INTRODUCTION

Liver cancer is a global health burden with an increasing incidence and a leading cause of cancer-related deaths (1). Hepatocellular carcinoma (HCC) is the most common type of liver cancer, and 72% of cancer-related death cases are observed in Asia (2). Most cases (80-90%) of HCC can be considered prototypical inflammation-driven cancers for the backdrop of chronic liver injury/cirrhosis caused by hepatitis B virus (HBV) or hepatitis C virus (HCV) infections, alcohol abuse, obesity, and aflatoxin B1 (3). The high mortality of HCC is attributed to an advanced-stage
presentation and a high prevalence of liver dysfunction. For delayed diagnosis, postsurgical recurrence and metastasis, there is a poor 5-year survival rate of less than 50% (4).

The Barcelona Clinic Liver Cancer (BCLC) system is the most commonly recommended staging system for HCC. Based on the underlying liver function evaluated by the Child–Pugh score and the performance status, HCC patients can be divided into five classes, including BCLC stage 0, A, B, C and D (5). This classification is associated with the treatment strategies and prognosis of HCC. For early-stage (BCLC stage 0 and A) HCC patients, those with solitary nodules less than 3 cm or multiple nodules less than 3 cm limited in the liver with preserved liver function and without macrovascular invasion, curative approaches, such as surgical resection, ablation, and liver transplantation could be effective. For large, multinodular without vascular invasion intermediate-stage HCC (BCLC stage B), transcatheter arterial chemoembolization (TACE) is the preferred treatment option if liver function is preserved. Unfortunately, most patients are diagnosed at a relatively advanced stage (BCLC stage C) with a poor prognosis and a survival time of less than 1 year. In this state, tumors have expanded outside the liver or vascular invasion or liver dysfunction (6). Due to the strong and extensive resistance of chemotherapy, as well as the increasing toxicity for the underlying altered liver function, the use of cytotoxic agents is frequently restricted in HCC. Clinical trials using doxorubicin in combination with cytotoxic chemotherapy have proven that there are low response rates with no survival benefit (7). Therefore, systemic therapies are required at an advanced stage. For patients in the terminal stage (BCLC stage D) with poor liver function, supportive care is required when they are not considered suitable for transplantation (8).

The common pathophysiological features of hypervascularity and vascular abnormalities include sinusoidal capillarization and overexpression of proangiogenic growth factors, such as vascular endothelial growth factor (VEGF) and platelet-derived growth factor (PDGF) in HCC. In recent decades, anti-angiogenesis has attracted attention as a potential therapeutic target (9). Sorafenib, an oral small molecule multityrosine kinase inhibitor (TKI) that can suppress angiogenesis, exerts an anticancer effect by inhibiting vascular endothelial growth factor receptor (VEGFR) and fibroblast growth factor receptor (FGFR) (10). In 2007, two phase III trials (one is the SHARP trial in Europe and the USA, one is the ORIENTAL trial in Asia-Pacific regions) showed promising results that sorafenib significantly prolonged the survival of advanced-stage HCC patients compared with the placebo (11, 12). Based on the results of these two clinical trials, sorafenib was recommended as a first-line targeted agent for advanced HCC worldwide in the 2008 NCCN guidelines (10). Even for transplant recipient patients with unresectable HCC, sorafenib is generally well-tolerated and associated with improved overall survival (OS) (13, 14). Lenvatinib, another oral small molecule multi-TKI that inhibits tumor angiogenesis and growth, was found to be no less effective than sorafenib. Hence, lenvatinib therapy became the second recommended first-line targeted molecular therapy in the 2019 NCCN guidelines (15). Other multitarget TKIs, regorafenib and cabozantinib, were recommended as second-line agents for HCC patients who progressed on sorafenib treatment in the 2017 and 2019 NCCN guidelines, respectively (15, 16). Ramucirumab, a recombinant IgG1 monoclonal antibody (mAb) and an inhibitor of VEGFR2, showed efficacy after sorafenib among advanced patients with elevated levels of α-fetoprotein (AFP) (17). In view of this, ramucirumab was included in the second-line therapy in the 2019 NCCN guidelines (15). However, low objective response rates (ORRs), an improvement in OS of only 2-3 months, resistance, and cancer progression after standard treatment, regardless of first- and second-line settings, were observed, and therefore, more efficacious therapeutics should be explored (18).

HCC is a chronic inflammation-induced type of cancer that expresses various antigens that can mediate immune responses. Over the past decade, immune-based therapies that modulate the balance of immune homeostasis have been increasingly explored and have shown beneficial outcomes in HCC (19). Immune checkpoints include coinhibitory receptors on T cells and their ligands on tumor cells and stromal cells in the tumor microenvironment (TME). Immune checkpoint inhibitors (ICIs) prevent the inactivation of T cells by blocking interactions between checkpoint proteins and their ligands, such as those mediated by programmed cell death-1 (PD-1)/programmed cell death ligand 1 (PD-L1), cytotoxic T-lymphocyte-associated protein 4 (CTLA4), T-cell immunoglobulin, mucin domain containing-3 (TIM3), and lymphocyte-activation gene 3 (LAG3), thereby exerting antitumor effects (20, 21). However, not all patients (especially in the era of pre-liver transplantation) with HCC respond to immunotherapy, and more importantly, the ORR is low, and OS does not significantly improve with single-agent immunotherapy (22, 23). Given these data, more effective combination therapies for the treatment of HCC are explored, including ICIs combined with other ICIs, TKIs, anti-VEGFs, and other agents (24). In recent years, the emergence of combination therapies using multi-ICIs or ICIs with antiangiogenics represents the main avenue for the treatment of advanced HCC (5, 25). The objective of this review is to focus on the current knowledge of ICI monotherapy or in combination with other ICIs or molecularly targeted therapies (TKIs or anti-VEGFs) in advanced HCC and to provide an outlook on future prospects.

