Interpretation of $Y(4390)$ as an isoscalar partner of $Z(4430)$ from $D^*(2010)\bar{D}_1(2420)$ interaction

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Abstract. Invoked by the recent observation of $Y(4390)$ at BESIII, which is about 40 MeV below the $D^*(2010)\bar{D}_1(2420)$ threshold, we investigate possible bound and resonance states from the $D^*(2010)\bar{D}_1(2420)$ interaction with the one-boson-exchange model in a quasipotential Bethe-Salpeter equation approach. A bound state with quantum number $0^-(1^-)$ is produced at 4384 MeV from the $D^*(2010)\bar{D}_1(2420)$ interaction, which can be related to an isovector meson state in the conventional quark model [4]. A QCD sum rule calculation favors an assignment of the $Y(4390)$ as a $D_1^*$ molecular state [3]. However, the $D_1^*$ threshold is much lower than $Y(4390)$. In fact, in the literature, $Y(4260)$, which is about 130 MeV lower than $Y(4390)$, has been interpreted as a $D_1^*$ molecular state [4]. Considering the $D^*_1$ meson is about 140 MeV heavier than the $D_1$ meson, it is reasonable to discuss an assignment of $Y(4390)$ as a $D^*_1\bar{D}_1^*$ molecular state.

In the history of study of exotic states, the $D^*_1\bar{D}_1^*$ molecular state has been applied to interpret the first observed charged charmonium-like state near 4.43 GeV with a mass of 4433 ± 6(stat) ± 12(syst) MeV and a width of 45 ± 13(stat) ± 25(syst) MeV reported by Belle Collaboration [6]. The mass measured by the Belle Collaboration, about 4430 MeV, is close to the $D^*_1\bar{D}_1^*$ threshold, so it had ever been popular to explain $Z(4430)$ as an $S$-wave $D^*_1\bar{D}_1^*$ molecular state with spin parity $J^P = 0^+$ [9, 10, 11]. However, a higher mass of 4485 ± 22(stat) ± 28(syst) MeV and a larger width of 200 ± 46(stat) ± 35(syst) MeV were reported by a new measurement at Belle Collaboration through a full amplitude analysis of $B^0 \to \psi'K^+\pi^-$ decay and a spin parity of $J^P = 1^+$ was favored over other hypotheses [7]. A new LHCb experiment in the $B^0 \to \psi'K^+\pi^-$ decay confirmed the existence of the $1^+$ resonant structure $Z(4430)$ with a mass of $4475 \pm 7_{-15}^{+17}$ MeV and a width of $172 \pm 13_{-16}^{+37}$ MeV [8].

The new Belle and LHCb results support that the spin parity of the $Z(4430)$ is $1^+$ instead of $0^-$ which was suggested by previous hadronic-molecular-state studies. If insisting on the interpretation of $Z(4430)$ as a $D^*_1\bar{D}_1^*$ molecular state, one should go beyond S wave, at least to P wave, to reproduce experimentally observed positive parity. Besides, the new measured mass of the $Z(4430)$ is higher than the $D^*_1\bar{D}_1^*$ threshold, which suggests that the $Z(4430)$ can not be a bound state. To explain the new observation of $Z(4430)$, Barnes et al. suggested that the $Z(4430)$ is either a $D^*_1\bar{D}_1^*$ state dominated by long-range $\pi$ exchange, or a $D^*_1\bar{D}_1^*$ state with short-range components [12]. It has also been suggested that the $Z(4430)$ may be from the $S$-wave $DD^*(2600)$ interaction, which has a threshold about 4470 MeV, to avoid the difficulties mentioned above [13].

