The great vessel freeze-out: A meta-analysis of conventional versus frozen elephant trunks in aortic arch surgery

Nicholas A. Vernice AB1 | Matthew E. Wingo MD1 | Paul B. Walker BA1 | Michelle Demetres MLIS2 | Lily N. Stalter MS3 | Qiuyu Yang MS3 | Andreas R. de Biasi MD4

1Department of Cardiothoracic Surgery, Weill Cornell Medicine, New York, New York, USA
2Samuel J. Wood Library & C.V. Starr Biomedical Information Center, Weill Cornell Medicine, New York, New York, USA
3Department of Surgery, University of Wisconsin School of Medicine and Public Health, Madison, Wisconsin, USA
4Division of Cardiothoracic Surgery, Department of Surgery, University of Wisconsin School of Medicine and Public Health, Madison, Wisconsin, USA

Abstract

Background: The optimal treatment strategy for complex aortic arch and proximal descending aortic pathologies remains controversial. Despite the frozen elephant trunk (FET) technique’s increasing popularity, its use over the conventional elephant trunk (CET) remains a matter of physician preference and outcomes are varied.

Methods: This meta-analysis of available comparative studies of FET versus CET sought to examine differences in survival, reintervention, and adverse events. The following databases were searched from inception—May 2020: Ovid MEDLINE, Ovid EMBASE, and The Cochrane Library. Studies retrieved were then screened for eligibility against predefined inclusion/exclusion criteria with a protocol registered on Open Science Framework at https://osf.io/hrfze/.

Results: The search identified 1911 citations, with five studies included. The resultant meta-analysis included 313 CET and 292 FET cases. FET had lower perioperative mortality (risk ratio [RR]: 0.50, 95% confidence interval [CI]: [0.42; 0.60], p < .001) and improved 1-year survival compared to CET (hazard ratio: 0.63, 95% CI: [0.42; 0.95], p = .03). There were no significant differences in rates of overall or open reinterventions following FET versus CET, but FET did yield a significantly higher rate of endovascular reintervention (RR: 2.32, 95% CI: [1.17; 4.61], p = .03).

No significant differences were observed in the incidences of postoperative stroke, spinal cord injury, or renal failure between groups.

Conclusions: The FET technique yields superior rates of perioperative and medium-term survival with no significant increase in overall reinterventions. There was no significant difference in the rate of spinal cord injury between groups, providing further large-scale evidence that the FET is an acceptable, safe alternative to the CET.

Keywords
aortic arch, elephant trunk, frozen elephant trunk, reintervention
INTRODUCTION

Aortic pathologies involving the aortic arch and descending thoracic aorta pose unique treatment challenges and have historically required advanced, technically demanding open surgical repair. In 1983, Borst and colleagues described what is now known as the conventional elephant trunk (CET) technique, a two-intervention approach to aortic repair defined by the placement of a free-floating extension of an aortic arch prosthesis into the proximal descending aorta at the conclusion of the first arch surgery via open sternotomy. This allows for extension of the prosthetic trunk to its final desired level in a second, either open or endovascular, approach that addresses concomitant descending thoracic aortic disease. Advantages of the CET technique include reduced dissection and surgical preparation of the distal arch segment, thereby decreasing the risk of neighboring structure injury, a shortened clamping time during thoracoabdominal aortic repair, and a second, hybrid procedure in which an endovascular stent graft is placed proximally to the arch graft, and securely anchored to its final desired level in the descending aorta during open total arch repair. This technique is becoming increasingly common, in no small part due to encouraging results. Yet, the FET is not without its potential complications, notably a non-negligible incidence of spinal cord injury most often attributed to ischemia or occlusion of the thoracic intercostal arteries.

In an effort to reduce the morbidity and mortality associated with these two separate open procedures, Kato and colleagues reported their early experience with a one-stage, hybrid-repair in which a self-expanding stent was deployed in the descending aorta and saw successful thrombosis of aneurysms or false lumens in all 10 cases, seven of which were thoracic aneurysms and three of which were dissections of the distal arch.

METHODS

2.2 Literature search strategy

This study was performed following the preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement, with the completed PRISMA checklist available in Supporting Information. A protocol was registered on Open Science Framework and made publicly available at: https://osf.io/hrfze/. A medical librarian performed comprehensive searches to identify studies that evaluated the relative efficacy and safety of the frozen/antegrade stent grafts with respect to the conventional elephant trunk graft in the treatment of acute or chronic aortic dissection.

