Three Important Phenomena of Chaos Synchronization Between Two Different Hyperchaotic Systems via Adaptive Control Method

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Abstract: This paper presents three important phenomena of chaos synchronization between two different hyperchaotic systems using nonlinear adaptive control strategy. In detailed, complete synchronization, anti-synchronization and hybrid synchronization with nine unknown parameters. Modified hyperchaotic Pan system is consider as drive and hyperchaotic Liu system as response system. Stabilization of error dynamics for each phenomenon is realized by satisfying Lyapunov's second method as a main tool. Theoretical analysis and numerical simulations are shown to verify the results.

Keywords: Complete Synchronization, Anti-synchronization, Hybrid Synchronization, Nonlinear Dynamical Systems, Adaptive Control, Lyapunov's Second Method

1. Introduction

Since the pioneering work of Pecora and Carroll in 1990s, on the synchronization of chaotic systems, synchronization phenomenon has formed a new body of research activities which is at the fore front of recent application topic in nonlinear dynamics [1-6], and opens the way for chaotic systems synchronization and a various techniques such as adaptive control, active control, nonlinear control, sliding mod control, back-stepping design method and so on have been successfully applied to chaos control and synchronization [7-13].

Various types of synchronization phenomena have been presented such as complete synchronization (CS), generalized synchronization (GS), lag synchronization, anti-synchronization (AS), projective synchronization (PS), generalized projective synchronization (GPS). The most familiar synchronization phenomena are complete synchronization and anti-synchronized [14], [15].

Complete synchronization is characterized by the equality of state variables evolving in the time, while anti-synchronization is characterized by the disappearance of sum of relevant variables evolving in the time. Projective synchronization is characterized by the fact that the drive and response systems could be synchronized up to a scaling factor, whereas in generalized projective synchronization, the responses of the synchronized dynamical states synchronize up to a constant scaling matrix $\alpha$. It is easy to see that the complete synchronization and anti-synchronization are special cases of generalized projective synchronization where the scaling matrix $\alpha = I$ and $=-I$, respectively [16].

In the hybrid synchronization scheme, one part of the system is synchronized and the other part is anti-synchronized so that the complete synchronization and anti-synchronization coexist in the system [17].

In this paper, we discuss some important phenomena for chaos synchronization i.e. complete synchronization, anti-synchronization and hybrid synchronization between two different 4D hyperchaotic systems via adaptive control, The results derived in this paper are established using the Lyapunov's second method.
2. Description of Hyperchaotic Modified Pan and Liu Systems

According to the Ref [18], the modified hyperchaotic Pan system which described by the following dynamical system

\[
\begin{align*}
\dot{x}_1 &= a(x_2 - x_1) \\
\dot{x}_2 &= cx_1 - x_1x_3 + x_4 \\
\dot{x}_3 &= x_1x_2 - bx_3 \\
\dot{x}_4 &= -dx_2
\end{align*}
\]  

(1)

where \(x_1, x_2, x_3, x_4\) are the state variables and \(a, b, c, d > 0\) are the parameters of the system. When parameters \(a = 10, b = 8/3, c = 28\) and \(d = 10\), system (1) is hyperchaotic and has two positive Lyapunov exponents, i.e. \(LE_1 = 0.24784, LE_2 = 0.08194\), and has only one equilibrium \(O(0,0,0,0)\). This equilibrium is an unstable under these parameters.

In 2006, Wang et al. [19], presented the four-dimensional autonomous Liu system which described by

\[
\begin{align*}
\dot{x}_1 &= a(x_2 - x_1) \\
\dot{x}_2 &= rx_1 - kx_1x_3 + x_4 \\
\dot{x}_3 &= hx_1^2 - px_3 \\
\dot{x}_4 &= -qx_1
\end{align*}
\]  

(2)

where \(a, r, k, h, p, q > 0\) are system parameters. When parameters \(a = 10, p = 2.5, r = 40, q = 10.6, k = 1\) and \(h = 4\), system (2) is hyperchaotic and has only equilibrium \(O(0,0,0,0)\), and the equilibrium is an unstable saddle node under these parameters. Fig. 1 and Fig. 2 shows the attractors of the system (1) and the system (2) respectively.

