Circulating Neutrophil-to-Lymphocyte Ratio at Admission Predicts the 6-months Outcome in Acute Traumatic Cervical Spinal Cord Injury Patients: A Retrospective Study

CURRENT STATUS: UNDER REVIEW

BMC Musculoskeletal Disorders  BMC series

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DOI:
10.21203/rs.2.21181/v1

SUBJECT AREAS
Orthopedics
KEYWORDS
acute traumatic cervical spinal cord injury; neutrophil-to-lymphocyte ratio; prediction model; prognostic value; 6-months outcome
Abstract
Background: The prognostic value of Neutrophil-to-Lymphocyte Ratio (NLR) for the outcome of acute cervical traumatic spinal cord injury (tSCI) patients has rarely been studied by now throughout the world. Methods: We performed a single-center retrospective cohort study to evaluate the prognostic value of NLR from peripheral whole blood count in patients with acute cervical tSCI. Patients within 6 hours of acute cervical tSCI treated between Dec 2008 and May 2018 in Huashan Hospital of Fudan University were enrolled. Outcomes of patients with tSCI were assessed using American spinal injury association Impairment Scale (AIS). 6-month outcomes were dichotomized into poor outcome group (AIS A to C) and good outcome group (AIS D and E). Uni- and multivariate analyses were performed to assess the independent predictors of 6-month outcome. Two prediction models based on admission characteristics were built to evaluate the prognostic value of NLR. The discriminative ability of predictive models was evaluated using the area under the curve (AUC). Results: A total of 377 patients were identified from our single center in China PR. Multivariate analysis showed that age, AIS grade at admission, NLR (p<0.001) and coagulopathy (p = 0.003) were independent predictors of the 6-months outcome for acute cervical tSCI patients. The model combing NLR and standard variables (AUC=0.944; 95% CI, 0.923-0.964) showed a more favorable prognostic value than that without NLR (AUC=0.841; 95% CI, 0.798-0.885) in terms of 6-month outcome. Conclusions: NLR is firstly identified as an independent predictor of the 6-month outcome in acute cervical tSCI patients worldwide. The prognostic value of NLR is favorable, and a high NLR is associated with poor outcome in patients with acute cervical tSCI.

Background
Traumatic spinal cord injury (tSCI), especially the cervical spinal cord, is one of the most devastating form of trauma because of its high morbidity rate and enormous financial and social burden. [1] The prevalence of tSCI is approximately 750 per million worldwide with a trend of rising annual incidence. [1] Similar to traumatic brain injury (TBI), the primary injury of spinal cord is induced by trauma impact, while the secondary injury is triggered by multiple factors during different time courses after injury, among which the inflammatory response plays a vital role. [2, 3]
Studies have identified several widely used standard prognostic factors at admission such as international normalized ratio (INR), activated partial thromboplastin time (APTT), platelet counts (PLT), age, Charlson Co-morbidity Index (CCI), American spinal injury association Impairment Scale (AIS) grades, and the initial Glasgow Coma Scale (GCS) score, to be associated with the outcome of tSCI patients. [4, 5] Several predictive models have been built based on these factors, however, their prognostic values were limited. Thus, to develop a model with higher prognostic value is justified. It was reported that either primary or secondary injury was associated with the outcome of tSCI patients. [6, 7] Inflammation responses, which are considered to contribute to the secondary tSCI, are partly activated by purinergic signaling in the acute phase. [8] After focal tSCI, circulating neutrophils can be observed to be recruited to the site of injury within 1 hour, which is considered as an indicator of acute inflammation. [9] It was reported that high neutrophils and low lymphocytes level in the peripheral blood at admission were independently associated with poor outcome of intracerebral hemorrhage (ICH) patients, and the neutrophil-to-lymphocyte ratio (NLR) was readily available as an outcome predictor. [10, 11] Nevertheless, the prognostic value of NLR for the outcome of tSCI patients has rarely been studied. Moreover, whether combining NLR with standard independent predictors would improve prognostic is still unknown. Our study evaluated the prognostic value of NLR and tested its predictive power in prediction models in terms of the 6-months outcome for cervical tSCI patients.

