Preconditioning With Intermittent Hypobaric Hypoxia Attenuates Stroke Damage and Modulates Endocytosis in Residual Neurons

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Background: Moderate hypobaric hypoxia induces cerebral ischemic tolerance. We investigated the optimal method for applying hypobaric hypoxia preconditioning at 5,000 m to ischemic brain tissue and combined it with proteomics to determine the mechanisms underlying this effect.

Methods: Male SD rats were randomly grouped as S (sham, n = 20), M (middle cerebral artery occlusion [MCAO], n = 28), H2M (intermittent hypobaric hypoxia preconditioned MCAO group, 2 h/day, 10 days, n = 20), H6M (intermittent hypobaric hypoxia preconditioned MCAO group, 6 h/day, 10 days, n = 28), and HpM (persistent hypobaric hypoxia preconditioned MCAO group, 10 days, n = 28). The permanent MCAO model was established based on the Zea Longa method. Infarction was assessed with the modified neurological severity score (mNSS) and 2,3,5-triphenyl tetrazolium chloride staining. The total protein expression of the neuron-specific nuclear protein (NeuN), cysteinyl aspartate specific proteinase 3 (caspase-3), cleaved-caspase-3, and interleukin 6 (IL-6) was determined using western blotting. We assessed the peri-infarct cortex’s ultrastructural changes. A label-free proteomic study and western blot verification were performed on the most effective preconditioned group.

Results: The H6M group showed a lower infarct volume (p = 0.0005), lower mNSS score (p = 0.0009) than the M group. The H2M showed a lower level of IL-6 (p = 0.0213) than the M group. The caspase-3 level decreased in the H2M (p = 0.0002), H6M (p = 0.0025), and HpM groups (p < 0.0001) compared with that in the M group. Cleaved-caspase-3 expression decreased in the H2M (p = 0.0011), H6M (p < 0.0001), and HpM groups (p < 0.0001) compared with that in the M group. The neurons’ ultrastructure and the blood-brain barrier in the peri-infarct tissue improved in the H2M and H6M groups. Immunofluorescence revealed increased NeuN-positive cells in the peri-infarct tissue in the H6M group (p = 0.0003, H6M vs. M). Protein expression of Chmp1a, Arpc5, and Hspa2 factors related to endocytosis were upregulated in the H6M compared with those of the M group (p < 0.05 for all) on western blot verification of label-free proteomics.
INTRODUCTION

Stroke is among the top three causes of death and one of the major causes of permanent disability worldwide (1), leading to tremendous social and economic burdens (2). Further, individuals who have suffered a first stroke are at high risk of recurrent strokes, thereby creating a life-long concern for patients (3). Tissue-plasminogen activator remains the only effective drug approved by the United States Food and Drug Administration for the treatment of strokes (4, 5). Since tissue-plasminogen activator is recommended to be administered within 4.5 h due to the relatively short half-life (3–4.5 min) (6, 7), many patients cannot receive timely and efficient treatment. Therefore, the prevention and treatment of stroke require further investigation. Numerous animal models have been used to examine potential preventative and therapeutic methods to reduce the severity of acute brain injuries (8, 9).

In the 1980’s, it was suggested that sub-lethal stimulation induces ischemic tolerance to protect vital organs, such as the heart and brain, from lethal ischemic injury (10). Moreover, it was observed that patients with a history of transient ischemic attacks exhibited better clinical outcomes than those without an attack history (11–14). Severe hypoxia has long been regarded as detrimental to the human body and may be a key pathogenic factor in pulmonary hypertension (15, 16) and right ventricular hypertrophy (17, 18), even leading to dysfunction of the reproductive system in female rats (19). Studies have revealed correlations between high-altitude hypoxia and neurological disorders or diseases (cerebral edema, sleep disorder, cognition impairment, and cerebral vascular leakage) (20–23). Most harmful conditions reported in the literature are based on chronic (>2 weeks) or high-intensity (>5,500 m) hypoxic exposure (24–26). Moderate hypoxia (3,500–5,500 m) (27) is a feasible, economical, and effective non-invasive method of preconditioning that may enhance tissue tolerance through moderate stimulation aimed at achieving tissue protection. Numerous basic research efforts have demonstrated the neuroprotective effect of hypoxic preconditioning (HPC) from various perspectives (28–31). Intermittent hypobaric hypoxia was reported to improve vasodilatation (32), reduce vascular sclerosis, increase left ventricular ejection fraction, and upregulate the expression of antioxidant enzymes in cardiac tissues (33). It may also be beneficial to the cardiovascular system. Additionally, the upregulation of heat shock protein 70 may play a pivotal role in tissue tolerance during the hypobaric hypoxia procedure (34, 35). Activation of hypoxia-inducible factor and its downstream target genes, including glucose transporters, vascular endothelial growth factor b, and erythropoietin, has also been demonstrated to contribute to HPC-induced ischemic tolerance (28, 36). Hypoxic preconditioned neural stem cells improve the efficacy of stem cell therapy in brain injury (37, 38).

Given the controversy regarding preprocesing, we hypothesized that the protective effect of hypobaric hypoxia preconditioning (HHP) may coexist with the damage incurred; however, when the stimulation is too strong, more serious tissue damage occurs easily. To date, two main techniques (39) that could affect the neuroprotective function exist. These are as follows: persistent hypoxic preconditioning (PHP) and intermittent hypoxic preconditioning (IHP). Nevertheless, the optimal duration of intermittent hypoxia stimulation and the difference between the two preconditioning protocols remain unclear. Therefore, we aimed to examine these factors by establishing different preconditioned groups in a rat stroke model. Our findings contribute markedly to the study of ischemia tolerance and provide new insights regarding endogenous protection with implications for clinical drug therapy in cerebral ischemic diseases, such as stroke. Clathrin-dependent endocytosis (CDE) is the optimum internalization procedure of cell membrane receptors; almost all signal receptors function through CDE (40). It also represents the main pathway for transmembrane transporters and receptor uptake in both humans and animals. CDE functions in response to changes in the environment (41); fragment C of tetanus toxin is proven to enter the neurons through CDE and play a neuroprotective role in degenerative disease (42). Promotion of CDE could inhibit the damage caused due to glutamate neurotoxicity (43). Changes in atmospheric pressure and oxygen can also affect this biological process, and there may be an association between HHP and CDE.

