Phenotypic diversity and emerging new tools to study macrophage activation in bacterial infectious diseases

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Macrophage polarization is a concept that has been useful to describe the different features of macrophage activation related to specific functions. Macrophage polarization is responsible for a dichotomic approach (killing vs. repair) of the host response to bacteria; M1-type conditions are protective, whereas M2-type conditions are associated with bacterial persistence. The use of the polarization concept to classify the features of macrophage activation in infected patients using transcriptional and/or molecular data and to provide biomarkers for diagnosis and prognosis has most often been unsuccessful. The confrontation of polarization with different clinical situations in which monocytes/macrophages encounter bacteria obliged us to reappraise this concept. With the exception of M2-type infectious diseases, such as leprosy and Whipple’s disease, most acute (sepsis) or chronic (Q fever, tuberculosis) infectious diseases do not exhibit polarized monocytes/macrophages. This is also the case for commensals that shape the immune response and for probiotics that alter the immune response independent of macrophage polarization. We propose that the type of myeloid cells (monocytes vs. macrophages) and the kinetics of the immune response (early vs. late responses) are critical variables for understanding macrophage activation in human infectious diseases. Exploring the role of these new markers will provide important tools to better understand complex macrophage physiology.

Keywords: macrophage, activation, polarization, infectious diseases, bacteria
Ka et al. Macrophage polarization and infectious diseases

FIGURE 1 | M1 and M2 macrophage polarization. The figure represents canonical M1 and M2 agonists that induce the production of M1 and M2 markers by human macrophages in vitro. These markers, isolated or combined, have been used to describe the polarization of monocytes and macrophages in clinical investigations.

metabolism, G protein coupled-receptors, and lipid metabolism in addition to well-identified cytokine and chemokine families. Hence, the polarization of human macrophages has become more complex than the initial descriptions.

A recent transcriptomic analysis of human macrophages stimulated by a large panel of agonists allowed a description of macrophage activation as a spectrum. This spectrum of activation was more complex than the M1 vs. M2 model of activation because at least nine distinct activation programs were identified. The use of network analyses demonstrated a central transcriptional regulator present in all activation conditions that was complemented by regulators associated with the programs stimulated by each agonist (4). The authors used this model of activation to analyze human alveolar macrophages from patients who were smokers or from patients with chronic obstructive pulmonary disease (COPD). They found that the activation program of macrophages was more complex than predicted in smokers and in patients with COPD. They did not find enrichment with modules associated with interleukin (IL)-4/IL-13 activation in patients with COPD, as was expected, but did find a decrease in the modules associated with interferon (IFN)-γ (4). This report clearly demonstrates that the prominent, popular point of view that cigarette smoke and COPD increase M2-like characteristics (5) was not supported when high-throughput approaches were used.

A proteomics approach has also been used to investigate macrophage polarization. The MALDI-TOF mass spectrometry (MS) technique combined with gel electrophoresis permitted the identification of a large number of soluble or membrane proteins in activated macrophages. This double approach allowed the identification of an M1 signature in human macrophages stimulated with LPS and IFN-γ (6). Recently, we used MALDI-TOF MS to characterize whole eukaryotic cells (7) and the activation status of human macrophages (8). We found that whole-cell MALDI-TOF MS analysis was able to discriminate macrophages according to the type of M1 or M2 agonists and allowed for the identification of different subtypes of M1 or M2 macrophages. The MALDI-TOF MS analysis of pathogen-stimulated macrophages also enabled the detection of pathogen-associated fingerprints that did not correspond to the standard M1/M2 polarization model (8). Taken together, the use of polarization markers other than iNOS and arginase has been controversial. Recently, we proposed guidelines for macrophage activation in which we favored an approach based on a combination of markers instead of isolated canonical markers of polarization (9).

