Sampling Apple Trees to Accurately Estimate Mean Fruit Weight and Fruit Size Distribution

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Abstract. Canopies of ‘Gala’ and ‘Fuji’ trees, trained to the vertical axis, were divided into eight vertical sections, each representing 12.5% of the tree canopy. The diameter of all ‘Gala’ fruit and fruit weight for all ‘Fuji’ fruit were recorded for each canopy section. Fruit size from most canopy sections was normally distributed and distributions were similar for most sections. Therefore, fruit size distribution for a tree can be estimated by harvesting fruit from two sections of a tree, representing 25% of the canopy. For small trees in intensive plantings, with canopy diameters less than 2.0 m, average fruit diameter or fruit weight estimated from all fruit collected from 25% of the canopy may provide estimates within 7% of the true value.

Early-season estimates of fruit size distributions would be beneficial for apple growers and packers to develop intelligent marketing plans for the upcoming harvest season. Although apple fruit weight data usually fit a normal distribution (Clarke, 1990; Visser and Pieterse, 1977; Webb et al., 1980; Zhang et al., 1995), methods for sampling trees to obtain accurate estimates of fruit size distribution have not been published.

Apple researchers often use average fruit size as an indicator of how various treatments affect fruit size. Obtaining the true average fruit weight involves counting and weighing all the fruit harvested from a tree and dividing the total weight by the number of fruit. However, harvesting entire trees or plots may be expensive and time-consuming and often requires considerable labor. Some researchers have reported true mean fruit weight calculated from the entire population of fruits on a branch (Dozier et al., 1980; Hampson et al., 1997; McClure and Cline, 2015; Stefanelli et al., 2009), whereas others have graded the fruit into various size categories to obtain the true fruit size distribution (Barden and Marini, 1998; Marini et al., 1993; Schupp et al., 2017). Due to limited time and funds, many researchers use various sampling schemes to harvest a portion of the fruit on experimental trees to estimate average fruit size for a tree and assume the fruit characteristics of the sample population adequately represent the characteristics of the entire population of fruit on the tree (Dozier et al., 1980; Fallahi et al., 2011; Greene, 1986; Miller, 1982). Marini (2001) estimated mean fruit weight using two sampling schemes. Estimates obtained from a 20-fruit sample per tree differed from the true mean by about 13%, and estimates obtained by weighing all fruit on three limbs per tree differed from the true mean by 11% to 19%. The impact of many treatments on average fruit size is less than 15% and conclusions concerning the impact of those treatments on fruit size may be erroneous due to inappropriate fruit sampling schemes. The objective of this study was to identify a sampling scheme that will provide accurate estimates of average fruit weight as well as fruit size distributions for individual trees.

Materials and Methods

Trees in two orchards were used for this study in 2016. Nine ‘Buckeye Gala’ trees, on M.9 NAKBT373 rootstock, planted in 2009, and trained to a vertical axis, were selected in a commercial orchard in Fishertown, PA (lat. 40°7’ 40” N, long. 77°31’37” W). Trees were planted 0.91 ± 3.7 m, were about 2.4 m tall and 1.8 m in diameter at the base of the canopy, average trunk cross-sectional area was 2.2 cm², and rows were oriented in a southeast–northwest direction. Thirteen ‘Aztec Fuji’ trees on M.9 NAKBT373 rootstocks, planted in 2008, were selected at Penn State’s Fruit Research and Extension Center in Biglerville, PA (lat. 39°56’22” N, long. 77°15’25” W). Trees were planted 0.91 ± 3.7 m, were 3.5 m tall and 1.8 m in diameter at the base of the canopy, average trunk cross-sectional area was 3.8 cm², and rows were oriented northeast–southwest. At Biglerville, ‘Fuji’ trees were thinned with 100 mg L⁻¹ 6-benzyladenine (MaxCel, Valent U.S.A., Walnut Creek, CA) plus 600 mg L⁻¹ carbayl (Carbayl 4L; Loveland Products, Inc., Greeley, CO) when average fruit diameter was about 12 mm. Sprays were applied with an air-blast sprayer calibrated to apply 935 L ha⁻¹, and follow-up hand thinning was not needed. At Fishertown, ‘Gala’ trees were thinned with 600 mg L⁻¹ carbayl (Carbayl 4L; Loveland Products, Inc.) plus 2.5 mL L⁻¹ spray oil delivered with an air-blast sprayer calibrated to apply 935 L ha⁻¹, and follow-up hand thinning was required to retain one fruit per spur about every 15 cm along the limb.

