Electron elastic scattering off A@C\textsubscript{60}: The role of atomic polarization under confinement

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The present paper explores possible features of electron elastic scattering off endohedral fullerenes A@C\textsubscript{60}. It focuses on how dynamical polarization of the encapsulated atom A by an incident electron might alter scattering off A@C\textsubscript{60} compared to the static-atom-A case, as well as how the C\textsubscript{60} confinement modifies the impact of atomic polarization on electron scattering compared to the free-atom case. The aim is to provide researchers with a “relative frame of reference” for understanding which part of the scattering processes could be due to electron scattering off the encapsulated atom and which due to scattering off the C\textsubscript{60} cage. To meet the goal, the C\textsubscript{60} cage is modeled by an attractive spherical potential of a certain inner radius, thickness, and depth which is a model used frequently in a great variety of fullerene studies to date. Then, the Dyson equation for the self-energy part of the Green’s function of an incident electron moving in the combined field of an encapsulated atom A and C\textsubscript{60} is solved in order to account for the impact of dynamical polarization of the encaged atom upon \(e + A@C\textsubscript{60}\) scattering. The Ba@C\textsubscript{60} endohedral is chosen as the case study. The impact is found to be significant, and its utterly different role compared to that in \(e + Ba\) scattering is unraveled.

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I. INTRODUCTION

Electron elastic scattering off quantum targets is an important fundamental phenomenon of nature. It has significance to both the basic and applied sciences and technologies. Yet, to date, the knowledge on the process of electron collision with such important quantum targets as endohedral fullerenes A@C\textsubscript{60} is far from complete. Endohedral fullerenes are nanostructure formations where an atom A is encapsulated inside the hollow interior of a C\textsubscript{60} molecule. The authors are aware of only a handful of works on this subject. These are the theoretical studies of fast charged-particle ionization of A@C\textsubscript{60} \cite{2} and low-energy electron scattering off A@C\textsubscript{60} \cite{3,4} calculated in the framework of two different model approximations. Namely, in Refs. \cite{3,4} a static Hartree-Fock (HF) approximation was employed. There, both the atom A and C\textsubscript{60} were considered as nonpolarizable targets and the C\textsubscript{60} cage was modeled by an attractive spherical potential of a certain inner radius, thickness, and depth. In Ref. \cite{4}, the authors kept the encaged atom “frozen”, modeled the C\textsubscript{60} cage by the potential similar to that used in Ref. \cite{3,4}, but accounted for polarization of the C\textsubscript{60} cage by incident electrons. The latter was evaluated in a simplified manner by adding a static polarization potential \(-\alpha/r^4\) (\(\alpha\) being the static polarizability of C\textsubscript{60}) to the model C\textsubscript{60}-potential. Note, a meager amount of research on \(e + A@C\textsubscript{60}\) collision is in contrast to the study of photon-A@C\textsubscript{60} collision, different aspect of which has been intensely scrutinized in a great variety of theoretical works to date (see, e.g., Refs. \cite{5–14} and references therein), including experimental studies \cite{15,16} (and references therein). Such disbalance in favor of the number and quality of studies of A@C\textsubscript{60} photoionization versus research on electron-A@C\textsubscript{60} collision is not accidental. Electronic collision with a multielectron target is a more complicated multifaceted process compared to photonic collision with the same target. Therefore, the comprehensive description of electron scattering by a multielectron target is too challenging for theorists even with regard to a free atom, not to mention A@C\textsubscript{60} targets.

The present study does not aim at solving the difficult problem of electron-A@C\textsubscript{60} scattering in its entirety. Instead, it focuses on the contribution of electron scattering only off the encapsulated atom A to the entire collision process. The significance of the present study is that it provides an important frame of reference for (future) understanding of which part of electron-A@C\textsubscript{60} scattering could be due to scattering off the encapsulated atom A (unraveled in the present work) and which is due to other “facets” of the entire A@C\textsubscript{60} system. Research results, thus, provide a relative rather than absolute knowledge.

