Arithmetic Properties of the First Secant Variety to a Projective Variety

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Abstract. Under an explicit positivity condition, we show the first secant variety of a linearly normal smooth variety is projectively normal, give results on the regularity of the ideal of the secant variety, and give conditions on the variety that are equivalent to the secant variety being arithmetically Cohen-Macaulay. Under this same condition, we then show that if $X$ satisfies $N_{p+2\dim(X)}$, then the secant variety satisfies $N_{3,p}$.

1. Introduction

We work throughout over an algebraically closed field of characteristic zero. Secant varieties are a classical subject, though the majority of work done involves determining the dimensions of secant varieties to well-known varieties. Perhaps the two most well-known results in this direction are the solution by Alexander and Hirschowitz (completed in [1]) of the Waring problem for homogeneous polynomials and the classification of the Severi varieties by Zak [36].

More recently there has been great interest, e.g. related to algebraic statistics and algebraic complexity, in determining the equations defining secant varieties (e.g. [2], [4], [9], [10], [11], [12], [13], [14], [17], [21], [26], [29], [30], [31], [32], [33], [34], [35], [36], [37], [39], [45], [47]). In this work, we use the detailed geometric information concerning secant varieties developed by Bertram [5], Thaddeus [48], and the author [49] to study not just the equations defining secant varieties, but the syzygies among those equations as well. This program was carried out for smooth curves in [53].

Under an explicit positivity condition, we show that the first secant variety $\Sigma$ to a smooth projective variety $X^d \subset \mathbb{P}^n$ is projectively normal (Theorem 3.1) and that $\mathcal{I}_\Sigma$ is $(2d+3)$-regular (Corollary 4.3), directly extending results of [51] for smooth curves. We also obtain simple conditions on the intrinsic geometry of $X$ which are equivalent to the condition that $\Sigma$ is arithmetically Cohen-Macaulay (Theorem 4.9), extending results of [46] for...
curves. We then show (Theorem 5.6) that if \( X^d \) satisfies \( N_{p+2d} \), then \( \Sigma \) satisfies \( N_{3,p} \) (see Corollaries 2.8 and 5.7 for a list of specific examples).

**Notation and Terminology 1.1.** Recall that an embedding \( X^d \subset P^n \) is \( r \)-very ample if every subscheme of length \( r + 1 \) spans a \( P^r \subset P^n \), and that \( X \) satisfies \( N_{k,p} \) if the ideal of \( X \) is generated in degree \( k \) and the syzygies among the generators are linear for \( p - 1 \) steps [20]. It is immediate that if an embedding is 3-very ample then \( \dim(\Sigma) = 2d + 1. \)

Under the hypotheses that \( X \subset P^n \) is a smooth variety such that the embedding is 3-very ample and satisfies \( N_{2,2} \), the reader should keep in mind the following morphisms [49]

\[
\begin{array}{c}
\xymatrix{ & Z \cong \text{Bl}_\Delta(X \times X) \ar[rd]^-{i} \ar[dd]_-{\pi_1=\pi|_Z} & \\
 & \Sigma & \\
X \ar[ru]^-{\pi_2} & \ar[rr]^-{\phi} & & \text{Hilb}^2X}.
\end{array}
\]

where

- \( \pi \) is the blow up of \( \Sigma \) along \( X \)
- \( i \) is the inclusion of the exceptional divisor of the blow-up
- \( d \) is the double cover, \( \pi_i \) are the projections
- \( \phi \) is the morphism induced by the linear system \( |2H - E| \) which gives \( \Sigma \) the structure of a \( P^1 \)-bundle over \( \text{Hilb}^2X \); note in particular that \( \Sigma \) is smooth.

Note that we make extensive use of the rank 2 vector bundle \( \mathcal{E}_L = \varphi_* \mathcal{O}(H) = d_* (L \boxtimes \mathcal{O}) \), and note that for \( i \geq 1 \), \( R^i \pi_* \mathcal{O}_\Sigma = H^i(X, \mathcal{O}_X) \otimes \mathcal{O}_X \) (this is shown in [51, Proposition 9] for curves, but the same proof works in the general case).

The positivity condition we will invoke is:

**Notation 1.2.** For \( p \geq 0 \), we say \( X \subset P^n \) satisfies \( N_p^\Sigma \) if

1. the embedding of \( X \) is 3-very ample and satisfies \( N_{2,p} \); and
2. \( H^i(\Sigma, \mathcal{O}_\Sigma(bH - E)) = 0 \) for \( i, b \geq 1 \).

We devote the next section to the study of \( N_p^\Sigma \).

**Remark 1.3.** Note that in Notation and Terminology 1.1 the morphism \( \varphi \) induced by \( |2H - E| \) embeds \( \text{Hilb}^2X \subset \mathbb{P}^s = \mathbb{P}(\mathcal{I}_X(2)) \). Writing \( \mathcal{O}_{\text{Hilb}^2X}(1) = \varphi^* \mathcal{O}_{\mathbb{P}^s}(1) \), it will be shown in the proof of Proposition 2.3.
that if \( H^i(\text{Hilb}^2 X, \mathcal{O}(r)) = H^i(\text{Hilb}^2 X, \mathcal{E}_L(r)) \) = 0 for \( i, r \geq 1 \), then the vanishing condition in Notation 1.2 is satisfied. Thus the vanishing condition is a reasonable positivity condition. \( \square \)

2. Condition \( N^\Sigma_p \)

For curves, verification of \( N^\Sigma_p \) is straightforward.

**Proposition 2.1.** Let \( X \subset \mathbb{P}^n \) be a smooth curve satisfying \( N_p, p \geq 2 \), with \( L = \mathcal{O}_X(1) \) non-special. Then \( L \) satisfies \( N^\Sigma_p \).

