Rhombus filtrations and Rauzy algebras

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Abstract

Peach introduced rhombal algebras associated to quivers given by tilings of the plane by rhombi. We develop general techniques to analyze rhombal algebras, including a filtration by what we call rhombus modules. We introduce a way to relate the infinite-dimensional rhombal algebra corresponding to a complete tiling of the plane to finite-dimensional algebras corresponding to finite portions of the tiling. Throughout, we apply our general techniques to the special case of the Rauzy tiling, which is built in stages reflecting an underlying self-similarity. Exploiting this self-similar structure allows us to uncover interesting features of the associated finite-dimensional algebras, including some of the tree classes in the stable Auslander–Reiten quiver.

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1. Introduction

Peach introduced rhombal algebras in his thesis [11] by imposing certain relations on quivers corresponding to tilings of the plane by rhombi. He shows that quotients of these rhombal algebras model parts of weight 2 blocks of symmetric groups. Ringel [13] and Turner [14] have further analysed these rhombal algebras, and Chuang and Turner [5] generalised them to higher dimensions.
We develop general techniques to analyse rhombal algebras. Amongst these, the most important tool is a filtration of the algebra by rhombus modules. In particular, to each rhombus in the quiver we associate a module, which we call a rhombus module. We then determine how the projective indecomposable modules and others are filtered. In addition to more precise information on the module structure of the modules analysed, these rhombus filtrations provide insight into the structure of the module category.

We apply our methods to the infinite-dimensional rhombal algebra associated to a special rhombal tiling known as the Rauzy tiling. The Rauzy tiling is constructed in stages by a substitution rule that reflects the underlying self-similarity of the tiling. The dynamics and combinatorics of the Rauzy tiling have been extensively studied (see, for example, [1,4] or [6]), and we make use of its special properties for the solution of many of the representation theoretic problems we encounter.

More precisely, we develop techniques relating the infinite-dimensional Rauzy algebra to finite-dimensional algebras related to the finite portions of the complete tiling that occur in its construction. Comparing different possible truncation methods for making such relations leads to a natural choice of truncating the infinite-dimensional algebra by an idempotent associated to the finite portions, resulting in what we call the finite-dimensional Rauzy algebras. Applying our technique of rhombus filtrations to analyse Rauzy algebras leads to an understanding of many of the modules that arise in this setting. Special features of the Rauzy tiling and our general techniques allow us to reveal many interesting properties of the finite-dimensional Rauzy algebras. In particular, we are able to identify modules with periodic orbits under application of the Heller operator. These periodic orbits occur along lines in the quiver that are analogues of the ‘induced lines’ introduced in [8]. Modules with periodic orbits are crucial for understanding the graph structure of the stable Auslander–Reiten quivers of the finite-dimensional Rauzy algebras. Through our results we are able to identify the tree classes and hence the graph structure of many components. Some of the features which we found lead to a general conjecture, including the occurrence of trees of class $A_\infty$.

In Chapter 2 we describe the Rauzy tiling, and in Chapter 3 we first recall the general definition of rhombus algebras, due to M. Peach; we then define the infinite-dimensional Rauzy algebra to be the rhombus algebra whose underlying graph is given by the Rauzy tiling. Furthermore, we analyse algebras which arise by truncation of infinite-dimensional rhombus algebras to finite-dimensional algebras. That means we analyse the structure of the projective modules as it changes by truncation, depending on the configuration around the vertex. Although our techniques for this are general, we focus on configurations which occur when truncating Rauzy algebras. Chapter 4 concerns properties of rhombus algebras in general. It contains the definition of rhombus modules and rhombus filtrations, and the study of their properties. In Chapter 5 we focus on the Rauzy algebras and we investigate the behaviour of rhombus filtrations and rhombus modules under truncation. In Chapters 6 and 7 we study stable Auslander–Reiten components of truncated Rauzy algebras. We exploit the geometry of the Rauzy tiling and discover that there are periodic modules associated to linear subquivers. These periodic modules give rise to additive functions on stable Auslander–Reiten components, and therefore allow us to identify the tree class of some components, by applying the method of [10]. The ideas used in Chapters 6 and 7 apply in general, but in order to prove the existence of periodic modules and how they arise, we restrict ourselves to the case of Rauzy algebras.
2. The Rauzy tiling

Rauzy [12] introduced a fractal domain arising in a natural way from a substitution. Both the fractal and the substitution now bear his name. To better understand the Rauzy fractal and its dynamics, alternative geometric interpretations have been introduced. As shown in [1], [6, Chapter 8], there is an increasing sequence of patches $P_i$ of tiles whose union $\bigcup P_i$ forms a complete tiling of the plane. Appropriate renormalizations of the patches $P_i$ converge to the Rauzy fractal, but we shall only be interested in the tiling of the plane, which we refer to as the Rauzy tiling. We shall describe a recursive method for obtaining the increasing sequence of patches $P_i$, the edges and vertices of which yield the quivers used to construct the Rauzy algebra. This recursive construction reflects the original substitution and produces a tiling with a hierarchical, self-similar structure.

The tiles in $P_i$ are projections of faces of unit cubes in $\mathbb{R}^3$ with vertices in $\mathbb{Z}^3$. Specifically, we define the linear map $p: \mathbb{R}^3 \to \mathbb{R}^2$ by

$$p(e_1) = \left(-\frac{\sqrt{3}}{2}, -\frac{1}{2}\right), \quad p(e_2) = \left(\frac{\sqrt{3}}{2}, -\frac{1}{2}\right), \quad \text{and} \quad p(e_3) = (0, 1) = -(p(e_1) + p(e_2)),$$

where the $e_i$ are the standard basis elements of $\mathbb{R}^3$. Then $p$ projects the lattice $\mathbb{Z}^3$ onto the planar lattice

$$L = \{m p(e_2) + n p(e_3): m, n \in \mathbb{Z}\},$$

and all tiles will be rhombi with vertices in $L$.

In fact, each rhombus of the tiling is a translation of one of the three rhombi $R_1, R_2$ or $R_3$ of the initial patch $P_0$ pictured below, where the common point of all three is the origin. We shall describe a rhombus in $P_i$ as a translation of one of the original rhombi of the form $p(z) + R_k$ for some $z \in \mathbb{Z}^3$. As $p$ is not injective, this representation is not unique. However, to each rhombus we will recursively assign a specific representation of this form, where we identify $R_k$ with $p(0) + R_k$. The recursive process in going from $P_i$ to $P_{i+1}$ is determined by the function $\mathcal{R}$ from the set of rhombi in $P_i$ to the collection of rhombi in $P_{i+1}$. A key element in the definition of $\mathcal{R}$ is the matrix $M = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & -1 & -1 \end{pmatrix}$ derived from the Rauzy substitution. We denote the columns of $M$ by $c_i$, considered as elements of $\mathbb{Z}^3$. Then one obtains the rhombi in $P_1$ by taking the union of the following sets of rhombi:

$$\mathcal{R}(R_1) = \{R_3, p(e_2) + R_1, p(e_3) + R_2\}, \quad \mathcal{R}(R_2) = \{R_1\}, \quad \text{and} \quad \mathcal{R}(R_3) = \{R_2\}.$$

In general, once the rhombi in $P_i$ have been determined, one defines on each rhombus $p(z) + R_k \in P_i$

$$\mathcal{R}(p(z) + R_k) := p(Mz) + \mathcal{R}(R_k),$$

where
where the translation by $p(Mz)$ applies to each rhombus in $R(R_k)$, and one represents $p(Mz) + p(c_{k+1}) + R_k$ as $p(Mz + c_{k+1}) + R_k$. One then obtains the rhombi in $P_{i+1}$ by taking the union of all the rhombi in $R$ applied to the rhombi in $P_i$. In Fig. 2 the initial stages of the construction are indicated.

3. Rhombal algebras associated to the Rauzy tiling

3.1. Overview of path algebras of quivers

We survey the properties of the representation theory of quivers and paths algebras of quivers vital to our investigations. For a more detailed reference, we refer the reader to [2] and [3].

A quiver $Q$ is an oriented graph. A path in the quiver is a sequence of arrows such that each arrow begins at the vertex at which the preceding arrow ended. Furthermore, to each vertex $z$ we associate a trivial path $e_z$. For a field $k$, the path algebra $kQ$ of the quiver is the algebra generated by the set of all paths of $Q$, where the multiplication of two paths is given by concatenation of the two paths if they concatenate and by zero otherwise. Note that for each vertex $z$ of $Q$, $e_z$ is an idempotent in $kQ$.

Given a set of relations $R$ on the paths of $Q$, we can define a two-sided ideal $I$ generated by $R$, leading to the quotient algebra $kQ/I$. Then by a fundamental theorem of Gabriel [9], every basic algebra over an algebraically closed field is isomorphic to the path algebra of a quiver with relations.

The radical of $kQ/I$ is spanned by the image of all paths of length $\geq 1$. The socle of $kQ/I$ is spanned by all paths $b$ such that $ab = 0$ for all arrows $a$.

