Phylogenetic relatedness of woody angiosperm assemblages and its environmental determinants along a subtropical elevational gradient in China

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ABSTRACT

The species composition of plant communities is determined by a number of factors, including current environmental conditions as well as biogeographical and evolutionary history. Despite evidence that plant diversity decreases and species relatedness increases along latitudinal and environmental gradients (e.g., low temperatures), it remains unclear whether these same patterns occur along elevational gradients, especially in the subtropical mountainous areas harboring rich biodiversity. In this study, we explored the pattern of phylogenetic relatedness of woody angiosperm assemblages and examined the effects of temperature variables on the phylogenetic relatedness among angiosperm woody plants using generalized linear model in subtropical forest communities along a broad elevational gradient in the Dulong Valley of Yunnan Province, China. Our results showed that woody angiosperm species in local forest plots tend to be more phylogenetically related at higher elevations and in areas with lower temperatures. Additionally, winter average temperature, rather than mean annual temperature, is a major predictor of the pattern of increasing phylogenetic relatedness with increasing elevation. This finding is consistent with the prediction of ‘Tropical Niche Conservatism’ hypothesis, which highlights the role of niche constraints in driving phylogenetic community assembly along an elevational gradient.

1. Introduction

Plant communities are assembled through interactions between both environmental and evolutionary processes (Qian and Sandel, 2017; Qian et al., 2018). Community assemblages can only comprise species whose environmental tolerances allow them to maintain a population (Cardillo, 2011) under both the abiotic and biotic conditions of the local community (Fraterrigo et al., 2014; Qian et al., 2019a; Ricklefs, 1987; Willis et al., 2010). However, the traits that confer the ability to survive in a local environment are also constrained by evolutionary history (Donoghue, 2008). For example, cold and drought tolerance in plants are widely considered to be phylogenetically conserved (Wiens et al., 2010; Condamine et al., 2012; Crisp and Cook, 2012; Hawkins et al., 2014).

Understanding how these environmental and evolutionary factors interact to influence plant community assembly is a major challenge.

The ‘Tropical Niche Conservatism’ hypothesis (TNC) posits that phylogenetic relationships have a significant effect on community assembly along an environmental gradient (Wiens and Donoghue, 2004; Hettwer et al., 2012). This hypothesis also proposes that most angiosperm families originated and initially diversified in tropical climates (Latham and Ricklefs, 1993; Romdal et al., 2013). However, with the onset of global cooling in the Eocene, most tropical lineages were extirpated from the tropics, although some acquired adaptations enabling them to shift into the spreading temperate climates (Graham, 2011; Hawkins et al., 2014). Evolutionary innovations related to cold tolerance are thought to have only occurred in a few clades due to the conserved nature of ecological traits (e.g., cold tolerance) and the relative rarity of evolutionary events which confer novel functions (Latham and Ricklefs, 1993; Wiens and Donoghue, 2004; Donoghue, 2008). Due to the comparative rarity of cold-adapted lineages, there are a limited
number of species which can fill niches in temperate environments (Ricklefs, 2006). The overall effect of this phenomenon is that the phylogenetic relatedness of species within an environment tends to increase as the temperature of that environment decreases, which has been demonstrated in several empirical studies (e.g., Giehl and Jarenkow, 2012; Qian et al., 2013, 2015; 2016; Qian and Sandel, 2017).

Climatic variation along elevational gradients is an excellent natural laboratory for examining how temperature influences the composition of different species assemblages in local communities (Qian et al., 2014; Rahbek et al., 2019). Species diversity along elevational gradients mirror species diversity along latitudinal gradient, and the underlying mechanisms responsible for these patterns are thought to be the same (Vetaas and Grytnes, 2002). Accordingly, some temperature-related variables, including mean annual temperature and minimum temperature of the coldest month, change in similar ways along elevational and latitudinal gradients (Qian et al., 2014; Muellner-Riehl et al., 2019). For example, minimum annual temperature is generally thought to significantly affect the distribution of species towards higher elevations and latitudes (Mittelbach et al., 2007; Sanders et al., 2007; Qian and Ricklefs, 2011). However, over a given distance, elevational gradients of temperature are generally steeper than latitudinal gradients of temperature. For example, the thermal gradient of a 100-km change in latitude is typically equivalent to that of a 100-m change in elevation (Jump et al., 2009; Stephenson and Das, 2011). Consequently, examining species richness along an elevational gradient is a more straightforward approach to disentangling the influences of temperature factors on phylogenetic relatedness (Körner, 2007).

