Parser Showdown at the Wall Street Corral:  
An Empirical Investigation of Error Types in Parser Output

Jonathan K. Kummerfeld†  David Hall†  James R. Curran‡  Dan Klein†

†Computer Science Division
University of California, Berkeley
Berkeley, CA 94720, USA
{jjkk,dlwh,klein}@cs.berkeley.edu

‡e-lab, School of IT
University of Sydney
Sydney, NSW 2006, Australia
james@it.usyd.edu.au

Abstract

Constituency parser performance is primarily interpreted through a single metric, F-score on WSJ section 23, that conveys no linguistic information regarding the remaining errors. We classify errors within a set of linguistically meaningful types using tree transformations that repair groups of errors together. We use this analysis to answer a range of questions about parser behaviour, including what linguistic constructions are difficult for state-of-the-art parsers, what types of errors are being resolved by rerankers, and what types are introduced when parsing out-of-domain text.

1 Introduction

Parsing has been a major area of research within computational linguistics for decades, and constituent parser F-scores on WSJ section 23 have exceeded 90% (Petrov and Klein, 2007), and 92% when using self-training and reranking (McClosky et al., 2006; Charniak and Johnson, 2005). While these results give a useful measure of overall performance, they provide no information about the nature, or relative importance, of the remaining errors.

Broad investigations of parser errors beyond the PARSEVAL metric (Abney et al., 1991) have either focused on specific parsers, e.g. Collins (2003), or have involved conversion to dependencies (Carroll et al., 1998; King et al., 2003). In all of these cases, the analysis has not taken into consideration how a set of errors can have a common cause, e.g. a single mis-attachment can create multiple node errors.

We propose a new method of error classification using tree transformations. Errors in the parse tree are repaired using subtree movement, node creation, and node deletion. Each step in the process is then associated with a linguistically meaningful error type, based on factors such as the node that is moved, its siblings, and parents.

Using our method we analyse the output of thirteen constituency parsers on newswire. Some of the frequent error types that we identify are widely recognised as challenging, such as prepositional phrase (PP) attachment. However, other significant types have not received as much attention, such as clause attachment and modifier attachment.

Our method also enables us to investigate where reranking and self-training improve parsing. Previously, these developments were analysed only in terms of their impact on F-score. Similarly, the challenge of out-of-domain parsing has only been expressed in terms of this single objective. We are able to decompose the drop in performance and show that a disproportionate number of the extra errors are due to coordination and clause attachment.

This work presents a comprehensive investigation of parser behaviour in terms of linguistically meaningful errors. By applying our method to multiple parsers and domains we are able to answer questions about parser behaviour that were previously only approachable through approximate measures, such as counts of node errors. We show which errors have been reduced over the past fifteen years of parsing research; where rerankers are making their gains and where they are not exploiting the full potential of k-best lists; and what types of errors arise when moving out-of-domain. We have released our system1 to enable future work to apply our methodology.

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1http://code.google.com/p/berkeley-parser-analyser/
2 Background

Most attempts to understand the behaviour of constituency parsers have focused on overall evaluation metrics. The three main methods are intrinsic evaluation with PARSEVAL, evaluation on dependencies extracted from the constituency parse, and evaluation on downstream tasks that rely on parsing.

Intrinsic evaluation with PARSEVAL, which calculates precision and recall over labeled tree nodes, is a useful indicator of overall performance, but does not pinpoint which structures the parser has most difficulty with. Even when the breakdown for particular node types is presented (e.g. Collins, 2003), the interaction between node errors is not taken into account. For example, a VP node could be missing because of incorrect PP attachment, a coordination error, or a unary production mistake. There has been some work that addresses these issues by analysing the output of constituency parsers on linguistically motivated error types, but only by hand on sets of around 100 sentences (Hara et al., 2007; Yu et al., 2011). By automatically classifying parse errors we are able to consider the output of multiple parsers on thousands of sentences.

