The role of canopy gaps in maintaining biodiversity of plants and soil macrofauna in the forests of the northwestern Caucasus

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Abstract. The research was carried out in the coniferous-deciduous forests of the northwestern Caucasus, growing in similar climatic and soil-orographic conditions. Three types of forests of different ages were studied: aspen-hornbeam (50-70 years), beech-fir-hornbeam (80-110 years) and fir-beech forests (over 450 years). The studies were performed on the territory Krasnodar Krai (upper reaches of the Pshekha river, State Nature Reserve Chernogor’e) and the Republic of Adygea (upper reaches of the Belaya river, the Caucasian State Biosphere Reserve) in the summer seasons 2016 and 2019. The research involves geobotanical, population-ontogenetic, and soil-zoological methods. It has been established that in the canopy gaps of all forest types species density of plants is almost twice as high as in under-crown areas or even higher due to good light factor and high soil moisture since the tree stand does not intercept precipitation. Regeneration of tree cenopopulations in all forest types is much more effective in canopy gaps compared to under-crown areas. The undergrowth density of different types of trees is 10 and more times higher in gaps than in the under-crown areas. The maximum number of ecological-coenotic groups of plants is observed in the canopy gaps in all types of forest. All major trophic groups of macrofauna inhabit canopy gaps and under-crown areas, but their biomass in gaps is significantly exceeds that in under-crown areas. Due to the fact that soil moisture supply is an essential factor for moisture-loving saprophages’ activity, biomass of saprophages is on average twice as high in gaps than under-crown areas of all forest types. Only canopy gaps have high biomass of anecic earthworms – there are important ecosystem engineers, which contribute a lot to plant litter processing and the formation of soil porosity.

Keywords: earthworms, forest community, invertebrates, species richness, treefall gaps, trophic groups, saprophages, under-crown area, undergrowth.

1. Introduction

Gap dynamics model defines the self-support capabilities of forests (Watt, 1947; Oldeman, 1983; Whitmore, 1990). In this model, forests are shown as a mosaic of spots of different ages with a continuous change in the vegetation species composition. It has been shown that the canopies of various tree species can act as ecological filters (Gandolfi et al., 2007) for vegetation renewal. There are obvious differences in the conditions for plant growth under the canopy of deciduous and coniferous tree species. However, drastic changes in the light and precipitation mode occur after the fall of old trees and canopy gaps formation. Great attention is currently being paid to the role of canopy gaps in maintaining biological (Bartemucci et al., 2002) and structural diversity (Zhang et al., 2013; Muscolo et al., 2014) of forest communities and application this knowledge in forest management practices (Vajari et al., 2012;
Yamamoto, 1996; Rebertus & Veblen, 1993; Runkle, 2000; Haghverdi et al., 2012; etc.). The area of natural canopy gaps according to literature can range from 200 to 5,000 m² (Muscolo et al., 2014), the average gap size for Eastern European forests is between 200 and 600 m² (Smirnova et al., 2004). It has been shown that gap size also determines the structural and functional diversity of the soil macrofauna (Kooch & Hosseini, 2010; Kooch & Haghverdi, 2014), particularly that of soil saprophages, which act as a driver of litter decay. Assessing of and forecasting the sustainable development of forest ecosystems requires linked studies of transformation of vegetation and large soil macrofauna that mediates the influence of vegetation on soil properties through the quality of litter (Frouz et al., 2013). Due to the fact that undercrown spaces and gaps differ in light factor and the amount of precipitation, it can be assumed that the diversity and composition of functional groups of plants and soil macrofauna will differ between these structural elements. The diversity of some plant and invertebrate groups can be expected to be higher in gaps than under canopy.

The objective of the work is to assess the contribution of gaps to the maintenance of structural and biological diversity of vegetation and soil macrofauna of mixed coniferous-broad leaved forests of the northwestern Caucasus.

2. Study area

The research was carried out in the upper reaches of the Pshekha river (Krasnodar Krai) and the Belaya river (Republic of Adygea, Caucasian State Biosphere Reserve) (Fig. 1). This territory is part of the Western mountain province of the Greater Caucasus (Gvozdetzky, 1963; Milkov & Gvozdetzky, 1986). Average annual precipitation is 1,200 mm. Average annual temperature is +10.3°C. Positive air temperatures remain for 292-361 days, and the growth season (T>10°C) is 160-234 days (http://meteo.ru). The relief is complex: from the pronounced Alpine relief in the upper reaches of the Belaya river, composed of clay shales and limestones, to the relatively flat foothill in the upper reaches of the Pshekha river, composed of clay and crystal shales. High ruggedness of the mountain topography and elevation differences cause vertical variability of all climate indicators. Schist eluvia-based brown soils (Cambisols Dystric, WRB…, 2015) are common in the soil cover. The thickness of the humus horizon is on average 10-15 cm, humus content in the upper horizon can reach 10-15%, pH is acidic or slightly acidic (Shishov et al., 2004).

In similar climatic and soil-orographic conditions three types of coniferous-deciduous forests of the northwestern Caucasus, i.e. aspen-hornbeam, beech-fir-hornbeam and fir-beech forests, were selected as the object of research (Fig. 2).
Figure 2. Under-crown areas and canopy gaps of coniferous-deciduous forests of the northwestern Caucasus. Plant communities of: 1 – under-crown area aspen-hornbeam forest type; 2 – canopy gap aspen-hornbeam forest type; 3 – under-crown area beech-fir-hornbeam type of forest; 4 – canopy gap beech-fir-hornbeam type of forest; 5 – under-crown area fir-beech forest type; 6 – canopy gap fir-beech forest type
3. Materials and Methods

3.1. Characteristics of forest types

The aspen-hornbeam forest type is formed after clear and selective logging, the maximum age of trees is 50-70 years, the height of the tree canopy is 22±3.7 meters, the average stock of stem wood is 293±34 m³/ha, of which Carpinus betulus accounts for about 60-75% of the stock and Populus tremula – for about 10-20% of the stock, whereas the share of other species is less than 10-5% (Lukina et al., 2018).

