H/A Higgs Mixing
in CP-Noninvariant Supersymmetric Theories

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Abstract

For large masses, the two heavy neutral Higgs bosons are nearly degenerate in many 2–Higgs doublet models, and particularly in supersymmetric models. In such a scenario the mixing between the states can be very large if the theory is CP-noninvariant. We analyze the formalism describing this configuration, and we point to some interesting experimental consequences.
1 Introduction

At least two iso-doublet scalar fields must be introduced in supersymmetric theories to achieve a consistent formulation of the Higgs sector. Supersymmetric theories are specific realizations of general scenarios which include two doublets in the Higgs sector. After three fields are absorbed to generate the masses of the electroweak gauge bosons, five fields are left that give rise to physical particles. In CP-invariant theories, besides the charged states, two of the three neutral states are CP-even, while the third is CP-odd. In CP-noninvariant theories the three neutral states however mix to form a triplet with even and odd components in the wave–functions under CP transformations [1]-[4]. As expected from general quantum mechanical rules, the mixing can become very large if the states are nearly mass-degenerate. This situation is naturally realized in supersymmetric theories in the decoupling limit in which two of the neutral states are heavy.

In this note we analyze $H/A$ mixing in a simple quantum mechanical formalism that reveals the underlying structures in a clear and transparent way. $H$ and $A$ represent two heavy nearly mass–degenerate fields. After the discussion of the general CP–noninvariant 2–Higgs doublet model, we adopt the Minimal Supersymmetric Standard Model, though extended to a CP-noninvariant version [MSSM–CP], as a well–motivated example for the analysis.

2 Complex Mass Matrix

The most general form of the self-interaction of two Higgs doublets in a CP–noninvariant theory is described by the potential [5]

$$
\mathcal{V} = m_{11}^2 \Phi_1^\dagger \Phi_1 + m_{22}^2 \Phi_2^\dagger \Phi_2 - [m_{12}^2 \Phi_1^\dagger \Phi_2 + h.c.]
+ \frac{1}{2} \lambda_1 (\Phi_1^\dagger \Phi_1)^2 + \frac{1}{2} \lambda_2 (\Phi_2^\dagger \Phi_2)^2 + \lambda_3 (\Phi_1^\dagger \Phi_1)(\Phi_2^\dagger \Phi_2) + \lambda_4 (\Phi_1^\dagger \Phi_2)(\Phi_2^\dagger \Phi_1)
+ \left\{ \frac{1}{2} \lambda_5 (\Phi_1^\dagger \Phi_2)^2 + [\lambda_6 (\Phi_1^\dagger \Phi_1) + \lambda_7 (\Phi_2^\dagger \Phi_2)] \Phi_1^\dagger \Phi_2 + h.c. \right\}
$$

(1)

where $\Phi_{1,2}$ denote two complex $Y = 1$, SU(2)$_L$ iso-doublet scalar fields. The coefficients are in general all non–zero. The parameters $m_{12}^2, \lambda_{5,6,7}$ can be complex, incorporating the CP-noninvariant elements in the interactions:

$$
m_{12}^2 = m_{12}^{2R} + i m_{12}^{2I}, \quad \lambda_{5,6,7} = \lambda_{5,6,7}^{R} + i \lambda_{5,6,7}^{I}
$$

(2)

Assuming the scalar fields to develop non-zero vacuum expectation values to break the electroweak symmetries but leaving $U(1)_{EM}$ invariant, the vacuum fields can be defined as

$$
\langle \Phi_1 \rangle = \frac{v_1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \langle \Phi_2 \rangle = \frac{v_2}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}
$$

(3)
Without loss of generality, the two vacuum expectation values \( v_i \) \([i = 1, 2]\) can be chosen real and positive after an appropriate global \( U(1) \) phase rotation; the parameters of the (effective) potential Eq.(11) are defined after this rotation. As usual, \( v = \sqrt{v_1^2 + v_2^2} = 1/\sqrt{2G_F} \) and \( \tan \beta = v_2/v_1 \) (4)

with \( v \approx 246 \) GeV. Abbreviations \( t_{\beta} = \tan \beta, \ c_{\beta} = \cos \beta, \ s_{2\beta} = \sin 2\beta \) etc, will be used from now on.

The conditions for minimizing the potential (11) relate the parameters \( m_{ii}^2 \) to the real part of \( m_{12}^2, \lambda_k, v \) and \( t_{\beta} \):

\[
m_{11}^2 = m_{12}^2 R_{\beta} - \frac{1}{2} v^2 [\lambda_1 c_{\beta}^2 + \lambda_{345} s_{\beta}^2 + 3\lambda_6^R s_{\beta} c_{\beta} + \lambda_7^R s_{\beta}^2 t_{\beta}]
\]

\[
m_{22}^2 = m_{12}^2 R_{\beta}^{-1} - \frac{1}{2} v^2 [\lambda_1 s_{\beta}^2 + \lambda_{345} c_{\beta}^2 + \lambda_6^R c_{\beta}^2 t_{\beta}^{-1} + 3\lambda_7^R s_{\beta} c_{\beta}]
\]

(5)

with the abbreviation \( \lambda_{345} = \lambda_3 + \lambda_4 + \lambda_5^R \), and the imaginary part of \( m_{12}^2 \) to the imaginary parts of the \( \lambda_{5,6,7} \) parameters:

\[
m_{12}^{2I} = \frac{1}{2} v^2 [\lambda_5^I c_{\beta} s_{\beta} + \lambda_6^I c_{\beta}^2 + \lambda_7^I s_{\beta}^2]
\]

(6)

It will prove convenient later to exchange the real part of \( m_{12}^2 \) for the auxiliary parameter \( M_A^2 \), or in units of \( v \), \( m_A^2 = M_A^2/v^2 \), defined by the relation

\[
m_{12}^{2R} = \frac{1}{2} v^2 [m_A^2 s_{\beta}^2 + \lambda_5^R s_{\beta} c_{\beta} + \lambda_6^R c_{\beta}^2 + \lambda_7^R s_{\beta}^2]
\]

(7)

This parameter will turn out to be one of the key parameters in the system.

