Abstract. Type 2 diabetes mellitus (T2DM) is a major chronic disease that is characterized by pancreatic β-cell dysfunction and insulin resistance. Autophagy is a highly conserved intracellular recycling pathway and is involved in regulating intracellular homeostasis. Transcription factor Forkhead box O1 (FoxO1) also regulates fundamental cellular processes, including cell differentiation, metabolism and apoptosis, and proliferation to cellular stress. Increasing evidence suggest that autophagy and FoxO1 are involved in the pathogenesis of T2DM, including β-cell viability, apoptosis, insulin secretion and peripheral insulin resistance. Recent studies have demonstrated that FoxO1 improves insulin resistance by regulating target tissue autophagy. The present review summarizes current literature on the role of autophagy and FoxO1 in T2DM. The participation of FoxO1 in the development and occurrence of T2DM via autophagy is also discussed.

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1. Introduction

Currently, diabetes is one of the most common chronic diseases. Lifestyle changes, such as overeating and increasingly sedentary lifestyles, continue to increase the number of diabetic cases, particularly type 2 diabetes mellitus (T2DM) (1). T2DM results from insulin resistance, and the gradual loss of mass and function of pancreatic β-cells (2). Inhibition of the excessive apoptosis of islet β-cells is essential for the treatment of diabetes (3). Increasing evidence suggest that islet β-cell apoptosis, cell viability and insulin secretion play essential roles in the pathogenesis of T2DM (2-6). In addition, insulin resistance plays a key role in T2DM and is associated with metabolic syndrome (7). Autophagy, oxidative stress, endoplasmic reticulum stress (ERS) and inflammation can moderate islet β-cell dysfunction and insulin resistance and contribute to the progression of T2DM (2,7). Although multiple signaling pathways such as adenosine monophosphate-activated protein kinase (AMPK)/sirtuin 1 (SIRT1), AMPK-mammalian target of rapamycin (mTOR) are involved in the pathogenesis (8,9) of T2DM, due to the complexity of T2DM, its overall pathogenesis remains unclear.

Autophagy has three major subtypes, macroautophagy, microautophagy and chaperone-mediated autophagy. The present review focuses on macroautophagy, which is commonly referred to as autophagy (10). Autophagy is a highly conserved intracellular recycling degradation pathway that targets cytosolic components for lysosomal degradation, and also acts as a cell survival mechanism that promotes cellular homeostasis (2,10). The degradation of cytosolic contents via autophagy involves several intricate signaling pathways, including inositol requiring kinase 1 (IRE1)-tumor necrosis factor receptor associated factor 2 (TRAF2) and the AKT-TSC-mTOR pathway (7,10). Autophagy is a complex process with multiple steps, including initiation, nucleation, elongation/completion and fusion/degradation (10). Furthermore, autophagy is characterized by the lysosomal degradation of cellular material, which is a process of subcellular membrane rearrangement that sequesters the cytoplasm, proteins (or other cellular materials) and organelles, forming

Role of FoxO1 in regulating autophagy in type 2 diabetes mellitus (Review)
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Abbreviations: AMPK, adenosine monophosphate-activated protein kinase; Atg, autophagy-related gene; ERS, endoplasmic reticulum stress; FoxO1, Forkhead box O1; GLP-1, glucagon-like peptide-1; G6Pase, glucose-6-phosphatase; miR, microRNA; mTOR, mammalian target of rapamycin; Pdx1, pancreatic and duodenal homeobox 1; PEPCK, phosphoenolpyruvate carboxykinase; ROS, reactive oxygen species; SIRT1, sirtuin 1; T2DM, type 2 diabetes mellitus; 3-MA, 3-methyladenine

Key words: T2DM, autophagy, FoxO1, pancreatic β-cell, insulin resistance
the autophagosome (10). Under physiological conditions, autophagy protects cellular homeostasis and prevent cells from undergoing oxidative stress and inflammation (10). Autophagy can also respond to a series of stresses, including the deprivation of nutrients or growth factors, hypoxia and reactive oxygen species (ROS) (2,10). Furthermore, autophagy is extensively involved in several diseases, such as cancer, metabolic diseases and nervous system diseases (2,4,11,12). Autophagy has also been implicated in the pathophysiology of T2DM (2-5), including the regulation of pancreatic β-cell mass and function (2-5) and peripheral insulin resistance (7).

