MCSE: Multimodal Contrastive Learning of Sentence Embeddings

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Abstract
Learning semantically meaningful sentence embeddings is an open problem in natural language processing. In this work, we propose a sentence embedding learning approach that exploits both visual and textual information via a multimodal contrastive objective. Through experiments on a variety of semantic textual similarity tasks, we demonstrate that our approach consistently improves the performance across various datasets and pre-trained encoders. In particular, combining a small amount of multimodal data with a large text-only corpus, we improve the state-of-the-art average Spearman’s correlation by 1.7%. By analyzing the properties of the textual embedding space, we show that our model excels in aligning semantically similar sentences, providing an explanation for its improved performance.

1 Introduction
Sentence embedding learning, i.e., encoding sentences into fixed-length vectors that faithfully reflect the semantic relatedness among sentences, is a fundamental challenge in natural language processing (NLP). Despite the tremendous success of pre-trained language models (PLMs), such as BERT (Devlin et al., 2019) and RoBERTa (Liu et al., 2019), it has been shown that the off-the-shelf sentence embeddings of PLMs without fine-tuning are even inferior to averaging Glove embeddings (Pennington et al., 2014) in terms of semantic similarity measure (Reimers and Gurevych, 2019). Hence, recent research (Li et al., 2020; Zhang et al., 2020; Su et al., 2021) focuses on adjusting the original sentence embeddings derived from PLMs in an unsupervised manner. In particular, there has been growing interest in adopting contrastive learning objectives to achieve this goal (Carlsson et al., 2020; Kim et al., 2021; Gao et al., 2021).

Although purely text-based models have led to impressive progress, it remains an open question to what extent they capture the deeper notion of sentence meaning beyond the statistical distribution of texts, which lies outside of the text and is grounded in the real-world (Bender and Koller, 2020; Bisk et al., 2020). As a central part of the human perceptual experience, vision has been shown to be effective in grounding language models and improving performance on various NLP tasks (Zhang et al., 2019; Bordes et al., 2019; Zhao and Titov, 2020). We hypothesize that using vision as supplementary semantic information can further promote sentence representation learning.

In this work, we propose MCSE, an approach for multimodal contrastive learning of sentence embeddings. To exploit both visual and textual information, we adopt the state-of-the-art contrastive sentence embedding framework SimCSE (Gao et al., 2021) and extend it with a multimodal contrastive objective. In addition to the textual objective in SimCSE that maximizes agreement between positive sentence pairs, the multimodal objective maximizes agreement between sentences and corresponding images in a shared space. We conduct extensive experiments on standard Semantic Textual Similarity (STS) benchmarks and show the effectiveness of MCSE across various datasets and pre-trained encoders. We find that, using a small amount of multimodal data in addition to a text-only corpus yields significant improvements on STS tasks. By analyzing the alignment and uniformity properties of the embedding space (Wang and Isola, 2020), we show that MCSE better aligns the semantically similar sentences while maintaining uniformity, providing an explanation for its superior performance.1

2 Related Work

Sentence Representation Learning. Existing works for learning sentence embeddings can be

1Our code and pre-trained models are publicly available at https://github.com/uds-lsv/MCSE.
categorized into supervised (Conneau et al., 2017; Cer et al., 2018; Reimers and Gurevych, 2019; Wieting et al., 2020) and unsupervised approaches (Li et al., 2020; Carlsson et al., 2020; Su et al., 2021; Kim et al., 2021; Gao et al., 2021; Liu et al., 2021; Yan et al., 2021). Supervised approaches mostly utilize supervision from annotated natural language inference data or parallel data. Unsupervised approaches are able to make use of the intrinsic semantic information embedded in the natural language text corpus by adjusting the training objective to STS tasks, thereby eliminating the need for a costly annotation process. In particular, contrastive learning objective (Carlsson et al., 2020; Kim et al., 2021; Gao et al., 2021; Liu et al., 2021; Yan et al., 2021) regularizes the embedding space by pulling positive (i.e., semantically similar) sentences closer and pushing apart negatives, showcasing great effectiveness in capturing the semantic similarity among sentences. Our approach adopts the contrastive learning framework and is built on top of the current state-of-the-art approach (Gao et al., 2021), further pushing the frontier of STS by leveraging multimodal semantic information.

