Study Protocol Clinical Trial

Treatment to reduce vascular calcification in hemodialysis patients using vitamin K (Trevasc-HDK)

A study protocol for a randomized controlled trial

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Abstract

Introduction: End stage renal failure patients on hemodialysis have significant vascular calcification. This is postulated to be related to sub-clinical vitamin K deficiency, which is prevalent in hemodialysis patients. Vitamin K deficiency results in the failure of the matrix GLA protein (MGP) to undergo carboxylation. MGP is a natural local inhibitor of vascular calcification and the lack of functional carboxylated MGP may contribute to increases vascular calcification. Vitamin K supplement should therefore correct this anomaly and decrease the rate or severity of vascular calcification in this population of patients on long-term maintenance hemodialysis. Our study seeks to evaluate the prevalence and the progression of vascular calcification in a cohort of maintenance hemodialysis patients. It will also evaluate the efficacy of vitamin K supplementation in reducing the progression of vascular calcification in this group of patients.

Methods: This will be a single-center randomized, prospective and open-label interventional clinical trial of end stage renal failure patients on hemodialysis. We aim to recruit 200 patients. Eligible patients will be randomized to either the standard care arm or active treatment arm. Active treatment arm patients will receive standard care plus supplementation with oral vitamin K2 isoform 360 mcg 3 times weekly for a total duration of 18 months. Primary outcome measured will be absolute difference in coronary artery calcification score at 18-month between control and intervention arms. Secondary outcomes will be to compare absolute difference in aortic valve calcification, percentage of patients with regression of coronary artery calcification of at least 10%, absolute difference in aortic and systemic arterial stiffness, mortality from any cause and major adverse cardiovascular events over the same period.
1. Introduction

It is well recognized that coronary artery calcification (CAC), a form of vascular calcification (VC), occurs at a much higher rate in the dialysis population compared to age-matched populations without chronic kidney disease (CKD). Studies have confirmed a positive association of CAC with adverse outcomes in this population. There is a dose relationship reported between the CAC score and cardiovascular disease (CVD) mortality; the higher the score, the higher the mortality risk. Given that one major cause of death and hospitalization in the dialysis population is CVD, these observations may be of critical importance in efforts to reduce CVD mortality and morbidity.

Two distinct types of VC are reported in the CKD population. Atherosclerotic calcification occurs in the intimal layer and is associated with atherosclerosis and potentially rupture of unstable plaque, leading to acute myocardium infarction and stroke. Various animal models and human studies support the development of this form of VC in uremic and non-uremic settings. Another form, medial calcification (calcific arteriosclerosis) is also commonly observed in CKD and uremia, and contributes to a higher CVD mortality in this population due to increased vascular stiffness with loss of vessel elasticity, resulting in increased cardiac afterload, progressive left ventricular hypertrophy and ultimately, heart failure and arrhythmia.

In the general non-CKD population, established risk factors for CAC are older age, diabetes, hypertension, dyslipidemia and smoking, while in CKD, dialysis vintage is an additional risk factor. Several factors unique to the uremic population appear to increase the risk of medial calcification which differs in pathogenesis from intimal atherosclerotic calcification, being typically non-inflammatory in nature. Hyperphosphatemia, elevated calcium-phosphate product and use of calcium-containing phosphate binders (a reflection of excess calcium loading) have been associated with an increased risk of VC in the CKD population. 1,25(OH)2 Vitamin D3 supplementation, commonly employed to suppress parathyroid hormone in CKD, has also been implicated as a cause of VC. Other promoters (such as bone morphogenetic protein and osteocalcin) and inhibitors (such as matrix GLA protein and fetuin-A) of VC have been identified. While their role in clinical management remains to be established, these proteins are potential therapeutic targets in CKD-associated VC.

Given that CVD is a major cause of mortality and morbidity in the CKD population, there has been intense interest in therapies to reduce CVD events. Statin therapy has proven very successful in reducing major adverse cardiac events (MACE). However, two large prospective randomized controlled trials could not demonstrate a significant reduction in CVD, non-fatal myocardial infarction and non-fatal stroke in patients on hemodialysis assigned to potent hypolipidemic agents (namely atorvastatin and rosuvastatin) despite significant LDL-cholesterol lowering compared to placebo. Control of other CVD risk factors which can accelerate VC is routine in the management of patients on dialysis. However, there are no randomized trials investigating the effect of glycemic or blood pressure control on VC or CAC in this population.

