A pedigree-based prediction model identifies carriers of deleterious de novo mutations in families with Li-Fraumeni syndrome

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Method

De novo mutations (DNMs) are increasingly recognized as rare disease causal factors. Identifying DNM carriers will allow researchers to study the likely distinct molecular mechanisms of DNMs. We developed Famdenovo to predict DNM status (DNM or familial mutation [FM]) of deleterious autosomal dominant germline mutations for any syndrome. We introduce Famdenovo.TP53 for Li-Fraumeni syndrome (LFS) and analyze 324 LFS family pedigrees from four US cohorts: a validation set of 186 pedigrees and a discovery set of 138 pedigrees. The concordance index for Famdenovo.TP53 prediction was 0.95 (95% CI: [0.92, 0.98]). Forty individuals (95% CI: [30, 50]) were predicted as DNM carriers, increasing the total number from 42 to 82. We compared clinical and biological features of FM versus DNM carriers: (1) cancer and mutation spectra along with parental ages were similarly distributed; (2) ascertainment criteria like early-onset breast cancer (age 20–35yr) provides a condition for an unbiased estimate of the DNM rate: 48% (23 DNMs vs. 25 FMs); and (3) hotspot mutation R248W was not observed in DNMs, although it was as prevalent as hotspot mutation R248Q in FMs. Furthermore, we introduce Famdenovo.BRCA for hereditary breast and ovarian cancer syndrome and apply it to a small set of family data from the Cancer Genetics Network. In summary, we introduce a novel statistical approach to systematically evaluate deleterious DNMs in inherited cancer syndromes. Our approach may serve as a foundation for future studies evaluating how new deleterious mutations can be established in the germline, such as those in TP53.

[Supplemental material is available for this article.]

De novo mutations (DNMs) are defined as germline variants occurring in the carrier but not in either of the carrier’s parents (Rahbari et al. 2016). In humans, dozens of DNMs (Nachman and Crowell 2000; Kondrashov 2003; Conrad et al. 2006; Lynch 2010; Kong et al. 2012; Lipson et al. 2015) occur throughout the genome of each newborn. DNMs are considered a major cause for the occurrence of rare, early-onset reproductively lethal diseases (Acuna-Hidalgo et al. 2016). Initial analyses have shown that the rate of germline DNMs increases with paternal age (Kong et al. 2012) and maternal age (Wong et al. 2016), plus, DNMs are correlated to a number of factors (Battle and Montgomery 2014), including the time of replication (Francioli et al. 2015), the rate of recombination (Francioli et al. 2015), GC content (Michaelsen et al. 2012), and DNA hypersensitivity (Michaelsen et al. 2012). DNM carriers and their associated factors have always been a focus of genetics research because of their crucial role in the evolution of species (Goldmann et al. 2019).

Not only are DNMs the raw materials of evolution, but they may also shape and determine disease susceptibility (de Ligt et al. 2012; De Rubeis et al. 2014; Iossifov et al. 2014; Robinson et al. 2014; Krumm et al. 2015). DNMs have been recognized as causal factors for rare diseases, such as retinoblastoma and neurofibromatosis, where the de novo rates are as high as ∼90% (Dryja et al. 1996) and maternal age (Wong et al. 2016), plus, DNMs are correlated to a number of factors (Battle and Montgomery 2014), including the time of replication (Francioli et al. 2015), the rate of recombination (Francioli et al. 2015), GC content (Michaelsen et al. 2012), and DNA hypersensitivity (Michaelsen et al. 2012). DNM carriers and their associated factors have always been a focus of genetics research because of their crucial role in the evolution of species (Goldmann et al. 2019).

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et al. 1997) and ∼50% (Evans et al. 2010; Jett and Friedman 2010), respectively. Li-Fraumeni syndrome (LFS) is an autosomal-dominant cancer predisposition syndrome that commonly manifests as soft tissue and bone sarcomas, breast cancer, brain tumors, leukemia, and adrenal cortical carcinomas (Nichols et al. 2001; Olivier et al. 2003) and is associated with germline mutations in the TP53 tumor suppressor gene (Malkin et al. 1990; Correa 2016), of which 7%–20% are de novo (Gonzalez et al. 2009). Clinically, it is of interest to identify de novo carriers of a TP53 germline mutation, who may otherwise go unnoticed until they or their offspring develop multiple cancers, to provide them with information on current standard LFS screening and surveillance protocols. Understanding the cause of de novo mutations in cancer genes like TP53 will help develop strategies for early identification, which will have a novel and significant impact on the field of human genetics.

