A functional association between the 5' and 3' splice sites is established in the earliest prespliceosome complex (E) in mammals

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The earliest detectable mammalian prespliceosome complex (E) contains the non-snRNP splicing factor U2AF, U1 snRNP, and several spliceosome-associated proteins (SAPs). We show that specific complexes, designated E3' and E5', assemble independently on RNAs containing only a 3' or 5' splice site, respectively. U2AF is enriched in E3', whereas U1 snRNP is enriched in E5'. Using a highly sensitive substrate-competition assay, we show that both the 5' splice site and the pyrimidine tract at the 3' splice site are required for efficient E complex assembly on intact pre-mRNA. We conclude that the 5' and 3' splice sites are associated functionally as early as E complex by either direct or indirect interactions between U1 snRNP and U2AF. Our observations predict that E complex assembly is a major control point for establishing splice site selection in both constitutively and alternatively spliced pre-mRNAs.

[Key Words: E complex; 5' and 3' splice sites; splice site selection; splicing factors; snRNP; substrate-competition assay]

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Association of 5' and 3' splice sites in E complex

Reed 1991). In addition to U1 snRNP, analysis of the protein composition of affinity-purified E complex revealed the presence of the non-snRNP splicing factor U2AF and several spliceosome-associated proteins [SAPs] (Bennett et al. 1992b).

Although there are obvious similarities between the yeast commitment complex and the mammalian E complex, the pre-mRNA sequences required for this early step in mammalian spliceosome assembly have not been determined. Moreover, several ATP-independent complexes have been identified in mammals, and the relationships between these complexes, E complex, and commitment activity are not understood (Michaud and Reed 1991; Jamison et al. 1992; Jamison and Garcia-Blanco 1992). In this report we show that the functional activity that commits pre-mRNA to the spliceosome assembly pathway resides in E complex and not in other ATP-independent complexes. We also show that specific complexes, designated E3' and E5', assemble on RNAs lacking the 5' or 3' splice site, respectively. E3' is a specific precursor to the first ATP-dependent prespliceosome that assembles on an RNA lacking the 5' splice site (A3' complex). However, using a sensitive substrate-competition assay, we demonstrate that E complex assembles more efficiently on a pre-mRNA containing both 5' and 3' splice sites than on an RNA containing either splice site alone. We conclude that in mammals, as in yeast, the 5' and 3' splice sites are recognized and functionally associated with one another in E complex, prior to assembly of A complex.

**Results**

In initial studies we carried out experiments to determine the relationship between E complex and three other ATP-independent complexes (H, –ATP, and commitment) identified in mammals (Frendewey and Keller 1985; Grabowski et al. 1985; Konarska and Sharp 1987; Reed 1990; Michaud and Reed 1991; Jamison and Garcia-Blanco 1992; Jamison et al. 1992). H complex, which assembles before any of the functional prespliceosome complexes (Michaud and Reed 1991), consists primarily of heterogenous nuclear ribonucleoproteins (Bennett et al. 1992a) and does not require 5’ or 3’ splice sites for assembly (Grabowski et al. 1985; Konarska and Sharp 1987; Reed 1990). The –ATP complex contains U2 snRNP and is detected as a large particle on native gels and on density gradients (Jamison and Garcia-Blanco 1992; Jamison et al. 1992). Finally, the commitment complex was identified on density gradients as an activity that commits pre-mRNA to the mammalian spliceosome assembly pathway (Jamison et al. 1992). This activity was not separated as a discrete peak from the H complex or the –ATP complex (Jamison et al. 1992).

To identify each of the discrete ATP-independent complexes (–ATP, E, and H), a splicing reaction lacking ATP was fractionated by gel filtration [Fig. 1A], and fractions throughout the column were analyzed by native gel electrophoresis [Fig. 1B]. This analysis revealed that the vast majority of pre-mRNA fractionated in the region of the native gel shown previously to contain E and H com-

**Figure 1.** The ATP-independent activity that commits pre-mRNA to spliceosome assembly resides in E complex. (A) 32P-Labeled AdML pre-mRNA was incubated in a splicing reaction in the absence of ATP and then fractionated by gel filtration. The peaks corresponding to E and H complexes are indicated. The unlabelled peak that elutes before E complex is the void volume of the column, and the unlabelled peak that elutes after H complex is degraded RNA. (B) Fractions throughout the gel filtration column were analyzed by native gel electrophoresis. The fraction numbers are indicated at the top of each lane. The bands corresponding to E and H complexes (E+H) and the –ATP complex are indicated. (C) An aliquot of each of the fractions shown in B was incubated in a complementation reaction under splicing conditions [see Materials and methods, Michaud and Reed 1991] and then fractionated by native gel electrophoresis. The fraction numbers are indicated at the top of each lane. The bands corresponding to E, H, A, and B complexes are indicated.
plexes (Michaud and Reed 1991), whereas a minor fraction of the pre-mRNA was present in the –ATP complex (Fig. 1B). This complex was identified as the –ATP complexes (Michaud and Reed 1991), whereas a minor fraction of AdML in a previous study (Jamison and Garcia-Blanco 1992; Jamison et al. 1992).

To determine which of the ATP-independent complexes cofractionates with commitment activity, an aliquot of each of the fractions shown in Figure 1B was incubated in a complementation reaction under splicing conditions [Fig. 1C; Michaud and Reed 1991]. The complementing extract, which does not assemble spliceosomes, or splice naked pre-mRNA, was prepared by pre-incubating nuclear extract with competitor RNA [see Materials and methods; Michaud and Reed 1991]. Significantly, we observed that the pre-mRNA in the gel filtration fractions containing E complex was very efficiently chased into A and B complexes [Fig. 1C, fractions 44–50]. The peak of commitment activity corresponds precisely with the peak of E complex (Fig. 1A). Moreover, the data show that commitment activity cannot possibly be due to the –ATP complex, as the levels of this complex are >10-fold lower than those of A and B complexes generated in the complementation reaction [Fig. 1, cf. B, lanes 46–50, with C, lanes 46–50]. We conclude that the ATP-independent activity that commits pre-mRNA to spliceosome assembly resides in E complex, not in H or –ATP complexes. Moreover, because the only commitment activity that we detect cofractionates with E complex, we conclude that the commitment activity, designated commitment complex (Jamison et al. 1992), corresponds to E complex. In contrast to our studies in which E complex is detected as a discrete peak by gel filtration, E (or commitment) complex is not detected as a discrete peak by density gradient sedimentation (Jamison et al. 1992). This probably accounts for the failure to detect E complex in the early studies of spliceosome assembly that also employed density gradients (Frendewey and Keller 1985; Grabowski et al. 1985; Bindereif and Green 1986; Perkins et al. 1986).

