Deadlock analysis and control based on Petri nets: A siphon approach review
Yifan Hou, Kamel Barkaoui

To cite this version:
Yifan Hou, Kamel Barkaoui. Deadlock analysis and control based on Petri nets: A siphon approach review. Advances in Mechanical Engineering, Sage Journals, 2017, 9 (5), pp.168781401769354. 10.1177/1687814017693542. hal-02476563

HAL Id: hal-02476563
https://hal.archives-ouvertes.fr/hal-02476563
Submitted on 6 Apr 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Distributed under a Creative Commons Attribution| 4.0 International License
Deadlock analysis and control based on Petri nets: A siphon approach review

YiFan Hou¹,² and Kamel Barkaoui³

Abstract
Deadlocks should be eliminated in highly automated manufacturing systems since their occurrence implies the stoppage of the whole or partial system operation. Over the past decades, Petri nets are increasingly becoming one of the most popular and full-fledged mathematical tools to deal with deadlock problems due to their inherent characteristics. In a Petri net formalism, liveness is an important property of system safeness, which implies the absence of global and local deadlock situations in an automated manufacturing system. The liveness assessment can be performed by verifying the satisfiability of certain predicates on siphons, a well-known structural object in Petri nets. Therefore, siphons have received much attention to analyze and control systems modeled with Petri nets. Particularly, elementary siphon theory plays a key role in the development of structurally simple liveness-enforcing Petri net supervisors, leading to a variety of deadlock control approaches. This survey studies on the state-of-the-art elementary siphon theory of Petri nets including refined concepts of elementary siphons and their extended version, computation methods of siphons and elementary ones, controllability conditions, and their application to deadlock control. As a reference, this work attempts to provide a comprehensive and updated research survey on siphons, elementary siphons, and their applications to the deadlock resolution in Petri nets.

Keywords
Petri net, deadlock prevention, structural analysis, siphon, elementary siphon

Date received: 3 October 2016; accepted: 11 January 2017

Academic Editor: Tatsushi Nishi

Introduction
An automated manufacturing system (AMS)¹,² is a system consisting of a set of interconnected stations for material processing that is capable of automatically processing a wide variety of types of pieces simultaneously and controlled by computers. An AMS has characteristics of high degree of automation, high degree of integration, and high degree of flexibility. The flexibility means that a system can swiftly adjust the production volume, the process routing, and the product quality. AMSs offer flexibility and efficiency far beyond traditional material handling requirements. The development of AMSs can trace to the later half of the 20th century, and this manufacturing technique is used in facilities of varying scale all over the world.

Nowadays, information technology is extensively applied to contemporary AMSs, which renders that modern AMSs are becoming software-intensive systems. The reliability, safety, and other miscellaneous requirements of a system are satisfied by control...
software. Deadlock problems in AMSs have received increasing attention from both industry and academic research. Deadlocks can not only cause a partial or whole stoppage in a system, but also bring about catastrophic consequences in highly automated systems. The occurrences of deadlocks often deteriorate the allocation of resources and may give rise to serious economy loss in highly AMSs.

Petri nets can be used in all stages starting from modeling to control implementation. Both plant and supervisor models can be unified in the formalism of Petri nets. This feature can greatly facilitate modeling, open-loop system analysis and synthesis, control implementation, and closed-loop system analysis and evaluation. On one hand, computation related to Petri net models can be inexpensive by fully utilizing their structural information. On the other hand, a set of systematic mathematical analysis approaches has been developed for studying Petri nets that promotes their popularity, which includes reachability analysis as well as structure-based linear algebraic methods. Actually, Petri nets have attracted much interest over the past decades to cope with deadlock issues, leading to a deluge of deadlock control strategies.

At present, deadlock resolution methods in AMSs modeled with Petri nets are mainly classified into three strategies: deadlock detection and recovery, deadlock avoidance, and deadlock prevention. Many achievements have been made among these strategies in the literature. Deadlock detection and recovery uses an online mechanism. When a deadlock occurs, it is detected and then the system is put back to a deadlock-free state. Deadlock avoidance is a resources allocation mechanism, behind which an online control policy is used to make a correct decision to proceed among the feasible evolutions. Deadlock prevention is usually achieved using an off-line computational mechanism to control the request for resources to ensure that deadlocks never occur. Furthermore, it is worth mentioning that Petri nets have been extensively applied to the problems of scheduling reconfiguration, and other applications.

Motivated by the established Petri net analysis techniques, deadlock prevention is usually developed by utilizing two major techniques, reachability analysis and structural analysis methods. There are three important criteria in evaluating the performance of a liveness-enforcing supervisor for a system to be controlled: behavioral permissiveness, structural complexity, and computational complexity. Determining how to design a maximally permissive Petri net supervisor is always a significant problem. Owing to the fact that a reachability graph can fully reflect the behavior of a Petri net system, reachability graph-based policies can always obtain a highly or even maximally permissive liveness-enforcing supervisor. However, the computation is very expensive.

One of the most interesting past developments is the use of some structural objects to derive liveness-enforcing supervisors for Petri nets modeling AMSs. As a major structural object, siphons are closely related to deadlocks. In a Petri net, in case that a siphon is emptied or insufficiently marked, all its related transitions can never be enabled, which indicates the permanent blocking of part or all processes in the net system. Siphons are extensively utilized in the analysis and control of deadlocks in AMSs modeled by Petri nets, leading to a large number of siphon-based deadlock control strategies. However, the power of the siphon-based liveness-enforcing approaches is degraded and deteriorated by the fact that the number of strict minimal siphons (SMSs) in a Petri net grows quickly beyond practical limits and often grows exponentially with respect to the net size. They suffer from the computational complexity problem due to the fact that, generally, a complete siphon enumeration in a Petri net is non-deterministic polynomial (NP)-complete. Furthermore, they usually cause liveness-enforcing Petri net supervisors with more complicated structure than the plant net model that is originally built.

In order to cope with above problems, elementary siphon theory is developed by Li and Zhou. In a Petri net model can be categorized into elementary siphons and dependent ones. The latter can be further distinguished by strongly and weakly dependent siphons. The upper bound of the elementary siphons in a net is no greater than the smaller one of place and transition counts. They prove that in some cases, by designing a monitor for each elementary siphon to make it controlled, deadlock can be successfully prevented. A dependent siphon can be implicitly controlled by properly arranging the number of tokens that can stay at its elementary siphons. The results mentioned above have widely applied to a variety of elementary siphon–related deadlock control approaches in the literature. This article mainly aims to reveal, first, how the elementary siphon theory of Petri nets can be used to trouble-shoot deadlock problems, and second, how the refined concept of elementary siphons in a Petri net improves the existing deadlock control policies.

The rest of this article is organized as follows. In section “Siphons and controllability conditions,” siphons and their controllability conditions are presented. Section “Elementary siphon theory” expounds the elementary siphon theory in detail, including the concepts of elementary siphons and augmented ones, as well as their controllability conditions. Section “Computation of siphons and elementary siphons” surveys the computation methods of siphons and elementary siphons. The wide applications of siphon-based deadlock control...
approaches, a complete or partial siphon enumeration, elementary siphons, and related combined techniques are reviewed in section “Siphon-based deadlock control policies.” Section “Concluding remarks” concludes this article.

Siphons and controllability conditions

Siphons and traps

As a structural object of Petri nets, siphons play a significant role in the analysis of their behavioral properties, particularly liveness. Some major definitions and properties are reviewed first, and more details can be found in the works by Li and Zhou\textsuperscript{31} and Murata\textsuperscript{34}.

Basic definitions and notations can be found in Appendix 1.

Definition 1. A nonempty place set \( S \subseteq P \) is a siphon if \( \star S \subseteq S \). \( S \subseteq P \) is a trap if \( S \subseteq \star S \). A siphon (respectively trap) is minimal if there is no siphon (respectively trap) contained in it as a proper subset. A minimal siphon \( S \) is said to be strict if \( \star S \subset S \), that is, it contains no marked trap.

Property 1. Let \( S_1 \) and \( S_2 \) be two siphons (resp. traps). Then, \( S_1 \cup S_2 \) is a siphon (resp. trap).

Corollary 1. If \( I \) is a \( P \)-semiflow, then \(|I|\) is both a siphon and trap.

Note that, since a \( P \)-invariant depends on both the topological structure of a net and the arcs weights, the converse of Corollary 1 is not true. However, a siphon or trap is only related with the topological structure. Corollary 1 indicates that a siphon can never be emptied if it contains the support of a \( P \)-semiflow and the support is initially marked.

Example 1. Take the net shown in Figure 1 as an example. It has four siphons \( S_1 = \{p_1, p_2, p_3\} \), \( S_2 = \{p_1, p_4\} \), \( S_3 = \{p_2, p_3, p_5\} \), and \( S_4 = \{p_3, p_5\} \), where \( S_1 \), \( S_2 \), and \( S_4 \) are minimal. By \( \star S_4 = \{t_2, t_3\} \) and \( S_4^* = \{t_1, t_2, t_3\} \), we have \( \star S_4 \subset S_4^* \), which means that \( S_4 \) is an SMS. In Figure 1, \( S_1 \), \( S_2 \), and \( S_3 \) are both siphons and traps since \( \star S_1 = S_1^* \), \( \star S_2 = S_2^* \), and \( \star S_3 = S_3^* \).

Property 2. Let \( M \in R(N, M_0) \) be a marking of net \((N, M_0)\) and \( S \) be a siphon. If \( M(S) = 0 \), then \( \forall M' \in R(N, M), M'(S) = 0 \).

Property 3. Let \( M \in R(N, M_0) \) be a marking of net \((N, M_0)\) and \( S \) be a trap. If \( M(S) > 0 \), then \( \forall M' \in R(N, M), M'(S) > 0 \).

These two properties indicate that once a siphon is emptied, it remains unmarked at any subsequent markings that are reachable from the current marking, and once a trap is marked at a marking, it is always marked at the subsequent markings. An empty siphon \( S \) means that \( \exists t \in S^* \), \( t \) is enabled. By Definition 1, all transitions belong to the postset of a siphon can never be enabled once it is emptied. Therefore, they are dead, which leads to the fact that a net containing these transitions is not live. As a result, siphons as an important structure object and their markedness or emptiness are closely related with the liveness and deadlock-freedom of a Petri net.

Controllability conditions of siphons

The controllability concept of a Petri net can be found in the works by Murata\textsuperscript{34} and Barkaoui and Pradat-Peyrc.\textsuperscript{234} A net is said to be completely controllable if any marking is reachable from any other marking.\textsuperscript{34} In an ordinary Petri net, a siphon is said to be controlled if it cannot be unmarked at any reachable marking.\textsuperscript{31,235}

If a Petri net is generalized, owing to the weights of arcs, the non-emptiness of a siphon is not sufficient for the absence of dead transitions, and the controllability of a siphon is much more complex. This part reviews the controllability of siphons in the literature.

Ordinary Petri nets. Theorem 1. Let \((N, M_0)\) be an ordinary net and \( II \) be the set of its siphons.\textsuperscript{31,234} The net is deadlock-free if \( \forall S \in II \), \( \forall M \in R(N, M_0), M(S) > 0 \).

Theorem 2. Let \((N, M)\) be an ordinary net that is in a deadlock state.\textsuperscript{31,234} Then, \( \{p \in P | M(p) = 0\} \) is a siphon.

Theorem 1 means that if no (minimal) siphon eventually becomes empty, an ordinary Petri net is

Figure 1. A Petri net \((N, M_0)\).
deadlock-free. Theorem 2 implies that if an ordinary net is dead, then all unmarked places form a siphon.

**Corollary 2.** A deadlocked ordinary Petri net contains at least one empty siphon.\(^{31,234}\)

**Definition 2.** A siphon \( S \) is said to be controlled in an ordinary Petri net system \((N, M_0)\) if \( \forall M \in R(N, M_0), M(S) > 0 \).\(^{31,234}\)

**Definition 3.** Siphon \( S \) in an ordinary net system \((N, M_0)\) is invariant-controlled by \( P \)-invariant \( I \) at \( M_0 \) if \( I^T M_0 > 0 \) and \( \forall p \in P, S, I(p) \leq 0 \), or equivalently, \( I^T M_0 > 0 \) and \( ||I||^+ \subseteq S \).\(^{31,234}\)

At an initial marking \( M_0 \), if a siphon \( S \) is controlled by a \( P \)-invariant \( I \), \( S \) cannot be emptied, that is, \( S \) is marked at any reachable marking \( M \in R(N, M_0) \). In essence, the controllability of siphon \( S \) by adding a monitor is ensured by the fact that the number of tokens outflows from \( S \) is restricted by a marking invariant law imposed on the Petri net, which is implemented by a \( P \)-invariant whose support contains the monitor.

**Generalized Petri nets.** An empty siphon in an ordinary net can cause some transitions to be disabled forever. The case in a generalized Petri net is much more complicated. Owing to the weighted arcs, an insufficiently marked siphon leads to the occurrence of deadlocks.

**Corollary 3.** Let \( N = (P, T, F, W) \) be a deadlocked net at marking \( M_0 \).\(^{31}\) Then, it has at least one siphon \( S \) such that \( \forall p \in S, \exists r \in p^* \) such that \( W(p, t) > M(p) \).

On the whole, the controllability concept is concerned with the enabling and firing of transitions connected with the considered siphons.

**Max-controlled conditions.** Barkaoui and colleagues\(^{234,236}\) first propose the concepts of max-controlled siphons and cs-property (controlled-siphon property) for Petri nets. Barkaoui et al.\(^{237}\) conclude that an asymmetric choice net with homogeneous valuation is live if it satisfies the cs-property. A marked \( S^4 PR \) net is live if it satisfies the cs-property, which means that the cs-property is a sufficient but not necessary condition for the liveness of an \( S^4 R \).\(^{31,238}\)

**Definition 4.** Let \((N, M_0)\) be a net system and \( S \) be a siphon of \( N \).\(^{234}\) \( S \) is said to be max-marked at a marking \( M \in R(N, M_0) \) if \( \exists p \in S \) such that \( M(p) \geq max_p^r \), where \( max_p^r = max\{W(p, t) | t \in p^*\} \).

**Example 2.** For the generalized net in Figure 2(a), it has two \( P \)-invariants \( I_1 = p_2 + p_6 \) and \( I_2 = p_2 + 2p_3 + p_5 \). Trivially, \( I_1 - I_2 = 2p_3 + p_5 - p_6 \) is also a \( P \)-invariant. Let \( S = \{p_3, p_5\} \). Since \( *S \subseteq S^* \), \( S \) is an SMS. It can be found that \( ||I||^- = S \), \( ||I||^- \cap S = \emptyset \), and \( I^T M_0 = M_0(p_3) + 2M_0(p_5) - M_0(p_6) = 1 \). We have \( \sum_{p \in S} I(p)(max_{p^r} - 1) = I(p_3)(max_{p_3} - 1) + I(p_5)(max_{p_5} - 1) = 0 \). In consequence, \( S \) is max-controlled owing to \( I^T M_0 > \sum_{p \in S} I(p)(max_{p^r} - 1) \). The reachability graph of the net in Figure 2(a) is shown in Figure 2(b).

Barkaoui et al.\(^{237}\) propose a more important result about the equivalence between liveness and cs-property in an ordered \( P/T \) system. Ordered \( P/T \) systems (not necessarily bounded) include a number of subclasses of Petri nets, such as asymmetric choice (AC) systems.\(^{234}\)

![Diagram](attachment:image.png)
Join Free (JF) systems, Equal Conflict (EC) systems, and Extended Free Choice (EFC) nets.

**Theorem 3.** Let \((N, M_0)\) be an ordered \(P/T\) system.\(^{237}\) The two following statements are equivalent: (1) \((N, M_0)\) satisfies the cs-property and (2) \((N, M_0)\) is live.

**Max'controlled conditions.** The max'controlled condition of siphons is first proposed by Chao\(^ {238}\) in S\(^4\)PR for the sake of reducing the restriction of the max-controlled condition. Zhong and Li\(^ {240}\) refine this concept and develop a formal definition of self-max'controlled conditions for WS\(^3\)PR. They conclude that a WS\(^3\)PR is live if each SMS is self-max'controlled. Motivated by the work of Chao,\(^ {238}\) Hou et al.\(^ {222}\) propose the formal definitions of max'controlled conditions for S\(^3\)PR. The following results are mainly from the works by Chao\(^ {238}\) and Hou et al.\(^ {222}\)

Let \(N = (P, T, F, W)\) be a Petri net and \(S \subseteq P\) be a subset of places.\(^ {238}\) The subnet generated by \(X = S \cup S^*\) is denoted by \(N_S\), where \(N_S = (S,S^*,F_X, W_X)\). The set of input places of \(N_S\) is called the set of input places of \(S\), denoted as \(P_S\).\(^ {222}\)

**Definition 7.** Let \(I\) be the set of minimal siphons in a net \((N, M_0)\).\(^ {31}\) It is said to be well-initially-marked if \(\forall S \in I\), \(M_{\text{max}}(S) = M_0(S)\), where \(M_{\text{max}}(S) = \max\{M(S)|M \in R(N, M_0)\}\).

**Definition 8.** Let \(S\) be a siphon of a well-initially-marked S\(^3\)PR \((N, M_0)\).\(^ {222}\) \(S\) is said to be max'marked at marking \(M \in R(N, M_0)\) if: (1) \(\exists p \in S^0\) such that \(M(p) \geq 1\), or (2) \(\exists p \in S^R\) such that \(M(p) = \max_{r \in (P \times C)\cap (S \times C)} \{W(p, t)\}\).

**Definition 9.** Let \(S\) be a siphon of a well-initially-marked S\(^3\)PR \((N, M_0)\).\(^ {222}\) \(S\) is said to be max'controlled if \(S\) is max'marked at any reachable marking \(M \in R(N, M_0)\).

**Theorem 4.** Let \((N, M_0)\) be a well-initially-marked S\(^3\)PR.\(^ {238}\) \(N\) is live if every siphon in \(N\) is max'controlled.

