On the Level Crossing Rate of Fluid Antenna Systems

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Abstract—Multiple-input multiple-output (MIMO) technology has significantly impacted wireless communication, by providing extraordinary performance gains. However, a minimum inter-antenna space constraint in MIMO systems does not allow its integration in devices with limited space. In this context, the concept of fluid antenna systems (FASs) appears to be a potent solution, where there is no such restriction. In this paper, we investigate the average level crossing rate (LCR) of such FASs. Specifically, we derive closed-form analytical expressions of the LCR of such systems and extensive Monte-Carlo simulations demonstrate that under certain conditions, the LCR obtained coincides with that of a conventional selection combining-based receiver. Finally, the numerical results also provide insights regarding the selection of appropriate parameters that enhance the system performance.

Index Terms—Fluid antenna systems, spatial correlation, level crossing rate, selection combining, diversity.

I. INTRODUCTION

Multiple-input multiple-output (MIMO) can be considered as one of the most popular wireless technologies in recent years. The concepts of diversity and multiplexing gain form the basis of MIMO systems, which led to its extraordinary performance for wireless communication links. However, there must be a minimum distance of $\frac{\lambda}{2}$ between the antennas in MIMO systems, where $\lambda$ is the transmission wavelength. This limits the integration of MIMO systems inside mobile devices such as tablets and mobile phones, where the physical space is very limited.

To overcome the aforementioned limitation of MIMO, the novel concept of fluid antenna system (FAS) was proposed in [2]. FAS is essentially a single antenna system with $N$ fixed locations (referred as “port”) distributed over a given space. The idea of FAS is originally motivated by the increasing trend of using ionized solutions or liquid metals for antennas [3]–[5]. The most interesting aspect of FAS is that an antenna element is no longer kept fixed at a particular location, but it can switch to a relatively more favorable location inside the boundaries, if required. Apparently, the objective of FAS resembles that of traditional transmit antenna selection (TAS) systems [6], where multiple antennas are deployed at different locations and the antenna with the strongest signal is selected. However, unlike TAS systems, the single antenna element in FAS can change position among the predetermined ports. In this way, an FAS exploits the phenomenon of spatial diversity and the received signal from the port with the strongest channel condition is selected. Furthermore, there is no limitation of maintaining a minimum inter-port distance and as a result, the space making up the FAS may be small with large number of ports. The work in [2] evaluates the theoretical performance of such systems in terms of outage probability. It is shown that even with a small space and a practically feasible number of ports, FAS can significantly outperform conventional maximum ratio combining-based systems. The work in [7] investigates the second order statistics of a FAS-based receiver. In particular, the work evaluates its performance in terms of the level crossing rate (LCR), average fade duration (AFD), and also ergodic capacity.

As stated above, FAS does not have any constraint on the inter-port distance. This, unlike in conventional MIMO systems, makes the aspect of spatial correlation a crucial factor in characterizing its performance limits. However, the work in [7] does not make this consideration. Motivated by this, in this work, we investigate the FAS second order statistics by taking the spatial correlation into account. Specifically, we derive closed-form analytical expressions of the LCR as a function of both the number of ports and the associated spatial correlation. We demonstrate that in certain scenarios, the FAS LCR coincides with that of a conventional selection combining (SC)-based system with independent and identically distributed (i.i.d.) channels. To the best of our knowledge, this is the first work that presents a complete analytical framework to characterize the LCR for a FAS, by taking into account its practical constraints and limitations.

II. SYSTEM MODEL

We consider a simple point-to-point topology, where we have a single antenna transmitter and a $N$-port FAS-based mobile receiver, as depicted in Fig. 1. As shown in the figure, a typical FAS-based receiver is essentially a single antenna system with a single radio-frequency (RF) chain. The $N$ ports are evenly distributed in a linear space of $W\lambda$, where $\lambda$ is the transmission wavelength and the antenna can switch locations instantly among the ports [2]. Fig. 1 illustrates that the first
port is the reference and the liquid antenna is always switched to the port with best channel conditions. The channels at the base station for any random process. It facilitates to evaluate the impact is an important parameter in characterizing the dynamics of any random process. Hence, (4) is not a bi-variate random variables. It may happen that, multiple values of is essentially gives the number of times per unit duration in an arbitrary instant . For an isotropic scattering scenario, the time derivative of the signal envelope is Gaussian distributed with zero mean, irrespective of the fading distribution [9]. As we aim to analyze the LCR of a FAS, we propose the following theorem in this direction.