To meet the goal, the C\textsubscript{60} cage is modeled, as in Refs. \cite{3,4,6,17}, by a spherical potential of a certain inner radius, thickness, and depth. Polarization of the C\textsubscript{60} cage by incident electrons will, thus, be ignored (being not the subject of the focused study). This is in contrast to accounting for polarization of the encapsulated atom in the present study. The neglect by polarizability of C\textsubscript{60} by incident electrons should not be over-dramatized. The effect of polarizability is electron-energy-dependent and may either enhance or decrease scattering cross section, at certain electron energies. Therefore, when scattering off C\textsubscript{60} is dramatically decreased, or where scattering of the encapsulated atom A is dramatically increased, a relative role of scattering off the atom A will (might) be
Finally, the present study also has a significance which is independent of its direct applicability to electron-
A@C_{60} scattering. This is because it falls into a main-
stream of intense modern studies where numerous aspects
of the structure and spectra of atoms under various kinds
of confinements (impenetrable spherical, spheroidal, di-
hedral, Debye-like potentials, etc.) are being attacked from many different angles by research teams world-wide
(see, e.g., numerous review articles in Refs. [20, 22]).
Such studies are interesting from the viewpoint of basic
science. Results of the present study add new knowledge
to the collection of atomic properties under confinement as well, particularly revealing the impact of atomic polar-
ization under confinement on electron-atom scattering.

Atomic units are used throughout the paper unless specified otherwise.
potential $U_c(r)$ for $C_{60}$: $r_0 = 5.8$, $\Delta = 1.9$, $U_0 = 0.302$ [8, 12] (and references therein), or $r_0 = 6.01$, $\Delta = 1.25$ and $U_0 = 0.422$ [9, 10], or $\Delta = 2.9102$, $r_0 = 5.262$, $U_0 = 0.2599$ [17]. In order to evaluate which of these sets of parameters is best suited for studying $e + A@C_{60}$ scattering, we performed the corresponding calculations of $e + C_{60}$ scattering. Calculated results are plotted in Fig. 1 against calculated data obtained in the framework of the sophisticated $ab$ initio static-exchange molecular-Hartree-Fock (MHF) approximation [17].

One can see that it is the set of parameters proposed in Ref. [17] which leads to the overall qualitative and semi-quantitative agreement between some of the most prominent features of $e + C_{60}$ elastic scattering predicted by the model spherical-potential approximation and $ab$ initio MHF. Correspondingly, in the present work, as in Ref. [17], the $U_c(r)$ potential is defined by $\Delta = 2.9102$, $r_0 = 5.262$, and $U_0 = 0.2599$.

2. Multielectron approximation: a polarizable atom $A$

In order to account for the impact of polarization of an encapsulated atom $A$ by incident electrons on $e + A@C_{60}$ elastic scattering, let us utilize the concept of the self-energy part of the Green’s function of an incident electron [18, 19].

In the simplest second-order perturbation theory in the Coulomb interelectron interaction $V$ between the incident and atomic electrons, the irreducible self-energy part of the Green’s function $\Sigma(\epsilon)$ of the incident electron is depicted with the help of Feynman diagrams in Fig. 2. The diagrams of Fig. 2 illustrate how a scattered electron $“\epsilon’’$ perturbs (read: polarizes) a $j$-subshell of the atom by causing $j \rightarrow m$ excitations from the subshell and then gets coupled with these excited states itself via both the Coulomb direct [diagrams (a) and (b)] and exchange [diagrams (c) and (d)] interactions. Numerical calculation of electron elastic-scattering phase shifts in the framework of this approximation is addressed by the computer code from Ref. [10] labeled as the “SHIFT” code. Correspondingly, the authors refer to this approximation as the “SHIFT” approximation everywhere in the present paper.