At harvest time, each tree was divided into eight equal-size vertical slices on a compass direction by hanging strips of flagging vertically on the periphery of each canopy. The eight canopy sections will be referred to as N = north, NE = northeast, E = east, SE = southeast, S = south, SW = southwest, W = west, and NW = northwest. The diameter of all ‘Gala’ fruits in each vertical section was measured with an electronic fruit size measurer (QA Supplies LLC, Norfolk, VA). At the Fruit Research and Extension Center, all ‘Fuji’ fruit were harvested from each canopy section and all fruit were weighed on an electronic single-lane fruit sizer equipped with a digital load-cell (Durand-Wayland, Inc., LaGange, GA).

Statistical analyses. Descriptive statistics for each cultivar were obtained with SAS’s PROC MEANS (Tables 1 and 2). Homogeneity of variances for trees and canopy sections was evaluated with Levine’s test by performing an analysis of variance (ANOVA) on the absolute values of the residuals with PROC GLM (Littell et al., 2002). To compare average fruit weight (FW) or average fruit diameter (FD) for canopy sections, ANOVAs were performed with PROC MIXED and the SIMULATE
Table 1. Total number of fruit harvested from eight canopy sections of nine ‘Gala’ trees, mean fruit diameter (FD), and so for each canopy section for apple trees in Fishertown, PA, in 2016. Cramer–von Mises (CVM) and Anderson–Darling (AD) tests were used to test the hypothesis that fruit diameters for each canopy section were normally distributed.

| Variable          | Total no. | FD (mm)  | sd   | P values |
|-------------------|-----------|----------|------|----------|
| FD                |           | P values |      |          |
| Canopy section E  | 81        | 69.5     | 0.778| 0.046    |
| N                 | 59        | 70.5     | 0.814| 0.005    |
| NE                | 100       | 70.8     | 0.739| 0.005    |
| NW                | 91        | 70.1     | 0.735| 0.138    |
| S                 | 79        | 70.9     | 0.768| 0.027    |
| SE                | 103       | 70.2     | 0.736| 0.099    |
| SW                | 99        | 71.0     | 0.731| 0.014    |
| W                 | 93        | 70.2     | 0.734| 0.027    |
| Whole tree        | 88.1      | 70.3     |      |          |

Table 2. Total number of fruit harvested from eight canopy sections of 13 ‘Fuji’ trees, along with mean fruit weight (FW) and so for each canopy section for apple trees in Biglerville, PA, in 2016. Cramer–von Mises (CVM) and Anderson–Darling (AD) tests were used to test the hypothesis that fruit weights for each canopy section were normally distributed.

| Variable          | Total no. | FW (g)   | sd   | P values |
|-------------------|-----------|----------|------|----------|
| FW                |           | P values |      |          |
| Canopy section E  | 153       | 270 ab   | 3.96 | 0.162    |
| N                 | 127       | 261 b    | 5.01 | 0.099    |
| NE                | 143       | 260 b    | 4.55 | >0.250   |
| NW                | 140       | 260 b    | 4.47 | >0.250   |
| S                 | 145       | 272 ab   | 4.25 | >0.250   |
| SE                | 160       | 264 b    | 4.17 | >0.250   |
| SW                | 173       | 284 a    | 3.60 | 0.028    |
| W                 | 135       | 274 ab   | 4.18 | 0.117    |
| Whole tree        | 90.5      | 268      |      |          |

