Ewing’s Sarcoma of the Head and Neck: Margins are not just for surgeons

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Abstract

Background, Methods: To describe the characteristics, treatments (systemic/local), and outcome (oncological/functional) of French patients with head and neck Ewing’s sarcomas (HNES) registered in the Euro-Ewing 99 (EE99) database. Specific patient-level data were reviewed retrospective.
1 | INTRODUCTION

Ewing’s sarcoma (ES), although rare, is the second most common primary bone malignancy in children and adolescents1,2 and is characterized by a specific transcript EWS/FLI-1.3 Standard ES treatment consists of neoadjuvant/induction chemotherapy (neoCT), followed by local treatment (surgery and/or radiotherapy) combined with risk-adapted consolidation/maintenance chemotherapy. This multidisciplinary approach is required as both systemic and local therapies are crucial.4

Head and neck ES (HNES) represent 1%-15% of ES.5 The reported local control rates are low, between 71% and 81%.6,7 Only a few studies have assessed the role of local treatment, which is particularly challenging and remains controversial.5 Surgery and radiotherapy have several objectives, including oncological (to allow satisfactory local disease control), functional (to preserve noble organs when possible), and esthetics (to maintain normal growth and adequate quality of life). Discussion of the role of each local therapy is essential, including the scheduling of local therapies with systemic treatment to maximize efficacy and minimize long-term sequelae, especially in these young growing patients.

Our main objective was to describe patient and disease characteristics, the systemic and local treatments used, and the oncologic (relapses, survival), functional, and esthetic outcome, relative to the local treatment(s) administered in HNES patients.

2 | PATIENTS AND METHODS

The study was supported by the French bone sarcoma group, GROUPOS. The EE99 trial (NCT00020566) was performed according to the ethical principles of the Declaration of Helsinki and good clinical practice guidelines. Written informed consents were obtained at enrollment from all patients or from their parents/guardians for those younger than 18 years of age.

2.1 | Eligibility criteria

All French HNES patients aged <50 years, registered in the Euro-Ewing 99 (EE99) trial, with a molecular diagnosis of ES were included in the study. Patients with intra-orbital, cervical spine or intracranial origins of HNES were excluded.

2.2 | Treatment according to the Euro-Ewing 99 protocol

Initial tumor biopsy and extensive staging (local regional magnetic resonance imaging (MRI)/computed tomography
(CT) scan, chest CT scan, bone scintigraphy, bone marrow biopsy/aspirates) were recommended before treatment. NeoCT, consisting of six courses of vincristine, ifosfamide, doxorubicin, and etoposide (VIDE),9 aimed to reduce the primary tumor volume and avoid/control metastases. Local treatment with surgery was recommended after neoCT, when feasible. Surgery was associated with postoperative radiotherapy (PORT) when resection margin was incomplete and/or when the histological response to neoCT was poor (≥10% viable residual cells).10 Exclusive radiotherapy was recommended for nonoperable primary tumors. Maintenance chemotherapy was allocated according to the ES risk of relapse. Patients with low-risk localized disease, good histological response <10% viable cells (for operated primary tumors), or small initial tumor volumes <200 mL (in nonoperated primary tumors) were randomly assigned eight courses of vincristine and actinomycin D, combined with either ifosfamide (VAI) or cyclophosphamide (VAC).11 Patients with high-risk localized ES, with or without isolated lung metastases, were randomly assigned high-dose chemotherapy either busulfan/melphalan (Bu/Mel) or VAI plus bipulmonary radiotherapy for those with lung metastases.12,13 Patients with multiple metastatic ES at diagnosis, other than isolated lung metastases, received Bu/Mel.14

2.3 | The data extracted from the EE99 database

We analyzed the prospectively collected data extracted from the EE99 database including patient characteristics (age, gender), initial tumor presentations (histology, primary site, tumor volume, locoregional extension, metastatic status), systemic and local treatment modalities and scheduling, radiological and histological responses to treatments, and outcomes (relapse, death, late effects).