**Example 3.** In the net shown in Figure 3, \(S\) is an SMS with \(S^0 = S \cap P_A = \{p_1, p_6, p_8\}\) and \(S^R = S \cap P_R = \{p_9, p_{10}\}\). Then, we have \(|S| = |S^*| = 2\) and \(P^S = \{p_2, p_3\}\). Note that \(|S^*| = \{t_1, t_2\}, P^S \cap |S^*| = \{t_1\}, \) and \(P^S \cap |S^*| = \{t_2\}\). For \(p_9 \in S^R, M'(p_9) = \max_{r \in (P \times C)\cap (S \times C)} \{W(p_9, t)\} = W(p_9, t_3) = 1\). As a result, \(S\) is max'controlled at \(M'\).

**Max''controlled conditions.** Liu et al.\(^ {201}\) present the max''-controllability condition of siphons to relax the max'-controllability condition.

**Definition 10.** Let \(S\) be a siphon in a well-marked S\(^4\)PR \((N, M_0)\).\(^ {201}\) \(S\) is said to be max''-controllable if the following conditions hold:

1. \(M\) is an initial marking;
2. \(\exists p \in S^0\) such that \(M(p) \geq 1\);
3. \(\exists r \in S^R, \min_{t \in T} \frac{\alpha_t \cdot W(t, r)}{W(r', t)} \leq M(r')\)

\[\alpha_t \in \mathbb{N}\]

**Definition 11.** Let \(S\) be a siphon in a well-marked S\(^4\)PR \((N, M_0)\).\(^ {201}\) \(S\) is said to be max''-controlled if \(\forall M \in R(N, M_0)\), \(S\) is max''-marked at \(M\).

**Theorem 5.** Let \((N, M_0)\) be a well-marked S\(^4\)PR \((N, M_0)\).\(^ {219}\) The net is live if all its siphons are max''-controlled.

**Max***-controlled conditions.** Liu and Barkaoui\(^ {241}\) present the max*-controllability condition of siphons to relax the existing controllability conditions for GS\(^3\)PR nets.

**Definition 12.** Let \(S\) be a strict siphon in a well-marked GS\(^3\)PR net \((N, M_0)\).\(^ {241}\) \(S\) is said to be max*-marked
Definition 13. Let $S$ be a siphon in a marked WS$^3$PR $(N, M_0)$ with $N = (P_A \cup P_0 \cup P_R, T, F, W)$. A $S$ is said to be W-marked at $M \in R(N, M_0)$ if $\exists r \in S^R \cap S^R^\prime$. $M' \in R(N, M)$ such that $\exists M'' \in R(N, M')$ satisfies $M''(r) \geq W(r, t)$, where $\{r\} = \{t \in P_R\}$.

Definition 14. Let $S$ be a siphon in a marked WS$^3$PR $(N, M_0)$. A $S$ is said to be W-controlled if $S$ is W-marked at any marking $M \in R(N, M_0)$.

Theorem 7. Let $(N, M_0)$ be a marked WS$^3$PR. The net is live if and only if all its siphons are W-controlled.

Note that max-controllability, max'-controllability, and max''-controllability can be utilized in S$^3$PR, and all of them are sufficient but not necessary conditions. Max''-controllability and W-controllability are both necessary and sufficient. The former is proposed for GS$^3$PR, and the latter is developed for WS$^3$PR.

Elementary and dependent siphons

Definition 15. Let $S \subseteq P$ be a place subset of Petri net $N = (P, T, F, W)$. A P-vector $\lambda_S$ is called the characteristic P-vector of $S$ if $\forall p \in S$, $\lambda_S(p) = 1$; otherwise, $\lambda_S(p) = 0$. $\eta_S = [N]^T \lambda_S$ is called the characteristic T-vector of $S$, where $[N]^T$ is the transpose of incidence matrix $[N]$.

Definition 16. Let $N = (P, T, F, W)$ be a net with $|P| = m$, $|T| = n$ and $\Pi = \{S_1, S_2, \ldots, S_k\}$ be a set of siphons of $N$. $\Pi \subseteq \Pi_E$ is called a strongly dependent P- and T-vector matrices of the siphons in $\Pi$, respectively.

Definition 17. Let $\eta_{S_1}, \eta_{S_2}, \ldots, \eta_{S_k}$ be a linearly independent maximal set of matrix $[\eta]$. Then, $\Pi_E = \{S_1, S_2, \ldots, S_k\}$ is called a set of elementary siphons in $N$. $\Sigma S \in \Pi_E$ is called a strongly dependent siphon if $\eta_S = \sum_{S \in \Pi_E} a_S$ and $a_S > 0$. $\Sigma S \in \Pi_E$ is called a weakly dependent siphon if $\exists A, B \subseteq \Pi_E$ such that $A \neq \emptyset, B \neq \emptyset, A \cap B = \emptyset$, and $\eta_S = \sum_{S \in \Pi_E} a_S$ where $a_S > 0$.

Theorem 8. $|\Pi_E| \leq \min\{|P|, |T|\}$.

The result indicates that the number of elementary siphons in a Petri net is no more than the smaller of place and transition counts. Let $\Pi$ be the siphon set in a net, and $\Pi_E$ (resp. $\Pi_D$) be the set of elementary (resp. dependent) ones within the scope of $\Pi$. We have $\Pi = \Pi_E \cup \Pi_D$.

Example 4. The Petri net shown in the left of Figure 4 has three SMSs: $S_1 = \{p_5, p_6, p_9, p_{13}, p_{14}\}$, $S_2 = \{p_2, p_5, p_{10}, p_{12}, p_{13}\}$, and $S_3 = \{p_5, p_6, p_{10}, p_{12}, p_{13}, p_{14}\}$. We have $[\lambda]$ and $[\eta]$ as follows

$[\lambda] = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ -1 & 1 & 1 & 0 & 0 & -1 & 1 & 0 & -1 & 1 & 0 & 0 \\ 2 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & -1 & 1 & 0 \\ -2 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 1 & 0 \\ -2 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 1 & 0 \\ -2 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 1 & 0 \\ -2 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 1 & 0 \end{pmatrix}$

It can be verified that $\text{Rank}[\eta] = |\Pi_E| = 2$, that is, there are two elementary siphons. If $S_1$ and $S_2$ are selected as elementary siphons, $S_3$ is strongly dependent with $\eta_{S_3} = \eta_{S_1} \ominus \eta_{S_2}$. If $S_1$ and $S_2$ are selected as elementary ones, $S_3$ becomes weakly dependent, and $\eta_{S_3} = \eta_{S_1} \ominus \eta_{S_2}$. If $S_2$ and $S_3$ are selected as elementary ones, $S_1$ is weakly dependent.
Theorem 9. A weakly dependent siphon $S$ is controlled if

$$M_0(S) > \sum_{i=1}^{n} a_i(M_0(S_i) - M_{min}(S_i))$$

$$- \sum_{j=n+1}^{m} a_j(M_0(S_j) - M_{max}(S_j))$$

Corollary 4. A strongly dependent siphon $S$ is controlled if

$$M_0(S) > \sum_{i=1}^{n} a_i(M_0(S_i) - M_{min}(S_i))$$

Lemma 1. Let $S$ be a siphon in net $(N,M_0)$ and $M \in R(N,M_0)$ be a marking. $S$ is max-marked at $M$ if $M(S) > \omega(S)$, where $\omega(S) = \sum_{p \in S} (\max_{r^*} - 1)$.

Theorem 10. A strongly dependent siphon $S$ is max-controlled if

$$M_0(S) > \sum_{i=1}^{n} a_i M_0(S_i) - \sum_{i=1}^{n} a_i M_{min}(S_i) + \omega(S)$$

Theorem 11. A weakly dependent siphon $S$ is max-controlled if

$$M_0(S) > \sum_{i=1}^{n} a_i(M_0(S_i) - M_{min}(S_i))$$

$$- \sum_{j=n+1}^{m} a_j(M_0(S_j) - M_{max}(S_j)) + \omega(S)$$

From Definition 7, a well-initially-marked Petri net indicates that at the initial marking, a siphon has the maximal number of tokens. The controllability conditions of strongly and dependent siphons can be unified to one condition shown as follows.

Corollary 5. A dependent siphon $S$ in a well-initially-marked net $(N,M_0)$ is max-controlled if

$$M_0(S) > \sum_{i=1}^{n} a_i(M_0(S_i) - M_{min}(S_i)) + \omega(S)$$

Example 5. From Example 1, $S_1$ is a strongly dependent siphon with $\eta_1 = \eta_{S_1} + \eta_{S_3}$ of the net in Figure 4. By considering monitors $V_{S_1}$ and $V_{S_3}$, we have $M_{min}(S_1) = M_{min}(S_3) = 2$. In addition, $M_1(S_1) = 5$, $M_1(S_3) = 4$, $M_1(S_4) = 7$, and $\omega(S_1) = 1$ and then $M_1(S) > \sum_{i=1}^{2} (M_1(S_i) - M_{min}(S_i)) + \omega(S_i)$ holds. As a result, $S_3$ is max-controlled due to the control by $V_{S_1}$ and $V_{S_3}$.

Augmented elementary siphons in generalized Petri nets

Based on the elementary siphons, a variety of deadlock control policies are developed for synthesizing liveness-enforcing supervisors. However, in generalized Petri nets, multiple resource requirements should be considered sufficiently in identifying a set of elementary siphons. Elementary siphons are further developed for generalized Petri nets.

Augmented elementary siphons in WS$^3$PR

Augmented elementary siphons. The augmented siphon and augmented complementary set of a siphon are defined in the work by Hou et al. for WS$^3$PR and then elementary siphons are redefined. The relationship between augmented siphons and their augmented complementary sets is also investigated by fully considering the weights information.

Definition 18. Let $S$ be a siphon in a WS$^3$PR net $N = (P_0 \cup P_1 \cup P_R, T, F, W)$ with $S = S^0 \cup S^R$, $S^0 = S \cap P_R$, and $S^R = S \setminus S^0$. A multiset $\hat{S}$ is the augmented version of siphon $S$ such that (1) $||\hat{S}|| = S$; (2) $\forall r \in S^R$, $\hat{S}(r) = 1$ and $\forall p \in S^0$, $\hat{S}(p) = I_5(p)$, where...
\[I_S(p) = \sum_{r \in S} I_r(p) \text{ and } I_r \text{ is the minimal } P\text{-semiflow associated with the resource } r.\]

Definition 18 indicates that a siphon \(S\) and its augmented version \(\tilde{S}\) are in one-to-one correspondence. \( ||S|| \) denotes the support of the multiset \(S\), and \( ||\tilde{S}|| = S\) means that a siphon \(S\) and its augmented version \(\tilde{S}\) have same elements. \(\tilde{S}\) introduces the weighted relationship of an operation place \(p\) utilizing a resource \(r\) in \(S\), where \(p, r \in S\).

**Definition 19.** Let \(S\) be a siphon in a WS3PR \(N = (P, T, F, W)\). \(P\)-vector \(\lambda_S\) is called the augmented characteristic \(P\)-vector of \(S\) if \(\forall p \in S, \lambda_S(p) = \tilde{S}(p)\); otherwise \(\lambda_S(p) = 0\). \(\eta_S = [N]^T \lambda_S\) is called the augmented characteristic \(T\)-vector of \(S\), where \([N]^T\) is the transpose of incidence matrices \([N]\).

**Definition 20.** Let \([\tilde{\alpha}]_m \times m = [\lambda_S; \lambda_{S_1}; \ldots; \lambda_{S_n}]^T\) and \([\tilde{\eta}]_m \times m = [\tilde{\lambda}]; [\tilde{\lambda}_S]; \ldots; [\tilde{\lambda}_{S_n}]^T\) be augmented characteristic \(P\)- and \(T\)-vector matrices of the siphons in a WS3PR \(N = (P, T, F, W)\), respectively.

1. \(\Pi_{E_j} = \{S_\alpha, S_\beta, \ldots, S_r\}\) is called a set of augmented elementary siphons in \(N\) if \(\eta_{S_\alpha}, \eta_{S_\beta}, \ldots, \eta_{S_r}\) form a linearly independent maximal set of \([\eta]\), where \(\{\alpha, \beta, \ldots, \gamma\} \subseteq \Omega_i\).
2. \(S \notin \Pi_{E_j}\) is called a strongly augmented dependent siphon if \(\eta_S = \sum_{S_i \in \Pi_{E_j}} a_i \eta_{S_i}\), where \(a_i \geq 0\); \(S \notin \Pi_{E_j}\) is called a weakly augmented dependent siphon if \(\exists A, B \subset \Pi_{E_j} \text{ such that } A \neq \emptyset, B \neq \emptyset, A \cap B = \emptyset\), and \(\eta_S = \sum_{S_i \in A} a_i \eta_{S_i} - \sum_{S_i \in B} a_i \eta_{S_i}\), where \(a_i, a_j \geq 0\).
3. Let \(\Pi\) (resp. \(\Pi_{D_j}\)) be the set of SMSSs (resp. dependent augmented siphons). We have \(\Pi = \Pi_{E_j} \cup \Pi_{D_j}\).

**Example 6.** A WS3PR is shown in Figure 5. It has three SMSSs: \(S_1 = \{p_3, p_8, p_9, p_{10}\}\), \(S_2 = \{p_4, p_7, p_{10}, p_{11}\}\), and \(S_3 = \{p_4, p_8, p_9, p_{10}, p_{11}\}\). Their augmented versions are \(\tilde{S}_1 = \{p_3, p_8, p_9, p_{10}\}, \tilde{S}_2 = \{p_4, p_7, p_{10}, p_{11}\}\), and \(\tilde{S}_3 = \{p_4, p_8, p_9, p_{10}, p_{11}\}\). One can obtain \(\lambda_{\tilde{S}_1} = p_3 + p_8 + p_9 + p_{10}\), \(\lambda_{\tilde{S}_2} = 2p_4 + 2p_7 + p_{10} + p_{11}\), and \(\lambda_{\tilde{S}_3} = 2p_4 + p_8 + p_9 + p_{10} + p_{11}\). Hence, \(\eta_{\tilde{S}_1} = -t_1 + t_2 -2t_6 + 2t_7, \eta_{\tilde{S}_2} = -t_2 + t_3 - t_4 + t_6,\) and \(\eta_{\tilde{S}_3} = -t_4 + t_5 - t_6 + 2t_7\).

We have \(\text{rank}([\tilde{\eta}]) = 2\) and \(\eta_{\tilde{S}_1} = \eta_{\tilde{S}_2} + \eta_{\tilde{S}_3}\). It means that \(S_1\) is a strongly augmented dependent siphon, and \(S_1\) and \(S_2\) are the corresponding augmented elementary ones, that is, \(\Pi_{E_j} = \{S_1, S_2\}\) and \(\Pi_{D_j} = \{S_3\}\). The method in the work by Li and Zhou\(^{215}\) is used, \(\text{rank}([\tilde{\eta}]) = 3\) can be obtained, implying that all SMSSs are elementary. A compact elementary siphon set can be obtained by the improved method compared with the work by Li and Zhou.\(^{215}\)

**Figure 5.** A WS3PR \(N\).

**Definition 21.** Let \(S = S^R \cup S^P\) be a siphon in a WS3PR \(N\), and \(\tilde{S}\) be its augmented version. \(\tilde{S} = I_S - \tilde{S}\) is called the augmented complementary set of \(S\).

**Lemma 2.** Let \(\tilde{S}\) and \([\tilde{\eta}]\) be the augmented siphon and augmented complementary set of a siphon \(S\) in a WS3PR \(N\), respectively. We have \(\lambda_{\tilde{S}} + \lambda_{[\tilde{\eta}]} = I_S\).

In Figure 5, \([\tilde{\eta}]^1 = p_2 + 2p_7, [\tilde{\eta}]^2 = p_3 + p_6\), and \([\tilde{\eta}]^3 = p_2 + p_3 + p_6 + 2p_7\). It is known that for a siphon in an S3PR, \(\lambda_S + \lambda_{[\tilde{\eta}]} = I_S\), where \([\tilde{\eta}] = I_S\). However, it is not always true for generalized nets. The introduction of \(\lambda_{[\tilde{\eta}]\} \text{ ensures that } \lambda_{\tilde{S}} + \lambda_{[\tilde{\eta}]} = I_S\) always holds in a WS3PR. Lemma 2 indicates the relationship between characteristic \(T\)-vectors of dependent and elementary siphons and their augmented complementary sets are consistent.

**Theorem 12.** Let \(S\) be a dependent siphon in \(N\). \(\eta_S = \sum_{i = 1}^{m} a_i \eta_{S_i} - \sum_{j = m + 1}^{n} a_i \eta_{S_j}\) if \(\sqrt{\eta} = \sum_{i = 1}^{m} a_i \sqrt{S_i} - \sum_{j = m + 1}^{n} a_i \sqrt{S_j}\).

**Controllability of augmented dependent siphons.** In a WS3PR, all SMSSs are divided into the sets of augmented elementary and augmented dependent ones. The condition in Corollary 5 is still valid, under which an augmented dependent siphon can be always max-marked if their augmented elementary ones are properly controlled.

**Augmented elementary siphons in GLS3PR.** Elementary siphon theory is further improved by Hou et al.\(^{223}\) for a class of generalized Petri nets, namely, GLS3PR that is more general than WS3PR. The following results are from the work by Hou et al.\(^{223}\)

**Augmented elementary siphons.** By fully investigating the net structure, especially weights information, the set of elementary siphons obtained by the improved method is more compact and well suited for GLS3PR.
Definition 22. Let \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) be a GLS\(^3\)PR. \( \forall r \in P_R, \ t \in \ast, \ t' \in \ast^r \) such that \( t' <_N t' \) and \( t' <_N t \), then \( t' \times t \) are called a transition-pair (\( t \)-pair for short) of \( r \), denoted as \( \langle t', t \rangle \).

Definition 23. Let \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) be a GLS\(^3\)PR. \( \forall r \in P_R, \ H(r) = \|I_r\|\{\}, \) the operation places that use \( r \), is called the set of holders of \( r \). Its multiset version is \( H_t = I_t - r \). Let \( \langle t', t \rangle \) be a \( r \)-pair of \( r \). \( h_t(r) = \{p|p' \times p, p <_N t, p \in H(r)\} \), the operation places that use \( r \) between \( t' \) and \( t \), is called the set of holders of \( r \) with respect to \( t \)-pair \( \langle t', t \rangle \). Its multiset version is \( h_t = \sum_{p \in H_t} I_t(p)p \).

