Robust bias-correction of precipitation extremes using a novel hybrid empirical quantile-mapping method

Advantages of a linear correction for extremes

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Abstract

High-resolution, daily precipitation climate products that realistically represent extremes are critical for evaluating local-scale climate impacts. A popular bias-correction method, empirical quantile mapping (EQM), can generally correct distributional discrepancies between simulated climate variables and observed data but can be highly sensitive to the choice of calibration period and is prone to overfitting. In this study, we propose a hybrid bias-correction method for precipitation, EQM-LIN, which combines the efficacy of EQM for correcting lower quantiles, with a robust linear correction for upper quantiles. We apply both EQM and EQM-LIN to historical daily precipitation data simulated by a regional climate model over a region in the northeastern USA. We validate our results using a five-fold cross-validation and quantify performance of EQM and EQM-LIN using skill score metrics and several climatological indices. As part of a high-resolution downscaling and bias-correction workflow, EQM-LIN significantly outperforms EQM in reducing mean, and especially extreme, daily distributional biases present in raw model output. EQM-LIN performed as good or better than EQM in terms of bias-correcting standard climatological indices (e.g., total annual rainfall, frequency of wet days, total annual extreme rainfall). In addition, our study shows that EQM-LIN is particularly resistant to overfitting at extreme tails and is much less sensitive to calibration data, both of which can reduce the uncertainty of bias-correction at extremes.

1 Introduction

Climate data is often necessary for social, ecological, and hydrological models and is routinely used in climate

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in hydrological (Pierce et al. 2015; Shrestha et al. 2017), agricultural (Hoffmann and Rath 2012), or ecological models, GCM output is downscaled to a finer resolution and bias-corrected with respect to observed data (Zia et al. 2016). These post-processing techniques result in climate data that is more realistic at finer spatial scales. Here, we propose a bias-correction method that more accurately captures localized climate processes, resulting in finer-scale output in which regional climate variables and local, observed data are established, and the effects of fine-scale biases are reduced. Most bias-correction methods assume stationarity of model errors over time (Roberts et al. 2019), which can be problematic for bias-correcting future climate model output over multi-decadal time spans (Cannon et al. 2015; Fowler et al. 2007). In addition, sufficient observational data is necessary to derive robust transfer functions (Fowler et al. 2007). Bias-correction methods for precipitation range from simple approaches such as the “delta change” or “delta factor” method (Teutschbein and Seibert 2012) to more flexible and effective quantile-mapping based methods (Teutschbein and Seibert 2012; Cannon et al. 2015; Wood et al. 2002). In quantile-mapping (QM) based methods, a transfer function (TF) maps quantiles of climate model output to those of observed data. QM methods can be parametric (Piani et al. 2010), non-parametric (Lafon et al. 2013), or a combination of both (Tani and Gobiet 2019). Distribution mapping (DM) is a parametric QM method in which known, parametric distributions are fit to observed and model data. The Gamma distribution is often used to model wet-day precipitation (e.g., (Lafon et al. 2013; Gudmundsson et al. 2012; Luo et al. 2018)) but is generally not adequate for modeling extreme precipitation tails (Heo et al. 2019; Gutjahr and Heinemann 2013). Hybrid DM approaches in which the Gamma distribution is fit to lower quantiles and a heavy-tailed distribution is fit to tail quantiles can improve bias-correction of extreme precipitation (Gutjahr and Heinemann 2013; Um et al. 2016). A non-parametric counterpart to DM, empirical quantile mapping (EQM), is a flexible method in which no distributional assumptions are made. In EQM, the TF represents a mapping from empirical model quantiles to observed quantiles and typically outperforms DM (Jakob Themeßl et al. 2011; Ivanov and Kotlarski 2017). EQM is effective in correcting precipitation variables (Jakob Themeßl et al. 2011; Fang et al. 2015; Jakob Themeßl et al. 2011; Miao et al. 2016; Enayati et al. 2021) and is attractive as a bias-correction method as it corrects the mean, standard deviation, and higher-order distributional moments (Gudmundsson et al. 2012).

A disadvantage of QM methods and EQM in particular, is their propensity to overfit on calibration data, especially at precipitation extremes where data is scarce and highly variable (Lafon et al. 2013; Grillakis et al. 2013; Holthuijsen et al. 2021; Piani et al. 2010; Mamalakis et al. 2017). In EQM, TFs are interpolated using linear interpolation, splines, or other smoothing techniques (Gudmundsson 2016). Flexible methods such as EQM can result in TFs that can correct model data nearly perfectly (overfitting) but may not generalize to out-of-sample or future model data. Overfitting is problematic because it can lead to instability of the TF at higher quantiles (Gobiet et al. 2015; Grillakis et al. 2013; Hnilica et al. 2017). When applied to future projections, EQM has been shown to significantly distort future climate change signals (Grillakis et al. 2017; Maroou et al. 2017) and exaggerate or deflate extreme trends, introducing additional uncertainty into bias-corrected data (Cannon et al. 2015; Tani and Gobiet 2019). Hybrid EQM
approaches that combine parametric and non-parametric modeling can reduce the degree of overfitting of the TF at extreme tails (Tani and Gobiet 2019). In a hybrid approach, bias-correction below a specified threshold is achieved via a non-parametric TF (EQM), while bias-correction above the threshold is with DM, based on an extreme distribution, such as the Generalized Pareto distribution (Tani and Gobiet 2019). Hybrid EQM methods combine the flexibility of EQM for correcting lower to middle quantiles with the robustness of parametric distributions for correcting upper quantiles. In particular, the use of extreme or heavy-tailed distributions for modeling extremes can improve bias-correction of tail quantiles (Laflamme et al. 2016; Mamalakis et al. 2017; Yang et al. 2010; Gutjahr and Heinemann 2013; Kim et al. 2018; Yang et al. 2010; Tani and Gobiet 2019). However, the risk of overfitting the TF at distributional tails still exists, as poor fits to heavy-tailed distributions can introduce outliers (Luo et al. 2018; Shin et al. 2019). In addition, selection of the threshold is difficult, as the amount of data beyond the threshold must be sufficiently large to allow for distribution fitting and must approximate a known heavy-tailed distribution (Beirlant et al. 2006; Gutjahr and Heinemann 2013). There is a need for a hybrid EQM method in which bias-correction of extremes can be performed without the risk of overfitting and the introduction of outliers.

