Enzymatic synthesis and in vitro evaluation of folate-functionalized liposomes

Abstract: In this study, folate–poly(ethylene glycol)–cholesterol conjugates (FA–PEG–Chol) were enzymatically synthesized in one step and incorporated into liposomes to prepare folate (FA)-functionalized liposomes for targeted drug delivery. The FA-functionalized liposomes loaded with betulinic acid (BA) (FA-L-BA) were prepared by thin lipid film method. The FA-L-BA was characterized by their morphology, particle size, zeta potential, encapsulation efficiency (EE), stability, cell cytotoxicity and cellular uptake. The average size of FA-L-BA was 222±8 nm. The spherical particles exhibited a negative electrical charge of −20.12±1.45 mV and high EE of 91.61±1.16%. The liposomes were taken up selectively by HepG2 cells. FA-L-BA showed enhanced cytotoxicity (50% inhibitory concentration [IC$_{50}$] =63.07±2.22 μg/mL) compared to nontargeted control normal liposomes loaded with BA (L-BA; IC$_{50}$ =93.14±2.19 μg/mL) in HepG2 cells in vitro. In addition, FA-functionalized liposomes loaded with Ir-I (FA-L-Ir-I) showed significantly higher cellular uptake in HepG2 cells compared to nontargeted control normal liposomes loaded with Ir-I (L-Ir-I). This novel approach for the liposomes surface modified with FA by a one-step enzymatic amidation was expected to provide potential application as a drug carrier for active targeted delivery to tumor cells.

Keywords: enzymatic synthesis, liposomes, folate, surface modification, betulinic acid

Introduction

It is well known that folate (FA) as a high-affinity ligand is recognized by FA receptor (FR; $K_d$ =10$^{-10}$ M), which is overexpressed at the surface of many types of cancer cells.$^1$ The receptor-mediated uptake of FA has been proposed as a potentially useful target in cancer treatment and as a route to promote the entry of attached macromolecules or liposomes into cells.$^2$ Synthetic polymers conjugated to FA as a signal mediator for such biological recognition have been developed in many drug-targeting carrier studies.$^3$ Guo et al$^4$ reported a lipophilic conjugate of FA–poly(ethylene glycol)$_{3350}$–cholesterol, (FA–PEG–Chol), which was synthesized and evaluated for receptor-mediated targeting of liposomes to tumor cells. However, these chemical modifications require a high reaction temperature, high pressure, and complicated and controlled multiple-step reactions and are difficult to purify. On the other hand, enzymatic synthesis is mild and a small amount of by-products are produced due to the substrate specificity of the enzyme. Furthermore, lipase possesses enhanced stability, easy separation from the reaction mixture and reusability.$^5$ Previous reports have demonstrated the enzymatic synthesis of liposomal ligand,$^6$–$^11$ which has various advantages, such as high initial rate, high substrate conversion, high regioselectivity, environmental protection and a reduction in energy consumption. Biocatalytic processes have become a useful and green alternative in stereoselective synthesis due to their multiple advantages.$^{12}$–$^{14}$
In the current study, we reported the synthesis of a lipophilic FA derivative, FA–PEG–Chol, which was based on amide linkages. FA conjugation was synthesized by a nonaqueous enzymatic reaction in one step. The FA group was located at the outer end of PEG, away from the bilayer. We chose a PEG length (PEG, $M_\text{r} = 3400$; designated as PEG$_{3400}$) for the FA–PEG–Chol conjugates to reduce steric interference with receptor binding. Compared to what previously reported, the procedure of enzymatic synthesis was much simpler. The novel enzymatic reaction systems could be used for highly efficient and regioselective preparation of amidadions.

