Diagnosis of vascular invasion in pancreatic ductal adenocarcinoma using endoscopic ultrasound elastography

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Abstract

Background

Vascular invasion is an important criterion for resectability and deciding the therapeutic strategy for pancreatic ductal adenocarcinoma (PDAC), but imaging diagnosis is currently difficult. Endoscopic ultrasound (EUS) elastography (EG) images have band-like artifacts on the border between tumor and vessel due to different movement if the tumor is not connected to the vessel, i.e., no invasion. Based on this phenomenon, we assessed the usefulness of EUS-EG in the diagnosis of vascular invasion in PDAC.

Methods

The subjects were 58 out of 313 patients with PDAC who underwent EUS between January 2015 and November 2018, followed by surgery, no chemotherapy or radiotherapy, and pathological evaluation.

Diagnostic accuracies of vascular invasion using dynamic computed tomography (CT), EUS B-mode and EUS-EG were compared with histopathological diagnosis.

Results

In 58 subjects (62 sites) who underwent both dynamic CT and EUS-B mode, the sensitivity, specificity and accuracy were 0.733, 0.787 and 0.774 on dynamic CT (62 sites); 0.733, 0.787 and 0.774 in EUS B-mode (62 sites); and 0.917, 0.889 and 0.897 in EUS-EG (39 sites). In 27 subjects (29 sites) with a tumor contacting a vessel with no vascular obstruction or stenosis on dynamic CT, the sensitivity, specificity and accuracy were 0.556, 0.750 and 0.690 on dynamic CT; 0.667, 0.700 and 0.690 in EUS B-mode; and 0.889, 0.850 and 0.862 in EUS-EG.

Conclusions

These results suggest that EUS combined with EG improves diagnostic performance of vascular invasion in PDAC, especially in cases of which vascular invasion cannot be clearly assessed by dynamic CT.

Background

Criteria for resectability of pancreatic ductal adenocarcinoma (PDAC) are used in determination of the therapeutic strategy. The National Comprehensive Cancer Network (NCCN) Guidelines divide invasion
into that in the arterial and portal systems [1]. The Guidelines recommend resection for a tumor with vascular invasion based on computed tomography (CT), but it is sometimes difficult to judge the presence of invasion. Endoscopic ultrasonography (EUS) has good spatial resolution, and is used for qualitative diagnosis of pancreatobiliary disease and differential diagnosis of benign and malignant disorders. However, the NCCN Guidelines state that EUS complements CT for diagnosis of disease stage in selected patients, but provide no description of findings and evaluation procedures.

Tissue elasticity can be imaged noninvasively by elastography, as shown in the mammary, thyroid and prostate gland [2-6]. Transabdominal ultrasonography elastography is used to diagnose hepatic fibrosis [7]. We have reported that transabdominal ultrasound elastography is useful for differential diagnosis of pancreatic disease and presumed reflux esophagitis, and that EUS elastography (EUS-EG) can predict pancreatic fibrosis and the risk for postoperative pancreatic fistula [8-11]. Giovannini et al. showed that elastography can be used for differential diagnosis of pancreatic tumor by classifying tumors into 5 grades based on skewness and signal distribution [12].

An assessment of vascular invasion of PDAC using elastography has not been performed [13]. Therefore, the primary endpoint in this study was to compare diagnostic performance for vascular invasion among dynamic CT, EUS B-mode, and EUS-EG. The secondary endpoint was to examine EUS-EG for diagnosis of vascular invasion in cases that were difficult to diagnose using dynamic CT.

Methods
Patients
The subjects were patients with PDAC at our hospital who underwent EUS between January 2015 and November 2018, followed by surgery without chemotherapy or radiotherapy, and a detailed pathological evaluation. The EUS procedure was performed by one of three experienced endosonographers (> 250 EUS cases per year).

