A Novel Approach for Optimum-Path Forest Classification Using Fuzzy Logic

Renato W. R. de Souza, João V. C. de Oliveira, Leandro A. Passos, Member, IEEE, Weiping Ding, Senior Member, IEEE, João P. Papa, Senior Member, IEEE and Victor Hugo C. de Albuquerque, Senior Member, IEEE

Abstract—In the past decades, fuzzy logic has played an essential role in many research areas. Alongside, graph-based pattern recognition has shown to be of great importance due to its flexibility in partitioning the feature space using the background from graph theory. Some years ago, a new framework for both supervised, semi-supervised, and unsupervised learning named Optimum-Path Forest (OPF) was proposed with competitive results in several applications, besides comprising a low computational burden. In this paper, we propose the Fuzzy Optimum-Path Forest, an improved version of the standard OPF classifier that learns the samples’ membership in an unsupervised fashion, which are further incorporated during supervised training. Such information is used to identify the most relevant training samples, thus improving the classification step. Experiments conducted over twelve public datasets highlight the robustness of the proposed approach, which behaves similarly to standard OPF in worst-case scenarios.

Index Terms—Optimum-path forest, Classifiers, Fuzzy, Pattern recognition.

I. INTRODUCTION

Machine learning techniques achieved notorious popularity in the last decades due to the outstanding success while solving complex problems in a large variety of fields, such as image and character recognition, medical diagnosis, and remote sensing, among others. Such techniques are usually classified into supervised learning, i.e., algorithms whose training step employs a labeled set of samples to find the parameters that properly fit the model, and unsupervised learning, which clusters the data accordingly to their similarity among each other.

Among these techniques, the so-called Optimum-Path Forest (OPF) has achieved remarkable results in the last years in a broad range of applications. OPF is a graph-based framework proposed to tackle supervised [1–3], unsupervised [4] and also semi-supervised problems [5, 6]. The reason for such success lies on five main aspects: (i) the OPF training step is usually much faster than traditional machine learning approaches, (ii) it does not require a proper selection of hyperparameters for some variants, (iii) it deals with a large volume of data efficiently; (iv) it does not assume separability of samples in the feature space, and (v) its unsupervised version can find clusters on-the-fly, i.e., there is no need to know the number of clusters beforehand.

Roughly speaking, OPF-based classifiers work on a reward-competition process, where some predefined key samples, i.e., prototypes, compete among themselves to partition the graph into optimum-path trees (OPTs). Such structures may represent clusters (unsupervised learning) or a group of samples from the same class. The competition process is guided by a path-cost function that is minimized/maximized for each dataset sample. Therefore, depending on the adjacency relation, the methodology to estimate prototypes, and path-cost function, one can design a different classifier.

In the past years, several studies aimed at improving the performance of OPF-based classifiers. Passos et al. [7], for instance, proposed an adaptation of the unsupervised OPF to deal with anomaly detection (OPF-AD) in the context of non-technical losses in electricity distribution systems. Later on, Guimarães et al. [8] proposed to fine-tune OPF-AD using meta-heuristic optimization techniques in the context of anomaly detection in wireless sensor networks.

Fernandes et al. [9] proposed a modified version of the supervised OPF classifier that computes the probability of a given sample belonging to a determined class, instead of the hard classification output given by standard OPF. Fernandes et al. [10] also proposed to compute a confidence value for each training sample to deal with plateaus during learning. Additionally, OPF was also employed for improving the performance of other algorithms, such as Neural Networks with Radial Basis Function [11] and the Brain Storm Optimization Algorithm [12], to cite a few.

However, as far as we are concerned, we have not observed any attempt to incorporate fuzzy logic into the OPF formalism. Besides, standard OPF does not consider the importance of samples during the training step, which makes the classifier more prone to overfitting. As a matter of fact, Hüllermeier [13] stated that fuzzy methods have the potential to contribute to machine learning in several ways, turning data classification faster and more robust concerning sensitivity to data variation. Based on such an assumption, many researchers employed fuzzy operators to boost a variety of models. Lin and Wang [14], for instance, proposed the Fuzzy Support Vector Machines. Later on, Tian et al. [15], Le et al. [16], and Sevakula and Verma [17] introduced fuzzy-based SVM versions capable of reducing the computational burden and increasing accuracy.
rates. Several other works addressed the task of improving Restricted Boltzmann Machines using fuzzy concepts [18–21]. Moreover, fuzzy methods were recently applied in the context of deep neural networks [22], c-means [23], and transfer learning [24], among others.

The main contribution of this paper is to introduce fuzzy concepts into the OPF framework by proposing a new variant, which has shown to outperform standard OPF in several datasets. Experiments conducted over twelve public and private datasets confirm the robustness of the model, which is compared against three popular techniques: Linear Support Vector Machines SVM (SVM) [25], Naive Bayes (NB) [26], and the standard OPF.

In a nutshell, the primary contributions of this paper are:
1) to introduce fuzzy logic in the Optimum-Path Forest formulation. Such an approach considers each sample’s neighborhood to compute its membership, which is later employed in the classification process;
2) to improve naive OPF classifier by employing fuzzy concepts; and
3) to foster the literature related to fuzzy- and graph-based pattern classification.

The remainder of this paper is organized as follows. Section II describes both the supervised and unsupervised versions of the OPF, while Section III introduces the proposed approach. Sections IV and V present the methodology and the experimental results, respectively. Finally, Section VI states conclusions and future works.

II. THEORETICAL BACKGROUND

This section presents the supervised and the unsupervised versions of the Optimum-Path Forest.

A. Supervised Optimum-Path Forest

The supervised Optimum-path Forest [1–3] is a graph-based approach that poses the task of data classification as a graph partition problem, where each node is represented by a sample (i.e., feature vector) and the edges connect every pair of nodes. The prototypes samples compete among themselves to “conquer” non-prototype nodes by offering them optimum-path costs. The output of the algorithm, a collection of optimum-path trees, defines an optimum-path forest.

