Severe acute respiratory syndrome-coronavirus-2 spike (S) protein based vaccine candidates: State of the art and future prospects

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Summary
Coronavirus disease 2019 (Covid-19) is caused by severe acute respiratory syndrome-coronavirus-2 (SARS-CoV-2) which is responsible for a global pandemic that started in late 2019 in Wuhan, China. To prevent the worldwide spread of this highly pathogenic virus, development of an effective and safe vaccine is urgently needed. The SARS-CoV-2 and SARS-CoV share a high degree of genetic and pathologic identity and share safety and immune-enhancement concerns regarding vaccine development. Prior animal studies with first generation (whole virus-based) preparations of SARS-CoV vaccines (inactivated and attenuated vaccine modalities) indicated the possibility of increased infectivity or eosinophilic infiltration by immunization. Therefore, development of second and third generation safer vaccines (by using modern vaccine platforms) is actively sought for this viral infection. The spike (S) protein of SARS-CoVs is the main determinant of cell entry and tropism and is responsible for facilitating zoonosis into humans and sustained person-to-person transmission. Furthermore, ‘S’ protein contains multiple neutralizing epitopes that play an essential role in the induction of neutralizing antibodies (nAbs) and protective immunity. Moreover, T-cell responses against the SARS-CoV-2 ‘S’ protein have also been characterized that correlate to the IgG and IgA antibody titres in Covid-19 patients. Thus, S protein is an obvious candidate antigen for inclusion into vaccine platforms against SARS-CoV-2 viral infection. This manuscript reviews different characteristics of S protein, its potency and ‘state of...
the art’ of the vaccine development strategies and platforms using this antigen, for construction of a safe and effective SARS-CoV-2 vaccine.

**KEYWORDS**
RBD, SARS-CoV-2, spike, vaccine

## 1 | INTRODUCTION

Coronaviruses (CoVs) are enveloped viruses of the *Coronaviridae* family, composed of a large single-strand, positive-sense RNA genome (ranging from 26 to 32 kilobases in length) with 5’-cap and 3’ poly-A tail. While some members of the *Coronaviridae* family might cause mild respiratory symptoms (229E, OC43, NL63 and HKU1), three members including severe acute respiratory syndrome-CoV (SARS-CoV), Middle East respiratory syndrome-CoV (MERS-CoV) and the novel coronavirus (SARS-CoV-2) are highly pathogenic in humans. SARS-CoV emerged in China in 2002 and MERS-CoV in Saudi Arabia in 2012. The novel coronavirus (2019-nCoV), first detected in patients with pneumonia in Wuhan, China, in December 2019, was named SARS-CoV-2 and the disease caused by SARS-CoV-2 was named Coronavirus Disease 2019 (Covid-19). SARS-CoV-2 is believed to have originated from bats, but pangolins are proposed as possible intermediate hosts. Although the mortality rates in SARS-CoV-2 infection are not as high compared to that of SARS-CoV and MERS-CoV, SARS-CoV-2 is more transmissible and so has claimed considerably more lives. Additionally, the newly reported D614G amino acid change in spike (S) protein seems to have augmented infectivity of the SARS-CoV-2. Development of a vaccine against this viral infection is the priority of WHO and other global healthcare organizations. However, several drugs are being evaluated for efficacy in treating SARS-CoV-2, among which remdesivir and dexamethasone have shown improved outcomes in very ill patients. The results of recent randomized clinical trials (RCTs) demonstrated that remdesivir (that received FDA authorization of emergency use in severe Covid-19 patients) and dexamethasone can decrease the recovery time for Covid-19 hospitalized-patients under supplemental oxygen therapy. There are also ongoing RCTs evaluating the safety and efficacy of the immunomodulator interferon beta-1a alone (NCT04385095) or in combination with remdesivir (NCT04492475).

The SARS-CoV-2 genome encodes several non-structural (NSP1-NSP10 and NSP12-16) and accessory proteins as well as four structural proteins, including spike (S), envelope (E), membrane (M) and nucleocapsid (N; Figure 1a). Among structural proteins, S, is responsible for binding to cellular-angiotensin-converting enzyme 2 (ACE2; which acts as the cellular receptor) and thus is an obvious candidate antigen for vaccine development based on induction of neutralizing antibodies (nAbs) against the virus. Phylogenetic analysis of full-length genomes indicated that SARS-CoV-2 is more closely related to bat-SL-CoV ZC45 and bat-SL-CoV ZXC21 (but more distantly related to SARS-CoV) and maximum homology (96.2% nucleotide sequence identity) with CoV RaTG13 isolated from Rhinolophus affinis bats. Interestingly however, in phylogenetic analyses based on receptor-binding domain (RBD) of the S or the S gene regions, SARS-CoV-2 was more closely related to SARS-CoV, indicating the high sequence similarity of S gene between two viruses. Accordingly, the origination of SARS-CoV-2 is commonly believed to be through the recombination of bat SARS-CoVs with most frequent recombination breakpoints located within the ‘S’ gene. To date, there are two propositions to explain the origin of SARS-CoV-2. The first scenario is based on high genomic sequence similarity (96%) between SARS-CoV-2 and the CoV isolated from a bat in 2013 (bat CoV RaTG13) and suggests a possible homologous recombination between the bat CoV and another CoV of unknown origin. The second scenario is based on natural selection in humans following zoonotic transmission. Indeed, S protein plays an essential role in viral attachment, fusion and entry into the host cells and might be the key protein for crossing the species barrier for adaptive evolution and animal-to-human transmission of SARS-CoVs. It is shown that nAbs targeting S protein block virus interaction with ACE2, while T-cell responses against the SARS-CoV-2 S protein correlate with IgG and IgA antibody titres in Covid-19 patients. Therefore, the S protein has attracted particular attention as the most likely target antigen for long-term immune response and vaccine design to SARS-CoV-2.

The present manuscript, reviews different characteristics of S protein, its potency and ‘state of the art’ of the vaccine development strategies and platforms using this antigen, for construction of a safe and effective SARS-CoV-2 vaccine.