**Visually Grounded Representation Learning.** There are various works showing that grounding NLP models to the visual world can improve textual representation learning. Lazaridou et al. (2015) and Zablocki et al. (2018) learn word embeddings by aligning words to the visual entity or visual context. Kiela et al. (2018) ground sentence embeddings by predicting both images and alternative captions related to the same image. Bordes et al. (2019) enhance the Skip-Thought model (Kiros et al., 2015) by learning a grounded space that preserves the structure of visual and textual spaces.

Recently, Tan and Bansal (2020) and Tang et al. (2021) train large scale language models with multimodal supervision from scratch with the goal of improving general language understanding. Different from the aforementioned works, we focus on learning visually grounded sentence embeddings by fine-tuning pre-trained models in a contrastive learning framework.

### 3 Method

To exploit both visual and textual information, we adopt SimCSE (Gao et al., 2021) as the textual baseline and extend it with a multimodal contrastive learning objective.

#### 3.1 Background: Unsupervised SimCSE

Data augmentation plays a critical role in contrastive self-supervised representation learning (Chen et al., 2020). The idea of unsupervised SimCSE is to use dropout noise as a simple yet effective data augmentation strategy. Given a collection of sentences \( \{x_i\}_{i=1}^n \), we construct a positive pair for each input \( x_i \) by encoding it twice using different dropout masks: \( \phi_i(x_i, z) \) and \( \phi_i(x_i, z') \), where \( z \) and \( z' \) denote different dropout masks\(^2\), \( \phi_i(\cdot) \) is a pre-trained language encoder such as BERT, and \( g_\phi(\cdot) \) is a projection head\(^3\) on top of the [CLS] token. The training objective is:

\[
\ell_i^S = - \log \frac{e^{\text{sim}(h_i^z, h^z_i)/\tau}}{\sum_{j=1}^N e^{\text{sim}(h_i^z, h^z_j)/\tau}},
\]

\(^2\)The standard dropout masks in Transformers are used.

\(^3\)There is a MLP pooler layer over [CLS] in BERT’s implementation. Gao et al. (2021) use it with re-initialization.
### 4 Experiments

#### 4.1 Setup

**Dataset** We use Flickr30k (Young et al., 2014) and MS-COCO (Lin et al., 2014) as our multimodal datasets. Flickr30k contains 29,783 training images and MS-COCO contains 82,783 training images. Each image is annotated with multiple captions and we randomly sample only one caption to create image-sentence pairs. Following Gao et al. (2021), we use Wiki1M as the text-only corpus, which consists of 10^6 sentences randomly drawn from English Wikipedia.

**Implementation Details** We use BERT\textsubscript{base} (Devlin et al., 2019) and RoBERTa\textsubscript{base} (Liu et al., 2019) as language encoders and ResNet-50 (He et al., 2016) as the image encoder. Distinct single-layer MLPs are applied as projection heads. More details are provided in Appendix A.

**Evaluation** We evaluate the trained models on seven Semantic Textual Similarity (STS) tasks: STS 2012-2016 (Agirre et al., 2012, 2013, 2014, 2015, 2016), STS Benchmark (Cer et al., 2017) and SICK-Relatedness (Marelli et al., 2014). Each of these datasets consists of a collection of sentence pairs and the goal is to predict a similarity score for each sentence pair. Following Gao et al. (2021), we report the Spearman’s correlation (×100) between individual sentence pairs.

