We present a consistent quantum theory of the electromagnetic field in nonlinearly responding causal media, with special emphasis on $\chi^{(2)}$ media. Starting from QED in linearly responding causal media, we develop a method to construct the nonlinear Hamiltonian expressed in terms of the complex nonlinear susceptibility in a quantum mechanically consistent way. In particular we show that the method yields the nonlinear noise polarization, which together with the linear one is responsible for intrinsic quantum decoherence.

Recent advances in quantum information technologies have been the main driving forces behind the desire to build parametric down-conversion sources of entangled photon pairs (in the low-intensity limit) or two-mode squeezed states (in the high-intensity limit) with high fidelity. It is known that single-photon states of non-unit efficiency as produced by heralded single-photon sources using parametric down-conversion, cannot be purified by using linear optical elements and photon detection to yield states with higher efficiency. That in turn means that post-processing of single-photon sources is impossible and the sources themselves have to be improved. In order to achieve the maximally possible purity of heralded single-photon states or correlated (entangled) twin-beam photons it is therefore necessary to investigate the theoretical limits nature imposes on us.

An important step in this direction is to provide a quantum theory of light that takes into account nonlinear processes such as parametric down-conversion, and at the same time decoherence mechanisms due to unavoidable absorption losses of the nonlinear material the light interacts with. The theory of quantized electromagnetic fields in linearly and causally responding materials (with the linear response function satisfying the Kramers–Kronig relations) is well established (see, e.g., Refs. [9, 10, 11]). It has been known for some time that analogous Kramers–Kronig relations also hold for nonlinear susceptibilities [12]. Hence, it will be interesting to see how these causal relations appear in a nonlinear quantum theory.

Previous work on electromagnetic field quantization in nonlinear materials has focused on strictly lossless materials where Lagrangian methods and mode decompositions apply. A first attempt to include in the field quantization both linear and nonlinear losses was made in Ref. [13] for Kerr media, by extending the linear harmonic-oscillator model used in the Huttner–Barnett quantization scheme to a nonlinear one. A consistent approach that includes—for given nonlinear susceptibility—absorption and dispersion has not yet been formulated within the frame of (macroscopic) QED.

In this article we will exemplify, on focusing on $\chi^{(2)}$ media, how to consistently quantize the electromagnetic field in the presence of nonlinearly responding causal materials. This theory provides the starting point for further investigations of theoretical limits to the performance of nonlinear optical elements as sources of nonclassical light. Starting from the nonlinear Hamiltonian expressed in terms of the canonically conjugated variables as used in QED in linear causal media, we first express the nonlinear polarization field in terms of these variables as well. This is compared with the classical nonlinear response which enables us to identify the nonlinear noise contributions.

We begin with recapitulating the quantization scheme for the electromagnetic field in the presence of a linearly (and locally) responding causal dielectric medium of permittivity $\varepsilon(r, \omega) = \varepsilon'(r, \omega) + i\varepsilon''(r, \omega)$. In this case the Hamiltonian is bilinear,

$$H_L = \int d^3r \int_0^\infty d\omega \omega \tilde{f}^\dagger(r, \omega) \cdot \mathbf{f}(r, \omega),$$

