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Privacy-Preserving Two-Party Skyline Queries over Horizontally Partitioned Data

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Abstract. Skyline queries are an important type of multi-criteria analysis with diverse applications in practice (e.g., personalized services and intelligent transport systems). In this paper, we study how to answer skyline queries efficiently and in a privacy-preserving way when the data are sensitive and distributedly owned by multiple parties. We adopt the classical honest-but-curious attack model, and design a suite of efficient protocols for skyline queries over horizontally partitioned data. We analyze in detail the efficiency of each of the proposed protocols as well as their privacy guarantees.

1 Introduction

Given a set of multi-dimensional vectors, a skyline query [8] is to find all the vectors that are not dominated by any other vector. Skyline queries are widely used in multi-criteria decision making applications, for example, personalized services, intelligent transport systems, location-based services and urban planning.

In this paper, we study how to answer skyline queries over sensitive data distributedly owned by multiple parties who do not fully trust each other. Similar to many past efforts on other data analysis tasks [1,25,28,29], by adopting a secure multi-party computation setting, our goal is to design efficient and privacy-preserving distributed protocols to enable multiple data owners to collaboratively compute skyline queries without revealing their private inputs. Due to space limit, we focus on horizontally partitioned data where similar information about different individuals is collected in different organizations.

Our approach for privacy-preserving skyline queries over horizontally partitioned data utilizes some existing secure protocols for basic operations, such as secure comparison protocols [10,30], secure vector dominance protocols [3,19,20], secure permutation protocols [12], secure equality-testing protocols [13,26], and secure multi-to-sum protocols [26,27]. To securely compute skyline queries, the secure protocol needs to address two problems: (1) how to securely determine whether a vector in one party dominates a vector in the other party? (2) how to securely apply the protocol of (1) to the set of vector pairs formed by pairing a vector \( V_1 \) in one party \( A \) with each vector in the other party \( B \), with the goal of determining whether \( V_1 \) is dominated by any vector in party \( B \) or not. To
address these two problems, straightforward compositions of such basic building blocks would not offer viable solutions. For example, existing techniques propose secure protocols to perform vector dominance checking, but they cannot be applied directly to address the first problem. The reason is that vector dominance requires each dimensional value in a vector \( V_1 \) to be strictly better than the corresponding dimensional value in another vector \( V_2 \), while the dominance used in skyline queries (referred to as skyline dominance) allows some dimensional values but not all values in \( V_1 \) to be equal to the corresponding dimensional values in \( V_2 \). Further, to address the second problem, simply invoking the protocol for the first problem for each pair of vectors when answering skyline queries would unnecessarily reveal sensitive intermediate results, i.e., \( V_1 \) is dominated or not dominated by certain vector in the other party.

To address these challenges, we propose three secure protocols. The major novelty of our protocols lies in the following aspects. First, to address the first problem, we propose a novel protocol, enhanced vector dominance protocol (EVDP). Our insight is that for two \( d \)-dimensional vectors \( V_1 \) and \( V_2 \) that are not equal in every dimension, we can obtain the same dominance results for vector dominance and skyline dominance if we improve every value in \( V_1 \) by a fixed value. Therefore, EVDP improves every value in \( V_1 \) by a fixed value to obtain \( V'_1 \), and applies a vector dominance protocol over \( V'_1 \) and \( V_2 \) to securely obtain the skyline dominance results of \( V_1 \) and \( V_2 \). Second, built upon EVDP, we propose three 1-to-N skyline dominance protocols (\( \text{HP.1, HP.2, and HP.3} \)) to address the second problem. These protocols determine whether a vector in one party is dominated by any of the \( N \) vectors in the other party. By applying these protocols on every vector in each party, we can securely compute the final skyline results without disclosing non-skyline results.

These three proposed protocols provide different levels of protection of the intermediate computation results with different communication and computation costs. \( \text{HP.1} \) applies EVDP to determine whether a vector \( V_a \) in party \( A \) is dominated by any of the \( n \) vectors \( V_b_1, \ldots, V_b_n \) in party \( B \), and terminates whenever a dominance is found or when all vectors in party \( B \) are compared with. To prevent the disclosure of which vector in party \( B \) dominates \( V_a \), \( \text{HP.1} \) also employs the secure permutation protocol to permute the order of the vectors in party \( B \). However, such protocol still discloses the intermediate results about at least how many vectors in \( B \) do not dominate \( V_a \). To prevent such disclosure, \( \text{HP.2} \) and \( \text{HP.3} \) improve over \( \text{HP.1} \) with different additional costs. \( \text{HP.2} \) requires \( O(d \cdot 2^n) \) invocations of the multi-to-sum protocol, while \( \text{HP.3} \) requires \( O(d \cdot n) \) invocations of the multi-to-sum protocol for \( d \)-dimensional vectors and \( O(n) \) invocations of the secure comparison protocol. When \( n \) is relatively small, \( \text{HP.2} \) and \( \text{HP.3} \) have comparable costs, and \( \text{HP.3} \) is preferred when \( n \) becomes larger.

2 Skyline Queries

Skyline queries are an important class of preference queries supporting multi-criteria decision making. A skyline query over a \( d \)-dimensional data set \( S \) returns
a subset of $S$ containing $d$-dimensional vectors that are not dominated by any other vector in $S$. We assume the existence of a total order relationship on each dimension, and refer to the dominance relationship in skyline queries as skyline dominance:

**Definition 1 (Skyline Dominance).** Given two vectors $V_1 = (a_1, \ldots, a_d)$ and $V_2 = (b_1, \ldots, b_d)$, if for $i = 1, \ldots, d$, $a_i \succeq b_i$ and $\exists j$, $a_j \succ b_j$, we say that $V_1 \succ^s V_2$, where $\succ^s$ denotes skyline dominance, $\succeq$ denotes better than or equal to, and $\succ$ denotes better than.

