Nuclear response for the Skyrme effective interaction with zero-range tensor terms.

II. Sum rules and instabilities.

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The formalism of linear response theory for Skyrme forces including tensor terms presented in article [1] is generalized for the case of a Skyrme energy density functional in infinite matter. We also present analytical results for the odd-power sum rules, with particular attention to the inverse energy weighted sum rule, $M_{-1}$, as a tool to detect instabilities in Skyrme functionals.

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I. INTRODUCTION

The Energy Density Functional (EDF) method is a tool of choice to perform systematic calculations of binding energies and one-body observables in the region of the nuclear chart that ranges from medium to heavy mass atomic nuclei from drip line to drip line [2]. This effective approach relies on a limited number of universal parameters, usually fitted on experimental data (observables) [3,4] along with properties of infinite nuclear matter (pseudo-observables) extracted from experimental results or derived from realistic models [2].

In its general formulation, the EDF is the sum of different terms that depend only on products on one-body densities weighted by coupling constants, which in general can also depend on the local densities themselves. Although several functionals exist on the market [6–8], the most often used is the one derived from the effective Skyrme interaction [9]. Building all possible combinations up to quadratic terms in densities together with the conservation of some general symmetries (see ref. [9] for a detailed discussion), one obtains 28 free coupling constants [10], that can be reduced to 14 imposing that the functional is derived from an effective force. This requirement is not only adopted to simplify the optimization procedure used to determine the values of the coupling constants, but it is also mandatory in order to use methods which go beyond the mean-field to avoid self-interactions and self-pairing [11–13]. Due to this additional requirement, it turns out that the standard Skyrme EDF usually adopted in mean-field calculation is not flexible enough to improve the level of accuracy in describing set of available experimental data. For this reason, other terms are now considered as 3-body [14] and tensor forces, for example.

The determination of accurate values for the coupling constants of the Skyrme functional, even in its simplest form, is quite an elaborated process since a good predictive power is only possible from a pertinent and well-chosen set of observables or pseudo-observables. Although this is still possible for the time-even part of the functional [4], there is not yet a consensus on how to proceed in order to constrain the time-odd terms. Actually, it is not clear at all which observables could be used for this purpose, and the corresponding parameters are not explicitly constrained, but merely indirectly determined by the time-even part through simple mathematical relations. For this reason, a vast area can be explored in the parameter space and possibly ends up in some region of instabilities as discussed further in this article.

One of the first method used to fix some of these terms can be found in the work of Van Giay and Sagawa [15], where they adjust the Landau parameters on values obtained from realistic forces. Furthermore, from the theory of Landau-Migdal for quasi-particles, one can derive set of sum-rules for Landau parameters [16] that should be fulfilled, otherwise the system could pass through different phase transitions according to the different spin/isospin channels (for instance ferromagnetic instabilities in spin channels). The Landau-Migdal approach is valid for quasi-particles interacting near the Fermi surface with transfer momentum that goes to zero - a situation that corresponds to the so-called long wavelength limit. Thus it is not able to predict possible instabilities that occur at non-zero transferred momentum $q$, with the appearance of domains with typical size $\lambda \sim 2\pi/q$. The first example of such kind of instability was encountered and examined in details in an article devoted to the study of effective mass splitting by Lesinski et al. [17], in the scalar-isoscalar channel of the SkP functional. It has been shown that when performing high-accuracy Hartree-Fock calculations (HF) of doubly-magic nuclei, the system converges towards an unphysical configuration where protons are separated from neu-
trons. This observation has also been confirmed by RPA calculations in finite nuclei \cite{18}. Another recent example of instability was found by Hellemans et al. \cite{19} in the vector channel of several Skyrme functionals. They have performed cranked-HFB calculations in $^{194}$Hg and shown that for particular values of the time odd coupling constants the system can spontaneously polarize.

To improve the existing functionals, it is therefore mandatory to find a tool which is able to detect these instabilities in all scalar (vector)/isoscalar (isovector) channels. It has already been demonstrated by Lesinski et al. \cite{17} that the linear response formalism (LR) applied to the Skyrme energy functional could be used to predict the appearance of some finite-size instabilities in nuclei. However, only the central part of the Skyrme interaction was taken into account for the building of the linear response. The same LR formalism for a case of a Skyrme interaction including tensor and spin-orbit term was studied by Davesne et al. \cite{1}, hereafter denoted as article I. In the present article, we extend the formalism of article I by expressing our results in terms of coupling constant of a general Skyrme functional. The main goal is actually to investigate the role of odd-power sum rules \cite{20} and show that they can be used as a simple and very powerful tool to detect instabilities in infinite symmetric matter. In particular we give the explicit expression of the inverse sum rule $M_{-1}$ and demonstrate that a pole in the response function corresponds to a zero in the denominator of this inverse sum rules. This greatly simplifies the process of poles detection since we just have to find the roots of a real function. A more detailed analysis concerning the correspondence between finite size instabilities in finite nuclei and infinite matter will be the subject of a forthcoming article \cite{21}.

This work is organized as follows: in section II, we summarize the different components of the EDF and recall the main steps of the LR formalism in nuclear matter presented in article I \cite{1}. In particular we give explicit expressions of the RPA responses in terms of the coupling constants of the Skyrme functional. We also establish the expressions of the first odd moments of the strength function in each channel. In section III, we present the results concerning the detection of instabilities and for completeness we also show the resulting Landau parameters. Further possible developments are discussed in the conclusion.