Furthermore, for every vertex $z$ of $Q$, there is a simple $kQ/I$-module $S_z$ of dimension one associated to $z$ such that $S_z e_z = S_z$ and $S_z e_x = 0$ for all $x \neq z$, and for any arrow of $Q$ the corresponding element in $kQ/I$ acts as zero. Then $e_z(kQ/I)$ is the projective indecomposable corresponding to $S_z$. For each vertex $x$, the dimension of $e_z(kQ/I)e_x$ is equal to the composition multiplicity of $S_x$ as a composition factor of $e_z(kQ/I)$.

3.2. Infinite-dimensional Rauzy algebra

The graph formed by the edges and vertices of the Rauzy tiling is a member of the family of graphs investigated by Peach [11]. We form a quiver $Q$ from the Rauzy tiling by replacing each edge with a double arrow in opposite directions. In representing quivers, letters towards the end of the alphabet are reserved for vertices, while letters towards the beginning of the alphabet are used for arrows. When focusing on a particular vertex $z$, arrows in figures will be drawn with tail
given by the vertex that is closest to \( z \), and arrows and vertices around \( z \) will be labelled with indices increasing in the counter-clockwise direction, as indicated in Fig. 3. The arrow pointing in the opposite direction from the arrow \( b \) is denoted \( \bar{b} \).

For the path algebra \( kQ \) associated to the field \( k \), following Peach [11] we define a set of relations \( R \) on \( kQ \) as follows:

1. (Two rhombus relation) Any path of length two in \( kQ \) that borders more than one rhombus is zero.
2. (Mirror relation) Any two paths of length two connecting opposite vertices of the same rhombus are equal.
3. (Star relation) For any vertex \( z \) and labelling as indicated in Fig. 3, the following relations hold, where we replace a path by zero if there is no corresponding edge in the quiver.

\[
\begin{align*}
    b_2\bar{b}_2 - b_5\bar{b}_5 &= \varepsilon_z(b_4\bar{b}_4 - b_1\bar{b}_1) \\
    b_6\bar{b}_6 - b_3\bar{b}_3 &= \varepsilon_z(b_2\bar{b}_2 - b_5\bar{b}_5) \\
    b_4\bar{b}_4 - b_1\bar{b}_1 &= \varepsilon_z(b_6\bar{b}_6 - b_3\bar{b}_3)
\end{align*}
\]

where \( \varepsilon_z, \varepsilon_z', \varepsilon_z'' \in \{-1, 1\} \) and \( \varepsilon_z\varepsilon_z'\varepsilon_z'' = 1 \). A choice of signs at a single vertex \( z \) determines the signs at all vertices of \( Q \). For more detail we refer the reader to [11].

**Definition 1.** The infinite-dimensional *Rauzy algebra* \( A \) is defined to be \( kQ/I \), where \( I \) is the ideal generated by the relations \( R \).

Let \( z \) be a vertex and \( P_z = e_zA \) the corresponding projective module of \( A \). Peach [11, Chapter 2] has shown many facts about paths in \( A \) and \( P_z \) that we list here for convenience.
Fig. 4. The vertices $x_i$, $y_i$ and $z$ are the vertices of $Q_0$.

Any path which does not border a single rhombus is zero in $A$. Furthermore, all paths of length $\geq 5$ are zero in $A$, and all paths of length 4 which do not start and end at the same vertex are zero in $A$. The socle of $P_z$ is simple and isomorphic to $S_z$. In fact, $A$ is a symmetric algebra. The image of any path $p$ of length four around a rhombus starting and ending at $z$ spans the socle of $P_z$. Any two paths of length 3 around a single rhombus are linearly dependent. In particular, if $x$ is a vertex such that there is an arrow $b$ from $z$ to $x$, then the space $e_z A e_x$ has dimension 2, and it is spanned by $b$ together with any path of length three around a rhombus which has corners $z, x$.

### 3.3. Finite-dimensional Rauzy algebras

Each patch $P_i$ in the formation of the Rauzy tiling as described in section 2 gives rise to a quiver $Q_i$ with vertex set $V_i$. Since $P_i \subset P_{i+1}$ for each $i$ and since the Rauzy tiling itself is given by $\cup P_i$, the underlying graph of each $Q_i$ embeds naturally in the infinite graph given by the Rauzy tiling. Two natural possibilities then arise for relating the finite-dimensional path algebras associated to the $Q_i$ with the Rauzy algebra $A$. We can either truncate the vertices but allow all paths that start and end in a given $V_i$, or we can truncate the relations together with the vertices.

The first option corresponds to cutting $A$ by idempotents given by the sum of the primitive idempotents of the vertices in $V_i$. With this approach, $Q_i$ yields the algebra

$$A_i = e_i A e_i, \quad \text{where } e_i = \sum_{v \in V_i} e_v \text{ and } e_v \text{ is the primitive idempotent at } v.$$

Thus, there will be paths which are nonzero in $A_i$ that go through a vertex not contained in $V_i$. The dotted lines in Fig. 4 indicate possible edges for nonzero paths of $A_0$ starting and ending at vertices in $V_0$ which are not paths of $Q_0$.

The second option corresponds to a truncation of the underlying graph on which we then impose the relations $R$, yielding the algebras $B_i = kQ_i/(I \cap kQ_i)$ with the relations $R$.

To decide which of these approaches to choose in order to define the finite-dimensional path algebras, we examine the indecomposable projective modules.
3.4. Which type of truncation?

Let $z$ be a vertex and $P_z = e_z A$ the corresponding indecomposable projective module of $A$, where $e_z$ is the primitive idempotent at $z$. The star relations imply that the crucial portion of $P_z$ is the space $e_z P_z e_z$. More precisely, the following subspace of $e_z P_z e_z$ and $P_z$ proves to be most important.

**Definition 2.** Let $X_z$ be the subspace of $P_z$ spanned by paths of length two starting and ending at $z$.

We calculate the dimension of $X_z$ as a subspace of $A$ and as a subspace of the finite-dimensional algebras $A_i = e_i A e_i$. In fact, we show that in the latter case, $X_z$ is also a subspace of a projective module at $z$ if the primitive idempotent at $z$ is a summand of the idempotent $e_i$. We will also show that imposing the star relations on $B_i$ produces a finite-dimensional algebra which is not symmetric, which we will therefore not consider in later sections.

The key difference in the relations for the two types of truncations lies in the star relations.

(I) Cutting $A$ by the idempotent $e_i$. Recall that $e_i$ is the sum of the primitive idempotents in $Q_i$ and that $A_i = e_i A e_i$. In this case the star relations are as given in Section 3.2. Let $z$ be a vertex such that $e_z e_i = e_z$. Then $e_z$ is a primitive idempotent of the algebra $e_i A e_i$, and we have the indecomposable projective modules $P_z = e_z A$ of $A$, and $e_z A e_i = e_z e_i A e_i$ of $e_i A e_i$. We now compare $X_z$ with the subspace of $e_z A e_i$ given by paths of length two.

Since $e_i e_z = e_z e_i = e_z$, we have $e_z A e_i e_z = e_z A e_z$, and as a vector space $e_z A e_z$ decomposes into $e_z A e_z = \langle e_z \rangle \oplus X_z \oplus \langle X_z^2 \rangle$. It follows from [11, 2.4.12] that the last summand is 1-dimensional. The decomposition still holds when we multiply by $e_i$ on both sides. Therefore, in $e_z A e_i$ the space spanned by paths of length two beginning and ending at $z$ is equal to $X_z$, and $X_z$ has the following dimensions:

$$
\dim(X_z) = \begin{cases} 
1 & \text{if } z \text{ is a 3-vertex,} \\
2 & \text{if } z \text{ is a 4-vertex,} \\
3 & \text{if } z \text{ is a 5-vertex,} \\
4 & \text{if } z \text{ is a 6-vertex.}
\end{cases}
$$

To examine the second type of truncation, the following definition is essential.

**Definition 3.** Let $z$ be a vertex of $Q_i$. We say that $z$ is a $(k,n)$-vertex if $z$ is an $n$-vertex in the untruncated quiver $Q$ and a $k$-vertex in $Q_i$.

For example, $z$ in Fig. 7 is a $(3,4)$-vertex, where the dotted arrows are outside $Q_i$.

We label the patch in the quiver around $z$ as indicated in Fig. 5, with the same condition on arrows as before. Then if for example $z$ is a three vertex, the patch in the quiver around $z$ will be labelled as in Fig. 6.

(II) Imposing the relations on $Q_i$. Recall that $B_i = k Q_i/(I \cap k Q_i)$; that is, we impose the relations $R$ on the truncated quiver. If $z$ is a vertex of $Q_i$, we calculate the dimension of $X_z$ as a subspace of $B_i$.

(a) Suppose $z$ is a $(2,5)$-vertex in $Q_i$. Then $X_z$ is spanned in $A$ by two paths, and one pair of opposite paths is completely missing. Also, the opposite of each existing path is missing. If
we now impose the star relation on the truncated quiver $Q_i$, all of the two paths in $X_z$ are actually equal to zero.