We propose and demonstrate a simple, hybrid EQM method for bias-correction that, when used in conjunction with downscaling, results in high-resolution (1km) daily precipitation data in which precipitation extremes are accurately represented. The proposed method, EQM-LIN, combines the effectiveness of EQM for correcting the bulk of the distribution with a robust, linear correction for extremes. As part of a high-resolution, downscaling and bias-correction workflow, we use EQM-LIN to bias-correct historical (1976–2005), daily precipitation data that were dynamically downscaled by a regional climate model (RCM). We also compare the effectiveness of EQM-LIN to EQM for bias-correction, with an emphasis on the ability of the two methods to accurately capture extremes. Because EQM-LIN is computationally cheap, easy to apply, and corrects both mean and extreme bias for precipitation variables, it is an important methodological addition to the body of bias-correction literature.

2 Methods

2.1 Data

The study area, the Lake Champlain Basin, consists of parts of Vermont, New Hampshire, eastern New York, USA and southern Quebec, Canada (Fig. 1). Eleven watersheds drain into Lake Champlain, and the Green Mountains, Adirondack Mountains, and White Mountains span portions of Vermont, New York, and New Hampshire, respectively (Winter et al. 2016). The study area is approximately 13,251 km$^2$. Elevations range from 30 to 1500 m above mean sea level (MSL). The study area is characterized by a subhumid continental climate with cold and snowy winters. At high elevations, mean annual precipitation can reach 1,000–1,520 mm, while at low elevations, mean annual precipitation ranges between 750–900 mm; locally intense precipitation in the form of thunderstorms is likely during summer months (Stager and Thill 2010).

Simulated historical (1976–2005) precipitation (PRCP) data were generated by the Advanced Weather and Research Forecasting model (WRF) version 3.9.1, an RCM (Skamarock et al. 2019). WRF output was generated at a daily temporal resolution. WRF is a widely used numerical weather prediction system for both research and applied forecasting purposes (Skamarock et al. 2019). Historical simulations (1976–2005) were forced by bias-corrected Community Earth System Model 1 (CESM1), a GCM (Monaghan et al. 2014). CESM1 historical simulations were dynamically downscaled with WRF to a 4-km resolution using three one-way nests (36 km, 12 km, 4 km). The 4-km resolution WRF data were used for this study. Additional WRF model details are included in the Supplementary Materials, and a full description and evaluation of simulations can be found in (Huang et al. 2020).

Historical daily climate station data was obtained from the Global Historical Climate Network (GHCND) (https://www.ncdc.noaa.gov/cdo-web/search?datasetid=GHCND). GHCND data records are adjusted to account for changes in instrumentation and other anomalies (Oceanic and Administration 2018; Peterson and Vose 1997). We retained only those stations with at least 70% complete records over the historical time period 1976–2005 (85 stations). We chose to use station data, rather than gridded data products (e.g., Livneh et al. 2015; Daymet, (Thornton et al. 2012); and PRISM, (Daly et al. 2000)), because interpolation algorithms used to create gridded climate products can introduce bias (Behnke et al. 2016) and additional uncertainty when used for bias-correcting climate model output (Walton and Hall 2018; Tarek et al. 2021). Gridded products can misrepresent extreme tails (Bannister et al. 2019), and (Wootten et al. 2021) showed that Daymet, Livneh, and PRISM precipitation products varied widely in their representation of wet-day occurrences, length of wet and dry periods, and precipitation intensity in the South-Central USA. Station data represent direct climatological measurements and are available throughout the Northeastern USA (Peterson and Vose 1997; Durre et al. 2010). We acknowledge that there is a spatial misalignment between gridded model data and point-based GHCND station data. In the
study region, elevation has the most significant impact on precipitation. The WRF model accounts for elevation at a 4-km spatial resolution, which is adequate to capture the main effects of elevation within the study region. In addition, the effect of fine-scale (1 km) elevation is incorporated via topographical downscaling (Winter et al. 2016), adding further value to model data. There are numerous examples in the bias-correction literature in which point-based station and downscaled model data are treated as equivalent (e.g., Rajczak et al. (2016), Heo et al. (2019), and Gutjahr and Heinemann (2013)).

In the proposed workflow, historical WRF simulations (model output) are downscaled to a 1-km grid prior to bias-correction using topographical downscaling, a variation of inverse distance weighting (IDW) that incorporates elevational lapse rates (Winter et al. 2016). Elevation estimates at each 1-km grid cell were derived by interpolating elevation values from a 30-m digital elevation model (DEM) (USGS 2018) via IDW. The 1-km grid cell size was chosen based on resolution requirements for climate impacts modeling efforts over the Lake Champlain Basin (Wang et al. 2012; Winter et al. 2016).

Prior to bias-correction, historical model data were also interpolated to GHCND station locations via topographical downscaling for the purpose of constructing TFs. To generate high-resolution, bias-corrected data products, bias-correction was applied to model data downscaled to the 1-km grid. All performance metrics were calculated using model data topographically downscaled to the 85 GHCND station locations and GHCND station data. Raw WRF model data exhibited a wet bias that was most pronounced during summer months (Fig. 2). This type of seasonal bias in WRF model simulations has also been found in other studies in the northeastern USA (e.g., Huang et al. (2020)).

2.2 Bias-correction methods

The proposed approach, empirical quantile mapping with a linear correction for extremes, EQM-LIN, was compared to empirical quantile mapping (EQM), which is one of the most frequently used and effective methods for bias-correction. In addition, we compared EQM-LIN to DM with the Gamma distribution (DM-GAMMA), a hybrid EQM approach in which lower quantiles were corrected...
using EQM, and upper quantiles were fit to Generalized Pareto Distributions (GPDs) (EQM-GPD) (Tani and Gobiet 2019), as well as a trend-preserving method, quantile delta mapping (QDM) (Cannon et al. 2015). The results are presented in the Supplementary Material but not evaluated in the main manuscript, since none of the additional methods performed as well or significantly better than EQM or EQM-LIN.