In addition, multiple transcription factors contribute to T2DM by regulating autophagy, such as transcription factor Forkhead box O1 (FoxO1), SIRT1 and pancreatic and duodenal homeobox 1 (Pdx1) (8,9). However, the role of autophagy in the pathophysiology of T2DM remains controversial.

As a member of the forkhead box protein family, FoxO1 regulates fundamental cellular processes, including cell differentiation, metabolism and cell cycle arrest (13-15). FoxO1 activity is regulated by phosphorylation, acetylation and ubiquitination (13,14,16). FoxO1 is extensively expressed in several tissues, including the pancreas (13), skeletal muscle (17), adipose tissue (18) and the liver (19). Furthermore, FoxO1 is highly expressed in pancreatic β-cells, and increasing evidence suggest that FoxO1 regulates β-cell replication and differentiation (13,20-23). Several studies have suggested that FoxO1 is closely associated with T2DM (9,14,16,24,25). In addition, FoxO1 is a multifunctional protein that regulates the insulin sensitivity of target tissues (19,26,27).

The present review summarizes the pathophysiological pathways associated with autophagy and FoxO1 in T2DM. Furthermore, the participation of FoxO1 in the development and occurrence of T2DM via autophagy is discussed.

2. Autophagy and T2DM

**Autophagy and T2DM.** The characteristics of T2DM are insulin resistance and the dysfunction of pancreatic β-cells (2,7,10). Multiple mechanisms contribute to pancreatic β-cell dysfunction and mass loss, and insulin resistance, including oxidative stress, ERS, upregulation of apoptosis and the uncontrolled autophagy of β-cells (2,4,13,28). Previous studies have reported that autophagy regulates β-cell viability and apoptosis, and participates in the development and occurrence of T2DM (2-4,7). Disregulation of autophagy can lead to the dysfunction of β-cells or abnormal insulin secretion in pancreatic β-cells (2-4).

The present review summarizes current literature on the role of autophagy in the survival and insulin secretion of β-cells, and insulin resistance, focusing on autophagy during the progression of T2DM.

**Autophagy regulates β-cell viability and apoptosis.** The regulation of pancreatic β-cell mass and function is vital for the pathogenicity of T2DM. Furthermore, the viability of β-cells is crucial in the occurrence and development of T2DM. The number of β-cells decreases with an increase in the apoptotic rate (2-5). In addition, the extracellular accumulation of islet amyloid polypeptide (hIAPP), also contributes to T2DM (29). Previous studies have demonstrated that the inhibitor, 3-methyladenine (3-MA), pretreated with autophagy decreases the viability of INS-1 cells and promotes cell apoptosis via ROS-mediated inflammation (3,4). Furthermore, increasing evidence suggest that certain drugs protect pancreatic β-cells by enhancing autophagy (3,5,8).

For example, glucagon-like peptide-1 (GLP-1) analogs, liraglutide and metformin, can suppress apoptosis by inducing autophagy in islet β-cells in a high-glucose environment (3,8). In an in vivo study, knockdown of autophagy-related gene (ATG7) in ob/ob mice increased the rate of apoptotic β-cells and decreased the β-cell mass, which resulted in severe DM (30). In addition, the treatment of female diabetic Akita mice with rapamycin-induced autophagy improved ER-induced diabetes and inhibited β-cell apoptosis (31). The autophagy deficiency in mice caused the accumulation of hIAPP and exacerbated the hIAPP-induced β-cell toxicity and apoptosis (29).

