Semiring identities of finite inverse semigroups

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Abstract
We study the Finite Basis Problem for finite additively idempotent semirings whose multiplicative reducts are inverse semigroups. In particular, we show that each additively idempotent semiring whose multiplicative reduct is a nontrivial rook monoid admits no finite identity basis, and so do almost all additively idempotent semirings whose multiplicative reducts are combinatorial inverse semigroups.

Keywords Additively idempotent semiring · Inverse semigroup · Natural order · Brandt monoid · Rook monoid · Finite Basis Problem

1 Introduction

1.1 Background and motivation

An additively idempotent semiring (ai-semiring, for short) is an algebra $\mathcal{S} = (S, +, \cdot)$ of type $(2, 2)$ such that the additive reduct $(S, +)$ is a semilattice (that is, a commutative idempotent semigroup), the multiplicative reduct $(S, \cdot)$ is a semigroup, and multiplication distributes over addition on the left and on the right, that is, $\mathcal{S}$ satisfies the identities $x(y + z) \approx xy + xz$ and $(y + z)x \approx yx + zx$. The class of ai-semirings is extensively studied in the literature as it includes many objects of importance for computer science, idempotent analysis, tropical geometry, and algebra such as, e.g., semirings of binary relations [11], syntactic semirings of languages [21], tropical semirings [20], endomorphism semirings of semilattices [10].
Recall that a set \( \Sigma \) of identities valid in an algebra \( \mathcal{A} \) is said to be an identity basis for \( \mathcal{A} \) if \( \Sigma \) infers all identities holding in \( \mathcal{A} \). An algebra \( \mathcal{A} \) is finitely based (FB) if it admits a finite identity basis; otherwise \( \mathcal{A} \) is called nonfinitely based (NFB).

The question of classifying algebras of a certain sort with respect to the property of being FB/NFB is known as the Finite Basis Problem (FBP). Being very natural by itself, the FBP has also revealed several interesting and unexpected relations to many issues of theoretical and practical importance. In the study of various ai-semirings, the FBP has attracted considerable attention lately. In particular, we mention [1, 2] and a series of Dolinka’s papers [3–6]. A recent breakthrough in the area is the paper [8] by Jackson, Ren, and Zhao, who provided a wealth of surprising examples of finite NFB ai-semirings \((S, +, \cdot)\), including those whose multiplicative reducts \((S, \cdot)\) are FB semigroups.

Despite the great progress in [8], the ultimate goal of classifying FB and NFB finite ai-semirings has not been achieved yet. The final section of [8] contains an extensive list of problems which the authors of that paper feel provide useful directions towards this goal. In the present note, we address one of these problems and exhibit new families of finite NFB ai-semirings. We specify the problem and describe our results in more detail in Sect. 1.2, after giving necessary definitions.

1.2 Overview of main results

Recall that elements \( x, y \) of a semigroup \((S, \cdot)\) are said to be inverses of each other if \( xyx = x \) and \( yxy = y \). A semigroup \((S, \cdot)\) is called inverse if every element has a unique inverse; the inverse of an element \( x \in S \) is denoted by \( x^{-1} \). Inverse semigroups can therefore be thought of as algebras of type \((2,1)\) where the unary operation is defined by \( x \mapsto x^{-1} \).

In every inverse semigroup \((S, \cdot, -1)\), the relation

\[
\leq_{\text{nat}} := \{(x, y) \in S \times S \mid x = xx^{-1}y\}
\]

is a partial order compatible with both multiplication and inversion; see [18, Section II.1] or [14, pp. 21–23]. This order is referred to as the natural partial order. Given a subset \( H \subseteq S \), the infimum of \( H \) with respect to \( \leq_{\text{nat}} \) may not exist, but if \( \inf H \) exists, then so do \( \inf(sH) \) and \( \inf(Hs) \) for every \( s \in S \), and one has \( \inf(sH) = s(\inf H) \) and \( \inf(Hs) = (\inf H)s \) [23, Proposition 1.22]; see also [14, Proposition 19]. Therefore, if an inverse semigroup \((S, \cdot, -1)\) is such that the partially ordered set \((S, \leq_{\text{nat}})\) is an inf-semilattice, then letting

\[
x +_{\text{nat}} y := \inf\{x, y\}
\]

for all \( x, y \in S \) makes \((S, +_{\text{nat}}, \cdot)\) an ai-semiring. Such ai-semirings are called naturally semilattice-ordered inverse semigroups in [9]. The following is Problem 7.7(3) from [8]:

**Problem 1.1** Which finite naturally semilattice-ordered inverse semigroups are finitely based, in either of the signatures \{+, \cdot\} or \{+, \cdot, 0\}?
(The appearance of the alternative signature \{+\, \cdot\, 0\} is justified by the observation that any finite naturally semilattice-ordered inverse semigroup has zero: if 0 is the least element under the natural partial order, then it is easy to see that 0 is also the multiplicative zero.)

It is Problem 1.1 that has given rise to the present paper. To describe our contribution, we have to recall a few further notions. A semigroup is called \textit{combinatorial} if all of its subgroups are trivial and \textit{periodic} if all of its monogenic subsemigroups are finite. Leech [15, Example 1.21(d), item (iv)] observed that if an inverse monoid \((S, \cdot, -1, 1)\) is periodic and combinatorial, then \((S, \leq_{\text{nat}})\) is an inf-semilattice. Of course, the requirement of being a monoid is not essential: if an inverse semigroup \((S, \cdot, -1)\) is periodic and combinatorial then so is the inverse monoid \((S^1, \cdot, -1, 1)\) obtained by adjoining a fresh element 1 to the set \(S\) and letting \(1 \cdot x = x \cdot 1 := x\) for all \(x \in S^1\) and \(1^{-1} := 1\). Thus, every periodic (in particular, finite) and combinatorial inverse semigroup is naturally semilattice-ordered.

Consider the set \(B_2^4\) consisting of the following six zero-one \(2 \times 2\)-matrices:

\[
\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.
\]

They form an inverse semigroup (even an inverse monoid) under the usual matrix multiplication and transposition. The inverse monoid \((B_2^4, \cdot, -1)\) is known as the \textit{6-element Brandt monoid}. Our first result answers Problem 1.1 for “almost all” finite combinatorial naturally semilattice-ordered inverse semigroups:

\textbf{Theorem 1.2} If \((B_2^4, \cdot, -1)\) satisfies all identities of a finite combinatorial inverse semigroup \((S, \cdot, -1)\), then the ai-semiring \((S, +_{\text{nat}}, \cdot)\) admits no finite identity basis.

\textbf{Remark 1} Two algebras of the same type that satisfy the same identities are called \textit{equationally equivalent}. If a finite combinatorial inverse semigroup \((S, \cdot, -1)\) satisfies an identity that fails in the 6-element Brandt monoid, then either \(|S| = 1\) or \((S, \cdot, -1)\) is equationally equivalent to either the 2-element semilattice or the 5-element Brandt semigroup \((B_2, \cdot, -1)\) where \(B_2\) consists of the first five matrices in (1.2); see [18, Section XII.4], in particular, Corollary XII.4.14 therein. From this, it readily follows that up to equational equivalence, Theorem 1.2 does not apply to only two nontrivial ai-semirings \((S, +_{\text{nat}}, \cdot)\) coming from a finite combinatorial inverse semigroup: these two are \((Y_2, +_{\text{nat}}, \cdot)\) where \((Y_2, \cdot)\) is the 2-element semilattice and \((B_2, +_{\text{nat}}, \cdot)\). It is known and easy to verify that the ai-semiring \((Y_2, +_{\text{nat}}, \cdot)\) is FB (in fact, the single identity \(xy \approx x + y\) forms an identity basis for \((Y_2, +_{\text{nat}}, \cdot)\)). Thus, the 5-element Brandt semigroup remains the only finite combinatorial inverse semigroup with yet unknown answer to the FBP for its derived ai-semiring.

