Pembrolizumab in cervical cancer: latest evidence and clinical usefulness

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Abstract: Cervical cancer is the fourth most common cause of cancer-related deaths in women worldwide. With the development of detection of precancerous lesions and preventive human papillomavirus (HPV) vaccination program, a survival improvement has been observed in these patients in developed countries, although disparities in accessibility to treatments exist across countries. While early-stage cervical cancer can be curable with surgery, prognosis of patients who recur remains poor, with limited treatment options. In this latter setting, recently, bevacizumab, an antiangiogenic monoclonal antibody targeting vascular endothelial growth factor (VEGF), has been shown to improve overall survival in combination with chemotherapy as compared with chemotherapy alone. No standard treatments exist beyond this treatment regimen. New effective treatments are therefore much needed in this setting. Immunotherapy has represented a breakthrough in recent years in oncology, with antitumor activity reported with immune-checkpoint inhibitors in a variety of tumor types. We discuss here the latest evidence and clinical usefulness of pembrolizumab, anti-PD-1 checkpoint inhibitor, in the treatment of advanced cervical cancer.

Keywords: cervical cancer, immune checkpoints, immunotherapy, pembrolizumab

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Introduction

Cervical cancer is the fourth most common cause of cancer-related deaths in women worldwide. With availability and improvements in screening, which allows for the detection and removal of precancerous lesions, generalization of vaccination campaigns of women against human papillomavirus (HPV), and improvement of therapeutics available, a survival improvement has been observed in patients with cervical cancers over recent decades, mostly in developed countries where preventive strategies have been developed, and where access to most relevant and expensive therapeutics is facilitated. For example, in Eastern Europe, low survival rates are still observed, in comparison to Western Europe, suggesting insufficient screening/early diagnosis and also inadequate treatment options available. In developing countries, this contrast is even more critical, with around 527,600 new cases reported and 265,700 deaths worldwide in 2012.

Early-stage cervical cancer can be cured with surgery, while concurrent chemoradiation is the treatment of choice for locally advanced stages. Patients with recurrent or metastatic cancers have, however, limited treatment options. The use of cisplatin-based chemotherapy regimens has been reported to be the most active choice in first-line treatment for advanced cervical cancer. Despite this, median overall survival of this patient population barely exceeds 1 year, with short-lived responses and rapid deterioration of quality of life. Recently, bevacizumab, a humanized monoclonal antibody targeting vascular endothelial growth factor (VEGF), defined as an antiangiogenic agent, has been approved in combination with chemotherapy as first-line therapy, with a statistically significant overall survival improvement as compared with chemotherapy alone. No validated treatment options exist beyond this first-line treatment regimen. Chemotherapy regimens in this situation are associated with substantial toxicity and poor efficacy with a median overall survival of 7 months. In several published phase II studies, evaluating single chemotherapy agents in the second-line...
setting, including topotecan, vinorelbine, gemcitabine, docetaxel and pemetrexed, the response rate ranged between 4.5% and 18%, with a median progression-free survival (PFS) and overall survival (OS) ranging approximately between 2–5 and 5–16 months, respectively. Cisplatin is still an option as a single agent in recurrent or metastatic cervical cancer with an overall response rate (ORR) ranging between 13% and 23%.6

New effective treatments are therefore much needed in this setting. Immunotherapy has represented a breakthrough in recent years in oncology, with antitumor activity reported with immune-checkpoint inhibitors in a variety of tumor types. We discuss here the latest evidence and clinical usefulness of pembrolizumab, anti-PD-1 checkpoint inhibitor, in the treatment of advanced cervical cancer.

