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Patterns without Patches: Hierarchical Self-Assembly of Complex Structures from Simple Building Blocks

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ABSTRACT Nanoparticles with “sticky patches” have long been proposed as building blocks for the self-assembly of complex structures. The synthetic realizability of such patchy particles, however, greatly lags behind predictions of patterns they could form. Using computer simulations, we show that structures of the same genre can be obtained from a solution of simple isotropic spheres, with control only over their sizes and a small number of binding affinities. In a first step, finite clusters of well-defined structure and composition emerge from natural dynamics with high yield. In effect a kind of patchy particle, these clusters can further assemble into a variety of complex superstructures, including filamentous networks, ordered sheets, and highly porous crystals.

KEYWORDS: self-assembly · computer simulation · nanoparticles · patchy particles · DNA programmed self-assembly · clusters

Living systems create and maintain their functional microscopic organization through self-assembly, the spontaneous arrangement of an initially unordered collection of biomolecular building blocks. Mimicking this behavior in the laboratory with synthetic components has proven to be a formidable challenge. A close look at the agents of self-assembly in living systems reveals a key aspect of the problem: Most biomolecular objects interact through directionally specific forces, so-called “patchy” interactions. Indeed, computer simulations of model nanoparticles with attractive patches have recapitulated much of the richness of nature’s self-assembled structures.1–6 Synthetic nanoparticles with controlled patchiness, however, are largely unavailable in the laboratory, although impressive progress has been made in specific cases.7–10

In this letter, we consider a pragmatic question, though from a theoretical perspective: Using only nanoparticle synthesis and functionalization techniques that are standard today, can self-assembled patterns be realized that share the complexity achieved by biology’s (and simulators’) patchy components? In particular, we devise and demonstrate numerically a hierarchical strategy for this purpose, which assumes control only over a few energies of interaction between spherical particles, as well as their size. Such control should be feasible in practice given well-established procedures to decorate the exterior of nanoparticles with double-stranded DNA.

Our scheme begins with a dilute solution of spherical particles, of several types, that interact isotropically and over short distances. With appropriate choice of the sizes and binding affinities of these particles, we show that a nearly uniform population of “metaparticles” can emerge: tightly bound clusters, comprising a handful of spherical monomers, with defined composition and internal structure, as illustrated in Figure 1a. These objects constitute a kind of patchy nanoparticle, with nontrivial shape and an anisotropic arrangement of monomers that can subsequently serve as sites for effectively directional interaction. In the second stage of our scheme, the emergent patchiness of metaparticles is exploited to...
spontaneously generate large-scale superstructures, some of which are highly ordered and reminiscent of biological assemblies.

RESULTS AND DISCUSSION

The types of spherical monomers we have in mind are distinguished from one another by the strength of their interactions with other monomers. Specifically, the potential energy of two monomers, of types A and B, separated by a distance \( r \) is \( u_{\text{rep}}(r) + \varepsilon_{\text{AB}}\delta_{\text{int}}(r) \). The steric repulsion \( u_{\text{rep}} \) enforces volume exclusion, strongly penalizing separations below a threshold value, \( r \leq \sigma \). At distances near contact, the attractive potential \( u_{\text{int}} \) provides a favorable energy, \( u_{\text{int}}(r) \approx -1 \) for \( r \leq \sigma + w \), and attenuates rapidly for \( r > \sigma + w \). The specific forms of these potentials are not important, only that \( u_{\text{rep}} \) sets a well-defined particle diameter \( \sigma \) and that \( u_{\text{int}} \) acts over a short-range \( w \ll \sigma \). [We consider only attractive interactions with \( \varepsilon \geq 0 \). Negative values of \( \varepsilon \) effectively increase the size of monomers. Because of the short-range of interactions, this modification is subtle and has little effect on assembly dynamics.] (See Methods for the specific pair potential used in our simulations.) Colloidal nanoparticles with surface-grafted DNA molecules provide one experimental realization of this system, in which the complementary sequences of DNA strands attached to monomers A and B encode the strength \( \varepsilon_{\text{AB}} \) of their attraction.11–13

**Metaparticle Assembly.** Building finite-sized metaparticles from a macroscopic collection of such monomers is not a trivial matter.14–16 If, say, attractions among monomers A, B, C, and D provide the cohesive energy maintaining the integrity of an ABCD cluster, then additional monomers of these four types will tend to bind at the cluster’s surface. Lacking constraints on monomer valency, it is not clear how to design against unbound growth of a close-packed crystal. Indeed, an extensive search through possible combinations of binding affinities did not yield self-limiting growth of small clusters in computer simulations.