Another important family of naturally semilattice-ordered inverse semigroups is related to the symmetric inverse monoids; see [18, Section IV.1] or [14, Chapter I] for an explanation of the role played by these monoids in the theory of inverse semigroups. For a non-empty set \(X\), let \(I(X)\) stand for the set of all partial one-to-one transformations on \(X\). The \textit{symmetric inverse monoid} on \(X\) is \((I(X), \cdot, -1)\) where for all \(\alpha, \beta \in I(X)\), the product \(\alpha \beta\) is the usual composition of transformations and \(\alpha^{-1}\).
is the inverse transformation of $\alpha$. The natural partial order $\leq_{\text{nat}}$ on $(I(X), \cdot, ^{-1})$ is nothing but the usual extension order of transformations: $\beta \in I(X)$ extends $\alpha \in I(X)$ if $\alpha(x) = \beta(x)$ for each $x \in X$ at which $\alpha(x)$ is defined. Clearly, $(I(X), \leq_{\text{nat}})$ is an inf-semilattice: for any $\alpha, \beta \in I(X)$, their infimum is the transformation $\gamma$ defined on the set $\{x \in X \mid \alpha(x) = \beta(x)\}$ by the rule $\gamma(x) := \alpha(x)$. Thus, we get the naturally semilattice-ordered inverse semigroup $(I(X), +_{\text{nat}}, \cdot)$.

If the set $X$ is finite with $t$ elements, the symmetric inverse monoid on $X$ can be conveniently identified with the rook monoid $\mathcal{R}_t := (\mathcal{R}_t, \cdot, ^{-1})$ where $\mathcal{R}_t$ is the set of all zero-one $t \times t$-matrices with at most one entry equal to 1 in each row and column and the operations are the usual matrix multiplication and transposition. (The name ‘rook monoid’ suggested by Solomon [24] refers to the fact that matrices in $\mathcal{R}_t$ encode placements of nonattacking rooks on a $t \times t$ chessboard.) In this model, the addition $+_{\text{nat}}$ is nothing but the Hadamard (entrywise) product of matrices: $(a_{ij})_{t \times t} +_{\text{nat}} (b_{ij})_{t \times t} = (a_{ij}b_{ij})_{t \times t}$. Our second result solves the FBP for the ‘rook semirings’ $(\mathcal{R}_t, +_{\text{nat}}, \cdot)$.

**Theorem 1.3** The ai-semiring $(\mathcal{R}_t, +_{\text{nat}}, \cdot)$ admits a finite identity basis if and only if $t = 1$.

**Remark 2** From the proofs in Sect. 4, it will be clear that the results of Theorems 1.2 and 1.3 hold also with the signature $\{+, \cdot, 0\}$.

We employ the usual scheme of “semantic” proofs for the absence of a finite identity basis: to prove that a given ai-semiring $\mathcal{S}$ has no identity basis involving less than any fixed number $k$ of variables (and hence, no finite identity basis), one constructs for each $k$, an ai-semiring $\mathcal{S}_k$ and an identity $w_k \approx w'_k$ of $\mathcal{S}$ such that $\mathcal{S}_k$ satisfies all identities of $\mathcal{S}$ with less than $k$ variables but refutes the identity $w_k \approx w'_k$. Our ai-semirings $\mathcal{S}_k$ are naturally semilattice-ordered inverse semigroups derived from a construction due to Kadourek [13] and our identities are inverse-free analogues of the inverse semigroup identities used in that construction. In Sects. 2 and 3 we prepare ingredients of the proof, and in Sect. 4 we put them together to prove Theorem 4.2, a general result that provides a large class of NFB ai-semirings. Theorems 1.2 and 1.3 then follow easily.

We assume the reader’s acquaintance with basic notions and results of the theory of inverse semigroups. All these can be found in the early chapters of the monographs [14, 18].

**2 The identities $v_{n,m}^{(h)} \approx (v_{n,m}^{(h)})^2$**

Here we aim to show that every finite inverse semigroup with abelian subgroups satisfies a specific identity involving only multiplication. We construct the identity by climbing up a principal series of the semigroup, and the construction will work for semigroups with finite principal series whose factors are either abelian groups of finite exponent or Brandt semigroups over such groups. Recall that a principal series of a semigroup $(S, \cdot)$ is a chain

$$S_0 \subset S_1 \subset \cdots \subset S_h = S \quad (2.1)$$
of ideals \( S_j \) of \((S, \cdot)\) such that such that there is no ideal of \((S, \cdot)\) strictly below \( S_0 \) nor strictly between \( S_{j-1} \) and \( S_j \) for \( j = 1, \ldots, h \). By the factors of the principal series (2.1) we mean the Rees quotients \((S_j/S_{j-1}, \cdot)\), \( j = 1, \ldots, h \). To keep the premises of further statements compact, the expression \((h, m)\)-semigroup is used for any semigroup \((S, \cdot)\) that has a principal series (2.1) in which \((S_0, \cdot)\) is an abelian group of exponent dividing \( m \) and each factor \((S_j/S_{j-1}, \cdot)\), \( j = 1, \ldots, h \), is a Brandt semigroup over an abelian group of exponent dividing \( m \). It is easy to see that an \((h, m)\)-semigroup is necessarily inverse.

We start our ascent from \((1, m)\)-semigroups with \( S_0 = \{0\} \), where 0 is the zero of \((S, \cdot)\). In this case, \((S, \cdot)\) is just a Brandt semigroup over an abelian group of exponent dividing \( m \). As we need some calculations in Brandt semigroups, we recall how they are defined. Let \( I \) be a non-empty set and let \( G = (G, \cdot) \) be a group. The Brandt semigroup \( B_{G, I} \) over \( G \) has \( B_{G, I} := I \times G \times I \cup \{0\} \) as its carrier set, and the multiplication in \( B_{G, I} \) is defined by

\[
(\ell_1, g_1, r_1) \cdot (\ell_2, g_2, r_2) := \begin{cases} 
(\ell_1, g_1 g_2, r_2) & \text{if } r_1 = \ell_2, \\
0 & \text{otherwise},
\end{cases}
\]

for all \( \ell_1, \ell_2, r_1, r_2 \in I \), \( g_1, g_2 \in G \),

\[
(\ell, g, r) \cdot 0 = 0 \cdot (\ell, g, r) = 0 \cdot 0 := 0
\]

for all \( \ell, r \in I \), \( g \in G \).

Now we are going to introduce a family of words \( u_{n,k,m} \) used as building blocks for our identities. For any \( i \geq 1 \), let \( X^{(1)}_i = \{x_1, x_2, \ldots, x_i\} \). Now for any \( n, k \geq 0 \) with \( n + k > 0 \) and for any \( m \geq 1 \), we define the following word over \( X^{(1)}_{n+k} \):

\[
u_{n,k,m} := x_1 x_2 \cdots x_{n+k} \left( x_{n} x_{n-1} \cdots x_1 \cdot x_{n+1} x_{n+2} \cdots x_{n+k} \right)^{2m-1}.
\]

For clarity we display the words \( u_{n,k,m} \) for \( k = 0, 1 \) and for \( n = 0, 1 \):

\[
u_{n,0,m} = x_1 x_2 \cdots x_n \left( x_n x_{n-1} \cdots x_1 \right)^{2m-1}, \quad (2.2)
\]

\[
u_{n,1,m} = x_1 x_2 \cdots x_{n+1} \left( x_n x_{n-1} \cdots x_1 x_{n+1} \right)^{2m-1}, \quad (2.3)
\]

\[
u_{0,k,m} = \left( x_1 x_2 \cdots x_k \right)^{2m}, \quad (2.4)
\]

\[
u_{1,k,m} = \left( x_1 x_2 \cdots x_{k+1} \right)^{2m}. \quad (2.5)
\]

The next result could have been deduced from a known characterization of semigroup identities of Brandt semigroups [16]; see also [22], but we verify it by a direct computation.

**Lemma 2.1** For any non-empty set \( I \) and any abelian group \( G = (G, \cdot) \) of exponent dividing \( m \), the semigroup \( B_{G, I} \) satisfies all identities \( u_{n,k,m} \approx u_{n,k,m}^2 \) with \( n, k \geq 0 \) and \( n + k > 0 \).

