Interaction-driven spontaneous ferromagnetic insulating states with odd Chern numbers

Peizhi Mai, Edwin W. Huang, Jiachen Yu, Benjamin E. Feldman and Philip W. Phillips

Motivated by recent experimental work on moiré systems in a strong magnetic field, we compute the compressibility as well as the spin correlations and Hofstadter spectrum of spinful electrons on a honeycomb lattice with Hubbard interactions using the determinantal quantum Monte Carlo method. While the interactions in general preserve quantum and anomalous Hall states, emergent features arise corresponding to an antiferromagnetic insulator at half-filling and other incompressible states following the Chern sequence \( \pm (2N + 1) \). These odd integer Chern states exhibit strong ferromagnetic correlations and arise spontaneously without any external mechanism for breaking the spin-rotation symmetry. Analogs of these magnetic states should be observable in general interacting quantum Hall systems. In addition, the interacting Hofstadter spectrum is qualitatively similar to the experimental data at intermediate values of the on-site interaction.

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INTRODUCTION

The hallmark of nontrivial topology of filled bands is a quantized Hall conductance, an integer multiple of the quantum of conductance. The integer is set by the Chern number. Typically, deviations from integer Chern numbers indicate that electron-electron interactions are important, as in the fractional quantum Hall effect. However, there are several examples of physical systems in which interactions dominate but the Chern number is still an integer. One such mechanism that involves spin polarization and its generalizations is quantum Hall ferromagnetism. Moiré systems in a magnetic field provide a second example in which symmetry-broken quantum Hall insulators appear at high magnetic fields. The extent to which these phenomena are generic beyond graphene-based systems and independent of lattice geometry is unknown.

Motivated by these phenomena, we report here a series of insulating states on the honeycomb and square lattice which are driven by interactions. The series we report has odd integer Chern numbers, \( \pm 1, 3, 5, \ldots \). An analysis of the spin correlations suggests that the spin rotation symmetry is spontaneously broken resulting in ferromagnetism. Our observations here add intrigue to the mixed role played by topology and interactions in two-dimensional (2D) materials. Our simulations reveal that explicit single-particle symmetry breaking such as Zeeman splitting is not required and the full ferromagnetic sequence arises spontaneously in a general bipartite lattice.

The evolution of electronic states in a perpendicular magnetic field has a long history. Because a magnetic field preserves the crystal momentum, the single-particle energy spectrum for non-interacting electrons is easily obtained by replacing the momentum \( \mathbf{p} \) with \( \mathbf{p} - e\mathbf{A}/c \) where \( \mathbf{A} \) is the magnetic vector potential, \( e \) the electron charge and \( c \) the speed of light. In 2D, the resultant Hofstadter spectrum adequately describes the evolution of the tight-binding electronic states as a function of the magnetic flux. Hidden in the wings of the underlying butterfly spectrum are gapped states indexed by Chern numbers which fix the quantization of the Hall conductance. Moiré systems as in the case of magic-angle twisted bilayer graphene (MATBG) offer a new route to engineering gaps in the electronic spectrum through the competition between band filling and the interaction energy. As the twist angle controls the ratio of the kinetic to the potential energy and leads to a complete quenching of the kinetic energy at the magic angle, moiré systems in a magnetic field offer the ultimate playground for studying the physics from the interplay between strong correlation and magnetic field. With the kinetic energy quenched, moiré systems encode the evolution of the Hofstadter spectrum in the presence of strong interactions. This is currently an unsolved non-trivial problem.

This problem is complicated by the fact that the simple replacement of the momentum by \( \mathbf{p} - e\mathbf{A}/c \) fails in the presence of interactions because interactions in general mix crystal momenta as in the case of the Hubbard interaction. Consequently, while theoretical efforts have addressed certain limits of the interacting Hofstadter problem, no analytical method exists to determine the complete spectrum in a magnetic field in the presence of interactions. Nonetheless, this is an urgent problem in condensed matter physics given the plethora of experiments on MATBG and related systems that are focused on revealing the low-energy physics resulting from the interplay between a magnetic field and strong correlation.

