Experimental time-resolved photoemission and \textit{ab initio} study of lifetimes of excited electrons in Mo and Rh

A. Mönich, J. Lange, M. Bauer, and M. Aeschlimann
Department of Physics, University of Kaiserslautern, 67663 Kaiserslautern, Germany

I. A. Nechaev\textsuperscript{1} V. P. Zhukov\textsuperscript{1}, P. M. Echenique\textsuperscript{1,2}, and E. V. Chulkov\textsuperscript{1,2}
\textsuperscript{1}Donostia International Physics Center (DIPC), P. de Manuel Lardazabal, 4, 20018, San Sebastián, Basque Country, Spain
\textsuperscript{2}Departamento de Física de Materiales, Facultad de Ciencias Químicas, UPV/EHU and Centro Mixto CSIC-UPV/EHU, Apdo. 1072, 20080 San Sebastián, Basque Country, Spain
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We have studied the relaxation dynamics of optically excited electrons in molybdenum and rhodium by means of time resolved two-photon photoemission spectroscopy (TR-2PPE) and \textit{ab initio} electron self-energy calculations performed within the GW and GW + T approximations. Both theoretical approaches reproduce qualitatively the experimentally observed trends and differences in the lifetimes of excited electrons in molybdenum and rhodium. For excitation energies exceeding the Fermi energy by more than 1 eV, the GW + T theory yields lifetimes in quantitative agreement with the experimental results. As one of the relevant mechanisms causing different excited state lifetime in Mo and Rh we identify the occupation of the 4\textit{d} bands. An increasing occupation of the 4\textit{d} bands results in an efficient decrease of the lifetime even for rather small excitation energies of a few 100 meV.

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I. INTRODUCTION

The dynamics of excited electrons play an important role for many physical and chemical phenomena. Detailed knowledge of relaxation times of excited electrons is substantial for the interpretation of photoemission spectra, surface chemistry data and low-energy electron diffraction data. For instance, the relaxation time determines the inelastic electron mean free path, and hence the escape depth length of the applied surface analytical technique.\textsuperscript{7} Particular in the optical excitation regime ($E < E_{\text{Vac}}$) experimental data are very rare due to the limited experimental access to this energy regime. Therefore, the knowledge of hot-electron lifetimes is still far from being complete. Among experimental methods developed for such studies one of the most suitable is the technique of time-resolved two-photon photoemission spectroscopy (TR-2PPE), which enables lifetime measurements directly in time domain at a temporal resolution of a few femtoseconds.\textsuperscript{9} In the last decade several TR-2PPE experiments have been performed for simple metals\textsuperscript{4,5,6,7,8} and noble metals\textsuperscript{4,5,6,7,8} ferromagnetic 3\textit{d} metals (Fe, Co, and Ni)\textsuperscript{9,10,11,12,13,14} and high-\textit{T}$_{\text{C}}$ superconductors.\textsuperscript{15} Of the paramagnetic transition metals, the electron lifetimes have been measured only for the 5\textit{d}-metal tantalum.\textsuperscript{16} However, so far, no systematic experimental studies within the 4\textit{d} and 5\textit{d}-transition metal series have been undertaken.

Theoretical calculations of excited electron lifetimes have been performed in the past within the GW approximation (GWA) for electron self-energy for bulk noble\textsuperscript{15,16,17,18,19} and 4\textit{d} transition metals\textsuperscript{15,16,17,18,19} as well as for 5\textit{d} transition metal Ta.\textsuperscript{16} In contrast to noble metals, which show qualitatively similar band structure and density of states (DOS)\textsuperscript{20} electronic structure of 4\textit{d} metals varies strongly on moving from the beginning of the 4\textit{d}-series to the end.\textsuperscript{9,10} The calculations by Zhukov \textit{et al.}\textsuperscript{21} and Bacelar \textit{et al.}\textsuperscript{21} showed that the evaluated lifetimes also strongly vary along the 4\textit{d}-series following trends in electronic structure. The extension, GW + T, of the GW approximation by inclusion of multiple electron-hole scattering within a T-matrix approximation\textsuperscript{21,22,23,24,25} results in the decrease of the GW lifetime value that brings theory and experiment to better agreement.\textsuperscript{12,24,25}

