CASE-STUDY ANALYSIS OF CONCRETE LARGE-PANEL APARTMENT BUILDING AT PRE- AND POST LOW-BUDGET ENERGY-RENOVATION

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Abstract. The paper presents a case study analysis of low-budget renovation of a typical concrete large-panel apartment building. Focus is on the measurements and analyses of energy consumption, indoor climate, CO\textsubscript{2} concentration, air leakage rate, thermal transmittance of thermal bridges, and thermal transmittance of the building envelope before and after the renovation. Results indicate that the renovation project was generally successful, with delivered energy need decreasing by 40% and heating energy need decreasing by 50%. However, some key problems need to be solved to achieve full energy efficiency potential of the renovation works. Those critical problems are the performance (thermal comfort, heat recovery) of ventilation systems, thermal bridges of external wall/window jamb and economic viability. Currently, a major renovation is not economically viable, therefore financial assistance to the apartment owners’ associations is required to encourage them to undertake major renovations.

Keywords: major renovation, case study, energy performance, economic viability, large-panel apartment buildings.

Introduction

It is estimated (Economidou et al. 2011) that there is 25 billion m\textsuperscript{2} of useful floor space in the EU27, Switzerland and Norway. Residential buildings account for 75% of the total building stock. A substantial share of the buildings in Europe is older than 50 years. Data on typical heating consumption levels of the existing buildings by age show that the largest energy saving potential is associated with older buildings where in some cases buildings from the 1960s are worse than buildings from earlier decades. The impact of poorly insulated 1960s buildings on the building stock energy consumption was amplified by the large boom in construction in 1961–1990 when the housing stock more than doubled. A study conducted in Vilnius showed that relative heat consumption data in the prefabricated concrete large-panel apartment buildings vary more in the 1960s than in the 1970s, which indicates an increase in the quality of construction works as designing and building crews gained more experience (Juodis et al. 2009). Retrofitting of the existing housing stock is crucial as the environmental impact from new buildings is negligible compared to the impact from existing buildings (Uihlein, Eder 2010).

At the European level, it has been found that countries have very different potentials for energy savings, depending on the size and condition of the housing stock. In total, 88 TWh of heating energy could be saved annually in single family houses by the year 2020 and 58 TWh in apartment buildings, totalling 146 TWh of heating energy annually (Tuominen et al. 2012). The same study also pointed out the problem that energy efficiency improvements are a low priority for consumers. This is a major obstacle for achieving the maximum energy savings possible in retrofitting as the extent and selection of retrofitting measures depend mainly on the choices of inhabitants. Studies (Uihlein, Eder 2010) have shown that it is reasonable to ensure that at refurbishment in any case the best energy efficiency level possible is installed, not only for major renovations, but also for individual building elements. This is even more important as the residential building stock shows high inertia due to low stock turnover compared to other consumer goods such as household appliances or cars. Pilot renovation projects are helpful for inhabitants in their choices of retrofitting measures. Although the pilot projects generally involve one specific building, the general principles are transferable to other building types. This is especially true in Eastern Europe, where after the Second World War similar construction solutions were used in different countries. A survey of apartment buildings in Moscow concluded that the analysis of buildings is eased by the fact that there are only a few building types. On the other hand, in reality the used materials and their parameters can vary significantly also within the same building series. As the energy per-
performances of the different building types do not differ significantly, an adequate analysis can be made even by using only one building type (Paiho et al. 2013).

To encourage apartment owners’ associations to undertake major renovation, a pilot energy-renovation project “Healthy and Economical Home” was started in spring 2010 in cooperation with two financing institutions, the ministry, an energy company, the local municipality and a university. The global purpose was to carry out an example renovation of a typical apartment building to test renovation measures and to motivate occupants to renovate their apartment buildings. This study provides reliable data not available so far due to a small number of renovation cases where energy usage is measured before and after the renovation. The aim is to present a detailed overview of the plans and results of the apartment building energy renovation.

1. Methods

1.1. Analysed building

In the spring of 2010 an apartment building composed of prefabricated concrete large panel elements (type project I-464) (Table 1, Fig. 1) was selected as the pilot object. The type of construction shown in Figure 1 was very typical in Eastern Europe during the period 1961–1990.