**Theorem 1.** The LCR for a N-port FAS is given by [5].

*Proof.* See Appendix A.

We observe from (3) that the LCR is a function of the spatial correlation, the number of ports, the decision threshold, and the maximum Doppler frequency of the channel. This LCR of an FAS is different from that of a conventional SC-based receiver, primarily because of the unique PDF of the channels at the N ports (as it can be seen from (4)), and also the aspect of the associated spatial correlation. For the sake of completeness, we consider the following two extreme cases: \( \mu_k = 0, 1 \forall k = 1, \ldots, N \). The LCR \( L(x_{th}) \) corresponding to \( \mu_k = 0 \forall k \), i.e. for a no spatial correlation scenario, is given below.

**Corollary 1.** For a scenario without spatial correlation, i.e. \( \mu_k = 0 \forall k \), \( L(x_{th}) \) is

\[
L(x_{th}) = N \sqrt{2 \pi f_D x_{th} e^{-x_{th}^2} (1 - e^{-x_{th}^2})^{N-1}}. \tag{7}
\]

The above corollary follows directly from Theorem 1 by replacing \( \mu_k = 0 \forall k = 1, \ldots, N \) and using

\[
Q_1(0, b) = \int_b^\infty xe^{-x^2/2} dx = e^{-x^2/2} \quad \text{for } b \geq 0. \tag{8}
\]

Without a spatial correlation, for a given set of system parameters, \( L(x_{th}) \) obtained in (7) coincides with the LCR of a conventional SC-based receiver with i.i.d. channels [10] Eq. 18]. The case with \( \mu_k = 1 \forall k \) essentially corresponds to the scenario where the N ports are identical, i.e., there is no need of any switching of the liquid antenna among the ports.

**Corollary 2.** For a scenario with \( \mu_k = 1 \forall k \), we have

\[
L(x_{th}) = \frac{\sqrt{2\pi}}{\sigma} f_D x_{th} e^{-x_{th}^2/2}. \tag{9}
\]

*Proof.* See Appendix B.

It is interesting to note from the above corollary, that in case of identical channels, the FAS LCR is independent of \( N \). Nevertheless, the analytical expression of \( L(x_{th}) \) derived in Theorem 1 being too involved, does not provide any insightful analysis. Hence, we consider a simple case of \( N = 2 \).

**Corollary 3.** For a two-port FAS, the LCR is given by

\[
L(x_{th}) = \frac{2\sqrt{2\pi}}{\sigma} f_D x_{th} e^{-\frac{x_{th}^2}{2\sigma^2(1-\mu^2)}} \times \sum_{k=0}^{\infty} \frac{(\mu x_{th})^{2k}}{(k!)^2 (\sigma^2(1-\mu^2))^{k+1}} \gamma \left( k+1, \frac{x_{th}^2}{\sigma^2(1-\mu^2)} \right). \tag{10}
\]

*Proof.* See Appendix C.

