Postoperative aspartate aminotransferase to platelet ratio index change predicts prognosis for hepatocellular carcinoma

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Abstract

An elevated preoperative aspartate aminotransferase (AST) to platelet ratio index (APRI) is reported to be a prognostic factor for patients with hepatocellular carcinoma (HCC) after treatment. However, delta APRI (ΔAPRI), which represents the change from preoperative to postoperative APRI, has received little attention. The present study was designed to evaluate the prognostic value of ΔAPRI in patients with small HCC after liver resection.

A retrospective cohort study analyzing 244 patients with small HCC who had undergone liver resection was conducted. Medical data were retrieved from our prospectively maintained database. Patients were divided into 2 groups according to ΔAPRI as follows: group A (ΔAPRI ≥0.02) and group B (ΔAPRI <0.02). The association of demographic and clinical data, overall survival (OS), and recurrence-free survival (RFS) were statistically compared in the 2 groups, and a multivariate analysis was used to identify prognostic factors.

The 1, 3, and 5-year OS of patients in group A were 94.2%, 79.5%, and 62.3%, respectively, and 95.1%, 87.9%, and 84.6%, respectively, for patients in group B (P=0.001). The corresponding 1, 3, and 5-year RFS was 69.0%, 44.7%, and 28.1%, and 77.4%, 57.0%, and 54.2% for patients in the 2 groups, respectively (P=0.009). The results of a multivariate analysis indicated that ΔAPRI was an independent prognostic factor for both OS (P=0.001, hazard ratio 3.115, 95% confidence interval 1.642–5.912) and RFS (P=0.006, hazard ratio 1.689, 95% confidence interval 1.169–2.452).

A positive ΔAPRI after liver resection predicts decreased OS and RFS in patients with small HCC.

Abbreviations: AFP = α-fetoprotein, APRI = aspartate aminotransferase to platelet ratio index, AST = aspartate aminotransferase, HCC = hepatocellular carcinoma, OS = overall survival, RFA = radiofrequency ablation, RFS = recurrence-free survival, TACE = transhepatic arterial chemotherapy and embolization.

Keywords: aspartate aminotransferase to platelet ratio index, hepatocellular carcinoma, liver resection, Milan criteria, prognostic factor

1. Introduction

Hepatocellular carcinoma (HCC) is the fifth most lethal malignancy and the third most frequent cause of cancer-related death worldwide.1-2 Due to a high prevalence of hepatitis B virus (HBV), 50% of diagnosed HCC cases worldwide are located in China, making HCC a significant burden for this country.3,4 Liver resection remains the standard of care for patients with small HCC who meet the Milan criteria.4,5 Despite sophisticated advances in early diagnosis and surgical techniques, overall survival (OS) and recurrence-free survival (RFS) remain dissatisfactory in HCC patients.6-7 However, prognostic indicators of HCC have not been completely elucidated. Established prognostic factors include liver function reserve, tumor size and number, vascular invasion, and the systemic inflammation response.8-12

Previous studies have suggested that liver inflammation and hepatic fibrosis are critical factors in the development of HCC,13 and are considered prognostic indicators of ineffective liver regeneration,14 risk factors for postoperative hepatic failure,15 and prognostic factors for HCC.16 Although liver biopsy remains the gold standard for assessing the degree of active hepatitis and hepatic fibrosis, the clinical use of the procedure is limited due to its high cost and risk of complications.17 Recently, the aspartate aminotransferase (AST) to platelet ratio index (APRI) — a parameter that can be determined using noninvasive assays — was identified as a biomarker of hepatic fibrosis and cirrhosis.18 Subsequent studies validated its value in predicting HCC prognosis after hepatic resection19 and radiofrequency ablation (RFA).20 These recent studies focused on preoperative APRI; however, there are few studies evaluating ΔAPRI, which represents the change from preoperative to postoperative APRI, in patients who have received hepatic resection.

The present study evaluated the predictive value of ΔAPRI in patients with small HCC who underwent hepatic resection.
2. Methods

Between February 2007 and March 2013, 346 patients newly diagnosed with small HCC who met the Milan Criteria underwent liver resection in the Department of Liver Surgery & Liver Transplantation Center of West China Hospital. The present study was approved by the Ethics Committee of West China Hospital, Sichuan University.

