Lectures on hydrodynamic fluctuations in relativistic theories

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Abstract
These are pedagogical lecture notes on hydrodynamic fluctuations in normal relativistic fluids. The lectures discuss correlation functions of conserved densities in thermal equilibrium, interactions of the hydrodynamic modes, an effective action for viscous fluids and the breakdown of the derivative expansion in hydrodynamics.

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(Some figures may appear in colour only in the online journal)
1. Basic hydrodynamics

1.1. Introduction

One can think about hydrodynamics as an effective long-distance description for a given classical or quantum many-body system at non-zero temperature. These lectures will be mostly about relativistic hydrodynamics, in other words, about hydrodynamics of fluids whose microscopic constituents are constrained by Lorentz symmetry, as happens in relativistic quantum field theories. Relativistic hydrodynamics is not limited of course to the description of the collective motion of particles that move at speeds close to the speed of actual light. All that matters is Lorentz symmetry: the hydrodynamic equations describing the quark–gluon plasma [1] will look identical to the hydrodynamic equations describing relativistic quantum critical regions in quantum magnets [2].

Besides its relevance to the real-world physical phenomena, hydrodynamics is an interesting subject from a purely theoretical physics point of view. It turns out that hydrodynamic fluctuations (both in normal fluids and in superfluids) can be thought of as gravitational fluctuations of black holes, and vice versa [3, 4]. In fact, this connection between gravity and hydrodynamics works beyond the linear response, allowing one to derive how the full nonlinear relativistic Navier–Stokes equations are encoded in Einstein’s equations of general relativity. This relation of the Einstein’s equations to the equations of relativistic hydrodynamics is often referred to as the ‘fluid-gravity correspondence’ [5].

What does this teach one beyond being a curious mathematical correspondence? One answer comes from the gauge-gravity duality [6, 7] which states (among many other things) that the hydrodynamics of a black hole does in fact represent the hydrodynamics of a specific quantum system, whose Hamiltonian is explicitly known. In particular, one can easily evaluate transport coefficients in these quantum systems from black hole physics. This is an important advance because the quantum systems in question happen to be strongly interacting, and the conventional methods such as the diagrammatic expansion or Monte–Carlo simulations become inadequate to compute the transport coefficients. Because these quantum-mechanical models share important common features with the theory of strong interactions (QCD), the computations of transport coefficients from black hole physics becomes more than a purely academic exercise.

An example of a fruitful interplay between hydrodynamics and black hole physics came from the realization that the kinematic viscosity in the gauge-gravity duality takes a universal model-independent value [8, 9], and that the Heisenberg uncertainty relation may prevent the existence of perfect fluids in nature [8]. The two main competitors for the most nearly-perfect fluid are the ultracold Fermi gases and the quark–gluon plasma, see [10] for a review.

On the other hand, the relation between hydrodynamics and black holes has motivated new research into relativistic hydrodynamics itself, and its applications. One example is the classification of two-derivative terms (originally introduced in order to restore the causality of the standard one-derivative relativistic generalization of the Navier–Stokes equations) which only appeared recently [11, 12]. Another example is the application of the relativistic hydrodynamics to thermo-magnetic charge transport in high-temperature superconductors [13], and in graphene [14]. Another example is the realization that the standard relativistic hydrodynamics needs to be corrected in the presence of quantum anomalies [15]. Another
example is the modification of relativistic hydrodynamics due to parity violation [16]. Another example is the systematic study of dissipative relativistic superfluid hydrodynamics [17]. All the above examples could have been understood many years ago without any black hole physics. But they were not. Instead, the studies were heavily motivated by the recent experiments studying the quark–gluon plasma [18], by the gauge-gravity duality [19, 20], and by the progress in understanding quantum critical phenomena [21].

These lectures will largely focus on hydrodynamic fluctuations, that is small, long-wavelength fluctuations near thermal equilibrium. These fluctuations are long-lived: their frequency \( \omega(k) \) vanishes as \( k \to 0 \), and they can propagate long distances, as one can see in the example of sound waves, whose dispersion relation is \( \omega(k) = v_s |k| + O(k^2) \). Such hydrodynamic modes are solutions to the hydrodynamic equations. At the same time, such modes are a danger to the very existence of hydrodynamics, as they violate the assumption of local equilibration. Thermal excitations in these long-lived modes will contribute to both charge and momentum transport. For non-relativistic fluids, it has been known for a long time that this effect wipes off the very notion of classical hydrodynamics in 2+1 dimensions [22], and makes two-derivative corrections to classical hydrodynamics in 3+1 dimensions problematic [23]. Relativistic hydrodynamics is no different in this respect: for small fluctuations near thermal equilibrium, there is not a great difference between relativistic and non-relativistic hydrodynamics. Thus the classical equations of second-order relativistic hydrodynamics in 3+1 dimensions do not describe the fluid correctly in the hydrodynamic limit [26].

These lecture notes have three main parts. The first part is about the equations of hydrodynamics: we need to know what the equations are, before we solve them! The equations can be found for example in the book by Landau and Lifshitz [27], or in the book by Weinberg [28]. The second part is about how the classical equations of hydrodynamics determine the two-point correlation functions of conserved densities, (energy density \( T^{00} \), momentum density \( T^{0i} \) and charge density \( J^0 \)) in equilibrium. This will be done in the linear response theory, deriving the Kubo formulas for the shear viscosity, the bulk viscosity, and the charge conductivity. An excellent pedagogical reference on the linear response theory is the paper by Kadanoff and Martin [29]. We will also compute the correlation functions by a somewhat different method, by studying the response of the hydrodynamic equations to the external gauge field, and to the external metric. The third part of the lecture notes is about the interactions of the hydrodynamic modes. In order to treat the interactions systematically, one may introduce microscopic random stresses and random currents, thus converting the classical hydrodynamic equations into stochastic equations. Using a standard method, hydrodynamics can then be recast as a real-time ‘quantum’ field theory, where temperature plays the role of \( \hbar \), and where correlation functions follow from a generating functional defined by a functional integral with a local effective action.

1.2. Non-relativistic hydrodynamics

Let us start with non-relativistic hydrodynamics of normal fluids in three spatial dimensions. The discussion here will be a brief review; we will move onto relativistic fluids shortly which will be discussed in more detail. Hydrodynamic equations express conservation laws of whatever is conserved, supplemented by assumptions about the dissipative response, and

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1 In systems with many degrees of freedom, these fluctuation effects can be suppressed if the limit \( N \to \infty \) is taken before the hydrodynamic limit \( k \to 0, \omega \to 0 \). In other words, the large-\( N \) limit does not commute with the hydrodynamic limit in 2+1 dimensions. In the context of the gauge-gravity duality, taking the limit \( N \to \infty \) first is what allows the classical 2+1 dimensional hydrodynamics to emerge from 3+1 dimensional gravity [24, 25].
thermodynamic information such as the equation of state. Let us first discuss ideal (i.e. non-dissipative) hydrodynamics of a simple non-relativistic fluid [27].

**Ideal fluids.** In a non-relativistic system, particle number is conserved, in other words particles are not created or destroyed. This conservation of particle number is expressed in hydrodynamics as conservation of mass, by the continuity equation

\[ \partial_t \rho + \partial_i (\rho v_i) = 0, \]  

where \( \rho \) is local fluid density (mass per unit volume), and \( v \) is local fluid velocity. Another equation is the equation of motion of a fluid element, \( \rho (\partial v_i / \partial t) = -\partial_j p \) where \( p \) is pressure, and \(( - \partial_j p ) \) is the force per unit volume. In terms of the velocity field \( v(t,x) \) this gives the Euler equation, \( \rho (\partial_i v_i + v_i \partial_j v_i) = -\partial_j p \). Using the continuity equation (1.1), one can rewrite the Euler equation in the form of momentum conservation,

\[ \partial_i (\rho v_i) + \partial_j \Pi_{ij} = 0, \]  

where \( \Pi_{ij} = p \delta_{ij} + \rho v_i v_j \). So far we have five unknown functions \( (\rho, v, p, \epsilon) \), and five equations. The equilibrium equation of state will provide a relation between entropy conservation, \( \partial s / \partial t = \partial \tilde{s} + v_i \partial_j \tilde{s} = 0 \) where \( \tilde{s} \) is entropy per unit mass. Equivalently, \( \partial \tilde{s} + \partial_i (s v_i) = 0 \), where \( s \) is entropy per unit volume. Using the continuity equation, the Euler equation and basic thermodynamic identities, the condition of entropy conservation can be rewritten as conservation of energy,

\[ \partial_t \left( \epsilon + \frac{\rho v^2}{2} \right) + \partial_i \left( w + \frac{\rho v^2}{2} \right) v_i = 0, \]  

where \( \epsilon \) is (internal) energy per unit volume, and \( w = \epsilon + p \) is enthalpy per unit volume. We now have six unknown functions \( (\rho, v, p, \epsilon) \), and five dynamical equations (1.1)–(1.3), plus the equation of state which relates \( \epsilon, p \) and \( \rho \). The above equations of ideal fluid dynamics express the conservation of mass, momentum and energy.

In writing down equations (1.1)–(1.3), we have implicitly assumed that the fluid is homogeneous, for example there is only one species of particles. If there are several conserved ‘particle numbers’, the set of hydrodynamic equations needs to be expanded, reflecting the fact that there are more conserved quantities. See for example [27, section 58].

**Dissipative fluids.** Equations (1.1)–(1.3) of ideal hydrodynamics ignore dissipation. For example, sound waves derived from ideal hydrodynamics would propagate indefinitely without damping. Physically, dissipation means that the fluid can move locally not only because a fluid element is pushed around by other fluid elements, but also because the microscopic movement of the constituents of the fluid can even out various inhomogeneities in velocity, temperature etc. For example, consider the configuration with constant \( p, \rho \) and \( \epsilon \), and with

\[ v_x = v_x(y) \quad v_y = v_z = 0. \]  

This describes homogeneous fluid which moves uniformly in the \( x \) direction, but whose velocity depends on \( y \), as shown in figure 1. For such configuration, \( \partial_y v_x = 0 \), as well as \( v_x \partial_y v_x = 0 \), and therefore the flow (1.4) is a perfectly good stationary solution to the ideal hydro equations (1.1)–(1.3). However, this flow is unphysical because the particles which comprise the fluid can move in the \( y \) direction, and this will lead to momentum transfer between different layers in the fluid. As a result, the velocity distribution \( v_x(y) \) will tend to become more uniform, and the configuration (1.4) will not remain stationary. It is precisely these kinds of processes
Topical Review

Figure 1. A stationary flow of an ideal fluid with a velocity gradient. Thin arrows represent particles which can transfer x-momentum in the y-direction, eventually leading to the equilibration of the inhomogeneous velocity profile.

that are taken into account by the dissipative terms in the hydrodynamic equations. The idea of dissipative hydrodynamics is to modify the equations of the ideal hydrodynamics by adding extra terms which are proportional to spatial gradients of the hydrodynamic variables. Moreover, one assumes that the gradients are small, so that a 'gradient expansion' can be constructed. In hydrodynamics one always assumes that the quantities of interest vary on scales which are large compared to the microscopic length scale $l_{\text{mfp}}$, so that $|\partial_\alpha \rho| \ll |\rho/l_{\text{mfp}}|$. We will retain only those terms which are linear in spatial gradients. In principle, one can write down dissipative terms which are quadratic in spatial gradients, then cubic and so on. One can think about hydrodynamics as of an effective theory which is valid at long distance and time scales, similar to the low-energy chiral theory in QCD. Higher-order terms are suppressed by the powers of the cutoff which in hydrodynamics is $l_{\text{mfp}}$.

The equations of dissipative hydrodynamics can be written in the form \[ \partial_t \rho + \partial_i (\rho v_i) = 0, \] \[ \partial_t (\rho v_i) + \partial_j \Pi_{ij} = 0, \] \[ \partial_t \left( \epsilon + \frac{\rho v^2}{2} \right) + \partial_i f_i = 0, \]
where the stress tensor is $\Pi_{ij} = p \delta_{ij} + \rho v_i v_j - \Sigma_{ij}$ with

$\Sigma_{ij} = \eta \left( \delta_{ij} v_j + \delta_j v_i - \frac{2}{3} \delta_{ij} \delta_k v_k \right) + \zeta \delta_{ij} \partial_k v_k,$

and the energy current is

$f_i^e = \left( w + \frac{\rho v^2}{2} \right) v_i - \Sigma_{ij} v_j - \kappa \partial_i T.$

The coefficient $\eta$ is called shear viscosity, $\zeta$ is called bulk viscosity and $\kappa$ is called thermal conductivity\(^2\). The transport coefficients $\eta$, $\zeta$ and $\kappa$ should be thought of as input parameters

\(^2\) Often $\eta$ is called just 'viscosity', and $\zeta$ is called 'second viscosity' or 'volume viscosity'. The equation of momentum conservation (1.5b) is called the Navier–Stokes equation. For a historical account of the formulation of the Navier–Stokes equation, see [30].
for hydrodynamics: like in any effective theory, they can not be evaluated in hydrodynamics itself, but rather need to be computed from the underlying short-distance physics, for example using the Boltzmann equation [31]. In a near-ideal gas, both \( \eta \) and \( \kappa \) are proportional to the mean-free path.

In writing down the equations (1.5) of dissipative hydrodynamics, a particular out-of-equilibrium definition of the hydrodynamic variables has been chosen. A different choice would lead to the hydrodynamic equations which look different from (1.5). We will discuss this issue when talking about relativistic hydrodynamics in section 1.3.

One final comment concerns the terminology. The words ‘perfect fluid’, ‘ideal fluid’ or ‘ideal hydrodynamics’ usually refer to non-dissipative hydrodynamics, i.e. the form of the hydrodynamic equations with \( \eta, \zeta \) and \( \kappa \) set to zero. However, ideal hydrodynamics is not the same as hydrodynamics of the ideal gas. In fact, ideal gas (i.e. gas without interactions between the particles) has no hydrodynamics at all because the thermal equilibrium state is unstable when there are no interactions. This pathology of the ideal gas can be cured by introducing small interactions between the particles, with interaction strength determined by a small parameter \( \lambda \). The equation of state in such a system is the same as the equation of state of the ideal gas, up to small corrections of order \( \lambda \). The mean free path, on the other hand, will be very large (inversely proportional to \( \lambda \)), and the length scale at which hydrodynamics is applicable must be even larger. The shear viscosity and the thermal conductivity in this slightly non-ideal gas are large, because \( \eta \) and \( \kappa \) are directly proportional to the mean-free path. By taking the limit \( \lambda \to 0 \) one can see that the ideal gas has infinite shear viscosity and thermal conductivity, unlike the ideal fluid which has (by definition) zero shear viscosity and thermal conductivity.

**Hydrodynamic modes.** Now that we have the equations of hydrodynamics, we can study some simple solutions. A fluid at rest, with constant \( \rho = \bar{\rho}, \ p = \bar{p}, \ \epsilon = \bar{\epsilon} \) and \( v = 0 \) is obviously a solution. Now let us look at small fluctuations around this solution: \( p = \bar{p} + \delta p, \ \epsilon = \bar{\epsilon} + \delta \epsilon, \ \rho = \bar{\rho} + \delta \rho \) and small \( v \). ‘Small fluctuations’ means that \( \delta p \ll \bar{p} \) etc, but we need to say what does it mean to have small \( v \). We will see that the relevant condition is that \( v \) must be much smaller than the speed of sound.

Let us start with ideal hydrodynamics. The linearized equations (1.1)–(1.3) become

\[
\begin{align*}
\partial_t \delta \rho + \bar{\rho} \partial_i v_i &= 0, \\
\bar{\rho} \partial_t v_i + \partial_i \delta p &= 0, \\
\partial_t \delta \epsilon + \bar{\epsilon} \partial_i v_i &= 0,
\end{align*}
\]

where \( \bar{v} = \bar{\epsilon} + \bar{p} \). There are three unknown functions besides \( v \), and we need to choose the variables: given the equation of state, we can work with \( \delta \epsilon, \delta \rho \), or with \( \delta p, \delta T \), or with some other variables. Let us choose \( \delta \epsilon \) and \( \delta \rho \) as the hydrodynamic variables, then we need an equation of state of the form \( p = p(\epsilon, \rho) \). In practice these variables may not be the most convenient ones, but they are natural from the effective field theory point of view because they represent the densities of conserved charges. From the equation of state, we have \( \partial_i \delta p = (\partial p/\partial \epsilon)_\rho \partial_i \delta \epsilon + (\partial p/\partial \rho)_\epsilon \partial_i \delta \rho \), where the thermodynamic derivatives are determined by the equilibrium equation of state.

For fluctuations around an infinite homogeneous background, we can take all variables proportional to \( e^{i k \cdot x} \), and decompose the velocity as \( v = v_\parallel + v_\perp \), where the parallel and perpendicular are with respect to the wave vector \( k \). In particular, \( v_\parallel = k (k \cdot v)/k^2 \). Then it is easy to show using equations (1.6) that the transverse velocity component becomes time
independent and decouples. On the other hand, the longitudinal component satisfies the wave equation
\[ \partial_t^2 v_\parallel (k, t) + k^2 v_\parallel^2 v_\parallel (k, t) = 0, \]
where \( v_\parallel \equiv \left( \partial p / \partial \rho \right)_\epsilon + \left( \partial p / \partial \epsilon \right)_\rho \omega / \rho. \) This describes a hydrodynamic mode which propagates with the velocity \( v_\parallel. \) As is evident from equations (1.6), both mass density and energy density are part of the same mode, and describe density fluctuations which propagate with the velocity \( v_\parallel. \) This hydrodynamic mode is of course the sound wave, and \( v_\parallel \) is the speed of sound. The sound waves are longitudinal because \( v_\perp \) is not a part of the propagating mode.

Also, equations (1.6) say that \( |\delta \rho / \bar{\rho}| = |v_\parallel / v_\parallel| \) and \( |\delta \epsilon / \bar{\epsilon}| = |v_\parallel / v_\parallel|, \) so the magnitude of velocity fluctuations must be much smaller than the velocity of sound in order for the linearized approximation to be valid. The eigenfrequencies of the system (1.6) are \( \omega(k) = \pm |k| v_\parallel, \) plus two \( \omega = 0 \) solutions, one for \( v_\perp \), and one for \( (\delta \rho, v_\parallel) \). Once dissipation is included, the \( \omega = 0 \) solutions will become the transverse non-propagating shear mode and the longitudinal non-propagating heat mode.

Let us now bring the speed of sound into a more familiar form. To evaluate the thermodynamic derivatives, we need to choose an equilibrium ensemble. Let us work in the canonical ensemble with a fixed total number of particles \( N \). Mass density is \( \rho = mN / V \), where \( m \) is the mass of one particle. At fixed \( N \), we have \( T dS = dE + p dV \), as well as \( dV / V = -d\rho / \rho. \) Also, the change in energy is \( dE / V = d(\epsilon V) / V = d\epsilon - \epsilon d\rho / \rho, \) and thus
\[ T dS / V = d\epsilon - w d\rho / \rho. \]
This gives the variation of pressure in terms of \( dS \) and \( d\rho: \)
\[ dp = \left( \frac{\partial p}{\partial \epsilon} \right)_\rho d\epsilon + \left( \frac{\partial p}{\partial \rho} \right)_\epsilon d\rho = \left( \frac{\partial p}{\partial \epsilon} \right)_\rho T dS / V + \left[ \left( \frac{\partial p}{\partial \epsilon} \right)_\rho \frac{w}{\rho} + \left( \frac{\partial p}{\partial \rho} \right)_\epsilon \right] d\rho. \]
The coefficient in the square brackets is precisely \( v_\parallel^2 \), and therefore
\[ v_\parallel^2 = \left( \frac{\partial p}{\partial \rho} \right)_{S,N}, \]
which is the familiar textbook expression. For an ideal gas, \( p = \rho T / m \), and also \( p \propto \rho^\gamma \), where \( \gamma \) is the adiabatic index, about 1.4 for air. So the speed of sound in a non-relativistic ideal gas is
\[ v_\parallel = \sqrt{\frac{\gamma T}{m}}, \]
proportional to the square root of temperature.

The same analysis of small fluctuations around a static thermal equilibrium state can be repeated for the dissipative hydrodynamics. The dispersion relations \( \omega(k) \) for the hydrodynamic modes will get imaginary parts proportional to \( k^2 \) (at small \( |k| \)) which are determined by the dissipative coefficients \( \eta, \zeta \) and \( \kappa. \) As a result of the \( O(k^2) \) dissipation, low-frequency sound waves propagate farther than high-frequency sound waves.

