Percutaneous Radiofrequency Ablation Combined With Transarterial Chemoembolization Plus Sorafenib for Large Hepatocellular Carcinoma Invading the Portal Venous System: A Prospective Randomized Study

Xiaoyan Ding†, Wei Sun†, Jinglong Chen*, Wei Li*, Yanjun Shen†, Xiaodi Guo†, Ying Teng†, Xiaomin Liu†, Shasha Sun†, Jianying Wei†, Wendong Li†, Hui Chen‡ and Bozhi Liu†

1 Department of Cancer Center, Beijing Ditan Hospital, Capital Medical University, Beijing, China, 2 School of Biomedical Engineering, Capital Medical University, Beijing, China

Background: Hepatocellular carcinoma (HCC) with portal vein tumor thrombosis (PVTT) portends a worse prognosis. The objective of this study was to compare the efficacy of percutaneous radiofrequency ablation (RFA) combined with transarterial chemoembolization (TACE) plus sorafenib to that of the most commonly utilized regimen of TACE plus sorafenib in large HCCs with type I/II PVTT.

Methods: An open-label, single-center, prospective, randomized trial of participants with tumors ≥ 5 cm and type I/II PVTT was performed. Participants with previously untreated HCCs were divided into two groups: RFA + cTACE + sorafenib (study group, n = 40) and cTACE + sorafenib (control group, n = 40). The primary endpoint was the objective response rate (ORR), the second primary endpoints included the overall survival (OS); time to progression (TTP); and toxicity. Prognostic factors were analyzed using cox-regression analysis.

Results: 80 patients were enrolled into this study with integrated clinical data. Under a median follow-up of 506 days, the median age was 57.5 years (range: 28–80 years). The ORR of study group was higher than control group (70% vs 22.5%, p < 0.001). Furthermore, the median OS of study group was superior to that of control group (468 days vs 219 days, HR: 0.44 [95% CI: 0.25–0.78], P = 0.005). Adverse events occurred with 100% probability in both groups (p > 0.99), but no treatment-related deaths were recorded. Tumor encapsulation and attaining treatment response predict favorable OS in a multivariate Cox model. The rates of adverse events in both groups were 100% (p > 0.99). There were no treatment-related deaths.
INTRODUCTION

Hepatocellular carcinoma (HCC), which is categorized as primary liver cancer, is a hotspot in cancer research worldwide due to its high mortality rate (1). Approximately 357,800 new cases of liver cancer were reported in China in 2020 (1). Moreover, 44% to 62% of patients with HCC progress to portal vein invasion, namely portal vein tumor thrombus (PVTT), which has a worse prognosis (2). Previous findings revealed that the median survival time of untreated PVTT patients is only 2.7 to 4.0 months (3). For Barcelona Clinic Liver Cancer (BCLC)-stage C HCC without PVTT, the median overall survival is approximately 1 year (4–6). A uniform tumor thrombus classification system, as described by Cheng, is commonly applied in clinical practice (7). Type I PVTT refers to tumor invading smaller branches of the portal vein in the liver leaf or segment and type II PVTT refers to tumor invading the left and the right branches of the portal vein (7). Although technology was improved thereby promoting the development of HCC therapy, the treatment outcomes of HCC with PVTT remains poor, as expected. Currently, sorafenib or lenvatinib, transarterial chemoembolization (TACE), TACE plus sorafenib, percutaneous radiofrequency ablation (RFA), and radiotherapy, among others, are used in the clinical treatment of PVTT, but these treatment options remain unsatisfactory (8–10). Therefore, further research is urgently needed to investigate the optimal therapeutic strategy for HCC with PVTT.

The use of sorafenib in clinical application enhanced the survival time by 3 months only (5, 6). Besides sorafenib, TACE was always associated with higher overall survival (OS) when compared with the best supportive care in HCC patients with PVTT (9 months vs 6 months) (11). This beneficial effect, however, was only observed in patients with types I–III PVTT (11). Furthermore, a single-center retrospective study involving 557 HCC patients with PVTT found that chemoembolization alone produced a significantly better median time to progression (TTP) and OS (1.6 months and 1.5 months, respectively) than sorafenib treatment alone (12). Notably, sorafenib combined with TACE significantly improved the TTP over sorafenib alone, albeit for no more than 1 month (11–14). The addition of TACE to sorafenib improved a median survival of 5.8 months, in a large cohort of 2112 eligible Child-Pugh A advanced HCC patients with macro-vascular invasion or nodal/distant metastases (10). In a single-center retrospective study involving 57 patients who had a single HCC lesion (≤5 cm) with the main PVTT, RFA of both the HCC and main PVTT significantly prolonged the long-term survival compared to non-treatment (1-year cumulative survival rate: 63% vs 0%, p<0.001) (15). Another retrospective analysis of 134 HCC patients with PVTT whose liver tumor sizes ranged from 2.2 to 16.5 cm revealed a median OS of 29.5 months with TACE combined with RFA (16). However, the usefulness of percutaneous RFA for patients with HCC complicated by PVTT and who have tumors >5 cm remains unconfirmed. The data above indicated that it is clinically necessary to optimize treatment by combining different therapeutic strategies, for instance, combining sorafenib with RFA. Thus, we hypothesize that RFA combined with TACE plus sorafenib will likely result in favorable prognosis in patients with PVTT compared with the regimen of TACE plus sorafenib alone.

The intent of this study was to compare the efficacy of percutaneous radiofrequency ablation (RFA) combined with transarterial chemoembolization (TACE) plus sorafenib to the conventional regimen of TACE plus sorafenib in large HCCs with types I/II PVTT.

MATERIALS AND METHODS

Ethical Approval

This study was conducted in accordance with good clinical practice, guidelines from the Declaration of Helsinki and local organizations, as well as local laws. Documented approval from the institutional review board of Beijing Ditan Hospital, Capital Medical University, was obtained before commencing the study. All participants provided written informed consent before enrollment.

Study Design

This was an open-label, single-center, prospective, randomized case-control trial. According to the method of random number table via version 17.0, SPSS Inc, participants were randomly assigned in a 1:1 ratio to either the RFA combined with TACE plus sorafenib group (study group) or the TACE plus sorafenib group (control group).

