I. INTRODUCTION

Transitions in condensed matter systems are defined by their broken symmetries. Electronic orders can sometimes lift the point-group symmetry of their host lattices, for example two-fold rotationally symmetric order on a tetragonal lattice. This is an intriguing possibility in part because fluctuations can have non-trivial effects on such transitions [1]. Condensation of a particular order can also obscure strong sub-leading susceptibilities to alternative orders, which one wants to know about to construct a good theory of the processes driving phase formation [2]. As we present in this article, uniaxial pressure can be used to probe both of these possibilities.

We study the heavy-fermion antiferromagnet CeAuSb$_2$, a layered, tetragonal compound with Néel temperature $T_N = 6.5$ K [3-4]. We found in-plane uniaxial pressure to have a strong effect on the magnetic transition, in ways more pertinent to general questions of how magnetic order and lattice symmetry interact than specifically to heavy-fermion physics. An important aspect of our work is that the pressure is applied using piezoelectric actuators, allowing in situ tunability. For example, upon ramping the pressure at constant temperature a first-order transition, with hysteresis, is observed at zero pressure. This transition’s existence proves that the magnetic order lifts the point-group symmetry of the lattice. We also probe a long-standing prediction, that a transition driven first-order by fluctuations should become continuous when uniaxial pressure selects a preferred direction [5, 6], with much higher resolution than before. Finally, there is no requirement to apply high pressures at room temperature, where samples are more susceptible to plastic deformation than at low temperature.

All measurements here were done in zero magnetic field. Our samples were grown by a self-flux method [7, 8], and have residual resistivity ratios (RRR) $R(300K)/R(1.5K)$ between 6 and 9. A shoulder in the resistivity $\rho(T)$ of CeAuSb$_2$ marks the Kondo temperature, $T_K \sim 14$ K [9]. Therefore at $T_N$ the cerium moments should be incorporated into the Fermi sea, and there is thermodynamic evidence that they are: The heat capacity has a Fermi liquid form (i.e. proportional to $T$) between $T_N$ and $\sim 10$ K, and below $T_N$ shows good entropy balance with a Fermi liquid [10]. Recent neutron scattering data suggest that the magnetic order itself is itinerant [11]: It was found to be an incommensurate spin density wave polarised along the $c$ axis, meaning that the polarisation must vary from site to site, which is not expected for local-moment order. The propagation vector is $(\eta, \eta, 1/2)$, with $\eta$ varying between 0.130 and 0.136 depending on field and field history.

Our apparatus is described in Ref. [13]. Briefly, samples are prepared as beams with high length-to-thickness and length-to-width aspect ratios, and their ends are held in the apparatus with epoxy, allowing application of both compressive and tensile stresses along their length. A photograph of a mounted sample is shown in Fig. 1(a). The sample is under conditions of uniaxial stress: the stress is nonzero along the long axis of the sample, and zero along transverse directions. However because the apparatus has a high spring constant relative to that of typical samples, the applied displacement is the more directly controlled variable than the applied force. For samples such as CeAuSb$_2$, whose elastic moduli have...
not been reported, longitudinal strain is the controlled variable and the stress is not known. The displacement applied to the sample and sample mounting epoxy is measured with a capacitive displacement sensor, and the strain in the sample is estimated as this displacement times 0.8 divided by the exposed length of the sample. The factor of 0.8 is an estimate for the effects of elastic deformation of the epoxy, which allows some relaxation of the sample strain \[13\] [14].

We measure the resistivity along the length of samples, which is strongly affected by the magnetic order \[3\] [4]. The strain-induced change in sample resistance has a geometric contribution due to the applied change in sample dimensions, typically of magnitude \(\Delta R/R \sim 2\varepsilon\), where \(\Delta R\) is the change in resistance and \(\varepsilon\) the applied strain \[12\]. We find \(R\) to vary much more strongly with strain than this, and so neglect this geometric effect in all plots and analysis below.

II. RESULTS: \(\langle 110 \rangle\) PRESSURE

Five samples were measured under pressure, three cut along a \(\langle 100 \rangle\) lattice direction (that is, along the Ce-Ce bond direction), and two along a \(\langle 110 \rangle\) direction. Results for \(\langle 110 \rangle\) pressure (inducing longitudinal strain \(\varepsilon_{110}\)) are presented in Fig. 1 panels (b) through (d). Panel (b) shows resistivity versus temperature at various applied strains. The Néel transition is clearly seen in each curve, and an immediately apparent result is that the quantitative effect of \(\langle 110 \rangle\) pressure on \(T_N\) is small: compression by 0.6% shifts \(T_N\) by only \(\sim 0.1\) K.

