On the intelligibility of the universe and
the notions of simplicity, complexity and
irreducibility

Gregory Chaitin, IBM Research Division
chaitin@us.ibm.com

Abstract
We discuss views about whether the universe can be rationally com-
prehended, starting with Plato, then Leibniz, and then the views of some
distinguished scientists of the previous century. Based on this, we defend
the thesis that comprehension is compression, i.e., explaining many facts
using few theoretical assumptions, and that a theory may be viewed as a
computer program for calculating observations. This provides motivation
for defining the complexity of something to be the size of the simplest
theory for it, in other words, the size of the smallest program for calculating
it. This is the central idea of algorithmic information theory (AIT),
a field of theoretical computer science. Using the mathematical concept
of program-size complexity, we exhibit irreducible mathematical facts,
mathematical facts that cannot be demonstrated using any mathematical
type simpler than they are. It follows that the world of mathematical
ideas has infinite complexity and is therefore not fully comprehensible,
at least not in a static fashion. Whether the physical world has finite or
infinite complexity remains to be seen. Current science believes that the
world contains randomness, and is therefore also infinitely complex, but a
deterministic universe that simulates randomness via pseudo-randomness
is also a possibility, at least according to recent highly speculative work of
S. Wolfram. [Written for a meeting of the German Philosophical Society,
Bonn, September 2002.]

"Nature uses only the longest threads to weave her patterns, so that each small piece of
her fabric reveals the organization of the entire tapestry."
—Feynman, The Character of Physical Law, 1965, at the very end of Chapter 1, “The
Law of Gravitation”.

"The most incomprehensible thing about the universe is that it is comprehensible."
—Attributed to Einstein. The original source, where the wording is somewhat differ-ent, is Einstein, “Physics and Reality”, 1936, reprinted in Einstein, Ideas and Opinions, 1954.

1 An updated version of this chapter would no doubt include a discussion of the infamous
astronomical missing mass problem.

2 Einstein actually wrote “Das ewig Unbegreifliche an der Welt ist ihre Begreiflichkeit”.
Translated word for word, this is “The eternally incomprehensible about the world is its
comprehensibility”. But I prefer the version given above, which emphasizes the paradox.
It’s a great pleasure for me to speak at this meeting of the German Philosophical Society. Perhaps it’s not generally known that at the end of his life my predecessor Kurt Gödel was obsessed with Leibniz.

Writing this paper was for me a voyage of discovery—of the depth of Leibniz’s thought! Leibniz’s power as a philosopher is informed by his genius as a mathematician; as I’ll explain, some of the key ideas of AIT are clearly visible in embryonic form in his 1686 Discourse on Metaphysics.

I Plato’s Timaeus—The Universe is Intelligible. Origins of the Notion of Simplicity: Simplicity as Symmetry [Brisson, Meyerstein 1991]

“[T]his is the central idea developed in the Timaeus: the order established by the demiurge in the universe becomes manifest as the symmetry found at its most fundamental level, a symmetry which makes possible a mathematical description of such a universe.”

—Brisson, Meyerstein, Inventing the Universe, 1995 (1991 in French). This book discusses the cosmology of Plato’s Timaeus, modern cosmology and AIT; one of their key insights is to identify symmetry with simplicity.

According to Plato, the world is rationally understandable because it has structure. And the universe has structure, because it is a work of art created by a God who is a mathematician. Or, more abstractly, the structure of the world consists of God’s thoughts, which are mathematical. The fabric of reality is built out of eternal mathematical truth. [Brisson, Meyerstein, Inventer l’Univers, 1991]

Timaeus postulates that simple, symmetrical geometrical forms are the building blocks for the universe: the circle and the regular solids (cube, tetrahedron, icosahedron, dodecahedron).

What was the evidence that convinced the ancient Greeks that the world is comprehensible? Partly it was the beauty of mathematics, particularly geometry and number theory, and partly the Pythagorean work on the physics of stringed instruments and musical tones, and in astronomy, the regularities in the motions of the planets and the starry heavens and eclipses. Strangely enough, mineral crystals, whose symmetries magnify enormously quantum-mechanical symmetries that are found at the atomic and molecular level, are never mentioned.

What is our current cosmology?

Since the chaos of everyday existence provides little evidence of simplicity, biology is based on chemistry is based on physics is based on high-energy or particle physics. The attempt to find underlying simplicity and pattern leads reductionist modern science to break things into smaller and smaller components in an effort to find the underlying simple building blocks.

And the modern version of the cosmology of Timaeus is the application of symmetries or group theory to understand sub-atomic particles (formerly

3See Menger, Reminiscences of the Vienna Circle and the Mathematical Colloquium, 1994.
called elementary particles), for example, Gell-Mann’s eightfold way, which predicted new particles. This work classifying the “particle zoo” also resembles Mendeleev’s periodic table of the elements that organizes their chemical properties so well.

And modern physicists have also come up with a possible answer to the Einstein quotation at the beginning of this paper. Why do they think that the universe is comprehensible? They invoke the so-called “anthropic principle” [Barrow, Tipler, *The Anthropic Cosmological Principle*, 1986], and declare that we would not be here to ask this question unless the universe had enough order for complicated creatures like us to evolve!

Now let’s proceed to the next major step in the evolution of ideas on simplicity and complexity, which is a stronger version of the Platonic creed due to Leibniz.

II What Does it Mean for the Universe to be Intelligible? Leibniz’s Discussion of Simplicity, Complexity and Lawlessness [Weyl 1932]

“As for the simplicity of the ways of God, this holds properly with respect to his means, as opposed to the variety, richness, and abundance, which holds with respect to his ends or effects.”

“But, when a rule is extremely complex, what is in conformity with it passes for irregular. Thus, one can say, in whatever manner God might have created the world, it would always have been regular and in accordance with a certain general order. But God has chosen the most perfect world, that is, the one which is at the same time the simplest in hypotheses and the richest in phenomena, as might be a line in geometry whose construction is easy and whose properties and effects are extremely remarkable and widespread.”