The second major parser evaluation method involves extraction of grammatical relations (King et al., 2003; Briscoe and Carroll, 2006) or dependencies (Lin, 1998; Briscoe et al., 2002). These metrics have been argued to be more informative and generally applicable (Carroll et al., 1998), and have the advantage that the breakdown over dependency types is more informative than over node types. There have been comparisons of multiple parsers (Foster and van Genabith, 2008; Nivre et al., 2010; Cer et al., 2010), as well as work on finding relations between errors (Hara et al., 2009), and breaking down errors by a range of factors (McDonald and Nivre, 2007). However, one challenge is that results for constituency parsers are strongly influenced by the dependency scheme being used and how easy it is to extract the dependencies from a given parser’s output (Clark and Hockenmaier, 2002). Our approach does not have this disadvantage, as we analyse parser output directly.

The third major approach involves extrinsic evaluation, where the parser’s output is used in a downstream task, such as machine translation (Quirk and Corston-Oliver, 2006), information extraction (Miyao et al., 2008), textual entailment (Yüre et al., 2010), or semantic dependencies (Dridan and Oepen, 2011). While some of these approaches give a better sense of the impact of parse errors, they require integration into a larger system, making it less clear where a given error originates.

The work we present here differs from existing approaches by directly and automatically classifying errors into meaningful types. This enables the first very broad, yet detailed, study of parser behaviour, evaluating the output of thirteen parsers over thousands of sentences.

3 Parsers

Our evaluation is over a wide range of PTB constituency parsers and their variants from the past fifteen years. For all parsers we used the publicly available version, with the standard parameter settings.

Berkeley (Petrov et al., 2006; Petrov and Klein, 2007). An unlexicalised parser with a grammar constructed with automatic state splitting.

Bikel (2004) implementation of Collins (1997).

BUBS (Dunlop et al., 2011; Bodenstab et al., 2011). A ‘grammar-agnostic constituent parser,’ which uses a Berkeley Parser grammar, but parses with various pruning techniques to improve speed, at the cost of accuracy.

Charniak (2000). A generative parser with a maximum entropy-inspired model. We also use the reranker (Charniak and Johnson, 2005), and the self-trained model (McClosky et al., 2006).

Collins (1997). A generative lexicalised parser, with three models, a base model, a model that uses subcategorisation frames for head words, and a model that takes into account traces.

SSN (Henderson, 2003; Henderson, 2004). A statistical left-corner parser, with probabilities estimated by a neural network.

Stanford (Klein and Manning, 2003a; Klein and Manning, 2003b). We consider both the unlexicalised PCFG parser (-U) and the factored parser (-F), which combines the PCFG parser with a lexicalised dependency parser.
Table 1: PARSEVAL results on WSJ section 23 for the parsers we consider. The columns are F-score, precision, recall, exact sentence match, and speed (sents/sec). Coverage was left out as it was above 99.8% for all parsers. In the ENHANCED TRAINING / SYSTEMS section we include the Charniak parser with reranking (R), with a self-trained model (S), and both (SR).

Table 1 shows the standard performance metrics, measured on section 23 of the WSJ, using all sentences. Speeds were measured using a Quad-Core Xeon CPU (2.33GHz 4MB L2 cache) with 16GB of RAM. These results clearly show the variation in parsing performance, but they do not show which constructions are the source of those variations.

4 Error Classification

While the statistics in Table 1 give a sense of overall parser performance they do not provide linguistically meaningful intuition for the source of remaining errors. Breaking down the remaining errors by node type is not particularly informative, as a single attachment error can cause multiple node errors, many of which are for unrelated node types. For example, in Figure 1 there is a PP attachment error that causes seven bracket errors (extra S, NP, PP, and NP, missing S, NP, and PP). Determining that these correspond to a PP attachment error from just the labels of the missing and extra nodes is difficult. In contrast, the approach we describe below takes into consideration the relations between errors, grouping them into linguistically meaningful sets.

We classify node errors in two phases. First, we find a set of tree transformations that convert the output tree into the gold tree. Second, the transformation are classified into error types such as PP attachment and coordination. Pseudocode for our method is shown in Algorithm 1. The tree transformation stage corresponds to the main loop, while the second stage corresponds to the final loop.