In Ref. [14], the $D^*_1\bar{D}_1^*$ and $DD^*(2600)$ interactions were studied by solving the quasipotential Bethe-Salpeter equation for vertex which is only valid for the bound state problem. It is found that the $DD^*(2600)$ interaction is too weak to produce a bound state. An isosvector bound state with quantum number $J^P = 1^+$ can be produced from the $D^*_1\bar{D}_1^*$ interaction, which corresponds to $Z(4430)$. Such a picture was confirmed by a lattice calculation where a state with $1^+(1^-)$ is also produced from the $D^*_1\bar{D}_1^*$ interaction [15]. If $Z(4430)$ is from the $D^*_1\bar{D}_1^*$ instead of $DD^*(2600)$ interaction, the new observed $Z(4430)$ mass at Belle and LHCb above the $D^*_1\bar{D}_1^*$ threshold suggests that $Z(4430)$ should be a resonance state above the threshold instead of a bound state below the threshold.

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In Refs. [16,17], we develop a quasipotential Bethe-Salpeter equation for amplitude to study the resonance state above the threshold. With such formalism, it is found that a state corresponding to P wave should be taken as serious as these corresponding to S wave [18]. Such an idea was applied to interpret the puzzling parities of two LHCb hidden-charmed pentaquarks and Y(4274) [18]. It was found that a P-wave state is usually higher than an S-wave state because of weaker interaction but is still hopefully to be observed. If we turn to the case of Y(4390) and Z(4430), it is very natural to assign this two states as an S-wave \( D^* D_1 \) bound state and a P-wave \( D^* D_1 \) resonance state, respectively. Hence, in this work we will investigate the \( D^* D_1 \) interaction with the Bethe-Salpeter equation for the amplitude to study the possibility of interpreting the Y(4390) and Z(4430) as hadronic molecular states form the \( D^* D_1 \) interaction.

In the next section, the formalism adopted in the current work is presented. The interaction potential is constructed with an effective Lagrangian and the quasipotential Bethe-salpeter equation will be introduced briefly. The numerical results are given in Section 3. A brief summary is given in the last section.

2 Formalism

In the energy region of Y(4390) and Z(4430), besides the \( D^* D_1 \) threshold, there are other three thresholds of channels, \( D^* D_1 \) (2430), \( D^* D_1 \) (2600), and \( D^* D_1 \) (2550). The large width of \( D^* (2430) \), \( \Gamma = 384_{-410}^{+130} \) MeV [19], which means a very short lifetime, makes it difficult to bind the D* meson and itself together to form a state with a width of about 170 MeV. The \( D^* \) (2550) interaction has also been related to the Z(4430) in the literature. However, its threshold is about 100 MeV higher than the Z(4430) mass. The calculation in Ref. [14] suggested that the \( D^* D_1 \) (2600) interaction and its coupling to the \( D^* D_1 \) interaction are very weak. Hence, in this work, we only consider the \( D^* D_1 \) interaction.

For a loosely bound system, long-range interaction by the \( \pi \) exchange should be more important than short-range interaction by exchanges of heavier mesons. The Z(4430) locates higher than the \( D^* D_1 \) threshold, so in the current work it will be seen as a resonance state where the interaction is even weaker than a loosely bound state. Hence, the dominance of the \( \pi \) exchange is well satisfied in the case of Z(4430). However, in the case of Y(4390), the exchanges by heavier mesons may be involved because a binding energy about 40 MeV is found. For the heavier pseudoscalar mesons which can easily be introduced as \( \pi \) meson in the frame of this work, their contribution is obviously much smaller than the \( \pi \) meson because their mass is much heavier than the \( \pi \) meson and the coupling constants are the same as those of the \( \pi \) meson. An explicit calculation suggested the medium-range \( \sigma \) exchange is very small partly due to its larger mass [14]. For the vector-meson exchange, it is difficult to determine the coupling constants involved with the existent information in the literature. And it is beyond the scope of this work to calculate such coupling constants. Furthermore, all vector mesons have much larger mass than \( \pi \) meson, which also leads to a suppression effect on their contributions as in the case of the \( \sigma \) meson exchange. Hence, in the current work, we do not include heavier-meson exchanges to avoid more not-well-determined coupling constants being introduced in the calculation with an assumption that the contributions form the heavier-meson exchanges are suppressed by the heavier mass as the \( \sigma \) meson exchange. The direct diagram of the \( \pi \) exchange was also found negligible compared with cross diagram by the \( \pi \) exchange in an explicit calculation [14]. Hence, in this work, we will only consider the cross diagram of the \( D^* D_1 \) interaction by \( \pi \) exchange as shown in Fig. 1.

