Photonically synchronized large aperture radar for autonomous driving

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Abstract: Fully autonomous driving, even under bad weather conditions, can be enabled by the use of multiple sensor systems including 5D radar imaging. In order to get three dimensional, high resolution images with Doppler and time tracking of the target, the radar needs to utilize a large number of transmit/receive modules. For proper beam forming, all of them demand synchronization. Here a new concept for the optical distribution of radar signals, comprising low complexity integrated transmitter and receiver chips and a complex central station, will be introduced. Unavoidable temperature drifts due to environmental influences were compensated to maintain a continuous electrical output power. Within a proof-of-concept radar experiment an angular resolution of 1.1° has been achieved.

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1. Introduction

Due to significant development efforts and dramatic progress that has been made within the last decade, self-driving, autonomous vehicles have been getting lots of attention. Among the many technologies that are used for autonomous vehicles is a combination of sensors and actuators, sophisticated algorithms, and powerful processors to execute software. In order to see and interpret what is in front of the autonomous car when going forward, conventionally cameras and Light Detection and Ranging (LIDAR) systems are used [1]. For close-in control, such as when parking, lane-changing, or in bumper-to-bumper traffic, the LIDAR system is not as effective. Additionally, its performance is limited by weather conditions, such as fog or heavy rain. Therefore, it is supplemented by radars built into the front and rear bumpers and sides of the vehicle. The operating frequency for these types of radar is usually 77 GHz, which has good RF propagation characteristics, and provides sufficient resolution [2].

In addition to the precise measurement of target parameters like distance, relative radial velocity and relative azimuth angle, the target separation turned out to be very important for high quality object detection, recognition and classification [3]. To enhance the spatial resolution of a radar system, multiple antennas in multiple-input, multiple-output (MIMO) configuration can be used, enabling high azimuth and elevation angular resolution at a wide field of view [4]. This type of radar requires a large number of transmit/receive (T/R) modules, wherein all of them must be phase and frequency synchronized so that a coherent transmitting/receiving beam can be constructed. The distribution of these signals through conventional methods such as coaxial cable or twisted pair not only introduces engineering complexities and signal loss, but also have limitation of bandwidth, data rate and transmission distance. Additionally, in electrical powered cars, high electromagnetic fields can result in interferences and a reduction of the signal to noise ratio. Reliability, maintainability aspects, and RF leakage over a period of time are other drawbacks of conventional copper based cables. In contrast, optical systems are immune to electromagnetic interferences and electromagnetic compatibility issues while providing large bandwidth with increased data rate [5,6].
Although microwave-photonics technology is used in cable networks and sensors remoting, its application in radar is still being explored [7–11]. Microwave-photonics in radar has many applications starting from radio frequency front end, moving target indication filters, to radar signal processing [12,13]. Additionally, advancements in optical telecommunications have made the technology widely available and fully photonic-based radar is seen as an evolution to the next generation. In fact, microwave photonic systems with a performance exceeding that of state-of-the-art electrical radar systems have been shown [14]. In particular, today’s electronic transceivers cannot achieve the same frequency range without the use of several parallel architectures, and do not provide an equivalent precision, especially at high carrier frequencies.

For the last 25 years microwave photonic (MWP) systems have relied almost exclusively on discrete optoelectronic devices and standard optical fibers and fiber based components, which makes them not cost-effective enough for cars. However, nowadays, integrated microwave photonics aims at the incorporation of MWP components and subsystems in photonic circuits, which is crucial for the implementation of both low-cost and advanced analog optical front ends. Several major technologies like compound semiconductors (GaAs, InP), nonlinear crystals (LiNbO3), dielectrics (silica and silicon nitride based waveguides) and element semiconductor (silicon-on-insulator) are available for the realization [15,16]. However, each technology has its own specific strengths like light generation and detection, passive routing with low propagation loss, electronic integration, ease in packaging, etc.

Additionally, photonic integrated circuit design poses significant design challenges at the component and system level. Therefore, the right technology needs to be chosen carefully in order to meet the high requirements on link gain, noise figure and spurious-free dynamic range, as an indicator for nonlinearity, for integrated microwave photonic realization. Additionally, integrated microwave-photonic systems are another roadmap for optically controlled antenna beams of radars with reconfigurability options [17]. However, the generation and distribution of RF signals in MIMO radars using a microwave photonic network, has not been proposed yet.

