Radiative properties of quantum emitters in boron nitride from excited state calculations and Bayesian analysis

Shiyuan Gao1, Hsiao-Yi Chen1,2 and Marco Bernardi

Point defects in hexagonal boron nitride (hBN) have attracted growing attention as bright single-photon emitters. However, understanding of their atomic structure and radiative properties remains incomplete. Here we study the excited states and radiative lifetimes of over 20 native defects and carbon or oxygen impurities in hBN using ab initio density functional theory and GW plus Bethe-Salpeter equation calculations, generating a large data set of their emission energy, polarization and lifetime. We find a wide variability across quantum emitters, with exciton energies ranging from 0.3 to 4 eV and radiative lifetimes from ns to ms for different defect structures. Through a Bayesian statistical analysis, we identify various high-likelihood charge-neutral defect emitters, among which the native VNNB defect is predicted to possess emission energy and radiative lifetime in agreement with experiments. Our work advances the microscopic understanding of hBN single-photon emitters and introduces a computational framework to characterize and identify quantum emitters in 2D materials.

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INTRODUCTION

Two-dimensional (2D) materials are rapidly growing as a platform for photon based quantum information technologies1,2. The discovery of single-photon emitters (SPEs) at point defects in hBN3 has spurred an intense search for optically active defects in 2D crystals4,5. Compared to defects in bulk crystals, such as diamond and silicon carbide6–9, defects embedded in 2D materials promise to be more easily addressed and controlled. In the case of hBN, defect emitters exhibit a range of desirable properties, including high emission rate, room temperature stability, strong zero-phonon line (ZPL) and easy integration with other optical components using 2D hBN crystals6,10–12.

A pressing challenge for defect emitters in hBN is identifying their atomic structure. Various possible structures have been proposed on the basis of density functional theory (DFT) calculations and their comparison with experiments13–19. However, while DFT can provide valuable insight into the formation energy, symmetry and electronic structure of SPE defects, it cannot address key aspects of point-defect SPEs such as their excited states and radiative processes responsible for light emission. To complicate the matter further, similar to other 2D materials and their defects20–22, optical transitions at defects in hBN are dominated by excitonic effects23, which require specialized first-principles calculations beyond the scope of DFT. Quantum chemistry approaches and density matrix renormalization group have also been used to investigate specific defect structures24,25, but wide comparisons among different structures are still missing.

The Bethe-Salpeter equation (BSE) can accurately predict optical properties and excitons in materials26. It also enables, due to recent advances, precise calculations of radiative lifetimes in 2D and bulk crystals27–29. The radiative lifetime plays an important role in the study of SPEs as it determines the shortest decay time constant in the second-order photon correlation function30,31 and can also be measured directly from fluorescence intensity decay32,33. Applying the BSE and related methods to defect emitters in hBN would enable direct comparisons between theory and experiment of the emission energy and radiative lifetime, providing valuable information to identify defect SPEs. Yet, first-principles BSE calculations are computationally costly to carry out on defect structures, and the radiative lifetime calculations are only a recent development.

In this work, we employ the BSE approach to compute from first principles the optical properties, transition dipoles, excitons and radiative lifetimes of atomic defects in hBN. We examine a large pool of candidate SPE structures, spanning native defects and carbon or oxygen impurities, to correlate their atomic structures with their photophysics. We find that different quantum emitters exhibit radiative lifetimes spanning six orders of magnitude and emission energies from infrared to ultraviolet. Bayesian statistical analysis is employed to correlate our results with experiments and identify high-likelihood charge-neutral SPEs in hBN, among which we find the VNNB defect to have the highest likelihood. In-depth calculations on the VNNB defect highlight the strong dependence of its radiative properties on small perturbations to its atomic structure. The dependence of the defect radiative properties on dielectric screening is analyzed by comparing monolayer and bulk hBN results. Our systematic investigation addresses key challenges for characterizing the excited states and radiative properties of defect emitters in 2D materials.

RESULTS

Excited state properties of hBN defect emitters

Our candidate defect structures consist of charge-neutral native defects and carbon or oxygen impurities occupying one or two atomic sites, for a total of 8 different native defects and 7 structures for each of carbon and oxygen impurities. We compute the ground state defect properties using DFT, employing fully relaxed defect atomic structures in 5 × 5 supercells of monolayer hBN (and for some defects, in bulk hBN). We then refine the electronic structure of selected defects using GW
Fig. 1  DFT transition energy of candidate defect structures. Distribution of the DFT transition energy, structural symmetry and emitted light polarization of 22 candidate defect structures. The shaded area shows the experimental range of values for SPEs in hBN.