—Leibniz, *Discourse on Metaphysics*, 1686, Sections 5–6; from Leibniz, *Philosophical Essays*, edited and translated by Ariew and Garber, 1989, pp. 38–39.

“The assertion that nature is governed by strict laws is devoid of all content if we do not add the statement that it is governed by mathematically simple laws... That the notion of law becomes empty when an arbitrary complication is permitted was already pointed out by Leibniz in his *Metaphysical Treatise [Discourse on Metaphysics]*. Thus simplicity becomes a working principle in the natural sciences... The astonishing thing is not that there exist natural laws, but that the further the analysis proceeds, the finer the details, the finer the elements to which the phenomena are reduced, the simpler—and not the more complicated, as one would originally expect—the fundamental relations become and the more exactly do they describe the actual occurrences. But this circumstance is apt to weaken the metaphysical power of determinism, since it makes the meaning of natural law depend on the fluctuating distinction between mathematically simple and complicated functions or classes of functions.”

—Hermann Weyl, *The Open World, Three Lectures on the Metaphysical Implications of Science*, 1932, pp. 40–42. See a similar discussion on pp. 190–191 of Weyl, *Philosophy of Mathematics and Natural Science*, 1949, Section 23A, “Causality and Law”.

4For more on this, see the essay by Freeman Dyson on “Mathematics in the Physical Sciences” in COSRIMS, *The Mathematical Sciences*, 1969. This is an article of his that was originally published in *Scientific American*.

5This is a remarkable anticipation of my definition of “algorithmic randomness”, as a set of observations that only has what Weyl considers to be unacceptable theories, ones that are as complicated as the observations themselves, without any “compression”.
“Weyl said, not long ago, that ‘the problem of simplicity is of central importance for the epistemology of the natural sciences’. Yet it seems that interest in the problem has lately declined; perhaps because, especially after Weyl’s penetrating analysis, there seemed to be so little chance of solving it.”

—Weyl, Philosophy of Mathematics and Natural Science, 1949, p. 155, quoted in Popper, The Logic of Scientific Discovery, 1959, Chapter VII, “Simplicity”, p. 136.

In his novel Candide, Voltaire ridiculed Leibniz, caricaturing Leibniz’s subtle views with the memorable phrase “this is the best of all possible worlds”. Voltaire also ridiculed the efforts of Maupertius to develop a physics in line with Leibniz’s views, one based on a principle of least effort.

Nevertheless versions of least effort play a fundamental role in modern science, starting with Fermat’s deduction of the laws for reflection and refraction of light from a principle of least time. This continues with the Lagrangian formulation of mechanics, stating that the actual motion minimizes the integral of the difference between the potential and the kinetic energy. And least effort is even important at the current frontiers, such as in Feynman’s path integral formulation of quantum mechanics (electron waves) and quantum electrodynamics (photons, electromagnetic field quanta).

However, all this modern physics refers to versions of least effort, not to ideas, not to information, and not to complexity—which are more closely connected with Plato’s original emphasis on symmetry and intellectual simplicity = intelligibility. An analogous situation occurs in theoretical computer science, where work on computational complexity is usually focussed on time, not on the complexity of ideas or information. Work on time complexity is of great practical value, but I believe that the complexity of ideas is of greater conceptual significance. Yet another example of the effort/information divide is the fact that I am interested in the irreducibility of ideas (see Sections V and VI), while Stephen Wolfram (who is discussed later in this section) instead emphasizes time irreducibility, physical systems for which there are no predictive short-cuts and the fastest way to see what they do is just to run them.

Leibniz’s doctrine concerns more than “least effort”, it also implies that the ideas that produce or govern this world are as beautiful and as simple as possible. In more modern terms, God employed the smallest possible amount of intellectual material to build the world, and the laws of physics are as simple and as beautiful as they can be and allow us, intelligent beings, to evolve. The belief in this Leibnizean doctrine lies behind the continuing reductionist efforts of high-energy physics (particle physics) to find the ultimate components of reality. The continuing vitality of this Leibnizean doctrine also lies behind astrophysicist John Barrow’s emphasis in his “Theories of Everything” essay on finding the minimal TOE that explains the universe, a TOE that is as simple

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6See the short discussion of minimum principles in Feynman, The Character of Physical Law, 1965, Chapter 2, “The Relation of Mathematics to Physics”. For more information, see The Feynman Lectures on Physics, 1963, Vol. 1, Chapter 26, “Optics: The Principle of Least Time”, Vol. 2, Chapter 19, “The Principle of Least Action”.

7This is a kind of “anthropic principle”, the attempt to deduce things about the universe from the fact that we are here and able to look at it.
as possible, with no redundant elements (see Section VII below).

**Important point:** To say that the fundamental laws of physics must be simple does not at all imply that it is easy or fast to deduce from them how the world works, that it is quick to make predictions from the basic laws. The *apparent complexity* of the world we live in—a phrase that is constantly repeated in Wolfram, *A New Kind of Science*, 2002—then comes from the long deductive path from the basic laws to the level of our experience. So again, I claim that minimum information is more important than minimum time, which is why in Section IV I do not care how long a minimum-size program takes to produce its output, nor how much time it takes to calculate experimental data using a scientific theory.

**More on Wolfram:** In *A New Kind of Science*, Wolfram reports on his systematic computer search for simple rules with very complicated consequences, very much in the spirit of Leibniz’s remarks above. First Wolfram amends the Pythagorean insight that Number rules the universe to assert the primacy of Algorithm, not Number. And those are *discrete* algorithms, it’s a digital philosophy! Then Wolfram sets out to survey all possible worlds, at least all the simple ones. Along the way he finds a lot of interesting stuff. For example, Wolfram’s cellular automata rule 110 is a universal computer, an amazingly simple one, that can carry out any computation. *A New Kind of Science* is an attempt to discover the laws of the universe by pure thought, to search systematically for God’s building blocks!