Consider the following example of skyline queries. Assume that a user wants to book a hotel for a conference with the goals of inexpensive price and short distance to the conference venue. Consider four hotels $A$ ($200$, $5$ miles), $B$ ($150$, $2$ miles), $C$ ($120$, $3$ miles), and $D$ ($150$, $1$ mile). Hotel $C$ is clearly a better candidate than $A$ because $C$ is cheaper and closer to the venue. Therefore, we say that $C$ dominates $A$. Also, $D$ dominates $B$ since $D$ is as expensive as $B$ but is closer to the venue. However, $C$ does not dominate $B$ since $B$ is closer than $C$ to the venue. Therefore, the skyline results are $\{C, D\}$.

In a straightforward approach for skyline queries, such as Block-Nested-Loops [8], a skyline vector needs to be compared against all other vectors to ensure that no other vectors dominate it. Without loss of generality, we assume that the domain of each dimension is $I$ (the integer domain) and the larger the better, i.e., a bigger value dominates a smaller value. Each dimension can be encoded with $l$ bits, and its value ranges from $0$ to $2^l - 1$.

### 2.1 Horizontally Partitioned Data

We formally define skyline queries over the vectors distributed in two parties, i.e., horizontally partitioned data (HPD):

**Definition 2 (Skyline Queries over HPD).** Party $A$ has $m$ vectors $V_a = (V_{a,1}, \ldots, V_{a,m})$ and party $B$ has $n$ vectors $V_b = (V_{b,1}, \ldots, V_{b,n})$. Vectors in both parties have the same $d$ skyline attributes. A skyline query over HPD returns a set of vectors $\{V_i | V_i \in V_a \cup V_b, \nexists V_j \in V_a \cup V_b, s.t. V_j \succ^s V_i\}$.

To compute skyline queries over HPD, a straightforward approach is to create a list of all the vectors from two parties and compute skyline vectors over the list of the vectors. A more efficient approach is to compute skyline vectors first in each party (referred to as local skyline vectors) and then compute global skyline vectors from the local skyline vectors, since local non-skyline vectors are guaranteed not to be global skyline vectors.

**Application Scenario.** A state fellowship is looking for high school student candidates that have high GPA, high SAT score and high ACT score. Since the candidates are distributed over different high schools within a state, secure skyline queries over the data across different schools are required. The scores of those students that are not selected for the fellowship should not be revealed. To securely select the candidates, high schools can perform privacy-preserving skyline queries with GPA, SAT and ACT scores as the skyline attributes.
2.2 Threat Model

We consider the semi-honest adversarial model, where both parties are honest but curious. Each party knows only its own vectors. The final skyline results are known to both parties. Given a secure protocol that computes the final skyline results, both parties strictly follow the protocol specifications but are willing to learn any information leaked during execution in order to compromise privacy.

3 Secure Multi-Party Computation Building Blocks

Secure multi-party computation (SMC) \cite{14,30} is a framework that allows multiple parties to perform rich data analytics over their private data without revealing any information other than the output \cite{2,3,20,21}. We next present some basic SMC protocols that we use in our proposed protocols.

Secure Comparison Protocol. Secure comparison is an important problem in cryptography and its solution serves as a primitive operation in many SMC problems. The goal of this problem is to solve the inequality between two numbers $a$ and $b$, i.e., whether $a \geq b$, without revealing the actual values of $a$ and $b$. Cachin \cite{10} proposed a scheme where a semi-trusted third party provides a means for two bidders to determine whose bid is higher in zero knowledge. This scheme assumes that two bidders do not collude with each other. The communication complexity of Cachin’s scheme is linear.

Secure Permutation Protocol. A secure permutation protocol is to permute the values in a vector without revealing the values of the vector and the permutation. A typical scenario is that party $A$ has a vector $V_A = (a_1, \ldots, a_d)$ and party $B$ has a private permutation $\pi$ and a random vector $R = (r_1, \ldots, r_d)$. A secure two-party permutation protocol enables party $A$ to obtain $\pi(V_A + R)$ without party $A$ learning $\pi$ or $R$; Party $B$ also should not learn about $V_A$. Existing research \cite{12} introduces a representative secure protocol based on a homomorphic public key system. The working mechanism is as follows: firstly, party $A$ generates a key pair for a homomorphic public key system and sends the public key to party $B$. Using the public key, party $A$ then encrypts $V_A$ and sends the result $E(V_A)$ to party $B$. Party $B$ computes $E(V_A) \cdot E(R) = E(V_A + R)$, permutes $E(V_A + R)$ using $\pi$, and then sends the result $\pi(E(V_A + R))$ to party $A$. Party $A$ decrypts $\pi(E(V_A + R))$ by the private key and gets $D(\pi(E(V_A + R))) = \pi(D(E(V_A + R))) = \pi(V_A + R)$.

Secure Equality-Testing Protocol. An equality-testing protocol is to determine the equality between two numbers without revealing the values of two numbers. A typical solution is to apply a commutative encryption scheme to achieve secure equality testing. \cite{13,20}.

Secure Vector Dominance Protocol. Suppose party $A$ has a vector $V_A = (a_1, \ldots, a_d)$ and party $B$ has a vector $V_B = (b_1, \ldots, b_d)$. One existing protocol \cite{3,13,20} is as follows: first, party $A$ and party $B$ use an input disguise method to get a randomized input: $V'_A = (a'_1, \ldots, a'_{id})$ and $V'_B = (b'_1, \ldots, b'_{id})$. Such a disguise makes sure that for values in $V'_A$ and $V'_B$, there will be the same number of $a'_i > b'_i$.
situations as that of \(a'_i < b'_i\) situations. Let \(Z = (1, \ldots , 1, 0, \ldots , 0)\), where the first \(2d\) values are all 1 (indicating \(a'_i > b'_i\)) and the remaining \(2d\) values are all 0. Second, party \(B\) generates a random permutation \(\pi\) and a random vector \(R\). Party \(A\) computes \(V'_A'' = \pi(V'_A + R)\) using the secure permutation protocol while party \(B\) computes \(V''_B = \pi(V'_B + R)\) and \(Z' = \pi(Z)\). Third, party \(A\) and party \(B\) use a secure comparison protocol, such as Yao’s Millionaire protocol, to compare \(V''_A\) and \(V''_B\) where \(i = 1, \ldots , 4d\). Party \(A\) holds all the comparison results, \(U = (u_1, \ldots , u_{4d})\). If \(V''_A > V''_B\), where \(i = 1, \ldots , 4d\), \(u_i = 1\), otherwise, \(u_i = 0\). Fourth, party \(A\) and party \(B\) use a secure equality-testing protocol to compare \(U\) with \(Z'\). If \(U = Z'\), indicating \(a'_i > b'_i\) for \(i = 1, \ldots , 2d\), then \(V_A\) dominates \(V_B\). Otherwise, \(V_A\) does not dominate \(V_B\).

