Effects of Surface Roughness on the Behavior of Interface between Old and New Deck Slabs Under Concentrated Load

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Abstract. Experimental and analytical studies on the behavior of interface between old and new deck slabs were investigated in this study. The test was performed to represent a bridge widening method that has been developed in Japan. Therefore, each specimen consist of the old and new deck slabs. The connection between those deck slabs was varied into a rough and smooth surface. Before testing, the slabs were prestressed using unbonded PC rods which amount of 1.0 MPa. The result of this study indicated that the difference in the level of roughness does not provide a significant effect on the ultimate capacity. Remarkable effects were only observed on crack pattern, deflection, and joint opening behavior. To validate the experiments, the ultimate capacity of experiment was compared with the predicted shear capacity obtained from JSCE Specification and $f_b$ Model Code. In the guidelines, the area of the interface is assumed as the total area of the interface. However, such assumption cannot be used in this study. Therefore, the effective area of the interface obtained from FEM analysis was proposed in this study. Eventually, by using the proposed effective area of the interface, the calculated results showed that all guidelines provide a good lower limit to predict the ultimate capacity of the deck slabs.

1 Introduction

The new prestressed concrete (PC) box girder widening technique has been proposed by some researchers in Japan [1]. The structural members of this technique consist of the precast rib, precast PC slab, and cast-in-place new deck slab as shown in Fig. 1. Full details of this new technique are reported by Niwa et al. [2].

In this method, the shear-transfer strength between the old and new deck slabs is achieved through the external prestressing force, and through the rebars extending from the old deck slabs to the new deck slab. Therefore, the behavior of the interface must be investigated experimentally and analytically.

Several tests have been performed by many researchers [3-9] to examine the behavior of the interface by conducting the direct-shear test and push-out test. The results indicated that the ultimate shear strength of the interface is determined by the strength of concrete, roughness degree and friction coefficient, and the normal compressive stress can increase the ultimate shear strength. It is also reported that the failure mode is a shear failure of the interface between the old and new concretes. Nevertheless, literature information concerning the behavior of the interface subjected to concentrated load was view.

Therefore, this study aims to investigate the behavior of the interface between the old and new deck slabs subjected to concentrated load. The parameter was the roughness degree of the interface which was varied into rough and smooth surface. The crack pattern, load-

Fig. 1. The new prestressed concrete (PC) box girder widening technique

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displacement response, joint opening, ultimate capacity was examined. Finally, the experimental ultimate capacities were compared with those given by JSCE Specification [10] and fib Model Code 2010 [11].

2 Experimental programs

2.1 Test specimens

Figure 2 shows the details of the specimens. The slabs were scaled down according to half scale model with the geometrical parameters were 1500 mm long, 1225 mm wide and 100 mm thick. Each specimen consists of two parts and is cast at different times. The old slab is cast first followed by the new slab after seven days. The surface roughness was varied into rough (SL-P1.0) and smooth surface (SL-Smooth). To reproduce the rough surface, the old slab was intentionally roughened by using the retarder at the day before casting and spraying with high pressure water after de-molding. Meanwhile, to reproduce the smooth surface, new concrete was cast directly against old concrete without roughness treatment.

2.2 Material properties

The compressive strength of the old and new deck slabs was 50 MPa. The compressive strength ($f'_c$) and splitting tensile ($f_t$) tests were conducted on the day of slab testing and the results are listed in Table 1. The D16 rebars ($A_s=198.6 \text{ mm}^2$) and D10 rebars ($A_s= 71.33 \text{ mm}^2$) having yield strength of 386.0 MPa and 392.8 MPa were used in the upper and lower longitudinal rebars, respectively. Meanwhile, the D6 rebars ($A_s=31.67 \text{ mm}^2$) having yield strength of 345.0 MPa were used in the upper and lower transverse rebars, respectively. The PC sheath with the inner diameter of 31 mm was installed inside the concrete to pass through the PC rod. The space remaining inside the PC sheath was around 10 mm and it was sufficient to prevent the friction between the PC rod and concrete during the loading tests.

2.3 Procedures of fabrication

Figure 3 illustrates the procedures of fabrication. First, the formwork was fabricated and subsequently, the PC sheet and the reinforcement were arranged into the formwork (Fig. 3a). To obtain the rough surface, the wooden formwork of the old deck slab was coated using the retarder on the day before casting (Fig. 3b). Subsequently, the old deck slab part was cast as shown in Fig. 3c. After de-molding, the concrete surface was sprayed with high pressure water to remove the outer sand and anhydrate mortar (Fig. 3d). In the next step, the reinforcement for the new deck slabs was arranged (Fig. 3e). Then, the new deck slab part was cast as shown in Fig. 3f.