![Fig. 1. The cross diagram of the \( D^* D_1 \) interaction by the \( \pi \) exchange.](image-url)

The explicit flavor structures for isovectors (T) or isoscalars (S) |\( D^* D_1 \) are [10]

\[
\begin{align*}
|D^* D_1\rangle_T &= \frac{1}{\sqrt{2}} \left( |D^+ D_1^-\rangle + c |D^- D_1^+\rangle \right), \\
|D^* D_1\rangle_S &= \frac{1}{2} \left( (|D^+ D_1^-\rangle - |D^- D_1^+\rangle) \right), \\
|D^* D_1\rangle_0 &= \frac{1}{2} \left( (|D^+ D_1^-\rangle + |D^- D_1^+\rangle) \right),
\end{align*}
\]

where \( c = \pm \) corresponds to C-parity \( C = \mp \). For the isovector state, \( c \) is related to the G-parity.

The involved effective Lagrangians describing the interaction between a light pseudoscalar meson \( P \) and heavy flavor mesons can be constructed with the help of the chiral and heavy quark symmetries [20,21].

\[
\mathcal{L}_{D_1 D_1 P} = \frac{i}{\sqrt{2}} \sqrt{\frac{2 h'}{3f_\pi}} \sqrt{m_{D_1} m_P} \cdot \left[ \frac{1}{4m_{D_1} m_P} \right] \left[ -D_{1b}^a D_{1a}^b \partial \bar{\bar{D}}_{1a}^b \partial \bar{\bar{D}}_{1b}^a \right] + 3D_{1b}^{a \gamma} D_{1a}^{\gamma b} \partial \bar{\bar{D}}_{1a}^b \partial \bar{\bar{D}}_{1b}^a \\
- \frac{1}{4m_{D_1} m_P} \left[ -D_{1a}^{a \gamma} D_{1b}^{b \gamma} \partial \bar{\bar{D}}_{1b}^a \partial \bar{\bar{D}}_{1a}^b \right] + 3D_{1b}^{a \gamma} D_{1a}^{\gamma b} \partial \bar{\bar{D}}_{1b}^a \partial \bar{\bar{D}}_{1a}^b .
\]

With the above Lagrangians, we can obtain the potential for the cross diagram by the \( \pi \) exchange.

\[
\mathcal{V}_{Y_{1440},D_1} = \frac{h'^2}{3f_\pi^2 m_{P_{1440}}} \varepsilon^\mu_1 \varepsilon^\nu_2 \partial_{p_{1440}}^\mu \partial_{p_{D_1}}^\nu \varepsilon^\mu_2 \varepsilon^\nu_1 \varepsilon^{\sigma}_{D_1},
\]
\begin{equation}
\left\{ \begin{aligned}
q^2 &= \frac{(p_1^2 - p_1^{'2})^2}{4m_0m_1m_1'} \quad g_{\mu\nu} - 3g_{\mu\sigma}q_{\sigma}, \\
q^2 &= \frac{(p_2^2 - p_2^{'2})^2}{4m_0m_1m_1'} \quad g_{\mu\nu} - 3g_{\mu\sigma}q_{\sigma},
\end{aligned} \right. 
\end{equation}

