Compliance to micronutrient supplementation in children 3 to 24 months of age from a semi-rural community in Mexico

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Abstract
Objective. To identify associated factors to compliance for multiple micronutrient (MM) or iron and vitamin A (IVITA) supplementation, in children (3 to 24 months old).

Materials and methods. A database (n=465 children) from a randomized, controlled, clinical trial, carried out in a semi-rural setting in Mexico, was analyzed. The compliance rate of MM and IVITA supplements was calculated. Adequate compliance rate (AC>80%), and its association with children and households characteristics, was determined. Results. The compliance mean was high (MM:78.2%, IVITA:80.1%; p<0.05). The odds of AC were 59% greater in the children of IVITA than with MM group, although the estimate was only marginally significant (p=0.052). Maternal education (p<0.001), child birth weight (p=0.003), and children with cough (p<0.001) or fever (p=0.024) were significantly associated with AC and significantly marginal was maternal indigenous (p=0.071).

Conclusion. The high AC was consistent with others efficacy studies. More research is needed to document physiological, cultural, social and operative factors affecting compliance with supplementation.

Key words: Medication adherence; dietary supplements; nutrients; child; Mexico

Resumen
Objetivo. Identificar factores asociados con el cumplimiento del consumo de suplementos con micronutrimentos múltiples (MM) o con hierro y vitamina A (FEVITA) en niños (<24 meses de edad).

Material y métodos. Información de un ensayo clínico aleatorizado, doble ciego en una localidad semirural en México. Se calculó el porcentaje de cumplimiento (n=465 niños), cumplimiento adecuado (CA: >80%) y su asociación con varias características. Resultados. El cumplimiento fue alto (MM: 78.2%, FEVITA: 80.1%; p<0.05). Los momios de CA fueron 59% mayores en niños del grupo FEVITA que en MM (p=0.052). Escolaridad materna (p<0.001), peso al nacer del niño (p=0.003), porcentaje de tiempo con tos (p<0.001) y con fiebre (p=0.024) y marginalmente, la condición indígena materna (p=0.071) se asociaron con el CA. Conclusiones. La alta tasa de cumplimiento fue consistente con otros estudios. Es necesaria mayor investigación sobre factores fisiológicos, culturales, sociales y operativos relacionados con el cumplimiento del consumo de suplementos.

Palabras clave: cumplimiento de la medicación; suplementos dietéticos; nutrientes; infantil; México
Micronutrient deficiency in children less than 2 years old is an important public health problem in Mexico. Anemia prevalence (hemoglobin concentration <11.0 g/dL) is 40.5% in children 12 to 23 months age), 27.9% of children have depleted vitamin A stores (serum retinol <20 ug/dL), 33.9% have zinc deficiency (serum zinc <65 ug/dL), 30.3% have deficiency of vitamin C (serum ascorbic acid <0.2 mg/dL), and 62.4% vitamin E (serum tocoferol <600 ug/dL).

In order to reduce nutrition problems, diverse programs and food and nutrition policies have been implemented in Mexico, which include subsidies, fortified food distribution and micronutrient supplementation. Of these, supplements have been shown to have the highest efficacy to prevent and control micronutrient deficiencies during childhood. However, in a program context, an important factor to predict the intervention effectiveness is compliance to supplement consumption.

Most of the published studies related to compliance with supplementation have focused on type, dosage and frequency of supplements containing iron and its relation to side adverse effects such as constipation, nausea, vomiting and diarrhea. However, there is little evidence that side effects are the main cause for failure to comply. Some publications have associated compliance with supplement taste and type (syrup, powder and porridge), others with psychological factors (self-esteem and health beliefs) or logistic aspects (storage, supervision and geographical barriers).

Few studies have been published that explore predictors of compliance with nutritional supplements. The few that exist are difficult to compare given differing compliance definitions and measurement methods. This limits our ability to understand barriers to compliance and formulate strategies to improve it. Therefore, it is suggested that when a study is designed to evaluate the level of compliance, social and demographic characteristics such as education, housing, family income and employment status to be included.