In this paper we perform TR-2PPE measurements of excited electron lifetimes in Mo and Rh and compare these results with the GW and GW + T calculated lifetimes. The choice of these metals is motivated by their very distinct electronic structure. The Fermi level ($E_{\text{F}}$) of Mo, a typical 4\textit{d} transition metal with bcc crystalline structure, is located in a deep minimum of a wide and half-filled \textit{d}-band (see Fig. 4).\textsuperscript{26} Rhodium in contrast, a 4\textit{d} metal with fcc crystalline structure, exhibits a very narrow and almost-filled \textit{d}-band\textsuperscript{27}, where the Fermi level is situated in the vicinity of a sharp peak of density of states near the edge of the \textit{d}-band (see Fig. 7). Hence, in comparison with molybdenum, \textit{d} states of Rh are spatially more localized and, consequently, multiple scattering contributions to the electron lifetime should be enhanced. Such considerable differences in the band structure between Mo and Rh are expected to cause strong distinctions in the excited state lifetimes.
II. EXPERIMENT

With the TR-2PPE technique the decay dynamics of an electron excitation (intermediate state) located between the Fermi edge \( E_F \) and the vacuum level \( E_{\text{vac}} \) can be studied. The intermediate state is populated by a first (pump) laser pulse. Due to electron-electron scattering processes the excited electrons will then decay to lower unoccupied states. The rate of inelastic scattering of the electron defines the inelastic lifetime of the intermediate state. After a controlled delay \( \tau \) a second (probe) laser pulse transfers the remaining population to the detected state above the vacuum level. The raw data (see Fig. 1) of a TR-2PPE measurement exhibits a 2-pulse correlation trace at a fixed kinetic energy, where the shape of the trace contains information about the pulse width of the pump and the probe laser pulse as well as the population decay time (and hence inelastic lifetime) of the probed intermediate state. In order to extract the intermediate state lifetime from the crosscorrelation trace, a rate equation model of a three level system is solved within a Matlab-based fitting routine which uses a least-square-fit algorithm. Such a rate-equation model corresponds to solutions of the Liouville-von Neumann equations within the limit of rapid dephasing. It has been shown before to be equivalent (and efficient) approach for the description of electronic band excitations as investigated in the present studies.\textsuperscript{26}

The laser pulses for the TR-2PPE experiments were delivered by a mode-locked Ti:sapphire laser, pumped by about 7 W from a cw Nd:YVO\(_3\) diode laser. The available energy range for 2PPE emission has been increased by doubling the frequency of the linearly polarized pulses in a 0.2 mm thick beta barium borate (BBO) crystal.

To minimize coherent effects in the 2PPE signal the polarization of pump and probe pulse were set to \( s \) and \( p \) polarized (cross polarized), respectively. The collinearly recombined beams were focused at 45\(^\circ\) incidence with respect to the surface normal of the sample. With these UV pulses \( (h\nu = 3 \text{ eV}) \) of up to 0.75 nJ, at an repetition rate of about 80 MHz less than one electron per pulse was emitted from the sample surface. In this means we avoid space-charge effects which can significantly distort the 2PPE signal. Furthermore it guarantees that the excitation density of the intermediate excited state is kept at a low level so that the experiment probes the relaxation of individual excited electronic states rather than the collective behavior (thermalization dynamics) of a transiently heated nonequilibrium distribution. A cylindrical sector energy analyzer (CSA)—positioned in the direction of the surface normal \( (k_\parallel = 0) \)—was used to detect the electrons with an energy resolution of 100 meV.

For the non-time-resolved 2PPE measurements, we used a cw argon-ion-laser pumped mode-locked Ti:sapphire laser delivering transform-limited pulses of about 120 fs, 22.5 nJ/pulse at a repetition rate of 80 MHz. The advantage of this narrow bandwidth laser system is its tunability in the laser wavelength within the amplification bandwidth of about 100 nm which is required to distinguish between spectral features in the 2PPE spectra, arising from the occupied and unoccupied density of states of the electronic structure (see below).\textsuperscript{27} The wavelength of the laser pulses can be varied from 740 to 840 nm which corresponds to a tuning range of 3.35 to 2.95 eV in the photon energy of the frequency-doubled laser pulses.

