A HYPERBOLIC SYSTEM AND THE COST OF THE NULL CONTROLLABILITY FOR THE STOKES SYSTEM

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Abstract. This paper is devoted to study the cost of the null controllability for the Stokes system. Using the control transmutation method we show that the cost of driving the Stokes system to rest at time $T$ is of order $e^{C/T}$, as in the case of the heat equation. For this to be possible, we are led to study the exact controllability of one hyperbolic system with a resistance term, which will be done under assumptions on the control region.

1. Introduction

Let $\Omega \subset \mathbb{R}^N$ ($N \geq 2$) be a bounded connected open set, whose boundary $\partial \Omega$ is regular enough. Let $T > 0$ and let $\omega$ be a nonempty subsets of $\Omega$ which will usually be referred to as a control domain. We will use the notation $Q = \Omega \times (0, T)$ and $\Sigma = \partial \Omega \times (0, T)$ and we will denote by $\nu(x)$ the outward normal to $\Omega$ at the point $x \in \partial \Omega$.

Given $u_0 \in L^2(\Omega)$, it is well-known (see [9], [10]) that there exists $f \in L^2(\omega \times (0, T))$ such that the associated solution $v$ to the heat equation

\[
\begin{align*}
\frac{\partial v}{\partial t} - \Delta v &= f 1_\omega & \text{in } Q, \\
v &= 0 & \text{on } \Sigma, \\
v(0) &= v_0 & \text{in } \Omega
\end{align*}
\]

satisfies:

\[
v(T) = 0.
\]

In other words, the heat equation is null controllable for any control domain and any initial data $v_0 \in L^2(\Omega)$. Moreover, one also has the following estimate:

\[
\|f 1_\omega\|_{L^2(Q)} \leq C_h \|v_0\|_{L^2(\Omega)},
\]

for a constant $C_h$, the cost of controllability for the heat equation, of the form $e^{C(\Omega, \omega)(1+1/T)}$, i.e., the heat equation has a cost of controllability of order $e^{C/T}$.

As pointed out in [3] (see also [4], [16], [17], [24]), the main reason for the form of the constant $C_h$ in (1.3) is due to the fact that the fundamental solution of the heat equation in $\mathbb{R}^N$ is given by

\[
\Phi(x,t) = \frac{1}{(4\pi t)^{N/2}} e^{-|x|^2/4t}.
\]
As in the case of the heat equation, if one now considers the Stokes system

\[
\begin{align*}
\frac{\partial y}{\partial t} - \Delta y + \nabla p &= g1_\omega & \text{in } Q, \\
\text{div } y &= 0 & \text{in } Q, \\
y &= 0 & \text{on } \Sigma, \\
y(0) &= y_0 & \text{in } \Omega,
\end{align*}
\]

(1.5)

it is also well-known (see, for instance, [6]) that, given \( y_0 \in L^2(\Omega) \) with \( \text{div } y_0 = 0 \), there exists \( g \in L^2(\omega \times (0,T)) \) such that the associated solution \( y_0 \) to (1.5) satisfies:

\[ y(T) = 0. \]

Nevertheless, unlike the case of the heat equation, for the Stokes system, the known results in the literature (see, for instance, [6]) gives

\[ ||g1_\omega||_{L^2(Q)} \leq C_S ||y_0||_{L^2(\Omega)}, \]

(1.6)

for a constant \( C_S \), the cost of controllability for the Stokes equation, of the form \( e^{C(\Omega, \omega)(1+1/T^4)}, \) i.e., a cost of order \( e^{C/T^4} \).

Since the fundamental solutions of the heat and the Stokes system have, at least for \( N = 2, 3 \), the same behavior in time (see [11], [12], [21]), looking to (1.3) and (1.6), the following natural question arises:

**Question 1.1.** Do the cost of the controllability for the heat equation and the Stokes system have the same order in time?

When trying to answer Question 1.1, the first attempt is to analyze the different ways one can prove (1.3) and (1.6). In fact, there exist at least two different ways one can prove (1.3), the first one is based on spectral decompositions, the so-called Lebeau-Robbiano strategy (see [13]), the second is based on the use of Carleman inequalities (see [9], [10]). For the Stokes system, it seems that a Lebeau-Robbiano strategy is very difficult to prove, since one must deal with the pressure, and the most known method used to prove (1.6) is based on Carleman inequalities (see [6]).

The main difference when proving (1.3) and (1.6) by mean of Carleman inequalities are the weights one must use. Indeed, for the heat equation the weights used are of the form

\[ \rho(t) = \frac{e^{C/(t(T-t))}}{t(T-t)}, \]

(1.7)

while for the Stokes system the weights are of the form

\[ \rho(t) = \frac{e^{C/(t^4(T-t)^4)}}{t^4(T-t)^4}. \]

(1.8)

The reason why one has different weights for the Stokes system than for the heat equation is due to the fact that one must deal with the pressure term in the first equation. If we were able to use weights like (1.7) for the Stokes system then these two equations would have costs of controllability of same order. However, a careful analysis of both proofs indicates that this is not the case, but also gives hope, since the obstruction one has when dealing with the pressure seems to be just technical.
The main objective of this paper is to show that heat and the Stokes system have costs of controllability of same order. Our strategy will not be based on the use of Carleman inequalities but rather on the application of the Control Transmutation Method (CTM). This method is based on the idea that when faced with a new problem, one good strategy is to reduce it to a previously solved problem, or at least to a simpler one.

In order to use the CTM, we are led to study the null controllability of the following hyperbolic system with a pressure term:

$$\begin{align*}
  u'' - \Delta u + \nabla p &= h_1 \omega & \text{in } Q, \\
  \text{div } u &= 0 & \text{in } Q, \\
  u &= 0 & \text{on } \Sigma, \\
  u(0) = u^0, u'(0) &= u^1 & \text{in } \Omega.
\end{align*}$$

(1.9)

The idea is as follows. If one can show that system (1.9) is null controllable, then the CTM can be applied in order to guarantee the null controllability for the Stokes system (1.5). Moreover, if the cost of controlling (1.9) is known, then the cost of the controllability for (1.5) is also known (see Theorem 2.2).

It is important to mention that systems like (1.9) are simple models of dynamical elasticity for incompressible materials. They also appear in coupled elasto-thermicity problems where one of the coupling parameter (related to compressibility properties) tends to infinity (see [15]).

Concerning the controllability of (1.9), as far as we know, the only result available in the literature is [19]. In her thesis, the author shows the exact controllability of (1.9) when the control is acting on a part of the boundary. However, it seems that no controllability results are known when the control is acting internally, i.e., acting on a part of the domain. The main reason for this seems to be the fact that system (1.9) is not of Cauchy-Kowalewski type, which makes impossible to use directly Holgren’s Theorem as in the case of the wave equation.

