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Capsule Independent Uptake of the Fungal Pathogen *Cryptococcus neoformans* into Brain Microvascular Endothelial Cells

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**Abstract**

Cryptococcosis is a life-threatening fungal disease with a high rate of mortality among HIV/AIDS patients across the world. The ability to penetrate the blood-brain barrier (BBB) is central to the pathogenesis of cryptococcosis, but the way in which this occurs remains unclear. Here we use both mouse and human brain derived endothelial cells (bEnd3 and hCMEC/D3) to accurately quantify fungal uptake and survival within brain endothelial cells. Our data indicate that the adherence and internalisation of cryptococci by microvascular endothelial cells is an infrequent event involving small numbers of cryptococcal yeast cells. Interestingly, this process requires neither active signalling from the fungus nor the presence of the fungal capsule. Thus, entry into brain microvascular endothelial cells is most likely a passive event that occurs following ‘trapping’ within capillary beds of the BBB.

**Introduction**

Cryptococcosis is a life-threatening disease caused primarily by the human fungal pathogen *Cryptococcus neoformans*. Cryptococcal infection can potentially occur in any part of the human body (reviewed in [1]), although central nervous system (CNS) cryptococcosis accounts for most clinical presentation. Globally, fatalities due to cryptococcal meningoencephalitis were recently estimated at over 600,000 cases per year of which 504,000 (81%) occur in Sub-Saharan Africa [2]. The victims of cryptococcal infection are predominantly immunocompromised people infected with *C. neoformans*, although there is an increasing incidence of immunocompetent cryptococcosis caused by *C. gattii* [3–7]. HIV/AIDS is the major predisposing condition for cryptococcosis with 10–15% HIV patients acquiring cryptococcal infection, although prolonged glucocorticosteroid therapy and solid organ transplantation are increasingly becoming important [8,9].

The route of cryptococcal infection is believed to be through inhalation of airborne basidiospores or desiccated yeast cells from an environmental source to the lungs. The fungal yeast cells may stay dormant in the host, and can potentially disseminate to all body organs but there is a high propensity of dissemination to the brain [10]. Once in the brain the fungus causes meningoencephalitis, a severe form of the disease, which is uniformly fatal if untreated [11]. Even with the most effective antifungal therapy, the fatality rate remains high in HIV-associated cryptococcosis [10–15% and >40% in rich and poor settings respectively [12–17] dissemination to the brain requires that *C. neoformans* penetrate the normally impermeable blood-brain barrier (BBB) [18]. The BBB is made of microvascular endothelial cells supported by astrocytic foot processes, pericytes and neuronal processes [19]. Brain microvascular endothelial cells form strong tight junctions, which present a formidable barrier to any invading pathogens [18–20]. The mechanism by which *C. neoformans* penetrates this barrier is not currently understood, although several possibilities have been proposed, including passage between neighbouring endothelial cells (paracellular entry), carriage into the CNS within infected phagocytes (Trojan Horse model), or uptake by and traversal through endothelial cells (transcytosis) [21,22]. In the transcellular model of traversal, adherence to and uptake of cryptococci by brain microvascular endothelial cells (BMEC) must occur before transit into the brain. In support of this model, Chang et al used electron microscopy to demonstrate that cryptococcal yeast cells could adhere to and become internalised by brain microvascular endothelial cells [23].

Several pathogen-generated microbial factors including urease, laccase, capsule and hyaluronic acid have been implicated in modulating the *Cryptococcus* – blood-brain barrier interaction [24,25]. The capsule is a major virulence factor and its role in pathogen – phagocyte interaction and systemic dissemination of *Cryptococcus* is well documented [26]. However, the role of capsule in regulating CNS invasion remains unclear. Capsule associated structural changes such as phenotypic switching (rough to smooth) have been reported to enhance crossing of the blood-brain barrier [27–31], but a recent study using intravital real time imaging demonstrated that encapsulated and acapsular strains of *C. neoformans* had an equal ability to associate with – and transmigrate across - the microvascular endothelium into the brain [32].

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Despite these recent advances, however, there are currently no quantitative data on cryptococcal uptake by brain endothelial cells in the presence and absence of capsule. Here we report the first attempts to address this, by using an in vitro brain endothelial cell culture to quantify association and uptake of cryptococci.

