**Mortality trends in the general population: the importance of cardiorespiratory fitness**

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**Abstract**
Cardiorespiratory fitness (CRF) is not only an objective measure of habitual physical activity, but also a useful diagnostic and prognostic health indicator for patients in clinical settings. Although compelling evidence has shown that CRF is a strong and independent predictor of all-cause and cardiovascular disease mortality, the importance of CRF is often overlooked from a clinical perspective compared with other risk factors such as hypertension, diabetes, smoking, or obesity. Several prospective studies indicate that CRF is at least as important as the traditional risk factors, and is often more strongly associated with mortality. In addition, previous studies report that CRF appears to attenuate the increased risk of death associated with obesity. Most individuals can improve their CRF through regular physical activity. Several biological mechanisms suggest that CRF improves insulin sensitivity, blood lipid profile, body composition, inflammation, and blood pressure. Based on the evidence, health professionals should encourage their patients to improve CRF through regular physical activity.

**Keywords**
Biological mechanism, cardiorespiratory fitness, lifestyle, mortality, obesity, physical activity

**Introduction**
Cardiorespiratory fitness (CRF) is a health-related component of physical fitness defined as the ability of the circulatory, respiratory, and muscular systems to supply oxygen during sustained physical activity. CRF is usually expressed in metabolic equivalents (METs) or maximal oxygen uptake (VO₂ max) measured by exercise tests such as treadmill or cycle ergometer. CRF is not only a sensitive and reliable measure of habitual physical activity (American College of Sports Medicine, 1998; Church et al., 2007; Jackson et al., 2009; Wang et al., 2010), but also a relatively low-cost and useful health indicator for both symptomatic and asymptomatic patients in clinical practice (Gibbons et al., 2002; Gulati et al., 2005; Myers et al., 2002). According to a recent World Health Organization (WHO) report, high blood pressure, tobacco use, high blood glucose, physical inactivity, and obesity (in that order) explain 38% of total global deaths (WHO, 2009). The American Heart Association (AHA) stated that ideal cardiovascular disease (CVD) health, a newly defined concept, comprises of four health behaviors (non-smoking, body mass index [BMI] <25 kg/m², physical activity at goal levels, and pursuit of a recommended diet) and three health factors (untreated total cholesterol <200 mg/dL, untreated blood pressure <120/80 mmHg, and fasting blood glucose <100 mg/dL) (Lloyd-Jones et al., 2010). Scientific evidence shows that such behaviors and factors reported by the WHO and AHA are directly or indirectly associated with CRF (American College of Sports Medicine, 1998; Fletcher et al., 2001; Jackson et al., 2009; Wang et al., 2010).

Although there is convincing evidence that CRF is associated with morbidity and mortality in both men and women independently of other risk factors (Carnethon et al., 2003; Chase et al., 2009; Kodama et al., 2009; Lee et al., 2009b), the importance of CRF is still often ignored from a clinical perspective compared with other risk factors such as smoking, obesity, high blood pressure, or high blood glucose. In this review, we provide recent evidence from prospective studies testing the hypothesis that risks of all-cause and CVD mortality are different between different levels of baseline CRF and change in CRF over time. Also, we address the controversial issue of the relative contributions of CRF and obesity with mortality, and possible mechanisms linking CRF with mortality risk. Finally we describe the measurement issue of CRF in clinical settings and the major determinants of CRF. This review on CRF with mortality may be important in...
patients with schizophrenia where CRF levels are likely to be poor.

**Cardiorespiratory fitness and mortality**

There is convincing evidence that a moderate or high level of CRF reduces the risk of all-cause and CVD mortality in both men and women (Blair et al., 1989; Gulati et al., 2005; Kokkinos et al., 2008; Mora et al., 2003; Myers et al., 2002; Sandvik et al., 1993). The protective effect of CRF on mortality is independent of age, ethnicity, adiposity, smoking status, alcohol intake, and health conditions.

