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Toward automated severe pharyngitis detection with smartphone camera using deep learning networks

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ABSTRACT

Purpose: Severe pharyngitis is frequently associated with inflammations caused by streptococcal pharyngitis, which can cause immune-mediated and post-infectious complications. The recent global pandemic of coronavirus disease (COVID-19) encourages the use of telemedicine for patients with respiratory symptoms. This study, therefore, purposes automated detection of severe pharyngitis using a deep learning framework with self-taken throat images.

Methods: A dataset composed of two classes of 131 throat images with pharyngitis and 208 normal throat images was collected. Before the training classifier, we constructed a cycle consistency generative adversarial network (CycleGAN) to augment the training dataset. The ResNet50, Inception-v3, and MobileNet-v2 architectures were trained with transfer learning and validated using a randomly selected test dataset. The performance of the models was evaluated based on the accuracy and area under the receiver operating characteristic curve (ROC-AUC).

Results: The CycleGAN-based synthetic images reflected the pragmatic characteristic features of pharyngitis. Using the synthetic throat images, the deep learning model demonstrated a significant improvement in the accuracy of the pharyngitis diagnosis. ResNet50 with GAN-based augmentation showed the best ROC-AUC of 0.988 for pharyngitis detection in the test dataset. In the 4-fold cross-validation using the ResNet50, the highest detection accuracy and ROC-AUC achieved were 95.3% and 0.992, respectively.

Conclusion: The deep learning model for smartphone-based pharyngitis screening allows fast identification of severe pharyngitis with a potential of the timely diagnosis of pharyngitis. In the recent pandemic of COVID-19, this framework will help patients with upper respiratory symptoms to improve convenience in diagnosis and reduce transmission.

1. Introduction

Diagnostic support in remote healthcare services has shown the ability to minimize the exposure of ill patients to healthcare providers and other patients [1]. The recent global pandemic of coronavirus disease (COVID-19) has encouraged the use of telemedicine for patients with upper respiratory symptoms [2]. Because smartphones have become ubiquitous, many researchers are interested in utilizing them in telemedicine. Deep learning technology can assist with patient examination using a smartphone when clinicians deal with limited information in a remote patient monitoring setting. In particular, a smartphone is useful to take a picture of the throat [3]. Therefore, home monitoring using a smartphone will help in the diagnosis and treatment of patients with upper respiratory symptoms to improve convenience and to reduce...
transmission. There have been several approaches adopting deep learning for automated diagnosis of several diseases using images captured by smartphones [4,5].

Pharyngitis, which is diagnosed in more than 11 million patients in the United States annually, is a common condition associated with acute upper respiratory tract infection [6]. Pharyngitis is an inflammation of the back of the throat and tonsils. Sore throat caused by pharyngitis is one of the main causes of medical visits for young patients [7]. The most common cause of acute pharyngitis is a self-limiting viral infection. However, Streptococcus pyogenes is the major bacterial infectious cause of pharyngitis and is responsible for an estimated 20–30% of cases of sore throat [8]. Frequently, severe pharyngitis with fever and exudative tonsillitis is associated with streptococcal pharyngitis, which can cause immune-mediated and post-infectious complications, such as acute rheumatic fever [9]. Therefore, timely diagnosis of pharyngitis for treatment is important to reduce symptoms, fever, and complications [10]. However, many patients with upper respiratory infection ignore their symptoms in the early stage and medical visits do not routinely take place. Moreover, in recent days, many patients hesitate to visit clinics because of the COVID-19 outbreak.

The importance of a mobile-based monitoring system for patients with acute upper respiratory infections has been raised because of its applicability and effectiveness [3]. A previous study endeavored to collect throat images using additional equipment in conjunction with the smartphone and used the k-nearest neighbor algorithm in color distribution space to classify images with streptococcal tonsil [11]. However, the need for additional equipment limited their effectiveness of this method in a real-world setting. Moreover, color distribution was unable to represent the characteristic features of pharyngitis. Throat images exhibit variation in the size, illumination, and shape of the oral cavity.

