The circadian rhythm regulates arousal and activity levels throughout the day and determines hours of optimal cognitive performance. Thus far, circadian fluctuations in face recognition performance received little attention in the research literature. The current experiment investigated the effects of time-of-day optimality on the ability to recognize faces and discriminate between the contexts in which they were encountered. Morning- and evening-type participants (N = 91) encoded faces in a crime-related and a neutral context, either at their optimal or non-optimal time of day. Contrary to our hypotheses, neither face recognition nor source monitoring performance benefited from testing at optimal time of day. We discuss peculiarities of face processing that could account for the discrepancy of our findings with word recall and recognition literature.

Have you ever recognized a person but were unable to recall where you knew them from? This common experience reveals an important fact about the way our memory works: Familiarity is not always accompanied by the correct recollection of the memory source (Johnson et al., 1993). In everyday life the consequences of such memory source errors are mostly benign. However, in legal contexts the costs can be severe. For example, an innocent suspect may feel familiar to an eyewitness from mug shot viewings, repeated identification procedures, or because they were a bystander at the crime scene (Defenbacher et al., 2006). Attributing familiarity to the incorrect source can lead to misidentifications and wrongful convictions (Lindsay, 2007), emphasizing the importance of studying factors that increase the risk of such memory errors. Time of day could be one such factor: Due to the functioning of our body clock, certain hours of the day are associated with the decline in cognitive performance, making us prone to memory errors (Schmidt et al., 2007). This observation motivated us to investigate whether testing at non-optimal time of day could be among the risk factors for errors in source memory for faces.

Our body clock generates the circadian rhythm which coordinates numerous aspects of our physiology, cognition and behaviour (Halberg et al., 2003; Refinetti, 1999). Among other things, the circadian rhythm determines optimal times for engaging in various activities and modulates cognitive performance levels across the day. Generally, we perform better at circadian peaks and worse at circadian troughs (Schmidt et al., 2007). The exact timing of circadian phases can vary across individuals, resulting in inter-individual differences in periods of the day that are optimal (Adan et al., 2012; Horne & Östberg, 1976). The so-called morning types perform best early in the day, whereas evening types peak in their performance in the evening hours (Goldstein et al., 2007; Levandovski et al., 2013). This pattern is known as the synchrony effect: Performance varies as a function of synchrony, or alignment of the time when a certain task is performed with the ongoing circadian phase (e.g., May, 1999; May & Hasher, 1998; Nowack & Van Der Meer, 2018).

Performance on long-term memory tasks also follows the standard synchrony effect pattern, with better performance at circadian peaks as opposed to circadian troughs. Recall of words and prose passages (Petros et al., 1990; Ryan et al., 2002), sentence and word recognition (May et al., 1993; Ryan et al., 2002), and cued recall performance in stem completion tasks (May et al., 2005; Puttaert et al., 2018) are better at the hours of the day that are aligned with participants’ chronotype. Such performance fluctuation can be explained by circadian cycles in arousal that are closely linked with the amount of attentional resources available to us across the day (Hirst & Kalmar, 1987; Necka, 1997). Conditions for encoding and retrieval of new memories are most optimal at circadian peaks, when arousal is highest. Circadian arousal troughs, by contrast, may not offer sufficient cognitive capacities necessary to engage in efficient cognitive processing, potentially leading to memory errors (Nowack & Van Der Meer, 2018).
The synchrony effect patterns in memory for encoded prose passages, sentences, word lists, word stems and pictorial stimuli are well-established (e.g., Intons-Peterson et al., 1999; May et al., 1995; Puttaert et al., 2018). However, this effect has not been studied before in the context of face recognition, which is a highly specialized function that differs from other types of recognition (Haxby et al., 2001; Kanwisher et al., 1997). In the current experiment, we made the first attempt to investigate the synchrony effect in the traditional face recognition paradigm.

Not all manifestations of memory rely on our attentional resources to the same extent. So-called implicit memory processes that operate outside of our awareness appear to be affected by the circadian rhythm in a different manner than standard recall and recognition tasks. For instance, priming seems to be affected by the circadian rhythm differently than cued recall. When explicitly instructed to use previously studied words on a stem completion task, participants usually show a classic synchrony effect pattern. Implicit memory tests, by contrast, generally show that performance is unaffected by circadian variations in arousal (Puttaert et al., 2018; Yang et al., 2007). In fact, one study reported a reversed synchrony effect (May et al., 2005).