Oncogenesis of cervical cancer

The majority of cervical cancers are associated with oncogenic HPV subtypes, high-risk type HPV-16 and HPV-18 being the most frequently involved in cervical cancer development.7 HPV belongs to the Papillomaviridae family with a non-enveloped, circular DNA genome that is covered with capsid proteins. HPV infections, such as HPV-16, are widespread in human population, and are commonly transmitted by sexual contact. Infection requires the availability of basal-layer cells that are able to proliferate, and occurs usually in microlesions of mucosa. The infected cell divides, and some of the progeny migrate into suprabasal differentiating cell layers, where viral genes are activated and capsid proteins are formed.7 HPV infections initially induce squamous intraepithelial lesions in women. The majority of these lesions will be cleared spontaneously in 6–12 months after appearance, in part by immunological intervention. The role of the immunologic system in the clearance of HPV is supported by the observed increased incidence and prolonged persistence of squamous intraepithelial lesions in immunosuppressed women.8,9 A small percentage of these intraepithelial lesions, however, will persist and progress to high-grade squamous intraepithelial lesions, carcinoma in situ, and to invasive carcinoma.

The predominant histologic subtype of invasive carcinoma is squamous cell carcinoma, accounting for 75% of cases, followed by adenocarcinomas representing between 20% and 24% of cases. Lymphomas and melanomas account for less than 1% of all cases.

Rationale for immunotherapy in cervical cancer

Cancer cells provide a diverse set of antigens that the immune system can use to distinguish those cells from their normal counterparts.10 This immune response, concerning T cells, is initiated through antigen recognition by the naïve T-cell receptor (TCR), via the antigen–peptide major-histocompatibility complex (MHC), and is regulated by a balance between costimulatory and inhibitory signals, called immune checkpoints.11 To be fully activated, T cells need a costimulatory antigen–dependent signal that occurs through the interaction between CD28 on T cells and B7-1 and B7-2 on the antigen-presenting cells (APC). Tumor cells can escape T-cell immune responses through inhibitory immune checkpoints.

Cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4), the first immune-checkpoint receptor to be clinically targeted, is expressed exclusively on T cells where it primarily regulates the amplitude of the early stages of T-cell activation, capable of downregulating T-cell activation, to prevent overstimulation of the immune system.11 CTLA-4 has much higher affinity with the B7 complex than CD28. By targeting the immune checkpoints that inhibit immune T-cell response, the purpose is to enhance endogenous antitumor immunity. The development of a lethal lymphoproliferative disorder and autoimmune phenotype in young CTLA4-deficient mice illustrated the pivotal role of CTLA-4 in immune homeostasis, and potentially highlighted the possible immune toxicity of CTLA-4 antibodies.12,13 In contrast to the severe pathologic characteristic of CTLA-4-deficient mice, transient CTLA-4 antibody blockade enhances antigen–specific T-cell responses with an acceptable toxicity profile.11,14 Preclinical findings encouraged the production and clinical testing of fully humanized CTLA-4 antibodies, such as ipilimumab.15

Programmed-cell-death protein 1 (PD-1), is another immune-checkpoint receptor, thought to be a more distal immune modulator than CTLA-4, whose major role is to limit the activity of effector T cells in peripheral tissues at the time of an inflammatory response to infection, to limit autoimmunity when effector T cells become activated, and that can induce a major immune resistance
mechanism within the tumor microenvironment. PD-1 can be expressed on T cells when they become activated, and is highly expressed on regulatory T cells (T_{Reg} cells) where it may enhance their proliferation in the presence of a ligand, enhancing their immunosuppressive activity. PD-1 is also expressed on other non-T-lymphocytes subsets, such as B cells or natural killer cells. The two ligands for PD-1 are PD-1 ligand 1 (PD-L1) and PD-L2, the ligation of PD-1 to its ligand leading to a co-inhibitory signal in activated T cells. PD-1 is expressed on a large proportion of tumor-infiltrating lymphocytes (TILs) from many different tumor types, as PD-L1 has been reported to be the ligand that is commonly upregulated in many human cancers, promoting immune evasion of tumor cells, providing a good rationale for the development of antitumor therapies targeting the PD-1/PD-L1 pathway. In multivariate analysis, PD-L1 expression on tumor cells has been identified as an independent prognostic factor, the overall survival rate of melanoma patients whose tumors highly express PD-L1 being significantly lower than that of patients whose tumors poorly express PD-L1. Other reports in various tumor types have shown that PD-L1 expression correlates with poor prognosis, or shown no correlation with prognosis.