Devices And Procedures
The ultrasound observation system and endoscopes used in the study were an Arietta 850 (Hitachi-Aloka Medical, Ltd, Tokyo, Japan) and a GF-UE260 or GF-UCT260 (Olympus Co., Ltd, Tokyo, Japan); an EU-ME2 Premier Plus and GF-UE260 or GF-UCT260 (all Olympus Co., Ltd, Tokyo, Japan); a Hi Vision Ascendus (Hitachi-Aloka Medical, Ltd, Tokyo, Japan) and EG-3670URK (Pentax Co., Ltd, Tokyo, Japan);
and a Sonart SU-1 and EG-580UR or EG-580UT (all Fujifilm Co., Ltd, Tokyo, Japan).

When performing EUS-EG, the EUS probe was applied to the gut wall just exerting the pressure needed for an optimal and stable B-mode image at 7.5 MHz. The region of interest (ROI) for the elastographic evaluation was manually selected so that the lesion and the concerned vessels are centered in the ROI area. Because elastography images tend to show rapidly changing colors, an image that was stable for at least 5 seconds was required for evaluation of vascular invasion.

**Definition**

The definition of vascular invasion diagnosis in EUS-EG was as below. Elastography images of the hardness or softness of biological tissues are determined by displacement of points in ultrasound upon compression of tissues. If two tissues with different hardness contact each other but are not fixed, the border significantly moves after pushing the two tissues at the same compression level. Elastography recognizes tissues showing relative movement as softer than surrounding tissues, and red, yellow and green bands are imaged as artifacts. In contrast, if two tissues are fixed, the border moves simultaneously, resulting in no artifact band at the border. In this study, we refer to artifact bands at the border as colored band. Based on this principle, lesions with and without colored band between the tumor and vessel were defined as not having and having vascular invasion, respectively (Fig. 1.2).

The definition of vascular invasion in EUS B-mode was based on one of three conditions being present, as in previous studies: (I) a missing portal vein and developing collateral circulation surrounding the pancreas; (II) a tumor in the intravascular space; and (III) an abnormal vessel missing a hyperechoic layer vascular surface. A subject with none of these three findings was defined as vascular invasion-negative [14].

Both EUS-EG and EUS B-mode findings were retrospectively reviewed by two gastroenterologists (YK and IT) who were experts of EUS with experience of more than 1000 procedures without the information of CT or final results in a blinded fashion.

The interobserver variability of EUS B-mode and EUS-EG was assessed by calculating the κ-coefficient after two blinded readers had made their individual independent reading. The two readers reassessed
the image of that yielded discrepant finding together to reach an agreement.

Findings on dynamic CT were assessed by experienced two radiologists based on the NCCN Guidelines. Vessel margin irregularity or tumor intrusion into the periarterial fat plane with the tumor lying in juxtaposition to the vessel was assessed as vascular invasion in the arterial system [15-17]. The presence of venous occlusion, flattening or narrowing; apposition with concavity toward the vessel lumen; or a circumferential apposition > 180° was assessed as vascular invasion in the portal system [15-20]. Diagnostic performance by modality was retrospectively compared with histopathological results.

Difficult diagnosis sites group was defined as that a tumor only contacted a vessel on dynamic CT findings, and sites that had vascular obstruction, stenosis or with vessels distant from a tumor detected on dynamic CT findings were excluded. Diagnostic ability of vascular invasion in the group of difficult diagnosis sites was retrospectively compared between dynamic CT and EUS B-mode and EUS-EG. This study was approved by the ethical committee of our hospital (approval number 2014 – 0399) and performed according to the guidelines in the Helsinki Declaration for biomedical research involving human subjects (Clinical trial registration number: UMIN 000016497).

Statistical analysis
Statistical analyses were performed using SPSS Statistics 25.0 (SPSS, Inc., Chicago, IL, USA). To evaluate the diagnostic performances for vascular invasion by each modality, the sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and accuracy were calculated with 95% confidence interval (95%CI). Interobserver agreements of both EUS-EG and EUS B-mode findings were assessed using κ statistics. Depending on κ values, agreement was considered as slight (.01-.20), fair (.21-.40), moderate (.41-.60), substantial (.61-.80), or almost perfect (.81-1.00).

