Construction and Validation of the Prognostic Model for Patients With Neuroendocrine Cervical Carcinoma: A Competing Risk Nomogram Analysis

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Research Article

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Abstract

Purpose: Neuroendocrine cervical carcinoma (NECC) is an uncommon malignancy of the female reproductive system. This study aimed to evaluate the cancer-specific mortality and to construct prognostic nomograms for predicting the survival of patients with NECC.

Methods: We assembled the patients with NECC diagnosed between 2004 to 2015 from the Surveillance, Epidemiology, and End Results (SEER) database. Meanwhile, we identified other patients with NECC from the Wenling Maternal and Child Health Care Hospital between 2002 to 2017. Fine and Gray's test and Kaplan-Meier methods were used to evaluate cancer-specific mortality and overall survival (OS) rates, respectively. Nomograms were constructed for predicting cancer-specific survival (CSS) and OS for patients with NECC. The developed nomograms were validated both internally and externally.

Results: A total of 894 patients with NECC extracted from the SEER database, then classified them into the training cohort (n=628) and the internal validation cohort (n=266). Besides, 106 patients from the Wenling Maternal and Child Health Care Hospital served as an external validation cohort. Nomograms for predicting CSS and OS were constructed on clinical predictors. The validation of nomograms was calculated by calibration curves and concordance indexes (C-indexes). Furthermore, the developed nomograms presented higher areas under the receiver operating characteristic (ROC) curves when compared to the FIGO staging system.

Conclusions: We established the first competing risk nomograms to predict the survival of patients with NECC. Such a model with excellent performance could be a practical tool for clinicians.

Introduction

Neuroendocrine cervical carcinoma (NECC) is a rare neoplasm, accounting for only 1–5% of all cervical cancers and < 1% of all neuroendocrine tumors [1–3]. In the USA, there are approximately 100–250 cases diagnosed with NECC each year[4]. A large portion of diseases is caused by carcinogenic HPV, primarily 18 and 16 subtypes[5]. The histological classification of NECC consists of 4 categories: typical and atypical carcinoid (low-grade with well differentiated), small cell and large cell neuroendocrine carcinoma (high-grade with poorly differentiated or undifferentiated). To date, high-grade NECC was more common and associated with a higher incidence of metastasis than low-grade[3]. Owing to the rarity of this disease, the optimal treatment of NECC is still uncertain. Current clinical experiences are mostly based on multimodal management extrapolated from small cell lung carcinoma. The prognosis of most patients remains dismal even in an early stage of the disease, with a mean OS of 22 to 40 months and 5-year CSS rates less than 30–45%[1, 4, 6, 7].

At present, the International Federation of Gynecology and Obstetrics (FIGO) staging system is the most common model for predicting survival in patients with NECC[8]. However, the FIGO system only considers the anatomic characteristic of the tumor while ignoring other factors with prognostic values regarding age, race, histological grade, and treatment patterns[1, 9, 10]. Moreover, the prognosis is impacted by
many variables with mutual influences, while few studies had incorporated all prognostic factors into a predictive system. Therefore, it is necessary to develop an accurate prediction model to evaluate the prognoses of NECC patients. The nomogram is a convenient predictive tool to calculate the survival outcomes, which can help clinicians making personalized options for patients through an intuitive graphic model[11]. It has been widely used in many neuroendocrine tumors and proven to be effective[12, 13].

As cancer progression, several comorbidities have occurred with increasing age. For example, older patients are more likely to face cardiovascular disease, liver disease, and metabolic diseases than the younger ones. Deaths caused by these non-cancer comorbidities have become the competing events of NECC[14]. Failure to evaluate such risks would make incorrect conclusions[15]. Besides, consideration of competing risks can correspond to the informative character of censoring and independently calculate the incidence of each factor[16]. Most previous studies were based on the Kaplan-Meier method and Cox regression hazard model, which may overestimate the portion of cancer-specific death and prolong the follow-up time[17]. Therefore, traditional methods are inappropriate and should be replaced by the competing risk model. However, for all we know, a competing risk nomogram for NECC is yet to be reported. Based on the Surveillance, Epidemiology, and End Results (SEER) database, the objective of this study was to construct competing nomograms for predicting CSS and OS in patients with NECC.

