Anthropometric measures that identify premature and low birth weight newborns in Ethiopia: a cross-sectional study with community follow-up

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ABSTRACT

Objective To investigate foot length (FL), chest circumference (CHC) and mid-upper arm circumference (MUAC) as predictors of low birth weight (LBW) or prematurity, and to describe the agreement between the gestational age (GA) assessments ascertained by the New Ballard Score (NBS) and the Eregie model.

Methods A hospital-based cross-sectional study with community follow-up in a subset was conducted in Jimma University Medical Center, Ethiopia. GA (NBS and Eregie model), weight, FL, CHC and MUAC were measured at birth. Anthropometrics were repeated at 5 days of age.

Results The optimal cut-offs indicative of LBW were ≤7.7 cm for FL; ≤31.2 cm for CHC and ≤9.8 cm for MUAC. CHC, MUAC and FL identified LBW with sensitivities (95% CI) of 91.6 (86.9 to 95), 83.7 (77.8 to 88.5) and 84.2 (78.4 to 88.9), and specificities (95% CI) of 85.4 (83.3 to 87.4), 90.2 (88.4 to 91.9) and 73.9 (71.3 to 76.4), respectively. CHC, MUAC and FL identified prematurity with sensitivities of 83.8 (76.7 to 89.4), 83.1 (75.9 to 88.9) and 81.7 (74.3 to 87.7), and specificities of 81.1 (78.9 to 83.3), 63.4 (60.7 to 66.1) and 77.0 (74.6 to 79.3), respectively. The cut-offs identified have comparable diagnostic ability for LBW and prematurity when measurements are repeated on day 5 of age. The GA assessment by the NBS and the Eregie model gave similar results, with the mean difference of 1.2 weeks.

Conclusion CHC, MUAC and FL taken on day 1 and 5 after birth could be used as diagnostic tools for LBW or prematurity. The Eregie model for GA estimation gives similar results to the NBS.

INTRODUCTION

Child mortality has declined dramatically in the 20th century worldwide.1 However, the reduction in neonatal mortality has been slow, and neonatal deaths still contribute almost half (41.6%) of all under-5 mortality.2 About one-sixth of all newborns are low birth weight (LBW, <2500 g), which is the single most important underlying risk factor for neonatal death.3 Ethiopia has one of the highest neonatal mortality rates in the world (29 per 1000 live births).4 Similar to other sub-Saharan countries, the proportion of births attended by skilled health personnel is low, so most LBW and preterm newborns are unlikely to be identified and referred for additional lifesaving care.5 Moreover, access to weighing scales is limited in many community settings. Therefore, simple, low-cost instruments that are suitable for resource-limited settings are urgently required, to ensure these vulnerable infants are identified and referred as required for additional care. Simple and reliable anthropometric tools to identify LBW and preterm babies have been proposed by several authors with various cut-off values.6–16 A multicentre study carried out by WHO found that the mean and 10th percentile for the mid-upper arm circumference (MUAC) and chest circumference (CHC) varied by country and ethnic group. Hence, there is a need to identify a suitable anthropometric surrogate and define its cut-off for identifying LBW and prematurity in different populations.17

The determination of gestational age (GA) is most accurate if determined by ultrasound examination in early pregnancy or the date of the last menstrual period.17 However, in a setting where antenatal care is inadequate, this information is not readily available. Several authors have reported different methods for estimating GA based on...
neuromuscular and physical maturity rating. The New Ballard score (NBS) has been widely used clinically in resource-limited settings and may be considered as the gold standard. An alternative measurement, the Eregie model, which has been adapted from the Dubowitz GA assessment, has been evaluated as an appropriate clinical tool for rapid and reliable maturity determination in healthy and sick African newborns. However, there are few studies assessing the accuracy of the Eregie model in determining GA.

OBJECTIVES

The aims of this study were (1) to describe the diagnostic value of FL, CHC and MUAC taken within 24 hours of birth to identify LBW and preterm newborns in Ethiopia; (2) to compare the cut-offs identified for diagnosis based on 24 hours measurement for CHC, MUAC and FL, in detecting LBW or prematurity within the first 24 hours and on day 5 of life and (3) to describe the agreement between the GA assessment ascertained by the NBS and the Eregie model.

