The QGP dynamics in relativistic heavy-ion collisions

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Abstract. The dynamics of partons and hadrons in relativistic nucleus-nucleus collisions is
analyzed within the novel Parton-Hadron-String Dynamics (PHSD) transport approach, which is
based on a dynamical quasiparticle model for the partonic phase (DQPM) including a dynamical
hadronization scheme. The PHSD model reproduces a large variety of observables from SPS
to LHC energies, e.g. the quark-number scaling of elliptic flow, transverse mass and rapidity
spectra of charged hadrons, dilepton spectra, open and hidden charm production, collective flow
coefficients etc., which are associated with the observation of a sQGP. The 'highlights' of the
latest results on collective flow are presented and open questions/perspectives are discussed.

1. Introduction

The dynamics of the early universe in terms of the 'Big Bang' may be studied experimentally by
ultrarelativistic nucleus-nucleus collisions at Relativistic-Heavy-Ion-Collider (RHIC) or Large-
Hadron-Collider (LHC) energies in terms of 'tiny bangs' in the laboratory. The Power Spectrum
extracted from the Cosmic Microwave Background Radiation has some analogy to the Fourier
components of particles in the azimuthal angular distribution [1]. The discovery of large
azimuthal anisotropic flow at RHIC has provided conclusive evidence for the creation of dense
partonic matter in ultra-relativistic nucleus-nucleus collisions. With sufficiently strong parton
interactions, the medium in the collision zone can be expected to achieve local equilibrium and
exhibit approximately hydrodynamic flow [2, 3, 4]. The momentum anisotropy is generated
due to pressure gradients of the initial "almond-shaped" collision zone produced in noncentral
collisions [2, 3]. The azimuthal pressure gradient extinguishes itself soon after the start of the
hydrodynamic evolution, so the final flow is only weakly sensitive to later stages of the fireball
evolution. The pressure gradients have to be large enough to translate an early asymmetry
in density of the initial state to a final-state momentum-space anisotropy. In these collisions
a new state of strongly interacting matter is created, being characterized by a very low shear
viscosity $\eta$ to entropy density $s$ ratio, $\eta/s$, close to a nearly perfect fluid [5, 6, 7]. Lattice QCD
(lQCD) calculations [8, 9] indicate that a crossover region between hadron and quark-gluon
matter should have been reached in these experiments.

An experimental manifestation of this collective flow is the anisotropic emission of charged
particles in the plane transverse to the beam direction. This anisotropy is described by the
different flow parameters defined as the proper Fourier coefficients $v_n$ of the particle distributions
in azimuthal angle $\psi$ with respect to the reaction plane angle $\Psi_{RP}$. At the highest RHIC collision

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energy of $\sqrt{s_{NN}} = 200$ GeV, differential elliptic flow measurements $v_2(p_T)$ have been reported for a broad range of centralities or number of participants $N_{\text{part}}$. For $N_{\text{part}}$ estimates, the geometric fluctuations associated with the positions of the nucleons in the collision zone serve as the underlying origin of the initial eccentricity fluctuations. These data are found to be in accord with model calculations that an essentially locally equilibrated quark gluon plasma (QGP) has little or no viscosity [10, 11, 12]. Collective flow continues to play a central role in characterizing the transport properties of the strongly interacting matter produced in heavy-ion collisions at RHIC and even LHC and shed some light on the scale of initial state fluctuations.

The Beam-Energy-Scan (BES) program proposed at RHIC [13] covers the energy interval from $\sqrt{s_{NN}} = 200$ GeV, where partonic degrees of freedom play a decisive role, down to the AGS energy of $\sqrt{s_{NN}} \approx 5$ GeV, where most experimental data may be described successfully in terms of hadronic degrees-of-freedom, only. Lowering the RHIC collision energy and studying the energy dependence of anisotropic flow allows to search for the possible onset of the transition to a phase with partonic degrees-of-freedom at an early stage of the collision as well as possibly to identify the location of the critical end-point that terminates the cross-over transition at small quark-chemical potential to a first order phase transition at higher quark-chemical potential [14, 15].