The above corollary demonstrates the effect of parameters such as \( x_{th}, \mu \), and \( \sigma \) on the LCR. For example, we observe from (10) that \( L(x_{th}) \) is the product of an unimodal function and an increasing function with respect to \( x_{th} \). This implies that \( L(x_{th}) \) is also unimodal, i.e. \( L(x_{th}) \) initially increases with \( x_{th} \), but it starts decreasing after a certain point.
\[
L(x_{th}) = \frac{\sqrt{2\pi x_{th}}/f_D}{\sigma} \left\{ e^{-\frac{x_{th}^2}{2\sigma^2}} \prod_{k=2}^{N} \left[ 1 - Q_1 \left( \frac{2\mu_k^2}{\sigma^2(1 - \mu_k^2)} x_{th} \right) \right] \right\} \times \int_{0}^{x_{th}} \frac{2x_1}{\sigma^2} e^{-\frac{x_1^2}{2\sigma^2}} J_0 \left( \frac{2\mu_k x_{th} x_1}{\sigma^2(1 - \mu_k^2)} \right) \prod_{k=2, k \neq 1}^{N} \left[ 1 - Q_1 \left( \frac{2\mu_k^2}{\sigma^2(1 - \mu_k^2)} x_{th} \right) \right] dx_1 \right\}. \quad (6)
\]

Fig. 2. Verification of proposed analysis via Monte-Carlo simulations.

IV. NUMERICAL RESULTS

We now validate our theoretical analysis with extensive Monte-Carlo simulations. Without any loss of generality, we consider unit power channels, i.e. \(E[|h_k|^2] = \sigma^2 = 1 \forall k = 1, \cdots, N\), where \(N\) is the number of ports in the FAS and a carrier frequency of 900 MHz.

Fig. 2 demonstrates the variation of the normalized LCR (NLCR) \(L(x_{th})/f_D\) versus the decision threshold \(x_{th}\) for two scenarios with \(W = 0.1\) and \(0.3\), respectively. In this figure, we have considered a \(N\)-port FAS, with \(N = 2, 3,\) and \(4\), respectively. Note that the values considered are solely for illustration. We observe that the theoretical results (lines) match very closely with the simulation results (markers); this verifies our proposed analytical framework. The figure supports our claim that LCR depends on both \(x_{th}\) and \(\mu\). It can be further noted that the LCR increases with increase of \(x_{th}\) until it reaches its maximum and then it decreases. Moreover, this particular value of \(x_{th}\) depends on the value of \(W\), which corroborates the claims made in [7] regarding the effect of optimum threshold selection. Furthermore, we observe that, the choice of \(W\) also has an impact on the NLCR. Finally, the closed-form expression for NLCR derived in [7] significantly deviates from the simulation results.

Fig. 3 illustrates the effect of \(N\) on the NLCR performance. For an identical \(N\), a marginal increase of \(W\) leads to a significant improvement in performance; for example, observe the performance gap at \(N = 16\) between \(W = 0.1, 0.2,\) and \(0.3\), respectively. It is worth to note, that the best performance is observed when the channels are independent at the ports, i.e. \(\mu_k = 0 \forall k = 1, \cdots, N\). Finally, the figure demonstrates that irrespectively of the choice of \(W\), an FAS asymptotically attains the optimal performance in terms of NLCR, as \(N \to \infty\): greater the value of \(W\), faster is the convergence. However, from [8], we know that \(W\) cannot be increased arbitrarily due to the oscillatory nature of \(J_0(\cdot)\); in this way, the spatial correlation affects the FAS performance. Furthermore, we also observe that the change of \(W\) or \(N\) does not affect the NLCR, as in [7].

Fig. 4 depicts the variation of NLCR with \(N\) for multiple values of \(x_{th}\). We observe that for both the cases, i.e. [6] and [7], a lower threshold results in a lower NLCR. Furthermore, we observe that, as also seen in Fig. 3, [7] is invariant to \(N\) (from the dashed lines). On the contrary, NLCR as derived in [6] is significantly affected with increasing \(N\); the NLCR decreases with \(N\). This demonstrates the key benefit of an FAS, where it is advantageous to have higher \(N\) without any inter-port distance constraint.