So far, photonics and optical fibers are hardly used in vehicles. This is mainly due to the low data rates that occur in a conventional car. In an electrically operated, autonomous vehicle, however, the resulting data rates even of individual sensors can be in the gigabit range. Additionally, in autonomous cars the entertainment of the passengers might become very important. Thus, the very fast processing of data from multiple sensors, internet access and the distribution of high-bandwidth video and audio content is only possible with high data rate channels. In electrically driven cars, there are also time-varying, high field strengths, which require electromagnetic-interference immune data connections [18–20]. With today’s construction and connection technology easy to handle, robust and fully encapsulated optical connections can be made available, in which the actual optics does not come into contact with the environment of the vehicle. Due to the high bandwidths of the fibers, even very high frequencies such as the frequency modulated continuous wave (FMCW) radar signal of 77 GHz can be transmitted almost lossless. At the same time, optical fibers are very light and flexible. Optical fibers thus represent the ideal transmission medium in future autonomous electric vehicles.

In this paper, we introduce a new concept for a large aperture MIMO system with optical distribution of the radar signals. To make the entire system modular, flexible, expandable and updateable, the individual radar modules have to be as simple as possible, allowing for smaller size and flexible positioning. Additionally, the same carrier and ramp signal is distributed via a fiber optic network to each module. The analogue, unprocessed data is send back to the central station via the same optical fiber network. Furthermore, first measurements for the radar system are carried out, showing excellent angular resolution.
2. Concept

The basic idea of the proposed radar system is to make the single radar modules as simple as possible and to shift the whole complexity to a central station, as can be seen in Fig. 1. Within the central station on the left side the baseband radar signals are generated, received and processed in the electrical domain. The radar signal itself employs a chirp sequence FMCW signal, generated by the internal controller or sweep generator. However, other radar signals might be possible as well. Due to the proposed concept, the modulated signal $f_{\text{m}}$ distributed over the fiber network requires just one eighth of the final radar carrier $f_c$ and ramp frequency $f_r$. With $f_{\text{m}} = (f_c + f_r)/8$, the bandwidth requirements for the signal generator as well as for the electro optic modulator are reduced significantly. As will be shown later, the signal is at first frequency doubled at the photo diode (PD) through difference frequency generation (DFG) and then electrically multiplied by four within the radar chips. DFG enables the generation of RF signals with very low phase noise and high stability, which are superior to conventional RF sources [21–23]. For a 77 GHz radar signal with a 2 GHz ramp sweep, this leads to a reduced center frequency of $f_c/8 = 9.625$ GHz and a ramp modulation of $f_r/8 = \pm 125$ MHz at the central station.

For general distribution of the signal as well as for DFG at the PD, the signal needs to be transformed into the optical domain with the help of a Mach-Zehnder modulator (MZM) driven by an optical source like a distributed feedback laser diode (LD). In order to achieve proper DFG, the MZM needs to be operated in the carrier suppression mode and requires an operation point stabilization to maintain full carrier suppression.

![Fig. 1. Conceptual drawing of the proposed method including the central station on the left, as well as the transmitter and receiver chips on the right. The insets show the spectrum of the transmitted signals for (a) 1/8th of the radar signal with suppressed carrier and (b) the received baseband. LD: laser diode, MZM: Mach-Zehnder modulator, ADC: analog to digital converter, PC: computer, PD: photo diode.](image)

