Laser irradiated vortex fluidic mediated synthesis of luminescent carbon nanodots under continuous flow
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Carbon nanodots (CDs) with size dependent fluorescence are synthesized from multi-walled carbon nanotubes (MWCNTs) under continuous flow in a vortex fluidic device (VFD) when irradiated by a pulsed laser with a wavelength of 1064 nm, without subsequent passivation procedures. The CDs have a relatively narrow size distribution averaging ca. 6 nm in diameter, and have low cytotoxicity and high colloidal stability with the highest emission intensity of the solution at 450 nm under a 345 nm excitation wavelength. Further downstream processing on the as-processed CDs revealed tunability of the emission from 450 nm to 325 nm.

Introduction

Carbon nanodots (CDs) are carbon nanoparticles <10 nm in diameter, consisting of a graphitic structure or amorphous carbon core and carbonaceous surfaces, with rich oxygen-containing groups.1 CDs exhibit distinct properties, most notably strong quantum confinement and edge effects resulting in exceptional fluorescent characteristics.2 The unique functional properties and benign chemical composition have rendered CDs a promising new material for a broad range of applications including bioimaging,3 drug delivery,4 and optoelectronic devices.5 Various feedstocks have been used for producing CDs including single walled carbon nanotubes,6 carbohydrates,7 polyethylenimine,8 low molecular weight alcohols,9 graphite,10 and carbon soot.3 In general, the quantum yield of CDs varies dramatically, from 1.32% (ref. 11) up to around 43%,12 depending on the choice of carbon feedstock. A number of methods have been reported to prepare CDs within these dimensions, including chemical ablation, laser ablation,2 electrochemical carbonisation,9 arc-discharge pyrolysis,2 hydrothermal synthesis,12 and ultrasound and microwave-assisted pyrolysis.13 Laser ablation is a well-studied technique in synthesizing CDs from various carbon-based targets.14–16 The significant advantage of this technique over other methods is that the processing is relatively clean, with reduced byproduct formation.15 However, the potential of synthesizing CDs through laser ablation in liquids is limited by the scalability and gravitational settling of the raw material.15 Other potential issues using conventional laser ablation processing include controlling the crystallinity of the CDs,17 relatively long processing times,15 and the requirement for additional passivation reaction, for example long acid refluxing times.14,18

One of the most common methods of fabricating oxygen-containing CDs involves using a mixture of concentrated H₂SO₄ and HNO₃.19 However, this requires tedious purification procedures to remove the excess acid, and uses toxic and harsh chemicals. The catalytic activity of MWCNTs towards H₂O₂ decomposition (HPD) has been reported in a few studies, and the properties of the nanotubes post processing can be affected.20 A mixture of H₂O₂ and 1 M HCl or 96 wt% H₂SO₄ has been used for the oxidation and purification of carbon nanotubes (CNTs),21 with 15% H₂O₂ alone at 100 °C for 3 hours being effective in removing the end caps and shortening the length of the CNTs with concomitant surface
carboxylic group functionalization.\textsuperscript{22,23} Related to this is the degradation of graphene sheets using H\textsubscript{2}O\textsubscript{2}, with a time-dependant generation of randomly distributed nanometer-sized holes on the surface of the 2D material.\textsuperscript{24} There are no reports on the application of HPD in fabricating CDs in gaining access to particles with uniform morphology nor on laser-assisted continuous production of CDs where there is an emphasis on green chemistry metrics.

