Pump Frequency Resonances for Light-Induced Incipient Superconductivity in YBa\textsubscript{2}Cu\textsubscript{3}O\textsubscript{6.5}

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Optical excitation in the cuprates has been shown to induce transient superconducting correlations above the thermodynamic transition temperature $T_C$, as evidenced by the terahertz-frequency optical properties in the nonequilibrium state. In YBa$_2$Cu$_3$O$_{6.5}$, this phenomenon has so far been associated with the nonlinear excitation of certain lattice modes and the creation of new crystal structures. In other compounds, like La$_{2-x}$Ba$_x$CuO$_4$, similar effects were reported also for excitation at near-infrared frequencies, and were interpreted as a signature of the melting of competing orders. However, to date, it has not been possible to systematically tune the pump frequency widely in any one compound, to comprehensively compare the frequency-dependent photosusceptibility for this phenomenon. Here, we make use of a newly developed nonlinear optical device, which generates widely tunable high-intensity femtosecond pulses, to excite YBa$_2$Cu$_3$O$_{6.5}$ throughout the entire optical spectrum (3–750 THz). In the far-infrared region (3–24 THz), signatures of nonequilibrium superconductivity are induced only for excitation of the 16.4- and 19.2-THz vibrational modes that drive c-axis apical oxygen atomic positions. For higher driving frequencies (25–750 THz), a second resonance is observed around the charge transfer band edge at approximately 350 THz. These findings highlight the importance of coupling to the electronic structure of the CuO$_2$ planes, mediated either by a phonon or by charge transfer.

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The equilibrium superconducting state of high-$T_C$ cuprates manifests itself in a number of characteristic features in the terahertz-frequency optical response. In Fig. 1, we report selected optical properties measured in YBa$_2$Cu$_3$O$_{6.5}$ above and below the superconducting transition temperature $T_C$.

As the temperature is lowered from 100 (black curve, $T \gg T_C \approx 52$ K) to 10 K (red curve, $T \ll T_C$), the real part of the c-axis optical conductivity, $\sigma_1(\omega)$, evolves from that of a semiconductor with thermally activated carriers to a gapped spectrum [see Fig. 1(b)]. Simultaneously, a zero-frequency $\delta$ peak emerges, indicative of dissipationless dc transport. This peak is not seen directly in $\sigma_1(\omega)$ but is reflected in an $1/\omega$ divergence in the imaginary conductivity, $\sigma_2(\omega)$ [Fig. 1(b)]. Correspondingly, a sharp edge in the optical reflectivity of the superconducting state develops at the Josephson plasma resonance (JPR) $\omega_{\text{JPR}} \approx 30$ cm$^{-1}$ [4–6] [Fig. 1(b)].

A number of recent pump-probe experiments have shown that these same optical signatures [red curves in Fig. 1(b)] can be recreated transiently in YBa$_2$Cu$_3$O$_{6.5+x}$ for base temperatures $T \gg T_C$ by optical excitation made resonant with the 20-THz lattice vibrations that modulate the position of the apical oxygen atoms along the c axis [1–3]. Measurements of the transient atomic structure with femtosecond x-ray diffraction revealed an average structural deformation in YBa$_2$Cu$_3$O$_{6.5}$ [7], associated with nonlinear lattice dynamics [8,9]. It was reasoned that such a transient structure may favor higher-temperature superconductivity [7].

However, the response of YBa$_2$Cu$_3$O$_{6+x}$ or that of any other material has never been systematically checked for excitation of different lattice modes, as no optical device existed that could generate high-intensity pulses with sufficient spectral selectivity and tunability throughout the terahertz spectrum.

Furthermore, recent work in single-layer cuprates of the type La$_{2-x}$Ba$_x$CuO$_4$ [10–14] has evidenced transient optical properties similar to those observed for mid-infrared driving in YBa$_2$Cu$_3$O$_{6+x}$, for excitation in the near-infrared and...
As already discussed in Refs. [1–3], the transient c-axis optical properties were interrogated between 15 and 80 cm⁻¹ by reflecting a second terahertz pulse generated by optical rectification in a nonlinear crystal. The electric field of these pulses, after reflection from the sample surface, was electro-optically sampled [Fig. 2(b), gray spectrum] for different pump-probe time delays (see Supplemental Material [20] for further details).

