Ocular disconjugacy cannot be measured without establishing a solid spatial reference [version 2; peer review: 1 approved, 2 approved with reservations]

(Previously Titled: 'Ocular disconjugacy cannot be measured without establishing a solid reference')

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Abstract
This correspondence points out a need for clarification concerning the methodology utilized in the study "Eye tracking detects disconjugate eye movements associated with structural traumatic brain injury and concussion", recently published in *Journal of Neurotrauma*. The authors of the paper state that binocular eye movements were recorded using a single-camera video-oculography technique and that binocular disconjugate characteristics were analyzed without calibration of eye orientation. It is claimed that a variance-based disconjugacy metric was found to be sensitive to the severity of a concussive brain injury and to the status of recovery after the original injury. However, the reproducibility of the paper’s findings may be challenged simply by the paucity of details in the methodological description. More importantly, from the information supplied or cited in the paper, it is difficult to evaluate the validity of the potentially interesting conclusions of the paper.

Keywords
Mild traumatic brain injury, mTBI screening, vergence

Open Peer Review

| Approval Status | 1 | 2 | 3 |
|-----------------|---|---|---|
| version 2       | ? | ? | ? |
| (revision)      | view | view |

1. Johannes van der Steen, Erasmus Medical Center, Rotterdam, The Netherlands
2. Christopher Tyler, Smith-Kettlewell Eye Research Institute, San Francisco, USA
3. Marcus Nyström, Lund University, Lund, Sweden

Any reports and responses or comments on the article can be found at the end of the article.
Correspondence
I wish to point out a need for clarification concerning the methodology utilized in the study “Eye tracking detects disconjugate eye movements associated with structural traumatic brain injury and concussion” by Samadani et al., 2015. The authors state that binocular eye movements were recorded using a single-camera infrared-based video-oculography technique (EyeLink 1000, SR Research, Ontario, Canada) and that binocular disconjugate characteristics were analyzed without calibration of eye orientation. The authors claim that their variance-based disconjugacy metric was sensitive to the severity of a concussive brain injury and to the status of recovery after the original injury.

The EyeLink 1000 system is an excellent eye tracker with a single high resolution camera and an infrared light source affixed to a side of the camera. This system uses a dark pupil-corneal reflection principle for tracking eye movements. A typical recording setup consists of a computer monitor with which the visual stimuli are presented to the subject and the camera unit (placed in front of the monitor base) with which the eye movement is recorded monocularly or binocularly. The system has an option of easily outputting image-based, uncalibrated eye coordinates with hundreds of units representing 1° of eye rotation.

The concern I would raise with the Samadani et al. paper is the unclarity of the relationship between their metric and binocular disconjugacy. Logically, for an identical amount of eye rotation, any asymmetry in the spatial relationship that the camera or the infrared light source has with the two fellow eyes would result in different extents of relocation of the images of the pupils or corneal reflections. Asymmetries exist because there is a physical separation between the two eyes as well as between the camera and the infrared light source. For instance, the camera may be centered in front of the subject to obtain a symmetric view of the pupils for a gaze along the midline, but the infrared light source cannot be centered simultaneously, the consequence of which is an asymmetric view of the corneal reflections.

The individually variable physical separation of the two eyes contributes to variations in the extent of asymmetry in the camera view of the landmark features of the eyes. It may be asserted that the group-wise comparisons utilized by Samadani et al. are robust to effects of subject-wise asymmetry and the equally constant lack of calibration. However, a systematic between-group bias may be created when the demographic composition of the subject groups are different. For example, having a larger male-to-female ratio in one group could increase the extent of binocular asymmetry in uncalibrated data since men tend to have a larger interocular distance.

Incidentally, Samadani et al. note a tendency toward the positive head CT group having more males than the non-injured control group, with the positive head CT group of 13 patients being 35.9% female and the control group of 64 subjects being 47.9% female. (Curiously, the percentage of female subjects times the group size does not yield a whole number in any of the four subject groups in the Samadani et al. paper.)

There are still other variables that confound the relationship between eye rotation and changes in pixel coordinates. Although the biometric characteristics of eyes are highly symmetrical within individuals, they are not perfectly symmetrical and a 1–2% non-conformity in corneal curvature or axial length is not uncommon. Each of the two fellow eyes has its own function that maps pixel movement to the eye rotation, and this mapping is not linear. Thus, the arithmetic difference between the uncalibrated coordinates of the two eyes is quite removed from a physical representation of gaze misalignment.