MATERIALS AND METHODS

Animals

A total of 104 Sprague Dawley rats (male, 7 weeks old, 180–220 g) were acquired from the Animal Center of Xi’an Jiao Tong University (Xi’an, China). All procedures were approved by the Ethics Committee for Animal Experimentation of Qinghai University according to the National Institutes of Health Guide for the Care and Use of Laboratory Animals (https://grants.nih.gov/grants/olaw/Guide-for-the-Care-and-use-of-laboratory-animals.pdf). All rodents used in the study were housed in a...
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Experimental Design

The experimental workflow is presented in Figure 1 and illustrates the effect of HHP in ischemic rats. The rats (n = 104) were randomly divided into five groups: S (sham, n = 20), M (middle cerebral artery occlusion [MCAO], n = 28), H2M (intermittent hypobaric hypoxia preconditioned MCAO group, 2 h/day, n = 20), H6M (intermittent hypobaric hypoxia preconditioned MCAO group, 6 h/day, n = 28), and HpM (persistent hypobaric hypoxia preconditioned MCAO group, n = 28). Behavioral tests and morphological staining (TTC staining) were used to analyze the severity of infarction. Total protein expression of NeuN (a specific marker of mature neurons), caspase-3, cleaved-caspase-3, and IL-6 was estimated using western blotting, which explained the severity of injury from different perspectives. Ultrastructural changes were observed under a transmission electron microscope. The most effective pretreatment group was selected for further label-free proteomic study and provided a reliable direction for mechanism exploration. Western blotting was used to verify the expression of the target protein, and key markers for the biological process were detected using immunofluorescence. caspase-3, cysteinyl aspartate specific proteinase 3; IL-6, interleukin 6; NeuN, neuron-specific nuclear protein; TTC, 2,3,5-triphenyl tetrazolium chloride.

well-conditioned environment (18–22°C, 12-h light/dark cycle) and were provided food and water ad libitum.

FIGURE 1 | Experimental workflow. One hundred four rats were randomly divided into five groups: group S (sham, n = 20), group M (middle cerebral artery occlusion [MCAO], n = 28), group H2M (intermittent hypobaric hypoxia preconditioned MCAO group, 2 h/day, n = 20), group H6M (intermittent hypobaric hypoxia preconditioned MCAO group, 6 h/day, n = 28), and group HpM (persistent hypobaric hypoxia preconditioned MCAO group, n = 28). Behavioral tests and morphological staining (TTC staining) were used to analyze the severity of infarction. Total protein expression of NeuN (a specific marker of mature neurons), caspase-3, cleaved-caspase-3, and IL-6 was estimated using western blotting, which explained the severity of injury from different perspectives. Ultrastructural changes were observed under a transmission electron microscope. The most effective pretreatment group was selected for further label-free proteomic study and provided a reliable direction for mechanism exploration. Western blotting was used to verify the expression of the target protein, and key markers for the biological process were detected using immunofluorescence. caspase-3, cysteinyl aspartate specific proteinase 3; IL-6, interleukin 6; NeuN, neuron-specific nuclear protein; TTC, 2,3,5-triphenyl tetrazolium chloride.
preconditioned groups. Twenty-four hours after the MCAO procedure, behavioral tests and morphological staining (using 2,3,5-triphenyl tetrazolium chloride) were performed to analyze the severity of the infarction; brain tissue was isolated for proteomics and western blotting. Total protein expressions of the neuron-specific nuclear protein (NeuN; a specific marker of mature neurons), cysteinyl aspartate specific proteinase 3 (caspase-3), cleaved-caspase-3, and interleukin-6 (IL-6) were estimated using western blotting, which reflected the severity of injury from various perspectives. Ultrastructural alterations were evaluated using transmission electron microscopy. The most effective preconditioned group was selected for further label-free proteomic studies and provided a reliable direction for the exploration of a mediating mechanism.

**Surgery for the MCAO Model**

The MCAO model was established as previously described (44). After weighing and induction of anesthesia (1% pentobarbital sodium, 35 mg/kg), animals were fixed onto an operating table in a supine position, and their neck region was shaved and disinfected. A midclavicular longitudinal incision (3 cm) was made. Arteries (left common carotid artery (CCA), left external carotid artery, internal carotid artery (ICA)) and nerves were fully exposed by separating the subcutaneous muscle tissue. The proximal ends of the external carotid artery and CCA were ligated. The ICA was clipped temporarily. A V-shaped incision was made in the CCA, and the artery clamp was released, allowing for the filament insertion (Doccol filament, 403556PK5Re, Sharon, USA) from the CCA into the ICA. Once resistance was encountered, the ICA was fixed, ligated, and plugged with a silk thread. According to the Zea Longa scoring system, a score of 1–3 points was considered as indicating a successful model whereas subarachnoid hemorrhage (anatomical study) or absence of neurological deficit symptoms (0 points) was considered as indicating a failed model. The S group received similar treatment as that to the M group except that no filament was inserted. All rats underwent rectal temperature monitoring to ensure that their bodies were maintained at 36.5±0.5°C, intraoperatively.

**Model for HHP**

In the preconditioned groups, rats were placed in a hypobaric hypoxia chamber (Dyc-3000; Guizhou Feng Lei Aviation Machinery Co., Ltd., Guangzhou, China) for different durations each day for 10 days and were exposed to hypoxia at a progressively increased simulated altitude of 5,000 m (atmospheric pressure: 52.93 kPa, temperature: 18°C, relative sea-level oxygen content: 10.24%). The H2M group received HHP stimulation for 2 h/day. The H6M group was maintained at the target altitude for 6 h/day. Rats in the HpM group were kept in a persistent hypoxic environment for 10 days.

