New Physics search in the processes of the electroweak top quark production

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Abstract. The processes involving single top quark production have an electroweak nature with unique properties. They are very interesting from both theoretical and experimental points of view. The article contains a brief overview of possible “New Physics” manifestations in the single top quark production processes.

1 Introduction

In various aspects the top quark (t) is a very unique object. The top quark is the heaviest elementary particle found so far with a mass slightly less than the mass of the gold nucleus. In comparison with other quarks the difference comes from two experimental facts, namely, a very large top quark mass compared to masses of all other quarks and very small mixing to quarks of the first and second generations. The value of the top quark mass measured is known now with a precision of 0.28% \( M_t = 172.44 \pm 0.48 \text{ GeV} \) [1] being the most precisely known quark mass. Top Yukawa coupling \( \lambda_t = 2^{3/4}\lambda_t^2/m_t \) is very close to unity. The quark mixing in the Standard Model (SM) is encoded in matrix elements of the Cabibbo-Kobayashi-Maskawa matrix. The matrix element \( V_{tb} \) is close to one while the elements \( V_{ts} \) and \( V_{td} \) are significantly smaller than one. These two experimental facts, large mass and small mixing, lead to the conclusion that in SM the top quark decays to W-boson and b-quark with a probability close to 100%. The width of the top quark being calculated in SM at the NLO level [2] is about 1.4 GeV. From one side, the top width is much smaller than its mass, and therefore the top quark is a narrow resonance. From the other side the top width is significantly larger than a typical QCD scale \( \Lambda = 200 \text{ MeV} \). As a result the top quark life time \( (\tau_t \approx 5 \times 10^{-25}\text{s}) \) as predicted by SM is much smaller than a typical time for formation of QCD bound states \( (\tau_{QCD} \approx 1/\Lambda_{QCD} \approx 3 \times 10^{-24}\text{s}) \). Therefore, the top quark decays long before it can hadronize, so top quark containing hadrons does not exist [3]. Thus the top quark provides a very clean source for fundamental information.

Since the top quark decays before hadronization its spin properties are not spoiled. Therefore the spin correlation in top production and decays is an interesting issue of the top quark physics.

Three mechanisms of the single top production are distinguished by the virtuality \( Q_W^2 \) of the W-boson involved: \( t \)-channel \( (Q_W^2 < 0) \), \( s \)-channel \( (Q_W^2 > 0) \), associated \( \text{tW} (Q_W^2 = M_W^2) \). The single top quark production at hadron colliders was considered for the first time in Ref. [4] and later in Ref. [5]–[15]. The authors of Ref. [12, 15] studied the most complete tree-level set of processes in the SM that contribute to the single top quark production. QCD NLO and NNLO corrections to various single top production processes have been calculated in several papers [16]–[29]. In particular, NLO corrections...
to kinematic distributions were presented [20]. The influence of NLO corrections not only to the production but also to the subsequent top quark decay has been studied in Ref. [22, 25]. Potentially important corrections at the threshold region have been resummed up to NNLL accuracy [27]. Monte-Carlo (MC) analyses of the production processes of the single top quark allowing to extract it from main backgrounds were performed in Ref. [15, 19].

In the single top quark $t$-channel and $s$-channel processes in the SM top quark is produced through the left-handed current interaction. The production is very similar to the top decay process turned backward in time. For the polarized top decay, it is well-known that the charged lepton tends to point along the direction of top spin [30]. In the production process this is the direction of the initial $\bar{d}$-quark for the $s$-channel, and the dominant direction of the final $\bar{d}$-quark for the $t$-channel. Therefore, in the top quark rest frame there is strong correlation in the angle of produced lepton with respect to one of the above directions [31, 32]:

$$\sigma_d \sigma_d \cos \theta^*_{\ell} = \frac{1}{2} (1 + \cos \theta^*_{\ell}).$$

Spin properties in the $tW$ production process are more involved. Here, in order to maximize spin correlations, one can find a kinematic region in which top quarks are produced with the polarization vector preferentially close to the direction of the charged lepton or the $d,s$-quark momentum from the associated $W$ decay. In this kinematic region, the direction of the produced charged lepton or the $d,s$-quark should be as close as possible to the direction of the initial gluon beam in the top quark rest frame [32].