**Proof:** We need to show \( H^i(\tilde{\Sigma}, \mathcal{O}_\Sigma(bH - E)) = 0 \) for \( i, b \geq 1 \).

Because \( X \) is projectively normal we have \( H^i(\tilde{\mathbb{P}}^n, \mathcal{O}_{\tilde{\mathbb{P}}_n}(bH - E)) = 0 \) for \( i, b \geq 1 \). Thus \( H^i(\tilde{\Sigma}, \mathcal{O}_\Sigma(bH - E)) = H^{i+1}(\tilde{\mathbb{P}}^n, \mathcal{O}_{\tilde{\mathbb{P}}_n}(bH - E) \otimes I_{\tilde{\Sigma}}) \). By [46, 2.4(6)], we know that \( H^{i+1}(\tilde{\mathbb{P}}^n, \mathcal{O}_{\tilde{\mathbb{P}}_n}(bH - E) \otimes I_{\tilde{\Sigma}}) = H^{i+1}(\mathbb{P}^n, I_{\Sigma}(b)) \) (see also Lemma 2.2 where this is shown to be true in all dimensions).

Now, for \( i \geq 1 \), the arguments in [51] and in [46] go through under the stated hypotheses to give \( H^{i+1}(\mathbb{P}^n, I_{\Sigma}(b)) = 0 \) for \( b \geq 1 \). The extra hypothesis used in those papers (namely, that \( \deg(L) \geq 2g + 3 \)) is needed only to show \( H^1(\mathbb{P}^n, I_{\Sigma}(b)) = 0 \) for \( b \geq 1 \).

Verifying condition \( N^\Sigma_p \) in the general case takes somewhat more work, but the end results are reasonable. We first need a computation which will be used in both Proposition 2.3 and in Theorem 5.6.

**Lemma 2.2.** Let \( X \) be a smooth variety embedded by a 3-very ample line bundle \( L \) satisfying \( N_{2,2} \). Then \( d^* \wedge^2 \mathcal{E}_L = L \boxtimes L(-E_\Delta) \).

**Proof:** Consider the sequence on \( \tilde{\Sigma} \):

\[
0 \to \mathcal{O}_{\tilde{\Sigma}}(-E) \to \mathcal{O}_{\tilde{\Sigma}} \to \mathcal{O}_Z \to 0
\]

As \( R^0 \varphi_* \mathcal{O}_{\tilde{\Sigma}}(-E) = 0 \), pushing down to \( \text{Hilb}^2 X \) we have ([46, 3.10])

\[
0 \to \mathcal{O}_{\text{Hilb}^2 X} \to \mathcal{O}_{\text{Hilb}^2 X} \oplus M \to R^1 \varphi_* \mathcal{O}_{\tilde{\Sigma}}(-E) \to 0
\]

where \( d^* M = \mathcal{O}_Z(-E_\Delta) \).

Thus \( R^1 \varphi_* \mathcal{O}_{\tilde{\Sigma}}(-E) = M \). However, we know by [16, 5.1.2] that

\[
(R^1 \varphi_* \mathcal{O}_{\tilde{\Sigma}}(-E))^* = R^0 \varphi_* \left( \omega_{\tilde{\Sigma}/\text{Hilb}^2 X} \otimes \mathcal{O}_{\tilde{\Sigma}}(E) \right)
\]

where \( \omega_{\tilde{\Sigma}/\text{Hilb}^2 X} = \varphi^* \wedge^2 \mathcal{E}_L(-2H) \) [24, Ex.III.8.4b]. Thus we have

\[
M^* = \wedge^2 \mathcal{E}_L \otimes \mathcal{O}_{\text{Hilb}^2 X}(-1)
\]

and so \( \varphi^* \wedge^2 \mathcal{E}_L = \mathcal{O}_{\tilde{\Sigma}}(2H - E) \otimes \varphi^* M^* \). Restricting (pulling back) this equality to \( Z \) and noting ([50, 3.6]) that \( \mathcal{O}_Z(2H - E) = L \boxtimes L(-2E_\Delta) \), we have \( d^* \wedge^2 \mathcal{E}_L = L \boxtimes L(-E_\Delta) \). \( \square \)
We now interpret the vanishing condition in the definition of $N_{P}^{\Sigma}$ in terms of $X$.

**Proposition 2.3.** Let $X \subset \mathbb{P}^{n}$ be a smooth variety embedded by a 3-very ample line bundle $L$ satisfying $N_{2,2}$ such that $H^{i}(X \times X, L^{r+s} \boxtimes L^{r} \otimes T^{2}_{X}) = 0$ for $i, r \geq 1$, $s \geq 0$, $0 \leq q \leq 2r$. Then $H^{i}(\Sigma, O_{\Sigma}(bH - E)) = 0$ for $i, b \geq 1$.

**Proof:** Suppose $b = 2r$ is even. We know by the proof of [50, 3.6] that $O_{Z}(bH - E) = L^{b-1} \boxtimes L \otimes O(-2\Delta);$ thus

$$H^{i}(Z, O_{Z}(bH - rE)) = H^{i}(X \times X, L^{r} \boxtimes L^{r} \otimes T^{2}_{X}) = 0$$

Because $O_{\Sigma}(bH - rE) = \varphi^{*}O_{\text{Hilb}^{2}X}(r)$, we know $d_{*}O_{Z}(bH - rE) = O_{\text{Hilb}^{2}X}(r) \otimes (O \oplus M)$ for some line bundle $M$, and hence we know that $H^{i}(\text{Hilb}^{2}X, O_{\text{Hilb}^{2}X}(r)) = 0$, but this says that $H^{i}(\Sigma, O_{\Sigma}(bH - rE)) = 0$. From the sequences

$$0 \to O_{\Sigma}(bH - (k + 1)E) \to O_{\Sigma}(bH - kE) \to O_{Z}(bH - kE) \to 0$$

for $k + 1 \leq r$ we see that $H^{i}(\Sigma, O_{\Sigma}(bH - E)) = 0$, as the cohomology of the rightmost terms vanishes by hypothesis since $H^{i}(Z, O_{Z}(bH - kE)) = H^{i}(X \times X, L^{b-k} \boxtimes L^{k} \otimes T^{2}_{X}) = 0$.

Now, suppose that $b = 2r + 1$ is odd. As in the previous paragraph, we have $O_{\Sigma}(bH - rE) = \varphi^{*}O_{\text{Hilb}^{2}X}(r)$, thus we see that $H^{i}(\Sigma, O_{\Sigma}(bH - rE)) = O_{\text{Hilb}^{2}X}(r) \otimes \varphi_{*}O_{\Sigma}(H) = O_{\text{Hilb}^{2}X}(r) \otimes \mathcal{E}$. It is therefore enough to show that $H^{i}(\text{Hilb}^{2}X, O_{\text{Hilb}^{2}X}(r) \otimes \mathcal{E}) = 0$, and then repeating the same argument as above gives $H^{i}(\Sigma, O_{\Sigma}(bH - E)) = 0$.