**Materials and Methods**

**Yeast culture**

Two sets of isogenic *C. neoformans* strains, serotype A H99 and its isogenic acapsular strain cap59 and serotype D B3501 with its isogenic acapsular strain B4131 were used. Strains were propagated on YPD agar (1% yeast extract, 1% peptone, 2% dextrose and 1% agar) at 25°C. Prior to experimentation, cultures of both strains were grown in YPD broth (1% yeast extract, 1% peptone and 2% dextrose) at 25°C with rotation at 20 RPM overnight. The yeast cells were washed with sterile phosphate buffered saline (PBS) and stained with 0.5 mg/ml FITC for 30 min with shaking (Labroller, Labnet Inc.) at room temperature. The required infection inoculum (of $2 \times 10^6$ yeast cells) was determined by counting using a haemocytometer.

**Tissue culture**

Two types of brain microvascular endothelial cell-lines, the immortalized mouse brain derived endothelial (bEnd3) cells and the human brain capillary microvascular endothelial cells (hCMEC/D3) were used. The bEnd3 cells were grown to monolayer confluence in 24 well tissue culture plates (Greiner, UK) containing Dulbecco’s modified Eagle’s medium (DMEM, Sigma Aldrich) supplemented with 10% foetal bovine serum (FBS), 1% streptomycin/penicillin and 2 mM L-glutamine, 1% non-essential aminoacids, 1% Sodium pyruvate and 5 μM 2-Mercaptoethanol. HCMEDC/D3 cells were grown in endothelial growth medium 2 (EGM-2, Lonza, UK) in 24 well tissue culture plates precoated with Calf Skin collagen (Sigma UK). Seeding plates with $10^5$ endothelial cells per well, ensured even growth of a cell monolayer. The culture was maintained at 37°C with 5% CO2 for 4–6 days to obtain a fully matured cell monolayer. For microscopic examination, 13 mm sterile glass coverslips (collagen coated for hCMEC/D3 cells) were inserted into the 24 well plates before seeding with endothelial cell-layers, allowing the monolayer to grow on the coverslip, which could then be easily transferred for microscopy. Prior to infection, tissue culture growth medium was replaced with serum free medium and incubated for 1 hr at 37°C. The cultures were then inoculated with $2 \times 10^6$ yeast cells per well, producing an approximate infection ratio of 1:3 (target: effector). Infections were allowed to proceed for either 2 hr or 4 hrs, as described, at 37°C with 5% CO2. To ensure that the infection media did not have a negative effect on cryptococcal growth, cryptococci ($10^5$ yeast cells/ml) were directly inoculated into bEnd3 and or hCMEC/D3 infection medium and growth recorded over 24 hrs.

**Quantification of yeast cell association with, and internalization by, BMEC cells**

The rate of cryptococcal yeast cell association with brain microvascular endothelial cells (BMEC), bEnd3 and hCMEC/D3 was determined both microscopically and using CFU counts. In the former, dual colour fluorescence microscopy (Nikon Eclipse Ti - S, Japan) was used to determine associated (adherent and internalized) yeast cells. After infection, the non-adherent yeast cells were removed by washing four times with sterile PBS and the extracellular adherent yeast cells stained for 10–15 min with 20 μg/ml calciofluor white (adapted from [33]) at room temperature. Since mammalian cells are impermeable to calciofluor white, internalized yeast retained the green FITC signal while adherent cells stained blue. Nine random fields per coverslip were viewed and the internalized and non-internalized yeasts therein counted. Association (total number of yeast cells –attached or internalized by BMEC) and internalization were determined and compared to the original inoculum.

Since this microscopic approach did not distinguish between live and dead cryptococci, we exploited colony forming unit (CFU) assays to determine the viability of cryptococci that had been internalised by cells of the BBB. After removal of non-adherent cryptococci by extensive washing, endothelial cells were lysed with 200 μl sterile water for 15 min at 37°C to release internalized cryptococci and the lysate plated on YPD agar for colony counts (CFU assay). The associated cryptococci were determined as the ratio of cryptococcal yeast cells (CFU/ml) to the original inoculum.

Thus, by comparing data derived both from microscopy (which distinguishes internalised from attached cryptococci, but not live from dead cells) and from CFU counts (which have the opposite profile) we were able to accurately estimate both uptake and survival of cryptococci. The results were recorded as endothelial cell associated cryptococci per well, which is equivalent to cryptococci per coverslip for microscopy and CFU/ml for colony counts.