Participants

The consecutive participants with HCC complicated by type I/II PVTT who were 18–80 years of age and had not received previous systemic therapy were enrolled in Beijing Ditan Hospital, Capital Medical University between June 2016 and December 2018. During the study period, 91 participants were screened. Of these, two participants withdrew consent, 1 participant was lost to follow-up, and 8 participants had protocol violations (including 3 types III/IV PVTT and 5 with
Child-Pugh liver function class C), leaving 80 eligible patients (Figure 1).

The eligibility criteria were as follows: histologically or cytologically proven HCC; Eastern Cooperative Oncology Group performance status score of 0 or 1; Child-Pugh liver function class A or B ≤8; a life expectancy of at least 3 months; at least 1 tumor lesion >5 cm that had not previously been treated with locoregional therapy and was measurable along a single dimension according to the modified Response Evaluation Criteria in Solid Tumors (mRECIST) (17); and no history of previous locoregional therapy. Participants were required to have adequate renal (i.e. serum creatinine clearance rate of 80 ml/min or more), hematological (i.e. platelet count ≥70×10^9/L (6), hemoglobin concentration ≥80 g/L, and prothrombin time ≤6 s above control), and hepatic functions (albumin concentration of ≥28 g/L, total bilirubin concentration of ≤51.3 μmol/L, and alanine aminotransferase concentration ≤5 times the upper limit of normal). The exclusion criteria included: type III/IV PVTT; Child-Pugh liver function class C; previous or concomitant systemic therapy (including molecular targeted therapies, herbs, any check-point inhibitors and etc.); known history of HIV infection; clinically serious infections; administered warfarin as an anticoagulant; history of organ allograft; history of cardiac disease; known central nervous system tumor; known gastrointestinal bleeding within 30 days of study enrollment; tumors with 70% or higher liver occupation and pregnancy or breast feeding. All participants were informed of the advantages and disadvantages of the 2 treatment options, including treatment outcomes, treatment-related morbidities, and costs.

Treatment Protocol

Transarterial Chemoembolization (TACE)

TACE was firstly performed by two radiologists (JW and LC, with 6 and 10 years of experience in interventional radiology, separately) as previously described (18). First, the portal vein patency and liver blood supply were confirmed. The participants
underwent distal superselective 5-F catheterization of their tumor-feeding hepatic arteries with Embosphere microspheres, lipiodol, and epirubicin. A mixture of epirubicin (50 mg) (Pharmorubicin; Pfizer, Wuxi, China) and lipiodol (5–20 ml) (Lipiodol Ultra-Fluide; André Guerbet Laboratories, AulnaySous-Bois, France) was prepared for TACE. Absorbable Embosphere microspheres (300–500 mm; Biosphere Medical Inc., Rockland, MA) were used for embolization. The entire tumor burden was treated with cTACE. The following cTACE procedures are scheduled at an interval of 8 or 12 weeks for participants with stable disease (SD) or partial response (PR) or progression disease (PD). The subsequent cTACE would be done only if there were no contraindications. The indications were demonstration of viable tumors or intrahepatic recurrences by CT/MRI in patients with favorable clinical and laboratory findings (performance status, liver function, etc.), as well as the absence of vessel casting of both the main portal vein and the branches of portal vein. The number of TACE sessions for both groups was a limit of five.

Radiofrequency Ablation (RFA)

RFA was then conducted with a bipolar OLYMPUS electrode (Celon Power, Germany) or multipolar Welfare electrode (WHK-3, Beijing, China) under computed tomography (CT) guidance (SIEMENS, SOMATOM Perspective 64, Germany). RFA was performed by two physicians (W.S. and W. L., with 8 and 10 years of experience in this procedure, separately). Three days (± 2 days) after TACE, RFA was performed percutaneously to treat all the reachable intrahepatic tumors in the participants of the study group. Treatment was implemented with local anesthesia and intravenous moderate sedation. Usually, only one liver tumor had been ablated at a time. The adjacent PVTT was also ablated, including the branches of the right portal vein, left portal vein, or both when the portal vein tumor thrombosis caused vessel casting (18). “Vessel casting” means there was no blood flow in the left or/and the right branches of the portal vein and they were filled with tumor. Ablation was often first performed for the intrahepatic tumor, then the portal vein tumor thrombosis was treated. PVTT was ablated according to the strategy reported by Hirooka et al. (19). The electrode ever was placed within the portal vein directly for PVTT which caused “vessel casting” using a bipolar OLYMPUS electrode. But when the PVTT was located in the tumor, multipolar Welfare electrode (WHK-3, Beijing, China) would be used to ablate both the tumor and PVTT simultaneously. The electrode output and ablation time were determined according to technical manuals, and the output for PVTT was set as low as possible (<50 W) to prevent damage to the bile duct or arterial branches. The RFA would be repeatedly performed for the residue liver tumor lesion until active liver burden was not being able to be identified on enhanced CT/MRI (complete ablated, CR) or assessed as PD or RFA-contraindicated. The following RFA could be performed for liver tumors every 8 weeks or 12 weeks. No more than 3 liver lesions would be ablated once a time. The number of RFA sessions for study group was a limit of four. When the participant had any one of the following exclusion criteria, tumors with 70% or higher liver occupation, Child-pugh score was > 8, total bilirubin concentration of >51.3 μmol/L or with types III or IV PVTT, further RFA would be discontinued.

Sorafenib

Sorafenib was initially administered wherein the participants were required to take 400 mg sorafenib orally twice daily starting on day 2 after TACE. The treatment was interrupted on the day of TACE or RFA. Moreover, the dose was modified in the event of any severe toxicities. Sorafenib interruptions and dose reductions (first 200 mg twice daily, then 200 mg once daily) were allowed for drug-related toxicity (i.e. intolerable grade 3 hand-foot skin reaction or grade 3 diarrhea and etc.) (6). Sorafenib was administered until unacceptable treatment-related toxicities occurred or when disease progression developed.

