Influence of sintering parameters on the microstructure and mechanical properties of nanosized 3Y-TZP ceramics

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Abstract

The fracture toughness of 3Y-TZP ceramics obtained from a nanocrystalline powder with an optimized microstructure and highly transformable tetragonal grains was investigated. Samples of ZrO₂·3 mol% Y₂O₃ were sintered at temperatures between 1250 and 1400 °C, with isothermal holding times of up to 16 h. Samples sintered at 1250 °C exhibited relative densities ranging between 92% and 98%, which increased with increasing isothermal duration, while samples sintered at 1300, 1350, or 1400 °C achieved densification higher than 98% for all isothermal treatments. Crystallographic analysis indicated the presence of a highly transformable ZrO₂·tetragonal phase (c/a√2= 1.0148-1.0154) for all conditions studied. The average grain size ranged from 0.18±0.04 μm (1250 °C-0 h) to 0.64±0.08 μm (1400 °C-16 h), indicating activation energy of 141.3 kJ/mol for grain growth and a growth exponent of 2.8. Both Vickers hardness (1025 to 1300 HV) and fracture toughness (4.0 to 7.8 MPa.m¹/²) increased with increasing sintering temperature and time due to increased densification, reduced porosity, and maintenance of potentially high fracture toughness by the t→m phase transformation.

Keywords: 3Y-TZP, nanosized powder, sintering, microstructure, t→m transformation toughening mechanism.

INTRODUCTION

The use of yttria-stabilized tetragonal zirconia-based ceramics, ZrO₂·(Y₂O₃), also called Y-TZP, is widespread in the field of dentistry, among others, due to its biocompatibility, aesthetic characteristics and, in particular, its excellent mechanical properties [1, 2]. In particular, a high fracture toughness results from the tetragonal to monoclinic (t→m) phase transformation that occurs in the wake field of a propagating crack and which is accompanied by a volumetric expansion of around 4% to 5% [3, 4]. This phase transformation in turn, generates stress fields in the ceramic matrix, which hinders crack propagation, thus improving fracture toughness. In general, ceramics with an average grain size of less than 100 nm are called nanocrystalline ceramics. With reduced grain sizes in the sintered body, nanocrystalline ceramics may have different properties and considerable merits compared to conventional ceramics [5]. In some cases, nanocrystalline ceramics are used as a base material for the development of components and sometimes are applied as a secondary phase (reinforcement) added to ceramic matrices, with the intention to improve the fracture toughness and sinterability of these materials. However, there are some problems associated with the use of nanocrystalline ceramic powders, in particular the difficulty of eliminating aggregates and agglomerates, as well as the difficulty of compaction and control of grain growth during the sintering process. Starting in the 1990s, a number of companies developed easily compressible ZrO₂ nanosized powders, stabilized with 3 mol% Y₂O₃, with added binders [6, 7]. Due to this scenario, a growing research interest exists to control grain growth during sintering and to evaluate the effects on the mechanical properties.

Complete densification of 3Y-TZP ceramics using micrometric or sub-micrometric powders can be achieved at sintering temperatures of 1500 °C or higher and isothermal holding periods. As a result, the increased diffusion may cause grain growth of the ZrO₂ grains and lead to a loss of stability of the tetragonal phase, even allowing for heterogeneous grain growth. In consequence, a population of low tetragonality t′-ZrO₂ grains is generated [8, 9] with a concomitant loss of transformation ability (fracture toughness) when the material is subject to tensile stresses at the crack tip of a propagating crack. The use of nanosized ceramic powders is of great scientific and technological interest due to their increased sinterability, which may reduce the sintering temperature and/or time, also resulting in extremely fine-grained microstructures with improved mechanical properties. In the case of ZrO₂-based ceramics, using nanosized particles, an improvement of the fracture toughness by microcracking has been reported [6-9]. Therefore, the sintering cycle has to be carefully chosen in order to take advantage of the unique properties of nanostructured materials. Previous studies [9, 10] indicate that the effectiveness of the t→m phase transformation of
Y-TZP ceramics is associated with the transformability of the tetragonal grains present in the sintered material. This transformability is associated with shearing stresses present in the tetragonal anisotropic phase, which is more pronounced for structures with a high ratio of the lattice parameters ‘c’ and ‘a’. Thus, maximizing the fraction of transformable tetragonal grains in the region ahead of the crack tip allows for increased fracture toughness, and specifically for 3Y-TZP ceramics, grain sizes between 0.1 and 1.0 µm should be targeted [9], as grain sizes outside this range notably present low transformability or spontaneous transformation [9].