Results
Of 313 patients with PDAC who underwent EUS between January 2015 and November 2018 in our hospital, 60 underwent surgery without chemotherapy or radiotherapy and 58 in whom vascular invasion was pathologically evaluated were subjects of this study. The median age was 71 (range 44-
84) years old and the male to female ratio was 41:17. The lesion sites were the pancreatic head in 32,
pancreatic body in 13 and pancreatic tail in 13. The median tumor diameter was 20 (range 7–40) mm
(Table 1). Of these 58 subjects, 14, 40, and 4 had tumors that contacted no vessels and one and two
vessels, respectively, on dynamic CT findings. A total of 62 sites were assessed (Fig. 3).

Table 1 Patients’ characteristic (n=58) and assessed vessels.

| Characteristics                          | Values |
|-----------------------------------------|--------|
| Median age (range)                      | 71(44-84) |
| Gender (Male:Female)                    | 41:17  |
| Location (Ph:Pb:Pt)                     | 32:13:13 |
| Median tumor size (mm)(range)           | 20(7-40) |
| Assessed vessels (Pathological vascular infiltration positive) |        |
| SMA                                     | 1(0)   |
| SPA                                     | 10(2)  |
| GDA                                     | 1(1)   |
| RHA                                     | 1(1)   |
| PV                                      | 11(3)  |
| SMV                                     | 7(1)   |
| SPV                                     | 17(7)  |

Ph: pancreas head, Pb: pancreas body, Pt: pancreas tail, SMA: superior mesenteric artery, SPA: spleen
artery, GDA: gastroduodenal artery, RHA: right hepatic artery, PV: portal vein, SMV: superior
mesenteric vein, SPV: spleen vein

The assessed blood vessels were the portal vein (PV) in 11 sites, superior mesenteric vein (SMV) in 7,
splenic vein (SPV) in 17, superior mesenteric artery (SMA) in 1, splenic artery (SPA) in 10,
gastroduodenal artery (GDA) in 1, and right hepatic artery (RHA) in 1. Among them, pathological
vascular infiltration was positive in 5 cases of PV, 10 cases of SMV, 7 cases of SPV, 0 cases of SMA, 2
cases of SPA, 1 case of GDA, 1 case of RHA (Table 1). Dynamic CT was performed in all 58 subjects.
Vascular invasion was assessed by EUS-B mode and EUS-EG in 58 and 36 subjects, respectively. Of
subjects in whom vascular invasion was not assessed in EUS-EG, 6 were diagnosed with no vascular
invasion because the vessels were distant from the tumor and 16 did not undergo EUS-EG.
The interobserver agreements of EUS B-mode and EUS-EG findings for vascular invasion were
moderated (κ = 0.596, P < 0.0001) and substantial (κ = 0.634, P < 0.0001).
The sensitivity, specificity, PPV, NPV, and accuracy (95% CI) for vascular invasion in the 58 subjects
(62 sites) were 0.733 ( 0.519–0.881), 0.787 (0.719–0.834), 0.524 (0.371–0.629), 0.902 (0.824–0.956),
and 0.774 (0.671–0.845) on dynamic CT (62 sites); 0.733 (0.519–0.881), 0.787 (0.719–0.881), 0.524
(0.371–0.629), 0.902 (0.824–0.956), and 0.774 (0.671–0.845) in EUS B-mode (62 sites); and 0.917
(0.712–0.984), 0.889 (0.798–0.919), 0.786 (0.610–0.844), 0.960 (0.862–0.992), and 0.897 (0.771–0.939) in EUS-EG (39 sites), respectively (Table 2).

Table 2
Overall results (95% confidence interval).

|                | Dynamic CT (62 sites) | EUS B-mode (62 sites) | EUS-EG (39 sites) |
|----------------|-----------------------|-----------------------|-------------------|
| sensitivity    | 0.733 (0.519–0.881)   | 0.733 (0.519–0.881)   | 0.917 (0.712–0.984) |
| specificity    | 0.787 (0.719–0.834)   | 0.787 (0.719–0.834)   | 0.889 (0.798–0.919) |
| PPV            | 0.524 (0.371–0.629)   | 0.524 (0.371–0.629)   | 0.786 (0.610–0.844) |
| NPV            | 0.902 (0.824–0.956)   | 0.902 (0.824–0.956)   | 0.960 (0.862–0.992) |
| accuracy       | 0.774 (0.671–0.845)   | 0.774 (0.671–0.845)   | 0.897 (0.771–0.939) |

