Accurate prediction and measurement of vibronic branching ratios for laser cooling linear polyatomic molecules

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We report a generally applicable computational and experimental approach to determine vibronic branching ratios in linear polyatomic molecules to the $10^{-5}$ level, including for nominally symmetry-forbidden transitions. These methods are demonstrated in CaOH and YbOH, showing approximately two orders of magnitude improved sensitivity compared with the previous state of the art. Knowledge of branching ratios at this level is needed for the successful deep laser cooling of a broad range of molecular species.

Introduction.—Recent years have seen rapid progress in producing cold molecules and in using them to study molecular interactions and reactions [1–3], quantum information processing and computation [4–10], and precision measurement in search of new physics beyond the Standard Model (BSM) [11–14]. Direct laser cooling and trapping has emerged as a particularly promising approach [5–15,30] to make molecules ultracold, as needed for much of the current and future research in these areas. While molecules, compared to atoms, have wider applicability and often have higher sensitivity for BSM searches, their complex internal motions continue to pose challenges to applying the most powerful laser-cooling techniques. The use of magneto-optical traps (MOTs) and the very high-fidelity optical readout of internal quantum states typically rely on scattering more than $10^4$ photons [16–18]; to achieve this, a transition manifold with overall fractional loss less than $10^{-3}$ is required.

Several diatomic molecules have been optically cycled well above the required $10^4$ photon threshold, leading to successful laser cooling [17,18] and loading into optical traps [20–23,26]. Laser cooling of polyatomic molecules, however, is more challenging. To understand the difficulty, this key metric should be considered - the quasi-diagonality of the Franck-Condon matrix for the electronic laser cooling transition [31,36]. In order to quantify this metric, and thus determine whether a molecular candidate can be successfully loaded and held in a MOT, knowledge of all decays with intensity above about $10^{-5}$ is needed to ensure that a sufficient number of photons may be scattered by each molecule. Recent observations of nominally symmetry-forbidden decays [27,38] confirm that very weak decays, which can only be observed with considerable effort, can pose major obstacles to achieving an optical cycle capable of scattering $>10^4$ photons per molecule. While experimental studies using high resolution laser spectroscopy [30,37,39–43] have the potential to provide accurate branching ratios, it is a formidable endeavor to measure branching ratios smaller than $10^{-3}$ with current methods. The capability to calculate and measure vibrational branching ratios accurately is thus a critical tool for developing laser-cooling strategies for specific molecules.

In this Letter, we present generally applicable methods to calculate and measure vibronic branching ratios for all transitions with intensity above $10^{-5}$ in laser-coolable linear polyatomic molecules. Spin-vibronic perturbations [14] contribute significantly to vibronic decay pathways, so that modeling their effects on laser cooling is of utmost importance. The present computational scheme performs coupled-cluster (CC) [45–48] calculations to parametrize a Köppel-Domcke-Cederbaum (KDC) multi-state quasiadiabatic Hamiltonian [49] including all relevant spin-vibronic perturbations and obtains vibronic levels and wavefunctions from discrete variable representation (DVR) [50–52] calculations. We compare the computational results to new measurements of branching ratios in CaOH and YbOH, with approximately $10^{-5}$ relative intensity sensitivity. These measurements, which rely crucially on strong optical excitation, achieve a level of sensitivity with direct experimental access to the perturbations probed by our calculations. The good agreement between experiment and theory demonstrated here validates both methodologies for guiding the selection of candidate molecules for direct laser cooling and for understanding the role that weak perturbations play in the optical cycling process. It also provides clear pathways for deep laser cooling of the two studied species, for use in quantum computation and the search of physics BSM. These methods in general provide an approach for assessing and understanding laser cooling of polyatomic molecules.

Theory.—As shown in Figure 1, the most commonly used optical cycle in a linear triatomic molecule of the type M-A-B, e.g., a metal hydroxide, involves the transitions from $A^2\Pi_{1/2}(000)$, the vibrational ground state of a low-lying excited electronic state, to the vibrational states of the ground electronic state $X^2\Sigma_{1/2}$, i.e., $X^2\Sigma_{1/2}(000)$, $X^2\Sigma_{1/2}(100)$, $X^2\Sigma_{1/2}(010)$, etc. Here ($v_1^2v_2^2v_3^2$) denotes a vibrational state with $v_1$ quanta of ex-
citation in the M-A stretching mode, \( v_2 \) quanta of bending excitation, and \( v_3 \) quanta of A-B stretching excitation. The superscript \( \ell \) denotes a vibrational angular momentum due to bending excitations. \( X^2 \Sigma_{1/2} \) is well separated from the other electronic states. A variational calculation within the Born-Oppenheimer approximation thus can produce its vibrational levels and wave functions accurately.

