Pulmonary hemodynamic responses to *in utero* ventilation in very immature fetal sheep

Beth J Allison¹*, Kelly J Crossley¹, Sharon J Flecknoe¹, Colin J Morley², Graeme R Polglase³, Stuart B Hooper⁴

**Abstract**

**Background:** The onset of ventilation at birth decreases pulmonary vascular resistance (PVR) resulting in a large increase in pulmonary blood flow (PBF). As the large cross sectional area of the pulmonary vascular bed develops late in gestation, we have investigated whether the ventilation-induced increase in PBF is reduced in immature lungs.

**Methods:** Surgery was performed in fetal sheep at 105 d GA (n = 7; term ~147 d) to insert an endotracheal tube, which was connected to a neonatal ventilation circuit, and a transonic flow probe was placed around the left pulmonary artery. At 110 d GA, fetuses (n = 7) were ventilated *in utero* (IUV) for 12 hrs while continuous measurements of PBF were made, fetuses were allowed to develop *in utero* for a further 7 days following ventilation.

**Results:** PBF changes were highly variable between animals, increasing from 12.2 ± 6.6 mL/min to a maximum of 78.1 ± 23.1 mL/min in four fetuses after 10 minutes of ventilation. In the remaining three fetuses, little change in PBF was measured in response to IUV. The increases in PBF measured in responding fetuses were not sustained throughout the ventilation period and by 2 hrs of IUV had returned to pre-IUV control values.

**Discussion and conclusion:** Ventilation of very immature fetal sheep *in utero* increased PBF in 57% of fetuses but this increase was not sustained for more than 2 hrs, despite continuing ventilation. Immature lungs can increase PBF during ventilation, however, the present studies show these changes are transient and highly variable.

**Introduction**

Very preterm infants (<28 weeks gestation) are born during the canalicular stage of lung development when the lungs are surfactant-deficient, have a small surface area for gas exchange, a thick air-blood gas barrier and an under-developed pulmonary capillary bed [1]. As a result, very preterm infants commonly suffer respiratory failure after birth and require respiratory support. Although the transition to pulmonary gas exchange is dependent upon major changes in pulmonary hemodynamics, little is known about these changes in infants with very immature lungs and an under-developed pulmonary vascular bed.

During fetal life, pulmonary vascular resistance (PVR) is high and pulmonary blood flow (PBF) is low with most of the blood exiting the right ventricle passing directly into the systemic circulation via the ductus arteriosus (DA). Indeed, pulmonary blood flows are as low as ~10% in fetal sheep and ~24% in human fetuses [2]. The pulmonary arteries develop in parallel with the developing airways [3] and the large cross-sectional surface area of the pulmonary micro-vasculature mainly develops during the sacular and alveolar stages when the distal airways develop [3]. This increase in cross-sectional surface area during late gestation, gradually reduces PVR [4] and is a prerequisite for the large and sustained reduction in PVR after birth at term. This is because, at birth, the pulmonary vascular bed must immediately accept the entire output of the right ventricle, allowing closure of the DA, separation of the pulmonary and systemic circulations and a reduction in pulmonary arterial pressure (PAP). Superimposed on this developmental process is an 8-10 fold reduction in PVR associated with birth, caused by the onset of gas-eous ventilation [5-7], increased oxygenation [8,9] and the release of vasodilators [10].

* Correspondence: bethjallison@gmail.com
1 Dept of Physiology, Monash University, Melbourne, Australia
Full list of author information is available at the end of the article

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Previous studies on the birth-related changes in pulmonary hemodynamics have focused on the lungs of either mildly preterm or term neonates, all of which were conducted during the alveolar stage of lung development [7,11,12]. During this stage, the alveolar capillary bed is relatively well developed [13] and has a large cross sectional area, giving it the capacity to substantially reduce PVR as ventilation commences. In contrast, in the very immature lung during the canalicular stage, the alveolar vascular bed is still undergoing development and is likely to have a substantially reduced capacity to decrease PVR after birth. However, little is known of the changes in PBF in the very immature lung with the onset of ventilation and so this study has specifically focused on ventilation-induced changes in pulmonary hemodynamics in immature fetal sheep. The results are relevant to understanding pulmonary adaptation at birth in extremely preterm infants.