Methods

Patients population

A retrospective, observational cohort study was performed in patients with acute tSCI who were admitted to the Department of the Neurosurgery, Huashan Hospital of Fudan University between Dec. 2008 and May. 2018. This study was approved by the Institutional Review Board of Huashan Hospital of Fudan University. Informed consent was obtained from all individual participants. Inclusion criteria were set as follow: 1) patients with a diagnosis of traumatic spinal cord injury confirmed by computed tomography (CT); 2) ≥14 years of age; 3) within 6 h after injury; 4) initial GCS > 13; 5) initial AIS grade A to D and 6) C1 to T1segment spinal injury. Exclusion criteria included: 1)
patients with traumatic injury to a body region other than the cervical spinal cord with an Abbreviated Injury Severity (AIS) score ≥3; 2) a penetrating neck injury; 3) pregnancy; 4) pre-injury major neurologic deficits or disease (i.e. ischemic stroke, Parkinson’s Disease) and 5) injury related medical treatments, including methylprednisolone, spinal surgeries and et al, in other hospitals or medical centers before admission. All patients were evaluated and treated by full-time neurosurgeons with specific training in critical care.

**Demographic data and WBC counts**

At admission, blood samples of included patients were collected, in addition, neurologic examinations were performed according to the standards established by the American Spinal Injury Association (ASIA). Clinical and demographic characteristics, including age, gender, types of injury, Charleson Comorbidity Index (CCI), initial Glasgow Coma Scale (GCS) score, and the overall ASIA Impairment Scale (AIS) grade were recorded for all patients. Injury types were assessed by using initial CT scans on admission.

Coagulopathy was defined as meeting at least one the following criteria: PLT<100×10^9/L, INR>1.25, prothrombin time (PT) >14 s, and APTT>36s. In addition to PLT and coagulation testes (INR, PT and APTT), peripheral blood counts including WBC, neutrophil ratio and lymphocyte ratio were routinely performed and analyzed in all patients within 6 h of injury by the Central Clinical Chemistry Laboratory of Huashan Hospital.

The primary outcome was ordinal change in AIS grade at 6 months after injury, which was in accordance to the recommendations by the NASCIS. Moreover, several notable natural history studies and clinical trials such as Sygen trial has already demonstrated that the vast majority of patients showed signs of neurological recovery during this period. We carefully assessed the 6-months outcome of SCI patients via outpatient interviews or over the phone after discharge. The outcome of included patients was dichotomized into poor (AIS A to C) and good outcome(AIS D and E). [12, 13]

Relevant postoperative complications were also recorded.

**Prediction models**

The prognostic models derived from the data of included patients. The predictors for the 6-months
outcome were first analyzed using chi-squared, Fisher exact test, t-tests and one-way ANOVA. Then a multiple logistic regression analysis was performed to determine the predictors with odds ratios (OR). Two models for 6-months outcome were developed based on admission characteristics: model A included standard predictors such as age, gender, mechanism of injury, AIS grades at admission, and types of injury; model B included the results of WBC counts, neutrophil ratio, lymphocyte ratio and NLR in addition to the predictors in model A.

**Statistical analysis**

Continuous variables were expressed as means ± standard deviation (SD) or medians (interquartile range) and categorical variables as percentages. The univariate analyses of categorical data were performed using the chi-squared test. Equality of variance was assessed using Levene’s test. Normally distributed variables were compared using Student’s t-tests or one-way ANOVA, whereas non-normally distributed variables were compared using the Kruskal-Wallis or Mann–Whitney U-tests. Following the univariate analyses, a forward stepwise logistic regression analysis of the 6-months outcome was used to develop the prediction models and adjust for multiple predictors of 6-months outcome. By constructing receiver operative curve (ROC) and calculating the area under the curve (AUC), we evaluated the specificity and sensitivity of the two models, and their discriminative power. All statistical tests were two-tailed and p values < 0.05 were considered statistically significant. Statistical analysis was performed using SPSS 23.0 (IBM, USA) and MedCalc statistical software (version 15.2.2, MedCalc Software bvba, Ostend, Belgium).

**Results**

A total of 377 cervical tSCI patients were included in our study. The flowchart of participants’ selection is shown as Figure 1. Among these 377 patients, favorable outcome was confirmed in 205 (54.4%) patients.

The univariate analysis revealed that age, baseline AIS grade, and coagulopathy were significantly related with the 6-months outcome (p<0.001). Meanwhile, WBC counts, neutrophil ratio, lymphocyte ratio and NLR also showed close correlation with the outcome of cervical tSCI patients (p<0.001). Patients with poor outcome had a significantly higher WBC counts, neutrophil ratio, NLR and lower
lymphocyte ratio than those with good outcome (Table 1).

