TexSmart: A System for Enhanced Natural Language Understanding

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Abstract
This paper introduces TexSmart, a text understanding system that supports fine-grained named entity recognition (NER) and enhanced semantic analysis functionalities. Compared to most previous publicly available text understanding systems and tools, TexSmart holds some unique features. First, the NER function of TexSmart supports over 1,000 entity types, while most other public tools typically support several to (at most) dozens of entity types. Second, TexSmart introduces new semantic analysis functions like semantic expansion and deep semantic representation, that are absent in most previous systems. Third, a spectrum of algorithms (from very fast algorithms to those that are relatively slow but more accurate) are implemented for one function in TexSmart, to fulfill the requirements of different academic and industrial applications. The adoption of unsupervised or weakly-supervised algorithms is especially emphasized, with the goal of easily updating our models to include fresh data with less human annotation efforts. 1

1 Introduction
The long-term goal of natural language processing (NLP) is to help computers understand natural language as well as we do, which is one of the most fundamental and representative challenges for artificial intelligence. Natural language understanding includes a broad variety of tasks covering lexical analysis, syntactic analysis and semantic analysis. In this paper we introduce TexSmart, a new text understanding system that provides enhanced named entity recognition (NER) and semantic analysis functionalities besides standard NLP modules. Compared to most previous publicly available text understanding systems (Loper and

Bird, 2002; OpenNLP; Manning et al., 2014; Gardner et al., 2018; Che et al., 2010; Qiu et al., 2013), TexSmart holds the following key characteristics:
• Fine-grained named entity recognition (NER)
• Enhanced semantic analysis
• A spectrum of algorithms implemented for one function, to fulfill the requirements of different academic and industrial applications

First, the fine-grained NER function of TexSmart supports over 1,000 entity types while most previous text understanding systems typically support several to (at most) dozens of coarse entity types. Semantic representations, organizations, products, brands, creative work, movie, location, time, numerical values, living creatures, food, drugs, diseases, academic disciplines, languages, celestial bodies, organs, events, activities, colors, etc.

Second, TexSmart provides two advanced semantic analysis functionalities: semantic expansion, and deep semantic representation for a few entity types. These two functions are not available in most previous public text understanding systems. Semantic expansion suggests a list of related entities for an entity in the input sentence (as shown in Figure 1). It provides more information about the semantic meaning of an entity. Semantic expansion could also benefit upper-layer applications like web search (e.g., for query suggestion) and recommendation systems. For time and quantity entities, in addition to recognizing them from a sentence, TexSmart also tries to parse them into deep representations (as shown in Figure 1). This kind of deep representations is essential for some NLP applications. For example, when a chatbot is processing query
“please book an air ticket to London at 4 pm the day after tomorrow”, it needs to know the exact time represented by “4 pm the day after tomorrow”.

Third, a spectrum of algorithms is implemented for one task (e.g., part-of-speech tagging and NER) in TexSmart, to fulfill the requirements of different academic and industrial applications. On one side of the spectrum are the algorithms that are very fast but not necessarily the best in accuracy. On the opposite side are those that are relatively slow yet delivering state-of-the-art performance in terms of accuracy. Different application scenarios may have different requirements for efficiency and accuracy. Unfortunately, it is often very difficult or even impossible for a single algorithm to achieve the best in both speed and accuracy at the same time. With multiple algorithms implemented for one task, we have more chances to better fulfill the requirements of more applications.

One design principle of TexSmart is to put a lot of efforts into designing and implementing unsupervised or weakly-supervised algorithms for a task, based on large-scale structured, semi-structured, or unstructured data. The goal is to update our models easier to include fresh data with less human annotation efforts.

2 System Modules

Compared to most other public text understanding systems, TexSmart supports three unique modules, i.e., fine-grained NER, semantic expansion and deep semantic representation. Besides, traditional tasks supported by both TexSmart and many other systems include word segmentation, part-of-speech (POS) tagging, coarse-grained NER, constituency parsing, semantic role labeling, text classification and text matching. Below we first introduce the unique modules, and then describe the traditional tasks, followed by System Usage.