2.4 Instrumentation and test setup

Figure 4 shows the location of the transducers and $\pi$-gauges. Transducers were used to measure the deflections beneath the deck slabs and $\pi$-gauges were used to measure the joint opening, especially under the

| Specimen     | $\sigma_i$ (MPa) | $f'_c$ (MPa) | $f_t$ (MPa) |
|--------------|-----------------|--------------|-------------|
| Old slab     | 1.0             | 52.4         | 3.8         |
| New slab     | 47.2            | 3.4          |             |
| Old slab     | 53.4            | 3.7          |             |
| New slab     | 56.5            | 3.9          |             |

$\sigma_i$: initial prestress level at the interface between the old and new deck slabs; $f'_c$: compressive strength of concrete and $f_t$: tensile strength of concrete

Fig. 2. Deck slab layout and reinforcement details.

Fig. 3. Fabrication procedures of specimen

Fig. 4. Location of transducers and $\pi$-gauges.
loading. Several strain gauges were also attached to the steel bars and PC rods.

Before testing, the slabs were prestressed using two unbonded PC rods and anchored at both ends of the slabs. After that, the slabs were restrained at the supporting steel beams and fixed with high strength steel bolts along the three edges as shown in Fig. 5. Similar test methods were conducted by previous researchers [12,13]. To achieve level surfaces, a thin layer of gypsum was used at the interface between the supports and the slab. After that, a static loading was applied by a hydraulic actuator with a maximum load of 3000 kN. The magnitude of the load was measured using a load cell and the data was recorded using data logger. The loading surface was 100x 250 mm rectangular loading plate. This loaded area is determined from the half-scale of the footprint for the truck single-wheel load of 100 kN as specified by AASHTO LRFD 2007 [14].

3 Results and discussions

3.1. Crack pattern

Figures 6a and b shows the crack patterns of SL-P1.0 (rough) and SL-Smooth (smooth). The solid and dashed lines express the cracks on the top and bottom surfaces of the slabs, respectively. Generally, the crack pattern consisted of the flexural cracks, tensile cracks and crack at the interface. However, two significant differences were observed in this study. These differences were explained below.

First is the propagation of the flexural cracks on the bottom surface of the slab. In SL-P1.0 with rough surface, the flexural cracks can pass through the interface and continued to the new deck slab. On the other hand, in SL-Smooth with smooth surface, the flexural cracks in the old deck slab cannot pass through the interface. Second is the propagation of the crack at the interface. In SL-P1.0 (rough surface), the crack at the interface only concentrated around the loading point (Fig. 6a). But in SL-Smooth (smooth surface), the crack at the interface extended from the loading point to the entire length of the interface (Fig. 6b).

Therefore, it was highlighted that the propagation of the crack at the interface was highly affected by the roughness of the interface. This is because the rough surface had sufficient shear strength and on the other hand, the smooth surface had not sufficient shear strength to transfer load to the adjacent deck slab (new deck slab).

3.2. Load-deflection responses

The load-deflection responses are shown in Fig. 7. The displacements reported in this figure are the measured deflection under the loading point (D3 transducer in Fig. 4). It was observed that the stiffness of the load-deflection curves did not decrease significantly after the first joint opening occurred (Pcr). A significant difference was observed at the load of 125 kN because the shear slip occurred in SL-Smooth. At failure, SL-Smooth showed higher deflection (27.7 mm) compared with that of SL-P1.0 (22.9 mm). Since the smooth surface in SL-Smooth, the interface produced smaller friction stress and aggregate interlock to resist the interface shear stress due to the applied load.
It was seen that the joint opening of SL-P1.0 and SL-Smooth were 1.2 mm and 1.0 mm, respectively (Fig. 6a) while in SL-Smooth, the joint opening was propagated into the whole of the interface (Fig. 6b). To explain the above behavior, the responses of load-concrete strain at the top fiber of the interface are presented in Fig. 9. The strain gauge was attached perpendicular to the interface, exactly at 137.5 mm from the slab center. Obviously, the top fiber of the interface tended to be compressed from the beginning of the load up to 125 kN. After that, the shear resisting mechanism of the interface significantly changed. When the interface became rough, the top fiber of the interface was still able to form the compression fiber until the failure. However, when the interface became smooth, the compressive force gradually reduced and turned into tension. Hence, the joint opening propagated along the smooth interface and finally produced a significant shear slip at the interface as it was shown in Fig. 10.

### 3.3. Load-joint opening responses

The responses of load versus joint opening are presented in Fig. 8. It was seen that the joint opening of SL-P1.0 was almost similar with SL-Smooth until the first joint opening load \( P_{cr} \), which occurred at 63 kN and 55 kN, respectively. Beyond the \( P_{cr} \), the difference between SL-P1.0 and SL-Smooth could be clearly observed, whereas the magnitude of the joint opening of SL-P1.0 at the same load level was greater than that of SL-Smooth. For instance, at the load of 125 kN, the measured joint openings in SL-P1.0 and SL-Smooth were 1.2 mm and 1.0 mm, respectively (Fig. 8). This is because the joint opening in SL-P1.0 was concentrated around the loading point (Fig. 6a) while in SL-Smooth, the joint opening was propagated into the whole of the interface (Fig. 6b).