Given the issues discussed above, we embarked on developing a simple, more environmentally friendly, economical and controllable synthesis of CDs using the recently developed thin film microfluidic vortex fluidic device (VFD) coupled with NIR irradiation using a pulsed laser operating at 1064 nm, under continuous flow (Fig. 1a). The use of VFD mediated synthesis of CDs enhances the prospect of product homogeneity under plug flow and uniform irradiation and high shear stress (mechanoenergy), in overcoming most of the drawbacks of conventional laser ablation processing. Indeed, CDs processed using this rationale approach have better size homogeneity, as evidenced by the excitation-wavelength independent fluorescence emission.\textsuperscript{11}

The novel top-down reforming of nano-carbon reported herein uses MWCNTs as the feedstock. The controlled reforming of MWCNTs to CDs occurs under continuous flow in the presence of hydrogen peroxide (30% aqueous solution) as the oxidant and solvent, in dynamic thin films in the VFD under laser irradiation at 1064 nm. The unique fluidic dynamic properties of the VFD has been applied in a number of other applications, including lateral slicing of single, double and multi-walled CNTs despite their remarkably high tensile strength,\textsuperscript{25} intensified aqueous two phase separation,\textsuperscript{26} fabricating fluorescent nanoparticles,\textsuperscript{27} exfoliating graphite and boron nitride\textsuperscript{28} and fabricating intertwined single walled carbon nanotube rings.\textsuperscript{29} The optimum angle of tilt of the rapidly rotating glass tube in the VFD for all of these applications is 45°, and accordingly for the present work this angle was also used, using 20 mm O.D. borosilicate glass tubes, 18 cm in length. In the continuous flow mode, MWCNTs dispersed in solution using an in-house designed magnetic-assisted mixing system (Fig. 1b and c) were delivered via the jet feed to the bottom of the VFD tube and the high shear in the thin film as it whips up the tube,\textsuperscript{25} which improved the scalability of conventional laser ablation processing into effective disintegrating and exfoliating fragmented graphene sheets of the MWCNTs. Aqueous H\textsubscript{2}O\textsubscript{2} was the choice of solvent as an inexpensive, green and environmentally-friendly oxidant which produces high concentrations of hydroxyl free radicals under laser irradiation.\textsuperscript{30} Fabricated CDs exhibit luminescence with a quantum yield of 2.2%, consistent with derived from similar raw material.\textsuperscript{11}

**Results and discussion**

The optimized VFD operating conditions for fabricating CDs from MWCNTs while irradiated with a pulsed laser are θ 45° and rotational speed 7500 rpm at a flow rate of 0.45 mL min\textsuperscript{−1}. The absence of laser irradiation under the same conditions simply results in debundling of CNTs (Fig. S1a–c). To further decouple the effect of the VFD and the laser irradiation, a pulsed laser at the optimized power of 450 mJ was directed towards the CNTs dispersed in H\textsubscript{2}O\textsubscript{2} mixed using a magnetic stirrer in a quartz cuvette rather than in a VFD tube. This resulted in minimal conversion of the CNTs into CDs, with large bundles and aggregates of CNTs still present (Fig. S1d†).

In mapping out the optimized conditions for fabricating the CDs, as-processed samples were centrifuged at 1180 x g to remove any aggregates or bundled nanotubes before atomic force microscopy (AFM), following a previously reported procedure.\textsuperscript{25} Operating parameters of the VFD and laser were systematically varied under continuous flow, changing one parameter at a time en route to the optimised conditions. For rotational speeds below 6500 rpm at a 45° tilt angle, apart from the presence of large bundles, short length CNTs (about 300 nm) were observed after processing.

![Fig. 2](https://example.com/fig2.png)

**Fig. 2** Continuous flow VFD processing of MWCNTs (0.5 mg mL\textsuperscript{−1}, flow rate of 0.45 mL min\textsuperscript{−1}) under pulsed laser irradiation (1064 nm, 260 mJ) at 45° tilt and different rotational speeds. (a) 5000 rpm. (b) 6500 rpm. (c) 7500 rpm. (d) 8000 rpm. Samples were centrifuged at 1180 x g for 30 min after VFD processing and the supernatant was drop-casted on a silicon wafer for AFM imaging. The average dimension of as received MWCNT is O.D. × I.D. × L equivalent to 10 nm ± 1 nm × 4.5 nm ± 0.5 nm × 3–6 μm. An average of ten areas were randomly chosen for all AFM images, with 1–2 representative images presented in this figure (as for all AFM figures).
Continuous flow VFD processing of MWCNTs (0.5 mg mL\(^{-1}\), flow rate of 0.45 mL min\(^{-1}\), 7500 rpm) under pulsed laser irradiation (1064 nm, 450 mJ) at 45° tilt. (a) MWCNTs at 0.5 mg mL\(^{-1}\) without laser-VFD (control). (b) MWCNTs processed at 0.5 mg mL\(^{-1}\). (c) 0.25 mg mL\(^{-1}\). (d) 0.1 mg mL\(^{-1}\). (e) 0.01 mg mL\(^{-1}\). An increase in the sample concentration resulted in less CDs being formed, with poorer sample homogeneity relative to those prepared under the NIR laser (45°, 7500 rpm rotational speed) operating at 1064 nm (Fig. S6†).