To summarize, the circadian rhythm affects our conscious, intentional uses of memory. We perform better when tested at optimal time of day, whereas automatic influences of memory seem to be unaffected by testing optimality. A common explanation for this dissociation relates to the fact that automatic processes require little to no cognitive resources for their execution, and, therefore, can remain unaffected by many factors that generally impair cognition (Hasher & Zacks, 1979, 1984). By contrast, controlled, conscious memory processes heavily rely on available cognitive resources for their execution. Performance on tasks that rely on these processes is impaired when cognitive resources are limited (Smith & DeCoster, 2000). This also appears to be the case with time-of-day optimality: Reduced cognitive capacity at circadian troughs impairs performance on tasks with a stronger controlled component (e.g., May et al., 1993; Petros et al., 1990; Ryan et al., 2002), whereas automatic memory performance remains unaffected (May et al., 2005; Yang et al., 2007).

When providing testimony, eyewitnesses engage in a mixture of automatic and controlled memory processes. If presented with the culprit during an identification procedure, the eyewitness may be able to engage in an effortful, controlled process known as recollection in order to retrieve some qualitative information about the event (e.g., "I remember this man: He was holding the gun"). If recollection fails, the culprit may still be likely to be selected merely based on familiarity. This is a classic example of an automatic, effortless memory process ("I recognize this person – he must be the man from the crime scene"). In fact, automatic and controlled processes often work together in these scenarios to produce a correct recognition decision: The feeling of familiarity is complemented by recollection, resulting in an accurate identification. In other words, the 'teamwork' of automatic and controlled processes is beneficial when two memory systems point in the same direction.

For comparison, let us consider a scenario in which an innocent suspect becomes part of a lineup and feels familiar to the eyewitness. The suspect may have been present at the crime scene as a bystander, or their face may have become familiar from previously administered identification procedures or mug shot viewings (Deffenbacher et al., 2006). In these scenarios, a response based solely on familiarity could result in a misidentification. An accurate identification decision requires recollection of the context in which the suspect was encountered before ("They already showed me a mug shot of this man two weeks ago").

Memory for the context in which information was encoded is often referred to as source monitoring (Johnson et al., 1993). Generally, source monitoring tasks are more difficult than standard recognition tasks, and impairing factors can have a disproportionate impact on source monitoring compared to recognition performance (for a review, see Mitchell & Johnson, 2009). This happens because the two tasks differ in the proportion of automatic and controlled influences of memory on task performance. Source monitoring relies to a large extent on controlled, effortful processes, whereas recognition decisions can be made based on a familiarity response that occurs automatically. As impairing factors affect recollection but leave familiarity intact, they produce larger impairments in source monitoring than recognition memory (Yonelinas, 1999).

In the current experiment, we investigated whether time-of-day optimality impair face recognition and source monitoring performance. We tested morning- and evening-type participants either at their optimal or non-optimal time of day. Participants encoded face stimuli in two contexts: a crime-related context and a neutral context. At recognition, participants indicated whether they had encountered the face earlier in the experiment. We expected enhanced recognition performance when participants were tested at optimal versus non-optimal time of day. Specifically, we expected higher hit (hypothesis 1a) and lower false alarm rates (hypothesis 1b) at optimal than non-optimal time of day.

To experimentally recreate a situation that requires source memory judgments, we designed a task in which participants had to reject faces encountered in a neutral context and select faces they remembered from a criminal context. This procedure should parallel situations involving exposure to mug shots (Deffenbacher et al., 2006) or an innocent bystander prior to a lineup (Brackmann et al., 2019). Under such conditions, an incorrect 'yes' response to a face encountered in a neutral, non-relevant context can occur, if the face seems familiar but the memory for source is impaired. We expected that participants would be more likely to make such errors when tested at non-optimal compared to optimal time of day (hypothesis 2).