This paper is organized as follows. In Section 2, we prove that system (1.5) has the same cost of controllability as for the heat equation if the initial data is regular enough. Section 3, we prove that we can take initial data less regular and still have the same order of controllability for the Stokes system as for the heat equation. Section 4 is devoted to prove the internal null controllability of system (1.9).

2. The Stokes system with regular initial data

In this section, we prove that if the initial data is regular enough then the Stokes system (1.5) is null controllable with a cost of order $e^{C/T}$. Our proof is based on the Control Transmutation Method in the spirit of [16] and a null controllability result for system (1.9).

We assume that $\Omega$ is star-shaped with respect to the origin, i.e., there exists $\gamma > 0$ such that

$$x \cdot \nu(x) \geq \gamma > 0 \quad \text{on } \forall x \in \partial \Omega.$$

Given a point $x_0 \in \mathbb{R}^N$, we divide the boundary $\partial \Omega$ into two pieces

$$\partial \Omega_0 = \{x \in \partial \Omega; m(x) \cdot \nu > 0\} \text{ and } \partial \Omega_* = \partial \Omega \setminus \partial \Omega_0,$$

where $m(x) = x - x_0$ and define

$$R(x_0) = \max_{x \in \Omega} |m(x)|.$$

(2.1)
Our control region $\omega$ will be a nonempty subset of $\Omega$ satisfying
\[ \exists \Omega \subset \mathbb{R}^N, \Omega \text{ is a neighborhood of } \overline{\partial \Omega_0} \text{ and } \omega = \Omega \cap \Omega. \] (2.2)

We also define the following usual spaces in the context of fluid mechanics:
\[ V = \{ v \in C_0^\infty(\Omega); \text{ div } v = 0 \}, \]
\[ \mathcal{V} = \mathcal{H}_0^{1,0}(\Omega)^N = \{ u \in H_0^1(\Omega)^N; \text{ div } u = 0 \}, \]
\[ H = \mathcal{L}^2(\Omega)^N = \{ u \in L^2(\Omega)^N; \text{ div } u = 0, u \cdot \nu = 0 \text{ on } \partial \Omega \}. \]

The main result of this section is stated as follows.

**Theorem 2.1.** Assume $\omega$ satisfies (2.2) and let $T > 0$ and $y_0 \in V$. Then, there exists a control $g \in L^2(\omega \times (0,T))$ such that the solution $y$ of (1.5) satisfies
\[ y(T) = 0. \]
Moreover, there exist positive constants $C_1$ and $C_2$ such that
\[ \int_\omega \int_{(0,T)} |g|^2 \, dx \, dt \leq C_1 e^{C_2/T} \|y_0\|_V^2. \] (2.3)

**Proof of Theorem 2.1.** For the proof of Theorem 2.1, we need the following results.

**Theorem 2.2.** Assume $\omega$ satisfies (2.2). There exists $T_0 = T_0(x_0) > 0$ such that for any $T > T_0$ and any $(u_0, u_1) \in V \times H$, we can find a control $h \in L^2(0,T; H)$ such that the associated solution $u$ of (1.9) satisfies:
\[ u(T) = u'(T) = 0. \]
Moreover, there exists $C > 0$ such that
\[ \int_\omega \int_{(0,T)} |h|^2 \, dx \, dt \leq C (\|u_0\|_V^2 + \|u_1\|_H^2). \] (2.4)

**Lemma 2.3.** There exists a positive constant $\alpha^*$ such that, for all $\alpha > \alpha^*$, there exists $\gamma > 0$ having the property that, for all $L > 0$ and $T \in (0, \inf(\pi/2, L)^2]$, there exists a distribution $k \in C([0,T]; \mathcal{M}(-L,L))$ satisfying
\[ k = \partial_x^2 k \quad \text{in } \mathcal{D}'((0,T) \times (-L,L)), \]
\[ k(0,x) = \delta(0), \]
\[ k(T,x) = 0, \]
\[ \|k\|_{L^2((0,T) \times (-L,L))} \leq \gamma e^{\alpha L^2/T}. \] (2.5)

We prove Theorem 2.2 in Section 4. A proof of Lemma 2.3 can be found in [16].

Let us now introduce two different time intervals $(0,T)$ and $(0,L)$ and consider the two systems
\[ |y_t - \Delta y + \nabla p = g_{1,\omega} \quad \text{in } Q_t := \Omega \times (0,T), \]
\[ \text{ div } y = 0 \quad \text{in } Q_t, \]
\[ y = 0 \quad \text{on } \Sigma_t := \partial \Omega \times (0,T), \]
\[ y(0) = y_0 \quad \text{in } \Omega \] (2.6)
and
\[ \begin{aligned}
  u_t - \Delta u + \nabla q &= h1_\omega & \text{in } Q_l := \Omega \times (0, L), \\
  \text{div } u &= 0 & \text{in } Q_l, \\
  u &= 0 & \text{on } \Sigma_l := \partial\Omega \times (0, L), \\
  u(0) &= y_0, \quad u'(0) &= 0 & \text{in } \Omega
\end{aligned} \tag{2.7} \]

in $\Omega \times (0, T)$ and $\Omega \times (0, L)$, respectively.

Taking $L > T_0$, where $T_0$ is the minimal time given in Theorem 2.2, it follows from Theorem 2.2 that system (2.7) is null controllable, with a control $h \in L^2(\omega \times (0, L))$ satisfying (2.4).

Next, we extend $k$ by zero outside $[0, T] \times (-L, L)$, $u$ and $h$ by reflection to $[-L, 0]$ and by zero outside $[-L, L]$ and set
\[ y(t) = \int k(t, s)u(s)ds \tag{2.8} \]

and
\[ g(t) = \int k(t, s)h(s)ds. \tag{2.9} \]

From (2.5), we see that $y(0) = y_0$ and $y(T) = 0$

and from (2.4) and (2.5), we have that
\[ \iint_{\omega \times (0, T)} |g|^2dxdt \leq C\gamma e^{\alpha L^2/T} ||y_0||_V^2. \]

We finish the proof showing that the pair $(y, g)$ solves, together with some $p$, the Stokes system (2.6).

First, it is not difficult to see that $\text{div } y = 0$ in $Q_l$ and $y = 0$ on $\Sigma_l$.

