over 67% of neonatal deaths in Ethiopia are mainly due to preventable causes such as extreme maternal age, prematurity, low-birth-weight, newborn infections, short birth space, birth asphyxia and/or birth trauma [14-17]. Birth asphyxia, neonatal infections, and prematurity are the three leading causes of neonatal mortality accounting for 93% of deaths in the first month of life [18]. Moreover, a prospective cohort study conducted in Butajira district, south-central Ethiopia and a study conducted in Gondar referral hospital found that more than 70% of neonatal deaths occurred during the early neonatal period (0–6 days) and more than 30% were in the late neonatal period (7-27 days). Of the total number of neonatal mortality, 54% occurred in the first 24 hours of life [19, 20].

In 2018, in Ethiopia, the rate of neonatal mortality ranges between 23.4 per 1000 live births to 44 per 1000 live births [21-24]. In 2016, though the rate of neonatal mortality decreased from 54 to 29 per 1000 live births at the national level, the Afar regional state has the second-highest neonatal mortality rate (38 per 1000 live births) next to the Amhara regional state [23]. From this, it can be seen that neonatal mortality is still a public health problem in Ethiopia and the problem is expected to be higher in pastoral communities, where there are no evidence-based studies besides the national survey. Moreover, there is also scare of information regarding institutional neonatal deaths especially in the pastoral regions of Ethiopia.

Finally, there is no specific study conducted to assess the burden and contributing factors for newborn mortality in the pastoral communities, Afar region. Therefore, this study intends to assess the prevalence of neonatal mortality and its associated factors in this region and, hopefully, will generate evidence that
will help to develop different strategies to reduce the burden of neonatal mortality at regional and national levels.

**Methods**

**Study settings and participants**

An institution-based five years retrospective cross-sectional study (i.e. 1\textsuperscript{st} May 2015 up to 2\textsuperscript{nd} May 2019) was conducted from 1\textsuperscript{st} May to 2\textsuperscript{nd} June 2019 to assess neonatal mortality rates and associated factors among neonates admitted in randomly selected public hospitals (Dubti referral and Aysaita district hospitals) found in Afar, Ethiopia. The hospitals are located 598kms, and 658kms away from the capital city, Addis Ababa, respectively. Annually, these hospitals serve more than a half-million people.

All neonates who were admitted in the randomly selected government hospitals’ neonatal intensive care unit from 1\textsuperscript{st} May 2015 – 2\textsuperscript{nd} May 2019 and those had a complete medical record of discharge summary note were included in the study. However, all neonates who were stillbirths were excluded from this study.

The sample size was calculated using a single population proportion formula. To have an adequate sample size, 50 percent was taken for the proportion of new-born mortality with the basic assumptions of 95% confidence interval (the Critical value $Z_{\alpha/2}= 1.96$), and 5% margin of error. And the researchers added 5% to compensate the incomplete data. Then, the calculated sample size became 403 ($384 \times 0.05 + 384$).

See Equation 1 in the Supplementary Files

Where: $n=$ the required sample size, $Z_{\alpha/2}= $ the standardized normal distribution curve value for the 95% confidence interval, $P=$ the proportion of neonatal mortality in the general population of neonates, and $d=$ degree of precision (the margin of error between the sample and population).

The two public hospitals were randomly selected from five hospitals found in Afar regional state. The medical records of the admitted newborns were selected using a systematic sampling technique with every 5\textsuperscript{th} interval (i.e. from 2,015 total medical records in the last five years). The sample was proportionally allocated for each hospital based on their recorded case flows. The medical record of the index newborn was selected using a lottery method from 1 to 5. Thus, the study participants were recruited using the 3\textsuperscript{rd}, 8\textsuperscript{th}, 13\textsuperscript{th}, 18\textsuperscript{th}, … until the required sample size was reached based on the inclusion criteria.