The –ATP complex was detected with a specific derivative of AdML in a previous study [Jamison and Garcia-Blanco 1992] but was not detected as an abundant complex with other pre-mRNAs tested (this study; Jamison and Garcia-Blanco 1992). It is possible that the –ATP complex represents an intermediate step between E and A complexes or that it is an aberrant complex [Jamison and Garcia-Blanco 1992; Jamison et al. 1992].

Sequence requirements for E complex assembly
To determine the pre-mRNA sequence requirements for E complex assembly, we analyzed complex formation with several mutant derivatives of AdML and β-globin pre-mRNAs [Figs. 2 and 3]. Equimolar amounts of each RNA were used for E complex assembly reactions. Because the specific activities of the different RNAs vary, only the ratios of the peaks [e.g., E/H ratio] between each gel filtration column profile should be compared. We also note that the same complex can fractionate in different fractions because of differences between the gel filtration columns. However, the identity of the complexes was confirmed by determining their protein or snRNA compositions, or both (see below).

To determine whether 3′ splice-site sequences are essential for E complex assembly, we examined two different 5′ half-substrate RNAs, AdML5′ and β5′, which contain exon 1 and the 5′ portion of the intron but lack the 3′ half of the intron and exon 2 [Fig. 2]. E complex elutes between the void volume of the column and the H complex (see Fig. 1A). We observed a discrete peak in the position of E complex with both AdML5′ and β5′ RNAs [Fig. 2]. In contrast, this complex was not detected or was barely detected with three other RNAs, including a nonspecific RNA lacking splice sites (NS2), a 5′ half-substrate with a 5′ splice-site deletion (βΔ), and a nonspecific RNA (NS1) containing a sequence (CAGGTGAGC) that is an 8/9 match to the 5′ splice-site consensus sequence [CAGGTGAGT] (Fig. 2). On the basis of these data, we have come to the following conclusions. (1) Specific ATP-independent complexes assemble on RNAs containing only the 5′ portion of the pre-mRNA. We have designated these complexes E5′, and they are further characterized below. (2) The 5′ splice site is required for E5′ assembly. The smaller shoulder that elutes before H complex with βΔ RNA is most likely the result of the presence of a cryptic 5′ splice site in this RNA. This cryptic 5′ splice site is activated to low levels when the normal 5′ splice site is deleted [Krainer et al. 1984, 1990b]. (3) The 5′ splice site alone does not appear to be sufficient for E5′ assembly. The observation that E5′ was not detected on a nonspecific RNA containing a 5′ splice site (NS1) suggests that the sequence context of the 5′ splice site may play an important role in E5′ complex assembly.

The studies presented above show that specific ATP-independent complexes assemble on the 5′ portion of the intron. To determine whether a specific complex could be detected on the 3′ splice site under these conditions, we examined 3′ half-substrates, which contain only the 3′ portion of the intron (including the branchpoint sequence and the 3′ splice site) and exon 2 (Fig. 3A). Interestingly, although efficient complex assembly was detected with AdML3′, only very low levels of complex assembly were observed with β3′. AdML3′ does not contain any sequences likely to serve as cryptic 5′ splice sites, suggesting that efficient complex assembly on AdML3′ is attributable to the 3′ splice site sequences. We have designated this complex E3′.

To test the possibility that differences in 3′ splice-site sequences are responsible for the higher levels of E3′ complex observed with AdML3′ than with β3′, we examined complex assembly on Ad/β3′. This RNA contains the 3′ intron portion of AdML3′ fused precisely to exon 2 of β3′. As shown in Fig. 3A, the levels of E3′ were significantly increased with Ad/β3′ relative to β3′, although not to the levels observed with AdML3′. These data indicate that either exon sequence or length [AdML3′ exon 2 is 45 nucleotides; β3′ exon 2 is 208
nucleotides; see Materials and methods) is important for efficient complex assembly.

To identify the specific intron sequences in AdML3' that could account for the efficient E3' assembly, we compared the 3' splice-site sequences of AdML3' (CCC-UUUUUUCCACAG) and β3' (UAUUUUCCACCUUAC). One notable difference between these RNAs is the presence of eight contiguous uridine residues in AdML3'. To determine directly whether these nucleotides are important for efficient complex assembly, we constructed a derivative of AdML3' in which these eight uridines were substituted with random sequence (CCCGUGAUCACCCACAG). A dramatic decrease in the levels of E3' was observed with this mutant (AdMLA; Fig. 3A). We conclude that efficient E3' complex assembly requires the pyrimidine tract. Further studies are required to determine whether pyrimidine content, or uridine content in particular, is important for assembly.

Although E3' assembly is specific and efficient on AdML3', we questioned the functional significance of E3' because assembly is so inefficient on β3'. If the interactions that occur during E3' assembly are essential for subsequent steps in the splicing reaction, then β3' RNA should be significantly less efficient in these steps than AdML3'. Previous studies using native gels have shown that an ATP-dependent complex, designated A complex, assembles on 3' half substrates [Konarska and Sharp 1986]. To distinguish between the A complex that is assembled on intact pre-mRNA and that assembled on 3' half-substrates, we refer to the latter complex as A3' (Bennett et al. 1992b). To determine whether the efficiency of E3' assembly correlates with A3' assembly, we used the same RNAs shown in Figure 3A to examine A3' assembly. This analysis revealed dramatic differences in the efficiency of A3' assembly with the different RNAs [Fig. 3B]. As was observed with E3' assembly, β3' RNA assembles A3' complexes poorly, whereas AdML3' RNA assembles A3' very efficiently [Fig. 3B, lanes 2,3]. Similarly, dramatically decreased levels of A3' assembly were observed with AdMLA [Fig. 3B, lane 4], as expected from previous studies [Konarska and Sharp 1986]. Finally, A3' assembly, as with E3' assembly, was intermediate in efficiency with Ad/β3' RNA [Fig. 3B, lane 1].