**Proof** If \( n \in \{0, 1\} \), the claim holds because \( u_{n,k,m} \) is of the form either (2.4) or (2.5) and it is known (and easy to verify) that \( B_{G, I} \) satisfies the identity \( x^2 \approx x^{2+m} \).
Let \( n \geq 2 \) and consider an arbitrary substitution \( \tau : X_{n+k}^{(1)} \rightarrow B_{G, I} \). We aim to show that \( \tau(u_{n,k,m}) \) is an idempotent. If \( \tau(u_{n,k,m}) = 0 \), there is nothing to prove. Thus, for the rest of the proof we assume that \( \tau(u_{n,k,m}) \neq 0 \). Then all values of the substitution \( \tau \) lie in the set \( I \times G \times I \) of non-zero elements of \( B_{G, I} \). For each \( i \in \{1, 2, \ldots, n+k\} \), let \( \tau(x_i) = (\ell_i, g_i, r_i) \), where \( \ell_i, r_i \in I \) and \( g_i \in G \). Denote by \( e \) the identity element of the group \( G \).

First, consider the case \( k = 0 \). If \( \tau(u_{n,0,m}) \neq 0 \), then due to (2.2), we have

\[
\tau(u_{n,0,m}) = (\ell_1, g_1^{2m} g_2^{2m} \cdots g_n^{2m}, r_1)
\]

since \( G \) is abelian, and \( r \) is the exponent of \( G \) divides \( m \).

We verify that \( \ell_j = r_j \) for all \( j = n, n-1, \ldots, 1 \) by backwards induction. Since \( x_n^2 \) occurs as a factor in the word \( u_{n,0,m} \), we must have \( \tau(x_n^2) \neq 0 \), whence \( \ell_n = r_n \). If \( j > 1 \), both \( x_{j-1}x_j \) and \( x_jx_{j-1} \) occur as factors in \( u_{n,0,m} \). We then have

\[
\begin{aligned}
r_{j-1} &= \ell_j & &\text{since } \tau(x_{j-1}x_j) \neq 0, \\
&= r_j & &\text{by the induction assumption,} \\
&= \ell_{j-1} & &\text{since } \tau(x_jx_{j-1}) \neq 0.
\end{aligned}
\]

Since \( \ell_1 = r_1 \), we have \((\ell_1, e, r_1)^2 = (\ell_1, e, r_1)\), that is, \( \tau(u_{n,0,m}) \) is an idempotent.

Now consider the case \( k = 1 \). If \( \tau(u_{n,1,m}) \neq 0 \), then due to (2.3), we have

\[
\tau(u_{n,1,m}) = (\ell_1, g_1^{2m} g_2^{2m} \cdots g_n^{2m}, r_{n+1})
\]

since \( G \) is abelian, and \( r \) is the exponent of \( G \) divides \( m \).

Since \( \tau(x_1x_2 \cdots x_{n+1}x_n) \neq 0 \), we have

\[
r_1 = \ell_2, r_2 = \ell_3, \ldots, r_{n-1} = \ell_n, r_n = \ell_{n+1}, r_{n+1} = \ell_{n}.
\]

Since \( \tau(x_nx_{n-1} \cdots x_1x_{n+1}) \neq 0 \), we also have

\[
r_n = \ell_{n-1}, r_{n-1} = \ell_{n-2}, \ldots, r_2 = \ell_1, r_1 = \ell_{n+1}.
\]

Therefore, we obtain

\[
\begin{aligned}
r_{n+1} &= \ell_n = r_{n-1} = \ell_{n-2} = r_{n-3} = \cdots = \ell_1 & &\text{if } n \text{ is odd,} \\
&= r_1 & &\text{if } n \text{ is even.}
\end{aligned}
\]

Besides that, if \( n \) is even, then

\[
r_1 = \ell_{n+1} = r_n = \ell_{n-1} = r_{n-2} = \ell_{n-3} = \cdots = \ell_1.
\]

We see that \( r_{n+1} = \ell_1 \) in either case. Therefore, \((\ell_1, e, r_{n+1})^2 = (\ell_1, e, r_{n+1})\), that is, \( \tau(u_{n,1,m}) \) is an idempotent.

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Finally, substituting the word \( x_{n+1} x_{n+2} \cdots x_{n+k} \) for the variable \( x_{n+1} \) in \( u_{n,1,m} \approx u_{n+1,1,m}^2 \) yields the identity \( u_{n,k,m} \approx u_{n,k,m}^2 \). Hence the latter identity also holds in \( \mathcal{B}_{G,1} \).

For any \( n, m \geq 1 \), let

\[
v^{(1)}_{n,m} := u_{n,n,m} = x_1 x_2 \cdots x_{2m} \left( x_n x_{n-1} \cdots x_1 x_{n+1} x_{n+2} \cdots x_{2n} \right)^{2m-1}.
\]

(2.6)

Observe that in \( v^{(1)}_{n,m} \), each variable occurs \( 2m \) times.

For any semigroup \((S, \cdot)\), let \( E(S) \) stand for the set of all its idempotents. Recall that if the semigroup is inverse, then the set \( E(S) \) is closed under multiplication.

**Lemma 2.2** Let \((S, \cdot)\) be an \((h, m)\)-semigroup and (2.1) its principal series. If \( S_0 = \{0\} \) and \( S = E(S) \cup S_1 \), then \((S, \cdot)\) satisfies the identity \( v^{(1)}_{n,m} \approx \left( v^{(1)}_{n,m} \right)^2 \).

**Proof** Since \( S_0 = \{0\} \), the semigroup \((S_1, \cdot)\) is the Brandt semigroup \( \mathcal{B}_{G,1} \) for some abelian group \( \mathcal{G} = (G, \cdot) \) of exponent dividing \( m \) and some non-empty set \( I \). Clearly, each non-zero idempotent in \( \mathcal{B}_{G,1} \) is of the form \((i, e, i)\) where \( i \in I \) and \( e \) is the identity element of the group \( \mathcal{G} \). For any idempotent \( f \in E(S) \), the product \( f(i, e, i) \) is an idempotent in \( \mathcal{B}_{G,1} \). If this product is not \( 0 \), then \( f(i, e, i) = (j, e, j) \) for some \( j \in I \). Multiplying the equality through by \((j, e, j)\) on the right yields \( f(i, e, i)(j, e, j) = (j, e, j) \) whence \( j = i \). We conclude that for all \( f \in E(S) \) and \( i \in I \), either \( f(i, e, i) = (i, e, i) \) or \( f(i, e, i) = 0 \). Now take an arbitrary element \( d = (\ell, g, r) \in I \times G \times I \). Then \( d = (\ell, e, \ell) \) whence \( df = d = f(\ell, e, \ell)d \) for any idempotent \( f \in E(S) \). We see that either \( df = d \) or \( df = 0 \), and dually, either \( df = d \) or \( df = 0 \).

To prove the lemma, we have to verify that \( \tau(v^{(1)}_{n,m}) \) is an idempotent for an arbitrary substitution \( \tau: X_{2n}^{(1)} \to S \). If \( \tau(x_k) \in E(S) \) for all \( k \in \{1, 2, \ldots, 2n\} \), then \( \tau(v^{(1)}_{n,m}) \in E(S) \) because \( E(S) \) is closed under multiplication. Otherwise let \( \{k_1, k_2, \ldots, k_{p+q}\} \) with

\[
1 \leq k_1 < k_2 < \cdots < k_p < n < k_{p+1} < k_{p+2} < \cdots < k_{p+q} \leq 2n
\]

be the set of all indices \( k \) such that \( \tau(x_k) \notin E(S) \). (Here \( p = 0 \) or \( q = 0 \) is possible but \( p + q > 0 \).) Since \( S = E(S) \cup S_1 \), we have \( \tau(x_{k_1}), \tau(x_{k_2}), \ldots, \tau(x_{k_{p+q}}) \in S_1 \).

The argument in the preceding paragraph implies that either \( \tau(v^{(1)}_{n,m}) = 0 \) or removing all \( \tau(x_k) \) such that \( \tau(x_k) \in E(S) \) does not change the value of \( \tau(v^{(1)}_{n,m}) \). In the former case, the claim holds, and in the latter case, consider the substitution \( \tau': X_{p+q}^{(1)} \to S_1 \) given by \( \tau'(x_t) := \tau(x_{k_t}) \) for all \( t \in \{1, 2, \ldots, p + q\} \). Then \( \tau(v^{(1)}_{n,m}) = \tau'(u_{p+q,m}) \).

By Lemma 2.1 the Brandt semigroup \((S_1, \cdot)\) satisfies \( u_{p,q,m} \approx u_{p,q,m}^2 \) whence \( \tau(v^{(1)}_{n,m}) \) is an idempotent also in this case.