There is a strong rationale supporting the development of immunotherapy in cervical cancer given the presence of a virus in its oncogenesis leading to antigens production (Figure 1). The presentation of viral antigens by APC activates naïve T cells to proliferate and differentiate into effector T cells, and therefore initiate an HPV-specific immune response, recognizing and eliminating virus-infected cells. Interestingly, a higher expression of PD-L1 has been described in virus-inducing cancers, and an upregulation of PD-1 and PD-L1 has been observed in high risk HPV-related cervical intraepithelial neoplasia.

**Clinical development of pembrolizumab**

Pembrolizumab is a highly selective humanized monoclonal IgG4 kappa isotype antibody targeting PD-1. Pembrolizumab has been evaluated in a phase I trial in 135 patients with both pretreated and treatment-naïve advanced melanoma. The overall response rate (ORR) was 38% across all dose cohorts irrespective of prior treatment, with durable response of 11 months at median follow-up, and 81% of patients who had a response still receiving treatment at the time of data analysis. In terms of immune-related adverse events, pneumonia was noted in 4% (all below grade 3), grade 3 or 4 transaminitis in 1%, grade 3 nephritis in 1%, grade 3 hyperthyroidism in 1%, grade 2 adrenal insufficiency in 1%, and hypothyroidism in 1%. Diarrhea was reported in 20% of the patients; however, only one case was grade 3. In a phase III study, pembrolizumab was shown to be more effective than ipilimumab in patients with recurrent and metastatic melanoma. Given those results, the US Food and Drug Administration (FDA) approved pembrolizumab in September 2014 first for the treatment of patients with advanced or unresectable melanoma, following treatment with ipilimumab, and eventually in the first-line setting. Pembrolizumab has also been approved by the FDA under the agency’s accelerated approval program in October 2015, for the treatment of patients with advanced non-small cell lung cancer (NSCLC) whose disease has progressed after platinum-based chemotherapy and whose tumors express PD-L1 using immunohistochemical analysis, with a cutoff of at least 1% of PD-L1 expression in tumor cells. More recently, pembrolizumab was approved, for the treatment of patients with...
recurrent or metastatic head and neck squamous cell carcinoma (HNSCC) with disease progression on or after platinum-containing chemotherapy, on the basis of tumor response rate and durability of response from the phase Ib study.27

Preliminary results from the phase Ib KEYNOTE-028 study, evaluating the safety and efficacy of pembrolizumab in patients with advanced solid tumors were presented at the American Society of Clinical Oncology (ASCO) conference in 2016.28 This multicohort phase I trial included an expansion cohort of patients with advanced cervical squamous cell cancer. Patients had to have an unresectable or metastatic cervical cancer, failed prior systemic therapy and standard treatment, an Eastern Cooperative Oncology Group Performance Status (ECOG PS) of 0-1, and a PD-L1 expression in ≥1% of tumor or stroma cells by immunohistochemistry (IHC). Pembrolizumab was given at a dose of 10 mg/kg every 2 weeks for up to 24 months or until confirmed progression, intolerable toxicity, death, or withdrawal of consent. The primary endpoint was the overall response rate according to RECIST 1.1. A total of 24 patients with recurrent or metastatic cervical cancer were enrolled, with 15 patients having distant metastases (63%). Median age was 41.5 years. Most patients (96%) had received prior radiotherapy, and two or more prior lines of chemotherapy in this setting (63%). Nearly half of patients (42%) had previously received bevacizumab. The median follow-up duration was 48.9 weeks. Treatment-related adverse events occurred in 18 patients (75%), with pyrexia (n = 4) and rash (n = 3) occurring in ≥10% of patients. Five patients (21%) had a grade 3 adverse event related to treatment, including two rashes, one neutropenia, one proteinuria, and two of whom discontinued pembrolizumab; one for a colitis and the other for a Guillain-Barre syndrome. No grade 4 or 5 adverse events were reported. ORR was 17%, including long-lasting responses (mean duration of response, 26 weeks). Although median PFS was modest, median OS reached 9 months, which is substantial in this heavily pretreated patient population. In summary, pembrolizumab was well tolerated and showed promising antitumor activity in patients with PD-L1-positive recurrent or metastatic cervical squamous cell cancer. The clinical benefit of pembrolizumab in advanced cervical cancer is being further investigated in the phase II KEYNOTE-158 trial [ClinicalTrials.gov identifier: NCT02628067].