The training step aims at minimizing the cost assigned to each sample at the very beginning of the algorithm. The notion of optimality is encoded by the path-cost function, which must follow some constraints, i.e., OPF requires such function to be smooth one [27]. The supervised OPF variant considered in this work [1, 2] employs \( f_{\text{max}} \) as the path-cost function, which is computed as follows:

\[
\begin{align*}
    f_{\text{max}}(q) &= \begin{cases} 
    0 & \text{if } q \in \mathcal{P}, \\
    +\infty & \text{otherwise}
    \end{cases}, \\
    f_{\text{max}}(\phi \cdot (q, u)) &= \max\{f_{\text{max}}(\phi), d(q, u)\},
\end{align*}
\]

where \( d(q, u) \) stands for the distance (i.e., arc-weight) between samples \( q \) and \( u \), \( \mathcal{P} \) stands for the set prototypes, and \( \phi \) denotes a path, i.e., a sequence of adjacent samples with no repetition\(^1\). Additionally, the maximum distance among adjacent samples in the path \( \phi \) is computed by \( f_{\text{max}}(\phi) \) when \( \phi \) is not a trivial path.

Papa et al. [1] proposed to find \( \mathcal{P}^* \) by exploring a theoretical property that guarantees zero error during training when using \( f_{\text{max}} \) as the path-cost function and the adjacent samples with different classes in the training set [28]. Such nodes can be easily found by computing a Minimum Spanning Tree (MST) over the training data, and the connected samples with different labels are then selected as prototypes. The assumption of zero error [28] holds when all arc-weights in the training set are different from each other, and its rationale is related to the fact that optimum-paths must follow the shape of the MST. However, since the “bridges” between samples from different classes are protected by the prototypes (i.e., we have positive arc-weights only), there is no other way from an optimum-path to cross such a bridge (unless you have others, but then you may have more than one edge with the same weight).

In a nutshell, the training step consists in finding the optimum set of prototypes \( \mathcal{P}^* \) and an OPF classifier rooted at \( \mathcal{P}^* \). The optimum set of prototypes is defined as the one that minimizes the cost of each training sample, i.e., the training step ends up assigning an optimum cost \( C(u) \) to each sample \( u \in \mathcal{V} \), where \( \mathcal{V} \) stands for the training set. Such cost is computed as follows:

\[
C(u) = \min_{\forall q \in \mathcal{P}} \{\max\{C(q), d(q, u)\}\},
\]

where \( C(q) = 0, \forall q \in \mathcal{P} \). On the other hand, \( C(q) = +\infty, \forall q \in \mathcal{V} \setminus \mathcal{P} \). Such costs are used to initialize the algorithm at the very first iteration.

Regarding the classification step, the model finds the optimum path from any test sample \( v \) to a prototype in \( \mathcal{P}^* \) and propagates to \( v \) the prototype’s label. Algorithm 1 implements the OPF training step.

Lines 1 – 6 initialize the cost map by assigning cost 0 to the prototypes and +\( \infty \) to the remaining samples. All samples have their predecessors set to nil, and the prototypes are inserted into the priority queue \( Q \). The loop starting at Line 4 iterates over all samples \( q \in \mathcal{P} \) that try to conquer the remaining nodes, and the main loop in Lines 7 – 19 is the core of the OPF algorithm. A sample with minimum cost is removed from \( Q \) and its neighborhood is analyzed: if the offered cost \( \text{cst} \) is lower than the current cost of the prize-node \( u \), the sample \( u \) is labeled with the same label as sample’s \( q \) and added to its tree (Line 15). Notice that the classes can be represented by multiple optimum-path trees, and there must be at least one per class.

Iwashita et al. [29] showed how to explore some theoretical properties and links between spanning forests and the \( f_{\text{max}} \) path-cost function discussed in Allène et al. [28] to turn the OPF training phase faster. Roughly speaking, the main idea is that the competition process ruled by supervised OPF using \( f_{\text{max}} \) happens to follow the shape of the MST only, i.e., the final optimum-path forest generated after training is similar to

\(^1\)The notation \( \phi \cdot (q, u) \) denotes the concatenation between the path \( \phi \) and the edge \( (q, u) \).
compute the MST, then removing the arcs between prototypes, and further propagating back the costs from each prototype. Besides, it is well known that we have one possible MST only when all arc-weights are different to each other, although it may not occur in practice. In this case, there is only one path for the competition process. Since the prototypes have zero cost and all arc-weights are strictly positive, there is no other way from a prototype to conquer a sample that does not belong to its very same class.

B. Unsupervised Optimum-Path Forest

Similarly to the supervised version, the unsupervised Optimum-Path Forest encodes each dataset sample as a node in a graph where the edges connecting a given sample to its \( k \)-nearest nodes are weighted according to some distance between them. Additionally, each node is weighted by a probability density function (pdf), as follows:

\[
\rho(q) = \frac{1}{\sqrt{2\pi}d_f} \sum_{\forall u \in A_k(q)} \exp\left(-\frac{d^2(q, u)}{2\psi^2}\right), \tag{3}
\]

where \( A_k(q) \) stands for the \( k \)-neighborhood of sample \( q \), \( \psi = \frac{d_f}{k} \), and \( d_f \) is the maximum weight among the edges in the graph \((V, A_k)\).

The traditional method to estimate the probability density is through a Parzen-window. However, such an approach requires finding the optimum number of nearest neighbors \( k^* \in \{1 \leq k_{\text{max}} \leq |V|\} \), where \( k_{\text{max}} \) is an ad-hoc parameter. To tackle such an issue, Rocha et al. [4] proposed to find the \( k^* \) that minimizes the graph cut over \( V \) instead.