In contrast, a number of small perturbations \[44\] contribute to the \( A^2 \Pi_{1/2}(000) \) vibronic wave function and hence to the targeted branching ratios. The Renner-Teller (RT) effects \[53\] and spin-orbit coupling (SOC) are well known to play important roles in the description of vibronic levels and wave functions for the \( A^2 \Pi \) states in a linear triatomic molecule \[54\] \[55\]. Besides, importantly, linear vibronic coupling (LVC) and SOC between \( A^2 \Pi \) and \( B^2 \Sigma \) introduce \( A^2 \Pi(010) \) and \( B^2 \Sigma(010) \) components into the wave function of \( A^2 \Pi_{1/2}(000) \) thereby making important contributions to nominally symmetry-forbidden transitions to \( X^2 \Sigma_{1/2}(010) \) \[56\] \[57\] with small but non-negligible branching factors. Therefore, aiming to obtain accurate branching factors higher than \( 10^{-5} \), it is necessary to calculate the \( A^2 \Pi_{1/2}(000) \) vibronic wave function using a multi-state Hamiltonian with all of these relevant perturbations taken into account. We thus adopt the KDC Hamiltonian widely used to account for spin-vibronic coupling in spectrum simulation \[58\] \[60\].

We use a KDC Hamiltonian of a form

\[
\begin{pmatrix}
E_{A^2 \Pi_z}^\text{ad} & \sqrt{2} \Sigma^+ & \sqrt{2} \Sigma^- & 0 & 0 & \hbar \Sigma^\text{ad} \\
\Sigma^\text{ad} x y & E_{A^2 \Pi_y}^\text{ad} & E_{A^2 \Pi_y}^\text{ad} & \sqrt{2} \Sigma^+ & \sqrt{2} \Sigma^- & 0 \\
\Sigma^\text{ad} x y & E_{A^2 \Pi_y}^\text{ad} & E_{A^2 \Pi_y}^\text{ad} & \sqrt{2} \Sigma^+ & \sqrt{2} \Sigma^- & 0 \\
V_{LVC}^{\Sigma^+} & V_{LVC}^{\Sigma^-} & E_{B^2 \Sigma}^\text{ad} & -\hbar \bar{\Sigma}^\text{ad} & -\hbar \bar{\Sigma}^\text{ad} & 0 \\
0 & 0 & -\hbar \bar{\Sigma}^\text{ad} & E_{B^2 \Sigma}^\text{ad} & E_{B^2 \Sigma}^\text{ad} & -\hbar \bar{\Sigma}^\text{ad} \\
0 & 0 & -\hbar \bar{\Sigma}^\text{ad} & E_{B^2 \Sigma}^\text{ad} & E_{B^2 \Sigma}^\text{ad} & -\hbar \bar{\Sigma}^\text{ad}
\end{pmatrix}
\]

including six scalar quasidiabatic electronic states, \( A^2 \Pi_z(\alpha) \), \( A^2 \Pi_y(\alpha) \), \( B^2 \Sigma(\alpha) \), \( A^2 \Pi_z(\beta) \), \( A^2 \Pi_y(\beta) \), and \( B^2 \Sigma(\beta) \), as the electronic basis. Here \( \alpha \) and \( \beta \) refer to \( S_z = 1/2 \) and \(-1/2 \). \( E_{A^2 \Pi_z}^\text{ad} \), \( E_{A^2 \Pi_y}^\text{ad} \), and \( E_{B^2 \Sigma}^\text{ad} \) denote potential energies of these quasidiabatic states. \( V_{LVC}'s \) represent LVC, \( V_{RT}'s \) represent RT coupling, \( V_{SO}'s \) denote SOC matrix elements. This Hamiltonian includes all perturbations pertinent to the calculations of branching ratios for the \( A^2 \Pi_{1/2} \rightarrow X^2 \Sigma_{1/2} \) optical cycle.

