**High-efficiency surface plasmon meta-couplers: concept and microwave-regime realizations**

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Surface plasmon polaritons (SPPs) and their low-frequency counterparts (i.e., spoof SPPs on artificial surfaces) have recently found numerous applications in photonics, but traditional devices to excite them (such as gratings and prism couplers) all suffer from problems of inherent low efficiency because the generated SPPs can decouple, returning to free space, and reflections at the device surface can never be avoided. Here, we propose a new SPP excitation scheme based on a transparent gradient metasurface and numerically demonstrate that it exhibits inherently high efficiency (~94%) because the designed meta-coupler suppresses both decoupling and surface reflections. As a practical realization of this concept, we fabricated a meta-coupler for operation in the microwave regime and performed near-field and far-field experiments to demonstrate that the achieved excitation efficiency for spoof SPPs reaches ~73%, which is several times higher than that achieved by other available devices in this frequency domain. Our findings can motivate the design and fabrication of high-performance plasmonic devices to harvest light–matter interactions, particularly those related to spoof SPPs in the low-frequency domain.

**Keywords:** metamaterial; metasurface; plasmonic coupler; surface plasmon polariton

**INTRODUCTION**

Surface plasmon polaritons (SPPs) are eigen electromagnetic (EM) waves bounded at certain dielectric/metal interfaces, with parallel wavevectors that are larger than the free-space value and EM fields that are highly confined near the interfaces¹,². Owing to their characteristics of local field enhancement and subwavelength confinement, SPPs have found many applications in photonics¹,², such as the enhancement of nonlinear³,⁴ and Raman effects⁵,⁶, chemical and bio-sensing⁷,⁸, plasmonic circuits⁹ and waveguides¹⁰,¹¹, and subwavelength imaging¹²,¹³. Although natural SPPs do not exist at low frequencies (e.g., from the GHz to the middle infrared range), where the behavior of metals is too conductive, spoof SPPs can exist on certain artificial structured surfaces, and such spoof SPPs may find applications¹⁴–²⁰ that are equally as numerous as those of their optical counterparts. To efficiently utilize SPPs and spoof SPPs, many couplers have been developed to convert incident propagating waves (PWs) into SPPs. However, the devices that are commonly used for this purpose, including prisms²¹–²³ and grating couplers²⁴–²⁸, all suffer from low efficiency, which is inherent to such systems because the generated SPPs can also decouple back into PWs and initial reflections at the device surfaces are inevitable (see Figure 1a). Devices without translation symmetry (e.g., blade²⁷,²⁸ and defect²⁹ couplers) possess even lower efficiencies because of the enhanced scattering at their sharp boundaries. In addition, some couplers (e.g., prism²¹–²³ and blade²⁷,²⁸ couplers) are excessively bulky in size, making them inconvenient for integration into photonic devices.

Recently, it was shown that a reflective gradient metasurface (a planar metamaterial consisting of designed EM units with tailored reflection phases) can convert a PW into a driven surface wave (SW) bounded on its surface with nearly 100% efficiency³⁰. However, naively applying this concept to the design of an SPP coupler yields unsatisfactory results because the conversion efficiency decreases significantly as the width of incident beam increases³¹. The underlying physics of this phenomenon is that the driven SW generated is not an eigenmode of the gradient metasurface, and thus, it can experience significant scattering before coupling to an eigen SPP in the target system³¹. Other recently proposed metasurface-based SPP couplers³²–³⁶ also exhibit low efficiencies for similar reasons (i.e., decoupling and/or initial reflection).