**Secure Multi-to-Sum Protocol.** The multi-to-sum protocol [26][27] is to convert the multiplicative sharing of a secret \(s\) to the additive sharing of \(s\). Assume there is a secret \(s\) over a ring \(R\) and \(s = a \cdot b = x + y\). **Pair** \((a, b)\) is called the multiplicative sharing of \(s\). **Pair** \((x, y)\) is called the additive sharing of \(s\). Initially, party \(A\) holds \(a\) while party \(B\) holds \(b\) such that \(a \cdot b = s\). After executing the multi-to-sum protocol, party \(A\) holds \(x\) and party \(B\) holds \(y\) such that \(x + y = s\), with no information leaked to any of them about \(s\) or the multiplicative sharing \(a\) and \(b\).

4 Privacy-preserving Skyline Queries

4.1 Enhanced Vector Dominance Protocol (EVDP)

Existing work proposes a secure vector dominance protocol (VDP) that securely computes the vector dominance of two \(d\)-dimensional vectors, but the definition of vector dominance is more strict than skyline dominance.

**Definition 3 (Vector Dominance).** Given two vectors \(V_1 = (a_1, \ldots , a_d)\) and \(V_2 = (b_1, \ldots , b_d)\), if for all \(i = 1, \ldots , d\), \(a_i \succ b_i\), then we say that \(V_1 \succ V_2\), where \(\succ\) denotes vector dominance and \(\succ\) denotes better than.

Table 1 illustrates the differences between vector dominance and skyline dominance using 2-dimensional vectors. As we can see from the second column \((2, 1) \succ (1, 1)\), vector dominance and skyline dominance return different values when (1) every value in \(V_a\) is not worse than the corresponding value in \(V_b\) and at least one value in \(V_a\) is better than the corresponding value in \(V_b\) and (2) at least one and at most \(d - 1\) values in \(V_a\) are the same as the corresponding values in \(V_b\). Due to such differences, we cannot directly apply secure vector dominance protocols to answer skyline queries.

To address this problem, we propose an enhanced vector dominance protocol (EVDP), which adapts VDP to support skyline dominance. EVDP accepts as input two vectors \(V_a = (a_1, \ldots , a_d)\) and \(V_b = (b_1, \ldots , b_d)\), and improves \(V_b\) in every dimension to obtain \(V'_b\): \(V'_b = V_b + (1, \ldots , 1) = (b_1 + 1, \ldots , b_d + 1)\). By performing VDP on \(V'_b\) instead of \(V_b\), EVDP obtains the same dominance results as skyline dominance except when \(V_a\) and \(V_b\) are exactly the same, as shown in the first column in Table 1. In other words, if we assume that \(V_a\) and
Table 1: Differences between Vector Dominance and Skyline Dominance

| Input: \( V_a, V_b \) | \((1, 1) \succ (1, 1)\) | \((2, 1) \succ (1, 1)\) | \((2, 2) \succ (1, 1)\) | \((2, 0) \succ (1, 1)\) |
|---------------------|-----------------|-----------------|-----------------|-----------------|
| Vector Dominance    | \( F \)         | \( F \)         | \( F \)         | \( F \)         |
| Skyline Dominance   | \( F \)         | \( T \)         | \( T \)         | \( F \)         |
| Enhanced Vector Dominance | \( T \)   | \( T \)         | \( T \)         | \( F \)         |

\( V_a \) are not the same in every attribute (referred to as the inequality assumption), then the results of EVDP is the same as skyline dominance’s.

Checking the inequality assumption allows both parties to know that they have a set of common vectors. We next analyze how such disclosure can be used to infer side information about the other party. First, if a vector \( V_1 \) in party \( A \) and a vector \( V_2 \) in party \( B \) are equal, there exists no vector in either party dominating \( V_1 \) or \( V_2 \), and \( V_1 \) and \( V_2 \) must belong to the final skyline results, which are known to both parties. Such disclosure cannot help a party infer the values of the remaining vectors in another party. Second, ideally, even if party \( A \) sees a vector of its local skyline results \( V_1 \) is in the final results, it should not know whether party \( B \) has the same vector. Thus, knowing the existence of \( V_2 \) can be used by \( A \) to infer that the local skyline results of party \( B \) do not contain the vectors that can be dominated by \( V_2 \). However, such disclosure cannot be directly used by \( A \) to infer the complete data distribution of \( B \)’s vectors.

To securely test the inequality assumption, we adopt equality-testing protocol, which leverages homomorphic encryption to securely identify from two parities all the vectors that have the same attribute values. For these identified vectors, we can safely output them as the final skyline results. Also, since these vectors do not dominate any other vector \( V' \) in the local skyline results (otherwise \( V' \) would not appear in the local skyline results), we can safely exclude them from the skyline dominance testing against the remaining vectors.

For computing the final skyline results from the remaining vectors, we propose three secure protocols based on EVDP.

4.2 1-to-N Skyline Dominance

As discussed earlier, a straightforward protocol that applies EVDP on each pair of vectors in both parties discloses significant intermediate results. To prevent such disclosure, we define 1-to-N skyline dominance as the primitive operation in privacy-preserving skyline queries over HPD.

**Definition 4 (1-to-N Skyline Dominance).** Party \( A \) has one vector \( V_a = (a_1, \ldots, a_d) \) and party \( B \) has \( n \) vectors \( V_{b_1} = (b_{1,1}, \ldots, b_{1,d}), \ldots, V_{b_n} = (b_{n,1}, \ldots, b_{n,d}) \). 1-to-N skyline dominance returns false if \( \exists V_{b_i} \) s.t. \( V_{b_i} \succ V_a \); otherwise, returns true.

