A Little Pretraining Goes a Long Way: A Case Study on Dependency Parsing Task for Low-resource Morphologically Rich Languages

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Abstract

Neural dependency parsing has achieved remarkable performance for many domains and languages. The bottleneck of massive labeled data limits the effectiveness of these approaches for low resource languages. In this work, we focus on dependency parsing for morphological rich languages (MRLs) in a low-resource setting. Although morphological information is essential for the dependency parsing task, the morphological disambiguation and lack of powerful analyzers pose challenges to get this information for MRLs. To address these challenges, we propose simple auxiliary tasks for pretraining. We perform experiments on 10 MRLs in low-resource settings to measure the efficacy of our proposed pretraining method and observe an average absolute gain of 2 points (UAS) and 3.6 points (LAS).

1 Introduction

Dependency parsing has greatly benefited from neural network-based approaches. While these approaches simplify the parsing architecture and eliminate the need for hand-crafted feature engineering (Chen and Manning, 2014; Dyer et al., 2015; Kiperwasser and Goldberg, 2016; Dozat and Manning, 2017; Kulmizev et al., 2019), their performance has been less exciting for several morphologically rich languages (MRLs) and low-resource languages (More et al., 2019; Seeker and Çetinoğlu, 2015). In fact, the need for large labeled treebanks for such systems has adversely affected the development of parsing solutions for low-resource languages (Vania et al., 2019). Zeman et al. (2018) observe that data-driven parsing on 9 low resource treebanks resulted not only in low scores but those outputs “are hardly useful for downstream applications”.

Several approaches have been suggested for improving the parsing performance of low-resource languages. This includes data augmentation strategies, cross-lingual transfer (Vania et al., 2019) and using unlabelled data with semi-supervised learning (Clark et al., 2018) and self-training (Rotman and Reichart, 2019). Further, incorporating morphological knowledge substantially improves the parsing performance for MRLs, including low-resource languages (Vania et al., 2018; Dehouck and Denis, 2018). This aligns well with the linguistic intuition of the role of morphological markers, especially that of case markers, in deciding the syntactic roles for the words involved (Wunderlich and Lakämper, 2001; Sigursson, 2003; Kittilä et al., 2011). However, obtaining the morphological tags for input sentences during run time is a challenge in itself for MRLs (More et al., 2019) and use of predicted tags from taggers, if available, often hampers the performance of these parsers. In this work, we primarily focus on one such morphologically rich low-resource language, Sanskrit.

We propose a simple pretraining approach, where we incorporate encoders from simple auxiliary tasks by means of a gating mechanism (Sato et al., 2017). This approach outperforms multi-task training and transfer learning methods under the same low-resource data conditions (∼500 sentences). The proposed approach when applied to Dozat et al. (2017), a neural parser, not only obviates the need for providing morphological tags as input at runtime, but also outperforms its original configuration that uses gold morphological tags as input. Further, our method performs close to DCST (Rotman and Reichart, 2019), a self-training based extension of Dozat et al. (2017), which uses gold morphological tags as input for training.

To measure the efficacy of the proposed method, we further perform a series of experiments on 10 MRLs in low-resource settings and show 2 points
Our proposed pretraining approach essentially attempts to combine word representations from encoders trained on multiple sequence level supervised tasks, as auxiliary tasks, with that of the default encoder of the neural dependency parser. While our approach is generic and can be used with any neural parser, we use BiAFFINE parser (Dozat and Manning, 2017), henceforth referred to as BiAFF, in our experiments. This is a graph-based neural parser that makes use of biaffine attention and a biaffine classifier.\footnote{More details can be found in supplemental (§ A.1).}

Figure 1a illustrates the proposed approach using an example sequence from Sanskrit. Our pipeline-based approach consists of two steps: (1) Pretraining step (2) Integration step. Figure 1a describes the pretraining step with three auxiliary tasks to pretrain the corresponding encoders $E^{(1)} \ldots (3)$. Finally, in the integration step, these pretrained encoders along with the encoder for the BiAFF model $E^{(P)}$ are then combined using a gating mechanism (1b) as employed in Sato et al. (2017).\footnote{Our proposed approach is inspired from Rotman and Reichart (2019).}

