Connecting Theory with Experiment to Understand the Sintering Processes of Ag Nanoparticles

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ABSTRACT: A complementary combination of long-time atomistic molecular dynamics simulations and real-time transmission electron microscopy (TEM) images has been utilized for unraveling, at an atomic resolution, the nature of the sintering process of Ag nanoparticles (NPs) induced on the surface of an α-Ag2WO4 crystal for the first time, under the exposure of a TEM electron beam (EB). Temporal evolution of calculated and experimental results highlights the role of the lattice plane matching and the stacking faults along the disorder-to-order transitions of the oriented attachment process. This phenomenon is considered as an example of surface plasmon resonances (SPRs), in which the EB has two effects: first, itprovokes the formation of the Ag NPs that, due to the electron irradiation, become SPR electric dipoles, and, second, these Ag NPs undergo sintering processes that are controlled by dipole–dipole interactions forming larger clusters. The predictive power of the simulation model was verified experimentally, paving the way for quantitative predictions of the events involved in the Ag NP sintering process. These findings reveal the atomic-scale dynamics, which helps advance the general understanding and provides further support and reliability of the conclusions of this study.

INTRODUCTION

Transmission electron microscopy (TEM) is a well-established technique for characterization on a nano and an angstrom scale, in which high-energy electrons transmit through the specimen. The analysis of energy exchanges between the electrons and the sample adds further information regarding the chemical composition and electronic structure of the samples based on electron–solid interactions, which is of significance for both fundamental research and potential technological applications. There is much evidence of the formation and growth of Ag nanoparticles (NPs) within the area irradiated by the electron beam (EB) on different Ag-based micro/nanostructures. These syntheses, which employ the EB of the TEM, are particularly valuable because the microscopes enable one to elucidate the nanoscale structural evolution of the Ag NPs as they are being synthesized. Five years ago, our group started a research line based on the observation of the formation of Ag NPs on different Ag-containing compounds (α-Ag2WO4, Ag2MoO4, Ag2CrO4, Ag3PO4, β-AgVO3, and Ag2MoO4). These syntheses, which are induced by the irradiation of an EB from TEM in vacuum, have facilitated a series of experimental and theoretical studies to reach interesting possibilities of producing Ag NPs on these materials because the synergism between the support semiconductor and Ag NPs can enhance their stability and the corresponding performance in promising technological applications like photoluminescence and bactericide materials. In this context, the recent review by Zhang et al. highlights the progress in the synthesis of Ag NPs and the formulation of sintered Ag paste with enhanced mechanical, electrical, and thermal conductivity properties.

The sintering process governs the initial stage of the junction of the grain boundaries in which two initially isolated particles contact.
bond together to form larger particles. This is an activated process, and it plays a prominent role in controlling several properties of the resulting particle, such as the particle size and the particle size distribution. Sintering of metal NPs has been investigated in the past decade both experimentally and computationally. These processes involve at least three stages: the approach between NPs, the oriented attachment (OA) involving prealigned crystallographic orientations or the imperfect oriented attachment (IOA) when touching occurs with different surface planes and angles, and the subsequent coalescence or aggregation process to form a single NP. The understanding of nanoparticle sintering is, therefore, essential for obtaining nanoparticles or nanocrystalline materials with desired physical and chemical properties. Detailed knowledge on these stages along the sintering process and the interaction between active NPs is, thus, of considerable importance, but it still poses a significant challenge. Molecular dynamics (MD) simulation represents a powerful tool to provide detailed insights into the molecular mechanisms that drive and control the interactions between NPs. By solving the equation of motion for a large system of particles, the real behavior of materials at a specified temperature can be simulated. The trajectory and physical movement of NPs in the system can also be determined and analyzed. Recently, MD simulations have been successfully used to investigate the sintering process of different metals (Al, Cu, and Ni) and metal oxides (TiO$_2$, CuO). Surface plasmon resonance (SPR) effects appear in metal NPs, and they are associated with the frequencies of collective oscillations of the free electrons that fall in the optical range of the electromagnetic spectrum. Plasmonic metal NPs can concentrate incident optical fields in nanometer-sized volumes. This leads high local fields to not only enhance optical responses but also induce chemical transformation, driven by plasmon-induced hot carriers (optical spectroscopies). Actually, plasmons were first revealed as energy loss features in the spectra of electrons rejected from metal surfaces. Since then, EBs have become an important tool to yield information on plasmons. Light could be used as the energy source in the synthesis of Ag NPs and Au NPs. Previous studies of metal NPs have shown that, similar to the case of laser irradiation, EB irradiation produces electromagnetic wave radiation (EWR) that acts on the NPs producing SPR nanodipoles. In this process, Ag NPs are wavelength (or frequency) filters capable of absorbing EWR in specific frequencies, which excites SPR on the Ag NPs. Therefore, nearby Ag NPs in bonding states attract each other, and this is the driving effect to start the sintering process.  