Based on this definition, we propose three secure horizontal 1-to-N skyline dominance protocols, referred to as \( \text{HP.1} \), \( \text{HP.2} \), and \( \text{HP.3} \), which securely compute skyline dominance between a vector \( V_a \) in Party \( A \) and \( n \) vectors in party \( B \). To
obtain the final skyline results, these protocols are applied $m+n$ times on each vector in parties $A$ and $B$. One party can easily learn the number of vectors in the local skyline results in the other party (i.e., $m$ or $n$) during the computation. To prevent the disclosure of $m$ and $n$, both parties can generate random number of dummy vectors that are guaranteed to be pruned in the computation of final skyline results. The dummy vectors can be generated by randomly selecting out-of-domain values. For example, when each element in a vector is in the range $[0, 1000)$, the dummy vectors can be generated within the range $(-\infty, 0)$. We next present the details of the three protocols.

4.3 Secure Horizontal 1-to-N Skyline Dominance Protocol (HP.1)

To determine whether $V_a$ is dominated by any of the $n$ vectors from party $B$ (1-to-N Skyline Dominance), HP.1 first pairs $V_a$ with each of the vectors in party $B$ and gets pairs of vectors $(V_a, V_{b_1}), \ldots, (V_a, V_{b_n})$. The steps of HP.1 are shown in Algorithm 1. To securely compute skyline queries over HPD, HP.1 prevents two types of disclosure: (1) for each pair $(V_a, V_b)$, which values and how many values in $V_b$ dominate the corresponding values in $V_a$ (Steps 1-4); (2) which vector in $\{V_{b_1}, \ldots, V_{b_n}\}$ dominates $V_a$ (Steps 5-6).

In Step 1, party $B$ prepares each of its vectors for EVDP by increasing the values in the vectors.

Steps 2-4 include input disguise, secure permutation and secure comparison, which are adapted from VDP. In Step 2, both parties $A$ and $B$ disguise their vectors to prevent the disclosure of how many values in $V_b$ are better than the values in $V_a$. We adopt the disguise algorithm based on [3]: given party $A$’s vector $V_a = (a_1, \ldots, a_d)$, it generates a $4d$-dimension vector $V_a’ = (a_1’, \ldots, a_d’)$, such that

\[
\begin{align*}
    a_1’ &= 2a_1, & a_d’ &= 2a_d,(1) \\
    a_{d+1}’ &= 2a_1 + 1, & \vdots & & a_{d+1}’ &= 2a_1 + 1, (2) \\
    a_{2d+1}’ &= -2a_1, & \vdots & & a_{2d+1}’ &= -2a_1, (3) \\
    a_{3d+1}’ &= -(2a_1 + 1), & \vdots & & a_{3d+1}’ &= -(2a_1 + 1). (4)
\end{align*}
\]

Given party $B$’s vector $V_{b_i} = (b_{i,1}, \ldots, b_{i,d})$, it generates a $4d$-dimension vector $V_{b_i’} = (b_{i,1}’, b_{i,2}’, \ldots, b_{i,4d}’)$, such that

\[
\begin{align*}
    b_{i,1}’ &= 2b_{i,1} + 1, & \vdots & & b_{i,d}’ &= 2b_{i,d} + 1,(5) \\
    b_{i,d+1}’ &= 2b_{i,1}, & \vdots & & b_{i,d+1}’ &= 2b_{i,1}, (6) \\
    b_{i,2d+1}’ &= -(2b_{i,1} + 1), & \vdots & & b_{i,2d+1}’ &= -(2b_{i,1} + 1), (7) \\
    b_{i,3d+1}’ &= -2b_{i,1}, & \vdots & & b_{i,3d+1}’ &= -2b_{i,1}. (8)
\end{align*}
\]

This disguise scheme has the following interesting property: for a pair $(V_a’, V_{b_i’})$, there will be the same number of $a_j’ > b_{i,j}$ situations as that of $a_j’ < b_{i,j}$ situations when $a_j = b_{i,j}$ or $a_j > b_{i,j}$ or $a_j < b_{i,j}$, Thus, we cannot infer how many values in $V_a’$ are greater than the values in $V_{b_i}$ by simply counting the
number of “1”s in the comparison vector \([3]\). Also, we can see that when the 
d-dimensional vector \(V'_a\) dominates \(V'_b\), the comparison vector must be a 4d-
dimensional vector where the first 2d attribute values are all “1” and the remaining 
2d attribute values are all “0”. Thus, we construct \(Z = (1, \ldots, 1, 0, \ldots, 0)\) in 
Step 2, which is used to determine whether \(V_a\) is dominated by \(V_b\) by comparing 
the permuted \(Z\) with the comparison vector noted as \(U\) in HP.1 (Step 6).

In Step 3, HP.1 uses the secure permutation protocol to prevent \(B\) from 
learning the order of the vectors used to perform skyline dominance checking 
with \(V_a\). Party A generates \(n\) random permutations and \(n\) random vectors. These 
permutations and random vectors are used to permute the disguised vectors \(V''_a\), 
\(V''_b\), and \(Z\). We use \(n\) random permutations instead of one to prevent adversaries 
from guessing the data distribution of the skyline attribute values.

In Step 4, for the \(i\)th pair (\(V''_a, V''_b\)), party \(B\) applies a secure comparison 
protocol to obtain comparison results \(U_i\), where \(U_i = (u_{i,1}, \ldots, u_{i,4d})\), \(u_{i,j} = 1\) if 
\(b'_{i,j} > a'_{i,j}\), and \(u_{i,j} = 0\) if \(b'_{i,j} \leq a'_{i,j}\) for \(j = 1, \ldots, 4d\). If we simply run a secure 
equality testing protocol for each pair of \(Z'_i\) in \(Z\) and \(U_i\) in \(U\), we will disclose 
the intermediate results about whether \(V_a\) is dominated by \(V_b\) or not.

To prevent disclosure of the intermediate results, HP.1 applies a secure per-
mutation protocol on \(Z\) and \(U\) (Step 5). Party A generates a new random per-
mutation \(a_{n+1}\) to obtains \(Z'_1\), and party \(B\) obtains \(U'\) by using \(a_{n+1}\). Then \(Z'_1\) 
is compared with \(U'\) by using a secure equality testing protocol: the testing 
terminates if there is any \(U'_i = Z'_i\) or none can be found.