**IMMUNE MICROENVIRONMENT OF THE LIVER**

The liver is an organ with metabolic function and immune regulatory function. Liver cells are commonly exposed to food antigens and gut pathogens in terms of the dual supply of arterial and portal systemic blood (26). Therefore, the liver not only regulates immune responses but also has the ability to maintain immune tolerance to self and foreign antigens. This tolerogenic environment is maintained by specialized immunocytes, including Kupffer cells (KCs), liver resident dendritic cells (DCs), liver sinusoidal endothelial cells (LSECs), hepatic
stellate cells (HSCs), natural killer (NK) cells, and innate T and B cells (27). Among them, KCs, DCs, HSCs, and LSECs are antigen-presenting cells (APCs). DCs (conventional APCs) exist in multiple subtypes with different functions. Under physiological conditions, in the hepatic microenvironment, DCs appear as a tolerogenic phenotype and can secrete an array of immunosuppressive cytokines, including interleukin 10 (IL-10), prostaglandin E2 (PGE2), and indoleamine 2,3-dioxygenase (IDO), which can promote regulatory T cell (Treg, derived from naive CD4+ T cells) activation, thus playing an inhibitory role in innate immune responses (28). Under homeostatic conditions, non-conventional APCs (KCs, LSECs, and HSCs) in the liver are known to act as weak T-cell activators due to low expression of major histocompatibility complex (MHC) molecules and APC activation markers CD80 and CD86 (29). KCs eliminate high-affinity antigen-specific CD8+ T cells in the liver and express heightened amounts of IL-10 and transforming growth factor beta (TGF-β) to promote the activation of Tregs (Figure 1) (30, 31). In addition, a variety of immune checkpoint proteins limit T-cell hyperactivation in physiological circumstances. T cells express CTLA4, PD-1, LAG3, and TIM3, which interact with ligands on APCs (such as PD-L1) and play a key role in immune tolerance in the liver (32).

**TUMOR MICROENVIRONMENT OF HCC**

It is an immense challenge to produce immune tolerance or immune response by distinguishing between benign foreign antigens and pathogenic antigens. Failure to respond to HBV and HCV infections would markedly induce immunosuppression and impair immune surveillance, which increases the risk of chronic infections and ultimately gradually develops into HCC (33). The TME of HCC is composed of immune cells (cytotoxic CD4+ T cells, CD8+ T cells, and NK cells), abundant immunosuppressive cells, such as Treg, myeloid-derived suppressor cells (MDSCs), tumor-associated macrophages (TAMs), stromal cells, the extracellular matrix (ECM), blood vessels, tumor cells, and lymphatic vessels, which play an important role in tumor survival, proliferation, invasion, and metastasis (34). Immune cells recognize and kill cancer cells. Moreover, deficiencies and malfunctioning of immune cells can influence the balance of the TME and lead to an immunosuppressive microenvironment. Several factors,
including immunity suppression, chronic inflammation, and the decreased recognition of cancer cells have been suggested to play a role in promoting tumor antigen tolerance, which induces hepatocarcinogenesis (35). In a number of recent clinical trials, it was highlighted that the onset of HCC may be favored by alterations in cytokine levels as well as in immune cell function and number. IL-6 is a pleiotropic cytokine that exerts its biological effects mainly through the IL-6/STAT3 signaling pathway. IL-6 is abundantly present in the TME, and an abnormally activated IL-6/STAT3 signaling pathway can play a role in the occurrence and development of HCC by affecting tumor cell proliferation, migration, invasion, angiogenesis, and apoptosis (36, 37). IL-10 and TGF-β are important regulatory cytokines of hepatocytes. Moreover, in addition to overcoming the tumor suppressor effect of hepatocytes, the mechanism of action of tumor cell development involves other pathways related to IL-10 and TGF-β, such as epithelial-mesenchymal transition (EMT) and suppressing IFN-γ production, which contributes to tumor progression and metastasis (38). The TME is shaped by complex interactions between tumor cells and immune cells. HCC has a high degree of malignancy and the poor survival rate of patients is closely related to an imbalance of the immune microenvironment, the breakdown of immune system surveillance, and the suppression of host immune system responses. These components synergistically construct an immunosuppressive microenvironment in HCC via a variety of mechanisms (Figure 1) (19).

**Immunosuppressive Cells in the TME of HCC**

MHC I/II is usually functionally depleted in HCC, is unable to activate T cells, and downregulates the expression of the costimulatory molecular receptor B7 family (such as B7.1/B7.2), leading to immune escape, which is a prerequisite for tumorigenesis (39). Low expression of MHC-I (binding to cytotoxic CD8+ T cells) and high expression of MHC-II (binding to immunosuppressive CD4+ T cells) is the reason for immune escape in terms of the failure of antigen presentation related to HCC. The result is that a large number of immunosuppressive cells are recruited into the TME of HCC (40).