II. LINEAR RESPONSE

A. Response functions and energy density functional

The response functions, $\chi^{S,M,I}(\omega,q)$, we are interested in are formally defined as the response of the infinite medium to external probes of the type $\hat{Q}^{(S,M,I)} = \sum_{j} e^{i[q \cdot r_{j}]} \Theta_{j}^{(S,M,I)}$ where $S$ ($M$) is the spin (its projection along the z-axis), $I$ the isospin and the operators $\Theta_{j}^{(S,M,I)}$ are given in Table I. Following the notation of García-Recio et al. \cite{22}, we have

$$\chi^{(S,M,I)}(\omega,q) = \frac{1}{V} \sum_{n} \left| \langle n | \hat{Q}^{(S,M,I)} | 0 \rangle \right|^2 \left( \frac{1}{\omega - E_{n} + i\eta} + \frac{1}{-\omega - E_{n} + i\eta} \right),$$

where $\omega, q$ are respectively the transferred energy and momentum, the sum is on all excited states $|n\rangle$ with energy $E_{n}$ and $V$ is a quantification volume (see ref. \cite{22} for a detailed discussion). Without any residual particle-hole, $ph$, interaction, the above expression reduces to the usual Lindhard function. Switching the $ph$ interaction on, the response functions can be determined with the use of the RPA formalism. Such calculations have already been published in the literature with Skyrme point-like interactions which incorporate only the central part \cite{17,22} or the spin-orbit contribution \cite{24} as well. More recently, in article I, we generalized the previous calculations by taking into account the tensor part, which reveals to be very important quantitatively. However, in article I we expressed the response functions with respect to the usual coupling constants of the Skyrme effective interaction: \{t_{i}, x_{i}\}, $i = 0, .., 3$, $W_{0}$ and $t_{c}, t_{a}$ respectively for the central, the spin-orbit and the tensor part. In the present article we write them with an energy density functional (EDF) as a starting point. This has the great advantage to be more general in the sense that all the coefficients can be now considered as independent one from each other. The parameter space is thus enlarged, allowing by instance more flexibility for the description of nuclei. In the context of forthcoming articles on instabilities, it will allow us to study precisely the role of each of these coefficients. To be specific, we consider hereafter the following EDF (see article I for notations)
When the EDF is derived from a Skyrme interaction, the coupling constants can be re-expressed, following the notation of article I, in terms of $A_t$ and $B_t$ coefficients. The coupling constant written $A_t$ depend on the central and spin-orbit part of the interaction (i.e. $C_i^t = A_i^t$, $C_i^{\Delta p} = A_i^{\Delta p}$, $C_i^t = A_i^t$ and $C_i^t = A_i^t$, $C_i^{\Delta J} = A_i^{\Delta J}$) and the ones written $B_t$ depend on the tensor part (i.e $C_i^\Delta s = B_i^\Delta s$ and $C_i^B = B_i^B$), but can also contribute to the central part of the interaction (i.e. $C_i^t = A_i^t + B_i^t$ and $C_i^{\Delta s} = A_i^{\Delta s} + B_i^{\Delta s}$). The expressions of the coupling constants as functions of the parameters of the interaction can be found in article I. The procedure used to obtain the residual interaction is then no longer based, as in article I, on the determination of the matrix elements of the particle-hole interaction from the Skyrme one, but on the direct double derivation with respect to the one-body density of the EDF. The results concerning the residual interaction coming from the tensor part are summarized in Appendix [A] while the response functions for infinite nuclear matter are explicitly written in Appendix [B].

For completeness we also give the Landau parameters. To obtain their expression, we have to take the limit $q \to 0$ and $q_{1,2} \to k_F$.

\[
V_{ph}^{\text{Landau}}(k_F, q_F) = \lim_{q \to 0, q_{1,2} \to k_F} V_{ph}(q, q_1, q_2). \tag{3}
\]

According to ref. [16, 25], the most general form of the residual interaction in the Landau limit is matrices as $(\hat{k}_{12} \cdot \sigma_a)(\hat{k}_{12} \cdot \sigma_b) = \frac{1}{2}S_{ab} + \frac{1}{2} \sigma_a \cdot \sigma_b$. It is important to notice that the $H$ coefficients are functions of $\cos \theta$ and are only related to the tensor part of the interaction [16, 23, 25]. In our case the residual interaction in the Landau limit reads

\[
V_{ph}^{\text{Landau}}(k_F, k_F) = \frac{1}{4} W_{1,1}^{(0,0)} + \frac{1}{4} W_{1,1}^{(0,1)} \hat{\tau}_a \cdot \hat{\tau}_b + \frac{1}{4} W_{1,1}^{(1,0)} \sigma_a \cdot \sigma_b + \frac{1}{4} W_{1,1}^{(1,1)} \sigma_a \cdot \sigma_b \hat{\tau}_a \cdot \hat{\tau}_b + \frac{1}{3} \left[ \frac{k_F^2 C_0^F}{k_F} + \frac{k_F^2 C_1^F}{k_F} \right] \frac{k_F^2}{k_F} S_{ab}, \tag{5}
\]

where the $W_{j,L}^{(S,F)}$ with $j = 1,2$ coefficients are given in Appendix [C]. We checked that in the case of a Skyrme force we get the same values as in ref. [16].
B. Sum rules and moments of the strength function

It should be noted that the quantity of interest is not directly the response function itself discussed in the previous paragraph, but merely $S^{(\alpha)}(q,\omega)$, usually called dynamical structure function, which is, at zero temperature, proportional to the imaginary part of the response function at positive energies

$$S^{(\alpha)}(q,\omega) = -\frac{1}{\pi} \text{Im} \chi^{(\alpha)}(q,\omega). \quad (6)$$

On the other side, the k-moments, which are defined as moments per particle in infinite matter, read

$$M_k^{(\alpha)}(q) = \sum_n E_n^k |\langle n|\hat{Q}^{(\alpha)}|0\rangle|^2. \quad (7)$$

After some manipulation we can express them as an integral of the dynamical structure function $S^{(\alpha)}(q,\omega)$ shown in Eq. (6) as

$$M_k^{(\alpha)}(q) = \int_0^\infty d\omega \omega^k S^{(\alpha)}(q,\omega). \quad (8)$$

Moreover, because of its intrinsic analytic properties, the response function satisfies a dispersion relation. As a consequence, moments can be obtained analytically through appropriate expansions in power series of $\omega$.

- for $\omega \to +\infty$, the positive odd order moments read

$$\chi^{(\alpha)}(\omega, q) \approx 2\rho \sum_{p=0}^{+\infty} (\omega)^{-(2p+2)} M_{2p+1}^{(\alpha)}(q), \quad (9)$$

and can be used for the calculation of the $M_1$ Energy Weighted Sum Rule (EWSR) and the $M_3$ Cubic Energy Weighted Sum Rule (CEWSR).

- for $\omega \to 0$, the negative odd order moments can be extracted as

$$\chi^{(\alpha)}(\omega, q) \approx -2\rho \sum_{p=0}^{+\infty} (\omega)^{2p} M_{-(2p+1)}^{(\alpha)}(q), \quad (10)$$

which will be used for the $M_{-1}$ Inverse Energy Weighted Sum Rule (IEWSR).