**Data collection tools and procedures**

The data extraction checklist was developed after reviewing of the NICU admission and delivery registration logbooks. The chart review checklist included sociodemographic characteristics, maternal factors (age, ANC follow up, mode of delivery, place of delivery, maternal HIV status, parity, multiple
deliveries, gravidity), fetal factors (sex, birth weight, status at birth, diagnosis of disease, gestational age, APGAR scores at birth, neonates HIV status) and health care providers related factors (medication given at admission, vital signs taken at admission, scheduled prescribed medications).

Two days training was provided for data collectors and supervisors. The data extraction checklist was pretested on 5% of the samples at public hospitals, where a panel of experts verified the content validity of the instruments, and the required revisions were made.

Data were collected by trained midwives using a standardized and structured reviewer administered checklist.

**Definitions:**

Completed data: a data that has at least complete discharge summary about the admitted neonate including the possible cause of death

Neonate: a new born baby within 28 days of life.

Neonatal mortality: the death of an infant that happened after birth but before 28 days of life.

Stillbirth: neonate born without evidence of life at birth.

**Data processing and management**

Data collected was cleaned for errors, entered into Epi-Data version 3.1 and exported into SPSS version 23.0 for statistical analysis. Descriptive statistics were done and the results were presented with texts, tables, mean and standard deviation.

A bivariate logistic regression analysis was done to identify variables that had a p < 0.25 to be considered in the multivariable logistic regression model. The correlation between independent variables was checked. The model fitness was assessed. Multivariable logistic regression analysis was done to identify factors associated with neonatal mortality. The findings of the final model were reported with odds ratio (OR) and corresponding 95% Confidence Interval. Finally, a statistically significant level was declared at a p <0.05 in the final regression model.

**Results**

**Study Participants Sociodemographic Characteristics**

A total of 391 neonatal charts were reviewed and 97% fulfilled the completeness criteria. In this study, it was found that 251 (64.2%) of the neonates were males. In addition, the majority of neonates [317 (81.1%)] were admitted into the neonatal intensive care unit (NICU) at less than 7 days of age. The mean age of mothers was 26.05 (SD±5.35) and 321 (82.1%) of mothers resided in urban areas (see Table 1).
Maternal health-related conditions

The maternal chart review revealed that more than two-thirds of the mothers [239 (68.7%)] had four or more ANC visits during their pregnancy. On the other hand, only 43 (11%) of mothers had no ANC follow up at all. Moreover, the majority of 346 (88.5%) the mothers born the current neonates in health institutions and 328 (83.9%) of the mothers gave birth through spontaneously vaginal delivery. Furthermore, 284 (72.6%) of mothers had a history of multipara and only 27 (6.9%) of them had multiple deliveries. Finally, 13 (3.3%) of mothers had known recorded medical illnesses during pregnancy (see Table 2).

Fetal health-related conditions

The neonatal chart review found that 92 (23.5%) of neonates were preterm and 118 (30.2%) of the neonates had a birth weight less than 2.5kg. In addition, 270 (69.1%) of the neonates had a resuscitation event at birth and only 10 (2.6%) of the admitted neonates were retroviral infection exposed. Moreover, the study identified the leading causes of neonatal admission in the NICU. The leading causes of admission were; early-onset neonatal sepsis (43.5%), low birth weight (27.1%) and prematurity (23.5%) (See Table 3).

Cares given for neonates immediately after admission

It was found that the majority of neonates were given antibiotics within one hour of admission 372 (95.1%) and 355 (90.8%) of the neonates were given IV fluids containing glucose. In addition, 310 (79.3%) of neonates were supplemented with oxygen and 12 (3.1%) of admitted neonates were given phototherapy. Here, we reassured that one neonate may be given more than one care immediately after admission. Such as antibiotics and IV fluid or oxygen and antibiotics and so on (see Figure 1).