Predicting a DNM in a gene is not trivial when the parental mutation statuses are unknown. Incomplete family history may skew observational criteria and the prediction may suffer from low sensitivity and specificity. Mendelian risk prediction models (Chen et al. 2004) have been used successfully for accurate prediction of deleterious mutation status in familial breast and ovarian (Euhus et al. 2002), bowel (Chen et al. 2006b), and pancreatic (Wang et al. 2007) cancers, melanoma (Wang et al. 2010), and most recently in LFS (Peng et al. 2017; Renaux-Petel et al. 2018). We developed a novel statistical approach, Famdenovo, to predict a mutation carrier’s DNM status, i.e., whether the carrier’s deleterious mutation was due to familial inheritance or alternatively arose from a new mutation in that individual. Built on the principles of Mendelian models, Famdenovo predicts a new biological outcome, DNM status. For each particular set of gene(s) of interest, three sets of input parameters need to be estimated from a population study in order to build Famdenovo: the penetrance, the allele frequency of the gene(s), and the de novo mutation rate. We have incorporated well-validated parameter estimates in Famdenovo.TP53 for LFS-TP53 (Peng et al. 2017) and Famdenovo.BRCA for HBOC-BRCA1/2 (Chen et al. 2006a; Parmigiani et al. 2007).

Figure 1 depicts three scenarios of a hypothetical pedigree resulting in different DNM probabilities in TP53 given by Famdenovo.TP53. Figure 1A shows a pedigree with four cancer cases: two soft tissue sarcomas (STS) at 25 and 27 yr old, one breast cancer at 40 yr old, and another STS at 20 yr old. The arrow points to the counselee who is a TP53 mutation carrier, and the probability of the mutation being de novo for the counselee is 0.0033. It is likely that this is a familial mutation inherited from the counselee’s mother. Figure 1B shows a similar pedigree with three cancer patients, with one less affected relative in the maternal branch of the counselee compared with Figure 1A, and with an older age of diagnosis for the counselee’s mother, which increases the predicted probability of the mutation being de novo. The de novo probability given by Famdenovo.TP53 is 0.47. Figure 1C shows a similar pedigree with only two cancer cases in the counselee and counselee’s offspring, compared with Figure 1B. Because there are no longer any cancer patients in the older generations and the counselee’s siblings are all healthy, it is most likely that this mutation is de novo. Famdenovo.TP53 predicted this mutation to be a DNM, based on the 0.89 probability of the mutation being de novo.

Validation of Famdenovo.TP53 in individuals with known DNM status in TP53

We evaluated the performance of Famdenovo.TP53 based on germline TP53 tested individuals with known DNM status from the four cohorts. Among the 324 LFS family pedigrees we collected, 186 families had a known DNM status and 138 had an unknown DNM status (Fig. 2; Supplemental Table S1). Table 1 provides a summary of the demographics for all four study cohorts. The largest set of DNM carriers was contributed by the MD Anderson (MDA) cohort. In total, there were 42 known DNM carriers and 144 families with known FM carriers.

We used observed/estimated ratios (OEs) and receiver operating characteristic (ROC) curves to evaluate the calibration and discrimination of our model. Figure 3 shows the ROC curves for the validation set from the four cohorts combined. The total sample size for the validation was 186 families; the corresponding area under the curve (AUC, or concordance index) was 0.95 (95% CI: [0.92, 0.98]), which demonstrated that Famdenovo.TP53 performed well in discriminating DNM carriers from FM carriers. The calibration was satisfactory, with some underprediction and an OE of 1.33 (95% CI: [1.09, 1.63]).

We also compared our method with two simple criterion-based prediction models that do not require the collection of extended pedigree data (Table 2). Criterion 1 predicts a mutation to
be de novo if the mutation carrier does not have a parent diagnosed with cancer before a cutoff age; e.g., 45 or 60. Criterion 2 predicts a mutation to be de novo if, in addition to criterion 1, neither of the grandparents was diagnosed with cancer before the cutoff age. Criterion 1 is more relaxed than criterion 2. Figure 3 shows that both criteria (over a range of cutoff ages) fall outside of the 95% confidence interval band of our method. Neither of the criteria is able to reach the full range of the ROC curve. Criterion 2 follows the same trend as criterion 1. Moreover, for each criterion, we calculated a partial AUC area with cutoff ages from 45 to 65, which were then rescaled to the full range of 0 to 1. The rescaled AUC for criterion 1, the better performing of the two criteria, is still much lower than Famdenovo.TP53, with a difference of 0.14 (95% CI: [0.054, 0.20]) (Table 2). Our results demonstrate that Famdenovo.TP53 outperforms criterion-based prediction models and that extended pedigrees with cancer diagnosis information are needed for the accurate identification of DNM carriers.