However, when \(T_N\) is plotted against \(\varepsilon_{110}\), in panel (c), a sharp cusp in \(T_N(\varepsilon_{110})\) becomes apparent. If the cusp is at \(\varepsilon_{110} = 0\) it indicates a two-component order parameter, in which each component lifts the \(\langle 110\rangle/\langle 110\rangle\) symmetry of the lattice. Under this hypothesis, \(\langle 110 \rangle\) pressure favors one of these components, and the favored component switches when the sign of the pressure changes, yielding the sharp change in slope \(dT_N/d\varepsilon_{110}\). It is a reasonable hypothesis that the cusp marks \(\varepsilon_{110} = 0\).

Firstly, the strain applied to reach the cusp, \(\sim 0.1\%\), is compatible with plausible differential thermal contractions between the sample and apparatus frame (which is made of titanium). Secondly, samples fractured when tensioned by more than \(\sim 0.2\%\) beyond the cusp, so at that point they were definitely under tension.

If the two components coexist microscopically over some strain range, strain ramps below \(T_N\) should show two transitions, corresponding separately to the onset of one and the disappearance of the other component \[15\]. If they do not coexist, the ordered state lifts the \(\langle 110\rangle/\langle 110\rangle\) symmetry of the lattice, and a first-order transition, corresponding to reversal of the sign of the symmetry-breaking, is expected at \(\varepsilon_{110} = 0\). Our results, shown in panel (d), show a first-order transition: \(\rho(\varepsilon_{110})\) changes in a step-like manner, and there is clear hysteresis. Within our resolution, it extends up to \(T_N\). The neutron data also point to spontaneous symmetry breaking: the observed scattering peaks correspond to incommensurate spin density wave propagation vectors \(q = (\eta, \eta, 1/2)\) and \((\eta, -\eta, 1/2)\), and the absence of peaks corresponding to mixing of these components indicates that they exist in separate domains \[11\]. Therefore, we conclude firmly that the magnetic order spontaneously lifts the \(\langle 110\rangle/\langle 110\rangle\) symmetry of the lattice, and assign the location of the cusp as \(\varepsilon_{110} = 0\).

The cusp in \(T_N(\varepsilon_{110})\) is not symmetric: \(|dT_N/d\varepsilon_{110}|\) is smaller on the compressive than on the tension side of the cusp. This is not surprising: uniaxial pressure applies not only an in-plane orthorhombicity, i.e. a nonzero \(\varepsilon_{110} - \varepsilon_{110}\), but also changes to unit cell volume and c-axis lattice parameter. Coupling to the latter two variables will introduce such asymmetry.

Another feature apparent in the data above, also noted in Ref. \[9\] and which will be important in discussing results of \(\langle 100 \rangle\) pressure, is that the transition at \(T_N\) appears to be weakly first-order. Although we did not resolve hysteresis between increasing- and
decreasing-temperature ramps, there is a clear step in \( \rho(\varepsilon_{110}) \) at \( T_N \). For further evidence, the heat capacity of an unstrained crystal was measured, with the results shown in Fig. 2. The sharp peak in heat capacity at \( T_N \) strongly suggests a first-order transition.

### III. RESULTS: ⟨100⟩ PRESSURE

We now turn to results from ⟨100⟩ pressure. As described in the Introduction, there is strong evidence that the magnetic order of CeAuSb₂ is an itinerant order, with the Ce magnetic moments incorporated into the Fermi sea through the Kondo effect at a temperature well above \( T_N \). The Kondo temperature of CeAuSb₂ has been shown to be tunable with hydrostatic pressure: it increases by a factor of ∼2 under 2 GPa.

We find that uniaxial pressure has a much smaller effect. In Fig. 3 we show the longitudinal resistivity of a sample cut along a ⟨100⟩ crystal direction at a few applied strains \( \varepsilon_{100} \). The shoulder at ∼14 K indicates the Kondo temperature, and is at constant temperature to within 1 K over the range of strains studied. Similarly, \( T_K \) of CeRu₂Si₂ was also found to have a very weak dependence on in-plane uniaxial pressure: it increases by a factor of ∼2 under 2 GPa.

