Flavour Geometry and Effective Yukawa Couplings in the MSSM

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ABSTRACT

We present a new geometric approach to the flavour decomposition of an arbitrary soft supersymmetry-breaking sector in the MSSM. Our approach is based on the geometry that results from the quark and lepton Yukawa couplings, and enables us to derive the necessary and sufficient conditions for a linearly-independent basis of matrices related to the completeness of the internal $[SU(3) \otimes U(1)]^5$ flavour space. In a second step, we calculate the effective Yukawa couplings that are enhanced at large values of $\tan \beta$ for general soft supersymmetry-breaking mass parameters. We highlight the contributions due to non-universal terms in the flavour decompositions of the sfermion mass matrices. We present numerical examples illustrating how such terms are induced by renormalization-group evolution starting from universal input boundary conditions, and demonstrate their importance for the flavour-violating effective Yukawa couplings of quarks.

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1 Introduction

Supersymmetry (SUSY) is very attractive as a possible extension of the Standard Model, since it offers a mechanism for stabilizing the hierarchy between the gravitational and electroweak scale, would aid unification of the gauge couplings, provides a natural candidate for the astrophysical cold dark matter, predicts that the lightest Higgs boson should be relatively light, could explain the apparent discrepancy between the experimental measurement of $g_\mu - 2$ and the prediction of the Standard Model, and is an essential ingredient of string theory [1]. However, the mechanism whereby SUSY could be broken is an open theoretical question and, in the absence of a convincing solution, the phenomenological description of SUSY breaking requires many unknown and apparently arbitrary parameters. These parameters are severely restricted by the conspicuous success of the Cabibbo-Kobayashi-Maskawa (CKM) description of flavour violation within the Standard Model [2,3]. Any model for new physics at the TeV scale, such as SUSY, must maintain this phenomenological success of the CKM mixing paradigm: this is the flavour problem of SUSY.

In this paper we discuss issues related to the SUSY flavour problem in the context of the minimal SUSY extension of the Standard Model, the MSSM, with general soft SUSY-breaking parameters. As is well known, these comprise the $U(1)_Y$, $SU(2)_L$ and $SU(3)_c$ gaugino masses, $3 \times 3$ mass-squared matrices for the left-handed squarks and sleptons and for the right-handed squarks and charged sleptons, soft SUSY-breaking trilinear parameters corresponding to each of the Yukawa couplings of the Standard Model, soft SUSY-breaking masses for each of the two Higgs doublets $H_u,d$ and a soft bilinear SUSY-breaking parameter coupling the Higgs doublets.

The SUSY flavour problem concerns the structure of the mass-squared matrices for the squarks and sleptons. In general, loop diagrams involving sparticle exchanges will contribute to low-energy flavour-changing neutral-current (FCNC) interactions and CP-violating observables. Therefore, the agreement of flavour data with the predictions of the Standard Model, based on its Glashow-Iliopoulos-Maiani mechanism for suppressing FCNC interactions [4] and the Kobayashi-Maskawa mechanism for CP violation [3], imposes stringent conditions on the $3 \times 3$ mass-squared matrices for the squarks and sleptons and on the soft trilinear parameters. It is often assumed that all the SUSY flavour violation is due to the effects of the Yukawa coupling matrices, the minimal flavour violation (MFV) hypothesis [5–7]. One way to ensure that this hypothesis is obeyed is to require each of the mass-squared matrices and the trilinear parameters to be universal, i.e., proportional to the unit matrix in flavour space, $1_3$, at some high input scale prior to their renormalization by the Yukawa couplings $h_{u,d,e}$, which we denote here for brevity as $h$. However, this is not the
most general possibility, and in [8] the maximally CP-violating version of this MFV model (MCPMFV) has been introduced, which has 19 free parameters, including 6 CP-violating phases.

As pointed out in [8], generalizations that satisfy the MFV hypothesis may include non-universal terms in the mass-squared matrices $\tilde{M}_{Q,L,D,U,E}^2$ that are proportional to Hermitian products of Yukawa couplings $hh$ and algebraic functions of them. The renormalization-group equations (RGEs) and their threshold corrections generate non-universal terms of this type [9,10], which are in the MFV spirit and may be consistent with the measurements of flavour-violating neutral interactions if their coefficients are not too large.

As we discuss in this paper, all deviations from universality in the mass-squared matrices $\tilde{M}_{Q,L,D,U,E}^2$ may be expressed in terms of the quadratic products $hh$ and their quadratic and cubic combinations. They constitute complete, linearly-independent bases for the Hermitian matrices $\tilde{M}_{Q,L,D,U,E}^2$, and hence provide a convenient geometrical framework for discussing the SUSY flavour problem. For example, constraints on MFV scenarios within the MSSM may usefully be formulated as numerical bounds on the coefficients of expansions of the mass-squared matrices in these Hermitian bases. In a specific numerical example, we demonstrate how non-universal terms in these flavour decompositions are induced by renormalization-group evolution starting from universal input conditions at a Grand Unification scale.

This formulation of SUSY flavour geometry can be used to simplify and systematize the calculations of a number of aspects of flavour violation in SUSY models. In this paper we discuss one specific such application, namely to effective quark Yukawa couplings at large $\tan \beta$ [11–13]. We present the complete sets of one-loop SUSY corrections to the self-energies for the down- and up-quark and charged-lepton Yukawa couplings, highlighting the importance of the contributions of non-universal terms in the flavour decompositions of the squark and slepton mass matrices. We then use the same numerical example as previously to demonstrate the importance of these terms for effective Yukawa couplings in the MSSM.

The structure of this paper is as follows. In Section 2 we demonstrate that the quadratic products of Yukawa couplings $hh$ and their quadratic and cubic combinations constitute a basis in flavour space for soft SUSY-breaking contributions to the squark and slepton mass-squared matrices. Then, in Section 3 we discuss the effective quark Yukawa couplings at large $\tan \beta$ and the numerical importance of flavour-non-diagonal terms in these mass-squared matrices. Finally, the main results of our paper are summarised in Section 4.
2 The Flavour Geometry of the MSSM

In this section we discuss several new aspects of flavour geometry in the MSSM. First, we describe our geometric approach to the flavour decomposition of a general soft SUSY-breaking sector in terms of Yukawa couplings. We then illustrate with a specific MCPMFV scenario how this approach can be used to study quantitatively the flavour structure of renormalization-group (RG) effects on the soft SUSY-breaking matrices.

2.1 The Geometric Approach to Flavour

To set the stage, we first introduce the superpotential of the MSSM:

\[ W_{\text{MSSM}} = \hat{U}^C h_u \hat{Q} H_u + \hat{D}^C h_d \hat{H}_d \hat{Q} + \hat{E}^C h_e \hat{H}_d \hat{L} + \mu \hat{H}_u \hat{H}_d, \]  

(2.1)

where \( \hat{H}_{u,d} \) are the two Higgs chiral superfields, and \( \hat{Q}, \hat{L}, \hat{U}^C, \hat{D}^C, \hat{E}^C \) are the left- and right-handed superfields related to up- and down-type quarks and charged leptons. The Yukawa couplings \( h_{u,d,e} \) are 3 \( \times \) 3 complex matrices describing the charged-lepton and quark masses and their mixings. Finally, the \( \mu \) parameter in (2.1) describes the mixing of the Higgs supermultiplets; it has to be of the electroweak order for a natural realization of the Higgs mechanism.

The required breaking of SUSY in nature is described by the effective soft SUSY-breaking Lagrangian

\[
-\mathcal{L}_{\text{soft}} = \frac{1}{2} \left( M_1 B\bar{B} + M_2 \hat{W}^i \hat{W}^i + M_3 \hat{g}^a \tilde{g}^a + \text{H.c.} \right) + \hat{Q}^\dagger \tilde{M}_Q^2 \hat{Q} + \hat{L}^\dagger \tilde{M}_L^2 \hat{L} \\
+ \hat{U}^\dagger \tilde{M}_U^2 \hat{U} + \hat{D}^\dagger \tilde{M}_D^2 \hat{D} + \hat{E}^\dagger \tilde{M}_E^2 \hat{E} + M_{H_u}^2 H_u^\dagger H_u + M_{H_d}^2 H_d^\dagger H_d \]  

(2.2)

In the above, \( M_{1,2,3} \) are the soft SUSY-breaking masses associated with the U(1)\(_Y\), SU(2)\(_L\) and SU(3)\(_c\) gauginos, respectively. In addition, \( M_{H_u,d}^2 \) and \( B\mu \) are the soft masses related to the Higgs doublets \( H_{u,d} \) and their bilinear mixing. Finally, \( \tilde{M}_{Q,L,D,U,E}^2 \) are the 3 \( \times \) 3 soft mass-squared matrices of squarks and sleptons, and \( a_{u,d,e} \) are the corresponding 3 \( \times \) 3 soft Yukawa coupling matrices related to quark and lepton masses.\(^1\) In addition to the \( \mu \) term, the unconstrained CP-violating MSSM contains 109 mass parameters.

\(^1\)The soft Yukawa coupling matrices \( a_{u,d,e} \) may alternatively be defined by the relation: \( (a_{u,d,e})_{ij} = (h_{u,d,e} A_{u,d,e})_{ij} \), where the matrix elements \( (A_{u,d,e})_{ij} \) are typically of order \( M_{\text{SUSY}} \) in gravity-mediated SUSY-breaking models. In this paper we will use both definitions for the soft SUSY-breaking Yukawa couplings.
In order to study the flavour structure of the MSSM, we first notice that under the unitary flavour rotations of the quark and lepton superfields,

\[
\hat{Q}' = U_Q \hat{Q}, \quad \hat{L}' = U_L \hat{L}, \quad \hat{U}^C = U_U^* \hat{U}^C, \quad \hat{D}^C = U_D^* \hat{D}^C, \quad \hat{E}^C = U_E^* \hat{E}^C,
\]

the complete MSSM Lagrangian of the theory remains invariant provided the model parameters are redefined as follows:

\[
\begin{align*}
M_{Q,L,\bar{U},\bar{D},\bar{E}}^2 &\to U_{Q,U,D,E}^* M_{Q,L,\bar{U},\bar{D},\bar{E}}^2 U_{Q,U,D,E}, \\
a_{u,d} &\to U_{U,D}^* a_{u,d} U_{U,D}, \\
h_{u,d} &\to U_{U,D}^* h_{u,d} U_{U,D}, \\
h_e &\to U_{E}^* h_e U_{E}.
\end{align*}
\]

The remaining mass scales, \(\mu\), \(M_{1,2,3}\), \(M_{\tilde{H}_{u,d}}^2\) and \(B\mu\), do not transform under the unitary flavour rotations \(2.3\). Thus, in the absence of the Yukawa couplings and soft SUSY-breaking parameters, the MSSM possesses the flavour symmetry \([SU(3) \times U(1)]^5[5]\).

Given the flavour transformations \(2.4\), one may wonder whether the soft SUSY-breaking mass parameters, \(\tilde{M}_{Q,L,\bar{U},\bar{D},\bar{E}}^2\) and \(a_{u,d,e}\), can be expressed in terms of the Yukawa couplings \(h_{u,d,e}\). This question was first discussed in \[8\] and subsequently studied in detail in \[7\]. However, our approach and results differ from the algebraic method presented in \[7\], which makes explicit use of the Cayley–Hamilton identities. Instead, our method is entirely geometric and so enables us to derive the necessary and sufficient conditions on the completeness of the flavour space.