Searches were run on May 20, 2020 in the following databases: Ovid MEDLINE (ALL—1946—present); Ovid EMBASE (1974—present); and The Cochrane Library (Wiley). Searches included all appropriate subject headings and keywords for the concepts of “aortic dissection,” “aortic aneurysm,” “elephant trunk graft,” and “frozen/antegrade stent.” The full search strategy for Ovid MEDLINE is available in Supporting Information. To limit publication bias, there were no publication date, or article type restrictions on the search strategy. For articles selected for inclusion in this study, reference lists and citing articles were pulled from Scopus (Elsevier) and also screened.

2.3 Eligibility criteria

Titles and abstracts were reviewed against predefined inclusion/exclusion criteria. Articles considered for inclusion were: (1) English language articles; (2) patient cohorts larger than 10; (3) studies reporting either reintervention rates or at least 1-year survival data. Excluded studies were: (1) studies lacking a comparison or control group; (2) non-English; (3) patient cohorts smaller than 10; (4) review articles, meeting abstracts, editorials, animal studies, or commentaries.

2.4 Data extraction and critical appraisal

After duplicate studies were removed, reviewers screened citations using Covidence systematic review software. Each study was screened by two independent reviewers; discrepancies were resolved by consensus or consultation with a third reviewer. Titles and abstracts were reviewed against predefined inclusion/exclusion criteria as above.

After article selection, two investigators performed data extraction independently, and the extracted data were verified by a third investigator for accuracy. The following variables were included: study demographics (sample size, publication year, and design), patient demographics (age, sex, comorbidities [hypertension, diabetes, smoking, and chronic renal failure]), and procedural and postoperative factors (30-day and 1-year mortality, rate and method of reintervention, prevalence of post-op complications [ischemic post-op stroke, defined as a cerebrovascular accident resulting in a permanent neurologic deficit, spinal cord injury, defined as permanent paraparesis or paraplegia, and renal failure, defined as
| Author (year) and study type | Study period | Patients | Aortic disease (%) | FET stent-graft length | CSF drain? | Treatment outcomes | Adverse effects | Limitations/Downs and Black Quality Assessment score |
|-----------------------------|-------------|----------|--------------------|------------------------|------------|-------------------|---------------|-----------------------------------------------|
| Leontyev (2013)             | 1/2003-12/2011 | CET: 125  | Aneurysm: CET: 42 (33.6) FET: 27 (58.7) | Stent-graft sized according to the dimension of the native nondiseased aorta or true aortic lumen in patients with acute or chronic dissection, with 5%–10% oversizing | Yes for all FET cases (could not confirm first 20 FET cases, confirmed use in all others) | In-hospital mortality was 21.6% versus 8.7% for CET and FET patients, respectively ($p = .1$) | Stroke occurred in 16% versus 15% of CET versus FET patients ($p = .4$) | Limitations: Retrospective, nonrandomized, single center study; patients with heterogeneous aortic pathology D&B score: 20 |
|                            |             |          |                    |                        |            |                   |               |                                               |
|                            |             |          | Acute type A: CET: 67 (53.6) FET: 8 (17.4) |                        |            | Estimated 1-, 3-, and 5-year survival were 70 ± 4%, 70 ± 4%, and 68 ± 4% (CET) and 74 ± 7%, 60 ± 9%, and 40 ± 1% (FET) | Spinal cord injury was significantly higher in the FET group (21.7% vs. 4.0%, $p < .001$) | Renal failure occurred in 184% versus 23.9% of CET versus FET pts ($p = .4$) |
|                            |             |          |                    |                        |            |                   |               |                                               |
|                            |             |          | Chronic type A: CET: 3 (2.4) FET: 1 (2.2) |                        |            |                   |               |                                               |
|                            |             |          |                    |                        |            |                   |               |                                               |
|                            |             |          | Chronic type B: CET: 2 (1.6) FET: 1 (2.2) |                        |            |                   |               |                                               |
|                            |             |          |                    |                        |            |                   |               |                                               |
| RCS                        | 2003-2011   | CET: 36  | Reoperation: CET: 4 (11.1) FET: 6 (28.6) | Not reported | No | No significant difference was found for in-hospital mortality (13.9% vs. 4.8% for CET and FET patients, respectively ($p = .2$)) | No significant difference was found for permanent neurologic dysfunction (elephant trunk: 5.7% vs. 9.5% for CET and FET ($p = .4$)) or paraplegia (2.9% vs. 4.8% for CET and FET, respectively ($p = .6$)) | Limitations: Retrospective, nonrandomized, small sample size; patients with heterogeneous aortic pathology D&B score: 19 |
|                            |             |          | Urgent/emergent: CET: 11 (30.6) FET: 3 (14.3) |                       |            | Endovascular second-stage procedures were successfully performed in all FET patients with residual aneurysmal disease ($n = 3$); 9/11 CET patients with second-stage procedures required conventional surgical replacement through lateral thoracotomy |                                               |                                               |
| RCS                        |             | FET: 21  |                        |                        |            |                   |               |                                               |