The latest frontier in the battle against VC and CVD in the dialysis population is CKD-mineral bone disorder which is characterized by excessive calcium loading, phosphate retention and hyperparathyroidism. In vitro as well as population studies support the role of each of these factors, alone or in combination, in the genesis of VC. Therefore, efforts to reduce VC in the CKD population have focused on correcting the altered bone mineral homeostasis. Reduction in calcium load is achieved by using lower dialysate calcium concentrations and non-calcium containing phosphate binders such as sevelamer. Lowering of serum phosphate in CKD patients with elevated phosphate level is also key to reducing the onset and severity of VC. There has been some success with the use of calcimimetics in reversing VC in dialysis patients. However, the largest randomized controlled trial to date did not show a statistically significant reduction in CVD outcomes in hemodialysis patients with moderate to severe hyperparathyroidism receiving cinacalcet over a median of 21 months compared to placebo.

The cellular and molecular events leading to calcium deposition in vascular tissue are highly regulated. Animal and molecular studies have demonstrated that vitamin K can influence the development and progression of VC, via its involvement in the carboxylation of matrix GLA protein (MGP). MGP, a protein synthesized by vascular smooth muscle cells, functions as a natural local inhibitor of VC but becomes functional only after vitamin K2-dependent carboxylation. MGP deficient mice have been shown to develop massive calcification and do not survive beyond 6 weeks of birth. Many dialysis patients may have sub-clinical vitamin K deficiency, possibly related to dietary restriction, as evidenced by the high level of dephosphorylated undercarboxylated MGP (dp-ucMGP) measured in this population.

Oral vitamin K supplementation can enhance carboxylation of MGP and lower plasma dp-ucMGP. Vitamin K1 (phyloquinone) is the usual dietary source of vitamin K. Vitamin K2 is derived from enterocyte conversion of vitamin K1 or from indigenous gut bacteria production although 1 food source, natto (soybean...
fermented using Bacillus subtilis) has a very high content of vitamin K2. The precise dose of vitamin K needed in the physiological state to activate all vitamin K dependent proteins is not entirely clear. There is also an inherent divergent use of vitamin K1 for carboxylation of vitamin K dependent proteins in the liver such as for coagulation factors, preferentially over its utilization in the periphery (extra-hepatic), as a mean of ensuring adequacy of certain essential protein function for short-term survival. This preferential triaging of the utilization of vitamin K may result in significant reduction of vitamin-K dependent carboxylation of extra-hepatic vitamin-K proteins even when modest deficiency exists. Peripheral carboxylation of vitamin K dependent proteins such as MGP are dependent on long-chain isoforms of vitamin K2 (menaquinones). One such isof orm with proven efficacy in decreasing dp-ucMGP levels is menaquinone-7 (MK-7).

A recent randomized trial reporting 1-year outcome of vitamin K2 supplementation in hemodialysis patients found no effect on aortic calcification despite reduction in dp-ucMGP. There are still two ongoing trials evaluating the impact of vitamin K1 supplementation on VC in end stage renal failure (ESRF) and 1 with vitamin K2 supplementation in ESRF patients with non-valvular atrial fibrillation. All these trials were conducted in the Caucasian populations. As vitamin K intake will vary according to diet, there may well be differences between European and North American patient groups compared to those from Asia. To our knowledge, there are no similar studies in Asians. The proposed treatment to Reduce Vascular Calcification in Hemodialysis Patients Using Vitamin K (Trevasc-HDK) study is therefore timely.

2. Objectives

The primary objective of Trevasc-HDK is to evaluate the effect of vitamin K2 (MK-7) supplementation on the progression of CAC in ESRF patients on HD. We hypothesize that oral MK-7 will enhance carboxylation of MGP, lower levels of dp-ucMGP and reduce CAC and/or aortic vascular calcification (AVC) in the HD population. Secondary outcomes of interest include the effects of MK-7 on AVC (known to be correlated with CAC), aortic stiffness (which is determined by aortic calcification rather than atherosclerotic plaque burden) and clinical outcomes (see “Study outcomes” below). Trevasc-HDK will also provide the first local data on the prevalence and severity of CAC or AVC in patients on maintenance HD, as well as the natural history of calcification in those not receiving MK-7.