**Example.** Assume that Party A has \(V_a = (1, 1)\), and Party B has three 
 vectors: \(V_{b_1} = (3, 1)\), \(V_{b_2} = (2, 2)\), and \(V_{b_3} = (4, 0)\). After Step 1, we have 
\(V''_b = (4, 2)\), \(V''_b = (3, 3)\), and \(V''_b = (5, 1)\). Then we perform input disguise 
(Step 2): Party A has \(V''_a = (2, 2, 3, 3, -2, -2, -3, -3)\) and \(Z\), and Party B has 
\(V''_b = (7, 7, 6, 6, -7, -7, -6, -6)\). We omit the detailed transformations of \(V''_b\) 
and \(V''_b\) due to space limitations. In Step 3, assume that \(R_2 = (1, 1, 1, 1, 1, 1, 1, 1)\) 
and \(\pi_2\) simply switches the first 4d values with the last 4d values: Party A 
has \(V''_{a,2} = (-1, -1, -2, -2, 3, 3, 4, 4)\) and \(Z'_2 = (0, 0, 0, 1, 1, 1, 1, 1)\), and Party 
B has \(V''_{b,2} = (-6, -6, -5, -5, 8, 8, 7, 7)\). In Step 4, by applying secure compar-
is on on \(V''_{a,2}\) and \(V''_{b,2}\), Party B obtains \(U_2 = (0, 0, 0, 0, 1, 1, 1, 1)\). In Step 5, 
assume that \(R_2 = (2, 2, 2, 2, 2, 2, 2)\) and \(\pi_2\) permutes \((1, 2, 3)\) to \((2, 1, 3)\): Party 
A obtains \(Z''_2 = (2, 2, 2, 2, 3, 3, 3, 3)\) and \(Z'' = (Z''_2, Z''_1, Z''_3)\), and Party B has 
\(U'_2 = (2, 2, 2, 2, 3, 3, 3, 3)\) and \(U' = (U'_2, U'_1, U'_3)\) (note that Party B does not 
know the order of items in \(U'\)). In Step 6, \(Z''_2\) and \(U'_2\) are first compared and 
since they are equal, we know that \(V_a\) is at least dominated by \(V_b\).

**Communication and Computation Cost.** HP.1’s communication cost in-
cludes the costs for applying the secure permutation protocol, the secure com-
parison protocol, and the secure equality testing protocol.

For a vector in party \(A(B)\), HP.1 needs to apply \(n + 1\) \((m + 1)\) times of 
the secure permutation protocol. We assume each dimension in a vector is an 
integer that can be encoded with \(l\) bits, and its value ranges from \(0\) to \(2^l - 1\). The 
communication cost of applying one secure permutation protocol is \(O(d \cdot l)\) as it
uses the homomorphic public key system. As HP.1 is applied \( m \) times for vectors in party \( A \) and \( n \) times for vectors in party \( B \), the cost of the secure permutation protocol is \( O(n \cdot m \cdot d \cdot l) \). The computation cost of secure permutation depends on the adopted protocol. A representative protocol (see Section \( \text{Section} \)) requires \( O(1) \) encryption/decryption, \( O(1) \) modular multiplication to obtain \( E(V_A) \cdot E(R) \), and \( O(1) \) application of the permutation \( \pi \), whose cost is \( O(d) \) for permuting values in a vector.

Algorithm 1 Secure 1-to-N Skyline Dominance Protocol (HP.1)

**Input:** Party \( A \) has one vector \( V_a = (a_1, \ldots, a_d) \) and party \( B \) has \( n \) vectors \( V_b = \left( b_{1,1}, \ldots, b_{1,d} \right), \ldots, V_{b,n} = \left( b_{n,1}, \ldots, b_{n,d} \right) \).

**Output:** Whether \( V_a \) is dominated by any of the \( n \) vectors in party \( B \) or not.

1: EVDP preparation:
   - Party \( B \): \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - \( V_{b,i} = \text{IMPROVE}(V_{b,i}) \)

2: Input disguise:
   - Party \( A \): \( V_a' = \text{DISGUISE}(V_a), Z = (1, \ldots, 1, 0, \ldots, 0)^{2d} \)
   - Party \( B \): \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - \( V_{b,i}' = \text{DISGUISE}(V_{b,i}) \)

3: Secure permutation:
   - Party \( A \): \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - Generate \( \pi_i \) and \( R_i \), \( V_a'' = \pi_i(V_a' + R_i), Z_i' = \pi_i(Z) \)
     - \( Z = (Z_1', \ldots, Z_n') \)
   - Party \( B \): \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - \( V_{b,i}'' = \text{SECUREPERMUTATION}(\pi_i, V_{b,i}', R_i)' \)

4: Secure comparison:
   - Party \( B \): \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - \( U_i = \text{SECURECOMPARISON}(V_{a,i}'', V_{b,i}'') \)
     - \( U = (U_1, \ldots, U_n) \)

5: Secure permutation:
   - Party \( A \): Generate \( \pi_{n+1} \)
     - \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
       - Generate \( R_i', Z_i'' = Z_i' + R_i' \)
       - \( Z' = \pi_{n+1}(Z_1'', \ldots, Z_n''), R = (R_1', \ldots, R_n') \)
   - Party \( B \): \( U' = \text{SECUREPERMUTATION}(\pi_{n+1}, U, R) \)

6: Secure equality testing:
   - \textbf{for} \( i = 1 \) \textbf{to} \( n \) \textbf{do}
     - if \( \text{SECUREEQUALITYTEST}(U_i', Z_i'') \) then \textbf{return} \textbf{true}
   - \textbf{return} \textbf{false}

The secure comparison protocol is applied to compare corresponding attribute values in two vectors, and thus we need to apply at most \( n \cdot 4d \) times of the

* Using the secure permutation protocol, party \( B \) gets \( V_{b,i}' = \pi_i(V_{b,i} + R_i) \).
secure comparison protocol. According to Cachin [10], the communication cost of Cachin’s protocol to compare input numbers is $O(l)$. By applying $n + m$ times of HP.1, the communication cost of the secure comparisons is $O((n + m) \cdot d \cdot l)$. The computation cost of one secure comparison includes the costs of one generation of the garbled circuits and one evaluation of the garbled circuits, which also includes the adopted oblivious transfer protocols and encryption/decryption of the values for the gates in the circuits [10][18][30]. Latest works on garbled circuits show that 16K-bit integer comparison can be computed within 0.5s [17][22].