Method

Participants

To determine the required sample size, we conducted a priori power analysis for a one-tailed t test with G*Power v3.1 (Faul et al., 2007, 2009). Based on the earlier findings contrasting time-of-day optimality effects in explicit memory performance as opposed to priming (May et al., 2005), we expected an effect size of $d = 0.52$. We used an alpha error probability of .05 and a power of .80, which resulted in a
required sample size of 94. We pre-screened 346 individuals for their chronotype using the Morningness-Eveningness Questionnaire (MEQ; Horne & Östberg, 1976). We invited morning- and evening-type individuals for participation in the experiment. We managed to test 91 of the planned 94 participants (25 male, 66 female; age 18 to 33, \( M = 21.96, SD = 21 \) years), resulting in a power of .79 to detect an effect of the expected size. The sample included university students (\( n = 87 \)) and members of the general public (\( n = 4 \)). Among them, 57.1% were evening types (\( n = 52, M_{\text{MEQ}} = 34.37, SD_{\text{MEQ}} = 5.05 \)) and 42.9% were morning types (\( n = 39, M_{\text{MEQ}} = 65.77, SD_{\text{MEQ}} = 4.46 \)). We only included Caucasian participants to avoid cross-racial bias (Wilson et al., 2016). Forty-nine participants were tested at optimal time of day (38 female, 11 male, age 18 to 33, \( M = 22.18, SD = 21 \) years) and 42 participants at non-optimal time of day (28 female, 14 male, age 18 to 31, \( M = 21.69, SD = 21 \) years).

Design

We manipulated optimality (optimal vs non-optimal) as a between-subjects factor. Encoding context (crime-related vs neutral) and task type (recognition vs source monitoring) served as within-subjects factors. We manipulated encoding context by embedding face stimuli into crime-related and neutral scenarios. In the recognition task, participants indicated whether they had seen each face earlier in the experiment, irrespective of the context. In the source monitoring task, participants had to exclude faces from the neutral context, but indicate recognition for faces from the crime-related context. Proportions of yes responses to studied (hits) and unstudied faces (false alarms) from each context served as the outcome variables.

We used hit and false alarm rates to calculate discrimination accuracy and response bias. Discrimination accuracy (\( d' \)) indicates participants’ ability to distinguish signals (studied faces) from noise (fillers). A value of zero indicates zero ability to distinguish studied faces from fillers, whereas a value of 4.65 is considered an effective ceiling. Response bias (\( c \)), on the other hand, reflects participants’ threshold for deciding that they have seen the face before. A positive \( c \) value shows a bias towards saying no, whereas a negative \( c \) value reflects participants’ tendency to indicate that they have seen the face before (Green & Swets, 1966; Macmillan & Creelman, 2009).

Materials

Pre-screening questionnaire. We used the full version of MEQ (Horne & Östberg, 1976) to determine participants’ chronotype. The MEQ consists of 19 questions about participants’ sleeping habits, alertness levels at different points of the day, and preferred times for engaging in physically and cognitively demanding tasks (e.g., “At what time in the evening do you feel tired and in need of sleep?”). The MEQ score correlates with daily changes in melatonin and cortisol levels, body temperature (Baehr et al., 2000; Horne & Östberg, 1976), sleep habits and activity levels (Andrade et al., 1992; Bailey & Heitkemper, 2001; Duffy et al., 2001). The MEQ items in the pre-screening were intermixed with filler questions about food and caffeine consumption habits and sleep to provide additional support for the cover story.

Face stimuli. We selected 64 photographs with a neutral emotional expression from the Karolinka Directed Emotional Faces database (Lundqvist et al., 1998). All the actors were between 20 and 30 years old and were wearing the same grey T-shirt. When the stimuli were prepared, the actors were not permitted to wear earrings or eyeglasses, visible makeup, beards or mustaches. Thirty-two faces (16 male, 16 female) served as targets and 32 faces served as filler faces. Faces appeared against a background image depicting the respective context (see Figure 1). Importantly, for each of the actors, the database includes two versions of each facial expression taken at two separate occasions. Having two different photographs of the same person available allowed us to use different photographs at encoding and at test, which is a preferable way of testing face recognition performance (Burton, 2015). The appendix shows the counterbalancing plan.