In the above formula $\rho$ represents the density of the system.

The situation is the following : we have at our disposal two expressions for $M_k^{(\alpha)}$ : the first one (Eq. (8)) is purely numerical and implies the whole response function; the second one Eq. (9) (or Eq. (10)), which originates from the dispersion relation satisfied by the response function is an analytic expansion (see the next paragraph for explicit expression). Both should coincide with very high accuracy. When it is not the case, this means that the dispersion relation is no longer valid or, in other words, that a pole occurs. Thus, a discrepancy between the different expressions for the sum rules will be interpreted as the presence of a pole.

We shall now enter the details and discuss separately the three important sum rules $M_1, M_3, M_{-1}$. Since the other cases can be obtained by switching off the appropriate coupling constants, the general case with the tensor will be considered only. As stated previously, all the expressions given below for these sum rules are valid for a general Skyrme EDF given in Eq. (2) in which all the coupling constants could be considered as independent of each other.

1. Energy weighted sum rule

Making the appropriate asymptotic expansion of the response functions written in Appendix B, we obtain for each channel

$$M_1^{(0,1)} = \frac{q^2}{2m^*} \left[ 1 - \frac{m^*\rho}{2} W_2^{(0,1)} \right], \quad (11)$$

$$M_1^{(1,0,1)} = \frac{q^2}{2m^*} \left[ 1 - \frac{m^*\rho}{2} \left( W_2^{(1,1)} + 4B_1^T + 4B_1^F \right) \right], \quad (12)$$

$$M_1^{(1,\pm 1,1)} = \frac{q^2}{2m^*} \left[ 1 - \frac{m^*\rho}{2} \left( W_2^{(1,1)} + 4B_1^F \right) \right]. \quad (13)$$

If one now takes into account the expression of the isoscalar effective mass, i.e. $m/m^* = 1 + 2m^*C_0^2$, one can rewrite the expressions of the EWSR in terms of the coupling constants of the Skyrme EDF as

$$M_1^{(S,M,1)} = \frac{q^2}{2m^*} + q^2 \rho \alpha^{(S,M,1)}, \quad (14)$$

where $\alpha^{(S,M,1)}$ is a sum of contributions corresponding to each part of the Skyrme EDF which is considered, central and tensor parts of the Table I for instance. The free part $\frac{q^2}{2m^*}$ corresponds to the kinetic part of the Hamiltonian since only the gradient terms of the interaction contribute to the corresponding $\alpha^{(S,M,1)}$ coefficient. Note that the spin-orbit part of the Skyrme interaction does not contribute to the EWSR.

In self-consistent RPA calculations, i.e. when the same effective interaction generates the HF mean field and also produces the residual interaction, positive odd-order RPA sum rules can be calculated through the Thouless theorem, by taking the expectation values of appropriate
operators on the HF ground state (see for example Bohigas et al. [30] and Lipparini et al. [20] for the details of this technique). For the $M_1^{(S,M,I)}$ EWSR one can write

$$M_1^{(S,M,I)} = \frac{1}{2} \langle 0 \left[ \hat{Q}^{(S,M,I)} \left[ \hat{Q}^{(S,M,I)}, H \right] \right] \rangle 0, \quad (15)$$

calculated for each $(\alpha)$ channel with the operator $\hat{Q}^{(S,M,I)} = \sum_{\mu} e^{i\mu r} \Theta^{(S,M,I)}$ given in Table I and with the hamiltonian $H$ built up with the zero range Skyrme effective interaction. We have checked, after some tedious calculations, that this result coincides exactly, as it should be, with that obtained with the asymptotic expansion of the response. Note that the double commutator technique (Eq. [19]) uses the full hamiltonian $H$ of the system with a Skyrme interaction and it can not be used with a generalized EDF which does not derive from a Skyrme interaction.

TABLE I: Operators used in each $(S,M,I)$ channel. Columns 3 and 4 give the central and tensor contributions to the EWSR respectively. $\sigma_i^0, \sigma_i^{+1}$ ($\tau_i$ respectively) are the standard components of the vector $\sigma_i$ defined as $\sigma_i^0 = \sigma_i^\pm$ and $\sigma_i^{+1} = (\sigma_i^+ \pm i\sigma_i^-)/\sqrt{2}$.

| (S, M, I) | $\Theta_i^{(S,M,I)}$ | $\alpha^{(S,M,I)}$ (central) | $\alpha^{(S,M,I)}$ (tensor) |
|-----------|----------------------|-------------------------------|-------------------------------|
| $(0,0,0)$ | 1                    | 0                             | 0                             |
| $(0,0,1)$ | $\tau_i^0$          | $A_0 - A_1^T$                 | 0                             |
| $(1,0,0)$ | $\sigma_i^0$        | $A_0 - A_1^T$                 | $-B_0^T - B_0^F$             |
| $(1,\pm1,0)$ | $\sigma_i^{\pm1}$ | $A_0 - A_1^T$                 | $-B_0^T$                     |
| $(1,0,1)$ | $\sigma_i^0 \tau_i^0$ | $A_0 - A_1^T$                 | $-B_0^T - B_0^F$             |
| $(1,\pm1,1)$ | $\sigma_i^{\pm1} \tau_i^0$ | $A_0 - A_1^T$                 | $-B_0^T$                     |