In the Aerobics Center Longitudinal Study (ACLS), compared with the least fit men and women, the most fit men and women had 43% and 53% lower risk for all-cause mortality, and 47% and 70% lower risk of CVD mortality, respectively (Figure 1). The total duration of the maximal treadmill test used in the CRF classification is highly correlated with measured maximal oxygen uptake ($r \geq 0.92$) in men (Pollock et al., 1976) and women (Pollock et al., 1982). Recently, the first meta-analysis has been published on the association of CRF with all-cause mortality and CVD events (including fatal and nonfatal CVD) in healthy individuals (Kodama et al., 2009). This review selected 33 studies comprising 102,980 participants with 6910 all-cause deaths, and 84,323 participants with 4485 CVD events in men and women. In dose–response analyses, each 1-MET increment in CRF (corresponding to approximately 1 km/h higher running/jogging speed) was associated with a 13% and 15% risk reduction from all-cause mortality and CVD events, respectively.

![Figure 1. Relative risks of (A) all-cause and (B) cardiovascular disease (CVD) mortality by cardiorespiratory fitness quintiles for 40,451 (2657 all-cause and 943 CVD deaths) men and 12,831 (375 all-cause and 90 CVD deaths) women aged 20–100 years without CVD or cancer in the Aerobics Center Longitudinal Study. Cardiorespiratory fitness is measured by total duration during a maximal treadmill exercise test. Relative risks (95% confidence intervals) are shown inside the bars and adjusted for age, year of examination, body mass index, smoking status, abnormal electrocardiogram, hypertension, diabetes, hypercholesterolemia, and family history of CVD.](image)
The authors explained that a 1-MET higher level of CRF is comparable to a 7-cm, 5-mmHg, 1-mmol/L (88 mg/dL), and 1-mmol/L (18 mg/dL) decrement in waist circumference, systolic blood pressure, triglyceride level (in men), and fasting plasma glucose, respectively, and a 0.2-mmol/L (8 mg/dL) increment in high-density lipoprotein cholesterol based on other studies (Coutinho et al., 1999; de Koning et al., 2007; Gordon et al., 1989; Hokanson and Austin, 1996; Lewington et al., 2002). In addition, individuals with low CRF had a substantially higher risk of all-cause mortality and CVD events compared with individuals with moderate and high CRF after adjustment for heterogeneity of study characteristics. Low CRF values for men and women are approximately 9 and 7 METs in those 40 years old, 8 and 6 METs in 50 years old, and 7 and 5 METs in 60 years or older, respectively. Data from the ACLS also supported similar MET values for low CRF level as a mortality predictor in each age group (Blair et al., 1995).

Kodama et al. conducted stratified analyses by some selected confounders in their review (Kodama et al., 2009). The risk reduction for all-cause mortality and CVD events per 1-MET increment in CRF was consistently significant, regardless of age, sex, smoking, coronary risk factors, abnormal exercise electrocardiogram, follow-up period, instrument for assessing CRF, and exercise testing method. Although a publication bias was suggested by statistical assessment in this review, adjustment for this bias did not change the general findings. Based on the above review, we believe that CRF is a strong independent predictor of all-cause mortality and CVD morbidity and mortality. Therefore, along with other well-established mortality risk factors such as smoking, obesity, hypertension, and diabetes, including CRF in risk stratification is recommended. Clinicians should act on this information to promote regular physical activity in order to reduce premature deaths from CVD and all causes.

**Change in cardiorespiratory fitness and mortality**

Most studies use a single baseline CRF measurement with subsequent mortality follow-up. However, individual levels of fitness may change over time due to changes in physical activity habits or other factors. Two prospective studies in men have shown an inverse association between change in CRF and mortality risk. We followed 9777 men (aged 20–82 at baseline) who had two CRF assessments over an average period of 4.9 years between examinations. These men were then followed an average of 5.1 years for mortality to test the hypothesis that change in CRF produce change in mortality risk. Men who were unfit at both visits had the highest death risk, men who were fit at both visits had the lowest death risk, and men who changed fitness status had intermediate risks (Figure 2) (Blair et al., 1995). Unfit was defined as quintile 1 and fit was defined as quintiles 2–5 of age-specific maximal exercise duration based on the measurements from the first examination. Investigators from Norway followed 2014 healthy men (aged 40–60 at baseline) and reported that improvements in CRF within 7 years were associated with a significantly lower risk of all-cause mortality during up to 15 years of follow-up, irrespective of CRF level at baseline. Changes in body weight over time had no effect on these results (Erikssen et al., 1998).