(Continues)
| Author (year) and study type | Study period | Patients | Aortic disease (%) | FET stent-graft length | CSF drain? | Treatment outcomes | Adverse effects | Limitations/Downs and Black Quality Assessment score |
|-----------------------------|--------------|----------|-------------------|------------------------|-----------|--------------------|----------------|--------------------------------------------------|
| Shrestha (2015)             | 8/2001-3/2013 CET: 97 | Aneurysm: CET: 43 (44) FET: 62 (34) | Stent-graft length was chosen depending on the distance of the landing zone from the left subclavian artery | Yes for all FET cases; performed in CET cases “according to anatomic needs” | Kaplan–Meier estimate of 4-year survival was 75.8 ± 7.6 and 72.8 ± 10.6 in CET and FET patients, respectively (log-rank p = .8) | During follow-up, 27.8% of CET cases underwent a second-stage procedure vs 27.7% in FET group | Limitations: Retrospective, nonrandomized, single-center study; heterogeneous aortic pathology | D&B score: 19 |
| RCS                         |              |          |                   |                        |           |                   |                |                                                  |
| Mikalaluh (2018)            | 2001-2017    CET: 25 | Aneurysm: CET: 16 (64) | Not reported | Not reported | In-hospital mortality was statistically similar: 32% versus 20% for CET and FET, respectively (p = .52) | No significant difference between the incidence of stroke, acute renal failure or postoperative bleeding between CET and FET | Limitations: Retrospective, nonrandomized, single-center study; small sample size | D&B score: 20 |
| CCS                         |              |          |                   |                        |           |                   |                |                                                  |
| Furutachi (2019)            | 1/2010-8/2018 CET: 30 | Acute type A dissection: CET: 30 (100) | Length selected by measuring the distance along an aortic centerline from the left carotid artery and the left | Not reported | No significant different was found for perioperative (30-day) mortality between FET and CET patients (10% vs. 5%, respectively (p = .64)) | 6.7% of patients in the CET group experienced recurrent nerve palsy, and 6.7% of pts experienced paraplegia, whereas no patients in the | Limitations: Retrospective, nonrandomized, single-center study; small sample size | |
permanent indication for dialysis at discharge], antegrade cerebral perfusion time [in minutes], cardiopulmonary bypass time [in min], cross-clamp time [in min], and duration of circulatory arrest [in min]). Study quality was assessed with Downs and Black Checklist for Quality Assessment, with the full checklist for each study available in Supporting Information.

2.5 Statistical analysis

P values for baseline patient characteristics were generated via unpaired t testing or \( \chi^2 \) testing of weighted averages and standard deviations as appropriate (GraphPad). Log hazard ratios comparing 1-year survival outcomes between FET and CET patients were estimated from the reported number at risk and the percent survival according to the estimation formulas outlined in Tierney et al. (2007). Random-effects meta-analysis models with a continuity correction of 0.1 were computed for each outcome. Pooled results of 1-year survival were reported as a hazard ratio and all other complication outcomes were reported as risk ratios. The inverse-variance method was implemented to pool hazard ratios, while the Mantel–Haenszel method was used to pool risk ratios. Between-study heterogeneity was assessed using Cochran’s Q, \( I^2 \), and Tau-squared measures. Funnel plots were used to examine publication bias and funnel plot asymmetry was quantified using Egger’s test. Sensitivity analysis was conducted when influential outliers were detected. A significance level of \( \alpha = .05 \) was used and all statistical analyses were performed with R statistical software, version 4.0.3 (R Foundation for Statistical Computing).

