Instability Risk and Beam Profile Variation in Optical Ring Resonator due to Thin Gradient Index Lens

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Abstract

In this study, a simple ring resonator model in presence of thin gradient index (GRIN) lens is investigated to characterize the optical beam magnification quality beyond its traditional modalities. This model allow us to vary and control the limit of resonator stability more significantly. It consist of two folding arms and each arm can be realized by its cavity components. Insertation of thin GRIN lens (thickness < 9.3mm) in ring resonator, mainly in between first folding range gives the magnified output beams and meets the beam expander feature for the laser. Variation of GRIN lens thickness (L) is an emphatic and influencing parameter than its refractive index (n) to disturb the resonator stability. Resonator stability in Tangential (T) plane is relatively more sensitive than sagittal (S) plane. Vigorous magnification in optical beam size at the end of output range in a cavity is the noticeable consequences because of GRIN lens.

Keywords: optical ring resonator, GRIN lens, resonator stability, ABCD matrix, beam propagation microstrip

1. Introduction

Actually, optical resonator or cavity with different structure along with their gain is globally known as the integral part of laser and light interferometer. Wide application of resonator such as in quantum electrodynamic [1-2], enhancement of circulating power in resonator [3], in high-sensitivity laser spectroscopy and sensing [4-7], optical switching [8], photonic biosensor [9], in communication system [10] is gaining research popularity in physicists and engineers omnidirectionally. John E. Heebner et al. [11] has also developed the ring resonator theory for the measurement of optical transmission characteristic. But, historically, Fabry-Perot interferometer was the first optical resonator [12-14]. Other than it, Boyd et al. [15-16] modified and modelled the Fabry-Perot resonator with spherical mirrors first. Even though, several decades earlier, explanation and mathematical expression for Gaussian laser beam propagation has been given by Fox [17], Pierce [18], Goubau [19], Kogelnik [20] and by others. However, complete derivation for LASER resonator to achieve the stability is available in [21]. The light rays that bounce back and forth in between the resonator shows the focusing mechanism.

This paper presents a modified version of optical ring resonator supported by thin gradient index (GRIN). The Presence of GRIN lens improves output performance of the resonator including laser pumping, beam magnification and focusing mechanism under its proper parametric adjustment. Table 1 lists formulated ABCD matrix for used elements [22-23]. The Application of GRIN lens draws the novel anxiety into the possibilities and limitations of resonator stability and on its beam...
magnification property [24]. ABCD matrix has been also used to analyze the cavity. Resonator stability is decided if the condition is satisfied:

\[-1 \leq \frac{A + D}{2} \leq +1\]  

(1)

Consequently, light ray trajectory is close and bounded to optical axis after multiple number of round-trips. In ring resonator, round trip matrix can be calculated by multiplying the elemental ABCD matrix from reference element to first element of the model then after from last element to an element next to the reference.

Table 1 Elements and its ABCD matrix

| Element Description | ABCD Matrix |
|---------------------|-------------|
| Empty space         | \[
\begin{bmatrix}
1 & L \\
0 & 1
\end{bmatrix}
\] |
| Spherical Mirror    | \[
\begin{bmatrix}
1 & \frac{1}{2} \cos \alpha & 0 \\
-\frac{1}{r \cos \alpha} & 1 & 1
\end{bmatrix}
\] |
| Brewster Crystal plate | \[
\begin{bmatrix}
1 & \frac{1}{\sqrt{n^2+1}} \\
0 & 1
\end{bmatrix}
\] |
| GRIN Lens           | \[
\begin{bmatrix}
\cos \left( \frac{L n_2}{n_0} \right) & \frac{1}{\sqrt{n_2 n_0}} \sin \left( \frac{L n_2}{n_0} \right) \\
-\frac{\sqrt{n_2 n_0} \sin \left( \frac{L n_2}{n_0} \right)}{\sqrt{n_0}} & \cos \left( \frac{L n_2}{n_0} \right)
\end{bmatrix}
\] |

Here, refractive index(n) as a function of radial coordinate r is as:

\[n = n_0 - \frac{2}{9} n_0 r^2 \text{ [22]} \]

\[x^2 + y^2 = r^2\]

\[L=\text{Thickness of GRIN lens}, \]

\[n = \text{Refractive index of GRIN lens}, \]

\[n_r = \text{Radial variation in refractive index}\]