Betulonic acid (BA), a lupane-type pentacyclic triterpenoid saponin from tree bark, could induce the apoptosis of tumor cells without toxicity to normal cells in vitro and in vivo. BA has potent anticancer activity on many kinds of tumor cells, including colon cancer, skin cancer, prostate cancer, lung cancer, liver cancer and breast cancer cell lines. However, because of its weak hydrophilicity (0.02 μg/mL) and high lipophilicity, BA has been difficult to study the efficacy in vivo and a pharmaceutical formulation has not yet been available. Therefore, the encapsulation of BA into liposomes would be an appropriate method to avoid these drawbacks. In this study, BA was encapsulated in FA-functionalized liposomes with egg phosphatidylcholine (EPC), Chol and FA–PEG–Chol. Characterization of the liposomes, including transmission electron microscopy (TEM) morphology, size, zeta potential, entrapment efficiency, and stability, was carried out. In vitro cytotoxicity and cellular uptake on cancer HepG2 and A549 cells were evaluated.

Materials and methods

Materials

BA was purchased from Nanjing Zelang Medical Technology Co., Ltd. (98%; Nanjing, China). EPC and distearoylphosphatidylethanolamine (DSPE)–PEG$_{2000}$ were purchased from Lipoid Co. (Ludwigshafen, Germany). Chol was purchased from Shanghai Advanced Vehicle Technology Ltd., Co. (Shanghai, China). Sephadex G-50 was purchased from Pharmacia Biotech (Uppsala, Sweden). Novozym 435 (500 U) was added into the abovementioned water was used throughout the experiments.

1H nuclear magnetic resonance (NMR) spectra were performed on a 500 MHz proton NMR spectrometer (Bruker Corporation, Billerica, MA, USA). Fourier transform infrared (FTIR) spectra were carried out on a Nicolet 5DX. Electrospray ionization-mass spectrometry (ESI-MS) was carried out on a Waters Xevo TQD LC-MS. The size and zeta potential of the particles were determined using a Zeta Sizer 3000 (Malvern Instruments, Malvern, UK). The morphology of the particles was determined using a JEM-1400 TEM from Hitachi Ltd. (Tokyo, Japan).

Methods

Synthesis of FA–PEG–Chol

The mixtures of FA (0.0112 g, 50 μmol), NH$_2$–PEG$_{3400}$–NH$_2$ (0.085 g, 25 μmol) and cholesteryl chloroformate (0.0110 g, 50 μmol) were dissolved in DMSO (10 mL), and the mixtures were oscillated (250 rpm) at 40°C for 10 min. Then, Novozym 435 (500 U) was added into the abovementioned solution, and the mixtures were oscillated (250 rpm) at 40°C for 24 h. After oscillation, the samples were filtered to remove enzyme. The mixtures were dialyzed against deionized water using a spectrum dialysis membrane with a molecular weight cutoff (MWCO) of 2,000 Da to remove unreacted FA and cholesteryl chloroformate and low molecular weight by-products. The product was then purified by size exclusion chromatography using Sephadex LH-20 that was swollen in and eluted with CHCl$_3$–MeOH (2:1). The product, FA–PEG–Chol, was then dried by lyophilization, which yielded a yellow powder product. The identity of the product was confirmed by thin-layer chromatography (TLC), FTIR, MS and 1H NMR in d$_6$-DMSO.

Liposome preparation

BA liposomes were prepared using the thin lipid film method. Briefly, an appropriate amount of EPC, Chol, DSPE–PEG$_{2000}$ and BA (EPC:Chol:DSPE–PEG$_{2000}$=77:52:4.4, molar
ratio) were dissolved in a mixture of CHCl₃:MeOH (3:1) under magnetic stirring. The solvents were removed under pressure (40°C), resulting in a thin lipid film formation. This film was then hydrated with 10 mL phosphate-buffered solution (PBS; pH = 7.4), obtaining multilamellar liposomes. The liposomal suspension was then sonicated (Scientz-IID Ultrasonic Cell Disruptor; Ningbo Scientz Biotechnology Co., Ltd, Ningbo, China) at 200 W and 40 Hz for 120 s to form small unilamellar liposomes. The final injection of liposomes was filtered through polycarbonate filter with 0.45 and 0.22 μm pores (Nucleopore; Tubingen, Germany). The final unilamellar liposomal vesicles were filled into cillin bottles (1 mL each) together with a cryoprotectant of mannitol and were freeze-dried in a freeze drier (LGJ-18A; Four-Ring Science Instrument Plant Beijing Co., Ltd, Beijing, China). Normal liposomes loaded with BA (L-BA) were thus obtained.