![Fig. 1. Picture of the building before (top) and after (bottom)](image)

The type of construction shown in Figure 1 was very typical in Eastern Europe during the period 1961–1990.

Table 1. Characteristics of the renovated building

| Characteristic                                      | Value   |
|----------------------------------------------------|---------|
| Construction year                                  | 1966    |
| Number of floors                                   | 5       |
| Net area, m²                                        | 3519    |
| Heated area, m²                                     | 2968    |
| Number of apartments                               | 60      |
| Compactness: Building envelope, m² / volume, m⁻³    | 0.35    |

For example, 2 million m² of prefabricated concrete large panel apartment buildings were built during that period in Estonia (Kalamees et al. 2009) and 4.7 million m² in Vilnius, Lithuania (Ignatavičius et al. 2007).

1.2. Measurements

Measurements concentrated on the indoor climate and energy performance before and after renovation:

- the use of heat and electricity was determined on a monthly basis;
- the indoor temperature and relative humidity (RH) were measured with data loggers at 1 h intervals over a two year period in four apartments;
- indoor CO₂ concentration was measured during a two week period in three bedrooms as an indicator of the indoor air quality;
- air leakages of the building envelope were measured with the standardised fan pressurisation method (EN 13829 2000).

1.3. Criteria for renovation solutions

The main goals were set before the designing started in 2010. The aims for the renovation were:

- to select renovation solutions that offer maximum repeatability for similar apartment buildings;
- to achieve the same energy efficiency (expressed as Primary Energy, PE) as are the requirements for new apartment buildings: PE ≤ 150 kWh/(m²·a);
- to decrease heating energy use by > 50%;
- to reach the indoor climate category II (EN 15251 2007);
- cost of renovation works ≤ 160 €/heated m²;
- air leakage rate $q_{50} < 3$ m³/(h·m²);
- to extend service life of the building after the renovation by 50 years;
- to receive apartment owners’ association’s approval of the designed renovation solutions.

PE usage for different renovation solutions was calculated according to a unified calculation methodology and with the standard usage (Estonian Government’s Ordinance No. 258 2007). PE takes into account the use of primary energy (space heating, ventilation, domestic hot water, all electricity (including lighting and appliances (plug loads)) and environmental impact according to the energy source, with weighting factors: district heating 0.9; fossil fuel (gas, coal etc.) 1.0; electricity 1.5 (2.0...
The renovation measures analysed are shown in Table 2. The potential cost of the renovation was calculated on the basis of the estimates of the construction company.

Heat recovery from the ventilation system was solved with an exhaust air heat pump (Fig. 2) with an estimated annual average coefficient of performance COP = 3.0. Supply air enters through fresh air radiators being filtered and heated at the same time. Extract air moves through ventilation shafts to an air handling unit cooling coil where heat is transferred with a brine loop to water to a water heat pump. The heat pump provides heat to the domestic hot water and the space heating system.

1.4. Simulations

Energy performance of potential renovation solutions was simulated using the energy and indoor climate simulation program IDA Indoor Climate and Energy 4.5 (IDA-ICE 2014). This software is validated (Kropf, Zweifel 2001; Moinard, Guyon 2000; Travesi et al. 2001) and used for scientific modelling in research papers (Arumägi, Kalamees 2014; Kuusk et al. 2014). Software allows the modelling of a multi-zone building, internal and solar loads, outdoor climate, HVAC systems, dynamic simulation of heat transfer and air flows.

The building was simulated as a 21-zone (Fig. 3) half building model because the building is symmetrical. Different zones were each apartment, staircase and a cellar. The second, third and fourth floor as identical were simulated by one floor and the results were multiplied.

Internal heat gains in the renovation measures were as follows:  
- inhabitants: 15.8 kWh/(m²·a). Heat from inhabitants was counted from 3.0 W/m² and 80W/person using the ISO 7730:2005 (2009) standard (1.2 met, 0.7 clo);
– appliances, equipment: 15.8 kWh/(m²·a). Heat from appliances and equipment was counted using 3.0 W/m² and the usage rate was 0.6;
– lighting: 7.0 kWh/(m²·a). Heat from lighting was counted using 8 W/m² and the usage rate was 0.1.

