Lorentz and CPT violation in the neutrino sector

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(Dated: IUHET 456, July 2003; accepted as a Rapid Communication, Phys. Rev. D)

We consider neutrino oscillations in the minimal Standard-Model Extension describing general Lorentz and CPT violation. Among the models without neutrino mass differences is one with two degrees of freedom that reproduces most major observed features of neutrino behavior.

Quantum physics and gravity are believed to combine at the Planck scale, \( m_P \simeq 10^{19} \text{ GeV} \). Experimentation at this high energy is impractical, but existing technology could detect suppressed effects from the Planck scale, such as violations of relativity through Lorentz or CPT breaking [1]. At experimentally accessible energies, signals for Lorentz and CPT violation are described by the Standard-Model Extension (SME) [2], an effective quantum field theory based on the Standard Model of particle physics. The SME incorporates general coordinate-independent Lorentz violation.

The character of the many experiments designed to study neutrino oscillations [3] makes them well suited for tests of Lorentz and CPT symmetry. The effects of Lorentz violation on propagation in the vacuum can become more pronounced for light particles, and so small effects may become observable for large baselines. Applying this idea to photons has led to the best current sensitivities. In contrast, propagation effects can be huge for neutrinos traveling large distances. The presence of Lorentz violation introduces some novel features not present in the usual massive-neutrino case [9–12], usually with either \( a_L \) or \( c_L \) neglected and in a two-generation model with nonzero neutrino masses. A wealth of effects in the general case remains to be explored.

The Lorentz-violating terms in Eq. (1) modify both interactions and propagation of neutrinos. Any interaction effects are expected to be tiny and well beyond existing sensitivities. In contrast, propagation effects can be substantial if the neutrinos travel large distances. The time evolution of neutrino states is controlled as usual by the effective hamiltonian \( h_{\text{eff}} \) extracted from Eq. (1). The construction of \( h_{\text{eff}} \) is complicated by the unconventional time-derivative term but can be performed following the procedure in Ref. [6]. We find

\[
(h_{\text{eff}})_{ab} = [\hat{p}^i \delta_{ab} + \frac{1}{|\hat{p}|} (a_L)^i p_a - (c_L)^{\mu\nu} p_\mu p_\nu]_{ab}. \tag{2}
\]

To leading order, the 4-momentum \( p_\mu \) is \( p_\mu = (|\vec{p}|, -\vec{p}) \).

The analysis of neutrino mixing proceeds along the usual lines. We diagonalize \( h_{\text{eff}} \) with a 3 \( \times \) 3 unitary matrix \( U_{\text{eff}} \), \( h_{\text{eff}} = U_{\text{eff}} E_{\text{eff}} U_{\text{eff}}^\dagger \), where \( E_{\text{eff}} \) is a 3 \( \times \) 3 diagonal matrix. There are therefore two energy-dependent eigenvalue differences and hence two independent oscillation lengths, as usual. The time evolution operator is \( S_{\nu\nu}(t) = (U_{\text{eff}}^\dagger e^{-iE_{\text{eff}}t} U_{\text{eff}})_{ab} \) and the probability for a neutrino of type \( b \) to oscillate into a neutrino of type \( a \) in time \( t \) is \( P_{\nu_a \rightarrow \nu_b}(t) = |S_{\nu_a \nu_b}(t)|^2 \).

The CPT-conjugate hamiltonian \( h_{\text{eff}}^\text{CPT} \) is obtained by changing the sign of \( a_L \). Under CPT, the transition amplitudes transform as \( S_{\nu\nu}(t) \leftrightarrow S_{\nu\nu}(-t) \), so CPT invariance implies \( P_{\nu_a \rightarrow \nu_b}(t) = P_{\nu_b \rightarrow \nu_a}(t) \). Note that the converse is false in general [7]. For instance, the model described below violates CPT but satisfies the equality.