Neonatal outcomes at discharge and causes of newborn mortality

This study revealed that the overall prevalence of neonatal mortality was 14.6% (95%CI: 11.0, 18.4) with mortality rate of 35.5 per 1000 live births. When we look at the five years trend of newborn mortality, it is still steadily increasing from 2015 to 2019 (17.5% to 29.8%). In addition, 300 (76.7%) of the neonates were discharged within 5 days of admission and the mean length of stay newborn in NICUs was 4.16 days ±3.07 SD. Furthermore, the study showed that the leading causes of neonatal mortality were prematurity (43.9%), early-onset neonatal sepsis (35.1%), low birth weight (33.4%) and perinatal asphyxia (21.1%) (See Table 4).

Factors associated with institutional newborn mortality

After checking for model multi-collinearity and model fitness, variables with a p-value < 0.25 were entered into the final model. A multivariable logistic regression showed that the lack of antenatal care (ANC) follows up, giving birth through cesarean section, giving birth through assisted delivery, having a
temperature less than 36.5°C within the first hour of admission, and perinatal asphyxia were significantly associated with neonatal mortality.

Neonates born from mothers who did not receive ANC follow up during pregnancy had 5.9 times the greater risk of death compared to neonates born from mothers who had ANC follows up (AOR = 5.92; 95%CI 2.34, 14.97). The odds of neonatal mortalities among neonates born through cesarean section and assisted deliveries were greater compared to neonates born through spontaneous vaginal delivery (AOR=3.52; 95%CI 1.22, 10.12) and (AOR=3.28 (1.14, 9.46): P<0.05) respectively. Neonates who had PNA at admission had 6.6 times risk of death compared to those did not have PNA (AOR= 6.67; 95%CI 2.35, 18.89). The odds of neonatal death among neonates who had a body temperature less than 36.5 at the 1st hour of admission was 5.9 times higher compared to neonates who had a normal body temperature (AOR= 5.89; 95%CI 2.32, 14.94) (See Table 5).

Discussion

In developing countries like Ethiopia, rates of neonatal mortality are several folds higher compared to developed nations, ranging ranges between 23.4 per 1000 live births to 44 per 1000 live births [21-24]. However, accurate epidemiological data is not adequate, and the exact magnitude of neonatal mortality in pastoral communities of Ethiopia is not known. Thus, this study aimed to assess the prevalence and associated factors of neonatal mortality in pastoral communities of Ethiopia, the Afar region. A multivariable logistic regression showed that the lack of antenatal care (ANC) follows up, giving birth through cesarean section, giving birth through assisted delivery, having a temperature less than 36.5°C within the first hour of admission, and perinatal asphyxia were significantly associated with neonatal mortality in the health facilities of Afar region.

The study revealed that the prevalence of neonatal mortality was 14.6%. This finding is similar to a study conducted in Gondar university teaching hospital, Northwest Ethiopia (14.3 %) [20] and a retrospective chart review done in Felege Hiwot referral hospital, Bahir Dar (13.3%) [25]. But, the finding is higher than the prevalence of neonatal mortality reported in the Somali region, Ethiopia (5.7%) [26], a study done in Jimma zone, Southwest Ethiopia (3.2%) [18], a study done in North Gondar, Northwest Ethiopia (4.4%) [22], and a study done in Ayder referral hospital, Mekelle (6.6%) [17]. The difference could be explained by methodological differences among studies and dissimilarity in sociocultural elements such as health service utilization, the difference in hospital settings (equipment available and skilled persons), economical variations among study participants of the study areas as well as a difference in geographical locations.

On the other hand, the prevalence of neonatal mortality in this study is lower than a study conducted in Mizan Tepi university teaching hospital (22.8%) [21], and a study conducted in Gondar university teaching hospital (23.1%) [16]. The variations may be justified by increased awareness of the community upon utilization of available health services including delivery at health facilities and seeking health facilities for sick neonates and children.
Furthermore, it was found that the identified major causes of neonatal mortality were prematurity (43.9%), early-onset neonatal sepsis (35.1%), perinatal asphyxia (PNA) and low birth weight (21.1%). This is similar to a study conducted in Mizan Tepi university teaching hospital having prematurity (31%), neonatal sepsis (29.7%), low birth weight (15.3%) and PNA (7.7%) as the leading causes of death [21]. This could be explained by the majority of neonatal deaths in developing countries are related to conditions of labor, intrapartum and the immediate newborn care practices. From this, it can be seen that neonatal survival interventions are not targeting the intrapartum as well as immediate and early neonatal periods, and as a direct result, neonatal mortality has not declined in the needed manner.