The beech-fir-hornbeam type of forest is formed on the sites of old clear and selective loggings, the maximum age of trees of the first post-harvest generation is 80-110 years, the height of the stand is 32±5.7 meters. The average age of trees of the first post-harvest generation is 80-110 years; the height of the tree canopy reaches 50±12.1 meters. The average stock of stem wood is 319±87 m³/ha, with Carpinus betulus accounting for 40-50% of the total wood stock, Abies nordmanniana – for 20-30% and Fagus orientalis – for 20-25%. In the beech-fir-hornbeam type of forest, there is a gradual loss of light demanding tree species that formed the first post-harvest generation. The upper tree layer includes young shade-tolerant trees of Abies nordmanniana and Fagus orientalis (Lukina et al., 2018).

The fir-beech forest type is characterized by the absence of traces of logging or fire (no coal was found in soil), the tree layer has a complex spatial structure and is represented by trees of different ages. This type of forest was described on the territory of the Caucasian biosphere reserve which has preserved well since the end of the 18th century, so the remaining local forests are the closest to natural ones. The age of individual fir trees exceeds 450 years; the height of the tree canopy reaches 50±12.1 meters. The average stock of stem wood is 1.097±265 m³/ha, with Fagus orientalis accounting for 66 to 82% of the total stock of stem wood, and Abies nordmanniana accounting for 16 to 32% (Lukina et al., 2018).

According to the ecological and floristic classification, these forests belong to different associations of Abieti-Fagenion orientalis sub-union Korotkov et Belonovskaja 1987 of Vaccinio-Fagion orientalis union (Zohary, 1973) Passarge 1981 of Rhododendro pontici-Fagetalia orientalis order (Soo, 1964) Pass. 1981, of Querco-Fagetalia class Br.-Bl. et Vlieger 1937.

This research was conducted in the summer seasons of 2016 and 2019. The study involved geobotanical, population-ontogenetic, and soil-zoological methods.

3.2. Geobotanical methods

Square plots of 400 m² were laid out for plant communities description. In each forest type there were made 37 geobotanical descriptions in the under-crown area, and 10 descriptions in the canopy gaps. 81 descriptions of under-crown areas and 30 descriptions of gaps were made in total. A complete floral list taking into account the layered structure of vegetation was made up for each plot. In each layer, the projective cover of species was defined according to the scale of J. Braun-Blanquet (1964, cited by Mirkin et al., 1989). Latin names of vascular plants and mosses are given according to World Flora Online (2020). Species diversity of communities was evaluated through the indicators of species richness and species saturation (Smirnova et al., 2002).

3.3. Population-ontogenetic methods and classification of the ecological-coenotic groups of vascular plant

The periodization of ontogenesis proposed by T.A. Rabotnov (1950) and supplemented by Uranov (1975) and his students (Zaugolnova et al., 1988) is used. The following states are distinguished in the tree ontogenesis: juvenile (j); immature (im); virginal (v); young generative (g1), mature generative (g2) and old generative (g3); senile (s) (Smirnova et. al., 1999; Evstigneev & Korotkov, 2016). The ontogenetic states of woody plants were determined based on previously published works (Romanovsky, 2001; Evstigneev, 2014; etc.). The composition of tree cenopopulations was determined in under-crown areas and gaps in each forest type. Plots of different sizes were subjected to recording. Immature, virginal, generative and senile trees over 1.5 m high were counted on 0.25 ha plots (in triplicate for each forest type). Immature and virginal trees up to 1.5 m high were counted on 100 m² plots (in 6-fold repetition for each forest type). Juvenile individuals were counted on 1 m² plots (in 30-fold repetition for each forest type). The data obtained were extrapolated for 1 ha. The type of ontogenetic spectrum was established according to the classification proposed by T.A. Rabotnov (1950), later supplemented and detailed (Rysin & Rysina, 1966; Uranov & Smirnova, 1969; Zaugolnova et al., 1988). In addition to ontogenetic states, the absolute age of trees was determined: core samples were taken with an increment borer near the base of the trunk to calculate the number of annual rings.

The paper is using the classification of the ecological-coenotic groups of vascular plant species developed for European Russia (Smirnova et al., 2002, 2004; Smirnova, 2004). The ecological-coenotic structure refers to the composition and quantitative ratio of species belonging to different ecological-coenotic groups. According to Nitcenko (1969) ecological-coenotic groups shall mean the large groups of ecologically close species that are associated with different types of communities in their genesis. All species were divided into the following ecological-coenotic groups: Br – boreal species, Md – meadow-forest edge, Nm – nemoral, Nt – nitrophilic, Wt – near-water, others – other ECGs (Smirnova et al., 2017).
3.4. Soil-zoological methods

Quantitative calculations of soil invertebrates of the macrofauna size bracket were carried out in gaps and under-crown areas of three forest types. Records in litter and soil were made by excavation and manual analysis of soil samples (Gilyarov, 1975). Invertebrates were fixed in 70-80% ethanol. The size of a separate sample was 25x25 cm, the depth up to 30 cm. In aspen-hornbeam forest 54 soil samples were taken in under-crown areas (18 – under aspen canopy and 18 – under hornbeam canopy) and 18 samples in the canopy gaps (3 gaps examined); in the beech-fir-hornbeam forest 72 soil samples were taken in under-crown areas (18 – under beech canopy, 18 – under fir canopy and 18 – under hornbeam canopy) and 18 samples in the canopy gaps (3 gaps examined); in the fir-beech forest 54 soil samples were taken in under-crown areas (18 – under fir canopy and 18 under beech canopy) and 18 samples in the canopy gaps (3 gaps examined). Insects, mollusks, crustaceans, and millipedes were identified up to supraspecific taxa (using field guides (Likharev & Rammelmeyer, 1952; Lokshina, 1969; Mamaev, 1972). Earthworm species were identified with field guides (Vs-evolodova-Perel, 1997). The morphoecological groups of earthworms are differ in habitat in different soil horizons and in their functional role in the mineralization of organic residues (Bouche, 1977).