In a first step the \( \Phi_{1,2} \) system is rotated to the Higgs basis \( \Phi_{a,b} \).

\[
\Phi_a = \cos \beta \Phi_1 + \sin \beta \Phi_2 \\
\Phi_b = -\sin \beta \Phi_1 + \cos \beta \Phi_2
\]

(8)

which is built up by the two iso-spinors:

\[
\Phi_a = \left( \frac{G^+}{\sqrt{2}} (v + H_a + iG^0) \right), \quad \Phi_b = \left( \frac{H^+}{\sqrt{2}} (H_b + iA) \right)
\]

(9)

The three fields \( G^{\pm,0} \) can be identified as the would-be Goldstone bosons, while \( H^{\pm}, H_{a,b} \) and \( A \) give rise to physical Higgs bosons. The charged Higgs mass \( M_{H^{\pm}} \) and the real mass matrix \( M_{hR}^2 \) of neutral Higgs fields in the basis of \( H_a, H_b, A \) can easily be derived from the potential after the rotations

\[
M_{H^{\pm}}^2 = M_A^2 + \frac{1}{2} v^2 \lambda_F
\]

(10)
and

\[ \mathcal{M}_{60R}^2 = v^2 \begin{pmatrix} \lambda & -\hat{\lambda} & -\hat{\lambda}_p \\ \lambda - \lambda_A + m_A^2 & -\lambda_p \\ m_A^2 & 0 \end{pmatrix} \]  

(11)
to be abbreviated for easier reading and complemented symmetrically. The notation for
the real parts of the couplings,

\[ \lambda = \lambda_1 c_\beta + \lambda_2 s_\beta + \frac{1}{2} \lambda_{345} s_{2\beta} + 2 s_{2\beta} (\lambda_6^R c_\beta^2 + \lambda_7^R s_\beta^2) \]
\[ \hat{\lambda} = \frac{1}{2} s_{2\beta} \lambda_1 c_\beta^2 - \lambda_2 s_\beta^2 - \lambda_{345} c_\beta s_\beta \]
\[ \lambda_A = c_{2\beta} (\lambda_1 c_\beta^2 - \lambda_2 s_\beta^2) + \lambda_{345} s_{2\beta} - \lambda_5^R + 2 \lambda_6^R c_\beta s_\beta - 2 \lambda_7^R s_\beta c_\beta \]
\[ \lambda_F = \lambda_5^R - \lambda_4 \]  

(12)

essentially follows Ref. [5], and

\[ \lambda_p = \frac{1}{2} \lambda_6^l c_{2\beta} - \frac{1}{2} (\lambda_6^l - \lambda_7^l) s_{2\beta} \]
\[ \hat{\lambda}_p = \frac{1}{2} \lambda_5^l s_{2\beta} + \lambda_6^l c_\beta^2 + \lambda_7^l s_\beta^2 [\approx 2 m_{12}^2 / v^2] \]  

(13)

are introduced for the imaginary parts of the couplings [6].

In a CP–invariant theory all couplings are real and the off-diagonal elements \( \lambda_p, \hat{\lambda}_p \) vanish. In this case the neutral mass matrix separates into the standard CP-even \( 2 \times 2 \) part and the standard [stand–alone] CP-odd part.* The parameter \( M_A \) is then identified as the mass of the CP-odd Higgs boson \( A \). The \( 2 \times 2 \) submatrix of the \( H_a \) and \( H_b \) system can be diagonalized, leading to the two CP-even neutral mass eigenstates \( h, H \); in terms of \( H_a, H_b \):

\[ H = \cos \gamma H_a - \sin \gamma H_b \]
\[ h = \sin \gamma H_a + \cos \gamma H_b \]  

(14)

with \( \gamma = \beta - \alpha \); the angle \( \alpha \) is the conventional CP–even neutral Higgs boson mixing angle in the \( [\Phi_1, \Phi_2] \) basis of the CP–invariant 2HDM. The diagonalization of the mass matrix leads to the relation:

\[ \tan 2\gamma = \frac{2\hat{\lambda}}{\lambda_A - m_A^2} \]  

(15)

with \( \gamma \in [0, \pi] \).

However, also in the general CP–noninvariant case, the fields \( h_a = h, H, A \) serve as a useful basis, giving rise to the general final form of the real part of the neutral mass

\[ * \text{The Goldstone bosons } G^\pm,0 \text{ (carrying zero mass) decouple from the physical states.} \]
matrix $\mathcal{M}_R^2$:

$$
\mathcal{M}_R^2 = v^2 \begin{pmatrix}
\lambda + (m_A^2 - \lambda_A) c_\gamma^2 c_\gamma^{-1} & 0 & -\lambda_p c_\gamma - \hat{\lambda}_p s_\gamma \\
0 & \lambda - (m_A^2 - \lambda_A) s_\gamma^2 c_\gamma^{-1} & \lambda_p s_\gamma - \hat{\lambda}_p c_\gamma \\
-\lambda_p c_\gamma - \hat{\lambda}_p s_\gamma & \lambda_p s_\gamma - \hat{\lambda}_p c_\gamma & m_A^2
\end{pmatrix}
$$

(16)

which is hermitian and symmetric by CPT invariance.

This hermitian part of the mass matrix is supplemented by the anti-hermitian part $-i\mathcal{M}_\Gamma$ incorporating the decay matrix. This matrix includes the widths of the states $h_a = h, H, A$ in the diagonal elements as well as the transition elements within any combination of pairs. All these elements $(\mathcal{M}_\Gamma)_{AB}^{AB}$ are built up by loops of the fields $(AB)$ in the self-energy matrix $\langle h_a h_b \rangle$ of the Higgs fields.

In general, the light Higgs boson, the fermions and electroweak gauge bosons, and in supersymmetric theories, gauginos, higgsinos and scalar states may contribute to the loops in the propagator matrix. In the decoupling limit explored later, the couplings of the heavy Higgs bosons to gauge bosons and their supersymmetric partners are suppressed. Assuming them to decouple, being significantly heavier, for example, than the Higgs states, we will consider only loops built up by the light Higgs boson and the top quark as characteristic examples; loops from other (s)particles could be treated in the same way of course.