Results

‘Gala’ FD distribution. The total number of fruit harvested from the nine ‘Gala’ trees was 793 and the mean number of fruit per ‘Gala’ tree was 88.1, with a range of 68 to 138 fruit per tree. The mean FD averaged over all nine trees was 70.3 mm and the mean FD for individual trees ranged from 68.7 to 72.4 mm (data not shown). The number of fruit per canopy section of individual trees ranged from 2 to 25, but the average number of fruit per section ranged from 6.6 for the N section to 11.4 for the SE section (data not shown). SD values were similar for the eight canopy sections and Lavene’s test confirmed that variances were homogenous for trees, canopy sections, and the interaction (P = 0.058, 0.84, and 0.45, respectively). An ANOVA was performed with PROC MIXED (Littell et al., 2006) to test the hypothesis that FD was equal for all eight canopy positions. Average FD ranged from 69.5 mm for the E canopy section to 71.0 mm for the SW section, and the differences were not significant (P = 0.778, Table 1). PROC UNIVARIATE was used to test the hypothesis that FDs were normally distributed for each canopy section. Using a P value of 0.01, the CVM and AD tests indicated that FD was normally distributed for all canopy sections except the N and NE sections (Table 1). Histograms describing the percentage of fruit in various categories of FD are shown in Fig. 1. FD peaked at 72.5 mm for all canopy sections except the E section, where FD peaked at 68 mm.

Pairwise comparisons of the CDFs indicated that distributions of FD were different for the eight canopy sections (P = 0.002). EDFs for the NE and NW canopy sections are shown in Fig. 2 because the FDs from those two canopy sections were not normally distributed and they were the two least similar distributions (Fig. 1). The NW section had no fruit with diameters less than 63 mm, whereas 8% of the fruit from the NE section was less than 63 mm (Fig. 2). Thirty-seven percent and 57% of the fruit had diameters of 70 mm or less for the NE and NW sections, respectively, and 89% and 91% of the fruit had diameters of 75 mm or less for the NE and NW, respectively (Fig. 2). Since the distributions of FD were similar for all eight canopy sections, randomly sampling one section of a tree, which is equivalent to 12.5% of the tree, should be adequate to provide a reasonable estimate of FD distribution for the entire tree.

‘Fuji’ FW distribution. The number of ‘Fuji’ fruit harvested per tree ranged from 71 to 109. Considering the entire data set of 1176 fruit, weight of individual fruit ranged from 108 to 401 g (data not shown). Descriptive statistics in Table 2 show that the total number of fruit per canopy section,
Canopy section (sections. FW was significantly affected by PROC MIXED to compare the eight canopy sections. FW was significantly affected by canopy section (P = 0.01). Average FW was greater for the SW canopy section than for the N, NE, NW, and SE canopy sections (Table 2). Based on P values for CVM and AD tests, FW for all canopy sections were normally distributed at the 0.01 level (Table 2, Fig. 3). Although distributions for all sections were about normal, the distribution for the NW section appeared flatter than the other sections. Statistics provided by PROC UNIVARIATE support the formal tests for normality. The FW distribution for the NW canopy section was about symmetrical and slightly skewed to the right (skewness = +0.25) with relatively light tails (kurtosis = −0.40). The distribution for the SW section was moderately skewed to the left (skewness = −0.46) and had moderately heavy tails (kurtosis = −0.71).

The 28 pairwise comparisons of EDFs for the eight canopy sections showed that the distributions for FW from the SW canopy section were significantly different from the distributions for five of the seven sections (Table 3). When data from all sections except the SW were combined, the FW distribution for the seven combined sections was significantly different from the distribution for the SW section (P = 0.031, data not shown). The distributions differed because the SW section had more large fruit.