3.5. Ecological characteristics of forest communities

Ecological characteristics of communities (L – light factor; R – pH; F – soil moisture; N – nitrogen content; T – thermal factor; K – continentality; H – soil humus) were obtained as weighted average scores for the corresponding species characteristics according to the ecological scale of Landolt (1977). Descriptions were ordained with the indirect gradient detrended correspondence analysis and determination of the correlation level (r) and significance level (p) (Detrended Correspondence Analysis (DCA) in PC-ORD 5.0, SpeDiv, Past software).

4. Results

4.1. The aspen-hornbeam forest type

In the under-crown area, the tree stand is dominated by Carpinus betulus and codominated by Populus tremula. Cerasus avium, Fagus orientalis, and Quercus hartwissiana are found as admixture. Canopy closure is 80-90%. Abies nordmanniana, Carpinus betulus, Corylus avellana, and Fagus orientalis are often found in the undergrowth layer, while Betula pubescens, Crataegus monogyna, and Daphne caucasica are found in the admixture together with Pyrus caucasica and Quercus hartwissiana. The projective cover of the layer is 10-30%. Lonicera caprifolium and Polygonatum glaberrimum dominate the herb-dwarf shrub layer, and Abies nordmanniana, Carex sylvatica, Carpinus betulus, Cerasus avium, Fraxinus excelsior, Hedera helix, Vincetoxicum scandens, etc. are common. The projective cover of the layer ranges from 30 to 70%. The moss layer is distributed sporadically on elevations near the tree trunk and deadwood and is rarely seen on soil mounds; the projective cover is 3.5±1.0%. Anomodon attenuatus, Brachytheciastrum velutinum, Hypnum cupressiforme, Leucodon sciuroides are the common species in the layer.

Light demanding species dominate the tree stand of the community. Shade-tolerant Abies nordmanniana and Fagus orientalis are found as isolated rare trees. The full ontogenetic spectrum (with all ontogenetic groups represented in the ontogenetic spectrum of the species cenopopulation) was recorded for Carpinus betulus cenopopulation. In the aspen-hornbeam forest type, the first generation of hornbeam trees is decaying, and the share of standing dead trees reaches 40%. The invasive type of the ontogenetic spectrum (with only juvenile and immature individuals represented in the spectrum) is typical for the cenopopulations of Acer platanoides, A. campestre, Fagus orientalis, and Fraxinus excelsior. Abies nordmanniana, Populus tremula, Quercus hartwissiana, and Tilia begonifolia cenopopulations have the intermittent spectrum (with one or more ontogenetic groups missing from the spectrum), whereas Betula pubescens and Cerasus avium (Fig. 3) have fragmented spectrum (with only one or more ontogenetic groups present in the spectrum).

Soil macrofauna in the aspen-hornbeam type of forest in under-crown areas is represented by 19 orders and families. The total number of invertebrates is 127 ind./m², and the biomass is 6.27 g/m² (Table 1, 2). Among trophic groups, saprophages and predators dominate in terms of quantity (Fig. 4), and saprophages in biomass (Table 2). Saprophages make up 83% of the total macrofauna biomass. The average number of litter and soil forms does not differ significantly (Fig. 5).

Differential consideration of under-crown areas shows that the density of macrofauna is twice as high under aspen crowns (86±9.8 ind./m²) as under hornbeam (41±5.5 ind./m²), while the biomass between the two tree species does not differ (3.17±1.7 and 3.10±2.5 g/m² under aspen and hornbeam crowns, respectively) due to the fact that by the summer season, easily decomposable hornbeam litter fall is mostly utilized whereas decomposition-resistant aspen litter fall still remains. Therefore, the number of small litter forms is higher in under-crown areas of aspen than in that of hornbeam. In under-crown areas of aspen the litter horizon is inhabited by representatives of fam. Elateridae, order Lithobiomorpha fam. Pholcidae, fam. Scarabaeidae, fam. Julidae, suborder Oniscidea, the mineral horizon is
Figure 3. Demographic structure of coenopopulations tree species in under-crown areas and canopy gaps. Note: Abscissa axis – ontogenetic states of tree species (description in: Material and methods), Ordinate axis – number of individuals per hectare. Species of trees: A.n. – Abies nordmanniana, A.p. – Acer platanoides, A.c. – Acer campestre, A.l. – Acer laetum, A.ps. – Acer pseudoplatanus, A.g. – Alnus glutinosa, B.p. – Betula pubescens, C.a. – Cerasus avium, C.b. – Carpinus betulus, C.m. – Crataegus monogyna, C.o. – Carpinus orientalis, C.s. – Castanea sativa, F.e. – Fraxinus excelsior, F.o. – Fagus orientalis, P.c. – Pyrus caucasica, P.t. – Populus tremula, Q.h. – Quercus hartwissiana, S.c. – Salix caprea, S.t. – Sorbus terminalis, T.b. – Tilia begoniifolia, U.g. – Ulmus glabra
Table 1. The number of macrofauna (ind./m²) in under-crown areas and canopy gaps