4.1 Tree Transformation

The core of our transformation process is a set of operations that move subtrees, create nodes, and delete nodes. Searching for the shortest path to transform one tree into another is prohibitively slow. We implemented various search procedures and found similar results on the sentences that could be processed in a reason-
Algorithm 1  Tree transformation error classification

\[ U = \text{initial set of node errors} \]
\[ \text{Sort } U \text{ by the depth of the error in the tree, deepest first} \]
\[ G = \emptyset \]
\[ \text{repeat} \]
\[ \quad \text{for all errors } e \in U \text{ do} \]
\[ \quad \quad \text{if } e \text{ fits an environment template } t \text{ then} \]
\[ \quad \quad \quad g = \text{new error group} \]
\[ \quad \quad \quad \text{Correct } e \text{ as specified by } t \]
\[ \quad \quad \quad \text{for all errors } f \text{ that } t \text{ corrects do} \]
\[ \quad \quad \quad \quad \text{Remove } f \text{ from } U \]
\[ \quad \quad \quad \quad \text{Insert } f \text{ into } g \]
\[ \quad \quad \text{end for} \]
\[ \quad \text{Add } g \text{ to } G \]
\[ \quad \text{end if} \]
\[ \text{end for} \]
\[ \text{until unable to correct any further errors} \]
\[ \text{for all remaining errors } e \in U \text{ do} \]
\[ \quad \text{Insert a group into } G \text{ containing } e \]
\[ \text{end for} \]
\[ \text{for all groups } g \in G \text{ do} \]
\[ \quad \text{Classify } g \text{ based on properties of the group} \]
\[ \text{end for} \]

We match each error with a template based on nearby tree structure and errors. For example, in Figure 1 there are four extra nodes that all cover spans ending at Applied in 1986: S, NP, PP, NP. These are also three missing nodes with spans ending between Applied and in: PP, NP, and S. Figure 2 depicts these errors as spans, showing that this case fits three criteria: (1) there is a set of extra spans all ending at the same point, (2) there is a set of missing spans all ending at the same point, and (3) the extra spans cross the missing spans, extending beyond their end-point. This indicates that the node starting after Applied is attaching too low and should be moved up, outside all of the extra nodes. Together, the criteria and transformation form a template.

Once a suitable template is identified we correct the error by moving subtrees, adding nodes and removing nodes. In the example this is done by moving the node spanning in 1986 up in the tree until it is outside of all the extra spans. Since moving the PP leaves a unary production from an NP to an NP, we also collapse that level. In total this corrects seven errors, as there are three cases in which an extra node is present that matches a missing node once the PP is moved. All of these errors are placed in a single group and information about the nearby tree structure before and after the transformation is recorded.

We continue to make passes through the list until no errors are corrected on a pass. For each remaining node error an individual error group is created.

The templates were constructed by hand based on manual analysis of parser output. They cover a range of combinations of extra and missing spans, with further variation for whether crossing is occurring and if so whether the crossing bracket starts or ends in the middle of the correct bracket. Errors that do not match any of our templates are left uncorrected.

4.2 Transformation Classification

We began with a large set of node errors, in the first stage they were placed into groups, one group per tree transformation used to get from the test tree to the gold tree. Next we classify each group as one of the error types below.

PP Attachment Any case in which the transformation involved moving a Prepositional Phrase, or the incorrect bracket is over a PP, e.g.

\[ \text{He was (VP named chief executive officer of (NP Applied (PP in 1986)))} \]

where (PP in 1986) should modify the entire VP, rather than just Applied.

NP Attachment Several cases in which NPs had to be moved, particularly for mistakes in appositive constructions and incorrect attachments within a verb phrase, e.g.

\[ \text{The bonds (VP go (PP on sale (NP Oct. 19)))} \]

where Oct. 19 should be an argument of go.
Figure 3: **NP Attachment:** *today* is too high, it should be the argument of *appearing*, rather than *wrote*. This causes three node errors (extra NP, missing NP and VP).

Figure 4: **Modifier Attachment:** *ahead of time* is too high, it should modify *think*, not *had*. This causes six node errors (extra S, VP, and VP, missing S, VP, and VP).

**Modifier Attachment** Cases involving incorrectly placed adjectives and adverbs, including errors corrected by subtree movement and errors requiring only creation of a node, e.g. (NP **ADVP even more** severe setbacks) where there should be an extra ADVP node over **even more severe**.

**Clause Attachment** Any group that involves movement of some form of S node.

Figure 5: **Clause Attachment:** *unless the agency receives specific congressional authorization* is attaching too low. This causes six node errors (extra S, VP, and VP, missing S, VP and VP).