A fuller account of electron correlation (read: polarization) in $e + A@C_{60}$ elastic scattering is determined by the reducible $\tilde{\Sigma}(\epsilon)$ part of the self-energy part of the electron’s Green function [19]. The matrix element of the latter are represented diagrammatically in Fig. 3.
The above diagrammatic equation for $\hat{\Sigma}$ can be written in an operator form as follows:

$$\hat{\Sigma} = \hat{\Sigma} - \hat{\Sigma} \hat{G}^{(0)} \hat{\Sigma}. \quad (5)$$

Here, $\hat{\Sigma}$ is the operator of the irreducible self-energy part of the Green’s function calculated in the framework of SHIFT (Fig. 2), $\hat{G}^{(0)} = (\hat{H}^{(0)} - c)^{-1}$ is the HF operator of the electron Green’s function, and $\hat{H}^{(0)}$ is the HF Hamiltonian operator of the electron + $A@C_{60}$ system. Clearly, the equation for the matrix elements of $\hat{\Sigma}$ accounts for an infinite series of diagrams by coupling the diagrams of Fig. 2 in various combinations. Numerical calculation of electron elastic-scattering phase shifts in the framework of this approximation is addressed by the computer code from Ref. [19] labeled as the “SCAT” code. Correspondingly, the authors refer to this approximation as the “SCAT” approximation everywhere in the present paper. SCAT works well for the case of electron scattering off free atoms [19]. This gives us confidence in that SCAT is a sufficient approximation for pinpointing the impact of correlation/polarization on $e + A@C_{60}$ scattering as well.

In the framework of SHIFT or SCAT, the electron elastic-scattering phase shifts $\zeta_\ell$ are determined as follows [19]:

$$\zeta_\ell = \delta_\ell^{\text{HF}} + \Delta \delta_\ell. \quad (6)$$

Here, $\Delta \delta_\ell$ is the correlation/polarization correction term to the calculated HF phase shift $\delta_\ell^{\text{HF}}$:

$$\Delta \delta_\ell = \tan^{-1} \left( -\pi \langle \ell | \hat{\Sigma} | \ell \rangle \right). \quad (7)$$

The mathematical expression for $\langle \ell | \hat{\Sigma} | \ell \rangle$ is cumbersome. The interested reader is referred to [19] for details. The matrix element $\langle \ell | \hat{\Sigma} | \ell \rangle$ becomes complex for electron energies exceeding the ionization potential of the atom-target, and so does the correlation term $\Delta \delta_\ell$ and, thus, the phase shift $\zeta_\ell$ as well. Correspondingly,

$$\zeta_\ell = \delta_\ell + i \mu_\ell, \quad (8)$$

where

$$\delta_\ell = \delta_\ell^{\text{HF}} + \Re \Delta \delta_\ell, \quad \mu_\ell = \Im \Delta \delta_\ell. \quad (9)$$

The total electron elastic-scattering cross section $\sigma_{el}$ is then given by the expression

$$\sigma_{el} = \sum_{\ell=0}^{\infty} \sigma_\ell, \quad (10)$$

where $\sigma_\ell$ is the electron elastic-scattering partial cross section:

$$\sigma_\ell = \frac{2\pi}{k^2} \frac{(2\ell + 1)}{e^{2\mu_\ell}} \frac{\cosh 2\mu_\ell - \cos 2\delta_\ell}{2^\ell \mu_\ell}. \quad (11)$$

III. RESULTS AND DISCUSSION: $e + Ba@C_{60}$ SCATTERING

3. Preliminary notes

In the aims of the present paper, the authors focus on electron scattering off $Ba@C_{60}$, as the case study. This is because the Ba atom is a highly polarizable atom. It is expected to retain its high polarizability under the $C_{60}$ confinement as well. This provides one with a better opportunity to learn how atomic polarization can alter electron scattering off $A@C_{60}$ compared to scattering off the static target.

