Surgical treatment in malignant pleural effusion

Malign plevral efüzyonda cerrahi tedavi

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ÖZ
Malign plevral efüzyon, plevral sıvı veya dokuda kanser hücrelerinin saptanması ile birlikte plevral boşluğa önemli miktarda eksüdat birikmesi olarak tanımlanır. İlerlemiş hastalığın bir göstergesidir ve sağkalım süresi 3 ila 12 ay arasında değişir. Malign plevral efüzyon için tedavi seçenekleri asemptomatik hastalarda gözlemden ağır hastalarda pleurektomi ve hatta ekstraplevral pnömonektomiye kadar değişkenlik gösterir.

Anahat sözçükler: Malignite, plevral efüzyon, pleural metastasis, pleurektomi, tunneled pleural catheter.
is 10 to 12 \( \mu m \), and the distance to the bronchial arteries that provide blood to the visceral pleura is 20 to 50 \( \mu m \). In addition, since the filtration pressure of the intercostal arteries is higher than the filtration pressure of the bronchial arteries and the parietal pleura is thinner than the visceral pleura, most of the fluid is thought to originate from the parietal pleura. Therefore, the most effective surgical target for controlling an MPE appears to be the parietal pleura.[1-5]

In MPE, pleural fluid can be serous, serohemorrhagic or hemorrhagic. While gross bloody effusions are suggestive of direct pleural involvement, serous effusions develop due to the increased lymphatic permeability and are typically exudative. The protein concentrations range from 1.5 to 8 g/dL, but can be up to 5% transudate. These transudative effusions may be due to early stages of lymphatic obstruction, bronchial obstruction-related atelectasis, or concomitant diseases such as congestive heart failure.[1-3]

### Etiology

Malignant pleural effusion can be listed among the most common causes of cancers of the lung, and the pleura may be involved by direct extension or by vascular embolization. Among solid tumors, cancer of the breast is among the second most common cause of MPE (accounting for approximately 25% occurrence rate; Table 1).[2] A number of factors related to poor prognoses of MPE have been identified such as pleural fluid with low pH and low glucose levels, hypoalbuminemia, hypoxia, and leukocytosis.[6] Clive et al.[7] evaluated MPE in 789 patients and classified the variables of Eastern Cooperative Oncology Group (ECOG) performance score, pleural fluid lactate dehydrogenase, blood neutrophil-to-lymphocyte ratio, and tumor type among the criteria of the prognosis. They also identified and derived a risk classification system called the “LENT” score (named after these four factors), where a score of 0-1 indicates a reduced risk, 2-4 indicates a mild risk, and 5-7 indicates an increased risk.[7]

#### Therapeutic Strategies for MPE

General, MPEs are not required to be treated, as long as they remain asymptomatic; however, almost all malignant effusions become symptomatic. Treatment options for MPE range from observation in asymptomatic patients to pleurectomies or even extrapleural pneumonectomies (EPP) in severe cases (Table 2). There are various treatment options and decisions pertaining to the type of the treatment method which needs to focus on the size of the effusion, the rapidity of its reaccumulation, the symptoms that arise, whether there is the presence of a trapped lung, the expected survival (depending on the primary malignancy type and performance status and comorbidities of the patient), and the preference of the patient. It is of due significance to reduce the length of the patient’s hospital stay by using recurrent thoracentesis or inserting a tunneled pleural catheter in patients with a poor prognosis.[1,2]

The main treatment goals for MPEs are to achieve fluid control, improve patient’s symptoms, offer a good quality of life, be well tolerated by the patient, low cost, minimally invasive, and lead to notable reductions in the length of stay in the hospital. However, for MPE patients who are not eligible for surgery, the goal of treatment should be lung re-expansion. If the re-expansion of the lungs cannot

### Table 1. Etiology of MPE

- Lung cancers (40-50%)
- Breast cancer (25%)
- Lymphomas (10%)
- Ovarian cancer (5%)
- Stomach cancer (5%)
- Metastases of other cancers
- Malignant mesothelioma
- Rare primary tumors of the pleura
  - Synovial sarcoma
  - Osteosarcoma
  - Low grade fibromyxoid sarcoma
  - Liposarcoma
  - Angiosarcoma
  - Epithelioid hemangioendothelioma
  - Myxoid chondrosarcoma
  - Malignant fibrous histiocytoma
  - Ewing sarcoma
  - Primary malignant melanoma

MPE: Malignant pleural effusion.