Here, we present a deep learning model with smartphone-based throat images facilitating the detection of severe pharyngitis using self-taken throat images (Fig. 1). We performed automated detection of severe pharyngitis using a convolutional neural network (CNN) framework.

2. Methods

2.1. Data collection

The basic concept of our framework is throat examination using a self-taken smartphone image with computer-aided diagnosis system, which is similar to the previous dermatology study [12]. This study was performed using publicly accessible self-taken throat images on the web. We collected throat images from web-based open social Q&A systems including Naver Korea (https://kin.naver.com), and Yahoo Japan (https://chiebukuro.yahoo.co.jp). The additional throat image datasets were extracted using the Google image search engine. Most throat images were posted by users asking for medical advice via the open social Q&A. The search strategy was based on the key terms “sore throat,” “pharyngitis,” “tonsillitis,” “exudative tonsillitis,” “tonsillopharyngitis,” “throat image,” and “smartphone” in Korean, Japanese, and English languages. The most recent image from the database search was achieved on April 30, 2020. Images that were not taken with smartphones were manually picked and excluded for this study. Images with the characteristics of either pharyngitis or normal throat were manually classified by two clinicians, and the ambiguous images were excluded to clarify the image domains. Finally, we collected the initial dataset with two classes including 131 throat images with pharyngitis and 208 normal throat images.

2.2. Data augmentation using GAN

Because of the limited number of datasets and their imbalanced distribution, data augmentation is required for deep learning training. Basic data augmentation techniques such as flip, translation, rotation, and brightness change have been applied to train deep learning models. Several previous studies have attempted to train deep learning models using generative adversarial network (GAN)-based synthetic images to increase the classification performance [13]. Inspired by previous works using a generative adversarial network, we adopted the CycleGAN-based data augmentation to increase the accuracy of diagnosis. The cycle consistency in Fig. 2 allows CycleGAN to capture the characteristics of two image domains and automatically learn how these characteristics should be translated to transfer to domains without any paired datasets [14]. CycleGAN was developed to overcome the limitations of paired data when two generators and two discriminators are used. It is considered to be a powerful technique that performs image domain transfer and face transfer [15]. Previous studies have demonstrated that CycleGAN can improve deep learning models by generating training situations to learn better decision boundaries between classes.

We built the CycleGAN augmentation model to increase the

Fig. 1. Workflow of building a deep learning model for pharyngitis diagnosis using a smartphone.
generalizability of the dataset and to improve the classification performance in the imbalanced dataset. Before training the CycleGAN, the throat images were augmented using linear transformation including left and right flip, width and height translation from 5% to 10%, rotation from 10° to 10°, zooming from 0% to 20%, and random brightness change from 10% to 10%. We defined these transformations as the basic augmentation step. In this step, 1000 normal throat and 600 pharyngitis images were randomly sampled for the training set, and 500 normal throat and 300 pharyngitis images were randomly sampled for the validation set. Using the data with basic augmentation, we trained the CycleGAN models to transform both normal to pharyngitis throat images and pharyngitis to normal images. The trained CycleGAN model augmented the training set (1000 normal throat and 600 pharyngitis images before augmentation), and a total of 3000 throat images including 1500 normal and 1500 pharyngitis images were prepared to train the diagnostic classifier model after CycleGAN-based augmentation. It should be noted that supervised GAN techniques including conditional GAN and Pix2px were unable to be applied in this study because of a lack of paired normal and pharyngitis images.

To use a verified and pre-designed image generator, all the input images required resizing to a pixel resolution of 256 × 256 × 3, which is the basic setup of a CycleGAN. Therefore, we used the default parameter settings, that is, the ADAM optimizer with a batch size of 1, to optimize the GAN networks.