The multivariable logistic regression analysis showed that neonatal mortality was significantly associated with a lack antenatal care follow up. The rate of neonatal mortality was 5.9 times greater among mothers who did not have ANC follow up compared to those mothers had ANC follow up (AOR 4.69, 95% CI 1.77, 12.47). This finding is similar to a study conducted in the North Shoa zone, Amhara region [27], and a study conducted in Jimma zone, Southwest Ethiopia [18]. This can be explained by the fact that women not having antenatal care follow up during pregnancy are more at risk for prone pregnancy and intrapartum related problems, which in turn, can put the newborn at risk of death. Therefore, women with adequate antenatal care visits have a better chance of early detection and management of birth related problems.

Besides, this study revealed that the odds of death among neonates who had a body temperature less than 36.5°C at the first hour of admission was 5.8 times greater compared to those neonates who had a normal body temperature (36.5-37.5°C) (AOR 10.75; 95%CI 3.75, 30.80). This is consistent with a retrospective cohort study conducted in southern Ethiopia referral hospitals which state that neonates who had a temperature of less than 36.5 Degree Celsius at admission had a higher risk of death than neonates with normal temperature (36.5 to 37.5) [29]. This can be justified by neonates who are in a hypothermic state may be more prone to different infections. As a result, they are more likely to become septic and die when compared to neonates with normal body temperatures.

Moreover, this study found that neonates, when delivered by cesarean section, were 3.5 times at greater risk of death than neonates born spontaneously by vaginal delivery (SVD) (AOR 3.59; 95%CI 1.22, 10.55). Moreover, neonates born through assisted delivery were 3.3 times greater risk of death compared to those born SVD. This findings are similar to a study conducted at Pakistan, where delivery using C-section had increased the risk of neonatal mortality [30]. This might be related to neonates born via C-section without clear indications such as prolonged labor, fetal distress, obstructed labor, and other medical problems during pregnancy. These neonates delivered through C-section are at greater the risk of birth asphyxia than neonates born with the birth canal. Therefore, neonates born through C-section had a high probability of death than neonates delivered through the natural birth canal. Besides, neonates delivered through instrumental assistance are at risk of birth trauma and exposed to infection. Thus, they will be at greater risk of death compared to the neonates delivered by SVD. However, this result is not similar to a study conducted in southern Ethiopia referral hospital NICU, where neonates delivered using C-section had 66% less chance of risk of death compared to SVD (AOR 0.34; 95% CI 0.19, 0.61) [29]. This can be
explained by timely decision making rather than simply waiting for vaginal delivery, which may save the life of the neonate and the mother. Thus, delivering through C-section with clear indications can reduce the risk of death by early identification and intervention of birth-related complications such as prolonged labor.

Finally, neonates with a history of perinatal asphyxia (PNA) had 6.6 times greater risk of death when compared to those who had no history of PNA. This is consistent with a study conducted in southern Ethiopia referral hospitals [29], which found that neonates with PNA had 2 times higher risk of death than their counterparts. This finding is also similar to a study conducted in Jimma university medical center, which revealed neonates who had a history of birth asphyxia had 5 times greater risk of death [28]. This may be due to the fact that besides commencement of adequate efforts after admission, neonates with respiratory problems like birth asphyxia had a greater risk for poor prognosis and death compared to neonates admitted with other medical problems. Therefore, neonates with respiratory distress have a higher chance of death when compared to those who do not experience any respiratory distress.

Study limitations: the study was conducted based on patient chart reviews, which may not display all factors of neonatal mortality. So, the results may not be fully representative of neonatal mortality in the general community of the region. Besides, there is a potential to miss neonatal deaths particularly whose discharge status was incomplete or unknown.