Therefore, over the range of ⟨100⟩ strains studied in this paper, we may safely assume that \( T_K \) remains well above \( T_N \) and that we are probing an itinerant magnetic order.

\( \rho(T) \) at various applied strains for one sample is shown in Fig. 4. To make more clear the first-order-like nature of the transition at low strains, the derivative \( d\rho/dT \) is plotted in the lower panel. In the response to ⟨100⟩ pressure there is no obvious feature that might be identified with zero strain, so we take zero strain to be at the same applied displacement where the cusp in \( T_N(\varepsilon_{110}) \) was observed. Variability in the precise mounting conditions achieved will introduce an error of ∼0.1% on this determination.

Strains \( |\varepsilon_{100}| < 0.25\% \) do not strongly affect the transition; over this range, compression weakly suppresses \( T_N \), and possibly reduces the size of the first-order-like jump in \( \rho \). However at higher compression, \( \varepsilon_{100} < -0.25\% \), the first-order jump disappears and the transition splits into two features. The upper feature is a downturn in the slope \( d\rho/dT \) and the lower feature a further downturn; we label their temperatures \( T_2 \) and \( T_1 \). We hypothesize that an equivalent splitting would occur under tensile strain; in the data in Fig. 4, the first-order jump also shrinks somewhat under tensile pressure. However, attempts to reach this hypothesized splitting in samples 1 and 2 resulted in both samples fracturing, and at a sufficiently low strain, \( \varepsilon_{100} \sim +0.25\% \), that an essentially symmetric strain response is not ruled out.

In Fig. 5 we plot \( \rho_{100}(T) \) against \( \varepsilon_{100} \) at fixed temperatures. The feature at \( T_2 \) is visible as a change in slope \( d\rho/d\varepsilon \) in the 7.0 to 8.5 K curves. We assign this feature to be a second-order transition: The slopes \( d\rho/d\varepsilon \) and \( d\rho/dT \) both change, but no first-order steps in \( \rho \) are apparent.

The feature at \( T_1 \) is visible in, for example, the 6.0 K curve as a peak in \( \rho_{100}(T) \) at \( \varepsilon_{100} \sim -0.3\% \). As the temperature is reduced, it moves towards higher compressions and changes from a peaked into a step-like feature. It is suppressed to below 2 K at \( \varepsilon_{100} \sim -0.5\% \). The step-like form at lower temperatures suggests a first-order transition. For further evidence we performed strain ramps at constant temperature, with the results shown in panel (b). Small hysteresis loops are resolvable at temperatures below ∼4 K.

We also probe the transverse resistance, by running current and measuring voltage across the width of the sample, as illustrated in panel (c). In this configuration the current flow is not homogeneous, so we do not attempt to extract a quantitatively precise transverse resistivity. However the data reveal, as shown in panel (c), that the transverse resistivity changes oppositely to the longitudinal resistivity across the transition at \( \varepsilon_{100} \approx -0.5\% \). Also, the transverse resistivity changes in a sharp, first-order step. We show in Fig. 6 the transverse resistivity at 1.5 K measured in increasing- and
decreasing-strain ramps, which reveal observable hysteresis: It is a first-order transition. Generically, the change in longitudinal resistivity should be as sharp as the change in transverse resistivity, and a possible reason that in the data it is not is that the transverse configuration probes, effectively, a smaller volume of the sample.

The first-order transition does not appear to extend up to \( T_N \). The hysteresis disappears, and the form of \( \rho(\varepsilon_{100}) \) changes from step-like to peaked at \( T \sim 3.5 \, \text{K} \). The peaked form of \( \rho(\varepsilon_{100}) \) at higher temperatures may be a result of critical fluctuations above the endpoint of the first-order transition.

IV. DISCUSSION AND CONCLUSION

As explained above, we conclude in agreement with neutron data [11] that the magnetic order of unstressed CeAuSb\(_2\) spontaneously lifts the \( (110)/(1\overline{1}0) \) symmetry of the lattice. The V-shaped form of \( T_N(\varepsilon_{110}) \) indicates two anisotropic order parameter components, with \( (110) \) principal axes, and the first-order transition across \( \varepsilon_{110} \) shows that they do not co-exist microscopically. We note that Sr\(_2\)Ru\(_2\)O\(_4\) provides an alternative example, of anisotropic order parameter components that do co-exist microscopically over a non-zero range of applied lattice orthorhombicity [15].