To prepare metaparticles, we instead adopted an approach devised to build finite clusters of identical particles,17–19 modified in such a way that different monomer types can be incorporated. Here, cluster size and geometry are dictated by introducing an additional kind of particle with smaller diameter \( \sigma_{\text{glue}} < \sigma \). This “glue particle” attracts all other monomers strongly (with contact energy \( \varepsilon_{\text{glue}} \)) and over short-range (\( w_{\text{glue}} \)). For appropriate size combinations, the propensity to maximally coordinate each glue particle determines with great precision the structure of its shell of monomers, as illustrated in Figure 1b. Of the many convex polyhedra that can be obtained in this way (denoted \( C_n \), where \( n \) is the number of shell particles), we focus exclusively on triangles \( (C_3) \), tetrahedra \( (C_4) \), and octahedra \( (C_6) \), illustrated in Figure 1a. Unlike larger shapes, we can control the arrangement of monomer types within these shells through the set of attraction strengths \( \varepsilon \equiv \{\varepsilon_{\text{AA}}, \varepsilon_{\text{AB}}, \ldots\} \), as discussed below.

The only threat to self-limiting growth in this scenario is the possibility that two glue particles bind to overlapping sets of shell monomers. This errant growth can be made irrelevant by working at low concentration of glue particles. Alternatively, they could be endowed with longer-range repulsions. Our simulations follow the latter approach, with glue particles repelling one another through a screened Coulomb interaction (see Methods).

The crux of making well-defined metaparticles lies in dictating the identities of monomers at each vertex of the shell. Out of the many possible shell compositions, illustrated for \( C_4 \) clusters in Supporting Figure 2, one must be represented with dominant statistical weight. As one challenge to this task, \( \varepsilon_{\text{glue}} \) must be sufficiently weak that binding is reversible, so that inevitable mistakes in cluster composition can be

Figure 1. Self-assembly of metaparticles. (a) Three example metaparticles that can be prepared with high yield through appropriate choices of attraction strengths and glue particle size. (b) Strategy for controlling cluster size and composition. Purple contact points indicate strong attraction between glue particles (yellow) and monomers [pink (A), orange (B), and blue (C)], which dictates metaparticle size and shape. Composition and connectivity within a metaparticle are controlled through weaker interactions (green contact points) between monomers. In this example, all unlike particle types attract one another; like types do not. (c) Estimated yields (continuous curves) of the target clusters shown in (a), as a function of interaction strength \( \varepsilon \) between monomers. Data points are results from simulations at \( \rho \sim 0.01 \sigma^{-3} \). (d) Snapshot from a simulation of \( C_6 \) metaparticle formation (with \( \varepsilon = 3k_B T \) and \( \varepsilon_{\text{glue}} = 10k_B T \)) after equilibrium has been established. For clarity we show only monomers that are bound to glue particles. The yield of correctly composed octahedral metaparticles in this case is \( \sim 90\% \).
corrected in reasonable time; cluster integrity may be compromised as a result. More subtly, attractions among shell particles must be sufficiently weak that they do not macroscopically condense. This constraint limits the extent to which one shell composition can dominate energetically over others. It is not obvious that these competing requirements can all be satisfied with a single choice of \( \varepsilon_{\text{glue}} \) and \( \varepsilon \).

