RTM-DCU: Referential Translation Machines for Semantic Similarity

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Abstract

We use referential translation machines (RTMs) for predicting the semantic similarity of text. RTMs are a computational model for identifying the translation acts between any two data sets with respect to interpreters selected in the same domain, which are effective when making monolingual and bilingual similarity judgments. RTMs judge the quality or the semantic similarity of text by using retrieved relevant training data as interpreters for reaching shared semantics. We derive features measuring the closeness of the test sentences to the training data via interpreters, the difficulty of translating them, and the presence of the acts of translation, which may ubiquitously be observed in communication. RTMs provide a language independent approach to all similarity tasks and achieve top performance when predicting monolingual cross-level semantic similarity (Task 3) and good results in the semantic relatedness and entailment task (Task 1) (Marelli et al., 2014a).

Referential translation machine (Section 2) is a computational model for identifying the acts of translation for translating between any given two data sets with respect to a reference corpus selected in the same domain. An RTM model is based on the selection of interpreters, training data close to both the training set and the test set, which allow shared semantics by providing context for similarity judgments. In semiotics, an interpretant \( I \) interprets the signs used to refer to the real objects (Bicici, 2008). Each RTM model is a data translation and translation prediction model between the instances in the training set and the test set and translation acts are indicators of the data transformation and translation. RTMs present an accurate and language independent solution for making semantic similarity judgments.

We describe the tasks we participated below. Section 2 describes the RTM model and the features used. Section 3 presents the training and test results we obtain on the three tasks we competed and the last section concludes.

1 Semantic Similarity Judgments

We introduce a fully automated judge for semantic similarity that performs well in three semantic similarity tasks at SemEval-2014, Semantic Evaluation Exercises - International Workshop on Semantic Evaluation (Nakov and Zesch, 2014). RTMs provide a language independent solution for the semantic textual similarity (STS) task (Task 10) (Agirre et al., 2014), achieve top performance when predicting monolingual cross-level semantic similarity (Task 3) (Jurgens et al., 2014), and achieve good results in the semantic relatedness and entailment task (Task 1) (Marelli et al., 2014a).

Given two sentences, produce a relatedness score indicating the extent to which the sentences express a related meaning: a number in the range \([1,5]\).

We model the problem as a translation performance prediction task where one possible interpretation is obtained by translating \( S_1 \) (the source to translate, S) to \( S_2 \) (the target translation, T). Since linguistic processing can reveal deeper similarity relationships, we also look at the translation task at different granularities of information: plain
text (R for regular) and after lemmatization (L).
We lowercase all text.

Task 3 Cross-Level Semantic Similarity (CLSS) (Jurgens et al., 2014):

Given two text from different levels, produce a semantic similarity rating: a number in the range [0, 4].

CLSS task targets semantic similarity comparisons between text having different levels of granularity and we address the following level crossings: paragraph to sentence, sentence to phrase, and phrase to word. We model the problem as a translation performance prediction task among text from different levels.

Task 10 Multilingual Semantic Textual Similarity (MSTS) (Agirre et al., 2014)

Given two sentences \(S_1\) and \(S_2\) in the same language, quantify the degree of similarity: a number in the range [0, 5].

MSTS task addresses the problem in English and Spanish (score range is [0, 4]). We model the problem as a translation performance prediction task between \(S_1\) and \(S_2\).

2 Referential Translation Machine (RTM)

Referential translation machines provide a computational model for quality and semantic similarity judgments in monolingual and bilingual settings using retrieval of relevant training data (Biçici, 2011; Biçici and Yuret, 2014) as interprets for reaching shared semantics (Biçici, 2008). RTMs are a language independent approach and achieve top performance when predicting the quality of translations (Biçici, 2013; Biçici and Way, 2014) and when predicting monolingual cross-level semantic similarity (Jurgens et al., 2014), and good performance when evaluating the semantic relatedness of sentences and their entailment (Marelli et al., 2014a), as an automated student answer grader (Biçici and van Genabith, 2013b), and when judging the semantic similarity of sentences (Biçici and van Genabith, 2013a; Agirre et al., 2014). We improve the RTM models by:

- using a parameterized, fast implementation of FDA, FDA5, and our Parallel FDA5 instance selection model (Biçici et al., 2014),
- better modeling of the language in which similarity judgments are made with improved optimization and selection of the LM data,
- using a general domain corpus to select interprets from,
- increased feature set for also modeling the structural properties of sentences,
- extended learning models.