We first calculate the adiabatic potential energy surfaces (PESs) for \( A^2 \Pi_z \) and \( A^2 \Pi_y \), the two scalar adiabatic states of \( A^2 \Pi \), as well as for \( B^2 \Sigma \),

\[
\begin{pmatrix}
E_{A^2 \Pi_z}^\text{ad} & 0 & 0 \\
0 & E_{A^2 \Pi_y}^\text{ad} & 0 \\
0 & 0 & E_{B^2 \Sigma}^\text{ad}
\end{pmatrix}.
\]

Then we apply the following adiabatic to diabatic transformation

\[
\begin{pmatrix}
Q_x \\
Q_y \\
Q_z
\end{pmatrix}
= \begin{pmatrix}
\sqrt{Q_x^2 + Q_y^2} & 0 & 0 \\
0 & \sqrt{Q_x^2 + Q_y^2} & 0 \\
0 & 0 & -\frac{Q_z}{\sqrt{Q_x^2 + Q_y^2}}
\end{pmatrix}
\begin{pmatrix}
Q_x \\
Q_y \\
Q_z
\end{pmatrix}
\]

in which \( Q_x \) and \( Q_y \) represent the normal coordinates of the two bending modes. This transformation was derived for harmonic potentials. The application to potentials with anharmonic contributions corresponds to a quasidiabatization process. This transforms \( A^2 \Pi_z \) and \( A^2 \Pi_y \) into two quasidiabatic states, hereafter referred to as \( \Pi_{a1} \) and \( \Pi_{a2} \), and the adiabatic potentials in Eq. \[2\] into the quasidiabatic potentials

\[
\begin{pmatrix}
E_{\Pi_{a1}} & 0 & 0 \\
0 & E_{\Pi_{a2}} & 0 \\
0 & 0 & E_{B^2 \Sigma}^\text{ad}
\end{pmatrix}.
\]

Next, we include LVC using the linear diabatic coupling constants \[69\] between \( A^2 \Pi_{a1} \) and \( B^2 \Sigma \). This also introduces corrections to \( E_{\Pi_{a1}} \), \( E_{\Pi_{a2}} \), and \( E_{B^2 \Sigma}^\text{ad} \)[69], leading to

\[
\begin{pmatrix}
E_{A^2 \Pi_z} & V_{\Pi_{a1}} & V_{\Pi_{a1}}^{LVC} \\
V_{\Pi_{a1}} & E_{A^2 \Pi_y} & V_{\Pi_{a1}}^{LVC} \\
V_{\Pi_{a1}}^{LVC} & V_{\Pi_{a1}}^{LVC} & E_{B^2 \Sigma}^\text{ad}
\end{pmatrix}
\]

Finally, we augment Eq. \[5\] with SOC and obtain Eq. \[4\], hereby completing the construction of the quasidiabatic Hamiltonian.

We used the CFOUR program \[70\] \[74\] to calculate all parameters in the KDC Hamiltonian including adiabatic PESs, linear diabatic coupling constants \[69\], and SOC matrix elements \[73\] \[76\]. The present study used the EOM electron attachment CC singles and doubles (EOEAM-CCSD) method \[77\] and correlation-consistent basis sets \[78\] \[82\] to provide accurate PESs around the equilibrium structures pertinent to the calculations of low-lying vibronic states involved in laser cooling. We accounted for scalar-relativistic effects for SrOH and YbOH.
using the spin-free exact two-component theory in its one-electron variant (SFX2C-1e) [83, 84]. Having the quasiadiabatic Hamiltonians expanded in “real space” basis sets, we carried out DVR [51] calculations in the representa-
tion of the four vibrational normal coordinates to obtain vibronic levels and wave functions. The normal coordinate DVR calculations previously produced accurate vibra-
tional levels for the \( X^2\Sigma \) state in CaOH and YbOH [43]. We then combine the Franck-Condon overlap integrals with the EOMEA-CCSD electronic transition dipole moments to obtain the branching factors. We refer the readers to the supporting information [85] for more details about the computations.

**Experiment.**—Branching ratios for CaOH and YbOH were recorded using dispersed laser-induced fluorescence (DLIF) measurements. The sensitivity achieved was improved by approximately two orders of magnitude over previous measurements in similar species [41, 43, 91], due primarily to the use of bright cryogenic molecular beams and photon cycling described below and in [85]. In brief, cryogenic buffer gas beams of CaOH and YbOH were produced using the beam source described in Ref. [14]. Laser-excitation-enhanced chemical reactions between metallic Yb or Ca and H\(_2\)O vapor [52–94] increased the molecular beam flux by a factor of \( \sim 10 \) compared to previous reports [14, 27]. The apparatus was modified with a spectrometer similar to that used in Ref. [85]. After propagating approximately 30 cm downstream from the source, the molecules interacted with laser beams addressing the rotationally re-
solved \( A^2\Pi_{1/2}(000) \leftarrow X^2\Sigma^+(000) \) and \( A^2\Pi_{1/2}(000) \leftarrow X^2\Sigma^+(100) \) transitions used for optical cycling in laser cooling experiments [14, 27]. In this detection region, each molecule scattered on average 50-100 photons. Us-
ing optical cycling transitions therefore directly increased the number of photons collected, and thus the sensi-
tivity, compared to typical DLIF measurements where molecules scatter on average only a single photon [14].