In this work, the aim was to specifically investigate the effects of the sintering parameters on a 3Y-TZP nanoparticulate powder that allows for the unique metastability of highly transformable ZrO₂-tetragonal grains, developing microstructures with an average grain size smaller than 1 µm and correlating the densification, amount of tetragonal ZrO₂ phase, and microstructure (grain growth) with the resulting mechanical properties of low-temperature sintered 3Y-TZP ceramics.

**EXPERIMENTAL PROCEDURE**

The starting powder used was a commercial nanosized 3Y-TZP powder (TZ-3YE, Tosoh) with a specific surface of 16.2±2.0 m²/g, an average crystallite size of 40 nm, and containing 3.6 wt% of binder.

_Dilatometry and sintering of Y-TZP samples: 3Y-TZP bars (4x4x8 mm) and discs (Ø12x3 mm) were compacted by cold uniaxial pressing under applied pressures between 12 and 74 MPa for 60 s. The samples were sintered under two different conditions: a) the sinterability of the Y-TZP specimens was evaluated by dilatometry using a dilatometer (DIL-402C, Netzsch) under argon flux throughout the heating and cooling cycle, adopting a heating rate of 2 °C/min up to the maximum sintering temperature of 1250, 1300, 1350, or 1400 °C; the shrinkage was measured by a linear variable differential transducer (LVDT) with a sensitivity of 0.01 mm; and b) solid-state sintering, using an electrically heated furnace (F1650, Maitec), with a heating sensitivity of 0.01 mm; and conducting 30 measurements (n=30) for each sintering condition studied. Furthermore, the fracture toughness (Kc) was also measured by the crack length emanating from the Vickers indentation marks, as proposed by Niihara et al. [19]:

\[
K_c = 0.0089 \left( \frac{E}{HV} \right)^{1/2} \left( \frac{F}{L} \right)^{1/2} \left( \frac{a}{1} \right)^{1/2}
\]

where \( K_c \) is the fracture toughness (MPa.m⁰.5), \( L \) is the length of the crack, measured from the tip of the indentation until the tip of the crack (µm), \( a \) is the half-length of the indentation diagonal (µm), \( HV \) is the Vickers hardness (GPa), E is Young’s modulus of 3Y-TZP (195 GPa), and F is the indentation load used in the Vickers hardness test (N).

**RESULTS AND DISCUSSION**

_Characterization of starting-powder: Fig. 1 shows the X-ray diffraction (XRD) pattern, the compaction curve, and...
an SEM micrograph of the zirconia powder used. A majority of the tetragonal (t-ZrO$_2$) phase was found in the starting powder, as well as 18 vol% of the monoclinic (m-ZrO$_2$) phase (Fig. 1a). It can be noted from Fig. 1b that the material reached its maximum green density level for compaction pressures above 60 MPa, remaining constant for higher pressures. This behavior is believed to be the result of the binder present in the commercial powder. Furthermore, the SEM micrograph (Fig. 1c) shows that the starting powder consisted of spherical agglomerates with sizes ranging between 20 and 50 µm, which were possibly formed during a spray-drying process of the powders with 3.6 wt% of binder added in order to facilitate the compaction process of this powder.