**Opsonisation**

Antibodies to *Cryptococcus* have been reported to exist in circulation as early as childhood [34]. Furthermore, phagocytosis studies using macrophages have shown that internalization of cryptococcal yeast cells is enhanced by antibody and or complement mediated opsonisation [35–37]. However, no studies have investigated whether adherence and uptake of cryptococci by BMEC requires opsonisation. To address this, opsonised and non-opsonised live and heat killed H99 cryptococci were incubated with BMEC for 2 hr and 4 hr at 37°C. Opsonisation was done by adding 5 μg/ml the capsule specific 18B7 mouse IgG (a kind gift from Arturo Casadevall) to 200 μl aliquot of yeast cells and rotated (Labroller, Labnet Inc, US) at room temperature for 30 min prior to infection. The rate of association and internalization was determined as described above.

**Role of viability in Cryptococcus – BMEC association and internalization**

We tested whether dead cryptococci adhere and are internalized by BMEC at the same rate as live cells. 1 ml aliquots of both encapsulated and non-capsulated cryptococci were heated at 65°C for 15 min prior to infection. Adherent and internalized yeasts were determined microscopically. To determine if the yeast culture was completely killed, 20 μl aliquots were plated on YPD agar and no growth was observed.

**Fixed endothelial cell control**

As a negative control, mature endothelial cell (bEnd3 and hCMEC/D3) monolayers were fixed with 250 μl PFA 4% in PBS for 10 min at room temperature, rinsed five times with PBS and then inoculated with $2 \times 10^6$ cryptococci per ml. As for the live endothelial cell monolayers, the fixed monolayers were incubated with cryptococci for 2 hr and 4 hr at 37°C with 5% CO2 and washed four times to remove non-adherent yeast cells. 200 μl sterile water was used to lyse the endothelial cells with additional scraping to remove any remaining endothelial cell associated.
cryptococci. The lysate was plated on YPD agar at 25°C and colony counts were made after 48 hr.

**Statistical analysis**
Non-parametric Mann-Whitney U Test and Wilcoxon Signed Ranks Test were used to measure the significance of adherence at different conditions and time points. Mann-Whitney U Test was applied to compare the means of test different setups, for instance comparing the mean association of encapsulated and acapsular strain to bEnd3 and or hCMEC/D3 cells. Wilcoxon Signed ranks test was applied to compare means of the same setup at different time points, for example comparing the mean association of encapsulated H99 strain or the acapsular mutant with endothelial cells after 2 hrs and 4 hrs of incubation at 37°C.

**Results**

**Cryptococcal association with and internalization by the murine brain endothelial cell line bEnd3**
We exposed the mouse brain endothelial cell line bEnd3 to wildtype *C. neoformans* H99 and its isogenic acapsular derivative, cap59 [30]. The two strains were tested for their rate of binding and internalization by BMEC and whether the presence or absence of capsule has an effect on this interaction. After two hours of exposure to bEnd3 cells at 37°C, 8.3×10⁵ (0.43%) of inoculated wild type (H99) cryptococci had adhered strongly to the endothelial layer, rising to 2.0×10⁶ (1.2%) after four hours. Similarly, 1.6×10⁶ (0.8%) of the acapsular cryptococci had associated with bEnd3 cells at 2 hr rising to 2.5×10⁶ (1.23%) after four hours of incubation at 37°C (Figure 1A). In contrast, binding to paraformaldehyde-fixed BMEC monolayers was negligible at all time points tested (Figure S1). By using collofuge staining to discriminate surface bound from internalised cryptococci, we determined that 3.1×10⁵ (35% of associated) and 4.4×10⁵ (28% of associated) wild type and acapsular cryptococci, respectively, had been internalised by 2 hours at 37°C, rising to 8.1×10⁵ (40%) and 8.6×10⁵ (35%) respectively by 4 hrs (Figure 1B). Importantly, for both strains, microscopic yeast cell counts and live CFU counts gave the same rate of association for both H99 and cap59, suggesting that there is no significant drop in cryptococcal viability upon adherence to or uptake by bEnd3 cells.

**Effect of opsonisation on Cryptococcus – BMEC association and internalization**
Since most individuals produce circulating antibodies to cryptococci by late childhood [34], we investigated whether opsonisation of cryptococci with antibody increases the association and internalization with brain endothelial cells. However, there were no significant differences between opsonised and non-opsonised yeast in either adherence or uptake at either time point tested (Figure 2A and B), suggesting binding and uptake by brain endothelial cells is opsonin independent.

