Circulating tumor cells expressing cancer stem cell marker CD44 as a diagnostic biomarker in patients with gastric cancer

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Abstract. Epithelial cell adhesion molecule (EpCAM) is a marker for circulating tumor cells (CTCs) in various types of cancer, while cluster of differentiation 44 (CD44) is a marker for gastric cancer (GC) stem cells. To evaluate the clinical significance of CD44+ CTCs in patients with GC in the present study, the number of EpCAM+CD44+ and EpCAM+CD44− cells were detected in the peripheral blood of 26 GC patients and 12 healthy volunteers using flow cytometry. The number (mean±standard deviation) of EpCAM+CD44+ cells in the GC patients and healthy volunteers was 69.9±52.0 and 0.91±2.10, respectively (P=0.0001), while that of EpCAM+CD44− cells was 59.1±88.0 and 9.83±9.91, respectively (P=0.0313). The sensitivity and specificity of EpCAM+CD44− cell detection for the identification of GC patients were 92.3 and 100%, respectively. By contrast, the values of EpCAM+CD44+ cell detection were 76.9 and 83.3%, respectively. The number of EpCAM+CD44+ cells in the GC patients was correlated with the disease stage (P=0.0423), the depth of the tumor (P=0.0314) and venous invasion (P=0.0184) in the resected tumor specimens, while the number of EpCAM+CD44− cells did not correlate with any clinicopathological factors. The number of EpCAM+CD44+ cells significantly decreased following surgical resection of the tumor or induction of systemic chemotherapy. Additionally, atypical cells with a high nuclear to cytoplasmic ratio were morphologically detected in the sorted EpCAM+CD44+ cells. These results suggested that CD44+ CTCs, but not CD44− CTCs, reflect the malignant status of the primary tumor in patients with GC, providing a candidate biomarker for diagnosis and treatment response.

Introduction

Gastric cancer (GC) is the second leading cause of cancer-associated mortality worldwide (1). Although recent treatment advances have improved the clinical outcome of patients with GC (2-5), the prognosis of those with advanced stage disease is poor due to a high incidence of metastasis and recurrence. Metastasis contributes significantly to high cancer mortality rates, and thus the development of sensitive, specific and convenient diagnostic methods for the early detection of metastasis is paramount to reduce these mortality rates (6).

In recent years, attention has been focused on the proportion of circulating tumor cells (CTCs) as an early detection marker for metastasis (6). The most widely studied CTC detection method is based on immunomagnetic enrichment with epithelial cell adhesion molecule (EpCAM) antibodies and subsequent immunological identification using cytokeratin (CK) antibodies (7,8). EpCAM is a cell-surface molecule involved in cell-to-cell adhesion that is highly expressed in the majority of epithelial carcinomas (8). CKs form intermediate filaments in epithelial cells, and are used as specific markers for tumor cells of epithelial origin (9,10).

In a prospective investigation, quantification of CTCs using this method revealed that CTCs were an independent prognostic factor in patients with advanced colorectal (11), breast (12) and prostate (13) cancer.

More recently, it has been hypothesized that functional heterogeneity may account for the fact that not all cancer cells in solid tumors have a similar ability to drive oncogenesis (14). This observation has led to the cancer stem cell (CSC) hypothesis, which suggests that CSCs within the tumor can self-renew and proliferate to form new tumors, and could be associated with cancer metastasis (14).

A recent study indicated that a portion of CTCs have characteristics reminiscent of CSCs; these were termed circulating tumor stem cells (CTSCs) (15). Compared with CTCs, CTSCs may be a more accurate prognostic factor, as cancer growth is dependent on cancer stem cells (CSCs), which are typically resistant to chemotherapy (16).

Cluster of differentiation 44 (CD44) was previously reported to be a useful CSC marker in MKN45, MKN74 and NCI-N-87 GC cell lines (17); the CD44+ cell fraction could generate more spheroid colonies compared with the CD44− cell fraction.
Furthermore, the CD44+ GC cells showed enhanced tumorigenicity, chemoresistance and radioresistance in vivo, compared with the CD44− GC cells (17). In addition, a meta-analysis reported that CD44 expression in primary tissues was correlated with lymph node metastasis and venous invasion (18). In particular, the CD44 exon 6 and exon 8-10 variants were correlated with hematogenous metastasis (19,20).

The primary objective of the present study was to detect CD44+ CTCs in the peripheral blood of patients with GC in order to determine the clinical significance of CD44 as a biomarker of diagnosis and treatment response.