Health status at baseline may have an influence on the association between CRF change and mortality, and worsening health status at follow-up examinations may lead to early deaths irrespective of CRF change. Therefore, it is important

![Figure 2](image-url). Age-adjusted relative risks of all-cause and cardiovascular disease (CVD) mortality by change in cardiorespiratory fitness for 9777 (223 all-cause and 67 CVD deaths) men aged 20–82 in the Aerobics Center Longitudinal Study. Cardiorespiratory fitness is measured by total duration during a maximal treadmill exercise test. Unfit is defined as quintile 1 (20%) and fit is defined as quintiles 2–5 (80%) of age-specific maximal exercise duration. Relative risks (95% confidence intervals) are shown inside the bars. (Adapted from Blair et al. (1995)).
to control the effects of disease conditions at each examination if possible. Controlling disease conditions can be performed by adjusting for them in multivariable modeling, conducting stratified analyses in categories of the disease, or excluding those unhealthy individuals from the analysis. Both studies mentioned here used at least one of these approaches and found that the findings were unlikely to be biased by underlying disease.

These two studies on change in CRF and mortality provide evidence that improvement in CRF is associated with lower risk of all-cause and CVD death in men. To the best of the authors’ knowledge, currently no studies have been conducted in women on CRF change and mortality. Future studies in women are warranted to determine whether the findings in men can be replicated in women.

Cardiorespiratory fitness, obesity, and mortality

Approximately two-thirds of Americans are overweight or obese (Flegal et al., 2010) and obesity is the fifth leading cause of global mortality (WHO, 2009). CRF is a strong and independent predictor of all-cause and CVD mortality (Blair et al., 1995; Wei et al., 1999) and physical inactivity ranks fourth on the WHO list of causes of death (WHO, 2009). Given the difficulties of losing weight and maintaining a reduced weight over the long term (Hainer et al., 2008; Wing and Phelan, 2005), it is important to identify methods other than weight loss for risk reduction among obese individuals. Thus, studying the independent and combined effects of CRF and obesity with mortality may indicate benefits for those who are obese and at increased risk for obesity-related complications such as hypertension, diabetes, or CVD, leading to early death.

The relative contributions of CRF and obesity to health outcomes are controversial (Fogelholm, 2010; Lee et al., 2009a). We have found that a moderate to high level of CRF eliminates the higher risk of mortality associated with obesity (Lee et al., 1999). We observed similar findings among older adults aged 60 years or older (Sui et al., 2007). In these ACSL studies, obese individuals (BMI ≥30) who were fit had comparable mortality risk to normal-weight individuals who were unfit. These findings were consistent when using percentage body fat or waist circumference instead of BMI. Recently, the Veterans Exercise Testing Study in men aged 40–70 years also found that overweight and obese men had higher risk of all-cause mortality only if they had a low fitness level (McAuley et al., 2010).

In contrast, Stevens et al. report that both CRF and obesity are independent predictors of mortality in the Lipid Research Clinics (LRC) study. High fitness substantially ameliorated the risk of obesity, but did not eliminate it (Stevens et al., 2010). Another study from the same research group on the associations of fitness and fatness with mortality reported that both fitness and fatness had similar associations with all-cause and CVD mortality in American men (Stevens et al., 2004). Both LRC studies show that fitness attenuates the detrimental effects of obesity on mortality.

As we concluded earlier (Lee et al., 2009a), there is currently no consensus on whether CRF eliminates the higher risk of obesity for mortality. It does seem likely that individuals can reduce their risk of mortality by improving CRF, regardless of their level of adiposity. The highest risk of mortality is observed in those who are both obese and unfit, therefore, health professionals should encourage these patients to engage in regular physical activity to develop and maintain CRF, whether or not it makes them thin.

