Improving the Colloidal Stability of Temperature-Sensitive Poly(N-isopropylacrylamide) Solutions Using Low Molecular Weight Hydrophobic Additives

Nadia Velychkivska,† Larisa Starovoytova,† Václav Březina,‡ Lenka Hanyková,‡† Jonathan P. Hill,*§† and Jan Labuta*‡

†Department of NMR Spectroscopy, Institute of Macromolecular Chemistry AS CR, v.v.i., Heyrovský Sq. 2, Prague 6 162 06, Czech Republic
‡Faculty of Mathematics and Physics, Department of Macromolecular Physics, Charles University, V Holesovičká 2, 180 00 Prague 8, Czech Republic
§National Institute for Materials Science (NIMS), International Center for Materials Nanoarchitectonics (WPI-MANA), 1-1 Namiki, Tsukuba, Ibaraki 305-0044, Japan

ABSTRACT: Poly(N-isopropylacrylamide) (PNIPAM) is an important polymer with stimuli-responsive properties, making it suitable for various uses. Phase behavior of the temperature-sensitive PNIPAM polymer in the presence of four low-molecular weight additives tert-butylamine (t-BuAM), tert-butyl alcohol (t-BuOH), tert-butyl methyl ether (t-BuME), and tert-butyl methyl ketone (t-BuMK) was studied in water (D2O) using high-resolution nuclear magnetic resonance (NMR) spectroscopy and dynamic light scattering. Phase separation was thermodynamically modeled as a two-state process which resulted in a simple curve which can be used for fitting of NMR data and obtaining all important thermodynamic parameters using simple formulas presented in this paper. The model is based on a modified van ‘t Hoff equation. Phase separation temperatures \( T_p \) and thermodynamic parameters (enthalpy and entropy change) connected with the phase separation of PNIPAM were obtained using this method. It was determined that \( T_p \) is dependent on additives in the following order: \( T_p(t\text{-BuAM}) > T_p(t\text{-BuOH}) > T_p(t\text{-BuME}) > T_p(t\text{-BuMK}) \). Also, either increasing the additive concentration or increasing \( pK_a \) of the additive leads to depression of \( T_p \). Time-resolved \(^1\text{H} \) NMR spin−spin relaxation experiments \((T_2)\) performed above the phase separation temperature of PNIPAM revealed high colloidal stability of the phase-separated polymer induced by the additives (relative to the neat PNIPAM/D2O system). Small quantities of selected suitable additives can be used to optimize the properties of PNIPAM preparations including their phase separation temperatures, colloidal stabilities, and morphologies, thus improving the prospects for the application.

1. INTRODUCTION

Stimuli-responsive polymers, also called “smart polymers”, are a group of materials which are responsive to external stimuli due to variations in their hydrophilic−hydrophobic characters.1−5 Temperature, solvents, salts, \( pH \), electromagnetic radiation, chemical, or biological agents are all potential stimuli which can trigger a response,6−7 and there are also a variety of responses, including conformational change, micelle formation, dissolution/precipitation, or variations in optical or electrical properties. Temperature-responsive polymers are well-known for their unique property to undergo phase separation upon temperature decrease (upper critical solution temperature behavior—UCST) or temperature increase (lower critical solution temperature behavior—LCST).7−16

The phenomenon of phase separation is due to a coil−globule transition, whereby the expanded coils of polymer chains undergo a transition to compact polymer globules. At the molecular level, phase separation in solutions is considered to be a macroscopic manifestation of a coil−globule transition followed by further aggregation and formation of colloidal stable mesoglobules.4,17,18 Phase separation is associated with variations in the balance between several types of interactions, including hydrogen bonds and hydrophobic interactions.4 Prolonging the colloidal stability of phase-separated polymers is an important issue in applications such as controlled release, drug delivery, bioseparation, and diagnostics. It is still unclear, for some polymers, what is the main driving force behind their high colloid stability in thermodynamically unfavorable media.4