V. CONCLUSION

In this paper, motivated by the practical constraints of a FAS, we proposed a novel and general analytical framework for the exact evaluation of an important second order statistical parameter of FAS, namely the LCR. In particular, by considering the effect of the time-varying nature of fading channels, we investigated the aspect of spatial correlation in characterizing this performance metric. Closed form expressions for the LCR were analytically derived and it was demonstrated that in certain scenarios, they coincide with the LCR of an SC-based receiver with i.i.d. channels. Finally, we validate our proposed framework by extensive Monte-Carlo simulations. Based on the framework presented in this paper, an immediate extension of this work is to investigate the second order statistics of a multiple FAS-based topology with a heterogeneous geometry.
the maximum Doppler frequency. As a result, we obtain Gaussian PDF \([9]\) with variance \(\sigma^2\) given by \([12, 8.42]\)

\[
p(\dot{\mathbf{x}}) = \prod_{i=1}^{N} p_{|\dot{h}_i|}(|\dot{h}_i|, x, x)\quad \text{(11)}
\]

where \(|\dot{h}_i|\) is the time derivative of the signal envelope at the \(i\)-th port. Hence, from \([5]\), we obtain the LCR as

\[
L(x_{th}) = \int_{0}^{\infty} \dot{x} p_{|\dot{h}_i|}(|\dot{h}_i|, x, x) \, d\dot{x}
\]

By combining \([12]\) and \([13]\), we have

\[
L(x_{th}) = \sqrt{\frac{\pi}{2}} \sigma f_D \times \sum_{i=1}^{N} \int_{0}^{x_{th}} \cdots \int_{0}^{x_{th}} p_{|\dot{h}_i|}(|\dot{h}_i|, x, x) \, dx_i \cdots dx_N \quad \text{(14)}
\]

The summation term in \((14)\) is alternatively written as

\[
\sum_{i=1}^{N} \int_{0}^{x_{th}} \cdots \int_{0}^{x_{th}} p_{|\dot{h}_i|}(|\dot{h}_i|, x, x) \, dx_i \cdots dx_N
\]

The first term of \((15)\) is evaluated as

\[
\frac{2\pi}{\sigma^2} e^{-\frac{x_{th}^2}{\sigma^2}} \cdot \sum_{k=2}^{N} \frac{2\pi k}{\sigma^2(1 - \mu_k^2)} e^{-\frac{\mu_k^2 x_{th}^2}{\sigma^2(1 - \mu_k^2)}}
\]

By combining \([14], [15], [16], \) and \([17]\), we obtain \([9]\), which completes the proof.

\[\]
\[
\sum_{i=2}^{N} \int_{x_{th}}^{x_i} \int_{x_{th}}^{x_i} P_{h_{[i]}, \ldots, h_{[N]}}(x_1, \ldots, x_1 = x_{th}, \ldots, x_N) dx_1 \cdots dx_k \cdots dx_N \\
= \sum_{i=2}^{N} \int_{x_{th}}^{x_i} \int_{x_{th}}^{x_i} \frac{2x_1}{\sigma^2(1 - \mu^2)^2} e^{-\frac{x_1^2 + x_1^2}{2\sigma^2(1 - \mu^2)^2}} I_0 \left( \frac{2 \mu x_1 x_{th}}{\sigma^2(1 - \mu^2)} \right) \prod_{k=k_i}^{k_{\text{f}}-1} I_0 \left( \frac{2 \mu x_k x_{th}}{\sigma^2(1 - \mu^2)} \right) dx_1 \cdots dx_k \cdots dx_N \\
= \sum_{i=2}^{N} \int_{x_{th}}^{x_i} \int_{x_{th}}^{x_i} \frac{x_1^2}{\sigma^2(1 - \mu^2)^2} e^{-\frac{x_1^2 + x_1^2}{2\sigma^2(1 - \mu^2)^2}} I_0 \left( \frac{2 \mu x_1 x_{th}}{\sigma^2(1 - \mu^2)} \right) \prod_{k=k_i}^{k_{\text{f}}-1} I_0 \left( \frac{2 \mu x_k x_{th}}{\sigma^2(1 - \mu^2)} \right) \left[ 1 - Q_1 \left( \sqrt{\frac{2\mu^2 x_{th}^2}{\sigma^2(1 - \mu^2)}}, \sqrt{\frac{2}{\sigma^2(1 - \mu^2)} x_{th}} \right) \right] dx_1.
\]

where (a) follows from \( p_{h_{[i]}, \ldots, h_{[N]}}(x) = p_{h_{[i]}}(x) p_{h_{[j]}}(x) \) [9] Eq. 2.97 and (b) follows from [13]. Hence, the proof.