### EXPERIMENTAL SECTION

The initial precursors comprising $\alpha$-Ag$_2$WO$_4$ nanorods were synthesized by chemical precipitation in dimethyl sulfoxide, in accordance with the methodology described by Szczesniewski et al. For the in situ TEM experiments, the resulting $\alpha$-Ag$_2$WO$_4$ powder was redispersed in water by sonication for 5 min and then one drop of the dispersion was applied to a 300 mesh Cu grid coated with an ultrathin carbon support. The TEM experiments were carried out using a TITAN Themis Cubed double-corrected microscope (ThermoFisher Scientific) equipped with a gun monochromator and extreme field emission gun (X-FEG), a high-brightness emitter gun operating at 300 kV. The microscope is located in the facilities of the Brazilian Nanotechnology National Laboratory (LNNano) in the National Center of Research in Energy and Materials (CNPEM). For the growth of mother Ag particles, the entire $\alpha$-Ag$_2$WO$_4$ nanorod was illuminated for a few minutes by the electron beam generated during TEM operation. This step causes the extrusion and reduction of Ag species from the crystalline lattice of the precursor oxide and the subsequent crystallization of Ag particles at the surface of the $\alpha$-Ag$_2$WO$_4$ nanorods. A gradually converging electron beam was used to illuminate the mother Ag particles necessary to promote an explosive reaction where many Ag NPs are fragmented. These NPs are then caught by the carbon film of the TEM grid. A continuous irradiation of these segregated NPs for a long exposure time (0–253 s) was used to promote the dynamic bonding events. The high-resolution transmission electron microscopy (HRTEM) experiments were carried out using a spot size 4 and a dose rate of approximately $6 \times 10^3$ A/m$^2$ ($\approx 3.6 \times 10^6$ e/nm$^2$).

### THEORY

Molecular dynamics simulations were performed using the LAMMPS package and the embedded atom model with the parameterization for Ag from Sheng et al., which was recently used to study Ag NPs under similar conditions. Wulf-shaped NPs were modeled after the experimental measurements, thus resulting in NP models of similar size and exposed facets, in particular, (111) and (220) ones. Figure S1 in the Supporting Information (SI) material shows two views of the models. Details of the preparation are described in the SI. After relaxing the models, a thermal study of their behavior prior to sintering was performed and is presented in the SI. The sintering process was studied by approaching the two NPs in a set of simulations using canonical ensemble molecular dynamics with Nose–Hoover thermostat chains at 700 K. Visualizations were created using the visual molecular dynamics (VMD) and Orto packages and the common neighbor analysis (CNA) of Honeycutt et al. that discriminates the local structure of each atom, with the color coding where green atoms are in a face-centered-cubic (fcc) structure, red atoms are in a hexagonal close-packed (hcp) structure, and gray atoms are in a disordered structure. The results are shown in Figure 3.

