Brachial Plexus Injuries in Adults with Traumatic Brain Injury: A Retrospective Study

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Objective: We aimed to investigate the presence of brachial plexus injury (BPI) in traumatic brain injury (TBI) patients and to draw attention to BPI, which can be overlooked by physicians in TBI patients.

Methods: The study was designed retrospectively by examining the files of 58 patients with moderate to severe TBI to investigate coexistence of TBI and BPI.

Results: BPI was detected in six of 58 TBI patients (10.3%). BPI was detected after an average 116 days from the initial injury. Three patients had lower trunk BPI and three patients had panplexopathy.

Conclusion: Diagnosis of BPI in patients with TBI is delayed in the acute period of injury. The clinicians should keep in mind that BPIs may occur and remain undiagnosed in patients with TBI.

Key Words: Brachial plexus · Brain injuries, Traumatic · Electromyography.

INTRODUCTION

Traumatic brain injury (TBI) is a brain dysfunction caused by an external source. Trauma to the brain can lead to a wide variety of long-term pathological changes. Although TBI affects all ages, it is mostly seen in children, adolescents, and over 75 years of age.

Both the central nervous system (CNS) and the peripheral nervous system may be deteriorated as a result of TBI. As muscle weakness and sensory deficits are often attributed to the CNS injuries, peripheral nerve injuries (PNIs) may be missed in patients with TBI. Life-threatening injuries need to be treated in the acute phase and a detailed orthopedic examination may be lacking sometimes. These factors may delay the diagnosis of concomitant PNIs such as brachial plexus injuries (BPIs) in patients with TBI.

BPIs are PNIs that may lead to motor and sensory deficits, pain, functional limitation, and impaired quality of life. They are most commonly caused by direct trauma, especially motor vehicle accidents. It has been known that BPIs also may accompany TBI. However, in the literature, there are only a few studies to evaluate BPI in patients with TBI. The aims of
the study were to investigate the presence of BPI in TBI patients and to draw attention to BPI, which can be overlooked by physicians in TBI patients.

**MATERIALS AND METHODS**

The study protocol was approved by the local Ethics Committee (approve date: March 2, 2020, approve number: 83/08). The study was conducted in accordance with the principles of the Declaration of Helsinki. The study was designed retrospectively by examining the files of 58 patients with moderate to severe TBI. All patients received inpatient treatment in a physical medicine and rehabilitation clinic between

**Table 1. Demographic features and disease characteristics of the TBI patients**

| Characteristic          | TBI patients (n=58) |
|-------------------------|---------------------|
| Age (years)             | 32.84±14.07         |
| Gender                  |                     |
| Female                  | 14 (24.1)           |
| Male                    | 44 (75.9)           |
| Educational status      |                     |
| Illiterate              | 0 (0.0)             |
| Literate                | 0 (0.0)             |
| Primary school          | 25 (43.1)           |
| Secondary school        | 18 (31.0)           |
| High school             | 10 (17.3)           |
| University or higher    | 5 (8.6)             |
| Tracheostomy            | 33 (56.9)           |
| Gastrostomy             | 35 (60.3)           |
| Mechanical ventilation  | 0 (0.0)             |
| Speech disorder         | 44 (75.9)           |
| Bladder dysfunction     | 45 (77.6)           |
| Bowel dysfunction       | 44 (75.9)           |
| DRS (0–29)              | 15.48±7.87          |
| FIM                     |                     |
| Motor                   | 24.81±16.72         |
| Cognitive               | 15.60±10.57         |
| Total score             | 41.20±25.72         |

Values are presented as mean±standard deviation or number (%). TBI: traumatic brain injury, DRS: disability rating scale, FIM: functional independent measurement.

**Table 2. Demographic features and disease characteristics of the TBI patients with brachial plexopathy**

| Characteristic                        | TBI patients with BPI (n=6) |
|---------------------------------------|-----------------------------|
| Age (years)                           | 27.6±10.2                   |
| Gender                                |                             |
| Female                                | 1                           |
| Male                                  | 5                           |
| Educational status                    |                             |
| Illiterate                            | 0                           |
| Literate                              | 1                           |
| Primary school                        | 1                           |
| Secondary school                      | 2                           |
| High school                           | 2                           |
| University or higher                  |                             |
| Hand dominancy                        |                             |
| Right                                 | 6                           |
| Left                                  |                             |
| Tracheostomy                          | 2                           |
| Gastrostomy                           | 1                           |
| Mechanical ventilation                | 0                           |
| Speech disorder                       | 4                           |
| Bladder dysfunction                   | 1                           |
| Bowel dysfunction                     | 1                           |
| DRS (0–29)                            | 13.59±4.48                  |
| FIM                                   |                             |
| Motor                                 | 31±18                       |
| Cognitive                             | 29.3±9.8                    |
| Total score                           |                             |
| BPI side                              |                             |
| Right                                 | 1                           |
| Left                                  | 5                           |
| BPI detection time after injury (days)| 116±3                       |
| Types of BPI                          |                             |
| Lower trunk injury                    | 3                           |
| Panplexopathy                         | 3                           |
| Etiology of Injury                    |                             |
| Traffic accident                      | 4                           |
| Work accident                         | 1                           |
| Gunshot injury                        | 1                           |
| Mechanism of Injury                   |                             |
| Traction                              | 4                           |
| Penetration                           | 1                           |
| Avulsion                              | 1                           |
| Proximal humerus fracture             | 3                           |
| Proximal humerus and clavicula fracture| 1                     |

