Determination of $\alpha_S$ beyond NNLO using event shape moments

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Abstract

We consider a method for determining the QCD strong coupling constant using fits of perturbative predictions for event shape moments to data collected at the LEP, PETRA, PEP and TRISTAN colliders. To obtain highest accuracy predictions we use a combination of perturbative $\mathcal{O}($$\alpha_S^3$$)$ calculations and estimations of the $\mathcal{O}($$\alpha_S^4$$)$ perturbative coefficients from data. We account for non-perturbative effects using modern Monte Carlo event generators and analytic hadronization models.
1 Introduction

Measurements using hadronic final states in $e^+e^-$ annihilation have provided detailed experimental tests of Quantum Chromodynamics (QCD), the theory of the strong interaction in the Standard Model. These measurements were based on comparisons of moments and differential distributions of event shapes or jet rates to perturbative predictions. As new data are not foreseen in the near future, progress in such measurements depends wholly on improvements in the theoretical description of these observables.

Fully differential calculations for the production of three partonic jets in $e^+e^-$ annihilation are available to $\mathcal{O}(\alpha_s^3)$ accuracy \cite{1-6}, which corresponds to next-to-next-to-leading order (NNLO) in QCD perturbation theory for this process. Four- and five-jet production \cite{7-12}, as well as the total cross section \cite{13} are also known including terms at $\mathcal{O}(\alpha_s^3)$ \cite{16}, therefore it is possible to make predictions for any infrared-safe observable at this level of accuracy. Although higher-order corrections are presently not known, it is in principle possible to estimate such corrections from data and therefore to obtain “predictions” at $\mathcal{O}(\alpha_s^4)$. This approach is obviously limited to cases of observables for which only a small number of coefficients of the perturbative expansion should be estimated, such as event shape moments. In this paper we present an implementation of this approach with the aim of assessing the impact of these terms on possible future extractions of the strong coupling with exact predictions at $\mathcal{O}(\alpha_s^4)$.

When confronting calculations based on QCD perturbation theory (of any order) with data, it must be kept in mind that although in $e^+e^-$ annihilation strong interactions occur only in the final state, nevertheless, the observed quantities are affected by hadronization and power corrections. These corrections must either be extracted from Monte Carlo predictions or computed using analytic models. Below, we consider both of these approaches for describing non-perturbative effects and perform simultaneous fits of $\alpha_s(M_Z)$ and the $\mathcal{O}(\alpha_s^3)$ perturbative coefficients to event shape moments (together with model parameters for analytic hadronization models) for thrust\cite{16,17} and the $C$-parameter \cite{18,19}.

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1 In the case of four- and five-jet production, $\mathcal{O}(\alpha_s^3)$ accuracy corresponds to next-to-leading order (NLO) and leading order (LO) in perturbative QCD. However, NLO corrections to five-jet production \cite{14} (and up to seven-jet production in the leading color approximation \cite{15}) are also known.

2 More precisely, we consider the quantity $1 - T$, where $T$ is the thrust.
2 Theory predictions

The \( n \)-th moment of an event shape variable \( O \) is defined by

\[
\langle O^n \rangle = \frac{1}{\sigma_{\text{tot}}} \int_{O_{\text{min}}}^{O_{\text{max}}} O^n \frac{d\sigma(O)}{dO} dO,
\]

where \( \sigma_{\text{tot}} \) is the total hadronic cross section and \([O_{\text{min}}, O_{\text{max}}]\) is the kinematically allowed range of the observable \( O \).

The fixed-order prediction for the \( n \)-th moment of \( O \) at a reference renormalization scale \( \mu = \mu_0 \), normalized to the LO cross section \( \sigma_0 \) for \( e^+e^- \rightarrow \) hadrons reads:

\[
\frac{1}{\sigma_0} \int_{O_{\text{min}}}^{O_{\text{max}}} O^n \frac{d\sigma(O)}{dO} dO = \frac{\alpha_s(\mu_0)}{2\pi} A_0^{(O^n)} + \left( \frac{\alpha_s(\mu_0)}{2\pi} \right)^2 B_0^{(O^n)} + \left( \frac{\alpha_s(\mu_0)}{2\pi} \right)^3 C_0^{(O^n)}
\]

\[
+ \left( \frac{\alpha_s(\mu_0)}{2\pi} \right)^4 D_0^{(O^n)} + \mathcal{O}(\alpha_s^5).
\]

Throughout the paper we employ the \( \overline{\text{MS}} \) renormalization scheme and \( \alpha_s \) (without a superscript) always denotes the strong coupling in this scheme. The coefficients \( A_0^{(O^n)}, B_0^{(O^n)} \) and \( C_0^{(O^n)} \) for moments of standard event shapes have been known for some time \[2,4\]. In this paper, we use the CoLoRFulNNLO \[6,20,21\] approach to recompute these coefficients with high numerical precision, see Tab. 1. This allows us to extend the extraction of the strong coupling constant from these observables to \( \text{N}^3\text{LO} \) with a simultaneous extraction of the perturbative coefficients \( D_0^{(O^n)} \) from the data.