Understanding factors related to compliance to micronutrient supplementation may help program implementers to invest resources in designing and implementing strategies to enhance it.

The objective of this study is to compare compliance with multiple micronutrients or iron and vitamin A supplementation in children 3 to 24 months of age, and to explore factors associated with compliance in a randomized, double-blind, clinical trial in Mexico. In order to accomplish this objective, we sought to answer the following research questions: 1) What is the rate of compliance in children from 3 to 24 months of age, supplemented with two different micronutrient supple-

**Materials and methods**

**Study design**

Data from a randomized, double-blind, controlled trial carried out in a semi-rural community of Morelos, Mexico from April 1st, 1998 to September 31, 2003, was used for this study. The main objective of the original study was to determine if a daily intake of multiple micronutrient syrup improved growth and development in early childhood compared with a syrup that contained only iron and vitamin A supplement in children from 3 to 24 months of age. The experimental group received approximately a daily recommended intake (RDA) of vitamins A, C, D, E, niacin, riboflavin, B, B, B, folic acid, as well as iron, magnesium and zinc (table I). The control group received a supplement with the same type and quantities of iron and vitamin A. Both supplements were distributed six days a week, by trained field staff.

### Table I

**Composition of the multiple micronutrient supplement for children according to age group.**

| Nutrient | Age group |
|----------|-----------|
|          | 3-11 months | 12-24 months |
|          | (per dose, 20 mL) | (per dose, 30 mL) |
| Vitamin A, UI* | 450 | 480 |
| Vitamin C, mg | 52.5 | 60.0 |
| Vitamin D, UI | 400 | 400 |
| Vitamin E, UI | 4.0 | 6.0 |
| Niacin, mg | 6.0 | 9.0 |
| Vitamin B, mg | 0.40 | 0.70 |
| Vitamin B, mg | 0.75 | 1.20 |
| Vitamin B, mg | 0.60 | 1.00 |
| Vitamin B,, µg | 0.75 | 1.05 |
| Folic acid, µg | 35.0 | 50.0 |
| Iron, mg* | 15.0 | 15.0 |
| Magnesium, mg | 60.0 | 80.0 |
| Zinc, mg | 7.5 | 15.0 |

* The control group received the same type and quantities of iron and vitamin A.
and their intake was supervised and registered daily. The supplement pre-mixture was made and donated by Vitamin Roche Laboratories (Mexico City), and both the intervention and control syrups were prepared weekly in a dedicated laboratory at the National Institute of Public Health (INSP), Cuernavaca, Mexico. The syrup recipe was formulated by the Physiology of Nutrition Laboratory of the National Institute of Medical Sciences and Nutrition Salvador Zubirán, Mexico City, who also trained chemists in its preparation.

**Study subjects and recruitment**

The study was carried out in a semi-rural community of Morelos state, Mexico with a total population of approximately 19,500 inhabitants. The study children were the offspring of women who had participated in original double blind randomized trial. The children were followed up to 3 months of age as part of the prenatal study, at which point they were recruited to participate in the child supplementation study. Children with congenital anomalies or consuming other types of supplement were excluded. The objectives, procedures, risks and benefits of the study were explained to mothers (or principal caregivers), and if willing to participate were asked to sign an informed consent declaration. The research protocol was approved by the Ethics, Research and Biosecurity Commissions of INSP in Cuernavaca, Mexico and by the Institutional Review Board of Emory University in Atlanta.

**Data collection and processing**

As part of the prenatal study, child’s weight, length and birth date were registered at delivery. When children were recruited (3 months of age), anthropometric measurements were taken and information collected on feeding practices, housing conditions, possession of household goods, marital status, religion and mothers spoke or understood Nahuatl language, as well as education level (schooling) and parents employment.

Supplement intake was registered by field staff through daily home visits from Monday to Saturday, including the remaining quantity in mL as well as if the consumed amount was spat or vomited, classifying it as nothing, something or everything. In these daily visits information about presence of diarrhea, cough, flu and fever on the previous day, was obtained. A capillary blood sample was obtained to determine hemoglobin concentration with a portable photometer, which has been validated in Mexican population. Height for age, length for age and weight for length Z-scores were constructed utilizing the child growth standards.