We have recently developed a technique for ventilating very immature fetal sheep *in utero* [14]. As the fetus remains on placental support, pulmonary ventilation and other aspects of intensive care management are not required to sustain the lamb’s viability, allowing it to be ventilated at a stage (110 d gestation) when lung structure closely resembles that of a human infant at 26-28 weeks of gestation. At 110 d of gestation, fetal sheep have few immature alveoli [1] with very few differentiated type-II cells [15] and are not viable if ventilated *ex utero*. Thus, our aim was to examine the effect of *in utero* ventilation (IUV) on pulmonary hemodynamics during the late canalicular stage of lung development in fetal sheep. We hypothesized that the under-developed pulmonary vascular bed would attenuate the increase in PBF associated with the onset of pulmonary ventilation.

**Materials and methods**

**Fetal Surgery**

All experimental procedures were approved by the Monash University Animal Ethics Committee. Under general anaesthesia (1.5% halothane in O₂), aseptic surgery was performed on seven Border-Leicester X Merino ewes at 105 days gestation (term is ~147 days) as previously described [14]. A tube (ID 3.2 mm, OD 6.4 mm) was inserted into the fetal trachea and connected, via a Y-piece, to two large bore, saline-filled, ventilator circuit tubes (ID 9.5 mm, OD 14.3 mm). An additional saline-filled catheter (ID 3.2 mm, OD 6.4 mm) was inserted into the fetal upper trachea and connected to a saline-filled ventilation tube to create an exteriorised tracheal loop, allowing the normal flow of liquid into and out of the lung (Figure 1). A 4-mm ultrasonic flow transducer (Transonic Systems; Ithaca, NY) was placed around the left pulmonary artery (31), this probe directly measures blood flow to the left side of the lung (blood flow from the right ventricle or shunting left-to-right across the ductus arteriosus). A small securing tie was then inserted into the wall of the vessel and a tapered polyvinyl catheter (BD Insyte Vialon, peripheral venous catheter, length: 48 mm, 0.03 mm ID, 0.041 mm OD, NJ USA) was inserted into the main pulmonary artery, by direct puncture. It was directed 2 cm down into the left pulmonary artery before it was secured into place using the securing tie. Polyvinyl catheters were placed in a fetal carotid artery, jugular vein and amniotic sac and exteriorised via the ewe’s flank. Ewes and fetuses were allowed 5 days recovery following surgery. Fetal arterial blood PaO₂, PaCO₂, pH and SaO₂ were measured every second day to assess fetal wellbeing.

**Experimental Protocol**

Carotid and pulmonary arterial and amniotic sac pressures (DTX, Viggo-Spectramed, California) and blood flow through the left pulmonary artery (LPBF) were recorded digitally at 1 kHz (Powerlab, ADI: Castle Hill, Australia) for 6 hours prior to starting *in utero* ventilation (IUV). Mean LPBF was calculated electronically from the instantaneous LPBF signal, the LPBF flow measures right ventricular output as well as the left to right shunting through the ductus arteriosus. Prior to IUV, the upper tracheal catheter was disconnected from the ventilator circuit and the liquid within the circuit passively drained by gravity into a sterile bag before connection to a neonatal ventilator (Draeger 8000+). Fetuses were ventilated *in utero* at 110 d gestation for 12 hrs (n = 7) as described previously (briefly
PIP of 40 cmH₂O, PEEP of 4 cmH₂O, flow 10 L/min and a rate 60 inflations/min; FiO₂ 21%; each fetus acted as its own control. However, operated age-matched control fetuses were also used for comparison of blood-gases (110 d control fetuses) and fetal weight data (117 d control fetuses). Arterial blood gases were measured hourly. Following IUV, the ventilator circuit and fetal lung were refilled with lung liquid and reconnected to the upper tracheal catheter, to restore normal lung liquid flow [14]. Carotid arterial and amniotic sac pressures as well as PBF were recorded digitally for 6 hours after the cessation of IUV and ewes and fetuses were killed 7 days later (117 d GA) for post-mortem analysis.