However, the experimentally measured event shape moments are normalized to the total

| Coefficient | This work | Analytic | Ref. [2] | Ref. [4] |
|-------------|-----------|----------|----------|----------|
| \( A_0^{(1-T)^2} \) | 2.1023(1) | 2.1035 | 2.10344(3) |
| \( B_0^{(1-T)^1} \) | 44.995(1) | 44.999(2) | 44.999(5) |
| \( C_0^{(1-T)^1} \) | 979.6(6) | 867(21) | 1100(30) |
| \( A_0^{(C_1)} \) | 8.6332(5) | 8.63789 | 8.6379 |
| \( B_0^{(C_1)} \) | 172.834(5) | 172.859 | 172.778(7) |
| \( C_0^{(C_1)} \) | 3525(3) | 3212(89) | 4200(100) |

Table 1: \( \text{LO}, \text{NLO} \) and \( \text{NNLO} \) contributions to the first moments of event shapes. For the details on the analytic calculation see Appendix B.
hadronic cross section, and the perturbative expansion of $\langle O^n \rangle$ is given by

$$\langle O^n \rangle = \frac{\alpha S(\mu_0)}{2\pi} A_0^{(O^n)} + \left( \frac{\alpha S(\mu_0)}{2\pi} \right)^2 B_0^{(O^n)} + \left( \frac{\alpha S(\mu_0)}{2\pi} \right)^3 C_0^{(O^n)} + \left( \frac{\alpha S(\mu_0)}{2\pi} \right)^4 D_0^{(O^n)} + \mathcal{O}(\alpha_S^5).$$

The relations between $\tilde{A}_0^{(O^n)}$, $\tilde{B}_0^{(O^n)}$, $\tilde{C}_0^{(O^n)}$, $\tilde{D}_0^{(O^n)}$ and $A_0^{(O^n)}$, $B_0^{(O^n)}$, $C_0^{(O^n)}$, $D_0^{(O^n)}$ are straightforward to obtain using

$$\frac{\sigma_0}{\sigma_{tot}} = 1 - \frac{\alpha S}{2\pi} A_{tot} + \left( \frac{\alpha S}{2\pi} \right)^2 (A_{tot}^2 - B_{tot}) - \left( \frac{\alpha S}{2\pi} \right)^3 \left( A_{tot}^3 - 2A_{tot} B_{tot} + C_{tot} \right) + \mathcal{O}(\alpha_S^4),$$

and we find

$$\tilde{A}_0^{(O^n)} = A_0^{(O^n)},$$
$$\tilde{B}_0^{(O^n)} = B_0^{(O^n)} - A_{tot} A_0^{(O^n)},$$
$$\tilde{C}_0^{(O^n)} = C_0^{(O^n)} - A_{tot} B_0^{(O^n)} + (A_{tot}^2 - B_{tot}) A_0^{(O^n)},$$
$$\tilde{D}_0^{(O^n)} = D_0^{(O^n)} - A_{tot} C_0^{(O^n)} + (A_{tot}^2 - B_{tot}) B_0^{(O^n)} - (A_{tot}^3 - 2A_{tot} B_{tot} + C_{tot}) A_0^{(O^n)}.$$  

The coefficients $A_{tot}$, $B_{tot}$ and $C_{tot}$ are listed in Appendix A.

Finally, to perform a simultaneous fit of multiple data points at different center-of-mass energies, we use the four-loop running of $\alpha_S(\mu)$ and the corresponding dependence of the perturbative coefficients $\tilde{A}^{(O^n)}$, $\tilde{B}^{(O^n)}$, $\tilde{C}^{(O^n)}$ and $\tilde{D}^{(O^n)}$ on scale, i.e.

$$\tilde{A}^{(O^n)} = \tilde{A}_0^{(O^n)},$$
$$\tilde{B}^{(O^n)} = \tilde{B}_0^{(O^n)} + \frac{1}{2} \tilde{A}_0^{(O^n)} \beta_0 L,$$
$$\tilde{C}^{(O^n)} = \tilde{C}_0^{(O^n)} + \tilde{B}_0^{(O^n)} \beta_0 L + \frac{1}{4} \tilde{A}_0^{(O^n)} (\beta_1 + \beta_0^2 L) L,$$
$$\tilde{D}^{(O^n)} = \tilde{D}_0^{(O^n)} + \frac{3}{2} \tilde{C}_0^{(O^n)} \beta_0 L + \frac{1}{2} \tilde{B}_0^{(O^n)} \left( \beta_1 + \frac{3}{2} \beta_0^2 L \right) L$$

$$+ \frac{1}{8} \tilde{A}_0^{(O^n)} \left( \beta_2 + \frac{5}{2} \beta_1 \beta_0 L + \beta_0^3 L^2 \right) L,$$

where we have $L = \ln(\mu^2/\mu_0^2)$, $\beta_0 = (11C_A - 2N_F)/3$, $\beta_1 = (34C_A^2 - 10C_A N_F - 6C_F N_F)/3$ and $\beta_2 = (2857C_A^3 - 1415C_A^2 N_F - 615C_A C_F N_F + 54C_F^2 N_F + 79 C_A N_F^2 + 66 C_F N_F^2)/54$. We are using the customary normalization of $T_R = 1/2$ for the color charge operators, thus in QCD we have $C_A = N_c = 3$ and $C_F = (N_c^2 - 1)/(2N_c) = 4/3$, while $N_F$ denotes the number of light quark flavors.

We take into account the effect of non-vanishing $b$-quark mass on the predictions for the
\( A^{(O^n)} \) and \( B^{(O^n)} \) coefficient by subtracting the fraction of \( b \)-quark events, \( r_b(Q) \), from the massless result and adding back the corresponding massive prediction obtained with the \( \text{Zbb4} \) program,

\[
A^{(O^n)} = A_{m_b=0}^{(O^n)} (1 - r_b(Q)) + r_b(Q) A_{m_b \neq 0}^{(O^n)},
\]

\[
B^{(O^n)} = B_{m_b=0}^{(O^n)} (1 - r_b(Q)) + r_b(Q) B_{m_b \neq 0}^{(O^n)}.
\]

3 Data sets

For the performed analysis, one minus thrust \( (1 - T) \) and the \( C \)-parameter \( (C) \) were selected. The selection of these particular observables is motivated by the abundance of available measurements. More specifically, in this analysis we considered data sets from the ALEPH, AMY, DELPHI, HRS, JADE, L3, MARK, MARKII, OPAL and TASSO experiments, see Tab. 2 for details.