Materials and methods

Patients. The present study included 26 patients with GC who were admitted to Toyama University Hospital (Toyama, Japan) between April 2014 and December 2014. The patient population consisted of 17 men and 9 women, with a median age of 72.69 years (range, 48-87 years). A total of 7 patients presented with stage I A disease, 5 with stage II A, 1 with stage II B, 3 with stage III A, 2 with stage III B, 3 with stage III C and 5 with stage IV. With regard to treatment, 1 patient underwent chemotherapy and 25 patients underwent gastrectomy (15 distal gastrectomies, 8 total gastrectomies, 1 partial gastrectomy and 1 remnant gastrectomy). Clinicopathological classifications were determined by the International Union Against Cancer Tumor-Node-Metastasis criteria (7th edition) (21). The response to chemotherapy was measured using computed tomography (CT) and was evaluated according to the Response Evaluation Criteria in Solid Tumors (version 1.1) (22). Additionally, 10 healthy volunteers, aged 26-81 years (median, 40.0 years), were recruited as negative controls. All subjects provided informed consent for study inclusion and were enrolled following Institutional Review Board (Toyama University Hospital) approved protocols.

Sample preparation. Blood samples (6 ml) were collected in 3-ml ethylenediaminetetraacetic acid (EDTA) tubes. Peripheral blood samples were extracted from each patient during general anesthesia via a median cubital vein or the arterial pressure line prior to gastrectomy. In the single patient who underwent chemotherapy, the blood was extracted via a median cubital vein. Peripheral blood samples were extracted from each healthy volunteer during general anesthesia via a median cubital vein. Samples were processed and evaluated as soon as possible following collection.

Elimination of red blood cells from samples. Blood samples were transferred to 5-ml tubes containing anticoagulant with EDTA, and were diluted by the addition of an equal volume (3 ml) of phosphate-buffered saline (PBS) containing 2% fetal bovine serum (FBS). Next, 6 ml of the diluted blood sample was subsequently overlaid on a 4-ml LymphoprepTM (Cosmo Bio Co, Tokyo, Japan) placed in a 15-ml centrifuge tube. The mixing of blood and separation fluid was avoided, and the tube was capped to prevent the formation of aerosols. The tubes were spun at 800 x g for 20 min at room temperature in a swing-out rotor centrifuge. After spinning, mononuclear cells were removed from the distinct band at the sample/medium interface using a Pasteur pipette without disturbing the upper layer. Mononuclear cells were diluted in 2 ml PBS containing 2% FBS, and the cells were subsequently pelleted by spinning at 250 x g for 5 min at 25°C.

Flow cytometry by fluorescence-activated cell sorting (FACS) and sample analysis. For staining, human monoclonal EpCAM-alkaline phosphocyanin (APC) (clone HEA125; MACS Miltenyi Biotec, Cologne, Germany) and CD44-fluorescein isothiocyanate (FITC; clone IM7.8.1; MACS Miltenyi Biotec) antibodies were used. As negative controls, mouse IgG1-APC and FITC (clone 1S5-21F5; MACS Miltenyi Biotec) isotype control antibodies were used. All antibodies were diluted 1:100 in 200 µl PBS containing 2% FBS. At 15 min post-staining, the cells were diluted in PBS containing 2% FBS and pelleted by spinning at 250 x g for 5 min at 4°C. Samples were analyzed on a FACScantotM II flow analyzer (BD Biosciences, Franklin Lakes, NJ, USA). A sample for sorting was analyzed on a FACSariaTM flow sorter (BD Biosciences), and sorted into a 5-ml tube with 1 ml PBS containing 2% FBS. These materials were processed as follows.

Examination of sorted cells. Sorted EpCAM+CD44+ cells were washed twice and diluted in 200 µl cold PBS containing 2% FBS. Slides and filters were placed into appropriate slots in a cytospin chamber (Stat Spin; Beckman Coulter, Tokyo, Japan) with the cardboard filters facing the center. In the event of few cells being available, 100 µl cold PBS containing 2% FBS was first placed in each cytospin, which was then spun at 250 x g for 5 min at 25°C to pre-wet the filter, allowing more cells to reach the slide. In addition, correct alignment of the filter/slide interface was ensured. For each sample, 200 µl was added to the appropriate wells of the cytospin, lids were applied and centrifugation was performed at 250 x g for 5 min at 25°C. Subsequently, the filters were removed taking care not to disturb the smears on the slides. Each slide was examined under a microscope to check cell adherence, morphology and monolayer formation. Slides were dried overnight in a desiccator and evaluated using a transmitted light microscope (BX61/DP70; Olympus, Tokyo, Japan) equipped with an ultraviolet light source and filters. A cytotechnologist at the hospital analyzed the sorted cells with regard to the nuclear to cytoplasmic ratio, the overall cell size and the size of the nucleolus.