Pulmonary Blood Flow Waveform Analysis
Changes in the contour of the PBF waveform were measured by selecting waveforms from 10 consecutive cardiac cycles from each lamb at selected time points during experimentation [11,16]: before IUV (pre-IUV) and then every 5 min for the first two hours of IUV and at 20 min intervals for the remaining 10 hours of IUV. Waveform parameters and calculations of pulsatility index (PI) have been described previously [11].

Statistical Analysis
PBF and PAP measurements represent an average value taken over a one minute period of recording with care being taken to avoid periods containing obvious movement artefacts caused by the ewe. Measurements of PBF, systemic arterial pressure (SAP) and PAP are expressed as mean ± SEM. Heart rate is expressed as a percentage increase from the mean heart rate during the pre-IUV recording period. All values were then grouped and means and standard errors determined. Comparisons of PBF, HR, SAP, PAP and individual components of the PBF waveform were analysed using a two-way repeated measures ANOVA using the statistical package Sigma Stat (Version 3.1.1, Jandel Corporation, USA). The level of significance was p < 0.05 for all statistical analyses.

Results
Fetal outcomes
All fetuses had normal blood gas and acid-base status throughout the experimental period. Although all values were within normal ranges, the pH values in IUV fetuses were significantly higher than in age-matched controls (Figure 2). No time dependent differences were observed in blood gas and acid base status when compared to age-matched controls. No significant differences were observed between fetal body weights and lung/body weight ratios at post mortem compared to age-matched controls (117 d control; Table 1).

Pulmonary blood flow
PBF in the left pulmonary artery tended to increase from pre-IUV control values of 6.9 ± 4.7 mL/min to 16.3 ± 6.1 mL/min in response to lung liquid drainage, although this was not statistically significant. The onset of IUV further, and significantly (p < 0.001), increased PBF from 16.3 ± 6.1 mL/min to a maximum value of 47.2 ± 19.1 mL/min at 10 minutes of IUV (Figure 3A). The PBF then decreased, despite continued mechanical ventilation, such that at 75 minutes after beginning IUV, PBF was not significantly different from pre-IUV values.

The change in PBF in response to IUV varied markedly between fetuses, particularly during the first 90 minutes of IUV, explaining the high variability in PBF displayed in Figure 3A. Based upon the PBF response to IUV, individual fetuses have been subdivided into two groups which differed markedly in their PBF response (Figure 3B). Group (i) called “responders” includes all fetuses with an end-diastolic flow >0 ml/min (indicating retrograde flow from the pulmonary arteries had ceased; see Figure 4) and a >50% increase in PBF (above control values) (Figure 3B; responders). Group (ii) called “non-responders”, were fetuses who displayed minor or no alterations in PBF (Figure 3B, non-responders), including little change in end-diastolic flow (Figure 4). Of the seven fetuses studied, 4 were responders and 3 non-responders. GA, body weights and lung weights (Table 1) were not different between the groups.

In comparison to non-responding fetuses, responding fetuses markedly increased PBF in response to IUV, increasing from a pre-IUV value of 12.2 ± 6.6 mL/min to a maximum of 78.1 ± 23.1 mL/min at 10 minutes of IUV after which PBF gradually decreased to return to fetal levels at 2 hours of IUV (Figure 3B). Although all fetuses increased PBF in response to IUV in this group, the extent of the increase varied between fetuses during the early stages of IUV. In contrast, no change in PBF occurred in non-responding fetuses throughout the IUV period (Figure 3B). The changes in PBF were significantly different between responding and non-responding fetuses (p < 0.001) from the beginning until 110 mins of mechanical ventilation.

PBF waveform analysis
Numerous transient changes in PBF waveform characteristics were noted, although when both groups were combined, only the increases in mean diastolic flow and post-systolic minimum flow at 20 mins of IUV as well as the decrease in pulsatility index at 20 minutes and 2 hours were significant (Table 2). The inability to detect significant changes was largely caused by non-responding fetuses, as they had little or no variation in their PBF waveform (Figure 4; left hand graphs) in response to IUV. In contrast, fetuses that responded to
IUV had markedly altered PBF waveforms (Figure 4; right hand graphs) that were similar to what we have shown in more mature fetuses [17].