Dilatometry: Fig. 2a presents the linear shrinkage and shrinkage rate of a ZrO$_2$ sample as a function of the sintering temperature up to 1400 °C, while Fig. 2b presents a comparison of the shrinkage rate of two samples compacted at the lowest and highest pressure of 12.3 and 73.5 MPa investigated in this work and sintered at 1400 °C with an isothermal holding time of 60 min. It was observed that at temperatures between 200 and 400 °C, region I, a first shrinkage step occurred, corresponding to the elimination of the organic binder in the starting material. The highlighted region II comprised the effective onset of the densification process, where the solid-state sintering mechanisms acted eliminating porosity. In microparticulate Y-TZP ceramics, this region where densification usually begins is close to 1150 °C [20], while in nanocrystalline materials with a particle size of about 40 nm, neck formation, and consequent densification and shrinkage occurred at temperatures close to 980 °C. It can be noted that before the sintering isotherm begins, the effective shrinkage gain with increasing compaction pressure was about 2-3%. Thus, for further studies in this work, a compaction pressure of 73.5 MPa for the preparation of samples was chosen.
Characterization of sintered bodies: the relative density and residual porosity results of the Y-TZP samples as a function of the sintering temperature are shown in Fig. 3. The extremely fine, nanometric particle size of the zirconia starting powder used allowed for high densification to be reached during sintering. Under all sintering temperatures studied, relative densities higher than 92% were achieved, and for the sintering temperatures of 1350 and 1400 °C, all samples exhibited relative density higher than 98%, even without isothermal holding (Fig. 3a). At lower temperatures, 1250 and 1300 °C, relative densities exceeding 98% were only obtained after an isothermal treatment for 16 or 8 h.

Figure 3: Relative density (a) and porosity (b) of 3Y-TZP sintered samples as a function of sintering temperature and isothermal holding time.

Figure 4: XRD patterns of 3Y-TZP samples sintered at 1250 °C (a), 1300 °C (b), 1350 °C (c), and 1400 °C (d), with isothermal holding times of 0, 2, 4, 8, and 16 h.
respectively. Similarly, the residual porosity (Fig. 3b) was progressively reduced with increasing temperature and isothermal holding time.

The X-ray diffraction patterns of samples sintered at different temperatures and isothermal holding times are shown in Fig. 4. Similar diffraction patterns are observed in Fig. 4 for all samples showing only diffraction peaks of the tetragonal ZrO$_2$ phase, independently of the sintering temperature or holding time investigated. Furthermore, no monoclinic ZrO$_2$ was detected after sintering, indicating the complete conversion of this phase present in the starting powder (Fig. 1a) into tetragonal ZrO$_2$. The results of the Rietveld refinement for the t-ZrO$_2$ lattice parameters and the calculated tetragonality of samples sintered at 1250 °C/0 h, 1350 °C/8 h, and 1400 °C/16 h are summarized in Table I. Scott [21], reporting on the ZrO$_2$-Y$_2$O$_3$ binary system, indicates that ZrO$_2$ ceramics stabilized with 3 mol% Y$_2$O$_3$ present the tetragonal phase with some associated cubic phase. However, in recent studies [17, 18], mathematical models associated with the X-ray diffraction technique propose that an intermediate phase called t'-ZrO$_2$, composed of grains containing network parameters ‘c’ approaching ‘a’, can coexist with the stabilized t-ZrO$_2$ phase when 3Y-TZP is sintered at temperatures and times that allow for the migration of a part of Y$_2$O$_3$ to specific grains t’, reported as ‘semi-cubic’. In this way, a modification of the original unit cell structure may occur, which changes the relationship between the parameters c and a of certain grains. Thus, after determining the crystallographic parameters of sintered materials at different temperatures and holding times, the results shown in Table I indicated that the tetragonality (c/a√2) remained constant with values of c/a√2 of 1.015, regardless of the sintering conditions. As a result, the tetragonality of the grains was not significantly altered by the sintering temperature and holding time studied.

Fig. 5 shows representative micrographs of samples sintered at 1250 °C for 0 h (a), 2 h (b), 4 h (c), 8 h (d), and 16 h (e); and 1400 °C for 0 h (f), 2 h (g), 4 h (h), 8 h (i), and 16 h (j).