The exploration of tissue macrophages, excepted alveolar macrophages, requires biopsies in infected patients even if it is possible to identify M1 and M2 macrophages in tissues using proteomic or immunohistochemical approaches. Recently, macrophage polarization was investigated in tissues from patients with diseases characterized by a Th1 or Th2 response. M1 macrophages were defined as those expressing CD68 or CD163 with phosphorylated STAT1 (pSTAT1), and M2 macrophages were defined on the basis of the co-expression of CMAF (macrophage activation factor) with CD68 or CD163 (10). The pSTAT1 and CMAF are preferentially associated with M1 and M2 macrophages, respectively. In contrast, CD163, which was considered by several authors as an M2 specific-marker (11), was unable to discriminate M1 and M2 macrophages within pathological tissues. These findings were confirmed by a recent study in which macrophages were differentiated by granulocyte macrophage-colony stimulating factor (GM-CSF) or macrophage-colony stimulating factor (M-CSF) and secondarily polarized by IFN-γ or IL-4/IL-13; CD163 was unable to discriminate the M1 status from the M2 status (12). The investigation of macrophage activation in infected patients concerns essentially circulating monocytes that are accessible after blood collection and purification from blood, but the situation...
regarding their M1/M2 polarization is complex. Using a microarray approach, we showed that M1/M2 polarization, defined by comparison with the IFN-γ and IL-4 signatures of macrophages, was transient in human monocytes, and gene expression data from published reports showed that not even small signatures of polarized macrophages were found in monocytes (13). Hence, the study of activation in tissue macrophages or circulating monocytes suffers from the lack of convenient tools, suggesting that the concept of macrophage polarization is not convenient. Among the recommendations for reporting macrophage activation, the recommendation precisely how macrophages are isolated and which markers combinations are used to measure macrophage activation is likely a solution for the investigation of monocytes ex vivo (9).

MACROPHAGE POLARIZATION AND MICROBIOTA

The microorganisms present at the surfaces of mucosa mainly consist of commensals that have developed mutualistic relationships with hosts such as human beings. Indeed, during steady-state conditions, the microbiota influences the efficiency of digestion, controls metabolism, and affects the differentiation and functions of intestinal immune cells, including macrophages. This coevolution has been illustrated by numerous reports based on studies on germ-free animals or antibiotic-treated hosts (14–16). It has been established that the intestinal microbiota maintains a tolerant environment that allows the development of M2-like intestinal macrophages. Indeed, the macrophages from lamina propria show down-regulated expression of innate response receptors and inflammatory functions, but they retain phagocytosis and bactericidal activities (17). It is likely that commensals may directly or indirectly shape the polarization status of intestinal macrophages. Hence, Bacteroides fragilis and intestinal Clostridia are known to stimulate regulatory T cells (Tregs) and polarization toward an M2 phenotype (14). The exopolysaccharide from Bacillus subtilis prevents the intestinal disease associated with Citrobacter rodentium, and protection is transferred by peritoneal macrophages (18). The probiotic Clostridium butyricum promotes the development of IL-10-producing macrophages that prevent inflammatory colitis (19). Some end-products of bacterial anaerobic fermentation, such as short-chain fatty acids (β-butyrate), inhibit the inflammatory response of macrophages via a mechanism based on the inhibition of histone deacetylase (20). In contrast, intestinal commensals such as Enterococcus faecalis polarize colon macrophages to an M1 phenotype in a murine model in which macrophages are depleted with clodronate (21). These findings suggest that the diversity of commensal bacteria accounts for the diversity of macrophage responses. Probiotics such as Lactobacillus spp. or Bifidobacterium sp. may benefit the host (14), but we ignore their effect on macrophage polarization. The strain G-101 of Lactobacillus brevis inhibits the inflammatory response of mice treated with trinitrobenzenesulfonic acid. This anti-inflammatory property is related to the ability of the bacteria to prevent the expression of M1 markers and to favor M2 markers, likely via the production of IL-10 (22). For other authors, probiotics have either no effect on the polarization of RAW 264.7 macrophages as a readout (23), or these bacteria promote an activation profile of the M1-like type in THP-1 cells stimulated with lipopolysaccharide (LPS) (24). It is noteworthy that all of these studies are limited to in vitro experiments or animal models, and the extrapolation to human beings must be careful.