At recruitment, children were classified as exclusively breastfed (breast milk only), predominantly breastfed (breast milk plus water or water based drinks), partially breastfed (breast milk plus other fluids including any type of milk) or breastfed with solid foods. The family socioeconomic status index was constructed with housing characteristics and possession of household goods, utilizing the first principal component obtained from principal components, as in previous analysis performed in Mexican population. This index was then categorized in tertiles with the higher tertile representing better socioeconomic characteristics.

The real compliance of multiple micronutrient supplement or iron and vitamin A was calculated by dividing the number of days the child consumed the supplement, by the number of days the field staff visited to administer the syrup, and then multiplied by 100. Since there were days when field staff did not make home visits to administer the supplement (e.g. on holidays), we also calculated ideal compliance by dividing the number of days that the child took the supplement, by the total of days corresponding to the 21 months of treatment (546), multiplied by 100. Adequate compliance was defined as the real compliance rate equal or higher than 80%, based on the mean value, which is also consistent with other published articles.

Rates of diarrhea, coughing, cold and fever were calculated by dividing the number of days the child had the symptom in the interval between 3 to 24 months old, by the number of days for which data were available and multiplied by 100. Anemia was diagnosed as hemoglobin concentration <110 g/L.

**Statistical analysis**

The success of randomization was assessed by comparing baseline characteristics by supplement group. Characteristics of children who completed the trial were also compared with those who dropped out. Student’s t-test were used for comparison of continuous variables with a normal distribution, or a Mann-Whitney test. For categorical data, Chi-square or Fisher’s exact test were used, the latter when the expected value was five or less in any cell.

The association between supplement group and adequate compliance was assessed using logistic regression adjusting for factors that were different between groups at baseline and predictors of compliance as socioeconomic and child characteristics, type of breastfeeding and morbidity, based on a priori consideration that in addition to supplement characteristics, child (age, sex, baseline anemia, birthweight) and household factors (indigenous origin, maternal education and nutritional
status, economic well-being of the household) may affect compliance with supplementation. The potential modifying effects of baseline hemoglobin concentration, indigenous origin, maternal education, height for age at baseline on compliance by group were tested as a statistical interaction between them. The model was assessed by goodness of fit test of Hosmer and Lemeshow. The statistical significance was considered for a $p<0.05$ for the main effects and $p<0.10$ for the interactions. The statistical analysis was performed using STATA 8.0.

Results

Of the 654 children recruited, 465 (71.1%) finished the study and 189 (28.9%) dropped out before 24 mo of age (figure 1). Reasons for dropping out of the study have been published elsewhere and the most important were parents or tutors withdrawing their permission to participate (61.9%), moving out to another community (12.7%), disliking the supplement or ill feeling after consuming it (12.2%). When comparing children who completed the study with those who dropped out, a statistically significant difference was observed ($p<0.05$) for maternal age (23.8 ± 5.5 vs. 22.2 ± 4.1 years), maternal education (6.6 ± 3.3 vs. 7.4 ± 3.4 years), and coughing (17.3 vs. 26.7%). For the remaining variables, including the type of supplement, statistically significant differences were not found (table II).

Of the group of children that completed the study, 55.3% were male, 7.8% weighed less than 2500 g at birth, 2.9% had low length-for-age at three months old, 43.7% suffered anemia and 16.3% were exclusively breastfed at three months. On average, maternal age was 23.8 ± 5.5 years, 31.5% spoke or understood Nahuatl language, 4.5% were single or had no couple and 6.9% had a paid employment. Fathers had a better education level than mothers with 7.8 ± 3.4 and 6.6 ± 3.3 years of study, respectively.