We use the Parallel FDA5 (Feature Decay Algorithms) instance selection model for selecting the interpretants (Biçici et al., 2014; Biçici and Yuret, 2014) this year, which allows efficient parameterization, optimization, and implementation of FDA, and build an MTPP model (Section 2.1). We view that acts of translation are ubiquitously used during communication:

Every act of communication is an act of translation (Bliss, 2012).

Translation need not be different languages and paraphrasing or communication also contain acts of translation. When creating sentences, we use our background knowledge and translate information content according to the current context.

The inputs to the RTM algorithm Algorithm 1 are a training set \(\text{train}\), a test set \(\text{test}\), some corpus \(C\), preferably in the same domain as the training and test sets, and a learning model. Step 1 selects the interpretants, \(I\), relevant to both the training and test data. Steps 2 and 3 use \(I\) to map \(\text{train}\) and \(\text{test}\) to a new space where similarities between translation acts can be derived more easily. Step 4 trains a learning model \(M\) over the training features, \(\mathcal{F}_{\text{train}}\), and Step 5 obtains the predictions. Figure 1 depicts the RTM.

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**Algorithm 1:** Referential Translation Machine

**Input:** Training set \(\text{train}\), test set \(\text{test}\), corpus \(C\), and learning model \(M\).

**Data:** Features of \(\text{train}\) and \(\text{test}\), \(\mathcal{F}_{\text{train}}\) and \(\mathcal{F}_{\text{test}}\).

**Output:** Predictions of similarity scores on the test \(\hat{q}\).

1. FDA5(\(\text{train, test, } C\)) \(\rightarrow \mathcal{I}\)
2. MTPP(\(\mathcal{I}, \text{train}\)) \(\rightarrow \mathcal{F}_{\text{train}}\)
3. MTPP(\(\mathcal{I}, \text{test}\)) \(\rightarrow \mathcal{F}_{\text{test}}\)
4. learn\((M, \mathcal{F}_{\text{train}}) \rightarrow \mathcal{M}\)
5. predict\((\mathcal{M}, \mathcal{F}_{\text{test}}) \rightarrow \hat{q} \)
Our encouraging results in the semantic similarity tasks increase our understanding of the acts of translation we ubiquitously use when communicating and how they can be used to predict the semantic similarity of text. RTM and MTPP models are not data or language specific and their modeling power and good performance are applicable in different domains and tasks. RTM expands the applicability of MTPP by making it feasible when making monolingual quality and similarity judgments and it enhances the computational scalability by building models over smaller and more relevant set of interpretants.

2.1 The Machine Translation Performance Predictor (MTPP)

MTPP (Biçici et al., 2013) is a state-of-the-art and top performing machine translation performance predictor, which uses machine learning models over features measuring how well the test set matches the training set to predict the quality of a translation without using a reference translation. MTPP measures the coverage of individual test sentence features found in the training set and derives indicators of the closeness of test sentences to the available training data, the difficulty of translating the sentence, and the presence of acts of translation for data transformation.

2.2 MTPP Features for Translation Acts

MTPP feature functions use statistics involving the training set and the test sentences to determine their closeness. Since they are language independent, MTPP allows quality estimation to be performed extrinsically. MTPP uses n-gram features defined over text or common cover link (CCL) (Seginer, 2007) structures as the basic units of information over which similarity calculations are made. Unsupervised parsing with CCL extracts links from base words to head words, representing the grammatical information instantiated in the training and test data.

We extend the MTPP model we used last year (Biçici, 2013) in its learning module and the features included. Categories for the features (S for source, T for target) used are listed below where the number of features are given in brackets for S and T, \{#S, #T\}, and the detailed descriptions for some of the features are presented in (Biçici et al., 2013). The number of features for each task differs since we perform an initial feature selection step on the tree structural features (Section 2.3).

The number of features are in the range 337 – 437.