We proceed with constructing identities holding in arbitrary \((h, m)\)-semigroups. For any \( i, h \geq 1 \), let

\[
X_i^{(h)} := \{x_{i_1 i_2 \ldots i_h} \mid i_1, i_2, \ldots, i_h \in \{1, 2, \ldots, i\}\}.
\]
For any $n, m, h \geq 1$, we introduce words $v_{n,m}^{(h)}$ over $X_{2n}^{(h)}$ by induction on $h$. The word $v_{n,m}^{(1)}$ over $X_{2n}^{(1)}$ has been defined in (2.6). Then, assuming that $h > 1$ and the word $v_{n,m}^{(h-1)}$ over $X_{2n}^{(h-1)}$ has already been defined, we create $2n$ copies of this word over the alphabet $X_{2n}^{(h)}$ as follows. We start by taking for every $j \in \{1, 2, \ldots, 2n\}$, the substitution

$$\sigma_{2n,j}^{(h)} : X_{2n}^{(h-1)} \rightarrow X_{2n}^{(h)}$$

that appends $j$ to the indices of its arguments, that is,

$$\sigma_{2n,j}^{(h)}(x_{i_1i_2\ldots i_{h-1}}) := x_{i_1i_2\ldots i_{h-1}j} \text{ for all } i_1, i_2, \ldots, i_{h-1} \in \{1, 2, \ldots, 2n\}.$$

Then we let $v_{n,m,j}^{(h-1)} := \sigma_{2n,j}^{(h)}(v_{n,m}^{(h-1)})$ and define

$$v_{n,m}^{(h)} := v_{n,m,1}^{(h-1)} \cdots v_{n,m,2n}^{(h-1)} \cdot v_{n,m,1}^{(h-1)} \cdot v_{n,m,1}^{(h-1)} \cdots v_{n,m,2n}^{(h-1)}^{2m-1}. \tag{2.7}$$

Comparing the definitions (2.6) and (2.7), one readily sees that the word $v_{n,m}^{(h)}$ is nothing but the image of $v_{n,m}^{(1)}$ under the substitution $x_j \mapsto v_{n,m,j}^{(h-1)}$, $j \in \{1, 2, \ldots, 2n\}$.

**Proposition 2.3** Let $(S, \cdot)$ be an $(h, m)$-semigroup with principal series (2.1) and $S_0 = \{0\}$. For any $n \geq 2$, $(S, \cdot)$ satisfies the identity

$$v_{n,m}^{(h)} \approx (v_{n,m}^{(h)})^2. \tag{2.8}$$

**Proof** We induct on $h$. If $h = 1$, then $(S, \cdot)$ is a Brandt semigroup over an abelian group of exponent dividing $m$. Lemma 2.1 implies that $v_{n,m}^{(1)} \approx (v_{n,m}^{(1)})^2$ holds in $(S, \cdot)$ for all $n \geq 2$.

Let $h > 1$. The Rees quotient $(S/S_1, \cdot)$ is an $(h-1, m)$-semigroup whose principal series starts with the zero term. By the induction assumption, $(S/S_1, \cdot)$ satisfies the identity $v_{n,m}^{(h-1)} \approx (v_{n,m}^{(h-1)})^2$ for any $n \geq 2$. This readily implies that any substitution $X_{2n}^{(h-1)} \rightarrow S$ sends the word $v_{n,m}^{(h-1)}$ to either an idempotent in $S \setminus S_1$ or an element in $S_1$. By the construction, all words of the form $v_{n,m,j}^{(h-1)}$ are obtained from the word $v_{n,m}^{(h-1)}$ by renaming its variables. Therefore, for every substitution $\tau : X_{2n}^{(h)} \rightarrow S$, the elements $\tau(v_{n,m,1}^{(h-1)}), \tau(v_{n,m,2}^{(h-1)}), \ldots, \tau(v_{n,m,2n}^{(h-1)})$ lie in either $E(S)$ or $S_1$. The subsemigroup $(E(S) \cup S_1, \cdot)$ satisfies the identity $v_{n,m}^{(1)} \approx (v_{n,m}^{(1)})^2$ by Lemma 2.2. This, together with the observation made after the equality (2.7), implies that $\tau(v_{n,m}^{(h)}) = \tau((v_{n,m}^{(h)})^2).$ Since the substitution $\tau$ is arbitrary, the semigroup $(S, \cdot)$ satisfies (2.8). \qed

Now we remove the restriction $S_0 = \{0\}$.

**Proposition 2.4** The identity $v_{n,m}^{(h+1)} \approx (v_{n,m}^{(h+1)})^2$ with $n \geq 2$ holds in each $(h, m)$-semigroup.

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Proof Let \((S, \cdot)\) be an \((h, m)\)-semigroup with principal series \((2.1)\). Consider the Rees quotient \(\langle S/S_0, \cdot \rangle\). This is an \((h, m)\)-semigroup whose principal series starts with the zero term. By Proposition 2.3, the semigroup \(\langle S/S_0, \cdot \rangle\) satisfies \((2.8)\). Since \(v_{n,m,j}^{(h)} = \sigma_{2n,j}(v_{n,m}^{(h)})\) for all \(j = 1, 2, \ldots, 2n\), this implies that for every substitution \(\tau: X_{2n}^{(h+1)} \to S\), the element \(\tau(v_{n,m,j}^{(h)})\) represents an idempotent of \(\langle S/S_0, \cdot \rangle\). Therefore, \(\tau(v_{n,m,j}^{(h)})\) lies in either \(E(S) \setminus S_0\) or \(S_0\). Substituting \(h+1\) for \(h\) in \((2.7)\), we see that

\[
v_{n,m}^{(h+1)} = v_{n,m,1}^{(h)} \cdots v_{n,m,2n}^{(h)} (v_{n,m,n}^{(h)} \cdots v_{n,m,n+1}^{(h)} \cdots v_{n,m,2n}^{(h)})^{2m-1}.
\]

Recall that by the definition of an \((h, m)\)-semigroup, \((S_0, \cdot)\) is an abelian group of exponent dividing \(m\). Denote by \(e\) the identity element of the group. For each \(f \in E(S)\), the product \(fe\) is an idempotent in \(S_0\) whence \(fe = e\) since a group has no idempotent except its identity element. Consequently, for every \(g \in S_0\), we have \(fg = feg = eg = g\). Dually, \(gf = g\) for all \(g \in S_0\), \(f \in E(S)\). Now consider the substitution \(\overline{\tau}: X_{2n}^{(1)} \to S_0\) defined by

\[
\overline{\tau}(x_j) := \begin{cases} \tau(v_{n,m,j}^{(h)}) & \text{if } \tau(v_{n,m,j}^{(h)}) \text{ belongs to } S_0, \\ e & \text{otherwise,} \end{cases} \quad \text{for each } j \in \{1, 2, \ldots, 2n\}.
\]

As we know that \(\tau(v_{n,m,j}^{(h)}) \in E(S) \cup S_0\) for all \(j \in \{1, 2, \ldots, 2n\}\) and \(fg = feg = g\) for all \(g \in S_0\), \(f \in E(S)\), we conclude that \(\tau(v_{n,m}^{(h+1)}) = \overline{\tau}(v_{n,m}^{(1)})\). Since \((S_0, \cdot)\) is an abelian group of exponent dividing \(m\), it satisfies the identity \(v_{n,m}^{(1)} \approx 1\). Hence \(\tau(v_{n,m}^{(h+1)}) = \overline{\tau}(v_{n,m}^{(1)}) = e\). Since the substitution \(\tau\) is arbitrary, \((S, \cdot)\) satisfies the identity \(v_{n,m}^{(h+1)} \approx (v_{n,m}^{(h+1)})^2\).

We conclude this section with proving that the rook monoids \(\mathcal{R}_2\) and \(\mathcal{R}_3\) satisfy certain identities of the form \((2.8)\).

**Proposition 2.5** (1) The rook monoid \(\mathcal{R}_2\) satisfies the identity \(v_{n,2}^{(2)} \approx (v_{n,2}^{(2)})^2\) for any \(n \geq 2\).

(2) The rook monoid \(\mathcal{R}_3\) satisfies the identity \(v_{n,6}^{(4)} \approx (v_{n,6}^{(4)})^2\) for any \(n \geq 2\).