Beyond the factors associated with the raw data, the analytic methods in the paper also do not seem to be constructed with a clear intent. It is puzzling why the disconjugacy metric is represented by the variance of the left-right differences after independently averaging for each eye the uncalibrated coordinates over several cycles for a given stimulus position, as opposed to the straightforward variance of the left-right differences at all sample points. Furthermore, the ranges of outcome values presented in the series of figures run from 0 to at most 0.25, but how the value 0 could have been obtained is not clear. The question arises because in the two eyes’ uncalibrated coordinates there must be a constant bias related to the interocular distance. Lastly, what the high end of the outcome range represents is not clear. Since one unit in EyeLink’s uncalibrated data output is smaller than 0.01° of eye rotation, being able to report differences in 0.25 square units or less seems implausible. If the raw data were numerically centered or scaled, the procedure should have been noted in the text.

Since disconjugacy is spatial in nature, a solid reference is needed. The authors discuss some valid points regarding potential pitfalls associated with calibration and phoria. However, these points can be directly addressed by implementing a calibration procedure under monocular viewing. A comparison between the results from thus calibrated and uncalibrated data, and a demonstration of test-retest reliability could have improved the paper.

In summary, the reproducibility of the paper’s findings may be challenged simply by the paucity of details in the methodological description. More importantly, however, from the information supplied or cited in the paper it is difficult to evaluate the validity of the potentially interesting conclusion that deficits in conjugacy of eye movements may quantitate physiologic impact of brain injury.

Competing interests
The author holds stock option in SyncThink, Inc.

Grant information
The author(s) declared that no grants were involved in supporting this work.
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Current Peer Review Status: ✔️ ❓ ❓

Version 2

Reviewer Report 15 May 2015

https://doi.org/10.5256/f1000research.6868.r8688

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I agree with the methodological aspects that the author highlights in his correspondence, and that the Samadani et al. paper would have been improved by a more detailed description of their methods as well as a validation or their method to compute disconjugacy.

That said, it is uncertain to what extent the methodological aspects influence the differences between the experimental groups found by Samadani et al. The correspondence would therefore benefit from a quantitative investigation addressing the practical significance of (at least some of) the issues raised.

Competing Interests: No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 18 May 2015

Jun Maruta, One Broadway, 6th Floor, New York, USA

Since it is not clear what Samadani et al. did to obtain the values associated with the described outcome metrics, a quantitative investigation is difficult. To the extent it is possible, the Correspondence calls attention that the arithmetic difference between the uncalibrated horizontal coordinates of the two eyes cannot be 0. Also, it may be that a concussive brain injury can cause such a severe problem in binocular coordination in patients that a precise measurement is not necessary, but this assertion is contrary to the usually subtle nature of concussion consequences. The assertion is also contrary, as pointed out in the Correspondence, to the reported outcome values of 0.25 square units or less, if they can be taken at face value.
The title of the piece is not logically correct, and needs further modification. Although the author's clarification explains how the asymmetry of the light source could, in principle lead to discrepancies in the measurement of ocular disconjugacy, it is based on a long chain of reasoning that is far from establishing the claim of the title, that “Ocular disconjugacy cannot be measured without establishing a solid spatial reference.” What the Correspondence establishes is that ocular disconjugacy measures could, in principle, be biased by asymmetries in the geometry of eye tracking camera illumination. To my mind, however, he has not yet established that the ocular disconjugacy measures were in fact biased in this way. He admits that, if the male-female ratios were equated, there would be no basis for a bias between the TBI and the control groups, even given the asymmetry of the illumination. Thus, even if the present result were significantly biased by the small differences in the male-female ratios of the present study, it would still not be the case that “ocular disconjugacy cannot be measured without establishing a solid spatial reference,” since the any bias introduced by interpupillary differences could be compensated by matching the interpupilllary distances of the controls to those of the test subjects.

The added phrase should be “affixed to one side of the camera”. The sentence “Asymmetries exist because there is a physical separation between the two eyes as well as between the camera and the infrared light source.” is logically incorrect. Asymmetries exist because the light source is asymmetrically placed with respect to the symmetry axis of the two eyes. If the displaced infrared source were either above or below the camera lens, i.e. if it were on the symmetry axis, there would be no asymmetry in the view of the eyes (assuming that the eyes themselves were symmetric). Of course, the first half of the sentence is definitely not true on its own: “asymmetries exist because there is a physical separation between the two eyes”.