Definition of Endpoints

The primary endpoint was the objective response rate (ORR) as determined via mRECIST until the first tumor relapse (17). The best overall response during treatment was considered the final response. The ORR was based on the number of participants who achieved complete response and partial response combined (17). If the participants had no more than five liver lesions, all liver lesions in the cases were defined as target lesions. The PVTT of the cases was considered a non-target lesion. Time to progression (TTP), overall survival (OS) and averse events (AEs) were considered as the secondary endpoints. TTP was measured from the date of randomization until disease progression assessed via mRECIST (17). OS was measured from the date of randomization until death from any cause.

Assessment of Tumor Response and Treatment Safety

Treatment response was evaluated according to mRECIST combined with contrast-enhanced dynamic CT or MRI (17). The target lesions were assessed by two independent radiologists (YX and RX, with 8 and 10 years of experience in radiology, respectively) who were blinded to each other’s findings. Any inconsistencies in their findings were resolved by a third radiologist. In both groups, the tumor response to the entire therapeutic regimen was initially evaluated every 8 weeks. After 6 months, the efficacy was assessed every 12 weeks. Participants whose conditions did not meet the mRECIST definition of complete response (CR), PR, or PD for a minimum of 8 weeks were considered to have stable disease. The ORR was based on the number of participants who achieved complete response and partial response combined (17). Safety was assessed using vital signs, physical examination, clinical and laboratory tests, and AEs every 4 weeks. The AEs were assessed using the National Cancer Institute Common Terminology Criteria for Adverse Events version 4 (20). Information on the safety profile of sorafenib, including rates of sorafenib discontinuation or dose reduction due to AEs, were collected in both groups.

Statistical Analyses

This prospective parallel trial assumed that the ORR among participants receiving the triplet regimen of TACE combined with RFA plus sorafenib (study group) would be 65%. Among
participants receiving only TACE plus sorafenib (control group), the ORR was assumed to be 30%. Both measurements were based on the literature and retrospective data from our center (7, 8). Thus, the superiority margin of the odds ratio for the ORR was deemed to be 1.2, with an α of 0.05 and 1-β of 0.80. As such, 36 participants would be required for each group. However, to account for an assumed dropout rate of 10%, we set a target of 40 participants per group.

Efficacy analysis of all random participants was performed based on the intent-to-treat principle. The safety analysis population consisted of all participants who received at least one dose of sorafenib. The differences in the clinicopathological characteristics between two groups were assessed by Student t-test and Chi-square test. Survival curves were estimated using the Kaplan-Meier method and compared with the log-rank test between two groups. A non-parametric log-rank test was used to evaluate the hazard ratios (HRs) and 95% confidence intervals (CIs) of the Cox proportional hazards model. In the univariate analysis, age, sex, family history of primary liver cancer, diabetes, the number of tumors, the treatment, tumor size, extrahepatic metastasis, tumor encapsulation, the type of PVTT, Child-Pugh Class, ALBI, tumor response, and AFP were included to calculate the independent predictors of OS and TTP. Factors that were found to be significant (P<0.10) in the univariate analysis were entered into a multivariable Cox proportional hazards model. All statistical tests were 2-sided, unless otherwise stated. P<0.05 was considered statistically significant. All statistical analyses were performed using SPSS (version 17.0, SPSS Inc., Chicago, IL).

RESULTS

Baseline Characteristics

Finally, 80 were randomly assigned to receive either the triplet regimen of TACE combined with RFA plus sorafenib (study group) or TACE plus sorafenib (control group, n = 40).

The baseline demographics and clinical characteristics of patients are listed in Table 1. All 80 participants (median age 57.5 years, range: 28–80 years, 68 men) were among the intention-to-treat population. Most patients in the entire cohort had Eastern Cooperative Oncology Group Performance status scores of 1 (n = 64, 80.0%), were Child-Pugh class A (n = 66, 82.5%), and had HBV infection at baseline (n = 71, 88.8%). Most participants had tumors with encapsulation (n = 71, 88.8%), while only 3 participants in control group had extrhepatic metastasis. All of the 80 participants had tumor nodules no more than 5, of whom 18 had only one target liver lesion. The two groups were well-balanced regarding baseline liver function as well as demographic and disease characteristics (Table 1).

Efficacy Analysis

Until disease progression or death or CR, for the study group the TACE sessions ranged from one to five, and the RFA sessions ranged from one to four. While in the control group, the cTACE sessions ranged from one to three (Table 2).

| TABLE 1 | Baseline patient demographic and disease characteristics. |
| --- | --- | --- |
| | Study group (TACE+S: n = 40) | Control group (TACE-S: n = 40) | P value |
| Age* (mean ± SD), years | 57 ± 10 | 58 ± 10 | 0.684 |
| (57 ± 10 years) | | | |
| Sex >0.99 | | | |
| Male (68) | 34 (85.0) | 34 (85.0) | | |
| Female (12) | 6 (15.0) | 6 (15.0) | | |
| ECOG PS score 0.781 | | | |
| 0 | 9 (22.5) | 7 (17.5) | | |
| 1 | 31 (77.5) | 33 (82.5) | | |
| Child-Pugh class 0.239 | | | |
| A | 31 (77.5) | 35 (87.5) | | |
| B | 9 (22.5) | 5 (12.5) | | |
| Albumin (g/L) 0.548 | | | |
| 37.5 ± 4.0 | 38.0 ± 4.8 | | |
| Total bilirubin* (μmol/L) 0.642 | | | |
| 15.5 ± 0.7 | 16.5 ± 7.1 | | |
| ALBI grade 0.642 | | | |
| 1 | 16 (40.0) | 13 (32.5) | | |
| 2 | 24 (60.0) | 27 (67.5) | | |
| Hepatitis virus status 0.808 | | | |
| HBV/HCV/non-HBV or HCV | 35(87.5)/2(5)/3(7.5) | 36(90)/2(5)/2(5) | | |
| Type 2 diabetes 0.130 | | | |
| Yes | 9 (22.5) | 4 (10.0) | | |
| No 0.264 | | | |
| Positive family history of primary liver cancer | 31(76.5) | 36(90.0) | | |
| Yes | 6 (15.0) | 2 (5.0) | | |
| No | 34 (85.0) | 38 (85.0) | | |
| Type of PVTT 0.479 | | | |
| I/II | 6 (15.0)/34 (85.0) | 3 (7.5)/37 (92.5) | | |
| Extrahepatic metastasis 0.239 | | | |
| Yes | 0 | 3(7.5) | | |
| No | 40(100.0) | 37(92.5) | | |
| Encapsulation 0.479 | | | |
| Yes | 37(92.5) | 34(85.0) | | |
| No | 3(7.5) | 6(15.0) | | |
| Largest diameter*, cm 0.138 | | | |
| ≥7.0 cm | 8.8 ± 2.8 | 9.8 ± 2.9 | | |
| >7.0 cm/≤7.0 cm | 27 (67.5)/13 (22.5) | 33 (82.5)/7 (17.5) | | |
| Number of liver tumors 0.121 | | | |
| ≥1/2–5 0.341 | 11(27.5)/29(62.5) | 7(17.5)/31(82.5) | | |
| 1–3/4–5 0.091 | 31 (77.5)/9 (22.5) | 24(60.0)/16 (40.0) | | |
| AFP (ng/ml)* 0.264 | 15803.1 ± 7584 | 5439.4 ± 2380 | | |
| ≥100 ng/ml 0.369 | 24 (62.5) | 20 (50.0) | | |