The unsupervised OPF defines the set of prototype nodes \( P \) composed of one element per maximum of the pdf. A path \( \phi_u \) is considered optimum if, given a path value \( f_{\min}(\phi_u) \), \( f_{\min}(\phi_u) \geq f_{\min}(\tau_u) \) for any other path \( \tau_u \). Finally, \( u \) is assigned to the path whose minimum density value along it is maximum, defined as follows:

\[
f_{\min}(\phi_u) = \begin{cases} 
\rho(u) & \text{if } u \in P \\
\rho(u) - \delta & \text{otherwise,}
\end{cases}
\]

where \( \delta \) is a small constant. Algorithm 2 implements the unsupervised OPF.

**Algorithm 2: OPF Clustering algorithm**

**Input:** Graph \((V, A_k)\).

**Output:** Predecessor map \( O \), path-cost map \( C \), and label map \( L \).

**Auxiliary:** Priority queue \( Q \), density map \( \rho \), variables \( cst \), and \( l \leftarrow 1 \).

1. for all \( q \in V \) do
   2. \( O(q) \leftarrow \text{nil}, C(q) \leftarrow +\infty \);
3. end
4. for all \( q \in P \) do
5. \( C(q) \leftarrow 0, L(q) = \lambda(q), Q \leftarrow q \);
6. end
7. while \( Q \neq \emptyset \) do
8. Remove from \( Q \) a sample \( q \) such that \( C(q) \) is minimum;
9. for each sample \( u \in V \) such that \( q \neq u \) and \( C(u) > C(q) \) do
10. \( cst \leftarrow \max\{C(q), d(q, u)\} \);
11. if \( cst < C(u) \) then
12. if \( C(u) \neq +\infty \) then
13. Remove \( u \) from \( Q \);
14. end
15. \( L(u) \leftarrow L(q), O(u) \leftarrow q, C(u) \leftarrow cst \);
16. end
17. \( Q \leftarrow u \);
18. end
19. end
20. return \([O, C, L]\)

In Algorithm 2, Lines 1–4 initialize the variables, and also inserts all samples in the priority queue \( Q \). The main loop in Lines 5–17 is responsible for the unsupervised OPF algorithm. It first removes a sample \( q \) from \( Q \) with maximum path value \( C(q) \) in Line 6. If \( q \) has not been conquered by any other sample, then \( O(q) = \text{nil} \) (Line 7) and \( q \) is a prototype of the connectivity map (a maximum of the pdf). Since \( q \in P \), by Equation (4), its connectivity value is reset to \( \rho(q) \) (Line 8), which in addition to the fact that \( A_k \) is symmetric on plateaus of the pdf, will make root \( q \) to conquer the remaining samples of its plateau. It is also assigned to it a new distinct label (cluster) for optimum-path propagation to the rest of its
dome. The inner loop in Lines 10 – 16 evaluates all adjacent sample $q$ to which $q$ can offer a better connectivity value (i.e., $C(u) < C(q)$). If the path $\phi_q \cdot (q, u)$ offers a higher cost to $u$ (Lines 11 – 12), then the current path $\phi_u$ is substituted by the new path $\phi_q \cdot (q, u)$, being the maps $C(u)$, $L(u)$, and $O(u)$ updated accordingly (Lines 13 – 14).

III. FUZZY OPTIMUM-PATH FOREST

In this section, we present the proposed Fuzzy Optimum-Path Forest (Fuzzy OPF), a new method for data classification that extends naïve OPF classifier to fuzzy operators. The proposed approach is divided into two main steps: (i) to compute each sample’s membership, which is performed in a non-supervised fashion through an adapted version of the OPF algorithm, and (ii) to introduce such an information in the path-cost function formulation.

A. Membership Computation

Although OPF has presented itself as an interesting method for classification problems [29, 30], it lacks real-world applications where samples may belong partially to a given class. Suppose a sample tagged with a given label despite its features diverge considerably from the other samples with the same label. Such an outlier contributes equally to the training step of standard OPF, i.e., it has the same importance, although acting like a “noise” and leading the learning process to overfit [7].

In this work, we consider attributing a membership $F_\Theta(x) \in [0, 1]$ to each training sample $x$, i.e., a measure of how meaningful is a sample regarding its class, where $\Theta$ stands for the set of function parameters. Such a function describes the influence of sample $x$ in its group. An adequate choice of the membership lies over two constraints: (i) selecting a proper lower bound parameter $\sigma > 0$, which is performed using a grid search, and (ii) defining the model properties that best describe the data behavior [14]. Such information can be encoded by the node density, which is computed in an unsupervised fashion using the OPF technique. The membership is calculated as follows:

$$F_\Theta(x) = (1 - \sigma) \left( \frac{\rho(x) - \rho_{\min}}{\rho_{\max} - \rho_{\min}} \right)^2 + \sigma,$$

(5)

where $\rho_{\min} \leq \rho(x) \leq \rho_{\max}$, and $\rho_{\min}$ and $\rho_{\max}$ stand for the lowest and the highest densities, respectively, and $\Theta = \{\sigma, \rho_{\min}, \rho_{\max}\}$. Figure 1 illustrates the concept behind such an idea, where samples located at the boundaries of the clusters tend to possess smaller membership values, i.e., they are supposed to be “less reliable” during the competition process.

B. Fuzzy Optimum-Path Forest

Since the OPF training step is described as a competition process among prototypes to “conquer” other samples, we introduce the membership in the cost formulation as follows:

$$C(u) = \min_{q \in V} \{F_\Theta(u) * \max\{C(q), d(q, u)\}\},$$

(6)

which is basically a reformulation of the supervised OPF cost function (Equation 2). Notice the above equation is employed during the test phase only since the membership values are computed during training.

Smaller membership values stand for examples that have no importance for training purposes, being often called anomalies or outliers. On the other hand, high membership values indicate the most representative samples, with the maximum values attributed to the prototypes. Finally, values within that boundaries stand for the transition between these two states.

