New MR Imaging Assessment Tool to Define Brain Abnormalities in Very Preterm Infants at Term

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ABSTRACT

BACKGROUND AND PURPOSE: WM injury is the dominant form of injury in preterm infants. However, other cerebral structures, including the deep gray matter and the cerebellum, can also be affected by injury and/or impaired growth. Current MR imaging injury assessment scales are subjective and are challenging to apply. Thus, we developed a new assessment tool and applied it to MR imaging studies obtained from very preterm infants at term age.

MATERIALS AND METHODS: MR imaging scans from 97 very preterm infants (<30 weeks' gestation) and 22 healthy term-born infants were evaluated retrospectively. The severity of brain injury (defined by signal abnormalities) and impaired brain growth (defined with biometrics) was scored in the WM, cortical gray matter, deep gray matter, and cerebellum. Perinatal variables for clinical risks were collected.

RESULTS: In very preterm infants, brain injury was observed in the WM (n=23), deep GM (n=5), and cerebellum (n=23). Combining measures of injury and impaired growth showed moderate to severe abnormalities most commonly in the WM (n=38) and cerebellum (n=32) but still notable in the cortical gray matter (n=16) and deep gray matter (n=11). WM signal abnormalities were associated with a reduced deep gray matter area but not with cerebellar abnormality. Intraventricular and/or parenchymal hemorrhage was associated with cerebellar signal abnormality and volume reduction. Multiple clinical risk factors, including prolonged intubation, prolonged parenteral nutrition, postnatal corticosteroid use, and postnatal sepsis, were associated with increased global abnormality on MR imaging.

CONCLUSIONS: Very preterm infants demonstrate a high prevalence of injury and growth impairment in both the WM and gray matter. This MR imaging scoring system provides a more comprehensive and objective classification of the nature and extent of abnormalities than existing measures.

ABBREVIATIONS: GM = gray matter; VPT = very preterm

With advances in obstetric and neonatal care of the preterm infant, the incidence of cerebral palsy has been decreasing, yet preterm-born children remain at high risk for cognitive, behavioral, academic, and social challenges later in life. The neuropathologic conditions leading to adverse neurodevelopmental sequelae in later life in former preterm infants are areas of active research and clinical focus.

MR imaging plays a crucial role in the identification of structural brain abnormalities, and the cerebral WM is the most commonly identified site for brain injury in the preterm infant. However, recent studies have also highlighted the significant involvement of the cortical GM, deep GM, and cerebellum. In addition to brain injury identified by signal abnormalities on conventional (T1- and T2-weighted) MR imaging, 3D volumetric approaches with MR imaging have defined global and regional alterations in brain structure in former preterm children. Thus, to fully capture the effect of preterm birth on the immature brain, a systematic assessment of both brain injury and altered brain growth is required.

Several MR imaging evaluation scales have been developed to define the severity of brain abnormality at term-equivalent postmenstrual age and to predict neurodevelopmental outcome in preterm infants. However, these scoring systems often address only cerebral WM and cortical GM and may thereby underestimate the full extent of brain abnormalities. In addition, the
socrings in this scale are somewhat subjective, with variable relationships to neurodevelopmental outcome.

The aim of our study was to develop a new scoring system for conventional MR imaging that more comprehensively and objectively defines both brain injury and impaired brain growth. This scoring system was applied to MR imaging studies obtained from VPT infants at term-equivalent postmenstrual age to characterize brain injury in this population. Perinatal risk factors for these abnormalities were also explored.

**MATERIALS AND METHODS**

**Participants**

VPT infants (<30 weeks’ gestation) were recruited between April 2007 and June 2010 at St. Louis Children’s Hospital. Of 121 infants recruited, 97 survivors (80%) fulfilled the criteria of having undergone MR imaging at ≥36 to ≤42 weeks’ gestation. During the same study period, term-born control infants were also enrolled. They were required to have mothers >18 years old who received regular prenatal care without medications or drug/alcohol use. In addition, the control infants did not receive any resuscitative procedures or neonatal medical care after birth. All infants were enrolled in the study after their parents had signed informed consent. The study protocols were approved by the local human research and ethics bodies.