| Taxonomic groups of invertebrates | Trophic groups | Type of forest | \( \text{aspen-hornbeam} \) | \( \text{beech-fir-hornbeam} \) | \( \text{fir-beech} \) |
|----------------------------------|---------------|----------------|-----------------------------|-----------------------------|-----------------------------|
|                                  |               | aspen-hornbeam | aspen-hornbeam | aspen-hornbeam | aspen-hornbeam |
|                                  |               | under-crown    | canopy gap         | under-crown    | canopy gap         |
| fam. Araneidae                   |               |                | 0               | 0             | 2±0.1            |
| fam. Pholcidae                   |               |                | 2±0.1*          | 0             | 6±2.3            |
| order Pseudoscorpionida          |               |                | 4±0.2          | 4±2.5         | 9.6±3.5          |
| order Scolopendraida             |               |                | 10±4.5         | 0             | 1.8±0.3          |
| order Geophilomorpha             |               |                | 12±4.5         | 10±3.1        | 9.6±3.2          |
| order Lithobiomorpha             |               |                | 14±6.5         | 14±6.2        | 16.8±3.6         |
| order Hemiptera                  |               |                | 0              | 1±0.2         | 0               |
| fam. Carabidae                   |               |                | 1±0.2          | 8±4.5         | 0.6±0.1          |
| fam. Staphylinida                |               |                | 2±0.1          | 6±3.1         | 3.6±0.4          |
| fam. Tenebrionida                |               |                | 0              | 1±0.2         | 1.2±0.2          |
| fam. Chrysomelida                |               |                | 1±0.2          | 4±2.4         | 1.8±0.2          |
| fam. Elaterida                   |               |                | 11±4.6         | 18±6.4        | 13.2±4.5         |
| fam. Scarabaeida                 |               |                | 6±4.3          | 2±0.1         | 1.8±0.3          |
| superfam. Cucujoidea              | mixophages    |                | 0              | 0             | 0               |
| fam. Forficulida                 |               |                | 5±2.5          | 2±0.1         | 1.8±0.2          |
| order Trichoptera                |               |                | 0              | 0             | 0               |
| order Pulmonata                   |               |                | 0              | 0             | 0               |
| fam. Limacida                    |               |                | 3±0.5          | 2±0.1         | 3.6±0.3          |
| fam. Lumbricida                  |               |                | 18±4.9         | 66±9.5        | 15±3.5           |
| suborder Oniscidea               | saprophages   |                | 14±6.5         | 18±6.3        | 15±4.6           |
| order Polydesmida                |               |                | 0              | 0             | 2±0.2            |
| fam. Julida                       |               |                | 1±0.2          | 2±0.1         | 3.6±0.4          |
| fam. Ectobiida (imago)           |               |                | 1±0.2          | 2±0.1         | 3.6±0.4          |
| order Diptera (larvae)           |               |                | 0              | 4±0.8         | 0               |
| fam. Tipulida (larvae)           | afagi         |                | 2±0.1          | 0             | 1±0.2            |
| fam. Lampyridae (imago)          |               |                | 2±0.1          | 0             | 0               |
| order Lepidoptera (cocoons)      |               |                | 2±0.1          | 0             | 0               |
| Total number:                    |               |                | 127±15.6       | 193±22.5      | 144.6±31.2       |

* bold type points out statistically significant differences between under-crown areas and canopy gaps within a single forest type (Kruskell-Wallis test)

The role of canopy gaps in maintaining biodiversity of plants and soil macrofauna in the forests dominated by representatives of the orders Scolopendrida, Geophilomorpha, fam. Lumbricidae and larvae of fam. Elateridae, among the representatives of fam. Lumbricidae only two species of the endogeic group of earthworms were found in the aspen under-crown areas: *Aporrectodea jassynsis* and *Dendrobaena schmidti*. In the under-crown areas of hornbeam the litter is inhabited by species of fam. Julidae, larvae of fam. Scarabaeidae and epigeic earthworms (fam. Lumbricidae). Three species of epigeic earthworms — *Dendrobaena octaedra*, *Dendrodrilus rubi-dus temuis*, and *Eiseniella tetraedra* – were found. In the mineral horizon, representatives of the orders Scolopendrida, Lithobiomorpha, Geophilomorpha and endogeic worms *A. jassynsis* and *D. schmidti* are typical.

The canopy gap area in the aspen-hornbeam forest ranges from 290 to 365 m², each gap is 10-15 years old. On the periphery of the gaps, *Carpinus betulus* dominates the stand, *Populus tremula* is often the codominant; *Al-nus glutinosa*, *Fraxinus excelsior*, *Quercus hartwissiana*, *Betula pubescens*, etc. are rarely found as admixture. The
Table 2. Biomass of the main trophic groups of macrofauna (g/m²) in under-crown areas and canopy gaps

| Trophic groups | Type of forest | aspen-hornbeam | beech-fir-hornbeam | fir-beech |
|---------------|----------------|----------------|-------------------|----------|
|               | under-crown    | canopy gap     | under-crown       | canopy gap | under-crown | canopy gap |
| saprophages   | 5.2±1.4        | 8.8±2.2*       | 5.03±1.2          | 9.6±2.4   | 9.7±4.4     | 24.5±4.8   |
| predators     | 0.56±0.05      | 0.48±0.1       | 0.61±0.3          | 0.8±0.5   | 0.44±012    | 0.16±0.05  |
| phytophages   | 0.37±0.1       | 0.3±0.1        | 0.34±01           | 2.04±0.9  | 0.08±0.06   | 0.02±0.01  |
| mixophages    | 0.14±0.1       | 0.08±0.04      | 0.02±0.01         | 0.16±0.03 | 0.08±0.3    | 0.12±0.08  |
| Total biomass | 6.27±2.4       | 9.67±2.8       | 6.0±1.5           | 12.6±3.7  | 10.3±2.5    | 24.8±3.8   |

* bold type points statistically significant differences between under-crown areas and canopy gaps within a single forest type (Kruskell-Wallis test).

Figure 4. The number of trophic groups of soil macrofauna in under-crown areas (1, 3, 5) and canopy gaps (2, 4, 6) of coniferous-deciduous forests of the northwestern Caucasus (1–6 plant community numbers as in Fig. 2)

The projective cover of the tree stand layer ranges from 10 to 30%. The undergrowth is well developed, the projective cover ranges from 30 to 80%. The tree stand is dominated by *Alnus glutinosa*, *Carpinus betulus*, *Corylus avellana*, and *Abies nordmanniana*. The projective cover of the herb-dwarf shrub layer ranges from 40 to 100%. Most often, the layer is dominated by *Rubus caesius*, often the co-dominants are *Galeopsis tetrahit*, *Lonicera caprifolium*, *Fragaria vesca*, *Carex sylvatica*, *Solidago virgaurea* and *Viola alba* subsp. *dehnardtii*. The moss layer is well developed and confined to deadwood, mounds, and elevations near tree trunks. The projective cover of the layer ranges from 5 to 40%. *Hypnum cupressiforme*, *Leucodon immersus* are common species here.

