The Interfacial Characteristics of Graphene/Al$_4$C$_3$ in Graphene/AlSi10Mg Composites Prepared by Selective Laser Melting: First Principles and Experimental Results

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Abstract: The Al$_4$C$_3$ phase was precipitated via a reaction of graphene (Gr) with Al during selective laser melting (SLM). The interfacial nature of the Gr (0001)/Al$_4$C$_3$ (0001) interface was determined using the first-principle calculation. The simulation results showed that the influence of the stacking site on the interfacial structure was limited and the Al-termination interface presented a more stable structure than the C-termination interface. The Al-termination-CH site interface had the largest work of adhesion (6.28 J/m$^2$) and the smallest interfacial distance (2.02 Å) among the four interfacial structures. Mulliken bond population analysis showed that the bonding of the Al-termination interface was a mixture of covalent and ionic bonds and there was no chemical bonding in the C-termination interface.

Keywords: selective laser melting; graphene; first principle; interfacial bonding; interface alloying

1. Introduction

The excellent mechanical, electrical, and optical properties of graphene (Gr) make it a new material with wide applications [1,2]. With its the increasing application in various fields, graphene has also attracted a lot of attention in relation to the performance of modified Al composites [3,4]. There are many ways to prepare graphene/Al, such as powder metallurgy, liquid stir casting, pressure infiltration, accumulative roll bonding, and friction stir processing. Li et al., prepared graphene nano-platelets/Al composites using the powder metallurgy technique [5], whereas Huang et al., prepared graphene-reinforced Al-based nanocomposites with excellent hardness and tensile strength by employing the high-pressure torsion method [6]. Moreover, Shao et al., prepared 5083 Al matrix composites reinforced with graphene oxide and graphene nanoplatelets via the pressure infiltration method [7]. However, these methods are limited when preparing complex parts. As an advanced manufacturing method, selective laser melting (SLM) technology has great advantages in manufacturing complex parts because of its high precision and low cost. Hu et al., prepared graphene/aluminum nanocomposites and found that the hardness of the composites was greatly enhanced [8]. We have also prepared high-performance Gr/Al composites through the SLM process [9,10].
The first-principle calculation method can simulate materials at the atomic scale and has been widely used to study the properties of materials [11,12]. By using first-principle calculations, Li et al., investigated the heterogeneous nucleation interface of Al/Al₃Ti [13] and Wang et al., examined the interfacial properties of the Mg (0002)/Al₂MgC₂ (0001) interface [14]. It has also been shown that Al₄C₃ might nucleate and grow on graphene, although this needs to be further confirmed [15,16]. The interaction of atoms at the interface between Gr and Al₄C₃ has not been studied, as far as we know. Based on metal solidification and the thermodynamic theory, a relatively stable nucleation interface needs a larger work of adhesion and a smaller interfacial energy, which will directly affect the potency of a heterogeneous substrate [17]. Therefore, a deeper investigation on Gr/Al₄C₃ interfacial structures at the atomic scale is necessary.

In this research, Gr (0001) and Al₄C₃ (0001) were studied because of their regular hexagonal atomic arrangement and relative small surface energy [18,19]. The main purpose of this paper was to analyze Gr/Al₄C₃ surface and interfaces by carrying out first-principle calculations and to discuss the potential of graphene as a heterogeneous nucleation substrate for Al₄C₃, on the basis of the calculation results. Our study could be highly significative for the interpretation of experimental results regarding graphene-reinforced Al-based composites and provide theoretical guidance for subsequent experiments.

2. Computational and Experimental Procedure

The graphene used in the experiment was provided by Renishaw Plc. (Renishaw, UK). AlSi10Mg powders were supplied by Tangshan Jianhua Science and Technology Development Co. Ltd.,(Tangshan, China). More information about the experimental materials can be found in our previous work [9]. The Gr/AlSi10Mg composites were produced by the Renishaw AM400 (Renishaw, England). The composites were produced with a laser power of 300W, a scanning speed of 1200 mm/s, a hatch spacing of 130 µm, and a layer thickness of 30 µm. The microstructures of the composites were analyzed using a scanning electron microscope (SEM, Zeiss Ultra 55, Jena, Germany), which was equipped with an energy dispersive spectroscopy (EDS). After a thinning treatment, the microstructure of the composites was observed using high-resolution transmission electron microscopy (HRTEM, JEM-F200, Tokyo, Japan).

