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Amorphous p-type conducting Zn-xIr oxide (x>0.13) thin films deposited by reactive magnetron co-sputtering

--Manuscript Draft--

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| Keywords: | zinc-iridium oxide; amorphous thin film; reactive magnetron co-sputtering; RMC-EXAFS; p-type conductivity |
| Section/Category: | CSW-2021 - Compound Semiconductors |
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| Author Comments: | We are aware that some of the results and conclusions in this manuscript overlap with previously published data. However, the reason for the overlap is to provide a comprehensive study, and most results in this article are not published elsewhere: •the results for samples deposited at 300 °C (XRD, Raman, electrical conductivity and thermoelectric measurements). The thermoelectric measurements of these samples suggest that the p-type conductivity in Zn-Ir-O films is thermally stable. This is explained in more detail in the revised manuscript. In addition, it is shown that the p-type conductivity is maintained over a wide range of Ir concentrations regardless of the deposition temperature (only low Ir concentrations in paper: Thin Solid Films 2017); •reverse Monte Carlo (RMC) approach has not previously been used to analyze EXAFS spectra at Zn K edge of Zn-Ir-O films. The RMC analysis clearly shows the local atomic structure around Zn ions and gives quantitative results on the increased structural disorder upon an increase in Ir concentration. For the first time, the XANES and EXAFS spectra at Zn K edge are shown over a wide Ir concentration range. In paper Mater. Chem. C 9 2021, 4948, only the study of the local atomic structure around Ir ions in ZnO thin films with different iridium content is conducted. |
We believe that the results on the local atomic structure and the electrical measurements of Zn-Ir-O films (up to 70 at.% Ir) deposited at elevated temperatures provide a reasonable contribution to a better understanding of the physics behind the p-type conductivity. In addition, to the best of our knowledge, the Hall effect measurements of pure IrO\(_2\) thin films performed in our study, cannot be found elsewhere. The explanation of the novelty has been improved in the revised manuscript.

**Additional Information:**

| Question                                                                 | Response                                                                                                                                                                                                 |
|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Please submit a plain text version of your cover letter here.            | Dear editor, we wish to submit a revised manuscript entitled "Amorphous p-type conducting Zn-xIr oxide (x>0.13) thin films deposited by reactive magnetron co-sputtering" for consideration by the Thin Solid Films. The authors are grateful to both reviewers and editor for useful comments that made it possible to improve the text of the article. We have made corrections in the text following the reviewers comments. All corrections throughout the manuscript are marked in yellow. The manuscript has been professionally proofread by PRS (Proof-reading-service). We confirm that this work is original and has not been published elsewhere nor is it currently under consideration for publication elsewhere. In this paper, for the first time we report on the structure and the optical and electrical properties of ZnO:Ir thin films in the full range of Zn-Ir concentrations as well at different deposition temperatures. This is significant because it is unknown whether it is possible to achieve p-type conductivity at different Ir contents and deposition temperatures, and how the type and the magnitude of conductivity are affected by the film structure. The paper should be of interest to readers in the areas of transparent conductive oxides and thin film deposition. Although doped ZnO thin films are promising n-type TCO materials, obtaining p-type ZnO thin films would be an important milestone in transparent electronics, allowing the production of wide band gap p-n homo-junctions. Please address all correspondence concerning this manuscript to me at purans@cfi.lu.lv. Thank you for your consideration of this manuscript. Sincerely, Dr. Prof. Juris Purans |
| Do you or any of your co-authors have a conflict of interest to declare? | No. The authors declare no conflict of interest. |
| This journal's Expects Data Policy requires a Data Availability Statement (even if no data are shared), which will be published alongside your manuscript if it is accepted for publication. | No. Research data are not shared. |
| Do you choose to share the research data described in this manuscript?    | |

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Response to Reviewers:

Response Letter
The authors are grateful to both reviewers and editor for useful comments that made it possible to improve the text of the article. We have made corrections in the text following the reviewers comments. All corrections throughout the manuscript are marked in yellow.

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The authors should also carefully check the language, which needs to be carefully revised.

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The language is carefully revised by the authors.

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Keywords: zinc-iridium oxide, amorphous thin film, reactive magnetron co-sputtering, XAS, RMC-EXAFS, $p$-type conductivity

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1. Introduction
To develop transparent electronics, it is still essential to search for reliable transparent $p$-type semiconductors. Kawazoe et al.\cite{1} reported the $p$-type conductivity in the highly transparent thin film of copper aluminium oxide. Since this publication, a variety of $p$-type transparent conductive oxides ($p$-TCOs) have been investigated. Despite these results, their insufficient transparency and low conductivity continue restricting the technological applications of the $p$-TCOs.\cite{2-3} A possible solution is to rely on precious metal-based transparent oxides. Several of such materials have been shown to exhibit the $p$-type conductivity, including polycrystalline Zn$M_2$O$_4$ ($M=$ Ir, Rh, Co) films.\cite{4-6}

It has been shown that the conductivity type of zinc-iridium oxide (Zn-Ir-O) films changes from $n$- to $p$-type by increasing an Ir concentration from 12 to 16 at.\%.\cite{7} However, changes in the local structure of Zn upon an increase in Ir concentration have not been evaluated. In addition, the stability of $p$-type conductivity to changes in composition or in high temperatures has not been tested. According to DFT calculations performed in Ref.\cite{8}, IrO$_x$ ($x=4,5,6$) complexes show $p$-type conductivity with the Fermi level up to 0.8 eV from the valence band maximum (VBM) if the Ir concentration is greater than 12.5\%. The theoretical calculations of the substitution defects of Ir$^{2+}$Zn and Ir$^{3+}$Zn in Ir-doped ZnO predict localized energy states in the band gap which would reduce the transmittance of ZnO:Ir films.\cite{9,10} The decrease of visible light transmittance in Zn-Ir-O with Ir concentration has been observed experimentally.\cite{11,12} The structural analysis of doped IrO$_2$ electrocatalysts with the general composition of Ir$_{1-x}$M$_x$O$_2$ ($M=$ Co, Ni and Zn, 0.05≤x≤0.2) prepared by a hydrolysis method shows that the doping elements enter the lattice positions in rutile structure of iridium dioxide.\cite{13} Analysis of the local structure of the catalysts based on EXAFS shows that the dopant cations are not homogeneously distributed but have a tendency to form clusters. Zn-Ir-O has hardly ever been systematically investigated in a wide Ir concentration range. Therefore, in this study we employ XRD, XAS and Raman techniques to investigate the structure of Zn-Ir-O films (up to ≈ 70 at.% Ir) deposited by reactive DC magnetron co-sputtering.
Reverse Monte Carlo (RMC) simulations of the Zn K-edge EXAFS spectra are performed to study the local structure of Zn ions. The electrical conductivity as well as the thermoelectric measurements are also presented to determine the electrical conductivity of the films and to observe the transition from $n$-type to $p$-type conductivity. To evaluate the structure of films deposited at higher temperatures and the thermal stability of $p$-type conductivity, the films are also deposited at 300 °C.