Figure 6: Two **Unary** errors, a missing S and a missing NP. The third tree is the PTB tree before traces and function tags are removed. Note that the missing NP is over another NP, a production that does occur widely in the treebank, particularly over the word *it*.
Dresdner AG’s 10% decline and Mannesmann AG for A 16% drop.

Figure 7: **Coordination**: and Dresdner AG’s 10% decline is too low. This causes four node errors (extra PP and NP, missing NP and PP).

**Unary** Mistakes involving unary productions that are not linked to a nearby error such as a matching extra or missing node. We do not include a breakdown by unary type, though we did find that clause labeling (S, SINV, etc) accounted for a large proportion of the errors.

**Coordination** Cases in which a conjunction is an immediate sibling of the nodes being moved, or is the leftmost or rightmost node being moved.

**NP Internal Structure** While most NP structure is not annotated in the PTB, there is some use of ADJP, NX, NAC and QP nodes. We form a single group for each NP that has one or more errors involving these types of nodes.

**Different label** In many cases a node is present in the tree that spans the correct set of words, but has the wrong label, in which case we group the two node errors, (one extra, one missing), as a single error.

**Single word phrase** A range of node errors that span a single word, with checks to ensure this is not linked to another error (e.g. one part of a set of internal noun phrase errors).

**Other** There is a long tail of other errors. Some could be placed within the categories above, but would require far more specific rules.

For many of these error types it would be difficult to extract a meaningful understanding from only the list of node errors involved. Even for error types that can be measured by counting node errors or rule production errors, our approach has the advantage that we identify groups of errors with a single cause. For example, a missing unary production may correspond to an extra bracket that contains a subtree that attached incorrectly.

4.3 **Methodology**

We used sections 00 and 24 as development data while constructing the tree transformation and error group classification methods. All of our examples in text come from these sections as well, but for all tables of results we ran our system on section 23. We chose to run our analysis on section 23 as it is the only section we are sure was not used in the development of any of the parsers, either for tuning or feature development. Our evaluation is entirely focused on the errors of the parsers, so unless there is a particular construction that is unusually prevalent in section 23, we are not revealing any information about the test set that could bias future work.

5 **Results**

Our system enables us to answer questions about parser behaviour that could previously only be probed indirectly. We demonstrate its usefulness by applying it to a range of parsers (here), to reranked K-best lists of various lengths, and to output for out-of-domain parsing (following sections).

In Table 2 we consider the breakdown of parser...
Table 2: Average number of bracket errors per sentence due to the top ten error types. For instance, Stanford-U produces output that has, on average, 1.12 bracket errors per sentence that are due to PP attachment. The scale for each column is indicated by the Best and Worst values.

Table 3: Breakdown of errors on section 23 for the Charniak parser with self-trained model and reranker. Errors are sorted by the number of times they occur. Ratio is the average number of node errors caused by each error we identify (i.e. Nodes Involved / Occurrences).

As Table 3 shows, some errors typically cause only a single node error, while others, such as coordination, generally cause several. This means that considering counts of error groups would overemphasize some error types, e.g. single word phrase errors are second most important by number of groups (in Table 3), but seventh by total number of node errors (in Table 2).

As expected, PP attachment is the largest contributor to errors, across all parsers. Interestingly, coordination is sixth on the list, though that is partly due to the fact that there are fewer coordination decisions to be made in the treebank.

By looking at the performance of the Collins parser we can see the development over the past fifteen years. There has been improvement across the board, but in some cases, e.g. clause attachment errors and different label errors, the change has been more limited (24% and 29% reductions respectively). We investigated the breakdown of the different label errors by label, but no particular cases of la-
bel confusion stand out, and we found that the most common cases remained the same between Collins and the top results.

It is also interesting to compare pairs of parsers that share aspects of their architecture. One such pair is the Stanford parser, where the factored parser combines the unlexicalised parser with a lexicalised dependency parser. The main sources of the 0.64 gain in F-score are PP attachment and coordination.

Another interesting pair is the Berkeley parser and the BUBS parser, which uses a Berkeley grammar, but improves speed by pruning. The pruning methods used in BUBS are particularly damaging for PP attachment errors and unary errors.