The data presented above show that there is a correlation between the efficiency of E3' and A3' assembly, suggesting that E3' may be a precursor to A3'. To determine this directly, we carried out in vitro complementation studies [Fig. 3C]. S-100 extracts were used for the complementation reaction [Krainer and Maniatis 1985]. These extracts lack the essential splicing factor SF2/ASF and, therefore, do not splice naked pre-mRNA [Krainer and Maniatis 1985]. To determine whether E3' complex could be chased into A3' complex, AdML3' RNA (containing the branchpoint sequence and the 3' splice site) was incubated in splicing extracts in the absence of ATP, and a small aliquot of this reaction...
An ATP-independent complex, E3', assembles specifically on the 3' splice site and is a precursor to the ATP-dependent complex, A3'. (A) Fractionation of E3' complexes by gel filtration. The RNAs indicated above each column profile were incubated for 20 min in splicing reactions (100 µl) lacking ATP and magnesium and then fractionated by gel filtration. Representative column profiles are shown. The peaks containing E3' and H complexes are indicated for each column profile. The peak in fractions 25–35 in each profile is the void volume, and the peak in fractions 65–75 is degraded RNA. (B) Fractionation of A3' complexes by native gel electrophoresis. RNAs were incubated for 5 min in splicing reactions containing ATP and magnesium and then fractionated by native gel electrophoresis (lane 1, Ad/β') (lane 2, β') (lane 3, Ad/AdML3') (lane 4, Ad) AdMLΔ. The bands corresponding to A3', E3', and H complexes are indicated. (C) In vitro complementation of E3' complexes. (Lane 1) A mock (mock) reaction (see text and Materials and methods), in which nuclear extract and AdML3' RNA were not incubated together before an 87.5-fold dilution into a splicing reaction containing S-100. (Lanes 2–4) E complex, assembled by incubating AdML3' RNA with nuclear extract, before an 87.5-fold dilution into a splicing reaction containing nuclear extract (NE) (lane 2), S-100 (lane 3), or buffer (Buf) (lane 4). Complementation reactions were carried out for 20 min and then fractionated by native gel electrophoresis. The bands corresponding to A3', H, and E3' complexes are indicated [left of B].

was diluted into the complementation reaction containing S-100 (see Materials and methods). As a control, a mock reaction was carried out in which AdML3' RNA and the extract were not incubated together before their dilution into the complementation reaction. The same strategy was used to show that E complex assembled on intact pre-mRNA could be chased into A and B complexes (Michaud and Reed 1991). As was observed previously with E complex (Michaud and Reed 1991), E3' and H complexes cofractionate by native gel electrophoresis (data not shown).

When a reaction containing E3' complex was diluted into a complementation reaction containing normal nuclear extract, A3' complex was assembled efficiently (Fig. 3C, lane 2). In contrast, little or no A3' complex was detected when the control mock complex was diluted into a complementation reaction containing S-100 (lane 1), or when the reaction containing E3' complex was incubated in buffer alone (lane 4). However, A3' complex was readily detected when the reaction containing E3' complex was incubated in a complementation reaction containing S-100 (lane 3). These data indicate that the ATP-independent E3' complex is a precursor to the ATP-dependent A3' complex. Complex assembly is considerably less efficient in S-100 than in nuclear extract, possibly because S-100 has lower concentrations of splicing factors (Krainer et al. 1990a).

SnRNA and protein compositions of E, E5', and E3' complexes

Our data show that specific ATP-independent complexes, E3' and E5', assemble on RNAs lacking either a 5' or a 3' splice site, respectively. These complexes were not detected in previous studies because, unlike A3' complex, E3' and E5' are not stable in the native gel systems used (Konarska and Sharp 1986, 1987; S. Michaud and R. Reed, unpubl.). To determine the relationships between E complex assembled on intact pre-mRNA and E5' and E3' complexes, we compared the snRNA and protein compositions of highly purified E, E5', and E3' complexes [Figs. 4 and 5]. For purification, complexes were assembled on biotinylated pre-mRNA, isolated by gel filtration and affinity-purified in 250 mM salt by binding to avidin–agarose (see Materials and methods, Michaud and Reed 1991; Bennett et al. 1992b).
by binding to avidin-agarose, and washed in 250 mM salt (see Materials and methods).

Complexes were fractionated by gel filtration, affinity-purified on nonbiotinylated RNAs. The positions of the snRNAs and the RNA substrates are indicated at left. The band labeled X is present in some preparations of nuclear extract and may correspond to a breakdown product of U1 snRNA (see Michaud and Reed 1991). [Lane 1] B complex + biotin; [lanes 2,6] E complex + biotin; [lane 3] A5' complex + biotin; [lane 4] A3' complex + biotin; [lane 5] A3' complex - biotin; [lanes 7,9] E3' complex + biotin; [lane 8] E5' complex + biotin; [lane 10] E3' complex - biotin; [lanes 11-13] markers for the RNA substrates: [pre-mRNA] AdML; [Ad3'] AdML3'; [Ad5'] AdML5'.

Figure 4. SnRNA compositions of purified complexes. Splicing complexes were assembled on 32P-labeled AdML pre-mRNA and derivatives of AdML containing only a 5' [5'Ad, AdML5'] or a 3' (3'Ad, AdML3') splice site (see Materials and methods). RNA was isolated from equivalent complexes, was fractionated by gel filtration, affinity-purified with [32P]pCp and RNA ligase, fractionated on an 8% polyacrylamide gel, and then visualized by Phosphorimage analysis. As a control, the purification was carried out with complexes assembled on unlabeled proteins in each complex were shown not to correspond to a breakdown product of U1 snRNA (see Michaud and Reed 1991). [Lane 1] B complex + biotin; [lanes 2,6] E complex + biotin; [lane 3] A5' complex + biotin; [lane 4] A3' complex + biotin; [lane 5] A3' complex - biotin; [lanes 7,9] E3' complex + biotin; [lane 8] E5' complex + biotin; [lane 10] E3' complex - biotin; [lanes 11-13] markers for the RNA substrates: [pre-mRNA] AdML; [Ad3'] AdML3'; [Ad5'] AdML5'.