Patients were preoperatively diagnosed with HCC if 2 types of imaging examinations revealed features indicative of HCC, or if 1 imaging examination revealed signs of HCC and the patient had an α-fetoprotein (AFP) level greater than 400 ng/mL. The diagnosis of HCC was also confirmed in a postoperative histopathology examination. The degree of liver fibrosis or liver cirrhosis was also determined in postoperative histopathology examination in accordance with the Ishak scoring system. The demographic data, oncological data, hematological tests, liver function tests, HBV markers, and follow-up data were retrieved from our prospectively maintained database.

In the present study, our inclusion criteria were as follows: primary small HCC meeting Milan criteria (solitary tumor <5 cm in diameter or ≤3 nodules ≤3 cm in diameter) and no extrahepatic metastasis; adequate reserve liver function (Child–Pugh grade A); appropriate renal function (serum creatinine <124 mmol/L); and underwent liver resection as initial treatment.

Exclusion criteria included the following: recurrent HCC; simultaneous splenectomy and liver resection; loss to follow-up within 3 months after liver resection; and poor data integrity.

2.1. Definition of APRI

All preoperative liver function tests, including AST and platelet counts, were administered 2 days before the operation. The APRI was calculated as the following ratio: ([AST/upper limit of normal value]/platelet counts [10^9/L]) × 100, with the AST upper limit of normal value defined as 40 IU/L. Postoperative APRI was assessed at the first follow-up visit at the outpatient department 1 month after the operation. ∆APRI was calculated by subtracting preoperative APRI from the postoperative APRI minus preoperative APRI.

2.2. Follow-up visit

All of the 244 patients were regularly followed up during the first, third, and sixth month immediately after the operation, every 3 months during the following 3 years, and every 6 months in subsequent years.

Physical examination, blood cell and differential counts, AFP level, liver function test, HBV markers and HBV-DNA (if the patient was diagnosed with an HBV infection), and radiology examination (in select cases) were included in the follow-up examinations. Tumor recurrence was suspected if there was an increase in serum AFP level (>20 ng/mL) or if a new lesion was detected by surveillance imaging during the examination. The last follow-up occurred at the end of April 2014.

2.3. Statistical analysis

SPSS software version 21.0 (SPSS Company, Chicago, IL) and R software 3.1.1 (The R foundation for statistical computing, Vienna, Austria) were used to conduct statistical analyses. Time-dependent receiver-operating characteristic (ROC) curve was used to establish the cut-off value of ∆APRI in predicting mortality. An independent-sample t test was used to compare continuous variables. Categorical data were compared using the chi-square test or Fisher exact test. Cumulative recurrence rates and overall survival rates were estimated using the Kaplan–Meier method, and compared using a log-rank test. Variables with statistical significance (P < 0.05) or close to statistical significance (P < 0.1) according to univariate analysis underwent multivariate analysis using Cox forward stepwise logistic regression model; variables with P > 0.1 were removed before multivariate analysis. Calculated P values were 2-sided, and P < 0.05 was considered statistically significant.

3. Results

Based on our inclusion and exclusion criteria, a total of 102 patients were excluded from the present study. Among the excluded patients, 20 presented with recurrent HCC, 11 had Child–Pugh grade B, 12 had received previous therapy (including RFA or transhepatic arterial chemotherapy and embolization [TACE]), 18 were lost to follow-up within the first 3 months after the liver resection, 16 underwent simultaneous splenectomy and liver resection, and data for 25 patients were of poor integrity. Ultimately, 244 patients with small HCC that had undergone liver resection and that met our criteria were included in this retrospective analysis. The patients included 31 (12.7%) females and 213 (87.3%) males, and the mean age of the patients was 50 years (range 21–78 years). A total of 208 patients (85.2%) presented with 1 nodule, and 36 (14.8%) patients presented with 2 or 3 nodules. A total of 84 patients (34.4%) presented with a nodule ≤3 cm in diameter, and 160 (65.6%) presented with a nodule 3 to 5 cm in diameter. After a median follow-up period of 36.3 months (range from 3 to 85.9), 118 (48.4%) patients experienced disease recurrence, and 42 (17.2%) patients had died.