Except where indicated, data are numbers of patients, with percentages in parentheses; *t-test, data are means ± standard deviations. ALBI grade, log10 bilirubin×0.66-albumin×0.085; ALBI, albumin- bilirubin; PVTT, portal vein tumour thrombosis; ECOG PS, Eastern Cooperative Oncology Group performance status; HBV, hepatitis B virus; HCV, hepatitis C virus; AFP, α-fetoprotein; RFA, radiofrequency ablation; TACE, transcatheter chemoembolization; S, sorafenib.

In terms of the best responses, 6 out of 40 participants in study group (15%) achieved a complete response, while 22 (55.0%) had a partial response. In control group, 1 out of 40 participants (2.5%) achieved a complete response while 8 (20.0%) had a partial response. One participant, each in study group and control group, was not evaluable owing to death from a pulmonary embolism and an upper gastrointestinal tract hemorrhage, respectively. The ORR was significantly greater in study group (n = 28, 70.0%) than in control group (n = 9, 22.5%; P<0.001) (Table 3). Figures of pretreatment, RFA for liver tumors and PVTT, and post-treatment in 4 cases in the RFA+TACE plus sorafenib group, were depicted in Figures 2A–D, which included 2 cases with CR and 2 cases with PR.
At the end of follow-up, 36 participants in study group and 35 participants in control group had been confirmed with disease progression, respectively. Sixteen participants in study group and 11 participants in control group had chosen Regorafenib as second line systemic drug. Seven participants in study group and 8 participants in control group had chosen mono-lenvatinib as a second line systemic drug. There was no significant difference between various second-line systemic drugs, \( p = 0.481 \). Only one participant in control group was treated by lenvatinib plus nivolumab (200-mg ivgtt Q2W) as third-line systemic therapy. The other 13 participants in study group and 16 participants in control group did not take any systemic anti-cancer drugs at all.

The median follow-up period was 268 days (range, 49–1132 days). The median overall survival was 330 days (95% CI: 233–427 days). Study group had a significantly longer median TTP than control group (162 days [range: 143–181 days] vs 94 days [range, 54–134 days]; HR: 0.58 [95% CI: 0.36–0.93]; \( P = 0.025 \); Figure 3A). Study group also had a longer median OS than control group (468 days [95% CI: 378–558] vs 219 days [95% CI: 167–271 days]; HR: 0.44 [95% CI: 0.25–0.78]; \( P = 0.005 \) (Figure 3B).

### Multivariable Analyses

The multivariable Cox proportional hazards model for OS identified tumor encapsulation as a significant positive prognostic factor (HR: 0.39 [95% CI: 0.16–0.99]; \( P = 0.047 \).
Meanwhile, participants who achieved an objective response had significantly improved prognosis (HR: 0.23 [95% CI: 0.11–0.45]; P<0.001) (Table 4). The level of AFP (ng/ml) (p = 0.256), type of PVTT (p = 0.228), and different treatment regimens (p = 0.096) were not associated with improved OS (Table 3). The addition of RFA to the therapeutic regimen was not associated with improved TTP (p = 0.72).

Subgroup Analysis
TACE combined with RFA plus sorafenib provided clinical benefit in almost all analyzed subgroups, despite some participants having characteristics associated with poor prognosis such as poor liver function (Child-Pugh B and albumin-bilirubin grade 2), a higher AFP level (>400 ng/ml), older age (>50 years), tumor without encapsulation and larger

![FIGURE 2B](image)

FIGURE 2B | Complete response in a patient with HCC, with left portal vein tumor thrombosis, in RFA+TACE plus sorafenib group. Case 2, male, 50 years old, HCC with left portal vein tumor thrombosis, CR via mRECIST criteria, tumor relapsed after 151 days until randomization. (A) Liver tumor in the left lobe (the white arrow). (B) Left portal vein tumor thrombosis as indicated by the white arrows. (C, D) Treatment with RFA for liver tumor and PVTT, respectively. The white arrows point to the location of radiofrequency ablation electrode. (E, F) No enhancement in both the liver tumor and PVTT.

![FIGURE 2C](image)

FIGURE 2C | Partial response in a patient with HCC, with left portal vein tumor thrombosis, in RFA+TACE plus sorafenib group. Case 3, male, 65 years old, HCC with left portal vein tumor thrombosis, PR via mRECIST criteria, liver tumor progressed after 525 days until randomization. (A) 2 liver tumors in the left lobe and the right lobe, respectively, and left PVTT. (B) Treatment with the first RFA for liver tumor. The black arrow directs the location of radiofrequency ablation electrode. (C) Remnant liver tumor and PVTT. (D) Treatment with the second RFA for both remnant liver tumor and PVTT. The red arrow directs the location of radiofrequency ablation electrode. (E) With enhancement in the margin of the liver tumor in the left lobe. (F) No remnant tumor in the right lobe and the enlarged left PVTT.
tumor (maximum diameter >7 cm) (Figure 4). Although the OS was significantly longer in participants with type I PVTT than it was in those with type II PVTT (median OS: not achieved vs 299 days [95% CI 228–370 days], P = 0.041), the 9 participants with type I PVTT did not benefit from TACE combined with RFA plus sorafenib treatment (p = 0.68) (Figure 5).