2.1 Key Modules

Since the implementation of fine-grained NER depends on semantic expansion, we first present semantic expansion, then fine-grained NER, and finally deep semantic representation.

2.1.1 Semantic Expansion

Given an entity within a sentence, the semantic expansion module suggests a list of entities related to the given entity. For example in Figure 1, the suggestion results for “Captain Marvel” include “Spider-Man”, “Captain America”, and other related movies. Semantic expansion attaches additional information to an entity mention, which could be leveraged by upper-layer applications for better understanding the entity and the source sentence. Possible applications of the expansion results include web search (e.g., for query suggestion) and recommendation systems.

Semantic expansion task was firstly introduced in Han et al. (2020), and it was addressed by a neural method. However, this method is not as efficient as one expected for some industrial applications. Therefore, we propose a light-weight alternative approach in TexSmart for this task.

This approach includes two offline steps and two online ones, as illustrated in Figure 2. During the offline procedure, Hearst patterns are first applied to a large-scale text corpus to obtain a is-a map (or called a hyponym-to-hypernym map) (Hearst, 1992; Zhang et al., 2011). Then a clustering algorithm is employed to build a collection of term clusters from all the hyponyms, allowing a hyponym to belong to multiple clusters. Each term cluster is labeled by one or more hypernyms (or called type names). Term similarity scores used in the clustering algorithm are calculated by a combination of word embedding, distributional similarity, and pattern-based methods (Mikolov et al., 2013; Song et al., 2018; Shi et al., 2010).

During the online testing time, clusters containing the target entity mention are first retrieved by referring to the cluster collection. Generally, there may be multiple (ambiguous) clusters containing the target entity mention and thus it is necessary to pick the best cluster through disambiguation. Once the best cluster is chosen, its members (or instances) can be returned as the expansion results.

Now the core challenge is how to calculate the
score of a cluster given an entity mention. We choose to compute the score as the average similarity score between a term in the cluster and a term in the context of the entity mention. Formally, suppose \( e \) is a mention in a sentence, context \( C = \{c_1, c_2, \ldots, c_m\} \) is a window of \( e \) within the sentence, and \( L = \{e_1, e_2, \ldots, e_n\} \) is a term cluster containing the entity mention (i.e., \( e \in L \)). The cluster score is then calculated below:

\[
\text{sim}(C, L; e) = \frac{1}{(m-1) \times (n-1)} \sum_{x \in C \setminus \{e\}, y \in L \setminus \{e\}} \cos(v_x, w_y) 
\]  

(1)

where \( C \setminus \{e\} \) means excluding a subset \( \{e\} \) from a set \( C \), \( v_x \) denotes the input word embedding of \( x \), \( w_y \) denotes the output word embedding of \( y \) from a well-trained word embedding model, and \( \cos \) is the cosine similarity function.

2.1.2 Fine-Grained NER

Generally, it is challenging to build a fine-grained NER system. Xu et al. (2020) create a fine-grained NER dataset for Chinese, but the number of its types is less than 20. A knowledge base (such as Freebase (Bollacker et al., 2008)) is utilized in Ling and Weld (2012) as distant supervision to obtain a training dataset for fine-grained NER. However, this dataset only includes about one hundred types whereas TexSmart supports up to one thousand types. Moreover, the fine-grained NER module in TexSmart does not rely on any knowledge bases and thus can be readily extended to other languages for which there is no knowledge base available.

