Spatio-temporal patterns of the effects of precipitation variability and land use/cover changes on long-term changes in sediment yield in the Loess Plateau, China

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Abstract. Within China’s Loess Plateau there have been concerted revegetation efforts and engineering measures since the 1950s aimed at reducing soil erosion and land degradation. As a result, annual streamflow, sediment yield, and sediment concentration have all decreased considerably. Human-induced land use/cover change (LUCC) was the dominant factor, contributing over 70% of the sediment load reduction, whereas the contribution of precipitation was less than 30%. In this study, we use 50-year time series data (1961–2011), showing decreasing trends in the annual sediment loads of 15 catchments, to generate spatio-temporal patterns in the effects of LUCC and precipitation variability on sediment yield. The space–time variability of sediment yield was expressed notionally as a product of two factors representing (i) the effect of precipitation and (ii) the fraction of treated land surface area. Under minimal LUCC, the square root of annual sediment yield varied linearly with precipitation, with the precipitation–sediment load relationship showing coherent spatial patterns amongst the catchments. As the LUCC increased and took effect, the changes in sediment yield pattern depended more on engineering measures and vegetation restoration campaign, and the within-year rainfall patterns (especially storm events) also played an important role. The effect of LUCC is expressed in terms of a sediment coefficient, i.e., the ratio of annual sediment yield to annual precipitation. Sediment coefficients showed a steady decrease over the study period, following a linear decreasing function of the fraction of treated land surface area. In this way, the study has brought out the separate roles of precipitation variability and LUCC in controlling spatio-temporal patterns of sediment yield at catchment scale.

1 Introduction

Streamflow and sediment transport are important controls on biogeochemical processes that govern ecosystem health in river basins (Syvitski, 2003). Changes in soil erosion on landscapes and the resulting changes in sediment transport rates in rivers have great environmental and societal consequences, particularly since they can be brought about by climatic changes and human-induced land use/cover changes (LUCC) (Syvitski, 2003; Beechie et al., 2010). Understanding the dominant mechanisms behind such changes at different timescales and space scales is crucial to the development...
of strategies for sustainable land and water management in river basins (Wang et al., 2016).

In recent decades, streamflows and sediment yields in large rivers throughout the world have undergone substantial changes (Milly et al., 2005; Nilsson et al., 2005; Milliman et al., 2008; Cohen et al., 2014). Notable decreases in sediment yields have been observed in approximately 50% of the world’s rivers (Walling and Fang, 2003; Syvitski et al., 2005). Many studies have investigated the dynamics of streamflows and sediment yields at different spatial and temporal scales (Mutema et al., 2015; Song et al., 2016; Gao et al., 2016). In addition to climate variability, LUCC, soil and water conservation measures (SWCMs) and construction of reservoirs and dams have substantially contributed to the sediment load reductions (Walling, 2006; Milliman et al., 2008; Wang et al., 2011). While previous studies have certainly provided valuable insights into the streamflow and sediment load changes, the distinctive roles of LUCC and precipitation variability in changing sediment loads still need further investigation in large domains and across gradients of climate and land surface conditions (Walling, 2006; Mutema et al., 2015). A particularly useful approach to the development of generalizable understanding of the effects of precipitation variability and LUCC is a comparative analysis approach focused on extracting spatio-temporal patterns of sediment yields based on observations in multiple locations within the same region, or even across different regions. This is especially valuable and crucial in areas with severe soil erosion and fragile ecosystems, e.g., the Loess Plateau (LP) in China, which is the motivation for the work presented in this paper.

The LP lies in the middle reaches of the Yellow River (YR) basin, and contributes nearly 90% of the YR sediment (Wang et al., 2016). The historically severe soil erosion in the LP is due to sparse vegetation, intensive rainstorms, erodible loessial soil, steep topography, and a long agricultural history (Rustomji et al., 2008). To control such severe soil erosion, several SWCMs, including terrace and check-dam construction, afforestation and pasture reestablishment, have been implemented since the 1950s (Yao et al., 2011; Zhao et al., 2017). A large ecological restoration campaign, the Grain-for-Green (GFG) project converting farmland on slopes that exceed 25° to forest and pasture lands, was launched in 1999 (Chen et al., 2015). Furthermore, the climate in the LP region has been showing both warming and drying trends (i.e., increased potential evapotranspiration and reduced precipitation) since the 1950s (Zhang et al., 2016).

These substantial LUCC have notably altered the hydrological regimes of the LP in combination with the climate change. Consequently, the sediment yields within the LP have showed a predictable declining trend over the past 60 years (Zhao et al., 2017), resulting in approximately a 90% decrease in sediment yield in the YR (Miao et al., 2010, 2011; Wang et al., 2016). Many other studies have detected the influences of LUCC and precipitation variability on sediment load changes within the LP. Rustomji et al. (2008) estimated that the contribution of catchment management practices to the decrease in annual sediment yield ranged between 64 and 89% for 11 catchments in the LP during the 1950s to 2000. Zhao et al. (2017) examined the spatio-temporal variation of sediment yield from 1957 to 2012 across the LP, and indicated that the adoption of large-scale SWCMs led to significant reduction of sediment yield between Toudaoguai and Tongguan stations, and large reservoir operation played a critical role in sediment yield reduction between Tongguan and Huayuankou stations. Zhang et al. (2016) pointed out that the combined effects of climate aridity, engineering projects, and vegetation cover change-induced significant reductions of sediment yield between 1950 and 2008. Wang et al. (2016) found that engineering measures for soil and water conservation were the main factors for the sediment load decrease between the 1970s and 1990s, but large-scale vegetation restoration campaigns also played an important role in reducing soil erosion since the 1990s.