This contribution aims to summarize excitation functions for different harmonics of the charged particle anisotropy in the azimuthal angle at midrapidity in a wide transient energy range, i.e. from the AGS to the top RHIC energy. The first attempts to explain the preliminary STAR data with respect to the observed increase of the elliptic flow $v_2$ with the collision energy have failed since the traditional available models did not allow to clarify the role of the partonic phase [16]. In this contribution we investigate the energy behavior of different flow coefficients, their scaling properties and differential distributions (cf. Ref. [17, 18]). Our analysis of the STAR/PHENIX RHIC data – based on recent results of the BES program – will be performed within the Parton-Hadron-String Dynamics (PHSD) transport model [19] that includes explicit partonic degrees-of-freedom as well as a dynamical hadronization scheme for the transition from partonic to hadronic degrees-of-freedom and vice versa. For more detailed descriptions of PHSD and its ingredients we refer the reader to Refs. [20, 21, 22, 23].

2. Results for collective flows

We directly continue with the results from PHSD in comparison with other approaches and the available experimental data.

2.1. Elliptic flow

The largest component, known as elliptic flow $v_2$, is one of the early observations at RHIC [24]. The elliptic flow coefficient is a widely used quantity characterizing the azimuthal anisotropy of emitted particles,

$$v_2 = \langle \cos(2\psi - 2\Psi) \rangle = \left< \frac{p_x^2 - p_y^2}{p_x^2 + p_y^2} \right>,$$

where $\Psi_{RP}$ is the azimuth of the reaction plane, $p_x$ and $p_y$ are the $x$ and $y$ component of the particle momenta and the brackets denote averaging over particles and events. This coefficient can be considered as a function of centrality, pseudorapidity $\eta$ and/or transverse momentum $p_T$. We note that the reaction plane in PHSD is given by the $(x-z)$ plane with the $z$-axis in beam direction. The reaction plane is defined as a plane containing the beam axes and the impact parameter vector.

We recall that at high bombarding energies the longitudinal size of the Lorentz contracted nuclei becomes negligible compared to its transverse size. The forward shadowing effect then becomes negligible and the elliptic flow fully develops in-plane, leading to a positive value of
Figure 1. (l.h.s.) The average elliptic flow $v_2$ of charged particles at midrapidity for minimum bias collisions at $\sqrt{s_{NN}} = 9.2, 19.6, 62.4$ and $200$ GeV (stars) is taken from the data compilation of Ref. [16]). The corresponding results from different models are compared to the data and explained in more detail in the text. (r.h.s.) Evolution of the parton fraction of the total energy density at midrapidity (from PHSD) for different collision energies at impact parameters $b = 1$ fm and $10$ fm.

the average flow $v_2$ since no shadowing from spectators takes place. In Fig. 1 (l.h.s.) the experimental $v_2$ data compilation for the transient energy range is compared to the results from various models: PHSD, HSD as well as from UrQMD amnd AMPT as included in Ref. [16]. The centrality selection is the same for the data and the various models.

The HSD [27, 28, 29] and UrQMD (Ultra relativistic Quantum Molecular Dynamics) [25, 26] are the hadron-string models and, thus, essentially provide information on the contribution from the hadronic phase [30]. As seen in Fig. 1, being in agreement with data at the lowest energy $\sqrt{s_{NN}} = 9.2$ GeV, the HSD and UrQMD model results then either remain approximately constant or decrease slightly with increasing $\sqrt{s_{NN}}$ and do not reproduce the rise of $v_2$ with the collision energy as seen experimentally.

The AMPT (A Multi Phase Transport model) [31, 32] uses initial conditions of a perturbative QCD (pQCD) inspired model which produces multiple minijet partons according to the number of binary initial nucleon-nucleon collisions. The string melting (SM) version of the AMPT model (labeled in Fig. 1 as AMPT-SM) is based on the idea of `melting’ of hadrons or strings above the critical energy density of $\varepsilon \sim 1$ GeV/fm$^3$ to massless partons. The subsequent scattering of the quarks are based on a parton cascade with (adjustable) effective cross sections which are significantly larger than those from pQCD [31, 32]. Once the partonic interactions terminate, the partons hadronize through the mechanism of parton coalescence.