## 2 | STRUCTURAL/FUNCTIONAL FEATURES OF S PROTEIN

The S gene encodes a 1273 amino acid protein which is heavily glycosylated during its synthesis and assembles into trimers on the virion surface, resulting to the crown-like appearance or corona. Schematic diagram of the S protein and its various domains is presented in Figure 1b. Two functional subunits S1 and S2 that arise from proteolytic processing are responsible for binding to the host cell receptor and fusion, respectively. Although the S1 subunits of SARS-CoV and SARS-CoV-2 can bind ACE2 to infect humans, the affinity of the RBD in the S1 subunit to ACE2 in SARS-CoV-2 is 10 to 20 fold stronger than that of the SARS-CoV, which may contribute to the higher spread rate of SARS-CoV-2 from human to human. Unlike SARS-CoV, the S protein of SARS-CoV-2 contains a polybasic four residues at the boundary between the S1 and S2 subunits.
CoV transmissibility of CoV SARS contribute furin tropism that cleavage CoV four nucleocapsid 3b, The 2 (a) 1 SARS 2 that p6, nsp10). ten (E), encodes SARS proteins. spike – SARS pp1a contains nsp12 – pp1ab The and orf1ab accessory encodes – eight for 15 nsps – the the orf1a proteins – of (S), structural (N) Genome (nsp1 ARASHKIA states indicated respectively. responsible facilitate MERS have noted branches syndrome fusion 1237–1273). [NTD]: peptide reference ARASHKIA states, – RBD up fusion on conformation ‘down’/’closed’ CoV – data – indicated the CoV of electron down for a – binding EM) pre trimers presence cryo forms and subunit cell accessible steps. proteins in inaccessible a proto – S1 ()) Such receptor of the – a – in and the 2 – a – in and 2 – binding domain; receptor-binding domain; SARS-CoV-, severe acute respiratory syndrome-coronavirus-2; SP, signal peptide; TM, transmembrane domain. The positions of N-linked glycosylation sequences are shown as branches (a furin cleavage site) that might contribute to the tropism and transmissibility of SARS-CoV-2.21

The cryo-electron microscopy (Cryo-EM) data of SARS-CoV and MERS-CoV S proteins indicated that the binding of S1 subunit to the host cell receptor forms a metastable pre-fusion conformation (‘up’/’opened’ conformation and /or ‘down’/’closed’ conformation) that switches a stable post-fusion conformation in the S2 subunit to facilitate the fusion steps. Such up and down conformations might be responsible for receptor-accessible and receptor-inaccessible states, respectively. Accordingly, recent studies on SARS-CoV-2 (Figure 2) indicated the presence of trimers with only a single RBD up protomer.25,32 This finding suggests that unstable, distinct conformational states might lead to the initiation of fusogenic conformational change similar to the highly pathogenic CoVs (SARS-CoV and MERS-CoV).33,34 This is in contrast to the common cold-related CoVs that have RBD down conformation in the S trimers.35-38 It should be noted however that in case of HCoV-NL63 and HCoV-229E with closed S trimers, RBDs hidden at the interface between protomers might need to be exposed.39,40 Overall, these findings emphasize that S protein trimers in highly pathogenic CoVs seem to exist in partially opened (up) state, whereas they remain largely closed (down) in CoVs associated with the common cold.

The SARS-CoV-2 S protein contains 22 N-linked glycosylation sequons per protomer that contain oligomannose and complex glycans (Figure 1b). Glycosylation is critical to folding of S glycoprotein and immune evasion by shielding specific epitopes from antibody neutralization. Of note, several proximal glycosylation sites (N165, N234, N343) are able to mask RBD on S trimer, especially in RBD closed or down conformation.25,41

3 | POTENTIAL CORRELATES OF PROTECTIVE IMMUNITY TO SARS-CoV-2 AND THE ROLE OF S PROTEIN

Despite uncertainty about immunological correlates of protection for Covid-19, correlation of virus-specific nAbs titres and the numbers of virus-specific T cells to SARS-CoV-2 (specially against S protein) with effective clearance of virus is reported in several studies (outlined in the following).
3.1 B cell immune responses and nAbs against SARS-CoV-2

It is well known that the humoral immune response is the critical primary effector of protective immunity for natural viral infection and vaccines. In case of Covid-19, seroconversion in most of the infected people occur between 7 and 14 days after the onset of symptoms, starting with the detection of IgM and IgA antibodies (that can be detected early during the first week or 3 weeks of symptom onset) followed by IgG detection by around 14 days after the initiation of symptoms\(^\text{28,42,43}\) (Figure 3). Rise in the Ab levels is also accompanied by the increase in activated CD4+/CD8+ T-cells and plasma cells in peripheral blood mononuclear cells (PBMCs)\(^\text{44,45}\) while IgG memory cells specific to the RBD have also been detected in the blood of Covid-19 patients.\(^\text{46}\) Similarly, the prevention of reinfection in SARS-CoV-2 infected rhesus macaques correlated with the rise of antibodies in recovered animals.\(^\text{47}\) In parallel, several studies in infected patients have shown the presence of serum IgA against SARS-CoV-2 with neutralizing potential\(^\text{42,48}\) as shown previously in preclinical studies (in bronchoalveolar lavages) with SARS-CoV vaccine candidates.\(^\text{49,50}\)