2. Modelling of Ring Resonator

The major components (elements) and schematic model of simple ring resonator has been shown in Fig. 1. It is made up of two spherical mirrors (M1, M2), one Brewster crystal plate (Cr1) and with a single piece of radial gradient index (GRIN) lens (F1). Here, thin Cr1 and thin GRIN lens (F1) has been used to maintain the resonator stability. In fact, total length \[L_0=1300 \text{mm} + 75 \text{d1} + 1.262 \times 1.3 \text{Cr1} + 50 \text{d2} + 0.1 \times 1.5 \text{F1} + 35 \text{L1} = 1460.80 \text{mm}\] is known as the resonator or cavity length and found to be 1460.80 mm. Mathematically, this length of cavity is the sum of individual elemental length. By assumption, beam characteristics are evaluated and tested at 1550 nanometer (nm) wavelength by software simulation process.

The main objective of the Cr1 in the resonator is to compensate the astigmatism, whereas, addition of GRIN gives optical beam magnification that is justified by its performance. The technical specifications of all components are listed in Table 2.

Table 2 The technical specifications of components

| S. No | Elements | Specifications |
|-------|----------|---------------|
| 1     | Empty space “L0” (output radius) | \[L0=1300 \text{mm}\] |
| 2     | Spherical mirror “M1” and M2 | Radius of curvature (R)=150 mm, \[\alpha=10^\circ\] |
| 3     | Empty space “d1”, “d2” and “L1” | \[d1=74 \text{mm}, d2=50 \text{mm}, \text{ and } L1=35 \text{mm}\] |
| 4     | Brewster crystal plate (Cr1) | Crystal width (L)=1 \text{mm}, Refractive index (n)=1.3 |
| 5     | GRIN lens (F1) | Thickness=0.1 \text{mm}, Refractive index (n)=1.5 |
Proper inclination without any deviation is given to the spherical mirrors M1 and M2 so that it is matched with beam incidence angle ($\alpha$) to ensure maximum capturing of beam. Therefore, the complete round trip matrix ($M_0$) for the proposed cavity model (by considering $L_0$ as reference point) will be as:

$$M_0 = L_0 \cdot M_2 \cdot L_1 \cdot F_1 \cdot d_2 \cdot Cr_1 \cdot d_1 \cdot M_1$$  \hspace{1cm} (2)

where $L_0, L_1, M_1, M_2, F_1, d_1, d_2, Cr_1$ represent the elemental ABCD matrix that has been calculated as per its specific values and recorded in Table 3.

| Elements | T-plane matrix | S-plane matrix |
|----------|----------------|----------------|
| $L_0$    | $\begin{bmatrix} 1 & 1300 \\ 0 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 1300 \\ 0 & 1 \end{bmatrix}$ |
| $M_2$    | $\begin{bmatrix} -0.014 & 0 \\ 1 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 0 \\ -0.013 & 1 \end{bmatrix}$ |
| $L_1$    | $\begin{bmatrix} 1 & 35 \\ 0 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 35 \\ 0 & 1 \end{bmatrix}$ |
| $F_1$    | $\begin{bmatrix} 1 & 0.067 \\ -0.001 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 0.067 \\ -0.001 & 1 \end{bmatrix}$ |
| $d_2$    | $\begin{bmatrix} 1 & 50 \\ 0 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 50 \\ 0 & 1 \end{bmatrix}$ |
| $Cr_1$   | $\begin{bmatrix} 1 & 0.574 \\ 0 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 0.97 \\ 0 & 1 \end{bmatrix}$ |
| $d_1$    | $\begin{bmatrix} 1 & 74 \\ 0 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 74 \\ 0 & 1 \end{bmatrix}$ |
| $M_1$    | $\begin{bmatrix} 1 & 0 \\ -0.014 & 1 \end{bmatrix}$ | $\begin{bmatrix} 1 & 0 \\ -0.013 & 1 \end{bmatrix}$ |