2. Experimental details

Zn-Ir-O as well as pure ZnO$_x$ and IrO$_{2-x}$ thin films were deposited on soda-lime glass, Ti and polyimide type substrates by reactive DC magnetron co-sputtering in an Ar (20 sccm) + O$_2$ (10 sccm) atmosphere (10 mTorr working pressure). Two types of sputtering methods were used to deposit the studied films. Films of the first type (mainly with high Ir concentration) were deposited by sputtering a metallic Zn (99.95 wt%) target with Ir (99.6 wt%) pieces on the target erosion zone. Ir concentration in the films was varied by changing Ir amount on the Zn target erosion zone. Samples of the second type (mainly with low Ir concentration) were deposited by sputtering metallic Zn (99.95 wt%) and Ir (99.6 wt%) targets simultaneously. The power on the Ir target was used as a composition control parameter. Detailed experimental procedures of both methods can be found in Ref. [7] and [14]. Elemental analysis of the films was carried out by an X-ray fluorescence spectrometer (XRF), Eagle III. Because with XRF it is difficult to quantify elements lighter than sodium, our measurements show only the Ir to Zn atomic concentration ratio. To determine the influence of the substrate temperature on the film's structure and properties, two sets of samples were deposited: one set without intentional substrate heating during the deposition and the second one with the additional heating at a temperature of 300 °C. All the studied samples together with the deposition parameters are summarized in Table 1.

Table 1. Deposition parameters, thickness and Ir/Zn atomic concentration ratio of the studied Zn-Ir-O films on glass, Ti, and polyimide substrates.
| Sample | Sputtering target(s) | Sputtering power (W) | Ir area on the Zn target erosion zone (%) | Thickness (nm) | Substrate temperature (°C) | Ir/Zn atomic concentration ratio (%) |
|--------|----------------------|----------------------|------------------------------------------|---------------|----------------------------|-------------------------------------|
| ZnO    | Zn                   | 200                  | -                                        | 388           | Not heated                 | 0.0                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 6 (Ir)     | -                                        | 566           | Not heated                 | 1.7                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 10 (Ir)    | -                                        | 581           | Not heated                 | 3.0                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 20 (Ir)    | -                                        | 659           | Not heated                 | 5.1                                 |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈0.7                                     | 393           | Not heated                 | 7.0                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 40 (Ir)    | -                                        | 752           | Not heated                 | 12.4                                |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 70 (Ir)    | -                                        | 778           | Not heated                 | 16.1                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈3.0                                     | 308           | Not heated                 | 29.4                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈5.8                                     | 244           | Not heated                 | 33.6                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈9.6                                     | 141           | Not heated                 | 44.6                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈12.2                                    | 121           | Not heated                 | 53.5                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈14.6                                    | 95            | Not heated                 | 67.4                                |
| IrO<sub>2</sub> | Ir                  | 100                  | -                                        | 108           | Not heated                 | 100.0                               |
| ZnO    | Zn                   | 200                  | -                                        | 388           | 300                        | 0.0                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 6 (Ir)     | -                                        | 165           | 300                        | 2.3                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 10 (Ir)    | -                                        | 164           | 300                        | 3.2                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 15 (Ir)    | -                                        | 193           | 300                        | 5.6                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 25 (Ir)    | -                                        | 233           | 300                        | 8.0                                 |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 40 (Ir)    | -                                        | 190           | 300                        | 13.8                                |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 70 (Ir)    | -                                        | 282           | 300                        | 19.6                                |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 90 (Ir)    | -                                        | 226           | 300                        | 24.1                                |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 110 (Ir)   | -                                        | 286           | 300                        | 33.0                                |
| Zn-Ir-O| Zn and Ir            | 200 (Zn), 130 (Ir)   | -                                        | 281           | 300                        | 36.9                                |
| Zn-Ir-O| Ir pieces on Zn      | 100                  | ≈14.6                                    | 185           | 300                        | 61.5                                |
| IrO<sub>2</sub>x | Ir                  | 100                  | -                                        | 176           | 300                        | 100.0                               |

The XRD measurements were done on a PANalytical X’Pert PRO diffractometer equipped with the Cu anode X-ray tube and a multichannel solid-state detector. The Zn K-edge (9659 eV) and Ir L<sub>3</sub>-edge (11215 eV) X-ray absorption spectra (XAS) were measured in transmission mode at the SOLEIL synchrotron bending-magnet beamline Samba<sup>[15]</sup> at ambient conditions. More details of the experiment can be found in Ref. [7]. Raman spectroscopy measurements were
performed at room temperature by a SPEX1403 monochromator with multichannel detectors and an inVia Renishaw Raman microscope. Both an Ar laser (514.5 nm) and YAG second harmonics laser (532 nm) were used as the excitation source. The electrical properties of the films were investigated by Hall effect measurement system HMS5000 at room temperature. To determine the sign of the Seebeck coefficient, the thermoelectric measurements in plane were performed by a self-assembled measurement system. More details of the system can be found in Ref.\cite{7}.