The analysis of compliance was performed with data from children who completed the study, 237 (51%) belonged to the multiple micronutrient group and 228 (49%) to the iron and vitamin A group. At baseline, a statistically significant difference between groups were found for maternal age (23.4 ± 5.8 vs. 24.2 ± 5.2 years, $p=0.030$), and for the percentage of mothers with a paid job (4.7 vs. 9.3%, $p=0.048$) (table III). There were no differences between groups for the socioeconomic index, anthropometric characteristics, feeding practices or child morbidity.

The mean number of days that the children consumed the iron and vitamin A supplement was significantly ($p=0.025$) higher (429.1 ± 53.0) than the multiple micronutrient group (417.5 ± 57.1). The real compliance rate was also significantly higher ($p=0.044$) in the iron and vitamin A group (81.8%, inter-quartile range (IQR) 75.2-87.5) than the multiple micronutrient group (79.5%, 655 Children assigned to a supplement group

| 655 | Children assigned to a supplement group |
|-----|----------------------------------------|

- **332 Multiple micronutrients**
  - **95 Drop or lost to follow-up**
  - **237 Followed to 24 mo of age**

- **322 Iron and vitamin A**
  - **94 Drop or lost to follow-up**
  - **228 Followed to 24 mo of age**

**Figure 1. Flow diagram of the study design and sample size for the studied population. Morelos, Mexico, 1998-2003**
Table II
**COMPARISON OF GENERAL CHARACTERISTICS BETWEEN THE SAMPLE OF CHILDREN WHO COMPLETED THE STUDY AND THE FOLLOW UP DROP OUTS.**

**MORELOS, MEXICO 1998-2003**

| Characteristics                                      | Children that completed the study (n= 465) | Follow up drop outs (n= 189) |
|------------------------------------------------------|--------------------------------------------|-------------------------------|
| Multiple micronutrients (%)                          | 51.0                                       | 50.3                          |
| Children                                             |                                            |                               |
| Age (months)                                         | 3.0±0.1                                    | 3.0±0.1                       |
| Male (%)                                             | 55.3                                       | 54.5                          |
| Birth weight (kg)                                    | 3.1±0.4                                    | 3.0±0.4                       |
| Z score for length-age                               | -0.5±1.0                                   | -0.5±1.1                      |
| Z score for weight-age                               | -0.3±1.1                                   | -0.5±1.1                      |
| Z score for weight-length                            | 0.1±0.9                                    | 0.0±1.0                       |
| Diarrhea (%)                                         | 2.1                                        | 5.6                           |
| Cough (%)                                            | 17.3                                       | 26.7*                         |
| Cold (%)                                             | 15.6                                       | 20.3                          |
| Fever (%)                                            | 2.1                                        | 4.0                           |
| Hemoglobin (g/L)                                     | 111±10.7                                   | 110±11.0                      |
| Anemia (hemoglobin <110 g/L) (%)                     | 43.7                                       | 45.9                          |
| Exclusive breastfeeding at 3 mo (%)                  | 16.3                                       | 12.3                          |

Mother

Age (years)                                         23.8±5.5                                    22.2±4.1* 
Single mother (%)                                    4.5                                         3.8  
Speaks Nahuatl (%)                                   31.5                                       23.9  
Catholic religion (%)                                88.1                                       90.2  
Illiteracy (%)                                       6.7                                        4.9  
Schooling (years)                                    6.6±3.3                                    7.4±3.4* 
Paid job (%)                                         6.9                                        5.5  

Father

Illiteracy (%)                                       4.5                                        2.2  
Schooling (years)                                    7.8±3.4                                    7.9±3.4  
Socioeconomic index                                  -0.10±0.98                                 0.06±0.96  

* p<0.05 from Mann-Whitney test

IQR: 72.8-86.1). Similarly, the percentage of children with an adequate compliance rate (consumed on at least 80% of days offered) in the iron and vitamin A group (60.1%) was significantly (p=0.016) higher than the multiple micronutrient group (48.9%). The real compliance was significantly higher (p=0.025) in the iron and vitamin A group (80.3, IQR: 73.6-86.0) than the multiple micronutrient group (78.2, IQR: 71.1-84.1).