Approximate analytical calculations, as well as explicit Brownian dynamics simulations, indicate that high yields of certain metaparticles can in fact be made in this simple fashion. As a straightforward design, we set \( \varepsilon_{ij} = \varepsilon \) if monomer types \( i \) and \( j \) make contact in a desired cluster, and \( \varepsilon_{ij} = 0 \) otherwise.20 Due to the limitations of short-ranged, pairwise, and isotropic interactions, this scheme does not permit access to all metaparticle compositions. For example, we cannot generate a pure population of octahedra with more than three monomer types. However, the metaparticles shown in Figure 1a can be prepared with high fidelity through spontaneous dynamics of initially dispersed monomers and glue particles.

To estimate the equilibrium yield of a metaparticle comprising \( n \) monomers, we consider a restricted ensemble of fully assembled \( C_6 \) structures that differ in the assignment of \( k \) monomer types to the cluster’s \( n \) vertices. We first enumerate all \( (n + k - 1)!n!/n!(k - 1)! \) distinct compositions \( \nu \) of the cluster (e.g., 2 A monomers, 1 B, and 1 C). For each \( \nu \) we then enumerate all \( n! \) monomer assignments to a fixed set of vertices, as if all particles were distinguishable, calculating the energy \( E_i(\nu) \) for each assignment \( i \). The yield \( Y(\varepsilon) \) is then computed as a ratio of partition functions:

\[
Y(\varepsilon) = \frac{\alpha(\nu)\omega^\ast e^{-\beta E^\ast}}{\sum_{\nu} \alpha(\nu)\sum_i e^{-\beta E_i(\nu)}} \tag{1}
\]

where \( \beta = (k_b T)^{-1}, \alpha(\nu) = [N_A(\nu)N_B(\nu)N_C(\nu)]^{-1} \), and asterisks refer to the target metaparticle (i.e., \( \nu^* \) is the target composition, and \( E^\ast \) is the minimum energy). The symmetry factor \( \omega^\ast \) accounts for the multiplicity of assignments corresponding to rotations of the desired cluster.

Yields predicted in this approximate way are plotted as functions of \( \varepsilon \) for all three cluster types in Figure 1c. Monomer attractions of a few \( k_b T \) are sufficient to select the target composition with high probability. Results of molecular dynamics simulations, shown as data points in Figure 1c, demonstrate that the analysis described above is reasonable, but not without shortcomings. In particular, neglect of structural fluctuations en route to eq 1 tends to overestimate yields, due to the enhanced vibrational freedom of clusters lacking the maximum number of attractive interactions.

In principle, yields can be made arbitrarily close to unity by increasing the strength \( \varepsilon \) of monomer attractions. Large values of \( \varepsilon \), however, encourage clustering of monomers even in the absence of glue particles. Indeed, for sufficiently large \( \varepsilon \) the equilibrium state of a large collection of monomers is a macroscopic crystalline aggregate. Monomer condensation thus places a practical upper limit on \( \varepsilon \) and therefore also on yield. (See Supporting Information for an analytical estimate of this limit.) However, our simulations show that high yields can be achieved for values of \( \varepsilon \) that are smaller than this threshold. In particular, at monomer densities of \( \rho \approx 0.01 \sigma^{-3} \), we achieve maximum yields of 94%, 78%, and 98% for \( C_3, C_4, \) and \( C_6 \) clusters, respectively. A snapshot from a simulation of the self-assembly of \( C_6 \) metaparticles is shown in Figure 1d. In the assembly of \( C_4 \) clusters, the two enantiomers of an ABCD tetrahedron must appear with macroscopically identical concentrations; in simulations of superstructure assembly described below we consider the racemic mixture. In all cases, the emergent patchiness of clusters is sufficient to generate a rich variety of self-assembled superstructures.

**Superstructure Assembly.** To induce further assembly among many metaparticles, it is necessary at this point to modify the strengths of attraction \( \varepsilon \) between their constituent monomers, which now act as sticky patches for interactions between distinct clusters. To avoid consequent changes in the internal structure of metaparticles, it is further necessary to render the glue particle bonds irreversible. (If these latter interactions were to remain reversible, then changes in \( \varepsilon \) could greatly compromise the yield of desired metaparticles or even lead to macroscopic condensation of monomers.) Both of these tasks have been accomplished in other contexts using techniques of DNA nanotechnology.13 Fortunately, elaborate combinations of monomer attraction are not needed at this stage to assemble complex patterns. On the contrary, introducing substantial attractions between more than one or even two monomer types typically allows only close-packed crystals or amorphous solids as products of assembly.