### RESULTS AND DISCUSSION

**Kinetics of NP Sintering.** The kinetics of NP sintering is governed by processes on two quite different length scales. The longer length scale involves the diffusive transport of heat, while the intrinsic growth rate, in contrast, is governed by the nanoscopic dynamics associated with the cooperative reorganization at the NP interface. In this study, direct atomic resolution was conducted to explore the fundamental understanding of the sintering mechanism of Ag NPs formed on the $\alpha$-Ag$_2$WO$_4$ material under EB irradiation. The novelty of this work is related to the fact that essential information was collected at an atomic level, from real in situ TEM images and MD simulations, to study the interactions between the Ag NPs that, under the EWR, developed into SPR resonances (nanoelectrical dipoles), and then the nature of the sintering mechanism was disclosed. These are necessary for studying structural evolution, changing growth regimes, and providing insights into the sintering process. The study started with the exploration of the trajectories of Ag NPs by observing the coalescence events of the different Ag NPs. Most of the time,
Ag NPs keep moving randomly, but sometimes, they are found along the viewing zone axis, and, despite occasional off-axis fluctuations, it is possible to identify their orientations by lattice fringes. Understanding the analogies between the experimental and simulated scenarios implies new strategies for rational comprehension of the sintering process. Based on this knowledge, the following four questions will be answered. How is the formation of Ag NPs, in the range from 4 to 8 nm, induced by EB irradiation on \( \alpha \)-Ag\(_2\)WO\(_4\) crystals in vacuum? What physical parameters determine the rate of Ag NP aggregation? What is the role of the stacking faults along the \( \alpha \)-Ag\(_2\)WO\(_4\) crystal? What is the physical origin responsible for the sintering process of \( \alpha \)-Ag\(_2\)WO\(_4\) provoked by the interaction between matter and EBs?

**Real-Time Sintering of Ag NPs.** A schematic representation of the studied phenomena by joint use of experimental and theoretical methods is depicted in Figure 1. The EB of TEM provokes the segregation of the metal Ag\(^0\) atoms from the bulk to the surface of the \( \alpha \)-Ag\(_2\)WO\(_4\) crystal, with concomitant formation and growth of Ag NPs, called “mother Ag\(^0\) particles,” on top of the surface. As reported in previous studies with \( \alpha \)-Ag\(_2\)WO\(_4\), as soon as the EB hits the semiconductor sample, the Ag nucleation process begins (step 1).\(^{12,13,22,23}\) This nucleation process is the result of the action of the electrons from the beam, which are transferred to the material from one cluster to another through the lattice network. The Ag nucleation is a consequence of the reduction of the Ag\(^+\) in different extents of the [Ag\(_2\)O\(_x\)]\(^{2–}\) (\( x = 2 ; 4 ; 6 ; 7 \)) clusters into Ag\(^0\), which promotes a transformation from an ordered structure of \( \alpha \)-Ag\(_2\)WO\(_4\) to a disordered one. The growth of Ag NPs on \( \alpha \)-Ag\(_2\)WO\(_4\) is a consequence of structural order/disorder effects generated on the semiconductor material when the EB passes through it.\(^{14,26}\) This phenomenon is similar to the grain boundary migration-dominated pathway proposed by Li et al. to explain the crystal nucleation and growth initiated from an amorphous state of Bi metal under an EB inside an aberration-corrected TEM.\(^7\)\(^8\) Once Ag NP nucleation and growth happen in step 1, the incoming electrons promote new phenomena on the studied system.\(^1\) Thus, not only can the formation of Ag NPs on the surface of \( \alpha \)-Ag\(_2\)WO\(_4\) at initial instants be observed, but also the continued exposure to the EB can lead to a fragmentation process of the as-formed Ag NPs and subsequent appearance of free Ag NPs in vacuum (step 2).\(^1\)\(^1\) This phenomenon is a consequence of the increasing exposure of the large initial Ag NPs to the EB, which makes them unstable, experiencing several structural changes, until the occurrence of an explosive reaction, with the ejection outward of a large number of Ag NPs.\(^1\) This is a well-known process that results from the transfer of thermal energy and electric charge\(^7\) and takes place in metallic samples, which can melt and collapse into units as the irradiating current density increases. The newly formed Ag NPs can be expelled and “fly” in the carbon grid for some nanometers of distance, as observed. These Ag NPs become electric dipoles, and they are the building blocks to start the coalescence process (step 3), as shown in Figure 1. The sizes of the Ag NPs are in the range from 4 to 8 nm, and their aggregation or coalescence process occurs through motion of the Ag NPs driven by the electron forces, ending in a mass transfer process that produces larger and well-defined Ag NPs, with more stable configurations.\(^1\)\(^4,9\) A video of the coalescence step is available in the SI section (Video S1).