Procedure

Participants were recruited via advertisements at the university campus. The cover story held that the experiment concerned differences in food and caffeine consumption habits between morning- and evening-type individuals and their effect on memory performance. Morning sessions took place between 7:40 AM and 9:00 AM, and evening sessions were scheduled between 8:30 PM and 9:30 PM.

We instructed participants not to consume alcohol 18 hours prior to testing, avoid caffeine and chocolate on the day of testing and schedule participation only if they slept a minimum of six hours in the night prior to testing. Participants confirmed compliance to these criteria and signed the consent form at the beginning of the testing session. Next, we presented participants with the crime-related scenario:

Imagine waiting for a bus late at night. There has been an important football derby in your town and you notice a large group of football hooligans that are behaving violently. They approach a single fan of the other team and start insulting him. Very quickly the encounter becomes violent: the hooligans start pushing and beating him. You decide to call the police. You will now be presented with the faces of the hooligans. Pay attention – you may be asked to identify them later.

These instructions were accompanied by a context-cue: a photograph of a dark street with police cars and a policeman. Figure 1 depicts an example. After that, we informed participants that they would be presented with the faces of the hooligans and instructed them to pay attention as they may be asked to identify them later. Participants saw 16 faces, presented against the background that accompanied the imagery instructions, one at a time for 1 s followed by a 0.5 s interval.

Following a 5-min filler task (object search), we introduced the neutral context:

Imagine heading out to the supermarket on a rainy day. You drop off your beloved umbrella that you received as a gift from your grandmother at the entrance. After you finished shopping, you want to pick up your umbrella...
Results

One participant was an outlier because they produced zero hits and false alarms on the recognition task and zero false alarms on the source memory task, whereas the proportion of correct responses on the source memory task was high (.88). We ran all analyses twice, once including the data from this participant and once excluding it. The pattern of results was analogous. We further report the analyses and descriptives including all data but exclude this participant from Signal Detection Theory calculations.

Table 1 presents mean proportion of yes responses to faces from each context across the experimental conditions. We ran a three-way mixed ANOVA to test whether optimality affected recognition performance (hypothesis 1) and source monitoring performance (hypothesis 2). We entered optimality (optimal vs non-optimal), test type (recognition test vs source memory test), context (crime-related vs neutral) as factors; proportions of 'yes' responses to faces studied in each context (i.e., independent of accuracy) served as the dependent variable.

Table 2 presents the results. Participants provided significantly more yes responses in the criminal ($M = .48, SE = .01$) than the non-criminal context ($M = .41, SE = .02$) and more yes responses to old faces on the face recognition test ($M = .49, SE = .02$) compared to the source monitoring test ($M = .40, SE = .02$). All the other effects were non-significant. These findings lend no support to hypotheses 1 and 2. Figure 2 shows participants’ performance on face recog-

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1 Sensitivity analyses conducted with G*Power v3.1 (Faul et al., 2007, 2009) showed that based on a sample size of 91, this statistical model
Table 1. Mean Proportion of ‘Yes’ Responses to Studied Faces in Crime-related and Neutral Contexts and Non-studied Faces in the Face Recognition and Source Monitoring Task as a Function of Time of Testing.

| Time of testing | Context       | Optimal | Non-optimal |
|-----------------|---------------|---------|-------------|
| Recognition task| Crime-related | .52 [.46; .58] | .55 [.48; .61] |
|                 | Neutral       | .42 [.35; .49] | .47 [.40; .55] |
|                 | Non-studied   | .22 [.17; .26] | .25 [.21; .30] |
| Source monitoring task | Crime-related | .44 [.37; .51] | .42 [.34; .49] |
|                 | Neutral       | .34 [.28; .40] | .40 [.33; .46] |
|                 | Non-studied   | .17 [.13; .21] | .19 [.14; .25] |

Table 2. Three-way Analysis of Variance of Proportions of ‘Yes’ Responses to Studied Faces by Optimality, Context, and Test Type

| Factor                        | SS  | F (1, 89) | p     | η_p² |
|-------------------------------|-----|----------|-------|------|
| optimality                    | 0.077 | 1.031  | .313  | .011 |
| context                       | 0.492 | 18.473  | < .001 | .172 |
| test type                     | 0.769 | 10.307  | .002  | .104 |
| optimality x context          | 0.073 | 2.753   | .101  | .030 |
| optimality x test type        | 0.009 | 0.122   | .728  | .001 |
| context x test type           | 0.018 | 0.623   | .432  | .007 |
| optimality x context x test type | 0.016 | 0.545   | .462  | .006 |

