Outcome of Early Initiation of Peritoneal Dialysis in Patients with End-Stage Renal Failure

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INTRODUCTION

In 1997, the National Kidney Foundation workgroup recommended that dialysis should be initiated when the creatinine (Cr) clearance falls below approximately 10.5 mL/min/1.73 m² except in well-nourished, asymptomatic patients (1). In 2006, however, the NKF workgroup updated the guidelines for initiating dialysis at the estimated glomerular filtration rate (eGFR) < 15.0 mL/min/1.73 m². They also suggested that initiation of dialysis even at eGFR > 15.0 mL/min/1.73 m² may be appropriate in patients who have symptoms related to both their comorbidities and their level of residual renal function (RRF) (2). The Canadian Society of Nephrology recommends the initiation of dialysis when the eGFR is less than 12 mL/min/1.73 m² and the Caring for Australians with Renal Impairment Guidelines specify eGFR of 10.0 mL/min/1.73 m² as the standard on which nephrologists should rely for starting dialysis therapy if there is evidence of uremia or its complications such as malnutrition (3, 4). These guidelines have influenced the clinical practice of nephrologists. According to the United States Renal Data System, an increase in the frequency of patients initiating dialysis with eGFR above 10 mL/min/1.73 m² is becoming evident (5).

Recent observational studies have not shown any benefits of early start of dialysis and some studies reported that early initiation of dialysis may increase mortality (6-10). The Initiating Dialysis Early and Late (IDEAL) study, the first randomized controlled trial, demonstrated that early initiation of dialysis in patients with chronic kidney disease stage 5 was not associated with an improvement in clinical outcomes (11). However, the IDEAL study could not address any differences between peritoneal dialysis (PD) or hemodialysis in terms of the association of the start eGFR and the subsequent outcomes, since the above study comprised similar proportion of PD and hemodialysis patients.

If dialysis modality was concerned, survival disadvantage of early start of dialysis would be a different story. Studies that solely assessed patients treated with hemodialysis indicated a higher
mortality in the early initiation group (6, 7, 12). In contrast, studies that assessed PD patients showed controversial results. Shiao et al. (13) demonstrated that early initiation of PD had significant survival disadvantage. However, Tang et al. (14) showed increased mortality risk with decreased start eGFR at initiation of PD. Therefore, it is not determined whether early initiation of PD is beneficial or detrimental for the patient outcomes. Compared with hemodialysis, PD has a beneficial effect in terms of preserving residual renal function (RRF) (15, 16), a major determinant of various outcomes after commencing dialysis therapy (17, 18). Therefore, it is warranted to investigate the effect of early initiation of PD treatment on the patient survival and other outcomes.

The present study explored the benefit of the early initiation of PD in overall survival and other outcomes retrospectively. Propensity score (PS) analysis was employed to account for the differences of pre-dialysis factors and one-to-one matching between early and late starter groups.

MATERIALS AND METHODS

Study subjects
We conducted the present study with retrospective data collection using PD patient database of Seoul National University Hospital (SNUH). All patients with chronic kidney disease are given unbiased information on the choice of dialysis modality at SNUH. The choice for dialysis modality is made at the discretion of the patients themselves, although they receive medical advice from the nephrologists and dialysis nurses. On the average, 20 to 25% of end stage renal failure (ESRF) patients choose PD as their first-line dialysis therapy in SNUH. Patients with impending ESRF who accepted PD as their primary modality were subjected to Tenckhoff catheter insertion, usually followed by a break-in period of two weeks for tunnel healing and step-wise training for exchange procedure. They started PD after the break-in period. Patients who developed uremic symptoms or uremic emergency during this period were temporarily given hemodialysis therapy until the start of PD. A total of 593 patients commenced PD at SNUH from January, 2000 until July, 2010. Among them, we excluded patients who had previously received hemodialysis for > 1 month or started dialysis because of a failing renal allograft. Patients were also excluded if they died or switched to hemodialysis within 3 months after starting PD or discontinued PD due to recovering renal function. Excluded patients were not significantly different from the included patients with respect to age, sex ratio, body mass index (BMI), and comorbidity. Finally, 491 PD patients were selected and retrospectively analyzed in the present study. Demographic, clinical and outcomes data were retrieved from the PD registry data in SNUH PD Center. These data included age, sex, underlying renal disease, laboratory test results, comorbidity, vintage, and physician in charge. Laboratory tests such as highly sensitivie C-reactive protein (hsCRP), hemoglobin (Hb), albumin at the time of commencing PD were employed for analysis. Degree of comorbidity was assessed based on the Davies comorbidity score, which comprises seven comorbid conditions, leading to three risk groups, i.e., low (no comorbid disease), intermediate (1 or 2 comorbid diseases) and high (3 or more comorbid diseases) risk groups (19).