Theoretically, one has three options: 1) phenomenology, 2) some type of mean-field theory, dynamical or otherwise or 3) serious numerics which so far have been limited to exact diagonalization on few-particle systems. We pursue the last option in this paper as no benchmarks have been established for even the simplest model of interacting electrons on any of the lattices relevant to either MATBG or the transition metal dichalcogenide systems. We focus on spinful fermions primarily on a honeycomb lattice including only nearest neighbor hopping and Hubbard interactions under an external magnetic field, and perform a determinantal quantum Monte Carlo (DQMC) simulation for all densities and magnetic fluxes. DQMC is an unbiased...
and numerically exact method to capture the full quantum fluctuations for correlated systems. In a prior work with Hubbard interactions, features such as the local compressibility and other thermodynamic quantities were calculated using DQMC as a function of the magnetic flux for the square lattice and no ferromagnetism was reported. We focus here on a honeycomb lattice as it is closer to the underlying geometry of most existing moiré systems. In general, we find that the interactions preserve the integer quantum and anomalous Hall states of the non-interacting system. However, the interactions do generate an antiferromagnetic insulating state at half-filling, as expected, and also emergent interaction-driven insulating states in both of the honeycomb and square lattices. The Chern sequence for these states is ±(2N ± 1). All such states exhibit strong ferromagnetic correlations. This represents numerically exact evidence for such interaction-driven states in the full density region based on the Hubbard interaction.

**RESULTS**

**Noninteracting quantum Hall effects**

To begin with, we present the non-interacting charge compressibility χ = ∂(n)/∂μ in Fig. 1 as a function of magnetic flux and electron density and compare with the results for the square lattice at β = 20/t. In both the square and honeycomb lattices, particle-hole symmetry obtains as both are bipartite and the model contains only nearest neighbor hopping. The straight lines in Fig. 1 correspond to solutions of the Diophantine equation

$$\langle n \rangle = r \phi / \phi_0 + s,$$

in which $\langle n \rangle = \langle N_j \rangle / N_s$ (N_s is the number of unit cells), r is an integer given by the inverse slope of the straight lines and s is the offset given by the intercept. r defines the Chern number. We have chosen to plot the filling from [0, 4] to take into account the spin and sublattice degeneracy in the honeycomb lattice but from [0, 2] for the square lattice in which only a spin degeneracy exists. Hence, there is only a factor of 2 in translating the densities between the two systems. In both Fig. 1 panels a and b, the Diophantine lines starting from the bottom left (right) corners have r = ±2N (the factor of 2 accounts for spin degeneracy) with N = 1, 2, ..., corresponding to spin-unpolarized quantum Hall states, while in only Fig. 1a, the lines that start from half-filling ($\langle n \rangle = 2$ at zero-field and have r = ±4(N + 1/2) (the factor of 4 accounts for spin and sub-lattice degeneracy) with N = 0, 1, 2, ..., indicating the anomalous quantum Hall effect. Thus, the honeycomb lattice is more closely aligned to the physics observed in MATBG than is the square lattice.

**Turning on interactions**

Next, we explore how interactions change this pattern in the honeycomb lattice. We use DQMC to calculate the compressibility,

$$\chi = \beta \chi_e = \frac{\beta}{N} \sum_{ij} \left[ \langle n_i n_j \rangle - \langle n_i \rangle \langle n_j \rangle \right],$$