![Fig. 1. The attractor of system (1) in \(x_1, x_2, x_3\) space.](image1)

![Fig. 2. The attractor of system (2) in \(x_1, x_2, x_3\) space.](image2)
3. Complete Synchronization Between Modified Pan and Liu Systems

In this section, the synchronization behavior between two different hyperchaotic systems with nine unknown parameters is achieved based on the Lyapunov second method and adaptive control strategy. In order to observe complete synchronization between hyperchaotic Modified Pan system and hyperchaotic Liu system, we consider the system (1) as the drive system and hyperchaotic Liu system as the response system which describe by the following system

\[ \begin{align*}
\dot{y}_1 &= a(y_2 - y_1) + u_1 \\
\dot{y}_2 &= r y_1 - k y_1 y_3 + y_4 + u_2 \\
\dot{y}_3 &= h y_2^2 - p y_3 + y_5 \\
\dot{y}_4 &= -q y_1 + u_3
\end{align*} \tag{3} \]

where \( u = [u_1, u_2, u_3, u_4]^T \) is the controller to be designed.

The synchronization error \( e \in \mathbb{R}^4 \) is defined as:

\[ e_i = y_i - \alpha_i x_i; \quad i = 1, 2, 3, 4, \forall \alpha_i = 1 \tag{4} \]

where \( \alpha_i \) is a scaling factor taken the value 1 for complete synchronization and -1 for anti-synchronization according to the projective synchronization approach. So, subtracting the above system from the system (1), we get the error dynamical system between the drive system and the response system which is given by:

\[ \begin{align*}
\dot{e}_1 &= a(e_2 - e_1) + u_1 \\
\dot{e}_2 &= re_1 + e_4 + (r - c)x_1 - ky_3 y_3 + x_1 x_3 + u_2 \\
\dot{e}_3 &= -pe_3 + (b - p)x_3 + hy_2^2 - x_1 x_2 + u_3 \\
\dot{e}_4 &= -qe_1 - de_2 - qx_1 + dy_2 + u_4
\end{align*} \tag{5} \]

We need to find the nonlinear adaptive control law for \( u_i, \forall i \) and parameters estimation rules to guarantee that the error dynamics (5) is globally asymptotically stable.

Choosing the control functions \( u = [u_1, u_2, u_3, u_4]^T \) as

\[ \begin{align*}
u_1 &= (\hat{a} - 1)e_1 - \hat{a}e_2 \\
u_2 &= -\hat{r}e_1 - e_2 - e_4 + (\hat{c} - \hat{r})x_1 + \hat{ky}_3 y_3 - x_1 x_3 \\
u_3 &= (\hat{p} - 1)e_3 + (\hat{b} - \hat{p})x_3 - \hat{hy}_2^2 + x_1 x_2 \\
u_4 &= \hat{q}e_1 + \hat{d}e_2 - e_4 + \hat{q}x_1 - \hat{d}y_2
\end{align*} \tag{6} \]

where \( \hat{a}, \hat{b}, \hat{c}, \hat{d}, \hat{h}, \hat{k}, \hat{p}, \hat{q} \) and \( \hat{r} \) are estimates of the parameters \( a, b, c, d, h, k, p, q \) and \( r \), respectively.

Substituting the control law (6) into Eq.(5) gives

\[ V = e_1[\hat{a}(e_1 - e_2) - e_1] + e_2[-\hat{r}e_1 - e_2 + (\hat{c} - \hat{r})x_1 + \hat{ky}_3 y_3] + e_3[\hat{p}(e_3 - e_3) + (\hat{b} - \hat{p})x_3 - \hat{hy}_2^2] + e_4[\hat{q}e_1 + \hat{d}e_2 - e_4 + \hat{q}x_1 - \hat{d}y_2] + \hat{a}e_1 + \hat{b}e_2 + \hat{c}e_3 + \hat{d}e_4 + \hat{h}e_5 + \hat{k}e_6 + \hat{p}e_7 + \hat{q}e_8 + \hat{r}e_9 \tag{7} \]