Now, let $\varphi \in V$, we have
\[ <y(t), \varphi>_H = <\int k(t, s)u(s)ds, \varphi>_H, \]

which implies
\[ <y_l(t), \varphi>_H = <\int k_l(t, s)u(s)ds, \varphi>_H. \]

Using the properties of $k$, we see that
\[ <y_l(t), \varphi>_H = <\int k_{ss}(t, s)u(s)ds, \varphi>_H. \]

Integrating by parts, and using the fact that $u(-L) = u(L) = u'(-L) = u'(L) = 0$, we obtain
\[ <y_l(t), \varphi>_H = <\int k(t, s)u_{ss}(s)ds, \varphi>_H ds, \]

i.e.,
\[ <y_l(t), \varphi>_H = \int k(t, s) < u_{ss}(s), \varphi>_H ds. \]
Since $u$ is, together with some $q$, solution of (2.7), we have
\[ <y(t), \varphi>_H = \int k(t, s) <\Delta u(s) + h_1 \omega, \varphi>_H ds. \]
Therefore,
\[ <y(t), \varphi>_H = \int k(t, s) \Delta u(s) ds, \varphi>_H + \int k(t, s) h_1 \omega ds, \varphi>_H. \]
This last identity gives
\[ <y(t) - \Delta y(t), \varphi>_H = <g(t) 1 \omega, \varphi>_H, \tag{2.10} \]
and the proof is finished. □

3. The Stokes system with less regular data

In this section we improve the result obtained in Section 2. Indeed, we prove that we can take less regular initial data and still have null controllability with a cost of order $e^{C/T}$. In order to show the result, we combine Theorem 2.1, energy inequalities and the smoothing effect for the Stokes system.

The result is as follows.

**Theorem 3.1.** Assume $\omega$ satisfies (2.2), $y_0 \in H$, $T > 0$. Then, there exists a control $g \in L^2(\omega \times (0, T))$ such that the solution $y$ of (1.5) satisfies
\[ y(T) = 0. \]
Moreover, there exist positive constants $C_1$ and $C_2$ such that
\[ \int_{\omega \times (0, T)} |g|^2 dx dt \leq C_1 e^{C_2/T} |y_0|_H^2. \tag{3.1} \]

**Proof.** We begin choosing $\epsilon > 0$ small enough and letting system (2.6) evolve freely in the interval $(0, \epsilon)$. From the smoothing effect of the Stokes system, we have that $y(\epsilon) = y_\epsilon$ belongs to $V$. We also have, thanks to Theorem 2.1, that there exists $g \in L^2(\omega \times (0, T - \epsilon))$ such that the associated solution $y$ to the problem
\[ \begin{align*}
  y_t - \Delta y + \nabla p &= g \chi_\omega \quad \text{in } (0, T - \epsilon) \times \Omega, \\
  \text{div } y &= 0 \quad \text{in } (0, T - \epsilon) \times \Omega, \\
  y &= 0 \quad \text{on } (0, T - \epsilon) \times \partial \Omega, \\
  y(0) &= y_\epsilon \quad \text{in } \Omega,
\end{align*} \tag{3.2} \]
satisfies
\[ y(T - \epsilon) = 0. \]
Moreover,
\[ \int_0^{T-\epsilon} \int_\omega |g|^2 dx dt \leq C_\gamma e^{C_2/T} ||y_\epsilon||^2. \tag{3.3} \]

Let us now define functions $\overline{y}$ and $\overline{g}$ by $\overline{y}(t + \epsilon) = y(t)$, $\overline{g}(t + \epsilon) = g(t)$ for $0 < t < T - \epsilon$. The functions $\overline{y}$ and $\overline{g}$ are defined in $(\epsilon, T)$ and satisfy

\[ <\overline{y}_t(t), \varphi>_H = <\overline{g}(t) 1 \omega, \varphi>_H. \]
\[
\begin{aligned}
\frac{\partial y}{\partial t} - \nabla \cdot \mathbf{v} + \nabla p &= 0 \\
\nabla \cdot \mathbf{v} &= 0 \\
y &= 0 \\
y(0) &= y_0
\end{aligned}
\text{in } (t, T) \times \Omega,
\text{in } (t, T) \times \Omega,
\text{on } (t, T) \times \partial \Omega,
\text{in } \Omega.
\]

Inequality (3.3) then becomes
\[
\int_0^T \int_\Omega |y|^2 \, dx \, dt \leq C \gamma e^{\alpha L^2/T} ||y_\epsilon||_V^2.
\] (3.5)

Next, we set
\[
g(t) = \begin{cases} 
0 & \text{if } 0 < t < \epsilon, \\
g(t) & \text{if } \epsilon \leq t < T.
\end{cases}
\]

It is not difficult to see that the solution \(y\) of (2.6), with \(g\) as a control, satisfies \(y(T) = 0\). From (3.5), and the definition of \(g\), we have the following estimate, which we call “pseudo-cost” of the controllability
\[
\int_0^T \int_\Omega |g|^2 \, dx \, dt \leq C \gamma e^{\alpha L^2/T} ||y_\epsilon||_V^2.
\] (3.6)

Let us now consider system (2.6) in the interval \([0, \epsilon]\), i.e., we consider the system
\[
\begin{aligned}
\frac{\partial y}{\partial t} - \nabla \cdot \mathbf{v} + \nabla p &= 0 \text{ in } (0, \epsilon) \times \Omega, \\
\nabla \cdot \mathbf{v} &= 0 \text{ in } (0, \epsilon) \times \Omega, \\
y &= 0 \text{ on } (0, \epsilon) \times \partial \Omega, \\
y(0) &= y_0 \text{ in } \Omega,
\end{aligned}
\] (3.7)

with \(y_0 \in H\).

We make the change of variable \(z(t) = e^{-\frac{t}{\epsilon}} y(t)\). This new function \(z\) solves
\[
\begin{aligned}
\frac{\partial z}{\partial t} - \nabla \cdot \mathbf{v} + \nabla p &= \frac{1}{\epsilon^2} e^{-\frac{t}{\epsilon}} y \text{ in } (0, \epsilon) \times \Omega, \\
\nabla \cdot \mathbf{v} &= 0 \text{ in } (0, \epsilon) \times \Omega, \\
z &= 0 \text{ on } (0, \epsilon) \times \partial \Omega, \\
z(0) &= y_0 \text{ in } \Omega.
\end{aligned}
\] (3.8)

Using the fact that \(\frac{1}{\epsilon^2} e^{-\frac{t}{\epsilon}} y \in L^2(0, \epsilon; H)\), and the regularity of the Stokes system, we conclude that \(z \in L^2(0, \epsilon; H^2(\Omega))\) and \(z_t \in L^2(0, \epsilon; H)\).