On the basis of the outcomes of these studies, it is now generally accepted that the largest reductions of sediment yield within the LP resulted from LUCC. However, this is general knowledge covering the whole region, and given the significant variability of climate and catchment characteristics across the LP (Sun et al., 2015a, b), it is important to go further and explore how these might affect spatio-temporal patterns of sediment yield. Exploration of these patterns is important for sustainable ecosystem restoration and water resources planning and management within the LP. They will also serve as the basis for future research aimed at the development of a more generalizable understanding of landscape and climate controls on sediment yields at the catchment scale.

Most of the sediment yield of the LP was produced in the Coarse Sandy Hilly Catchments (CSHC) region (Fig. 1) located in the central region of the LP. The CSHC supplied over 70% of the total sediment load in the YR, especially coarse sand (Rustomji et al., 2008). This region was the focus of our efforts to investigate the variation of sediment load from 15 catchments within the region within the LP. The specific objectives of this study were, therefore, to (1) attribute the temporal changes in sediment yield to changes in both precipitation variability and LUCC over the entire study period (1961–2011) within the CSHC region, (2) extract spatio-temporal trends in sediment yields on the basis of annual sediment yield data, and (3) separate the contributions of precipitation variability and the fractional area of LUCC to the observed spatio-temporal patterns of sediment yields, and pave the way for more detailed process-based studies in the future.
Figure 1. Location of the studied catchments in the Coarse Sandy Hilly Catchments (CSHC) region within the Loess Plateau.

Table 1. Long-term hydrometeorological characteristics (1961–2011) and growing season leaf area index (LAI) (1982–2011) of the studied catchments in the Loess Plateau.

| ID | Catchment       | Gauging station | Slope (°) | Area (km²) | Annual average |
|----|-----------------|-----------------|-----------|------------|----------------|
|    |                 |                 |           |            | $P$ (mm) | $Q$ (mm) | SSY (t km$^{-2}$) | SC (kg m$^{-3}$) | $C_s$ (t km$^{-2}$ mm$^{-1}$) | LAI |
| 1  | Huangfu        | Huangfu         | 7.8       | 3175       | 388.95 | 36.34 | 11 608.86 | 275.90 | 27.35 | 0.412 |
| 2  | Gushan         | Gaoshiya        | 9.8       | 1263       | 422.49 | 49.55 | 12 398.68 | 189.57 | 25.98 | 0.440 |
| 3  | Kuye           | Wenjiachuan     | 6.3       | 8515       | 394.63 | 59.25 | 90 999.60 | 114.99 | 21.17 | 0.427 |
| 4  | Tuwei          | Gaojiachuan     | 5.8       | 3253       | 402.82 | 97.53 | 44 544.7 | 38.44 | 10.16 | 0.406 |
| 5  | Jialu          | Shenjiawan      | 10.4      | 1121       | 445.51 | 49.22 | 96 454.19 | 142.19 | 20.03 | 0.480 |
| 6  | Wuding         | Baijiachuan     | 6.8       | 29 662    | 384.32 | 36.39 | 30 896.1 | 74.09 | 7.67 | 0.460 |
| 7  | Qingjian       | Yanchuan        | 15.9      | 3468       | 485.58 | 38.93 | 87 477.17 | 190.57 | 17.35 | 0.626 |
| 8  | Yanhe          | Ganguyi         | 15.6      | 5891       | 516.09 | 34.08 | 66 046.90 | 166.31 | 12.45 | 0.920 |
| 9  | Shiwang        | Dacun           | 15.2      | 2141       | 572.16 | 32.99 | 79 889.7 | 20.32 | 1.31 | 3.261 |
| 10 | Qushui         | Linjiaping      | 13.0      | 1873       | 469.02 | 34.83 | 78 182.1 | 185.79 | 15.75 | 0.938 |
| 11 | Sanchuan       | Houdacheng      | 14.6      | 4102       | 486.23 | 50.37 | 34 444.56 | 53.39 | 6.63 | 1.887 |
| 12 | Quchan         | Peigou          | 14.6      | 1023       | 539.73 | 30.24 | 74 922.7 | 192.01 | 13.68 | 0.934 |
| 13 | Xinshiu        | Daining         | 14.0      | 3992       | 529.96 | 29.22 | 30 049.6 | 86.81 | 5.23 | 1.752 |
| 14 | Zhouchuan      | Jixian          | 15.3      | 436        | 530.06 | 30.13 | 49 515.1 | 107.99 | 8.55 | 1.165 |
| 15 | CSHC           | Toudaoguai and Longmen | 10.5 | 129 654 | 437.27 | 33.30 | 39 884.0 | 102.42 | 8.73 | 0.765 |
2 Materials and methods

2.1 Study area

The CSHC region covers the area between the Toudaoguai and Longmen hydrological stations in the main stream of the YR (Fig. 1). The main stream that flows through the CSHC region is 733 km long and its drainage catchment covers $1.297 \times 10^4$ km$^2$, which accounts for 14.8% of the entire YR Basin. The CSHC region is characterized by arid to semi-arid climate conditions. The annual precipitation in the region during 1961–2011 was 437 mm on average, and varied from lower than 300 mm in the northwest to 580 mm in the southeast (McVicar et al., 2007). The precipitation that occurs during the flood season (June–September) is usually in the form of rainstorms with high intensity and accounts for 72% of the annual rainfall total. Correspondingly, about 45% of the annual runoff and 88% of the annual sediment yield within the region are produced during the flood season. The northwestern part of the CSHC is relatively flat, while the southeastern part is more finely dissected (Rustomji et al., 2008).