2.3. Development of CNN model

We trained conventional deep learning models after data augmentation. Because of a small image dataset in this study, developing a custom deep learning method is challenging due to difficulty and time-consuming (e.g. a small training dataset can easily result in an over-fitted model and low performance) [16]. To overcome the problem of the small dataset, transfer learning was widely used to train deep learning models using pre-trained architectures [17,18]. This study also applied pre-trained learning models to the classification task of throat images. The last fully connected layer of the CNNs was only trained, and the study used the pre-trained conventional model as a feature extractor [17].

The CNN models including ResNet50, Inception-v3, and MobileNet-v2 were adopted to build binary classifiers [19]. These CNN models have been used successfully in many studies, demonstrating state-of-the-art performance with the saliency map [20,21]. The models were trained using the training set, and the validation set was used to estimate how well the model had been trained. We downloaded the CNN models, which were pre-trained on the ImageNet database, and performed fine-tuning of the weights of the pre-trained networks. This process generally maintains the weights of some bottom layers to avoid over-fitting and performs delicate modification of the high-level features. To use the images generated by CycleGAN, the size of the input images for the deep learning models was set to a pixel resolution of 256 × 256 × 3 and the images were resized for each pretrained model. Most conventional deep learning models adopted a pixel resolution of 256 × 256 × 3 or 224 × 224 × 3 [22]. One deep learning research showed that the best performance was achieved at an image resolution of between 256 × 256 and 448 × 448 pixels for binary classification [23]. Therefore, the resolution of our study was appropriate to detect pharyngitis in a binary decision. The model was trained with 250 epochs and a batch size of 20. The ADAM optimizer with a learning rate of 0.0001 was also used with a cross-entropy loss for all CNN models. The cross-entropy loss function is defined as:

\[
L_{\text{cross entropy}} = - \sum_{i} p_i \log(q_i).
\]

Where \(p_i\) represents the ground truth value, and \(q_i\) represents predicted probability value from a classifier for the \(i\)th image. The optimizer updated the network parameters to minimize the loss function. In our experiments, it tuned a fully connected layer of the CNN models. For example, the first 49 layers of ResNet50 were left frozen and we trained the last fully connected layer using the training dataset, which is described in Table 1, the ADAM optimizer. We chose the final classifier model which maximized the accuracy in the validation dataset.

To visualize the clusters to see if the classes are separable by a considerable margin, the t-distributed stochastic neighbor embedding (t-SNE) algorithm was executed using sampled instances. The feature vectors from the last layer of the pre-trained Inception-v3 model were extracted to train the t-SNE [24]. As there is a growing demand for explainable artificial intelligence methods in medicine [25], we adopted the Grad-CAM technique (https://github.com/jacobgil/pytorch)
-grad-cam) to generate the attention map [26]. The Grad-CAM visualizes the decisional areas of the CNN model using the gradients of any target specific for pharyngitis detection. To build a balanced training dataset and augmentation used in this study.

Table 1

| Class         | Number of training set (%) | Number of validation set (%) | Number of test set (%) |
|---------------|-----------------------------|-----------------------------|------------------------|
| Raw data      |                            |                             |                        |
| Normal        | 104 (50.0)                  | 52 (25.0)                   | 52 (25.0)              |
| Pharyngitis   | 65 (49.6)                   | 33 (25.2)                   | 33 (25.2)              |
| Basic         |                            |                             |                        |
| Normal        | 1000 (64.4)                 | 500 (32.2)                  | 500 (32.2)             |
| Pharyngitis   | 600 (64.3)                  | 300 (22.2)                  | 300 (22.2)             |
| GAN-based image |                         |                             |                        |
| Normal        | 1500 (73.1)                 | 500 (24.4)                  | 500 (24.4)             |
| Pharyngitis   | 1500 (81.8)                 | 300 (16.4)                  | 300 (16.4)             |

GAN, generative adversarial network.