To start with, let us first consider the left-handed squark mass matrix \(\tilde{M}_Q^2\). For a given renormalization scale \(M_X\), \(\tilde{M}_Q^2\) may be entirely determined by the decomposition:

\[
\tilde{M}_Q^2(M_X) = \sum_{I=0}^{8} \tilde{m}_Q^{2I}(M_X) H_I^Q(M_X),
\]

where the \(H_I^Q\) are the following 3 \(\times\) 3 Hermitian matrices constructed out of the Yukawa coupling matrices:

\[
\left\{ H_I^Q \right\} = \left\{ 1_3, h_u^i h_u, h_d^i h_d, (h_u^i h_u)^2, (h_d^i h_d)^2, [h_u^i h_u, h_d^i h_d]_+, i[ h_u^i h_u, h_d^i h_d]_-, h_u^i h_d h_d^i h_u, h_d^i h_u h_u^i h_d \right\}.
\]

In the above, the index \(I\) labels all the 9 matrices \(H_I^Q\), i.e. \(I = 0, 1, 2, \ldots, 8\), and \([A, B]_\pm = \frac{1}{2} (AB \pm BA)\) for two matrices \(A\) and \(B\). The mass-squared parameters \(\tilde{m}_Q^{2I}(M_X)\) are all real and parametrize the 9 independent elements of the 3 \(\times\) 3 Hermitian mass matrix \(\tilde{M}_Q^2(M_X)\). Hence, the matrices \(H_I^Q\) may be regarded as a complete and linearly-independent set of...
basis vectors (or matrices) in this 9-dimensional space. The selection of the flavour basis is not unique, but the choice made in (2.6) is minimal in terms of the number of the Yukawa-coupling matrices $h_{u,d}$ involved, and is symmetric under the exchange of $h_u$ with $h_d$, with the exception $H_6^Q$, which is anti-symmetric.

The flavour space spanned by $H_I^Q$ may be assigned a metric defined by

$$g_{IJ}^Q = \text{Tr} (H_I^Q H_J^Q).$$

Using the basis (2.6), we have checked that the determinant of the $9 \times 9$-dimensional matrix $g_{IJ}^Q$ does not vanish, i.e. $\det (g_{IJ}^Q) \neq 0$, provided the Jarlskog determinant [14] is not zero,

$$\det H_6^Q = \det \left( i[h_u^\dagger h_u, h_d^\dagger h_d] \right) \neq 0.$$

The latter is a necessary and sufficient condition for the metric $g_{IJ}^Q$ to be non-degenerate, and hence for the basis matrices $\{H_I^Q\}$ defined in (2.6) to form a linearly-independent set. This means that any arbitrary form of $\tilde{M}_Q^2$ can always be expressed in terms of the basis matrices $H_I^Q$. Given the soft squark mass-squared matrix $\tilde{M}_Q^2$ and the basis vectors $H_I^Q$, one can project out the parameters $\tilde{m}_{Q,I}^2$ as follows:

$$\tilde{m}_{Q,I}^2 = g_{Q,IJ}^Q \text{Tr} \left( H_J^Q \tilde{M}_Q^2 \right),$$

where summation over repeated indices is understood and $g_{Q,IJ}^Q$ is the inverse metric of $g_{IJ}^Q$, obeying the property $g_{Q,IK}^Q g_{Q^KJ}^Q = \delta_{IJ}$.

Under an unitary flavour rotation (2.3) of the left-handed quark superfields, the basis matrices $H_I^Q$ transform as follows:

$$H_I^Q \rightarrow H_I'^Q = U^\dagger_Q H_I^Q U_Q = (L_Q^Q)^I_J H_J^Q,$$

where $(L_Q^Q)_J^I$ is a $9 \times 9$ real matrix which can be evaluated from

$$(L_Q^Q)_J^I = g_{Q,JK}^Q \text{Tr} \left( U_Q^\dagger H_K^Q U_Q H_J^Q \right).$$

Using (2.10), it is not difficult to show that the $9 \times 9$ real transformation matrix $(L_Q^Q)_J^I$ has the property:

$$(L_Q^Q)_J^K (L_Q^Q)_M^J g_{Q^KM}^Q = g_{Q,IJ}^Q.$$

The choice of basis made in (2.6) is not orthonormal, since $g_{II}^Q \neq \alpha \delta_{II}$, where $\alpha$ is an overall normalization constant. Had we chosen the orthonormal basis spanned by the well-known SU(3) Gell-Man matrices, $H_I^Q = \lambda^I$ (for $I = 1, 2, \ldots, 8$) and $H_3^Q = \sqrt{\frac{2}{3}} 1_3$, for which $g_{II}^Q = 2 \delta_{II}$, the matrix $(L_Q^Q)_J^I$ would have taken the form of a $9 \times 9$ real orthogonal matrix.
One can, by analogy, define basis matrices for the remaining matrices in the soft SUSY-breaking sector. Specifically, the $3 \times 3$ squared mass matrix $\tilde{M}_F^2$ pertaining to the right-handed up-type squarks may be decomposed in terms of the basis vectors

$$\{ \mathbf{H}_I^U \} = \left\{ 1_3, h_u h_u^\dagger, h_u h_d^\dagger h_u^\dagger, (h_u h_d^\dagger)^2, h_u (h_d^\dagger h_d)^2 h_u^\dagger, h_u [h_u h_u^\dagger, h_d^\dagger h_d^\dagger] + h_u^\dagger \right\}. \quad (2.13)$$

Again, we have checked that the corresponding metric $g_{I,J}^U$ defined as in (2.7) is non-degenerate provided the condition (2.8) holds true and $\det h_u \neq 0$. Likewise, an appropriate basis $\{ \mathbf{H}_I^D \}$ for $\tilde{M}_F^2$ may be obtained by replacing the Yukawa coupling matrix $h_d$ with $h_u$ and vice versa in (2.13). This basis is non-degenerate if both (2.8) and $\det h_d \neq 0$ are satisfied.

Finally, the soft-trilinear Yukawa matrices $a_{u,d}$ may also be expanded as follows:

$$a_u = \sum_{I=0}^{8} a_{u}^I h_u^I \mathbf{H}_I^Q, \quad a_d = \sum_{I=0}^{8} a_{d}^I h_d^I \mathbf{H}_I^Q, \quad (2.14)$$

where $a_{u,d}^I$ are complex parameters. Again, one has to assume here that the condition (2.8) and $\det h_{u,d} \neq 0$ are satisfied, so that the sets $\{h_{u,d} \mathbf{H}_I^Q\}$ form complete bases.

Unlike the scalar quark sector, the MSSM scalar lepton sector cannot be expanded in a complete set of basis matrices, since the only available Yukawa coupling matrix is $h_e$. However, if there exist right-handed neutrinos that interact with left-handed lepton superfields $\tilde{L}$ via the Yukawa couplings $h_\nu$, a complete basis can be formed for describing $\tilde{M}_{L,E}^2$ and $a_e$. In this case, one needs to perform the obvious replacements $h_d \rightarrow h_e$ and $h_u \rightarrow h_\nu$ in the corresponding quark-basis matrices defined in (2.6) and (2.13).

An interesting flavour scenario for the MSSM is that termed in [8] the Maximal CP and Minimal Flavour Violation (MCPMFV) scenario. It contains the following set of flavour-singlet mass scales at some input scale $M_X$ that may be identical with $M_{\text{GUT}}$:

$$M_{1,2,3}, \quad M_{H_{u,d}}^2, \quad \tilde{M}_{Q,L,U,D,E}^2 = \tilde{M}_{Q,L,U,D,E}^2 1_3, \quad A_{u,d,e} = A_{u,d,e} 1_3, \quad (2.15)$$

with the obvious identifications: $\tilde{m}_{Q,L,U,D,E}^{2.0} = \tilde{M}_{Q,L,U,D,E}^2$ and $a_{u,d,e}^0 = A_{u,d,e}$. At energy scales below $M_X$, RG effects modify the flavour structure of the soft SUSY-breaking mass and trilinear matrices. Specifically, these matrices get shifted as follows:

$$\delta \tilde{M}_{Q,L,U,D,E}^2 = \tilde{M}_{Q,L,U,D,E}^2 - \tilde{M}_{Q,L,U,D,E}^2 1_3, \quad \delta a_{u,d,e} = a_{u,d,e} - h_{u,d,e} A_{u,d,e}, \quad (2.16)$$

where $\tilde{M}_{Q,L,U,D,E}^2 = \frac{1}{3} \text{Tr} (\tilde{M}_{Q,L,U,D,E}^2)$ and $A_{u,d,e} = \frac{1}{3} \text{Tr} (h_{u,d,e}^{-1} a_{u,d,e})$. 

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In the next section we present numerical examples of RG-generated flavour structures in the squark mass matrices and trilinear couplings. We do not enter into numerical calculations of the coefficients in a flavour decomposition of the soft SUSY-breaking parameters in the lepton sector, as these would be dependent on the model for the neutrino sector. In Section 3 we then use the MFV basis decomposition developed here and the flavour shifts given in (2.16) to obtain the complete flavour-covariant structure of the threshold corrections to the effective Yukawa couplings at leading order.

2.2 Flavour Geometry of Renormalization Group Effects

We now present numerical calculations of the flavour structure of the soft SUSY-breaking mass and trilinear matrices for a specific family of MCMFV scenarios with

\[
|M_{1,2,3}| = 250 \text{ GeV},
M_{H_u}^2 = M_{H_d}^2 = \tilde{M}_Q^2 = \tilde{M}_U^2 = \tilde{M}_D^2 = \tilde{M}_L^2 = (100 \text{ GeV})^2,
|A_u| = |A_d| = |A_e| = 100 \text{ GeV}, \quad \Phi_{A_{\text{GUT}}} \equiv \Phi_{A_u} = \Phi_{A_d} = \Phi_{A_e} = 0^\circ, \quad (2.17)
\]

at the GUT scale, varying the input value of \( \tan \beta \) between 10 and 50. When \( \tan \beta = 10 \), this choice of parameters corresponds approximately to benchmark point B \[15\] and SPS point 1a \[16\]. We also allow for various common values of the CP-violating gaugino phases, denoted by \( \Phi_M \).

As a first example, Fig. 1 gives some representative numerical results for this choice of parameters, showing how the different coefficients \( \tilde{m}^2_{Q_i}(M_X) \) in the basis expansion (2.5) of the left-handed squark mass-squared matrix \( \tilde{M}^2_Q(M_X) \) vary as functions of \( \tan \beta \) when evaluated at the SUSY-breaking scale, for various values of \( \Phi_M \). Note that the dotted (red) and dash-dotted (magenta) lines, corresponding to \( \Phi_M = 90^\circ \) and \( 270^\circ \) respectively, overlap for all coefficients except \( \tilde{m}^2_{Q_6} \) and \( \tilde{m}^2_{Q_7} \); the solid (black) and dashed (blue) lines corresponding to \( \Phi_M = 0^\circ \) and \( 180^\circ \) overlap for \( \tilde{m}^2_{Q_6} \).

Specifically, the top left panel of Fig. 1 demonstrates how the leading flavour-singlet piece \( \tilde{m}^2_{Q_0} \) varies with \( \tan \beta \): we see that the variation is well below the % level. Turning to the other panels of Fig. 1 which display the ratios of the other coefficients \( \tilde{m}^{2,I}_{Q_i} \) to \( \tilde{m}^2_{Q_0} \), we note first that the coefficients are all \( \mathcal{O}(1) \) or smaller, showing that our flavour-geometric expansion is well behaved. In particular, there are no unphysical divergences for large \( \tan \beta \). The largest flavour-changing contributions are generated along the \( \tilde{m}^2_{Q_1} \) and \( \tilde{m}^2_{Q_2} \) directions, corresponding to the basis matrices with the fewest powers of \( hh \). Such a hierarchical structure amongst the coefficients can be understood in terms of an approximate iterative solution to the RGEs. Finally, we observe that the magnitude of
\( \tilde{m}_Q^{2,I} \) is maximised when \( \Phi_M = 180^\circ \) for \( I = 1,5 \).

