Heart failure with preserved, mid-range, and reduced ejection fraction across health care settings: an observational study

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Abstract

Aims This study aimed to assess the sex-specific distribution of heart failure (HF) with preserved, mid-range, and reduced ejection fraction across three health care settings.

Methods and results In this descriptive observational study, we retrieved the distribution of HF types (with reduced ejection fraction (HFrEF), mid-range ejection fraction (HFmrEF), and preserved ejection fraction (HFpEF)) for men and women between 65 and 79 years of age in three health care settings from a single country: (i) patients with screening-detected HF in the high-risk community (i.e. those with shortness of breath, frailty, diabetes mellitus, and chronic obstructive pulmonary disease) from four screening studies, (ii) patients with confirmed HF from primary care derived from a single observational study, and (iii) patients with confirmed HF from outpatient cardiology clinics participating in a registry. Among 1407 patients from the high-risk community, 288 had screen-detected HF (15% HFrEF, 12% HFmrEF, 74% HFpEF), and 51% of the screen-detected HF patients were women. In both women (82%) and men (65%), HFpEF was the most prevalent HF type. In the routine general practice population (30 practices, 70 000 individuals), among the 160 confirmed HF cases, 35% had HFrEF, 23% HFmrEF, and 43% HFpEF, and in total, 43% were women. In women, HFpEF was the most prevalent HF type (52%), while in men, this was HFrEF (41%). In outpatient cardiology clinics (n = 34), of the 4742 HF patients (66% HFrEF, 15% HFmrEF, 20% HFpEF), 36% were women. In both women (56%) and men (71%), HFrEF was the most prevalent HF type.

Conclusions Both HF types and sex distribution vary considerably in HF patients of 65–79 years of age among health care settings. From the high-risk community through to general practice to the cardiology outpatient setting, there is a shift in HF type from HFpEF to HFrEF and a decrease in the proportion of HF patients that are women.

Keywords Heart failure; Sex differences; HFrEF; HFmrEF; HFpEF; Screening

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Introduction

The landscape of heart failure (HF) has changed dramatically over the last few decades. HF with preserved ejection fraction (HFpEF) has now become a major health care challenge, as despite its high prevalence and growing incidence in the older population, there is a distinct lack of evidence-based prognostic therapies.1,2

In the last two decades, evidence-based disease-modifying drugs and multidisciplinary HF outpatient...
programmes have greatly improved the care of patients with HF, which is particularly true for those with reduced ejection fraction (HFrEF).3–6 By transition of key elements of such programmes, near home management of stable HFrEF patients by general practitioners, preferably supported by HF nurses, and/or by eHealth, seems feasible and can lead to reduction of use of resources and achieve ‘best care on the right place’.4,5,7,9

Most patients with HF are diagnosed in primary care.6,10 In general practice, the diagnosis of HF is typically based on symptoms and signs, followed by electrocardiography (ECG), and preferably by the addition of natriuretic peptide measurements. If abnormal, referral should follow for echocardiography to confirm the diagnosis and differentiate between the three main HF types, that is, HFrEF, HF with mid-range ejection fraction (HfMRIEF), and HFrEF, and to identify correctable abnormalities. These cases are nearly always of slow onset; acute onset HF is generally diagnosed in the hospital, sometimes preceded by an initial period with complaints unrecognized as HF symptoms.

Previous studies reported that HFrEF mainly affects older women, whereas HFrEF affects younger men.1,2,11 Despite these observations, there is a lack of comparison on the distribution of sex and different types of HF over different health care settings: community, general practice, and cardiology hospital-based setting. This information may contribute to early diagnosis of HF and tailored management of HF patients in the specific health care setting.

We therefore assessed the distribution of sex and HF types (HFrEF, HfMRIEF, and HFpEF) in an older population (65 to 79 years) among community-dwelling high-risk men and women with screening-detected HF, in HF patients from primary care, and in HF patients in the cardiology outpatient setting.