**Evaluation of Infarct Size and Neurobehavioral Outcomes**

Twenty-four hours after the occlusion, the neurological deficits of the permanent MCAO rats in four groups (M, H2M, H6M, and HpM) were evaluated randomly and in a double-blinded manner according to the modified neurological severity score (mNSS) (45) and the Longa score (46), which are widely used for behavioral assessment in rats after permanent cerebral ischemia. Neurological deficits, including limb motor function, balance, sensory (vision, tactus, and deep sensation), reflex absence, and abnormal movements, were estimated on a large scale. Each aspect of neural function was graded from 0 to 18 (0: normal score; 18: maximum damage). Therefore, the higher the score, the more serious the brain injury (47).

Five rats from each group were randomly selected for morphological staining. Freshly prepared brain slices (thickness: 2 mm) were incubated at 37°C in culture dishes containing the 2,3,5-triphenyl tetrazolium chloride (2%) stain. The formula to calculate the corrected infarcted volume percentage was as previously described (48).

Image-Pro plus 6.0. software was used to calculate the corrected percentage of cerebral infarction volume in each group.

**Transmission Electron Microscopy Analysis**

Two rats from each group were randomly selected, perfused with normal saline, and fixed with glutaraldehyde. Cerebral cortex tissue (1 cm³) in the peri-infarct area was collected, and the samples were pre-fixed with glutaraldehyde (3%) and post-fixed with osmium tetroxide (1%). Acetone was used to gradually dehydrate the samples using a concentration gradient of 30, 50, 70, 80, 90% 95, and 100% (three changes in 100%). The dehydrated samples were successively treated with a mixture of a dehydrating agent, epoxy resin (Epon812), and osmotic solution at ratios of 3:1, 1:1, and 1:3; each step was performed for 30–60 min. The infiltrated sample block was first placed in an appropriate mold, and the embedding solution was poured into it. After polymerization, slices (~50 nm) were prepared using a microtome and transferred onto copper mesh grids. The samples were stained with uranium acetate and lead citrate for 15–20 min at room temperature (18–22°C) and observed using a JEM-1400 plus transmission electron microscope (JEOL Ltd., Tokyo, Japan).

**Evaluation of NeuN, IL-6, Caspase-3, and Cleaved-Caspase-3 Protein Levels in the Cortex**

Expression levels of IL-6, caspase-3, and cleaved-caspase-3 proteins, extracted from the left hemisphere of the cerebral cortex of rats in the five groups, were assessed using western blotting. Equal quantities (10 μg) of the desaturated sample mixture from the five groups were added into different wells on 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis gels. Subsequently, the total protein was fully transferred onto polyvinylidene fluoride membranes (Millipore, Billerica, Massachusetts, USA). The membrane with total protein was blocked using bovine serum albumin (5%) for 2 h at 18–22°C and then incubated overnight with antibodies against NeuN (1:2000, ab177487, Abcam, Cambridge, MA, USA), beta-actin (1:2000, ab197277, Abcam), IL-6 (1:500, BA4339, Boster, Wuhan, China), or caspase-3 (1:500, BA3257, Boster, Wuhan, China). The blots
were washed with TBST (1% Tween in Tris-buffered saline) on a shaker. Finally, the polyvinylidene fluoride membrane was incubated with HRP-conjugated goat anti-rabbit (H+L) cross-absorbed secondary antibody (1:10000, SA00001-2, Thermo Fisher Scientific, Waltham, MA USA) for 1 h, washed with TBST, and visualized with enhanced chemiluminescence reagent (Thermo Fisher Scientific, Waltham, MA USA).

**LC-MS/MS Analysis, Label-Free Quantification, and Bioinformatics Analysis**

**Sample Preparation**

Tissue proteins were extracted in a microcentrifuge tube with lysate buffer (4% sodium dodecyl sulfate, 100 mM dithiothreitol, and 100 mM Tris-HCl; pH 8.0), boiled for 5 min, sonicated, and boiled again for 5 min. The sample was centrifuged at 20,000 g for 15 min and the supernatant was collected for protein quantification. Iodoacetamide, dithiothreitol, and detergent were added to the uric acid buffer, and the protein suspension was treated with trypsin (Promega, Madison, WI, USA) at a 50:1 ratio and incubated at 37°C overnight. Peptides collected after centrifugation at 12,000 g for 15 min were desalted with C18 Stage Tip for further LC-MS analysis (Easy 1200NLC, Thermo Fisher Scientific, Waltham, MA USA). Dithiothreitol, iodoacetamide, ammonium bicarbonate, and sodium carbonate were obtained from Sigma-Aldrich, St. Louis, MO, USA. Sodium dodecyl sulfate and urea were purchased from Bio-Rad, Hercules, CA, USA. Acetonitrile for nano-LC-MS/MS was obtained from J. T. Baker (Phillips, NJ, USA).

**LC-MS/MS Analysis**

Each sample was chromatographically separated following the manufacturer's instructions (Easy-nLC1200, Thermo Scientific). The column was first balanced with 95% phase A reagent (1% formic acid aqueous solution), and the samples were placed in the TRAP column and separated using gradient chromatography (300 nL/min). The peptides were separated using a linear gradient in buffer B (0.1% formic acid and 95% acetonitrile). Subsequently, they were separated and analyzed by data-dependent acquisition mass spectrometry using a Q-Exactive Plus mass spectrometer (Thermo Scientific).

**Bioinformatics Analysis**

Data were assessed using R statistical computing software, Perseus software (49), and Microsoft Excel 2020. Based on the statistical language R25, hierarchical clustering analysis was shown as a heatmap. The Euclidean distance with agglomeration method was used. Information was obtained from Gene Ontology (GO) (50), Kyoto Encyclopedia of Genes and Genomes (51), and UniProt KB/Swiss-Prot (52). Kyoto Encyclopedia of Genes and Genomes enrichment and GO analyses were performed along with Fisher's exact test, and false discovery rate correction was also performed with multiple testing. Three categories were grouped in GO terms: molecular functions, biological processes, and cellular components (50). Protein-protein interaction relationships were established using Cytoscape software in the STRING database (53).