**Autophagy and insulin secretion.** Dysfunction of insulin secretion is due to the abnormalities in insulin biosynthesis or degradation (32). During the early stages of T2DM, islet β-cells exhibit a compensated higher insulin release. However, as T2DM progresses, islet β-cells gradually exhibit impaired glucose-stimulated insulin secretion (32,33). The deletion of free fatty acid receptor 3 enhances insulin secretion in mice; however, this is not due to the increase in β-cell mass (34).

Thus, the underlying molecular mechanisms of impaired insulin secretion remain unclear.

Previous studies have demonstrated the essential roles of autophagy in T2DM development (3-5). Furthermore, autophagy plays an essential role in insulin homeostasis (32). Riahi et al (32) revealed that the lysosomal degradation of proinsulin through autophagy. Autophagy deficiency does not affect basal insulin secretion but increases glucose or KCl-stimulated insulin secretion (32). A recent study reported that pretreatment with rapamycin, an mTOR inhibitor, induces autophagy and significantly decreases the insulin content in NIT-1 cells (35). Another study reported similar results, indicating that the level of insulin significantly increases due to glibenclamide, and further increases with 3-MA (36).

Collectively, these findings suggest that suppressing autophagy increases β-cell insulin secretion. In an in vivo study, autophagy hyperactive mice exhibited impaired insulin secretion compared with mice with normal autophagy, the effects of which were reversed following transient treatment with autophagy inhibitors (37). This may be due to the theory that overexpression of autophagy increases the degradation of insulin granules in insulin-secreting β-cells (32,37).

Conversely, several studies have suggested that deficiency of autophagy decreases the insulin secretion in pancreatic β-cells (30,38,39). Mice with the β-cell specific deletion of ATG7, which is a necessary for autophagy (10), developed glucose intolerance that resulted from decreased insulin secretion (30,38,39). Due to the insufficient unfolded protein response machinery, autophagy-deficient β-cells fail to adapt
to the lipotoxicity environment, which decreases insulin secretion and diabetes in ATG7 deficiency mice (30). Jung et al (39) revealed that fasting serum insulin concentration is notably lower in the β-cell specific deletion of ATG7 mice compared with the control mice; however, the levels of ubiquitinated proteins increase.

Taken together, these findings suggest that autophagy has a dual effect with the insulin secretion of β-cells, but the underlying mechanisms still need further research.

**Autophagy and insulin resistance.** Insulin resistance has an important effect on the occurrence of T2DM and is closely associated with metabolic syndrome (40). Insulin resistance refers to the effect of insulin, which decreases in insulin-responsive tissues, including adipocytes, the liver and skeletal muscles (7,40). In the liver, failure of insulin action decreases glycogen synthesis and increases gluconeogenesis. For adipose tissues, insulin-mediated glucose utilization markedly decreases, which results in high levels of free fatty acids in insulin-resistant states. The skeletal muscle shares 80% of the total insulin-stimulated glucose uptake in humans (7). Thus, insulin resistance in the skeletal muscle plays a significant role in the development of T2DM (7,41).

Multiple factors cause insulin resistance in target tissues, such as mitochondrial dysfunction, ERS and inflammation (2,7). However, the underlying molecular mechanism of insulin resistance remains unclear. Increasing evidence suggest an association between autophagy and insulin resistance (41-44). Progranulin may mediate adipose insulin resistance, at least in part, by inducing autophagy through activation of oxidative stress and ERS (43). Autophagy-hyperactive mice have improved insulin sensitivity in insulin target tissues by decreasing ERS (5). The suppression of autophagy results in insulin resistance in the liver tissues of mice. Furthermore, restoration of autophagy in obese mice induces insulin action in liver tissues (28). Insulin receptor substrate-1 (IRS1) is the direct substrate of the insulin-sensing insulin receptor, which is closely associated with insulin resistance (7). ATG16L1 deficiency in mouse embryonic fibroblasts results in insulin resistance, which is mediated by IRS1 degradation (41). Collectively, these studies indicate that autophagy suppresses insulin resistance in insulin target tissues. Furthermore, these studies demonstrate that autophagy has a beneficial effect in regulating insulin resistance. Thus, the level of autophagy is important for tissue insulin sensitivity.