1.3. Relativistic hydrodynamics

Now let us move on to relativistic hydrodynamics. As it is the main subject of these lectures, we will discuss it in some more detail. For simplicity, we will only discuss normal fluids (no superfluids), and fluids without dynamical electromagnetic fields (no magneto-hydrodynamics). The speed of light is set to one.
Hydrodynamic variables. Just like in the non-relativistic case, hydrodynamic equations express conservation laws of whatever is conserved. According to the Noether theorem, conservation laws are related to continuous symmetries of the fundamental microscopic theory, which imply the existence of conserved currents. In relativistic systems, the spacetime symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as the symmetries are given by translations, rotations and boosts. In addition, there may be other ‘internal’ symmetries, such as

The conserved current corresponding to the spacetime translation symmetry is the energy–momentum tensor $T^\mu\nu$. We will define the energy–momentum tensor by the variation of the action with respect to the external metric [28], in which case it is symmetric, $T^\mu\nu = T^{\nu\mu}$, and the conservation law is

$$\partial_\mu T^{\mu\nu} = 0, \quad (1.7a)$$

The conserved currents corresponding to rotations and boosts are $M^{\mu\nu\lambda} = x^\mu T^{\nu\lambda} - x^\nu T^{\mu\lambda}$, which are identically conserved owing to the symmetry of the energy–momentum tensor, $\partial_\nu M^{\mu\nu\lambda} = 0$. In other words, given our definition of the energy–momentum tensor, there is no extra conservation equation beyond (1.7a) expressing the symmetry under rotations and boosts. Further, we will allow for a possibility of a conserved current $J^\mu$ corresponding to a global $U(1)$ symmetry:

$$\partial_\nu J^\mu = 0, \quad (1.7b)$$

In $d$ spatial dimensions, there are $d + 1$ equations (1.7a), and one equation (1.7b), while there are $(d + 1)(d + 2)/2$ components of $T^{\mu\nu}$, and $d + 1$ components of $J^\mu$, in other words there are more unknowns than there are equations. The simplifying assumption of hydrodynamics is that $T^{\mu\nu}$ and $J^\mu$ can be expressed as functions of $d + 2$ fields: a local temperature $T(x)$, a local fluid velocity $v(x)$, and a local chemical potential $\mu(x)$. This makes the number of unknowns equal the number of equations.

The choice of hydrodynamic variables can be understood as follows. The equilibrium state is characterized by the density operator $\hat{\rho}$, which is proportional to the exponential of the conserved charges [32],

$$\hat{\rho} = e^{\beta_\mu P^\mu + \gamma N}. \quad (1.8)$$

In this expression $Z = \text{tr} e^{\beta_\mu P^\mu + \gamma N}$ is the partition function, $P^\mu$ is the momentum operator, and $N$ is the operator of the conserved charge. The density operator is characterized by a timelike vector $\beta^\mu$ and a scalar $\gamma$, which parametrize the manifold of thermal equilibrium states. We will write these parameters as $\beta^\mu = \beta u^\mu$, and $\gamma = \beta \mu$, where the vector $u^\mu$ is normalized such that $u^\mu u_\mu = -1$, and represents the velocity of the fluid, $\beta = 1/T$ is the inverse temperature and $\mu$ is the chemical potential. In components, $u^\mu = (1 - v^2)^{-1/2}(1, v)$, where $v$ is the spatial velocity. Note that the equilibrium state breaks Lorentz symmetry (specifically, boost invariance) due to the presence of the preferred timelike vector $\beta^\mu$.

Hydrodynamic is concerned with states which deviate slightly from the thermal equilibrium states (1.8) specified by constant $\beta_\mu$ and $\gamma$. Hence, one chooses to describe these slightly non-equilibrium states in terms of slowly varying functions $\beta_\mu(x)$ and $\gamma(x)$, or equivalently in terms of slowly varying functions $u_\mu(x)$, $T(x)$ and $\mu(x)$. As the microscopic theory is Lorentz invariant, and it is only the state (1.8) that breaks the Lorentz symmetry, the hydrodynamic equations formulated in terms of $\beta_\mu(x)$ and $\gamma(x)$ must be Lorentz covariant.

For simplicity, we will assume a parity-symmetric microscopic theory, and take $J^\mu$ to be a vector current (charge density is parity-even). If parity is not a symmetry of the microscopic theory, or if $J^\mu$ is an axial current (charge density is parity-odd), there will be extra terms in the constitutive relations in addition to those we are going to discuss here [17, 16].
and the effective action for hydrodynamics must be Lorentz invariant. We will return to the
question of effective action in section 4. In the following, we will focus on equations of
relativistic hydrodynamics, and will use \( u_\mu \), \( T \) and \( \mu \) as our hydrodynamic variables, as is
conventional. One could have used the variables \( \beta_\mu \) and \( \gamma \) instead.

**Constitutive relations.** For any timelike vector \( u^\mu \), the energy–momentum tensor and the
current can be decomposed into components which are transverse and longitudinal with
respect to \( u^\mu \), using the projector \( \Delta^{\mu\nu} \equiv \eta^{\mu\nu} + u^\mu u^\nu \), where \( \eta_{\mu\nu} = \text{diag} (-1, 1, \ldots, 1) \) is the
flat-space metric. We write the decomposition as [33]

\[
T^{\mu\nu} = E u^\mu u^\nu + P \Delta^{\mu\nu} + (q^\mu u^\nu + q^\nu u^\mu) + \tau^{\mu\nu},
\]
(1.9a)

\[
J^\mu = N u^\mu + j^\mu,
\]
(1.9b)

where the coefficients \( E \), \( P \) and \( N \) are scalars, the vectors \( q^\mu \) and \( j^\mu \) are transverse, i.e.
\( u_\mu q^\mu = u_\mu j^\mu = 0 \), and the tensor \( \tau^{\mu\nu} \) is transverse, symmetric and traceless. Explicitly, the
coefficients are:

\[
E = u_\mu u_\nu T^{\mu\nu}, \quad P = \frac{1}{d} \Delta_{\mu\nu} T^{\mu\nu}, \quad N = -u_\mu J^\mu, \quad (1.10a)
\]

\[
q_\mu = -\Delta_{\mu\nu} q_\nu \tau^{\nu\rho}, \quad j_\mu = \Delta_{\mu\nu} j^{\nu\rho}, \quad (1.10b)
\]

\[
l^{\mu\nu} = \frac{1}{2} \left( \Delta_{\mu\alpha} \Delta_{\nu\beta} + \Delta_{\nu\alpha} \Delta_{\mu\beta} - \frac{2}{d} \Delta_{\mu\nu} \Delta_{\alpha\beta} \right) \tau^{\alpha\beta}. \quad (1.10c)
\]

Equations (1.9) are just identities which hold locally in spacetime for any symmetric \( T^{\mu\nu}(x) \)
and any \( J^\mu(x) \), given a chosen vector \( u^\mu(x) \). The assumption of hydrodynamics enters in
expressing the coefficients \( E \), \( P \) and \( N \) in terms of the hydrodynamic variables \( u_\mu \),
\( T \) and \( \mu \). For example, the coefficients \( E \), \( P \) and \( N \) will be functions of scalars \( T \), \( \mu \), \( \partial_\mu u^\mu \),
\( u^\alpha \partial_\mu T \), \( \partial^\mu \mu \) etc. Similarly, the coefficients \( j_\mu \) and \( q_\mu \) will be functions of transverse
vectors \( \Delta_{\mu\nu} \partial_\nu T \), \( \Delta_{\mu\nu} \partial^\nu \mu \) etc. The expressions for \( T^{\mu\nu} \) and \( J^\mu \) in terms of the hydrodynamic
variables \( T \), \( u_\mu \) and \( \mu \) are called the constitutive relations.

We will write down the constitutive relations in the derivative expansion, which is the
expansion in powers of derivatives of the hydrodynamic variables. Because the deviations
from thermal equilibrium are assumed small, one expects that one-derivative terms will
be smaller than zero-derivative terms, two-derivative terms will be smaller than one-derivative
terms and so on. This is analogous to the derivative expansion in effective field theory,
while the role of the cutoff is played by the microscopic scale \( l_{mfp} \). At length scales shorter
than \( l_{mfp} \), hydrodynamics stops being a sensible description of matter. Ideal (non-dissipative)
hydrodynamics corresponds to taking into account only non-derivative terms of the hydro
variables \( T \), \( u_\mu \) and \( \mu \) in the expansion of \( T^{\mu\nu} \) and \( J^\mu \). Conventional dissipative hydrodynamics
corresponds to taking into account both non-derivative and one-derivative terms of the hydro
variables \( T \), \( u_\mu \) and \( \mu \) in the expansion of \( T^{\mu\nu} \) and \( J^\mu \).

**Zeroth-order hydrodynamics.** Let us start with ideal hydrodynamics, i.e. no derivatives of the
hydro variables. The transverse \( q^\mu \), \( t^{\mu\nu} \) and \( j^\mu \) can only be built out of derivatives of the hydro
variables, hence there are no \( q^\mu \), \( t^{\mu\nu} \) and \( j^\mu \) in the ideal hydrodynamics. On the other hand, the
coefficients \( E \), \( P \) and \( N \) can be functions of \( T \) and \( \mu \). These coefficients have simple physical
interpretation: in static equilibrium, \( T^{\mu\nu} = \text{diag}(\epsilon, p, \ldots, p) \), where \( \epsilon \) is the equilibrium
energy density and \( p \) is the equilibrium pressure, while \( J^\mu = (n, 0) \), where \( n \) is the equilibrium
charge density. For a fluid which moves with constant velocity \( u^\mu \), the energy–momentum
tensor and the current are obtained by performing the corresponding Lorentz transformation, and one finds
\[ T^{\mu\nu} = \epsilon u^\mu u^\nu + p \Delta^{\mu\nu}, \quad J^\mu = nu^\mu. \] (1.11)

Ideal hydrodynamics corresponds to adopting this equilibrium form of the energy–momentum tensor and the current, and promoting \( \epsilon, p, u^\mu \) and \( n \) to slowly varying fields. The constitutive relations in ideal relativistic hydrodynamics thus have the form (1.11), (1.12), in other words we can identify \( \mathcal{E}(x) = \epsilon(x) \) with local energy density, \( \mathcal{P}(x) = p(x) \) with local pressure, \( \mathcal{N}(x) = n(x) \) with local charge density, and \( u^\mu(x) \) with local fluid velocity. The equilibrium equation of state provides one with \( p(T, \mu) \), from which the energy density \( \epsilon \), entropy density \( s \), and the charge density \( n \) can be found as \( s = \partial p/\partial T, n = \partial p/\partial \mu, \epsilon = -p + Ts + \mu n \).

The longitudinal component of equation (1.7a), \( \partial_\mu (\epsilon u^\mu) = 0 \), and current conservation give
\[ \partial_\mu (\epsilon u^\mu) = \epsilon u^\mu \partial_\nu, \]
(1.13a)
\[ \partial_\mu (nu^\mu) = 0, \]
(1.13b)
where \( \epsilon = \epsilon + p \) is the density of enthalpy. Using the thermodynamic relation \( w = Ts + \mu n \) together with \( dp = s \partial T + n \partial \mu \), the conservation equations in (1.13) combine to give
\[ \partial_\mu (sw^\mu) = 0. \]
This is interpreted as the conservation of the ‘entropy current’, namely that (locally) the entropy does not increase in ideal (non-dissipative) hydrodynamics\(^4\).

**First-order hydrodynamics: frame choice.** Let us now proceed to first-order hydrodynamics, i.e. taking into account terms in the constitutive relations with up to one derivative of the hydrodynamic variables. This brings up a subtlety which was not apparent in the ideal hydrodynamics. Namely, the notion of local temperature, local chemical potential, and local fluid velocity are not uniquely defined out of equilibrium. One may define many local temperature fields \( T(x) \) which will differ from each other by gradients of the hydro variables, and will approach the same constant value in equilibrium, when the gradients tend to zero. The same applies to the chemical potential \( \mu(x) \) and to the velocity \( u^\mu(x) \). In particular, this means that coefficients \( \mathcal{E}, \mathcal{P} \) and \( \mathcal{N} \) in the decomposition (1.9) are
\[ \mathcal{E} = \epsilon(T, \mu) + f_\mathcal{E}(\partial T, \partial \mu, \partial u), \]
(1.14a)
\[ \mathcal{P} = p(T, \mu) + f_\mathcal{P}(\partial T, \partial \mu, \partial u), \]
(1.14b)
\[ \mathcal{N} = n(T, \mu) + f_\mathcal{N}(\partial T, \partial \mu, \partial u), \]
(1.14c)
where \( \epsilon, p \) and \( n \) are determined by the equation of state in equilibrium, and the form of the out-of-equilibrium gradient corrections \( f_\mathcal{E}, f_\mathcal{P}, f_\mathcal{N} \) depends on the definition of the local temperature, local chemical potential, and local velocity. In hydrodynamics, redefinitions of the fields \( T(x), \mu(x) \) and \( u^\mu(x) \) are often referred to as a choice of ‘frame’, and we adopt this terminology here. When thinking about frame choices, it is important to keep in mind that the parameters \( T(x), \mu(x) \) and \( u^\mu(x) \) have no first-principles microscopic definition out of equilibrium. For example, there is no ‘temperature operator’ whose expectation value in

\(^4\) Even in zeroth-order hydrodynamics, entropy can increase in presence of shock waves which are discontinuities of the hydrodynamic flow [27].
a given non-equilibrium state would give a local temperature $T(x)$. Rather, $T(x)$, $\mu(x)$ and $u^\mu(x)$ are merely auxiliary parameters used to parametrize $T^\mu{}^\nu(x)$ and $J^\mu(x)$, which do have microscopic definitions. The auxiliary parameters may be redefined at will, as long as the energy–momentum tensor and the current remain unchanged under such redefinitions, see e.g. [17] for a recent discussion.

Consider a redefinition (or ‘frame’ transformation)

$$
T(x) \to T'(x) = T(x) + \delta T(x),
\mu(x) \to \mu'(x) = \mu(x) + \delta \mu(x),
$$

$$
u^\mu(x) \to \nu'^\mu(x) = \nu^\mu(x) + \delta \nu^\mu(x),
$$

where $\delta T$, $\delta \mu$ and $\delta \nu^\mu$ are first order in derivatives. Note that $\delta u^\mu$ must be transverse, $u_\mu \delta u^\mu = 0$, due to the normalization condition $u^2 = -1$. Using the definitions (1.10), and remembering that $q^\mu$, $j^\mu$ and $\nu^\mu$ are first order in derivatives, while $T^\mu{}^\nu$ and $J^\mu$ remain invariant, one finds to first order

$$
\delta \mathcal{E} = 0, \quad \delta \mathcal{P} = 0, \quad \delta \mathcal{N} = 0,
$$

$$
\delta q_{\mu} = -(\mathcal{E} + \mathcal{P}) \delta u_{\mu}, \quad \delta j_{\mu} = -\mathcal{N} \delta u_{\mu},
$$

$$
\delta \mathcal{P}_{\mu\nu} = 0.
$$

One can choose $\delta u_{\mu}$ such that $j_{\mu} = 0$; this definition of the local fluid velocity which is adopted by Eckart [33], is often referred to as ‘Eckart frame’, and implies no charge flow in the local rest frame of the fluid. Or one can choose $\delta u_{\mu}$ such that $q_{\mu} = 0$; this definition of the local fluid velocity which is adopted in the book of Landau and Lifshitz [27], is often referred to as ‘Landau frame’, and implies no energy flow in the local rest frame of the fluid. Equation (1.15a) says that $\mathcal{E}(T, \mu) + f_{\mathcal{E}}(\partial T, \partial \mu, \partial u) = \mathcal{E}(T', \mu') + f'_{\mathcal{E}}(\partial T', \partial \mu', \partial u')$, and similarly for $\mathcal{P}$ and $\mathcal{N}$, which implies that

$$
f'_{\mathcal{E}} = f_{\mathcal{E}} - \left(\frac{\partial}{\partial T}\right)_\mu \delta T - \left(\frac{\partial}{\partial \mu}\right)_T \delta \mu,
$$

$$
f'_{\mathcal{P}} = f_{\mathcal{P}} - \left(\frac{\partial}{\partial T}\right)_\mu \delta T - \left(\frac{\partial}{\partial \mu}\right)_T \delta \mu,
$$

$$
f'_{\mathcal{N}} = f_{\mathcal{N}} - \left(\frac{\partial}{\partial T}\right)_\mu \delta T - \left(\frac{\partial}{\partial \mu}\right)_T \delta \mu.
$$

This means that we can use the redefinitions of $T$ and $\mu$ to set two of the three functions $f'_{\mathcal{E}}$, $f'_{\mathcal{P}}$, $f'_{\mathcal{N}}$ to zero. It is conventional to set $f'_{\mathcal{E}}$ and $f'_{\mathcal{N}}$ to zero, which is to say that one can choose an off-equilibrium definition of $T$ and $\mu$ such that $\mathcal{E} = \epsilon$ and $\mathcal{N} = n$.

Other frames choices are possible: a generic frame would have both $q^\mu$ and $j^\mu$ non-zero, as well as $f_{\mathcal{E}}$, $f_{\mathcal{P}}$, $f_{\mathcal{N}}$ (defined by equation (1.14)) all non-zero. As is evident from equation (1.15b), the combination

$$
\ell^\mu \equiv j^\mu - \frac{n}{\epsilon + \nu} q^\mu
$$

is frame-invariant. Using the above transformations of $f'_{\mathcal{E}}$, $f_{\mathcal{P}}$ and $f_{\mathcal{N}}$, it is easy to check that

$$
f \equiv f_{\mathcal{P}} - \left(\frac{\partial}{\partial \epsilon}\right)_n f_{\mathcal{E}} - \left(\frac{\partial}{\partial n}\right)_\epsilon f_{\mathcal{N}}
$$

is frame-invariant as well. The dissipative terms may be shifted around in the constitutive relations by frame transformations in a way that leaves $\ell^\mu$ and $f$ invariant.
First-order hydrodynamics: constitutive relations. We start with the Landau frame, where the velocity $u^\mu$ is chosen so that $q^\mu = 0$, and $T$ and $\mu$ are chosen so that $\mathcal{E} = \epsilon$ and $\mathcal{N} = n$. The remaining coefficients $\mathcal{P}, \mu^{\mu\nu}$ and $j^\mu$ in equations (1.9) need to be expressed in terms of the hydrodynamic variables, providing constitutive relations in first-order hydrodynamics.

There are three one-derivative scalars in addition to $T$ and $\mu$, which can be built out of the hydro variables: $u^\mu \partial_\mu T$, $u^\lambda \partial_\lambda u_\mu$, and $\partial_\mu u^\mu$. There are also three transverse vectors, $\Delta^{\mu\nu} \partial_\nu T$, $\Delta^{\mu\nu} \partial_\nu u_\mu$, and $\Delta^{\mu\nu} u_\nu$, where $u_\nu \equiv u^\nu \partial_\nu$. Finally, there is one transverse traceless symmetric tensor,

$$\sigma^{\mu\nu} \equiv \Delta^{\mu\alpha} \Delta^{\nu\beta} \left( \partial_\alpha u_\beta + \partial_\beta u_\alpha - \frac{2}{d} \eta_{\alpha\beta} \partial_\mu u^\mu \right).$$

Let us look at the scalars first. We would write the derivative expansion as

$$\mathcal{P} = p - \xi \partial_\mu u^\mu + O(\partial^2),$$

where $p$ is thermodynamic pressure in the local rest frame of the fluid, $c_{1,2,3}$ are some coefficients, and $O(\partial^2)$ denote the terms which contain either second derivatives of the hydro variables, or are quadratic in the first derivatives. We can simplify our life by making use of the known zeroth-order hydro equations: indeed, two scalar equations $u_\lambda \partial_\mu T^{\mu\lambda} = 0$ and $\partial_\mu j^\mu = 0$ provide two relations among the three one-derivative scalars. We can use the zeroth-order hydro equations to eliminate two of the scalars in the above expansion for $\mathcal{P}$, and the error we are making by using the zeroth-order equations is only going to enter at $O(\partial^2)$, which we are neglecting anyway. It is conventional to eliminate $u^\mu \partial_\mu T$ and $u^\mu \partial_\mu u_\mu$, and keep $\partial_\mu u^\mu$ as the only independent scalar at first order. Thus the expansion of $\mathcal{P}$ becomes,

$$\mathcal{P} = p - \xi \partial_\mu u^\mu + O(\partial^2),$$

where $\xi$ is a coefficient which needs to be determined from the microscopic theory. The coefficient $\xi$ is the bulk viscosity.

Similarly, we would write $j^\mu$ as a combination of the three transverse one-derivative vectors. Again, we can use the zeroth order hydro equations: there is one transverse vector equation $\Delta_{\lambda\nu} \partial_\mu T^{\mu\lambda\nu} = 0$, and therefore only two of the three transverse vectors are independent. We choose to eliminate $\Delta^{\mu\nu} \partial_\nu u_\mu$, and therefore the expansion of $j^\mu$ becomes

$$j^\mu = -\sigma T \Delta^{\mu\nu} \partial_\nu (\mu/T) + \chi_T \Delta^{\mu\nu} \partial_\nu T + O(\partial^2),$$

where $\sigma$ and $\chi_T$ are coefficients which need to be determined from the microscopic theory. The coefficient $\sigma$ is the charge conductivity; we will see later that the coefficient $\chi_T$ must be zero.

Finally, $\sigma^{\mu\nu}$ is the only transverse traceless symmetric tensor, hence

$$\mu^{\mu\nu} = -\eta \sigma^{\mu\nu} + O(\partial^2),$$

where the coefficient $\eta$ is the shear viscosity. Thus the constitutive relations in first-order relativistic hydrodynamics in the Landau frame can be taken as:

$$T^{\mu\nu} = \epsilon u^\mu u^\nu + p \Delta^{\mu\nu} - \eta \Delta^{\mu\nu} \Delta^{\alpha\beta} \left( \partial_\alpha u_\beta + \partial_\beta u_\alpha - \frac{2}{d} \eta_{\alpha\beta} \partial_\mu u^\mu \right) - \xi \Delta^{\mu\nu} \partial_\nu \partial_\mu u^\mu + O(\partial^2),$$

and

$$j^\mu = n u^\mu - \sigma T \Delta^{\mu\nu} \partial_\nu (\mu/T) + \chi_T \Delta^{\mu\nu} \partial_\nu T + O(\partial^2).$$

We see that Lorentz covariance restricts the constitutive relations up to four transport coefficients $\eta, \xi, \sigma$ and $\chi_T$. We will see later that $\eta, \xi$ and $\sigma$ must be non-negative, while $\chi_T$ vanishes (even though a non-zero value of $\chi_T$ is allowed by Lorentz symmetry). The equation of state provides one with $p(T, \mu)$, from which one can find $\epsilon(T, \mu)$ and $n(T, \mu)$.
The transport coefficients $\eta$, $\zeta$, and $\sigma$ depend on $T$ and $\mu$ in a way that is determined by the underlying microscopic theory.

