Orbital design of flat bands in non-line-graph lattices via line-graph wave functions

Hang Liu, Gurjyot Sethi, Sheng Meng, and Feng Liu

1Department of Materials Science and Engineering, University of Utah, Salt Lake City, Utah 84112, USA
2Songshan Lake Materials Laboratory, Dongguan, Guangdong 523808, People’s Republic of China
3Beijing National Laboratory for Condensed Matter Physics and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, People’s Republic of China

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I. INTRODUCTION

Electronic properties of crystals are generally determined by four fundamental degrees of order: lattice, orbital, charge, and spin [1]. One distinguished manifestation of lattice symmetry in electron band structure is topological flat band (TFB) in line-graph (LG) lattices [2–5]. In graph theory, a LG is made by connecting the centers of edges sharing a common vertex of a graph. It is proved that [6–8] the Laplacian operator of a LG is equivalent to the electronic Hamiltonian of the corresponding LG lattice, which has ubiquitously a constant eigenvalue, i.e., a FB. The topology of a FB hosted in a LG lattice can be assessed by the existence of singular band touching point with a dispersive band at a high-symmetry \( k \) point [9–12], differing from an isolated trivial FB, such as the one in Tasaki lattices [13–15]. When the degeneracy of the touching point is lifted, the gapped TFB has a nonzero Chern number [9]. Due to its quenched kinetic energy and nontrivial topology, there exists a rich spectrum of physics associated with TFB, such as ferromagnetism [13,16,17], superconductivity [18–20], Wigner crystallization [21–23], fractional quantum Hall effect [24–27], Weyl fermion [28], and excitonic insulator [29]. Recent discovery of superconductivity in twisted bilayer graphene has further boosted the interest in TFBs [30–33].

The lattice, orbital, charge, and spin degrees of order are interdependent with each other. Of particular interest here is the transformation between lattice and orbital symmetry. Some generic lattice models (namely one orbital per site), based on LG [2–8,34–46], cell [13–15,47–49], and compact localized state (CLS) construction [10,47,50], have been developed for FBs [see Sec. I of Supplemental Material (SM) [51]]. Also, a couple of specific models have been shown for FBs in non-LG lattices [22,35,52], such as the hexagonal lattice with \((p_x, p_y)\) orbitals [22,53–55]. However, a generic orbital model for TFB construction, including high Chern number FB, is still lacking, which is important and useful since real materials usually consist of multiple atomic/molecular orbitals on each lattice site. In general, our understanding of fundamental relationship between lattice and orbital symmetry regarding FBs is far from complete.

In this work, we introduce a generic orbital design principle for TFBs, based on linear combination of atomic orbitals (LCAO) theory, which transforms the symmetry of lattice wave functions in LG lattice into “molecular” orbital (MO) symmetry in non-LG lattice by a unitary transformation. Applying this principle, we predict FB lattice/orbital systems and explain the few existing ones. It also enables a systematic orbital design of FBs with a high Chern number in various lattices. Using the tight-binding method, we calculate the band structures of three most common non-LG, square, trigonal, and hexagonal lattices, by employing the combinations of orbitals that are symmetry transformed from a subset of lattice wave functions of the LG, checkerboard, kagome, and diatomic-kagome lattices, respectively. We are able to produce TFBs in these lattices with all the possible orbital combinations, as summarized in Table I, much beyond a few specific cases found previously by physical intuition.
TABLE I. Transformation from LG lattices to non-LG orbitals.

| LG lattice          | Non-LG orbital       |
|---------------------|----------------------|
| Checkerboard        | Square \((s/d_{2z}, p)\) \((s/d_{2z}, d)\) |
| Diamond-octagon     | Square \((s/d_{2z}, p_s, p_d)\) \((d_{2z}, p_v, p_d)\) |
| Kagome              | Trigonal \((s/d_{2z}, p_s, p_d)\) \((s/d_{2z}, d_{2z}, d_{2z})\) |
| Diatomic-kagome     | Hexagonal \((p_s, p_d) (d_{2z}, d_{2z})\) |