**Ontology** To establish fine-grained NER in TexSmart, we need to define an ontology of entity types. The TexSmart ontology was built in a semi-automatic way, based on the term clusters in Figure 2. Please note that each term cluster is labeled by one or more hypernyms as type names of the cluster. We first conduct a simple statistics over the term clusters to get a list of popular type names (i.e., those having a lot of corresponding term clusters). Then we manually create one or more formal types from one popular type name and add the type name to the name list of the formal types. For example, formal type “work.movie” is manually built from type name “movie”, and the word “movie” is added to the name list of “work.movie”. As another example, formal types “language:human:lang” and “language:programming” are manually built from type name “language”, and the word “language” is added to the name lists of both the two formal types. Each formal type is also assigned with a sample instance list in addition to a name list. Instances can be chosen manually from the clusters corresponding to the names of the formal type. To reduce manual efforts, the sample instance list for every type is often quite short. The supertype/subtype relation between the formal types are also specified manually. As a result, we obtain a type hierarchy containing about 1,000 formal types, each assigned with a standard id (e.g., work.movie), a list of names (e.g., “movie” and “film”), and a short list of example instances (e.g., “Star Wars”). The TexSmart ontology is available on the download page2. Figure 3 shows a sub-tree (with type id “loc.generic” as the root) sampled from the entire ontology.

**Unsupervised method** The unsupervised fine-grained NER method works in two steps. First, run the semantic expansion algorithm (referring to the previous subsection) to get the best cluster for the entity mention. Second, derive an entity type from the cluster.

For the best cluster obtained in the first step, it contains a list of terms as instances and is also labeled with a list of hypernyms (or type names). The final entity type id for the cluster is determined by a type scoring algorithm. The candidate types are those in the TexSmart ontology whose name lists contain at least one hypernym of the cluster. Please note that each entity type in the TexSmart ontology has been assigned with a name list and a sample instance list. Therefore the score of a candidate entity type can be calculated according to the information of the entity type and cluster.

This unsupervised method has a major drawback: It cannot recognize unknown entity mentions (i.e., entity mentions that are not in any of our term clusters).

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2https://ai.tencent.com/ailab/nlp/texsmart/en/download.html
Hybrid method In order to address the above issue, we propose a hybrid method for fine-grained NER. Its key idea is to combine the results of the unsupervised method and those of a coarse-grained NER model. We train a coarse-grained NER model in a supervised manner using an off-the-shelf training dataset (for example, Ontonotes dataset (Weischedel et al., 2013)). Given the supervised and unsupervised results, the combination policy is as follows: If the fine-grained type is compatible with the coarse type, i.e., the fine-grained one is a subtype of the coarse one, the fine-grained type is returned; otherwise the coarse type is chosen.

For example, assume that the entity mention “apple” in the sentence “...apple juice...” is determined as “food.fruit” by the unsupervised method and “food.generic” by the supervised model. The hybrid approach returns “food.fruit” according to the above policy. However, if the unsupervised method returns “org.company”, the hybrid approach will return “food.generic” because the two types returned by the supervised method and the unsupervised method are not compatible.

Although both unsupervised and hybrid methods are described on top of the ontology manually defined above, they can actually be used for other ontologies such as those in FIGER and Ontonotes datasets, because most type names in these ontologies can be covered by our clusters obtained in semantic expansion as long as the training data is sufficient. In this sense, both methods are general in practice.

2.1.3 Deep Semantic Representation

For a time or quantity entity within a sentence, TexSmart can analyze its potential structured representation, so as to further derive its precise semantic meaning. For example in Figure 1, the deep semantic representation given by TexSmart for “24 months ago” is a structured string with a precise date in JSON format:{"value": [2019, 3]} if the screenshot time was Mar. 2021. Deep semantic representation is important for applications like task-oriented chatbots, where the precise meanings of some entities are required. So far, most public text understanding tools do not provide such a feature. As a result, applications using these tools have to implement deep semantic representation by themselves.

Some NLP toolkits make use of regular expressions or supervised sequence tagging methods to recognize time and quantity entities. However, it is difficult for those methods to derive structured or deep semantic information of entities. To overcome this problem, time and quantity entities are parsed in TexSmart by Context Free Grammar (CFG), which is more expressive than regular expressions. Its key idea is similar to that in Shi et al. (2015) and can be described as follows: First, CFG grammar rules are manually written according to possible natural language expressions of a specific entity type. Second, the Earley algorithm (Earley, 1970) is employed to parse a piece of text to obtain semantic trees of entities. Finally, deep semantic representations of entities are derived from the semantic trees.