FIG. 1. Crystal structure and equilibrium terahertz optical properties of YBa₂Cu₃O₆.₅. (a) Crystallographic unit cell of orthorhombic YBa₂Cu₃O₆.₅. Bilayers of conducting CuO₂ planes, stacked along the c direction, form Josephson junctions in the superconducting state. (b) c-axis terahertz-frequency optical properties in the equilibrium superconducting (T < T_c, red curves) and normal (T > T_c, black curves) state. The real (σ₁(ω)) and imaginary (σ₂(ω)) parts of the optical conductivity are displayed along with the normal-incidence reflectivity R(ω) (the same data as those reported in Refs. [1–3]).

visible part of the spectrum, a phenomenon that has been assigned to melting of a competing charge order [15,16] (as already discussed for La₁.₆₇₅Euₐ.₃Sr₀.₆₂₅CuO₄ [17]).

Here, we report a comprehensive study of the response of YBa₂Cu₃O₆.₅ (the same compound investigated in Refs. [1–3,7]) to excitation at all frequencies throughout the terahertz electromagnetic spectrum (3–24 THz; 100–800 cm⁻¹), as well as in the near-infrared and visible range (up to 750 THz). To this end, we make use of a newly developed nonlinear optical device [18] based on difference frequency mixing of chirped near-infrared pulses [19] in organic crystals (see Supplemental Material [20]).

In a first set of experiments, we studied the response to excitation of all phonons in the far infrared (3–24 THz; 100–800 cm⁻¹). The relevant pump frequency range is displayed in Fig. 2(b), which reports the equilibrium broadband c-axis optical conductivity σ₁(ω) at T = 100 K [5,6].

Here, we show data taken under the same conditions as those reported in Refs. [1–3], to be used as a reference point for all the experiments that follow. The excitation pulses were centered at 19.2 THz (640 cm⁻¹), which correspond to apical oxygen distortions at the oxygen-deficient chains. These experiments were performed with the same broadband 4-THz-wide pulses used in Refs. [1–3] and then, for comparison, with the newly available 1-THz spectral bandwidth (narrow band). These pump pulses, polarized along the c axis of a YBa₂Cu₃O₆.₅ single crystal [20], were focused onto the sample at a fluence of approximately 8 mJ/cm². Note that in the narrow-band experiments the pulses were 4 times longer than in the broadband experiments (600 fs vs 150 fs). As these two measurements used the same pump fluence, the peak electric fields were of 3 and 6 MV/cm for narrow-band (long pulse) and broadband (short pulse) excitation, respectively.

The photoinduced dynamics at longer time delays (τ ≳ 1 ps) evidenced decoherence and increased dissipation, as observed in the real part of the optical conductivity, σ₁(ω) [Figs. 2(c) and 2(d), left]. On the left-hand side of each panel, we plot two frequency-integrated quantities as a function of the time delay: ωσ₁(ω)|ω→0, which in an equilibrium superconductor is proportional to the superfluid density, and ∫ Δσ₁(ω)dω, which is a reporter of dissipation and quasiparticle heating [21]. For both broadband and narrow-band excitation, it is evident that the dissipative part of the optical response [∫ Δσ₁(ω)dω, left
panels in Figs. 2(c) and 2(d)], increases only at later time delays compared to the superconducting component, reaching a maximum after the peak in \(\omega \sigma_2(\omega)|_{\omega=0}\) has relaxed [right in Figs. 2(c) and 2(d)].

Figure 3 reports a more comprehensive set of experiments, obtained by tuning the pump pulse frequency widely throughout the far-infrared spectrum. Four selected results are displayed, corresponding to resonant narrow-band excitation of four different phonon modes (see Supplemental Material [20] for additional datasets). The data reported in Fig. 2 \((\omega_{\text{pump}}=19.2 \text{ THz} = 640 \text{ cm}^{-1})\) are shown alongside the results for excitations at \(\omega_{\text{pump}}=16.4 \text{ THz} = 547 \text{ cm}^{-1}\), \(\omega_{\text{pump}}=10.1 \text{ THz} = 337 \text{ cm}^{-1}\), and \(\omega_{\text{pump}}=4.2 \text{ THz} = 140 \text{ cm}^{-1}\), all driven by maintaining constant 3 MV/cm peak electric field strength.

The atomic displacements of these vibrational modes are displayed in Fig. 3(a). The 4.2-THz mode involves motions of the barium atoms and of the apical oxygens, while the 10.1-THz mode is associated with a planar Cu-O buckling motion. The 16.4- and 19.2-THz modes, whose individual responses to photoexcitation could not be separated with the previously available broadband pump pulses [1–3], involve almost exclusively oscillations of the apical oxygen atoms on oxygen-rich and oxygen-deficient Cu-O chains, respectively.