Hence, by using Eq. (1) and recorded value of individual elemental ABCD matrix as Table 3, the complete round trip matrix in terms of ABCD matrix for proposed resonator in tangential (T) and sagittal (S) plane are respectively ($L_0$ has been taken as reference).

$$M_T = \begin{bmatrix} 16.77 & -1323.23 \\ 0.201 & -15.83 \end{bmatrix}$$  \hspace{1cm} (3)

$$M_S = \begin{bmatrix} 15.29 & -1246.96 \\ 0.189 & -15.36 \end{bmatrix}$$  \hspace{1cm} (4)

where, $M_T = $ round trip matrix in T plane, $M_S = $ round trip matrix in S plane

### 3. Simulated Results and Analysis

#### 3.1. Effect of gradient Index (GRIN) lens on Stability

Normal stability condition (Eq. (1)) is considered to decide the stability region in tangential (T) as well as in sagittal plane (S). Plotted stability graph for respective GRIN lens-parameters and $Cr_1$ plate parameters confine the restricted boundary condition under which back - forth optical pulse oscillation takes place without outward bouncing in a cavity. Simulated graph as in Fig. 2 illustrates that resonator stability is very sensitive toward GRIN lens thickness. A small increment in thickness variation may lose the system stability. For proposed model, thickness should be less than 0.38mm in order to sustain stability.

Fig. 3 (a) and Fig. 3(b) are the stability variation plot with respect to refractive index($n$) variation of GRIN lens. This can be easily understood that refractive index variation of GRIN lens does not alter the state of stability in both plane observation
Fig. 2 Stability dependency on GRIN length (L)

Fig. 3 Stability variation graph with variation of GRIN refractive index (n)

Fig. 4 Impact of Brewster crystal thickness (L) on stability variation

(T, S). Because slight and unpredictable stability variation is found in T plane (From 0.746 to 0.741) as well as in S plane (from -0.030 to -0.035) with respect to large variation in refractive index starting from 1 to 2.5.

However, Cr1 thickness play a vital role in stability governance. Here, it is more interesting to note that Cr1 shows the satisfactory stability performance for both planes, if and only if,

\[
\text{thickness of } \text{Cr1} \leq 9.32 \text{mm (in presence of GRIN)} \quad (5)
\]

\[
\text{thickness of } \text{Cr1} \leq 13.43 \text{mm (in absence of GRIN)} \quad (6)
\]

Otherwise, resonator may lose stability. Therefore, present of GRIN lens in modelled resonator brings the resonator close to instability region. Fig. 4(a) and Fig. 4(b) illustrates the Cr1 thickness limitation to support stability of resonator.

Furthermore, as far as the refractive index (n) of Cr1 is concerned, its variation does not change the state of stability more significantly, either in the presence or the absence of GRIN. Almost similar plot as drawn in Fig. 5(a) and Fig. 5(b) reveals that
a slight change in stability is observed if refractive index (n) changes and goes only up to 2.5, afterwards, stability becomes constant in both plane (T, S). It is noteworthy to clear that stability region in between -0.1 to +0.5 in presence of GRIN lens or in between -0.30 to +0.30 in absence GRIN shows that change in refractive index (n) of Cr1 does not affect seriously.

![Stability dependency on Cr1 refractive index (n) in presence of GRIN lens](image1)

![Stability dependency on Cr1 refractive index (n) in absence of GRIN lens](image2)

Fig. 5 Impact of refractive index (n) of Brewster crystal on stability variation

3.2. Gaussian beam propagation and beam magnification

In previous section, it was proved that stability of the cavity in both plane (T and S) does not depend upon refractive index (n) of GRIN lens(F1) and refractive index (n) of Brewster crystal plate (Cr1). The ABCD matrix for respective, spherical mirrors, Brewster plate and GRIN lens are independent to its position inside the cavity except empty space. However, this ABCD matrix is useful to calculate the beam parameter throughout the cavity.

In most cases, it pre-assumed that laser beam propagation has ideal Gaussian intensity profile, even-though it is not true in real time. However, we will assume theoretical properties of Gaussian beam with quality factor, M\(^2\)=1 (It is known as “M-squared” factor). The beam radius variation in entire cavity in presence and in absence GRIN lens are shown in Fig. 6(a) and Fig. 6(b) respectively.