To explain the above behavior, the responses of load-concrete strain at the top fiber of the interface are presented in Fig. 9. The strain gauge was attached perpendicular to the interface, exactly at 137.5 mm from the slab center. Obviously, the top fiber of the interface tended to be compressed from the beginning of the load up to 125 kN. After that, the shear resisting mechanism of the interface significantly changed. When the interface became rough, the top fiber of the interface was still able to form the compression fiber until the failure. However, when the interface became smooth, the compressive force gradually reduced and turned into tension. Hence, the joint opening propagated along the smooth interface and finally produced a significant shear slip at the interface as it was shown in Fig. 10.

### 3.4. Ultimate capacity and failure mode

All the slabs failed in shear failure of the interface between the old and new deck slabs. The ultimate capacities of SL-P1.0 and SL-Smooth were 141 kN and 139 kN, respectively. To make it independent of the concrete strength, the obtained ultimate capacities were divided by the square root of concrete compressive strength \( P_u / \sqrt{f'_c} \). \( P_u \) is the ultimate capacity (kN) and \( f'_c \) is the concrete compressive strength (N/mm²). Thus, \( P_u / \sqrt{f'_c} \) of SL-P1.0 and SL-Smooth were 18.8 and 18.7, respectively (Fig. 11). It indicated that the effect of the surface roughness on the ultimate capacity was very slight.

The above results were contradicted by the general agreement for the concrete-to-concrete interface under direct-shear test which was conducted by Randl [15]
The different ace and consequently, the interface

\[ P_u = (\tau_c + p \tau, \sin^2 \theta - \alpha P_f, \sin \theta \cos \theta)A_s + P_s \]  

(1)

\[ \tau_c = \mu f'((a p f_y - \sigma_f) / \alpha) \]  

(2)

\[ \tau_c = 0.08 f_y / \alpha \]  

(3)

\[ \alpha = 0.75 (1 - 10(p - 1.7\sigma_y / f_y)) \]  

(4)

where \( p \): reinforcement ratio \((p=A_s/A_c)\), \( A_s \): area of reinforcement crossing the interface, \( A_c \): area of the interface, \( \theta \): angle between interface and reinforcement at the interface \((\theta = 90^\circ\) in this study), \( f_y \): yield strength of reinforcement, \( P_s \): shear capacity of shear key \((P_f=0\) in this study), \( \mu \): coefficient of friction \((\mu=0.45\) in this study), \( \sigma_y \): average normal compressive stress acting on the interface, and \( b \): coefficient representing configuration of planes \((\text{For segmental joint}, b=0.5)\).

In \(\text{fib} \) MC2010, the shear resistance of the interface \( \tau_s \) was evaluated by the following equation:

\[ \tau_s = \tau_c + \mu \left( \sigma_y + k_i p f_y \right) + k_d \sqrt{f_{\text{cubed}}} \leq b v f_{\text{cubed}} \]

(5)

where \( \tau_c \): interlocking strength \((0.5-1.5\) for smooth, and \(1.5-2.5\) for rough), \( \mu \): coefficient of friction \((0.5-0.7\) for smooth and \(0.7-1.0\) for rough), \( k_i \): interaction factor of reinforcement due to simultaneous bending of rebars \((0.5), k_d \): interaction factor for the dowel action \((0.9\) for smooth and \(1.1\) for rough), \( f_{\text{cubed}} \): cube compressive strength \((f_{\text{cubed}} = f_{\text{cylinder}} / 0.85), \beta \): coefficient allowing for angle of diagonal concrete strut \((0.5\) for smooth and \(0.4\) for rough), \( v \): reduction factor for strength of

4 Comparison experiment and guidelines

Since the experimental failure mode was a shear failure at the interface, the experimental shear capacities were compared with predicted shear capacities using JSCE Specification 2007 [10] and \(\text{fib} \) Model Code 2010 [11].