Since oscillations are insensitive to terms proportional to the identity, each coefficient for Lorentz violation introduces two independent eigenvalue differences, three mixing angles, and three phases. The minimal SME (without neutrino masses) therefore contains a maximum of 160 gauge-invariant degrees of freedom describing neutrino oscillations [8]. Of these, 16 are rotationally invariant. The existing literature concerns almost exclusively the rotationally invariant case [9–12], usually with either \( a_L \) or \( c_L \) neglected and in a two-generation model with nonzero neutrino masses. A wealth of effects in the general case remains to be explored.

The presence of Lorentz violation introduces some novel features not present in the usual massive-neutrino case. One is an unusual energy dependence, which can be traced to the dimensionality of the coefficients for Lorentz violation. In the conventional case with mass-squared differences \( \Delta m^2 \), neutrino oscillations are controlled by the dimensionless combination \( \Delta m^2 L/E \) involving baseline distance \( L \) and energy \( E \). In contrast, Eq. (2) shows that oscillations due to coefficients of type \( a_L \) and \( c_L \) are controlled by the dimensionless combinations \( a_L L \) and \( c_L LE \), respectively.

Another unconventional feature is direction-dependent dynamics, which is a consequence of rotational-symmetry violation. For terrestrial experiments, the direction dependence introduces sidereal variations in various observables at multiples of the Earth’s sidereal frequency.

\[
\mathcal{L} \left[ 1 \right. \frac{\sqrt{2}}{2} \bar{\nu}_a \gamma^\mu D^\mu \nu_a - (a_L)_{\mu\nu} \bar{\nu}_a \gamma^\mu D^\mu \nu_b + \frac{\sqrt{2}}{2} (c_L)_{\mu\nu} \bar{\nu}_a \gamma^\mu \nu_b, \tag{1}
\]
\[ \omega_0 \simeq 2\pi/(23 \text{ h } 56 \text{ m}) \]. For solar-neutrino experiments, it may yield annual variations because the propagation direction differs as the Earth orbits the Sun. Both types of variations offer a unique signal of Lorentz violation with interesting attainable sensitivities. For solar neutrinos \( L E \gtrsim 10^{20} \), so a detailed analysis of existing data along the lines of Refs. [14] might achieve sensitivities as low as \( 10^{-28} \text{ GeV} \) on \( a_L \) and \( 10^{-26} \) on \( c_2 \) in certain models with Lorentz violation. These sensitivities would be comparable to the best existing ones in other sectors of the SME [4, 15–21].

The coefficients for Lorentz violation can also lead to novel resonances, in analogy to the MSW resonance [22]. Unlike the usual case, however, these Lorentz-violating resonances can occur also in the vacuum and may have directional dependence [23]. Note that conventional matter effects can play a crucial role in the analysis.

An interesting question is whether the introduction of Lorentz violation may help explain the small LSND effect [25] designed to test the LSND result. The qualitative features of the model can be understood as follows. At low energies, \( \hat{a} \) causes oscillation of \( \nu_e \) into an equal mixture of \( \nu_\mu \) and \( \nu_\tau \). At high energies, \( \hat{c} \) dominates and prevents \( \nu_e \) mixing. For definiteness, we take \( \hat{c} > 0 \). At energies well above the critical energy \( E_0 = |\hat{a}|/\hat{c} \), \( \sin^2 \theta \) vanishes and the probabilities reduce to a maximal-mixing two-generation \( \nu_\mu \leftrightarrow \nu_\tau \) case with transition probability \( P_{\nu_\mu \leftrightarrow \nu_\tau} \simeq \sin^2 (\Delta m^2_{32}/2) \), \( \Delta m^2_{32} \simeq \hat{a}^2 \cos^2 \Theta/2\hat{c} \). The energy dependence in this limit is therefore that of a conventional mass-squared difference of \( \Delta m^2_{32} \equiv \hat{a}^2 \cos^2 \Theta/\hat{c} \). This pseudomass appears because the Hamiltonian contains one large element at high energies, triggering a Lorentz-violating seesaw. Other models using combinations of mass and coefficients for Lorentz violation can be constructed to yield various exotic \( E^\theta \) dependences at particular energy scales. Note that the high-energy pseudomass and hence neutrino oscillations depend on the declination \( \Theta \) of the propagation. High-energy neutrinos propagating parallel to celestial north or south experience the maximum pseudomass \( \Delta m^2_{32} \), while others see a reduced value \( \Delta m^2_{31} = \Delta m^2_{32} \cos^2 \Theta \). For propagation in the equatorial plane, all off-diagonal terms in \( h_{\text{eff}} \) vanish and there is no oscillation.