The secure equality testing protocol uses the homomorphic public key system. Thus, the communication cost of the adapted equality testing protocol for evaluating the inequality assumption and the equality testing for determining the skyline dominance are both $O((n + m) \cdot d \cdot l)$. The computation cost is $O(n \cdot m \cdot d)$ invocations of encryption and $O(n \cdot m \cdot d)$ of comparisons to identify the equal encrypted vectors.

Security Analysis. In HP.1, Steps 1-4 protect the comparison results of a pair $(V_a, V_b)$. In Step 1, the result is computed locally in party $B$ and no information is disclosed. The input disguise in Step 2 makes sure that if $a_{j} > b_{i,j}$, we will have $a'_{j} > b'_{i,j}$, $a'_{d+j} > b'_{i,d+j}$, $a'_{2d+j} < b'_{i,2d+j}$, and $a'_{3d+j} < b'_{i,3d+j}$, preventing the disclosure of the comparison results in Step 4. In Step 3, party $A$ generates the permutations and the random vectors, and thus after permutation party $B$ loses track of the order of the values in the vectors. Party $A$ does not know the values of $U_i$ and is not aware of the comparison results.

Steps 5-6 protect the comparison results of the pairs $(V_a, V_b), \ldots, (V_a, V_b)$. In Step 5, party $A$ generates the permutation $\pi_{n+1}$ and the random vector $R$, and thus after permutation party $B$ loses track of the order of the vectors in $U$. On the other hand, party $A$ knows the order of the vectors $V''_{a,i}, (i = 1, \ldots, n)$ after the permutation. However, since all the $V''_{a,i}$ come from $V_a$, party $A$ cannot infer more information from the order other than what party $A$ originally knows.

However, step 6 could disclose the intermediate results of at least how many vectors in party $B$ do not dominate $V_a$. In Step 6, parties $A$ and $B$ run secure equality testing until a pair $(V_a, V_b)$ is found to be equal. If such a pair is not found, then $V_a$ is not dominated by any vector in party $B$ and no intermediate information is disclosed. But if such a pair is found in the $i$th secure equality testing, then both parties know that at least $i-1$ vectors in party $B$ do not dominate $V_a$ in party $A$.

4.4 Enhanced Secure Horizontal 1-to-N Skyline Dominance Protocol (HP.2)

To prevent the disclosure in HP.1, i.e., $V_a$ in party $A$ is not dominated by at least $i-1$ vectors in party $B$, we propose an enhanced secure horizontal 1-to-N skyline dominance protocol (referred to as HP.2), which replaces the secure equality testing (Step 6 in Algorithm 1) with a secure protocol to compute a product of the polynomials: $\prod_{i=1}^{n} (Z''_{i} - U'_{i})$. If there exists $i$ such that $Z''_{i} = U'_{i}$,
the product is 0, i.e., $V_a$ in party $A$ is dominated by at least one vector in party $B$ and $V_a$ is not a vector in the final skyline results.

**Algorithm 2 Enhanced Secure 1-to-N Skyline Dominance Protocol (HP.2)**

**Input:** Party $A$ has one vector $V_a = (a_{1,1}, \ldots, a_{1,d})$ and party $B$ has $n$ vectors $V_b = (b_{1,1}, \ldots, b_{1,d}), \ldots, V_{bn} = (b_{n,1}, \ldots, b_{n,d})$.

**Output:** Whether $V_a$ is dominated by any of the $n$ vectors in party $B$ or not.

1: Steps 1 - 5 from HP.1.

2: Secure compute $\prod_{i=1}^{n}(Z''_i - U'_i)$:

\[
\prod_{i=1}^{n}(Z''_i - U'_i) = \prod_{i=1}^{n}(Z''_i) + \prod_{i=1}^{n-1}(Z''_i) \cdot (-U''_i) + \ldots + Z''_n \cdot \prod_{i=2}^{n}(U'_i) + \prod_{i=1}^{n-1}(-U'_i)
\]

2 $n$ multiplicative sharings: $MS_1, \ldots, MS_{2n-2}$

Party $A$: for $i = 1$ to $2^n - 2$

\[X_{ai} = \text{MultiToSum}(MS_i)\]

\[A_z = \prod_{i=1}^{n}(Z''_i) + \sum_{i=1}^{2^n-2} X_{ai}\]

Party $B$: for $i = 1$ to $2^n - 2$

\[X_{bi} = \text{MultiToSum}(MS_i)\]

\[B_z = \prod_{i=1}^{n}(-U'_i) + \sum_{i=1}^{2^n-2} X_{bi}\]

3: Equality testing:

if $A_z + B_z = 0$ then return true
else return false

Secure evaluation of the product of polynomials can be achieved by leveraging the existing secure multi-to-sum protocol. The steps of the algorithm is shown in Algorithm 2. We first perform the polynomial expansion and obtain a sum of $2^n$ products. Each product can be converted from the multiplicative sharing to the additive sharing by the secure multi-to-sum protocol. Each party sums all the additive sharing together. Then each party shares the sum and securely computes the final product value of $\prod_{i=1}^{n}(Z''_i - U'_i)$. If it is equal to 0, there must be at least one factor, i.e., $(Z''_i - U'_i)$, being 0, indicating that $V_a$ in party $A$ is dominated.

Let us walk through the process of polynomial expansion by an example where $n = 2$. Party $A$ holds $Z' = (Z''_1, Z''_2)$ while party $B$ holds $U' = (U'_1, U'_2)$.