We find from Fig. 1 that the interactions between the minijet partons in the AMPT model indeed increase the elliptic flow significantly as compared to the hadronic models UrQMD and HSD. An additional inclusion of interactions between partons in the AMPT-SM model gives rise to another $20\%$ of $v_2$ bringing it into agreement (for AMPT-SM) with the data at the maximal collision energy. So, both versions of the AMPT model indicate the importance of partonic contributions to the observed elliptic flow $v_2$ but do not reproduce its growth with $\sqrt{s_{NN}}$. The authors address this result to the partonic-equation-of state (EoS) employed which corresponds to a massless and noninteracting relativistic gas of particles. This EoS deviates severely from the results of lattice QCD calculations for temperatures below 2-3 $T_c$. Accordingly, the degrees-of-freedom are propagated without self-energies and a parton spectral function.
The PHSD approach incorporates the latter medium effects in line with a IQCD equation-of-state and also includes a dynamical hadronization scheme based on covariant transition rates. As has been demonstrated in Refs. [17, 18] and explicitly shown in Fig. 1 (l.h.s.), the elliptic flow $v_2$ from PHSD (red line) agrees with the data from the STAR collaboration and clearly shows an increase with bombarding energy.

An explanation for the increase in $v_2$ with collision energy is provided in Fig. 1 (r.h.s.) where the partonic fraction of the energy density is shown with respect to the total energy where the energy densities are calculated at mid-rapidity. As discussed above the main contribution to the elliptic flow is coming from an initial partonic stage at high $\sqrt{s}$. The fusion of partons to hadrons or, inversely, the melting of hadrons to partonic quasiparticles occurs when the local energy density is about $\varepsilon \approx 0.5 \text{ GeV/fm}^3$. As follows from Fig. 1, the parton fraction of the total energy goes down substantially with decreasing bombarding energy while the duration of the partonic phase is roughly the same. The maximal fraction reached is the same in central and peripheral collisions but the parton evolution time is shorter in peripheral collisions. One should recall again the important role of the repulsive mean-field for partons in the PHSD model that leads to an increase of the flow $v_2$ with respect to HSD predictions (cf. also Ref. [33]). We point out in addition that the increase of $v_2$ in PHSD relative to HSD is also partly due to the higher interaction rates in the partonic medium because of a lower ratio of $\eta/s$ for partonic degrees-of-freedom at energy densities above the critical energy density than for hadronic media below the critical energy density [34, 35, 36]. The relative increase in $v_3$ and $v_4$ in PHSD essentially is due to the higher partonic interaction rate and thus to a lower ratio $\eta/s$ in the partonic medium which is mandatory to convert initial spacial anisotropies to final anisotropies in momentum space [37].

2.2. Higher-order flow harmonics

Depending on the location of the participant nucleons in the nucleus at the time of the collision, the actual shape of the overlap area may vary: the orientation and eccentricity of the ellipse defined by the participants fluctuates from event to event. Note, however, that by averaging over many events an almond shape is regained for the same impact parameter.

Recent studies suggest that fluctuations in the initial state geometry can generate higher-order flow components [1, 10, 38, 39]. The azimuthal momentum distribution of the emitted
particles is commonly expressed in the form of a Fourier series as

$$E \frac{d^3 N}{d^3 p} = \frac{d^2 N}{2\pi p_T dp_T dy} \left( 1 + \sum_{n=1}^{\infty} 2v_n(p_T) \cos(n(\psi - \Psi_n)) \right), \quad (2)$$

where $v_n$ is the magnitude of the $n$-th order harmonic term relative to the angle of the initial-state spatial plane of symmetry $\Psi_n$. The anisotropy in the azimuthal angle $\psi$ is usually characterized by the even order Fourier coefficients with the reaction plane $\Psi_n = \Psi_{RP}$: $v_n = \langle \exp(in(\psi - \Psi_{RP})) \rangle$ ($n = 2, 4, ...$), since for a smooth angular profile the odd harmonics vanish. For the odd components, e.g. $v_3$, one should take into account event-by-event fluctuations with respect to the participant plane $\Psi_3 = \Psi_{PP}$. We calculate the $v_3$ coefficients with respect to $\Psi_3$ as: $v_3(\Psi_3) = \langle \cos(3(\psi - \Psi_3)) \rangle / Res(\Psi_3)$. The event plane angle $\Psi_3$ and its resolution $Res(\Psi_3)$ are calculated as described in Ref. [40] via the two-sub-events method [41, 42].