Definition 24. Let \( S \) be a siphon in a GLS\(^3\)PR \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) with \( S = S^p \cup S^r \), \( S^r = S \cap P_R \), and \( S^p = S \setminus S^r \). A multiset \( \bar{S} \) is the augmented version of \( S \) such that (1) \( \|\bar{S}\| = S \) and (2) \( \forall p \in S, \bar{S}(p) = k, \ k \in \mathbb{N}^+ \). (a) \( \forall p \in S^p \), \( \forall r \in S^r \), \( \bar{S}(r) = 1 \) and if \( p \in h_t(r) \) and \( *t \cap t' \neq \emptyset \), then \( \bar{S}_t(p) = I_r(p) \), else \( \bar{S}(p) = 0 \) and (b) \( \bar{S}(p) = \sum_{r \in S} S_t(p) \).

Definition 25. Let \( S \) be a siphon in a GLS\(^3\)PR \( N \). \( \lambda_S \) is called the augmented characteristic \( P \)-vector of \( S \) if \( \forall p \in S, \lambda_S(p) = S(p) \); otherwise \( \lambda_S(p) = 0 \). \( \bar{\lambda}_S = [N]^T \lambda_S \) is called the augmented characteristic \( T \)-vector of \( S \).

Definition 26. Let \( \overline{[\lambda]}_{k \times m} = [\lambda_S \lambda_S \cdots \lambda_S]^T \) and \( \overline{[\bar{\lambda}]}_{k \times n} = [\bar{\lambda}_S \bar{\lambda}_S \cdots \bar{\lambda}_S]^T \) be augmented characteristic \( P \)- and \( T \)-vectors of the siphons in a GLS\(^3\)PR \( N \), respectively.

1. \( \Pi_{E_0} = \{S_1, S_2, \ldots, S_j\} \) is called a set of augmented elementary siphons in \( N \) if \( \eta_S, \eta_S, \ldots, \eta_S \) (\( \{a, b, \ldots, y\} \subseteq \mathbb{N} \)) constitute a linearly independent maximal set of \( \mathbb{N} \).
2. \( S \notin \Pi_{E_0} \) is an augmented dependent siphon if \( \eta_S = \sum_{S \in \Pi_{E_0}} a_i \eta_S \), where \( a_i > 0 \); \( \bar{S} \notin \Pi_{E_0} \) is called a weakly augmented dependent siphon if \( \exists A, B \subseteq \Pi_{E_0} \) such that \( A \neq \emptyset, B \neq \emptyset \), \( A \cap B = \emptyset \), and \( \eta_S = \sum_{S \in A} a_i \eta_S - \sum_{S \in B} a_i \eta_S \), where \( a_i, a_j > 0 \).
3. Let \( \Pi \) (resp. \( \Pi_{D_0} \)) be the set of SMSs (resp. augmented dependent siphons) in \( N \). We have \( \Pi = \Pi_{E_0} \cup \Pi_{D_0} \).

Elementary and dependent siphons defined in Definition 17 are originally proposed and further clarified in the work by Li and Zhou.\(^{215,216}\) In order to differentiate from the augmented elementary ones, elementary (resp. dependent) siphons obtained by Definition 17 are called the original elementary siphons, denoted as \( \Pi_{E_0} \) (resp. \( \Pi_{D_0} \)).
Fig. 6. A GLS$^3$PR ($N, M_0$).

It can be verified that $rank[\bar{\eta}] = 2$ with 
\( \eta_{S_1} = \eta_{S_2} + \eta_{S_3}, \)
leading to $\Pi_{E_3} = \{S_1, S_2\}$ and $\Pi_{D_3} = \{S_3\}$.

By Definitions 17, we have
\[
\begin{align*}
\Lambda_{S_1} &= p_2 + p_4 + p_5 + p_{10} + p_{11} + p_{12} \\
\Lambda_{S_2} &= p_4 + p_5 + p_8 + p_9 + p_{12} + p_{13} \\
\Lambda_{S_3} &= p_4 + p_5 + p_8 + p_{10} + p_{11} + p_{12} + p_{13}
\end{align*}
\]

Hence
\[
\begin{align*}
\eta_{S_1} &= - t_1 + t_2 - 2t_7 + 2\eta_g \\
\eta_{S_2} &= - t_2 + t_4 - t_6 - t_7 + t_8 + t_9 \\
\eta_{S_3} &= - t_1 + t_4 - t_6 - t_7 + 2\eta_g
\end{align*}
\]

Then, $rank[\bar{\eta}] = 3$, implying that all SMs are original elementary. In this example, the improved elementary siphon method can lead to a compact set of elementary siphons. According to Definition 27, we have $\Pi_E = \Pi_{E_3} \cap \Pi_{E_3} = \{S_1, S_2\}$ and $\Pi_D = \Pi_{D_3} \cup \Pi_{D_3} = \{S_3\}$.

By Definition 28, $[\bar{S}] = p_2 + 2p_8 + 2p_9$, $[\bar{S}_2] = p_3 + p_7$, and $[\bar{S}_3] = p_2 + p_3 + p_7 + 2p_8 + 2p_9$. It is found that $[\bar{S}_1] = \bar{S}_1 + \bar{S}_2$, corresponding to $\eta_{S_1} = \eta_{S_1} + \eta_{S_2}$.

Controllability of dependent siphons. In the work by Hou et al., the elementary (resp. dependent) siphons consist of original and augmented parts. Obviously, the condition in Corollary 5 holds for all original dependent siphons. Moreover, it is proved that the condition in Corollary 5 is also applicable to augmented dependent siphons. The controllability of improved dependent siphons obtained by Definition 27 can be ensured by properly supervising their elementary siphons in GLS$^3$PR nets.

**Augmented elementary siphons in S$^4$PR.** Motivated by the purpose of improving the concept of elementary siphons for finding a set of more compact and suitable elementary siphons in an S$^4$PR, the improved siphons in an S$^4$PR are further studied in the work by Hou et al. by fully investigating the topological structure and arc weights. The improved elementary and dependent siphons are given subsequently. The following results are from the work by Hou et al.

**Definition 29.** Let $N = (P_A \cup P_0 \cup P_R, T, F, W)$ be an S$^4$PR. For $r \in P_R$, $H(r) = \{p | p \in \{|I_r| \cap P_3\}\}$, the operation places that use $r$, is called the set of holders of $r$. Let place set $h_i(r) \subseteq H(r)$ be a subset of holders of $r$ and $\bigcup h_i(r) = H(r)$. If $\forall p \in h_i(r)$, exists $h_i(r)^* \text{ and } p' \in h_i(r)$, such that $p'^r(p' \cup r) = \{t\} \not\in \emptyset (i \in \mathbb{N}^+)$, then $h_i(r)$ is called a subset of sequential holders of $r$, denoted as $h_i^r(r)$.

**Definition 30.** Let $S$ be a siphon in an S$^4$PR $N = (P_A \cup P_0 \cup P_R, T, F, W)$ with $S = S^r \cup S^r$, and $S^r = S \cap P_R$ and $S^r = S \cap P_3$. A multiset $S = \sum_{p \in S} l(p)\bar{p}$ is called an augmented version of $S$ if (1) $\forall p \in S^r$, $l(p) = 1$ and (2) $\forall p \in S^r$: (a) $p \in h_i(r)$, if $p^* = \emptyset$, then $\exists A = \{p | (p) \wedge A = (r)\}$; (b) $l(p) = W(t, r)$ and $l(p) = \sum_{i \in S^r} l_i(p)$.

**Definition 31.** Let $S = S^r \cup S^r$ be a siphon in an S$^4$PR $N$ and $S$ be its augmented version. $[S] = I_S - \bar{S}$ is called the augmented complementary set of siphon $S$, where $I_S = \sum_{r \in S^r} I_r$.

**Definition 32.** Let $S \subseteq P$ be a subset of places in an S$^4$PR $N = (P, T, F, W)$. P-vector $\lambda_{S}^{*}$ is called the augmented characteristic P-vector of $S$ if $\forall p \in S$, $\lambda_{S}(p) = l(p)$; otherwise $\lambda_{S}(p) = 0$. $\eta_{S} = |N|\lambda_{S}^{*}$ is called the augmented characteristic T-vector of $S$.

**Definition 33.** Let $N = (P, T, F, W)$ be an S$^4$PR with $|P| = m$ and $|T| = n$, and $\Pi = \{S_1, S_2, \ldots, S_k\}$ be a set of siphons of $N$, where $m, n, k \in \mathbb{N}^+$. Let $\lambda_{S_i}(\eta_{S_i})$ be the augmented characteristic $P(T)$-vector of siphon $S_i$, $i \in \mathbb{N}^k$. $[\lambda]_{k \times m} = [\lambda_{S_1}(\eta_{S_1}), \ldots, \lambda_{S_k}(\eta_{S_k})]^T$ and $[\eta]_{k \times n} = [\eta_{S_1}, \ldots, \eta_{S_k}]_{m \times n} = [\eta_{S_1}, \ldots, \eta_{S_k}]_{T}$ are called the augmented characteristic $P$- and $T$-vector matrices of the siphons in $N$, respectively.

**Definition 34.** Let $[\bar{\eta}]$ be the augmented characteristic $T$-vector matrix of the set of siphons $\Pi = \{S_1, S_2, \ldots, S_k\}$ in an S$^4$PR $N = (P, T, F, W)$.
Definition 17. Then, elementary siphons defined in Definition 35 (resp. and 
\[ P \]) concept of elementary siphons in the work by Li and Hou and Barkaoui

Example 8. Let \( \Pi \) (resp. \( \Pi_{D_0} \)) be the set of SMSs (resp. augmented dependent siphons), we have \( \Pi = \Pi_{E_0} \cup \Pi_{D_0} \).

Definition 35. \( \Pi_E = \Pi_{E_0} \cap \Pi_{E_d} \) (resp. \( \Pi_D = \Pi_{D_0} \cup \Pi_{D_d} \)) is called the set of elementary (resp. dependent) siphons of \( N \).

Lemma 4. Let \( \Pi \) be a set of SMSs in an \( S^3PR \). Then, \( \Pi = \Pi_E \cup \Pi_D \) and \( \Pi_E \cap \Pi_D = \emptyset \), where \( \Pi_E = \Pi_{E_0} \cap \Pi_{E_d} \) and \( \Pi_D = \Pi_{D_0} \cup \Pi_{D_d} \).

Theorem 15. Let \( N_{ES} \) (resp. \( N_{E50} \)) denote the number of elementary siphons defined in Definition 35 (resp. Definition 17). Then, \( N_{ES} = |\Pi_E| \leq N_{E50} \).

Example 8. The net in Figure 7 is an \( S^3PR \). It has seven SMSs: \( S_1 = \{ p_3, p_4, p_{12}, p_{13}, p_{14} \} \), \( S_2 = \{ p_5, p_{11}, p_{14}, p_{15} \} \), \( S_3 = \{ p_5, p_{10}, p_{10}, p_{15} \} \), \( S_4 = \{ p_6, p_9, p_{10}, p_{15}, p_{16} \} \), \( S_5 = \{ p_5, p_{12}, p_{13}, p_{14}, p_{15} \} \), \( S_6 = \{ p_6, p_9, p_{11}, p_{14}, p_{15}, p_{16} \} \), and \( S_7 = \{ p_5, p_{10}, p_{10}, p_{12}, p_{13}, p_{14}, p_{15}, p_{16} \} \). By utilizing the concept of elementary siphons in the work by Li and Zhou\(^\text{15}\) presented in Definition 17, one obtains

Figure 7. An \( S^3PR \) model \((N, M_0)\).
A dependent siphon $S$ in a well-initially-marked $S^\text{PR}$ $(N, M_0)$ is max’-controlled if $M_0(S) > \sum_{i=1}^{\#S} a_i(M_0(S_i) - M_{\text{min}}(S_i)) + \sigma(S)$.

Corollary 7. Let $S_i \in \Pi_{\text{D}_0}$ and $S_j \in \Pi_{\text{D}_j}$ be dependent siphons in a net $(N, M_0)$ with $\eta_{S_i} = \eta_{S_j} + \eta_{S_i}$ and $\eta_{S_j} = \eta_{S_i} + \eta_{S_j}$, where $S_2, S_3 \in \Pi_{\text{D}_0}$ and $S_4, S_5 \in \Pi_{\text{D}_j}$. If $S_1$ is max’-controlled if $M_0(S_1) > \sum_{i=2, 4, 5} (M_0(S_i) - M_{\text{min}}(S_i)) + \sigma(S_1)$.

Example 9. For the net shown in Figure 7, take $S_4 = \{p_6, p_9, p_{10}, p_{15}, p_{16}\}$ as an example. Note that $[S_4]_t = \{t_3, t_3, t_3\}$, we have $p_{15}^* \cap (\{S_4\} \cap P^{\text{SR}}_0)^* = \{t_3\}$ and $p_{16}^* \cap (\{S_4\} \cap P^{\text{SR}}_R)^* = \{t_5\}$. Thus, $\sigma(S_4) = \sum_{p \in S_4} (\max_{t \in [p]} r_{p,t}) \{W(p, t) - 1\} = 0$, that is, $S_4$ is max’-controlled if $\forall M \in R(N, M_0)$, $M(S_4) > 0$. Meanwhile, according to Definition 5, $S_4$ is max-controlled if $M(S_4) > 2$. It is obvious that the max-controlled condition is more conservative than the max’-controlled condition.

For dependant siphon $S_i$, $\eta_{S_i} = \eta_{S_j} + \eta_{S_i}$. Considering that $\sigma(S_i) = 2$, $S_i$ is max’-controlled if $M_0(S_i) > \sum a_i(M_0(S_i) - M_{\text{min}}(S_i)) + \sigma(S_i)$. That is, $M_{\text{min}}(S_1) + M_{\text{min}}(S_2) > 4$.

### Computation of siphons and elementary siphons

**Siphons computation**

Siphons can be used to characterize deadlock states and solve deadlock problems in Petri nets. Siphon-based deadlock prevention control policies need the set of minimal siphons to be computed. Hence, a lot of studies have been devoted to siphons extraction, especially SMSs.

The work by Abdallah et al.247 is usually considered as an earlier and seminal research to compute minimal siphons in an ordinary Petri net. For an $S^\text{PR}$, an efficient algorithm for finding minimal siphons based on a logic programming approach is established. Suffering from the fact that the number of siphons grows quickly with the size of a net, the computation is usually time-consuming. To address the minimal siphon enumeration problem, an iterative method is proposed by Cordone et al.248 based on a partitioning strategy, with additional place constraints. The method is claimed to be rather efficient, which can find $2 \times 10^7$ siphons within 1 h.

Chu and Xie249 present a deadlock detection method by solving a mixed integer programming (MIP) problem, which can effectively avoid a complete siphon enumeration. It opens a new avenue for improving the computational efficiency of siphon-based deadlock prevention policies in large-scale systems. Huang et al.250 and Li et al. investigate methods for extracting minimal siphons from a maximal unmarked siphon that can be derived by an MIP method. A software package that can achieve the method is developed by Liu et al.251 Similarly works on minimal siphon extraction based on the MIP method are reported by Li et al.252 and Li and Li.220 To eliminate the minimal siphon extraction step, the work by Chao252 proposes a revised MIP method to directly compute unmarked siphons with a minimal number of places.

An algorithm with polynomial complexity is proposed by Barkaoui and Lemaire254 to decide whether a set of places is a minimal siphon. A series of classic and typical siphon computation methods are presented in the works by Barkaoui et al., Ezpeleta et al., Liu et al., and Yamauchi and Watanabe.

Binary decision diagrams (BDD) have the capability of representing large sets of data with small shared data structures. Chen and Liu255 present a symbolic approach to find minimal siphons of Petri nets using BDD. Formally representing all siphons by a Boolean function, minimal siphons are identified from all the siphons using BDD. The authors concluded that the computation of finding all siphons is efficient; however, identifying minimal siphons is time-consuming.

Tricas and Ezpeleta259 show how the special syntactical constraints of $S^\text{PR}$ can help in developing specific implementations to compute siphons in a very efficient way. A parallel solution to compute siphons is established by Tricas and Ezpeleta.260 Boer and Murata261 introduced a sign incidence matrix which can be used as a new approach to structural analysis of Petri nets. The presented algorithm can find basis siphons. The place-minimal siphons play a central role in the algorithm.

Resource-transition circuits can be used to characterize deadlocks. The relationship between siphons and maximal perfect resource-transition circuits is explored by Xing et al.256 An algorithm for computing all SMSs is proposed by Wang et al.261 based on resource circuits in $S^\text{PR}$. In this work, the concepts of loop resource subsets and their characteristic resource subnets are proposed, and sufficient and necessary conditions for loop resource subsets to generate SMS are established. However, the method needs to generate all the characteristic resource subnets and strong connectivity of each characteristic resource subnet, which makes the method tedious. The work by Liu et al.264 improves the method in the work by Wang et al.265 by introducing critical resource places and their related multi-way holder places, from which whether a loop resource subset can derive an SMS can be decided directly.

Chao made much work in the computation of minimal and elementary siphons in resources allocation systems (RASs). By the concept of handles and bridges265 Chao266 proposed a computational approach of
minimal siphons. The work by Chao\textsuperscript{252} develops an MIP-based siphon computation method. For an RAS that can be decomposed into a number of synchronized choice nets interconnected by resource places, an efficient approach of extracting SMSs in an incremental fashion rather than the traditional global is investigated by Chao.\textsuperscript{267}

**Elementary siphons computation**

Computation of elementary siphons proposed by Li et al.\textsuperscript{268} is essential for deadlock control; however, it is expensive since a complete siphon enumeration is needed. They assume that the siphon constructed from each resource circuit is elementary and proposed a polynomial algorithm to compute elementary siphons. The work by Chao\textsuperscript{219} develops a polynomial algorithm to find elementary siphons, which also constructs all SMSs on the way. The reason is that in the method proposed by Li and Zhou,\textsuperscript{269} a linear algebraic expression must be established for each dependent siphon, which implies that all SMSs must be located. However, all elementary siphons with polynomial complexity can be located.

