Radiative forcing by light-absorbing aerosols of pyrogenetic iron oxides

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Iron (Fe) oxides in aerosols are known to absorb sun light and heat the atmosphere. However, the radiative forcing (RF) of light-absorbing aerosols of pyrogenetic Fe oxides is ignored in climate models. For the first time, we use a global chemical transport model and a radiative transfer model to estimate the RF by light-absorbing aerosols of pyrogenetic Fe oxides. The model results suggest that strongly absorbing Fe oxides (magnetite) contribute a RF that is about 10% of the RF due to black carbon (BC) over East Asia. The seasonal average of the RF due to dark Fe-rich mineral particles over East Asia (0.4–1.0 W m\(^{-2}\)) is comparable to that over major biomass burning regions. This additional warming effect is amplified over polluted regions where the iron and steel industries have been recently developed. These findings may have important implications for the projection of the climate change, due to the rapid growth in energy consumption of the heavy industry in newly developing countries.

Iron (Fe) oxides in mineral dust have been shown to strongly absorb solar radiation1–3. In desert soils, Fe oxides are generally hematite (\(\alpha\)-Fe\(_2\)O\(_3\)) and goethite (FeOOH), which cause soil-derived dust absorption at ultraviolet (UV) and visible wavelengths4,5. These two minerals have distinct optical properties that cause different intensities of shortwave absorption and thus radiative forcing (RF) of aerosols6,7. Further, the physical and chemical properties of Fe oxides in minerals are different between aeolian dust and fly ash8–11. Moreover, the distinct emission sources of pyrogenic Fe oxides have been shown to enhance Fe bioavailability due to atmospheric processes and cause potentially harmful effects on human and ocean health12–15.

Fe speciation in fly ash is dependent on the emission source16,17 and is also influenced by atmospheric processing13,14,18,19. Ferric sulfate and aggregated nanocrystals of magnetite (Fe\(_3\)O\(_4\)) are dominant components of Fe in oil fly ash16,17. In coal fly ash, Fe oxides are distributed between crystalline and aluminosilicate-glass phases during ash formation8–11. The enrichment of Fe aggregates attached to the surface of particles has been observed for Fe-rich fly ashes9,10. In contrast to Fe oxides at emissions from combustion sources, the Fe in aged fly ashes is coated in the form of Fe sulfate due to strong acidity of sulfate in fine particles18,19. Laboratory experiments suggested that labile Fe in coal fly ash was mainly transformed from the aluminosilicate-glass phase12,17. An atmospheric chemical transport model that implemented Fe chemistry for combustion aerosols suggested a significant transformation of Fe from insoluble to labile form during atmospheric photochemical processing14. After the dissolution of Fe from aerosols, nanoparticles can form via the precipitation of ferrihydrite from colloidal solutions under higher pH conditions in simulated cloud processing20.

It has been suggested that Fe oxides in the form of aggregated magnetite nanoparticles from anthropogenic sources contributed between 4 and 7% of the shortwave absorption (mW m\(^{-2}\)) of black carbon (BC), based on aircraft measurements over the Yellow Sea and East China Sea21. The refractive index of black-colored magnetite is more similar to BC than is hematite and goethite. Thus dark Fe-rich mineral particles that originate from combustion sources can be more effective at absorbing sun-light than is that from soils in arid and semi-arid regions. The significant correlation between the number concentrations of Fe oxides and BC suggests that the spatial distribution of the emission flux of Fe oxides over the East Asian continent is similar to that of BC21. However, the contribution of different sources of Fe to total Fe in combustion aerosols remains uncertain. Magnetite is crystallized from molten silicates and is partly trapped in the aluminosilicate-glass phase during ash formation, while the magnetic fraction of fly ash can be collected by electrostatic precipitator if the emission control device is equipped and maintained properly4–10. Efficient filtering technologies may prevent environmental magnetic pollution of airborne particulate matter (PM)15 but efficient filtering is not expected in newly industrializing countries with fast growing economies.