As we have shown previously during preterm ventilation [17], IUV alter diastolic characteristics of the PBF waveform. Most changes were transient and paralleled changes in mean PBF (Table 3). In responding fetuses, mean diastolic flow, post-systolic minimum flow and end-diastolic flow were all significantly increased (Table 3; p < 0.05) from pre-IUV values at 20 minutes of IUV and returned to baseline levels by 2 hrs of ventilation. In 3 out of the 4 responding fetuses, PBF displayed no retrograde flow. PI significantly (p < 0.05), but transiently, decreased in responding fetuses (Table 3). Overall

**Figure 2** Fetal blood gas status before and during *in utero* ventilation (IUV). Graphs show the Mean ± SEM for pH, PaCO2, PaO2 and SaO2 for fetuses before and during 12 hrs of in utero ventilation (n = 7; black circles). Values are compared to 110 d fetuses (sham operated controls completed for previous study n = 5; white circles). Asterisks indicate statistical difference between IUV and control fetuses.

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in all parameters measured, the responding fetuses were significantly different to the non-responding fetuses (p < 0.001).

Heart rate
Fetal heart rate tended to increase within 30 mins of IUV, although this increase was not significant either when the groups were considered together (Figure 5A) or following separation into responders and non-responders (Figure 5B) due to the high level of variability.

Pulmonary and systemic arterial pressure
Both the PAP and SAP were not significantly altered throughout IUV (Table 4). Following subdivision into groups based on their PBF response to IUV, neither pulmonary nor systemic arterial pressures were significantly altered by IUV (subgroup data not shown).

Discussion
It is known that ventilation after birth rapidly reduces PVR and increases PBF in mature fetuses at term [7], but how the very immature fetus responds to ventilation is unknown. This study has shown that mechanical ventilation of extremely immature fetal sheep in utero transiently increased PBF in a subgroup of fetuses, although even these fetuses were unable to sustain this increase for longer than 2 hours, despite continuing mechanical ventilation. In the other fetuses, PBF did not increase at any stage during the mechanical ventilation period. The cause of the large variability in pulmonary hemodynamic responses to mechanical ventilation between fetuses remains unknown, although fetal heart rate was also variable. This study is the first to demonstrate the variable PBF response to mechanical ventilation in extremely immature lambs and shows that although the PBF increase is rapid in some lambs, when present it is transient, lasting <2 hrs.

Alterations to Pulmonary Hemodynamics with IUV
Previous studies have shown that reducing lung liquid volumes in near term fetal sheep, to a volume equivalent to FRC in newborn lambs, decreases PVR and increases PBF 2-4 fold [18,19]. Similarly, previous IUV studies conducted in near term fetal sheep have shown that IUV causes a sustained decrease in PVR and increase in PBF [7,20,21]. In this study, lung liquid drainage prior to IUV caused only a small increase PBF, which failed to reach statistical significance, and mechanical ventilation caused a variable increase in PBF in only ~57% of

| Subgroups | 117 d control | 12 hr IUV + 7 d | Responders | Non-responders |
|-----------|---------------|----------------|------------|---------------|
| GA (days) | 117 ± 1       | 117 ± 1        | 117 ± 1    | 116 ± 1       |
| N         | 5             | 7              | 4          | 3             |
| Body weight (kg) | 23 ± 0.3 | 23 ± 0.3 | 19 ± 0.1 | 28 ± 0.4 |
| Lung weight (g/kg bw) | 336 ± 5.6 | 643 ± 15.4 | 769 ± 40.2 | 516 ± 24.7 |

No statistical differences were present between fetuses that either responded or did not respond to IUV. All measurements were made at the time of postmortem.

Figure 3 Changes in pulmonary blood flow (PBF) with in utero ventilation (IUV). A) PBF (mL/min) measured in the left pulmonary artery of IUV exposed fetuses (n = 7) pre-IUV, following lung liquid drainage (LLD) and throughout IUV. Asterisks indicate values which are significantly different from pre-IUV values (p < 0.001). B) PBF (mL/min) measured in the left pulmonary artery after sub-division of fetuses into responders (open circles, n = 4) and non-responders (closed circles, n = 3); values were measured pre-IUV, following lung liquid drainage (LLD) and throughout IUV. Asterisks indicate values which are significantly different between responding and non-responding fetuses (p < 0.001).
Figure 4 Pulmonary blood flow (PBF) waveforms. Individual PBF waveforms are shown for non-responding (left) and responding (right) fetuses. Two sets of waveforms are displayed the first taken during the fetal period and the second during in utero ventilation (enclosed in the square).

