Learning Character-level Compositionality with Visual Features

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Abstract

Previous work has modeled the compositionality of words by creating character-level models of meaning, reducing problems of sparsity for rare words. However, in many writing systems compositionality has an effect even on the character-level: the meaning of a character is derived by the sum of its parts. In this paper, we model this effect by creating embeddings for characters based on their visual characteristics, creating an image for the character and running it through a convolutional neural network to produce a visual character embedding. Experiments on a text classification task demonstrate that such model allows for better processing of instances with rare characters in languages such as Chinese, Japanese, and Korean. Additionally, qualitative analyses demonstrate that our proposed model learns to focus on the parts of characters that carry semantic content, resulting in embeddings that are coherent in visual space.

1 Introduction

Compositionality—the fact that the meaning of a complex expression is determined by its structure and the meanings of its constituents—is a hallmark of every natural language (Frege and Austin, 1980; Szabó, 2010). Recently, neural models have provided a powerful tool for learning how to compose words together into a meaning representation of whole sentences for many downstream tasks. This is done using models of various levels of sophistication, from simpler bag-of-words (Iyyer et al., 2015) and linear recurrent neural network (RNN) models (Sutskever et al., 2014; Kiros et al., 2015), to more sophisticated models using tree-structured (Socher et al., 2013) or convolutional networks (Kalchbrenner et al., 2014).

In fact, a growing body of evidence shows that it is essential to look below the word-level and consider compositionality within words themselves. For example, several works have proposed models that represent words by composing together the characters into a representation of the word itself (Ling et al., 2015; Zhang et al., 2015; Dhingra et al., 2016). Additionally, for languages with productive word formation (such as agglutination and compounding), models calculating morphology-sensitive word representations have been found effective (Luong et al., 2013; Botha and Blunsom, 2014). These models help to learn more robust representations for rare words by exploiting morphological patterns, as opposed to models that operate purely on the lexical level as the atomic units.

For many languages, compositionality stops at the character-level: characters are atomic units of meaning or pronunciation in the language, and no further decomposition can be done.¹ However, for other languages, character-level compositionality, where a character’s meaning or pronunciation can

¹In English, for example, this is largely the case.
be derived from the sum of its parts, is very much a reality. Perhaps the most compelling example of compositionality of sub-character units can be found in logographic writing systems such as the Han and Kanji characters used in Chinese and Japanese, respectively. As shown on the left side of Fig. 1, each part of a Chinese character (called a “radical”) potentially contributes to the meaning (i.e., Fig. 1(a)) or pronunciation (i.e., Fig. 1(b)) of the overall character. This is similar to how English characters combine into the meaning or pronunciation of an English word. Even in languages with phonemic orthographies, where each character corresponds to a pronunciation instead of a meaning, there are cases where composition occurs. Fig. 1(c) and (d) show the examples of Korean and German, respectively, where morphological inflection can cause single characters to make changes where some but not all of the component parts are shared.

In this paper, we investigate the feasibility of modeling the compositionality of characters in a way similar to how humans do: by visually observing the character and using the features of its shape to learn a representation encoding its meaning. Our method is relatively simple, and generalizable to a wide variety of languages: we first transform each character from its Unicode representation to a rendering of its shape as an image, then calculate a representation of the image using Convolutional Neural Networks (CNNs) (Cun et al., 1990). These features then serve as inputs to a downstream processing task and trained in an end-to-end manner, which first calculates a loss function, then back-propagates the loss back to the CNN.

As demonstrated by our motivating examples in Fig. 1, in logographic languages character-level semantic or phonetic similarity is often indicated by visual cues; we conjecture that CNNs can appropriately model these visual patterns. Consequently, characters with similar visual appearances will be biased to have similar embeddings, allowing our model to handle rare characters effectively, just as character-level models have been effective for rare words.

To evaluate our model’s ability to learn representations, particularly for rare characters, we perform experiments on a downstream task of classifying Wikipedia titles for three Asian languages: Chinese, Japanese, and Korean. We show that our proposed framework outperforms a baseline model that uses standard character embeddings for instances containing rare characters. A qualitative analysis of the characteristics of the learned embeddings of our model demonstrates that visually similar characters share similar embeddings. We also show that the learned representations are particularly effective under low-resource scenarios and complementary with standard character embeddings; combining the two representations through three different fusion methods (Snoek et al., 2005; Karpathy et al., 2014) leads to consistent improvements over the strongest baseline without visual features.