Metallic Mo and Rh sheets of approximately 0.1-mm thickness were used for our experiments. We chose polycrystalline samples to guarantee an averaging over all possible directions in the \( k \) space of the Brillouin zone and to avoid any influence of surface resonances on the measured lifetime which can exceed the bulk lifetime values by a factor of 10 and more.\textsuperscript{27} The purity of the sample was specified to be higher than 99.9%. The metallic sheets were cleaned by several sputter and heating cycles. After the cleaning we found no indication of significant impurities, such as C or O at the surface. Next to the sheet samples further TR-2PPE experiments were performed at ultrathin polycrystalline films of molybdenum of varying thickness between 20 nm and 100 nm. The films were prepared in-situ by means of electron-beam evaporation on a dielectric MICA substrate from a molybdenum rod (purity, 99.9+/%) at a pressure of less than \( 10^{-5} \) mbar. The film thickness was controlled using a calibrated flux monitor.

The cleaned metal surface was dosed with a small amount of Cs (\(< 0.1 \text{ ML}) to lower the surface work function. This procedure enables to increase the accessible energy range in the 2PPE experiment by about 1 eV so that excitation levels also very close to the Fermi energy can be probed. Cs is evaporated from a thoroughly outgassed commercial getter source. The effect on the lifetime measurements by dosing a metal surface with a small amount of Cs has been thoroughly examined in the past.\textsuperscript{28} Using cross-polarized pulses no differences in

![FIG. 1: 2PPE cross-correlation trace at an intermediate energy \( E - E_F = 1.3 \text{ eV}; \) inset, principle of a TR-2PPE pump and probe experiment.](image-url)
the lifetime of volume states could be observed between a clean and a cesiated metal surface by means of TR-2PPE.

Static 2PPE spectra of an uncesiated molybdenum surface recorded at different photon energies are displayed in Fig. 2. In accordance with conventional photoemission experiments, the position of the low-energy cutoff of the spectra is governed by the work function of the surface. The sharp high-energy cutoff on the other hand maps the Fermi edge of the metallic sample. 2PPE spectra from polycrystalline samples are typically characterized by a rather structureless spectrum due to the restricted spectral width accessible with the used excitation wavelength in the optical regime and due to a blurring of spectral features by the involvement of an intermediate state in the photoemission process. The appearance of a distinct peak (indicated by A) at $E_{\text{Kin}} \approx 0.6$ eV (corresponding to an intermediate state energy of $E - E_F = 2.2$ eV) in the present example is insofar somewhat unusual. Rhodium, for instance, exhibits a completely structureless 2PPE spectrum. According to the excitation process, the shift in the peak position by $\Delta E = h\nu$ as the excitation wavelength is tuned, indicates that the peak is due to an feature in the unoccupied DOS of Mo. In contrast, an occupied state feature would be characterized by an energy shift proportional to $\Delta E = 2h\nu$ (see dotted line in Fig. 2 and the shift of the Fermi edge). Our measurements are performed on a polycrystalline sample and, furthermore, we do not observe any dependence in the appearance of the peak as a function of the excitation light polarization. We, therefore assign, this peak to an increase in the volume density of states in the corresponding intermediate energy region rather than to a surface state. Our band structure calculations presented in Sec. IV A show indeed a drastic increase in the DOS at about 2.25 eV above the Fermi level (see Fig. 4).

### III. THEORY

Within the framework of many-body perturbation theory\textsuperscript{29,30} the inverse lifetime (decay rate) of an excited electron (hole) in a single-particle Bloch state $\psi_{qn}$ with eigenvalue $\epsilon_{qn}$ is given by\textsuperscript{31}

$$\tau_{qn}^{-1} = 2|\text{Im}\Delta\epsilon_{qn}|,$$

(1)

where $\Delta\epsilon_{qn}$ is the many-body self-energy corrections to $\epsilon_{qn}$. In the Dyson scheme, these corrections can be approximated as\textsuperscript{31,32}

$$\Delta\epsilon_{qn} = Z_{qn}\Delta\Sigma_{qn}(\epsilon_{qn}),$$

(2)

where $Z_{qn}$ is the renormalization factor related to the partial derivative of $\Delta\Sigma_{qn}(\omega)$ with respect to energy at $\omega = \epsilon_{qn}$. Here $\Delta\Sigma_{qn}(\omega)$ is the matrix elements $\langle\psi_{qn}\left|\Delta\Sigma(|\omega||\psi_{qn}\rangle)$ of the difference $\Delta\Sigma(\omega) = \Sigma(\omega) - v_F^{DA}$ between the self-energy $\Sigma(\omega)$ and the exchange and correlation potential obtained in the local-density approximation (LDA). Thus, the main problem in the lifetime evaluation is the calculation of the matrix elements of the self-energy $\Sigma_{qn}(\omega)$.