C. Proof of Corollary

Since we are considering a two-port scenario, we take into account the joint PDF of the channel at these two ports. Hence, by replacing \( N = 2 \) in (4), the joint PDF becomes
\[
P_{h_{[1]}, h_{[2]}}(x_{1}, x_{2}) = \frac{4x_{1}x_{2}}{\sigma^4(1 - \mu^2)} e^{-\frac{x_{1}^2 + x_{2}^2}{2\sigma^2(1 - \mu^2)^2}} I_0 \left( \frac{2 \mu x_1 x_{2}}{\sigma^2(1 - \mu^2)} \right), \quad \text{for } x_1, x_2 \geq 0.
\]

Thus, by replacing \( N = 2 \) in (6) and after some trivial algebraic manipulations, we obtain
\[
L(x_{th}) = \sqrt{\frac{\pi}{2}} \sigma f_D \left( \int_{0}^{x_{th}} p_{h_{[1]}, h_{[2]}}(x_{th}, x_{2}) dx_{2} \right) + \int_{x_{th}}^{x_{th}} p_{h_{[1]}, h_{[2]}}(x_{1}, x_{th}) dx_{1} \\
(a) = \sqrt{\frac{\pi}{2}} \sigma f_D \int_{0}^{x_{th}} p_{h_{[1]}, h_{[2]}}(x_{th}, x_{2}) dx_{2} = \frac{4\sqrt{\frac{\pi}{2}} \sigma f_D}{\sigma^4(1 - \mu^2)} \exp \left( -\frac{x_{th}^2}{2\sigma^2(1 - \mu^2)} \right) \int_{x_{th}}^{x_{th}} \frac{x_{2}}{\sigma^2(1 - \mu^2)} I_0 \left( \frac{2 \mu x_{th} x_{2}}{\sigma^2(1 - \mu^2)} \right) dx_{2},
\]

where (a) follows from (19) and the integral
\[
\int_{x_{th}}^{x_{th}} x_{2} \exp \left( -\frac{x_{th}^2}{\sigma^2(1 - \mu^2)} \right) I_0 \left( \frac{2 \mu x_{th} x_{2}}{\sigma^2(1 - \mu^2)} \right) dx_{2}
\]

is obtained as
\[
\int_{0}^{x_{th}} x_{2} \exp \left( -\frac{x_{th}^2}{\sigma^2(1 - \mu^2)} \right) I_0 \left( \frac{2 \mu x_{th} x_{2}}{\sigma^2(1 - \mu^2)} \right) dx_{2} \\
= \sum_{k=0}^{\infty} \frac{1}{(k!)^2} \left( \frac{\mu x_{th}}{\sigma^2(1 - \mu^2)} \right)^{2k} \int_{x_{th}}^{x_{th}} \frac{x_{2}}{\sigma^2(1 - \mu^2)} \left( \mu x_{th} x_{2} \right)^{2k} dx_{2} \\
= \sum_{k=0}^{\infty} \frac{1}{(k!)^2} \left( \frac{\mu x_{th}}{\sigma^2(1 - \mu^2)} \right)^{2k} \left( \frac{1}{\sigma^2(1 - \mu^2)} \right)^{(1 + k)} \left( \frac{1}{\mu x_{th} x_{2}} \right)^{2k+1} \int_{x_{th}}^{x_{th}} x_{2} \left( \frac{\mu x_{th} x_{2}}{\sigma^2(1 - \mu^2)} \right)^{2k+1} dx_{2}.
\]

Here (b) follows from [14] 8.445 and by assuming \( 0 < \mu < 1 \), (c) follows from changing the order of summation and integration, and \( \gamma(\cdot, \cdot) \) denotes the lower incomplete Gamma function. As a result, by substituting (21) in (20), we finally obtain (10).

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