Based on the EDF, 50% of the fruit from the SW section was equal to or smaller than 285 g, whereas for the remaining seven sections 50% of the fruit was equal to or smaller than only 266 g (Fig. 4). Since FW distributions for the eight canopy sections were not homogeneous, it is unlikely that the distribution of fruit for an entire tree can be adequately estimated by sampling a single canopy section (12.5% of the canopy). Therefore, two or more sections were combined and compared with other combinations of sections. When fruit from the SW and S sections were combined, the fruit were larger than fruit from the combination of remaining sections, but the two distributions were not significantly different (P = 0.326). Six other combinations of two sections were compared with the combination of the remaining six sections and in every case the two distributions were not significantly different at the 5% level. When fruit from the four cardinal (N, E, S, W) sections of the canopy were combined and compared with the fruit combined from the four ordinal (SE, SW, NW, NE) sections, the distributions were nearly identical (Fig. 4). Combining fruit from four sections to compare the south vs. north and east vs. west sides of the tree was better than sampling fruit from just two sections (data not shown) but combining the cardinal or ordinal sections provided the best estimate of fruit size distribution. A combined fruit sample from any two sections of the canopy (25% of the canopy) should provide a reasonable estimate of the fruit size distribution for an entire tree, but if an extremely accurate estimate is required, then the sample should be obtained by harvesting all fruit from either the four ordinal or cardinal sections.

**Estimating ‘Gala’ average FD.** Estimates for FD for each canopy section were plotted against the true mean FD calculated from all fruit on each tree and plots show the line of equality on which all points would lie if the estimates for a section gave exactly the same value as the true value (Fig. 5). FD estimates from the NW and W canopy sections were closer to the true values than estimates from the N and S sections. These plots are more informative than performing regression of estimated FD against the true FD, but a plot of the differences against the true values is

![Fig. 3. Distributions of fruit weights, plus normal curves, for fruit harvested from eight sections of ‘Fuji’ trees in an orchard in Biglerville, PA, in 2016.](image)

![Fig. 4. Estimated cumulative distribution functions (EDF) for fruit weights of fruits harvested from different sections of ‘Fuji’ trees in an orchard in Biglerville, PA, in 2016. The EDFs for various combinations of canopy sections were compared with Kolmogorov–Smirnov two-sample test and the test statistic (Ksa) and P value associated with the test are presented in each figure.](image)

| Canopy section | NE | E | SE | S | SW | W | NW |
|----------------|----|---|----|---|----|----|-----|
| N              | 0.320 | 0.036 | 0.56 | 0.014 | 0.001 | 0.008 | 0.771 |
| NE             | 0.303 | 0.438 | 0.121 | 0.001 | 0.014 | 0.790 |
| E              | 0.329 | 0.519 | 0.005 | 0.629 | 0.033 |
| SE             | 0.154 | 0.001 | 0.0046 | 0.319 |
| S              | 0.061 | 0.944 | 0.049 |
| SW             | 0.062 | 0.001 | 0.012 |
often even more informative (Bland and Altman, 1986). Lack of agreement between the estimated values of FW and the true values can be evaluated by calculating the bias, estimated by the mean of the differences ($d$) and the SD of the differences. In Fig. 6 the center horizontal line represents the mean of the differences (FW estimated for a canopy section – the mean FW for the whole tree). If the differences are normally distributed, 95% of the differences will lie between $d \pm 2 \text{SD}$ and these are called the limits of agreement, represented by the upper and lower horizontal lines in Fig. 6. Provided that differences within the limits of agreement are acceptable, average FD values from a single canopy section can be used to estimate the true FD values for the tree. These differences typically follow a normal distribution because much variation between trees was removed and the measurement error remains (Bland and Altman, 1986). The estimates did not appear biased because the plots did not exhibit any patterns. The differences were smallest for the NW, intermediate for the E, and largest for the S canopy sections (Fig. 6). Estimates from the S section may be 5.6 mm above and 4.0 mm below the true value; estimates from the E section may be 3.8 mm above or 4.4 mm below the true value; and estimates from the NW section may be 2.4 mm above and 2.9 mm below the true value.