Due to unique properties physics of the single top quark will be an important part of research programs for all future hadron and lepton colliders and will include studies of top quark properties, various new physics channels, and kinematical characteristics of top quark events as significant backgrounds to a number of other processes.

Many details of theoretical studies and experimental analysis of single top production and decay properties could be found in a number of review papers Ref. [33]–[39].

2 Manifestation of “New Physics” in single top quark production processes.

Since the top is so heavy and point-like at the same time one might expect possible deviations from the SM predictions more likely occur in the top sector.

Single top processes are extremely interesting for different types of possible manifestations of “New Physics”. In general, two main situations are possible depending on relations between characteristic collision energy and thresholds of possible new states. If the collision energy is smaller than the production threshold $E_{\text{collisions}} < E_{\text{threshold new states}}$ new states can not be produced directly and “New Physics” may manifest as deviations in production cross sections and kinematic distributions due to possible anomalous couplings or the interference of new resonances with the SM contribution below thresholds. In the case of single top, the anomalous couplings could be anomalous $Wtb$ and/or FCNC couplings. If the collision energy is greater than the production threshold $E_{\text{collisions}} > E_{\text{threshold new states}}$ new states can be produced directly in the production or in the decay of the top quark. Such states are predicted in many extensions of the SM and could include additional heavy vector ($W'$) or heavy scalar ($H'$) bosons decaying to top and bottom quarks or rather light not yet excluded charged scalars appearing in the top quark decay.

3 Anomalous Wtb couplings

Generic parametrisation of the anomalous couplings follows from the effective Lagrangian approach [40]. There are number of dimension 6 effective operators which preserve the SM gauge
invariance [41, 42] and lead to modifications of Wtb vertex. The effective Wtb vertex has the following form [43]:

\[
\mathcal{L} = \frac{g}{\sqrt{2}} V_{tb} \bar{b} \gamma^\mu (f^L_t P_L + f^R_t P_R) t W^-_\mu - \frac{g}{\sqrt{2}} b V_{tb} \sigma^{\mu\nu} \partial_\nu W^-_\mu \frac{M_W}{M_W} (f^L_t P_L + f^R_t P_R)t + h.c. \tag{1}
\]

where \(M_W\) is the mass of the W boson, \(V_{tb}\) is the Cabibbo-Kobayashi-Maskawa matrix element, \(P_{L,R} = \frac{1+\gamma_5}{2} (\gamma_\mu \gamma_\nu - \gamma_\nu \gamma_\mu)\), \(g\) is the coupling constant of the weak interaction, the form factor \(f^L_t\) \((f^R_t)\) represents the left-handed (right-handed) vector coupling, and \(f^L_t\) \((f^R_t)\) represents the left-handed (right-handed) tensor coupling. The anomalous couplings \(f^L_t\), \(f^R_t\), \(f^L_f\), \(f^R_f\) are related to the constants in front of the effective operators [41] in the following way:

\[
|f^L_t| = 1 + |C^{(3,3+3)}_{\phi q}| \frac{v^2}{V_{tb} A^2}, \quad |f^R_t| = \frac{1}{2} |C^{33}_{\phi d}| \frac{v^2}{V_{tb} A^2}, \quad |f^L_f| = \sqrt{2} |C^{33}_{dW}| \frac{v^2}{V_{tb} A^2}, \quad |f^R_f| = \sqrt{2} |C^{33}_{uW}| \frac{v^2}{V_{tb} A^2}. \tag{2}
\]

Note that the couplings \(C\) are naturally of the order of unity. Therefore, one may expect the natural value of \(f\) couplings of the order of \(1/\Lambda\) which is about 0.05 or less. The theoretical estimations performed in Refs. [44–47] have shown the Tevatron and the LHC collider potentials showing the natural size of the parameters which could be achieved. The expected limits could not be much better because uncertainties are dominated by systematics. Expected bounds could be improved by a factor of \(2/3\) at a Linear Collider especially if the \(e\gamma\)-collision mode will be realised [48, 49].