(b) Suppose $z$ is a $(6, 3)$-vertex in $Q_i$. Then as a subspace of $A$, $X_z$ is spanned by three paths. If we impose the star relations on $Q_i$, then any two of these paths are linearly dependent, and thus $X_z$ as a subspace of $B_i$ is 1-dimensional.

(c) Suppose $z$ is a $(4, 3)$-vertex in $Q_i$.

With the notations of Fig. 7 the star relations in $A$ for such a vertex are

\[-b_3 b_3 = \epsilon_z(-b_1 b_1)\]
\[b_4 b_4 - b_2 b_2 = \epsilon_z(-b_3 b_3)\]
\[-b_1 b_1 = \epsilon_z(b_4 b_4 - b_2 b_2)\]
Fig. 7. (4, 3)-vertex.

Fig. 8. The solid arrows are in $B_1$ and the dotted lines represent arrows that are in $A$ but not in $B_1$. The arrows are defined with respect to $z$, and we have only indicated at most one direction for each arrow.

However, in the truncated quiver $b_2$ does not exist. So the imposed star relations give that any two of the three paths are linearly dependent and $X_z$ as a subspace of $B_i$ is again 1-dimensional.

As a special case, we consider the following.

**Imposing star relations on $Q_1$.**

Let $z$ be the (5, 2)-vertex of $Q_1$. Then with the notation established in Section 3.2, we get a labelling as in Fig. 8.

Now consider the space $P'_z := e_z(B_1)$. We have seen that for the vertex $z$, the paths $b_3 \bar{b}_3 = 0 = b_4 \bar{b}_4$, where $b_3, \bar{b}_3, b_4$ and $\bar{b}_4$ are paths inside $B_1$. By the mirror relation, $b_3 d_3 = b_4 c_3$. Thus, for a basis of $P_z$ the only path of length two we need is $b_3 d_3$. Now consider paths of length
three. There are only two such paths to consider: \( b_3d_3 \bar{d}_3 \) and \( b_3d_3 \tilde{c}_3 \) (all others are zero). But \( d_3 \bar{d}_3 \) occurs in a star relation starting at \( x_3 \), and from II(c) above we know that any two paths of length two are dependent. So \( d_3 \bar{d}_3 = e \tilde{e} \), where \( e \neq d_3 \) is some path starting at \( x_3 \). But then \( b_3d_3 = b_3e \tilde{e} = 0 \).

By the mirror relation \( b_3d_3 \tilde{c}_3 = b_4c_3 \tilde{c}_3 \). We have an imposed star relation at \( x_4 \) of the form

\[
(0 - f \tilde{f}) = b_{x_4}(c_3 \tilde{c}_3 - 0).
\]

Hence, by the two rhombus relation, \( b_4c_3 \tilde{c}_3 = \pm b_4f \tilde{f} = 0 \).

This has proved that \( b_3d_3J = 0 \) (with \( J = \text{rad}(e_1Ae_1) \)), and thus \( b_3d_3 \) lies in the (right) socle.

Conclusion. Imposing the star relations on the truncated quiver does not produce symmetric algebras, and after this the only finite-dimensional algebras we consider are the \( A_i \).

4. Rhombus modules and rhombus filtrations

In this section we develop a general technique that applies to all infinite-dimensional rhombal algebras. We describe how to associate modules, which we call rhombus modules, to any rhombus of the quiver associated to the algebra. These modules may be thought of as building blocks of the rhombal algebras. We show that the projective modules of the algebra \( A \) have filtrations by such rhombus modules.

4.1. Rhombus modules

Consider a rhombus in the quiver labelled as in Fig. 9.

**Lemma 1.** For any rhombus in the quiver, there is a unique module \( R \) with top isomorphic to \( S_y \) and socle isomorphic to \( S_z \) and \( \text{rad}(R)/\text{soc}(R) \cong S_{x_1} \oplus S_{x_2} \). Explicitly, \( R = b_1dA = b_2cA \).

**Definition 4.** We say that a module \( R \) such as in Lemma 1 is a rhombus module and denote it by \( R_{y,z} \).

**Proof.** (1) **Existence.** The space \( e_2Ae_y \) is the 1-dimensional space spanned by \( b_1d \). The module \( R := b_1dA \) is contained in \( e_2A \) and therefore has socle \( S_z \). The top of \( R \) is isomorphic to \( S_y \) as it is generated by an element \( x \) with \( x = xe_y \). Furthermore, \( R \) has basis

\[
\begin{align*}
&b_1d, b_1d\tilde{d}, b_1d\tilde{c}, b_1d\tilde{d}\tilde{b}_1. 
\end{align*}
\]

That the elements listed are all nonzero follows from [11, 2.4.13].

(2) **Uniqueness.** Any such module must be isomorphic to a submodule of the injective module with socle \( S_z \); that is, a submodule of \( e_zA \). As it is generated by an element of \( e_zAe_y \) and this is 1-dimensional and spanned by \( b_1d \), it follows that \( R \cong b_1dA \). \( \square \)

**Remark.** There are four such rhombus modules for each rhombus in the quiver. (This may be considered as an analogue of the modelling of different \( O \)-forms for a liftable module with an irreducible character.)
4.2. Rhombus filtrations

We shall show that several modules that arise naturally in our setting, including the modules generated by arrows and indecomposable modules, have rhombus filtrations as defined below.

**Definition 5.** We say that a module $M$ has a rhombus filtration if there is a sequence of submodules $0 = M_0 \subset M_1 \subset \cdots \subset M_k = M$, where $M_i/M_{i-1}$ is a rhombus module for each $i$.

However, as the following lemma shows, ‘rhombus filtration multiplicities’ are not well-defined if one allows all rhombus modules as quotients.

Throughout this section we refer to Fig. 5 for notation.

**Lemma 2** (Arrow lemma). Suppose $b$ is an arrow in the quiver. Then the module $bA$ has two rhombus filtrations, each with two quotients.

**Proof.** Use the standard labelling of vertices and arrows as defined in Fig. 5 and set $b = b_1$.

1. There is an exact sequence

   $$0 \to bc_nA \to bA \to \tilde{b}_2bA \to 0.$$  

   Clearly $bA$ contains the rhombus module $bc_nA$, and furthermore left multiplication by $\tilde{b}_2$ induces a surjection $\pi : bA \to \tilde{b}_2bA$. We also have $\tilde{b}_2bc_n = 0$, so $bc_nA \subseteq \ker\pi$. Then equality holds by dimensions, since $bA/bc_nA$ has basis the cosets of $b, bd_1, b\tilde{b}, bd_1\tilde{c}_1$.

2. Similarly there is an exact sequence

   $$0 \to bd_1A \to bA \to \tilde{b}_nA \to 0.$$  

Next we consider submodules of projectives which are generated by two arrows.

**Lemma 3** (Two-arrow lemma). With the notation of Fig. 5, assume $z$ is an $n$-vertex and $n \geq 4$. Then the module $b_1A + b_2A$ has a rhombus filtration, with three rhombus quotients. Explicitly, we have an exact sequence

$$0 \to b_1d_1A \to b_1A + b_2A \to \tilde{b}_nA \oplus \tilde{b}_3b_2A \to 0.$$  

**Fig. 9.**
Proof. Since \( b_1 d_1 = b_2 c_1 \), we have a commutative diagram with exact rows and columns

\[
\begin{array}{ccc}
0 & \to & \ker p_1 \\
\downarrow & & \downarrow \\
b_1 d_1 A \oplus b_2 c_1 A & \to & b_1 A \oplus b_2 A \\
\downarrow p_1 & & \downarrow p \\
b_1 d_1 A & \to & b_1 A + b_2 A \\
\downarrow j & & \downarrow \phi \\
0 & & 0
\end{array}
\]

Namely, take as the middle row the direct sum of the two arrow sequences. Then take for \( p \) and \( p_1 \) the addition maps, and the map \( j \) is inclusion. Then the left lower square commutes, and hence it induces the map \( \phi \) making the right lower square commute as well. Clearly, \( p \) and \( p_1 \) are surjective, and then \( \phi \) is also surjective. Thus, the top row is exact by the Snake lemma.

By definition, we have \( \ker p = \{(x, -x) : x \in b_1 A \cap b_2 A\} \), which is isomorphic to \( b_1 A \cap b_2 A \). Similarly, \( \ker p_1 = (b_1 d_1, -b_2 c_1)A \cong b_1 d_1 A \), which is a rhombus module.

If \( n \geq 4 \), we now show that \( b_1 A \cap b_2 A = b_1 d_1 A \). To see this, note that \( b_1 d_1 = b_2 c_1 \), and \( b_1 d_1 A \) is thus contained in the intersection.