### 2 Dataset

Before delving into the details of our model, we first describe a dataset we constructed to examine the ability of our model to capture the compositional characteristics of characters. Specifically, the dataset must satisfy two desiderata: (1) it must be necessary to fully utilize each character in the input in order to achieve high accuracy, and (2) there must be enough regularity and com-

| Lang   | Geography | Sports | Arts | Military | Economics | Transportation |
|--------|-----------|--------|------|----------|-----------|----------------|
| Chinese | 32.4k     | 49.8k  | 50.4k| 3.6k     | 82.5k     | 40.4k          |
| Japanese| 18.6k     | 82.7k  | 84.1k| 81.6k    | 80.9k     | 91.8k          |
| Korean  | 6k        | 580    | 5.74k| 840      | 5.78k     | 1.68k          |

Table 1: By-category statistics for the Wikipedia dataset. Note that Food is the abbreviation for “Food and Culture” and Religion is the abbreviation for “Religion and Belief”.

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2 Other prominent examples are largely for extinct languages: Egyptian hieroglyphics, Mayan glyphs, and Sumerian cuneiform scripts (Daniels and Bright, 1996).
Figure 2: The character rank-frequency distribution of the corpora we considered in this paper. All three languages have a long-tail distribution.

positionality in the characters of the language. To satisfy these desiderata, we create a text classification dataset where the input is a Wikipedia article title in Chinese, Japanese, or Korean, and the output is the category to which the article belongs.\(^3\) This satisfies (1), because Wikipedia titles are short and thus each character in the title will be important to our decision about its category. It also satisfies (2), because Chinese, Japanese, and Korean have writing systems with large numbers of characters that decompose regularly as shown in Fig. 1. While this task in itself is novel, it is similar to previous work in named entity type inference using Wikipedia (Toral and Munoz, 2006; Kazama and Torisawa, 2007; Ratinov and Roth, 2009), which has proven useful for downstream named entity recognition systems.

### 2.2 Statistics

For Chinese, Japanese, and Korean, respectively, the number of articles is 593k/810k/46.6k, and the average length and standard deviation of the title is $6.25 \pm 3.96/8.60 \pm 5.58/6.10 \pm 3.71$. As shown in Fig. 2, the character rank-frequency distributions of all three languages follows the 80/20 rule (Newman, 2005) (i.e., top 20% ranked characters that appear more than 80% of total frequencies), demonstrating that the characters in these languages belong to a long tail distribution.

We further split the dataset into training, validation, and testing sets with a 6:2:2 ratio. The category distribution for each language can be seen in Tab. 1. Chinese has two varieties of characters, traditional and simplified, and the dataset is a mix of the two. Hence, we transform this dataset into two separate sets, one completely simplified and the other completely traditional using the Chinese text converter provided with Mac OS.

### 3 Model

Our overall model for the classification task follows the encoder model by Sutskever et al. (2014).
Table 2: Architecture of the CNN used in the experiments. All the convolutional layers have 32 $3 \times 3$ filters.

We calculate character representations, use a RNN to combine the character representations into a sentence representation, and then add a softmax layer after that to predict the probability for each class. As shown in Fig. 2.1, the baseline model, which we call the LOOKUP model, calculates the representation for each character by looking it up in a character embedding matrix. Our proposed model, the VISUAL model instead learns the representation of each character from its visual appearance via CNN.

**LOOKUP model** Given a character vocabulary $C$, for the LOOKUP model as in the bottom part of Fig. 2.1, the input to the network is a stream of characters $c_1, c_2, ..., c_N$, where $c_n \in C$. Each character is represented by a 1-of-$|C|$ (one-hot) encoding. This one-hot vector is then multiplied by the lookup matrix $T_C \in \mathbb{R}^{|C| \times d_c}$, where $d_c$ is the dimension of the character embedding. The randomly initialized character embeddings were optimized with classification loss.

**VISUAL model** The proposed method aims to learn a representation that includes image information, allowing for better parameter sharing among characters, particularly characters that are less common. Different from the LOOKUP model, each character is first transformed into a 36-by-36 image based on its Unicode encoding as shown in the upper part of Fig 2.1. We then pass the image through a CNN to get the embedding for the image. The parameters for the CNN are learned through backpropagation from the classification loss. Because we are training embeddings based on this classification loss, we expect that the CNN will focus on parts of the image that contain semantic information useful for category classification, a hypothesis that we examine in the experiments (see Section 5.5).

In more detail, the specific structure of the CNN that we utilize consists of three convolution layers where each convolution layer is followed by the max pooling and ReLU nonlinear activation layers. The configurations of each layer are listed in Tab. 2. The output vector for the image embeddings also has size $d_c$ which is the same as the LOOKUP model.