Multiplying (3.8) by \(z_t\) and integrating by parts, we get
\[
2|z_t(t)|_H^2 + \frac{d}{dt} ||z(t)||_V^2 = 2\left(\frac{1}{\epsilon^2} e^{-\frac{t}{\epsilon}} y(t), z_t\right)_H.
\] (3.9)

Integrating (3.9) from 0 to \(\epsilon\) and using Young’s inequality, we obtain
\[
2 \int_0^\epsilon \left| z_t(t) \right|_H^2 \, dt + ||z(\epsilon)||_V^2 \leq C_\delta \int_0^\epsilon \left| \frac{1}{\epsilon^2} e^{-\frac{t}{\epsilon}} y(t) \right|_H^2 \, dt + \delta \int_0^\epsilon \left| z_t \right|_H^2 \, dt,
\]
for all \(\delta > 0\).
Taking $\delta$ small enough, we have

$$||z(\epsilon)||_V^2 \leq C \int_0^\epsilon \frac{1}{t^2} e^{-\frac{1}{t}} |y(t)|_H^2 dt$$

(3.10)

and, since, for $\epsilon$ sufficiently small, $\frac{1}{t^2} e^{-\frac{2}{t}} \leq e^{\frac{1}{2}}$, it follows that

$$||z(\epsilon)||_V^2 \leq e^{\frac{1}{2}} \int_0^\epsilon |y(t)|_H^2 dt.$$  

Finally, using the fact that $||y||_{L^2(0,\epsilon;H)} \leq \epsilon |y_0|_H^2$, we get from (3.10) that

$$||z(\epsilon)||_V^2 \leq e^{\frac{1}{2}} \epsilon |y_0|_H^2,$$

and, in particular, using the fact that $z(t) = e^{-\frac{1}{t}} y(t)$, we conclude that

$$||y(\epsilon)||_V^2 \leq e^{\frac{1}{2}} \epsilon |y_0|_H^2.$$  

(3.11)

From (3.6) and (3.11), the result follows. $\square$

Remark 3.2. Since $y_\epsilon \longrightarrow y_0$ in $H$, the norm of $y_\epsilon$ is not bounded in $V$. Hence, the right-hand side of (3.6) is unbounded when $\epsilon \longrightarrow 0$.

4. Null controllability for the hyperbolic system

This section is devoted to prove Theorem 2.2 used in the proof of Theorem 2.1. In order to prove the result, it is convenient to write system (1.9) in an abstract way. For that, we introduce the Stokes operator $A : H^2(\Omega)^N \cap V \longrightarrow H$ given by

$$Au := P(\Delta u),$$

(4.1)

where $P : L^2(\Omega)^N \longrightarrow H$ is the orthogonal projection onto $H$ and $\Delta : H^2(\Omega)^N \cap H_0^1(\Omega)^N \longrightarrow L^2(\Omega)^N$ is the Laplace operator with Dirichlet boundary conditions. Thus, system (1.9) is equivalent to

$$\begin{cases}
\frac{d^2}{dt^2} u + Au + h, \\
u(0) = u_0, u'(0) = u_1.
\end{cases}$$

(4.2)

The following theorem holds.

Theorem 4.1. Let $(u_0^0, u_1^1, h) \in V \times H \times L^2(0, T; H)$. Then, there exists a unique (weak) solution $u$ of the problem (4.2) such that

$$u \in C([0, T]; V) \cap C^1([0, T]; H)$$

and $u$ satisfies:

$$\frac{1}{2} |u'(t)|_H^2 + \frac{1}{2} |u(t)|_V^2 = \frac{1}{2} |u_1^1|_H^2 + \frac{1}{2} |u_0^0|_V^2 + \int_0^t (h(s), u'(s))_H ds, \quad \forall t \in [0, T].$$

Moreover, the linear mapping

$$V \times H \times L^2(0, T; H) \longrightarrow C([0, T]; V) \cap C^1([0, T]; H)$$

$$(u_0^0, u_1^1, f) \mapsto u$$

is continuous.
The proof of Theorem 4.1 is standard, and it will not be reproduced here.

Remark 4.2. Arguing as in chapter 2 of [23], it is possible to show the existence of a function \( p \in H^{-1}(0,T; L_0^2(\Omega)) \) such that (1.9) is satisfied in \( D'(Q) \). Moreover, there exists \( C > 0 \) such that

\[
||p||^2_{H^{-1}(0,T; L_0^2(\Omega))} \leq C(||u^1||^2_H + ||u^0||^2_V + ||h_0||^2_{L_2(0,T,H)}).
\]

By a classical duality argument (see, for instance, [10] or [18]), it is not difficult to see that proving Theorem 2.2 is equivalent to show the existence of a positive constant \( C \) such that

\[
||p||^2_{H^{-1}(0,T; L_0^2(\Omega))} \leq C(||u^1||^2_H + ||u^0||^2_V + ||h_0||^2_{L_2(0,T,H)}).
\]

Remark 4.3. Since the Stokes operator \( A \) is an isomorphism from \( V \) to \( V' \), given \((\phi^0, \phi^1) \in H \times V'\), we define the solution \( \phi \) of (4.4) as

\[
\phi = \psi',
\]

where \( \psi \) is the unique solution of

\[
\begin{align*}
\psi'' &= A\psi, \\
\psi(0) &= A^{-1}\phi^1, \\
\psi'(0) &= \phi^0.
\end{align*}
\]

Following the arguments of [20], we can show that for regular initial data the abstract problem (4.4) is equivalent to

\[
\begin{align*}
\phi'' - \Delta \phi + \nabla p &= 0 & \text{in } Q, \\
\text{div } \phi &= 0 & \text{in } Q, \\
\phi &= 0 & \text{on } \Sigma, \\
\phi(0) &= \phi^0, \psi'(0) &= \phi^1 & \text{in } \Omega.
\end{align*}
\]

Let us now concentrate on proving (4.3). The proof relies on some results that we prove below.

Lemma 4.4. If, for every \((\phi^0, \phi^1) \in V \times H\), the solution \( \phi \) of (4.4) satisfies

\[
||\phi^0||_V^2 + ||\phi^1||_H^2 \leq C \int_{\omega \times (0,T)} |\phi'|^2 dx dt,
\]

for some constant \( C > 0 \), then inequality (4.3) holds for all solutions of (4.4) with initial data \((\phi^0, \phi^1) \) in \( H \times V' \).