Some remarks regarding the computational procedure followed here are in order. In our approach, we fix the \( 3 \times 3 \) Yukawa matrices at the scale \( m_t^{\text{pole}} \) by applying the boundary conditions

\[
\begin{align*}
    h_u (m_t^{\text{pole}}) &= \frac{\sqrt{2}}{v} \tilde{M}_u (m_t^{\text{pole}}), \\
    h_{d,e} (m_t^{\text{pole}}) &= \frac{\sqrt{2}}{v} \tilde{M}_{d,e} (m_t^{\text{pole}}) \mathbf{V}_{d,e}^\dagger (m_t^{\text{pole}}),
\end{align*}
\]

(2.18)

where \( \mathbf{V}_{d} \) is the physical CKM matrix, \( \mathbf{V}_{e}^\dagger \) is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix \([17]\), and the \( \tilde{M}_f \) are the diagonal fermion mass matrices. These couplings run to the scale \( \mathcal{M}_{\text{SUSY}} \) according to the SM RGEs, where they match as

\[
\begin{align*}
    h_u (\mathcal{M}_{\text{SUSY}}^+ &= h_u (\mathcal{M}_{\text{SUSY}}^-) / \sin \beta (\mathcal{M}_{\text{SUSY}}), \\
    h_{d,e} (\mathcal{M}_{\text{SUSY}}^+ &= h_{d,e} (\mathcal{M}_{\text{SUSY}}^-) / \cos \beta (\mathcal{M}_{\text{SUSY}}),
\end{align*}
\]

(2.19)

before running from the soft SUSY-breaking scale \( \mathcal{M}_{\text{SUSY}} \) to \( \mathcal{M}_{\text{GUT}} \) according to the RGEs of the MSSM. However, threshold corrections due to sfermion-gaugino and sfermion-Higgsino exchange, discussed in detail in the next section, can modify the above relations so that we have instead at the soft SUSY-breaking scale,

\[
\begin{align*}
    h_u (\mathcal{M}_{\text{SUSY}}) &= \frac{\sqrt{2}}{v \sin \beta} \tilde{M}_u (\mathcal{M}_{\text{SUSY}}) \mathbf{R}_{u}^{-1} (\mathcal{M}_{\text{SUSY}}), \\
    h_{d,e} (\mathcal{M}_{\text{SUSY}}) &= \frac{\sqrt{2}}{v \cos \beta} \tilde{M}_{d,e} (\mathcal{M}_{\text{SUSY}}) \mathbf{V}_{d,e}^\dagger (\mathcal{M}_{\text{SUSY}}) \mathbf{R}_{d,e}^{-1} (\mathcal{M}_{\text{SUSY}}),
\end{align*}
\]

(2.20)

where the \( \mathbf{R}_{u,d,e} \) matrices resum potentially large or \( \tan \beta \)-enhanced effects due to threshold corrections. Since these corrections depend upon the soft SUSY-breaking mass and trilinear matrices evaluated at the SUSY-breaking scale, rather than the GUT-scale, a full implementation of these effects would require an iterative solution to the RGEs. Instead, we follow here a more simplified approach and treat the threshold corrections as higher-order effects by neglecting their contribution to the RG running. Such an approximation is generally valid for most choices of the SUSY-breaking parameters (for exceptional regions of parameter space, see \([18]\)).

One may think of certain extreme scenarios in which the threshold corrections lead to dramatic effects in the low-energy theory and some care is required in describing the flavour-geometry of such cases. For instance, one may consider a scenario in which the Yukawa matrices are real at the GUT scale. Such a scenario was previously considered in \([20]\). Then, Higgsino-mediated threshold effects will make the Yukawa couplings complex, and so a sizeable CP-violating phase for the CKM matrix can be generated, especially at large values of \( \tan \beta \). In this situation, the condition \( (2.8) \) is not satisfied by the running
Figure 1: In the top left panel we display the variation of $\tilde{m}^2_{Q,0}$ with $\tan \beta$, in the expansion (2.5) of the left-handed squark mass-squared matrix, as evaluated at the SUSY-breaking scale. In the other panels we display the variations with $\tan \beta$ of the ratios $\tilde{m}^2_{Q,I}/\tilde{m}^2_{Q,0}$. In each frame, the solid (black), dotted (red), dashed (blue), and dash-dotted (magenta) lines are for $\Phi_M \equiv \Phi_1 = \Phi_2 = \Phi_3 = 0^\circ$, 90\(^\circ\), 180\(^\circ\), and 270\(^\circ\), respectively. The input MCPMFV SUSY-breaking parameters are taken as in (2.17).
Figure 2: In the top left panel we display the variation of \( \tilde{m}_U^{2,0} \) with \( \tan \beta \), in the expansion corresponding to (2.5) for the right-handed up-squark mass matrix, as evaluated at the SUSY-breaking scale. In the other panels we display the variations with \( \tan \beta \) of the ratios \( \tilde{m}_U^{2,I}/\tilde{m}_U^{2,0} \). The lines are the same as in Fig. 1. The input MCPMFV SUSY-breaking parameters are taken as in (2.17).
Figure 3: In the top left panel we display the variation of $\tilde{m}_D^{2,0}$ with $\tan \beta$, in the expansion corresponding to (2.5) for the right-handed down-squark mass matrix, as evaluated at the SUSY-breaking scale. In the other panels we display the variations with $\tan \beta$ of the ratios $\tilde{m}_D^{2,1}/\tilde{m}_D^{2,0}$. The lines are the same as in Fig. 1. The input MCPMFV SUSY-breaking parameters are taken as in (2.17).
Yukawa matrices above the SUSY-breaking scale, and these matrices cannot be used as a complete basis for projecting out the CP-violating flavour structure of the soft SUSY-breaking matrices. Instead, we may use the effective Yukawa couplings that include CP-violating threshold effects to decompose the soft SUSY-breaking mass matrices as normal. In such a scenario, the observed CP violation in the $K$- and $B$-meson systems may be accounted for predominantly by the CP-violating soft SUSY-breaking sector.

Another extremal case would be to consider a scenario in which the effective Yukawa couplings at the top-quark mass scale are real, by arranging for $V_f^\dagger R_f^{-1}$ to be real for $f = d, e$. In this case, the flavour geometry of the soft SUSY-breaking matrices cannot be decomposed in our usual basis defined by the effective Yukawa couplings and we would be forced to choose a different basis, e.g. taking the threshold-uncorrected Yukawa matrices as defined above the SUSY scale. In such a scenario the CKM matrix is complex at the tree level and CP-violation may be mediated predominantly by the $W$ bosons according to the standard KM paradigm. In contrast, the $\tan^2 \beta$-enhanced Higgs-mediated effects would be purely CP-conserving.

Figure 2 gives some representative numerical results for the choice of parameters (2.17), showing how the RG-induced coefficients in the flavour decomposition of $\tilde{M}_U^2$ vary as functions of $\tan \beta$. We see that the lines corresponding to $\Phi_M = 90^\circ$ and $270^\circ$ again overlap for the coefficients $I = 0, 3$, whilst those for $\Phi_M = 0^\circ$ and $180^\circ$ are overlapping for $I = 6, 7$. We again observe a hierarchical structure amongst the flavour-changing components, although now with a bias towards the up-type quark Yukawa matrices. The coefficient $\tilde{m}_{U,1}^2$, corresponding to the $h_u h_u^\dagger$ direction in flavour space, is here of order $\sim 50\%$ of the leading flavour-singlet term. In contrast, the down-type Yukawa couplings first enter at the order $(hh)^2$ and are thus suppressed.

By analogy with Figs. 1 and 2, Figs. 3, 4 and 5 show for the same choice of parameters (2.17) the variations with $\tan \beta$ of the corresponding coefficients in the flavour decompositions of the right-handed down-squark mass-squared matrices, and the trilinear couplings $a_{u,d}$, respectively. We see that the coefficients of $\tilde{M}_D^2$ exhibit a similar behaviour to those of $\tilde{M}_U^2$, favouring now the directions in flavour space corresponding to the down-type quark Yukawa matrices and consequently with a stronger dependence on $\tan \beta$. Note also the relative change in sign between $\tilde{m}_{U,5}^2$ and $\tilde{m}_{D,5}^2$. Turning to the trilinear couplings, we see a similar hierarchical pattern amongst the leading terms, with the largest non-singlet coefficients of $a_u(a_d)$ being $I = 1$ ($2$), corresponding to the $h_u h_u$ ($h_d h_d$) direction in our 9-dimensional flavour-space. As before, we observe a stronger $\tan \beta$-dependence amongst the coefficients of $a_d$ than $a_u$. We note that only the $I = 0, 2$ coefficients of $a_{u,d}$ share the tendency of the sfermion mass matrices to exhibit the largest RGE effects at $\Phi_M = 180^\circ$,
Figure 4: In the top left panel we display the variation of $a_u^0$ with $\tan \beta$, in the expansion for the trilinear coupling $a_u$ in (2.14), as evaluated at the SUSY-breaking scale. In the other panels we display the variations with $\tan \beta$ of the ratios $|a_u^I/a_u^0|$. The lines are the same as in Fig. 1. The input MCPMFV SUSY-breaking parameters are taken as in (2.17).
Figure 5: As in Fig. 4, but for variations of the coefficients $a^I_d$ with $\tan \beta$, in the expansion for the trilinear coupling $a_d$ in (2.14).
with this behaviour being inverted amongst the remaining coefficients.

3 Effective Yukawa Couplings at Large \( \tan \beta \)

In this section we discuss the one-loop threshold corrections to the Yukawa couplings of the MSSM Higgs bosons to fermions. We include explicitly the contributions due to flavour-changing structure in the soft SUSY-breaking terms. Following the flavour-covariant approach of [8, 18], we first present the calculation of these effects in the weak basis for the down-type quarks, up-type quarks and leptons, and then relate these to the relevant couplings in the mass eigenbasis. Finally, we present representative numerical results for the Higgs-boson FCNC couplings that can be relevant for the \( B_{d,s} \) and \( K \)-meson systems.

3.1 Threshold Corrections to Yukawa Couplings

3.1.1 Down-type Quark Yukawa Couplings

In this section we present the complete set of one-loop SUSY corrections to the self-energies of the down-type quarks. These may be described by the effective Lagrangian

\[
- \mathcal{L}_{\text{eff}}^d [\Phi_1, \Phi_2] = \delta_{ijR} \left( h_d \Phi_1^\dagger + \Delta h_d^\alpha [\Phi_1, \Phi_2] \right) Q_0^\alpha j_L,
\]

where \( \alpha = 1, 2 \) is a weak-isospin index and \( \Phi_{1(2)} \) are the scalar components of the Higgs doublet superfields giving masses to the down-type (up-type) quarks respectively. In (3.1) the first term gives the tree-level contribution, whilst \( \Delta h_d \) is a \( 3 \times 3 \) matrix which is a Coleman-Weinberg type effective functional of the background Higgs fields [21], which we decompose as

\[
\Delta h_d = \Delta h_d^{2\text{HDM}} + \Delta h_d^{\text{SUSY}} + \Delta h_d^{\text{CT}},
\]

where \( \Delta h_d^{\text{CT}} \) contains the counterterms required to cancel the divergences of the first two terms. The contributions \( \Delta h_d^{2\text{HDM}} \) are insensitive to the flavour structure of the soft-SUSY breaking mass and trilinear matrices at the one-loop level and, unless explicitly stated, we neglect them in what follows. Due to the no-renormalization theorem for the SUSY superpotential, the MSSM Yukawa couplings are renormalized only by the wave-function counterterms \( Z_{D,H_1,Q}^{1/2} \) of the Higgs and quark superfields, so that

\[
\Delta h_d^{\text{CT}} = \left( Z_{D,H_1,Q}^{1/2} Z_{H_1}^{1/2} Z_{H_1}^{1/2} - 1 \right) h_d \Phi_1^\dagger \simeq \frac{1}{2} \sum_{i=D,H_1,Q} \delta Z_i h_d \Phi_1^\dagger
\]

\[\text{Here we adopt the convention for the Higgs doublets: } H_u = \Phi_2, \ H_d = i\sigma_2 \Phi_1^*, \text{ where } \sigma_2 \text{ is the usual Pauli matrix.}\]
with $\delta Z_i = Z_i - 1$. Note that there are no counterterms to the down-type quark Yukawa coupling proportional to $\Phi_i^\dagger$.