Various comparisons can be made between Charniak parser variants. We discuss the reranker below. For the self-trained model McClosky et al. (2006) performed some error analysis, considering variations in F-score depending on the frequency of tags such as PP, IN and CC in sentences. Here we see gains on all error types, though particularly for clause attachment, modifier attachment and coordination, which fits with their observations.

5.1 Reranking

The standard dynamic programming approach to parsing limits the range of features that can be employed. One way to deal with this issue is to modify the parser to produce the top $K$ parses, rather than just the 1-best, then use a model with more sophisticated features to choose the best parse from this list (Collins, 2000). While re-ranking has led to gains in performance (Charniak and Johnson, 2005), there has been limited analysis of how effectively rerankers are using the set of available options. Recent work has explored this question in more depth, but focusing on how variation in the parameters impacts performance on standard metrics (Huang, 2008; Ng et al., 2010; Auli and Lopez, 2011; Ng and Curran, 2012).

In Table 4 we present a breakdown over error types for the Charniak parser, using the self-trained model and reranker. The oracle results use the parse in each K-best list with the highest F-score. While this may not give the true oracle result, as F-score does not factor over sentences, it gives a close approximation. The table has the same columns as Table 2, but the ranges on the bars now reflect the min and max for these sets.

While there is improvement on all errors when using the reranker, there is very little additional gain beyond the first 5-10 parses. Even for the oracle results, most of the improvement occurs within the first 5-10 parses. The limited utility of extra parses

| System | K | F-score | PP Attach | Clause Attach | Diff Label | Mod Attach | NP Attach | Co-ord | 1-Word Span | Unary | NP Int. | Other |
|--------|---|---------|-----------|-------------|-----------|------------|----------|-------|-------------|-------|--------|-------|
| Best   |   |         |           |             |           |            |          |       |              |       |        |       |
| 1000   |   | 98.30   |           |             |           |            | 0.06     | 0.04  |              | 0.04  | 0.04   | 0.11  |
| 100    |   | 97.54   |           |             |           |            | 0.05     | 0.04  |              | 0.04  | 0.04   |       |
| 50     |   | 97.18   |           |             |           |            | 0.04     | 0.04  |              | 0.04  |        |       |
| Oracle | 20 | 96.40   |           |             |           |            | 0.08     | 0.05  |              | 0.06  | 0.04   |       |
|        | 10 | 95.66   |           |             |           |            | 0.08     | 0.04  |              | 0.04  |        |       |
|        | 5  | 94.61   |           |             |           |            | 0.08     | 0.04  |              | 0.04  |        |       |
|        | 2  | 92.59   |           |             |           |            | 0.04     | 0.04  |              | 0.04  |        |       |
| Worst  |   | 0.66    |           | 0.43        | 0.33      | 0.26       | 0.28     | 0.26  | 0.23         | 0.16  | 0.19   | 0.60  |

Table 4: Average number of bracket errors per sentence for a range of K-best list lengths using the Charniak parser with reranking and the self-trained model. The oracle results are determined by taking the parse in each K-best list with the highest F-score.
for the reranker may be due to the importance of
the base parser output probability feature (which, by
definition, decreases within the K-best list).

Interestingly, the oracle performance improves
across all error types, even at the 2-best level. This
indicates that the base parser model is not particu-
larly biased against a single error. Focusing on the
rows for \( K = 2 \) we can also see two interesting out-
liers. The PP attachment improvement of the ora-
acle is considerably higher than that of the reranker,
particularly compared to the differences for other er-
rors, suggesting that the reranker lacks the features
necessary to make the decision better than the parser.
The other interesting outlier is NP internal structure,
which continues to make improvements for longer
lists, unlike the other error types.

5.2 Out-of-Domain

Parsing performance drops considerably when shift-
ning outside of the domain a parser was trained on
(Gildea, 2001). Clegg and Shepherd (2005) eval-
uated parsers qualitatively on node types and rule pro-
ductions. Bender et al. (2011) designed a Wikipedia
test set to evaluate parsers on dependencies repre-
senting ten specific linguistic phenomena.