**Immunofluorescence Analysis**

Both NeuN and two key proteins related to the selected pathway were detected using a tissue immunofluorescence staining method described previously (54). Brain sections were fixed with 4% formalin and embedded in paraffin. Immunofluorescence and double immunofluorescence staining were performed by incubating deparaffinized sections with anti-NeuN monoclonal antibody (1:500, ab177487; Abcam, Waltham, MA USA), anti-clathrin polyclonal antibody (1:500, DF13215, Affinity, Cincinnati, OH, USA), and/or anti-EEA1 rabbit polyclonal antibody (1:500, 2411S, Cell Signaling Technology, Massachusetts, USA). All sections were incubated overnight with primary antibodies at 4°C and then incubated with rhodamine-labeled goat anti-rabbit IgG and goat anti-mouse IgG (1:500, ZF-0316, ZF-0313, Beijing Zhongshan Jinqiao Biological Co., Ltd., China). Nuclei were counterstained with 4',6-diamidino-2-phenylindole. Immunofluorescence-labeled slides were imaged using a fluorescence scanning microscope (P250 flash, 3D HISTECH, Hungary) and a digital camera microscope (Motica BA410, Macaudi Industrial Group Co., Ltd. China). Immunofluorescence morphological images were set with the same image background to achieve the most objective and realistic experimental images.

**Screening of Key Proteins and Western Blot Analysis of Selected Proteins**

Equal quantities (20 µg) of samples from different groups were separated using 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis. The protein was transferred onto a PVDF membrane (Millipore, Billerica, Massachusetts, USA), and the membrane was blocked using 5% bovine serum albumin for 2 h at 18–22°C and then incubated overnight with primary antibodies. Subsequent experimental procedures and reagents used were the same as those described above. The antibodies used in the study were anti-CHMP1a antibody (1:2000, ab167412, Abcam, Cambridge, MA, USA); anti-HSPA2 antibody (1:5000, ab108416, Abcam, Cambridge, MA, USA); anti-ARPC5 antibody (1:2000, Novus Biologicals, Briarwood Avenue Centennial, USA); and anti-RABEP1 antibody (1:2000, Novus Biologicals, Briarwood Avenue Centennial, USA).

**Statistical Approach**

Most experimental data involved in this study were normally distributed (normality and lognormality tests were used). Data that were normally distributed are reported as mean ± standard deviation; one-way analysis of variance was used, and Tukey’s test was applied to compare the difference between two groups. Kruskal–Wallis analysis was used for processing non-parametric data, data are expressed as median (interquartile range [IQR]), and Dunn’s test was performed to compare the difference between two groups. All statistical tests were run using GraphPad Prism 9 (GraphPad, La Jolla, CA, USA). P < 0.05 were considered statistically significant. According to the proteomic analysis, label-free methods in Max Quant software were used for unlabeled quantitative calculation of proteomic data. In the process of significant difference analysis of quantitative results, at least two non-null values from three repeated experiments in the
sample were screened for statistical analysis. A fold change of the proteins >1.5 times is considered statistically significant.

Adobe Illustrator CS5 (Adobe, San Jose, CA, USA) and Adobe Photoshop CS5 (Adobe, San Jose, CA, USA) were used to complete the layout and related artwork of the figures.

RESULTS
Overview of the Experiment
Figure 1 shows the experimental design and procedures conducted in this study to explore the effects of IHP and PHP in MCAO rats. The following three preconditioned groups were set up: 2 h/day pretreatment group, 6 h/day pretreatment group (to observe the impact of different lengths of time under hypobaric hypoxia in MCAO rats), and the persistent hypobaric hypoxia preconditioned MCAO group. A combination of two different scoring systems (Longa scoring system and mNSS scoring system) was used to intuitively evaluate the extent of brain injury in the different groups from a behavioral perspective. TTC staining and neuron-specific marker staining were used to comprehensively analyze the degree of neuron injury. Transmission electron microscopy results were used to supplement the results from a microscopic point of view. Western blot analysis of apoptosis- and inflammation-related proteins was conducted; the best pretreatment group was selected for proteomic screening, which enabled further exploration of the possible mechanism underlying the neuroprotective effect of HHP.

Effects of Persistent and Intermittent HHP on Infarct Volume and Neurobehavioral Outcomes
The effects of HPC on the cortex of ischemic rats were assessed using 2,3,5-triphenyl tetrazolium chloride staining and neuropathological assessment (Figure 2 and Table 1). The infarct volume in the H6M group significantly decreased (32.91 ± 6.68, p = 0.0005) compared to that in the M group (64.50 ± 7.05), while the data in the other two preconditioned groups (H2M, 46.77 ± 14.53; HpM, 58.99 ± 13.56) showed no statistical difference (p > 0.05 for both) compared to the M group.

The mNSS score in the M group (12.10 ± 3.97) was higher than that in the S group, and it improved in the H6M group (8.35 ± 2.79, p = 0.0009) compared to the metric in the M group; the score in the HpM group (11.53 ± 3.80, p = 0.0165) was higher than that in the H6M group. For the Longa scoring system, there were no significant differences in the three preconditioned groups (p > 0.05 for all) compared to the MCAO group without HHP exposure.