**Regulatory T Cells (Tregs)**

Tregs play a pivotal role in antitumor suppression and are mainly derived from peripheral blood or resident naive CD4+ T lymphocytes, and are recruited by the CC chemokine receptor 6 (CCR6)-CC chemokine ligand 20 (CCL20) axis (41). The differentiation of Tregs from CD4+ T cells requires the action of cytokines IL-2 and TGF-β, followed by the production of the suppressive cytokines IL-10 and TGF-β by expressing the transcription factor Foxp3, which in turn promotes further differentiation and suppresses inflammatory functions (42, 43). Compared with normal liver tissue, the proportion and number of CD4+CD25+ Tregs are markedly increased in HCC. Among these, CD4+ CD25+ Foxp3+ subtype Tregs have been found to suppress CD8+ cytotoxic T lymphocyte (CTL) activation and disable the killing capacity of CTLs by inhibiting tumor necrosis factor-α (TNF-α) and interferon-γ (IFN-γ) and the release of granzyme A, B (GrA, B), and perforin (44, 45). Another mechanism is the disruption of antigen presentation by downregulation of CD80 and CD86 expression in DCs and direct lysis of APCs via GrA and GrB (46, 47).

**Myeloid-Derived Suppressor Cells (MDSCs)**

MDSCs are immature myeloid cells that originate from the bone marrow that are increased in HCC and upregulate the expression of immune suppressive factors to suppress antitumor immunity in HCC (48). HCC-related cancer-associated fibroblasts (CAFs), which are components of the extracellular matrix in the TME, can induce MDSC differentiation from peripheral blood monocytes via IL-6/STAT3 signaling (49). In a previously established mouse model, it was demonstrated that granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-6, VEGF, and other tumor-associated cytokines could promote the accumulation and migration of MDSCs. Recent evidence has shown that a cell cycle-related kinase (CCRK) unique to HCC can also induce MDSC infiltration into the TME by promoting the expression of IL-6 by activating the zeste homolog 2 (EZH2)/nuclear factor-xB (NF-xB) signaling pathway (50). In addition, local hypoxia (a crucial factor in the TME of solid tumors) is another key factor for the recruitment of MDSCs with the action of the chemokine (C-C motif) ligand 26 (CCL26)/CX3CR1 pathway (51). MDSCs exert continuous immune-suppressive effects by inducing CD4+ CD25+ Foxp3+ Tregs, damaging CD8+ T cells, expanding immune checkpoint signaling and inhibiting NK-cell cytotoxicity (52, 53). MDSCs express the TIM3 ligand galectin-9 and induce T-cell apoptosis (54). Furthermore, PD-L1 expression can be induced by MDSCs in concert with KCs in advanced HCC, which mediates the inhibition of NK-cell cytotoxicity (55).

**Tumor-Associated Macrophages (TAMs)**

TAMs are predominant tumor-infiltrating leukocytes and vary depending on the cancer type (56). In HCC, TAMs arrive from CCR2+ inflammatory monocytes after the induction of the HCC-derived cytokines IL-4, CCL2, CXCL12, and others. Based on the state of macrophage activation, TAMs can be divided into two polarizing phenotypes, M1 and M2. M1 is the classical phenotype and activated by interferon-α, β or γ (IFNα/β/γ), which induces antitumor immune responses. In contrast, M2 is the alternative phenotype and activated by IL-4 and IL-10, which stimulate tumor promotion and metastasis by various mechanisms (57, 58). This suggests the presence of both antitumorigenic (M1) and protumorigenic (M2) macrophages in HCC, and the balance of M1/M2 is regulated by various TME components. TAMs contribute to malignant progression and metastasis by the production of IL-6, epithelial-to-mesenchymal transition (EMT) and immunosuppression (59). TAMs are highly associated with immune checkpoint molecules, such as PD-1/PD-L1, CTLA4, and TIM3, to exert immune inhibitory regulation. TAMs in the tumor stroma of HCC secrete pivotal cytokines (e.g., NF-α, IL-6, IL-23) and expand IL-17-producing CD4+ T helper 17 cells (Th17), which inhibit antitumor
immunity by upregulating PD-1 and CTLA-4 (60). Moreover, TAMs can directly promote T<sub>reg</sub> expansion via surface expression of PD-L1. In addition, TAMs in HCC promote the expression of TIM3 by TGF-β stimulation, thereby ultimately facilitating tumor progression and immune tolerance (61).

**CD8+ Cytotoxic T Lymphocytes (CTLs)**

Naive CD8+ T cells (without cytotoxic activity) can become CTLs when they receive a signal from costimulatory molecules and then have the ability to protect against APCs. CD8+ CTLs can recognize abnormal cells, such as tumor cells by cooperating with helper T1 cells (Th1) and mediate antitumor immune responses by releasing perforin, granzyme, and TNF-α to damage tumor cells (48). However, the efficacy of CD8+ CTLs in HCC is functionally limited through a variety of mechanisms. Hypoxia, in conditions of an acidic environment (overload of lactic acid and low pH), lack the help of CD4+ T cells, and overabundant immunoregulatory molecules (IL-10, VEGF, IDO), may be responsible for restricted CD8+ CTL-specific cytotoxic responses (62). Unlike other TME immunosuppressive cells, the infiltration of CD8+ CTLs can be reduced by liver fibrosis (a striking feature of HCC) by disrupting CD8+ T-cell recognition of platelet-derived CD44 (63). Most CTLs are exhausted after their effect, but some remain memory killer cells that respond to the same tumor cells quickly when they are encountered in the future. In HCC, TOX, a novel T-cell exhaustion transcription regulator, is heavily overexpressed in CD8+ T cells, thereby suppressing cytotoxic effector and memory function (64). Notably, immune checkpoint signaling has recently been found to remarkably induce CTL exhaustion. PD-1/PD-L1 signaling is a crucial driver of CTL exhaustion (inhibition of T-cell survival and growth) in HCC and plays a role by blocking T-cell receptor (TCR) sequences through the PI3K/AKT pathway. CTLA-4 is upregulated after the activation of T cells and acts as a competitive antagonist of CD80 and CD86 in APCs and inhibits downstream AKT signaling, thereby ultimately exerting inhibitory effects (65). Other drivers of T-cell exhaustion include TIM3 and LAG3, which are expressed on CD8+ T cells and T<sub>reg</sub> in HCC and lead to hypofunctional CD8+ responses by reducing CTL function (66, 67).