In the gaps of the aspen-hornbeam type of forest, the full ontogenetic spectrum (due to generative trees along the gap periphery) was found for the cenopopulation of *Abies nordmanniana*, *Alnus glutinosa*, *Carpinus betulus*, *C. orientalis*, *Cerasus avium*, *Fagus orientalis*, *Fraxinus excelsior*, *Populus tremula*, *Pyrus caucasica*, and *Quercus hartwissiana*. The invasive type was found for *Acer camp-estre*, *A. platanoides*, *Tilia begonifolia* and *Ulmus glabra*,...
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Thus, in the gaps of young post-logging forests, both light demanding and shade-tolerant tree species are being successfully regenerating. Representative of 16 taxonomic groups of macrofauna (orders and families) were found in the gaps of aspen-hornbeam forests. The total number (Table 1) and biomass (Fig. 6) of gap macrofauna are significantly higher than that of under-crown areas. At the same time, the number (Fig. 4) and biomass (Table 2) of predators, phytophages and mixophages in under-crown areas and gaps do not differ. Significant differences were found for the trophic group of saprophages – their number is almost 3 times higher, and biomass is 1.7 times higher in gaps as compared to under-crown areas. Unlike the under-crown areas the biomass of soil-dwelling invertebrates (Fig. 7) is high in gaps, particularly due to the presence of large earthworms, including the anecic form. The litter horizon is dominated by saprophages of the fam. Julidae and suborder Oniscidea as well as epigeic earthworms D. attensi and D. octaedra. Single representatives of other groups and families are found. In mineral horizons, predators of order Geophilomorpha; saprophages: Diptera larvae and earthworms – endogeic species A. jassyensis and D. schmidtii as well as a large anecic species D. mariupoliensis are common.

Figure 5. Average number of macrofauna in under-crown areas (1, 3, 5) and canopy gaps (2, 4, 6) of coniferous-deciduous forests of the northwestern Caucasus (1–6 plant community numbers as in Fig. 2)

Figure 6. Total biomass of macrofauna in under-crown areas (1, 3, 5) and canopy gaps (2, 4, 6) of coniferous-deciduous forests of the northwestern Caucasus (1–6 plant community numbers as in Fig. 2)
4.2. Beech-fir-hornbeam type of forest

In the under-crown area, the composition of the stand is codominated by Carpinus betulus, Fagus orientalis and Abies nordmanniana. Tilia begoniifolia is found in the admixture. The projective cover of the layer ranges from 70 to 90%. The undergrowth is dominated by Abies nordmanniana (im, v) with the density 3–4, less frequently by Fagus orientalis – 1–2 and Rhododendron luteum (1). The projective cover of the layer ranges from 30 to 80%. There are no dominants of the herb-dwarf shrub layer. Common species are Abies nordmanniana, Carex sylvatica, Dryopteris flix-mas, Euonymus europaeus, Lonicera caprifolium, Polygonatum glaberrimum, Rubus caesius, Solidago virgaurea, Dioscorea communis; the projective coverage of the layer ranges from 20 to 60%. The moss layer is localized on elevations near tree trunks, on tree trunks, deadwood, and stumps. Anomodon attenuatus, Hypnum cupressiforme, Leucodon sciuroides are common species.

In the under-crown areas of the fir-beech-hornbeam forest type, the full ontogenetic spectrum was observed for the cenopopulations of Abies nordmanniana and Fagus orientalis. The intermittent spectrum was identified for the cenopopulations of Carpinus betulus, Fraxinus excelsior, Populus tremula, and Quercus petraea; invasive spectrum – for Acer platanoides, Cerasus avium, Tilia begoniifolia, and Crataegus monogyna. The fragmentary type of spectrum represented only by juvenile and immature individuals is typical for light demanding species, i.e. Betula pubescens, Castanea sativa, Pyrus caucasica, and Acer campestre (Fig. 3). Undergrowth of these species was found only in well-lit areas.

The soil macrofauna of the fir-beech-hornbeam type of forest includes representatives of 21 taxa (orders and families) totally amounting to 144 ind./m² in terms of numbers (Table 1) and to 6 g/m² in terms of biomass (Table 2) were identified in the under-crown areas. Saprofages clearly dominate among trophic groups both in number and in biomass, followed by predators, phytophages, and mixophages (Table 2), as in other types of forest. It is in this type of forest that differences between the number of litter and soil fauna are the greatest; litter is 2.7 times more densely inhabited than soil (Fig. 5) due to the fact that there is a thick mixed litter of decomposition-resistant fir and beech litter, while quickly decomposable hornbeam litter is a favourable trophic resource for litter fauna. Differential consideration of the distribution of invertebrates shows that the highest numbers and biomass of macrofauna were found under fir (59±6.8 ind./m² and 2±0.6 g/m²) and hornbeam crowns (51±7.2 ind./m² and 2.6±0.7 g/m²), whereas the lowest – under beech crowns (34±6.5 ind./m² and 1.4±0.5 g/m²). In under-crown areas of fir, the litter is dominated by calciphilous groups: millipedes of fam. Julidae, order Polydesmida, woodlice (suborder Oniscoidea). Geophila predators are typical (order Geophilomorpha) as well as drupes (order Lithobiomorpha) and saprophages – earthworms, among which D. schmidtii dominates are typical for humus and mineral horizons. The under-crown areas of hornbeam show the greatest variety of litter and soil macrofauna. Representatives of Lithobiomorpha, Pseudoscorpionida orders, and fam. Pholcidae and Staphylinidae as well as epigeic species of fam. Lumbricidae – D. hortensis are typical for the litter. In the soil, the larvae of snapping beetles (fam. Elateridae) and dipterans (subor-
der Diptera) are numerous, as well as predatory centipedes of Scolopendrida order and earthworms, among which D. schmidti dominates; also, a single anecic species D. mariupilopis was found. Under the beech crowns, millipedes, woodlice and drupes dominate in the litter; in the soil, geophiles, larvae of snapping beetles, and earthworms are few in number – singular individuals of endogeic species D. schmidti and A. jassensis are found in soil.