3. Results and discussion

3.1. XRD measurements

The X-ray diffractograms of the Zn-Ir-O films are shown in Figure 1. It can be clearly seen that the crystallinity of the films deteriorates when Ir concentration is increased. Pure ZnO, films contain nano-crystallites with the structure of wurtzite type ZnO ($w$-ZnO). This structure is observed even for the not-heated samples due to the rapid crystallisation of ZnO at room temperature. An X-ray amorphous structure of ZnO can be obtained if a deposition is performed at cryogenic temperatures.\cite{16} The nano-crystallites have a preferred (002) orientation in the direction of $c$-axis. The preferred orientation decreases with the Ir concentration and an additional (101) maximum appears. At this point, both (002) and (101) diffraction maximums are shifted toward lower angles compared to the $w$-ZnO reference X-ray diffractogram (PDF card No.: 01-070-8072). The further increase of the Ir concentration changes the structure from nano-crystalline to X-ray amorphous. The nano-crystalline structure is preserved in the larger Ir concentration range if the substrate temperature is 300 °C during the deposition. It has been previously shown that the Zn-Ir-O films become completely amorphous in the Ir concentration range from 7 to 16 at.% if the substrates are not heated intentionally.\cite{7} The X-ray amorphous structure is preserved up to the pure IrO$_{2-x}$ film for the non-heated samples. In turn, two shifted diffraction maxima, which correspond to the (110) and (200) planes of rutile IrO$_2$ ($r$-IrO$_2$)
structure (PDF card No.: 00-015-0870), appear for the pure IrO$_2$ film that was deposited on the heated substrate.

![Normalized XRD intensity (arb. units)](image)

**Figure 1.** X-ray diffractograms of the Zn-Ir-O films at different Ir concentrations both for heated (300 °C) and non-heated samples during the deposition.

### 3.2. XAS measurements

X-ray absorption spectroscopy (XAS) is one of the most important techniques for investigations of the local atomic structure and oxidation state of the atom of interest in a broad range of materials, including the X-ray amorphous structures. In this work, we have analysed both the X-ray absorption near-edge structure (XANES) and extended X-ray absorption fine structure (EXAFS) parts of XAS spectra, collected at Ir L$_3$-edge and Zn K-edge. Here XANES spectra, extending up to ca. 40 eV above the absorption edge, are very sensitive to the charge density (i.e., the evolution of the oxidation state) and bonding motifs around the absorbing atom. EXAFS spectra, in turn, extending from ca. 40 eV up to 1 keV above the absorption edge, are important for the determination of neighbouring atoms distribution.[17-20]

Zn K-edge XANES spectra (**Figure 2(a)**) for all Zn-Ir-O samples align well with the reference spectrum for bulk w-ZnO, suggesting 2+ oxidation state for Zn in Zn-Ir-O films, and the presence of ZnO$_4$ tetrahedra as the main structural units. However, XANES spectra for our thin
films appear relatively featureless with respect to that of bulk ZnO, suggesting a strongly disordered environment for Zn species. The changes in the relative intensity of the main Zn K-edge XANES features upon an increase in Ir concentration reflect the different degree of distortion of ZnO$_4$ tetrahedra.[21]

Collected Ir L$_3$-edge XANES spectra (Figure 2(b)) for Zn-Ir-O films show a systematic shift of the main feature toward higher energies upon increased Ir concentration, which can be linked to the changes in the density of available vacant states in the $d$-orbital for the excited 2p$_{1/2}$ electrons, and suggests an effective increase of Ir oxidation state.[19, 22, 23]

Figure 2. Zn K-edge (a) and Ir L$_3$-edge (b) XANES spectra of the Zn-Ir-O films with different Ir atomic concentrations. XANES spectra of reference compounds – polycrystalline bulk $w$-ZnO taken from Ref. [24] and the amorphous ($a$-) IrO$_{2-x}$ film deposited in this study – are also shown.

Figure 3. Moduli of the FT-EXAFS spectra at Zn K-edge (a) and Ir L$_3$-edge (b) for the Zn-Ir-O films and reference compounds – polycrystalline bulk $w$-ZnO taken from Ref. [24] and $r$-IrO$_2$.
Further insight into the local structure of Zn and Ir species is provided by the analysis of EXAFS spectra, shown in Figures 3(a) and 3(b), respectively. In the case of Zn K-edge EXAFS, Fourier-transformed (FT) spectra are dominated by the contribution of first coordination shell (Zn-O bonds). Similar position and the intensity of the main FT-EXAFS peak in all Zn-Ir-O samples confirm that 4-coordinated Zn species with Zn-O bond length similar to that in $w$-ZnO are the main structural units in our thin films, in agreement with XANES results. The slight decrease in the intensity of FT-EXAFS peaks upon an increase in Ir concentration suggests an increase in structural disorder (Debye-Waller factors).

Strong structural disorder results also in suppression of more distant FT-EXAFS peaks. Figure 3(a) highlights the fundamental difference between the samples with higher Ir content and that with 7.0 at.% Ir. Only in the sample with the lowest Ir concentration we observed presence of significant 2nd and 3rd peaks in FT-EXAFS, which resemble those in $w$-ZnO, suggesting that wurtzite-type structure is locally preserved in this sample. No such peaks were observed for Zn-Ir-O peaks with higher Ir loading, suggesting their amorphous nature. We emphasize here also the lack of significant Zn-Ir bond contributions in our EXAFS data.

To fit the contributions of distant coordination shell, we employ reverse Monte Carlo (RMC) method, as described in Ref. [25] and implemented within EvAX code.[26] Here EXAFS spectra are fitted in an iterative stochastic process, where we start with ideal $w$-ZnO structure, and introduce small random displacements in the positions of all atoms within $4\times4\times4$ ZnO supercell with periodic boundary conditions, until a good agreement is obtained between experimental Zn K-edge EXAFS spectrum and simulated spectrum for the structure model. The size of the supercell was chosen based on our previous experience with RMC simulations for bulk ZnO.
and other oxides, see Refs. [25-26], and is chosen to be large enough to ensure proper averaging of calculated EXAFS spectra and avoid artifacts due to periodic boundary conditions employed, but, at the same time, to ensure reasonably fast convergence of RMC method. For EXAFS spectra calculations we use FEFF8.5 code [27] and include multiple-scattering effects with up to 7 scattering events. See Ref. [25] for more details. The advantage of RMC approach in comparison to conventional EXAFS fitting is that it allows fitting of contributions of distant coordination shells, but also, crucially, allows fitting of EXAFS spectra for strongly distorted structures, where the conventional EXAFS fitting, which commonly relies on the assumption that the bond length distribution has near-Gaussian shape, results in significant systematic errors [28].

