Corneal Abrasions in Total Joint Arthroplasty

Megan E. Young, BS\textsuperscript{1}, Simon C. Mears, MD, PhD\textsuperscript{1}, \textsuperscript{1}\, Ahmed B. Sallam, MD, PhD\textsuperscript{2}, Riley N. Sanders, MD\textsuperscript{2}, C. Lowry Barnes, MD\textsuperscript{1}, and Jeffrey B. Stambough, MD\textsuperscript{1}

Abstract

Introduction: Corneal abrasion (CA) is the most common ocular complication in patients undergoing nonocular surgery. Corneal abrasions can be caused by a variety of mechanisms, the most common being drying of the cornea due to reduced tear secretions, loss of eyelid reflex, and the loss of pain recognition during surgery. Though CA heals well with eye lubricants, it can result in significant ocular pain and some cases may go on to develop ocular complications. With the current switch to outpatient total joint replacement, CA could potentially lead to discharge delays.

Materials and Methods: We examined the results of a quality improvement project to reduce CA during general anesthesia to determine the rates of CA during hip and knee total joint replacement. We compared rates of CA for 6 months before and 6 months after the intervention.

Results: A total of 670 hip and knee arthroplasty procedures were performed during this period. Two events of CA occurred, one occurred before and one after the intervention to decrease eye injuries. Both incidences occurred during total hip arthroplasty (THA) procedures with the patient in the lateral decubitus position and recovered without long-term deficits.

Discussion: Surgeons and anesthesiologists alike should be cognizant of this avoidable complication and take precautions to protect the eyes during surgery, especially during THA when the patient is placed in the lateral decubitus position.

Conclusion: Corneal abrasion during total joint arthroplasty is a rare complication and is infrequently addressed in the literature. CA is mostly self-limiting, however, but may lead to patient dissatisfaction and to delays if same-day discharge is attempted. Preventative measures and attentive care may help reduce the incidence of CA in patients undergoing total joint arthroplasty. The lateral decubitus position and longer surgery times are risk factors for CA.

Keywords

Joint arthroplasty, complications, corneal abrasion, eye injury, lateral position

Introduction

Corneal abrasion (CA) is a condition where the epithelial layer of the cornea is removed from the underlying basement membrane, leading to a defect in the corneal epithelial surface.\textsuperscript{1} Although most corneal abrasions heal without significant long-term complications, the patient can experience intense discomfort, tearing, photophobia, and blurred vision in the affected eye.\textsuperscript{2} Although rare, CA may result in ocular complications such as persistent corneal defect, corneal infection, and recurrent erosions.\textsuperscript{1} CA is the most
common ophthalmic complication to occur under general anesthesia (GA). In a time where enhanced recovery after anesthesia (ERAS) protocols are employed to expedite recovery, this can potentially limit early mobilization. Current literature reports the incidence of CA to range between 0.013% and 0.17% for nonocular surgeries. The American Society of Anesthesiologists closed-claims analysis found that perioperative CA was the single most common of all perioperative ophthalmic injuries. While relatively benign, injuries such as this may lead to delays in discharge from the hospital due to the need for ophthalmology consultation and management and a halt in ERAS protocols and increased treatment costs. It can also result in a decrease in patient satisfaction surrounding the hospital stay.

Lateral and prone positioning in surgery have been previously implicated in corneal abrasion events. The literature reports incidents of corneal abrasions in nonocular surgeries, specifically spine and cardiac surgeries. There is a paucity of literature on the incidence of CA in orthopedic surgery, specifically total joint arthroplasty (TJA). Here, we present a report of two patients who sustained perioperative CA injury during TJA surgeries performed in the lateral decubitus position. We also sought to review the literature on eye CA and other important injuries during joint replacement to give surgeons guidance to prevent these injuries.