![Beam radius throughout the cavity in presence of GRIN lens](image3)

![Beam radius throughout the cavity in absence of GRIN lens](image4)

Fig. 6 Beam radius variation throughout the cavity

Here, it is more interesting to comment that there is abrupt contraction in beam radius in between both spherical mirrors (M1, M2) in both cases. Larger beam radius is found at the interface of spherical mirrors and beam exit end (L0=0) of the cavity. Additionally, sudden beam increment can be also seen at the Brewster crystal surface in tangential plane. Because when beam passes through it, refracted beam size in tangential plane increased due to increase in refractive index. Therefore, it is clear from Fig. 6(a) and Fig. 6(b) that inclusion of GRIN lens in between Brewster crystal plate and M2 (it is also called first folding range) in ring resonator provides the magnified beam to the respective output range (L0). Referring to Fig. 6(a), it is found that minimum beam radius across L0 is 243 micrometer (um) that is found at 577.77 mm location. Hereafter, a small increment in beam radius exists up to 722.22 mm distance and finally it becomes 295.43 um.
Nevertheless, in absence of GRIN lens, the beam variation in between 577.77 mm to 722.22 mm length across L0 is almost zero and found constant. However, this segment (577.77 mm to 722.22 mm) shows a drastic change in wavefront curvature radius in S plane. Thus, recorded data shows that (in S plane in presence of GRIN)

$$|\text{wave front radius}|_{at \ L_0=577.77\ mm} = -2396.46\ mm$$

(7)

$$|\text{wave front radius}|_{at \ L_0=722.22\ mm} = +1537.95\ mm$$

(8)

Therefore, Fig. 7(a) and Fig. 7(b) reveals that in S plane, abrupt and large transition in wavefront radius between two point predicts slow and small radius variation at that point and vice versa. It must be also recorded that the contraction of beam radius yields negative and smaller wavefront curvature radius, whereas beam radius expansion generates positive and larger wavefront curvature radius.

\(\begin{align*}
\text{(a) Wavefront radius variation throughout the cavity in presence of GRIN lens} \\
\text{(b) Wavefront radius variation throughout the cavity in absence of GRIN lens}
\end{align*}\)

Fig. 7 Wavefront radius variation throughout the cavity

Alternatively, sudden and unexpected wavefront radius transition can be also observed at \(L_0=1300\) mm (in S plane) at which wavefront radius of +860.81 mm becomes to -83.54 mm.

Relatively, cavity without GRIN lens that produces smaller beam spot across L0, generates larger wavefront curvature radius corresponding to it. For example, beam wavefront radius (in S plane)

$$|\text{wave front radius}|_{at \ L_0=577.77\ mm} = +2794.87\ mm$$

(9)

$$|\text{wave front radius}|_{at \ L_0=722.22\ mm} = +2794.87\ mm$$

(10)

Similarly, at the interface of M1 (\(L_0=1300\) mm) another abrupt change in wavefront radius is found from +952.51 mm to -82.77 mm.

4. Conclusions

In this paper, we have investigated the impact of GRIN lens on resonator stability and beam characteristics in optical ring resonator. Designed resonator has the cavity length and inter-mode-beat frequency of 1460.80 mm and 205.226 MHz respectively. Inter-mode-beat frequency reflects the pulse repetition rate of 205.226 MHz that estimates the theoretical round-trip distance of 1461.80 mm inside the cavity. A concise comparison with the commonly used ring resonator with same cavity length is also performed.

Referring to Fig. 3 and Fig. 4, it can be concluded that placing of thin GRIN lens in first folding range (in between Cr1 to M2) achieves the beam size magnification across output range (L0) without altering the stability of ring resonator. Larger beam
magnification (around 1.7 times) is recorded at the end of L0 in T plane. Thickness variation of GRIN lens disturb the resonator stability more vigorously in T plane if it is not designed properly. Nevertheless, its application as beam expander may correspond easy portability with other optical devices rather than Keplerian and Galilean beam expander (Keplerian and Galilean beam expander are unportable for laser beam)

Conflicts of Interest

The authors declare no conflict of interest.

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