The ratio of the EPC/Chol was the same as described earlier. FA–PEG–Chol (the same molar ratio as DSPE–PEG₂₀₀₀) was dissolved in a mixture of CHCl₃:MeOH (3:1), then added up into lipid mixtures. The solution was prepared according to the abovementioned procedure, and the FA-functionalized liposomes loaded with BA (FA-L-BA) were obtained.

Fluorescent liposomes were prepared using the above described procedures. The lipid compositions of FA-functionalized liposomes loaded with Ir-1 (FA-L-Ir-1) and nonfunctionalized liposomes loaded with Ir-1 (L-Ir-1) were the same as the liposomes containing BA.

**Morphological study by TEM**

The morphology of liposomes was studied under the TEM (JEM-1400; Hitachi Ltd.).

**Vesicle size and zeta potential analysis**

The determination of the particle size and zeta potential of the L-BA and FA-L-BA was performed by dynamic light scattering (DLS), using a Zeta Sizer 3000. The formulations were appropriately diluted with PBS (pH = 7.4) for the purpose of avoiding the multi-scattering phenomena. All the measures were determined at least three times at room temperature.

**Determination of encapsulation efficiency (EE)**

The sample determination was performed by using an HPLC system (10 AVP, Shimadzu, Kyoto, Japan). The sample separation was carried out at 30°C using a reverse phase C₁₈ column (Thermo Fisher Scientific, Waltham, MA, USA; 5 μm, 4.6×250 mm) protected with an Easy Guard Column (10×4.0 mm). The mobile phase consisted of acetonitrile and water (adjusted to pH 3 with acetic acid). The ratio of acetonitrile:H₂O (v/v) was adjusted to 80:20. The detection wavelength was 210 nm, and a flow rate of 1.0 mL/min was employed. A sample volume of 20 μL was injected. Each determination was carried out in triplicate.

The EE was calculated by the percentage of drug encapsulated into liposomes (W_total−W_free) relative to the total amount of drug (W_total) in liposome suspension.

To determine the W_total in liposome suspension, 0.2 mL BA liposome was disrupted by the addition of 10 mL methanol to form a clear solution. The concentration of drug was measured by using HPLC analysis.

The encapsulated fraction and nonencapsulated fraction were separated using Sephadex G-50 column filtration. A volume of 0.2 mL liposome suspension was separated on the column (1.3×25 cm) and eluted with water at a flow rate of 1.0 mL/min. The eluting solution was collected in 20 test tubes that were changed every 2 min. Each tube was diluted to an appropriate concentration; then, all samples and standards were measured at 210 nm. The elution curve was then generated based on the drug concentration and individual dilution factors. The resulted water fraction containing the liposomes free of nonentrapped drug was collected, and the W_free was determined. The EE was calculated according to the following equation:

\[
EE\% = \frac{W_{\text{total}} - W_{\text{free}}}{W_{\text{total}}} \times 100
\]

**Stability of the liposomes**

The physical stability was assessed by comparing the changes in mean diameters, zeta potential, and EE of the liposomes. Aliquots (5 mL) of FA-L-BA in PBS (pH 7.4) were added to glass vials and stored in refrigerator (4°C) at different periods of time.²⁴

**Cell culture**

In this study, HepG2 and A549 cells were used to evaluate the targeting effect of the FA-functionalized liposomes, as the two cell lines were reported to be of FR overexpression (positive cell model, FR[+]) and lack FR expression (negative cell model, FR[−]), respectively.²⁵ Cells were grown in Roswell Park Memorial Institute (RPMI)-1640 supplemented with 10% FBS and antibiotics (100 U/mL penicillin and 100 μg/mL streptomycin) and incubated at 37°C in a humidified atmosphere of 5% CO₂. BA was dissolved in DMSO to
make a stock solution at a concentration of 11.2–90 μg/mL and then stored at 4°C. L-BA and FA-L-BA lyophilized powders were dissolved in PBS and sonicated for 20 min became a stock solution.