**Proof** The rook monoid \(\mathcal{R}_t\) has a principal series

\[
\{0\} = I_0 \subset I_1 \subset \cdots \subset I_t = R_t
\]

such that for each \(k = 1, \ldots, s\), the Rees factor \((I_k/I_{k-1}, \cdot)\) is a Brandt semigroup over the symmetric group \(\Sym_k\), that is, the group of all permutations of \(k\) symbols; see, e.g., [17, Section 2]. Since \(\Sym_1\) is trivial and \(\Sym_2\) consists of two elements, we see that \(\mathcal{R}_2\) is a \((2,2)\)-semigroup. Hence Proposition 2.3 applies, yielding that \(v_{n,2}^{(2)} \approx (v_{n,2}^{(2)})^2\) holds in \(\mathcal{R}_2\) for all \(n \geq 2\).
The group Sym_3 is non-abelian, and therefore, Proposition 2.3 does not apply to \( \mathcal{R}_3 \). However, it does apply to the subsemigroup \( \mathcal{R}_3' := (R_3', \cdot, -1) \) where the set \( R_3' \) is obtained from \( R_3 \) by removing the three transposition matrices

\[
\begin{pmatrix}
0 & 1 & 0 \\
1 & 0 & 0 \\
0 & 0 & 1 \\
\end{pmatrix}, \quad
\begin{pmatrix}
0 & 0 & 1 \\
0 & 1 & 0 \\
1 & 0 & 0 \\
\end{pmatrix}, \quad
\begin{pmatrix}
1 & 0 & 0 \\
0 & 0 & 1 \\
0 & 1 & 0 \\
\end{pmatrix}.
\)

The subsemigroup \( \mathcal{R}_3' \) has the principal series \( \{0\} = I_0 \subset I_1 \subset I_2 \subset I_3' = R_3' \). All subgroups of \( \mathcal{R}_3' \) have one, two, or three elements, and so, they all are abelian of exponent dividing 6. By Proposition 2.3 \( \mathcal{R}_3' \) satisfies the identity \( v_{n,6}^{(3)} ≈ (v_{n,6}^{(3)})^2 \).

It is easy to see that the word \( v_{n,6}^{(4)} \) is the image of the word \( v_{n,6}^{(3)} \) under a substitution \( \zeta \) that sends every variable from \( X_{2n}^{(3)} \) to a word obtained from \( v_{n,6}^{(1)} \) by renaming its variables. Indeed, in terms of the substitutions \( \sigma_{2n,j}^{(h)} \) used in the definition of the words \( v_{n,m}^{(h)} \), one can express \( \zeta \) as follows:

\[
\zeta(x_{i_1i_2i_3}) := \sigma_{2n,i_1}^{(4)}(\sigma_{2n,i_2}^{(3)}(\sigma_{2n,i_3}^{(2)}(v_{n,6}^{(1)))))) \quad \text{for all}\ i_1, i_2, i_3 \in \{1, 2, \ldots, 2n\}.
\]

Simply put, \( \zeta(x_{i_1i_2i_3}) \) is obtained by appending \( i_1i_2i_3 \) to the indices of all variables of \( v_{n,6}^{(1)} \).

Take an arbitrary substitution \( \tau : X_{2n}^{(4)} \to R_3 \). For any fixed \( i_1, i_2, i_3 \in \{1, 2, \ldots, 2n\} \), consider the substitution \( \tau_{i_1i_2i_3} : X_{2n}^{(1)} \to R_3 \) induced by \( \tau \) via the rule \( \tau_{i_1i_2i_3}(x_j) := \tau(x_{ji_1i_2i_3}) \) for all \( j \in \{1, 2, \ldots, 2n\} \). Then we have

\[
\tau(\zeta(x_{i_1i_2i_3})) = \tau_{i_1i_2i_3}(v_{n,6}^{(1)}) \quad \text{for all}\ i_1, i_2, i_3 \in \{1, 2, \ldots, 2n\}.
\]

Thus, evaluating \( \tau \) at the word \( v_{n,6}^{(4)} = \zeta(v_{n,6}^{(3)}) \) produces the same result as substitution of the elements \( \tau_{i_1i_2i_3}(v_{n,6}^{(1)}) \) for the variables \( x_{i_1i_2i_3} \) into the word \( v_{n,6}^{(3)} \). If \( \tau_{i_1i_2i_3}(v_{n,6}^{(1)}) \in R_3' \) for all substitutions \( \tau_{i_1i_2i_3} \), we can use the fact that \( \mathcal{R}_3' \) satisfies the identity \( v_{n,6}^{(3)} ≈ (v_{n,6}^{(3)})^2 \) as registered above and conclude that \( \tau(v_{n,6}^{(4)}) = \tau((v_{n,6}^{(4)})^2) \).

We see that it remains to show that \( \rho(v_{n,6}^{(1)}) \in R_3' \) for every substitution \( \rho : X_{2n}^{(1)} \to R_3 \). If \( \rho(x_j) \in I_2 \) for some \( j \), then \( \rho(v_{n,6}^{(1)}) \in I_2 \subset R_3' \) since \( I_2 \) is an ideal in \( \mathcal{R}_3 \). Assume that \( \rho(x_j) \notin I_2 \) for all \( j \in \{1, 2, \ldots, 2n\} \). The set \( R_3 \setminus I_2 \) consists of six permutation zero-one 3 × 3-matrices of which the three transposition matrices in (2.9) have determinant −1 while the three other matrices have determinant 1 and belong to \( R_3' \). Since each variable occurs in \( v_{n,6}^{(1)} \) an even number of times, \( \rho(v_{n,6}^{(1)}) \) is a product of matrices with determinant ±1 that has an even number of factors with determinant −1. Hence, \( \rho(v_{n,6}^{(1)}) \) a permutation matrix with determinant 1, and therefore, \( \rho(v_{n,6}^{(1)}) \in R_3' \).

\[\square\]
3 The semigroups $S_n^{(h)}$

We make use of a family of inverse semigroups constructed by Kadourek in [13, Section 2]. For the reader’s convenience we reproduce Kadourek’s construction here.

First, for all $n, h \geq 1$, define terms $w_n^{(h)}$ of the signature $(\cdot, -1)$ over the alphabet $X_n^{(h)} = \{x_{i_1i_2...i_h} | i_1, i_2, \ldots, i_h \in \{1, 2, \ldots, n\}\}$ by induction on $h$. Put

$$w_n^{(1)} := x_1x_2 \cdots x_n x_{n}^{-1} x_{n-1}^{-1} \cdots x_1^{-1}.$$ 

Then, assuming that, for any $h > 1$, the unary term $w_n^{(h-1)}$ over the alphabet $X_n^{(h-1)}$ has already been defined, we create $n$ copies of this term over the alphabet $X_n^{(h)}$ as follows. For every $j \in \{1, 2, \ldots, n\}$, we put

$$w_n^{(h-1)} := \sigma_{n,j}^{(h)}(w_n^{(h-1)}),$$

where the substitution $\sigma_{n,j}^{(h)} : X_n^{(h-1)} \rightarrow X_n^{(h)}$ appends $j$ to the indices of its arguments, i.e.,

$$\sigma_{n,j}^{(h)}(x_{i_1i_2...i_{h-1}}) := x_{i_1i_2...i_{h-1}j} \text{ for all } i_1, i_2, \ldots, i_{h-1} \in \{1, 2, \ldots, n\}.$$ 

Then we put

$$w_n^{(h)} := w_n^{(h-1)}w_n^{(h-1)} \cdots w_n^{(h-1)}w_n^{(h-1)}w_n^{(h-1)} - 1(w_n^{(h-1)} - 1) \cdots (w_n^{(h-1)} - 1).$$

Define the length of unary terms over $X_n^{(h)}$ by letting

$$|x_{i_1i_2...i_h}| := 1 \text{ for } x_{i_1i_2...i_h} \in X_n^{(h)} \text{ and } |ww'| := |w| + |w'|,$$

then one has $|w_n^{(h)}| = 2^hn_h$. Also observe that for every $i_1, i_2, \ldots, i_h \in \{1, 2, \ldots, n\}$, the term $w_n^{(h)}$ has exactly $2^{h-1}$ occurrences of $x_{i_1i_2...i_h}$ and exactly $2^{h-1}$ occurrences of $x_{i_1i_2...i_h}$.