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In wildfires, it is well known that Fe oxides in soils are transformed to magnetic Fe oxides at high temperature\textsuperscript{22,23}. Spherical particles originating from a biomass-burning event showed an enrichment of the reduced form of Fe(II) in the outer shell of the particles\textsuperscript{24}. Moreover, the effects of burning on soil color are evident\textsuperscript{25}. Alteration of Fe(III) oxides (goethite and hematite) to Fe(II, III) oxides (magnetite) due to fires has been documented in mineral soils containing organic matter\textsuperscript{26,27}. Laboratory experiments suggest a two-step reaction, that is, the transformation of goethite to hematite via dehydroxylation and the subsequent reduction to magnetite in the presence of organic matter that acts as a reductant\textsuperscript{27}.

Previous modeling studies have emphasized the role of soil-derived Fe oxides in RF\textsuperscript{1–3} and that of combustion sources in bioavailable Fe deposition\textsuperscript{28–30}. However, there is no estimate of RF by pyrogenic Fe oxides, despite its potential importance\textsuperscript{21}. Here, we hypothesize that Fe-rich aerosols from pyrogenic emissions are important sources of light-absorbing aerosols. To test this hypothesis, we use a global chemical transport model and a radiative transfer model to estimate the RF of Fe oxides from combustion sources compared to that of BC. The description of the models is provided in the Methods.

Results and Discussion

We compared BC concentrations near the surface (averaged lowest three model layers) with measurements in China, Korea, and Japan in 2014\textsuperscript{31,32}. Overall, the modeled BC concentrations are in reasonable agreement with the measurements (correlation coefficient of 0.82, 8.2 ± 5.5 vs. 4.8 ± 3.9 μg m\textsuperscript{-3} in China, 1.4 ± 0.9 vs. 0.7 ± 0.7 μg m\textsuperscript{-3} in Korea, and 0.8 ± 0.9 vs. 0.4 ± 0.3 μg m\textsuperscript{-3} in Japan). There are relatively small differences in monthly averages of BC concentration between different years in Korea (0.8 ± 0.3 μg m\textsuperscript{-3} in 2001)\textsuperscript{33} and Japan (0.4 ± 0.4 μg m\textsuperscript{-3} from April 2009 to March 2015)\textsuperscript{34}, while significant day-to-day variability is observed. These measurements suggest that the comparison of Fe concentrations between different years should be accompanied with day-to-day variability. Thus, we present a comparison of Fe in particulate matter with diameters below 2.5 μm (PM\textsubscript{2.5}) and total PM between modeled monthly averages with a standard deviation and measurements at the GOSAN site on Jeju Island in South Korea\textsuperscript{35}, the East China Sea\textsuperscript{34} and the Bay of Bengal\textsuperscript{15} (Fig. 1). The range of one standard deviation is based on the daily averages of modeled Fe concentration in the same month as the measurements. The monthly mean values of our estimates of Fe concentration in total PM (i.e., PM\textsubscript{total–based}) tend to underestimate Fe concentration (0.40 ± 0.03 vs. 3.1 ± 3.4 μg m\textsuperscript{-3} in Korea, 0.2 ± 0.1 vs. 0.8 ± 1.0 μg m\textsuperscript{-3} in the East China Sea, and 0.2 ± 0.2 vs. 0.5 ± 0.4 μg m\textsuperscript{-3} in the Bay of Bengal), partly due to the episodic nature of dust events when compared to the model, which uses the year of 2014 for the assimilated meteorological data.
and emission source of Fe. Since Fe-containing aerosols from combustion sources are characterized by higher solubility in water and smaller size compared to mineral dust sources, Fe loading should be separately attributed to combustion and dust aerosols, due to their distinct emission sources and atmospheric processing. The modeled Fe concentration in PM$_{2.5}$ indicates a fairly good agreement with the measurements of Fe ($0.10^{\pm}0.08$ vs. $0.10^{\pm}0.11$ μg m$^{-3}$ in the Bay of Bengal) especially at higher Fe solubilities.