Table 2 Characteristics of the pulmonary blood flow (PBF) waveform in fetuses before, during (at selected time points) and after in utero ventilation (IUV)

|                  | Pre-IUV | 20 min | 2 hr  | 11 hr | Post-IUV |
|------------------|---------|--------|-------|-------|----------|
| **Peak systolic flow (mL/min)** | 189.5 ± 30.5 | 228.4 ± 42.2 | 179.3 ± 32.3 | 177.0 ± 37.3 | 189.0 ± 34.9 |
| **Post-systolic minimum flow (mL/min)** | -78.6 ± 13.9<sup>a</sup> | -32.5 ± 22.8<sup>b</sup> | -68.8 ± 13.4<sup>a</sup> | -80.8 ± 13.2<sup>a</sup> | -75.4 ± 15.4<sup>a</sup> |
| **End-diastolic flow (mL/min)** | -27.1 ± 4.4 | -101.1 ± 13.9 | -26.0 ± 6.5 | -30.7 ± 7.2 | -26.1 ± 0.3 |
| **Mean diastolic flow (mL/min)** | -17.7 ± 4.4<sup>a</sup> | 18.4 ± 2.2<sup>b</sup> | -12.6 ± 3.7<sup>a</sup> | -14.1 ± 3.0<sup>a</sup> | -9.6 ± 5.1<sup>a</sup> |
| **Pulsatility index** | 1.4 ± 0.0<sup>a</sup> | 1.2 ± 0.1<sup>b</sup> | 1.1 ± 0.1<sup>b</sup> | 1.47 ± 0.0<sup>a</sup> | 1.40 ± 0.0<sup>a</sup> |
| **Pulse amplitude (mL/min)** | 207.8 ± 31.4 | 173.1 ± 37.2 | 188.4 ± 28.8 | 167.1 ± 34.1 | 213.1 ± 42.8 |

Values that do not share a common letter are significantly different from each other (p< 0.05).
fetuses. Clearly, the difference between the findings of this study and previous studies is the gestational age and lung maturity at the time of lung liquid drainage and IUV. This suggests that in very preterm infants, with a similar level of lung immaturity, the capacity of the lung to increase PBF in response to mechanical ventilation may be considerably less than previously acknowledged and non-existent in some.

The mechanisms responsible for the increase in PBF at birth in mature, near term, fetuses are thought to be multi-factorial and include effects of ventilation, increased oxygenation and the release of vasodilators [22-24]. NO-induced vasodilation is thought to contribute to ~50% of the increase in PBF at birth [22] which can be inhibited by blocking NO activity [25]. The mature fetal lung can also vasodilate in response to vasoactive factors, such as prostaglandin D₂ [26] and bradykinin [27] both of which can decrease PVR and release vasoactive substances, such as prostaglandins in response to rhythmic distension [28,29]. However, the ability of the very immature pulmonary vascular bed to release NO and other vasodilators and respond to them is relatively unexplored and warrants further investigation.

Changes in transpulmonary pressures associated with lung aeration also contributes to the increase in PBF with ventilation onset [7,30,31]. Before birth, the fetal lungs are liquid-filled providing a constant internal distending pressure that maintains the lungs in an expanded state [32,33]. Following lung aeration, the distending influence of lung liquid is lost and the presence of air creates an air-liquid interface [32]. The resulting surface tension, even in the presence of surfactant, increases lung recoil and creates sub-atmospheric intrapleural and peri-alveolar interstitial tissue pressures [34,35]. This increases both the capillary/alveolar wall and capillary/interstitial tissue wall transmural pressures leading to an increase in capillary recruitment [36] and expansion of recruited capillaries [31]. On the other hand, increases in intra-luminal pressure caused by positive pressure ventilation, reduces the alveolar/capillary transmural pressure, capillary calibre and increases PVR. This is a well established relationship that has been demonstrated in adults [37], near term fetuses [7], newborns [38] and relatively mature preterm lambs [11].