**Materials and Methods**

After obtaining Institutional Review Board approval, the results for a quality improvement program instituted by the Department of Ophthalmology at our institution were reviewed. The protocol was implemented on August 14, 2018 to reduce general anesthesia associated CA. Prior to the interdepartmental protocol implementation, no specific protection protocol was in place. During this period, it was the decision of the anesthesia provider and/or surgeon whether paper tape, plastic tape, lubricant, or no protection during surgery was used. When enacted, the introduced protocol for eye protection consisted of several different methods to protect the eyes during surgery. The anesthesia provider used carboxymethylcellulose sodium 0.5% (Refresh Plus) lubricant eye drops as artificial tears to promote epithelial repair and provide relief from discomfort. The artificial tears were placed after induction and then the eyes were sealed. Tegaderm transparent film (3M, St Paul, MN) is a bio-occlusive dressing used to cover the eyes during GA. This dressing is transparent, waterproof, and has a hypoallergenic adhesive that gently adheres to the skin and provides a barrier to external contaminants. Tegaderm™ was chosen based on the literature indicating that bio-occlusive dressings provide the most consistent protection. The full eye protection protocol can be seen in Figure 1.

We assessed the effect of this intervention on patients undergoing joint replacement. A pre-intervention time period from March 1, 2018 through June 30, 2018 was compared to a postimplementation period from August 14, 2018 to February 28, 2019. CA cases were identified by searching for a diagnosis of CA or ophthalmology consultation. There were 6506 total non-ophthalmic GA cases with 25 CA occurrences during the pre-intervention time period (0.38% total incidence rate).

Of this total cohort, 670 consecutive patients underwent TJA surgery between March 2018 and February of 2019. We included both primary, aseptic and septic (resections and reimplantation) revision hip and knee TJA cases from our single academic tertiary care referral center. All patients underwent general anesthesia for their surgery. We obtained variables pertaining to gender, race, age, patient positioning, surgery type, length of procedure, anesthesia provider type (resident vs nurse anesthetist), and medical comorbidities including smoking and diabetes. We used Excel (Microsoft, Redmond, WA) for descriptive data analysis.

**Results**

Over the timeframe from March 1, 2018 to February 28, 2019, a total of 15,375 nonocular surgical patients were followed at our institution. Twenty-five CA consultations were documented during the preintervention time period, and 4 CA consultations were documented during the postintervention time period. There were 670 orthopedic total joint cases identified from the total 15,375 nonocular cases. Out of the 670, 173 (25.8%) were primary total knee arthroplasty, 164 (24.5%) were primary total hip arthroplasty, 99 (14.8%) were revision total knee arthroplasty, 87 (13.0%) were revision total hip arthroplasty, 21 (3.1%) were total knee resections, 35 (5.2%) were total knee reimplantations, 29 (4.3%) were total hip resections, 26 (3.9%) were total hip reimplantations, 18 (2.7%) were hip hemiarthroplasty, 10 (1.5%) were conversion total hip arthroplasty, 4 (0.6%) were unicompartmental knee arthroplasty, 3 (0.4%) were one component hip revision arthroplasty, and 1 (0.1%) unicompartmental...
conversion to total knee arthroplasty. The mean age of the patients was 63 years with a range from 19 to 85. There were 289 male patients (43.1%) and 381 female patients (56.9%). Two CA incidents were observed out of the 670 cases (0.30%) during the entire study period. One of the CA incidents was before the protocol was implemented, and one was after the protocol went into effect.

Table 1 summarizes the details of these two patients. Patient 1 was a 63-year-old gentleman with a past medical history of hypertension, type 2 diabetes mellitus, and obstructive sleep apnea. He underwent a revision left total hip arthroplasty of his acetabular component for severe polyethylene wear. There were no past ocular problems noted. This surgery was performed before the ophthalmological eye protocol was instituted. Anesthetic time was 128 minutes. Following the surgery, the patient complained of left eye pain in his hospital room and ophthalmology was consulted. A left eye CA was documented, and erythromycin ointment was prescribed. The abrasion did not prevent postoperative physical therapy or early mobilization. The patient spent one night in the hospital and was discharged after a second therapy session on post-op day 1. Patient 2 was a 66-year-old gentleman with a past medical history of protein C deficiency and previous bilateral cataract surgery. The ophthalmology eye protocol was used for this patient. He underwent simultaneous bilateral total hip arthroplasty. These were performed in the lateral position with the right side first. An anterolateral approach was used to the hip, and total anesthesia time was 157 minutes. After surgery, the patient developed right eye pain and blurry vision and ophthalmology was consulted. A diagnosis of right eye CA was made. Artificial tears and erythromycin ointment were prescribed. The patient progressed rapidly with physical therapy and was discharged the following day. On subsequent follow-up visits, the patient had recovered and had no specific eye complaints. He did not require further ophthalmological visit after discharge from the hospital. No significant difference was seen between the total cohort and the two CA patients for operative time (139.7 vs. 142.5 minutes, \( P = 0.88 \)).