**Natural Killer (NK) Cells**

NK cells are innate immune cells with a high frequency (~30%) in the liver and a low frequency in peripheral blood. Upon NK-cell activation triggered by virus-infected cells and tumor cells, NK-cells function rapidly without antigen presentation (68). NK cells are crucial in maintaining the balance of immune defense/tolerance. The antitumor effect of NK cells is induced by secreting several killer cytokines (e.g., IFN-γ and TNF-α) and chemokines and by inducing tumor cell apoptosis via the Fas/ FasL pathway as well as the release of cytotoxic granules (mainly perforin and granzyme) (69). In HCC, increasing evidence has shown that hypoxia can dysfunction the antitumor immunity of NK cells by utilizing TME immunosuppressive components (NKR). For example, AFP (known to be overexpressed in HCC), especially when extended, decreased the expression of natural killer group 2, member D (NKG2D), an activating NKR, and negatively regulated NK-cell viability (70, 71). Other modulators in the TME, such as T<sub>reg</sub>, release the cytokines IL-8, IL-10, and TGF-β to downregulate NKG2D ligand membrane expression in HSCs, which suggests tumor progression in HCC patients (72).

**Extracellular Matrix (ECM) in TME of HCC**

Chronic liver inflammation/injury causes liver fibrosis, which is characterized by the continuous accumulation of ECM-producing myofibroblasts and results in the gradual substitution of liver parenchyma by fibrous or scar tissue and liver cirrhosis (73). In the physiological liver, quiescent HSCs localize in the space of Disse but are activated in myofibroblasts and secrete ECM components in the pathological liver (74). CAFs are most important components that form the ECM and promote EMT (normal epithelial cells transform into mesenchymal cells) in the TME. CAFs mostly stem from HSCs or bone marrow (BM)-derived activated mesenchymal stem cells (MSCs). CAFs can alter stiffness of the ECM, secrete cytokines, including epidermal growth factor (EGF), TGF-β, and PDGF, and in turn promote tumorigenesis of the liver. Moreover, CAFs have been found to indirectly promote HCC through crosstalk with immunosuppressive cells (mostly MDSCs and T<sub>reg</sub>) in the TME, and reduce immune surveillance (75). Specifically, MDSC production can be induced by CAFs through the IL-6/STAT3 signaling axis and secretion of stromal cell-derived factor (SDF)-1α. More recently, in several studies, it was demonstrated that MDSC differentiation from blood monocytes can be promoted by PGE2 secretion in a CD44-dependent manner (76). CAFs also caused T-cell hyporesponsiveness and an increased number of T<sub>reg</sub>, followed by inhibition of T-cell-mediated cytotoxicity (77). In summary, CAFs play a critical role in contributing to the occurrence of liver fibrosis and the progression of HCC in the TME.

**Cytokines in the TME of HCC**

The abundance of cytokines in the TME of HCC can mediate intercellular crosstalk and have multiple other functions. Based on their function, these cytokines can be classified into two groups. One type involves immune response cytokines, including TNFα, IFN-γ, IL-1, and IL-17, and the other type involves immunosuppressive cytokines, including IL-10, IL-4, IL-8, and TGF-β (78, 79). IL-10 is produced by DCs, TAMs, T<sub>cells</sub>, and T<sub>reg</sub> and is elevated in HCC, thereby directly impairing the function of NK cells and downstream CD8+ T cells. Moreover, IL-10 inhibits the stimulatory function of APCs and promotes elevation of PD-L1 in monocytes, thus exerting immune escape-promoting effects (56, 80). High expression of a large amount of TGF-β in the HCC TME is made possible by tumor cells, macrophages, and T<sub>reg</sub>. It not only attenuates the activation of DCs but can also trigger the activation of T<sub>reg</sub> and impair the effector functions of T cells and NK cells to inhibit antitumor efficacy (81). Additionally, TGF-β increases TIM3 expression on TAMs, subsequently facilitating immune tolerance through the TNF-α/NF-kB signaling pathway (61). IFN-γ and TNFα are two pivotal cytokines that play a role in antitumor immune...
responses, while lower serum levels of these two cytokines were found in HCC. As mentioned above, the production of immunosuppressive cytokines, such as IL-10, TGF-β, and PD-L1 can suppress IFN-γ/TNFα production derived from NK cells or effector T cells (82).

**ICIS IN HCC**

As shown above, in the TME of HCC, immune checkpoint molecules (PD-1, PD-L1, CTLA4, TIM3, LAG3) are associated with immunosuppressive cells to promote tumor growth and immune escape. This novel finding indicates that there are strong reasons to treat HCC patients with immunotherapies, especially ICI therapy. Increasingly, monoclonal antibodies aimed at blocking these immune checkpoint molecules have attracted increased attention in the HCC landscape (Figure 2 and Table 1) (83).