An optimal cut-off value of 1.0 corresponded to the maximum joint sensitivity and specificity on the ROC plot for preoperative APRI (Fig. 1). There were 82 (33.6%) patients with preoperative...
APRI ≥1 and 162 (66.4%) with preoperative APRI <1. Figure 2A–E shows the ROC curve of APRI for the prediction of mortality at 1, 2, 3, 4, and 5 years, respectively, after the start of follow-up using time-dependent ROC analysis. The area under curves (AUCs) at 1, 2, 3, 4, and 5 years were 0.37, 0.55, 0.53, 0.37, and 0.61, respectively. Also, the cut-off value was set at 0.02. Then patients were divided into 2 groups: group A (APRI ≥0.02, n = 96) and group B (APRI <0.02, n = 148), according to the time-dependent ROC analysis. The clinicopathological characteristics of the 2 groups are described in Table 1. There were no significant differences in the baseline characteristics between the 2 groups.

3.1. Impact of APRI on overall survival

The cumulative 1, 3, and 5-year OS rates among all of the patients in the study were 95.3%, 84.3%, and 72.7%, respectively. The 1, 3, and 5-year OS rates were 92.8%, 75.7%, and 63.6%, respectively, for patients with preoperative APRI ≥1, and 97.4%, 90.0%, and 78.0%, respectively, for patients with preoperative APRI <1 (log-rank test, \( P < 0.02 \)) (Fig. 3).

With respect to the APRI, 1, 3, and 5-year OS rates were 95.1%, 87.9%, and 84.6%, respectively, for patients withΔAPRI <0.02, and 94.2%, 79.5%, and 62.3%, respectively, for patients withΔAPRI ≥0.02 (log-rank test, \( P = 0.010 \)) (Fig. 3).

The clinicopathological characteristics of patients with respect to ΔAPRI.

3.2. Impact of APRI on recurrence-free survival

As shown in Table 3, the univariate analysis revealed that vascular invasion, preoperative APRI ≥1, and ΔAPRI ≥0.02 were all significantly associated with poor OS. Similarly, vascular invasion, the female sex, tumor number ≥2, liver cirrhosis, AFP level ≥400 ng/mL, preoperative APRI ≥1, and ΔAPRI ≥0.02 were associated with poor RFS (Table 4). The multivariate analysis confirmed that vascular invasion (\( P = 0.006 \), hazard ratio [HR] 2.484, 95% confidence interval [CI] 1.294–4.676), preoperative APRI ≥1 (\( P = 0.006 \), HR 2.371, 95% CI 1.283–4.383), and ΔAPRI ≥0.02 (\( P = 0.001 \), HR 3.115, 95% CI 1.642–5.912) were independent risk factors for poor OS, and that vascular invasion (\( P < 0.001 \), HR 2.114, 95% CI 1.396–3.201), AFP level ≥400 ng/mL (\( P = 0.018 \), HR 1.568, 95% CI 1.163–2.452), tumor number ≥2 (\( P = 0.005 \), HR 1.897, 95% CI 1.209–2.977), preoperative APRI ≥1 (\( P = 0.022 \), HR 1.541, 95% CI 1.065–2.229), and ΔAPRI ≥0.02 (\( P = 0.006 \), HR 1.689, 95% CI 1.163–2.452) were independent prognostic indicators of RFS in patients with small HCC that had undergone liver resection.

3.3. Risk factors for prognosis of small HCC after liver resection

As shown in Table 3, the univariate analysis revealed that vascular invasion, preoperative APRI ≥1, and ΔAPRI ≥0.02 were all significantly associated with poor OS. Similarly, vascular invasion, the female sex, tumor number ≥2, liver cirrhosis, AFP level ≥400 ng/mL, preoperative APRI ≥1, and ΔAPRI ≥0.02 were associated with poor RFS (Table 4).

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4. Discussion

Aspartate aminotransferase to platelet ratio index was initially used to evaluate the staging of liver fibrosis and cirrhosis in patients with chronic hepatitis C.[23] In subsequent years, the application of APRI has been extended to the evaluation of liver function reserves and the assessment of the prognosis of patients with chronic hepatitis.[23] Kao et al.[20] suggested that APRI was associated with OS and disease recurrence after RFA in patients
with HCC. However, these studies focused on pretreatment APRI, whereas the significance of the differences between preoperative and postoperative APRI has been given little attention.