However, although the spontaneous symmetry break-

FIG. 4: (color online) Results from \( (100) \) pressure. (a) Resistivity \( \rho_{100}(T) \) along a \( (100) \) lattice direction at various fixed strains \( \varepsilon_{100} \), and (b) the corresponding derivatives \( d\rho_{100}/dT \). The temperature \( T_1 \) is identified as the peak in \( d\rho/dT \), and \( T_2 \) as the step in \( d\rho/dT \).

FIG. 5: (color online) (a) \( \rho_{100}(\varepsilon_{100}) \) at fixed temperatures on a 0.5 K interval. The data were collected in temperature ramps. (b) Change in resistance \( \Delta R_{100}/R_{100}(\varepsilon_{100}=0) \) during increasing- and decreasing-strain ramps at fixed temperatures. There is hysteresis below \( \sim 4 \, \text{K} \). (c) For a qualitative measure of the transverse resistivity \( \rho_{010} \), current was applied across the width of one sample, as indicated in the diagram of the contact configuration. The resulting voltage across the sample, \( V_{010} \), is plotted, along with the longitudinal resistance.

FIG. 6: Transverse response of the sample across the transition at \( \varepsilon_{100} \approx -0.5\% \), from increasing- and decreasing-strain ramps.

We summarize our \( (100) \) pressure data with the phase diagram in Fig. 7. It appears very likely that strong \( (100) \) pressure changes the principal axes of the order from \( (110) \) to \( (100) \), in other words that unstressed CeAuSb\(_2\) has a sub-leading susceptibility to a \( (100) \) order which becomes dominant with sufficient applied \( (100)/(010) \) or-
thorhombicity. The simplest example to imagine is that the in-plane propagation vector of the spin density wave rotates from \((\eta_1, \pm \eta_2)\) to \((\eta_2, 0)\) or \((0, \eta_2)\), with \(\eta_2\) in general not equal to \(\eta_1\). A first piece of evidence for rotation of the principal axes is the first-order transition at \(\varepsilon_{100} \approx -0.5\%\): Electronic orders generally pin to high-symmetry directions of the host lattice, so rotation between \((110)\) and \((100)\) principal axes should, in general, be discontinuous. A second is the strong linear dependence of transition temperature \(T_2\) on \((100)\) pressure, in other words on applied \((100)/(010)\) orthorhombicity. In principle, the linear dependence could also be due to coupling to unit cell volume and/or interplane spacing, parameters that also vary linearly with applied \((100)\) pressure. However unless the mechanical properties of CeAuSb₂ are extraordinarily anisotropic \((110)\) pressure will yield similar changes to these parameters, and yet had much less effect on the magnetic transition.

In the density functional theory calculations reported in Ref. [11], nesting vectors parallel to \((100)\) directions as well as \((110)\) directions were found, so CeAuSb₂ may well have a strong sub-leading susceptibility to a \((100)\) spin density wave. A more complicated textured order such as the field-induced “woven” order proposed in Ref. [11], which has \((100)\) principal axes, is also in principle a possibility, however this order was proposed as a way to accommodate both strong nesting and strong field-induced polarization, and at zero field a straightforward spin density wave seems generically more likely.

The phase diagrams against \((100)\) and \((110)\) pressure are our main results. In the remainder of this article we discuss a different topic, the possibility that the Néel transition, for \(\varepsilon_{100} < 0.25\%\), is driven first-order by competing fluctuations, and that uniaxial pressure restores a continuous transition by selecting a preferred direction and eliminating the competition.

We can rule out an alternative explanation for the first-order transition, strong magnetoelastic coupling. If the gain in magnetic condensation energy from a given lattice distortion exceeds its elastic energy cost, then the transition becomes first-order [17]. The strain dependence of \(T_N\) may be written as \(T_N = T_{N,0}(1+\beta\varepsilon)\), with \(\beta\) a coupling constant and \(\varepsilon\) a strain associated with the mode of deformation most strongly favoring the ordered phase. The heat capacity of a material is \(C = -\left(\frac{\partial^2 E}{\partial T^2}\right)\), where \(F\) is the free energy. For \(T\) close to and below a second-order transition at \(T_N\), this expression may be integrated:

\[
\Delta F = \frac{\Delta C}{2T_N}(T_N - T)^2,
\]

where \(\Delta C\) and \(\Delta F\) are the change in heat capacity and free energy due to the magnetic order. \(\Delta C\), from the data in Fig. 2, is \(\sim 1 \cdot 10^5\) J/m³-K. The elastic energy cost of lattice deformation is \(\Delta F = (E/2)\varepsilon^2\), where \(E\) is the elastic modulus associated with strain \(\varepsilon\). The elastic compliance drives the transition first-order if the gain in condensation energy exceeds the elastic energy cost, i.e. if \(E - \Delta C T_{N,0}\beta^2 < 0\).