The results of RMC fitting for Zn K-edge EXAFS spectra are shown in Figure 4(a) and 4(b). One needs to emphasize here that due to limited information available from EXAFS spectra of our disordered thin films, the obtained structure model is not unambiguous (especially for Ir-rich thin films, where only the first coordination shell contribute significantly to the experimental data). Nevertheless, the good agreement between simulated and experimental EXAFS spectra confirms that the ZnO$_4$ tetrahedral units are the main Zn species in all the samples, and we expect also that our simulations provide reliable information on the shape of the radial distribution function (RDF) at least for the first coordination shell (Figure 4(c)).

The obtained RDFs show increasingly asymmetric and broadened shape for Zn-O RDF upon an increase in the Ir concentration. This is also reflected in the increased average Zn-O interatomic distance and increased disorder factor, calculated from the atomic coordinates in the final RMC model (Table 2).
Figure 4. RMC fitting results for Zn K-edge EXAFS for Zn-Ir-O films with different Ir content. Comparison of experimental spectra with the ones calculated for the final RMC model in \( k \)-space after Fourier-filtering (a) and in \( R \)-space after Fourier transformation (b). The final structure model for the sample with 7.0 at.% Ir is shown in the inset. Partial RDFs for Zn-O and Zn-Zn bonds (c). Spectra and RDFs are shifted vertically for clarity.

Table 2. Average Zn-O interatomic distances and corresponding disorder factor (Debye-Waller factor \( \sigma^2 \)) for the Zn-Ir-O films as obtained from RMC-EXAFS analysis and Zn K-edge. Uncertainties of the last digit are given in parentheses.

| Ir concentration (at.%) | \( R \) (Å)   | \( \sigma^2 \) (Å\(^2\)) |
|-------------------------|--------------|---------------------------|
| 7.0                     | 1.991(1)     | 0.0081(1)                 |
| 16.1                    | 1.995(1)     | 0.0099(2)                 |
| 29.4                    | 1.995(1)     | 0.0119(2)                 |
| 53.5                    | 1.995(1)     | 0.014(1)                  |
| 67.4                    | 1.996(1)     | 0.0140(1)                 |

3.3. Raman spectroscopy

The Raman spectra of the Zn-Ir-O films are shown in Figure 5. For the pure ZnO\(_x\) films, the spectra contain the characteristic \( w \)-ZnO vibration bands – \( A_1^{LO} \), \( E_2^{high} \), and \( E_2^{low} \). The bands...
disappear with the addition of Ir, although some of them are still noticeable for the heated films up to 3.2 Ir at.%. 

After the Ir incorporation into the film structure, a wide but intense band appears around 720 cm⁻¹, which was for the first time detected in Ref. [14]. It is still unclear what kind of vibrations cause the band; however, it might be attributed to a peroxide ion (O₂⁻²⁻) stretching with a proper O-O distance. [29] The 720 cm⁻¹ band begins to overlap with a wide band formed at lower frequencies for the not-heated film with 29.4 Ir at.%. At 44.6 Ir at.% the 720 cm⁻¹ band is completely blurred and a wide band has been formed in the range from 300 to 700 cm⁻¹. The spectrum remains unchanged up to the pure α-IrO₂-x film without appearance of additional bands.

For the heated films, the 720 cm⁻¹ band is well detectable up to 36.9 Ir at.%. A wide vibration band around 545 cm⁻¹, which can be attributed to the vibration mode E_g of r-IrO₂, is noticeable in the spectrum for the film with 61.5 Ir at.%. It can be concluded that the heated Zn-Ir-O films with the Ir concentration above 61.5 at.% contain IrO₂ nanocrystallites. The spectrum of the pure IrO₂-x film contains the vibration band E_g and the overlapped bands B₂g and A₁g. The vibration bands are wider compared to the spectrum of polycrystalline r-IrO₂ indicating the lower degree of crystallinity.
**Figure 5.** Raman spectra of the Zn-Ir-O, ZnO$_x$, and IrO$_{2-x}$ films and the reference compound – polycrystalline bulk r-IrO$_2$ taken from Ref. [20].

### 3.4. Electrical properties

The conductivity of the films was measured at a constant temperature of 300 K. The resistivity of the films with low Ir concentration (< 12.4 at.% for the non-heated samples and < 8.0 at.% for the heated samples) is extremely large and exceeds the measurable range. The goal of the Zn-Ir-O deposition was to achieve transparent $p$-type conducting thin films. A relatively high oxygen partial pressure was used in the deposition process to prevent the formation of zinc interstitials, which are donor type defects in the ZnO structure and the possible source of spontaneous $n$-type conductivity. Apparently, the ZnO doping with Ir does not create appropriate defects in the film's structure to sufficiently elevate the conductivity. However, the resistivity in the measurable range decreases exponentially with the Ir concentration (**Figure 6**). The resistivity of the heated films seems to be slightly lower compared to that of the non-heated films.

The Hall effect measurements, except for the heated IrO$_{2-x}$ film, could not be performed, because it was not possible to accurately detect the Hall voltage. For the heated IrO$_{2-x}$ film, the Hall effect measurement shows that it is a $p$-type conductor with the hole concentration of $4.8 \times 10^{22}$ cm$^3$ and the mobility of 0.5 cm$^2$V$^{-1}$s$^{-1}$. The relatively low hole mobility in the IrO$_{2-x}$ film suggests that it might be even lower for the Zn-Ir-O films and could explain why the Hall effect measurements were unsuccessful.
Thermoelectric measurements were performed to determine the conductivity type of the Zn-Ir-O films. The Seebeck voltage was measured by varying temperature difference from -5 to 5 K, and the Seebeck coefficients were calculated from the slopes of the obtained linear relations. The Seebeck coefficients of the films are plotted in Figure 7. Regardless of whether the films were heated or not during the deposition process, a transition from \(n\)- to \(p\)-type conductivity was observed upon an increase in the Ir concentration. The films are \(n\)-type conductors below \(\approx 13\) Ir at.%. Above this value all the films are \(p\)-type conductors.
Figure 7. Seebeck coefficients of the deposited Zn-Ir-O films as a function of iridium concentration. The error margins of measured values are denoted by error bars or inside the symbols.