All the auxiliary tasks are trained independently as separate models, but using the same architecture and hyperparameter settings which differ only in terms of the output label they use. The models for the pretraining components are trained using BiLSTM encoders, similar to the encoders in Dozat and Manning (2017) and then decoded using two fully connected layers, followed by a softmax layer (Huang et al., 2015). These sequential tasks involve prediction of the morphological tag (MT), dependency label (relation) that each word holds with its head (LT) and further we also consider task where the case information of each nominal forms the output label (CT). Other grammatical categories did not show significant improvements over the case (§ 3.2). This aligns well with the linguistic paradigm that the case information plays an important role in deciding the syntactic role that a nominal can be assigned in the sentence. For words with no case-information, we predict their coarse POS tags. Here, the morphological information is automatically leveraged using the pre-trained encoders, and thus during runtime the morphological tags need not be provided as inputs. It also helps in reducing the gap between UAS and LAS (§ 3.1).

and 3.6 points average absolute gain (§ 3.1) in terms of UAS and LAS, respectively. Our proposed method also outperforms multilingual BERT (Devlin et al., 2019, mBERT) based multi-task learning model (Kondratyuk and Straka, 2019, Udify) for the languages which are not covered in mBERT (§ 3.4).

![Figure 1](image-url)
3 Experiments

Data and Metric: We use 500, 1,000 and 1,000 sentences from the Sanskrit Treebank Corpus (Kulkarni et al., 2010, STBC) as the training, dev and test data respectively for all the models. For the proposed auxiliary tasks, all the sequence taggers are trained with additional previously unused 1,000 sentences from STBC along with the training sentences used for the dependency parsing task. For the Label Tag (LT) prediction auxiliary task, we do not use gold dependency information; rather we use predicted tags from BiAFF parser. For the remaining auxiliary tasks, we use gold standard morphological information.

For all the models, input representation consists of FastText (Grave et al., 2018) embedding of 300-dimension and convolutional neural network (CNN) based 100-dimensional character embedding (Zhang et al., 2015). For character level CNN architecture, we use following setting: 100 number of filters with kernel size equal to 3. We use standard Unlabelled and Labelled Attachment Scores (UAS, LAS) to measure the parsing performance and use t-test for statistical significance (Dror et al., 2018).

For STBC treebank, the original data does not have morphological tag entry, so the Sanskrit Heritage reader (Huet and Goyal, 2013; Goyal and Huet, 2016) is used to obtain all the possible morphological analysis and only those sentences are chosen which do not have any word showing homonymy or syncretism (Krishna et al., 2020). For other MRLs, we restrict to the same training setup as Sanskrit and use 500 annotated sentences as labeled data for training. Additionally, we use 1000 sentences with morphological information as unlabelled data for pretraining sequence taggers.5 We use all the sentences present in original development and test split data for development and test data. For languages where multiple treebanks are available, we chose only one available treebank to avoid domain shift. Note that STBC adopts a tagging scheme based on the grammatical tradition of Sanskrit, specifically based on Kāraka (Kulkarni and Sharma, 2019; Kulkarni et al., 2010), while the other MRLs including Sanskrit-Vedic use UD.

Hyper-parameters: We utilize the BiAFFINE parser (BiAFF) implemented by Ma et al. (2018). We employ the following hyper-parameter setting for pretraining sequence taggers and base parser BiAFF: the batch size of 16, number of epochs as 100, and a dropout rate of 0.33 with a learning rate equal to 0.002. The hidden representation generated from n-Stacked-LSTM layers of size 1,024 is passed through two fully connected layers of size 128 and 64. Note that LCM and MTL models use 2-Stacked LSTMs. We keep all the remaining parameters the same as that of Ma et al. (2018).