The first principle based on the density functional theory was employed in this experiment. The simulation was based on the Cambridge Sequential Total Energy Package (CASTEP) code, which employed ultrasoft pseudopotentials to represent the interactions between valence electrons and the ionic core [20]. The atoms were relaxed to obtain the minimum energy of the system by solving the Broyden Fletcher Goldfarb Shanno (BFGS) function [21]. According to our previous work, a 10-layer Al-termination slab and a 12-layer C-termination Al₄C₃ (0001) slab were placed on a single-layer Gr (0001) slab [22]; in addition, a 10 Å vacuum was placed on the top of the Gr (0001) slab to prevent the periodic influence of free surfaces [23]. A model showing the interface between Gr and Al₄C₃ is presented in Figure 1.

![Figure 1](image_url)

**Figure 1.** Crystal structures of graphene (Gr) (0001)/Al₄C₃ (0001) interfaces. (a) Al-termination-AH-site, (b) Al-termination-CH-site, (c) C-termination-AH-site, (d) C-termination-CH-site.
3. Results and Discussion

As shown in Figure 2 (a), the microstructure of Gr/AlSi10Mg composites formed by SLM showed a typical cellular eutectic morphology caused by fast solidification [24]. Figure 2b shows the EDS mapping of the C, Mg, Al, and Si elements of Figure 2a. The TEM images of Gr/AlSi10Mg are shown in Figure 3. In Figure 3a, the Al₄C₃ phase, which had been verified by the FFT (Fast Fourier transform) pattern in Figure 3b, was observed near the interface between Gr and the Al matrix, as reported in several articles [5,15,25].

Figure 2. (a) SEM images of Gr/AlSi10Mg composites, (b) EDS mapping of the C, Mg, Al, and Si elements in (a).

Figure 3. TEM images of Gr/AlSi10Mg composites. (a) Low-magnification image, (b) HRTEM observation of Al₄C₃; the insets show the FFT (Fast Fourier transform) patterns of Al₄C₃.

In this experiment, high-quality monolayer graphene was used to synthesize the graphene-reinforced AlSi10Mg composite. For this, monolayer graphene was selected to build the interfacial model. The surface energy of graphene can be expressed as [17,26,27]:

\[
E_{\text{surf}} = \frac{1}{2A} (E_{\text{slab}}(N) - NE_{\text{bulk}})
\]  

(1)

where \(E_{\text{slab}}(N)\) is the total surface energy, \(A\) is the surface area, \(N\) is the number of atoms in the surface slab, and \(E_{\text{bulk}}\) is the energy per atom in the bulk. The surface energy of the Gr (0001) slab was 0.012 J/m². The surface energy of Al₄C₃ can be expressed by [18,28]:

\[
E_{\text{Al}_4\text{C}_3} = \frac{1}{2A} \left[ E_{\text{slab}} - N_{\text{Al}}\mu_{\text{Al}} - N_{\text{C}}\mu_{\text{C}} + PV - TS \right]
\]  

(2)

where \(E_{\text{slab}}\) is the total energy of the fully relaxed \(\text{Al}_4\text{C}_3\) (0001) surface slab, \(A\) is the surface area, and \(\mu_{\text{Al}}\) and \(\mu_{\text{C}}\) are the chemical potential of the aluminum atom and carbon atom in the surface slab,
respectively. \(N_{Al}\) and \(N_{C}\) are the numbers of the corresponding atoms in the surface slab. According to our previous work, the surface energy of \(Al_{4}C_{3}\) changed from 1.64 to 1.47 J/m\(^2\) for Al-termination and from 5.73 to 6.23 J/m\(^2\) for C-termination [22].