The concept of a bias introduced by consistent male-to-female ratio differences developed in the response goes some way to addressing the issue of bias introduced by the asymmetry, but it has to be established for the groups in the study in order to be a relevant criticism. The male-to-female ratio differences between groups were small, and were not statistically significant for these small groups. Can the author show that these small ratio differences were quantitatively sufficient to account for the Samadani et al. results (in terms of the reported range of male-female interpupillary distances in the subject populations that they used)?
Competing Interests: No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 29 Apr 2015

Jun Maruta, One Broadway, 6th Floor, New York, USA

It seems that this Correspondence is somehow viewed as an attempt to explain the findings of Samadani et al. This responsibility rests with Samadani et al. In my view, that ocular disconjugacy cannot be measured without a solid spatial reference is so by virtue of what defines ocular disconjugacy, rather than needing to be established. In any individual measurement, non-pathologically-related asymmetries exist between the uncalibrated coordinates of the two eyes; thus, their arithmetic difference does not represent gaze misalignment. The physical meanings of the metrics that Samadani et al. claim to be associated with TBI are not clear, and the reported values associated with these metrics do not follow the explanation provided in the text. It is possible that some output of a magical black box should indeed be found to be associated with TBI. However, my argument would still be, “What is the science behind it?”

Regarding the sentence “Asymmetries exist because there is a physical separation between the two eyes as well as between the camera and the infrared light source,” it is made clear that, in the context, the relative displacement of the infrared light source from the camera is in the lateral direction. The first half of the sentence is not meant to stand alone.

Competing Interests: No competing interests were disclosed.

Version 1

Reviewer Report 08 April 2015

https://doi.org/10.5256/f1000research.6606.r8105

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Christopher Tyler

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Overall, this Correspondence does not offer a significant criticism of the paper of Samadani et al. (2015). The author does not specify what kinds of asymmetries exist due to the camera/source
geometry. Usually the subject is centered in the Eyelink viewing aperture, so the view of the eyes would be symmetric. The attempt to argue that a 1-2% asymmetry between the eyes would significantly degrade the assessment capability does not seem plausible.

The criticism of methodological unclarity may be justified in itself, but it has no bearing on the outcome of the paper since all patients would be equally subject to the same degree by the effects of asymmetry and lack of calibration. As stated, none of the criticisms suggest a systematic bias between the different patient categories. The significant differences among categories cannot therefore be attributed to any of the factors raised by the author, and controlling these factors should only improve the significance of the Samadani et al. results. The author’s challenge to the findings of the paper is thus easily refuted.

Moreover, despite the fact that this Correspondence is entirely concerned with calibration, it neglects to mention the fact that a core motivation for the Samadani et al. paper is the issue of a misleading spatial calibration metric. They are making the point that restrictions of eye movement may restrict the array of calibration positions, providing a false assessment that the subsequent test movements are normal. Their goal is thus to use the uncalibrated differences between the two eyes (which they term “temporal calibration”) as a probe for deficits that would be masked by the spatial calibration procedure. It is this strategy that the author should have addressed directly.

On a stylistic note, I do not favor using the English possessive “s” on the Latin phrase “et al(ia)” and recommend the form above, as in “the Samadani et al. paper”.

**Competing Interests:** No competing interests were disclosed.

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to state that I do not consider it to be of an acceptable scientific standard, for reasons outlined above.**
For example, having a larger male-to-female ratio in one group could increase the extent of binocular asymmetry in uncalibrated data since men tend to have a larger interpupillary distance [1,2]. Incidentally, Samadani et al. note a tendency toward the positive head CT group having more males than the non-injured control, with the positive head CT group of 13 patients being 35.9% female and the control group of 64 subjects being 47.9% female. (Curiously, the percentage of female subjects times the group size does not yield a whole number in any of the four subject groups in the Samadani et al. paper.)

The assessment capability claimed by Samadani et al. appears to be variability of binocular alignment on the order of 0.01° magnitude. This value seems too small in light of the two sources of asymmetries discussed above in uncalibrated gaze-associated binocular measures, and also in light of 1-2% asymmetry between the two eyes.

Regarding the problems that Samadani et al. point out in terms of spatial calibration, my intent is not to dispute the existence of alternatives to eye movement assessments based on spatial calibration. Rather, my emphasis is that disconjugacy itself is spatial in nature, and thus its quantification requires a solid spatial reference frame. This intent may be made clearer by including the term “spatial” in the title so that it reads “Ocular disconjugacy cannot be measured without establishing a solid spatial reference.”

Finally, in my future writing I will keep in mind your recommendation regarding mixing the English possessive on the Latin phrase “et al.”

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Competing Interests: No competing interests were disclosed.
valid. Apart from clarification there is also a need for validation before this technique can be introduced in e.g. emergency rooms to quantify the severity of a concussive brain injury and the degree of recovery.

**Competing Interests:** No competing interests were disclosed.

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.**

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