Ventilation airflow was 1.0 l/s for a bedroom and a living room m² for renovation packages representing indoor climate category II (EN 15251 2007). The use of domestic hot water (DHW) is 45 l/(pers.×day). The number of occupants per apartment was estimated to be the number of bedrooms +1.

An Estonian Test Reference Year (Kalamees, Kurtnitski 2006) was used for outdoor climate conditions (design outdoor temperature for heating –21 ºC, heating degree days at t°17 ºC: 4160 ºC·d).

1.5. Renovation costs

The potential cost of the renovation is shown in Table 2.

- local municipality grant for renovation loan self-finance (19 173 €);
- renovation grant 35% of the cost of the energy efficiency works (124 220 €);
- grant for the installation of the ventilation system with heat recovery (63 911 €);
- grant for the installation of individual space heating measuring system (12 000 €).

In addition to direct grants, the renovation loan interest rate for the pilot project was 1%. The average interest rate for renovation loans in Estonia is 4% (Fund KredEx 2014). Global cost calculations were made for two renovation cases: with grants and without grants. In the version without grants, the renovation loan interest was taken into account with the typical interest rate of 4%. Maintenance fund payment before renovation was 0.3 € per apartment m² and after renovation 0.1 € per apartment’s m².

Energy prices before the renovation were the starting point of our economic calculations. In 2010 energy prices were as follow: electricity 87 €/kWh, district heating 64 €/kWh and natural gas 38 €/kWh. Energy prices escalation for electricity and natural gas is based on Statistics Estonia (2014) database. District heating price escalation is based on the data received from district the heating company. Average escalation in 2007–2013 for electricity and natural gas was 9% and for district heating 6%.

2. Results

2.1. Selection of renovation package

The renovation packages analysed are shown in Table 3. Maximum repeatability criterion was fulfilled with the selection of the pilot object. Selected building type is widespread in Estonia, accounting for 48% of the total surface area of the prefabricated concrete large panel apartment buildings and 17% of the total surface area of all apartment buildings. All proposed renovation measures meets the renovation cost criterion (cost < 160 €/heated m²). A decision was made considering primary energy (PE) use. Only packages containing replacement of all windows met the set criterion PE ≤ 150 kWh/(m²·a). The selected package was R₃E₂W₁ (30 cm EPS above the roof, 15 cm GE-EPS on the external wall and replacing only old windows). Because before the renovation already 75% of windows had been replaced, it was decided to change only the remaining 25%. The solution was selected because it is more comfortable from the point of view of inhabitants’ living conditions during the renovation (less work inside the apartment) and prevented opposition by apartment owners who were against replacing already changed windows. The PE usage criterion was planned to be achieved with the usage of a heat pump with a higher COP than 3.0, as obtained in the estimated energy performance calculations.

Thermal transmittance of the building envelope and the linear thermal transmittance of thermal bridges before and after renovation are shown in Table 4.

Thermal transmittance of the external walls and of the roof was significantly reduced. Because renovation was done on a low budget, the usage of thicker layers of additional insulation on the external wall and the roof

Table 3. Analysed renovation packages (grey shaded is the realised renovation packages)

| Renovation package* | PE, kWh/(m²·a) | Cost, € | Cost, €/heated m² |
|---------------------|----------------|--------|-----------------|
| R₁E₁W₁              | 155            | 334 000| 113             |
| R₁E₂W₁              | 154            | 338 000| 114             |
| R₁E₁W₁              | 153            | 340 000| 115             |
| R₁E₁W₂              | 148            | 437 000| 147             |
| R₁E₁W₂              | 147            | 441 000| 149             |
| R₁E₁W₂              | 146            | 443 000| 149             |
| R₁E₂W₁              | 153            | 350 000| 118             |
| R₂E₁W₁              | 145            | 453 000| 153             |
| R₂E₂W₁              | 144            | 457 000| 154             |
| R₂E₂W₂              | 144            | 459 000| 155             |
was withdrawn. The largest unused potential of the reduction of thermal transmittance of the building envelope is in the replacement of windows. The full potential was not realised because not all the windows were replaced. Stairwell doors were not replaced during renovation. Given a very small share of the total building envelope area, not changing the existing stairwell doors is not relevant in terms of overall energy usage.

