Revising Type-2 Computation and Degrees of Discontinuity

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Abstract. By the sometimes so-called Main Theorem of Recursive Analysis, every computable real function is necessarily continuous. Weihrauch and Zheng (TCS 2000), Brattka (MLQ 2005), and Ziegler (ToCS 2006) have considered different relaxed notions of computability to cover also discontinuous functions. The present work compares and unifies these approaches. This is based on the concept of the jump of a representation: both a TTE–counterpart to the well known recursion-theoretic jump on Kleene’s Arithmetical Hierarchy of hypercomputation: and a formalization of revising computation in the sense of Shoenfield. We also consider Markov and Banach/Mazur oracle–computation of discontinuous functions and characterize the computational power of Type-2 nondeterminism to coincide with the first level of the Analytical Hierarchy.

1 Introduction

Every computable real function \( f \) is necessarily continuous!

Computability here refers to effective \((\rho \rightarrow \rho)\)–evaluation in the sense of \( x \) input to a Turing machine by means of a \( \rho \)–name, that is a fast converging sequence of rationals \( (q_n) \); and \( y = f(x) \) output in form of a similar sequence \( (p_m) \). Equivalently: the pre-image \( f^{-1}[V] \) of an open set \( V \subseteq \mathbb{R} \) is open ; and the mapping \( V \mapsto f^{-1}[V] \) is effective in the sense that, giving an enumeration of (the centers and radii of) open rational balls exhausting \( V \), a Turing machine can output a similar list exhausting \( f^{-1}[V] \). This amounts to \((\theta_\prec \rightarrow \theta_\prec)\)–computability of \( V \mapsto f^{-1}[V] \).

How can we relax this notion to include also discontinuous functions \( f : X \rightarrow \mathbb{R} \)?

i) A representation (and thus a computability notion) for \( L^2 \)–functions or distributions is devised easily and naturally [ZW03]; but evaluation \( x \mapsto f(x) \) thereon is neither effective nor mathematically well-defined.

ii) Granting a Type-2 machine access to an oracle like, say, the Halting problem increases its recursion-theoretic power but does not lift the topological restriction to continuous real functions; see e.g. [Zie06, Lemma 8].

iii) Weihrauch and Zheng (2000) have considered \((\rho \rightarrow \rho_\prec)\)–computable functions where the output representation \( \rho_\prec \) encodes \( y = f(x) \in \mathbb{R} \) as a rational sequence \( (p_m) \). Such functions are in general only lower semi-continuous [WZ00], that is, the pre-image \( f^{-1}[V] \) is open for every \( V = (y, \infty) \). As a matter of fact, \( f \) is \((\rho \rightarrow \rho_\prec)\)–computable if and only if \( a \mapsto f^{-1}((y, \infty]) \) is \((\rho_\prec \rightarrow \theta_\prec)\)–computable [WZ00, Theorem 4.5].

iv) Motivated by (a different) work of Zheng and Weihrauch (2001), [Zie06] introduced representations \( \rho', \rho_\prec, \rho'', \ldots, \rho^{(d)}, \rho^{(d)}_\prec \) weakening \( \rho \) and \( \rho_\prec \). A real number \( x \) is \( \rho \)–computable relative to the Halting problem \( \emptyset' \) if and only if it is \( \rho' \)–computable [He99].

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\* Here and in the sequel, \( X \) denotes a fixed recursively open (i.e. \( \theta_\prec \)–computable) subset of \( \mathbb{R}^D \) for some \( D \in \mathbb{N} \).
More generally, $x$ is $\rho$–computable relative to $0^{(d)}$ if and only if $x$ is $\rho^{(d)}$–computable \[\text{[ZW01]}\]; similarly for $\rho^d$. These representations thus parallel the levels $\Sigma_d$ of Kleene’s Arithmetical Hierarchy.

v) Brattka relaxes the pre-image mapping $V \mapsto f^{-1}[V]$ from being open and effectively open and instead considers $\Sigma_d$–measurability \[\text{[Bra05]}\]. This condition requires that $f^{-1}[V]$ be a $\Sigma_d$ set in Borel’s topological hierarchy. For its ground level $\Sigma_1(X)$ of open subsets of $X$, he thus recovers classical continuity; $\Sigma_2(X)$ consists of the $F_\sigma$ sets, and so on. The mapping $V \mapsto f^{-1}[V]$ must furthermore be effective in the sense that, given a $\theta^\prec$–name of $V$, a Type-2 machine must be able to obtain a name of $f^{-1}[V]$ in terms of the natural representation $\delta_{\Sigma_d}(X)$ of $\Sigma_d(X)$: $\delta_{\Sigma_1}(X) \equiv \theta^\prec$.

vi) Real nondeterminism had been introduced in \[\text{[Zie05,Zie06]}\] Section 5. A corresponding machine computing $y = f(x)$ may make a binary choice at each step, as long as any infinite output sequence $(q_n)$ constitutes a $\rho$–name of $y$. This notion has been shown to include all $(\rho \mapsto \rho^{(d)})$–computable functions \[\text{[Zie06]}\, \text{Theorem 28]}\.

Notice that proceeding from $(\rho \mapsto \rho)$–computability to $(\rho \mapsto \rho^{(d)})$–computability amounts to weakening the information to be output for the values (image) of the function $f$ under consideration; whereas proceeding from effective $\Sigma_1$–measurability (equivalent to $(\rho \mapsto \rho)$–computability) to, say, effective $\Sigma_{d+1}$–measurability amounts to weakening the encoding on the pre-image side (i.e. the domain) of $f$.

1.1 Overview

The present work unifies and extends approaches iii), iv), and v) above. Some main results are collected in the following

**Theorem 1.** Fix a function $f : X \to \mathbb{R}$ and $d \in \mathbb{N}$.

a) $f$ is $(\rho \mapsto \rho^{(d-1)})$–computable if and only if it is effectively $\Sigma_d$–measurable.

b) $f$ is $(\rho \mapsto \rho^{(d-1)})$–computable if and only if the mapping $\mathbb{R} \ni y \mapsto f^{-1}([y, \infty)) \in \Sigma_d(X)$ is well-defined and $(\rho \mapsto \delta_{\Sigma_d}(X))$–computable.

c) There exists a nondeterministically computable total real function which is not $(\rho \mapsto \rho^{(d)})$–computable for any $d \in \mathbb{N}$ whatsoever.

In particular, weakly evaluable functions (in the sense of iv) range arbitrarily high on Borel’s taxonomy of discontinuity but are strictly succeeded by nondeterminism (vi).\[\text{Theorem 1a]}\) also gives one explanation for the dominance in \[\text{[Bra05]}\] of the Borel classes $\Sigma_d$ over the (seemingly more symmetric ones) $\Delta_d$.

Claims a) and b) in the above theorem turn out to actually hold even uniformly in $f$. To this end, we introduce in Section 2 the notion of $\Sigma_d$–semi measurability and a representation for according functions: a generalization unifying both \[\text{[ZW01]}\] and \[\text{[Bra05]}\]. The central concept in the present work is that of the jump $\alpha'$ of a representation $\alpha$ (Section 2). For the case $\alpha = \rho$, it coincides with the notion from \[\text{[Zie06]}\] and simplifies the proofs therein.

Motivated by revising computation, Section 3 considers an equally natural but different kind of jump operator on representations. The power of Type-2 Nondeterminism \[\text{[Zie05,Zie06]}\] Section 5] is the topic of Section 4. And before concluding, we also briefly dive into oracle–supported Markov and Banach/Mazur computability (Section 5).
2 The Jump of a Representation

Ho has shown that a real number $x$ is $\rho$-computable (that is admits effective approximations by a fast converging rational sequence) relative to the Halting problem $\emptyset'$ if and only if $x$ is the (unconditional) limit of a computable rational sequence \cite[Theorem 9]{Ho99}. This has suggested the alternative name $\rho'$ for the naive Cauchy representation encoding $x$ as an ultimately converging rational sequence. Another example, Brattka has weakened (and extended) the representation $\theta_\prec \equiv \delta_{\Sigma_1(X)}$ for open sets to $\delta_{\Sigma_2(X)}$ mentioned above. The present section unifies these and several other notions.