METHODS

Study setting and design

This was a hospital-based cross-sectional study with community follow-up in a subset of neonates. The study was undertaken in Jimma University Medical Center, Jimma Town, south-west Ethiopia, the only referral tertiary hospital for this area, which serves a population of 15 million people. Many of the Jimma University Medical Center patients are referred from surrounding rural health centres and regional hospitals. There are approximately 6000 live births each year at the Medical Center.

Study participants

Live-born newborns between December 2014 and November 2016, and whose parents gave informed consent and complete address including phone number, were enrolled in the study (the latter was required for the 5-day follow-up). Newborns that were older than 24 hours and those with major/gross congenital anomalies and dysmorphic features were excluded, as these conditions may affect anthropometric measurements. In addition, mothers who were deemed too unwell were excluded from the study. On day 5 of life, anthropometric measurements were repeated for participants who returned for follow-up.

Study procedures

The study was carried out according to Good Clinical Practice. Standard operating procedures were developed for all study procedures. Recruitment occurred between Monday and Friday each week. The purpose of the study was explained to the parent/guardian in the parent’s/guardian’s native language and witnessed, written informed consent was obtained. For those parents/guardians who were illiterate, a witnessed thumb stamp was obtained. Two on-site paediatricians (NWG, MB) were trained by the principal investigator paediatrician (FR) on how to measure the newborns’ FL, CHC and MUAC. GA was clinically assessed using the NBS and Eregie model. Based on the parameters of Eregie model, six physical characteristics of newborns were examined and scored for signs of maturity (skin, eyes/ears, breast size, genitalia, MUAC and head circumference). As no postnatal assessment of GA has been validated in Ethiopia, the most uniformly used gestational assessment tool, the NBS, was also undertaken as the gold standard. The NBS uses 13 physical and neurological signs to assess maturity. Prematurity was defined as gestational age <37 weeks.

Birth weight was measured with a calibrated digital weighing scale (Salter digital toddler/baby scale, model WS034, UK) to the nearest 10 g. FL was taken to the nearest 0.1 cm using a stiff transparent plastic metric ruler; other anthropometric variables were also measured to the nearest 0.1 cm using a non-elastic, flexible measuring tape. MUAC was measured at the mid-point between the tip of acromion process and olecranon process of the right upper arm. FL was measured from the centre of the heel pad to the middle of the tip of hallux toe on the right foot. CHC was measured at the level of the nipple during expiration. All measurements were done in triplicate; the mean of the measurements was used for analyses. On day 5 of life, CHC, MUAC and FL were measured again on participants who could be located in the community for follow-up. The repeat measurements were considered as in Ethiopia many newborns are born in the community and may not see a community health worker until up to a few days following delivery. We wanted to ensure that results were also valid for this common scenario.

All data were collected on study-specific forms. Data collection forms were checked for logical errors and completeness prior to data entry. Data were doubly entered into EpiData V3.1 and analysed using Stata V14.1.

Data analysis

Continuous variables were presented as means and SDs (or medians and IQRs if not normally distributed), and categorical variables as the number and proportion in each category. Receiver operating characteristic curves were generated to identify the optimal cut-point for each of the anthropometric indicators taken within 24 hours for identifying LBW or prematurity (based on the NBS and the Eregie model) with ≥80% sensitivity. The sensitivity, specificity and positive and negative predictive values for each of the optimal cut-points applied to the data within 24 hours were calculated. The difference in CHC, MUAC and FL taken within the first 24 hours and on day 5 of life was assessed using a paired t-test, with a separate analysis for each measurement. Results are presented as a mean difference, 95% CI and a p value. Finally, we assessed the agreement in the GA estimates ascertained by the NBS and the Eregie model using a Bland-Altman plot of agreement.