The one-loop SUSY threshold corrections to the down-type quark self-energy may be calculated from the Feynman diagrams of Fig. [4]. More explicitly, these are given by

$$
- \left( \Delta h_d^{\text{SUSY}} \right)_{ij}^\alpha = \int \frac{d^n k}{(2\pi)^n i} \left[ P_L \frac{-2C_F g_3^2 M_3^2}{k^2 - |M_3^2|} \left( \frac{1}{k^2 1_{12} - M^2} \right) \tilde{D}_i \tilde{Q}_j^\alpha \right. \\
+ P_L \frac{g_1^2}{9} \left( \frac{1}{k 1_{8} - M_C P_L - M_C^\dagger P_R} \right) \tilde{H}_i^\dagger \tilde{H}_u^\alpha \\
+ P_L \left( \frac{1}{k 1_{8} - M_C P_L - M_C^\dagger P_R} \right) \tilde{H}_i^\dagger \tilde{H}_u^\alpha \\
+ P_L \left( \frac{1}{k 1_{8} - M_C P_L - M_C^\dagger P_R} \right) \tilde{H}_i^\dagger \tilde{H}_u^\alpha \\
+ \sum_k P_L \left( \frac{1}{k 1_{8} - M_C P_L - M_C^\dagger P_R} \right) \tilde{H}_i^\dagger \tilde{H}_u^\alpha \\
+ \sum_k P_L \left( \frac{1}{k 1_{8} - M_C P_L - M_C^\dagger P_R} \right) \tilde{H}_i^\dagger \tilde{H}_u^\alpha \\
\left. \right] ,
$$

(3.4)

where $\sigma_k$ are the usual Pauli matrices, $P_{L(R)} = \frac{1}{2} [1 + (-) \gamma_5]$ is the left-handed (right-handed) chiral projection operator and $g_{1,2,3}$ are the gauge coupling constants of $U(1)_Y$, $SU(2)_L$ and $SU(3)_c$, respectively. Also, $C_F$ is the quadratic Casimir invariant of QCD in the fundamental representation, i.e., $C_F = 4/3$, and $1_N$ is the $N \times N$ identity matrix. The $12 \times 12$ squark mass-squared matrix $\tilde{M}_2^2$ and the $8 \times 8$ chargino-neutralino mass matrix $M_C$ are given in Appendix A. The Greek superscripts in (3.4) represent $SU(2)_L$ indices.

We may obtain useful approximations to (3.4) by expanding in powers of the Higgs field $\Phi_{1,2}$, so that

$$
\Delta h_d \simeq h_d \left( \Delta_{d}^{\Phi_1} \right)_0 \Phi_1^\dagger + h_d \left( \Delta_{d}^{\Phi_2} \right)_0 \Phi_2^\dagger + \ldots ,
$$

(3.5)

where $\langle \ldots \rangle_0$ indicates the value setting all background fields to zero in the expression enclosed, and we have introduced

$$
\Delta_{d}^{\Phi_i} \equiv h_d^{-1} \frac{\delta \Delta h_d}{\delta \Phi_i^\dagger} .
$$

(3.6)

The higher-order terms correspond to Feynman diagrams with additional Higgs insertions along the internal propagators, which are typically suppressed by additional factors of
Figure 6: The complete set of gauge- and flavour-covariant one-loop diagrams contributing to the down-type quark self-energy, to first order in $\Phi_2$. Note that in panels (a) and (c) contributions from all listed gauginos should be included.

$(M_{EW}/M_{SUSY})^2$ and may safely be neglected. We call the first term of such an expansion the “single-Higgs-insertion” (SHI) approximation.

Working in the SHI approximation and assuming flavour-diagonal soft SUSY-breaking terms, (3.4) may be written using the expansion (3.5) in the form

$$
\langle \Delta_{d}^{\Phi_2} \rangle_0 = \frac{1}{36\pi} \alpha \frac{3}{\pi} M_3^* I \left( \overline{M}_Q^2, \overline{M}_D^2, M_3 \right) - \frac{1}{2} \frac{\alpha}{36\pi} \mu^* M_1^* I \left( \overline{M}_Q^2, \overline{M}_D^2, M_1 \right) + \frac{1}{16\pi^2} \alpha \frac{3}{\pi} M_3^* I \left( \overline{M}_Q^2, \overline{M}_D^2, |\mu|^2 \right) - \frac{1}{24\pi} \alpha \frac{3}{\pi} M_3^* I \left( \overline{M}_Q^2, |\mu|^2 \right) - \frac{1}{12\pi} \alpha \frac{3}{\pi} M_3^* I \left( \overline{M}_Q^2, |\mu|^2 \right)
$$

$$
\langle \Delta_{d}^{\Phi_1} \rangle_0 = \frac{1}{36\pi} \alpha \frac{3}{\pi} A_d M_3^* I \left( \overline{M}_Q^2, \overline{M}_D^2, |M_3|^2 \right) + \frac{1}{36\pi} A_d M_1^* I \left( \overline{M}_Q^2, \overline{M}_D^2, |M_1|^2 \right) + \frac{1}{8\pi} \alpha \frac{3}{\pi} B_0 \left( 0, |M_2|^2, M_2^2 \right)
$$
+ 1 \frac{\alpha_1}{24\pi} B_0 \left( 0, |M_1|^2, M_2^2 \right) - 1 \frac{\alpha_1}{12\pi} B_0 \left( 0, |M_1|^2, M_2^2 \right),

where \( 1 \equiv 1_3 \) and \( \alpha_i = g_i^2/4\pi \) as usual. In writing down (3.7) and (3.8), we have made use of the one-loop function \( I(a, b, c) \), given by

\[
I(a, b, c) = \frac{ab \ln(a/b) + bc \ln(b/c) + ac \ln(c/a)}{(a - b)(b - c)(a - c)},
\]

and the Passarino-Veltman function \( B_0(p, a, b) \), which may be written as

\[
B_0(0, a, b) = 1 - \ln \left( \frac{b}{Q^2} \right) + \frac{a}{a - b} \ln \left( \frac{b}{a} \right),
\]

when the first argument \( p^2 \) is set to zero, i.e. \( p^2 = 0 \). Here \( Q^2 \) is the renormalisation scale. The approximation (3.7) agrees with other results from the literature [13, 22] in the appropriate limits.

In both (3.4) and the approximate expressions (3.7) and (3.8), the first two contributions are the gluino- and bino-mediated corrections of the form shown in Fig. 6(a), whereas the third term represents the charged Higgsino diagram of Fig. 6(b). We note that under the assumption of Minimal Flavour Violation, this Higgsino term is the only correction with a non-trivial flavour structure at the one-loop level. The fourth and fifth terms give, respectively, the contributions due to wino- and bino-exchange diagrams of the type shown in Fig. 6(c), whereas the final term is due to the bino-exchange diagram of Fig. 6(d). We note that this final contribution is the only one that is independent of the soft SUSY-breaking left-handed squark mass, \( \tilde{M}_Q^2 \).

However, as discussed in Section 2.1, the RG running of the soft-SUSY breaking parameters provides an additional source for flavour violation. To leading order in the shift parameters \( \delta \tilde{M}_{Q, U, D}^2 \) and \( \delta a_{u, d} \) given in (2.14), we find that the threshold corrections (3.7) and (3.8) are modified by amounts

\[
\left\langle \delta \Delta_d^{ph} \right\rangle_0 = \frac{2\alpha_3}{3\pi} \mu^* M_3^* \left[ \delta \tilde{M}_Q^2 \tilde{h}_d K \left( \tilde{M}_Q^2, \tilde{M}_D^2, |M_3|^2 \right) + \tilde{h}_{d}^{-1} \delta \tilde{M}_D^2 \tilde{h}_d K \left( \tilde{M}_D^2, \tilde{M}_Q^2, |M_3|^2 \right) \right] - \frac{\alpha_1}{36\pi} \mu^* M_3^* \left[ \delta \tilde{M}_Q^2 \tilde{h}_u K \left( \tilde{M}_Q^2, \tilde{M}_D^2, |M_1|^2 \right) + \tilde{h}_{u}^{-1} \delta \tilde{M}_D^2 \tilde{h}_u K \left( \tilde{M}_D^2, \tilde{M}_Q^2, |M_1|^2 \right) \right] + \frac{1}{16\pi^2} \mu^* A_u \left[ \tilde{h}_u^* \delta \tilde{M}_U^2 \tilde{h}_u K \left( \tilde{M}_U^2, \tilde{M}_Q^2, |\mu|^2 \right) + \delta \tilde{M}_Q^2 \tilde{h}_u K \left( \tilde{M}_Q^2, \tilde{M}_U^2, |\mu|^2 \right) \right] + \frac{\delta a_u}{16\pi^2} \mu^* I \left( \tilde{M}_Q^2, \tilde{M}_U^2, |\mu|^2 \right) - \frac{3\alpha_2}{8\pi} \mu^* M_3^* \delta \tilde{M}_Q^2 K \left( \tilde{M}_Q^2, |M_2|^2, |\mu|^2 \right) - \frac{\alpha_1}{24\pi} \mu^* M_3^* \delta \tilde{M}_Q^2 K \left( \tilde{M}_Q^2, |M_1|^2, |\mu|^2 \right) - \frac{\alpha_1}{12\pi} \mu^* M_3^* \delta \tilde{M}_D^2 \tilde{h}_d K \left( \tilde{M}_D^2, |M_1|^2, |\mu|^2 \right),
\]

\(^3\)A similar expansion, albeit non-flavour covariant, was also considered in [19].
Figure 7: 2HDM contribution to the down-type (a) and up-type (b) quark self-energies, to first order in $\Phi$.

\[ \langle \delta \Delta_d^\phi \rangle_0 = -\frac{2\alpha_3}{3\pi} A_d M_3^2 \left[ \delta \hat{M}_Q^2 K \left( \hat{M}_Q^2, \hat{M}_D^2, |M_3|^2 \right) + h_d^{-1} \delta \hat{M}_D^2 h_d K \left( \hat{M}_D^2, \hat{M}_Q^2, |M_3|^2 \right) \right] 
- \frac{2\alpha_3}{3\pi} h_d^{-1} \delta a_d M_3^2 I \left( \hat{M}_Q^2, \hat{M}_D^2, |M_3|^2 \right) + \frac{\alpha_1}{36\pi} h_d^{-1} \delta a_d M_1^2 I \left( \hat{M}_Q^2, \hat{M}_D^2, |M_1|^2 \right) 
+ \frac{\alpha_1}{36\pi} A_d M_1^2 \left[ \delta \hat{M}_Q^2 K \left( \hat{M}_Q^2, \hat{M}_D^2, |M_1|^2 \right) + h_d^{-1} \delta \hat{M}_D^2 h_d K \left( \hat{M}_D^2, \hat{M}_Q^2, |M_1|^2 \right) \right] 
- \frac{1}{16\pi^2} |\mu|^2 \left[ h_u^i \delta \hat{M}_U^2 h_u K \left( \hat{M}_U^2, \hat{M}_D^2, |\mu|^2 \right) + \delta \hat{M}_Q^2 h_u^i h_u K \left( \hat{M}_Q^2, \hat{M}_D^2, |\mu|^2 \right) \right] 
+ \frac{3\alpha_2}{8\pi} \delta \hat{M}_Q^2 I \left( \hat{M}_Q^2, |M_2|^2 \right) + \frac{\alpha_1}{24\pi} \left[ \delta \hat{M}_Q^2 I \left( \hat{M}_Q^2, |M_1|^2 \right) + 2h_d^{-1} \delta \hat{M}_D^2 h_d I \left( \hat{M}_D^2, |M_1|^2 \right) \right], \tag{3.12} \]

where

\[ K(a, b, c) = \frac{d}{da} I(a, b, c) = \frac{b \ln(a/b) + c \ln(c/a)}{(a-b)(b-c)(a-c)} + \frac{(b + c - 2a) I(a, b, c)}{(a-b)(a-c)} + \frac{1}{(a-b)(a-c)}, \tag{3.13} \]

and

\[ I(a, b) \equiv \frac{d}{da} B_0(0, a, b) = - \lim_{c \to a} I(a, b, c) = \frac{1}{a-b} \left[ \frac{b}{a-b} \ln \left( \frac{a}{b} \right) - 1 \right]. \tag{3.14} \]

There is also a two-Higgs-doublet model (2HDM) contribution to the one-loop self-energy graphs for down-type quarks which is formally $\tan \beta$-enhanced. This contribution, displayed in Fig. 7(a), is not affected by flavour non-universal RG effects of the sort discussed above. It may be calculated by evaluating

\[ \left( \Delta h_d^{2\text{HDM}} \right)_{ij} = \int \frac{d^n k}{(2\pi)^n} \left( h_d \right)_{kd} P_L \left( \frac{1}{k^2 - M_q^2 P_L - M_q^2 P_R} \right)_{Q_{ij}} \right), \]

\[ P_L \left( h_u \right)_{kj} \]
\[
\times \left( \frac{1}{k^2 14 - M^2_H} \right) \phi_1 \phi_2, \tag{3.15}
\]

where \( M_q \) is the 6 \( \times \) 6 quark mass matrix and \( M^2_H \) the 8 \( \times \) 8 Higgs mass-squared matrix. Explicit forms for these matrices are given in Appendix A. In the SHI approximation, the 2HDM contribution of (3.15) may be written as

\[
(\Delta \Phi_d)^{2\text{HDM}} = \frac{h_u^i h_u^j B^* \mu^*}{16 \pi^2 M^2_{H_d} - M^2_{H_u}} \ln \left| \frac{M^2_{H_d} + |\mu|^2}{M^2_{H_u} + |\mu|^2} \right|. \tag{3.16}
\]

The corresponding term \((\Delta \Phi_d)^{2\text{HDM}}\) for \( \Phi_1 \) is zero at this level of approximation.