We start with Cantor space $\{0,1\}^\omega$ which is usually and canonically represented by the identity $\iota$ \cite[Definition 3.1.2.1]{Wei00}.

\textbf{Definition 2.} Let the representation $\iota' : \subseteq \{0,1\}^\omega \to \{0,1\}^\omega$ encode an infinite string $\tilde{\sigma} \in \{0,1\}^\omega$ as (the pairing of) a sequence of infinite strings ultimately converging to $\tilde{\sigma}$.

This amounts to the naive Cauchy representation of the effective metric Cantor space \cite[Section 6]{BH02}. An $\iota'$–name for $(\sigma_n)_n$ is thus (an $\iota$–name for) some $((\tau_{(n,m)})_m)_n \in \{0,1\}^\omega$ such that, for each $n \in \mathbb{N}, \sigma_n = \lim_{m \to \infty} \tau_{(n,m)}$. The name $\iota'$, reminiscent of the recursion-theoretic jump, is justified because Shoenfield’s Limit Lemma immediately yields

\textbf{Observation 3.} Let $\mathcal{O}$ denote an arbitrary oracle. An infinite string is ($\iota$–) computable relative to $\mathcal{O}'$ if and only if it is $\iota'$–computable relative to $\mathcal{O}$.

Moreover we have

\textbf{Lemma 4.} a) Every ($\iota \to \iota$–) computable string function $F : \subseteq \{0,1\}^\omega \to \{0,1\}^\omega$ is also ($\iota' \to \iota'$–)computable;

b) more precisely the \textbf{apply operator} $(F, \tilde{\sigma}) \mapsto F(\tilde{\sigma})$ is $(\eta^\omega \times \iota' \to \iota')$–computable.

c) Every ($\iota' \to \iota'$–)continuous string function $F : \subseteq \{0,1\}^\omega \to \{0,1\}^\omega$ is (Cantor–)continuous.

d) Whenever $\alpha : \subseteq \{0,1\}^\omega \to A$ is a representation for $A$, then so is $\alpha \circ \iota'$.

e) $\alpha \leq \beta$ implies $\alpha \circ \iota' \leq \beta \circ \iota'$.

In b), $\eta^\omega$ denotes a natural representation for continuous string functions \cite[Section 2.3]{Wei00}.

\textbf{Proof.} a) follows from b).

b) Let $\tilde{\tau}_m := F(\tilde{\tau}_m)$ where $F : \subseteq \{0,1\}^\omega \to \{0,1\}^\omega$ is continuous. Then $\lim_m \tilde{\tau}_m = F(\lim_m \tilde{\tau}_m)$.

c) See \cite[Section 6]{BH02}.

d) immediate.

e) Let $F$ denote a computable string function converting $\alpha$–names to $\beta$–names. By a), $F$ has a computable ($\iota' \to \iota'$–)realization $G : \subseteq \{0,1\}^\omega \to \{0,1\}^\omega$. This $G$ converts ($\alpha \circ \iota'$–)names to ($\beta \circ \iota'$–)names. \hfill $\square$

The rest of this section relates several known representations to ones of the form $\alpha \circ \iota'$ for some $\alpha$.

2.1 Weak Real Representations

Recall from \cite[Section 2]{Zie05,Zie06} the following
Definition 5. Consider the representations of \( \mathbb{R} \) where a real \( y \) is encoded as

- \( \rho \): a rational sequence \((p_m)\) such that \( |y - p_m| \leq 2^{-m} \) (i.e. fast convergence)
- \( \rho_{<} \): a rational sequence \((p_m)\) such that \( y = \sup_m p_m \) (i.e. lower approximation)
- \( \rho' \): a rational sequence \((p_m)\) such that \( y = \lim_m p_m \) (i.e. ultimate convergence)
- \( \rho'' \): a rational sequence \((p_m)\) such that \( y = \inf_m \lim p_{(m,n)} \) (equivalently: \( \liminf \))
- \( \rho^n \): a rational sequence \((p_m)\) such that \( y = \lim_m \inf_{n_k} p_{(m,n,k)} \)
- \( \rho^{(d)} \): a rational sequence \((p_m)\) such that \( y = \lim_{n_1} \lim_{n_2} \cdots \lim_{n_d} p_{(n_1,n_2,\ldots,n_d)} \)

(\( \rho' \) of course coincides with the well-known naive Cauchy-representation \( \rho_{\text{naive}} \).) These encodings constitute a hierarchy

\[
\rho \preceq \rho_{<} \preceq \rho' \preceq \rho'' \preceq \rho^n \preceq \cdots \preceq \rho^{(d)} \preceq \rho^{(d-1)} \preceq \cdots
\]

of representations introduced in [Zie06, Section 2.2]. This hierarchy correspond to—and is in particular as strict as—KLEENE’s Arithmetical Hierarchy of hypercomputation

\[
\Delta_1 \subseteq \Sigma_1 \subseteq \Delta_2 \subseteq \Sigma_2 \subseteq \Delta_3 \subseteq \Sigma_3 \subseteq \cdots \subseteq \Delta_d \subseteq \Sigma_d \subseteq \cdots
\]

in the following way: A real number \( y \) is \( \rho^{(d)} \)-computable if and only if \( y \) is \( \rho^{(k)} \)-computable relative to \( \rho^{(d-k)} \) for some (or, equivalently, for every) \( 0 \leq k \leq d \); and \( y \) is \( \rho^{(d)} \)-computable if and only if \( y \) is \( \rho^{(k)} \)-computable relative to \( \rho^{(d-k)} \), see [ZW01, Section 7]. Notice how this extends SHOENFIELD’s Limit Lemma from discrete to the continuous realm [ZW01, Section 4].

2.2 Jump of the Cauchy Representation

Proposition 6. \( \rho \circ \iota' \equiv \rho' \).

In combination with Observation [3] this implies [Ho99, Theorem 9]; and together with Lemma [4] it includes [Zie06, Scholium 17].

Proof. A \((\rho \circ \iota')\)-name for \( x \in \mathbb{R} \) is (basically) a sequence of rational sequences eventually stabilizing (elementwise) to a fast converging Cauchy sequence \( (q_{(n,\infty)}(n)) \); that is a double sequence \( (q_{(n,m)}) \) in \( \mathbb{Q} \) such that

\[
\forall n \exists m_0 \forall m \geq m_0 : \quad q_{(n,m)} = q_{(n,m_0)} \land |x - q_{(n,m_0)}| \leq 2^{-n}.
\]

\( \preceq \): For each \( m \), let \( (q_{(1,m)}, q_{(2,m)}, \ldots, q_{(N_m,m)}) \) denote the longest initial part of \( (q_{(1,m)}, \ldots, q_{(m,m)}) \) satisfying

\[
|q_{(n,m)} - q_{(n',m)}| \leq 2^{1-n} \quad \forall 1 \leq n \leq n' \leq N_m.
\]

Since \( (q_{(n,\infty)}(n)) \) is a \( \rho \)-name and due to the eventual stabilization, \( N_m \to \infty \) as \( m \to \infty \). Also, the sequence \( (N_m) \) is computable from the above input. Consider the following algorithm, starting with empty output tape:

For each \( m = 1, 2, \ldots \), test whether the initial parts of \( q_{(1,m)} \) and \( q_{(1,m-1)} \) up to \( N_m \) coincide: \( (q_{(1,m)}, \ldots, q_{(N_m,m)}) = (q_{(1,m-1)}, \ldots, q_{(N_m,m-1)}) \)? (For notational convenience,
set \( q_{n,0} = \infty \) and \( N_0 := 0 \). If so, then obviously \( N_m \geq N_{m-1} \); so append (the possibly empty sequence) \((q(N_{m-1}, m), \ldots, q(N_m, m))\) to the output. Otherwise let \( n_m \) be maximal with \((q(1, m), \ldots, q(n, m)) = (q(1, m-1), \ldots, q(n, m-1))\); obviously \( n_m < N_m \), so append \((q(n_m, m), \ldots, q(N_m, m))\) to the output in this case.