Conclusions

In conclusion, this study found that there is a high prevalence of institutional newborn mortality when compared to other studies conducted in non-pastoral communities of Ethiopia. The majority of neonatal deaths occurred in the first five days of life and the leading causes of death were early-onset neonatal sepsis, low birth weight, and prematurity.

Neonates born from mothers who did not receive any ANC, delivered by caesarean section and instrumental assistance, had a body temperature of less than 36.5°C within the first hour of admission, and presented with PNA were significant predictors of neonatal mortality in the hospitals.

Moreover, the majority of newborn deaths resulted from preventable and treatable conditions such as birth asphyxia, prematurity, intrapartum associated factors and neonatal infections. Thus, health care providers should give due attention to neonates admitted to neonatal intensive care units by taking vital signs routinely such as temperature. In addition, the health facility management should strengthen the quality of care given in the neonatal intensive care units to improve the outcome of admitted patients. Finally, the promotion of ANC follow-ups for all pregnant women should be given focus in pastoral communities such as in the Afar region. Additional prospective studies supplemented with qualitative methods are recommended.

Abbreviations
Declarations

Ethical considerations and consent for participants

Ethical clearance was obtained from ethical review board (ERB) of Samara University, College of Medical and Health Sciences with Ref. No. CMHS/38/35/124/19. Then, a permission letter was written from the Regional Health Bureau to the randomly selected Hospitals. Finally, an official permission letter was obtained from each hospital to proceed with the data collection. Informed consent was not applicable for the study since the data was collected with medical chart review. Confidentiality was maintained by keeping records in a secured manner and avoiding personal identifiers.

Consent for Publication

Not applicable

Availability of data and materials

All materials and data related to this article were included and well supplemented during manuscript preparation.

Competing interests:

The authors declare that they have no competing interests.

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Not applicable.

**Authors’ contributions:**

AW, YM and CSD conceived and designed the study. AW and YM supervised the data collection and they performed the data analysis, interpretation of data and drafted the manuscript. AW and CSD authors had critically reviewed the manuscript. All authors read and approved the final manuscript.

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### Tables

**Table 1**: Sociodemographic characteristics of study participants admitted in NICU from May 2015 to May 2019 (n=391)

| Characteristics                        | Response | Frequency | Percentage |
|----------------------------------------|----------|-----------|------------|
| **Sex**                                |          |           |            |
| Male                                   |          | 251       | 64.2       |
| Female                                 |          | 140       | 35.8       |
| **Age neonate at admission (in days)** |          |           |            |
| <6                                     |          | 317       | 81.1       |
| ≥7                                     |          | 74        | 18.9       |
| **Residence of mother**                |          |           |            |
| Urban                                  |          | 321       | 82.1       |
| Rural                                  |          | 70        | 17.9       |
| **Age of the mother**                  |          |           |            |
| 15-19                                  |          | 36        | 9.2        |
| 20-24                                  |          | 142       | 36.3       |
| 25-29                                  |          | 113       | 28.9       |
| 30-34                                  |          | 67        | 17.1       |
| >34                                    |          | 33        | 8.4        |
| **Number of cases admitted and year of admission at NICU** |          |           |            |
| 2015                                   |          | 39        | 10.0       |
| 2016                                   |          | 86        | 22.0       |
| 2017                                   |          | 83        | 21.2       |
| 2018                                   |          | 104       | 26.6       |
| 2019                                   |          | 79        | 20.2       |