Chao\textsuperscript{217} presents the \( T \)-characteristic vector \( \zeta \) to compute SMSs for \( S^3PR \) in an algebraic fashion. Elementary siphons can be constructed from elementary circuits. Thus, the set of elementary siphons can be computed without the knowledge of all SMSs. Based on the concept of handles and bridges,\textsuperscript{265} another elementary siphon computation method is developed by Chao.\textsuperscript{218}

Based on graph theory, Wang et al.\textsuperscript{233} propose a polynomial complexity algorithm to find a set of elementary siphons for a linear system of simple sequential processes with resources (LS\textsuperscript{3PR}). The algorithm is established through the use of a resource directed graph and complementary sets of SMSs. The upper bound of the SMSs is identified. By considering the arc weights information and multiple resources utilization, Hou et al. further investigate the graph theory–based elementary siphon computation method for generalized Petri nets, WS\textsuperscript{3PR} and GLS\textsuperscript{3PR}. Initial resource weighted digraphs and restricted subgraphs are proposed for WS\textsuperscript{3PR} and GLS\textsuperscript{3PR}, respectively, from which all SMSs and elementary siphons can be derived. The related works can be found in the studies by Hou et al.\textsuperscript{223,224}

Li et al.\textsuperscript{270} propose an iterative algorithm to extract a set of elementary siphons in \( S^3PR \). By an MIP method, the algorithm finds a maximal unmarked siphon at each iteration, classifies the places in it, extracts an elementary siphon from the classified places, and adds a new constraint in order to extract the next elementary siphon. The algorithm iteratively executes until no unmarked siphons can be found. A unique set of elementary siphons is obtained finally, and a complete siphon enumeration is avoided.

The work by Liu et al.\textsuperscript{271} establishes a polynomial-time complexity algorithm for enumerating elementary siphons in a subclass of Petri nets, basic systems of simple sequential processes with resources (BS\textsuperscript{3PR}), with polynomial-time complexity. By combining a graph-based technique, elementary RTCs are computed initially, from which MPCs can be derived. Then, a subset of SMSs is obtained from a subset of MPCs by the one-to-one relationship between MPCs and SMSs. A maximal set of linear independent rows of the characteristic \( T \)-vector matrix of the obtained subset of SMSs is computed by the Gauss elimination method, which corresponds to a set of elementary siphons. Some similar works can be found in the study by Wang et al.\textsuperscript{272} for a linear simple sequential process with resources.

**Siphon-based deadlock control policies**

As a significant structural object, siphons are extensively employed to implement a large number of deadlock control methods for AMS modeled by Petri nets. This section mainly reviews siphon-based deadlock control methods in terms of siphon enumeration, elementary siphon theory, and other combined analysis techniques.

**Complete siphon enumeration**

As for the structural analysis techniques of Petri nets to prevent deadlocks in AMS, the seminal work conducted by Ezpeleta et al.\textsuperscript{235} is usually considered to be a classical contribution to develop the monitor-based liveness-enforcing supervisors for Petri nets. By considering a class of ordinary Petri nets, namely, S\textsuperscript{3PR} nets, the relationship between SMSs and the liveness is revealed. For each unmarked SMS, a monitor is added such that it can be controlled, while all output arcs of additional monitors should point to the source transitions of the net model. After all siphons are controlled, it can be verified that the net system is live. The major advantage of this approach is that a plant net model and its supervisor are successfully separated. However, it also suffers from the issues of structural complexity, behavioral permissiveness, and computational complexity. As is well known, the number of SMSs grows exponentially with respect to the size of a net model in the worst case. From this method, the number of additional monitors is equal to that of all SMSs since every unmarked SMS needs a monitor to prevent from being emptied, resulting in high structural complexity. Second, the permissive behavior of the controlled system is overly restricted since all output arcs are directed to the source transitions. The computational
complexity stems from the complete siphon enumeration. The following years after 1995 have seen that a great deal of attention is focused on the aforementioned problems.

As is gradually recognized, the limited behavioral permissiveness flaws the notable deadlock prevention policy in the work by Ezpeleta et al.235 Based on the concepts of perfect-resource-transition circuits (PRT-circuits) and their saturated states, Xing and Hu262 successfully develop a liveness-enforcing Petri net supervisor for S\(^3\)PR with maximally permissive behavior and a minimized number of additional monitors. Since a saturated PRT-circuit of the S\(^3\)PR net implies the existence of circular wait that is tied to deadlock states, the liveness condition in the work by Xing and Hu262 is characterized by the fact that no PRT-circuit can reach a saturated state at any reachable marking of the system.

Starting from PRT-circuits, elementary maximal PRT-circuits are defined by Xing et al.273 Accordingly, all elementary RTCs and their maximal PRT-circuits are recursively constructed using the graph theory. It is worth noting that the RTC and siphons are two different structural objects of Petri nets. The work by Xing et al.273 establishes two kinds of deadlock control methods by computing all maximal PRT-circuits and SMSs. Furthermore, a one-to-one correspondence between SMS and maximal PRT-circuits and equivalence relation between two deadlock control methods are presented.

Siphon-based deadlock control often suffers from reaching fewer states than the maximally permissive one. Chao and Liu274 report an alternative control to reach the same good states as that based on the theory of regions, but with fewer monitors, by refining some monitors into several monitors with smaller controller regions. More states can be reached since the controller region is less disturbed by covering only a place in a subregion where only one place is marked at any reachable marking. Subsequently, Chao et al.275,276 propose a new approach that recovers the system from empty-siphon states to its former live states. The significance of this approach lies in the fact that the same number of states as the original uncontrolled model can be reached and yet using fewer monitors. Unfortunately, those methods suffer from material loss by aborting some operations.

A monitor-based liveness-enforcing Petri net supervisor derived from siphons has suffered from high structural complexity when the number of siphons is large. To obtain a small-sized Petri net controller, Liu et al.277 pioneer in the concept of a controllable siphon basis, proposed a new criterion for selecting a proper subset of siphons for control. By utilizing a controllable siphon basis, a novel deadlock prevention policy is developed such that a liveness-enforcing supervisor is derived after adding a monitor to each SMS in a controllable siphon basis. It is shown that the number of additional monitors is the same as that of SMSs in the controllable siphon basis, while the latter is no more than that of the operation places in an original Petri net. In the work by Liu et al.,277 it proves that there is no relationship between a controllable siphon basis and a set of elementary siphons. That is to say, the controllable siphon basis and a set of elementary siphons are totally different subsets of SMS in an S\(^3\)PR.

**Partial siphon enumeration**

Due to the inherent characteristics of Petri nets, the computational complexity has been a major problem when siphon-based deadlock prevention policies are developed. An efficient way of improving the computational efficiency of a siphon-based deadlock prevention policy is the introduction of the MIP-based deadlock detection method pioneered by Chu and Xie,249 which can successfully avoid the explicit enumeration of all SMSs and open a new research avenue.

Based on this method, Huang et al.250 first propose an iterative two-stage deadlock prevention policy for an S\(^3\)PR. The first stage is called siphon control, where a maximal unmarked siphon is obtained by solving an MIP problem at each iteration, from which an SMS can be derived. A monitor is added for each SMS to ensure its controllability using the invariant-controlled method as done by Ezpeleta et al.235 The aforementioned steps are iteratively executed until all siphons in the net are controlled. Then, the first stage terminates, whose termination leads to an augmented net system. At the second stage, namely, control-induced siphon control, the MIP-based deadlock detection method is further applied to the augmented net. After solving an MIP problem, a minimal siphon that contains at least one monitor is found. Then, additional monitors can ensure the controllability of siphons. Note that any output arc of the monitors added in the second stage should point to the source transition of the net model. Similarly, the step is repeated until no unmarked siphon can be found, implying that liveness is achieved. To some extent, this approach enjoys high computational efficiency compared with the existing ones in the literature at that time.

The two-stage method250 is further improved by Hong et al.278 by introducing an additional algorithm for removing the redundant constraints. The policy improves the behavioral permissiveness and greatly enhances the structural simplicity of a supervisor. Later, the MIP-based idea is applied to S\(^4\)PR279 and G-
Elementary siphon–based approaches

As mentioned previously, the policy in the work by Ezpeleta et al.\textsuperscript{235} suffers from the issue of structural complexity since the method needs to design additional monitors for all SMSs. When considering a large-scale net system, too many monitors are added such that the resulting supervisor is structurally complex in theory. If any difference or relationship among SMSs can be deeply revealed, the structural complexity may be effectively alleviated. This problem has remained open for many years until the elementary siphon theory came into being.

The concept of elementary and redundant siphons is first proposed by Li and Zhou,\textsuperscript{215} while the latter are subsequently renamed as dependent siphons.\textsuperscript{216} From the deadlock control policy by Li and Zhou,\textsuperscript{215} all problematic siphons in an S\textsuperscript{3}PR are classified into elementary and dependent siphons. The latter are further separated into weakly and strongly dependent ones by identifying whether the coefficients of linear combinations are all positive or not. It is shown that the number of the elementary siphons in a net is no greater than the smaller of place and transition counts. Moreover, a dependent siphon can be controlled by properly supervising its related elementary siphons. For deadlock prevention, strategies that control requirements are achieved by adding monitors; it is of significance that dependent siphons can be implicitly controlled via explicit controlling their elementary siphons by adding monitors and properly arranging the tokens in the monitors. In general cases, the policy by Li and Zhou\textsuperscript{215} can lead to a structurally simple liveness-enforcing supervisor.

From the policy by Li and Zhou,\textsuperscript{215} the controllability of a dependent siphon is investigated according to the elementary siphons that are invariantly controlled. In the work by Li and Zhou,\textsuperscript{230} a dependent siphon controllability condition is developed, which is more general than that in the work by Li and Zhou.\textsuperscript{215} Li and Zhao extend such a result to a class of generalized nets in the work by Li and Zhao\textsuperscript{234} on the basis of the max-controlled condition of siphons.\textsuperscript{234} However, it suffers from the computational complexity and behavioral permissiveness issues as in this\textsuperscript{234} policy since the computation of elementary siphons relies on a complete siphon enumeration.

The work by Li et al.\textsuperscript{286} aims to tackle the issues on the computational complexity and restricted behavior in the work by Li and Zhou.\textsuperscript{215} The MIP-based deadlock detection method is first applied to derive a maximal unmarked siphon on condition that the plant model itself is not live, from which an SMS is computed. If it is an elementary siphon, then a monitor is added such that it is controlled. If it is dependent with respect to the elementary siphons that are already
found, its controllability is ensured by properly setting the control depth variables of its elementary siphons. In the work by Li et al.,\textsuperscript{286} monitors are added for elementary siphons only based on the elementary siphon theory. In addition, it can be concluded that this approach improves the computational complexity by comparing with that in the work by Li and Zhou\textsuperscript{215} due to the partial siphon enumeration.

Unfortunately, the behavioral permissiveness of the resulting supervisors designed by the policies in the works by Li and Zhou\textsuperscript{215} and Li et al.\textsuperscript{286} is quite restricted since the output arcs of the additional monitors point to the source transitions that represent the entry of raw parts of the system. To ameliorate the aforementioned problem, Li and Zhou\textsuperscript{287} propose an algorithm for rearranging the output arcs of the additional monitors. From the viewpoint of structural complexity, computational complexity, and behavioral permissiveness, this policy seems to simultaneously address these issues in a reasonable way.

An MIP-based two-stage iterative policy is proposed by Huang et al.,\textsuperscript{250} which makes a number of improvements compared with the work by Ezpeleta et al.\textsuperscript{235} However, the structural complexity problem of a liveness-enforcing supervisor has not significantly improved. By utilizing the concept of elementary siphons, Huang\textsuperscript{141} proposes an improved two-stage control policy for S\textsuperscript{PR} nets. The major difference in the policy by Huang et al.\textsuperscript{250} is in first stage. The first stage in the work by Huang\textsuperscript{141} finds the set of elementary siphons in an S\textsuperscript{PR} net model provided that all SMSs are known. Then, a monitor is added for each elementary siphon. Compared with the policy by Huang et al.,\textsuperscript{250} it is shown that fewer monitors are needed. Later, Zhao et al.\textsuperscript{246} further extend the iterative method to a more general class, called S\textsuperscript{PR} nets.

In order to achieve a better method that can precisely and immediately decide the controllability of dependent SMSs via their elementary siphons’ control without using the time-consuming MIP tests, the work by Liu et al.\textsuperscript{288} proposes a more precise sufficient condition for the controllability of dependent SMSs and proves that it is better than the condition in the work by Li and Zhou.\textsuperscript{215} Moreover, a relationship between the complementary set of a weakly dependent SMS and that of its corresponding elementary ones is presented and proven. Accordingly, a new deadlock prevention policy is developed by taking the advantages of the methods by Ezpeleta et al.\textsuperscript{235} and Li and Zhou.\textsuperscript{215}

Generally, the concept of elementary siphons can clearly and completely indicate the relationship between elementary and dependent siphons in an ordinary net. For an S\textsuperscript{PR} net, the studies by Hou et al.\textsuperscript{289,290} explore the controllability conditions of a siphon composed of two and three elementary siphons, respectively, which are considered as further applications of elementary siphons of Petri nets. Under these sufficient conditions, a maximally permissive liveness-enforcing supervisor expressed by a set of monitors can be always decided by an algorithm with polynomial complexity for an S\textsuperscript{PR}. It can be concluded that for any S\textsuperscript{PR}, there exist initial markings such that a maximally permissive liveness-enforcing supervisor can be always found. These developments are based on the computation of elementary siphons and siphons composition operations, which has been shown to be of polynomial complexity with respect to the size of a considered Petri net model.

Since the weight of an arc can be greater than one and an operation place can use multiple resource types in a generalized net, the selection of elementary siphons and their controllability still need improvements in generalized Petri nets. Consequently, the augmented elementary siphon theory is established by sufficiently considering the requirement of multiple resource types by an operation and its weight information. In the work by Hou et al.,\textsuperscript{224} the concept of augmented siphons is first proposed for extending the elementary siphon’s application to a class of generalized Petri nets, WS\textsuperscript{PR}. An augmented siphon is a multiset that reflects the weights information of resource places contained by its original siphon. Among augmented siphons, the elementary siphons are also redefined, from which an elementary siphon set that strongly connects the system structure can be found for WS\textsuperscript{PR} nets. Moreover, on the basis of graph theory, initial resource weighted digraphs are presented for WS\textsuperscript{PR}, and the concept of isomorphic structures of Petri nets is also introduced. It is shown that the same set of elementary siphons can be admitted by all different WS\textsuperscript{PR} systems with isomorphic structures. Later, the work by Hou and Zhao\textsuperscript{225} further updates the method proposed by Hou et al.\textsuperscript{246} in a more general class of Petri nets, GLS\textsuperscript{PR}.

Motivated by finding a set of more proper and compact elementary siphons, the concept of augmented siphons is further proposed by Hou et al.\textsuperscript{223} within a class of generalized Petri nets, called GLS\textsuperscript{PR}. The key contributions by Hou et al.\textsuperscript{223} are twofold. First, the supremum of the number of augmented elementary siphons is found according to the relationship between siphons and their restrictive induced subgraphs, which is not greater than that of strongly connected restrictive induced digraphs that cannot be composed. Second, the number of elementary siphons obtained by this method is less than or equal to that obtained in the work by Li and Zhou.\textsuperscript{215}

For better improving both the structural complexity and behavioral permissiveness, Hou et al.\textsuperscript{222} propose the augmented siphons and redefined elementary siphons in S\textsuperscript{PR} nets. The max ‘controlled property is exposed such that the siphon controllability condition
is relaxed. Furthermore, more permissive behavior is obtained through the rearrangement of the output arcs of monitors. Hou et al.\textsuperscript{222} develop a deadlock control method by combining the deadlock avoidance policy with elementary siphon theory such that all siphons are max-controlled after adding a monitor for each elementary siphon.

Abdul-Hussin\textsuperscript{291} develops an elementary siphon-based deadlock control policy to ensure that all siphons are controlled and no emptiable control-induced siphons can be introduced. The work by Qin et al.\textsuperscript{232} develops an elementary siphon–based policy to design a liveness-enforcing supervisor for an S\textsuperscript{3}PR with uncontrollable and unobservable transitions. For all the elementary siphons, their complementary sets are successively expanded by considering the unobservability and uncontrollability of transitions. Monitors are added for the expanded complementary sets. This approach permits that there are arcs from monitors to a set of special uncontrollable transitions.

**Combined techniques**

Although the elementary siphon–based approaches play an important role in deadlock control for AMS, it also has several explicit drawbacks. First, elementary siphons merely reflect the topological structure of a net without carrying any dynamical evolution information. Second, the liveness-enforcing supervisors derived by elementary siphon–based methods are usually not optimal. Finally, the approaches on the basis of elementary siphon theory can only be applied to some special classes of Petri nets. Thus, much more attention has been paid to the combination of the state space and structural analysis.

Piroddi et al.\textsuperscript{292} develop a selective siphon control policy that can obtain a small-sized supervisor with highly permissive behavior. Siphons are divided into essential and dominated ones. The controllability of an essential siphon implies that of its dominated siphons. At each iterative step, the complete enumeration of all siphons and dominated markings are required. Moreover, an essential siphon is distinguished by solving a set covering problem. Unfortunately, the computational complexity in theory is still exponential with respect to the size of a Petri net. In addition, in general, the policy in the work by Piroddi et al.\textsuperscript{292} cannot lead to an optimal liveness-enforcing supervisor.

After the computational complexity problem is recognized, Piroddi et al.\textsuperscript{293} improve the method shortly by utilizing the MIP-based deadlock detection method. Benefiting from the MIP method, a complete minimal siphon enumeration is avoided. They claim that the improved policy is computationally competitive. Later, Wang et al.\textsuperscript{294} outline the iterative method proposed by Piroddi et al.\textsuperscript{293} in detail. By contrast, the methods by Chao and colleagues\textsuperscript{138,295,296} can avoid both enumerating all minimal siphons and computing the reachability graph. Also, no iterations are required and there is no need to remove redundant monitors. It lists all such lost states and computes the lost states without reachability analysis but based only on the knowledge of which siphon is responsible for the lost states and some place invariants (PIs).