Materials And Methods

Study Cohorts

We identified the patients diagnosed with NECC between 2004 and 2015 from the SEER-18 database, using SEER*Stat software (version 8.3.6; National Cancer Institute, USA). The SEER database collects cancer information of 18 registries in the US and accounts for approximately 28% of the US population, which offers considerable data for detail analysis[18]. According to the International Classification of Diseases for Oncology, Third Edition (ICD-O-3), Cases were selected based on the primary site code (C53.0-C53.1, C53.8-C53.9) and associated histology codes (8010-8053). All eligible patients were those who had only one primary malignancy, complete clinicopathological information, and full follow-up results. The exclusion criteria included (1) multiple tumors, (2) diagnosed with clinical manifestation or radiography, (3) clinical information missed or unknown. Besides, we retrieved information of patients with NECC who met the same criteria at the department of gynecology and obstetrics of Wenling Maternal and Child Health Care Hospital from 2002 to 2017 using medical management systems, and all participated patients are informed consent. Patients from the SEER database were randomly assigned to the training set and the internal validation set in a 7:3 split ratio. Patients from our database served as an independent external validation set. Our study had received the approval of the Institutional Review Board of Wenling Maternal and Child Health Care Hospital, and we had access to the SEER program data after obtaining permission from the US National Cancer Institute (username number: 17620-Nov2018)

Data collection
Demographic and clinical variables, including age, year at diagnosis, marital status, race, histology grade, FIGO stage, tumor size, distant metastasis, treatment strategy, vital status, cause of death, and survival time were extracted and analyzed. We utilized the X-tile program (Yale University, New Haven, USA) to obtain optimal cut-off points then divided patients age into three groups: < 44 years, 44 - 67 years, > 67 years (Figure S1). Subsequently, we also classified patients by tumor size: < 2cm, 2 - 4cm, and > 4cm. In our study, “Married” was defined as married or unmarried but having domestic partner, while widowed, divorced, and separated, were recorded as “others”. Metastasis defined as lymphatic or distant organ metastasis. Surgery and radiotherapy referred to local treatment for the primary tumor. OS and CSS were calculated as the period from diagnosis to death owing to any causes or NECC, respectively. Cases were censored if alive at last follow-up.

Statistical Analysis

We treated death from cancer and death from non-cancer as two competing events. The cumulative incidence function (CIF) was estimated using Fine and Gray’s test to compare the single-factor incidence of each competing event at different time points (1-, 3-, and 5-year). The proportional sub-distribution hazard model was used to determine the significant variables of CSS, and competing risk nomogram was developed based on these predictors. OS rates were evaluated using the Kaplan-Meier method and compared using the Log-rank test. Prognostic variables identified from the multivariate Cox analysis were combined to construct the nomogram for OS.

The performance of nomograms was measured by concordance indexes (C-indexes) and calibration curves. A C-index greater than 0.7 indicates a relatively strong discrimination ability. The calibration plots were applied using a bootstrap approach with 1000 resamples to show the consistency between observation and prediction. Furthermore, prognostic precision comparisons between the developed nomograms and the FIGO staging system were visualized by ROC curves and quantified by the area under ROC curve (AUC) values. All the statistical analysis was conducted using R software version 3.6.1. The P-value of two-sided < 0.05 was deemed statistically significant.

Results

Patients baseline characteristics

A total of 894 eligible patients with NECC were assembled from the SEER database and assigned to the training cohort (n=628) and the internal validation cohort (n=266) (Table 1). Based on the same inclusion criteria, another 106 patients with NECC from our dataset were treated as the external validation cohort (Table S1). Of the total SEER group, there was no statistical distinction in the demographic and clinical characteristics between the two subgroups. A large portion of patients (n= 749, 83.8%) were under 67 years old, and most patients were married status (n=401, 44.9%). Regarding to the disease features, the majority of patients were high grade (n=836, 93.5%), stage I (n=411, 46.0%), greater than 4cm in tumor size (n=526, 58.8%), and absent of metastasis (n=643, 71.9%). Concerning to the treatment strategy, more
than half patient had received chemotherapy (n=577, 64.5%), while had not undergone surgery (n=481, 53.8%) and had not undergone radiotherapy (n=547, 61.2%).