Ultrastructure of Vascular Endothelial Cells in the Peri-Infarct Region
Neurons and the blood-brain barrier in the peri-infarct tissue were observed using a transmission electron microscope (Figures 3A,B). The structure of cortical neurons and the blood-brain barrier in the S group was intact with no obvious abnormalities. The peri-infarct tissue in the M group had dissolved and cavitated, i.e., the perivascular space had widened; a large number of necrotic neurons with discontinuous cell membranes was observed; cytoplasmic contents were lost; most of the rough endoplasmic reticulum was dilated and cystic, and a large number of secondary lysosomes was observed in the cytoplasm. The nucleus of the vascular endothelial cells formed an irregular polygon, and organelles such as mitochondria, rough endoplasmic reticula, and ribosomes were observed in the cytoplasm. We recorded different degrees of cavitation in the four groups (M, H2M, H6M, and HpM) that underwent MCAO with the M group being the most severe. The mitochondrial structure was complete and clear; although most groups revealed signs of mild swelling to different degrees, the HpM and M groups were the most severely affected. Moreover, the perivascular space had widened to various degrees. In conclusion, the best overall structure of the ischemic peri-infarct tissue was observed in the H6M group and H2M group.

Analysis of the Remaining Neurons in the Ischemic Peri-Infarct Tissue and NeuN Expression in the Damaged Cortex
Immunofluorescence staining for NeuN (Figure 3C) was conducted in five experimental animals from each group, at the same respective layers of the ischemic peri-infarct tissue (Figure 3D), under a 40× microscope visual field. Three fields adjacent to the motor area were randomly selected for NeuN-positive cell ratio assessment (Figure 3D and Table 2). The NeuN-positive cell ratio (%) = (number of NeuN-positive cell / number of DAPI-positive cell)*100. The mean NeuN-positive cell ratio was 71.51 ± 7.44% in the S group, whereas it decreased sharply after stroke in the M group (51.74 ± 12.01%, p = 0.0005). This ratio increased in the H6M group (72.05 ± 8.20, p = 0.0003) compared to that in the M group. No significant difference was recorded in the residual neuron ratio between the S and H6M groups (p > 0.05). Furthermore, in the H6M group, neurons in the peri-infarct tissue were disorganized, and the cell morphology was altered compared to that in the S group. The mean NeuN-positive cell ratio (%) in the HpM (55.39 ± 9.15) decreased compared to that in the S (p = 0.0066) and H6M groups (p = 0.0046).

The protein expression of NeuN in the cortex varied among the five groups (Figure 3E and Table 2). The relative expression of NeuN in the M (1.15 ± 0.15) and HpM groups (1.01 ± 0.48) was lower (p < 0.05 for both) than that in the S group (1.86 ± 0.40). This value displayed an increasing trend in the H2M (1.53 ± 0.23) and H6M (1.73 ± 0.26) preconditioned groups, with no significant difference (p > 0.05 for both) as compared to the value in the M group.

Expression of IL-6, Caspase-3, and Cleaved-Caspase-3 in Different Groups
IL-6, caspase-3, and cleaved-caspase-3 levels in the cortex of the left cerebral hemisphere were evaluated using western blotting (Figure 4A and Table 3). The IL-6 level (Figure 4B) showed no difference in the M group (2.54 ± 0.73, p > 0.05) compared to that in the S group (1.86 ± 0.59). However, the IL-6 expression in...
the HpM group $(3.57 \pm 1.10)$ increased dramatically compared to that in the H2M group $(1.08 \pm 0.30, p = 0.0001)$ and H6M group $(1.33 \pm 0.36, p = 0.0004)$. In the 2 h preconditioned group, the IL-6 expression decreased $(1.08 \pm 0.30, p = 0.0213)$ compared to that in the M group. There were no differences between the M and H6M groups $(1.33 \pm 0.36, p > 0.05)$, and the IL-6 level in the HpM group was higher than that in the H2M and H6M groups $(p < 0.05)$. The caspase-3 level (Figure 4C) increased in the M group $(2.05 \pm 0.57, p = 0.0233)$ compared to that in the S group $(1.29 \pm 0.32)$. This value decreased in the H2M $(0.81 \pm 0.18, p = 0.0002)$, H6M $(1.06 \pm 0.37, p = 0.0025)$, and HpM $(1.14 \pm 0.24, p = 0.0054)$ groups compared to that in the M group.
FIGURE 3 | Ultrastructural changes of neurons, blood-brain barrier in the periinfarct zone, and assessment of NeuN in the damaged brain tissue. (A) Transmission electron microscopic observation of neurons (15,000 ×, 80 kV, n = 2). (B) Transmission electron microscopic observation of blood-brain barrier, vascular endothelial cells mainly (15,000 ×, 80 kV, n = 2). (C) NeuN immunofluorescence staining in the periinfarct tissue (40×). (D) The diagram shows the periinfarct region and necrotic region (Core) of the MCAO rat’s brain section and the NeuN positive cell ratio (%) = [NeuN positive cell number/DAPI positive cell number] × 100%. (E) One-way ANOVA showed differences among the five groups, F = 8.08, p < 0.0001. Results are presented as mean ± SD (n = 5). ANOVA, analysis of variance; MCAO, middle cerebral artery occlusion; NeuN, neuron-specific nuclear protein.

TABLE 2 | NeuN mean gray value analyzed according to western blotting results and positive cell ratio based on immunofluorescence.

| NeuN (mean ± SD) | Groups | F  | p   |
|------------------|--------|----|-----|
|                  | S      | M  | H2M | H6M | HpM |
| n 5 5 5 5 5      | 5      | 5  | 5   | 5   | 5   |
| Western blotting analysis (NeuN/β-actin) | 1.85 ± 0.40*## | 1.15 ± 0.15 | 1.53 ± 0.23 | 1.73 ± 0.26## | 1.01 ± 0.48 | F(4, 20) = 6.12 | <0.0022 |
| NeuN positive cell ratio (%) | 71.51 ± 7.44*## | 51.74 ± 12.01# | 62.27 ± 20.87 | 72.05 ± 8.20## | 55.39 ± 9.15 | F(4, 70) = 8.08 | <0.0001 |

F, one-way analysis of variance test; H2M, 2 hr/day intermittent hypobaric hypoxia preconditioned MCAO group; H6M, 6 hr/day intermittent hypobaric hypoxia preconditioned MCAO group; HpM, persistent hypobaric hypoxia preconditioned MCAO group; M, middle cerebral artery occlusion (MCAO) group; NeuN, neuron-specific nuclear protein; p, p-value of ANOVA; S, sham; SD, standard deviation.