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**Table 1:** Performance comparison on STS tasks. STS-B: STS Benchmark, SICK-R: SICK-Relatedness, Avg.: average across 7 tasks. ♦: single seed results from Gao et al. (2021). All other results are from our implementation. Models are trained with 5 random seeds and we report the means and standard deviations.

| Model                  | STS12 | STS13 | STS14 | STS15 | STS16 | STS-B  | SICK-R  | Avg.↑   |
|------------------------|-------|-------|-------|-------|-------|--------|---------|--------|
| BERT (first-last avg.) | 39.7  | 59.4  | 49.7  | 66.0  | 62.1  | 567    |         |        |
| RoBERTa (first-last avg.) | 40.9  | 57.8  | 49.1  | 65.6  | 61.5  | 58.6  | 61.6    | 56.6   |
| SimCSE-BERT            | 68.4  | 82.4  | 74.4  | 80.9  | 78.6  | 76.9   | 72.2    | 76.3   |
| SimCSE-RoBERTa        | 70.2  | 81.8  | 73.2  | 81.4  | 80.7  | 80.2   | 68.6    | 76.6   |
| SimCSE-BERT           | 67.8±1.6 | 80.0±2.1 | 72.5±1.7 | 80.1±2.0 | 77.6±1.0 | 76.5±1.0 | 70.1±0.9 | 74.9±1.1 |
| SimCSE-RoBERTa        | 68.7±1.10 | 82.0±0.05 | 74.0±1.0 | 82.1±0.4 | 81.1±0.4 | 80.6±0.3 | 69.2±0.2 | 76.8±0.5 |
| SimCSE-BERT           | 69.4±1.7 | 79.8±1.5 | 72.9±0.9 | 81.9±0.8 | 77.8±1.9 | 76.5±1.1 | 70.8±0.8 | 75.4±0.9 |
| MCSE-BERT             | 71.4±0.9 | 81.8±1.3 | 74.8±0.9 | 83.6±0.9 | 77.5±0.8 | 79.7±0.5 | 72.6±1.4 | 77.3±0.5 |
| SimCSE-RoBERTa        | 69.5±0.9 | 81.6±0.5 | 74.1±0.6 | 82.4±0.3 | 80.9±1.5 | 79.9±0.3 | 67.3±0.5 | 76.5±0.4 |
| MCSE-RoBERTa          | 71.7±0.2 | 82.7±0.4 | 75.5±0.2 | 84.0±0.4 | 81.3±0.3 | 82.3±0.5 | 70.3±1.3 | 78.3±0.1 |

*: difference between SimCSE and MCSE is significant at α = 0.05 according to an independent t-test.
gold annotations and predicted scores in the “all” setting, i.e., for each task, we concatenate all the subsets and report the overall Spearman’s correlation.

4.2 Main Results

Augmenting text-only corpus with small scale multimodal data yields significant improvements. To fully utilize different types of data resources, we conduct experiments with a text-only corpus and multimodal data. SimCSE is trained on sentences and captions only, while MCSE additionally computes the multimodal objective for image-caption pairs. As shown in Table 1, averaging the off-the-shelf BERT and RoBERTa embeddings yields poor performance on STS tasks. SimCSE models significantly outperform the average embeddings. MCSE models, which have access to auxiliary visual information, further achieve noticeable improvements even if the amount of multimodal data is relatively small. When MCSE is applied to the combination of Wiki1M and Flickr30k, it improves the state-of-the-art result for BERT (76.3 → 77.3) and RoBERTa (76.6 → 78.3) by a decent margin. Looking at performance on the individual tasks, we find that MCSE models using BERT encoder perform worse on STS16. This can be attributed to the domain discrepancy, where some subsets that are close to the training distribution benefit more from visually grounding than others (see Appendix B.1).

To further investigate the impact of different datasets, we train models solely on multimodal data and report results in Table 2. We observe that, without the large text-only corpus, the performances decrease considerably compared to results in Table 1. Still, MCSE models consistently surpass SimCSE models (0.9 – 3.8 points improvement). Moreover, replacing the paired images with shuffled images before training MCSE leads to 0.8 – 5.0 points reduction in terms of average Spearman’s correlation, further validating the efficacy of visual semantics. We also replace the ResNet encoder with CLIP (Radford et al., 2021) and our results show that different image encoders lead to similar results. Details are shown in Appendix B.2.