Making the expansions of the responses (see Appendix B), we obtain successively for each channel

$$M_3^{(0,1)} = q^4 \left( \frac{k_F^2}{2m^3} \right) \left[ \frac{m^* \rho W_2^{(0,1)}}{3\pi^2} - 1 \right]^2 \times \left\{ \frac{3}{2} + \frac{k^2}{2}m^* \rho W_2^{(0,1)} + \frac{1}{2} \left( \frac{m^* k_F}{3\pi^2} \right) \left( W_1^{(0,1)} + 2k_F^2 W_2^{(0,1)} \right) \right\}, \quad (16)$$

$$M_3^{(1,0,1)} = q^4 \left[ \frac{k_F^2}{5m^3} \right] \left[ \rho B_f^{E^2} \right]^2 \left[ m^* \rho \left( W_2^{(1,1)} + 4B_1^T + 4B_1^F \right) - 1 \right] \times \left\{ \frac{3}{2} + k^2 + \frac{6}{5}m^* \rho B_1^F + \frac{1}{2}m^* \rho k^2 \left( W_2^{(1,1)} + 4B_1^T \right) + \frac{1}{2} \left( \frac{m^* k_F}{3\pi^2} \right) \left[ \tilde{W}_1^{(1,0,1)} + 2k_F^2 \left( W_2^{(1,1)} + 4B_1^T \right) \right] \right\}, \quad (17)$$

$$M_3^{(1,1,0)} = q^4 \left( \frac{k_F^2}{2m^3} \right) \left[ \frac{m^* \rho W_2^{(1,1)}}{3\pi^2} - 1 \right]^2 \times \left\{ \frac{3}{2} + \frac{k^2}{2}m^* \rho W_2^{(1,1)} + \frac{1}{2} \left( \frac{m^* k_F}{3\pi^2} \right) \left[ \tilde{W}_1^{(1,1,0)} + 2k_F^2 \left( W_2^{(1,1)} + 4B_1^T \right) \right] \right\},$$

$$\tilde{W}_1^{(1,1,0)} = W_1^{(1,1)} + 8q^2 \left( B_1^{\Delta s} - B_1^{\Delta^s} \right) - 2q^2 B_1^T,$$
\[ M_{3}^{(1,\pm,1)} = q^4 \left( \frac{k_{F}^2}{10m^*} \right) \left[ \rho B_{F}^T \right]^2 \left[ m^* \rho \left( W_{2}^{(1,1)} + 4B_{I}^T \right) - 1 \right] \]
\[ + q^4 \left( \frac{k_{F}^2}{2m^3} \right) \left[ \frac{1}{3} m^* \rho \left( W_{2}^{(1,1)} + 4B_{I}^T \right) - 1 \right]^2 \]
\[ \times \left\{ \frac{3}{4} + k^2 + \frac{3}{2} m^* \rho B_{F}^T + \frac{1}{2} k^2 m^* \rho \left( W_{2}^{(1,1)} + 4B_{I}^T \right) + \frac{1}{2} \left( \frac{m^* k_{F}}{3\pi^2} \right) \left[ \tilde{W}_{1}^{(1,\pm,1)} + 2k_{F}^2 \left( W_{2}^{(1,1)} + 4B_{I}^T \right) \right] \right\}, \]
\[ \tilde{W}_{1}^{(1,\pm,1)} = W_{1}^{(1,1)} - (8B_{1}^T \rho + 2B_{I}^T) q^2, \]

with the \( W_{i}^{(S,I)} \) coefficients given in Appendix C and the usual relations: \( \rho = 2k_{F}^3/(3\pi^2), k = \frac{q}{2k_{F}}. \)

In principle the \( M_{3}^{(S,M,I)} \) CEWSR can be also obtained analytically from the commutator machinery briefly described in the previous paragraph but the operator to be considered involves now a triple commutator, i.e. three times the interaction. The calculation becomes then very tedious and has been checked only for the central part of the Skyrme interaction [31] which gives a CEWSR which does not depend on the value of spin projection \( M \)

\[ M_{3}^{(S,M,I)} = q^4 \left( \frac{k_{F}^2}{2m^3} \right) \left[ \frac{1}{3} m^* \rho W_{2}^{(S,I)} - 1 \right]^2 \]
\[ \times \left\{ \frac{3}{4} + k^2 + \frac{3}{2} m^* \rho W_{2}^{(S,I)} + \frac{1}{2} \left( \frac{m^* k_{F}}{3\pi^2} \right) \left[ W_{1}^{(S,I)} + 2k_{F}^2 W_{2}^{(S,I)} \right] \right\}. \]

### 3. Inverse energy weighted sum rule

This moment cannot be obtained through the commutator machinery but only using appropriate constrained Hartree-Fock calculations through the well-known dielectric theorem [30]. Thus, we use again the appropriate expansion of the expressions given in Appendix B to obtain finally

\[ M_{-1}^{(0,1)} = f(k) \left( \frac{3m^*}{2k_{F}^2} \right) \left\{ -48 \left[ m^* \rho k C_{1}^{(1)} \right]^2 \right\} \]
\[ f(k) \left[ 1 + 3(1 - k^2) f(k) \right] \]
\[ \frac{7}{8} \left[ m^* \rho W_{1}^{(0,1)} + 3 m^* \rho (1 - k^2) W_{2}^{(0,1)} \right] \]
\[ + f(k) \left[ \left( \frac{m^* k_{F}}{2\pi^2} \right) \bar{W}_{1}^{(0,1)} + \frac{3}{4} m^* \rho (1 - k^2) \left( W_{2}^{(1,1)} + 4B_{I}^T \right) - \frac{1}{2} \left( 3 + 13k^2 \right) \left( m^* \rho W_{2}^{(0,1)} \right) \right] \]
\[ \left\{ 1 + \frac{3}{4} m^* \rho \left( 3W_{2}^{1,1} + 12B_{I}^T + 8B_{F}^T \right) \right\}^{-1}, \]
\[ M_{-1}^{(1,0,1)} = f(k) \left( \frac{3m^*}{2k_{F}^2} \right) \left\{ \left[ 1 + \frac{3}{4} m^* \rho \left( 3W_{2}^{1,1} + 12B_{I}^T + 8B_{F}^T \right) \right]^2 \right\} \]
\[ - \frac{1}{64} \left[ f(k) \left( k^2 - 1 \right) m^* \rho \right]^2 \left[ W_{1}^{1,1} + 4B_{I}^T \right]^2 \]
\[ + f(k) \left[ \left( \frac{m^* k_{F}}{2\pi^2} \right) \bar{W}_{1}^{(1,0,1)} + \frac{3}{4} m^* \rho (1 - k^2) \left( W_{2}^{1,1} + 4B_{I}^T \right) - \frac{3}{2} k^2 m^* \rho \left( 4B_{F}^T \right) \right] \]
\[ - \frac{1}{4} m^2 \rho^2 \left( 96 \left( 1 + k^2 \right) \left[ B_{F}^T \right]^2 + 24 \left( 1 + 3k^2 \right) B_{F}^T \left( W_{2}^{1,1} + 4B_{I}^T \right) + \left( 3 + 13k^2 \right) \left( W_{2}^{1,1} + 4B_{I}^T \right) \right] \right\}^{-1} \]
shows the CEWSR. As for the EWSR we observe of the forthcoming discussion about instabilities.

