LETTER

Superfluid phases of spin-1 bosons in a cubic optical lattice

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Abstract

We analyze theoretically the emergence of different superfluid phases of spin-1 bosons in a three-dimensional cubic optical lattice by generalizing the recently developed Ginzburg–Landau theory for the Bose–Hubbard model to a spinor Bose gas. In particular at zero temperature, our theory distinguishes within its validity range between various superfluid phases for an anti-ferromagnetic interaction with an external magnetic field. In addition, we determine that the superfluid–Mott insulator phase transition is of second order and that the transitions between the respective superfluid phases with anti-ferromagnetic interaction can be both of first and second order.

(Some figures may appear in colour only in the online journal)

1. Introduction

In recent years optical lattices have become a major research topic within the realm of ultracold quantum gases, as they offer the perspective to simulate condensed matter physics under well-controlled conditions [1, 2]. Most prominently, the quantum phase transition between a superfluid (SF) and a Mott insulating (MI) phase of a spinless Bose gas loaded in a periodic optical potential has been experimentally observed by increasing the lattice depth [3, 4]. All properties of this quantum phase transition are captured by the underlying Bose–Hubbard Hamiltonian [5–7] for which different analytical solution methods have been worked out [8–14] and high-precision Monte Carlo studies have been performed [15, 16]. Furthermore, extensions of the Bose–Hubbard model have been investigated, which cover, for instance, superlattices [17], Bose–Fermi mixtures [18–21], quantum simulations like entanglement of atoms or quantum teleportation [22] and disorder [2, 23–25].

Preparing experimentally a spin-1 Bose–Einstein condensation (BEC) of $^{23}$Na or $^{87}$Rb atoms in an optical trap, the atomic spin degrees of freedom are not frozen due to the electric dipole force between atoms and the electric field of a laser beam [26, 27]. This experimental realization of an optically trapped BEC opened a new window to study also various phenomena of spinor Bose gases loaded in an optical lattice. For instance, they offer the possibility of studying strongly correlated states; for example the coherent collisional spin dynamics in an optical lattice was measured in [28] and the $^{87}$Rb scattering lengths for $F = 1$ and 2 were determined in [29]. In particular, combining the spin degree of freedom with various types of interactions and with different lattice geometries offers the prospect to realize a plethora of superfluid phases with magnetic properties. A first tentative step in this direction was the loading of $^{87}$Rb in a frustrated triangular lattice [30]. Despite these initial promising investigations, spinor Bose gases in an optical lattice seem experimentally to be so challenging that no further detailed experiments have been performed.
Theoretical progress in the study of spinor Bose gases in an optical lattice was made by [31, 32]. In the case of the anti-ferromagnetic interaction of $^{23}$Na, the location of the SF–MI transition and several properties for SF and MI phases for spin-1 bosons were determined without external magnetic field at zero temperature. In particular, they found that the superfluid transition occurs into a polar spin-0 state [32] and the SF phase represents a polar state with zero spin expectation value. On the other hand, the effect of a non-vanishing external magnetic field upon the SF–MI transition was determined within a mean-field approximation in [33, 34]. In addition, it was also shown in [33, 34] that the superfluid transition occurs from the Mott insulating phase into either a polar spin-1 or spin-(-1) state, but investigation was not undertaken regarding which other phases might emerge deep in the superfluid phase.

In this letter we study the effect of the magnetic field on the emergence of superfluid phases of spin-1 bosons. We show that new superfluid phases can emerge due to the interplay of the anti-ferromagnetic interaction of spin-1 bosons and an external magnetic field in a three-dimensional cubic optical lattice at zero temperature. To this end, we extend the Ginzburg–Landau theory developed in [10, 11] from the spin-0 to the spin-1 Bose–Hubbard model. In the grand-canonical ensemble the underlying Hamiltonian can be decomposed according to $H_{BH} = H^{(0)} + H^{(1)}$ [31, 32], where the local part $H^{(0)} = \sum_{i} H^{(0)}_i$ reads

$$\hat{H}^{(0)}_i = \frac{U_0}{2} \hat{n}_i(\hat{n}_i - 1) + \frac{U_2}{2} (\hat{S}_i^2 - 2\hat{n}_i) - \mu \hat{n}_i - \eta \hat{S}_i^z,$$

wheras the bilocal part is given by

$$\hat{H}^{(1)} = -J \sum_{\langle i,j \rangle} \sum_{\alpha} \hat{a}_{\alpha i} \hat{a}_{\alpha j} \hat{a}_{\alpha j}^\dagger \hat{a}_{\alpha i}^\dagger.$$