Analysis of the snRNA compositions of equimolar amounts of purified E and E5' complexes revealed similar levels of U1 snRNA (Fig. 4, lane 2 or 6 and 8; Michaud and Reed 1991). In contrast, much lower levels of U1 snRNA are present in E3' complex (cf. lanes 6–8). Note that the levels of U1 snRNA in E3' complex are above the background levels observed when E3' splicing is carried out with a nonbiotinylated RNA as a control (cf. lanes 9 and 10). The levels of U1 snRNA detected in E, E5', and E3' complexes purified in 250 mM salt are similar to the levels detected in 150 mM salt (data not shown). As expected, U2 snRNA is present at high levels in A3' complex (Fig. 4, lane 4) and U2, U4, and U5 snRNAs are enriched in B complex (cf. lane 1; note that U6 snRNA is also enriched in B complex [see below] but does not label efficiently with RNA ligase and pCp). The low levels of U2, U4, and U5 snRNAs observed in A5' complex suggest the possibility that A5' may be a pseudospliceosome-like complex similar to that shown to assemble on an RNA oligonucleotide containing a consen-
sus 5' splice site (Hall and Konarska 1992). The levels of U1 snRNA are much lower in A3', A5', and B complexes relative to the corresponding ATP-independent complexes, E3', E5', and E (Fig. 4, cf. lanes 1–8). This observation is addressed further below.

The finding that U1 snRNA is the only snRNA specifically enriched in E, E5', and E3' complexes compared with the ATP-dependent complexes is consistent with the idea that E5' and E3' are related to E complex. To further investigate the relationships between these three complexes, we carried out two-dimensional gel analysis of the protein compositions of the purified complexes (Fig. 5). As reported previously (Bennett et al. 1992b), E complex contains the U1 snRNP-specific proteins A and 70K, the snRNP core proteins B and B', the non-snRNP splicing factor U2AF, and five SAPs [42, 72, 88, 92, and 115 kD] the U1 70K does not stain with silver (Michaud and Reed, unpubl.). On the basis of the protein and snRNA compositions of E5' and E3', we conclude that these two complexes are related to E complex assembled on intact pre-mRNA.

Release of U1 snRNP during spliceosome assembly

In our comparison of the snRNA compositions of the ATP-dependent and ATP-independent complexes, we found that U1 snRNA is present at much lower levels in the ATP-dependent complexes (A5', A3', and B; Fig. 4, cf. lanes 1–8). A similar decrease in the levels of U1 snRNA between E and B complexes was observed previously (Michaud and Reed 1991). However, in the present study and that reported previously (Michaud and Reed 1991), the snRNAs were detected by 3' end-labeling with [32P]pCp, which labels different RNAs with very different efficiencies. Thus, we could not distinguish between the possibilities that there was >1 mole of U1 snRNA per mole of E complex, or <1 mole of U1 snRNA per mole of A or B complex. To address this question, we used ethidium bromide staining to compare the snRNA levels in E, A, and B complexes (Fig. 6). Interpretation of these data is complicated because C complex contaminates both E complex and A complex assembled for short periods of time (2 min; Michaud and Reed 1991). As a consequence, the levels of snRNAs in these complexes appear lower than expected when compared with the pre-mRNA. In any case, analysis of E complex clearly shows that there is no more than 1 mole of U1 snRNA per mole of E complex (Fig. 6, lane 1). Moreover, U1 snRNA is substoichiometric relative to the other.
Figure 5. Protein compositions of E, E5', and E3' complexes. Affinity-purified E, E5', and E3' complexes were fractionated by two-dimensional gel electrophoresis and silver stained (see Materials and methods; Bennett et al. 1992b). Sizes of molecular mass markers are shown [in kD]. The SAPs [42, 72, 88, 92, and 115 kD], the snRNP core proteins B and B', the U1 snRNP-specific protein A, and U2AF are indicated. [Δ] The heat shock proteins, Hsp70/ Hsc70, which are highly abundant in the nuclear extract and may be present in the affinity-purified splicing complexes as contaminants [Bennett et al. 1992b].

Figure 6. SnRNA compositions of E, A, and B complexes. RNA was isolated from affinity-purified E, A, and B complexes, fractionated on an 8% denaturing gel, and stained with ethidium bromide. Each lane contains 200 ng of AdML pre-mRNA assembled into the indicated complex. The pre-mRNA and the snRNAs [U1, U2, U4, U5, and U6] are indicated at left. [Lane 1] E complex; [lane 2] A complex; [lane 3] B complex; [lane 4] 200 ng of AdML pre-mRNA.

snRNAs in B complex (Fig. 6, lane 3). On the basis of these data, we conclude that U1 snRNP is present in E complex but less tightly associated with the pre-mRNA in B complex. Immunoprecipitation studies detect U1 snRNP in B complex [Abmayr et al. 1988], indicating that this snRNP remains loosely bound to the complex. Whether this is a functional association or not remains to be determined.

We believe that the destabilization of U1 snRNP occurs after A complex assembly because equimolar amounts of U1 and U2 snRNAs are present in A complex assembled for only 2 min [Michaud and Reed 1991]. A similar result was obtained when A complex was assembled in U4/U6 [Barabino et al. 1990] or U5 snRNP-depleted extracts [Lamm et al. 1991]. We have found that A complex is very short lived when assembled in normal extracts on intact pre-mRNA. Thus, although there is less U1 snRNA than U2 snRNA in the A complex shown in Figure 6 (lane 2), this complex was assembled for 5 min and contains some U4, U5, and U6 snRNAs. This indicates that a portion of the pre-mRNA has assembled into B complex, providing a possible explanation for the lower levels of U1 snRNA. The observation that the levels of U1 snRNA are very low in A5' and A3' complexes [Fig. 4, lanes 3,4] suggests that both the 5' and the 3' splice sites stabilize U1 snRNP interactions in A complex assembled on intact pre-mRNA.