The transition suggests that there are several competing conductivity mechanisms and sources of charge carriers in Zn-Ir-O. ZnO is known from its tendency to exhibit spontaneous n-type semi-conductivity. Moreover, when properly doped, it can be transformed into a material with metallic conductivity and high visible light transmission.\cite{30,31} Despite the reports that p-type doped ZnO has been produced in some experimental works \cite{32}, theoretical studies conclude that it is almost impossible to achieve p-type conductivity in ZnO.\cite{33} Even if holes are formed in ZnO, they are quenched by charge compensating ionic defects. From our XRD and XAS measurements, it is reasonable to assume that below \( \approx 13 \) Ir at.% the nano-crystalline w-ZnO phase is still present in the Zn-Ir-O films, which would be favourable for the n-type conductivity. If the Ir concentration is increased, the structure of the films becomes amorphous. At least for the heated films, the Raman spectroscopy results show an existing IrO\(_2\) phase in the Zn-Ir-O films already at \( \approx 60 \) Ir at.%. Pure IrO\(_2\) films are p-type conductors, and this fact is supported by the Hall effect measurement of the heated IrO\(_{2-x}\) film in this study.

4. Conclusion

In this study the structure and the electrical properties of zinc-iridium oxide (Zn-Ir-O) thin films with various iridium concentrations were investigated. The films were deposited by reactive DC magnetron co-sputtering. The Zn-Ir-O structure changes from nano-crystalline to amorphous with the Ir concentration as confirmed by both XAS and XRD measurements; however, the nano-crystalline phase is preserved in a wider Ir concentration range if the substrates are heated during the deposition. XAS data also show that for amorphous films further increase in the Ir content leads to more disordered structures around Zn ions. Tetrahedral coordination of Zn ions was identified by XANES analysis and confirmed by RMC simulations.
of the Zn K-edge EXAFS spectra as the predominant complex. It was found that the heated film
structure contains r-IrO$_2$ nanocrytallites above $\approx$60 Ir at.%. After Ir incorporation into the film
structure, the intense Raman band appears at 720 cm$^{-1}$, which then is blurred upon an increase
in the Ir concentration. The transition from $n$- to $p$-type conductivity was observed, when the Ir
concentration was increased up to $\approx$13 Ir at.% for both non-heated and heated films. Above 13
Ir at.% all the films are $p$-type conductors. The thermoelectric measurements together with the
Hall effect measurements of pure IrO$_2$, show that the $p$-type conductivity in Zn-Ir-O films is
stable at least up to 300 °C and in the wide range of Ir content (13–100 at.% Ir).

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ToC figure ((Please choose one size: 55 mm broad × 50 mm high or 110 mm broad × 20 mm high. Please do not use any other dimensions))
Amorphous \textit{p}-type conducting Zn-xIr oxide ($x>0.13$) thin films deposited by reactive magnetron co-sputtering

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Keywords: zinc-iridium oxide, amorphous thin film, reactive magnetron co-sputtering, XAS, RMC-EXAFS, \textit{p}-type conductivity

Zinc-Iridium Oxide (Zn-Ir-O) thin films have been demonstrated as a \textit{p}-type conducting material. However, the origin of \textit{p}-type conductivity is still unclear. This research focuses on the structure and the electrical properties of Zn-Ir-O films in the large Ir concentration range. The films are deposited by reactive DC magnetron co-sputtering. Additionally, two different substrate temperatures are used – without intentional heating and 300 °C. The structure of the films becomes X-ray amorphous by increasing the Ir concentration, and structural disorder increases upon further increase in Ir content. The nano-crystalline \textit{w}-ZnO structure remains in the wider Ir concentration range if a substrate is heated. At low Ir concentration range, the transition from \textit{n}- to \textit{p}-type conductivity is observed regardless whether the substrates are heated or not. Electrical resistivity decreases exponentially with the Ir concentration in the Zn-Ir-O films and it is slightly lower in the case of the heated films.
1. Introduction

In order to develop transparent electronics, it is still essential to search for reliable transparent 
$p$-type semiconductors. Kawazoe et al.\cite{1} reported the $p$-type conductivity in the highly 
transparent thin film of copper aluminium oxide. Since this publication, a variety of $p$-type 
transparent conductive oxides ($p$-TCOs) have been investigated. Despite these results, their 
insufficient transparency and low conductivity continue to restrict the technological 
applications of the $p$-TCOs.\cite{2-3} Several precious metal-based transparent oxides have also 
shown the $p$-type conductivity, including polycrystalline Zn$M$_2O$_4$ ($M =$ Ir, Rh, Co) films.\cite{4-6}

It has been shown that the conductivity type of zinc-iridium oxide (Zn-Ir-O) films changes from 
$n$- to $p$-type by increasing an Ir concentration from 12 to 16 at.%.\cite{7} However, a conductivity 
mechanism and the origin of the transition are unknown. According to DFT calculations 
performed in Ref.\cite{8} IrO$_x$ ($x = 4,5,6$) complexes show $p$-type conductivity with the Fermi level 
up to 0.8 eV from the valance band maximum (VBM) if the Ir concentration is greater than 
12.5%. The theoretical calculations of the substitution defects of Ir$^{2+}$Zn and Ir$^{3+}$Zn in Ir-doped 
ZnO predict localized energy states in the band gap which would reduce the transmittance of 
ZnO:Ir films.\cite{9,10} The visible light transmittance decrease in Zn-Ir-O with Ir concentration has 
been observed experimentally.\cite{11,12} The structural analysis of doped IrO$_2$ electrocatalysts with 
the general composition of Ir$_{1-x}M$xO$_2$ ($M =$ Co, Ni, and Zn, 0.05 $\leq x \leq$ 0.2) prepared by a 
hydrolysis method shows that the doping elements enter the lattice positions in rutile structure 
of iridium dioxide.\cite{13} Analysis of the local structure of the catalysts based on EXAFS shows 
that the dopant cations are not homogeneously distributed but have a tendency to form clusters. 
Zn-Ir-O has hardly ever been studied in a wide Ir concentration range. In this paper, XRD, XAS 
and Raman techniques are used to investigate the structure of Zn-Ir-O films deposited by 
reactive DC magnetron co-sputtering. The electrical conductivity as well as the thermoelectric
measurements are also presented to determine the electrical conductivity and transition from $n$-type to $p$-type of the films.