Suppose to the contrary that the intersection were not contained in \( b_1 d_1 A \). Then there would be a simple module contained in both \( b_1 A / b_1 d_1 A \) and \( b_2 A / b_2 c_1 A \). From the Two-arrow lemma, we know that \( b_1 A / b_1 d_1 A \cong \bar{b}_n b_1 A \) and that it has simple socle isomorphic to \( S_{x_n} \). Similarly, \( b_2 A / b_2 c_1 A \cong \bar{b}_3 b_2 A \), which has simple socle isomorphic to \( S_{x_3} \). For \( n \geq 4 \) the vertices \( x_n \) and \( x_3 \) are distinct, and then \( S_{x_n} \) is not isomorphic to \( S_{x_3} \), a contradiction that demonstrates the desired equality.

Hence in this case, \( \ker \phi = 0 \) and \( \phi \) is therefore an isomorphism. \(\Box\)

Remark. The condition that \( n \geq 4 \) is necessary. If \( z \) is a 3-vertex, then the intersection of \( b_1 A \) and \( b_2 A \) properly contains the rhombus \( b_1 d_1 A \) and \( \phi \) has a kernel of dimension two.

We now prove a ‘dual’ version of the previous lemma. As the arrow \( \bar{b}_1 \) starts at the vertex \( x_1 \) and the arrow \( \bar{b}_2 \) starts at the vertex \( x_2 \), \( (\bar{b}_1, \bar{b}_2)A \) is a submodule of \( e_{x_1} A \oplus e_{x_2} A \).

Lemma 4 (Dual two-arrow lemma). Suppose \( z \) is an \( n \)-vertex with \( n \geq 4 \). Then \( (\bar{b}_1, \bar{b}_2)A \) has a rhombus filtration with three rhombus quotients. Explicitly, there is an exact sequence

\[
0 \to \bar{b}_1 b_n A \oplus \bar{b}_2 b_3 A \to (\bar{b}_1, \bar{b}_2)A \to \bar{d}_1 \bar{b}_1 A \to 0.
\]
Proof. We have a commutative diagram with exact rows and columns

\[
\begin{array}{ccccccccc}
0 & \rightarrow & \ker \pi_1 & \rightarrow & (\tilde{b}_1, \tilde{b}_2)A & \rightarrow & \pi_1(\tilde{d}_1\tilde{b}_1, \tilde{c}_1\tilde{b}_2)A & \rightarrow & 0 \\
\phi & & j & & \pi_1 & & \pi_1 & & 0 \\
0 & \rightarrow & \tilde{b}_1 b_n A \oplus \tilde{b}_2 b_3 A & \rightarrow & \tilde{b}_1 A \oplus \tilde{b}_2 A & \rightarrow & \tilde{d}_1 \tilde{b}_1 A \oplus \tilde{c}_1 \tilde{b}_2 A & \rightarrow & 0 \\
0 & \rightarrow & \text{Cok } \phi & \rightarrow & \text{Cok } j & \rightarrow & \text{Cok } j_1 & \rightarrow & 0 \\
0 & & 0 & & 0 & & 0 & & 0
\end{array}
\]

Namely, start with the middle row which is a direct sum of the two arrow sequences. Then take for \(j\) and \(j_1\) the inclusion and for \(\pi_1\) the restriction of \(\pi\). Then the top right square commutes and induces the map \(\phi\), which then also is 1-1. Now the Snake lemma gives the lower row. Since \(\tilde{d}_1 \tilde{b}_1 A = \tilde{c}_1 \tilde{b}_2 A\), it follows that \(\text{Coker } j \cong \tilde{d}_1 \tilde{b}_1 A\) which is a rhombus module. If \(n \geq 4\), then we check that \(\text{Cok } j \cong \text{Cok } j_1\) (for example, by dimensions), and then \(\phi\) is an isomorphism. \(\square\)

As before, when \(n = 3\) this does not hold.

Lemma 5 (Three-arrow lemma). Suppose \(n \geq 5\). Then the module \(M = b_1 A + b_2 A + b_3 A\) has a rhombus filtration with four quotients. Explicitly, we have an exact sequence

\[0 \rightarrow b_1 A + b_2 A \rightarrow M \rightarrow \tilde{b}_4 b_3 A \rightarrow 0.\]

Proof. The module \(M\) is contained in \(e_z A\). Define \(\pi : M \rightarrow \tilde{b}_4 A\) to be multiplication by \(\tilde{b}_4\), a homomorphism from \(M\) onto \(\tilde{b}_4 b_3 A\). From [11] (see the summary in Section 3.2), we know that \(b_1 A + b_2 A\) is contained in the kernel of \(\pi\). It remains to show that equality holds.

Restrict \(\pi\) to \(b_3 A\), the Arrow lemma shows that \(\ker \pi \cap b_3 A = b_3 c_2 A\). But \(b_3 c_2 = b_2 d_2 \in b_2 A\), and so the intersection of \(\ker \pi\) with \(b_3 A\) is contained in \(b_1 A + b_2 A\). Now it follows that \(\ker \pi \subseteq b_1 A + b_2 A\). The claim follows. \(\square\)

Below is a dual version. The proof, which is similar, is left to the reader.

Lemma 6 (Dual three-arrow lemma). Let \(n \geq 5\). The module \(M := (\bar{b}_1, \bar{b}_2, \bar{b}_3)A\) has a rhombus filtration with four quotients, and we have an exact sequence

\[0 \rightarrow \bar{b}_3 b_4 A \rightarrow M \rightarrow (\bar{b}_1, \bar{b}_2)A \rightarrow 0.\]

4.3. Indecomposable projective modules

First we observe that the composition factors of projective modules have an easy description in terms of possible rhombus filtrations. For this we use the following standard notation from the representation theory of quivers: for an \(A\) module \(M\), \(\dim M = (m_x)_x\), where \(x\) ranges over the set of vertices of \(Q\) and \(m_x = \dim Me_x\) for the primitive idempotent \(e_x\) at \(x\).
Recall that \([e_z A : S_z] = \dim e_z A e_x\). For this to be nonzero, there must be a rhombus which has corners \(z\) and \(x\). As noted in 3.2, if there is an arrow from \(z\) to \(x\), the dimension is 2. If \(z\) and \(x\) are opposite corners, then the dimension is 1; specifically, any two paths of length 2 are the same by the mirror relation, and there are no nonzero paths of length \(>2\). Furthermore, the dimension of \(e_z A e_z\) is \(n\) if \(z\) is an \(n\)-vertex. Together these facts imply the following lemma.

**Lemma 7 (Multiplicity lemma).** The composition factors of \(e_z A\) are given by

\[
\dim e_z A = \sum_i \dim(R^*_i).
\]

That is, \([e_z A : S_z] = n\) where \(z\) is an \(n\)-vertex, \([e_z A : S_{x_i}] = 2\) and \([e_z A : S_{y_i}] = 1\), for each \(i\).

The same applies to the modules \(e_z A_i\) in \(A_i\) for \(z\) sufficiently far from the boundary of \(Q_i\).

We now describe rhombus filtrations of indecomposable projectives with the aid of the Heller operator \(\Omega\). Recall that for a module \(M\) with projective cover \(p : P_M \to M\), \(\Omega(M) = \ker p\). As the number of rhombi at a given vertex depends on the type of vertex, we must deal with the cases individually.

**Lemma 8 (3-vertex projectives).** Suppose \(z\) is a 3-vertex. Then we have

\[
0 \to b_1 A \to e_z A \to \tilde{c}_2 \tilde{b}_3 A \to 0
\]

and

\[
0 \to \tilde{d}_1 \tilde{b}_1 A \to e_z A \to b_3 A \to 0.
\]

In particular, \(\Omega(\tilde{c}_2 \tilde{b}_3 A) \cong b_1 A\) and \(\Omega(b_3 A) \cong \tilde{d}_1 \tilde{b}_1 A\).

**Proof.** Left multiplication by \(\tilde{c}_2 \tilde{b}_3\) gives an epimorphism from \(e_z A\) onto \(\tilde{c}_2 \tilde{b}_3 A\), and since \(\tilde{c}_2 \tilde{b}_3 b_1 = 0\) we know that \(b_1 A\) is contained in the kernel of this map. The description of the multiplicities of \(e_z A\) from the Multiplicity lemma together with the Arrow lemma show that \(\dim e_z A = \dim b_1 A + \dim \tilde{c}_2 \tilde{b}_3 A\), and hence the first sequence is exact. Similar arguments yield the second sequence. \(\Box\)

**Lemma 9 (4-vertex projectives).** Suppose \(z\) is a 4-vertex. Then there is a short exact sequence

\[
0 \to b_1 A \to e_z A \to \tilde{b}_3 A \to 0.
\]

In particular, \(\Omega(b_1 A) \cong \tilde{b}_3 A\).