In a generic unspecified frame, the constitutive relations for frame-invariant quantities in first-order hydrodynamics take the form

$$ t^{\mu \nu} = -\eta \sigma^{\mu \nu}, \quad f = -\zeta \partial_{\nu} u^{\nu}, \quad \ell^{\mu} = -\sigma T \Delta^{\mu \nu} \partial_{\nu} (\mu / T) + \chi_T \Delta^{\mu \nu} \partial_{\nu} T. $$

For example, one could choose a frame in which the bulk viscosity appears as a non-equilibrium correction to charge density, and the charge conductivity appears in the constitutive relations for both the energy–momentum tensor and the current. In the Eckart frame, the constitutive relations become

$$ T^{\mu \nu} = \epsilon u^{\mu} u^{\nu} + p \Delta^{\mu \nu} + \left( q^{\mu} u^{\nu} + q^{\nu} u^{\mu} \right) - \eta \sigma^{\mu \nu} - \zeta \Delta^{\mu \nu} \partial_{\nu} u^{\nu} + O(\partial^{2}), $$

$$ J^{\mu} = n u^{\mu} + O(\partial^{2}), $$

where $q^{\mu} = (\sigma T \Delta^{\mu \nu} \partial_{\nu} (\mu / T) - \chi_T \Delta^{\mu \nu} \partial_{\nu} T)(\epsilon + p)/n$. The expression for $q^{\mu}$ can be rewritten in an equivalent form by eliminating $\Delta^{\mu \nu} \partial_{\nu} \mu$ in favor of $\Delta^{\mu \nu} \partial_{\nu} T$ and $\Delta^{\mu \nu} \dot{u}_{\nu}$, using the equations of ideal hydrodynamics:

$$ q^{\mu} = -\kappa \Delta^{\mu \nu} (\dot{u}_{\nu} + \partial_{\nu} T) - \frac{\epsilon + p}{n} \chi_T \Delta^{\mu \nu} \partial_{\nu} T + O(\partial^{2}), $$

where $\kappa \equiv \sigma (\epsilon + p)^2 / (n^2 T)$ is the heat conductivity. It is this Eckart-frame form of the relativistic hydrodynamic equations with $\chi_T = 0$ that becomes equation (1.5) in the non-relativistic limit.

The coefficients $\eta$, $\zeta$, $\sigma$ and $\chi_T$ can be viewed as parameters in the effective theory that need to be matched to the microscopic theory. This matching can be done in the linear response theory, as we will see in the next section. The linear response theory will give explicit expressions for $\eta$, $\zeta$, and $\sigma$ in terms of correlations functions of the energy–momentum tensor and the current. As the latter are independent of the ‘frame’, this provides an alternative way to see that the transport coefficients $\eta$, $\zeta$ and $\sigma$ are frame invariant: choosing a different frame may change the place where they appear in the constitutive relations, but not their value.

As this example of first-order hydrodynamics illustrates, Lorentz covariance alone is not sufficient to enumerate the transport coefficients: the number of non-vanishing transport coefficients does not coincide with the number of ‘independent tensor structures’ that one can write down consistent with Lorentz symmetry, up to a given order in the derivative expansion. Other ingredients are needed (such as the local form of the second law of thermodynamics, or the linear response theory) in order to find the number of independent transport coefficients. The same happens in second-order hydrodynamics [34–36].

**First-order hydrodynamics: entropy current.** Before moving on to the linear response theory, let us briefly discuss the entropy current in first-order relativistic hydrodynamics. In thermal equilibrium state with constant $u^{\mu}$, the entropy current is $S^{\mu} = su^{\mu}$. We saw that $\partial_{\mu} (su^{\mu}) = 0$ in zeroth order (ideal) hydrodynamics. One now assumes that in first-order hydrodynamics there exists a current

$$ S^{\mu} = su^{\mu} + \text{(gradient corrections)}, $$

which satisfies $\partial_{\mu} S^{\mu} \geq 0$ when the equations of hydrodynamics are satisfied. The gradient corrections vanish in equilibrium, and are built out of derivatives of $T$, $\mu$, and $u^{\mu}$. The entropy current defined this way is in general not unique, however, demanding that $\partial_{\mu} S^{\mu} \geq 0$, one can obtain the constitutive relations together with constraints on the transport coefficients [27, 37].
An expression for the entropy current may be written down by using a covariant version of the thermodynamic relation 

\[ T s = p + \epsilon - \mu n \] as \[32\]

Using the decomposition of the energy–momentum tensor and the current (1.9), we find

\[ S^\mu = \left[ s + \frac{1}{T} (E - \epsilon) - \frac{\mu}{T} (N - n) \right] u^\mu + \frac{1}{T} q^\mu - \frac{\mu}{T} j^\mu, \] (1.18)

where \( E, N, q^\mu \) and \( j^\mu \) are defined by (1.9) and (1.10). Using frame transformations (1.15) together with \( \delta p = p(T', \mu') - p(T, \mu) = s \delta T + n \delta \mu \), it is straightforward to check that the entropy current (1.18) is frame invariant. The expression for the entropy current simplifies in a frame with \( E = \epsilon, N = n \), and in addition one can use either the Eckart frame condition \( j^\mu = 0 \), or the Landau frame condition \( q^\mu = 0 \). The positivity of entropy production \( \partial_\mu S^\mu \geq 0 \) will dictate that

\[ \eta \geq 0, \quad \zeta \geq 0, \quad \sigma \geq 0, \quad \chi_T = 0. \] (1.19)

The canonical form (1.18) of the entropy current and the constraints (1.19) arise by demanding the positivity of entropy production in curved spacetime, \( \nabla_\mu S^\mu \geq 0 \), see \[17\]. We will see that the same conditions (1.19) can be obtained in the linear response theory, without reference to an entropy current.

### 2. Hydrodynamic equations and correlation functions

If we wish to study small hydrodynamic fluctuations about a static thermal equilibrium state, we can take the full nonlinear relativistic hydrodynamic equations (1.7) with constitutive relations (1.16), and linearize them around the solution \( u^\mu = (1, 0), T = \text{const}, \mu = \text{const.} \) For most of this section, rather than working with \( T, u^\mu \), and \( \mu \), we will work with their conjugate variables, which are the energy density, momentum density, and charge density. The reason is that the latter have a microscopic definition given by the operators \( T^0_\mu(x) \) and \( J^0_\mu(x) \).

#### 2.1. Simple diffusion

Before moving on to the full linearized hydrodynamics, we start with a simple example of the diffusion equation for a conserved density \( n(t, x) \),

\[ \partial_t n - D \nabla^2 n = 0, \] (2.1)

where \( \nabla^2 \equiv \partial^2 \partial_\mu \) is the spatial Laplacian. This equation of course describes the diffusion of \( n(t, x) \) with a diffusion coefficient \( D \), and gives a simple example of relaxation with \( \omega(k) \to 0 \) as \( k \to 0 \). To proceed, we define the spatial Fourier transform by

\[ n(t, x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} n(t, k). \]

We can now solve the equation,

\[ n(t, k) = e^{-k^2 D t} n_0(k), \] (2.2)

where \( n_0(k) \equiv n(t = 0, k) \). What this equation tells us is how the density relaxes at \( t > 0 \) given an initial disturbance \( n_0(k) \). We will be working later with functions of frequency, rather than time, and so we would like to Fourier transform (2.2) in time as well. However, \( n(t, k) \)
in equation (2.2) is only defined for $t \geq 0$, so instead of the Fourier transform, we will use the Laplace transform in time, defined as

$$n(z, k) = \int_0^\infty dt \, e^{izt} n(t, k),$$

where $z$ must have a positive imaginary part for convergence. Applying the Laplace transform to the diffusion equation (2.1), we find the solution as

$$n(z, k) = \frac{n_0(k)}{-iz + Dk^2}.$$

We can also write this in terms of the source $\mu(t, x)$ for the charge density, which is the chemical potential. For small fluctuations, we have $n(t, x) = \chi \mu(t, x)$, where $\chi \equiv (\partial n/\partial \mu)_{\mu=0}$ is the static susceptibility. Note that $\chi$ is a static thermodynamic quantity, not a dynamic response quantity. We now write the solution to the diffusion equation in terms of the initial value of the source as

$$n(z, k) = \frac{\chi \mu_0(k)}{-iz + Dk^2}. \tag{2.3}$$

We now would like to connect the solution to the diffusion equation to correlation functions in our system. To do so, we imagine the following process. We turn on a source for the density in equation (2.2). This can be written more compactly if we introduce the retarded function.

We now would like to connect the solution to the diffusion equation to correlation functions in our system. To do so, we imagine the following process. We turn on a source for the density in equation (2.2). This will give us the retarded function. Our goal now is to take this equation for $t > 0$, find the Laplace transform $\langle n(z, k) \rangle$, and compare with the prediction (2.3) of the diffusion equation. This will give us the retarded function.
We first Fourier transform equation (2.5) in space, which gives
\[
\langle n(t, \mathbf{k}) \rangle = -\int_{-\infty}^{0} d\tau e^{i\omega \tau} \mu(\mathbf{k}) G^R_{mn}(t-\tau, \mathbf{k}). \tag{2.6}
\]
Note that \( \mu(\mathbf{k}) \) is the Fourier transform of \( \mu(\mathbf{x}) \), in other words it is the Fourier transform of the external source \( \mu(t, \mathbf{x}) \) at \( t = 0 \), hence we will write \( \mu(\mathbf{k}) = \mu_0(\mathbf{k}) \), to use the notation of equation (2.3). Next, we Fourier transform the retarded function in time,
\[
G^R(t-\tau', \mathbf{k}) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} G^R(\omega, \mathbf{k}) e^{-i\omega(t-\tau')}.
\]
Note that \( G^R(t, \mathbf{k}) \) is only non-zero for \( t > 0 \), hence \( G^R(\omega, \mathbf{k}) \) is an analytic function in the upper half-plane of complex \( \omega \). We can then analytically continue \( G^R(\omega, \mathbf{k}) \) to lower half-plane. Now the density induced by the external source becomes
\[
\langle n(t, \mathbf{k}) \rangle = -\mu_0(\mathbf{k}) \int \frac{d\omega}{2\pi} G^R_{mn}(\omega, \mathbf{k}) e^{-i\omega t}.
\]
We multiply both sides by \( e^{iz} \) (with \( \text{Im} z > 0 \)), and integrate over \( t \) from 0 to \( \infty \), which gives
\[
\langle n(z, \mathbf{k}) \rangle = -\mu_0(\mathbf{k}) \int \frac{d\omega}{2\pi} \frac{G^R_{mn}(\omega, \mathbf{k})}{(i\omega + z + i\epsilon)(i(\omega-z) + i\epsilon)}.
\]
To do the integral, we close the contour in the upper-half plane where \( G^R \) is analytic. There are two poles inside the contour, at \( \omega = iz \), and \( \omega = z + i\epsilon \), thus
\[
\langle n(z, \mathbf{k}) \rangle = -\mu_0(\mathbf{k}) \frac{G^R_{mn}(z, \mathbf{k}) - G^R_{mn}(z = 0, \mathbf{k})}{iz}, \tag{2.7}
\]
where the argument of \( G^R \) is understood to be slightly above the real axis. Now comparing with equation (2.3), we find:
\[
G^R_{mn}(z, \mathbf{k}) - G^R_{mn}(z = 0, \mathbf{k}) = -\frac{-iz\chi}{iz + Dk^2}.
\]
The only missing piece is \( G^R(z = 0, \mathbf{k}) \). It is easy to find if one looks at equation (2.6) at \( t = 0 \),
\[
\langle n(t = 0, \mathbf{k}) \rangle = -\mu_0(\mathbf{k}) \int_{0}^{\infty} d\tau' e^{-i\tau'} G^R_{mn}(t', \mathbf{k}) = -\mu_0(\mathbf{k}) G^R_{mn}(z = 0, \mathbf{k}).
\]
So in the small-\( \mathbf{k} \) limit we can identify \( G^R_{mn}(z = 0, \mathbf{k}) = -\chi \), which gives the retarded function
\[
G^R_{mn}(z, \mathbf{k}) = \frac{\chi Dk^2}{iz - Dk^2}.
\]
This function is analytic in the upper-half plane of complex \( z \) as it should be. We can define \( G^R(\omega, \mathbf{k}) \) in the whole complex plane as the analytic continuation of \( G^R(z, \mathbf{k}) \) from the upper-half plane. In the lower half-plane, the retarded function has a pole at \( \omega = -iDk^2 \), corresponding to the diffusive mode.

Given the above expression for the retarded function, we can deduce the standard Kubo formula for the diffusion constant,
\[
D\chi = -\lim_{\omega \to 0, k \to 0} \frac{\omega}{k^2} \text{Im} G^R_{mn}(\omega, \mathbf{k}).
\]
2.2. Canonical approach to hydrodynamic response functions

The above example of diffusion allows for a simple generalization when there are several fields present. Let $\psi_a(t, \mathbf{x})$ be the set of hydrodynamic variables which have microscopic operator definitions, such as the charge density $J^0$, momentum density $T^{0i}$ etc. We add the sources $\lambda_{ab}$ to the Hamiltonian as

$$\delta H = - \int d^d x \lambda_{ab}(t, \mathbf{x}) \psi_a(t, \mathbf{x}).$$

The master relation (2.4) now gives

$$\delta \langle \psi_a(t, \mathbf{x}) \rangle = - \int_{-\infty}^{\infty} dt' \int d^d x' G_{ab}^{R}(t-t', \mathbf{x}-\mathbf{x}') \lambda_{b}(t', \mathbf{x}'),$$

or in terms of the Fourier components simply

$$\delta \langle \psi_a(\omega, \mathbf{k}) \rangle = - G_{ab}^{R}(\omega, \mathbf{k}) \lambda_{b}(\omega, \mathbf{k}). \quad (2.8)$$

As before, the retarded function is defined by

$$G_{ab}^{R}(t-t', \mathbf{x}-\mathbf{x}') \equiv -i \delta(t-t') \langle [\psi_a(t, \mathbf{x}), \psi_b(t', \mathbf{x}')] \rangle. \quad (2.9)$$

We can repeat the initial-value analysis just like we did for diffusion. In momentum space the variables $\psi_a$ obey linear equations

$$\partial_t \psi_a(t, \mathbf{k}) + M_{ab}(\mathbf{k}) \psi_b(t, \mathbf{k}) = 0, \quad (2.10)$$

where the matrix $M_{ab}$ is determined by the equations that $\psi_a$ satisfy, such as the equations of relativistic hydrodynamics. Applying the Laplace transform, one finds

$$(-i\omega_{ab} + M_{ab}) \psi_b(z, \mathbf{k}) = \psi_b^0(\mathbf{k}),$$

where $\psi_b^0(\mathbf{k}) \equiv \psi_b(t = 0, \mathbf{k})$. The initial values of the hydrodynamic variables are related to the initial values of the sources by the susceptibility matrix, $\psi_b^0(\mathbf{k} \rightarrow 0) = \chi_{ab} \lambda_b^0(\mathbf{k} \rightarrow 0)$, where

$$\chi_{ab} = \left( \frac{\partial \psi_a}{\partial \lambda_b} \right)$$

is the static thermodynamic susceptibility. As before, the retarded function at zero frequency and small momentum is just minus the susceptibility, $G_{ab}^{R}(z = 0, \mathbf{k} \rightarrow 0) = - \chi_{ab}$. The solution to the hydrodynamic equations can now be written as

$$\psi_a(z, \mathbf{k}) = (K^{-1})_{ab} \chi_{bc} \lambda_c^0(\mathbf{k}), \quad (2.11)$$

where $K_{ab} = -i\omega_{ab} + M_{ab}(\mathbf{k})$. On the other hand, $\psi_a(z, \mathbf{k})$ can be expressed in terms of the retarded function, just like we did for diffusion:

$$\psi_a(z, \mathbf{k}) = - \frac{1}{iz} \left( G_{ab}^{R}(z, \mathbf{k}) - G_{ab}^{R}(z = 0, \mathbf{k}) \right) \lambda_b^0(\mathbf{k}). \quad (2.12)$$

Comparing the solution to the hydrodynamic equations (2.11) with the expression (2.12), we find the retarded function

$$G^R(z, \mathbf{k}) = -(1 + izK^{-1}) \chi,$$

omitting the matrix indices.

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5 Note that the relation between the source and the field is local in space, but non-local in time, so it would be incorrect to write $\phi_a(\omega, \mathbf{k}) = \chi_{ab} \lambda_b(\omega, \mathbf{k})$. 

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2.3. General properties of response functions

In addition to the retarded function (2.9), other response functions are useful. For bosonic operators \( \phi_a, \phi_b \), we define

\[
G_{ab}^R(t-t', x-x') = -\frac{i}{\hbar} \langle [\phi_a(t, x), \phi_b(t', x')] \rangle,
\]

\[
G_{ab}^A(t-t', x-x') = \frac{i}{\hbar} \langle [\phi_a(t, x), \phi_b(t', x')] \rangle,
\]

\[
G_{ab}(t-t', x-x') = \frac{1}{2} \left( \langle [\phi_a(t, x), \phi_b(t', x')] \rangle \right),
\]

\[
\rho_{ab}(t-t', x-x') = \langle [\phi_a(t, x), \phi_b(t', x')] \rangle.
\]

The first one is the retarded function, the second one is the advanced function, the third one is the symmetrized function. The Fourier transform of the commutator \( \rho_{ab}(\omega, k) \) is called the spectral function. The expectation values are taken in static thermal equilibrium in the grand canonical ensemble, and the Heisenberg operators are defined with the Hamiltonian \( H' = H - \mu Q \). The above four functions are not independent, and can all be deduced from the Euclidean time-ordered function, see e.g. [38].

Thanks to the theta functions of time, \( G^R(\omega, k) \) is analytic in the upper half plane of complex \( \omega \), while \( G^A(\omega, k) \) is analytic in the lower half plane of complex \( \omega \). Translation invariance implies that

\[
G_{ab}^R(\omega, k) = G_{ba}^R(-\omega, -k),
\]

\[
\rho_{ab}(\omega, k) = -\rho_{ba}(-\omega, -k).
\]

From the definition of \( G^R \) and \( G^A \) one finds

\[
G_{ab}^R(\omega, k) = \int \frac{d\omega'}{2\pi} \rho_{ab}(\omega', k),
\]

with the upper sign for \( G^R \) and the lower sign for \( G^A \). For Hermitian operators \( \phi_a \) and \( \phi_b \), the matrix \( \rho_{ab}(\omega, k) \) is Hermitian. The diagonal components \( \rho_{aa}(\omega, k) \) are then real, and we find for real \( \omega \)

\[
\text{Re} G_{aa}^R(\omega, k) = \text{Re} G_{aa}^A(\omega, k) = P \int \frac{d\omega'}{2\pi} \rho_{aa}(\omega', k),
\]

\[
\text{Im} G_{aa}^R(\omega, k) = -\text{Im} G_{aa}^A(\omega, k) = -\frac{1}{2} \rho_{aa}(\omega, k),
\]

where \( P \) denotes the principal value of the integral.

Writing out the definitions of \( G_{ab}(\omega, k) \) and \( \rho_{ab}(\omega, k) \) in the basis of \( H' \) eigenstates in the grand canonical ensemble and inserting a complete set of states, one can easily show that

\[
G_{ab}(\omega, k) = \frac{1}{2} \left( 1 + e^{-\beta \omega} \rho_{ab}(\omega, k) \right).
\]

In the hydrodynamic regime \( \beta \omega \ll 1 \) this gives \( \rho_{ab}(\omega, k) = \beta \omega G_{ab}(\omega, k) \), or

\[
G_{ab}(\omega, k) = -\frac{2T}{\omega} \text{Im} G_{ab}^R(\omega, k).
\]

(2.14)

For a Hermitian operator \( \phi_b(t, x) \), the spectral decomposition implies \( G_{aa}(\omega, k) \geq 0 \), or \( \omega \rho_{aa}(\omega, k) \geq 0 \), which gives

\[
-\text{Im} G_{aa}^R(\omega, k) \geq 0 \text{ for } \omega > 0.
\]

(2.15)

Applied to hydrodynamic response functions, this condition will imply that the transport coefficients have a definite sign: \( \eta \geq 0 \), \( \zeta \geq 0 \), and \( \sigma \geq 0 \).