### Table 2. Treatment methods for MPE

- Thoracentesis
- Tube thoracostomy
- Pleural catheter with tunnel
- Pleuroperitoneal shunt
- Drainage and pleurodesis
- Pleurectomy
- Extrapleural pneumonectomy

MPE: Malignant pleural effusion.
be attained, there may be endobronchial obstruction or direct malignant involvement of the visceral pleura. If treatment respond can be attained, the associated effusion can resolve/remain stable. To illustrate, for the lung cancer with epidermal growth factor receptor (EGFR)-mutations, small-cell lung carcinoma, lymphoma and cancer of breast and ovary, well response to chemotherapy may be achieved.
and measures to prevent fluid recurrence may not be necessary.\[1,2\] The treatment algorithm of the American Thoracic Society (ATS) in MPE is shown in Figure 1.

**Therapeutic thoracentesis**

The main aim of a therapeutic thoracentesis is to relieve dyspnea. However, rapid and abundant discharge of pleural fluid can cause re-expansion pulmonary edema. Although it is not exactly known that how much fluid can be safely removed, it is usually recommended that <1.5 L should be aspirated in a single sitting. If there is no significant improvement in dyspnea despite adequate fluid drainage by thoracentesis, other causes should be considered, such as underlying lung parenchymal disease, endobronchial obstruction, pulmonary embolism, and lymphangitis carcinomatosis. On condition that relief can be provided for dyspnea by therapeutic thoracentesis and lung expansion can be ensured before the fluid re-accumulates and symptoms rapidly return, a chest tube is inserted and a sclerosing agent is given.\[1,2\]

**Tube thoracostomy and pleurodesis**

Pleurodesis refers to inducing the adhesion of both pleura to each other and is often considered in patients with symptomatic effusion with a reasonable life expectancy. On the other hand, if drainage from the chest tube is excessive or if there is the presence of a trapped lung, the pleurodesis procedure would not be successful as the pleural surfaces must touch each other.\[8\] Although various methods of creating pleurodesis have been used, there is no consensus on the ideal approach. Surgical pleurodesis (e.g., mechanical abrasion) and bedside intrapleural instillation of chemical agents have been attempted; however, all the methods involve injuring the pleura, leading to intense inflammation and fibrosis.

Possible pleurodesis agents include sterile talc, tetracycline, chemotherapeutic agents (such as doxycycline, bleomycin and minocycline), betadine, hydrogen peroxide, and hypertonic saline. Talc is usually accepted as the most potent pleurodesis agent available, and randomized trials have shown no significant differences in successful pleurodesis outcomes whether talc is delivered as thoracoscopic poudrage or as slurry via a chest tube.\[1,9\] Fever and pain are common after pleurodesis, and talc pleurodesis associated complications can be listed as acute pneumonitis, acute respiratory distress syndrome, hypoxia, respiratory failure, and death.\[1,8,10,12\] Talc pleurodesis fails in 30 to 50% of patients, but recurrent pleurodesis can be performed with the same agent or different ones.\[1\]

**Indwelling pleural catheter (IPC)**

The IPCs are one of the new methods used to treat the symptoms of patients with MPE. These are 15.5 to 16-F silicone catheters with a fenestrated proximal end that is placed within the pleural cavity and a one-way valve at the distal end. They can be also used in ambulatory patients and allow intermittent pleural drainage. They are a good option for patients with failed pleurodesis and trapped lungs,\[3\] and the current data supports their use as the MPE’s first-line therapy in place of pleurodesis.\[1,10,13-15\]

Spontaneous pleurodesis develops in up to 70% of patients with IPCs previously having full lung expansion, following which the IPC can be removed.\[1,15,16\] Complications after the use of IPCs are rare (~12%) and, mostly, minor (e.g., cellulitis, catheter blockage, and IPC-related pleural infection). Catheter tract metastases develop in about 10% of patients (more commonly in mesothelioma patients) and can be controlled with radiotherapy.\[1,16,17\]

**PLEUROPERITONEAL SHUNT (PPS)**

A PPS consists of a couple of catheters that are inserted in the cavities of pleura and peritoneum and connected by a one-way valve pump chamber. When compressed, the pump transfers fluid from the pleura into the peritoneum. A PPS is likely to be used as an alternative to pleurodesis in patients with a trapped lung or following failed pleurodesis, which may yield effective palliation in patients with a rate of 95%, although the emergence of some complications, particularly occlusion, can have a high incidence rate (~25%) and shunt revision, removal and/or replacement is often required. The need for PPSs has significantly decreased with the advent of IPCs.\[1,18,19\]