Fourteen main catchments along a north–south transect within the CSHC study area were chosen for the study (Fig. 1). These catchments account for 57.4% of the CSHC area, and contribute about 70 and 72% of the streamflow and sediment load of the overall CSHC, respectively, based on observed hydrological data during 1961–2011 (Rustomji et al., 2008; Yao et al., 2011). Characteristics of these catchments are presented in Table 1 and Fig. 2, showing that the catchments present strong climate and land sur-
The mean catchment slope gradient based on the ASTER GDEM data with a resolution of 30 m and soil data (scale 1 : 500000) were provided by the National Earth System Science Data Sharing Infrastructure (http://www.geodata.cn). The land use information as at 1975, 1990, 2000, and 2010 was determined with Landsat MSS and TM remote sensing images at a spatial resolution of 30 m. Six land use types were classified, i.e., forestland, cropland, grassland, construction land, water body, and barren land. The LAI data during 1982–2011 were obtained from the Global Land Surface Satellite (GLASS) NDVI Series with a spatial resolution of 1 km (www.landcover.org, Zhao et al., 2013). The total areas impacted by various SWCMs (i.e., afforestation, grass plantation, terraces, and check-dams) in each catchment during the 1960s–2000s were obtained from Yao et al. (2011).

2.3 Trend test

The non-parametric Mann–Kendall (M–K) test method proposed by Mann (1945) and Kendall (1975) was used to determine the significance of the trends in annual meteorological and hydrological time series. A precondition for using the MK test is to remove the serial correlation of climatic and hydrological series. In this study, the trend-tree pre-whitening (TFPW) method of Yue and Wang (2002) was used to remove the auto-correlations before the trend test. There was no residual autocorrelation remaining after performing the TFPW. A Z-statistic was obtained from the M–K test on the whitened series. A negative value of Z indicates a decreasing trend, and vice versa. The magnitude of the slope of the trend ($\beta$) was estimated by (Sen, 1968; Hirsch et al., 1982)

$$\beta = \text{median} \left[ \frac{x_j - x_i}{j - i} \right] \text{ for all } i < j,$$

(1)

where $x_i$ and $x_j$ are the sequential data values in periods $i$ and $j$, respectively.

2.4 Attribution analysis of changes in sediment yield

The time-trend analysis method was used to determine the quantitative contributions of LUCC and precipitation variability to sediment yield changes. This method is primarily designed to determine the differences in hydrological time series between different periods (reference and validation periods) with different LUCC conditions (Zhang et al., 2011). In this method, a regression equation between precipitation and sediment load for the whole region was developed and evaluated during the reference period, and the established equation is then used to estimate sediment yield during the validation period. The difference between measured and predicted sediment yields during the validation period represents the effects of LUCC, and the residual changes are caused by precipitation variability. The governing equations of the time-trend analysis

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method can be expressed as
\[
SSY_1' = f(P_1),
\]
(2)
\[
SSY_2' = f(P_2),
\]
(3)
\[
\Delta SSY^{\text{LUC}} = \overline{SSY_2} - \overline{SSY_1'},
\]
(4)
\[
\Delta SSY^{\text{Pre}} = (\overline{SSY_2'} - \overline{SSY_1'}) - \Delta SSY^{\text{LUC}},
\]
(5)
where \(SSY'\) is the predicted sediment yield, and subscripts 1 and 2 indicate the reference and validation periods, respectively. \(SSY_1\) and \(SSY_2\) represent mean measured sediment yield during the reference and validation periods, respectively, and \(SSY_2'\) represents mean predicted sediment yield during the validation period. \(\Delta SSY^{\text{LUC}}\) and \(\Delta SSY^{\text{Pre}}\) are sediment yield changes during the validation period associated with LUC and precipitation variability, respectively. Rustomji et al. (2008) found that the square root of annual sediment yield in the catchments of the Loess Plateau was linearly related to annual precipitation. This was, therefore, used in this study as the motivation to develop the

Figure 4. Land use and cover of the study area in (a) 1975, (b) 1990, (c) 2000, and (d) 2010.

Figure 5. The changes in soil and water conservation measures area and growing season LAI in the study area.
precipitation–sediment yield relationship during the reference period:

\[ \sqrt{SSY} = aP + b. \]  

(6)

In this study, the full data period of 1961–2011 was divided into three phases (1961–1969, 1970–1999, and 2000–2011). The first period was considered the reference period as the effects of human activities were slight and could be ignored (Wang et al., 2016). During the second stage, numerous SWCMs were implemented. For the third stage, a large ecological restoration campaign (the GFG project) was launched in 1999.