It remains to show that that yields a valid \( \rho' \)-name for \( x \). Let \( \epsilon = 2^{1-n} \). Then \( |q(n, \infty) - q(n', \infty)| \leq \epsilon \) for all \( n' \geq n \) because \( q(n, \infty) \) constitutes a \( \rho \)-name. Moreover due to stabilization, there exists some maximal \( m \) with \( q(n, m) \neq q(n, m-1) \). During the phase \( n, m \) corresponding to that last change, the above algorithm will detect \( n_m < N_m \) and thus output (a finite sequence beginning with) \( q(n, m) \). Moreover as \( q(n, -) \) afterwards does not change anymore, all elements \( q(n', m') \) appended subsequently will have \( n' \geq n \) and \( m' \geq m \); in fact \( N_m' \geq n' \geq n_m' \geq n \), hence \( |q(n', m') - q(n, m)| \leq \epsilon \) because \( q(n', m') = q(n, m) \) and due to Equation (1). Therefore the output constitutes a (naive) Cauchy sequence converging to \( x \).

\[ \Sigma: \] Let \((q_n)_n\) be a sequence in \( \mathbb{Q} \) ultimately converging to \( x \). There exists an increasing sequence \((n_m)_m\) in \( \mathbb{N} \) such that

\[ \forall k \geq n_m: \quad |q_{n_m} - q_k| \leq 2^{-m-1}. \tag{2} \]

The subsequence \((q_{n_m})_m\) constitutes a \( \rho \)-name for \( x \). For each single \( m \), Condition [2] can be falsified (formally: is co-r.e. in the input). A Turing machine is therefore able to iteratively try for \( n_m \) all integer values from \( n_{m-1} \) on and fail only finitely often for each \( m \).

Trial no. \( \ell \) thus yields a sequence \((n'_\ell, m)_{m \leq \ell}\) of length \( \ell \) such that, for each \( m \), \( n'_\ell, m \) eventually stabilizes to \( n_m \) satisfying [2]. By artificially extending each finite sequence to an infinite one, we obtain a \( \rho \circ \iota' \)-name for \( x \).

\[ \Box \]

### 2.3 Jump of Lower Real Representation

Our next result includes, in view of Lemma [II+c], [Zie06] THEOREM 11a+b) and THEOREM 15b+c) because \((\rho \to \rho_<)\)-continuity implies lower-semicontinuity and \((\rho_< \to \rho_<)\)-continuity requires monotonicity [WZ00].

**Proposition 7.** \( \rho_< \circ \iota' \equiv \rho'_< \).

**Proof.** A \((\rho_< \circ \iota')\)-name for \( x \in \mathbb{R} \) amounts to a sequence of rational sequences eventually stabilizing (elementwise) to a sequence approaching \( x \) from below, that is a double sequence \((q(n, m))_n \) in \( \mathbb{Q} \) such that

\[ \forall n \exists m_0 = m_0(n) \forall m \geq m_0: \quad q(n, m) = q(n, m_0) \land x = \sup_{m} q(n, m_0(n)) . \]

\[ \Sigma: \] Since the limit (which exists) coincides with the least accumulation point, we have

\[ x = \sup_n \lim_{m \rightarrow \infty} q(n, m) = \sup_n \sup_{m \geq j} \inf_{m \geq j} q(n, m) = \sup_{(n,j)} \inf_{m \geq j} q(n, m) \]

deduced a \( \rho'_< \)-name for \( x \).

\[ \Sigma: \] Let \((q(n, m))_n \) be the given double sequence in \( \mathbb{Q} \) with \( x = \sup_n \lim_{m} q(n, m) \). We may suppose that all single sequences \( q(n, \cdot) \), \( n \in \mathbb{N} \), are monotonically nonincreasing; and that the single sequence \( \left( \inf_{m} q(n, m) \right)_n \) is nondecreasing: by proceeding (in either order!) from
\( q(n,m) \) to \( \min_{k \leq m} q(n,k) \) and to \( \max_{\ell \leq n} q(\ell,m) \), respectively. Moreover one can assert each single sequence \( q(n,:) \) to eventually stabilize, thus yielding a \( \rho \circ \iota' \)-name of \( x \): Consider for \( m \in \mathbb{N} \) the function \( [\cdot]_m : \mathbb{Q} \to \mathbb{Q} \) mapping every rational to the next lower dyadic irrational having denominator \( 2^{-m} \); formally: \( a/b \mapsto \lfloor a \cdot 2^m/b \rfloor \) where \( \lfloor \cdot \rfloor_0 \) denotes the usual floor function on integers. Then proceeding from \( q(n,m) \) to \( [q(n,m)]_m \) satisfies this requirement without affecting \( x = \sup_n \inf_m q(n,m) \). \( \square \)

### 2.4 Jump of the Weierstraß Representation

The limit of a uniformly converging sequence of polynomials is of course continuous again. 

WEIERSTRASS has shown that the converse holds as well: Any continuous function on a compact set is the uniform limit of a sequence of polynomials. This leads to the Weierstraß Representation: 

\[
\lim_{\rho \to \rho} f = \rho \circ \iota'
\]

effective Weierstraß Theorem.

By the famous Effective Weierstraß Theorem, it is equivalent to several other natural representations of \( C(K) \) \cite[Section 6.1]{Wei00}. \cite[Lemma 12b]{Zie05} and \cite[Lemma 22]{Zie06} employ a representation \( [\rho \to \rho]' \) for \( C(K) \) where the required fast uniform convergence bound \( 2^{-n} \) in Equation 3 is weakened to ‘ultimate’ uniform convergence \( \|f - P_n\| \to 0 \). This kind of naive Weierstraß representation, too, results from a jump:

**Proposition 8.** \( [\rho \to \rho] \circ \iota' \equiv [\rho \to \rho]'. \)

This result includes \cite[Theorem 16]{Wei00}. The proof proceeds similarly to that of Proposition 8 because Equations 11 and 12 are still decidable and co-r.e. when replacing rational numbers \( q \) with rational polynomials \( Q \) and absolute value \( |q| \) with maximum norm \( \|Q\| \): 

**Fact 9.** Given \( q_0, \ldots, q_m, b \in \mathbb{Q} \) (in binary encoding, say), \( \sup_{0 \leq x \leq 1} |q_0 + q_1 x + \ldots + q_m x^m| = b \) is decidable: by virtue of constructive root bounds, see e.g. \cite{Miller82}.

### 2.5 Iterated Jumps

Climbing up in KLEENE’s Arithmetical Hierarchy corresponds to iterated jumps of the Halting problem. We proceed similarly with our hierarchy of representations:

**Definition 10.** Let \( \iota^{(d+1)} := \iota^{(d)} \circ \iota' = \iota' \circ \iota^{(d)} \).

Straight forward inductive application of Observation 8 shows that \( \iota^{(d)} \)-computability is equivalent to \( \iota \)-computability relative to \( \emptyset^{(d)} \). If \( F \) and \( G \) are partial (\( \iota \to \iota' \))-computable string functions, then their composition \( G \circ F \) is (\( \iota \to \iota'' \))-computable by Lemma 4a.

**Theorem 11.** For each \( d \in \mathbb{N} \), it holds \( \rho^{(d)} \equiv \rho \circ \iota^{(d)} \) and \( \rho_{\prec}^{(d)} \equiv \rho_{\prec} \circ \iota^{(d)} \).