3. Methods

Study design Trevasc-HDK is a single-center randomized, prospective and open-label interventional clinical trial of ESRF patients on HD. The study protocol was developed in adherence to the Good Clinical Practice Guidelines and Standard Protocol Items: Recommendations for Intervention Trials. The study is performed according to the ethical standards of the National University Hospital’s Institutional Review Board (2015/01000) on clinical trials with human subjects and with the Helsinki Declaration of 1975 (and as revised in 1983). The trial is registered at ClinicalTrials.gov (NCT02870829).

3.1. Study setting:

National University Hospital is 1 of the major academic tertiary hospitals in Singapore caring for ESRF patients. Potential study subjects are identified from the Haemodialysis and Nephrology clinics in this hospital (single site). All patients will continue to receive standard of care dialysis at their usual satellite dialysis units during the conduct of this study.

3.2. Eligibility criteria:

Patients meeting the inclusion and exclusion criteria will be invited to participate and written informed consent obtained for those willing to participate. Consented subjects will attend a screening visit (V0) where a multislice computed tomogram will be performed to assess their degree of CAC. As the main objective is to investigate the effects of intervention on VC, pre-existing CAC indicated by a baseline CAC score of ≥ 30 Agatston units is mandated for entry into the trial. This threshold also excludes patients with mild CAC which is unlikely to progress in the relatively short window of observation. Other inclusion and exclusion criteria are outlined in Table 1. Consent is taken by study team co-investigator after detailed explanation of purpose, benefit and risk of the study. Witness is required for patient signing informed consent in Chinese and Malay language. Patients are informed the right to withdraw consent and participation at any time during the study period.

| Table 1 | Inclusion and exclusion criteria. |
|---------|----------------------------------|
| Inclusion Criteria | Exclusion criteria |
| Age ≥ 21 yr and < 80 yr | History of thrombosis in the last 6 mo (except vascular access thrombosis) |
| At least 12 mo on hemodialysis | Need for vitamin K antagonist therapy at baseline or in the 3 mo prior |
| Coronary artery calcification score of ≥ 30 in the baseline multi-slice computed tomography | Presence of significant gut disease (inflammatory bowel disease, short-bowel syndrome) |
| Able to give informed consent | Liver dysfunction |
| Life expectancy ≥ 18 mo | Alcohol or drug abuse |

- History of coronary artery stent or bypass grafting
- Women who are pregnant or breast feeding
- Claustrophobia
- Lack of safe contraceptive measures
- History of parathyroid surgery
- Parathyroid hormone (PTH) level > 150 pmol/L
- Use of multivitamins containing vitamin K
- Allergy to soy-based products
3.3. Study interventions

Eligible patients will be randomized to either the control arm (standard care) or active treatment arm (standard care plus supplementation with vitamin K2 isoform MK-7). The dose of MK-7 chosen is oral 360 mcg 3 times weekly for a total duration of 18 months.

Patients will be randomly allocated into control and intervention arms in a 1:1 fashion using random permuted blocks of size 4 and 6. The randomization will be stratified by diabetes status. The sequence of treatment allocation will be generated using the `ralloc` module of the STATA software. Randomization will be performed by clinical trial unit coordinator during office hour.

3.4. Sample size

To ensure that the study is adequately powered, we have estimated the sample size based on the primary outcome of absolute difference in CAC score at 18-months between the control and intervention arms, assuming mean CAC scores at 18-months of 1005 and 786 respectively as reported by Block et al.\textsuperscript{[19]} Applying natural logarithmic transformation to CAC scores due to skewed distribution, this corresponds to a mean logCAC of 6.91 and 6.67, respectively. Further assuming a common SD of 0.55, power of 80%, 2-sided level of significance 5% and equal allocation between the 2 treatment arms, a minimum sample size of 170 in total, or 85 per arm will be required. Accounting for an attrition rate of 15%, we will recruit a total of 200 patients.

3.5. Study workflow

Randomized patients will be scheduled for a first visit (V1) within 4 weeks from V0, during which blood will be drawn for dp-ucMGP, the biomarker of vitamin K deficiency, and aortic stiffness measured. Patients who are randomized to the treatment arm will receive MK-7 during this visit.