In adults, the large cross-sectional area of the pulmonary capillary bed allows the pulmonary circulation to accept the entire output of the right ventricle while maintaining pressures at ~1/8 of the systemic circulation. Much of the capillary bed forms (and the cross-sectional area of bed increases) during the alveolar stage of lung development, resulting in a gradual reduction in PVR during late gestation [39]. At birth the large decrease in PVR can only occur if the cross-sectional

| RESPONDERS | NON RESPONDERS | PRE-IUV | 20 min | 2 hrs | POST-IUV | PRE-IUV | 20 min | 2 hrs | 11 hrs | POST-IUV |
|---|---|---|---|---|---|---|---|---|---|---|
| Peak systolic flow (mL/min) | 234 ± 65a | 173 ± 64b | -52.9 ± 12 | -50.0 ± 19 | -191 ± 50a | -281 ± 81a | -264 ± 67a | -480 ± 163a | -133 ± 23a | -154 ± 27a |
| Post-systolic minimum flow (mL/min) | -65.2 ± 20 | 11.5 ± 10.9b | -52.9 ± 12 | -50.0 ± 19 | -191 ± 50a | -281 ± 81a | -264 ± 67a | -480 ± 163a | -133 ± 23a | -154 ± 27a |
| End-diastolic flow (mL) | -22.6 ± 6.5a | 11.5 ± 10.9b | -52.9 ± 12 | -50.0 ± 19 | -191 ± 50a | -281 ± 81a | -264 ± 67a | -480 ± 163a | -133 ± 23a | -154 ± 27a |
| Mean diastolic flow (mL) | -10.9 ± 4.7a | 49.0 ± 16.5b | -52.9 ± 12 | -50.0 ± 19 | -191 ± 50a | -281 ± 81a | -264 ± 67a | -480 ± 163a | -133 ± 23a | -154 ± 27a |
| Pulsatility index | 1.4 ± 0.0a | 1.0 ± 0.0b | 1.4 ± 0.0a | 1.0 ± 0.0b | 1.4 ± 0.0a | 1.0 ± 0.0b | 1.4 ± 0.0a | 1.0 ± 0.0b | 1.4 ± 0.0a | 1.0 ± 0.0b |
| Pulse amplitude (mL/min) | 193 ± 54 | 162 ± 34 | 167 ± 47 | 170 ± 44 | 165 ± 48 | 162 ± 34 | 167 ± 47 | 170 ± 44 | 165 ± 48 | 162 ± 34 |

Values that do not share a common letter are significantly different from each other (p<0.05). Overall in all parameters measured, the responding fetuses were significantly different to the non-responding fetuses.
Figure 5 Changes in heart rate with in utero ventilation (IUV). A) Values represent % increase in heart rate above control values of IUV exposed fetuses (n = 7) pre-IUV, following lung liquid drainage (LLD) and throughout IUV. B) Values represent % increase in heart rate above control values in responding (open circles, n = 4) and non-responders (closed circles, n = 3) IUV fetuses; values were measured pre-IUV, following lung liquid drainage (LLD) and throughout IUV.

Table 4 Pulmonary and systemic arterial pressures in fetuses before during (at selected time points) and after in utero ventilation (IUV)