**Proof.** The induction start \( d = 1 \) has been treated in Propositions 6 and 7 respectively. Since a \( \rho^{(d+1)} \)-name of \( x \in \mathbb{R} \) is the join of \( \rho^{(d)} \)-names of elements \( x_n \) with \( x = \lim_n x_n \), Proposition 6 together with Lemma 4b also provides the induction step; similarly for \( \rho_{\prec}^{(d+1)}. \) \( \square \)
As a consequence, we obtain the following extensions of [Zie06, THEOREMS 11 and 15]:

**Corollary 12.** Fix \( f : X \to \mathbb{R} \).

a) If \( f \) is \((\rho^{(d)} \to \rho^{(d)})\)-continuous, then it is continuous. If \( f \) is \((\rho^{(d)} \to \rho^{(d)})\)-computable, then it is also \((\rho^{(d+1)} \to \rho^{(d+1)})\)-computable,

b) If \( f \) is \((\rho^{(d)} \to \rho^{(d)}_\leq)\)-continuous, then it is lower semi-continuous. If \( f \) is \((\rho^{(d)} \to \rho^{(d)}_\leq)\)-computable, then it is also \((\rho^{(d+1)} \to \rho^{(d+1)}_\leq)\)-computable,

c) If \( f \) is \((\rho^{(d)}_\leq \to \rho^{(d)}_\leq)\)-continuous, then it is monotonically nondecreasing. If \( f \) is \((\rho^{(d)}_\leq \to \rho^{(d)}_\leq)\)-computable, then it is also \((\rho^{(d+1)} \to \rho^{(d+1)}_\leq)\)-computable.

The proof of [Zie06, THEOREM 11] covers as many as five pages of text and treated only very small values of \( d \). Now it boils down to a mere application of Lemma [H+c] inductively in \( d \).

### 2.6 Borel Set Representations

The representation \( \theta_\prec \) encodes an open subset \( U \) of \( X \) as a list of (centers and radii) of open rational balls exhausting \( U \). For a topological space \( X \), the **Borel Hierarchy** starts with the class \( \Sigma_1(X) \) of open subsets \( U \) of \( X \) and proceeds inductively from \( \Sigma_d(X) \) to the class \( \Sigma_{d+1}(X) \) of countable unions \( \bigcup_m \{ X \setminus S_m \} \) over complements of sets \( S_m \) from \( \Sigma_d(X) \). **Brattka** has renamed \( \theta_\prec \) to \( \delta_{\Sigma_1(X)} \) and generalized it to higher order Borel sets:

**Definition 13.** Consider the following representations of Borel subsets of \( X \):

- \( \delta_{\Sigma_1(X)} \) encodes \( U \in \Sigma_1(X) \) as a list \( B_m \) of open rational balls such that \( U = \bigcup_m B_m \).
- \( \delta_{\Sigma_2(X)} \) encodes \( S \in \Sigma_2(X) \) as a list \( B_m \) of open rational balls such that \( S = \bigcup_m (X \setminus \bigcup_n B_{(m,n)}) \).
- \( \vdots \)
- \( \delta_{\Sigma_{k+1}(X)} \) encodes \( S \in \Sigma_k(X) \) as the join of \( \Sigma_{k-1} \)–names of sets \( S_m \in \Sigma_{d-1}(X) \) such that \( S = \bigcup_m (X \setminus S_m) \).

It turns out that these natural representations are related to jumps, too:

**Proposition 14.** \( \theta_\prec \circ \iota' \preceq \delta_{\Sigma_2(X)}|_{\Sigma_1(X)} \).

Recall that \( \Sigma_1(X) \) denotes the class of open subsets of \( X \) which \( \theta_\prec \equiv \delta_{\Sigma_1(X)} \) is a representation for. Of course the restriction of \( \delta_{\Sigma_2(X)} \) is thus necessary for the equivalence to make sense.

**Proof.** A \((\theta_\prec \circ \iota')\)–name for \( U \in \mathcal{O}(X) \) consists of two rational double sequences \((c_{(n,m)})\) and \((r_{(n,m)})\) such that each single sequence \( c_{(n,r)} \) and \( r_{(n,r)} \), \( n \in \mathbb{N} \), eventually stabilizes to some \( c_{(,\infty)} \) and \( r_{(,\infty)} \) where \( U = \bigcup_{n \in \mathbb{N}} B(c_{(n,\infty)}, r_{(n,\infty)}) \) and \( B(c, r) \) denotes the open ball with center \( c \) and radius \( r \).

Both representations admit effective countable unions: apply Lemma [H] to [Wei00, EXAMPLE 5.1.19.1] and see [Bra05, PROPOSITION 3.2(5)], respectively. It therefore suffices to show them equivalent on open rational balls, that is we may suppose w.l.o.g. \( U = B(c_m, r_m) \) for all \( m \geq m_0 \).

So let

\[
A_n := \begin{cases} \overline{T}(c_m, r_m \cdot (1 - 2^{-n})) : (c_k, r_k) = (c_{k+1}, r_{k+1}) \forall k \geq m, \\ \emptyset \quad \text{otherwise} \end{cases}
\]
so $U = \bigcup_n A_n$. Moreover the closed set $A_n$ can be $\psi_\geq$-computed, uniformly in $n$ and the given sequences $(c_m)$ and $(r_m)$: start generating $B(\cdots)$; if the co-r.e. condition "$\forall k \geq m$" eventually turns out to fail, the machine may still revert to a $\psi_\geq$-name for $\emptyset$ by adding further negative information to the output. Hence we obtain a $\delta_{\Sigma_2^s(X)}$-name for $U$. □

The following extends [Wei00, Example 5.1.17.2] and [ZW01, Corollary 6.6a]:

**Example 15.** For reals $a < b$, the open interval $U = (a, b) \subseteq \mathbb{R}$ is $\Sigma_d$-computable if and only if $+b$ and $-a$ are both $\rho_{(d-1)}$-computable.

**Conjecture 16.** The converse of Proposition 14 holds as well: $\delta_{\Sigma_2^s(X)}|_{\Sigma_1^s(X)} \preceq \theta \circ \iota'$.

**Problem 17.** Recalling the weak representations of regular sets $\overline{\psi}_<$ and $\overline{\theta}_>$ from [Zie02, Definition 3.3], characterize them in terms of $\iota'$ and some known representations?

## 3 Revising Computation

This section provides some motivation and related background to the jump $\alpha'$ of a representation $\alpha$ as well as for a different kind of jump $\hat{\alpha}$ to be introduced in Section 3.3 below.

An important (though somewhat hidden) point in the definition of a Type-2 machine is that its output tape be one-way; compare e.g. [Wei00, top of p.15]. This condition allows to abort a real number computation as soon as the desired precision is reached, knowing that this preliminary approximation will not be reverted. It also is crucial for the Main Theorem to hold.

In the Type-1 setting, revising computations have been studied well. Here a machine writes only a finite string, but it does not terminate and may revert its output an arbitrary finite number of times. The model with this semantics goes under such names as Limiting [Gol65], Trial-and-Error [Put65], Inductive [Bur04], or General Turing Machines. It is motivated by the capabilities of early display terminals (see Section 3.2 below) as well as by Shoenfield’s Limit Lemma.

A sequence $(\sigma_n)_n$ of finite strings (Type-1) converges (to a finite string) if and only if the sequence $\sigma_{n,i}$ of $i$-th symbols eventually stabilizes for each $i$. For infinite strings (Type-2 setting) however, one has to carefully distinguish both conditions: symbol-wise convergence underlies Definition 2 whereas overall stabilization will be required in Definition 23.

Both appear naturally when formalizing the output displayed by a (not necessarily terminating) program to a terminal as explicated in Section 3.2: They also arise as input fed to a streaming algorithm:

### 3.1 Revising Input: Streams

Many practical applications are desired to run ‘forever’: a scheduler, a router, a monitor all are not supposed to terminate but to continue processing the stream of data presented to them. This has led to the prospering field of Data Stream Algorithms. It distinguishes various ways in which the input can be presented to the program [Mut05, Section 4.1]:

- In the *Time Series Model*, all data items (binary digits, say) are to be enumerated in order; in particular, they must not later be reverted.

† which usually focuses on the (space) complexity of randomized approximations of discrete problems, though
This corresponds in TTE to the identity presentation \( i \) of an infinite string by itself.