**Encoder and Classifier** For both the LOOKUP and the VISUAL models, we adopt an RNN encoder using Gated Recurrent Units (GRUs) (Chung et al., 2014). Each of the GRU units processes the character embeddings sequentially. At the end of the sequence, the incremental GRU computation results in a hidden state $e$ embedding the sentence. The encoded sentence embedding is passed through a linear layer whose output is the same size as the number of classes. We use a softmax layer to compute the posterior class probabilities:

$$P(y = j | e) = \frac{\exp(w_{j}^T e + b_{j})}{\sum_{i=1}^{L} \exp(w_{i}^T e + b_{i})}$$  (1)

To train the model, we use cross-entropy loss between predicted and true targets:

$$J = \frac{1}{B} \sum_{i=1}^{B} \sum_{j=1}^{L} -t_{i,j} \log(p_{i,j})$$  (2)

where $t_{i,j} \in \{0, 1\}$ represents the ground truth label of the $j$-th class in the $i$-th Wikipedia page title. $B$ is the batch size and $L$ is the number of categories.

4 Fusion-based Models

One thing to note is that the LOOKUP and the VISUAL models have their own advantages. The LOOKUP model learns embedding that captures the semantics of each character symbol without sharing information with each other. In contrast, the proposed VISUAL model directly learns embedding from visual information, which naturally shares information between visually similar characters. This characteristic gives the VISUAL
| Lookup/Visual | 100%  | 50%   | 12.5% |
|-------------|-------|-------|-------|
| zh_trad     | 0.55/0.54 | 0.53/0.50 | 0.48/0.47 |
| zh_simp     | 0.55/0.54 | 0.53/0.52 | 0.48/0.46 |
| ja          | 0.42/0.39 | 0.47/0.45 | 0.44/0.41 |
| ko          | 0.47/0.42 | 0.44/0.39 | 0.37/0.36 |

Table 3: The classification results of the Lookup / Visual models for different percentages of full training size.

model the ability to generalize better to rare characters, but also has the potential disadvantage of introducing noise for characters with similar appearances but different meanings.

With the complementary nature of these two models in mind, we further combine the two embeddings to achieve better performances. We adopt three fusion schemes, early fusion, late fusion (described by Snoek et al. (2005) and Karpathy et al. (2014)), and fallback fusion, a method specific to this paper.

**Early Fusion**  Early fusion works by concatenating the two varieties of embeddings before feeding them into the RNN. In order to ensure that the dimensions of the RNN are the same after concatenation, the concatenated vector is fed through a hidden layer to reduce the size from $2 \times d_c$ to $d_c$. The whole model is then fine-tuned with training data.

**Late Fusion**  Instead of learning a joint representation like early fusion, late fusion averages the model predictions. Specifically, it takes the output of the softmax layers from both models and averages the probabilities to create a final distribution used to make the prediction.

**Fallback Fusion**  Our final fallback fusion method hypothesizes that our VISUAL model does better with instances which contain more rare characters. First, in order to quantify the overall rareness of an instance consisting of multiple characters, we calculate the average training set frequency of the characters therein. The fallback fusion method uses the VISUAL model to predict testing instances with average character frequency below or equal to a threshold (here we use 0.0 frequency as cutoff, which means all characters in the instance do not appear in the training set), and uses the LOOKUP model to predict the rest of the instances.

5 Experiments and Results

In this section, we compare our proposed VISUAL model with the baseline LOOKUP model through three different sets of experiments. First, we examine whether our model is capable of classifying text and achieving similar performance as the baseline model. Next, we examine the hypothesis that our model will outperform the baseline model when dealing with low frequency characters. Finally, we examine the fusion methods described in Section 4.

5.1 Experimental Configurations

The dimension of the embeddings and batch size for both models are set to $d_c = 128$ and $B = 400$, respectively. We build our proposed model using Torch (Collobert et al., 2002), and use Adam (Kingma and Ba, 2014) with a learning rate $\eta = 0.001$ for stochastic optimization. The length of each instance is cut off or padded to 10 characters for batch training.

5.2 Comparison with the Baseline Model

In this experiment, we examine whether our VISUAL model achieves similar performance with the baseline LOOKUP model in classification accuracy.

The results in Tab. 3 show that the baseline model performs 1-2% better across four datasets; this is due to the fact that the LOOKUP model can directly learn character embeddings that capture the semantics of each character symbol for frequent characters. In contrast, the VISUAL model learns embeddings from visual information, which constraints characters that has similar appearance to have similar embeddings. This is an advantage for rare characters, but a disadvantage for high frequency characters because being similar in appearance does not always lead to similar semantics.