Fig. 2  Radiative lifetime and energy of the lowest bright exciton of candidate defect SPEs in hBN from GW-BSE calculations. The range of experimental values is shown as a shaded region. The blue line shows the radiative lifetime of an exciton with an assumed transition dipole moment of 6.9 Debye.

Starting from the DFT ground state, for selected defects we compute the excited state properties with the GW-BSE method, obtaining the quasiparticle energies in the one-shot G0W0 approximation and the exciton energies and wave functions with the BSE, which captures electron-hole interaction and excitonic effects. We combine the solutions of the BSE with an approach we recently developed33,34 to compute the radiative lifetime of an exciton state from Fermi’s golden rule. Generalizing our previous formula for isolated (0D) emitters35 to include anisotropic dielectric screening in hBN, the radiative decay rate $\gamma_S$ of an exciton state $S$ is:

$$\gamma_S = \frac{\sqrt{\epsilon_{xy}(k_S)\epsilon_{xy}}}{{3n_0\pi}m^2}\frac{1}{\hbar^2}\left[\left\{\frac{3}{4} + \frac{\epsilon_z}{\epsilon_{xy}(k_S)}\right\}\left|p_{S,xy}\right|^2 + \left|p_{S,z}\right|^2\right].$$

where $\epsilon_{xy}(k_S)$ and $\epsilon_z$ are the in-plane and out-of-plane dielectric function of hBN, respectively, $k_S$ is the in-plane photon wavevector, $E_S$ is the exciton energy and $p_{S,xy}$ and $p_{S,z}$ are the corresponding components of the exciton transition dipole. The radiative lifetime $\tau_S$ below is defined as the inverse of $\gamma_S$ and accounts only for the radiative decay channel. We use a constant in-plane dielectric function for bulk hBN ($\epsilon_{xy} = 5$) at optical wavelengths, and for monolayer hBN we take into account the dependence on wavevector $k$ as $\epsilon_{xy}(k) = 1 + 2\alpha_{2D} |k|^2$, where $\alpha_{2D}$ is a constant equal to 0.4 nm$^{-1}$. In this approach, which is appropriate for 2D materials, the in-plane dielectric function of monolayer hBN reduces to a value of 1 at optical photon wavelengths.

Figure 2 shows the computed radiative lifetimes and lowest bright exciton energies for nine selected defects, including V$_{NB}$, B$_{NB}$, V$_{CNB}$, C$_{NB}$, O$_{NB}$, O$_{VB}$, and V$_{O\\text{B}}$. We find that the exciton energy can differ significantly—by as much as 1 eV—from the corresponding DFT transition energy, which fails to account for screening and electron-hole interaction effects. This result is a testament to the importance of excited state calculations for investigating SPEs. Surprisingly, we find that the computed...
radiative lifetime for the selected structures span six orders of magnitude, from about 1 to 10^6 ns (1 ns), showing that the emission rate and brightness of quantum emitters in hBN can vary widely. The values typically found in experiments for the emission energy (1.6–2.2 eV) and radiative lifetime (1–10 ns) are also given for comparison in Fig. 2.

Writing the exciton transition dipole in Eq. (1) as \( \mathbf{p}_\mathbf{S} = -(\varepsilon \mathbf{E}/\hbar \mathbf{e}) \times \mathbf{r}_\mathbf{S} \), with \( |\mathbf{r}_\mathbf{S}| = |(0|r_S)| \) to highlight its physical meaning of an atomic-scale dipole, and setting \( |\mathbf{r}_\mathbf{S}| \) equal the B-N bond length, we obtain an upper bound estimate of the exciton transition dipole (of 6.9 Debye) because the excitons considered here are tightly bound to the defect. The resulting radiative lifetime as a function of exciton energy, as shown by the blue line in Fig. 2, gives an approximate lower bound to the calculated radiative lifetimes. Our calculated exciton energies and radiative lifetimes clearly follow the trend set by this lower bound. The physical insight of this analysis is that due to incomplete overlap of the electron and hole wavefunctions, the exciton transition dipole for most defects is significantly smaller than the bond length, leading to longer radiative lifetimes than this theoretical bound. We note that the experimental emission energies and lifetimes for defect emitters in hBN, indicated by the gray area in Fig. 2, fall almost entirely below this lower bound, suggesting that nonradiative decay processes need to play an important role if atomic point defects like the ones discussed here are responsible for SPE.

