Effect of high flow nasal cannula on peripheral muscle oxygenation and hemodynamic during paddling exercise in patients with chronic obstructive pulmonary disease: a randomized controlled trial

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Background: Exercise training for patients with chronic obstructive pulmonary disease (COPD) improves their endurance and oxygenation. Supplemental oxygen delivered by high flow nasal cannula (HFNC) reportedly improves the clinical outcomes during high-intensity exercise. However, the physical benefits of the provision of supplemental oxygen with HFNC for the improvement of exercise performance have not been fully investigated. This randomized trial aimed to evaluate the effect of HFNC on the hemodynamic status and peripheral muscle microcirculation during exercise training.

Methods: In this multicenter, randomized controlled parallel two-group study, 32 patients with moderate to severe COPD were randomly assigned into the nasal cannula (NC) group (n=15) with a flow rate of 2–3 L/min or the HFNC group (n=17) with a flow rate of 45 L/min for twelve 40 min exercise training sessions.

Results: The mean cardiac index (CI) and stroke volume (SV) of the NC group in the first session were significantly lower than those of the HFNC group (3.68±0.76 vs. 4.5±0.76 L/min/m², P=0.014; 63.03±9.87 vs. 74.22±19.48, P=0.002, respectively). The systemic vascular resistance (SVR) of the NC group was significantly lower in the seventh session than in the first session (891±287 vs. 1,138±381 dyn-s/cm⁵, respectively, P=0.048). The mean deoxyhemoglobin level was higher in the HFNC group in the 1st session and lower in the 12th session (1.09±9.04 vs. 0.7.3±7.3 µm, P=0.046). The COPD Assessment Test score, Modified Medical Research Council scale score, maximum inspiratory pressure (MIP), and maximum expiratory pressure were different within and between the groups.

Conclusions: HFNC, with a lower oxygen concentration than that used with a traditional NC, yielded lower deoxygenated hemoglobin levels after 12 suboptimal exercise training sessions. In contrast, the higher oxygen concentration delivered by NC reduced SVR. The COPD assessment score improved on exercise training, regardless of the supplemental oxygen delivery method.

Keywords: High-flow nasal cannula oxygen therapy; pulmonary rehabilitation (PR); exercise training; muscle perfusion; hemodynamics

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Introduction

Pulmonary rehabilitation (PR) has been proven to offer physiological benefits and reduce symptoms in patients with chronic obstructive pulmonary disease (COPD) (1-3). Exercise training in a PR program for patients with COPD improves their muscle strength, exercise endurance, oxygenation, and efficiency of skeletal muscle use while reducing dynamic hyperinflation (2). However, patients with COPD frequently encounter a premature interruption of exercise due to dyspnea, resulting in exercise intolerance and lower compliance to the exercise training. Exercise intolerance may be attributed to peripheral muscle dysfunction and airflow limitation (4). Oxygen uptake by the exercising muscles might be a primary factor in exercise intolerance. When a healthy person exercises, the oxygen supplied to the working muscles exceeds its consumption by increasing pulmonary ventilation to increase arterial oxygen saturation and cardiac output (CO), resulting in increased oxygen content and delivery. Patients with COPD have impaired oxygenation and hindered oxygen transport, resulting in decreased exercise capacity. Supplemental oxygen during exercise for patients with COPD increases arterial oxygen partial pressure and reduces carotid body stimulation resulting in a lower requirement for pulmonary ventilation, the workload of respiratory muscles, dyspnea sensation, and less pulmonary vascular contraction. Supplemental oxygen improves the exercise performance of PR participants by reducing dyspnea sensation, lowering hypoxic drive ventilation, and delaying lactate acidosis in short- and long-term exercise training (5).

Some strategies, such as high flow nasal cannula (HFNC), non-invasive ventilation, and heliox, have been proposed to enhance exercise performance (6,7). The clinical outcomes reported in studies using supportive therapies during exercise have varied. For instance, supplemental oxygen enabled higher-intensity exercise, improved exercise endurance, and reduced dyspnea in patients with non-hypoxemic moderate to severe COPD during exercise training (8,9). However, a recent systematic review reported that supplemental oxygen during exercise training in patients with COPD did not improve exercise capacity, dyspnea scores, or quality of life, although the evidence quality was weak (4). Heliox, a gas with lower density than air and oxygen, generates less airway resistance than air and thereby requires less energy to use breathing muscles. Previous studies have shown that inhaled heliox reduces respiratory muscle power, increases quadriceps muscle oxygen, and relieves dyspnea sensations during near-maximal exercise (10-12).