**MR Imaging Acquisition**

MR imaging was undertaken by using a 3T Tim Trio system (Siemens, Erlangen, Germany) without sedation and included anatomic images obtained with an axial magnetization-prepared rapid acquisition of gradient echo T1-weighted sequence (TR, 1500 ms; TE, 3 ms; voxel size, 1 × 0.7 × 1 mm³), and a turbo spin-echo T2-weighted sequence (TR, 8600 ms; TE, 160 ms; voxel size, 1 × 1 × 1 mm³; echo-train length, 17).

**MR Imaging Assessment**

A standardized scoring system was used to evaluate cerebral WM and cortical GM abnormalities in a similar fashion to our previous study, augmented with quantitative biometrics. In addition, scales for the deep GM and cerebellum were developed and were included. All of the qualitative and quantitative assessments of MR images were performed by a single neonatal neurologist (H.K.) with sufficient experience to assess clinical MR images. Interobserver reliabilities (intraclass correlation coefficients) from 20 scans scored by 2 observers (H.K., T.I.) and intraobserver reliabilities (H.K.) from 20 scans scored 1 month apart by a single observer were >0.90 in the qualitative assessment. No infant had changed category of scoring between raters.

**Cerebral WM Abnormality**

Cerebral WM abnormality was graded on a scale between zero and 4 for 6 variables: 1) cystic degeneration, 2) focal signal abnormalities, 3) delayed myelination, 4) thinning of the corpus callosum, 5) dilated lateral ventricles, and 6) reduction of WM volume (Fig 1 and Table 1). Thinning of the corpus callosum was assessed with measurements of callosal thickness at 3 positions ( genu, midportion of the body, and splenium) on a midsagittal section. Lateral ventricular dilation was assessed by measurement of the lateral ventricular diameter on a coronal section at the level of the ventricular atrium. Reduction of WM volume was determined by measurement of biparietal width on a coronal section by use of a DICOM viewer (Onis 2.3; DigitalCore, Tokyo, Japan) according to the definition in a previous report (Fig 2). Because biparietal width increases with postmenstrual age on MR imaging, we corrected the measured value with linear regression analysis according to the following equation: corrected biparietal width = measured biparietal width + the slope × (40 – postmenstrual age on MR imaging).

**Cortical GM Abnormality**

Cortical GM abnormality was graded on a scale between zero and 4 for 3 variables: 1) signal abnormality; 2) delayed gyration; and 3) dilated extracerebral CSF space, as previously reported. In this study, the degree of extracerebral CSF space was determined with a measurement of interhemispheric distance between the crowns of the superior frontal gyri at the same section for measurement of biparietal width (Fig 2).

**Deep GM and Cerebellar Abnormality**

New criteria for deep GM and cerebellar abnormalities were defined. These scores are a composite of signal abnormality (Fig 1) and volume reduction (Fig 2). Deep GM volume was assessed with a measurement of deep GM area on a single axial section on which the caudate heads, lentiform nuclei, and thalami were maximally visible. Cerebellar volume was categorized with a measurement of transcerebellar diameter according to the definition in a previous report. Because the deep GM area and transcerebellar diameter correlate positively with postmenstrual age on MR imaging, they were corrected by use of equations analogous to that above.

The total cerebral WM score was categorized into 4 grades: no (total score, 0–2), mild (total score, 3–4), moderate (total score, 5–6), or severe (total score, ≥7) WM abnormality. Total cortical GM, deep GM, or cerebellar scores were also categorized into 4 grades: no (total score, 0), mild (total score, 1), moderate (total score, 2), and severe (total score ≥3). Finally, a global brain abnormality score was calculated as the sum of these regional total scores and was classified as normal (total score, 0–3), mild (total score, 4–7), moderate (total score, 8–11), and severe (total score ≥12).

Besides the scoring items, we also noted the presence or absence of subependymal hemorrhage and intraventricular hemorrhage, grading them on the basis of the Papile classification (grade I–III). Cystic WM lesions were categorized as cystic periventricular leukomalacia, periventricular hemorrhagic infarction, or other conditions.