During the treatment phase of 18 months, the primary physician will review the study subjects at intervals of 2-6 months as per standard care. The research coordinator will contact these subjects every month to motivate compliance with active treatment, and perform pill counts during clinic visits to verify adherence. The investigational product (vitamin K2 isoform MK-7) will be stored in accordance to manufacturer’s recommendations at Investigational Medicine Unit, National University Hospital Singapore.

Active treatment will end after 18 months, following which an end of study visit (V2) will be scheduled for all study subjects within 4 weeks. During V2, blood will be obtained for dp-ucMGP, and measurement of aortic stiffness and CAC/AVC scores repeated. The trial flow chart and study schedule is summarized in Figures 1 and 2 respectively.

The criteria for termination of the study are:

1. Withdraw consent
2. Completion of the study protocol at 18 months
3. Renal transplantation
4. Mortality
5. Requirement for Vitamin K antagonist
6. Allergy to MK-7
7. Parathyroidectomy
8. Started on any vitamin K supplementation outside of clinical trial

3.6. Biomarker of sub-clinical vitamin K deficiency

Blood will be collected in an ethylenediaminetetraacetic acid tube, processed after withdrawal and stored at −80°C as plasma before analysis at Coagulation Profile BV, Maastricht University, the Netherlands. In brief, plasma dp-ucMGP levels will be determined in a single run using the commercially available IVD CE-marked chemiluminescent InaKitf MGP assay on the IDS-iSYS system (IDS, Boldon). Both patient samples and internal calibrators will be incubated with magnetic particles coated with
murine monoclonal antibodies against dp-MGP, acridinium-labelled murine monoclonal antibodies against ucMGP, and an assay buffer. The magnetic particles will be captured using a magnet and washed to remove any unbound analyte. Trigger reagents will be added; the resulting light emitted by the acridinium label is directly proportional to the level of dp-ucMGP in the sample[36]

| Inclusion and exclusion criteria | Screening | Baseline | Follow up (every 4-6 months) | Final visit |
|----------------------------------|-----------|----------|------------------------------|------------|
| Informed Consent                 | x         |          |                              |            |
| Demographic and medical history  | x         |          |                              |            |
| Dialysis prescription and treatment | x         | x        | x                            | x          |
| Medications                      | x         | x        | x                            | x          |
| Baseline laboratory test         | x         | x        | x                            | x          |
| Coronary artery and aortic valve calcification score | x        |          |                              | x          |
| dp-ucMGP                          | x         |          |                              |            |
| Aortic and systemic arterial stiffness scoring | x       |          |                              | x          |
| Fetuin A, FGF-23                 | x         |          |                              | x          |
| Systemic review and adverse events | x         | x        | x                            | x          |
| Hospitalisation, and outcomes    |          | x        |                              | x          |

**Figure 2.** Study schedule of assessment.

**3.7. Measurement of aortic stiffness**

Conduit arterial or aortic stiffness will be assessed using applanation tonometry (SphygmoCorVx, AtCor Medical, West Ryde, NSW, Australia)[37] by trained technicians blinded to treatment assignment. This non-invasive technique uses a high-fidelity pressure transducer applied lightly over the carotid, femoral and radial arteries to obtain the following:
3.7.1. **a. Carotid-femoral pulse wave velocity (cfPWV).** The right carotid to right femoral path length will be measured in a straight line with a tape ruler, and 80% of this distance used as pulse wave traveled distance \(d\).\(^{138}\) Right carotid-femoral transit time will be obtained by subtracting the time \(t\) between onset of the electrocardiographic R wave and the foot of the carotid pulse and the time between the R-wave and the femoral pulse, each averaged from 8 to 10 sequential waveforms. cfPWV will be calculated as \(d/t\) and expressed as m/s. Two measurements will be taken and averaged. If their difference exceeds 0.5 m/s, a third measurement will be made and the median value taken.

