Bach-flat Lie groups in dimension 4

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Abstract

We establish the existence of solvable Lie groups of dimension 4 and left-invariant Riemannian metrics with zero Bach tensor which are neither conformally Einstein nor half conformally flat.

1. Introduction

Let \((M, g)\) be a 4-dimensional Riemannian manifold, let \(\nabla\) denote its Levi Civita connection, \(R\) its Riemann tensor and \(W\) its Weyl tensor. The latter depends only on the conformal class \([g]\) of the metric \(g\) and decomposes as \(W = W_+ + W_-\). Another conformal invariant, the Bach tensor \(B\), is the irreducible component of \(\nabla\nabla R\) that, if \(M\) is compact, corresponds to the gradient of the Lagrangian

\[ g \mapsto \int_M \|W[g]\|^2 \, dv_g, \]

in which one may, for topological reasons, replace \(W\) by \(W_+\) or \(W_-\). The tensor \(B\) can be regarded as trace-free symmetric bilinear form, and vanishes if \(M\) is self-dual \((W_+ = 0)\) or anti-self-dual \((W_- = 0)\), in other words if \(M\) is half conformally flat. It also vanishes whenever \([g]\) has an Einstein representative \([1,7,4]\). Metrics with zero Bach tensor therefore form a natural class in which to generalize results on Einstein metrics and curvature flow \([15,5]\).

There are few known examples of ‘non-trivial’ Bach-flat metrics, meaning ones with zero Bach tensor but satisfying neither of the Weyl and Einstein conditions. A construction of examples is outlined in \([14]\).
and an explicit Lorentzian one is given in [13]. In the light of the classification of Einstein, hypercomplex
and self-dual metrics on 4-dimensional Lie groups [8,3,2,6] a reasonable question is whether there exists a
Lie group with such a Bach-flat metric. This note answers the question by providing two examples. The
Lie groups are not unimodular and do not pass to compact quotients. Nonetheless, our work shows that
they have a privileged status in the study of the curvature of left-invariant metrics in the spirit of [12].

2. A family of metric Lie algebras

Our first example generalizes one in [6]. Consider the Lie algebra \( g_{\alpha,\beta} \) defined by a basis \((e_i)\) of \( \mathbb{R}^4 \) with
non-zero brackets

\[
[e_2, e_1] = \alpha e_2, \quad [e_3, e_1] = \beta e_3, \quad [e_4, e_1] = (\alpha + \beta) e_4, \quad [e_3, e_2] = e_4,
\]

where \( \alpha \) and \( \beta \) are non-zero real numbers. This gives a family of solvable, non-nilpotent Lie algebras,
which are unimodular if and only if \( \alpha + \beta = 0 \). If \( (\epsilon^i) \) is the dual basis of \((e_i)\), then one uses the formula
\( de(e_j, e_k) = -e^i([e_j, e_k]) \) to encode the structure constants into the differential system

\[
\begin{align*}
d e^1 &= 0 \\
d e^2 &= \alpha e^1 \wedge e^2 \\
d e^3 &= \beta e^1 \wedge e^3 \\
d e^4 &= (\alpha + \beta) e^1 \wedge e^4 + e^2 \wedge e^3.
\end{align*}
\]

Once one passes to an associated Lie group, \( d \) may be regarded as the exterior derivative on the space of
left-invariant 1-forms.

**Proposition 2.1** If \( \alpha \neq \beta \), there exist Lie algebra isomorphisms
- \( P_\lambda : g_{\alpha,\beta} \rightarrow g_{\lambda \alpha, \lambda \beta} \) for each \( \lambda \neq 0 \);
- \( Q : g_{\alpha,\beta} \rightarrow g_{\beta,\alpha} \).

Any isomorphism \( g_{\alpha,\beta} \rightarrow g_{\alpha',\beta'} \) is generated in this way, so \( (\alpha', \beta') \) equals either \( (\lambda \alpha, \lambda \beta) \) or \( (\lambda \beta, \lambda \alpha) \) for
some \( \lambda \neq 0 \). If \( \alpha = \beta \) then necessarily \( \lambda = \pm 1 \).

In terms of a basis \((e^i)\) defining \( d \), the first isomorphism is given by \( P_\lambda (e^1) = e^1 / \lambda \) and \( P_\lambda (e^i) = e^i \)
for \( i > 1 \). The second, \( Q \), merely swaps \( e^2 \) and \( e^3 \). To prove the converse, one can characterize the
isomorphism class by the set of 1-forms satisfying \( \omega \wedge d\omega = 0 \) where \( \omega = \sum_{i=1}^4 a_i e^i \).