Here $\mu$ and $\eta$ denote the chemical potential and the external magnetic field, respectively. Furthermore, $J$ represents the hopping matrix element between adjacent sites $i$ and $j$ with $(i,j)$ indicating the summation over all nearest neighbor sites, and $U_0$ ($U_2$) stands for the on-site spin-independent (dependent) interaction strength between bosons. Additionally, $\hat{a}_{\alpha i}$ ($\hat{a}_{\alpha i}^\dagger$) is the annihilation (creation) operator at site $i$ with hyperfine spin $\alpha \in \{-1, 0, 1\}$ which determines the total atom number operator at site $i$ via $\hat{n}_i = \sum_{\alpha} \hat{a}_{\alpha i} \hat{a}_{\alpha i}^\dagger$ and the spin operator at site $i$ according to $\hat{S}_i^z = \sum_{\alpha, \beta} \hat{a}_{\alpha i} \hat{a}_{\beta i}^\dagger \hat{a}_{\beta i} \hat{a}_{\alpha i}^\dagger$ with the spin-1 matrices $\mathbf{F}_{\alpha\beta}$. Since the operators $\hat{S}_i^z, \hat{S}_i^x$ and $\hat{n}_i$ commute with each other, their eigenvalue problems are solved by the same eigenvectors: $\hat{S}_i^z | S_i, m_i, n_i \rangle = S_i | S_i, m_i, n_i \rangle, \hat{S}_i^x | S_i, m_i, n_i \rangle = \frac{m_i}{S_i} | S_i, m_i, n_i \rangle$, and $\hat{n}_i | S_i, m_i, n_i \rangle = n_i | S_i, m_i, n_i \rangle$ where $S_i + n_i$ must be an even number [31, 32, 35, 36]. Thus, the eigenvalue problem of the local Hamiltonian (1) is given by

$$\hat{H}^{(0)}_{\alpha i} | S_i, m_i, n_i \rangle = E_{\alpha i}^{(0)} | S_i, m_i, n_i \rangle,$$

where the energy eigenvalues are defined as

$$E_{S_i, m_i, n_i}^{(0)} = \frac{U_0}{2} n_i(n_i - 1) + \frac{U_2}{2} [S_i(S_i + 1) - 2n_i] - \mu n_i - \eta m_i.$$

In order to artificially break the underlying $U(1)$ symmetry of the Hamiltonian $\hat{H}_{BH}$, we follow [10, 11] and generalize the usual field-theoretic approach for describing classical phase transitions [37, 38] to the realm of quantum phase transitions. Thus, we couple the artificial source currents $j_{\alpha i}(\tau), j_{\alpha i}^\dagger(\tau)$ to the operators $\hat{a}_{\alpha i}$ and $\hat{a}_{\alpha i}^\dagger$.

$$\hat{H}_{BH}(\tau) = \hat{H}_{BH} + \sum_{\alpha, i} \sum_{\tau} [j_{\alpha i}(\tau) \hat{a}_{\alpha i}(\tau) + \text{c.c.}],$$

yielding a Ginzburg–Landau theory with the spatio-temporal order parameters being defined according to

$$\Psi_{\alpha i}(\tau) = \beta \frac{\delta \mathcal{F}}{\delta j_{\alpha i}(\tau)}.$$