In the single top processes the Wtb anomalous couplings contribute to the production and to the subsequent decay of produced top quark, and also change the total width of the top quark. The resulting dependence of the signal process on the anomalous parameters is more complicated than a simple polynomial structure. Such a dependence affects spin correlations between production and decay and can be exploited in experimental analysis. For example, anomalous operators are detectable in the W boson helicity fractions in the decay of top quarks [50, 51]. This property is used in many LHC measurements of W boson helicity fractions [52–54]. The tightest limits coming from W boson helicity fractions are obtained by the ATLAS Collaboration [52] at 7 TeV: \(-0.20 < f^R_t < 0.23\), \(-0.14 < f^L_t < 0.11\), \(-0.08 < f^R_f < 0.04\), and by the CMS Collaboration [55]: \(-0.05 < f^R_f < 0.03\).

The recent and most tight direct limits\(^1\) on the anomalous couplings \(f^L_t\) and \(f^R_t\) in Wtb vertex are achieved by the CMS Collaboration in the single top quark production process \((pp\) collisions at \(\sqrt{s} = 7\) \(\text{TeV}\)) [57]. New method of modelling of the anomalous interactions in this analysis is performed using auxiliary vector fields [58]. The resulting CMS limits are: \(|f^L_t| > 0.90\), \(|f^R_t| < 0.34\), \(|f^L_f| < 0.09\).

The best direct limit on the \(f^R_t\) coupling in Wtb vertex is obtained from combination of measurements in the single and the pair top quark production processes on the Tevatron by the D0 Collaboration [59]: \(|f^R_t| < 0.35\). There are also results for \(f^R_t\) and \(f^L_t\) couplings: \(|f^R_t| < 0.55\), \(|f^L_t| < 0.22\). Assuming the scale \(\Lambda = 1\) \(\text{TeV}\) these limits translate to the corresponding limits on the anomalous operators to be \(|C^{(3,3+3)}_{\phi q}| < 14.7\), \(|C^{33}_{\phi d}| < 18.0\), \(|C^{33}_{dW}| < 2.5\), and \(|C^{33}_{uW}| < 4.1\).

### 3.1 FCNC top quark anomalous couplings

FCNC top quark anomalous couplings can be probed in the production or in the rare decays of top quark [33]. In particular, \(tug\) and \(tcg\) FCNC couplings may affect the single top production rate,\(^1\)Indirect limits on the anomalous Wb couplings follow from measurements of the \(b \rightarrow s\gamma\) decays[56], however, the limits are obtained under the assumption that there are no other BSM contributions in the loop.
what was exploited at the Tevatron and the LHC to set the corresponding limits. The gauge invariant
effective Lagrangian describing the top FCNC interactions has the following form:

\[
L_{\text{FCNC}} = \frac{1}{\Lambda} \left[ \kappa_{\gamma Z}^{q} q F_{\gamma Z}^{\mu \nu} + \kappa_{\gamma}^{q} g g^{\mu \nu} \bar{q} q \Lambda_{\gamma}^{i} G^{i}_{\mu \nu} + \kappa_{g}^{q} \bar{q} q g^{\mu \nu} \right] + h.c. \tag{3}
\]

where \( q = u \) or \( c \), with \( u \), \( c \) and \( t \) representing the quark fields; \( \kappa_{\gamma Z}^{q} \) and \( \kappa_{\gamma}^{q} \), \( \kappa_{g}^{q} \) define the strength of couplings with the photon, \( Z \) and gluon, respectively; \( g_{s} \) and \( \lambda_{i} \) are the strong coupling constant and color matrices; \( G^{i}_{\mu \nu} \) is the gauge field tensor of the gluon. From the above effective Lagrangian one easily obtains the partial rare decay widths:

\[
\Gamma(t \rightarrow q g) = \left( \frac{\kappa_{g}^{q} \Lambda_{\gamma}^{i}}{\Lambda} \right)^{2} \frac{8 \pi}{3} \alpha_{s} m_{t}^{3}.
\]

