Home-Based Exercise Prehabilitation During Preoperative Treatment for Pancreatic Cancer Is Associated With Improvement in Physical Function and Quality of Life

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Abstract

Purpose: To investigate relationships among physical activity, changes in physical function, and health-related quality of life (HRQOL) among patients with pancreatic adenocarcinoma enrolled in a home-based exercise prehabilitation program.

Methods: Patients with resectable pancreatic adenocarcinoma receiving preoperative chemotherapy and/or chemoradiation were enrolled on this prospective, single-arm trial and were advised to perform ≥60 minutes each of moderate-intensity aerobic exercise and strengthening exercise weekly. Activity was measured via self-report and accelerometers, including moderate-to-vigorous physical activity (MVPA), light physical activity (LPA), and sedentary activity (SA). Physical function measures at baseline and restaging follow-up included 6-minute walk test (6MWT), 5 times sit-to-stand (5×STS), handgrip strength (HGS), 3-m walk for gait speed (GS), and the PROMIS Physical Function Short Form. HRQOL was measured via the FACT-Hep questionnaire.

Results: Fifty participants with mean age 66 years (standard deviation = 8 years) were enrolled. The 6MWT, 5×STS, and GS significantly improved from baseline to restaging follow-up (P=.001, P=.049, and P=.009, respectively). Increases in self-reported aerobic exercise, weekly MVPA, and LPA were associated with improvement in 6MWT (β=.19, P=.048; β=.18, P=.03; and β=.08, P=.03, respectively) and self-reported physical functioning (β=.02, P=.03; β=.03, P=.005; and β=.01, P=.02, respectively). Increased weekly LPA was associated with increased HRQOL (β=.03, P=.02). Increased SA was associated with decreased HRQOL (β=-.02, P=.01). Conclusions: Patients with potentially resectable pancreatic cancer exhibit meaningful improvement in physical function with prehabilitation; physical activity was associated with improved physical function and HRQOL. These data highlight the importance of physical activity during treatment for pancreatic cancer.

Keywords
prehabilitation, pancreatic cancer, preoperative exercise, physical function, outcome measures

Introduction

Pancreatic cancer is a leading cause of cancer-related death.1 Pancreatectomy can improve long-term survival for patients with resectable pancreatic cancer,2 but the complexity of surgery and its sequelae requires robust preoperative health and functional status. Patients with pancreatic cancer are generally older adults in whom frailty and/or sarcopenia are common, and those in whom surgery is anticipated increasingly undergo preoperative chemotherapy and chemoradiation therapy that may further diminish functional status.3–5 It

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is, therefore, important to develop strategies to optimize physical function and well-being concurrent with preoperative treatment, to improve treatment tolerance and readiness for surgery.

Preoperative exercise, a component of prehabilitation, is an increasingly common strategy to improve the outcomes of cancer treatment. Patients with various cancer diagnoses have demonstrated improvements in fitness, physical functioning, muscle strength, and health-related quality of life (HRQOL) from prehabilitation exercise programs. Improved fitness measured by cardiopulmonary exercise testing has been demonstrated in prehabilitation for patients undergoing surgery for lung cancer, liver resection for liver metastases, and colorectal cancer resection. Moreover, improved exercise capacity as measured by improvement in the 6-minute walk test (6MWT) has been demonstrated robustly in multiple cancer populations. Preservation of and/or improved muscle strength has been documented in patients undergoing surgery for lung, rectal, and prostate cancers. Preoperative exercise interventions have led to improvement in HRQOL among patients with colorectal and liver cancers. However, few patients cited in these studies received chemotherapy and/or radiation concurrent with prehabilitation.