Discussion

We tested whether face recognition and source monitoring performance varies as a function of time of day. Previous studies showed superior recall and recognition performance at those hours of the day that were aligned with individual’s peak arousal hours, as determined by the circadian clock (e.g., Anderson et al., 1991; May et al., 1995; Petros et al., 1990). The current experiment extends earlier work by investigating the synchrony effect specifically in face recognition. We hypothesised that face recognition performance would be superior at circadian peaks as opposed to circadian troughs (hypothesis 1). We also expected to find a synchrony effect for source monitoring performance (hypothesis 2). Surprisingly, our results show no evidence of such synchrony effect in either test. Participants performed very similarly across optimal and non-optimal testing sessions. These observations contrast with findings reported in previous studies that used non-facial stimuli to examine synchrony effects in episodic memory performance.

From a cognitive perspective, processing of faces differs from processing of other types of information (Bruce & Young, 2012; Robotham & Starrfelt, 2017; Schwartz, 2014). Faces are processed holistically (e.g., Richler & Gauthier, 2014) and are distinct in terms of allocation of attention during encoding. For instance, when presented with two faces simultaneously, encoding of one of the faces requires us to suppress processing of features of the other face, whereas this is not the case when we are simultaneously presented with two objects (e.g., Bindemann et al., 2007; Boutet & Chaudhuri, 2001; Palermo & Rhodes, 2002). Considering that circadian variations in cognitive performance are generally construed in terms of availability of attentional capacities (Valdez, 2019) and abilities of efficient allocation of cognitive resources (e.g., Nowack & Van Der Meer, 2018), the specifics of distribution of attention in encoding of faces may account for the fact that the circadian performance cycles are expressed differently in face recog-
nition performance.

From a neuroscientific perspective, face recognition relies on specialized areas of the brain different from areas involved in other types of recognition memory (Schwartz, 2014). Areas of our brain responsible for memory functioning show oscillations that are autonomous from those generated in the suprachiasmatic nuclei, also known as the central pacemaker of our body. Even though these peripheral oscillators contribute to daily cycles in memory functioning (Snider et al., 2018), little is known about autonomous circadian cycles in the specialized areas responsible for face processing. The divergent pattern of daily fluctuations in face recognition compared to recognition of other types of stimuli could be linked to the fact that peripheral oscillations in the brain areas responsible for face processing function in a different manner. The combination of brain imaging techniques with the forced desynchrony protocol may offer promising discoveries about these dissociations.

Apart from general face recognition performance, we were also interested in potential negative effects of non-optimal testing on source memory. Source memory judgments can be important when eyewitnesses are presented with a suspect who is innocent but nonetheless familiar to them from another (non-criminal) context. In this situation, eyewitnesses have to attribute the suspects’ familiarity to the correct source. A failure to do so may result in the misidentification of an innocent person (e.g., Deffenbacher et al., 2006; Read et al., 1990; Ross et al., 1994). At a descriptive level, participants selected faces from the irrelevant context less often at optimal time of day than at non-optimal time of day. However, this difference was not statistically significant. Because general face recognition performance was also unaffected by testing optimality, we cannot assess whether these data suggest that source monitoring in general is unaffected by circadian variations in arousal. An experiment that tests source monitoring for non-facial stimuli may provide more comprehensive insight into whether our ability to discriminate encoding contexts can vary as a function of time of day.

One limitation of this study concerns overall low performance in the memory tests. Hit rates were low, though performance in target-absent trials was better, resulting in overall low sensitivity in both optimality conditions with small negative bias. It seems that participants found the task overall difficult, which may have masked possible effects of time-of-day optimality. Future studies might address this issue by increasing exposure duration, which should result in stronger encoding and better overall performance. Additionally, collecting confidence ratings and decision time data for recognition and source monitoring decisions in future studies would provide a more sensitive test of potential circadian variations in performance. Future research might include additional tests of cognitive performance along with long-term memory tests, allowing a comparison of time-of-day optimality effects across different cognitive domains.