**Clinical Data**

All clinical variables were collected from maternal and infant hospital records. Chorioamnionitis was identified by use of clinical criteria consisting of maternal fever and/or elevated inflammatory markers on laboratory testing. Confirmed postnatal sepsis was defined as culture-positive sepsis. Necrotizing enterocolitis was defined according to the Bell criteria. Treated patent ductus arteriosus included pharmacologic and/or surgical treatment. Inotropic agents were used according to clinical needs for maintenance of systemic blood pressure. Postnatal steroids include hydrocortisone and dexamethasone.
**Statistical Analyses**

Data were analyzed with SPSS version 20.0 software (SPSS, Chicago, Illinois). Student $t$ test or analysis of variance was used when continuous parametric variables were compared between 2 or 3 groups. Mann-Whitney $U$ tests or Kruskal-Wallis tests were used to compare continuous nonparametric variables. $\chi^2$ tests were used with categoric variables. Simple linear regression analysis was used to obtain the slopes of the association between different measurements and postmenstrual age on MR imaging. Two-sided $P$ values $<.05$ were used to indicate statistical significance.

**RESULTS**

**Participants**

For the 97 VPT infants, the mean (SD) gestational age at birth was 26.7 (1.8) weeks, and mean birth weight was 949 (250) g. The VPT group included 6 small-for-gestational-age infants (birth weight of $\leq 2$ SD for gestational age), 43 male infants (44%), and 64 singletons (66%). In the 22 term-born infants, mean gestational age at birth was 39.1 (1.0) weeks, and mean birth weight was 3285 (508) g. The term group included 9 male infants (41%), and all of the infants were singleton with age-appropriate birth weight. The mean postmen-
strual age on MR imaging in VPT infants was younger than that in term-born infants (38.0 [1.5] weeks vs 39.4 [1.1] weeks; \( P < .001 \)).

**Brain Measurements in VPT and Term Infants**

In the VPT group, a linear association was found between postmenstrual age at scan and biparietal width (\( R = 0.41; P < .001 \); slope, 1.4 mm/week), deep GM area (\( R = 0.36; P < .001 \); slope, 0.26 cm\(^2\)/week), and transcerebellar diameter (\( R = 0.35; P < .001 \); slope, 0.83 mm/week), whereas no significant association was identified with interhemispheric distance, ventricular diameter, or callosal thickness. In contrast to the VPT group, no significant associations between postmenstrual age at scan and any brain measurements were observed in the term group.

Mean corrected biparietal width and corrected transcerebellar diameter were 72.4 (4.5) mm and 49.2 (3.3) mm in the VPT group, whereas biparietal width and transcerebellar diameter in the term group were 81.7 (4.7) mm and 52.6 (2.2) mm, respectively (both \( P < .001 \)). Mean corrected deep GM area was 9.7 (1.0) cm\(^2\) in the VPT group, whereas deep GM area was 10.5 (0.8) cm\(^2\) in the term group (\( P < .001 \)). Interhemispheric distance, ventricular diameters, and callosal thickness were also different between the VPT group and the term group (3.6 [1.7] mm vs 2.6 [1.1] mm in interhemispheric distance, \( P = .001 \); 7.6 [1.8] mm vs 6.2 [1.4] mm in right ventricular diameter, \( P = .001 \); 7.9 [2.2] mm vs 6.3 [0.8] mm in left ventricular diameter, \( P < .001 \); 1.8 [0.4] mm vs 2.3 [0.6] mm in the genu, \( P = .002 \); 1.4 [0.3] mm vs 1.6 [0.2] mm in the body, \( P = .004 \); and 2.3 [0.6] mm vs 2.7 [0.5] mm in the splenium of the corpus callosum, \( P = .011 \)).

**Prevalence of Each Finding in the Cerebral WM in VPT and Term Infants**

Table 1 shows the prevalence of abnormalities in the cerebral WM. For VPT infants, 7 infants (7%) had cystic lesions, whereas 20 infants (21%) had noncystic signal abnormalities in the WM. Myelination delay, thinning of the corpus callosum, dilated lateral ventricles, and volume reduction were also common in the VPT group. For the total WM score, 38 infants (39%) were categorized as having moderate to severe abnormalities.