In vitro cytotoxicity study

The cytotoxic activity of free drug (BA) and formulations (FA-L-BA and L-BA) was determined in HepG2 and A549 cells using an MTT assay. Briefly, cells were inoculated into 96-well microassay culture plates (8×10^3 cells/well) and grown overnight at 37°C in a 5% CO_2 incubator. Then, the cells were treated with free drug and formulations to achieve final equivalent BA concentrations ranging from 11.2 to 90 μg/mL. Control wells were prepared by the addition of culture medium (100 μL). After 48 h, MTT solution (20 μL, 5 mg/mL) was added to each well. After 4 h, 200 μL DMSO was added to solubilize the MTT formazan and the product was quantified spectrophotometrically by measuring the absorbance at 490 nm in a microplate spectrophotometer (Thermo Scientific Multiskan FC; Thermo Fisher Scientific). Each experiment was repeated at least three times to obtain the mean values, and the data were fitted the next equation to calculate the percentage of cell viability. The concentration that inhibits 50% of the cellular growth (IC_{50}) was calculated from the cell viability data as the drug concentration in which the cell growth was inhibited by 50%.

Cell viability (%) = \frac{\text{Average}_{\text{test}}}{\text{Average}_{\text{control}}} \times 100\%

Cellular uptake

To evaluate the FA-functionalized liposomes that could be guided to tumor cell via FR-mediated delivery, we used Ir-1 as the fluorescence probe and loaded it in the liposomes. Briefly, A549 and HepG2 cells were placed in 12-well microassay culture plates (15×10^4 cells/well) and grown at 37°C in a 5% CO_2 incubator until 60% confluence. Then, the cells were treated with L-Ir-1 and FA-L-Ir-1 (equivalent Ir-1 concentration of 6.26 μmol/mL) for 1 h. In another group, cells were preincubated with free FA (1 mM) for 2 h to block the binding of FA to target the receptors on tumor cells before their co-incubation with FA-L-Ir-1. Then, the plates were incubated in a 5% CO_2 incubator at 37°C for 1 h. Upon completion of the incubation, the wells were washed two times with PBS and the cells were visualized by fluorescent microscopy (PerkinElmer Inc., Waltham, MA, USA).

Statistical analysis

All the experiments were performed in triplicate, and the results were given as mean ± standard deviation (SD). One-way ANOVA was used to analyze the differences between two treatment groups. Differences were considered to be significant at P<0.05 and extremely significant at P<0.01.

Results and discussion

Synthesis and characterization of FA–PEG–Chol

FA–PEG–Chol conjugates were synthesized by the reaction of FA, NH\_2–PEG\_3400–NH\_2 and cholesteryl chlorofor- mate in the presence of Novozym 435 after oscillation at 40°C for 24 h. The synthetic route for FA–PEG–Chol is shown in Figure 1. FA–PEG–Chol was characterized by ES-MS, FTIR and ^1H NMR as shown in Figures 2–4; TLC: R_f = 0.60 (CH\_2Cl\_2:methanol = 4:1). The peak of the m/z was 4,175 Da, which was consistent with the theoretical target value (4,175 Da); IR v/cm\^-1: 3,341 (–NH–), 2,885 (–CH–), 1,963 (–CH–), 1,716 (C=O), 1,607 (N–H). ^1H NMR (500 MHz, DMSO-\_d_6) analysis showed principal

Figure 1

The synthesis of FA–PEG–Chol.

Abbreviation: FA–PEG–Chol, folate–poly(ethylene glycol)\_3400–cholesterol.
peaks (in ppm) related to the FA moiety (8.58 [d], 7.19 [s], 7.03 [s], 6.64 [d], 4.47 [d], 4.03 [s]), the PEG moiety (3.32–3.65 [m]), and the Chol moiety (5.29 [d], 4.59 [d], 2.28–0.65 [m]).