II. COMPUTATIONAL METHODS

For tight-binding model calculations, we employed the well-known two-center bond integrals initially derived by Slater and Koster [56]. All moment-space Hamiltonians without spin-orbit coupling (SOC) can be found in Sec. IV of SM [51]. Furthermore, onsite SOC (Supplemental Table SI) is considered to break the degeneracy of singular touching points between the flat and dispersive band. For the two-dimensional systems in this work, the orbital bases with separate spin-up and -down channels \(|\upsilon_0, \uparrow\rangle, \ldots, |\upsilon_n, \uparrow\rangle; |\upsilon_0, \downarrow\rangle, \ldots, |\upsilon_n, \downarrow\rangle\rangle\) are used, so the onsite-SOC contribution to Hamiltonian is written as \(\lambda L \cdot S = \frac{\lambda L_z}{2} \left| \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right| \) [58] with SOC strength \(\lambda\), orbital angular momentum \(L\), and spin angular momentum \(S\), in which the matrix \(L_z\) is derived based on Table SI. The spin-\(z\) component is not mixed by the onsite SOC, manifesting that the \(z\) component is still a good quantum number. For the spin-polarized band indexed as \(n\), Chern invariant \(C_n = \frac{1}{2\pi} \int_{\text{BZ}} d^2k \Omega_n(k)\) is calculated by integrating Berry curvature in the first Brillouin zone (FBZ) [59]. The momentum-space Berry curvature is \(\Omega_n(k) = - \sum_{\mu \neq \nu} \frac{2i m (\langle \psi_\nu | \hat{e}_x | \psi_\mu \rangle \langle \psi_\nu | \hat{e}_y | \psi_\mu \rangle - \langle \psi_\mu | \hat{e}_x | \psi_\nu \rangle \langle \psi_\mu | \hat{e}_y | \psi_\nu \rangle)}{(E_\mu - E_\nu)^2}\), where \(\hat{e}_x\) and \(\hat{e}_y\) are velocity operators along the \(x\) and \(y\) directions. The Chern invariant is calculated for spin-up channel in this work.

III. RESULTS AND DISCUSSION

A. General formulation of orbital-design principle

We first discuss a general formulation of the orbital-designed TFBs in non-LG lattices. Consider a LG lattice consisting of \(n\) sites per unit cell, such as \(n = 2\) in a checkerboard lattice (LG of square lattice) in Fig. 1 (gray dots), with one \(s\) orbital per site \(\psi_\mu\). Let us partition the LG by grouping \(m\) sites with labels \((A, B, C, \ldots)\) together as a “molecule” (periodically repeated); the resulting MOs are constructed from LCAO theory as

\[
\psi_{MO} = \sum_{i=A,B,C,\ldots}^m c_i \psi_{i\mu},
\]

and treat the center of this molecule as one site with \(m\) MOs in a new lattice, which will generally be a non-LG lattice. For example, in Fig. 1(a), we choose \(m = n = 2\), then the new lattice is a square lattice with two MOs on each site (blue dots). This operation transforms the lattice symmetry of a LG checkerboard lattice into the orbital symmetry of a non-LG square lattice. Importantl, both lattices must have the same band structure, including the FB, because they have the equivalent Hamiltonian by a unitary transformation between different basis expansions for the Bloch wave functions in the same lattice partition, the former expanded in single \(s\) orbitals and the latter in multi-MOs. The symmetry (or type) of the MOs is determined by coefficients \(c_i\) in Eq. (1), in particular the sign of \(c_i\) on the \(m\) LG lattice sites, which can be obtained from the nodes of Bloch wave functions at the \(\Gamma\) point. Note that the basis transformation is independent of \(k\) points; in other words, the Bloch states at every \(k\) point are solved with the same \(s\)-orbital (MO) basis in the LG (non-LG) lattice. For example, the two MOs in Fig. 1(a) have the general form of \(\psi_{MO} (\varphi_{As} \pm \varphi_{Bs})/\sqrt{2}\) [see calculation results in Fig. 1(a)], indicating one \(s\) and one \(p\) orbital. This is different from the \(k\)-resolved symmetry analysis of the whole-lattice Bloch states, used to assess band topology [60,61].

Also, different MOs may result from partitioning different number of \(m\) sites. For example, in Fig. 1(b), we choose \(m = 2n = 4\), the new lattice is again a square lattice, but the two MOs on each site (blue dots) have \(s\) and \(d\) symmetry, respectively [see calculation results in Fig. 2(e)]. In doing so, the new square lattice has a supercell size twice as large as the original checkerboard lattice, as the two MOs are transformed from four \(s\) orbitals. This indicates band folding must accompany this basis transformation, since the band structure is independent of basis representations. Interestingly, this would lead to multiple “folded” singular band touching points between the flat and dispersive band, indicating the FB with a high Chern number.