Poly(N-isopropylacrylamide) (PNIPAM) is a well-known temperature-responsive polymer with LCST at approximately 32 °C,19 close to human body temperature. This makes PNIPAM-based systems interesting for various biomi-
cal[1,2,6,7,20–26] and technological[8] applications. PNIPAM and its copolymers have been widely studied by means of light-scattering techniques,[19,27] Fourier transform infrared spectroscopy,[3,28] refractometry,[29] pressure perturbation calorimetry,[30] differential scanning calorimetry,[31–33] isothermal titration calorimetry,[34] and nuclear magnetic resonance (NMR) spectroscopy.[10,27,28,33,35,36] The presence of low-molecular weight compounds such as salts[37] or surfactants[38] can shift the phase equilibrium of PNIPAM to higher or lower temperatures. PNIPAM can also undergo phase separation at a constant temperature by changing the ratio of two polar solvents (e.g., water–methanol, water–ethanol, and water–tetrahydrofuran), the so-called co-nonsolvency effect.[15,39,40] These properties make PNIPAM attractive for various applications.

In this work, we provide a comparative analysis of the effects of low-molecular weight hydrophobic additives on the phase separation of PNIPAM. In particular, the behavior of PNIPAM in response to small quantities of tert-butyl alcohol (t-BuOH), tert-butylamine (t-BuAM), tert-butyl methyl ether (t-BuME), and tert-butyl methyl ketone (t-BuMK) additives has been investigated (Figure 1). NMR spectroscopy was used as the main technique for the analysis of the effects of additives on phase behavior with phase separation being modeled as a two-state dynamical process. The NMR data were rationalized in terms of a modified van’t Hoff equation fitted to experimental data. This approach allows determination of thermodynamic parameters such as the variations in enthalpy and entropy associated with phase separation. [10,41–43]

2. RESULTS AND DISCUSSION

Examples of NMR spectra of PNIPAM (5 wt % in D2O) in the presence of the additives studied (2 wt % in D2O) below (22 °C) and above (∼41 °C) the phase separation temperature are shown in Figure 2. The spectra clearly indicate that after phase separation, the resonances due to PNIPAM (a, b, c, and d resonances in Figure 2) disappear. This effect is connected with the low mobility of PNIPAM globular structures above phase separation.

Figure 1. Chemical structures of temperature-sensitive PNIPAM and the additives used in this study: tert-butylamine (t-BuAM), tert-butyl alcohol (t-BuOH), tert-butyl methyl ether (t-BuME), and tert-butyl methyl ketone (t-BuMK).

Figure 2. 1H NMR (600.2 MHz) of PNIPAM (wP = 5 wt %, D2O) below (at 22 °C) and above (at ca. 41 °C) the phase separation temperature in the presence of wadditive = 2 wt % of additives: (a) t-BuAM, (b) t-BuOH, (c) t-BuME, and (d) t-BuMK. Resonance assignments of PNIPAM and all additives are also shown for each case.

Figure 3a shows the 1H NMR spectra measured during heating at small temperature steps either side of the phase separation temperature. A gradual decrease in the resonance intensity due to PNIPAM can be observed. To perform the quantitative analyses of the phase separation, the value of the phase-separated fraction of PNIPAM units p(T) was calculated using the following formula.[10,41–43]

\[
p(T) = 1 - \frac{I(T)}{I_0(T_0)} = 1 - \frac{T}{T_0} \frac{I(T)}{I_0(T)}
\]  

(1)

where \(I_0(T_0)\) is temperature-dependent integrated intensity of the NMR resonance corresponding to polymers below the phase separation temperature (usually determined at \(T_0 = 20\) °C).
polymer units in the globular form (rather compact rigid structures). The transition between these two states (coil–globule transition) is described by an equilibrium constant $K$, which is defined as the ratio of separated $N_{\text{separated}}$ and separable (but not separated yet) $N_{\text{separable}}$ PNIPAM units.

$$K = \frac{N_{\text{separated}}}{N_{\text{separable}}}$$  \hspace{1cm} (2)

This model also takes into account that some polymer chains are not separable and remain in a coil state even at high temperatures (often attributed to the low molecular weight fractions of the polymer\textsuperscript{44}), reflected by $N_{\text{inseparable}}$ (i.e., number of inseparable PNIPAM units). The fraction of phase-separable PNIPAM units $p(T)$ is generally defined in eq 3.