**Proof.** The arrow \(\tilde{b}_3\) ends at vertex \(z\), and we have a surjection \(e_z A \to \tilde{b}_3 A\) given by left multiplication with \(\tilde{b}_3\). Since \(\tilde{b}_3 b_1 = 0\), we see that \(b_1 A\) is contained in the kernel of this map. Again, the Multiplicity lemma and the Arrow Lemma imply that \(b_1 A\) must be equal to the kernel. \(\Box\)

**Lemma 10 (5-vertex projectives).** We have a short exact sequence of \(A\)-modules

\[
0 \to b_1 A + b_2 A \to e_z A \to \tilde{b}_4 A \to 0.
\]

Hence \(e_z A\) has a rhombus filtration. Moreover, \(\Omega(\tilde{b}_4 A) \cong b_1 A + b_2 A\).
Proof. We have a surjective homomorphism $e_z A \rightarrow \bar{b}_4 A$ given by left multiplication. Then $b_1 A + b_2 A$ is contained in the kernel. It follows from the Two-arrow lemma that $b_1 A + b_2 A$ has a rhombus filtration with three quotients. Furthermore, from the Arrow lemma we know that $\bar{b}_4 A$ has a rhombus filtration with two quotients. In each case we know the composition factors, and it follows that the sequence is exact. In particular, $e_z A$ has a rhombus filtration.

Lemma 11 (6-vertex projectives). Assume $z$ is a 6-vertex. There is a short exact sequence

$$0 \rightarrow b_1 A + b_2 A \rightarrow e_z A \rightarrow (\bar{b}_4, \bar{b}_5) A \rightarrow 0.$$  

The kernel and the cokernel both have a rhombus filtration with three quotients.

Proof. There is an obvious surjection from $e_z A$ onto $W := (\bar{b}_4, \bar{b}_5) A$, and $b_1 A + b_2 A$ is contained in the kernel. From the Dual two-arrow lemma it follows that $W$ has a rhombus filtration with three quotients, and we also know that $b_1 A + b_2 A$ has a rhombus filtration with three quotients (see the Two-arrow lemma). The Multiplicity lemma now shows that the sequence is exact.

Remark. By the same arguments as in the proofs of Lemmas 9 and 10, if $z$ is a 6-vertex, then there also is a short exact sequence

$$0 \rightarrow b_1 A + b_2 A + b_3 A \rightarrow e_z A \rightarrow \bar{b}_5 A \rightarrow 0$$

such that the kernel has a rhombus filtration with four quotients, the cokernel a rhombus filtration with one quotient and $\Omega(\bar{b}_5 A) \cong b_1 A + b_2 A + b_3 A$.

5. Truncations

We now examine the finite-dimensional Rauzy algebras $A_i = e_i A e_i$ in the light of rhombus filtrations. Recall that if $z$ is a vertex in $V_i$, then $e_z e_i = e_z$, and furthermore we have that $e_z A e_i$ is the indecomposable projective (and injective) module of $A_i$ corresponding to $z$. In particular, it has simple top and socle.

The functor $(-) e_i$ from the module category of right $A$-modules to the module category of right $A_i$-modules is exact. As a result, for any vertex $z$ of $Q_i$, the projective $e_z A_i$ has a filtration by truncations of rhombus modules, and these are easy to write down. Furthermore, if $R$ is a rhombus module and $R e_i \neq 0$, then $R e_i$ is indecomposable (this follows from the shape of $Q_i$).

5.1. Truncation of arrow modules

The truncation of an arrow module $b A$ when the arrow $b$ starts or ends at some vertex $z$ in $Q_i$ has interesting properties. If $b$ starts at vertex $z$, then $b A e_i$ is a submodule of $e_z A e_i$, and hence $b A e_i$ has a simple socle. Similarly, if $b$ ends at $z$, then $b A e_i$ has a simple top. In either case, $b A e_i$ is indecomposable.

The module $b A$ has a filtration different from the rhombus filtration which gives more precise information about $b A e_i$.

Let $b = b_1$ with $b : z \rightarrow x_1$. We need to distinguish between two cases determined by the angles at $z$ of the two rhombi which have $b$ in common (see Fig. 10).
(i) Either both angles are obtuse, or both angles are acute.
(ii) One of the angles is obtuse, and the other is acute.

(i) Consider the first case. Then we have an exact sequence

\[(1)\quad 0 \to \bar{b}bd_1A + \bar{b}bc_nA \to \bar{b}A \to b\bar{b}A \to 0.\]

The kernel is 3-dimensional with a simple socle and top of length two, and its composition factors are $S_{y_1}, S_{y_n}$ and $S_{x_1}$. There is also an exact sequence

\[(2)\quad 0 \to \bar{b}bA \to \bar{b}A \to (\bar{b}_2\bar{b}_1, \bar{b}_n\bar{b})A \to 0.\]

Here, the cokernel is 3-dimensional with a simple top and socle of length two. Its composition factors are $S_{x_2}, S_{x_n}$ and $S_z$.

Dually, we have exact sequences

\[(3)\quad 0 \to b\bar{b}b_nA + b\bar{b}b_2A \to bA \to \bar{b}bA \to 0\]

with kernel of length three and composition factors $S_{x_n}, S_{x_2}$ and $S_z$; and

\[(4)\quad 0 \to b\bar{b}A \to bA \to (\bar{a}_1\bar{b}b, \bar{c}_n\bar{b}b)A \to 0\]

with cokernel of length three, and composition factors $S_{y_1}, S_{y_n}$ and $S_{x_1}$.

(ii) Consider the second case, which can only occur if $z$ is a 5-vertex or a 4-vertex.

(a) Assume $b$ starts at a 5-vertex $z$, as in the right of Fig. 10 with $n = 5$ and $b = b_1$. Then the star relation at the vertex $z$ gives

$$b\bar{b} - b_4\bar{b}_4 = \pm b_3\bar{b}_3.$$  

Since $\bar{b}_4b = 0$ and $\bar{b}_3b = 0$, right multiplication by $b$ shows that $b\bar{b}b = 0$.  

Fig. 10. An illustration of adjacent acute and obtuse angles.
Similarly we have $\bar{b}b_4 = 0$ and $\bar{b}b_3 = 0$, and hence $\bar{b}b\bar{b} = 0$.

(b) Assume $b$ starts at a 4-vertex $z$ and a rhombus adjacent to $b$ has an obtuse angle at $z$. As follows from the general list of possible configurations of rhombi as detailed in Table 1 of Section 7.2, the only type of 4-vertex which occurs in the Rauzy algebra is the completion to a 4-vertex as in the left of Fig. 10.

Assume $b = b_2$. Then the star relation at $z$ gives

$$b\bar{b} = \pm b_3\bar{b},$$

and $\bar{b}_3b = 0$ implies $b\bar{b}b = 0$.

Now we have seen that in case (ii) $b\bar{b}b = 0$, and similarly $\bar{b}b\bar{b} = 0$. Therefore we have the exact sequences

(1ii) $0 \to \bar{b}bA \to \bar{b}A \to b\bar{b}A \to 0$,

(2ii) $0 \to b\bar{b}A \to bA \to \bar{b}bA \to 0$.

Lemma 12 (Arrow truncation). Let $z$ be a 4-vertex.

(a) Suppose $e_{x_1}e_i = 0 = e_{y_1}e_i = e_{y_4}e_i$ but $e_z e_i \neq 0$. Then we have $bAe_i = \bar{b}bAe_i = \bar{b}bA_i = \bar{b}Ae_i$.

(b) Suppose $e_{x_2}e_i = 0 = e_{x_4}e_i = e_{x_1}e_i$ but $e_z e_i \neq 0$. Then we have $bAe_i = \bar{b}bAe_i = \bar{b}bA_i = \bar{b}Ae_i$.

Note that $b$ and $\bar{b}$ do not belong to $A_i$, but in part (a) we have $b\bar{b} \in A_i$ and in part (b) we have $\bar{b}b \in A_i$.

Proof. (i) Assume first that $z$ is as in case (i).

For part (a), apply the exact functor $(-)e_i$ to the exact sequence (1i). The kernel becomes zero by the hypotheses of part (a), and therefore

$$\bar{b}Ae_i = \bar{b}bAe_i = \bar{b}bA_i.$$

Furthermore, we take the exact sequence (4i), which has $bA$ as the middle term, and apply the functor $(-)e_i$. By the hypotheses of part (a), this time the cokernel becomes zero. So we have $bAe_i = \bar{b}bAe_i = \bar{b}bA_i$.

Part (b) is proved similarly by using the exact sequences (2i) and (3i).

(ii) Now assume $z$ is as in case (ii).

We apply the exact functor $(-)e_i$ to the exact sequences (1ii) and (2ii). With the hypotheses of part (a), we have $\bar{b}bAe_i = 0$, and the claim follows. To prove (b), we note that $b\bar{b}Ae_i = 0$ in this case. \(\square\)

Suppose $0 \neq \beta = \bar{b}b \in A_i$, with $b$ and $\bar{b}$ arrows in $A$ but not in $A_i$, then $\beta$ may or may not belong to $\text{rad}^2 A_i$. This leads to the following definition.