Most of the moments of the event shapes are quite strongly correlated \[23\] and a simultaneous analysis of all available data would require taking these correlations into account. Therefore, we have limited our analysis only to the first moments. From the two available sets of measurements with the same data in the range \( \sqrt{s} = 133 - 183 \text{ GeV} \), available from Ref. \[24\] and Ref. \[25\] the measurements from Ref. \[25\] were used in the analysis.

4 Modeling of non-perturbative corrections

As discussed in the Introduction, the modeling of non-perturbative corrections is essential in order to perform a meaningful comparison of theoretical predictions with data. One option for obtaining the hadronization corrections is to extract them from Monte Carlo simulations. Recent examples of this approach include the studies of the energy-energy correlation \[36\] and the two-jet rate \[37\].

Some previous extractions of the strong coupling from event shape moments \[38\] have used an analytic hadronization model based on the dispersive approach to power corrections \[39\]–\[41\]. An important ingredient of this model is the relation between the strong coupling defined in the \( \overline{\text{MS}} \) scheme and the effective soft coupling \( \alpha_{\text{CMW}}^S \) in the Catani–Marchesini–Webber (CMW) scheme. As the extension of \( \alpha_{\text{CMW}}^S \) beyond NLL accuracy is believed not to be unique \[42\]–\[43\], the coefficients entering this relation are “scheme-dependent”. However, in one particular proposal, the relation between \( \alpha_S \) and \( \alpha_{\text{CMW}}^S \) has recently been computed up to \( \mathcal{O}(\alpha_S^4) \) accuracy \[43\], which allows us to implement a
Table 2: Available measurements and data used in the analysis.

consistent analytic model of hadronization corrections at this order in the perturbative expansion.

Below, we pursue both options and use Monte Carlo tools as well as the analytic approach to model non-perturbative corrections.

4.1 Monte Carlo hadronization models

In this work we use the Monte Carlo event generation setups similar to those in previous similar studies \cite{36}. Briefly, we made use of the Herwig7.2.0 \cite{44} and Sherpa2.2.8 \cite{45} Monte Carlo event generators (MCEGs) with similar setups for perturbative calculations, but different hadronization models. Matrix elements for the $e^+ e^- \rightarrow Z/\gamma \rightarrow 2,3,4,5$ parton processes were generated using MadGraph5 \cite{46} and the one-loop library OpenLoops \cite{47}. The 2-parton final state process was computed at NLO accuracy in
perturbative QCD. The generated events were hadronized using the Lund hadronization model \cite{48} or the cluster hadronization model \cite{49}. In the following, the setup labelled as $H^L$ denotes predictions computed with \texttt{Herwig7.2.0} employing the Lund hadronization model \cite{48}, $H^C$ denotes \texttt{Herwig7.2.0} predictions obtained with the cluster hadronization model \cite{49}, and finally $S^C$ denotes results obtained using \texttt{Sherpa2.2.8} with the cluster hadronization model \cite{50}.

For the study, predictions of event shape moments were calculated from MC generated events at hadron ($\langle O^n \rangle_{\text{MC hadrons}}$) and parton ($\langle O^n \rangle_{\text{MC partons}}$) levels. To take into account that the presence of a shower cut-off $Q_0 \approx \mathcal{O}(1 \text{ GeV})$ in Monte Carlo programs affects the event shape distributions (e.g. see Refs. \cite{51,52}) both parton and hadron level MC predictions were calculated with several different values of the parton shower cut-offs and extrapolated to $Q_0 \rightarrow 0 \text{ GeV}$. Fig. 1 shows the final results obtained with the various MCEG setups after extrapolation to $Q_0 = 0 \text{ GeV}$, together with the experimental measurements. The hadron and parton level MC predictions seen in Fig. 1 provide reasonable descriptions of the data as well as the NNLO perturbative results for a wide range of

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure1.png}
\caption{Data and predictions by MCEGs extrapolated to $Q_0 = 0 \text{ GeV}$. The NNLO result was computed using $\alpha_s(M_Z) = 0.118$.}
\end{figure}
center-of-mass energies. However, the MC predictions at lowest $\sqrt{s}$ show non-physical behavior, i.e. $\langle O^n \rangle$ increases with $\sqrt{s}$ for the parton level results. In order to analyze only the data that can be adequately described by the Monte Carlo modeling, we exclude measurements with $\sqrt{s} < 29$ GeV from the analysis.

Finally, the correction of theory predictions for hadronization was implemented in the analysis as follows,

$$\langle O^n \rangle_{\text{corrected}} = \langle O^n \rangle_{\text{theory}} \times \frac{\langle O^n \rangle_{\text{MC hadrons, } Q_0=0 \text{ GeV}}}{\langle O^n \rangle_{\text{MC partons, } Q_0=0 \text{ GeV}}}.$$

The hadronization correction factors for different center-of-mass energies, observables and MC setups are shown in Fig. 2.

**Figure 2:** Hadronization corrections extracted from MC generated samples after extrapolation to $Q_0 = 0$ GeV and the hadronization corrections from the $A_0$ scheme calculated as ratios of the hadron and parton level predictions using $\alpha_S(M_Z) = 0.118$ and $\alpha_0(\mu_I) = 0.5$. The hadronization corrections from the $A_T$ and $A_{\text{Cusp}}$ scheme are not shown, but these very closely follow the hadronization corrections from the $A_0$ scheme.
4.2 Analytic hadronization models

The dispersive model of analytic hadronization corrections for event shapes in $e^+e^-$ annihilation has been worked out in detail in Refs. [39–41]. In this model, hadronization corrections simply shift the perturbative event shape distributions,

$$\frac{d\sigma_{\text{hadrons}}(O)}{dO} = \frac{d\sigma_{\text{partons}}(O - a_O P)}{dO},$$

(2)

where the power correction $P$ is universal for all event shapes, while the $a_O$ are specific, known constants, e.g. $a_{1-T} = 2$ and $a_C = 3\pi$ for $1-T$ and the $C$-parameter. Inserting eq. (2) into the definition of the moments, one obtains the non-perturbative predictions [39–41] for event shape moments. In particular, the effect of hadronization corrections on the first moment are additive,

$$\langle O_1 \rangle_{\text{hadrons}} = \langle O_1 \rangle_{\text{partons}} + a_O P,$$

where $\langle O_1 \rangle_{\text{partons}}$ is the value obtained in fixed-order perturbation theory as described in Sect. [2].