with the annihilation and creation operators $f_i(r, \omega)$ and $f_i^\dagger(r, \omega)$, respectively, playing the role of the canonically conjugated dynamical variables which are attributed to collective excitations of the electromagnetic field and the dispersing and absorbing dielectric matter and obeying the bosonic commutation rules $[f_i(r, \omega), f_j^\dagger(r', \omega')] = \delta_{ij} \delta(\omega - \omega') \delta(r - r')$. By expressing the electromagnetic field in terms of the dynamical variables, the electric field,
for example, reads
\[ E(\mathbf{r}) = \int_{0}^{\infty} d\omega \, E(\mathbf{r}, \omega) + \text{h.c.}, \quad (2) \]
\[ \mathbf{E}(\mathbf{r}, \omega) = i \sqrt{\frac{\hbar}{2 \pi \varepsilon_0 c^2}} \int d^3 s \sqrt{\varepsilon(s, \omega)} \mathbf{G}(\mathbf{r}, s, \omega) \cdot \mathbf{f}(s, \omega), \quad (3) \]
it can then be shown that Maxwell’s equations, in particular Faraday’s and Ampere’s laws, hold. In Eq. (3) the (dyadic) Green function \( \mathbf{G}(\mathbf{r}, s, \omega) \) is the unique fundamental solution of the inhomogeneous Helmholtz equation
\[ \nabla \times \nabla \times \mathbf{G}(\mathbf{r}, s, \omega) - \frac{\omega^2}{c^2} \varepsilon(\mathbf{r}, \omega) \mathbf{G}(\mathbf{r}, s, \omega) = \delta(\mathbf{r} - \mathbf{s}) \mathbf{I} \quad (4) \]
and contains all relevant information about the material properties and the geometry of the system.

Equation (2) together with Eq. (3) may be regarded as a generalization of the ordinary mode expansion, with the role of the mode operators being taken on, in a sense, by the \( f_i(\mathbf{r}, \omega, t) \) and \( f_j^\dagger(\mathbf{r}, \omega, t) \). In summary, (i) the Hamiltonian \( \mathcal{H} \) generates, using the representation according to Eqs. (2) and (3), the correct (macroscopic) Maxwell equations, (ii) the fundamental QED equal-time commutation relations are preserved, and (iii) the fluctuation-dissipation theorem takes its standard form. Moreover, the Hamiltonian \( \mathcal{H} \) represents an energy stored in the system composed of the electromagnetic field and absorbing matter.

To turn over to the nonlinear media, let us first fix some notation. From now on we will abbreviate spatial and frequency variables \((\mathbf{r}_k, \omega_k)\) by their label \( \mathbf{k} \), e.g., \( 1 \equiv (\mathbf{r}_1, \omega_1) \) and write \( \int d\mathbf{k} \equiv \int d^3 \mathbf{r}_k \int d\omega_k \). In the latter integrals, the spatial integration extends over all space. The frequency integral, which we initially will assume to range over all positive frequencies, will be restricted later on.

On recalling the physical meaning of the dynamical variables \( f_i(\mathbf{r}, \omega) \) and \( f_j^\dagger(\mathbf{r}, \omega) \), the most general normal-order form of the nonlinear interaction energy that corresponds to a \( \chi^{(2)} \) medium reads
\[ H_{NL} = \int d^3 \mathbf{r} d^3 \mathbf{r}_3 \alpha_{ij(k)}(1, 2, 3) f_i^\dagger(1) f_j^\dagger(2) f_k(3) + \text{h.c.}. \quad (5) \]
The unknown tensor function \( \alpha_{ij(k)}(1, 2, 3) \), which has to be symmetrized over its last two indices to avoid double-counting, has to be determined from constraints imposed by generally accepted relations.

We first note that Faraday’s law can be written as
\[ \nabla \times \mathbf{E}(\mathbf{r}) = -\partial_t \mathbf{B}(\mathbf{r}) = -\frac{1}{i \hbar} [\mathbf{B}(\mathbf{r}), H_L + H_{NL}] . \quad (6) \]
Both the electric and the magnetic induction fields are pure electromagnetic fields without being related to the material degrees of freedom and hence their equal-time commutation relations are as in vacuum QED. To be more specific, we may assume that the functional form of these fields in terms of the dynamical variables \( f_i(\mathbf{r}, \omega) \) and \( f_j^\dagger(\mathbf{r}, \omega) \) is (in close analogy to the case of ordinary mode expansion) the same as in the linear theory. From here it immediately follows that
\[ [\mathbf{B}(\mathbf{r}), H_{NL}] = 0. \quad (7) \]