2. Experimental details

Zn-Ir-O as well as pure ZnO$_x$ and IrO$_2$ thin films were deposited on soda-lime glass, Ti and polyimide type substrates by reactive DC magnetron co-sputtering in an Ar (20 sccm) + O$_2$ (10 sccm) atmosphere (10 mTorr working pressure). Two types of sputtering methods were used to deposit the studied films in this paper. Part of the films (mainly at high Ir concentration) were deposited by sputtering a metallic Zn (99.95 wt%) target with Ir (99.6 wt%) pieces on the target erosion zone. An Ir concentration was varied by its amount on the Zn target erosion zone. The second part of the samples (mainly at low Ir concentration) were deposited by sputtering metallic Zn (99.95 wt%) and Ir (99.6 wt%) targets simultaneously. The power on the Ir target was used as a composition control parameter. A detailed experimental procedure of both methods can be found in Ref. [7] and [14]. An elemental analysis of the films was carried out by an X-ray fluorescence spectrometer (XRF), Eagle III. Due to the fact that with XRF it is difficult to quantify elements lighter than sodium, our measurements include only the Ir to Zn atomic concentration ratio. To determine the influence of a substrate temperature on the films structure and properties two sets of samples were deposited: one set without intentional substrate heating during the deposition and the second one with the additional heating at a temperature of 300 °C.

All the studied samples in this paper together with the deposition parameters are summarized in Table 1.

Table 1. Deposition parameters, thickness and Ir/Zn atomic concentration ratio of the studied Zn-Ir-O films on glass, Ti, and polyimide substrates.

| Sample  | Sputtering target(s) | Sputtering power (W) | Ir area on the Zn target erosion zone (%) | Thickness (nm) | Substrate temperature (°C) | Ir/Zn atomic concentration ratio (%) |
|---------|----------------------|----------------------|------------------------------------------|----------------|---------------------------|----------------------------------|
| ZnO$_x$ | Zn                   | 200                  | -                                        | 388            | Not heated                | 0.0                              |
The XRD measurements were done on a PANalytical X’Pert PRO diffractometer equipped with the Cu anode X-ray tube and a multichannel solid-state detector. The Zn K-edge (9659 eV) and Ir L₃-edge (11215 eV) X-ray absorption spectra (XAS) were measured in transmission mode at the SOLEIL synchrotron bending-magnet beamline Samba [15] in ambient conditions. More details of the experiment can be found in Ref. [7]. Raman spectroscopy measurements were performed at room temperature by a SPEX1403 monochromator with multichannel detectors and an inVia Renishaw Raman microscope. Both an Ar laser (514.5 nm) and YAG second
harmonics laser (532 nm) were used as the excitation source. The electrical properties of the films were investigated by Hall effect measurement system HMS5000 at room temperature. To determine the sign of the Seebeck coefficient the thermoelectric measurements in plane were performed by a self-assembled measurement system. More details of the system can be found in Ref.\cite{7}.

3. Results and discussion

3.1. XRD measurements

The X-ray diffractograms of the Zn-Ir-O films are shown in Figure 1. It is clearly seen that the crystallinity of the films deteriorates when an Ir concentration is increased. Pure ZnO films contain nano-crystallites with the structure of wurtzite type ZnO (w-ZnO). This structure is observed even for the not-heated sample due to the rapid crystallisation of ZnO at room temperature. An X-ray amorphous structure of ZnO can be obtain if deposition is performed at cryogenic temperatures\cite{16}. The nano-crystallites have a preferred (002) orientation in the direction of c-axis. The preferred orientation decreases with the Ir concentration and an additional (101) maximum appears. At this point, both (002) and (101) diffraction maximums are shifted towards lower angles compared to the w-ZnO reference X-ray diffractogram (PDF card No.: 01-070-8072). The further increase of the Ir concentration changes the structure from nano-crystalline to X-ray amorphous. The nano-crystalline structure remains in the larger Ir concentration range if the substrate temperature is 300 °C during the deposition. It is previously shown that the Zn-Ir-O films become completely amorphous in the Ir concentration range from 7 to 16 at.% if the substrates are not heated intentionally.\cite{7} The X-ray amorphous structure remains up to the pure IrO$_2$ film for the not-heated samples. Two shifted diffraction maximums, which correspond to the (110) and (200) planes of rutile IrO$_2$ (r-IrO$_2$) structure (PDF card No.: 00-015-0870), appear for the pure IrO$_2$ film, which was deposited on the heated substrate.
**Figure 1.** X-ray diffractograms of the Zn-Ir-O films at different Ir concentrations for both heated (300 °C) and not-heated samples during the deposition.

### 3.2. XAS measurements

X-ray absorption spectroscopy (XAS) is the one of the most important techniques for investigations of the local atomic structure, and oxidation state of the atom of interest in a broad range of materials, including the X-ray amorphous structures. In this work, we have analysed both the X-ray absorption near-edge structure (XANES) and extended X-ray absorption fine structure (EXAFS) parts of XAS spectra, collected at Ir L₃-edge and Zn K-edge. Here XANES spectra, extending up to ca. 40 eV above the absorption edge, are very sensitive to the charge density (i.e., the evolution of the oxidation state) and bonding motifs around the absorbing atom. EXAFS spectra, in turn, extending from ca. 40 eV up to 1 keV above the absorption edge, are important for the determination of distribution of neighbouring atoms.¹⁷⁻²⁰

Zn K-edge XANES spectra (Figure 2(a)) for all Zn-Ir-O samples align well with the reference spectrum for bulk w-ZnO, suggesting 2+ oxidation state for Zn in Zn-Ir-O films, and the presence of ZnO₄ tetrahedra as the main structural units. However, XANES spectra for our thin films appear relatively featureless with respect to that of bulk ZnO, suggesting strongly disordered environment for Zn species. The changes in the relative intensity of the main Zn K-
edge XANES features upon an increase in Ir concentration reflect the different degree of distortion of ZnO4 tetrahedra.[21]

Collected Ir L3-edge XANES spectra (Figure 2(b)) for Zn-Ir-O films show systematic shift of the main feature toward higher energies upon increased Ir concentration, which can be linked to the changes in the density of available vacant states in the d-orbital for the excited 2p1/2 electrons, and suggests effective increase of Ir oxidation state.[19, 22, 23]

**Figure 2.** Zn K-edge (a) un Ir L3-edge (b) XANES spectra of the Zn-Ir-O films with different Ir atomic concentration and reference compounds – polycrystalline bulk w-ZnO taken from Ref. [24] and the amorphous (α-) IrO2-x film deposited in this study.