Bayesian analysis of the likelihood of candidate defect structures

As the candidate defect structures have properties distributed across a wide range, it is challenging to pinpoint the correct defect structure from our results. As such, we pursue a quantitative analysis of the relative likelihood of the various structures using Bayesian inference, a statistical approach for dealing with uncertainties and combining information from different categories, in which the likelihood of a hypothesis is updated as more evidence or information becomes available. The workflow of our analysis is shown in Fig. 3. We first generate candidate structures by systematically enumerating all possible structures where \( n \) adjacent atomic sites are replaced by a vacancy, antisite or impurity point defect. We then obtain the list of defects studied in our work by considering structures with \( n = 1 \) or 2 replacements, as defects spanning multiple sites are typically less likely to occur. We use carbon and oxygen as the impurity elements, the most likely impurities in hBN according to the current literature. This list of candidates includes structures similar to known solid-state quantum emitters such as the NV and SiV centers in diamond, and includes most of the hBN defect emitters proposed in the literature so far as well as additional structures that were not previously considered.

A prior likelihood \( p(h) \) is then assigned to each candidate structure \( h \) as an initial guess of how likely it is that the defect structure can appear in the hBN crystal. The prior likelihood can be tailored to describe various experimental scenarios. For example, if carbon impurities are added in the experiment, as shown in recent work, one could include the presence of a carbon impurity in the prior likelihood, therefore favoring the posterior likelihood of carbon-containing emitters. Here, without referring to specific experimental conditions, we choose the prior likelihood \( p(h) \) on the basis of the structural complexity of the defect, using the single exponential form \( p(h) \propto S_h A^{-1} \), where \( C_h \) measures the complexity of the structure, defined as the number of vacancies and antisite defects plus twice the number of impurities, \( A \) is a constant, and \( S_h \) is a degeneracy factor due to symmetry-related configurations.

We subsequently combine our computational results with experimental evidence to obtain a posterior likelihood \( p(h|E) \) for each structure:

\[
p(h|E) \propto p(h)p(E|h),
\]

where the likelihood function \( p(E|h) \) is the likelihood that the structure \( h \) is compatible with a specific piece of experimental evidence \( E \) on the basis of our calculations. The resulting posterior likelihood, which is updated in multiple steps using several computed properties, quantifies which structures among those considered are more likely to be defect emitters in hBN.

The properties of the candidate SPE defects and the results of our Bayesian inference analysis are summarized in Table 1. Moving in the table from left to right, the prior likelihood \( p(h) \) is updated to posterior likelihood \( p(h|E) \) using calculations presented in subsequent columns. The first computed posterior likelihood, \( p_1(h|E) \), uses the DFT results to infer whether the defect symmetry is compatible with linearly polarized emission and whether the DFT transition energy, within a standard deviation of \( \pm 0.5 \) eV, falls in the 1.6–2.2 eV emission range found in experiments. The final posterior likelihood \( p_9(h|E) \) in the rightmost column of Table 1 gives the likelihood of the most likely defect structures when all factors have been taken into account, including comparison between the lowest bright exciton energy and the experimental
emission energy, and comparison with experiment of the radiative lifetime from our GW-BSE calculations. We emphasize that the absolute value of the likelihood is arbitrary and a value of 1 does not imply certainty. The likelihood shown in Table 1 is normalized so that the maximum value on each column is 1. The detailed rationale of our Bayesian analysis is described in the Supplementary Note 3.

The main finding from the data in Table 1 is that the VNNB defect, which was originally proposed as a SPE in hBN on the basis of DFT calculations, possesses optical and radiative properties that most accurately match the experimental results, even after taking into account excitonic effects and radiative lifetimes. The next most likely structure is the oxygen impurity defect, OB, with an emission energy of 1.52 eV, just below the experimental energy range. On the other hand, we find that the VNNB defect, which is also considered a likely candidate in the literature based on DFT calculations, has an emission energy of 2.6 eV that lies too far above the experimental energy range when excitonic effects are included, making it a less likely candidate. Although our analysis excludes VNNB and other defects with higher emission energies as candidates for the 1.6−2.2 eV SPEs, these structures may still be good candidates for SPEs in the ultraviolet range. As the most likely defect emitter in hBN is the VNNB structure according to our analysis, we investigate it in more detail to better understand its radiative properties.