In the SEER group, the median follow-up duration was 20 months (ranges, 1-155 months). A total of 504/894 (56.4%) deaths occurred during follow-up, of which 401 deaths were attributed to NECC, and 103 died from competing events. The 1, 3, and 5-year cancer-specific mortalities were 24.6, 44.3, 46.9%, respectively. As shown in Figure 1, the CIF curves indicated that patients with characteristics of older age, higher grade, more advanced stage, larger tumor size, and no surgical treatment were all likely to die from both NECC and competing events. Patients with characteristics of black people, the absence of radiotherapy, the presence of metastasis, and receiving chemotherapy were observed with the increasing cumulative mortality from NECC, while not associated with competing causes. There is no statistical difference in cancer-specific mortality of the characteristics regarding marital status and year at diagnose. (Table 2).

For OS, the 1-, 3-, and 5-year survival rates were 69.2, 45.5, 41.9%, respectively. The survival curves of OS based on each variable were shown in Figure 2. Variables regarding with older age, diagnosed after 2010, divorced or widowed status, black race, higher grade, advanced stage, enlarged tumor, the presence of metastasis, and receiving chemotherapy all had an inferior OS, while patients who underwent surgery and radiotherapy had a better OS (Table 2).

**Independent predictors of patients with NECC**

Factors including age, marital status, grade, stage, tumor size, metastasis, surgery, radiotherapy, and chemotherapy were significantly related to CSS through univariate competing analysis. While age, year at diagnosis, marital status, histology grade, FIGO stage, tumor size, distant metastasis, surgery, radiotherapy, and chemotherapy were significantly associated with OS through univariate analysis. The race was unable to be a risk factor for both CSS and OS (Table 4). The variables identified from the univariate analysis were further analyzed by multivariate analysis for CSS and OS (Table 3). After adjusting the confounding factors, multivariate analysis revealed that age, FIGO stage, tumor size, metastasis, and chemotherapy were independent predictors for both CSS and OS (Table 4).

**Construction and validation of nomograms**

The nomograms for CSS and OS were constructed based on incorporating five prognostic variables from the training cohort. As shown in Figure 3, tumor size contributed most while chemotherapy accounted for the least contribution to CSS and OS. By summing up the specific point of each predictor then measuring the total points to the CSS and OS, the individual survival probability can be calculated easily. The nomograms were validated internally and externally and indicated an excellent predictive ability. The C-indexes of nomograms in the training cohort for CSS and OS were 0.784 (95% CI: 0.758-0.809), and 0.787 (95% CI, 0.765-0.808), respectively. Additionally, based on the internal and external cohort, the C-indexes of nomograms were also presented more powerful discrimination than those of the FIGO stage (Table 5). The calibration curves of each group revealed a prominent consistency between prediction and
observation (Figure 4 and Figure 5 showed the results of training and internal validation cohort, respectively, and Figure S2 showed the results of external validation cohort).

Comparison with the FIGO staging system

Compared to the FIGO stage, established nomograms had a relatively higher discrimination ability (Figure 6). The AUC values of nomograms in the training cohort for 3- and 5-year OS rates were 0.836 and 0.845, respectively, while the AUC values of the FIGO stage for those were 0.769 and 0.711, respectively. Likewise, the AUC values of nomograms for 3- and 5-year CSS rates were higher than those of the FIGO staging system. For the internal and external validation cohort, the similar results were listed in Table 6.