We have the sequence on $Z$

$$0 \to K \to d^{*}\mathcal{E}_{L} \to L \boxtimes O \to 0$$

where $K = d^{*} \wedge^{2} \mathcal{E}_{L} \otimes (L^{*} \boxtimes O) = O \boxtimes L(-E_{\Delta})$ by Lemma 2.2. As in the proof of Lemma 2.2 we have $d_{*}d^{*}\mathcal{E}_{L} = \mathcal{E}_{L} \oplus (\mathcal{E}_{L} \otimes M)$, thus

$$d_{*}(O_{Z}(2rH - rE) \otimes d^{*}(\mathcal{E}_{L} \otimes M^{*})) = \mathcal{E}_{L} \otimes M^{*}(r) \oplus \mathcal{E}_{L}(r)$$

Thus it suffices to show $H^{i}(Z, O_{Z}(2rH - rE) \otimes d^{*}(\mathcal{E}_{L} \otimes M^{*})) = 0$. However, we have

$$K \otimes O_{Z}(2rH - rE) \otimes d^{*}M^{*} = L^{r} \boxtimes L^{r+1}(-2rE_{\Delta})$$

and

$$L \boxtimes O \otimes O_{Z}(2rH - rE) \otimes d^{*}M^{*} = L^{r+1} \boxtimes L^{r}((-2r + 1)E_{\Delta})$$

and so the cohomology of each vanishes by hypothesis.

Fortunately, the vanishing in Proposition 2.3 is not too difficult to understand.
**Proposition 2.4.** Let $X$ be a smooth variety of dimension $d$, $M$ a very ample line bundle. Choose $k$ so that $k \geq d + 3$ and so that $M^{k-d-1} \otimes \omega_X$ is big and nef. Letting $L = M^k$, we have

$$H^i(X \times X, L^{r+s} \boxtimes L^r \otimes T^q_\Delta) = 0$$

for $i, r \geq 1$, $s \geq 0$, $0 \leq q \leq 2r$.

**Proof:** Note as above that $H^i(X \times X, L^{r+s} \boxtimes L^r \otimes T^2_\Delta) = H^i(Z, L^{r+s} \boxtimes L^r \otimes \mathcal{O}(-2rE_\Delta))$, where $E_\Delta \to \Delta$ is the exceptional divisor of the blow-up. Note further that $K_Z = K_X \boxtimes K_X \otimes ((\dim X - 1)E_\Delta)$.

Assume first that $r \geq 2$. Then

$$L^{r+s} \boxtimes L^r \otimes \mathcal{O}(-2rE_\Delta) = K_Z \boxtimes (L^{r+s} - K_X) \boxtimes (L^r - K_X) \otimes \mathcal{O}((-d+1-q)E_\Delta)$$

but this is big and nef. Letting $L = M^k - K_X$ for $i, r \geq 1$, $s \geq 0$, $0 \leq q \leq 2r$.

Because $M^k - K_X$ is ample, $(L - K_X) \boxtimes (L - K_X)$ is ample. We are thus left to show that

$$M^{k(r+s-1)} \boxtimes M^{k(r-1)} \otimes \mathcal{O} ((-d + 1 - q)E_\Delta)$$

is globally generated. However, as $k \geq d + 3$, we have $k(r-1) \geq d + 1 + 2r$ and so $M^{k(r+s-1)} \boxtimes M^{k(r-1)} \otimes \mathcal{O} ((-d + 1 - 2r)E_\Delta)$ is globally generated by [6, 3.1]. Thus $B$ is big and nef and so vanishing follows from Kawamata-Viehweg vanishing [27, 51].

Now let $r = 1$. Then

$$L^{1+s} \boxtimes L \otimes \mathcal{O}(-2E_\Delta) = K_Z \boxtimes (M^{k+s} - K_X) \otimes \mathcal{O}((-d+1-q)E_\Delta)$$

but this is big and nef. Letting $L = M^k - K_X$ for $i, r \geq 1$, $s \geq 0$, $0 \leq q \leq 2r$.

As above, $B$ is big and nef. \qed

**Remark 2.5.** There are numerous ways to rearrange the terms in Proposition 2.4 to produce the desired vanishing.

For example, a similar argument shows that if $M$ is very ample, $\omega_X \otimes M$ is big and nef, and $B$ is nef, then letting $L = \omega_X \otimes M^k \otimes B$ gives the vanishing for $k \geq d + 2$ (Cf. [18, Theorem 1]). If, further, $B$ is also big, then letting $L = \omega_X \otimes M^k \otimes B$ gives the vanishing for $k \geq d + 1$.

**Remark 2.6.** In Proposition 2.4 if $\omega_X^s$ is big and nef (e.g. $X$ is Fano) then a slight revision of the argument shows it is enough to take $L = M^k$ for $k \geq d + 1$.
Remark 2.7. Note that the vanishing condition in Proposition 2.3 is intimately related to the surjectivity of the higher-order Gauss-Wahl maps as defined in [56]. Note in particular part (7) of Corollary 2.8.

Corollary 2.8. The following embedded varieties satisfy $N^\Sigma_p$, $p \geq 2$:

1. $X$ is a non-special smooth curve satisfying $N_p$ (Proposition 2.7).
2. $X$ is a smooth variety embedded by a sufficiently high power of an ample line bundle.
3. $X^d \neq \mathbb{P}^d$ is a smooth variety embedded by $L = K_X \otimes M^{d+k}$, $k \geq p$, where $M$ is very ample and $K_X \otimes M$ is ample.
4. $X^d \neq \mathbb{P}^d$ is a smooth Fano variety embedded by $L = (-K_X)^r$ where $r \geq d + p - 1$.
5. $X^d$ is an abelian variety embedded by $L^k$, where $L$ is ample and $k \geq 2d + 4$.
6. $X^d$ is a smooth projective toric variety embedded by $L^k$, where $L$ is ample, $L^{k-d-1} \otimes \omega_X^*$ is ample, and $k \geq \max\{d + 3, d + p - 1\}$.
7. $X = G/P$ where $G = \text{SL}(V)$, $P$ is a parabolic subgroup, and $L = M^r$ where $M$ is a very ample line bundle such that the embedding by $L$ is 3-very ample and $r \geq p$.
8. $X = v_{d_1, \ldots, d_r}(\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_r}) \subset \mathbb{P}^N$ where $d_i \geq p \geq 3$.