Furthermore, the study focuses on the electron energy region of up to $\epsilon \approx 3$ eV. First, at such energies, the electron wavelength $\lambda > 6$ Å exceeds greatly the bond length $D \approx 1.44$ Å between the carbon atoms in $C_{60}$. Correspondingly, the incoming electrons will “see” the $C_{60}$ cage as a homogeneous rather than “granular” cage. This makes it appropriate to model the $C_{60}$ cage by a non-granular, i.e., “smooth” potential. Moreover, as was shown in Refs. [22–24], a low-energy electron motion in the field of $C_{60}$ is insensitive to details of the “smooth” potential, i.e., to whether the potential is the potential with round borders and unparallel walls or simply a square-well potential, as long as $\lambda \gg D$. This additionally justifies the use of the square-well potential, Eq. (1), in the present study. Second, correlation/polarization effects, which are of the primary concern of this paper, are expected, as usual, to be most strong primarily at low-energy electron collisions with multielectron targets. Third, at these low energies, earlier, there were predicted spectacular confinement-induced resonances in $e + A@C_{60}$ scattering [4, 5], similar to those predicted in $e + C_{60}$ scattering. The presence of the confining $C_{60}$ cage in this case, as well as in the case of scattering off empty $C_{60}$, results in the emergence of positive quasi-discrete states in the field of $A@C_{60}$ or $C_{60}$. When quasi-discrete states are present, then, in accordance with the known fact, “... resonance scattering occurs because a positive level with $\ell \neq 0$, though not a true discrete level, is quasi-discrete: owing to the presence of the centrifugal potential barrier, the probability that a particle of low energy will escape from this state to infinity is small, so that the lifetime of the state is long” [20].

It is interesting to explore how the predicted resonances in $e + A@C_{60}$ scattering can be altered by the effects of polarization of the encapsulated atom $A$ by incident electrons.

Next, the calculations of electron scattering off $Ba@C_{60}$ and free Ba, performed in the present work in the framework of both SHIFT and SCAT, accounted for the monopole, dipole, quadrupole, and octupole perturbations of the valence $6s^2$ and $5p^6$ subshells of free and encapsulated Ba by incident electrons. Finally, in view of low values of the electron energies, only the $s$, $p$, $d$, $f$, and $g$-partial electronic waves have been accounted for in the calculations. The contribution of other partial electronic
waves is negligible, at given energies.

4. Results and discussion

Corresponding calculated HF, SHIFT, and SCAT data for the real parts of phase shifts $\delta_\ell(\epsilon)$, partial $\sigma_\ell(\epsilon)$ and total $\sigma_{\ell\ell}(\epsilon)$ cross sections for electron elastic scattering off Ba@C$_{60}$ are displayed in Fig. 4 (the imaginary parts $\mu_\ell$ of the phase shifts, when present, are small at the chosen energies and present little interest for discussion).

As an important finding, one learns from Fig. 4 that the C$_{60}$ confinement does not at all “extinguish” the possibility for the encapsulated Ba atom to be strongly polarized by incident electrons. On the contrary, the polarization impact is found to affect dramatically both the electron scattering phase shifts and partial $\sigma_\ell$ as well as total $\sigma_{\ell\ell}$ cross sections. All this is obvious from the comparison of calculated results obtained in the framework of HF, on the one hand, and SHIFT and SCAT, on the other hand, approximations. As another important result, one finds that accounting for only the second-order (with respect to the Coulomb interaction) correlation effects, as in SHIFT, is important but far insufficient for the calculation of low-energy electron scattering off Ba@C$_{60}$. Indeed, the correlation impact beyond the second-order approximation, i.e., accounted for in the SCAT approximation, is utterly significant—the lower the energy, the stronger the impact.

For the next, it is both interesting and necessary to bring to the attention of the reader that the empty static C$_{60}$ cage can only support the s-, p-, and d-bound states [17]. In contrast, the “stuffed” C$_{60}$, i.e., Ba@C$_{60}$, was found to lose a s-bound state, but acquire, instead, a f-bound state, in the static HF approximation [5]. Now, it follows from the present study that by “unfreezing” the encapsulated Ba atom, i.e., by making it polarizable, the lost s-bound state is returned to the Ba@C$_{60}$ system, and the latter keeps the former p-, d- and f-bound states. Moreover, the Ba@C$_{60}$ system is found to start supporting a second p-bound state as well. All this becomes clear by noting the calculated SCAT values of the s-, p-, d-, and f-phase shifts at $\epsilon \to 0$: $\delta^\text{SCAT}_s \to 7\pi$, $\delta^\text{SCAT}_p \to 6\pi$, $\delta^\text{SCAT}_d \to 3\pi$, and $\delta^\text{SCAT}_f \to \pi$. The zero-energy values of these phase shifts satisfy the generalized version of Levinson theorem for scattering in a potential field [20] which (the generalized theorem) could be derived by the direct numerical calculation and written as follows:

$$\delta_\ell(\epsilon)|_{\epsilon \to 0} \to (N_{n_\ell} + q_\ell)\pi. \quad (12)$$

Here, $N_{n_\ell}$ is the number of closed subshells with given $\ell$ in the ground-state configuration of an atom, whereas $q_\ell$ is the number of additional empty bound states with the same $\ell$ in the field of A@C$_{60}$. Given that, for the ground-state of the encapsulated Ba atom, $N_{n_s} = 6$, $N_{n_p} = 4$, $N_{n_d} = 2$, and $N_{n_f} = 0$, one finds that, in accordance with the SCAT values of the phase shifts, $q_{n_s} = 1$, $q_{n_p} = 2$, $q_{n_d} = 1$, and $q_{n_f} = 1$. This translates into one s-, two p-, one d-, and one f-bound states supported (one at a time) by Ba@C$_{60}$. Note that calculated SHIFT phase shifts, in contrast to SCAT data, point to the existence of neither s- nor second p-bound state in the field of Ba@C$_{60}$. This discrepancy between the calculated SHIFT and SCAT data speaks, in general, to the importance of a fuller account of electron correlation in the calculation of $e + A@C_{60}$ scattering.

The discovered in the framework of SCAT emergence of a s-bound state and a second p-bound state in the field of Ba@C$_{60}$ has profound consequences for both the corresponding partial and total electron-scattering cross sections. Namely, because the phase shift $\delta^\text{SCAT}_s$, on the way to its value of $7\pi$ at $\epsilon = 0$, passes through the value of modulo $\pi/2$ (at $\epsilon \approx 0.23$ eV), $\sigma^\text{SCAT}_s$ becomes large, at lower energies, in contrast to the predictions obtained with the help of inferior HF and SHIFT. Similarly, the increase of $\delta^\text{SCAT}_p$ to $6\pi$ at $\epsilon = 0$ results in large $\sigma^\text{SCAT}_p$ as well, at low energies, again, in contrast to the predictions by HF and SHIFT.

Talking about the d- and g-partial cross sections, one can see that each of them is dominated by the strong resonance. Its emergence clearly follows from the behavior of the d- and g-phase shifts. Indeed, the phase shifts first tend to increases towards modulo $\pi$ with decreasing energy, but, because the field turns out to be not strong enough, before that value is reached, they sharply decrease, passing through the value of modulo $\pi/2$. This is a typical behavior of phase shifts upon electron scattering on quasibound states [27]. Next, note how the resonances in the d- and g-partial cross sections become higher, narrower, and shift towards lower energy as more correlation is accounted for in the calculation (compare calculated HF versus SHIFT versus SCAT results). We thus find that the inclusion of more correlation into the calculation of $e + Ba@C_{60}$ scattering increases the strength of the field of the Ba@C$_{60}$-scatterer, since the above noted changes in the resonances are typical for electron scattering in a field of increasing strength [27].