2.2 Other Modules

Word Segmentation In order to support different application scenarios, TexSmart provides word segmentation results of two granularity levels: word level (or basic level), and phrase level. For phrase-level segmentation, some phrases (especially noun phrases) may contained as a unit. An unsupervised algorithm is implemented in TexSmart for both English and Chinese word segmentation. We choose an unsupervised method over supervised ones due to two reasons. First, it is at least 10 times faster. Second, its accuracy is good enough for most applications.

Part-of-Speech Tagging Part-of-Speech (POS) denotes the syntactic role of each word in a sentence, also known as word classes or syntactic categories and it is helpful for many downstream text understanding tasks such as parsing (Huang, 2008; Chen and Manning, 2014; Liu et al., 2018a). We implement three models among many popular ones for part-of-speech tagging (Ratnaparkhi, 1996; Huang et al., 2015; Li et al., 2021b): Log-linear based model (Ratnaparkhi, 1996), conditional random field (CRF) based model (Lafferty et al., 2001) and deep neural network (DNN) based model (Akbik

![Figure 3: A sub-tree of the TexSmart ontology, with “loc.generic” as the root](image-url)
et al., 2018; Liu et al., 2019). We denote them as: log_linear, crf and dnn, respectively.

Coarse-grained NER  The difference between fine-grained and coarse-grained NERs is that the former involves more entity types with a finer granularity. We implement coarse-grained NER using supervised learning methods, including conditional random field (CRF) (Lafferty et al., 2001) based and deep neural network (DNN) based models (Akbik et al., 2018; Liu et al., 2019; Li et al., 2020).

Constituency Parsing  We implement the constituency parsing model based on the work (Kitaev and Klein, 2018). Kitaev and Klein (2018) build the parser by combining a sentence encoder with a chart decoder based on the self-attention mechanism. Different from work (Kitaev and Klein, 2018), we use pre-trained BERT model as the text encoder to extract features to support the subsequent decoder-based parsing. Our model achieves excellent performance and has low search complexity.

Semantic Role Labeling  Semantic role labeling (also called shallow semantic parsing) tries to assign role labels to words or phrases in a sentence. TexSmart takes a sequence labeling model with BERT as the text encoder for semantic role labeling similar to Shi and Lin (2019). TexSmart supports semantic role labeling on both Chinese and English texts.

Text Classification  Text Classification aims to assign a semantic label for an input text among a predefined label set. Text Classification is a classical task in NLP and it has been widely used in many applications, such as spam filtering, sentiment analysis and question classification. The predefined label set in TexSmart is available on the web page.

Text Matching  We implement two text matching algorithms in TexSmart: Linkage and ESIM (Chen et al., 2017). Linkage is an unsupervised algorithm designed by ourselves that incorporates synonymy information and word embedding knowledge to compute semantic similarity. Different from the previous models with complicated network architectures, ESIM carefully designs the sequential model with both local and global inference based on chain LSTMs and outperforms the counterparts.

3 System Usage

Two ways are available to use TexSmart: Calling the HTTP API directly, or downloading one version of the offline SDK. Note that for the same input text, the results from the HTTP API and the SDK may be slightly different, because the HTTP API employs a larger knowledge base and supports more

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|       | SE       | FGNER   |
|-------|----------|---------|
|       | ZH | EN | Base | Hybrid |
| Quality | 79.5 | 80.5 | 45.9 | 53.8 |

Table 1: Semantic expansion (SE) and fine-grained NER (FGNER) evaluation results. SE is evaluated by human annotators and FGNER is evaluated by a variant of F1 score. Base denotes the supervised coarse NER model.