The 5' and 3' splice sites interact in E complex

The observation that E complex does not assemble in its entirety on either the 5' or the 3' splice site alone suggests that both splice sites play a role in E complex assembly. To investigate the functional roles of the 5' and 3' splice sites in E complex assembly, we developed a sensitive substrate–competition assay [Fig. 7]. Although a previous study concluded that the 5' and 3' splice sites were required for efficient E (or commitment) complex
assembly, this study assayed only for A complex formation (Jamison et al. 1992), without distinguishing between effects on E versus A complex assembly. In our assay, we examined E complex assembly directly (Fig. 7). This was achieved by mixing wild-type pre-mRNA with an equal amount of a pre-mRNA containing a specific mutation. The mixed RNAs were assembled into E complex, fractionated by gel filtration, and the distribution of mutant and wild-type RNAs in H or E complexes was determined by denaturing gel electrophoresis. The mixed pre-mRNAs were distinguished by size; 30 additional nucleotides were present at the 3' end of transcripts when the DNA transcription templates were digested with HindIII instead of BamHI [Fig. 7A–C, lanes 1–4]. As a control for the effects of size differences, wild-type AdML pre-mRNAs truncated at the BamHI or HindIII sites [wt-Bam and wt-Hind, respectively] were mixed together and the distribution of these RNAs in E and H complexes was determined [Fig. 7B,C, lanes 5,6]. These data show that there is slightly more wt-Bam than wt-Hind pre-mRNA in E complex, thus indicating a small deleterious effect of the additional exon sequences. Significantly, however, pre-mRNAs containing substitutions in the 5' splice site [5'ssA] or in the pyrimidine tract at the 3' splice site [pyrA] were present in much lower levels than the wild-type RNA in E complex [Fig. 7B,C, lanes 7,9]. In contrast, the mutant RNAs were overrepresented, or at least present at similar levels as wild type, in H complex [Fig. 7B,C, lanes 8,10]. We conclude that the 5' and 3' splice sites interact functionally in E complex.

To determine whether there was competition between the wild-type and mutant RNAs for E complex formation, or whether the mutant RNAs were incapable of forming E complex, we repeated the experiments [Fig. 7B,C, lanes 5–10] using 10-fold less of each RNA in the reactions [Fig. 7B,C, lanes 11–16]. Under these conditions, the levels of the mutant and wild-type pre-mRNAs were more similar in E complex [Fig. 7B,C, lanes 13,15]. This observation is consistent with our data showing that E5' and E3' complexes can assemble on RNAs lacking the 3' or the 5' portion of the intron, respectively (see Figs. 2 and 3). Thus, although the 5' and 3' splice sites are recognized independently in E5' and E3' complexes, in the intact pre-mRNA, the 5' and 3' ends of the intron cooperate to form E complex.

Discussion

Understanding the early steps in mammalian spliceosome assembly is critical for elucidating the mechanisms of splice site selection in both constitutively and alternatively spliced pre-mRNAs. E complex, which is the earliest detectable functional intermediate in mammalian spliceosome assembly, accumulates only in reactions lacking ATP. Under these conditions, subsequent complexes are unable to assemble (Michaud and Reed 1991). Thus, E complex appears to be converted very rapidly to the first ATP-dependent prespliceosome complex [A] under normal splicing conditions [i.e., in the presence of ATP]. In this study we show that specific ATP-independent complexes, designated E5' and E3', assemble on RNAs lacking a 3' or a 5' splice site, respectively. However, using a sensitive substrate–competi-
more efficiently than RNAs lacking one or the other
Moreover, this observation suggests that in pre-mRNAs
that the 5' and 3' splice sites are recognized and are func-
tionally associated with one another in E complex.
Moreover, this observation suggests that in pre-mRNAs
containing multiple splice sites, pairing of the appropri-
ate 5' and 3' splice sites occurs at this very early step of
spliceosome assembly. Thus, we predict that E complex
assembly may be the major control point for splice site
selection in both constitutively and alternatively spliced
pre-mRNAs.