The four pump-probe experiments reported in Figs. 3(b) and 3(c), where we display selected spectra taken at the peak of the coherent response (at time delay \(\tau \approx 0.5 \text{ ps}\)), show that driving at the two highest-frequency modes (16.4 and 19.2 THz) induces a superconducting-like response \([\sigma_2(\omega) \propto 1/\omega]\), for which the transient complex conductivity is fitted by a model describing the optical response of a Josephson plasma. On the other hand, excitation of the two low-frequency modes (4.2 and 10.1 THz) causes only a moderate increase in dissipation and no superconducting component. This observation could be well reproduced, for all time delays, by a Drude-Lorentz model for normal
conductors (see Supplemental Material [20] for details on the fitting procedure).

The same experiments as those reported in Fig. 3 were systematically repeated for 42 pump frequencies throughout the far-infrared spectrum (3–24 THz), for which we report in Fig. 4 the results of the analysis of the transient optical properties at the time delay corresponding to the peak of the coherent response.

In Fig. 4(a), we show the total, spectrally integrated probe signal, that is, the modulus of the complex optical conductivity. In Fig. 4(b), we display only the dissipative component of the signal \( \int |\Delta \sigma_1(\omega) d\omega \) and in Fig. 4(c) only the superconducting contribution \( |\omega \sigma_2(\omega)|_{\omega \rightarrow 0} \) (see Supplemental Material [20] for extended datasets).

For comparison, we have also included horizontal dashed lines indicating the thermally induced increase in \( \int |\sigma_1(\omega) d\omega \) when heating the sample from 100 to 200 K (black) and the equilibrium superfluid density \( |\omega \sigma_2(\omega)|_{\omega \rightarrow 0} \) measured at \( T = 10 \) K (red). All data were taken with a pump fluence of 8 mJ/cm² (corresponding to approximately 3 MV/cm peak electric field).

For excitation at 19.2 and 16.4 THz, the nonequilibrium state includes a dissipative \( |\Delta \sigma_1(\omega)|_{\omega \rightarrow 0} \) response (analogous to that observed upon heating) that coexists with a superconductinglike imaginary conductivity \( |\omega \sigma_2(\omega)|_{\omega \rightarrow 0} \) identical to that measured in the same material in the equilibrium superconducting state.
On the other hand, when the pump frequency is tuned below 15 THz (500 cm\(^{-1}\)), no superconductinglike component is observed, whereas the dissipative response is approximately the same as that observed for \(\omega_{\text{pump}} > 15\) THz.

The different nature of the dissipative and the superconductinglike signal is underscored by the data reported in Fig. 5. Here, we show the dependence of \(\int \Delta \sigma_1(\omega) d\omega\) and \(\omega \sigma_2(\omega)\big|_{\omega \to 0}\) on the base temperature \((T > T_C)\), for two different pump frequencies.

The dissipative term [Fig. 5(a)] is temperature independent and persists all the way up to 325 K, which suggests that its origin may be related to heating of quasiparticles. On the other hand, the superconductinglike response [Fig. 5(b)], which is observed only for high-frequency pumping (blue circles), displays a strong reduction with increasing temperature, almost disappearing for \(T > 300\) K. This result is consistent with the observation reported in Refs. [2,3].

Additional datasets taken in the superconducting state at \(T < T_C\) are reported in the Supplemental Material [20]. There, we show that the preexisting, equilibrium superfluid density can be transiently enhanced (in agreement with Refs. [1,2]) only by photoexcitation at 19.2 THz, provided that a sufficiently high pump fluence is employed. For weaker driving fields [22], or when the pump is detuned to lower frequencies, only a transient depletion of the superconducting condensate is observed.

In a second set of experiments, we studied the response of the material to excitation at higher frequencies, above the...
phonon resonances and up to the region where electronic bands are found.

In Figs. 6(a) and 6(b), we report similar measurements as those shown in Fig. 3 and display the transient complex conductivity at the peak of the light-induced response for three representative excitation frequencies: 29 THz ($\lambda_{\text{pump}} = 10.4\, \mu\text{m}$), 214 THz ($\lambda_{\text{pump}} = 1.4\, \mu\text{m}$), and 375 THz ($\lambda_{\text{pump}} = 800\, \text{nm}$).

Similar to what is reported in Fig. 4 for frequencies immediately above 19 THz, the response at 29 THz ($\lambda_{\text{pump}} = 10.4\, \mu\text{m}$) displays no superconductinglike component.

However, for excitation frequencies of 214 THz ($\lambda_{\text{pump}} = 1.4\, \mu\text{m}$) and 375 THz ($\lambda_{\text{pump}} = 800\, \text{nm}$), a transient $\sigma_2(\omega) \propto 1/\omega$ is observed again, resembling that induced by driving resonant with the apical oxygen phonons.