To provide a deeper understanding of the er-
ors arising when parsing outside of the newswire
domain, we analyse performance of the Charniak
parser with reranker and self-trained model on the
eight parts of the Brown corpus (Marcus et al.,
1993), and two parts of the Google Web corpus
(Petrov and McDonald, 2012). Table 6 shows statistics for the corpora. The variation in average sen-
tence lengths skew the results for errors per sentences, and so in Table 5 we consider errors per word.

Table 5: Average number of node errors per word for a range of domains using the Charniak parser with reranking and the self-trained model. We use per word error rates here rather than per sentence as there is great variation in average sentence length across the domains, skewing the per sentence results.

| Corpus   | F-score | PP Attach | Clause Attach | Diff Label | Mod Attach | NP Attach | Co-ord | 1-Word Span | Unary | NP Int. | Other |
|----------|---------|-----------|---------------|------------|------------|-----------|--------|-------------|-------|---------|-------|
| Best     | 0.022   | 0.016     | 0.013         | 0.011      | 0.011      | 0.010     | 0.009  | 0.006       | 0.005 | 0.21    |
| wjs 23   | 92.07   |           |               |            |            |           |        |             |       |         |       |
| Brown-F  | 85.91   |           |               |            |            |           |        |             |       |         |       |
| Brown-G  | 84.56   |           |               |            |            |           |        |             |       |         |       |
| Brown-K  | 84.09   |           |               |            |            |           |        |             |       |         |       |
| Brown-L  | 83.95   |           |               |            |            |           |        |             |       |         |       |
| Brown-M  | 84.65   |           |               |            |            |           |        |             |       |         |       |
| Brown-N  | 85.20   |           |               |            |            |           |        |             |       |         |       |
| Brown-P  | 84.09   |           |               |            |            |           |        |             |       |         |       |
| Brown-R  | 83.60   |           |               |            |            |           |        |             |       |         |       |
| G-Web Blogs | 84.15 |           |               |            |            |           |        |             |       |         |       |
| G-Web Email | 81.18 |           |               |            |            |           |        |             |       |         |       |
| Worst    | 0.040   | 0.035     | 0.053         | 0.020      | 0.034      | 0.023     | 0.046  | 0.009       | 0.029 | 0.073   |

Table 6: Variation in size and contents of the domains we consider. The variation in average sentence lengths skews the results for errors per sentences, and so in Table 5 we consider errors per word.
attachment. This makes sense, as the colloquial nature of the text includes more unusual uses of conjunctions, for example:

She was a living doll and no mistake – the ...

Comparing the Brown corpora and the Google Web corpora, there are much larger divergences. We see a particularly large decrease in NP internal structure. Looking at some of the instances of this error, it appears to be largely caused by incorrect handling of structures such as URLs and phone numbers, which do not appear in the PTB. There are also some more difficult cases, for example:

... going up for sale in the next month or do.

where or do is a QP. This typographical error is extremely difficult to handle for a parser trained only on well-formed text.

For e-mail there is a substantial drop on single word phrases. Breaking the errors down by label we found that the majority of the new errors are missing or extra NPs over single words. Here the main problem appears to be temporal expressions, though there also appear to be a substantial number of errors that are also at the POS level, such as when NNP is assigned to ta in this case:

... let you know that I'm out ta here!

Some of these issues, such as URL handling, could be resolved with suitable training data. Other issues, such as ungrammatical language and unconventional use of words, pose a greater challenge.

6 Conclusion

The single F-score objective over brackets or dependencies obscures important differences between statistical parsers. For instance, a single attachment error can lead to one or many mismatched brackets.

We have created a novel tree-transformation methodology for evaluating parsers that categorises errors into linguistically meaningful types. Using this approach, we presented the first detailed examination of the errors produced by a wide range of constituency parsers for English. We found that PP attachment and clause attachment are the most challenging constructions, while coordination turns out to be less problematic than previously thought. We also noted interesting variations in error types for parsers variants.

We investigated the errors resolved in reranking, and introduced by changing domains. We found that the Charniak rerankers improved most error types, but made little headway on improving PP attachment. Changing domain has an impact on all error types, except NP internal structure.

We have released our system so that future constituent parsers can be evaluated using our methodology. Our analysis provides new insight into the development of parsers over the past fifteen years, and the challenges that remain.

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