To demonstrate that this is in fact the case, besides looking at the overall classification accuracy, we also examine the performance on classifying low frequency instances which are sorted according to the average training set frequency of the characters therein. Tab. 4 and Fig. 4 both show that our model performs better in the 100 lowest frequency instances (the intersection point of the two models). More specifically, take Fig. 4(a) as example, the solid (proposed) line is higher than the dashed (baseline) line up to $10^2$, indicating that the proposed model outperforms the baseline for the
Figure 4: Experiments on different training sizes for four different datasets. More specifically, we consider three different training data size percentages (TPs) (100%, 50%, and 12.5%) and four datasets: (a) traditional Chinese, (b) simplified Chinese, (c) Japanese, and (d) Korean. We calculate the accumulated number of correctly predicted instances for the VISUAL model (solid lines) and the LOOKUP model (dashed lines). This figure is a log-log plot, where x-axis shows rarity (rarest to the left), y-axis shows cumulative correctly classified instances up to this rank; a perfect classifier will result in a diagonal line.

The first 100 instances. Lines depart the x-axis when the model classifies its first instance correctly, and the LOOKUP model did not correctly classify any of the first 80 rarest instances, resulting in it crossing later than the proposed model. This confirms that the VISUAL model can share visual information among characters and help to classify low-frequency instances.

For training time, visual features take significantly more time, as expected. VISUAL is 30x slower than LOOKUP, although they are equivalent at test time. For space, images of Chinese characters took 36MB to store for 8985 characters.

### 5.3 Experiments on Different Training Sizes

In our second experiment, we consider two smaller training sizes (i.e., 50% and 12.5% of the full training size) indicated by green and red lines in Fig. 4. We performed this experiment under the hypothesis that because the proposed method was more robust to infrequent characters, the proposed model may perform better in low-resourced scenarios. If this is the case, the intersection point of the two models will shift right because of the increase of the number of instances with low average character frequency.

|               | 100   | 1000  | 10000 |
|---------------|-------|-------|-------|
| zh_trad       | 0.22/0.49 | 0.35/0.35 | 0.40/0.39 |
| zh_simp       | 0.25/0.53 | 0.39/0.37 | 0.41/0.40 |
| ja            | 0.30/0.35 | 0.45/0.41 | 0.44/0.41 |
| ko            | 0.44/0.33 | 0.44/0.33 | 0.48/0.42 |

Table 4: Classification results for the LOOKUP / VISUAL of the k lowest frequency instances across four datasets. The 100 lowest frequency instances for traditional and simplified Chinese and Korean were both significant (p-value < 0.05). Those for Japanese were not (p-value = 0.13); likely because there was less variety than Chinese and more data than Korean.

As we can see in Fig. 4, the intersection point for 100% training data lies between the intersection point for 50% training data and 12.5%. This disagrees with our hypothesis; this is likely because while the number of low-frequency characters increases, smaller amounts of data also adversely impact the ability of CNN to learn useful visual features, and thus there is not a clear gain nor loss when using the proposed method.

As a more extreme test of the ability of our proposed framework to deal with the unseen char-
Table 5: Experiment results for three different fusion methods across 4 datasets. The late fusion model was better (p-value < 0.001) across four datasets.

|        | zh_trad | zh_simp | ja   | ko   |
|--------|---------|---------|------|------|
| Lookup | 0.5503  | 0.5543  | 0.4914 | 0.4765 |
| Visual | 0.5434  | 0.5403  | 0.4775 | 0.4207 |
| early  | 0.5520  | 0.5546  | 0.4896 | 0.4796 |
| late   | 0.5658  | 0.5685  | 0.5029 | 0.4869 |
| fall   | 0.5507  | 0.5547  | 0.4914 | 0.4766 |

5.4 Experiment on Different Fusion Methods

Results of different fusion methods can be found in Tab. 5. The results show that late fusion gives the best performance among all the fusion schemes combining the LOOKUP model and the proposed VISUAL model. Early fusion achieves small improvements for all languages except Japanese, where it displays a slight drop. Unsurprisingly, fallback fusion performs better than the LOOKUP model and the VISUAL model alone, since it directly targets the weakness of the LOOKUP model (e.g., rare characters) and replaces the results with the VISUAL model. These results show that simple integration, no matter which schemes we use, is beneficial, demonstrating that both methods are capturing complementary information.