Methods

Study design, study population, and outcome definition

In this descriptive study, we included six studies: four cross-sectional screening studies among older high-risk community people (463 HF patients), one study from general practice (434 HF patients), and a large registry study of outpatient cardiology departments containing data from 10 910 HF patients (Table 2). The studies were conducted between 2001 and 2016 in the Netherlands and are described in detail elsewhere.12–17

Screening studies in high-risk community patients

Between 2010 and 2012, van Riet and co-workers screened for HF in 585 patients aged ≥65 years presenting to general practice with shortness of breath (SOB) on exertion in the previous 12 months and unknown with a history of established HF.12 All participants underwent history taking, physical examination, ECG, and a blood test for measurement of N-terminal pro B-type natriuretic peptide (NT-proBNP). Only those with an abnormal electrocardiogram or NT-proBNP level exceeding the exclusionary cut-point for non-acute onset HF of >125 pg/mL underwent echocardiography. An expert panel established presence or absence of HF according to the criteria of the 2012 European Society of Cardiology (ESC) HF guidelines.18

Also between 2010 and 2012, van Mourik and co-workers screened for HF in 570 community-dwelling frail persons aged ≥65 years, with a history of HF with a two-step screening strategy.13 First, they received a questionnaire about SOB and exercise tolerance. Those with exercise intolerance and/or SOB were invited to visit the general practice for a local screening programme, similarly as in the study of van Riet et al. Again, the final diagnosis was determined by a panel of experts based on all available diagnostic data, and presence or absence of HF established according to the criteria of the 2008 ESC HF guidelines, which are similar to the 2012 guidelines on HF.19

Boonman-de Winter and co-workers screened 581 patients aged 60 years or over with type 2 diabetes (T2D) and without a history of HF.14 Between February 2009 and March 2010, these patients underwent a similar standardized diagnostic work-up, and an expert panel decided on presence or absence of HF according to the criteria of the 2008 ESC HF guidelines.19

Between 2001 and 2003, Rutten and co-workers screened 405 participants aged 65 years of over with a general practitioner’s diagnosis of chronic obstructive pulmonary disease (COPD) and unknown with a history of HF.15 Also in this study, a similar diagnostic work-up including echocardiography and an expert panel was used to establish presence or absence of HF according to the diagnostic criteria of the 2001 ESC HF guidelines, which are similar to the 2012 guidelines on HF.20

Routine general practice care

Valk and co-workers conducted a cross-sectional study among 683 patients from 30 general practices (70 000 individuals enlisted) with a general practitioner’s diagnosis of HF between June and November 2011.16 Information on the diagnosis, medical history, medication use, and laboratory tests were collected from electronic medical record. An expert panel consisting of two cardiologists and an experienced general practitioner used all available diagnostic information and adjudicated the presence or absence of HF according to the criteria of the 2012 ESC HF guidelines.18 In 434 patients (63.5%), HF was established by the panel and these patients were included in the current study.
## Table 1  Study characteristics and diagnostic criteria to define heart failure

| Domain                      | High-risk community                                                                 | General practice | Cardiology OPC |
|-----------------------------|--------------------------------------------------------------------------------------|------------------|----------------|
| **Design**                  | Cross-sectional 2010–2012                                                            | Cross-sectional 2011 | Cross-sectional 2013–2016 |
| **Time window**             | ≥65 years old. No previous diagnosis of heart failure.                               | Community-dwelling ≥18 years old. | Diagnosed with chronic heart failure and treated at Dutch outpatient heart failure clinics. |
| **Study population**        | Presented in primary care with shortness of breath on exertion.                      | General practitioner’s diagnosis of COPD and heart failure diagnosis (International Classification of Primary Care code K77) during at least two encounters. |
| **Heart failure diagnosis and definition** | Expert panel decision. Signs and symptoms of heart failure and structural or functional echocardiographic evidence of cardiac dysfunction at rest. | Expert panel decision. Signs and symptoms of heart failure and structural or functional echocardiographic evidence of cardiac dysfunction at rest. | Cardiologists at individual centres. Signs and symptoms of heart failure and structural or functional echocardiographic evidence of cardiac dysfunction at rest. |
| Participants without a previous heart failure diagnosis (n) | 585                                                                 | 370               | 683            |
| Prevalence of newly detected heart failure (%) | 16%                                                                 | 35%               | 64%            |
| Prevalence of confirmed heart failure (%) | 16%                                                                 | 35%               | 64%            |

COPD, chronic obstructive pulmonary disease; OPC, outpatient clinic.
Outpatient cardiology clinics