(i) Light scalar Higgs $h$ states:

While the $Hhh$ coupling is CP conserving, the $Ahh$ coupling is CP-violating. Expressed in terms of the $\lambda$ parameters in the potential they are given as

$$
g_{Hhh}/v = -3 \left( c_\beta c_\alpha s_\alpha^2 \lambda_1 + s_\beta s_\alpha c_\alpha^2 \lambda_2 \right) - \lambda_{345} \left[ c_\beta c_\alpha (3c_\alpha^2 - 2) + s_\beta s_\alpha (3s_\alpha^2 - 2) \right]
- 3\lambda_6^R \left[ s_\beta c_\alpha s_\alpha^2 + c_\beta s_\alpha (3s_\alpha^2 - 2) \right] n - 3\lambda_7^R \left[ c_\beta s_\alpha c_\alpha^2 + s_\beta c_\alpha (3c_\alpha^2 - 2) \right]
$$

$$
g_{Ahh}/v = \lambda_7^I \left( s_\beta c_\beta - 2 s_\alpha c_\alpha \right)
+ \lambda_6^I \left[ (1 + 2c_\beta^2) s_\alpha^2 - s_2 s_\alpha c_\alpha \right]
+ \lambda_7^I \left[ (1 + 2s_\beta^2) c_\alpha^2 - s_2 s_\alpha c_\alpha \right]
$$

(17)

The trigonometric functions $s_\alpha$ and $c_\alpha$ can be re-expressed by the sine and cosine of $\beta$ and $\gamma$ after inserting the difference $\alpha = \beta - \gamma$.

The imaginary part of the light Higgs loop is given for CP-conserving and CP-violating transitions by

$$
(M\Gamma)_{HH/AA}^{hh} = \frac{\beta_h}{32\pi} g_{Hhh/Ahh}^2
$$

$$
(M\Gamma)_{HA/AH}^{hh} = \frac{\beta_h}{32\pi} g_{Hhh} g_{Ahh}
$$

(18)

where $\beta_h$ denotes the velocity of the light Higgs boson $h$ in the decays $H/A \rightarrow hh$ [with the heavy Higgs bosons assumed to be mass-degenerate].
(ii) Top–quark states:
The $Htt$ and $Att$ couplings are defined by the Lagrangian
\[ \mathcal{L}_t = H \bar{t} [s_H + i \gamma_5 p_H] t + A \bar{t} [s_A + i \gamma_5 p_A] t \]
which includes the CP–conserving couplings $s_H, p_A$ and the CP–violating couplings $p_H, s_A$.
For the top quark loop we find
\[ (M \Gamma)_{HH/AA}^{tt} = \frac{3 M^2_{H/A}}{8 \pi \beta_t} g_{HH}^{tt} \]
\[ (M \Gamma)_{HA/AH}^{tt} = \frac{3 M^2_{H/A}}{8 \pi \beta_t} g_{HA}^{tt} \]
in the same notation as before. The transitions include incoherent and coherent mixtures
of scalar and pseudoscalar couplings,
\[ g_{HH}^{tt} = \beta_t s_H^2 + p_H^2 \]
\[ g_{AA}^{tt} = \beta_t s_A^2 + p_A^2 \]
\[ g_{HA}^{tt} = g_{AH}^{tt} = \beta_t s_H s_A + p_H p_A \]
where $\beta_t$ denotes the velocity of the top quarks in the Higgs rest frame.

These loops also contribute to the real part of the mass matrix. They either renormalize the $\lambda$ parameters of the Higgs potential or they generate such parameters if not present yet at the tree level. In the first case they do not modify the generic form of the mass matrix, and the set of renormalized $\lambda$'s are interpreted as free parameters to be determined experimentally. The same procedure also applies to supersymmetric theories in which some of the $\lambda$'s are generated radiatively by stop loops, introducing CP–violations into the Higgs sector through bi– and trilinear–interactions in the superpotential, a case discussed later in detail.

Including these elements, the final complex mass matrix can be written in the Weisskopf-Wigner form
\[ M^2 = \mathcal{M}^2_R - i M \Gamma \]
which will be diagonalized in the next section.

**Decoupling limit.** The decoupling limit is defined by the inequality
\[ M_A^2 \gg |\lambda_i| v^2 \]
with $|\lambda_i| \lesssim O(1)$ or $O(g^2, g'^2)$, $g^2$ and $g'^2$ denoting the electroweak gauge couplings. The limit is realized in many supersymmetric models, particularly in SUGRA models with
$M_A^2 \gg v^2$. It is well known that in the decoupling limit the heavy states $H$ and $A$, as well as $H^\pm$, are nearly mass degenerate. This feature is crucial for large mixing effects between the CP–odd and CP–even Higgs bosons, $A$ and $H$, analyzed in this report.

As the trigonometric sin/cos functions of $\gamma = \beta - \alpha$ approach the following values in the decoupling limit:

$$c_\gamma \simeq \hat{\lambda}/m_A^2 \to 0, \quad s_\gamma \to 1$$

up to second order in $1/m_A^2$, the real part of the complex mass matrix acquires the simple form

$$M_R^2 \simeq v^2 \begin{pmatrix} \lambda & 0 & -\hat{\lambda}_p \\ 0 & m_A^2 + \lambda - \lambda_A & \lambda_p \\ -\hat{\lambda}_p & \lambda_p & m_A^2 \end{pmatrix}$$

in the leading order $\sim m_A^2$ and next–to–leading order $\sim 1$. The $Hhh$ and $Ahh$ couplings are simplified in the decoupling limit and they can be written in the condensed form:

$$g_{HHH}/v \to -\frac{3}{2} s_{2\beta} \left(c^2_{\beta} \lambda_1 - s^2_{\beta} \lambda_2 - c_{2\beta} \lambda_{345}\right) + 3 \left(c_{\beta} c_{3\beta} \lambda_R^6 + s_{\beta} s_{3\beta} \lambda_R^7\right) \to -3 \lambda^R_7$$

$$g_{AHH}/v \to \frac{3}{2} s_{2\beta} \lambda_5^I + 3 \left(c^2_{\beta} \lambda_6^I + s^2_{\beta} \lambda_7^I\right) \to +3 \lambda^I_7$$

In this limit we can set $c_\alpha = s_\beta$ and $s_\alpha = -c_\beta$. The couplings simplify further for moderately large $\tan \beta$ and they are determined in this range by the coefficient $\lambda_7$ alone as demonstrated by the second column.