In Fig. 2 we display the PHSD and HSD results for the anisotropic flows $v_3$ and $v_4$ of charged particles at mid-pseudorapidity for Au+Au collisions as a function of $\sqrt{s_{NN}}$. The pure hadronic model HSD gives $v_3 \approx 0$ for all energies. Accordingly, the results from PHSD (dashed red line) are systematically larger than from HSD (dashed blue line). Unfortunately, our statistics are not good enough to allow for more precise conclusions. The hexadecupole flow $v_4$ stays almost constant in the energy range $\sqrt{s_{NN}} \geq 10$ GeV; at the same time the PHSD gives noticeably higher values than HSD which we attribute to the higher interaction rate in the partonic phase.

Alongside with the integrated flow coefficients $v_n$ the PHSD model reasonably describes their distribution over centrality or impact parameter $b$. A specific comparison at $\sqrt{s_{NN}} = 200$ GeV is shown in Fig. 3 for $v_2, v_3$ and $v_4$. While $v_2$ increases strongly with $b$ up to peripheral collisions, $v_3$ and $v_4$ are only weakly sensitive to the impact parameter. The triangular flow is always somewhat higher than the hexadecupole flow in the whole range of impact parameters $b$.

2.3. Ratios of different harmonics

Different harmonics can be related to each other. In particular, hydrodynamics predicts that $v_4 \propto (v_2)^2$ [44]. The simplest prediction that $v_4 = 0.5(v_2)^2$ is given for a boosted thermal freeze-out distribution of an ideal fluid, Ref. [45]. In this work it was noted also that $v_4$ is largely
generated by an intrinsic elliptic flow (at least at high $p_T$) rather than the fourth order moment of the fluid flow. This is a motivation for studying the ratio $v_4/(v_2)^2$ rather than $v_4$ alone. As is seen in Fig. 4 (r.h.s.), indeed the ratio calculated within the PHSD model is practically constant in the whole range of $\sqrt{s_{NN}}$ considered but significantly deviates from the ideal fluid estimate of 0.5. In contrast, neglecting dynamical quark-gluon degrees-of-freedom in the HSD model, we obtain a monotonous growth of this ratio.

The dependence of the $v_4/(v_2)^2$ ratio versus the number of participants $N_{\text{part}}$ is shown in Fig. 4 for charged particles produced in Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV. The PHSD results are roughly in agreement with the experimental data points from Ref. [47] but overshoot them for $N_{\text{part}} \sim 250$.

As pointed out before, the ratio $v_4/(v_2)^2$ is sensitive to the microscopic dynamics. In this respect we show the transverse momentum dependence of the ratio $v_4/(v_2)^2$ in Fig. 4 for charged particles produced in Au$+$Au collisions at $\sqrt{s_{NN}} = 200$ GeV (20-30% centrality). The PHSD results are quite close to the experimental data points from Ref. [47], however, overestimate the measurements by up to 20%. The hydrodynamic results – plotted in the same figure – significantly underestimate the experimental data and noticeably depend on viscosity. The partonic AMPT model [48] discussed above also predicts a slightly lower ratio than the measured one, however, being in agreement with both hydrodynamic models for $p_T \sim 0.8$ GeV/c.

Our interpretation of Fig. 4 (r.h.s.) is as follows: the data are not compatible with ideal hydrodynamics and a finite shear viscosity is mandatory (in viscous hydrodynamics) to come closer the experimental observations. The kinetic approaches AMPT and PHSD perform better but either overestimate (in AMPT) or slightly underestimate the scattering rate of soft particles (in PHSD). An explicit study of the centrality dependence of these ratios should provide further valuable information.