Now for any $n \geq 2$ and $h \geq 1$, let $(S_n^{(h)}, \cdot, -1)$ stand for the inverse semigroup of partial one-to-one transformations on the set $\{0, 1, \ldots, 2^hn_h\}$ generated by $n_h$ transformations $x_{i_1i_2...i_h}$ with arbitrary indices $i_1, i_2, \ldots, i_h \in \{1, 2, \ldots, n\}$ defined as follows:

- $x_{i_1i_2...i_h}(q - 1) = q$ if and only if the element on the $q$th position in $w_n^{(h)}$ from the left is $x_{i_1i_2...i_h}$;
- $x_{i_1i_2...i_h}(q) = q - 1$ if and only if the element on the $q$th position in $w_n^{(h)}$ from the left is $x_{i_1i_2...i_h}^{-1}$.

Clearly, $(S_n^{(h)}, \cdot, -1)$ is finite (as a collection of transformations on a finite set) and has 0 (the nowhere defined transformation).
The generators of the inverse semigroup \((S_2^{(2)}, \cdot, -1)\) is shown in Figure 1.

For an illustration, consider the case \(n = 2, h = 2\). Then

\[
W_2^{(2)} = \frac{w_{2,1}^{(1)} x_{11} x_{21} x_{11}^{-1} x_{21}^{-1}}{w_{2,2}^{(1)}} \frac{x_{12} x_{22} x_{12}^{-1} x_{22}^{-1}}{x_{21} x_{11} x_{21}^{-1} x_{11}^{-1}} \frac{x_{22} x_{12} x_{22}^{-1} x_{12}^{-1}}{w_{2,1}^{(1)}-1} \frac{w_{2,2}^{(1)}-1}{w_{2,2}^{(1)}}.
\]

The action of the generators of the inverse semigroup \((S_2^{(2)}, \cdot, -1)\) is shown in Figure 1.

Observe that the partial transformation that one gets from the term \(W_2^{(2)}\) by evaluating each variable \(x_{ij}\) at the transformation \(\chi_{ij}^{-1}\) maps 16 to 0 and is undefined elsewhere.

We need two properties of the inverse semigroups \((S_n^{(h)}, \cdot, -1)\). The first one was deduced in [13] from an effective membership test for the inverse semigroup variety generated by the 6-element Brandt monoid \((B_2^1, \cdot, -1)\) that had been devised in [12].

**Proposition 3.1** [13, Corollary 3.2] Let \(n \geq 2\) and \(h \geq 1\). Any inverse subsemigroup of \((S_n^{(h)}, \cdot, -1)\) generated by less than \(n\) elements satisfies all identities of the 6-element Brandt monoid \((B_2^1, \cdot, -1)\).

Since \((B_2^1, \cdot, -1)\) satisfies the identity \(x^2 \approx x^3\), applying Proposition 3.1 to monogenic inverse subsemigroups of \((S_n^{(h)}, \cdot, -1)\) yields the following fact:

**Corollary 3.2** The identity \(x^2 \approx x^3\) holds in \((S_n^{(h)}, \cdot, -1)\) for all \(n \geq 2\) and \(h \geq 1\).

The second property of the inverse semigroup \((S_n^{(h)}, \cdot, -1)\) we need deals with its multiplicative reduct \((S_n^{(h)}, \cdot)\) and appears to be new.

**Proposition 3.3** Let \(n \geq 2\) and \(m \geq 1\). The semigroup \((S_n^{(h)}, \cdot)\) violates the identity (2.8).

**Proof** For any alphabet \(X\), let \(\overline{X}\) stand for the union of \(X\) with the set \(\{x^{-1} \mid x \in X\}\) of formal inverses of variables in \(X\). We construct substitutions \(\psi_n^{(h)}\) and \(\psi_n^{(h)}\) from \(X_n^{(h)}\) onto \(\overline{X}_n^{(h)}\) such that \(\varphi_n^{(h)}(\psi_n^{(h)}(v_{n,m})) \approx W_n^{(h)}\) and \(\psi_n^{(h)}(v_{n,m}) \approx (W_n^{(h)})^{-1}\) in every inverse semigroup.

We induct on \(h\). If \(h = 1\), we let

**Editors Note:**

\[
\varphi_n^{(1)}(x_i) := \begin{cases} x_i & \text{if } 1 \leq i \leq n, \\ x_i^{-1} & \text{if } n + 1 \leq i \leq 2n, \end{cases} \quad \text{and} \quad \psi_n^{(1)}(x_i) := \begin{cases} x_{n+1-i} & \text{if } 1 \leq i \leq n, \\ x_{2n+1-i}^{-1} & \text{if } n + 1 \leq i \leq 2n. \end{cases}
\]
Then
\[
\varphi_n^{(1)}(v_{n,m}) = x_1 x_2 \cdots x_n x_1^{-1} x_2^{-1} \cdots x_n^{-1} (x_n x_{n-1}^{-1} \cdots x_1^{-1})^{2m-1}.
\]

Since inverse semigroups satisfy \((x_n x_{n-1} \cdots x_1)^{-1} \approx x_1^{-1} x_2^{-1} \cdots x_n^{-1}\) and \(x^{-1} x x^{-1} \approx x^{-1}\), we conclude that \(\varphi_n^{(1)}(v_{n,m}) \approx x_1 x_2 \cdots x_n x_1^{-1} x_2^{-1} \cdots x_n^{-1} = w_n^{(1)}\) in every inverse semigroup. Similarly, we get that in every inverse semigroup,
\[
\psi_n^{(1)}(v_{n,m}) = x_n x_{n-1} \cdots x_1 x_n^{-1} x_{n-1}^{-1} \cdots x_1^{-1} (x_1 x_2 \cdots x_n x_{n-1}^{-1} x_n^{-1})^{2m-1}
\]
\[
\approx x_n x_{n-1} \cdots x_1 x_n^{-1} x_{n-1}^{-1} \cdots x_1^{-1} = (w_n^{(h)})^{-1}.
\]

Let \(h > 1\). By the induction assumption, there are substitutions \(\varphi_n^{(h-1)}\) and \(\psi_n^{(h-1)}\) from \(X_n^{(h-1)}\) onto \(\overline{X}_n^{(h-1)}\) such that all inverse semigroups satisfy \(\varphi_n^{(h-1)}(v_{n,m}) \approx w_n^{(h-1)}\) and \(\psi_n^{(h-1)}(v_{n,m}) \approx (w_n^{(h-1)})^{-1}\). For each \((h - 1)\)-tuple \((i_1, i_2, \ldots, i_{h-1})\) with \(i_1, i_2, \ldots, i_{h-1} \in \{1, 2, \ldots, 2n\}\), we can write
\[
\varphi_n^{(h-1)}(x_{i_1 i_2 \cdots i_{h-1}}) = x_{\alpha_1 \alpha_2 \cdots \alpha_{h-1}}^\delta,
\]
\[
\psi_n^{(h-1)}(x_{i_1 i_2 \cdots i_{h-1}}) = x_{\beta_1 \beta_2 \cdots \beta_{h-1}}^\varepsilon.
\]

where \(\delta, \varepsilon \in \{1, -1\}\) and \(\alpha_1, \alpha_2, \ldots, \alpha_{h-1}, \beta_1, \beta_2, \ldots, \beta_{h-1} \in \{1, 2, \ldots, n\}\) are uniquely determined by \((i_1, i_2, \ldots, i_{h-1})\). Now we define the substitutions \(\varphi_n^{(h)}\) and \(\psi_n^{(h)}\) from \(X_n^{(h)}\) onto \(\overline{X}_n^{(h)}\) as follows: for all \(i_1, i_2, \ldots, i_{h-1}, i_h \in \{1, 2, \ldots, 2n\}\,
\[
\varphi_n^{(h)}(x_{i_1 i_2 \cdots i_{h-1} i_h}) := \begin{cases} x_{\alpha_1 \alpha_2 \cdots \alpha_{h-1} i_h}^\delta & \text{if } 1 \leq i_h \leq n, \\ x_{\beta_1 \beta_2 \cdots \beta_{h-1} (i_h-n)}^\varepsilon & \text{if } n + 1 \leq i_h \leq 2n, \end{cases}
\]
\[
\psi_n^{(h)}(x_{i_1 i_2 \cdots i_{h-1} i_h}) := \begin{cases} x_{\alpha_1 \alpha_2 \cdots \alpha_{h-1} (n+1-i_h)}^\delta & \text{if } 1 \leq i_h \leq n, \\ x_{\beta_1 \beta_2 \cdots \beta_{h-1} (2n+1-i_h)}^\varepsilon & \text{if } n + 1 \leq i_h \leq 2n. \end{cases}
\]