Table I - Rietveld refinement of 3Y-TZP crystal structure after sintering at different temperatures.

| Parameter | 1250 °C - 0 h | 1350 °C - 8 h | 1400 °C - 16 h |
|-----------|---------------|---------------|---------------|
| Lattice parameters: tetragonal ZrO$_2$ (t-ZrO$_2$); space group $P4_2/nmc$ (137) | a= 3.5956 Å, c= 5.1601 Å, V= 66.711 Å$^3$ | a= 3.5913 Å, c= 5.1570 Å, V= 66.513 Å$^3$ | a= 3.5904 Å, c= 5.1548 Å, V= 66.451 Å$^3$ |
| Tetragonality (c/a√2) [17, 18] | 1.0148 | 1.0154 | 1.0152 |
| $\chi^2$ | 2.58 | 4.08 | 5.72 |

Figure 5: SEM micrographs of Y-TZP samples sintered at: 1250 °C for 0 h (a), 2 h (b), 4 h (c), 8 h (d), and 16 h (e); and 1400 °C for 0 h (f), 2 h (g), 4 h (h), 8 h (i), and 16 h (j).
Mechanical properties: In Fig. 7a, the Vickers hardness, and in Fig. 7b, the fracture toughness of sintered samples are presented as a function of the sintering parameters adopted. Furthermore, the relationship between the number of grains per unit area (grain density) and the isothermal holding time is shown in Fig. 7c. A slight increase in hardness was observed with increasing duration of the isotherm for all sintering temperatures (Fig. 7a). This behavior was associated with the increase in densification and reduction in porosity, as illustrated in Fig. 3. Porosity exponentially reduces the hardness of the material, and therefore, its elimination improves the final hardness of the sintered body. Finally, maximum hardness ranged from 1250 to 1300 HV, which are typical values for Y-TZP-based ceramics [29, 30]. The fracture toughness (Fig. 7b) also increased with increasing sintering temperature and isothermal holding time. The fracture toughness of zirconia-based ceramics is associated with three material characteristics: i) tetragonal phase content, ii) ZrO$_2$ grain size, and iii) residual porosity. Microstructural analysis revealed average grain sizes ranging from 0.18 to 0.22 µm for sintered materials without an isothermal threshold and 0.45 to 0.64 µm for prolonged isothermal treatments. Different authors claim, based on previous studies, that dense, mostly tetragonal 3Y-TZP ceramics may have grains with different degrees of toughening effect by the t→m phase transformation [31-34]. Specifically, when the grain size is less than or near 100 nm, the grains are highly metastable, tending to remain tetragonal even when exposed to a stress field generated by the crack propagation, thus without undergoing the t→m transformation toughening mechanism. On the other hand, materials with zirconia grains larger than 1 µm tend not to exhibit thermodynamic metastability, leading to a spontaneous phase transformation or, in some cases, to a further transformation into the cubic phase, with depletion of Y in neighboring grains and generation

![Graph](image_url)
of monoclinic ZrO$_2$. Consequently, materials exhibiting an average grain size close to these two limits tend to have reduced mechanical properties, especially with regard to fracture toughness. Fig. 7c shows the grain density as a function of sintering temperature and time. It was noted that without isotherm or for short isothermal periods, the number of grains per area was higher, regardless of the temperature. However, as already shown, many of these grains were of a very small size. For isothermal holding times longer than 4 h, the grain density tended to stabilize, with grain sizes ranging from 0.35 to 0.64 µm. The third factor that significantly influences the fracture toughness of Y-TZP ceramics is the residual porosity (Fig. 3b). In the case of our work, an inverse proportional behavior of fracture toughness with the reduction of porosity was found, as confirmed by other works [35-37].

It is well established that the fracture toughness of tetragonal zirconia (Y-TZP) based ceramics is the result of its peculiar t→m phase transformation mechanism [9, 38]. This transformation occurs basically in two stages: transition of the tetragonal into the monoclinic structure due to the displacement of the Zr$^{4+}$ ions, and the diffusion of oxygen ions to the oxygen sites in the monoclinic structure, causing monoclinic, longitudinal sheets grow within the tetragonal grain, just as they grow laterally due to the lateral migration of O$^{2-}$ ions [39]. As a crack propagates, tensile stress is associated with the crack opening. The tetragonal grains adjacent to the crack tip undergo compression and create a stress zone associated with the applied mechanical stress. The variation of the total free energy for the transformation to occur is the energy balance between the variation of the free chemical energy, variation of surface free energy, and density of interaction energy (associated with the application of external energy) [40, 41]. In the specific case of this work, for all sintered samples studied, the mechanical tests were carried out at room temperature, the chemical composition referring to the alloying oxide (3 mol% Y$_2$O$_3$) remained constant, and the energy of interaction was considered constant because the same indentation load was adopted in the tests. Thus, the transformation occurred mainly due to the factor related to the free surface energy; in other words, the tetragonal microstructure was the dominating factor in the transformation.