In this article, we propose a new concept for realizing high-efficiency SPP couplers with deep-subwavelength thicknesses. Our device consists of a transparent gradient metasurface placed at a certain distance above the target plasmonic metal. This new device configuration resolves all previously identified issues that can degrade the PW-SPP conversion efficiency, and full-wave simulations of an ideal model predict an efficiency of 94%. As a practical realization, we designed and fabricated a realistic device operating in the microwave regime, and we performed both near-field (NF) and far-field (FF) experiments to demonstrate that our meta-coupler...
new device configuration (Figure 1b) in which a slab of a transparent gradient metasurface is placed at a distance $d$ above the target plasmonic metal. The incident wave is first converted into a driven SW bound on the metasurface and is then resonantly coupled to the eigen SPP wave on the plasmonic metal. Neither reflection at the metasurface nor decoupling from the SPP to free space occurs. (c) FEM-stimulated intensities of SPP waves generated on the plasmonic metal versus the distance $d$ in the excitation (red symbols) and scattering (blue symbols) processes compared with those calculated using the analytical formulas given in Equations (1) and (2) (lines). (d) FEM-simulated ($H_y$) field distribution in the case of SPP excitation by our meta-coupler in an optimized configuration (with $d_c = 14.3$ mm), showing that the highest SPP coupling efficiency is $94\%$. The $\psi_m(x)$ and $\mu_d(x)$ profiles are modified at the device corners and supercell boundaries to smooth the sharp discontinuities. In (c–e), the plasmonic metal (with $\epsilon_2 = -4.33$) supports an eigen SPP with $k_{SPP} = 1.14k_0$ at a working frequency of $9.2$ GHz.

exhibits a spoof-SPP conversion efficiency of $\sim 73\%$, which is insensitive to the width of the incident beam. In particular, our experimental demonstrations that the performance of our meta-coupler is significantly higher than that achieved by any other available couplers (e.g., grating and blade couplers) in this frequency domain.

**MATERIALS AND METHODS**

**New concept for realizing high-efficiency SPP excitation**

As schematically shown in Figure 1a, the key factors that degrade the SPP coupling efficiency are the SPP decoupling effect and the reflection at the coupler surfaces. Such issues seem to be intrinsic to conventional SPP couplers (such as grating couplers and prism couplers): the initial reflection at the device surface and the decoupling from SPPs to free space. In particular, our experiments show SPP decoupling effect and the reflection to be significantly reduced, thereby solving the issue of beam-width dependence encountered in previous meta-couplers based on reflective metasurfaces.

Let us now discuss how to achieve the highest possible PW-SPP conversion efficiency in this device configuration. The meta-coupler plays dual roles: (1) it mediates the coupling between the PW and SPP by generating a driven SW, and (2) it also weakly scatters the SPP by means of its lateral inhomogeneity. To understand the physics of the meta-coupler, we consider the following two independent processes. First, we replace the meta-coupler with a virtual surface wave source (SWS) $j(x,t) = j_0 \exp(-x^2/\sigma^2) \exp(i\omega t)$ (see the inset to Figure 1c). This virtual SWS can generate the same ‘driven SW’ that is induced by the meta-coupler illuminated by a normally incident Gaussian beam of waist width $w_0$, but it does not scatter the generated SPP wave flowing on the plasmonic metal. This replacement is justified according to the argument presented in Ref. 27 (see Supplementary Section 2 for a detailed discussion). Numerical simulations based on the finite element method (FEM) reveal that the intensity $I_1$ of the SPP generated on the plasmonic metal is a decreasing function of the distance $d$ (red squares in Figure 1c), which can be perfectly modeled by the analytical formula postulated below:

$$I_1 = \exp(-k_d d)$$  \hspace{1cm} (1)$$

where $k_1$ is a fitting parameter that describes the decay rate of the evanescent wave. This expression has a clear physical meaning, because $I_1$ should be proportional to the coupling strength between the driven SW and the eigen SPP and thus must follow an exponential function.

To study the second process, we replace the virtual SWS with the realistic inhomogeneous meta-coupler and study how the metacoupler scatters an SPP wave that has already been launched on the plasmonic metal. FEM simulations indicate that the transmittance of the SPP wave, defined as $I_2/I_1$, is an increasing function of $d$ (blue stars...