### 3.1.2 Up-type Quark Yukawa Couplings

We now turn our attention to the up-quark sector. The up-type quark self-energy is described by the effective Lagrangian

\[
- \mathcal{L}^u_{\text{eff}}[\Phi_1, \Phi_2] = \bar{u}_R \left( h_u^i \Phi_2^\alpha + \Delta h_u^a [\Phi_1, \Phi_2] \right)_{ij} (-i\sigma_2)^{\alpha\beta} Q_{jL}, \tag{3.17}
\]

where

\[
\Delta h_u = \Delta h_u^{2\text{HDM}} + \Delta h_u^{\text{SUSY}} + \Delta h_u^{\text{CT}}, \tag{3.18}
\]

and \( \Delta h_u^{\text{CT}} \) is due to the wave-function renormalization of the Higgs and quark superfields. The corrections to the up-type quark self-energy are given by the set of diagrams displayed in Fig. 8. We may express these corrections as

\[
- (\Delta h_u^{\text{SUSY}})^a_{ij} = \int \frac{d^n k}{(2\pi)^n} \left[ P_L \left( -2 C_F g^2 \frac{k^2}{k^2 - |M^2_3|} \right) \left( \frac{1}{k^2 1_{12} - M^2} \right) \bar{u}_i \gamma^\alpha \bar{Q}_j \right] (i\sigma_2)^{\beta\alpha} \nonumber \\
+ P_L \left( \frac{-2 g^2}{9} \right) \left( \frac{1}{k 1 - M_C P_L - M_C^\dagger P_R} \right) P_L \left( \frac{1}{k^2 1_{12} - M^2} \right) \bar{u}_i \gamma^\alpha \bar{Q}_j (i\sigma_2)^{\beta\alpha} \nonumber \\
+ P_L \left( \frac{1}{k 1 - M_C P_L - M_C^\dagger P_R} \right) P_L (h_u)_{il} (-i\sigma_2)^{\gamma\beta} \left( \frac{1}{k^2 1_{12} - M^2} \right) \bar{Q}_i \gamma^\beta (h_d)_{kj} \nonumber \\
+ \sum_k P_L \left( \frac{1}{k 1 - M_C P_L - M_C^\dagger P_R} \right) P_L (h_u)_{il} (-i\sigma_2)^{\gamma\beta} \left( \frac{1}{k^2 1_{12} - M^2} \right) \bar{Q}_i \gamma^\beta \left( \frac{g_1}{\sqrt{2}} \right) (i\sigma)^{\beta\alpha} \nonumber \\
+ P_L \left( \frac{1}{k 1 - M_C P_L - M_C^\dagger P_R} \right) \left[ -4 g_1 \right] \left( \frac{1}{3\sqrt{2}} \right) \bar{u}_i \gamma_5 \bar{Q}_j (h_u)_{ij} \left( \frac{g_2}{\sqrt{2}} \right) (i\sigma)^{\beta\alpha}. \tag{3.19}
\]
Expanding (3.19) in powers of $\Phi_1, \Phi_2$, we may write
\[
\Delta h_u \simeq h_u \left< \Delta_{\Phi_1}^u \right>_0 \Phi_1^T + h_u \left< \Delta_{\Phi_2}^u \right>_0 \Phi_2^T + \ldots ,
\]
with
\[
\Delta_{\Phi_i}^u = h_u^{-1} \frac{\delta \Delta h_u}{\delta \Phi_i^T} ,
\]
so that the up-type quark self-energy is given in the SHI approximation by
\[
\left< \Delta_{\Phi_2}^u \right>_0 = -1 \frac{2\alpha_3}{3\pi} A_u M_3^* I \left( \bar{M}_Q^2, \bar{M}_U^2, |M_3|^2 \right) - 1 \frac{\alpha_1}{18\pi} A_u M_1^* I \left( \bar{M}_Q^2, \bar{M}_U^2, |M_1|^2 \right)
\]
\[
- \frac{\mu}{16\pi^2} |\mu|^2 I \left( \bar{M}_Q^2, \bar{M}_D^2, |\mu|^2 \right) + 1 \frac{3\alpha_2}{8\pi} B_0 \left( 0, \bar{M}_Q^2, |M_2|^2 \right) + 1 \frac{\alpha_1}{6\pi} B_0 \left( 0, |M_1|^2, \bar{M}_D^2 \right) ,
\]
\[
\left< \Delta_{\Phi_1}^u \right>_0 = 1 \frac{2\alpha_3}{3\pi} \mu^* M_3^* I \left( \bar{M}_Q^2, \bar{M}_U^2, |M_3|^2 \right) + 1 \frac{\alpha_1}{18\pi} \mu^* M_1^* I \left( \bar{M}_Q^2, \bar{M}_U^2, |M_1|^2 \right) .
\]

Figure 8: The complete set of gauge- and flavour-covariant diagrams contributing to the up-type quark self-energy, to first order in $\Phi_2$. Note that in panels (a) and (c) contributions from all listed gauginos should be included.
\[ + \frac{h_u^1 h_d}{16 \pi^2} \mu^* A_{d} I \left( M_{Q_2}^2, M_{D}^2, |\mu|^2 \right) - \frac{3 \alpha_2}{8 \pi} \mu^* M_{Q_2}^* I \left( M_{Q_2}^2, |M_{D}|^2, |\mu|^2 \right) \] 
\[ + 1 \frac{\alpha_1}{24 \pi} \mu^* M_{d}^* I \left( M_{Q_2}^2, |M_{d}|^2, |\mu|^2 \right) - \frac{1}{6 \pi} \mu^* M_{d}^* I \left( M_{U}^2, |M_{d}|^2, |\mu|^2 \right) . \]

The RG running of the soft-SUSY breaking parameters again provides an additional source of flavour violation. To leading order in the shift parameters \( \delta M_{Q,U,D}^2 \) and \( \delta a_{u,d} \) given in (2.10), we find that the threshold corrections (3.22) and (3.23) are modified by

\[ \langle \delta \Delta_{u}^3 \rangle_0 = \frac{2 \alpha_2}{3 \pi} A_u M_3^* \left( \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{3}|^2 \right) + h_u^{-1} \delta \tilde{M}_{U}^2 h_u K \left( \tilde{M}_{U}^2, \tilde{M}_{Q_2}^2, |M_{3}|^2 \right) \right) \]
\[ - \frac{2 \alpha_2}{3 \pi} h_u^{-1} \delta a_u M_3^* I \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{3}|^2 \right) - \frac{\alpha_1}{18 \pi} h_u^{-1} \delta a_u M_3^* I \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{1}|^2 \right) \]
\[ - \frac{\alpha_1}{18 \pi} A_u M_3^* \left[ \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{1}|^2 \right) + h_u^{-1} \delta \tilde{M}_{U}^2 h_u K \left( \tilde{M}_{U}^2, \tilde{M}_{Q_2}^2, |M_{1}|^2 \right) \right] \]
\[ - \frac{1}{16 \pi^2} |\mu|^2 \left[ \delta \tilde{M}_{d}^2 \delta h_d h_d \left( \tilde{M}_{D}^2, \tilde{M}_{Q_2}^2, |\mu|^2 \right) + \delta \tilde{M}_{Q_2}^2 h_d^{-1} h_d K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{D}^2, |\mu|^2 \right) \right] \]
\[ + \frac{3 \alpha_2}{8 \pi} \delta \tilde{M}_{Q_2}^2 I \left( \tilde{M}_{Q_2}^2, |M_{2}|^2 \right) - \frac{\alpha_1}{24 \pi} \left[ \delta \tilde{M}_{Q_2}^2 I \left( \tilde{M}_{Q_2}^2, |M_{1}|^2 \right) - 4 h_u^{-1} \delta \tilde{M}_{U}^2 h_u I \left( \tilde{M}_{U}^2, |M_{1}|^2 \right) \right] . \]

\[ \langle \delta \Delta_{u}^4 \rangle_0 = \frac{2 \alpha_3}{3 \pi} \mu^* M_3^* \left[ \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{3}|^2 \right) + h_u^{-1} \delta \tilde{M}_{U}^2 h_u K \left( \tilde{M}_{U}^2, \tilde{M}_{Q_2}^2, |M_{3}|^2 \right) \right] \]
\[ + \frac{\alpha_1}{18 \pi} \mu^* M_3^* \left[ \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{U}^2, |M_{1}|^2 \right) + h_u^{-1} \delta \tilde{M}_{U}^2 h_u K \left( \tilde{M}_{U}^2, \tilde{M}_{Q_2}^2, |M_{1}|^2 \right) \right] \]
\[ + \frac{1}{16 \pi^2} \mu^* A_{d} I \left( \tilde{M}_{Q_2}^2, \tilde{M}_{D}^2, |\mu|^2 \right) + \delta \tilde{M}_{D}^2 h_d^{-1} h_d K \left( \tilde{M}_{Q_2}^2, \tilde{M}_{D}^2, |\mu|^2 \right) \]
\[ + \frac{\delta a_{d}^1 h_d}{16 \pi^2} \mu^* I \left( \tilde{M}_{Q_2}^2, \tilde{M}_{D}^2, |\mu|^2 \right) - \frac{3 \alpha_2}{8 \pi} \mu^* M_2^* \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, |M_{2}|^2, |\mu|^2 \right) \]
\[ + \frac{\alpha_1}{24 \pi} \mu^* M_3^* \delta \tilde{M}_{Q_2}^2 K \left( \tilde{M}_{Q_2}^2, |M_{1}|^2, |\mu|^2 \right) - \frac{\alpha_1}{6 \pi} \mu^* M_1^* \delta \tilde{M}_{Q_2}^2 h_u^{-1} h_u K \left( \tilde{M}_{U}^2, |M_{1}|^2, |\mu|^2 \right) . \]

For completeness, we also display the 2HDM correction to the up-type quark self-energy analogous to (3.15). This contribution, as shown in Fig. 7(b), is a Standard Model-like correction which again is not affected by the RG effects discussed above. It is given by

\[ \left( \Delta h_u^{2\text{HDM}} \right)_{ij} = \int \frac{d^3 k}{(2 \pi)^n k} \left( h_u \right)_{ik} P_L \left( \frac{1}{k^2 \mathbf{1}_4 - M_q P_L - M_q^* P_R} \right) Q_{i\nu k} \left( h_u \right)_{kj} \]
\[ \times \frac{1}{k^2 \mathbf{1}_4 - M_H^2} \delta_{\nu \mu} \phi_2 \phi_2 . \]

### 3.1.3 Lepton Yukawa Couplings

Finally, we discuss the Higgs couplings to the leptonic sector. In analogy to (3.1), we may describe the lepton self-energy by the effective Lagrangian

\[ - \mathcal{L}_{\text{eff}} \left[ \Phi_1, \Phi_2 \right] = e^0_{iR} \left( h_e \phi_1^{i \alpha} + \Delta h_e^\alpha \left[ \Phi_1, \Phi_2 \right] \right)_{ij} L_{jL}^\alpha + \text{H.c.} , \]
where \( \Delta h_e \) is a 3 \times 3 Coleman-Weinberg effective functional of the background Higgs fields which encodes the higher-order corrections. Only the electroweak corrections contribute to the lepton self-energy at the one-loop level, corresponding to the Feynman diagrams shown in Fig. [3]. Again separating out the one-loop counterterms and neglecting the 2HDM-like contributions, we may write \( \Delta h_e^{\text{SUSY}} \) as

\[
- (\Delta h_e^{\text{SUSY}})^{\alpha}_{ij} = \int \frac{d^n k}{(2\pi)^n} \left[ - P_L g_1^2 \left( \frac{1}{k^2 1_{12} - M_t^2} \right) P_L \left( \frac{1}{k^2 1_{12} - M_t^2} \right) \tilde{e}_i \tilde{l}_j^\alpha \\
+ P_L \left( \frac{1}{k^2 1_{12} - M_C P_L - M_C P_R} \right) P_L (h_e)_{ij} (i\sigma_2)^{\beta\gamma} \left( \frac{1}{k^2 1_{12} - M_t^2} \right) \tilde{l}_i^{\beta} \tilde{l}_j^{\gamma} \right] \left( \frac{-g_1}{\sqrt{2}} \right) \right]
\]

where \( g_2^2 \) is displayed in Appendix A.