Let us suppose that $\sigma = 0$ and $\rho_{\min} = \rho(x)$ for some sample $x \in V$. Therefore, we have that $F_\Theta(x) \approx 0$ in Equation 5. In such a case, $C(x)$ is always equals to 0 in Equation 6, i.e., the OPF properties are no longer held or erratic. A sample with the lowest pdf value, i.e., $\rho_{\min}$, means that it has been placed in a less dense region of the feature space. In this case, either $x$ may figure as an outlier or a less representative sample. However, in this paper, we limited the values of sigma within the range $[0.2, 1.2]$.

Algorithm 3 implements the Fuzzy OPF classifier. First, Lines 1 – 3 compute each sample’s density, and Line 4 sets $\rho_{\min}$ and $\rho_{\max}$ to the minimum and maximum densities, respectively. Such values are used to compute each sample’s membership, as presented in Line 7. Lines 9 – 11 initialize the prototypes with zero cost, its true label (i.e., function $\lambda$), and inserts them in the priority queue.

Afterward, the membership (Equation 6) is employed in line 15 to calculate the cost of each sample. The remainder of the algorithm follows the same idea presented in Algorithm 1, i.e., the main loop in lines 12 – 25 are in charge of the competition process among prototype samples, and the algorithm outputs the cost map $C$, predicted labels in $L$, and the optimum-path forest stored in $O$.

The loop in Lines 9 – 11 is in charge of assigning the true label map ($\lambda$) to all prototypes ($P$). According to the OPF working mechanism, the prototypes are the first samples that
Algorithm 3: Fuzzy OPF Classifier algorithm

Input: Graph \( (V, A_k) \), set of prototypes \( P \subseteq V \), map of training set labels \( \lambda \), lower bound parameter \( \sigma \), and distance function \( d \).

Output: Predecessor map \( O \), path-cost map \( C \), and label map \( L \).

Auxiliary: Priority queue \( Q \), variable \( cst \), density map \( \rho \), and minimum and maximum densities \( \rho_{\min} \) and \( \rho_{\max} \), respectively.

1. for all \( q \in V \) do
   2. Compute \( \rho(q) \) using Equation 3;
   3. end

4. \( \rho_{\min}, \rho_{\max} \leftarrow \min(\rho), \max(\rho) \);

5. for all \( q \in V \) do
   6. \( O(q) \leftarrow \text{nil}, C(q) \leftarrow +\infty \);
   7. end

8. for all \( q \in P \) do
   9. \( C(q) \leftarrow 0, L(q) = \lambda(q), Q \leftarrow q \);
   10. end

11. while \( Q \neq \emptyset \) do
   12. Remove from \( Q \) a sample \( q \) such that \( C(q) \) is minimum;
   13. for each sample \( u \in V \) such that \( q \neq u \) and \( C(u) > C(q) \) do
   14. \( cst \leftarrow F_\theta(u) \times \max\{C(q), d(q, u)\} \);
   15. if \( cst < C(u) \) then
   16. if \( C(u) \neq +\infty \) then
   17. \( C(u) \leftarrow cst \);
   18. Remove \( u \) from \( Q \);
   19. \( L(u) \leftarrow L(q), O(u) \leftarrow q, C(u) \leftarrow cst \);
   20. \( Q \leftarrow u \);
   21. end
   22. end
   23. end
   24. end
   25. return \([O, C, L]\)

will be removed from the priority queue \( Q \) in Line 13 since they have zero cost (Line 10). This means their true labels will be propagated first to the samples they have conquered (Line 20), and later on to the next samples from \( Q \).

C. Computational Complexity

Regarding the computational complexity, let us analyze standard OPF first. From Algorithm 1, Lines 7 – 19 stand for the core of OPF technique. Since we have \( |V| \) training samples added to the priority queue \( Q \) and each sample is removed only once, the main loop runs \( \theta(|V|) \) times. Besides, since we used a binary heap to implement the priority queue, Line 8 requires \( O(\log |V|) \) computations. However, since we have a complete graph, the inner loop in Lines 8 – 18 executes \( \theta(|V|) \) times. The final complexity for training is \( \theta(|V|^2) \). The classification step can be performed in \( \theta(|V|.|T|) \), where \(|T| \) stands for the test set. However, Papa et al. [2] that such a step can be optimized by storing the training samples in an ascending order of costs. Additionally, Iwashita et al. [29] highlighted how to make OPF training step faster by exploring some theoretical properties presented by Alléne et al. [28].

Regarding Fuzzy-OPF, we must analyze the complexity of OPF clustering first, which is composed of two main steps: (i) to find \( k^* \) and (ii) to execute the competition process (Algorithm 2). With respect to the first step, for each value \( k \in [1, k_{\max}] \), we need to find the \( k \)-neighborhood and compute the minimum graph cut. The former can be computed in \( \theta(|V|^2) \) using any standard data structure (i.e., arrays), and the second step takes \( \theta(k|V|) \) iterations. The whole procedure than takes \( k_{\max} \theta(|V|^2) + \theta(k|V|) \) iterations. If \( k_{\max} \rightarrow |V| \), then the complexity is done by \( |V|, 2|V|^2 = |V|.\theta(2|V|^2) \in |V|.\theta(|V|^2) = \theta(|V|^3) \) since \( k \in k_{\max} \). However, we limited \( k_{\max} \) to 100 in the paper since we observed that greater values did not contribute to the final results. In practice, the final complexity of OPF clustering is \( \theta(|V|^2) \) in this paper because \( |V| \gg 100 \).

Concerning the second step, the loop in Lines 1 – 4 executes \( \theta(|V|) \) times, while Line 2 runs over all \( k \) neighbors of each sample \( q \). Therefore, the complexity of lines 1 – 4 in Algorithm 2 is represented by \( \theta(k^*|V|) \). The competition process is performed in Lines 5 – 17, which runs over all training samples in \( Q \), i.e., it requires \( \theta(|V|) \) iterations. Line 6 runs in \( O(\log |V|) \) due to the binary heap, and the inner loop in Lines 10 – 16 requires \( k^* \) iterations. Therefore, the complexity for training OPF clustering is \( \theta(k^*|V| \log |V|) \). Notice that when \( k^* \rightarrow |V| \), the complexity is done by \( \theta(|V|^2) \). Therefore, the complexity of Fuzzy-OPF requires \( \theta(|V|^2) + \theta(|V|^2) \in \theta(|V|^2) \) operations.