in the presence of Hubbard interactions, where $\chi_e$ is the charge correlation function. Due to the Fermionic sign problem, we are only able to calculate the compressibility for the full density and flux region for a system size $N_{\text{hub}} = 6 \times 6 \times 2$ with an interaction strength up to U/t = 4 and temperature as low as T/t = 0.125 (or $\beta = 8t^{-1}$). In the first row of Fig. 2, as U increases, the non-interacting lines in the compressibility are softened and a middle vertical line appears as a single-particle gap develops. At the largest U/t = 4, we can still observe the dominant and sub-dominant lines, indicating the resilience of the non-interacting quantum Hall effect against interactions. Note that the lines not merging at $\phi/\phi_0 = 0, 0.5$ in Fig. 2b, c is due to strong finite size effects (see Supplementary Figs. 1 and 2) and thus disregarded in Fig. 2e, f. Also of note is the emergence of a new feature at $\langle n \rangle = 2$ for U/t = 4. This corresponds to a dip in the density of states, a precursor to the Mott gap. The second row of these figures corresponds to a simulation at the lower temperature of $\beta = 20/t$ for $U = 0$ and $U/t = 2$. Figure 2f shows a sharpening of the Diophantine features as the temperature is lowered by more than a factor of two to β = 20/t. At U/t = 2, the vertical line at $\langle n \rangle = 2$ possibly indicates a gap opening for finite field. At this temperature, even for such a modest value of U, the suppression of the density of states is evident and the corresponding insulator is antiferromagnetic (see Supplementary Fig. 6). Also of note is the state indicated by the solid red line in Fig. 2c, e. This line evolves as a function of the magnetic flux with a slope of unity. Such a state is absent from the non-interacting sequence as it has a Chern number of ±1. Notably this state is visible in the map of the spin correlation (Fig. 2f),

$$\chi_s = \sum_r S(r) = \frac{1}{N} \sum_{i} \left( S_i^z S_i^z \right).$$

In fact, the light features in Fig. 2f reveal that these possibly incompressible states all have a marked enhanced spin correlation with distinct slopes as a function of magnetic flux

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**Fig. 1** Noninteracting compressibility for square and honeycomb lattice. Noninteracting compressibility as a function of magnetic flux $\phi$ and electron density $\langle n \rangle$ for (a) honeycomb lattice ($N_{\text{hub}} = 36 \times 36 \times 2$) and (b) square lattice ($N_{\text{hub}} = 40 \times 40$) at $\beta/t = 20$. The leading quantum Hall states are labeled with the corresponding Chern number.
and density which differ from those of the non-interacting system even at much lower temperatures (see Supplementary Fig. 4). It is the origin of these states that is the principal focus of this paper.

We gain further insight into the possible emergence of incompressible states by taking slices through the charge correlation, χC = χ/β, at particular values of the magnetic flux. In Fig. 3, we show the charge correlation explicitly at φ/φ0 = 11/36, chosen to avoid finite-size effects (see Supplementary Figs. 1 and 2) for the honeycomb lattice and φ/φ0 = 2/9 for the square lattice. For the honeycomb lattice, Fig. 3(a), we see that as the temperature is lowered from β = 8/β to β = 20/β, the dual-dip feature for U = 0 in the vicinity of (n) = 2 gives rise to a full quantum Hall state. With the interaction increasing to U = 4t, this dual-dip feature contains a depression precisely at (n) = 2. This is the incompressible Mott gap at (n) = 2. Panel Fig. 3c displays the analogous trend for the square lattice. Away from half-filling, we find several emergent states which have a suppressed charge correlation indicating the possible onset of a gap. The states occur around fillings of (n) = 11/36 and 11/12. The same is true of their particle-hole equivalents. It is precisely the first of such states that is highlighted in red in Fig. 2e. The analogous states are also present for the square lattice in Fig. 3c. All such dips in χC are enhanced as the interaction strength increases. Further, such behavior persists even as the system size increases (see Supplementary Fig. 3). To uncover the possible cause of these states, we focus on the spin susceptibility χs. For U = 0, χs = 4χC. However, the second row of Fig. 3 shows that whenever the charge correlation exhibits an interaction-driven dip, the spin correlation shows a peaked structure. Figure 3e shows that as the temperature is lowered, the peak of the spin correlation increases at the fillings where the charge correlation develops a dip.

