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The Effects of Nudging a Privacy Setting Suggestion Algorithm’s Outputs on User Acceptability

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Abstract: In prior work, a machine learning approach was used to develop a suggestion system for 80 privacy settings, based on a limited sample of five user preferences. Such suggestion systems may help with the user-burden of preference selection. However, such a system may also be used by a malicious provider to manipulate users’ preference selections through nudging the output of the algorithm. This paper reports an experiment with such manipulation to clarify the impact and users’ resistance of or susceptibility to such manipulation. Users are shown to be highly accepting of suggestions, even where the suggestions are random (though less so than for nudged suggestions).

Keywords: privacy protection, machine learning, recommendation, nudge effect

1. Introduction

Much of daily life and the economy of the Information Age is dependent on the collecting, processing and use of data about individuals. This creates concern by users about their privacy (misuse of data by organisations who legitimately hold the data) and security (access to the data by individuals or organisations without legitimate authorisation). Part of the response of service providers to the users’ concerns and regulations has been to define privacy policies declaring their intentions. In addition, users are often provided with some way of providing or withholding consent to usage or sharing of specific data in specific circumstances. Facebook allows users to limit who else can see the messages posted in their account. Smartphone systems such as Apple’s iOS and Google’s Android provide controls on what data installed applications may access. Using these controls, however, creates a burden on users [1]. Various systems have been proposed to provide a coherent way for users to express their preferences regarding use of their personal data, such as PDS (Personal Data Store) [2] and PPM (Privacy Policy Manager) [3]. Even though the centralisation of controls in such systems may reduce user burden, that burden remains significant.

One method of reducing this burden still further is the use of machine learning techniques to provide suggestions to users for all of their settings, based on their answers to an indicative subset. In Ref. [4] a system to provide settings for 80 options (16 types of data crossed by five types of usage) was developed using an SVM model based on 9,000 learning responses and 1,000 test responses. In prior testing of this model with users, acceptance rates of over 90% have been observed when users are asked to accept or change the model’s suggestions. However, the model has also been observed to match at only approximately 65% with unprompted answers [5]. This raises the question of how much users are prone to accepting suggestion, and whether malicious service providers could abuse this to influence users to make privacy choices which benefit the platform as opposed to being true reflections of users’ wishes [6]. Would nudging the results of the algorithm reduce the acceptance rate by users, and if so by how much? Would some users be suspicious of such nudged algorithms?

In this paper, the results of an experiment with the model from Ref. [4] is reported. Participants were split into four groups and each answered five predictor questions and then changed or accepted provided suggestions for another 75 settings. The suggestions for the four groups were: (1) Original Model; (2) Model with Suggestions Nudged to Privacy; (3) Model with Suggestions Nudged to Sharing; (4) Random Suggestions.

Participants also completed a survey about the system, giving their opinion of both the system’s accuracy and their emotional response to the suggestions.

1.1 Ethical Considerations

The goals of the experiment, to compare people’s acceptance of suggestions for privacy settings with various types of suggestion, required the use of a deception experiment. This kind of experiment is accepted practice, but must always be developed and conducted with consideration of the ethics of deceiving participants. In this case, there was no valid way to conduct the experiment without the deception. The deception itself was judged not to be harmful to the participants, since the choices are for a prototype system and not a system in actual use. Finally, participants had the deception and its justification clearly explained to them after they had participated and were given the option of...
withdrawing their data from the experiment after the explanation (none did). As is standard practice in Japan, participants were compensated for their time spent (¥ 3,000) and this payment was made regardless of willingness to allow their results to be used after the deception was explained. None of the participants complained about the deception, though a few remarked that it made clear why the suggestions had seemed odd.

1.2 Contributions

Neither the shift towards privacy or the shift towards sharing produced a statistically significant difference in the proportion of accepted suggestions. The acceptance rate for the machine-learning based model were much higher (statistically significantly so) than for the random model, although the random model also generated clearly (and statistically significantly) higher acceptance rate than its randomness should give if users were unaffected by the suggestion. This suggests that platforms which provide suggestions for privacy preferences can easily push users towards openness or sharing, in turn suggesting that such activity should be considered for regulation.

1.3 Construction

Section 2 describes the generic privacy setting suggestion scheme using an SVM machine learning approach, followed in Section 3 by a discussion of related work. Section 4 describes the specific suggestion scheme used in this experiment. Section 5 describes the detail of this experiment. Section 6 presents the results from the experiment with the suggestion system, while Section 7 shows the results from a survey of participants after their experience. Finally, Section 8 gives some conclusions and pointers to possible further work.

2. Privacy Setting Suggestion Scheme

The experiment presented in this paper is based specifically upon the suggestion model presented in Ref. [4]. The detail is described in Appendix A.1. That model is, however, simply a specific instantiation of a more general use case presented here.

2.1 Generic Use Case for Privacy Preference Suggestions

The generic use case of privacy setting prediction is shown in Fig. 1.

(1) Model generation: Based on the existing privacy preference choices of a large number of users an SVM system is used to identify a representative small set of choices, from which the remaining preferences can be reasonably accurately predicted. The values of the “predictor” items define a feature vector.

(2) Recommendation: New Users, or users wishing to amend their selections, make their selections of the predictor items. The matching set of remaining items from the model is then presented to the user for acceptance or alteration.

2.2 Suggestion Generation Algorithm Production

As shown in Fig. 2, this is the process for generating a privacy suggestion system:

(1) Divide users’ data into training data (approx. 90% of users) and test data (the remaining approx. 10% of users).

(2) Randomly choose a small number of settings as a candidate for the predictor set.

(3) Generate SVM models corresponding to the remaining choices of each user in the training set, creating a feature model which matches the candidate predictor set with the remaining choices with the highest number of matches across the training set.

(4) Evaluate the accuracy of the candidate predictor set and associated feature model on the test data.