Safety
All 80 participants were included in the safety analysis. The overall incidence of treatment-related AEs of any grade was 100% (40 participants) in both study group and control group. Most adverse events were mild to moderate (Table 5). The most frequently reported treatment-related AEs (≥10%) were aspartate transaminase elevation (n = 77, 96.2%), alanine transaminase elevation (n = 76, 95.0%), fever (n = 52, 65.0%), anorexia (n = 48, 60.0%), abdominal pain (n = 43, 53.8%), hand-foot skin reaction (n = 38, 47.5%), hypertension (n = 32, 40.0%), fatigue (n = 30, 37.5%), diarrhea (n = 24, 30.0%), weight loss (n = 24, 30.0%), rash or desquamation (n = 20, 25.0%), elevated bilirubin (n = 11, 13.8%), and proteinuria (n = 8, 10.0%). Overall, there were no significant differences in either grade 1/2 or grade 3/4 AEs between the two groups (all p values >0.10) (Table 4). One participant in study group developed a liver abscess and was treated with intravenous biapenem and abscess drainage, while another participant in control group developed a liver infection and was treated with intravenous cefotaxime for...
10 days; both participants recovered without sequelae. Non treatment-related deaths were reported. Dose reductions and discontinuations were reported in 40.0% (16 of 40) of the participants in study group and 32.5% (13 out of 40) of those in control group (Table 4). AEs requiring dose reductions or discontinuation included HFSR, diarrhea, fatigue, and lifethreatening upper gastrointestinal hemorrhage.

DISCUSSION

The median overall survival time for HCCs with PVTT is reported to be only 3 months without any locoregional or systemic drugs or surgery (3). The present guidelines lack consensus on the treatment of unresectable HCC patients with PVTT. Although RFA was not recommended in the American Association for the Study of Liver Disease (21) and European Society for Medical Oncology clinical practice guidelines (22) for HCC with PVTT, two studies showed that TACE plus sorafenib and RFA plus sorafenib are safe and effective regimens (23, 24). Among such patients treated with TACE plus sorafenib, those with PVTT types I-II (Cheng’s classification) showed better outcomes than those with types III-IV PVTT (23). There are two retrospective studies about the three-modality treatment of sorafenib combined with TACE and RFA for treating unresectable HCC (18, 25), but no randomized controlled trials have compared the three-modality treatment to TACE plus sorafenib alone. This means that there is a lack of medical evidence supporting the benefit of the three-modality treatment. Although RFA may benefit HCC with a single liver lesion (≤5 cm) complicated by main PVTT (15), the optimal locoregional treatment regimen for HCC patients with PVTT and liver tumor size >5 cm is still lacking. Our prospective study revealed that, for HCC with types I–II PVTT, liver tumors >5 cm, 88.8% with encapsulation and tumor nodules no more than 5, the three-modality treatment of RFA combined with TACE plus sorafenib resulted in significantly improved OS and ORR without increasing the risk of in-hospital mortality or of AEs. Thus, this new data indicates that for selected HCC patients with PVTT, the three-modality treatment of RFA combined with TACE plus sorafenib might be recommended in clinical practice. Furthermore, in this study patients with large liver tumors (maximal tumor diameter: >5 cm) were investigated. Our findings showed that sorafenib combined with TACE followed by RFA 3 ± 2 days later is a feasible and effective method in the treatment of large HCCs complicated by types I/II PVTT.
Although several studies on this approach have been performed (26, 27), the optimal timing of this combined therapy remains unclear. The majority of clinicians recommend that RFA should be performed 1 week to 1 month after TACE (26); however, in this study, cTACE and RFA were performed sequentially (separated by 3 ± 2 days). An advantage of this near-concurrent treatment with TACE and RFA is the avoidance of lipiodol and chemotherapeutic clearance, which would possibly allow for the formation of new collateral vessels and vascular recanalization (27). TACE effectively inhibited the nutrient vessel supply to the tumor, and it alleviated the effectiveness of blood circulation on heat ablation. Lipiodol has a heat conduction effect; thus, RFA performed after complete lipiodol deposition improves the transduction of heat to peripheral tissues, which increases the ablation effect and reduces the risk of recurrence and metastasis (26, 27). Another advantage of sequential treatment is that tumors with deposited lipiodol can be observed at high contrast in CT. Regions of poor lipiodol deposition were precisely detected by comparing the images obtained after TACE and thus improving the safety of the puncture. Moreover, the presence of necrotic tissue after RFA may induce an immune response against cancer cells (28), with hepatic arterial chemotherapy exerting a synergistic effect with heat ablation (26).

The increased serum levels of vascular endothelial growth factor and vascular endothelial growth factor receptor 2 observed after TACE (29), as well as the incomplete ablation leading to elevated levels of serum hypoxia-inducible factor-1α and vascular endothelial growth factor A (30), indicate that large HCCs with PVTT would require anti-angiogenic drugs such as sorafenib in addition to the combination of TACE and RFA. Our current study showed that participants who underwent the triplet regimen experienced significantly longer OS and TTP than did those treated with TACE plus sorafenib alone (468 vs 219 days and 164 vs 92 days, respectively). Furthermore, TACE combined with RFA plus sorafenib provided a benefit in almost all our participant subgroups, including participants with poor liver function (albumin-bilirubin grade 2), higher AFP value (>400 ng/ml), and larger tumors (maximum diameters >7 cm). The OS was significantly longer in participants with type I PVTT than those with type II PVTT. However, those with type I PVTT did not benefit from the triplet regimen treatment per se. This may be attributed to the fact that there were far fewer participants with type I PVTT in both groups A and B, which would have diluted the statistical power. This may also mean that patients with more advanced disease may benefit more from this triplet regimen of TACE combined with RFA plus sorafenib.