In addition, the retarded functions have to be consistent with the symmetries of the theory, such rotation invariance, parity, charge conjugation, time-reversal, and various global
symmetries. Time-reversal turns out to be particularly useful in constraining the transport coefficients that appear in the constitutive relations, as was pointed out by Onsager [39, 40]. Hence the constraints on the transport coefficients due to the time-reversal covariance are called ‘Onsager relations’. The implications of time-reversal for the retarded function (2.9) are easy to derive. The anti-unitarity of the time-reversal operator $\Theta$ implies that [41]

$$\langle \beta|A|\alpha \rangle = \langle \tilde{\alpha}|\Theta A^\dagger \Theta^{-1}|\tilde{\beta} \rangle$$

for any linear operator $A$, where $|\tilde{\alpha}\rangle = \Theta|\alpha\rangle$ are the time-reversed states. We now apply this to the retarded function (2.9) of Hermitian operators $\varphi_a$ which transform in a definite way under time-reversal, $\Theta \varphi_a(t, x) \Theta^{-1} = \eta_a \varphi_a(-t, x)$, where $\eta_a = \pm 1$ is the time-reversal eigenvalue of $\varphi_a$. The expectation value in (2.9) is taken in the grand canonical ensemble,

$$\langle \cdots \rangle = \frac{1}{Z} \text{tr}(e^{-\beta H + \beta \mu Q} \cdots),$$

and the trace can be taken either in the basis of energy eigenstates $|n\rangle$, or in the basis of the time-reversed states $|\tilde{n}\rangle$. If the microscopic system is time-reversal invariant, i.e. $[H, \Theta] = 0$, then the space-time translation invariance implies that

$$G^{R}_{ab}(t, x) = -i\partial(\Omega)\{[\varphi_a(t, x), \varphi_b(0)]\} = -i\partial(\Omega)\{[\varphi_b(t, -x), \varphi_a(0)]\} \eta_a \eta_b = G^{R}_{ba}(t, -x) \eta_a \eta_b.$$  

If the microscopic system does not have time-reversal invariance, it may still be possible to use time-reversal covariance to find a similar relation for the retarded function. Namely, let us assume that the Hamiltonian depends on some time-reversal breaking parameters $B$ such that $\Theta H(B) \Theta^{-1} = H(-B)$. For example, $B$ could be the external magnetic field, or it could be the mass for Dirac fermions in 2+1 dimensions. The retarded function must then satisfy

$$G^{R}_{ab}(\omega, k; B) = \eta_a \eta_b G^{R}_{ba}(\omega, -k; -B).$$  

(2.16)

This can be also written in the matrix form as $G(\omega, k; B) = S G^T(\omega, -k; -B) S$, where $S \equiv \text{diag}(\eta_1, \eta_2, \ldots)$ is the matrix of time-reversal eigenvalues of the hydro variables $\varphi_a$, which satisfies $S^2 = 1$. Equation (2.16) is the basis for the Onsager relations. Let us now apply it to our hydrodynamic correlation functions.

Taking $\omega = 0$ and $k \to 0$ in (2.16) we find for the static susceptibility matrix

$$S \chi(B) S = \chi^T(-B).$$  

(2.17)

Further, applying the relation (2.16) to the hydrodynamic correlation function (2.13), evaluated in the linear response theory, one finds

$$\chi(B) SM^T(-k; -B) = M(k; B) \chi(B) S.$$  

(2.18)

In these lectures, we will not consider any $T$-breaking parameters $B$; in fact the breaking of $T$ due to either magnetic field, or the fermion mass in 2+1 dimensions would give rise to extra terms in the constitutive relations (1.16), see [16]. The condition (2.18) may be viewed as a constraint on hydrodynamic constitutive relations arising from the time-reversal covariance. Since the matrix $M(k)$ simply represents the constitutive relations in linearized hydrodynamics, this tells us that the constitutive relations in hydrodynamics can not be arbitrary, but must be such that equation (2.18) is satisfied. As an example, we will see shortly that the constitutive relations (1.16) in relativistic hydrodynamics do not satisfy equation (2.18), unless $\chi_T = 0$. In other words, time-reversal covariance may be used to deduce that $\chi_T = 0$, without alluding to the entropy current argument.
2.4. Retarded functions in relativistic hydrodynamics at \( \mu = 0 \)

As a simple example of the above general formalism, let us consider small hydrodynamic fluctuations about an equilibrium state with \( \mu = 0 \), in other words \( \bar{n} = 0 \) in equilibrium\(^6\).

As the Eckart frame is ill-defined for states with \( \bar{n} = 0 \), we will use the Landau frame. The hydrodynamic equations are thus given by the conservation laws (1.7), supplemented by the constitutive relations (1.16). We linearize the equations around the static equilibrium state \( v^i = 0, T = \text{const}, \mu = 0 \), and choose the hydrodynamic variables to be the fluctuation in the energy density \( \delta\epsilon(t, x) = \delta T^{00} \), momentum density \( \pi_i(t, x) = T^{0i} \), and charge density \( n(t, x) = J^0 \).

First, let us look at the constitutive relation for the current in (1.16). All terms in the constitutive relation must transform the same way under charge conjugation \( C \) as the current \( J^\mu \) does, in other words \( \sigma \) is \( C \)-even, and \( \chi_T \) is \( C \)-odd. Assuming a \( C \) invariant microscopic theory, the only source of \( C \) violation in hydrodynamics is the chemical potential \( \mu \), hence \( \chi_T \rightarrow 0 \) as \( \mu \rightarrow 0 \). In other words, when \( \mu \) vanishes in equilibrium, the \( \chi_T \) term does not contribute to the linearized hydrodynamic equations. The fluctuation in charge density \( n(t, x) \) then decouples from the fluctuations of energy and momentum densities, and satisfies the diffusion equation which we already studied in section 2.1. The diffusion constant \( D = \sigma/\chi \) is the static charge susceptibility. Hence, the two-point retarded function of \( J^0(t, x) \) is given by

\[
G_{mm}^R (\omega, k) = \frac{D \gamma^2 k^2}{i \omega - D k^2}. \tag{2.19}
\]

The equations for \( \delta\epsilon(t, x) \) and \( \pi_i(t, x) \) come from the conservation of the energy–momentum tensor. We Fourier transform in space, and decompose \( \pi_i = \pi_i^\parallel + \pi_i^\perp \), where parallel and perpendicular are with respect to the direction of the spatial momentum. Choosing \( k \) along \( x \), we have the following set of equations

\[
\begin{align*}
\partial_0 \delta\epsilon + i k_x \pi_x &= 0, \\
\partial_0 \pi^\parallel + i k_x v^\parallel \delta\epsilon + \gamma^\parallel \pi^\parallel &= 0, \\
\partial_0 \pi^\perp + \gamma^\perp k^2 \pi^\perp &= 0.
\end{align*}
\]

Here \( v^\parallel = \partial p/\partial\epsilon, \gamma^\parallel = \eta/(\bar{\epsilon} + \bar{p}), \gamma^\perp = (2d^2 \bar{\eta} + \zeta)/(\bar{\epsilon} + \bar{p}) \), \( \eta \) and \( \zeta \) are shear and bulk viscosities, and \( d \) is the number of spatial dimensions.

The transverse momentum density \( \pi_i^\perp \) obeys the diffusion equation, with \( \gamma^\perp \) playing the role of the diffusion constant. This means that we should be able to use the results for the diffusion equation. The source for the momentum density is the velocity, and it appears in the Hamiltonian in the form

\[
\delta H = - \int d^dx \: v_i(t, x) \pi_i(t, x)
\]

(think grand canonical density operator, \( -\beta H \rightarrow \beta u_i P^i \approx -\beta (H - v \cdot P) \)). The role of the susceptibility \( \chi \) is played by the enthalpy density \( \bar{w} = (\bar{\epsilon} + \bar{p}) \), because to linear order \( \pi_i = \bar{w} v_i \). The retarded correlation function of the transverse momentum density is now easy to write down: for \( k \) along \( x \), we have

\[
G_{\pi_i^\perp}^R (\omega, k) = \frac{\bar{w} \gamma^\perp k^2}{i \omega - \gamma^\perp k^2}. \tag{2.20}
\]

---

\(^6\) As a reminder, a state in the canonical ensemble which has a fixed value of the total conserved charge (such as the number of particles) is not the same as the state in the grand canonical ensemble at \( \mu = 0 \). Hydrodynamic fluctuations in a state with \( \bar{n} = 0 \) is a perfectly sensible thing in relativistic hydrodynamics: \( \bar{n} = 0 \) simply means that there is an equal number of particles and antiparticles in thermal equilibrium. On the other hand, in non-relativistic systems there are no antiparticles, and \( \bar{n} = 0 \) means that the equilibrium state is empty, with no matter that could flow.
Now let us look at the coupled equations for $\delta \epsilon$ and $\pi^\parallel$. These equations describe sound waves, and have the form of equation (2.10) with $\phi_a = (\delta \epsilon, \pi_v)$, and
\[
M_{ab} = \begin{pmatrix} 0 & i k_s v_s^2 \\ ik_s v_s^2 & \gamma_s k^2 \end{pmatrix}.
\]
To find the susceptibility matrix, we need to identify the sources $\lambda_a$. A disturbance in energy density can be created by a disturbance in temperature, $-\beta H \to - (\beta + \delta \beta) H$. Noting that $\delta \beta / \beta = - \delta T / T$, we have
\[
\delta H = - \int d^d x \left( \frac{\delta T(t, \mathbf{x})}{T} \epsilon(t, \mathbf{x}) + v_i(t, \mathbf{x}) \pi_i(t, \mathbf{x}) \right).
\]
Thus the sources corresponding to the fields $\phi_a = (\delta \epsilon, \pi_v)$ are $\lambda_a = (\delta T / T, \pi_v)$. The susceptibility matrix is therefore diagonal,
\[
\chi_{ab} = \begin{pmatrix} c_i T & 0 \\ 0 & \bar{w} \end{pmatrix}
\]
where $c_v = \partial \epsilon / \partial T = (H^2)_{conn} / (VT^2)$ is the specific heat. At $\mu = 0$, it can be easily related to the enthalpy and the speed of sound, $\bar{w} / T = s = \frac{\gamma_s}{VT^2} = \frac{\gamma_s}{VT^2} = v_s^2 c_v$. The matrix of $T$-eigenvalues is $S = \text{diag}(1, -1)$, and one can easily check that the relation (2.18) is satisfied, so the response functions will come out consistent with the time-reversal invariance. The retarded function is given by equation (2.13),
\[
G^R_{ab} (\omega, \mathbf{k}) = \frac{\bar{w} \omega^2 - k^2 v_s^2 + i \omega \gamma_s k^2}{\omega^2 - k^2 v_s^2 + i \omega \gamma_s k^2} \begin{pmatrix} \omega k_v & \omega k_s \\ -k_v & -k_s \end{pmatrix}.
\]
In the plane of complex $\omega$, there are two poles, when the denominator vanishes. In the limit of small momenta, the poles are at $\omega = \pm |v| v_i - i \gamma_s v_s$, corresponding to weakly damped sound waves. We can combine the contributions from $\pi^\perp$ and $\pi^\parallel$ into one retarded function for momentum density,
\[
G^R_{\pi, \pi} (\omega, \mathbf{k}) = \left( \delta_{ij} - \frac{k_i k_j}{k^2} \right) \frac{\eta k^2}{\omega - \gamma_s k^2} + \frac{k_i k_j}{k^2} \bar{w} \frac{\omega (k^2 v_v^2 - i \omega \gamma_s k^2)}{\omega^2 - k^2 v_s^2 + i \omega \gamma_s k^2}.
\]
(2.21)
For completeness, the other retarded functions are
\[
G^R_{\pi, \epsilon} (\omega, \mathbf{k}) = G^R_{\epsilon, \pi} (\omega, \mathbf{k}) = \frac{\bar{w} \omega k_i}{\omega^2 - k^2 v_s^2 + i \omega \gamma_s k^2}.
\]
Evaluating the imaginary parts of the retarded functions, we find the Kubo formulas
\[
\sigma = - \frac{\omega}{k^2} \text{Im} G^R_{\epsilon \epsilon} (\omega, \mathbf{k} \to 0),
\]
(2.22a)
\[
\eta = - \frac{\omega}{k^2 d - 1} \left( \delta_{ij} - \frac{k_i k_j}{k^2} \right) \text{Im} G^R_{\pi \pi} (\omega, \mathbf{k} \to 0),
\]
(2.22b)
\[
\frac{2d-2}{d} \eta + \zeta = - \frac{\omega^3}{k^4} \text{Im} G^R_{\epsilon \pi} (\omega, \mathbf{k} \to 0).
\]
(2.22c)
The positivity condition (2.15) implies that $\eta \geq 0$, $\zeta \geq 0$, and $\sigma \geq 0$, consistent with the requirement that small hydrodynamic fluctuations decay (rather than grow) with time. Using the relation (2.14), the above Kubo formulas can be equivalently expressed in terms of either the symmetrized correlation functions $G_{ab}$, or the spectral functions $\rho_{ab}$. 

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The Kubo formulas can be also written in terms of correlation functions of spatial currents, rather than charge densities. To do so, we demand that the correlation functions of conserved currents satisfy

\[ k^\mu G_{J,\mu}(\omega, \mathbf{k}) = 0, \]
\[ k^\mu G_{T,\mu\nu}(\omega, \mathbf{k}) = 0. \]

For the conductivity, we then find

\[ \sigma = \frac{1}{2T} \frac{1}{d^2 + d - 2} H^{ij}_{ij} G_{T_{i\nu} T_{\nu}} = \frac{1}{2T} G_{T_{i\nu} T_{\nu}}, \]

(2.23a)

In writing down this expression, we have used the relation (2.14) between the symmetrized and retarded functions, as well as time-reversal invariance which by equation (2.16) implies\( G_{J,\mu} = G_{J,\mu}, \) and rotation invariance which implies that at zero spatial momentum\( G_{J,ij} \) must be proportional to \( \delta_{ij}. \) A similar argument gives Kubo formulas for shear viscosity

\[ \eta = \frac{1}{2T} \frac{1}{d^2 + d - 2} H^{ij}_{ij} G_{T_{i\nu} T_{\nu}} = \frac{1}{2T} G_{T_{i\nu} T_{\nu}}, \]

(2.23b)

and for the bulk viscosity

\[ \zeta = \frac{1}{2T} \frac{1}{d^2 + d - 2} H^{ij}_{ij} G_{T_{i\nu} T_{\nu}} = \frac{1}{2T} \frac{2d-2}{d} G_{T_{i\nu} T_{\nu}}, \]

(2.23c)

where\( H^{ij}_{ij} = \frac{1}{2} \delta^{ij}_k + \frac{1}{2} \delta^{ij}_k - \frac{1}{d} \delta^{ij}_k \) is a projector onto symmetric traceless tensors, and all correlation functions are evaluated at\( \mathbf{k} = 0. \) The positivity of bulk viscosity implies that zero-momentum correlation functions of the stress tensor obey

\[ G_{T_{i\nu} T_{\nu}}(\omega, \mathbf{k} = 0) \geq \frac{2d-2}{d} G_{T_{i\nu} T_{\nu}}(\omega, \mathbf{k} = 0), \]

as a consequence of rotation invariance. Taking a closer look at the hydrodynamic retarded functions, we see that

\[ \omega G_{T_{i\nu} T_{\nu}}^{R}(\omega, \mathbf{k}) - k_i G_{T_{i\nu} T_{\nu}}^{R}(\omega, \mathbf{k}) = 0, \]
\[ \omega G_{T_{i\nu} T_{\nu}}^{R}(\omega, \mathbf{k}) - k_i G_{T_{i\nu} T_{\nu}}^{R}(\omega, \mathbf{k}) = \bar{\omega} k_i, \]

in other words there is a contact term in the right-hand side of momentum conservation equation applied to our correlation functions.

Finally, let us look at the speed of sound:

\[ v_s^2 = \frac{(s/T)}{(\delta s/\delta T)}, \]

as follows from the relation \( s = v_s^2 c_s. \) While it is easy to see that \( v_s^2 \geq 0 \) because both \( s \) and \( c_s \) are non-negative, thermodynamic inequalities do not demand that \( v_s^2 \leq 1, \) as one may expect in a consistent relativistic theory. Demanding that \( v_s^2 \leq 1 \) produces a constraint on the equation of state. For example, for the equation of state of the form \( p(T) = c T^\alpha, \) one must have \( \alpha > 2 \) in order for the speed of sound to be less than the speed of light\(^7 \).

\(^7\) More precisely, the effective ‘speed of light’ which determines the Lorentz invariance of the microscopic theory. For example, the effective ‘speed of light’ in graphene is about 300 times less than the velocity of electromagnetic waves in the vacuum [42].
2.5. Retarded functions in relativistic hydrodynamics at $\mu \neq 0$

Now let us consider linearized relativistic hydrodynamics at non-zero $\mu$ in equilibrium. This means that the equilibrium state has non-zero charge density $\bar{n}$, and as a result, the fluctuations of charge density will couple to the fluctuations of energy and momentum density. Again, the hydrodynamic equations are given by the conservation laws (1.7), supplemented by the constitutive relations (1.16), in the Landau frame. We linearize the equations around the static equilibrium state $v'=0$, $T=\text{const}$, $\mu=\text{const}$, and choose the hydrodynamic variables to be the fluctuation in the energy density $\delta\epsilon(t,x) = \delta T^{00}$, momentum density $\pi_i(t,x) = T_{0i}$, and charge density $n(t,x) = J^0$. Choosing the spatial momentum in the $x$ direction, the conservation of the energy–momentum tensor gives

$$\partial_t \delta \epsilon + ik_x \pi_x = 0,$$

$$\partial_t \pi_x + ik_x \beta_1 \delta \epsilon + ik_x \beta_2 \delta n + \gamma k^2 \pi_x = 0,$$

$$\partial_t \pi_i^x + \gamma k^2 \pi_i^x = 0,$$

where $\beta_1 = \left(\frac{\partial \mu}{\partial n}\right)_\mu$, $\beta_2 = \left(\frac{\partial \mu}{\partial \pi_x}\right)_\mu$. The equation of current conservation gives

$$\partial_t \delta n + \frac{n}{\bar{w}} ik_x \pi_x + \sigma \alpha_1 k^2 \delta \epsilon + \sigma \alpha_2 k^2 \delta n = 0,$$

where the coefficients $\alpha_1$ and $\alpha_2$ are

$$\alpha_1 = \left(\frac{\partial \mu}{\partial \epsilon}\right)_n - \left(\frac{\mu}{T} + \frac{\chi T}{\sigma}\right) \left(\frac{\partial T}{\partial \epsilon}\right)_n, \quad \alpha_2 = \left(\frac{\partial \mu}{\partial n}\right)_\mu - \left(\frac{\mu}{T} + \frac{\chi T}{\sigma}\right) \left(\frac{\partial T}{\partial n}\right)_\mu.$$

The equation for $\pi^i$ decouples, as before, and gives rise to the same two-point function (2.20). The remaining equations have the form of equation (2.10) with $\varphi_a = (\delta \epsilon, \pi_x, \delta n)$, and

$$M_{ab} = \begin{pmatrix} 0 & ik_x & 0 \\ ik_x \beta_1 & \gamma k^2 & ik_x \beta_2 \\ \sigma \alpha_1 k^2 & \bar{n}/\bar{w} & \sigma \alpha_2 k^2 \end{pmatrix}.$$

Again, to find the susceptibility matrix, we need to identify the sources $\lambda_a$. For infinitesimal constant disturbances $\delta T$, $\delta \mu$, and $v_i$, the Hamiltonian changes as

$$H \rightarrow H - \frac{\delta T}{T} (H - \mu Q) - \delta \mu Q - v \cdot P$$

For non-constant slowly varying sources, we take

$$\delta H = - \int dt dx \left(\frac{\delta T(t,x)}{T} (\epsilon(t,x) - \mu n(t,x)) + \delta \mu(t,x) n(t,x) + v_i(t,x) \pi_i(t,x)\right).$$

Thus we identify the sources corresponding to $\varphi_a = (\delta \epsilon, \pi_x, \delta n)$ as $\lambda_a = (\delta T/T, v_i, \delta \mu = \frac{\mu}{T} \delta T)$, and therefore the susceptibility matrix is

$$\chi_{ab} = \begin{pmatrix} T \left(\frac{\delta \epsilon}{\delta T}\right)_{\mu/T} & 0 & \left(\frac{\delta \epsilon}{\delta \mu}\right)_{T} \\ 0 & \bar{w} & 0 \\ T \left(\frac{\delta \pi_x}{\delta T}\right)_{\mu/T} & 0 & \left(\frac{\delta \pi_x}{\delta \mu}\right)_{T} \end{pmatrix}.$$  \hspace{1cm} (2.24)

Note that $T \left(\frac{\delta \epsilon}{\delta T}\right)_{\mu/T} = T \left(\frac{\delta \epsilon}{\delta \mu}\right)_{T}$, and similarly for $n$. The definition of the thermodynamic quantities in the grand canonical ensemble implies that $T (\partial n/\partial T)_{\mu/T} = (\partial \epsilon / \partial \mu)_T$; in other words, the susceptibility matrix is symmetric, $\chi_{13} = \chi_{31}$, consistent with (2.17). Further, $\chi_{11} \geq 0$ and $\chi_{33} \geq 0$ because the first is proportional to $(H^2)_{\text{conn}}$, while the latter is proportional to $(N^2)_{\text{conn}}$. In addition, $\det(\chi) \geq 0$ because it is proportional
to \((H^2)_{\text{conn}}(N^2)_{\text{conn}} - (HN)^2_{\text{conn}}\), which is non-negative by the Schwarz inequality. Thus the susceptibility matrix \(\chi_{ab}\) is positive-definite.\(^8\)

An exercise in thermodynamic derivatives shows that
\[
\beta_1 \chi_{11} + \beta_2 \chi_{31} = \bar{w},
\]
\[
\beta_1 \chi_{13} + \beta_2 \chi_{33} = \bar{n},
\]
\[
\alpha_1 \chi_{11} + \alpha_2 \chi_{31} = -T \chi_T / \sigma,
\]
\[
\alpha_1 \chi_{13} + \alpha_2 \chi_{33} = 1.
\]
The matrix of \(T\)-eigenvalues is \(S = \text{diag}(1, -1, 1)\), and it is a matter of simple algebra to check that the condition of time-reversal covariance (2.18) can only be satisfied if \(\chi_T = 0\), which we take from now on. The coefficients \(\beta_{1,2}\) and \(\alpha_{1,2}\) can be easily expressed in terms of the components of the susceptibility matrix \(\chi_{ab}\).