(5) Iterate steps (2) to (4) a large number of times. Select the predictor set of questions and associated feature model
3. Related Work

In privacy policy management the burden of creating and maintaining privacy policies has been identified as a major issue. In one study, Madejski et al. [7] showed that a serious mismatch existed between users’ a priori intentions with regard to their privacy and their actual settings in an online social network service. Users are commonly required to check the privacy policies of a given service offered by a service provider before starting to use it. Thus, each service provider prepares a privacy policy for each service. Because of the large number of such policies to which users are asked to agree, the burden placed on users becomes significant and many barely bother to check policies or make changes to default settings. In many cases the privacy policy is a one-sided offer with no customisation possible, so if a user does not agree with the privacy policy of a service, the user simply cannot use that service.

In this regard, Solove [8] suggested that the model of privacy self-management cannot achieve its objectives, and it has been pushed beyond its limits, while privacy law has been relying too heavily upon that model to legitimise processing. Moreover, other studies such as that conducted by Acquisti and Grossklags [9] demonstrated users’ lack of knowledge about technological and legal forms of privacy protection when confirming privacy policies. Their observations suggest that several difficulties obstruct individuals in their attempts to protect their own private information, even for those users concerned about and motivated to protect their privacy. This was reinforced by Pollach in Ref. [10] whose work also supported the presumption that users are not familiar with technical and legal terms related to privacy. Moreover, it has been suggested that users’ knowledge about privacy threats and technologies that help to protect against them is inadequate [11]. In response, Guo and Chen [12] proposed an algorithm to optimise privacy configurations based on the desired privacy and utility preferences of users.

Various languages to describe privacy policies have been created [13], [14], [15]. Backes et al. compared various enterprise privacy policies using formal abstract syntax and semantics to express the policy contents [16]. Tondel and Nyre [17] proposed a similarity metric for comparing machine-readable policies.

Probably the most widely known of these languages is the Platform for Privacy Preferences Project (P3P) [13], [18] which was designed to enable websites to express their privacy practices in a standard format that could be retrieved automatically and interpreted easily by user agents. The project provides user agent modules that allow users to be informed of site practices and to automate decision-making based on these practices when appropriate. Building on the P3P, the Privacy Bird [19], [20] is a web browser extension which automatically retrieves the P3P policies of a web site and compares it with a users’ stated preferences. However, Kolter and Pernul [21] suggested that the available privacy settings of the Privacy Bird result in inadequate user acceptance, putting the ultimate goal of real-world use at risk. Thus, they proposed a user-friendly, P3P-based privacy preference generator [21] for service providers, including a configuration wizard and a preference summary.

In practice, P3P and derived interfaces have not been widely adopted by online and offline services [22]. One of the P3P creators, Cranor, reviewed the lack of adoption of P3P in 2012 [23], concluding that a lack of clarity of some of the concepts embedded in the P3P, poor tools for users, and a lack of compelling reasons (regulatory, market-forces, user-demand) for service providers, have led to minimal adoption. P3P’s limitation to browsers in a world where a great deal of users’ interaction with online services is via specific smartphone apps, has further limited the utility and adoption of P3P.

Yee proposed a privacy policy checker [24] for online services. The checker compares the user privacy policy with the provider privacy policy and then automatically determines whether the service can be used. Biswas proposed an algorithm [25] that detects conflicts in privacy settings between user preferences and the requirements of an application on a smart phone. Privacy Butler [26] is a personal privacy manager that can monitor a person’s online presence and attempts to make corrections based on a privacy policy for a user’s online presence in a social network. The concept of the Privacy Butler is similar to the concept of the project underlying the experiment reported here, but it focuses on modifications to content hosted by social networking services; it monitors whether the modification is a satisfactory match for the privacy policy.

Privacy Mirror [27] is a tool that is intended to show users what information about them is available online. Srivastava [28], [29] proposed a privacy settings recommender system for a specific online social network service.

Fang et al. [30], [31] have proposed a privacy wizard for social networking sites. The purpose of the wizard is to automatically configure a user’s privacy settings with minimal effort required by the user. The wizard is based on the underlying observation that real users conceive their privacy preferences based on an implicit structure. Thus, after asking the user a limited number of carefully chosen questions, it is usually possible to build a machine learning model that accurately predicts the user’s preferences.

This approach is very similar to that presented here. The main difference is the target dataset. Fang et al. covered data and connections in Facebook specifically, so the variety of the items was limited and the number of the potential recipients of data is small, and typically comprised of individuals within the users’ social graph. The system presented here treats more general data items and the number of the potential recipients is larger because the system does not focus on a specific service such as Facebook.

There is also existing published research about learning privacy preferences. Berendt et al. [32] emphasised the importance of privacy preference generation and Sadah et al. [33] suggested that machine learning techniques have the power to generate more accurate preferences than users themselves in a mobile social networking application. Tondel et al. [34] proposed a conceptual architecture for learning privacy preferences based on the decisions a user makes in their normal interactions on the web. They suggested that such learning of privacy preferences has the potential to increase the accuracy of preferences without requiring users to have a high level of knowledge or any willingness to invest time.
and effort to protect their privacy. Kelley et al. [35] showed preferences for a mobile social network application. Preference modeling for eliciting preferences was studied by Buffett and Fleming [36]. Mugan et al. [37] proposed a method for generating persona and suggestions intended to help users incrementally refine their privacy preferences over time.

On the other hand, as far as the authors are aware, there is no research which focuses on the possibility of a malicious service provider’s manipulating individual users into sharing their personal data with it with juggling its privacy setting suggestions. However, there are related studies to suggest the significance of this research. For example, through experiments to examine whether opt-in and opt-out create differences in terms of individual consumers’ intention to be contacted with further health survey and the mechanisms underlying the differences, Johnson et al. [38] found that there are major differences between the two formats, and that defaults had a sizeable effect and even a mild or minimal framing manipulation had a significant impact on consumer choices. Wang et al. [39] set up an experimental Facebook application, and, based on Nissenbaum’s idea of contextual integrity [40], investigated a relationship between the relevance of personal data being shared with the application using the default settings to it and individual users’ data disclosure behavior. The results of the experiment showed that participants hesitated to install the application when they were required to provide irrelevant or too much personal data, suggesting default privacy settings are not necessarily accepted by individual users. These findings lead us to the question whether biased defaults can be used to manipulate individual user behavior. Compared with those simplified experiments to investigate the impacts of defaults on individual privacy settings in restricted contexts, the more complicated experiments conducted in this research are designed so that the question can properly be explored through examining the differences among non-, privacy-, open- and randomly biased defaults from a broader viewpoint.