For all TranSeq variants, one BiLSTM layer is added on top of three augmented pretrained layers from an off-the-shelf morphological tagger (Gupta et al., 2020) to learn task-specific features. In TranSeq-FEA, the dimension of the non-linearity layer of the adaptor module is 256, and in TranSeq-UF, after every 20 epochs, one layer is unfrozen from top to down fashion. In TranSeq-DL, the learning rate is decreased from top to down by a factor of 1.2. We have used default parameters to train Hierarchical Tagger and baseline models.

Models: All our experiments are performed as augmentations on two off the shelf neural parsers, BiAFF (Dozat and Manning, 2017) and Deep Contextualized Self-training (DCST), which integrates self-training with BiAFF (Rotman and Reichart, 2019).7 Hence their default configurations become the baseline models (Base). We also use a system that simultaneously trains the BiAFF (and DCST) model for dependency parsing along with the sequence level case prediction task in a multi task setting (MTL). For MTL model, we also experiment with morphological tagging, as an auxiliary task. However, we do not find significant improvement in performance compared to case tagging. Hence, we consider case tagging as an auxiliary task to avoid sparsity issue due to the monolithic tag scheme for morphological tagging. As a transfer learning variant (TranSeq), we extract first three layers from a hierarchical multi-task morphological tagger (Gupta et al., 2020), trained on 50k examples from DCS (Hellwig, 2010). Here each layer corresponds to different grammatical categories, namely, number, gender and case. Note that number of randomly initialised encoder layers in BiAFF (and DCST) are now reduced from 3 to

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4https://fasttext.cc/docs/en/crawl-vectors.html
5The predicted relations on unlabelled data by the model trained with 500 samples are used for Label Tagging task.
6https://github.com/ashim95/sanskrit-morphological-taggers
7We describe the baseline models in supplemental (§ A).
1. We fine-tune these layers with default learning rate and experiment with four different fine-tuning schedules.\(^8\) Finally, our proposed configuration (in §2) is referred to as the LCM model.\(^9\) We also train a version each of the base models which expects morphological tags as input and is trained with gold morphological tags. During runtime, we report two different settings, one which uses predicted tags as input (Predicted MI) and other that uses gold tag as input (Oracle MI). We obtain the morphological tags from a Neural CRF tagger (Yang and Zhang, 2018) trained on our training data. Oracle MI will act as an upper-bound on the reported results.

3.1 Results

Table 6 presents results for dependency parsing on Sanskrit. We observe that BiAFF + LCM outperforms all corresponding BiAFF models including Oracle MI. This is indeed a serendipitous outcome as one would expect Oracle MI to be an upper bound owing to its use of gold morphological tags at runtime. The DCST variant of our pretraining approach is also the best among its peers, although the performance of Oracle MI model in this case is indeed the upper bound.

| Training Size | BiAFF UAS/LAS | DCST UAS/LAS | BiAFF+LCM UAS/LAS |
|---------------|---------------|--------------|-------------------|
| 100           | 58.0/42.3     | 64.0/44.0    | **70.4/59.9**     |
| 500           | 70.7/56.9     | 73.2/58.6    | **75.9/64.9**     |
| 750           | 74.0/61.8     | 75.2/62.3    | **77.3/66.8**     |
| 1000          | 74.4/62.9     | 76.0/64.1    | **77.9/67.3**     |
| 1250          | 75.6/64.7     | 76.7/65.2    | **78.5/68.3**     |

Table 2: Performance as a function of training set size.

On the other hand, using predicted morphological tags instead of gold tags at run time degrades results drastically, especially for LAS, possibly due to the cascading effect of incorrect morphological information (Nguyen and Verspoor, 2018). This shows that morphological information is essential in filling the UAS-LAS gap and substantiates the need for pretraining to incorporate such knowledge even when it is not available at run time. Interestingly, both MTL, and TranSeq, show improvements as compared to the base models, though do not match with that of our pretraining approach. In our experiments, the pretraining approach, even with a little training data, clearly outperforms the other approaches.