The retarded functions can be found by equation (2.13); in the limit \(\omega \to 0\) and \(\mathbf{k} \to 0\) one finds
\[
G_{e^e}(\omega, \mathbf{k}) = \frac{\bar{w} \mathbf{k}^2}{d(\omega, \mathbf{k})} (\omega + i \sigma \alpha_2 \mathbf{k}^2),
\]
\[
G_{e^r}(\omega, \mathbf{k}) = \frac{\bar{w} \mathbf{k}^2}{d(\omega, \mathbf{k})} (\omega \mathbf{v}^2 + i \sigma \mathbf{k}^2 (\alpha_2 \beta_1 - \alpha_1 \beta_2)),
\]
\[
G_{e^m}(\omega, \mathbf{k}) = \frac{\mathbf{k}^2}{d(\omega, \mathbf{k})} (\omega \bar{n}^2 / \bar{w} + i \sigma \mathbf{k}^2 (\alpha_2 \beta_1 - \alpha_1 \beta_2) \chi_{33}),
\]
\[
G_{e^r,\pi}(\omega, \mathbf{k}) = G_{e^e,\pi}(\omega, \mathbf{k}) = \frac{\bar{w} \mathbf{k}^2}{d(\omega, \mathbf{k})} (\omega + i \sigma \alpha_2 \mathbf{k}^2),
\]
\[
G_{e^m,\pi}(\omega, \mathbf{k}) = G_{e^e,\pi}(\omega, \mathbf{k}) = \frac{i \omega \mathbf{v}}{d(\omega, \mathbf{k})} (\bar{n} \omega + i \sigma \alpha_1 \bar{w} \mathbf{k}^2),
\]
\[
G_{e^m,\pi}(\omega, \mathbf{k}) = G_{e^e,\pi}(\omega, \mathbf{k}) = \frac{\mathbf{k}^2}{d(\omega, \mathbf{k})} (i \omega \omega - i \sigma \alpha_1 \bar{w} \mathbf{k}^2),
\]
where
\[
d(\omega, \mathbf{k}) = \omega^3 + i \omega^2 \mathbf{k}^2 (\gamma_2 + \sigma \alpha_2) - \omega \mathbf{v}_s^2 + i \sigma \mathbf{k}^2 (\alpha_2 \beta_2 - \alpha_1 \beta_1),
\]
and \(v_s^2 = \beta_1 + \bar{n} \beta_2 / \bar{w}\). Setting \(d(\omega, \mathbf{k}) = 0\) determines the eigenfrequencies of the system. There are three modes, whose frequencies in the limit \(\mathbf{k} \to 0\) are
\[
\omega = \pm k v_s - \frac{i \Gamma}{2} k^2,
\]
\[
\omega = -i D k^2,
\]
where \(\Gamma = \gamma_s + \sigma \beta_2 (\alpha_1 + \bar{n} / \bar{w} \alpha_2) / v_s^2\), \(D = \sigma (\alpha_2 \beta_1 - \alpha_1 \beta_2) / v_s^2\). These are the familiar sound and diffusive modes. The expression for the speed of sound in terms of the components of the susceptibility matrix (2.24) is
\[
v_s^2 = \frac{\bar{n}^2 \chi_{11} + \bar{w}^2 \chi_{33} - 2 \bar{n} \bar{w} \chi_{13}}{\det(\chi)}.
\]

\(^8\) Note that only those \(p(T, \mu)\) that follow from the partition function in the grand canonical ensemble represent legitimate equations of state. An arbitrary function \(p(T, \mu)\) will give rise to a susceptibility matrix which is not necessarily positive-definite.
The numerator can be written as 
\[ \bar{n} \chi_{11} - \bar{w} \chi_{33} \] 
and therefore the positive-definiteness of the susceptibility matrix implies \( v_s^2 \geq 0 \). By the same argument as in section 1.2 one finds \( v_s^2 = (\partial P/\partial \epsilon)_{S,N} \). Note that the speed of sound does not depend on the dissipative transport coefficients, and is only determined by the equation of state. In a scale-invariant theory, the equation of state \( p(T, \mu) = T^{d+1} f(T/\mu) \) implies \( p = \epsilon/d \), which is equivalent to the tracelessness of the energy–momentum tensor (1.11) in equilibrium. Thus in a scale-invariant theory, the speed of sound is \( v_s = 1/\sqrt{d} \), and is independent of temperature and chemical potential. The expression for the diffusion constant in terms of the components of the susceptibility matrix is

\[ D = \frac{\sigma \bar{w}^2}{\bar{n}^2 \chi_{11} + \bar{w}^2 \chi_{33} - 2\bar{n} \bar{w} \chi_{13}}, \]

and shows that \( D \) is only positive for \( \sigma \) positive. The expression for the sound wave damping constant in terms of the components of the susceptibility matrix is

\[ \Gamma = \frac{1}{\bar{w}} \left( \frac{2d-2}{d} \eta + \zeta \right) + \frac{\sigma \bar{w}}{\det(\chi)} \frac{(\bar{n} \chi_{11} - \bar{w} \chi_{13})^2}{\bar{n}^2 \chi_{11} + \bar{w}^2 \chi_{33} - 2\bar{n} \bar{w} \chi_{13}}. \]

Evaluating the imaginary parts of the retarded functions, we find the Kubo formulas (2.22) for the transport coefficients. In other words, the Kubo formulas for \( \eta, \zeta \) and \( \sigma \) have the same form at \( \mu \neq 0 \) as they do at \( \mu = 0 \), even though the values of the transport coefficients of course depend on \( \mu \). Again, the positivity condition (2.15) implies that \( \eta \geq 0, \zeta \geq 0 \) and \( \sigma \geq 0 \), consistent with the requirement that small hydrodynamic fluctuations decay (rather than grow) with time. Our hydrodynamic correlation functions satisfy

\[ \omega G^{R}_{\epsilon,\mu} - k_j G^{R}_{\sigma,\mu} = 0, \]

\[ \omega G^{R}_{\epsilon,\mu}(\omega, \mathbf{k}) - k_j G^{R}_{\sigma,\mu}(\omega, \mathbf{k}) = 0, \]

\[ \omega G^{R}_{\epsilon,\mu}(\omega, \mathbf{k}) - k_j G^{R}_{\sigma,\mu}(\omega, \mathbf{k}) = \bar{w} k_i, \]

again with a contact term for momentum conservation in the right-hand side.

Similar to the sound in the \( \mu = 0 \) fluid, thermodynamics does not constrain the speed of sound to be less than the speed of light. Again, demanding that \( v_s^2 \leq 1 \) produces a constraint on the equation of state \( p(T, \mu) \),

\[ \bar{n}^2 \chi_{11} + \bar{w}^2 \chi_{33} - 2\bar{n} \bar{w} \chi_{13} \leq \det(\chi). \]

2.6. Variational approach to hydrodynamic response functions

The response functions we computed so far were evaluated using the standard linear response approach, as described for example in [29]. After introducing external sources for the conserved densities (energy density, momentum density, charge density), the response functions can be evaluated starting from equation (2.4) in the canonical (operator) formalism. The advantage of this approach is that the external sources can be introduced quite easily: their coupling to conserved densities follows from equilibrium thermodynamics. The disadvantage is that the response functions of spatial currents (charge current, stress tensor) are not easily accessible. For example, the component of the spatial current which is transverse to the spatial momentum does not couple to the charge density, and hence the correlation function \( \delta_{ij} - k_i k_j / k^2 \) \( G_{\epsilon,\mu} \) at non-zero \( \mathbf{k} \) can not be determined by this method.

9 In QCD, the equation of state at asymptotically high temperatures is \( p = \epsilon/3 \), and therefore the speed of sound at asymptotically high temperatures is \( 1/\sqrt{3} \).
The general relation (2.8) between the fields and the sources suggests that it would be more elegant to introduce sources for $J^\mu$ and $T^{\mu\nu}$ rather than just for the conserved densities. The retarded functions $G_{ab}^R(\omega, \mathbf{k})$ can then be evaluated by taking the variation of one-point functions with respect to the source. The advantage of this approach is that it gives direct access to all response functions to all response functions $G_{\mu\nu}^R J_{\mu}$, $G_{\mu\nu}^R J_{\mu\lambda}$, and $G_{\mu\nu\lambda\sigma}^R$. The disadvantage is that it may not always be obvious how to couple the currents to external sources. In relativistic hydrodynamics, Lorentz symmetry is of great help. In what follows, we will write the constitutive relations in $\text{GR}$ Lorentz frame, the constitutive relations in the presence of external sources are be obvious how to couple the currents to external sources. In relativistic hydrodynamics, Lorentz symmetry is of great help. In what follows, we will write the constitutive relations in $\text{GR}$ Lorentz frame, the constitutive relations in the presence of external sources are

$$J^\mu(x) \equiv \sqrt{-g} \langle J^\mu(x) \rangle_{A, g}, \quad T^{\mu\nu}(x) \equiv \sqrt{-g} \langle T^{\mu\nu}(x) \rangle_{A, g}. \quad (2.27)$$

and expanding the metric about the flat space as $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$, the retarded functions may be defined as

$$G_{\mu J, \rho}^R(x) = - \frac{\delta J^\rho(x)}{\delta A_\nu(0)} \bigg|_{A=0, h=0}, \quad G_{\mu\nu J, \rho}^R(x) = - \frac{\delta T^{\mu\nu}(x)}{\delta A_\sigma(0)} \bigg|_{A=0, h=0}. \quad (2.28a)$$

$$G_{\mu J, T, \rho}^R(x) = -2 \frac{\delta J^\rho(x)}{\delta h_{\mu\nu}(0)} \bigg|_{A=0, h=0}, \quad G_{\mu T, T, \rho}^R(x) = -2 \frac{\delta T^{\mu\nu}(x)}{\delta h_{\mu\nu}(0)} \bigg|_{A=0, h=0}. \quad (2.28b)$$

The factor of $\sqrt{-g}$ gives rise to contact terms, by virtue of $\delta \sqrt{-g} = \frac{1}{2} \sqrt{-g} g^{\mu\nu} \delta g_{\mu\nu}$. In the Landau frame, the constitutive relations in the presence of external sources are

$$T^{\mu\nu} = \epsilon^{\mu\nu\rho\sigma} u^\rho + p \Delta^{\mu\nu} - \eta \Delta^{\mu\sigma} \Delta^{\nu\rho} \left( \nabla_\sigma u_\rho + \nabla_\rho u_\sigma - \frac{2}{d} g_{a\delta} \nabla_\mu u^\mu \right) - \xi \nabla_\nu u^\mu, \quad (2.29a)$$

$$J^\mu = nu^\mu + \sigma \Delta^{\mu\lambda} V_\lambda + \chi_E \Delta^{\mu\lambda} E_\lambda + \chi_T \Delta^{\mu\lambda} \nabla_\lambda T, \quad (2.29b)$$

where $\Delta^{\mu\nu} = g^{\mu\nu} + u^\mu u^\nu$, $V_\mu$ is the covariant derivative, $V_\lambda \equiv E_\lambda - T \Delta_{\lambda\beta} V_\beta (\mu/T)$, $E_\mu \equiv F_{\mu\nu} u^\nu$ is the electric field and $F_{\mu\nu}$ is the field strength of the external gauge field. None of the hydrodynamic variables carry charge, hence there are no gauge-covariant derivatives. The velocity satisfies $u_\mu u^\mu = -1$, and consequently $u_\mu \nabla_\mu u^\mu = 0$ because the metric is covariantly constant. In writing down the constitutive relations for the current we have grouped the three independent vectors $V, T, \nabla_\mu$ and $E_\mu$ into combinations with coefficients $\sigma, \chi_T$ and $\chi_E$. The coefficient $\sigma$ is the electrical conductivity, and the term $\sigma \Delta^{\mu\lambda} E_\lambda$ is just a relativistic version of Ohm’s law. As for the coefficients $\chi_T$ and $\chi_E$ (which are allowed by Lorentz covariance), they must vanish as a consequence of either the positivity of entropy production, or of consistent thermodynamics with external sources, see for example [16, 36].

With the external sources $A_\mu$ and $g_{\mu\nu}$, the hydrodynamic equations take the form

$$\nabla_\mu T^{\mu\nu} = F^{\nu\lambda} J_\lambda, \quad (2.30a)$$

$$\nabla_\mu J^\mu = 0. \quad (2.30b)$$

In order to find the retarded functions, we linearize the hydrodynamic equations around the equilibrium state with constant $\bar{\epsilon}$, $\bar{\rho}$ and $\bar{n}$, and solve the conservation equations (2.30) with the constitutive relations (2.29), expressing $\delta T$, $\delta \mu$ and $\delta u^\mu$ in terms of $A_\mu$ and $h_{\mu\nu}$ to linear order. Upon substituting the solution back into (2.29), the retarded functions can be read off from the definition (2.28).

The retarded functions obtained in this variational approach will differ from their counterparts in section 2.5 by contact terms. For example, taking the spatial momentum
in the \( x \)-direction, we have for the transverse momentum and current densities
\[
G^{R}_{p=0}(\omega, k) = \frac{\eta k^2}{i \omega - \gamma_\eta k^2} + \vec{\epsilon}, \tag{2.31}
\]
\[
G^{R}_{j,j}(\omega, k) = -i \omega \sigma + \frac{\vec{n}^2}{\vec{\epsilon} + \vec{p}} \frac{i \omega}{i \omega - \gamma_\eta k^2}, \tag{2.32}
\]
where \( \gamma_\eta \equiv \eta / (\vec{\epsilon} + \vec{p}) \), as before. Note that at non-zero charge density in equilibrium, \( G^{R}_{j,j} \) has the same shear-mode singularity as \( G^{R}_{p=0} \), due to the convective term in the current. For the retarded functions of spatial currents at zero spatial momentum we find
\[
G^{R}_{f,j}(\omega) = \frac{\vec{n}^2}{\vec{\epsilon} + \vec{p}} - i \omega \sigma + \cdots, \tag{2.33a}
\]
\[
G^{R}_{T=0} = \vec{p} - i \omega \eta + \cdots, \tag{2.33b}
\]
\[
\frac{1}{d} \left( G^{R}_{p=0} + (d-1) G^{R}_{T=0} \right) = -\frac{\vec{p}}{d} + (\vec{\epsilon} + \vec{p}) \nu^2 - i \omega \zeta + \cdots. \tag{2.33c}
\]
Remembering the relation (2.14) between \( G_{ab} \) and \( G^{R}_{ab} \), this gives precisely the Kubo formulas (2.23). The variational response functions satisfy
\[
\omega G^{R}_{p=0} - k \frac{G^{R}_{j,j}}{p} = 0, \tag{2.34a}
\]
\[
\omega G^{R}_{p=0} - k \frac{G^{R}_{p=0}}{p} = -\vec{\epsilon} \omega, \tag{2.34b}
\]
\[
\omega G^{R}_{p=0} - k \frac{G^{R}_{p=0}}{p} = \vec{p} \kappa, \tag{2.34c}
\]
with contact terms in the right-hand side. Comparing with the analogous expressions (2.26), we see that the contact terms differ between the response functions evaluated in the canonical approach and in the variational approach. For a discussion of contact terms in the variational approach, see [43].

We conclude the section about correlation functions with a comment about the choice of variables in the constitutive relations. When writing down the constitutive relations in (1.16) or (2.29), we chose to use the transverse vectors \( \Delta^{\mu \nu} \nabla_\nu T \) and \( \Delta^{\mu \nu} \nabla_\nu \mu \). However, one could have chosen to work with a different set of transverse vectors, say with \( \Delta^{\mu \nu} \nabla_\nu T \) and \( \Delta^{\mu \nu} \nabla_\nu \mu \), which is a choice adopted by Eckart [33, 28]. By using the zeroth-order hydrodynamic equations, we find
\[
J^\mu = \sigma \left[ E^\mu - T \Delta^{\mu \nu} \nabla_\nu \left( \frac{\dot{H}}{T} \right) \right] = \sigma \frac{\vec{\epsilon} + \vec{p}}{n T} \Delta^{\mu \nu} \left[ \dot{T} \nabla_\nu + \nabla_\nu T \right] + O(\vec{\sigma}^2).
\]
The latter form of \( J^\mu \) is, however, not suitable for studying hydrodynamic fluctuations in the ‘neutral’ state with \( \vec{n} = 0 \), hence our preference for working with \( \Delta^{\mu \nu} \nabla_\nu T \) and \( \Delta^{\mu \nu} \nabla_\nu \mu \).

Another place where this choice of variables in the constitutive relations becomes peculiar are response functions in a state with non-zero \( \vec{n} \), evaluated from Eckart-frame hydrodynamics. Focusing on the response function of the transverse momentum density (the shear mode), and using Eckart-frame constitutive relations (1.17) with \( q^\mu = -\sigma V^\mu (\vec{\epsilon} + p)/n \) leads to expression (2.31), as before. On the other hand, using Eckart-frame constitutive relations (1.17) with \( q^\mu = -\kappa \Delta^{\mu \nu} \left[ \dot{T} \nabla_\nu + \nabla_\nu T \right] \) leads to
\[
G^{R}_{p=0}(\omega, k) = \frac{\eta k^2(1 + i \omega / \omega_0)}{i \omega (1 + i \omega / \omega_0) - \gamma_\eta k^2} + \vec{\epsilon}, \tag{2.35}
\]
where \( \omega_0 = \vec{n}^2 / (\sigma \vec{\omega}) \), and we have used the relation between heat and charge conductivities \( \kappa = \sigma (\vec{\epsilon} + p)^2 / (n^2 T) \). There is now a \( O(\omega^2) \) term in the denominator of the response function,
however, in the hydrodynamical regime, $\omega/\omega_0 \ll 1$, and this term should be ignored\(^\text{10}\). If one naively proceeds with the analysis of the singularities of the response function (\ref{eq:2.35}), one finds two poles: the normal shear pole at $\omega_1 = -i\gamma_\eta k^2 + O(k^4)$, and the second pole at $\omega_2 = i\omega_0 + O(k^2)$. The second pole is in the upper half-plane of complex $\omega$, and, if taken seriously, would represent an instability of the thermal equilibrium state [37]. The instability is, however, fictitious, as the second pole is outside of the validity regime of hydrodynamics: the corresponding time scale $\sigma(\tilde{c} + \tilde{\rho})/n^2$ is microscopic, not macroscopic (in a gas, this time scale would be determined by the mean-free time between particle collisions). Hydrodynamics is only a sensible effective description at time scales much longer than such microscopic times, and the correct way to interpret the response function (\ref{eq:2.35}) is to expand $(\omega - \omega_2)$ in the denominator in powers of $\omega$. This gives

$$G_{T_{\mu\nu},T_{\mu
u}}^R(\omega, k) = \frac{\eta k^2}{i\omega - \gamma_\eta k^2 + O(k^4)} \left[ 1 + O(k^2) + O(\omega k^2) + O(\omega^3) \right] + \epsilon,$$

and shows that the contribution of the term $\omega/\omega_0$ in (\ref{eq:2.35}) is of the same order as contributions from higher-order hydrodynamics. Therefore, this term should not be retained in response functions evaluated using first-order hydrodynamics. In first-order hydrodynamics, the response function of the transverse momentum density is given by equation (\ref{eq:2.31}), and only has a pole in the lower half-plane of complex $\omega$, as it should. It happens quite generally that certain terms in response functions evaluated from first-order hydrodynamics may be ‘contaminated’ by higher-derivative corrections. Thus, some care needs to be taken in order to determine the response functions reliably, up to a given order in the derivative expansion.

### 3. Interactions of hydrodynamic modes

In the last section, we looked at two-point correlation functions of $T^{\mu\nu}$ and $J^\mu$ in thermal equilibrium state, evaluated from linearized hydrodynamics. What happens to these correlation functions if the nonlinearities in the hydrodynamic equations are taken into account? While the linear equations describe freely propagating hydrodynamic modes, the nonlinearities are responsible for the interactions among the modes. These interactions will modify the equilibrium correlation functions of $T^{\mu\nu}$ and $J^\mu$, and in particular will modify the transport coefficients $\eta$, $\zeta$ and $\sigma$ compared to their naive ‘bare’ values in linearized hydrodynamics.

The modification of viscosity compared to its naive value can be understood as follows. An inhomogeneous velocity profile $v_x(y)$ of the shear mode will eventually equilibrate due to particles transferring $x$-momentum between the layers of fluid, as shown in figure 1. However, momentum can also be transferred by collective excitations such as sound and shear modes, with wavelength shorter than the length scale of the inhomogeneity of the flow, as illustrated in figure 2. Such collective excitations will be present even in thermal equilibrium due to fluctuations, hence the shear viscosity measured for example from equilibrium two-point correlation functions will receive contributions from the collective modes. To estimate the contribution to $\eta$ from the sound waves, one can think about the short-wavelength sound waves as particles, whose ‘mean free path’ (propagation distance) is proportional to $1/(\gamma_k k^2)$, as is evident from the sound wave dispersion relation $\omega = \pm kv_x - i\gamma_k k^2/2$. Hence the contribution to $\eta$ from sound waves is proportional to $\int d^2k/(\gamma_k k^2)$, in other words is inversely proportional to $\eta$ itself. The momentum integral is UV divergent at large $k$ and needs to be cut off at some $k_{\text{max}}$, which is the maximum value of $k$ at which the hydrodynamic picture of collective excitations is still applicable.