Post-VFD processing, centrifugation improved the sample purity by removing the large bundled MWCNTs but this led to a significant loss of CDs to the pellet. For generating practical quantities of CDs, no centrifugation was applied. The conversion of MWCNTs to CDs could be further improved by lowering the starting material concentration from 0.5 to 0.1 mg mL\(^{-1}\) (Fig. 4d). A similar outcome was also reported for slicing of CNTs\(^{25}\) which was explained by the high concentration of CNTs disturbing the complex fluid dynamics of the thin film in the device. Two sequential continuous NIR laser-VFD cycles of the same sample (45°, 7500 rpm rotational speed, at 450 mJ laser power) further increased the conversion of the MWCNTs nanotubes to CDs (Fig. 4e). This was confirmed using photoluminescence (PL) where the intensity of the second-cycled CDs increased 11.8 times compared with one cycle processed material (Fig. S7†), but a reduction of CDs yield revealed when three or more cycles was carried out.

After two cycles of laser-VFD processing, Raman mapping was conducted over a particle enriched area (AFM confirmed) (Fig. 5a). The two Raman maps correspond to the map for D (1352 cm\(^{-1}\)) and the map for G (1594 cm\(^{-1}\)) bands (Fig. 5b). As shown, these spherical particles are graphitic with well-
matched signals for D and G bands. Single Raman spectrum (Fig. 5c) extracted from three randomly circled areas (Fig. 5b) show a typical graphitic spectrum with the D-band at 1352 cm\(^{-1}\) (1346 cm\(^{-1}\) for MWCNTs), and the G-band at 1594 cm\(^{-1}\) (1586 cm\(^{-1}\) for MWCNTs). This blue shift of the G-band to a higher frequency and the disappearance of 2D peak at 2682 cm\(^{-1}\) compared to as received MWCNTs (Fig. S1a) is consistent with the surface oxidation of the CD, as reported by Islam et al.\(^{34}\) for oxidized single layer graphene. The bandwidth of full width at half maximum (FWHM) significantly increased from 64 cm\(^{-1}\) (as received MWCNTs) to 93 cm\(^{-1}\) (CDs), which again is consistent with the oxidation state.\(^{32}\)

TEM and AFM established that the as-prepared CDs were quasi-spherical and showed an average height ca. 6 nm (from 3 to 13 nm) (Fig. 6). These are formed from fragmentation of 10 nm outer diameter MWCNTs, presumably involving exfoliation and agglomeration of small graphene sheets which have increased surface area and surface energy.\(^{33}\) High resolution TEM (HRTEM) gave 0.21 nm and 0.34 nm lattice spacings which correspond to the \{100\} and \{002\} planes of graphitic material.\(^{35}\) XRD of CDs had a broader peak at 2\(\theta\) 29.98\(^{\circ}\) and 50.13\(^{\circ}\) (weak) (Fig. 6d) which correspond to (002) and (101) atomic planes respectively for the hexagonal structured graphitic material.\(^{35}\) XRD peaks of as-received MWCNTs had peaks at 2\(\theta\) 29.98\(^{\circ}\) and 50.13\(^{\circ}\) (weak) (Fig. 6d) which correspond to (002) and (101) atomic planes respectively for the hexagonal structured graphitic material.\(^{35}\) XRD peaks of as-received MWCNTs had peaks at 2\(\theta\) 29.98\(^{\circ}\) and 50.13\(^{\circ}\) (weak) (Fig. 6d) which correspond to (002) and (101) atomic planes respectively for the hexagonal structured graphitic material.\(^{35}\) XRD results of CDs had a broader peak at 2\(\theta\) 29.04\(^{\circ}\), and their calculated interlayer \(d\)-spacing \((d_{002})\) is 0.34 nm which is in good agreement with the graphitic interlayer spacing.\(^{36}\) The broad and weak nature of the peak indicates the presence of some poorly crystalline carbon, which is consistent with the generation of oxygen containing groups during the processing.