**The limits of reductionism:** In what sense can biology and psychology be reduced to mathematics and physics?! This is indeed the acid test of a reductionist viewpoint! Historical contingency is often invoked here: life as “frozen accidents” (mutations), not something fundamental [Wolfram, Gould]. Work on artificial life (Alife) plus advances in robotics are particularly aggressive reductionist attempts. The normal way to “explain” life is evolution by natural selection, ignoring Darwin’s own sexual selection and symbiotic/cooperative views of the origin of biological progress—new species—notably espoused by Lynn Margulis (“symbiogenesis”). Other problems with Darwinian gradualism: following the DNA as software paradigm, small changes in DNA software can produce big changes in organisms, and a good way to build this software is by trading useful subroutines (this is called horizontal or lateral DNA transfer). In fact, there is a lack of fossil evidence for many intermediate forms which is evidence for rapid production of new species (so-called “punctuated equilibrium”).

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8 It could also come from the complexity of the initial conditions, or from coin-tossing, i.e., randomness.
9 That’s a term invented by Edward Fredkin, who has worked on related ideas.
10 That’s why his book is so thick!
11 This is how bacteria acquire immunity to antibiotics.
12 Already noted by Darwin.
III What do Working Scientists Think about Simplicity and Complexity?

“Science itself, therefore, may be regarded as a minimal problem, consisting of the completest possible presentment of facts with the least possible expenditure of thought... Those ideas that hold good throughout the widest domains of research and that supplement the greatest amount of experience, are the most scientific.”

—Ernst Mach, *The Science of Mechanics*, 1893, Chapter IV, Section IV, “The Economy of Science”, reprinted in Newman, *The World of Mathematics*, 1956.

“Furthermore, the attitude that theoretical physics does not explain phenomena, but only classifies and correlates, is today accepted by most theoretical physicists. This means that the criterion of success for such a theory is simply whether it can, by a simple and elegant classifying and correlating scheme, cover very many phenomena, which without this scheme would seem complicated and heterogeneous, and whether the scheme even covers phenomena which were not considered or even not known at the time when the scheme was evolved. (These two latter statements express, of course, the unifying and the predicting power of a theory.)”

—John von Neumann, “The Mathematician”, 1947, reprinted in Newman, *The World of Mathematics*, 1956, and in Bródy, Vámoss, *The Neumann Compendium*, 1995.

“These fundamental concepts and postulates, which cannot be further reduced logically, form the essential part of a theory, which reason cannot touch. It is the grand object of all theory to make these irreducible elements as simple and as few in number as possible... [As] the distance in thought between the fundamental concepts and laws on the one side and, on the other, the conclusions which have to be brought into relation with our experience grows larger and larger, the simpler the logical structure becomes—that is to say, the smaller the number of logically independent conceptual elements which are found necessary to support the structure.”

—Einstein, “On the Method of Theoretical Physics”, 1934, reprinted in Einstein, *Ideas and Opinions*, 1954.

“The aim of science is, on the one hand, a comprehension, as complete as possible, of the connection between the sense experiences in their totality, and, on the other hand, the accomplishment of this aim by the use of a minimum of primary concepts and relations. (Seeking as far as possible, logical unity in the world picture, i.e., paucity in logical elements.)”

“Physics constitutes a logical system of thought which is in a state of evolution, whose basis cannot be distilled, as it were, from experience by an inductive method, but can only be arrived at by free invention... Evolution is proceeding in the direction of increased simplicity of the logical basis. In order further to approach this goal, we must resign to the fact that the logical basis departs more and more from the facts of experience, and that the path of our thought from the fundamental basis to those derived propositions, which correlate with sense experiences, becomes continually harder and longer.”

—Einstein, “Physics and Reality”, 1936, reprinted in Einstein, *Ideas and Opinions*, 1954.

“[S]omething general will have to be said... about the points of view from which physical theories may be analyzed critically... The first point of view is obvious: the theory must not contradict empirical facts... The second point of view is not concerned with the relationship to the observations but with the premises of the theory itself, with what may briefly but vaguely be characterized as the ‘naturalness’ or ‘logical simplicity’ of the premises (the basic concepts and the relations between these)... We prize a theory more highly if, from the logical standpoint, it does not involve an arbitrary choice among theories that are equivalent and possess analogous structures... I must confess herewith that I cannot at this point, and perhaps not at all, replace these hints by more precise definitions. I believe, however, that a sharper formulation would be possible.”

—Einstein, “Autobiographical Notes”, originally published in Schilpp, *Albert Einstein, Philosopher-Scientist*, 1949, and reprinted as a separate book in 1979.

“What, then, impels us to devise theory after theory? Why do we devise theories at all? The answer to the latter question is simply: because we enjoy 'comprehending,' i.e., reducing phenomena by the process of logic to something already known or
New theories are first of all necessary when we encounter new facts which cannot be ‘explained’ by existing theories. But this motivation for setting up new theories is, so to speak, trivial, imposed from without. There is another, more subtle motive of no less importance. This is the striving toward unification and simplification of the premises of the theory as a whole (i.e., Mach’s principle of economy, interpreted as a logical principle).”

“There exists a passion for comprehension, just as there exists a passion for music. That passion is rather common in children, but gets lost in most people later on. Without this passion, there would be neither mathematics nor natural science. Time and again the passion for understanding has led to the illusion that man is able to comprehend the objective world rationally, by pure thought, without any empirical foundations—in short, by metaphysics. I believe that every true theorist is a kind of tamed metaphysicist, no matter how pure a ‘positivist’ he may fancy himself. The metaphysicist believes that the logically simple is also the real. The tamed metaphysicist believes that not all that is logically simple is embodied in experienced reality, but that the totality of all sensory experience can be ‘comprehended’ on the basis of a conceptual system built on premises of great simplicity. The skeptic will say that this is a ‘miracle creed.’ Admittedly so, but it is a miracle creed which has been borne out to an amazing extent by the development of science.”