Patients with pancreatic cancer undergoing preoperative treatment have an average age of 65 years and are often frail and/or sarcopenic at the time of diagnosis. Frailty, which is a syndrome characterized by weakness, slow gait, weight loss, exhaustion, and low activity, is prevalent among patients undergoing treatment for pancreatic cancer and has been associated with postoperative complications and reduced survival. Sarcopenia has also been associated with reduced survival, but improved disease-free survival may be observed in patients in whom skeletal muscle is preserved during treatment. Furthermore, patients receiving preoperative treatment may be at risk for further decline in physical function. Poor physical function has been associated with discharge to a rehabilitation, subacute, or long-term acute care facility following surgery. Physiologic performance also affects the types of chemotherapy regimens for which patients are eligible. Patients with favorable performance status may receive intensified or combination chemotherapy regimens and thus may have better cancer treatment outcomes.

The relationships among physical activity and changes in fitness, physical functioning, and HRQOL in this clinical context thus warrant investigation. To date, no studies have examined potential physiologic outcomes related to preoperative exercise among patients with pancreatic cancer, particularly in patients receiving preoperative chemotherapy and/or chemoradiation. The purpose of the current study was to investigate relationships between physical activity and both HRQOL and physical function among patients enrolled in a home-based prehabilitation exercise program while receiving preoperative treatment for pancreatic cancer. We hypothesized that exercise program adherence and physical activity would be positively associated with changes in physical function and HRQOL in these patients.

**Methods**

**Study Setting**

This was a single-arm, prospective trial conducted at The University of Texas MD Anderson Cancer Center, a comprehensive cancer center in Houston, TX (ClinicalTrials.gov identifier NCT02295956). The institutional review board approved all study activities (Protocol #2014-0702). Patients presenting with technically resectable pancreatic adenocarcinoma between February 2015 and January 2017 were screened for enrollment, and informed consent was obtained from all participants. Eligibility requirements included intended pancreatectomy for biopsy-positive pancreatic cancer; treatment plan including preoperative chemotherapy and/or chemoradiation followed by rest before final surgical evaluation; English fluency and telephone access; and willingness to engage in follow-up calls every 2 weeks and maintain daily exercise logs. Exclusion criteria included underlying and unstable cardiac or pulmonary disease or symptomatic cardiac disease (New York Heart Association functional class III or IV), acute musculoskeletal injury or fracture that affected exercise ability, intense pain (numeric rating ≥7 out of 10), or other disease that precluded unsupervised exercise.

Following recommendation and approval from medical or surgical oncologists, patients completed the Physical Activity Readiness Questionnaire and the Patient-Reported Outcomes Measurement Information System (PROMIS) Physical Function 12a Short Form screener question (“Can you walk 25 feet on a level surface, with or without support?”). Self-reported loss of balance, chest pain, dizziness, or loss of consciousness during physical activity and inability to walk 25 feet on a level surface were grounds for exclusion. Patients who reported musculoskeletal dysfunction that limited physical activity required clearance from a Physical Medicine and Rehabilitation physician.

**Exercise Prehabilitation Program**

The exercise program has been previously described in detail. The program was modeled after recommendations for cancer survivors from the American Cancer Society and the American College of Sports Medicine and modified to accommodate the possible activity limitations of patients undergoing simultaneous chemotherapy and/or chemoradiation. This was a home-based, multimodal exercise program throughout preoperative therapy (chemotherapy and/or chemoradiation and preoperative rest) until...
Preoperative surgical evaluation (Figure 1). Participants were advised to participate in at least 60 minutes per week of preferred, moderate-intensity aerobic exercise (e.g., brisk walking, elliptical trainers, or stationary bicycles) and at least 60 minutes per week of full-body strengthening exercises, divided into 2 sessions separated by at least 1 day. Study staff provided in-person demonstrations of the proper form for all strengthening exercises at the time of enrollment. Study staff called participants via phone at least once every 2 weeks to encourage adherence and monitor for adverse events. Participants completed daily exercise logs to record minutes of aerobic and strengthening exercise. Physical activity was objectively measured using accelerometers (ActiGraph GT3X+; ActiGraph, LLC, Pensacola, FL; 2011) that patients were encouraged to wear for 2 consecutive weeks at the approximate midpoint of each phase of treatment. Calculations of weekly moderate-to-vigorous physical activity (MVPA), light physical activity (LPA), and sedentary activity (SA) were based on the Freedson adult cutpoints as previously described.28,31 At least 10 hours of wear time on at least 7 days during each targeted 2-week period were required to include an accelerometer wear period in analyses. Analyses in the current study included weekly minutes of MVPA, LA, and SA averaged across each patient’s treatment phases. For example, a patient who underwent chemotherapy, chemoradiation therapy, and off-treatment “rest” prior to follow-up restaging would have 3 wear periods, with average activity from those 3 periods included in analyses.