$$p(T) = \frac{N_{\text{separated}}}{N_{\text{separable}} + N_{\text{inseparable}} + N_{\text{separable}}}$$  \hspace{1cm} (3)

The combination of eqs 2 and 3 gives a formula for $p(T)$ as a function of the equilibrium constant $K$

$$p(T) = \frac{P_{\text{max}}}{1 + K}$$  \hspace{1cm} (4)

where $P_{\text{max}} = (N_{\text{separable}} + N_{\text{separable}})/(N_{\text{separable}} + N_{\text{inseparable}} + N_{\text{separable}})$ is the maximum fraction of PNIPAM phase separable units. The van’t Hoff equation for the temperature dependence of the equilibrium constant $K$ is shown in eq 5.

$$K = e^{-(\Delta H - T \Delta S)/RT}$$  \hspace{1cm} (5)

where $\Delta H$ and $\Delta S$ are the standard changes in enthalpy and entropy, respectively, connected with phase separation of PNIPAM. $R$ is the gas constant (8.314 J mol$^{-1}$ K$^{-1}$) and $T$ is the absolute temperature. Combining eqs 4 and 5 yields a final formula for $p(T)$\textsuperscript{41}

$$p(T) = \frac{P_{\text{max}}}{1 + e^{\Delta H/RT - \Delta S/R}}$$  \hspace{1cm} (6)

Experimental data for $p(T)$ as shown in Figure 3b were fitted using eq 6 such that values of $\Delta H$ and $\Delta S$ could be obtained. Another important parameter is the temperature of the phase separation $T_p$ calculated as the onset temperature obtained from fitting of the $p(T)$ curve (see Figure 3b). The value of $T_p$ is found at the intersection point of the tangent at the inflexion point $T_i$ of the $p(T)$ curve and the x-axis. The inflexion is at the
The condition for the intersection of the tangent line at the inflexion point and x-axis leads to an expression for the temperature at the inflexion point $T_i$ can be obtained.

$$T_i = \frac{\Delta H}{\Delta S} \left( 1 - \frac{4R^2}{\Delta S^2} \right)$$

(11)

The offset temperature $T_{\text{off}}$ indicates the point at which phase separation is nearly complete. From $T_p$ and $T_{\text{off}}$, the width of the phase separation $\Delta T_{\text{width}}$ (the difference between offset and onset temperatures; see Figure 3b) can be determined using the following formula

$$\Delta T_{\text{width}} = T_{\text{off}} - T_p = \frac{2RT_p^2}{\Delta H} \left[ 1 + \cosh \left( \frac{\Delta H}{RT_i} - \frac{\Delta S}{R} \right) \right]$$

(14)

The fitting of experimental data using eq 6 yields values for $\Delta H$, $\Delta S$, and $T_{\text{max}}$. Thereafter, the parameters $T_p$ and $\Delta T_{\text{width}}$ can be determined using eqs 12 and 14, respectively. Error analysis indicates that these approximations introduce only negligible errors into the values of $T_p$, $T_{\text{off}}$, and $\Delta T_{\text{width}}$ obtained.4

Figure 5 shows $p(T)$ as calculated from NMR experimental data for samples of PNIPAM (5 and 10 wt %) and all additives (5 wt %). It can be seen that the phase separation temperature $T_p$ decreases (for both PNIPAM concentrations), depending on the additive, in the following order: no additive > t-BuAM > t-BuOH > t-BuME > t-BuMK.