However, this dataset only contains about 400 sentences. In addition, it misses some important entities during human annotation, which is a common issue in building a dataset for evaluating fine-grained NER (Li et al., 2021a). Therefore, we create a larger fine-grained NER dataset, based on the Ontonotes 5.0 dataset. We ask three human annotators to label fine-grained types for each coarse-labeled entity. Since human annotators do not need to identify mentions from scratch, it would mitigate the missing entities issue to some extent. Furthermore, because it is too costly for three human annotators to annotate types from the entire ontology, we instead take a sub-ontology for human annotation which combines all types from Ling and Weld (2012) and Gillick et al. (2014), including 140 types in total. Due to ambiguous entities, there are indeed some disagreement annotations among three annotators but their overall agreement rate is respectful, i.e., the averaged pair-wise agreement rate is about 87.1% in terms of Mi-F1 scores.

|       | Parsing | SRL |
|-------|---------|-----|
|       | EN | ZH | EN | ZH |
| F1    | 95.42 | 92.25 | 86.7 | 82.1 |
| Sents/sec | 16.60 | 16.00 | 10.2 | 11.5 |

Table 2: Evaluation results for constituency parsing and SRL. The decoding speed in is measured upon a GPU P40 machine.

To set the hybrid method for fine-grained NER, we select LUA (Li et al., 2020) as the coarse-grained NER model, which is trained on Ontonotes 5.0 training dataset (Weischedel et al., 2013). To compare fine-grained NER against coarse-grained NER, we report a variant of F1 measure for evaluation which only differs from standard F1 in matching count accumulation: if an output type is a fine-grained type and it exactly matches a gold fine-grained type, the matching count accumulates 1; if an output is a coarse-grained type and it is compatible with a gold fine-grained type, the matching count accumulates 0.5.

POS Tagging We evaluate three POS tagging algorithms: log-linear, CRF, and DNN. They are all trained on the standard training datasets from PTB for English and CTB 9.0 for Chinese. We use their corresponding test sets to evaluate all the models.

Coarse-grained NER To ensure better generalization to industrial applications, we combine several public training sets together for English NER. They are CoNLL2003 (Sang and De Meulder, 2003), BTC (Derczynski et al., 2016), GMB (Bos et al., 2017), SEC_FILING (Alvarado et al., 2015), WikiGold (Balasuriya et al., 2009; Nothman et al., 2013), and WNUT17 (Derczynski et al., 2017). Since the label set for all these datasets are slightly different, we only maintain three common labels (Person, Location and Organization) for training and testing. For Chinese, we create a NER dataset including about 80 thousand sentences labeled with 12 entity types, by following a similar guideline to that of the Ontonotes dataset. We randomly split it into a training set and a test set with ratio of 3:1. We evaluate two algorithms for coarse-grained NER: CRF and DNN. For DNN, we implement the RoBERTa-CRF and Flair models. As we found RoBERTa-CRF performs better on the Chinese dataset while Flair is better on the English dataset, we report results of RoBERTa-CRF for Chinese and Flair for English in our experiments.

Constituency Parsing We conduct parsing experiments on both English and Chinese datasets. For English task, we use WSJ sections in Penn Treebank (PTB) (Marcus et al., 1993), and we follow the standard splits: the training data ranges from section 2 to section 21; the development data is section 24; and the test data is section 23. For Chinese task, we use the Penn Chinese Treebank (CTB) of the version 5.1 (Xue et al., 2005). The training data includes the articles 001-270 and articles 440-1151; the development data is the articles 301-325; and the test data is the articles 271-300.

SRL Semantic role labeling experiments are conducted on both English and Chinese datasets. We use the CoNLL 2012 datasets (Pradhan et al., 2013) and follow the standard splits for the training, development and test sets. The network parameters of our model are initialized using RoBERTa. The batch size is set to 32 and the learning rate is $5 \times 10^{-5}$.