For both bias-correction methods EQM-LIN and EQM, TFs were constructed by spatially pooling GHCND station and model data downscaled to station locations. The same TF was applied to all model values, regardless of spatial location. We chose to spatially pool data because (1) much of the spatial variation in the data is due to elevation, which is accounted for during the downscaling procedure, and (2) additional interpolation necessary to construct separate TFs based on spatial location would have added uncertainty to bias-corrected data. Spatially explicit bias-correction in general can be a difficult task and involves estimating the TF at every location at which bias-corrected data is desired (Holtkuijzen et al. 2021), which is contrary to our desire to develop a bias-correction approach that is simple, efficient, and easily implemented.

For both bias-correction methods, twelve TFs were constructed, one for each month of the year (Jakob Themessl et al. 2011; Piani et al. 2010) using model data topographically downscaled to GHCND stations and GHCND station data. Daily raw model data downscaled to station locations and raw model data downscaled to the 1-km grid were corrected with the corresponding monthly TF. Because GHCND station gauges are accurate to 0.1 mm (Oceanic and Administration 2018), we defined wet-day precipitation days as days in which daily precipitation was greater than or equal to 0.1 mm. Prior to construction of TFs and bias-correction, daily model values below 0.1 mm were set to 0. All analyses were conducted in R Statistical Language (R Core Team 2018).

**Empirical quantile mapping: EQM**

The TF used in EQM is expressed by the empirical cumulative distribution function (ecdf) and its inverse (ecdf$^{-1}$). Monthly TFs are of the form:

$$x_{corr,t} = ecdf_{obs}^{-1}(ecdf_{mod}(x_{mod,t})),$$

(1)

where, $x_{corr,t}$ is the corrected model precipitation value on day $t$, ecdf$^{-1}_{obs}$ is the inverse ecdf of observed data, ecdf$_{mod}$ is the ecdf of model data, and $x_{mod,t}$ is the raw model precipitation value on day $t$. Monthly TFs were constructed using 10,000 estimated quantiles, and interpolation of the TF was accomplished with monotone Hermite splines using the qmap package (Gudmundsson 2016) in R. Values exceeding the range of the TF were corrected using the method of constant extrapolation (Boé et al. 2007). The approximate shape of the TF can be examined by plotting estimated quantiles of model and observed data against one another to form a “quantile-quantile-” or “qq-” map (Fig. 3). The shape of the quantile-quantile map can provide insight into the type and magnitude of model bias. For instance, if the TF falls below (rises above) the 1:1 line, model quantiles are too high (low) relative to observed quantiles.

**Empirical quantile mapping with a linear correction for extremes: EQM-LIN**

In EQM-LIN, the majority of model data are bias-corrected via EQM using Eq. 1, while model data beyond a specified threshold are adjusted with a constant correction via a linear TF (2). All bias-correction by EQM was done with the qmap package (Gudmundsson 2016) in R, and custom code was used to construct the linear TF. The following steps describe the EQM-LIN procedure:

1. Calibration data is divided into two datasets in which model data is less than (CAL-LOW) and greater than a specified threshold (CAL-HIGH). The threshold, $T$ is a function of the inverse ecdf of model data and is expressed as $T = ecdf_{mod}^{-1}(\tau_{LIN})$, where $0 < \tau_{LIN} < 1$. Thus, $T$ is a precipitation value in mm that...
indicates where both model and observed datasets are divided. The procedures for estimating \( T \) and \( \tau_{LIN} \) are thoroughly outlined in Appendix A.

2. Next, the intercept for the linear TF, \( \delta \), is obtained (details are discussed in Appendix A). The intercept represents the constant correction that will be applied to extreme model values (all model values in CAL-HIGH). The linear TF is expressed as \( x_{corr,t} = \delta + x_{mod,t} \) and is applied to model values in CAL-HIGH (2). Model values in CAL-LOW are corrected via EQM. The TF for EQM-LIN is expressed as:

\[
x_{corr,t} = \begin{cases} 
  \text{ecdf}_{obs}(\text{ecdf}_{mod}(x_{mod,t})), & x_{mod,t} < T \\
  x_{mod,t} + \delta, & x_{mod,t} \geq T 
\end{cases}
\] (2)

where \( x_{corr,t} \) and \( x_{mod,t} \) are as defined in Eq. 1. Thus, the linear portion of the TF \( x_{corr,t} = \delta + x_{mod,t} \) always has a slope of 1 and intercept \( \delta \).

In this study, we only consider linear TFs with a slope of 1 and intercept of \( \delta \). Optimizing the slope as well as the threshold would increase the overall complexity of EQM-LIN and could introduce the potential for overfitting on out-of-sample data.

We chose \( \tau_{LIN} \) to be 0.79, based on a grid search over a range of values in a five fold cross-validation approach (details are discussed in Appendix A). We chose the value of \( \tau_{LIN} \) that resulted in the minimization of the mean absolute error of observed and model ecdfs above the 95th percentile (MAE95), (Reiter et al. 2016) (Section 3). MAE95 quantifies the distributional similarity between observed and model data at extremes. Since the focus of this study was on accurately representing distributional extremes, we chose the minimization of MAE95 rather than another metric. However, we found that minimization of MAE95 resulted in improvements in all performance metrics and indices.

The shape of the EQM-LIN TF is identical to that of EQM below \( T \), while above the threshold the TF is linear. Figure 4 shows a quantile-quantile map for model and observed data for the month of August and the associated EQM and EQM-LIN TFs.

3 Validation

Performance evaluation of EQM and EQM-LIN was accomplished with a five-fold cross-validation procedure using observed and model data during the calibration period (1976–2005). Cross-validation is commonly used to evaluate the efficacy of bias-correction methods, as out-of-sample data can be considered proxies for future projections (Tani and Gobiet 2019; Gudmundsson et al. 2012; Jakob Themeßl et al. 2011). Test datasets always consisted of consecutive years (for example, if training data consisted of years 1976–2000, test data would contain years 2001–2005).