The performance of the CNN models was evaluated based on the Youden index, which is an estimate of the optimal diagnostic threshold, was adopted in this study [27]. After obtaining the sensitivity and specificity, the Youden index was calculated at each cut-off point. We selected the optimal value which maximized the Youden index. These performance indexes are expressed as follows:

\[
\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN},
\]

(2)

\[
\text{Sensitivity} = \text{Recall} = \frac{TP}{TP + FN},
\]

(3)

\[
\text{Specificity} = \frac{TN}{TN + FP},
\]

(4)

\[
\text{Precision} = \frac{TP}{TP + FP},
\]

(5)

\[
\text{Youden index} = \text{Sensitivity} + \text{Specificity} - 1,
\]

(6)

Where TP, TN, FP, and FN denote true positives, true negatives, false positives, and false negatives, respectively. We also performed a 4-fold cross-validation using the entire dataset to evaluate a generalized performance. Google Colab Pro, a cloud service for disseminating the deep learning research, was adopted to implement the CycleGAN and CNN models [28]. Google Colab Pro provides a development environment using the Tensorflow-based deep learning libraries and a robust graphic processing unit (GPU). This enables the rapid processing of a heavy deep learning network without the need for a personal GPU [15]. The available hardware for each virtual machine varied by session, but typically included top products of NVIDIA GPUs (K80, T4, or P100), around 8–12 GB of RAM, and 50–70 GB of free space on the virtual machine hard drive [29]. We used the Colab CycleGAN tutorial page to develop and validate the CycleGAN model, and all of the code is available on the Tensorflow webpage (https://www.tensorflow.org/tutorials/generative/cycleGAN). We modified the input pipeline to import our dataset. The code of the CycleGAN and CNN models is presented in Supplementary Material.

3. Results

We developed a deep learning model using GAN-based augmentation in the challenging context of pharyngitis detection. To build a balanced training dataset, the CycleGAN models generated normal and pathologic throat images using the initial training dataset. The color intensity distributions of the pharyngitis and normal throat images were not significantly different, although most throat images had exudate regions (Fig. 3 A). The t-SNE algorithm demonstrates that both groups are clustered and they are separable by a considerable margin (Fig. 3 B). The final CycleGAN model for the pharyngitis throat image was trained for 20 epochs, which required 10 h. After training, normal throat images were translated into pathologic images, and throat images with pharyngitis were translated into normal images. Finally, we constructed a balanced augmented training dataset including 1500 normal and 1500 pharyngitis images using CycleGAN. The CycleGAN-based synthetic images were realistic and reflected the characteristic features of pharyngitis (Fig. 4 A). The CycleGAN model synthesized white or gray patches and increased the redness on the throat wall and tonsils from normal images (Fig. 4 B). Generated throat images were reviewed by three clinicians including an otorhinolaryngologist and an anesthesiologist. All pharyngitis images generated were deemed by the three clinicians to show “more pathologic and inflammatory” results when compared to the original images. This feature generation based on the CycleGAN model can be effective for generating a new sample to increase the intra-class variation and generalizability.

The CNN models were trained using the final augmented dataset via the transfer learning technique. Fig. 5 demonstrates the training process of the ResNet50 CNN model using the training and validation sets. The training process for CNN took approximately 12 h for 1000 epochs with fine-tuning for each training step. After the 200th epoch, the validation accuracy was not improved and the cross-entropy of the validation result increased. Therefore, we considered that training for 200 epochs was optimal in the ResNet50 model and selected the trained model at the 200th epoch. Fig. 6 A shows the training, validation, and test datasets of CNN models with and without CycleGAN-based augmentation. The ROC-AUCs of ResNet50 Inception-v3, and MobileNet-v2 without GAN-based augmentation were 0.943, 0.932, and 0.934, respectively (Fig. 6 B). We obtained the best ROC-AUC of pharyngitis detection using ResNet50 with GAN-based augmentation corresponding to 0.988 (Fig. 6 C). At the optimal diagnostic cut-off value, the ResNet50 model predicted pharyngitis with an accuracy of 95.3%, sensitivity of 97.0%, and specificity of 94.2%. The ROC-AUC of Inception-v3 and MobileNet-v2 corresponded to 0.981 and 0.970, respectively. The models with GAN-based augmentation demonstrated superior performance in comparison with the models with only basic augmentation. We also evaluated the performance of the models using PRCs (Fig. 7). The PRC-AUC also demonstrated that deep learning models with GAN-based augmentation had better performance than those without GAN-based augmentation. ResNet50 with GAN-based augmentation predicted pharyngitis with the highest PRC-AUC of 0.986. When a custom deep learning network without the transfer learning technique was trained, the validation accuracy was lower than 80% and was not improved during the training epochs (Supplementary materials).