A widely used approximation to calculate the self-energy is the GWA\textsuperscript{32} This approximation is formally the first-order term in the Hedin expansions\textsuperscript{32} of the self-energy in power of the screened Coulomb interaction. In the simplest version of the GWA we consider here, the self-energy is defined by the product of the noninteracting Green function $G_0$ and the screened interaction $W_0$ obtained within the random phase approximation (RPA)\textsuperscript{29,30,31}. Drawing attention only on momentum and energy dependence with the use of a shorthand notation $q = (q, \omega)$ in order to simplify the presentation, we can write the GW self-energy $\Sigma_{GW}(q)$ as\textsuperscript{32}

$$\Sigma_{GW}(q) = \frac{i}{(2\pi)^4} \int dk G_0^0(q-k) W_0(k).$$

(3)

Both $G_0$ and $W_0$ are built on the $\{\psi_{kn}, \epsilon_{kn}\}$ set. Physically Eq. (3) means that an electron, $e_q$, propagating in a system with the momentum $q$, creates a disturbance carrying momentum $k$ [see Fig. 3(a)]. This fact is reflected in the formula by the interaction $W_0(k)$. Further, as depicted by $G_0(q-k)$ in Eq. (3), the electron propagates with the momentum $(q-k)$ right up to absorption of the disturbance.
Here \( \epsilon \) (part of the GW this case, we go beyond the GWA in the calculation of the self-energy. In ple scattering between an electron and a hole, we can go 14. transform. For details, we refer the reader to Refs. 33 and 

\[ \text{Im} \Sigma_{qn}^{GW}(\omega) = -\sum_{k} \sum_{n'} \sum_{ij} \langle \psi_{kn} | \psi_{k-n'} | B_{ki} \rangle \times \text{Im} W_{ij}^{0}(k, \omega - \epsilon_{k-n'}) \times \langle B_{kj} | \psi_{k-n'} | \psi_{qn} \rangle \Theta(\omega - \epsilon_{k-n'}). \] (4)

Here \( \epsilon \) is the Fermi energy, \( \Theta(x) \) is the step function, \( \{ B_{ki}(r) \} \) is a set of appropriate Bloch basis functions, and \( W_{ij}^{0} \) are matrix elements of the RPA screened interaction in this basis. In the present work, one-electron wave functions \( \psi_{kn}, \) energies \( \epsilon_{kn}, \) and \( B_{ki} \) are calculated by using the linear muffin-tin orbital (LMTO) method 34. Having obtained the imaginary part of the self-energy, its energy-dependent real part can be found from the Hilbert transform. For details, we refer the reader to Refs. 33 and 14.

By making use of the \( T \) matrix that describes multiple scattering between an electron and a hole, we can go beyond the GWA in the calculation of the self-energy. In this case, we additionally take into account third- and higher-order terms in the Hedin expansion of the self-energy which constitute an infinite class of electron-hole ladder diagrams 21,24. This allows us to consider the following scattering processes [see Fig. 4b]. The \( e \) electron creates a disturbance which in its turn results in the creation of an electron\( (e) \)-hole\( (h) \) pair. After that, the propagating electron repeatedly interacts (one or more times) with the hole during the \( e-h \)-pair transport until this pair annihilation. The latter causes the disturbance which further is adsorbed by \( e \) at the end of the considered process.

Without going into details which one can find in Refs. 22 and 24, we write the \( T \)-matrix contribution as an additional term to the \( GW \) self-energy of Eq. 6:

\[ \Sigma_{ij}^{T}(q) = \frac{i}{(2\pi)^{2}} \int dk G_{ij}^{0}(q - k) T(k), \] (5)

where the \( T \) matrix is given by

\[ T(q) = \tilde{W}(q) P_{0}^{0}(q) \tilde{W}(q) \left( \frac{1}{1 + \frac{1}{2} P_{0}^{0}(q) \tilde{W}(q)} - 1 \right). \] (6)

The local electron-hole interaction \( \tilde{W}(q) \) is simply related with the first-order exchange correction to \( P_{0}^{0}(q) \) accounting for a single electron-hole scattering event. Note that Eq. 6 should be considered as a relation between the matrices \( T_{ij}(q, \omega), \tilde{W}_{ij}(q, \omega), \) and \( P_{ij}^{0}(q, \omega). \) It is important that the \( T \)-matrix contribution of Eq. 6 has a GW-like formula. This means that in order to obtain the imaginary part of \( \Sigma_{qn}^{T}(\omega) \), we can use Eq. 4 with \( T_{ij} \) instead of \( W_{ij}^{0} \).