We evaluated the sensitivity and specificity of Famdenovo.TP53 under various prediction cutoff values using the validation set (Supplemental Table S2) in order to identify an optimal classification cutoff for this data set. If the predicted probability was equal to or above the prediction cutoff, the individual was classified as a DNM carrier. As the cutoff probability increases, the sensitivity decreases and specificity increases. We chose a cutoff of 0.2, which provided a good tradeoff of a sensitivity of 0.76 and a specificity of 0.93 (Fig. 4A). Maintaining a high specificity is essential to allow for downstream clinical interpretation of de novo mutations. Using the observed deleterious DNMAs in our TP53 clinical cohorts, we estimated that Famdenovo.TP53, at a cutoff = 0.2, will achieve a positive predictive value (PPV) of 0.78 and a negative predictive value (NPV) of 0.92. Without using pedigree information and model-based analysis like Famdenovo.TP53, criterion 1 will only achieve a PPV of 0.63 and an NPV of 0.92 (cutoff age = 65, sensitivity = 0.8, and specificity = 0.8).

We also conducted a sensitivity analysis to evaluate how the pedigree size affects the validation results. The range of pedigree sizes for each of the four cohorts is listed in Table 1 (see also Supplemental Fig. S1). We divided the 186 families in the validation data set into two groups: large families and small families, using the median size of all families ($n=29$) as the cutoff size. We obtained similar results under the two scenarios (small families: AUC 0.96, 95% CI: [0.91, 0.99], large families: AUC 0.94, 95% CI: [0.89, 0.98]). These results support the robustness of our model by showing that Famdenovo is capable of accurately predicting de novo TP53 mutations in a range of family sizes.

We further conducted a sensitivity analysis on the two input parameters: the prior values for the deleterious allele frequency.

![Figure 1](image1.png)

**Figure 1.** Illustration of clinical counseling using Famdenovo based on family history. The number below each individual is the age at last contact (healthy or affected with cancer). The arrow points to the counselee who is known to be a TP53 mutation carrier. The de novo probabilities shown are given by Famdenovo.TP53. (A) A pedigree with four cancer patients. (B) A pedigree with three cancer patients. (C) A pedigree with two cancer patients.

![Figure 2](image2.png)

**Figure 2.** Study design and methods. The study included four cohorts: MD Anderson (MDA), National Cancer Institute (NCI), Dana-Farber Cancer Institute (DFCI), and Children's Hospital of Philadelphia (CHOP) in our study. A subset of families within each cohort have mutation testing results from the parents, and therefore the true DNM status is known. These families form the validation set of our study. In the other families, the family members' DNM statuses are unknown, and they form the discovery set.
and the DNM rate. These values are expected to be dominated by family history data that provide strong information during the calculation of posterior probabilities. Tuning the two parameters barely influenced the AUC or OE (Supplemental Table S3).

**Discovery in individuals with unknown DNM status using Famdenovo**

We applied Famdenovo. TP53 to the 138 families with unknown DNM status in the discovery set from the same four cohorts. Figure 4B provides the probability distribution of predicted DNM carriers at a cutoff of 0.2. In total, 40 (95% CI: [30, 50]) out of the 138 families were predicted to be de novo. The number of predicted de novo families for MDA, NCI, DFCI, and CHOP were 23 out of 58 (95% CI: [16, 30]), seven out of 12 (95% CI: [4, 10]), seven out of 61 (95% CI: [3, 12]), and three out of seven (95% CI: [1, 5]), respectively. MDA and CHOP had higher percentages of DNM carriers, likely due to differences in ascertainment across cohorts. Sensitivity analyses using cutoffs from 0.05 to 0.3 gave consistent DNM results (Supplemental Table S4).

**Association of deleterious DNsMs in TP53 with clinical outcomes**

Combining the validation and discovery sets resulted in a total of 82 DNM carriers from 82 families and 450 FM carriers from 242 families, which equipped our study with a sample size that was meaningful to evaluate associations between mutation status and a diverse range of individual outcomes: sex, cancer types, multiple primary cancers, age of cancer diagnosis, parental ages, and types of mutation. Comparing DNM to FM carriers in 397 cancer patients (Supplemental Table S5), we observed more females than males (odds ratio [OR] = 1.78, 95% CI: [1.01, 3.13]). Considering the strong association between sex and breast cancer, we asked whether this significant finding comes from ascertainment bias. We performed another test within the probands; i.e., the index person that brings the family into the research cohort. This time, in contrast, we found no sex differences (34% DNM in female and 32% DNM in male, OR = 1.08, 95% CI: [0.58, 2.03]). All but two DNM carriers were probands. Therefore, we infer that the former significant finding was due to an imbalanced addition of FM carriers, who were relatives of the probands, to the female and male categories, i.e., a numerical artifact. In the following association analyses, we present comparisons within the probands only.