Mechanisms linking cardiorespiratory fitness with mortality

There are several possible biological mechanisms for the risk reduction of all-cause and CVD mortality in individuals with higher CRF. CRF improves insulin sensitivity, blood lipid and lipoprotein profile, body composition, inflammation, and blood pressure and the autonomic nervous system. Insulin resistance is a major determinant of CVD, especially in overweight or obese individuals (Reaven, 2005). Emerging evidence suggests that CRF plays an important role in relation to insulin resistance and sensitivity. In a large cross-sectional study, lower CRF was correlated with impaired insulin sensitivity measured by homeostasis model assessment of insulin resistance (HOMA-IR) (Leite et al., 2009). There are similar findings in older adults (Racette et al., 2006), postmenopausal women (Messier et al., 2008), and youths (Lee et al., 2006). Most of these studies also indicate that adiposity is another key factor on insulin sensitivity. Some (Lee et al., 2006; Messier et al., 2008), but not all (Leite et al., 2009; Nyholm et al., 2004; Racette et al., 2006), indicate that the effects of CRF on insulin sensitivity are attenuated after controlling for adiposity, suggesting that the reduction in insulin resistance from higher CRF may be partly mediated through adiposity. However, the relative contributions of adiposity and CRF to insulin sensitivity are still inconclusive. Because most of the evidence is based on cross-sectional analyses, well-conducted prospective studies and randomized controlled trials are needed.

Lipid and lipoprotein concentrations are accepted independent risk factors for coronary heart disease (CHD) (National Cholesterol Education Program Expert Panel on Detection, 2002). In a cross-sectional study of healthy men without diabetes, those with low CRF, in comparison with those with high CRF, had higher triglyceride, apolipoprotein B (a strong predictor of CHD events), and total cholesterol–HDL cholesterol ratio after matching individuals with similar BMI (Arsenault et al., 2007). There are similar findings from another cross-sectional study of 297 healthy men, for a given level of waist circumference, visceral fat, or subcutaneous fat (Lee et al., 2005). A recent randomized controlled trial of 217 men and women with exercise training and dietary instruction evaluated associations among lifestyle improvements, in particular increased CRF, and changes in the blood lipid profile (Kawano et al., 2009). When all participants were divided into three subgroups according to the degree of improvement in CRF, apolipoprotein B decreased and LDL cholesterol–apolipoprotein B ratio increased as CRF increased. In 127 middle-aged Finnish men, plasma
saturated fatty acids (positively correlated with triglyceride and insulin concentration) were lower and polyunsaturated fatty acids (negatively correlated with triglyceride) were higher in the most-fit tertile compared with those in the least-fit tertile (Konig et al., 2003).

Obesity is the fifth leading global risk factor for mortality (WHO, 2009), and decreased muscle mass and excess adiposity, especially visceral adiposity, are independently related to mortality (Taylor et al., 2010; Wannamethee et al., 2007). Two cross-sectional studies revealed that fit men had lower visceral adipose tissue compared with unfit men for a given BMI, suggesting that the effect of CRF to ameliorate the health risks associated with BMI may be, in part, mediated through a less abdominal adiposity (Arsenault et al., 2007; Wong et al., 2004). In a longitudinal study, higher CRF at baseline resulted in a lower fat mass gain over 4 years, independent of change in lean tissue mass among overweight Hispanic boys (Byrd-Williams et al., 2008). Another longitudinal study of 459 adults from the Canadian Physical Activity Longitudinal Study showed that higher CRF at baseline was associated with lower future risk of obesity over the 20-year follow-up period, independent of baseline age, physical activity, BMI, sex, smoking status, and alcohol consumption (Brien et al., 2007). There is also evidence that change in CRF is associated with subsequent change in weight gain. In a cohort of healthy middle-aged men (n = 4599) and women (n = 724), each 1 minute improvement in treadmill time reduced the risk of ≥5 kg gain by 14% in men and by 9% in women (DiPietro et al., 1998). Thus, CRF appears to be an important predictor of future adiposity, weight gain, and obesity.