- The Turnstile Model on the other hand permits (finitely many) later updates to previously enumerated items.

This corresponds to the presentation \( i' \) from Definition 2.

### 3.2 Revising Output: Terminals

Recall the two most basic ascii control characters understood already by the earliest text display consoles [Wiki]: BS and CR. The first, called “backspace”, moves the cursor left by one position, thus allowing the last printed symbol to be overwritten; whereas the second, “carriage return”, commands to restart output from the beginning (of the present line).

**Example 18.** The character sequence

```
Good  by e CR  Hello,  M r s   BS BS BS  w o r l d
```

will display as: Hello, world.

So consider a program generating an infinite sequence of characters *including* BS and CR; how do they appear on an (infinitely long, one-line) display? Let us require that each character position does settle down eventually, leading ultimately to the display of a truly infinite string (without BS and CR).

**Definition 19.** A \( \pi \)-name of \( \bar{\sigma} \in \{0,1\}^\omega \) is an infinite string over \( \{0,1,\text{CR, BS}\} \) which leads to the display of \( \bar{\sigma} \) in the above sense.

Now this is exactly what we had already considered in Definition 2

**Observation 20.** \( \equiv i' \).

Each occurrence of the control character CR leads to the entire display being purged. In order for already the first character to eventually stabilize, a valid \( \pi \)-name may thus contain at most finitely many CR’s. Let us now consider a terminal incapable of processing BS, that is, restrict \( \pi \) to \( \{0,1,\text{CR}\}^\omega \). Then any valid name will make the displayed text settle down not only character-wise but globally. This motivates a different jump operator \( \alpha \mapsto \hat{\alpha} \) formally introduced in the sequel:

**Observation 21.** \( \equiv \hat{i} \).

Hopefully you, most valued reader, are now indeed curious enough to read on and learn about the computational power induced by this.

### 3.3 Other Kind of Jump

[Zie06, Section 5.1] characterizes the computational power of CHADZELEK and HOTZ’ quasi-strongly \( \delta \)-\( \mathbb{Q} \)-analytic machines in terms of Type-2 machines by introducing the representation \( \rho_H \) as follows:

**Definition 22.** A \( \rho_H \)-name for \( x \in \mathbb{R} \) is a sequence \( (q_n)_n \) in \( \mathbb{Q} \) such that

\[
\exists N \in \mathbb{N} \forall n \geq N : \ |q_n - x| \leq 2^{-n}
\]
This representation is non-uniformly equivalent to \( \rho \) yet uniformly (in terms of reducibility that is) lies strictly between \( \rho \) and \( \rho' \).

Similarly to Section 2, we now generalize this particular construction into a generic way:

**Definition 23.** For a representation \( \alpha : \subseteq \{0,1\}^\omega \rightarrow A \), write \( \hat{\alpha} := \alpha \circ \hat{\tau} \).

The representation \( \hat{\tau} : \subseteq \{0,1\}^\omega \rightarrow \{0,1\}^\omega \) in turn encodes an infinite string \( \hat{\sigma} = (\sigma_n)_n \in \{0,1\}^\omega \) as a sequence of infinite strings \( \tilde{\tau}_m = (\tau_{(n,m)})_n \in \{0,1\}^\omega, m \in \mathbb{N} \), such that there is some \( M \in \mathbb{N} \) with \( \tilde{\tau}_m = \hat{\sigma} \) for all \( m \geq M \).

In contrast to Definition 2, the sequence \( (\tilde{\tau}_m) \) is thus required to ultimately stabilize uniformly in the position index \( n \).

In view of Claim f) of the following lemma, Claims a) to c) generalize [Zie06, Lemma 31]; and Claims d+e) generalize [Zie06, Proposition 32b+a].

**Lemma 24.** Fix representations \( \alpha \) of \( A \) and \( \beta \) of \( B \).

\( a) \) An element \( a \in A \) is \( \alpha \)-computable if and only if it is \( \hat{\alpha} \)-computable.

\( b) \) It holds \( \alpha \preceq \hat{\alpha} \preceq \alpha' \). The converse reductions are in general discontinuous.

\( c) \) For any function \( f : \subseteq A \rightarrow B; (\alpha \rightarrow \hat{\beta}) \)-computability is equivalent to \( (\hat{\alpha} \rightarrow \hat{\beta}) \)-computability.

\( d) \) Every \( (\alpha \rightarrow \beta) \)-computable function \( f \) is also \( (\hat{\alpha} \rightarrow \hat{\beta}) \)-computable; (even uniformly in \( f \).

\( e) \) An \( (\hat{\alpha} \rightarrow \hat{\beta}) \)-computable function need not be \( (\alpha \rightarrow \beta) \)-continuous.

\( f) \) \( \hat{\rho} \equiv \rho_H \).

**Proof.** It suffices to treat the case \((A,\alpha) = (B,\beta) = (\{0,1\}^\omega, 1)\)—except for f) of course.

\( a) \) Encode the \( M \) from Definition 23 into the machine computing \((\tau_{(n,M)})_{(n,m)}\) and make it output \((\tau_{(n,M)})_n\).

\( b) \) The positive claims are immediate, the negative ones are straight-forward discontinuity arguments.

\( c) \) By a), every \((\tau \rightarrow \hat{\tau})\)-computable function is \((\hat{\tau} \rightarrow \hat{\tau})\)-computable, too. For the converse implication, take the Type-2 Machine \( \mathcal{M} \) converting \( \tau \)-names for \( x \in \mathbb{R} \) to \( \hat{\tau} \)-names for \( y = f(x) \). Let \((\sigma_m)\) be given with \( \sigma_m = \hat{\sigma} \) for all \( m \geq M, M \in \mathbb{N} \) unknown.

Now simulate \( \mathcal{M} \) on \( \hat{\sigma}_1 \) (implicitly supposing \( M = 1 \)) and simultaneously check that \( \sigma_1 = \hat{\sigma}_m \) for all \( m \geq 1 \). If (or, rather, when) the latter turns out to fail, restart under the presumption \( M = 2 \) and so on. The check will however succeed after finitely many tries (after reaching the ‘true’ \( M \) used in the input). We thus obtain a finite sequence of output strings, that is a valid \( \hat{\tau} \)-name for \( f(\sigma) \).

\( d) \) The apply operator \( (F,\sigma) \rightarrow F(\sigma) \) is \((\eta^\omega \times \hat{\tau} \rightarrow \hat{\tau})\)-computable: if \( \tau_m = \hat{\sigma} \) for all \( m \geq M \), then also \( F(\tau_m) = F(\sigma) \) for all \( m \geq M \).

\( e) \) Consider the discontinuous function \( F(1^\omega) := 1^\omega \), \( F(\bar{\sigma}) := 0^\omega \) for \( \bar{\sigma} \neq 1^\omega \). We assert it to be \((\tau \rightarrow \hat{\tau})\)-computable; the claim the follows by c).

Given \( \bar{\sigma} = (\sigma_n)_n \), for each \( n = 1,2,\ldots \) test \( \sigma_n = 1 \) and, as long as this holds, append 1 to the output. Otherwise restart the output to \( 0^\omega \). Since this restart takes place (if at all) after finite time, we obtain in either case a valid \( \hat{\tau} \)-name.

\( f) \) Given \((\tilde{\tau}_m)_n\) with \( \tilde{\tau}_m = \hat{\sigma} \) for all \( m \geq M \), consider for each \( m \) the longest initial segment of \( \tau_n \) constituting the beginning of a valid \( \rho \)-name. This is computable because \( \text{dom}(\rho) \) is r.e.; and it yields a \( \rho_H \)-name for \( \rho(\tilde{\sigma}) \), i.e. we have “\( \hat{\rho} \preceq \rho_H \).” The converse reduction proceeds similarly. \( \square \)
4 Real Hypercomputation and Degrees of Discontinuity

Computability of a function \( f : X \to \mathbb{R} \) in Recursive Analysis means \((\rho \to \rho)\)-computability; equivalently \cite[Lemma 6.1.7]{Wei00}: the pre-image \( f^{-1}[V] = \{ x : f(x) \in V \} \) of any open \( V \subseteq \mathbb{R} \) is again open (that is in \( \Sigma_1(X) \)) and the pre-image mapping \( V \mapsto f^{-1}[V] \) is \((\theta_\prec \to \delta_{\Sigma_1(X)})\)-computable. In particular, every computable real function is necessarily continuous.