By polynomial expansion, $\prod_{i=1}^{2}(Z''_i - U'_i) = Z''_1 \cdot Z''_2 - Z''_1 \cdot U'_2 - U'_1 \cdot Z''_2 + U'_1 \cdot U'_2$.

Party $A$ holds the multiplicative value of $Z''_1$, $Z''_2$ while party $B$ holds the value of $U'_1 \cdot U'_2$. We use the secure multi-to-sum protocol to obtain the additive sharing $X_a$ and $X_b$ such that $X_a + X_b = Z''_1 \cdot U'_2$, where $X_a$ is held by party $A$ and $X_b$ is held by party $B$. We do the same for $U'_1 \cdot Z''_2$ with $Y_a$ is held by party $A$ and $Y_b$
is held by party $B$. Therefore, party $A$ holds the sum $\sum_A = Z''_1 \cdot Z''_2 + X_a + Y_a$ and party $B$ holds the sum $\sum_B = U'_1 \cdot U'_2 + X_b + Y_b$. Then we adopt the secure sum protocol to obtain $\sum = \sum_A + \sum_B$. If $\sum = 0$, $V_a$ is dominated.

**Communication and Computation Cost.** HP.2 includes the private permutation protocol, the secure comparison protocol, and the secure equality testing protocol. The analyses of these three protocols are the same as those in HP.1 except that HP.2 replaces Step 6 of HP.1 with the secure evaluation of the product $\prod_{i=1}^{n}(Z''_i - U'_i)$. After the polynomial expansion, this product of polynomials is expanded into $2^n$ items. Among them, there are $d \cdot (2^n - 2)$ items requiring the multi-to-sum protocol to securely convert the multiplicative sharing to the additive sharing.

To compute the final skyline results, HP.2 is applied $m + n$ times. For party $A$, it requires $m \cdot d \cdot (2^n - 2)$ invocations of the multi-to-sum protocol. For party $B$, it requires $n \cdot d \cdot (2^n - 2)$ invocations of the multi-to-sum protocol. In total, the complexity is $O(m \cdot d \cdot (2^n - 2) + n \cdot d \cdot (2^n - 2))$ invocations of the multi-to-sum protocol. Even though the cost of the multi-to-sum protocol is $O(l)$ invocations of OT$_2^1$ oblivious transfer of strings whose cost is $O(l)$ [26, 27], the exponential invocations of the multi-to-sum protocol are expensive.

**Security Analysis.** HP.2 provides strong security guarantee. Compared with HP.1, in Step 6 of HP.2, the evaluation of $\sum = \prod_{i=1}^{n}(Z''_i - U'_i)$ is protected by the secure multi-to-sum protocol. If $\sum = 0$, both parties know that $V_a$ is dominated by at least one vector of $B$. But they cannot infer which vectors in $B$ dominate $V_a$. If $\sum$ is not 0, both parties know that $V_a$ is not dominated by any vector of $B$, and thus $V_a$ becomes a vector in the final skyline results.

### 4.5 Alternative Enhanced Secure Horizontal 1-to-N Skyline Dominance Protocol (HP.3)

As discussed above, the use of polynomial expansion in HP.2 incurs exponential communication and computation costs. To reduce the cost, we further propose a more efficient protocol HP.3. The insight of HP.3 is to replace the secure evaluation of the product $\prod_{i=1}^{n}(Z''_i - U'_i)$ in HP.2 with the secure computation of $(Z''_i - U'_i)^2$. Since $(Z''_i - U'_i)^2$ are all greater than or equal to 0, if the min value of $(Z''_i - U'_i)^2$ is 0, $V_a$ in party $A$ is dominated; otherwise, $V_a$ is not dominated. We next discuss the three new steps of HP.3 in Algorithm 3.

In Step 2, each of $(Z''_i - U'_i)^2$ is expanded into a polynomial, i.e., $(Z''_i - U'_i)^2 = (Z''_i)^2 - 2 \cdot Z''_i \cdot U'_i + (U'_i)^2$, where $2 \cdot Z''_i \cdot U'_i$ can be converted to the additive sharing using the multi-to-sum protocol and then party $A$ holds $X_a$, and party $B$ holds $X_b$. Then each of $(Z''_i - U'_i)^2$ can be transformed into the additive sharing where party $A$ holds $\mathbb{A} = (Z''_i)^2 + X_a$, and party $B$ holds $\mathbb{B} = (U'_i)^2 + X_b$. Therefore, party $A$ holds $\mathbb{A} = (\mathbb{A}_1, \ldots, \mathbb{A}_n)$ and party $B$ holds $\mathbb{B} = (\mathbb{B}_1, \ldots, \mathbb{B}_n)$.
In Step 3, we securely find the min value of $A_i + B_i$ using $n - 1$ times of secure comparisons. For example, we test whether $A_1 + B_1 < A_2 + B_2$, i.e., $A_1 - A_2 < B_2 - B_1$. If $A_1 + B_1 < A_2 + B_2$, we keep the pair $(A_1, B_1)$ to compare with the next pair $(A_3, B_3)$. It requires $n - 1$ times of secure comparisons to find the min value $A_z + B_z$.

In Step 4, both parties reveal the values of $A_z$ and $B_z$, whose sum is minimum among all, and compute $A_z + B_z$, and then test whether the min sum value is equal to 0 or not. If it is 0, $V_a$ in party $A$ is dominated. Otherwise, $V_a$ is a global skyline vector.

Algorithm 3 Alternative Enhanced Secure 1-to-N Skyline Dominance Protocol (HP.3)

**Input:** Party $A$ has one vector $V_a = (a_{1,1}, \ldots, a_{1,d})$ and party $B$ has $n$ vectors $V_{b_1} = (b_{1,1}, \ldots, b_{1,d}), \ldots, V_{b_n} = (b_{n,1}, \ldots, b_{n,d})$.