3. Conclusions

In summary, relativistic collisions of Au+Au from $\sqrt{s_{NN}} = 5$ to 200 GeV have been studied within the PHSD approach which includes the dynamics of explicit partonic degrees-of-freedom.
as well as dynamical local transition rates from partons to hadrons and also the final hadronic scatterings. Whereas earlier studies have been carried out for longitudinal rapidity distributions of various hadrons, their transverse mass spectra and the elliptic flow \( v_2 \) as compared to available data at SPS and RHIC energies [19, 20], here we have focused on the PHSD results for the collective flow coefficients \( v_2, v_3 \) and \( v_4 \) in comparison to experimental data in the large energy range from the RHIC Beam-Energy-Scan (BES) program as well as different theoretical approaches ranging from hadronic transport models to ideal and viscous hydrodynamics. We mention explicitly that the PHSD model from Ref. [20] has been used for all calculations performed in this study and no tuning (or change) of model parameters has been performed.

We have found that the anisotropic flows – elliptic \( v_2 \), triangular \( v_3 \), hexadecapole \( v_4 \) – are reasonably described within the PHSD model in the whole transient energy range naturally connecting the hadronic processes at lower energies with ultrarelativistic collisions where the quark-gluon degrees of freedom become dominant. The smooth growth of the elliptic flow \( v_2 \) with the collision energy demonstrates the increasing importance of partonic degrees of freedom. Other signatures of the transverse collective flow, the higher-order harmonics of the transverse anisotropy \( v_3 \) and \( v_4 \) change only weakly from \( \sqrt{s_{NN}} \sim 7 \) GeV to the top RHIC energy of \( \sqrt{s_{NN}} = 200 \) GeV, roughly in agreement with experiment. As shown in this study, this success is related to a consistent treatment of the interacting partonic phase in PHSD whose fraction increases with the collision energy.

The analysis of correlations between particles emitted in ultrarelativistic heavy-ion collisions at large relative rapidity has revealed an azimuthal structure that can be interpreted as solely due to collective flow [49, 50, 51, 52]. This interesting new phenomenon, denoted as triangular flow, results from initial state fluctuations and a subsequent hydrodynamic-like evolution. Unlike the usual directed flow, this phenomenon has no correlation with the reaction plane and should depend weakly on rapidity. Event-by-event hydrodynamics [53] has been a natural framework for studying this triangular collective flow but it has been of interest also to investigate these correlations in terms of the PHSD model. We have found the third harmonics to increase steadily in PHSD with bombarding energy. The coefficient \( v_3 \) is compatible with zero for \( \sqrt{s_{NN}} > 20 \) GeV in case of the hadronic transport model HSD which does not develop ‘ridge-like’ correlations. In this energy range PHSD gives a positive \( v_3 \) due to dominant partonic interactions.

Different harmonics can be related to each other and in particular, hydrodynamics predicts that \( v_4 \propto (v_2)^2 \) [44]. In this work it was noted also that \( v_4 \) is largely generated by an intrinsic elliptic flow (at least at high \( p_T \)) rather than the fourth order moment of the fluid flow. Indeed, the ratio \( v_4/(v_2)^2 \) calculated within the PHSD model is approximately constant in the whole considered range of \( \sqrt{s_{NN}} \) but significantly deviates from the ideal fluid estimate of 0.5. In contrast, neglecting dynamical quark-gluon degrees-of-freedom in the HSD model, we obtain a monotonous growth of this ratio.

The transverse momentum dependence of the ratio \( v_4/(v_2)^2 \) at the top RHIC energy has given further interesting information (cf. Fig. 4) by comparing the various model results to the data from STAR which are interpreted as follows: the STAR data are not compatible with ideal hydrodynamics and a finite shear viscosity is mandatory (in viscous hydrodynamics) to come closer the experimental ratio observed. The kinetic approaches AMPT and PHSD perform better but either overestimate (in AMPT) or slightly underestimate the scattering rate of soft particles (in PHSD).

We recall that our present PHSD calculations employ ‘naturally’ Glauber type initial state fluctuations which appear compatible with experimental observations up to top RHIC energies. On the other hand one might expect that at LHC energies an initial ‘glasma’ phase might play a sizeable role and that the gluon-field fluctuations - of lower scale - could show up in the Fourier decomposition of the azimuthal angular distribution. It will be interesting to compare the coefficients \( v_n \) for high multiplicity pp, \( p + Pb \) and \( Pb + Pb \) reactions as a function of transverse
momentum $p_T$ (and centrality).

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