**Table 2**: Maternal health related factors in selected hospitals from May 2015 to May 2019, Afar region
| Characteristics                          | Response                  | Frequency | Percentage |
|-----------------------------------------|---------------------------|-----------|------------|
| Gravidity                               | Primi gravid              | 68        | 17.4       |
|                                         | Multi gravid              | 323       | 82.6       |
| Parity                                  | Primi Para                | 107       | 27.4       |
|                                         | Multi Para                | 284       | 72.6       |
| ANC follow up                           | Yes                       | 348       | 89.0       |
|                                         | No                        | 43        | 11.0       |
| Place of delivery                       | Health institution        | 346       | 88.5       |
|                                         | Home                      | 45        | 11.5       |
| Mode of delivery                        | SVD                       | 328       | 83.9       |
|                                         | Assisted vaginal delivery | 33        | 8.4        |
|                                         | C/S                       | 30        | 7.7        |
| Multiple delivery                       | Yes                       | 27        | 6.9        |
|                                         | No                        | 364       | 93.1       |
| Chronic medical illness                 | Yes                       | 13        | 3.3        |
|                                         | No                        | 378       | 96.7       |
| HIV/AIDS status among tested            | Negative                  | 381       | 97.4       |
|                                         | Positive                  | 10        | 2.6        |

**Table 3:** Fetal health conditions and causes of neonatal admission in NICU from May 2015 to May 2019 (n=391), Afar region, Ethiopia.
| List of variables                      | Category of variable | Frequency | Percentage |
|--------------------------------------|----------------------|-----------|------------|
| Gestational age (in weeks)           | Preterm (<37)        | 92        | 23.5       |
|                                      | Term (≥37)           | 299       | 76.5       |
| Weight at birth (in kg)              | < 2.5                | 117       | 29.9       |
|                                      | ≥2.5                 | 274       | 70.1       |
| Apgar score at 5th minute            | <7                   | 118       | 30.2       |
|                                      | ≥7                   | 273       | 65.9       |
| Resuscitation given at birth         | Yes                  | 270       | 69.1       |
|                                      | No                   | 121       | 30.9       |
| HIV/AIDS status of neonate           | Negative             | 381       | 97.4       |
|                                      | Positive             | 10        | 2.6        |
| Causes of neonatal admission in the NICU | Prematurity        | 92        | 23.5       |
|                                      | Very low birth weight| 10        | 2.3        |
|                                      | Low birth weight     | 108       | 27.6       |
|                                      | Early onset neonatal sepsis | 171   | 43.7       |
|                                      | Late onset neonatal sepsis | 56    | 14.3       |
|                                      | Perinatal asphyxia   | 24        | 6.1        |
|                                      | Respiratory distress syndrome (RDS) | 58    | 14.8       |
|                                      | Hypothermia          | 23        | 5.9        |
|                                      | Hypoglycemia         | 5         | 1.3        |
|                                      | Neonatal jaundice    | 12        | 3.1        |
|                                      | Severe birth trauma  | 13        | 3.3        |
|                                      | RVI exposed          | 10        | 2.3        |
|                                      | Congenital malformation | 9     | 2.3        |
|                                      | Others*              | 5         | 1.3        |

**Note:** one neonate can take more than one care immediately after admission

**Others* =** Hepatitis infection\(^1\), anemia\(^2\) and necrotizing enterocolitis\(^2\). **Note:** One neonate may have more than one causes of admission.

**Table 4:** Outcomes of admission and causes of neonatal mortality among neonates admitted in NICU from May 2015 to May 2019, Afar region, Ethiopia
| List of variables                                      | Category of variable | Frequency | Percentage |
|-------------------------------------------------------|----------------------|-----------|------------|
| Discharge outcome                                     | Alive                | 334       | 85.4       |
|                                                       | Death                | 57        | 14.6       |
| Total Stay in NICU (in days)                          | < 5 days             | 300       | 76.7       |
|                                                       | ≥ 5 days             | 91        | 23.3       |
| Mean of stay in NICU with SD                          |                      | 4.16 ±3.07|            |
| Total stay from admission to discharge                 |                      | 1625 days |            |
| Causes of neonatal mortality (n=57)                    | Prematurity          | 25        | 43.9       |
|                                                       | Low birth weight     | 19        | 33.4       |
|                                                       | Early onset neonatal | 20        | 35.1       |
|                                                       | Late onset neonatal  | 8         | 14.0       |
|                                                       | Perinatal asphyxia   | 12        | 21.1       |
|                                                       | Respiratory distress | 13        | 22.8       |
|                                                       | Hypothermia          | 6         | 10.5       |
|                                                       | Neonatal jaundice    | 6         | 10.5       |
|                                                       | Severe birth trauma  | 3         | 5.3        |
|                                                       | RVI exposed          | 3         | 5.3        |
|                                                       | Others*              | 6         | 10.6       |
| Number of neonatal deaths per year of admission at NICU (n=57) | 2015                 | 10        | 17.5       |
|                                                       | 2016                 | 7         | 12.3       |
|                                                       | 2017                 | 8         | 14.1       |
|                                                       | 2018                 | 15        | 26.3       |
|                                                       | 2019                 | 17        | 29.8       |