3.7.2. **b. Pulse wave analysis.** This is performed on the arm not used for vascular access. From the radial artery waveform obtained by the high-fidelity tonometer, the SphygmoCorPx System reconstructs the aortic pressure waveform using a transfer function.\(^{39,40}\) This waveform depends on left ventricular ejection, as well as the timing and amount of wave reflection from branch points or areas of impedance mismatch which are determined by aortic stiffness and arteriolar tone.\(^{41}\) From the aortic waveform, central systolic, diastolic, mean and pulse pressures are obtained. Aortic augmentation index (AI) will be calculated as the increment in pressure from the first systolic shoulder of the ascending aortic pressure wave to the peak of the second, late systolic shoulder, expressed as a percentage of the pulse pressure. Aortic AI is also normalized to a heart rate of 75 beats/min to facilitate comparison. \(75\) \text{beats/min} = 1 \text{m/s}

3.7.3. **Multislice CT.** Calcium scoring will be performed using a high-pitch multislice computed tomogram scanner. All patients should have a resting heart rate <100 beats/min. Scan length is defined by a scout view from the arch to the diaphragm. For all scans, the extent of CAC and AVC will be assessed using both volume and Agatston scores by 2 radiologists unaware of treatment assignment. The volume score will be determined by the calculated volume of calcified plaques with a density of > 130 Hounsfield units and a minimum size of 0.5 mm\(^3\) based on isotropic interpolation.\(^{42}\) The Agatston score will be calculated by multiplying the weighted value and size of the calcified areas as previously described.\(^{43}\)

3.8. **Study outcomes**

Control and intervention arms will be compared for the following outcomes of interest:

**Primary outcome**

Absolute difference in CAC score at 18-months

**Secondary outcomes**

1. Absolute difference in AVC score at 18-months
2. Percentage of patients with regression of CAC of \(\geq 10\%\) over 18-month
3. Absolute difference in cfPWV and AI at 18-months
4. Mortality from any cause within the study period
5. MACE defined as non-fatal myocardial infarction, heart failure, acute coronary syndrome, need for coronary revascularization, non-fatal stroke, significant peripheral vascular disease (gangrene, amputation, need for revascularization) within the study period
6. Difference in plasma levels of dp-ucMGP at 18 months
7. Vascular access events, including clotting requiring insertion of a new dialysis catheter, declotting or exchange of an existing catheter, and vascular intervention during the study period

3.9. **Statistical methods**

The absolute difference in CAC and AVC scores at 18-months between control and intervention arms will be compared using the t-test following log transformation. Adjustment for baseline CAC and AVC scores respectively will be made via the analysis of covariance. Likewise, the effects of MK-7 supplementation on dp-ucMGP level, cfPWV and AI at 18 months will be compared between the 2 groups using the t-test, with adjustment made for the respective baseline covariates via analysis of covariance.

The percentage of patients with regression of CAC score of \(\geq 10\%\) at 18 months from baseline will be compared between control and intervention arms via the \(\chi^2\) test. The effect of treatment will be quantified using the odds ratio estimate and its associated 95% confidence interval. The logistic regression analysis will be used to account for potential confounders where applicable.

For all-cause mortality and MACE, the time to the respective event is defined as the time from randomization to the time when the event of interest occurred. Events in whom an event was not observed will be censored at 18-months. The Kaplan-Meier survival analysis and the log-rank test will be used to compare the survival distributions between the control and intervention groups.

The effect of treatment will be quantified using the hazard ratio estimate and its associated 95% confidence interval. Further, the Cox proportional hazards regression stratified by diabetes will be used to account for potential confounders where applicable.

All statistical evaluations will be made assuming a 2-sided test at the 5% level of significance based on an intention-to-treat analysis.

3.9.1. **Monitoring.** Data will be reviewed monthly by study team to ensure accuracy and completeness. Both primary investigator and study team will perform data and safety monitoring. In addition, National University Hospital Research office team will perform data monitoring and quality checks at least twice a year. The primary investigator will be required to submit trial report to Health Science Authority regarding the progress of the trial and onsite monitoring visit are performed if necessary.

3.9.2. **Adverse event reporting and harm.** Timely, accurate and complete reporting and analysis of safety information from clinical studies are crucial for the protection of patients and are mandated by regulatory agencies. Unanticipated Problems Involving Risks to Subjects or Others are events that include any incident, experience, or outcome (including adverse events) that are unexpected, related or possibly related to research participation, with risk of harm and are part of the safety reporting structure as mandated by our Institutional Review Board. Data collected on adverse events will be evaluated thoroughly and if necessary, may lead to changes in the conduct of the study or even termination or suspension of the study.