5' and 3' splice site interactions
Our studies, together with previous work, suggest a possi-
ble mechanism for the recognition and functional associ-
ation of the 5' and 3' splice sites in E complex. We
find that two components of E complex, U1 snRNP and
U2AF, are enriched specifically in E5' and E3' com-
plexes, respectively. These observations are consistent
with previous studies showing that U1 snRNP binds to
the 5' splice site and that U2AF binds to the 3' splice site
(Mount et al. 1983; Zamore and Green 1989). Thus, a
possible mechanism for bringing together the 5' and 3'
ends of the intron in E complex is an association be-
tween U1 snRNP and U2AF. This association could be
either direct or possibly mediated by one or more of the
SAPs present in E complex (Bennett et al. 1992b). In support
of this proposal, we do not detect any other proteins
in E complex that are specific to the 5' or 3' splice sites.
Similarly, in UV cross-linking studies, U2AF is the only
protein splicing factor that cross-links to the pre-mRNA
in E and E3' complexes (D. Staknis and R. Reed, unpubl.).
We cannot rule out the possibility that factors other than
U1 snRNP and U2AF mediate the interactions between
the 5' and 3' splice sites but are not detectable by UV
cross-linking or analysis of our silver-stained two-di-
dimensional gels.
In addition to U2AF and U1 snRNP (Kramer and Utans
1991), at least one member of the SR family of splicing
factors is required for A complex assembly [Fu and Man-
iatis 1990; Kainer et al. 1990a; Fu et al. 1992]. The SR
family includes SF2/ASF [Ge et al. 1991; Kainer et al.
1991] and SC35 [Fu and Maniatis 1992], and other family
members can substitute functionally for either of these
proteins in in vitro complementation studies [Fu et al.
1992; Mayeda et al. 1992; Zahler et al. 1992]. As the SR
proteins appear to be involved in 5' [Ge and Manley
1990; Kainer et al. 1990b] and 3' splice-site selection [Fu
et al. 1992], it is possible that these proteins function in
E complex. However, using antibodies, we are unable to
detect the SR proteins on blots of two-dimensional gels
of E, A, or B complexes. Thus, further studies are re-
quired to determine precisely when during the early
steps of spliceosome assembly the SR proteins play a
role.
Studies in Schizosaccharomyces pombe suggest that
U1 snRNA may interact directly with the 3' splice site
by base-pairing interactions with the AG dinucleotide
[Reich et al. 1992]. In mammals, the AG dinucleotide is
required for lariat formation in introns containing short
pyrimidine tracts [AG-dependent introns] but not in in-
trons containing long pyrimidine tracts [AG-independerit
introns; Reed 1989]. Using our substrate–competition
assay, we observed a reproducible one- to twofold
decrease in the efficiency of E complex assembly in the
absence of the AG dinucleotide in an AG-dependent in-
tron (S. Michaud and R. Reed, unpubl.). This small effect
could be caused by a slight decrease in U2AF binding
that may have a small requirement for the AG dinucle-
otide [Ruskin et al. 1988; Hoffman and Grabowski 1992]
or to U1 snRNA–AG interactions, or both (Reich et al.
1992).
In this and other studies, low levels of U2 snRNP have
been detected in purified E complex [Michaud and Reed
1991] or specifically bound to pre-mRNA in reactions
lacking exogenously added ATP [Jamison and Garcia-
Blanco 1992; Wassarman and Steitz 1992]. However, ex-
tensive efforts have failed to show that U2 snRNP is
functionally associated with E complex (S. Michaud and
R. Reed, unpubl.). Moreover, using our substrate–competi-
tion assay, we obtained no evidence that the branch-
point sequence is involved in E complex assembly (O.
Gozani and R. Reed, unpubl.). On the basis of these ob-
servations, we believe that a functional association be-
tween the pre-mRNA and U2 snRNP does not occur un-
til A complex.
Both the initial recognition of the 5' and 3' splice sites
by U1 snRNP and U2AF and the proposed association of
the splice sites by these factors apparently occur in a
very rapid step under normal splicing conditions. Subse-
quent to E complex, U1 and U2 snRNPs are stably bound
to the pre-mRNA in A complex. It is likely that the 5'
and 3' splice sites remain associated in A complex, main-
taining the interactions that were established previously
in E complex. However, in A complex, U1 snRNP bound
to the 5' splice site may interact with U2 snRNP bound
to the 3' end of the intron, rather than with U2AF. This
possibility is suggested by the observation that in A3'
complex U2AF is actually displaced from the pre-mRNA
when U2 snRNP binds [Bennett et al. 1992b; D. Staknis
and R. Reed, unpubl.]. However, further studies are re-
quired to determine whether U2AF is also displaced in A
complex assembled on intact pre-mRNA. Whether the
interactions between U1 and U2 snRNPs are direct or
indirect remains to be established.
Our data suggest that the interactions between U1
snRNP and the 5' splice site are destabilized around the
time of B complex assembly. This conclusion is based on
the observation that U1 snRNA and U1 snRNP compo-
nents are present in significantly lower levels in B than
in E complex (this study; Michaud and Reed 1991; Ben-
nett et al. 1992b). In addition, U1 snRNP is present in
lower levels in B complex than are U2, U5, and U6
snRNPs. Our suggestion that U1 snRNP is destabilized in
B complex is consistent with psoralen cross-linking
studies [Wassarman and Steitz 1992], which showed that
U1 snRNP cross-links to the 5' splice site during the
same time period that we detect A complex, but then disappears. U5 snRNA then cross-links to the 5' splice site at a time when we detect B complex. Thus, it appears that the U1 snRNA–5' splice site interaction that occurs in E and A complexes is destabilized and replaced in B complex by the U5 snRNA–5' splice site interaction (this study; Newman and Norman 1992; Steitz 1992; Wassarman and Steitz 1992).

What is the significance of complexes assembled on RNAs lacking 5' or 3' splice sites?

In the earliest studies of mammalian spliceosome assembly, it was found that A complex assembled on RNAs lacking a 5' splice site [Konarska and Sharp 1986]. This complex, which we have designated A3', is thought to be the same as A complex assembled on intact pre-mRNA because it fractionates in the same position as A complex on native gels and contains U2 snRNP [Konarska and Sharp 1986; Moore et al. 1993]. These observations led to the notion that the 5' splice site was dispensable for A complex assembly. However, this notion was difficult to reconcile with the subsequent discovery of E complex, which contains U1 snRNP and, therefore, implicates a role for the 5' splice site before A complex assembly [Reed 1990; Michaud and Reed 1991]. Bearing on this paradox, previous studies have shown that U1 snRNP was required for efficient A3' assembly, but the region of U1 snRNA that base-pairs with the 5' splice site was dispensable [Zillman et al. 1988; Barabino et al. 1990]. Putting all of these observations together, it was suggested that base-pairing between the 5' splice site and U1 snRNA does not occur in E complex, or if it does occur it is not the U1 snRNP interaction required for subsequent assembly of A complex (for review, see Rosbash and Seraphin 1991).

On the basis of our study, we propose an alternative explanation of the relationships among U1 snRNP, the 5' splice site, and E and A complexes. We identified a specific ATP-independent complex, designated E3', that assembles on RNAs containing a functional 3' splice site but lacking a 5' splice site. Significantly, we demonstrated that E3' complex is a functional precursor to A3' complex. Moreover, consistent with the notion that U1 snRNP is required for efficient A3' assembly [Zillman et al. 1988; Barabino et al. 1990], analysis of the composition of E3' revealed low levels of U1 snRNA and U1 snRNP proteins. Thus, our data indicate that E3'-containing U1 snRNP is a precursor to A3'. However, our substrate–competition experiments showed that RNAs containing both the 5' and the 3' splice site assemble E complex more efficiently than RNAs containing only one splice site. On the basis of these observations, we conclude that although E3' and A3' complexes can assemble, the presence of a 5' splice site bound to U1 snRNP results in the more efficient and stable assembly observed with E and A complexes. Consistent with this proposal, Lamon and co-workers (1987) showed that the presence of a 5' splice site increases the kinetics and efficiency of A complex assembly.