The tensor may contribute significantly to the response since in the definition of $M_{1}$, the contribution of the low-energy part is more important because of the factor $1/\omega$ in the integrand, this sum rule is more sensitive to the poles than the others.

**III. RESULTS**

**A. Response functions**

We have already discussed in article I the fact that the tensor may contribute significantly to the response functions. Here, we precise several related aspects in view of the forthcoming discussion about instabilities.

Quite generally, in $S = 0$ channel, the tensor terms do not affect qualitatively the response: all tests performed using TlJ tensor interactions discussed in ref. [32] exhibit the same qualitative behavior. The situation is quite different in $S = 1$ channels; the effect from the tensor terms is large whatever the value of the spin projection $M$ is. Actually, depending on the values of the transferred momentum $q$ and the density $\rho$, the response functions can even increase significantly and diverge for finite $q$ for a certain critical density $\rho_c$. As illustrated in Fig. 1, one can typically observe two types of extremes phenomena: the first one (left panel) corresponds to an accumulation of strength at finite energy (and low transfer momentum) and is related to the zero sound mode whereas the second one (right panel) is associated to a pole at zero energy (and finite momentum). Although a one-to-one correspondence between infinite matter and nuclei is obviously not trivial, preliminary tests seem to show that the latter divergence actually reveals the presence of instabilities observed in nuclei [17], with the appearance of domains with typical size of the order of $2\pi/q$ [33].

\[ M_{1}^{(1,\pm,1)} = f(k) \left( \frac{3m^*}{2k^2} \right) \left\{ -24 \left[ km^* \rho C_{I}^{\nabla J} \right]^2 f(k) \left[ 1 + 3 (1 - k^2) f(k) \right] \right\} \left[ 8 - m^* \rho [1 + 3 (1 - k^2) f(k)] \right] \]

\[ + \left[ 1 + \frac{3}{4} m^* \rho \right] \left( W_{1}^{(1,1)} + 4 B_{I}^F + \frac{3}{2} B_{I}^T \right] \]

\[ - \frac{3}{4} \left[ m^* \rho f(k) (1 - k^2) \right]^2 \left[ 20 [B_{I}^F]^2 + 4 B_{I}^F \left( W_{2}^{(1,1)} + 4 B_{I}^T \right) + \left( W_{2}^{(1,1)} + 4 B_{I}^T \right)^2 \right] \]

\[ + f(k) \left[ \left( \frac{m^* k_F}{2\pi^2} \right) W_{1}^{(1,\pm,1)} + \frac{3}{4} m^* \rho (1 - k^2) \left( 2 B_{I}^F + W_{2}^{(1,1)} + 4 B_{I}^T \right) \right] \]

\[ - \frac{1}{3} m^* \rho^2 \left[ 4 [B_{I}^F]^2 (9 - k^2) + 16 k^2 B_{I}^F \left( W_{2}^{(1,1)} + 4 B_{I}^T \right) + (3 + 13 k^2) \left( W_{2}^{(1,1)} + 4 B_{I}^T \right)^2 \right] \right\} \right\} ^{-1}, \]

with $f(k) = \frac{1}{2} \left[ 1 + \frac{3}{4} (1 - k^2) \log \left( \frac{k + 1}{k} \right) \right]$. Since the instabilities we are looking for, are related with poles of the response functions at zero energies, this sum rule will be shown in the next part to be of fundamental importance for the detection and therefore prediction of instabilities.

Since in the definition of $M_{1}$, the contribution of the low-energy part is more important because of the factor $1/\omega$ in the integrand, this sum rule is more sensitive to the poles than the others.

**B. Sum rules**

1. EWSR

As an example, Figure 2 shows the EWSR calculated for the equilibrium density, for the T44 tensor parameterization and for all the six ($S, M, I$) channels. In each case the result obtained with the integral (Eq. (8)) is compared to the exact calculation (Eqs. (11), (12) and (13)). As expected, both results coincide, satisfying then the sum rule. It remains an exception for the two $(1,0,1)$ and $(1,1,0)$ channels where the integral calculation violates the sum rule. This is actually due to the presence of a pole (indicated by an arrow on Fig. 2) in the strength function at $q \approx 1.5$ fm$^{-1}$ for the $(1,1,0)$ channel (see caption of Fig. 1 right panel) and at $q \approx 2.2$ fm$^{-1}$ for the $(1,0,1)$ channel. These poles which are clearly exhibited in the IEWSR (see below) make the sum rules unphysical from and above the $q$ value of the pole.

2. CEWSR

For the same example and for the same conditions, Figure 3 shows the CEWSR. As for the EWSR we observe a perfect correspondence between the two calculations of the sum rule, integral or analytical expression (see Eqs. (17), (18) and (19)) except in the channels which exhibit a pole in the strength function. The same remarks as for the EWSR can be done. Due to the cubic energy weighted in this sum rule, the violation of the concerned sum rules does not appear very clearly on the figures.
FIG. 1: Nuclear response function for two given values of the transferred momentum $q$ (in fm$^{-1}$) for the T44 tensor parameterization. On the left we show the response function in the channel $(1,1,1)$ with the discrete $ph$ transition corresponding to the zero sound. On the right we show the response function in the channel $(1,1,0)$ in proximity of a pole. $\rho_{sat}$ is the saturation density of the system.

FIG. 2: (Color on line) EWSR (in MeV) as a function of the transferred momentum $q$ (in fm$^{-1}$) for the T44 tensor parameterization. Red dashed (black solid) line correspond to the integral (Eq. (8)) (analytical expressions, Eqs. (11), (12) and (13) respectively). Results are shown for the saturation density and for each $(S, M, I)$ channel.

FIG. 3: (Color on line) CEWSR (in MeV$^3$) as function of the transferred momentum $q$ (in fm$^{-1}$) for the T44 tensor parameterization. See Figure 2 for other details.