We chose performance metrics and indices that quantified (1) model skill and (2) the effectiveness of bias-correction methods in capturing overall climatology with an emphasis on extreme tails. All performance metrics were calculated using model data topographically downscaled to GHCND station locations and GHCND station data. Model skill, distributional similarity between model and observed data, was quantified with the mean absolute error (MAE). We chose MAE, rather than other skill metrics, such as the Perkins Skill Score (Perkins et al. 2007), because it is more sensitive to outliers. Since TFs for EQM and EQM-LIN are constructed on a monthly basis, MAE metrics are also calculated by month. MAE was calculated between distributions of daily observed and raw model data as well as between distributions of daily observed and bias-corrected data at GHCND station locations for a given month (Gudmundsson et al. 2012). MAE95 was used to quantify model skill at extreme tails. MAE95 is computed similarly to MAE, but only the upper 5% of daily observed and model distributions were used (Reiter et al. 2016). The number of quantiles estimated in the calculation of MAE95 was equal to the maximum number of 95th quantile values in observed or model distributions. Generally, the number of values greater than the 95th quantile in each data type (model, bias-corrected model, and observed) did not differ appreciably. MAE and MAE95 metrics were calculated by month for each of the five cross-validated data folds for raw and
bias-corrected data, and results are reported as the average metrics over the five folds. MAE and MAE95 quantify distributional error between model and observed data; lower values are indicative of better model skill, with an ideal mean absolute error of 0 (no error).

We used a subset of ETCCDI indices (Peterson 2005) to assess how well bias-corrected data captured overall climate characteristics of observed data. ETCCDI indices are standard indices that allow for the comparison of results over varying time periods, geographical regions, and source data, and are recommended by the World Research Climate Program (WRCP) (Karl et al. 1999). ETCCDI indices were computed annually with spatially pooled data. Prior to calculating ETCCDI indices, downscaled raw model, bias-corrected model, and station data were averaged over the 85 station locations for each day in the 30-year calibration period (10950 days). Thirty annual values of each ETCCDI index were calculated for observed, raw model, and bias-corrected model data. The choice of indices was based on the preference of stakeholders.

“D” indices (D90, D95, and D99) are defined as the annual number of days in which mean daily precipitation exceeded the 90th, 95th, or 99th quantiles. TotalP is the annual sum of mean daily precipitation (mm) on wet days (days for which mean daily precipitation > 0.1 mm), WetDays is the annual count of wet days, and the simple precipitation index (SPI) is calculated as TotalP/WetDays (mm/day). SPI is a measure of precipitation intensity. The nine indices characterize the extreme tails, as well as general characteristics, of the 30-year climatology of precipitation. An overview of MAE metrics and ETCCDI indices is given in Table 1.

Performance evaluated by ETCCDI indices or MAE metrics cannot be directly compared, since each provides assessments on different temporal scales. MAE metrics quantify distributional errors of the entire distribution of daily model data compared to observed data. ETCCDI indices quantify how well model data capture 30-year climatology at a temporally coarser (annual) scale using spatially averaged data. In combination, both evaluation metrics give insight in the overall adequacy of the bias-correction method at both aggregated and finer temporal scales.

3.1 Analyses

Bayesian one-way analysis of variance (ANOVA) models were used to determine if MAE and MAE95 differed
Table 1  Metric and index definitions

| Metric/Index | Definition                                                                 | Reference                              |
|--------------|---------------------------------------------------------------------------|----------------------------------------|
| MAE          | Mean absolute error of quantiles of observed and raw model or bias-corrected model distributions. MAE is calculated using daily data (not spatially averaged) for the entire historical period using 10,000 estimated quantiles | Gudmundsson et al. (2012)             |
| MAE95        | Mean absolute error of upper 5% of quantiles of observed and raw model or bias-corrected model distributions. MAE95 is calculated using daily data (not spatially averaged) for the entire historical period | Reiter et al. (2018)                  |
| D90, D95, D99| Annual count of days for which mean daily precipitation exceeded the 90th 95th or 99th percentile | Alexander et al. (2011)               |
| S90, S95, S99| Annual sum (mm) of mean daily precipitation on days in which mean daily precipitation exceeded the 90th, 95th, or 99th percentile | Alexander et al. (2011)               |
| TotalP       | Annual sum (mm) of mean daily precipitation on days in which mean daily precipitation \( \geq 0.1 \) mm | Alexander et al. (2011)               |
| WetDays      | Annual count of days in which mean daily precipitation \( \geq 0.1 \) mm | Alexander et al. (2011)               |
| SPI          | Simple precipitation index (mm/day) calculated as TotalP/WetDays           | Alexander et al. (2011)               |

Separate ANOVA tests were conducted for MAE and MAE95. ANOVA tests were conducted with data from all five cross-validated folds, as MAE and MAE95 values within folds can be considered subsamples. All analyses were conducted with the \texttt{RJags} package (Plummer et al. 2016) in R. The response variables, MAE or MAE95 values, were log-transformed prior to analysis to ensure homogeneity of variances, an assumption of ANOVA models. The predictor variable for both ANOVA models was data type, a variable with three levels: raw model (Mod), EQM-LIN, and EQM. Credible intervals in the form of 95% highest posterior density (HPD) intervals were used to determine if the difference in posterior distributions was significantly different from 0. Credible intervals were constructed for all pairwise differences of posterior distributions of EQM-LIN, EQM, and raw model data. Credible intervals can be interpreted as follows: there is a 95% chance that the true pairwise difference in posterior distributions is contained within the interval, given the data. Therefore, if 0 is contained within the interval, the difference is not significant at the 95% confidence level. Full details on these analyses are provided in the Supplementary Materials.

