KTH Tangrams: A Dataset for Research on Alignment and Conceptual Pacts in Task-Oriented Dialogue

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Abstract
There is a growing body of research focused on task-oriented instructor-manipulator dialogue, whereby one dialogue participant initiates a reference to an entity in a common environment while the other participant must resolve this reference in order to manipulate said entity. Many of these works are based on disparate if nevertheless similar datasets. This paper described an English corpus of referring expressions in relatively free, unrestricted dialogue with physical features generated in a simulation, which facilitate analysis of dialogic linguistic phenomena regarding alignment in the formation of referring expressions known as conceptual pacts.

Keywords: reference, conceptual pacts, task-oriented dialogue

1. Introduction
There is recent interest in the role of referring expressions (REs) in situated dialogue and the alignment of referring language (RL) between dialogue participants (Barr and Keysar, 2002; Foster et al., 2006; Zarrieß et al., 2016; Aina et al., 2017). These datasets are useful for studying general patterns of alignment but are not specifically tailored to studying the effects of conceptual pacts (CPs) on RL in dialogue: CPs are patterns of RL which are mutually accepted (either explicitly or implicitly) and used by all dialogue participants throughout the course of a dialogue (Brennan and Clark, 1996).

In order to study this phenomenon, we introduce a collection of recorded spoken English dialogues situated in a task called KTH Tangrams, wherein two participants collaborate in order to correctly select a predetermined abstract image on a procedurally-generated game board: Participants take turns assuming the role of either instructor, who can see which piece must be selected, or manipulator, who can select a piece but cannot see which one must be selected. This experiment design is similar to that used for many other works regarding RL, the most similar of these being PentoRef’s PentoCV and RDG-Pento (Zarrieß et al., 2016). PentoCV and RDG-Pento consist of one participant instructing the other which pentomino piece is to be manipulated, but both participants are allowed to speak in a free fashion, a design originally defined by Kousidis et al. (2012). KTH Tangrams, however, is especially well-suited to observing CPs because the experiment design entails participants deterministically referring to abstract entities multiple times in a dynamic environment without the entities themselves playing a role in a larger, culminating goal as done by e.g. Foster et al. (2006).

2. Related Work
While there are many different works concerned with task-oriented dialogue, there are a number of differences in experiment design among them.

2.1. Static Versus Dynamic Environments
The roles of instructor and manipulator seen in many tasks used for dialogue research are analogous to the roles of director and matcher in traditional reference communication tasks, with the terms defined by Schober and Clark (1989) but the task itself originating from Krauss and Weinheimer (1964). These tasks involve simple reference resolution, whereby the state of the environment shared by the director and matcher (e.g. a set of figures on a sheet of paper) does not change during the task. Static reference communication tasks often differ from instructor-manipulator tasks in that, in the latter, the state of the participants’ shared environment changes during the task, entailing that CPs be robust throughout these changes, as observed by e.g. Ibarra and Tanenhaus (2016). Since the referent of a(n effective) CP should remain unambiguous throughout the dialogue for all members of the CP, a dynamic environment would more easily show the difference of CPs from mere alignment of RL.

2.2. Repeating Versus Culminating Tasks
Certain tasks are repetitive in that a similar sub-task is repeated with parametric variations, such as done by Krauss and Weinheimer (1964). However, a number of works involve tasks which culminate to a predefined goal — cf. Foster et al. (2006). This means that participants are aware of a sub-task’s relation to a larger process, which has an effect on RL used and thus also CPs (Ibarra and Tanenhaus, 2016). While these effects are interesting, we are interested in CPs based on properties of the CPs’ referents in themselves rather than on referents’ purpose in a larger pattern of interaction: Resolving CPs based on “object-oriented names” such as the leg of the lion being assembled (Ibarra and Tanenhaus, 2016, p. 564) is a context-sensitive task which is not only dependent on the previous language used but also on the history of the culminating task as well as future actions and thus entails action awareness, such as by incorporating intent prediction and decision planning — cf. Bard et al. (2008). Thus, we want to limit participants’ accumulation of task-related knowledge over time.
2.3. Referential Aspects
In many tasks, such as that of Krauss and Weinheimer (1964), participants can freely choose referents, e.g. which entity to describe. This complicates both manual and automatic annotation of referents and RL and so an ideal experiment should restrict possible referents as much as possible without hindering free dialogue. Likewise, we are interested in CP formation between humans and so the experiment should avoid machine-directed speech, which can differ greatly from human-directed speech (Kriz et al., 2010). Lastly, referent entities should have distinguishing features (Westerbeek et al., 2015) but not show extreme typicality, whereby referent features are strongly correlated: For example, a purple cow is highly atypical (Mitchell et al., 2013, p. 3062).