4. Creation of The Suggestion System

This section presents the specific details of the privacy settings and associated suggestion generation algorithms used in the experiment. The suggestion generation algorithms were based on the user data from Ref. [4].

As reported in Ref. [4], 10,000 subjects indicated their willingness to share 16 types of data (see Table 1) for each of five purposes (see Table 2), on a six-point Likert scale (strongly disagree/disagree/weakly disagree/weakly agree/agree/strongly agree). An SVM machine learning analysis process with parameters shown in Table 3 was applied to a learning set of 9,000 of these responses, five of the resulting data/purpose combinations were shown to have a high (over 85%) prediction rate on the other 75 questions when applied to the remaining 1,000 responses as a test set. See Table 4 for the combinations that form the prediction set.

This prediction algorithm was then used to create a tool to provide suggested sharing settings for a privacy policy management system. Instead of a Likert scale, the privacy policy has three options: Always share; Share with Selected Service Providers Case-by-Case; Never Share, as shown in Fig. 5 (Note: the actual experiment was conducted with Japanese people using Japanese versions of the text shown).

\[ x-y, \text{ where } x \text{ is an identifier of a data type and } y \text{ is an identifier of a usage purpose, is used in the following discussion to refer to a particular combination.} \]

In this experiment, participants were divided into 4 groups which were asked to accept/change suggestions from four different prediction models. The 4 models are following:

1. Normal model
2. Privacy-biased model: predictions are nudged towards privacy
3. Open-biased model: predictions are nudged towards sharing
4. Random model

For the normal model, the SVM models were generated with the parameters shown in Table 3, using R and the “e1071” [41] SVM package. The resulting predictor set of data type and usage purpose are shown in Table 4.

The privacy- and open-biased models were generated by adjusting the “class weights” parameter from these predictor questions. The classes are the selections: Never Share, Ask, Always Share. This parameter is a three-valued vector indicating the weight of each class, with a default value of (1, 1, 1), giving equal

\[ \gamma \]

Table 1 Types of personal data.

| No. | Data type                              |
|-----|---------------------------------------|
| 1   | Addresses and telephone numbers       |
| 2   | Email addresses                       |
| 3   | Service accounts                      |
| 4   | Purchase records                      |
| 5   | Bank accounts                         |
| 6   | Device information                    |
| 7   | Browsing histories                    |
| 8   | Logs on a search engine               |
| 9   | Personal info (age, gender, income)   |
| 10  | Contents of email, blog, twitter etc. |
| 11  | Session information (e.g., Cookies)   |
| 12  | Social Info. (e.g., religion, volunteer records) |
| 13  | Medical Info.                         |
| 14  | Hobby                                 |
| 15  | Location Info.                        |
| 16  | Official ID (national IDs or license numbers) |

Table 2 Usage purposes.

| No. | Data purpose          |
|-----|-----------------------|
| A   | Providing the service |
| B   | System administration |
| C   | Marketing             |
| D   | Behavior analysis     |
| E   | Recommendation        |

Table 3 Parameters of SVM.

| #learning data | #test data | \#combinations of items | \( \gamma \) | cost |
|----------------|------------|-------------------------|-------------|------|
| 1000           | 9000       | 5                       | 0.2         | 1.0  |

Table 4 Predictor questions.

| ID  | Data type      | Data usage         |
|-----|----------------|--------------------|
| 14-A| 14. Hobby      | A. Providing service|
| 4-B | 4. Purchase record | B. System administration |
| 15-B| 15. Location Info. | B. System administration |
| 12-C| 12. Social Info. | C. Marketing        |
| 6-E | 6. Device information | E. Recommendation   |
To provide a measure of the strength of the nudging taking place, the percentage of suggested answers for all possible answers to the predictor questions are shown in Table 5 and Fig. 4 (1: Never; 2: Ask; 3: Always).

The random model outputs prediction values which are independent of the answers for prediction set. Different random values were generated for each participant in the random model set.

Figure 5 shows a snapshot of the answer page for the prediction questions. First Participant answered these questions. After that the other 75 item are shown with one option pre-selected with the prediction values, shown in Fig. 6. Participants were asked to consider all the question and change any of the suggestions they thought did not match their desires.

5. Experiment

This section describes the conduct of the experiment using the system described above.

5.1 Construction of Experiment

Figure 7 shows the process participants followed in the experiment. The experiment system has a list of pairs of an ID and a password. Each ID was assigned to one of the four group (1, 2, 3, and 4) referring to normal model, privacy-biased model, open-biased model, and random model, respectively.

A professional research participant recruitment firm was used to recruit a suitable demographic mix of participants, including age range, gender and employment status.

Participants were given their ID and password in advance. First the participants input their ID and password to a log-in form, following which they were shown an explanation of this experiment. This explanation included a description of how to complete the experiment, as well as suitable details about the organization behind the experiment, and the fact that the suggestion system is based on AI techniques. Note that the random group were being misled by this explanation. This is a common necessary untruth in this kind of randomly controlled experiment. The original text of explanation of this experiment is shown in Appendix A.2. The translated version of it in English is also shown in Appendix A.2.

After reading the explanation, the participants gave their unprompted answers to the predictor set of five question, the system calculated its prediction and presented the remaining 75 questions, following which participants accepted the prediction or selected a different answer.

For the rest of the paper these answers are referred to as predicted values and selection values.

5.2 Environment

The experiment was conducted from March 26 to April 2,
Participants accessed the web-based experiment system via their own PCs. The number of participants was 552. The distribution of age and gender for participant is shown in Table 6.