**Cryptococcal association and internalization by human brain endothelial cells, hCMEC/D3**
To test whether the rates of adherence and uptake that we had observed in bEnd3 cells were species specific, we repeated our analyses using the human brain endothelial derived cell-line, hCMEC/D3. As with bEnd3 cells, both microscopic and CFU counts showed that encapsulated H99 and its isogenic acapsular mutant cap59 associated and were engulfed at the same rate, P = 0.05 at 2 hr and 4 hr of infection respectively (Figure 3A and B). To determine whether the interaction varies from strain to strain, we tested a different pair of *C. neoformans* serotype D strains; encapsulated B3501 and its isogenic acapsular strain B4131. Like the H99/cap59 isogenic pair, both B3501 and B4131 strains associated and were internalized at the same rate with hCMEC/D3 cells (Figure 4A and B).

However, unlike bEnd3 cells, we observed a significant decrease over time in cryptococcal CFU counts for both strains. Microscopic counts revealed that association and internalization for both strains increases with time of incubation, suggesting a loss of viability by cryptococci during association with hCMEC/D3 cells (Figure 3A and 4A). One potential explanation for this result is that hCMEC/D3 cells may generate a more antimicrobial environment for cryptococci following uptake than that produced by bEnd3 cells. Thus bEnd3 cells and hCMEC/D3 cells bind and engulf cryptococci at similar rates, but only hCMEC/D3 cells are able to significantly reduce cryptococcal viability following uptake.

**Viability of cryptococci is not a prerequisite for association and internalization by BMEC**
Lastly, we investigated whether the uptake of cryptococci into BMEC requires active signals from the pathogen. However, heat-killed H99 retained the ability to bind and enter both mouse bEnd3 and human hCMEC/D3 cells at a similar rate to live yeast (Figure 5A and C). Although viable cryptococci showed higher association efficiency in bEnd3 cells, there was no significant difference between internalization of viable and heat-killed cryptococci in both bEnd3 and hCMEC/D3 cells. (Figure 3B and D), suggesting that uptake is a passive process that occurs independently of yeast cell viability.

**Discussion**
The mechanism by which *C. neoformans* associates with – and penetrates - the BBB remains a critical question in understanding the pathogenesis of CNS cryptococcosis. Considerable evidence shows that the penetration of the BBB by *C. neoformans* may occur via infected phagocytes [21,39] or transcellularly through adherence and phagocytosis by the brain microvascular endothelial cells [22,32]. Determining the relative contribution of these different routes to CNS cryptococcosis requires quantitative analysis of the interaction between cryptococci and brain microvascular cells, data that are currently lacking. Here we take the first steps to address this shortfall by using both mouse and human brain endothelial cell models.

Our data indicate that cryptococcal association with brain microvascular endothelial cells is a relatively infrequent event, although one that increases with extended periods of incubation. In both cell lines, mouse and human, less than 2% of encapsulated and acapsular cryptococci were strongly bound by 4 hrs of incubation. However, once bound, the probability of being internalized is high (typically >40% within four hours). These findings support recent observations in a mouse cryptococcosis model, which suggest that transmission across the BBB is a non-specific event dependent on trapping of cryptococci in narrow brain capillaries followed by phagocytosis into brain microvascular endothelial cells [32]. This implies that the adherence to – and phagocytosis of - cryptococci by BMEC is a slow process involving single cryptococci binding at any one time. We thus hypothesize that cryptococcal meningoencephalitis may be the result of a very small number of cryptococcal cells penetrating the BBB and subsequently proliferating to high numbers within the brain tissue. If so, then clinical approaches that reduce cryptococcal binding to endothelia even marginally may result in significant improvements to patient health. On the other hand, the low transcellular uptake
suggests that *C. neoformans* might engage multiple entry mechanisms into the brain.