Brugts and co-workers studied 10 910 patients with established HF receiving routine care at 34 Dutch cardiology outpatient centres in the period 2013–2016 and who were enrolled in the CHECK-HF registry. HF was diagnosed, similarly as in the screening studies and primary care study, that is, signs and symptoms suggestive of HF plus structural and/or functional cardiac abnormalities with echocardiography, and in accordance with the 2012 ESC guidelines.\(^{18}\)

Outcome definition

The objective of this study is to assess the distribution of HF type (i) in those with screening-detected HF in the high-risk community, (ii) in patients with confirmed HF from primary care, and (iii) in those with confirmed HF in the outpatient cardiology clinics. For the current study, we reclassified the types of HF in the six studies according to the 2016 ESC guidelines on HF into the following: HFrEF if left ventricular ejection fraction (LVEF) < 40%, HFmrEF if LVEF 40–49%, and HfPEF if LVEF ≥ 50%.\(^6\) An overview of the studies with patient characteristics and the diagnostic criteria used to define HF is given in Table 1.

Ethical approval

The studies conformed to the principles outlined in the Declaration of Helsinki.\(^{22}\) The studies of van Riet, van Mourik, and Rutten were approved by the Medical Ethics Committee of the University Medical Center Utrecht, the Netherlands; all participants gave written informed consent. The study of Boonman-de Winter was approved by the institutional review board of the University Medical Center Utrecht and the Admiraal de Ruyter Hospital in Goes, the Netherlands; all participants gave written informed consent. The study of Valk was approved by the Regional Medical Ethics Committee (Verenigde Commissies Mensgebonden Onderzoek—VCMO) of four hospitals in the Utrecht region, including the Meander Medical Center in Amersfoort, the Netherlands. The CHECK-HF registry study was approved by the ethics committee of Maastricht University Medical Center 2017, Maastricht, the Netherlands.

Statistical analysis

For men and women, we extracted age-specific data regarding HFrEF, HFmrEF, and HfPEF diagnosis in strata of 5 years (65–69; 70–74; 75–79) from the three health care settings and for each outpatient cardiology centre separately. As age categories such as <65 and >80 years hamper comparability of prevalence estimates between studies due to the unknown age range and distribution, we restricted ourselves to the 5 year strata between 65 and 79 years because all studies provided these age-specific categories. Results are presented in absolute numbers and percentages.

Patient characteristics are presented as counts and percentages per health care setting for the following comorbidities: ischaemic heart disease, atrial fibrillation, diabetes, renal dysfunction, COPD, hypertension, hyperlipidaemia, peripheral artery disease, stroke, or transient ischaemic attack. If (i) studies used different definitions and (ii) if more than 10% of values were missing, the information was not presented.

All visualizations were performed in R statistical software Version 4.3, Foundation for Statistical Computing, Vienna, Austria.\(^{23}\)

Results

In the high-risk community, among 1407 screened patients between 65 and 79 years old (703 women and 704 men), 288 (20.5%) had screen-detected HF. In the routine general practice, there were 160 confirmed cases of HF in patients between 65 and 79 years old. In the outpatient cardiology clinics, there were 4742 HF patients between 65 and 79 years old with numerically known LVEF.

Sex and heart failure type distribution

In the high-risk community, 146 of the 288 screening-detected HF patients were women (51%). Of the 288 patients, 15% had HFrEF, 12% HFmrEF, and 74% HfPEF. HfPEF was the most prevalent screening-detected type of HF for both men and women, being present in 82% of women and in 65% of men with HF (Figure 1, Table 2).

In the routine general practice, 69 of the 160 confirmed HF patients were women (43%). Of the 160 patients, 35% had HFrEF, 23% HFmrEF, and 43% HfPEF. In women, HfPEF was the most prevalent HF type (52%), while in men, this was HFrEF (41%).

In the outpatient cardiology clinics, 1692 of the 4742 HF patients were women (36%). Of the 4742 patients, 66% had HFrEF, 15% HFmrEF, and 20% HfPEF. HFrEF was the most prevalent HF type in both women (56%) and men (71%). The distribution of HFrEF, HFmrEF, and HfPEF varied considerably between the 34 outpatient cardiology centres, ranging from 32% to 91% for HFrEF, 0% to 29% for HFmrEF, and 1% to 40% for HfPEF.