Immunohistochemical evaluation of primary tumor tissues. All 25 primary tumors resected during gastrectomy were evaluated immunohistochemically. Sections (5 µm) from formalin-fixed paraffin-embedded tissues were mounted on positively charged slides then dewaxed in xylene and rehydrated. Specimens were pretreated with KN9 buffer (code KN-09001; Pathology Institute, Toyama, Japan) for 40 min at 95°C in a water bath, cooled at room temperature for 20 min and washed with distilled water (DW). The slides were then blocked for 10 min in 3% peroxide DW solution, washed with DW and blocked for 5 min in KN buffer (code KN-09002; Pathology Institute). The slides were stained with EpCAM (clone VU1D9; Cell Signaling Technology Japan, Tokyo, Japan) mouse monoclonal antibody (mAb; dilution 1:500; Cell Signaling Technology), CD44 mouse mAb (clone 156-3C11; dilution 1:400; Cell Signaling Technology) or CK-Oscar mouse
mAb (clone BSB6181; dilution 1:200; Bio SB, Shiga, Japan) for 30 min. CK-Oscar identifies cytokeratins 7, 8, 18 and 19, and has been used to distinguish epithelial carcinoma from non-epithelial tissues (23-29). Slides were then counterstained using the peroxidase-conjugated Envision technique (Envision plus Dual Link Horseradish Peroxidase; DAKO, Glostrup, Denmark). Staining for EpCAM or CD44 was defined as positive when cells were also positive for CK-Oscar.

Statistical analyses. Comparisons between groups were evaluated using paired and unpaired Student’s t-tests. A P<0.05 was considered to indicate a statistically significant difference. All data are shown as the mean ± standard deviation. Receiver-operating-characteristic (ROC) curves and the area-under-the-curve (AUC) were used to assess the feasibility of using EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ cell counts as a measure of CTC number in patients with GC. All statistical analyses were performed using JMP version 11 software (Statistical Discovery, Tokyo, Japan).

Results

A comparison of EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ cell proportions in the peripheral blood between patients with GC and healthy volunteers. A representative figure of the comparison of the proportion of EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ cells in the peripheral blood between patients and healthy controls is shown in Fig. 1. EpCAM⁺CD44⁺ cells were detected in 3 out of 12 (25.0%) healthy volunteers and 26 out of 26 (100.0%) patients, with mean cell counts of 0.91±2.10 and 69.9±52.0, respectively (P=0.0001; Fig. 2). EpCAM⁺CD44⁻ cells were detected in 12 out of 12 (100.0%) healthy volunteers and 26 out of 26 (100.0%) patients, with mean cell counts of 9.83±9.91 and 59.1±88.0, respectively (P=0.0313; Fig. 3).

Table I. Receiver operating characteristic analysis of the EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ circulating tumor cell counts in the peripheral blood.

| Cell status | Sensitivity, % | Specificity, % | AUC     | P-value |
|-------------|----------------|----------------|---------|---------|
| EpCAM⁺CD44⁺ | 97.4           | 100.0          | 0.9744  | <0.0001 |
| EpCAM⁺CD44⁻ | 76.9           | 83.3           | 0.8317  | 0.0005  |

EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44; AUC, area under the curve.
A comparison of the proportions of EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ CTCs in the peripheral blood of patients with GC. Clinicopathological characteristics of the patients who underwent gastrectomy and their cell counts in the peripheral blood are shown in Table II. Mean EpCAM⁺CD44⁺ cell counts were correlated with pathological stage (pStage), pathological wall invasion depth and venous invasion (v) factors (P=0.0423, 0.0314 and 0.0184, respectively). By contrast, mean EpCAM⁺CD44⁻ cell counts did not show any correlation with the clinicopathological factors (Table II).

**Table II. Mean EpCAM⁺CD44⁺ and EpCAM⁺CD44⁻ CTC counts in the peripheral blood for each clinicopathological characteristic.**