3 RESULTS

Database searches and included studies’ reference lists and citing articles retrieved 2934 results. After duplicate studies were removed, reviewers screened a total of 1911 citations using Covidence systematic review software. Full text was then pulled for 469 selected studies for a second round of eligibility screening. A total of six studies were selected for inclusion in meta-analysis. However, due to heterogeneity demonstrated by Egger’s regression testing for funnel plot asymmetry, Hirano et al. were ultimately excluded from all analyses, as the data reported in their study consistently fell outside the limits of the funnel plots for each primary and secondary endpoint. A version of the results inclusive of this study has been included as a sensitivity analysis in Supporting Information. Due to the relative weight of the data from Shrestha et al., a sensitivity analysis exclusive of this study was performed and also included in Supporting Information. No additional studies were included from review of reference lists. Thus, five studies comprising 605 patients were ultimately included in meta-analysis (Table 1).

A total of 292 patients (48.3%) underwent CET procedures, while 313 patients (51.7%) had undergone FET procedures. Pooled patient demographic data is presented in Table 2. The full PRISMA flow diagram outlining the study selection process is available in Figure 1.
TABLE 2  Summative demographic and operative data

| Cohort size | Mean age (years) | Female N (%) | Mean ACP time (min) | Mean CA time (min) | Mean bypass time (min) | Mean cross-clamp time (min) | Minimum temperature (°C) |
|-------------|-----------------|--------------|---------------------|--------------------|------------------------|---------------------------|--------------------------|
| CET         | 313             | 61.8 ± 1.85  | 123 (39.3)          | 51.08 ± 15.9       | 47.6 ± 7.60            | 229.1 ± 13.8              | 126.8 ± 22.1             | 23.6 ± 1.4               |
| FET         | 292             | 64.3 ± 2.93  | 96 (32.8)           | 69.2 ± 12.2        | 53.3 ± 4.38            | 226.1 ± 7.06              | 114.9 ± 28.7             | 25.0 ± 0.02              |
| p-value     | <.0001          | .119         | <.0001              | <.0001             | .0006                  | <.0001                    | p = .06                  |
| X²          | -               | 2.43, 1 df   | -                   | -                  | -                      | -                         | -                        |

Note: Summary of pooled demographic and operative data for the included studies.
Abbreviations: ACP, antegrade cerebral perfusion; CA, circulatory arrest; CET, conventional elephant trunk; df, degrees of freedom; FET, frozen elephant trunk.

FIGURE 1  Preferred reporting items for systematic reviews and meta-analyses (PRISMA) flow diagram. PRISMA flow diagram outlining the process of study identification and selection.

In 2013, Leontyev and colleagues published a single-center study comparing the use of CET and FET in 171 patients at their institution between 2003 and 2011, reporting an in-hospital mortality of 21.6% versus 8.7% for CET and FET patients, respectively (p = .1). Stroke occurred in 16% versus 13% of CET versus FET patients (p = .4). Of note, the occurrence of new-onset permanent neurologic deficit was significantly higher in the FET group (21.7 vs. 4.0%, p < .001). Importantly, the authors utilized cerebrospinal fluid (CSF) drains in
nearly all FET cases; they were only unable to confirm use of a CSF drain in the first 20 FET patients included in the analysis. The estimated 1-, 3-, and 5-year survival were 70 ± 4%, 70 ± 4%, and 68 ± 4% (CET), and 74 ± 7 and 60 ± 9, 40 ± 1% (FET), with a mean survival time of 5.2 ± 0.3 versus 3.8 ± 0.5 years (CET vs. FET, log-rank *p* = .9).17

The following year, DiEusanio et al. conducted a two-site, prospective cohort study comparing the success of CET and FET in 57 patients.18 The authors did not utilize CSF drainage in either the CET or FET group. The study found no significant difference in hospital mortality or permanent neurologic deficit between the two groups. In the CET group, 68.4% of patients did not undergo a second-stage procedure during follow-up. Endovascular second-stage procedures were successfully performed in all FET cases. Four-year survival was estimated to be 75.8 ± 7.6% and 72.8 ± 10.6% in CET and FET patients, respectively (log-rank *p* = .9).19