CT: computed tomography, EUS: endoscopic ultrasonography, EUS-EG: endoscopic ultrasonography elastography, PPV: positive predictive value, NPV: negative predictive value

In the 27 subjects (29 sites) in difficult diagnosis sites group, the sensitivity, specificity, PPV, NPV, and accuracy for vascular invasion were 0.556 (0.303–0.772), 0.750 (0.636–0.847), 0.500 (0.273–0.694), 0.789 (0.670–0.892), and 0.690 (0.533–0.824) on dynamic CT; 0.667 (0.400–0.863), 0.700 (0.580–0.788), 0.500 (0.300–0.647), 0.824 (0.682–0.927), and 0.690 (0.524–0.811) in EUS B-mode; and 0.889 (0.635–0.979), 0.850 (0.736–0.890), 0.727 (0.520–0.801), 0.944 (0.818–0.989), and 0.862 (0.705–0.918) in EUS-EG, respectively. These results show that EUS-EG had the best diagnostic performance (Table 3). The diagnoses of all three modalities matched in 12 sites and the diagnostic accuracy was 100% (12/12) in this situation. Meanwhile, the diagnoses of either two out of three modalities (CT and EUS B-mode, CT and EUS-EG, or EUS B-mode and EUS-EG) matched in 5 sites, 7 sites, and 5 sites, and the diagnostic accuracy for each situation was 40% (2/5), 85.7% (6/7), and 80% (4/5), respectively.

Table 3
Results in group of difficult diagnosis sites (29 sites) (95% confidence interval).

|                | dynamic CT | EUS B-mode | EUS-EG |
|----------------|------------|------------|--------|
| sensitivity    | 0.556 (0.303–0.772) | 0.667 (0.400–0.863) | 0.889 (0.635–0.979) |
| specificity    | 0.750 (0.636–0.847) | 0.700 (0.580–0.788) | 0.850 (0.736–0.890) |
| PPV            | 0.500 (0.273–0.694) | 0.500 (0.300–0.647) | 0.727 (0.520–0.801) |
| NPV            | 0.789 (0.670–0.892) | 0.824 (0.682–0.927) | 0.944 (0.818–0.989) |
| accuracy       | 0.690 (0.533–0.824) | 0.690 (0.524–0.811) | 0.862 (0.705–0.918) |

CT: computed tomography, EUS: endoscopic ultrasonography, EUS-EG: endoscopic ultrasonography elastography, PPV: positive predictive value, NPV: negative predictive value

The 29 sites were divided into arterial (8 sites) and portal groups (21 sites). In the arterial group, the sensitivity, specificity, PPV, NPV, and accuracy for vascular invasion were 1.000 (0.418–1.000), 0.500 (0.306–0.500), 0.400 (0.167–0.400), 1.000 (0.612–1.000), and 0.625 (0.334–0.625) on dynamic CT; 1.000 (0.413–1.000), 0.833 (0.638–0.833), 0.667 (0.275–0.667), 1.000 (0.765–1.000), and 0.875 (0.581–0.875) in EUS B-mode; and 1.000 (0.413–1.000), 0.833 (0.638–0.833), 0.667 (0.275–0.667), 1.000 (0.765–1.000), and 0.875 (0.581–0.875) in EUS-EG, respectively. In the portal group, these
results were 0.429 (0.185-0.619), 0.857 (0.735-0.952), 0.600 (0.258-0.866), 0.750 (0.643-0.833), and 0.714 (0.552-0.841) on dynamic CT; 0.571 (0.290-0.806), 0.714 (0.573-0.832), 0.500 (0.253-0.705), 0.769 (0.617-0.896), and 0.667 (0.479-0.823) in EUS B-mode; and 0.857 (0.567-0.971), 0.857 (0.712-0.914), 0.750 (0.496-0.842), 0.923 (0.767-0.985), and 0.857 (0.664-0.933) in EUS-EG, respectively (Table 4).