Values are presented as mean±standard deviation or number. TBI: traumatic brain injury, BPI: brachial plexus injury, DRS: disability rating scale, FIM: functional independent measurement.
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The patients aged between 18 and 60 and diagnosed with BPI according to electroneuromyographic findings were included in the study. In our rehabilitation clinic, BPI is suspected in patients with upper extremity atrophy, hyporeflexia/areflexia, flaccid muscle tone, do not have expected motor recovery, and shoulder girdle fracture. To confirm the diagnosis, electroneuromyography (ENMG) is performed. Patients

| Table 3. MRC* grades of affected muscles of patients with BPI |
|---------------------------------------------------------------|
| **No. 1 patient** | **No. 2 patient** | **No. 3 patient** | **No. 4 patient** | **No. 5 patient** | **No. 6 patient** |
| Brachial plexus lesion | Panplexopathy | Panplexopathy | Panplexopathy | Lower trunk injury (severe) | Lower trunk injury (severe) | Lower trunk injury (severe) |
| Time of evaluation (days) | 92 | 104 | 110 | 90 | 134 | 168 |
| Deltoid | 1 | 0 | 5/5 | 5/5 | 4/5 | 3/5 |
| Supraspinatus | 1 | 0 | 3/5 | 5/5 | 3/5 | 3/5 |
| Pectoralis | 1 | 0 | 5/5 | 5/5 | 4/5 | 3/5 |
| Biceps | 0 | 0 | 4/5 | 5/5 | 3/5 | 3/5 |
| Triceps | 0 | 0 | 4/5 | 5/5 | 4/5 | 3/5 |
| ECRL-ECRB | 0 | 0 | 4/5 | 5/5 | 3/5 | 3/5 |
| FDS-FDP | 4 | 0 | 4/5 | 0/5 | 1/5 | 3/5 |

*0 : no contraction, 1 : flicker of contraction, 2 : active movement, with gravity eliminated, 3 : active movement against gravity and resistance, 5 : muscle contracts normally against full resistance. MRC : Medical Research Council, BPI : brachial plexus injury, ECRL : extensor carpi radialis longus, ECRB : extensor carpi radialis brevis, FDS : flexor digitorum superficialis, FDP : flexor digitorum profundus

| Table 4. Electrophysiologic findings of the patients |
|-------------------------------------------------|
| **Patient** | **Localisation, root/trunk** | **SNAP** | **CMAP** | **Needle EMG** |
| No. 1 patient | C5–6/upper | A | A | Reduced activity/SA : + |
| | C7/middle | A | A | Reduced activity/SA : + |
| | C8–T1/lower | A | A | Reduced activity/SA : + |
| No. 2 patient | C5–6/upper | A | A | No activity/SA : none |
| | C7/middle | A | A | No activity/SA : none |
| | C8–T1/lower | A | A | No activity/SA : + |
| No. 3 patient | C5–6/upper | A | D | Reduced activity/SA : none |
| | C7/middle | A | D | Reduced activity/SA : none |
| | C8–T1/lower | A | D | Reduced activity/SA : + |
| No. 4 patient | C5–6/upper | N | N | N |
| | C7/middle | N | N | N |
| | C8–T1/lower | A | D | No activity/SA : + |
| No. 5 patient | C5–6/upper | N | N | N |
| | C7/middle | N | N | N |
| | C8–T1/lower | A | A | No activity/SA : + |
| No. 6 patient | C5–6/upper | N | N | N |
| | C7/middle | N | N | N |
| | C8–T1/lower | A | D | Reduced activity/SA : + |

SNAP : sensory nerve action potential, CMAP : compound motor action potential, EMG : electromyography, A : absent, SA : spontaneous activity, D : diminished, N : normal
with a history of upper extremity PNI were excluded from the study. Demographic, clinical, and electromyographic data of the patients with TBI and BPI were recorded.

**Statistics**

Descriptive statistics were performed for demographic and clinical characteristics of the patients. Continuous variables were presented as mean±standard deviation. Categorical variables were presented as frequencies. Statistical analysis was performed using the PASW version 17.0 software (SPSS Inc, Chicago, IL, USA).

**RESULTS**

Fifty-eight patients with TBI were screened for the presence of BPI. The demographic and clinic characteristics of all patients with TBI were summarized in Table 1.