The degree of neurologic improvement was measured by changes in AIS grade from admission to 6 months follow-up (Table 2). AIS grade improvements of the 377 included patients were as follows: 159 (42.2%) had no improvement, 148 (39.2%) had a 1 grade improvement, 55 (14.6%) had a 2 grades improvement, 9 (2.4%) had a 3 grades improvement and 6 (1.6%) had a 1 grade worsening.

To analyze and adjust for multiple predictors, we further performed a forward stepwise logistic regression analysis. After adjustment, NLR remained a statistically significant prognostic factor of 6-months outcome of cervical tSCI patients (OR, 0.93; 95% CI, 0.87-0.98; p<0.001), while WBC counts, neutrophil ratio and lymphocyte showed no significant correlation with patients’ 6-months outcome (Table 3). Other independent prognostic factors included age, baseline AIS grade (p<0.001) and coagulopathy (p = 0.003) (Table 4).

Lastly, we developed two predictive models for the 6-months outcome. To assess their discriminative ability, we constructed ROC and calculated AUC (Figure 2). It is indicated that the predictive model with NLR (AUC=0.944; 95% CI, 0.923-0.964) showed a more favorable discrimination than that of the model without NLR (AUC=0.841; 95% CI, 0.798-0.885). Thus, the prognostic ability of NLR to the 6-months outcome of TBI patients is favorable.

Discussion

NLR has rarely been studied as a prognostic factor for tSCI patients. In this study, we examined the prognostic value of peripheral blood circulating NLR on admission and built two models to predict the 6-months outcome of acute cervical tSCI patients.

The main finding of our study was that cervical tSCI patients with lower 6-months AIS grades (A to C) showed significantly higher circulating NLR than those with higher AIS grades (D or E), and the level of circulating NLR was an independent prognostic factor of 6-months outcome of cervical tSCI patients. [5] Moreover, by calculating AUC, we found that the predictive model, combining age, gender, mechanism of injury, coagulation status and NLR, showed good discrimination that models based on solely on standard predictors. Thus, it is inferred that circulating NLR can improve predictive power of standard model of 6-months outcome after cervical spinal cord injury.
Outcome prediction is important for cervical tSCI patients. Predictive models can promote quality control through the standardization of the parameters for patient assessment which can be compared across physicians and institutions. [14] The prognostic value of predictors is determined by their reliability on assessment, the prevalence of abnormalities, and the strength of the prognostic effect. Predictors we used in this study, including age, gender, coagulation status and components of circulating blood sample, can be readily obtained on admission, and their prognostic value had previously been confirmed either in tSCI or TBI patients. [4, 15-17] The counts of WBCs and its components, including neutrophils ratio, lymphocytes ratio and NLR, are readily available lab tests with standardized results, although rarely studied, it is reasonable to include these results into this prognostic model.

Favorable prognostic value of circulating NLR at admission had been reported in ICH and TBI patients. [11, 18] It is expected that the prognostic value of NLR would be favorable in predicting the outcome of patients with cervical tSCI which shares the similar secondary injury mechanism as TBI. Univariate analysis showed significant correlation between NLR and patient outcome, the prognostic effect remained substantial following adjusted analysis, suggesting NLR is of considerable prognostic relevance in cervical tSCI patients.

As the importance of circulating blood components in predicting outcome is increasing recognized, a variety of parameters, as monocyte to HDL cholesterol ratio, [19] and LDL-C/HDL-C ratio [20] have been studied and applied in predicting the outcome of patients with acute ischemic or hemorrhagic cerebral diseases. NLR, which conveys crucial information about the complex inflammatory activity in vascular bed, is an established marker of systemic inflammation and is easily calculated. A high NLR at admission was reported to be an indicator of poor outcome in ICH patients, though the mechanism remained unclear. [11] In the current study, cervical tSCI patients who had a higher NLR were more likely to have a poor outcome measured by AIS grade, which is consistent with results from ICH or TBI patients. Moreover, the level of NLR is similar with that in TBI patients, and much higher than the level in ICH patients. It is assumed that unlike the primary injury in ICH, which is mainly induced by focal hematoma compression, primary injury in tSCI is more massive and diffuse, including the spinal
cord laceration and contusion, resulting more severe acute inflammatory responses. Being an indicator of severity of the acute inflammatory responses, the neutrophil counts will increase more dramatically than other types of leukocytes after spinal cord injury. As a result, NLR level increases at early stage after spinal cord injury and is much higher than that in ICH patients. Inflammation response is significantly associated with poor outcome in TBI, ICH and tSCI patients. [3, 21, 22] Such inflammatory response may initially be trigger by damage-associated molecular patterns (DAMP) released after CNS injury. [23, 24] It has been reported that significant leukocytes infiltration was observed after CNS injury leading to aggravated focal inflammatory response and worsened secondary injury. [25] In the case of acute spinal trauma, the neutrophils are actively recruited around injury site, and contribute to the cellular injury and disruption of viable spinal cord tissues. Dramatic increase of neutrophils is seen as early as within the first hour of injury, while that of lymphocyte shows no significant changes.