Discussion

Several studies have analyzed the prognosis of NECC. However, such data were mainly derived from small sample sizes[1, 3, 7, 9]. The predictors of NECC are still limited, and efficient treatments to prolonged survival for patients are also elusive[19]. In the present study, we first evaluated the cancer-specific mortality and established practical nomograms to predict the survival outcomes of patients with NECC. The developed nomograms were based on selected patients from the SEER database, revealing ideal differentiation and calibration both internally and externally. Moreover, the higher AUC values of nomograms demonstrated that nomograms have a superior prediction ability than the FIGO staging system.

In previous studies, age is commonly recognized as an independent predictor of NECC. Based on The National Cancer Database analysis, Benjamin et al. concluded that patients with NECC, compared to patients with squamous cell cervical cancer, were more likely diagnosed before 30 years old and tended to observed adverse survival as increasing age[2]. In another retrospective study, Reem et al. suggested that younger age (< 40 years) was significantly associated with improved survival, with a 3-year PFS of 80% versus 40.9% (p = 0.044)[20]. In current data, we indicated that 44 and 67 years might be the best cut-off points to make a precise grouping for OS and CSS of NECC patients. Furthermore, the present study also indicated that older patients were at higher risk of competing risk death. Thus, it is required to take competing event into consideration for predicting cancer-related prognoses.

Our study showed that stage and metastasis are the most prognostic variables for OS and CSS of patients with NECC, which is consistent with prior retrospective reports on a large size cohort[1, 21]. In current data, the most patient diagnosed NECC were early-stage while had poor survival. Similarly, Lee et al. reported that 67.2% of 61 patients were diagnosed at early-stage, with a 5-year survival of only 42.1% [7]. In a recent multi-center study of 193 patients with NECC[22], the risk of progression or death increased at advanced-stage (stage I vs. stage IV, HR = 8.98, 95% CI: 5.25–14.49). NECC tended to widespread hematogenous and lymphatic metastasis despite aggressive therapies[23]. Nagao et al. reported that the most sites of metastasis at primary diagnosis were pelvic lymph, followed by liver, bone, and breast[9]. Tumor size has also been considered as an independent predictor of NECC[7, 24]. We found that most tumors were larger size, and ≥ 2 cm was a cut-off for decreased OS and CSS. A previous study, including
115 NECC patients, documented that 80% of patients had tumor $\geq 2$ cm while had only 28.6% for 5-year CSS\[1\]. A meta-analysis containing 1901 patients further confirmed larger tumor size was associated with poor OS ($> 4$ cm vs. $\leq 4$ cm, HR = 1.76; $> 2$ cm vs. $\leq 2$ cm, HR = 1.61) \[24\].

Currently, there is still no consensus on the first line therapeutic regimen for NECC, and multimodality treatment is advocated\[19, 20, 25\]. Our data showed that surgery and radiotherapy were associated with improved survival, but they failed to be prognostic predictors in multivariate analysis. Another prior SEER database analysis revealed that radical surgery and primary radiation yielded equally poor survival (5-year OS rates were: 25% vs. 32%, $p = 0.636$) \[26\]. In China, radical hysterectomy-based surgery followed by adjuvant therapy is the primary treatment for patients with NECC in early FIGO stages\[27\]. On the contrary, a multi-center study consisted that primary radiotherapy followed by chemotherapy was associated with better survival than primary surgical treatment for early-stage patients (5-year OS rates were 78% vs. 46%, $P = 0.046$)\[28\]. Whether the use of surgery for locally advanced-stage NECC patients remains unclear\[19\]. For cervical cancer, it has been widely recognized that post-radiation hysterectomy did not improve survival while risking the injury of the urinary tract. However, Pauline et al. suggested that intensive primary chemoradiotherapy for such patients can allow them to benefit from surgery, with complete surgical margins and improved prognosis\[29\].