* p < 0.05 vs. M group, ** p < 0.05 vs. H2M group, # p < 0.05 vs. H6M group, ## p < 0.05 vs. HpM group.

level of cleaved-caspase-3 (Figure 4D) was downregulated in the H2M (0.30 ± 0.07, p = 0.0011), H6M (0.15 ± 0.04, p < 0.0001), and HpM groups (0.20 ± 0.05, p < 0.0001) compared with that in the M group (0.63 ± 0.17). Those levels were markedly decreased in the H6M group (0.15 ± 0.04, p = 0.0007), and HpM group (0.20 ± 0.05, p = 0.0033) compared with those in the S group (0.49 ± 0.14).

Label-Free Proteomic Analysis and Western Blotting Verification
To further explore the protective mechanism of HPC on cerebral ischemic injury, we selected the group with the most significant protective effect (H6M) and compared that to the infarction group without preconditioning (M) for proteomic analysis (Figure 5).
FIGURE 4 | Relative expression of IL-6, caspase-3, and cleaved-caspase-3. (A) Immunoblot results of IL-6, caspase-3, and cleaved-caspase-3. (B) Analysis of IL-6 relative expression. One-way ANOVA showed differences among the five groups, \( F = 10.86, p < 0.0001. \) (C) Analysis of caspase-3 relative expression. One-way ANOVA showed differences among the five groups, \( F = 8.50, p = 0.0004. \) (D) Analysis of cleaved-caspase-3 relative expression. One-way ANOVA showed differences among the five groups, \( F = 17.36, p < 0.0001. \) ANOVA, analysis of variance; caspase-3, cysteinyl aspartate specific proteinase 3; IL-6, interleukin 6.

TABLE 3 | Mean gray value analyzed according to western blotting results of IL-6, caspase-3, and cleaved-caspase-3.

| Protein (mean ± SD) | Groups          | F    | p           |
|---------------------|-----------------|------|-------------|
|                     | S               | M    | H2M | H6M | HpM |
| Caspase-3           | 1.29 ± 0.32*    | 2.05 ± 0.57** ## | 0.81 ± 0.18* | 1.06 ± 0.37* | 1.14 ± 0.24* | \( F_{(4, 20)} = 8.50 \) | 0.0004 |
| Cleaved-caspase-3   | 0.49 ± 0.14##   | 0.63 ± 0.17## ## | 0.30 ± 0.07* | 0.15 ± 0.04* | 0.20 ± 0.06* | \( F_{(4, 23)} = 17.36 \) | <0.0001 |
| IL-6                | 1.86 ± 0.59##   | 2.54 ± 0.73** | 1.08 ± 0.30## | 1.33 ± 0.36## | 3.57 ± 1.10##* | \( F_{(4, 23)} = 10.86 \) | <0.0001 |

Caspase-3, cysteinyl aspartate specific proteinase 3; \( F \), one-way analysis of variance test; H2M, 2 h/day intermittent hypobaric hypoxia preconditioned MCAO group; H6M, 6 h/day intermittent hypobaric hypoxia preconditioned MCAO group; HpM, persistent hypobaric hypoxia preconditioned MCAO group; IL-6, interleukin-6; M, middle cerebral artery occlusion (MCAO) group; p, p-value of ANOVA; S, sham; SD, standard deviation.

*\( p < 0.05 \) vs. M group, **\( p < 0.05 \) vs. H2M group, ##p < 0.05 vs. H6M group, ###p < 0.05 vs. HpM group.

Screening of Differential Proteins and Pathways
A volcano plot was drawn as shown in Figure 5A. The fold change in protein expression and the \( p \)-value obtained by the \( t \)-test was used to assess the significant difference between the two groups. The abscissa was the multiple of the difference (logarithmic transformation based on 2), and the ordinate was the significant \( p \)-value of the difference (converted to \(-\log_{10}\)). The volcanic map shows the relative quantitative information.
of the H6M vs. M sample comparative histones as follows: the red dots indicate the significantly upregulated proteins, whereas the green dots indicate the significantly downregulated proteins. Significantly regulated proteins revealed by the t-test are presented as colored dots on the volcano map. Seven proteins were significantly upregulated, and 21 proteins were downregulated in the cortex of preconditioned MCAO rats.

GO data (Table 4) depict the enrichment of 28 different proteins that were significantly altered (p < 0.05) and interrelated among the 2,796 proteins identified (Supplementary Table 1); the change factor was set as 20 times higher or lower. The top enriched terms of location were intracellular, membrane-bounded organelle, and cytoplasm (Supplementary Tables 2–5). Regarding the cellular components (Figure 5B), compared to those in the M group, the significantly different proteins in the H6M group were cytoplasmic (70.99%), membrane-bounded organelle (73.28%), intracellular (81.68%), cytoplasmic (58.78%), organelle (77.86%), intracellular membrane-bounded organelle (66.41%), intracellular organelle (72.52%), endomembrane system (28.24%), and mitochondrion (19.08%). Most of these proteins are related to protein binding and molecular function and play a role in multiple biological processes, such as the establishment of cell localization (19.85%), regulation of cellular component organization (19.85%), and cell projection organization (12.21%). This process involves transport, catabolism (cellular processes), and translation. For all qualitative comparisons, as in the Kyoto Encyclopedia of Genes and Genomes pathway analysis, Fisher's exact test was used for calculating the meaningful enrichment of different proteins in each pathway (Figure 5C and Table 5)
to determine the significantly influenced signal transduction pathways and metabolic changes. The top ten primarily affected signaling pathways were pathways associated with endocytosis, longevity regulation, RNA transport, ECM-receptor interaction, hypertrophic cardiomyopathy, circadian rhythm, antigen processing and presentation, toxoplasmosis, cell adhesion molecules, and adipocytokine signaling.