Comorbidities

In all three domains, patients with HFrEF and HFmrEF more often had a history of ischaemic heart disease than patients with HfPEF. In addition, in the general practice and the
outpatient cardiology centres, patients with HFrEF more often had a history of atrial fibrillation and hypertension (Table 3). Although renal dysfunction is important in HF, unfortunately information on that topic was insufficient for presentation due to differences between studies in definition, and missing data (20%) from routine care.

**Discussion**

This study showed that in 65- to 79-year-old high-risk community men and women, the most common screening-detected HF type was HFrEF. In primary care, HFrEF was the most prevalent HF type in men and HFrEF was most prevalent in women. In similarly aged patients managed at the cardiology outpatient setting, HFrEF was the most encountered HF type for both men and women. HF was somewhat more prevalent in women than in men in the high-risk community. In primary care, the HF population consisted of more men than women, and the vast majority of HF patients managed in the cardiology outpatient setting were men.

**Clinical interpretation and implication**

It is difficult to diagnose HF in the community, and screening studies in high-risk populations (i.e. aged above 60–65 years with COPD, T2D, frail, or with SOB) showed that unrecognized HF, notably HFrEF, is very common.12-15 Symptoms of HF (both HFrEF, HFrEF, and HFrEF) are non-specific and can easily be attributed to age, deconditioning, or to comorbidities such as COPD and T2D. Some studies suggest that comorbidities are more prevalent in patients with HFrEF, which complicates diagnosis in patients with this specific HF type even more and might therefore be a possible explanation for the relatively more HFrEF than HFrEF cases detected.

![Figure 1] Distribution of sex and HFrEF, HFmrEF, and HFrEF in the high-risk community, general practice, and cardiology outpatient clinics. HF, heart failure; HFmrEF, heart failure with mid-range ejection fraction; HFrEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; OPC, outpatient clinic.
through screening in our high-risk population.24,25 However, in the current study, comorbidities were not more prevalent in HFpEF patients detected through screening compared with those already in routine general practice care, suggesting that number of comorbidities is not the only explanation. The differences in sex and type of HF distribution can mainly be explained by the fact that patients with HFrEF are predominantly managed in the cardiology setting, with some outpatient cardiology centres seeing hardly any HFpEF patients (this study), presumably because of the lack of treatment options for this HF type. Thus, patients with HFpEF are mainly managed in general practice with especially HFpEF remaining unnoticed or being misclassified as another disorder, for example, COPD. Management of patients with HFrEF requires strict medication adherence, up-titration of disease-modifying drugs along with extensive lifestyle changes including salt and fluid restriction. However, for HFpEF patients, treatment is based on restricting episodes of fluid overload and blood pressure management, which is typically performed by general practitioners together with practice nurses. In regard to HFmrEF patients, they seem to benefit from therapies that have shown to improve outcomes in patients with HFrEF.26-28 These differences in management underline the importance of early diagnosis, accurate distinction between HF types and subsequently appropriate care in the right place. A second explanation for the differences we found in sex and type of HF distribution are the not yet completely unravelled biological differences between women and men, with HFpEF being more common among older women and HFrEF among younger men.

Other factors influencing the setting where HF care is delivered include severity of disease, other patient characteristics, for example, number and severity of comorbidities or patient preferences, and governmental policy. The Dutch governmental policy is to encourage the management of chronic care from hospital to primary care setting, which includes stable HFrEF notably, when patients are on optimal doses of medication and given the appropriate education.8,29 Two trials, the Danish NorthStar trial and the Dutch Comparative Study on Guideline Adherence and Patient Compliance in Heart Failure Patients (COACH-2) study, showed that stable HFrEF patients can be safely referred back to primary care after initial management and medical optimization in the HF outpatient clinic.8,9

Caregivers need to be aware of these considerable differences in sex and HF type distribution between health care settings. Participants in the HF drug randomized controlled trials often do not reflect the HF population as seen in primary care; especially, women and older patients are much less represented and less studies have been done in HFpEF patients.31,30,31 Thus, the vast majority of HF drug trials were performed in relatively young and predominantly male HFrEF patients with a scarcity on comorbidities in clear contrast to