### 3 Physical Masses and States

Following the steps in the appendix of Ref. [8], it is easy to prove mathematically, that mixing between the light Higgs state and the heavy Higgs states is small, but large between the two nearly mass–degenerate states. Mathematically the mixing effects are of the order of the off–diagonal elements in the mass matrix normalized to the difference of the (complex) mass–squared eigenvalues. On quite general grounds, this is a straightforward consequence of the uncertainty principle. We can therefore restrict ourselves to the discussion of the mass degenerate $2 \times 2$ system of the heavy Higgs bosons $H, A$, allowing us to reduce the calculational effort to a minimum.

If the mass differences become small, the mixing of the states is strongly affected by the widths of the states and the complex Weisskopf–Wigner mass matrix $M^2 = M_R^2 - iM\Gamma$ must be considered in total, not only the real part. This is well known in the literature.
from resonance mixing [9] and has recently also been recognized for the Higgs sector [10].

Since, by CPT invariance, the complex mass matrix $M^2$ is symmetric, adopting the notation in Ref. [9] for the $H/A$ submatrix, separated in the lower right corner of Eq. (25),

$$M^2_{HA} = \begin{pmatrix} M^2_H - iM_H\Gamma_H & \Delta^2_{HA} \\ \Delta^2_{HA} & M^2_A - iM_A\Gamma_A \end{pmatrix}$$  \hspace{1cm} (27)

the matrix can be diagonalized,

$$M^2_{H,H_j} = \begin{pmatrix} M^2_{H}-iM_{H}\Gamma_H & 0 \\ 0 & M^2_{A}-iM_{A}\Gamma_A \end{pmatrix}$$  \hspace{1cm} (28)

through a rotation by a complex mixing angle:

$$M^2_{H,H_j} = C M^2_{HA} C^{-1}, \hspace{0.5cm} C = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$  \hspace{1cm} (29)

with

$$X = \frac{1}{2} \tan 2\theta = \frac{\Delta^2_{HA}}{M^2_H - M^2_A - i[M_H\Gamma_H - M_A\Gamma_A]}$$  \hspace{1cm} (30)

A non-vanishing (complex) mixing parameter $\Delta^2_{HA} \neq 0$ requires CP–violating transitions between $H$ and $A$ either in the real mass matrix, $\lambda_p \neq 0$, or in the decay mass matrix, $\Gamma_{HA} \neq 0$, [or both]. When, in the decoupling limit, the masses $M_H$ and $M_A$ are nearly degenerate, the widths may be significantly different. Though nearly equal for decays to top pairs, only the $H$ channel may be open for decays to light Higgs boson pairs. As a result, the mixing phenomena are strongly affected by the form of the decay matrix $M\Gamma$.

This applies to the modulus as well as the phase of the mixing parameter $X = \frac{1}{2} \tan 2\theta$.

The mixing shifts the Higgs masses and widths in a characteristic pattern [9]. The two complex mass values after and before diagonalization are related by the complex mixing angle $\theta$:

$$[M^2_{H_3} - iM_{H_3}\Gamma_{H_3}] \mp [M^2_{H_2} - iM_{H_2}\Gamma_{H_2}] = \left\{ [M^2_A - iM_A\Gamma_A] \mp [M^2_H - iM_H\Gamma_H] \right\} \times \sqrt{1 + 4X^2}$$  \hspace{1cm} (31)

As expected from rotation transformations, which leave the matrix spur invariant, the complex eigenvalues split in exactly opposite directions when the mixing is switched on.$^\dagger$

The individual shifts of masses and widths can easily be obtained by separating real and imaginary parts in the relations:

$$[M^2_{H_2} - iM_{H_2}\Gamma_{H_2}] - [M^2_H - iM_H\Gamma_H] = - \left\{ [M^2_{H_3} - iM_{H_3}\Gamma_{H_3}] - [M^2_A - iM_A\Gamma_A] \right\}$$

$$= - \left\{ [M^2_A - iM_A\Gamma_A] - [M^2_H - iM_H\Gamma_H] \right\} \times \frac{1}{2} \sqrt{1 + 4X^2} - 1$$  \hspace{1cm} (32)

$^\dagger$At the very end of the analysis one may order the Higgs states according to ascending masses in CP–noninvariant theories. However, at intermediate steps the notation used here proves more transparent.
If the mixing parameter is small and real, the gap between the states increases with the size of the mixing.

The eigenstates of the complex, non-hermitian matrix $M^2_{HA}$ of Eq. (27) are no longer orthogonal, but instead:

$$
|H_2\rangle = \cos \theta |H\rangle + \sin \theta |A\rangle, \quad \langle \tilde{H}_2 | = \cos \theta \langle H | + \sin \theta \langle A | \\
|H_3\rangle = -\sin \theta |H\rangle + \cos \theta |A\rangle, \quad \langle \tilde{H}_3 | = -\sin \theta \langle H | + \cos \theta \langle A | 
$$

(33)

Correspondingly, the final state $F$ in heavy Higgs formation from the initial state $I$ is generated with the transition amplitude\footnote{Small off-resonant transitions of heavy Higgs bosons $H$ and $A$ to the light one $h$ in the decoupling limit (and to the neutral would-be Goldstone $C^0$) can be neglected to a good approximation.}

$$
\langle F | H | I \rangle = \sum_{i=2,3} \langle F | H_i \rangle \frac{1}{s - M^2_{H_i} + iM_{H_i}\Gamma_{H_i}} \langle \tilde{H}_i | I \rangle 
$$

(34)