Using Faraday’s law, we rewrite Ampere’s law as
\[ \nabla \times \nabla \times \mathbf{E}(\mathbf{r}) = -\mu_0 \partial_t \mathbf{D}(\mathbf{r}) - \mu_0 \partial_t \mathbf{P}_{NL}(\mathbf{r}). \quad (8) \]
where we have split up the dielectric displacement field \( \mathbf{D}(\mathbf{r}) \) into the linear part \( \mathbf{D}_L(\mathbf{r}) \) and the nonlinear polarization \( \mathbf{P}_{NL}(\mathbf{r}) \). Employing Heisenberg’s equation of motion, we may rewrite Eq. (5) as
\[ \nabla \times \nabla \times \mathbf{E}(\mathbf{r}) = \frac{\mu_0}{\hbar} \left\{ [\mathbf{D}_L(\mathbf{r}), H_L], H_L \right\} \]
\[ + \left[ [\mathbf{P}_{NL}(\mathbf{r}), H_L], H_L \right\} , \quad (9) \]
where we have kept, for consistency reasons, only terms that are in at most first order in the nonlinear coupling coefficient \( \alpha_{ij(k)}(1, 2, 3) \). The lhs of Eq. (9) is zero by the definition of the linear displacement field. Note that the time dependence is carried by the time-dependent dynamical variables \( f_i(\mathbf{r}, \omega, t) \) and \( f_j^\dagger(\mathbf{r}, \omega, t) \). The first term on the rhs of Eq. (9) vanishes by virtue of the constraint (7). To see this, one has to express the linear displacement and the magnetic induction fields in terms of the dynamical variables, leading to
\[ [\mathbf{D}_L(\mathbf{r}), H_L] = \left( \frac{i \hbar}{\mu_0} \right) \nabla \times \mathbf{B}(\mathbf{r}) \]
and application of Eq. (7) leads to the quoted result. Hence, we are left with a relation between double commutators of the linear displacement and nonlinear polarization fields with the linear and nonlinear parts of the Hamiltonian. \([\mathbf{D}_L(\mathbf{r}), H_{NL}], H_L = -[\mathbf{P}_{NL}(\mathbf{r}), H_L], H_L \). A particular solution is certainly
\[ [\mathbf{D}_L(\mathbf{r}), H_{NL}] = -[\mathbf{P}_{NL}(\mathbf{r}), H_L] . \quad (10) \]
The general solution would additionally include commutants with the linear Hamiltonian \( H_L \). These terms must be functionals of the number (density) operator \( \mathbf{f}^\dagger(\mathbf{r}, \omega) \cdot \mathbf{f}(\mathbf{r}, \omega) \). However, we can assume that linear functionals of this type are already included in the particular solution (10) as they lead to bilinear forms in the dynamical variables. On the other hand, quartic and higher-order terms have to be excluded to ensure that \( \mathbf{P}_{NL}(\mathbf{r}) \) stays bilinear which guarantees consistency within the approximations made.

The expression on the rhs of Eq. (10) is nothing but the Liouvillian \( \mathcal{L}_L \) generated by the linear Hamiltonian \( H_L \) acting on the nonlinear polarization field. Therefore, Eq. (10) can be solved for \( \mathbf{P}_{NL}(\mathbf{r}) \) to yield
\[ \mathbf{P}_{NL}(\mathbf{r}) = -\frac{1}{i \hbar} \mathcal{L}_L^{-1} [\mathbf{D}_L(\mathbf{r}), H_{NL}] . \quad (11) \]
At this point we recall that according to
\[ \mathbf{D}_L(r, \omega) = (\mu_0 \omega^2)^{-1} \nabla \times \nabla \times \mathbf{E}(r, \omega) = \varepsilon_0 \varepsilon(r, \omega) \mathbf{E}(r, \omega) + \mathbf{P}_L^{(N)}(r, \omega), \] (12)
the linear displacement field
\[ \mathbf{D}_L(r) = \int_0^\infty d\omega \mathbf{D}_L(r, \omega) + \text{h.c.} \] (13)
consists of a reactive part related to the electric field and a noise part
\[ \mathbf{P}_L^{(N)}(r) = \int_0^\infty d\omega \mathbf{P}_L^{(N)}(r, \omega) + \text{h.c.}. \] (14)
Inserting Eq. (13) together with Eq. (12) into Eq. (11), we see that the nonlinear polarization also decomposes into a reactive part, which can be related to the nonlinear response, and a noise part, which determines the nonlinear noise polarization
\[ \mathbf{P}_L^{(N)}(r) = -\frac{i}{\hbar} \mathcal{L}_L^{-1} \left[ \mathbf{P}_L^{(N)}(r), H_{NL} \right]. \] (15)
Because of the relation \( \mathbf{P}_L^{(N)}(r, \omega) = i \sqrt{\hbar \varepsilon_0 / \pi} \sqrt{\varepsilon''(r, \omega)} f(r, \omega), \) \( \mathbf{P}_L^{(N)}(r) \) vanishes if the imaginary part of the linear permittivity, \( \varepsilon''(r, \omega), \) and hence the noise associated with it tends to zero [20].