- **Coverage \{56, 54\}:** Measures the degree to which the test features are found in the training set for both S (\{56\}) and T (\{54\}).
- **Perplexity \{45, 45\}:** Measures the fluency of the sentences according to language models (LM). We use both forward (\{30\}) and backward (\{15\}) LM features for S and T.
- **TreeF \{0, 10-110\}:** 10 base features and up to 100 selected features of T among parse tree structures (Section 2.3).
- **Retrieval Closeness \{16, 12\}:** Measures the degree to which sentences close to the test set are found in the selected training set, T, using FDA (Biçici and Yuret, 2011a) and BLEU, F₁ (Biçici, 2011), dice, and tf-idf cosine similarity metrics.
- **IBM2 Alignment Features \{0, 22\}:** Calculates the sum of the entropy of the distribution of alignment probabilities for S (\(\sum_{s \in S} -p \log p\) for \(p = p(t|s)\) where s and t are tokens) and T, their average for S and T, the number of entries with \(p \geq 0.2\) and \(p \geq 0.01\), the entropy of the word alignment between S and T and its average, and word alignment log probability and its value in terms of bits per word. We also compute word alignment percentage as in (Camargo de Souza et al., 2013) and potential BLEU, F₁, WER, PER scores for S and T.
- **IBM1 Translation Probability \{4, 12\}:** Calculates the translation probability of test sentences using the selected training set, T (Brown et al., 1993).
- **Feature Vector Similarity \{8, 8\}:** Calculates similarities between vector representations.
Table 1: Tree features for a parsing output by CCL (immediate non-terminals replaced with NP).

| numB | depthB | avg depthB | R/L | avg R/L |
|------|--------|------------|-----|---------|
| 24.0 | 9.0    | 0.375      | 2.1429 | 3.401   |

2.3 Bracketing Tree Structural Features

We use the parse tree outputs obtained by CCL to derive features based on the bracketing structure. We derive 5 statistics based on the geometric properties of the parse trees: number of brackets used (numB), depth (depthB), average depth (avg depthB), number of brackets on the right branches over the number of brackets on the left (R/L)\(^2\), average right to left branching over all internal tree nodes (avg R/L). The ratio of the number of right to left branches shows the degree to which the sentence is right branching or not. Additionally, we capture the different types of branching present in a given parse tree identified by the number of nodes in each of its children.

Table 1 depicts the parsing output obtained by CCL for the following sentence from WSJ23:\(^3\):

Many fund managers argue that now’s the time to buy.

We use Tregex (Levy and Andrew, 2006) for visualizing the output parse trees presented on the left. The bracketing structure statistics and features are given on the right hand side. The root node of each tree structural feature represents the number of times that feature is present in the parsing output of a document.

3 SemEval-14 Results

We develop individual RTM models for each task and subtask that we participate at SemEval-2014 with the RTM-DCU team name. The interpreters are selected from the LM corpora distributed by the translation task of WMT14 (Bojar et al., 2014) and the LM corpora provided by LDC for English (Parker et al., 2011) and Spanish (Ángelo Mendonça, 2011)\(^4\). We use the Stanford POS tagger (Toutanova et al., 2003) to obtain the lemmatized corpora for the SRE task. For each RTM

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\(^1\)\(LIX = \frac{A}{B} + C \cdot \frac{0.10}{A}\), where A is the number of words, C is words longer than 6 characters, B is words that start or end with any of ";", ".", ":", "," similar to (Hagström, 2012).

\(^2\)For nodes with uneven number of children, the nodes in the odd child contribute to the right branches.

\(^3\)Wall Street Journal (WSJ) corpus section 23, distributed with Penn Treebank version 3 (Marcus et al., 1993).

\(^4\)English Gigaword 5th, Spanish Gigaword 3rd edition.
model, we extract the features both on the training set and the test set. The number of instances we select for the interpretants in each task is given in Table 2.

| Task           | Setting      | Train | LM   |
|----------------|--------------|-------|------|
| Task 1, SRE   | English      | 770   | 10770|
| Task 3, CLSS  | Par2S        | 302   | 2802 |
| Task 3, CLSS  | S2Phrase     | 202   | 2702 |
| Task 3, CLSS  | Phrase2W     | 102   | 2602 |
| Task 10, MSTS | English      | 504   | 8002 |
| Task 10, MSTS | English OnWN | 504   | 8002 |
| Task 10, MSTS | Spanish      | 502   | 8002 |

Table 2: Number of sentences in $I$ (in thousands) selected for each task.