In general antibodies against both the N and S proteins are commonly detectable, among which those raised against RBD of S protein can be potently neutralizing and could be detected in most tested Covid-19 patients.\(^\text{28,46,51}\) Of note, neither plasma of convalescent Covid-19 patients nor SARS-CoV-2 RBDSpecific neutralizing monoclonal antibodies (mAbs) showed any cross-reactivity with that of the SARS-CoV or MERS-CoV. However, that of the SARS-CoV showed cross-reactive neutralization with SARS-CoV-2\(^\text{26,52-54}\) indicating the possibility of using SARS-CoV S (RBD) as the antigen to induce nAbs against SARS-CoV-2. Indeed, several mAbs and nanobodies derived against the S1-RBD, S1-NTD, and S2 of SARS-CoV and MERS-CoV might confer cross-activity against virus SARS-CoV-2 viral entry.\(^\text{27,52,55-59}\) It was reported that that SARS-CoV specific human mAbs, s309\(^\text{40}\) and CR3022\(^\text{52,54}\) were capable of binding to the SARS-CoV-2 effectively.\(^\text{61}\) Accordingly, sera from recovered patients of Covid-19 (as a potential source of nAbs) were used to generate mAbs against SARS-CoV-2. Four of the generated mAbs (31B5, 32D4, P2C-2F6 and P2C-1F11) indicated high neutralizing activity in vitro by efficiently inhibiting ACE2-RBD binding\(^\text{25,46,62,63}\). Alternatively, mAbs 47D11 and n3130 produced from SARS-CoV and SARS-CoV-2 respectively were shown to neutralize SARS-CoV-2 without inhibiting ACE2-RBD binding.\(^\text{64,65}\) In several other recent studies, Abs from convalescent Covid-19 patients (which are correlated with the S1, RBD and S2 regions) were used to treat SARS-CoV-2 infection.\(^\text{27,28}\) Animal models were also used to generate nAbs against SARS-CoV-2.
In this regard, nanobodies, containing a variable heavy (VH) chain against SARS-CoV-2 antibody titres to higher rates of viral neutralization (in vitro) and decline of viral load in patients (in vivo), some severe clinical cases of Covid-19 persisted despite the presence of higher antibody titres. This scenario which was also reported in the previous SARS-CoV and MERS-CoV epidemics, raised concerns about antibody-dependent enhancement (ADE). This phenomenon occurs when non-nAbs against proteins of a virus enhance virus entry to host cells particularly macrophages and monocytes, also enhancing virus infectivity and inflammatory activation. It should be noted that to date, there is no report on contribution of anti-SARS-CoV-2 antibodies to the pathological features observed in Covid-19 patients. Taken together, from findings of recent studies, it might be concluded that S1 and particularly RBD could be considered as the main antigen candidates in vaccine platform formulations to induce virus-specific nAbs to prevent SARS-CoV-2 infection.

### 3.2 T cell response

Although specific antibody responses are the primary effector of protective immunity against viral infections, T cell responses appear to play vital roles in the clearance of several viruses. Concerning Covid-19 infection, occurrence of lymphocytopenia (decline in the lymphocyte count) in both CD4 and CD8 T cells and decreased levels of circulating B cells, natural killer (NK) monocytes, eosinophils and basophils in severe cases is shown. Moreover, most of the severe Covid-19 cases (especially in ICU patients) exhibited significantly increased serum levels of pro-inflammatory cytokines and chemokine (so-called ‘cytokine storm’: e.g., IL-6, IL-1β, IL-2, IL-8, IL-17, GM-CSF, IFN-γ, TNFα) which correlated with the reduced number of T cells and severity of the disease. In Covid-19-induced severe pneumonia, higher levels of nearly similar cytokines/chemokines were correlated to lung injury, indicating that the cytokine storm and exacerbated inflammatory responses were manifested clinically by acute respiratory
distress syndrome (ARDS)\textsuperscript{82} (Figure 3). Such cytokine storms (or cytokine-release reactions), represent a hypersensitivity reaction (HSR) via activation of various immune cells by HSR mediators (i.e.: IL-6).\textsuperscript{83} Moreover, in cytokine storm reactions, complement component C3a and C5a bind to complement receptors resulting in the release of histamine, leukotrienes, and prostaglandins and contribute to the main symptoms such as flushing, hives, hypoxia, vasodilation and hypotension. Indeed, the representative SARS-CoV ssRNAs have powerful immuno-stimulatory activities in releasing pro-inflammatory cytokines (TNF-\(\alpha\), IL-6 and IL-12).\textsuperscript{83} Elevated levels of some pro-inflammatory cytokines (MCP-1, TGF-\(\beta\)1, TNF-\(\alpha\), IL-1 and IL-6) produced by SARS-CoV infected cells, might cause acute lung injury (ALI). Accordingly, in H5N1 influenza A viral infection, the inflammatory cytokines such as IL-1\(\beta\), IL-8 and IL-6 play a major role in mediating and amplifying ALI and ARDS by stimulating C5a chemotaxis. The C5a induces innate immune cells (mast cells, neutrophils and monocytes/macrophages) to release pro-inflammatory cytokines such as IL-12, TNF-\(\alpha\) and macrophage inflammatory proteins-1\(\alpha\). In addition, C5a also stimulates adaptive immune cells such as T and B cells to release cytokines such as TNF-\(\alpha\), IL-1\(\beta\), IL-6 and IL-8. Similar studies have also shown that H7N9-infected patients have significantly higher levels of cytokines such as IL-6, IP-10, IL-10, IFN-\(\gamma\) and TNF-\(\alpha\) compared to healthy volunteers. These observations indicate that the cytokine storm reaction might play an important role in ALI.\textsuperscript{83}