The protein-protein interaction network (Figure 5D) was analyzed among meaningful pathways, revealing their functional interrelations. Hspa2 was associated with both endocytosis and antigen processing and presentation. Prkaa2 and Prkag2 were involved in the functioning of three pathways (adipocytokine signaling pathway, glucagon signaling pathway, and AMPK signaling pathway). The highly aggregated proteins related to endocytosis were as follows: Chmp1a, Rabep1, Arpc5, Hspa2, and Hspa2. Hspa2 was associated with both endocytosis and antigen processing and presentation. Prkaa2 and Prkag2 were involved in the functioning of three pathways (adipocytokine signaling pathway, glucagon signaling pathway, and AMPK signaling pathway).

### Protein Verification

Based on the proteomic results mentioned above, the relative expression of key proteins was calculated (Figure 6 and Supplementary Table 6). According to the heatmap results, the expression of these proteins was highly related to CDE (Figure 6A). No significant change (p > 0.05) was recorded in any of the four proteins (Chmp1a, Rabep1, Arpc5, Hspa2) in the M group, compared to those in the S group. Furthermore, the expression of Rabep1 in the H6M group (1.35 ± 0.28) was higher than that in the S group (0.82 ± 0.23, p < 0.0001). However, the expression of any of the four proteins (1.37 ± 0.35, p = 0.0427). The relative expression of Arpc5 in the H6M group (1.56 ± 0.28) was higher than that...
in the S (0.85 ± 0.29, p = 0.0014), M (0.88 ± 0.23, p = 0.0021), H2M (0.47 ± 0.14, p < 0.0001), and HpM groups (0.90 ± 0.25, p = 0.0031). The expression of Hspa2, Chmp1a, and Arpc5 in the H6M group was significantly higher than that in the M group. This is partly consistent with previous proteomic results (Figure 6A). Although no statistical difference was recorded (p > 0.05) in Rabep1 expression between the H6M and M groups, an increasing trend was observed.

### Morphological Verification

Early endosome antigen 1 (EEA1) and clathrin are key markers of CDE. Figures 7, 8 show the location of these two proteins; they were mainly present in the residual neurons (co-stained with NeuN in the peri-infarct tissue near the motor cortex). The EEA1-positive ratio in each group was calculated (Supplementary Figure 1A and Supplementary Table 7). The EEA1-positive cell ratio (%) = (number of EEA1-positive cells/number of DAPI-positive cells)*100. The EEA1-positive cell ratio in the M group was 20.34% (IQR: 15.56–33.85%); it markedly increased (p < 0.0001) compared to that in the S group [0.778% (IQR: 0.00–1.42%)], while it decreased in the H2M [2.13% (IQR: 0.00–10.83%); p = 0.0021] and H6M groups [1.03% (IQR: 0.00–5.63%; p = 0.0005], compared to that in the M group. The clathrin-positive ratio was calculated (Supplementary Figure 1B and Supplementary Table 7). The clathrin-positive cell ratio (%) = (number of clathrin-positive cells/number of DAPI-positive cells)*100. In the S group, particularly in the cortex, clathrin-positive cells were scattered and mainly co-stained with NeuN-positive cells. The remaining NeuN-positive neurons in the peri-infarct tissue showed strong clathrin co-expression, with a higher positive ratio after MCAO [38.67% (IQR: 33.33–44.44%)], compared to that in the S group [10.48% (IQR: 5.50–21.65%); p = 0.0002]. Only the ratio in the H2M group decreased [22.41% (IQR: 17.02–30.68); p = 0.0139] compared to that in the M group.

### DISCUSSION

Simulation of the plateau environment in hypobaric and hypoxic chambers is the optimum method to mimic the natural hypoxic environment. Based on previous research results, experimental conditions of 5,000 m (55) are relatively mild and rarely cause direct animal death.

Various animal behavioral scoring systems (56) exist to assess the extent of brain injury; among these, the Zea-Longa scoring system and mNSS scoring system are widely applied (57, 58). The Zea-Longa scoring system is simple, while the mNSS scoring system is more comprehensive. The neurological deficits in the ischemic rats, revealed by the two neurological scoring systems in our study, were not completely consistent. This phenomenon has not been described in other stroke studies. The mNSS neurological scoring system is more exhaustive than the Zea-Longa 5-level scoring system because of it includes more details. Conditioning for 6 h/day in a hypobaric hypoxia chamber for 10 days exhibited a significant neuroprotective phenomenon according to the estimation of both infarct volume and the behavioral scoring system, whereas the protective effect was unstable when the pre-treatment time was too short (2 h). Moreover, the total expression of NeuN in the 3 preconditioned groups did not exhibit statistically significant changes. However, the increasing trend evident in the data in comparison to the stroke model suggests that future studies involving larger cohorts and optimizing the duration of exposure for different groups are warranted.

**Table 5**: KEGG pathway annotation list (n = 3).

| No. | Pathway name | Pathway ID | p-value | p-value_adjusted | Genes | Count | Pop hit |
|-----|--------------|------------|---------|------------------|-------|-------|--------|
| 1   | Endocytosis  | rno04144   | 0.00178 | 0.103            | Rabep1| 6     | 290    |
| 2   | Longevity regulating pathway - multiple species | rno04213 | 0.00312 | 0.103            | Hspa2| 3     | 6      |
| 3   | RNA transport | rno03013 | 0.00641 | 0.103            | Hspa4| 4     | 165    |
| 4   | ECM-receptor interaction | rno04512 | 0.00642 | 0.103            | Lamac| 3     | 84     |
| 5   | HOM | rno05410 | 0.00663 | 0.103            | Prkaa2| 3     | 85     |
| 6   | Circadian rhythm | rno04710 | 0.0081 | 0.106            | Prkag2| 2     | 30     |
| 7   | Antigen processing and presentation | rno04612 | 0.0104 | 0.116            | Hspa2| 3     | 100    |
| 8   | Toxoplasmosis | rno05145 | 0.0181 | 0.176            | Hspa2| 3     | 123    |
| 9   | CAMs | rno04514 | 0.0447 | 0.335            | Sdc4| 3     | 175    |
| 10  | Adipocytokine signaling pathway | rno04920 | 0.0455 | 0.335            | Prkag2| 2     | 75     |

CAM, cell adhesion molecule; HCM, Hypertrophic cardiomyopathy; KEGG, Kyoto Encyclopedia of Genes and Genomes.