Table 2 shows the performance of CNN models via 4-fold cross-validation in the entire dataset. A similar tendency is observed for the average accuracy and AUC values in the cross-validation. The result shows that the highest detection accuracy and ROC-AUC achieved were 95.3% and 0.992, respectively, by using the ResNet50. Other CNN models showed lower performance than the ResNet50 with GAN-based augmentation, but there were no significant differences between CNN models in the 4-fold cross-validation. A saliency map using the Grad-CAM technique was generated to visualize the characteristic pathologic features of pharyngitis for the predicted evidence (Fig. 8 A). In normal throat images, however, some regions were highlighted (Fig. 8 B). When synthesized images were tested using the trained model, synthesized exudates were highlighted correctly in the images as shown in Fig. 8 C.

Furthermore, external clinical cases from previous literature were analyzed to investigate to show the capability of detecting pharyngitis of the framework developed in this study [30,31]. The representative cases with severe pharyngitis are shown in Fig. 9. One case presents an
Fig. 3. Visual data exploration of pharyngitis and normal throat images. (A) The mean red-green-blue (RGB) distributions. (B) The feature space visualized using the t-SNE technique. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

Fig. 4. Data augmentation using CycleGAN to improve the diagnostic performance of pharyngitis. (A) CycleGAN-based augmentation for imbalanced data. (B) Examples of pathologic throat images with pharyngitis generated by the CycleGAN.

Fig. 5. Training process of the ResNet50 CNN model for pharyngitis detection. (A) Accuracy learning curves of the training and validation sets. (B) Loss learning curves of the training and validation sets.
A 81-year-old man with odynophagia (Fig. 9 A) and the other case presents a 41-year-old diabetic man with throat pain (Fig. 9 B). The ResNet50 model was able to detect pharyngitis in both cases. The Grad-CAM technique highlighted white patches on the throat wall as markers of severe pharyngitis in the deep learning model.

4. Discussion

The current proof-of-concept study investigated the possibility of deep learning using a smartphone for detecting pharyngitis. A recent study demonstrated the ability of self-taken throat images to detect pharyngitis based on the k-nearest neighbor algorithm in a color space [11]. That study used additional equipment as well as a...
smartphone to obtain throat images. We utilized self-taken throat images without additional equipment using deep learning techniques for the detection of pharyngitis. We showed that the trained GAN models were able to generate new realistic synthetic throat images which can improve the diagnostic accuracy. The final deep learning model achieves high accuracy for automated diagnosis of pharyngitis using smartphone images. Therefore, this framework could be targeted toward patients with a sore throat who need screening for severe pharyngitis. We believe that our study could be extended to computer-aided diagnosis using images from an endoscope system in otolaryngology clinics, similar to what has been done with colonoscope images using a deep learning model [32].