B. Square lattice

Next, we illustrate the above design principle by tight-binding band calculations of specific examples. The checkerboard lattice, having site \(A\) and \(B\) in a unit cell, hosts a FB touched with a dispersive band (Fig. S1 in SM [51]). In Fig. 2(a), we plot the two eigenstates at \(\Gamma\), \(\psi_{E=3\pi} = \frac{1}{\sqrt{2}} (|A\rangle + |B\rangle)\) and \(\psi_{E=\pi} = \frac{1}{\sqrt{2}} (|A\rangle - |B\rangle)\), centered at the middle of \(A\) and \(B\). They may be viewed as two MOs sitting on the same site in a square lattice, one with \(s \sim |A\rangle + |B\rangle\) and the other with \(p \sim |A\rangle - |B\rangle\) symmetry polarized along the diagonal direction, as shown in Figs. 2(a) and 2(b). The band structure of this square lattice is calculated,
as shown in Fig. 2(c), using the following nearest-neighbor (NN) and next-NN (NNN) hopping integrals (note: the twocenter Slater-Koster integrals [56,62] are scaled by a common factor $\tau$),

$$
t_{s,s}^{NN} = -t_{p,p}^{NN} = -\frac{1}{2}, \quad t_{s,p}^{NN} = -t_{p,s}^{NN} = -\frac{1}{4},$$

$$
t_{s,s}^{NNN} = 1, \quad t_{p,p}^{NNN} = \frac{1}{2}, \quad t_{s,p}^{NNN} = t_{p,s}^{NNN} = \frac{1}{4}. \quad (2)
$$

One may also partition the checkerboard lattice wave function in Fig. 2(a) differently, by grouping four instead of two lattice sites, as illustrated in Fig. 2(e). Expanding the $\Gamma$-point lattice wave functions into a four-site basis in a $\sqrt{2} \times \sqrt{2}$ checkerboard superlattice gives rise to two MOs corresponding to $\psi_{E=-3\tau} = \frac{1}{2}(|A_1| + |A_2| + |B_1| + |B_2|)$, and $\psi_{E=\text{sp}} = \frac{1}{2}(|A_1| - |A_2| - |B_1| + |B_2|)$, with $s$ and $d$ symmetry in a square lattice [Figs. 2(e) and 2(f)]. This enables an alternative design of FB in a square lattice, and Fig. 2(g) shows the resulting band structure calculated using $(s, d_{xy})$-hopping integrals of $t_{s,s}^{NN} = t_{d,d}^{NN} = -\frac{1}{2}, t_{s,p}^{NN} = t_{s,s}^{NNN} = -\frac{1}{4}, t_{d,d}^{NNN} = -\frac{1}{4}$, and $t_{d,d}^{NNN} = -\frac{1}{4}$.

The band structure in Fig. 2(g) is different but related to that in Fig. 2(c) by band folding. Specifically, the bands in Fig. 2(g), calculated from the $1 \times 1$ unit cell [solid square in Fig. 2(f)] with a “1×1” first Brillouin zone [solid square of inset in Fig. 2(g)], can be folded into the bands of a $\sqrt{2} \times \sqrt{2}$ cell [dashed rhombus in Fig. 2(f)] with a “$\frac{1}{\sqrt{2}} \times \frac{1}{\sqrt{2}}$” FBZ [dashed rhombus of inset in Fig. 2(g)], with the $M$, middle point of $\Gamma$-$M$, and $X$ of the former folded into the $\Gamma$, $X$, and $M$ of the latter, respectively. The folding produces two sets of degenerate checkerboard bands (Fig. S2) having the identical band dispersions as in Fig. 2(c). Interestingly, this renders the FB in Fig. 2(g) to have a Chern number of $-2$ for one spin channel, as manifested by the observation of FB touched with the dispersive band at two $X$ points within the FBZ, as explained below.