Among the device options for oxygen therapy, humidified heated HFNC has gained attention in the last decade (13). HFNC reportedly increases oxygenation and wash out CO\textsubscript{2} in the dead space of the upper airways. Additionally, HFNC provides stable FiO\textsubscript{2} with less dilution by the entrained air gas. When oxygen is heated and humidified to 37 °C with 100% relative humidity, the irritation of anhydrous high gas flow to the nose can be reduced, resulting in greater user tolerance. HFNC studies have focused more on the benefit of treating critically ill patients, and HFNC has recently been used to treat COPD patients in chronic conditions (14-17). The application of HFNC in severe COPD patients during maximum workload exercise testing was reportedly associated with better clinical outcomes, such as improved arterial oxygen saturation (SaO\textsubscript{2}) levels and reduced symptoms (17). However, the physical benefit of supplemental oxygen with HFNC in exercise performance has not been fully investigated.

We hypothesized that supplement oxygen with HFNC might reduce the cardiac workload and increase oxygenation in the working muscles during exercise. This randomized trial aimed to noninvasively evaluate the effect of HFNC on the hemodynamic and peripheral muscle microcirculation status during exercise training in a PR program.

Methods

This prospective multi-center randomized controlled parallel two-group study used concealed allocation. Due to differences in the appearance of the HFNC and NC devices, it was not possible to perform a double-blind study, and blinding was only applied to the assessors. This study was approved by the Ethics Committee of Chang Gung Medical Foundation, and informed consent was obtained from all participants. The trial was registered with the U.S. National Clinical Trial Registry database (NCT03237962).

Study population

COPD patients were referred to the PR program from the pulmonary outpatient clinics of the Keelung, Linkou, and Chiayi branches of Chang Gung Memorial Hospital between August 2016 and July 2018. The inclusion criteria were age >55 years, meeting the diagnostic criteria for COPD according to the Global Initiative for Chronic
Obstructive Lung Disease (GOLD) guidelines, post-
bronchodilator forced expiratory volume in 1 s (FEV₁)/
forced vital capacity (FVC) ratio <70%, a medically stable
condition (i.e., at least 4 weeks since the last exacerbation),
and not a current smoker (18). The exclusion criteria were
receiving home oxygen therapy, signs of infection, and
comorbidities such as severe cardiovascular, neurological,
or musculoskeletal conditions that were likely to affect
performance during assessments or exercise training
adversely.

Randomization and sample size

Participants who met the study inclusion criteria and
completed the baseline assessments were randomly
allocated to the nasal cannula (NC) or HFNC group.
Each patient provided written informed consent, and the
study was conducted in accordance with the Declaration of
Helsinki. Equal numbers of participants were randomized
to each group. A sealed opaque envelope was used to ensure
allocation concealment of the randomly generated treatment
by the principal investigator. Due to the differences in
the appearance of the 2 oxygen delivery devices, only the
investigators were blinded during data review and statistical
analyses. The critical sample size was calculated using the
data from a study by Nasis et al., and the mean change in
CO of 0.8 L/min (standard deviation, 0.4 L/min) between
the control and intervention groups was identified as the
minimal significant difference (19). The sample size was
estimated by G-power software. To reach the 80% power
required to detect significance at the 5% level, the estimated
sample size was 22 participants, with an expected dropout
rate of 20% and an allocation ratio of 1:1 for the minimum
difference in the change of CO between the 2 groups.

Training program

The participants were randomly allocated to the following
2 groups: (I) NC treatment (Galmed Inc., Yilan, Taiwan)
group, with a flow of 2–3 L/min titrated to maintain a pulse
oxygen saturation (SpO₂) >92% throughout the exercise
training; or (II) HFNC treatment (Great Group Medical
Co., Ltd., Taiwan), with a flow of 30–45 L/min titrated
according to the participant’s tolerance and comfort and
with the oxygen concentration adjusted to maintain a SpO₂
>92% throughout the exercise training. The gas flow and
oxygen concentration provided by the high flow system
were verified by a flow meter and oxygen analyzer.