3. IEWSR

Always for the same example and for the same conditions, Figure 4 shows the IEWSR. As for the EWSR we observe a good correspondence between the integral and the analytical expression (see Eqs. (21), (22) and (23)) except when there is a pole in the strength function. Moreover the discrepancy in that case is very sharp so that the signature of the pole is very clear. This is due to the fact that for IEWSR this pole is also present on the denominator of the analytical expressions (see Eqs. (21), (22) and (23)). Thus, we show here that there is unique correspondence with the pole observed in the strength function and the pole of the IEWSR. An immediate consequence is that Eqs. (21), (22) and (23) can be used in a fit protocol in order to test directly the occurrence of instabilities.

It should also be noticed (see the inset of Fig. 4) that a small amount of strength is missing at low $q$ in channels...
(1, 0, 0) and (1, 1, 1). This again corresponds to the zero sound mode already shown in left panel of Fig. 4 and will not be discussed here.

C. Instabilities

When the response function exhibits a pole at zero energy in a given channel one can suspect that an unphysical instability will occur in finite nuclei if the corresponding critical density is close to the saturation density. The goal of this part is thus to show for typical Skyrme parametrisations whether they lead to such problem, that is the appearance of an unphysical instability when the critical density $\rho_c$ calculated using the IEWSR is close to the saturation density. Since we have shown that there is a direct connection between the pole (when it does exist) observed in the response function and the pole observed in the $M_{-1}$ sum rule. It is easy to plot the critical densities $\rho_c$ as a function of $q$ by simply solving $1/M_{-1}(\rho_c, q) = 0$ in each channel. For example, Figure 5 shows the behavior of the critical density for each (S, M, I) channel for the interaction T44. As a guide-eye, the saturation density $\rho_{sat}$ is also plotted. As claimed, one can clearly see that one exactly obtains the same results if one considers the pole of the $M_{-1}$ sum rule (open circles) or the pole of the corresponding RPA responses (dashed lines). For this particular parametrisation, instabilities appear both in the (1, 0, 0) and (1, 1, 0) channels at $\rho_c = \rho_{sat}$. For the (0, 0) channel, we can also see on Figure 5 the well-known spinodal instability. This spinodal instability is viewed here as a two branches curve corresponding to the two critical densities observed in a standard plot of this spinodal curve. These two branches meet at the critical point. This fact is due to the $C_0^{Δρ}$ coupling constant and it can be viewed as a surface effect. Without this term in the functional the two branches of the spinodal curve would turn into two parallel lines [34–37].

![Figure 4](image1.png)  
**FIG. 4:** (Color on line) IEWSR (in MeV$^{-1}$) as function of the transferred momentum $q$ (in fm$^{-1}$) for the T44 tensor parameterization. See Figure 2 for other details.

![Figure 5](image2.png)  
**FIG. 5:** (Color on line) Critical densities (in fm$^{-3}$) as functions of the transferred momentum $q$ (in fm$^{-1}$) for the T44 tensor parameterization. Open circles show the critical densities extracted from the pole of the $M_{-1}$ moment while the dashed lines correspond to the pole of the corresponding strength function.

Figure 6 displays the critical densities for some usual Skyrme EDF. All the Skyrme EDF exhibit the same physical spinodal instability but the behaviors of the critical densities in the other channels are very different and depend strongly of the parameterization under consideration.

Similarly Figure 7 shows the evolution of critical densities following two series of parameterizations with tensor couplings $T11 – T16$ and $T11 – T61$ when one considers the $C_{1J} – C_{1l}^{Δl}$ plane of tensor coupling constants studied by Lesinski et al. [32]. In this case we only show the $S = 1$ channel for the different $T1J$ forces, showing that they all are unstable against spontaneous polarization of finite-size domains as already observed by Hellelmanns et al. [13].

D. Landau parameters

Another important constraint concerning stability of a parametrisation is given by the Landau parameters: since they represent the short-range of the interaction, they have to be positive. The $F_l, F_l'$ spin-independent Landau parameters must obey to the stability condition

$$1 + \frac{F_l}{2l + 1} > 0. \tag{23}$$
FIG. 6: (Color on line) Critical densities, $\rho_c$ (in fm$^{-3}$), as functions of the transferred momentum $q$ (in fm$^{-1}$) for some usual Skyrme EDF: SkP [38], SkM* [39], SGII [15], SLy4 [40–42], BSk 8 [43], and SkO [44]. The horizontal dashed-dotted line represents the saturation density of the system.
FIG. 7: (Color on line) Critical densities, $\rho_c$ (in fm$^{-3}$), in the $S = 1$ channels as functions of the transferred momentum $q$ (in fm$^{-1}$) for the T11 to T16 (top four panels) and for the T11 to T61 (bottom four panel) tensor parameterizations. The horizontal dashed-dotted line represents the saturation density of the system.
Remember that the \( l = 0 \) Landau parametrization can be related to the second derivative of the EDF with respect to \( I, I_r, I_\sigma, I_\sigma r \) (see \[14\] for details), the pertinent variables of each \((S, I)\) channel. Eq. \( \text{(23)} \) represents thus the condition that the concavity of the equation of state (EoS) at the equilibrium must be positive in each \((S, I)\) channel. The result is represented on Figure \[8\] for some Skyrme interactions. Similarly the \( l = 1 \) Landau parameters can be related to the effective mass in each \((S, I)\) channel and Eq. \( \text{(23)} \) requires that each effective mass is positive without any pole. Similar conditions exist for \( G_l, G'_l \) spin-dependent Landau parameters. They are shown on Figure \[9\]. In the presence of a tensor interaction a new additional condition that prevent the deformation of the Fermi sphere has to be satisfied. Following the derivation of Brown \textit{et al.} \[29\], we have

\[
1 + \frac{1}{3} G_1 - \frac{10}{3} H_0 > 0 \quad \text{(24)}
\]

\[
1 + \frac{1}{3} G_1 + \frac{5}{3} H_0 > 0 \quad \text{(25)}
\]

\[
1 + \frac{1}{3} G_1 - \frac{1}{3} H_0 > 0 \quad \text{(26)}
\]

\[
\left(1 + \frac{G_0}{2}\right) + \frac{1}{2} \sqrt{G_0^2 + 8H_0^2} > 0 \quad \text{(27)}
\]

\[
\left(1 + \frac{G_0}{2}\right) - \frac{1}{2} \sqrt{G_0^2 + 8H_0^2} > 0 \quad \text{(28)}
\]

and similarly for \( H'_0 \). On Figure \[10\] we show the left hand side of Eqs. \( \text{(24)-(28)} \). This result is consistent with the results presented by Cao \textit{et al.} \[16\], but generalized here for the case of a Skyrme functional.