Table 1. Calculated properties of candidate defect emitters in hBN and their likelihood based on Bayesian inference analysis.

| Type          | Defect   | Prior \( p(h) \) | Polarized or not | Energy (DFT, eV) | \( p_i(E) \) using DFT | Energy (GW-BSE, eV) | rad. lifetime (GW-BSE, ns) | \( p_i(E) \) using GW-BSE |
|---------------|----------|------------------|------------------|------------------|------------------------|---------------------|---------------------------|--------------------------|
| Native defect | \( V_N \) | 1                | Y                | 0.66             | 0.19                   | 2.93                | 3.6                        | <0.01                    |
|              | \( N_B \) | 1                | N                | 2.32             | <0.01                  | 3.09                | 15                        | <0.01                    |
|              | \( V_N \) | 1                | N                | 2.05             | <0.01                  | 3.07                | 15                        | <0.01                    |
|              | \( B_N \) | 1                | N                | 2.20             | <0.01                  | 3.09                | 15                        | <0.01                    |
|              | \( V_N V_B \) | 0.26 | Y                | 2.84             | 0.18                   |                      |                           |                          |
|              | \( B_N V_B \) | 0.26 | Y                | 0.85             | 0.12                   |                      |                           |                          |
|              | \( V_N N_B \) | 0.26 | Y                | 2.04             | 1                      | 1.92                | 334                       | 1                        |
|              | \( B_N N_B \) | 0.26 | Y                | 2.82             | 0.2                    |                      |                           |                          |
| Carbon impurity | \( C_N \) | 0.26 | N                | 1.35             | <0.01                  | 1.96                | 21.7                      | <0.01                    |
|              | \( C_N V_B \) | 0.26 | Y                | 1.15             | 0.09                   | 1.02                | 1.7 \( \times 10^5 \)     | <0.01                    |
|              | \( C_N N_B \) | 0.26 | Y                | 2.22             | 0.22                   |                      |                           |                          |
|              | \( V_N C_N \) | 0.07 | Y                | 1.93             | 0.27                   | 2.61                | 121                       | 0.01                     |
|              | \( B_N C_N \) | 0.07 | Y                | 1.10             | 0.09                   |                      |                           |                          |
|              | \( C_N C_N \) | 0.07 | Y                | 3.52             |                      | <0.01               |                           |                          |
| Oxygen impurity | \( O_B \) | 0.26 | Y                | 1.51             | 0.79                   | 1.52                | 605                       | 0.38                     |
|              | \( O_N \) | 0.26 | N                | 1.25             | <0.01                  | 1.96                | 21.7                      | <0.01                    |
|              | \( O_N V_B \) | 0.07 | Y                | 0.72             | 0.02                   | 0.31                | 1.7 \( \times 10^5 \)     | <0.01                    |
|              | \( O_N N_B \) | 0.07 | Y                | 1.57             | 0.22                   |                      |                           |                          |
|              | \( V_N O_B \) | 0.07 | Y                | 3.02             | 0.02                   | 3.46                | 44                        | <0.01                    |
|              | \( B_N O_B \) | 0.07 | Y                | 1.21             | 0.11                   |                      |                           |                          |
|              | \( O_N O_B \) | 0.02 | Y                | 3.41             | <0.01                  |                      |                           |                          |
| Experiments  | Y        | 1.6−2.2          | 2−10             |                  |                        |                      |                           |                          |

To take this result into account, we compute the optical absorption spectrum of the \( V_N N_B \) defect for out-of-plane displacements \( z \) of the N atom in the 0−0.83 å range, interpolating between the in-plane and out-of-plane equilibrium structures to obtain the intermediate metastable structures. We find that the absorption spectrum changes drastically even for small changes of \( z \), as shown in Fig. 4(c). The spectrum is dominated by two exciton absorption peaks at low energy. At the equilibrium position (\( z = 0.66 \) Å), the first peak at 1.92 eV is associated with a transition from the top valence to the bottom conduction spin-majority electronic states, whose wave functions are shown in Fig. 4(b). As the displacement \( z \) of the N atom is decreased from the equilibrium value to zero, which corresponds to a planar structure, the energy of the first exciton peak decreases at first but then increases again at small \( z \) values while gaining oscillator strength monotonically. The second peak at 2.7 eV is also due to a transition from the top valence to the bottom conduction spin-minority bands with relatively large oscillator strength at the out-of-plane equilibrium N atom position. Both the energy and the oscillator strength of this peak decrease monotonically as \( z \) decreases [see Fig. 4(c)]. For the planar structure (\( z = 0 \)), the second peak becomes the lowest-energy transition but completely loses its oscillator strength and becomes a dark state.