Overall, the treatment-related side effects were mild to moderate, and there were no significant differences in the incidence of grade 1/2 and grade 3/4 AEs between the two groups. One participant in group A developed a liver abscess while another in group B developed liver infection; both

**TABLE 4 | Multivariable Cox proportional hazards model for overall survival.**

| Variable                        | HR         | P Value |
|---------------------------------|------------|---------|
| Treatment group                 |            |         |
| TACE+RFA-S vs TACE-S (reference)| 0.67 (0.33, 1.34) | 0.256   |
| Type of PVTT                    |            |         |
| Type II vs Type I (reference)   | 2.43 (0.57, 10.27) | 0.228   |
| Level of AFP (ng/ml)            |            |         |
| ≥400 vs <400 (reference)        | 1.82 (0.90, 3.68) | 0.096   |
| Tumor encapsulation             |            |         |
| Yes vs no (reference)           | 0.39 (0.16, 0.99) | 0.047   |
| Objective response              |            |         |
| Yes vs no (reference)           | 0.23 (0.11, 0.45) | <0.001  |

Numbers in parentheses are the 95% confidence interval. An objective response is defined as achieving a complete or partial response based on the modified Response Evaluation Criteria for Solid Tumors.

RFA, radiofrequency ablation; TACE, transarterial chemoembolization; S, sorafenib; HR, hazard ratio; CI, confidence interval; AFP, α-fetoprotein.
Although the inclusion of RFA in our study led to significant monotherapy (32, 33), the AFP level has not been based locoregional treatment with sorafenib or sorafenib underwent transarterial embolization/chemoembolization from previous literature in patients with HCC who encapsulation, which may be one of the reasons for such a long published data (32).

favorable OS in our study, which is consistent with previously

survival time and the results of the subgroup analysis suggest that large HCCs with PVTT benefit from the triplet regimen. In contrast from previous literature in patients with HCC who underwent transarterial embolization/chemoembolization-based locoregional treatment with sorafenib or sorafenib monotherapy (32, 33), the AFP level has not been investigated as a marker for predicting treatment response.

In summary, for large HCCs with types I/II PVTT, RFA combined with TACE plus sorafenib demonstrated better efficacy and safety than transarterial chemoembolization plus sorafenib. In the present prospective controlled study, the three-modality treatment can significantly prolong survival time compared to TACE plus sorafenib treatment alone. Additional multicenter prospective randomized controlled trials are needed to validate these findings.

DATA AVAILABILITY STATEMENT

All datasets presented in this study are included in the article/supplementary material.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Institutional Review Board of Beijing Ditan Hospital, Capital Medical University, Beijing, China. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

AUTHOR CONTRIBUTIONS

JC and WL: conception, design, and funding acquisition. XD and WS: conception, collection and assembly of data, and project administration. JC, WL, XD, WS, YS, XT, SS, XL, JW, WL, HC, and BL: data analysis and interpretation, manuscript writing, and final approval of manuscript. All authors contributed to the article and approved the submitted version.

TABLE 5 | Treatment-related adverse events, dose reductions, and discontinuations.

| Study group (TACE+RFA-S: n = 40) | Control group (TACE-S: n = 40) |
|----------------------------------|----------------------------------|
|                                  | All | Grade 3/4 | All | Grade 3/4 |
| Drug-related, n (%)*             |     |           |     |           |
| AST elevation                    | 39 (97.5) | 11 (27.5) | 38 (95.0) | 8 (20.0) |
| ALT elevation                    | 39 (97.5) | 10 (25.0) | 37 (92.5) | 8 (20.0) |
| Fever                            | 25 (62.5) | 5 (12.5) | 27 (67.5) | 5 (12.5) |
| Anorexia                          | 25 (62.5) | 0     | 23 (57.5) | 0     |
| Abdominal pain                    | 22 (55.0) | 5 (12.5) | 21 (52.5) | 4 (10.0) |
| HFSR                              | 18 (45.0) | 0     | 20 (50.0) | 0     |
| Hypertension                      | 15 (37.5) | 0     | 17 (42.5) | 0     |
| Fatigue                           | 14 (35.0) | 2 (5.0) | 10 (25.0) | 1 (2.5) |
| Weight loss                       | 13 (32.5) | 0     | 11 (27.5) | 0     |
| Rash/desquamation                 | 9 (22.5) | 0     | 11 (27.5) | 0     |
| Bilirubin elevation               | 6 (15.0) | 2 (5.0) | 5 (12.5) | 1 (2.5) |
| Proteinuria                       | 3 (7.5) | 0     | 5 (12.5) | 0     |
| Dose reduction†                   | 12 (30.0) | 10 (25.0) |     |           |
| HFSR                              | 7 (17.5) | 8 (20.0) |     |           |
| Diarrhea                          | 3 (7.5) | 2 (5.0) | 2 (5.0) | 1 (2.5) |
| Fatigue                           | 2 (5.0) | 0     | 0     |           |
| Discontinuation‡                  | 4 (10.0) | 3 (7.5) |     |           |
| Hemorrhage, upper Gl              | 2 (5.0) | 1 (2.5) |     |           |
| Diarrhea                          | –   | 1 (2.5) | –   | 1 (2.5) |
| Grade 3 platelet decrease         | –   | 1 (2.5) | –   |           |
| Fatigue                           | –   | 1 (2.5) | –   |           |

Data are numbers of patients, with percentages in parentheses.

*Drug-related adverse events in >10% of participants in any study group.

†Adverse events causing dose reduction in >5% of participants in either study group.

‡Adverse events causing discontinuation in ≥5% of participants in either study group.

RFA, radiofrequency ablation; TACE, transarterial chemoembolization; S, sorafenib; ALT, alanine transaminase; AST, aspartate transaminase; HFSR, hand-foot skin reaction; GI, gastrointestinal tract.

All of the p values > 0.10.

participants recovered after intervention. Coincidentally, a previous study by Park et al. found no significant difference in the incidence of liver abscess between their triplet-treated and RFA monotherapy groups of patients with HCC (2.5% vs 2.0%) (31).