GFR measurements before dialysis
Patients with eGFR < 30 mL/min/1.73 m² are regularly followed by a nephrologist at 4- to 6-week interval. For them, eGFR was estimated by abbreviated Modification of Diet in Renal Disease (MDRD) equation. PD patients were divided into ‘early starters (n = 244)’ and ‘late starters (n = 247)’ on the basis of the eGFR measured within 6 weeks before start of dialysis, using median value of 7.7 mL/min/1.73 m².

PD protocol
The choice of particular PD system such as brand and manual vs automated PD was left to the discretion of the patients. Dialysis regimens, i.e., the number of exchanges and choice of PD solutions were adjusted by nephrologists to meet the Kt/V target of > 1.7 and achieve euvolemic status. Patients were regularly monitored for dialysis adequacy, peritoneal solute transport rate, peritonitis, and exit site infection.

Outcome endpoints
All-cause mortality was the primary outcome of the present study. Data regarding conversion to hemodialysis therapy, kidney transplantation, transfer to another center, and loss to follow-up were censored in the all-cause mortality analysis. Deaths within three months of transfer to hemodialysis were deemed to be PD-related mortalities. Technical failure, cardiovascular (CV) event, and composite event defined as either CV event or patient death were analyzed, as well. Technical failure was defined as transfer to hemodialysis therapy because of peritonitis, ultrafiltration failure, inadequate dialysis, exit-site and/or tunnel infection, and mechanical problems. CV event was defined as new development of myocardial infarction, angina, fatal or non-fatal stroke, or peripheral vascular disease. Coronary angioplasty, coronary artery bypass graft, carotid endarterectomy, or peripheral revascularization procedure were also included in the definition of CV event.

Statistical analysis
SPSS version 16.0 for Windows (SPSS Inc., Chicago, IL, USA) was used for the statistical analysis. Normality of data distribution was tested using the Kolmogorov-Smirnov test. Variables without a normal distribution were either transformed into logarithmic scale and subjected to parametric tests or analyzed by non-parametric test. Values with normal distribution are expressed
as mean standard deviation, while those without normal distribution were shown as median and interquartile range. For continuous variables, comparison between two groups was made by using Student t test or Mann Whitney U test. Chi square test or Fisher’s exact test was used for categorical variables.

The early starter group differed markedly from late starter group with respect to the observed pre-dialysis covariates. Therefore, we decided to account for such differences between early and late starter groups by developing a PS for being an early starter group. PS analysis is a post hoc statistical method that estimates the impact of a treatment when subjects are not randomly assigned to a specific treatment group. It supports to simultaneously control all the known patient factors that might be related with the time of PD commencement. Logistic regression was performed to calculate the risk, or the propensity of each patient to be included in the early starter group. Hosmer-Lemeshow’s test was performed to evaluate the goodness-of-fit of our model. The calculated PS was either used for one-to-one matching in estimating the benefit of early initiation of PD in multivariate analysis or for stratified analysis of the outcome by the quartiles of the PS. Comparisons between PS matched pairs were performed by using paired t-test for continuous variables and McNemar test for categorical variables.

Kaplan-Meier analysis was employed to determine all-cause mortality, technical failure, and CV event free survival. In an analysis for the hazard ratio (HR) for the primary and secondary outcomes, the models were checked for proportionality assumptions. Then, a multivariate Cox proportional hazards model with the aid of forward selection was used to adjust the covariates for the effect of early dialysis initiation and to estimate the HR and associated 95% confidence interval (CI), since the assumptions of a constant HR over time were met. At all the statistical analysis, \( P < 0.05 \) was considered statistically significant.

**Ethics statement**

The study protocol was approved by the institutional review board of SNUH (IRB No. H-1010-037-335). Informed consent was waived by the board.

**RESULTS**

The study population was incident PD patients who underwent Tenckhoff catheter insertion and subsequently started PD at SNUH from January 2000 to July 2010. Among 593 patients who commenced PD during that period, 491 PD patients were selected and analyzed by calculating the PS to be in the early starter group in the present study.