To the best of our knowledge, no study has been performed to detect pharyngitis based on deep learning using smartphone images. However, it should be noted that this study is considered as only a preliminary and proof-of-concept study because of its technical limitations due to using only Google Colab. The current study framework is similar to that of Chamier, which showed a deep learning framework using Google Drive and training and prediction on Google Colab [33]. Our proposed conceptual workflow is shown in Fig. 10. It needs the Flask servers and interfaces implemented using HTML and JavaScript to be applied in a real clinical setting. This work could be part of a larger project to enable smartphone-based pharyngitis detection via a cloud-based app-level mobile data analysis. We believe that a future app-based model can provide a robust solution for the cost-effective and convenient screening of pharyngitis in a telemedicine setting.

We have highlighted the feasibility of a smartphone-based approach with deep learning to detect pharyngitis. Our approach does not require

![Fig. 8.](image1) Classification results from the deep learning model applied to clinical cases with severe pharyngitis. (A) An 81-year-old man with odynophagia [30]. (B) A 41-year-old diabetic man with throat pain [31].

![Fig. 9.](image2) Classification results from the deep learning model applied to clinical cases with severe pharyngitis. (A) An 81-year-old man with odynophagia [30]. (B) A 41-year-old diabetic man with throat pain [31].

![Fig. 10.](image3) Example of a proposed smartphone-based system for pharyngitis detection.
additional equipment to collect throat images, and we collected self-
taken images using a smartphone. The use of web-based image cap-
ture of users from various devices and GAN-based image augmentation
may allow robust image processing. While in the current study the
implementation was performed on a desktop computer and the Colab’s
remote server, a smartphone application will also be possible to perform
this identification for detecting pharyngitis. The light deep learning
models such as MobileNet can be executed on a smartphone without
remote server, a smartphone application will also be possible to perform
images from actual clinical settings. One previous study on skin diseases
with a low resolution of 256 pixels. The image size was
256 × 256 pixels. The image size was
regularized by the CycleGAN augmentation step. The resolution may
affect the classification of mild pharyngitis cases. Future studies should
improve the resolution of the throat image to provide a more accurate
diagnosis. Third, the datasets for training and validation included a
limited number of throat images. Although we attempted to collect
throat images from web-based sources, we did not include real throat
images from actual clinical settings. One previous study on skin diseases
explored clinical datasets and web-based datasets and showed that the
labeling of web-based images is often uncertain [47]. Therefore, further
clinical datasets with validation will be required to confirm the effec-
tiveness of our framework. Fourth, because we collected only throat
images via image search engines, there was no meta-data including
gender, race, season, or age. According to a previous epidemiologic
study, these factors could affect the pharyngitis detection performance
[48]. Fifth, although the datasets were reviewed by authors, images
could be potentially duplicated in the dataset. The duplicated images
would affect the independence of the validation dataset.

We have shown the feasibility of deep learning for the detection of
tonsil swelling and exudates in throat images. The limitations of our
study should be overcome by the availability of a sufficient number of
throat images taken by a smartphone with a well-organized study pro-
tocol. To validate the effectiveness of our framework, a prospective
study with many patients should be performed in a clinic once the app-
based framework is developed. This will solve the problem of the possible duplicated images in the dataset and the absence of meta-data.

5. Conclusion

In this study, we proposed a transfer learning-based deep learning
model to detect severe pharyngitis with self-taken throat images using a
smartphone. The CycleGAN model successfully generated new throat
images to improve the classification performance of the deep learning
model. Our model performed binary classification with an accuracy and
ROC-AUC of 95.3% and 0.988, respectively. The proposed method of
smartphone-based screening for pharyngitis allows the fast identifica-
tion of severe pharyngitis and will improve the timely diagnosis of
pharyngitis for appropriate treatment to prevent complications. In
addition, this framework will help patients with upper respiratory
symptoms to obtain a diagnosis and treatment more conveniently and
will protect them from transmission in the recent COVID-19 pandemic.

Declaration of competing interest

Ik Hee Ryu is an executive of VISUWORKS, Inc. The remaining au-
thors declare no competing interests.

We wish to confirm that there has been no significant financial
support for this work that could have influenced its outcome.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.
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