The odds of adequate compliance (> than 80%) were 59% greater in the children supplemented with iron and...
vitamin A than with multiples micronutrients, although the estimate was only marginally significant ($p=0.052$) (table IV). Overall, the probability of adequate compliance (i.e. compliance higher than 80%) was 0.51 for the multiple micronutrient group and 0.59 for the vitamin A and iron group ($p=0.051$).

The odds of adequate compliance to supplementation were 2.09 (95% CI: 1.28, 3.40) times higher for every increment of 100 grams of weight at birth ($p=0.003$) (table IV). Children of families of indigenous origin (spoke or understood Nahuatl language) had 1.56 (95% CI: 0.96, 2.54) the odds of adequate compliance with supplementation than children of non-indigenous mothers ($p=0.071$). Furthermore, for every additional completed year of formal education, the odds of adequate supplement were reduced by 13% ($p<0.001$). Finally, higher rates of morbidity resulted in lower odds of adequate compliance. For each point increase in the percentage of dates with cough or fever, resulted in a decrease of 5 and 15% in the odds of adequate compliance ($p=0.001$ and $p=0.024$), respectively. None of the interaction terms tested were statistically significant ($p>0.10$).

## Discussion

Overall compliance to supplementation with micronutrient syrup was very high in both the intervention and control groups. This was not surprising given the controlled conditions. Similar or higher average intakes in controlled trials have been reported (80 to 96%). An important finding of this study was that compliance differed by supplement type, although the estimate was only marginally significant. As this was a double blinded randomized trial, this implies that supplement characteristics can influence the individual willingness to consume it, even under controlled conditions.

Although it has been shown that supplement presentation influences compliance, there is little evidence that nutrient content affects acceptance and intake of nutritional supplements. Contrary to our findings, in a controlled supplementation trial performed with Mexican children from 8 to 14 months old a better compliance rate was found in the multiple micronutrient group than in the placebo group (91 vs. 80.5%, $p<0.05$). In other studies, using different supplement types, no significant difference has been found between groups with different nutritional content. For example, in school-aged children in Tanzania, compliance of the group that consumed a multiple micronutrient fortified beverage (79.9%) was similar to the control group (81.1%). In the Philippines, compliance in a group of schoolchildren receiving a multiple-micronutrient-fortified beverage was of 95.9%, while in the placebo group was of 96.3%. In a controlled trial conducted in 6 to 18 months old children from a rural area of Ghana, a microencapsulated ferrous fumarate plus ascorbic acid supplement consumed with complementary foods (trade mark Sprinkles) had a lower compliance rate than ferrous sulfate drops (66 vs. 81%). Although it was not mentioned whether this difference was statistically significant, the authors argued that in this case this difference could be due to age of introduction and frequency of complementary food intake and humidification of the micronutrient powder due to problems with packaging.

One of the difficulties to compare compliance rates across studies is the difference in methods used to measure it. It is possible that the compliance figures are influenced by the way information is collected. These measurements include the daily supervised record by trained personnel for this purpose, weekly interview recorded, pill bottle equipped with an electronic counting device and biochemical determinations. In our study, we have high confidence in our compliance figures given that supplementation was daily and observed by project staff. This may explain a lower compliance rate in relation to other studies in which there may be memory or reporting bias. In addition, it has been observed in controlled trials in children that multiple micronutrient supplementation periods vary from 2 to 12 months. The duration of supplementation may influence compliance through fatigue.
In our study, the rate of adequate compliance (greater than 80%) was significantly different ($p<0.05$) between the multiple micronutrient and iron (48.9%) and vitamin A group (60.1%). A possible explanation of this difference may be the side effects caused by some micronutrients contained in the supplement. It is known that iron intake could cause diarrhea, constipation, nausea, vomiting, dizziness, epigastric pain and dark feces when administered at physiological doses. $^{9,11,32}$ Although in young children it may be difficult to document these symptoms, mothers have reported that when iron is administered as drops, 74% have rejected the supplement (cry, make gestures, or spit drops) while when administered as powder mixed with food, this percentage has been 16%. $^{12}$ However, given that the iron content and form of the two supplements was the same, it seems unlikely that the differences in compliance are related to iron-related side effects.