Sample size

The required sample size (n=1486) was determined based on the number of newborns necessary to estimate the sensitivity of an anthropometric measurement as a predictor for mortality, the original aim of the study. Assuming 90% sensitivity, the...
Table 1  Demographic and clinical characteristics of the study participants at Jimma University Medical Center, Ethiopia (n=1486)

| Variable                     | Summary statistic                  |
|------------------------------|------------------------------------|
| Sex, n (%)                  | Male 818 (55.0)                    |
| Ethnicity, n (%)            | Oromo 1054 (70.9)                  |
|                             | Amhara 128 (8.6)                   |
|                             | Yem 84 (5.7)                       |
|                             | Kaffa 60 (4.0)                     |
|                             | Davro 57 (3.8)                     |
|                             | Tigry 13 (0.9)                     |
|                             | Other 90 (6.1)                     |
| Mother’s schooling, n (%)   | Illiterate 338 (22.7)              |
|                             | Primary 520 (35.0)                 |
|                             | Secondary 358 (24.1)               |
| Mother’s age in years, mean (SD) | 25.0 (5.0)                      |
| Birth weight (g), mean (SD) | 2974.0 (494.0)                    |
| Low birth weight, n (%)     | 203 (14.6)                         |
| Chest circumference in cm—day 1, mean (SD) | 32.7 (2.3)                      |
| Chest circumference in cm—day 5, mean (SD) | 33.5 (2.5)                      |
| MUAC in cm—day 1, mean (SD) | 10.4 (1.0)                         |
| MUAC in cm—day 5, mean (SD) | 10.0 (1.0)                         |
| Foot length in cm—day 1, mean (SD) | 7.8 (0.5)                       |
| Foot length in cm—day 5, mean (SD) | 7.9 (0.5)                       |
| GA by New Ballard Score, median (IQR) | 39.0 (38.0–40.0)               |
| GA by Eregie model, median (IQR) | 40.0 (40.0–41.0)                |
| Prematurity by New Ballard Score, n (%) | 143 (10.2)                      |
| Prematurity by Eregie model, n (%) | 77 (5.5)                          |

Prematurity, defined as GA <37 weeks. Due to missing data, n ranged between 1390 and 1486 for day 1 variables, and between 132 and 136 for day 5 variables.

RESULTS

A total of 4143 newborns were born during the study period in Jimma University Medical Center, of whom 136 were stillbirth and 16 died immediately after birth. Half of the parents of live-born infants were invited into the study (n=2071) and 1486 newborns were recruited. Day 5 follow-up examination was conducted on 134 participants (figure 1).

Table 1 shows the demographic characteristics of the mothers and their newborns. The mean age of the mothers was 25 years, and the majority (70.9%) were from the Oromo ethnic group. The mean birth weight of the newborns was 2974 g (SD=494 g), with 14.6% being LBW and 55% were male. The median GA by NBS was 39 weeks (IQR 38–40 weeks), while it was 40 weeks (IQR 40–41 weeks) by the Ergie model.

CHC and MUAC were found to be the best anthropometric diagnostic measure for LBW with the highest area under the receiver operating characteristic curve (AUC) (0.94) (95% CI 0.93 to 0.96) and 0.94 (95% CI 0.93 to 0.95), respectively, with a slightly lower AUC for FL, 0.85 (95% CI 0.83 to 0.87). Using FL, CHC and MUAC as a diagnostic tool for prematurity estimated using the NBS gave an AUC of 0.86 (95% CI 0.84 to 0.88), 0.88 (95% CI 0.86 to 0.9), 0.83 (95% CI 0.81 to 0.85), respectively. Prediction of prematurity estimated using the Eregie model had higher AUCs in all the measurements, AUC of 0.93 (95% CI 0.91 to 0.94), 0.94 (95% CI 0.93 to 0.96), 0.93 (95% CI 0.92 to 0.94) for FL; CHC and MUAC, respectively (figure 2).

The optimal cut-points of anthropometric indicators taken within 24 hours of life chosen to have ≥80% sensitivity in predicting LBW and preterm newborns were 7.4–7.7 cm for FL, 30.1–31.4 cm for CHC and 9.5–10 cm for MUAC (table 2).