where \( p_1^{'2} \) and \( \lambda_1^{(1)} \) are the initial (final) momentum and the helicity for constituent 1 or 2. And the flavor factor \( f_h \) helicity for constituent 1 or 2. And the flavor factor \( D \) such a treatment, we put the heavier constituent, \( i \). LHCb pentaquarks and its strange partners \( \) which was explained explicitly in the appendices of Ref. \( \). The scattering amplitude of the \( D^*D_l \) interaction can be obtained by solving Bethe-Salpeter equation with the above \( \). To avoid the unphysical singularity from the OBE interaction below the threshold, the off-shellness of two constituent hadrons should be kept. Here we adopt the most economic \( \). T o avoid the unphysical singularity from the OBE interaction adopted in the current work. With such treatment, the contributions at large momentum \( p_{\gamma} \) will be suppressed heavily at the energies higher than 2 GeV as shown in Fig. 1 of Ref. \( \), and convergence of the integral is guaranteed. By multiplying the exponential factor on both sides of the Eq. \( \), it is easy to found that the exponential factor can also be seen as a form factor to reflect the off-shell effect of particle 1 in a form of \( e^{-(k^2 - m^2)/\Lambda^2} \). It is also the reason why a square of the exponential factor is introduced in Eq. \( \). The interested reader is referred to Ref. \( \) for further information as regards the regularization. A sharp cutoff of the momentum of \( p_{\gamma} \) at certain value \( p_{\gamma}^{\text{max}} \), namely cutoff regularization, is also often adopted in the literature \( \). The exponential regularization can be seen as a soft version of the cutoff regularization. A comparison of the exponential regularization and the cutoff regularization as adopted in the chiral unitary approach \( \) was made in Ref. \( \) and it was found that the different treatments do not affect the conclusion. Because the current treatment guarantees the convergence of the integral, we do not introduce the form factor for the exchanged meson, which is redundant and its effect can be absorbed into variation of the cutoffs \( \Lambda \) as discussed in Ref. \( \). The integral equation \( \) can be solved by discretizing the momenta \( p, p' \), and \( p'' \) by the Gauss quadrature with a weight \( w(p) \). After such treatment, the integral equation can be transformed to a matrix equation \( \) \[ M_{\delta k} = \frac{N}{\pi^2} \sum_{j=0}^{N} V_{j\delta} G_j M_{j\delta}. \]

where the momentum of \( D^* \) meson \( k''_2 \) is \( (k^{0'}_2, -p') \), and the momentum of the \( D_l \) meson \( k''_1 \) is \( (k^{0'}_1, p') \). Here and hereafter we will adopt a definition \( p = \mid p \mid \). The potential kernel \( V_{i\delta}^{p''} \) obtained in previous section, the partial-wave potential with fixed spin parity \( J^p \) can be calculated as

\begin{equation}
\tilde{\rho} V_{i\delta}^{p''}(p, p') = 2\pi \int d\cos\theta \left[ d_{12, -i\delta}^{(1)}(\theta)\tilde{\rho} V_{12, -i\delta}^{(1)}(p, p') + \eta d_{21, -i\delta}^{(1)}(\theta)\tilde{\rho} V_{21, -i\delta}^{(1)}(p, p') \right],
\end{equation}

with on-shell momentum

\begin{equation}
p''_{\gamma} = \frac{1}{2W} \sqrt{(W^2 - (M_1 + M_2)^2)(W^2 - (M_1 - M_2)^2)}. \end{equation}
The scattering amplitude \( M \) can be solved as \( M = (1 - VG)^{-1}V \). Obviously, the pole of scattering amplitude we wanted can be found at \( |1 - VG| = 0 \) after analytic continuation total energy \( W \) into the complex plane as \( z \). In the current work, the pole is searched by scanning the value of \( |1 - V(z)G(z)| \) by variation of real and imaginary parts of \( z \), \( \text{Re}(z) \) and \( \text{Im}(z) \), in complex plane to find position of \( z \) with \( |1 - V(z)G(z)| = 0 \).

3 The numerical results

With potential in Eq. (3), the pole from the scattering amplitude can be found at \( |1 - V(z)G(z)| = 0 \) at complex plane by a continuation of the real center-of-mass energy \( W \) to a complex \( z \). In this work, only free parameter is the regularization cutoff \( \Lambda \). By varying the cutoff, we try to find a bound state with 0\(^-(1^-)\) and a resonance state with 1\(^+(1^+)\) which correspond to \( Y(4390) \) and \( Z(4430) \), respectively, with the same cutoff. In Fig. 2, the log \( |1 - V(z)G(z)| \) is plotted with variations of \( \text{Re}(z) \) and \( \text{Im}(z) \). It is found that with cutoff \( \Lambda = 1.4 \) GeV two states expected can be produced from the \( D^*D_1 \) interaction.