Grounding to the visual world improves alignment and maintains uniformity. To dissect the inner workings of MCSE, we use two quantifiable metrics proposed in Wang and Isola (2020): alignment and uniformity, as measurements of representation quality. Let \( p_{\text{pos}} \) denote the positive pairs distribution and \( p_{\text{data}} \) denote the data distribution. The alignment loss prefers encoders that assign similar features to semantically similar instances (assuming features have been normalized):

\[
\mathcal{L}_{\text{align}} \triangleq \mathbb{E}_{(x,x^+) \sim \mathcal{P}_{\text{pos}}}(\|f(x) - f(x^+)\|_2^2). \tag{5}
\]

And the uniformity loss prefers a uniform distribution in the hypersphere:

\[
\mathcal{L}_{\text{uniform}} \triangleq \log \mathbb{E}_{x,y \sim \mathcal{P}_{\text{data}}} e^{-2\|f(x) - f(y)\|_2^2}. \tag{6}
\]

Gao et al. (2021) empirically showed that sentence embedding models with both lower alignment and uniformity achieve better performance in general. Similarly, we calculate the two losses on STS-B.

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Table 2: Comparison of the average Spearman’s correlation on 7 STS tasks (Avg. column in Table 1). We report the means and standard deviations over 5 seeds.

| Model                | Trained on |
|----------------------|------------|
| SimCSE-BERT          | flickr     |
|                      | coco       |
| Avg. BERT            |            |
| SimCSE-BERT w/ shuffling | 78.9 ± 0.3 |
| SimCSE-RoBERTa       | 72.8 ± 0.3 |
| SimCSE-RoBERTa w/ shuffling | 73.8 ± 0.2 |
| SimCSE-BERT w/ shuffling | 73.0 ± 0.4 |

*: difference between SimCSE and MCSE is significant.

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Figure 2: The alignment-uniformity plot of models when using BERT encoder. Colors of dots represent the average Spearman’s correlation.

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5We take STS-B pairs with a score higher than 4.0 as \( p_{\text{pos}} \) and the full STS-B as \( p_{\text{data}} \). Since Gao et al. (2021) did not
and results are presented in Figure 2. It shows that MCSE models achieve better alignment scores compared to SimCSE while maintaining uniformity. This analysis provides further support that visually grounding can enhance sentence representation learning by improving the alignment property of the textual embedding space.

4.3 Analysis

For brevity, we take BERT-based models trained merely on caption datasets and investigate the impact of training data scales. More analysis results (sentence retrieval, cross-modal retrieval) are provided in Appendix B.3. We limit the number of training samples to 100, 500, 1000, 5000 and 10000, and compare their performance with the full set performance. In all of these settings, we optimize the models for same number of training steps as the full set setting. The results are shown in Figure 3. SimCSE achieves better performance than MCSE with limited samples, while MCSE starts to outperform SimCSE with the increasing data scale. We conjecture that this phenomenon can be ascribed to the progressive training of weights in multimodal projection heads.

5 Limitations

Despite showing performance improvements on STS benchmarks, MCSE has its limitations as well. We take caption datasets as the source of multimodal information, while these datasets are collected and curated with non-negligible human efforts. It will have great practical value if we can properly leverage noisy image-sentence pairs or even get rid of the explicit alignments between images and sentences. Furthermore, we find that only subsets from related domains can get significant improvements while others suffer from distribution shifts. It is critical to mitigate domain gaps for learning general-purpose sentence embeddings. In addition, the definition of “semantic similarity” is highly task-dependent. Besides STS benchmarks, it is worth exploring the performance gap between text-only models and multimodal models on other benchmarks that can also assess the quality of sentence representations.

6 Conclusion

In this paper, we propose MCSE, a novel approach for sentence embedding learning that applies a multimodal contrastive objective to align sentences and corresponding images in a grounded space. Experiments show that MCSE consistently improves the performance on STS tasks. We also highlight the superiority of our method by analyzing the alignment and uniformity properties of the embedding space. The multimodal objective is generic and can be potentially incorporated into other sentence embedding methods to boost their performance.

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A Implementation Details

**Language Encoder** Our implementation is based on the Hugging Face Transformers library\(^6\) (Wolf et al., 2020). We start from the checkpoints of bert-base-uncased and roberta-base, and fine-tune the pre-trained models using a contrastive objective function. We use the 768-dimensional [CLS] token outputs before the MLP pooler layer as sentence embeddings for evaluation.