The experiments show the temporal evolution of the free-flying Ag NPs and their interactions, forming other larger Ag NPs. These processes can be understood from Mie theory, as an example of SPR effects, in which neutral dipole interactions are used to explain them. The interaction among the Ag NPs comprises three different types: orientation and induction,
which can be explained by the classical theory, and dispersion, which can only be rationalized by the quantum mechanics. The orientation interaction results from the correlation between the rotational motions of the permanent moments of the Ag NPs, while the induction interaction is caused by the polarization of the Ag NP by the permanent moment of another Ag NP. The dispersion interaction arises from the correlation of the motions of electrons in neighboring clusters.81,82 Because the Ag NPs under the EB become SPR nanodipoles with Ag NPs of sizes ranging from 4 to 8 nm, these nearby Ag NPs interact via dipole–dipole interactions, and this leads to the formation of dimers that come in contact to start a coalescence process, forming new Ag NPs, as was observed in the present experiments. Therefore, it is believed that these events are clear evidence of the mechanism described here. The Ag NPs interact with different surface planes; therefore, the coalescence process discussed in this work does not involve crystalline-oriented attachment, for reasons that will be discussed further. The mechanism underlying this unusual in situ Ag NP formation requires careful examination on a physical basis. To this end, and as a valuable complement to such experimental observations, a comprehensive study was carried out using MD simulation methods, and it provides insights into the structural changes and energetic properties of the Ag NPs, to unveil the details of the coalescence process to complement the experimental findings.

Figure 2 shows the experimental results obtained for two well-defined Wulff-shaped Ag NPs, NP1 and NP2, with different exposed facets. In each surface, distinct planes can be indexed, mainly related to (220) and (111), in accordance with the JCPDS database (PDF-04-0783) for face-centered-cubic (fcc) metallic Ag8 structures. Initially, at time 0 s (Figure 2a, left), just after the fragmentation process of the mother Ag NP, the newly formed Ag NPs are separated by 0.4 nm at the closest distance of the point between the (220) surface of NP1 and the corner formed by (111) facets of NP2. The angle between these crystallographic planes was measured to be 40° by identifying their Fourier reflections (inset). Hence, the distinct planes that are exposed at these surfaces, as well as their misalignment, lead to an initially disorder-driven process.

The dimensions of these Ag NPs are quite similar: 3.5 nm × 4.0 nm (NP1) and 4.0 nm × 5.0 nm (NP2). Figure 2a (center) illustrates the Ag NPs used in the simulations; it shows their contact angles, where a good agreement between the experiments and theoretical simulations is observed. The exposure of the Ag NPs to the EB leads to the coalescence process, and longer exposure times also give energy to the formation and growth of the neck for the sintered Ag NPs. Figure 2a (right) shows the evolution of the Ag NPs and neck sizes as a function of exposure time.

To clarify these events at the atomic level, the effect on the Ag NPs was monitored at distinct exposure times by time-resolved electron microscopy from 0 to 253 s, and Figure 2b shows the obtained results. In these images, one can find different phenomena with high spatial resolution occurring during the welding processes: rotation of Ag NPs to minimize the crystallographic mismatch, growth of the neck between the two Ag NPs, atomic diffusion mainly from facets with exposed (220) planes, ordered/disordered transition of these facets, and formation/healing of the disordered interface. To understand these events, we emphasize the principal crystallographic planes that reorganize during the coalescence process both in real images and by fast Fourier transform (FFT), as well as the observed defects. The FFT of the initial NPs (0 s)
and the posteriorly formed dimer consists of the typical ones from faceted NPs. Hence, a partial halo (yellow circles in the insets) with specific reflections is observed, and it departs mainly from the (111) plane at distinct facets as well as from the (200) planes (not shown). Other reflections outside this region (red circles in the insets) are observed mainly from (220) planes. The reflections in FFT match well with the crystallographic planes demonstrated in the real images. Considering all of these observations, it can be assumed that the coalescence process of the Ag NPs in the present work is accompanied by neck growth formed between the Ag NPs because of diffusion of surface atoms and not by a simple rigid-body collision.