**PD-1/PD-L1 Monotherapy**

PD-1 is mainly expressed on activated CD4+ and CD8+ T cells and NK cells. PD-L1, the ligand for PD-1, is mainly expressed on APCs and HCC tumor cells. Coinhibitory signals are mediated by the binding of PD-1 and PD-L1 to suppress T-cell immunity. In HCC, it has been shown that the upregulation of PD-1 and PD-L1 induced by various cytokines contributes to the dysfunction of effector T cells, which eventually promotes tumor aggressiveness and recurrence (84, 85). Clinically, the CheckMate-040 study is a multicohort, open label, phase 1/2 trial on the anti-PD-1 antibody nivolumab in patients with advanced HCC. In the dose-escalation phase, a total of 48 advanced HCC patients were enrolled into 3 groups (virus-uninfected, HBV, HCV-infected). The objective response rate (ORR) was 15% (95% CI, 6-28), and the disease control rate (DCR) was 58% (95% CI 43-72). Furthermore, the median progression-free survival (PFS) was 3.4 months (95% CI, 1.6-6.9), and the median overall survival (OS) was 15.0 months (95% CI 9.6-20.2). Severe grade 3/4 treatment-related adverse events (TRAEs), including diarrhea and hepatitis, were observed in 12 (25%) out of 48 patients. In addition, in the dose-expansion phase, a total of 214 advanced HCC patients were enrolled into 4 cohorts, including uninfected sorafenib refractory (n = 57), uninfected sorafenib intolerance (n = 56), HCV infected (n = 50), and HBV infected (n = 51). The ORR was 20% (95% CI 15-26), the DCR was reported as 64% (95% CI, 50-71), and the median PFS was 4.0 months (95% CI, 2.9-5.4). The OS was not reached. Nivolumab may offer favorable efficacy with a manageable safety profile, and a phase 3 randomized trial compared with sorafenib is underway (86). In another phase 3 trial (CheckMate-459) 743 systemic therapy-naive patients with advanced HCC were recruited to verify the effects of nivolumab compared with sorafenib. The median OS was 16.4 months (95%...
CI, 13.9-18.4) for nivolumab and 14.7 months (95% CI, 11.9-17.2) for sorafenib. TRAEs were reported in 82 patients (22.3%) and 180 patients (49.6%) treated with nivolumab and sorafenib, respectively (87). In KEYNOTE-224, a phase 2 trial, the efficacy and safety of pembrolizumab (anti-PD-1 antibody) were evaluated in 104 HCC patients who had progressed or were intolerant to sorafenib. The ORR was recorded as 17% (95% CI, 11-26), and the DCR was 62% (95% CI, 52-71). The median PFS and OS were 4.9 months (95% CI, 3.4-7.2) and 12.9 months (95% CI, 9.7-15.5), respectively. Twenty-six (25%) grade 3-4 TRAEs were observed (88). In addition, in a randomized, multicenter phase 3 trial (KEYNOTE-240) the efficacy and safety of pembrolizumab compared with a placebo were assessed in 413 HCC patients after progression on sorafenib. The results indicated that the ORR and DCR of the pembrolizumab group were 18.3% (95% CI, 14.0-23.4) and 62.2%, respectively, which was significantly better than those of the control group (4.4% (95% CI, 1.6-9.4) and 53.3%, respectively. The median PFS and OS for pembrolizumab were 3.0 months (95% CI, 2.8-4.1) and 13.9 months (95% CI, 11.6-16.0) versus 2.8 months (95% CI, 1.6-

### TABLE 1 | Clinical trials with ICIs in HCC.

| NCT Number | Drug Type | Drug | Stage | ORR (%) | DCR (%) | mPFS (months) | mOS (months) | TRAEs (%) | First Posted (year) | Status |
|------------|-----------|------|-------|---------|---------|---------------|--------------|-----------|--------------------|--------|
| NCT01658878 | Anti-PD-1 | Nivolumab | Phase 1/2 | 15/20 | 58/64 | 3.4/4.0 | 15.0/NR | 25.0 | 2012 | Active, not recruiting |
| NCT02576509 | Anti-PD-1 | Nivolumab | Phase 3 | NA | NA | NA | 16.4 | NA | 49.6 | 2015 | Active, not recruiting |
| NCT02702414 | Anti-PD-1 | Pembrolizumab | Phase 2 | 17.0 | 62.0 | 4.9 | 12.9 | 25.0 | 2016 | Active, not recruiting |
| NCT02702401 | Anti-PD-1 | Pembrolizumab | Phase 3 | 18.3 | 62.2 | 3.0 | 13.9 | 52.7 | 2016 | Completed |
| NCT01693562 | Anti-PD-L1 | Durvalumab | Phase 1/2 | 10.3 | 33.0 | NA | 13.2 | 20.0 | 2012 | Completed |
| NCT03389126 | Anti-PD-L1 | Avelumab | Phase 2 | 10.0 | 73.3 | 4.4 | 14.2 | 19.4 | 2018 | Completed |
| NCT01008358 | Anti CTLA-4 | Tremelimumab | Phase 2 | NA | 76.4 | 6.5 | 8.2 | 45 | 2009 | Completed |
| NCT01853618 | Anti CTLA-4 | Tremelimumab | Phase 1 | NA | NA | 7.4 | 12.3 | 13.0 | 2013 | Completed |