**Image Encoder** We use ResNet-50 and extract 2048-dimensional feature vectors at the last layer. The image encoder is not fine-tuned.\(^7\)

**Projection Heads** We use distinct projection heads for different modalities and objectives. All of them are implemented by single-layer MLPs with Tanh activation. We map sentence embeddings to a 768-dimensional space before calculating the textual objective. We map both sentence embeddings and image feature vectors to a 256-dimensional shared space, and normalize them before calculating the multimodal objective.

**Parameter Settings** We explore 5 training settings in the paper: {wiki, wiki+flickr, wiki+coco, flickr, coco}. For wiki+flickr and wiki+coco, we sample mini-batches from either Wiki1M or the caption dataset in proportion to their data size. We adopt most of the parameter settings suggested by Gao et al. (2021). Moreover, temperature parameters \(\tau\) and \(\tau'\) are set to 0.05, and other hyperparameters are reported in Table 3. We use the dev set of STS-B to tune the trade-off parameter \(\lambda\) and ablation studies are shown in Table 4. We evaluate models every 125 training steps on STS-B dev set and keep the best checkpoint for final evaluation.

| settings | wiki | wiki+flickr | wiki+coco | flickr | coco |
|----------|------|-------------|-----------|--------|------|
| BERT     |      |             |           |        |      |
| learning rate | 3e-5 |             |           |        |      |
| batch size    | 64  |             |           |        |      |
| \(\lambda\)   | 0.01 | 0.01        | 0.05      | 0.05   |      |
| epochs        | 3   | 3           | 3         | 6      | 3    |

| settings | wiki | wiki+flickr | wiki+coco | flickr | coco |
|----------|------|-------------|-----------|--------|------|
| RoBERTa  |      |             |           |        |      |
| learning rate | 1e-5 |             |           |        |      |
| batch size    | 128 |             |           |        |      |
| \(\lambda\)   | 0.01 | 0.01        | 0.01      | 0.01   |      |
| epochs        | 3   | 3           | 3         | 6      | 3    |

Table 3: The hyperparameters used for different training settings and pre-trained encoders.

| \(\lambda\) | 0.001 | 0.01 | 0.05 | 0.1  | 0.5  |
|-------------|-------|------|------|------|------|
| MCSE-BERT   | 78.38 | 79.95| 80.41| 80.35| 80.01|
| MCSE-RoBERTa| 80.60 | 81.48| 81.08| 80.73| 79.85|

Table 4: STS-B performance of MCSE models trained on Flickr30k with different trade-off parameters.

B More Results

B.1 Improvements on Different Subsets
To delve into the performance gap between MCSE-BERT and SimCSE-BERT, we calculate the Spearman’s correlation for different subsets of each year’s STS challenge separately. The improvements of MCSE over SimCSE are shown in Figure 4. In STS12, "MSRvid" subset achieves the largest improvement, which is a corpus of video descriptions. "Image" subsets in STS14 and STS15 also get considerable improvements. Meanwhile, the performance of "answers-students" subset in STS15 drops extensively, and none of the subsets in STS16 get noticeable improvement by MCSE. The results indicate that the subsets benefit to different degrees from the visually grounding because of domain discrepancy.

B.2 Ablation Study
**CLIP as Image Encoder** We use CLIP (Radford et al., 2021) as an alternative image encoder. The implementation is based on the Sentence Transformer library\(^8\) (Reimers and Gurevych, 2019) and we use the checkpoint clip-ViT-B-32 to extract 512-dimensional feature vectors. As shown in Table 7, different image encoders lead to very similar results, thus we use ResNet as the default image encoder.

**Combining Wiki1M, Flickr30k and MS-COCO** We adopt the same parameter setting as wiki+flickr and wiki+coco, and train models on the combination of Wiki1M, Flickr30k, and MS-COCO. As shown in Table 5, MCSE models achieve 1.9 point and 2.6 point improvements when using BERT and RoBERTa, respectively.