Distributions of all nine ETCCDI indices calculated from EQM-, EQM-LIN-corrected, and raw model data were compared to those of observed data. Performance of bias-corrected and raw data relative to observed data was formally assessed using Kolmogorov-Smirnov (KS) tests (Smirnov et al. 1948). The two-sample KS test is a non-parametric test that is used to assess the equality of two empirical distributions (see Appendix B). It is sensitive to differences in both location and shape of the two ecdfs being compared and is often used in climatological studies (Cannon et al. 2015; Rosenberg et al. 2010; Tschöke et al. 2017). Here, we applied the KS test three times for each ETCCDI index to determine the similarity of ecdfs between observed and EQM- and EQM-LIN-corrected data and between observed and raw model data. All tests were conducted with the two-sided null hypothesis that the samples being compared belonged to a common distribution. The significance level, \( \alpha \), was set to 0.05; \( p \)-values below 0.05 indicate there is evidence that the two samples do not come from a common distribution. However, to control for multiple comparisons, \( \alpha \) was adjusted using the Holm-Bonferroni method (Holm 1979) (details are shown in Appendix C). We acknowledge that the KS test has low power for small sample sizes (30 values or less) (Razali et al. 2011). All KS tests in this study are performed on pairs of distributions composed of 30 annual values; thus, we use KS tests, along with visual inspection of boxplots, to guide our interpretation of results.

4 Results

Overall, data bias-corrected with either EQM or EQM-LIN exhibited substantial improvements in both MAE and MAE95 compared to raw model data (Mod), but improvements were more pronounced for EQM-LIN. Both bias-correction methods generally improved ETCCDI indices compared to Mod, and EQM-LIN performed as well as or slightly better than EQM for all indices.

4.1 MAE and MAE95

MAE values of EQM- and EQM-LIN-corrected model data and Mod were 0.704 mm, 0.655 mm, and 1.06 mm respectively (Fig. 5a). MAEs of both bias-corrected datasets were
significantly lower than MAE of Mod. Monthly MAE values for EQM-LIN were overall slightly lower than those of EQM. The credible interval for the difference in MAE between EQM and EQM-LIN contained 0, indicating that although MAE of EQM-LIN was lower than that of EQM, the difference was not significant at the 95% confidence level.

MAE95 values of EQM- and EQM-LIN-corrected model data and Mod were 3.45 mm, 1.73 mm, and 7.12 mm, respectively. For EQM-LIN corrected data, MAE95 varied little among months; however, both raw model and EQM-corrected data exhibited substantial increases in MAE95 between months 8 and 11 (Fig. 5b). Similar to results for MAE, MAE95 values of both bias-corrected datasets were significantly lower than MAE95 of Mod. In contrast to results for MAE, 95% credible intervals for the difference in MAE95 of EQM and EQM-LIN indicated that MAE95 of EQM-LIN was significantly lower than MAE95 of EQM at the 95% confidence level (see Supplementary Materials for full details of ANOVA analysis).

### 4.2 ETCCDI indices

Distributions of ETCCDI indices for both bias-corrected datasets more closely resembled those of observed data compared to Mod, with EQM-LIN performing as good as or slightly better than EQM. Generally, mean and extreme total annual precipitation was overestimated in Mod, but Mod performed adequately in capturing extreme wet day frequency. While bias-correction resulted in the distributions of most ETCCDI indices becoming more similar to those of observed data, it also resulted in an underestimation of wet-day frequency (see Appendix D, Table 3 for selected summary statistics of ETCCDI index distributions for Mod, EQM, and EQM-LIN).

**D and S indices** Less extreme “S” indices (S90 and S95) were substantially overestimated in Mod, and distributions of S90 and S95 calculated from Mod were significantly different from observed data (Fig. 6a; Table 2). The distribution of the more extreme S99 index was better represented in Mod and did not differ significantly from observed data. Both bias-correction methods provided minor improvements of the representation of S99 in Mod. For EQM-LIN, distributions of S90 and S95 did not differ significantly from those of observed data (Fig. 6a; Table 2). However, for EQM, the distribution of S95 was significantly different from that of observed data (Table 2). While both bias-correction methods were able to reduce the overestimation of total extreme annual rainfall exhibited in Mod, EQM-LIN slightly outperformed EQM.

Distributions of “D” indices (D90, D95, and D99) were quite similar for Mod, bias-corrected, and observed data (Fig. 6b). *P*-values of KS tests for D90, D95, and D99 confirmed that distributions of Mod and bias-corrected data were not significantly different from observed data (Table 2). These results show that the frequency of extreme precipitation days, D90, D95, and D99, are adequately represented in Mod and that bias-correction via either method does not adversely affect the representation of “D” indices.

**TotalP, WetDays, and SPI** TotalP was significantly overestimated in Mod (p < 0.0001), but distributions of TotalP calculated using either bias-corrected dataset were not significantly different from observed data (p = 0.81) (Fig. 7; Table 2). Thus, both bias-correction methods were highly effective in correcting total annual precipitation.

The distribution of WetDays derived from Mod did not differ significantly from observed data (p = 0.13) (Table 2). However, WetDay distributions calculated from EQM- and EQM-LIN-corrected data were significantly
underestimated relative to observed data ($p < 0.0001$) (Fig. 7; Table 2). SPI was overestimated by Mod, due to the large overestimation of Total P; SPI was overestimated to a lesser degree, by EQM- and EQM-LIN-corrected data due to the underestimation of WetDays (Fig. 7). Distributions of SPI calculated from EQM, EQM-LIN, and Mod all differed significantly from observed data (Table 2).

Although bias-correction via either EQM-LIN or EQM results in underestimating WetDays, annual precipitation totals (TotalP) are effectively corrected. Moreover, while the distribution of WetDays is adequately represented in Mod, Mod contains an excessive number of low-precipitation occurrences relative to observed data (see Supplementary Materials, Section 4). However, despite the underestimation
of wet day frequency following bias-correction, precipitation intensity (SPI) is slightly improved compared to raw model data.

5 Discussion

Local-scale modeling efforts in hydrology, ecology, agriculture, and economics, as well as climate impact assessments, require high-resolution climate products. Since climate extremes exert a large influence on humans and the environment, it is crucial that extremes are accurately represented in climate products. An effective way to obtain high-resolution climate products is to statistically downscale and bias-correct dynamically downscaled output from an RCM. Bias-correction of precipitation extremes, in particular, is a difficult task. In this study, we developed a hybrid bias-correction method, EQM-LIN, that combines the efficacy of EQM for bias-correcting the bulk of raw model data, with a robust linear adjustment for correcting distributional tails. We found that EQM-LIN results in the accurate representation of mean and extreme precipitation. EQM-LIN outperformed EQM in terms of model skill (MAE and MAE95) and performed at least as well or better than EQM with respect to most ETCCDI climatological indices. Furthermore, our study indicates that a linear correction, as implemented in EQM-LIN, is resistant to overfitting and results in a more robust TF at higher quantiles, both of which can decrease uncertainty in bias-corrected data.