How can we extend the notion of computability to incorporate also (at least some) discontinuous functions?

Recalling the introduction, one may

iii) consider \((\rho \to \rho_\prec)\)-computable functions.

A function \( f : X \to \mathbb{R} \) is \((\rho \to \rho_\prec)\)-continuous if and only if it is lowersemi-continuous, i.e., \( f^{-1}[V] \) is open for any \( V = (y, \infty) \). It is \((\rho \to \rho_\prec)\)-computable if and only if the mapping \( \mathbb{R} \ni y \mapsto f^{-1}[(y, \infty)] \in \Sigma_1(X) \) is well-defined and \((\rho_\prec \to \delta_{\Sigma_1(X)})\)-computable \cite[Theorem 4.5(1) and Corollary 5.1(2)]{WZ00}. A natural representation (here denoted by \([\rho \to \rho_\prec]\)) of lower-semi-continuous functions on \( X \) encodes \( f \) as the join of the \( \theta_\prec \)-names of the open sets \( f^{-1}[[y, \infty]], y \in \mathbb{Q} \); cf. \cite[Definition 3.2]{WZ00}.

Another approach due to Brattka, it is equally natural to

v) consider functions \( f : X \to \mathbb{R} \) for which the pre-image \( f^{-1}[V] \) of any open \( V \subseteq \mathbb{R} \) belongs to the Borel class \( \Sigma_1(X) \) (is \( \Sigma_d \)-measurable) and the mapping \( V \mapsto f^{-1}[V] \) is \((\theta_\prec \to \delta_{\Sigma_d(X)})\)-computable (called effectively \( \Sigma_d \)-measurable).

The comprehensive paper \cite{Bra05} thoroughly studies this notion and its consequences. It is as general as to include also partial and multi-valued functions on arbitrary computable metric spaces but in that respect goes beyond our purpose. \cite{Bra05} also introduces a natural representation \( \delta_{\Sigma_d(X \to \mathbb{R})} \) for \( \Sigma_d \)-measurable functions as the join of \( \delta_{\Sigma_d(X)} \)-names of the sets \( f^{-1}[V], V \) running through all open rational balls. For reasons which will be come clear soon, the present work prefers to write \([\rho \to \rho^{(d-1)}] \) for \( \delta_{\Sigma_d(X \to \mathbb{R})} \).

Let us unify these two Approaches iii) and v):

**Definition 25.** Call \( f : X \to \mathbb{R} \) be \( \Sigma_d \)-lowersemi-measurable if \( f^{-1}[(y, \infty)] \in \Sigma_d(X) \) for all \( y \in \mathbb{R} \). It is effectively \( \Sigma_d \)-lowersemi-measurable if \( \mathbb{R} \ni y \mapsto f^{-1}[(y, \infty)] \) is in addition \((\rho_\succ \to \delta_{\Sigma_d(X)})\)-computable. The representation \([\rho \to \rho_\prec^{(d)}]\) of all \( \Sigma_{d+1} \)-lowersemi-measurable functions is defined to encode \( f : X \to \mathbb{R} \) as the join of \( \delta_{\Sigma_d(X)} \)-names of \( f^{-1}[(y, \infty)] \) for all \( y \in \mathbb{Q} \).

Obviously \( [\rho \to \rho^{(d)}] \equiv [\rho \to \rho_\prec^{(d)}] \land [\rho \to \rho_\succ^{(d)}] \), exploiting \( f^{-1}[U \cap V] = f^{-1}[U] \cap f^{-1}[V] \) and \( \rho_\prec^{(d)} \equiv \rho_\prec^{(d)} \land \rho_\succ^{(d)} \) by Lemma \( \psi \) and Theorem \( \chi \).

The main result of the present section connects these notions to weak function evaluation \((\rho \to \rho^{(d)})\) and \((\rho \to \rho_\prec^{(d)})\)—recall Section \( \theta \) Approach iv)—with the representations from Section \( \theta \). In fact, justifying the above names for representations of (lowersemi)measurable functions, we show

**Theorem 26.** a) The uniformly characteristic function \( 1 : \Sigma_d(X) \times X \to \{0,1\} \), defined by \( (S, x) \mapsto 1_S(x) := 1 \) if \( x \in S \) and \( 1_S(x) := 0 \) if \( x \notin S \), is \((\delta_{\Sigma_d(X)} \times \rho \to \rho_\prec^{(d-1)})\)-computable.
b) The apply operator \((f, x) \mapsto f(x)\) of \(\Sigma_{d+1}\)-lowersemimeasurable functions on \(X\) is \(([\rho \mapsto \rho_{<}^{(d)}]) \times \rho \mapsto \rho_{<}^{(d)}\)-computable.

c) Every \((\rho \mapsto \rho_{<}^{(d)}\)-continuous function \(f : X \to \mathbb{R}\) is \(\Sigma_{d+1}\)-lowersemimeasurable; every \((\rho \mapsto \rho_{<}^{(d)}\)-computable one is effectively \(\Sigma_{d+1}\)-lowersemimeasurable, uniformly in \(f\) given by an \(\eta^\omega\)-name of a realization.

Claims b) and c) together immediately establish the non-uniform Theorem [1b] which in turn yields Theorem [1a].

An alternative proof of Theorem [1b], however only for \(d \geq 3\), could proceed by induction [Bra05 COROLLARY 9.6] and exploit that the pointwise limit \(f\) of a sequence \(f_n\) of \((\rho \mapsto \rho_{<}^{(d)}\)-computable functions is \((\rho \mapsto \rho_{<}^{(d-1)}\)-computable.

**Proof (Theorem 26).**

a) By induction on \(d\), starting with \(d = 1\): Given a \(\rho\)-name of \(x \in X\) and a \(\theta_{<}\)-name of an open \(U \subseteq U\), membership "\(x \in U\)" is semi-decidable; so output 0s while uncertain and start writing 1s as soon as membership has been established: this yields a \(\rho_{<}\)-name of \(1_U(x)\).

Now let \(S = \bigcup_n (X \setminus S_n) \in \Sigma_{d+1}(X)\) be given by the joint \(\delta_{\Sigma_d(X)}\)-names of \(S_n \in \Sigma_d(X)\), \(n \in \mathbb{N}\). By induction hypothesis, \(\rho_{<}^{(d-1)}\)-compute the respective values \(y_n := 1_{S_n}(x)\). Since \(x \in S \iff \exists n : x \notin S_n\), we have \(1_S(x) = \sup_n (1 - y_n)\).

b) Given a \(\rho\)-name of \(x \in X\), compute for all \(y \in \mathbb{Q}\) a \(\delta_{\Sigma_{d+1}(X)}\)-name of \(S_y := f^{-1}([y, \infty))\).