**Pleurectomy**

A parietal pleurectomy can be performed via a thoracoscopy or thoracotomy and is almost always effective at controlling the recurrence of the effusion. The most optimal results are obtained when the primary lesion is a carcinoma of the breast or a malignant mesothelioma, and the results are often worse in patients with a lung carcinoma. Complications such as empyema, hemorrhage or cardiovascular failure are frequent (as high as 34%), and mortality rates are significant, with in-hospital rates of up to 9% and a three-month all-cause mortality rate of 17%.\[2\] When lung decortication is necessary as a complementary
procedure of the pleurectomy, the complication rate approaches 70% with a postoperative mortality rate of 20%. Therefore, this procedure should only be performed with a patient group with high eligibility, such as those not responding to chemical pleurodesis, with an expectancy of life over half a year and in an overall good condition.

In a study conducted by Kara et al., 19 MPE patients who did not respond to other conventional treatment procedures underwent thoracoscopic pleurectomies from a single port. The patients had a variety of such malignancies as cancers of lung, breast, stomach, renal cell and lymphoma. No complications, morbidity or mortality were observed after the pleurectomy procedure, and the overall success rate was 91.4%. As this rate is better than that of thoracoscopic talc powder, the authors recommended pleurectomy with uniportal video-assisted thoracic surgery (VATS) as the optimal pleurodesis method for MPE patients.

**Surgical treatment according to primary tumor Malignant pleural effusion in lung cancer**

Nearly 50% of all lung cancer patients have malignant pleural effusion and their prognosis tends to be worse than those of patients with no MPE. A study conducted by the International Association for the Study of Lung Cancer (IASLC) Lung Cancer Staging Project examined 771 patients with non-small-cell lung carcinoma and MPE and found that the patients had an average survival of 10 months and only 2% had a five-year survival rate, leading to the reclassification of MPEs as M1a or Stage IV in the 7th edition of the Tumor, Node, Metastasis (TNM) staging system. MPE patients and other distant metastases (M1b) had a median survival of only three months, while the median survival was five months for M1b without MPE. Trapped lung is frequently seen in cancers of lung, in which pleurodesis is contraindicated and the most appropriate treatment option is an IPC.

Lung adenocarcinoma constitutes the most common cell type with the pleura. In autopsies on lung cancer patients with MPE, metastases were detected on the surfaces of visceral and parietal pleural. The involvement of isolated visceral pleural involvement was rare and isolated parietal pleural metastases were never encountered. The mechanism of action of visceral pleural metastases in lung cancer is most likely proximal spreading or peripheral vascular embolization of the tumor. Malignant cells, then, migrate from the visceral pleural surface to the parietal pleural surface through pleural adhesions.

Another key mechanism for both paramalignant and MPEs is the impaired lymphatic drainage of the pleural space. The lymphatic system obstruction can occur in any location spreading from the stroma of the parietal pleura to the mediastinal and internal mammary lymph nodes. Pleural tumor invasion into the structures of the lymphatic system gives rise to an inflammatory response, thereby, leading to the increased microvascular permeability. In general, MPE is common in patients with EGFR mutations and anaplastic lymphoma kinase (ALK) fusion. EGFR or ALK mutations patients respond better to tyrosine kinase inhibitors (TKIs; gefitinib or erlotinib), and erlotinib penetrates the pleural cavity quite well. Therefore, these mutations should be tested in patients with MPE, as it is easy to detect the mutations and suggest guidelines.

Based on all these data, we recommend the employment of IPC in case of a trapped lung and checking EGFR and ALK mutations in patients with adenocarcinoma, as it would change the course of treatment.

**Pleural effusion in malignant pleural mesothelioma**

A total of 90% of mesothelioma patients present with pleural effusion at the initial admission. Palliation is needed in patients with dyspnea and chest pain, and a tissue biopsy is usually required for diagnosis. Although mesothelioma patients do not have a precise predictor of pleurodesis results, pleurodesis is more likely to fail as long as the patient lives. A trapped lung is common in patients with mesothelioma, and IPCs are favorable alternatives. Pleurectomy/decortication and EPP are also among the treatment options.

**Pleural metastases of thymomas**

Although thymomas rarely have distant metastases, 75% of recurrences occur in the pleura. The prognosis for thymoma pleural metastases is better than that for the pleural metastases of other primary tumors. Pleural implants can appear several years after both encapsulated and invasive thymoma resection, but pleural recurrences account for less than 10% of resected thymomas. They may be present following thymoma excision or as a result of tumor cell seeding during tumor manipulation, particularly if the mediastinal pleura has been opened.