The area of canopy gaps ranges from 240 to 340 m². The gaps are 10 to 15 years old. The stand of gaps periphery is dominated by Carpinus betulus, Fagus orientalis, whereas Abies nordmanniana is found as admixture. Populus tremula, Quercus hartwissiana, Acer platanoides, and Alnus glutinosa are rare. The projective cover of the stand layer ranges from 10 to 30%. The undergrowth is often dominated by Rhododendron luteum, Abies nordmanniana, Corylus avellana, Crataegus microphylla, etc are common species here. The projective cover of the layer varies greatly from 40 to 80%. The herb-dwarf shrub layer is well developed, the projective cover is 60 to 100%. The layer is dominated by Rubus caesius, Carex sylvatica, Dioscorea communis, Lonicera caprifolium, Rhododendron luteum, Galeopsis tetrahit, Lactuca muralis, Myosotis amoenas, etc. Common moss species are Anomodon attenuatus, Atrichum undulatum, Leucodon sciuroides, Plagiommium medium, etc. The projective cover of the moss layer varies from 3 to 25%. Mosses are confined to dead wood, elevations near the tree trunk, mounds and stumps.

In the canopy gaps of the beech-fir-hornbeam forests, the full ontogenetic spectrum was observed for the cenopopulations of Abies nordmanniana, Acer campestre, A. lactum, Carpinus betulus, Cerasus avium, Crataegus monogy- na, Fagus orientalis, Fraxinus excelsior, Pyrus caucasia, Quercus hartwissiana, and Tilia begoniiolat. The invasive type of spectrum was found for Alnus glutinosa, Castanea sativa, and Sorbus torminalis. The intermittent spectrum – for Acer platanoides and Populus tremula, fragmented – for Acer pseudoplatanus, Populus tremula and Ulmus glabra (Fig. 2). Thus, the beech-fir-hornbeam type of forest enjoys the regeneration of the largest number of tree species in comparison with other types of forests.

The macrofauna of the beech-fir-hornbeam forest gaps includes 14 taxa (orders and families), while the total number does not differ significantly from the number in the under-crown areas (Table 1), and the biomass is 2 times higher as compared to the under-crown areas (Table 2). The biomass of all trophic groups of invertebrates, i. e. saprophages, predators, phytophages, and mixophages is significantly higher in gaps (Table 2). The number of litter dwellers is higher than that of soil (Fig. 5), while the population of soil on the contrary exceeds that of litter in terms of biomass (Fig. 7). Saprophages such as woodlice, gastropods, millipedes, and epigeic earthworms are numerous in litter. Predators are less common and are mostly represented by rove beetles and ground beetles. Among phytophages, imagines of leaf beetles and larvae of lamellicorn beetles are found. Soil is mostly inhabited by saprophages, among which earthworms are predominant: endogeic species D. schmidti and A. jassensis and anecic species D. mariupilopis are numerous. Millipedes, fly larvae, and larvae of crane flies (fam. Tipulidae) were also seen which were not found in under-crown areas.

4.3. Fir-beech forest type

In the under-crown areas, the stand is only codominated by Fagus orientalis and Abies nordmanniana. Acer platanoides and Carpinus betulus are found as admixtures. The upper sub-layer of the stand is represented exclusively by Fagus orientalis, while the lower one is represented by Abies nordmanniana with a small admixture of Fagus orientalis. The projective cover of the stand is 90-95%. The undergrowth layer is dominated by Abies nordmanniana and Fagus orientalis. Ribes petraeum, Ilex colchica, and Rhododendron ponticum are found as singular trees. The projective cover of the layer ranges from 5 to 20%. The herb-dwarf shrub layer is a dead-soil layer, the projective cover is 5-7%. Common species are Abies nordmanniana, Acer platanoides, and Fagus orientalis. Singular plants of Cephalanthera rubra, Dryopteris filix-mas, Fraxinus excelsior, Moehringia trinervia, Monotropa hypopitys, Neottia nidus-avis and Tilia begoniiolat are found. The moss layer is localized on elevations near tree trunks, on tree trunks, deadwood, and soil. The projective cover is 5-10(12)%. Common species are Isothecium alopecuroides, Neckera complanata, Euryhynchium angustirete, Hypnum cupressiforme, Leucodon sciuroides and Ulota crispa.

Only the cenopopulation of Abies nordmanniana has the full ontogenetic spectrum in the under-crown area of the fir-beech forest. The cenopopulation of Fagus orientalis has an intermittent spectrum with no virginal individuals. The intermittent spectrum was also observed for the Carpinus betulus cenopopulation. Plants of this species with reduced vitality are found very rarely on the area of former gaps. Due to the high growth rate, hornbeam quickly occupies vacant areas. The invasive type is observed in Acer platanoides and Tilia begoniiolat, cenopopulations of Fraxinus excelsior, Betula pubescens, Cerasus avium, and Populus tremula have the fragmentary type of spectrum (Fig. 2). The undergrowth of the above species dies early due to the lack of light.