For the overall population, the median length of follow-up was 25 months, 5-yr patient survival rate was 84.3%, and 5-yr technique survival was 74.5%. The study population was classified into early and late starter groups on the basis of median GFR (7.7 mL/min/1.73 m²) at the time of commencing PD. The baseline characteristics of the two groups are detailed in the Table 1. Estimated GFR for early and late starter groups were 10.8 ± 2.5

**Table 1. Baseline characteristics of the study subjects**

| Parameters                      | Overall series | \( p \) | Propensity-score-matched pairs |
|---------------------------------|----------------|--------|-------------------------------|
|                                 | Early starter  | Late starter |      | Early starter | Late starter |      |
| Age (yr)                        | (n = 244)      | (n = 247)    | <0.001 | (n = 136)     | (n = 136)    | <0.001 |
|                                 |                |              |       |                |               |       |
| Sex (male/female)               | 163/81         | 131/116      | 0.002 | 83/53          | 82/54         | 1.0   |
| BMI (kg/m²)                     | 22.1 ± 3.1     | 22.4 ± 3.3   | 0.314 | 22.3 ± 3.2     | 22.2 ± 3.3    | 0.75   |
| eGFR (mL/min/1.73 m²)           | 10.8 ± 2.5     | 5.5 ± 1.3    | <0.001 | 10.4 ± 2.2     | 5.8 ± 1.2     | <0.001 |
| Davies Comorbidity score (No., %) |                |              |       |                |               |       |
| Low (0)                         | 92 (37.7%)     | 131 (61.1%)  | <0.001 | 66 (48.5%)     | 70 (51.5%)    |       |
| Intermediate (1-2)              | 123 (50.4%)    | 88 (35.6%)   |       | 64 (47.1%)     | 61 (44.9%)    |       |
| High (3-7)                      | 29 (11.9%)     | 8 (3.2%)     |       | 6 (4.4%)       | 5 (3.7%)      |       |
| hsCRP (mg/dL)                   | 1.0 ± 2.1      | 0.7 ± 1.4    | 0.10  | 1.1 ± 2.5      | 0.9 ± 1.7     | 0.44   |
| Albumin (g/dL)                  | 3.54 ± 0.52    | 3.62 ± 0.67  | 0.11  | 3.52 ± 0.51    | 3.63 ± 0.63   | 0.15   |
| Hemoglobin (g/dL)               | 10.0 ± 1.4     | 9.5 ± 1.3    | <0.001 | 9.7 ± 1.3      | 9.6 ± 1.2     | 0.34   |
| Diabetes (No. %)                | 108 (44.3%)    | 60 (24.3%)   | <0.001 | 50 (36.8%)     | 42 (29.0%)    | 0.34   |
| Heart failure (No. %)           | 44 (18.0%)     | 19 (7.7%)    | <0.001 | 12 (8.8%)      | 14 (10.3%)    | 0.83   |
| Malignancy (No. %)              | 3 (1.2%)       | 3 (1.2%)     | 1.0   | 0 (0%)         | 1 (0.7%)      | 1.0    |
| Ischemic heart disease (No. %)  | 54 (22.1%)     | 20 (8.1%)    | <0.001 | 18 (13.2%)     | 15 (11.0%)    | 0.69   |
| Peripheral artery disease (No. %) | 33 (13.5%)   | 14 (5.7%)    | 0.003 | 10 (7.4%)      | 13 (9.6%)     | 0.68   |
| Connective tissue disease (No. %) | 6 (2.5%)     | 6 (2.4%)     | 1.0   | 4 (2.9%)       | 2 (1.5%)      | 0.69   |
| Education years (No. %)         | 7.5 (7.5%)     | 7.5 (7.5%)   | 0.75  | 7 (6.9%)       | 7 (6.9%)      | 0.54   |

BMI, body mass index; eGFR, estimated glomerular filtration rate; hsCRP, highly sensitive C-reactive protein.
and 5.5 ± 1.3 mL/min/1.73 m², respectively ($P < 0.001$). Early starters exhibited older age, male preponderance, higher comorbidity scores including diabetes, congestive heart failure, ischemic heart disease, peripheral artery diseases, compared to the late starter group.

**Propensity score analysis**

The variables included in the logistic regression model for the calculation of the PS were age, sex, BMI, albumin, hsCRP, diabetes, congestive heart failure, malignancy, ischemic heart disease, peripheral vascular disease, connective tissue disease, school education years, commencing year, and physician (Hosmer-Lemeshow’s test, $P = 0.6311$).