The partial width is directly proportional to the ratio \( \left( \frac{\kappa_{g}^{q} \Lambda_{\gamma}^{i}}{\Lambda} \right)^{2} \), therefore various authors and collaborations present limits either in terms of constants \( \kappa \) or in terms of the corresponding branching ratio. The representative diagrams contributing to various processes [60–62] of single top production due to presence of FCNC interactions are shown in Fig. 1. The model independent analysis based on the signal diagrams in

![Representative Feynman diagrams with FCNC tqg couplings.](image)

**Figure 1.** The representative leading order Feynman diagrams with FCNC tqg couplings.

Fig. 1 corresponds to the case when an extra hard jet is detected in association with the top quark. The most recent results on FCNC anomalous coupling limits in terms of both couplings and branchings are presented in Table 1. The accumulated luminosities for each of the analysis are listed explicitly in the table. One should stress the best current limits are far above very small SM value for the decay branching ratio \( BR^{M}(t \rightarrow cg) \approx 5 \times 10^{-11} \), however, being in the interesting range for some extensions of the SM [33].

|                  | \( \kappa_{\gamma Z}^{q} / \Lambda, \text{TeV}^{-1} \) | \( \kappa_{\gamma}^{q} / \Lambda, \text{TeV}^{-1} \) | \( Br(t \rightarrow u g) \) | \( Br(t \rightarrow c g) \) |
|------------------|---------------------------------|---------------------------------|-----------------|-----------------|
| D0 (1.96 TeV, 2.3 fb⁻¹)[63] | \( 1.3 \times 10^{-2} \) | \( 5.7 \times 10^{-2} \) | \( 2.0 \times 10^{-4} \) | \( 3.9 \times 10^{-4} \) |
| CDF (1.96 TeV, 2.2 fb⁻¹)[64] | - | \( 5.7 \times 10^{-2} \) | \( 5.7 \times 10^{-3} \) | - |
| ATLAS (7 TeV, 2.05 fb⁻¹)[65] | \( 6.9 \times 10^{-3} \) | \( 1.6 \times 10^{-2} \) | \( 5.7 \times 10^{-5} \) | \( 2.7 \times 10^{-4} \) |
| ATLAS (8 TeV, 20 fb⁻¹)[66] | \( 5.8 \times 10^{-3} \) | \( 1.3 \times 10^{-2} \) | \( 4.0 \times 10^{-3} \) | \( 1.7 \times 10^{-4} \) |
| CMS (7 TeV, 5 fb⁻¹)[57] | \( 1.8 \times 10^{-2} \) | \( 5.6 \times 10^{-2} \) | \( 3.6 \times 10^{-4} \) | \( 3.4 \times 10^{-4} \) |

### 3.2 Top quark in the decay of new charged vector boson \( W' \)

Single top could be produced in the decay of new charged vector (\( W' \)) and observed as \( s \)–channel resonance decaying to the top and bottom quarks. Many extensions of the SM, in particular, composite models, modern variants of Technicolor models, superstrings inspired and Grand Unification models, models with extra dimensions, Left-Right symmetric models etc., predict an existence of such new vector boson (bosons) \( W' \). In searches for \( W' \) in a model independent way, the effective Lagrangian
approach is used. Corresponding effective Lagrangians have the following form, neglecting higher-dimensional structures:

$$\mathcal{L} = \frac{V_{q_i q_j}}{2 \sqrt{2}} g^w q_i q_j \gamma_\mu (a^R_{q_i q_j} (1 + \gamma^5) + a^L_{q_i q_j} (1 - \gamma^5)) W'^\mu q_j + \text{H.c.}$$