Here the free energy $\mathcal{F}[j, j^\dagger] = -\frac{1}{\beta} \ln Z[j, j^\dagger]$ with $\beta = 1/k_B T$ following from the partition function $Z[j, j^\dagger] = \text{Tr} \hat{T} e^{-\beta \sum_{\tau} H_{BH}(\tau)}$ with the time-ordering operator $\hat{T}$ and the convention $h = 1$. We consider equation (6) as a motivation to perform a functional Legendre transformation and define the effective action according to

$$\Gamma[\Psi, \Psi^*] = \mathcal{F}[j, j^\dagger] - \frac{1}{\beta} \sum_{\alpha, i} \sum_{\tau} [\Psi_{\alpha i}(\tau) j_{\alpha i}^\dagger(\tau) + \Psi_{\alpha i}^*(\tau) j_{\alpha i}(\tau)],$$

where $\Psi_{\alpha i}$ ($\Psi_{\alpha i}^*$) and $j_{\alpha i}$ ($j_{\alpha i}^\dagger$) are conjugate variables satisfying the Legendre relations

$$j_{\alpha i}(\tau) = -\beta \frac{\delta \Gamma}{\delta \Psi_{\alpha i}(\tau)}, \quad j_{\alpha i}^\dagger(\tau) = -\beta \frac{\delta \Gamma}{\delta \Psi_{\alpha i}^*(\tau)}.$$

In order to recover the relevant physical situation the artificial currents $j^\dagger, j$ should vanish. Therefore, we obtain from (8) equations of motion for determining the equilibrium value of the order parameter,

$$\frac{\delta \Gamma}{\delta j_{\alpha i}(\tau)} \bigg|_{\Psi = \Psi_{\text{eq}}} = 0, \quad \frac{\delta \Gamma}{\delta j_{\alpha i}^\dagger(\tau)} \bigg|_{\Psi = \Psi_{\text{eq}}} = 0.$$

Furthermore, we read off from equation (7) that evaluating the effective action at the equilibrium field $\Psi_{\text{eq}}$ recovers the physical grand-canonical free energy,

$$\Gamma[\Psi_{\text{eq}}, \Psi_{\text{eq}}^*] = \mathcal{F}[0, 0].$$

In order to calculate both the free energy $\mathcal{F}$ and the effective action $\Gamma$, we proceed perturbatively as follows. We decompose the generalized Bose–Hubbard Hamiltonian according to $\hat{H}_{BH}(\tau) = \hat{H}^{(0)} + \hat{H}^{(1)}(\tau)[j, j^\dagger]$, where the perturbative Hamiltonian in the imaginary time Dirac interaction picture reads

$$\hat{H}^{(1)}_{\alpha i}(\tau) [j, j^\dagger] = -J \sum_{\langle i, j \rangle} \sum_{\alpha} \hat{a}_{\alpha i}^\dagger(\tau) \hat{a}_{\alpha j}(\tau) + \sum_{\alpha, i} \sum_{\tau} [j_{\alpha i}(\tau) \hat{a}_{\alpha i}(\tau) + j_{\alpha i}^\dagger(\tau) \hat{a}_{\alpha i}^\dagger(\tau)].$$

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With this, we determine the partition function via the Dyson series
\[
Z = Z^{(0)} \left[ 1 + \sum_{n=1}^{\infty} (-1)^n \frac{1}{n!} \int_0^\beta \int_0^\beta \cdots \int_0^\beta \int_0^\beta d\tau_1 \cdots d\tau_n \times \left\{ \tilde{\mathcal{T}} \left[ \hat{\mathcal{H}}^{(1)}(\tau_1) \hat{\mathcal{H}}^{(1)}(\tau_2) \cdots \hat{\mathcal{H}}^{(1)}(\tau_n) \right] \right\}^{(0)} \right]
\] (12)
with \(Z^{(0)} = \text{Tr} e^{-\beta\hat{H}^{(0)}}\) and the thermal average defined with respect to the unperturbed system \((\cdot)^{(0)} = \text{Tr}[\cdot e^{-\beta\hat{H}^{(0)}}]/Z^{(0)}\).

The respective perturbative contributions for \(\mathcal{F}\) contain different orders of the hopping matrix element \(J\) and the currents \(j^a\) and \(j^\alpha\). As we work out a Ginzburg–Landau theory, we restrict ourselves to the fourth order in the currents. Furthermore, we focus on the leading non-trivial order in the hopping \(J\) which is of first order. Therefore, the free energy functional can be expressed in terms of imaginary time integrals over sums of products of thermal Green functions.