One-to-one propensity matching between the two groups was performed according to the PS. The baseline characteristics of the PS-matched pairs ($n = 136$) is described in the Table 1. No difference was observed with respect to the age, gender ratio, BMI, Hb, albumin, hsCRP, comorbidity parameters and education years.

Post hoc analysis was performed by defining quartiles of the PS and estimating the outcomes in each quartile by Kaplan-Meier method. Here, we selected to employ stratification in quartiles, instead of quintiles, because of the limited size of our patient population.

**Outcomes of early vs late dialysis in the PS-matched pairs**

One-to-one PS matching provided 136 pairs of patients who underwent early or late initiation of PD (Table 1). During the me-

### Table 2. Causes of death in each group

| Diseases                     | Early starter (n = 136) | Late starter (n = 136) |
|------------------------------|-------------------------|------------------------|
| Cardiovascular death including cerebrovascular event | 4                       | 3                      |
| Peritonitis                  | 0                       | 0                      |
| Infection, sepsis other than peritonitis   | 1                       | 3                      |
| Malignancy                   | 0                       | 0                      |
| Uremia                       | 0                       | 0                      |
| Others                       | 2                       | 0                      |
| Indeterminate                | 7                       | 4                      |
| Total                        | 14                      | 10                     |

Fig. 1. Primary and secondary outcomes of early and late starters of peritoneal dialysis adjusted by start age, sex, body mass index, albumin, hemoglobin, hsCRP, diabetes, congestive heart failure, malignancy, peripheral artery disease, ischemic heart disease, collagen disorder, and education level. (A) All-cause mortality ($P = 0.17$). (B) Technique failure ($P = 0.62$). (C) Cardiovascular event ($P = 0.96$). (D) Composite event ($P = 0.86$) free survival.
Stratification analysis in the PS quartiles
Stratification analysis in the PS quartiles (n = 491, Table 4) showed no trend toward better or poorer survival in terms of all-cause mortality.

DISCUSSION
The present study showed that the all cause of mortality, technical failure, CV event, and composite event were not different between early and late starters of peritoneal dialysis therapy when we estimated outcomes with PS matched pairs model. In a stratification analysis by the PS quartiles, all-cause mortality was not different across the quartiles of PS.

It had been suggested that early initiation of dialysis might improve nutrition and quality of life. It had also been suggested that patients initiated dialysis early had less uremic complication with consequent decrease in hospitalization, mortality, and cost (20, 21). The evidence for these was that lower GFR at the initiation of dialysis was associated with lower serum albumin level, which, in turn, correlated with higher mortality (22). Therefore, it had been suggested that early initiation of dialysis will prevent malnutrition and thereby decrease mortality. Several observational cohort and case-control studies so far also showed that early initiation of dialysis was associated with improved survival rate (23, 24). However, these studies did not account for lead-time bias or comorbidities.

In contrast, other observational studies and a recent randomized controlled trial have suggested that initiation of dialysis at higher eGFR had no effect on survival or worsened survival rate (5-12, 25, 26). However, serum Cr depends not only on the RRF but also on the muscle mass. Lower serum Cr at dialysis commencement might indicate both better RRF and poorer nutrition with lower muscle mass. Such lower muscle mass could partly account for the apparent association between start eGFR and subsequent mortality risk (27).

The recently published randomized controlled trial, the IDEAL study, found no survival benefit of early dialysis initiation (11). This study randomly allocated the 828 ESRF patients of Australia and New Zealand to early or late starter groups and followed them up for 3.59 yr. However, the mean eGFR difference between two groups of IDEAL study was only 1.8 mL/min/1.73 m² (early starters; 9.0 mL/min/1.73 m² vs late starters; 7.2 mL/min/1.73 m²). Besides, the study participants comprised similar proportions of hemodialysis and PD patients and did not address the effect of start eGFR on the survival with respect to the PD alone. RRF is better preserved with PD than hemodialysis (14, 15) and the RRF is an important prognostic factor in ESRF patients (16, 17). Therefore, results from the IDEAL study could not be directly translated into PD.