Brachial plexus injury was detected in six of 58 TBI patients (10.3%). Five patients were male and one patient was female. All patients were right-handed. BPI was on the right side in one patient and on the left side in five patients. BPI was detected after an average 116 days from the initial injury. Three patients had lower trunk brachial plexus injury and three patients had panplexopathy.

The etiology of injury was a traffic accidents in four patients, work-related accident in one patient, and gunshot injury in one patient. The mechanism of injury was traction in four patients, avulsion in one patient, and laceration in one patient. Four patients had proximal humerus fracture and one patient had proximal humerus and clavicle fractures in affected upper extremity. The demographic and clinical characteristics of TBI patients with BPI were summarized in Table 2. ENMG findings and Medial Research Council grades of affected muscles of patients with BPI has been shown in Tables 3 and 4.
**DISCUSSION**

TBI is a serious, well recognized public health problem around the worldwide. It is an important cause of mortality and morbidity. Aside from associated CNS trauma, there may be injuries to peripheral nerves. Concomitant PNIs such as BPIs contribute to functional disability and can lead to unemployment and economic burden in patients with TBI.

In a previous study, Stone and Keenan detected PNI in 34% of 50 TBI patients. Ten percent of these patients had BPI. They also reported that none of the BPI patients was detected at the time of TBI. Ciaramitaro et al. investigated the epidemiological data of traumatic nerve injuries. They found that the concomitance of traumatic nerve lesion and TBI was approximately 15%. The diagnosis of BPI was made after an average of 116 days from the head trauma. According to these results, it is possible to obtain an idea that BPI is commonly accompanied to TBI, and recognition of BPI is often overlooked at the time of initial injury.

Traumatic BPIs are most commonly secondary to high-energy trauma, especially motor vehicle accidents and, less commonly, secondary to falls, bullet injuries, and penetrating injuries with a sharp object. Suggested mechanisms of the injury are traction, avulsion, laceration, compression, and contusion. In this study, the major etiology was motor vehicle accidents. The other causes were bullet injury and work-related accidents. The most common injury mechanism was traction and the others were avulsion and laceration. Similar to our results, Stone and Keenan observed that major etiology and mechanism were traction-induced motor vehicle accidents in patients with TBI and concomitant BPI.

The association between proximal humerus or clavicle fractures and BPI has been previously reported. Fractures of proximal humerus or clavicle may lead to the traction of brachial plexus. In addition, brachial plexus may be compressed by fractures of these bones. In the present study, three BPI patients had proximal humerus fracture, and one patient had proximal humerus and clavicle fractures together. In contrast to our results, Stone and Keenan did not observe any upper extremity fracture in their TBI patients with concomitant BPI.

It seems that the prevalence of BPI in TBI patients is higher than previously suspected. It is often overlooked by clinicians because motor deficits are often attributed to the CNS injury. In addition, unstable medical condition, impaired cognitive functioning, or inadequately treated proximal humerus and/or clavicle fractures may lead to unrecognized BPI. Even though the patients do not report any complaints, the clinician should keep in mind that BPI can be seen concomitant in TBI patients, especially those with the proximal humerus or clavicle fractures. Detailed neurological examination is crucial for the diagnosis of BPI and should be performed periodically after stabilization of medical condition. Patients with TBI typically present with upper motor neuron symptoms of spasticity, weakness, clonus, pathologic reflexes, and hyperreflexia in the affected extremities. In the presence of BPI, lower motor neuron symptoms such as flaccid muscle tone, areflexia/hyporeflexia, and muscle atrophy are detected. In addition, patients who do not have expected motor recovery in the affected extremity should be assessed for BPI. ENMG should be performed in TBI patients with clinical suspicion of BPI. ENMG is the most important diagnostic tool for assessing BPI. It gives not only information about the diagnosis, but also about the localization, severity, and prognosis of BPI. The flow-sheet for diagnosis of BPI and other PNIs of the upper extremity in TBI patients has been shown in Fig. 1.

There were some limitations in our study. First, the number of patients analyzed was limited. Second, this study was a single-center study. Future studies with a larger sample size are needed to confirm the results of this study.

**CONCLUSION**

The diagnosis of BPI in patients with TBI is delayed in the acute period of injury because of unstable medical condition, impaired cognitive functioning or inadequately treated shoulder girdle fractures. The clinicians should keep in mind that BPIs may occur and remain undiagnosed in patients with TBI. Detailed neurological examination should be performed in all TBI patients after stabilization of medical condition and in patients with clinical suspicion of BPI, ENMG should be considered.
CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

INFORMED CONSENT

This type of study does not require informed consent.

AUTHOR CONTRIBUTIONS

Conceptualization : NT, AC
Data curation : NT, AC, AÇ
Formal analysis : DC
Methodology : EÜA
Project administration : NT, AC
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