Proof of Lemma 4.4. Given \((\phi^0, \phi^1) \in H \times V'\), we consider \( \psi \) solution of (4.5), i.e.

\[
\begin{align*}
\psi'' &= A\psi, \\
\psi(0) &= A^{-1}\phi^1, \psi'(0) &= \phi^0.
\end{align*}
\]
Next, using the fact that $\phi = \psi'$, and inequality (4.7), we see that
\[
||A^{-1}\phi'||_{V}^{2} + ||\phi'||_{H}^{2} \leq C \int_{\omega \times (0,T)} ||\phi||^2 dxdt. \tag{4.9}
\]
From (4.9) and the fact that $A : V \rightarrow V'$ is an isomorphism, we finish the proof. \hfill \square

**Lemma 4.5.** Let $m \in C^1(\Omega)^N$. Then, for all regular solutions of (4.4), the following identity holds
\[
(\nabla p, m \cdot \nabla \phi)_{L^2(\Omega)^N} = -(\nabla p, \phi \cdot \nabla m)_{L^2(\Omega)^N} + (\nabla p, \phi(div m))_{L^2(\Omega)^N}. \tag{4.10}
\]
**Proof.** Let us set
\[
X = -\int_{Q} \frac{\partial p}{\partial x_i} m_k \frac{\partial \phi^i}{\partial x_k} dxdt.
\]
Integrating by parts with respect to $x_k$, and using the fact that $\phi = 0$ on $\Sigma$, we get
\[
X = \int_{Q} \frac{\partial}{\partial x_k} \left( \frac{\partial p}{\partial x_i} m_k \phi^i \right) dxdt = \int_{Q} \frac{\partial^2 p}{\partial x_k \partial x_i} m_k \phi^i dxdt + \int_{Q} \frac{\partial p}{\partial x_i} \frac{\partial m_k}{\partial x_k} \phi^i dxdt.
\]
Next, we integrate by parts again the first integral, this time with respect to $x_i$, we obtain
\[
\int_{Q} \frac{\partial p}{\partial x_k} \frac{\partial}{\partial x_i} \left( m_k \phi^i \right) dxdt = -\int_{Q} \nabla p \phi \cdot \nabla mdxdt.
\]
Hence, we conclude that
\[
X = -\int_{Q} \nabla p \phi \cdot \nabla mdxdt + \int_{Q} \nabla p(\phi(div m))dxdt,
\]
and the proof is finished. \hfill \square

**Lemma 4.6.** Assume $\omega$ satisfies (2.2) and let $T > 2R(x_0)$. Then, there exists $C > 0$ such that, for every $(\phi^0, \phi^1) \in V \times H$, the weak solution $\phi$ of (4.4) satisfies
\[
||\phi^0||_{V}^{2} + ||\phi^1||_{H}^{2} \leq C \int_{\omega \times (0,T)} (||\phi'||^{2} + ||\phi||^{2}) dxdt. \tag{4.11}
\]
**Proof.** Along the proof we use the following notation:
\[
E(t) = ||\phi(t)||_{V}^{2} + ||\phi(t)||_{H}^{2}, \quad \forall t \in [0,T].
\]
Without loss of generality, we assume that $\phi$ is regular and work with the equivalent problem (4.6), this is the case if we take, for instance, $\phi^0 \in V \cap H^4(\Omega)$ and $\phi^1 \in V \cap H^2(\Omega)$.

Using the change of variables $T\tau = (T - 2\epsilon)t + T\epsilon$, which implies $\epsilon \leq \tau \leq T - \epsilon$, the boundary observability inequality given in Theorem A.1 in the appendix, we have
\[
E(0) \leq C \int_{\epsilon}^{T-\epsilon} \int_{\partial \Omega_0} \left( \frac{\partial \phi}{\partial \nu} \right)^2 d\Sigma.
\]
Next, we consider a vector field $h \in C^2(\Omega)^N$ such that $h \cdot \nu \geq 0$ for all $x \in \partial \Omega$, $h = \nu$ on $\partial \Omega_0$ and $h = 0$ on $\Omega \setminus \omega$ and let $\eta \in C^2([0,T])$ such that $\eta(0) = \eta(T) = 0$ and $\eta(t) = 1$ in $(\epsilon, T - \epsilon)$. We define $\theta(x,t) = \eta(t)h(x)$, which belongs to $W^{2,\infty}(Q)$ and satisfies
\[
\begin{align*}
\theta(x,t) &= \nu(x) \quad \text{for all } (x,t) \in (\epsilon, T-\epsilon) \times \partial \Omega_0, \\
\theta(x,t) \cdot \nu(x) &\geq 0, \quad \text{for all } (x,t) \in (0,T) \times \partial \Omega, \\
\theta(x,0) &= \theta(x,T) = 0, \quad \text{for all } x \in \Omega, \\
\theta(x,t) &= 0 \quad \text{in } (\Omega \setminus \omega) \times (0,T).
\end{align*}
\]

Then, we consider the multiplier \( \theta \cdot \nabla \phi \) and, from Lemma A.2 in the appendix, we obtain the following identity for all weak solution \( \phi \) of (4.4):
\[
\frac{1}{2} \iint_{\Sigma} \theta_k(x,t) \nu_k(x) \left( \frac{\partial \phi}{\partial \nu} \right)^2 \, d\Sigma = (\phi'(\cdot), \theta(x, \cdot)) \cdot \nabla \phi(\cdot))_0^T + \iint_Q \frac{\partial \theta_k}{\partial x_j} \frac{\partial \phi^i}{\partial x_k} \, dxdt + \frac{1}{2} \iint_Q \frac{\partial \theta_k}{\partial x_k} (|\phi'|^2 - |\nabla \phi|^2) \, dxdt + \iint_Q \frac{\partial p}{\partial x_i} \theta_k \frac{\partial \phi^i}{\partial x_k} \, dxdt.
\] (4.12)

To \( \theta \) as above, we have
\[
\frac{1}{2} \int_{\epsilon}^{T-\epsilon} \iint_{\partial \Omega_0} \left( \frac{\partial \phi}{\partial \nu} \right)^2 \, d\Sigma \leq \frac{1}{2} \iint_{\Sigma} \theta_k(x,t) \nu_k(x) \left( \frac{\partial \phi}{\partial \nu} \right)^2 \, d\Sigma,
\]
because \( \theta(x,t) = \nu(x) \) on \( \partial \Omega_0 \times (\epsilon, T-\epsilon) \) and
\[
(\phi'(\cdot), \theta(x, \cdot) \cdot \nabla \phi(\cdot))_0^T = 0.
\]

We also have
\[
\left| \iint_Q \frac{\partial \theta_k}{\partial x_j} \frac{\partial \phi^i}{\partial x_k} \, dxdt \right| \leq C \iint_{\omega \times (0,T)} |\nabla \phi|^2 \, dxdt,
\]
since \( \theta \in C^1(\Omega \times (0,T)) \).