Figure 6 shows plots of the phase-separated fraction $p(T)$ of (a) $w_p = 5$ wt % and (b) $w_p = 10$ wt % of PNIPAM as obtained from the NCH resonance of PNIPAM. Key: Full/empty squares = experimental points, full/dashed lines = fits according to eq 6. The data were fitted using eq 6. All relevant thermodynamic parameters ($T_p$, $\Delta T_{\text{width}}$, $p_{\text{max}}$, $\Delta H$, and $\Delta S$) are graphically summarized in Figure 7. It can be seen that there are only small differences in all studied parameters for 5 and 10 wt % of PNIPAM. Increasing the content of any additive decreases the phase separation temperature ($T_p$ Figure 7a,b), increases the width of phase separation ($\Delta T_{\text{width}}$ Figure 7c,d), and decreases both enthalpy ($\Delta H$, Figure 7g,h) and entropy change ($\Delta S$, Figure 7ij) connected with phase separation of PNIPAM. The
value of $T_p$ depends on the additive type and decreases in the following order (at constant $w_{\text{additive}}$): $t$-BuAM > $t$-BuOH > $t$-BuME > $t$-BuMK. $t$-BuMK has the greatest effect on $T_p$ (decrease of ca. 10 °C), $\Delta T_{\text{width}}$, $\Delta H$, and $\Delta S$, which will be discussed in detail later. Values of $p_{\text{max}}$ are close to 1 (within experimental error) for all additives (Figure 7e,f).

The additives can be ordered in terms of relative hydrophobicity. Using the values of partition coefficients, log $P$ (given in brackets for each additive) estimated using HSPiP software gives the order from least to most hydrophobic additives in the following sequence: $t$-BuAM (0.3) > $t$-BuOH (0.5) > $t$-BuME (0.9) > $t$-BuMK (1.2). From this perspective, the phase separation of PNIPAM is mostly affected by $t$-BuMK because of its hydrophobic association with PNIPAM. This association leads to the partial removal of water molecules from the vicinity of the polymer chains. This effect lowers $T_p$ and $\Delta H$ because less heat is required to break hydrogen bonds between polymer chains and solvating water molecules.

Acidity/basicity is another parameter characterizing these additives. Figure 8 is a plot of $T_p$ as a function of $pK_a$ for each

![Figure 6](data:image/png;base64,imagedata)

**Figure 6.** Plots of phase-separated fraction $p(T)$ as obtained from NCH resonances of PNIPAM (solid line 5 wt%; dashed line 10 wt %) with various concentrations of (a) $t$-BuAM, (b) $t$-BuOH, (c) $t$-BuME, and (d) $t$-BuMK. Key: Full/empty squares = experimental points, full/dashed lines = fits according to eq 6.

![Figure 7](data:image/png;base64,imagedata)

**Figure 7.** Parameters characterizing phase separation of PNIPAM (5 and 10 wt %) in the presence of the additives studied as denoted in each panel. (a,b) Phase separation temperatures $T_p$. (c,d) Width of phase separation $\Delta T_{\text{width}}$. (e,f) Maximum fraction of phase-separated PNIPAM units $p_{\text{max}}$. (g,h) Variations in standard enthalpy, $\Delta H$. (i,j) Variations in standard entropy, $\Delta S$. Key: Solid and empty black squares correspond to 5 and 10 wt % PNIPAM solutions without additives, respectively.
Figure 8. Plot of the phase separation temperature \( T_p \) (data from Figure 7a,b) as a function of \( pK_a \) of additives and its content (\( w_{\text{additive}} \)) for both 5 and 10 wt % of PNIPAM. The values of \( pK_a \) correspond to nondeuterated additives: \( t\)-BuAM (\( pK_a = 10.68 \)), \( t\)-BuOH (\( pK_a = 16.54 \)), \( t\)-BuME (\( pK_a = 16.89 \)), and \( t\)-BuMK (\( pK_a = 20.8 \)). The value of \( pK_a \) for neat D\(_2\)O is set to 14 (same as H\(_2\)O) for consistency with additives. For clarity, there are slight offsets of additive \( pK_a \) values for 5 and 10 wt % of PNIPAM. All values of \( pK_a \) (in H\(_2\)O) can be converted to \( pK_a \) (in D\(_2\)O) using the approximate formula \( pK_a^{\text{D}} = 1.076 \times pK_a - 0.45 \). However, this corresponds practically to a ca. +0.8 \( pK_a \) unit shift (i.e., to more basic) of the experimental points, and so it does not change the character of the plot.