where \(\delta, \varepsilon \in \{1, -1\}\) and \(\alpha_1, \alpha_2, \ldots, \alpha_{h-1}, \beta_1, \beta_2, \ldots, \beta_{h-1} \in \{1, 2, \ldots, n\}\) are determined by (3.1) and (3.2). Recall that the words \(v_{n,i}^{(h)}\) and the terms \(w_{n,i}^{(h-1)}\) are obtained by appending \(i\) to the indices of all variables occurring in respectively \(v_{n,i}^{(h-1)}\) and \(w_{n,i}^{(h-1)}\). Therefore, (3.3) and (3.4) ensure that for each \(i \in \{1, 2, \ldots, n\}\), the identities \(\varphi_n^{(h-1)}(v_{n,m,i}) \approx w_{n,i}^{(h-1)}\) and \(\psi_n^{(h-1)}(v_{n,m,i}) \approx (w_{n,i}^{(h-1)})^{-1}\) imply the identities
\[
\varphi_n^{(h)}(v_{n,m,i}) \approx w_{n,i}^{(h)} \quad \text{and} \quad \psi_n^{(h)}(v_{n,m,n+i}) \approx (w_{n,i}^{(h-1)})^{-1}.
\]
Using these, we see that in every inverse semigroup,

\[
\psi_n^{(h)}(v_{n,m}^{(h)}) \approx \left( \prod_{i=1}^{n} w_{n,i}^{(h-1)} \right) \left( \prod_{i=1}^{n} (w_{n,i}^{(h-1)})^{-1} \right) \left( \prod_{i=1}^{n} w_{n,n-1}^{(h-1)} \right) \left( \prod_{i=1}^{n} (w_{n,i}^{(h-1)})^{-1} \right) 2^{m-1}
\]

\[
\approx \left( \prod_{i=1}^{n} w_{n,i}^{(h-1)} \right) \left( \prod_{i=1}^{n} (w_{n,i}^{(h-1)})^{-1} \right) \left( \prod_{i=1}^{n} w_{n,n-1}^{(h-1)} \right) \left( \prod_{i=1}^{n} (w_{n,i}^{(h-1)})^{-1} \right) \approx w_n^{(h)}.
\]

Similarly, \( \psi_n^{(h)}(v_{n,m}^{(h)}) \approx (w_n^{(h)})^{-1} \) in every inverse semigroup.

It follows that if an inverse semigroup \( (S, \cdot, -1) \) satisfies the identity \( v_{n,m}^{(h)} \approx (v_{n,m}^{(h)})^2 \) then it also satisfies the identity \( w_n^{(h)} \approx (w_n^{(h)})^2 \). However, it is easy to see (and is mentioned in [13, proof of Theorem 5.1]) that the inverse semigroup \( (S_n^{(h)}, \cdot, -1) \) does not satisfy the identity \( w_n^{(h)} \approx (w_n^{(h)})^2 \). Indeed, if \( \xi : X_n^{(h)} \to S_n^{(h)} \) is defined by \( \xi(x_{i_1 i_2 \ldots i_k}) := x_{i_1 i_2 \ldots i_k}^{-1} \), the transformation \( \xi(w_n^{(h)}) \) maps \( 2^h n^h \) to 0 while the transformation \( \xi((w_n^{(h)})^2) \) is nowhere defined. Therefore, the identity \( v_{n,m}^{(h)} \approx (v_{n,m}^{(h)})^2 \) fails in \( (S_n^{(h)}, \cdot) \).

4 Proofs of main results

We need an observation from [25]. Here it is stated in the notation of the present paper.

**Lemma 4.1** [25, Lemma 2.1] If an inverse semigroup \( (S, \cdot, -1) \) satisfies for some \( p \), the identity \( x^p \approx x^{p+1} \), then \( (S, \leq_{nat}) \) is an inf-semilattice and \( x +_{nat} y = (xy^{-1})^p x \) for all \( x, y \in S \).

**Proof** Arguing by contradiction, assume that for some \( k \) the ai-semiring \( \mathcal{S} \) has an identity basis \( \Sigma \) such that each identity in \( \Sigma \) involves less than \( k \) variables. Consider the inverse semigroup \( (S_k^{(h)}, \cdot, -1) \) from Sect. 3 where \( h \) is the parameter of the identities (2.8) that hold in the multiplicative reduct \( (S, \cdot) \) of \( \mathcal{S} \). By Corollary 3.2 \( (S_k^{(h)}, \cdot, -1) \) satisfies \( x^2 \approx x^3 \), and therefore, Lemma 4.1 implies that \( (S_k^{(h)}, +_{nat}, \cdot) \) is an ai-semiring. We claim that this ai-semiring satisfies an arbitrary identity \( u \approx v \) in \( \Sigma \).

By Lemma 4.1 we have that \( x +_{nat} y \) expresses as \( (xy^{-1})^2 x \) in \( (S_k^{(h)}, +_{nat}, \cdot) \). Therefore one can rewrite the identity \( u \approx v \) into an identity \( u' \approx v' \) in which \( u' \) and \( v' \) are \((\cdot, -1)\)-terms with the same variables as \( u \) and \( v \). Let \( x_1, x_2, \ldots, x_\ell \) be all variables that occur in \( u' \) or \( v' \). Consider an arbitrary substitution \( \tau : \{x_1, x_2, \ldots, x_\ell \} \to S_k^{(h)} \) and let \((T, \cdot, -1)\) be the inverse subsemigroup of \((S_k^{(h)}, \cdot, -1)\) generated by the elements
Since \( \ell < k \), Proposition \( 3.1 \) implies that \( (T, \cdot, -1) \) satisfies all identities of the 6-element Brandt monoid \( (B_1^6, \cdot, -1) \).

Since by the condition of the theorem, the ai-semiring \( (B_2^1, +_{\text{nat}}, \cdot) \) satisfies all identities of \( \mathcal{S} \), the identity \( u \approx v \) holds in \( (B_2^1, +_{\text{nat}}, \cdot) \). This implies that the rewritten identity \( u' \approx v' \) holds in \( (B_2^1, \cdot, -1) \). (Here we utilize the fact that \( (B_2^1, \cdot, -1) \) satisfies \( x^2 \approx x^3 \), and therefore, \( x +_{\text{nat}} y \) expresses in \( (B_2^1, \cdot, -1) \) as the same \((\cdot, -1)\)-term \((xy)^{-1}x\).) Hence the identity \( u' \approx v' \) holds also in the inverse semigroup \( (T, \cdot, -1) \), and so \( u' \) and \( v' \) take the same value under every substitution of elements of \( T \) for the variables \( x_1, \ldots, x_\ell \). In particular, \( \tau(u) = \tau(u') = \tau(v') = \tau(v) \). Since the substitution \( \tau \) is arbitrary, this proves our claim that the identity \( u \approx v \) holds in the ai-semiring \( (S^{(h)}_k, +_{\text{nat}}, \cdot) \). Since \( u \approx v \) is an arbitrary identity from the identity basis \( \Sigma \) of \( \mathcal{S} \), we see that \( (S^{(h)}_k, +_{\text{nat}}, \cdot) \) satisfies all identities of \( \mathcal{S} \). Forgetting the addition, we conclude that the multiplicative reduct \( (S^{(h)}_k, \cdot) \) of \( (S^{(h)}_k, +_{\text{nat}}, \cdot) \) satisfies all identities of the multiplicative reduct \( (S, \cdot) \) of \( \mathcal{S} \). By the condition of the theorem, \( (S, \cdot) \) satisfies the identity \( v^{(h)}_{k, m} \approx (v^{(h)}_{k, m})^2 \) for some \( m \geq 1 \), but by Proposition \( 3.3 \) this identity fails in \( (S^{(h)}_k, \cdot) \), a contradiction.