For the pressure, we use Lemma 4.5 to see that
\[
\iint_Q \frac{\partial p}{\partial x_i} \theta_k \frac{\partial \phi^i}{\partial x_k} \, dxdt = -\iint_Q \nabla p \cdot \nabla \theta \, dxdt + \iint_Q \nabla p \cdot (\text{div } \theta) \, dxdt = \langle \nabla p, -\phi \cdot \nabla \theta + \phi(\text{div } \theta) \rangle_{H^{-1}(Q)^N, H^1_0(Q)^N}.
\]

Consequently
\[
\left| \iint_Q \frac{\partial p}{\partial x_i} \theta_k \frac{\partial \phi^i}{\partial x_k} \, dxdt \right| \leq \delta \|\nabla p\|_{H^{-1}(Q)^N}^2 + C_\delta \iint_{\omega \times (0,T)} (|\phi|^2 + |\phi'|^2 + |\nabla \phi|^2) \, dxdt,
\] (4.13)
for any \( \delta > 0 \). Thus,
\[
\frac{1}{2} \iint_{\Sigma} \theta_k(x,t) \nu_k(x) \left( \frac{\partial \phi}{\partial \nu} \right)^2 \, d\Sigma \leq C \iint_{\omega \times (0,T)} (|\phi|^2 + |\phi'|^2 + |\nabla \phi|^2) \, dxdt + \delta \|\nabla p\|_{H^{-1}(Q)^N}^2.
\]

Using the fact that
\[
\|\nabla p\|_{H^{-1}(Q)^N}^2 \leq CE(0),
\]
and choosing \( \delta \) small enough, we conclude that
\[
E(0) \leq \hat{C} \int_{\epsilon}^{T-\epsilon} \iint_{\partial \Omega} \left( \frac{\partial \phi}{\partial \nu} \right)^2 \, d\Sigma \leq C \iint_{\omega \times (0,T)} (|\phi|^2 + |\phi'|^2 + |\nabla \phi|^2) \, dxdt.
\] (4.14)
Hence, by change of variables, we have that
\[
E(0) \leq C \int_{\epsilon}^{T-\epsilon} \int_{\omega} (|\phi|^2 + |\phi'|^2 + |\nabla \phi|^2) \, dx \, dt.
\] (4.15)

Now, let \( \omega_0 \) be a neighborhood of \( \partial \Omega_0 \) such that \( \Omega \cap \omega_0 \subset \omega \). We observe that inequality (4.15) is true for each neighborhood of \( \partial \Omega_0 \), and in particular for \( \omega_0 \), that is to say
\[
E(0) \leq C \int_{\epsilon}^{T-\epsilon} \int_{\omega_0} (|\phi|^2 + |\phi'|^2 + |\nabla \phi|^2) \, dx \, dt.
\]

Now, we consider \( \rho \in W^{1,\infty}(\Omega) \), \( \rho \geq 0 \), such that
\[
\rho = 1 \quad \text{in} \quad \omega_0, \quad \text{and} \quad \rho = 0 \quad \text{in} \quad \Omega \setminus \omega.
\]

Defining \( h = h(x,t) \) by \( h(x,t) = \eta(t) \rho^2(x) \), where \( \eta \) is defined above, it follows that
\[
\begin{align*}
|h(x,t)| &= 1 \quad \text{for all} \quad (x,t) \in \omega_0 \times (\epsilon, T - \epsilon), \\
|h(x,t)| &= 0 \quad \text{for all} \quad (x,t) \in (\Omega \setminus \omega) \times (0,T), \\
h(x,0) &= h(x,T) = 0, \quad \text{for all} \quad x \in \Omega, \\
\frac{|\nabla h|}{h} &\in L^\infty(\Omega).
\end{align*}
\]

Multiplying both sides of (4.6)1 by \( h \phi \) and integrate by parts in \( Q \), we obtain
\[
\int_Q (\int_Q h \phi \cdot \phi'' \, dx \, dt) - \int_Q (\int_Q h \phi \cdot \Delta \phi \, dx \, dt) + \int_Q \int_Q h \nabla p \cdot \phi \, dx \, dt = 0.
\]

We have
\[
\int_Q (\int_Q h \phi'' \phi \, dx \, dt) = -\int_Q (\int_Q h |\phi'|^2 \, dx \, dt) - \int_Q h' \phi \phi' \, dx \, dt. \quad (4.16)
\]

For the second term in the right hand side of (4.16), since \( \phi = 0 \) on \( \Sigma \), we have
\[
-\int_Q h \Delta \phi \cdot \phi \, dx \, dt = \int_Q (\nabla \phi \nabla (h \phi)) \, dx \, dt = \int_Q h |\nabla \phi|^2 \, dx \, dt + \int_Q \phi \cdot (\nabla \phi \cdot \nabla h) \, dx \, dt.
\]

Consequently,
\[
\int_Q h |\nabla \phi|^2 \, dx \, dt = \int_Q h |\phi'|^2 \, dx \, dt + \int_Q h' \phi \phi' \, dx \, dt - \int_Q \phi \cdot (\nabla \phi \cdot \nabla h) \, dx \, dt - \int_Q h \nabla p \cdot \phi \, dx \, dt.
\]

It is immediate that
\[
\left| \int_Q \phi \cdot (\nabla \phi \cdot \nabla h) \, dx \, dt \right| \leq \frac{1}{2} \int_Q h |\nabla \phi|^2 \, dx \, dt + \frac{1}{2} \int_Q \frac{|\nabla h|^2}{h} |\phi|^2 \, dx \, dt.
\]

Hence
\[
\int_Q h |\nabla \phi|^2 \, dx \, dt \leq C \int_{\omega \times (0,T)} (|\phi'|^2 + |\phi|^2) \, dx \, dt + 2 \int_Q h \nabla p \cdot \phi \, dx \, dt.
\]