Definition 6. We call $\beta \in A_i$ a loop whenever $\beta = \bar{b}b$, $\beta \notin \text{rad}^2 A_i$, and $b$ and $\bar{b}$ are arrows in $A$ but not in $A_i$. 
5.2. Identifying loops in $A_i$

To properly understand the structure of $A_i$, we must determine which vertices of $Q_i$ admit loops.

**Definition 7.** We call a $(k,n)$-vertex $z$ **isolated** if $k = 2$. We call an isolated vertex **acute** (resp. **obtuse**) if the corresponding angle at $z$ is acute (resp. obtuse).

Note that isolated vertices always lie on the boundary of the corresponding $P_i$. We use the notation of Section 2, and rhombi are considered to be of type 1, 2 or 3 as indicated in Fig. 1. A $C$-patch denotes a translation of $P_0$ occurring as a patch in some $P_i$. And a $C'$-patch denotes a translation of the patch corresponding to $R(R_1)$.

**Lemma 13.** If a rhombus $R$ in $P_i$ occurs as the image under either one or two iterations of $R$ of a rhombus in some $C$-patch or $C'$-patch, then there is no acute isolated vertex in $R$.

**Proof.** The function $R$ induces a function on patches as well as on individual tiles. Since the image under either one or two iterations of $R$ on either a $C$-patch or a $C'$-patch has no isolated vertex and since the occurrence of a patch in $P_i$ can only transform isolated vertices into unisolated vertices, one obtains the lemma. \[\Box\]

**Lemma 14.** For any $i \geq 0$, no acute isolated vertex occurs in $P_i$.

**Proof.** The proposition is clear for $i = 0$.

For $i \geq 1$, a rhombus in $P_i$ of type 3 can only occur as the image of a rhombus of type 1. Hence, any type 3 rhombus in $P_i$ must occur as part of a $C'$-patch, which can have no acute isolated vertex.

For $i \geq 1$, a rhombus $R$ of type 2 can only occur as an image of a rhombus of type 1 or 3. If $R$ is the image of type 1 rhombus, $R$ must occur as part of a $C'$-patch. If $R$ occurs as the image of a rhombus $R'$ of type 3 in $P_{i-1}$, then $R'$ must be part of a $C$-patch or a $C'$-patch, and so by Lemma 13 $R$ can have no isolated acute vertex.

For $i \geq 1$, a rhombus $R$ of type 1 can only occur as an image of a rhombus of type 1 or 2. If $R$ is the image of a type 1 rhombus, $R$ must occur as part of a $C'$-patch. If $R$ occurs as the image of $R'$ of type 2, by considering the previous case, one sees that Lemma 13 applies to $R$ as well. \[\Box\]

**Lemma 15.** For any $(k,n)$-vertex $z$, $n - k \leq 3$.

**Proof.** As every vertex is the vertex in a rhombus, there are always at least two edges and at most six edges at $z$; thus, $2 \leq n, k \leq 6$. Therefore we always have $n - k \leq 4$, and we only have to check that $n - k = 4$ does not occur. As $n \geq k$, the only possibility is a $(2, 6)$-vertex. But a $(2, 6)$-vertex corresponds to an acute isolated vertex in $P_i$, which cannot occur by Lemma 14. \[\Box\]

**Proposition 16.** The $(k,n)$-vertex $z$ yields a loop in $A_i$ if and only if $k < n - 2$.

**Proof.** As there are $n$ different paths of length two from and to $z$ in $Q$ and there are two independent relations, the dimension of the space $X_z$ is $n - 2$. 

Then \( \text{rad}^2(A_i) \cap X_z \) is spanned by \( k \) elements and hence has dimension \( \leq k \). If \( k < n - 2 \), then this space is strictly contained in \( X_z \) and there are loops. If \( n - 2 - k = 1 \) then there is just one.

Suppose \( k \geq n - 2 \). One checks easily, case by case, that always \( \text{rad}^2(A_i) \cap X_z = X_z \), and hence there is no loop in this case. \( \square \)

By Lemma 15, \((3, 6)\)-vertices and \((2, 5)\)-vertices are the only such vertices. In these cases there is just one loop at such a vertex.

If \( P \) is indecomposable projective and injective, the subquotient \( \text{rad}(P)/\text{soc}(P) \) is often called the ‘heart’ of \( P \).

**Proposition 17.** If there is a loop at the vertex \( z \), then the projective \( P_z \) has decomposable heart, and one of the summands is simple and isomorphic to \( S_z \).

**Proof.** Suppose \( z \) is a \((3, 6)\)-vertex. Let \( x_1, x_2, x_3 \) be the neighbouring vertices of \( z \) in \( Q_i \) and let \( y_1, y_2, b_1, \ldots \) be as before (see Fig. 3). Assume that \( b_1, b_2, b_3 \) are neighbouring arrows which are nonzero in \( A_i \). Then \( X_z \cap (\sum_{i=1}^3 b_i A) \) is spanned by \( b_i \bar{b}_i \) for \( i = 1, 2, 3 \), and they are linearly independent. But \( X_z \) has dimension four, so we need some element in \( X_z \) to generate the radical of \( e_z A_i \).

We can take as the additional generator the path \( \gamma = b_5 \bar{b}_5 \). Then \( \gamma b_1 = \gamma b_2 = \gamma b_3 = 0 \).

Let \( M := \sum_{i=1}^3 b_i A \), then \( \text{rad}(P_z) = M + \gamma A_i \) and \( M \cap \gamma A_i = \gamma^2 A_i = \text{soc}(P_z) \). That is, \( \text{rad}(P_z)/\text{soc}(P_z) \) is the direct sum of a 1-dimensional module with \( M/\text{soc}(P_z) \), and the 1-dimensional summand is isomorphic to \( S_z \).

Similarly, if \( z \) is a \((2, 5)\)-vertex, then the loop gives rise to a 1-dimensional direct summand of \( \text{rad}(P_z)/\text{soc}(P_z) \). \( \square \)

6. The stable Auslander–Reiten quiver of \( A_i \)

The **Auslander–Reiten quiver** \( \Gamma(\Lambda) \) for a finite-dimensional symmetric \( k \)-algebra \( \Lambda \) is the quiver with vertices the isomorphism classes of indecomposable \( A \)-modules and arrows \([M] \rightarrow [N]\) corresponding to irreducible maps \( f : M \rightarrow N \), where \([M]\) is the class of the module \( M \). Thus, by providing generators and some relations, \( \Gamma(\Lambda) \) may be thought of as yielding part of a presentation of the module category of \( \Lambda \). The irreducible maps are occur in the setting of almost split sequences. The almost split sequence ending in \( N \) is a non-split short exact sequence of \( A \)-modules

\[
0 \rightarrow \Omega^2(N) \xrightarrow{g} X \xrightarrow{h} N \rightarrow 0
\]

such that any map \( \Omega^2(N) \rightarrow Y \) which is not a split monomorphism factors through \( g \). Equivalently, any map \( Y \rightarrow N \) which is not a split epimorphism factors through \( h \).

There is an irreducible map \( M \rightarrow N \) if and only if \( M \) is isomorphic to a direct summand of \( X \), and if so then the irreducible map occurs as a component of \( h \). Dually, there is an irreducible map \( \Omega^2(N) \rightarrow M \) if and only if \( M \) is a direct summand of \( X \), and if so then the irreducible map occurs as a component of \( g \); see, for example, [2] or [3].

Any indecomposable projective module \( P \) of \( \Lambda \) with simple top \( S \) has a unique almost split sequence where \( P \) occurs, namely

\[
0 \rightarrow \Omega(S) \rightarrow P \oplus \text{rad}(P)/\text{soc}(P) \rightarrow \Omega^{-1}(S) \rightarrow 0.
\]

\((*)\)
The stable Auslander–Reiten quiver $\Gamma_s(\Lambda)$ of $\Lambda$ is obtained from $\Gamma(\Lambda)$ by removing the projective modules and adjacent arrows. Any connected component of $\Gamma_s(\Lambda)$ is, as a graph, isomorphic to $\mathbb{Z}T/\Pi$, where $\Pi$ is some admissible group of automorphisms. The graph $\bar{T}$ associated to $T$ is uniquely determined by the component, and is called its tree class, hence one wants to identify $\bar{T}$. The main method to do this, for symmetric (or self-injective) algebras, uses subadditive functions, and for these one needs to establish the existence of suitable $\Omega$-periodic modules, which we herafter refer to as periodic modules. For more detail, see [3] and [7].

Lemma 18. (See [7].) Suppose $\Theta$ is a component of the stable Auslander–Reiten quiver of a symmetric algebra. If there is some periodic module $W$ such that $\text{Hom}_\Lambda(W, X) \neq 0$ for some module $X$ in $\Theta$, then the tree class of $\Theta$ is Dynkin, Euclidean, or one of the infinite trees $A_\infty$, $A_\infty^\infty$, $D_\infty$, or possibly $B_\infty$ or $C_\infty$.