IV. METHODOLOGY

In this section, we introduce the datasets and the experimental setup employed in the work.

A. Dataset Description

The proposed approach is evaluated over twelve datasets for general-purpose classification problems. Six datasets were synthetically generated for testing purposes, and the remaining ones stand for real-world problems. Among these, four stand for public datasets and two concern private data, as described below:

1) Synthetic Datasets:

- Boat: dataset containing 100 samples represented by two features and distributed into 3 classes\(^2\).
- Cone-Torus: dataset containing 400 samples represented by two features and distributed into 3 classes\(^2\).
- Four-Class: dataset containing 100 samples represented by two features and distributed into 2 classes\(^1\).
- Data1: dataset containing 1,423 samples represented by two features and distributed into 2 classes\(^2\).
- Data2: dataset containing 283 samples represented by two features and distributed into 2 classes\(^2\).
- Data3: dataset containing 340 samples represented two features and distributed into 5 classes\(^2\).

Figure 2 depicts the above datasets.

\(^2\)https://github.com/jppbsi/LibOPF/tree/master/data
\(^3\)https://www.csie.ntu.edu.tw/~cjlin/libsvmtools/datasets/binary.html
2) Real Datasets:
- Thyroid: dataset containing 7,200 samples represented by a 21-dimensional vector each and distributed into 2 classes\(^4\).
- Breast Tissue: dataset containing 106 samples represented by a 10-dimensional vector each and distributed into 6 classes\(^5\).
- Landsat Satellite: dataset containing 5,100 samples represented by a 36-dimensional vector each and distributed into 8 classes\(^5\).
- MPEG-7 BAS: The MPEG-7 [31] shape dataset contains 1,400 samples distributed into 70 classes. This work employed BAS (Beam Angle Statistics) [32] shape descriptor to extract 180 features from the whole dataset. Details about the features extraction procedure can be found in [33]. Figure 3 depicts some MPEG-7 sample images\(^2\).
- Electric Industrial Profiles: private dataset containing 3,178 samples represented by an 8-dimensional vector each and distributed into 2 classes [7].
- Electric Commercial Profiles: private dataset containing 4,952 samples represented by an 8-dimensional vector each and distributed into 2 classes [7].

\(^4\)http://archive.ics.uci.edu/ml/datasets

\(^5\)https://archive.ics.uci.edu/ml/datasets/Statlog+(Landsat+Satellite)

\(^2\)https://github.com/jppbsi/LibOPF

B. Experimental Setup

The experiments compared the Fuzzy OPF against three supervised learning algorithms: naive OPF, Linear SVM, and the well-known Bayesian classifier. The methodology employed a cross-validation procedure with 20 runs to provide a statistical analysis using the Wilcoxon signed-rank test with a significance of 0.05 [34]. This test repeatedly measures data dependency and computes the difference of samples when they are matched. These differences are ranked for further applying a negative sign to all the ranks where the difference between two samples is negative, denoting the signed-rank. Such an approach is robust against outliers and heavy tail distributions, also presenting itself as a good test to compare mean scores when the dependent variable is not normally distributed. For each run, the dataset is randomly split into 60% for training, 20% for evaluation, and 20% for testing purposes.

The evaluation set is used to fine-tune hyperparameter \(k_{\text{max}}\) and \(\sigma\) through a grid-search within the intervals: \(k_{\text{max}} \in \{1, 10, 20, \ldots, 150\}\) and \(\sigma \in \{0.2, 0.4, 0.6, 0.8, 1.0, 1.2\}\). Then, we take the values that maximize the Fuzzy OPF accuracy. The same approach was conducted towards SVM hyperparameter.

Finally, the experiments were developed over the C-based library LibOPF\(^6\), which implements the Optimum-Path Forest framework. Additionally, we used SVM and Bayes implementations provided by Scikit-learn [35]. Regarding the computational environment, we employed an 1.70 GHz 2x Intel Xeon Bronze 3106 processor with 64GB of RAM, running over an Ubuntu 16.04 Linux machine.

V. RESULTS AND DISCUSSIONS

In this section, we discuss the experimental results concerning the proposed approach, as well as the procedure to fine-tune the hyperparameters.

A. General-Purpose Datasets Classification

Table I presents the mean accuracy and its standard deviation, as well as the mean F1-measure values. Notice the best values according to the Wilcoxon signed-rank test are highlighted in bold.
TABLE I: Average accuracy and its standard deviation, as well as the average F1-measuring the test set.

| Dataset          | Statistics     | OPF Classifier | Fuzzy-OPF | SVM  | Bayes |
|------------------|----------------|----------------|-----------|------|-------|
| Thyroid          | Mean Acc.      | 0.99170        | 0.99171   | 0.97658 | 0.13495 |
|                  | Std Acc.       | 0.00250        | 0.00258   | 0.00029 | 0.00057 |
|                  | Mean F1        | 0.98679        | 0.96920   | 0.97161 | 0.18716 |
| Breast Tissue    | Mean Acc.      | 0.67501        | 0.67797   | 0.68959 | 0.70833 |
|                  | Std Acc.       | 0.06922        | 0.06333   | 0.07623 | 0.07683 |
|                  | Mean F1        | 0.66114        | 0.66551   | 0.68329 | 0.70283 |
| Landsat Satellite| Mean Acc.      | 0.99244        | 0.99264   | 0.99260 | 0.93725 |
|                  | Std Acc.       | 0.00178        | 0.00187   | 0.00190 | 0.00127 |
|                  | Mean F1        | 0.99177        | 0.99201   | 0.98200 | 0.85670 |
| MPEG-7 BAS       | Mean Acc.      | 0.80179        | 0.80732   | 0.77892 | 0.71465 |
|                  | Std Acc.       | 0.02026        | 0.01909   | 0.01987 | 0.02044 |
|                  | Mean F1        | 0.78661        | 0.79210   | 0.76479 | 0.71905 |
| Elec. Ind. Prof. | Mean Acc.      | 0.94899        | 0.94938   | 0.93720 | 0.40754 |
|                  | Std Acc.       | 0.00687        | 0.00674   | 0.00000 | 0.00212 |
|                  | Mean F1        | 0.94972        | 0.95011   | 0.90680 | 0.51753 |
| Elec. Com. Prof. | Mean Acc.      | 0.96336        | 0.96372   | 0.94450 | 0.90495 |
|                  | Std Acc.       | 0.00586        | 0.00561   | 0.00000 | 0.00942 |
|                  | Mean F1        | 0.96375        | 0.96403   | 0.91900 | 0.90310 |