Shrestha and colleagues published a 2015 prospective cohort study evaluating the two techniques in 277 patients from 2001 through 2014.19 For individuals undergoing a CET procedure, in-hospital mortality was 24.7%, the postoperative stroke rate was 12.4%, and during follow-up, 27.8% underwent a second-stage procedure. In individuals undergoing a FET procedure, in-hospital mortality was 12.2%, the postoperative stroke rate was 13.3% and during follow-up, 27.7% patients underwent further interventions.19 Of note, the authors report that CSF drainage with a target pressure <12 mmHg was employed in all patients undergoing FET, whereas drainage was employed in CET patients only “according to anatomic needs.”19

In 2018, Mkalaluh and colleagues published a prospective, single-center, case-control study of 25 patient pairs who underwent either CET or FET procedures between 2001 and 2017.20 In-hospital mortality, as well as the incidence of stroke and acute renal failure were comparable between the groups, although the authors did not report use of a CSF drain. While the 1-year survival rates were higher in the FET cohort compared to the CET approach (60% vs. 38%), these results were reported without statistical significance.20

In 2019, Furitachi et al. published a prospective, single-center study comparing outcomes of CET and FET procedures in 50 patients between 2010 and 2018. Interestingly, there was no case of permanent neurologic deficit in the FET group, whereas four patients in the CET group experienced permanent neurologic deficits.21 Of note, the authors do not report use of a CSF drain.

### 3.1 Meta-analysis

A summary of relevant findings has been summarized in Table 3. Primary endpoints included 1-year survival, both open and endovascular reintervention rates, and overall reintervention rates. Patients

| Outcome                      | Random-effects model RR/HR | 95% CI      | z     | p-value |
|------------------------------|----------------------------|-------------|-------|---------|
| Perioperative mortality      | 0.5                        | (0.42; 0.60)| 11.07 | .0004   |
| 1-year survival              | 0.63                       | (0.42; 0.95)| −2.2  | .0279   |
| Overall reintervention       | 0.92                       | (0.55; 1.55)| −0.49 | .6604   |
| Open reintervention          | 0.33                       | (0.05; 2.37)| −1.79 | .1706   |
| Endovascular reintervention  | 2.32                       | (1.17; 4.61)| 3.92  | .0296   |
| Stroke                       | 1.11                       | (0.62; 2.00)| 0.49  | .6522   |
| Spinal cord injury           | 0.94                       | (0.25; 3.53)| −0.15 | .8938   |
| Renal failure                | 1.19                       | (0.95; 1.50)| 2.43  | .0935   |

Note: Results of meta-analysis for all outcomes of interest. Pooled results of 1-year survival were reported as an HR, pooled with the inverse-variance method, and all other outcomes were reported as RRs, pooled with the Mantel–Haenszel method. FET is providing the RR/HR for all above data.

Abbreviations: CET, conventional elephant trunk; CI, confidence interval; FET, frozen elephant trunk; HR, hazard ratio; RRs, risk ratios.
who had undergone FET had higher rates of 1-year survival with respect to those who had undergone CET (random-effects hazard ratio [HR]: 0.63, 95% confidence interval [CI]: [0.42; 0.95], \( p = .03 \)) (Figure 2), as well as lower rates of open reintervention (random-effects risk ratio [RR]: 0.33, 95% CI: [0.05; 2.37], \( p = .17 \)), although random-effects modeling for open reintervention failed to produce significant results (Figure 3A). Of note, Mkalaluh et al. did not report open or endovascular reintervention results, and thus \( n = 4 \) for each of those endpoints. There was no statistically significant difference found between rates of overall reinterventions as well (random-effects RR = 0.92, 95% CI = [0.55; 1.55], \( p = .66 \)) (Figure 3B). Importantly, the FET resulted in a significantly higher rate of endovascular reintervention when compared to that of the CET (random-effects RR: 2.32, 95% CI: [1.17; 4.61], \( p = .03 \)) (Figure 3C).

Secondary endpoints included perioperative (30-day) mortality as well as postoperative incidence of stroke, spinal cord injury, and renal failure. FET procedures were associated with a lower perioperative mortality rate than that from CET procedures (random-effects RR: 0.50, 95% CI: [0.42; 0.60], \( p = .001 \)) (Figure 4). No significant difference was observed in the incidence of postoperative stroke (random-effects RR: 1.11, 95% CI: [0.62; 2.00], \( p = .65 \)) (Figure 5A), spinal cord injury (random-effects RR: 1.37, 95% CI: [0.25; 7.43], \( p = .63 \)) (Figure 5B), or renal failure (random-effects RR: 1.19, 95% CI: [0.95; 1.50], \( p = .09 \)) (Figure 5C) between cET and FET cases.
Furitachi et al. did not present data on the incidence of postoperative renal failure, and thus $n = 4$ for that analysis.