Considering that the t-ZrO$_2$ grains were the main parameter responsible for the fracture toughness of Y-TZP ceramics, the extent of the transformation region (shielding) around the crack tip was directly linked to the volumetric fraction of transformable t-ZrO$_2$ grains. In the specific case of this work, we adopted a microstructural design that allowed for a complete formation of transformable tetragonal grains, confirmed by the X-ray diffraction analysis that indicated a stable tetragonality of the grains, regardless of the sintering conditions adopted. As the tetragonality was not influenced within the limits of this study, the shear stress and consequent deformation induced by twinning did not significantly affect toughness. The results indicated that porosity was progressively reduced in the sintered Y-TZP ceramics due to the increase in temperature and isothermal holding time. Furthermore, it is expected that the elastic modulus undergoes proportional reduction with increasing porosity and that porosity reduces the effective phase transformation zone around the crack tip (shielding) because they represent voids which retain part of the cascade effect of shearing or compressive stresses resulting from transformed grains located in this region. The increment of toughness by plane
deformation ($\Delta K_c$) can be expressed by [42, 43]:

$$\Delta K_c = 0.22 f E \varepsilon t \frac{\sqrt{h}}{1-\nu} \quad (E)$$

where $f$ is the volume fraction of the tetragonal phase in the transformation zone, $E$ is Young’s modulus, $\varepsilon t$ the dilatational deformation involved, $h$ the size of the transformation zone, and $\nu$ the Poisson’s ratio. According to this model, considering the microstructure and the porosity of the Y-TZP ceramics developed in this work, it can be stated that sintering at lower temperatures and shorter holding times results in higher porosity and smaller average grain size and therefore a reduction in the parameters $f$, $E$, and $h$ (Eq. E). In addition, as there are no variations in the tetragonality and, therefore, the transformability of tetragonal grains can be considered similar, the parameter $\varepsilon t$ can be considered constant, as well as the Poisson’s ratio. On the other hand, the increase in the size of the tetragonal grains, on this dimensional scale, leads to a small increase in the effective transformation zone around the crack tip. Thus, within the experimental limits of this article, the toughening and, consequently, the fracture toughness of these materials containing smaller grain size and greater porosity is theoretically lower than dense materials with larger zirconia grains, corroborating the results presented in Fig. 7b.

CONCLUSIONS

The fracture toughness of ceramics obtained from a nanocrystalline 3Y-TZP powder and sintering between 1250 and 1400°C was investigated. Samples sintered at 1250°C showed relative densities between 92% and 98%, depending on the isothermal holding time. For sintering at 1350 and 1400°C, all samples exhibited relative density higher than 98%, independent of an additional isothermal holding. The analysis by Rietveld’s refinement indicated that the only crystalline phase present under all sintering conditions studied was t-ZrO$_2$, whose tetragonality enabled high transformability during activation of the t-\(m\) transformation toughening mechanism. In addition, the microstructural analysis revealed that the average grain sizes ranged from 0.18 to 0.22 µm for sintered materials without an isothermal threshold and 0.45 to 0.64 µm for prolonged isothermal treatments of 16 h. The hardness increased proportionally with the reduction of the residual porosity, while the fracture toughness presented a progressive increase related to the increase in the sintering temperature as well as in the isothermal sintering (4 to 8 MPa.m$^{1/2}$). This behavior was due to the increase in the effective transformation zone around the crack tip, which is reported to increase with increasing average grain size, without losing its transformability, as well as the gradual reduction of residual porosity. Thus, an efficient strategy to densify nanoparticulate powders at low temperatures, obtaining a high fracture toughness, may be the use of isothermal holding times that result in refined and highly transformable microstructures.

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