We illustrate the mixing mechanism in a simple toy model in which $M_A = 0.5$ TeV, $\tan \beta = 5$ and all $|\lambda_i| = 0.4$ \textit{i.e.} roughly equal to the weak SU(2) gauge coupling squared, while a common phase $\phi$ of all the complex parameters $\lambda_{5,6,7}$ is varied from 0 through $\pi$ to $2\pi$.\footnote{With one common phase $\phi$, the complex mixing parameter $X$ obeys the relation $X(2\pi - \phi) = X^* (\phi)$, \textit{i.e.} $\Re e/3mX \to + \Re e/3mX$. As a result, all CP–even quantities are symmetric and all CP–odd quantities anti–symmetric about $\pi$, \textit{i.e.} when switching from $\phi$ to $2\pi - \phi$. Therefore we can restrict the discussion to the range $0 \leq \phi \leq \pi$.}

For these parameters, the Argand diagram of the mixing parameter $X$ is presented in Fig. (1a) in which the common CP–violating phase $\phi$ evolves from 0 to $\pi$ [for $\phi > \pi$ the reflection symmetry $\Re e/3mX \to + \Re e/3mX$ at $\phi = \pi$ may be used]; Fig. (1b) zooms in on the area of small angles. Alternatively, the real and imaginary parts of $X$ are shown explicitly in Fig. (1c) as functions of the common CP–violating phase $\phi$.

The difference of the squared masses $M^2_{H} - M^2_{A}$ and the real part of the mass mixing parameter $\Delta^2_{HA}$ are approximately given by

$$
M^2_{H} - M^2_{A} = (\lambda - \lambda_A) v^2 \approx \lambda v^2 \cos \phi \\
\Re e(\Delta^2_{HA}) = \lambda v^2 \approx -\frac{1}{2} \lambda v^2 \sin \phi
$$

(35)

and the imaginary parts by

$$
32\pi [M_H \Gamma_H - M_A \Gamma_A] \approx \Delta_I + 9\lambda v^2 \cos 2\phi \\
32\pi \Im m(\Delta^2_{HA}) = 32\pi M_{H/A} \Gamma_{H/A} \approx -\frac{9}{2} \lambda v^2 \sin 2\phi
$$

(36)
Figure 1: (a,b) The Argand diagram and (c) the $\phi$ dependence of the mixing parameter $X$ in a toy model with the common CP–violating phase $\phi$ evolving from 0 to $\pi$ for $\tan \beta = 5$, $M_A = 0.5$ TeV and with all $|\lambda_i| = 0.4$; the upper right–hand side zooms in on small angles. Note that $\Re X/\Im X(2\pi - \phi) = +\Re X/\Im X(\phi)$.

for the parameters specified above. Since the complex couplings are parameterized by a phase, $\cos \phi$ enters in the real part of the couplings and thus affects the diagonal elements of the mass matrix. The difference of the imaginary parts of the diagonal elements is determined by the widths of the $H/A$ decays to top–quark pairs, $\Delta_t = -12M^2_{H/A}(m_t/v)^2(1 - \beta^2_t)\beta_t$, modulated by sinusoidal variations from decays to $hh$. The modulus of the real part of $X$ rises more rapidly than the imaginary part; $|X|$ reaches unity for a phase $\sim \pi/3$, and the maximum value of about 10 a little below $\phi = \pi/2$ where $H$ and $A$ masses become equal. The Argand diagram is described by a circle to a high degree of accuracy; the center is located on the positive imaginary axis, and the radius
of the circle is given by $\sim \lambda v^2/4|M_H \Gamma_H - M_A \Gamma_A| \sim 5$ in the present scenario. Note that the resonant behavior is very sharp as shown in Fig.1(c) which is also apparent from the swift move along the circle in the Argand diagram. The $\phi$ dependence of $X$ follows the typical absorptive/dispersive pattern of analytical resonance amplitudes.

The shifts of masses and widths emerging from $H$ and $A$ are displayed in Figs. 2(a) and (b). The differences of masses and widths of $H$ and $A$ without the CP–violating mixing $\Delta_{HA}^2$ are shown by the broken lines. As expected from Eq.(35), the overall mass shift decreases monotonically with varying $\phi$ from 0 to $\pi$ while the width shift shows an approximate sinusoidal behavior. If $\phi \approx \pi/2$ the $H$–$A$ mass difference becomes so small that the mixing parameter $X$ can become very large $\sim i \lambda v^2/2 (M_H \Gamma_H - M_A \Gamma_A) \sim 10 i$ in the numerical example. Both CP–conserving quantities are symmetric about $\phi = \pi$. The impact of $H/A$ mixing on the character of $\Delta M$, in particular, is quite significant.

4 A Specific SUSY Example

To illustrate these general quantum mechanical results in a potentially more realistic example, we shall apply the formalism to a specific scenario within the Minimal Supersymmetric Standard Model but extended by CP–violating elements [MSSM–CP]. Following Ref.3 we assume the SUSY source of CP–violation to be localized in the superpotential with complex higgsino parameter $\mu$ and trilinear coupling $A_t$ involving the top squark. All other interactions are assumed to be CP–conserving.

Through stop–loop corrections CP–violation is transmitted in this scenario to the
effective Higgs potential. Expressed in the general form (1), the effective $\lambda$ parameters have been calculated in Ref. [3] to two–loop accuracy; to illustrate the crucial points we recollect the compact one–loop results of the $t/\tilde{t}$ contributions:

$$
\lambda_1 = \frac{g^2 + g'^2}{4} - \frac{\mu^2}{32\pi^2} \left[ \log \frac{M_S^2}{m_t^2} + \frac{1}{2} X_t \right]
$$

$$
\lambda_2 = \frac{g^2 + g'^2}{4} + \frac{3h_t^4}{8\pi^2} \left( \frac{3|\mu|^2}{M_S^2} - \frac{|\mu|^2|A_t|^2}{M_S^4} \right)
$$

$$
\lambda_3 = \frac{g^2 - g'^2}{4} + \frac{h_t^4}{32\pi^2} \left( \frac{3|\mu|^2}{M_S^2} - \frac{|\mu|^2|A_t|^2}{M_S^4} \right)
$$

$$
\lambda_4 = \frac{g^2}{2} + \frac{h_t^4}{32\pi^2} \left( \frac{3|\mu|^2}{M_S^2} - \frac{|\mu|^2|A_t|^2}{M_S^4} \right)
$$

where

$$
h_t = \sqrt{\frac{2m_t(m_t)}{v\sin\beta}} \quad \text{and} \quad X_t = \frac{2|A_t|^2}{M_S^2} \left( 1 - \frac{|A_t|^2}{12M_S^2} \right)
$$

Here, $m_t$ is the top–quark pole mass related to the running $\overline{MS}$ mass $\overline{m}_t(m_t)$ through $\overline{m}_t(m_t) = m_t/[1 + \frac{4}{3\pi} \alpha_s(m_t)]$, and $M_S$ is the SUSY scale.