Finally, we must compute the power correction $P$ at $\mathcal{O}(\alpha_s^3)$ accuracy. The perturbative ingredients of this calculation are the running of the strong coupling in the $\text{MS}$ scheme and the relation between the coupling defined in the $\text{MS}$ and the CMW schemes. This relation takes the following generic form

$$\alpha_{\text{CMW}}^S = \alpha_S \left[ 1 + \alpha_S \frac{K}{2\pi} L + \left( \alpha_S \frac{1}{2\pi} \right)^2 M + \mathcal{O}(\alpha_s^3) \right].$$

(3)

The value of the $K$ coefficient has been known to coincide with the one-loop cusp anomalous dimension for a long time and hence it may be tempting to assume that the cusp anomalous dimension provides a sensible definition of the CMW coupling also beyond $\mathcal{O}(\alpha_s^3)$. However, this assumption turns out to be incorrect and as mentioned above, it is believed that there is no unique extension of $\alpha_{\text{CMW}}^S$ beyond NLL accuracy. Nevertheless, recently several proposals have been made for the definition of the effective soft coupling in the literature [42, 43]. In particular, Ref. [43] introduces the effective soft-gluon coupling $\mathcal{A}_i^{\text{CMW}}$ as

$$\mathcal{A}_i^{\text{CMW}}(\alpha_S) = C_i \frac{\alpha_{\text{CMW}}^S}{\pi} = C_i \frac{\alpha_S}{\pi} \left( 1 + \frac{\alpha_S}{2\pi} K + \ldots \right),$$

(here $i$ denotes the type of radiating parton, so $C_q = C_F$ and $C_g = C_A$) and proposes two

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3 A simple way to see that the equivalence between the coefficients in eq. (3) and the cusp anomalous dimensions cannot hold in general is to realise that the latter depend on the factorization scheme of collinear singularities while the former should not.
different prescriptions for defining this coupling beyond NLL accuracy, denoted by $A_{0,i}$ and $A_{T,i}$. We will refer to these cases as the “$A_0$-scheme” and the “$A_T$-scheme” below. We note that the complete expression for the $M$ coefficient is currently not known in the $A_T$-scheme, hence in our analysis we approximate this coefficient with its value in the $A_0$-scheme and set $M_T = M_0$. In order to facilitate the comparison of our results with previous work [38], we also define the “cusp-scheme”, in which we simply set the $K$, $L$ and $M$ coefficients of eq. (3) equal to the appropriate cusp anomalous dimension. In the following, we will denote the results obtained in the $A_0$-scheme by $A_0$, in the $A_T$-scheme by $A_T$ and in the cusp-scheme by $A_{cusp}$. The explicit expressions for the $K$, $L$ and $M$ coefficients in all three schemes are presented in Appendix C.

Finally, the power correction takes the following form up to N$^3$LO,

$$\mathcal{P}(\alpha_S, Q, \alpha_0) = \frac{4C_F}{\pi^2} M \times \frac{\mu_I}{Q} \times \left\{ \alpha_0(\mu_I) - \left[ \alpha_S(\mu_R) + \left( K + \beta_0 \left( 1 + \ln \frac{\mu_R}{\mu_I} \right) \right) \frac{\alpha_S^2(\mu_R)}{2\pi} \right. \right.$$

$$+ \left( 2L + (4\beta_0 (\beta_0 + K) + \beta_1) \left( 1 + \ln \frac{\mu_R}{\mu_I} \right) + 2\beta_0^2 \ln^2 \frac{\mu_R}{\mu_I} \right) \frac{\alpha_S^3(\mu_R)}{8\pi^2} \right. \right.$$

$$+ \left. \left( 4M + (2\beta_0 (12\beta_0 (\beta_0 + K) + 5\beta_1) + \beta_2 + 4\beta_1 K + 12\beta_0 L) \left( 1 + \ln \frac{\mu_R}{\mu_I} \right) \right. \right.$$

$$+ \left. \beta_0(12\beta_0 (\beta_0 + K) + 5\beta_1) \ln^2 \frac{\mu_R}{\mu_I} + 4\beta_1^2 \ln^3 \frac{\mu_R}{\mu_I} \right) \frac{\alpha_S^4(\mu_R)}{32\pi^3} \right\}, \tag{4}$$

where $\mu_I$ is the scale at which the perturbative and non-perturbative couplings are matched in the dispersive model and $M = 1.43$ is the so-called Milan factor [41]. $\alpha_0(\mu_I)$ corresponds to the first moment of the effective coupling below the scale $\mu_I$

$$\alpha_0(\mu_I) = \frac{1}{\mu_I} \int_0^{\mu_I} d\mu \alpha^{CMW}(\mu),$$

and it is a non-perturbative parameter of the model. Following the usual choice, we will set $\mu_I = 2$ GeV. We note that the value of $\alpha_0(\mu_I)$ is in principle scheme-dependent, i.e. it depends on the precise relation between the strong coupling in the MS and CMW schemes. In contrast, $\alpha_S(\mu_R)$ always refers to the value of the strong coupling in the MS scheme, at scale $\mu_R$.