**Discussion**

Patients undergoing GA are at risk for corneal abrasion during surgery. GA diminishes a patient’s normal eyelid reflexes and masks the perception of pain to the eye during surgery. Anesthesia also causes a condition known as lagophthalmos, a failure of the eyelid to close completely, which leads to drying of the cornea.\(^1\)\(^,\)\(^4\)-\(^10\) Many total joint arthroplasty procedures are performed under spinal anesthesia due to demonstrated fewer complications than general anesthesia.\(^13\)\(^-\)\(^15\) Specifically, spinal anesthesia application has no prominent effect on intraocular pressure (IOP), which may reduce ocular injury from increased IOP in surgery.\(^13\) However, the general effects from anesthesia compromising the vitality of the corneal epithelial cells will increase tendency of CA. Other independent factors that have been shown to be related to perioperative CA are summarized in Table 2 and include advanced age of the patient, longer surgical procedures, lateral and prone patient positioning, perioperative anemia, and intraoperative hypotension.\(^1\)\(^,\)\(^4\)-\(^10\) The incidence of all eye injuries is also greater when patients are in the lateral position.\(^5\) In patients undergoing surgery in the lateral position, the dependent eye is more prone to develop corneal epithelial defects.\(^1\)\(^,\)\(^5\)\(^,\)\(^10\)\(^,\)\(^11\)\(^,\)\(^16\) Both patients in our series were in the lateral decubitus position. One of our cases occurred in the dependent eye and one in the non-dependent eye.

Careful attention is required by the surgeon and anesthesiologist during the perioperative period to avoid inadvertent CA. Incomplete lid closure exposes the epithelial surface, making it prone to drying. Patients should have eyelids secured in the closed position directly after induction. Direct trauma is also believed to account for a

### Table 1. Total Joint Arthroplasty Associated Corneal Abrasion Incidents.

| Patient | Age | Gender | Body Mass Index (BMI) | Procedure | Surgical Position | Before/After Anesthesia Protocol | Duration of Anesthesia (min.) | Previous Eye Problems | CA Involved Eye (up or down) |
|---------|-----|--------|----------------------|-----------|------------------|-------------------------------|-----------------------------|----------------------|--------------------------|
| 1       | 62  | Male   | 32.7                 | Left revision total hip arthroplasty: Acetabular component for severe poly wear | Left lateral decubitus | Before | 128 | None noted | Left (up) |
| 2       | 66  | Male   | 22.9                 | Bilateral total hip arthroplasty | Lateral decubitus | After | 157 | History of bilateral cataract surgery | Right (down in right THA portion of surgery) |

CA, Corneal abrasion; THA, total hip arthroplasty.
Segal et al. found oxygen face mask use during transport and recovery to be a significant risk factor. It is recommended that a patient’s eyes should be taped shut immediately after anesthesia induction for protection except during rapid sequence intubation. Horizontal eyelid taping is recommended for complete closure, allowing for proper positioning of upper and lower eyelids. A strip of surgical tape is normally sufficient to maintain eyelid closure; however, high-risk cases may benefit from the use of transparent bio-occlusive dressings, such as Tegaderm (3M, St Paul, Minn.) or OpSite (Smith & Nephew, Largo, Fla.), which can span the entire eyelid to provide a uniform closure. The tape can become difficult to stick to the skin if lubrication gets onto the tape. Also CA can occur as a result of contact of the adhesive tape with the cornea or from the edges of the tape. Specific preventative protocols, using artificial tears and bio-occlusive dressing application as in our study, are thought to decrease the risk of injury. Prevention of CA is enhanced when all participating providers are educated and involved in eye care. In our series, while a protocol for decreasing ophthalmological injury decreased rates of CA overall, this was not the case for TJA procedures. One case was before the protocol and the other after it was instituted. Further work will be required with larger numbers to better evaluate the usefulness of these CA protection protocols in the context of hip and knee surgery.