With this hypothesis, one takes as (sub)additive function the map

$$d_W(-) := \dim(\text{Hom}(\hat{W}, -)),$$

where $\hat{W}$ is the direct sum of all distinct $\Omega$-translates of $W$.

Types $B_\infty$ or $C_\infty$ cannot occur for algebraically closed fields. For group algebras or other symmetric algebras arising naturally where tree classes are known, one usually has the tree class of $A_\infty$, and one might expect this to be the case more generally. Now we focus our attention again on the algebras $A_i$ to determine whether suitable (sub)additive functions exist and to see if the tree classes of simple modules can be identified.

Proposition 19. Suppose $x$ is a vertex of the quiver of $A_i$, and assume that there is a periodic module $W$ such that $\text{Hom}(W, S_x) \neq 0$. Let $H_x := \text{rad}(P_x)/\text{soc}(P_x)$, and let $\bar{T}$ be the tree class of the stable component containing $S_x$. If $\bar{T}$ is an infinite tree, we have the following dichotomy.

(a) If $H_x$ is indecomposable, then $\bar{T} \cong A_\infty$.

(b) If $H_x$ is decomposable, then the tree class is not $A_\infty$.

Proof. (a) We work over $\bar{k}$, the algebraic closure of $k$. In this case, $B_\infty$ and $C_\infty$ are excluded. If $\bar{T} = A_\infty$ over $\bar{k}$, then it follows from ‘Galois descent’ (see [3]) that $\bar{T} = A_\infty$ over $k$ as well.

The components of $S_x$ and of $H_x$ have the same tree class. This holds since $H_x$ is in the component of $\Omega(S_x)$, as the standard sequence shows, and $\Omega$ induces a stable self-equivalence of $A_i$, hence it induces a graph isomorphism of the stable Auslander–Reiten quiver.

So we consider the tree class of the component of $H_x$ and therefore of $\Omega(S_x)$. Recall that the vertices of $\bar{T}$ correspond to the $\Omega^2$-orbits on the component. Since $H_x$ is indecomposable, the middle term of the almost split sequence starting in $\Omega(S_x)$ has indecomposable non-projective part. This means that the corresponding vertex of $\bar{T}$ must be an end vertex.

Suppose $\bar{T} \neq A_\infty$, then the only other possibility is $D_\infty$. Since the vertex of $\bar{T}$ corresponding to $\Omega(S_x)$ is an end vertex, it follows that the vertex of $\bar{T}$ corresponding to $H_x$ is the branch vertex. Therefore, by the definition of $\mathbb{Z}D_\infty$, there is an almost split sequence of the form

$$0 \to \Omega^2(U) \to H_x \xrightarrow{g} U \to 0 \quad (**)$$

where $U \cong \Omega^{-1}(S_x)$. 

We have \(\text{soc}(H_x) \cong \oplus S_{x_i}\), where \(x_i\) ranges over all the neighbours of \(x\) in \(Q_i\). Now, \(\text{soc}(\Omega^2(U))\) is contained in \(\text{soc}(H_x)\). On the other hand, we have \(\text{Ext}^1(U, S_x) \neq 0\), and a nonzero element in this space exists, namely the inverse image of \(U\) in \(P_x\). But

\[\text{Ext}^1(U, S_x) \cong \text{Hom}(\Omega(U), S_x) \cong \text{Hom}(\Omega(U), S_x)\]

and hence \(S_x\) occurs in the top of \(\Omega(U)\), which is isomorphic to the socle of \(\Omega^2(U)\), a contradiction.

(b) Suppose now that \(H_x\) is a direct sum. This happens only when the quiver of \(A_i\) has a loop at vertex \(x\). In this case we know that \(H_x\) has a direct summand isomorphic to \(S_x\). Then by considering the standard sequence (*) we see that there is an irreducible map \(\Omega(S_x) \to S_x\). This shows that the graph automorphism of the stable Auslander–Reiten-quiver induced by \(\Omega\) fixes the component of \(S_x\), but it induces a non-trivial automorphism of the tree \(\bar{T}\) as the \(\Omega^2\)-orbits of \(S_x\) and of \(\Omega(S_x)\) are distinct. But \(A_\infty\) does not have a non-trivial automorphism. \(\Box\)

By Auslander’s theorem, an indecomposable algebra of infinite type does not have a component where the tree class is Dynkin.

**Conjecture.**

(a) For any simple module \(S_x\) there is a periodic module \(W\) with \(\text{Hom}(W, S_x) \neq 0\).

(b) Euclidean components do not occur in the stable Auslander–Reiten-quiver of \(A_i\).

(c) If \(A_i\) has a loop at the vertex \(x\), then the tree class of the component of \(S_x\) is \(A_\infty\).

To prove this, we would need suitable periodic modules. We will next show that we have periodic modules, and this will allow us to identify tree classes of some components of simple modules.

### 7. Search for periodic modules

In this section we examine when arrow modules are periodic.

#### 7.1. \(\Omega\)-Translates of arrow modules

For an arrow \(b : v \to z\), the arrow module depends on \(z\). Below is a list of the different possibilities.

**Arrow translates:**

1. If \(b\) is an arrow which ends at a 5-vertex \(z\) (see Fig. 5 with \(n = 5\) and \(b = \bar{b}_1\)), then we have seen that \(\Omega(bA) = b_3A + b_4A\).
2. If \(b\) ends at a 4-vertex (see Fig. 10 with \(b = \bar{b}_1\)), then \(\Omega(bA) = b_4A\). (This then determines the \(\Omega\)-translates for arrow modules along any path in the quiver that goes only between 4-vertices).
3. If the arrows \(b_3\) and \(b_4\) in (1) bound the same rhombus and both end at a 3-vertex, then \(\Omega(b_3A + b_4A) = (e_3, -d_3)A\).
4. If two adjacent arrows \(\bar{b}_1\) and \(\bar{b}_2\) end at a 5-vertex (see Fig. 5 with \(n = 5\) with an obtuse angle between \(b_4\) and \(b_5\) or \(b_3\) and \(b_4\)), then \(\Omega((\bar{b}_1, \bar{b}_2)A) = b_4A\).
5. If two adjacent arrows \(\bar{b}_1\) and \(\bar{b}_2\) end at a 6-vertex (see Fig. 3), then \(\Omega((\bar{b}_1, \bar{b}_2)A) = b_4A + b_5A\).
7.2. Horizontal lines

In order to identify periodic modules, we need to introduce some terminology that describes the geometry of the \( \Omega \)-translates of arrow modules.

We call an edge in \( P_i \) **horizontal** if it is not vertical; that is, if it is parallel to \( p(e_1) \) or \( p(e_2) \). We say that two vertices are **on the same level** if there is a sequence of horizontal edges joining them. Then we refer to a collection of edges between two vertices \( v \) and \( z \) on the same level as a **horizontal line** if it contains all horizontal edges joining vertices that are on the same level as and between \( v \) and \( z \). Figs. 11 and 12 provide some examples of horizontal lines in bold. Finally, the **width** of \( Q_i \) at the level of a vertex \( z \) is the minimum number of horizontal edges joining boundary points on the same level as \( z \).

This new terminology allows us to describe the results of the previous section in greater detail as follows.

**Proposition 20.** If \( b \) is a horizontal arrow in \( Q_i \) which starts at the left or right boundary of \( Q_i \) and if \( k \) is the width of \( Q_i \) at the level of \( b \), then the first \( k \) \( \Omega \)-translates of \( bA \) are one of the arrow translates as described in Section 7.1 and lie along a horizontal line.

We now study the \( \Omega \)-translate of a module as the horizontal line reaches the boundary of \( Q_i \). The important question is whether the \( \Omega \)-translates after step \( k \) are still small.

**Lemma 21.** Let \( b \) be an arrow in \( Q_i \) starting at a 4-vertex and ending at the 4-vertex \( z \). Suppose that in \( A \) we have \( \Omega(bA) = hA \) for some path \( h \) of \( A \) such that \( he_i = 0 \). Then \( \Omega(bA_i) \cong h\hat{h}A_i \) and \( \Omega^2(bA_i) \cong \hat{b}A_i \).

**Proof.** We then have an exact sequence of \( A \)-modules

\[
0 \to hA \to e_zA \to bA \to 0.
\]
The module $bAe_i = bA_i$ is still an arrow module in the algebra $A_i$. The functor $(-)e_i$ is exact, and therefore $e_zAe_i$, the projective indecomposable attached to $z$ in $A_i$, is a projective cover of $bA_i$. Hence in $A_i$ the $\Omega$-translate of $bA_i$ is equal to $hAe_i$. It follows from the Arrow truncation lemma that

$$hAe_i = \bar{h}Ae_i = \bar{h}Ae_i.$$

Furthermore, we have an exact sequence in $A$

$$0 \to \bar{b}A \to e_zA \to \bar{h}A \to 0.$$

Applying the exact functor $(-)e_i$ gives the projective cover for $\bar{h}Ae_i$, demonstrating that $\Omega(\bar{h}Ae_i) = \bar{b}A_i$. $\square$

Hence, we have the following.