Linear thermal transmittance of thermal bridges in the external wall/internal wall and the external wall/external floor junctions was practically removed. Linear thermal transmittance of thermal bridges in the external wall/external wall and the external wall/roof junctions was significantly reduced. Problem areas are the external wall/balcony floor junctions and the external wall/window where the linear thermal transmittance of thermal bridges increased after renovation because windows stayed in their original place and were not moved into the insulation layer.

### 2.2. Energy performance

The usage of primary energy decreased by 20%: before the renovation it was 212 kWh/(m²·a) and after renovation 168 kWh/(m²·a). Figure 4 shows measured delivered energy usage before the renovation (216 kWh/(m²·a)), calculated expected delivered energy usage (103 kWh/(m²·a)), calculated expected heat pump (HP) heating energy production, measured delivered energy usage after renovation (132 kWh/(m²·a)), and measured heat pump heating energy production.

Delivered space heating need decreased by 49%, delivered energy need for heating domestic hot water decreased by 40%. The main reason for failure to achieve calculated energy performance was the heat production of the exhaust air heat pump. It was estimated that the heat pump would produce 260 MWh annually and the heat pump would cover total energy need for heating domestic hot water. Actual production was 170 MWh and the heat pump covered 40% of the energy need for domestic hot water heating.

### 2.3. Indoor climate

There was a significant difference in the temperature measurement results before and after the renovation. Indoor temperature measurement results in accordance with indoor climate categories (EN 15251 2007) are shown in Figure 5. Before renovation apartments were overheated, especially during cold periods. There was no significant difference in the RH or moisture excess before and after renovation. The RH was correlated with the outdoor air temperature and dropped below 20% during the coldest period.

CO₂ concentration was measured in three apartments in a two-week period. Measurement results are shown for night time (23:00–07:00) before and after the renovation, see Figure 6. Results indicate that the CO₂ levels in the bedrooms decreased but the indoor climate criterion set before the renovation was not achieved.

Before the renovation, the bedroom indoor air CO₂ concentration met the indoor climate class II requirements 20% of the time and class III requirements 53% of the time. After the renovation, the CO₂ concentration met the class II requirements 66% of the time and the class III requirements 97% of the time.

Airtightness of the building envelope before and after the renovation was measured in eight apartments. Before renovation three apartments had old 2-frame wooden

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**Table 4. Thermal properties of the building envelope**

| Thermal transmittance of building envelope U, W/(m²·K) | Before renovation | After renovation |
|-----------------------------------------------------|-------------------|------------------|
| walls $U_{wall}$                                    | 0.90              | 0.17             |
| roof $U_{roof}$                                     | 0.70              | 0.11             |
| windows $U_{window}$                                | 1.85              | 1.40             |

| Linear thermal transmittance of thermal bridges Y, W/(m·K) | Before renovation | After renovation |
|----------------------------------------------------------|-------------------|------------------|
| external wall/external wall                              | 0.70              | 0.15             |
| external wall/internal wall                              | 0.30              | 0.01             |
| external wall/internal floor                             | 0.50              | 0.01             |
| external wall/basement ceiling                           | 0.50              | 0.06             |
| external wall/roof                                      | 0.55              | 0.20             |
| external wall/window                                     | 0.13              | 0.20             |
| external wall/balcony floor                              | 0.20              | 0.45             |

Air leakage rate $q_{50}$, m³/(h·m²) 5.1 4.9

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**Fig. 4. Energy performance before and after renovation**

**Fig. 5. Measurement results of indoor air temperature depending on the outdoor air temperature before and after the renovation**
windows that were a part of a passive stack ventilation system. During renovation all old windows were replaced with new PVC 3-layer glass windows with one frame. The results of airtightness measurements are shown in Figure 7.

Airtightness of the building envelope improved only in apartments where windows were replaced during renovation, decrease of average air leakage rate was 26%. With existing PVC windows, average air leakage rate increased by 18%. Only one apartment out of the measured eight met the set post-renovation airtightness criterion of air leakage rate $q_{50} < 3 \, \text{m}^{3}/(\text{h} \cdot \text{m}^{2})$.

2.4. Renovation costs

Total cost of renovation works met the criterion set before renovation ($\leq 160 \, \text{€}/\text{heated m}^{2}$) but actual costs were 28% higher than planned. Estimates were that renovation cost would be 119 €/heated m$^{2}$, actual costs were 152 €/heated m$^{2}$ (Table 3, Table 5).