Working in the SHI approximation, we may define the quantities \( \Delta \Phi_i \equiv h_e^{-1} \Delta h_e^{\Phi_i} \) in analogy with (3.5). These contributions to the lepton self-energy are given by

\[
\langle \Delta \Phi_i \rangle_0 = \frac{1}{4\pi} \mu^* M_i^* I (|M_1|^2, M_2, M_E^2) + \frac{1}{8\pi} \mu^* M_1^* I (|M_1|^2, M_2^2, |\mu|^2) \\
- \frac{1}{8\pi} \mu^* M_2^* I (|M_2|^2, M_2^2, |\mu|^2) - \frac{1}{4\pi} \mu^* M_1^* I (|M_1|^2, M_2^2, |\mu|^2),
\]

and RG running of the soft SUSY-breaking parameters leads to a shift which may be expressed as

\[
\langle \delta \Delta \Phi_i \rangle_0 = \frac{1}{4\pi} \mu^* M_i^* \left[ \delta \tilde{M}_L^2 K (\tilde{M}_L^2, \tilde{M}_E^2, |M_1|^2) + h_e^{-1} \delta \tilde{M}_E^2 h_e K (\tilde{M}_E^2, \tilde{M}_L^2, |M_1|^2) \right] \\
+ \frac{1}{8\pi} \mu^* M_1^* \left[ \delta \tilde{M}_L^2 K (\tilde{M}_L^2, |M_1|^2, |\mu|^2) - 2h_e^{-1} \delta \tilde{M}_E^2 h_e K (\tilde{M}_E^2, |M_1|^2, |\mu|^2) \right] \\
- \frac{3}{8\pi} \mu^* M_2^* \delta \tilde{M}_L^2 K (\tilde{M}_L^2, |M_2|^2, |\mu|^2),
\]

and

\[
\langle \delta \Delta \Phi_i \rangle_0 = - \frac{1}{4\pi} A_e M_i^* \left[ \delta \tilde{M}_L^2 K (\tilde{M}_L^2, \tilde{M}_E^2, |M_1|^2) + h_e^{-1} \delta \tilde{M}_E^2 h_e K (\tilde{M}_E^2, \tilde{M}_L^2, |M_1|^2) \right].
\]

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\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{diagrams.png}
\caption{The complete set of gauge- and flavour-covariant diagrams contributing to the lepton self-energy, to first order in $\Phi_2$.}
\end{figure}

\[ -\frac{\alpha_1}{4\pi} \delta a_e M_1^* I \left( \widetilde{M}_L^2, \widetilde{M}_E^2, |M_1|^2 \right) + \frac{3\alpha_2}{8\pi} \delta \widetilde{M}_L^2 I \left( \widetilde{M}_L^2, |M_2|^2 \right) \\
+ \frac{\alpha_1}{8\pi} \left[ \delta \widetilde{M}_L^2 I \left( \widetilde{M}_L^2, |M_1|^2 \right) + 2h_e^{-1} \delta \widetilde{M}_E^2 h_e I \left( \widetilde{M}_E^2, |M_1|^2 \right) \right]. \] (3.32)

where the flavour-non-universal contributions were specified in (2.16).

### 3.2 Higgs Couplings in the Fermion Mass Basis

The weak quark chiral states, $u_{0L,R}^0$ and $d_{0L,R}^0$, are related to their respective mass eigenstates, $u_{L,R}$ and $d_{L,R}$, through the unitary transformations:

\[ u_L^0 = U_L^0 u_L, \quad d_L^0 = U_L^0 V_d d_L, \quad u_R^0 = U_R^u u_R, \quad d_R^0 = U_R^d d_R \]
\[ \nu_L^0 = U_L^\nu \nu_L, \quad e_L^0 = U_L^e \nu_e, \quad e_R^0 = U_R^e e_R, \] (3.33)

where $U_L^{Q,L}$, $U_R^{u,d,e}$ are $3 \times 3$ unitary matrices and $V_d$ and $V_e$ are the CKM and the PMNS mixing matrices, respectively. The individual components of the Higgs doublets $\Phi_{1,2}$ are
given by
\[
\Phi_{1,2} = \left( \frac{\phi_{1,2}^+}{\sqrt{2}} \right) \left( \phi_{1,2}^0 + \phi_{1,2}^0 + ia_{1,2} \right).
\] (3.34)

In the CP-violating MSSM, the weak-state Higgs fields \(\phi_{1,2}, a_{1,2}\) and \(\phi_{1,2}\) are related to the neutral CP-mixed mass eigenstates \(H_{1,2,3}\), the charged Higgs boson \(H^-\) and the would-be Goldstone bosons \(G^0\) and \(G^-\), associated with the \(Z\) and \(W^-\) bosons, through \([23, 24]\):

\[
\begin{align*}
\phi_1 &= O_{1i} H_i, & \phi_2 &= O_{2i} H_i, \\
a_1 &= c_\beta G^0 - s_\beta O_{3i} H_i, & a_2 &= s_\beta G^0 + c_\beta O_{3i} H_i, \\
\phi_1^- &= c_\beta G^- - s_\beta H^- & \phi_2^- &= s_\beta G^- + c_\beta H^-,
\end{align*}
\] (3.35)

where \(s_\beta \equiv \sin \beta\), \(c_\beta \equiv \cos \beta\) and \(O\) is an orthogonal \(3 \times 3\) Higgs-boson-mixing matrix.

The functional \(h_f^{-1} \Delta h_f\) with \(f = d, e, u\) can be written as

\[
\begin{align*}
h_{d,e}^{-1} \Delta h_{d,e} &= (0, \Delta_{d,e}) + \sum_{i=1,2} \left( \Delta_{d,e}^{\phi_i^-} \phi_i^- + \Delta_{d,e}^{a_i} a_i \right), \\
h_{u}^{-1} \Delta h_{u} &= (0, \Delta_u) + \sum_{i=1,2} \left( \Delta_u^{\phi_i^+} \phi_i^+ + \Delta_u^{a_i} a_i \right).
\end{align*}
\] (3.36)

The \(3 \times 3\) matrices \(\Delta_f\), \(\Delta_f^{\phi_i}\), \(\Delta_f^{a_i}\) and \(\Delta_f^{\phi_i^\pm}\) are given by

\[
\begin{align*}
\Delta_f &= \left\langle h_f^{-1} \Delta h_f \right\rangle, & \Delta_{f_i} &= \frac{\sqrt{2}}{\delta \phi_i} \left\langle h_f^{-1} \Delta h_f \right\rangle, \\
\Delta_{a_i} &= i \sqrt{2} \left\langle \frac{\delta}{\delta a_i} h_f^{-1} \Delta h_f \right\rangle, & \Delta_{\phi_i^\pm} &= \left\langle \frac{\delta}{\delta \phi_i^\pm} h_f^{-1} \Delta h_f \right\rangle.
\end{align*}
\] (3.37)

where \(\langle \ldots \rangle\) indicates taking the VEV of the enclosed expression. With these, we may conveniently express the general flavour-changing (FC) effective Lagrangian for the interactions of the neutral and charged Higgs fields to the up- and down-type quarks \(u\), \(d\) and to the charged leptons in the following form:

\[
\mathcal{L}_{\text{FC}} = -\frac{g}{2M_W} \left[ H_i \bar{d} \left( \hat{\Phi}_d g_{H_{i,dd}} L_L + \hat{\Phi}_d g_{H_{i,dd}} M_L \right) P_L + G^0 \bar{d} \left( \hat{\Phi}_d i\gamma_5 \right) d \right. \\
+ H_i \bar{u} \left( \hat{\Phi}_u g_{H_{i,uu}} P_L + \hat{\Phi}_u g_{H_{i,uu}} M_u \right) u - G^0 \bar{u} \left( \hat{\Phi}_u i\gamma_5 \right) u \\
+ H_i \bar{e} \left( \hat{\Phi}_e g_{H_{i,ee}} P_L + \hat{\Phi}_e g_{H_{i,ee}} M_e \right) e + G^0 \bar{e} \left( \hat{\Phi}_e i\gamma_5 \right) e \right]
\] (3.38)

\[
\begin{align*}
-\frac{g}{\sqrt{2}M_W} \left[ H^- \bar{d} \left( \hat{\Phi}_d g_{H_{i,d\bar{d}}} P_L + \hat{\Phi}_d g_{H_{i,d\bar{d}}} M_L \right) P_L + H^- \bar{e} \left( \hat{\Phi}_e g_{H_{i,e\nu}} P_L \right) \nu \right. \\
+ G^- \bar{d} \left( \hat{\Phi}_d \bar{V}_d^\dagger P_L - \bar{V}_d^\dagger \hat{\Phi}_d P_L \right) u + G^- \bar{e} \left( \hat{\Phi}_e \bar{V}_e^\dagger P_L \right) \nu + \text{H.c.},
\end{align*}
\]
where $\hat{M}_{u,d,e}$ are the physical diagonal mass matrices for the up- and down-type quarks and charged leptons, which are related to the Yukawa coupling matrices by

$$
(U^d,e_R)^\dagger h_{d,e} U^{Q,L}_L = \frac{\sqrt{2}}{v_1} \hat{M}_{d,e} V_{d,e}^\dagger R^{-1}_{d,e}, \quad (U^u_R)^\dagger h_{u} U^Q_L = \frac{\sqrt{2}}{v_2} \hat{M}_u R^{-1},
$$

(3.39)

with

$$
R_{d,e} = 1 + \frac{\sqrt{2}}{v_1} (U^{Q,L}_L)^\dagger \Delta_{d,e} U^{Q,L}_L,
$$

$$
R_u = 1 + \frac{\sqrt{2}}{v_2} (U^Q_L)^\dagger \Delta_u U^Q_L.
$$

(3.40)

The neutral Higgs couplings to the down-type quarks and charged leptons are given by

$$
g^{L}_{H_{i,ff}} = \frac{O_{ii}}{c_\beta} V_j^f R^{-1}_f \left[ 1 + (U^F_L)^\dagger \Delta^\phi_1 U^F_L \right] V_f + \frac{O_{ii}}{s_\beta} V_j^f R^{-1}_f (U^F_L)^\dagger \Delta^\phi_2 U^F_L V_f
$$

$$
+ iO_{3i} t_\beta V_j^f R^{-1}_f \left[ 1 + (U^F_L)^\dagger \Delta^\phi_1 U^F_L - \frac{1}{t_\beta} (U^F_L)^\dagger \Delta^\phi_2 U^F_L \right] V_f,
$$