The results of the present study suggest that vascular invasion, AFP level ≥400 ng/mL, tumor number ≥2, preoperative APRI ≥1, and ΔAPRI >0.02 are prognostic indicators in patients with small HCC that have undergone liver resection. Notably, preoperative APRI ≥1 and ΔAPRI ≥0.02 are independent risk factors of both OS and RFS. Furthermore, even in the subgroup analysis of patients with preoperative APRI <1, a poorer prognosis was
APRI might be used to stratify patients before surgery, and postoperative treatment in patients with small HCC. Preoperative APRI and ΔAPRI were valuable applications in clinical practice for determining small HCC and that preoperative APRI represents a reliable and stable prognostic factor in patients with survival.

Recently, Hung et al.[24] reported that preoperative APRI can serve as a surrogate measure of liver function reserves and hepatic fibrosis, and as a predictor of survival in patients with small HCC. Preoperative APRI might be used to stratify patients before surgery, and ΔAPRI might be an indicator of early treatment efficacy and survival.

Pre-APRI calculated by subtracting preoperative from postoperative APRI. We determined that ΔAPRI exhibits a highly discriminative ability to stage liver fibrosis and that preoperative APRI >0.47 predicted poor OS and RFS. Similarly, preoperative APRI >1 was demonstrated to be an independent risk factor for tumor recurrence and poor OS in patients with small HCC after RFA.[20] Shen et al.[19] reported that disease-free survival and OS rates of patients with preoperative APRI <0.62 were significantly better compared with patients with a relatively high APRI. The results of our study are consistent with some of their findings; we found that patients with a preoperative APRI <1 had a more favorable prognosis after liver resection compared with those with a preoperative APRI ≥1. However, the cut-off value of preoperative APRI in this study differed from that used in the studies by Hung et al and Shen et al, indicating that a higher preoperative APRI is associated with a poor prognosis in patients with HCC. Unfortunately, it is not possible to establish a universal cut-off value, because different patient populations from different medical centers inevitably use different cut-off values. In the present study, we focused our attention on ΔAPRI, which we calculated by subtracting preoperative from postoperative APRI. ΔAPRI is a value that reflected the dynamic change between the preoperative and postoperative periods. Ultimately, we determined that ΔAPRI was an independent prognostic indicator for patients with small HCC after liver resection.

The significance of APRI in the prognosis of patients with HCC after liver resection is consistent with the established pathogenesis of HCC. APRI was calculated using AST and platelet levels. Multiple studies have reported that increased AST and decreased platelet levels are associated with the progression of liver fibrosis. Decreased platelet levels in patients with severe fibrosis and cirrhosis decrease the production of thrombopoietin by hepatocytes. This phenomenon reduces platelet production[25] and increases the sequestration and destruction of platelets in enlarged spleens due to fibrosis and portal hypertension.[26]

Furthermore, advanced liver disease is associated with mitochondrial injury, a feature that can substantially increase the

| APRI change | n | Median OS (mos) | P for OS | Median RFS (mos) | P for RFS |
|-------------|---|----------------|---------|-----------------|---------|
| Pre-APRI <1 APRI ≥0.02 | 68 | 31.7 | 0.038 | 21.4 | 0.032 |
| Pre-APRI <1 APRI <0.02 | 94 | 34.4 | 23.2 | 0.023 |
| Pre-APRI ≥1 APRI ≥0.02 | 54 | 28.0 | 13.1 | 0.023 |
| Pre-APRI ≥1 APRI <0.02 | 28 | 32.6 | 21.6 | 0.023 |

OS = overall survival, pre-APRI = postoperative aspartate aminotransferase to platelet ratio index, RFS = recurrence-free survival.

Figure 5. Relationship between pre-APRI and recurrence-free survival of patients with small HCC after liver resection. Patients with a pre-APRI ≥1.02 were associated with a significant reduction in recurrence-free survival compared with patients with a pre-APRI <1.02 (log-rank test, P=0.007). Pre-APRI = postoperative aspartate aminotransferase to platelet ratio index, HCC = hepatocellular carcinoma.

Figure 6. Relationship between ΔAPRI and recurrence-free survival of patients with small HCC after liver resection. Patients with increased ΔAPRI ≥0.02 were associated with a significant reduction in recurrence-free survival compared with patients with a ΔAPRI <0.02 (log-rank test, P=0.008). ΔAPRI = postoperative aspartate aminotransferase to platelet ratio index change, HCC = hepatocellular carcinoma.
release of AST.[27] Moreover, fibrosis and cirrhosis can reduce the sinusoidal clearance of AST,[28] which, in turn, increases APRi. Theoretically, APRi is expected to decrease after liver resection, because a number of patients who receive this procedure are prescribed antiviral therapy. Antiviral therapy might ameliorate liver inflammation, improve liver functional reserves in patients with chronic hepatitis,[29] and improve the prognosis of patients with HCC.[30] Therefore, we hypothesized that the absence of a decrease in APRi after the operation, despite the administration of antiviral therapy, is indicative of reduced liver function reserves and a low functional potential of the remnant liver.