(4)

where $a^R_{q_i q_j}, a^L_{q_i q_j}$ - left and right couplings of $W'$ to quarks, $g^w = e/(s_w)$ is the SM weak coupling constant and $V_{q_i q_j}$ is the SM CKM matrix element. The notations are taken such that for so-called SM-like $W'$ $a^L_{q_i q_j} = 1$ and $a^R_{q_i q_j} = 0$. For the $W'$ searches the limits on $W'$ mass depend on both left and right couplings $a^L, a^R$, and for the case of right-handed interaction of $W'$ they depend on a relation between the $W'$ mass and the mass of possible right-handed neutrino $\nu_R$. If the decay of $W'$ to the right-handed neutrino is kinematically allowed, the branching ratio of $W'$ decay to top and bottom quarks is smaller and corresponding mass limits are expected to be worse. The NLO corrections in the $s$-channel $W'$ production with subsequent decay were computed in Ref. [67] and an influence of $W'$–$W^{\text{SM}}$ interference was demonstrated in Ref. [68]. In the case when the $W'$ is a first KK state in models with extra deminsions, an additional interference with the rest of KK tower should be taken into account Ref. [69]. The 95% C.L. limits obtained by the Tevatron at $\sqrt{s} = 1.96$ TeV and the LHC collaborations at $\sqrt{s} = 7, 8$ and 13 TeV are collected in the Table 2 for the cases when the couplings $a^L, a^R$ are equal to 1, 0 (Left), 0, 1 (Right) and 1, 1 (Left, Right).

### Table 2. Observed 95% C.L. limits on the lowest bound of the mass of $W'$ boson.

| Scenario     | $M_{W'}$(Left) | $M_{W'}$(Right), $M_{W'} > M_{\nu_R}$ | $M_{W'}$(Right), $M_{W'} < M_{\nu_R}$ | $M_{W'}$(Left, Right), $M_{W'} > M_{\nu_R}$ |
|--------------|----------------|---------------------------------------|---------------------------------------|---------------------------------------------|
| D0 (1.96 TeV)[70] | 863 GeV        | 885 GeV                               | 890 GeV                               | 916 GeV                                    |
| CDF (1.96 TeV)[71]  | 800 GeV        | 825 GeV                               |                                        |                                            |
| CMS (8 TeV)[72]   | 1.92 TeV       | 2.15 TeV                               | 2.02 TeV                               | 2.15 TeV                                    |
| ATLAS (8 TeV)[73]  | 1.70 TeV       |                                        |                                        | 1.92 TeV                                    |

#### 3.3 Charged Higgs boson and top quark

Charged Higgs bosons appear if the Higgs sector includes two (or more) isodoublets. The most known is the two-Higgs-doublet model (THDM) [?] and its special case, the effective Higgs potential of the Minimal Supersymmetric Standard Model (MSSM) [?] with Yukawa sector of Type-II. Five physical scalar fields appear in the two-doublet models: two CP-even Higgs bosons $h$ and $H$, a CP-odd Higgs boson $A$ and two charged Higgs bosons $H^\pm$. If Yukawa sector of the model is Type-II, which is the case of MSSM, the couplings of the charged Higgs boson to u-type quarks are proportional to cot$\beta$ and the couplings to the d-type quarks and charged leptons are proportial to tan$\beta$ ($\tan \beta = v_2/v_1$, $\sqrt{v_1^2 + v_2^2} = v = 246$ GeV). Charged Higgs interaction Lagrangian can be written as

$$\mathcal{L} = \frac{g^w V_{q_i q_j}}{2 \sqrt{2}} H^+ q_i \left[ q^j_L \left( \frac{1 - \gamma^5}{2} \right) + q^j_R \left( \frac{1 + \gamma^5}{2} \right) \right] q_j$$

(5)

The LEP2 experiments defined a model-independent lower limit of about 80 GeV on the charged Higgs mass [74] at a 95% CL.