From Table I, one can draw several conclusions: (i) Fuzzy OPF is the only technique capable of achieving the most accurate results alone, according to the Wilcoxon signed-rank test, over MPEG-BAS dataset; (ii) Fuzzy OPF obtained the best results considering eight out of twelve datasets, i.e., Boat, Cone-Torus, Four-Class, Data1, Landsat Satellite, MPEG-BAS, Elec. Ind. Prof., and Elec. Com. Prof.; (iii) Fuzzy OPF obtained the most accurate results (i.e., statistically speaking), considering the Wilcoxon similarity test, in eleven out of twelve datasets. Moreover, it worth highlighting the Fuzzy OPF achieved, in the worst case, identical results to the standard OPF. Such behavior is expected since Fuzzy OPF acts like a generalization of the naive OPF classifier, i.e., Fuzzy OPF converges to the regular OPF when \( \sigma = 1 \) in Equation 5. Besides, Table I strengthens the hypothesis that OPF performs better than SVM over low-dimensional problems. In this case, OPF outperformed SVM in most of the experiments concerning the synthetic datasets.

B. Computational Burden

Table II presents the computational burden (in seconds) demanded by each technique. Although Fuzzy OPF obtained a slower performance than the regular OPF, which is expected since the Fuzzy OPF requires performing a clustering step to calculate the membership before classification, overcoming such a constraint does not represent a massive effort. Such an issue can be easily surmounted using a parallelized implementation of the minimum graph cut employed for searching \( k_{max} \) (Section II-B). Besides, Fuzzy OPF is fastest than SVM in 9 out of 12 datasets.

TABLE II: Average computational burden (in seconds) concerning the training step.

| Dataset          | OPF Classifier | Fuzzy-OPF | SVM  | Bayes |
|------------------|----------------|-----------|------|-------|
| Thyroid          | Mean F1        | 0.78661   | 0.79210 | 0.76479 | 0.71905 |
| Breast Tissue    | Mean F1        | 0.79277   | 0.98276 | 0.98364 | 0.98621 |
| Landsat Satellite| Mean F1        | 0.99643   | 0.99643 | 0.99428 | 0.99714 |
| MPEG-7 BAS       | Mean F1        | 0.99458   | 0.99475 | 0.95174 | 0.94232 |
| Elec. Ind. Prof. | Mean F1        | 0.99458   | 0.99475 | 0.95176 | 0.94233 |
| Elec. Com. Prof. | Mean F1        | 0.99458   | 0.99475 | 0.95176 | 0.94233 |
| Thyroid          | Mean F1        | 0.97140   | 0.97191 | 0.97568 | 0.13495 |
| Breast Tissue    | Mean F1        | 0.67501   | 0.67797 | 0.68959 | 0.70833 |
| Landsat Satellite| Mean F1        | 0.99244   | 0.99264 | 0.99260 | 0.93725 |
| MPEG-7 BAS       | Mean F1        | 0.80179   | 0.80732 | 0.77892 | 0.71465 |
| Elec. Ind. Prof. | Mean F1        | 0.94899   | 0.94938 | 0.93720 | 0.40754 |
| Elec. Com. Prof. | Mean F1        | 0.96336   | 0.96372 | 0.94450 | 0.90495 |

C. Fine-Tuning Hyperparameters

The main drawback of Fuzzy OPF when compared to the standard supervised OPF, at first glance, is related to a proper selection of its hyperparameters. However, since Fuzzy OPF is a generalization of naive OPF, setting up the \( \sigma \) hyperparameter to one leads the technique to converge to the standard OPF, leaving \( k_{max} \) practically irrelevant in such a case.

Figure 4 depicts the fitness landscape for the hyperparameter fine-tuning procedure. One can observe that Fuzzy OPF does not require an accurate and sensible selection of its hyperparameters, i.e., a large portion of the area covers the best possible combinations of \( \sigma \) and \( k_{max} \) (red dark areas). In a nutshell, a random selection of such hyperparameters would probably provide satisfactory results in many cases.

D. Discussion

One of the main problems related to the Optimum-Path Forest classifier concerns the so-called “tie-zones”, i.e., when two or more samples offer the very same optimum-path cost to a given sample. Standard OPF employs the FIFO (first-in-first-out) policy, i.e., the sample that offers the optimum-path cost first will be one that conquers others.

However, we have observed that datasets that face too many overlapped areas among different classes pose a more significant challenge to any pattern classifier. Regarding the
OPF technique, the conquering process in such areas is quite competitive, which means higher classification errors. In this context, it is pretty much usual a given sample be conquered by another one from a different class (i.e., a misclassification).

In most cases, we have observed that the sample with the second best cost is the one labeled with the correct class. In this paper, we showed we could overcome such a shortcoming using memberships computed during the training.
Such fuzzy values are used to weight the optimum-path cost, which now considers such uncertainty. Notice that we are also aware that the path-cost function may not be smooth anymore [27], but in practice, such a circumstance does not interfere negatively in the process.