Aerosol RF is estimated as the difference in the calculated radiative fluxes with all aerosols and with all aerosols except the aerosol type being estimated in the RF calculation (Tables 1 and 2). Figure 2 shows the annual mean all-sky aerosol RF at the top of the atmosphere due to the absorption of strongly absorbing Fe oxides (magnetite) for particulate matter with diameters below (above) 1.26 μm, PM$_{bin1}$ (PM$_{bin2}$–bin4). The RF for PM$_{bin2}$–bin4 over polluted regions such as China exceeds 0.4 W m$^{-2}$ (up to 0.5 W m$^{-2}$), which is substantially larger than that for PM$_{bin1}$ (less than 0.2 W m$^{-2}$) regardless of the assumption in mixing state of pyrogenic Fe and other dust components in the RF calculation. Since the aerosol RF is estimated as the difference in the calculated radiative fluxes with all aerosols and with all aerosols except the aerosol type being estimated in the RF calculation, the effect of absorption by the other internally mixed compounds is subtracted even in case of volume-weighted homogeneous mixing of all aerosol species. Thus, the RF calculation of specific aerosols is not significantly affected by the assumed mixing state in the RF calculation.

The seasonal averages of RF values for total particulate matter (TPM) over the polluted regions are comparable to those over the major biomass burning regions in central African counties and Indonesia where the Fe oxides (magnetite) in mineral dust might be entrained by pyro-convection (Fig. 3). Measurements for biomass burning events using the modified single-particle soot photometer (SP2) are needed to constrain the model estimates of pyrogenic Fe loading.

Enhancements of RF over polluted regions by Fe-containing combustion aerosols depend on the treatment of Fe species in the aerosols (Fig. 4). The annual mean of RF for hematite is less than that for magnetite but still exceeds 0.2 (W m$^{-2}$) over polluted regions in China, while that for goethite is less than 0.2 (W m$^{-2}$). The Fe-rich phase of fly ash has been identified as magnetite and hematite, depending on whether the combustion conditions are reducing or oxidizing. Thus hematite is considered as another form of Fe oxides at emission. On the other hand, the structure and associated absorption spectra of goethite and ferrihydrite (density of 3.8 g cm$^{-3}$) are similar to each other. Thus, for optical properties, goethite is considered as a proxy of ferrihydrite, which can be transformed from Fe-containing minerals during atmospheric processing. In urban aerosols, the major Fe oxides have been identified as hematite, goethite, and ferrihydrite by spectroscopic techniques. However,
the robustness and accuracy of the mass fraction of each Fe chemical species retrieved from the spectroscopic techniques has been called into question, because Fe speciation is not consistent with other studies possibly due to limitations in fitting the spectra of real aerosol samples with standard material spectra. In order to estimate the effect of changes in Fe speciation with time for combustion aerosols, specific transformation rates of surface Fe nanoparticles and actual refractive indices for Fe-containing combustion aerosols are needed.

Significant differences in the measurements of refractive indices between different studies may cause a major source of uncertainty in the RF calculation. Therefore, in addition to the measurements of refractive index for magnetite used by Moteki et al., we used two data sets examined by Zhang et al. (cited as Amaury et al. (unpublished data) and Querry). The resulting RF is slightly lower than that with the high refractive index of Amaury et al. (unpublished data) (Fig. 4c), but is significantly higher than that with lowest refractive index (Fig. 4d). Since the model-calculated aerosol mass ratio of Fe/BC was in reasonable agreement with the measurements using the modified SP2, which detected light-absorbing refractory aerosols of BC and Fe oxides, over the Yellow Sea and East China Sea (Fig. 5), the model-calculated light-absorbing Fe oxides are expected to be representative of the major Fe oxides from combustion sources in East Asia.

Figure 2. Annual mean of all-sky radiative forcing at the top of the atmosphere by light-absorbing aerosols of pyrogenetic Fe oxides. (a) Fe oxides are treated as magnetite in PM\textsubscript{bin1}. (b) Fe oxides are treated as magnetite in PM\textsubscript{bin2–bin4} assuming internal mixing of pyrogenic Fe and other dust components in the RF calculation. (c) Fe oxides are treated as magnetite in PM\textsubscript{bin2–bin4} assuming external mixing of pyrogenic Fe from other dust components in the RF calculation. This map was created in the Visual Climate Data Analysis Tools (VCDAT) version 4.1.2.
Figure 3. Seasonal averages of all-sky radiative forcing at the top of the atmosphere by light-absorbing aerosols of pyrogenetic Fe oxides (magnetite). (a) Spring (March, April, and May). (b) Summer (June, July, and August). (c) Autumn (September, October, and November). (d) Winter (December, January, and February). This map was created in VCDAT version 4.1.2.