PD-L1 expression in tumor cells has been the most studied biomarker for the prediction of treatment response to anti-PD1 or anti-PD-L1 therapies. PD-L1 diagnostic assays, based on immunohistochemistry on tumor biopsy specimens, are currently being used in the stratification of patients included in clinical trials that are evaluating anti-PD-1 or PD-L1 therapies; however, patients whose disease is PD-L1 negative can still achieve clinical benefit and objective responses from anti-PD1 or anti-PD-L1 therapies.20 The complexity to PD-L1 staining may be linked to the spatial and temporal heterogeneity in PD-L1 expression in tumor cells, the fact that different methods are used to measure PD-L1 expression in tumor only or including TILs, with different antibodies and staining protocols and also, that there are actually no defined standard thresholds for positive PD-L1 expression.29

PD-L2 has also been reported to be upregulated in certain tumor types, such as B-cell lymphomas and Hodgkin’s disease, pancreatic, esophageal or ovarian cancer.30,31 PD-L2 expression has been described to be correlated with a poorer prognosis in certain types of cancer, but it has not been shown to be correlated with treatment response to anti-PD-1 antibodies.32,33 Multiplexed-gene-expression profiling has also been used to develop immune-related gene-expression signatures. The NanoString nCounter technology allows analyses of the expression levels of up to 800 target genes including specific panels of immune-response genes. In different clinical trials evaluating pembrolizumab, different immune-response signatures have been evaluated on baseline tumor samples of patients including the 6-gene interferon-gamma (IFN-Y) signature (IDO1, CXCL10, CXCL9, HLA-DRA, STAT1, IFNG), the 10-gene INF-Y signature, and an expended-immune 28-gene signature, and correlated with overall response to anti-PD-1 treatment and OS in patients with various tumor types including advanced melanoma, HNSCC, anal canal, biliary tract, colorectal, esophageal and ovarian cancer. These results are consistent with the hypothesis that clinical responses to PD-1 blockade might occur in patients with a pre-existing interferon-mediated adaptive immune response.34–36

Immunotherapies beside pembrolizumab in cervical cancer
Signals of antitumor activity of ipilimumab have been reported in recurrent and metastatic cervical
Another interesting perspective is the combination of two different types of immunotherapies. As an example, durvalumab, an anti-PD-L1 antibody, is being combined with tremelimumab, an anti-CTLA-4 antibody, in a phase I study [ClinicalTrials.gov identifier: NCT01975831], since promising antitumor activity has been reported in metastatic melanoma, with the combination of ipilimumab, an anti-CTLA-4 antibody, with nivolumab, an anti-PD-1 antibody, being more efficient in terms of overall survival than ipilimumab alone.41 Dose reductions of ipilimumab were needed in order to safely combine these two immune-checkpoint inhibitors.41