|                     | RESPONDERS                  |                   | NON RESPONDERS               |                   |
|---------------------|-----------------------------|-------------------|------------------------------|-------------------|
|                     | PRE-IUV  | 20 min | 2 hrs | 11 hrs | POST-IUV  | PRE-IUV  | 20 min | 2 hrs | 11 hrs | POST-IUV  |
| Pulmonary Arterial  |                      |                   |                              |                   |
| Pressure (mmHg)     | 37.9 ± 1.8 | 36.8 ± 2.0 | 38.0 ± 2.5 | 36.8 ± 3.0 | 40.0 ± 1.0 | 38.7 ± 3.5 | 31.1 ± 11.1 | 39.7 ± 2.0 | 40.0 ± 0.0 | 32.5 ± 6.7 |
| Systemic Arterial   |                      |                   |                              |                   |
| Pressure (mmHg)     | 37.4 ± 3.2 | 38.0 ± 4.3 | 39.5 ± 4.4 | 41.9 ± 3.0 | 43.0 ± 2.1 | 37.7 ± 3.9 | 36.2 ± 5.0 | 38.4 ± 3.0 | 36.5 ± 3.4 | 36.7 ± 2.8 |
area of the pulmonary vascular bed is sufficiently large. As very immature lungs will not have undergone this vital development at the time of preterm birth [40], the ability of the immature lung to decrease PVR after birth must be diminished, irrespective of whether they have the capacity to dilate in response to vasodilatory stimuli. Indeed the decrease Pulsatility Index (PI) (Table 2 and 3) in fetal sheep following the initiation of mechanical ventilation in the current study indicates that PVR did decrease, although again the increased PI was not maintained for longer than 2 hours. The lack of a pulmonary vascular bed with a sufficiently high cross sectional area may explain why the increase in PBF was not sustained in our study and only occurred in 57% of fetuses.

The contribution of the low resistance placental circulation must also be considered when interpreting PBF changes in response to IUV, as the placental circulation was functional during our studies. We have recently shown that flow through the ductus arteriosus (DA) reverses with mechanical ventilation at birth, due to a reversal of the pressure gradient across the DA [16]. As a result, the majority of blood flow through the DA immediately after birth, flows from the systemic into the pulmonary circulation (referred to as left-to-right shunting) which contributes ~50% of PBF that gradually decreases with time [16]. The reversal of the pressure gradient between the systemic and pulmonary circulations [16,19] and the onset of left-to-right shunting through the DA, must be determined by both the decrease in PVR and the increase in downstream resistance in the peripheral vascular bed caused by umbilical cord occlusion. As the umbilical cord was not occluded in this study resistance in the systemic circulation would have remained low limiting the change in pressure gradient across the DA.

Heart rate in the responders, although tending to increase, was not significantly altered throughout the experiment. Given that fetal cardiac output is predominately determined by heart rate rather than stroke volume [41], it is possible that right ventricular output may have contributed to the initial increase in PBF. However, the decrease in PVR (as determined by the pulsatility index) likely plays a greater role in the present study as previously discussed. However, the increase in PBF is likely to have a combination of right and left ventricular output contributions. The responders had positive PBF throughout the cardiac cycle, evident by their respective PBF waveforms (Figure 4), indicating that 100% of right ventricular output is entering the pulmonary circulation. Left ventricular output may contribute to the increase in PBF as evident by the decrease in the PI (Table 4) which would facilitate left-to-right shunting through the DA. The gradual increase in pulsatility index, likely caused by factors which shall be discussed later, would reduce both left and right ventricular output contributions thus decreasing PBF to normal levels.

The Effect of IUV on Pulmonary Blood Flow Waveform Contour

In responding fetuses, the contour of the PBF waveform changed in response to IUV to closely resemble the waveform seen in post-natal animals [17], with flow during diastole being particularly affected (Figure 4). Specifically, IUV significantly increased end- and peak-systolic flow as well as end- and mean-diastolic flow, resulting in decreased pulse amplitude in the early stages of IUV. The most significant change was the increase in diastolic flow about 20 mins after mechanical ventilation onset where it remained positive in 3 out of the 4 responding fetuses, indicating blood flowed towards the lung throughout the cardiac cycle at this time. The loss of retrograde flow during diastole is the greatest change to the PBF waveform during fetal to neonatal transition and is a sensitive indicator of a reduced PVR [11]. Thus, the loss of retrograde flow in these very immature fetuses implies that PVR was reduced and that some left-to-right shunting through the DA may have occurred which contributed to the increase in PBF [16]. As diastolic PBF did not increase in non-responding fetuses, it is unlikely that PVR was significantly reduced or that significant levels of left-to-right shunting occurred in these fetuses in response to IUV.