Proof: For part (2), we note that a sufficiently high power of an ample line bundle satisfies $N_p$ by [22, 25]. Further, the vanishing in Proposition 2.3 is easily seen to hold for sufficiently high powers as well.

For part (3), it is shown in [18, 3.1] that $L = K_X \otimes M^{d+k}$ satisfies $N_k$, $k \geq 0$. The result now follows from Remark 2.5.

Part (4) follows as in part (3) together with Remark 2.6.

For part (5), it is shown in [3] that $L^k$ is $(k - 2)$-very ample and it is shown in [42, 43] that $L^k$ satisfies $N_{k-3}$. It is shown in [41, Theorem C] that $H^i(X \times X, (L^k)^{r+s} \otimes (L^r \otimes \mathcal{I}_X^2)) = 0$ for $i, r \geq 1$, $s \geq 0$ and $k \geq 6$.

For (6), it is shown in [21] that $X$ satisfies $N_p$. The result now follows by Proposition 2.3.

For (7), it is shown in [38] that if $X = G/P$ where $G = \text{SL}(V)$, $P$ is a parabolic subgroup, and $L$ is a very ample line bundle, then the embedding by $L^p$ satisfies $N_p$. By [28, 2.5] and [55, 6.5] we know that $H^i(X \times X, L^{r+s} \otimes L^r \otimes \mathcal{I}_X^2) = 0$ for $i, r \geq 1$, $s \geq 0$ as long as $L = M^k$, $k \geq 2$.

For (8), it is shown in [21] that $X$ satisfies $N_p$, and again by [28, 2.5] and [55, 6.5] we are done.

□
3. Projective Normality

**Theorem 3.1.** If $X_d \subset \mathbb{P}^n$ is smooth, projectively normal, and satisfies $N^{\Sigma}_{2d}$, then $\Sigma$ is projectively normal.

**Proof:** By [52, 2.2] $\Sigma$ is normal and by [51, Remark 13] $\Sigma \subset \mathbb{P}^n$ is linearly normal.

We use the fact that $H^1(\mathbb{P}^n, \mathcal{I}_\Sigma(k)) = 0$ and the standard diagram

$$
\begin{array}{cccccccc}
0 & \rightarrow & \mathcal{O}_{\mathbb{P}^n}(k+1) & \rightarrow & \Gamma(\mathbb{P}^n, \mathcal{O}(1)) \otimes \mathcal{O}_{\mathbb{P}^n}(k) & \rightarrow & \mathcal{O}_{\mathbb{P}^n}(k+1) & \rightarrow & 0 \\
\mathcal{I}_\Sigma(k+1) & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & 0
\end{array}
$$

By induction on $k \geq 1$, we see that if $H^1(\Sigma, \mathcal{O}_{\mathbb{P}^n}(k+1)) = 0$ then $H^1(\mathbb{P}^n, \mathcal{I}_\Sigma(k+1)) = 0$. We will show below (Theorem 5.6) that $H^1(\Sigma, \mathcal{O}_{\mathbb{P}^n}(k+1)) = 0$ for $k \geq 2$ as a consequence of a more general approach studying the syzygies of $\mathcal{I}_\Sigma$. It will thus be sufficient to show that $H^1(\mathbb{P}^n, \mathcal{I}_\Sigma(2)) = 0$.

Consider the morphism $d: Z \rightarrow \text{Hilb}^2X$; we write $d_*(L \boxtimes \mathcal{O}) = \mathcal{E}$. Pushing the sequence

$$
0 \rightarrow d^* M_\mathcal{E} \otimes (L \boxtimes \mathcal{O}) \rightarrow M_{\mathcal{E} \boxtimes \mathcal{O}} \otimes (L \boxtimes \mathcal{O}) \rightarrow L \boxtimes L(\mathcal{E}) \rightarrow 0
$$
down to $\text{Hilb}^2X$ yields

$$
0 \rightarrow M_\mathcal{E} \otimes \mathcal{E} \rightarrow (\varphi_* \pi^* \mathcal{O}_{\mathbb{P}^n}(2)) \otimes \mathcal{O}_{\text{Hilb}^2X}(1) \rightarrow \wedge^2 \mathcal{E} \otimes \mathcal{O}_{\text{Hilb}^2X}(1) \rightarrow 0.
$$

From the sequence on $\tilde{\Sigma}$

$$
0 \rightarrow \pi^* \mathcal{O}_{\mathbb{P}^n}(2H-E) \rightarrow \Gamma(\Sigma, \mathcal{O}(1)) \otimes \mathcal{O}_{\tilde{\Sigma}}(H-E) \rightarrow \mathcal{O}_{\tilde{\Sigma}}(2H-E) \rightarrow 0
$$
and because the restriction of $\mathcal{O}_{\tilde{\Sigma}}(H-E)$ to a fiber of the $\mathbb{P}^1$-bundle $\varphi: \tilde{\Sigma} \rightarrow \text{Hilb}^2X$ is $\mathcal{O}(1)$, we immediately see that $\varphi_* \left[ \pi^* \mathcal{O}_{\mathbb{P}^n}(2H-E) \right] = 0$ and $R^1\varphi_* \left[ \pi^* \mathcal{O}_{\mathbb{P}^n}(2H-E) \right] = \mathcal{O}_{\text{Hilb}^2X}(1)$. 