Among main SARS-CoV proteins (S, N and M, as well as ORF3), T cell responses against the S and N proteins have been documented to be the most dominant and long-lasting.\textsuperscript{84,85} Despite the short-lived antibody responses in convalescent Covid-19 patients, T cell responses were shown to induce long-term protection.\textsuperscript{86–88} Several predicted T cell epitopes within the S protein of SARS-CoV are completely identical to SARS-CoV-2\textsuperscript{89,90} implying the potential to elicit cross-protection responses. Recently, validation of the predicted T cell epitopes are undertaken by MegaPools, using PBMCs from patients recovered from Covid-19 disease as well as unexposed individuals.\textsuperscript{20} Results indicated that specific CD8\(^+\) and CD4\(^+\) T cells were generated in around 70% and 100% of Covid-19 patients, respectively.\textsuperscript{29} The identified CD4\(^+\) T cell responses were strong and associated with the induction of IgG and IgA antibody. Of note, 50% of the total CD4\(^+\) T cell responses were against the S protein, while the specific CD8\(^+\) T cells against S protein were also found in most, if not all participants.\textsuperscript{29,91} In another study, 13 out of 14 recovered Covid-19 patients, showed strong correlation between nAb titres and the numbers of virus-specific T cells.\textsuperscript{92} Accordingly, results of a study on ten Covid-19 patients with moderate to severe ARDS showed strong and specific CD4\(^+\) and CD8\(^+\) cells mostly against S protein in 100% and 80% of patients, respectively.\textsuperscript{93} These cellular responses were mainly skewed towards Th1, although Th2 and Th17 cytokines were also found. Besides, low levels of specific T-cells were found in 20% of unexposed individuals as a potential indicator of cross-reactive T cell between SARS-CoV-2 and common cold-causing coronaviruses.\textsuperscript{93} In parallel, results of a cohort study indicated SARS-CoV-2-specific CD4\(^+\) and CD8\(^+\) T cells with high cytotoxic activity in the acute phase of the disease\textsuperscript{94} (specially S protein specific) implying the role of cellular response in a potential vaccine.

4 | VACCINE CANDIDATES BASED ON SPIKE (S) PROTEIN

Results of prior animal studies with first generation (whole virus-based inactivated and attenuated vaccine modalities) SARS-CoV\textsuperscript{74,95,96} or MERS-CoV vaccines\textsuperscript{75,97–99} indicated the possibility of adverse effects such as increased infectivity and immunopotentiation (in the form of eosinophilic infiltration) and/or ADE in immunized animals. Considering that both SARS-CoV-2 and SARS-CoV share a high degree of genetic and pathologic identity, it is reasonable to think that a whole virus-based SARS-CoV-2 vaccine might also induce the same adverse effects. Therefore, development of second and third generation safer vaccines (by using modern vaccine platforms) using a proper viral protein as vaccine antigen is actively sought for SARS-CoV-2 infection.

Based on information provided for S protein (being the main determinant of cell entry and tropism, containing protective B- and T-cell epitopes),\textsuperscript{29,100} this SARS-CoV-2 surface protein might be an ideal candidate antigen for modern vaccine platforms and modalities to produce a safe vaccine (Figure 4). To this end, various platforms, including viral vectors (replicating and non-replicating), nucleic acids (DNA and RNA), recombinant proteins and virus-like particles in various formulation strategies including polymer- and lipid-based nanoparticles (for nucleic acid encapsulation), and adjuvants based on aluminium or saponin as well as Toll-like receptor (TLR) agonists have been investigated to elicit potent immune responses against either full-length or fractions of the SARS-CoV-2 S glycoprotein.\textsuperscript{101,102}

It should be noted that, despite reports on safety of SARS-(full) S protein-encoding vaccines in immunized mice or non-human primates\textsuperscript{103–106} and mice immunized passively by anti-S-antibody,\textsuperscript{107,108} but ADE has been observed in cats vaccinated by recombinant vaccinia virus expressing fusogenic S protein.\textsuperscript{109} In addition, lung immunopathology and hepatitis have been found in SARS-CoV-challenged animal models after vaccination with SARS-(full) S protein-encoding vaccines, the same as that of whole viral-vaccine.\textsuperscript{95,96,110,111} These observations resulted to application of various segments of SARS-CoV-2 S protein including RBD, NTD, S1 and S2 (besides full S fragment). It is reported that the S1 subunit or RBD of S protein induce nAbs without potential of ADE development.\textsuperscript{76,112}

4.1 | The full-length S protein based vaccines

In several previous studies, the full-length S protein was used to develop SARS-CoV and MERS-CoV vaccine candidates. DNA vaccines encoding S protein of SARS-CoV Urbani strain was shown to induce immune responses that protected mice model against virus challenge.\textsuperscript{103,112} Moreover, the DNA vaccine encoding MERS-CoV S
protein was effective in eliciting both nAbs and cellular immune responses that protected immunized non-human primates against virus challenge. In parallel, several animal immunization studies using viral platforms expressing full-length S protein of the SARS-CoV, reported promising protective results against viral infection. Highly attenuated modified vaccinia virus Ankara (MVA) induced nAb against S protein and decreased virus shedding in the respiratory tracts of mice or monkeys after virus challenge. Likewise, a recombinant attenuated parainfluenza virus expressing the full length S protein of SARS-CoV protected immunized monkeys from subsequent homologous SARS-CoV challenge. Accordingly, the administration of full-length S protein trimer to mice or hamsters was also shown to induce significant protection against homologous virus shedding. Similarly, animal immunization with baculovirus, expressing the full-length and extracellular domain of S protein from the SARS-CoV Urbani strain was shown to induce nAbs against homologous and heterologous pseudoviruses of SARS-CoV. Recently, it was shown that SARS-CoV and MERS-CoV S nanoparticles produced in the baculovirus expression system induce high titres of nAbs against the homologous but not the heterologous virus (i.e., no cross-protection).

Currently, several developers use full-length S protein as antigen in various platforms to construct an efficient vaccine candidate against SARS-CoV-2 that are currently in the clinical trial or preclinical phases (Table 1; based on WHO draft landscape of Covid-19 candidate vaccines - 28 September 2020). Four well-known types of such vaccines are ChAdOx1 nCoV-19 (University of Oxford/AstraZeneca), Ad5 vector (CanSino Biological Inc./Beijing Institute of Biotechnology), mRNA-1273 (Moderna/NIAID) and BNT162b2 (BioNTech/Fosun Pharma/Pfizer). and NVX-CoV2373 recombinant protein (Novavax) that based on promising results on induction of protective nAbs in animal models have entered phase I clinical trials and now are undergoing phase III evaluation.