2.4. Experimental Paradigms
There exist multiple experimental paradigms for task-oriented dialogue, each incorporating different combinations of environmental, task and referential aspects.

2.4.1. Map Tasks
One form of instructor-manipulator task is that of “map tasks”, whereby one participant has information about a spatial area which the other does not. The former must then instruct the latter on how to navigate the map to accomplish a defined goal, e.g. reaching a particular landmark (Thompson et al., 1993; MacMahon et al., 2006). A variation of this are cases where the navigator is in fact situated within the map being navigated (Shimizu and Haas, 2009; Vogel and Jurański, 2010; Götzé and Boye, 2016). In both cases, the state of the environment is static. However, the task culminates to a predefined goal, leading to confounds.

2.4.2. Joint Construction Tasks
One experiment design involving dynamic environments is that of “joint construction tasks” (Fong et al., 2006; Foster et al., 2006; Spanger et al., 2012; Yan et al., 2016), where agents (human or otherwise) collaboratively assemble a predefined structure from component pieces. This dynamism makes such tasks well-suited for studying the formation of CPs: Due to the fact that certain physical features are static (e.g. a piece’s shape or color) while others are dynamic and change throughout the course of the dialogue (e.g. location), the dynamic nature of RL can be better studied, similarly to how Ibarra and Tanenhaus (2015) observed changes in referring strategy when contrastive features previously used to disambiguate entities are no longer effective due to introducing new entities with similar features. However, these tasks culminate to an end goal, again leading to e.g. “object-oriented names” such as the leg [of the lion being assembled] (Ibarra and Tanenhaus, 2016, p. 564).

2.4.3. KTH Tangrams: Dynamic, Repeating Fixed-Referent Tasks
We have argued that a corpus ideal for researching CPs involves a repeating, non-culminating task in a dynamic environment while lacking free choice of referent. Moreover, the referents themselves should be abstract enough to elicit descriptive RL. However, in order to capture the full variation of CP formation, the language used should still be relatively unrestricted human-human dialogue: Unlike the datasets reviewed above, our corpus KTH Tangrams fulfills all of these criteria (see Table 1).

3. Experiment Design
Each experiment session involves two healthy adults with normal or corrected-to-normal vision and English either as a native language or as a common language used in a professional context. Each participant has their own PC on a LAN, head-mounted microphone and speakers in a room separate from the other’s, similarly to the setup of Manuvinakurike et al. (2015): They communicate freely via speech but cannot interact in any other way. Once both participants log into the game, they are simultaneously presented with an identical view of a simulated game board occupied by 20 tangent-like pieces (Gardner, 1974).

3.1. Reproducible Pseudo-Random Environments
The board configuration is determined procedurally: The pieces’ initial placements are chosen pseudo-randomly with a seed as positions the board on an invisible 20 × 20 grid. Likewise, the pieces’ visual attributes are chosen pseudo-randomly using the same method as is each piece’s subsequent move.

- POSITIONX and POSITIONY are the position of the entity’s center as a proportion of the total board area.
- HUE is derived from the individual sRGB color features RED, GREEN and BLUE (International Electrotechnical Commission, 1999).
- EDGECOUNT values are manually annotated for each unique SHAPE value; For the shapes currently present in the corpus, the values thereof range from 6 to 16.
- SHAPE is a nominal feature enumerating 17 unique images which can be drawn to visualize an entity. The images, which are shown in Figure 1 were hand-chosen to have a roughly-even distribution of typicality — cf. Mitchell et al. (2013).
- SIZE values are derived from possible entity dimensions 2 × 2 (small), 3 × 3 (medium) or 4 × 4 (large) and are normalized by the total area of the board; Since the board area is always 20 × 20, the effective feature values are 0.01, 0.0225 and 0.04.