These significant changes in the two lowest-energy exciton peaks are accompanied by substantial changes in the exciton radiative lifetimes. Although the lifetime of the lowest exciton is 334 ns for the DFT equilibrium out-of-plane distortion, it becomes only 19 ns if the \( V_N N_B \) structure is kept planar (\( z = 0 \) case), as shown in Fig. 4(d). This value of the radiative lifetime for the planar structure is within a factor of 2−3 of experimental results. Because many experiments are carried out on thicker hBN samples, we also calculated the radiative lifetime of the \( V_N N_B \) defect embedded in bulk hBN (instead of monolayer), a setting resembling more closely SPE experimental measurements in thicker hBN samples (see the “Methods” section). Due to interlayer interactions, the out-of-plane displacement of the N atom is
Reduced to 0.45 Å in bulk hBN. Going from monolayer to bulk reduces the radiative lifetime of the VNNB defect to 147 ns due to changes in the dielectric environment and transition dipole, both of which affect the radiative emission rate in Eq. (1). This result shows that different dielectric environments, for example due to the use of different substrates, may have a strong impact on the radiative properties of the defect. Therefore we conclude that the radiative lifetime of the VNNB defect is highly sensitive to both out-of-plane structural distortions and the dielectric environment.

**DISCUSSION**

While our computed lifetimes are in the 20–350 ns range for different VNNB structures, these values pertain to an ideal defect with 100% quantum yield, whereas defect emission measured so far in hBN is likely associated with a lower quantum yield. To explain the discrepancy between the experimental and calculated lifetimes, note that nonradiative processes, which are not included in our calculation of intrinsic radiative lifetimes, could significantly reduce the apparent lifetime measured in experiments. For example, a defect with a measured 10 ns lifetime but only a 10% quantum yield would correspond to a 100 ns intrinsic radiative lifetime in the same range as our computed values. Future SPE measurements in hBN taking into account the quantum yield may provide a better estimate of the intrinsic SPE radiative lifetime and enable a more accurate comparison with our calculations.

In summary, we have investigated the excited state and radiative properties of many candidate defect SPEs in hBN. Our calculations address the photophysics of these defect structures, including their optical transitions and radiative lifetimes, using calculations that accurately account for excitonic effects and anisotropic dielectric screening. Our Bayesian statistical analysis allows us to identify the native VNNB defect as the most likely candidate within a wide pool of over 20 charge-neutral native defects and carbon or oxygen impurities. This work explores the fertile ground at the intersection of excited-state first-principles calculations and Bayesian learning methods, and the application of this framework to quantum technologies. The search for solid-state quantum emitters will greatly benefit from such precise first-principles calculations of excited states in materials.

**METHODS**

**Details of the first-principles calculations**

We carry out DFT calculations in the generalized gradient approximation using the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional. Our spin-polarized DFT calculations employ the plane-wave pseudopotential method implemented in QUANTUM ESPRESSO. The defects are placed in a 5 × 5 supercell of hBN with the lattice constant kept fixed at 5 × 2.504 Å while the atoms are fully relaxed without symmetry constraints. For calculations on monolayer hBN, a vacuum of 15 Å is used to avoid spurious inter-layer interactions with the periodic replicas. We use ONCV pseudopotentials for all atoms, a plane-wave kinetic energy cutoff of
80 Ry and a 3 x 3 k-point Brillouin zone grid. The GW-BSE calculations are carried out with the Yambo code\(^1,2,3\) using a 2D slab cutoff of the Coulomb interaction. For calculations of defects in monolayer hBN, a 3 x 3 k-point grid is employed together with an energy cutoff of 10 Ry for the dielectric matrix. The number of empty bands included in the GW calculation (for the polarizability and self-energy summations) is 7 times the number of occupied bands. At least 6 valence and 6 conduction bands are included when diagonalizing the BSE matrix. These parameters converge the exciton energy to within 0.1 eV in our test calculations, which are shown in the Supplementary Note 1. For calculations on defects in bulk hBN, we use a 5 x 5 x 1 supercell containing two AA'–stacked layers. The bulk case uses a 2 x 2 x 3 k-point grid; all other parameters are the same as in the monolayer case.

**DATA AVAILABILITY**
All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Information. Additional data related to this paper may be requested from the authors.

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AUTHOR CONTRIBUTIONS
S.G. and M.B. conceived and designed the research. S.G. performed calculation and analysis. H.-Y.C. provided theoretical support. M.B. supervised the entire research project. All authors discussed the results and contributed to the manuscript.

COMPETING INTERESTS
The authors declare no competing interests.

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