| NCT Number | Drug Type | Drug | Stage | ORR (%) | DCR (%) | mPFS (months) | mOS (months) | TRAEs (%) | First Posted (year) | Status |
|------------|-----------|------|-------|---------|---------|---------------|--------------|-----------|--------------------|--------|
| NCT01658878 | Anti-PD-1 + Anti CTLA-4 | Nivolumab + Ipilimumab | Phase 1/2 | 31.0 | 49.0 | NA | 22.8 | 2.1 | 2012 | Active, not recruiting |
| NCT03222076 | Anti-PD-1 + Anti CTLA-4 | Nivolumab + Ipilimumab | Phase 2 | NA | NA | 19.5 | NA | 43.0% | 2017 | Active, not recruiting |
| NCT02519348 | Anti-PD-L1 + Anti CTLA-4 | Durvalumab + Tremelimumab | Phase 1/2 | 24.0 | NA | 2.2 | 18.7 | 37.8 | 2015 | Active, not recruiting |
| NCT03006926 | Anti-PD-1 + TKIs | Pembrolizumab + Lenvatinib | Phase 1 | 46.0 | NA | 9.3 | 22.0 | 67.0 | 2016 | Active, not recruiting |
| NCT03299946 | Anti-PD-1 + TKis | Nivolumab + Cabozantinib | Phase 1 | NA | NA | NA | NA | NA | 2017 | Active, not recruiting |
| NCT03755791 | Anti-PD-L1 + TKis | Atezolizumab + Cabozantinib | Phase 3 | NA | NA | NA | NA | NA | 2018 | Active, not recruiting |
| NCT03794440 | PD-1 inhibitor + Anti-VEGF | Sintilimab + IBI305 | Phase 2/3 | NA | NA | 4.6 | NR | 14.0 | 2019 | Active, not recruiting |
| NCT02715531 | Anti-PD-L1 + Anti-VEGF | Atezolizumab + Bevacizumab | Phase 1 | 20.0 | NA | 5.6 | NR | 5.0 | 2016 | Active, not recruiting |
| NCT03434379 | Anti-PD-L1 + Anti-VEGF | Atezolizumab + Bevacizumab | Phase 3 | 27.3 | NA | 6.8 | NR | 61.1 | 2018 | Active, not recruiting |

ICIs, immune checkpoints inhibitors; ORR, objective response rate; DCR, disease control rate; mPFS, median progression free survival; mOS, median overall survival; TRAEs, treatment-related adverse events; PD-1, programmed cell death-1; PD-L1, programmed cell death ligand 1; CTLA4, cytotoxic T-lymphocyte-associated protein 4; TKis, tyrosine kinase inhibitors; VEGF, vascular endothelial growth factor; NR, not reached; NA, not available.
Most ICI combination trials in advanced HCC have previously shown efficacy. The combination of the anti-PD-1 antibody nivolumab and the anti-CTLA-4 antibody ipilimumab was first tested in the phase 1/2 CheckMate-040 trial (NCT01658878). Based on different dosages, 148 advanced HCC patients who were previously treated with sorafenib were randomized into three arms: (A) nivolumab 1 mg/kg + ipilimumab 3 mg/kg, (B) nivolumab 3 mg/kg + ipilimumab 1 mg/kg every 3 weeks (Q3 W), and (C) nivolumab 3 mg/kg + ipilimumab 1 mg/kg every 6 weeks (Q6 W). The primary endpoint ORR was 31.0% (95% CI, 18-45) in combination therapy compared to 15% (95% CI, 6-28) in nivolumab monotherapy. At 24 months, the DCR was 48.8%, and the OS was 40%. A promising effect on outcome was observed, especially in arm A, with a median OS of 22.8 months (95% CI 9.4 - not reached). Grade 3-4 TRAEs were reported in 5 out of 49 patients (10.2%) in arm A, 2 out of 49 patients (4.1%) in arm B, and 1 out of 48 patients (2.1%) in arm C (95). Based on these promising results, in March 2020, the FDA approved combination therapy (arm A) as a second-line treatment after sorafenib. Recently, an open-label, randomized phase 2 trial (NCT03222076) evaluated the efficacy of nivolumab monotherapy versus nivolumab plus ipilimumab in the treatment of HCC patients who could be treated by surgery. All 27 patients were classified into nivolumab monotherapy (n = 13) and nivolumab plus ipilimumab combination therapy (n = 14) groups. Feasible data were observed, with a median PFS of 19.53 months (95% CI, 2.33 - not estimable) in the combination group and 9.4 months (95% CI, 1.47 - not estimable) in the monotherapy group. However, in combination therapy, grade 3-4 TRAEs (6 of 14 (43.0%)) were higher than those of nivolumab alone (3 of 13 (23.0%)). Overall, nivolumab plus ipilimumab appeared to be safe and effective (96). Clinical data on durvalumab (anti-PD-L1) in combination with tremelimumab (anti-CTLA-4) were presented in a phase 1/2 study including 332 HCC patients. Four cohorts were assigned, including T300 + D (tremelimumab 300 mg + durvalumab), durvalumab or tremelimumab monotherapy, and T75 + D (tremelimumab 75 mg + durvalumab). The results showed that the ORR and median OS of the T300 + D cohort were 24.0% (95% CI, 14.9-35.3) and 18.7 months (95% CI, 10.8-27.3), respectively, which were better than the data obtained from monotherapy and T75 + D groups. However, the incidence of grade ≥ 3 TRAEs was the highest (37.8%) in the 4 groups (86). Recently, TIM3 has been shown to overcome resistance to PD-1 blockade (97). The results for a phase 2 trial assessing the efficacy and safety of anti-PD-1 and anti-TIM3 combination therapy (NCT03680508) (98) are still awaited. In addition, dual blockade of PD-1 with anti-LAG3 therapy is being conducted in a phase 1 trial (NCT01968109). However, the clinical values of TIM3 and LAG3 need to be further elucidated.