Putting these together, consider the sequence on $\tilde{\Sigma}$
\[0 \to \pi^*\Omega_\Sigma^1 \otimes O_{\Sigma}(2H - E) \to \pi^*\Omega_\Sigma^1 \otimes O_{\Sigma}(2H) \to \pi^*\Omega_\Sigma^1 \otimes O_Z(2H) \to 0\]

Applying $\varphi_*$ yields
\[0 \to 0 \to \varphi_*\pi^*\Omega_\Sigma^1 \otimes O_{\Sigma}(2H) \to (\varphi_*\pi^*\Omega_\Sigma^1 \otimes O_{\Sigma}(2)) \oplus O_{\text{Hilb}^2}\(1\) \to 0\]

and so $H^i(Z, \pi^*\Omega_{\Sigma}^1 \otimes O_Z(2H))$ splits as a direct sum; in particular,
\[H^1(\tilde{\Sigma}, \pi^*\Omega_{\Sigma}^1 \otimes O_{\Sigma}(2H)) \to H^1(Z, \pi^*\Omega_{\Sigma}^1 \otimes O_Z(2H))\]
is an injection. However, by the K"unneth formula $H^1(Z, \pi^*\Omega_{\Sigma}^1 \otimes O_Z(2H)) = H^0(X, \Omega_{\Sigma}^1 \otimes O_X(2)) \otimes H^1(X, O_X)$, but this is precisely $H^0(\Sigma, R^1\pi_*\pi^*\Omega_{\Sigma}^1 \otimes O_{\Sigma}(2H))$, hence $H^1(\Sigma, \pi_*\pi^*\Omega_{\Sigma}^1 \otimes O_{\Sigma}(2H)) = H^1(\Sigma, \pi_*\Omega_{\Sigma}^1 \otimes O_{\Sigma}(2)) = 0$.

**Corollary 3.2.** In all the examples of Remark 2.8 $\Sigma$ is projectively normal for $p \geq 2d$.

4. Regularity and Cohen-Macaulayness

**Lemma 4.1.** Suppose $X \subset \mathbb{P}^n$ is a 3-very ample embedding of a smooth projective variety satisfying $N_{2,2}$. Then $H^i(\mathbb{P}^n, I_\Sigma(k)) = H^i(B_2, O(kH - E_1 - E_2))$.

**Proof:** Consider the sequence
\[0 \to O_{B_2}(kH - E_1 - E_2) \to O_{B_2}(kH - E_2) \to O_{E_1}(kH - E_2) \to 0\]

We know that $R^i\pi_*O_{E_1}(kH - E_2) = 0$ for $i = 0, 1$, and that $R^i\pi_*O_{E_1}(kH - E_2) = H^{i-1}(X, O_X) \otimes O_X(k)$ otherwise. From the sequence
\[0 \to O_{B_2}(kH - E_2) \to O_{B_2}(kH) \to O_{\Sigma}(kH) \to 0\]
we see that $R^i\pi_*O_{B_2}(kH - E_2) = R^{i-1}\pi_*O_{\Sigma}(kH) = H^{i-1}(X, O_X) \otimes O_X(k)$ for $i \geq 2$. Thus a local computation gives $R^i\pi_*O_{B_2}(kH - E_1 - E_2) = 0$ for $i \geq 1$, and so $H^i(B_2, O_{B_2}(kH - E_1 - E_2)) = H^i(\mathbb{P}^n, R^0\pi_*O_{B_2}(kH - E_1 - E_2)) = H^i(\mathbb{P}^n, I_\Sigma(k))$.

**Proposition 4.2.** Suppose $X \subset \mathbb{P}^n$ is projectively normal and satisfies $N_{2,2}^\Sigma$, and that $H^i(X, O_X(r)) = 0$ for $i, r \geq 1$. Then $H^i(\mathbb{P}^n, I_\Sigma(k)) = 0$ for $i, k \geq 1$.

**Proof:** We use the condition found in Lemma 4.1. We already have this for $i = 1$. For $k = 1$, $i > 1$, consider the sequence
\[0 \to O_{B_2}(H - E_1 - E_2) \to O_{B_2}(H - E_2) \to O_{\Sigma}(H - E_1) \to 0\]
Proof: This is obvious for \( i = 0 \). For \( i > 0 \), we show \( H^{i-1}(\Sigma, \mathcal{O}_\Sigma(kH)) = 0 \).

By Kawamata-Viehweg, we know \( H^{i-1}(\Sigma, \mathcal{O}_\Sigma(kH)) = 0 \) for \( 1 \leq i \leq 2d + 1 \).

For \( 0 \leq j \leq \min\{i - 2, d - 1\} \), we know that \( H^j(\Sigma, R^{i-j-1}_*\mathcal{O}_\Sigma(kH)) = H^{i-j-1}(X, \mathcal{O}_X) \otimes H^j(X, \mathcal{O}_X(k)) = 0 \) since \( k < 0 \). Thus for \( j = i - 1 \leq d - 1 \), we have

\[
H^{i-1}(\Sigma, \mathcal{O}_\Sigma(kH)) = H^{i-1}(\Sigma, R^0_\pi*\mathcal{O}_\Sigma(kH)) = H^{i-1}(\Sigma, \mathcal{O}_\Sigma(kH)) = 0
\]

and hence \( H^i(\mathbb{P}^n, \mathcal{I}_\Sigma(k)) = 0 \) for \( k < 0 \) and \( 0 \leq i \leq d + 1 \).

To show \( H^{d+1}(\Sigma, \mathcal{O}_\Sigma(k)) = 0 \) for \( k < 0 \), note that

\[
H^j(\Sigma, R^{d+1-j}_*\pi_*\mathcal{O}_\Sigma(kH)) = H^{d+1-j}(X, \mathcal{O}_X) \otimes H^j(X, \mathcal{O}_X(k)) = 0
\]

for \( j < d \). Thus \( E_2^{0,d+1} = E_0^{0,d+1} = 0 \). Looking at the \( E_2^{d+1,0} \) terms, we have the complexes

\[
E_i^{d+1-i,i-1} \to E_i^{d+1,0} \to 0
\]

but we just proved that \( E_i^{d+1-i,i-1} = E_2^{d+1-i,i-1} = 0 \), and hence \( E_2^{d+1,0} = E_\infty^{d+1,0} \). Now by [46, 6.1(1)], we have \( E_2^{d+1,0} = 0 \). □
Corollary 4.5. Let $X \subset \mathbb{P}^n$ be a smooth, non-special curve satisfying $N_2$. Then $\Sigma$ is ACM and $\mathcal{I}_\Sigma$ is 5-regular.