The substantial difference in performance between EQM-LIN and EQM with respect to model skill is due to the different ways in which TFs are constructed at extreme tails. In EQM, distributional tails are corrected with a flexible TF that closely interpolates the quantile-quantile map of raw and observed data. However, since data at extreme tails is, by definition, scarce and variable, the TF produced by EQM may be unstable and can result in a faulty correction on out-of-sample model data (Cannon et al. 2015; Berg et al. 2012). In our study, MAE95 values of EQM increased markedly between months 8 and 11, reaching a maximum in month 9, while those of EQM-LIN remained near 2.5 mm (Fig. 5b). An inspection of training and testing datasets used during cross-validation reveals that often, the association between raw model and observed quantiles (the quantile-quantile map) was quite different between training and corresponding testing datasets. In such cases, EQM tended to overfit on training data, and consequently, the correction applied to testing data was unsuitable.

Figure 9 depicts such a scenario for month 9, when the difference in MAE95 between the two bias-correction methods was large. In Fig. 9, the EQM TF constructed with training data (black dots) extends non-linearly above the

| S90  | D   | p       |
|-----|-----|---------|
| Mod | 0.73| < 0.0001* |
| EQM-LIN | 0.33| 0.07 |
| EQM | 0.40| 0.02 |

| S95  | D   | p       |
|-----|-----|---------|
| Mod | 0.43| 0.007* |
| EQM-LIN | 0.33| < 0.0001* |
| EQM | 0.40| 0.02 |

| S99  | D   | p       |
|-----|-----|---------|
| Mod | 0.30| 0.13 |
| EQM-LIN | 0.23| 0.39 |
| EQM | 0.33| 0.07 |

| D90  | D   | p       |
|-----|-----|---------|
| Mod | 0.17| 0.80 |
| EQM-LIN | 0.13| 0.95 |
| EQM | 0.17| 0.80 |

| D95  | D   | p       |
|-----|-----|---------|
| Mod | 0.17| 0.80 |
| EQM-LIN | 0.13| < 0.0001* |
| EQM | 0.17| 0.80 |

| D99  | D   | p       |
|-----|-----|---------|
| Mod | 0.10| 1 |
| EQM-LIN | 0.10| 1 |
| EQM | 0.10| 1 |

| TotalP | D   | p       |
|-------|-----|---------|
| Mod   | 0.73| < 0.0001* |
| EQM-LIN | 0.17| 0.808 |
| EQM   | 0.17| 0.808 |

| WetDays | D   | p       |
|---------|-----|---------|
| Mod    | 0.30| 0.13 |
| EQM-LIN | 0.97| < 0.0001* |
| EQM    | 0.90| < 0.0001* |

| SPI   | D   | p       |
|-------|-----|---------|
| Mod   | 0.73| < 0.0001* |
| EQM-LIN | 0.53| < 0.0001* |
| EQM   | 0.60| < 0.0001* |

P-values refer to a two-sided null hypothesis; p-values < 0.05 indicate that the distribution of a particular ETCCDI index for either Mod, EQM-LIN or EQM is significantly different from that of observed data at the 5% significance level. All ETCCDI index distributions consisted of 30 annual values. Significance of KS-tests at α = 0.05, adjusted with the Holm-Bonferroni method, are indicated with (*)
Fig. 7 Boxplots of TotalP, WetDays, and SPI for observed (Obs), model (Mod), EQM-, and EQM-LIN-corrected data. Each boxplot represents 30 annual values (ETCCDI indices are calculated annually). Significance of KS-tests of distributional similarity of ETCCDI indices of Mod, EQM, or EQM-LIN compared to Obs are indicated with (*); dots represent outliers. (Statistical significance of KS tests was adjusted using the Holm-Bonferroni method; \( \alpha = 0.05 \))

one-to-one line and then increases sharply. The shape of the training TF indicates that, generally, raw model quantiles are too low relative to those of observed data. When the training TF is applied to test data, raw model values in the tails, especially, are increased. For instance, a raw model value of 58.6 mm would be corrected to 81.8 mm (Fig. 9). However, the relationship between raw model and observed quantiles in the test data (blue dots), indicates that raw model quantiles are only slightly too high compared to observed quantiles (Fig. 9). When raw model data in the test set are bias-corrected with the training TF, raw model values are increased too much relative to observed values (Fig. 9).

The quantile-quantile map of corrected model quantiles and observed quantiles (which should lie near or on the one-to-one line if the correction was satisfactory) is shifted far to the right of one-to-one line, indicating that corrected model values, especially in the tails, are too high. This example shows that the flexibility of EQM is also what makes it susceptible to overfitting on calibration data and supports other studies showing that EQM is sensitive to the choice of, and can overfit on calibration data (Reiter et al. 2018; Berg et al. 2012; Holthuijzen et al. 2021; Piani et al. 2010; Lafon et al. 2013).

For the same scenario, EQM-LIN produces a linear TF at extreme tails with a slope of 1 and an intercept of \( \delta \) (the constant correction factor) (Fig. 10). Raw model values are adjusted by a constant, \( \delta \). Though this approach is less flexible than that of EQM, it produces more stable TFs and is less sensitive to training data. In Fig. 10, the training TF for EQM-LIN (black dots) is linear and does not exhibit the fluctuations apparent in the training TF of EQM (Fig. 9). The intercept (\( \delta \)) of the TF in Fig. 10 is slightly less than zero, which means that raw model values will be decreased by \( \delta \). The TF for EQM-LIN represents an appropriate correction, as model quantiles in the test dataset are, in fact, too high relative to observed quantiles (Fig. 10, blue dots). For instance, the TF of EQM-LIN corrects a raw model value of 58.6 to 58.1 mm (Fig. 10). Accordingly, the quantile-quantile map of corrected model quantiles and observed quantiles is close to the one-to-one line, indicating a satisfactory correction.