The features of atmospheric oscillations in the model are compatible with published observations. For definiteness, we take \( \Delta m^2_{31} \) near the accepted range required in the usual analysis and \( E_0 \) below the relevant energies: \( \Delta m^2_{31} = 10^{-3} \text{ eV}^2 \) and \( E_0 = 0.1 \text{ GeV} \) (\( \hat{c} = 10^{-19} \), \( \hat{a} = 10^{-20} \text{ GeV} \)). High-energy atmospheric neutrinos then exhibit the usual energy dependence, despite having zero mass differences. The zenith-angle dependence of the probability \( P_{\nu_e \rightarrow \nu_\mu} \) averaged over the azimuthal angle also is comparable within existing experimental resolution to a conventional maximal-mixing case with two generations and a mass-squared difference \( \Delta m^2 = 2 \times 10^{-3} \text{ eV}^2 \), as is shown in Fig. 1 for latitude \( \chi \simeq 36^\circ \). However, the model predicts significant azimuthal dependence for
atmospheric neutrinos, which is a signal for Lorentz violation. For example, consider neutrinos propagating in the horizontal plane of the detector. Neutrinos originating from the east or west have \( \cos \Theta = 0 \), \( \Delta m^2 = 0 \), and hence no oscillations. In contrast, those entering the detector from the north or south experience a pseudomass of \( \Delta m^2 = \Delta m^2_0 \cos^2 \chi \). Figure 2 shows the survival probability averaged over zenith angle as a function of azimuthal angle. Although this model predicts no east-west asymmetry beyond the usual case, north-east or north-south asymmetries appear. Similar ‘compass’ asymmetries are typical in all direction-dependent models.

The basic features of solar-neutrino oscillations predicted by the model are also compatible with observation. Observed solar neutrinos propagate in the Earth’s orbital plane, which lies at an angle \( \eta \approx 23^\circ \) relative to the equatorial plane. The value of \( \cos^2 \Theta \) therefore varies from zero at the two equinoxes to its maximum of \( \sin^2 23^\circ \) at the two solstices. Assuming adiabatic propagation in the Sun, the average \( \nu_e \) survival probability is

\[
(P_{e\nu_e})_{\text{adiabatic}} = \sin^2 \theta \sin^2 \theta_0 + \cos^2 \theta \cos^2 \theta_0,
\]

where \( \theta_0 \) is the mixing angle at the core, given by replacing \( -cE \) with \( -cE + G_F m_e / \sqrt{2} \) in Eq. (4). Figure 3 shows the adiabatic probability as a function of energy averaged over one year. The predicted neutrino flux is half the expected value at low energies and decreases at higher energies, consistent with existing data. Also shown is the adiabatic probability at approximately weekly intervals between an equinox and a solstice. Over much of the year, it remains near the average. There is a strong reduction near each equinox, but the adiabatic approximation fails there because oscillations cease, and so the true survival probability peaks sharply to unity. The combination of effects produces ripples in the binned flux near the equinoxes, which might be detected in detailed experimental analyses of existing or future data.