The CDs obtained using the optimal processing conditions had good water solubility and colloidal stability, with little or no change in their optical properties over several weeks, and these are distinctly different from those of as-received MWCNTs (Fig. 7a). The CDs had a broad absorption spectrum with a tail extending into the visible region and this is attributed to the \(\pi-\pi^*\) transition of the conjugated C=C bond (205 nm) and \(n-\pi^*\) transition of C=O bond (250 nm), which is in good agreement with the findings of Lin et al.\(^{37}\) XPS established that the oxygen content increased significantly for as-received MWCNTs (1.54% oxygen content) of compared to CDs (18.7% oxygen content). The CDs were oxidized (C=C/C=C, 15.5% molar ratio), and deconvolution of the C 1s peak established atomic percentage of different types of C bonds - sp\(^3\) (C=C at 284 eV, 12.2% molar ratio), sp\(^3\) (C=C/C-H at 285.2 eV, 65.0% molar ratio), C=O (285.7 eV, 11.4%), O=C=O (289.4 eV, 10.7% molar ratio) and \(\pi-\pi^*\) interaction (shakeup, 290.9 eV) (Fig. 7b). The sp\(^3\) intensity is much stronger than the sp\(^2\) which confirmed the oxidation of the CDs relative to MWCNTs. FT-IR spectra of the CDs gave characteristic absorption peaks for –OH stretching, 3381 cm\(^{-1}\), and C=O stretching, ca. 1670 cm\(^{-1}\) (Fig. 7c). These findings agree with the XPS, XRD and HRTEM data. The formation of oxygen-containing functionality on the surface of the CDs during the laser-VFD processing accounts for their water solubility.

The scalability of the process was investigated by processing 50 mg of as received MWCNTs dispersed in 500 mL of \(\text{H}_2\text{O}_2\). Approximately 40% of starting material was converted to CDs, as deduced from residual material remaining in the syringe and the VFD tube post processing. The yield of dialysed CDs which showed negligible cytotoxicity (Fig. S14\(^{1}\)) was ca. 10%, based on the total amount of initial MWCNT. 2D-fluorescence maps of the CDs showed maximum excitation wavelength at 345 nm and an emission at 450 nm (blue in the visible region) (Fig. 8a) with the as-received MWCNTs showing no fluorescence. Drop-cast CDs showed UV-excitable (at 365 nm) characteristics under the fluorescence microscope (Fig. 8b). Two resolved photoluminescence (PL) emission peaks at 420 and 460 nm (Fig. 8c) which were
considered to be constant, meaning the emission is independent of the excitation wavelength (277–355 nm). Such excitation-independent PL emission is attributed to relative size uniformity, as previously noted for graphene quantum dots fabricated using microfluidisation. Fluorescence lifetime was analysed for both emission peaks under the excitation of a 377 nm pulsed laser (Fig. 8d). Both decay curves can be well fitted with a 3-component exponential model, which can be understood by the emission being an integration of at least three emissive sites (Fig. 8e). The fastest decay has a lifetime ($\tau_1$) about 1.4 ns, and the intermediate component has a lifetime ($\tau_2$) around 3 ns, while the slowest lifetime ($\tau_3$) is in the range of 8.5 to 9.0 ns. The lifetime results are consistent with a previous report which attributes the PL of CDs as arising from an integration of PL components from three types of emission centres, namely, $\pi^\rightarrow\pi^*$ and $\pi^\rightarrow\sigma^*$ transitions (emissions from functional groups dominate the blue side, corresponding to $\tau_1$), $\pi^\rightarrow\pi^*$ transition (emissions from aromatic core of the CDs, corresponding to $\tau_2$) and $\pi^\rightarrow\pi^*$-midgap states-$\pi^*$ transitions (emission normally on the red side dominated by the midgap states that are created by functional groups and defects, corresponding to $\tau_3$). Since the PL spectrum of CDs shows two distinctive peaks centred at 420 and 460 nm, respectively, PL lifetime analysis was carried out for each emission peak. The percentage of the longer lifetime component ($\tau_3$) of 460 nm emission is more than 13% higher than that of 420 nm emission, which indicates that the origin of 460 nm emission peak arises from stronger association with the surface functional group. Under both acidic (pH = 1) and alkaline conditions (pH = 12), PL of the CDs was quenched (Fig. S15†), with the emissive peak at 460 nm under neutral conditions (pH = 7) disappearing when the pH was adjusted either way, acidic or basic. This observation indicates that the emission peak at 460 nm is strongly associated with the surface functional groups, predominantly the $\text{COO}^-$ which is consistent with the XPS results. Either the H$^+$ or OH$^-$ cause the formation of non-radiative complexes with the surface functional groups of the CDs and lead to static quenching.