(3.41)

$$
g^{R}_{H_{i,ff}} = (g^{L}_{H_{i,ff}})^\dagger,
$$

(3.42)

with $f = d,e$, $F = Q, L$ and $t_\beta \equiv \tan \beta$. The neutral Higgs couplings to the up-type quarks are given by

$$
g^{L}_{H_{i,uu}} = \frac{O_{ii}}{s_\beta} R^{-1} \left[ 1 + (U^Q_L)^\dagger \Delta^\phi_1 U^Q_L \right] + \frac{O_{ii}}{c_\beta} R^{-1} \left[ 1 + (U^Q_L)^\dagger \Delta^\phi_2 U^Q_L \right]
$$

$$
+ iO_{3i} t_\beta R^{-1} \left[ 1 - (U^Q_L)^\dagger \Delta^\phi_1 U^Q_L + t_\beta (U^Q_L)^\dagger \Delta^\phi_2 U^Q_L \right],
$$

(3.43)

$$
g^{R}_{H_{i,uu}} = (g^{L}_{H_{i,uu}})^\dagger.
$$

(3.44)

Finally, the coupling to the charged Higgs boson are given by

$$
g^{L}_{H^-_{d,u}} = -t_\beta V^f_d R^{-1}_d \left[ 1 + (U^Q_L)^\dagger \Delta^\phi_1 U^Q_L \right] + V^f_d R^{-1}_d (U^Q_L)^\dagger \Delta^\phi_2 U^Q_L,
$$

(3.45)

$$
g^{R}_{H^-_{d,u}} = -t^{-1}_\beta V^f_d \left[ 1 + (U^Q_L)^\dagger (U^Q_L)^\dagger U^Q_L \right] (R^{-1}_u)^\dagger
$$

$$
+ V^f_d (U^Q_L)^\dagger (U^Q_L)^\dagger U^Q_L (R^{-1}_u)^\dagger,
$$

(3.46)

$$
g^{L}_{H^-_{e,\nu}} = -t_\beta V^f_e R^{-1}_e \left[ 1 + (U^Q_L)^\dagger \Delta^\phi_1 U^Q_L \right] + V^f_e R^{-1}_e (U^Q_L)^\dagger \Delta^\phi_2 U^Q_L.
$$

(3.47)

Note that, in order to fully specify the Higgs couplings, one needs to know $\Delta_f$, $\Delta^\phi_f$, $\Delta^\phi_1$, and $\Delta^\phi_2$ with $i = 1, 2$ and $f = d, u, e$, as well as the rotation matrices $U^{Q,L}_L$ and $V_{d,e}$. Hence, the analytic results presented here generalise those given in [8,18] beyond the SHI approximation in an arbitrary flavour basis.
One may now exploit the properties of gauge- and flavour-covariance of the effective functional \( h_f^{-1} \Delta h_f[\Phi_1, \Phi_2] \) with \( f = e, d, u \) to obtain useful relations. Explicitly, they should have the forms:

\[
\begin{align*}
\Delta h_{e,d}^{\pm}[\Phi_1, \Phi_2] &= \Phi_1^T F_{e,d}^{\pm} + \Phi_2^T G_{e,d}^{\pm}, \\
\Delta h_u^{\pm}[\Phi_1, \Phi_2] &= \Phi_1^T F_u^{\pm} + \Phi_2^T G_u^{\pm},
\end{align*}
\]

(3.48)

where \( F_{e,d,u}(\Phi_1^\dagger, \Phi_2^\dagger, \Phi_1^\dagger \Phi_1, \Phi_2^\dagger \Phi_2, \Phi_1^\dagger \Phi_2, \Phi_2^\dagger \Phi_1) \) and \( G_{e,d,u}(\Phi_1^\dagger, \Phi_2^\dagger, \Phi_1^\dagger \Phi_1, \Phi_2^\dagger \Phi_2, \Phi_1^\dagger \Phi_2, \Phi_2^\dagger \Phi_1) \) are calculable 3 \( \times \) 3-dimensional functionals which in general transform as \( h_e^{\dagger} h_e \), \( h_d^{\dagger} h_d \), \( h_u^{\dagger} h_u \) under the flavour rotations (2.3). In the SHI approximation, \( F \) and \( G \) are simply constant matrices, leading to the relations

\[
\Delta_{e,d} = \frac{v_1}{\sqrt{2}} F_{e,d}^0 + \frac{v_2}{\sqrt{2}} G_{e,d}^0 , \quad \Delta_u = \frac{v_1}{\sqrt{2}} F_u^0 + \frac{v_2}{\sqrt{2}} G_u^0 ,
\]

(3.49)

Including the RG-induced flavour-violating terms, the constant matrices \( F \) and \( G \) take on the form:

\[
\begin{align*}
F_{e,d,u}^0 &= \left( \Delta_{e,d,u}^{\Phi_1} + \delta \Delta_{e,d,u}^{\Phi_1} \right)_0 , \\
G_{e,d,u}^0 &= \left( \Delta_{e,d,u}^{\Phi_2} + \delta \Delta_{e,d,u}^{\Phi_2} \right)_0 ,
\end{align*}
\]

(3.50)

where the flavour-non-universal terms are given by the set of equations (3.11), (3.12), (3.24), (3.25), (3.31) and (3.32). In the next section, we will present numerical estimates in the SHI approximation, including the RG-induced flavour-non-universal contributions to the threshold corrections.

3.3 RG Effects on FCNC Higgs-Boson Couplings

We may now illustrate the significance of the results presented in the previous section, by analyzing the variations of the FCNC couplings of the neutral Higgs bosons to down-type quarks as functions of \( \tan \beta \) in the same MCPMFV scenario (2.17) introduced previously.

To start with, we display in Fig. 10 the variations with \( \tan \beta \) of the couplings \(|g^L(H_{1,2,3}\bar{s}d)|\) (top row), \(|g^L(H_{1,2,3}\bar{b}d)|\) (middle row) and \(|g^L(H_{1,2,3}\bar{b}s)|\), for various values of \( \Phi_M \) as given in Fig. 1. We see that, for each of the Higgs particles, we have the expected

---

\footnote{
We recall that, in this scenario, the heavier neutral Higgs bosons \( H_{2,3} \) are almost degenerate, whereas \( H_1 \) is significantly lighter.
}
hierarchy across fermion generations, i.e., \(|g^L(H_{1,2,3}\bar{s}d)|/|g^L(H_{1,2,3}\bar{b}d)| \sim |(V_d)_{ts}| \sim 0.04\) and \(|g^L(H_{1,2,3}\bar{b}d)|/|g^L(H_{1,2,3}\bar{b}s)| \sim |(V_d)_{td}/(V_d)_{ts}| \sim 0.2\). Again, we observe that for \(\Phi_M = 180^\circ\), gluino threshold effects increase the value of the threshold matrix \(R_d^{-1}\), thus giving rise to larger FCNC Higgs-boson couplings.

In the top left panel of Fig. 11 we show the dependence of the couplings \(|g^L(H_{1,2,3}\bar{b}s)|\) on \(\tan \beta\) for \(\Phi_M = 0\), whilst the remaining panels show the correlations between this coupling and each of the expansion coefficients \(\tilde{m}_Q^2/\tilde{m}_Q^{2.0}\) for the same values of \(\tan \beta\). As expected from the results displayed in Fig. 1, the variation in the coefficients is extremely small.

Finally, in Fig. 12 we illustrate the impact of the individual flavour-violating components induced by RG running on the FCNC Higgs-boson couplings \(|g^L(H_{1,2,3}\bar{b}s)|\). Specifically, we plot the couplings \(|g^L(H_{1,2,3}\bar{b}s)|\) against the “level” \(L\), defined by limiting the sums

\[
\tilde{M}^2_{Q,U,D}^{(L)} = \sum_{I=0}^{L} \tilde{m}_Q^{2,I} H_{I}^{Q,U,D},
\]

\[
a_{u,d}^{(L)} = \sum_{I=0}^{L} a_{u,d}^I h_{u,d} H_{I}^Q.
\]

(3.51)

The level \(L = 0\) indicates that the couplings are calculated including only the first coefficient in the expansion of the soft matrices, i.e. the flavour-singlet component \(\propto 1_3\). On the other hand, the highest level \(L = 8\) gives the complete reconstruction of the soft SUSY-breaking matrices in a flavour-basis expansion, i.e. \(\tilde{M}^2_{Q,U,D}^{(8)} \equiv \tilde{M}^2_{Q,U,D}\) and \(a_{u,d}^{(8)} \equiv a_{u,d}\).

We also display in Fig. 12 numerical estimates for various values of \(\tan \beta\) and \(\Phi_M\). In all different panels, we observe that the effective Higgs-boson couplings remain flavour-violating, even if the soft SUSY-breaking matrices are flavour-singlet. In this case, the Higgsino-mediated threshold correction and the 2HDM contribution, both of which are \(\propto h_u^I h_u\), remain the dominant source of flavour violation when all the soft SUSY-breaking matrices are proportional to \(1_3\). Interestingly enough, the RG-generated flavour-changing terms in the soft matrices are found to produce a cancellation against the leading level \(L = 0\) terms. This screening phenomenon is typically at the level of \(\sim 20%\) of the Higgsino contribution. The largest non-flavour-singlet contribution is due to the level \(L = 1\) coefficients, although the \(L = 2,3\) coefficients also lead to appreciable effects for some values of \(\Phi_M\). Thus, our analysis shows that the non-singlet flavour components within MCPMFV-type scenarios can modify the strength of the FCNC Higgs-boson couplings in a phenomenologically relevant way.
Figure 10: In the upper, middle, and lower panels we display the variation with \( \tan \beta \) of the flavour-non-diagonal couplings \( |g_{H_i \bar{s} d}^L| \), \( |g_{H_i \bar{b} d}^L| \), and \( |g_{H_i \bar{b} s}^L| \), respectively, of the neutral Higgs bosons \( H_1 \) (left column), \( H_2 \) (middle column), and \( H_3 \) (right column). In each panel, the lines are the same as in Fig. 1. The input MCPMFV SUSY-breaking parameters are taken to be the same as in (2.17).
Figure 11: In the top left panel we display the variation with \( \tan \beta \) of the flavour-non-diagonal couplings \( |g_{H_i \bar{b}s}| \) of the neutral Higgs bosons \( H_{1,2,3} \) taking \( \Phi_M = 0^\circ \). In the other panels we display the correlations of these couplings with the ratios \( \tilde{m}_Q^{2,1} / \tilde{m}_Q^{2,0} \) for the same value of \( \Phi_M = 0^\circ \). The input MCPMFV SUSY-breaking parameters are taken to be the same as in (2.17).
Figure 12: In the top left panel we display the variation with the level $L$ of the flavour-non-diagonal couplings $|g^L_{H_i b s}|$ of the neutral Higgs bosons $H_{1,2,3}$ taking $\tan \beta = 49$ and $\Phi_M = 0^\circ$. The level $L$ is defined in the sum: $\tilde{M}^2_{Q,U,D} = \sum_{I=0}^L \tilde{m}^2_{Q,U,D} H^Q_{I}^{U,D}$ and $a_{u,d} = \sum_{I=0}^L a^I_{u,d} h_{u,d} H^Q_{I}$. For example, $L = 0$ implies that the couplings $|g^L_{H_i b s}|$ are calculated only including the zero-th term in the expansions of the matrices $\tilde{M}^2_{Q,U,D}$ and $a_{u,d}$ while $L = 8$ means including all 9 terms in the expansions. In the other panels we display the variations for other values of $\tan \beta$ and $\Phi_M$ as shown. The input MCPMFV SUSY-breaking parameters are taken to be the same as in (2.17).
4 Conclusions

We have presented a new geometric method for the flavour decomposition of a general soft SUSY-breaking sector in the MSSM. We have shown how the up- and down-type quark Yukawa-coupling matrices may be used to furnish a complete geometric basis for the flavour space of the soft SUSY-breaking squark mass matrices and trilinear couplings. Such a decomposition in the leptonic sector would require the extension of the MSSM by right-handed neutrinos and the introduction of neutrino Yukawa couplings.