Wan et al. Neuroprotection by Hypobaric Hypoxia Preconditioning
IL-6 and caspase-3 are two important proteins involved in the inflammation and apoptosis after cerebral ischemia. IL-6 is a typical marker used to predict stroke prognosis. Its overexpression aggravates the inflammatory response of damaged tissue and affects the clinical effect of tissue plasminogen activator in the treatment of stroke (59). In this study, no striking changes were detected in rat brains 24 h after stroke, which is not in agreement with previous findings (59, 60). Two hours of preconditioning reduced the IL-6 protein level in the brain after infarction. Caspase-3 plays a pivotal role in cell apoptosis (61), particularly in neurons, owing to their high energy demand, with an increase in either caspase-3 or cleaved-caspase-3 being harmful to neuronal function (62). In this study, both IHP and PHP reduced the expression of caspase-3 and cleaved-caspase-3; HHP helped reduce apoptosis in ischemic brain tissue, which is in agreement with the results of other studies in which preconditioning inhibited apoptosis (63).

Compared with the irreparably damaged core necrotic area, the ischemic peri-infarct tissue possesses reversible neural function and is more valuable in the study of cerebral ischemic tolerance, which is also reflected in our experimental results. Immunofluorescence staining revealed that positive staining was mainly concentrated in the peri-infarct cortex. CDE may ameliorate the efficacy of some neuroprotective drugs (64), while many endogenous neuroprotective factors, such as vascular endothelial growth factor and brain-derived neurotrophic factor (65), may also exert neuroprotective effects through this pathway. The use of upregulated endocytosis in the ischemic peri-infarct tissue neurons after preconditioning could potentially improve the ischemic tolerance of brain tissue through endogenous neuroprotective pathways and exogenous drug intervention.
FIGURE 7 | EEA1 and NeuN immunofluorescence double labeling. Neurons in the peri-infarct region were co-stained with EEA1 positive staining cells after the MCAO procedure. The remaining neurons in each group showed positive staining of EEA1 in different degrees. Neurons in the four MCAO groups showed EEA1 positive staining, while it was not observed in the sham group. EEA1, Early endosome antigen 1; NeuN, neuron-specific nuclear protein.

FIGURE 8 | Clathrin and NeuN immunofluorescence double labeling. Neurons in the peri-infarct region were co-stained with clathrin-positive cells after the MCAO procedure. The remaining neurons in each group showed positive staining of clathrin in different degrees. Neurons in the peri-infarct region of rats after MCAO surgery showed partial clathrin-positive staining, while no clathrin-positive cells were observed in other cell types. NeuN, neuron-specific nuclear protein.
Endocytosis mediates an array of biological processes, such as receptor internalization, signal transduction, and nutrient absorption, all of which are crucial for cell survival and growth (40). In the nervous system, it also contributes markedly to neurotransmitter signaling and long-term inhibition of glutamate receptors (66). The mechanism mediating the brain-protective effect of hypoxic preconditioning may be related to endocytosis in neurons. This change is not directly related to clathrin expression but to the modulation of key molecules in this pathway, such as Arpc5, Chmp1a, and Hspa2. EEA1 is the most representative marker of early endosomes (67). Interestingly, we found that HHP decreased the expression of EEA1, which is an important marker of endocytosis. There are two possibilities: (a) to avoid the over-activation of CDE, residual neurons downregulate key proteins to balance themselves; (b) substances in the early endosome are transferred to the late endosome, increasing the consumption of early endosome-related proteins.

Our data indicate that IHP exerts a better neuroprotective effect in rats with ischemic brain injury than persistent pre-treatment. IHP exhibited decreased infarct volume and modified neurological behavior after a stroke, the optimal duration being 6 h/day for 10 days. IHP activates key proteins related to CDE in the cortex, which is beneficial for medical treatment and ischemic tolerance. The ischemic neuron’s CDE pathway is modulated by HHP (Figure 9), mainly by upregulating several key proteins in this pathway, promoting the entry of protective...
endogenous factors into the cells. Conversely, to avoid the over activation by this process, leading to cell damage, activation of CDE is not achieved through alterations in clathrin, rather by the changes in the expression of several proteins (including Rabep1, Hspa2, Chmp1a, Arpc5). Furthermore, activation of CDE in the central nervous system provides a new approach for targeting neuroprotective drugs to the neurons more effectively (68). This study has some limitations. The time grouping was not sufficiently detailed and lacked a clear time gradient. Moreover, because of the considerable differences between human and rat brains, further research is required to translate this basic research into clinical practice.

In conclusion, IHP exerted a neuroprotective effect in MCAO rats (improved outcomes, reduced cell apoptosis, and regulated the inflammatory response). The neuroprotective effect after 6 h/day of IHP was better than that observed after 2 h/day. PHP exhibited no protective effect in MCAO rats. Neurons in the peri-infarct tissue are well-regulated by endocytosis and may participate in the protective mechanism of HHP.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

ETHICS STATEMENT

The animal study was reviewed and approved by Qinghai University Medical College Ethical Committee.

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AUTHOR CONTRIBUTIONS

YW: study design and data collection, original drafting, and statistical analysis. RG: project design, study supervision, manuscript revision, and acquisition of financial support. LH: technical assistance and data analysis. YL: manuscript revision and technical assistance. WJ and CL: methodology assistance. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fneur.2021.750908/full#supplementary-material

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