Claim a) yields that a \(\rho_{<}^{(d)}\)-name of \(z_y := 1_{S_y}(x)\), that is \(z_y = 1\) in case \(x \in S_y\) and \(z_y = 0\) in case \(x \notin S_y\). Easy scaling converts that to \(z'_y = a\) in case \(f(x) > y\) and to \(z'_y = -\infty\) in case \(f(x) \leq y\). We finally obtain a \(\rho_{<}^{(d)}\)-name of \(\sup_y z'_y = f(x)\) because \(\mathbb{R}^\mathbb{N} \ni (x_n)_n \mapsto \sup_n x_n \in \mathbb{R}\) is obviously \((\rho_{<}^{(d)})^\mathbb{N} \mapsto \rho_{<}^{(d)}\)-computable.

c) To start with, recall the proof of [WZ00 THEOREM 3.7] the classical case

\(d = 0\): Evaluate \(f\) simultaneously on all \(x \in X\) to obtain rational sequences \(p_{x,n}\) with \(f(x) = \sup_n p_{x,n}\). More precisely, using feasible countable (as opposed to infeasible uncountable) dove-tailing, simulate the machine evaluating \(f\) on all initial parts of \(\rho\)-names of \(x \in X\), that is on all finite rational sequences \(\mathbf{q} = (q_1, q_2, \ldots, q_N)\) with \(N \in \mathbb{N}\) and \(|q_n - q_k| \leq 2^{-n} \forall n \leq k \leq N\). For each \(\mathbf{q}\), we obtain as output a finite rational sequence \((p_{\mathbf{q},m})_{m \leq M}\).

Observe that \(\mathbf{q}\) is initial segment of a \(\rho\)-name to any \(x \in \overline{B_\mathbf{q}} := \bigcup_{n=1}^{N(\mathbf{q})} \overline{B(q_n, 2^{-n})}\), \(\overline{B_\mathbf{q}}\) having non-empty interior. Hence

\[
\exists m : p_{\mathbf{q},m} > a \iff \forall x \in \overline{B_\mathbf{q}} : f(x) > a \iff \exists x \in \overline{B_\mathbf{q}} : f(x) > a
\]

which implies

\[
\overline{f^{-1}([a, \infty])} = \bigcup_{\mathbf{q},m} \left\{ \overline{B_\mathbf{q}} : p_{\mathbf{q},m} > a \right\} = \bigcup_{\mathbf{q},m} \left\{ \overline{B_\mathbf{q}} : p_{\mathbf{q},m} > a \right\} = \bigcup_{\mathbf{q},m} \left\{ \overline{B_\mathbf{q}} : p_{\mathbf{q},m} > a \right\} \in \Sigma_1
\]

and immediately yields \(\delta_{\Sigma_1(X)}\)-computability of \(f^{-1}([a, \infty])\) for given \(a \in \mathbb{Q}\).
$d = 1$: Similarly evaluate $f$ on all $x \in X$ to obtain sequences $p_{x,n,m}$ with $f(x) = \sup_m \inf_n p_{x,n,m}$.

More precisely countable dove-tailing yields, to each finite $\varrho$–initial segment $\bar{q}$, a finite sequence $(p_{\bar{q},m,n})_{m,n}$ in $\mathbb{Q}$ with

$$
\exists m \forall n : p_{\bar{q},m,n} > a \iff \forall x \in \bar{B}_{\bar{q}} : f(x) > a \iff \exists x \in \bar{B}_{\bar{q}} : f(x) > a
$$

and hence

$$
f^{-1}[(a, \infty)] = \bigcup_{\bar{q},m,n} \left\{ B_{\bar{q}} : p_{\bar{q},m,n} > a \right\} = \bigcup_{\bar{q},m,n} \left\{ \bar{B}_{\bar{q}} : p_{\bar{q},m,n} > a \right\}
$$

a $\delta_{\Sigma_2(X)}$–name of $f^{-1}[(a, \infty)]$ as the $\delta_{\Sigma_1(X)}$–names of all open $X \setminus A_{\bar{q},m}$.

$d = 2$: Compute finite rational sequences $(p_{\bar{q},m,n,k})_{m,n,k}$ with

$$
\exists m \forall n \exists k : p_{\bar{q},m,n,k} > a \iff \forall x \in \bar{B}_{\bar{q}} : f(x) > a \iff \exists x \in \bar{B}_{\bar{q}} : f(x) > a
$$

$$
f^{-1}[(a, \infty)] = \bigcup_{\bar{q},m,n,k} \left\{ B_{\bar{q}} : p_{\bar{q},m,n,k} > a \right\} = \bigcup_{\bar{q},m,n,k} \left\{ \bar{B}_{\bar{q}} : p_{\bar{q},m,n,k} > a \right\}
$$

$d \geq 3$: analogously.

5 Power of Type-2 Nondeterminism

We now expand on Approach vi) from the introduction of the present work: Motivated by Büchi’s discovery of nondeterministic automata as the appropriate notion of regular languages over infinite strings [Tho90] as well as by the famous Immerman–Szelepscényi concept of nondeterministic function computation [Pap94, Theorem 7.6] and by fair nondeterminism [STvE89], we introduced in [Zie05, Zie06, Section 5] the nondeterministic Type-2 Model:

**Definition 27.** Let $A$ and $B$ be sets with respective representations $\alpha : \subseteq \{0,1\}^\omega \to A$ and $\beta : \subseteq \{0,1\}^\omega \to B$. A function $f : \subseteq A \to B$ is called nondeterministically $(\alpha \to \beta)$–computable if some nondeterministic one-way Turing Machine $M$,

- upon input of any $\alpha$–name $\bar{\sigma} \in \{0,1\}^\omega$ for some $a \in \text{dom}(f)$,
- has a computation which outputs a $\beta$–name for $b = f(a)$
- every infinite computation of $M$ on $\bar{\sigma}$ outputs a $\beta$–name for $b = f(a)$.

A subset $L$ of $A$ is nondeterministically decidable if the characteristic function $1_L : A \to \{0,1\} \times \{\omega\}$ is nondeterministically $(\alpha \to i)$–computable.
While admittedly even less realistic than a classical \( NP \)–machine, its capabilities have turned out to exhibit (in addition to closure under composition) particular structural elegance: All presentations \( \rho^{(d)} \), \( d \in \mathbb{N} \), can nondeterministically be converted to and from each other. Hence we may simply speak of nondeterministic computability and observe that this notion includes all functions \( (\rho \rightarrow \rho^{(d)}) \)–computable for \( \text{any} \ d \), that is by Theorem 1a) the entirety of Brattka’s hierarchy of effective measurability.

Remark 28. In [Zie05, Definition 14], we had defined nondeterministic computability in a way with the third condition in Definition 27 requiring that any infinite output of \( M \) on \( \bar{\sigma} \) constitutes a \( \beta \)–name for \( b = f(a) \). Since any infinite output requires infinite computation but not vice versa, this may seem to lead to a different notion. However both do coincide: \( M \) may additionally guess and verify a function \( F : \mathbb{N} \rightarrow \mathbb{N} \) such that the \( n \)–th symbol is output after \( F(n) \) steps. If \( F \) has been guessed incorrectly (and in particular if, for the given input \( \bar{\sigma} \), no such \( F \) exists at all), then this can be detected within finite time and abort the computation, thus complying with the (only seemingly stronger) Definition 27.

The question of exactly characterizing the power of these machines, left open in [Zie06, Section 5], is now answered in terms of the Analytical Hierarchy:

**Theorem 29.** For \( L \subseteq \mathbb{N} \), the characteristic function \( 1_L : \mathbb{N} \rightarrow \{0,1\} \times \{\_\}^\omega \) is nondeterministically computable if and only if \( L \in \Delta^1_1 \).

In particular, the power of Type-2 nondeterminism goes strictly beyond effective measurability; see Corollary 32 below.

The following notion turns out as both natural and useful in the proof of Theorem 29:

**Definition 30.** A set \( L \subseteq \mathbb{N} \) is nondeterministically semi-decidable if there exists a nondeterministic Turing machine \( M \) which, upon input of \( x \in \mathbb{N} \),

– has a computational path which outputs an infinite string in case \( x \in L \);
– in case \( x \notin L \), aborts after finite time on all computational paths.

\( L \) is nondeterministically enumerable if a nondeterministic Turing machine \( M \) without input

– has a computational path which outputs a list \( (x_n) \) of integers with \( L = \{x_n : n \in \mathbb{N}\} \);
– every infinite computation of \( M \) prints a list \( (x_n) \) of integers with \( L = \{x_n : n \in \mathbb{N}\} \).