Five-year survival after R0 resection is 71 to 92%, and an EPP, total pleurectomy or partial pleurectomy can be applied. The choice of surgical method usually depends on the extent of the tumor. To illustrate, if there are numerous nodular spreads in the parietal and
visceral pleura and there are also pulmonary nodules, an EPP is recommended. In total pleurectomies, all parietal, mediastinal and diaphragmatic surfaces and the pericardium are removed, while a partial pleurectomy is recommended in mono- or oligometastatic disease. In all cases, neoadjuvant or adjuvant chemotherapy is recommended, and radiotherapy is also recommended if the surgical margin is positive or if there is residual disease.

In a previous study, Lucchi et al. evaluated 20 patients who underwent operations for thymoma and who were re-operated on for pleural metastases. Partial pleurectomies were performed in the area where the pleural implants were present, and if there was a wide pleural spread, a pleural catheter was inserted and intrapleural heated chemotherapy was applied. The results showed five- and 10-year survival rates of 43.1% and 25.8%, respectively, after resection of the pleural metastases. The prognosis was worse for patients with diaphragmatic pleura, which was attributed to the fact that diaphragmatic involvement represents more advanced disease. The authors suggested that if multimodal therapy was applied, surgery should further improve the results.

The European Association of Thoracic Surgeons (ESTS) Thymic Working Group examined the role of surgical treatment for pleural metastases of thymic epithelial tumors in 152 patients who were operated during the first operation. This was attributed to the more aggressive tumor biology of patients with initial pleural involvement. As a result, no matter which surgical method is chosen, complete resection remains the basis of treatment in thymic epithelial tumors with pleural involvement. Fiorelli et al. and Wright achieved better survival in patients with recurrent resection, if they were able to perform complete resection. Similar to the treatment of malignant pleural mesothelioma, some authors added hyperthermic intrapleural chemotherapy to the surgical resection of pleural recurrences from thymomas.

Breast cancer and malignant pleural effusion

The probability of breast cancer patients developing MPE can be up to 25%, and unilateral and bilateral effusions can be seen. Median survival depends on systematic treatment response, and the average survival after pleural fluid accumulation due to breast cancer metastases is about 15 months. Palliative methods such as recurrent thoracentesis, permanent pleural catheter or talc pleurodesis are among the commonly used treatment methods in patients with a poor prognosis. These procedures improve respiratory function by providing symptomatic relief from dyspnea through continuous fluid drainage. Martini et al. achieved the best survival rates for breast cancer in various patient groups who underwent pleurectomy for MPE. Therefore, pleurectomies can be recommended for breast cancer patients with MPE.

Renal cell carcinoma (RCC) and malignant pleural effusion

Renal cell carcinomas constitute only 1 to 2% of all malignancy-related pleural effusions. In these patients, most of the pleural metastases are related to metastatic lesions developing in the lung. Solitary pleural metastases having no lung metastases are rare phenomena. A possible explanation for isolated pleural metastases is the hematogenous spread through the Batson venous plexus, which is a network of valveless veins that surrounds the spinal cord and vertebral column and is connected to the azygos vein, hemiazygos vein, bronchial vein and intercostal veins. Malignant effusions due to RCCs occur more frequently in patients having papillary and clear cell tumors, and these tumors tend to be high grade. Development of spontaneous hemothorax has been detected in a patient with an RCC resulting from metastatic involvement and invasion of the intercostal vessels. To date, surgery is most commonly preferred for localized metastases, as there is no effective chemotherapy for RCCs other than interferon therapy.
Lymphoproliferative diseases and malignant pleural effusion

Lymphomas present with pleural effusion in 20 to 30% of patients, and pleural effusion is rarely encountered in leukemia and multiple myeloma.[36] Hodgkin and non-Hodgkin lymphomas often cause MPE through different mechanisms: while Hodgkin's disease results in MPE due to lymphatic obstruction, in non-Hodgkin lymphoma, MPE is likely to originate from a combination of lymphatic obstruction and direct pleural invasion. Less than 10% of lymphoma-related pleural effusions are chylothoraces.

Although lymphomas are highly chemosensitive tumors, pleural procedures are required in approximately 37.5% of patients (pleurodesis and/or tunneled pleural catheter). Primary pleural lymphoma, although rare, comprises two main types: primary effusion lymphoma and pyothorax-associated lymphoma.[1,38] Pulmonary effusion has been found to be an insufficient prognostic factor in lymphoma. Systematic chemotherapy is the preferred form of treatment; however, in case of mediastinal involvement, mediastinal radiotherapy is also administered.