The inverse Liouvillean can be calculated using standard techniques, and we obtain from Eq. (11)
\[ \mathbf{P}_{NL}(r) = \frac{i}{\hbar} \lim_{t \to 0} \int_0^\infty dt e^{-st} e^{-iH_{NL}t} \left[ \mathbf{D}_L(r, H_{NL}) e^{iH_{NL}t} \right], \] (16)
where the real positive number \( s \) ensures convergence of the integral. In the next step we compute the commutator \( [\mathbf{D}_L(r), H_{NL}] \) and evaluate the integral in Eq. (16).

First, we evaluate the commutator between the dynamical variables and the nonlinear Hamiltonian \( H_{NL} \), leading to [here, \( 0 \equiv (s, \omega) \)]
\[ [f_m(0), H_{NL}] = \int d2d3 \alpha_{m(jk)}(0, 2, 3) f_j(2)f_k(3) \]
\[ + \int d2d3 \alpha^*_m(0, 1, 2) f_j(2)f_l(1). \] (17)
In what follows, we will concentrate on the contribution to the nonlinear displacement and polarization that comes from terms containing two annihilation operators such as \( f_j(2)f_k(3) \). We will label these contributions with the superscript \( \text{(+)} \) in analogy with the standard notation for positive-frequency parts. The inverse Liouvillian of the bilinear combination of annihilation operators is readily found to be \( \mathcal{L}^{-1} f_j(2)f_k(3) = i/\left(\omega_2 + \omega_3\right) f_j(2)f_k(3) \). Combined with Eq. (10), we finally obtain for the nonlinear polarization field
\[ P_N^{(N,+)}(r) = \frac{1}{i\hbar} \int d0d2d3 \frac{\sqrt{\varepsilon''(0)}}{\omega_2 + \omega_3} \alpha_{m(jk)}(0, 2, 3) \]
\[ \times \frac{\omega^2}{c^2} \varepsilon(r, \omega) G_{lm}(r, 0) f_j(2)f_k(3) + P_L^{(N,+)}(r), \] (18)
where the noise polarization reads
\[ P_{NL,l}(r, t) = \frac{1}{i\hbar} \int d\tau_1 d\tau_2 \chi_{lmn}^{(2)}(r, t - \tau_1, t - \tau_2) \]
\[ \times E_m(r, \tau_1) E_n(r, \tau_2) + P_{NL,l}(r, t). \] (19)