The relatedness of species in local communities has been the subject of many studies, but the results of previous studies have been inconsistent. In tropical Asia and South America, woody angiosperm species are more distantly related to each other along elevational gradients (Culmsee and Leuschner, 2013; González-Caro et al., 2014; Qian, 2018). However, in temperate regions of Asia, woody angiosperm species are more closely related to each other along elevational gradients (Qian et al., 2014, 2019b; Chun and Lee, 2018), which is consistent with predictions of the TNC hypothesis. Because nearly all studies associated with elevational gradients have been limited to tropical and temperate areas, little information is known about subtropical regions. Therefore, more studies are needed to draw a general pattern on phylogenetic relatedness along elevational gradients.

In this study, we investigated the pattern of phylogenetic relatedness among woody angiosperms within subtropical forest assemblages along an elevational gradient in the Dulong Valley of Yunnan Province, China. Because temperature is one of the major environmental factors governing species distributions in local plant assemblages (Li et al., 2017), we explored the relationship of phylogenetic relatedness to both temperature and elevation variation. Specifically, we addressed two main questions: 1) Does phylogenetic relatedness of woody angiosperm assemblages increase with increasing elevation? 2) What environmental factor (e.g., mean annual temperature, winter average temperature) is the dominant determinant of phylogenetic relatedness in local communities of woody angiosperms?

2. Materials and methods

2.1. Study area and locations

The Dulong Valley is located at the border of Myanmar and China, and extends roughly 20 km west into Myanmar (He, 1998). This area has 2816 native seed plant species which belong to 778 genera and 171 families. Of these, 132 species are endemic to the valley (Li et al., 2007, 2011). This high degree of endemism and species richness is likely the result of interactions between the climatic, topographic and geologic variation of the site (Chaplin, 2005).

We obtained data on species composition for woody angiosperms in local assemblages from a recently published monograph (Wang et al., 2013). We identified woody angiosperms at 28 forest plots along an elevational gradient in the Dulong Valley. The plots extend from 1300 to 2900 m above sea level (Fig. 1). Each plot is 400 m² with a homogeneous habitat. All woody angiosperms were identified to species or morphospecies (Wang et al., 2013). Botanical nomenclature was standardized according to Flora of China (http://www.efloras.org/). Dominant species, elevation, longitude and latitude for each forest plot used in this study are provided in Appendix S1.

2.2. Phylogeny and community phylogenetic structure

We used a recently published mega-phylogeny "GBOTB" as the basis to generate a phylogeny for the species present in this area. GBOTB was constructed using 79,881 taxa in GenBank and a backbone provided by Open Tree of Life (Smith and Brown, 2018). This is the most comprehensive and up-to-date time-calibrated species-level mega-phylogeny for seed plants. Genera and species present in the study area but absent from GBOTB were added to their respective families (in the case of genera) and genera (in the case of species) using the Phylogenetic and BLADJ approaches (Webb et al., 2008) implemented in the V.PhyloMaker software (Jin and Qian, 2019). V.PhyloMaker
places any missing species at the basal node within a given
genus, and any missing genera at the basal node within their
respective families. We pruned the phylogeny to retain only
species present in our data (Fig. 2).

We used two metrics to quantify phylogenetic relatedness
among species for each forest plot: net relatedness index (NRI;
Webb, 2000) and phylogenetic species variability (PSV; Helmus
et al., 2007). Both metrics measure the degree of phylogenetic
relatedness among species within a community, and both have
been commonly used in earlier studies of phylogenetic community
assembly (e.g., Li et al., 2015; Lu et al., 2018; Qian et al., 2019b). NRI
measures the standardized effect size of the mean pairwise dis-
tance (MPD), which is used to estimate the mean phylogenetic
relatedness among taxa within a community. NRI is defined
as: $\frac{-1 \times (MPD_{observed} - MPD_{randomized})}{sdMPD_{randomized}}$ (Webb,
2000), where $MPD_{observed}$ is the observed MPD, $MPD_{randomized}$ is
the expected MPD of the randomized communities ($n = 1000$), and
$sdMPD_{randomized}$ is the standard deviation of the MPD for the ran-
domized communities. A negative NRI value indicates that the
observed MPD is greater than expected by chance (i.e., taxa are
more distantly related than expected) and that phylogenetic
evenness or overdispersion of taxa is present. In contrast, a positive
NRI value indicates that the observed MPD is lower than what
would be expected by chance (i.e., taxa are more closely related
than expected by chance) and that phylogenetic clustering has
taken place.