Our study has several drawbacks and the results should be interpreted with great caution. Firstly, the time course of our study was relatively long, thus the level of emergency may be different. Secondly, we included only cervical tSCI, thus and a further study is required to clarify such assumption in patients with injuries to lumbar and thoracic segments. Thirdly, the predictive ability of inflammation markers, such as C-reactive protein, might also play a role in outcome prediction, which would be investigated in our future studies. Last but not least, a prospective multi-center study is justified to further elucidate the relationship of NLR and 6-months outcome of patients with tSCI.

Conclusion
NLR is firstly identified as an independent predictor of the 6-month outcome in acute cervical tSCI patients worldwide. The prognostic value of NLR is favorable, and a high NLR is associated with poor outcome in patients with acute cervical tSCI.

List Of Abbreviations
AIS: American spinal injury association Impairment Scale
APTT: activated partial thromboplastin time
AUC: area under the curve
Declarations

**Ethics approval and consent to participate**

This study was approved by the Institutional Review Board of Huashan Hospital of Fudan University. Informed consent was obtained from all individual participants.

**Consent for publication**

The manuscript is approved by all authors for publication.

**Data access of the study**

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

**Competing interests**

Jian-Lan Zhao, Song-Tao Lai, Zhuo-Ying Du, Yi-Rui Sun, Qiang Yuan, Xing Wu, Zhi-Qi Li, Jin Hu and Rong Xie declared that they have no conflict of interest.

**Funding**

This work was supported by the National Natural Science Foundation of China (81471241, 81271375, 81701206, 81671200, 81571111, 81870909, 81870968 and 81171133), Shanghai Municipal Science and Technology Commission project (16411955300, 18441903300 and 18695841300), Shanghai Municipal Commission of Health and Family Planning project (201840063, 201801075), The Major and Develop Project of Chinese Ministry of Science and Technology (2017ZX09304005), the Public Medical
Artificial Intelligence Training Platform (XX-RGZN-01-17-4684), and Shanghai Minhang Natural Science Foundation (2018MHZ001).

**Authors’ contributions**

Jian-Lan Zhao: study design, data collection and manuscript writing;

Song-Tao Lai: data collection and analyzeation, manuscript revision;

Zhuo-Ying Du: data collection and analyzeation;

Yi-Rui Sun: data collection;

Qiang Yuan: data collection;

Xing Wu: data collection;

Zhi-Qi Li: data collection;

Jin Hu: study design, data analyzeation and manuscript revision;

Rong Xie: study design, data analyzeation and manuscript final revision.

**Acknowledgments**

We are grateful to Professor Liang-Fu Zhou for his support on this study. We would also like to thank all physicians who participated this study.

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Tables
Table 1. Baseline Characteristics According to the 6-months Outcome
| Mechanism of injury (n, %) | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| Motor vehicle accident    | 87 (23.1)   | 38 (22.1)                | 49 (23.9)                |
| Fall                      | 99 (26.3)   | 51 (29.7)                | 48 (23.4)                |
| Stumble                   | 102 (27.1)  | 49 (28.5)                | 53 (25.8)                |
| Blow to spine             | 68 (18.0)   | 31 (18.1)                | 37 (18.1)                |
| Others                    | 21 (5.5)    | 8 (4.6)                  | 13 (6.3)                 |

| Baseline AIS grade (n, %) | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|--------------------------|-------------|--------------------------|--------------------------|
| A                        | 129 (34.2)  | 80 (46.5)                | 49 (23.9)                |
| B                        | 61 (16.2)   | 48 (27.9)                | 13 (6.3)                 |
| C                        | 72 (19.1)   | 51 (29.6)                | 21 (10.2)                |
| D                        | 115 (30.5)  | 3 (1.7)                  | 112 (54.6)               |