Text Matching Two text matching algorithms are evaluated: ESIM and Linkage. The datasets used in evaluating English text matching are MRPC\(^6\) and QUORA\(^7\). For Chinese text matching, four datasets are involved: LQCMB (Liu et al., 2018b), AFQMC (Xu et al., 2020), BQ_CORPUS (Chen et al., 2018), and PAWS-zh (Zhang et al., 2019). We evaluate the quality

\(^6\)https://www.microsoft.com/en-us/download/details.aspx?id=52398
\(^7\)https://www.quora.com/q/quoradata/First-Quora-Dataset-Release-Question-Pairs.
POS Tagging | Coarse-grained NER
---|---
Log-linear | CRF | DNN | CRF | DNN
EN | ZH | EN | ZH | EN | ZH | EN | ZH
F1 | 96.76 | 93.94 | 96.50 | 93.73 | 97.04 | 98.08 | 73.24 | 67.26 | 83.12 | 75.23
Sents/sec | 3.9K | 1.3K | 149 | 1.1K | 107

Table 3: Evaluation results for some POS Tagging and coarse-grained NER algorithms in TexSmart on both English (EN) and Chinese (ZH) datasets. The English and Chinese NER datasets are labeled with 3 and 12 entity types respectively.

| Algorithms | Sents/Sec | English | Chinese |
|---|---|---|---|
| | | MRPC | QUORA | LCQMC | AFQMC | BQ CORPUS | PAWS-zh |
| ESIM | 861 | - | - | 82.63 | 51.30 | 71.05 | 61.55 |
| Linkage | 1973 | 82.18 | 74.94 | 79.26 | 48.66 | 71.23 | 62.30 |

Table 4: Text matching evaluation results. ESIM is a supervised algorithm and it is trained on an in-house labeled dataset only for Chinese. Linkage is an unsupervised algorithm and it is trained for both English and Chinese.

and speed for both ESIM and Linkage algorithms in terms of F1 score and sentences per second, respectively. Since we have not trained the English version of ESIM yet, the corresponding evaluation results are not reported.

4.2 Evaluation Results

Table 1 shows the evaluation results of semantic expansion and fine-grained NER. For semantic expansion, it is shown that TexSmart achieves an accuracy of about 80.0 on both English and Chinese datasets. It is a pretty good performance. For fine-grained NER, it is observed that the hybrid approach performs much better than the supervised model (LUA).

Evaluation results for constituency parsing and semantic role labeling are summarized in Table 2. For constituency parsing, the F1 scores on the English and Chinese test sets are 95.42 and 92.25, respectively. The decoding speed depends on the input sentence length. It can process 16.6 and 16.0 sentences per second on our test sets. For SRL, the F1 scores on the English and Chinese test sets are 86.7 and 82.1 respectively and it processes about 10 sentences per second. The speed may be not efficient enough for some applications. As future work, we plan to design more efficient syntactic parsing and SRL algorithms.

The evaluation results for POS Tagging and coarse-grained NER are listed in Table 3. The speed values in this table are measured in sentences per second and they are measured upon a machine with Platinum 8255C CPU @ 2.50GHz. Please note that the speed results for Log-linear and CRF are obtained using one single thread, while the speed results for DNN are on 6 threads.

It is clear from the POS tagging results that the three algorithms form a spectrum. On one side of the spectrum is the log-linear algorithm, which is very fast but less accurate than the DNN algorithm. On the opposite side is the DNN algorithm, which achieves the best accuracy but are much slower than the other two algorithms. The CRF algorithm is in the middle of the spectrum.

Also from Table 3, we can see that the two coarse-grained NER algorithms form another spectrum. The CRF algorithm is on the high-speed side, while the DNN algorithm is on the high-accuracy side. Note that for DNN methods in this table, we employ a data augmentation method to improve their generalization abilities and a knowledge distillation method to speed up its inference (Hinton et al., 2015).

Table 4 shows the performance of two algorithms for text matching. We can see from this table that, in terms of speed, both algorithms are fairly efficient. Please note that the speed is measured in sentences per second using one single CPU from a machine with Platinum 8255C CPU @ 2.50GHz. In terms of accuracy, their performance comparison depends on the dataset being used. ESIM performs apparently better on the first two datasets, while slightly worse on the last one. Applications may need to test on their datasets before making decision between the two algorithms.

5 Conclusion

In this paper we have presented TexSmart, a text understanding system that supports fine-grained NER, enhanced semantic analysis, as well as some common text understanding functionalities. We have introduced the main functions of TexSmart and key algorithms for implementing the functions. We have also reported some evaluation results on major modules of TexSmart.
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