Among 233 probands with cancer information (Supplemental Table S6), we observed an OR = 1.9 (95% CI: [1.09, 3.33]) for DNM in breast cancer as compared to other cancer types. We further divided the probands into three age categories: [0, 20], [20, 35], and 35+, using age of last contact. We observed, within the [20, 35] age category, an OR = 5.98 (95% CI: [1.81, 19.76]) for DNM in breast cancer as compared to other cancer types, whereas a similar test in the age 35+ category was not statistically significant. This association is again due to ascertainment of DNM carriers through patients with early-onset breast cancer and not with other cancer types (see Supplemental Table S7 for commonly used ascertainment criteria; Li and Fraumeni 1969; Tinat et al. 2009). Within the [20, 35] age category and probands with breast cancer, where the ascertainment of each individual is consistent, we found 23 DNM carriers (29% of all proband DNM carriers) and 25 FM carriers (16% of all proband FM carriers), resulting in a 48% (SD = 7.2%) DNM rate. This high proportion of DNM carriers is also observed within the validation set only (n = 11 vs. 8) (Supplemental Table S6C). Sensitivity analyses using various thresholds of age of last contact showed consistent results (Supplemental Table S6D), supporting the robustness of this finding.

**Figure 3.** ROC curves for the validation of Famdenovo. TP53. The x-axis is the false positive rate (i.e., 1 – specificity), and the y-axis is the true positive rate (i.e., sensitivity). The black curve corresponds to the results of Famdenovo. TP53 on the 186 families in the validation set, and the blue shade shows the 95% confidence interval. The red circles represent the different de novo cutoff probabilities for the model. The purple diamonds correspond to the results of criterion 1 at a cutoff age (provided next to the diamonds). The orange stars represent the result of criterion 2 at a cutoff age (provided next to the stars). The gray dotted lines show the range of cutoff ages, 45–65, where a partial AUC is calculated for criterion 1.
We evaluated the association between the DNM status and the risk of developing subsequent multiple primary cancers (MPC) within the probands. Supplemental Table S8A shows that more patients with DNMs presented multiple primary than single primary cancers (OR = 1.77, 95% CI: [1.01, 3.11]). Similarly, we attribute such association to the ascertainment practice, i.e., ascertaining patients with a personal history of multiple primary cancers (at least one cancer is at an early onset) is part of the clinical criteria used for patient ascertainment in our study cohorts (Li and Fraumeni 1969; Tinat et al. 2009). In an attempt to adjust for ascertainment to make the DNM and FM carriers comparable, we looked within the [20, 35] age category at probands with MPC. We found 17 DNM carriers and 22 FM carriers, resulting in 44% of DNMs within this combined cohort population (SD = 7.9%) (Supplemental Table S8B). This high proportion is also observed with the validation set (n = 10 vs. 8) (Supplemental Table S8C). This result is congruent with the previous observation of a high DNM rate in probands with early-onset breast cancer.

Figure 5A shows the distributions of cancer diagnosis age as stratified by cancer type and sex for the combined set. We observed DNMs in all of the eight LFS spectrum cancers: breast, brain, leukemia, choroid, adrenocortical carcinoma, osteosarcoma, soft tissue sarcoma, and lung, as well as other invasive cancers that are not within the LFS spectrum. Other than breast cancer, most cancer types have both female and male DNM carriers, with the exceptions of lung cancer and leukemia. For lung cancer, DNMs were only presented in females (n = 5, mutation type: NC_000017.11:g.7675232G>T [p.R282W]) (Supplemental Table S9). We found no difference in ages of diagnosis between DNM and FM carriers across cancer types, including breast cancer (Fig. 5A).

We also investigated whether the parental ages (paternal or maternal) were different among TP53 DNM and FM carriers. Parental age refers to the age of the parent at the time of child birth. Literature on the origins of DNMs suggested their occurrence rate across the genome was correlated with paternal age (Kong et al. 2012). Little is known about occurrence of deleterious DNMs within a given gene with regard to parental ages. Here, parental age is obtained by calculating the difference between the birth year of the child and the parent. Figure 5, B and C, shows the distributions for the combined set. We observed that both paternal age (mean = 29, SD = 5.8) and maternal age (mean = 27, SD = 5.0) were similarly distributed between DNMs and FM carriers. This is consistent with

| AUC | Mean | 95% CI |
|-----|------|--------|
| Famdenovo.TP53 | 0.95 | [0.92, 0.98] |
| Criterion 1 | 0.82 | [0.78, 0.87] |
| Criterion 2 | 0.80 | [0.77, 0.84] |
| Famdenovo - criterion 1 | 0.14 | [0.054, 0.20] |
| Famdenovo - criterion 2 | 0.15 | [0.087, 0.20] |

Table 2. Comparison of AUCs of Famdenovo.TP53 and various criterion-based prediction models, where 95% CIs were obtained by bootstrapping

Figure 4. Distributions of the de novo probabilities in validation and discovery sets in the four cohorts. The y-axis is the predicted de novo probability by Famdenovo.TP53. The width of each column changes over the y-axis, representing the percent of individuals who have the corresponding range of probability values. The individuals with points above the gray line (cutoff = 0.2) were predicted to be DNM carriers. The x-axis gives the names of the cohorts and the corresponding predicted numbers of DNM carriers out of the total number of individuals. (A) In the validation set, the individuals with blue dots were true DNM carriers, and those with red dots were true FM carriers. (B) In the discovery set, the individuals with solid gray dots were predicted to be DNM carriers, and those with empty dots were predicted to be FM carriers.
what was reported recently in another study (Renaux-Petel et al. 2018) for deleterious DNMs within TP53.

