INTRODUCTION

Over the years, many studies have been conducted to identify prognostic and predictive markers for head and neck squamous cell carcinoma (HNSCC). To date, prognostic markers such as age, TNM-stage and histological type determine decision-making regarding the most optimal treatment strategy. In oncogenesis, cell proliferation is one of the most essential biological processes and may therefore be a strong predictive and prognostic marker. Ki-67 is a nuclear marker that is present in all phases of the cell cycle but absent in resting cells (G0 phase). Therefore, Ki-67 is an ideal marker to quantify the relative amount of proliferative neoplastic cells within tumour tissue, defined as the Ki-67 proliferation index (Ki-67 PI).
as the Ki-67 proliferation index (Ki-67 PI). However, the results of earlier studies investigating the relationship between the Ki-67 PI, local control (LC) and survival after primary RT in laryngeal squamous cell cancer (LSCC) are not unambiguous, as shown in Table 1. Possible explanations for these differences are variations in patient group factors, immunostaining and scoring-related factors. 

The aim of this study was to assess the value of Ki-67 PI in predicting LC and disease-specific survival (DSS) after primary RT in a well-defined consecutive series of patients with early-stage (T1-T2) LSCC. By using standardised and automated immunohistochemistry along with digital image analysis (DIA) to assess the Ki-67 PI, we reduced staining and scoring variability.

2 | PATIENTS AND METHODS

2.1 | Patients

Patients treated for LSCC at our institution are included in a database by the Netherlands Cancer Registry (NCR) by using the results of the nationwide network and registry of histopathology in the Netherlands (PALGA). Retrospectively, data from the hospital consisting of date of birth, sex, tumour site, TNM status, tumour classification and therapy modality are collected. From this database, a consecutive series of patients was included in the current study who were (a) diagnosed between 1990 and 2012; (b) with a primary T1-T2 biopsy-proven LSCC; (c) had received and completed primary RT with curative intent; (d) had a minimum follow-up of 5 years (if not deceased); and (e) had biopsy tissue available in the biobank at the Department of Pathology of our institution. Patients who had a coincidental lung carcinoma, multiple HNSCC or previous radiation therapy or surgery of the head and neck region were excluded. Additional clinical, histopathological and follow-up data were retrospectively collected. Initially, 317 patients could be included. Sufficient biopsy material was available in 238 cases. After Haematoxylin and Eosin (HE) staining and reviewing by a head & neck pathologist, 30 biopsy specimens were additionally excluded because of insufficient invasive tumour tissue within the biopsy material. This resulted in a cohort of 208 patients. The majority of included patients were used in previous studies regarding the evaluation of other biomarkers.

2.2 | Ethical considerations

According to the Central Committee on Research involving Human Subjects (CCMO), this type of study did not require approval from an ethics committee in the Netherlands. This study was approved by the Privacy Review Board of the NCR by following "The Code of Conduct for the Use of Data in Health Research" of the CCMO.

2.3 | Treatment

All patients were treated by a multidisciplinary head & neck team. Patients received primary RT with curative intent using 6MV linear accelerator equipment as previously described. In short, T1 tumours received 2 Gy fractions five times weekly with a total dose of 66 Gy. T2 tumours were treated with six fractions weekly to a total dose of 70 Gy. In case of lymph node metastasis, a total dose of 46 Gy was electively delivered to the primary planning target volume together with an additional boost of 70 Gy to the primary tumour and pathologic lymph nodes. From the year 2000 onwards, planning of field arrangements was performed by using contrast-enhanced computed tomography (CT). Before 2000, this was calculated by direct simulation (Figure 1).

2.4 | Follow-up

All patients had standardised follow-up after completing RT in accordance with the Dutch Working Party on Head and Neck Tumours (NWHHT) guidelines. For the first 2 years, the otorhinolaryngology and radiotherapy department alternately performed physical examination with laryngoscopy every 3 months. After 2 years, this was alternately performed every 6 months up till 5 years after completing radiation treatment. Patients were discharged from follow-up after 5 years if no evidence of disease was found.

2.5 | Immunohistochemistry

All tumour material was formalin-fixed and paraffin-embedded. Using a standard microtome, 3 µm sections were cut from the tumour paraffin blocks. Immunohistochemistry for Ki-67 (CONFIRM® anti-Ki-67 [30-9] Rabbit Monoclonal Primary Antibody, Ventana Medical Systems) was performed using the automated Benchmark® platform (Ventana Medical Systems) according to the manufacturer’s recommendations and protocol. The antibody was pre-diluted by the supplier.
2.6 | Evaluation of immunohistochemical staining

A whole tumour slide was analysed in order to reduce sampling error. All glass slides digitized using the Hamamatsu Nanozoomer HT 2.0 (Hamamatsu Photonics KK, 325-6). For semi-automated DIA, Definiens Tissue Studio 3.6 (Definiens AG) was used. Images were processed in one batch automatically for identification and calculation of nuclear staining. Twenty random cases were selected and manually counted by the head & neck pathologist to validate the image analysis algorithm. All slides contained at least 500 countable cells (median 7308, range 509-121.847).