The ChAdOx1 nCoV-19 vaccine (AZD1222) is a replication-deficient simian adenovirus vector, containing the full-length codon-optimized coding sequence of SARS-CoV-2 S protein along with a tissue plasminogen activator (tPA) leader sequence. Preclinical immunogenicity of the ChAdOx1 nCoV-19 was assessed in two mouse strains (BALB/c and outbred CD1) and rhesus macaques. Intramuscular (IM) injection of 6 × 10⁹ virus particles (VPs) in mice induced detectable total IgG titres and virus-specific nAbs in all vaccinated mice and IgG subclass profiling showed a predominantly Th1 response. The Th1-type response was also supported by high levels of IFN-γ and TNF-α, and low levels of IL-4 and IL-10 post-vaccination. In rhesus macaques, virus-specific nAbs and T-cell responses were induced in all tested animals 14 days after IM injection of 2.5 × 10²⁰ VPs. Vaccinated macaques that were challenged with SARS-CoV-2 had significantly reduced viral load in bronchoalveolar lavage fluid and respiratory tract tissue, and showed no pneumonia and evidence of immune-enhanced disease following viral challenge. Phase 1/2 clinical trial of ChAdOx1 nCoV-19 was performed by a single-dose or two-dose IM injection of 5 × 10¹⁵ VPs. Humoral responses to S protein maximized by Day 28 post first dose, and cellular responses were induced in all participants by Day 14.

### Table 1: Potential and developing candidates of SARS-CoV-2 vaccine platforms.

| Platform | Vaccine Candidate | Summary |
|----------|------------------|---------|
| Whole SARS-CoV 2 Particles | mRNA vaccines | Non-Replicating Viral Vector Vaccines |
|         | Viral vector vaccines | Recombinant vaccines |
|         | DNA vaccines | Recombinant vaccines |

*Fig 4: Potential and developing candidates of SARS-CoV-2 vaccine platforms. SARS-CoV-2, severe acute respiratory syndrome-coronavirus-2.*
After two doses, nAbs and potent cellular and humoral immune responses were induced in all participants. The vaccine was safe and well-tolerated, and no serious adverse events were noted. The Ad5 vector vaccine developed by CanSino Biological Inc is an E1 and E3 deleted Ad5 vector containing an optimized full-length S protein of SARS-CoV-2, with the tPA signal peptide. In phase 1 clinical trial, three doses including low dose (5 × 10^10 VPs), middle dose (1 × 10^11 VPs) and high dose (1.5 × 10^11 VPs) were given to different groups of participants as a single IM injection. Humoral responses peaked at Day 28, and specific T-cell responses were detected from Day 14 post vaccination in healthy adults. In phase 2 trial, single doses of 1 × 10^11 VPs or 5 × 10^10 VPs were similarly administered IM. Both doses of the vaccine induced significant nAb responses. The vaccine induced immune responses within 14 days, and 95% of participants receiving 1 × 10^11 VPs and 91% of the recipients receiving 5 × 10^10 VPS showed either cellular or humoral immune responses at Day 28 post-vaccination. Increased IFN-γ-producing T-cells were found in 90%, and 88% of participants receiving 1 × 10^11 and 5 × 10^10 VPs, respectively. While no serious adverse events were reported, some adverse events were documented in 9% of participants in the 1 × 10^11 VPs dose group and 1% participant in the 5 × 10^10 VPs dose group. Accordingly, the Ad5 vector-based vaccine was considered to be safe at the dose of 5 × 10^10 VPs and was capable of inducing considerable immune responses in the majority of recipients after a single immunization. Despite presence of high and low pre-existing anti-Ad5 nAb in 52% and 48% of participants, respectively (as one shortcoming of the vaccine) that along with increasing age could partially hinder the humoral immune responses, the vaccine has recently received military specially needed drug approval in China. Moderna’s LNP-encapsulated mRNA vaccine candidate (mRNA-1273) encodes the pre-fusion conformation of S glycoprotein with a

| Platform                     | Vaccine specifications | Developer                                                                 | Phase of clinical evaluation |
|-----------------------------|------------------------|---------------------------------------------------------------------------|------------------------------|
| Non-replicating viral vector| Chimpanzee adenovirus  | University of Oxford/AstraZeneca                                         | Phase 3                      |
|                             | Ad5                    | Cansino Biological Inc./Beijing Institute of Biotechnology                 | Phase 3                      |
|                             | Ad26                   | Janssen Pharmaceutical Companies                                          | Phase 3                      |
|                             | Ad5 and Ad26           | Gamaleya Research Institute                                                | Phase 3                      |
|                             | Simian                 | ReiThera/LEUKOCARE/Univercells                                            | Phase 1                      |
|                             | Adenovirus             |                                                                          |                              |
|                             | Ad5                    | Institute of Biotechnology, Academy of Military Medical Sciences, PLA of China | Phase 1                      |
|                             | Ad5 (oral vaccine platform) | Vaxart                                                                            | Phase 1                      |
| Replicating viral vector    | Measles-vector based   | Institute Pasteur/Themis/Univ. of Pittsburg CVR/ Merck Sharp & Dohme       | Phase 1                      |
| mRNA                        | LNP-encapsulated       | Moderna/NIAID                                                              | Phase 3                      |
|                             | LNP-encapsulated       | BioNTech/Fosun Pharma/Pfizer                                              | Phase 3                      |
|                             | LNP-encapsulated       | Curevac                                                                    | Phase 2                      |
| SAM                         | LNP-encapsulated       | Arcturus/Duke-NUS                                                          | Phase 1/2                    |
|                             | Based on VEEV vector   | Imperial College London                                                    | Phase 1                      |
| DNA                         | Plasmid DNA with electroporation | Inovio Pharmaceuticals                                                        | Phase 1/2                    |
|                             | Plasmid DNA            | Genexine Consortium                                                        | Phase 1/2                    |
| Protein subunit             | Adjuvanted with Matrix M | Novavax                                                                    | Phase 3                      |
|                             | S-trimer adjuvanted with MF59 | University of Queensland/CSL/Seqir                                               | Phase 1                      |
|                             | S-trimer adjuvanted with AS03 and CpG 1018 | Clover Biopharmaceuticals Inc./GSK/Dynavax                                      | Phase 1                      |
|                             | S-2P adjuvanted with CpG 1018 | Medigen Vaccine Biologics                                                    | Phase 1                      |
|                             | Adjuvanted with Advax™ | Vaxine Pty Ltd/Medytox                                                       | Phase 1                      |