Since the environments each dialogue is situated in are procedurally-generated, a wide distribution of behavior can be easily created which compensates for possible confounds, such as would be the case if e.g. in every dialogue session, there was a particular piece with a color and shape combination which would have effects on every dialogue
| Experiment                  | Environment | Task         | Referent | Entity type | Addressee | Language  |
|-----------------------------|-------------|--------------|----------|-------------|-----------|-----------|
| Krauss and Weinheimer (1964)| Static      | Repeating    | Free     | Illustration| Human     | Dialogue  |
| Schober and Clark (1989)    | Static      | Repeating    | Free     | Tangram     | Human     | Dialogue  |
| Thompson et al. (1993)      | Static      | Culminating  | Free     | Landmark    | Human     | Dialogue  |
| Barr and Keyser (2002)      | Dynamic     | Culminating  | Free     | Diverse     | Human     | Dialogue  |
| Foster et al. (2006)        | Dynamic     | Culminating  | Free     | Diverse     | Machine   | Dialogue  |
| MacMahon et al. (2006)      | Static      | Culminating  | Free     | Landmark    | Human     | Dialogue  |
| REX-J (Spanger et al., 2012)| Dynamic     | Culminating  | Free     | Tangram     | Human     | Dialogue  |
| Ibarra and Tanenhaus (2016) | Static      | Repeating    | Fixed    | Pentomino   | Machine   | Monologue |
| PentoRef Take               | Static      | Repeating    | Free     | Pentomino   | Machine   | Monologue |
| PentoRef Take-CV            | Static      | Repeating    | Fixed    | Pentomino   | Human     | Monologue |
| PentoRef Noise/No-noise     | Dynamic     | Culminating  | Free     | Pentomino   | Human     | Dialogue  |
| PentoRef Pento-CV           | Dynamic     | Culminating  | Free     | Pentomino   | Human     | Dialogue  |
| PentoRef RDG-Pento          | Static      | Repeating    | Free     | Pentomino   | Human     | Dialogue  |
| KTH Tangrams                | Dynamic     | Repeating    | Fixed    | Tangram     | Human     | Dialogue  |

Table 1: A comparison of experimental paradigms in task-oriented dialogue.

in the corpus either as a distractor or as the piece being referred to itself. Furthermore, since these environmental features are generated using a seeded pseudo-random number generator, any particular experiment can be reproduced at will.

3.2. Task Description

During the task, both dialogue participants are seated at their own computer in separate rooms, each of which displays the current state of the game (see Figure 2). In each game round, the instructor sees a piece randomly highlighted, which is the piece they must instruct the manipulator to select. The manipulator has no indication or prior knowledge of which piece is to be selected, so the instructor must describe the piece well enough for the selector to click on it using a mouse. If the piece is selected correctly, the participants gain one point and proceed to the next round, where the roles are switched and the previously-selected piece moves to a random place on the board. However, if the wrong piece is selected, they lose two points and are required to try again (see Figure 3).

In addition to the participants’ speech being recorded and transcribed, the state of the game at the time of each utterance is available, including features representing each piece (i.e. possible referent) on the board at any time.

4. Dialogue Transcription

Recordings are manually segmented and transcribed into two channels of utterances composed of tokens \( u \triangleq \langle t_1 \ldots t_n \rangle \), one for each participant. An utterance is

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"It looks like a blue crab sticking up his claws."
Between-speaker convergence shows how the use of RL varies with time (as well as between speakers in a single dyad, whereby the RL used by one participant becomes more similar to their partner’s RL; i.e. a single participant’s use of RL becomes less consistent by language for resolving referents in a given dialogue on a global scale, i.e. not considering dialogue context. This can be used to control for general convergence effects in discourse (Carroll, 1980; Clark and Wilkes-Gibbs, 1986).

Convergence was measured using token type overlap, the number of token types (i.e. unique words) which overlap with the preceding coreference for a given referent $r$:

$$
\Delta c^r_n \triangleq \frac{c^r_n \cap c^r_{n-1}}{c^r_n \cup c^r_{n-1}}
$$

where $c^r \triangleq \{t \in T \mid t \in c\}$ is the set of all unique tokens (i.e. types) $t \in T$ in a coreference $c$. This is similar to Aina et al. (2017)’s “lexical alignment” metric but considers only the preceding coreference $c^r_{n-1}$ rather than all $c^r_{n’<n}$. Thus, token type overlap is relatively better-suited to measuring CP formation because CPs entail similar language in each RE rather than simply over the entire coreference chain (Brennan and Clark, 1996).