Now we look at the full density- and magnetic-flux-dependent spin correlation χs for U/t = 2 and U/t = 4 in Fig. 4a and b respectively at their lowest temperatures. Straight lines with inverse slope corresponding to Chern number C = ±1, 3, 5 are plotted and found to be aligned with the ridges of the spin correlation. We choose one representative point (not the brightest) at each line and study its temperature evolution at different values of U, presented in Fig. 4c–e. In all cases, when U = 0, the spin correlation decreases along with temperature. However, for a finite U, the spin correlation blows up as the temperature decreases (below a critical temperature for Fig. 4d and e). The ultimate spin state is revealed from a spatial map of the real-space static spin susceptibility:

\[ S(r, \omega = 0) = \frac{1}{N} \int_0^\beta \sum_i \langle S_i^a(r) S_i^a(0) \rangle dr, \]

presented in Fig. 4f–h, at U/t = 2 and the lowest temperature (β = 30/β as circled in Fig. 4c–e respectively). This quantity is more sensitive in detecting fluctuating order at finite temperature than...
the zero-time spin correlation\textsuperscript{45}. The color map signifies positive spin correlation across the lattice relative to the site at the origin. Such same-sign correlations are indicative of ferromagnetism. Figure 4f for a Chen number \( C = 1 \) exhibits a strong ferromagnetic susceptibility. Figure 4g with a Chen number \( C = 3 \) also displays a clear ferromagnetic pattern. Figure 4h corresponding to Chen number \( C = 5 \) reveals an evident tendency towards ferromagnetism at lower \( T \), though not fully ferromagnetic as in the other cases. In addition, we also expect the \( C = \pm 1 \) ferromagnetic states to exist in the middle of Fig. 4a, b (as depicted by the dashed line) with extrapolation to \( \langle n \rangle = 2 \) at zero flux. But limited by the sign problem, we have not yet been able to investigate low enough temperature to unearth a clear ferromagnetic pattern at these densities.

The full picture is now apparent. The charge dips and enhanced spin correlations, which have no counterpart in the non-interacting system in Fig. 2, correspond to ferromagnetic insulators with odd integer Chern numbers. Both the spin correlations and magnitude of the charge gap are enhanced as the temperature is lowered. The same trend holds for the square lattice as is evident from Fig. 3c, f. This behavior matches expectations from quantum Hall ferromagnetism, but here we show that local interactions are sufficient to induce odd Chern integer states on both the honeycomb and square lattices. We are led to the conclusion that such insulating states are generically present in bipartite lattices with interactions with no need for fine-tuning or single-particle splitting. While there is some indication of charge ordering (see Supplementary Fig. 5), it is not compelling at this level of study and hence we leave this for a future publication.

We finally display the benchmark calculation of the Hofstadter spectrum as defined by the local density of states, the quantity directly measured experimentally. Our focus in Fig. 5 is at half-filling and \( U/t = 2/4 \), obtained from constructing an analytic continuation with Differential Evolution for Analytic Continuation (DEAC) on the DQMC local Green function. The comparison between DEAC and the analytical result at \( U = 0 \) (see Supplementary Fig. 7) gives us some idea about the resolution of DEAC and offers a guide as to how to interpret the interacting system results. Since there is no sign problem at half-filling, we are able to conduct the calculation at a low temperature \( (\beta = 30/t) \). Panels Fig. 5a, b show how the antiferromagnetic gap comes into full view by \( U/t = 4 \) and some hint of it appears already at the modest value of \( U/t = 2 \) only with finite magnetic field. The gap at \( U/t = 2 \) is most likely to be of the Slater type\textsuperscript{46,47} because the interaction strength is only around 1/3 of the bare bandwidth and the insulating state appears at much lower temperature than that required for the formation of antiferromagnetic correlation. On the other hand, the gap at \( U/t = 4 \) is closer to a Mott gap because it is established at a much high temperature (shown in Fig. 2c), consistent with previous studies on the Hubbard model in honeycomb lattice\textsuperscript{43,44}. While the corresponding experimental figure is at variable filling\textsuperscript{18}, which is inaccessible because of the sign problem, the overall features qualitatively reproduce the experimental results for moderate values of \( U/t = 2 \).