\(^{10}\) Second-order terms in the constitutive relations will only contribute $O(\beta^1)$ terms such as $O(\omega k^2)$, $O(\omega^3)$ to the denominator of (\ref{eq:2.35}).
In this section, we will give a simple derivation of how two-point correlation functions of conserved currents are modified by the nonlinear terms in the hydrodynamic equations, and estimate the corresponding fluctuation correction to the shear viscosity. We will also see that hydrodynamic fluctuations will lead to the breakdown of the derivative expansion in hydrodynamics. Put differently, second-order hydrodynamics (taken as a set of classical partial differential equations) does not correctly describe the relaxation of $T^{\mu\nu}$ and $J^\mu$ in the hydrodynamic regime. In the context of non-relativistic hydrodynamics, the problem with the derivative expansion was known for a long time [23], and relativistic hydrodynamics is no different in this respect. It is important to emphasize that the physics of hydrodynamic fluctuations is only partially contained in the equations of hydrodynamics if the latter are taken merely as classical partial differential equations. This is because the classical equations of hydrodynamics ignore the excitation of collective modes by thermal fluctuations: the classical equations contain only the ‘dissipation’ part of the fluctuation-dissipation theorem [44].

3.1. Correlation functions of conserved currents

Following [45, 24], we will evaluate the effect of the nonlinear terms in the hydrodynamic equations on the correlation functions of spatial currents $J^i$ and stresses $T^{ij}$ in the hydrodynamic regime $\omega \to 0$ and $k \to 0$. For simplicity, we will do this in a scale-invariant theory, in the equilibrium state with $\mu = 0$. Choosing the hydrodynamic variables as $\delta \epsilon = T^{00} - \bar{\epsilon}$, $\pi^i = T^{0i}$, and $n = J^0$, the constitutive relations in the Landau frame take the following form to quadratic order in fluctuations:

$$T^{ij} = (\bar{\rho} + v_s^2 \delta \epsilon) \delta^{ij} - \gamma_\eta \left( \partial^i \pi^j + \partial^j \pi^i - \frac{2}{d} \delta^{ij} \partial_k \pi^k \right) + \frac{1}{\bar{w}} H^{ij}_{kl} \pi^k \pi^l + \cdots,$$

$$J^i = -D \partial^i \delta n + \frac{1}{\bar{w}} n \pi^i + \cdots$$

Here $\bar{w} = (\bar{\epsilon} + \bar{\rho})$ is the equilibrium enthalpy density, $D = \sigma / \chi$ is the diffusion constant for charge density, and $\gamma_\eta = \eta / \bar{w}$ is the diffusion constant for the transverse momentum density. The speed of sound is $v_s^2 = 1/d$, and the bulk viscosity vanishes due to scale invariance. The projector onto traceless symmetric tensors is $H^{ij}_{kl} = \frac{1}{2} \delta^{ij} \delta_{kl} + \frac{1}{d} \delta^i_k \delta^j_l - \frac{1}{d^2} \delta^{ij} \delta_{kl}$. The dots denote the terms which are suppressed either due to containing higher powers of the
hydrodynamic variables, or due to containing extra derivatives of the hydrodynamic variables. The contributions to the equilibrium correlation functions from the quadratic terms in the constitutive relations thus have the form

\[ G_{ij}^{(2)}(t, \mathbf{x}) = \frac{1}{\omega^2} \langle \eta(t, \mathbf{x}) \eta'(t, \mathbf{x}) \rangle_\eta(0) \pi^k(0) \]

\[ G_{ij}^{(2)}(t, \mathbf{x}) = \frac{1}{\omega^2} H_{\alpha\beta}^{ij}(t, \mathbf{x}) \pi^\alpha(0) \pi^\beta(0) \]

Assuming that the small equilibrium fluctuations are Gaussian and therefore can be factorized, we have for the quadratic contributions to the connected correlation functions

\[ G_{ij}^{(2)}(\omega, \mathbf{k}) = \frac{1}{\omega^2} \int \frac{d\omega'}{2\pi} \frac{d^4k'}{(2\pi)^4} G_{ii'}^{(0)}(\omega, \mathbf{k}') G_{\eta\eta'}^{(0)}(\omega - \omega', \mathbf{k}' - \mathbf{k}). \]

\[ G_{ij}^{(2)}(\omega, \mathbf{k}) = \frac{2}{\omega^2} H_{ij}^{\alpha\beta} H_{\alpha\beta}^{\eta\eta'}(\omega, \mathbf{k}) \]

Here \( G_{\eta\eta'}^{(0)}(\omega, \mathbf{k}) \) and \( G_{ij}^{(0)}(\omega, \mathbf{k}) \) are the symmetrized (or unordered) correlation functions, obtained in the linear response theory. Explicitly, relations (2.19), (2.21) and (2.14) give

\[ G_{ij}^{(0)}(\omega, \mathbf{k}) = \frac{2TD\chi k^2}{\omega^2 + (DK^2)^2}. \]  

\[ G_{\eta\eta'}^{(0)}(\omega, \mathbf{k}) = \left( \delta_{ij} - \frac{k_i k_j}{\mathbf{k}^2} \right) \frac{2T\gamma \tilde{w} \mathbf{k}^2}{\omega^2 + (\gamma \mathbf{k}^2)^2} + \frac{k_i k_j}{\mathbf{k}^2} \frac{2T\gamma_s \tilde{w} \mathbf{k}^2 \omega^2}{(\omega^2 - \mathbf{k}^2 v_s^2)^2 + (\gamma \mathbf{k}^2)^2}. \]

Let us first look at the current–current correlation function \( G_{ij}^{(2)}(\omega, \mathbf{k}) \). There are two contributions, one of the ‘shear–shear’ type, and one of the ‘shear-sound’ type. After performing the frequency integrals, one is left with momentum integrals which are linearly divergent in \( d = 3 \), and logarithmically divergent in \( d = 2 \). Introducing the high-momentum cutoff \( \Lambda \), one finds at zero momentum and small frequency in \( d = 3 \)

\[ G_{ij}^{(2)}(\omega = 0, \mathbf{k} = 0) = \mathcal{O}(\Lambda) - \delta_{ij} \frac{2}{3} \frac{(2T\chi)(2T\tilde{w})}{4\pi \tilde{w}^2} \frac{|\omega|^{1/2}}{(2D + \gamma_s)^{3/2}} + \cdots \]

where \( \cdots \) denote the terms analytic in \( \omega \). Only the ‘shear–shear’ contribution gives rise to the non-analytic \( |\omega|^{1/2} \) term. Using the Kubo formula (2.23a), the \( \mathcal{O}(\Lambda) \) term can be interpreted as the contribution of shear waves to d.c. conductivity, while the \( |\omega|^{1/2} \) term is the cutoff-independent contribution of shear waves to \( \sigma(\omega) \). The cutoff \( \Lambda \) is the momentum scale at which hydrodynamics ceases being a good effective description. Its value can be estimated from the condition that one-derivative terms in the hydrodynamic expansion are comparable to zero-derivative terms, \( \Lambda \gamma_s \sim 1 \). The non-analytic \( |\omega|^{1/2} \) term corresponds to the \( t^{-3/2} \) fall-off of the correlation function in real time. This phenomenon of ‘long-time tails’ in correlation functions of conserved currents has been known for a long time [46], and, as is evident from the derivation, is not specific to relativistic hydrodynamics. In \( d = 2 \), one finds at zero momentum and small frequency

\[ G_{ij}^{(2)}(\omega = 0, \mathbf{k} = 0) = \mathcal{O}(\ln \Lambda) + \delta_{ij} \frac{1}{2} \frac{(2T\chi)(2T\tilde{w})}{8\pi \tilde{w}^2(D + \gamma_s)} \ln \frac{(D + \gamma_s) \Lambda^2}{|\omega|} + \mathcal{O}(\omega^2 \ln(\Lambda^2/|\omega|)). \]

Again, the \( \mathcal{O}(\ln \Lambda) \) term can be interpreted as the contribution of shear waves to d.c. conductivity, while the \( \ln(\Lambda^2/|\omega|) \) term can be interpreted in the sense of the renormalization group as the logarithmic contribution of shear waves to the ‘running conductivity’.

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For the correlation function of two stress tensors one finds similar expressions,

$$G^{(2)}_{Tij, Tkl}(\omega, k = 0) = O(\Lambda) - H^{ij}_{kl} \frac{T^2}{60\pi} \left[ 7 + \left( \frac{3}{2} \right)^{3/2} \right] |\omega|^{1/2} \gamma^{3/2} + \cdots, \quad d = 3$$

$$G^{(2)}_{Tij, Tkl}(\omega, k = 0) = O(\ln \Lambda) + H^{ij}_{kl} \frac{T^2}{3\pi \gamma^2} \ln \frac{\gamma^2 \Lambda^2}{|\omega|} + \cdots, \quad d = 2$$

Again, using the Kubo formula (2.23b), the cutoff-dependent terms can be interpreted as contributions of shear and sound waves to shear viscosity.

In $d = 2$ spatial dimensions, one can define the `running conductivity' $\sigma(\mu) \equiv \sigma(\omega = \mu)$, and `running viscosity' $\eta(\mu) \equiv \eta(\omega = \mu)$. Demanding that the correlation functions do not depend on $\mu$ gives the following renormalization group equations for $\sigma(\mu)$ and $\eta(\mu)$

$$\mu \frac{\partial \sigma}{\partial \mu} = -\frac{T}{8\pi} \bar{\chi}^2 \sigma + \frac{1}{16\pi} \eta,$$

where $\bar{\chi} = (\partial \bar{\sigma}/\partial \mu)_{\mu=0}$ is the equilibrium charge susceptibility, and $\bar{\omega} = \bar{\varepsilon} + \bar{p}$ is the equilibrium enthalpy density (the sliding scale $\mu$ in equation (3.2) is not to be confused with the chemical potential). We can rewrite these equations in terms of dimensionless quantities $g_\sigma \equiv \eta/s$ and $g_\sigma \equiv \sigma T/\bar{\chi}$:

$$\mu \frac{\partial g_\sigma}{\partial \mu} = -\frac{1}{8\pi c} g_\sigma + g_\eta,$$

$$\mu \frac{\partial g_\eta}{\partial \mu} = -\frac{1}{16\pi c} g_\eta,$$

where the constant $c$ is defined by the equilibrium entropy density as $s = c T^2$, in other words $c$ measures the number of degrees of freedom in the system. Note that the right-hand side of these renormalization-group equations is small in the large-$N$ limit, and the running of $\sigma$ and $\eta$ disappears as $N \to \infty$. The flow diagram for equations (3.3) is shown in figure 3.

Both $\eta$ and $\sigma$ grow in the infrared, as happens quite generally in two-dimensional hydrodynamics [47]. In the limit $\mu \to 0$ the solutions asymptote to $g_\eta = g_\sigma$, in other words

$$\frac{\eta}{s} = \frac{\sigma T}{\bar{\chi}}.$$

This shows that in the extreme low-frequency limit, $\eta$ and $\sigma$ cease being independent transport coefficients in $d = 2$.

3.2. Breakdown of second-order hydrodynamics

The non-analytic behavior of correlation functions of conserved currents at small frequency (proportional to $|\omega|^{1/2}$ in $d = 3$ and $\ln |\omega|$ in $d = 2$) has implications for the derivative expansion in hydrodynamics. As indicated in section 1.3, the derivative expansion is constructed by expanding the energy–momentum tensor and the current order by order in derivatives of the hydrodynamic variables $T, \mu$ and $u^\mu$. For example, the shear viscosity arises as the coefficient of the one-derivative tensor $\sigma^{\mu\nu}$ in the expansion of $T^{\mu\nu}$. At second order in derivatives, there are other contributions to $T^{\mu\nu}$, for example $\sigma^{\mu\nu} \partial_\mu u^\nu$. References [11, 12, 48, 34] classify possible second-order terms in relativistic hydrodynamics.
The second-order terms will modify the linear-response correlation functions of $T_{\mu\nu}$ and $J_{\mu}$ evaluated in section 2. Repeating the calculation in section 2.6 changes equation (2.33b) to [11]

$$G^{R}_{T_{\mu};\nu}(\omega, k = 0) = \bar{\rho} - \frac{i\omega}{\eta} + \frac{\eta\tau_{\Pi}\omega}{1} + \cdots \quad (3.5)$$

where $\tau_{\Pi}$ is the Israel–Stewart stress relaxation time [49], and the dots denote contributions from other second-order transport coefficients. The derivative expansion is based on the assumption that higher-order terms are smaller than the lower-order terms, and indeed this is true in equation (3.5), in the hydrodynamic limit $\omega \to 0$.

We saw that in $d = 3$ spatial dimensions, hydrodynamic fluctuations give rise to a non-analytic $\omega^{3/2}$ contribution to $G^{R}_{T_{\mu};\nu}(\omega, k = 0)$. In the hydrodynamic limit, this is smaller than the first-order contribution $O(\omega)$, but is larger than the second-order contribution $O(\omega^2)$. Such non-analytic terms can not be represented by a local derivative expansion, which means that the derivative expansion is 3+1 dimensional hydrodynamics breaks down at second order. This problem with the derivative expansion has been known for a long time [23], and is not specific to relativistic hydrodynamics. See [26] for a discussion of this problem in the context of the quark–gluon plasma in heavy-ion collisions.

We emphasize that this problem with the derivative expansion in hydrodynamics is not an intrinsic problem with hydrodynamic equations themselves. The equations of hydrodynamics can be studied as partial differential equations on their own right at second (or higher) order in the derivative expansion. The problem is that these partial differential equations do not necessarily capture the correct behavior of fluids in the hydrodynamic regime. Viewing hydrodynamics merely as a set of partial differential equations misses information about hydrodynamic fluctuations which render these equations physically invalid as $\omega \to 0$. A complete description of fluids should supplement the hydrodynamic equations with a prescription of how to deal with fluctuations. This is the subject of the next section.
4. Hydrodynamics as a field theory

So far, we looked at two methods of computing correlation functions of conserved densities in the hydrodynamic regime: the standard linear-response formulation in sections 2.4, 2.5, and the variational formulation in section 2.6. There is another way to look at equilibrium real-time correlation functions, where the dissipation is attributed to small-scale random stresses and random currents in thermal equilibrium [50, 44]. This formalism has an added advantage that the interactions of the hydrodynamic modes can be treated in a systematic way [51], so that both the linear response results of section 2, and the interaction results of section 3 are included within the same framework. See for example [52–54]. The stochastic equations involving random stresses and random currents can be converted to a functional integral, which allows one to view hydrodynamics not just as a low-energy effective description, but as a low-energy effective field theory. We will start in sections 4.1 and 4.2 with equilibrium correlation functions derived from linearized relativistic hydrodynamics, which can be viewed as propagators for the hydrodynamic perturbation theory. Then in section 4.3, we will discuss interactions between the hydrodynamic modes in a simplified model of [22].

4.1. Simple diffusion

Just like we did in section 2, it is instructive to look at a simple example of charge diffusion before studying the full hydrodynamics. Beyond being an interesting example by itself, it will also serve as a basic building block for the future perturbative treatment of fluctuations.

For the simple diffusive process studied in section 2.1, we have found for the retarded function $G^R_{nn}(\omega, k)$ and the symmetrized function $G_{nn}(\omega, k)$

$$G^R_{nn}(\omega, k) = \frac{D}{i\omega - Dk^2}, \quad G_{nn}(\omega, k) = \frac{2TD\chi}{\omega^2 + (Dk^2)^2}. \quad (4.1)$$

Here $D$ is the diffusion constant, and $\chi$ is the static charge susceptibility, $\chi \equiv (\partial n / \partial \mu)_{\mu=0}$. The two functions are not independent, but rather are related by the fluctuation-dissipation theorem (2.14). One can ask the following question: given the hydrodynamic correlation functions (4.1) of the charge density $n(t, x)$, what is the effective action $S_{\text{eff}}[n]$ that would produce them? Let us now show how one can reproduce correlation functions (4.1) from a functional integral formalism, where the charge density $n(t, x)$ plays the role of a ‘quantum field’.

Stochastic model for diffusion. The diffusion equation (2.1) is a consequence of the current conservation $\partial_t J^0 = 0$, where the charge density is $J^0 = n$, and the constitutive relation for the spatial current is $J_k = -D\partial_k n$. The diffusion equation is only an effective description at long distance and time scales. In order to model the microscopic effects, we introduce random currents $r_k$ in equilibrium, so that the linearized constitutive relation for the current takes the form $J_k = -D\partial_k n + r_k$. We take the microscopic currents to only have Gaussian short-distance correlations, so that

$$\langle r_k(x, t) r_k(x', t') \rangle = C \delta_{kk} \delta(x-x') \delta(t-t'). \quad (4.2)$$

where $C = 2TD\chi$ determines the strength of the ‘noise’. The diffusion equation becomes a Langevin-type equation:

$$-\partial_t n + D\nabla^2 n + \theta(x, t) = 0, \quad (4.3)$$

with a random Gaussian field $\theta = -\partial_t r_k$,

$$\langle \theta(x, t) \theta(x', t') \rangle = -2T \chi D \nabla^2 \delta(x-x') \delta(t-t'). \quad (4.4)$$

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The angular brackets in (4.4) denote the average over the noise, in other words

$$\langle \cdots \rangle = \int D\theta \, e^{-W_0[\theta]} \cdots$$

For the chosen noise correlations given by equation (4.4), the weight factor is

$$W_0[\theta] = \frac{1}{2} \int dt \, d^dx \, d^dx' \, \theta(x, t)D(x, x')\theta(x', t),$$

where $D(x, x')$ satisfies

$$-C \nabla^2 D(x, x') = \delta(x - x').$$

**Effective action for diffusion.** One way to compute the correlation functions is to solve equation (4.3) and express the density in terms of the noise $n = n_0(x, t)$, then take the product of the solutions $n_0(x_1, t_1)n_0(x_2, t_2)\cdots$, and average it over the noise. Alternatively, we can pick out the solutions to equation (4.3) by integrating over all possible $n(x, t)$, with a functional delta function which enforces equation (4.3):

$$\langle n(x_1, t_1)n(x_2, t_2)\cdots \rangle = \int Dn[\delta(\text{e.o.m.)}]Jn(x_1, t_1)n(x_2, t_2)\cdots$$

where 'e.o.m.' stands for the left-hand side of the ‘equation of motion’ (4.3), and $J = \det(\delta(\text{e.o.m.)}/\delta n)$ is the Jacobian. For a linear equation such as the diffusion equation, the Jacobian does not depend on the fields, and can be absorbed into the integration measure. We represent the functional delta function by introducing an auxiliary field $\rho(x, t)$, namely $\delta(\text{e.o.m.)} = \int D\rho \exp \left\{ \int (\text{e.o.m.)} \rho) \right\}$. Now the integral over the noise $\theta(x, t)$ can be performed because it is Gaussian, giving the following representation of the correlation functions:

$$\langle n(x_1, t_1)n(x_2, t_2)\cdots \rangle = \int DnD\rho \, e^{-S_{\text{eff}}[n, \rho]}n(x_1, t_1)n(x_2, t_2)\cdots,$$

where the action is

$$S_{\text{eff}}[\rho, n] = \int dt \, d^dx \left[ \frac{C}{2} (\partial_t \rho)^2 - i\rho(\partial_t n - D\nabla^2 n) \right]. \quad (4.5)$$

This gives the functional integral representation of correlation functions for diffusion. We can also integrate out the auxiliary field $\rho$ to end up with the action which depends on $n(x, t)$ only:

$$\langle n(x_1, t_1)n(x_2, t_2)\cdots \rangle = \int Dn \, e^{-S_{\text{eff}}[n]n(x_1, t_1)n(x_2, t_2)\cdots}.$$

The effective action for the density fluctuations is

$$S_{\text{eff}}[n] = \frac{1}{2} \int dt \, d^dx \, d^dx' \, B(t, x)D(x, x')B(t, x'), \quad (4.6)$$

where $B(t, x) = (\partial_t n - D\nabla^2 n)$. This effective action does not involve any auxiliary fields, it is both real and time-reversal invariant, however it is non-local in space. One can use either one of the actions (4.5) or (4.6) to compute correlation functions. We emphasize that the effective action (4.6) describing diffusion of a conserved density is not meant to be the classical action from which one obtains the ‘equation of motion’ (2.1) via the standard Euler–Lagrange variational method. Rather, it is the effective action that is to be used in the generating functional for real-time correlation functions of the conserved charge density $n(t, x)$ in thermal equilibrium.
Correlation functions. What are the correlation functions that we compute in our effective theory (4.5)? In other words, what do they correspond to in the operator formalism? It is natural to identify \( \langle n(x_1, t_1) n(x_2, t_2) \rangle \) evaluated in the effective theory (4.5) with the symmetrized (or unordered) density–density correlation function:

\[
G_{nn}(t_1-t_2, x_1-x_2) = \langle n(x_1, t_1) n(x_2, t_2) \rangle.
\]

This is consistent with the fact that in the path integral formulation, the order of the fields does not matter, in other words \( \langle n(x_1, t_1) n(x_2, t_2) \rangle = \langle n(x_2, t_2) n(x_1, t_1) \rangle \). With this identification, it also important that the effective action (4.6) is time-reversal invariant. This is because time-reversal invariant microscopic dynamics must give rise to time-reversal invariant unordered density–density function. However, the action (4.5) need not be time-reversal invariant because it also contains information about the mixed \( \langle np \rangle \) correlation functions, and those are not time reversal invariant.

Finally, note that \( n(t, x) \) is a real field, and its correlation functions come from the real action, equation (4.6). Therefore, \( G_{nn}(t, x) \) is real, or \( G_{nn}(\omega, \mathbf{k})^* = G_{nn}(\omega, -\mathbf{k}) \). Time-reversal invariance of the action (4.6) combined with rotation invariance gives \( G_{nn}(\omega, \mathbf{k}) = G_{nn}(\omega, -\mathbf{k}) \), in other words \( G_{nn}(\omega, \mathbf{k}) \) must be real.