**Figure 3.** Modulus of the FT-EXAFS spectra at Zn K-edge (a) and Ir L3-edge (b) for the Zn-Ir-O films and reference compounds – polycrystalline bulk w-ZnO taken from Ref. [24] and r-IrO2 taken from Ref. [20]. (Note: distances in the FT-EXAFS do not correspond to the real distances, due to the phase shifts of the signal.)
Further insight into the local structure of Zn and Ir species is provided by the analysis of EXAFS spectra, shown in Figure 3(a) and 3(b), respectively. In the case of Zn K-edge EXAFS, Fourier-transformed (FT) spectra are dominated by the contribution of first coordination shell (Zn-O bonds). Similar position and the intensity of the main FT-EXAFS peak in all Zn-Ir-O samples confirm that 4-coordinated Zn species with Zn-O bond length similar to that in w-ZnO are the main structural units in our thin films, in agreement with XANES results. The slight decrease in the intensity of FT-EXAFS peaks upon an increase in Ir concentration suggests increase in structural disorder (Debye-Waller factors).

Strong structural disorder results also in suppression of more distant FT-EXAFS peaks. Figure 3(a) highlights the fundamental difference between sample with higher Ir content and that with 7.0 at.% Ir. Only in the sample with the lowest Ir concentration we observed presence of significant 2nd and 3rd peaks in FT-EXAFS, which resemble those in w-ZnO, suggesting that wurtzite-type structure is locally preserved in this sample. No such peaks were observed for Zn-Ir-O peaks with higher Ir loading, suggesting their amorphous nature. We emphasize here also the lack of significant Zn-Ir bond contributions in our EXAFS data.

To fit the contributions of distant coordination shell, we employ reverse Monte Carlo (RMC) method, as described in Ref. [25] and implemented within EvAX code. [26] Here the EXAFS spectra are fitted in an iterative stochastic process, where we start with ideal w-ZnO structure, and introduce small random displacements in the positions of all atoms within 4×4×4 ZnO supercell with periodic boundary conditions, until a good agreement is obtained between experimental Zn K-edge EXAFS spectrum and simulated spectrum for the structure model. For EXAFS spectra calculations we use FEFF8.5 code [27] and include multiple-scattering effects with up to 7 scattering events included. See Ref. [25] for more details. The advantage of RMC approach in comparison to conventional EXAFS fitting is that it allows fitting of contributions
of distant coordination shells, but also, crucially, allows fitting of EXAFS spectra for strongly
distorted structures, where the conventional EXAFS fitting, which commonly relies on the
assumption that the bond length distribution has near-Gaussian shape, results in significant
systematic errors.\[28\]

The results of RMC fitting for Zn K-edge EXAFS spectra are shown in Figure 4(a) and 4(b).
One needs to emphasize here that due to limited information available from EXAFS spectra of
our disordered thin films, the obtained structure model is not unambiguous (especially for Ir-
rich thin films, where only the first coordination shell contribute significantly to the
experimental data). Nevertheless, the good agreement between simulated and experimental
EXAFS spectra confirms that the ZnO$_4$ tetrahedral units are the main Zn species in all the
samples, and we expect also that our simulations provide reliable information on the shape of
the radial distribution function (RDF) at least for the first coordination shell (Figure 4(c)).

The obtained RDFs show increasingly asymmetric and broadened shape for Zn-O RDF upon
increase in the Ir concentration. The is reflected also in the increased average Zn-O interatomic
distance and increased disorder factor, calculated from the atomic coordinates in the final RMC
model (Table 2).
Figure 4. RMC fitting for Zn K-edge EXAFS for Zn-Ir-O films with different Ir content. Comparison of experimental spectra with the ones calculated for the final RMC model in $k$-space after Fourier-filtering (a) and in $R$-space after Fourier transformation (b). The final structure model for the sample with 7.0 at.% Ir is shown in the inset. Partial RDFs for Zn-O and Zn-Zn bonds (c). Spectra and RDFs are shifted vertically for clarity.

Table 2. Average Zn-O interatomic distances and corresponding disorder factor (Debye-Waller factor $\sigma^2$) for the Zn-Ir-O films as obtained from RMC-EXAFS analysis and Zn K-edge. Uncertainties of the last digit are given in parentheses.

| Ir concentration (at.%) | $R$ (Å) | $\sigma^2$ (Å$^2$) |
|-------------------------|---------|------------------|
| 7.0                     | 1.991(1)| 0.0081(1)        |
| 16.1                    | 1.995(1)| 0.0099(2)        |
| 29.4                    | 1.995(1)| 0.0119(2)        |
| 53.5                    | 1.995(1)| 0.014(1)         |
| 67.4                    | 1.996(1)| 0.0140(1)        |

3.3. Raman spectroscopy

The Raman spectra of the Zn-Ir-O films are shown in Figure 5. For the pure ZnO$_x$ films, the spectra contain the characteristic $\nu$-ZnO vibration bands – $A_1^{LO}$, $E_2^{high}$, and $E_2^{low}$. The bands disappear with the addition of Ir, although some of them are still noticeable for the heated films up to 3.2 Ir at.%. After the Ir incorporation into the film structure, a wide but intense band appears around 720 cm$^{-1}$, which was for the first time detected in Ref. [14]. It is still unclear what kind of vibrations cause the band; however, it might be attributed to a peroxide ion (O$_2^{2-}$) stretching with a proper O-O distance.[29] The 720 cm$^{-1}$ band begins to overlap with a wide band formed at lower frequencies for the not-heated film with 29.4 Ir at.%. At 44.6 Ir at.% the 720 cm$^{-1}$ band is
completely blurred and a wide band has been formed in the range from 300 to 700 cm\textsuperscript{-1}. This spectrum remains unchanged up to the pure \(a\text{-IrO}_2\text{-x}\) film without additional bands.