Other nutrients contained in the multiple micronutrient supplement have been associated with transient side effects. Niacin administered in high doses (1 to 2 g three times per day) as nicotinic acid can result in flushing and sensation of heat especially in the face, neck and ears. $^{32,33}$ This reaction is usually mild, however, when it becomes unbearable my cause dropout rates of more than 50%. $^{32}$ Side effects such as diarrhea, nausea, vomiting, abdominal pain, dermatitis, allergic reactions, anorexia, headaches, and drowsiness, among others have also been documented for magnesium and vitamin C, B1, B6, B12 and folic acid, while zinc, and vitamin A group (60.1%). A possible explanation of this difference may be the side effects caused by some micronutrients contained in the supplement. It is known that iron intake could cause diarrhea, constipation, nausea, vomiting, dizziness, epigastric pain and dark feces when administered at physiological doses. $^{9,11,32}$

In this study we found less adequate compliance when the percentage of time with cough and fever was higher. This could be due to infections reducing dietary intake by decreasing appetite. $^{35,36}$ In Mexico, some mothers of children with diarrhea decide to suspend the use of multiple micronutrient supplementation in the form of gruel while others keep administering it, in which case the diarrhea disappears within a few days. $^{37}$ In developing countries, other factors associated with compliance to medical treatment include illiteracy, low education and belonging to an ethnic group. $^{16}$ It is known that indigenous communities may have lower acceptance of nutritional supplements. $^{38}$ In contrast, in this study we found marginally higher compliance among those of indigenous origin. We also found that compliance was higher among more vulnerable groups, e.g., children whose mothers had less formal education.

We found a positive association between birth weight and compliance with supplementation, i.e., children born with higher weight were more likely to have better compliance. Hence, infants born with low birth weight which are at higher risk of morbidity and mortality are missing the opportunity to be most benefitted from nutritional supplementation. $^{34}$ It is therefore very important to understand why children with lower birth weight complied less and how these barriers could be overcome. Our results indicate that the association between birth weight with compliance is independent of concurrent health status, since the model was adjusted for morbidity (diarrhea, cough and fever percentage). It is possible that association of adequate compliance with adequate birth weight is due to the differentiated reaction that mothers have about weight perception of their children. In relation to this, people’s beliefs about health and supplement treatments may interfere with compliance. For example, some pregnant women from Thailand decided not to take iron supplements out of fear of having a larger baby and a difficult delivery. $^{10}$

There is evidence of a consistent and positive association between motivation and therapeutic treatment compliance, related to the health provider ability to advise and to make an empathic and affective approach with the patient. $^{39,40}$ In a vitamin A supplementation cohort trial, performed in Indonesia in children under 5 years old, there was an association between compliance of the caregivers and their knowledge about the potential benefits of this vitamin supplementation. $^{41}$ Pregnant women who received iron supplements, forgot to take the pills because of a lack of motivation and understanding about anemia. $^{7}$ It has been documented that the role and the attitude of the mother towards the supplement is vital for the child to consume it. In supplementation programs mothers get “tired” of giving iron daily doses after three month and because of the daily intake some children get fed up of the supplement flavour for which the mothers give them a “rest” $^{37,41}$ Perceived health benefits may help overcome this barrier.
In conclusion, the compliance rate found in this study is consistent with the assessments made in randomized trials, and as expected, higher than in supplementation programs for children. However, the compliance rate was different between the supplement groups, even though the estimate was only marginally significant, possibly due to the characteristics of the supplements themselves or side effects of the micronutrient supplement. If these effects cause more diarrhea or gastrointestinal problems when adjusting for these variables, the difference between groups should have disappeared. This was not the case and we conclude that side effects were not the principal determinant of lower compliance. An understanding of factors that influence compliance is vital to ensure that barriers and opportunities for adequate compliance can be taken into consideration in communication strategies.

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