MUAC had the highest specificity (90.2%), CHC had the highest sensitivity (91.6%) and FL had the lowest specificity (73.9%) for detecting LBW (table 2). Both MUAC and CHC had equally optimal sensitivity for detecting prematurity estimated via the Eregie model (85.7%), with slightly higher specificity with the FL. Applying these cut-points to the measurements taken on day 5, MUAC had the highest sensitivity for detecting LBW (95.2%), while the specificity was lower than that of CHC and FL (70.6%), whereas CHC had the highest specificity (93.1%) (table 3).

On average, FL and CHC were slightly larger on day 5 compared with within 24 hours of life, and MUAC was slightly smaller (table 4). Figure 3 shows a Bland-Altman plot of agreement between the GA assessments determined by the NBS and the Eregie model. The two tools gave similar results, with the NBS estimates of GA being 1.2 weeks lower than the Eregie model estimates on average (95% limits of agreement −4.0 to 1.5).

DISCUSSION

In our study, we found that all three anthropometric parameters (FL, MUAC and CHC) appeared to be useful diagnostic tools for predicting prematurity and low birth weight.
for LBW or prematurity. However, similar to the findings of the WHO collaborative study and a meta-analysis, we found CHC and MUAC to have better predictive ability than FL.15 25 The cut-offs identified for LBW and preterm newborns using CHC in this study were between 30.1 and 31.4 cm, which is similar to studies from Nepal, Uganda, Vietnam and Mekelle, Ethiopia, which were 30.8 cm, 31 cm, between 30 and 30.4 cm and 30.15 cm, respectively,14 16 26 and higher than the cut-offs suggested by WHO collaborative study (with interpretation of <29 cm ‘highly at risk’ and between 29 and 30 as ‘at risk’).15 The cut-offs we identified using the MUAC were between 9.5 and 10 cm, similar to the findings from the WHO collaborative study, but slightly higher than that of study from Vietnam which reported 8.7 and 9 cm and from Turkey which reported 9 cm.15 16 26 The cut-off point for FL identified in the current study was between 7.4 and 7.7 cm, which is similar to the finding from Mekelle, Ethiopia (7.5 cm) and slightly lower than Ugandan and Tanzanian studies, which were 7.9 and 8 cm, respectively.10 14 16

The use of CHC as a surrogate for identifying LBW infants has been recommended in several studies, mainly due to its high sensitivity to diagnose LBW and the simplicity of the procedure as the nipple line makes an obvious landmark for measurement so is less prone to interobserver or intraobserver variability. Similarly, our findings show CHC having the best diagnostic ability for LBW and prematurity, with AUCs of 0.94, 0.88 and 0.94 for LBW, and prematurity assessed using NBS and Eregie model, respectively. Using a cut-off of <31.2 cm for CHC measured within 24 hours of life identified 91.6% of LBW babies and cut-off of <31.4 cm identified 83.8% premature babies, which is like the pooled sensitivity of CHC (88%) in detecting LBW babies reported in a meta-analysis, and the specificity we observed is comparable to the meta-analysis findings (90%).16 The MUAC also appears to have high sensitivity in identifying LBW and correctly identified 83.7% of LBW babies when measured on the first day of life and 95.2% when measured on day 5. Of note, the best diagnostic tool for prematurity appears to be MUAC on day 5, with 100% sensitivity, on both methods of GA assessment used. However, this was only assessed in the subgroup with data at day 5 and the procedure of measuring MUAC is more likely to be associated with higher interobserver variability than FL or CHC as identifying a landmark to measure is not easy compared with the other measurements.15 25 26 FL <7.7 cm taken at birth was found to be 84.2% sensitive and 73.9% specific in diagnosing LBW newborns, which is like the findings from Ugandan and north Ethiopian study with sensitivity and specificity of 85% and 81%; 78.9% and 86.7%, respectively. The sensitivity of CHC (91.6%) in detecting LBW was better than FL and MUAC, with the highest negative predictive value (NPV) (98.4%) and the positive predictive value (PPV) was 96.5%.