The thermal averages in equation (12) can be expressed in terms of \(n\)-particle Green functions of the unperturbed system \(G_n^{(0)}(i_1^a, i_1; \tau_1, i_2^a, i_2; \tau_2, \cdots, i_n^a, i_n; \tau_n)\) and the cumulant decomposition for the Green functions, which is based on the locality of \(\hat{H}^{(0)}\) [41, 42]. With this, the unperturbed one- and two-point Green functions are given by
\[
G_1^{(0)}(i_1^a, i_1^b; \tau_1) = \delta_{i_1^a, i_1^b} c_{i_1^a, i_1^b}^{(0)}(\tau_1, \alpha_1) c_{i_1^b, \alpha_1}^{(0)}(0),
\]
and
\[
G_2^{(0)}(i_1^a, i_1, i_2^a, i_2; \tau_1, \tau_2, \tau_3, \tau_4) = \delta_{i_1^a, i_2^a} \delta_{\tau_1, \tau_2} \delta_{\tau_3, \tau_4} c_{i_1^a, i_2^a}^{(0)}(\tau_1, \alpha_1; \tau_2, \alpha_2; \tau_3, \tau_4) c_{i_1^a, i_2^a}^{(0)}(0).
\]

In order to calculate the respective cumulants from combining equations (13)–(15), we use for each lattice site the property [32, 43, 44]
\[
\hat{a}_a^{\dagger} |S, m, n\rangle = M_{a,S,m,n} |S + 1, m + \alpha, n + 1\rangle + \delta_{a,S} |S - 1, m + \alpha, n + 1\rangle,
\]
\[
\hat{a}_a |S, m, n\rangle = O_{a,S,m,n} |S + 1, m - \alpha, n - 1\rangle + \delta_{a,S} |S - 1, m - \alpha, n - 1\rangle,
\]
where \(M_{a,S,m,n}, N_{a,S,m,n}, O_{a,S,m,n}\) and \(P_{a,S,m,n}\) represent recursively defined matrix elements of creation and annihilation operators. Having calculated the free energy \(\mathcal{F}\) in this way, we perform then the Legendre transformation (7) to determine the effective action. In the special case of a stationary equilibrium, which is site-independent due to homogeneity, the order parameter is given in terms of Matsubara frequencies \(\omega_m = 2\pi m/\beta\). Therefore, the on-site effective potential becomes
\[
\Gamma (\Psi_\alpha, \Psi_\alpha^*) = \mathcal{F}_0 + \sum_a B_a |\Psi_a|^2
\]
with the Landau coefficients
\[
B_a = \frac{1}{\alpha^2 (0, \alpha)} - zJ,
\]
where \(z = 2D\) denotes the coordination number in a \(D\)-dimensional cubic lattice [45]. Furthermore, \(\alpha^{(0)}_2\) and \(\alpha^{(0)}_4\) follow from the cumulants but they are not displayed here due to their complicated and lengthy expressions.

Extremizing the effective potential (18) according to (9) we find at first the location of the quantum phase transition
\[
\mathcal{J}_c = \min_{\alpha} \frac{1}{\frac{1}{\alpha^2 (0, \alpha)} - zJ},
\]
which turns out to coincide with the mean-field result in [32–34], see figure 1. Moreover, inserting (18) into (9) yields also the different superfluid phases for ferromagnetic and anti-ferromagnetic interactions with and without magnetization at zero temperature. If there is more than one solution, we must take the one which minimizes the effective potential for some system parameter. At first, we observe that the condensate in the superfluid phase above the first Mott lobe shows, indeed, a sharp increase [11]. Thus, the condensate density cannot be valid deep in the superfluid phase. Therefore, it is necessary to determine the range of validity of the Ginzburg–Landau theory. To this end, we use the fact that we cannot have more particles in the condensate than we have in total. This leads to the condition that the sum over the condensate densities \(\sum_a |\Psi_a|^2\) is equal to the average number of particles per lattice site \(\langle n \rangle = \frac{\partial^2 F}{\partial \Psi^2 \partial \Psi^*} |_{\Psi = \Psi_{eq}}\), i.e.
\[
\sum_a |\Psi_a|^2 = \langle n \rangle,
\]
which is graphically shown in figure 1. However, we read off from figure 1 that condition (22) breaks down at the end of the lower Mott lobes. There we have to use an additional criterion to obtain a finite range of validity. To this end we complement condition (22) by the additional ad hoc restriction that above
Figure 1. Superfluid phases calculated analytically and numerically for different values of spin-dependent interaction strength $U_2/U_0$ and at external field $\eta/U_0 = 0.2$. $\Psi_1 \neq 0$, $\Psi_0 = \Psi_{-1} = 0$ (blue); $\Psi_1 \neq 0$, $\Psi_{-1} \neq 0$, $\Psi_0 = 0$ (red); $\Psi_{-1} \neq 0$, $\Psi_0 = \Psi_1 = 0$ (cyan); and $\Psi_1 \neq 0$, $\Psi_{-1} \neq 0$, $\Psi_0 = 0$ (green). The validity ranges (22) and (23) correspond to the black and dashed orange lines, respectively. Moreover, Mott lobes are characterized by gray color. (a) $U_2/U_0 = 0.02$. (b) $U_2/U_0 = 0.04$. (c) $U_2/U_0 = 0.05$. (d) $U_2/U_0 = 0.07$. (e) $U_2/U_0 = 0.1$. (f) $U_2/U_0 = 0.15$.