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4 The definition of the soft coupling proposed in Ref. [42] is equivalent to $A_{T,i}$ of Ref. [43].
5 Fit procedure and systematic uncertainties

The values of $\alpha_S$ were determined in the optimization procedures using the MINUIT2 program and the minimized function

$$\chi^2(\alpha_S) = \sum_{\text{data sets}} \chi^2(\alpha_S)_{\text{data set}},$$

where $\chi^2(\alpha_S)$ for each data set was defined as

$$\chi^2(\alpha_S) = (\vec{D} - \vec{P}(\alpha_S))V^{-1}(\vec{D} - \vec{P}(\alpha_S))^T,$$

with $\vec{D}$ standing for the vector of data points, $\vec{P}(\alpha_S)$ for the vector of calculated predictions and $V$ for the covariance matrix of $\vec{D}$. In this analysis the covariance matrix $V$ was diagonal with the values of the diagonal elements calculated by adding the statistical and systematic uncertainties in quadrature for every measurement.

For all the fits with MC hadronization models the central results for $\alpha_S(M_Z)$ (as well as the $D^{(O_n)}$ coefficients in the N$^3$LO fits) were extracted with the $H^L$ setup. The uncertainty on the fit result was estimated using the $\chi^2 + 1$ criterion as implemented in MINUIT2 (exp.). The systematic effects related to the modeling of hadronization with MCEGs were estimated as the difference of results obtained with $H^L$ and $H^C$ setups (hadr.). To estimate the systematic effects related to the choice of renormalization scale, the latter was varied by a factor of two in both directions (scale.). The scale variation at N$^3$LO was performed with the perturbative coefficients $D^{(O_n)}$ fixed to their values obtained in the nominal fit.

When using the analytic hadronization model (in any of the three schemes discussed above), in addition to $\alpha_S(M_Z)$ and $D^{(O_n)}$, the quantities $\alpha_0(\mu_I)$ and $M$ were also treated as fit parameters to be extracted from data. As previously, the $\chi^2 + 1$ criterion was used to estimate the fit uncertainty (exp.), while the systematic effects of missing higher-order terms were estimated using the same renormalization scale variation procedure as for MC hadronization models (scale.). In particular, when varying the scale, the $D^{(O_n)}$ coefficients, $\alpha_0(\mu_I)$ and $M$ were fixed to the values obtained in the nominal fits.

6 Results and discussion

The results of the NNLO and N$^3$LO fits are presented in Tabs. 3 and 4, while the predictions of the N$^3$LO fits for individual energy points are shown in Fig. 3.
| Analysis                                      | Results from analysis of $\langle(1 - T)^4\rangle$ data                                                                 |
|----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| NNLO, MC had., $H^L$                         | $\alpha_s(M_Z) = 0.11459 \pm 0.00022(exp.) \pm 0.00024(hadr.) \pm 0.00255(scale)$                                           |
|                                              | $\chi^2/ndof = 324.3/64$                                                                                                |
| NNLO, analytic had., $A^0$                   | $\alpha_s(M_Z) = 0.11813 \pm 0.00130(exp.) \pm 0.00147(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.6/62$                                                                                                |
|                                              | $\alpha_0(2\text{ GeV}) = 0.53 \pm 0.03(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.53 \pm 0.29(exp.)$                                                                                   |
| NNLO, analytic had., $A^T$                   | $\alpha_s(M_Z) = 0.11763 \pm 0.00132(exp.) \pm 0.00125(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.4/62$                                                                                                |
|                                              | $\alpha_0(2\text{ GeV}) = 0.55 \pm 0.03(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.52 \pm 0.29(exp.)$                                                                                   |
| NNLO, analytic had., $A^{cusp}$              | $\alpha_s(M_Z) = 0.11835 \pm 0.00128(exp.) \pm 0.00156(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.7/62$                                                                                                |
|                                              | $\alpha_0(2\text{ GeV}) = 0.52 \pm 0.03(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.53 \pm 0.29(exp.)$                                                                                   |
| N^3LO, MC had., $H^L$                       | $\alpha_s(M_Z) = 0.14092 \pm 0.00116(exp.) \pm 0.00111(hadr.) \pm 0.01595(scale)$                                       |
|                                              | $\chi^2/ndof = 79.2/63$                                                                                                |
|                                              | $D^{(1-T)^4} = -7.51 \times 10^4 \pm 1.14 \times 10^3(exp.)$                                                            |
| N^3LO, analytic had., $A^0$                  | $\alpha_s(M_Z) = 0.12761 \pm 0.00200(exp.) \pm 0.01945(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.3/61$                                                                                                |
|                                              | $D^{(1-T)^4} = -8.45 \times 10^4 \pm 1.49 \times 10^4(exp.)$                                                            |
|                                              | $\alpha_0(2\text{ GeV}) = 0.81 \pm 0.07(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.50 \pm 0.18(exp.)$                                                                                   |
| N^3LO, analytic had., $A^T$                  | $\alpha_s(M_Z) = 0.12500 \pm 0.00204(exp.) \pm 0.01401(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.3/61$                                                                                                |
|                                              | $D^{(1-T)^4} = -6.88 \times 10^4 \pm 1.59 \times 10^4(exp.)$                                                            |
|                                              | $\alpha_0(2\text{ GeV}) = 0.76 \pm 0.06(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.50 \pm 0.19(exp.)$                                                                                   |
| N^3LO, analytic had., $A^{cusp}$             | $\alpha_s(M_Z) = 0.12865 \pm 0.00194(exp.) \pm 0.02152(scale.)$                                                         |
|                                              | $\chi^2/ndof = 76.3/61$                                                                                                |
|                                              | $D^{(1-T)^4} = -9.00 \times 10^4 \pm 1.42 \times 10^4(exp.)$                                                            |
|                                              | $\alpha_0(2\text{ GeV}) = 0.83 \pm 0.07(exp.)$                                                                        |
|                                              | $\mathcal{M} = 1.50 \pm 0.18(exp.)$                                                                                   |