According to the Lyapunov second method, to ensure the error dynamical system (9) converges to the origin asymptotically, the condition \( V < 0 \) is negative i.e. \( \dot{V} < 0 \). So we updated the estimated parameters by the following law:
\[
\begin{align*}
\dot{a} &= -e_1^2 + e_1 e_2 - \bar{a} \\
\dot{b} &= x_3 e_2 - \bar{b} \\
\dot{c} &= -x_1 e_2 - \bar{c} \\
\dot{d} &= -e_2 e_4 + y_2 e_4 - \bar{d} \\
\dot{\bar{h}} &= y_1^2 e_2 - \bar{h} \\
\dot{\bar{k}} &= -y_1 y_3 e_2 - \bar{k} \\
\dot{\bar{p}} &= -e_3^2 - x_3 e_3 - \bar{p} \\
\dot{\bar{q}} &= -e_1 e_4 - x_4 e_4 - \bar{q} \\
\dot{\bar{r}} &= e_4 e_2 + x_4 e_2 - \bar{r}
\end{align*}
\]

By this update and control (6), the time derivation of Lyapunov function \( V \) becomes

\[
\dot{V} = -e_1^2 - e_2^2 - e_4^2 - \bar{a}^2 - \bar{b}^2 - \bar{c}^2 - \bar{d}^2 - \bar{h}^2 - \bar{k}^2 - \bar{p}^2 - \bar{q}^2 - \bar{r}^2 < 0
\] (14)

Therefore, complete synchronization between two hyperchaotic systems (1) and (3) with nine unknown parameters is achieved.

Hence, we arrive at the following results.

**Theorem 1.** The non-identical system (1) and system (3) with nine unknown parameters are complete synchronize by nonlinear adaptive control law (6), and the update law for parameters is chosen as (13).

Numerically, we justified these analytical results by MATLAB program, we choose the parameters \( a = 10, \ b = 8/3, \ c = 28, \ d = 10, \ p = 2.5, \ r = 40, \ q = 10.6, \ k = 1 \) and \( h = 4 \) to ensure the system (1) and system (3) are hyperchaotic without control. Runge-Kutta method of four order is used with the initial values of the drive system and the response system are \( 10, 15, 20, 30 \) and \( -10, -5, 0, 5 \) respectively and the initial condition of the parameter update law as \( A(0) = 3, \ C(0) = 7, \ ̂(0) = -6, \ F(0) = 15, \ ̂(0) = 12, \ ̂(0) = -5, \ ̂(0) = 10, \ ̂(0) = -2. \) Fig. 3 and Fig. 4 show the complete synchronization between systems with adaptive control (6) and update parameters (13)

![Fig. 3. Complete synchronization between systems (1) and (3) adaptive control (6) and update parameter (13).](image)

**4. Anti-synchronization Between Modified Pan and Liu Systems**

In this section, we discuss anti-synchronization behavior of non-identical Modified hyperchaotic Pan system and hyperchaotic Liu system. Consider Modified hyperchaotic Pan as drive system and hyperchaotic Liu system as a response system (system3). We define the error states for anti- synchronization as

\[
e_i = y_i - \alpha x_i \ ; \ i = 1, 2, 3, 4, \ \forall \alpha_i = -1 \] (15)

The corresponding error dynamics system obtained by adding system(1) and system (3) is

\[
\begin{align*}
\dot{e}_1 &= a(e_2 - e_1) + u_1 \\
\dot{e}_2 &= re_1 + e_4 + (c - \tau)x_1 - ky_1 y_3 - x_1 x_3 + u_2 \\
\dot{e}_3 &= -pe_3 + (p - b)x_3 + hy_1^2 + x_1 x_2 + u_3 \\
\dot{e}_4 &= -qe_4 - de_2 + qx_1 + dy_2 + u_4
\end{align*}
\] (16)