At each band touching point, the Berry curvature of singular Bloch wave functions of TFB diverges, in association with the $N-1$ CLSs for a finite lattice with $N$ sites, and two topological noncontractible loop states (NLs), i.e., extended boundary states, in real space [9–12]. In LG lattices, the CLS results from destructive interference (phase cancellation) of lattice hopping induced solely by lattice symmetry, as reflected by the alternating nodal signs of wave function on an even-edged plaquette, e.g., a rhombus in a checkerboard lattice (Fig. S1). The CLS of orbital-designed FBs is more complex, as illustrated in Fig. 2(d). The Bloch state of FB in Fig. 2(c) is calculated as $\psi_k^{\text{FB}} = i\frac{1}{2}\sin \frac{k_x}{4} \sqrt{2} |x\rangle + \cos \frac{k_x}{4} \cos \frac{k_y}{4} |p\rangle$, with $k_j = k \cdot a_j$ ($a_j$, lattice vector; $j = 1, 2$), whose Fourier transformation $\psi_k^{\text{FB}} = \int_{BZ} dk e^{-i k \cdot R} \psi_k^{\text{FB}}$ produces a real-space CLS on a square plaquette centered at $R$ [Fig. 2(d)]. It consists of nodal wave functions of $|\frac{\pi}{4}|$, $-|\frac{\pi}{4}|$, $|\frac{\pi}{4}| + |\frac{\pi}{4}|$, and $|\frac{\pi}{2}| + |\frac{\pi}{2}|$ at four vertices of the plaquette, respectively. Electron hoppings outward from the CLS to its surrounding lattice sites are completely forbidden, which can be shown by analyzing hoppings based on Eq. (2). For example, the hoppings to the site above site 1 come from site 1 and 2 in Fig. 2(d), which are, respectively, $\frac{i}{4} \left[ t_{s,s}^{NN} + t_{p,p}^{NNN} - \frac{1}{2} t_{s,s}^{NNN} \right] = -\frac{i}{8\sqrt{2}}$, and $-\frac{1}{2} t_{p,p}^{NNN} + \frac{i}{4} t_{p,p}^{NNN} = i\frac{1}{2\sqrt{2}}$, and cancel out with each other. The same is true for all other hoppings. Likewise, the FB in $sd$-orbital...
model supports a CLS on a square plaquette with linearly combined $s$- and $d$-orbitals on each lattice site contributing to the bands near Fermi level. In the proposed lattice-orbital transformation, the number of sites in the LG lattice equals the number of orbitals in the non-LG lattice per unit- or supercell. So, to design FB with more than two orbitals in a square lattice, one may find another LG lattice, instead of checkerboard lattice, with more sites per cell. One such choice is the diamond-octagon (diatomic-checkerboard) lattice, whose lattice wave functions at $\Gamma$ indeed display the $s$, $p_x$, and $p_y$ orbital symmetry, respectively, as shown in Fig. 3(a), following our design principle.

The resulting band structure consists of two FBs touched with one dispersive band in between [Fig. 3(c)]. They correspond exactly to the top three bands of diamond-octagon lattice (Fig. S3), whose lattice wave functions at $\Gamma$ indeed display the $s$, $p_x$, and $p_y$ orbital symmetry, respectively, as shown in Fig. 3(a), following our design principle.

The CLS is analyzed to understand how the kinetic energy of the dual TFBs is quenched. As illustrated in Fig. 3(d), the lower FB supports a CLS on a square plaquette with bonding nodal wave functions $|s⟩ + \frac{1}{\sqrt{2}}(|p_x⟩ - |p_y⟩)$, $|s⟩ - \frac{1}{\sqrt{2}}(|p_x⟩ + |p_y⟩)$, $|s⟩ + \frac{1}{\sqrt{2}}(-|p_x⟩ + |p_y⟩)$, and $|s⟩ + \frac{1}{\sqrt{2}}(|p_x⟩ + |p_y⟩)$ at four vertices, respectively, while the upper-FB CLS consists of four antibonding vertex states. Based on Eq. (3), both CLSs have vanishing outward hoppings. For example, the one from site 1 to the site above is $(t_{sxx} + t_{ppe}) - \frac{1}{\sqrt{2}}(t_{ppp}) = 0$ (see others and topological NLSs in Secs. II and III of SM [51], respectively). It once again confirms that the orbital symmetry underlines the destructive interference of Bloch wave functions for our theoretically designed TFBs.