The modulated optical signal is split into several branches by an optical coupler. The branch number $N$ depends on the number of transmitter-receiver modules and the signal is distributed via optical fibers. The exact spatial distribution of the modules is defined by the design and conditions of the vehicle. Each module can consist of a co-integrated, electric-photonic chip, as can be seen on the right side in Fig. 1. For a mass market like cars, such a co-integration can be done with CMOS compatible techniques like silicon-on-insulator (SOI). Since for the connection between the chips and the optical fibers usually grating couplers are used, the envisioned fiber network for the distribution of the radar signals consists of standard single mode fibers. Thermal gradients along the fiber during operation lead to changes in fiber attenuation as well as length changes, resulting in a delay of the signals. The first impairment can be compensated easily by optical or electrical amplifiers, and the second one by algorithms within the central station.
For the back transmission of the analogue signal to the central station, the optical input is
split. In the chip the DFG in a 20 GHz PD converts the 9.625 ± 0.125 GHz optical signal
(inset (a) in Fig. 1) into a 19.25 GHz RF with a 500 MHz (19.25 ± 0.250 GHz) ramp. This
signal is electrically multiplied by four in order to reach the final radar signal at 77 ± 1 GHz.
In principle, an electrical frequency multiplier is a device with a nonlinear transfer function,
which generates higher harmonics and subsequently selects the desired harmonic by filtering.
Conventionally, varactor diodes, step recovery diodes or high power amplifiers are used.
Lately, graphene transistors have been employed for frequency doubling with more than 90%
converting efficiency [24].

Before radiation, the signal is electrically amplified. The received high frequency radar
signal is down converted with an electrical mixer to the baseband. This low frequency signal
is amplified and converted to the optical domain with an integrated modulator (Mod). Again
just low bandwidth electrical and optical components are necessary. The baseband signal is
transmitted back to the central station via a separate optical fiber (inset (b) in Fig. 1). Since
the RF and ramp signal has a much higher frequency than the baseband signal, it can be
simply separated by a low bandwidth photodiode at the central station. Instead of the star
arrangement and a distinguished fiber for up and downlink, different wavelengths in one
single fiber and a ring topology of the chips might be possible.

Back at the central station, the signal is converted to the electrical domain by a PD and
further processed by electronics. Here, a bandwidth in the frequency range of the ramp (500
MHz) is sufficient. Finally, the signals from the different modules are processed by an analog
to digital converter (ADC) and the radar image is generated via a PC.

Although becoming steadily cheaper, today optical devices like EDFA and single
modulators might be too expensive for a cost sensitive market like automotive. However, on
the one hand we believe that with the incorporation in a mass market the prices will fall
dramatically. On the other hand, as can be seen from Fig. 1, in the envisioned concept just one
laser, modulator and one single EDFA, together with the low bandwidth photodiodes to
receive the signal, would be required in the central station. The high number of radar modules
are electronic-photonic integrated circuit (EPIC) chips, which are mass-market compatible
and can be produced very cost effective. Additionally, most parts of the central station might
be integrated on cost-effective chips as well.

3. Setup and characterization

In order to test the signal distribution and synchronization as well as the stability of the
system, first proof-of-concept experiments were carried out, where several commercially
available external radar chips are synchronized via optical fibers. The local oscillator (LO)
signal of the commercial chips was 19.25 GHz. Thus, the synchronization signal had twice
the frequency than in the concept, presented in the last section. The functionality of the
proposed transmitter/receiver, including frequency multiplication by four and down
conversion is provided by the used radar chips.

The basic schematic of the proof-of-concept radar system with fiber optic signal
distribution is depicted in Fig. 2. On the right side several radar chips (RC) with multiple
antennas for transmitting (Tx) and receiving (Rx) are indicated. The used radar chip was the
AWR1243 from Texas Instruments with 3 transmit and 4 receive antennas per module.
Multiple chips can be cascaded on a single printed circuit board to improve the target
detection and resolution. Another AWR1243 chip was used as a Master, which provides the
Local Oscillator (LO) signal of 19.25 GHz for the Slaves. In the Slave chips, the radar signal
in the 76-81 GHz band is generated through electrical multiplication by four. The 19.25 GHz
LO from the master already contains the chirp modulation.
Fig. 2. Schematic of the proof-of-concept optically synchronized radar system. Single mode fiber optic connections are indicated by red lines and electrical connections by black ones. At the bottom, the link budget with measured optical and electrical output powers is displayed. The total link gain for the electrical signal from the master output to the RC input is zero, including the electrical-optical and optical-electrical conversion and all pre and post amplifiers. LDC: laser diode current controller, LD: laser diode, TEC: temperature controller, µC: microcontroller, PD: photo diode, EDFA: Erbium doped fiber amplifier, RC: radar chip.