Furthermore, all patients enrolled met with a registered dietician. The dietician provided individualized nutrition recommendations including, but not limited to, estimated daily calorie and protein goals and strategies for management of treatment or disease-related side effects. All patients were encouraged to consume a high-protein meal, snack, or supplement drink within an hour of completion of strengthening exercises.

Outcome Measures

Outcome measures were obtained at the time of enrollment (baseline) and at follow-up restaging visits during which final determinations about surgical resection were made. Objective physical function was measured by the 6MWT, 5 times sit-to-stand test (5×STS), handgrip strength (HGS), and 3-m walk test. The 6MWT, which was conducted per the guidelines of American Thoracic Society,32 is a safe and validated physical measure for submaximal exercise capacity and has been validated in cancer populations, including patients with colorectal and lung cancer.33-36 A change in 6MWT distance of 20 m was considered to be clinically meaningful.33,34,37 Lower limb strength was measured by the...
5 × STS, which is a test wherein patients are instructed to rise from sitting in a standard height chair to standing 5 consecutive times with their arms crossed over their chests.38 HGS, measured via handheld dynamometry (Jamar hydraulic hand dynamometer),39 has been used in multiple populations. HGS is a predictor of cancer-related fatigue, postoperative complications, and mortality.40-43 The 3-m walk test was conducted to determine gait speed, which has been shown to be a predictor of treatment complications and survival in cancer populations.44,45 Self-reported functional status was recorded via the PROMIS Physical Function 12a Short Form.26 This is a validated questionnaire that asks 12 questions about basic mobility, ability to exercise, ability to perform housework, and self-care management.

Health-related quality of life was measured using the Functional Assessment of Cancer Therapy–Hepatobiliary (FACT-Hep) questionnaire, which is a validated and reliable tool.46 The FACT-Hep consists of the 27-question FACT-General (FACT-G) subscale and the 18-question hepatobiliary subscale. The FACT-G measures well-being in 4 domains: physical, social/family, emotional, and functional. The hepatobiliary subscale measures the severity of hepatobiliary-specific symptoms and consists of 18 questions designed to evaluate the severity of hepatobiliary cancer-specific symptoms. A high score on the FACT-G subscale indicates high HRQOL, and a high score on the hepatobiliary subscale indicates low disease-related symptoms. The instrument has shown strong validity, consistency, and reliability.46

The determination of frailty was based on Fried’s frailty criteria47 and measured by self-reported weight loss, gait speed (3-m walk test), HGS, self-reported physical activity (recorded via the International Physical Activity Questionnaire), and self-reported fatigue on 2 items from the Center for Epidemiologic Studies Depression Scale. As described in methods previously reported, sarcopenia was determined using sliceOmatic v5.0 software (Tomo Vision, Magog, Canada) to process computed tomography images of the abdomen and pelvis obtained for routine clinical care.5 Sarcopenia was defined as skeletal muscle index ≤38.9 cm²/kg for women and skeletal muscle index ≤55.4 cm²/kg for men.48

Multivariable regression models were used to assess associations of exercise and physical activity with outcome measures. Evaluation of HRQOL outcome measures included the FACT-Hep, FACT-G subscale, and the 4 FACT-G domains (Physical Well-Being, Social Well-Being, Emotional Well-Being, and Functional Well-Being). All multivariable regression models were adjusted for age, sex, exercise program duration, and baseline value of outcome measures on a theoretical basis and on the basis of evidence suggesting that these covariates are associated with differences in exercise or physical activity. All analyses were performed using SPSS Statistics Version 24 (IBM Corp, Armonk, NY; 2016), and P < .05 was considered statistically significant.