![Fig. 2. The log |1 - V(z)G(z)| for the D*D1 interaction. The results for the bound state with 0^-(1^-) (left panel) and the resonance state with 1^+(1^+) (right panel) are drawn to the same scale.](image)

Under the \( D^*D_1 \) threshold, a bound state with quantum number 0\(^-(1^-)\) can be found at \( z = 4384 \) MeV, which can be obviously related to \( Y(4390) \) with a mass of 4391 MeV observed at BESIII. Since only the \( D^*D_1 \) interaction is considered in this work, no width is produced and the pole is at real axis. This state has a negative parity, so can be produced from the \( D^*D_1 \) interaction in S wave. For the state with 1\(^+(1^+)\), the P wave should be introduced to produce its positive parity. As discussed in Ref. [18], the P-wave interaction is usually weaker than the S-wave interaction. Furthermore, for the \( D^*D_1 \) interaction considered in this work, the flavor factor for the isoscalar sector is three times larger than that for the isovector sector, which makes the isovector interaction weaker. Hence, one can expect that the 1\(^+(1^+)\) state is considerably higher than the 0\(^-(1^-)\) state. The result in Fig. 2 confirms such surmise. The expected 1\(^+(1^+)\) state is found at \( z = 4461 + i39 \) which is much higher than the 0\(^-(1^-)\) state, even above the \( D^*D_1 \) threshold. Obviously, this pole can be related to the charged charmonium-like state \( Z(4430) \) whose mass is about 4475 MeV as suggested by the new LHCb experiment. Though only one-channel is included in this work, the resonance state carries a width as suggested by the scattering theory.

The above results show that the experimentally observed \( Y(4390) \) and \( Z(4430) \) can be reproduced from the \( D^*D_1 \) interaction with the same cutoff \( \Lambda = 1.4 \) GeV. In the rest part of this section, we will study whether there exist other possible states produced from this interaction. Here, we only consider the \( D^*D_1 \) interaction with spin parties 0\(^+\), 1\(^+\), 2\(^+\), and 3\(^+\). Other partial waves are not considered because their spin parities cannot be constructed with S and P waves. Because a coupled-channel effect is not included in this work, we allow the regularization cutoff to deviate from the value above, 1.4 GeV, by 0.5 GeV, i.e. from 0.9 to 1.9 GeV. Only poles in an energy range \( 4.35 < \text{Re}(z) < 4.50 \) GeV are searched for in the calculation. The isovector states from the \( D^*D_1 \) interaction with typical cutoffs are listed in Table 1.

| \( A \) | \( W \) | \( A \) | \( W \) | \( A \) | \( W \) |
|---|---|---|---|---|---|
| 0.90 | 4471+i28 | 1.20 | 4492+i52 | 1.60 | 4454+i61 |
| 1.10 | 4459+i22 | 1.40 | 4461+i39 | 1.70 | 4429 |
| 1.30 | 4429 | 1.60 | 4431 | 1.80 | 4070 |
| 1.60 | 4368 | 1.80 | 4355 | 1.90 | 4358 |

In the isovector sector, besides the 1\(^+(1^+)\) state corresponding to \( Z(4430) \), there exist other two possible states with 1\(^+(0^+)\) and 1\(^+(2^+)\) produced from the \( D^*D_1 \) interaction. With the decrease of the regularization cutoff, the interaction gradually weakens. As a result, the poles of these states will run to and cross the threshold at certain cutoff, and then the bound state becomes a resonance state. If we fix the cutoff at 1.4 GeV as in the case of reproducing \( Y(4390) \) and \( Y(4430) \), 1\(^+(0^+)\) is a bound state around 4.4 GeV. 1\(^+(2^+)\) is a resonance state much higher \( Z(4430) \). A dependence of the results on the cutoff can be found in Table 1, which is from neglecting of the coupled-channel effect and other approximations adopted in our approaches. It is also the reason why we will vary the cutoff in the calculation, that is, the effects of the approximations can be absorbed into the variation of the cutoff.