If the hypothesis that a breach of intestinal homeostasis is true, the presence of pathogenic bacteria would interfere with the polarization status of intestinal and systemic macrophages. Hence, an M1 profile would be found in patients with acute typhoid fever due to Salmonella enterica serovar Typhi, whereas an M2 signature would be observed in convalescent patients. The M2 response does not mean eradication of the pathogen because persistence of the M2 status favors re-infection, relapses, and development of a carrier state (25, 26). On the other hand, there is an increase in M1 and M2 markers in antrum from patients infected with Helicobacter pylori and uncomplicated gastritis. The presence of atrophic gastritis is associated with the expression of M1 polarization. It is predictable that shifting macrophage polarization from the M1 to M2 status is protective in chronic H. pylori infection. This may be reminiscent of the association of high levels of CCL18, a typical M2 marker, with prolonged survival of patients with gastric carcinoma (26, 27).

Imbalances in gut microbiota have also been associated with systemic diseases such as allergy. Recently, Kim et al. reported the induction of allergen-induced infiltration of inflammatory cells in mice treated with antibiotics. This treatment alters macrophage functions but reorients alveolar macrophages and circulating monocytes toward an M2 phenotype. This latter response is involved in allergic airway inflammation induced by allergens. Antibiotic treatment facilitates fungal overgrowth that exacerbates airway inflammation. The prostaglandin E2 produced by gut fungi is responsible for eosinophil-mediated inflammation and M2 polarization of macrophages (28). If the concept of macrophage polarization is useful for analyzing the host response to intestinal pathogens, there is no clear evidence that it is a convenient tool to measure the response to commensals and probiotics.

MACROPHAGE POLARIZATION AND ACUTE INFECTIOUS DISEASES

As sepsis is a consequence of the systemic inflammatory response to infectious aggression, it was tantalizing to consider sepsis as an M1-associated disease (25). Sepsis can also associate a secondary immunodeficiency in which the polarization of macrophages may be altered, as in LPS tolerance. Indeed, LPS-tolerant macrophages express M2 markers, but not M1 markers, and this phenotype can be reversed by IFN-γ (29). It is thought that the evolution of sepsis is characterized by a transition from an initial M1 response to a secondary M2 response. The interaction of macrophages with pathogens accounts for their initial polarization, and the M1-to-M2 transition should rather involve mechanisms of activation control such as suppressors of cytokine signaling (SOCS) proteins; SOCS1 and SOCS2 are associated with M2 macrophages whereas SOCS3 is overexpressed in M1 cells. A high SOCS1/SOCS3 expression ratio might be a biomarker of M2 cells in vivo (30). The fact that M2 bias is associated with the resistance of mice does not account for the poor prognosis of patients who exhibit secondary immune deficiency with an M2 phenotype. Indeed, this latter phase, named immune paralysis, is associated with increased susceptibility to nosocomial infections and late lethality (31). In patients with sepsis, the percentage of monocytes...
expressing CD163 and CD206 is increased. The increase in monocytes expressing M2-like markers has been associated with a lower proportion of IFN-γ-producing T cells or with a higher proportion of Tregs in patients with sepsis. Nevertheless, enrichment with M2-type monocytes has no impact on sepsis prognosis (32). In others reports, the expression of CD163 by monocytes is accurate for discriminating patients with inflammatory presentation from those with sepsis (33), suggesting that CD163 may be a biomarker of prognosis and that the expression of CD163 by monocytes is higher in non-survivors than in survivors (34). Soluble forms of M2-type markers such as CD163 and CD206 are also increased in patients with sepsis, and their high levels are associated with poor prognosis in sepsis. Although membrane and soluble forms of CD163 share the ability to be biomarkers of prognosis in sepsis, circulating CD163 reflecting the polarization of monocytes or their activation independently of M1/M2 polarization tends to be ignored (34, 35). The measurement of monocyte activation is a partial reflection of the altered immune functions in tissues from patients with sepsis and does not assess the diversity of stimuli that they encounter from the initial pathological event. It is probably more pertinent to consider the level of monocyte activation and not the bias toward a polarized status as a biomarker.

**INTERFERENCE WITH M1 POLARIZATION IN CHRONIC INFECTIOUS DISEASES: Q FEVER**

As intracellular bacteria subvert host microbial effectors in vitro, we proposed that they have evolved specific strategies to interfere with M1 polarization (25). The example of Q fever is informative as we have assessed the concept of macrophage polarization in in vitro experiments, animal models, and patients. Q fever is a zoonosis caused by Coxiella burnetii, an intracellular bacterium related to Legionellae species, and for which the major targets are monocytes and macrophages. The severity of the infectious disease is chronic evolution with a risk of endocarditis or vascular infection (36).