Remark 4.6. Corollary 4.5 was proved for embeddings of degree at least $2g + 3$ in [46] and [51].

Proposition 4.7. Suppose $X^d \subset \mathbb{P}^n$ is a smooth variety satisfying $N_2^\Sigma$. If $d \geq 2$ and $H^i(X, \mathcal{O}_X) \neq 0$ for some $i \geq 1$, then $\Sigma$ is not ACM.

Proof: Suppose $H^i(X, \mathcal{O}_X) \neq 0$ and consider the spectral sequence with $E_2^{i,j} = H^i(X, R^j \pi_* \mathcal{O}_\Sigma(kH))$ for $k < 0$. It is straightforward to check that $E_{i+2}^{d,i} = E_{i+2}^{d,i+1,0}$ and that $E_{i+2}^{d+i+1,0} = E_{i+2}^{d+i+1,0}$; from the fact that $H^j(\Sigma, \mathcal{O}_\Sigma(k)) = 0$ for $j \leq 2d$, we know that $E_{i+2}^{d,i+1,0} = 0$ for $i \leq d - 1$. Therefore, from the complex

$$0 \to E_{i+1}^{d,i+1} \to E_{i+1}^{d+i+1,0} \to 0$$

we see that the nontrivial map is actually an isomorphism, hence we have

$$H^{d+i+2}(\mathbb{P}^n, \mathcal{I}_\Sigma(k)) = H^{d+i+1}(\Sigma, \mathcal{O}_\Sigma(k))$$

$$= H^{d+i+1}(\Sigma, R^0 \pi_* \mathcal{O}_\Sigma(kH))$$

$$= E_2^{d+i+1,0}$$

$$= E_{i+1}^{d+i+1,0}$$

$$= E_{i+1}^{d,i}$$

$$= E_2^{d,i}$$

$$= H^d(\Sigma, R^i \pi_* \mathcal{O}_\Sigma(kH))$$

$$= H^d(X, H^i(X, \mathcal{O}_X) \otimes \mathcal{O}_X(k))$$

$$= H^i(X, \mathcal{O}_X) \otimes H^d(X, \mathcal{O}_X(k))$$

However, as $k < 0$ we know that $H^d(X, \mathcal{O}_X(k)) \neq 0$ for all $k << 0$, thus $\Sigma$ is not ACM.

Corollary 4.8. Suppose $X^d \subset \mathbb{P}^n$ is a smooth variety satisfying $N_2^\Sigma$. If $H^j(X, \mathcal{O}_X) = 0$ for $j > 0$, then $H^i(\mathbb{P}^n, \mathcal{I}_\Sigma(k)) = 0$ for $k < 0$, $0 \leq i \leq 2d + 1$.

Theorem 4.9. Suppose $X^d \subset \mathbb{P}^n$ is a smooth variety of dimension $d \geq 2$. Suppose $X \subset \mathbb{P}^n$ is projectively normal and satisfies $N_2^\Sigma$, and that $H^i(X, \mathcal{O}_X(r)) = 0$ for $i, r \geq 1$. Then the following are equivalent:

1. $H^j(X, \mathcal{O}_X) = 0$ for $j > 0$.
2. $\Sigma$ is ACM.
3. $\Sigma$ has rational singularities.
Further, if one of these conditions is satisfied, then $I_\Sigma$ is $(2d + 1)$-regular.

**Proof:** Clearly, $H^i(\mathbb{P}^n, I_\Sigma) = 0$ for $0 \leq i \leq 1$. Thus we are left to show $H^i(\Sigma, \mathcal{O}_\Sigma) = 0$ for $1 \leq i \leq 2d$. By [46, 3.10] we have $H^i(Z, \mathcal{O}_Z) \cong H^i(\Sigma, \mathcal{O}_\Sigma) \oplus H^{i+1}(\Sigma, \mathcal{O}_\Sigma(-E_1))$. By hypothesis we have $H^i(Z, \mathcal{O}_Z) = 0$ for $i \geq 0$, hence $H^i(\Sigma, \mathcal{O}_\Sigma) = 0$ for $i \geq 0$. However, our hypothesis also implies that $R^i \pi_* \mathcal{O}_{\Sigma} = 0$ for $i \geq 1$, hence $H^i(\Sigma, \mathcal{O}_\Sigma) = 0$. □

**Remark 4.10.** Macaulay 2 [37] calculations performed by Jessica Sidman show that for $v_3(\mathbb{P}^2)$ and for $v_4(\mathbb{P}^2)$, $\Sigma$ is 5-regular but not 4-regular.

5. **Syzygies**

Having established the basic normality and regularity results, following [22] we turn our attention to defining equations and syzygies.

**Proposition 5.1.** Let $X \subset \mathbb{P}^n$ be a smooth variety embedded by a line bundle $L$. Then $\Sigma$ satisfies $N_{3,p}$ if $H^1(\Sigma, \wedge^a M_L(b)) = 0$, $2 \leq a \leq p + 1$, $b \geq 2$.

**Proof:** Because $L$ also induces an embedding $\Sigma \subset \mathbb{P}^n$, we abuse notation and denote the associated vector bundle on $\Sigma$ by $M_L$. Letting $F = \oplus \Gamma(\Sigma_1, \mathcal{O}_{\Sigma_1}(n))$ and applying [19, 5.8] to $\mathcal{O}_{\Sigma}$ gives the exact sequence:

$$0 \to \operatorname{Tor}_{a-1}(F, k)_{a+b} \to H^1(\Sigma_1, \wedge^a M_L(b)) \to H^1(\Sigma, \wedge^a M_L(b)) \otimes R^1 \pi_* \mathcal{O}_{\Sigma}$$

The vanishing in the hypothesis implies that $\operatorname{Tor}_1(F, k)_d = 0$ for $d \geq k + 1$, and hence that the first syzygies of $\mathcal{O}_{\Sigma}$, which are the generators of the ideal of $\Sigma$, are in degree $\leq k$. The rest of the vanishings yield the analogous statements for higher syzygies.

The remaining technical portion of the paper is devoted to reinterpreting the vanishings in Proposition 5.1 in terms of vanishings on the Hilbert scheme $\text{Hilb}^2 X$, and then finally on $X$ itself.