If the top quark can decay through the channel $t \to H^+ b$, so the mass of the charged Higgs boson is smaller than $m_t - m_b$, main single charged Higgs boson production mechanism is $t \bar{t} \to H^+ W^- b \bar{b}$
when the top decays to $H^+t$ and the antitop decays to $W^−b$. In the MSSM scenarios frequently considered [75] for moderate $\tan\beta$ the charged Higgs decays to $τ^+ν_τ$. The ATLAS and CMS experiments determined an upper limits on $BR(t → H^+b)$ with the following decay $H^+ → τ^+ν_τ$, $τ^+$ and $W^−$ decay hadronically. 95% CL upper limits on $BR(t → H^+b) × BR(H^+ → τ^+ν_τ)$ in the mass range $m_{H^±} = 80 \div 160$ GeV on the level 0.2±1.2% have been set by ATLAS experiment [76] using $\sqrt{s} = 8$ TeV data. For the $ττ$ and $μτ$ final states 95% CL upper limit of $3\pm9\%$ for $BR(t → H^+b)$ have been found by ATLAS and CMS experiments in the mass range $m_{H^±} = 80 \div 160$ GeV assuming $BR(H^+ → τ^+ν_τ) = 1$ at the energy $\sqrt{s} = 7$ TeV [77]. In the hadronic decay mode $H^+ → c\bar{s}$ which is dominant for small $\tan\beta$ the upper limit on the level of $1\pm5\%$ (95% CL) have been set by ATLAS experiment on $BR(t → H^+b)$ assuming that $BR(H^+ → c\bar{s}) = 1$ at the energy $\sqrt{s} = 7$ TeV [78].

If the charged Higgs boson mass exceeds $m_t - m_b$, the main production mechanism is the bottom-top fusion (for the four-flavor scheme and for the five-flavor scheme). In the four-flavor scheme there are no $b$-quark PDF’s causing a double-counting removal procedure [79] similar to the procedure for single top quark production. The contributions of the four- and the five-flavor schemes are calculated at NLO and combined using so-called "Santander matching scheme" [80]. In a number of popular MSSM scenarios the $H^+ → τ^+ν_τ$ mode dominates at moderate masses of $m_{H^±} ≈ 200 \div 220$ GeV. For large values of $m_{H^±}$ and large $\tan\beta$ the channel $H^→ tb$ becomes dominant. However, the contribution of $H^+ → τ^+ν_τ$ is non-negligible allowing one to set an upper limit of 0.004±0.8 pb on $σ(pp → tH^+ ) × BR(H^+ → τ^+ν_τ)$ for $m_{H^±} = 200 \div 1000$ GeV using ATLAS data at the energy $\sqrt{s} = 8$ TeV [76]. First results of the CMS experiment on the direct charged Higgs boson production ($m_{H^±} > m_t - m_b$) in the $H^+ → t\bar{b}$ at the energy $\sqrt{s} = 8$ TeV can be found in [81].

The available experimental limits for the charged scalar Higgs particle decaying to top and bottom quarks are published by D0 collaboration [82]. The mass limits are given in the mass range greater than 180 GeV ($m_{H^±} > m_t + m_b$) since lower mass range is covered with better precision from the top pair production with the top decay to the charged Higgs and $b$-quark. The limits strongly depend on the ratio of two Higgs vacuum expectation values $\tan\beta$ and the type of 2HDMs (Type I, Type II and Type III).

### 4 Conclusions and outlook

The top quark, being the heaviest elementary particle discovered so far, has been observed first in the pair-production process and later in the single production mode. Single top quark registration at the Tevatron and then at the LHC is an important confirmation of the structure of the electroweak part of the Standard Model. The single top production cross sections measured at the Tevatron and the LHC are in a reasonable agreement with the SM computations including NLO and in several cases NNLO corrections. The single top production is a powerful tool to search for a delicate deviations from the SM. No such deviations have been observed yet but the limits on the anomalous $Wtb$ and FCNC top quark couplings, as well as on the parameters of new vector and scalar bosons appearing in the top quark production or its subsequent decays have been reliably extracted. The mass limits for new resonances are expected to be much higher with increasing of accumulated statistics at nominal LHC energy and in future LHC runs with higher luminosity and energy. While the limits on anomalous couplings will be dominated by systematic uncertainties and therefore might be improved only by a factor of two-three, much tighter limits at a percentage level of accuracy could be achieved at a future Linear Collider.
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