Supplemental Table S10 summarizes the frequencies of DNMs in each of the four cohorts (MDA, NCI, DFCI, and CHOP) within the validation and discovery sets. In this study of TP53 mutations, there were a total of 49 variants that were recorded in DNMs (Supplemental Table S9) and a total of 82 variants recorded in FMs. Supplemental Figure S2 summarizes the occurrences of DNM and FM variants in the validation and discovery sets, as well as their overlap in mutation types (24.5% and 34.1%). Multiple hotspot mutations were presented in FMs. Among them, two TP53 hotspot mutations (Walerych et al. 2012), NC_000017.11:g.7674220C > T (p.R248Q) and NC_000017.11: g.7673802C > T (p.R273H), were the most enriched in DNMs (p.R248Q, n = 4, cancer types: adrenocortical carcinoma, breast, osteosarcoma, soft tissue sarcoma) (Supplemental Table S10). In particular, NC_000017.11:g.7674221G > A (p.R248W) mutations were missing among the DNMs list for this study. Among the FMs, p.R248W was as prevalent as p.R248Q (n = 7 vs. 9 out of 242). The observation of n = 0 out of 82 DNMs for p.R248W was marginally significant (P-value = 0.09, based on a Poisson distribution). The cancer types of FM-p.R248W carriers encompass the cancer spectrum of LFS, including breast cancers. The frequencies of DNMs at different amino acid positions did not differ significantly in the validation (Fig. 6A) and discovery sets (Fig. 6B), supporting the validity of predicted DNMs in the discovery set.

Deleterious DNM status among mutation carriers in BRCA1/2

We used a data set with 39 extended pedigrees from the Cancer Genetics Network (Anton-Culver et al. 2003): 23 families had BRCA1 mutation carriers and 16 families had BRCA2 mutation carriers (Supplemental Table S11). Among them, we predicted a total of seven (18%) DNMs using a cutoff of 0.15. Among the seven predicted DNMs, three people (13%) were BRCA1 mutation carriers and four people (25%) were BRCA2 mutation carriers (Supplemental Fig. S3). Visual inspection of the family cancer history of these seven families (Supplemental Fig. S4) supports the validity of the Famdenovo predictions. The median probability of the 59 predicted FM carriers is 0.005. Due to a lack of genetic testing information of both parents, we were limited to positive testing results from some parents or siblings, which provided us with only true negatives for validation. A total of 38 people from 15 families were confirmed through parent-child relationship or inferred to be FMs in the case of having multiple siblings testing positive. Famdenovo.BRCA also predicted all of them as FMs (specificity = 100%), which demonstrated the accuracy of Famdenovo.BRCA.

Discussion

Deleterious germline DNMs are more prevalent than previously thought. For cancer genes such as TP53, we estimated a ~1:1 ratio of deleterious DNMs compared to FMs in our LFS cohorts, supporting the importance of studying its etiology. To enable future genomic studies, we have developed a statistical method and tool, Famdenovo, to predict the deleterious DNM status in cancer genes using family history data. Famdenovo.TP53 showed excellent performance, an AUC of 0.95, in discriminating DNM carriers from FM carriers of TP53 deleterious germline mutations in 186 families collected from four clinical cohorts with varying ascertainment criteria. In our case study using 324 germline TP53 pedigrees, Famdenovo.TP53 identified a total of 82 deleterious DNM carriers (increased from only 42 using trio-based genetic testing results, 80 of which were cancer patients) and 450 deleterious FM carriers.
response, as all cells now contain this mutation. This hypothesis is validated, however, then their offspring may no longer incur such re-
p.R248W mutated germ cells has helped destroy these cells so that they are not viable for reproduction. If these germ cells have survived, however, then their offspring may no longer incur such response, as all cells now contain this mutation. This hypothesis is supported by our observation of a high prevalence of p.R248W in FMs. An alternative hypothesis to explain this observation is that DNMs in p.R248W were simply not ascertained by this study, for unknown reasons, as discussed further below.