Figures 9 and 10 are representative of scenarios in which the relationship between raw model and observed quantiles differ between training and testing data and highlight differences in bias-correction between EQM and EQM-LIN. In our study area, such scenarios are common in months when precipitation is variable and when extreme precipitation events are more likely (months 6–9). The difference in bias-correction between EQM-LIN and EQM can also be seen visually in downscaled, bias-corrected data over the study region. Figure 8 shows raw, downscaled, and corrected and downscaled precipitation data for one day in which daily mean precipitation exceeded the 95th percentile (September 12, 1986). Note that in Fig. 8, EQM
Fig. 8 Raw model, downscaled raw model, and bias-corrected data for one day (September 12, 1986) (a) with corresponding TFs for EQM (b) and EQM-LIN (c). Plot (a) shows raw model (4 km grid), downscaled raw model (1 km grid), and downscaled and bias-corrected precipitation data (mm) for a day in which daily mean precipitation exceeded the 95th quantile (September 12, 1986). Plots (b) and (c) show the corresponding EQM and EQM-LIN TFs, respectively; in (b) and (c), gray lines indicate how EQM and EQM-LIN adjust the maximum model precipitation value for this day (52.14 mm) as an example. This figure visually illustrates the difference between bias-correction via EQM and bias correction with EQM-LIN.
Fig. 9  Construction of the EQM TF in a train-test scenario; data for this plot reflect one particular train-test fold used during cross-validation for month 9 (September). The TF obtained from training data is shown in black. The quantile-quantile map of model and observed data in the test set is shown in blue. The corrected quantile-quantile map (quantiles of corrected model data versus quantiles of observed data) in the test set are shown in red. $x_{mod,t}$ and $x_{corr,t}$ denote model and corrected model values, respectively, for day $t$. Gray arrows indicate how model data in the test set is corrected, based on the TF from training data.

results in an increase of high precipitation values (bright pink regions), while EQM-LIN results in a slight dampening of precipitation in the same regions. In Fig. 8, a model precipitation value of 52.14 mm is transformed to 68.25 mm using EQM and 51.28 mm using EQM-LIN. The increase and dampening of model precipitation by EQM and EQM-LIN, respectively, in Fig. 8 are a result of differences in EQM and EQM-LIN transfer functions.

Though EQM-LIN significantly outperformed EQM in terms of model skill (MAE and MAE95), results were not as dramatic for climatological (ETCCDI) indices. ETCCDI indices are calculated using spatially averaged, daily data, which reduces variation and may explain the similarity in performance of EQM and EQM-LIN for ETCCDI indices. Bias-correction via both EQM and EQM-LIN resulted in improvements over raw data for most indices. Though both bias-correction methods improved the overestimation of total annual mean precipitation (TotalP) as well as total extreme annual precipitation (Sum90) exhibited in raw model data, EQM-LIN performed slightly better than EQM for moderate extremes (Sum95). Raw model data adequately captured higher extremes (D99, S99); bias-correction provided a slight improvement in the representation of S99.

Interestingly, the distribution of raw model wet day frequency (WetDays) was similar to that of observed data, while bias-correction via either method resulted in considerable underestimation of wet day frequency. The negative impact of bias-correction on wet day frequency is most likely due to the excessive number of low-precipitation occurrences (“drizzle effect”) (Baigorria et al. 2007; Lean-der and Buishand 2007) in raw model data. EQM, which is used to correct low-valued quantiles in both bias-correction methods, results in the majority of excessive low-precipitation days being set to zero. The underestimation of wet day frequency after bias-correction via EQM is not unusual; similar results were found by (Fowler et al. 2007) and (Martins et al. 2021). Moreover, although wet-day frequency appears to be adequately represented in raw model data, it comes at the expense of substantial overestimation of total annual precipitation (TotalP) and precipitation intensity (SPI). After bias-correction via either method, precipitation intensity is better represented, and the distribution of total annual precipitation is very close to that of observed data. Thus, for most climatological indices, bias-correction via either method provides critical improvements to raw model data, especially with respect to extremes.
Robust bias-correction of precipitation extremes using a novel hybrid empirical quantile-mapping method

6 Conclusion

In this study, we show that a hybrid EQM approach for bias-correction (EQM-LIN), in which the majority of model data is corrected via EQM and extreme tails are corrected by a linear TF, resists overfitting on calibration data, increases overall and model skill, especially at extreme tails, and results in a better representation of climatological indices compared to conventional EQM. Our method is simple, intuitive, and easy to implement, making it a suitable alternative to EQM for bias-correcting historical and future climate simulations. Though we apply the method to precipitation data, we expect it could be applied to other climate variables as well. Future work might include adjusting the slope of the linear correction or using another function to construct the TF at extreme tails.

Appendix A: Estimating the threshold $T$ and intercept $\delta$

A.1 Estimating the threshold, $T$

The first step for obtaining the threshold $T$ is to estimate $\tau_{LIN}$ from the data. We chose $\tau_{LIN}$ to be 0.79, based on a grid search over a range of values in a five fold cross-validation approach. We chose the value of $\tau_{LIN}$ that resulted in the minimization of the mean absolute error...
of observed and model ecdfs above the 95th percentile (MAE95), Reiter et al. (2016) (Section 3). It is crucial that \( \tau_{\text{LIN}} \) be estimated using cross-validation; our result of \( \tau_{\text{LIN}} = 0.79 \) may not generalize to all data.

To obtain \( \tau \), we must assume a fixed value of \( \tau_{\text{LIN}} \). The next steps involve the construction of ecdfs for observed and model data in the calibration period. Ecdfs are constructed using 10,000 quantiles evenly spaced between 0 and 1. Next, the threshold, \( \tau \) is computed as \( \text{ecdf}_{\text{mod}}^{-1}(\tau_{\text{LIN}}) \). Note that, \( \tau \) is the model precipitation value in mm corresponding to the quantile \( \tau_{\text{LIN}} \) (whereas \( 0 \leq \tau_{\text{LIN}} \leq 1 \)).