Results

Table 1 reports sociodemographic and clinical characteristics of the 50 patients who were enrolled. Concurrent with exercise, 13 patients (26%) received chemotherapy alone, 25 (50%) received chemoradiation alone, and 12 (24%) received chemotherapy followed by chemoradiation. The mean duration of the prehabilitation exercise program was 16 weeks (standard deviation = 9 weeks). Twenty-four patients (48%) underwent surgical resection with curative intent after showing stable or improved disease at preoperative restaging. Forty-two patients self-reported a mean of 126 minutes (standard deviation = 83 minutes) of weekly aerobic exercise and 39 minutes (standard deviation = 33 minutes) of strengthening exercise activity. Mean (standard deviation) weekly accelerometer-measured MVPA, LPA, and SA (measured in 44 patients) were 158.7 (146.7), 923.7 (294.5), and 4462.9 (620.2) minutes, respectively (Supplementary Table 1, available online). There was no significant difference in average weekly exercise or physical activity volume by baseline sarcopenia or frailty status (all P > .05). There was no significant difference in objective or self-reported outcome measures by sarcopenia status, and no significant difference in objective outcome measures by frailty status (all P > .05). Patients who were frail at baseline reported significantly lower physical functioning (PROMIS score) and lower HRQOL (FACT-Hep, FACT-G, and Hepatobiliary symptom subscale scores) than patients who were not frail (all P < .05).

Table 2 shows HRQOL, physical function, and skeletal muscle at baseline and at the preoperative restaging visit. Mean (standard deviation) baseline physical function of the 50 participants was as follows: 6MWT 462.5 (82.7) meters, 5 × STS 11.4 (4.2) seconds, HGS 35.7 (11.8) kg, and 3-meter walk test 1.17 (0.2) meters per second. The 6MWT, 5 × STS, and 3-m walk test values significantly improved from baseline to the preoperative follow-up visit (P = .001, P = .049, P = .009, respectively). The improvement in the 6MWT was clinically meaningful.33,34,37 There were no

Analyses

Descriptive statistics were used to summarize patient characteristics and to quantify self-reported exercise, accelerometer physical activity, physical function outcome measures, and HRQOL. Owing to nonnormal distributions, Wilcoxon signed rank tests were used to compare physical function outcome measures and HRQOL between baseline and follow-up restaging, and Mann-Whitney U tests were used to compare weekly volumes of exercise and physical activity and baseline and follow-up values of outcome measures by sarcopenia and frailty status.
differences in baseline or follow-up 6MWT, 5 × STS, HGS, or 3-m walk test by sarcopenia or frailty status (all P > .05).