To demonstrate the complex $H/A$ mixing in this MSSM–CP model numerically, we adopt a typical set of parameters from Refs. [4, 11],

$$
M_S = 0.5 \text{ TeV}, \quad |A_t| = 1.0 \text{ TeV}, \quad |\mu| = 1.0 \text{ TeV}, \quad \phi_\mu = 0; \quad \tan \beta = 5
$$

while varying the phase $\phi_A$ of the trilinear parameter $A_t$. We find the following mass values of the light and heavy Higgs masses in the CP–conserving case with $\phi_A = 0$:

$$
M_h = 129.6 \text{ GeV}, \quad M_H = 500.3 \text{ GeV}, \quad M_A = 500.0 \text{ GeV}
$$

and their widths:

$$
\Gamma_H = 1.2 \text{ GeV}, \quad \Gamma_A = 1.5 \text{ GeV}
$$

While the light Higgs boson mass is not altered if CP–violation through the phase $\phi_A$ is turned on, the Argand diagram and the variation of the CP–violating parameter $X$ are presented in Figs. 3(a), (b) and (c). [Symmetries in moving from $\phi_A$ to $2\pi - \phi_A$ are identical to the toy model.] The mass and width shifts of the heavy neutral Higgs bosons are displayed in Figs. 4(a) and (b), respectively. Similar to the toy model in the analyses of electric dipole moments strongly suggest that CP violation in the higgsino sector will be very small in the MSSM–CP [12]; therefore we set $\phi_\mu = 0$. Note that the $\lambda$'s in Eq. (37) are actually affected only by one common phase which is the sum of the angles ($\phi_A + \phi_\mu$). 11
previous section, the two–state system in the MSSM–CP shows a very sharp resonant CP–violating mixing, purely imaginary, a little above $\phi_A = 3\pi/4$. The mass shift is enhanced by more than an order of magnitude if the CP–violating phase rises to non-zero values, reaching a maximal value of $\sim 5.3$ GeV; the width shift moves up [non-uniformly] from $-0.3$ and $+0.4$ GeV. As a result, the two mass–eigenstates become clearly distinguishable, incorporating significant admixtures of CP–even and CP–odd components mutually in the wave–functions.
Figure 4: (a,b) The dependence of the shifts of masses and widths on the CP-violating angle $\phi_A$ in the SUSY model with the same parameter set as in Fig.3; the differences without mixing are shown by the broken lines.

5 Experimental Signatures of CP Mixing

(i) A first interesting example for studying CP-violating mixing effects is provided by $\gamma\gamma$–Higgs formation in polarized beams [13] [14] [15]:

$$\gamma\gamma \rightarrow H_i \ [i = 2, 3]$$

(42)

For a specific final state $F$ of the Higgs boson decays, the amplitude of the reaction $\gamma\gamma \rightarrow H_i \rightarrow F$ is a superposition of $H_2$ and $H_3$ exchanges. For helicities $\lambda = \pm 1$ of the two photons, the amplitude reads

$$\mathcal{M}_F^\lambda = \sum_{i=2,3} \langle F|H_i\rangle D_i(s) [S_i^\lambda(s) + i\lambda P_i^\lambda(s)]$$

(43)

The loop–induced $\gamma\gamma H_i$ amplitudes are described by the scalar and pseudoscalar form factors, $S_i^\lambda(s)$ and $P_i^\lambda(s)$ where $\sqrt{s}$ is the $\gamma\gamma$ energy and the Higgs $H_i$ propagator $D_i(s) = 1/(s - M_{H_i}^2 + iM_{H_i}\Gamma_{H_i})$. The scalar and pseudoscalar form factors receive the dominant contributions from the top (s)quark loops in the decoupling regime for moderate values of $\tan\beta$. They are related to the well–known conventional $\gamma\gamma H/A$ form factors, $S_{H,A}^\lambda$ and $P_{H,A}^\lambda$, by

$$S_2^\lambda = \cos \theta S_H^\lambda + \sin \theta S_A^\lambda \quad S_3^\lambda = -\sin \theta S_H^\lambda + \cos \theta S_A^\lambda$$

$$P_2^\lambda = \cos \theta P_H^\lambda + \sin \theta P_A^\lambda \quad P_3^\lambda = -\sin \theta P_H^\lambda + \cos \theta P_A^\lambda$$

(44)

For the explicit form of the loop functions $S_{H,A}^\lambda$ and $P_{H,A}^\lambda$ see, for example, Ref.[11]. To reduce technicalities we will assume from now on that the Higgs–$tt$ couplings are CP–conserving, i.e. $P_H^\gamma$ and $S_A^\gamma = 0$. The production rates of heavy SUSY Higgs bosons in
such a scenario have been calculated in Ref.\[16\].