In this study, we have developed a practical and efficient methodology for the construction of FA–PEG–Chol by a one-step synthetic procedure. In the structure of FA–PEG–Chol, the Chol group could insert the lipid layer and the FA group is located at the outer end of PEG, away from the bilayer. The schematic structure of FA-functionalized liposomes is shown in Figure 5. With the Novozyme 435 as efficient biocatalyst, the amidation of FA, PEG-derivatized and cholesteryl chloroformate proceeded smoothly to give the targeting molecule (FA-PEG-Chol) in high yields (up to 57% yield).

Characterization of liposomes
The morphology of the liposomes was observed in a TEM image as shown in Figure 6. It was evident that the liposomes were well dispersed with a regular spherical shape. No significant changes were observed in any of the characteristics following the addition of FA to the liposomes. FA-L-BA and L-BA presented similar size distributions with a mean diameter of around 220 nm. As shown in Figure 7 from the DLS, the average particle size of the prepared FA-L-BA was 222±8 nm and the polydispersity index (PI) was 0.18±0.01. The zeta potentials of FA-L-BA and L-BA were −20.12±1.45 mV and −21.67±1.73 mV, respectively. The negative zeta potentials may be due to the presence of the negatively charged lipid DSPE–PEG2000 in the formulation. It appeared that FA–PEG–Chol would not interfere with BA entrapment.

Determination of EE
The retention time of BA in HPLC was approximately 16.7±0.2 min, with Relative Standard Deviations (RSDs) for both interday and intraday values of <1.5% at all concentrations. The total recovery for this method was 99.01%±1.19% (mean ± SD), and the calibration curve was linear for the entire calibration range of 49.8–149.4 μg/mL (r=0.9998).

The liposome elution curve is shown in Figure 8. The free fraction and encapsulated fraction were completely separated. The EE was the proportion of encapsulated fraction in total preparation (encapsulated fraction + free fraction). The EE of FA-L-BA and L-BA was 91.61%±1.16% and 90.24%±1.03%, respectively (P>0.05). No relevant differences were observed in terms of encapsulation parameters between the two BA liposomes. This fact was according to previous reports,8,27 which explored the receptor-targeted delivery system, suggesting that whether the ligand was modified on the surface of liposomes, the EE was not changed.

Storage stability
The stability of FA-L-BA was investigated by measuring the particle size, zeta potential and EE at predetermined time intervals as described previously. As shown in Table 1, after 3 months, no significant increase in BA leakage was observed when liposomes were stored at 4°C. The particle size and zeta potential had smaller changes during the 3-month storing period, compared to that of the freshly made.

Cytotoxic activity evaluation in vitro
In vitro cytotoxic activity was determined by MTT assay. As shown in Figure 9, FA-L-BA inhibited cell survival more
forcefully than the same concentration of L-BA in HepG2 cells, while the cell survival did not have obvious difference in A549 cells. FR-targeted liposomes showed enhanced cytotoxicity against FR (+) but not FR (−) cancer cells. As shown in Table 2, the IC\textsubscript{50} values of the FA-L-BA and L-BA were 63.07±2.22 μg/mL and 93.14±2.19 μg/mL in HepG2 cells (P<0.05), respectively. In another cell line, A549, the IC\textsubscript{50} values of the FA-L-BA and L-BA were 96.30±0.29 μg/mL and 96.95±1.07 μg/mL (P>0.05), respectively. This may be attributed to the effect of the FR-targeted endocytosis.

**Cellular uptake**

In this study, the fluorescent microscope analysis was employed to study the cellular uptake properties of the conventional and FR-targeted liposomes with or without FA in HepG2 and A549 cells. As shown in Figure 10A–C, the fluorescence intensity was increased in HepG2 cells incubated with FA-L-Ir-1 for 1 h in comparison to L-Ir-1 and FA-L-Ir-1 liposomes plus preincubated 1 mM free FA. However, in A549 cells, as show in figure 10D–F, there was no significant difference in the extent of cellular uptake incubated with L-Ir-1 or FA-L-Ir-1 liposomes with or without FA in the culture medium. This result can be explicated
that excess free FA could bind to the FR on the cell surface competitively, which would inhibit the effect of FA-functionalized liposomes to target cancer cells. These results are in line with the report for a FA–pluronic F127 magnetic nanoparticle clusters in an earlier study. In addition, it suggested that liposomes modified with FA–PEG–Chol might be endocytosed via the FR.