We have instead obtained interesting and varied assembly when \( \varepsilon_{ij} = 0 \) for all monomer–monomer interactions except: (i) self-attraction of one monomer type, i.e., \( \varepsilon_{AA} > 0 \) (a design we denote \([AA]\)), or (ii) self-attraction of two types, \( \varepsilon_{AA} > 0 \) and \( \varepsilon_{BB} > 0 \) (denoted \([AB,BB]\)), or (iii) a single cross-interaction, \( \varepsilon_{AB} > 0 \) (denoted \([AB]\)). In one special case the design \([AA,BB,CC]\) was also conducive to nontrivial pattern formation. As is generally the case with patchy nanoparticles, the dynamical fate of assembly is very sensitive to the magnitudes of these attractions.21–23 We explored a range of values of \( \varepsilon_{AA}, \varepsilon_{BB}, \) etc., for each structure and report here on choices that yielded the most reproducible and defect-free assemblies. For an attraction range \( w \approx 0.05 \sigma, \) well depths of a few \( k_b T \) appear to be optimal in all cases (see Methods). For some designs the energetic range between impractically slow growth and extensively defective aggregation is...
as narrow as 0.2$k_B$T. The superstructures described below were assembled from metaparticles of uniform composition; their quality was only slightly degraded by including defective metaparticles at the levels indicated by simulations of cluster formation.

Given that metaparticle structures correspond to highly symmetric polyhedra and that the size of effective patches is prescribed by the monomer diameter, one might expect the variety of patterns that can be assembled from the $C_3$, $C_4$, and $C_5$ clusters of Figure 1a to be meager and easily anticipated. These objects, however, are more complex building blocks than spheres decorated with symmetrically arranged interaction sites. Indeed, particle shape can be a critical factor in self-assembly, strongly influencing the structure of thermodynamic ground states as well as their kinetic accessibility.24-26

An interplay between packing and directional attraction is important in even the simplest assembly we observed in Brownian dynamics simulations of interacting metaparticles. (Simulation details are given in the Methods section.) With only one mode of self-attraction ([AA]) among monomers in different $C_4$ clusters, the attracting species A tends to aggregate to the extent allowed by volume exclusion due to the rest of the cluster. Zero-dimensional, micelle-like superstructures thus naturally emerge (see left panel of Figure 2), and like conventional micelles, they are not uniform in size. Because of the anisotropic shape of metaparticles, the average size and polydispersity of these superstructures are the result of a complicated competition between the energetic drive to expand the A-rich cores and the entropic cost of packing (bumpy) tetrahedra at locally high density.

Adding a second self-attraction to $C_4$ species ([AA, BB]) effectively encourages aggregation within and among the micellar superstructures just described. Due to constraints of packing and stoichiometry, B monomers on the micelle exterior segregate to opposite poles, where they can bind to the poles of other micelles. This linear motif may extend indefinitely, generating one-dimensional superfilaments with an internal pattern of alternating A-rich and B-rich cores, as shown in the right panel of Figure 2. The fluctuations responsible for micelle size variation in the [AA] case here produce local defects in core thickness and exposure. Some of these defects cause sufficient exposure of the cylindrical core to allow filament branching. At high metaparticle density, percolating networks of filaments reminiscent of biopolymer gels result.27

Adding a third attraction to this scenario ([AA, BB, CC]) once again increases the dimensionality of assembled superstructures. Only monomers of type D are inert in this case, and they can be sequestered to the opposing faces of an ordered sheet that is two $C_4$ metaparticles thick, as illustrated in the right panel of Figure 3. In its ideal form this superstructure features macroscopic lines of isochiral clusters, alternating with lines of their enantiomers. Such chiral micropatterning might provide a basis for engineering unusual optical properties. Sheets forming on the time scale of our simulations possess a significant number of defects that define grain boundaries between domains of different orientation.