Abbreviations: Ad5, human adenovirus type 5; Ad26, human adenovirus type 26; LNP, lipid nanoparticle; SAM, self-amplifying mRNA; VEEV, Venezuelan equine encephalitis virus.
transmembrane anchor that has been stabilized by two consecutive proline substitutions (S-2P) of residues 986 and 987. In preclinical evaluation, BALB/c, C57BL/6 and B6C3F1 mice strains were immunized by two-dose IM injection of 0.01, 0.1 or 1 µg of mRNA-1273. The antibody titres increased with dose level, and a potent neutralizing activity was induced by 1 µg of the vaccine, while the 10 µg dose elicited robust neutralizing activity. The IgG and cytokine profiles demonstrated that immunization with mRNA-1273 induced a balanced Th1/Th2 response. In phase 1 clinical trial, 25, 100 or 250 µg of the vaccine was injected as either single-dose or two-dose intramuscularly. After the first vaccination, antibody responses were higher with the higher dose, and after the second vaccination, the titres increased, and serum neutralizing activity was detected in all participants. The Th1-skewed CD4 T cell response supported by high expression of TNFα, IL-2 and IFN-γ, and minimal expression of IL-4 and IL-13, was detected in 25 and 100 µg dose groups. The 10 µg dose elicited low levels of specific CD8 T cell responses after the second vaccination. Among the three doses, the 100-µg dose induced high neutralization responses and Th1-biased CD4 T cell responses, along with a safety profile that was more favourable than that of the higher dose. No serious adverse events were documented, but systemic adverse reactions were more common after the second vaccination, predominantly with the 250 µg dose. In the phase 2 trial, each participant has been allocated to receive a double IM injection of 50 µg or 100 µg doses.

BNT162b2 is a 1-methylpseudouridine (m1Ψ)-modified mRNA encapsulated in LNP (m1Ψ-mRNA-LNPs) that encodes S-2P mutant form of the full-length S protein. In preclinical evaluation, BALB/c mice were injected intramuscularly once with 0.2, 1 or 5 µg of the antigen, and rhesus macaques (Macaca mulatta) were immunized by two-dose IM injection of 30 or 100 µg of BNT162b2 on Days 0 and 21. A single injection of BNT162b2 in mice elicited high neutralizing titres and strong Th1 and Tfh type CD4+ and IFNγ IL-2+ CD8+ T-cell responses. The immunogenicity of BNT162b2 in rhesus macaques was parallel to the immunogenicity in mice. The rhesus macaques that had received two immunizations with 100 µg BNT162b2 were challenged with 1.05 × 105 plaque-forming units of SARS-CoV-2 USA-WA1/2020 isolate that was performed 55 days after the second immunization. The BNT162b2 vaccine candidate fully protected the lungs of immunized rhesus macaques from the SARS-CoV-2 challenge. In phase I clinical trial, 10, 20 or 30 µg of the vaccine was injected intramuscularly as two-dose, 21 days apart. Data on immune responses or safety beyond 7 days after the second dose were not available until publication date of the report, although at 7 days after the second dose, the SARS-CoV-2-neutralizing geometric mean titres (GMT), elicited by 30 µg BNT162b2, was significantly exceeded the GMT of the convalescent serum panel. The trial has now gotten advanced at the 30-µg dose level into the phase 2/3.

Novavax’s NVX-CoV2373 is based on the codon-optimized S-2P mutant form of full-length S-protein with an additional mutation of the furin cleavage site (682-RRAR-685) to 682-QQAQ-685. The protein is produced in the baculovirus-Spodoptera frugiperda (Sf9) insect cell expression system and is adjuvanted with the saponin-based Matrix-M1. For preclinical evaluation, BALB/c mice were immunized by IM injection with a single dose or two doses spaced 14 days apart containing a dose range (0.01, 0.1, 1.0 or 10 µg) of NVX-CoV2373 with 5 µg saponin-based Matrix-M1 adjuvant. Also, the olive baboons (Papio cynocephalus anubis) were immunized twice (21 days apart) by IM injection with 1, 5 or 25 µg NVX-CoV2373 with 50 µg Matrix-M1 adjuvant. For virus challenge in mice, the mice were transduced intranasally with 2.5 × 108 pfu Ad/CMVhACE2 38 days after the second vaccination. At 4-day post-infection, the mice were intranasally inoculated with 1.5 × 108 pfu of SARS-CoV-2. Immunizations of mice and baboons elicited multifunctional CD4+ and CD8+ T cell responses with a Th1 biased phenotype, along with SARS-CoV-2 neutralizing antibodies. In the challenged mice, the vaccine was protective with no indication of vaccine-associated enhanced respiratory disease. The preclinical evaluation was promoted by immunizing cynomolgus macaques (Macaca fascicularis) with two-dose (21 days apart) IM injection of 5 or 25 µg NVX-CoV2373 plus 50 µg Matrix-M1. Animals were challenged with 1.04 × 104 pfu SARS-CoV-2 isolate USA-WA1/2020 intranasally and intratracheally. The vaccine administration induced anti-S neutralizing antibody and protected macaques against upper and lower infection and pulmonary disease. In phase I/II trial, the participants received two IM injections of either 5 or 25 µg of NVX-CoV2373 plus Matrix-M1 on Days 0 and 21. At 35 days, no serious adverse events were documented, and a Th1-biased response was elicited along with SARS-CoV-2 neutralizing GMT levels approximately four times greater than those in symptomatic outpatients with Covid-19.