Several population-based cross-sectional studies have found an inverse association between CRF and C-reactive protein, an inflammatory marker as a predictor of CVD (Aronson et al., 2004; Church et al., 2002a; Kuo et al., 2007; Williams et al., 2005). This inverse association was seen in both men and women and held after controlling for BMI and other CVD risk factors. Another study also showed similar results for the association of other inflammatory markers, plasma fibrinogen, white blood cell count, and uric acid, with CRF (Church et al., 2002b). In addition, these results are consistent within strata of body composition assessed by BMI, percentage body fat, or waist circumference. Thus, CRF may partly decrease the risk of all-cause and CVD mortality through less inflammation, regardless of body composition. High blood pressure is a strong predictor of CVD events and the number one global risk factor for mortality responsible for nearly 13% of total deaths in the world (WHO, 2009). Two large prospective studies, the ACLS and the Coronary Artery Risk Development in Young Adults (CARDIA) study, have shown a significant inverse association between CRF and incident hypertension in both men and women after adjustment for potential confounders including BMI (Barlow et al., 2006; Carnethon et al., 2003; Chase et al., 2009). Some studies found that fit individuals had enhanced cardiac autonomic nervous system activity as assessed by analysis of heart rate variability, compared with unfit individuals (Buchheit and Gindre, 2006; Ueno et al., 2002). Higher epicardial fat thickness (measured by echocardiography), an index of cardiac adiposity that may affect autonomic nervous system, is associated with poor CRF independent of body weight in overweight or obese men (Kim et al., 2010). Increasing evidence suggests that oxidative stress may play an important role in hypertension (de Champlain et al., 2004). Another recent study reported a negative association between CRF and oxidative stress and positive association between CRF and antioxidant enzyme activity, demonstrating that CRF may mediate against oxidative stress by maintaining antioxidant enzyme efficiency (Pialoux et al., 2009).

A cluster of several metabolic factors associated with higher risk of diabetes, CVD, and all-cause mortality (Gami et al., 2007; Ford, 2005) may explain an additional proportion of the mechanisms linking CRF to risk of mortality. Several reports demonstrate an inverse association between CRF and risk of developing metabolic syndrome (Hassinen et al., 2008; Janssen and Cramp, 2007; LaMonte et al., 2005). In a randomized controlled trial of 365 men and women from the ProActive study, increased CRF was associated with reduced clustered metabolic risk variables (waist circumference, fasting triacylglycerol, insulin and glucose, blood pressure, and HDL-cholesterol) over 1 year follow-up, independent of age, sex, smoking status, socioeconomic status, and baseline metabolic score (Simmons et al., 2008).

Assessment of cardiorespiratory fitness

CRF can be measured directly from expired gas analysis or estimated through various maximal or submaximal exercise tests generally using a treadmill or cycle ergometer. Because directly measured CRF (VO$_2$ max) is more precise than other methods, it is recommended for most research studies. CRF is typically expressed as multiples of resting metabolic rate (METs), where a score of 10 METs indicates that a person can increase resting metabolism 10-fold. However, if direct measurement of CRF is not feasible due to cost, space, or equipment, CRF can be estimated from heart rate or exercise time to exhaustion in various exercise tests. Submaximal exercise tests are less difficult and more convenient in terms of time, effort, and cost, yet still provide adequate estimates of CRF. A review study indicates that submaximal testing appears to have greater applicability with high correlation between maximal and submaximal testing (r = 0.7–0.9) in various submaximal tests such as submaximal treadmill and cycle ergometer tests, 12-minute run test, and 1-mile walk test (Noonan and Dean, 2000). The American College of Sports Medicine provides guidelines for exercise testing including various test modes and protocols, general procedures, test termination criteria, and normative values for CRF by sex and age (American College of Sports Medicine, 2009). The American Heart Association also provides recommendations for clinical exercise testing laboratories (Fletcher et al., 2001; Myers et al., 2009), and a scientific statement highlighting the major clinical and research applications of CRF assessment (Arena et al., 2007).

Although exercise testing is generally safe, major complications such as myocardial infarction or serious arrhythmias have been reported at a rate of up to 5 per 10,000 tests, and sudden cardiac death has occurred in up to 0.5 per 10,000 tests (Arena et al., 2007; Gordon and Kohl, 1993).
The American College of Cardiology/American Heart Association provides guidelines for exercise testing in patients with known or suspected CVD (Gibbons et al., 1997, 2002).

The terms CRF and physical activity are sometimes used interchangeably. However, physical activity is self-reported in many studies and is subject to major misclassification (Walsh et al., 2004), leading to underestimation of the association between physical activity and health outcomes. We recently reported that CRF is more strongly associated with all-cause mortality than self-reported physical activity in 42,373 men and women (Lee et al., 2010). Therefore, using objectively measured CRF rather than self-reported physical activity may provide less biased data on the association between habitual physical activity and risk of mortality.