Possession of a capsule is a major virulence factor in *C. neoformans* and the presence of a capsule modulates many aspects of the interaction between cryptococci and infected hosts [40,41]. *Cryptococcus* – endothelial cell interaction studies have yielded conflicting results regarding how capsule impacts on cryptococcal binding and uptake by BMEC [27,29–31,42]. By studying isogenic pairs of wild type and acapsular cryptococci and different brain endothelial cell-lines, we have shown that binding and uptake of cryptococci by BMEC is capsule independent. In agreement with this finding, intravital imaging of cryptococcal traversal of the

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**Figure 1.** Binding and uptake of H99 and cap59 to the murine brain endothelial cell line, bEnd3. bEnd3 cells were exposed to wild type H99 and its acapsular derivative cap59 for 2 hr and 4 hr at 37°C. (A) The rate of association (bound and internalized cryptococci) was determined both by microscopy and live CFU counts. The rate of association increased significantly in a time dependent manner, (P < 0.01 for both strains). However, there was no difference in association of encapsulated H99 or acapsular cap59 cells (P = 0.1 and 0.5 at 2 hr and 4 hr respectively). (B) Internalization of the two strains, H99 and cap59 by bEnd3 cells as determined by fluorescence microscopy. Internalized cryptococci (pre-stained with FITC) were distinguished from extracellular adherent ones by counter labelling with calcofluor white after infection. Intracellular cryptococci retained the FITC green signal while extracellular cells acquired the blue signal from calcofluor. The number of phagocytosed cryptococci increased significantly in a time dependent manner, (P < 0.01 for both strains). However, there was no difference in internalization of encapsulated H99 and acapsular cap59, (P = 0.5 and 0.8 at 2 hr and 4 hr respectively). Error bars are standard error of the mean, n = 5 repeats. doi:10.1371/journal.pone.0035455.g001

**Figure 2.** Effect of opsonisation on cryptococcal binding and uptake by bEnd3 cells. Live (LV) and heat-killed (HK) H99 cryptococci were opsonised with mouse derived anti-capsule IgG antibody, 18B7 and the rate of adherence and internalization was determined by fluorescence microscopy. (A) Association of opsonised (op) and non-opsonised (Nop) cryptococci with bEnd3 cells. Rate of association of opsonised and non-opsonised H99LV cryptococci was similar, P = 0.8 and 0.4 at 2 hr and 4 hr respectively. Similarly, there was no difference between opsonised and non-opsonised heat-killed H99 cryptococci, P = 0.9 and 0.5 at 2 hr and 4 hr respectively. (B) Rate of internalization of opsonised and non-opsonised cryptococci internalized by bEnd3 cells. The number of internalized H99LV cryptococci was similar, regardless of opsonisation status (P = 0.9 at both 2 hr and 4 hr). Similarly, there was no difference between opsonised and non-opsonised heat-killed H99 cryptococci, P = 0.5 and 0.4 at 2 hr and 4 hr respectively. Error bars are standard error of the mean, n = 5 repeats. doi:10.1371/journal.pone.0035455.g002
blood-brain barrier has demonstrated equivalent crossing of the blood-brain barrier by wild type and acapsular cryptococcal yeast cells [32], an observation that implies the dispensability of the capsule for cryptococcal penetration of the blood-brain barrier.

Our finding that neither opsonisation nor active signalling from the cryptococcal cell are required for uptake are in line with recent data suggesting that cryptococcal uptake into brain endothelia is mediated by binding of endothelial CD44 to hyaluronic acid on...
the yeast surface [25]. Heat killing of the yeast is unlikely to disrupt this interaction. Although viable and non-viable (heat-killed) are equally internalized (this study), the non-viable cryptococci are unlikely to survive lysosomal degradation and hence may not transmigrate across the BBB in vivo [32]. Interestingly, however, our data suggest that human derived endothelia (but not murine bEnd3 cells) may be capable of killing intracellular cryptococci. Presumably crossing the BBB intact thus requires one or more of the virulence factors used by cryptococci to resist phagosomal killing [43], explaining why heat-killed cryptococci can enter endothelial cells but are not seen to transmigrate.

Supporting Information

Figure S1 Binding to fixed endothelial cell monolayers (negative control). To verify that the observed binding occurred in association with endothelial cells, and not due to indirect sequestration of yeast cells, bEnd3 and hCMEC/D3 monolayers were killed by paraformaldehyde fixation prior to cryptococci inoculation. For all conditions, cryptococcal binding was reduced by between one and two log orders, indicating that viable endothelial cells were responsible for the observed cryptococcal association.

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Author Contributions

Conceived and designed the experiments: WS RCM. Performed the experiments: WS. Analyzed the data: WS RCM. Contributed reagents/materials/analysis tools: WS RCM. Wrote the paper: WS.

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