DNM carriers do not manifest via family history data and are likely to be identified in the clinic only when they have developed multiple cancers, very early-onset breast cancer, or their offspring have developed cancer. They are hence currently underrepresented in cancer genetics studies. We estimate that our four study cohorts may miss up to 45% of deleterious DNMs in TP53, due to the lack of genetic testing for cancer patients with no family history or healthy individuals who carry these mutations. Correspondingly, we estimate a population prevalence of 0.00076 for deleterious TP53 mutations, which is 26% higher than shown in previous studies (Peng et al. 2017). Our finding that deleterious DNMs of TP53 are as frequent as FMs in early-onset breast cancer provides strong evidence to support the National Comprehensive Cancer Network (NCCN) guideline for women with early-onset breast cancer to be offered testing for TP53, regardless of family history, to capture the DNM carriers who are not as rare as previously thought. Our results provide a quantitative evaluation on DNMs to genetic counselors so that they may tailor sessions to carefully explain the new knowledge. More importantly, DNMs are sparse in the general population although their downstream effect is detrimental for families. Among the four cohorts, there were 138/324 probands with missing parental genotypes. In these cases, Famdenovo.TP53 would be useful to predict DNMs. Identification of patients with DNMs in order to study them collectively will further our understanding of the molecular mechanism underlying deleterious DNMs, and it is essential for future identification of the hidden DNMs for genetic testing.

Missing data in parental genotypes is more prevalent in HBOC cohorts, which restricts us from conducting an extensive validation of Famdenovo.BRCA. Genetic counselors suggest that it may be due to the higher age of BRCA1/2 mutation carriers at the time they enter a study, resulting in reduced parental follow-up compared to TP53 mutation carriers (commonly found in childhood cancer patients).

We remain cautious about the downstream interpretations of Famdenovo predicted DNMs and, to a larger extent, about any other biological factors that may confound our interpretation. We enumerate the following five considerations in the context of TP53, which are applicable to BRCA1/2 and other future genes of interest. First, mosaicism in parents could be partially contributing to DNMs in the children (Renaux-Petel et al. 2018). If a parent presents an LFS-like phenotype due to a mosaic TP53 mutation, Famdenovo.TP53 would consider the parent as a germline mutation carrier, hence deducing the child to be an FM carrier. The DNM status of a child under this condition would then be missed by Famdenovo.TP53. Such a condition is rare, at ~5% (Renaux-Petel et al. 2018), and of less concern to clinical practice, as this population is already targeted by genetic testing. Patients with an LFS-like phenotype are often tested, first with Sanger sequencing, then with NGS at high depth, until mosaicism is confirmed. Second, somatic clonal expansion in cancer patients may create a look-alike germline TP53 mutation, resulting in a false positive in DNM status. However, such observation was reported in a general panel-testing population, which is supposedly >99.9% without TP53 mutations, hence presenting an expected low positive predictive value (Weitzel et al. 2018). By focusing on clinically ascertained families, we do not expect aberrant clonal expansion to be the cause of the identified DNMs. Furthermore, we only observed

![Histogram of the amino acid change caused by the de novo mutations in the validation set.](image1)

![Histogram of the amino acid change caused by the de novo mutations in the discovery set.](image2)

**Figure 6.** Histograms of DNM frequency at different amino acid positions in the validation and discovery sets. (A) The validation set. (B) The discovery set. The y-axis shows the count of DNMs. The x-axis shows the amino acid positions.
two DNM carriers who have ever had leukemia, a cancer type known to present clonal hematopoiesis. Third, Famdenovo.TP53 assumes the mutations are deleterious and their effect on cancer outcomes follow the penetrance curves previously estimated from a set of families. Variants with unknown significance in TP53 were excluded from the study. Fourth, DNMs across the genome were reported to be shared in siblings (Jonsson et al. 2018). Within a single gene like TP53, however, we did not observe sibling sharing. Last, cutoffs for DNM probabilities to classify the mutation carriers into DNM and FM carriers were determined on the same data set. Future validation studies are needed to determine one or several recommended cutoffs for clinical decision-making.

Because of our study design, distinct from existing DNM studies that are genome-wide and across many individuals, we are limited to a small number of mutations, which, even with the addition of the Famdenovo’s discovery set, would not be sufficient to perform sequence context-based analyses that would follow those existing DNM studies. In contrast, what existing studies cannot provide is Famdenovo’s laser-beam view of the impact of de novo mutations in a single gene and a single disease syndrome. Both study designs should be carried out in order to provide a well-rounded understanding of de novo mutations.

In summary, we present a new epidemiological study design, enabled by a statistical method called Famdenovo, to evaluate the clinical impact of deleterious de novo mutations in cancer genes. We introduce both Famdenovo.TP53 and Famdenovo.BRCA and illustrate both models using family history cohorts. This study design is important for future genetic research, as well as the clinical management of patients and their families as we learn new biology and associated clinical risk for DNM carriers. Famdenovo was developed as a freely available R package and Shiny web app (http://bioinformatics.mdanderson.org/public-software/famdenovo).