Understanding the relationships among E3', A3', and E and A complexes provides insight into the relationship between E complex in mammals and the commitment complex in yeast. The mammalian and yeast complexes are similar to one another in that the 5' and 3' splice sites communicate before U2 snRNP binding (this study; Legrain et al. 1988; Seraphin and Rosbash 1989, 1991). The notable difference is that the 5' splice site appears to be critical for interactions at the 3' splice site in yeast, whereas in mammals the 5' splice site is important only for the efficiency and stability of these interactions at the 3' splice site. The other distinction between the yeast and mammalian commitment complexes is that the pyrimidine tract in mammals substitutes functionally for the branch site in yeast (this study; Seraphin and Rosbash 1989). As U2AF binds to the pyrimidine tract in E complex in mammals, it will be interesting to identify the yeast counterpart that presumably interacts with the branch site in the yeast commitment complex.

The role of E complex in splice site selection

Splice site selection requires mechanisms for distinguishing between normal and cryptic splice sites and for bringing together the appropriate pairs of 5' and 3' splice sites in pre-mRNAs containing multiple splice sites. Our observation that the 5' and 3' splice sites are first brought together in E complex suggests that the factors in this complex, such as U2AF and U1 snRNP, have a fundamental role in establishing splice site selection. Moreover, these factors are likely targets for regulation in alternatively spliced pre-mRNAs. Consistent with the latter proposal, alternative splicing of the Drosophila transformer pre-mRNA was recently found to be negatively regulated by specific competition between sex-lethal protein and U2AF for binding to the 3' splice site [Valcarcel et al. 1993]. In addition, regulated alternative splicing of Drosophila doublesex pre-mRNA may involve stimulation of U2AF binding to a weak pyrimidine tract by a downstream positive regulator [Tian and Manning 1992]. Other examples in which the patterns of alternative splicing appear to be determined at the level of E complex include selection of a weak 3' splice site in preprotachykinin pre-mRNA by targeting U2AF to this site by interactions with U1 snRNP bound to a downstream 5' splice site [Hoffman and Grabowski 1992], and somatic inhibition of a Drosophila P-element 5' splice site by control of U1 snRNP binding [Siebel et al. 1992]. Finally, our data show that the efficiency of E complex assembly can be affected by the context of both the 5' and 3' splice sites. This observation is consistent with the possibility that at least the initial mechanism for distinguishing between cryptic and normal splice sites operates in E complex. Subsequent fine-tuning, such as selection of the precise bond to be cleaved at the 5' or 3' splice sites, may occur at later stages and involve other factors such as U5 snRNP [Newman and Norman 1991, 1992].

Association of 5' and 3' splice sites in E complex

Splice site selection requires mechanisms for distinguishing between normal and cryptic splice sites and for bringing together the appropriate pairs of 5' and 3' splice sites in pre-mRNAs containing multiple splice sites. Our observation that the 5' and 3' splice sites are first brought together in E complex suggests that the factors in this complex, such as U2AF and U1 snRNP, have a fundamental role in establishing splice site selection. Moreover, these factors are likely targets for regulation in alternatively spliced pre-mRNAs. Consistent with the latter proposal, alternative splicing of the Drosophila transformer pre-mRNA was recently found to be negatively regulated by specific competition between sex-lethal protein and U2AF for binding to the 3' splice site [Valcarcel et al. 1993]. In addition, regulated alternative splicing of Drosophila doublesex pre-mRNA may involve stimulation of U2AF binding to a weak pyrimidine tract by a downstream positive regulator [Tian and Manning 1992]. Other examples in which the patterns of alternative splicing appear to be determined at the level of E complex include selection of a weak 3' splice site in preprotachykinin pre-mRNA by targeting U2AF to this site by interactions with U1 snRNP bound to a downstream 5' splice site [Hoffman and Grabowski 1992], and somatic inhibition of a Drosophila P-element 5' splice site by control of U1 snRNP binding [Siebel et al. 1992]. Finally, our data show that the efficiency of E complex assembly can be affected by the context of both the 5' and 3' splice sites. This observation is consistent with the possibility that at least the initial mechanism for distinguishing between cryptic and normal splice sites operates in E complex. Subsequent fine-tuning, such as selection of the precise bond to be cleaved at the 5' or 3' splice sites, may occur at later stages and involve other factors such as U5 snRNP [Newman and Norman 1991, 1992].
Materials and methods