Table 6 Distribution of participants.

| Gender | Age  | 1  | 2  | 3  | 4  | Total |
|--------|------|----|----|----|----|-------|
| Male   | 25-34| 40 | 41 | 39 | 35 | 155   |
|        | 35-44| 34 | 29 | 38 | 31 | 132   |
| Female | 25-34| 43 | 31 | 36 | 34 | 154   |
|        | 35-44| 37 | 22 | 27 | 25 | 111   |
| Total  |      | 154| 123| 140| 135|       |

Table 7 Result of experiment.

| Group   | Acceptance Rate |
|---------|-----------------|
| Normal  | 89.6%           |
| Privacy-biased | 91.6% |
| Open-biased    | 89.2%           |
| Random    | 71.8%           |

The basic result of the experiment is shown in Table 7. The acceptance rate is the percentage of all 75 non-predictor questions for all members of each group where the participant did not change the suggested answer. This shows that the acceptance rate for the normal model, privacy-biased model and open-biased model shows effectively no difference, while the acceptance rate for random model is much less than the other 3 models. These results are discussed in more detail in the next section.

6. Experimental Results

6.1 Comparison Between Groups

Table 10 shows the percentages of suggestions versus selec-
tions for each group, giving both the spread of suggestions and selections and the acceptance/rejection of the suggestion. Considering Groups 1–3, the spread of suggestions (right hand total columns) varies considerably between each group, showing a considerable level of variation in the suggestions presented. Nevertheless, the rate of acceptance of suggestions in Groups 1–3 is very similar at almost 90%. The acceptance rate for the random group is markedly lower than that for the groups whose suggestions were based around a model of their preferences.

So, it appears that the nudging had no overall impact on the acceptability of the suggestions to participants. The strength of the nudging experienced by participants can be measured by looking at the differences between the suggestion that were generated from their predictor questions by the “normal model” and the nudged models. This is shown in Table 8. As expected the variation between suggestions from the “normal model” and the “random model” is approximately two-thirds. The Privacy-nudged (Group 2) were given a different suggestion in just over one third of cases, while the Open-nudged (Group 3) were given a different suggestion in one quarter of cases. A more detailed breakdown of the suggestions and selections for each group is given in Table 9 showing the acceptance and alternate selections for each sharing choice (1: Never; 2: Ask; 3: Always). In particular, the widely different results for Group 4 (Random) show that using a model which gives suggestions which are broadly close to participants’ instincts produces a much higher acceptance rate than the random model.

6.2 Statistical Tests on the Differences in Recommendation Acceptance

Single factor analyses of variance were conducted to ver-

Table 8 Distribution of each group.

|                | Group 2 | Group 3 | Group 4 |
|----------------|---------|---------|---------|
| Acceptance     | 0.918   | 0.888   | 0.704   |
| Difference      | 0.374   | 0.25    | 0.638   |
| between         |         |         |         |
| nudged/normal   |         |         |         |
| model           |         |         |         |

Table 9 Distribution of each group.

|                | Group 2 | Group 3 | Group 4 |
|----------------|---------|---------|---------|
| Normal suggestion | 0.106  | 0.177   | 0.324   |
| Nudged/random    | 0.87    | 0.765   | 0.597   |
| suggestion       |         |         |         |
| Other option     | 0.024   | 0.0579  | 0.079   |

Table 10 Distribution of each group.

|                | Selected_1 | Selected_2 | Selected_3 | Total |
|----------------|------------|------------|------------|-------|
| Suggested_1    | 33.7%      | 0.339%     | 0.105%     | 34.1% |
| Suggested_2    | 7.05%      | 49.4%      | 2.6%       | 59.1% |
| Suggested_3    | 0.0887%    | 0.234%     | 6.52%      | 6.85% |
| Total          | 40.8%      | 50%        | 9.23%      | 100%  |
| Acceptance     | 33.7%      | 49.4%      | 6.52%      | 89.62%|

Table 11 All-pairs comparison tests.

|                | Mean Difference (I-J) | Std. Error | Sig. | 95% Confidence Interval |
|----------------|-----------------------|------------|------|-------------------------|
|                | Lower Bound | Upper Bound |
| Tamhane T2     |            |            |      |                        |
| Group 1        |            |            |      |                        |
| Group 2        | -1.71      | 1.52       | 0.839| -2.74                   |
| Group 3        | -0.424     | 1.51       | 0.110| -1.59                   |
| Group 4        | 14.3*      | 1.93       | 1.68E-11| 9.13                |
| Group 2        | 1.71       | 1.52       | 0.839| -2.32                   |
| Group 3        | 2.13       | 1.57       | 0.688| -2.04                   |
| Group 4        | 16.0*      | 1.98       | 2.25E-13| 10.7                |
| Group 2        | -2.13      | 1.57       | 0.688| -6.30                   |
| Group 3        | 13.8*      | 1.97       | 1.45E-10| 8.60                |
| Group 4        | -14.3*     | 1.93       | 1.68E-11| -19.4               |
| Group 2        | -16.0*     | 1.98       | 2.75E-13| -21.2               |
| Group 3        | -13.8*     | 1.97       | 1.45E-10| -19.4               |
| Group 4        | -2.13      | 1.52       | 0.837| -5.74                   |
| Group 1        | 0.424      | 1.51       | 1.00  | -3.38                   |
| Group 4        | 14.3*      | 1.93       | 1.67E-11| 9.13                |
| Group 2        | 1.71       | 1.52       | 0.837| -2.32                   |
| Group 3        | 2.13       | 1.57       | 0.685| -2.04                   |
| Group 4        | 16.0*      | 1.98       | 2.3E-13| 10.7                |
| Group 2        | -0.424     | 1.51       | 1.00  | -4.43                   |
| Group 3        | 13.8*      | 1.93       | 1.45E-10| 8.60                |
| Group 4        | -14.3*     | 1.93       | 1.67E-11| -19.4               |
| Group 1        | -13.8*     | 1.97       | 1.45E-10| -19.1               |