The composition of macrofauna in the fir-beech forest type is characterized by the smallest variety of taxonomic groups, and the smallest number (Table 1), but the biomass of macrofauna in this forest type is significantly higher than in other forest types (Fig. 6; Table 2). Representatives of 15 families and orders were found in the under-crown areas (Table 1). Among trophic groups, sap-
In the studied types of coniferous-deciduous forests of the northwestern Caucasus, the regeneration of tree cenopopulations is much more effective in gaps as compared to under-crown areas. In aspen-hornbeam forests, in under-crown areas the regeneration of 11 tree species was recorded, of which cenopopulations of only 2 species had full ontogenetic spectra with a steady cycle of generations, in the gaps there were 15 species, of which 10 species had full ontogenetic spectra; in the beech-fir-hornbeam forests, in under-crown areas the regeneration of 14 tree species was recorded, with the full ontogenetic spectrum of cenopopulations of only two species, whereas in gaps there were 18 tree species, of which 11 tree species had full ontogenetic spectrum; in old-aged fir-beech forests, in under-crown areas the regeneration of 9 tree species was recorded, of which only cenopopulations of *Abies nordmanniana* had a steady cycle of generations, in the gaps there were 12 species, with the full ontogenetic spectrum of cenopopulations of only two species, whereas in gaps there were 18 tree species, of which 11 tree species had full ontogenetic spectrum; in old-aged fir-beech forests, in under-crown areas the regeneration of 9 tree species was recorded, of which only cenopopulations of *Abies nordmanniana* had a steady cycle of generations, in the gaps there were 12 species, with the full ontogenetic spectrum of cenopopulations of 6 tree species (Fig. 2). It is important to note that in all the studied types of coniferous-deciduous forests of the northwestern Caucasus, gaps are not only the place of successful regeneration of a much larger number of tree species cenopopulations, but also the density of tree undergrowth of different species in the gaps is more than 10 times higher than in under-crown areas. The significant role of gaps in the successful regeneration of tree species was noted in oak-pine forests in the United States (Schu-

**5. Discussion**

In the studied types of coniferous-deciduous forests of the northwestern Caucasus, the regeneration of tree cenopopulations is much more effective in gaps as compared to under-crown areas. In aspen-hornbeam forests, in under-crown areas the regeneration of 11 tree species was recorded, of which cenopopulations of only 2 species had full ontogenetic spectra with a steady cycle of generations, in the gaps there were 15 species, of which 10 species had full ontogenetic spectra; in the beech-fir-hornbeam forests, in under-crown areas the regeneration of 14 tree species was recorded, with the full ontogenetic spectrum of cenopopulations of only two species, whereas in gaps there were 18 tree species, of which 11 tree species had full ontogenetic spectrum; in old-aged fir-beech forests, in under-crown areas the regeneration of 9 tree species was recorded, of which only cenopopulations of *Abies nordmanniana* had a steady cycle of generations, in the gaps there were 12 species, with the full ontogenetic spectrum of cenopopulations of 6 tree species (Fig. 2). It is important to note that in all the studied types of coniferous-deciduous forests of the northwestern Caucasus, gaps are not only the place of successful regeneration of a much larger number of tree species cenopopulations, but also the density of tree undergrowth of different species in the gaps is more than 10 times higher than in under-crown areas. The significant role of gaps in the successful regeneration of tree species was noted in oak-pine forests in the United States (Schu-
mann et al., 2003), beech-dominated forests in Denmark (Ritter et al., 2005), beech forests of Iran (Haghverdi et al., 2012), tropical forests of Costa Rica, where experimental felling with the creation of gaps resulted in successful development of undergrowth, especially that of light demanding species (Dupuy & Chardon, 2008).

As can be seen from the graph (Fig. 8), the highest species density of vascular plants (the number of species per 400 m²) in the under-crown areas of the studied forest types is found for the aspen-hornbeam and beech-fir-hornbeam forest, the lowest – for old-aged fir-beech forests. Low species density of the latter is due to high closure of the tree canopy and, as a result, almost complete absence of ground cover. In the fir-beech type of forest, the height of the tree canopy reaches 60 m. The tree stand is represented by two distinct sub-layers: the lower one is 20-30 m and the upper one is 50-60 m high. The upper sub-layer is formed by Fagus orientalis, and the lower one – by Abies nordmanniana, which leads to strong shading and the formation of dead-soil communities. In the aspen-hornbeam and beech-fir-hornbeam types of forest, due to the decay of the first generation of tree species, the community is well lit, so there are more favourable conditions for the settlement of light demanding flora of vascular plants, mosses and liverworts.

In the gaps of all types of forest, species density is almost two or more times higher than in under-crown communities, which is explained by good light factor and high soil moisture, as the stand does not intercept precipitation. These two factors are usually listed as determining a significant increase in the species richness of plants in gaps in comparison with under-crown areas (Denslow & Spies, 1990). Previous studies showed conclusively that species density of plants is positively correlated with the size of the gap, which determines the amount of light in the first place (Schumann et al., 2003; Ritter et al., 2005; Haghverdi et al., 2012). Our research has shown that not only the size of the gap but also the height of the stand on the periphery of the gaps determines the species density of plants: in the gaps of old-aged fir-beech forests, species density is significantly lower as compared to the gaps of aspen-hornbeam and beech-fir-hornbeam forests due to the high height of the tree canopy (up to 50-60 m) along the periphery of the gaps in fir-beech forests, which creates strong lateral shading and diasporic isolation.

The diagram (Fig. 9) shows vectors of environmental factors whose length and direction reflect the degree of correlation of factors with axes but are not regression lines in the strict sense. The highest correlation with the first DCA axis (p<0.005) is shown by the indicators of light factor (r=-0.96), continentality (r=-0.87), soil humus content (r=0.64), soil pH (r=-0.63), and thermal factor (r=-0.58). There is no significant strong correlation with the second axis. Only soil nitrogen content showed correlation with the third axis (r=0.55).

Results of the multi-dimensional analysis of geobotanical descriptions of communities of under-crown areas and gaps in dominant forest types on the ecological scale of

Figure 8. Plant species richness in under-crown areas (1, 3, 5) and canopy gaps (2, 4, 6) of coniferous-deciduous forests of the northwestern Caucasus (1–6 plant community numbers as in Fig. 2)
Landolt (1977) clearly showed that aspen-hornbeam and beech-fir-hornbeam forests have the highest similarity in the under-crown area and gaps: they have a higher proportion of light demanding, heat-loving species that prefer a more neutral and nitrogen-rich soil compared to communities of fir-beech forests. At the same time, in the under-crown area and gaps of fir-beech forests, the share of light demanding species is low whereas the share of plant species that prefer soil with high humus and moisture content is higher, which is also noted for moisture-loving groups of invertebrates.