Methods

Model development

Famdenovo estimates the probability of de novo mutation in any designated family member who carries a deleterious germline mutation in a given gene, based on a detailed family disease history and values of genetic parameters, such as the penetrance and prevalence, for the gene and the corresponding diseases. The prevalence for gene mutations is expressed as Pr(G), where G denotes genotype, which could be wild type (denoted as 0), a heterozygous mutation (denoted as 1), or a homozygous mutation (denoted as 2). Pr(G) for three genotypes can be derived from the prevalence of the mutated alleles using the Hardy-Weinberg equilibrium. The penetrance is the probability of developing the disease at a given age for individuals who carry the germline mutations. The disease of interest is an inherited syndrome, such as Li-Fraumeni syndrome or HBOC. The genes (one or more) of interest are those that are known to be the major cause of the corresponding inherited syndrome when they are mutated. The germline mutations here correspond to deleterious mutations that are accepted in clinics as mutations that would categorize the carriers as high risk for developing the disease in the future and who will be suggested to adhere to a series of cancer prevention protocols. Other mutations in these genes, including those that are variants of unknown significance, are considered as wild type in the model. Either the dominant or recessive mode of inheritance can be assumed in Famdenovo.

Let P be the pedigree information of the family (e.g., the pedigree drawn in Fig. 1), let D be the disease phenotype information of all family members, and Gc be the genotype of the carrier, then the probability of the mutation being de novo can be written as Pr(Gc is de novo | Gc is germline, D, P), referred to as the “de novo probability.”

To calculate Pr(Gc is de novo | Gc is germline, D, P), we have the following equation:

\[
Pr(Gc \text{ is de novo} | Gc \text{ is germline, } D, P) = \frac{Pr(Gm = 0 \text{ and } Gf = 0 | Gc = 1, D, P)}{Pr(Gm = 0 | D, P) \times Pr(Gf = 0 | D, P)}
\]

where Gm is the genotype of the mother and Gf is the genotype of the father. In Equation 1, all four probabilities are usually difficult to obtain through direct calculations. Hence, we apply Mendelian models to derive them. Let the family cancer history H = (P, D). In a Mendelian model, the probability of the person’s genotype given the family cancer history Pr(G0 | H) is the updated population prevalence Pr(G0) by incorporating family cancer history H. Here, G0 denotes the genotype of the person of interest, which can be Gnr or Gc. We can estimate it via the following formula:

\[
Pr(G0 | H) = \frac{Pr(G0) \times Pr(H|G0)}{Pr(H) \times \sum G0 \times Pr(G0) \times Pr(H|G0)}
\]

In Equations 2 and 3, n is the total number of the counsellee’s relatives within a family. Pr(H|G0) is the probability of the phenotypes for the whole pedigree given the genotype of the counsellee, which is the weighted average of the probabilities of family history given each possible genotype configuration of all relatives Pr(H|G). The weights are the probabilities of the genotype configuration based on Mendelian transmission. Pr(H|G) are products of the individual probability distributions of penetrance Pr(H|G) when we assume conditional independence. With the equations above for Pr(G0|H), we can then calculate the four probabilities in Equation 1, respectively, by assigning the right person as G0 and assuming a known genotype status in the parents, when needed.

The posterior probability calculation is performed using the Elston-Stewart peeling algorithm. This algorithm characterizes Mendelian transmission using a transmission matrix of the probability of the genotype for an individual, given the genotypes of the father and mother, Pr(Gi/G0, Gm) (Fernando et al. 1993).

With the calculated probabilities, we classify the DNM status based on a cutoff on the probability. Pr(Gc is de novo | Gc is germline, D, P) ≥ cutoff are identified as DNM carriers. We used the validation data in this study to determine an ideal cutoff.

For Famdenovo.TP53, we used a previous penetrance estimate for TP53 mutation carriers and noncarriers from six large pediatric sarcoma families at MD Anderson, which are not included in this study (Wu et al. 2006). We assumed the TP53 mutation follows the Hardy-Weinberg equilibrium, but this could be modified by user input when homozygous genotype information is published. The mutation prevalence, i.e., allele frequency, is specified as 0.0006 for pathogenic TP53 mutations, which was derived in our previous study (Peng et al. 2017). The assumed frequencies for the three genotypes (homozygous reference, heterozygous, and homozygous variant) were 0.9988, 0.001199, and 3.6 × 10⁻⁰⁷.
respectively. We used 20% as percent DNMs among all mutations. The allele frequency and DNM rate are priors that are then updated by family history to generate a posterior probability. Both penetrance and prevalence estimates were previously validated using external study cohorts that are different from those in this study (Peng et al. 2017).

For Famdenovo.BRCA, we used previous externally validated penetrance estimates of BRCA1 and BRCA2 mutations of the U.S. population for both Ashkenazi Jewish (AJ) and non-AJ families (Chen et al. 2006a; Parmigiani et al. 2007). We used 0.00305 and 0.0034 as the mutation allele frequencies for BRCA1 and BRCA2, respectively. We assumed a 15% DNM rate among all mutations. The allele frequency and DNM rate are priors that were then updated by family history to generate a posterior probability. The same cutoff value of 0.15 was used to classify DNMs in BRCA1/2.