**Theorem 2.** System (1) will anti-synchronize with system (3) with nine unknown parameters if we choose the following adaptive controllers

\[
\begin{align*}
u_1 &= (\bar{a} - 1)e_1 - \bar{a}e_2 \\
u_2 &= -\tau e_1 - e_2 - e_4 + (\bar{\tau} - \bar{c})x_1 + \bar{k} y_2 y_3 + x_1 x_3 \\
u_3 &= (\bar{p} - 1)e_3 + (\bar{b} - \bar{p})x_3 - \bar{h} y_1^2 - x_1 x_2 \\
u_4 &= \bar{q} e_4 + \bar{d} e_2 - e_4 - \bar{q} x_1 - d y_2
\end{align*}
\] (17)

and the parameter estimation update laws

\[
\begin{align*}
\dot{\bar{a}} &= -e_2^2 + e_1 e_2 - \bar{a} \\
\dot{\bar{b}} &= -x_3 e_2 - \bar{b} \\
\dot{\bar{c}} &= -x_1 e_2 - \bar{c} \\
\dot{\bar{d}} &= -e_2 e_4 + y_2 e_4 - \bar{d} \\
\dot{\bar{h}} &= y_1^2 e_2 - \bar{h} \\
\dot{\bar{k}} &= -y_1 y_3 e_2 - \bar{k} \\
\dot{\bar{p}} &= -e_3^2 - x_3 e_3 - \bar{p} \\
\dot{\bar{q}} &= -e_1 e_4 + x_4 e_4 - \bar{q} \\
\dot{\bar{r}} &= e_4 e_2 + x_4 e_2 - \bar{r}
\end{align*}
\] (18)
Proof. Applying control law (17) with (8) in system (16) yields the resulting error dynamics as follows:

\[
\begin{aligned}
\dot{e}_1 &= \ddot{a}(e_1 - e_2) - e_1 \\
\dot{e}_2 &= -\rho e_1 - e_2 + (\tilde{r} - \tilde{c})x_1 + \tilde{k}y_1 y_3 \\
\dot{e}_3 &= (\tilde{b} - \tilde{p})x_3 - \tilde{h} y_1^2 \\
\dot{e}_4 &= \ddot{a}e_1 + \bar{d}e_2 - e_4 - \ddot{q}x_1 - dy_2 
\end{aligned}
\]

(19)

According to the previous discussion, consider the Lyapunov function as

\[
V = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_4^2 + \dot{a}^2 + \dot{b}^2 + \dot{c}^2 + \dot{d}^2 + \tilde{h}^2 + \tilde{k}^2 + \tilde{p}^2 + q^2 + \tilde{r}^2)
\]

(20)

\[
\begin{aligned}
\dot{V} &= e_1 \ddot{e}_1 + e_2 \ddot{e}_2 + e_3 \ddot{e}_3 + e_4 \ddot{e}_4 + \ddot{a} \ddot{a}e_1 + \dot{b} \ddot{b} + \dot{c} \ddot{c} + \dot{d} \ddot{d} + \ddot{h} \tilde{h} + \ddot{k} \tilde{k} + \ddot{p} \tilde{p} + \ddot{q} \tilde{q} + \ddot{r} \tilde{r} \\
&+ e_4 [\ddot{a}(\ddot{r} - \ddot{c})x_1 + \tilde{k} y_1 y_3] \\
&+ e_4 [\ddot{a}(\ddot{b} - \ddot{p})x_3 - \tilde{h} y_1^2] + e_4 [\ddot{a} e_1 + \ddot{b} e_2 - e_4 - \ddot{q}x_1 - \ddot{d}y_2] \\
&+ \ddot{a}[-e_1^2 - e_2^2 - e_3^2 - e_4^2] + \ddot{b}[-x_3^2 - e_3] + \ddot{c}[x_1^2 - e_3] + \ddot{d}[-e_2^2 - e_4^2 - \ddot{q}x_1 - \ddot{d}y_2] \\
&\quad + \ddot{h}[y_1^2 - \tilde{h}] + \ddot{k}[-y_1 y_3 e_3 - e_3] + \ddot{p}[-e_1^2 + e_3 x_3 - e_3] + \ddot{q}[-e_1 e_4 + x_1 e_4 - \ddot{q}] + \ddot{r}[e_1 e_3 - x_1 e_3 - \ddot{r}]
\end{aligned}
\]

(21)

\[
\dot{V} = -e_1^2 - e_2^2 - e_3^2 - e_4^2 - \dot{a}^2 - \dot{b}^2 - \dot{c}^2 - \dot{d}^2 - \tilde{h}^2 - \tilde{k}^2 - \tilde{p}^2 - \tilde{q}^2 - \tilde{r}^2 < 0
\]

(22)

Therefore, anti-synchronization between two hyperchaotic systems (1) and (3) with nine unknown parameters is achieved. The proof is now complete based on Lyapunov method.