The circulating monocytes exhibit a pro-inflammatory M1-type response, which is consistent with epidemiological data showing bacterial clearance in most infected patients when they are challenged by C. burnetii in vitro. More surprisingly, monocyte-derived macrophages are polarized toward an atypical M2-type in response to bacterial stimulation. This latter effect is characterized by the release of IL-10, transforming growth factor (TGF)-β, and CCL18 and the expression of the mannose receptor (MR) and of arginase-1, but macrophages also express IL-6 and CXCL8, two molecules that are associated with M1 polarization (37). These differences in monocyte/macrophage activation may account for the unexplained differences in bacterial survival: C. burnetii are unable to replicate in monocytes but replicate within macrophages (38). Similar findings were found in vitro with Mycobacterium tuberculosis, which prevents M1 polarization and activates peroxisome proliferator-activated receptor (PPAR)-γ, which is characteristic of macrophage M2 polarization (25, 39).

Nevertheless, we identified IL-10 as the only cytokine able to induce the replication of C. burnetii in monocytes and macrophages, suggesting that IL-10-associated M2 polarization is involved in bacterial replication and tissue persistence. The role of IL-10 in the pathogenesis of chronic infection is strengthened by the correlation of the amount of IL-10 and the chronic evolution of Q fever with the restoration of the microbicidal competence of monocytes when IL-10 was neutralized (40, 41). The engulfment of apoptotic cells by monocytes and macrophages is associated with an M2 program induced by IL-10 and favors the intracellular replication of C. burnetii. In contrast, treatment of these M2 polarized myeloid cells with IFN-γ and the uptake of necrotic cells suggest that the M1 program is sufficient to clear C. burnetii (42). The role of IL-10 is demonstrated in transgenic mice that constitutively overexpress IL-10 in the macrophage compartment and exhibit sustained infection, as in chronic Q fever. Macrophages from IL-10-overexpressing mice are unable to clear C. burnetii infection and exhibit an M2-type transcriptional program in which arginase, MR and Yim1/2 are increased and inflammatory markers are down-modulated (43). The infection of mice overexpressing IL-10, which mimics tuberculosis reactivation, reveals features of M2 macrophages, as reported above in C. burnetii infection of mice (26).

Concomitantly, we found that mice deficient for vanin-1, a membrane-anchored pantetheinase that controls tissue inflammation, are permissive for C. burnetii and exhibit an activation program in macrophages that is skewed toward an IL-10-associated M2 phenotype (44). Hence, IL-10-mediated polarization of macrophages is necessary for C. burnetii persistence in tissues.

To test the relevance of these findings in patients, we selected M1- and M2-related genes from the microarray analyses of IFN-γ and IL-4-stimulated macrophages (Figure 2). The expression of these genes was not different in patients with acute Q fever and healthy controls. These findings did not support the hypothesis that patients with acute Q fever, who are able to control the infection, should exhibit an M1-type phenotype. The expression of a minority of M1/M2 genes was increased in patients with Q fever endocarditis and who were unable to clear C. burnetii and who were expected to exhibit an M2-type phenotype (13). The analysis of the transcriptional profiles of patients with active tuberculosis shows the modulation of M1-related genes, but not that of M2 genes. Similar results were obtained in infants vaccinated with Calmette–Guerin bacillus (26, 45, 46).

In conclusion, the activation program of monocytes from patients with acute and chronic Q fever and tuberculosis cannot be reduced to an M1/M2 dichotomy. We cannot rule out that macrophages in tissues such as endocardium, lungs, or liver are polarized, as suggested by in vitro studies and animal models. This is illustrated by the example of pleural macrophages. Tuberculous pleural effusion, an extra-pulmonary form of tuberculosis, is associated with the M1 profile in pleural fluid that is characterized by an increase in M1 macrophages and inflammatory cytokines (47).

**M2 POLARIZATION IN CHRONIC INFECTIOUS DISEASES: LEPROSY AND WHIPPLE’S DISEASE**

Two infectious diseases, leprosy and Whipple’s disease, which share several features such as the tropism for macrophages of Mycobacterium leprae and Tropheryma whippelii, and the role of the immune response into features of pathogenesis, are associated with M2 polarization (26). The overexpression of IL-10 is found in...
Figure 2: Transcriptomic assessment of macrophage polarization. The figure represents the heat map of gene expression in IFN-γ- and IL-4-stimulated macrophages. The use of microarray enables the identification of the original M1 and M2 signatures.