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Due to the nature of the study being open-label in design, the Unanticipated Problems Involving Risks to Subjects or Others events and adverse events will be reported formally only for patients on the active treatment group. Those randomized to the control group will have all adverse events recorded (but not reported) for the purpose of comparison with the active treatment group.

3.10. **Patient and public involvement**

Patients and the public were not involved in the development of this study protocol. The conception of the study and the
mortality and mortality among end stage renal failure diseases have now replaced infection as the major cause of dialysis patients, but the subsequent plan of management remains.

4. Discussion

Majority (80%) of our local ESRF patients are on hemodialysis.[44] Similar to many developed countries, cardiovascular diseases have now replaced infection as the major cause of mortality and mortality among end stage renal failure patients.[45] The difference in pathophysiology of cardiovascular disease in renal failure patients compared to general population have raised questions about possible interventions or management. Kidney Diseases Improving Global Outcomes has recommended routine screening of vascular calcification for dialysis patients, but the subsequent plan of management remains unclear and controversial.[46] Should we still adopt the general measures to reduce cardiovascular risk and refer to cardiology for intervention? Actual intervention with coronary stenting and angioplasty in addition to being invasive may not be as useful compared to the general population. As such we felt that it was important to study valvular calcification and vascular stiffness as this correlate closely with coronary artery calcification and has been reported to have an impact on morbidity and mortality for our patient.[47,48]

Recent evidence emphasizes the possibility of Vitamin K playing a key role in vascular calcification. Not surprising, end stage renal failure patients are known to be deficient in various nutrients due to dietary restrictions and losses with dialysis. We have designed the Trevasc-HDK trial to investigate the importance of Vitamin K on the natural history of CV disease, and whether intervention with Vitamin K2 can modulate progression.

Vitamin K preparations, predominantly Vitamin K1, are currently available as supplements over the counter in health food shops and pharmacies, but currently not prescribed to patient as medication. In our study Vitamin K2 is prescribed orally and to be administered 3x/week. As this is a clinical trial to study the role of Vitamin K, it was registered with our local Health Science Authority for reporting and monitoring purposes. As Vitamin K2 has greater biological activity, and conversion of Vitamin K1 to K2 can vary between individuals, we chose to administer Vitamin K2.

The advantages of our study, in addition to the primary outcome, we will be able to provide additional information about the possible Vitamin K deficiency in our patients’ diet. A previous short-term study demonstrated that oral administration of Vitamin K2 led to a change in dp-ucMGP activity, in a dose response manner.[49] We chose the lowest dose of Vitamin K2 (360 mcg 3x/week) used in this dose finding study which had an effect on dp-ucMGP activity, as we have no current data about Vitamin K intake in our population and our Asian patients have a lower body surface area compared to Europeans, and no data on taking Vitamin K2 for 18 months. Although patients enrolled into the study are managed primarily by their own primary nephrologist and there should be minimal variation of management in both groups, as clinicians adhere to agreed management protocols.

Our trial is important even if the study is negative as we will have more insight into the baseline level and role of vitamin K Asian patients as well as provide us with the magnitude and progression of calcification in our multiethnic HD population.

Trevasc-HDK will be the first randomized, controlled trial to test the hypothesis that oral vitamin K2 supplementation can reduce VC in an Asian HD population. Evidence of successful regression or retardation of VC will support the conduct of larger and longer-term trials aimed at reducing CVD mortality and MACE in this high-risk population using a safe and inexpensive strategy.

5. Study status

The latest protocol version is 2.0. The revised protocol includes changes in the designated laboratory to process the biomarkers, additional tests done and change in inclusion criteria to include patients with lower dialysis vintage of 12 months. Our study started the first patient recruitment on August 10, 2016. As we did not reach recruitment target within the initial specified time period, we extended the recruitment period and last patient recruited was on July 6, 2017. All patients have completed their final visit and we are currently pending biomarker analysis. Due to current COVID-19 pandemic, we anticipate a slight delay in trial closure. The current projection is that the trial should be completed by late 2020.

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Author contribution

All authors have contributed to the development of the protocol and study design as members of the research team. The article was draft by SH, TBC, LLH, LTLS and TL. The manuscript was revised by AD, LS, PK, OCC, LS, YEX, TJN, SS, CHR, TSY, WWK and TBW. All the authors approved the final version of the manuscript and are accountable for the author’s own contributions, accuracy or integrity of the manuscript.

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