Although detection of the semiannual variation would represent a definite positive signal for Lorentz violation, its absence cannot serve to eliminate this type of model. Simple modifications of the model exist that exhibit similar overall behavior for solar and atmospheric neutrinos but have only a small semiannual variation. As an illustration, consider the replacement of the coefficient \( (a_L)_T^{Z\mu} \) with a coefficient \( (a_L)_T^{Z\mu} \) of half the size. This has the effect of replacing the solid and dashed curves of Fig. 3 with those shown in Fig. 4. The semiannual variations in this type of model lie below existing statistical sensitivities. Replacing also \( (a_L)_T^{Z\mu} \) with \( (a_L)_T^{Z\mu} \) is another option, which removes all orientation dependence in the model. Another example of a small modification is a 10% admixture of \( (a_L)_T^{Z\mu} \), which raises the survival probability of 0.5 at low energies to about 0.6 without appreciably affecting other results. The ensuing survival probability in the adiabatic approximation is shown as the dotted line in Fig. 4. Other more complicated modifications that could be countenanced but that nonetheless retain the flavor of the simple model include allowing dependence on directions other than \( Z \), or even introducing arbitrary coefficients \( (a_L)_T^{\mu\tau} \), \( (a_L)_T^{\mu\tau} \), \( (a_L)_T^{\mu\tau} \), and \( (c_L)^{\mu\nu} \), which yields a model with 21 degrees of freedom. More general possibilities also exist [7].

We conclude that positive signals for Lorentz violation could be obtained by detailed fitting of existing experimental data, but that it is challenging and perhaps even impossible at present to exclude the possibility that the observed neutrino oscillations are due to Lorentz and CPT violation rather than to mass differences.

**FIG. 1:** \( P_{\nu_e\rightarrow\nu_e} \) averaged over azithral angle for the bicycle model (solid) and for a conventional case with mass (dotted).

**FIG. 2:** \( P_{\nu_e\rightarrow\nu_e} \) averaged over zenith angle for the bicycle model (solid) and for a conventional case with mass (dotted).

**FIG. 3:** \( (P_{\nu_e\rightarrow\nu_e})_{\text{adiabatic}} \) averaged over one year (solid) and at intervals between an equinox and a solstice (dashed).

**FIG. 4:** \( (P_{\nu_e\rightarrow\nu_e})_{\text{adiabatic}} \) for some modified models.
The observations from long-baseline experiments are also compatible with the oscillation lengths in the simple two-coefficient model. For example, the oscillation length $2\pi/|\Delta m^2|$ controls $\bar{\nu}_e$ survival and is short enough to affect KamLAND [26]. An analysis incorporating the relative locations of the detector and the individual reactors would be of definite interest but lies outside our scope. Note, however, that the average $\bar{\nu}_e$ survival probability is $\langle P_{\nu_e \to \bar{\nu}_e} \rangle = 1 - 2 \sin^2 \theta \cos^2 \vartheta \geq 1/2$. A complete analysis is therefore likely to yield a reduced flux somewhat more than half the expected flux, in agreement with current data.

The new class of long-baseline accelerator-based experiments [27], planning searches for oscillations in $\nu_\mu$ at GeV energy scales and distances of hundreds of kilometers, will be sensitive to sidereal variations. The model predicts $\nu_\mu \leftrightarrow \nu_\tau$ mixing with an experiment-dependent pseudomass $\Delta m^2_{\nu_\mu \nu_\tau} = \Delta m^2_\odot \cos^2 \Theta$ because their beamlines are in different directions and so involve a different propagation angle $\Theta$. The energy dependence and transitions will be similar to the usual mass case.

Although the simple bicycle model reproduces most major features of observed neutrino behavior, it incorporates only a tiny fraction of the many possibilities allowed in the SME. More complexity could be introduced in performing a detailed fit to all existing data. Nonetheless, the model serves to illustrate a few key phenomena introduced by Lorentz violation. It also shows that the presence of Planck-scale Lorentz and CPT violation in nature could well first be revealed by a definitive signal in neutrino oscillations.

This work was supported in part by D.O.E. grant DE-FG02-91ER40661 and by N.A.S.A. grants NAG8-1770 and NAG3-2194.

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