Dunnett T3

|                | Mean Difference (I-J) | Std. Error | Sig. | 95% Confidence Interval |
|----------------|-----------------------|------------|------|-------------------------|
|                | Lower Bound | Upper Bound |
| Group 1        |            |            |      |                        |
| Group 2        | -1.71      | 1.52       | 0.839| -2.74                   |
| Group 3        | -0.424     | 1.51       | 0.110| -1.59                   |
| Group 4        | 14.3*      | 1.93       | 1.68E-11| 9.13                |
| Group 2        | 1.71       | 1.52       | 0.839| -2.32                   |
| Group 3        | 2.13       | 1.57       | 0.688| -2.04                   |
| Group 4        | 16.0*      | 1.98       | 2.25E-13| 10.7                |
| Group 2        | -2.13      | 1.57       | 0.688| -6.30                   |
| Group 3        | 13.8*      | 1.97       | 1.45E-10| 8.60                |
| Group 4        | -14.3*     | 1.93       | 1.67E-11| -19.4               |
| Group 1        | -13.8*     | 1.97       | 1.45E-10| -19.1               |

* The mean difference is significant at the 0.05 level.
ify whether or not there are significant differences in the mean numbers of recommendation acceptance among the four groups. Since Levene’s test for equality of variance between the groups failed (Levene’s Statistic \( 3, 548 = 30.682, p < .000 \)), Welch’s t-test applied to the null hypothesis that there is no significant difference between the mean numbers of recommendation acceptance of the four groups (Welch’s Statistic \( 3, 298.146 = 24.013, p < .000 \)). Tamhane’s T2 all-pairs comparison test, as well as Dunnett’s T3 test, demonstrates that (a) there is no significant difference of the mean numbers of recommendation acceptance between any pair of Groups 1–3, and (b) the mean number of recommendation acceptance of Group 4 is significantly lower than one of any other group (Table 11).

There are two likely explanations for the high level of acceptance in Groups 1–3. The first is that people are highly susceptible to suggestions. This interpretation is re-inforced by the results from Ref. [5] in which the unbiased model showed, again, an acceptance rate of around 90% compared with a match of only 60% between the prediction and unprompted selection. The second possibility is that participants are not really paying attention to the suggestions. The acceptance rate of 221 participants was in fact 100%, which on 75 questions shows either very weak preferences/very high susceptibility to suggestion, or not paying very much attention to the study. In Group 4, those presented with random suggestions, 31 participants accepted 100% of the suggestions. This suggests that perhaps one quarter of participants do not pay proper attention and simply accept all answers. Removing those participants who accepted all suggestions, the acceptance rates for the groups are shown in Table 12. These remain very high (and very similar) for Groups 1–3 who were shown (sometimes uniformly nudged) suggestions generated from the algorithm, and still much higher than random but noticeably lower for the group presented with random suggestions.

### 7. Analysis of Survey Results

#### 7.1 Quantitative Analyses

After engaging with the suggestion system experiment, participants had the details of the experiment, including the nudging/randomness of the suggestions they were given. After having read this explanation they gave their impression of the system and experiment via a survey. Participants were requested to indicate their level of agreement with the following statements on a four-point Likert scale (0: not at all; 1: not much; 2: to an extent; 3: very much) (this is an English translation of the survey which was in Japanese).

| Q1 | This system would be convenient to help control the disclosure and protection of my personal data, |
| Q2 | This system would be useful to help control the disclosure and protection of their personal data, |

#### 7.2 Qualitative Analyses

Respondents were asked to indicate the maximum number of predictor questions they would be willing to answer to provide a basis for the suggestions (Q6). They were also asked to give their impression of what percentage of the systems recommendations they accepted (Q8). Finally, there were two open-ended questions with text boxes for answers: (Q14) How do you feel about the experiment? (Q15) How do you feel about the experiment?

As shown in Fig. 8, in total, a majority of respondents gave positive responses for the convenience, usefulness, intention to use, social desirability, and accuracy of our suggestion system (Qs 1, 2, 3, 5, 7). On the other hand, over sixty percent of respondents indicated that they would hesitate to recommend the system to others (Q4). This is consistent with prior studies by the authors in Japan where people are reticent about recommending systems to other people even where they have very positive evaluations themselves. Similarly, over sixty percent claimed that they were not affected in their acceptance of the recommendations by use of the terms “AI” and “machine learning” in the original explanation of the experiment (Q11).

Were these evaluations well-founded? To check this, independent sample t-tests were performed to compare participants’ individual acceptance rates (percentage of suggestions left unchanged) and their answers to Qs 1, 2, 3, 4, 5, 7, 11 (taken as binary answers: 0/1 disagree; 2/3 agree). The results of these tests are shown in Table 13, with significant differences for Qs 3 (I would use this system), 4 (I would recommend this system), 7 (I accepted the system’s recommendations). There were no significant differences for the other questions tested.

The answers to Q8 asking participants to estimate their own
### Table 13  Independent samples t-tests.

|   | diff of means | t   | df | Sig    | Outcomes |
|---|---------------|-----|----|--------|----------|
| Q1| −1.32         | −1.33| 370| 0.185  | n.s.     |
| Q2| −2.00         | −1.43| 430| 0.154  | n.s.     |
| Q3| −3.64         | −2.67| 491| 0.0079 | Significant at 0.01 level |
| Q4| −3.99         | −2.99| 484| 0.0029 | Significant at 0.01 level |
| Q5| −1.33         | −1.36| 550| 0.176  | n.s.     |
| Q7| −13.4         | −8.68| 244| 0.0000 | Significant at 0.01 level |
| Q11| −2.03       | −1.41| 544| 0.160  | n.s.     |