We use ridge regression (RR), support vector regression (SVR) with RBF (radial basis functions) kernel (Smola and Schölkopf, 2004), and extremely randomized trees (TREE) (Geurts et al., 2006) as the learning models. TREE is an ensemble learning method over randomized decision trees. These models learn a regression function using the features to estimate a numerical target value. We also use these learning models after a feature subset selection with recursive feature elimination (RFE) (Guyon et al., 2002) or a dimensionality reduction and mapping step using partial least squares (PLS) (Specia et al., 2009), both of which are described in (Bicici et al., 2013). We optimize the learning parameters, the number of features to select, the number of dimensions used for PLS, and the parameters for parallel FDA5. More detailed descriptions of the optimization processes are given in (Bicici et al., 2013; Bicici et al., 2014). We optimize the learning parameters by selecting $\varepsilon$ close to the standard deviation of the noise in the training set (Bicici, 2013) since the optimal value for $\varepsilon$ is shown to have linear dependence to the noise level for different noise models (Smola et al., 1998). At testing time, the predictions are bounded to obtain scores in the corresponding ranges. We obtain the confidence scores using support vector classification (SVC).

3.1 Task 1: Semantic Relatedness and Entailment

MSTS contains sentence pairs from the SICK (Sentences Involving Compositional Knowledge) data set (Marelli et al., 2014b), which contain sentence pairs that contain rich lexical, syntactic and semantic phenomena. Official evaluation metric in SRE is the Pearson’s correlation score, which is used to select the top systems on the training set. SRE task allows the submission of 5 entries. We present the performance of the top 5 individual RTM models on the training set in Table 3.

| Data Model | ACC | $r_P$ | $r_S$ | MSE  | MAE  | RAE  |
|------------|-----|-------|-------|------|------|------|
| L SVR      | 67.53 | .7372 | .6918 | .6946 | .5511 | .6856 |
| L PLS-SVR  | 67.04 | .7539 | .6927 | .6763 | .5369 | .668 |
| R+L PLS-SVR| 67.66 | .7599 | .6879 | .6584 | .5391 | .6705 |
| R+L SVR    | 67.44 | .7559 | .6887 | .6646 | .5314 | .6726 |
| R PLS-RR   | 66.61 | .7570 | .6683 | .6637 | .5324 | .6744 |

Table 3: SRE training results of the top 5 RTM systems selected.

SRE challenge results on the test set are given in Table 4. The setting R using PLS-SVR learning becomes the 8th out of 17 submissions when predicting the semantic relatedness and 17th out of 18 submissions when predicting the entailment.

| Data Model | ACC | $r_P$ | $r_S$ | RMSE | MAE  | RAE  |
|------------|-----|-------|-------|------|------|------|
| R PLS-SVR  | 67.20 | .7639 | .6877 | .655 | .5246 | .6645 |
| R+L PLS-SVR| 67.65 | .7688 | .6918 | .6492 | .5194 | .658 |
| L SVR      | 67.65 | .7559 | .6887 | .6646 | .5314 | .6726 |
| R+L SVR    | 67.44 | .7526 | .6899 | .6555 | .5251 | .6651 |
| R PLS-SVR  | 66.61 | .7570 | .6683 | .6637 | .5324 | .6744 |

Table 4: RTM-DCU test results on the SRE task.