Ablation: We perform further analysis on Sanskrit to study the effect of training set size as well as the impact of various tagging schemes as auxiliary tasks. First, we evaluate the impact on performance as a function of the training size (Table 2). Noticeably, for training size 100, we observe a 12 (UAS) and 17 (LAS) points increase for BiAFF+LCM over BiAFF, demonstrating the effectiveness of our approach in a very low-resource setting. This improvement is consistent for larger training sizes, though the gain reduces.

### Table 1: Results on Sanskrit dependency parsing. Oracle MI is an upper bound and is not comparable.

| Model       | BiAFF UAS | BiAFF LAS | DCST UAS | DCST LAS | BiAFF+LCM UAS | BiAFF+LCM LAS |
|-------------|-----------|-----------|----------|----------|---------------|---------------|
| Base        | 70.67     | 56.85     | 73.23    | 58.64    | 70.4/59.9     | 75.9/64.9     |
| Predicted MI| 69.02     | 53.11     | 71.15    | 51.75    |               |               |
| MTL         | 70.85     | 57.93     | 73.04    | 59.12    |               |               |
| TranSeq     | 71.46     | 60.58     | 74.58    | 62.70    |               |               |
| LCM         | **75.91** | **64.87** | **75.75**| **64.28**|               |               |
| Oracle MI   | **74.08** | **62.48** | **76.66**| **66.35**|               |               |

Table 1: Results on Sanskrit dependency parsing. Oracle MI is an upper bound and is not comparable.

In Figure 2, we compare our tagging schemes with those used in self-training of DCST, namely, Relative Distance from root (RD), Number of Children for each word (NC), Language Modeling (LM) objective where task is to predict next word in sentence, and Relative POS (RP) of modifier from root word. Here, we integrate each pretrained model (corresponding to each tagging scheme) individually on top of the BiAFF baseline using the gating mechanism and report the absolute gain over the BiAFF in terms of UAS and LAS metric. Inter-

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\(^8\)Refer supplemental (§ B) for variations of TranSeq.  
\(^9\)LCM denotes Label, Case and Morph tagging schemes.
Table 3: Evaluation on 10 MRLs. Results of BiAFF+LCM and DCST+LCM are statistically significant compared to strong baseline DCST as per t-test ($p < 0.01$). Last two columns denote the average performance. Models using Oracle MI are not comparable.

3.3 Experiments on other MRLs

We choose 10 additional MRLs from Universal Dependencies (UD) dataset (McDonald et al., 2013; Nivre et al., 2016), namely, Arabic (ar), Czech (cs), German (de), Basque (eu), Greek (el), Finnish (fi), Hungarian (hu), Polish (pl), Russian (ru) and Swedish (sv). Then we train them in low-resource setting (500 examples) to investigate the applicability of our approach for these MRLs. For all MRLs, the trend is similar to what is observed for Sanskrit. While all four models improve over both the baselines, BiAFF+LCM and DCST+LCM consistently turn out to be the best configurations. Note that these models are not directly comparable to Oracle MI models since Oracle MI models use gold morphological tags instead of the predicted ones. The performance of BiAFF+LCM and DCST+LCM is also comparable. Across all 11 MRLs, BiAFF+LCM shows the average absolute gain of 2 points (UAS) and 3.6 points (LAS) compared to the strong baseline DCST.

3.2 Additional auxiliary tasks

With our proposed pretraining approach, we experiment with using the prediction of different grammatical categories as auxiliary tasks, namely, Number Tagging (NT), Person Tagging (PT), and Gender Tagging (GT). As the results in table ?? demonstrate, the improvements observed in these cases are much smaller than those for our proposed auxiliary tasks. Similar results are observed when considering other auxiliary tasks (see table ??). We find that combining these auxiliary tasks with our proposed ones did not provide any notable improvements. One possible reason for under performance of these tagging schemes compared to the proposed ones could be that either when the training set is small, sequence taggers are not able to learn discriminative features only from surface form of words (F-score is less than 40 in all such cases in table ??) or the learned features are not helpful for the dependency parsing task.
Table 4: Comparison of different auxiliary tasks. F-score: Task performance, Gain: Absolute gain (when integrated with BiAFF) in terms of UAS/LAS score compared to BiAFF scores.