**IV. SUMMARY AND CONCLUSIONS**

In this article, we have presented the analytic contribution arising from the tensor terms to the RPA response functions with a general EDF as a starting point. From these response functions, we derived the Landau parameters and we focused on instabilities at zero energy and finite transfer momentum. In particular, we have shown that a divergence of the response structure functions \( \chi^{(\alpha)}(q, \omega) \) indicates a finite size instability in infinite matter. Moreover this instability can be detected by simply using the analytical IEWSR, which is a great advantage for future applications. At this point, one also has to note that a systematic study of the critical densities is in progress in order to determine whether the link between the divergences of \( \chi^{(\alpha)}(q, \omega) \) and the instabilities encountered in nuclei at the Hartree-Fock approximation is robust.

Another important point under study is the identification, directly from the Skyrme energy functional, of the contribution of each term of the EDF in the response functions. Such a study would enable us to put some constraints on the different constants in order to avoid instabilities. In the same spirit, a detailed study of sum rules can enlighten the contribution of the tensor for various physical situations (see for instance \[20\]). Finally, applications to pure neutron matter is of great importance (see for instance \[15,57\]) and will be the subject of a forthcoming article in preparation. In that case, the above formulae are no longer directly usable and have been adapted to that specific case.

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FIG. 8: $\ell = 0$ Landau parameters as a function of the ratio $\rho/\rho_{\text{sat}}$, where $\rho$ is the density of the system and $\rho_{\text{sat}}$ is the saturation density, for some usual Skyrme parameterizations.
FIG. 9: $\ell = 1$ Landau parameters as a function of the ratio $\rho/\rho_{\text{sat}}$, where $\rho$ is the density of the system and $\rho_{\text{sat}}$ is the saturation density, for some usual Skyrme parameterizations. See $[8]$ for other details.

FIG. 10: On the left panel we show the left hand side of Eqs. (24-28), while on the right panel, adopting the same color code, the same but replacing in Eqs. (24-28) with the terms $G_0', G_1', H_0'$. See text for details.
Appendix A: Particle-hole matrix elements of the zero range tensor part of the interaction.

Following the notation adopted in article I, we give in Table II the values of the particle-hole residual interaction for the tensor part of the functional.

TABLE II: Contribution of the EDF tensor part to the residual interaction in terms of the $B_I$ coupling constants. For the sake of simplicity we have introduced the notation: $\mathbb{K}_{i,j} = [(k_{12})_{i}(k_{12})_{j}]$, where $(k_{12})_{ij}$ is defined in Eq.(9) of article I. The term $\delta_{S'S} \delta_{II'} \delta_{QQ'}$ is implicit everywhere.

| $M' = 1$ | $M' = 0$ | $M' = -1$ |
|----------|---------|---------|
| $M = 1$  | $-2q^2 \left(B_T^I + 4B_F^I\right)$ | $-4B_T^I \mathbb{K}_{-1,0}$ | $-4B_T^I \mathbb{K}_{-1,-1}$ |
|          | $+4B_T^I \mathbb{K}_{0,0}$            |                              |                              |
|          | $-4 \left(2B_T^I + B_F^I\right) \mathbb{K}_{1,-1}$ |                              |                              |
| $M = 0$  | $4B_T^I \mathbb{K}_{0,1}$            | $-2 \left(B_T^I - 4B_T^{I*} + 4B_F^{I*} + B_F^I\right) q^2$ | $4B_T^I \mathbb{K}_{-1,0}$ |
|          |                                          | $+4 \left(B_T^I + B_F^I\right) \mathbb{K}_{0,0}$ |                              |
|          |                                          | $-8B_T^I \mathbb{K}_{1,-1}$ |                              |
| $M = -1$ | $-4B_T^I \mathbb{K}_{1,1}$            | $-4B_T^I \mathbb{K}_{1,0}$ | $-2q^2 \left(B_T^I + 4B_F^{I*}\right)$ |
|          |                                          | $+4B_T^I \mathbb{K}_{0,0}$ | $-4 \left(2B_T^I + B_F^I\right) \mathbb{K}_{1,-1}$ |

Appendix B: RPA nuclear responses

We recall here the nuclear responses already given in article I but rewritten here in terms of the coupling constants of the Skyrme EDF. We keep in mind from article I the definitions of these coupling constants in terms of the parameters of the Skyrme interaction.

• For the $S = 0$ channel

\[
\frac{\chi_{HF}}{\chi_{RPA}} = 1 - \frac{\widetilde{W}_1^{(0,1)}}{W_1^{(0,1)}} \chi_0 + \frac{\widetilde{W}_2^{(0,1)}}{W_2^{(0,1)}} \left(\frac{1}{2}q^2 \chi_0 - 2k_F^2 \chi_2\right) \\
+ \left[W_2^{(0,1)}\right]^2 \left[k_F^4 \chi_2^2 - k_F^2 \chi_0 \chi_4 + m^* \omega^2 \chi_0^2 - \frac{1}{4}q^2 m^* \rho \chi_0\right] \\
+ 2 \left(\frac{m^* \omega}{q}\right)^2 \frac{W_2^{(0,1)}}{1 - \frac{1}{2}m^* \rho W_2^{(0,1)}} \chi_0 ,
\]  

with

\[
\widetilde{W}_1^{(0,1)} = W_1^{(0,1)} + 16 q^4 \left[G_I^{(0)}\right]^2 \frac{\beta_2 - \beta_3}{1 + q^2 (\beta_2 - \beta_3) \left[W_2^{(0,1)} + 4B_T^I - 2B_F^I\right]} .
\]
\[ \frac{\chi_{HF}}{\chi_{RPA}} = \left[ 1 + m^* \rho B_f^F \right]^2 + \tilde{W}_1 \chi_0 \]

\[ + \left\{ W_2^{(1,1)} + 4B_f^T \right\} \left\{ \frac{1}{2} \frac{q^2}{m^*} \left[ 1 + 2m^* \rho B_f^F \right] \chi_0 - 2k_F^2 \chi_2 + 2m^* \rho k_F^2 B_f^F \left( \chi_0 - \chi_2 \right) \} \]

