ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

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Royalties: Funds are coming in to you or your institution due to your patent
### Section 1. Identifying Information

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date           |
|---------------------------|------------------------|-------------------|
| Caroline                  | Huynh                  | 03-August-2020    |

4. Are you the corresponding author?  
   - Yes [ ]  
   - No [✓]

Corresponding Author’s Name: Jonathan D. Spicer

5. Manuscript Title
   Surgery after neoadjuvant immunotherapy in patients with resectable non-small cell lung cancer

6. Manuscript Identifying Number (if you know it)
   TLCR-20-509

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
   - Yes [ ]  
   - No [✓]

### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
   - Yes [ ]  
   - No [✓]

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   - Yes [ ]  
   - No [✓]
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Section 5. Relationships not covered above

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Huynh has nothing to disclose.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name) Logan
2. Surname (Last Name) Walsh
3. Date 10-August-2020
4. Are you the corresponding author? ☑ No
   Corresponding Author’s Name
   Jonathan Spicer
5. Manuscript Title
   Surgery after neoadjuvant immunotherapy in patients with resectable non-small cell lung cancer
6. Manuscript Identifying Number (if you know it)
   TLCR-20-509

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Dr. Walsh has nothing to disclose.

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1. Given Name (First Name)  
   Jonathan
2. Surname (Last Name)  
   Spicer
3. Date  
   10-August-2020
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   ✔ Yes  
   ☐ No

If yes, please fill out the appropriate information below.

| Name of Entity                                         | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                        |
|--------------------------------------------------------|--------|----------------|------------------------|--------|---------------------------------|
| Trans-Hit Bio Inc scientific advisory board             |        | ✔              |                        |        | Honoraria for consulting        |
| AstraZeneca clinical trial steering committee           |        | ✔              |                        |        | Honoraria for consulting        |
| Bristol-Myers-Squibb clinical trial steering committee  |        | ✔              |                        |        | Honoraria for consulting        |
| Merck                                                  |        |                |                        | ✔      | Clinical Trial Funding          |
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Dr. Spicer reports personal fees from Trans-Hit Bio Inc scientific advisory board, personal fees from AstraZeneca clinical trial steering committee, personal fees from Bristol-Myers-Squibb clinical trial steering committee, other from Merck, outside the submitted work;.

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