In our study, survival curves of OS demonstrated that performing chemotherapy was associated with poor survival. However, it was found to be independent predictors after adjust confounding factors in multivariate analysis. This can partly explain more prone to chemotherapy with elderly or metastatic patients, due to low tolerability or unacceptance of surgery. The commonly used chemotherapy regimens were TPB (topotecan, paclitaxel, and bevacizumab) or EP (etoposide and cisplatin)\[6\]. Other tested regimes include VAC (vincristine, adriamycin, and cyclophosphamide), CPT-P (irinotecan, platinum), and TC (taxane, platinum)\[22, 30\]. A large cohort study by Cohen et al.\[1\] reported that the use of platinum-based chemotherapy or chemoradiation was associated with better survival in NECC patients ($OR = 0.62, 95\% CI: 0.41−0.92, P = 0.019$). For recurrent NECC, targeted therapies and immunologic inhibitors, such as bevacizumab, nivolumab, and trametinib, might be the new options\[31−33\]. However, patients with NECC have a poor survival irrespective of the aggressive schemes used. The development of new drugs for NECC is urgently needed.

Based on the SEER database, we conducted the competing risk model and predicted survival outcomes for patients with NECC. These developed nomograms include easily obtained variables from clinical practice, which can help the clinicians with access to patients’ counseling and decision-making. The C-index and calibration plots of nomograms at different time points show excellent performance in prediction ability. Furthermore, compared with the traditional FIGO staging system, this current new model displays more accuracy in survival outcomes.

There are several limitations to our study. First, a selection bias might be unavoidable because of the nature of the retrospective study. Second, some prognostic information, such as invasion depths, lymph-vascular invasion, and biologic markers, could not be included. Besides, more-specific data such as the
dose, type, and the course of treatment of adjuvant therapy were unavailable in the SEER database, which could restrict further analysis. Third, although a single-center cohort validation was applied in this study, prospective external validation is still needed.

**Conclusion**

We constructed the prognostic nomograms to evaluate the survival outcomes of patients with NECC. The developed nomograms had perfect performance in predictive ability, which could facilitate clinicians to make appropriate assessments. These novel nomograms still need further validation by a larger population.

**List Of Abbreviations**

NECC, neuroendocrine cervical carcinoma; OS, overall survival; CSS, cancer-specific survival; ROC, receiver operating characteristic; FIGO, The International Federation of Gynecology and Obstetrics; CIF, the cumulative incidence function.

**Declarations**

**Ethics approval and consent to participate:** Our study had received the approval of the Institutional Review Board of Wenling Maternal and Child Health Care Hospital, and clinical information were obtained from medical management systems of hospital, All participated patients are informed consent. All methods were carried out in accordance with relevant guidelines and regulations.

**Consent for publication:** Not applicable.

**Availability of data and materials:** The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Competing interests:** The authors declare that they have no competing interests.

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**Authors' Contributions:** CX designed the research; JAG performed the research and analyzed results; CX and JAG edited the manuscript and provide critical comments. All authors read and approved the final manuscript.

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**Tables**

Due to technical limitations, tables 1,2,3,4,5 are only available as a download in the Supplemental Files section.

Table 6 not available with this version.

**Figures**
Figure 1

The cumulative incidence function curves for cancer-specific mortality and competing mortality based on patient characteristics: age (A); year at diagnosis (B); marital status (C); race (D); grade (E); FIGO stage (F); tumor size (G); metastasis (H); surgery (I); radiotherapy (J); chemotherapy (K).
Figure 2

The survival curves for OS rates based on patient characteristics: age (A); year at diagnosis (B); marital status (C); race (D); grade (E); FIGO stage (F); tumor size (G); metastasis (H); surgery (I); radiotherapy (J); chemotherapy (K).
Figure 3

Nomograms for predicting the 3- and 5-year CSS (A) and OS (B).

Figure 4

The calibration curves of training cohort show the nomograms-predicted rates (X-axis) are correspondent with actual survival rates (Y-axis), including the 3-year CSS (A) and OS (B), the 5-year CSS (C) and OS (D).
Figure 5

The calibration curves of internal validation cohort show the nomograms-predicted rates (X-axis) are correspondent with actual survival rates (Y-axis), including the 3-year CSS (A) and OS (B), and the 5-year CSS (C) and OS (D).
Figure 6

Comparison of the ROC curves for prediction ability between the nomograms and the FIGO staging system, including 3-year CSS (A) and OS (B), and the 5-year CSS (C) and OS (D).

Supplementary Files

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