Next, note that no f-resonance emerges in $e + Ba@C_{60}$ scattering calculated in either of the three approximations utilized in this paper. This is in contrast to electron elastic-scattering off empty static C$_{60}$. There, the sharp f-resonance was predicted to emerge at low energies [17, 27] (this is the extremely narrow resonance near zero plotted in Fig. 1). The reason for the absence of the f-resonance in $e + Ba@C_{60}$ scattering is interesting. It is directly associated with that a noticeable part of the valence electronic charge-density of encapsulated Ba is drawn into the C$_{60}$ shell [5]. Therefore, the field inside the “stuffed” C$_{60}$ becomes more attractive than in empty C$_{60}$. It turns the f-state into a bound state, thereby eliminating the emergence of a f-resonance in $e + Ba@C_{60}$ scattering. Now, as it has been shown in the paragraph above, the inclusion of correlation into the calculation of $e + Ba@C_{60}$ scattering increases the field of Ba@C$_{60}$. Therefore, the f-state remains bound. This is why the
\( f \)-resonance does not take place in \( e + \text{Ba@C}_{60} \) scattering even if polarization of the encapsulated Ba atom by incident electrons is accounted for in the calculation. Our general prediction is that there will be no \( f \)-resonances on quasibound states in electron scattering off any \( A@\text{C}_{60} \) system in case where there is a noticeable transfer of electronic charge-density from the encapsulated atom to the \( \text{C}_{60} \) shell.

Furthermore, it is interesting to compare how much differently polarization of the Ba atom by incident electrons affects electron elastic scattering off free Ba versus \( \text{Ba@C}_{60} \). The corresponding calculated HF and SCAT total electron elastic-scattering cross sections are depicted in Fig. 5.

The calculated data reveal a spectacular difference between the role of polarization in electron scattering off Ba and \( \text{Ba@C}_{60} \). Namely, it appears that the effects of polarization in \( e + \text{Ba@C}_{60} \) scattering act oppositely to the effects in \( e + \text{Ba} \) scattering. Thus, whereas \( \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba@C}_{60}) \ll \sigma_{\text{el}}^{\text{HF}}(e + \text{Ba}) \) at \( \epsilon \lesssim 1 \text{ eV} \), the situation is exact opposite for \( e + \text{Ba@C}_{60} \) scattering in about the same energy region: \( \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba@C}_{60}) \gg \sigma_{\text{el}}^{\text{HF}}(e + \text{Ba@C}_{60}) \). Alternatively, whereas \( \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba}) \gg \sigma_{\text{el}}^{\text{HF}}(e + \text{Ba}) \) at \( \epsilon \gtrsim 1.4 \text{ eV} \), one observes that \( \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba@C}_{60}) \ll \sigma_{\text{el}}^{\text{HF}}(e + \text{Ba@C}_{60}) \) in there. It is, thus, found in the present study that the effects of atomic polarization in electron scattering off the free and encapsulated inside \( \text{C}_{60} \) atoms may follow opposite routes. This is an interesting observation.

Lastly, note that there are energy regions, specifically, 0.8 \( \lesssim \epsilon \lesssim 1.1 \text{ eV} \) and \( \epsilon \gtrsim 1.2 \text{ eV} \), where \( \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba@C}_{60}) \ll \sigma_{\text{el}}^{\text{SCAT}}(e + \text{Ba}) \). This means that the gas-medium of big-sized \( A@\text{C}_{60}s \) can be more transparent to incident electrons than the gas-medium of smaller-sized isolated atoms \( A \) themselves. This counter-intuitive effect was earlier unveiled in Ref. [4] in the framework of the static HF approximation, but appears to retain its place even if the encapsulated atom is polarizable, as is shown in the present paper.

IV. CONCLUSION

The present work has provided a deeper insight into possible features of low-energy electron elastic scattering off \( A@\text{C}_{60} \) fullerenes. This has been achieved by studying the dependence of \( e + \text{Ba@C}_{60} \) elastic scattering with account for polarization of encapsulated Ba by incident electrons. It has been demonstrated that the polarization effect results in dramatic differences between electron scattering off \( \text{Ba@C}_{60} \) evaluated with and without inclusion of polarization into the calculation. It has
Electron elastic-scattering cross section

FIG. 5. (Color online) Calculated total electron elastic-scattering cross sections $\sigma_{el}(\epsilon)$ (in units of $a_0^2$) for electron scattering off Ba@C$_{60}$, obtained in the frameworks of the model static HF (dashed line) and multielectron SCAT (solid line) approximations, as well as off free Ba (HF, dash-dot-dot; SCAT, dash-dot), as marked.

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