**Proposition 5.2.** If $X$ is a smooth variety embedded by a 3-very ample line bundle $L$ satisfying $N_{3,p}$, then $\Sigma$ satisfies $N_{3,p}$ if

$$H^1(\Sigma, \pi^* \wedge^a M_L(b)) \to H^0(\Sigma, \wedge^a M_L(b) \otimes R^1 \pi_* \mathcal{O}_{\Sigma})$$

is injective for $2 \leq a \leq p + 1$, $b \geq 2$.

**Proof:** This follows immediately from the start of the 5-term sequence associated to the Leray-Serre spectral sequence:

$$0 \to H^1(\Sigma, \wedge^a M_L(b)) \to H^1(\Sigma, \pi^* \wedge^a M_L(b)) \to H^0(\Sigma, \wedge^a M_L(b) \otimes R^1 \pi_* \mathcal{O}_{\Sigma})$$

and Proposition 5.1. □
Proposition 5.3. Let $X \subset \mathbb{P}^n$ be a smooth variety embedded by a line bundle $L$ satisfying $N^\Sigma_p \cap H^i(X, L^k) = 0$ for $i, k \geq 1$. Then $\Sigma$ satisfies $N_{3,p}$ if $H^i(\tilde{\Sigma}, \pi^* \wedge^{a-1+i} M_L \otimes O(2H - E)) = 0$ for $2 \leq a \leq p + 1$, $i \geq 1$.

Proof: We use Proposition 5.2. From the sequence on $\tilde{\Sigma}$

$$0 \to \pi^* \wedge^a M_L(bH - E) \to \pi^* \wedge^a M_L(bH) \to \pi^* \wedge^a M_L(bH) \otimes O_Z \to 0$$

we know

$$H^1(Z, \pi^* \wedge^a M_L(bH) \otimes O_Z) = H^1 \left( Z, \left( \wedge^a M_L \otimes L^b \right) \otimes O_X \right) = H^1 \left( X \times_X, \left( \wedge^a M_L \otimes L^b \right) \otimes O_X \right) = H^1(X, O_X) \otimes H^0(X, \wedge^a M_L \otimes L^b).$$

The first equality follows as the restriction of $\pi^* \wedge^a M_L(bH)$ to $Z$ is $\wedge^a M_L(bH) \otimes O_X$, the second is standard, and for the third we use the Künneth formula together with the fact that $h^1(X, \wedge^a M_L \otimes O_Z) = 0$ as $X$ satisfies $N_{2,p}$.

Thus

$$h^1(\Sigma, \wedge^a M_L(b)) = \text{Rank} \left( H^1(\tilde{\Sigma}, \pi^* \wedge^a M_L(bH - E)) \to H^1(\tilde{\Sigma}, \pi^* \wedge^a M_L(bH)) \right)$$

and so by Proposition 5.2 it is enough to show that $H^1(\tilde{\Sigma}, \pi^* \wedge^a M_L(bH - E)) = 0$ for $2 \leq a \leq p + 1$, $b \geq 2$.

From the sequence

$$0 \to \pi^* \wedge^{a+1} M_L \otimes O(bH - E) \to \wedge^{a+1} \Gamma \otimes O(bH - E) \to \pi^* \wedge^a M_L \otimes O((b+1)H - E) \to 0$$

and the fact that $H^i(\tilde{\Sigma}, O(bH - E)) = 0$, we see that $H^i(\tilde{\Sigma}, \pi^* \wedge^a M_L \otimes O(bH - E)) = H^{b-2}(\tilde{\Sigma}, \pi^* \wedge^{a+b-2} M_L \otimes O(2H - E))$ for $b \geq 2$.

\square

Lemma 5.4. Let $X$ be a smooth variety embedded by a 3-very ample line bundle $L$ satisfying $N_{2,2}$ and consider the morphism $\varphi: \Sigma \to \text{Hilb}^2 X \subset \mathbb{P}^s$ induced by the linear system $|2H - E|$. Then $\varphi_* \wedge^a M_L = \wedge^a M_{\varphi_*}$, and hence $H^i(\tilde{\Sigma}, \pi^* \wedge^a M_L \otimes O(2H - E)) = H^i(\text{Hilb}^2 X, \wedge^a M_{\varphi_*} \otimes O_{\text{Hilb}^2 X}(1))$.

Proof: Consider the diagram on $\tilde{\Sigma}$:
The vertical map in the middle is surjective as we have $\Gamma(\text{Hilb}^2 X, E_L) = \Gamma(\tilde{\Sigma}, \mathcal{O}(H)) = \Gamma(X \times X, L \boxtimes \mathcal{O}) = \Gamma(X, L)$. Therefore, surjectivity of the lower right horizontal map and commutativity of the diagram show that the righthand vertical map is surjective.

Note that $R^i \varphi_* \varphi^* E_L = E_L \otimes R^i \varphi_* \mathcal{O}_{\tilde{\Sigma}}$ by the projection formula and that the higher direct image sheaves $R^i \varphi_* \mathcal{O}_{\tilde{\Sigma}}$ vanish as $\tilde{\Sigma}$ is a $\mathbb{P}^1$-bundle over $\text{Hilb}^2 X$. For the higher direct images, we have $R^i \varphi_* \pi^* L = 0$ as the restriction of $L$ to a fiber of $\varphi$ is $\mathcal{O}(1)$ and hence the cohomology along the fibers vanishes. From the rightmost column, we see $R^i \varphi_* K = 0$. From the leftmost column, we have the sequence

$$0 \to \varphi^* \wedge^a M_{E_L} \to \pi^* \wedge^a M_L \to \varphi^* \wedge^{a-1} M_{E_L} \otimes K \to 0$$

but as $R^i \varphi_*(K \otimes \varphi^* \wedge^{a-1} M_{E_L}) = R^i \varphi_* K \otimes \wedge^{a-1} M_{E_L} = 0$, we have $\varphi_* \wedge^a M_L = \wedge^a M_{E_L}$. 