In order to make contact with standard notation, let us recall the definition of the nonlinear polarization within the framework of response theory:
\[ \chi_{lm}^{(2)}(\Omega, t) = \frac{1}{\hbar} \int _{-\infty}^t dt_1 dt_2 \chi_{lmn}^{(2)}(r, t - t_1, t - t_2) \]
\[ \times E_m(r, \tau_1) E_n(r, \tau_2), \] (20)
The first term on the rhs of Eq. (20) is the causal response well known from nonlinear optics [18], with \( \chi_{lmn}^{(2)}(r, t_1, t_2) \) being the response function of the \( \chi(2) \) medium. The term \( P_{NL,l}(r, t) \) is a (yet unknown) nonlinear noise polarization commonly disregarded in classical nonlinear optics. In most cases of interest it is sufficient to evaluate Eq. (20) in the slowly-varying amplitude approximation in the sense that
\[ E(r, t) = \sum_{\nu=1}^3 \tilde{E}_\nu(r, \Omega, t) e^{-i\Omega_\nu t} + \text{h.c.}, \] (21)
with the time scale on which the amplitude function \( \tilde{E}_\nu(r, \Omega, t) \) noticeably changes being long compared with \( \Omega_\nu^{-1} \) and the characteristic time of variation of \( \chi_{lmn}^{(2)}(r, t_1, t_2) \) with respect to both \( t_1 \) and \( t_2 \) (see, e.g., the treatment in Ref. [12]). Hence the slowly varying field amplitudes can be taken out of the integral at the upper integration limit \( t \), and we are left with the Fourier transform of \( \chi_{lmn}^{(2)}(r, t_1, t_2) \), \( \chi_{lmn}^{(2)}(r, \omega_1, \omega_2) \), which slowly varies with \( \omega_1 \) and \( \omega_2 \). In this way we derive
\[ \tilde{P}_{NL,l}(r, \Omega_23) = \frac{1}{\hbar} \int d0d2d3 \frac{\sqrt{\varepsilon''(0)}}{\omega_2 + \omega_3} \alpha_{m(jk)}(0, 2, 3) \]
\[ \times E_m(r, \Omega_2) E_n(r, \Omega_3) + \tilde{P}_{NL,l}^{(N)}(r, \Omega_23) \] (22)
[\( \Omega_23 = \Omega_2 + \Omega_3 \)], where the time argument \( t \) of the \( \text{`quantities has been omitted for notational convenience.} \)

The validity of the approximation leading from Eq. (20) to Eq. (22) may be regarded as being a prerequisite for substantiating the effective interaction Hamiltonian [5]. At the same time, it suggests further specification of the Hamiltonian as therein the introduction of
slowly varying variables is desirable. In view of Eqs. (2) and (3), we define, on assuming the Green tensor and the linear susceptibility are slowly varying with $\omega$, the slowly varying bosonic variables $\hat{f}(r, \Omega_\nu) = (\Delta \Omega_\nu)^{-1/2} \int_{\Delta \Omega_\nu} d\omega \tilde{f}(r, \omega, t)e^{i\Omega_\nu t} (\Delta \Omega_\nu$, relevant frequency interval around $\Omega_\nu$), and Eq. (5) reduces to

$$H_{NL} = \int d^3s d^3s_2 d^3s_3 \alpha_{i(jk)}(s_1, \Omega_{23}, s_2, \Omega_2, s_3, \Omega_3) \left[ \sqrt{\Delta \Omega_1 \Delta \Omega_2 \Delta \Omega_3} \hat{f}_i^\dagger(s_1, \Omega_{23}) \hat{f}_j(s_2, \Omega_2) \hat{f}_k(s_3, \Omega_3) + h.c. \right].$$

(23)

Introducing in Eqs. (18) and (22) the slowly varying variables $\tilde{f}(r, \Omega_\nu)$, from a comparison of the reactive parts of the nonlinear polarization as given by the two equations we derive the following integral equation for determining the nonlinear coupling coefficient $\alpha_{i(jk)}(s_1, \Omega_{23}, s_2, \Omega_2; s_3, \Omega_3)$ in terms of the nonlinear susceptibility $\chi^{(2)}_{lmn}(r, \Omega_2, \Omega_3)$:

$$\int d^3s \sqrt{\epsilon''(s, \Omega_{23})} \alpha_{m(jk)}(s, \Omega_{23}, s_2, \Omega_2; s_3, \Omega_3) \times G_{lm}(r, s, \Omega_2) = \frac{\hbar^2}{4\pi\epsilon c^2} \sqrt{\frac{\pi}{h\epsilon_0}} \frac{\Omega_2^2}{\Omega_{23}}$$

$$\times \sqrt{\epsilon''(s_2, \Omega_2)} \sqrt{\chi^{(2)}_{lmn}(r, \Omega_2, \Omega_3)} \times G_{mj}(r, s_2, \Omega_2) G_{nk}(r, s_3, \Omega_3).$$