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**Figure 1** Device configuration, working principle, and coupling efficiency of the SPP meta-coupler. (a) Key factors that degrade the efficiencies of conventional SPP couplers (such as grating couplers and prism couplers): the initial reflection at the device surface and the decoupling from SPPs to free space. (b) Configuration of our SPP meta-coupler: a transparent gradient metasurface is placed at a distance $d$ above the target plasmonic metal. The incident wave is first converted into a driven SW bound on the metasurface and is then resonantly coupled to the eigen SPP wave on the plasmonic metal. Neither reflection at the metasurface nor decoupling from the SPP to free space occurs. (c) FEM-stimulated intensities of SPP waves generated on the plasmonic metal versus the distance $d$ in the excitation (red symbols) and scattering (blue symbols) processes compared with those calculated using the analytical formulas given in Equations (1) and (2) (lines). (d) FEM-simulated ($H_y$) field distribution in the case of SPP excitation by our meta-coupler in an optimized configuration (with $d_c = 14.3$ mm), showing that the highest SPP coupling efficiency is $94\%$. The $\psi_m(x)$ and $\mu_d(x)$ profiles are modified at the device corners and supercell boundaries to smooth the sharp discontinuities. In (c–e), the plasmonic metal (with $\epsilon_2 = -4.33$) supports an eigen SPP with $k_{SPP} = 1.14k_0$ at a working frequency of $9.2$ GHz. 

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**Table 1** Key factors that degrade the efficiencies of conventional SPP couplers

| Factor | Description |
|--------|-------------|
| Initial reflection | Reflection at the device surface |
| SPP decoupling effect | Decoupling from SPPs to free space |
| Reflection | Reflection at the metasurface |

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**Equations (1) and (2)**

$$j(x,t) = j_0 \exp(-x^2/\sigma^2) \exp(i\omega t)$$

**Supplementary Section 2**

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**References**

1. W.J. Sun et al. High-efficiency surface plasmon meta-couplers. *Light: Science & Applications* (2016). doi:10.1038/lsa.2016.3

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in Figure 1c), which can be well fitted by another postulated analytical formula:

\[
\frac{L_2}{I_1} = \frac{1}{1 + x \exp(-k_z d)}
\]

(2)

where \(x\) and \(k_z\) are two additional fitting parameters. Equation (2) is also physically meaningful, as it exhibits the correct behaviors in two limits: the SPP wave exhibits a very small transmittance as \(d\) approaches zero (given that \(x\) is very large) and suffers only weak scattering \(\propto \exp(-k_z d)\) when \(d\) is sufficiently large (see Supplementary Section 2).

Equations (1)–(2) not only provide a physical picture of the two competing processes (i.e., excitation and scattering) involved in our meta-coupler, but also suggest that a maximum SPP excitation efficiency can be achieved by carefully balancing these two effects. In a zero-order approximation, we can simply multiply the two expressions together to estimate the final SPP excitation efficiency (solid line in Figure 1d), where the efficiency is defined as the ratio between the power carried by the generated SPP and that carried by the impinging PW, and the result is found to agree very well with the result obtained via direct FEM simulations of the effective-medium model system (red circles in Figure 1d). Both calculations indicate that the highest SPP excitation efficiency can be obtained when \(d\) is fixed at a particular value \(d_0\). Figure 1e depicts the FEM-computed field pattern in a device with \(d = d_0\) for which the numerically calculated SPP coupling efficiency is found to be 94%.

Design and fabrication of an SPP meta-coupler

We now demonstrate the design presented in Figure 1 by means of a proof-of-concept experiment in the microwave regime. To fabricate the proposed meta-coupler, it is crucial to obtain a series of planar meta-atoms with a transmission amplitude of nearly 100% and a tailored transmission phase \(\phi\) that covers the entire 360° range. We find that an ABA sandwich structure, where A and B represent two ultra-thin layers of metamaterial (with thicknesses \(d_A\) and \(d_B\) permittivities \(e_A\) and \(e_B\) and permeabilities \(\mu_A = \mu_B = 1\)), can support perfect transmission under normal incidence \(^\text{38-40}\) when the following condition is satisfied:

\[
Z_A^2 \left(2 - \frac{\tan(k_A d_A)}{\tan(k_B d_B)} \frac{Z_A}{Z_B} + \frac{\tan(k_A d_A)}{\tan(k_B d_B)} \frac{Z_B}{Z_A} \right) = \frac{2 - \tan(k_A d_A)}{\tan(k_B d_B)} \frac{Z_A}{Z_B} + \frac{\tan(k_A d_A)}{\tan(k_B d_B)} \frac{Z_B}{Z_A}
\]