### 4.2 The RBD of S protein based vaccines

Several previous studies on SARS-CoV and MERS-CoV showed presence of B-cell epitopes in RBD of S proteins capable of inducing nAbs to block the interaction of RBD with cellular receptor. Accordingly, from 27 developed mAbs against SARS-CoV RBD, 23 showed neutralizing activity, among which some bind to RBM within the RBD, while others bind to domains outside this region within RBD. Of note, a number of prior studies reported the presence of several conformational B-cell epitopes in recombinant RBDs, capable of inducing of cross-reactive nAbs against SARS-CoV and MERS-CoV. Accordingly, the high reactivity of such nAbs towards SARS-CoV pseudoviruses was reported. In addition, several studies reported the strong interaction of RBD with nAbs in the antisera of either patients infected with SARS-CoV (in the convalescent phase) or animal models immunized by full-length S protein expressing-MVA. Furthermore, rabbits immunized by a RBD-Fc human IgG fusion protein could generate a potent neutralizing activity and long-term protection against homologous SARS-CoV challenge. Similarly, immunization with the RBD-Fc fusion protein elicited nAbs to protect hCD26/DPP4 transgenic mice against MERS-CoV infection. Mice models immunized by vector-based vaccines (such as an adeno-associated virus-expressing RBD) developed nAbs that protected the animals from homologous virus
challenge.\textsuperscript{138–140} It should be noted however that while induction of nAbs against RBD is the primary effector response of the protective immunity, T-cell immune responses that might further contribute to the protection were also found following immunization of mice with the RBD-based subunit vaccines.\textsuperscript{33,140,141} Currently, there are two LNP/mRNA platform (BioNTech/Fosun Pharma/Pfizer [phase 1/2]), and People’s Liberation Army [PLA] Academy of Military Sciences/Walvax Biotech [phase 1]), and six protein subunit platforms (Anhui Zhifei Longcom Biopharmaceutical-Institute of Microbiology, Chinese Academy of Sciences [phase 2] and Kentucky Bioprocessing Inc [phase 1/2], Instituto Finlay de Vacunas of Cuba [phase 1], West China Hospital of Sichuan University [phase 1], COVAX [phase 1] and an RBD-HBsAg VLP by SpyBiotech/Serum Institute of India [phase 1/2]), and one flu-based replicating viral vector (Beijing Wantai Biological Pharmacy/Xiamen University (phase 1)) in the clinical trial that use RBD of SARS-CoV-2 as the vaccine candidate.\textsuperscript{116} BioNTech’s BNT162b1 LNP-encapsulated mRNA vaccine candidate encodes the RBD of the SARS-CoV-2 S protein, linked to a foldon trimerization domain to increase its immunogenicity through repetitive antigenic display. The RNA is optimized by incorporating 1-methyl-pseudouridine instead of uridine to reduce innate immune sensing and to increase in vivo translation of mRNA.\textsuperscript{142} Phase 1/2 trial of the vaccine has been performed in Germany\textsuperscript{142} and the USA.\textsuperscript{143} Two doses of 1–50 µg of the vaccine applied in Germany elicited potent CD8+ and Th1-type CD4+ T cell responses, supported by high secretion of IFN-γ. The sera from two injections demonstrated robust antibody responses with strong neutralization activity.\textsuperscript{142} In the case of 10 and 30 µg dose levels applied in the US trial, IgG concentrations and neutralizing titres in sera increased with the dose level after a second dose.\textsuperscript{143}

There are still other platforms in the preclinical stage using RBD as the main antigen for SARS-CoV-2 vaccine development that include (i) the protein subunit vaccine developed by Baylor College of Medicine, Biological E Ltd, Mynvax, Chulalongkorn University/GPO Thailand, Neovii/Tel Aviv University, and Baiya Phythopharm/Chula Vaccine\textsuperscript{116}; (ii) the LNP-encapsulated mRNA vaccine co-developed by Fudan University/Shanghai JiaoTong University/RNA Cure Biopharma\textsuperscript{116}; (iii) plasmid DNA developed by Scancell/University of Nottingham/Nottingham Trent University, and National Research Centre of Egypt; (iv) the virus-like particle (VLP) vaccine developed by Saiba GmbH\textsuperscript{116} and (v) the replicating influenza vector vaccine developed by university of Hong Kong.\textsuperscript{116}

Taken together, it seems that candidate vaccines based on RBD of SARS-CoV-2 are supposed to have bright future and more attraction in near future.

### 4.3 The NTD of S protein based vaccines

Alike RBD, NTD in S protein of some CoVs show receptor-binding activity through binding to sugar moieties.\textsuperscript{144,145} Several studies showed that recombinant NTD protein of MERS-CoV is capable of eliciting sufficient nAbs and cellular immune responses to protect against virus challenge in animal models.\textsuperscript{33,146,147} Although compared to other regions of S protein (full-length S protein, S1 and RBD), NTD is less immunogenic (i.e.: eliciting considerably lower antibody titres and cellular immune responses), it might be involved in the binding of specific receptors\textsuperscript{144,145} and thus deserve to be considered as a candidate antigen for vaccine development against Covid-19.

### 4.4 The S1 subunit of S protein based vaccines

The S1 subunit, which contains both RBD and NTD regions, is responsible for virus binding to the host cell receptor. Prior studies indicated that the S1 subunit can induce strong immune responses and/or protection against viral infection.\textsuperscript{58,148} Immunization of rats via subcutaneous or intranasal routes with a recombinant adenovirus encoding first 490 amino acids of the S1 subunit, elicited strong humoral immune responses that protected the animals against SARS-CoV infection.\textsuperscript{149} Similarly, immunization of hDPP4 transgenic mice with MERS-CoV recombinant S1 protein, formulated with MF59 adjuvant, induced nAbs which correlated with protection.\textsuperscript{150} A similar study also reported that intramuscular injection of an adjuvant formulated MERS-CoV S1 protein (subunit vaccine) was capable of reducing virus shedding in dromedary camels, while conferring complete protection against the viral challenge in alpaca.\textsuperscript{148} Recently, it was shown that subcutaneously immunized mice (either traditional needle injection or intracutaneously by dissolving microneedle arrays [MNAs]), by a codon-optimized S1 subunit containing integrated (in-built) TLR agonist sequences, elicited specific humoral responses which were of higher titres in MNAs delivery.\textsuperscript{151} Therefore, the S1 subunit of SARS-CoVs might also have the potential to be considered as the main antigen in different platforms to formulate a vaccine candidate against these viral infections.