In real materials, there are usually multiple atomic/molecular orbitals on each lattice site contributing to the bands near Fermi level. In the proposed lattice-orbital transformation, the number of sites in the LG lattice equals the number of orbitals in the non-LG lattice per unit- or supercell. So, to design FB with more than two orbitals in a square lattice, one may find another LG lattice, instead of checkerboard lattice, with more sites per cell. One such choice is the diamond-octagon (diatomic-checkerboard) lattice, whose lattice wave functions at $\Gamma$ indeed display the $s$, $p_x$, and $p_y$ orbital symmetry, respectively. As shown in Fig. 3(a), following our design principle.

The diamond-octagon lattice has total four sites per unit cell and hence four bands; the wave function of the fourth bottom isolated band has the $d_{z^2-\gamma^2}$ symmetry (Fig. S3). It can be shown that by changing the sign of lattice hopping integrals, the position of $s$- and $d_{z^2-\gamma^2}$ band is interchangeable. Consequently, an alternative design of the top three bands with dual FBs is to use $(t_{sxx} + t_{ppe}) - \frac{1}{\sqrt{2}}(t_{ppp}) = 0$ (see others and topological NLSs in Secs. II and III of SM [51], respectively). It once again confirms that the orbital symmetry underlines the destructive interference of Bloch wave functions for our theoretically designed TFBs.

In the present study, we focus on the LG of bipartite lattices whose FB is singularly touched with a dispersive band, and hence topologically nontrivial [9–12], while the LG of non-bipartite lattices have an isolated FB that is topologically fragile [4,8,36]. Each singular band touching point can be viewed as a Berry flux center, in analogy to Dirac/Weyl point [9,63], contributing to an integer Chern number of $\pm 1$. This can be clearly illustrated by evaluating evolution of band structure and Berry curvature $\Omega$ of FB as a function of increasing SOC strength, as shown in Fig. 4 for the case of $sp^2$ square lattice as an example. One sees that with a diminishing SOC [Fig. 4(a)] towards zero, $\Omega$ vanishes everywhere except for around the $\Gamma$ point where it diverges going to infinity on a tiny small circle. With the increasing SOC, the distribution of $\Omega$ gradually broadens around $\Gamma$ on a band of ring. In all cases, integration of $\Omega$ over the FBZ gives a Chern number of 1. Indeed, this is confirmed by adding SOC to open a gap, and calculating the FB Chern number in all the lattices considered.
The strength of onsite SOC is (a) \( \lambda = 10^{-6}t \); the inset shows a 100× magnification of the tiny circle around \( \Gamma \), (b) \( \lambda = 10^{-4}t \), (c) \( \lambda = 10^{-2}t \), and (d) \( \lambda = 5 \times 10^{-2}t \). The calculated \( \Omega \) is for upper TFB, in units of \( a^2 \) (\( a \) is lattice constant).

(Fig. S7). Each singular band touching point contributes a Chern number of +1 or −1; therefore, the proposed orbital design provides also an effective way to realize high Chern number FBs by introducing multiple band-touching points as shown in Fig. 2(g) [see also Fig. 5(f) below].

C. Trigonal lattice

Next, we demonstrate existence of TFB in a trigonal lattice, by orbital design from a kagome lattice (LG of hexagonal lattice). Kagome lattices have three \( \Gamma \)-point eigenstates of \( \frac{1}{\sqrt{3}}(|A| + |B| + |C|) \), \( \frac{1}{\sqrt{2}}(|A| - |B| + |B| - |C|) \), and \( \frac{1}{\sqrt{6}}(|A| + |B| + |C|) \) at \( E = -4t \), 2\( t \), and 2\( t \) [Fig. 5(a)], which have the same symmetry of diatomic-kagome lattice wave functions (Figs. S10 and S11), noticing that the generic orbital-design principle.