Additive and its weight fraction. It can be seen that higher \( pK_a \) (higher basicity) of the additive lowers \( T_p \). Also, larger content of the same additive decreases the \( T_p \) value (vertical gray arrows in Figure 8). This is again because of the higher basicity of the solution. Therefore, pH of the aqueous solution significantly affects the phase separation temperature of PNIPAM.

A series of time-resolved spin–spin \(^1\)H NMR relaxation experiments was performed in order to determine the mobilities of water and additive molecules below and above the phase separation temperature of PNIPAM (for \( w_p = 5 \) wt %). The values of spin–spin \(^1\)H NMR relaxation time \( T_2 \) were acquired for residual HDO and also for the \( t\)-butyl proton resonances of \( t\)-BuOH, \( t\)-BuME, and \( t\)-BuMK as shown in Figure 9. \( T_2 \) relaxation times above phase separation (\( T = 310 \) K = 37 °C) were significantly shorter than those at the temperature (\( T = 286 \) K = 13 °C) below separation for both HDO and \( t\)-butyl (\( CH_3 \)) groups. This shows that HDO molecules as well as additive molecules exhibit a lower, spatially restricted mobility. Contributions due to chemical exchange are also important because the mobility-restricted solvent molecules are bound within mesoglobules and thus have a low \( T_2 \) value. The overall \( T_2 \) value is the weighted harmonic mean of bound and free \( T_2 \) values, resulting in lower overall \( T_2 \) above phase separation. The sample was then kept in the NMR magnet at an elevated temperature (\( T = 310 \) K = 37 °C), and the time dependence of \( T_2 \) was measured. After the value of \( T_2 \) had reached a plateau, we observed no further changes of \( T_2 \) values over the course of days (Figure 9a–f). \( T_2 \) values remained relatively low (ca. 0.7 s for HDO and ca. 0.2 s for \( t\)-butyl groups of additives), indicating that the solvent molecules remain restricted in mobility and bound in mesoglobular structures. This further indicates that these systems are collooidally stable solutions, such that the phase-separated particles do not aggregate and precipitate. This observation is in contrast to the pristine PNIPAM/D\(_2\)O system lacking any additive in which the \( T_2 \) relaxation time after 130 h (5.5 days) recovers to its original value (Figure 9g). This corresponds to a state in which water, originally bound in mesoglobules, is very slowly released from these structures, making them more compact. Our observations indicate that the hydrophobic association of PNIPAM and the studied additive molecules increases the colloidal stability of phase-separated polymers sequestering the solvent molecules (both D\(_2\)O and the additive) within the mesoglobular structure for extended periods (for at least 8 days in the case of \( t\)-BuOH). It seems that after phase separation, the additive (which hydrophobically associates with PNIPAM) acts as a shell around the mesoglobules with effective steric stabilizing properties, which prevents further aggregation and precipitation.

DLS data provide information about the size of PNIPAM globules in the presence of additives (\( t\)-BuAM, \( t\)-BuOH, \( t\)-BuME, or \( t\)-BuMK). There is no significant variation in the hydrodynamic diameters (~230 nm) for \( t\)-BuAM, \( t\)-BuOH, and \( t\)-BuMK additives (Figure 10a). There is a relatively narrow distribution for \( t\)-BuAM, which might be caused by its Brunsted-type base character (capability of accepting protons), resulting in a different association mode with PNIPAM. For \( t\)-BuME additive, it was found that the particle size was smaller.
of phase separation temperatures from experimental data. This allowed us to obtain accurate values for the phase separation. An interesting correlation between the pK_a of additives and the phase separation temperature T_p of PNIPAM, that higher pK_a (i.e., higher basicity) leads to lower T_p was also found. Time-resolved 1H NMR spin–spin relaxation experiments (T_2) above the phase separation temperature revealed that when PNIPAM is in a mesoglobular state, there is no change in the restricted mobility of solvent molecules over the course of days. This indicates the high colloidal stability of phase-separated polymers, with trapping of the solvent molecules (both D_2O and additives) within its structure. This effect is in contrast to the PNIPAM/D_2O system lacking additives in which the majority of water is released from mesoglobules within 5 days. Therefore, a small quantity of a suitable additive can be used for the tuning of PNIPAM properties, such as phase separation temperature, colloidal stability, and morphology/dimensions of formed mesoglobules. Improvements in the stabilities of colloidal solutions of PNIPAM or similar polymers ought also to improve their attractiveness for various applications either simply because of possibilities for extending the stable shelf lives of preparations or because of the tunability of phase separation properties within the physiologically important temperature range.