Other differences between responding and non-responding fetuses

The two fetal sub-groups had similar arterial pressures and blood gas status before and during IUV, and at autopsy had similar body and organ weights. However, in addition to the PBF changes, responding fetuses increased their heart rate in response to IUV. Although this may have contributed to the increase in PBF, it cannot account for the differences in PBF between subgroups. Indeed, one of the largest changes in PBF in response to IUV was increased diastolic flow, which is largely independent of heart rate and mostly determined by PVR [11]. An increase in fetal heart rate, in the absence of a decrease in PVR, simply results in increased right-to-left flow through the DA. Previous IUV studies conducted in near term fetuses [9,12], also found that maximal increases in PBF occurred in only half of ventilated fetuses [7,42]. Teitel and colleagues (1990) also separated fetuses into major and minor responders (determined by PBF changes) but found no differences between groups other than their response to IUV; they looked at gender, fetal weight, blood gas status, pulmonary vascular tone, ventricular function and alveolar ventilation [7]. We consider the primary difference between responding and non-responding fetuses is the effect of IUV on PVR.
It is also possible that fetal posture and the influence of the surrounding amniotic fluid may play a role in the differential PBF responses to IUV. Fetal body position cannot be held constant during IUV, either between animals or throughout experimentation in individual animals. Increased fetal flexion is known to increase abdominal pressure and elevate the fetal diaphragm [43] which could limit the tidal volume and distribution of ventilation within the fetal lung. The net result would likely be a marked reduction in the ventilation-induced increase in PBF.

**Transient Nature of Hemodynamic Changes**

Despite the period of IUV lasting for 12 hours, the increase in PBF only lasted ~40 minutes in responding fetuses. Transient PBF changes in response to IUV have not been reported previously although this may be because ventilation only lasted for 30 min or less in those studies [7-9,42]. Attenuation of increases in PBF have been described in fetuses following occlusion of the DA [44], exposure to high levels of inspired oxygen [45] and to vasodilators [46]. Abman and Accurso (1989) have suggested that the immature vascular bed may have a limited ability to release and maintain the necessary vasodilatory factors needed to sustain an increase in PBF. Alternatively, it is possible that PVR progressively increases, after an initial decrease, due to compression of the capillaries from increased interstitial tissue pressure caused by lung liquid retention in the tissue [35] or to oedema caused by injury [47]. It is also possible that an increase in vasoconstrictive properties within the vascular bed caused by neural, humoral, local or a myogenic responses contributes to the transient nature for the increase in PBF [44].

Shear stress associated with mechanical ventilation is known to elicit myogenic responses [48] which the pulmonary vasculature is particularly sensitive to during the perinatal period [49].

In summary, our studies indicate that despite significant structural immaturity, the very immature lung can increase PBF in response to mechanical ventilation although changes are transient and highly variable. As previous studies, conducted ex utero, have not demonstrated the same variability this suggests either the degree of lung immaturity or the in utero environment is associated with the variability. Understanding the changes in pulmonary hemodynamics at birth in the extremely immature lung is necessary to improve the care and management of extremely preterm infants.

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**Author details**

1Dept of Physiology, Monash University, Melbourne, Australia. 2Neonatal Research, Royal Women’s Hospital, Melbourne, Australia. 3School of Women’s and Infants’ Health, The University of Western Australia, Perth, Australia. 4Department of Obstetrics and Gynaecology, Monash Institute of Medical Research, Melbourne, Australia.

**Authors’ contributions**

BA participated in designing the experiments, carried out experiments, analysis of data and drafting of the manuscript. KC assisted with experiments and assisted in drafting of the manuscript. SF assisted with experiments and drafting of the manuscript. CM assisted with the analysis of data and drafting of the manuscript. GP assisted with the analysis of data and drafting of the manuscript. SH participated in designing the experiments, assisted with experiments, analysis of data and drafting of the manuscript. All authors read and approved the final manuscript.

**Competing interests**

The authors declare that they have no competing interests.

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**Abbreviations**

DA: Ductus arteriosus; GA: Gestational age; IUV: In utero ventilation; LPBF: Left pulmonary arterial blood flow; PAP: Pulmonary arterial pressure; PBF: Pulmonary blood flow; PI: Pulsatility index; PVR: Pulmonary vascular resistance; SAP: Systemic arterial pressure
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