Furthermore, other orbital bases for TFBs in hexagonal lattice can be designed. As shown in Figs. 6(a) and 6(b), using \( (d_{xy}, d_{x^2−y^2}) \) orbitals on each site [Fig. 5(b)] and the following NN hopping integrals:

\[
\begin{align*}
t_{xxx} &= -\frac{2}{3}, & t_{ppx} &= 1, & t_{ppx} &= -\frac{1}{3}, & t_{ps} &= \frac{\sqrt{2}}{3},
\end{align*}
\]

a FB appears to touch with two Dirac bands (Fig. S8). This is because they are unfolded from four sets of degenerate kagome bands appearing as if in a 2×2 supercell with a \( \frac{\sqrt{3}}{2} \times \frac{\sqrt{3}}{2} \) FBZ [four dashed hexagons in the inset of Fig. 5(i)], with the \( \Gamma \), \( K \), and \( M \) of the latter unfolded from the \( \Gamma \), \( K \), and \( M \) of the former, respectively. Accordingly, there are four singular band-touching points, one at \( \Gamma \) and three at \( M \) in the FBZ, with the former contributing a Chern number of +1 and the latter −3, adding to a net FB Chern number of −2 (Fig. S7). The FB CLS in a trigonal lattice is on a hexagon plaquette, with outward hopping all canceled out by orbital symmetry (Fig. S9).

D. Hexagonal lattice

Lastly, we discuss the design of FBs in a hexagonal lattice with site \( A \) and \( B \), where an already-known orbital basis is \( (p_x, p_y) \) with the hopping integral \( t_{pp} = \frac{\sqrt{3}}{2} \) [22]. The four \( \Gamma \)-point eigenstates are \(-|A| : p_x + |B| : p_x\), \(|A| : p_y - |B| : p_y\), \(|A| : p_z + |B| : p_z\), and \(|A| : p_y + |B| : p_y\), which have the same symmetry of diatomic-kagome lattice wave functions (Figs. S10 and S11), noticing that the diatomic-kagome lattice is a generalized LG (i.e., two copies of LG) of hexagonal lattice. So, it again conforms to our generic orbital-design principle.

Furthermore, other orbital bases for TFBs in hexagonal lattice can be designed. As shown in Figs. 6(a) and 6(b), using \( (d_{xy}, d_{x^2−y^2}) \) orbitals on each site, and a NN hopping integral \( t_{ddσ} = -\frac{8}{9} \), two FBs sandwiching two Dirac bands are obtained [Fig. 6(c)]. The doubly degenerate \( \Gamma \)-point eigenstates are \(-|A| : d_{xy} - |B| : d_{xy}\) and \(|A| : d_{x^2−y^2} + |B| : d_{x^2−y^2}\) (\( |A| : d_{xy} - |B| : d_{xy}\) and \(|A| : d_{x^2−y^2} - |B| : d_{x^2−y^2}\)), respectively, having the same symmetries as those of diatomic-kagome
FIG. 5. TFB in a trigonal lattice. (a) Three Γ-point wave functions of a kagome lattice, with s-(left), p_x-(middle), and p_y-orbital symmetry (right), respectively. (b) Trigonal lattice with (s, p_x, p_y) orbitals. (c) Band structure of (b) with NN hopping integrals in Eq. (4). (d)–(f) Same as (a)–(c) with (s, d_{xy}, d_{x^2−y^2}) orbitals. The bands in (f) can be viewed as folded from (c); the inset of (f) shows the FBZs before and after folding.

lattice Γ-point wave functions in Fig. 6(a). Adding another s orbital leads to yin-yang kagome bands (Fig. S12), as found previously [41].

IV. CONCLUSION

We have developed a generic orbital-design principle for FBs, including high Chern number FBs, in non-LG lattices via LG lattice wave functions, as summarized in Table I, for all possible orbital combinations. Importantly, the required hopping conditions are generally achievable in real materials, and some of the proposed designs have already been shown in real materials [41,52–55,64,65]. Also, more strict hopping conditions can be met by designing artificial lattice systems, where photonic and phonic FBs can be created in the non-LG lattices. Generally, the implementation of the design principle is more flexible with molecular than atomic orbitals. For example, the frontier MOs of a triangular graphene flake have the p_z, (p_x, p_y) and (s, p_x, p_y) symmetry, respectively, with a side length of 2, 3, and 4 benzene rings [41]. One may also generalize the design principle to three-dimensional lattices.

Note added in proof. We became aware of a recent related work discussing construction of FBs from CLS with multiple touching points [66].

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[51] See Supplemental Material at http://link.aps.org/supplemental/10.1103/PhysRevB.105.085128 for a summary of lattice construction for FBs, and the details on the transformation from line-graph wave functions to non-line-graph orbitals, the CLS of orbital-designed FBs, and momentum-space tight-binding Hamiltonians, which includes Refs. [2–10,13–15,34–50].

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