ICIs Combined With Anti-Angiogenesis

Additional strategies aimed at combining TKIs/anti-VEGFi with ICI therapy after ICI progression may represent future treatment options. A total of 104 patients were enrolled in a phase 1b, multicenter, open-label trial of lenvatinib (TKIs) plus pembrolizumab (anti-PD-1) in patients with unresectable HCC. Patients received lenvatinib (12 mg if ≥ 60 kg, 8 mg if < 60 kg) orally daily plus pembrolizumab 200 mg Q3 W intravenously on day 1 of a 3-week cycle. The ORR was 46.0% (95% CI, 36.0-56.3), with a median PFS of 9.3 months and a median OS of 22.0 months. Grade ≥3 TRAEs occurred in 67% of patients, and no new safety signals were observed (99). A cohort study was launched within the single arm phase 1b trial (NCT03299946) exploring the combination of cabozantinib (TKIs) and nivolumab (anti-PD-1)
in locally advanced HCC patients. A total of 15 patients were included in the study; 12 out of 15 patients (80%) underwent surgical resection after combination therapy, and 5 out of 15 patients (42%) had major pathologic responses (100). A COSMIC-312 phase 3 study trial of cabozantinib in combination with atezolizumab (anti-PD-L1) versus sorafenib is currently ongoing (NCT03755791) in treatment-naive HCC patients. Approximately 740 patients were randomized into 3 groups: cabozantinib plus atezolizumab (370 patients) and sorafenib or cabozantinib single-agent (185 patients). For the combination group, cabozantinib was administered orally (40 mg once daily) plus atezolizumab 1200 mg Q3 W intravenously (101). Currently, clinical trials are ongoing. Recently, an open-label, phase 2-3 trial (NCT03794440) was performed in 595 unresectable HBV-associated HCC patients in China. First, a phase 2 study was performed in 24 patients, and inspiring results were obtained. The ORR in the phase 2 part of the study was 25.0% (95% CI, 9.8-46.7), and TRAEs were observed in 7 out of 24 patients (29%). Subsequently, a randomized phase 3 trial was started because of its preliminary safety profile and effectiveness. The remaining 571 patients were randomly assigned to the sintilimab (PD-1 inhibitor) plus IBi305 (anti-VEGF agent bevacizumab biosimilar) group (n = 380) or sorafenib group (n = 191). This trial demonstrated that patients with sintilimab plus IBi305 combination treatment had a significantly longer median PFS (4.6 months (95% CI, 4.1-5.7)) and median OS (not reached) than patients in the sorafenib group (median PFS and OS were 2.8 months and 10.4 months, respectively) (102). In GO30140, an open-label, multicenter, phase 1b trial (NCT02715531), two unresectable HCC cohorts, groups A and F, from 26 academic centers were described. In group A, 104 patients were enrolled and treated with atezolizumab plus bevacizumab (anti-VEGF). In group F, 119 patients were enrolled and randomly assigned into 2 groups: atezolizumab combined with bevacizumab (n = 60) and atezolizumab monotherapy (n = 59). In group A and in the combination therapy subgroup in group F, all patients received 1200 mg atezolizumab and 15 mg/kg bevacizumab intravenously Q3 W. P patients in the other group of group F were given only 1200 mg atezolizumab intravenously Q3 W. The results showed that the ORR (20%, (95% CI, 11-32)) and median PFS (5.6 (95% CI, 3.6-7.4)) of patients in the atezolizumab plus bevacizumab group were superior to those of patients who received atezolizumab monotherapy (103). A total of 501 unresectable HCC patients who had not previously received systemic treatment were enrolled in a global, phase 3 clinical trial (IMbrave150, NCT03434379) and were randomly divided in a 2:1 ratio into two groups: atezolizumab plus bevacizumab therapy (336 patients) or sorafenib therapy (165 patients). Patients in the combined therapy arm were treated with a standard dose (1200 mg) of atezolizumab followed by a high dose of bevacizumab (15 mg/kg) Q3 W, and patients in the sorafenib arm orally received 400 mg twice daily. After treatment, according to the RECIST 1.1 criteria, we showed that the ORR was 27.3% (95% CI, 22.5-32.5) in the atezolizumab plus bevacizumab group and 11.9% (95% CI, 7.4-18.0) in the sorafenib group. A prognostic advantage of combination therapy over sorafenib was also observed. The median PFS was 6.8 months (95% CI, 5.7-8.3), which was significantly longer than the 4.3 months (95% CI, 4.0-5.6) in the sorafenib group. The median OS was 13.2 months (95% CI, 10.4 - not reached) with sorafenib but was not reached in the combined group. For safety, 201 patients (61.1%) with serious TRAEs (≥ grade 3) were observed in the atezolizumab plus bevacizumab arm, and 95 patients (60.9%) were observed in the sorafenib arm (104).

CHALLENGES IN COMBINATION THERAPY FOR HCC

Despite encouraging preliminary data generated using combination strategies of antiangiogenic therapy and ICIs of advanced HCC, challenges still represent a burden in HCC management.