\( \square \)

**Remark 3** Since the ai-semiring \( (S^{(h)}_k, +_{\text{nat}}, \cdot) \) and \( (B_2^1, +_{\text{nat}}, \cdot) \) used in the above proof are semirings with 0, the same proof continues to work for ai-semirings with 0 treated as algebras of type \((2,2,0)\). The same conclusion applies to all corollaries of Theorem 4.2 stated below.

**Remark 4** The multiplicative reduct of the ai-semiring \( \mathcal{S} \) in Theorem 4.2 need not be an inverse semigroup, and in our follow up paper [7], we give some applications of Theorem 4.2 to ai-semirings whose multiplicative reducts are block-groups in the sense of [19]. Moreover, even if the reduct is inverse, \( \mathcal{S} \) need not be a naturally semilattice-ordered inverse semigroup. Observe that an inverse semigroup may admit more than one addition making it an ai-semiring. As a concrete example, borrowed from [25], consider the ai-semiring \( (\Sigma_7, +, \cdot) \) introduced in [3]. Here the set \( \Sigma_7 \) consists of the following Boolean \( 2 \times 2 \)-matrices:

\[
\begin{bmatrix}
1 & 1 \\
1 & 1
\end{bmatrix},
\begin{bmatrix}
1 & 0 \\
0 & 1
\end{bmatrix},
\begin{bmatrix}
1 & 0 \\
1 & 1
\end{bmatrix},
\begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix},
\begin{bmatrix}
0 & 1 \\
1 & 1
\end{bmatrix},
\begin{bmatrix}
1 & 1 \\
1 & 0
\end{bmatrix},
\begin{bmatrix}
0 & 0 \\
0 & 0
\end{bmatrix},
\]

and the operations + and \( \cdot \) are the usual addition and multiplication of Boolean matrices. The multiplicative reduct \( (\Sigma_7, \cdot) \) is easily seen to be a combinatorial inverse semigroup. Therefore, one can define the “natural” addition \( +_{\text{nat}} \) on \( \Sigma_7 \) via \((1,1)\), but the addition is quite different from the addition of Boolean matrices. Moreover, the ai-semirings \( (\Sigma_7, +, \cdot) \) and \( (\Sigma_7, +_{\text{nat}}, \cdot) \) even fail to be equationally equivalent as is witnessed, for instance, by the identity \((xy + yx)^2 \approx x^2 + y^2 \) that holds in \( (\Sigma_7, +_{\text{nat}}, \cdot) \) but not in \( (\Sigma_7, +, \cdot) \).

In general, the question of how the equational properties of two ai-semirings may relate when the ai-semirings have the same multiplicative reduct appears to be non-trivial and worth exploration. In the above example, both \( (\Sigma_7, +, \cdot) \) and \( (\Sigma_7, +_{\text{nat}}, \cdot) \) are NFB. We do not know if there exists a finite inverse semigroup \( (S, \cdot, -1) \) that admits
two additions $+_1$ and $+_2$ such that both $(S, +_1, \cdot)$ and $(S, +_2, \cdot)$ are ai-semirings, but only one of them is NFB.

It is easy to deduce Theorem 1.2 from Theorem 4.2 but in fact, our proof technique gives a more general result that we state first.

**Theorem 4.3** Let $\mathcal{I} = (S, +, \cdot)$ be a finite ai-semiring whose multiplicative reduct $(S, \cdot)$ is an inverse semigroup having only nilpotent subgroups. If the ai-semiring $(B^1_2, +_{\text{nat}}, \cdot)$ satisfies all identities of $\mathcal{I}$, then $\mathcal{I}$ admits no finite identity basis.

**Proof** If $(S, \cdot)$ contains a non-abelian nilpotent subgroup, then $\mathcal{I}$ admits no finite identity basis by [8, Theorem 6.1]. So we may assume that every subgroup of $(S, \cdot)$ is abelian. Since $\mathcal{I}$ is finite, there is some $m \geq 1$ such that the exponent of every subgroup of $(S, \cdot)$ divides $m$ and for some $h > 1$, there exists a principal series (2.1) in $(S, \cdot)$. Thus, $(S, \cdot)$ is an $(h, m)$-semigroup. By Proposition 2.4, $(S, \cdot)$ satisfies the identity $v_{n,m}^{(h+1)} = (v_{n,m}^{(h)})^2$ for all $n \geq 2$, and therefore, Theorem 4.2 applies. \[\square\]

We are ready to prove Theorem 1.2. Recall its statement: if $(B^1_2, \cdot, -_1)$ satisfies all identities of a finite combinatorial inverse semigroup $(S, \cdot, -_1)$, then the ai-semiring $(S, +_{\text{nat}}, \cdot)$ admits no finite identity basis.

**Proof of Theorem 1.2** In view of Theorem 4.3, it remains to verify that if the 6-element Brandt monoid $(B^1_2, \cdot, -_1)$ satisfies all identities of a finite combinatorial inverse semigroup $(S, \cdot, -_1)$, then the ai-semiring $(B^1_2, +_{\text{nat}}, \cdot)$ satisfies every identity of the ai-semiring $(S, +_{\text{nat}}, \cdot)$.

Since $(S, \cdot, -_1)$ is finite and combinatorial, it satisfies the identity $x^p \approx x^{p+1}$ for some $p$, and we may assume that $p \geq 2$. By Lemma 4.1 we have $x +_{\text{nat}} y = (xy^{-1})^p x$ for all $x, y \in S$. Since $(B^1_2, \cdot, -_1)$ satisfies $x^2 \approx x^3$, we may assume that $x +_{\text{nat}} y$ expresses in $(B^1_2, \cdot, -_1)$ as the same $(\cdot, -_1)$-term $(xy^{-1})^p x$. Now take any identity $u \approx v$ holding in $(S, +_{\text{nat}}, \cdot)$ and rewrite it into an identity $u' \approx v'$ in which $u'$ and $v'$ are $(\cdot, -_1)$-terms. The latter identity then holds in $(B^1_2, \cdot, -_1)$ and rewriting it back to $u \approx v$, we see that $u \approx v$ holds in $(B^1_2, +_{\text{nat}}, \cdot)$. \[\square\]

Finally, we prove Theorem 1.3. Recall that it states that the ai-semiring $(R_t, +_{\text{nat}}, \cdot)$ built from the rook monoid $\mathcal{R}_t$ admits a finite identity basis if and only if $t = 1$.

**Proof of Theorem 1.3** The rook monoid $\mathcal{R}_1$ is actually the 2-element semilattice $(Y_2, \cdot)$. We have already mentioned that the ai-semiring $(Y_2, +_{\text{nat}}, \cdot)$ is finitely based; see Remark 1.

By Proposition 2.5(1) the rook monoid $\mathcal{R}_2$ satisfies the identity $v_{n,2}^{(2)} \approx (v_{n,2}^{(2)})^2$ for any $n \geq 2$. The ai-semiring $(B^1_2, +_{\text{nat}}, \cdot)$ satisfies all identities of $(R_2, +_{\text{nat}}, \cdot)$ just because the former semiring is a subsemiring of the latter: to get the set $R_2$ of all zero-one $2 \times 2$-matrices with at most one 1 in each row and column, one only has to add the matrix $
 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$
 to the six matrices in (1.2). Thus, Theorem 4.2 applies to $(R_2, +_{\text{nat}}, \cdot)$.

By Proposition 2.5(2) the rook monoid $\mathcal{R}_3$ satisfies the identity $v_{n,6}^{(4)} \approx (v_{n,6}^{(4)})^2$ for any $n \geq 2$. Clearly, the ai-semiring $(R_2, +_{\text{nat}}, \cdot)$ embeds into $(R_3, +_{\text{nat}}, \cdot)$ whence

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(B_2, +_{\text{nat}}, \cdot) satisfies all identities of (R_3, +_{\text{nat}}, \cdot). Again, Theorem 4.2 applies to (R_3, +_{\text{nat}}, \cdot).

Finally, if \( t \geq 4 \), the rook monoid \( R_t \) has the symmetric group \( \text{Sym}_t \) as its group of units. The group \( \text{Sym}_t \) with \( t \geq 4 \) possesses non-abelian nilpotent subgroups, for instance, the dihedral group of order 8. By [8, Theorem 6.1] the ai-semiring \((R_t, +_{\text{nat}}, \cdot)\) admits no finite identity basis. \( \square \)

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