The participants received a total of twelve 40-min
exercise training sessions in the PR program (2 per
week for 6 weeks). The exercise training sessions were
organized as follows: warm-up exercise for 5 min, followed
by cardiopulmonary endurance training on a paddling
ergometer (APT-5; Kibbutz Tzora, Israel) for 30 min.
Target training intensity was 60–80% of the age-predicted
maximal heart rate or at the level of symptom limitation
based on a Borg Dyspnea Scale rating of 3–5. The training
program ended with cool-down for 5 min on a paddling
ergometer without a set resistance.

Outcome measurements

The patients’ demographic information was collected,
which included sex, age, height, weight, pulmonary function
test reports, and inhaled medications. The primary outcome
of our study was the change in cardiac index (CI) in the
2 groups. The secondary outcomes were hemodynamic
changes and skeletal muscle microperfusion. The baseline
of muscle oxygenation and hemodynamic parameters
before exercise were measured before the start of the first
exercise training. Other respiratory related parameters
which included the maximum inspiratory pressure (MIP),
COPD Assessment Test (CAT), Modified Medical Research
Council (mMRC) scale score, and Borg Dyspnea Scale
score were measured.

Measurement process

Skeletal muscle blood microperfusion/oxygenation

Peripheral muscle oxygenation of the right quadriceps
muscle was evaluated using near infrared spectroscopy
(NIRS) equipment (PortaLite, Artinis Medical Systems,
Einsteinweg, The Netherlands) (20,21). The NIRS
technique is based on the emission and reception of light
with wavelengths of 760 and 850 nm in the near-infrared
region of the spectrum on the tissue’s surface. NIRS
technology continuously measures the relative changes in
muscle oxygenated hemoglobin [oxy(Hb)] to deoxygenated
hemoglobin [deoxy(Hb)] (21). The total hemoglobin
[Total(Hb)], difference in oxygenated hemoglobin
[diff(Hb)], tissue saturation index (TSI, %) were calculated
as follows:

\[ \text{Total(Hb)} = \text{oxy(Hb)} + \text{deoxy(Hb)} \]
\[ \text{Diff(Hb)} = \text{oxy(Hb)} - \text{deoxy(Hb)} \]
The NIRS probe was calibrated prior to each testing session using a calibration block of known absorption and scattering coefficients. The probe was positioned on the vertical axis of the right thigh and attached to the skin using Velcro straps and tape (22). To avoid the effect of room light, the probe was fixed to the skin using a black band. The distance between the optodes and the receptor was ≥ 4 cm to allow a signal penetration of around 2 cm (23). A sampling rate of 10 Hz was used for analog-to-digital conversion, and subsequent analyses were conducted. The continuous measures were taken every 10 s throughout the exercise in the 1st, 7th, and 12th sessions, 3 min before the exercise and 3 min after completion of the exercise. Parameters at the 1st min of exercise, 1 min at maximum exercise, and the last min of cool down were retrieved and averaged.

Statistical analysis

The data were analyzed using the Statistical Package for the Social Sciences (version 23; IBM Inc., NY, USA). Continuous variables are represented as median (interquartile range) and mean ± standard deviation. The effective size was determined by partial eta-squared. Independent t-tests were used to examine the participants’ basic characteristics and intergroup measurement differences. To compare within-patient exercise responses and the influence of oxygen devices, two-way repeated-measures analysis of variance (ANOVA) was conducted. Values of P<0.05 were considered statistically significant.

Results

Characteristics of the study population

A total of 60 outpatients were referred to the PR program for eligibility screening between August 2016 and July 2018. A total of 44 participants were enrolled, and a total of 32 participants completed the 12 exercise training sessions and were included in the final analysis (Figure 1). The overall effect size analyzed by partial eta-squared (η2) was 0.77 with a P=0.037, and a confidence interval (CI) of 87.28% power.

The baseline characteristics were similar between the 2 groups (Table 1). All participants were categorized as having moderate COPD based on the FEV1 severity according to the GOLD guidelines. The SpO2 before exercise training was similar between groups (median of 96% for both groups, P=0.710). During the exercise, supplemental oxygen was titrated to maintain a SpO2 >92%; the median oxygen flow was 2.8 (2.0–4.0) L/min in the NC group, whereas the oxygen concentration was 26.8% (22.0–29.0%) with oxygen flow at 36.0 (30.0–45.0) L/min in the HFNC group.