As known, the theory of regions can usually lead to a maximally permissive supervisor if it exists. However, it often faces the state explosion problem with an increase in the initial markings. Wei and Li\textsuperscript{245} propose a new methodology by combining the theory of regions and elementary siphons. First, using the theory of regions, a maximally permissive liveness-enforcing supervisor for a net model is designed at a small initial marking. After that, all SMSs are computed and separated into elementary siphons and dependent ones. Then, the algebraic expressions among the markings of the monitors and the resource places are explored on the premise that the supervisor is live and maximally permissive, that is, all SMSs are controlled by the monitors. When the initial markings of the plant change, a new supervisor with the same structure can be determined by reallocating the initial tokens in monitors via the obtained expressions. That is to say, the theory of regions in fact used to derive the net structure of a supervisor by arranging small initial markings. The contributions by Wei and Li\textsuperscript{245} are twofold. One is that the state explosion problem may not occur after the theory of regions has once been applied to a plant with fixed net structure. The other is that the permissive behavior of the liveness-enforcing supervisor is near-optimal.

To lower the computational cost of utilizing the theory of regions, Li et al.\textsuperscript{244} develop a two-stage deadlock prevention policy by integrating siphon control and the theory of regions. The first stage, called siphons control, is to add monitors for all SMSs identified through resource circuits such that it is optimally invariant controlled. Note that siphon identification and control is of polynomial complexity. In the second stage, the theory of regions is utilized to derive a supervisor for the augmented Petri net. Since the siphon control stage is optimal from the view of deadlock prevention point, the final supervisor will be still optimal if such a supervisor exists. The combined approach can produce a maximally permissive liveness-enforcing supervisor for an S\textsuperscript{3}PR model and is more efficient than using the theory of regions alone.

Later, a new definition of the marking/transition-separation instances (MTSIs) is proposed by Huang
and Pan,297 called crucial marking/transition-separation instances (CMTSIs), which is the foundation of MTSI. The most attractive advantage of this approach is that the computation cost can be alleviated due to the involvement of few MTSIs. Experimental results show that a maximally permissive liveness-enforcing supervisor with efficient computation can be implemented by the proposed control policy. Further research on CMTSIs is reported by Chao.298

The work by Chao and Wu299 contributes an integrated approach that combines the elementary siphon-based controlled policy in the work by Li et al.244 with the seminal recovery methods reported by Chao and colleagues,274–276 It is claimed that the method reaches more states and uses fewer monitors. The first stage is the same as the methods by Li et al.244 and Huang and Pan,297 that is, a partially controlled model is obtained by adding monitors to all emptiable resource siphons. The second stage adapts a deadlock detection and recovery method in the work by Chao et al.275 to add only one monitor, taking much less time compared with those in the works by Li et al.244 and Huang and Pan.297 Without solving a large number of inequalities in MTSIs as required by the method in the work by Li et al.244 to reduce the number of MTSIs and the CMTSIs method in the work by Huang and Pan,297 the proposed method is more efficient. The resulting controller is maximally permissive as some methods in the works by Li and colleagues.244,300,301 Further work about the combination of the selective siphons and CMTSIs can be found in the work by Pan et al.,302 which improves the computational efficiency and reduces the number of CMTSIs.

The previous work by Chao and colleagues282,303 avoids reachability analysis by classifying siphons and adding monitors to critical siphons only. However, some live states may lose and the number of monitors required is as many as that of critical siphons. Based on these results, a novel method is developed to merge several monitors into a single one while not losing the live states by Liu et al.304 It achieves the same best results in the existing literature while avoiding the time-consuming reachability analysis which does not scale well with the large size of the nets. For a well-known benchmark, the siphon-based merging method in the work by Liu et al.304 may not achieve minimal configuration. Although we could reduce one monitor, which monitor to choose to merge seems to be ad hoc. It is unclear how to select a monitor to reduce for large nets. The work by Chao305 further tackles such an issue successfully using the concept of basic siphons.

The work by Wang et al.294 reviews the existing iterative control policies of discrete event system (DES) modeled with Petri nets and reveals a few technical problems among them. Experimental results indicate that the suitability, effectiveness, and efficiency of an iterative deadlock control approach are sensitive to specific examples, and no general algorithm is found in the literature, which works well for all cases.

Afterward, the simulation with respect to existing deadlock prevention policies and different Petri net models is implemented by Nasr et al.,142 which explores whether a liveness-enforcing Petri net supervisor can provide better time performance. Abouel Nasr et al. claim that, compared with the siphon-based methods, the iterative methods always lead to structurally and computationally complex liveness-enforcing supervisors. In addition, iterative methods can provide better behavioral permissiveness than siphon-based methods for small-scale systems. For large systems, an SMS-based method can obtain better behavioral permissiveness than the other methods.

For a dozen of years, we have witnessed that the results are much enriched in the area of siphon control and other iterative methods. However, it could not be denied that many interesting problems remain unsolved in terms of application scope, behavioral permissiveness, computational efficiency, and supervisor’s structural complexity. Moreover, there are several survey papers and books that investigate the supervisory control problems of DES using Petri nets.306,307

Concluding remarks

In most cases, the deadlock prevention policies characterize the deadlock behavior of a system in terms of siphon in its Petri net model and utilize this characterization to control the net. Siphon control provides an effective way to prevent the occurrence of deadlocks. A basic task with respect to siphon-based deadlock control is to design a liveness-enforcing supervisor by considering three performance indicators: structural complexity, computational efficiency, and behavioral permissiveness. Nowadays, the concept of elementary siphons either in ordinary or generalized Petri nets plays a key role in the development of structurally simple liveness-enforcing Petri net supervisors. This article focuses on investigating the state-of-the-art elementary siphon theory of Petri nets including basic concepts, siphons and elementary siphons computation, controllability conditions, and deadlock control applications. The survey is expected to be a reference to guide the researchers and practitioners for choosing suitable methods for their research works and industrial application cases.

Declaration of conflicting interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.
Funding
The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was supported by the National Natural Science Foundation of China under Grant No. 61403296.

References
1. Chao DY. Automated manufacturing system: virtual-nets or non-virtual-nets? IET Control Theory A 2009; 3: 671–680.
2. Desrochers AA (ed.). Modeling and control of automated manufacturing systems. Piscataway, NJ: IEEE Press, 1989.
3. D’Souza KA and Khator SK. A survey of Petri net applications in modeling controls for automated manufacturing systems. Comput Ind 1994; 24: 5–16.
4. Fanti MP, Maione G and Turchiano B. Distributed event-control for deadlock avoidance in automated manufacturing systems. Int J Prod Res 2001; 39: 1993–2021.
5. Fanti MP and Zhou MC. Deadlock control methods in automated manufacturing systems. IEEE T Syst Man Cy A 2004; 34: 5–22.
6. Hu HS and Li ZW. Efficient deadlock prevention policy in automated manufacturing systems using exhausted resources. Int J Adv Manuf Tech 2009; 40: 566–571.
7. Hu HS and Li ZW. Synthesis of liveness enforcing supervisor for automated manufacturing systems using insufficiently marked siphons. J Intell Manuf 2010; 21: 555–567.
8. Hu HS, Zhou MC and Li ZW. Supervisor design to enforce production ratio and absence of deadlock in automated manufacturing systems. IEEE T Syst Man Cy A 2011; 41: 201–212.
9. Jeng MD and DiCesare F. A review of synthesis techniques for Petri nets with applications to automated manufacturing systems. IEEE T Syst Man Cyb 1993; 23: 301–312.
10. Kim CO and Kim SS. An efficient real-time deadlock-free control algorithm for automated manufacturing systems. Int J Prod Res 1997; 35: 1545–1560.
11. Lawley MA. Integrating flexible routing and algebraic deadlock avoidance policies in automated manufacturing systems. Int J Prod Res 2000; 38: 2931–2950.
12. Reveliotis SA and Ferreira PM. Deadlock avoidance policies for automated manufacturing cells. IEEE T Robotic Autom 1996; 12: 845–857.
13. Viswanadham N and Narahari Y. Performance modeling of automated manufacturing systems. Englewood Cliffs, NJ: Prentice Hall, 1992.
14. Wu NQ and Zhou MC. Avoiding deadlock and reducing starvation and blocking in automated manufacturing systems. IEEE T Robotic Autom 2001; 17: 658–669.
15. Wu NQ and Zhou MC. Modeling and deadlock avoidance of automated manufacturing systems with multiple automated guided vehicles. IEEE T Syst Man Cy B 2005; 35: 1193–1202.
16. Wu NQ and Zhou MC. Deadlock resolution in automated manufacturing systems with robots. IEEE T Autom Sci Eng 2007; 4: 474–480.
17. Yeh WC. Real-time deadlock detection and recovery for automated manufacturing systems. Int J Adv Manuf Tech 2002; 20: 780–786.
18. Cho H, Kumaran TK and Wysk RA. Graph-theoretic deadlock detection and resolution for flexible manufacturing systems. IEEE T Robotic Autom 1995; 11: 413–421.
19. Coffman EG, Elphick MJ and Shoshani A. System deadlocks. ACM Comput Surv 1971; 3: 66–78.
20. Gold EM. Deadlock prediction: easy and difficult cases. SIAM J Comput 1978; 7: 320–336.
21. Ilsoor SS and Marsland TA. The deadlock problem: an overview. Computer 1980; 13: 58–77.
22. Castelnuovo A, Ferrarini L and Piroddi L. An incremental Petri net-based approach to the modeling of production sequences in manufacturing systems. IEEE T Autom Sci Eng 2007; 4: 424–434.
23. Chen F. Petri nets applications in automated manufacturing systems (special issue). Int J Adv Manuf Tech 1998; 14.
24. David R and Alla H. Petri nets and GrafCet. London: Prentice Hall, 1992.
25. Desrochers AA and Al-Jaar RY. Applications of Petri nets in manufacturing systems: modeling, control, and performance analysis. New York: IEEE Press, 1994.
26. DiCesare F, Harhalakis G, Porth JM, et al. Practice of Petri nets in manufacturing. London: Chapman & Hall, 1993.
27. Hruz B and Zhou MC. Modeling and control of discrete-event dynamic systems: with Petri nets and other tools. London: Springer-Verlag, 2007.
28. Iordache MV and Antsaklis PJ. Supervisory control of concurrent systems: a Petri net structural approach. Berlin: Springer-Verlag, 2006.
29. Jeng MD. A Petri net synthesis theory for modeling flexible manufacturing systems. IEEE T Syst Man Cy B 1997; 27: 169–183.
30. Jensen K. Colored Petri nets: basic concepts, analysis methods and practical use. New York: Springer-Verlag, 1994.
31. Li ZW and Zhou MC. Deadlock resolution in automated manufacturing systems: a novel Petri net approach. New York: Springer-Verlag, 2009.
32. Marsan MA, Balbo G, Conte G, et al. Modelling with generalized stochastic Petri nets. New York: Wiley, 1995.
33. Moore KE and Gupta SM. Petri net models of flexible and automated manufacturing systems: a survey. Int J Prod Res 1996; 34: 3001–3035.
34. Murata T. Petri nets: properties, analysis and applications. P IEEE 1989; 77: 541–580.
35. Peterson JL. Petri nets. ACM Comput Surv 1977; 9: 223–252.
36. Peterson JL. Petri net theory and the modeling of systems. Englewood Cliffs, NJ: Prentice Hall, 1981.
37. Proth JM and Xie XL. Petri nets: a tool for design and management of manufacturing systems. New York: Wiley, 1996.
38. Reisig W. Petri nets: an introduction. New York: Springer-Verlag, 1985.
39. Silva M, Teruel E, Valette R, et al. Petri nets and production systems. In: Reisig W and Rozenberg G (eds) Lectures on Petri nets II: applications, vol. 1492 (Lecture
50. Basile F, Chiacchio P, Giua A, et al. Deadlock recovery. In: Proceedings of the IEEE international conference on networking, sensing and control, London, 15–17 April 2007, pp.228–233. New York: IEEE.

51. Wu NQ and Zhou MC. Process vs resource-oriented Petri net modeling of automated manufacturing systems. Asian J Control 2010; 12: 267–280.

52. Wu NQ and Zhou MC. System modeling and control with resource-oriented Petri nets. New York: CRC Press, 2010.

53. Zhou MC and DiCesare F. Petri net synthesis for discrete event control of manufacturing systems. Boston, MA: Kluwer Academic Publishers, 1993.

54. Zhou MC (ed.). Petri nets in flexible and agile automation. Norwell, MA: Kluwer Academic Publishers, 1995.

55. Zhou MC and Venkatesh K. Modeling, simulation, and control of flexible manufacturing systems: a Petri net approach. Singapore: World Scientific, 1998.

56. Szurawski R and Zhou MC. Petri nets and industrial applications: a tutorial. IEEE T Ind Electron 1994; 41: 567–583.

57. Szurawski R and Zhou MC. Petri nets and industrial applications: a tutorial. IEEE T Ind Electron 1994; 41: 567–583.

58. Banaszak Z and Krogh BH. Deadlock avoidance in flexible manufacturing systems with concurrently competing process flows. IEEE T Robotic Auton 1990; 6: 724–734.

59. Barkaoui K and Abdallah IB. A deadlock prevention method for a class of FMS. In: Proceedings of the IEEE international conference on systems, man and cybernetics, Vancouver, BC, Canada, 22–25 October 1995, pp.4119–4124. New York: IEEE.

60. Basile F, Chiaccio P, Giua A, et al. Deadlock recovery of Petri net models controlled using observers. In: Proceedings of the IEEE international conference on emerging technologies and factory automation, Juan-les-Pins, 15–18 October 2001, vol. 2, pp.441–449. New York: IEEE.

61. Chao DY. Weighted characteristic P-vector and deadlock control of WS2PR. J Inf Sci Eng 2010; 26: 1121–1136.

62. Chao DY. Fewer monitors and more efficient controllability for deadlock control in SPGR2 (systems of simple sequential processes with general resource requirements). Comput J 2010; 53: 1783–1798.

63. Ezpeleta J, Tricas F, Garcia-Valles F, et al. A banker’s algorithm for deadlock avoidance in FMS with flexible routing and multiresource states. IEEE T Robotic Auton 2002; 18: 621–625.

64. Ezpeleta J and Recalde L. A deadlock avoidance approach for nonsequential resource allocation systems. IEEE T Syst Man Cy A 2004; 34: 93–101.

65. Fanti MP, Maione G and Turchiano B. Deadlock detection and recovery in flexible production systems with multiple capacity resources. In: Proceedings of the 8th Mediterranean electrotechnical conference, Bari, 13–16 May 1996, pp.237–241. New York: IEEE.

66. Fanti MP, Maione B, Mascolo S, et al. Performance of deadlock avoidance algorithms in flexible manufacturing systems. J Manuf Syst 1996; 15: 164–178.

67. Fanti MP, Maione B, Mascolo S, et al. Event-based feedback control for deadlock avoidance in flexible production systems. IEEE T Robot Auton 1997; 13: 347–363.

68. Fanti MP, Giua A and Seatzu C. Monitor design for colored Petri nets: an application to deadlock prevention in railway networks. Control Eng Pract 2006; 14: 1231–1247.

69. He KX and Lemmon LD. On the transformation of maximally permissive marking-based liveness enforcing supervisors into monitor supervisors. In: Proceedings of the 39th IEEE conference on decision and control, Sydney, NSW, Australia, 12–15 December 2002, vol. 3, pp.2657–2662. New York: IEEE.

70. He KX and Lemmon MD. Liveness verification of discrete-event systems modeled by n-safe ordinary Petri nets. In: Proceedings of the 21st international conference on application and theory of Petri nets, vol. 1825 (Lecture notes in computer science), Aarhus, 26–30 June 2000, pp.227–243. Berlin: Springer.

71. Hu HS, Zhou MC and Li ZW. Algebraic synthesis of timed supervisor for automated manufacturing systems using Petri nets. IEEE T Automat Sci Eng 2010; 7: 549–557.

72. Hu HS, Zhou MC and Li ZW. Low-cost and high-performance supervision in ratio-enforced automated manufacturing systems using timed Petri nets. IEEE T Automat Sci Eng 2010; 7: 933–944.

73. Hu HS, Zhou MC and Li ZW. Liveness and ratio-enforcing supervision of automated manufacturing systems using Petri nets. IEEE T Syst Man Cy A 2012; 42: 392–403.