AFM, TEM, Raman, FT-IR, XPS and PL of the CD are consistent with the proposed structure shown in Fig. 9. This corresponds well with what has been proposed in most studies, with CDs having a graphitic core and an oxidized surface. Oxidation of the MWCNTs can occur at the ends of the nanotube or at defect sites on the sidewalls, which includes sp$^3$ hybridised defects, and vacancies between the nanotube lattice or dangling bonds. The surface functionalisation could be visually evaluated in terms of the solubility changes after the first laser-VFD cycle. Post-VFD processing, uncapped CNTs, nanometer-sized holes, shortened CNTs and disrupted side walls were evident (Fig. S11†). These could arise from oxidation of C-C bonds around initial defect sites. H$_2$O$_2$ may penetrate such defect sites, attacking the underlying C-C bonds causing further sidewall damage facilitated by laser irradiation. Similar effects were also observed with SWCNTs and DWCNTs (Fig. S12†). Raman spectroscopy of laser-VFD processed SWCNTs, DWCNTs and MWCNTs all showed significant increase in the $I_D/I_G$ ratio, which is consistent with an increase in functional groups on the sample surface. Overall, this solvent initiated layer-by-layer degradation in the presence of laser irradiation and mechanical energy input from the VFD are collectively responsible in the fabrication of CDs. Post-VFD processing, further tuning of fluorescence and chemical adoption is achievable (Fig. S16†). As-processed CDs (dispersed in H$_2$O$_2$ and ethanol (ratio of 1:1) were eluted through an adsorption column packed with molecular sieve and magnesium sulphate. Different fluorescence properties were observed. Additionally, CDs dispersed in H$_2$O$_2$ and ammonia (25%) (ratio 6:1) and heated at 60°C, as a variation of the method reported by Jiang et al., resulted in...
doping of N (1.46% XPS) but there was no change on the PL spectrum (Fig. S13†).