| Outcome                        | Sensitivity (95% CI) | Specificity (95% CI) | PPV (95% CI) | NPV (95% CI) |
|--------------------------------|----------------------|----------------------|--------------|--------------|
| Low birth weight (<2500 g)     |                      |                      |              |              |
| FL≤7.7 cm                      | 84.2 (78.4 to 88.9)  | 73.9 (71.3 to 76.4) | 35.4 (31.1 to 39.9) | 96.5 (95.1 to 97.6) |
| CHCs≤31.2 cm                   | 91.6 (86.9 to 95.0)  | 85.4 (83.3 to 87.4) | 51.7 (46.4 to 57.0) | 98.4 (97.4 to 99.0) |
| MUAC≤9.8 cm                    | 83.7 (77.8 to 88.5)  | 90.2 (88.4 to 91.9) | 59.3 (53.3 to 65.1) | 97.0 (95.8 to 97.9) |
| Premature—New Ballard Score    |                      |                      |              |              |
| FL≤7.5 cm                      | 81.7 (74.3 to 87.7)  | 77.0 (74.6 to 79.3) | 28.6 (24.3 to 33.3) | 97.4 (96.2 to 98.3) |
| CHCs≤31.4 cm                   | 83.8 (76.7 to 89.4)  | 80.2 (77.9 to 82.3) | 32.3 (27.6 to 37.4) | 97.8 (96.7 to 98.6) |
| MUAC≤10.0 cm                   | 83.1 (75.9 to 88.9)  | 63.4 (60.7 to 66.1) | 20.4 (17.2 to 23.9) | 97.1 (95.7 to 98.1) |
| Premature—Eregie model         |                      |                      |              |              |
| FL≤7.4 cm                      | 80.5 (69.9 to 88.7)  | 91.4 (89.7 to 92.8) | 35.2 (28.2 to 42.8) | 98.8 (98.0 to 99.3) |
| CHCs≤30.1 cm                   | 85.7 (75.9 to 92.6)  | 90.2 (88.5 to 91.8) | 33.8 (27.2 to 41.0) | 99.1 (98.4 to 99.5) |
| MUAC≤9.5 cm                    | 85.7 (75.9 to 92.6)  | 84.9 (82.8 to 86.8) | 24.8 (19.7 to 30.5) | 99.0 (98.3 to 99.5) |

CHC, chest circumference; FL, foot length; MUAC, mid-upper arm circumference.

Table 3 Sensitivity, specificity, positive predictive values (PPV) and negative predictive values (NPV) with 95% CIs for each outcome and anthropometric measured on day 5 of life (n=123)

| Outcome                        | Sensitivity (95% CI) | Specificity (95% CI) | PPV (95% CI) | NPV (95% CI) |
|--------------------------------|----------------------|----------------------|--------------|--------------|
| Low birth weight (<2500 g)     |                      |                      |              |              |
| FL≤7.7 cm                      | 71.4 (47.8 to 88.7)  | 75.5 (66.0 to 83.5) | 37.5 (22.7 to 54.2) | 92.8 (84.9 to 97.3) |
| CHCs≤31.2 cm                   | 71.4 (47.8 to 88.7)  | 93.1 (86.4 to 97.2) | 68.2 (45.1 to 86.1) | 94.1 (87.5 to 97.8) |
| MUAC≤9.8 cm                    | 95.2 (76.2 to 99.9)  | 70.6 (60.7 to 79.2) | 40.0 (26.4 to 54.8) | 98.6 (92.6 to 100) |
| Premature—New Ballard Score    |                      |                      |              |              |
| FL≤7.5 cm                      | 60.0 (26.2 to 87.8)  | 78.8 (70.3 to 85.8) | 19.4 (7.5 to 37.5) | 95.9 (89.8 to 98.9) |
| CHCs≤31.4 cm                   | 60.0 (26.2 to 87.8)  | 84.7 (77.0 to 90.7) | 25.0 (9.8 to 46.7) | 96.2 (90.4 to 98.9) |
| MUAC≤10.0 cm                   | 100 (69.2 to 100)    | 46.6 (37.4 to 56.0) | 13.7 (6.8 to 23.8) | 100 (93.5 to 100) |
| Premature—Eregie model         |                      |                      |              |              |
| FL≤7.4 cm                      | 62.5 (42.4 to 91.5)  | 93.3 (87.3 to 97.1) | 38.5 (13.9 to 68.4) | 97.4 (92.6 to 99.5) |
| CHCs≤30.1 cm                   | 75.0 (34.9 to 96.8)  | 93.3 (87.3 to 97.1) | 42.9 (17.7 to 71.1) | 98.2 (93.4 to 99.8) |
| MUAC≤9.5 cm                    | 100 (63.1 to 100)    | 67.5 (58.3 to 75.8) | 17.0 (7.6 to 30.8) | 100 (95.5 to 100) |