PSV is defined as: $(ntrC - SC)/n(n - 1)$ (Helmus et al., 2007),
where $n$ is the number of taxa, $C$ is a covariance matrix that
represents the correlation of phylogenetic structure, $trC$ is the
sum of the diagonal elements of $C$, $SC$ is the sum of all elements
in $C$. PSV varies from one, which indicates that taxa assemblages
are unrelated (phylogenetic overdispersion or evenness), to zero,
which indicates that taxa assemblages are perfectly related
(phylogenetic clustering). Therefore, regions with high PSV
values represent phylogenetic overdispersion, whereas regions
with low PSV values indicate phylogenetic clustering. This metric
is independent of species richness (Savage and Cavender-Bares,
2012).

We assessed the significance of phylogenetic metrics for the
forest plots by comparing their observed value to a null distribution
where the species names were randomly shuffled across the tips of
the phylogeny 999 times. Then, a two-tailed test was applied to
judge whether the observed value was significantly lower or higher
than the null model. Observed values that fell into the top or bot-
tom 2.5% of the distribution for each forest plot were considered
significant (Santos et al., 2010; Qian et al., 2013; Zhang and Zhang,
2017).

2.3. Environmental data

We used mean annual temperature (MAT) and winter average
temperature (WAT) to quantify environmental conditions in each
plot. These variables were selected due to their common usage in
testing the relationship between environmental factors and
phylogenetic relatedness along elevational gradients (e.g.,
Culmsee and Leuschner, 2013; Qian, 2014; Chun and Lee, 2018).

Fig. 2. Phylogenies of woody angiosperms species in forest communities in the Dulong Valley of China.
Temperature for this study was collected using iButton data loggers (DS1923 #F5) every 30 min from August 2018 to August 2019 at 9 sites, which were installed along a vertical interval of 200 m. We used generalized additive model in order to obtain unbiased estimates for the slopes of the temperature in cases where the relationship between temperature and elevation was not linear. Elevation data were used as an independent variable with the coordinates of the plot as a smooth factor to account for spatial autocorrelation to obtain the interpolated MAT and WAT for each plot.

2.4. Statistical analyses

We used a generalized linear model to assess the effect of temperature variables on phylogenetic relatedness among the angiosperm species of each forest plot. We included phylogenetic relatedness of each plot as the response variable and the MAT and WAT as one of the independent variables (NRI or PSV = β0 + β1MAT + β2WAT + ε). The MAT and WAT variables were all standardized by log 10 transformation to facilitate interpretation and comparisons among parameters. We determined which temperature variable better described phylogenetic metrics based on the Akaike information criterion (AIC) model selection, with smaller AIC scores indicating the more concise and accurate model (Symonds and Moussalli, 2011). All phylogenetic metrics and model analyses were implemented in “picante v.1.8.1”, “mgcv v.1.8e31”, and “psych v.1.9.12.31” packages (Kembel et al., 2010; Wood, 2017; Revelle, 2018) of R v.3.5.2 (R Development Core Team, 2018).

3. Results

A total of 236 woody angiosperms species from 135 genera and 69 families were identified in the 28 forest plots. Species richness varied greatly across plots, from 12 to 40.

We found that elevation had a significant negative correlation with the species richness of woody angiosperms \( (r = -0.650, \ p < 0.001) \) (Fig. 3A). Additionally, elevation was negatively correlated with both mean annual temperature \( (r = -0.985, \ p < 0.001) \) and winter average temperature \( (r = -0.852, \ p < 0.001) \) (Fig. 3B). NRI increased with increasing elevation \( (r = 0.464, \ p = 0.013) \) (Fig. 3C), while PSV was negatively correlated with elevation \( (r = -0.429, \ p = 0.023) \) (Fig. 3D). Although these correlations are moderate, they indicate that species in local forest assemblages in the Dulong Valley are phylogenetically related at higher elevations. The AIC scores indicate the best model for describing phylogenetic relatedness include WAT, regardless of whether it was measured using NRI or PSV (Table 1).

4. Discussion

In the present study, we used two metrics (NRI and PSV) to examine the pattern of phylogenetic relatedness among woody angiosperm species and evaluated the effects of temperature variables on their phylogenetic relatedness in the subtropical forest plots along a broad gradient of elevation in the Dulong Valley. Both metrics measure phylogenetic relatedness as the standardized effect size, and PSV accounts for differences in species richness (Webb, 2000; Helmus et al., 2007; Savage and Cavender-Bares, 2012). Although patterns derived from NRI and PSV are generally congruent, the elevational gradient of phylogenetic relatedness derived from NRI is smoother than that derived from PSV (Fig. 3C and D).