Combining Proposition [5.3] with Lemma [5.4] yields:

**Corollary 5.5.** Let $X$ be a smooth variety embedded by a line bundle $L$ satisfying $N^\Sigma_{p+2d}$ with $H^i(X, L^k) = 0$ for $i, k \geq 1$. Then $\Sigma$ satisfies $N_{3,p}$ if

$$H^i(\text{Hilb}^2 X, \wedge^{a-1+i} M_{E_L} \otimes \mathcal{O}(1)) = 0$$

for $2 \leq a \leq p+1$, $i \geq 1$. 

**Theorem 5.6.** Let $X^d$ be a smooth variety embedded by a line bundle $L$ satisfying $N^{\Sigma}_{p+2d}$ with $H^i(X, L^k) = 0$ for $i, k \geq 1$. Then $\Sigma$ satisfies $N_{3,p}$.
PROOF: Pushing the sequence
\[ 0 \to d^* \wedge^a M_E \otimes (L \boxtimes O) \to \wedge^a M_{L \boxtimes O} \otimes (L \boxtimes O) \to d^* \wedge^{a-1} M_E \otimes (L \boxtimes L(-E_\Delta)) \to 0 \]
down to \( \text{Hilb}^2 X \) yields
\[ 0 \to \wedge^2 M_E \otimes E \to (\varphi_* \pi^* \wedge^a M_L \otimes L) \oplus (\wedge^{a-1} M_E(1)) \to \left( \wedge^{a-1} M_E \wedge \wedge^2 E \right) \oplus (\wedge^{a-1} M_E(1)) \to 0 \]
where the non-trivial part of the sequence comes from twisting the diagram in Lemma 5.4 by \( \pi^* L \) and pushing down to \( \text{Hilb}^2 X \).

From the sequence on \( \Sigma \)
\[ 0 \to \pi^* \wedge^a M_L \otimes O_{\Sigma}(H - E) \to \wedge^a \Gamma(\Sigma, O(1)) \otimes O_{\Sigma}(H - E) \to \pi^* \wedge^a M_L \otimes O_{\Sigma}(2H - E) \to 0 \]
and noting that the restriction of \( O_{\Sigma}(H - E) \) to a fiber of the \( \mathbb{P}^1 \)-bundle
\[ \varphi : \Sigma \to \text{Hilb}^2 X \text{ is } O(-1), \]
we immediately see that:
\[
\begin{align*}
&\varphi_* \left[ \pi^* \wedge^a M_L \otimes O_{\Sigma}(H - E) \right] = 0 \\
&R^i \varphi_* \left[ \pi^* \wedge^a M_L \otimes O_{\Sigma}(H - E) \right] = \wedge^{a-1} M_E(1) \\
&R^i \varphi_* \left[ \pi^* \wedge^a M_L \otimes O_{\Sigma}(H - E) \right] = 0
\end{align*}
\]
for \( i \geq 2 \).

Putting these together, consider the sequence on \( \Sigma \)
\[ 0 \to \pi^* \wedge^a M_L \otimes O_{\Sigma}(H - E) \to \pi^* \wedge^a M_L \otimes O_{\Sigma}(H) \to \wedge^a M_{L \boxtimes O} \otimes (L \boxtimes O) \to 0 \]
Applying \( \varphi_* \) yields
\[
\begin{align*}
0 & \to 0 \to \varphi_* \pi^* \wedge^a M_L \otimes O_{\Sigma}(H) \to (\pi^* \wedge^a M_L \otimes O_{\Sigma}(H)) \oplus (\wedge^{a-1} M_E(1)) \\
& \to \wedge^{a-1} M_E(1) \to 0
\end{align*}
\]
By the assumption that \( X \) satisfies \( N_{p+2d} \), we know that \( H^i(Z, \wedge^a M_{L \boxtimes O} \otimes (L \boxtimes O)) = H^0(X, \wedge^a M_L \otimes L) \otimes H^i(X, O_X) \) for \( 0 \leq a \leq p + 2d + 1 \). However, for \( i \geq 1 \) this is precisely \( H^0(\Sigma, R^i \varphi_* \pi^* \wedge^a M_L \otimes O_{\Sigma}(H)) = E^{0,i}_2 \). It is straightforward to check that \( E^{0,i}_0 = E^{0,i}_1 \), and thus we have an injection of \( H^i \) into \( E^{0,i}_0 \); however, \( E^{0,i}_0 \) is a quotient of \( H^i \), hence this is an isomorphism.

Thus we have \( H^i(\Sigma, \pi^* \wedge^a M_L \otimes O_{\Sigma}(H)) \cong H^i(Z, \wedge^a M_{L \boxtimes O} \otimes (L \boxtimes O)) \) for \( i \geq 1 \) and \( 0 \leq a \leq p + 2d + 1 \). In particular, we have \( H^i(\text{Hilb}^2 X, \wedge^{a-1} M_E(1)) = 0 \) for \( i \geq 1 \) and \( 0 \leq a \leq p + 2d + 1 \). Together with Corollary 5.5 this completes the proof.

As above, we have:

**Corollary 5.7.** In all the examples of Remark 2.8, \( \Sigma \) satisfies \( N_{3,p-2d} \).

**Example 5.8.** Let \( X_k^d = v_k(\mathbb{P}^d) \subset \mathbb{P}^{2d}, k \geq 3 \). We know by 5.7 that \( X_k^2 \) satisfies \( N_{3k-3} \), and hence by Corollary 2.8 we have \( \Sigma \) satisfies \( N_{3,3k-7} \).

It has been shown 8 that \( X_k^d \) satisfies \( N_{k+1} \) for all \( d \), hence \( \Sigma \) at least satisfies \( N_{3,k-2d} \). It is conjectured in 10 that for \( d \geq 2, k \geq 3 \) we have \( X_k^d \) satisfies \( N_{3k-3} \), which would imply that \( \Sigma \) satisfies \( N_{3,3k-3} \).
Macaulay 2 calculations performed by Jessica Sidman show that for $v_3(\mathbb{P}^2)$, $\Sigma$ satisfies $N_{3,4}$ and for $v_4(\mathbb{P}^2)$, $\Sigma$ satisfies $N_{3,7}$. Together with the known behavior for rational normal curves and the conjecture of [40] mentioned above, this suggests the following:

**Conjecture 5.9.** For $d \geq 2$, $k \geq 3$, the secant variety to $v_k(\mathbb{P}^d)$ satisfies $N_{3,3k-5}$.

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