CHC, chest circumference; FL, foot length; MUAC, mid-upper arm circumference.
51.7%, higher than the report from Bangladesh (8%–19%), and lower than the finding of north Ethiopian study, which reported PPV of 72%; the lower PPV can be explained by the lower prevalence. While the NPV observed in this study was comparable with the other measurements 96%–97% and the finding of north Ethiopian study (PPV of 91%).

Comparison of the measurements taken within 24 hours of life and on day 5 found that on average FL and CHC were slightly larger on day 5 but MUAC was a little smaller. The cut-offs identified within 24 hours has detected LBW and prematurity on day 5, with slight difference in the sensitivity and specificity of the measurements in detecting both LBW or prematurity compared with the measurements within 24 hours.

GA estimation based on ultrasound examination in early pregnancy and the date of the last menstrual period is highly accurate, with systematic errors of less than 1 week compared with postnatal estimates. However, where this information is not available, clinicians often use newborn GA assessments using the Dubowitz or Ballard examinations, which are standardised scoring systems based on the physical and neuromuscular maturity of the infant. These methods of GA estimation are the only currently available options in resource-limited settings with inadequate antenatal care. Newborn estimates tend to overestimate the gestation of infants born at <40 weeks, while underestimating the gestation of infants born at ≥40 weeks. As reported by Marin Gabriel et al, differences of >2 weeks in GA were seen frequently when the agreement of GA assessment by NBS was compared with last menstrual period/ultrasound methods. The Eregie model adapted the Dubowitz score for African newborns, using six parameters, reported to be accurate for rapid clinical assessment. In our study, the NBS was 1.2 points lower than the Eregie scores, on average. Based on the 95% limits of agreement, we would expect the majority of differences between the NBS and Eregie estimates to range between −4 and 1.5. The points on the Bland-Altman plot take on the shape of horizontal band, suggesting that the differences between the NBS and Eregie scores do not systematically differ across the range of GA. As the Eregie model uses fewer parameters for quick evaluation, we propose that until better diagnostics for GA are identified, the Eregie model could be used as an alternative to the NBS in resource-limited settings. However, further validation in other settings is required.

A limitation of this study is the method we used to estimate GA. Prematurity is best assessed by early ultrasound examination, however, in this study NBS and Eregie model were used, as majority of the mothers do not remember their last menstrual period and antenatal ultrasound is not routinely performed at health facilities in Ethiopia, which may introduce an error in estimation of GA.

**Table 4** Comparison of anthropometric measures taken within 24 hours of life and day 5 of life (n=127)

|               | Mean (SD) at day 1 of life | Mean (SD) at day 5 of life | Mean difference (day 1–day 5) | 95% CI         | P value |
|---------------|---------------------------|---------------------------|-----------------------------|----------------|---------|
| FL            | 7.82 (0.49)               | 7.91 (0.49)               | −0.09                       | −0.14 to −0.03 | 0.003   |
| MUAC          | 10.27 (0.89)              | 9.98 (1.04)               | 0.29                        | 0.17 to 0.40   | <0.001  |
| CHC           | 33.02 (2.44)              | 33.42 (2.40)              | −0.40                       | −0.66 to −0.13 | 0.004   |

CHC, chest circumference; FL, foot length; MUAC, mid-upper arm circumference.

**Figure 3** Bland-Altman plot of agreement between the New Ballard Score and the Eregie model for estimating gestational age. Average difference (New Ballard Score minus Eregie model) = −1.2, 95% limits of agreement = −4.0 to 1.5.

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