Both of our cases were hip procedures in the lateral position. We did not have a CA during knee replacement or with a supine positioned hip replacement. This could be a possible advantage of an anterior hip replacement.

Timely detection of CA is key for successful treatment. CA commonly resolves quickly due to the self-regenerating

### Table 2. Surgery-Specific Risk Factors Associated with Ophthalmologic Complications During General Anesthesia.

| Advanced Age          |
|-----------------------|
| Smoking               |
| Obesity               |
| Diabetes              |
| Hypertension          |
| Atherosclerosis       |
| Anemia                |
| Glaucoma              |
| Intraoperative blood loss |
| Hemoglobin drop (preoperative and postoperative) |
| Ocular surface abnormalities (eg, dry eye, recurrent erosion syndrome) |
| Long surgery (>60–90 minutes) |
| Prone position        |
| Lateral position      |
| Trendelenburg position|
| Head/neck in field    |
| Intraoperative hypotension |
| Increased fluid administration |
| Elevated intraocular pressure |
| Hypoxia               |
| Patient-specific vascular susceptibility |

minority of CA cases. Segal et al. found oxygen face mask use during transport and recovery to be a significant risk factor. It is recommended that a patient’s eyes should be taped shut immediately after anesthesia induction for
corneal epithelial cells. Complications that can occur from CA include infectious ulcers, persistent epithelial defects, scarring, or recurrent CA. With uncomplicated wound healing, discomfort from CA improves significantly after the first 24 hours and is usually resolved after 48 hours. Most corneal abrasions can be treated with lubricants eye drops or antibiotic opthalmic ointments. Both CA incidents reported here were discharged from the hospital with erythromycin ointment after an ophthalmology consult. Patching of the eye does not speed healing and is seldom required.

In our 2 cases, CA did not lead to a delay in discharge of the patient. Both were discharged home the following day after surgery as per our standard rapid recovery protocol. As same-day discharge for TJA becomes more common, delays in discharge are very important to prevent. Longer surgeries and those in the lateral position may add to this particular risk during surgery. Care to avoid CA is important to allow for a successful outpatient TJA experience.

In addition to CA, other ocular complications have been reported after orthopedic procedures including ischemic optic neuropathy (ION), central retinal artery occlusion (CRAO), and cortical blindness (CB). Ischemic optic neuropathy (ION) is divided into 2 subtypes: anterior (AION) and posterior (PION). ION, thought to arise from hypoperfusion of the posterior ciliary arteries supplying the optic nerve, has been previously reported in TJA. Prone and Trendelenburg positioning during surgery can increase intraocular pressure, causing ophthalmic vein congestion leading to ION. Additionally, hypotension, blood loss, lengthy surgery, and patient-specific factors may predispose to decreased oxygen delivery to the optic nerve leading to ischemic injury. Perioperative visual loss (POVL) in non-ocular surgery is most frequently caused by an ION, often bilateral. Shen et al reported an ION prevalence of 0.004% after hip surgery. One study reported sequential episodes of right AION following uncomplicated hip operations performed in the lateral decubitus position. Patients and physicians should be aware that perioperative ION after one surgery may increase the chance of ION after subsequent surgeries.

Central retinal artery occlusion is a commonly reported cause of postoperative blindness that generally manifests unilaterally. CRAO is frequently associated with spine surgery, and complete recovery is unusual. The occlusion results from obstruction of the central retinal artery, which is a major branch of the ophthalmic artery. CRAO is usually associated with increased intraocular pressure or an embolic phenomenon. Prone positioning in surgery increases the risk of CRAO commonly due to ocular compression produced by the weight of the head against the headrest. The severity of vision loss depends on the vessel territory being occluded which in most patients is widespread.