Lepromatous lesions and likely reflects M2 polarization. The transcriptional analysis of these lesions reveals an enrichment of M2 genes, which is in contrast to what occurs in tuberculoid lesions (48). The expression of CD163 by foamy macrophages in lepromatous lesions but not by macrophages from tuberculoid lesions has been considered strong evidence of M2 polarization in lepromatous leprosy (26). Whether this polarization is a consequence of the production of IL-10 or if it reflects a Th2 response is often ignored.

Whipple’s disease is characterized by the presence of macrophages with periodic acid-Schiff inclusions within the lamina propria; these macrophages exhibit some features of macrophages from mycobacterial lesions. As described above for lepromatous leprosy, there is converging evidence that Th2 polarization of the immune response is critical for the pathophysiology of Whipple’s disease. An M2 macrophage signature was observed in duodenal biopsies from one patient with intestinal Whipple’s disease (49). Moos et al. reported the increased expression of CD163 on duodenal macrophages and circulating monocytes, and this finding was strengthened by an increase in IL-10 and a decrease in inducible NO synthase expression in these cells, suggesting a functional polarization toward an M2 profile (50, 51). The conclusion that IL-10 may be critical for T. whipplei pathogenicity was not confirmed by in vitro studies, in which we found an increase in IL-1β, IL-16, and type I IFN production, but not in IL-10 (52, 53). It is likely that type I IFN prevents the IFN-γ-protective effect, as reported for mycobacterial infections (54). This finding underlines the caution that must be taken regarding conclusions about polarization when based on a limited number of markers.
COMPLEXITY OF MACROPHAGE ACTIVATION IN INFECTIONOUS DISEASES

The analysis of infectious disease literature (see above) reveals that modulation of monocyte/macrophage activation is frequently observed, whereas clear-cut M1/M2 polarization is rather a rare event. This observation is related to the history of infected patients. Indeed, the stage of the disease is a critical parameter. For instance, the activation of monocytes/macrophages is different in patients with initial sepsis and those with delayed complications. In addition, numerous patients are distributed between two extreme situations: between patients with acute Q fever and those with Q fever endocarditis, there is a population of patients with valvular disease and Q fever associated with a risk of chronic evolution, and these patients overproduce IL-10 in a sustained manner. However, the measurement of IL-10 at a given time of Q fever evolution is not sufficient to assess the prognosis of patients with Q fever (35). In patients with tuberculosis, the transcriptional signature is transient at the beginning of the disease and is finished 1 year later (45). Clearly, the analysis of the transcriptional pattern of patients with tuberculosis will be dramatically different according to the time of the inclusion, and such an analysis is often difficult to assess at the beginning of the disease. These different clinical and experimental situations drove us to propose a model of monocyte/macrophage activation in which the kinetic component of the disease was integrated. This model is based on the comparison of the transcriptomes from activated monocytes and macrophages. The responses of monocytes to polarizing ligands are characterized by two early and late phases of monocyte activation. The hallmarks of the M1/M2 status are found in the early phase but are absent from the late phase of activation. We selected a series of early and late genes and measured their expression in monocytes from patients with acute and chronic Q fever. Most of the early genes were found to be up-regulated in monocytes from patients with acute Q fever, two of them, NLRC5 and RTP4, were up-regulated by IFN-γ, suggesting that IFN-γ plays a role in the host response during acute Q fever. In contrast, the late genes were up-regulated in chronic Q fever, and some early genes were down-modulated. There was a specific association between late genes such as ALOX15, CLEC4F, CCL13, and CCL23 and chronic Q fever (13). It is noteworthy that some of them have been associated with the M2 program, which is a result that might lead to incorrect conclusions about monocyte activation. We are unable to assign a function to the modulated genes.

In conclusion, the analysis of macrophage polarization through clinical situations revealed that the mechanisms underlying the activation of monocytes and macrophages are distinct. This point is critical because most clinical investigations are based on mono-

complexity of macrophage physiology in normal and pathological conditions.

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