2.4 | Multidisciplinary review of the patients’ data retrospectively collected

We then retrospectively collected and reviewed the charts, imaging, and surgical, pathological, and radiotherapy reports to refine data concerning local treatments and sequelae. The radiology (MRIs at diagnosis, before local treatment, after treatment, and at disease relapse); surgical and pathological reports (procedure and quality of the resection; Table 1);15 and the radiotherapy protocol (radiation field, dose delivered to tumor and surrounding organs) were each reviewed by two experts. These experts were selected from a multidisciplinary panel including surgeons (maxillofacial, otolaryngologist, plastic, neurosurgeons), radiologists, pathologists, and pediatric and radiation oncologists.

| TABLE 1 | Histological review of the 47 French HNES. Definition of the surgical margin classification according to Euro-Ewing 99 and Euro-Ewing 2012 |
|---|---|
| **EE99** | **EE12** |
| RO | Radical: clear margin | Clear margins 2 mm or more of normal tissue |
| R1 | Marginal: macroscopically clear resection but microscopically margins are near the tumors | R1a: Resection in scar tissue, even clear of active tumor cells, within postchemotherapy fibrous reactive tissue (reactive fibrosis, edema, foamy macrophages, inflammatory cells) |
| R1b: Resection in close contact with tumor (less than 2 mm, without any normal anatomical structure) |
| R1c: Microscopical intralesional resection (viable tumor areas, in coagulative necrosis) |
| R2 | Intralesional | On the base of surgeon report confirm by pathologist |

In cases of margin R0, but fragmented resection or tumoral spreading the resection should be considered as R2 resection.

2.5 | Acute complications and long-term sequelae

Any event grade≥2, by common terminology criteria for adverse events (CTCAEv5.0), was collected.16 Acute complications were defined as any event occurring during or within three months after completing treatment; long-term sequelae were defined as events that persisted for at least 5 years after treatment.17,18 We classified these events by type (functional, aesthetic, psychological, social) and potential cause (surgery, radiotherapy, chemotherapy).

2.6 | Statistical analysis

Overall survival (OS) and event-free survival (EFS) were calculated from initiation of chemotherapy and estimated by Kaplan-Meier method. EFS was defined as the delay from initiation of treatment until first failure (local progression/relapse, second malignancy, or death, whichever occurred first). Three-year survival rates were estimated by Kaplan-Meier method and presented with Rothman’s 95% confidence intervals (CI). Median follow-up was estimated by reverse Kaplan-Meier method. The distributions of variables were compared between patient groups using Fisher’s exact
tests. A 5% significance level was used for all testing. All statistical analyses were performed with SAS® software version 9.4 (SAS Institute, Cary, NC).

3 | RESULTS

From September 1999 to December 2014, 1135 French patients were registered in the EE99 database, of which 57 patients had HNES primary tumors (Figure 1). Ten of these patients were excluded for inadequate primary tumor site (intra-orbital, cervical spine, intracranial origin) or nonconfirmed ES molecular diagnoses. Finally, 47 patients were eligible: 4.1% of French ES patients in the EE99 database.

3.1 | Patients and tumor characteristics

Twenty-seven males and 20 females (sex ratio M/F = 1.4; Table 2) had a median age of 11 years (range, 1.2-32) and either a prepuberty (n = 27; 57%) or intrapubertal status (n = 8; 17%). The median delay from initial symptom (mainly painful swelling) to diagnosis was 2 months (range, 7 days to 4 months).

Most primary tumors, 42/47 (89%), were osseous: located in the skull (n = 26), mandible (n = 10), maxillary (n = 5), and nose (n = 1) (Figure 2). The remaining five primary tumors were extra-osseous: four subcutaneous and one intra muscular. Metastatic disease at diagnosis was rare in 4/47 (9%) (three lungs, one ribs), and most primary tumors 42/47 (89%) were small (initial tumor volume <200 mL).

Presentation and locoregional extension depended on the primary tumor location. Skull primary tumors originated from the vault (n = 13) and the base (n = 13) were complicated by an intracranial locoregional extension in 18 patients (69%) responsible for 12/18 cases of intracranial hypertension. Meningeal locoregional involvement was observed on imaging for nine patients (lumbar puncture results were not available). Intracranial venous sinus involvement was found in three cases. All these problematic extensions concerned mainly vault tumor. Mandible (n = 5/10) and maxillary (n = 3/5) primary tumors presented extension in the infra-temporal fossa. Involvements of the orbit (n = 4) and skull base (n = 1) were seen with maxillary tumors.

Locoregional lymph nodes were considered pathological on imaging (smallest diameter >10 mm) without histological confirmation, for 12/47 patients (26%), with a higher relative frequency in mandible ES (n = 7/12). No histological vascular emboli or perinervous involvement was described in the pathological reports.