\[ + \left[ W_2^{(1,1)} + 4B_f^T \right]^2 \left\{ k_F^2 \chi_2^2 - k_F^2 \chi_0 \chi_4 + m^* \omega^2 \chi_0^2 - \frac{1}{4} q^2 m^* \rho \chi_0 \} \]

\[ + 2 \chi_0 \left( \frac{m^* \omega}{q} \right)^2 \frac{1}{1 - \frac{1}{2} m^* \rho W_2^{(1,1)} + 4B_f^T + 4B_f^T - X^{(1,0,1)}} \], \hspace{1cm} (B3) \]

were we have used

\[ \tilde{W}_1^{(1,0,1)} = - \left[ W_1^{(1,1)} + 8q^2 \left( B_f^{sT} - B_f^s \right) \right] + 2q^2 B_f^T \]

\[ + \left[ 2q^2 - 8 \left( \frac{m^* \omega}{q} \right)^2 \right] B_f^F + \left[ 4k_F^2 + q^2 - 4 \left( \frac{m^* \omega}{q} \right)^2 \right] m^* \rho \left[ B_f^F \right]^2 \], \hspace{1cm} (B5) \]

\[ \tilde{W}_1^{(1,1,1)} = W_1^{(1,1)} - 2q^2 \left( 4B_f^{sT} + B_f^T \right) + 8q^4 \left[ C_f^{sT} \right]^2 \frac{\left( \beta_2 - \beta_3 \right)}{1 + q^2 \left( \beta_2 - \beta_3 \right) W_2^{(0,1)}} - 4 \left( \frac{m^* \omega}{q} \right)^2 B_f^F \]

\[ + \left[ B_f^F \right]^2 \left\{ q^2 m^* \rho + \frac{1}{4} \left[ q^2 - 4 \left( \frac{m^* \omega}{q} \right)^2 \right] \chi_0 - 2k_F^2 \left[ q^2 + 4 \left( \frac{m^* \omega}{q} \right)^2 \right] \chi_2 + 4k_F^4 \chi_4 \right\} \]. \hspace{1cm} (B6) \]

The \( X^{(1,0,1)} \) coefficients occurring in the previous expressions of the \( S = 1 \) response functions are defined in the Appendix C while the momenta \( \beta_i \) were already defined in Appendix D of article I.

**Appendix C: the \( W_i^{(\alpha)} \), \( W_i^{(\alpha)} \), and \( X^{(\alpha)} \) coefficients.**

In order to simplify all the written formula in the presence of a tensor part in the Skyrme interaction, the \( W_1^{(S,1)} \) and \( W_2^{(S,1)} \) coefficients have been defined as
\[ \frac{1}{4} W_{1}^{(0,0)} = 2A_{0}^\rho + (2 + \gamma)(1 + \gamma)A_{0}^{\rho\gamma} \gamma - \left[ 2C_{0}^{\Delta\rho} + \frac{1}{2} A_{0}^{\Delta} \right] \gamma q^2, \]

\[ \frac{1}{4} W_{1}^{(0,1)} = 2A_{0}^\rho + 2A_{0}^{\rho\gamma} \gamma - \left[ 2A_{0}^{\Delta\rho} + \frac{1}{2} A_{0}^{\Delta} \right] q^2, \]

\[ \frac{1}{4} W_{1}^{(1,0)} = 2A_{0}^\rho + 2A_{0}^{\rho\gamma} \gamma - \left[ 2A_{0}^{\Delta\rho} + \frac{1}{2} A_{0}^{\Delta} \right] q^2, \]

\[ \frac{1}{4} W_{1}^{(1,1)} = 2A_{0}^\rho + 2A_{0}^{\rho\gamma} \gamma - \left[ 2A_{0}^{\Delta\rho} + \frac{1}{2} A_{0}^{\Delta} \right] q^2, \]

\[ \frac{1}{4} W_{2}^{(0,0)} = A_{0}^\rho, \]

\[ \frac{1}{4} W_{2}^{(0,1)} = A_{1}^\rho, \]

\[ \frac{1}{4} W_{2}^{(1,0)} = A_{0}^\rho, \]

\[ \frac{1}{4} W_{2}^{(1,1)} = A_{1}^\rho, \]

for the residual interaction of a general Skyrme functional in the Landau limit (see Eq. [5]) we define the \( W_{1,L}^{(S,1)} \) and \( W_{2,L}^{(S,1)} \) coefficients as

\[ \frac{1}{4} W_{1,L}^{(0,0)} = 2C_{0}^\rho + (2 + \gamma)(1 + \gamma)C_{0}^{\rho\gamma} \gamma, \]

\[ \frac{1}{4} W_{1,L}^{(0,1)} = 2C_{1}^\rho + 2C_{1}^{\rho\gamma} \gamma, \]

\[ \frac{1}{4} W_{1,L}^{(1,0)} = 2C_{0}^\rho + 2C_{0}^{\rho\gamma} \gamma, \]

\[ \frac{1}{4} W_{1,L}^{(1,1)} = 2C_{1}^\rho + 2C_{1}^{\rho\gamma} \gamma, \]

\[ \frac{1}{4} W_{2,L}^{(0,0)} = C_{0}^{\tau}, \]

\[ \frac{1}{4} W_{2,L}^{(0,1)} = C_{1}^{\tau}, \]

\[ \frac{1}{4} W_{2,L}^{(1,0)} = C_{0}^{\tau}, \]

\[ \frac{1}{4} W_{2,L}^{(1,1)} = C_{1}^{\tau}, \]

and the same way for the \( X^{(1,\pm,1)} \) coefficients can be written in terms of the EDF coupling constants as

\[ X^{(1,0,1)} = 8 q^2 \left[ B_{1}^{F} \right]^2 \times \frac{\beta_{2} - \beta_{3}}{1 + q^{2} (\beta_{2} - \beta_{3}) \left[ W_{1}^{(1,1)} + 4B_{1}^{F} \right]}, \]

\[ X^{(1,\pm,1)} = 8 q^2 \left[ B_{2}^{F} \right]^2 \times \frac{\beta_{2} - \beta_{3}}{1 + q^{2} (\beta_{2} - \beta_{3}) \left[ W_{2}^{(1,1)} + 4B_{2}^{F} \right]}, \]

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