—Einstein, “On the Generalized Theory of Gravitation”, 1950, reprinted in Einstein, Ideas and Opinions, 1954.

“One of the most important things in this ‘guess—compute consequences—compare with experiment’ business is to know when you are right. It is possible to know when you are right way ahead of checking all the consequences. You can recognize truth by its beauty and simplicity. It is always easy when you have made a guess, and done two or three little calculations to make sure that it is not obviously wrong, to know that it is right. When you get it right, it is obvious that it is right—at least if you have any experience—because usually what happens is that more comes out than goes in. Your guess is, in fact, that something is very simple. If you cannot see immediately that it is wrong, and it is simpler than it was before, then it is right. The inexperienced, and crackpots, and people like that, make guesses that are simple, but you can immediately see that they are wrong, so that does not count. Others, the inexperienced students, make guesses that are very complicated, and it sort of looks as if it is all right, but I know it is not true because the truth always turns out to be simpler than you thought. What we need is imagination, but imagination in a terrible strait-jacket. We have to find a new view of the world that has to agree with everything that is known, but disagree in its predictions somewhere, otherwise it is not interesting. And in that disagreement it must agree with nature…”

—Feynman, The Character of Physical Law, 1965, Chapter 7, “Seeking New Laws”.

“It is natural that a man should consider the work of his hands or his brain to be useful and important. Therefore nobody will object to an ardent experimentalist boasting of his measurements and rather looking down on the ‘paper and ink’ physics of his theoretical friend, who on his part is proud of his lofty ideas and despises the dirty fingers of the other. But in recent years this kind of friendly rivalry has changed into something more serious… [A] school of extreme experimentalists… has gone so far as to reject theory altogether… There is also a movement in the opposite direction… claiming that to the mind well trained in mathematics and epistemology the laws of Nature are manifest without appeal to experiment.”

“Given the knowledge and the penetrating brain of our mathematician, Maxwell’s equations are a result of pure thinking and the toil of experimenters antiquated and superfluous. I need hardly explain to you the fallacy of this standpoint. It lies in the fact that none of the notions used by the mathematicians, such as potential, vector potential, field vectors, Lorentz transformations, quite apart from the principle of action itself, are evident or given a priori. Even if an extremely gifted mathematician had constructed them to describe the properties of a possible world, neither he nor anybody else would have had the slightest idea how to apply them to the real world.”

—Charles Darwin, my predecessor in my Edinburgh chair, once said something like this: ‘The Ordinary Man can see a thing an inch in front of his nose; a few can see things 2 inches distant; if anyone can see it at 3 inches, he is a man of genius.’ I have tried to describe to you some of the acts of these 2- or 3-inch men. My admiration of them is not diminished by the
consciousness of the fact that they were guided by the experience of the whole human race to the right place into which to poke their noses. I have also not endeavoured to analyse the idea of beauty or perfection or simplicity of a natural law which has often guided the correct divination. I am convinced that such an analysis would lead to nothing; for these ideas are themselves subject to development. We learn something new from every new case, and I am not inclined to accept final theories about invariable laws of the human mind."

“My advice to those who wish to learn the art of scientific prophecy is not to rely on abstract reason, but to decipher the secret language of Nature from Nature’s documents, the facts of experience.”

—Max Born, Experiment and Theory in Physics, 1943, pp. 1, 8, 34–35, 44.

These eloquent discussions of the role that simplicity and complexity play in scientific discovery by these distinguished 20th century scientists show the importance that they ascribe to these questions.

In my opinion, the fundamental point is this: The belief that the universe is rational, lawful, is of no value if the laws are too complicated for us to comprehend, and is even meaningless if the laws are as complicated as our observations, since the laws are then no simpler than the world they are supposed to explain. As we saw in the previous section, this was emphasized (and attributed to Leibniz) by Hermann Weyl, a fine mathematician and mathematical physicist.

But perhaps we are overemphasizing the role that the notions of simplicity and complexity play in science?

In his beautiful 1943 lecture published as a small book on Experiment and Theory in Physics, the theoretical physicist Max Born criticized those who think that we can understand Nature by pure thought, without hints from experiments. In particular, he was referring to now forgotten and rather fanciful theories put forth by Eddington and Milne. Now he might level these criticisms at string theory and at Stephen Wolfram’s A New Kind of Science [Jacob T. Schwartz, private communication].

Born has a point. Perhaps the universe is complicated, not simple! This certainly seems to be the case in biology more than in physics. Then thought alone is insufficient; we need empirical data. But simplicity certainly reflects what we mean by understanding: understanding is compression. So perhaps this is more about the human mind than it is about the universe. Perhaps our emphasis on simplicity says more about us than it says about the universe!

Now we’ll try to capture some of the essential features of these philosophical ideas in a mathematical theory.

IV A Mathematical Theory of Simplicity, Complexity and Irreducibility: AIT

The basic idea of algorithmic information theory (AIT) is that a scientific theory is a computer program, and the smaller, the more concise the program is, the better the theory!

But the idea is actually much broader than that. The central idea of algorithmic information theory is reflected in the belief that the fol-
flowing diagrams all have something fundamental in common. In each case, ask how much information we put in versus how much we get out. And everything is digital, discrete.

Shannon information theory (communications engineering), noiseless coding:

encoded message $\rightarrow$ Decoder $\rightarrow$ original message

Model of scientific method:

scientific theory $\rightarrow$ Calculations $\rightarrow$ empirical/experimental data

Algorithmic information theory (AIT), definition of program-size complexity:

program $\rightarrow$ Computer $\rightarrow$ output

Central dogma of molecular biology:

DNA $\rightarrow$ Embryogenesis/Development $\rightarrow$ organism

(In this connection, see Küppers, Information and the Origin of Life, 1990.)