Endow \( g_{\alpha,\beta} \) with the inner product for which \((\epsilon^i)\) is (dual to) an orthonormal basis. Two Lie algebras
equipped with inner products can be called isometric if there exists a Lie algebra isomorphism between
them that is an isometry. In particular, \( g_{\alpha,\beta} \) is isometric to both \( g_{\beta,\alpha} \) and \( g_{-\alpha,-\beta} \).

3. Curvature calculations

Fix non-zero constants \( \alpha, \beta \), and let \( M \) be a Lie group with Lie algebra \( g_{\alpha,\beta} \). We endow \( M \) with the
left-invariant Riemannian metric \( g = \sum_{i=1}^4 e^{ii} \), where \( e^{ii} = e^i \otimes e^i \). Let \( R \) denote its Riemann tensor, and \( \rho \)
the Ricci tensor, so that \( \rho_{ij} = R^k_{ij} = R_{kij} g^{kl} \). From the Cartan structure equations, we obtain

\[
\rho = -(\alpha^2 + \beta^2 + \alpha \beta) e^{11} - (\alpha^2 + \alpha \beta + \frac{1}{4}) e^{22} - (\beta^2 + \alpha \beta + \frac{1}{4}) e^{33} - ((\alpha + \beta)^2 - \frac{1}{4}) e^{44}.
\]

Observe that \( g \) is Einstein if and only if \( \alpha = \beta = \pm \frac{1}{2} \); this is the symmetric metric on the complex
hyperbolic plane \( \mathbb{C}H^2 \) [8].
The Bach tensor $B$ can be defined by
\[
B_{ij} = \sum_{p=1}^{4} \nabla_p \nabla_j \rho_{i \! p} - \frac{1}{2} \sum_{p=1}^{4} \nabla_p \nabla_i \rho_{j \! p} + \frac{1}{4} \sum_{p=1}^{4} \rho_{p \! i} \rho_{j \! p} + \frac{1}{12} \left[ 3 \sum_{r,s=1}^{4} (\rho_{r \! s})^2 - \tau^2 \right] \delta_{ij}.
\]

It turns out to be diagonal and, since $\sum_{i=1}^{4} B_{ii} = 0$, we need only record
\[
\begin{align*}
B_{11} &= \frac{1}{2} (\frac{5}{6} \alpha^2 + \frac{2}{3} \alpha^3 \beta - \frac{2}{3} \alpha^2 \beta^2 - \frac{5}{8} \alpha \beta + \frac{1}{8} \beta^2, \\
B_{22} &= \frac{1}{2} (\frac{5}{6} \alpha^2 + \frac{2}{3} \alpha \beta - 2 \alpha^3 \beta + \frac{5}{8} \alpha \beta - \frac{5}{8} \alpha^2 \beta^2 - \frac{5}{8}), \\
B_{33} &= \frac{1}{2} (\frac{5}{6} \alpha^2 + \frac{2}{3} \alpha \beta - 2 \alpha^3 \beta + \frac{5}{8} \alpha \beta - \frac{5}{8} \alpha^2 \beta^2 - \frac{5}{8}).
\end{align*}
\]

It is incredibly easy to resolve the system $B = 0$, since it yields $(\alpha^2 - \beta^2)(1 + 8 \alpha \beta) = 0$. If the second factor is zero then $\alpha, \beta$ are roots of $8x^4 - 7x^2 + \frac{1}{8} = 0$. Let us denote these roots by $\pm r_1, \pm r_2$ where
\[
r_1 = \frac{1}{4} \sqrt{7 - 3\sqrt{5}} = \frac{1}{4}(3\sqrt{2} - \sqrt{10}), \quad r_2 = -\frac{1}{4} \sqrt{7 + 3\sqrt{5}} = -\frac{1}{4}(3\sqrt{2} + \sqrt{10}).
\]

We obtain eight solutions which, by Proposition 2.1, fall into three essentially distinct classes:
\[
(i) \ (\alpha, \beta) = \pm (1, 1), \quad (ii) \ (\alpha, \beta) = \pm (\frac{1}{2}, \frac{1}{2}), \quad (iii) \ (\alpha, \beta) = \pm (r_1, r_2) \text{ or } \pm (r_2, r_1).
\]

Solutions (i) and (ii) correspond to those of [6]. The first is hyperhermitian (so $W_+ = 0$) and the second is the Einstein metric on $CH^2$, so the vanishing of their respective Bach tensor is already known. Our next goal is to show that (iii) is a non-trivial Bach-flat metric.