**Output:** Whether $V_a$ is dominated by any of the $n$ vectors in party $B$ or not.

1: Steps 1 - 5 from HP.1.
2: $(Z''_i - U'_i)^2$ computation:
   - **Party A:** for $i = 1$ to $n$ do
     - $X_a = \text{MULTITOSUM}(Z''_i, U'_i)$
     - $A_i = (Z''_i)^2 + X_a$
   - **Party B:** for $i = 1$ to $n$ do
     - $X_b = \text{MULTITOSUM}(Z''_i, U'_i)$
     - $B_i = (U'_i)^2 + X_b$
3: Min value identification:
   - **Party A:** $A_z = A_1$
   - **Party B:** $B_z = B_1$
   - for $i = 2$ to $n$ do
     - if \(\text{SECUREGREATERTHAN}(A_z - A_i, B_i - B_z)\) then
       - **Party A:** $A_z = A_i$
       - **Party B:** $B_z = B_i$
   4: Equality testing:
     - if $A_z + B_z = 0$ then return true
     - else return false

**Communication and Computation Cost.** The analysis of Step 1 in Algorithm 3 is the same as HP.1. For Step 2, it requires $O(d \cdot n)$ invocations of the multi-to-sum protocol to securely convert the multiplicative sharing $Z''_i \cdot U'_i$ into the additive sharing. For Step 3, it requires $O(n)$ invocations of the secure comparisons to securely identify the pair of $(A_z, B_z)$. For Step 4, there is no secure protocol applied and the cost can be ignored. Thus, HP.3 reduces the cost of HP.2 from the exponential invocations of the multi-to-sum protocol to the linear invocations of the multi-to-sum protocol plus the linear invocations of the secure comparison protocol.

**Security Analysis.** Step 1 of HP.3 is the same as Steps 1-5 of HP.1, and thus protects the comparison results of the pairs $(V_a, V_{b_i})$. Steps 2-3 protect the information of how many vectors in party $B$ may dominate $V_a$. The multi-to-sum
protocol in Step 2 makes sure that both parties cannot know the sum values of each pair \((A_i, B_i)\) while searching for the min sum \(A_z + B_z\). Assume that after Step 3, both parties know that the \(i\)th pair produces the min sum \((A_z, B_z)\). Party \(B\) does not know the order of vectors as Step 5 in HP.1 (Algorithm 1) permutes the order. Hence, party \(B\) cannot infer which vector produces the value \(B_z\). For party \(A\), all vectors are derived from \(V_a\) and thus no more information can be inferred by party \(A\) regarding which vector in party \(B\) contributes to the value \(B_z\).

In Step 4, both parties reveal the values of \((A_z, B_z)\) to compute the minimum sum. If \(A_z + B_z = 0\), both parties learn that \(V_a\) is dominated. Party \(A\) can learn such result from the output as well. Party \(B\) can learn what vectors in \(A\) are global skyline vectors from the output and \(V_a\) is not among the global skyline vectors. But \(B\) does not know the values of \(V_a\), and thus cannot know which vector in \(A\) is pruned. In addition, with dummy vectors included in the local skyline results of both parties, knowing that \(V_a\) is pruned cannot be used by each party to learn the exact number of the pruned vectors. If \(A_z + B_z \neq 0\), both parties can learn \(V_a\) is not dominated and is a vector in the final skyline results, which can be learned from the output anyway. Since \(A\) generates the permutations, \(A\) knows the order of vectors in \(B\) and the \(i\)th vector of \(B\) is selected for \((A_z, B_z)\). However, \(A\) does not know the values of any vector from \(B\) and such information cannot be combined with \(B_z\) to infer the value of \(V_a\).

4.6 Extension to \(N\)-Parties

All the proposed protocols (HP.1, HP.2, and HP.3) can be extended to support the secure communication and computation of \(N\) parties \((N > 2)\) : (1) for party \(P_1\) from \(N\) parties, apply the protocols on \(P_1\) and every other \(N - 1\) parties, and only output the results of \(P_1\) if the results are not dominated by any vector in the other \(N - 1\) parties; (2) \(P_2\) first selects only the vectors that are not dominated by the results output by \(P_1\), then runs the protocols with every other \(N - 2\) parties, and outputs the results of \(P_2\); (3) repeat (2) for the remaining parties.

5 Related Work

Yao [30] first proposed the two-party comparison problem (Yao’s millionaire protocol) and developed a provably secure solution. Ben-Or et al. [5] and Chaum [11] then proposed secure protocols for computing addition and multiplication (XOR and AND). More recently, the advances in the theory of secure multi-party computations [10][15][16] proved that any multi-party function can be computed securely with certain amount of computation cost.

With these primitives, finding an efficient and practical solution for a specific problem is still not trivial. A line of research has focused on developing efficient
secure multi-party communication protocols for specific functions. There exists research work on more generic primitives such as set operations \cite{6,23}, top-k queries \cite{28}. More recent work also focuses on secure protocols for vector dominance \cite{19,20}. These protocols focus on finding more efficient solutions to specific problems, which can provide building blocks for more complex applications, such as the problem of skyline queries that our work focuses on.

Another important line of research focuses on creating frameworks and specialized programming languages to implement and run secure multi-party computation protocols. The early approaches include FairplayMP \cite{4}, Sharemind \cite{7}, and SEPIA \cite{9}, which implement generic MPC frameworks that support a similar set of primitives, such as addition, multiplication, comparisons and equality testing. These frameworks either provide specialized programming languages to facilitate the security programming, or allow users to program using standard programming languages and library calls. With the advances of research on garbled circuits \cite{18,24}, more efficient framework, ObliVM \cite{22}, has been built to make secure computations easier and faster. This framework provides a domain-specific language designed for compiling programs into efficient oblivious representations suitable for secure computations. It also provides MapReduce abstractions that facilitate the parallelization of the compiled program.

Unlike this line of research work that focuses on building efficient generic secure computation frameworks, our work focuses on designing efficient secure protocols that minimize the costs of communication and computation while preserve the privacy of the data in multiple participating parties.

6 Conclusion

Skyline queries are an important type of multi-criteria analysis with diverse applications in practice. In this paper, we adopt the classical honest-but-curious attack model and present a suite of efficient protocols for skyline queries to answer skyline queries in a privacy-preserving way when the data is sensitive and distributedly owned by multiple parties. The secure protocols for horizontally partitioned data take 1-to-N skyline dominance as the primitive secure operation to prevent the revelation of intermediate results. We analyze in detail the efficiency of each protocol and its privacy guarantee.

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