Table 5: The proposed method outperforms Udify for the languages (down) not covered in mBERT and underperforms for the languages (top) which are covered in mBERT.

| Auxiliary Task       | F-score | Gain     |
|----------------------|---------|----------|
| Relative Distance (RD) | 58.71   | 1.9/1.3  |
| No of children (NC)  | 52.82   | 2.2/1.3  |
| Relative POS (RP)    | 46.52   | 2.9/2.3  |
| Lang Model (LM)      | 41.54   | 2.6/1.4  |
| Coarse POS (CP)      | 13.02   | 1.6/0.8  |
| Head Word (HW)       | 40.12   | 1.5/0.4  |
| POS Head Word (PHW)  | 38.98   | 2.0/1.2  |
| Number Tagging (NT)  | 13.33   | 1.9/0.9  |
| Person Tagging (PT)  | 12.27   | 1.6/0.7  |
| Gender Tagging (GT)  | 0.28    | 1.3/0.2  |
| Morph Tagging (MT)   | 62.84   | 3.5/5.1  |
| Case Tagging (CT)    | 73.51   | 4.0/5.6  |
| Label Tagging (LT)   | 71.51   | 4.2/6.0  |

3.4 Comparison with mBERT Pretraining

We compare the proposed method with multilingual BERT (Devlin et al., 2019, mBERT) based multi-task learning model (Kondratyuk and Straka, 2019, Udify). This single model trained on 124 UD treebanks covers 75 different languages and produces state of the art results for many of them. Multilingual BERT leverages large scale pretraining on wikipedia for 104 languages.

| Lang          | BiAFF | BiAFF+LCM | Udify  |
|---------------|-------|-----------|--------|
| Basque        | 63.2/54.5 | 72.4/65.5 | 76.6/69.0 |
| German        | 67.7/60.0 | 75.8/68.8 | 83.7/77.5 |
| Hungarian     | 70.0/62.8 | 76.2/69.5 | 84.4/76.7 |
| Greek         | 69.6/75.0 | 86.6/83.2 | 90.6/87.0 |
| Polish        | 78.3/70.8 | 84.1/77.7 | 90.7/85.0 |
| Sanskrit      | 70.7/56.8 | 75.9/64.9 | 69.4/53.2 |
| Sanskrit-Vedic| 56.0/42.3 | 61.6/48.0 | 47.4/28.3 |
| Wolof         | 75.3/67.8 | 78.4/71.3 | 70.9/60.6 |
| Gothic        | 61.7/53.3 | 69.6/61.4 | 63.4/52.2 |
| Coptic        | 84.3/80.2 | 86.2/82.7 | 32.7/14.3 |

4 Conclusion

In this work, we focused on dependency parsing for low-resource MRLs, where getting morphological information itself is a challenge. To address low-resource nature and lack of morphological information, we proposed a simple pretraining method based on sequence labeling that does not require complex architectures or massive labelled or unlabelled data. We show that little supervised pretraining goes a long way compared to transfer learning, multi-task learning, and mBERT pretraining approaches (for the languages not covered in mBERT). One primary benefit of our approach is that it does not rely on morphological information at run time; instead this information is leveraged using the pretrained encoders. Our experiments across 10 MRLs showed that proposed pretraining provides a significant boost with an average 2 points (UAS) and 3.6 points (LAS) absolute gain compared to DCST.

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Supplemental Material

A Baselines

A.1 BiAFFINE Parser (BiAFF)

BiAFF (Dozat and Manning, 2017) is a graph-based dependency parsing approach similar to Kiperwasser and Goldberg (2016). It uses biaffine attention instead of using a traditional MLP-based attention mechanism. For input vector \( \mathbf{h} \), the affine classifier is expressed as \( W^{\prime} + b \), while the biaffine classifier is expressed as \( W^{\prime}(W^{\prime} + b) + b^{\prime} \). The choice of biaffine classifier facilitates the key benefit of representing the prior probability of word \( j \) to be head and the likelihood of word \( i \) getting word \( j \) as the head. In this system, during training, each modifier in the predicted tree has the highest-scoring word as the head. This predicted tree need not be valid. However, at test time, to generate a valid tree MST algorithm (Edmonds, 1967) is used on the arc scores.