### Table 14  Actual and perceived acceptance rates.

| Group | Actual acceptance rate | Q8: Perceived acceptance rate |
|-------|------------------------|-------------------------------|
| 1     | N                      | 154                           |
|       | Mean                   | 88.8                         |
|       | Std. Deviation         | 17.1                         |
|       | % of Total Sum         | 29.3%                        |
| 2     | N                      | 123                           |
|       | Mean                   | 91.1                         |
|       | Std. Deviation         | 16.5                         |
|       | % of Total Sum         | 24.0%                        |
| 3     | N                      | 140                           |
|       | Mean                   | 88.3                         |
|       | Std. Deviation         | 17.5                         |
|       | % of Total Sum         | 26.3%                        |
| 4     | N                      | 135                           |
|       | Mean                   | 69.8                         |
|       | Std. Deviation         | 25.3                         |
|       | % of Total Sum         | 20.2%                        |
| Total | N                      | 552                           |
|       | Mean                   | 84.5                         |
|       | Std. Deviation         | 21.1                         |
|       | % of Total Sum         | 100.00%                      |

### Table 15  Correlations between actual and perceived acceptance rates.

| Group | Actual acceptance rate | Q8: Perceived acceptance rate |
|-------|------------------------|-------------------------------|
|       | Pearson Correlation    | .189*                         |
|       | Sig. (2-tailed)        | 0.0187                        |
| Group 2 | N        | 154                           |
| Q8: Perceived acceptance rate | Pearson Correlation | .327**                        |
|       | Sig. (2-tailed)        | 0                             |
| Group 3 | N        | 123                           |
| Q8: Perceived acceptance rate | Pearson Correlation | .327**                        |
|       | Sig. (2-tailed)        | 1                             |
| Group 4 | N        | 140                           |
| Q8: Perceived acceptance rate | Pearson Correlation | .494**                        |
|       | Sig. (2-tailed)        | 0                             |
| Total | N          | 135                           |

**. Correlation is significant at the 0.01 level (2-tailed).
*  . Correlation is significant at the 0.05 level (2-tailed).

acceptance rate when compared with their individual actual acceptance rate shows that participants mostly underestimated their acceptance. Participants in Group 2 (Privacy-nudged model), tended to give higher estimates of their acceptance rate than those in Group 1 (Normal model) (Table 14). In total and in each group, there are significant positive correlations between actual and perceived acceptance rates at the five percent level ($p < 0.05$). Participants in Group 4 (Random model) had a much lower estimate of their acceptance rate (Table 15). The apparently closer estimate of their acceptance rate to their actual acceptance rate was not statistically significant (see below).

Table 16 shows the statistics of the differences and distances (the absolute values of the differences) between actual and perceived acceptance rates. The results of Levene’s test confirms the homoscedasticity of the differences among the four groups (Levene’s Statistic $(3, 548) = 1.353, p = 0.256$), while rejecting one of the distances (Levene’s Statistic $(3, 548) = 3.315, p = 0.020 < 0.05$). Analysis of variance of the differences demonstrates that there is no significant difference of the means of the differences among the four groups at five percent level $(F(3, 548) = 2.220, p = 0.085)$. For the distances, similar results are obtained through a Welch test (Welch’s Statistic $(3, 301.603) = 1.026, p = 0.381$).

Did the different models produce different reported levels of concern regarding the suggestions? Table 17 shows that generally those who belonged to Groups 1–3 (Normal, Privacy-nudged, Open-nudged) did not report feeling that the suggestions were...
strange. On the other hand, members of Group 4 (Random) did tend to report a feeling of strangeness relatively more than the other groups. Pearson’s chi square test demonstrated that the relative feelings of strangeness were different among all four groups at five percent significant level (Pearson’s Chi-square (3) = 9.021, p = 0.029 < 0.05). The value of phi coefficient (0.128) suggests a significant relationship between groups and reported level of strangeness at the five percent level (Table 18). The adjusted residuals in Table 17 shows that the participants in Group 4 reported a greater feeling of strangeness compared to the other three groups (significant at five percent level).

### 7.2 Qualitative Analyses: Feelings About our Suggestion System

Unfortunately, only a small number of participants responded to the open-ended questions. Their feelings about our suggestion system are shown in Fig. 9. In total, a majority of these respondents expressed positive feelings. Although the headcount is small, respondents who belonged to Group 4 are the most split on their feelings. This is consistent with the previous statistical analysis of Likert scale responses.

The system received positive evaluations in terms of its potential convenience and for the accuracy of its recommendations by some (Fig. 10). On the other hand, the system also received negative evaluations from others due to the inaccuracy of recommendations (Fig. 11). These views, of course, are based more on their perception of their acceptance rate than their actual acceptance rate (Table 14, Table 19).

There are not many differences in answers to questions (Qs 1, 2, 3, 4, 5, 7, 9) between those respondents who left all suggestions unchanged (All-accepted group (n = 221)) and those who did not (Not-all-accepted group (n = 331)). Only the accuracy of the system’s suggestions (answers to Q7) was more highly evaluated by All-accepted group than Not-all-accepted group at 0.1% significant level (Welch’s t(471.510) = 7.016, p < 0.000). Any statistically significant differences in the means of answers to other questions between the two groups were not found (Table 20).

When comparing answers to the questions responded by All-accepted group (n = 221) with those by Bottom-tile group in terms of actual acceptance rate (n = 185), significant differ-

### Table 16

| Group | N  | Difference/distance between actual and perceived | Mean   | Std. Dev. | Std. Error | 95% Confidence Interval for Mean | Minimum | Maximum |
|-------|----|-----------------------------------------------|--------|-----------|------------|--------------------------------|---------|---------|
|       |    |                                               |        |           |            | Lower Bound   |         | Upper Bound |
| 1     | 154| Difference                                    | 27.8   | 28.4      | 2.29       | 23.3           | 24.3    | 32.4    |
|       |    | Distance                                      | 29.4   | 26.8      | 2.16       | 25.1           | 23.6    | 33.6    |
| 2     | 123| Difference                                    | 25.6   | 25.7      | 2.52       | 21              | 30.2    | 100     |
|       |    | Distance                                      | 26.6   | 24.6      | 2.22       | 22.2           | 31      | 100     |
| 3     | 140| Difference                                    | 28.5   | 26.7      | 2.76       | 24.4           | 33      | 100     |
|       |    | Distance                                      | 24.8   | 21.7      | 1.87       | 21.1           | 28.5    | 100     |
| 4     | 135| Difference                                    | 20.1   | 26.1      | 2.25       | 15.7           | 24.6    | 100     |
|       |    | Distance                                      | 24.8   | 21.7      | 1.87       | 21.1           | 28.5    | 100     |
| Total | 552| Difference                                    | 25.2   | 27.4      | 1.17       | 22.9           | 27.5    | 100     |
|       |    | Distance                                      | 27.4   | 25.1      | 1.07       | 25.3           | 29.5    | 100     |
Table 19: Actual and perceived accept rates of those who answered to Q14 that the recommendations were accurate/inaccurate.