The baseline hemodynamic parameters were taken 1 min before the first session exercise, and the average SV, O, CI, and SVR were similar between 2 groups. The paddle resistance at peak exercise was 19.24±9.84 watts for the NC group and 22.86±10.16 watts for the HFNC group (P=0.218), while the intensity increments from the 1st to 12th sessions were...
9.14±7.47 watts for the NC group and 9.57±9.65 watts for the HFNC group (P=0.852).

Respiratory assessment

The comparisons in the training intensity, symptoms, and respiratory parameters between the 2 groups are listed in Figure 2. The respiratory parameters were similar between the 2 groups in the 1st, 7th, and 12th sessions. The CAT score decreased from 16.47±6.13 to 10.93±5.75 (P=0.038) in the NC group and from 17.35±5.75 to 11.94±4.49 (P=0.006) in the HFNC group, yet no significant intergroup difference was found. The mMRC scale scores decreased from 3.0 at baseline to 2.4 after exercise training in both groups (P=0.005). The Borg Dyspnea Scale scores did not differ between the 2 groups at the 3 measurement points. The MIP and MEP in the NC group improved significantly from the 1st to 12th session (47.00±20.03 vs. 64.00±22.44 cmH2O, P=0.086; and 60.27±29.07 vs. 7.73±27.45 cmH2O, P=0.248, respectively); however, no intergroup difference was found.

Hemodynamic measurements

The hemodynamic measurements were retrieved and calculated
as the average at first minute of warm-up, the last minute of peak exercise, and the last minute of cooling at the first minute of the 1st, 7th, and 12th sessions (Figure 3). During the first session, the CI of the NC group was significantly lower than that of the HFNC group (3.68±0.76 vs. 4.5±0.76 L/min/m², P=0.014). The SV of the NC group was significantly lower than that of the HFNC group (63.03±9.87 vs. 74.22±19.48 mL, P=0.002). The SVR of the NC group was significantly lower during the seventh session than that during the first session (891.3±287.3 vs. 1,138.6±381.5 dyn-s/cm⁵, P=0.048), and the intragroup comparison also showed a significant difference (P=0.047) in the NC group. No significant difference was observed in terms of CO, CI, or EF between the 2 groups at the 3 measurement points. Table 2 showed the change of physiological parameters at the peak exercise from the 1st session to the 12th session. The SV in HFNC group was significant reduced, whereas
the SVR in NC group were significant lower.

**Skeletal muscle oxygenation**

The muscle microperfusion measurements were retrieved and calculated as the averages at first minute of warm-up, the last minute of peak exercise, and the last minute of cooling at the first minute of the 1st, 7th, and 12th sessions. Figure 3 illustrates the skeletal muscle oxygenation of the 2 groups. Oxygenation and perfusion of the quadriceps using the NIRS demonstrated that the TSI (Figure 4) of the NC group had a downward trend from the first session (64.8%±10.7%) to the seventh session (57.7%±12.67%; P=0.315). The deoxy(Hb) level was greater in the HFNC group than in the NC group in the 1st session (4.48±8.48 vs. 0.72±11.73 µm, respectively, P=0.04), yet by the 12th session, it was lower in the HFNC group than in the NC group (1.09±9.04 vs. 7.3±7.3 µm, respectively; P=0.046) (Table 2). The oxy(Hb) and total(Hb) levels were similar between and within groups.

**Discussion**

This study measured the effect of supplemental oxygen with HFNC during exercise training on hemodynamics and tissue perfusion in a PR program. The results showed that (I) HFNC reduces deoxy(Hb) levels at maximal exercise after the intervention; (II) exercise with higher oxygen concentration delivered by NC might reduce SVR; (III) exercise training alleviated symptoms and improved inspiratory muscle strength regardless of the supplemental oxygen delivery method; and (IV) hemodynamic results were similar between groups after the completion of exercise training.