A.2 Deep Contextualized Self-training (DCST)

Rotman and Reichart (2019) proposed a self-training method called Deep Contextualized Self-training (DCST). In this system, the base parser BiAFF (Dozat and Manning, 2017) is trained on the labelled dataset. Then this trained base parser is applied to the unlabelled data to generate automatically labelled dependency trees. In the next step, these automatically-generated trees are transformed into one or more sequence tagging schemes. Finally, the ensemble parser is trained on manually labelled data by integrating base parser with learned representation models. The gating mechanism proposed by Sato et al. (2017) is used to integrate different tagging schemes into the ensemble parser. This approach is in line with the representation models based on language modeling related tasks (Peters et al., 2018; Devlin et al., 2019). In summary, DCST demonstrates a novel approach to transfer information learned on labelled data to unlabelled data using sequence tagging schemes such that it can be integrated into final ensemble parser via word embedding layers.

B Experiments on TranSeq Variants

In TranSeq variations, instead of pretraining with three auxiliary tasks, we use a hierarchical multi-task morphological tagger (Gupta et al., 2020) trained on 50k training data from DCS (Hellwig, 2010). In TranSeq setting, we extract the first three layers from this tagger and augment them in baseline models and experiment with five model sub-variants. To avoid catastrophic forget-

| Model          | BiAFF UAS | BiAFF LAS | DCST UAS | DCST LAS |
|----------------|-----------|-----------|----------|----------|
| Base           | 70.67     | 56.85     | 73.23    | 58.64    |
| Base*          | 69.35     | 52.79     | 72.31    | 54.82    |
| TranSeq-FE     | 66.54     | 55.46     | 71.65    | 60.10    |
| TranSeq-FEA    | 69.50     | 58.48     | 73.48    | 61.52    |
| TranSeq-UF     | 70.60     | 59.74     | 73.55    | 62.39    |
| TranSeq-DL     | 71.40     | 60.58     | 74.52    | 62.73    |
| TranSeq-FT     | 71.46     | 60.58     | 74.58    | 62.70    |
| Oracle MI      | 74.08     | 62.48     | 76.66    | 66.35    |

Table 6: Ablation analysis for TranSeq variations. Oracle MI is not comparable. It can be considered as upper bound for TranSeq.

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https://github.com/rotmanguy/DCST
ting (McCloskey and Cohen, 1989; French, 1999), we gradually increase the capacity of adaptations for each variant. TranSeq-FE: Freeze the pre-trained layers and use them as Feature Extractors (FE). TranSeq-FEA: In the feature extractor setting, we additionally integrate adaptor modules (Houlsby et al., 2019; Stickland and Murray, 2019) in between two consecutive pre-trained layers. TranSeq-UF: Gradually Unfreeze (UF) these three pre-trained layers in the top to down fashion (Felbo et al., 2017; Howard and Ruder, 2018). TranSeq-DL: In this setting, we use discriminative learning (DL) rate (Howard and Ruder, 2018) for pre-trained layers, i.e., decreasing the learning rate as we move from top-to-bottom layers. TranSeq-FT: We fine-tune (FT), pre-trained layers with default learning rate used by Dozat and Manning (2017).

In the TranSeq setting, as we move down across its sub-variants in Table 6, performance improves gradually, and TranSeq-FT configuration shows the best performance with 1-2 points improvement over Base. The Base+ has one additional LSTM layer compared to Base such that the number of parameters are same as that of TranSeq-FT variation. The performance of Base+ decreases compared to Base but TranSeq-FT outperforms Base. This shows that transfer learning definitely helps to boost the performance.