Turing/Post abstract formulation of a Hilbert-style formal axiomatic mathematical theory as a mechanical procedure for systematically deducing all possible consequences from the axioms:

axioms $\rightarrow$ Deduction $\rightarrow$ theorems

Contemporary physicists’ efforts to find a Theory of Everything (TOE):

TOE $\rightarrow$ Calculations $\rightarrow$ Universe

Leibniz, Discourse on Metaphysics, 1686:

Ideas $\rightarrow$ Mind of God $\rightarrow$ The World

In each case the left-hand side is smaller, much smaller, than the right-hand side. In each case, the right-hand side can be constructed (re-constructed) mechanically, or systematically, from the left-hand side. And in each case we want to keep the right-hand side fixed while making the left-hand side as small as possible. Once this is accomplished, we can use the size of the left-hand side as a measure of the simplicity or the complexity of the corresponding right-hand side.

Starting with this one simple idea, of looking at the size of computer programs, or at program-size complexity, you can develop a sophisticated, elegant mathematical theory, AIT, as you can see in my four Springer-Verlag volumes listed in the bibliography of this paper.

But, I must confess that AIT makes a large number of important hidden assumptions! What are they?

Well, one important hidden assumption of AIT is that the choice of computer or of computer programming language is not too important, that it does not affect program-size complexity too much, in any fundamental way. This is debatable.
Another important tacit assumption: we use the discrete computation approach of Turing 1936, eschewing computations with “real” (infinite-precision) numbers like $\pi = 3.1415926\ldots$ which have an infinite number of digits when written in decimal notation, but which correspond, from a geometrical point of view, to a single point on a line, an elemental notion in continuous, but not in discrete, mathematics. Is the universe discrete or continuous? Leibniz is famous for his work on continuous mathematics. AIT sides with the discrete, not with the continuous. [Françoise Chaitin-Chatelin, private communication]

Also, in AIT we completely ignore the time taken by a computation, concentrating only on the size of the program. And the computation run-times may be monstrously large, quite impractically so, in fact, totally astronomical in size. But trying to take time into account destroys AIT, an elegant, simple theory of complexity, and one which imparts much intuitive understanding. So I think that it is a mistake to try to take time into account when thinking about this kind of complexity.

We’ve talked about simplicity and complexity, but what about irreducibility? Now let’s apply AIT to mathematical logic and obtain some limitative metatheorems. However, following Turing 1936 and Post 1944, I’ll use the notion of algorithm to deduce limits to formal reasoning, not Gödel’s original 1931 approach. I’ll take the position that a Hilbert-style mathematical theory, a formal axiomatic theory, is a mechanical procedure for systematically generating all the theorems by running through all possible proofs, systematically deducing all consequences of the axioms. Consider the size in bits of the algorithm for doing this. This is how we measure the simplicity or complexity of the formal axiomatic theory. It’s just another instance of program-size complexity!

But at this point, Chaitin-Chatelin insists, I should admit that we are making an extremely embarrassing hidden assumption, which is that you can systematically run through all the proofs. This assumption, which is bundled into my definition of a formal axiomatic theory, means that we are assuming that the language of our theory is static, and that no new concepts can ever emerge. But no human language or field of thought is static. And this idea of being able to make a numbered list with all possible proofs was clearly anticipated by Émile Borel in 1927 when he pointed out that there is a real number with the problematical property that its $N$th digit after the decimal point gives us the answer to the $N$th yes/no question in French.

Yes, I agree, a Hilbert-style formal axiomatic theory is indeed a fantasy, but it is a fantasy that inspired many people, and one that even helped to lead to the creation of modern programming languages. It is a fantasy that it is useful to take seriously long enough for us to show in Section VI that even if you are

\[\text{In a way, this point of view was anticipated by Leibniz with his }\text{lingua characteristica universalis.}\]

\[\text{And computer programming languages aren’t static either, which can be quite a nuisance.}\]

\[\text{Borel’s work was brought to my attention by Vladimir Tasić in his book }\text{Mathematics and the Roots of Postmodern Thought, 2001, where he points out that in some ways it anticipates the }\Omega\text{ number that I’ll discuss in Section IX. Borel’s paper is reprinted in Mancosu, }\text{From Brouwer to Hilbert, 1998, pp. 296–300.}\]
willing to accept all these tacit assumptions, something else is terribly wrong. Formal axiomatic theories can be criticized from within, as well as from without. And it is far from clear how weakening these tacit assumptions would make it easier to prove the irreducible mathematical truths that are exhibited in Section VI.

And the idea of a fixed, static computer programming language in which you write the computer programs whose size you measure is also a fantasy. Real computer programming languages don’t stand still, they evolve, and the size of the computer program you need to perform a given task can therefore change. Mathematical models of the world like these are always approximations, “lies that help us to see the truth” (Picasso). Nevertheless, if done properly, they can impart insight and understanding, they can help us to comprehend, they can reveal unexpected connections...

V From Computational Irreducibility to Logical Irreducibility: “Elegant” Programs

Our goal in this section and the next is to use AIT to establish the existence of irreducible mathematical truths. What are they, and why are they important?

Following Euclid’s Elements, a mathematical truth is established by reducing it to simpler truths until self-evident truths—“axioms” or “postulates”\(^{16}\)—are reached. Here we exhibit an extremely large class of mathematical truths that are not at all self-evident but which are not consequences of any principles simpler than they are.

Irreducible truths are highly problematical for traditional philosophies of mathematics, but as discussed in Section VIII, they can be accommodated in an emerging “quasi-empirical” school of the foundations of mathematics, which says that physics and mathematics are not that different.