3 Results and discussion

3.1 Changes in land use/cover

The CSHC region has undergone extensive LUCC caused by the implementation of SWCM and vegetation restoration projects (e.g., the GFG project). Figure 4 shows the distribution of land use types of the region in 1975, 1990, 2000, and 2010. More than 90% of the whole area was occupied by the cropland, forestland, and grassland. The area of cropland decreased by 26.72% and forestland increased by 53.15%, and there was no significant change for the area of grassland (increase of 4.21%) in the CSHC region from 1975 to 2010. The majority of changes occurred during 2000–2010 due to the GFG (reforestation) project (26.67% decrease and 36.21% increase for cropland and forestland, respectively). The transition from cropland to forestland was greater in the catchments of the southeastern part (especially in catchment nos. 7–9) than that in the northwestern part (Fig. 4). In the period 1975–2000, the increase in forestland was 26.34 and 4.55% in the southeastern and northwestern parts, respectively, and the change in cropland was negligible (only −0.39 and 0.22%, respectively). During 2000–2010, the forestland increased by 47.79 and 18.30%, and the cropland decreased by 44.84 and 21.04% in the southeastern and northwestern parts, respectively.

The SWCMs implemented in the LP included both biotic treatments (e.g., afforestation and grass-planting) and engineering measures (e.g., construction of terrace and check-dam and gully control projects). Afforestation, grass-planting, and construction of terraces were seen as the slope measures, while building of check-dams and gully control projects were the measures on the river channel. Although the area utilized for engineering measures was much smaller than the biotic treatments, they immediately and substantially trap streamflow and sediment load. The fraction of the treated area (area treated by erosion control measures relative to total catchment area) increased from 3.95% in the 1960s to 28.61% in the 2000s (Fig. 5). The increase in the treated area was greatest during the 1980s as a result of comprehensive management of small watersheds and the 2000s due to the GFG project since 1999. Some decreases in SWCM areas (i.e., afforestation and check-dams) occurred during the 1990s (Fig. 5) as some planted trees died due to drought and some small and medium check-dams were fully deposited by sediment and then subsequently destroyed by floods.

The growing season LAI of the whole region changed from 0.74 during 1982–1999 to 0.81 during 2000–2011, an increase of 10.16% (Fig. 5). The LAI did not show a significant increase during 1982–1999 (0.003 yr⁻¹, p = 0.11), and it increased significantly during 2000–2011 (0.024 yr⁻¹, p < 0.01). The increase in growing season LAI during 1982–2011 was greater for the catchments in the southeastern part (0.009 yr⁻¹) compared to the northwestern part (0.004 yr⁻¹), especially after 2000 (Fig. 6). In the period from 1982–1999 to 2000–2011, the average increase in growing LAI of the 14 sub-catchments was 0.088 yr⁻¹ (0.010–0.183 yr⁻¹), with increases of 0.114 and 0.053 yr⁻¹ in the southeastern and northwestern parts, respectively.

3.2 Trends of hydro-meteorological and sediment yield variables

Table 2 shows the trends in annual \( P \), \( Q \), SSY, SC, and \( C_s \) of the 15 catchments during the period 1961–2011. The annual \( P \) showed a declining trend in all catchments except the Jialu catchment, but the changing trend is only significant in the Xinshui and Zhouchuan catchments (\( p < 0.05 \)). The annual \( Q \), SSY, SC, and \( C_s \) showed significant decreasing trends in all the catchments, and most of the decreases were at the 0.001 significance level. For the 14 sub-catchments, the average decrease rates of annual values of \( Q \), SSY, SC, and \( C_s \) were 0.86 mm yr⁻¹ (0.24–1.66 mm yr⁻¹), 190.06 t km⁻² yr⁻¹ (26.47–398.82 t km⁻² yr⁻¹), 2.73 kg m⁻³ yr⁻¹ (0.69–4.70 kg m⁻³ yr⁻¹), and 0.38 t km⁻² mm⁻¹ yr⁻¹ (0.04–0.87 t km⁻² mm⁻¹ yr⁻¹), respectively. The changing rates of \( Q \), SSY, SC, and \( C_s \) for the whole region were −0.85 mm yr⁻¹, −131.52 t km⁻² yr⁻¹, −2.06 kg m⁻³ yr⁻¹, and −0.27 t km⁻² mm⁻¹ yr⁻¹, respectively. The annual average reductions in the whole region were equivalent to 2.56, 3.30, 2.01, and 3.07% of the mean annual values of \( Q \), SSY, SC, and \( C_s \), respectively.

The mean and the coefficient of variation, \( C_v \), representing inter-annual variability of annual values of \( P \), \( Q \), SSY, SC, and \( C_s \) for the 15 catchments during the three phases (reference period-1, period-2, and period-3) are shown in Fig. 7. Compared to standard deviation, the \( C_v \) value was better able to indicate the inter-annual variability of precipitation, streamflow, and sediment load among the catchments with distinctly different average values. Compared to the reference period, the mean annual precipitation decreased by 11.73% (6.36–15.69%) and 10.64% (5.88–16.7%) on average in period-2 and period-3, respectively. From period-2 to period-3, the change in mean annual precipitation was slight (increased by 1.32% on average) with a decrease of 2.45–
In contrast to annual $C_s$, the reductions of mean annual $Q$, SSY, SC, and $C_s$ were clearly more evident. With respect to the reference period, the reduction was 34.41% (9.45–54.72%), 48.02% (17.98–67.61%), 24.20% (−9.93–47.77%), and 39.31% (4.64–63.5%) for $Q$, SSY, SC, and $C_s$ during period-2, and the decreasing rate was even more in period-3, with values of 64.82% (36.72–84.19%), 88.23% (64.94–97.64%), 67.81% (17.28–91.12%), and 85.85% (63.51–96.97%), respectively. $C_v$ of annual $Q$ increased in eight catchments, with the remaining ones showing decreasing trends (Fig. 7b), while $C_v$ values for SSY, SC, and $C_s$ increased in all catchments (Fig. 7c–e). The above results indicate substantially different behaviors of the changes among precipitation, streamflow, and sediment load.