| Characteristic             | n  | EpCAM⁺CD44⁺ CTC count | P-value | EpCAM⁺CD44⁻ CTC count | P-value |
|---------------------------|----|-----------------------|---------|-----------------------|---------|
| Gender (male/female)      | 8/17 | 59.1/89.8            | 0.2001  | 68.2/41.1              | 0.3316  |
| Age (<75/>75 years)       | 12/13 | 63.4/74.9            | 0.5995  | 67.8/50.6              | 0.6338  |
| pStage (I/II-IV)          | 6/19 | 43.2/77.1            | 0.0423* | 32.1/68.2              | 0.0846  |
| pT (1/2-4)                | 7/18 | 44.1/76.6            | 0.0314* | 30.7/70.8              | 0.0682  |
| pN (+/-)                  | 14/11 | 68.9/69.0            | 0.4974  | 47.5/74.9              | 0.2445  |
| ly (+/-)                  | 6/19 | 79.3/65.6            | 0.6708  | 37.8/62.6              | 0.1314  |
| v (+/-)                   | 8/17 | 43.1/81.1            | 0.0184* | 28.8/74.0              | 0.0538  |
| Her2 (+/-)                | 10/9 | 54.2/61.8            | 0.3667  | 35.6/104.0             | 0.0880  |
| CEA (+/-)                 | 20/5 | 74.0/48.6            | 0.9517  | 39.5/139.8             | 0.1392  |
| CA19-9 (-/+ )            | 22/3 | 65.4/97.3            | 0.1624  | 51.5/118.3             | 0.2230  |

*P<0.05 CTC, circulating tumor cell; ly, lymphatic invasion; v, venous invasion; Her2, epidermal growth factor receptor 2; CEA, carcinoembryonic antigen; CA19-9, cancer antigen 19-9; pStage, pathological stage; pT, pathological wall invasion depth; pN, pathological lymph node metastasis.

Figure 4. Immunofluorescent staining of sorted EpCAM⁺CD44⁺ circulating tumor cells. This cell shows strong staining with EpCAM-APC (red staining) and CD44-FITC (green staining). The cell was identified as a 20-µm heterocyst with a high nuclear to cytoplasmic ratio (original magnification, x400). EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44; APC, allophycocyanin; FITC, fluorescein isothiocyanate; >N/C, high nuclear to cytoplasmic ratio.

Figure 5. EpCAM⁺CD44⁺ CTC counts pre- and post-gastrectomy. Mean data are shown from the 25 patients who underwent gastrectomy. EpCAM⁺CD44⁺ CTC counts decreased in all cases following surgery. EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44; CTC, circulating tumor cell.
following the gastrectomy, as well as at follow-up, with a mean follow-up time of 96.26±80.32 days. Post-gastrectomy, the EpCAM⁺CD44⁺ CTC counts were decreased in 21 out of 23 (91.3%) patients to 19.2±7.48 cells compared with a pre-gastrectomy count of 74.2±10.8 cells (P=0.0001) (Fig. 5).

Immunohistochemical evaluation of resected primary tumor tissues. A representative image showing EpCAM and CD44 staining of the primary tumor is shown in Fig. 6. EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44.

Figure 6. Immunohistochemical evaluation of the resected primary tumor. CK-Oscar staining was used as a positive control to indicate tumorous tissue. In advanced GC, almost all cancer cells were stained with EpCAM and CD44. By contrast, in early GC, EpCAM-stained cells were present in almost all cancer cells, but only a few CD44-stained cells were present (original magnification, x400). GC, gastric cancer; EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44.

Figure 7. Alterations in EpCAM⁺CD44⁺ CTC counts in response to chemotherapy. During second-line PTX-based chemotherapy, EpCAM⁺CD44⁺ CTC counts decreased, gradually reaching undetectable levels after 3 weeks. EpCAM, epithelial cell adhesion molecule; CD44, cluster of differentiation 44; CTC, circulating tumor cell; PTX, paclitaxel; CT, computed tomography.

Figure 8. Computed tomography images showing tumor shrinkage following chemotherapy. After one course of second-line paclitaxel-based chemotherapy, according to the Response Evaluation Criteria in Solid Tumors, the main gastric tumor surrounding the stent showed a partial response of ~30% reduction.
detected in all 25 patients, the expression was distributed only in a limited region of the tumor cells.

A comparison of EpCAM+CD44+ CTC counts pre- and post-chemotherapy. The patient who underwent chemotherapy had been treated previously with first-line chemotherapy for an inoperable tumor, but showed disease progression. After the patient was admitted to Toyama University Hospital, weekly paclitaxel at a dose of 80 mg/m²/day (90 mg/day) was administered as a second-line chemotherapy. The peripheral blood EpCAM+CD44+ CTC count was measured 7 times during chemotherapy. The proportion of EpCAM+CD44+ CTCs gradually decreased during chemotherapy until they could no longer be detected (Fig. 7). After one course of chemotherapy, CT images showed a partial response in that the size of the main gastric tumor surrounding the stent was decreased by 30%, and there was no development of new lesions (Fig. 8).

Discussion

The present study evaluated the association between the CTCs that express cancer stem cell marker CD44 and clinicopathological factors in patients with GC. The results demonstrated that EpCAM+CD44+ CTC counts detected by FACS correlated with pathological T and v factors. The number of EpCAM+CD44+ CTCs was significantly reduced following surgical resection of the primary tumor or chemotherapy.