### 3. FoxO1 and T2DM

Increasing evidence suggest that FoxO1 regulates cell proliferation, apoptosis, differentiation, antioxidant stress and insulin secretion in pancreatic β-cells, and is closely associated with T2DM (13,24,25,45-48). Furthermore, FoxO1 plays a crucial role in regulating the insulin sensitivity of insulin target tissues (17,19,27,49).

**FoxO1 regulates the survival of β cells.** The regulation of pancreatic β-cell survival is vital for the β-cell mass in T2DM (3,4). FoxO1 plays a crucial role in β-cell survival (50,51). Nak et al (18) reported that protein kinase C delta induces the nuclear accumulation of FoxO1 but does not increase β-cell death. Furthermore, FoxO1 does not induce pro-apoptotic genes but may exert beneficial effects when phosphorylated under non-stress environments (22). Inhibition of FoxO1 dephosphorylation is necessary for the induction of pro-apoptotic gene Bcl-2, which may explain why nuclear FoxO1 is not pro-apoptotic on pancreatic β-cells (22). However, whether downregulation or upregulation of FoxO1 affect the glucocorticoid receptor-induced β-cell apoptosis remains to be investigated (23).

Chronic oxidative stress is associated with cellular apoptosis (47). Furthermore, it has been demonstrated that oxidative stress is one of the mechanisms for β-cell dysfunction and failure by chronic exposure to elevate glucose concentrations (50). Zhang et al (51) reported that FoxO1 protects β-cells from oxidative stress by inducing the expression of β-cell antioxidant enzymes, such as superoxide dismutase, catalase and glutathione peroxidase 1. Furthermore, FoxO1 protects pancreatic β-cells against oxidative stress by suppressing the expression of the thioredoxin-interacting protein, which is a cytosolic factor that regulates β-cell apoptosis (52). Taken together, these findings suggest that FoxO1 plays a protective role in β-cell survival.

**FoxO1 regulates β-cell insulin secretion.** Previous studies have demonstrated that FoxO1 is an important player in β-cell insulin secretion (8,9,13,53-55). Pdx1 is a key regulator of β-cell differentiation, proliferation and insulin synthesis (56,57). A previous study reported that FoxO1 inhibits Pdx1 expression, thereby offering a mechanism in which FoxO1 may regulate β-cell insulin secretion (24). Chronic exposure to high glucose in β-cells activates FoxO1 and increases its nuclear location, which in turn decreases Pdx1 expression and reduces insulin secretion (55). FoxO1 knockdown efficiently decreases microRNA (miR)-802 expression in Min6 cells in mice (58), while overexpression of miR-802 in β-cells impairs insulin synthesis and secretion (58). FoxO1 knock-in mice in the pancreas develops glucose intolerance and impairs insulin secretion due decreased Pdx1 expression (53). Inhibition of FoxO1 reverses the dexamethasone-induced impairment on insulin secretion in rat islets by inhibiting Pdx1 expression (54).