Figure 4. Annual mean of all-sky radiative forcing at the top of the atmosphere by light-absorbing aerosols of pyrogenetic Fe oxides. (a) Fe oxides are treated as hematite in TPM, which is considered as another form of Fe oxides at emission. (b) Fe oxides are treated as goethite in TPM, which is considered as a proxy of ferrihydrite. (c) Fe oxides are treated as magnetite in TPM using high refractive index. (d) Fe oxides are treated as magnetite in TPM using low refractive index. This map was created in VCDAT version 4.1.2.
The model results suggest that Fe oxides from combustion sources significantly contribute to a warming effect at the top of the atmosphere over air polluted regions in East Asia (from 5% to 10% of the RF due to BC), which is consistent with the shortwave absorption of magnetite relative to BC based on aircraft measurements\(^2\) (Fig. 6). In Urumqi, central Asia (44°N, 88°E), we found a significant contribution of the RF by Fe oxides to that of BC (about 10% except in winter for residential heating), due to high ratio of Fe/BC emissions for the energy (0.96) and industry (0.89) sectors (Table 3). The measurements of aerosols and surface snow samples demonstrated that Fe-dominant spherical particles were mainly in super-micron aerosols and originated from iron and steel plants\(^4\). The Fe content of aerosols from the iron and steel industries (26%) is significantly higher than that from coal fly ash (6.4%)\(^4\) (Table 4).

In addition to the surface enrichment of Fe aggregates, Fe oxides are internally mixed with amorphous aluminosilicate\(^8\)–\(^11\) and the Fe-containing aerosols can be coated by sulfate. Thus, the effect of Fe-containing aerosols may depend on treatment of mixing with other types of super-micron combustion aerosols (i.e., amorphous aluminosilicate) which are co-emitted with precursor gases and then can be coated by secondary formation materials (e.g., sulfate) in the atmosphere (Fig. 6b). The relative contribution of RF due to Fe oxides is smaller than 6% because of less light-absorbing aluminosilicate minerals over the ocean but is still significant over polluted regions (about 10% of the RF due to BC). Compared to Fe aggregates, the relative contribution of RF due to Fe oxides is not significantly enhanced over the land, partly because most sulfates (pyrogenic Fe oxides) reside in the sub-micron (super-micron) particles (Fig. 7) even though the internal mixing of light-absorbing aerosols with sulfate can enhance the absorption of solar radiation\(^4\). Thus our model results support the hypothesis that light absorption due to aerosols is enhanced partially due to Fe oxides from pyrogenetic Fe sources in air polluted regions.

**Implications**

The light-absorbing Fe oxides may heat the atmosphere in polluted regions, while atmospheric processing of Fe oxides can reduce its heating capacity by transforming strongly light-absorbing Fe oxides (i.e., magnetite and hematite) to weakly absorbing species (i.e., ferrihydrite) during long-range transport. These nanoparticles are also important as bioavailable Fe which affects both human and ocean health. Thus, reducing Fe-containing aerosol emissions by proper equipment of the emission control device will benefit both climate and our health. As such, our results highlight the need for improving the process-based understanding of the effects of emission sources and chemical transformation of pyrogenetic Fe oxides on both optical properties and bioavailability. Since a rapid growth in energy consumption by the iron and steel industries in newly developing countries is projected in the next decades\(^4\), this is especially crucial for assessing the future impact of air quality changes on climate and ecosystems.