| Coagulopathy (n, %)       | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| Motor vehicle accident    | 87 (23.1)   | 38 (22.1)                | 49 (23.9)                |
| Fall                      | 99 (26.3)   | 51 (29.7)                | 48 (23.4)                |
| Stumble                   | 102 (27.1)  | 49 (28.5)                | 53 (25.8)                |
| Blow to spine             | 68 (18.0)   | 31 (18.1)                | 37 (18.1)                |
| Others                    | 21 (5.5)    | 8 (4.6)                  | 13 (6.3)                 |

| GCS at admission          | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 14.8±0.4                  |             | 14.7±0.4                 | 14.8±0.3                 |

| Age (yrs) (mean± SD)      | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 46.05±17.93               |             | 52.91±13.61              | 44.61±16.34              |

| Male (N, %)               | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 212 (56.2)                |             | 102 (59.3)               | 110 (48.9)               |

| Mechanism of injury (n, %) | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| Motor vehicle accident    | 87 (23.1)   | 38 (22.1)                | 49 (23.9)                |
| Fall                      | 99 (26.3)   | 51 (29.7)                | 48 (23.4)                |
| Stumble                   | 102 (27.1)  | 49 (28.5)                | 53 (25.8)                |
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| GCS at admission          | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 14.8±0.4                  |             | 14.7±0.4                 | 14.8±0.3                 |

| Age (yrs) (mean± SD)      | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 46.05±17.93               |             | 52.91±13.61              | 44.61±16.34              |

| Male (N, %)               | Full cohort | Poor outcome (AIS A to C) | Good outcome (AIS D to E) |
|---------------------------|-------------|--------------------------|--------------------------|
| 212 (56.2)                |             | 102 (59.3)               | 110 (48.9)               |

Data are given as mean ± SD, or n (%) unless otherwise noted.

| Independent Variable | Unadjusted OR (95% CI) | P Value | Adjusted OR (95% CI) | P Value |
|----------------------|------------------------|---------|----------------------|---------|
| WBCs (x10^9/L)       | 0.82 (0.77-0.83)       | <0.001  | 1.02 (0.94-1.07)     | 0.1     |
| Neutrophil ratio     | 0.76 (0.72-0.81)       | <0.001  | 0.91 (0.82-1.01)     | 0.1     |
| Lymphocyte ratio     | 0.82 (0.74-0.93)       | <0.001  | 1.11 (1.04-1.22)     | 0.1     |
| NLR                  | 0.83 (0.77-0.90)       | <0.001  | 0.93 (0.87-0.98)     | <0.1    |

Table 2. Ordinal changes in AIS grade from admission to 6 months follow-up.

| AIS grade at admission | A  | B  | C  | D  | E  | Total |
|------------------------|----|----|----|----|----|-------|
| A                      | 67 | 34 | 22 | 6  | 0  | 129   |
| B                      | 2  | 13 | 19 | 24 | 3  | 61    |
| C                      | 0  | 1  | 14 | 48 | 9  | 72    |
| D                      | 0  | 0  | 3  | 65 | 47 | 115   |

AIS: American spinal injury association Impairment Scale (AIS)
Table 4. Multivariate logistic regression analysis predicting the 6-months outcome

| Independent variable                          | Adjusted OR (95% CI)     | p value  |
|-----------------------------------------------|--------------------------|----------|
| Age                                           | 0.91 (0.86-0.97)         | <0.001   |
| GCS at admission                              | 1.18 (0.93-1.34)         | 0.713    |
| Charleson Co-morbidity Index >1               | 1.02 (0.88-1.16)         | 0.328    |
| Coagulopathy                                  | 0.84 (0.64-0.97)         | 0.003    |
| Baseline AIS grade                            |                          |          |
| A                                             | 1                        |          |
| B                                             | 0.51 (0.39-0.81)         | <0.001   |
| C                                             | 0.59 (0.28-0.72)         | <0.001   |
| D                                             | 0.62 (0.41-0.93)         | <0.001   |
| Neutrophil-to-lymphocyte ratio                | 0.93 (0.87-0.98)         | <0.001   |

CI: confidence interval. OR: odds ratio. The reference category value was transformed from 0 to 1 to compare subgroups.

Figures

![Flowchart of patients’ selection](image)

Figure 1

Flowchart of patients’ selection
Figure 2

Receiver operative curve (ROC) of the two predictive models. The model with Neutrophil-to-Lymphocyte Ratio (NLR) had a larger area under the curve (AUC). It is indicated that the discrimination of the model with NLR is more favorable than the other two models.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

Author slides.pptx