Table 3 shows the associations among patient-reported exercise (aerobic and strengthening), and accelerometer-measured MVPA, LPA, and SA time and changes in outcome measures based on multivariable regression models. Self-reported aerobic exercise (β = .19, P = .048), weekly accelerometer-measured MVPA minutes (β = .18, P = .03), and weekly accelerometer-measured LPA minutes (β = .08, P = .03) were positively associated with improvement in the 6MWT during the preoperative period. Self-reported aerobic exercise (β = .02, P = .03), weekly MVPA minutes (β = .03, P = .005), and weekly LPA minutes (β = .01, P = .02) were also positively associated with improvement in perceived physical functioning during the preoperative period. Weekly LPA was positively associated with increased HRQOL as measured by the FACT-Hep (β = .03, P = .02), FACT-G (β = .02, P = .009), the Emotional Well-Being domain (β = .004, P = .04), and the Functional Well-Being domain (β = .009, P = .003) during the preoperative period. Increasing SA was associated with a decrease in HRQOL as measured by the FACT-Hep (β = −.02, P = .01), the FACT-G (β = −.009, P = .04), the hepatobiliary subscale (β = −.007, P = .01), and self-perceived physical function (β = −.007, P = .002). There were no significant associations between patient-reported aerobic or strengthening exercise activity and the FACT-G or any of the 4 domains of FACT-G. However, accelerometer-measured weekly MVPA was positively associated with the Physical Well-Being domain of the FACT-G (β = .01, P = .04). There were no significant associations between any self-reported exercise or accelerometer physical activity variables and changes in the 5 × STS, HGS, or 3-m walk test (all P > .05).
Patients with pancreatic cancer who are prescribed chemotherapy and/or radiation treatment in anticipation of surgical resection are often elderly, frail, and sarcopenic, and these preoperative therapies, though important from an oncologic perspective, may further depress functional status. There is a strong need for prehabilitation in this context. In this pragmatic trial of preoperative exercise prescribed concurrent with active cancer therapy, patients with pancreatic cancer had a statistically and clinically significant improvement in their submaximal exercise capacity as measured by the 6MWT, improvement in their leg strength as measured by the 5 × STS test, and improvement in their gait speed as measured by the 3-m walk test. Physical activity was positively associated with improvements in physical function and HRQOL. In contrast, SA was associated with reductions in HRQOL, including both hepatobiliary symptoms and physical well-being. These data demonstrate the potential for exercise prescriptions to preserve or improve physical functioning during preoperative therapy.

In general, prehabilitation is used to optimize preoperative physical function prior to potentially morbid operations. In the current study, physical outcome measures were used to monitor the functional trajectory of patients receiving treatment. The 6MWT is a validated test of submaximal exercise capacity. Preoperative 6MWT distance has been associated with intraoperative and postoperative complications. Improvement in the preoperative 6MWT distance has been associated with decreased postoperative pulmonary complications and reduced length of stay in patients with lung cancer. In patients with colorectal cancer, improvement in the 6MWT distance preoperatively also predicts improved functional recovery back to baseline. In the current study, patients had a statistically significant and clinically meaningful improvement in their 6MWT distance during the preoperative period. This is quite remarkable as all of these patients received chemotherapy and/or chemoradiation concurrently with their home-based exercise program.

Other metrics further suggest that the physical function of patients improved during treatment. Among these was significant improvement in lower limb strength. The 5 × STS test measures proximal hip and leg strength, which is essential for mobilizing out of bed postoperatively. The 5 × STS results have been shown to be a predictor of falls and a significant predictor of activities of daily living and disability. The baseline average gait speed in our study, as measured by the 3-m walk test, was low, but it also improved during the preoperative period. Faster gait speed predicts fewer treatment complications and improved survival in cancer populations. Improvement in gait speed may mitigate the disability associated with sarcopenia. Although HGS did not change during the preoperative period, we note that maintenance of HGS, as an important indicator of functional fitness and ability to perform activities of daily living, may be an important outcome of prehabilitation during preoperative cancer treatments. A recent study examining sarcopenia and HGS during preoperative treatment for esophageal cancer found significant loss of HGS from baseline to follow-up.

Physical activity was positively associated with improvement in the 6MWT and self-reported physical functioning.

### Table 3. Associations Among Exercise, Physical Activity Variables, and Sedentary Time and Changes in Outcome Measures (in Minutes per Week)

| Variable                          | Δ6-Minute Walk Test, m | ΔSelf-Reported Physical Functioning, PROMIS Score | ΔHealth-Related Quality of Life, FACT-Hep Score | ΔHealth-Related Quality of Life, FACT-G Score |
|-----------------------------------|------------------------|--------------------------------------------------|-------------------------------------------------|---------------------------------------------|
| Patient-reported aerobic exercise | .19                    | .048                                             | .02                                             | .03                                         | .004                                         | .92                                           | .004                                         | .9                                           |
| Patient-reported strengthening exercise | .15                   | .57                                              | .008                                           | .77                                         | .09                                          | .30                                           | .02                                          | .8                                           |
| Accelerometer-measured MVPA       | .18                    | .03                                              | .03                                            | .005                                        | .03                                          | .25                                           | .02                                          | .2                                           |
| Accelerometer-measured LPA        | .08                    | .03                                              | .01                                            | .02                                         | .03                                          | .02                                          | .02                                          | .009                                         |
| Accelerometer-measured SA         | -.04                   | .08                                              | -.007                                          | .002                                        | -.02                                         | .01                                          | -.009                                        | .04                                          |