| Model | $r_P$ | RMSE | MAE  | RAE  |
|-------|-------|------|------|------|
| Par2S TREE | 0.8013 | 0.8345 | 0.6277 | 0.5083 |
| Par2S PLS-TREE | 0.7737 | 0.8824 | 0.673 | 0.5499 |
| Par2S SVR | 0.7718 | 0.8863 | 0.6791 | 0.5499 |
| S2Phrase TREE | 0.6176 | 0.9887 | 0.7476 | 0.6665 |
| S2Phrase PLS-TREE | 0.6119 | 1.0161 | 0.8582 | 0.7584 |
| S2Phrase SVR | 0.6059 | 1.0662 | 0.8666 | 0.7458 |
| Phrase2W TREE | 0.201 | 1.3275 | 1.1353 | 0.9706 |
| Phrase2W RR | 0.1255 | 1.3463 | 1.1594 | 0.9912 |
| Phrase2W SVR | 0.0847 | 1.3548 | 1.1663 | 0.9972 |

Table 5: CLSS training results of the top 3 RTM systems for each subtask. Levels correspond to paragraph to sentence (Par2S), sentence to phrase (S2Phrase), and phrase to word (Phrase2W).
3.2 Task 3: Cross-Level Semantic Similarity

CLSS contains sentence pairs from different genres including text from newswire, travel, reviews, metaphor text, community question answering sites, idiomatic text, descriptions, lexicographic text, and search. Official evaluation metric in CLSS is the sum of the Pearson’s correlation scores for different levels. CLSS task allows the submission of 3 entries per subtask. We present the performance of the top 3 individual RTM models on the training set in Table 5. RMSE is the root mean squared error. As the compared text size decrease, the performance decrease since it can become harder and more ambiguous to find the similarity using less context. RTM-DCU results on the CLSS challenge test set are provided in Table 6.

| Model         | $r_P$ | RMSE | MAE  | RAE  |
|---------------|-------|------|------|------|
| Par2S TREE    | 0.8445| .7417| .5622| .4579|
| Par2S PLS-TREE| 0.7847| .853 | .6456| .5258|
| Par2S SVR     | 0.7858| .8428| .6539| .5325|
| S2Phrase TREE | 0.75  | .8227| .7033| .6235|
| S2Phrase PLS-TREE| 0.6979| .9491| .7781| .69  |
| S2Phrase SVR  | .6631 | .9385| .7992| .7088|
| Phrase2W TREE | .3053 | 1.3351| 1.14 | .9488|
| Phrase2W RR   | .2207 | 1.3644| 1.1574| .9633|
| Phrase2W SVR  | .1712 | 1.3792| 1.1792| .9815|

Table 6: RTM-DCU test results on CLSS for the top 3 RTM systems for each subtask.

Table 7 lists the results along with their ranks for $r_P$ and $r_S$, Spearman’s correlation, out of CHECK submissions. The baseline in Table 7 is normalized longest common substring (LCS) scaled in the range [0, 4]. Top individual rank row lists the ranks in each subtask. We present the results for both our official and late (about 1 day) submissions including word to sense (W2S) results. RTM-DCU is able to obtain the top result in Par2S in the CLSS task.

3.3 Task 10: Multilingual Semantic Textual Similarity

MSTS contains sentence pairs from different domains: sense definitions from semantic lexical resources such as OnWN (from OntoNotes (Pradhan et al., 2007) and WordNet (Miller, 1995)) and FNWN (from FrameNet (Baker et al., 1998) and WordNet), news headlines, image descriptions, news title tweet comments, deft forum and news.

Offical evaluation metric in MSTS is the Pearson’s correlation score.

MSTS task provides 7622 training instances and 3750 test instances. For the OnWN domain, 1316 training instances are available and therefore, we build a separate RTM model for this domain. Separate modeling of the OnWN dataset results in higher confidence scores on the test instances than we would obtain using the overall model to predict. MSTS task allows the submission of 3 entries per subtask. We present the performance of the top 3 individual RTM models on the training set in Table 8.

| Lang  | Model | $r_P$ | RMSE | MAE  | RAE  |
|-------|-------|-------|------|------|------|
| English | TREE  | 0.6931| 1.0627| 0.8058| 0.6649|
|       | PLS-TREE| 0.6875| 1.0753| 0.8038| 0.6632|
|       | PLS-SVR| 0.6884| 1.0698| 0.8157| 0.6730|
| OnWN  | TREE  | 0.8094| 0.9295| 0.694 | 0.5245|
|       | PLS-TREE| 0.7953| 0.9604| 0.7203| 0.5444|
|       | PLS-SVR| 0.7888| 0.9779| 0.7234| 0.5468|
| Spanish| TREE  | 0.6513| 0.7341| 0.5904| 0.7508|
|       | PLS-TREE| 0.4157| 0.9007| 0.7108| 0.9039|
|       | PLS-SVR| 0.4239| 1.1427| 0.8293| 1.0545|

Table 8: MSTS training results on the English, English OnWN, and Spanish tasks.