*Others* = NEC², Congenital anomalies¹, anemia¹, hypoglycemia¹ and hyperthermia¹.

**Note:** One neonate can have more than one cause.

**Table 5:** Factors associated with neonatal mortality among neonates admitted in NICUs from May 2015 to May 2019, Afar region, 2019
| List of variables | Category of variables | Neonatal death | COR 95% CI | AOR 95% CI |
|-------------------|----------------------|---------------|------------|------------|
|                   |                      | Yes | No       |             |            |
|                   | Sex of neonate       | Male | 42(16.7) | 209(83.3)  | 1.68(0.89,3.14) | 1.43(0.68,3.04) |
|                   |                      | Female | 15(10.7) | 125(89.3)  | 1.00 | 1.00 |
|                   | ANC follow up        | Yes | 41(11.8) | 307(88.2)  | 1.00 | 1.00 |
|                   |                      | No | 16 (37.2) | 27(62.8)  | 4.43(2.21,8.93) | 5.92(2.34,14.97)*** |
|                   | Place of delivery    | Health institution | 47(13.6) | 299(86.4)  | 1.00 | 1.00 |
|                   |                      | Home | 10(22.2) | 35(77.8)   | 1.82 | (0.84,3.91) |
|                   | Mode of delivery     | SVD | 40(12.2) | 288(87.8)  | 1.00 | 1.00 |
|                   |                      | Assisted delivery | 7(21.2) | 26(78.8)   | 1.94 | (0.79,4.76) |
|                   |                      | C/S | 10(33.3) | 20(66.7)   | 3.6 (1.57,8.24) | 3.52(1.22,10.12)* |
|                   | Multiple birth       | Yes | 9(33.3) | 18(66.7)   | 3.29 | (1.40,7.75) |
|                   |                      | No | 48(13.2) | 316(86.8)  | 1.00 | 1.00 |
|                   | GA at birth          | Preterm | 25(26.9) | 68(73.1)   | 3.06 | (1.70,5.50) |
|                   |                      | Term | 32(10.7) | 266(89.3)  | 1.00 | 1.00 |
|                   | Temperature taken at admission | 36.5-37.5 | 10(7.3) | 127(92.7)  | 1.00 | 1.00 |
|                   |                      | < 36.5 | 23(50.0) | 23(50.0)   | 12.7 | (5.35,30.17) |
|                   |                      | > 37.5 | 24(11.5) | 184(88.5)  | 1.66 | (0.77,3.58) |
|                   | PNA                  | Yes | 11(45.8) | 13(54.2)   | 5.9 | (2.50,13.96) |
|                   |                      | No | 46(12.5) | 321(57.5)  | 1.00 | 1.00 |
|                   | RDS                  | Yes | 5(38.5) | 8(61.5)    | 3.92 | (1.23,12.44) | 1.69(0.36,7.96) |
**Key:** *P*<0.05, **P**<0.001, COR=Crude Odds Ratio, AOR=Adjusted Odds Ratio, C/S=Cesarean Section, SVD= Spontaneous Vaginal Delivery, RDS= Respiratory Distress Syndrome, PNA= Perinatal Asphyxia

### Figures

**Figure 1**

Cares given for neonates admitted in NICU from May 2015 to May 2019, Afar, Ethiopia

**Supplementary Files**

This is a list of supplementary files associated with this preprint. Click to download.

- Equation1.jpg