5.5 Visualization of Character Embeddings

Finally, we qualitatively examine what is learned by our proposed model in two ways. First, we visualize which parts of the image are most important to the VISUAL model’s embedding calculation. Second, we show the 6-nearest neighbor results for characters using both the LOOKUP and the VISUAL embeddings.

Figure 5: Examples of how much each part of the character contributes to its embedding (the darker the more). Two characters are shown per radical to emphasize that characters with same radical have similar patterns.

Emphasis of the VISUAL Model  In order to delve deeper into what the VISUAL model has learned, we measure a modified version of the occlusion sensitivity proposed by Zeiler and Fergus (2014) by masking the original character image in four ways, and examine the importance of each part of the character to the model’s calculated representations. Specifically, we leave only the upper half, bottom half, left half, or right half of the image, and mask the remainder with white pixels since Chinese characters are usually formed by combining two radicals vertically or horizontally. We run these four images forward through the CNN part of the model and calculate the \( L_2 \) distance between the masked image embeddings with the full image embedding. The larger the distance, the more the masked part of the character contributes to the original embedding. The contribution of each part (e.g. the \( L_2 \) distance) is represented as a heat map, and then it is normalized to adjust the opacity of the character strokes for better visualization. The value of each corner of the heatmap is calculated by adding the two \( L_2 \) distances that contribute to this corner.

The visualization is shown in Fig. 5. The meaning of each Chinese character in English is shown below the Chinese character. The opacity of the character strokes represent how much the corresponding parts contribute to the original embedding (the darker the more). In general, the darker part of the character is related to its semantics. For example, “金” means gold in Chinese, which is
6 Related Work

Methods that utilize neural networks to learn distributed representations of words or characters have been widely developed. However, word2vec (Mikolov et al., 2013), for example, requires storing an extremely large table of vectors for all word types. For example, due to the size of word types in twitter tweets, work has been done to generate vector representations of tweets at character-level (Dhingra et al., 2016).

There is also work done in understanding mathematical expressions with a convolutional network for text and layout recognition by using an attention-based neural machine translation system (Deng et al., 2016). They tested on real-world rendered mathematical expressions paired with LaTeX markup and show the system is effective at generating accurate markup. Other than that, there are several works that combine visual information with text in improving machine translation (Sutskever et al., 2014), visual question answering, caption generation (Xu et al., 2015), etc. These works extract image representations from a pre-trained CNN (Zhu et al., 2016; Wang et al., 2016).

Unrelated to images, CNNs have also been used for text classification (Kim, 2014; Zhang et al., 2015). These models look at the sequential dependencies at the word or character-level and achieve the state-of-the-art results. These works inspire us to use CNN to extract features from image and serve as the input to the RNN. Our model is able to directly back-propagate the gradient all the way through the CNN, which generates visual embeddings, in a way such that the embedding can contain both semantic and visual information.

Several techniques for reducing the rare words effects have been introduced in the literature, including spelling expansion (Habash, 2008), dictionary term expansion (Habash, 2008), proper name transliteration (Daumé and Jagarlamudi, 2011), treating words as a sequence of characters (Luong and Manning, 2016), subword units (Sennrich et al., 2015), and reading text as bytes (Gillick et al., 2015). However, most of these techniques still have no mechanism for handling low frequency characters, which are the target of this work.

Finally, there is one work by Shi et al. (2015) on “radical embedding”, which explicitly splits Chinese characters into radicals based on a dictionary...
of what radicals are included in which characters. The motivation of this method is similar to ours, but is only applicable to Chinese, in contrast to the method in this paper, which works on any language for which we can render text.

7 Conclusion and Future Work

In this paper, we proposed a new framework that utilizes appearance of characters, convolutional neural networks, recurrent neural networks to learn embeddings that are compositional in the component parts of the characters. More specifically, we collected a Wikipedia dataset, which consists of short titles of three different languages and satisfies the compositionality in the characters of the language. Next, we proposed an end-to-end model that learns visual embeddings for characters using CNN and showed that the features extracted from the CNN include both visual and semantic information. Furthermore, we showed that our VISUAL model outperforms the LOOKUP baseline model in low frequency instances. Additionally, by examining the character embeddings visually, we found that our VISUAL model is able to learn visually related embeddings.

In summary, we tackled the problem of rare characters by using embeddings learned from images. In the future, we hope to further generalize this method to other tasks such as pronunciation estimation, which can take advantage of the fact that pronunciation information is encoded in parts of the characters as demonstrated in Fig. 1, or machine translation, which could benefit from a wholistic view that considers both semantics and pronunciation. We also hope to apply the model to other languages with complicated compositional writing systems, potentially including historical texts such as hieroglyphics or cuneiform.

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