Mott lobe $n$ the condensate density cannot be larger than $n + 1$, yielding the boundary

$$\sum_\alpha |\Psi_\alpha|^2 = n + 1,$$

which is depicted in figure 1 as a dashed orange line.

Now we show at zero temperature that our Ginzburg–Landau theory distinguishes between various superfluid phases for a ferromagnetic and anti-ferromagnetic interaction with and without external magnetic field within the validity range of our theory. Without external magnetization the superfluid phase is a polar (ferromagnetic) state for anti-ferromagnetic (ferromagnetic) interactions, which is characterized by $\Psi_1 \neq 0$, $\Psi_{-1} = \Psi_0 = 0$ ($\Psi_1 \neq 0$, $\Psi_{-1} = \Psi_0 = 0$), in accordance with previous mean-field results [31, 32]. In the presence of the magnetic field the phase diagram does not change for the ferromagnetic interaction, as the minimization of the energy implies the maximum of spin.
value as it is in the case without η, except the degeneracy with respect to m is lifted, so the ground state becomes |n, n, n⟩. For an anti-ferromagnetic interaction, however, the situation is more complicated with an external magnetic field due to two competing effects. Whereas the anti-ferromagnetic interaction energetically favors anti-parallel spins, the external magnetic field has the tendency to align the spins. In the following we show that this competition leads to the appearance of different superfluid phases with different magnetic properties.

In figure 1, we study the predictions of the Ginzburg–Landau theory on how the external magnetic field affects the superfluid phases in the case of an anti-ferromagnetic interaction, i.e. U_2 > 0. In this context it is important to determine the degeneracy when two states have the same energy with equal particle number but different total spin. Using the ground state energy (4) and the degeneracy condition E_{2even}^{(0)} = E_{2odd}^{(0)} + S + 2mn, we obtain the critical spin-dependent interaction strength \( U_{\text{crit}}^{(1)} = \eta / (\lambda + 3) \) at the external magnetic field η [34, 43, 44]. With this, we get the resulting phase diagrams below and above the critical spin-dependent interaction strength.

When \( U_{2}^{(2)} / U_0 \) is 0.02, the superfluid phase becomes \( \Psi_1 \neq 0, \Psi_{-1} = 0 \) where the ground state |n, n, n⟩ is the state with maximum spin for all six lobes as shown in figure 1(a).

Above the first critical value \( U_{2\text{even}}^{(1)} / U_0 = 0.036 \) both the spin S and the magnetic m quantum numbers change from {6, 6, n} to {4, 4, n} for even lobes as shown in figure 1(b). We remark that if the spin-dependent interaction increases, the effect of the external magnetic field decreases, so the Mott lobes increase. The phases \( \Psi_1 \neq 0, \Psi_{-1} \neq 0, \Psi_0 = 0 \) and \( \Psi_{-1} \neq 0, \Psi_1 = 0 \) or \( \Psi_0 = 0 \) appear in the SF phase for the fifth and sixth lobes. We note that the phase \( \Psi_{-1} \neq 0, \Psi_1 \neq 0, \Psi_0 = 0 \) appears twice in the sixth lobe. The right phase in the sixth lobe results from the change of S and m for the seventh lobe from {7, 7, 7} to {5, 5, 7}, which happens at the critical value \( U_{2\text{odd}}^{(1)} / U_0 = 0.0308 \).