Numerically, we choose the parameters \(a = 10, b = 8/3, c = 28, d = 10, p = 2.5, r = 40, q = 10.6, k = 1\) and \(h = 4\) the initial values of the drive system and the response system are \((10,15,20,30)\) and \((-10,-5,0,5)\) respectively and the initial condition of the parameter update law as \(\dot{a}(0) = 3, \dot{b}(0) = 7, \dot{c}(0) = -6, \dot{d}(0) = 15, \dot{h}(0) = -4, \dot{k}(0) = 12, \dot{p}(0) = -5, \dot{q}(0) = 10, \dot{r}(0) = -2\). Fig. 5 and Fig. 6 show verify these results numerically.

![Fig. 5. Anti-synchronization between systems (1) and (3) with adaptive control (17) and update parameter (18).](image)

![Fig. 6. The converges of system (16) with controllers (17) and update parameter (18).](image)

### 5. Hybrid Synchronization Between Modified Pan and Liu Systems

Based on the adaptive control and Lyapunov second method the hybrid synchronization between two different 4D hyperchaotic systems, i.e. Modified Pan and Liu systems is consider in this section. Modified hyperchaotic Pan system is taken as drive (system 1) and hyperchaotic Liu system as response (system 3).

The hybrid synchronization error is defined by

\[
\begin{aligned}
e_1 &= y_1 - x_1 \\
e_2 &= y_2 + x_2 \\
e_3 &= y_3 - x_3 \\
e_4 &= y_4 + x_4
\end{aligned}
\]

(23)

System (23) can be written in a succinct form as
\[ e_i = \begin{cases} y_i - x_i, & \text{if } i \text{ is odd} \\ y_i + x_i, & \text{if } i \text{ is even} \end{cases} \quad (24) \]

The error dynamics is easily obtained as

\[
\begin{aligned}
\dot{e}_1 &= a(e_2 - e_1) - 2ax_1 + u_1 \\
\dot{e}_2 &= r e_1 + e_4 + (r + c)x_1 - ky_1 y_3 - x_1 x_3 + u_2 \\
\dot{e}_3 &= -pe_3 + (b - p)x_3 + hy_1 y_3 - x_1 x_2 + u_3 \\
\dot{e}_4 &= -q e_1 - d e_2 - q x_1 + d y_2 + u_4 
\end{aligned} \quad (25)
\]

i.e. subtracting and adding the system (3) from the system (1) respectively.

**Theorem 3.** System (1) will hybrid synchronize with the system (3) with nine unknown parameters if adaptive control is chosen as

\[
\begin{aligned}
\dot{u}_1 &= (\dot{a} - 1)e_1 - \dot{a} e_2 + 2\dot{a} x_2 \\
\dot{u}_2 &= -\dot{p} e_1 - e_2 - e_4 + (\dot{r} + \dot{c}) x_1 + \dot{k} y_1 y_3 + x_1 x_3 \\
\dot{u}_3 &= (\dot{p} - 1)e_3 + (\dot{p} - b)x_3 - \dot{h} y_1 y_3 + x_1 x_2 \\
\dot{u}_4 &= \dot{q} e_1 + \dot{d} e_2 - e_4 + \dot{q} x_1 - d y_2 
\end{aligned} \quad (26)
\]

with the parameter estimation update laws

\[
\begin{aligned}
\dot{a} &= -e_2^2 + e_2 e_2 - 2x_2 e_2 - \dot{a} \\
\dot{b} &= x_3 e_3 - \dot{b} \\
\dot{c} &= x_1 e_2 - \dot{c} \\
\dot{d} &= -e_2 e_4 + y_2 e_4 - \dot{d} \\
\dot{\hat{h}} &= y_1^2 e_3 - \hat{h} \\
\dot{\hat{k}} &= -y_1 y_3 e_2 - \hat{k} \\
\dot{\hat{p}} &= -e_2^2 x_4 e_4 - \hat{p} \\
\dot{\hat{q}} &= -e_4 e_4 - x_4 e_4 - \hat{q} \\
\dot{\hat{r}} &= e_4 e_2 + x_4 e_2 - \hat{r} 
\end{aligned} \quad (27)
\]