Table 3: Results of the extraction analyses using the $\langle(1 - T)^4\rangle$ observable.
Results from analysis of $\langle C^1 \rangle$ data

| Analysis                      | Results from analysis of $\langle C^1 \rangle$ data                                                                 |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------|
| NNLO, MC had. $H^L$           | $\alpha_S(M_Z) = 0.11298 \pm 0.00020(exp.) \pm 0.00019(hadr.) \pm 0.00223(scale)$                                 |
|                               | $\chi^2/ndof = 436.0/41$                                                                                           |
| NNLO, analytic had., $A^0$    | $\alpha_S(M_Z) = 0.11825 \pm 0.00127(exp.) \pm 0.00073(scale.)$                                                      |
|                               | $\chi^2/ndof = 40.4/39$                                                                                           |
|                               | $\alpha_0(2\text{ GeV}) = 0.48 \pm 0.02(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.56 \pm 0.29(exp.)$                                                                                |
| NNLO, analytic had. $A^T$     | $\alpha_S(M_Z) = 0.11767 \pm 0.00131(exp.) \pm 0.00048(scale.)$                                                      |
|                               | $\chi^2/ndof = 40.1/39$                                                                                           |
|                               | $\alpha_0(2\text{ GeV}) = 0.50 \pm 0.02(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.55 \pm 0.29(exp.)$                                                                                |
| NNLO, analytic had., $A^{\text{cusp}}$ | $\alpha_S(M_Z) = 0.11850 \pm 0.00125(exp.) \pm 0.00084(scale.)$                                                  |
|                               | $\chi^2/ndof = 40.5/39$                                                                                           |
|                               | $\alpha_0(2\text{ GeV}) = 0.47 \pm 0.02(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.56 \pm 0.29(exp.)$                                                                                |
| N^3LO, MC had. $H^L$          | $\alpha_S(M_Z) = 0.14120 \pm 0.00096(exp.) \pm 0.00097(hadr.) \pm 0.01777(scale)$                                |
|                               | $\chi^2/ndof = 40.8/40$                                                                                           |
|                               | $D^{(C^1)} = -3.10 \times 10^5 \pm 3.21 \times 10^3(exp.)$                                                         |
| N^3LO, analytic had. $A^0$    | $\alpha_S(M_Z) = 0.12881 \pm 0.01111(exp.) \pm 0.02534(scale.)$                                                    |
|                               | $\chi^2/ndof = 39.7/38$                                                                                           |
|                               | $D^{(C^1)} = -3.80 \times 10^5 \pm 3.48 \times 10^3(exp.)$                                                         |
|                               | $\alpha_0(2\text{ GeV}) = 0.78 \pm 0.42(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.50 \pm 0.33(exp.)$                                                                                |
| N^3LO, analytic had. $A^T$    | $\alpha_S(M_Z) = 0.12623 \pm 0.01293(exp.) \pm 0.02006(scale.)$                                                    |
|                               | $\chi^2/ndof = 39.6/38$                                                                                           |
|                               | $D^{(C^1)} = -3.22 \times 10^5 \pm 4.36 \times 10^5(exp.)$                                                         |
|                               | $\alpha_0(2\text{ GeV}) = 0.73 \pm 0.44(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.50 \pm 0.34(exp.)$                                                                                |
| N^3LO, analytic had. $A^{\text{cusp}}$ | $\alpha_S(M_Z) = 0.12984 \pm 0.01052(exp.) \pm 0.02734(scale.)$                                                |
|                               | $\chi^2/ndof = 39.7/38$                                                                                           |
|                               | $D^{(C^1)} = -4.00 \times 10^5 \pm 3.22 \times 10^5(exp.)$                                                         |
|                               | $\alpha_0(2\text{ GeV}) = 0.79 \pm 0.41(exp.)$                                                                     |
|                               | $\mathcal{M} = 1.50 \pm 0.33(exp.)$                                                                                |

**Table 4:** Results of the extraction analyses using the $\langle C^1 \rangle$ observable.
The presented NNLO results for $\alpha_S(M_Z)$ obtained with both MC and analytic hadronization models are in good agreement between the fits to $\langle (1 - T)^1 \rangle$ and $\langle C^1 \rangle$, which can be viewed as a check of the internal consistency of the $\alpha_S(M_Z)$ extraction method at NNLO. However, similarly to previous studies [38] which used less data, a large discrepancy between the results obtained with the MC hadronization model and the analytic hadronization models are seen.

Turning to $\alpha_0(2 \text{ GeV})$ still at NNLO, we recall that this parameter is scheme-dependent, so the fitted values in the three schemes should not be directly compared to each other. Nevertheless, we see that the choice of scheme has only a small numerical impact on the

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5 The analysis of Ref. [38] employed several other event shape variables besides thrust and the $C$-parameter (as well as higher moments of event shapes), but the present study uses a more extensive data set for the observables considered here.

6 In previous studies [38] the results obtained with the MC hadronization model were systematically higher than those obtained with the analytic hadronization model, while in the presented study an opposite relation is seen. This difference can be attributed to differences in the used data sets and MC setups.
extracted values of $\alpha_0(2\text{ GeV})$. The values of the Milan parameter $\mathcal{M}$, constrained in fits, are seen to be hardly affected by the choice of scheme and agree with the theoretical prediction within the somewhat large fit uncertainty. Furthermore, the extracted values of both $\alpha_0(2\text{ GeV})$ and $\mathcal{M}$ obtained form the $\langle (1-T)^1 \rangle$ and $\langle C^1 \rangle$ observables agree well with each other.