|                  | Group 1 (N=5) | Group 2 (N=16) | Group 3 (N=5) | Group 4 (N=2) | Total (N=28) |
|------------------|----------------|-----------------|----------------|----------------|--------------|
| **Actual accept**|                |                 |                |                |              |
| **Q8: Perceived**|                |                 |                |                |              |
| **accept rate**  | Max 1          | 1 1             | Max 1          | 1 1            | Max 1        |
|                  | 0.745          | 0.4            | 0.756429       | 1.022          | 1.935        |
|                  | Min 0.24       | 0.2            | 0.75           | 0.75           | 0.75         |
|                  | Mean 0.75      | 0.7375         | 0.7375         | 0.75           | 0.75         |
| **Actual accept**|                |                 |                |                |              |
| **Q8: Perceived**|                |                 |                |                |              |
| **accept rate**  | Max 1          | 1 1             | Max 1          | 1 1            | Max 1        |
|                  | 0.875          | 0.4            | 0.86875        | 0.75           | 0.884821     |
|                  | Min 0.4        | 0.2            | 0.86875        | 0.75           | 0.75         |
|                  | Mean 0.75      | 0.7575         | 0.7575         | 0.75           | 0.75         |

Table 20: Independent samples t-test.

| Mean difference | t   | df | Sig   | Outcomes |
|-----------------|-----|----|-------|----------|
| Q1              | -0.037 | 550 | 0.941 | n.s.     |
| Q2              | -0.031 | 550 | 0.946 | n.s.     |
| Q3              | 0.013  | 550 | 0.857 | n.s.     |
| Q4              | 0.113  | 445 | 0.112 | n.s.     |
| Q5              | -0.022 | 550 | 0.745 | n.s.     |
| Q7              | 0.4    | 471.51 | 0     | Significant at 0.001 level |
| Q9              | -0.104 | 459 | 0.102 | n.s.     |

Table 21: Independent samples t-test.

| Mean difference | t   | df | Sig   | Outcomes |
|-----------------|-----|----|-------|----------|
| Q1              | 0.017  | 404 | 0.81  | n.s.     |
| Q2              | 0.017  | 404 | 0.82  | n.s.     |
| Q3              | 0.086  | 404 | 0.307 | n.s.     |
| Q4              | 0.161  | 404 | 0.054 | n.s.     |
| Q5              | -0.003 | 404 | 0.967 | n.s.     |
| Q7              | 0.576  | 384.957 | 0     | Significant at 0.001 level |
| Q9              | -0.23  | 403 | 0.002 | Significant at 0.05 level |

8.2 Discussion

From the results above, we can see that many, though not all, users are highly susceptible to suggestion when given shortcuts to process time-consuming tasks. This experiment is a low risk scenario for users to accept the results, given that it is presented as an abstract task, not a real system which would have actual consequences for their privacy. However, in the survey questions, many participants expressed interest in this kind of suggestion system for real world applications. This is not surprising given the existing work showing the real world burden on users trying to manage their own digital footprints and protect their privacy [8]. The lack of difference between the average acceptance rates of the nudged towards sharing, neutral and nudged towards privacy groups suggests also that people’s attitudes are not rigid, but depend on current mood, attention, recent stimuli and how questions are presented. The significant difference between the acceptance rate of the machine-learning based suggestions and the random suggestions indicates that modestly sophisticated pressures are more successful at influencing users than generic ones.

8. Conclusion

This paper reported on an investigation into the impact on acceptability of recommendations in a privacy settings context of a uniform nudge towards privacy or openness. The experiment showed no change in acceptability of moderately strong nudges. This result was underlain by a parallel random suggestion model which demonstrated a susceptibility to suggestion amongst participants but nevertheless a significantly lower acceptance rate for the random suggestions than the model with or without nudges. The results of this suggest that malicious operators of suggestion systems can potentially influence users in their privacy choices without the users awareness of being manipulated.
8.3 Recommendations
The results of this experiment lead to some suggestions for various groups.

Users should be aware that they are susceptible to manipulation of their privacy attitudes and should be wary of accepting default settings, or of accepting suggestions, unless they trust the source of those suggestions.

Providers of services who wish to help their users’ protect their privacy while exploiting the benefits of sharing should push their users more towards privacy, which is likely to be acceptable to those users. If, over time, those users then loosen privacy controls in certain circumstances, then they are more likely to be doing so for good specific reasons, than because of limited time or understanding.

Regulators should require at a minimum transparency from providers who make setting suggestions, but could also consider requiring providers to institute privacy-nudged suggestions, to counteract the tendency of platforms (from a position of power) to push users in the direction of over-sharing.

Digital Rights Activists should be aware that users are susceptible to suggestion in this area and where possible should be providing users with both countervailing rhetoric against over-sharing, but also providing tools to help users easily limit sharing. Such tools are likely to be well-received even where explicitly privacy-nudged, provided that they are based on decent individualised suggestions.

Researchers should be aware of these issues when conducting empirical research on users’ expressed privacy preferences, particularly when discussing the apparent “privacy paradox” wherein users express a desire for privacy but then accept or embrace broad sharing of their personal data. Future work on tools to help users exert control over their personal data should take the potential misuse by self-interested parties into account when making such tools available.

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Our experimental setup achieved the highest accuracy considering 150 entries randomly chosen, and were randomly split into training data and testing data. The results of a guessing accuracy are more than 83% for all top 15 combinations, and 85% for 9 of the 15 top combinations.