**Theorem 22.** Suppose the horizontal line $L$ in $Q_i$ joins two 4-vertices on the boundary. Let $b$ be an arrow on $L$ starting or ending at a 4-vertex. Then $bA_i$ is periodic of period $2k + 2$, where $k$ is the width of the quiver at this level. Furthermore, the $\Omega$-translates of $bA_i$ lie along $L$: two modules are as in Lemma 21 (corresponding to the ends of $L$), while the other translates are as described in Section 7.1.

Thus, we have an entirely combinatorial criterion for periodicity that allows us to identify periodic modules in each $A_i$ for sufficiently large $i$.

**Theorem 23.** For each $i \geq 6$, $A_i$ contains a periodic module.

**Proof.** We demonstrate the existence of periodic modules in each algebra $A_i$ for $i \geq 6$ directly. First, we show how to find horizontal lines corresponding to two such modules in $A_i$; $6 \leq i \leq 9$, then we describe how the construction of $A_{i+1}$ from $A_i$ guarantees the existence of two similar horizontal lines for all $i \geq 9$. Finally, by examining the limited number of configurations of tiles in the Rauzy tiling, we show that these horizontal lines will always yield periodic modules.
Consider the two indicated horizontal lines in $\mathcal{P}_6$ that end in what can be seen to be 4-vertices by examining the surrounding tiles in $\mathcal{P}_9$ as illustrated in Fig. 11. These horizontal lines correspond to periodic modules. Translations of these horizontal lines can be found in $\mathcal{P}_7$ and $\mathcal{P}_8$ joining 4-vertices on the boundary. But in $\mathcal{P}_9$, there are such horizontal lines in each $\mathcal{R}^9(R_k)$ joining 4-vertices of the boundary of the corresponding patch $\mathcal{R}^9(R_k)$, as indicated in Fig. 12.

Since $\mathcal{R}^{10}(R_k)$ for $k = 2, 3$ are simply $\mathcal{R}^9(R_k)$ for $k = 1, 2$, these patches will also contain such horizontal lines joining 4-vertices on the boundary. As $\mathcal{R}^{10}(R_1)$ is formed as a combination of translations of the $\mathcal{R}^9(R_k)$, it too will have such horizontal lines. By induction, this will be the case for each subsequent $\mathcal{P}_i$. Then for each such $i$, $\mathcal{P}_i$ will have at least two horizontal lines going from one boundary vertex to another. (Precisely which patches $\mathcal{R}^i(R_k)$ contribute these horizontal lines depends on the class of $i$ mod 3.)

However, in order to conclude that these horizontal lines correspond to periodic modules, we must know that each of these horizontal lines begin and end at a 4-vertex. For this we will need the results of [4], which tells us how many configurations of rhombi of a certain size the Rauzy tiling contains. Recall the lattice $\mathcal{L}$, on which the vertices of the rhombi lie, has basis $\{b_1 = p(e_2), b_2 = p(e_3)\}$. When we consider the rhombi of the three types to have bases as indicated in Fig. 13, each vertex of $\mathcal{L}$ is the base for exactly one rhombus [4].

Then there are $mn + m + n$ different configurations of rhombi based in $(m,n)$-sections of $\mathcal{L}$ composed of vertices of the form

$$\{(k+i)b_1 + (\ell + j)b_2: \ i = 1, \ldots, m; \ j = 1, \ldots, n\}$$

for some $k$ and $\ell$ [4]. In Fig. 14 we provide an example of a $(3,2)$-section of $\mathcal{L}$.

Below we include a table of these configurations for select $(m, n)$, where the entry $a_{ij}$ of the matrix representing a configuration corresponds to the type of rhombus (1, 2 or 3) based at $(k+i)b_1 + (\ell + (n - j))b_2$.

Each of the horizontal lines under consideration ends on the right with a $(3 \ \ 2)$ configuration above, and so consideration of the possible $(2,2)$-configurations and geometry forces this to be completed as $(3 \ 1 \ 2)$, corresponding to the desired 4-vertex. On the left, the horizontal lines end cutting through a $(1 \ 2 \ 1)$ configuration, and so consideration of the possible $(3,2)$-configurations shows that it must be completed on the left as $(3 \ 1 \ 2 \ 1)$. This means that the configuration on the left end of the horizontal line containing the type 1 rhombus based at the left endpoint has the form $(2 \ 1 \ 3 \ 1)$, which can only be completed as $(2 \ 1 \ 3)$, yielding the desired 4-vertex. \qed
Table 1

| (m, n) | P(m, n) | List of possible configurations of rhombi |
|--------|---------|------------------------------------------|
| (1, 1) | 3       | (1), (2), (3)                            |
| (1, 2) | 5       | \( \left( \begin{array}{c} 1 \\ 2 \end{array} \right), \left( \begin{array}{c} 2 \\ 1 \end{array} \right), \left( \begin{array}{c} 3 \\ 1 \end{array} \right) \) |
| (2, 1) | 5       | (1, 1), (1, 2), (3, 1), (2, 1), (2, 3) |
| (2, 2) | 8       | \( \left( \begin{array}{c} 1 \\ 2 \\ 3 \end{array} \right), \left( \begin{array}{c} 2 \\ 1 \\ 3 \end{array} \right), \left( \begin{array}{c} 2 \\ 1 \\ 2 \end{array} \right), \left( \begin{array}{c} 2 \\ 1 \\ 3 \end{array} \right) \) |
| (3, 2) | 11      | \( \left( \begin{array}{c} 1 \\ 2 \\ 1 \\ 2 \\ 3 \\ 1 \end{array} \right), \left( \begin{array}{c} 3 \\ 1 \\ 2 \\ 1 \\ 2 \\ 3 \end{array} \right) \) |

We call a horizontal line \textit{periodic} if it corresponds to a periodic orbit. In what follows, \( L \) denotes a periodic line in \( Q_1 \).

\textbf{Definition 8.} We say that an \( A_i \)-module \( W \) is \textit{along} \( L \) if it has arrow translates as described in 7.1 or if it is of the form \( W = (b\overline{b})A_i \), where \( b \) starts at \( L \) but does not belong to \( A_i \) and \( b\overline{b} \) is in \( A_i \).

By Theorem 22, the modules along \( L \) form one \( \Omega \)-orbit.

\textbf{Corollary 24.} Suppose \( M \) is an indecomposable non-projective \( A_i \)-module and suppose for some \( W \) along the line \( L \) we have \( \text{Hom}(W, \Omega^t(M)) \neq 0 \) for some \( t \geq 0 \). Then the tree class of the component of \( M \) belongs to the list as in Lemma 18.

We can completely answer for which simple modules this applies.

\textbf{Theorem 25.} Suppose \( S \) is a simple module. Then there is a periodic \( W \) along a periodic line \( L \) such that \( \text{Hom}(W, \Omega^t(S)) \neq 0 \) for some \( t \) if and only if \( S = S_x \) and \( x \) is a vertex on \( L \).

\textbf{Proof.} (1) Suppose \( S = S_x \), where \( x \) is a 4-vertex or 5-vertex on a periodic line \( L \). Then \( \Omega(S) \) contains \( W = bA_i \) for \( b \) an arrow starting at \( x \), hence \( W \) is along \( L \). An inclusion map does not factor through a projective module, and hence

\( 0 \neq \text{Hom}(W, \Omega(S)) \).

(2) Suppose \( S = S_x \) where \( x \) is a 6-vertex on a periodic line \( L \). Then \( \Omega(S) \) contains \( W = hA_i + kA_i \), where \( h, k \) are arrows starting at \( x \) and \( W \) is along \( L \). Then similarly \( \text{Hom}(W, \Omega(S)) \neq 0 \).

(3) Suppose \( S = S_x \) where \( x \) is a 3-vertex which occurs along a periodic line \( L \). Then \( S \) is a top composition factor of \( W = hA_i + kA_i \), where \( W \) is along \( L \) and where either \( h \) or \( k \) ends at \( x \). The epimorphism from \( W \) onto \( S \) does not factor through a projective module. Hence, as before, \( \text{Hom}(W, S) \neq 0 \).

For the converse, suppose that \( S \) is a simple module and that there is some \( W \) along \( L \) such that \( \text{Hom}(W, \Omega^t(S)) \neq 0 \) for some \( t \). This space is isomorphic to

\( \text{Hom}(\Omega^{-t}(W), S) \cong \text{Hom}(\Omega^{-t}(W), S) \).
where the first isomorphism is by dimension shift, and the second holds because \( S \) is a simple module of a symmetric algebra and \( \Omega^{-f}(W) \) does not have a projective summand. Now, \( \Omega^{-f}(W) \) also is a module along \( L \). Since there is a nonzero homomorphism from this module onto \( S \) it follows that \( S \) is a top composition factor. But all modules along \( L \) have top composition factors \( S_x \) with \( x \) on \( L \). Hence \( S = S_x \) as stated. \( \square \)

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