In conclusion, we demonstrated that increased ΔAPRI was an independent risk factor of OS and RFS in patients with small HCC after liver resection.

We acknowledge the limitations of our study. The present study was a retrospective cohort study conducted at a single medical center, and the study population was comprised primarily of HBV-related HCC cases that only have been internally validated. Therefore, multicenter and potentially prospective studies are needed to verify the prognostic value of ΔAPRI in HCC, and the potential mechanism underlying this association.

In conclusion, we demonstrated that increased ΔAPRI was an independent risk factor of OS and RFS in patients with small HCC after liver resection.

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Table 3
Univariate and multivariate analysis of prognostic factors of OS in patients with small hepatocellular carcinoma after liver resection.

| Factors          | Median OS (mos) | P      | HR (95% CI) | P    |
|------------------|-----------------|--------|-------------|------|
| Sex (F/M)        | 30.9/22.0       | 0.498  |             |      |
| Age (≥65 y vs <65 y) | 34.2/31.7       | 0.329  |             |      |
| Differentiation (W, M, L) | 34.0/32.0/29.7 | 0.494  |             |      |
| VI (A vs P)      | 32.5/26.5       | 0.005  | 2.484       | 0.006|
| Tumor size (≤5 vs >5) | 32.0/32.0       | 0.698  |             |      |
| Tumor number (0-3 vs 4) | 27.8/32.2       | 0.918  |             |      |
| Cirrhosis (yes vs no) | 32.0/30.2       | 0.177  |             |      |
| AFP (≥400 vs <400) | 32.3/31.7       | 0.223  |             |      |
| Pre-APRi (≥1 vs <1) | 31.2/32.2       | 0.010  | 2.371       | 0.006|
| ΔAPRI (≥0.02 vs <0.02) | 31.9/32.9       | 0.002  | 3.115       | 0.001|

*ΔAPRI,* postoperative aspartate aminotransferase to platelet ratio index change. A, absent; AFP, α-fetoprotein; CI, confidence interval; F/M, female/male; HR, hazard ratio; L, low differentiation; M, moderate differentiation; P, present; pre-APRi, preoperative aspartate aminotransferase to platelet ratio index; W, well differentiation.

Table 4
Univariate and multivariate analysis of prognostic factors of RFS in patients with small hepatocellular carcinoma after liver resection.

| Factors          | Median RFS (mos) | P      | HR (95% CI) | P    |
|------------------|-----------------|--------|-------------|------|
| Sex (F/M)        | 27.7/20.0       | 0.040  | 0.640       |      |
| Age (≥65 y vs <65 y) | 21.1/21.0       | 0.669  |             |      |
| Differentiation (W, M, L) | 32.0/21.1/19.5 | 0.913  |             |      |
| VI (A vs P)      | 24.1/13.0       | <0.001 | 2.114       | <0.001|
| Tumor size (≤5 vs >5) | 21.2/14.4       | 0.827  |             |      |
| Tumor number (0-3 vs 4) | 12.2/23.3       | <0.001 | 1.897       | 0.005|
| Cirrhosis (yes vs no) | 21.0/21.5       | 0.015  | 1.076       | 0.076|
| AFP (≥400 vs <400) | 19.0/22.4       | 0.033  | 1.568       | 0.018|
| Pre-APRi (≥1 vs <1) | 17.8/22.2       | 0.007  | 1.541       | 0.022|
| ΔAPRI (≥0.02 vs <0.02) | 19.3/22.4       | 0.014  | 1.688       | 0.006|

*ΔAPRI,* postoperative aspartate aminotransferase to platelet ratio index change. A, absent; AFP, α-fetoprotein; CI, confidence interval; F/M, female/male; HR, hazard ratio; L, low differentiation; M, moderate differentiation; P, present; pre-APRi, preoperative aspartate aminotransferase to platelet ratio index; W, well differentiation.
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