Our path to logical irreducibility starts with computational irreducibility. Let’s start by calling a computer program “elegant” if no smaller program in the same language produces exactly the same output. There are lots of elegant programs, at least one for each output. And it doesn’t matter how slow an elegant program is, all that matters is that it be as small as possible.

An elegant program viewed as an object in its own right is computationally irreducible. Why? Because otherwise you can get a more concise program for its output by computing it first and then running it. Look at this diagram:

\[
\text{program}_2 \rightarrow \text{Computer} \rightarrow \text{program}_1 \rightarrow \text{Computer} \rightarrow \text{output}
\]

If program\(_1\) is as concise as possible, then program\(_2\) cannot be much more concise than program\(_1\). Why? Well, consider a fixed-sized routine for running a program and then immediately running its output. Then

\(^{16}\)Atoms of thought!
program\textsubscript{2} + fixed-size routine \rightarrow \textbf{Computer} \rightarrow \text{output}

produces exactly the same output as program\textsubscript{1} and would be a more concise program for producing that output than program\textsubscript{1} is. But this is impossible because it contradicts our hypothesis that program\textsubscript{1} was already as small as possible. \textit{Q.E.D.}

Why should elegant programs interest philosophers? Well, because of Occam’s razor, because the best theory to explain a fixed set of data is an elegant program!

But how can we get irreducible truths? Well, just try \textbf{proving} that a program is elegant!

\section*{VI Irreducible Mathematical Truths. Examples of Logical Irreducibility: Proving a Program is Elegant}

\textbf{Hauptsatz:} \textit{You cannot prove that a program is elegant if its size is substantially larger than the size of the algorithm for generating all the theorems in your theory.}

\textbf{Proof:} The basic idea is to run the first provably elegant program you encounter when you systematically generate all the theorems, and that is substantially larger than the size of the algorithm for generating all the theorems. Contradiction, unless no such theorem can be demonstrated, or unless the theorem is false.

Now I’ll explain why this works. We are given a formal axiomatic mathematical theory:

\[
\text{theory} = \text{program} \rightarrow \textbf{Computer} \rightarrow \text{set of all theorems}
\]

We may suppose that this theory is an elegant program, i.e., as concise as possible for producing the set of theorems that it does. Then the size of this program is by definition the complexity of the theory, since it is the size of the smallest program for systematically generating the set of all the theorems, which are all the consequences of the axioms. Now consider a fixed-size routine with the property that

\[
\text{theory} + \text{fixed-size routine} \rightarrow \textbf{Computer} \rightarrow \text{output of the first provably elegant program larger than complexity of theory}
\]

More precisely,

\[
\text{theory} + \text{fixed-size routine} \rightarrow \textbf{Computer} \rightarrow \text{output of the first provably elegant program larger than (complexity of theory + size of the fixed-size routine)}
\]
This proves our assertion that a mathematical theory cannot prove that a program is elegant if that program is substantially larger than the complexity of the theory.

Here is the proof of this result in more detail. The fixed-size routine knows its own size and is given the theory, a computer program for generating theorems, whose size it measures and which it then runs, until the first theorem is encountered asserting that a particular program $P$ is elegant that is larger than the total input to the computer. The fixed-size routine then runs the program $P$, and finally produces as output the same output as $P$ produces. But this is impossible, because the output from $P$ cannot be obtained from a program that is smaller than $P$ is, not if, as we assume by hypothesis, all the theorems of the theory are true and $P$ is actually elegant. Therefore $P$ cannot exist. In other words, if there is a provably elegant program $P$ whose size is greater than the complexity of the theory + the size of this fixed-size routine, either $P$ is actually inelegant or we have a contradiction. Q.E.D.

Because no mathematical theory of finite complexity can enable you to determine all the elegant programs, the following is immediate:

**Corollary:** *The mathematical universe has infinite complexity.*

This strengthens Gödel’s 1931 refutation of Hilbert’s belief that a single, fixed formal axiomatic theory could capture all of mathematical truth.

Given the significance of this conclusion, it is natural to demand more information. You’ll notice that I never said which computer programming language I was using!

Well, you can actually carry out this proof using either high-level languages such as the version of LISP that I use in *The Unknowable*, or using low-level binary machine languages, such as the one that I use in *The Limits of Mathematics*. In the case of a high-level computer programming language, one measures the size of a program in characters (or 8-bit bytes) of text. In the case of a binary machine language, one measures the size of a program in 0/1 bits. My proof works either way.

But I must confess that not all programming languages permit my proof to work out this neatly. The ones that do are the kinds of programming languages that you use in AIT, the ones for which program-size complexity has elegant properties instead of messy ones, the ones that directly expose the fundamental nature of this complexity concept (which is also called algorithmic information content), not the programming languages that bury the basic idea in a mass of messy technical details.

This paper started with philosophy, and then we developed a mathematical theory. Now let’s go back to philosophy. In the last three sections of this paper we’ll discuss the philosophical implications of AIT.

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17 On the other hand, our current mathematical theories are not very complex. On pages 773–774 of *A New Kind of Science*, Wolfram makes this point by exhibiting essentially all of the axioms for traditional mathematics—in just two pages! However, a program to generate all the theorems would be larger.
VII Could We Ever Be Sure that We Had the Ultimate TOE? [Barrow 1995]

“The search for a ‘Theory of Everything’ is the quest for an ultimate compression of the world. Interestingly, Chaitin’s proof of Gödel’s incompleteness theorem using the concepts of complexity and compression reveals that Gödel’s theorem is equivalent to the fact that one cannot prove a sequence to be incompressible. We can never prove a compression to be the ultimate one; there might be a yet deeper and simpler unification waiting to be found.”

—John Barrow, essay on “Theories of Everything” in Cornwell, Nature’s Imagination, 1995, reprinted in Barrow, Between Inner Space and Outer Space, 1999.