The work of adhesion for Gr (0001)/\(Al_{4}C_{3}\) (0001) was calculated using the formula [29,30]:

\[
W_{ad} = \frac{1}{A} \left( \sum_{H} E_{Gr}^{total} + E_{Al_{4}C_{3}}^{total} - E_{Gr/Al_{4}C_{3}}^{total} \right)
\]  

where \(E_{Gr}^{total}\) and \(E_{Al_{4}C_{3}}^{total}\) are the total energy of the fully relaxed surface slabs, \(E_{Gr/Al_{4}C_{3}}^{total}\) is the total energy of the Gr/\(Al_{4}C_{3}\) interface, and \(A\) is the surface area.

The work of adhesion and interfacial distance of four Gr (0001)/\(Al_{4}C_{3}\) (0001) interfaces before and after relaxation are reported in Table 1. The interfacial distance of the Al-termination interface after relaxation was smaller than the initial distance, while the interfacial distance of the C-termination interface increased. This shows that the type of termination has a great impact on the interface [31]. Stacking sites had a little impact on the interface. The Al-termination interface had a larger work of adhesion than the C-termination interface, while the Al-termination-CH-site interface had the largest work of adhesion (6.28 J/m\(^2\)) and the smallest interfacial distance (2.02 Å).

**Table 1.** Work of adhesion and interfacial distance for the unrelaxed and relaxed Gr (0001)/\(Al_{4}C_{3}\) (0001) interfaces.

| Termination | Stacking | Unrelaxed | | | | Relaxed | | |
|-------------|----------|-----------|---|---|---|---|---|---|
|             |          | \(d_0\) (Å) | \(W_{ad}\) (J/m\(^2\)) | \(d_1\) (Å) | \(W_{ad}\) (J/m\(^2\)) | \(d_0\) (Å) | \(W_{ad}\) (J/m\(^2\)) | \(d_1\) (Å) | \(W_{ad}\) (J/m\(^2\)) |
| Al          | AH       | 3.11      | -0.36 | 2.05 | 5.98 | 3.11 | -0.36 | 2.02 | 6.28 |
|             | CH       | 3.11      | -0.45 | 2.02 | 6.28 | 3.11 | -0.45 | 2.02 | 6.28 |
| C           | AH       | 3.40      | 0.29  | 3.48 | 0.26 | 3.40 | 0.29  | 3.49 | 0.79 |
|             | CH       | 3.40      | 0.28  | 3.49 | 0.79 | 3.40 | 0.28  | 3.49 | 0.79 |

The interfacial energy of the Gr (0001)/\(Al_{4}C_{3}\) (0001) interface can be defined as [32]:

\[
\gamma = \frac{1}{A} \left( E_{total} + \left( \frac{4}{3} N_{C,1} - N_{Al} \right) \mu_{Al} + \left( \frac{4}{3} N_{C,1} \mu_{Al_{4}C_{3}} - N_{C,2} \mu_{Gr} \right) - \delta_{Gr} - \delta_{Al_{4}C_{3}} \right)
\]  

where \(E_{total}\) is the total energy of the interfacial structure, and \(N_{C,1}\) and \(N_{C,2}\) are the number of carbon atoms in the \(Al_{4}C_{3}\) and Gr surface models, respectively. \(N_{Al}\) is the number of aluminum atoms in the surface model of \(Al_{4}C_{3}\). \(\mu_{Al}\), \(\mu_{Al_{4}C_{3}}\), and \(\mu_{Gr}\) are the chemical potentials of the bulk \(Al_{4}C_{3}\) and Gr, and \(\delta_{Gr}\) and \(\delta_{Al_{4}C_{3}}\) are the surface energies of the Gr and \(Al_{4}C_{3}\) surface structures.

The results showed that the maximum and the minimum of the interfacial energy were \(-0.25\) J/m\(^2\) and \(-2.29\) J/m\(^2\), respectively (Figure 4). Interfaces with negative interface energy are not stable in thermodynamics. When the negative value of interfacial energy is high enough, it can provide a driving force promoting the diffusion through the interface of the atoms positioned near it. This will result in interfacial alloying and in the formation of a new interfacial phase [33]. Therefore, the C-termination interface has a higher tendency to further react and form a stable interface.