Tumor encapsulation was identified as a predictor of favorable OS in our study, which is consistent with previously published data (32–34). Most of the patients had tumors with encapsulation, which may be one of the reasons for such a long median overall survival of 330 days. Collectively, the long survival time and the results of the subgroup analysis suggest that large HCCs with PVTT benefit from the triplet regimen. In contrast from previous literature in patients with HCC who underwent transarterial embolization/chemoembolization-based locoregional treatment with sorafenib or sorafenib monotherapy (32, 33), the AFP level has not been investigated as a marker for predicting treatment response. Although the inclusion of RFA in our study led to significantly improved OS and ORR in participants with large HCCs complicated by PVTT types I/II compared to those receiving only TACE plus sorafenib, RFA administration was not significantly associated with OS on multivariable Cox regression analysis.

Our study had some limitations. Since it was a single-center study, investigator bias and variability in technique with RFA cannot be overlooked. ORR but not TTP or OS, was designated as the primary endpoint. Interval censoring in prospective study has been considered in survival analyses, which may increase the risk of bias in the results of survival time. However, we have strived to establish a multivariate Cox model and subgroup analysis for OS to determine the superiority of the experimental treatment.

AUTHOR CONTRIBUTIONS

JC and WL: conception, design, and funding acquisition. XD and WS: conception, collection and assembly of data, and project administration. JC, WL, XD, WS, YS, XT, SS, XL, JW, WL, HC, and BL: data analysis and interpretation, manuscript writing, and final approval of manuscript. All authors contributed to the article and approved the submitted version.

FUNDING

This study was funded by Foundation of Capital Distinctive Clinical Application Research (Project Number: Z161100000516141).

ACKNOWLEDGMENTS

Tumor assessment: Yunliang Xu and Ruming Xie.
REFERENCES

1. Zheng R, Qu CF, Zhang SW, Zeng HM, Sun KX, Gu XY, et al. Liver cancer incidence and mortality in China: Temporal trends and projections to 2030. *Chin J Cancer Res* (2018) 30(6):571–9. doi: 10.21147/j.issn.1000-9904.2018.06.01

2. Cheng SQ, Chen MS, Cai HQ, Sun JX, Guo RP, Bi XY, et al. Chinese expert consensus on multidisciplinary diagnosis and treatment of hepatocellular carcinoma with portal vein tumor thrombus (2018). *J Clin Hepatol* (2019) 35(4):738–43. doi: 10.1159/000503685

3. Pawarode A, Voravud N, Siriunpong V, Kullavanniyia P, Patt YZ. Natural history of untreated primary hepatocellular carcinoma: a retrospective study of 157 patients. *Am J Clin Oncol* (1998) 21(4):386. doi: 10.1097/00000421-199808000-00014

4. Zhao Y, Duran R, Chapiero J, Sohn HJ, Sahu S, Fleckenstein F, et al. Transarterial Chemoembolization for the Treatment of Advanced-Stage Hepatocellular Carcinoma. *J Gastrointestinal Surgery* (2016) 1220(12):2002–9. doi: 10.1007/s11605-016-3285-x

5. Cheng AL, Kang YK, Chen Z, Sun JX, Guo RP, Bi XY, et al. Efficacy and safety of sorafenib in patients in the Asia-Pacific region with advanced hepatocellular carcinoma: a phase III randomised, double-blind, placebo-controlled trial. *Lancet* (2009) 10(1):25–34. doi: 10.1016/S1470-2045(08)70285-7

6. Llovet JM, Ricci S, Mazzaferro V, Hilgard P, Gane E, Blanc F, et al. Sorafenib in advanced hepatocellular carcinoma. *N Engl J Med* (2008) 359(9):378–90. doi: 10.1056/NEJMoa0708857

7. Shuqun C, Mengchao W, Han C, Shen F, Yang JH, Ding GH, et al. Tumor thrombus types influence the prognosis of hepatocellular carcinoma with the tumor thrombi in the portal vein. *Hepatogastroenterology* (2007) 54(74):499–502.

8. Chen SQ, Chen MS, Cai HQ, (2017). The National Research Cooperative Group for Diagnosis and Treatment of Hepatocellular Carcinoma with Tumor Thrombus. Chinese consensus for multidisciplinary diagnosis and treatment of hepatocellular carcinoma with portal vein tumor thrombus (2016 edition). *Electronic J Liver Tumor Oncotarget* 8(5):8867–76. doi: 10.18632/oncotarget.12812

9. Wang JC, Xiu AL, Yu Y, Lu XI. Comprehensive treatments for hepatocellular carcinoma with portal vein tumor thrombosis. *J Cell Physiol* (2019) 234(2):1062–70. doi: 10.1002/jcp.27324

10. Kok VC, Chen YC, Chen YY, Su YC, Ku MC, Kuo JT, et al. Sorafenib with Transarterial Chemoembolization Achieves Improved Survival vs. Sorafenib Alone in Advanced Hepatocellular Carcinoma: A Nationwide Population-Based Cohort Study. *Cancers* (Basel) (2019) Jul 15 11(7):985. doi: 10.3390/cancers11070985

11. Xiang X, Lau WY, Wu ZY, Zhao C, Ma YL, Xiang BD, et al. Transarterial chemoembolization versus best supportive care for patients with hepatocellular carcinoma with portal vein tumor thrombus: a multicentre study. *Eur J Surg Oncol* (2019). S0748-7983(19)30387-7. doi: 10.1016/j.ejso.2019.03.042

12. Kim GA, Shim JH, Yoon SM, Jung JH, Kim JS, Ryu MH, et al. Comparison of chemoblation with and without radiation therapy and sorafenib for advanced hepatocellular carcinoma with portal vein tumor thrombosis: a propensity score analysis. *J Vac Inter Radiol* (2015) 26(3):320–9.e6. doi: 10.1016/j.jvir.2014.10.019

13. Silva JP, Berger NG, Tsai S, Christiansen KK, Clarke CN, Mogal H, et al. Transarterial chemoembolization in hepatocellular carcinoma with portal vein tumor thrombosis: a systematic review and meta-analysis. *HPB (Oxford)* (2017) 19(8):659–66. doi: 10.1111/hpb.2017.04.016

14. Choi GH, Shim JH, Kim MJ, Ryu MH, Ryoo BY, Kang YK, et al. Sorafenib alone versus sorafenib combined with transarterial chemoembolization for advanced-stage hepatocellular carcinoma: results of propensity score analyses. *Radiology* (2013) 269(2):603–11. doi: 10.1148/radiol.13130150