(3)

where \(k_l = k_0 \sqrt{\varepsilon_l}\) and \(Z_l = 1/\sqrt{\varepsilon_l}\) (\(i = A, B\)). Such a condition can be fulfilled with different combinations of materials and geometrical parameters. As an illustration, we present in Figure 2b the perfect transmission solutions (black solid line) in an \(e_A\) versus \(e_B\) diagram, with the other parameters fixed to \(f_0 = 9.2\) GHz, \(d_A = 1.37\) mm, and \(d_B = 0.26\) mm. Such a \(|t| = 1\) line intersects with five representative equal-\(\phi\) lines (with a range of variation in \(\phi\) that covers the entire 360° space) at different positions. This is possible because the perfect transparencies in an ABA structure are governed by different mechanisms in different parameter regions of Figure 2b (Supplementary Section 4), such that the associated \(\phi\) varies within a very broad range. For example, whereas the scattering-cancelation mechanism is responsible for the transparency in the \(e_A > 0, e_B < 0\) region, the Fabry–Pérot resonance becomes the governing physics in the \(e_A > 0, e_B > 0\) region. A large value of \(\phi\) is naturally expected when both \(e_A\) and \(e_B\) are large and positive (see Figure 2b). Considering other parameter freedoms (e.g., \(\mu_A\) and \(\mu_B\)), we expect that ABA structures can offer great flexibility in the design of the meta-atoms.

These qualitative results motivated us to design five realistic meta-atoms with the structures shown in Figure 2c, where the metallic H-shaped structures and the mesh structures are used to obtain positive and negative \(e\) values, respectively. The detailed structural parameters of these meta-atoms were chosen based on FEM simulations in which the NF couplings between neighboring metallic layers were fully taken into account (Supplementary Section 3). We then fabricated five samples based on these designs, each containing a periodic array of one of these meta-atoms, and performed microwave experiments to measure their transmission phases and amplitude spectra (Supplementary Section 3). At the central working frequency of \(f_0 = 9.2\) GHz, both the experiments and the FEM simulations indicate that these meta-atoms exhibit the desired transmission phases and high transmission amplitudes (Figure 2d). The transmission amplitudes do not reach 100%, however, primarily because of dielectric absorption and the inevitable fabrication errors that occur in such multilayered complex samples.

By arranging five meta-atoms sequentially in the \(x\) direction to form a supercell and then periodically replicating this supercell in two directions, we ultimately obtained the desired meta-coupler. Figure 2e shows an image of part of our fabricated meta-coupler (with a total size of \(240\) mm \(\times\) \(240\) mm). Given that the length of each meta-atom is \(l = 6\) mm and thus the length of a supercell is \(L = 5l = 30\) mm, a simple
calculation shows that the phase gradient of our meta-coupler is $\xi = \frac{2\pi}{L} = 0.94k_0$ at a frequency of $f_0 = 9.2$ GHz. The fact that $\xi < k_0$ implies that we must shine a PW at oblique incidence onto the meta-coupler to excite the desired SPP, which is helpful for distinguishing the specular reflection from the incident PW.

RESULTS AND DISCUSSION

Comparisons with other SPP couplers – near-field characterizations

Using the fabricated meta-coupler, we performed extensive experiments to demonstrate its ultra-high PW-SPP conversion efficiency. In the microwave regime, metals behave as perfect electric conductors and do not support natural SPPs. Therefore, we designed and fabricated a mushroom structure\(^2\) (see the inset to Figure 3b for its unit-cell geometry) that supported spoof SPPs to mimic a plasmonic metal.

The FEM-simulated dispersion relation of the spoof SPPs on the mushroom structure is depicted as a solid red line in Figure 3b. To excite such spoof SPPs, we followed the scheme shown in Figure 1d to place our meta-coupler at an optimized distance $d_L = 10$ mm above the mushroom structure and then illuminated the meta-coupler with a PW incident at an angle of $\theta_i = 8^\circ$. We next placed a monopole antenna at a position 50 mm away from the coupler boundary to measure the local electric field of the SPP on the mushroom structure (Figure 3a).