Other means of immune therapy are currently being developed in cervical cancer, especially in HPV-induced cervical cancer, typically anticancer therapeutic vaccines, that rely on cell-mediated immunity against HPV-infected cells, with the HPV-produced oncoproteins E6 and E7 used as the selected antigens.21,42 This vaccination is capable of inducing an immune response against cells already infected with HPV, including those with pre-invasive or invasive cervical lesions. Several approaches are currently being investigated, including live-vector-attenuated vaccines, such as ADXS11-001, which is a bacterial–vector vaccine targeting the oncoprotein E7, currently evaluated in ongoing phase II trials in nonresponding or recurrent cervical cancers [ClinicalTrials.gov identifiers: NCT02164461 and NCT01266460]. Preliminary results from a phase II trial, in patients with recurrent or refractory cervical cancer treated with ADXS11-001 [1 × 10^9 colony forming units (CFUs)] with or without cisplatin treatment showed an overall disease control rate of 43% (six complete responses, six partial responses, and 35 with stable disease) with median duration of response being 10.5 months in both treatment groups, and a 36% 12-months’ survival. The addition of cisplatin to ADXS11-001 did not significantly improve survival outcomes or tumor response. This study also showed a good safety profile; the majority of patients experienced a grade 2 adverse event during treatment, most commonly fever, and flu-like symptoms.43 Preliminary results of another study evaluating ADX-001 in patients with persistent/recurrent metastatic cervical cancer, confirmed the favorable safety profile of ADX-001 and 1/26 complete response [ClinicalTrials.gov identifier: NCT01266460].44 ADX-001 is currently being evaluated in a phase III trial, administered following chemoradiation as adjuvant treatment for...
Another approach involves the ISA101 peptide vaccine, currently evaluated in a phase I/II trial in combination with standard of care chemotherapy (carboplatin and paclitaxel with or without bevacizumab) in women with HPV-positive advanced or recurrent cervical cancer who have no curative treatment options [ClinicalTrials.gov identifier: NCT02128126].

Adoptive T-cell therapy has shown promising results in melanoma and is currently evaluated in patients with HPV-induced metastatic cervical cancer, who had previously received platinum-based chemotherapy. An objective response (two complete responses, one partial response) was reported in three out of nine patients, with a complete response lasting 22 months. This strategy consists of transferring autologous tumor-reactive T cells, developed from cultured HPV TILs from HPV-induced cervical cancers. The T-cell cultures are initiated from fragments of metastatic tumors expanded ex vivo and tested for reactivity against HPV-16 or HPV-18 E6 and E7 oncoproteins. Cells are then selected for patient administration based on HPV–oncoprotein reactivity, rapid growth, high T-cell purity, and high frequency of CD8+ T cells. This strategy necessitates administration of a non-myeloablative lymphocyte-depleting preparative chemotherapy regimen, such as the combination of cyclophosphamide and fludarabine. No adverse events secondary to TIL infusion were reported, whereas grade 3 and 4 adverse events were attributed to the cytotoxic chemotherapy regimen. Interestingly, the HPV reactivity of T cells in the infusion product correlated positively with clinical response, as well as the frequency of