3.2 | Diagnosis

All 47 patients had histological diagnoses confirmed either by fluorescence in situ hybridization (FISH) (EWSR1 gene rearrangement, n = 11) or by reverse transcription polymerase chain reaction (RT-PCR) (EWS-ETS fusion transcript, n = 36).

| TABLE 2 | Patient/tumor characteristics of the 47 French HNES |
|----------|--------------------------------------------------|
| Total (n = 47) | Patient characteristics: n (%) |
| Sex ratio M/F | 27/20 |
| Median age [range] | 11 y [1.2-32] |
| Pubertal status | Postpuberty 12 (26%) |
| Median delay symptom to diagnosis [range] | 2 mo [7 d-4 mo] |
| Primary origins | Osseous: 42 (89%) Extra osseous: 5 (11%) |
| Volume | Small: <200 mL: 42 (89%); median 70 mL [14-900] |
| Size | <8 cm: 43 (91%); median 5 cm 8.9 |
| Locoregional lymph node N+ | >10 mm: 12 (26%) |
| Metastasis at diagnosis | 4 (9%) |
The diagnoses were performed on biopsies before treatment in 34 patients (72%) (Figure 3). In addition, 13 patients had initial surgery without biopsy before any systemic treatment in an emergency context for six patients with intracranial hypertension or performed without suspicion of malignant tumor for seven patients (benign subcutaneous tumor suspected [n = 4], temporal bone infection, from chronic otitis, refractory to treatment [n = 2], and orbital cellulitis [n = 1]).

3.3 | Systemic treatment according to EE99 trial

All patients received six courses of VIDE neoCT, 13 patients after initial surgery with a median delay of 24 days (range, 8-55), and 34 patients after biopsy with a median delay of 13 days (range, 3-37) (Figure 3). Radiological response after neoCT (using RECIST) was complete in six patients, partial (decrease ≥50% compared to baseline) in 19, and stable (decrease <50% compared to baseline without progressive disease) in 15. Histological responses were good (<10% of residual tumor cells) in 21/26 patients (81%) operated after neoCT. In the 26 patients operated after neoCT, maintenance chemotherapy started with a median delay of 18 days (range, 8-64) from surgery (17/26 patients within three weeks after surgery). Forty-seven patients received maintenance chemotherapy. Low-risk localized ES (<10% residual viable cells [n = 19], initial tumor volume ≤200 mL treated by exclusive radiotherapy [n = 7], and initial primary surgery [n = 11]) received either VAI (n = 20) or VAC (n = 17). High-risk localized ES (≥10% residual viable cells [n = 4] or initial tumor volume ≥200 mL with primary surgery [n = 2]) received VAI (n = 5) or Bu/Mel (n = 1). All of these patients received maintenance chemotherapy in accordance with their randomization (no modification of the protocol due to anticipated toxicity to busulfan). Patients with pulmonary metastases received VAC with lung radiotherapy (n = 1) or Bu/Mel (n = 2). The patient with distant bone metastasis received Bu/Mel.

3.4 | Local treatment of the primary tumor

Thirteen patients had initial surgery without reconstruction (six intralesional resections and seven bone-conserving surgeries or possible direct suture). All 13 received local PORT (mean dose 48 Gy, range 31-59 Gy; Data S1).

Twenty-six patients had surgery after neoCT, without immediate reconstruction in 13 (three intralesional resections,
five surgeries of a small residual tumor volume not requiring reconstruction, two extra-osseous lesions with direct suture of the operative site, three unspecified). PORT completed local treatment in 13/26 patients (median dose 48 Gy, range 38-60 Gy; Data S1) for marginal resection (n = 8) or poor histological response to chemotherapy (40% of residual tumor cells) for one patient with complete resection (R0 margin). The remaining four patients had complete R0 resection and good histological response in the EE99 database, despite the absence of PORT indication in this situation in the EE99 trial. The reasons for PORT administration were explained neither in the database nor in the patient’s chart.

Seven patients with inoperable skull base tumors and one patient/parent surgery refusal of a small maxillary tumor had exclusive radiotherapy (n = 8).

Overall, 39 patients (83%) were operated and 34 (72%) received radiotherapy.

### 3.5 | Treatment of the locoregional extension

Initial radiological lymph node involvement disappeared after neoCT in six patients who did not receive specific lymph node treatment, and six patients had a lymphadenectomy, with no histological tumor involvement found and no additional radiotherapy.