To date, the vaccine candidates that use SARS-CoV-2 S1 as the primary antigen are in the preclinical stage and include a protein subunit vaccine platform co-developed by AnyGo Technology (recombinant S1-Fc fusion protein), University of Pittsburgh (microneedle arrays S1 subunit), and Baylor College of Medicine and also a recombinant deactivated rabies virus platform developed by Bharat Biotech/Thomas Jefferson University.\textsuperscript{116}

### 4.5 The S2 subunit of S protein based vaccines

The S2 subunit, which contains an internal membrane fusion peptide (FP) and heptad repeats (HR1 and HR2), is responsible for fusion between the viral and host cell membranes. The S2 subunit which is highly conserved among SARS-CoVs and MERS-CoV is an immunogenic protein.\textsuperscript{89,152–155} Several studies have reported that HR1 and HR2 domains of S2 can generate broadly nAbs against pseudo-typed heterologous SARS-CoV in vitro.\textsuperscript{153,156,157} It should be noted however that other regions of S2 domain (residues 681–980) might elicit non-nAbs (as shown in immunized mice).\textsuperscript{158} In addition, an S2 peptide sequence (residues 736–761) of MERS-CoV induced

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**References:**

1. Arashkia ET AL.
2. Challenge.
3. Activity through binding to sugar moieties.
4. RBD, NTD in S protein of some CoVs show receptor-binding activity through binding to sugar moieties. Several studies showed that recombinant NTD protein of MERS-CoV is capable of eliciting sufficient nAbs and cellular immune responses to protect against virus challenge in animal models. Although compared to other regions of S protein (full-length S protein, S1 and RBD), NTD is less immunogenic (i.e.: eliciting considerably lower antibody titres and cellular immune responses), it might be involved in the binding of specific receptors and thus deserve to be considered as a candidate antigen for vaccine development against Covid-19.
5. The S1 subunit, which contains both RBD and NTD regions, is responsible for virus binding to the host cell receptor. Prior studies indicated that the S1 subunit can induce strong immune responses and/or protection against viral infection. Immunization of rats via subcutaneous or intranasal routes with a recombinant adenovirus encoding first 490 amino acids of the S1 subunit, elicited strong humoral immune responses that protected the animals against SARS-CoV infection. Similarly, immunization of hDPP4 transgenic mice with MERS-CoV recombinant S1 protein, formulated with MF59 adjuvant, induced nAbs which correlated with protection. A similar study also reported that intramuscular injection of an adjuvant formulated MERS-CoV S1 protein (subunit vaccine) was capable of reducing virus shedding in dromedary camels, while conferring complete protection against the viral challenge in alpaca. Recently, it was shown that subcutaneously immunized mice (either traditional needle injection or intracutaneously by dissolving microneedle arrays [MNAs]), by a codon-optimized S1 subunit containing integrated (in-built) TLR agonist sequences, elicited specific humoral responses which were of higher titres in MNAs delivery. Therefore, the S1 subunit of SARS-CoVs might also have the potential to be considered as the main antigen in different platforms to formulate a vaccine candidate against these viral infections.
6. To date, the vaccine candidates that use SARS-CoV-2 S1 as the primary antigen are in the preclinical stage and include a protein subunit vaccine platform co-developed by AnyGo Technology (recombinant S1-Fc fusion protein), University of Pittsburgh (microneedle arrays S1 subunit), and Baylor College of Medicine and also a recombinant deactivated rabies virus platform developed by Bharat Biotech/Thomas Jefferson University. The S2 subunit, which contains an internal membrane fusion peptide (FP) and heptad repeats (HR1 and HR2), is responsible for fusion between the viral and host cell membranes. The S2 subunit which is highly conserved among SARS-CoVs and MERS-CoV is an immunogenic protein. Several studies have reported that HR1 and HR2 domains of S2 can generate broadly nAbs against pseudo-typed heterologous SARS-CoV in vitro. It should be noted however that other regions of S2 domain (residues 681–980) might elicit non-nAbs (as shown in immunized mice). In addition, an S2 peptide sequence (residues 736–761) of MERS-CoV induced

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S2-specific nAbs in rabbits, although the protective efficacy is yet to be addressed. Recently, linear epitopes of SARS-CoV-2 S2 were mapped and the presence of cross-reactive neutralizing epitopes for other SARS-CoVs were shown, which suggests a more promising role for this protein as main antigen of a vaccine platform. It should be noted however that the FP domain of S2 which is involved in the host cell membrane fusion and viral pathogenicity has also the potential of being used as an antigen of vaccine platforms, either alone or fused with other antigenic fragments (RBD, NTD, HR1 and HR2). To date, a RBD-FP fusion protein that induced strong antibody response in immunized mice was constructed but its protective efficacy remains to be addressed.

5 CONCLUSIONS

The ACE2 cellular receptor binding, S protein of SARS-CoV-2, plays an essential role in viral entry and infection and contains multiple B- and T-cell epitopes to induce nAbs and long-term protection, suggesting a main candidate for this protein as Vaccine antigen. Accordingly, three vaccines based on full-length S antigen including two Ad-based (ChAdOx1 nCoV-19 and Ad5) and one RNA-based (mRNA-1273; Moderna/NIAID) were evaluated in phase I/2 clinical trials. S2-specific nAbs in rabbits, ARASHKIA et al. potential of early phase three studies. Induction of productive nAbs and cellular responses, implying the potential of being used as an antigen of vaccine platforms, either alone or fused with other antigenic fragments (RBD, NTD, HR1 and HR2). To date, a RBD-FP fusion protein that induced strong antibody response in immunized mice was constructed but its protective efficacy remains to be addressed.

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CONFLICT OF INTEREST

The authors declare no conflicting financial or other interests.

AUTHOR CONTRIBUTION

All authors contributed to the writing of the manuscript.

DATA AVAILABILITY STATEMENT

Data openly available in a public repository that issues datasets with DOIs.

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