Although the elastic moduli of CeAuSb₂ have not been measured, we may take \(E \sim 100\) GPa, a typical Young’s modulus for metals, as an order-of-magnitude estimate. Therefore, a first-order transition is expected if \(\beta\) exceeds \(\sim 400\). With uniaxial pressure, \(dT_N/\varepsilon\) is not nearly so large: The steepest \(\varepsilon \rightarrow 0\) strain dependence is obtained with tensile \((110)\) pressure, for which \(|dT_N/\varepsilon| \approx 94\) K, yielding \(\beta \approx 14\). We also tested the effect of biaxial pressure, by epoxying thin samples of CeAuSb₂ to, respectively, titanium and aluminum plates and using the differential thermal contraction to apply biaxial pressure. The differential thermal contraction between these materials at \(T \rightarrow 0\) is \(0.25\%\), and the observed difference in \(T_N\) was \(0.04\) K, yielding \(\beta \sim 2.5\). This measured value of \(\beta\) might be suppressed by plastic deformation of the epoxy during the initial stages of the cool-down, which would relax some of the thermal stress, however it is orders of magnitude too low to drive a first-order transition.

Instead, we propose that the transition is driven first-order by fluctuations. The magnetic order in CeAuSb₂ persists even if the RRR is below three [3], indicating a robust order with a short range of interaction, which favors stronger fluctuation effects [13] [19]. Competition between fluctuations in the disordered state can drive a transition first-order: in theoretical studies of magnetic helices in MnSi [20], density wave order in layered cuprates [21] [22], and general multi-component orders [5], a continuous transition is predicted when all possible components of the order can condense simul-
taneously. However there is competition in CeAuSb₂, where condensation of e.g. \((\eta, \eta, \frac{1}{2})\) prevents condensation of \((\eta, -\eta, \frac{1}{2})\) order. Ref. 5 provides a more precise criterion for fluctuation-driven first-order transitions. Ordered phases were studied with a fourth-order, multi-component Ginzburg-Landau Hamiltonian, in which competition between the components is set by the biquadratic terms (eq. 2.1 of that paper). The Hamiltonian was constructed so that either one or all of the components could condense: the coefficients of the biquadratic terms were set equal, and if this coefficient is below a threshold then the components may co-exist in mean-field theory, and if above condensation of one precludes condensation of all others. It was found that if the number of components \(n\) is \(\geq 4\), a first-order transition is expected as soon as the biquadratic coefficient exceeds this threshold, i.e. as soon as only one components condenses in mean-field theory. (For \(n < 4\), stronger competition is required to get a first-order transition.) \(n\) is at least 4 in CeAuSb₂: there are two possible density wave orientations, and being incommensurate there are phase and amplitude degrees of freedom for each.

Restoring a continuous transition is predicted to require symmetry-breaking fields exceeding a noninfinitesimal threshold strength 3,6,21. The clearest experimental demonstration is on the antiferromagnetic transition of MnO. It is first-order, but becomes continuous under uniaxial stress 23, a result explained through the effect of reduced point-group symmetry on fluctuations 24. However this demonstration is over forty years old and piezoelectric-based pressure apparatus offers much better resolution. In CeAuSb₂, (100) pressure appears to restore a continuous transition by rotating the principal axes to (100) and selecting a preferred direction between (100) and (010). Strong (110) pressure should also restore a continuous transition, by selecting between the (110) and (110) directions, however the weak coupling between the electronic system and (110) lattice deformation means that this may occur at a pressure beyond what we were able to apply.

We acknowledge the financial support of the Max Planck Society. JP acknowledges the financial support of the Study for Nano Scale Optomaterials and Complex Phase Materials (No. 2016K1A4A4A01922028) through NRF funded by MSIP of Korea. HS acknowledges the financial support of PRESTO, JST (No. JPMJP16R2) and Grant-in-Aid for Young Scientists (No. 16H06015). We thank Collin Broholm and Erez Berg for useful discussions, and Paul Canfield and Veronika Fritsch for their assistance with sample growth. Raw data for all figures in this paper are available at to be determined.

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