Initially, at time 0 s, an important mismatch is observed between the (220) and (111) planes from both Ag NPs, which greatly decreases after 76 s, probably as a result of a rotation of Ag NPs. However, the lattice alignment between the Ag NPs remains imperfect, and line dislocations (brown region labeled as defects) are created as an effect of crystal–crystal incoherent bonding, leading to a defect-mediated coalescence of the Ag NPs. Hence, the Ag NPs reorient to a limited extent, and the resulting configuration allows the formation of defects, resulting in misoriented attachment. These defects formed during bonding persist for many seconds until 167 s, where a sudden ordering is observed. During this healing process of the interface, the neck growth and diffusion of mobile Ag atoms (labeled as missing atoms) mainly depart from facets with (220) exposed planes. At the final stage of the coalescence process from 167 to 253 s, three main effects occur: (i) continuous growth of the neck and decrease of facets shared; (ii) increase of crystalline coherency between (111) exposed planes of both Ag NPs, leading to a close-to-oriented bonding; and (iii) disordering of facets, probably because of a high mobility of the Ag atoms in these facets (labeled as disordered surfaces). Moreover, the two FFT spots corresponding to these facets alternate from defined to various diffuse spots, depending on irradiation time between 167 and 253 s. These findings could be associated with a melted state of these less stable surfaces as an effect of the interaction with the high-energy EB. Hence, these atoms could be the major contributors for increased ordering, neck size, and crystalline coherency of the final sintered Ag NP.

**Connection to Theory: Coalescence Simulations.** The aim here is to understand, at a fundamental level, the nanoscopic processes underlying the experimental data discussed above. The sintering process of two Ag NPs involves...
many competing effects, such as surface diffusion caused by preferential melting of high-energy surface atoms and internal competition between the formed disordered/ordered interfaces, preventing healing and, thus, perfect welding. The present MD simulations provide a careful study of how two Ag NPs are capable of forming a larger one, similar to those observed in the experiments (see Figures S1–S5 in the SI for details).

The main idea that explains the interaction between Ag NPs has been discussed previously and can be explained with the help of Mie theory. As shown by Koh et al., metal Ag NPs in the presence of the EB become SPR objects. This occurs because Ag NPs are wavelength (or frequency) filters for this EWR and the resonance results in SPR-neutral objects. These SPRs behave as nanoelectric dipoles. Therefore, nearby NPs in the SPR state can form bonding and antibonding states because they behave as nanodipoles. Nearby NPs in bonding states attract each other, and this is the driving effect to promote sintering.

Detailed MD simulations have been carried out to understand the coalescence process. Previous research has highlighted the key role of the temperature in the different scenarios. When two Ag NPs are approaching and the touching interface is defect free and displays similar facets, an oriented attachment process takes place, whereas when there is a plane mismatch at the interface between both Ag NPs, defects form along the IOA.