74. Iordache MV and Antsaklis PJ. Generalized conditions for liveness enforcement and deadlock prevention in Petri nets. In: Proceedings of the 22nd international conference on applications and theory of Petri nets, vol. 2075 (Lecture notes in computer science), Newcastle upon Tyne, 25–29 June 2001, pp.184–203. Berlin: Springer.
72. Iordache MV, Moody JO and Antsaklis PJ. Synthesis of deadlock prevention supervisors using Petri nets. *IEEE T Robotic Autom* 2002; 18: 59–68.
73. Lautenbach K and Ridder H. *The linear algebra of deadlock avoidance: a Petri net approach*. Technical report no. 25-96, 1996. Koblenz: Institute for Software Technology, University of Koblenz-Landau.
74. Li ZW and Zhou MC. Comparison of two deadlock prevention methods for different-size flexible manufacturing systems. *Int J Intell Contr Syst* 2005; 10: 235–243.
75. Li ZW, Zhu S and Zhou MC. A divide-and-conquer strategy to deadlock prevention in flexible manufacturing systems. *IEEE T Syst Man Cy C* 2009; 39: 156–169.
76. Li ZW and Hu HS. On systematic methods to remove redundant monitors from liveness-enforcing net supervisors. *Comput Ind Eng* 2009; 56: 53–62.
77. Li ZW and Shpitalni M. Smart deadlock prevention policy for flexible manufacturing systems using Petri nets. *IET Control Theory A* 2009; 3: 362–374.
78. Li ZW and Zhou MC. Synthesis of structurally simple supervisors enforcing generalized mutual exclusion constraints in Petri nets. *IEEE T Syst Man Cy C* 2010; 40: 330–340.
79. Li ZW, Qin M and Zhu S. Identification of controllable transitions to decide the existence of an optimal liveness-enforcing supervisor for a class of Petri nets. *T I Meas Control* 2011; 33: 406–421.
80. Li ZW, Liu GY, Hanisch HM, et al. Deadlock prevention based on structure reuse of Petri net supervisors for flexible manufacturing systems. *IEEE T Syst Man Cy A* 2012; 42: 178–191.
81. Li ZW. Deadlock analysis and control in resource allocation systems. *Inform Sciences* 2016; 363: 174–177.
82. Liu GJ, Jiang CJ and Zhou MC. Two simple deadlock prevention policies for S‘PR based on key-resource/operation-place pairs. *IEEE T Autom Sci Eng* 2010; 7: 945–957.
83. Liu GY and Li ZW. General mixed integer programming-based liveness test for system of sequential systems with shared resources nets. *IET Control Theory A* 2010; 4: 2867–2878.
84. Liu GY, Li ZW, Barkaoui K, et al. Robustness of deadlock control for a class of Petri nets with unreliable resources. *Inform Sciences* 2013; 235: 259–279.
85. Maiione G and DiCesare F. Hybrid Petri net and digraph approach for deadlock prevention in automated manufacturing systems. *Int J Prod Res* 2005; 43: 5131–5159.
86. Ma ZY, Li ZW and Giua A. Design of optimal Petri net controllers for disjunctive generalized mutual exclusion constraints. *IEEE T Automat Contr* 2015; 60: 1774–1785.
87. Ma ZY, Li ZW and Giua A. Petri net controllers for generalized mutual exclusion constraints with floor operators. *Automatica* 2016; 74: 238–246.
88. Moody JO and Antsaklis PJ. Supervisory control of Petri nets with uncontrollable/unobservable transitions. In: *Proceedings of the 35th IEEE conference on decision and control*, Kobe, Japan, 13 December 1996, vol. 4, pp.4433–4438. New York: IEEE.
89. Moody JO and Antsaklis PJ. Petri net supervisors for DES with uncontrollable and unobservable transitions. *IEEE T Automat Contr* 2000; 45: 462–476.
90. Park J and Reveliotis SA. Deadlock avoidance in sequential resource allocation systems with multiple resource acquisitions and flexible routings. *IEEE T Automat Contr* 2001; 46: 1572–1583.
91. Qin M, Li ZW, Khalgui M, et al. On applicability of deadlock prevention policies with uncontrollable and unobservable transitions. *Int J Innov Comput I* 2011; 7: 4115–4127.
92. Reveliotis SA, Lawley MA and Ferreira PM. Polynomial-complexity deadlock avoidance policies for sequential resource allocation systems. *IEEE T Automat Contr* 1997; 42: 1344–1357.
93. Sreenivas RS. On the existence of supervisory policies that enforce liveness in discrete-event dynamic systems modeled by controlled Petri nets. *IEEE T Automat Contr* 1997; 42: 928–945.
94. Sreenivas RS. On supervisory policies that enforce liveness in completely controlled Petri nets with directed cut-places and cut-transitions. *IEEE T Automat Contr* 1999; 44: 1221–1225.
95. Taubin A, Kondratyev A and Kishinevsky M. Deadlock prevention using Petri nets and their unfoldings. *Int J Adv Manuf Tech* 1998; 14: 750–759.
96. Tricas F, Garcia-Valls F, Colom JM, et al. Using linear programming and the Petri net structure for deadlock prevention in sequential resource allocation systems. In: *Proceedings of the XIII Jornadas de Concurrencia y Sistemas Distribuidos*, Granada, 13–16 September 2005, pp.65–77. Madrid: Thomson Paraninfo.
97. Uzam M. The use of the Petri net reduction approach for an optimal deadlock prevention policy for flexible manufacturing systems. *Int J Adv Manuf Tech* 2004; 23: 204–219.
98. Uzam M and Zhou MC. An iterative synthesis approach to Petri net-based deadlock prevention policy for flexible manufacturing systems. *IEEE T Syst Man Cy A* 2007; 37: 362–371.
99. Uzam M, Li ZW and Zhou MC. Identification and elimination of redundant control places in Petri net based liveness enforcing supervisors of FMS. *Int J Adv Manuf Tech* 2007; 35: 150–168.
100. Uzam M, Li ZW, Gelen G, et al. A divide-and-conquer method for the synthesis of liveness enforcing supervisors for flexible manufacturing systems. *J Intell Manuf* 2016; 27: 1111–1129.
101. Uzam M, Li ZW and Abubakara US. Think globally act locally approach for the synthesis of a liveness-enforcing supervisor of FMSs based on Petri nets. *Int J Prod Res* 2016; 54: 4634–4657.
102. Varpaaniemi K. Efficient detection of deadlocks in Petri nets. *Research report A26, October 1993*. Espoo: Digital Systems Laboratory, Helsinki University of Technology.
103. Viswanadham N, Narahari Y and Johnson T. Deadlock prevention and deadlock avoidance in flexible manufacturing systems using Petri net models. *IEEE T Robotic Autom* 1991; 6: 713–723.
104. Wang Q, Li ZW, Wang AR, et al. A versatile supervisor with one monitor based on macro-tokens. *IMA J Math Control I* 2014; 31: 245–256.
105. Wu NQ. Necessary and sufficient conditions for deadlock-free operation in flexible manufacturing
systems using a colored Petri net model. *IEEE T Syst Man Cy C* 1999; 29: 192–204.

106. Wu NQ. Deadlock avoidance in an automated guidance vehicle system using a coloured Petri net model. *Int J Prod Res* 2002; 40: 223–238.

107. Wu NQ, Zhou MC and Li ZW. Resource-oriented Petri net for deadlock avoidance in flexible assembly systems. *IEEE T Syst Man Cy A* 2008; 38: 56–69.

108. Wu NQ and Zhou MC. Petri net modeling and one-step look-ahead maximally permissive deadlock control of AMS. *ACM T Embed Comput S* 2013; 12: 1–22.

109. Xing KY, Hu BS and Chen HX. Deadlock avoidance policy for Petri-net modeling of flexible manufacturing systems with shared resources. *IEEE T Automat Contr* 1996; 41: 289–295.

110. Yamalidou E and Kantor J. Modeling and optimal control of discrete-event chemical processes using Petri nets. *Comput Chem Eng* 1991; 15: 503–519.

111. Yan MM, Li ZW, Wei N, et al. A deadlock prevention policy for a class of Petri nets S^P^MR. *J Inf Sci Eng* 2009; 25: 167–183.

112. Ye JH, Li ZW and Chen XL. An algorithm for the minimum initial marking problem of a structurally live Petri net with inhibitor arcs. *IEEE T Electr Electr* 2016; 11: 586–592.

113. Zhao M and Li ZW. On deadlock control for a class of generalised Petri net models of flexible manufacturing systems. *Int J Prod Res* 2009; 47: 6085–6107.

114. Zhong CF, Li ZW, Chen YF, et al. On nonexistence of a maximally permissive liveness-enforcing pure net supervisor. *IEEE T Syst Man Cy A* 2013; 43: 29–37.

115. Zhou MC and DiCesare F. Parallel and sequential mutual exclusions for Petri net modeling of manufacturing systems with shared resources. *IEEE T Robotic Autom* 1991; 7: 515–527.

116. Zhou MC and DiCesare F. A hybrid methodology for synthesis of Petri net models for manufacturing systems. *IEEE T Robotic Autom* 1992; 8: 350–361.

117. Zhou MC, DiCesare F and Rudolph D. Design and implementation of a Petri net based supervisor for a flexible manufacturing system. *Automatica* 1992; 28: 1199–1208.

118. Chen YF, Li ZW, Al-Almari A, et al. Deadlock recovery for flexible manufacturing systems modeled with Petri nets. *Inform Sciences* 2017; 381: 290–303.

119. Fanti A, Giua A and Sanna N. Control and error recovery of Petri net models with event observers. In: *Proceedings of the 2nd international work manufacturing Petri nets*, Toulouse, France, 23–27 June 1997, pp.53–68.

120. Fanti MP, Maione G and Turchiano B. Digraph-theoretic approach for deadlock detection and recovery in flexible production systems. *Stud Inform Control* 1996; 5: 373–383.

121. Tricas F. Deadlock analysis, prevention and avoidance in sequential resource allocation systems. PhD Dissertation, University of Zaragoza, Zaragoza, 2003.

122. Chao DY. Comments on “Deadlock prevention and avoidance in FMS: a Petri net based approach.” *Int J Adv Manuf Tech* 2008; 39: 317–318.

123. Chen XL, Li ZW, Wu NQ, et al. Confusion avoidance for discrete event systems by P/E constraints and supervisory control. *IMA J Math Control I* 2016; 33: 309–332.

124. Chen XL, Li ZW, Wu NQ, et al. Confusion diagnosis and avoidance of discrete event systems using supervisory control. *IEEE T Electr Electr* 2016; 11: 49–62.

125. Fanti MP, Maione B and Turchiano B. Event control for deadlock avoidance in production systems with multiple capacity resources. *Stud Inform Control* 1998; 7: 343–364.

126. Hsieh FS and Chang SC. Dispatching-driven deadlock avoidance controller synthesis for flexible manufacturing systems. *IEEE T Robotic Autom* 1994; 10: 196–209.

127. Hsieh FS. Fault-tolerant deadlock avoidance algorithm for assembly processes. *IEEE T Syst Man Cy A* 2004; 34: 65–79.

128. Lawley MA, Reveliotis SA and Ferreira PM. A correct and scalable deadlock avoidance policy for flexible manufacturing systems. *IEEE T Robotic Autom* 1998; 14: 796–809.

129. Lawley MA. Deadlock avoidance for production systems with flexible routing. *IEEE T Robotic Autom* 1999; 15: 497–509.

130. Lawley MA and Reveliotis SA. Deadlock avoidance for sequential resource allocation systems: hard and easy cases. *Int J Flex Manuf Sys* 2001; 13: 385–404.

131. Park J. Structural analysis and control of resource allocation systems using Petri nets. PhD Dissertation, Georgia Institute of Technology, Atlanta, GA, 2000.

132. Park J and Reveliotis SA. Algebraic synthesis of efficient deadlock avoidance policies for sequential resource allocation systems. *IEEE T Robotic Autom* 2000; 16: 190–195.

133. Reveliotis SA. Algebraic deadlock avoidance policies for sequential resource allocation systems. In: Lahmar M (ed.) *Facility logistics: approaches and solutions to next generation challenges*. New York: Taylor & Francis, 2007, pp.235–289.

134. Roszkowska E and Jentink J. Minimal restrictive deadlock avoidance in FMSs. In: *Proceedings of the European control conference*, Groningen, 28 June–1 July 1993, vol. 2, pp.530–534.

135. Wysk RA, Yang NS and Joshi S. Resolution of deadlocks in flexible manufacturing systems: avoidance and recovery approaches. *J Manuf Syst* 1994; 13: 128–138.

136. Xing KY, Zhou MC, Liu HX, et al. Optimal Petri-net-based polynomial-complexity deadlock-avoidance policies for automated manufacturing systems. *IEEE T Syst Man Cy A* 2009; 39: 188–199.

137. Chao DY. Reducing MIP iterations for deadlock prevention of flexible manufacturing systems. *Int J Adv Manuf Tech* 2009; 41: 343–346.

138. Chao DY. Formal theory of state loss of Siphon-based deadlock prevention. *IET Control Theory A* 2011; 5: 1013–1021.

139. Guo JW and Li ZW. A deadlock prevention approach for a class of timed Petri nets using elementary siphons. *Asian J Control* 2010; 12: 347–363.

140. Huang YS, Jeng MD, Xie XL, et al. A deadlock prevention policy for flexible manufacturing systems using siphons. In: *Proceedings of the IEEE international
conference on robotics and automation, Seoul, Korea, 21–26 May 2001, pp.541–546. New York: IEEE.

147. Hu HS, Zhou MC and Li ZW. Liveness enforcing supervision of video streaming systems using nonsequential Petri nets. IEEE T Multimedia 2009; 11: 1457–1465.

148. Hu HS and Li ZW. Liveness enforcing supervision in video streaming systems using siphons. J Inf Sci Eng 2009; 25: 1863–1864.

149. Hu HS and Li ZW. Modeling and scheduling for manufacturing grid workflows using timed Petri nets. Int J Adv Manuf Tech 2009; 42: 553–568.

150. Hu HS, Li ZW and Al-Ahmari A. Reversed fuzzy Petri nets and their application for fault diagnosis. Comput Ind Eng 2011; 60: 505–510.

151. Jeng MD and Zhou MC. Engineering applications of Petri nets (special issue). IEEE T Syst Man Cy C 2007; 37: 442–443.

152. Odrey NG and Mejia G. A reconfigurable multi-agent system architecture for error recovery in production systems. Robot Cim: Int Manuf 2003; 19: 35–43.

153. Tong Y, Li ZW, Seatzu C, et al. Verification of state-based opacity using Petri nets. IEEE T Automat Contr. Epub ahead of print 24 October 2016. DOI: 10.1109/TAC.2016.2620429.

154. Wu NQ and Zhou MC. Shortest routing of bidirectional automated guided vehicles avoiding deadlock and blocking. IEEE/ASME T Mech 2007; 12: 63–72.

155. Wu NQ, Chu F, Chu CB, et al. Short-term schedulability analysis of multiple distiller crude oil operations in refinery with oil residency time constraint. IEEE T Syst Man Cy C 2009; 39: 1–16.

156. Wu NQ, Chu F, Chu CB, et al. Hybrid Petri net modeling and schedulability analysis of high fusion point oil transportation under tank grouping strategy for crude oil operations in refinery. IEEE T Syst Man Cy C 2010; 40: 159–175.

157. Wu NQ and Zhou MC. Modeling, analysis and control of dual-arm cluster tools with residency time constraint and activity time variation based on Petri nets. IEEE T Autom Sci Eng 2012; 9: 446–454.

158. Wu NQ, Chu F, Chu CB, et al. Petri net modeling and cycle-time analysis of dual-arm cluster tools with wafer revisiting. IEEE T Syst Man Cy A 2013; 43: 196–207.

159. Wu NQ, Zhou MC and Li ZW. Short-term scheduling of crude-oil operations: enhancement of crude-oil operations scheduling using a Petri net-based control-theoretic approach. IEEE Robot Autom Mag 2015; 22: 64–76.

160. Wu NQ, Zhou MC, Bai LP, et al. Short-term scheduling of crude oil operations in refinery with high-fusion-point oil and two transportation pipelines. Enterp Inform Syst 2016; 10: 581–610.

161. Ye JH, Li ZW and Giua A. Decentralized supervision of Petri nets with a coordinator. IEEE T Syst Man Cy A 2015; 45: 955–966.

162. Badouel E and Daroueche P. Theory of regions. In: Reisig W and Rozenberg G (eds) Lectures on Petri nets I: basic models, vol. 1491 (Lecture notes in computer science). Berlin: Springer, 1998, pp.529–586.

163. Chen YF and Li ZW. Most permissive liveness-enforcing Petri net supervisors for flexible manufacturing systems. Int J Prod Res 2012; 50: 6357–6371.

164. Chen YF, Li ZW and Zhou MC. Behaviorally optimal and structurally simple liveness-enforcing supervisors of
flexible manufacturing systems. *IEEE T Syst Man Cy A* 2012; 42: 615–629.

175. Ciardo G. Reachability set generation for Petri nets: can brute force be smart? In: *Proceedings of the 25th international conference on applications and theory of Petri nets*, vol. 3099 (Lecture notes in computer science), Bologna, 21–25 June 2004, pp.17–34. Berlin: Springer.

176. Daronneau P. Region based synthesis of P/T-nets and its potential applications. In: *Proceedings of the 21st international conference on application and theory of Petri nets*, vol. 1825 (Lecture notes in computer science), Aarhus, 26–30 June 2000, pp.16–23. Berlin: Springer.

177. Ghaffari A, Nidhal N and Xie XL. Design of a live and maximally permissive Petri net controller using the theory of regions. *IEEE T Robotic Autom* 2003; 19: 137–141.

178. Hu HS and Li ZW. Local and global deadlock prevention policies for resource allocation systems using partially generated reachability graphs. *Comput Ind Eng* 2009; 57: 1168–1181.

179. McMillan K. Using unfoldings to avoid the state explosion problem in the verification of asynchronous circuits. In: Bochmann BV and Probst DK (eds) *Computer aided verification*, vol. 663 (Lecture notes in computer science). Berlin: Springer, 1992, pp.164–177.

180. Minner AS and Ciardo G. Efficient reachability set generation and storage using decision diagrams. In: Donatelli S and Klein HCM (eds) *Application and theory of Petri nets: proceedings of the 20th international conference*, vol. 1639 (Lecture notes in computer science). Berlin: Springer-Verlag, 1999, pp.6–25.

181. Uzam M. An optimal deadlock prevention policy for flexible manufacturing systems using Petri net models with resources and the theory of regions. *Int J Adv Manuf Tech* 2002; 19: 192–208.

182. Wang SG, Zhou MC, Li ZW, et al. A new modified reachability tree approach and its applications to unbounded Petri nets. *IEEE T Syst Man Cy A* 2013; 43: 932–940.

183. Zhang XY, Li ZW, Zhong CF, et al. Reachability analysis of a class of Petri nets using place invariants and siphons. *Maejo Int J Sci Tech* 2013; 7: 278–290.

184. Barkaoui K and Lemaire B. An effective characterization of minimal deadlocks and traps in Petri nets based on graph theory. In: *Proceedings of the 10th international conference application theory Petri nets*, Bonn, Germany, June 1989, pp.1–21. Berlin: Springer.

185. Barkaoui K, Chauoi A and Zouari B. Supervisory control of discrete event systems based on structure theory of Petri nets. In: *Proceedings of the IEEE international conference on systems, man, and cybernetics, 1997: computational cybernetics and simulation*, Orlando, FL, 12–15 October 1997, pp.3750–3755. New York: IEEE.