2.7 | Definitions

LC was defined as local tumour recurrence at the primary tumour site within 2 years after RT and was calculated from the date of diagnosis until the date of recurrence. After this period of 2 years, any local recurrence was defined as a second primary tumour. DSS was defined as the date of diagnosis until the date of death by disease or last date of follow-up within 5 years.

In the analyses, Ki-67 PI was considered both as a continuous and a dichotomous variable. For dichotomisation, the cut-off value for high vs low Ki-67 PI was set to 50%, which was defined by the median Ki-67 expression in our cohort. In addition, we also tried to compare our data with previously published studies, which used cut-off values of 10% and 20%.7-9,12,13 Alcohol use was defined as drinking one or more units per day either in the past or at date of diagnosis. The same was applied for tobacco use with smoking one or more cigarettes or cigars per day.

2.8 | Statistical analysis

Patients were dichotomised based on their Ki-67 PI, and for correlations between patient and tumour characteristics, univariate logistic
regression was used. In this way, odds ratios (OR) and 95% confidence intervals (95% CI) were estimated for a high Ki-67 PI. When the Ki-67 PI was considered as a continuous variable, Mann-Whitney U-tests were performed. The correlation between the Ki-67 PI and clinicopathological characteristics was evaluated for LC and DSS by using univariate Cox regression analyses estimating hazard ratios (HR) and 95% CI. All statistical tests were two-sided and a \( P \)-value ≤ .05 was considered to be statistically significant. For multivariate analysis, factors with a \( P \)-value of > .15 were excluded in a stepwise manner; factors with a \( P \)-value of ≤ .05 were included in the final step. Statistical analyses were performed using SPSS (IBM Corp. Released 2015. IBM SPSS Statistics for Windows, Version 23.0: IBM Corp).

3 | RESULTS

Patient and tumour characteristics are described in Table 2. The majority of tumours were of glottic origin, had a T2 status, did not have lymph node metastasis and were moderately differentiated. Most patients were male and median age was 64.4 years.

At the date of analysis, median follow-up time was 65.2 months (range 4-236). Local recurrence occurred in 48 patients, of which 40 patients underwent a total laryngectomy with or without additional neck dissection. Eight patients received palliative treatment. The median time to local recurrence was 9.6 months (range 5-21). After 5 years, 152 patients were still alive, 21 patients died from disease, 26 patients died unrelated to disease and nine patients died from unknown causes.
Specific nuclear Ki-67 staining was present and DIA could be performed in all cases (Figure 1). Median Ki-67 PI was 49% (range 4%-89%). As this approached the 50% cut-off used in other studies, we also used a 50% cut-off between high and low Ki-67 PI for comparability. Using a 10% and 20% cut-off, a high Ki-67 PI was found in 207 cases (99.5%) and 199 cases (95.7%), respectively. As the low Ki-67 PI group was too small for both cut-offs (one and nine cases respectively), no further statistical analyses were performed using these cut-offs.

In the univariate regression analysis using a 50% cut-off for the Ki-67 PI, no significant associations between clinicopathological variables and Ki-67 PI were found (Table 3). When treated as a continuous variable, no significant associations between Ki-67 PI and the evaluated variables were found (Table 3).

A significant negative association between LC and poor tumour differentiation (HR 2.18; 95% CI 1.06-4.50; P = .04), alcohol use (HR 2.94; 95% CI 1.24-6.95; P = .01) and tobacco use (HR 7.59; 95% CI 1.05-55.02; P = .045) was found. In stepwise multivariate Cox regression analysis, alcohol use (HR 2.84, 95% CI 1.20-6.71; P = .02) and poor differentiation (HR 2.20; 95% CI 1.06-4.59; P = .04) were independent predictors for worse LC. No associations between high Ki-67 PI and LC (HR 1.59; 95% CI 0.89-2.81; P = .11) or Ki67 PI as a continuous variable and LC (HR 2.03; 95% CI 0.37-11.14; P = .42) were found (Figure S1A, Table 4).

In univariate and stepwise multivariate Cox regression analysis, a significant negative association was found between lymph node positivity and DSS (HR 3.16, 95% CI 1.16-8.64; P = .03). No associations were found between Ki-67 PI and DSS (HR 0.98; 95% CI 0.57-1.66; P = .97) or Ki67 PI as a continuous variable and DSS (HR 0.62; 95% CI 0.05-8.28; P = .72) (Figure S1B, Table 5).