In healthy volunteers, the mean number of total EpCAM+ CTCs (EpCAM+CD44+ plus EpCAM+CD44- CTCs) in the peripheral blood was 7.6±5.6 (data not shown). The presence of these cells was possibly caused by a non-specific immunological reaction or contamination with skin cells (30). In addition, a previous study in mice demonstrated the presence of EpCAM+ peripheral blood-derived mesenchymal stem cells (PBMSCs) with increased expression of gastric epithelial phenotypic markers (31). In the present study, in the patients with GC, EpCAM+ CTCs were 114.0±84.5 (data not shown) and were significantly higher than those in healthy volunteers, reflecting a tumor-bearing state. In patients with GC, the EpCAM+CD44+ CTCs were considered to be CTCs without CSC potential; they could also be WBCs with a non-specific immune reaction, contaminated skin cells and/or transdifferentiated PBMSCs. Further study is required to evaluate the significance of EpCAM+CD44+ CTCs in the peripheral blood.

EpCAM has been one of the most used cell surface markers to detect CTCs in solid tumors, including metastatic colorectal (32), prostate (33), gastrointestinal (34), and breast (35-38) cancer tumors. The most widely used method to detect CTCs has been the CellSearch™ system, which relies on immunomagnetic capture of EpCAM+ cells in combination with 4’-6-diamidino-2-phenylindole staining, CK immunofluorescence staining and CD45 immunofluorescence staining to differentiate cancer cells of epithelial origin from blood cells, which requires fixation of the cells (17,39).

In the present study, CTCs were detected by flow cytometry, which enables the analysis of the expression of multiple cell surface markers in viable cells. Several methods for the flow cytometric detection of CTCs have been reported previously. In pancreatic cancer patients who underwent surgical resection, CTCs were found to be prognostic markers of survival (40), which a negative depletion procedure using CD45 and CD34 staining was used to enrich CTCs (41). Other studies demonstrated that CK+CD45- CTCs were detected in all examined patients with metastatic lung cancer (42), while healthy volunteers exhibited significantly lower counts (43). However, these previous studies did not describe the gating lines used for the negative controls. In the present study, mouse IgG1-APC and FITC isotype control antibodies were used for negative staining, and the negative gate was defined as follows: In each immunofluorescence stain (APC and FITC), the criteria of the negative control and 99.9% of all cell counts were defined. A direct comparison of the present findings could not be made with those of other studies due to the different procedures used; however, the CTC counts detected in previous studies were much lower than those in the present study. Further investigations are required to biologically characterize the EpCAM+CD44+ cells of the present study.

There have previously been studies on the detection of CTSCs in various solid tumors. In colorectal cancer, CK+/CD133+ cells were deemed CTSCs (42). In metastatic breast cancer, CK+/CD44+ cells were markers for peripheral blood CTCs with a stem-cell phenotype (43). Moreover, CTSCs defined as CD45 EpCAM+CD44+CD24- were shown to be useful for the diagnosis, treatment responsiveness and prognosis of patients with early-stage breast cancer (44). In addition, CK-/CD44+ CTCs were detected in 70.4% of the CTC-positive GC patients and CD44+ CTCs were significantly associated with tumor location, lymph node metastasis, distant metastasis and recurrence (45). However, there have been no studies on CTSC detection in GC patients using the combination of cell surface markers, EpCAM and CD44, which enables evaluation of viable cell expression. Investigation of the CSC phenotype in sorted EpCAM+CD44+ CTCs may provide a biological basis of CTSCs in GC.

EpCAM+CD44+ CTC counts, but not EpCAM+CD44+ CTC counts, were correlated with pathological T and v factors in the present study, suggesting a role of CD44+ CTCs in tumor metastasis. As pathological progression is generally correlated with prognosis in cancer patients (46), the flow cytometric analysis of EpCAM+CD44+ staining could be a novel prognostic tool in patients with GC. Prospective studies with long-term follow-up results are awaited.

In summary, the present investigation using flow cytometry demonstrated that EpCAM+CD44+ CTC counts significantly increased in patients with GC compared with healthy volunteers. The number of EpCAM+CD44+ CTCs, but not EpCAM+CD44+ CTCs, was correlated with disease progression and venous invasion in resected tumor specimens. The number of EpCAM+CD44+ CTCs decreased following surgical resection or chemotherapy. CD44+ CTCs are suggested to reflect the malignant potential of the tumor, providing a candidate marker of diagnosis and treatment response in patients with GC, as well as a candidate marker to investigate CTSCs.

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