In principle, the optical signal distribution and synchronization system consists of three main building blocks: an electrical to optical conversion, the distribution by optical single mode fibers and the back conversion into the electrical signal for each RC. The optical light source within the E/O block is a conventional distributed feedback laser diode (LD, JDSU CQF975/208) with a wavelength of 1547.40 nm, comprising narrow linewidth of 2 MHz, high side mode suppression ratio of 45 dB and a low relative intensity noise of −135 dBc/Hz. It is equipped with a laser diode driver module (LDC) that operates in constant current mode. Additionally, a compact and highly integrated temperature controller (TEC) optimized for use in high performance thermoelectric temperature control applications is applied to the LD. The output current is directly controlled to eliminate current surges and an adjustable TEC current limit provides the highest level of TEC protection. The synchronization signal from the Master chip is transferred into the optical domain with the help of a Mach-Zehnder modulator (MZM). Input polarization adjustment can be neglected since it is connected with a polarization maintaining fiber directly to the LD. The used MZM (OptiLab IM-1550-20-TQ) shows a usable bandwidth up to 20 GHz and a half wave voltage below 5 V.

A characterization of the complete signal distribution and synchronization system regarding the electrical input power against the electrical output power at the specified synchronization frequency of 19.25 GHz is depicted in Fig. 3(a). As can be seen, it shows saturation above an electrical input power of 18 dBm. Since the master chip just provides an electrical output power of 6 dBm, it needs to be amplified (Mini Circuits ZX60-183A) to guarantee proper operation.

In order to meet the requirements for automotive environment, all individual components, connectors and cables are chosen carefully to meet the operational range as specified by −40 to +125°C. Nevertheless, during operation the heating of the waveguides in the modulator by the adjacent electrodes as well as changing environmental temperatures, lead to a drift of the operation point [25]. The higher the applied voltage, the higher the waveguides are heated. This leads to a length change, which is accompanied with a phase change and results in a change of the transmission at the output of the MZM. Especially the sideband carrier ratio is changing, leading to a severe change of the electrical signal after the PD. Therefore, a control loop was set up to stabilize the operation point for maximum transmission power. Thereby, the signal is fed through an inline fiber optic power monitor (Oplink ITMS), consisting of a 5% coupler and a low bandwidth photo diode. The received power is monitored by a microcontroller and maintained to a predefined setpoint. Additionally, the microcontroller
enables voltage protection in order to avoid damage to the MZM. Measurements of the temperature stabilization are shown in Fig. 3(b). The black trace shows the temperature drift over time during operation within a fixed temperature environment. Without stabilization of the operation point, there is a significant drift of the electrical output power at the photo diodes, as displayed by the red trace in Fig. 3(b). The green trace shows the measurement with enabled stabilization. As can be seen, a constant output power is maintained. The small remaining power changes are subjected to the resolution of the microcontroller.

![Graph](image)

**Fig. 3.** Electrical characterization of the system (a) and the measurement results of the modulator operating point stabilization (b), black: temperature drift, red/green: output power w/o/w stabilization.

The second main block is the optical amplifier and splitter module. The optical signal is split by a planar lightwave circuit coupler with a ratio of 1:4 in order to distribute the signal to the different Slave modules. The length of the fiber in the vehicle might sum up to several tens of meters, where the attenuation can be neglected. The output of the erbium doped optical amplifier (EDFA, LiComm OFA-TCU) is stabilized via automatic light control and shows a flat gain response around the selected wavelength. Due to the restricted gain of the electrical amplifiers and to achieve highest conversion efficiency at the PD, the output power of the EDFA was set to 17 dBm. The overall link budget for the optical and electrical signals within the proof of concept experiment can be seen in the bottom of Fig. 2. In general, the optical link power can be reduced easily, if electrical amplifiers with a higher gain are used after the PDs.