Based on JSCE Specification, the design capacity for shear transfer \( P_u \) was computed using the following equations:

\[ P_u = \left( \tau_c + p \tau, \sin^2 \theta - \alpha P_f, \sin \theta \cos \theta \right) A_s + P_s \]

(1)

\[ \tau_c = \mu f'((a p f_y - \sigma_f) / \alpha) \]

(2)

\[ \tau_c = 0.08 f_y / \alpha \]

(3)

\[ \alpha = 0.75 (1 - 10(p - 1.7\sigma_y / f_y)) \]

(4)

where \( p \): reinforcement ratio \((p=A_s/A_c)\), \( A_s \): area of reinforcement crossing the interface, \( A_c \): area of the interface, \( \theta \): angle between interface and reinforcement at the interface \((\theta = 90^\circ\) in this study), \( f_y \): yield strength of reinforcement, \( P_s \): shear capacity of shear key \((P_f=0\) in this study), \( \mu \): coefficient of friction \((\mu=0.45\) in this study), \( \sigma_y \): average normal compressive stress acting on the interface, and \( b \): coefficient representing configuration of planes \((\text{For segmental joint}, b=0.5)\).

In \(\text{fib} \) MC2010, the shear resistance of the interface \( \tau_s \) was evaluated by the following equation:

\[ \tau_s = \tau_c + \mu \left( \sigma_y + k_i p f_y \right) + k_d \sqrt{f_{\text{cubed}}} \leq b v f_{\text{cubed}} \]

(5)

where \( \tau_c \): interlocking strength \((0.5-1.5\) for smooth, and \(1.5-2.5\) for rough), \( \mu \): coefficient of friction \((0.5-0.7\) for smooth and \(0.7-1.0\) for rough), \( k_i \): interaction factor of reinforcement due to simultaneous bending of rebars \((0.5), k_d \): interaction factor for the dowel action \((0.9\) for smooth and \(1.1\) for rough), \( f_{\text{cubed}} \): cube compressive strength \((f_{\text{cubed}} = f_{\text{cylinder}} / 0.85), \beta \): coefficient allowing for angle of diagonal concrete strut \((0.5\) for smooth and \(0.4\) for rough), \( v \): reduction factor for strength of
Table 2. Comparison of experiment and calculation.

| Specimen        | $A_{eff}$ (mm$^2$) | JSCE      | fib MC2010 |
|-----------------|--------------------|-----------|------------|
|                 | $P_{cal}$ (kN)     | $P_{exp}$ | $P_{cal}$ | $P_{exp}$/  |
| SL-P1.0         | 25 000             | 135.6     | 1.04       | 129.9       | 1.07 |
| SL-Smooth       | 30 000             | 128.1     | 1.09       | 133.2       | 1.05 |
| Mean            |                    |           |            |            | 1.06 |
| Standard deviation |                  |           |            |            | 0.03 |
| Coef. of Var.(C.V.) |                |           |            |            | 3.1  |

$A_{eff}$: effective area of the interface; $P_{exp}$: ultimate capacity from experiment; $P_{cal}$: ultimate capacity from calculation

diagonal concrete strut, which is calculated by Eq. (6) below.

$$v = 0.55 \left( \frac{30}{f'_{c,con}} \right)^{1/3} \leq 0.55 \quad (6)$$

It must be noted that the design guidelines assume the area of the interface as the total area of the interface ($A_{eff}$=150,000 mm$^2$ in this study). According to the discussions in Fakhruddin et al. [3], the area of the interface should not be calculated using the total area of the interface but should be determined as the effective area ($A_{eff}$) as presented in Fig. 13. The $A_{eff}$, the total cross-section area of transverse rebars which have yielded at the failure ($A_{f}$), and ultimate prestressing levels ($\sigma_{e}$) are tabulated in Table 2.

Eventually, the predicted ultimate capacities using JSCE Specification and fib MC2010 are listed in Table 2. The results demonstrated that all the selected design guidelines provided a good lower limit for the experimental ultimate capacities. JSCE Specification gave $P_{exp}/P_{cal}$ that varied from 1.04 to 1.09 with a coefficient of variation (C.V.) of 3.1% and fib MC2010 gave $P_{exp}/P_{cal}$ ratio that varied from 1.05 to 1.07 and C.V. of 3.4%.

5 Conclusions

1. The roughness of the interface directly affects the first joint opening load, the joint opening at the same load level, and the deflection at the failure. The higher the roughness of a particular surface, the higher the first joint opening load and the joint opening at the same load level; and on the other hand, the smaller the deflection at the failure can be observed.

2. The variation in surface roughness of the interface does not show any remarkable influence on the ultimate capacity as long as the amount of prestressing force is sufficiently introduced to the interface. An obvious difference is only observed just before the failure, in which the shear slip occurs on the smooth surface.

3. If the prestressed concrete (PC) deck slab was supported on three-sides and subjected to a concentrated load immediately adjacent to the interface, the variation of the surface roughness does not show any remarkable influence on the ultimate capacity.

4. The effective area of the interface for the widening PC deck slabs having the rough and smooth surfaces was proposed in this study. By using the effective area of the interface instead of the total area, it has been confirmed that predicted shear capacity obtained from the guidelines provided a good lower limit for the experimental ultimate capacity.

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