Nondeterministic enumerability thus amounts to nondeterministic computability of an \( En \)–name, cf. [Wei00, Definition 3.1.2.5]. Surprisingly, it turns out as equivalent not to nondeterministic semi-decidability but to nondeterministic decidability:

**Proposition 31.** With respect to Type-2 nondeterminism, it holds:

a) \( En \equiv Cf \), where the latter refers to the representation of the powerset of \( \mathbb{N} \) enumerating a set’s members in order [Wei00, Definition 3.1.2.6],

b) \( L \subseteq \mathbb{N} \) is decidable if and only if it has a computable \( Cf \)–name;

– equivalently: both \( L \) and its complement are semi-decidable.

c) \( L \subseteq \mathbb{N} \) is semi-decidable if and only if \( L \in \Sigma^1_1 \).

**Proof.** a) “\( Cf \preceq En \)” holds already deterministically. For the converse we are given a list \( (x_n) \) of integers enumerating \( L \). Guess a function \( F : \mathbb{N} \rightarrow \mathbb{N} \) with \( x_n \geq m \forall n \geq F(m) \): Such obviously \( F \) exists; and an incorrect guess can be detected within finite time. Knowing \( F \), we can determine and sort all restrictions \( L \cap [1,m] , m \in \mathbb{N} \).
b) Immediate.
c) Let $L \in \Sigma^1_1$. By the Normal Form Theorem—see e.g. [Odi89] PROPOSITION IV.2.5—

$$L = \{ x \in \mathbb{N} \mid \exists \bar{b} = (b_n)_{n \in \{0,1\}^\omega} \forall n \in \mathbb{N} : P(x, n, \langle b_1, \ldots, b_n \rangle) \}$$  \hspace{1cm} (4)

for some decidable predicate $P$. A nondeterministic Type-2 machine $M$, given $x$, may therefore guess $\bar{b}$, check $P(x, n, \bar{b}|_{\leq n})$ to hold (and output a dummy symbol) for each $n \in \mathbb{N}$ and, when it fails, abort within finite time: This yields nondeterministic semi-decision of $L$.

Conversely let $L$ be semi-decided by $M$. Then $x \in \mathbb{N}$ belongs to $L$ if and only if there exists a sequence $(b_n)_n$ of guesses $b_n \in \{0,1\}^\omega$ such that $M$ makes at last $n$ steps on $x$ and $\bar{b}$. The latter predicate $P(x, n, \langle b_1, \ldots, b_n \rangle)$ being decidable, $L$ is of the form $[1]$. \qed

Claims b) and c) together yield Theorem 29. Moreover we have

**Corollary 32.** There is nondeterministically computable real $c$ which does not belong to (any finite\(^8\) level of) WEIHRAUCH and ZHENG’s Arithmetical Hierarchy of real numbers.

The constant function $f(x) \equiv c$ establishes Theorem [11].

**Proof (Corollary 32).** Take some hyperarithmetic but not arithmetical $L \subseteq \mathbb{N}$, that is, $L \in \Delta^1_1 \setminus \Sigma^1_0$; see e.g. [Rog87] THEOREM §16.1.XI or [Odi89] COROLLARY IV.2.23. Since $L$ is nondeterministically decidable, it leads to a nondeterministically $\rho_{b,2}$-computable real $c := \sum_{n \leq L} 2^{-n} \in \mathbb{R}$; compare [Wei00] THEOREM 4.1.13. Were $c$ $\rho^{(d)}$-computable for some $d \in \mathbb{N}$, its (unique!) binary expansion would be decidable relative to $\emptyset^{(d)}$ [ZW01] THEOREM 7.8], that is in $\Sigma^1_{d+1} \subseteq \Sigma^1_0$, contradiction. \qed

6 Markov Oracle–Computation

Returning to Approach ii) in Section 11 oracle access to the, say, Halting problem does not permit computational evaluation $x \mapsto f(x)$ of any discontinuous real function $f$ in the sense of Recursive Analysis, that is with respect to input $x$ and output $f(x)$ by means of fast convergent rational sequences. Other notions of effectivity due to A.A. MARKOV, JR. [Wei00] SECTION 9.6] and S. MAZUR [Wei00] SECTION 9.1] restrict real functions to computable arguments $x \in \mathbb{R}_c$.

**Definition 33.** A function $f : \subseteq \mathbb{R}_c \rightarrow \mathbb{R}$ is Markov–computable if is admits a (classically, i.e. discretely) computable Markov realization, that is a function $F : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ such that, whenever $e$ is Gödel index of a Turing machine $M_e$ $\rho$–computing $x \in \text{dom}(f)$, then $F(e)$ is defined and index of a machine $\rho$–computing $f(x)$. Call $f$ BM–computable if $(f(x_n))_n$ is a computable real sequence whenever $(x_n)_n \in \text{dom}(f)$ is.

A $(\rho \rightarrow \rho)$–computable function is obviously Markov–computable which in turn implies BM–computability. Moreover MAZUR’s theorem asserts every total BM–computable function be continuous; and Markov–computability of a total real function requires $(\rho \rightarrow \rho)$–computability according to TSEITIN [Wei00] THEOREM 9.6.6]. See [He05a,He05b] for a thorough comparison of all these notions.

Now, as opposed to $(\rho \rightarrow \rho)$–computability, Markov–computability does benefit even topologically from oracle access:

\(^8\) It may however belong to a transfinite one [Bar03].
Example 34. The discontinuous sign function \( \text{sgn} : \mathbb{R}_c \rightarrow \{-1, 0, +1\} \) is, relative to the Halting problem \( \emptyset' \), both Markov–computable and BM–computable.

Observe that in accordance with Definition 33, \( \text{sgn} \) is considered on the computable reals only.

Proof. Given a Gödel index \( e \) of some machine \( M_e \) computing \( x \), modify \( M_e \) slightly to abort in case \( x \neq 0 \). Feed this new machine’s index \( \tilde{e} \) into Halting oracle. A negative answer implies \( x = 0 \); the remaining cases \( x < 0 \) and \( x > 0 \) are trivial. Similarly for BM–computability. \( \square \)

We are currently working the following generalizations of Mazur’s and Tseitin’s Theorems:

Problem 35. Fix a total function \( f : \mathbb{R}_c \rightarrow \mathbb{R} \).

a) If \( f \) is Markov–computable relative to \( \emptyset' \), then it is \( \Sigma_2 \)–measurable?

b) If \( f \) maps every \( \rho' \)–computable sequence to a \( \rho' \)–computable one, then it is continuous?

c) Characterize the class of total functions Markov–computable relative to \( \emptyset' \! \)

d) How about higher degrees?

7 Conclusion

We have characterized \( (\rho \rightarrow \rho^{(d)}) \)–computable functions \( f : X \rightarrow \mathbb{R} \) to coincide with Brattka’s condition of effective \( \Sigma_{d+1} \)–measurability; and shown his representation \( \delta \Sigma_{d+1}(X \rightarrow \mathbb{R}) \) to be natural for the class of \( (\rho \rightarrow \rho^{(d)}) \)–continuous functions. We furthermore have characterized \( (\rho \rightarrow \rho^{(d)}_{<}) \)–computable functions and, extending work of Weihrauch and Zheng, found a natural representation for the class of \( (\rho \rightarrow \rho^{(d)}_{<}) \)–continuous ones.

Problem 36. Find a simple characterization of the respective classes of \( (\rho^{(k)} \rightarrow \rho^{(d)}) \)–continuous, \( (\rho^{(k)} \rightarrow \rho^{(d)}_{<}) \)–continuous, and \( (\rho^{(k)}_{<} \rightarrow \rho^{(d)}_{<}) \)–continuous functions with \( 1 \leq k \leq d \) arbitrary but fixed; and devise natural representations for them.

If \( \alpha \) is an admissible representation, then \( \alpha^{(d)} \) is usually not for \( d \geq 1 \), at least not in the strict sense. This seems to call for Schröder’s theory of generalized admissibility \cite{Sch06}. On the other hand, Corollary 12 succeeded well without this notion.

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