Rather than manually annotating REs within utterances as done by Aina et al. (2017) and Zarrieß et al. (2016), the metrics were calculated for all tokens in the utterances in a given game round, considering all language produced during the round refer to the piece which must be selected in that round $\hat{r}$. This introduces noise but also facilitates faster data collection and also simulates real-world scenarios, in which RE detection is non-trivial.

Moreover, convergence can be calculated not only for language used to refer to a unique entity (i.e. each of 20 possible referents in a session) but also for individual features, as done in this paper with the categorical feature $S$. In other words, not only can RL convergence be measured for individual referents but also for features of said referents, which are thus generalizable to other entities with similar features regardless if they have previously been referred to in discourse or not.

### 5. Analysis

Two different lexical analyses were performed in order to evaluate the appropriateness of the corpus for research in discourse alignment of RL and conceptual pacts: Firstly, a trend of lexical convergence was observed both within speakers (i.e. a single participant’s use of RL becomes less varied with time) as well as between speakers in a single dyad, whereby the RL used by one participant becomes more similar to their partner’s RL. Secondly, TF-IDF scores were used to estimate the amount of information contained by language for resolving referents in a given dialogue on a global scale, i.e. not considering dialogue context.

#### 5.1. Dialogic Convergence

Three types of lexical alignment were calculated in order to illustrate a trend of convergence in language use within dyads:

- **Within-speaker convergence** shows how an individual participant’s use of RL becomes more consistent throughout the course of the dialogue.

- **Between-speaker convergence** shows how the use of RL by both participants in a dyad converges on the other’s; Comparing this with within-speaker convergence allows effects of dialogic lexical alignment to be discerned from any effects associated with a particular participant (Krauss and Weinheimer, 1964).

| Time    | Speaker role | Dialogue utterances                                      |
|---------|--------------|----------------------------------------------------------|
| 7:27.97 | Instructor   | [uh this is a new one]$u_{732}$                          |
| 7:28.12 | Manipulator  | [right hand side it’s a V big V with a top sticking out of it]$u_{733}$ |
|         |              | [nm-hmm]$u_{734}$ [with a pointy]$u_{735}$               |

Table 4: Overview of 42 recorded sessions.

| Time    | Speaker role | Dialogue utterances                                      |
|---------|--------------|----------------------------------------------------------|
| 2:58.07 | Manipulator  | [uh is it the that one or is it not that one] LAUGHTER LAUGHTER$u_{95}$ |
|         | Instructor   | [the]$u_{96}$ [y- yeah so the LAUGHTER]$u_{97}$ [the same yellow the]$u_{98}$ |

Table 3: Example of transcription where overlapping speech and disfluencies affect segmentation.

| Minutes | Rnds. | Uts. | Tokens | Toks./utt. |
|---------|-------|------|--------|------------|
| Min     | 09:42.5 | 30 | 151 | 858 | 3.1 |
| Max     | 17:49.1 | 138 | 625 | 2592 | 8.6 |
| Mean    | 15:25.1 | 78.3 | 355.8 | 1616.3 | 4.7 |
| Sum     | 647.35.2 | 3288 | 14942 | 67884 | 198.8 |

Table 2: Example of transcription where overlapping speech does not affect segmentation.
Before concatenation, the following tokens were removed from each utterance:

**Metalanguage** such as COUGH and LAUGHTER

**Disfluencies** such as *l- in big block l- top left*

**Fillers** such as *um and uh in um blue uh kind of a temple*

**Duplicate tokens** such as the second *a in it’s a a blue mountain*

Utterances were concatenated in this way in order to mitigate effects of utterance segmentation on token type overlap: For example, there is in fact no overlap of the individual instructor utterances in Table 5 despite the following utterance pink like could be seen as an expansion of the RE initiated in the preceding utterance from the same speaker. Therefore, despite being separate “utterances” for the sake of transcription, they comprise a single referring unit. Likewise, comparing the overlap of the expansion pink like with its immediate predecessor what color for between-speaker convergence is not ideal because what color is not a proper RE but rather a request for expansion of the initiated RE. Secondly, semantically-weak tokens such as this one looks like introduce noise which must be addressed: the token sequences ⟨it, ‘, a, blue, bird⟩ and ⟨blue, bird⟩ would have an overlap of only 0.40 despite having total overlap in the most-relevant words, blue and bird. Concatenating utterances from the same speaker mitigates this by reducing the amount of comparisons made overall: The two previous sequences would only be compared if they appeared in separate game rounds for the same referent or — in the case of calculating between-speaker convergence — if the other participant referred to the same entity in the role of instructor between the two utterances.