Table 2 shows the prevalence of each MR imaging finding in the cortical GM, deep GM, and cerebellum. Signal abnormality was rare in the cortical GM (\( n = 0 \)) and deep GM (\( n = 5 \) [5%]), but it was common in the cerebellum (\( n = 23 \) [24%]) in VPT infants. Except for 1 cystic lesion in the pulvinar of the right thal-
Table 4: Perinatal variables and grade of global brain abnormality in VPT infants

| Variables                          | Normal (Score 0–3) n = 18 | Mild (Score 4–7) n = 45 | Moderate (Score 8–11) n = 21 | Severe (Score ≥12) n = 13 | P Value |
|-----------------------------------|----------------------------|-------------------------|-------------------------------|---------------------------|---------|
| Gestation at birth (weeks)        | 27.4 (15.5)                | 26.9 (16.6)             | 25.9 (17.9)                   | 26.2 (2.5)                | .03     |
| Birth weight (g)                  | 1067 (223)                 | 992 (235)               | 789 (183)                     | 897 (305)                 | .002    |
| Birth weight < −2 SD              | 1 (6%)                     | 3 (7%)                  | 1 (5%)                        | 1 (8%)                    | .99     |
| Male sex                          | 9 (50%)                    | 22 (49%)                | 6 (29%)                       | 6 (46%)                   | .43     |
| Singleton                         | 9 (50%)                    | 13 (29%)                | 6 (29%)                       | 5 (39%)                   | .40     |
| Critical Risk Index for Babies    | 1 [1–4]                    | 2 [1–5.5]               | 4 [1.5–7.5]                   | 4 [1–9]                   | .06     |
| Days of intubation                | 1 [0.75–2.25]              | 2 [1–8.5]               | 4 [1–28]                      | 21 [3.5–52.5]             | .004    |
| Oxygen at 36 weeks                | 5 (28%)                    | 23 (51%)                | 12 (57%)                      | 9 (69%)                   | .12     |
| Postnatal steroid use             | 0                          | 9 (20%)                 | 10 (48%)                      | 7 (54%)                   | .001    |
| Inotrope use                      | 1 (6%)                     | 12 (27%)                | 11 (52%)                      | 9 (69%)                   | <.001   |
| Patent ductus arteriosus          | 5 (28%)                    | 14 (31%)                | 11 (52%)                      | 9 (69%)                   | .04     |
| Total parenteral nutrition        | 10.5 [9–16.5]              | 16 [12.5–26.5]          | 29 [14.5–47]                  | 25 [10–36]                | .004    |
| Chorioamnionitis                  | 5 (28%)                    | 19 (42%)                | 9 (45%)                       | 4 (31%)                   | .61     |
| Confirmed sepsis                  | 2 (11%)                    | 10 (22%)                | 9 (43%)                       | 8 (62%)                   | .007    |
| Necrotizing enterocolitis         | 0                          | 2 (4%)                  | 3 (14%)                       | 2 (15%)                   | .19     |

Note: Data are mean (SD), number (%), or median [lower-upper quartile]

amus (Fig 1H), all of the other findings with signal abnormalities in the deep GM and the cerebellum corresponded to hemorrhagic lesions (ie, associated with shortened T1 and T2 relaxation time constants). Moderate to severe abnormalities in the cortical GM, deep GM, or cerebellum were observed in 16 infants (16%), 11 infants (12%), or 32 infants (33%), respectively.

In contrast, none of the 22 term-born infants were classified as being in the moderate-to-severe category in any brain region. One term infant was classified as being in the mild category in the total WM score; 2 each were categorized as being in the mild category in the cortical GM score, deep GM score, and cerebellar score.