Next, observing that
\[
\int_Q h \nabla p \cdot \phi \, dx \, dt = \langle p, \phi \cdot \nabla h \rangle_{H^{-1}(0,T;L^2(\Omega)^N),H^1_{0}(0,T;L^2(\Omega)^N)} \leq \delta |p|_{H^{-1}(0,T;L^2(\Omega)^N)}^2 + C_\delta |h \phi|_{H^1_{0}(0,T;L^2(\Omega)^N)}^2,
\]

\[
\int_Q h |\nabla \phi|^2 \, dx \, dt \leq C \int_{\omega \times (0,T)} (|\phi'|^2 + |\phi|^2) \, dx \, dt + 2 \int_Q h \nabla p \cdot \phi \, dx \, dt.
\]
for any $\delta > 0$, we conclude that
\[
\int_{\omega_0}^{T-t} |\nabla \phi|^2 \, dx \, dt \leq C \int_{\omega \times (0,T)} (|\phi'|^2 + |\phi|^2) \, dx \, dt + \delta ||p||_{H^{-1}(0,T；L^2(\Omega))^N}^2.
\]
From this last estimate we infer that
\[
E(0) \leq C \int_{\omega \times (0,T)} (|\phi'|^2 + |\phi|^2) \, dx \, dt + \delta ||p||_{H^{-1}(0,T；L^2(\Omega))^N}^2.
\]
Finally, taking $\delta$ small enough, we obtain
\[
E(0) \leq C \int_{\omega \times (0,T)} (|\phi'|^2 + |\phi|^2) \, dx \, dt,
\]
which is exactly (4.11).

\[\square\]

**Proposition 4.7.** Assume $\omega$ satisfies (2.2). There exist $T_0 = T_0(x_0) > 0$ and a constant $C > 0$ such that for any $T > T_0$ and any $(\phi^0, \phi^1) \in V \times H$, the solution $\phi$ of (4.4) satisfies (4.7).

**Proof of Proposition 4.7.** Let us suppose that (4.7) is not true. Then, given a natural number $n$, there exists an initial data $(\tilde{\phi}^0_n, \tilde{\phi}^1_n)$ such that $\tilde{\phi}_n$, the solution of (4.4) corresponding to this initial data, satisfies
\[
||\tilde{\phi}^0_n||_V^2 + ||\tilde{\phi}^1_n||_H^2 \geq n ||\tilde{\phi}_n||_{L^2(\omega \times (0,T))}.
\]
Without loss of generality, we assume that $(\tilde{\phi}^0_n, \tilde{\phi}^1_n)$ is smooth and set
\[
K = \left(\frac{1}{n} \left( ||\tilde{\phi}^0_n||_V^2 + ||\tilde{\phi}^1_n||_H^2 \right)\right)^{1/2}
\]
and
\[
\phi^0_n = \frac{\tilde{\phi}^0_n}{K}, \quad \phi^1_n = \frac{\tilde{\phi}^1_n}{K}, \quad \phi_n = \frac{\tilde{\phi}_n}{K}.
\]
We have
\[
||\phi_n'||_{L^2(\omega \times (0,T))}^2 \leq \frac{1}{n} \quad (4.18)
\]
and
\[
||\phi_n^0||_V^2 + ||\phi_n^1||_H^2 = 1. \quad (4.19)
\]
From (4.18), there exist subsequences, denoted by the same index, such that
\[
\lim_{n \to \infty} \int_{\omega \times (0,T)} |\phi_n'||^2 \, dx \, dt = 0, \quad (4.20)
\]
and
\[
\phi^0_n \rightharpoonup \phi^0 \text{ in } V, \quad (4.21)
\]
and
\[
\phi^1_n \rightharpoonup \phi^1 \text{ in } H. \quad (4.22)
\]
Since $\phi_N$ is the solution of (4.4) associated to the initial data $(\phi^0_N, \phi^1_N)$, we have:
\[
\phi_n \text{ is bounded in } L^\infty(0,T;V),
\]
\[
\phi_n' \text{ is bounded in } L^\infty(0,T;H). \quad (4.23)
\]
Then, there exists a subsequence $\phi_N$ such that
\begin{align}
\phi_n &\rightarrow \phi \text{ weak star in } L^\infty(0,T;V), \\
\phi'_n &\rightarrow \phi' \text{ weak star in } L^\infty(0,T;H). 
\end{align}
(4.24)

From (4.24), it is not difficult to show that $\phi$ is the weak solution of (4.4) corresponding to the initial data $(\phi^0, \phi^1)$.

Next, since $V \hookrightarrow H$ compactly, estimate (4.24) and the Aubin-Lions compactness theorem gives
\begin{align}
\phi_n &\rightarrow \phi \text{ in } L^2(0,T;H). 
\end{align}
(4.25)

Hence, it follows from (4.20) and 4.24 that
\begin{align}
\phi' &\equiv 0 \text{ in } \omega \times (0,T) 
\end{align}
(4.26)
and $\phi$ is independent of $t$ in $\omega$.

Let us now consider the system
\begin{align}
|\xi'' = A\xi, \\
\xi(0) = \phi^1, \xi'(0) = A\phi^0. 
\end{align}
(4.27)

Taking $\psi(x,t) = \phi^0(x) + \int_0^t \xi(x,s) ds$, it is not difficult to see that $\psi$ solves (4.4), with $(\phi^0, \phi^1)$ as initial data. Therefore, from the uniqueness of solutions to (4.4), we have that $\psi \equiv \phi$ and thanks to (4.26) we have that $\xi \equiv 0$ in $\omega \times (0,T)$.

Let us now show that $\xi \equiv 0$. Applying the curl operator in (4.27), we see that $v = \text{curl } \xi$ satisfies
\begin{align}
|v'' - \Delta v = 0 \text{ in } Q, \\
v \equiv 0 \text{ in } \omega \times (0,T). 
\end{align}
(4.28)

Then, by Holmgren’s Uniqueness Theorem (see [14]), there exists $T_0 = T_0(x_0) > 0$ such that if $T > T_0$ then $v \equiv 0$. Therefore, there exists a scalar function $\Phi = \Phi(x,t)$ such that
\begin{align}
\xi = \nabla \Phi \text{ in } Q. 
\end{align}

In view of (4.27)$_2$, we have
\begin{align}
\Delta \Phi = 0 \text{ in } Q. 
\end{align}

Since $\xi = 0$ in $\omega \times (0,T)$, we also have
\begin{align}
\Phi = f(t) \text{ in } \omega \times (0,T). 
\end{align}

From the unique continuation for the Laplace equation, we deduce that
\begin{align}
\Phi = f(t) \text{ in } Q, 
\end{align}
which implies
\begin{align}
\xi = \nabla \Phi = 0 \text{ in } Q. 
\end{align}
(4.29)

Hence,
\begin{align}
\phi^1 = \phi^0 = 0. 
\end{align}
(4.30)

From (4.11), (4.25) and (4.30), we get a contradiction, and the proof is finished. □

As a consequence of Lemmas 4.4 and 4.6, and Proposition 4.7, we have the following result.
Theorem 4.8. Assume $\omega$ satisfies (2.2). There exist $T_0 = T_0(x_0) > 0$ and a constant $C > 0$ such that for any $T > T_0$ and any $(\phi^0, \phi^1) \in H \times V'$, the solution $\phi$ of (4.4) satisfies (4.3).

We end this section proving Theorem 2.2.