**Methods**

This study uses the Integrated Massively Parallel Atmospheric Chemical Transport (IMPACT)\(^1\) model to calculate the concentration of aerosols\(^1\) and reactive gaseous species\(^9\). The model is driven by the Goddard Earth Observation System–Forward Processing (GEOS-FP) assimilated meteorological data from of the NASA Global Modeling and Assimilation Office (GMAO)\(^3\) with a horizontal resolution of 2.0° × 2.5° and 59 vertical layers for the year of 2014. The three-dimensional model simulates the emissions, chemistry, transport, and deposition of major aerosol species, which includes BC, particulate organic matter (POM), mineral dust, sulfate, nitrate, ammonium, and sea spray aerosols, and their precursor gases. We calculated dust emissions using a physically-based emission scheme (Experiments 3 in Ito and Kok\(^2\)). A mineralogical map\(^3\) was used to estimate the emissions of Fe in aeolian dust\(^3\). Atmospheric processing of Fe-containing aerosols are predicted in 4 size bins (diameters: <1.26, 0.126–2.5, 2.5–5, and 5–20 μm)\(^1\). The transport and deposition of the sub-micron (super-micron) Fe particles from combustion sources are treated similarly to BC (super-micron dust)\(^2\). Transformation from...
insoluble Fe to labile Fe in aerosol water due to proton-promoted, oxalate-promoted, and photo-reductive Fe
dissolution schemes is dynamically simulated for the size-segregated mineral dust14 and combustion aerosols43.
Atmospheric concentrations of total and labile Fe in aerosols have been evaluated extensively on global and
regional scales14,29,54.

Figure 6. Ratio of annual mean of all-sky radiative forcing at the top of the atmosphere (%) in 2014. (a) (Magnetite)/
BC. (b) (Magnetite + super-micron combustion dust)/BC. This map was created in VCDAT version 4.1.2.

Table 3. Comparison of anthropogenic emissions between Fe and BC (Tg yr⁻¹) for each sector. Values in the
parentheses represent the percentage of each sector to total. Fe includes sub-micron and super-micron particles.

| Sector                           | Fe   | BC⁻⁵⁵ | Fe/BC |
|----------------------------------|------|-------|-------|
| Energy                           | 1.1(59%) | 1.2(16%) | 0.96  |
| Industrial                       | 0.7(39%) | 0.8(11%) | 0.89  |
| Residential, commercial, others  | 0.0(0%) | 3.7(50%) | 0.08  |
| Waste                            | 0.0(1%) | 0.1(1%) | 0.31  |
| Transport including shipping     | 0.0(1%) | 1.6(21%) | 0.01  |
| Total                            | 1.9  | 7.4   | 0.26  |

Table 4. Summary of parameters used to estimate Fe emissions in this study. FF stands for fossil fuel combustion. BF represents biofuel combustion. Fractions of BC (F_BC), POM (F_POM), the emissions with
diameters below 1 μm (F₁), and Fe content for sub-micron (F_sub-micron Fe) and super-micron (F_super-micron Fe)
particles are taken from compilation of measurements28,29,41,42,56–58. Fraction of particulate inorganic matter in
sub-micron size (F_PIM) is calculated using equation (2).
We updated the emission data from anthropogenic sources, following the emission data set distributed for the Intergovernmental Panel on Climate Change (IPCC) report. The monthly emission data sets for anthropogenic activities such as fossil fuel use and biofuel combustion are taken from the Community Emission Data System (CEDS)\textsuperscript{55}. The sub-micron particulate inorganic matter (PIM) emissions ($E_{(c, s, f, t)}^{PIM}$) from anthropogenic combustion sources are estimated using BC and organic carbon (OC) emissions and fraction of particulate inorganic matter ($F_{(s, f)}^{PIM}$) in sub-micron size, according to following:

$$E_{(c, s, f, t)}^{PIM} = E(c, s, f, t)_{BC} + E(c, s, f, t)_{POM} \times \frac{F(s, f)_{PIM}}{F(s, f)_{BC} + F(s, f)_{POM}} \quad (1)$$

$$F(s, f)_{PIM} = 1 - (F(s, f)_{BC} + F(s, f)_{POM}) \quad (2)$$

where $POM = 1.3 \times OC$, $c$ is country, $s$ is sector, $f$ is fuel (where applicable), and $t$ is time. Since the Fe content of aerosols from the iron and steel industries (26%) is higher than that from coal fly ash (6.4%), this sector is treated separately\textsuperscript{44,45}. The emissions of total particulate matter (TPM) are calculated by dividing those of PM$_1$ by $F_{(s, f)}$, which is the fraction of the emissions with diameters below 1 $\mu$m\textsuperscript{29,56–58}. The sub-micron (super-micron) Fe emissions are estimated by multiplying PM$_1$ (TPM–PM$_1$) by $F_{(s, f)}^{\text{sub-micron}}$ ($F_{(s, f)}^{\text{super-micron}}$), which is the Fe content for sub-micron (super-micron) particles. Table 4 summarizes the parameters used to estimate anthropogenic emissions of Fe from combustion sources in this study.