The ecological-coenotic structure of all dominant types of coniferous-deciduous forests of the northwestern Caucasus in under-crown area and gaps in terms of the number of species is clearly dominated by nemoral species (50 to 80% of all species), forming the core of the local flora. Boreal species (from 8 to 25%) are in second place in terms of species number in the under-crown area, whereas in gaps it is the meadow-forest edge species (from 12 to 25%) and nitrophilic species (from 5 to 11%). The share of other groups is insignificant (Fig. 10).

It is important to note that only gap communities in all types of forest include the group of near-water plant species. This is due to the fact that the ground cover in the gaps receives more moisture from precipitation than that under the canopy, where precipitation is intercepted by tree crowns. In the gaps, large puddles are often formed and remain for a long time, leading to hydrogenic conditions. For example, in the composition of the flora in gaps, such near-water species as *Alisma plantago-aquatica*, *Juncus effusus*, *Cardamine tenera*, *Galeopsis tetrahit*, *Ranunculus repens*, etc. are common. And in the composition of the soil macrofauna, even larvae of caddisflies (order Trichoptera) are found.

Due to the fact that soil moisture supply is the most important factor for the functioning of soil invertebrates, especially water-loving saprophages, in the gaps of all types of forest, the saprophage biomass is significantly higher than in under-crown areas. This is most pronounced in old-aged fir-beech forests where much of the precipitation is intercepted by tree crowns. Studies of the influence of tree gaps on the distribution of earthworms in the beech forests of Iran (Kooch & Hosseini, 2010; Kooch & Haghverdi, 2014) show that the density and biomass of earthworms decreases as the gaps size increases, and often, the density of earthworms may be higher under the tree canopy, which

![Figure 9](image.png)
The role of canopy gaps in maintaining biodiversity of plants and soil macrofauna in the forests is explained by the authors by faster drying of the soil in open spaces as compared to the closed ones. In our research, the opposite patterns were seen. This is most likely due to the fact that in the climate of Iran, most of the precipitation falls from September to November (Kooch & Haghverdi, 2014), while the total amount of precipitation during the year is 1.7 times lower (720 mm annual rainfall) than in the forests under our research and, moreover, summers are often dry. In the forests of the northwestern Caucasus we studied, the maximum precipitation falls from May to July during the optimal temperature for the soil fauna activity; there are no sharp fluctuations between the seasons and the total amount of precipitation is at least 1.200 mm of annual rainfall. This uniform humidification creates more favourable conditions for moisture-loving groups of flora and fauna in the gaps as compared to closed under-crown areas.

In the under-crown area, the largest number of ecological-coenotic groups of plants (Fig. 10) was found in beech-fir-hornbeam forests (all 6 selected groups). As mentioned above, in these forests, the first post-harvest generation of trees disintegrates and the ground cover lightens, accompanied by an increase in species richness. In the under-crown area of fir-beech forests, on the contrary, a marked decline in the number of ecological-coenotic groups (up to 2 – nemoral and boreal species) is found that is connected with a strong shading of ground vegetation by trees canopy and formation of dead-soil oligodominant communities. It should be noted that the gaps of all dominant forest types have the maximum number of ecological-coenotic groups of plants (all 6 groups), which is an important feature of gap plant communities as compared to the communities of the under-crown area.

Taxonomic diversity of soil macrofauna in under-crown areas and floristic richness are highest in aspen-hornbeam and beech-fir-hornbeam forests and lowest in fir-beech forests. It is known that the more diverse is the forest stand composition, the higher is the taxonomic and functional diversity of the soil fauna (Cesarz et al., 2007; Sariyildiz & Küçük, 2008). The composition of litter determines not only trophic conditions for invertebrates (high content of available nutrients, biogenic elements, low acidity, etc.), but also topical conditions: slowly decomposing litter serves as a favourable habitat for the litter fauna, therefore mixed litter of the litter fall of different quality in beech-fir-hornbeam forests is more favourable for a larger number of invertebrates. However, in gaps, in contrast to the floristic diversity of all forest types, the taxonomic diversity of macrofauna is reduced; functional diversity, however, is preserved: all the main trophic groups of macro-saprophages are present in gaps, and gaps are an important

![Figure 10. The ratio of eco-cenotic groups of plants of different forest types in under-crown areas (1, 3, 5) and canopy gaps (2, 4, 6). Note: 1–6 plant community numbers as in Fig. 2.](image-url)
element of the forest mosaic which are in the first place important for the functioning of large soil saprophages – earthworms, which process the plant litter. Only gaps have a high biomass of anecic earthworms which are important ecosystem engineers (Lavelle et al., 1997; Eisenhauer et al., 2008; Kooch & Jalilvand, 2008) and are rarely found in forest communities, being represented usually only in old-aged virgin forests (Geraskina, 2019).

6. Conclusions

Thus, it has been found that in the canopy gaps of the studied types of coniferous-deciduous forests of the northeastern Caucasus, the species density of plants is almost two or more times higher than that in the under-crown area due to good light factor and high soil moisture, since the stand does not intercept the rainfall. Regeneration of tree cenopopulations in all types of forest is much more effective in canopy gaps compared to under-crown areas. The density of undergrowth of different types of trees in the canopy gaps is more than 10 times higher than that in the under-crown areas. The maximum number of ecological-coenotic groups of plants is marked in the canopy gaps of all types of forest. All major trophic groups of macrofauna live in the canopy gaps as well as in under-crown areas, but their biomass in gaps is significantly higher than in under-crown areas. Due to the fact that soil moisture supply is also an important factor for the functioning of macrofauna, especially water-loving saprophages, the average saprophages biomass in gaps of all types of forest is 2 times higher than in under-crown areas. Only the gaps have a high biomass of anecic earthworms, which are rarely found in forest communities, and contribute greatly to the transformation of plant litter.

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