Let us evaluate the correlation functions in the effective theory (4.5). For a quadratic action with several real fields \( \phi^a(x, t) \), we can Fourier transform the fields and write the action as

\[
S = \frac{1}{2} \int \omega, \mathbf{k} \phi^a_{\omega, \mathbf{k}} P_{ab}(\omega, \mathbf{k}) \phi^b_{\omega, \mathbf{k}}.
\]

The two-point correlation functions are then given by

\[
G_{ab}(\omega, \mathbf{k}) = (P^{-1})_{ab}.
\]

We apply this to the effective action (4.5) and read off the correlation functions:

\[
G_{nn}(\omega, \mathbf{k}) = \frac{2 \chi D k^2}{\omega^2 + (D k^2)^2},
\]

\[
G_{np}(\omega, \mathbf{k}) = \frac{-1}{\omega + i D k^2},
\]

\[
G_{pn}(\omega, \mathbf{k}) = \frac{1}{\omega - i D k^2},
\]

\[
G_{pp}(\omega, \mathbf{k}) = 0.
\]

The \( G_{nn} \) correlation function has precisely the expected form for the linear response density–density correlation function (4.1). The mixed correlation functions \( G_{np}(t, x) = \langle n(t, x) \rho(0) \rangle \) and \( G_{pn}(t, x) = \langle \rho(t, x) n(0) \rangle \) look almost like the retarded and advanced functions, and are not independent from \( G_{nn} \). To see this, we perform a variable change in the functional integral as \( \rho(t, x) = \psi(t, x) + i \int d^d x' D(x, x') B(x', t) \), and one finds

\[
\langle n(t_1, x_1) \rho(t_2, x_2) \rangle = i \int d^d x' D(x_2, x') \langle n(t_1, x_1) B(t_2, x') \rangle.
\]

The Fourier transform of this relation is

\[
G_{np}(\omega, \mathbf{k}) = i \frac{\omega + D k^2}{C k^2} G_{nn}(\omega, \mathbf{k}).
\]

Thus we identify \(-i D \chi k^2 G_{np}(\omega, \mathbf{k})\) with \( G_{np}^{\omega}(\omega, \mathbf{k}) \), and \(-i D \chi k^2 G_{pn}(\omega, \mathbf{k})\) with \( G_{pn}^{\omega}(\omega, \mathbf{k}) \). The relation (4.7) now becomes the fluctuation-dissipation theorem (2.14).
4.2. Linearized hydrodynamics

Now that we have worked out correlation functions for the linear diffusion process, we can repeat the calculations for linearized hydrodynamics. For simplicity, let us look at relativistic hydrodynamics at zero chemical potential, studied in section 2.4.

Stochastic model for linearized hydrodynamics. The hydrodynamic equations are only an effective description at long distance and time scales. In order to model the microscopic effects, we introduce random stresses $\tau_{ij}$ in equilibrium, so that the linearized constitutive relation for the stress tensor takes the form

$$T_{ij} = \delta_{ij} \left( \bar{p} + v_i^2 \delta \epsilon \right) - \gamma_\eta \left( \partial_i \pi_j + \partial_j \pi_i - \frac{2}{d} \delta_{ij} \partial_k \pi_k \right) - \gamma_\xi \delta_{ij} \partial_k \pi_k + \tau_{ij}.$$  

As before, $\gamma_\eta = \eta/\bar{w}$, $\gamma_\xi = \zeta/\bar{w}$, and $\bar{w} = \bar{\epsilon} + \bar{p}$ is the equilibrium enthalpy density, which plays the role of the static susceptibility for momentum density fluctuations. We take the average over the noise, we find the following representation of the correlation functions:

$$C_{ij}(x, t) = 2T \bar{w} \left[ \gamma_0 \left( \delta_{ik} \delta_{j\ell} + \delta_{il} \delta_{jk} - \frac{2}{d} \delta_{ij} \delta_{kl} \right) + \gamma_\xi \delta_{ij} \delta_{kl} \right] \delta(x - x') \delta(t - t').$$

The effective action becomes

$$\partial_t \epsilon = -\partial_k \pi_k,$$  \hspace{1cm} (4.8)

$$\partial_t \pi_i = -v_i^2 \partial_k \epsilon + \mathcal{M}_{ij} \pi_j + \xi_i(t, x),$$  \hspace{1cm} (4.9)

where $\xi_i = -\partial_i \tau_{ij}$ is the momentum density noise, $\mathcal{M}_{ij} \equiv \gamma_0 \left( \nabla \delta_{ij} - \partial_i \partial_j \right) + \gamma_\eta \partial_i \partial_j$, and $\gamma_\xi \equiv \gamma_\xi + \frac{2d - 2}{d} \gamma_0$ as before. Note that there are no noise terms in the equation of energy conservation. The noise $\xi_i(t, x)$ has local Gaussian correlations,

$$\langle \xi_i(t, x) \xi_j(t', x') \rangle = C_{ij}(x - x') \delta(t - t'),$$

where $C_{ij} = -2T \bar{w} \mathcal{M}_{ij}$. The average over the noise $\xi_i$ is performed with the weight

$$W_{\pi}[\xi] = \frac{1}{2} \int dt \, dx \, dx' \, \xi_i(t, x) D_{ij}(x, x') \xi_j(t', x'),$$

where $D_{ij}(x, x')$ satisfies

$$C_{ij} D_{jk}(x, x') = \delta_{ik} \delta(x - x').$$

Effective action for linearized hydrodynamics. As before, we can represent correlation functions with a functional integral over the hydro variables, which in this case are $\epsilon$ and $\pi^i$. Again, we start with

$$\langle \epsilon(t_1, x_1) \ldots \rangle = \int \mathcal{D}\epsilon \mathcal{D}\pi \langle \delta(\text{e.o.m.}) \rangle \epsilon(t_1, x_1) \ldots$$

where the delta function enforces the hydro equations (4.8) and (4.9). We introduce an auxiliary field $\beta$ for energy conservation, and auxiliary field $\lambda_i$ for momentum conservation. Performing the integral over the noise, we find the following representation of the correlation functions:

$$\langle \pi_k(t_1, x_1) \pi_i(t_2, x_2) \ldots \rangle = \int \mathcal{D}\epsilon \mathcal{D}\pi \mathcal{D}\beta \mathcal{D}\lambda \, e^{-S_{\text{eff}}[\epsilon, \pi, \beta, \lambda]} \pi_k(t_1, x_1) \pi_i(t_2, x_2) \ldots$$

where the effective action is

$$S_{\text{eff}}[\epsilon, \pi, \beta, \lambda] = \int dt \, dx \left( \frac{1}{2} \lambda_i C_{ij} \lambda_j - i \lambda_k F_k - i \beta \left( \partial_i \epsilon + \partial_k \pi_k \right) \right).$$  \hspace{1cm} (4.10)
Here $F_i \equiv \partial_t \pi_j + v_i^2 \partial_x \pi_j - \mathcal{M}_{ij} \pi_j$. Again, we can integrate out the auxiliary field $\lambda_i$, which gives a representation of correlation functions with a non-local action,

$$\langle \pi_{ik}(t_1, x_1) \pi_{il}(t_2, x_2) \ldots \rangle = \int D\epsilon D\pi D\beta e^{-S_{\text{eff}}[\epsilon, \pi, \beta]} \pi_{ik}(t_1, x_1) \pi_{il}(t_2, x_2) \ldots$$

where

$$S_{\text{eff}}[\epsilon, \pi, \beta] = \frac{1}{2} \int dt \, d^dx d^dx' \, F_i(t, x) D_{ij}(x, x') F_j(t, x') - i \int dt \, d^dx \beta (\partial_t \epsilon + \partial_t \pi_k) \rangle. \quad (4.11)$$

Again, we identify two-point functions of the hydro variables evaluated with the action (4.11) with the unordered (or symmetrized) correlation functions of the corresponding operators in the microscopic theory. The fact that the noise strength $G_{ij}$ is proportional to $\mathcal{M}_{ij}$ ensures that the effective action (4.11) is time-reversal invariant.

**Correlation functions.** We can evaluate the correlation functions either from the action (4.10) or from the action (4.11). Let us work them out using the action (4.11). To do so, we express the action in terms of the Fourier components of the fields, and express the fluctuation in energy density as $\delta \epsilon = k_i \pi_i / \omega$. The function $F_i(\omega, k)$ can now be expressed in terms of the momentum density alone,

$$F_i(\omega, k) = S_{ij} \pi_j(\omega, k), \quad \text{where} \quad S_{ij} = \Delta_\eta \left( \delta_{ij} - \frac{k_i k_j}{k^2} \right) + \Delta_s \frac{k_i k_j}{k^2},$$

where $\Delta_\eta \equiv (-i \omega + \gamma_\eta k^2)$, and $\Delta_s \equiv (-i \omega + i v_s^2 k^2 + \gamma_s k^2)$. Setting $\Delta_\eta$ to zero gives the dispersion relation of the shear mode, while setting $\Delta_s$ to zero gives the dispersion relation of the sound mode. The action is

$$S_{\text{eff}}[\pi] = \frac{1}{2} \int d\omega d\mathbf{k} \pi_l(S_{ij} D_{ij} S^*_{ln}) \pi^*_n,$$

so the correlation function is

$$G_{\pi, \pi, n}(\omega, k) = (S^{-1} D^{-1} S^{-1})_{lj}.$$ 

This can be straightforwardly evaluated: the inverse of $S_{ij}$ is

$$(S^{-1})_{lj} = \frac{1}{\Delta_\eta} \left( \delta_{lj} - \frac{k_i k_j}{k^2} \right) + \frac{1}{\Delta_s} \frac{k_i k_j}{k^2},$$

and the correlation function becomes

$$G_{\pi, \pi, n}(\omega, k) = \left( \delta_{lj} - \frac{k_i k_j}{k^2} \right) \frac{2T \gamma_\lambda \bar{u} k^2}{\omega^2 + (\gamma_s k^2)^2} + \frac{k_i k_j}{k^2} \frac{2 \gamma_s \bar{u} T k^2 \omega^2}{(\omega^2 - v_s^2 k^2)^2 + (\gamma_s k^2 \omega^2)^2},$$

in agreement with the linear-response result (3.1b) found in section 2.4. Similarly to the relation (4.7) between $G_{\pi, \pi}$ and $G_{\pi, \pi}$ for diffusion, one can derive a relation between $G_{\pi, \lambda, i}$ and $G_{\pi, \lambda, j}$ in linearized hydrodynamics. Changing the functional integration variable from $\hat{\lambda}_i$ to $\phi_i$ as $\lambda_i(t, x) = \phi_i(t, x) + i \int d^dx D_{ij}(x, x') \pi_j(t, x')$, one finds

$$\langle \pi_{ij}(t_1, x_1) \lambda_{ij}(t_2, x_2) \rangle = i \int d^dx d^dx' \bar{D}_{ij}(x_2, x') \langle \pi_{ij}(t_1, x_1) F_{ij}(t_2, x') \rangle.$$ 

After using $\omega G_{\pi, \lambda} = k_i G_{\pi, \pi}$, together with the above expression for $G_{\pi, \pi}$, this gives

$$G_{\pi, \lambda, i}(\omega, k) = \left( \delta_{ij} - \frac{k_i k_j}{k^2} \right) \frac{-1}{\omega + i \gamma_\lambda k^2} + \frac{k_i k_j}{k^2} \frac{-\omega}{\omega^2 - v_s^2 k^2 + i \omega \gamma_s k^2}.$$
4.3. One-loop corrections

So far we looked at fluctuations in linearized hydrodynamics. One could extend the analysis of section 4.2 to the full nonlinear relativistic hydrodynamics with the constitutive relations (1.16), however the resulting effective action is messy and not illuminating. In order to study the interactions of the hydrodynamic modes, it is instructive to look at a toy model which is technically simpler, yet still retains the essential features of the full hydrodynamics. We will consider the interactions of the hydrodynamic modes, it is instructive to look at a toy model which is incompressible Navier–Stokes equation with a random external ‘stirring force’ as we will see shortly. The hydrodynamic equations give the following stochastic model:

\[ J_k = -D \partial_t n + \frac{n \pi_k}{\bar{w}} + r_k, \]
\[ T_{ij} = p \delta_{ij} - \gamma_0 (\partial_i \pi_j + \partial_j \pi_i) + \frac{n \pi_j}{\bar{w}} + \tau_{ij}, \]

where \( r_k \) is the current noise, \( \tau_{ij} \) is the stress noise, and \( \gamma_0 \equiv \eta/\bar{w} \). The pressure \( p \) needs to be expanded to quadratic order in \( n \) and \( \pi_k \) as well, but these terms will turn out to be unimportant, as we will see shortly. The hydrodynamic equations give the following stochastic model:

\[ \partial_t n = D \nabla^2 n - \frac{1}{\bar{w}} \pi_k \partial_k n + \theta(t, x), \quad \tag{4.12a} \]
\[ \partial_t \pi_i = -\partial_i p + \gamma_0 \nabla^2 \pi_i - \frac{1}{\bar{w}} \pi_k \partial_k \pi_i + \xi(t, x). \quad \tag{4.12b} \]

From the discussion of diffusion in section 4.1, we take the short-distance noise as

\[ \langle \theta(t, x) \theta(t', x') \rangle = -C_\sigma \nabla^2 \delta(x-x') \delta(t-t'), \]
\[ \langle \xi_i(t, x) \xi_j(t', x') \rangle = -C_0 \delta_{ij} \nabla^2 \delta(x-x') \delta(t-t'), \]
\[ \langle \theta(t, x) \xi_i(t', x') \rangle = 0, \]

with the noise strength constants \( C_\sigma = 2TDX = 2T\sigma \), and \( C_0 = 2T \gamma_0 w = 2T \eta \). The convective term \( \pi_k \partial_k n \) couples the diffusive mode and the shear mode, while the convective term \( \pi_k \partial_k \pi_i \) gives rise to self-interactions of the shear mode. The model (4.12) was studied by Forster, Nelson and Stephen in [22]. Note that equation (4.12b) is just the non-relativistic incompressible Navier–Stokes equation with a random external ‘stirring force’ \( \xi_i \). For a treatment which includes the sound mode in non-relativistic hydrodynamics, see [55].

The symmetry properties of the correlation functions in the model (4.12) are the same as in the linearized hydrodynamics. The equations of the model are real, so the correlation functions of \( n(t, x) \) and \( \pi_i(t, x) \) are real. The correlation functions are also invariant under space-time translations, and transform covariantly under rotations. As the order of the fields does not matter when averaging over the noise, we have \( G_{nn}(t, x) = G_{nn}(-t, -x) \), and \( G_{n\pi}(t, x) = G_{n\pi}(-t, -x) \). Combined with rotation symmetry, this implies that \( G_{nn}(\omega, k) \) and \( G_{n\pi}(\omega, k) \) are real (for real \( \omega \) and \( k \)).
Effective action for hydrodynamics. We follow the same procedure as in sections 4.1 and 4.2 to derive the effective action for the stochastic model (4.12). As before, there is an auxiliary field \( \rho(t, x) \) for charge density fluctuations, and an auxiliary field \( \lambda_i(t, x) \) for momentum density fluctuations, which we choose to satisfy \( \partial_t \lambda_i = 0 \), to match the condition \( \partial_t \pi_i = 0 \). This condition implies that the pressure drops out of the effective action because the corresponding term in the effective action \( \int \lambda_i \partial_t \rho \) is a total derivative. This gives the following representation for correlation functions:

\[
\langle n(t_1, x_1)n(t_2, x_2) \ldots \rangle = \int Dn D\pi D\rho D\lambda [J] e^{-S_{\text{eff}}[n, \pi, \rho, \lambda]} n(t_1, x_1)n(t_2, x_2) \ldots ,
\]

where

\[
S_{\text{eff}}[n, \pi, \rho, \lambda] = \int dt \, d^d x \left( -\frac{C_\pi}{2} \rho \nabla^2 \rho - \frac{C_\rho}{2} \lambda_i \nabla^2 \lambda_i - i \rho B - i \lambda_i F_i \right).
\]

(4.13)

Here \( B \equiv (\partial_t n - D \nabla^2 n + \pi_i \partial_i n / \bar{w}), \) and \( F_i \equiv (\partial_t \pi_i - \gamma_i \nabla^2 \pi_i + \pi_k \partial_k \pi_j / \bar{w}) \). The conditions \( \partial_t \pi_i = 0 \) and \( \partial_t \lambda_i = 0 \) are implied. Again, one could integrate out the auxiliary fields \( \rho \) and \( \lambda_i \) in equation (4.13) to arrive at a real (though non-local) effective action.

The effective action (4.13) is not real. Rather, it is invariant under complex conjugation combined with \( \rho \rightarrow -\rho \) and \( \lambda_i \rightarrow -\lambda_i \). This symmetry implies that the ‘mixed’ correlation functions \( G_{n\rho}(t, x), G_{n\pi}(t, x), G_{n\lambda}(t, x) \) and \( G_{\pi\lambda}(t, x) \) are purely imaginary. Combined with translation invariance, this implies

\[
G_{n\rho}(\omega, k) = -G_{n\rho}(\omega, k)^*,
\]

(4.14)

\[
G_{n\lambda}(\omega, k) = -G_{\lambda n}(\omega, k)^*.
\]

(4.15)

Again, we can show that \( G_{n\rho} \) is related to \( G_{nn} \), by repeating the same argument which leads to equation (4.7), and the extra term \( \langle n \pi_i \partial_i n \rangle \) vanishes by parity. A similar argument gives the relation between \( G_{nn} \) and \( G_{\pi\pi} \), because the extra term \( \langle \pi_i \pi_k \partial_i \pi_j \rangle \) vanishes by parity. Remembering that \( G_{nn}(\omega, k) \) and \( G_{\pi\lambda}(\omega, k) \) are real, we have

\[
G_{nn}(\omega, k) = 2T \chi \text{ Im} G_{nn}(\omega, k),
\]

(4.16)

\[
G_{\pi\lambda}(\omega, k) = 2T \bar{w} \text{ Im} G_{\pi\lambda}(\omega, k).
\]

(4.17)

This means that in order to evaluate the correlation functions \( G_{nn} \) and \( G_{n\pi, \pi} \), we can evaluate \( G_{n\rho} \) and \( G_{\pi\lambda} \), and take their imaginary parts. It will be simpler to do exactly that in practice.

The above functional integral representation of correlation functions also contains the Jacobian \( J \) which arises from the variable change to the fields \( n \) and \( \pi \). In general, if there are several fields \( \varphi_a \) satisfying equations \( E_a[\varphi] = 0 \), then the Jacobian is \( J = \det(\delta E_a / \delta \varphi_b) \). Note that in our model the noise terms only appear additively in the stochastic equations, therefore the Jacobian does not depend on the noise, and the noise average can be easily performed leading to (4.13). We take our fields as \( \varphi_a = \{ n, \pi_i \} \), and we take as their equations of motion \( E_a[\varphi] \) the corresponding stochastic equations for \( n \) and \( \pi_i \). Note that the Jacobian is real because both the fields \( \varphi_a \) and their equations of motion \( E_a[\varphi] \) are real. We can exponentiate the Jacobian by introducing the anticommuting ghost fields \( \gamma_a, \bar{\gamma}_a \) as was done by Parisi and Sourlas in [56],

\[
J = \int D\bar{\gamma} D\gamma e^{-S_g}, \quad S_g = \int dt \, d^d x \bar{\gamma}_a \frac{\delta E_a[\varphi]}{\delta \varphi_b} \gamma_b.
\]

In our model we will have both the density ghosts \( \gamma_n, \bar{\gamma}_n \), and the momentum ghosts \( \gamma_i, \bar{\gamma}_i \). For the momentum ghosts, we impose the ‘incompressibility’ condition \( \partial_t \rho_i = 0, \partial_t \pi_i = 0 \). We can now include the ghosts in the effective action (4.13), and write it as

\[
S_{\text{eff}} = \int dt \, d^d x (\mathcal{L}^{(2)} + \mathcal{L}^{(\text{int})}),
\]

(4.18)
where $\mathcal{L}^{(2)}$ is quadratic in the fields, and $\mathcal{L}^{(\text{int})}$ contains the interactions,

$$
\mathcal{L}^{(2)} = -\frac{C_\rho}{2} \rho \nabla^2 \rho - \frac{C_\lambda}{2} \lambda_i \nabla^2 \lambda_i - i \rho (\partial_i n - D \nabla^2 n) - i \lambda_i (\partial_i  \pi_i - \gamma_\eta \nabla^2 \pi_i) + \bar{\psi}_j (\partial_i - \gamma_\eta \nabla^2) \psi_j + \bar{\psi}_n (\partial_i - D \nabla^2) \psi_n,
$$

and

$$
\mathcal{L}^{(\text{int})} = -\frac{i}{w} \rho \pi_j \partial_j n - \frac{i}{w} \lambda_i \pi_j \partial_j \pi_i + \frac{1}{w} \bar{\psi}_i (\partial_i \pi_i) \psi_i + \frac{1}{w} \bar{\psi}_n (\partial_i n) \psi_i + \frac{1}{w} \bar{\psi}_i \pi_i \partial_i \psi_n.
$$

The conditions $\partial_i \pi_i = 0$, $\partial_i \lambda_i = 0$, $\partial_i \psi_i = 0$, $\partial_i \bar{\psi}_i = 0$ can be imposed by additional Lagrange multipliers. These extra auxiliary fields only appear in $\mathcal{L}^{(2)}$, but not in $\mathcal{L}^{(\text{int})}$, hence their only effect is to modify the propagators, but not the vertices. The action above is suitable for calculating correlation functions in the hydrodynamic perturbation theory.

The action (4.18) is invariant under space-time translations, spatial rotations, parity, and charge conjugation. The action (4.18) is not invariant under time-reversal, nor should it be.