RTM results on the MSTS challenge test set are provided in Table 9 along with the RTM results in STS 2013 (Bičić and van Genabith, 2013a). Table 10 and Table 11 lists the official results on English and Spanish tasks with rankings calculated according to weighted $r_P$, which weights according to the number of instances in each domain. RTM-DCU is able to become 10th in the OnWN domain and 19th overall out of 38 submissions in MSTS English and 18th out of 22 submissions in Spanish tasks.

$^5$Giving advantage to participants submitting to all levels.

$^6$W2S results for the late submission is obtained from the LCS baseline to calculate the ranks.

Table 7: RTM-DCU test results on CLSS.

paraphrases. Official evaluation metric in MSTS is the Pearson’s correlation score.

MSTS task provides 7622 training instances and 3750 test instances. For the OnWN domain, 1316 training instances are available and therefore, we build a separate RTM model for this domain. Separate modeling of the OnWN dataset results in higher confidence scores on the test instances than we would obtain using the overall model to predict. MSTS task allows the submission of 3 entries per subtask. We present the performance of the top 3 individual RTM models on the training set in Table 8.
4 Conclusion

Referential translation machines provide a clean and intuitive computational model for automatically measuring semantic similarity by measuring the acts of translation involved and achieve to be the top on some semantic similarity tasks at SemEval-2014. RTMs make quality and semantic similarity judgments possible based on the retrieval of relevant training data as interpretants for reaching shared semantics.

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Table 10: RTM-DCU test results with ranks on MSTS English task.

| Task    | Subtask | Domain | Model          | RAE   |
|---------|---------|--------|----------------|-------|
| SRE     | English | SICK   | R PL-SVR       | .6645 |
|         |         |        | R+L PL-SVR     | .6580 |
|         |         |        | L SVR          | .6726 |
|         |         |        | R+L SVR        | .6651 |
|         |         |        | R PL-SVR       | .6744 |
| CLSS    | Par2S   | Mixed  | TREE           | .4579 |
|         | S2Phrase|        | TREE           | .6255 |
|         | Phrase2W|        | TREE           | .9488 |
| MSTS    | English |        | deft-forum PL-S-TREE | 1.078 |
|         |         |        | deft-news PL-S-TREE | .8462 |
|         |         |        | headlines PL-SVR | .7467 |
|         |         |        | images TREE    | .7395 |
|         |         |        | OnWN PL-S-VR   | .5263 |
|         |         |        | tweet-news TREE | .8093 |
| Spanish | News    |        | PLS-SVR        | 1.3813 |
|         | Wikipedia|       | TREE           | 1.3579 |
| STS 2013| English | headlines | L+P+S SVR | .9607 |
|         |         | OnWN   | L+P+S SVR | .8124 |
|         |         | SMT    | L+P+S SVR TL | 1.5339 |
|         |         | FNWN   | L+S SVR | 1.2633 |
| QET PEE | Spanish-English | Europarl | FS-RP | .9000 |
|         | Spanish-English | Europarl | PL-S-R | .9409 |
|         | English-German | Europarl | PL-S-TREE | .8883 |
|         | English-Spanish | Europarl | TREE | .8602 |
|         | German-English | Europarl | RR | .8204 |
|         | German-English | Europarl | PL-S-R | .8437 |
| QET HTER| English-Spanish | Europarl | SVR | .8532 |
|         | English-Spanish | Europarl | TREE | .8931 |
| QET PET | English-Spanish | Europarl | RR | .7536 |

Table 12: Best RTM-DCU RAE test results for different tasks and subtasks as well as STS 2013 results (Bičići and van Genabith, 2013a) and results from quality estimation task of translation (Bojar et al., 2014; Bičići and Way, 2014).

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