Turning to the N$^3$LO results, we see that the overall picture is quite similar to the one at NNLO: the fits for $\alpha_s(M_Z)$ are in good agreement between the two observables for both MC and analytic hadronization models. The extracted values of $\alpha_0(2\text{ GeV})$ and $\mathcal{M}$ are also consistent between the determinations based on $\langle (1-T)^1 \rangle$ and $\langle C^1 \rangle$. However, we find rather large uncertainties, primarily related to the insufficient amount and quality of data and the extraction method itself. Nevertheless, these uncertainties are not very much larger than those from some classical $\alpha_s(M_Z)$ extraction analysis in the past [55]. Moreover, the obtained values of both $D^{(1-T)^1}$ and $D^{(C^1)}$ are compatible between fits using MC and analytic hadronization models. This demonstrates the viability of the extraction of the higher-order coefficients $D^{(O^n)}$, once a large amount of precise and consistent data will be available, e.g. from CEPC [56] or FCC-ee [57]. The importance of the amount of the data for the used approach can be further demonstrated with the difference in the precision of the results obtained from the fits of $\langle (1-T)^1 \rangle$ and $\langle C^1 \rangle$, where about twice as many data points are available for the former. While the fits at NNLO precision give quite consistent results across the two observables, the same fits at N$^3$LO for $\langle (1-T)^1 \rangle$ have much larger precision than the fits for $\langle C^1 \rangle$, see Fig. 4. At the same time, the discrepancy between results obtained with the MC hadronization model and the analytic hadronization model remains in place at N$^3$LO accuracy. This suggests that the discrepancy pattern has a fundamental origin and would hold even in future analyses, regardless of the availability of the exact N$^3$LO predictions. Consequently, the improvement of the hadronization modeling and a better understanding of hadronization itself is more important for increasing the precision of $\alpha_s(M_Z)$ extractions than the calculation of perturbative corrections beyond NNLO. In order to achieve this better understanding and improved modeling of hadronization, in the future it would be important to perform dedicated studies using observables strongly affected by hadronization, e.g. measurements of the hadronic final state in future $e^+e^-$ experiments at $\sqrt{s} \approx 20 - 50\text{ GeV}$ performed with radiative events or in dedicated collider runs.
Figure 4: The values of $\alpha_S(M_Z)$ and $\alpha_0(2\text{ GeV})$ obtained from the NNLO and $N^3LO$ fits with analytic hadronization model in the $A^0$ scheme. The contours correspond to 1-, 2- and 3 standard deviations obtained in the fit. Systematic uncertainties are not included.

7 Conclusions

We have performed an extraction of the strong coupling $\alpha_S(M_Z)$ from event shape moments $\langle (1 - T)^1 \rangle$ and $\langle C^1 \rangle$ and found that the results obtained using NNLO predictions and analytic hadronization corrections based on the dispersive model are consistent with the last PDG average $\alpha(M_Z)_{\text{PDG2020}} = 0.1179 \pm 0.0010$.

Furthermore, we considered a method for extracting $\alpha_S(M_Z)$ at $N^3LO$ precision in perturbative QCD, employing exact NNLO predictions and estimations of the $N^3LO$ corrections from the data. The method produced results which are compatible with the current world average within the somewhat large uncertainties, e.g. $\alpha_S(M_Z)^{N^3LO+A^0} = 0.12761 \pm 0.00200(\text{exp.}) \pm 0.01945(\text{scale.})$ from the $\langle (1 - T)^1 \rangle$ data. The obtained precision can be increased with more high-quality data from future experiments. For the extraction, Monte Carlo and analytic hadronization models were used, the latter being extended to $N^3LO$ for the first time. The comparison of the results for these models suggests that extractions of $\alpha_S(M_Z)$ in future analyses will be strongly affected by the modeling of hadronization effects even if the higher-order corrections will be included. However, the improvements in the modeling of high-energy physics phenomena by MCEG in the recent decades were closely tied to the experimental measurements performed at the LEP, HERA.
and LHC colliders and therefore had limited impact on the description of phenomena at lower energies. As a consequence, the advances in modeling of particle collisions at lower energies and understanding of hadronization can be expected only with the availability of new measurements in the corresponding energy ranges.

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A Perturbative coefficients $A_{\text{tot}}, B_{\text{tot}}$ and $C_{\text{tot}}$

In this appendix, we recall the total cross section, $\sigma_{\text{tot}}$, of electron-positron annihilation into hadrons. In massless QCD with $N_F$ number of light flavors we have \cite{13},

$$\sigma_{\text{tot}} = \sigma_0 \left[ 1 + \frac{\alpha_S}{2\pi} A_{\text{tot}} + \left( \frac{\alpha_S}{2\pi} \right)^2 B_{\text{tot}} + \left( \frac{\alpha_S}{2\pi} \right)^3 C_{\text{tot}} + \mathcal{O}(\alpha_S^4) \right], \quad (7)$$

with

$$A_{\text{tot}} = \frac{3}{2} C_F,$$

$$B_{\text{tot}} = C_F \left[ \left( \frac{123}{8} - 11 \zeta_3 \right) C_A - \frac{3}{8} C_F - \left( \frac{11}{4} - 2 \zeta_3 \right) N_F \right],$$

$$C_{\text{tot}} = C_F \left[ \left( \frac{90445}{432} - \frac{2737}{18} \zeta_3 - \frac{55}{3} \zeta_5 \right) C_A^2 - \left( \frac{127}{8} + \frac{143}{2} \zeta_3 - 110 \zeta_5 \right) C_A C_F - \frac{69}{16} C_F^2 
- \left( \frac{1940}{27} - \frac{448}{9} \zeta_3 - \frac{10}{3} \zeta_5 \right) C_A N_F - \left( \frac{29}{16} - 19 \zeta_3 + 20 \zeta_5 \right) C_F N_F 
+ \left( \frac{151}{27} - \frac{38}{9} \zeta_3 \right) N_F^2 - \frac{\pi^2}{8} \left( \frac{11}{3} C_A - \frac{2}{3} N_F \right)^2 \right] + \frac{(\sum Q_f)^2 d^{abc} d^{abc}}{16} \left( \frac{22}{3} - 16 \zeta_3 \right).$$

We recall that we use $T_R = 1/2$ and so $C_A = N_c = 3$, $C_F = (N_c^2 - 1)/(2 N_c) = 4/3$ and $d^{abc} d^{abc} = 40/3$. Furthermore, $Q_f$ denotes the electric charge of quarks and $N_F$ is the number of light quark flavors.