B.3 Analysis
**Sentence Retrieval** We take BERT-based models trained on the Flickr30k train set (same seed) and conduct a sentence retrieval experiment on Flickr30k test set. Given an input sentence, the nearest neighbor will be retrieved based on cosine

\(^6\)https://github.com/huggingface/transformers
\(^7\)In our preliminary results, fine-tuning the image encoder does not have a significant impact on the STS performance.
\(^8\)https://github.com/UKPLab/sentence-transformers
Figure 4: The Spearman’s correlation improvements over different subsets.

| Model          | Trained on               | STS12 | STS13 | STS14 |
|----------------|--------------------------|-------|-------|-------|
| SimCSE-BERT    | wiki+flickr+coco         | 74.3±1.0 | 76.2±0.3 |       |
| MCSE-BERT      | wiki+flickr+coco         | 75.3±0.3 | 77.9±0.6 |       |

Table 5: Comparison of the average Spearman’s correlation of 7 STS tasks. We report the means and standard deviations over 5 random seeds.

similarity. Some retrieval examples are shown in Table 8. We observe that (1) SimCSE is prone to retrieving sentences with similar syntax, while MCSE can retrieve sentences that vary in syntax and share semantics. Examples: Q1, Q3, Q6. (2) MCSE is better at recognizing similar event scenes and capturing the number of entities. Examples: Q2, Q4, Q5.

Cross-Modal Retrieval We take BERT-based models (same seed) and conduct cross-modal retrieval experiments. We use the metric Recall@K, which is calculated based on if the ground truth of the query image or caption appears in the top-K retrieved captions or images. As results in Table 6 show, MCSE models also achieve a decent level of retrieval performance as a by-product of multi-modal contrastive learning.

| Model           | image → text | text → image |
|-----------------|--------------|--------------|
|                 | R@1          | R@5          | R@1          | R@5          |
| MCSE-BERTwiki+flickr | 16.7          | 43.5          | 22.5          | 50.4          |
| MCSE-BERTflickr   | 20.4          | 50.2          | 23.8          | 52.5          |
| MCSE-BERTwiki+coco| 8.8           | 26.6          | 10.9          | 31.2          |
| MCSE-BERTcoco    | 8.2           | 25.2          | 9.0           | 27.1          |

Table 6: Multimodal retrieval results on Flickr30k test set (1k) and MS-COCO minival set (5k).
### Table 7: Performance comparison on STS tasks. STS-B: STS Benchmark, SICK-R: SICK-Relatedness, Avg.: average across 7 tasks. Models are trained with 5 random seeds and we report means and standard deviations.

| Model                  | STS12 | STS13 | STS14 | STS15 | STS16 | STS-B | SICK-R | Avg.↑ |
|------------------------|-------|-------|-------|-------|-------|-------|--------|-------|
| SimCSE-BERT            | 62.1±0.5 | 73.8±0.9 | 64.2±0.6 | 74.2±0.8 | 74.8±0.6 | 67.1±1.1 | 65.4±1.1 | 68.8±0.7 |
| MCSE-ResNet-BERT       | 63.6±0.7 | 74.0±0.9 | 65.5±1.1 | 75.5±0.2 | 71.6±0.4 | 74.0±0.4 | 69.8±0.3 | 70.6±0.5 |
| MCSE-CLIP-BERT         | 63.1±0.7 | 73.9±1.0 | 65.8±0.9 | 76.0±1.7 | 70.7±0.3 | 74.9±0.5 | 70.7±0.3 | 70.7±0.2 |
| SimCSE-RoBERTa         | 66.0±0.5 | 78.3±0.5 | 69.7±0.6 | 77.7±0.5 | 76.3±0.5 | 75.8±0.3 | 66.2±0.4 | 72.9±0.3 |
| MCSE-ResNet-RoBERTa    | 67.6±0.5 | 78.8±0.4 | 70.1±0.3 | 78.5±0.2 | 75.4±0.5 | 77.4±0.3 | 68.6±0.3 | 73.8±0.2 |
| MCSE-CLIP-RoBERTa      | 67.0±0.5 | 78.6±0.4 | 69.8±0.5 | 78.7±0.8 | 74.9±0.5 | 77.4±0.4 | 69.5±0.5 | 73.7±0.2 |

*: difference between SimCSE and MCSE (ResNet/CLIP) is significant at $\alpha = 0.05$ according to an independent t-test.