A.2 Estimating the intercept, \( \delta \)

To obtain \( \delta \), we assume that \( \tau \) has been calculated. Ecdfs of observed and model data are constructed using 10,000 quantiles evenly spaced between 0 and 1. Values in the ecdfs of model and observed data are sorted in increasing order. Note the rank of \( \tau \) within the sorted precipitation values of the model ecdf; the rank value will be denoted as \( R_{\tau} \). For example, suppose \( \tau = 12 \) mm and the rank of \( \tau \) within the ecdf of model data is 5,000, then \( R_{\tau} = 5000 \).

Next, select the precipitation value from sorted, observed ecdf at rank \( R_{\tau} \) and denote this value as \( \tau_{\text{obs}} \). The intercept of the linear TF, \( \delta \) which represents the constant correction, is calculated as the difference \( \tau_{\text{obs}} - \tau \). Continuing with the example, suppose \( \tau_{\text{obs}} = 9.1 \) mm; then \( \delta = 9.1 - 12 = -2.9 \). This means model extremes (all values \( \geq \tau \)) will be decreased by 2.9 mm.

The constant correction at extremes, \( \delta \), is similar to the constant extrapolation correction used by Boe et al. (2007). However, here, the constant correction is the difference \( \tau - \tau_{\text{obs}} \), whereas in Boe et al. (2007), it is \( \text{ecdf}_{\text{obs}}^{-1}(1.00) - \text{ecdf}_{\text{mod}}^{-1}(1.00) \) as in Boe et al. (2007).

Appendix B: KS test

The KS test statistic, \( D \) is computed as

\[
(D_n = \sup_x |F_n(x) - G_n(x)|).
\]

In Eq. 3, \( F_n \) and \( G_n \) are the two ecdfs being compared, \( n \) denotes the number of independent and identically distributed ordered values used to obtain \( F_n \) and \( G_n \), and \( \sup_x \) is the supremum of the collection of \( n \) distances.

Appendix C: Holm-Bonferroni method for multiple comparisons

When multiple statistical comparisons are made, it is often necessary to adjust the Type I error rate (commonly referred to as the significance level or \( \alpha \)). The Type I error rate is the probability of falsely rejecting the null hypotheses when it is, in fact, true (a false positive). In the context of multiple hypothesis testing, it is often desirable to adjust the family-wise error rate (FWER), the probability of rejecting one null hypothesis in \( m \) hypothesis tests. The Holm-Bonferroni method is suitable when a less conservative adjustment of the FWER is preferred.

Suppose \( m \) hypothesis tests have been conducted, and \( m \) \( p \)-values have been calculated. The Holm-Bonferroni adjustment for the FWER involves two steps:

1. Order \( p \)-values from least to greatest and assign each \( p \)-value a rank from 1 to \( k \), \( k = 1 \ldots m \).
2. Find the smallest \( p \)-value such that \( p_k < \frac{\alpha}{m+1-k} \).

If the condition in step 2 is true, the \( p \)-value is significant; if the condition in step 2 is false, the \( p \)-value is not significant.

Appendix D: Summary results for ETCCDI indices

Table 3 shows the 25th, 50th, and 75th quantiles for each data type (Mod, EQM, and EQM-LIN) and ETCCDI index.

| Data type | Q25 | Q50 | Q75 |
|-----------|-----|-----|-----|
| Sum90     |     |     |     |
| Obs       | 401.63 | 456.20 | 531.18 |
| Mod       | 533.62 | 595.78 | 668.31 |
| EQM       | 501.05 | 574.38 | 645.14 |
| EQM-LIN   | 463.71 | 542.41 | 605.23 |
| Sum95     |     |     |     |
| Obs       | 239.03 | 287.21 | 338.08 |
| Mod       | 311.85 | 377.51 | 428.63 |
| EQM       | 307.08 | 378.51 | 431.53 |
| EQM-LIN   | 287.94 | 337.40 | 400.09 |
| Sum99     |     |     |     |
| Obs       | 60.29 | 83.17 | 114.33 |
| Mod       | 311.85 | 377.51 | 428.63 |
| EQM       | 55.09 | 105.16 | 154.46 |
| EQM-LIN   | 55.10 | 101.02 | 138.54 |
| D90       |     |     |     |
| Obs       | 32.25 | 35.50 | 42.00 |
| Mod       | 33.00 | 36.50 | 40.00 |
| EQM       | 32.25 | 37.00 | 39.75 |
| EQM-LIN   | 32.25 | 37.00 | 39.75 |
Table 3 (continued)

| Data type | Q25 | Q50 | Q75 |
|-----------|-----|-----|-----|
| **D95**   |     |     |     |
| Obs       | 14.25 | 18.00 | 21.75 |
| Mod       | 15.25 | 17.50 | 20.75 |
| EQM       | 16.00 | 19.00 | 20.75 |
| EQM-LIN   | 16.00 | 18.00 | 21.00 |
| **D99**   |     |     |     |
| Obs       | 2.25 | 3.50 | 4.00 |
| Mod       | 15.25 | 17.50 | 20.75 |
| EQM       | 2.00 | 3.50 | 5.00 |
| EQM-LIN   | 2.00 | 3.50 | 5.00 |
| **TotalP**|     |     |     |
| Obs       | 961.92 | 1032.81 | 1112.80 |
| Mod       | 1242.30 | 1296.84 | 1367.50 |
| EQM       | 991.24 | 1077.74 | 1132.50 |
| EQM-LIN   | 951.56 | 1022.26 | 1076.33 |
| **WetDays**|     |     |     |
| Obs       | 283.00 | 289.50 | 293.00 |
| Mod       | 289.00 | 294.00 | 299.75 |
| EQM       | 249.25 | 258.00 | 265.75 |
| EQM-LIN   | 240.50 | 251.50 | 259.25 |
| **SPI**   |     |     |     |
| Obs       | 3.39 | 3.55 | 3.78 |
| Mod       | 4.19 | 4.47 | 4.61 |
| EQM       | 3.74 | 4.13 | 4.34 |
| EQM-LIN   | 3.77 | 4.07 | 4.29 |

Each ETCCDI index was calculated using 30 annual values.

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