### Structural Correlation between WM Injury and Deep GM or Cerebellar Abnormality

Of the 7 infants with cystic WM lesions, 3 were diagnosed with cystic periventricular leukomalacia and 4 with periventricular

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Table 2: Prevalence of infants with each item in GM or cerebellum score (VPT infants/term infants)

| Variables | Score 0 | Score 1 | Score 2 | Score 3 | Score 4 |
|-----------|---------|---------|---------|---------|---------|
| Cortical GM Signal abnormality | None (97/22) | Focal unilateral (0/0) | Focal bilateral (0/0) | Extensive unilateral (0/0) | Extensive unilateral (0/0) |
| Gyral maturation | Delay < 2 weeks (96/22) | 2 ≤ delay < 4 weeks (1/0) | Delay ≥4 weeks (0/0) | |
| Increased extracerebral space | IHD < 4 mm (61/20) | 4 mm ≤ IHD < 5 mm (20/2) | 5 mm ≤ IHD < 6 mm (8/0) | IHD ≥6 mm (8/0) |

Table 3: Effect of WM injury or intraventricular hemorrhage on deep GM or cerebellum in VPT infants

| Variables | Deep GM | Cerebellum |
|-----------|---------|------------|
| Signal Abnormality n (%) | Corrected Deep GM Area Mean (SD) | Signal Abnormality n (%) | Corrected Transcerebellar Diameter Mean (SD) |
| WM injury | Yes (n = 23) | 4 (77) | 9.4 (1.2) | 7 (30) | 49.0 (2.8) |
| No (n = 74) | 1 (1.4) | 9.9 (0.9) | 16 (22) | 49.3 (3.4) |
| Intraventricular hemorrhage | Yes (n = 31) | 3 (9.7) | 9.4 (0.9) | 12 (39) | 48.2 (3.5) |
| No (n = 66) | 2 (3) | 9.9 (1.0) | 11 (37) | 49.7 (3.0) |

Note: cDGMA indicates corrected deep GM area (cm²), cTCD, corrected transcerebellar diameter; IHD, interhemispheric distance.

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**Note:**
- Table 2: Prevalence of infants with each item in GM or cerebellum score (VPT infants/term infants).
- Table 3: Effect of WM injury or intraventricular hemorrhage on deep GM or cerebellum in VPT infants.
- Table 4: Perinatal variables and grade of global brain abnormality in VPT infants.
hemorrhagic infarction. All 7 infants were categorized as having moderate or severe WM abnormalities, whereas 20 infants with noncystic focal WM signal abnormalities varied in the total WM score according to differences in items related to volume. These cystic or focal WM signal abnormalities were associated with a reduced deep GM area but not with cerebellar abnormalities. On the other hand, intraventricular hemorrhage and/or periventricular hemorrhagic infarction were observed in 31 VPT infants (grade I \(n = 8\), grade II \(n = 18\), grade III \(n = 1\), periventricular hemorrhagic infarction \(n = 4\)) and were associated with cerebellar signal abnormalities and volume reduction (Table 3).

**Risk Factors for Grades of Global Brain Abnormality Score**

For the global brain score in VPT infants, 18 infants (19%) were categorized as normal, 45 (46%) as mild, 21 (22%) as moderate, and 15 (13%) as severe. All term-born infants were categorized as normal. Gestational age at birth and birth weight correlated with the grade of global brain abnormality, but postmenstrual age at scan did not \((P = .99)\). Multiple clinical risk factors were associated with worsening severity of global brain abnormalities, including days of intubation, postnatal corticosteroid use, demand for inotrope, treated patent ductus arteriosus, days of parenteral nutrition, and confirmed sepsis (Table 4).

**DISCUSSION**

Our study applies a new scoring system for conventional (T1- and T2-weighted) MR imaging to define the nature and extent of regional and global brain abnormalities in the VPT infant at term-equivalent postmenstrual age. It extends the analysis of cerebral structures and incorporates objective measures of brain growth. Although primary injury, best defined by signal abnormalities on MR imaging, is important, impaired brain growth at term-equivalent postmenstrual age is also an important sequela of preterm birth. Impaired brain growth can result from secondary degeneration after injury and/or impairments in typical development that may result from poor nutrition\(^9\) or other adverse exposures, including postnatal corticosteroids\(^9\) and stress.\(^21,22\)