Because of [6], we know that (iii) is not half conformally flat and this is verified by direct computation of $W_\pm$. From [10, Proposition 1] (see also [9]), a necessary condition for a 4-dimensional manifold to be locally conformally Einstein is the existence of a non-zero vector field $T = \sum_{k=1}^{4} x_k e_k$ satisfying $(\text{div}_4 W)(X, Y, Z) = W(X, Y, Z, T)$, where
\[
(\text{div}_4 W)(e_h, e_k, e_p) = -\sum_{i=1}^{4} \sum_{q=1}^{4} \left[ \omega^q_h(e_i) W_{qkpi} + \omega^q_k(e_i) W_{hqpi} + \omega^q_p(e_i) W_{hkpi} + \omega^q_i(e_i) W_{hkpi} \right].
\]

In case (iii), we discover a contradiction by examining $(\text{div}_4 W)(e_1, e_2, e_j)$ for $j = 1$ and $j = 2$. Thus,

**Theorem 3.1** Let $G$ be a simply-connected 4-dimensional Lie group associated to the solvable Lie algebra $\mathfrak{g}_{r_1, r_2}$ defined by (1), and let $h = \sum_{i=1}^{4} e_i \otimes e_i$. The Riemannian metric $h$ is non-trivially Bach flat.

The Ricci tensor of $h$ is diagonal relative to the basis $(e^i)$ with entries $-\frac{3}{4}, \frac{3}{4}(-3 + \sqrt{5}), -\frac{3}{4}(3 + \sqrt{5}), -\frac{3}{2}$. The tensor $8W_\pm$ has eigenvalues $2 \pm (3\sqrt{2} - \sqrt{10}), 2 \mp (3\sqrt{2} + \sqrt{10}), -4 \pm 2\sqrt{10}$.

4. A 2-step solvable example

In order to undertake a more general study of possible left-invariant Bach-flat metrics, one has to work with an orthornormal basis of 1-forms satisfying more complicated differential relations. The solvable case can be tackled by a case-by-case analysis following Jensen’s work on the classification of left-invariant Einstein metrics [8]. The examples (2) lie in the class of Lie algebras for which $\dim \mathfrak{g}' = 3$ and $\dim \mathfrak{g}'' = 1$ (where $\mathfrak{g}' = \mathfrak{g}, \mathfrak{g}'' = [\mathfrak{g}', \mathfrak{g}'$] are the first two terms of the derived series). Let $G$ be a 4-dimensional Lie group with such a Lie algebra, admitting a left-invariant Bach-flat metric $g$. One can show that there
are three possibilities: (i) g is conformally Einstein, (ii) one of $W_+, W_-$ is zero, or (iii) $g$ is isomorphic to $g_{r_1, r_2}$ and $g$ is homothetic to $h$.

By rotating in the plane $\langle e^2, e^3 \rangle$ and applying an overall re-scaling, we may convert $g_{r_1, r_2}$ into a form that simplifies the coefficients in $Q(\sqrt{2}, \sqrt{5})$. Namely, $de^1 = 0$ and

$$de^2 = e^1 \wedge e^3, \quad de^3 = e^1 \wedge e^2 + \sqrt{5} e^1 \wedge e^3, \quad de^4 = \sqrt{5} e^1 \wedge e^4 + 2 e^2 \wedge e^3.$$ 

This therefore describes a Bach-flat metric homothetic to $h$. In view of Theorem 3.1, it is natural to ask whether there are solutions in other number fields. In fact, there exists a 2-step example (meaning that $g''$ vanishes):

**Theorem 4.1** There exists a solvable Lie algebra $g$ defined over $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ with dim $g' = 2$ and $g'' = \{0\}$, whose associated Lie groups admits a non-trivial Bach-flat metric.

The metric Lie algebra in question is given by $de^1 = 0 = de^2$ and

$$de^3 = \sqrt{2} e^1 \wedge e^3 + e^2 \wedge e^3 - (1/\sqrt{3}) e^2 \wedge e^4, \quad de^4 = \sqrt{2} e^1 \wedge e^4 - e^2 \wedge e^4 + (1/\sqrt{3}) e^2 \wedge e^3.$$ 

The examples of Theorems 3.1 and 4.1 are obviously restricted. A study of other isomorphism classes described in [11] leads us to predict that there are no continuous families of solvable Lie algebras admitting non-trivial left-invariant Bach-flat metrics, in contrast to the self-dual case [6]. We can already assert that there are no non-trivial solutions on unimodular Lie groups, since the unimodular condition simplifies the equations, in particular in the nilpotent cases and in the reductive cases $\mathfrak{gl}(2, \mathbb{R})$, $\mathfrak{u}(2)$.

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