The results of the isoscalar sector is listed in Table 2. Nine states with 0\(^+(0^+)\), 0\(^+(1^+)\), 0\(^+(1^+)\), 0\(^+(2^+)\) and 0\(^+(2^+)\) are produced in this sector, which are much more than three states in the isoscalar sector. It is reasonable because the flavor factor for the isoscalar sector is three times larger than that for the isovector sector, which makes stronger interaction in this sector. Generally speaking, the spin-negative states are more binding than the positive states which reflects the P-
wave interaction is usually weaker than the S-wave state. In our
calculation, more than one state is found in some cases, which
can be seen as excited state. As in the study of the hydrogen
energy level and the hadron spectrum in the constituent quark
model, it is natural to find radial excited states besides the
ground state. For the $Y(4390)$ and $Z(4430)$ which we focused
on in this work, only one state was found in a considerable large
range of the $Re(z)$, and it is not so meaningful to present the
results of excited states for other states even which ground state
has not yet been observed in the experiment. So, in Tables 1 and
2, only the results of the ground state are presented.

Table 2. The bound states from the $D^*\bar{D}_1$ interaction with typical
cutoffs $\Lambda$. The cutoff $\Lambda$ and energy $W$ are in units of GeV, and MeV,
respectively.

| $0^+(0^{++})$ | $0^+(0^{+})$ | $0^+(1^{++})$ |
|----------------|----------------|----------------|
| $\Lambda$ W   | $\Lambda$ W   | $\Lambda$ W   |
| 1.20 4449+i60  | 0.90 4200     | 0.90 4446+i18  |
| 1.40 4479+i66  | 1.00 4405     | 1.10 4422      |
| 1.60 4456+i72  | 1.10 4382     | 1.20 4401      |
| 1.70 4432+i78  | 1.20 4352     | 1.30 4368      |

| $0^+(1^{++})$ | $0^+(1^{+})$ | $0^+(1^{--})$ |
|----------------|----------------|----------------|
| $\Lambda$ W   | $\Lambda$ W   | $\Lambda$ W   |
| 1.40 4425     | 1.50 4428     | 1.15 4431      |
| 1.45 4413     | 1.60 4419     | 1.25 4425      |
| 1.50 4395     | 1.70 4392     | 1.35 4404      |
| 1.55 4374     | 1.80 4353     | 1.45 4365      |

| $0^+(2^{++})$ | $0^+(2^{+})$ | $0^+(2^{--})$ |
|----------------|----------------|----------------|
| $\Lambda$ W   | $\Lambda$ W   | $\Lambda$ W   |
| 1.10 4441+i5   | 1.20 4448+i24  | 1.00 4468+i47  |
| 1.30 4426     | 1.30 4431     | 1.10 4428      |
| 1.40 4395     | 1.40 4410     | 1.20 4419      |
| 1.45 4374     | 1.50 4371     | 1.40 4365      |

4 Summary

In this work, the $D^*\bar{D}_1$ interaction is investigated in a quasipo-
tential Bethe-Salpeter equation approach, and bound and reso-
nance states are searched for to interpret $Y(4390)$ observed
recently at BESIII and the first observed charged charmonium-
like state $Z(4430)$. A bound state with $0^-(1^{--})$ at 4384 GeV and
a resonance state with $1^+(1^{+})$ at 4461 + i39 MeV are produced
from the $D^*\bar{D}_1$ interaction which can be related to $Y(4390)$ and
$Z(4430)$, respectively. Hence, $Y(4390)$ is an isoscalar partner
of $Z(4430)$ and a partner of $Y(4260)$ by replacing the $D$ meson
by the $D^*$ meson in the hadronic-molecular state picture.

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