4 | DISCUSSION

This study is the first to systematically assess differences in both survival and reintervention rates between the CET and FET procedures. With regard to survival, our aggregated data suggest that FET procedures are superior to CET, as FET procedures demonstrated significantly increased rates of survival at both the 30-day and 1-year time mark. While an a priori power analysis was not conducted, the finding of a statistically significant difference between groups with regard to the primary outcome of 1-year survival provided sufficient evidence that the study was adequately powered to detect differences for this outcome.

While no difference was ascertained with regard to open reintervention between the two techniques, the FET was associated with a higher rate of endovascular reintervention, which is consistent with previous literature. Importantly, previous work has demonstrated that endovascular completion procedures carry less risk and shorter operative times than do secondary open repairs. Considering that our study suggests FET facilitates the use of endovascular completion techniques over interval open procedures, likely because FET provides a more secure landing zone than CET, this may explain why overall survival is improved with the FET approach.

It is also possible that these improved survival data result not from an increase in endovascular reintervention in the FET, but rather a harmful decrease in completion procedure rates in the CET group. The CET was designed to facilitate a second intervention, whereas completion procedure rates for the FET have been generally accepted as ranging from 4% to 15%. Previous work has acknowledged that one of the major risks of the CET procedure is the propensity for patients to be lost to follow-up and never undergo their necessary completion procedure. This further supports the superiority of the FET over the CET, as the former frequently does not require a secondary procedure; however, in the aforementioned instances in which reintervention is necessary, there rarely exists a contraindication. In our study, indications for FET reintervention included stent-graft-induced new entry, which occurred in patients with chronic dissection, enlargement of the distal aortic diameter in patients with chronic dissection due to sustained blood flow from the reentry into the false lumen, migration of the FET, pseudoaneurysm formation, and stent-graft infection.
Secondary to death, perhaps the most feared complication of the FET procedure is that of spinal cord injury. Interestingly, our study reports that FET procedures do not significantly increase the risk of suffering a permanent neurologic deficit when compared to the CET. It is important to note that two of the five studies report selective use of a CSF drain in FET groups, which while serving as a potential confounder, also highlights a potential role for CSF drainage in all ET procedures as a means of decreasing neurological complication. Along with CSF drainage, hypothermia has also proven crucial to reducing postoperative neurologic deficits. In this meta-analysis, while the average minimum temperature of the FET group was lower than that of the CET group, the difference failed to reach statistical significance (Table 2). Finally, there were also no significant differences in the postoperative rates of stroke or renal failure as well, thereby suggesting that the FET does not pose any additional or unique morbidity burden upon patients.

5 | LIMITATIONS

Our study has several limitations. First, the number of included studies is notably small, and heterogeneity in the data required further study exclusion as described above. The retrospective nature of the study also precluded rigorous controls with regard to operative technique and graft selection, although of note each of the included studies maintained cerebral perfusion in an antegrade fashion. While frequently overlapping with one another, the long study period, often longer than a decade, may also introduce intra-study variation with regard to technique as they each continued to evolve. Additionally, the lack of randomization may introduce treatment allocation bias, which is a strong limitation of this study. Another important limitation is the heterogeneity of indications for aortic arch procedures in these patients. This known confounder underlines the need for more rigorous clinical trials. In spite of this, this analysis supports the FET as a reasonable and safe alternative to CET for all indications.

6 | CONCLUSIONS AND FUTURE DIRECTIONS

The present study is the first of its kind to systematically aggregate and assess the relative reintervention rates in individuals undergoing CET and FET procedures in a heterogeneous population of patients with complex aortic pathology. It suggests that the FET technique yields superior rates of both perioperative and 1-year survival. Importantly, the study found no significant difference in the rate of spinal cord injury between individuals undergoing CET and FET. This supports FET as an acceptable, safe alternative to the CET while awaiting the higher level of data and recommendations a randomized, controlled trial comparing CET and FET could provide.

CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

ORCID

Nicholas A. Vernice http://orcid.org/0000-0002-5385-1680

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**SUPPORTING INFORMATION**

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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