**DISCUSSION**

We have studied here the evolution of the excitation spectrum of a Hubbard-interacting electron gas in the presence of a strong perpendicular magnetic field on bipartite lattices. We have shown that while the interactions preserve the non-interacting integer quantum and anomalous Hall states, new states do emerge from the interactions. In addition to the antiferromagnetic gap at half-filling, we have discovered a series of odd-integer Chern insulating ferromagnetic states which exhibit enhanced positive spin correlations as the temperature is lowered. In light of ferromagnetism as the underlying cause of the insulating states, that the Chern number is odd is easily understood. Our work suggests ferromagnetism is generic requiring just modest magnetic fields and strong interactions to generate the full sequence of odd-integer states. Note while the sequence we observe departs from the middle or the edge of the band, an odd-integer sequence emanating from the \( \langle n \rangle = 1 \) or \( \langle n \rangle = 3 \) fillings on the honeycomb...
lattice would require spin-orbit coupling in the Hamiltonian, thereby generalizing the utility of this work.

**METHODS**

We study the Hofstadter-Hubbard model on a honeycomb lattice, 

\[
H = -t \sum_{\langle ij \sigma \sigma' \rangle} \exp(i\phi_{ij}) c_{i\sigma}^\dagger c_{j\sigma'} - \mu \sum_i n_{i\sigma} + U \sum_i (n_{i\uparrow} - \frac{1}{2})(n_{i\downarrow} - \frac{1}{2}),
\]

where \(t\) represents the nearest neighbor hopping; \(c_{i\sigma}^\dagger (c_{i\sigma})\) creates (annihilates) an electron with spin \(\sigma\) at site \(i\), \(\mu\) is the chemical potential, \(U\) is the on-site interaction. Due to the presence of a uniform magnetic field, we use the Peierls substitution\(^\text{(1,2)}\) to introduce the phase through the flux threading,

\[
\phi_{ij} = \frac{2\pi}{\phi_0} \int_{\gamma_i} \mathbf{A} \cdot d\mathbf{l},
\]

where \(\phi_0 = h/e\) in the hopping term is a result of the quantized magnetic field and the integration is over the straight line path from site \(i\) to \(j\).

We simulate this Hamiltonian Eq. (5) on a finite cluster \(N_{\text{site}} = 2L^2\). The honeycomb lattice contains two sub-lattices, which explains the factor of 2, with lattice constant \(a = 1\) and \(L\) the number of site along either lattice basis respectively for each sub-lattice. We adjust the modified periodic boundary conditions in Ref.\(^\text{48}\) to the honeycomb lattice. To obtain a single-value wave function requires the flux quantization condition \(\phi/\phi_0 = n\pi N_c\) with

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**Fig. 4 Spin correlation and ferromagnetic states.** The first row shows the spin correlation at (a) \(U/t = 2, \beta = 20/t\) and (b) \(U/t = 4, \beta = 8/t\), with the green lines depicting the odd integer Chern states aligned with the ridge of the spin correlation. Panels (c–e) in the second row show the spin correlation for selected points (marked at each Chern state in panels (a) and (b)) as a function of temperature under different interaction strengths. Panels (f–h) in the third row present the real-space zero-frequency spin susceptibility pattern for the circled points in the corresponding second row (at \(U/t = 2\) and the lowest temperature \(\beta = 30/t\)).
DATA AVAILABILITY

The data for this study is available at https://zenodo.org/record/7608167#.Y-AxyezML6g.

CODE AVAILABILITY

The DQMC code used for this project can be obtained at https://github.com/edwnh.

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AUTHOR CONTRIBUTIONS

E.W.H. and P.M. developed the DQMC code. P.M. carried out the calculations. All authors analyzed the results and wrote the manuscript. B.E.F. and P.W.P. supervised the project.

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

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Correspondence and requests for materials should be addressed to Philip W. Phillips.

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