Plasmids

Plasmid pAdML was constructed by subcloning an EcoRI-Sau3A fragment from pBSAd10 (gift from M. Konarska, The Rockefeller Institute, NY) into the EcoRI and BamHI sites of SP73 (Promega Biotech). This fragment contains exon 1 (71 nucleotides), intron 1 (97 nucleotides), and exon 2 (45 nucleotides) derived from the adenovirus 2 major late (AdML) transcription unit. DNA was linearized with BamHI (+213 in pAdML) for transcription of the whole pre-mRNA and with FspI (+107 in pAdML) for transcription of AdML5's RNA. pAdML3', which contains the 3' portion of the AdML intron and exon 2, was constructed by ligating the FspI–BamHI fragment from pAdML into the Prull–BamHI sites of SP73 (Promega Biotech). pAdMLΔ is identical to pAdML3' except that the eight consecutive T residues in the 3' splice site (+155–162 in AdML) are changed to GTGATCAC. pAd3' and pAdA were linearized for transcription with BamHI. The wild-type AdML pre-mRNA used in the competition experiment (Fig. 7) was transcribed from pAdML + XhoI, which is the same as pAdML except that an XhoI linker was inserted into the FspI site. pAdMLΔ5's is the same as pAdML + XhoI except that the region around the 5' splice site (GTGGGGGTAG) has been changed to TTGGGAGCCAC. pAdMLΔpyr was made by replacing the HindIII–BamHI fragment containing this HindIII–XhoI linker in pAdMLΔ with the HindIII–BamHI fragment of pAdMLΔ. pAdML + XhoI, pAdMLΔ5's, and pAdMLΔpyr were linearized for transcription with either BamHI or HindIII, as indicated in the legend to Figure 7. Plasmid pβ5' was constructed from plasmid HβΔA Bam X (Reed et al. 1988), which contains an XhoI linker in the MboII site (+232), pβ5' was obtained by subcloning a HindIII–XhoI fragment from HβΔA Bam X (+1–232) into SP64 (Promega Biotech); subsequently, a HindIII–BamHI fragment containing this HindIII–XhoI fragment was subcloned into SP73 (Promega Biotech). DNA was linearized with EcoRI for transcription. pβ3' was constructed by inserting an XhoI–BamHI fragment from HβΔA Bam X (+232–500) into SP64 (Promega Biotech), and then subcloning a HindIII–BamHI fragment containing the XhoI–BamHI fragment into SP73 (Promega Biotech). DNA was linearized with BamHI for transcription. Plasmid pβ3a was a gift from Michael Green (University of Massachusetts Medical School, Worcester) and is the same as pβ5' except that nucleotides 113–154 are deleted, which include the 5' splice site and one cryptic 5' splice site. One cryptic 5' splice site remains in this RNA. pαβ was constructed by ligating the XhoI–AluI fragment from pAdML3' (+107–168), the Smal–BamHI fragment (containing exon 2 sequences from +11–205) from a derivative of T7HB (Reed et al. 1988), and XhoI–BamHI vector sequences from SP73 (Promega Biotech). DNA was linearized with BamHI for transcription. The nonspecific RNA lacking functional splice sites (NS2) is the antisense transcript of B3Δ3 (Mullen et al. 1991; gift from B. Nadal-Ginard, Harvard Medical School, Boston, MA). The nonspecific RNA containing a 5' splice site (NS1) was synthesized by antisense transcription of a human β-globin derivative (HβΔAG; Reed 1990).

Pre-mRNA synthesis and in vitro splicing reactions

All plasmids were transcribed with T7 polymerase except NS1, which was transcribed with SP6 polymerase (Melton et al. 1984). Biotinylated pre-mRNAs (Grabowski and Sharp 1986) were synthesized in standard transcription reactions (Melton et al. 1984) that included 15 μM biotinylated UTP (Enzo Biochemicals) and 100 μM UTP. RNAs were capped during transcription as described (Konarska et al. 1984). In vitro splicing reactions were carried out according to Krainer and colleagues (1984), except that polyvinyl alcohol was omitted. Reaction volumes ranged from 100 to 125 μl for analytical purposes to 2.4 ml for preparative purification and contained 0.2–4 ng/μl of 32P-labeled RNA. Reactions contained 30% nuclear extract and were incubated at 30°C for 30 min to form B, E, E5', E3', A3' complexes, and at 30°C for 5 min to form A complex, unless otherwise indicated in the figure legends. For assembly of E, E5', and E3' complexes, the nuclear extract was depleted of ATP as described (Michaud and Reed 1991) and the reactions lacked added ATP, MgCl2, and creatine phosphate.

Purification and analysis of splicing complexes

For gel filtration purification of splicing complexes, in vitro splicing reactions were loaded directly onto 1.5 x 50-cm Sephacryl S-500 columns equilibrated in FSP buffer [20 mM Tris (pH 7.8), 0.1% Triton X-100, 60 mM KC1, 2.5 mM EDTA] (Abmayr et al. 1988; Reed et al. 1988). For affinity purification of splicing complexes, the peak fractions were pooled and the salt concentration was adjusted to a final concentration of 250 mM. Avidin–agarose [15 μl/ml] (Vector Labs) was added to the pooled fractions and mixed overnight at 4°C. Avidin beads were then washed four times using a volume of buffer equal to that of the pooled fractions (~3–5 ml for each wash). The washing buffer for the affinity selections was 20 mM Tris (pH 7.9), 250 mM NaCl. The snRNA compositions of the affinity-purified complexes were determined by two methods. Total RNA was prepared from equivalent amounts of each affinity-purified complex and was then either end-labeled with [32P]Pcp and RNA ligase, as described (Reed 1990), or fractionated on an 8% denaturing polyacrylamide gel and visualized by ethidium bromide staining. The 32P-labeled RNAs were visualized by Phosphorimage analysis [Molecular Dynamics]. Protein compositions of E, E5', and E3' complexes were determined by twodimensional gel electrophoresis as described (Bennett et al. 1992b). Proteins were visualized by silver staining (Morrissey 1981). Native gel electrophoresis of splicing complexes was carried out as described (Konarska and Sharp 1987), except that heparin was added to the reactions to a final concentration of 0.5 mg/ml before fractionation on the native gel.

In vitro complementation assays

Extracts used for the in vitro complementation assay in Figure 1C were prepared by incubating nuclear extract for 10 min at 30°C with 9 μg of cold competitor RNA (β5') per milliliter of extract. We showed previously that with this amount of competitor E complex is chased into A and B complexes and into spliced products, whereas H complex is not [Michaud and Reed 1991]. For the complementation reaction, 20-μl aliquots of each gel filtration fraction was incubated for 10 min at 30°C in a 50-μl splicing reaction containing 10 μl of the competitor-titrated extract. Heparin was added to the reactions to 0.5 mg/ml, and they were fractionated by native gel electrophoresis as described above. Complexes were visualized by Phosphorimage analysis [Molecular Dynamics]. S-100 extracts used in the complementation assay in Figure 3C were a gift from Adrian Krainer (Cold Spring Harbor Laboratory, NY) and can be complemented by the purified splicing factor SF2 [Kraier et al. 1990a]. In vitro complementation reactions containing S-100 employed the same dilution assay as was described previously [Michaud and Reed 1991], except that E complex and mock reactions were diluted 87.5-fold before incubation in the complementation re-
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