**Study cohorts**

We evaluated our method on TP53 using four cohorts (Supplemental Table S12). (A) Families with LFS primarily ascertained through clinical criteria were included in the MD Anderson cohort. Details on data collection, specific cancers, and germline testing for this cohort have been published (Shin et al. 2020). This cohort has 82 families with known de novo status and 58 families with unknown de novo status. (B) The National Cancer Institute (NCI) LFS cohort (NCT01443468), our second cohort, is from a long-term prospective, natural history study that started in 2011 (Mai et al. 2016). The cohort includes individuals meeting classic or Li-Fraumeni-like diagnostic criteria, having a pathogenic germline TP53 mutation or a first- or second-degree relative with a TP53 mutation, or having a personal history of choroid plexus carcinoma, adrenocortical carcinoma, or at least three primary cancers (Birch et al. 1994). This cohort includes 66 families with known de novo status and 12 families with unknown de novo status. (C) The DFCI LFS cohort, our third cohort, is comprised of patients identified in the course of clinical genetics practice. The early years of the cohort were the result of single gene testing of individuals with features suggestive of LFS. Since 2012, the cohort has included individuals with TP53 mutations identified on multigene panel testing and individuals referred for clinical consultation of enrollment in a whole-body MRI surveillance protocol. This cohort has 30 families with known de novo status and 61 families with unknown de novo status. (D) Data from the CHOP were obtained through the Cancer Predisposition Program based on a primary tumor type concordant with the LFS tumor spectrum (e.g., adrenocortical carcinoma, choroid plexus carcinoma, early onset rhabdomyosarcoma, multiple primary cancers, etc.) and/or a suggestive family cancer history. Most patients met classic or Chompret criteria. The laboratories used to complete clinical TP53 testing included the Diagnostic Genetic Laboratory at the University of Pennsylvania, The Hospital for Sick Children, or Ambry Genetics.

**Model evaluation and comparison**

In four cohorts (described above) of TP53 mutation carriers with known de novo status, we used Famdenovo.TP53 to calculate the de novo probability for each individual. Because mutation carriers within the same family are not independent, we used family-wise de novo probability and status for model evaluation. If a family had at least one family member with a de novo probability that was over the cutoff, they were defined as a de novo family; otherwise, they were defined as a familial family.

Validation was performed on 186 families with known DNM status from the four cohorts. We used OEs to evaluate the calibration and ROC curves to evaluate our model’s discrimination ability. The OE is the ratio between the observed number of de novo TP53 mutations and the summation of the estimated probabilities of de novo TP53 mutations; ideally, the observed number of DNMs equals the estimated number (OE = 1). The ROC curve is generated by plotting the true positive rate against the false positive rate at various prediction cutoffs using Famdenovo.TP53. A high area under the ROC curve (AUC), i.e., concordance index, indicates that we can find a point on the ROC curve for determining the de novo status with a high true positive rate and a low false positive rate.

We also compared our method with the other two criterion-based prediction models. The partial AUC is rescaled to the full
range of 0 to 1 using the following equation:

$$\text{Rescaled AUC of model} = \frac{\text{partial AUC of model}}{\text{full AUC of Famdenovo}}$$

Finally, 95% CIs were obtained by bootstrapping the AUC values of Famdenovo and the AUC or rescaled AUC of the two criterion-based models. All data analyses were performed in R 3.5.6 (R Core Team 2019).

Data access
The TP53 mutation and cancer data from all studies are provided in Supplemental Table S1. The BRCA1/2 mutation and cancer data from CGN are provided in Supplemental Table S11. The R package Famdenovo BRCA into the Famdenovo R package will be made available in Famdenovo_0.1.1.tar.gz and scripts for Famdenovo.BRCA are provided in Supplemental Table S1. The AUC or rescaled AUC of the two criteria from all studies range of 0 to 1 using the following equation:

$$\text{Rescaled AUC of model} = \frac{\text{partial AUC of model}}{\text{full AUC of Famdenovo}}$$

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Famdenovo for calling de novo mutations

D.B. coleads the BayesMendel laboratory, which licenses software for the computation of risk prediction models.

Acknowledgments
We thank Drs. Chris Amos and Dr. Banu Arun for their constructive comments on this manuscript. We thank Timothy Cheng and Jessica Swann for reading the manuscript and providing word editing. We thank the reviewers for their constructive comments which have substantially improved the paper. The work of X.P. and W.W. are supported in part by National Institutes of Health (NIH) R01CA207365, R01CA239342. The work of D.B. is supported in part by the NIH R01CA183793 and R01CA239342. The work of D.B. coleads the BayesMendel laboratory, which licenses software for the computation of risk prediction models.

Competing interest statement
D.B. coleads the BayesMendel laboratory, which licenses software for the computation of risk prediction models.