15. Giorgio A, Calisti G, Montesarchio L, Scognamiglio U, Matteucci P, Coppola C, et al. Hepatocellular Carcinoma Invading Portal Venous System in Cirrhosis: Long-term Results of Percutaneous Radiofrequency Ablation of both the Nodules and Portal Vein Tumor Thrombus. A Case Control Study. *Anticancer Res* (2014) 34(11):7685–90. doi: 10.1016/j.ijchy.2014.07.002

16. Zheng JY, Long J, Sun B, Lu NN, Fang D, Zhao IY, et al. Transcatheter arterial chemoblation combined with radiofrequency ablation can improve survival of patients with hepatocellular carcinoma with portal vein tumour thrombosis: extending the indication for ablation? *Clin Radiol* (2014) 69(6): e253–63. doi: 10.1016/j.crad.2014.01.015

17. Kim MN, Kim BK, Han HK, Kim SU. Evolution from WHO to EASL and mRECIST for hepatocellular carcinoma: considerations for tumor response assessment. *Expert Rev Gastroenterol Hepatol* (2015) 9(3):335–48. doi: 10.1586/17474124.2015.959929

18. Peng Z, Chen S, Wei M, Lin M, Jiang C, Mei J, et al. Advanced Recurrent Hepatocellular Carcinoma: Treatment with Sorafenib Alone or in Combination with Transarterial Chemoembolization and Radiofrequency Ablation. *Radiology* (2018) 287(2):705–14. doi: 10.1148/ radiol.2018171541

19. Hirooka M, Koizumi Y, Kikawa Y, Abe M, Murakami H, Matsuura R, et al. Mass reduction by radiofrequency ablation before hepatic arterial infusion chemotherapy improved prognosis for patients with huge hepatocellular carcinoma and portal vein thrombus. *AJR Am J Roentgenol* (2010) 194(2):W221–6. doi: 10.2214/AJR.09.2852

20. NCI. Common Terminology Criteria for Adverse Events v4.0 (CTCAE) (2009). Available at: http://ctep.cancer.gov/forms/CTCAE v4.0.pdf (Accessed June 14, 2010).

21. Heimbach JK, Kulik LM, Finn RS, Sirlin CB, Abecassis MM, Roberts LR, et al. AASLD guidelines for the treatment of hepatocellular carcinoma. *Hepatology* (2018) 67(1):358–80. doi: 10.1002/hep.29086

22. Vogel A, Cervantes A, Chau I, Daniele B, Llovet JM, Meyer T, et al. Hepatocellular carcinoma: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol* (2018) 29(Supplement 4):iv283–55. doi: 10.1093/annonc/mdy308

23. Zhang X, Wang K, Wang M, Yang G, Ye XF, Wu MC, et al. Transarterial chemoembolization (TACE) combined with sorafenib versus TACE for hepatocellular carcinoma with portal vein tumor thrombus: a systematic review and meta-analysis. *Oncotarget* (2017) 8(17):29416–27. doi: 10.18632/oncotarget.15075

24. Giorgio A, Merola MG, Montesarchio L, Merola F, Santoro B, Coppola C, et al. Sorafenib Combined with Radio-frequency Ablation Compared with Sorafenib Alone in Treatment of Hepatocellular Carcinoma Invading Portal Vein: A Western Randomized Controlled Trial. *Anticancer Res* (2016) 36(11):6179–83. doi: 10.21873/anticancer.112111

25. Li Y, Zheng YB, Zhao W, Liu B, Hu BS, He X, et al. Sorafenib in combination with transarterial chemoembolization and radiofrequency ablation in the treatment for unresectable hepatocellular carcinoma. *Med Oncol* (2013) 30(4):730. doi: 10.1007/s12032-013-0730-5

26. Yuan H, Lan Y, Li X, Tang J, Liu FY. Large hepatocellular carcinoma with local remnants after transarterial chemoembolization: treatment by sorafenib combined with radiofrequency ablation or sorafenib alone. *Am J Cancer Res* (2019) 9(4):791–9.e. eCollection 2019.

27. Yuan H, Liu F, Li X, Guan Y, Wang MQ. Transcatheter arterial chemoblation combined with simultaneous DynaCT-guided radiofrequency ablation in the treatment of solitary large hepatocellular carcinoma. *Radiol Med* (2019) 124(1):1–7. doi: 10.1007/s11547-018-0932-1

28. McDonnell AM, Nowak AK, Lake RA. Contribution of the immune system to Hepatocellular carcinoma: an analysis of 1,843 ablations in 1,211 patients in a single centre: experience over 10 years. *Clin Radiol* (2017) 72(8):e90. doi: 10.1016/j.crad.2017.03.001
32. Bruix J, Cheng AL, Meinhardt G, Nakajima K, De Sanctis Y, Llovet JM, et al. Prognostic factors and predictors of sorafenib benefit in patients with hepatocellular carcinoma: Analysis of two phase III studies. J Hepatol (2017) 67(5):999–1008. doi: 10.1016/j.jhep.2017.06.026

33. Wu TH, Yu M-C, Chen TC, Lee CF, Chan KM, Wu TJ, et al. Encapsulation is a significant prognostic factor for better outcome in large hepatocellular carcinoma. J Surg Oncol (2012) 105(1):85–90. doi: 10.1002/jso.22060

34. Johnson PJ, Berhane S, Kagebayashi C, Satomura S, Teng M, Reeves HL, et al. A new prognostic system for hepatocellular carcinoma: a new evidence based approach—the ALBI grade. J Clin Oncol (2015) 33(6):550–8. doi: 10.1200/JCO.2014.57.9151

35. Chien SC, Chen CY, Cheng PN, Liu Y-S, Cheng H-C, Chuang C-H, et al. Combined Transarterial Embolization/Chemoembolization-Based Locoregional Treatment with Sorafenib Prolongs the Survival in Patients with Advanced Hepatocellular Carcinoma and Preserved Liver Function: A Propensity Score Matching Study. Liver Cancer (2019) 8(3):186–202. doi: 10.1159/000488790

Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2020 Ding, Sun, Chen, Li, Shen, Guo, Teng, Liu, Sun, Wei, Li, Chen and Liu. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.