Cortical blindness is due to ischemia or extreme hypoperfusion of the visual cortex in the occipital lobes that can manifest as bilateral visual loss. The visual loss can range from bilateral homonymous hemianopsia to complete visual loss. One study noted that patients younger than 18 years had a significantly higher prevalence of CB in nonocular surgery than patients older than 18 years. The prognosis of CB is generally better than AION, PION, and CRAO. Most patients with CB recover, often with complete recovery of vision.

Patient risk factors that compromise optic nerve perfusion that may be causative of ocular injuries in nonocular surgery include diabetes mellitus, obesity, and hypertension. Factors including general anesthetic duration, blood loss, position during surgery, and fluid administration are important in preventing ocular complications. It has been recommended that procedures requiring prolonged anesthesia can be staged in order to decrease length of surgery. Additional risk factors associated with CA and other ocular injuries should be considered (Table 2). Increased attention to appropriate patient positioning during procedures should be prioritized. Due to the different etiologies of ophthalmic complications in orthopedic procedures, disclosure of information prior to surgery is appropriate to consider.

Conclusion

Corneal abrasion during total joint arthroplasty is a rare complication and is infrequently addressed in the literature. CA is mostly self-limiting, however, but may lead to patient dissatisfaction and to delays if same-day discharge is attempted. Preventative measures and attentive care may help reduce the incidence of CA in patients undergoing total joint arthroplasty. The lateral decubitus position and longer surgeries times are risk factors for CA.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