The open squares in Figure 3d illustrate how the measured SPP intensity ($\propto |E_z|^2$) varied as a function of frequency when the incident PW power was held constant. The measured SPP spectrum (open squares in Figure 3d) shows a pronounced peak at $f_0 = 9.2$ GHz, where the resonant condition

$$k_{\text{SPP}} = k_0 \sin \theta_i + \zeta$$

is satisfied. To ensure that the measured $|E_z|$ field indeed originated from the excited SPP wave, we performed NF scanning measurements\(^3\) to map the Re($E_z$) field distribution on the ‘plasmonic metal’. The Re($E_z$) pattern depicted in Figure 3c represents a very well-defined spoof SPP with a parallel wavevector of $k_{\text{SPP}} = 2\pi/\lambda_{\text{SPP}} = 216.7 m^{-1}$, which is in good agreement with the theoretically calculated value ($k_{\text{SPP}} = 210.3 m^{-1}$) at this frequency. Moreover, when we removed the meta-coupler from the mushroom structure and repeated the same measurement under the same illumination, we could not detect any signals on the mushroom structure.

Figure 3d shows that our meta-coupler exhibits a finite working frequency bandwidth (8.8–9.5 GHz), although it was designed for a target frequency of 9.2 GHz. This is because the meta-coupler is of finite size, and thus, the resonance condition given in Equation (3) need not be strictly satisfied. We retrieved the $k_{||}$ values for the SPP waves excited at frequencies other than 9.2 GHz by means of the NF measurements and found that they agreed very well with the theoretical calculations (open circles in Figure 3b). Meanwhile, the peak SPP excitation frequency $f_0$ could also be tuned by changing the incident angle $\theta_i$ in accordance with Equation (4); this behavior provided an alternative means of measuring the SPP dispersion relation.

Assuming that $\xi$ was insensitive to frequency, we experimentally studied how $f_0$ varied as a function of $\theta_i$ and then used Equation (4) to retrieve the SPP dispersion relation. The open stars represent the data obtained using this technique (see Supplementary Section 5 for the raw experimental data), which were found to agree well with both the FEM calculation and the direct NF characterization.

The performance of our meta-coupler is significantly superior to those of other available spoof-SPP couplers\(^4,5,6\) in the microwave regime. To ensure a fair comparison, we substituted a series of commonly used devices into our experimental setup in place of our meta-coupler and repeated the measurements in exactly the same configuration (with both the ‘plasmonic metal’ and the excitation PW unchanged). The other tested devices included a grating coupler, a blade coupler and a side coupler based on a reflective gradient meta-surface (see Supplementary Section 6 for the details of these devices).

As shown in Figure 3d, the meta-coupler proposed in this paper exhibited the highest SPP excitation efficiency among all devices studied. In particular, the efficiency of our meta-coupler was found to be several times higher than those achieved by the grating and blade couplers. Compared with the side coupler, which already exhibits a reasonable conversion efficiency, the enhancement achieved by our meta-coupler is not as remarkable. However, the efficiency of the proposed meta-coupler is insensitive to the size of the wavefront of the input PW owing to its decoupling-free characteristics, whereas the efficiency achieved by the side coupler decreases significantly as the size of the input wavefront increases\(^7\), as demonstrated by our FEM simulations (Supplementary Section 7).

Finally, we did not perform an experimental comparison with a prism coupler\(^8\) because such a device is extremely bulky in size, meaning that a comparison of such a device with the other ultra-thin devices considered here would not be very meaningful. However, numerical simulations (Supplementary Section 1) indicate that the performance of a prism coupler is much worse than that of our meta-coupler.
The proposed device consists of a transparent gradient metasurface placed at an optimized distance above the target plasmonic metal. This new configuration resolves the key issues (i.e., initial reflection and SPP decoupling effects) encountered in previous SPP couplers that can degrade their efficiencies, resulting in an ideal working efficiency of ~94%, as predicted by model calculations. As a practical realization of the proposed concept, we designed and fabricated a microwave spoof-SPP coupler and experimentally demonstrated that its working efficiency is ~73%, which is much higher than those of all other available devices operating in this frequency domain. The presented device functions only for one polarization, but extensions to all-polarization SPP excitation are straightforward. Our novel concept can motivate the fabrication of high-performance plasmonic devices and applications related to spoof SPPs in the low-frequency regime ranging from the GHz to the middle infrared region. Although attempts to directly scale the present design to the optical regime might face fabrication challenges and inevitable issues of metal loss, recent remarkable advances in dielectric metasurfaces have enabled the possibility of realizing our concept in the high-frequency domain, and a simple design for λ = 1.55 µm is presented as a first example (Supplementary Section 8).