Another limitation concerns the fact that faces from the crime-related context produced better performance than faces from the neutral context. Crime-related faces were presented first, possibly causing this difference in performance across the two contexts. Alternatively, participants may have perceived crime-related faces as more important. Even though the differences in memory strength for faces from the two contexts do not compromise our results, counterbalancing the order of contexts in future studies would allow to avoid differences in performance rates resulting from order effects.

Finally, encoding and retrieval in all our experiments took place in the same experimental session. As this experiment is the very first investigation of the synchrony effect in this context, it was most efficient to find out whether the circadian effects can be observed in face recognition performance in the first place. However, this design does not allow us to assess the effects of non-optimal testing on encoding and retrieval differentially. Future studies may address this issue by separating the two memory stages into different testing sessions and manipulating testing optimality for each of them separately, that is, by employing a testing optimality (optimal versus non-optimal) x memory stage (encoding versus retrieval) design.

To conclude, this work provides a first investigation into the possible circadian effects on face recognition performance. The current findings cautiously suggest that face recognition performance may not follow the standard synchrony effect patterns observed in memory for non-facial stimuli. It remains unclear whether this immunity to daily fluctuations in performance is a result of cognitive and neural mechanisms underlying face processing, which outlines important directions for future research. Additional research is also necessary to test the possibility of the synchrony effect in older populations, which are known to have
age-related decline in memory performance (Fitzgerald & Price, 2015) and are more prone to source monitoring errors (e.g., Benjamin & Craik, 2001; Schacter et al., 1991; Trott et al., 1999).

Competing Interests
The authors report no competing interests.

Author Contributions
S.Y., M.S. and L.H. designed the experiments. S.Y. conducted the experiments. S.Y., M.S. analysed the results. S.Y. wrote the main manuscript text. S.Y., M.S., and L.H. reviewed the manuscript.

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Data Accessibility Statement
Open data and analytic code are available online at https://osf.io/z8pv4/.

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### Supplementary Table 1. Counterbalancing plan for face stimuli presented during encoding, face recognition and source memory task.

| Optimal time of day | Face recognition task | Non-optimal time of day | Face recognition task |
|---------------------|-----------------------|-------------------------|-----------------------|
| Crime-related scenario - Set 1 | Crime-related scenario - Set 2 | Crime-related scenario - Set 1 | Crime-related scenario - Set 2 |
| Supermarket scenario - Set 1 | Supermarket scenario - Set 2 | Supermarket scenario - Set 1 | Supermarket scenario - Set 2 |
| Set 1A | Set 1B | Set 1B | Set 1A |
| Set 2B | Set 2A | Set 1B | Set 1A |
| Set 1 | Set 2 | Set 2 | Set 1 |
| Distactor | Distactor | Distactor | Distactor |
| Set 1A | Set 1B | Set 1B | Set 1A |
| Set 2B | Set 2A | Set 1B | Set 1A |
| Set 1 | Set 2 | Set 2 | Set 1 |
| Distactor | Distactor | Distactor | Distactor |

| Source memory task |
|---------------------|
| Crime-related scenario - Set 2 | Crime-related scenario - Set 1 | Crime-related scenario - Set 2 | Crime-related scenario - Set 1 |
| Supermarket scenario - Set 1 | Supermarket scenario - Set 2 | Supermarket scenario - Set 1 | Supermarket scenario - Set 2 |
| Set 1A | Set 1B | Set 1B | Set 1A |
| Set 2B | Set 2A | Set 1B | Set 1A |
| Set 1 | Set 2 | Set 2 | Set 1 |
| Distactor | Distactor | Distactor | Distactor |
| Set 1A | Set 1B | Set 1B | Set 1A |
| Set 2B | Set 2A | Set 1B | Set 1A |
| Set 1 | Set 2 | Set 2 | Set 1 |
| Distactor | Distactor | Distactor | Distactor |

Note. Sets 1A, 1B, 2A, 2B contained 8 faces each. Each distractor set contained 16 faces.
SUPPLEMENTARY MATERIALS

Peer review history
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