### Appendix

#### A.1 Suggestion Generation Algorithm

This section introduces the detail of suggestion algorithm in Ref. [4]. The algorithm was based on SVM, which is considered a powerful learning system. Although SVM is mainly for binary-class problems, it can be extended for high-dimensional feature spaces through a nonlinear mapping chosen a priori. Therefore, for the purpose of these experiments, a multilabel and multiclass SVM approach were used.

The algorithm consisted of two phases; the learning phase and guessing phase.

[Learning Phase]
- $n$ questions are selected where $1 \leq n \leq \text{Max}$. Max equals the total number of questions and $n$ equals the number of selected questions used for training the corresponding answers.
- Using the selected $n$ questions, the SVM privacy preference model is generated. In this model, the class labels represent the acceptance level for each of the unselected $\text{Max} - n$ questions using a combination of answers for $n$ as sample points in the training data.

[Guessing Phase]
- For each unknown point, i.e., a combination of answers to selected $n$ questions, the SVM models generated in the learning phase is used for each unselected question and calculate the guessed values of the answers to those $\text{Max} - n$ unselected questions.

This approach was implemented with R. The trials of evaluation were repeated for 10 times while the data samples were randomly chosen, and were randomly split into training data and testing data. Table A-1 shows the summary of parameters used in our experimental setup.

There were two different experiments. The first experiment was for selecting top combinations, $TC = 15$ of $n$ questions that achieved the highest accuracy considering 150 entries randomly selected; i.e., 100 entries for the training data, 50 entries for the testing data for decreasing the running time when evaluating all possible combinations. Each parameter of the SVM model was optimised by a grid search on the parameters $C$ and $\gamma$. In the second experiment, the same top combinations, $TC = 15$ of $n$ questions were used for evaluating the algorithm using 10,000 entries (i.e., 9,000 for training data, and 1,000 for testing data).

The results of a guessing accuracy are more than 83% for all top 15 combinations, and 85% for 9 of the 15 top combinations.

### A.2 Explanation of Experiment

The following is the original text of the explanation of our experiment in Japanese.

| Parameter | Value |
|-----------|-------|
| Max       | 80    |
| $n$       | 5     |
| Top Combinations | $TC = 15$ |
| Training Data | $TRD = 100, TRD = 9,000$ |
| Test Data  | $TED = 50, TED = 1,000$ |

Table A-1 Experimental settings.
Thank you for your participation in today’s experiment. This experiment is conducted for evaluating the effectiveness of a privacy protection setting support system, which has been developed by KDDI Research, Inc. in collaboration with the Centre for Business Information Ethics at Meiji University.

Using this system, individual users can automatically make detailed settings for controlling the revelation of personal information when using online services such as social network services and online shopping services through responding to a small number of (five, this time) questions. The system allows individual users to easily set up their preferences for revealing or withholding their personal information in a short time.

Machine learning, an artificial intelligence technology, was applied to the data of preferences for revealing or withholding personal information of about ten thousand people to extract the patterns of the settings, which are then used to produce suggestions.

Eighty questions in total are posed in today’s experiment. Each question is related to whether you would provide a certain kind of personal information such as your home address to online service providers. When answering a question, you are required to choose one from the following three options:

- ○: I will provide this kind of personal information to any online service provider;
- △: I may share this kind of personal information with an online service provider when requested;
- ×: I won’t provide this kind of personal information to any online service provider.

First, you are required to respond to five questions. After that, suggested responses to the remaining seventy-five questions generated by the system, based on your responses to the first five questions, will be shown to you. If the suggested responses are the same as your preferences, please leave them unchanged. If not, please change them. After the experiment, you are required to answer a questionnaire.

Thanks for your cooperation.

The following is the explanation translated into English.
Toru Nakamura was born in 1983. He received the B.E., M.E., and Ph.D. degrees from Kyushu University, in 2006, 2008, and 2011, respectively. In 2011, he joined KDDI and in the same year he moved KDDI R & D Laboratories, Inc. (currently renamed KDDI Research, Inc.). Since 2018, he is a researcher in Advanced Telecommunications Research Institute International (ATR). He received CSS2016SPT Best Paper Award. His current research interests include security and privacy, especially privacy enhanced technology and analysis of privacy attitudes. He is a member of IEICE and IPSJ.

Andrew A. Adams was born in 1969. He has a Ph.D. in Computer Science from the University of St Andrews (1997) and an LLM (Masters in Law) from the University of Reading (2005). He is Deputy Director of the Centre for Business Information Ethics at Meiji University. He is a member of the BCS, IEEE and ACM.

Kiyoshi Murata was born in 1957. He is a director of the Centre for Business Information Ethics and a professor of MIS at the School of Commerce, Meiji University. He has studied information ethics including privacy, surveillance, ICT professionalism and gender and computing issues since 1997 following his career of research on economics, operational research, business administration and management information systems. He is a member of the IEEE Computer Society, ACM and International Society for Ethics and Information Technology.

Shinsaku Kiyomoto received his B.E. in engineering sciences and his M.E. in Material Science from Tsukuba University, Japan, in 1998 and 2000, respectively. He joined KDD (now KDDI) and has been engaged in research on stream ciphers, cryptographic protocols, and mobile security. He is currently a senior manager at the Information Security Laboratory of KDDI Research, Inc. He was a visiting researcher of the Information Security Group, Royal Holloway University of London from 2008 to 2009. He received his doctorate in engineering from Kyushu University in 2006. He received the IEICE Young Engineer Award in 2004, Distinguished Contributions Awards in 2011, and Achievement Award in 2016. He is a member of IEICE and JPS.

Nobuo Suzuki was born in 1963. He received his Ph.D. from Tsukuba University in 2007. He became Senior Manager of Smart Wireless Technology Group at KDDI R&D Laboratories Inc. in 2011, Head of Department of Broadband Wireless Communications at Advanced Telecommunications Research Institute International in 2016, and a professor at Kindai University in 2019. His current research interests are IoT security and Cognitive wireless system. He is a Senior member of the IPSJ, and a member of the IEICE and IEEJ.