Many reports have recommended that CRF assessment should be included in the clinical setting based on the importance of CRF to morbidity and mortality prevention (Gibbons et al., 2002; Gulati et al., 2005; Kodama et al., 2009; Myers et al., 2002). CRF is recognized as a stronger predictor of mortality than established risk factors such as hypertension, smoking, and diabetes in both healthy individuals and those with CVD (Myers et al., 2002). In addition, each 1 MET increase in CRF change was associated with a reduction of approximately 16% in mortality risk (Blair et al., 1995).

We believe clinicians should also use CRF from routine exercise test to stratify risk, classify patients, and make clinical recommendations providing important additional information.

**Determinants of cardiorespiratory fitness**

CRF is a surrogate measure of functional status of respiratory, cardiovascular, and skeletal muscle systems. Individual level of CRF depends on those modifiable (physical activity, smoking, obesity, and medical condition) and non-modifiable (age, gender, and genotype) factors, but is primarily determined by physical activity (Table 1). After reaching the maximal value of CRF between age of 20–30 years, CRF starts to decline with age and the rate of decline accelerates markedly with advancing age in healthy populations according to two longitudinal studies (Fleg et al., 2005; Jackson et al., 2009). In these studies, the pattern of decline with age is accelerated by reducing physical activity and weight gain.

Women have approximately 2 METs lower CRF capacity than men, attributed to their smaller muscle mass, lower hemoglobin and blood volume, and smaller stroke volume compared with men (Fletcher et al., 2001). Based on the US National Health and Nutrition Examination Surveys, the estimated mean CRF level was 12 METs for men and 10 METs for women aged 20–49 years (Wang et al., 2010).

Genetic factors may also contribute to individual variation in CRF level. The most well-known findings on the role of genotype in CRF were derived from the HERITAGE Family Study in more than 700 healthy but sedentary men and women (Bouchard et al., 1999; Bouchard and Rankinen, 2001; Rankinen et al., 2010). After 20 weeks of exercise training, although the mean CRF increased approximately 15–18% in all four sex and generation groups (fathers, mothers, sons, and daughters), there was 2.5 times more variance between families than within families in the CRF response, and the maximal heritability estimate of the CRF response to training reached 47%. Although little is known about the role of specific genes on CRF, familial and genetic factors clearly contribute to CRF.

Medical conditions related to respiratory, cardiovascular, or skeletal muscle function can have an influence on CRF. Data from several studies show that average METs in individuals with CVD, diabetes, or hypertension are roughly 10–25% lower than those in relatively healthy individuals (Blair et al., 1995; Gulati et al., 2005; Myers et al., 2002). In a cross-sectional US national data study, hypertension, hypercholesterolemia, and low HDL-cholesterol levels were more prevalent among adults with low CRF compared with individuals with moderate or high CRF (Carnethon et al., 2005).

Among lifestyle factors, physical activity is a principal determinant of CRF (American College of Sports Medicine, 1998; Physical Activity Guidelines Advisory Committee, 2008). Evidence from randomized controlled trials demonstrate a dose–response relationship between physical activity and improvement in CRF, suggesting that increasing either intensity or volume of physical activity appears to have additional effects on CRF after controlling for each other (Church et al., 2007; Duscha et al., 2005; O’Donovan et al., 2005), as seen in Figure 3. Even moderate intensity physical activity at 40–55% of peak VO₂ intensity is sufficient to improve CRF (Church et al., 2007; Duscha et al., 2005). Also, findings from the prospective studies on CRF change and mortality showed that men who improved CRF increased their physical activity over the same period (Blair et al., 1995; Erikssen et al., 1998).

![Figure 3](image_url)
In addition to regular physical activity, the US national survey indicated that individuals who were obese had approximately 10–15% lower CRF than non-obese individuals (Wang et al., 2010). Recent findings from the ACLS show that engaging in physical activity, maintaining a normal weight, and not smoking were associated with substantially higher levels of CRF across the adult life span in both men and women (Jackson et al., 2009).

**Conclusion**

Moderate to high levels of CRF and improvement in CRF are associated with a lower risk of mortality from all-causes and CVD in both men and women regardless of age, smoking status, body composition, other risk factors, method of CRF assessment, and study design. CRF appears to attenuate the higher risk of death associated with obesity although it is not yet clear whether CRF completely eliminates mortality risk in obese individuals. We believe that including CRF in clinical evaluations along with traditional evaluations such as blood pressure measurement and blood chemistry analyses may contribute to chronic disease prevention and longer life span.

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