The molecular fluorescence was collected and dispersed on a 0.67 m Czerny-Turner style spectrometer, before being detected with an electron-multiplying charged-coupled device (EMCCD). The wavelength and intensity axes were carefully calibrated in separate measurements (see [85]). See the supporting information [85] for more details on the experimental apparatus, calibration, and data analysis. A representative DLIF spectrum recorded for YbOH is shown in Fig. 2, demonstrating the sensi-
tivity achieved by this method.

**Results and discussion.**—The computed vibronic energy levels for SrOH and CaOH, as summarized in Table [II], show excellent agreement with measured values, with discrepancies lower than 10 cm\(^{-1}\). The calculations also accurately reproduced the splittings between (02\(_0^0\)) and (02\(_1^0\)) and among the four \( A^2\Pi(010) \) states. The present KDC Hamiltonian calculations thus accurately describe the vibronic wave functions. We provide a complete list of computed vibronic levels for the \( X^2\Sigma, A^2\Pi, \) and \( B^2\Sigma \) states in the Supporting Information [85].

Tables [II] and [III] summarize the calculated and measured vibrational branching ratios for decay from \( A^2\Pi_{1/2}(000) \) to the \( X^2\Sigma \) state in CaOH and YbOH. All branching ratios above \( 10^{-5} \) are shown; computed branching to the O-H stretch mode is below \( 10^{-6} \) and therefore negligible. The present level of consistency be-
tween computations and measurements for CaOH and
TABLE II. Branching ratios for transitions from $A^2\Pi_{1/2}(000)$ to vibrational levels of $X^2\Sigma_{1/2}$ in CaOH and SrOH. The noise level of the smallest branching ratios for CaOH is at the level of $7 \times 10^{-6}$; the uncertainty in the larger branching ratios is dominated by spectrometer calibration. The relative intensities of the unresolved $\ell=0$, 2 components of the (020) and (120) manifolds were fixed to the value measured in previous work [37].

| States   | Calculated | Experiment | Calculated | Experiment [32] |
|----------|------------|------------|------------|-----------------|
| (000)    | 95.429%    | 94.59(29)% | 94.509%    | 95.7%           |
| (010)    | 0.063%     | 0.099(6)%  | 0.025%     |                 |
| (100)    | 3.934%     | 4.75(27)%  | 5.188%     | 4.3%            |
| (020)    | 0.298%     | 0.270(17)% | 0.010%     |                 |
| (110)    | 0.079%     | 0.067(12)% | 0.036%     |                 |
| (030)    | <0.001%    | 0.0034(8)% | <0.001%    |                 |
| (200)    | 0.157%     | 0.174(16)% | 0.218%     |                 |
| (120)    | 0.022%     | 0.021(3)%  | <0.001%    |                 |
| (220)    | 0.005%     | 0.005(1)%  | 0.003%     |                 |
| (040)    | <0.001%    | 0.0021(7)% | <0.001%    |                 |
| (300)    | 0.006%     | 0.0068(8)% | 0.008%     |                 |
| (230)    | 0.002%     | 0.0020(7)% | <0.001%    |                 |

TABLE III. The vibrational level positions (in cm$^{-1}$) of the $X^2\Sigma$ state of YbOH and vibrational branching ratios (VBRs) of the transitions from $A^2\Pi_{1/2}(000)$ to these states. The measured vibrational frequencies have an estimated uncertainty of $\pm 5$ cm$^{-1}$ and the noise level of the smallest branching ratios is at the level of $9 \times 10^{-6}$. Anharmonic splittings in the (020) and (120) levels were not resolved.

| States | Calculated | Experiment | Calculated | Experiment |
|--------|------------|------------|------------|------------|
| (000)  | 0          | 0          | 87.691%    | 89.44(61)% |
| (010)  | 322        | 319        | 0.053%     | 0.054(4)%  |
| (100)  | 532        | 528        | 10.774%    | 9.11(55)%  |
| (020)  | 631        | 627        | 0.457%     | 0.335(20)% |
| (020)  | 654        | –          | 0.022%     | –          |
| (110)  | 846        | 840        | 0.007%     | 0.0100(13)%|
| (030)  | 952        | 947        | <0.001%    | 0.0020(9)% |
| (200)  | 1062       | 1052       | 0.863%     | 0.914(62)% |
| (120)  | 1154       | 1144       | 0.063%     | 0.055(4)%  |
| (120)  | 1173       | –          | 0.004%     | –          |
| (210)  | 1369       | 1369       | <0.001%    | 0.0019(12)%|
| (300)  | 1600       | 1572       | 0.054%     | 0.067(4)%  |
| (220)  | 1680       | 1651       | 0.007%     | 0.0050(9)% |
| (400)  | 2160       | 2079       | 0.002%     | 0.0045(9)% |