We estimate daily emissions of particulate matter and their precursor gases from open biomass burning which are derived from satellite measurements and a biogeochemical model\textsuperscript{59,60}. We upgraded the spatially explicit individual-based dynamic global vegetation model (SEIB-DGVM) to estimate the carbon density over vegetated land\textsuperscript{61,62}. We also updated the satellite measurements of the MODIS burned areas (MCD64A1)\textsuperscript{63}, fractional vegetation cover (MOD44B)\textsuperscript{64}, vegetation indices (MOD13A1)\textsuperscript{65}, and land cover data set (MCD12Q1)\textsuperscript{66}. Our estimates of fuel consumption showed reasonable agreement with the compilation of field measurements\textsuperscript{67} (Table 5). Over all, our estimates of Fe emissions were consistent with previous estimates (Table 6)\textsuperscript{28–30}. Fe oxides emitted from combustion sources largely reside in super-micron aerosols, which are also consistent with the measurements\textsuperscript{21} (Fig. 7).

We use an off-line radiative transfer model to calculate the optical properties of aerosols and their resulting RF, based on the Lawrence Livermore National Laboratory (LLNL) Solar Radiative Transfer Model (SRTM)\textsuperscript{68}. In this off-line radiative transfer model, the aerosols can be treated as either internally mixed or externally mixed in each size bin\textsuperscript{69}. We used daily averaged aerosol concentration together with four-hourly meteorological fields to
estimate instantaneously varying optical properties, which vary with water uptake by the aerosols. Four-hourly aerosol optical properties are calculated using a look-up table as a function of wavelength and size parameter. Five types of aerosols (i.e., carbonaceous aerosols from anthropogenic combustion, carbonaceous aerosols from open biomass burning, dust, sulfate, and sea salt) were assumed to be externally mixed in each size bin, while sulfate coated on each aerosol was internally mixed within each aerosol type and size bin. The refractive index for internally mixed aerosols is calculated based on the volume weighted mixture for each aerosol type and size bin. Here, we calculated the volume weighted averages of refractive indices as mixtures of the sub-micron Fe oxides and carbonaceous aerosols from anthropogenic combustion and open biomass burning, respectively, while those of the super-micron Fe-containing minerals and dust for the 3 super-micron size bins, were estimated separately in the radiative transfer model. Although common simplification to treat super-micron aerosols as spherical particles may contribute to additional uncertainty in the RF calculation for aspherical dust particles, the fly ashes from power plants, biomass burning, and iron and steel plants are often observed as spherical particles. The size-segregated Fe-containing aerosols can also be coated by sulfate as a result of condensation of sulfate gas, coagulation of each particle with sulfate particles, and through the formation of sulfate in cloud water which forms on different cloud condensational nuclei (CCN) particles. We treat Fe oxides as magnetite, hematite, and goethite separately to estimate the RF of each Fe oxide (Tables 1 and 2). Here, we use the same refractive indices for BC and magnetite as those used by Moteki et al. In sensitivity simulations, we used two data sets examined by Zhang et al. (cited as Amaury et al. (unpublished data) and Querry). The refractive index for hematite is the same as that used by Scanza et al. (cited as personal communication with A. H. M. J. Triaud, 2005). The refractive index for goethite is taken from Bedidi and Cervelle. The bulk densities of magnetite (5.17 g cm$^{-3}$), hematite (5.3 g cm$^{-3}$), and goethite (3.8 g cm$^{-3}$) are used in the radiative transfer model.

### Code and data availability

The off-line radiative transfer model and the output data generated during the current study are available from the corresponding author on reasonable request.

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Author Contributions
A.I. conceived the research, carried out the simulations, and analyzed the data. A.I., G.L. and J.E.P. contributed to writing the manuscript.

Additional Information
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