### 3.3 Quantitative attribution of sediment yield decline

The effects of precipitation change and LUCC on sediment yield reductions in period-2 and period-3 were quantified us-
Figure 7. The changes in (a) precipitation, (b) streamflow, (c) sediment yield, (d) sediment concentration, and (e) sediment coefficient during different stages (1961–1969, 1970–1999, and 2000–2011).

Table 3. The linear regression equations between the square root of specific sediment yield and annual precipitation ($\sqrt{SSY} = aP + b$) during three stages (1961–1969, 1970–1999, and 2000–2011).

| ID | Catchment | Reference period (1961–1969) | | | Period-2 (1970–1999) | | | Period-3 (2000–2011) | | |
|----|-----------|-------------------------------|---|---|---|---|---|---|
|    | Regression equation | $R^2$ | p   | Regression equation | $R^2$ | p   | Regression equation | $R^2$ | p   |
| 1  | $y = 0.341x + 12.041$ | 0.78 | 0.002 | $y = 0.397x - 11.454$ | 0.40 | 0.000 | $y = 0.135x + 5.842$ | 0.12 | 0.277 |
| 2  | $y = 0.349x + 8.237$ | 0.84 | 0.001 | $y = 0.354x - 5.627$ | 0.37 | 0.000 | $y = 0.076x + 10.415$ | 0.09 | 0.344 |
| 3  | $y = 0.323x + 9.939$ | 0.67 | 0.007 | $y = 0.325x - 3.904$ | 0.35 | 0.001 | $y = 0.037x + 8.208$ | 0.03 | 0.564 |
| 4  | $y = 0.218x + 12.635$ | 0.87 | 0.000 | $y = 0.188x + 1.648$ | 0.22 | 0.008 | $y = -0.030x + 27.644$ | 0.03 | 0.613 |
| 5  | $y = 0.382x + 6.976$ | 0.78 | 0.004 | $y = 0.222x + 11.867$ | 0.13 | 0.049 | $y = 0.072x + 7.131$ | 0.03 | 0.616 |
| 6  | $y = 0.174x + 20.544$ | 0.53 | 0.027 | $y = 0.151x + 7.546$ | 0.26 | 0.004 | $y = 0.107x - 1.511$ | 0.17 | 0.182 |
| 7  | $y = 0.232x + 20.923$ | 0.48 | 0.040 | $y = 0.173x + 29.319$ | 0.16 | 0.027 | $y = 0.096x + 8.344$ | 0.05 | 0.522 |
| 8  | $y = 0.243x + 0.741$ | 0.39 | 0.070 | $y = 0.126x + 32.699$ | 0.16 | 0.031 | $y = 0.006x + 39.338$ | 0.00 | 0.973 |
| 9  | $y = 0.070x + 10.935$ | 0.27 | 0.150 | $y = 0.079x - 7.837$ | 0.24 | 0.006 | $y = -0.007x + 9.426$ | 0.01 | 0.769 |
| 10 | $y = 0.257x + 30.738$ | 0.60 | 0.014 | $y = 0.239x - 2.814$ | 0.29 | 0.002 | $y = -0.111x + 72.39$ | 0.06 | 0.448 |
| 11 | $y = 0.191x + 15.053$ | 0.36 | 0.089 | $y = 0.174x - 9.652$ | 0.42 | 0.000 | $y = -0.056x + 37.680$ | 0.06 | 0.432 |
| 12 | $y = 0.202x + 34.590$ | 0.72 | 0.016 | $y = 0.132x + 29.685$ | 0.09 | 0.104 | $y = -0.199x + 119.247$ | 0.11 | 0.300 |
| 13 | $y = 0.202x - 6.593$ | 0.71 | 0.004 | $y = 0.184x - 17.464$ | 0.53 | 0.000 | $y = 0.015x + 16.822$ | 0.01 | 0.823 |
| 14 | $y = 0.207x + 20.226$ | 0.33 | 0.090 | $y = 0.245x - 31.399$ | 0.32 | 0.001 | $y = -0.035x + 26.145$ | 0.06 | 0.460 |
| 15 | $y = 0.218x + 5.689$ | 0.70 | 0.005 | $y = 0.174x + 2.912$ | 0.35 | 0.001 | $y = 0.001x + 24.996$ | 0.00 | 0.994 |

The analysis showed that both decreased precipitation and increased area treated with erosion control measures contributed to the observed sediment load reduction, and that LUCC played the major role. On average, LUCC and precipitation change contributed 74.39 and 25.61%, respectively, to sediment load reduction from the reference period to period-2, with their respective contributions to sediment load reduction from the reference period to period-3 being 88.67 and 11.33%. The effect of LUCC in period-3 was greater than in period-2 as the land use/cover (see Figs. 4–5) and vegetation...
3.4 Spatial–temporal pattern of the impacts of precipitation on sediment yield