In our retrospective analysis for the effect of early vs late PD commencement on the various outcomes, we employed two types of models using PS in order to eliminate the bias due to comorbidities. In a retrospective study, initiation of dialysis depends more heavily on the grade of comorbidity rather than eGFR, since uremic symptom is more closely associated with the comorbidity than eGFR. In the present study, factors that generally lead to a poor prognosis, such as old age, lower albumin, higher hsCRP, diabetes, congestive heart failure, ischemic heart disease, and peripheral vascular disease, were more common in early starters than in late starters before matching. Therefore, by employing PS matching method, we tried to eliminate the possible effect of comorbid conditions. After matching, all the pre-dialysis covariates became similar between the two groups, only except eGFR at dialysis initiation (10.4 2.2 vs 5.8 1.2 mL/min/1.73 m², P < 0.001). In contrast to the IDEAL study, the present study exhibited a remarkable difference of eGFR between the two groups.

The present study showed 14 out of 136 from early starter group and 10 out of 136 late starter group died from any cause during the follow-up of 27 months, 14 of 136 patients in early starter group and 10 of 136 patients in the late starter group died (Table 2 and 3, adjusted hazard ratio with early initiation 0.47, 95% confidence interval [CI] 0.16 to 1.35, P = 0.17). As shown in the Fig. 1, no significant differences were observed in terms of all-cause mortality (P = 0.17), technique failure (P = 0.62), CV event (P = 0.96) and composite event (P = 0.86) between the early and late starters.

Table 3. Multivariate Cox regression analysis for overall mortality

| Variables                  | P       | Adjusted HR | 95% CI for HR Lower | 95% CI for HR Upper |
|----------------------------|---------|-------------|---------------------|---------------------|
| Early (vs late) start group| 0.17    | 0.47        | 0.16                | 1.35                |
| Hemoglobin (per 1 g/dL)    | 0.37    | 0.80        | 0.49                | 1.30                |
| Sex (f vs M)               | 0.61    | 0.68        | 0.15                | 2.97                |
| Start age (per 1 yr)       | 0.03    | 1.06        | 1.01                | 1.12                |
| BMI (kg/m²)                | 0.21    | 0.84        | 0.16                | 3.60                |
| Albumin (g/dL)             | 0.89    | 0.91        | 0.24                | 3.36                |
| hsCRP (mg/dL)              | 0.17    | 0.18        | 0.98                | 1.42                |
| Diabetes mellitus          | 0.11    | 0.17        | 0.02                | 1.47                |
| Congestive heart failure   | 0.30    | 1.33        | 0.14                | 12.28               |

*Adjusted for start age, sex, BMI, albumin, Hb, hsCRP, diabetes, congestive heart failure, malignancy, peripheral artery disease, ischemic heart disease, collagen disorder, and education level. HR, hazard ratio; CI, confidence interval; BMI, body mass index; hsCRP, highly sensitive C-reactive protein.

Table 4. Hazard ratio of all-cause mortality by quartiles of propensity score

| Variables                  | Adjusted HR (95% CI) | P       |
|----------------------------|----------------------|---------|
| PS, overall                | 1.37 (0.69-2.71)     | 0.36    |
| PS_Q1                     | 4.26 (0.60-30.4)     | 0.15    |
| PS_Q2                     | 0.65 (0.13-3.39)     | 0.62    |
| PS_Q3                     | 2.50 (0.71-8.83)     | 0.15    |
| PS_Q4                     | 1.28 (0.43-3.79)     | 0.66    |

PS_Q1-Q4, propensity-score quartile groups from first (Q1) to fourth (Q4) quartile; HR adjusted by propensity score and late starter as reference group.
median 27-months follow-up. This indicates a lower mortality rate, compared with other reports from the Caucasians (28). Better clinical outcomes of Asian PD patients, as compared to the western PD population, are also reported elsewhere (29, 30).

The present study has several potential limitations. First, although as many pre-dialysis covariates as available were included in the PS calculation, other determinants might remain unrecognized in this retrospective study. Second, MDRD equation was used to calculate eGFR at initiation timing of PD. As mentioned above, lower serum Cr could be a feature of both a better RRF and a poorer nutritional status. Although our PS calculation included the albumin level, detailed information for other nutritional parameters was absent. Lastly, lead time bias might have an influence at early stages of follow-up period and this effect might disappear at later stages.

In conclusion, early commencement of PD does not reduce the mortality risk and other outcomes in our study. Although the recent guidelines suggest that initiation of dialysis at higher eGFR, physicians should not determine the time to initiate PD therapy simply rely on the eGFR alone. PD may be delayed until traditional clinical indications for dialysis are present.

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