### DISCUSSION

In a well-defined series of patients diagnosed with T1-T2 LSCC and treated with primary RT, Ki-67 PI was determined using standardised automated immunohistochemistry and DIA. No statistically significant associations between high (≥50%) or continuous Ki-67 PI and clinicopathological characteristics, LC or DSS were found.

From the eleven previously conducted studies, 15 (sub)analyses were reported or could be calculated using the data and cut-off values provided in the papers (Table 1). Of those, nine did not find a significant association between Ki-67 PI and LC after RT. Two subgroup analyses in one study showed a negative association between high Ki-67 and LC in both a cohort treated with accelerated RT (ART) and in a combined cohort treated with either ART or conventional RT (HR 2.66; 95% CI 1.17-6.08 and HR 5.11; 95% CI 1.53-17.06 respectively). Nichols et al found a worse local, regional or distant control in patients with high Ki-67 tumours. Three studies showed a significant positive association between high Ki-67 and LC after RT using continuous values, and one study showed a positive association using a 50% cut-off (no HR or 95% CI was given or could be calculated). However, selection bias may have influenced the outcome of these studies as in one of the studies 36 patients were randomly selected from a larger cohort of 128 patients, another study included only 24 patients with a glottic carcinoma involving the anterior commissure in a 10-year period. The study of Rafferty et al only describes 50 patients from a prospective database, which included patients since 1960. Moreover, no multivariate analyses to correct for possible confounding factors were conducted to verify their significant associations in univariate analyses. The results of the current study are in line with results of earlier studies that included larger study groups. Cho et al concluded that Ki-67 was not predictive for LC after primary RT treatment in a series of 123 T1-T2NO LSCC. A similar conclusion was drawn by Rademakers et al who also used DIA to assess Ki-67 in 128 patients.
From five subanalyses of the four studies that assessed the association between Ki-67 and survival, none found a difference in OS, DSS or survival (not otherwise specified). A confounder for this result might be the inclusion of advanced LSCC, which has a much higher tendency to metastasise (regionally). Our study only consisted of early-stage LSCC. The role of Ki-67 in advanced tumours could be the subject of a follow-up study.

Consensus on Ki-67 staining protocols, Ki-67 antibodies and scoring methods is still lacking. The published cut-offs for high vs low Ki-67 PI varied between 10%, 20% and 50%, along with continuous values. We believe tumour markers without pre-set cut-off value (ie continuous values) are deemed less fit as diagnostic biomarker for decision-making regarding different therapeutic modalities.

Various definitions regarding LC after radiotherapy make it even more difficult to compare study results. A global definition for LC is needed in order to have better comparability across studies. Despite this lack of consensus, this seems not to be explanatory for the different outcomes.

In our cohort, we found a relatively high Ki-67 PI compared with other studies. One of the explanations might be that we used marked HE slides to accurately determine and select neoplastic regions within the digitised Ki-67 slide. Our digital image algorithm solely selected neoplastic cells and excluded non-tumour cells resulting in an accurate calculation of the Ki-67 PI. Rademakers et al who also used DIA on whole tumour section slides do not explicitly state they adjusted scoring for non-neoplastic regions; which could have led to a lower ratio of Ki-67 positive cells. Also, intratumour heterogeneity may lead to lower Ki-67 PI if the incorrect region within the tumour is counted. Others studies predominately used manual counting. Most studies, if reported, counted smaller regions of the whole tumour, which could lead to selection bias by sampling error and interobserver variability.

For breast carcinoma, Dowsett et al recommend counting at least 500-1000 cells in order to compensate for intratumour