Deriving the metric in this manner resulted in a set of 7,818 individual instructor utterances, which was then reduced to 3,288 unified coreferences for individual rounds excluding those comprised solely tokens filtered out in preprocessing.

### 5.1.2. Results

A strong effect of within-speaker convergence (WITHIN) effects as well as between speakers (BETWEEN) was found when measuring token type overlap for coreference chains referring to a specific entity $c_i \ldots c_{ni}$ (see Figure 3). Additionally, there was a weak but very significant inverse relationship of coreference sequence order and token type overlap in GENERAL convergence (see Table 6). This suggests that individual participants’ usage of RL converges not only on itself but also on that of their dyad partner’s, indicating the formation of CPs specific to that dyad.

A similar relationship between strong within-speaker and slightly weaker between-speaker convergence was seen when analyzing RL referring to specific features rather than entities themselves, i.e. language referring to all entities with a given SHAPE $c \in C \ | \ SHAPE(c) = s$ (see Figure 5). Analogously to when measuring overlap of “true” coreference chains for individual entities, there was a weak but very significant inverse relationship of coreference sequence order and token type overlap in GENERAL convergence (see Table 7). This suggests that RL and CPs not only are formed for individual referents but are at least partially generalizable to new referents which share features of previous referents, which warrants further analysis of alignment and CP negotiation in this experimental paradigm.

### 5.2. Information Content of RL

Finally, we evaluated RL based on how specific it is to the referent $r$, which the dialogue participants are to move in a given game round: This is done as an estimation of the amount of information contained by a particular set of language in the task of resolving the referent. When formulated, the task of reference resolution can be envisaged as an information retrieval task; For this reason, we calculated the TF-IDF scores (Spärck Jones, 1972) for each trigram of tokens from each utterance of language for both participants in each dialogue $g_i \triangleq \langle t_{i-2}, t_{i-1}, t_i \rangle$ where $c \triangleq \langle t_1 \ldots t_n \rangle$ and treated each unique referent in the corpus $r \in R$ as a “document”, where $|R| = 840
The illustrated examples suggest that this metric is an effective post-hoc measure of the potential “referentiality" of language given a known referent and it suggests that there are rich, varied usage of RL in this corpus which comprise CPs: Not only is there observable variation of highly-specific RL (i.e. RL with a high $tfidf$ score) even for similar referents (e.g. the diamond vs. slanted rectangle) but there is also a high intra-document frequency $tf$ of each of them. Moreover, this metric is purely linguistic and does not account for the features of the referents themselves and inter-referent similarities; it is possible that incorporating this knowledge may yet further increase the discriminative power of this metric.

$$\text{arg max}_{r \in R} tfidf_\alpha(g, r, R)$$

Figure 6: TF-IDF scores of language when considering a given unique referent $r$ in a dyad $d$ as a document. $|C'|$ is the number of coreferences of $r$ in a game.
6. Conclusion

*KTH Tangrams* is a corpus of high-quality task-oriented dialogue featuring observable convergence between participants in their use of referring language throughout the course of the dialogue they participate in. This indicates that the task’s dynamic yet repeating nature combined with the abstractness of tangram figures lends itself not only to the study of referring language in general but also in the development of conceptual pacts for reference which are individual to a particular dialogue.

In future works, we intend to use this dataset to explore the automatic understanding and generation of CPs in a dynamic context (i.e. for unseen dialogues); We encourage others interested in RL and CPs to take advantage of and improve this corpus as well in order to establish a common corpus for comparable studies in referring language and conceptual pacts.

7. Release

The linguistic transcriptions and environmental data will be made available under the Open Data Commons Attribution License v1.0 (Open Data Commons, 2010) as part of the forthcoming data bank Språkbanken Tal (Edlund, 2017), associated with the SWE-CLARIN initiative Språkbanken, the Swedish Language Bank (Hinrichs and Krauwer, 2014) Borin and Domeij, 2014: See [http://sprakbanken.speech.kth.se/data/kth-tangrams](http://sprakbanken.speech.kth.se/data/kth-tangrams).

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