The present work stresses the importance of long-time simulations to capture different events that occur at different times during the coalescence and sintering. Snapshots of the simulation, with a total time interval of $\Delta t = 290$ ns, are shown in Figure 3. Figure 3a shows snapshots of the coalescence process with two views. For each time label, two images are shown: on top, the atomic structure and at the bottom, the common neighbor analysis (CNA) cut that gives information about the internal structure of the Ag NP (green atoms are in an fcc structure, red atoms are in an hcp structure, and gray atoms are in a disordered structure). To help understand the overall evolution, some important distances are measured for the structures in Figure 3a and are presented in Figure 3b, namely, the length of the formed Ag NP (black line), the interface neck (green line), and the sizes of the two particles’ radii (blue line for NP1 and red line for NP2). The evolution shows a clear decrease in the Ag NP size and a clear increase of the neck length, as it should be for the coalescence process; these results show reasonably well the same trends of the evolution seen in the experimental images. Figure 3a shows snapshots starting with the contact of the Ag NPs ($t = 00$ ns), as in the experiment, with a sharp edge formed by (111) surfaces of NP2 touching the (220) facet of NP1 at 40° (SI, Figure S5). Rotation of NP1 occurs in the early stages of attachment and evolves as the simulation progresses; this can be seen at $t = 40$ ns (SI, Figures S6 and S7). Therefore, orientation occurs to accommodate the contacting planes, but the touching surfaces are very different; thus, this process is a disorder-driven attachment event, for the short time span of $\Delta t = 5$ ns. Despite the rotation, the new Ag NP ends up with a disordered interface. While the rotation is understood from the Ag NP outer structure, as in the experiments, the CNA cut images help understand the type of interface produced (see the SI for $\Delta t = 5$ ns, Figure S8): it is a disordered interface, and some regions with defects are also formed, initially in NP2. The experiments show a rotation upon contact, and it is also observed in the early stages of the MD simulations. The merging occurs accompanied by the formation of a disordered interface, which lowers the surface energy and is maintained during the initial moments of the simulation (see Figure S8). It is important to note that this process is similar to the IOA mechanism observed by Penn and Banfield and Li et al., in which NPs did not fully correct misalignment during attachment and the resulting interface consisted of grain boundary dislocations. It is well known that if the interface starts ordered, the total energy of the new structure is further lowered because defects are more energetic. At $t = 60$ ns, an unexpected ordering event occurred. The consequence of this event was a sudden lowering of the energy, as shown in Figure 3c, typical of phase transitions. In fact, a disorder-to-order transition was obtained. This striking event is shown in Figure 3a for $t = 60$ ns, and a detailed evolution of this effect in time steps of $\Delta t = 10$ ns is shown in Figure S9. Insets in the energy plot in Figure 3c and the detailed evolution in time steps of $\Delta t = 0.1$ ns in Figure 3d show details of the phase transition, in which the amorphous interface is pushed toward NP1. This conjunction of events, initially disorder-driven, forming a disordered interface that later evolves to an ordered interface because of the disorder-to-order transition, can be viewed as a two-stage ordering event. This effect could only be observed because of the extended simulation time considered in this study. This is important because, in the experiments, the time intervals between images are longer and this concerted behavior could be missed, with the ordered interface that resulted being considered as simple OA, which, due to the initial conditions, was not expected. Another effect of the ordering transition is that the occurrence of the new order enables the hcp stacking fault to evolve and permeate the whole extension of the Ag NP, producing, at the surface ends, disordered and mobile regions that can provide mobile atoms for surface diffusion as well as prevent the better faceting for which Wulff structures search (SI, Figure S9).

## CONCLUSIONS

Exposure of Ag-containing materials to the EB unveiled new and interesting phenomena. Understanding the dynamics of NP formation and growth mechanisms is of great interest because the mode of assembling can influence the type of periodic lattice that is formed and, hence, the properties of the resulting nanocrystals. Watching the movements and dynamics of NPs and the subsequent assembling processes at high vacuum under the EB of a TEM provides helpful new insights. A complementary combination of atomistic MD simulations and in situ TEM images was utilized to increase the limited understanding of the sintering of Ag NPs, in the range from 4 to 8 nm, provoked by the EB irradiation on $\alpha$-Ag$_2$WO$_4$ crystals in vacuum.

The conclusions of the present work are as follows. (i) The EB used to image the sample carries an electromagnetic field that produces SPR in the Ag NPs, forming neutral nanoelectric dipoles that are responsible for the corresponding coalescence processes. Then, the study provided a unique venue for the observation of the fundamental properties of SPR in confined electromagnetic fields, explained here as a dimer interaction between Ag NPs. (ii) MD simulations revealed that the coalescence process of the Ag NPs starts with a disorder-driven pathway or IOA mechanism followed by a structural disorder-to-order transition, via an OA mechanism. This also showed how healing and/or evolution of stacking faults contributes to
the formation of the Ag NP. (iii) The results presented shed light on the various factors that dictate SPR-mediated coalescence processes in NPs and increase the understanding of plasmonic processes that can be triggered and/or controlled by the EBs. (iv) The mechanisms discussed herein make possible the synthesis of Ag NPs and, possibly, other structures in which the NPs are the building blocks that interact with the EB of the TEM. (v) The general methodology and insights gained from this in-depth study strengthen the understanding of sintering control for EB treatment because structural and energetic information is encoded in the evolution of Ag NPs.

**ASSOCIATED CONTENT**

* Supporting Information The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.9b02107.

MD simulations, production of simulated NPs, models for the Ag NPs at 500 K, temperature study of NP1 and NP2; sintering of simulated Ag NPs, additional analysis of simulated Ag NPs including nanoparticles NP1 and NP2 in the experiment and simulated Ag NPs, short-time evolution of the attachment process, details of rotation of NP2, CNA slice cut evolution, and structure and displacement of atoms during sintering (PDF)

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