186. Barkaoui K and Petrucci L. Structural analysis of workflow nets with shared resources. In: *Proceedings of the workshop workflow management: net-based concepts, models, technology tools*, Lisbon, Portugal, 22 June 1998, vol. 9807, pp.82–95. Eindhoven: Technische Universiteit Eindhoven.

187. Barkaoui K and Peyre JF. Verification in concurrent programming with Petri nets structural techniques. In: *Proceedings of the 3rd IEEE international high-assurance systems engineering symposium (HASE ‘98)*, Washington, DC, 13–14 November 1998, pp.124–133. New York: IEEE.

188. Barkaoui K and Peyre JF. Uniform verification of workflow soundness. *T I Meas Control* 2011; 33: 133–148.

189. Chao DY. Maximal class of weakly live nets with nonempty siphons. *IEEE T Syst Man Cy A* 2006; 36: 1332–1341.

190. Craig DC and Zuberek WM. Efficient siphon-based deadlock detection in Petri nets. In: *Presented at the 3rd international conference on computer science and information technology*, Athens, 23–26 July 2007.

191. Iordache MV and Antsaklis PJ. Supervision based on place invariants: a survey. *Discrete Event Dyn S* 2006; 16: 451–492.

192. Jeng MD and Xie XL. Analysis of modularly composed nets by siphons. *IEEE T Syst Man Cy A* 1999; 29: 399–406.

193. Jeng MD and Xie XL. Modeling and analysis of semiconductor manufacturing systems with degraded behavior using Petri nets and siphons. *IEEE T Robotic Autom* 2001; 17: 576–588.

194. Jeng MD and Xie XL. Deadlock detection and prevention of automated manufacturing systems using Petri nets and siphons. In: Zhou MC and Fanti MP (eds) *Deadlock resolution in computer-integrated systems*. New York: Marcel Dekker, 2005, pp.233–281.

195. Lautenbach K and Ridder H. Liveness in bounded Petri nets which are covered by T-invariants. In: *Proceedings of the 13th international conference application theory Petri nets*, vol. 815 (Lecture notes in computer science), Zaragoza, 20–24 June 1994, pp.358–375. Berlin: Springer.

196. Li ZW, Zhou MC and Uzam M. Deadlock control policy for a class of Petri nets without complete siphon enumeration. *IET Control Theory A* 2007; 1: 1594–1605.

197. Liu D, Li X and Li ZW. FANM: extraction of a minimal siphon from a maximal one, 2009, https://drive.google.com/open?id=0B0EMOSvVN2msQ1hBZHR4RGo2MkE

198. Liu D, Li ZW and Zhou MC. Liveness of an extended S’PR. *Automatica* 2010; 46: 1008–1018.

199. Liu D, Li ZW and Zhou MC. A parameterized liveliness and ratio-enforcing supervisor for a class of generalized Petri nets. *Automatica* 2013; 49: 3167–3179.

200. Liu D, Li ZW and Zhou MC. Hybrid liveness-enforcing policy for generalized Petri net models of flexible manufacturing systems. *IEEE T Syst Man Cy A* 2013; 43: 85–97.

201. Liu GY, Li ZW and Zhong CF. New controllability condition for siphons in a class of generalised Petri nets. *IET Control Theory A* 2010; 4: 854–864.

202. Reveliotis SA. On the siphon-based characterization of liveness in sequential resource allocation systems. In: Van der Aalst WMP and Best E (eds) *Applications and theory of Petri nets: proceedings of the 24th international conference*, vol. 2679 (Lecture notes in computer science). Berlin: Springer-Verlag, 2003, pp.241–255.
203. Xie XL and Jeng MD. ERCN-merged nets and their analysis using siphons. *IEEE T Robotic Autom* 1999; 15: 692–703.

204. Yamalidou E, Moody JO and Antsaklis PJ. Feedback control of Petri nets based on place invariants. *Automatica* 1996; 32: 15–28.

205. Zhong CF and Li ZW. A deadlock prevention approach for flexible manufacturing systems without complete siphon enumeration of their Petri net models. *Eng Comput* 2009; 25: 269–278.

206. Chen YF and Li ZW. On structural minimality of optimal supervisors for flexible manufacturing systems. *Automatica* 2012; 48: 2647–2656.

207. Chen YF, Li ZW and Al-Ahmari AM. Nonpure Petri net supervisors for optimal deadlock control of flexible manufacturing systems. *IEEE T Syst Man Cy A* 2013; 43: 252–265.

208. Chen YF, Li ZW and Barkaoui K. New Petri net structure and its application to optimal supervisory control: interval inhibitor arcs. *IEEE T Syst Man Cy A* 2014; 44: 1384–1400.

209. Chen YF, Li ZW and Zhou MC. Optimal supervisory control of flexible manufacturing systems by Petri nets: a set classification approach. *IEEE T Autom Sci Eng* 2014; 11: 549–563.

210. Chen YF, Li ZW and Barkaoui K. Maximally permissive liveness-enforcing supervisor with lowest implementation cost for flexible manufacturing systems. *Inform Sciences* 2014; 256: 74–90.

211. Chen YF, Li ZW, Barkaoui K, et al. On the enforcement of a class of nonlinear constraints on Petri nets. *Automatica* 2015; 55: 116–124.

212. Chen YF, Li ZW, Barkaoui K, et al. Compact supervisory control of discrete event systems by Petri nets with data inhibitor arcs. *IEEE T Syst Man Cy A* 2017; 47: 364–379.

213. Chen YF, Li ZW and Barkaoui K. Monitor design with multiple self-loops for maximally permissive supervisors. *ISA T* 2016; 61: 129–140.

214. Uzam M and Zhou MC. An improved iterative synthesis method for liveness enforcing supervisors of flexible manufacturing systems. *Int J Prod Res* 2006; 44: 1987–2030.

215. Li ZW and Zhou MC. Elementary siphons of Petri nets and their application to deadlock prevention in flexible manufacturing systems. *IEEE T Syst Man Cy A* 2004; 34: 38–51.

216. Li ZW and Zhou MC. Clarifications on the definitions of elementary siphons in Petri nets. *IEEE T Syst Man Cy A* 2006; 36: 1277–1279.

217. Chao DY. Computation of elementary siphons for deadlock control. *Comput J* 2006; 49: 470–479.

218. Chao DY. Technical note—a graphic-algebraic computation of elementary siphons of BS*PR. J Inf Sci Eng* 2007; 23: 1817–1831.

219. Chao DY. Incremental approach to computation of elementary siphons for arbitrary simple sequential processes with resources. *IET Control Theory A* 2008; 2: 168–179.

220. Chao DY and Li ZW. Structural conditions of systems of simple sequential processes with resources nets without weakly dependent siphons. *IET Control Theory A* 2009; 3: 391–403.

221. Hong L and Chao DY. Controllability of control and mixture weakly dependent siphons in S*PR. Int J Syst Sci* 2013; 44: 1377–1385.

222. Hou YF, Li ZW, Zhao M, et al. Extended elementary siphon-based deadlock prevention policy for a class of generalised Petri nets. *Int J Comp Integ M* 2014; 27: 85–102.

223. Hou YF, Li ZW, Al-Ahmari AM, et al. Extended elementary siphons and their application to liveness-enforcement of generalized Petri nets. *Asian J Control* 2014; 16: 1789–1810.

224. Hou YF, Li ZW, Zhao M, et al. Extraction of elementary siphons in a class of generalized Petri nets using graph theory. *Eng Computation* 2014; 31: 331–352.

225. Hou YF and Zhao M. Update to “Extraction of elementary siphons in a class of generalized Petri nets using graph theory.” *Eng Computation* 2016; 33: 331–352.

226. Hou YF, Zhao M, Liu D, et al. An efficient siphon-based deadlock prevention policy for a class of generalised Petri nets. *Discrete Dyn Nat Soc* 2016; 2016: 8219424–1–8219424-12.

227. Hu HS and Li ZW. An optimal-elementary-siphons-based iterative deadlock prevention policy for flexible manufacturing systems. *Int J Adv Manuf Tech* 2008; 38: 309–320.

228. Li SY and Li ZW. Solving siphons with the minimal cardinality in Petri nets and its applications to deadlock control. *Int J Prod Res* 2012; 50: 6203–6218.

229. Li ZW and Wei N. Deadlock control of flexible manufacturing systems via invariant–controlled elementary siphons of Petri nets. *Int J Adv Manuf Tech* 2007; 33: 24–35.

230. Li ZW and Zhou MC. Control of elementary and dependent siphons in Petri nets and their application. *IEEE T Syst Man Cy A* 2008; 38: 133–148.

231. Li ZW and Zhou MC. A polynomial-complexity approach to decide the existence of a maximally permissive Petri net supervisor using elementary siphons. In: *Proceedings of the IEEE international conference on networking, sensing and control*, Okayama, Japan, 26–29 March 2009, pp.608–613. New York: IEEE.

232. Qin M, Li ZW and Al-Ahmari AM. Elementary-siphon-based control policy for flexible manufacturing systems with partial observability and controllability of transitions. *Asian J Control* 2015; 17: 327–342.

233. Wang AR, Li ZW, Jian YJ, et al. An effective algorithm to find elementary siphons in a class of Petri nets. *IEEE T Syst Man Cy A* 2009; 39: 912–923.

234. Barkaoui K and Pradat-Peyre JF. On liveness and controlled siphons in Petri nets. In: *Proceedings of the 17th international conference on application and theory of Petri nets*, vol. 1091 (Lecture notes in computer science), Osaka, Japan, 24–28 June 1996, pp.57–72. Berlin: Springer.

235. Ezpeleta J, Colom JM and Martinez J. A Petri net based deadlock prevention policy for flexible manufacturing systems. *IEEE T Robotic Autom* 1995; 11: 173–184.

236. Barkaoui K and Ben Abdallah I. Analysis of a resource allocation problem in FMS using structure theory of Petri nets. In: *Proceedings of the 1st international
workshop on manufacturing and Petri nets, Osaka, Japan, 24–28 June 1996.

237. Barkaoui K, Courvreur JM and Klai K. On the equivalence between liveness and deadlock-freeness in Petri nets. In: Ciardo G and Darondeau P (eds) Applications and theory of Petri nets 2005; vol. 3536 (Lecture notes in computer science). Berlin; Heidelberg: Springer-Verlag, 2005, pp.90–107.

238. Chao DY. Max’-controlled siphons for liveness of S^PR. IET Control Theory A 2007; 1: 933–936.

239. Teruel E and Silva M. Structure theory of equal conflict systems. Theor Comput Sci 1996; 153: 271–300.

240. Zhong CF and Li ZW. Self-liveness of a class of Petri net models for flexible manufacturing systems. IET Control Theory A 2010; 4: 403–410.

241. Liu GY and Barkaoui K. Necessary and sufficient liveness condition of GS^PR Petri nets. Int J Syst Sci 2015; 46: 1147–1160.

242. Guan XX, Wu WH and Wang SG. New controllability condition for siphons in WS^PR nets. Asian J Control 2015; 17: 908–916.

243. Li ZW and Zhao M. On controllability of dependent siphons for deadlock prevention in generalized Petri nets. IEEE T Syst Man Cy A 2008; 38: 369–384.

244. Li ZW, Zhou MC and Jeng MD. A maximally permissive deadlock prevention policy for FMS based on Petri net siphon control and the theory of regions. IEEE T Autom Sci Eng 2008; 5: 182–188.

245. Wei N and Li ZW. On the suboptimal liveness-enforcing supervisors based on Petri net structural analysis and the theory of regions. Int J Adv Manuf Tech 2008; 38: 195–204.

246. Zhao M, Li ZW and Hu HS. Suboptimal liveness-enforcing supervisor design for a class of generalised Petri nets using partial siphon enumeration and mathematical programming. Int J Syst Sci 2010; 41: 1013–1026.

247. Abdallah IB, ElMaraghy HA and ElMekkawy T. A logic programming approach for finding minimal siphons in S^PR nets applied to manufacturing systems. Int: Proceedings of the IEEE international conference on systems, man, and cybernetics, Orlando, FL, 12–15 October 1997, pp.1710–1715. New York: IEEE.

248. Cordone R, Ferrari L and Pirondi L. Enumeration algorithms for minimal siphons in Petri nets based on place constraints. IEEE T Syst Man Cy A 2005; 35: 844–854.

249. Chu F and Xie XL. Deadlock analysis of Petri nets using siphons and mathematical programming. IEEE T Robotic Autom 1997; 13: 793–804.

250. Huang YS, Jeng MD, Xie XL, et al. Deadlock prevention policy based on Petri nets and siphons. Int J Prod Res 2001; 39: 283–305.

251. Li SY, Li ZW and Hu HS. Siphon extraction for deadlock control in flexible manufacturing systems by using Petri nets. Int J Comp Integ M 2011; 24: 710–725.

252. Chao DY. Direct minimal empty siphon computation using MIP. Int J Adv Manuf Tech 2009; 45: 397–405.

253. Barkaoui K, Dutheillet C, Haddad S, et al. An efficient algorithm for finding structural deadlocks in colored Petri nets. In: Marsan MA (ed.) Application and theory of Petri nets. Berlin; Heidelberg: Springer, 1993, pp.69–88.

254. Esparza J, Courvreur JM and Silva M. A new technique for finding a generating family of siphons, traps and st-components: application to colored Petri nets. In: Rozenberg G (ed.) Advances in Petri nets, vol. 674 (Lecture notes in computer science). New York: Springer-Verlag, 1993, pp.126–147.

255. Lautenbach K. Linear algebraic calculation of deadlocks and traps. In: Voss K, Genrich HJ and Rozenberg G (eds) Concurrency and nets. New York: Springer-Verlag, 1987, pp.315–336.

256. Wang AR, Li ZW and Jia JY. Efficient computation of strict minimal siphons for a class of Petri nets models of automated manufacturing systems. T I Meas Control 2011; 32: 182–201.

257. Yamauchi M and Watanabe T. Algorithms for extracting minimal siphons containing specified places in a general Petri net. IEICE T Fund Electr 1999; 82: 2566–2575.

258. Chen YF and Liu GY. Computation of minimal siphons in Petri nets by using binary decision diagrams. ACM T Embed Comput S 2013; 12: 1–3.

259. Tricas F and Ezpeleta J. Some results on siphon computation for deadlock prevention in resource allocation systems modeled with Petri nets. In: Proceedings of the IEEE conference on emerging technologies and factory automation (ETFA), Lisbon, 16–19 September 2003, pp.322–329. New York: IEEE.

260. Tricas F and Ezpeleta J. Computing minimal siphons in Petri net models of resource allocation systems: a parallel solution. IEEE T Syst Man Cy A 2006; 36: 532–539.

261. Boer ER and Murarta T. Generating basis siphons and traps of Petri nets using the sign incidence matrix. IEEE T Circuits: I 1994; 41: 266–271.

262. Xing KY and Hu BS. Optimal liveness Petri net controllers with minimal structures for automated manufacturing systems. In: Proceedings of the IEEE international conference on systems, man and cybernetics, Waikoloa, HI, 12 October 2005, pp.282–287. New York: IEEE.

263. Wang SG, Wang CY, Zhou MC, et al. A method to compute strict minimal siphons in a class of Petri nets based on loop resource subsets. IEEE T Syst Man Cy A 2012; 42: 226–237.

264. Liu M, Wang SG and Li ZW. Sufficient conditions for loop resource subsets to derive strict minimal siphons in class of Petri nets. Electron Lett 2014; 50: 25–27.

265. Esparza J and Silva M. Circuits, handles, bridges and nets. In: Rozenberg G (ed.) Advances in Petri nets 1990, vol. 483 (Lecture notes in computer science). Berlin: Springer-Verlag, 1990, pp.210–242.

266. Chao DY. An incremental approach to extracting minimal bad siphons. J Inf Sci Eng 2007; 23: 203–214.

267. Chao DY. Searching strict minimal siphons for SNC-based resource allocation systems. J Inf Sci Eng 2007; 23: 853–867.

268. Li ZW, Zhou MC and Wu NQ. A survey and comparison of Petri net-based deadlock prevention policies for flexible manufacturing systems. IEEE T Syst Man Cy C 2008; 38: 172–188.

269. Li ZW and Zhou MC. On siphon computation for deadlock control in a class of Petri nets. IEEE T Syst Man Cy A 2008; 38: 667–679.
281. Huang YS, Jeng MD, Xie XL, et al. A siphon-based deadlock prevention control model of a well-known S3PR. *Asian J Control* 2012; 14: 1141–1149.

282. Wang F, Xing KY, Xu XP, et al. Research on finding elementary siphon in a class of Petri nets. In: Zhao M and Hou YF (eds) *Communications in computer and information science*, vol. 289. Berlin: Springer, 2012, pp.435–442.

283. Xing KY, Zhou MC, Wang F, et al. Resource-transition circuits and siphons for deadlock control of automated manufacturing systems. *IEEE T Syst Man Cy A* 2011; 41: 74–84.

284. Chao DY and Liao GJ. A simple suboptimal siphon-based control model of a well-known S3PR. *Asian J Control* 2012; 14: 163–172.

285. Chao DY, Chen TY, Chen JT, et al. A best deadlock control for S3PMR to reach all states. *Asian J Control* 2012; 14: 278–283.

286. Chao DY. A new optimal control policy for a well-known S3PR (systems of simple sequential processes with resources). *Int J Prod Res* 2012; 50: 6259–6271.

287. Liu HX, Xing KY, Wu WM, et al. Deadlock prevention for flexible manufacturing systems via controllable siphon basis of Petri nets. *IEEE T Syst Man Cy A* 2015; 45: 519–529.

288. Hong L, Hou YF, Jing JF, et al. Deadlock prevention policy with behavioral optimality or suboptimality achieved by the redundancy identification of constraints and the rearrangement of monitors. *Discrete Dyn Nat Soc* 2015; 2015: 579623-1–579623-15.

289. Zhao M, Hou YF and Liu D. Liveness-enforcing supervisors synthesis for a class of generalised Petri nets based on two-stage deadlock control and mathematical programming. *Int J Control* 2010; 83: 2053–2066.