The regression equations of $\sqrt{SSY} = aP + b$ are shown in Table 3. The spatial distributions of precipitation–sediment relationships during the three stages are shown in Fig. 9. During the reference period, the correlation between precipitation and sediment yield was significant in 11 catchments ($p < 0.05$) and the coefficient of determination ($R^2$) ranged from 0.48 to 0.87 (Table 3). Furthermore, the precipitation–sediment yield relationship varied from catchment to catchment and showed a spatial pattern. The correlation coefficient between precipitation and sediment yield was greater for catchments in the northwestern part, with an average $R^2$ value of 0.75 and a $p$ value of 0.007 compared to those in the southeastern part, where the average $R^2$ and $p$ values were 0.48 and 0.059, respectively (Table 3). Based on the slopes of the regression equations between annual precipitation and sediment yield, the 14 catchments were classified into four groups (Group-1: $a > 0.3$; Group-2: $0.2 < a < 0.3$; Group-3: $0.1 < a < 0.2$; and Group-4: $0 < a < 0.1$), which indicate that the sediment production capability of annual pre-

Figure 8. Contributions of precipitation and land use/cover to reductions of sediment load from (a) the reference period (P1) to period-2 (P2), (b) the reference period (P1) to period-3 (P3), and (c) period-2 (P2) to period-3 (P3).
Figure 9. Spatial distribution of slope in the regression equation $\sqrt{SSY} = aP + b$ during (a) the reference period (1961–1969), (b) period-2 (1970–1999), and (c) period-3 (2000–2011). SSY is the specific sediment yield, and $P$ is precipitation.

Table 4. The regression models for sediment yield change ($\Delta SSY$) in different stages.

| Period                  | Regression model                                                                 | $R^2$ | $p$   |
|-------------------------|----------------------------------------------------------------------------------|-------|-------|
| Reference period vs. period-2 | $\Delta SSY = -0.135-0.850 \times \Delta \text{Dam}$                        | 0.886 | 0.000 |
| Reference period vs. period-3 | $\Delta SSY = -0.067-0.659 \times \Delta \text{Dam} - 0.081 \times \Delta \text{Pasture}$ | 0.928 | 0.023 |
| Period-2 vs. period-3    | $\Delta SSY = -0.105-0.488 \times \Delta \text{Dam} + 0.058 \times \Delta P - 0.129 \times \Delta \text{Pasture}$ | 0.905 | 0.003 |

$\Delta \text{Dam}$ and $\Delta \text{Pasture}$ are changes in percentage area of check-dams and pasture plantation, respectively. $\Delta P$ is changes in annual precipitation over the two compared periods.

cipitation is different among the catchments (Fig. 9a). The four catchments in the northwestern part (nos. 1–3 and 5) had the greatest regression slopes of $a > 0.3$ (Group-1) and the Shiwang catchment had the lowest regression slope of 0.07 (Group-4). Most of the catchments in the southeastern part were in the second group of $0.2 < a < 0.3$. Overall, the regressed equations were significant for most of the catchments, and were suitable for estimating the relative contributions of LUCC and precipitation variability to sediment yield changes.

Compared to the reference period, the correlation between precipitation and sediment yield during period-2 decreased in the catchments, as indicated by lower $R^2$ values in Table 3. The slopes of the regression lines in the period-2 decreased in most of the catchments with respect to the reference period, except in Huangfu, Gushan, and Kuye catchments, which increased slightly. Furthermore, the spatial patterns of the precipitation–sediment yield relationship during these two periods were somewhat different (Fig. 9a and b). From the reference period to period-2, Jialu catchment moved from Group-1 to Group-2 and five catchments moved from Group-2 to Group-3.

During period-3, the correlation between precipitation and sediment yield was weaker compared to the reference period and period-2 (Table 3). The relationships between precipitation and sediment yield were not significant in all the catchments (Table 3). The slopes of the regression lines during period-3 decreased sharply (Table 3). Six catchments (five in the northwestern part and one in the southeastern part) had negative regression slopes (Fig. 9c). This result indicates that the sediment production capability of annual precipitation decreased greatly during period-3, and the increase in precipitation amount in some catchments did not lead to increased sediment yield. Furthermore, the spatial patterns of the precipitation–sediment relationship during period-3 were clearly different from those during the reference period and period-2 (compare Fig. 9c against Fig. 9a–b). There were only three groups, with two catchments having regression slopes of $0.1 < a < 0.2$, six catchments having regression slopes of $0.1 < a < 0.2$, and six catchments having negative regression slopes.

The aforementioned analysis of the precipitation–sediment yield relationship in different periods clearly indicates that the impacts of precipitation on sediment yield
Table 5. Regression equations between the decadal sediment coefficient and percentage of the area affected by soil and water conservation measures ($SC = -mA_c + n$) in the catchments.