4. MATERIALS AND METHODS
PNIPAM (M_w = 19,000–26,000 g/mol) (see Figure 1 for the structure) was purchased from Sigma-Aldrich. D_2O (Sigma-Aldrich, 99.9% of deuterium) was used for sample preparation. tert-Butyl alcohol (t-BuOH), tert-butylamine (t-BuAM), tert-butyl methyl ether (t-BuME), and tert-butyl methyl ketone (t-BuMK) (see Figure 1 for the structures) were purchased from Sigma-Aldrich and used as received. All samples were sealed in 5 mm NMR tubes. The weight fraction of PNIPAM in the binary solvent D_2O/additive was calculated as w_p = m_p/(m_p + m_D2O + m_additive) × 100% (in wt %), where m_p, m_D2O, and m_additive are masses of the PNIPAM polymer, D_2O, and additives, respectively. The composition of the binary solvent D_2O/additive was determined by the weight fraction of an additive in D_2O/additive solution as w_additive = m_additive/(m_D2O + m_additive) × 100% (in wt %).

High-resolution 1H NMR spectra were recorded using a Bruker AVANCE III 600 spectrometer operating at 600.2 MHz. During measurements at different temperatures, receiver gain was kept constant to obtain comparable values of integrated intensities. The 1H spin–spin relaxation times T_2 were measured using a CPMG pulse sequence of 90°-180°-t_d-180°-t_d-acquisition with a half-echo time t_d = 5 ms. Each experiment was performed over 4 scans with a relaxation delay between scans of 120 s. The resulting T_2 relaxation curves are monoexponential. The fitting process made it possible to determine consistently a single value of the relaxation time. The relative error of T_2 values of the HDO and the additive (CH_3)_3 groups did not exceed ±8%. During measurement, temperature was maintained constant within ±0.2 K using a BVT 3000 temperature unit. Prior to each measurement, samples were equilibrated for about 15 min at the measurement temperature.

The hydrodynamic diameter D_h (z-average) and size distribution of polymer assemblies in deuterated water (D_2O) (w_p = 0.015 wt%) were determined at 50 °C using a ZEN 3600 Zetasizer Nano Instrument (Malvern Instruments, Malvern, UK). The data were subsequently analyzed using the supplied Malvern Instruments software. Prior to measurement, samples were filtered using a 0.22 µm polycrylilene fluoride filter to remove any interfering dust particles. Each measurement consisted of an average of five scans.

AUTHOR INFORMATION
Corresponding Authors
*E-mail: Jonathan.Hill@nims.go.jp. Phone: +81-29-860-4578 (J.P.H.).
determination of approximate solution described by eq 11 (Δi) for ∆S 

14\[ S_{i} \] are solutions. 1H NMR study. 

approximate values of decreases. Subsequently, errors calculated (using exact and exact) with approximation used here introduce only negligible errors.


comparison of exact numerical solution of eq 7 (T\text{exact}) with approximate solution described by eq 11 (T\text{approx}) shows that for ΔH ≥ 10 J mol⁻¹ and ΔS ≥ 200 J mol⁻¹ K⁻¹, the error is |T\text{exact} − T\text{approx}| < 0.04 K. The lower boundary of |ΔH and ΔS| is chosen as an extreme case far from experimentally obtained values. For higher values of |ΔH and ΔS|, the error in determination of T\text{i} using the approximate formula further decreases. Subsequently, errors calculated (using exact and approximate values of T\text{i}) for the phase separation temperature |T\text{p, eq 12} and the width of the phase separation (ΔT\text{width, eq 14}) are |T\text{exact} − T\text{approx}| < 10⁻⁴ K and |ΔT\text{exact} − ΔT\text{approx}| < 10⁻⁴ K, respectively. Therefore, the approximations used here introduce only negligible errors.

ADDITIONAL NOTE

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