Among the nine patients with meningeal involvement, one received exclusive whole-brain irradiation and eight had surgery, including six with additional focal radiotherapy and two without radiotherapy. None had craniospinal irradiation.

### 3.6 | Surgical margin

Among the 39 patients operated, the surgical margins in the EE99 database were wide/radical (R0) in 18 patients, marginal (R1) in 13, and intralesional (R2) in 8 (Table 3). All R2 resections occurred after initial surgery at diagnosis before chemotherapy (Data S2). R1 margins were more frequent after initial surgery (5/13) than after neoCT (8/26). R0 margin was observed in 18 patients only when operated after neoCT.

The pathological review according to EE2012 histological standardized report found a histological discordance rate of 72%: 13/18 R0 margins in the EE99 database were reclassified as R1a (n = 11; resection within postchemotherapy fibrous reactive tissue without viable tumor cells) or

| euro-EWING 2012 margin definition | R0 (n = 18) | R1 (n = 13) | R2 (n = 8) |
|----------------------------------|------------|------------|------------|
| R0 (n = 5)                       | 5          |            |            |
| R1 (n = 24)                      |            |            |            |
| R1a                              | 11         | 4          |            |
| R1b                              | 1          |            |            |
| R1c                              | 8          |            |            |
| R2 (n = 10)                      | 2          |            | 8, all with initial surgery |

Two patients were reclassified R2 despite R0 margins as the resection was fragmented (n = 1) or tumor break-in (n = 1).
R2 margins (one fragmented resection, one tumor spreading during surgery) (Table 3).

### 3.7 Outcome

One patient who decided to stop treatment during maintenance chemotherapy developed a local relapse (LR) at 34 months after starting neoCT. This patient had exclusive surgery, considered as R0 resection with a good histological response to neoCT, and reclassified as R1a margin after the pathological review (Table 3). The 46 remaining patients completed treatment.

After treatment, 36/47 patients had complete remission (no radiological tumor residue) and 10/47 had a persistent residue. Among these ten patients, three had local disease progressions within 13-16 months after starting neoCT, two in the radiation fields after local exclusive radiotherapy (one with initial meningeal involvement and one with rib metastasis at diagnosis), and one patient with localized disease reclassified from R0 to R1a margin (Table 3 and Figure 3). Of the 36 patients with complete remission at the end of treatment, three had a LR (median delay 24 months, range 18-24) and five a metastatic relapse (median delay 33 months, range 17-83). No regional lymph node or meningeal relapse was observed. One LR occurred in the radiation field of exclusive radiotherapy. Two LR occurred after exclusive surgery considered, in the EE99 database, as localized disease with wide margins and good histological response but reclassified as R1a after pathological review (Table 3). No LR was observed, with a median follow-up of 11.8 years (range 3.3-16.2), even in the absence of additional PORT, in the five patients who had exclusive surgery with R0 margins and good histological response (<10% viable residual cells) in both the EE99 database and pathological review (Table 3). Metastatic relapses occurred in one patient with initial bone metastasis, two with localized ES and very poor histological response (>70% viable tumor cells), and one with a large initial tumor volume operated before any chemotherapy with R1c margin and PORT. Finally, a patient had a small nonoperable tumor and received exclusive radiotherapy as local treatment.

No second malignancy was declared in the EE99 database. However, one patient with a histologically proven relapse in the lung 6 years after HNES diagnosis developed a local tumor in the radiation field 8 years after diagnosis and following an R1c resection. No histology was performed, but this evolution could possibly be a radiotherapy-induced second cancer.

Eight patients died, all of HNES progression between 1.5 and 6.5 years after starting treatment.

The median survival for the 12 patients with events was 3.2 years (95%CI: 1.9y-NA).

### 3.8 Local long-term sequelae

Overall, with a median follow-up of 9.3 years (range 1.5-16.2), for the all HNES population, the 3-year local control, EFS, and OS rate were 79.4%, (95%CI: 65.2-88.7), 78.6% (95%CI: 64.9-87.9), and 89.3% (95%CI: 77.2-95.3), respectively (Figure 4A). For the 43 localized HNES, the 3-year local control, EFS, and OS rate were 88.8% (95%CI: 74.4-95.6), 81.3% (95%CI: 67.2-90.2), and 90.6% (95%CI: 78.2-96.3), respectively.