**Effects of HFNC during exercise**

Exercise training is limited in COPD patients because of the increased amount of ventilation, worsened dynamic hyperinflation, and increased work of breathing, causing dyspnea and respiratory muscle fatigue (28). A previous study reported that providing an HFNC of 20 L/min to patients with severe COPD during exercise reduced dyspnea improved the breathing pattern, and increased exercise duration (29). In a double-blind cross-over trial conducted by Cirio et al. using HFNC with an oxygen concentration of 40% and a low of 55–60 L/min in which...
patients exercised at 75% maximum exercise capacity, exercise tolerance was significantly increased, saturation of the arterial blood was increased, and dyspnea was reduced (15). Our results showed a greater diff(Hb) [amount of oxy(Hb) > deoxy (Hb); Figure 3], which indicated less oxygen consumption during submaximal exercise. Reduced dyspnea is speculated to be attributable to reduced oxygen consumption by the major exercising muscles. Barberan-Gracia and associates conducted an 8-week endurance exercise training in patients with COPD and healthy subjects (28). Their results indicated that aerobic training increased the muscle oxygen extraction ratio at the submaximal exercise level. Our results showed a similar TSI throughout the exercise training sessions, while the deoxy(Hb) level was significantly decreased in the HFNC group at the 7th and 12th sessions. With higher FiO₂ delivered by NC in the first session, the NC group yielded a lower mean deoxy(Hb) level than the HFNC group. By the 12th session, the deoxy(Hb) level increased in the NC group but was significantly lower in the HFNC group. Inspiring higher gas flow in the HFNC group might have reduced oxygen consumption in the respiratory muscles and is speculated to have resulted in lower deoxy(Hb) levels in the primary exercise muscles when performing exercise on the paddled ergometer. Furthermore, the stable TSI in the NC group with increased deoxy(Hb) level might be attributable to improved muscle perfusion, according to our results on the changes in SVR.

Base on the previous studies on the mechanism of HFNC (14), we hypothesized that HFNC would alleviate the cardiovascular workload and improve perfusion in working muscles. However, we have observed minimal physiological benefits. Further studies on the impact of HFNC during exercise could be tested by an exercise challenge with a cross-over design.

**Benefits of supplemental oxygen concentration**

Providing supplemental oxygen to patients with COPD during exercise training reduced the ventilation demand by reducing irritation of the peripheral chemoreceptors, thus increasing exercise tolerance. In the first session, the CI and SV in the HFNC group were significantly higher than those of the NC group. The higher CI and SV might be indicative of a reduced cardiac burden in the first session training in the HFNC group (30). Our results revealed that only the NC group experienced a physiological benefit of supplemental oxygen in reducing SVR. The participants in the NC group received oxygen at a flow of 2–4 L/min with an estimated FiO₂ of approximately 28–36%, whereas the measured FiO₂ in the HFNC group was approximately 26%. The reduced SVR is speculated to have been produced by the higher oxygen concentration. Previous studies showed that supplemental oxygen reduces pulmonary vascular resistance in patients with pulmonary hypertension (31,32). A limited review of the literature did not yield any evidence of the benefit of oxygen therapy in reducing SVR. The benefit of higher oxygen fraction delivered by HFNC on SVR during exercise warrants further investigations.

**Benefits of exercise training**

The participants in both groups had improved CAT scores after the 12th exercise training session. The CAT is currently the most commonly used test for evaluating the health-related quality of life of COPD patients. Dodd et al. conducted a multi-center study comparing different evaluation tools for the outcomes of PR in patients with COPD (33,34). Compared to the chronic respiratory questionnaire, incremental shuttle walk, and St. George test, the CAT was responsive to PR and more accurately discriminated between subjective response levels. In our study, improvement of the CAT score in both groups

### Table 2 Changes in the physiological parameters at the peak exercise from the 1st session to the 12th session of exercise training

| Measurement | NC (n=15) | HFNC (n=17) | P value |
|-------------|----------|------------|---------|
| SV (mL)     | 6.6±11.0 | –1.1±10.8  | 0.027*  |
| CO (L/min)  | 0.8±1.2  | 0.6±1.6    | 0.123   |
| CI (L/min/m²)| 0.5±0.7  | 0.02±9.4   | 0.110   |
| SVR (dyn-s/cm²) | –168.0±320.0 | 63.2±3.74 | 0.032* |
| EF (%)      | 1.8±10.8 | 3.2±10.5   | 0.712   |
| TSI (%)     | –1.7±5.2 | –0.9±3.3   | 0.103   |
| O₂Hb (µm)  | –0.17±6.4 | 1.16±7.6 | 0.774 |
| HHb (µm)   | 4.9±4.9  | –2.5±6.9   | 0.031*  |
| THb (µm)   | 4.8±8.5  | –1.4±11.3  | 0.215   |
| dHb (µm)   | –5.1±7.6 | 2.0±9.2    | 0.021*  |