In order to investigate the interfacial bonding nature of the Gr (0001)/\(Al_{4}C_{3}\) (0001) interfaces, the charge density distributions and the charge density differences for the four Gr (0001)/\(Al_{4}C_{3}\) (0001) interfaces were examined, as shown in Figures 5 and 6, respectively. The results showed that the effect of the stacking site was limited, which led to no difference in charge distribution between the AH-site and the CH-site in the same termination interface. For the Al-termination interface, a wide range of charge accumulation regions existed in the interfacial Al atom. The lost charge was transferred to the interfacial C atom of the Gr side, proving certain ionic features of the Al-termination interface. Because of the large the distance between the graphene layer and the \(Al_{4}C_{3}\) layer in the C-termination interface, there was no obvious regionalization feature at the interface.
Figure 4. Interfacial energies of four interfacial structures as a function of $\Delta \mu_{\text{Al}}$.

Figure 5. Charge density for the four Gr (0001)/$\text{Al}_4\text{C}_3$ (0001) interfaces taken along the (11\overline{2}0) direction. (a) Al-termination-AH-site interface, (b) Al-termination-CH-site interface, (c) C-termination-AH-site interface, (d) C-termination-CH-site interface.

Figure 6. Charge density difference for the four Gr (0001)/$\text{Al}_4\text{C}_3$ (0001) interfaces taken along the (11\overline{2}0) direction. (a) Al-termination-AH-site interface, (b) Al-termination-CH-site interface, (c) C-termination-AH-site interface, (d) C-termination-CH-site interface.
To further clarify the interfacial bonding characteristics of the Gr (0001)/Al₄C₃ (0001) interfaces, the Mulliken population was analyzed. Table 2 lists the Mulliken population analysis results of the Al and C atoms both at the interface and at the free surface. For the Al-termination interfaces, the interfacial Al atom lost more charge compared with the Al atom of the Al-termination free surface, while the interfacial C atom gained more charge. This indicates that an ionic bonding existed between the interfacial Al atom and the C atom. The overlap populations of the Al-C bond in the two Al-termination interfaces were of 0.31 and 0.19 respectively, which proves that covalent bonding was formed at the interface. Therefore, the bonding of the Al-termination interfaces was a mixture of covalent bonds and ionic bonds. For the C-termination interfaces, although there were gains and losses of charge in the interfacial C atoms, the Mulliken bond population analysis results showed that there was no chemical bonding at the interface.

| System                  | Atom | s   | p   | Total | Charge |
|-------------------------|------|-----|-----|-------|--------|
| Al-termination-AH interface | Al   | 0.71| 1.16| 1.87  | +1.13  |
|                         | C    | 1.42| 2.88| 4.30  | −0.30  |
| Al-termination-CH interface | Al   | 0.66| 1.15| 1.82  | +1.18  |
|                         | C    | 1.43| 2.87| 4.30  | −0.30  |
| C-termination-AH interface | C    | 1.87| 2.51| 4.38  | −0.38  |
|                         | C    | 1.35| 2.63| 3.97  | +0.03  |
| C-termination-CH interface | C    | 1.88| 2.50| 4.38  | −0.38  |
|                         | C    | 1.34| 2.63| 3.97  | +0.03  |
| Al-termination free surface | Al  | 1.06| 1.22| 2.29  | +0.71  |
| C-termination free surface | C    | 1.87| 2.51| 4.38  | −0.38  |
| Gr free surface         | C    | 1.05| 2.95| 4.00  | 0      |

* a C atom from the Al₄C₃ side. b C atom from the Gr side.

4. Conclusions

The Al₄C₃ phase was precipitated near graphene due to the reaction of graphene with Al during the SLM process. The first-principle calculation results showed that the Al-termination interface had larger work of adhesion and smaller interfacial energy and presented a mixture of covalent and ionic bonds at the Gr (0001)/Al₄C₃ (0001) interface. The work of adhesion of the C-termination interface was smaller, and there was no chemical bond at the interface, while the atoms near the C-termination interfaces were more likely to diffuse through the interface to produce interface alloying, which had an extremely important role in improving the stability of the Gr (0001)/Al₄C₃ (0001) interface. Based on the above experimental and first-principle calculations results, it can be concluded that graphene can be an effective nucleation substrate for Al₄C₃. This study will provide a theoretical reference for future research of Gr/Al composites.

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