Note that, for these higher frequencies, the reconstruction procedure is less reliable than for the experiments reported in Figs. 2–5, as the penetration depth mismatch of pump and probe pulses becomes larger. Indeed, at all times, the raw response (optically induced change of the reflected terahertz probe electric field) is largest at the two phonon resonances displayed in Figs. 3 and 4 [20]. The error bars for high excitation frequencies [see Fig. 6(c)] reflect this uncertainty. Nevertheless, an error analysis indicates that the appearance of a divergent imaginary conductivity is robust.

A complete pump frequency dependence for the superconducting component $\omega\sigma_2(\omega)|_{\omega=0}$ is displayed in Fig. 6(c) (see Supplemental Material [20] for extended datasets). A negligible response of the imaginary conductivity is found for all driving frequencies between the apical oxygen phonon (approximately 21 THz) and 42 THz, whereas for higher pump frequencies a second resonance emerges, with a strength that follows the increase in pump absorption on the charge transfer resonance [visualized in this figure by the equilibrium optical conductivity $\sigma_1^{\text{eq}}(\omega_{\text{pump}})$].

Figure 6(d) displays the same data after normalization against the oscillator strength of the material at each pump frequency. The normalized quantity $\omega_{\text{probe}}\sigma_2(\omega_{\text{probe}})|_{\omega=0}/\sigma_1^{\text{eq}}(\omega_{\text{pump}})$ is the frequency-dependent “photosusceptibility” for the transient state and, therefore, to be taken as an alternative way of visualizing the efficiency of the effect.

In the aggregate, the body of work reported above prompts the following considerations. First, from the data in Figs. 3–5, it is clear that some form of mode-specific lattice excitation must underpin optically induced superconductivity in the terahertz frequency range. In previous studies [7,9], it was conjectured that the lowest-order nonlinear lattice anharmonicity, of the type $Q_{IR}^2Q_{R}$ (where $Q_{IR}$ and $Q_{R}$ are the normal coordinates of the directly driven infrared-active mode and any anharmonically coupled Raman mode, respectively [8]), may explain the observed phenomenology. Indeed, this lattice term leads to a transient, average structural deformation that may be beneficial to superconductivity [7].

Hence, in addition to the nonlinear phononic mechanism, which is validated by x-ray experiments and certainly present, other phenomena are likely to come into play. As documented in the Supplemental Material [20], when considering the calculated average lattice deformations induced by an anharmonic $Q_{IR}^2Q_{R}$ coupling for driving each of the IR phonons in Fig. 3, one does not find a defining feature for the two modes at 16.4 and 19.2 THz.

Note also that the two high-frequency vibrations drive large-amplitude motions of the apical oxygen atoms, which are then expected to couple directly to the in-plane electronic and magnetic structure. This coupling is supposed to be much weaker for the other modes at a lower frequency [23,24]. We also note that the frequency of the two apical oxygen phonons matches approximately the sum of the inter- and intrabilayer Josephson plasma frequencies in YBa$_2$Cu$_3$O$_y$ ($\omega_{\text{PR},1} \approx 1–2$ THz and $\omega_{\text{PR},2} \approx 14$ THz, respectively). Hence, a mechanism in which driven lattice excitations couple directly to the in-plane electronic structure may become resonantly enhanced at these frequencies [25–29].

The second resonance found at the charge transfer band appears to reinforce the notion that changes in the electronic properties of the planes are key in the observed phenomenon [30,31]. At the doping level studied here, charge-order melting is not expected to play an important role, as for YBa$_2$Cu$_3$O$_y$ [32] and La$_{1.875}$Ba$_{0.125}$CuO$_4$ [15]. At these frequencies, for the excitation polarized along the $c$ axis, we expect a rearrangement of the electronic structure with some qualitative analogy to the direct action of the apical oxygen modes. It is, therefore, possible that a similar mechanism to that responsible for the resonances at 19.2 and 16.4 THz is at play. We have noted that for the two apical oxygen modes parametric excitation of interlayer fluctuations would be resonant with the sum frequency of the intra- and interbilayer modes [29]. Here, a similar parametric coupling may be at play, without the frequency resonance and, hence, less efficient.

Clearly, further studies that make use of the new pump device available here are needed, with special attention to measurements of time-dependent lattice dynamics [7] and inelastic excitations [33–35]. More generally, the tunable, spectrally selective nonlinear pump source, applied for the first time in the present study, is expected to strongly impact the investigation of nonequilibrium phenomena in solids.

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