Data expressed as mean ± SD; *, significantly different between the 2 groups (P<0.05). NC, nasal cannula; HFNC, high-flow nasal cannula; SV, stroke volume; CO, cardiac output; CI, cardiac index; SVR, systemic vascular resistance; EF, ejection fraction; TSI, tissue saturation index; HHb, deoxygenated hemoglobin; THb, total hemoglobin; dHb, difference in oxygenated hemoglobin.
indicated that participants in both groups benefited from improved health-related quality of life after 12 sessions of PR exercise training.

Mangine and associates investigated the effects of baseline muscle strength on adaptations to resistance training (35). The magnitude of these adaptations was dependent upon the individual baseline status and the specific characteristics of the training program. According to the principle of neural adaptation and recruitment of motor units, more physical adaptation occurred in weaker participants. Meanwhile, Evans and colleagues reported that individuals have weak respiratory muscles if the MIP was less than 60% of the predicted value (36). According to Evans’s calculation, the 60% threshold for the NC group was 54 cmH₂O, while that for the HFNC group was 57 cmH₂O. In our study, the MIP of the NC group in the first session was lower than the threshold, which might have contributed to the great improvement seen after the exercise training. The MEP was measured with a forceful expiration and sustained for 1.0 s after patients inhaled at their maximum strength; therefore, the MIP and MEP results were correlated, with similar tendencies from the 1ˢᵗ to 12ʰ sessions.

**Perspectives and significance**

Impaired muscle oxidative metabolism is a clinical characteristic of patients with COPD. Evidence shows that skeletal muscle dysfunction is a major determinant of reduced exercise capacity in patients with COPD. The application of HFNC decreases the concentration of deoxy(Hb) and may reduce the risk of muscle fatigue. Furthermore, using supplemental oxygen during exercise training is encouraged, even in non-hypoxic patients, to reduce the SVR.

**Limitations**

Some limitations of this study should be addressed. First, although the number of subjects was calculated with a
statistical power of 80%, the sample size of this study was small. Thus, the results of this study may not be generalizable to the COPD population. However, this study demonstrated a reduction of deoxy(Hb) levels in the primary exercise muscles in the HFNC group, and research has shown that COPD patients have impaired contracting skeletal muscle oxygenation which can lead to the limitation in exercise (37). Second, the oxygen concentration of HFNC was measured using an oxygen analyzer attached to the machine, whereas the oxygen concentration of NC was estimated and fluctuated with the breathing pattern. Hence, oxygen concentration might have been overestimated. This study aimed to evaluate hemodynamics and muscle perfusion using a non-invasive measurement. Invasive procedures such as arterial blood oxygen partial pressure and saturation and hemodynamic measured by pulmonary catheterization might provide further information on patients’ oxygenation during exercise. Some authors observed that impedance cardiography tends to overestimate values as compared to the thermodilution method, while others have observed that it underestimates them (38). Our results provided a trend of the changes during exercise, yet the absolute value might be altered depending on the machine used. To increase the compliance of subjects’ participation in this study, this exercise training protocol was based on the routine PR program on exercise endurance training; therefore, the exercise intensity was not challenging and strenuous tests such as the cardiopulmonary exercise test were not performed. Further studies on the use of HFNC during higher-intensity exercise are warranted.

Conclusions

HFNC with higher gas flow and lower oxygen concentration produces quadriceps muscle oxygen consumption showing a lower deoxy(Hb) level during submaximal exercise. A reduced SVR was noted in the NC group that was possibly associated with higher oxygen concentration.

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**Footnote**

**Conflicts of Interest:** The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Ethics Committee of Chang Gung Medical Foundation, and informed consent was obtained from all participants.

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