For linearly polarized photons, the CP–even component of the $H_i$ wave–functions is projected out if the polarization vectors are parallel, and the CP–odd component if they are perpendicular. This effect can be observed in the CP–even asymmetry

$$A_{\text{lin}} = \frac{\sigma_\parallel - \sigma_\perp}{\sigma_\parallel + \sigma_\perp}$$

of the total $\gamma\gamma$ fusion cross sections for linearly polarized photons. Though not CP–violating sui generis, the asymmetry $A_{\text{lin}}$ provides us with a powerful tool nevertheless to probe CP–violating admixtures to the Higgs states since $|A_{\text{lin}}| < 1$ requires both $S^\gamma_i$ and $P^\gamma_i$ non-zero couplings. Moreover, CP–violation due to $H/A$ mixing can directly be probed via the CP–odd asymmetry\[II\] constructed with circular photon polarization as

$$A_{\text{hel}} = \frac{\sigma_{++} - \sigma_{--}}{\sigma_{++} + \sigma_{--}}$$

The upper panels of Fig.5 show the $\phi_A$ dependence of the asymmetries $A_{\text{lin}}$ and $A_{\text{hel}}$ at the pole of $H_2$ and of $H_3$, respectively, for the same parameter set as in Fig.3 and with the common SUSY scale $M_{\tilde{Q}_3} = M_{\tilde{t}_R} = M_S = 0.5$ TeV for the soft SUSY breaking top squark mass parameters.\[**\] By varying the $\gamma\gamma$ energy from below $M_{H_3}$ to above $M_{H_2}$, the asymmetries, $A_{\text{lin}}$ (blue solid line) and $A_{\text{hel}}$ (red dashed line), vary from $-0.39$ to $0.34$ and from $-0.29$ to $0.59$, respectively, as demonstrated on the lower panel of Fig.5 with $\phi_A = 3\pi/4$, a phase value close to resonant CP–mixing.

If the widths are neglected, the asymmetries $A_{\text{lin}}$ and $A_{\text{hel}}$ on top of the $H_i[i = 2,3]$ resonances can approximately be written in terms of the form factors:

$$A_{\text{lin}}[H_i] \approx \frac{|S_i^\gamma|^2 - |P_i^\gamma|^2}{|S_i^\gamma|^2 + |P_i^\gamma|^2}$$

$$A_{\text{hel}}[H_i] \approx \frac{2\text{Im}(S_i^\gamma P_i^{\gamma*})}{|S_i^\gamma|^2 + |P_i^\gamma|^2}$$

These approximate formulae reproduce the numerical values very accurately. If one further neglects not only small corrections due to such overlap phenomena but also corrections due to non-asymptotic Higgs-mass values, the asymmetries on top of the resonances $H_2$ and $H_3$ can simply be expressed by the complex mixing angle $\theta$:

---

\[II\]This asymmetry is also odd under CPT where the naive time reversal transformation $\tilde{T}$ [17] reverses the direction of all 3–momenta and spins, but does not exchange initial and final state. Quantities that are odd under CPT can be non–zero only for complex transition amplitudes with absorptive phases which can be generated, for example, by loops, and Breit-Wigner propagators.

\[**\]On quite general grounds, the CP–conserving observables are symmetric under the reflection about $\phi_A = \pi$, while the CP–violating observables are anti–symmetric.
Figure 5: The $\phi_A$ dependence of the CP–even and CP–odd correlators, $A_{\text{lin}}$ (upper–left panel) and $A_{\text{hel}}$ (upper–right panel), at the pole of $H_2$ and $H_3$, respectively, and the $\gamma\gamma$ energy dependence (lower panel) of the correlators, $A_{\text{lin,hel}}$ for $\phi_A = 3\pi/4$ in the production process $\gamma\gamma \to H_i$. The same parameter set as in Fig.3 is employed. Numerically, $M_{H_2} = 502.5$ GeV, $M_{H_3} = 497.9$ GeV, $\Gamma_{H_2} = 1.28$ GeV and $\Gamma_{H_3} = 1.31$ GeV. The vertical lines on the lower panel represent the two mass eigenvalues, $M_{H_3}$ and $M_{H_2}$.

$$A_{\text{lin}}[H_2] \simeq -A_{\text{lin}}[H_3] \simeq \frac{|\cos \theta|^2 - |\sin \theta|^2}{|\cos \theta|^2 + |\sin \theta|^2}$$ (49)

$$A_{\text{hel}}[H_2] \simeq +A_{\text{hel}}[H_3] \simeq \frac{2 \Im(\cos \theta \sin \theta^*)}{|\cos \theta|^2 + |\sin \theta|^2}$$ (50)

The $A_{\text{lin}}$ asymmetries are opposite in sign for the two Higgs bosons $H_2$ and $H_3$, while the $A_{\text{hel}}$ have the same sign. However, we note that the corrections due to non–asymptotic Higgs masses are still quite significant for the mass ratio $M_{H_2,H_3}/2m_t \sim 1.3$ in our reference.
point, particularly for $A_{hel}$ which is sensitive to the interference between the $\gamma\gamma H$ and $\gamma\gamma A$ form factors\footnote{We have checked that indeed the numerical values approach formula (50) for very large Higgs masses.}.

Detailed experimental simulations would be needed to estimate the accuracy with which the asymmetries can be measured. However, the large magnitude and the rapid, significant variation of the CP–even and CP–odd asymmetries, $A_{lin}$ and $A_{hel}$, through the resonance region with respect to both the phase $\phi_A$ and the $\gamma\gamma$ energy would be a very interesting effect to observe in any case.

(ii) A second observable of interest for studying CP–violating mixing effects experimentally is the polarization of the top quarks in $H_i$ decays produced by $\gamma\gamma$ fusion \cite{13, 18} or elsewhere:

$$H_i \rightarrow t\bar{t} \quad [i = 2, 3]$$  \hspace{1cm} (51)

Even if the $H/Att$ couplings are CP–conserving, the complex rotation matrix $C$ may mix the CP–even $H$ and CP–odd $A$ states leading to the CP–violating helicity amplitude of the decay process $H_i \rightarrow t\bar{t}$:

$$\langle t_\sigma \bar{t}_\sigma | H_i \rangle = \sum_{a=H,A} C_{ia} (\sigma \beta_t s_a - ip_a)$$  \hspace{1cm} (52)

where the $t$ and $\bar{t}$ helicities $\sigma/2 = \pm 1/2$ must be equal and $s_a, p_a$ are the $Htt$ and $Att$ couplings defined in Eq.(19). The two correlations between the transverse $t$ and $\bar{t}$ polarization vectors $s_\perp, \bar{s}_\perp$ in the production–decay process $\gamma\gamma \rightarrow H_i \rightarrow t\bar{t}$,

$$C_\parallel = \langle s_\perp \cdot \bar{s}_\perp \rangle \quad \text{and} \quad C_\perp = \langle \hat{p}_t \cdot (s_\perp \times \bar{s}_\perp) \rangle$$  \hspace{1cm} (53)

lead to a non–trivial CP–even [CPT–even] azimuthal correlation and a CP–odd [CPT–even] azimuthal correlation, respectively, between the two decay planes $t \rightarrow bW^+$ and $\bar{t} \rightarrow \bar{b}W^-$:

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\phi^*} = \frac{1}{2\pi} \left[ 1 - \frac{\pi^2}{16} \left( \frac{1 - 2m_W^2/m_t^2}{1 + 2m_W^2/m_t^2} \right)^2 \left( C_\parallel \cos \phi^* + C_\perp \sin \phi^* \right) \right]$$  \hspace{1cm} (54)

where $\phi^*$ denotes the azimuthal angle between two decay planes \cite{13}. In terms of the helicity amplitudes $\langle \sigma, \lambda \rangle$ for the process $\gamma\gamma \rightarrow H_i \rightarrow t\bar{t}$, where $\lambda = \pm 1$ denotes the helicities of both photons and $\sigma = \pm 1$ twice the helicities of both top quarks, the asymmetries are given as

$$C_\parallel = - \frac{2 \Re \sum \langle +, \lambda \rangle \langle -, \lambda \rangle^*}{\sum (|\langle +, \lambda \rangle|^2 + |\langle -, \lambda \rangle|^2)}$$  \hspace{1cm} (55)

$$C_\perp = + \frac{2 \Im \sum \langle +, \lambda \rangle \langle -, \lambda \rangle^*}{\sum (|\langle +, \lambda \rangle|^2 + |\langle -, \lambda \rangle|^2)}$$  \hspace{1cm} (56)
with the sum running over the two photon helicities.

The upper panels of Fig. 6 show the $\phi_A$ dependence of the CP–even and CP–odd asymmetries, $C_{\parallel}$ and $C_{\perp}$, at the pole of $H_2$ and of $H_3$, respectively, for the same parameter set as in Fig. 5. If the invariant $t\bar{t}$ energy is varied throughout the resonance region, the correlators $C_{\parallel}$ (blue solid line) and $C_{\perp}$ (red dashed line) vary characteristically from $-0.43$ to $-0.27$ [non–uniformly] and from $0.84$ to $-0.94$, respectively, as shown on the lower panel of Fig. 6.

![Figure 6](image)

**Figure 6:** The $\phi_A$ dependence of the CP–even and CP–odd correlators, $C_{\parallel}$ (upper–left panel) and $C_{\perp}$ (upper–right panel), at the pole of $H_2$ and $H_3$ and the invariant $t\bar{t}$ energy dependence (lower panel) of the correlators $C_{\parallel,\perp}$ for $\phi_A = 3\pi/4$ in the production–decay chain $\gamma\gamma \rightarrow H_i \rightarrow t\bar{t}$. [Same SUSY parameter set as in Fig. 5.]

Similarly to the previous case, if the widths are neglected, the $C_{\parallel}$ and $C_{\perp}$ asymmetries on top of the resonances $H_2$ and $H_3$ can approximately be expressed by the complex
mixing angle $\theta$ as:

$$
C_{\parallel}[H_2] \simeq \frac{\cos \theta^2 \beta_t^2 - |\sin \theta|^2}{\cos \theta^2 \beta_t^2 + |\sin \theta|^2}
$$

$$
C_{\parallel}[H_3] \simeq -\frac{\cos \theta^2 - |\sin \theta|^2}{\cos \theta^2 + |\sin \theta|^2}
$$

$$
C_{\perp}[H_2] \simeq \frac{2 \text{Re}(\cos \theta \sin \theta^*) \beta_t}{\cos \theta^2 \beta_t^2 + |\sin \theta|^2}
$$

$$
C_{\perp}[H_3] \simeq -\frac{2 \text{Re}(\cos \theta \sin \theta^*) \beta_t}{\cos \theta^2 + |\sin \theta|^2}
$$

These approximate formulae provide a nice qualitative understanding of the numerical values. In the asymptotic kinematic limit $\beta_t \to 1$ of the top-quark speed, the correlators reduce to the simple expressions:

$$
C_{\parallel}[H_2] \simeq -C_{\parallel}[H_3] \simeq \frac{|\cos \theta|^2 - |\sin \theta|^2}{|\cos \theta|^2 + |\sin \theta|^2}
$$

$$
C_{\perp}[H_2] \simeq -C_{\perp}[H_3] \simeq \frac{2 \text{Re}(\cos \theta \sin \theta^*)}{|\cos \theta|^2 + |\sin \theta|^2}
$$

i.e. they are both opposite in sign. However, we note that the square of the top–quark speed $\beta_t^2 \approx 0.5$ near the Higgs resonances so that the corrections due to non–asymptotic Higgs masses are significant, in particular, for the asymmetry $C_{\parallel}$ in the present example.

Though not easy to observe, the gross effects, at least, in Fig. 6 should certainly be accessible experimentally.

6 CONCLUSIONS

Exciting mixing effects can occur in the Higgs sector of two–Higgs doublet models, no-tabene in supersymmetric models, if CP–noninvariant interactions are switched on. In the decoupling regime these effects can become very large, leading to interesting experimental consequences. We have analyzed such scenarios in quite a general quantum mechanical language that provides us with a clear and transparent understanding of the phenomena in the general 2–doublet model. Moreover, the effects are illustrated in the Minimal Supersymmetric Standard Model extended by CP violating interactions [MSSM–CP]. Higgs formation in $\gamma\gamma$ collisions proves particularly interesting for observing such effects. However, exciting experimental effects are also predicted in such scenarios for $t\bar{t}$ final–state analyses in decays of the heavy Higgs bosons at LHC and in the $e^+e^-$ mode of linear colliders.

Acknowledgements

We are grateful to Grahame Blair for valuable comments on the manuscript. The work is supported in part by the European Commission 5-th Framework Contract HPRN-CT-2000-00149. SYC was supported in part by a Korea Research Foundation Grant
(KRF–2002–070–C00022) and in part by KOSEF through CHEP at Kyungpook National University. JK was supported by the KBN Grant 2 P02B 040 20 (2003-2005) and 115/E-343/SPB/DESY/P-03/DWM517/2003-2005.

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