The action is also invariant under Galilean symmetry under which

$$
\pi_i(t, x) \rightarrow \pi_i(t, x-\mathbf{v} t) + \bar{\mathbf{v}} \psi_i,
$$

$$
n(t, x) \rightarrow n(t, x-\mathbf{v} t),
$$

and all other fields transforming the same way as $n(t, x)$. Further, the action (4.18) is invariant under the symmetry generated by

$$
\delta n = \bar{\xi} \psi_n, \quad \delta \bar{\psi}_n = \bar{\xi} \rho,
$$

$$
\delta \pi_i = \bar{\xi} \psi_i, \quad \delta \bar{\psi}_i = \bar{\xi} \lambda_i,
$$

where $\bar{\xi}$ is an infinitesimal anticommuting parameter. This symmetry is quite generic in stochastic systems [56, 57]. It is an analogue of the BRST symmetry, and implies the relations

$$
G_{\psi \bar{\psi}} (t, x) = -i G_{n \rho} (t, x),
$$

$$
G_{\psi \bar{\psi}} (t, x) = -i G_{\pi \lambda} (t, x).
$$

For the diagram technique, we read off the propagators from $\mathcal{L}^{(2)}$ and the vertices from $\mathcal{L}^{(\text{int})}$. The propagators were already calculated in sections 4.1–4.2, and we summarize them here, associating a line with each one:

$$
G^0_{n n} (\omega, \mathbf{k}) = \frac{C_\rho k^2}{\omega^2 + (Dk^2)^2}
$$

$$
G^0_{n \rho} (\omega, \mathbf{k}) = -\frac{1}{\omega + i Dk^2}
$$

$$
G^0_{\rho n} (\omega, \mathbf{k}) = \frac{1}{\omega - i Dk^2}
$$

$$
G^0_{\rho \rho} (\omega, \mathbf{k}) = 0
$$

$$
G^0_{\pi \pi} (\omega, \mathbf{k}) = \frac{C_\lambda k^2}{\omega^2 + (\gamma_\lambda k^2)^2} \left( \frac{\delta_{ij}}{i} - \frac{k_i k_j}{k^2} \right)
$$

$$
G^0_{\pi \lambda} (\omega, \mathbf{k}) = -\frac{1}{\omega + i \gamma_\lambda k^2} \left( \frac{\delta_{ij}}{i} - \frac{k_i k_j}{k^2} \right)
$$
Figure 4. The vertices for the effective action (4.13). There is an overall sign depending on whether the momentum is flowing in or out of the vertex.

Figure 5. Three connected one-loop diagrams potentially contributing to $G_{\pi\lambda}$. In addition to the shown diagrams, there are two more diagrams with ghost loops which are completely analogous to the diagrams in the first row.

\[
G_{\lambda\pi}^0(\omega, k) = \frac{1}{\omega - i\gamma \eta k^2}(\delta_{ij} - \frac{k_i k_j}{k^2})
\]

\[
G_{\lambda\pi}^0(\omega, k) = 0
\]

The vertices are shown in figure 4. There are also ghost propagators and ghost vertices which we did not write down, but they can be easily read off from the action (4.18).

**Correction to the momentum density propagator.** At one loop, there are five connected diagrams potentially contributing to $G_{\pi\lambda}$, three of which are shown in figure 5, and the remaining two contain ghost loops. The first two diagrams shown in the figure vanish. To see this, let $\omega$ and $k$ be the external frequency and momentum, and $z$ and $q$ the frequency and momentum running in the loop. The first diagram will have $G_{\pi0}$ and $G_{\rho0}$ in the loop, and is proportional to

\[
\int \frac{dz}{2\pi} \frac{1}{z + iDq^2} \frac{1}{(\omega - z) - iD(k - q)^2}.
\]

In the plane of complex $z$, both poles are in the lower half plane, and as a result the integral is zero. Exactly the same argument applies to the second diagram in figure 5, and to the diagrams with ghost loops. Thus it is only the last diagram in figure 5 which contributes. Let us call the amputated part of the last diagram $\Sigma_{mn}(\omega, k)$, i.e. we write the connected one-loop contribution in momentum space as

\[
G_{\pi\lambda} = G_{\pi\lambda}^0 + \Sigma_{mn}(\omega, k),
\]

By rotation invariance, $\Sigma_{mn}$ must have the form $\Sigma_{mn} = \delta_{mn}\Sigma_1 + k_m k_n \Sigma_2$. Because $G_{\pi\lambda}^0$ is transverse, it is only $\Sigma_1$ that contributes. By summing all such one-loop diagrams, we find the correlation function as $G = G^0(1 - \Sigma_1 G^0)^{-1}$, which gives

\[
G_{\pi\lambda}(\omega, k) = \frac{-1}{\omega + i\gamma \eta k^2 + \Sigma_1(\omega, k)}(\delta_{ij} - \frac{k_i k_j}{k^2}).
\]
If $\Sigma_1(\omega, k)$ is proportional to $k^2$ for small external momenta, its imaginary part can be interpreted as a correction to $\gamma_\eta$, or equivalently as a correction to viscosity. The correlation function of momentum density then becomes

$$ G_{\pi, \pi}(\omega, k\to 0) = \frac{2T \bar{w} \gamma_\eta(\omega) k^2}{\omega^2 + \left(\gamma_\eta(\omega) k^2\right)^2} \left(\delta_{ij} - \frac{k_i k_j}{k^2}\right), $$

(4.21)

where $\gamma_\eta(\omega) = \gamma_\eta + \text{Im} \Sigma_1(\omega, k\to 0)/k^2$. Explicitly, the loop integral is

$$ \Sigma_1(\omega, k\to 0) = -\frac{k^2}{3d} \int \frac{d^d q}{(2\pi)^d} \left( \frac{d^2-d-2}{\omega + 2iy q^2} + \frac{2}{d+2} \frac{2iy q^2}{(\omega + 2iy q^2)^2} \right), $$

(4.22)

where $s = \bar{w}/T$ is the equilibrium density of entropy. In the large-$N$ limit, both the viscosity and the entropy density grow with $N$, so that $\gamma_\eta = O(1)$, and $1/s$ can be treated as a small parameter, suppressing the fluctuation corrections. In $d = 3$ spatial dimensions the integral (4.22) is linearly divergent at large momenta. Introducing a large-momentum cutoff, we find

$$ \text{Re} \Sigma_1(\omega, k\to 0) = -\frac{23k^2}{30\pi s} \frac{1}{s_0^{3/2} (4\gamma_\eta)^{3/2}}, \quad \text{Im} \Sigma_1(\omega, k\to 0) = C_{\Lambda} k^2 - \frac{23k^2}{30\pi s} \frac{1}{s_0^{3/2} (4\gamma_\eta)^{3/2}}. $$

The constant $C_{\Lambda}$ is proportional to the cutoff and to $1/s$, but is $\omega$ and $k$ independent. The imaginary part of $\Sigma_1(\omega, k)$ can be interpreted as a correction to $\gamma_\eta$, producing a frequency-dependent viscous damping coefficient $\gamma_\eta(\omega) = \gamma_\eta + C_{\Lambda} - \frac{23}{30\pi s} \sqrt{|\omega|} (4\gamma_\eta^{-1})^{3/2}$. We define the ‘renormalized’ viscous damping constant as

$$ \gamma_\eta^{\text{ren}} = \gamma_\eta + C_{\Lambda}. $$

We need to express the physically measurable $\gamma_\eta(\omega)$ in terms of finite $\gamma_\eta^{\text{ren}}$. Following the standard renormalization procedure, to this order in $1/s$ we replace $\gamma_\eta$ with $\gamma_\eta^{\text{ren}}$, which gives

$$ \gamma_\eta(\omega) = \gamma_\eta^{\text{ren}} + \frac{23}{30\pi s} \frac{1}{s_0^{3/2} (4\gamma_\eta)^{3/2}}. $$

(4.23)

The renormalized viscous damping constant in $d = 3$ is given by the usual Kubo formula (2.22b), as $\omega \to 0$ (recall that $\eta = \gamma_\eta \bar{w}$). For example, taking the spatial momentum along $z$, we have

$$ \gamma_\eta^{\text{ren}} = \frac{1}{2T \bar{w}} \lim_{\omega \to 0} \lim_{k \to 0} \frac{\omega^2}{k^2} G_{\pi, \pi}(\omega, k). $$

(4.24)

In $d = 2$ spatial dimensions, the integral (4.22) is logarithmically divergent at large momenta. Introducing a large-momentum cutoff, we find

$$ \text{Re} \Sigma_1(\omega, k\to 0) = -\frac{k^2}{32s} \frac{\text{sign}(\omega)}{2\gamma_\eta}, \quad \text{Im} \Sigma_1(\omega, k\to 0) = \frac{k^2}{16\pi s} \frac{\ln(\Lambda/|\omega|)}{2\gamma_\eta}. $$

Again, the imaginary part of $\Sigma_1(\omega, k)$ can be interpreted as a correction to $\gamma_\eta$, producing a frequency-dependent viscous damping constant, $\gamma_\eta(\omega) = \gamma_\eta + \frac{1}{16\pi s} \frac{1}{2\gamma_\eta} \ln(\Lambda/|\omega|)$. If we interpret the logarithmic divergence in the renormalization-group sense, we can define the ‘renormalized’ damping constant $\gamma_\eta^{\text{ren}}$ as $\gamma_\eta(\omega)$ evaluated at some frequency scale $\omega = \mu$,

$$ \gamma_\eta^{\text{ren}} = \gamma_\eta + \frac{1}{16\pi s} \frac{1}{2\gamma_\eta} \ln \frac{\Lambda}{\mu}. $$

To this order in $1/s$ we replace $1/\gamma_\eta$ in the right-hand side with $1/\gamma_\eta^{\text{ren}}$, and express the ‘bare’ parameter $\gamma_\eta$ in terms of $\gamma_\eta^{\text{ren}}$. The ‘bare’ parameter $\gamma_\eta$ knows nothing about the arbitrary frequency scale $\mu$ at which we choose to define the viscosity. Hence $\partial \gamma_\eta/\partial \mu = 0$, which implies

$$ \mu \frac{\partial \gamma_\eta^{\text{ren}}}{\partial \mu} = -\frac{1}{32\pi s} \frac{1}{\gamma_\eta^{\text{ren}}}. $$

(4.25)
In other words, in order for the frequency-dependent viscosity coefficient \( \gamma_\eta(\omega) \) in the correlation function (4.21) to be independent of the arbitrary scale \( \mu \), the renormalized viscosity must depend on the arbitrary frequency scale \( \mu \), at which we choose to measure it, so that \( \gamma_\eta^\text{ren}(\mu) \) grows in the infrared, proportional to \( \sqrt{\text{Im}(1/\mu)} \). The viscous damping coefficient \( \gamma_\eta \equiv \eta/\bar{\omega} \) is

\[
\gamma_\eta = \gamma_\eta^\text{ren}(\mu) + \frac{1}{32\pi s} \frac{1}{\gamma_\eta^\text{ren}(\mu)} \ln \frac{\mu}{\omega},
\]

and the correlation function of momentum density (4.21) does not depend on \( \mu \). The correction to \( \gamma_\eta \) differs from the one computed in section 3.1 because the present ‘incompressible’ model ignores sound waves.

**Correction to the charge density propagator.** Let us now calculate the correction to the charge density correlation function. At one loop, there is only one connected diagram that contributes to \( G_{\rho} \), as shown in figure 6. Let us call the amputated part of the \( G_{\rho} \) diagram \( \Sigma_{1}(\omega, k) \). By summing all such diagrams, we find the corrected correlation function:

\[
G_{\rho}(\omega, k) = -\frac{1}{\omega + iDk^2 + \Sigma(\omega, k)}. \tag{4.26}
\]

For small external momenta, \( \Sigma(\omega, k) \) is proportional to \( k^2 \), and we obtain the correlation function of charge density as

\[
G_{\rho n}(\omega, k \to 0) = \frac{2T\chi D(\omega)k^2}{\omega^2 + (D(\omega)k^2)^2}, \tag{4.27}
\]

where \( D(\omega) = D + \text{Im} \Sigma(\omega, k \to 0)/k^2 \). Explicitly, \( \Sigma(\omega, k) \) is given by

\[
\Sigma(\omega, k) = \frac{1}{\bar{\omega}^2} \int \frac{dz}{2\pi} \frac{d^d q}{(2\pi)^d} G^0_{\pi, \pi}(z, q) G^0_{\rho \rho}(\omega - z, k - q)(k_i - q_i)k_j. \tag{4.28}
\]

In the expression (4.28), the \( q_i \) factor does not contribute because \( G^0_{\pi, \pi} \) is transverse. The frequency integral can be evaluated by closing the contour in the lower half-plane. The remaining momentum integral simplifies when \( k \to 0 \), and one finds

\[
\Sigma(\omega, k \to 0) = -\frac{k^2d-1}{s} \int \frac{d^d q}{(2\pi)^d} \frac{1}{\omega + i(\gamma_\eta + D)q^2}. \tag{4.29}
\]

In \( d = 3 \) spatial dimensions, the integral (4.29) is linearly divergent at large momenta. Introducing a large-momentum cutoff, we find

\[
\text{Re} \Sigma(\omega, k \to 0) = -\frac{k^2}{3\pi s} \sqrt{|\omega|} \text{sign}(\omega), \quad \text{Im} \Sigma(\omega, k \to 0) = C_\lambda k^2 - \frac{k^2}{3\pi s} \sqrt{|\omega|} \frac{2(\gamma_\eta + D)}{[2(\gamma_\eta + D)]^{3/2}}. \tag{4.30}
\]

The constant \( C_\lambda \) is proportional to the cutoff and to \( 1/s \), but is \( \omega \) and \( k \) independent. The imaginary part of \( \Sigma(\omega, k) \) can be interpreted as a correction to \( D \), producing a frequency-dependent diffusion coefficient \( D(\omega) = D + C_\lambda - \frac{1}{3\pi s} \sqrt{|\omega|} \frac{2(\gamma_\eta + D)}{[2(\gamma_\eta + D)]^{3/2}} \). We define the ‘renormalized’ diffusion constant as

\[
D^\text{ren} = D + C_\lambda.
\]
We need to express the physically measurable $D(\omega)$ in terms of finite $D^{\text{ren}}$. Following the standard renormalization procedure, to this order in $1/s$ we replace $(\gamma_\eta + D)$ in the right-hand side with $(\gamma_\eta^{\text{ren}} + D^{\text{ren}})$, which gives

$$D(\omega) = D^{\text{ren}} - \frac{1}{3\pi s} \sqrt{\frac{\omega}{2(\gamma_\eta^{\text{ren}} + D^{\text{ren}})^2}}.$$  \hspace{1cm} (4.30)

The renormalized diffusion constant in $d = 3$ is given by the usual Kubo formula (2.22a), as $\omega \to 0$ (recall that $\sigma = D\chi$),

$$D^{\text{ren}} = \frac{1}{2T\chi} \lim_{\omega \to 0} \lim_{k \to 0} \frac{\omega^2}{k^2} G_{nn}(\omega, k).$$ \hspace{1cm} (4.31)

In $d = 2$ spatial dimensions, the integral (4.29) is logarithmically divergent at large momenta. Introducing a large-momentum cutoff, we find

$$\text{Re} \Sigma(\omega, k \to 0) = -\frac{k^2 \text{sign}(\omega)}{16\pi \gamma_\eta + D}, \quad \text{Im} \Sigma(\omega, k \to 0) = \frac{k^2}{8\pi s} \frac{\ln(\Lambda/|\omega|)}{\gamma_\eta + D}.$$ \hspace{1cm} (4.32)

The imaginary part of $\Sigma(\omega, k)$ can be interpreted as a correction to $D$, producing a frequency-dependent diffusion coefficient, $D(\omega) = D + \frac{1}{8\pi \gamma_\eta} \ln(\Lambda/|\omega|)$. If we interpret the logarithmic divergence in the renormalization-group sense, we can define the ‘renormalized’ diffusion constant $D^{\text{ren}}$ as $D(\omega)$ evaluated at some frequency scale $\omega = \mu$,

$$D^{\text{ren}} = D + \frac{1}{8\pi s} \frac{1}{\gamma_\eta + D} \ln \frac{\Lambda}{\mu}.$$ \hspace{1cm} (4.33)

To this order in $1/s$ we can replace $(\gamma_\eta + D)$ in the right-hand side with $(\gamma_\eta^{\text{ren}} + D^{\text{ren}})$, and express the ‘bare’ diffusion constant $D$ in terms of $D^{\text{ren}}$ and $\gamma_\eta^{\text{ren}}$. Note that the ‘bare’ parameter $D$ knows nothing about the arbitrary frequency scale $\mu$ at which we choose to define the diffusion constant. Hence $\partial D/\partial \mu = 0$, which implies

$$\mu \frac{\partial D^{\text{ren}}}{\partial \mu} = -\frac{1}{8\pi s} \frac{1}{\gamma_\eta^{\text{ren}} + D^{\text{ren}}}.$$ \hspace{1cm} (4.34)

In other words, in order for the frequency-dependent diffusion coefficient $D(\omega)$ in the correlation function (4.27) to be independent of the arbitrary scale $\mu$, the renormalized diffusion constant must depend on the arbitrary frequency scale $\mu$, at which we choose to measure it. The diffusion coefficient is

$$D(\omega) = D^{\text{ren}}(\mu) + \frac{1}{8\pi s} \frac{1}{\gamma_\eta^{\text{ren}}(\mu) + D^{\text{ren}}(\mu)} \ln \frac{\mu}{\omega},$$

and the correlation function of charge density (4.27) does not depend on $\mu$.

**Scale dependence of the diffusion constant and viscosity in $d = 2$.** We have found that the viscosity and the diffusion constant are running, scale-dependent parameters in $d = 2$ spatial dimensions, satisfying renormalization-group equations (4.25) and (4.32),

$$\mu \frac{\partial \gamma_\eta^{\text{ren}}}{\partial \mu} = -\frac{1}{32\pi s} \frac{1}{\gamma_\eta^{\text{ren}}}, \quad \mu \frac{\partial D^{\text{ren}}}{\partial \mu} = -\frac{1}{8\pi s} \frac{1}{\gamma_\eta^{\text{ren}} + D^{\text{ren}}},$$ \hspace{1cm} (4.35)

where $D$ is the charge diffusion constant, $\gamma_\eta = \eta/(\bar{\epsilon} + \bar{p})$ is the shear mode damping constant, and $\mu$ is the frequency at which we choose to measure the transport coefficients at zero momentum. These equations are almost identical to the equations (3.2) derived earlier, except for a factor of 2 in the equation for $\gamma_\eta$. This is because the present model only keeps shear waves, but neglects sound waves, which contributed to the renormalization of the shear viscosity in
section 3.1. In the low-frequency limit $\mu \to 0$, equation (4.33) give the following scale dependence of the transport coefficients:

$$\gamma^\text{ren}_\eta(\mu) = \sqrt{\frac{1}{16\pi s}} \left( \ln \frac{1}{\mu} \right)^{\frac{1}{2}} D^\text{ren}(\mu) = \frac{\sqrt{17} - 1}{2} \gamma^\text{ren}_\eta(\mu).$$

The flow diagram for equation (4.33) is shown in figure 7. One can see that in the extreme low-frequency limit, the renormalized shear viscosity and the diffusion constant (or charge conductivity) cease being independent transport parameters, but are rather related by

$$DT = \frac{\sqrt{17} - 1}{2} \frac{\eta}{s} \approx 1.56 \frac{\eta}{s}.$$  \hspace{1cm} (4.34)

5. Discussion

These lectures mainly focused on response functions of conserved densities in relativistic fluids. In linear response theory, hydrodynamic correlation functions may be evaluated by several methods: the canonical approach of section 2.2, the variational approach of section 2.6 or the stochastic approach of section 4.2. In non-relativistic fluids, the corresponding response functions can be measured using light scattering [58]; relativistic fluids are far less common. It would be wonderful to see relativistic hydrodynamics established experimentally as the effective description for many-body quantum systems with emergent Lorentz symmetry, such as those discussed in [2].

There are a number of subjects related to hydrodynamic fluctuations in relativistic fluids that we have not touched on. These include hydrodynamic three- and four-point functions [59, 60], hydrodynamics of anomalous currents [15, 61–63], hydrodynamics of relativistic superfluids [64, 17, 65], hydrodynamics of theories with broken parity [16, 17], relativistic magneto-hydrodynamics [66] and the relation of the noise to the closed time path formalism in quantum field theory [67].

As we have seen in section 3.2, fluctuation effects render second-order hydrodynamics invalid. On the other hand, second-order hydrodynamics was introduced in the first place in
order to eliminate the acausality of the first-order hydrodynamics associated with short-wavelength modes. A complete hydrodynamic theory must contain both the derivative expansion, and a systematic procedure to treat the fluctuations.

In these lectures, we tried to emphasize that hydrodynamics should be viewed as more than a study of the classical hydrodynamic equations. While many questions in hydrodynamics can be answered by viewing it as a classical field theory, there are aspects of hydrodynamics which are more quantum field-theoretic in nature. The derivative expansion of the constitutive relations in hydrodynamics is not unlike the derivative expansion in effective field theory, the hydrodynamic equations are analogous to the effective Lagrangian, and the effects of the hydrodynamic fluctuations are analogous to quantum loop corrections. A notable difference between hydrodynamics and effective field theory is that at the moment there is no simple and systematic procedure to reformulate hydrodynamics as a field theory, where the derivative expansion can be applied directly to the effective action. We leave the problem of the effective action for dissipative relativistic hydrodynamics including the questions of frame invariance and the derivative expansion for future work.

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