FIGURE 4 Outcome of the 47 French HNES. A, 3-years event-free survival and overall survival for the 47 head and neck Ewing’s sarcoma. B, Long-term sequelae according to local treatment in head and neck Ewing’s sarcoma for the n = 40 patients alive after at least 5 years from initial treatment. CI, confidence interval; EFS, event-free survival; OS, overall survival; R, radiotherapy; S, surgery

Among the 40 patients alive after at least 5 years from initial treatment, 88% developed long-term sequelae (n = 35): functional (n = 20), growth abnormalities with face asymmetry (n = 9), aesthetic (n = 10), endocrine disorders (n = 6), and neurological (n = 4) or psychosocial impairments (n = 9) (Figure 4B). Their occurrence depended on the primary tumor location, patient’s age, local treatment modalities (surgery and/or radiotherapy), and the possibility of immediate reconstruction. Almost all patients (n = 23/25, 92%) treated by surgery and radiotherapy developed sequelae.
We confirmed that HNES are rare (4.1% of all ES) (Data S3), arising mainly from bones (skull, mandible, maxillary) in children/adolescents with persistent growing potential (median age 11 years; only 26% postpuberty). Most HNES patients have low ES relapse risk factors with nonmetastatic tumors, 89% with small primary tumors at diagnosis, and 81% with good histological response to chemotherapy and a favorable outcome (3y-EFS and OS of 78.6% and 89.3%, respectively). However, for the minority of HNES patients with high ES relapse risk factors (metastatic disease and/or poor histological response), the outcome is impaired by metastatic relapses, as in other ES localizations. The main issues are the occurrence of LR (3y-LR rate 84.8%) and long-term sequelae (88%). Patients with LR usually died from disease progression within three years of LR Consequently, local HNES treatment is challenging in terms of local disease control and limiting long-term sequelae in these children/adolescents still with growth potential.

Other than the German Society for Pediatric Hematology and Oncology (GPOH) series of 51 patients, our study is the largest HNES published series with a homogenous systemic treatment, general strategy, and local treatment indications (according to the EE99 trial) and with a long-term follow-up (9.3 years). Although previous series showed no significant differences in terms of outcome (EFS/OS) with the different local treatment modalities, our series assessed these different local treatment strategies, using prospective and retrospective data, in terms of local control, survival, and long-term sequelae. A strength of our study is the retrospective review of key data from the patients’ medical files by experts in radiology, pathology, surgery, and radiotherapy/pediatric oncology who reviewed and properly defined surgical margins that condition the use of PORT. To improve the quality of surgical margins, including medical, pediatric, and radiation oncologists, and not only surgeons and pathologists, should question the surgical margins when initially reclassified as R1a and who did not receive PORT. Thus, PORT should be administered in patients with R1a margins, even if residual viable tumor cells are not present. PORT may prove effective for patients with intralesional surgery (R2), as no patient with R2 margin resection who received PORT experienced a LR. The surgery/PORT combination compared to surgery alone as local treatment showed no clear excess of long-term sequelae, but the number of patients might not be sufficient to observe a difference. No second cancers were observed after a median follow-up of 9.3 years. However, the theoretical increase in risk with radiotherapy of growth sequelae and second cancer in these growing children/adolescents may become evident with longer follow-up.

Half of the R2 procedures occurred during clinically urgent initial surgery. Attempts must be made to avoid these urgent unplanned surgeries, and biopsies should be considered. In contrast, all five patients with R0 margins by planned surgery after neoCT, confirmed by our pathological review, and with good response to chemotherapy did not experience LR, even without PORT. Thus, planned postchemotherapy HNES surgery with true R0 margins and good histological response to chemotherapy may not require PORT. In these growing patients, avoiding PORT by a R0 surgery might spare these patients the long-term risk induced by radiotherapy.

Consequently, all actors involved in HNES management, including medical, pediatric, and radiation oncologists, and not only surgeons and pathologists, should question the surgical and pathological reports and properly define surgical margins that condition the use of PORT. To improve the quality of surgical margin reporting, standardized surgical and pathological reports have been implemented in the EED2012 trial (EudraCT-2012-002107-17). However, multidisciplinary discussions are essential after surgery to evaluate the need of PORT and to balance the importance of local control with the risk of long-term sequelae. More importantly, these...
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CONFLICT OF INTEREST

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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