YbOH, i.e., excellent agreement for stronger transitions and qualitative agreement for weaker transitions below 0.1%, is very promising. In CaOH, although branching ratios for the origin transitions are as large as $\sim 95\%$, one has to take into account up to (300) to saturate the Ca-O stretch branching ratios to below $10^{-5}$. In YbOH, the branching ratios for the Yb-O stretch are less diagonal and (400) is relevant.

The nominally symmetry-forbidden $|\Delta v_2|=1, 3, 5, \cdots$ transitions borrow intensities through vibronic and/or spin-orbit coupling [37, 56, 57]. As shown in Figure 3, the “direct vibronic coupling” (DVC) mechanism mixes $B^2\Sigma(010)$ into the $A^2\Pi_{1/2}(000)$ wave function through LVC. The “spin-orbit-vibronic coupling” (SOVC) mechanism couples $B^2\Sigma(000)$ with $A^2\Pi(000)$ through SOC and then mixes $A^2\Pi_{3/2}(010)$ into the $A^2\Pi_{1/2}(000)$ wave function through LVC. A perturbative analysis gives the following ratio between the SOVC and DVC contributions to the intensity:

$$\frac{I_{SOVC}}{I_{DVC}} = 2 \frac{|h_{AX}^{SO}|^2 + |h_{BX}^{SO}|^2}{|\omega(010) + 2|a_{AA}^{SO}|^2 |a_{BX}^{SO}|^2|}$$

in which $h_{AX}^{SO}$ and $h_{BX}^{SO}$ are the A-X and B-X electronic transition dipole moments. The percentages of the DVC contributions obtained using the $B^2\Sigma(010)$ and $A^2\Pi(010)$ components in the computed $A^2\Pi_{1/2}(000)$ wave function amount to 98%, 89%, and 70% for CaOH, SrOH, and YbOH, respectively, which are consistent with the values of 99%, 92%, and 76% obtained from the perturbative analysis using Eq. [6]. The DVC channel dominates this intensity borrowing process even in molecules containing heavy atoms, since the effects of large $h_{AX}^{SO}$ are offset by large $h_{AX}^{SO}$ in the denominator.

It is desirable to minimize the coupling between $X^2\Sigma(100)$ and $X^2\Sigma(020)$ in designing laser-coolable linear triatomic molecules. As shown in Table II, the intensities of the transitions to $X^2\Sigma(020)$ in SrOH are significantly lower than in CaOH. This is due to the larger energy separation between the $X^2\Sigma(100)$ and $X^2\Sigma(020)$ levels in SrOH (534 cm$^{-1}$ and 702 cm$^{-1}$) compared to CaOH (611 cm$^{-1}$ and 694 cm$^{-1}$), and hence weaker coupling. Similarly, there is non-negligible vibrational branching to $X^2\Sigma(120)$ and $X^2\Sigma(220)$ of CaOH; in contrast, the branching ratio to $X^2\Sigma(120)$ in SrOH is lower than $10^{-6}$.

Conclusion.—We have presented a computational and experimental scheme to determine vibronic branching ratios pertinent to scattering around 100,000 photons in linear polyatomic molecules. The computational methodology is generally applicable to the study of optical cycles for linear triatomic molecules, such as metal hydrox-
ides and isocyanides proposed for laser cooling. We have found good agreement with new experimental measurements that used optical cycling to achieve relative intensity sensitivities at the $10^{-5}$ level. The agreement supports the accuracy of both theoretical and experimental techniques.

Further work for the future includes study of the accuracy for the parametrization of the quasidiabatic Hamiltonian, including the higher-order correlation effects on PESs and the effects of quadratic vibronic coupling, aiming to obtain quantitative accuracy for weak transitions. It is also of interest to study transitions involving dark electronic states [26, 28, 95, 96] in linear triatomic molecules such as BaOH. The generalization to calculations of linear tetraatomic molecules, e.g., metal monoacetylides, should also provide very interesting results. The calculations of nonlinear molecules is the next frontier, and we assess that we can follow the same generic scheme, although the perturbations relevant to the calculations will be molecule specific. The computational framework presented here thus forms a basis for further work for the future includes study of the accuracy of both theoretical and experimental sensitivities at the $10^{-5}$ level. The agreement supports the accuracy of both theoretical and experimental techniques.

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