Here is the first philosophical application of AIT. According to astrophysicist John Barrow, my work implies that even if we had the optimum, perfect, minimal (elegant!) TOE, we could never be sure a simpler theory would not have the same explanatory power.

(“Explanatory power” is a pregnant phrase, and one can make a case that it is a better name to use than the dangerous word “complexity”, which has many other possible meanings. One could then speak of a theory with \( N \) bits of algorithmic explanatory power, rather than describe it as a theory having a program-size complexity of \( N \) bits. [Françoise Chaitin-Chatelin, private communication])

Well, you can dismiss Barrow by saying that the idea of having the ultimate TOE is pretty crazy—who expects to be able to read the mind of God?! Actually, Wolfram believes that a systematic computer search might well find the ultimate TOE. I hope he continues working on this project!

In fact, Wolfram thinks that he not only might be able to find the ultimate TOE, he might even be able to show that it is the simplest possible TOE! How does he escape the impact of my results? Why doesn’t Barrow’s observation apply here?

First of all, Wolfram is not very interested in proofs, he prefers computational evidence. Second, Wolfram does not use program-size complexity as his complexity measure. He uses much more down-to-earth complexity measures. Third, he is concerned with extremely simple systems, while my methods apply best to objects with high complexity.

Perhaps the best way to explain the difference is to say that he is looking at “hardware” complexity, and I’m looking at “software” complexity. The objects he studies have complexity less than or equal to that of a universal computer. Those I study have complexity much larger than a universal computer. For Wolfram, a universal computer is the maximum possible complexity, and for me it is the minimum possible complexity.

Anyway, now let’s see what’s the message from AIT for the working mathematician.

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18See pages 465–471, 1024–1027 of A New Kind of Science.
VIII Should Mathematics Be More Like Physics?
Must Mathematical Axioms Be Self-Evident?

“A deep but easily understandable problem about prime numbers is used in the following to illustrate the parallelism between the heuristic reasoning of the mathematician and the inductive reasoning of the physicist... [M]athematicians and physicists think alike; they are led, and sometimes misled, by the same patterns of plausible reasoning.”
—George Pólya, “Heuristic Reasoning in the Theory of Numbers”, 1959, reprinted in Alexanderson, The Random Walks of George Pólya, 2000.

“The role of heuristic arguments has not been acknowledged in the philosophy of mathematics, despite the crucial role that they play in mathematical discovery. The mathematical notion of proof is strikingly at variance with the notion of proof in other areas... Proofs given by physicists do admit degrees of two proofs given of the same assertion of physics, one may be judged to be more correct than the other.”
—Gian-Carlo Rota, “The Phenomenology of Mathematical Proof”, 1997, reprinted in Jacquette, Philosophy of Mathematics, 2002, and in Rota, Indiscrete Thoughts, 1997.

“There are two kinds of ways of looking at mathematics... the Babylonian tradition and the Greek tradition... Euclid discovered that there was a way in which all the theorems of geometry could be ordered from a set of axioms that were particularly simple... The Babylonian attitude... is that you know all of the various theorems and many of the connections in between, but you have never fully realized that it could all come up from a bunch of axioms... [E]ven in mathematics you can start in different places... In physics we need the Babylonian method, and not the Euclidian or Greek method.”
—Richard Feynman, The Character of Physical Law, 1965, Chapter 2, “The Relation of Mathematics to Physics”.

“The physicist rightly dreads precise argument, since an argument which is only convincing if precise loses all its force if the assumptions upon which it is based are slightly changed, while an argument which is convincing though imprecise may well be stable under small perturbations of its underlying axioms.”
—Jacob Schwartz, “The Pernicious Influence of Mathematics on Science”, 1960, reprinted in Kac, Rota, Schwartz, Discrete Thoughts, 1992.

“It is impossible to discuss realism in logic without drawing in the empirical sciences... A truly realistic mathematics should be conceived, in line with physics, as a branch of the theoretical construction of the one real world and should adopt the same sober and cautious attitude toward hypothetic extensions of its foundation as is exhibited by physics.”
—Hermann Weyl, Philosophy of Mathematics and Natural Science, 1949, Appendix A, “Structure of Mathematics”, p. 235.

The above quotations are eloquent testimonials to the fact that although mathematics and physics are different, maybe they are not that different! Admittedly, math organizes our mathematical experience, which is mental or computational, and physics organizes our physical experience They are certainly not exactly the same, but maybe it’s a matter of degree, a continuum of possibilities, and not an absolute, black and white difference.

Certainly, as both fields are currently practiced, there is a definite difference in style. But that could change, and is to a certain extent a matter of fashion, not a fundamental difference.

A good source of essays that I—but perhaps not the authors!—regard as generally supportive of the position that math be considered a branch of physics is Tymoczko, New Directions in the Philosophy of Mathematics, 1998. In particular there you will find an essay by Lakatos giving the name “quasi-empirical”

19And in physics everything is an approximation, no equation is exact.
to this view of the nature of the mathematical enterprise.

Why is my position on math “quasi-empirical”? Because, as far as I can see, this is the only way to accommodate the existence of irreducible mathematical facts gracefully. Physical postulates are never self-evident, they are justified pragmatically, and so are close relatives of the not at all self-evident irreducible mathematical facts that I exhibited in Section VI.

I’m not proposing that math is a branch of physics just to be controversial. I was forced to do this against my will! This happened in spite of the fact that I’m a mathematician and I love mathematics, and in spite of the fact that I started with the traditional Platonist position shared by most working mathematicians. I’m proposing this because I want mathematics to work better and be more productive. Proofs are fine, but if you can’t find a proof, you should go ahead using heuristic arguments and conjectures.