| Characteristics            | Total | High Ki-67 PI OR (95% CI) | P value | Continuous Ki-67 P value |
|----------------------------|-------|---------------------------|---------|-------------------------|
| Age (continuous)           | 208   | 1.01 (0.99-1.04)          | .32     | n/a                     |
| Age, years                 |       |                          |         |                         |
| <64                        | 98    | 1                         |         |                         |
| ≥64                        | 110   | 1.27 (0.73-2.20)          | .39     | .74                     |
| Gender                     |       |                          |         |                         |
| Female                     | 22    | 1                         |         |                         |
| Male                       | 186   | 0.75 (0.31-1.82)          | .52     | .20                     |
| Alcohol                    |       |                          |         |                         |
| No                         | 57    | 1                         |         |                         |
| Yes (≥1 units/d)           | 135   | 1.15 (0.62-2.15)          | .65     | .86                     |
| Tobacco use                |       |                          |         |                         |
| No                         | 26    | 1                         |         |                         |
| Yes (≥1 cig/d)             | 176   | 0.91 (0.40-2.08)          | .83     | .44                     |
| Subsite                    |       |                          |         |                         |
| Glottic                    | 146   | 1                         |         |                         |
| Supraglottic               | 62    | 1.77 (0.97-3.24)          | .62     | .10                     |
| cT-status                  |       |                          |         |                         |
| T1                         | 84    | 1                         |         |                         |
| T2                         | 124   | 1.03 (0.59-1.80)          | .93     | .26                     |
| cN-status                  |       |                          |         |                         |
| N0                         | 188   | 1                         |         |                         |
| N+                         | 20    | 2.16 (0.82-5.65)          | .12     | .12                     |
| Differentiation            |       |                          |         |                         |
| Well/moderate              | 186   | 1                         |         |                         |
| Poor                       | 22    | 1.34 (0.55-3.25)          | .52     | .66                     |

Abbreviations: 95% CI, 95% Confidence Interval; Ki-67 PI, Ki-67 proliferation index; n/a, not applicable; OR, Odds Ratio.

*Mann-Whitney U Test.
proliferation heterogeneity. With our automated analysis, a median of 7308 cells (ranging 509-121,847) was counted in a standardised, fast, reliable and reproducible manner. We previously validated the use of DIA for Ki-67 in breast carcinoma and found a high interobserver agreement between manual and automated Ki-67 scoring.

Manual immunohistochemical staining is a time-consuming process and leads to variable staining intensity. Interlaboratory variety is clearly illustrated in the study of Polley et al., were they investigated the interlaboratory reproducibility for Ki-67 staining in breast cancer cases among eight North American and European laboratories. A moderate reproducibility across the laboratories was found when they used their own scoring methodology on sections stained in a central laboratory. This reproducibility declined even further when both staining and scoring were done locally.

By using a standardised and automated staining platform with a pre-diluted antibody by the supplier, we minimised this problem and improved reproducibility, enabling future interlaboratory comparison.

5 | CONCLUSION

In this well-defined consecutive series of T1-T2 LSCC treated with primary RT, the clinicopathological characteristics alcohol use and poor tumour differentiation were independent predictors for worse LC. Lymph node positivity was a negative predictor for DSS. The Ki-67 PI however did not predict outcome regarding LC or DSS after treatment. Therefore, the Ki-67 PI should not be incorporated in treatment-related decision-making for LSCC.
TABLE 5  Patient and tumour characteristics related to disease-specific survival (univariate cox regression analyses)

| Characteristics      | DSS      |   | P value |
|----------------------|----------|---|---------|
| Age (continuous)     | 208      | 0.97 (0.93-1.01) | .16a |
| Gender               |          |   |         |
| Female               | 22       | 1 |         |
| Male                 | 186      | 1.16 (0.27-4.97) | .84a |
| Alcohol use          |          |   |         |
| No                   | 57       | 1 |         |
| Yes (≥1 units/d)     | 135      | 2.51 (0.74-8.57) | .14b |
| Tobacco use          |          |   |         |
| No                   | 26       | 1 |         |
| Yes (≥1 cig/d)       | 176      | 3.18 (0.43-23.71) | .26a |
| Subsite              |          |   |         |
| Glottic              | 146      | 1 |         |
| Supraglottic         | 62       | 1.49 (0.62-3.58) | .38a |
| cT-status            |          |   |         |
| T1                   | 84       | 1 |         |
| T2                   | 124      | 0.93 (0.39-2.21) | .87a |
| cN-status            |          |   |         |
| N0                   | 188      | 1 |         |
| N+                   | 20       | 3.16 (1.16-8.64) | .03 |
| Differentiation      |          |   |         |
| Well/moderate        | 186      | 1 |         |
| Poor                 | 22       | 2.49 (0.91-6.79) | .08b |
| Ki-67 PI             |          |   |         |
| Low                  | 108      | 1 |         |
| High                 | 100      | 0.99 (0.42-2.32) | .97a |
| Ki-67 PI (continuous)| 208      | 0.62 (0.05-8.28) | .72a |

Abbreviations: 95% CI, 95% Confidence Interval; DSS, Disease-Specific Survival; HR, Hazard Ratio; Ki-67 PI, Ki-67 proliferation index.

Significant results are shown in bold.

aNot included in final step of multivariate analysis.
bNot included in multivariate analysis.

CONFLICT OF INTEREST

None to declare.

DATA ACCESSIBILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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SUPPORTING INFORMATION
Additional supporting information may be found online in the Supporting Information section at the end of the article.

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