The main reason why the predictions were inaccurate was the cost of external wall additional insulation, which was 62% higher than estimated. One of the reasons is the fact that the assessments of the construction costs were made almost two years before the renovation, and the costs had risen in the meantime.

Annual costs per apartment m$^{2}$ without renovation and with renovation are shown in Figure 8. Costs are calculated as average for a loan period (20 years) and with the energy price escalation.

Results show that the current pilot project with grants was economically reasonable for inhabitants and annual total costs per apartment m$^{2}$ were 3.4 € lower than without renovation. If the same renovation works are done without grants, then the annual costs per apartment m$^{2}$ would be 4.1 € higher than without renovation. Therefore, financial assistance to apartment owners’ associations is required to perform major renovation.

3. Discussion

PE consumption was higher than estimated. The main reason is the performance of the heat recovery system with the exhaust air heat pump. It was estimated that the heat pump would cover total energy need for heat-

| Renovation measure | Expected cost (€) | Expected cost (€/heated m$^{2}$) | Actual cost (€) | Actual cost (€/heated m$^{2}$) |
|--------------------|------------------|----------------------------------|----------------|----------------------------------|
| Insulation of roof | 32 000 | 11 | 40 700 | 14 |
| Insulation of external walls (with foundation walls) | 83 000 | 28 | 132 500 | 45 |
| Replacement of old windows | 21 000 | 7 | 16 500 | 6 |
| Removing the concrete layer around the windows | 7000 | 2 | – | – |
| Renovation of balconies | 32 000 | 11 | 48 300 | 16 |
| Renovation of heating system | 96 000 | 32 | 100 000 | 34 |
| Renovation of ventilation system | 83 000 | 28 | 100 000 | 34 |
| Installation of individual heating measuring system | – | – | 12 000 | 4 |
| Total | 354 000 | 119 | 450 000 | 152 |
ing domestic hot water. Measurements after renovation showed that the heat pump covered 40% of the energy need for domestic hot water heating. Identification of the exact causes requires further investigation of the system, however, the system did not started working as expected.

That kind of system was a new solution for renovation of apartment buildings in Estonia. Previous studies about retrofitting have concluded that with the use of innovative systems, they will probably not work exactly as predicted (Branco et al. 2004). Subsequent research in Estonia (Kõiv et al. 2012) has shown that the estimation of the coefficient of the performance of an exhaust air heat pump was correct (COP = 3.0).

One of the reasons for failure to achieve the PE usage criterion was that thermal bridges were not eliminated in the external wall/window junction. Calculations showed that in the current case the heat loss through thermal bridges around the windows and the heat loss through additionally insulated external walls are at a similar scale (Ilomets, Kalamees 2013). In energy calculations it was estimated that the linear thermal transmittance of thermal bridges in the external wall/window junction would be diminished. The reality was that the linear thermal transmittance of thermal bridges in the external wall/window junction increased because not all the windows were replaced and therefore not all kept their original position. That decision was made by the apartment owners’ association who had to approve designed renovation solutions. Previous studies have shown that the opinion of the decision maker has a major impact on the results and owner’s care mainly about having a short payback period (Medineckienė, Björk 2011). The apartment owners found it too expensive to replace all windows and move them into the insulation layer. The back-up plan to place additional insulation to the window jamb was not possible in the extent that was planned. Removing part of the concrete layer surrounding the windows and replacing that with a layer of insulation was not possible. Therefore it was impossible to install a sufficient layer of insulation to the window jamb, but the thermal bridge on the external wall/window junction is very sensitive to the thickness of insulation on a window’s jamb (Ilomets, Kalamees 2013).

Regarding to the PE usage criterion, the problem was that airtightness of the building envelope was not improved. In the energy calculations, it was estimated that the air leakage rate after renovation would be \( q_{50} < 3 \text{ m}^3/(\text{h m}^2) \). The actual air leakage rate after renovation was \( q_{50} = 5 \text{ m}^3/(\text{h m}^2) \). Measurements after the renovation showed that airtightness improved only in the apartments where windows were replaced, which was the expected result. As studies have shown, replacing of old draughty windows with modern sealed windows will reduce the background infiltration rate by the order of 0.1 ach to 0.3 ach (Ridley et al. 2003). If windows were not replaced, the air leakage rate would actually increase. That was probably caused by new openings for ventilation inlets behind the fresh air radiators. There was a gap around the air inlet sleeve and the external wall that is difficult to tighten.