(24)

This equation is of Fredholm type and can be solved by inverting the integral kernel on the l.h.s of Eq. (24). Note that the inverse of the Green tensor is just the Helmholtz operator $H_{ij}(r, \omega) = \delta_{ij} \Delta^2 - \delta_{ij} \Delta^4 - (\omega^2/\epsilon^2)\epsilon(r, \omega)\delta_{ij}$; $H_{ij}(r, \omega)G_{jk}(r, s, \omega)$ is the matrix $\delta_{ik}(r - s)$. Hence, from Eq. (24) it follows that

$$\alpha_{i(jk)}(r, \Omega_{23}, s_2, \Omega_2; s_3, \Omega_3) = \frac{\hbar^2}{4\pi\epsilon c^2} \sqrt{\frac{\pi}{h\epsilon_0}} \frac{\Omega_2^2}{\Omega_{23}}$$

$$\times \sqrt{\epsilon''(s_2, \Omega_2)} \sqrt{\chi^{(2)}_{lmn}(r, \Omega_2, \Omega_3)} \times G_{mj}(r, s_2, \Omega_2) G_{nk}(r, s_3, \Omega_3).$$

(25)

Re-inserting Eq. (25) into Eq. (19) eventually yields, on recalling Eqs. (21), (3), and the definition of the slowly varying variables $\tilde{f}(r, \Omega_\nu)$, the following expression for the nonlinear noise polarization:

$$\tilde{F}_{NL,i}^{(N,+)}(r, \Omega_{23}) = \frac{\epsilon_0 c^2}{\Omega_{23}^2 \epsilon(r, \Omega_{23})}$$

$$\times H_{i
}(r, \Omega_{23}) \left[ \chi^{(2)}_{lmn}(r, \Omega_2, \Omega_3) \tilde{E}_m(r, \Omega_2) \tilde{E}_n(r, \Omega_3) \right].$$

(26)

To our knowledge, this is the first time a nonlinear noise polarization has been derived in the frame of quantum nonlinear optics. Note that the Helmholtz operator acting on the electric field returns the linear noise polarization, $H_{ij}(r, \omega)E_j(r, \omega) = \omega^2/(\epsilon_0 c^2)E_{NL,i}^{(N)}(r, \omega)$ [cf. Eq. (12)]. Among other terms, Eq. (26) contains products of the electric field and the linear noise polarization.

In summary, we have presented a consistent quantum theory of the electromagnetic field in the presence of quadratically responding dielectric materials. It takes care of the causal nature of the dielectric response which implies the existence of a nonlinear noise polarization. The nonlinear (effective) interaction Hamiltonian (or equivalently, Eq. (5) in the slowly-varying amplitude approximation), together with the nonlinear coupling coefficient from Eq. (25) allows one to study nonlinear quantum optical processes such as parametric down-conversion in the presence of realistic dielectric materials. The main advantage of our approach is that it automatically takes absorption—via the complex permittivity—and geometric boundaries—via the dyadic Green function—into account. The procedure to generalize the theory presented above is by no means restricted to quadratic responses. In fact, one can construct a hierarchy of Hamiltonians with increasing number of the dynamical variables $\tilde{f}(r, \omega)$ and $\tilde{f}'(r, \omega)$ corresponding to higher-order nonlinear responses. The construction ensures that the equal-time commutation relations between the relevant field operators are preserved. We believe this theory represents an important step towards further studies with the aim to understand the ultimate limits on the performance of quantum optical processes.

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