Proof. Rewrite system (25) with control (26) and (8) as follows

\[
\begin{aligned}
\dot{e}_1 &= \dot{a}(e_1 - e_2) - e_1 + 2\dot{a} x_2 \\
\dot{e}_2 &= -\dot{p} e_1 - e_2 + (\dot{r} + \dot{c}) x_1 + \dot{k} y_1 y_3 \\
\dot{e}_3 &= (\dot{p} - 1)e_3 + (\dot{p} - b)x_3 - \dot{h} y_1 y_3 \\
\dot{e}_4 &= \dot{q} e_1 + \dot{d} e_2 - e_4 + \dot{q} x_1 - \dot{d} y_2 
\end{aligned} \quad (28)
\]

consider the Lyapunov function as

\[
V = \frac{1}{2} (e_1^2 + e_2^2 + e_3^2 + e_4^2 + \dot{a}^2 + \dot{b}^2 + \dot{c}^2 + \dot{d}^2 + \dot{\hat{h}}^2 + \dot{\hat{k}}^2 + \dot{\hat{p}}^2 + \dot{\hat{q}}^2 + \dot{\hat{r}}^2)
\]

\[
\begin{aligned}
\dot{V} &= e_1 \dot{e}_1 + e_2 \dot{e}_2 + e_3 \dot{e}_3 + e_4 \dot{e}_4 + a \ddot{a} + b \ddot{b} + c \ddot{c} + d \ddot{d} + \ddot{\hat{h}} + \ddot{\hat{k}} + \ddot{\hat{p}} + \ddot{\hat{q}} + \ddot{\hat{r}} \\
\dot{V} &= e_1 [a(e_1 - e_2) - e_1 + 2\ddot{a}x_2] + e_2 [-\dot{p} e_1 - e_2 + (\dot{r} + \dot{c}) x_1 + \dot{k} y_1 y_3] \\
&+ e_3 [(\dot{p} - 1)e_3 + (\dot{p} - b)x_3 - \dot{h} y_1 y_3] + e_4 [\dot{q} e_1 + \dot{d} e_2 - e_4 + \dot{q} x_1 - \dot{d} y_2] \\
&+ a[\ddot{a} - e_1^2 + e_2 e_2 - 2x_2 e_2 - a] + b[\ddot{b} x_3 e_3 - b] + c[x_1 e_2 - c] + d[-e_2 e_4 + y_2 e_4 - d] \\
&+ \ddot{\hat{h}}[y_1^2 e_3 - \hat{h}] + \ddot{\hat{k}}[y_1 y_3 e_2 - \hat{k}] + \ddot{\hat{p}}[-e_2^2 - x_3 e_3 - p] + \ddot{\hat{q}}[-e_4 e_4 - x_4 e_4 - q] + \ddot{\hat{r}}[e_4 e_2 + x_4 e_2 - r] \\
\dot{V} &= -e^2 e - \ddot{a}^2 - \dot{b}^2 - \dot{c}^2 - \ddot{d}^2 - \ddot{\hat{h}}^2 - \ddot{\hat{k}}^2 - \ddot{\hat{p}}^2 - \ddot{\hat{q}}^2 - \ddot{\hat{r}}^2 < 0 
\end{aligned} \quad (29)
\]

Therefore, hybrid synchronization between two hyperchaotic systems (1) and (3) with nine unknown parameters is achieved. The proof is now complete based on Lyapunov method. Fig. 7 and Fig. 8 show verify these results numerically.

![Fig. 7. Hybrid synchronization between systems (1) and (3) with adaptive control (26) and update parameter (27).](image)

![Fig. 8. The converges of system (25) with controllers (26) and update parameter (27).](image)

### 6. Conclusions

In this paper deals with chaos synchronization between
two non-identical hyperchaotic with nine unknown parameters systems through nonlinear adaptive control technique. And succeed in achieving three important subjects which include complete synchronization, anti- synchronization and hybrid synchronization based on Lyapunov’s second method. Numerical simulations are used to verify the effectiveness of the proposed control.

We believe that the results of this research work should be beneficial and could be employed to increase contribution to the scientific literature on the methods of chaos synchronization which may have applications in different fields of engineering including secure communication, hybrid image encryption, genetic networks.

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