The resulting self-energy in such a \( GW + T \) approach is given by the sum \( \Sigma_{qn}^{GW+T}(\omega) = \Sigma_{qn}^{GW}(\omega) + \Sigma_{qn}^{T}(\omega) \). In calculations presented below, following Refs. 22,35, and 12 we model the interaction \( \tilde{W} \) as the Fourier transform of the RPA screened interaction \( W_{ij}^{0} \) at zero energy but with the 2/3 prefactor obtained in Ref. 24, i.e., \( \tilde{W}_{ij}(k, \omega) = \frac{2}{3} W_{ij}^{0}(k, 0) \). Having obtained the self-energy, one can evaluate the lifetime with the help of Eqs. 11 and 12. In order to compare theoretical results with the experimental data (polycrystalline sample), we average the calculated lifetime over momentum for a given excitation energy.

**IV. RESULTS AND DISCUSSION**

**A. Molybdenum**

Figure 4 shows the band structure of Mo and corresponding total and partial DOS. As mentioned before, the DOS has a wide (\( \approx \) 11 eV) \( d \)-band with a deep minimum at \( E_{F} \). Until \( \sim \) 5 eV above \( E_{F} \) the total DOS is almost completely determined by the unoccupied \( d \)-states and has a sharp peak at 2.25 eV. This peak can be identified with the experimentally observed unoccupied...
peak A discussed in Sec. II. Below the Fermi level, the d states also dominate in the formation of the total DOS. At the same time, as it is evident from Fig. 4, the contribution of sp states is essentially larger for these energies than for energies above $E_F$. The total DOS shows two pronounced peaks (at -1.96 eV and at -3.4 eV) separated by a minimum at -2.52 eV.

Figure 5 shows the calculated momentum-averaged electron lifetimes on a polycrystalline Mo sheet. Different film thickness between 20 nm and 100 nm were studied. As evident from Fig. 6, both, sheet data (solid circles) and thin film data (open circle), give identical results within the scatter of the data. The lack of any systematic deviation shows that the contribution of transport to the lifetime measured in the TR-2PPE experiment is negligible.

Regardless of the comparison between experimental and theoretical data, inspecting Fig. 6 one can see that the T-matrix inclusion leads to better agreement between the theoretical and experimental results (especially for $E - E_F \gtrsim 1.0$ eV). This becomes particularly evident in the inset of Fig. 6 which shows the deviation of experimental and calculated lifetime data from the Fermi-liquid model by Quinn and Ferrell. Here, the center of gravity of the experimental scaled lifetime ($\approx 25$ fs eV$^{-2}$) is close to the $GW + T$ curve for energies higher than $\sim 0.7$ eV. Below this value, towards $E_F$, the experimental lifetime goes down faster than the $GW + T$ one. However, the experimentally observed less rapid increase in the lifetime towards $E_F$ in comparison to the Fermi-Liquid model in this energy region is qualitatively well reproduced by the theoretical results, which follow the slope of the data reasonably well. Some discrepancy for energies smaller than 1.0 eV can be caused by both the approximations made for the self-energy (e.g., electron-phonon coupling can be important here) and secondary processes which effect the
The calculated band structure and DOS of Rh are shown in Fig. 7. Compared to Mo, the Rh $d$-band is more narrow ($\sim 7$ eV). Almost all the $d$ states are occupied except for a small fraction which constitutes the sharp peak at 0.46 eV and pronounced edge of the $d$-band at 0.9 eV above $E_F$. At higher energies unoccupied bands show comparable contributions of $sp$ and $d$ states. Below $E_F$, the $sp$-states contribution to the bands is negligible in comparison with the $d$ states. Another peak comparable with the previous one is situated at $-2.58$ eV.

In Fig. 8 the calculated momentum-averaged lifetime of electrons and holes in Rh is shown. On first glance, it may seem that the lifetimes of electrons and holes demonstrate a similar behavior and differ to only a small extent. However, inspecting the scaled averaged lifetimes (see inset in Fig. 8), one can see that starting from an energy corresponding to the $d$-band edge, the energy dependence of $\tau(E - E_F)^2$ for electrons can be fitted by a straight line with the slope of $\approx 6.8$ fs eV against $\approx 2.2$ fs eV for the hole scaled lifetime. Such a distinction is caused by the total DOS shape, the main part of which lies below $E_F$. Note that at energies below the $d$-band edge both the considered curves exhibit the scaled lifetime energy dependence similar to that in the case of Mo, but with essentially smaller values of $\tau(E - E_F)^2$ due to the higher DOS.