Proof of Theorem 2.2. We consider the functional

$$J : H \times V' \longrightarrow \mathbb{R}$$

given by

$$J(\phi^0, \phi^1) = \frac{1}{2} \int_{\omega \times (0,T)} |\phi|^2 dx dt + \langle \phi^1, u^0 \rangle_{V',V} - (\phi^0, u^1)_H,$$

where $\phi$ is the solution of (4.4) corresponding to the initial data $(\phi^0, \phi^1)$.

Using the observability inequality (4.3) and energy estimates, we can show that the functional $J$ is continuous, strictly convex and coercive. Therefore, the $J$ has a unique minimizer $(\hat{\phi}^0, \hat{\phi}^1)$.

Using the Euler-Lagrange equation of $J$, we conclude that $\hat{\phi}$, solution of (4.4) associated to $(\hat{\phi}^0, \hat{\phi}^1)$, is a control which drives $u$ to zero in time $T$. Inequality (2.4) then follows from the observability inequality (4.3) and the fact that $J(\hat{\phi}^0, \hat{\phi}^1) \leq 0$. This finishes the proof of Theorem 2.2.

\[\square\]

Remark 4.9. The minimal time $T_0 = T_0(x_0)$ in Proposition 4.7 and Theorems 2.2 and 4.8 must satisfy $T_0 > 2R(x_0)$ and so that Holgrem’s Theorem can be applied to conclude that the solution of (4.28) is zero (see [14]).

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Appendix A. Boundary observability for the hyperbolic system

This section is devoted to prove the following result.

Theorem A.1. If we take $T > 2R(x_0)$ then, for every solution of (4.4) with initial data $(\phi^0, \phi^1) \in V \times H$, the following estimates holds:

$$|\phi^1|_H^2 + ||\phi^0||_V^2 \leq \frac{R(x_0)}{2(T - 2R(x_0))} \int_0^T \int_{\partial\Omega_0} \left( \frac{\partial \phi}{\partial \nu} \right)^2 d\Sigma.$$

(A.1)

For the proof of Theorem A.1, we need the following two lemmas.

Lemma A.2. Let $\bar{q} = \bar{q}(x)$ be in $C^1(\Omega)^N$, then for every regular solution $u$ of (4.2), the following identity holds:
Then, using this last identity and the fact that \(|\nabla u|^2 \leq 2Q\), we obtain

\[
\frac{1}{2} \int_0^T \int_{\Sigma} \nabla_k(x) \nu_k(x) \left(\frac{\partial u}{\partial \nu}\right)^2 \, d\Sigma = \left(u'(t), \nabla u(t) \right)^T + \int_Q \frac{\partial \nabla_k}{\partial x_j} \frac{\partial u^i}{\partial x_j} \, dx dt
\]

\[
+ \frac{1}{2} \int_0^T \int_Q \frac{\partial q_k}{\partial x_k} \left(\frac{\partial u}{\partial \nu}\right)^2 \, dx dt
\]

\[
+ \int_0^T \int_Q h' \frac{\partial u^i}{\partial x_k} \, dx dt.
\]  

(A.2)

The proof of Lemma A.2 is the same as in the case of a single wave equation, the difference is that here we see the pressure as a force term in the right-hand side.

**Lemma A.3.** Let \((u^0, u^1, h) \in V \times H \times L^2(Q)^{N}\), then the weak solution of (4.2) satisfies:

\[
\int_0^T \int_{\Sigma} \left(\frac{\partial u}{\partial \nu}\right)^2 \, d\Sigma \leq C\left(||u^1||_H^2 + ||u^0||_V^2 + ||h||_{L^2(Q)}^2\right).
\]

**Proof.** The proof is performed as the equivalent one for the wave equation, first showing the result for regular solutions. Indeed, in this case we must take the vector field \(\bar{q}\) in Lemma A.2 to be the vector field \(\bar{q}(x) = x\) and use the fact that

\[
\int_0^T \int_Q \frac{\partial q_k}{\partial x_i} \frac{\partial u^i}{\partial x_k} \, dx dt = 0.
\]

\[\square\]

**Proof of Lemma A.1.** Without loss of generality, we assume that \(\phi\) is regular and then work with the equivalent problem (4.6). Using Lemma A.2, with \(\bar{q}\) being the vector field \(m(x) = x - x_0\), we have

\[
\frac{1}{2} \int_\Sigma m \cdot \nu \left(\frac{\partial \phi}{\partial \nu}\right)^2 \, d\Sigma = \left(\phi', m(x)\nabla \phi(\cdot)\right)^T_0 + \int_Q \left|\nabla \phi\right|^2 \, dx dt + \frac{N}{2} \int_0^T \int_Q \left(\left|\phi\right|^2 - \left|\nabla \phi\right|^2\right) \, dx dt.
\]

Next, multiplying (4.6), by \(\phi\) and integrate by parts, we easily see that

\[
\left(\phi', \phi\right)^T_0 = \int_Q \left|\phi\right|^2 \, dx dt - \int_Q \left|\nabla \phi\right|^2 \, dx dt.
\]

Then, using this last identity and the fact that

\[
\left|\phi'(t)\right|_H^2 + \left|\phi(t)\right|_V^2 = \left|\phi^1\right|_H^2 + \left|\phi^0\right|_V^2 \quad \forall t \in [0, T],
\]

we obtain

\[
\left(\phi', m \nabla u(\cdot) + \frac{N-1}{2} u(\cdot)\right)^T + T\left(\left|\phi^1\right|_H^2 + \left|\phi^0\right|_V^2\right) = \frac{1}{2} \int_\Sigma m \cdot \nu \left(\frac{\partial \phi}{\partial \nu}\right)^2 \, d\Sigma.
\]

We also have

\[
\left|m \nabla u(t) + \frac{N-1}{2} u(t)\right|^2 \leq R(x_0)\left|\nabla \phi(t)\right|^2 \quad \forall t \in [0, T],
\]

which implies, by Gronwall inequality, that

\[
\left|\left(\phi', m \nabla \phi(\cdot) + \frac{N-1}{2} \phi(\cdot)\right)^T_0 \right| \leq 2R(x_0)\left(\left|\phi^1\right|_H^2 + \left|\phi^0\right|_V^2\right).
\]
Finally, combining all the above estimates, we conclude that

\[(T - 2R(x_0))((|\phi^1|^2_H + ||\phi^0||^2_V) \leq \frac{R(x_0)}{2} \int_0^T \int_{\partial \Omega_0} \left( \frac{\partial \phi}{\partial \nu} \right)^2 d\Sigma,\]

which is exactly (A.1).  

\[\square\]

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