Conclusions

We have developed a simple and relatively benign method using a VFD to produce water soluble CDs with scalability incorporated into the processing. The optimum operating parameters correspond to a sample concentration of 0.1 mg mL\(^{-1}\), rotational speed of 7500 rpm, 0.45 mL min\(^{-1}\) flow rate, with a laser power of 450 mJ. The CDs exhibit excitation wavelength independent PL behavior with two distinctive emission peaks around 420 and 460 nm, being an integration of at least three emissive sites originated from the aromatic core, defects and functional groups. CDs are chemically reactive and could be potentially used for further chemical functionalisation. Importantly, VFD processing favours more product homogeneity in the dynamic thin film in the microfluidic platform, with product quality independent of the sample volume passing from the VFD. Future experiments will investigate the possibility of tuning the intrinsic fluorescence by controlling the size of CDs which is crucial for red-shifting of the excitation wavelength.\(^{31}\) This could involve the use of a plasma VFD which is effective in changing the morphology of graphene.\(^{40}\) Various catalytic peroxidase enzyme such as HRP and lignin peroxidase might be applicable for accelerating the degradation of nanotubes in the presence of \(\text{H}_2\text{O}_2\).\(^{24}\)

Experimental

MWCNTs (CAS 778340) with dimensions O.D. × I.D. × \(L\) equivalent to 10 nm ± 1 nm × 4.5 nm ± 0.5 nm × 3–6 \(\mu\)m were purchased from Sigma Aldrich, as chemical vapor deposition prepared material with an as-received purity ≥ 98%. 30% \(\text{H}_2\text{O}_2\) was purchased from Chem-supply (HA 154-2). Magnesium sulphate and Molecular sieves, 4 Å were purchased from Sigma Aldrich. Sample preparation involved the addition of the MWCNTs (10 mg) into a glass beaker containing 100 mL 30% \(\text{H}_2\text{O}_2\) (0.1 mg mL\(^{-1}\)), followed by bath sonication (~3 minutes) to afford a dispersion. The experimental setup mainly followed the previously published work for the VFD-mediated slicing of CNTs.\(^{22}\) The experiment was carried out in the continuous flow mode at a flow rate of 0.45 mL min\(^{-1}\). Stainless steel jet feeds were used to deliver the MWCNT suspension to the bottom of the rapidly rotating VFD borosilicate glass tube (O.D. 20 mm) inclined 45° relative to the horizontal position, as the so called title angle \(\theta\) of the device. The tube was simultaneously irradiated using a 5 nanosecond pulsed Q-switch Nd:YAG laser operating at 1064 nm, with an 8 mm diameter laser beam and a repetition rate of 10 Hz (Fig. 1a). The laser beam was directed to the middle of the tube for an optimal processing outcome. To ensure a stable homogeneous dispersion of MWCNTs in the aqueous \(\text{H}_2\text{O}_2\) during syringe pump delivery of the liquid to the rapidly rotating tube, an in-house designed magnetic-assisted mixing system was developed (Fig. 1c). The magnetic-assisted mixing during the delivery of the liquid to the VFD tube did not contribute to any length or morphological change of the MWCNTs (Fig. S1b†), but it did contribute to some oxidation/functionalisation of the sample.

CDs were characterized using SEM, AFM (Nanoscope 8.10 tapping mode), Raman spectroscopy (WiTec Alpha 300R \(\lambda_{\text{exc}} = 532\) nm and XploRA™ Horiba Scientific \(\lambda_{\text{exc}} = 532\) nm), X-ray photoelectron spectroscopy (XPS – Kratos Axis Ultra, Thermo Scientific, UK, with Monochromatic Al Kα X-rays), XRD (Bruker D8 ADVANCE ECO, Co-Kα, \(\lambda = 1.78892\) Å), infrared microscope ( Nicolet™ IT™10, Thermal Scientific), UV-vis spectrophotometer (Varian Cary 50), fluorescence spectrometer (Cary Eclipse, Agilent), Thermo Lumina fluorescence spectrometer and TEM (FEI Tecnai F20 operated at 200 kV). The quantum yield was measured by comparing the integrated fluorescence and absorbance of the sample with anthracene in ethanol as a reference. Photoluminescence measurements were performed using Edinburgh Instruments F980 Spectrofluorimeter, with details of the UV diodes provided in Table S3.† All diodes had columnated beams apart from the 355 nm one, which was not directional and emitted light in a cone-shape. The CD sample was mounted on a glass slide and imaged with Olympus AX70 fluorescence microscope.

Conflicts of interest

There are no conflicts to declare.

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