ORCID iD

Simon C. Mears https://orcid.org/0000-0002-0068-0283
References
1. Daniel D. Detection and treatment of perioperative corneal abrasions. J Perianesth Nurs. 2006;21:332-338. doi:10.1016/j.jopan.2006.08.001.
2. Malafa MM, Coleman JE, Wayne Bowman R, Rohrich RJ. Perioperative corneal abrasion: Updated guidelines for prevention and management. Plast Reconstr Surg. 2016;137:790e-798e. doi:10.1097/PRS.0000000000002108.
3. Segal KL, Fleischut PM, Kim C, et al. Evaluation and treatment of perioperative corneal abrasions. J Ophthalmol. 2014;2014:901901. doi:10.1155/2014/901901.
4. Lichter JR, Marr LB, Schilling DE, et al. Department-of-anesthesiology-based management protocol for perioperative corneal abrasions. Clin Ophthalmol. 2015:9:1689-1695. doi:10.2147/OPTH.S84367.
5. Roth S, Thisted RA, Erickson JP, Black S, Schreider BD. Eye injuries after nonocular surgery: A study of 60,965 anesthetics from 1988 to 1992. Anesthesiology. 1996;85:1020-1027. doi:10.1097/00000542-199611000-00009.
6. Gild WM, Posner KL, Caplan RA, Cheney FW. Eye injuries associated with anesthesia. A closed claims analysis. Anesthesiology. 1992;76:204-208. doi:10.1097/00000542-199202000-00008.
7. Yu HD, Chou AH, Yang MW, Chang CJ. An analysis of perioperative eye injuries after nonocular surgery. Acta Anaesthesiol Taiwanica. 2010;48(3):122-129. doi:10.1016/S1875-4597(10)60043-4.
8. Martin DP, Weingarten TN, Gunn PW, et al. Performance improvement system and postoperative corneal injuries: Incidence and risk factors. Anesthesiology. 2009;111(2):320-326. doi:10.1097/ALN.0b013e3181ae63f0.
9. Gandi SJ. A retrospective review of corneal abrasions after oncologic surgery in a tertiary cancer center. Int J Anesth Anaesthesiol. 2016;3(2):46. doi:10.23937/2377-4630/3/2/1044.
10. White E, Crosse MM. The aetiology and prevention of perioperative corneal abrasions. Anaesthesia. 1998;53(2):157-161. doi:10.1093/anaesthesia/53.2.157.x.
11. Shen Y, Drum M, Roth S. The prevalence of perioperative visual loss in the United States: A 10-year study from 1996 to 2005 of spinal, orthopedic, cardiac, and general surgery. Anesth Analg. 2009;109(5):1534-1545. doi:10.1213/ane.0b013e3181b050b0.
12. Grixii A, Sadri M, Watts MT. Corneal protection during general anesthesia for nonocular surgery. Ocul Surf. 2013;11(2):109-118. doi:10.1016/j.jots.2012.10.003.
13. Hatipoglu S, Abdullahayev R, Kucukebe OB, et al. Intraocular pressure changes after spinal anesthesia - Acute and subacute effects on surgery patients. Adv Clin Exp Med. 2015;24(5):857-861. doi:10.17219/acem/27171.
14. Ko LM, Chen AF. Spinal anesthesia: The new gold standard for total joint arthroplasty? Ann Transl Med. 2015;3(12):162. doi:10.3978/j.issn.2305-5839.2015.06.12.
15. Paziuk M, Luzzi AJ, Fleischman AN, et al. General vs Spinal Anesthesia for Total Joint Arthroplasty: A Single-Institution Observational Review. J Arthroplasty. 2020;35(4):955-959. doi:10.1016/j.arth.2019.11.019.
16. Grover VK, Kumar KVM, Sharma S, Sethi N, Grewal SPS. Comparison of methods of eye protection under general anaesthesia. Can J Anaesth. 1998;45(6):575-577. doi:10.1007/BF03012712.
17. Lim CHL, Turner A, Lim BX. Patching for corneal abrasion. Cochrane Database Syst Rev. 2016;7(7):CD004764. doi:10.1002/14651858.CD004764.pub3.
18. Aggarwal VK, Thakkar S, Collins K, Vigdorchik J. Same day discharge after total joint arthroplasty: The future may be now. Bull NYU Hosp Jt Dis. 2017;75(4):252-257. https://go-gale-cdn.com/series/BCSMAP/1/FRC-592881F7-25C2-451F-92BD-9602AD22501C. Accessed July 9, 2020.
19. Su AW, Lin SC, Larson AN. Perioperative vision loss in spine surgery and other orthopaedic procedures. J Am Acad Orthop Surg. 2016;24(10):702-710. doi:10.5435/JAAOS-D-15-00351.
20. Kaeber PF, Borrutat FX. Visual loss after orthopedic procedures. J Arthroplasty. 2011;26(2):338.e17-338.e19. doi:10.1016/j.arth.2009.11.010.
21. Stambough JL, Dolan D, Werner R, Godfrey E. Ophthalmologic complications associated with prone positioning in spine surgery. J Am Acad Orthop Surg. 2007;15(3):156-165. doi:10.5435/00124635-200703000-00005.
22. Spence DJ, Bennett D, O’Brien S, Milligan KR, Page AB, Beverland DE. Peri-operative visual loss following total knee arthroplasty - a case report. Visual loss following TKA. J Orthop. 2015;12(4):264-265. doi:10.1016/j.jor.2015.01.033.
23. Marshall BK, Goel M, Pithe IF, Van Stavern GP, Mccllland CM. Sequential episodes of perioperative ischemic optic neuropathy after hip surgery. J Neuro-Ophthalmology. 2014;34(2):165-168. doi:10.1097/WNO.0000000000000993.
24. Mendel E, Stoeica N, Rao R, et al. Revisiting postoperative vision loss following non-ocular surgery: A short review of etiology and legal considerations. Front Surg. 2017;4:34. doi:10.3389/fsurg.2017.00034.
25. Kitaba A, Martin DP, Gopalakrishnan S, Tobias JD. Perioperative visual loss after nonocular surgery. J Anesth. 2013;27(6):919-926. doi:10.1007/s00540-013-1648-y.
26. Li A, Swinney C, Veeravagu A, Bhatti I, Ratliff J. Postoperative visual loss following lumbar spine surgery: A review of risk factors by diagnosis. World Neurosurg. 2015;84(6):2010-2021. doi:10.1016/j.wneu.2015.08.030.