If chylothorax occurs, conservative treatment is usually performed with low-fat, medium-chain triglyceride-supported regimens, or a tube thoracostomy with total parenteral nutrition to reduce recurrence. Pleural effusions secondary to lymphoma may respond to chemotherapy, but if they fail, pleurodesis or an IPC should be considered. The VATS is performed for refractory chylothoraces that do not respond to treatment, allowing for sufficient drainage from the thoracic cavity and simultaneous pleurodesis can also be provided.[35]

Ovarian Cancer and malignant pleural effusion

Ovarian cancer affects one out of every 70 women. The pleura is the most prevalent extra-abdominal metastatic location in ovarian cancer patients, and it is the most common extra-abdominal metastatic site. Cytoreductive surgery and adjuvant therapy are the standard treatments for advanced stage ovarian cancer. Pleural effusions are thought to be present in more than one-third of individuals with Stage IV ovarian cancer.[1,39] Many MPE patients are examined with computed tomography scans to determine whether bulky thoracic disease prevents abdominal surgical cytoreduction. However, it is uncertain whether radiographic scans alone can provide an appropriate evaluation of intrathoracic illness and the amount of diaphragmatic pleural involvement.

The presence of macroscopic intrathoracic disease may change the patient's therapy, particularly if unresected >1 to 2 cm intrathoracic tumor deposits would result in unsatisfactory residual disease at the end of maximum intra-abdominal cytoreduction. The VATS can detect the pleural tumor burden, allow intrathoracic cytoreduction and, sometimes, reveal gross tumor residue in the pleural cavity and that abdominal surgery is unnecessary.[1,39,40]

Eisenkop[40] investigated the predicted advantages of thoracoscopies as a treatment strategy for Stage IIIC-IV epithelial ovarian cancer by performing VATS simultaneously with primary cytoreduction in 30 patients for detecting intrathoracic disease and the possibility of cytoreduction. The survival rates for patients categorized in Stage IV undergoing a thoracoscopy was found to be longer than the ones who did not. Thoracoscopies can be used to evaluate the degree of intrathoracic disease and, in rare cases, to perform full cytoreduction. Given that the size of the greatest residual illness at the time of cytoreductive surgery affects survival independently, occult residual intrathoracic disease that is greater than the largest recognized intra-abdominal residual disease may possibly reduce survival. Eisenkop[40] argued that thoracoscopies were linked with a low morbidity risk.

Some authors have advocated transdiaphragmatic thoracoscopies with minimal operation time with a small diaphragmatic incision.[40] Although viewing both pleural and pulmonary surfaces is impossible, transdiaphragmatic thoracoscopies allow for adequate vision and, if necessary, ablation or excision of large pleural implants by diaphragmatic incision extension. Although the transdiaphragmatic approach is faster than a procedure through the chest wall, a thoracic surgeon performing the thoracoscopy through the chest wall may be preferable in certain patients, such as when intrathoracic findings are expected to preclude intra-abdominal cytoreduction or when more extensive intrathoracic surgery is planned.[41]

Evaluation of pleural metastases is of particular importance, as most ovarian cancers are advanced stage and the pleura is the most common extra-abdominal metastatic site. We recommend performing VATS for every patient to detect pleural metastases, as they would change the course of treatment.

Rare primary tumors of the pleura

Primary sarcomas can occur in the pleural cavity. Although differential diagnosis can be challenging, immunohistochemistry and ultrastructural and
molecular examinations can be helpful for the accurate diagnosis for most spindle cell tumors of the pleural cavity. As most of these neoplasms require distinct treatment methods and different prognoses, an accurate diagnosis is of utmost importance. They are difficult to treat, but similar principles apply to most: localized tumors require complete excision with large margins (usually 2 cm), and adjuvant chemotherapy and radiotherapy are recommended for insufficient margins or incomplete resection.[1,2]

In conclusion, the treatment approach for malignant pleural effusions caused by pleural metastases depends on the patient’s performance, tumor type, and expected survival. Recurrent thoracentesis may be a good option for patients with survival times less than 45 days. Pleurectomy via video-assisted thoracic surgery or thoracotomy may constitute an option in pleural metastases of breast cancer, ovarian cancer and, even extrapleural pneumonectomy can be considered in thymoma pleural metastases.

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