As in Mo, the inclusion of the $T$ matrix leads to a shortening of the lifetime. However, in this case, in the vicinity of $E_F$ ($\pm 0.4$ eV) the $T$ matrix yields the largest contribution which amounts on average to $\sim 40\%$ in relation to $\text{Im}\Sigma^{GW}$. As the excitation energy increases, the contribution falls down. At $|E - E_F| \approx 3.0$ eV, the ratio $\gamma$ has kohns running up to 1.13 for electrons and 1.17 for holes. Calculations performed with taking into account only $d$ states have corroborated the dominant role of the $d$-band in formation of the excited electrons dynamics in transition metals.

In Fig. 9, we show the experimental relaxation time in comparison with the calculated momentum-averaged electron lifetimes $\tau$ in polycrystalline Rh. Inset, scaled momentum-averaged electron lifetime in Rh.
Comparing the experimental and theoretical data shown in Fig. 10 one can distinguish the following main aspects. The inclusion of the $T$ matrix ensures better agreement between the theoretical and experimental results for $E - E_F \lesssim 1.7$ eV (see the inset), whereas for higher energies the experimental values are even above the GW curve. As in Mo, some discrepancy in the low energy region can be eliminated by adding to $\text{Im}\Sigma^\text{GW} + T$ a weak energy dependent term, but the latter is equal to $\sim 10$ meV in the case of Rh.

C. Comparison of lifetimes in Mo and Rh

In Fig. 10 we compare the time-resolved 2PPE data as well as the theoretical results for the 4$d$ transition metals rhodium and molybdenum. With a glance at the figure, practically over all the considered energy region the electron lifetimes in Rh are shorter than those in Mo, especially below 2.0 eV. Presented as the ratio $\tau^\text{Mo}/\tau^\text{Rh}$ (see inset in Fig. 10), the calculated GW + $T$ lifetimes, which are consistent with the experimental data, clearly reflect this fact. Moreover, one can see that at energies above 1.0 eV, where agreement between the experimental and theoretical results is rather good, this ratio falls down from 6 to unity (at $\sim 3.5$ eV). Further, the ratio becomes smaller than 1. By this comparison we demonstrate that upon moving across the 4$d$ series from Mo to Rh the changes in filling, width, and shape of the 4$d$-band have a strong effect on the electron lifetime.

In principle, such a shortening of the lifetime is an expected trend which can be qualitatively understood in a scattering theory approach (STA). Within this approach, the lifetime is considered in terms of energy-dependent matrix element $|M(\omega)|^2$ and the total DOS convolution. The former contains effects of screening, and the latter reflects peculiarities of one-electron energy dispersion. As was shown in Ref. 18, the corresponding ratio of calculated electron lifetimes in Mo and Rh is a good accordance between the STA and GWA calculations. Moreover, one can obtain the electron lifetime decreasing by a factor of 3. Further, considering molybdenum as a representative of bcc metals from the center of the 4$d$-transition series and rhodium as a representative of fcc metals from the end of these series, one can expect approximately the same shortening of the electron lifetime upon moving, e.g., from Nb to Pd (the 4$d$ series) and from Ta or W to Pt (the 5$d$ series).

V. Conclusions

In conclusion, we have analyzed the strong influence of the transition metal 4$d$-band structure on the relaxation dynamics of optically excited electrons. Comparing the obtained theoretical results on Mo and Rh with the experimental data, we have shown that the $T$-matrix contribution to the electron self-energy improves the GWA values. Thus, we have found that taking into account multiple scattering between an excited electron and a hole is important to achieve reasonable agreement with the TR-2PPE spectroscopy measurements.

Next to the good agreement between experimental and theoretical lifetime data we were also able to assign a feature in the static molybdenum 2PPE spectrum to an enhanced electronic density of the excited state distribution in the calculated bulk band structure. Overall we believe that the present study is a good example of how the combination of sophisticated state-of-the-art experimental and theoretical approaches enable a microscopic understanding of the ultrafast femtosecond dynamics of electronic excitation in complex condensed matter systems.

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* Also at: Theoretical Physics Department, Kostroma State University, 156961 Kostroma, Russia.

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