Efficiency of the meta-coupler—far-field characterizations

We now quantitatively examine the working efficiency of our meta-coupler by means of FF measurements. As schematically depicted in the inset to Figure 4a, three channels are available to transfer the energy of the impinging PW: scattering to the FF, absorption, and conversion into SPPs (including the desired mode to the right side and that to the left side arising from high-order diffraction). The SPP conversion efficiency can be quantitatively estimated using the formula $g = 1 - R - A$, where $R$ and $A$ describe the scattered and absorbed energies, respectively. To experimentally determine $R$, we first rotated the receiver antenna to measure the angular power distributions for waves scattered to all possible directions at different frequencies. Figure 4a shows the normalized angular distributions of the scattered FF waves at two typical frequencies. Although the scattering was very strong dominated by specular reflection at a frequency of $f = 8.2$ GHz (outside the working frequency band of our meta-coupler), the scattering was very small at the working frequency of $f = 9.2$ GHz, implying that a significant amount of the impinging energy had been transferred into SPPs. To accurately determine $R$, we next integrated the scattered power over all directions and normalized this integrated value with respect to the total input power, which was obtained by following exactly the same procedure with the system replaced by a metallic plate of the same size.

The measured $R$ spectrum is represented by open stars in Figure 4b, where a pronounced dip ($R \approx 20\%$) is observed at $f_0 = 9.2$ GHz, coinciding very well with the peak in the measured right-side SPP intensity spectrum, which is re-plotted here (open squares). Although the absorption, $A$, is difficult to measure directly, we employed SEM simulations to obtain an approximate estimation of 4% (see Supplementary Section 3) at the working frequency. Finally, we note that the energy carried by the SPP wave flowing to the left side is non-negligible (red circles in Figure 4b); a simple calculation shows that the right-side SPP accounts for $\chi = 96\%$ of the total SPP generated at the working frequency. Collecting all this information, we can use the formula $g_R = (1 - R - A) \chi$ to estimate that the SPP conversion efficiency of our device is 73% (with an error range of approximately ±2%) at the working frequency. This experimental value is quite close to the efficiency computed based on FF simulations of realistic structures (75%), in which material absorption is fully taken into account (see Figure 4c for the FF-computed field distribution). Although the demonstrated efficiency is already quite high, it can yet be further improved. For example, a more careful design could further enhance the overall transmission amplitude of the meta-coupler, which, in turn, could increase the final SPP conversion efficiency. In addition, using low-loss dielectric materials could also enhance the efficiency of our device by suppressing absorption.

CONCLUSIONS

We propose a new design concept for high-efficiency SPP couplers. The proposed device consists of a transparent gradient metasurface placed at an optimized distance above the target plasmonic metal. This new configuration resolves the key issues (i.e., initial reflection and SPP decoupling effects) encountered in previous SPP couplers that can degrade their efficiencies, resulting in an ideal working efficiency of ~94%, as predicted by model calculations. As a practical realization of the proposed concept, we designed and fabricated a microwave spoof-SPP coupler and experimentally demonstrated that its working efficiency is ~73%, which is much higher than those of all other available devices operating in this frequency domain. The presented device functions only for one polarization, but extensions to all-polarization SPP excitation are straightforward. Our novel concept can motivate the fabrication of high-performance plasmonic devices and applications related to spoof SPPs in the low-frequency regime ranging from the GHz to the middle infrared region. Although attempts to directly scale the present design to the optical regime might face fabrication challenges and inevitable issues of metal loss, recent remarkable advances in dielectric metasurfaces have enabled the possibility of realizing our concept in the high-frequency domain, and a simple design for $\lambda = 1.55$ µm is presented as a first example (Supplementary Section 8).

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