Our data revealed that woody angiosperm assemblages showed greater relatedness to each other (phylogenetic clustering) at higher elevations in the Dulong Valley. This correlation between elevation and phylogenetic relatedness is consistent with the findings of previous studies on phylogenetic relatedness (using NRI to quantify phylogenetic relatedness) for plant assemblages along elevational gradients (Li et al., 2014; Qian et al., 2014, 2019b; Chun...
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slowly compared to their counterparts in the tropics. Furthermore,
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tropical environments. For example, previous studies have found
that in South American tropical tree communities, phylogenetic
clustering occurs at high temperatures (low elevation), whereas
phylogenetic overdispersion occurs at low temperatures (high ele-
vations) (González-Caro et al., 2014; Qian, 2018). Similarly,
Culmsee and Leuschner (2013) reported that in Malesia tropical
environments phylogenetic relatedness of woody angiosperm as-
ssemblies was even at high elevations. Taken together, these
studies indicate that in local forest communities that exist along
a tropical elevational gradient there is an inverse relationship be-
more ecologically similar (Webb et al., 2002). In our study, woody
angiosperm species in forest plots had increased phylogenetic
clustering as elevation increased and temperature decreased along
a gradient in the Dulong Valley, suggesting that environmental
filtering likely had a significant impact on the sorting of plants
among plots (Cavender-Bares et al., 2009). However, our finding
that phylogenetic relatedness increases with increasing elevation
(and thus with decreasing temperature) is contrary to patterns that
have been identified when studying the same phenomenon in
tropical environments. For example, previous studies have found
that in South American tropical tree communities, phylogenetic
clustering occurs at high temperatures (low elevation), whereas
phylogenetic overdispersion occurs at low temperatures (high ele-
vations) (González-Caro et al., 2014; Qian, 2018). Similarly,
Culmsee and Leuschner (2013) reported that in Malesia tropical
environments phylogenetic relatedness of woody angiosperm as-
ssemblies was even at high elevations. Taken together, these
studies indicate that in local forest communities that exist along
a tropical elevational gradient there is an inverse relationship be-
the phylogenetic relatedness of woody angiosperm species
and elevation. Qian and Ricklefs (2016) developed the ‘niche
convergence’ or ‘Out of the Tropics’ hypothesis to explain why
increasing elevation tends to result in the decline of phylogenetic
relatedness among woody angiosperm species in tropical regions.
This hypothesis posits that although most angiosperm clades
originated in tropical or tropical-like conditions, some rapidly
spread to colder environments, where they have evolved relatively
slowly compared to their counterparts in the tropics. Furthermore,
ecological tolerance for plant species across elevations in the trop-
cities diversified among distantly related lineages through niche
convergence (Jablonski et al., 2006). Therefore, taxa at higher ele-
vations in the tropics tend to be more phylogenetically
overdispersed.

Our study found that WAT, rather than MAT, described phylo-
genetic relatedness of woody angiosperm assemblages most
robustly, although MAT varied more than WAT across the forest
plots in the Dulong Valley (Table 1; Fig. 3B). One previous study has
also indicated that WAT plays a more significant role than MAT in
constructing phylogenetic relatedness of community assemblies
along an elevational gradient (e.g., Qian, 2018). The TNC hypothesis
explains how WAT shapes the impact of elevation of phylogenetic
relatedness. Specifically, relatively few species have acquired ad-
aptations to harsh environments (Latham and Ricklefs, 1993) and
these traits are phylogenetically conserved (Donoghue, 2008), The
result of this limitation is that phylogenetic relatedness tends to
increase as WAT decreases across an elevational gradient (Qian
et al., 2007; Wang et al., 2011).

In conclusion, our study showed that woody angiosperm species
in local forest plots are more likely to be more closely related to
each other at higher elevations and in areas of lower temperatures.
This pattern of increasing phylogenetic relatedness with increasing
elevation appears to be driven by winter average temperature,
rather than mean annual temperature. Our findings on the impact
of phylogenetic relatedness along an elevational gradient in a
subtropical region are consistent with the ‘Tropical Niche Conser-
vatism’ model, although our findings are distinct from those of
studies in the tropics.

Author contributions
R. Li and J. Yue conceived and wrote the paper. J. Yue collected
and analyzed the data.

Declaration of Competing Interest
None.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at
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Table 1

| Variable | Net relatedness index (NRI) | Phylogenetic species variability (PSV) |
|----------|-----------------------------|--------------------------------------|
|          | Coef. | AIC | Coef. | AIC |
| MAT      | -0.42* | 89.29 | 0.40* | -119.30 |
| WAT      | -0.51* | 87.23 | 0.48* | -121.59 |

MAT = Mean annual temperature; WAT = Winter average temperature; Coef. = Regression coefficient; AIC = Akaike information criterion; * = Significant correlations (P < 0.05).

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