Table 2 Age-specific and sex-specific number of patients with heart failure with reduced, mid-range, and preserved ejection fraction in the high-risk community, general practice, and outpatient cardiology clinics

| Age (years) | High-risk community | General practice | Cardiology OPC |
|------------|---------------------|-----------------|---------------|
|            | Men (n = 142)       | Women (n = 146) | Men (n = 3050) | Women (n = 1692) |
|            | HFrEF | HFmrEF | HfpEF | HFrEF | HFmrEF | HfpEF | HFrEF | HFmrEF | HfpEF | Total |
| 65–69      | 9     | 11    | 26    | 3     | 1      | 16    | 3     | 1      | 16    | 66 (23%) |
| 70–74      | 12    | 7     | 28    | 6     | 3      | 53    | 5     | 5      | 53    | 109 (38%) |
| 75–79      | 7     | 4     | 38    | 5     | 8      | 51    | 7     | 8      | 51    | 113 (39%) |
| Total      | 28 (10%) | 22 (8%) | 92 (32%) | 14 (5%) | 12 (4%) | 120 (42%) | 19 (12%) | 14 (9%) | 36 (23%) | 288 (100%) |

HFmrEF, heart failure with mid-range ejection fraction; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; OPC, outpatient clinic.
Given the difference in distribution of HFP EF in the community and general practice compared with the cardiology setting, these patient populations differ in severity and comorbidities. This fits with the knowledge that there is large heterogeneity among HFP EF patients, with distinct clusters of patients. Importantly however, therapeutic studies in screening-detected HFP EF patients are lacking. So findings in HFP EF patients seen in the hospital may not be applicable to this screening-detected HFP EF population.

Comparison with other studies

Comparison with other studies is limited due to a lack of data on screening in routine general practice care with details on HF types. A retrospective cohort study focusing on gender differences in utilization of HF clinics in Canada reported that 35.5% (314 out of 884 patients) of the participants were women, which is similar to our results seen in the cardiology outpatient setting. Another Canadian prospective cohort study showed that among 549 patients who were diagnosed...
with HF at the emergency department, men had HFrEF more often than women (58.7% in men and 39.8% in women), and these men were more likely to be referred to an HF clinic.\textsuperscript{35} Similar to this study, a cohort study used medical record data from primary care clinics in Massachusetts, USA, to examine the difference in ethnicity, location of care (hospital or community based), and sex in regard to referral to a cardiologist. In the 4444 patients with reported HF, they found that women were less likely to be referred to a cardiologist than men; however, this study did not provide information on the type of HF.\textsuperscript{36} When investigating sex differences in HF care, it is important to consider the type of HF, which may at least partly explain the observed differences. In our study, we did not assess referral rates, but compared the ratios of HFpEF/HFmrEF/HFrEF and women/men across the primary and secondary care, and showed similar data regarding disparities in sex. However, we can, in contrast to the aforementioned studies, show that part of this disparity was related to the type of HF.

Strength and limitations

One strength of our analysis is the use of the same reference for the diagnosis of HF, all based on signs and symptoms suggestive of HF and structural and/or functional abnormalities with echocardiography. In addition, a panel of clinical experts was used in the four community and single general practice study. All studies used the criteria of the ESC HF guidelines and were executed in a single country. In the Netherlands, everybody has a health insurance and access to the health care system, and therefore, differences in percentages we observed were not due to limitations in access to health care services or differences in re-imbursement.

A limitation is the relatively small sample size of the single general practice study; this may affect precision of the estimates, but it has no effect on the directions of effects of our findings. Secondly, we could not compare the quality of care provided or extract details on the severity of HF. Thirdly, because the location of HF care is influenced by the structure of national health care, governmental policy, and population structure and composition, the distribution may differ for other countries or ethnicities.

In conclusion, the types of HF and sex distribution greatly vary in HF patients of 65–79 years of age among three different health care settings. From the high-risk community through to general practice to the cardiology outpatient setting, there is a shift in HF type from HFpEF to HFrEF and a decrease in the proportion of HF patients that are women.

Conflict of interest

H.B.R.L.R. reports grants and personal fees from Novartis, grants and personal fees from Vifor, grants and personal fees from Roche Diagnostics, grants and personal fees from Boehringer Ingelheim, and personal fees from AstraZeneca, outside the submitted work. J.B. reports grants and personal fees from Abbott, outside the submitted work.

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