290. Zhao M and Hou YF. An iterative method for synthesizing non-blocking supervisors for a class of generalized Petri nets using mathematical programming. *Discrete Event Dyn S* 2013; 23: 23–26.

291. Huang YS, Jeng MD, Xie XL, et al. Siphon-based deadlock prevention policy for flexible manufacturing systems. *IEEE T Syst Man Cy A* 2006; 36: 1248–1256.

292. Shih YY and Chao DY. Sequence of control in S3PMR. *Comput J* 2010; 53: 1691–1703.

293. Li SY, An A, Wang Y, et al. Design of liveness-enforcing supervisors with simpler structures for deadlock-free operations in flexible manufacturing systems using necessary siphons. *J Intell Manuf* 2013; 24: 1157–1173.

294. Li SY and Li ZW. Structure reduction of liveness-enforcing Petri nets using mixed integer programming. *Asian J Control* 2012; 14: 384–399.

295. Li SY, An AM, Wu HM, et al. Policy to cope with deadlocks and livelocks for flexible manufacturing systems using the max ‘controlled new smart siphons. *IET Control Theory A* 2014; 8: 1607–1616.
regions and selective siphon method. Int J Adv Manuf Tech 2013; 66: 1805–1815.

303. Chao DY. Improvement of suboptimal siphon- and FBM-based control model of a well-known S’PR. IEEE T Automat Eng 2011; 8: 404–411.

304. Liu GY, Chao DY and Uzam M. A merging method for the siphon-based FMS maximally permissive controllers with simpler structures. IMA J Math Control I 2014; 31: 551–573.

305. Liu GY and Chao DY and open issues. In: Caillaud B, Darondeau P, Lavagno L, et al. (eds) Proceedings of the symposium discrete events manufacturing systems, Lille, 1996, pp.1–12.

306. Liu GY, Chao DY and Uzam M. A merging method for manufacturing system structural control and the neighborhood policy, part 2: generalization, optimization, and efficiency. IEEE Trans 1997; 29: 889–899.

307. Lawley MA and Reveliotis SA and Ferreira PM. Flexible manufacturing system structural control and the neighborhood policy, part 2: generalization, optimization, and efficiency. IEEE Trans 1997; 29: 889–899.

308. Lawley MA and Reveliotis SA and Ferreira PM. Flexible manufacturing system structural control and the neighborhood policy, part 2: generalization, optimization, and efficiency. IEEE Trans 1997; 29: 889–899.

309. Roszkowska E and Wojcik R. Problems of process flow feasibility in FAS. In: CIM in process and manufacturing industries. Oxford: Pergamon Press, 1993, pp.115–120.

310. Giua A, DiCesare F and Silva M. Petri net supervisor for generalized mutual exclusion constraints. In: Proceedings of the 12th triennial world congress of the international-federation-of-automatic-control, Sydney, NSW, Australia, 18–23 July 1993, vol. 1, pp.267–270. Elsevier Science Ltd.

311. Giua A and Seatzu C. Observability of place/transition nets. IEEE T Automat Contr 2002; 47: 1424–1437.

312. Tong Y, Li ZW and Giua A. On the equivalence of observation structures for Petri net generators. IEEE T Automat Contr 2016; 61: 2448–2462.

313. Tong Y, Li ZW and Giua A. On the equivalence of observation structures for Petri net generators. IEEE T Automat Contr 2016; 61: 2448–2462.

314. Trappey AJC, Hsiao DW and Ma L. Maintenance chain integration using Petri-net enabled multiagent system modeling and implementation approach. IEEE T Syst Man Cy C 2011; 41: 306–315.

315. Tricas F and Martinez J. An extension of the liveness theory for concurrent sequential processes competing for shared resources. In: Proceedings of the IEEE international conference on systems, Vancouver, BC, Canada, 22–25 October 1995, pp.3035–3040. New York: IEEE.

316. Wonham WM. Supervisory control of discrete event systems, 2009. http://www.control.toronto.edu/people/profs/wonham/wonham.html

317. Zhou MC and Fanti MP (eds). Deadlock resolution in computer-integrated systems. New York: Marcel Dekker, 2005.

318. Zhou MC and Li ZW. Special issue on “Petri nets for system control and automation.” Asian J Control 2010; 12: 237–239.

Appendix I

Basics of Petri nets

A generalized Petri net (structure) N is a four-tuple \( (P, T, F, W) \), where \( P \) and \( T \) are finite and nonempty sets, respectively. \( P \) is a set of places and \( T \) is a set of transitions with \( P \cap T = \emptyset \). \( F \subseteq (P \times T) \cup (T \times P) \) is called a flow relation of the net, represented by arcs with arrows from places to transitions or from transitions to places. \( W : (P \times T) \cup (T \times P) \to \mathbb{N} \) is a mapping that assigns a weight to an arc: \( W(x, y) > 0 \) if \( (x, y) \in F \), and \( W(x, y) = 0 \) otherwise, where \( x, y \in P \cup T \), and \( \mathbb{N} = \{0, 1, 2, \ldots\} \) is a set of non-negative integers. If \( \forall(x, y) \in F, W(x, y) = 1 \), \( N \) is said to be ordinary. If \( \forall x, y \in P \cup T, W(x, y) > 0 \) implies \( W(y, x) = 0 \), \( N \) is called a pure (self-loop free) net.

A pure net \( N = (P, T, F, W) \) can be alternatively represented by its incidence matrix \( [N] \), that is, a \( |P| \times |T| \) matrix.
integer matrix with \([N](p, t) = W(t, p) - W(p, t)\). For a place \(p\) (transition \(t\)), its incidence vector, a row (column) in \([N]\), is denoted by \([N](p, \cdot)\) \(((N)(\cdot, t))\).

Let \(x \in P \cup T\) be a node of net \(N = (P, T, F, W)\). The preset of \(x\) is defined as \(\bullet = \{y \in P \cup T \mid (y, x) \in F\}\). The while postset of \(x\) is defined as \(x^* = \{y \in P \cup T \mid (x, y) \in F\}\). This notation can be extended to a set of nodes as follows: given \(X \subseteq P \cup T\), \(\bullet X = \cup_{x \in X} \bullet x\) and \(x^* = \cup_{x \in X} x^*\). A Petri net \(N = (P, T, F, W)\) is said to be a state machine if \(\forall t \in T\), \([\sigma]t = [\sigma^*] = 1\). \(N = (P, T, F)\) is said to be a marked graph if \(\forall p \in P\), \([p^*] = [p^t] = 1\). Given a place \(p\), \(\max\{W(p, t) | t \in p^*\}\) is denoted by \(\max P\). A string \(x_1, x_2, \ldots, x_n\) \((x_i \in P \cup T)\) is called a path of \(N\) if \(\forall i \in \{1, 2, \ldots, n-1\}\), \(x_{i+1} \in x_i^*\). An elementary path from \(x_1\) to \(x_0\) is a path whose nodes are all different (except, perhaps, \(x_1 = x_0\)), which is denoted by \(EP(x_1, x_0)\). A path \(x_1, x_2, \ldots, x_n\) is a circuit if it is an elementary path with \(x_1 = x_n\).

A marking \(M\) of a net \(N\) is a mapping from \(P\) to \(\mathbb{N}\). \(M(p)\) denotes the number of tokens in place \(p\). A place \(p\) is marked at a marking \(M\) if \(M(p) > 0\). A subset \(S \subseteq P\) is marked at \(M\) if at least one place in \(S\) is marked at \(M\). The sum of tokens in all places in \(S\) is denoted by \(M(S)\), that is, \(M(S) = \sum_{p \in S} M(p)\). \((N, M_0)\) is called a net system or marked net and \(M_0\) is called an initial marking of \(N\). One usually describes markings and vectors using a multiset or formal sum notation for economy of space.

A transition \(t \in T\) is enabled at marking \(M\) if \(\forall p \in \bullet t\), \(M(p) \geq W(p, t)\). This fact is denoted by \(M(t)\). Firing \(t\) yields a new marking \(M'\) such that \(\forall p \in P\), \(M'(p) = M(p) - W(p, t) + W(t, p)\), denoted as \(M(t)M'\).

If there exists a sequence of transitions \(\sigma = t_1t_2\ldots t_n\) and markings \(M_1, M_2, \ldots, M_n\) such that \(M_{t_0} M_{t_1} M_{t_2} \ldots M_{t_n} M^\sigma\) holds and marking \(M^\sigma\) is said to be reachable from \(M\). \(R(N, M)\) denotes a set of all markings reachable from \(M\) in \(N\), called the reachability set of Petri net \(N\) at initial marking \(M\).

A transition \(t \in T\) is live at \(M_0\) if \(\forall M \in R(N, M_0), \exists M' \in R(N, M)\), \(M'(t)\). \(N\) is dead at \(M_0\) if \(\forall \exists t \in T\), \(M_0[t]\) holds. \((N, M_0)\) is deadlock-free if \(\forall M \in R(N, M_0), \exists t \in T\), \(M(t)\) holds. \((N, M_0)\) is quasi-live if \(\forall t \in T\), \(\exists M \in R(N, M_0), M(t)\) holds. \((N, M_0)\) is live if \(\forall t \in T\), \(t\) is live at \(M_0\).

A \(P\)-vector is a column vector \(I : P \to \mathbb{Z}\) indexed by \(P\) and a \(T\)-vector is a column vector \(J : T \to \mathbb{Z}\) indexed by \(T\), where \(Z\) is the set of integers. \(P\)-vector \(I\) is called a \(P\)-invariant if \(I \neq 0\) and \(I^T[N] = 0^T\). \(T\)-vector \(J\) is called a \(T\)-invariant if \(J \neq 0\) and \([N]J = 0\). \(P\)-invariant \(I\) is a \(P\)-semiflow if every element of \(I\) is non-negative. \(|I| = |p|I(p)| \neq 0\) is called the support of \(I\). The following properties of \(P\)-invariant are known: any linear combination of \(P\)-invariants of a net is still a \(P\)-invariant. Furthermore, if \(I\) is a \(P\)-invariant net \(N\) with an initial marking \(M_0\), then \(\forall M \in R(N, M_0), I^T M = I^T M_0\).
6. The three following statements are verified: (1) \( W(p sol r) = 1 \) and \( W(r sol p) = 1 \), \( \forall p \in P_A \cup \{ p^I \} \), \( \forall r \in T \); (2) \( W(r sol r) \geq 1 \) and \( W(r sol r) \geq 1 \), \( \forall r \in P_R \), \( \forall r \in T \), and (3) two arcs of any arc pair have the same weight.

**Definition 40.** Let \( N = (\{ p^0 \} \cup P_A \cup P_R \cup T, F, W) \) be a WS\(^2\)PR.\(^{198}\) An initial marking \( M_0 \) is called an acceptable initial marking for \( N \) if (1) \( M_0(p^0) \geq 1 \); (2) \( M_0(p) = 0 \), \( \forall p \in P_A \); and (3) \( M_0(r) \geq W(r sol r), \forall r \in P_R \), \( t \in r^* \).

**Definition 41.** A system of WS\(^2\)PR, called WS\(^3\)PR for short, is defined recursively as follows: \(^{198}\)

1. A WS\(^3\)PR is a WS\(^2\)PR;
2. Let \( N_i = (\{ p^0 \} \cup P_A \cup P_R, T_i, F_i, W_i) \), \( i \in \{ 1, 2 \} \), be two WS\(^2\)PR such that \((P_A \cup \{ p^0 \}) \cap (P_A \cup \{ p^0 \}) = \emptyset \), \( P_R \cap P_R = P_C \neq \emptyset \), and \( T_1 \cap T_2 = \emptyset \). Then, the net \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) resulting from the composition of \( N_1 \) and \( N_2 \) via \( P_C \) such that (1) \( P = P_A \cup P_A \); (2) \( P_0 = \{ p^0 \} \cup \{ p^2 \} \); (3) \( P_R = P_R \cup P_R \); (4) \( T = T_1 \cup T_2 \); (5) \( F = F_1 \cup F_2 \); and (6) \( W = W_1 \cup W_2 \) is also a WS\(^3\)PR.

**GLS\(^3\)PR.**

**Definition 42.** An S\(^3\)P \( N = (P_A \cup \{ p^0 \}, T, F) \) is a linear simple sequential process (LS\(^3\)P) if \( \forall p \in P_A, \{ p^* \} = 1 \).

**Definition 43.** A generalized linear sequential process with resources (GLS\(^3\)PR) is a generalized Petri net \( N = (P_A \cup \{ p^0 \} \cup P_R, T, F, W) \) such that

1. The subnet \( \tilde{N} \) generated by \( X = P_A \cup \{ p^0 \} \cup T \) is an LS\(^3\)P;
2. \( P_R \neq \emptyset \), \( r \in P_R \) is called a resource place, and \((P_A \cup \{ p^0 \}) \cap P_R = \emptyset \);
3. \( W = W_A \cup W_R \), where \( W_A = ((P_A \cup \{ p^0 \}) \times T) \cup (T \times (P_A \cup \{ p^0 \})) \rightarrow \{ 0, 1 \} \) and \( W_R = (P_R \times T) \cup (T \times P_R) \rightarrow \{ 0 \} \);
4. \( \forall r \in P_R \), there exists a unique minimal \( P \)-semi-flow \( L_r = N^{|P|} \) such that \( ||L_r|| \cap P_R = \{ r \}, \{ p^0 \} \cap ||L_r|| = \emptyset \), and \( P_A \cap ||L_r|| \neq \emptyset \). Furthermore, (1) \( L_r(0) = 1 \); (2) \( \forall p \in ||L_r|| \cap P_A \), \( L_r(p) \geq 1 \); if \( \exists p' \in ||L_r|| \cap P_A \) such that \( p^* \cap *p' \neq \emptyset, \) then \( L_r(p') = L_r(p) \);
5. Let \( H(r) = ||L_r|| \{ r \} \) and \( P_A = \cup_{r \in P_A} H(r) \);
6. \( \forall p \in H(r), \forall r \in P_A \), if \( \{ p^* \cap H(r) \} = \emptyset, \) then \( W(r, t) \geq 1 \), \( \forall p \in H(r), \forall t \in \{ p^* \} \), if \( \{ p^* \cap H(r) \} = \emptyset, \) then \( W(t, r) \geq 1 \).

**Definition 44.** A system of GLS\(^3\)PR, GLS\(^3\)PR for short, is recursively defined as follows:

1. A GLS\(^3\)PR is a GLS\(^3\)PR;
2. Let \( N_i = (P_A \cup \{ p^0 \} \cup P_R, T_i, F_i, W_i), i \in \{ 1, 2 \}, \) be two GLS\(^3\)PR with \((P_A \cup \{ p^0 \}) \cap (P_A \cup \{ p^0 \}) = \emptyset \), \( P_R \cap P_R = P_C \neq \emptyset \), and \( T_1 \cap T_2 = \emptyset \). Then, the net \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) resulting from the composition of \( N_1 \) and \( N_2 \) via \( P_C \) such that (1) \( P = P_A \cup P_A \); (2) \( P_0 = \{ p^0 \} \cup \{ p^2 \} \); (3) \( P_R = P_R \cup P_R \); (4) \( T = T_1 \cup T_2 \); (5) \( F = F_1 \cup F_2 \); and (4) \( W(x, y) = W(x, y) \) if \( (x, y) \in F_r, i = 1, 2 \) is also a GLS\(^3\)PR.

**Definition 45.** Let \( N = (P_A \cup P_0 \cup P_R, T, F, W) \) be a GLS\(^3\)PR. \( \forall r \in P_R, t \in *r, t' \in *r, \) if \( t' \neq r \), then \( t' \neq r \), and \( t \) and \( t' \) are called a transition-pair (t-pair for short) of \( r \), denoted as \( (t', t) \).

**S\(^3\)PR net**

**Definition 46.** An S\(^3\)PR net is a generalized and self-loop free net \( N = \bigcap_{n=1}^n N_i = (P, T, F, W), \) where

1. \( N_i = (P_A \cup \{ p^0 \} \cup P_R, T_i, F_i), \) \( i \in N_n = \{ 1, \ldots, n \} \);
2. \( P = P_A \cup P_0 \cup P_R \) is a partition such that (1) \( P_A = \bigcup_{i=1}^n P_A \) is called the set of operation places, where \( P_A \neq \emptyset \) and \( P_A \cap P_A = \emptyset, \forall i \neq j \); (2) \( P_R = \{ r_1, r_2, \ldots, r_m \} m \in N^+ \) is called the set of resource places; (3) \( P_0 = \bigcup_{i=1}^n \{ p^0 \} \) is called the set of idle places; and (4) the output transitions of idle places are called source transitions.
3. \( T = \bigcap_{n=1}^n T_i \) is called the set of transitions, where \( \forall i, j \in N_n, i \neq j, T_i \neq \emptyset, \) and \( T_i \cap T_j = \emptyset \).
4. \( W = W_A \cup W_R, \) where \( W_A : ((P_A \cup P_0) \times T) \cup (T \times (P_A \cup P_0)) \rightarrow \{ 0, 1 \} \) such that \( \forall i, j \in N_n, i \neq j, (P_A \cup \{ p^0 \}) \times T_j \cup (T \times (P_A \cup \{ p^0 \})) \rightarrow \{ 0 \}, \) and \( W_R : (P_R \times T) \cup (T \times P_R) \rightarrow N \).
5. \( \forall i \in N_n, \) the subset \( \tilde{N}_i \) generated by \( P_A \cup \{ p^0 \} \cup T_i \) is a strongly connected state machine such that every cycle contains \( p_i^1 \).
6. \( P \)-invariant \( L_i \in N^{|P|} \) such that \( \{ r \} = ||L_i|| \cap P_R \), \( P_0 \cap ||L_i|| = \emptyset, \) \( P_A \cap ||L_i|| = \emptyset, \) and \( L_r(0) = 1 \), where \( N^{|P|} \) is a set of \( P \)-dimensional non-negative integer vectors. Furthermore, \( P_A = \cup_{x \in P_A} (||L_i|| \{ r \}) \).
7. \( N \) is strongly connected.