According to Bland and Altman (1986), the limits of agreement are only estimates of the values that apply to the entire population and a second sample would give different limits. Therefore, we used SE and confidence intervals (CIs) to examine the precision of the estimates. The 95% CI for the bias is $d \pm t_{0.05} (\text{SD}/\sqrt{n})$, which is narrower than the limits of agreement. Differences from the true mean, along with 95% CIs, obtained with PROC MEANS were plotted for FD estimated from each ‘Gala’ canopy section as well as estimates from combinations of two, four, and seven sections (Fig. 7). As the number of sections, representing larger proportions of the canopy, increased, the difference was closer to zero and the CIs became narrower. ‘Gala’ FD estimates obtained from one canopy section were generally 0.5 to 1.0 mm from the true mean. Estimates obtained with two sections (25% of the canopy) varied from 0.1 to 0.8 mm from the true mean. Estimates obtained from four sections (50% of the canopy) were within 0.0 to 0.5 mm from the true mean and estimates obtained from seven sections (87.5% of the canopy) were within 0.1 to 0.3 mm from the true mean (Fig. 7). Based on the 95% CIs, FD estimates obtained from one, two, and four canopy sections may be within 3.0 mm, 2.2 mm, and 1.0 mm of the true mean, respectively. Since the overall average FD was 70.3 mm, obtaining average FD estimates from one, two, and four canopy sections would be within 5%, 3%, and 1.5% of the true mean FD, respectively.

**Estimating ‘Fuji’ FW.** Values of average FW estimated from single canopy sections...
for ‘Fuji’ fell closer to the line of equality than did FD estimates for ‘Gala’ (Fig. 5). FW estimated from the N, NE, and NW canopy sections tended to be biased because most points fell below the line of equality, whereas the opposite was true for the E, S, and SW sections. Although the limits of agreement were smallest for the NW section of ‘Gala’ trees, the limits of agreement were largest for the NW section of ‘Fuji’ trees (Fig. 6). FW estimates from the NW section may be 37.0 g above and 24.0 g below the true value; estimates from the E section may be 25.0 g above or 33.0 g below the true value; and estimates from the SW section may be 30.0 g above and 36.0 g below the true value. Similar to results for ‘Gala’, as the proportion of the total fruit on trees used to estimate FW increased, mean differences approached zero and the CIs became narrower for ‘Fuji’ (Fig. 7). FW estimated from the SW canopy section differed most from the true value. Based on the 95% CIs, average FW estimated from just the SW section may be as little as 7.0 g below the true value and as much as 22.0 g below the true value. Average FW estimated from the E section was most like the true value and was about 4.0 g below the true value, but estimates may be 12.0 g below and 5.0 g above the true value. When fruit from two sections were used to estimate average FW, the estimate was always within 11.0 g above or below the true value. Estimating FW from fruit harvested from four or more sections of the tree usually gave estimates within 7.0 g of the true value. The average FW for the 13 ‘Fuji’ trees was 268 g (Table 4). Therefore, average FW estimated from one section may provide estimates within 8% of the true mean, estimates based on 2 canopy sections may provide estimates within 7% of the true value, and estimates based on four canopy sections may provide estimates within 3% of the true value.

Discussion

Understanding within-tree variation is important to reliably estimate the average fruit size or fruit size distribution for a tree. De Silva et al. (2000) identified systematic trends in FW within the canopy of relatively large 4- to 5m-tall slender-pyramid ‘Gala’ trees. On lower limbs FW increased from the base outwards, but the opposite was true for the upper limbs, and at the base of the limbs FW increased from the lower limbs to the upper limbs. They suggested that sampling along a limb will result in more efficient estimates of mean FW compared with random or systematic sampling. Previous attempts to estimate fruit size or fruit size distribution were often based on samples of fruit rather than for the entire population of fruit on the tree. Zhang et al. (1995) estimated the percentage of fruit that would fall into a given fruit count. De Silva et al. (1997) recorded the diameters of a sample of apples at 14-day intervals throughout the season and attempted to predict fruit size distribution at harvest. Fruit size distributions of their sampled fruit changed during the season and the predictions were not very accurate.