Wolfram’s *A New Kind of Science* also supports an experimental, quasi-empirical way of doing mathematics. This is partly because Wolfram is a physicist, partly because he believes that unprovable truths are the rule, not the exception, and partly because he believes that our current mathematical theories are highly arbitrary and contingent. Indeed, his book may be regarded as a very large chapter in experimental math. In fact, he had to develop his own programming language, *Mathematica*, to be able to do the massive computations that led him to his conjectures.

See also Tasić, *Mathematics and the Roots of Postmodern Thought*, 2001, for an interesting perspective on intuition versus formalism. This is a key question—indeed in my opinion it’s an inescapable issue—in any discussion of how the game of mathematics should be played. And it’s a question with which I, as a working mathematician, am passionately concerned, because, as we discussed in Section VI, formalism has severe limitations. Only intuition can enable us to go forward and create new ideas and more powerful formalisms.

And what are the wellsprings of mathematical intuition and creativity? In his important forthcoming book on creativity, Tor Nørretranders makes the case that a peacock, an elegant, graceful woman, and a beautiful mathematical theory, are all shaped by the same forces, namely what Darwin referred to as “sexual selection”. Hopefully this book will be available soon in a language other than Danish! Meanwhile, see my dialogue with him in my book *Conversations with a Mathematician*.

Now, for our last topic, let’s look at the entire physical universe!

**IX Is the Universe Like π or Like Ω? Reason versus Randomness! [Brisson, Meyerstein 1995]**

*Parce qu’on manquait d’une définition rigoureuse de complexité, celle qu’a proposée la TAI [théorie algorithmique de l’information], confondre π avec Ω a été plutôt la règle que l’exception. Croire, parce que nous avons ici affaire à une croyance, que toutes les suites, puisqu’elles ne sont que l’enchaînement selon une règle rigoureuse de symboles déterminés, peuvent toujours être comprimées en quelque chose de plus simple, voilà la source de l’erreur.*
First let me explain what the number $\Omega$ is. It’s the jewel in AIT’s crown, and it’s a number that has attracted a great deal of attention, because it’s a very dangerous number! $\Omega$ is defined to be the halting probability of what computer scientists call a universal computer, or universal Turing machine. So $\Omega$ is a probability and therefore it’s a real number, a number measured with infinite precision, that’s between zero and one. That may not sound too dangerous!

What’s dangerous about $\Omega$ is that (a) it has a simple, straightforward mathematical definition, but at the same time (b) its numerical value is maximally unknowable, because a formal mathematical theory whose program-size complexity or explanatory power is $N$ bits cannot enable you to determine more than $N$ bits of the base-two expansion of $\Omega$! In other words, if you want to calculate $\Omega$, theories don’t help very much, since it takes $N$ bits of theory to get $N$ bits of $\Omega$. In fact, the base-two bits of $\Omega$ are maximally complex, there’s no redundancy, and $\Omega$ is the prime example of how unadulterated infinite complexity arises in pure mathematics!

How about $\pi = 3.1415926\ldots$ the ratio of the circumference of a circle to its diameter? Well, $\pi$ looks pretty complicated, pretty lawless. For example, all its digits seem to be equally likely, although this has never been proven. If you are given a bunch of digits from deep inside the decimal expansion of $\pi$, and you aren’t told where they come from, there doesn’t seem to be any redundancy, any pattern. But of course, according to AIT, $\pi$ in fact only has finite complexity, because there are algorithms for calculating it with arbitrary precision.

Following Brisson, Meyerstein, *Puissance et Limites de la Raison*, 1995, let’s now finally discuss whether the physical universe is like $\pi = 3.1415926\ldots$ which only has a finite complexity, namely the size of the smallest program to generate $\pi$, or like $\Omega$, which has unadulterated infinite complexity. Which is it?!

Well, if you believe in quantum physics, then Nature plays dice, and that generates complexity, an infinite amount of it, for example, as frozen accidents, mutations that are preserved in our DNA. So at this time most scientists would bet that the universe has infinite complexity, like $\Omega$ does. But then the world is incomprehensible, or at least a large part of it will always remain so, the
accidental part, all those frozen accidents, the contingent part.

But some people still hope that the world has finite complexity like $\pi$, it just looks like it has high complexity. If so, then we might eventually be able to comprehend everything, and there is an ultimate TOE! But then you have to believe that quantum mechanics is wrong, as currently practiced, and that all that quantum randomness is really only pseudo-randomness, like what you find in the digits of $\pi$. You have to believe that the world is actually deterministic, even though our current scientific theories say that it isn’t!

I think Vienna physicist Karl Svozil feels that way [private communication; see his *Randomness & Undecidability in Physics*, 1994]. I know Stephen Wolfram does, he says so in his book. Just take a look at the discussion of fluid turbulence and of the second law of thermodynamics in *A New Kind of Science*. Wolfram believes that very simple deterministic algorithms ultimately account for all the apparent complexity we see around us, just like they do in $\pi$. He believes that the world looks very complicated, but is actually very simple. There’s no randomness, there’s only pseudo-randomness. Then nothing is contingent, everything is necessary, everything happens for a reason. [Leibniz!]

Who knows! Time will tell!

Or perhaps from inside this world we will never be able to tell the difference, only an outside observer could do that [Svozil, private communication].

**Postscript**

Readers of this paper may enjoy the somewhat different perspective in my chapter “Complexité, logique et hasard” in Benkirane, *La Complexité*. Leibniz is there too.

In addition, see my *Conversations with a Mathematician*, a book on philosophy disguised as a series of dialogues—not the first time that this has happened!

Last but not least, see Zwirn, *Les Limites de la Connaissance*, that also supports the thesis that understanding is compression, and the masterful multi-author two-volume work, *Kurt Gödel, Wahrheit & Beweisbarkeit*, a treasure trove of information about Gödel’s life and work.

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In fact, Wolfram himself explicitly makes the connection with $\pi$. See meaning of the universe on page 1027 of *A New Kind of Science*. 

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