Abbreviations: PROMIS, Patient-Reported Outcomes Measurement Information System Physical Function 12a Short Form; FACT-Hep, Functional Assessment of Cancer Therapy–Hepatobiliary; FACT-G, Functional Assessment of Cancer Therapy–General subscale; MVPA, moderate-to-vigorous physical activity; LPA, light physical activity; SA, sedentary activity.

Multivariable regression models were adjusted for age, sex, exercise program duration, and baseline value of the outcome measure. Bold values indicate statistical significance.
However, we did not detect a significant association between physical activity and HGS, 5 × STS, or the 3-m walk test. This may be due to lack of adherence to the strengthening exercise regimen or lack of effective strength training with patients exercising independently and unsupervised at home. Overall, none of the favorable associations we observed linking exercise and physical activity to outcomes in this study involved strengthening exercise. Although home-based strengthening exercise was safe in this study (ie, no adverse events were reported), it may be important to improve strengthening exercise adherence by targeting social support or self-efficacy. With a more effective home strength-training regimen, we may be better able to investigate its specific role in improving perioperative outcomes. We observed significantly lower self-reported physical functioning and HRQOL among patients who exhibited frailty at baseline; future interventions may benefit from identifying frail individuals and tailoring exercise regimens and support systems to address specific functional limitations and HRQOL domains.

Finally, it is notable that accelerometer-measured LPA (and not accelerometer-measured MVPA or self-reported exercise adherence) was positively associated with HRQOL, and increased accelerometer-measured SA was associated with reduced HRQOL scores in multiple domains. This suggests that patients undergoing preoperative treatment for pancreatic cancer may benefit from simple recommendations or programming that help them avoid being sedentary. Improving perioperative HRQOL using preoperative exercise has been identified as an intervention target for colorectal cancer survivors, and given our findings, the same target should be applied for pancreatic cancer survivors.

The limitations of the current study are primarily associated with its single-arm design and absence of a control group. Furthermore, there was wide variability in treatment courses and durations among patients in this pragmatic study, reflecting the real-life clinical care of patients with resectable pancreatic cancer. However, this variability imposed statistical limitations that future studies should attempt to control with advanced matching techniques and stratification. Fewer than half of patients in this study underwent surgical resection. The postoperative sample size and variability in the period before which participants could comfortably perform postoperative assessments precluded our ability to collect meaningful data describing recovery from surgery. Future prehabilitation trials should aim to collect postoperative assessment data consistently to demonstrate the potential relationship between preoperative exercise and postoperative recovery.

The strengths of our study are also worthy of note. These include its inclusion of both self-reported and objective exercise data to quantify program adherence and general physical activity. Objective monitoring with accelerometers helped corroborate self-reported exercise data, which is widely known to be subject to recall and favorability biases. We also used validated functional outcome measures to detect changes in physical function. Our study provided important initial evidence of the benefits of exercise while undergoing preoperative treatment for pancreatic cancer. Through a simple, home-based exercise program, we showed that exercise can contribute to important improvements in perioperative function and well-being among patients with pancreatic cancer.

**Conclusion**

Patients with pancreatic cancer participating in a prehabilitation exercise program improved physical function during preoperative treatment for pancreatic cancer. Increased physical activity was associated with improved HRQOL and functional outcomes. The findings in the current study are widely applicable in the clinical setting. Establishing formal programs to encourage preoperative exercise may improve important perioperative outcomes for these patients. It is important to study this further with randomized, controlled studies to demonstrate the effects of exercise on physical function and cancer outcomes.

**Authors’ Note**

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