Table 4
Results in group of difficult diagnosis sites arterial system (8 sites) and portal vein (21 sites).

|                | Dynamic CT      | EUS B-mode       | EUS-EG           |
|----------------|-----------------|------------------|------------------|
| sensitivity    |                 |                  |                  |
| artery         | 1.000 (0.418-1.000) | 1.000 (0.413-1.000) | 1.000 (0.413-1.000) |
| portal vein    | 0.429 (0.185-0.619) | 0.571 (0.290-0.806) | 0.857 (0.567-0.971) |
| specificity    |                 |                  |                  |
| artery         | 0.500 (0.306-0.500) | 0.833 (0.638-0.833) | 0.833 (0.638-0.833) |
| portal vein    | 0.857 (0.735-0.952) | 0.714 (0.573-0.832) | 0.857 (0.712-0.914) |
| PPV            |                 |                  |                  |
| artery         | 0.400 (0.167-0.400) | 0.667 (0.275-0.667) | 0.667 (0.275-0.667) |
| portal vein    | 0.600 (0.258-0.866) | 0.500 (0.253-0.705) | 0.750 (0.496-0.850) |
| NPV            |                 |                  |                  |
| artery         | 1.000 (0.612-1.000) | 1.000 (0.765-1.000) | 1.000 (0.765-1.000) |
| portal vein    | 0.750 (0.643-0.833) | 0.769 (0.617-0.896) | 0.923 (0.767-0.985) |
| accuracy       |                 |                  |                  |
| artery         | 0.625 (0.334-0.625) | 0.875 (0.581-0.875) | 0.875 (0.581-0.875) |
| portal vein    | 0.714 (0.552-0.841) | 0.667 (0.479-0.823) | 0.857 (0.664-0.933) |

CT: computed tomography, EUS: endoscopic ultrasonography, EUS-EG: endoscopic ultrasonography elastography, PPV: positive predictive value, NPV: negative predictive value

Discussion
Vascular invasion in PDAC is a factor in staging that is important for determining the therapeutic strategy and surgical procedure. Elastography has been shown to be useful for qualitative diagnosis of PDAC, but there has been no study of this technique for staging diagnosis. This is the first study that has shown the usefulness of elastography for diagnosis of vascular invasion in patients with PDAC.

Previous studies have shown the sensitivity and specificity is 0.72–0.87 and 0.89–0.93 using EUS, and is 0.58–0.63 and 0.92–0.95 using CT for diagnosis of vascular invasion in PDAC [21–23]. In addition, there is a report showing that the contrast-enhanced EUS using Sonazoid® (Daiichi-Sankyo, Tokyo, Japan) in evaluation of portal vein infiltration has sensitivity of 1.00 and specificity of 0.726-1.00 [24]. Based on these results, the diagnostic results for vascular invasion using EUS are similar or better than those with CT; however, it is sometimes difficult to interpret the EUS findings in the same way among the reviewers because EUS is more subjective compared to CT. In this study, vascular invasion was assessed based on easy-to-read colored band using EUS-EG, which showed a high diagnostic ability with sensitivity of 0.917, specificity of 0.889, and accuracy of 0.897. Regarding the interobserver agreement, EUS-EG showed higher κ-coefficients than EUS B-mode (κ = 0.596 vs. κ =
0.634) with sufficient agreement. These results suggest that evaluating colored band in EUS-EG is an easy and reliable method to diagnose vascular invasion in PDAC.

In the group of difficult diagnosis sites, use of colored band in EUS-EG gave good results for arterial and portal invasion, although there were only a few cases with arterial invasion. The number of subjects in the arterial system is small, it is because a case with suspected invasion of the SMA or celiac artery was assessed as borderline resectable or unresectable.

The vascular invasion diagnostic ability of EUS-EG in both artery and portal vein was superior to those of EUS B-mode alone; therefore, the EUS-EG vascular invasion diagnosis using colored band was considered to be objective and useful for assessment of arterial and portal vascular invasion.