| Canopy section | Mean fruit diam. difference (mm) | Gala 95% limits of agreement (mm) | Mean fruit wt difference (g) | Fuji 95% limits of agreement (g) |
|----------------|----------------------------------|-----------------------------------|-----------------------------|----------------------------------|
| E              | –0.357*                          | –4.46                             | 3.74                        | –3.23                            | –35.9 29.5                          |
| E              | 0.737                            | –2.53                             | 4.01                        | 7.23                             | 63.5 25.6                          |
| N              | 0.280                            | –4.12                             | 4.68                        | 16.50                            | 53.9 86.9                          |
| NE             | 0.296                            | –2.70                             | 3.29                        | 12.03                            | 43.6 67.6                          |
| SE             | 0.838                            | –4.10                             | 5.78                        | 7.23                             | –63.2 77.6                         |
| S              | –0.210                           | –2.39                             | –2.81                       | –2.28                            | –35.2 63.5                         |
| –0.096         | –4.18                            | 3.98                              | 0.64                        | –67.8                            | 69.0                               |
| SW             | 0.737                            | –2.53                             | 4.01                        | –15.24                           | –56.0 25.6                         |
| W              | –0.133                           | –1.45                             | 2.49                        | –1.42                            | –66.6 63.8                         |

The estimate of fruit diameter from the east section of ‘Gala’ trees may be 4.46 mm below or 3.74 mm above the true fruit diameter obtained from the entire tree.
In recent years, the apple industry has generally transitioned to relatively small trees less than 4 m tall and with narrow canopies less than 2.0 m in diameter at the widest point. Light distribution throughout the canopy of these modern trees is likely more uniform, leading to less systematic variation in fruit size and quality. Harvesting a relatively large proportion of the fruit on small trees is much easier than with large trees. Dorsey and McMunn (1938) were probably the first to evaluate sampling methods for estimating apple fruit size. They found that sampling 100 fruit per tree, harvesting all fruit on one limb per tree, or harvesting all the fruit from a vertical section of the tree did not provide accurate estimates of average FW. These were large trees, and they found that harvesting about 25% of the crop (91.0 kg/tree) provided estimated mean FWs within about 10% of the true mean. Marini (2001) used relatively small central leader ‘Redchief Delicious’ M.26 trees with about 400 fruit per tree and found that a random sample of 20 fruit per tree (5% of the crop) was nearly as accurate as a 60-fruit (17% of the crop) sample obtained by harvesting all fruit on three limbs. Trees used for the present study were much smaller, with an average of only 88 and 147 fruit per tree for ‘Gala’ and ‘Fuj’ respectively. As previously reported, the estimated FW more closely approximated the true value as the proportion of fruit sampled from trees increased.

To our knowledge, this is the first report in which fruit size distributions from different canopy sections were compared. The fact that the fruit size distributions were similar for different canopy sections supports the concept that canopy position affects fruit size to a much less extent in small trees than in larger trees, where light distribution is less uniform. Our results indicate that sampling all fruit from about 25% of the canopy will provide estimates of average FW within 7% of the true mean and the distribution of fruit size will be similar to the distribution for the whole tree. If very accurate estimates are needed, then harvesting all fruit from the ordinate or coordinate sections (50%) of the canopy is suggested.

Methods have been published to predict apple fruit size at harvest from early-season measurements (Batjer et al., 1957; Forshey, 1971; Marini et al., 2019). The next step in predicting fruit size distribution at harvest from early-season fruit measurements is to measure FD or FW of all the fruit from at least 25% of the canopy 60 d after bloom. Using previously published models to predict fruit size at harvest from early-season measurements (Marini et al., 2019), the predicted distributions can be compared with the true distributions using all the fruit on the whole tree. Agricultural engineers are developing technologies to nondestructively count and measure fruit in orchards and our data indicate that recording data all fruit on one side of a small tree can provide accurate estimates of fruit size and fruit size distribution.

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