| ID | Catchment | Regression equation | $R^2$ | $p$  |
|----|-----------|---------------------|-------|------|
| 1  | Huangfu   | $y = -0.67x + 45.88$| 0.85  | 0.025|
| 2  | Gushan    | $y = -0.90x + 46.66$| 0.82  | 0.034|
| 3  | Kuye      | $y = -0.83x + 38.32$| 0.89  | 0.017|
| 4  | Tuwei     | $y = -0.48x + 19.94$| 0.98  | 0.002|
| 5  | Jialu     | $y = -1.20x + 53.20$| 0.97  | 0.002|
| 6  | Wuding    | $y = -0.31x + 16.92$| 0.97  | 0.003|
| 7  | Qingjian  | $y = -0.31x + 24.70$| 0.48  | 0.193|
| 8  | Yanhe     | $y = -0.26x + 18.54$| 0.79  | 0.045|
| 9  | Shiwang   | $y = -0.15x + 3.01$ | 0.87  | 0.020|
| 10 | Quishui   | $y = -0.87x + 35.69$| 0.80  | 0.040|
| 11 | Sanchuan  | $y = -0.28x + 13.32$| 0.78  | 0.046|
| 12 | Quchan    | $y = -0.29x + 21.02$| 0.52  | 0.169|
| 13 | Xinshui   | $y = -0.20x + 8.63$ | 0.72  | 0.069|
| 14 | Zhouchuan | $y = -0.61x + 17.89$| 0.61  | 0.118|
| 15 | CSHC      | $y = -0.54x + 17.74$| 0.99  | 0.000|

declined with time. The impacts were different among catchments, with a clear spatial pattern. The effects of precipitation on the sediment yield were greater in the northwestern part compared to those in the southeastern part. The decreased effects of precipitation on sediment yield with time were consistent with the significant reductions of sediment coefficient (Table 2) and the decreased contribution of precipitation to sediment load reduction (25.61 and 11.33% in period-2 and period-3, respectively). During period-2, the LUCC were mainly induced by SWCM, especially engineering measures. During period-3, the combined effects of substantial vegetation cover and conservation measures further weakened the effects of precipitation on sediment load reduction.

3.5 Spatial–temporal pattern of the impacts of land use/cover on sediment yield

In order to quantify the effects of SWCM on sediment load reduction, the relationships between the decadal sediment coefficient and the fraction of area treated with erosion control measures in the 15 catchments were analyzed and the results are presented in Table 5. The decadal sediment coefficient ($SC$) decreased linearly with the fraction of treated land surface area ($A_c$) in all catchments:

$$SC = -mA_c + n.$$  \hspace{1cm} \text{(7)}

The correlations were significant in 11 catchments ($p < 0.05$), with $R^2$ ranging from 0.78 to 0.99 (Table 5). The effects of SWCM on sediment load change show a spatial pattern. The correlation between sediment coefficients and conservation measures were stronger in catchments located in the northwestern part compared to that in the southeastern part (Table 5). Based on the slope of the regression equation between the sediment coefficient and fraction of the treated area, the catchments were classified into three groups in Fig. 10 (Group-1: $0.8 < m < 1.2$; Group-2: $0.4 < m < 0.8$; and Group-3: $0 < m < 0.4$), which indicated that the degree of sediment load impacted by conservation measures was different among the catchments. The average $m$ value was 0.73 and 0.37 for the catchments in the northwestern and southeastern parts, respectively. Half of the catchments in the northwestern part were in Group-1 and the other half were in Group-2, whereas six of the eight catchments in the southeastern part were in Group-3 with the lowest regression slope.

3.6 Discussion

Differences in catchment characteristics, including land use/cover, soil properties, and topography, as well as precipitation characteristics, are clearly the reason for the spatial patterns in the precipitation–sediment yield relationship (Morera et al., 2013; Mutema et al., 2015). The lower vegetation cover was the main reason for the greater effects of precipitation on sediment yield in the northwestern part. In order to fully explore this, the mapping of information of catchment characteristics into sediment yield models and simulations under different climate scenarios would be needed (Ma...
Figure 11. Daily precipitation and sediment load of the Yanhe catchment during the rainy season (May–October) in (a) 2003 and (b) 2004.

Table 6. Pearson correlation coefficients ($r$) and two-tailed significance test values ($p$) between sediment yield and annual precipitation ($P$), number of storms ($N_{\text{storm}}$), and precipitation amount of storms ($P_{\text{storm}}$) during different decades of the CSHC region.

| Decades | $P$ | $N_{\text{storm}}$ | $P_{\text{storm}}$ |
|---------|-----|-------------------|-------------------|
|         | $r$ | $p$               | $r$               | $p$   | $r$ | $p$   |
| 1960s   | 0.772 | 0.015* | 0.808 | 0.008** | 0.718 | 0.029* |
| 1970s   | 0.266 | 0.458 | 0.714 | 0.020* | 0.695 | 0.026* |
| 1980s   | 0.775 | 0.009** | 0.633 | 0.050* | 0.527 | 0.117 |
| 1990s   | 0.865 | 0.001*** | 0.591 | 0.072 | 0.572 | 0.084 |
| 2000s   | 0.118 | 0.715 | 0.006 | 0.986 | 0.138 | 0.669 |

***, **, and * indicate the significance levels of 0.001, 0.01, and 0.05, respectively.

et al., 2014; Achete et al., 2015). In this context, the interannual and intra-annual patterns of variability of precipitation, including the distribution of storm events, may also contribute to the observed spatial patterns of the precipitation–sediment yield relationship.

As LUCC took effect during period-2 and period-3, and despite the much reduced role of precipitation in driving changes in sediment yield, within-year temporal rainfall patterns did play an important role in the observed changes in sediment yield, given that most of the sediment yield was produced during a few key storm events. The correlation between sediment yield and storm events with a daily precipitation amount larger than 20 mm (including storm numbers, precipitation amount of storms) in the CSHC region during different decades was investigated (see Table 6). The analysis showed that the sediment yield was significantly correlated with storm numbers in the 1960s, 1970s, and 1980s ($p < 0.05$), and precipitation amount of storms in the 1960s and 1970s ($p < 0.05$). This result indicated the critical role of storm events in sediment yield, especially during the periods before substantial LUCC took effect.