The last building block of the optical synchronization is the optical to electrical receiver, where the signal from the optical amplifier and splitter is converted back into RF signals using a photo diode (PD) as converter. The used PD (Optilab PD-20) has an electrical bandwidth of typically 19 GHz and a responsivity in the order of 0.85 A/W. The characterization of the photo diodes for a modulation frequency of 19.25 GHz with an electrical power of 18 dBm can be seen in Fig. 4(a). As expected, the electrical output power rises with increasing optical input power as well as higher bias voltage. As can be seen, there will be a decay and saturation of the electrical output power for higher optical input powers. The maximum electrical output power (~4 dBm@19.25 GHz) is achieved for around 10 dBm optical input power for a bias voltage of 7 V. Before entering the slave radar chips, the signal is electrically amplified (Mini Circuits ZX60-183A).
Identical performance of all receivers is not critical, since all the transmit/receive modules are individually calibrated in a near field test range to define the digital value of phase and amplitude weight function. In general, nonlinear effects and distortions are minimized with the use of proper shielding and grounding. The optical devices are used in the linear region to avoid distortion due to compression.

The frequency response of the whole signal distribution and synchronization system is shown in Fig. 4(b). Depending on the selected components, there is an increasing loss above 20 GHz. Under optimum conditions regarding optical and electrical input power, the system shows a maximum output power of $\approx 2.2$ dBm for lower frequencies and around $\approx 4$ dBm for the synchronization frequency of 19.25 GHz.

4. Radar system

The complete radar system can be seen in Fig. 5 on the left side and consists of individual smaller modules (green printed circuit boards). Each module carries two AWR1243 radar chips and an FPGA. Thus, a module has a total of 8 receive (Rx) and 6 transmit (Tx) antennas. In total, 5 different modules with different sparse linear antenna configurations are available, which can be arranged in different orientations. One module (master) delivers the local oscillator signal, but its Tx and Rx antennas are not used. Figure 5 (left side) shows the arrangement of the master with a perpendicular arrangement of two pairs of slave modules both in azimuth and elevation. Each pair of modules provides a linear sparse array of 16 Rx and 12 Tx antennas in total. For an initial test just two modules were used to perform an azimuthal scan, requiring just two of the four optical cables of the right side in Fig. 2 for the 19.25 GHz reference signal transmission. Since commercial radar chips have been used for the proof-of-concept, other synchronization signals for the processing of the data were required as well, which were transmitted via coaxial cables. The optical synchronization of the 19.25 GHz LO signals is fully integrated within the black box in the background. The raw output data of the radar chips is grabbed by an FPGA and transferred to a PC for further processing.

Figure 5 (right side) shows the result of a two-dimensional beamforming (azimuth and distance) with two targets at 3.2 m distance. The targets are clearly visible and can be separated with an angular separation of 1.1°. The upper part on the right side of Fig. 5 depicts the cross cut through the detected pattern at the target. Besides azimuth, elevation and distance the hardware of the demonstrator as presented in Fig. 5 is capable of measuring the Doppler of a target and track it over time, which is called 5D radar imaging. Additionally, it is planned to increase the resolution far beyond the presented 1.1° by the incorporation of more modules. According to [26, p. 20] the half power beam width (HPBW) can be approximated with $\text{HPBW} = \frac{50.8° \times \lambda}{D}$, where $\lambda$ is the wavelength and $D$ the width of an aperture.
radiator. Following this, we can increase the angular resolution by using more modules, resulting in a larger aperture.

Fig. 5. Demonstrator for the fiber optic synchronized radar system with one master and four slave modules on the left side, as well as a measurement of the horizontal resolution with one master and only two slave modules on the right side.

5. Conclusion

In conclusion, we have shown a new concept for a modular and flexible MIMO 5D radar imaging system where all the complexity is transferred to a central station. The simple-as-possible individual modules can be fabricated in a mass-production compatible, silicon-on-insulator technology and are connected via a fiber optic network, leading to a robust system that is capable of transmitting high frequency analog and digital data. First proof-of-concept system experiments with already existing commercial radar chips show the design and implementation of an optical signal generation and synchronization system. All components are selected carefully to ensure operation in the specified temperature range and to avoid nonlinearities and distortions. The system works well up to a synchronization frequency of 19.25 GHz and possible loss of synchronization through thermal drifts of the modulator are compensated. Finally, the whole radar system is characterized and shows an angular resolution of 1.1°. The presented radar system shows high potential for low cost optical integration and might lead to a high-resolution 5D sensor for autonomous driving even under bad weather conditions.

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