The MFV decomposition developed here is valid except possibly in the limit where the effective Yukawa couplings are rendered real after the inclusion of threshold corrections. In this case, an alternative basis must be chosen; the tree-level quark Yukawa couplings are a suitable choice. Conversely, if the tree-level Yukawa couplings are real in a MSSM scenario with soft CP violation, then RG effects alone cannot alter this fact. In such a scenario, the effective Yukawa couplings are expected to acquire a non-trivial KM phase induced by the complex soft SUSY-breaking parameters and they will thus provide a complete basis for flavour decomposition.

It is obvious that threshold corrections to the couplings of the MSSM Higgs bosons to fermions play a central role to the construction of a non-degenerate flavour geometry as developed here. In this context, we have presented the complete one-loop threshold corrections, showing explicitly how non-trivial flavour geometry of the soft SUSY-breaking matrices can become an additional source for FCNC effects on the Higgs-boson couplings to fermions. We have then used the flavour-space decomposition to examine the behaviour of these couplings. We have observed that flavour violation due to the RG evolution of the soft SUSY-breaking matrices from the GUT to the electroweak scale leads to a screening phenomenon of order $\sim 20\%$ for the effective Higgs-fermion vertices, which could be phenomenologically relevant.

In this paper we have applied our flavour geometric approach to one process, namely to the Higgs-boson FCNC couplings to fermions. Non-trivial flavour structures amongst the soft SUSY-breaking matrices are known to contribute to a number of processes at the one-loop level, such as $b \to s\gamma$ decays and neutral meson mixing [25]. Applying the framework developed here to these processes would lead to constraints on the magnitudes of the various coefficients which would in turn have implications for the validity of the Minimal Flavour Violation hypothesis.
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A Background Higgs-Field-Dependent Mass Matrices

Here we collect explicit tree-level forms for all the mass matrices which appear in the calculations of Section 3.

A.1 Squark mass-squared matrix

The $12 \times 12$ squark mass-squared matrix $\tilde{M}^2$ may be expressed as

$$\tilde{M}^2[\Phi_1, \Phi_2, S] = \begin{pmatrix} (\tilde{M}^2)_{\tilde{Q}^i\tilde{Q}^j} & (\tilde{M}^2)_{\tilde{Q}^i\tilde{U}^j} & (\tilde{M}^2)_{\tilde{Q}^i\tilde{D}^j} \\ (\tilde{M}^2)_{\tilde{U}^i\tilde{Q}^j} & (\tilde{M}^2)_{\tilde{U}^i\tilde{U}^j} & (\tilde{M}^2)_{\tilde{U}^i\tilde{D}^j} \\ (\tilde{M}^2)_{\tilde{D}^i\tilde{Q}^j} & (\tilde{M}^2)_{\tilde{D}^i\tilde{U}^j} & (\tilde{M}^2)_{\tilde{D}^i\tilde{D}^j} \end{pmatrix}_{ij}, \quad (A.1)$$

with

$$
(\tilde{M}^2)_{\tilde{Q}^i\tilde{Q}^j} = \tilde{M}_Q^2 \delta_{ij} + \left( h_d^i h_d^j \right)_{ij} \Phi_1 \Phi_1^T + \left( h_u^i h_u^j \right)_{ij} \left( \Phi_2 \Phi_2^T - \Phi_1 \Phi_1^T \right),
$$

$$
(\tilde{M}^2)_{\tilde{U}^i\tilde{Q}^j} = \tilde{M}_U^2 \delta_{ij} - (a_d)_{ij} \Phi_1^T i \sigma_2 + (a_u)_{ij} \mu^* \Phi_2^T i \sigma_2,
$$

$$
(\tilde{M}^2)_{\tilde{D}^i\tilde{Q}^j} = \tilde{M}_D^2 \delta_{ij} + (a_d)_{ij} \Phi_1^+ - (a_u)_{ij} \mu^* \Phi_2^+,
$$

$$
(\tilde{M}^2)_{\tilde{U}^i\tilde{U}^j} = \tilde{M}_U^2 \delta_{ij} + (h_u h_u^T)_{ij} \Phi_2 \Phi_2^T + \frac{1}{3} \delta_{ij} g_2^2 \left( \Phi_1^+ \Phi_1 - \Phi_2^+ \Phi_2 \right),
$$

$$
(\tilde{M}^2)_{\tilde{D}^i\tilde{U}^j} = \tilde{M}_D^2 \delta_{ij} + \left( h_d h_d^T \right)_{ij} \Phi_1 \Phi_1^T - \frac{1}{6} \delta_{ij} g_1^2 \left( \Phi_1^T \Phi_1 - \Phi_2^T \Phi_2 \right),
$$

$$
(\tilde{M}^2)_{\tilde{D}^i\tilde{D}^j} = \tilde{M}_D^2 \delta_{ij} + \left( h_d h_d^T \right)_{ij} \Phi_1^T i \sigma_2 \Phi_2
$$

where $\tilde{M}_Q^2$, $\tilde{M}_U^2$ and $\tilde{M}_D^2$ are the soft SUSY-breaking squark mass matrices and $\mu$ is the coefficient of the Higgs bilinear term of the MSSM superpotential.

A.2 Chargino-Neutralino mass matrix

The $8 \times 8$ chargino-neutralino mass matrix $M_C$ which appears in (3.3) is given by

$$
M_C = \begin{pmatrix} M_1 & 0 & \frac{1}{\sqrt{2}} g_1 \Phi_2^T \Phi_2 & \frac{1}{\sqrt{2}} g_1 \Phi_2^T (i \sigma_2) \\ 0 & M_2 1_3 & \frac{1}{\sqrt{2}} g_2 \Phi_2^T \sigma_i & -\frac{1}{\sqrt{2}} g_2 \Phi_2^T (i \sigma_2) \sigma_i \\ \frac{1}{\sqrt{2}} g_1 \Phi_2^* & \frac{1}{\sqrt{2}} g_2 \sigma_i^T \Phi_2^* & 0_2 & \mu (i \sigma_2) \\ -\frac{1}{\sqrt{2}} (i \sigma_2) g_1 \Phi_1 & \frac{1}{\sqrt{2}} g_2 \sigma_i^T (i \sigma_2) \Phi_1 & -\mu (i \sigma_2) & 0_2 \end{pmatrix}. \quad (A.3)
$$
A.3 Slepton mass-squared matrix

The $9 \times 9$ slepton mass-squared matrix $\tilde{M}^2_\ell$ may be expressed as

$$\tilde{M}^2_\ell = \begin{pmatrix} \tilde{M}^2_{\tilde{L}^\dagger \tilde{L}} & \tilde{M}^2_{\tilde{E}^\dagger \tilde{L}} \\ \tilde{M}^2_{\tilde{E}^\dagger \tilde{E}} & \tilde{M}^2_{\tilde{E}^\dagger \tilde{E}} \end{pmatrix}, \quad (A.4)$$

with

$$\left( \tilde{M}^2_\ell \right)_{\tilde{L}^\dagger \tilde{L}} = \left( \tilde{M}^2_\ell \right)_{\tilde{L}^\dagger \tilde{L}} = \begin{pmatrix} 2 \left( \Phi^2 \right)_{\tilde{L}^\dagger \tilde{L}} + \left( \Phi^2 \right)_{\tilde{E}^\dagger \tilde{L}} + \frac{1}{2} \delta_{ij} g_2^2 \left( \Phi^1 \Phi^1 \right) \\ + \frac{1}{4} \delta_{ij} \left( g_2^2 + g_1^2 \right) \left( \Phi^1 \Phi^1 \right) \end{pmatrix} 1_2$$

$$\left( \tilde{M}^2_\ell \right)_{\tilde{E}^\dagger \tilde{L}} = \left( \tilde{M}^2_\ell \right)_{\tilde{E}^\dagger \tilde{L}} = \begin{pmatrix} \left( \Phi^2 \right)_{\tilde{E}^\dagger \tilde{L}} + \left( \Phi^2 \right)_{\tilde{E}^\dagger \tilde{L}} + \frac{1}{2} \delta_{ij} g_1^2 \left( \Phi^1 \Phi^1 \right) \\ \right.$$  

$$\left( \tilde{M}^2_\ell \right)_{\tilde{E}^\dagger \tilde{E}} = \left( \tilde{M}^2_\ell \right)_{\tilde{E}^\dagger \tilde{E}} = \begin{pmatrix} \left( \Phi^2 \right)_{\tilde{E}^\dagger \tilde{E}} + \left( \Phi^2 \right)_{\tilde{E}^\dagger \tilde{E}} + \frac{1}{2} \delta_{ij} g_1^2 \left( \Phi^1 \Phi^1 \right) \\ \right.$$  

Here $\tilde{M}^2_\ell$ and $\tilde{M}^2_{\tilde{L}}$ are the soft SUSY-breaking slepton mass matrices.

A.4 Higgs-boson mass-squared matrix

The $8 \times 8$ Higgs-boson mass-squared matrix $M^2_H$ is given at tree level in the basis $(\Phi^1, \Phi^2, \Phi^1_*, \Phi^2_*)^T$ by

$$M^2_H [\Phi^1, \Phi^2] = \begin{pmatrix} (M^2_{H})_{\Phi^1 \Phi^1} & (M^2_{H})_{\Phi^1 \Phi^2} & (M^2_{H})_{\Phi^1 \Phi^1} & (M^2_{H})_{\Phi^1 \Phi^2} \\ (M^2_{H})_{\Phi^2 \Phi^1} & (M^2_{H})_{\Phi^2 \Phi^2} & (M^2_{H})_{\Phi^2 \Phi^1} & (M^2_{H})_{\Phi^2 \Phi^2} \\ (M^2_{H})_{\Phi^1_\ast \Phi^1} & (M^2_{H})_{\Phi^1_\ast \Phi^2} & (M^2_{H})_{\Phi^1_\ast \Phi^1} & (M^2_{H})_{\Phi^1_\ast \Phi^2} \\ (M^2_{H})_{\Phi^2_\ast \Phi^1} & (M^2_{H})_{\Phi^2_\ast \Phi^2} & (M^2_{H})_{\Phi^2_\ast \Phi^1} & (M^2_{H})_{\Phi^2_\ast \Phi^2} \end{pmatrix}, \quad (A.6)$$

where

$$\left( M^2_{H} \right)_{\Phi^1 \Phi^1} = (M^2_{H})_{\Phi^1 \Phi^1}$$

$$\left( M^2_{H} \right)_{\Phi^2 \Phi^2} = (M^2_{H})_{\Phi^2 \Phi^2}$$

$$\left( M^2_{H} \right)_{\Phi^1_\ast \Phi^1} = (M^2_{H})_{\Phi^1_\ast \Phi^1}$$

$$\left( M^2_{H} \right)_{\Phi^2_\ast \Phi^2} = (M^2_{H})_{\Phi^2_\ast \Phi^2}$$
\[
\begin{align*}
(M_H^2)_{\Phi_1 \Phi_2} &= (M_H^2)_{\Phi_2}^\dagger \Phi_1 = (M_H^2)^T_{\Phi_2} \Phi_1 = (M_H^2)^*_{\Phi_2}^\dagger \Phi_1^\dagger \\
&= - \left( B\mu + \frac{g^2}{2} \Phi_2 \Phi_1 \right) 1_2 + \frac{g^2}{4} - \frac{g^2}{4} \Phi_1 \Phi_1^\dagger , \\
(M_H^2)_{\Phi_2^T} \Phi_1 &= (M_H^2)^*_{\Phi_1} \Phi_1^\dagger = \frac{g^2 + g^2}{2} \Phi_1 \Phi_1^\dagger , \\
(M_H^2)_{\Phi_2^T} \Phi_2 &= (M_H^2)^*_{\Phi_2} \Phi_2^\dagger = \frac{g^2 + g^2}{2} \Phi_2 \Phi_2^\dagger .
\end{align*}
\] (A.7)

### A.5 Quark mass matrix

The $6 \times 6$ quark mass matrix $M_q$ is given by

\[
M_q [\Phi_1, \Phi_2] = \begin{pmatrix} (M_q)_{u,Q_i} \\ (M_q)_{d,Q_i} \end{pmatrix} = \begin{pmatrix} (h_u)_{ij} \Phi_2^T (-i\sigma_2) \\ (h_d)_{ij} \Phi_1^\dagger \end{pmatrix} .
\] (A.8)
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