Beyond the critical value \( U_{2\text{odd}}^{(2)} / U_0 = 0.044 \) the odd lobes change from {5, 5, n} to {3, 3, n} as shown in figure 1(c). Similarly, the phases \( \Psi_1 \neq 0, \Psi_{-1} \neq 0, \Psi_0 = 0 \) appears in the SF phase for the fourth and fifth lobe and the phase \( \Psi_{-1} = 0, \Psi_1 \neq 0, \Psi_0 = 0 \) increases in the sixth lobe. After the critical value \( U_{2\text{even}}^{(2)} / U_0 = 0.0574 \), the ground states for the even lobes change from {4, 4, n} to {2, 2, n} as shown in figure 1(d). By the same way the phases \( \Psi_1 \neq 0, \Psi_{-1} \neq 0, \Psi_0 = 0 \) and \( \Psi_{-1} \neq 0, \Psi_1 = 0 \) or \( \Psi_0 = 0 \) are seen in the SF phase for the third and fourth lobe.

When \( U_{2} \) increases beyond the critical value \( U_{2\text{odd}}^{(3)} / U_0 = 0.08 \), the ground states for the odd lobes change from {3, 3, n} to {1, 1, n} as shown in figure 1(e). The phases \( \Psi_1 \neq 0, \Psi_{-1} \neq 0, \Psi_0 = 0 \) or \( \Psi_{-1} \neq 0, \Psi_1 = 0 \) appear in the SF phase for the even and odd lobes. After the critical value \( U_{2\text{even}}^{(3)} / U_0 = 0.133 \), S and m change from {2, 2, n} to {0, 0, n} for the even lobes as shown in figure 1(f). Furthermore, the effect of magnetic field becomes very weak because the value of η is close to U_2. Additionally, spin pairs are produced to get the minimal energy and, thus, the ground state becomes \( |0, 0, n⟩ \) for an even n, and \( |1, 1, n⟩ \) for an odd n. We found the new phase \( \Psi_{-1} \neq 0, \Psi_1 \neq 0, \Psi_0 \neq 0 \) above the even lobes.

Furthermore, inspecting the energies of the respective phases in the vicinity of their boundaries allows us to determine the order of the quantum phase transition. With this we find that the quantum phase transition from the Mott insulator to the superfluid phase is of second order for spin-1 bosons in a cubic optical lattice under the effect of the magnetic field at zero temperature. Thus, our finding disagrees with Kimura et al [46] where a first-order SF–MI phase transition was found at a part of the phase boundary by using the Gutzwiller variational approach. Finally, we observe that the transitions between the different superfluid phases can be of both first and second order above the same Mott lobe. For instance, the transition from \( \Psi_1 \neq 0, \Psi_0 = \Psi_{-1} = 0 \) to \( \Psi_{-1} \neq 0, \Psi_1 = 0 \) or vice versa is of first order, whereas the transition from \( \Psi_1 \neq 0, \Psi_0 = \Psi_{-1} = 0 \) to \( \Psi_{-1} \neq 0, \Psi_0 = 0 \) or \( \Psi_1 \neq 0 \), \( \Psi_{-1} \neq 0, \Psi_0 \neq 0 \) phases or vice versa is of second order.

In conclusion, we have worked out a Ginzburg–Landau theory for spin-1 bosons in a cubic optical lattice within its range of validity and investigated analytically and numerically at zero temperature the different superfluid phases for an anti-ferromagnetic interaction in the presence of an external magnetic field. Depending on the particle number, the spin-dependent interaction and the value of the magnetic field, we find superfluid phases with a macroscopic occupation of the two spin states ±1 or even of all three spin states 0, ±1. This is different from the mean-field approximation which only predicted two superfluid phases with spins aligned or opposite to the field direction [33, 34]. It would be interesting to study how these results would change in a frustrated triangular optical lattice [30] or in a superlattice [47].

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