For the heated films, the 720 cm\textsuperscript{-1} band is well detectable up to 36.9 Ir at.%. A wide vibration band around 545 cm\textsuperscript{-1}, which can be attributed to the vibration mode \(E_g\) of \(r\text{-IrO}_2\), is noticeable in the spectrum for the film with 61.5 Ir at.%. It can be concluded that the heated Zn-Ir-O films with the Ir concentration above 61.5 at.% contain IrO\textsubscript{2} nanocrystallites. The spectrum of the pure \(IrO_2\text{-x}\) film contains the vibration band \(E_g\) and the overlapped bands \(B_{2g}\) and \(A_{1g}\). The vibration bands are wider compared to the spectrum of polycrystalline \(r\text{-IrO}_2\) indicating the lower degree of crystallinity.

![Raman spectra of the Zn-Ir-O, ZnO\textsubscript{x}, and IrO\textsubscript{2-x} films and the reference compound – polycrystalline bulk \(r\text{-IrO}_2\) taken from Ref. \textsuperscript{[20]}.](image)

**Figure 5.** Raman spectra of the Zn-Ir-O, ZnO\textsubscript{x}, and IrO\textsubscript{2-x} films and the reference compound – polycrystalline bulk \(r\text{-IrO}_2\) taken from Ref. \textsuperscript{[20]}.  

### 3.4. Electrical properties

The conductivity of the films was measured at a constant temperature of 300 K. The resistivity of the films with low Ir concentration (< 12.4 at.% for the not-heated samples and < 8.0 at.% for the heated samples) is extremely large and exceeds the measurable range. The goal of the Zn-Ir-O deposition was to achieve transparent \(p\)-type conducting thin films. A relatively high oxygen partial pressure was used in the deposition process to prevent the formation of zinc.
interstitials, which are donor type defects in the ZnO structure and the possible source of spontaneous \( n \)-type conductivity. Apparently, the ZnO doping with Ir does not create appropriate defects in the films structure to sufficiently elevate the conductivity. However, the resistivity in the measurable range decreases exponentially with the Ir concentration (Figure 6). The resistivity of the heated films seems to be slightly lower compared to the not-heated films. The Hall effect measurements, except for the heated IrO\(_{2-x}\) film, could not be performed, because it was not possible to accurately detect the Hall voltage. For the heated IrO\(_{2-x}\) film, the Hall effect measurement shows that it is a \( p \)-type conductor with the hole concentration of \( 4.8 \times 10^{22} \text{ cm}^{-3} \) and the mobility of 0.5 cm\(^2\)V\(^{-1}\)s\(^{-1}\). The relatively low hole mobility in the IrO\(_{2-x}\) film suggests that it might be even lower for the Zn-Ir-O films and the reason why the Hall effect measurements were unsuccessful.

**Figure 6.** Resistivity of the deposited films as a function of Ir concentration.

Thermoelectric measurements were performed to determine the conductivity type of the Zn-Ir-O films. The Seebeck voltage was measured by varying temperature difference from -5 to 5 K, and the Seebeck coefficients were calculated from the slopes of the obtained linear relations. The Seebeck coefficients of the films are plotted in Figure 7. Whether the films were heated or not during the deposition process, a transition from \( n \)- to \( p \)-type conductivity was observed by
increasing the Ir concentration. The films are \textit{n}-type conductors below \(\approx 13\) Ir at.\%. Above this value all the films are \textit{p}-type.

**Figure 7.** Seebeck coefficients of the deposited Zn-Ir-O films as a function of iridium concentration. The error margins of measured values are denoted by error bars or inside the symbols.

The transition suggests that there are several competing conductivity mechanisms and sources of charge carriers in Zn-Ir-O. ZnO is known from its tendency to exhibit spontaneous \textit{n}-type semi-conductivity. Moreover, when properly doped, it can be transformed into a material with metallic conductivity and high visible light transmission\cite{30,31}. Despite that \textit{p}-type doped ZnO has been produced in some experimental works\cite{32}, theoretical studies conclude that it is almost impossible to achieve \textit{p}-type conductivity in ZnO\cite{33}. Even if holes are formed in ZnO, they are quenched by charge compensating ionic defects. From the XRD and XAS measurements, it is reasonable to assume that below \(\approx 13\) Ir at.\% the nano-crystalline \textit{w}-ZnO phase is still present in the Zn-Ir-O films, which would be favourable for the \textit{n}-type conductivity. If the Ir concentration is increased, the structure of the films becomes amorphous. At least for the heated films, the Raman spectroscopy results show an existing IrO\(_2\) phase in the Zn-Ir-O films already
at ≈60 Ir at.%. Pure IrO$_2$ films are $p$-type conductors, and this fact is supported by the Hall effect measurement of the heated IrO$_{2-x}$ film in this study.

4. Conclusion

In this research the structure and the electrical properties of zinc-iridium oxide (Zn-Ir-O) thin films with various iridium concentrations were studied. The films were deposited by reactive DC magnetron co-sputtering. The Zn-Ir-O structure changes from nano-crystalline to amorphous with the Ir concentration as confirmed by both XAS and XRD measurements; however, the nano-crystalline phase remains in the wider Ir concentration range if the substrates are heated during the deposition. XAS data also show that also for amorphous films further increase in the Ir content leads to more disordered structures. It was found out that the heated film structure contains $r$-IrO$_2$ nanocrystallites above ≈60 Ir at.%. After Ir incorporation into the film structure, the intense Raman band appears at 720 cm$^{-1}$, which then blurs with the Ir concentration. The transition from $n$- to $p$-type conductivity was observed, when the Ir concentration was increased up to ≈13 Ir at.% for both not-heated and heated films. Above 13 Ir at.% all the films are $p$-type conductors.

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