We also conducted an extra round of experiments to show the rationale behind the proposed approach. Figures 5a and 5b display the membership values for all data points concerning Data1 and Data2 datasets, respectively. Notice that darker points stand for higher membership values. More representative samples (i.e., higher membership values) tend to be located at the center of the clusters, meanwhile points far away mind to be less important for training purposes. It is worth noting that unsupervised OPF finds clusters on-the-fly, i.e., there is no need to know the number of clusters beforehand. Such a skill is interesting since the number of clusters is usually much greater than the number of classes. This can explain why one can have several darker points at close/distinct locations.

![Fig. 5: Membership values for all data points concerning: (a) Data1 and (b) Data2 datasets. Darker points stand for higher membership values.](image)

**VI. CONCLUSIONS**

This paper proposed a variant of naïve supervised OPF that considers fuzzy information for classification purposes. Experiments conducted over ten public and two private datasets allowed us to draw some interesting conclusions: (i) Fuzzy OPF obtained the best results, according to the Wilcoxon signed-rank test, in eleven out of twelve datasets, achieving the highest results in eight of them; and (ii) Fuzzy OPF acts like a generalization and converges to the standard OPF when $\sigma = 1$.

Fuzzy OPF also provides the opportunity to outperform the naïve OPF with a proper selection of the hyperparameters, although its demands a bit more computational resources since it requires a previous clustering step before classification. However, such an issue is easily overcome using parallel computing. Besides, the area covered by the combination of the best hyperparameters occupies a large portion of the search space mostly, which means a random selection of such values may provide satisfactory results. Finally, OPF-based techniques outperformed SVM in low-dimensional problems.

Regarding future works, we plan to employ meta-heuristic optimization techniques to fine-tune Fuzzy OPF hyperparameters. Additionally, we intend to propose a comparison among several unsupervised clustering techniques to calculate the membership values.

**ACKNOWLEDGEMENTS**

The authors are grateful to CNPq 304315/2017-6, 427968/2018-6, 430274/2018-1, and 307066/2017-7 grants, CAPES, FAPESP grants 2013/07375-0, 2014/12236-1, 2017/25908-6, 2018/21934-5, and 2016/19403-6, as well as the National Natural Science Foundation of China under Grant 61976120, the Natural Science Foundation of Jiangsu Province under Grant BK20191445, the Six Talent Peaks Project of Jiangsu Province under Grant XYZDXXJ-S-048, and sponsored by Qing Lan Project of Jiangsu Province.

**REFERENCES**

[1] J. P. Papa, A. X. Falcão, and C. T. N. Suzuki, “Supervised pattern classification based on optimum-path forest,” *International Journal of Imaging Systems and Technology*, vol. 19, no. 2, pp. 120–131, May 2009.

[2] J. P. Papa, A. X. Falcão, V. H. C. Albuquerque, and J. M. R. S. Tavares, “Efficient supervised optimum-path forest classification for large datasets,” *Pattern Recognition*, vol. 45, no. 1, pp. 512–520, Jan 2012.

[3] J. P. Papa, S. E. N. Fernandes, and A. X. Falcão, “Optimum-path forest based on k-connectivity: Theory and applications,” *Pattern Recognition Letters*, vol. 87, pp. 117–126, Feb 2017.

[4] L. M. Rocha, F. A. M. Cappabianco, and A. X. Falcão, “Data clustering as an optimum-path forest problem with applications in image analysis,” *International Journal of Imaging Systems and Technology*, vol. 19, no. 2, pp. 50–68, May 2009.

[5] W. P. Amorim, A. X. Falcão, J. P. Papa, and M. H. Carvalho, “Improving semi-supervised learning through optimum connectivity,” *Pattern Recognition*, vol. 60, no. Supplement C, pp. 72–85, Dec 2016.

[6] W. P. Amorim, A. X. Falcão, and J. P. Papa, “Multi-label semi-supervised classification through optimum-path forest,” *Information Sciences*, vol. 465, pp. 86–104, Oct 2018.
[7] L. A. Passos, C. C. O. Ramos, D. Rodrigues, D. R. Pereira, A. N. de Souza, K. A. P. da Costa, and J. P. Papa, “Unsupervised non-technical losses identification through optimum-path forest,” Electric Power Systems Research, vol. 140, pp. 413–423, Nov 2016.

[8] R. R. Guimaraes, L. A. Passos, R. H. Filho, V. H. C. de Albuquerque, J. J. P. C. Rodrigues, M. M. Komarov, and J. P. Papa, “Intelligent network security monitoring based on optimum-path forest clustering,” IEEE Network, pp. 1–6, Oct 2018.

[9] S. Fernandes, D. Pereira, C. Ramos, A. Souza, D. Gastaldello, and J. Papa, “A probabilistic optimum-path forest classifier for non-technical losses detection,” IEEE Transactions on Smart Grid, vol. 10, no. 3, pp. 3226–3235, Apr 2018.

[10] S. E. N. Fernandes and J. P. Papa, “Improving optimum-path forest learning using bag-of-classifiers and confidence measures,” Pattern Analysis and Applications, Dec 2017.

[11] G. H. Rosa, K. A. Costa, L. A. Passos, J. P. Papa, A. X. Falcão, and J. M. R. Tavares, “On the training of artificial neural networks with radial basis function using optimum-path forest clustering,” in 2014 22nd International Conference on Pattern Recognition. Stockholm, Sweden: IEEE, 2014, pp. 1472–1477.

[12] L. C. S. Afonso, L. A. Passos, and J. P. Papa, “Enhancing brain storm optimization through optimum-path forest,” in 2018 IEEE 12th International Symposium on Applied Computational Intelligence and Informatics (SACI). Timisoara, Romania: IEEE, 2018, pp. 000 183–000 188.