Triangular metaparticles were similarly observed to form sheets (with [AB] and [AA, BB] attractions, see Supporting Information) and micelles (with [AA] attractions), as illustrated in the right panel of Figure 3.

Figure 2. Zero- and one-dimensional assemblies. (Left) Micelle-like superstructures. Attractions of type [AA] (indicated by the dashed pink line in (a)) between $C_4$ metaparticles yield self-limiting growth of superclusters whose interiors are dense in A monomers. (b) Snapshot from a molecular dynamics simulation, showing a dispersed collection of micelles. (c) An example micelle comprising 9 $C_4$ clusters. (d) Normalized histogram of the number of metaparticles within each micelle. (Right) Filamentous assemblies. Attractions of type [AA, BB] (indicated by the dashed pink and orange lines in (e)) between $C_4$ metaparticles yield percolating networks of branched filaments. (f) Snapshot from simulation, showing only A – A and B – B bonds. An example filament segment (g) and branch point (h). The filament core consists of alternating A-rich and B-rich regions; nonattracting monomers of type C and D form a loose shell around the core. (In panels (b), (c), (g), and (h) nonattracting monomers are shown in smaller size for clarity.)
and Supporting Figure 4. Octahedral clusters, on the other hand, generate exotic three-dimensional superlattices. In one such crystal ([AA]), C₆ metaparticles maintain complete rotational freedom about the axis connecting attractive monomers (see left panel of Figure 4). Another crystal (obtained with [AB] and [AA,BB]) is highly porous, with a packing fraction of ~0.12, and is traversed by hexagonal channels (see right panel of Figure 4 and Supporting Figure 5).

Both offer intriguing design possibilities for molecular adsorption and metamaterials.

CONCLUSIONS

While this survey of assemblies is not necessarily exhaustive, we believe it to be thorough for metaparticles accessible with high yield through the
procedures we have described. Mixtures of metaparticles with varying composition are in fact easier to prepare, at least in the proportions dictated by their thermodynamic stabilities. Such mixtures expand the range of superstructures that can be achieved through our hierarchical protocol. As one example, C₆ clusters of uniform composition ABCBCD cannot be prepared with high yield from our strategy. However, a mixture of ABCBCD, ABCBCA, and DBCBCD clusters (in average proportions 2:1:1) can be straightforwardly generated, specifically by adding a fourth monomer type D to the collection of monomers that would otherwise form pure ABCBCA clusters, with interactions ε₁ = ε₃ = ε₄ = 0.

In simulations, this mixture assembles into yet another distinct porous supercrystal, shown in Figure 5. Many more such scenarios are possible and, more importantly, should be realizable using existing synthetic technologies.

METHODS

Pair Potential. The repulsive and attractive interaction potentials used in our simulations have the specific forms

\[ u_{\text{rep}}(r) = \begin{cases} k_B T \left( 1 + \frac{4}{3} \left( \frac{r}{\sigma} - 1 \right)^2 - \frac{5}{3} \right), & r < \sigma \\ 0, & r \geq \sigma \end{cases} \]

where

\[ r = \frac{r - \sigma}{\sigma_{\text{rep}}} + 2^{1/6} \]

and

\[ u_{\text{att}}(r) = \begin{cases} 1 - \tanh \left( \frac{r - (\sigma + w)}{a_{\text{att}}} \right) - \tanh \left( \frac{w}{a_{\text{att}}} \right), & r < \sigma + 2w \\ 0, & r \geq \sigma + 2w \end{cases} \]

The repulsive part, a shifted Lennard-Jones potential whose steepness is set by the length scale \( \sigma_{\text{rep}} \), vanishes continuously at \( r = \sigma \). The attractive part, a well of approximately unit depth whose steepness near \( r = \sigma + w \) is set by the length scale \( a_{\text{att}} \), vanishes continuously at \( r = \sigma + 2w \). Examples of the total interaction potential are plotted in Supporting Figure 1A. The standard mixing rule \( \sigma = (\sigma_1 + \sigma_2)/2 \) was used to determine interactions between particles with different diameters \( \sigma_1 \) and \( \sigma_2 \).