Although the cerebral WM is a commonly injured area in the preterm infant because of its maturation-specific vulnerability, there is increasing recognition of brain injury beyond the cerebral WM. Recent investigations suggest that the deep GM and the cerebellum are also vulnerable to stresses encountered during the second to third trimesters. Histopathologic studies reveal that neuronal loss and gliosis occur within the thalamus of 40%–60% of VPT infants and in the cerebellum of 30%.\(^6\) Our present study confirms that VPT infants have a high prevalence of primary brain injury in multiple regions including, but not limited to, the WM (24%). A similar prevalence of injury was found in the cerebellum (24%). Of note, no correlation was observed between the occurrence of WM and cerebellar injuries, indicating potentially independent pathologic pathways. Although the pathologic mechanism(s) of cerebellar hemorrhage remain uncertain, germinal matrix bleeding within the subpial external granular cell layer has been considered.\(^23\) Indeed, the etiologic factors reported for cerebellar hemorrhage are similar to those in intraventricular hemorrhage, including extreme prematurity, fetal distress, increased venous pressure, and impaired autoregulation of cerebral flow.\(^24\) In contrast, the pathogenesis for WM injury includes arterial hypoperfusion, systemic infection/inflammation, and the intrinsic vulnerability of preoligodendrocytes.\(^7\) Thus, considering its relatively high prevalence and likely etiologic differences from WM injury, a systematic assessment of cerebellar injury should be included in evaluations of the effect of preterm birth.

Using objective measurements, our study further demonstrates that each brain structure is reduced in size in the VPT infant assessed at term-equivalent postmenstrual age. Studies with 3D volumetric MR imaging methods demonstrate that preterm children have reduced volume in the cerebral WM,\(^25,26\) cortical GM,\(^26,27\) deep GM,\(^28\) and cerebellum,\(^29,30\) and such volume reductions correlate with neurodevelopmental disabilities.\(^26,27,30\) During the second to third trimesters, all brain structures are rapidly expanding. Such volume expansion is driven by the maturational processes of axon extension, dendrite elaboration, synaptogenesis, glial proliferation, and glial maturation. Widespread injury may have a particular effect on the thalamus. Thalamocortical neurons project to all parts of the cortical GM, and in turn, corticothalamic axons reach targeted thalamic neurons by term-equivalent postmenstrual age. Appropriate growth of the thalamus could be impaired by a primary insult, such as ischemia or hemorrhage, or by the secondary effects of degeneration or deafferentation caused by WM and/or cortical GM injury.\(^21\) Thus, deep GM volume may, in effect, integrate the consequences of injury to the WM and cortical GM, thereby more fully defining the effect of overall abnormalities\(^32,33\) and reflecting the global nature of disturbances in brain development.

The scoring system that we have developed in our study helps to comprehensively define the complicated nature of brain abnormalities and to stratify the severity of brain abnormalities in the VPT infant. The grade of global brain abnormality defined with this scoring system was associated with clinical risk factors, including low systemic blood pressure, prolonged hypoxia, infection/inflammation, nutritional challenges, and drug therapies. Each of these causal factors is known to have a tight relationship to injury and/or disturbances of brain growth.\(^34-36\) This cohort of VPT infants has not yet completed neurodevelopmental assessment at age 2 years, but an evaluation of the relationship between these scores and short-term outcomes would provide further validation.

Qualitative assessment of brain volumes is challenging, and the reference standard for quantitative measurement of volumes is 3D volumetry. Although the MR imaging data used for our study are of sufficiently high spatial resolution \((1 \times 1 \times 1 \text{ mm}^3)\) to use a volumetric approach, we chose instead to use simple 1D or 2D brain metrics to indicate volumes. This was because 3D volumetric data are not readily available in clinical practice because of computational requirements and the relatively lower spatial resolution of typical clinical images. The use of simpler brain metrics will make this scoring system more widely available. Furthermore, the brain metrics that we used show reasonable correlations with 3D volumetric measurements,\(^2,5\) and several of the regional metrics used in our study have been shown to predict subsequent motor or cognitive outcomes in the preterm population.\(^37,38\)

**CONCLUSIONS**

We have developed a scoring system for conventional MR images that provides a comprehensive and objective characterization of
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