Looking into this in more detail and taking the Yanhe catchment as an example, the precipitation amount during the rainy season (May–October when sediment load was measured) in 2003 and 2004 was 514.31 and 389.05 mm, respectively, whereas the sediment load in 2004 ($2427.37 \times 10^4$ t)
was about over 4 times that in 2004 \((590.04 \times 10^4 \text{ t})\). As shown in Fig. 11, there were 6 days with precipitation amounts over 20 mm and the maximum daily precipitation amount on 25 August was 27.85 mm in 2003, and the values in 2004 were 5 days and 46.34 mm on 10 August. Furthermore, heavy rainfall events were distributed in every month in 2003, whereas they were concentrated in July and August in 2004. There were five evident peaks of sediment load with the sum of \(1646.24 \times 10^4 \text{ t}\) (67.82 % of annual total) in 2004, especially the one on 10 August which produced \(784.53 \times 10^4 \text{ t}\) of sediment load (32.32 % of annual total) (Fig. 11b). In contrast, there were three peaks of sediment load in 2003, and the maximum value was only \(139.97 \times 10^4 \text{ t}\) (Fig. 11a). Therefore, apart from annual precipitation amounts, within-year rainfall patterns should also be considered when investigating the effects of precipitation on temporal–spatial changes in streamflow and sediment load.

The sediment load reductions in the CSHC region were primarily caused by the LUCC and the implementation of SWCMs. The cropland area decreased by 9733.91 km² (8.73 % of the region’s area) and the forestland area increased by 7662.50 km² (6.87 % of the region’s area) in the region from 1975 to 2010. Most of the increase in forestland area was converted from cropland area induced by the GFG or reforestation project. As a result of the land use change, vegetation cover increased greatly, and it substantially contributed to the decreases in runoff and sediment production. The SWCMs, such as afforestation and engineering measures, were the major interventions in the study area to reduce the runoff–sediment generation from precipitation and to retain streamflow and sediment load within the catchment. Establishing perennial vegetation cover was considered one of the most effective measures to stabilize soils and minimize erosion (Farley et al., 2005; Liu et al., 2014). It was reported that both the runoff coefficient and sediment concentration of catchments in the LP decreased significantly and linearly with the vegetation cover (Wang et al., 2016). The engineering structures mainly included the creation of terrace and building of check-dams and reservoirs, which reduced flood peaks and stored water and sediment within the catchment. There were about 59 874 check-dams in the region which trapped about \(9842 \times 10^4 \text{ t}\) of sediment per year (approximately 19 % of annual sediment yield) during the past 6 decades (Yao et al., 2011). Over time, the effectiveness of engineering measures decreased as they progressively filled with sediments, and vegetation restoration played a greater role in controlling soil erosion.

4 Conclusions

Through analyses of hydrological and sediment transport data, this study has shown long-term decreasing trends in sediment loads across 15 large sub-catchments located in the CSHC region for the period 1961–2011. The study was particularly aimed at extracting spatio-temporal patterns of sediment yield and attributing these patterns to the broad hydro-climatic and landscape controls. The effects of precipitation variability and land use/cover changes on sediment yield were investigated in detail.

Over the study period, the total area undergoing erosion control treatment went up from only 4 % to over 30 %. This included a decrease in cropland by 27 %, and an increase in forestland by 53 % and grassland by 4 % from 1975 to 2010. Over the same period annual precipitation decreased by no more than 10 %. As a result of the erosion control measures, there were major reductions in streamflow (65 %), sediment yield (88 %), sediment concentration (68 %), and sediment efficiency, i.e., annual sediment yield/annual precipitation (86 %) over the entire 50-year period.

The observed data in the 15 study catchments also exhibited interesting spatio-temporal patterns in sediment yield. The study attempted to separate the relative contributions of annual precipitation and LUCC to these spatio-temporal patterns. Before LUCC took effect, the data indicate a linear relationship between the square root of annual sediment yield and annual precipitation in all 15 catchments, with highly variable slopes of the relationship between the catchments, which exhibited systematic spatial patterns, in spite of some scatter. As LUCC increased and took effect, the scatter increased and the slopes of the sediment yield vs. precipitation relationship became highly variable and lost any predictive power. The study then looked at the controls on sediment coefficient instead of sediment yield, thus eliminating the effect of precipitation and enabling a direct focus on landscape controls. The results of this analysis found that the sediment coefficient was heavily dependent on the area under land use/cover treatment, exhibiting a linear decreasing relationship. Even here, there was a considerable variation in the slope of the relationship between the 15 catchments, which exhibited a systematic spatial pattern.

Preliminary analyses presented in this study suggest that much of the sediment yield in the LP may be caused during only a few major storms. Therefore, the seasonality and intra-annual variability of precipitation may play important roles in annual sediment yield, which may also explain the spatial patterns of sediment yield and the effects of the various LUCC. Also, the precipitation threshold for producing sediment yield would have increased greatly as a result of SWCM and vegetation restoration in the LP. Exploration of these questions in detail will require a more physically based model that can account for fine-scale rainfall variability and catchment characteristics. This is the next immediate step in our investigations, and will be reported on in the near future.

Data availability. All the data used in this study are available upon request.
Competing interests. The authors declare that they have no conflict of interest.

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