Neurogenic differentiation factor (NeuroD) and Maf musculus v-maf musculo-aponeurotic fibrosarcoma oncogene family protein A (MafA) are insulin gene transcription factors of β-cells that promote insulin secretion (20,56). In cultured βTC-3 cells, FoxO1 stimulates NeuroD and MafA expression in response to oxidative damage induced by H₂O₂ treatment, and preserves islet function (20). This concept was confirmed by a study that revealed that FoxO1-transgenic mice exhibit significantly elevated insulin 1 gene expression levels, accompanied by upregulation of pancreatic Pdx1 and NeuroD (51). However, db/db mice lack FoxO1, particularly in pancreatic β-cells, which decreases insulin secretion and exhibits severe glucose intolerance (21). This suggests that FoxO1 is necessary for the maintenance of insulin secretion under metabolic stress (21). Collectively, these findings indicate that FoxO1 plays an essential role in insulin secretion.
Several studies have indicated that FoxO1 is a counter-regulator of target tissue insulin resistance (18,26,59-63). Dysregulation of lipid metabolism in the liver contributes to insulin resistance (63,64). Fasting triglyceride and cholesterol levels, and postprandial triglyceride levels are substantially suppressed in transgenic mice that express active FoxO1 (63). Zhang et al (64) reported similar results, indicating that hepatic deletion of FoxO1 increases cholesterol concentrations and serum triglyceride and elevates hepatic lipid secretion. Furthermore, overexpression of FoxO1 inhibits the expression levels of lipogenesis genes, including Fasn and Hmgcr (64). The expression of pseudokinase tribble 3 has been reported to negatively regulate insulin sensitivity (60,65). FoxO1 suppresses tribble 3 expression in an insulin-dependent manner in hepatocytes and promotes insulin sensitivity during fasting (60). Phosphorylated mammalian FoxO1 suppresses hepatic glucose production (66). Adiponectin is a hormone that plays a key role in regulating energy homeostasis and metabolism (67,68). Furthermore, adiponectin plays a crucial role in improving insulin sensitivity in adipose tissues, the liver and muscles, and exerts antidiabetic functions (67,68). GLP-1 agonist exendin-4 induces adiponectin expression (69). However, suppression of Foxo-1 impairs the effect of exendin-4 on the adiponectin expression in 3T3-L1 adipocytes (69). Overexpression of mutant FoxO1 in brown adipose tissues increases the number of brown adipocytes and the expression of the uncoupling protein 1 (UCP1) gene in T37i cells (18). FoxO1 protects against insulin resistance during adipocyte differentiation (26). FoxO1 transgenic mice increased insulin sensitivity in adipose tissue (60). FoxO1 deletion decreases IRS2 expression, glucose uptake and insulin action in muscle tissues (62).

Collectively, these findings suggest that FoxO1 has a dual role in regulating insulin resistance (Fig. 1). In addition to regulating β-cell mass, FoxO1 also regulates β-cell function, and the level of FoxO1 is important for tissue insulin sensitivity.

4. Autophagy, FoxO1 and T2DM

FoxO1-autophagy axis. FoxO transcription factors regulate two main proteolytic systems, the ubiquitin-proteasome and autophagy-lysosome systems (70). Increasing evidence suggest that FoxO1 upregulates the expression of autophagy (71-73). FoxO1 elicits autophagy in a transcription-independent manner (72). Acetylated FoxO1 interacts with endogenous ATG7 and induces autophagy and suppresses cell viability in cancer cells (72). Liu et al (73) reported that FoxO1 antagonists suppress autophagy during adipocyte differentiation and decrease lipid droplet size in adipocytes. FoxO1 enhances autophagy, and the FoxO1-autophagy axis regulates lipid droplet growth in white adipose tissues (73). Notably, activation of FoxO1 increases Rab7 expression, while the
Autophagy and FoxO1 are involved in pancreatic β-cell dysfunction and insulin resistance. Thus, regulation of FoxO1 and autophagy activity in islet β-cells has the potential to serve as a novel therapeutic strategy against T2DM. In addition, some hypoglycemic drugs, such as metformin, GLP-1 receptor agonists and GLP-1 analogs, have been demonstrated to exert anti-T2DM effects via autophagy and FoxO1. However, further studies are required to determine whether these drugs can achieve the effect of improving β-cell function and mass, and insulin resistance via the FoxO1-autophagy axis.

5. Conclusions and future direction

T2DM poses a serious public-health threat to those affected. Autophagy and FoxO1 are involved in pancreatic β-cell dysfunction and insulin resistance. Thus, regulation of FoxO1 and autophagy activity in islet β-cells has the potential to serve as a novel therapeutic strategy against T2DM. In addition, some hypoglycemic drugs, such as metformin, GLP-1 receptor agonists and GLP-1 analogs, have been demonstrated to exert anti-T2DM effects via autophagy and FoxO1. However, further studies are required to determine whether these drugs can achieve the effect of improving β-cell function and mass, and insulin resistance via the FoxO1-autophagy axis.

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