Simulation Details. In all simulations we adopt \( k_B T \) as a unit of energy, monomer diameter \( \sigma \) as a unit of length, monomer mass \( m \) as a unit of mass, and \( t = (m \sigma^2/k_B T)^{1/2} \) as a unit of time. Our systems are then specified by the following dimensionless parameters: (a) glue particle diameter, \( \sigma_{\text{glue}} \), which we set to \((4/3)^{1/2} - 1, (3/2)^{1/2} - 1, \) and \( \sqrt{2} - 1 \), for \( C_6 \), \( C_4 \), and \( C_3 \) clusters, respectively; (b) glue particle mass, \( m_{\text{glue}} \); (c) monomer friction coefficient \( \gamma / m = 10 \); and (d) glue particle friction coefficient \( \gamma_{\text{glue}} / m = 10 \). Dynamics were advanced by numerically integrating the underdamped Langevin equation, as implemented in the HOOMD-blue simulation package. Images of clusters and assemblies were rendered with VMD.

Cluster Assembly. For simulations of metaparticle formation, we set \( w = 0.035\sigma \), \( \sigma_{\text{rep}} = 0.2\sigma \), and \( \sigma_{\text{att}} = 0.01\sigma \). Initial conditions were constructed by randomly placing 500 glue particles and 1000 monomers (2000 in the case of \( C_6 \) clusters) of each type in a periodically replicated cubic simulation box at packing fraction 0.005. Trajectories of length \( 10^4 \) were generated with an integration time step \( \Delta t = 10^{-4} \). Binding of monomers to multiple glue particles was suppressed by a pairwise repulsion \( u_{\text{glue-glue}}(r) = 4 k_B T e^{-r/(2\sigma) - \frac{1}{2}} \). We calculate assembly yields as \( N_k / N \), where \( N_k \) is the number of clusters with...
desired composition and \( N \) is the total number of clusters with the maximum number of monomers. Clusters that are not fully assembled are disregarded, as their population can be made negligible by an appropriate choice of \( f_{\text{max}} \). Maximum yields were achieved with \( f_{\text{max}} \) = 10.48, and \( t = 4.0 \) for \( C_4 \) and \( C_6 \) metaparticles, and \( \tau = 4.0 \times 10^{-6} \) for \( C_8 \) clusters. 

**Superstructure Assembly.** Simulations of the second stage of assembly included 1000 to 8000 metaparticles, initially placed on a simple cubic lattice at densities between 0.04 to 0.1 and for assembly trajectories that are substantially longer than the time scales accessible with current hardware. 10\(^\text{th}\) Monomer interaction parameters were set as \( w = 0.075 \), \( \alpha_{\text{cl}} = 0.3 \), and \( \alpha_{\text{glue}} = 0.02 \) (which allow use of a larger integration time step \( \Delta t = 0.005 \)). Glue particle repulsions were omitted at this stage. Time was advanced in each assembly trajectory by \( 5 \times 10^{-7} \). The following attraction strengths resulted in the structures depicted in Figures 2, 3, and 4:  

- \( C_4 \) micelles: \( \epsilon_{AA} = 5.8 \AA \)  
- \( C_6 \) filaments: \( \epsilon_{AA} = \epsilon_{BB} = 4.5 \AA \)  
- \( C_6 \) sheets: \( \epsilon_{AA} = \epsilon_{CC} = 3.7 \AA \)  
- \( C_6 \) sheets: \( \epsilon_{AB} = 3.7 \AA \)  
- \( C_6 \) cubic rotator phase: \( \epsilon_{AA} = 4.7 \AA \)  
- \( C_6 \) hexagonal channels: \( \epsilon_{AB} = 4.15 \AA \)  

These results valued in structures of the highest quality in our simulations. We note, however, that different values are likely to be optimal for different choices of pair potential (in particular, for a different range \( w \) of attraction, as discussed above) and for assembly trajectories that are substantially longer than the time scales accessible with current hardware. 

**Conflict of Interest:** The authors declare no competing financial interest. 

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**Supporting Information Available:** Additional discussion and figures. This material is available free of charge via the Internet at http://pubs.acs.org/. 

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