However, it is difficult to use EUS-EG to assess a tumor that is shown not to be in contact with vessels using CT or EUS B-mode, and lesions in which a tumor and vessel cannot be imaged on the same cross-section by EUS B-mode. Therefore, it is not meaningful to use EUS-EG vascular invasion diagnosis in such cases. The NCCN Guidelines specify that EUS should be performed for selected patients [1]. It is preferable to perform EUS-EG proactively in cases in which diagnosis of vascular invasion using CT is difficult (those with slight contact of the tumor with vessels), such as those defined as difficult diagnosis site in this study. In particular, if the diagnoses of the three modalities coincide, it is highly possible to predict the presence or absence of vascular infiltration.

This study has several limitations. First, it was a retrospective study at a single center. Second, endoscopists and devices differed among patients. Within these limitations, our results may show that EUS-EG is useful for diagnosis of vascular invasion. Further studies and an accumulation of cases are needed to validate EUS-EG for diagnosis of vascular invasion in PDAC.

Conclusions
Our results for diagnosis of vascular invasion in PDAC suggest that a combination of EUS B-mode with EUS-EG improves diagnostic performance. In particular, cases in which vascular invasion cannot be clearly assessed by dynamic CT should be evaluated using EUS-EG.

Abbreviations
PDAC
Pancreatic ductal adenocarcinoma
EUS
Endoscopic ultrasound
EUS-EG
Endoscopic ultrasound elastography
CT
Computed tomography
NCCN
National Comprehensive Cancer Network
ROI
Region of interest
PPV
Positive predictive value
NPV
Negative predictive value
PV
Portal vein
SMV
Superior mesenteric vein
SPV
Splenic vein
SMA
Superior mesenteric artery
SPA
Splenic artery
GDA
Gastroduodenal artery
RHA
Right hepatic artery
CI
Confidence interval
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Declarations

Ethics approval and consent to participate

This study was approved by the ethical committee of our hospital (approval number 2014-0399) and performed according to the guidelines in the Helsinki Declaration for biomedical research involving human subjects (Clinical trial registration number: UMIN 000016497).

Consent for publication

All study participants provided informed written consent prior to be enrolled in this observational study.
Availability of data and materials

The data of this study are available from the corresponding author upon reasonable request.

Competing interests

Authors declare no conflict of interests for this article.

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Authors' contributions

Study concept and design: Yamada K, Kawashima H, Ohno E, Ishikawa T, Yoshiki H
Analysis and interpretation of the data: Yamada K, Tanaka H, Nakamura M
Drafting of the article: Yamada K, Miyahara R, Ishigami M
Supervision: Fujishiro M
Final approval of the article: all authors

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Figures

Figure 1

Colored band. The tumor is imaged in blue and the vessel in red. A green band (colored band; arrow) is observed between the tumor and vessel in vascular invasion-negative cases.
Figure 2

Diagnosis of vascular invasion by Endoscopic ultrasonography Elastography (EUS-EG). (a) Vascular invasion-negative case Starting from the left: EUS-EG, EUS B-mode and color Doppler. In B-mode, vascular invasion of the tumor (yellow arrow) is unclear in EUS B-mode image, whereas the colored band (arrow) in EUS-EG image clearly identifies a vascular invasion-negative. (b) Vascular invasion-positive case Starting from the left: EUS-EG and EUS-B-mode. In EUS-B-mode, vascular invasion of the tumor is not clear, whereas the
absence of a colored band in EUS-EG image permits diagnosis of the site as vascular invasion-positive. (c) Vascular invasion-negative case in which EUS-EG was effective. Starting from the left: CECT, EUS B-mode and EUS-EG. In CECT, the tumor (yellow arrow) appears to invade the splenic artery. In B-mode, vascular invasion of the tumor (yellow arrow) is unclear in EUS B-mode image, whereas the colored band (arrow) in EUS-EG image clearly identifies a vascular invasion-negative. Pathologically, this case did not infiltrate the splenic artery. CECT: contrast enhanced computed tomography, EUS: endoscopic ultrasonography, EUS-EG: endoscopic ultrasonography elastography

Figure 3
Flowchart of patients’ selection. EUS: endoscopic ultrasonography, EG: elastography, CT: computed tomography