Drug Resistance of Combination Therapy

One of the main challenges is drug resistance (primary or acquired), which remains the major cause of treatment failure. Drug resistance is complex and dynamic because abnormal behavior at any step can lead to drug resistance. In recent years, various molecular mechanisms underlying drug resistance have been investigated and identified (105). First, HCC is generally considered an “immune-cold” tumor, characterized by T-cell deficiency, infiltration of immunosuppressive cells (MDSCs, TAMs, Treg) and poor antigen presentation, resulting in the ability to maintain immune tolerance and an inability to produce tumor immune responses (106). The characteristic of a “cold” HCC tumor is the common mechanism for primary resistance (107). Moreover, tumor heterogeneity is the other underlying mechanism involved in primary resistance. Unlike other primary tumors, multifocal lesions in the liver are common and although these tumors genetically originate from similar cells, they differ significantly from each other. It is not only the multifocal tumors that cause the heterogeneity of HCC but also the difference in patients for the differential expression of immune checkpoint molecules (108). Thus, it is critical to develop new ways to diminish primary drug resistance by transforming the “cold” tumor microenvironment into a “hot” tumor as well as circumventing tumor heterogeneity. In addition, the heterogeneity of the HCC TME also plays an important role in later acquired resistance. In previous studies, it has been shown that approximately a quarter of HCC (classified as immune class) has higher immune infiltration and higher PD-1/PD-L1 expression levels and thus has higher response rates to immunotherapy than the rest of HCCs (109, 110). However, a high response to treatment cannot be guaranteed for immune-suppressive cells, including MDSCs, TAMs, and Treg in the TME of HCC. These immune-suppressive components of the TME may contribute to T-cell exhaustion and immune checkpoint protein dysfunction, which further develop drug resistance to ICIs. Thus, a model that stratifies HCC patients according to the status of immune infiltration and immune checkpoint molecules may help to adequately select candidates for ICI therapy (111).
Intratumor heterogeneity is the key reason for sorafenib therapy resistance. In a previous study, it was demonstrated that sorafenib can induce the accumulation of autophagosomes in an in vitro HCC model. Several studies have reported that sorafenib induces an autophagic-protective response in HCC cells, resulting in drug resistance and affecting therapeutic efficacy. In addition, an imbalance between anti-apoptotic and pro-apoptotic proteins is associated with sorafenib resistance. Nonetheless, the exact underlying mechanism of sorafenib resistance needs to be further elucidated (112).

In the author’s opinion, physicians need to consider individual differences in the treatment process and specify individual diagnosis and treatment plans to improve treatment efficacy. In addition, further studies on HCC immunity and molecular pathology are needed to elucidate the underlying mechanisms involved in the TME that may lead to the failure of immunotherapy.

**Potential Biomarkers of Clinical Response in Combination Therapy**

The potential of ICIs in combination with TKIs/anti-VEGFs for HCC has been widely recognized. Moreover, many clinical trials have indicated that not all HCC patients receiving combination treatment will achieve the desired efficacy. Biomarkers are good indicators for predicting and evaluating treatment response. Recently, a meta-analysis indicated that patients with high PD-L1 expression (>1% score) had longer survival than patients with <1% PD-L1 expression. Therefore, the PD-L1 status may be a potential predictive biomarker in the context of anti-PD-1/PD-L1 therapy (98). Moreover, one study showed that HCC patients with Wnt/CTNNB1 mutations were insensitive to anti-PD-1/PD-L1 therapy and had a worse prognosis than patients without mutations (113). Furthermore, relevant literature shows that CD28/B7 may be a biomarker for the clinical response to anti-PD-1 in mouse models and lung cancer patients. However, clinical data from HCC patients are insufficient (114). In another preclinical study, it was suggested that HCC patients with high AFP levels (>400 ng/mL) are more likely to profit from combination therapy of ICIs and lenvatinib (115). Accordingly, there is a need to develop predictive biomarkers with high specificity and sensitivity to accurately identify HCC patients who most likely benefit from combination therapy. As mentioned above, comprehensive and systematic studies on the molecular level of HCC immunity are warranted.

**TRAEs of Combination Therapy**

TRAEs are one of the most concerning issues in clinical trials and not only affect the quality of life of patients but also affect treatment compliance. TRAEs are classified into five grades based on severity, and TRAEs of grade 3 or more are considered serious TRAEs. The most common TRAEs occur in the skin, gastrointestinal, liver, lung, and endocrine systems (116). Skin toxicity and gastrointestinal toxicity (diarrhea and colitis) were the first and second most common TRAEs, respectively. The incidence of skin and gastrointestinal toxicity in patients who were treated with anti-PD-1/PD-L1 is approximately 30.0% and 10.0-20.0%, respectively. For anti-CTLA-4 treatment, skin and gastrointestinal toxicity was as high as 40.0% and 30.0-40.0%, respectively. It has been suggested that anti-PD1/PDL1 results in fewer TRAEs than anti-CTLA-4. Moreover, a combination of anti-PD1 with anti-CTLA-4 showed an increased hepatic TRAEs in the early phase of treatment, although most improved after six weeks (117). Of note, fatal cardiotoxicity has been reported in patients who were treated with pembrolizumab or a combination of nivolumab and ipilimumab. Most TRAEs are reversible and controllable, but severe cardiac and autoimmune diseases should receive more attention for early recognition and intervention in the future (118).

In the author’s opinion, the proportion of patients with advanced HCC complicated with HBV in China is high, and the associated TRAEs are more complex. Therefore, no matter which treatment method is chosen, special attention should be paid to a patients’ underlying liver disease and other underlying diseases.

**CONCLUSION**

More than 70% of HCC patients are diagnosed at an intermediate or advanced stage (BCLC stage B, C or D) and require systemic therapy. The clinical efficacy of traditional TKI drugs (sorafenib, lenvatinib etc.) is still not satisfactory, although once brought patients hope. Thus, novel strategies are currently being developed, including ICIs, ICI combinations, and ICI combinations with antiangiogenics. More recently, the application of ICI-based combination therapy has become a growing field of study that has gradually displaced TKI monotherapy in advanced HCC. However, challenges remain, including drug resistance, predictive biomarkers of treatment effectiveness, and TRAEs in combination treatment. More safe and effective combination therapy strategies for advanced HCC should be developed, and further studies are needed.

**AUTHOR CONTRIBUTIONS**

CZ contributed to the study design. YC and HH were responsible for data collection and prepared the manuscript. XY and XF drafted and prepared the manuscript. All authors participated in the data interpretation, contributed to the manuscript writing with important intellectual content and approved the final version of the manuscript.

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