Measurements of the indoor temperature before and after the renovation show improvements due to better adjustment of a new heating system. Overheating is avoided during colder periods. The problem is that considering the \( \text{CO}_2 \) concentration, the indoor climate category II criterion was not achieved. After the renovation, the bedroom indoor air \( \text{CO}_2 \) concentration met indoor climate class II requirements only for 66% of the measurements time. The main reason for that is the reduction of the airflow in the ventilation system by the inhabitants. The design airflow for the ventilation system was 2.1 m\(^3\)/s. After renovation the measured airflow was 1.43 m\(^3\)/s. Fan speeds in two air handling units were reduced by the inhabitants because of the problems with thermal comfort caused by fresh air radiators. In the spring and the autumn, the air that enters the radiator does not heat up sufficiently. The reason lies in the fact that an insulated building does not need substantial heating in spring and autumn and radiators are at a low temperature and the entering cold air does not heat up, causing thermal discomfort. Another problem which is associated with renovation of the ventilation system is the airtightness of the ventilation shafts. Existing ventilation shafts were not airtight and new ventilation ducts were placed in the existing air shafts to ensure the required airtightness of ventilation ducts. Installation of new ducts was not always successful since existing shafts joints were not perfectly aligned. In some shafts it was not possible to insert the new duct to the entire length of the existing shaft. So the airtightness of all the exhaust ducts was not ensured and therefore it is difficult to ensure design exhaust airflow from all apartments.

From an economic point of view, the pilot project was successful. Apartment owners’ annual costs were reduced and the cost of renovation works criterion was fulfilled. Annual cost reduction was achieved due to grants for renovation works. Without the grants, the annual cost after the major renovation would be higher for apartment owners and that would make it difficult for apartment owners’ association to make a decision for major renovation as one of the main priorities for apartment owners is a short payback period (Medineckienė, Björk 2011). Such an approach is sufficient for choosing renovation solutions. The effectiveness of retrofitting an apartment building should be evaluated from various perspectives: energy conservation, improved state of the building structures, prolonged lifetime of the building, and an increase in market value taken into account (Zavadskas et al. 2008). Some studies have shown that renovated buildings are less sensitive to fluctuations in the heat price than those where renovation is not performed. Despite constant loan payments, renovated buildings will be in a better position in the sense of the overall payment rather than non-renovated buildings (Biekša et al. 2011). This
study showed that although the impact of the heat price on the overall payment is significantly diminished after the renovation, the overall payment would be higher than with non-renovated buildings if no grants are available for apartment owners’ associations. The reason is that existing apartment buildings have natural ventilation systems which need replacement with mechanical ventilation system with heat recovery to ensure the indoor climate quality. Fans and, depending on the solution, exhaust air heat pumps or heating coils in apartment based air handling units need electricity, therefore overall electricity consumption of the apartment buildings increases. Adding a loan payment and considering the fact that electricity is significantly more expensive than district heating, the reduction of the heating energy need does not cover the loan payments and increased electricity bills. Grants for renovation works are required to guide inhabitants to choose a better indoor climate and make the decision to install a proper ventilation system which seems costly at first sight.

Conclusions

The renovation project was generally successful, but some of the goals set before the renovations were not achieved. The construction cost target was fulfilled, but the energy consumption and indoor climate goals remained unfulfilled. Success of the renovation project depends on the detailed design of the renovation solutions and ability to direct the apartment owners to make the right choices. Although at large the renovation was successful, as the heating costs were reduced, indoor climate and aesthetics improved, there were some key issues that led to failure to achieve some of the targets set before renovation:

- thermal comfort of the ventilation system needs to be improved. Otherwise inhabitants will block the ventilation system work and the designed indoor climate is not achieved;
- thorough information and explanation for apartment owners is required to encourage them to make decisions that may seem costly at first sight, but are required to achieve the full energy efficiency potential of renovation works.

Overlooking specific problems encountered in this renovation project, it can be concluded that with major renovation:

- the energy efficiency levels of new apartment buildings are achievable;
- the financial assistance to apartment owners’ associations is required to perform major renovation.

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