B Analytic calculations of the event shape moments

The LO analytic calculation for $\langle (1 - T)^1 \rangle$, i.e. $A_0^{(1-T)^1}$, is taken from Ref. \cite{58} and reads:

$$A_0^{(1-T)^1} = -\ln(3) - \frac{2}{27} + \frac{4\pi^2}{9} + \frac{16 \text{Li}_2(3/2)}{3} + \frac{8 \ln(2)^2}{3} = 2.1034701 \ldots .$$

The analytic result for $A_0^{(C^1)}$ has been known for a long time \cite{59}. The NLO coefficient $B_0^{(C^1)}$ was calculated using the analytic expression for the energy-energy correlations (EEC) from Ref. \cite{60} and the identity on the event level $\langle C^1 \rangle = \frac{3}{2} \int_{-1}^1 \text{EEC}(\theta) \sin^2 \theta d(\cos \theta)$
and we find:

\[
A_0^{(C_1)} = C_F (-33 + 4\pi^2) = 8.6378901 \ldots , \\
B_0^{(C_1)} = C_F N_F T_R \left( \frac{18759}{140} - 7\pi^2 - \frac{2728\zeta_3}{35} \right) + C_F^2 \left( -\frac{8947}{224} + \frac{101\pi^2}{24} + \frac{2\pi^4}{15} - \frac{201\zeta_3}{7} \right) \\
+ C_A C_F \left( -\frac{209821}{840} + \frac{247\pi^2}{18} - \frac{8\pi^4}{15} + \frac{7057\zeta_3}{35} \right) = 172.85901 \ldots .
\]

The results that account for non-zero quark masses are not known analytically even at LO, however the coefficient \(A_{m_b \neq 0}^{(C_1)}\) could be derived in a closed form using the results for \(EEC_{m_b \neq 0}\) from Refs. [61,62] or the results for the \(\frac{d^2}{d\sigma} |_{m_b \neq 0}\) from Ref. [63].

### C The \(K\), \(L\) and \(M\) coefficients in different schemes

The \(K\), \(L\) and \(M\) coefficients in the cusp-scheme are simply given by the one-, two- and three-loop cusp anomalous dimensions (for quarks) and read $[64,69]$:

\[
K_{\text{cusp}} = C_A \left( \frac{67}{18} - \frac{\pi^2}{6} \right) - \frac{5}{9} N_F, \\
L_{\text{cusp}} = C_A^2 \left( \frac{245}{24} - \frac{67\pi^2}{54} + \frac{11\zeta_3}{6} + \frac{11\pi^4}{180} \right) + C_F N_F \left( -\frac{55}{24} + 2\zeta_3 \right) + \\
+ C_A N_F \left( -\frac{209}{108} + \frac{5\pi^2}{27} - \frac{7\zeta_3}{3} \right) - \frac{1}{27} N_F^2, \\
M_{\text{cusp}} = \frac{3}{128} \left( 20702 - 5171.9 N_F + 195.5772 N_F^2 + 3.272344 N_F^3 \right).
\]
The $K$, $L$ and $M$ coefficients in the $A_0$-scheme read \[43\]:

\[
K_0 = K_{\text{cusp}},
\]

\[
L_0 = L_{\text{cusp}} + C_A^2 \left( \frac{77\zeta_3}{6} - \frac{1111}{81} \right) + C_A N_F \left( -\frac{11\pi^2}{27} + \frac{356}{81} - \frac{7\zeta_3}{3} \right) + N_F^2 \left( \frac{\pi^2}{27} - \frac{28}{81} \right),
\]

\[
M_0 = M_{\text{cusp}} + C_A^3 \left( \frac{121\pi^2\zeta_3}{26} - \frac{21755\zeta_3}{108} + 66\zeta_5 + \frac{847\pi^4}{2160} - \frac{41525\pi^2}{1944} + \frac{3761815}{23328} \right)
+ C_A^2 N_F \left( -\frac{11\pi^2\zeta_3}{18} + \frac{6407\zeta_3}{108} - 12\zeta_5 - \frac{11\pi^4}{54} + \frac{9605\pi^2}{972} - \frac{15593}{243} \right)
+ C_A C_F N_F \left( \frac{136\zeta_3}{9} + \frac{11\pi^4}{180} + \frac{55\pi^2}{72} - \frac{7351}{288} \right)
+ C_A N_F^2 \left( -\frac{179\zeta_3}{54} + \frac{13\pi^4}{540} - \frac{695\pi^2}{486} + \frac{13819}{1944} \right)
+ C_F N_F^2 \left( -\frac{19\zeta_3}{9} - \frac{\pi^4}{90} + \frac{5\pi^2}{36} - \frac{215}{48} \right) + N_F^3 \left( -\frac{2\zeta_3}{27} + \frac{5\pi^2}{81} - \frac{116}{729} \right),
\]

The $K$, $L$ and $M$ coefficients in the $A_T$-scheme read \[43\]:

\[
K_T = K_{\text{cusp}},
\]

\[
L_T = L_{\text{cusp}} + C_A^2 \left( \frac{77\zeta_3}{6} - \frac{1111}{81} \right) - C_A N_F \left( \frac{7\zeta_3}{3} - \frac{356}{81} \right) - \frac{28}{81} N_F^2.
\]

We remind the reader that the complete expression for $M$ is currently not known in the $A_T$-scheme, hence as an approximation, we set $M_T = M_0$ in this analysis.
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