[13] E. Hüllermeier, “Fuzzy methods in machine learning and data mining: Status and prospects,” Fuzzy sets and Systems, vol. 156, no. 3, pp. 387–406, Dec 2005.

[14] C.-F. Lin and S.-D. Wang, “Fuzzy support vector machines,” IEEE transactions on neural networks, vol. 13, no. 2, pp. 464–471, Mar 2002.

[15] Y. Tian, M. Sun, Z. Deng, J. Luo, and Y. Li, “A new fuzzy set and nonkernel svm approach for mislabeled binary classification with applications,” IEEE Transactions on Fuzzy Systems, vol. 25, no. 6, pp. 1536–1545, Sep 2017.

[16] T. Le, D. Tran, W. Ma, and D. Sharma, “A new fuzzy membership computation method for fuzzy support vector machines,” in International Conference on Communications and Electronics 2010. IEEE, Dec 2010, pp. 153–157.

[17] R. K. Sevakula and N. K. Verma, “Compounding general purpose membership functions for fuzzy support vector machine under noisy environment,” IEEE Transactions on Fuzzy Systems, vol. 25, no. 6, pp. 1446–1459, Jun 2017.

[18] E. de la Rosa and W. Yu, “Data-driven fuzzy modeling using restricted boltzmann machines and probability theory,” IEEE Transactions on Systems, Man, and Cybernetics: Systems, pp. 1–11, Mar 2018.

[19] C. L. P. Chen and S. Feng, “Generative and discriminative fuzzy restricted boltzmann machine learning for text and image classification,” IEEE Transactions on Cybernetics, pp. 1–12, Oct 2018.

[20] S. Feng, C. L. P. Chen, and C. Zhang, “A fuzzy deep model based on fuzzy restricted boltzmann machines for high-dimensional data classification,” IEEE Transactions on Fuzzy Systems, pp. 1–1, Feb 2019.

[21] S. Feng and C. L. P. Chen, “A fuzzy restricted boltzmann machine: Novel learning algorithms based on the crisp possibilistic mean value of fuzzy numbers,” IEEE Transactions on Fuzzy Systems, vol. 26, no. 1, pp. 117–130, Feb 2018.

[22] Y. Deng, Z. Ren, Y. Kong, F. Bao, and Q. Dai, “A hierarchical fused fuzzy deep neural network for data classification,” IEEE Transactions on Fuzzy Systems, vol. 25, no. 4, pp. 1006–1012, Jun 2017.

[23] T. Lei, X. Jia, Y. Zhang, L. He, H. Meng, and A. K. Nandi, “Significantly fast and robust fuzzy c-means clustering algorithm based on morphological reconstruction and membership filtering,” IEEE Transactions on Fuzzy Systems, vol. 26, no. 5, pp. 3027–3041, Jan 2018.

[24] H. Zuo, J. Lu, G. Zhang, and F. Liu, “Fuzzy transfer learning using an infinite gaussian mixture model and active learning,” IEEE Transactions on Fuzzy Systems, vol. 27, no. 2, pp. 291–303, Jul 2019.

[25] J. Platt et al., “Probabilistic outputs for support vector machines and comparisons to regularized likelihood methods,” Advances in large margin classifiers, vol. 10, no. 3, pp. 61–74, Mar 1999.

[26] R. O. Duda, P. E. Hart, and D. G. Stork, Pattern classification and scene analysis. Wiley New York, Feb 1973, vol. 3.

[27] A. X. Falcão, J. Stolfi, and R. de Alencar Lotufo, “The image foresting transform: Theory, algorithms, and applications,” IEEE transactions on pattern analysis and machine intelligence, vol. 26, no. 1, pp. 19–29, Jun 2004.

[28] C. Allène, J.-Y. Audibert, M. Couprie, and R. Keriven, “Some links between extremeum spanning forests, watersheds and min-cuts,” Image and Vision Computing, vol. 28, no. 10, pp. 1460–1471, Oct 2010, image Analysis and Mathematical Morphology.

[29] A. S. Iwashita, J. P. Papa, A. N. Souza, A. X. Falcão, R. Lotufo, V. Oliveira, V. H. C. De Albuquerque, and J. M. R. Tavares, “A path-and label-cost propagation approach to speedup the training of the optimum-path forest classifier,” Pattern Recognition Letters, vol. 40, pp. 121–127, Apr 2014.

[30] P. P. Rebouças Filho, A. C. da Silva Barros, G. L. Ramalho, C. R. Pereira, J. P. Papa, V. H. C. de Albuquerque, and J. M. R. Tavares, “Automated recognition of lung diseases in ct images based on the optimum-path forest classifier,” Neural Computing and Applications, pp. 1–14, Jun 2017.

[31] MPEG-7, “Mpeg-7: The generic multimedia content description standard, part 1,” IEEE MultiMedia, vol. 09, no. 2, pp. 78–87, Aug 2002.

[32] N. Arica and F. T. Y. Vural, “BAS: a perceptual shape descriptor based on the beam angle statistics,” Pattern Recognition Letters, vol. 24, no. 9, pp. 1627–1639, 2003.

[33] J. P. Papa, A. X. Falcão, and C. T. N. Suzuki, “Supervised pattern classification based on optimum-path forest,” In-
ternational Journal of Imaging Systems and Technology, vol. 19, pp. 120–131, May 2009.

[34] F. Wilcoxon, “Individual comparisons by ranking methods,” Biometrics Bulletin, vol. 1, no. 6, pp. 80–83, 1945.

[35] F. Pedregosa, G. Varoquaux, A. Gramfort, V. Michel, B. Thirion, O. Grisel, M. Blondel, P. Prettenhofer, R. Weiss, V. Dubourg, J. Vanderplas, A. Passos, D. Cournapeau, M. Brucher, M. Perrot, and E. Duchesnay, “Scikit-learn: Machine learning in Python,” Journal of Machine Learning Research, vol. 12, pp. 2825–2830, Oct 2011.