Conclusion

In this study, a new synthetic strategy has allowed the synthesis of FA–PEG–Chol via a biocatalytic route in one step. In the synthesis route, the lipase B from Candida antarctica (Novozym 435) was used to selectively amide the primary amino function introduced into FA and cholesterol chloroformate.

An enzymatic approach was preferred to a chemical one which may require more drastic conditions and lead to the generation of side products. The liposomes were prepared by thin film method. The FA-L-BA had a good distribution in size, and an EE higher than 90%. FA-L-BA showed much greater cytotoxicity compared to nontargeted control L-BA in HepG2 cells. However, for A549 cells (FR [−]) incubated in FA-L-BA, the cell viability remained nearly unchanged

Table 1 Stability of FA-L-BA stored at 4°C

| Index   | 0 month | 1 month | 2 months | 3 months |
|---------|---------|---------|----------|----------|
| Mean diameter (nm) | 222±8 | 225±8 | 225±9 | 226±9 |
| Zeta potential (mV) | −20.12±1.45 | −20.06±1.62 | −20.51±1.49 | −20.47±1.75 |
| EE (%) | 91.61±1.16 | 90.23±1.28 | 88.84±1.42 | 87.63±1.79 |

Note: Data are mean ± SD for three experiments.

Abbreviations: EE, encapsulation efficiency; FA-L-BA, folate-functionalized liposomes loaded with betulinic acid; SD, standard deviation.

Figure 7 Particle-size distribution of FA-L-BA.

Abbreviation: FA-L-BA, folate-functionalized liposomes loaded with betulinic acid.

Figure 8 Elution curve of BA liposomes.

Note: Fractions 1–3 blank, 4–7 liposomes and 8–20 free of BA; liposomes and free drug were completely separated.

Abbreviation: BA, betulinic acid.

Figure 9 Cell viability of HepG2 (A) and A549 (B) cells treated with BA, L-BA and FA-L-BA was tested by MTT assay at a series of concentrations after 48 h.

Notes: Data are mean ± SD of triplicate experiments (n=3). **Extremely significant.

Abbreviations: BA, betulinic acid; FA-L-BA, folate-functionalized liposomes loaded with BA; L-BA, normal liposomes loaded with BA; MTT, 3-(4,5-dimethylthiazol-2-5 diphenyl tetrazolium bromide; ns, not significant; SD, standard deviation.
to compare with that in L-BA at various BA concentrations. Nonaqueous enzymatic catalysis of liposomal ligand offered an easy approach for surface FA modification, and the FA-functionalized liposomes are promising drug carriers for active targeted delivery to tumor cells.

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Disclosure
The authors report no conflicts of interest in this work.

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Table 2 |IC<sub>50</sub>| values of various BA to HepG2 and A549 cells

| Treatment group | HepG2 | A549 |
|-----------------|-------|------|
| Free BA         | 96.16±3.18 | 86.81±1.96 |
| L-BA            | 93.14±2.19 | 96.95±1.07 |
| FA-L-BA         | 63.07±2.22 | 96.30±0.29 |

**Abbreviations:** BA, betulinic acid; FA-L-BA, folate-functionalized liposomes loaded with BA; IC<sub>50</sub>, 50% inhibitory concentration; L-BA, normal liposomes loaded with BA.

Figure 10 Fluorescent microscope analysis of L-Ir-1 (A, D), FA-L-Ir-1 (B, E) and FA-L-Ir-1 with 1 mM folic acid preincubated for 2 h (C, F) at Ir-1 concentration of 6.26 μmol/L internalized into HepG2 (A–C) and A549 (D–F) cells after incubation with liposomes for 1 h. Magnification ×200.
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