| Table 1. Selected ongoing trials of immune-checkpoint inhibitors in cervical cancer. |
|-----------------|-----------------|-----------------|-------------------------------------------------|-----------------|
| Agent(s)        | Target(s)       | Phase | Indication                                                                 | Clinical Trials.gov identifier |
|-----------------|-----------------|-------|---------------------------------------------------------------------------|--------------------------------|
| Pembrolizumab   | PD-1            | II    | Multiple types of advanced solid tumors                                    | NCT02628067                  |
| Pembrolizumab   | PD-1            | II    | Advanced cervical cancer, in combination with chemoradiation              | NCT02635360                  |
| Durvalumab      | PD-L1, CTLA-4   | I     | Advanced solid tumors, including advanced cervical cancer                  | NCT01975831                  |
| Atezolizumab    | PD-L1           | Ib    | Advanced breast cancer and gynecologic cancer                              | NCT02914470                  |
| Carboplatin     | CTLA-4          | I     | FIGO stage IB2/IIA with positive para-aortic lymph nodes only and stage IIB/IIIB/IVA with positive lymph nodes; cervical cancer following chemoradiation | NCT01711515                  |
| Ipilimumab      | CTLA-4          | I     | Neoadjuvant cohort and metastatic cohort in virus-associated cancers including HPV-induced cervical cancer | NCT02488759                  |
| Nivolumab       | PD-1, CTLA-4    | I/II  | Neoadjuvant cohort and metastatic cohort in virus-associated cancers including HPV-induced cervical cancer | NCT02426892                  |
| Nivolumab       | PD-1            | II    | HPV-16-positive incurable solids cancers, treatment naïve or in second line, including HPV-16-induced cervical cancer | NCT02921269                  |
| Atezolizumab    | PD-L1           | II    | Persistent, recurrent or metastatic cervical cancer                        | NCT01693783                  |
| Bevacizumab     | PD-L1, CTLA-4   | II    | Persistent, recurrent or metastatic cervical cancer                        | NCT02257528                  |

PD-L1, programmed-cell-death-protein 1 ligand 1; CTLA-4, cytotoxic T-lymphocyte-associated antigen 4; FIGO, International Federation of Gynecology and Obstetrics; PD1, programmed-cell-death protein 1; HPV, human papillomavirus.

All listed trials were referenced from ClinicalTrials.gov, last accessed 16 December 2016.
HPV-reactive T cells in peripheral blood 1 month after treatment.45

In the field of adoptive T-cell therapy, chimeric antigen receptors (CARs) T-cell therapy seems to be a promising approach as well, with impressive antitumor activity in relapsed and refractory acute lymphoblastic leukemia treated with CAR T cells targeting the B-cell-specific antigen CD19.46 After isolation of T cells from patients, T cells are engineered \textit{ex vivo} to express a specific CAR at their surface, in order to identify and eliminate malignant cells through tumor-specific antigen recognition. These autologous T cells are then readministered to patients. A CAR is a recombinant receptor construct composed of an extracellular antigen-recognition domain, derived from a monoclonal antibody fragment (scFv), linked to intracellular signaling domains of the T-cell receptor complex. T cells engineered to express such CARs engage an antigen on a tumor cell through the extracellular antibody domain, thereby activating the T cells and stimulating a potent cytotoxic response.46 Some challenges include the safety profile (cytokine-release syndrome) that is sometimes poor, the \textit{ex vivo} cell culture system used to manufacture large quantities of engineered T cells, and the gene transfer technologies used to engineer T cells to express CARs.46

A phase I study is currently recruiting patients with refractory/metastatic HPV-related cancers, to evaluate the safety of CAR T-cell therapy, using autologous T cells that are isolated from a patient, genetically engineered \textit{in vitro} with a TCR-targeting HPV-16 E7 (E7 TCR) that displays specific reactivity against HPV-16+ target cells. Cells are then reinfused to the same patient; this treatment is being evaluated in combination with or without pembrolizumab [ClinicalTrials.gov identifier: NCT02858310].

Conclusion
Preliminary results from a phase I clinical study showed that pembrolizumab was well tolerated and showed potential antitumor activity in patients with PD-L1-positive heavily pretreated recurrent or metastatic cervical cancer. Pembrolizumab is currently being evaluated in the locally advanced setting in combination with radiation therapy given a strong biological rationale. However, response to pembrolizumab alone remains low, explaining the current evaluation of drug combinations with pembrolizumab, as well as strong efforts to identify predictive biomarkers or signatures of efficacy. Besides immune-checkpoint inhibitors, various therapeutic HPV vaccines are currently being developed, along with adoptive T-cell therapy in the treatment of HPV-related cervical cancer, showing some promising results in eliciting T-cell-mediated HPV-specific immune response.

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Conflict of interest statement
Christophe Le Tourneau has participated in scientific advisory boards from MSD and received honoraria for this.

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