Abstract

We present an unsupervised representation learning approach using videos without semantic labels. We leverage the temporal coherence as a supervisory signal by formulating representation learning as a sequence sorting task. We take temporally shuffled frames (i.e., in non-chronological order) as inputs and train a convolutional neural network to sort the shuffled sequences. Similar to comparison-based sorting algorithms, we propose to extract features from all frame pairs and aggregate them to predict the correct order. As sorting shuffled image sequence requires an understanding of the statistical temporal structure of images, training with such a proxy task allows us to learn rich and generalizable visual representation. We validate the effectiveness of the learned representation using our method as pre-training on high-level recognition problems. The experimental results show that our method compares favorably against state-of-the-art methods on action recognition, image classification and object detection tasks.

1. Introduction

In recent years, Convolutional Neural Networks (CNNs) [17] have demonstrated the state-of-the-art performance in visual recognition tasks. The success of CNNs is primarily driven by millions of manually annotated data such as the ImageNet [4]. However, this substantially limits the scalability to new problem domains because manual annotations are often expensive and in some cases scarce (e.g., labeling medical images requires expertise). In contrast, a vast amount of free unlabeled images and videos are readily available. It is of great interest to explore strategies for representation learning by leveraging unlabeled data.

A new unsupervised learning paradigm has recently emerged as self-supervised learning [31, 41]. Within the context of deep neural networks, the key idea is to leverage the inherent structure of raw images and formulate a discriminative or reconstruction loss function to train the network. Examples include predicting the relative patch positions [6], reconstructing missing pixel values conditioned on the known surrounding [29], or predicting one subset of the data channels from another (e.g., predicting color channels from a gray image) [19, 42, 43]. Compared to image data, videos potentially provide much richer information as they not only consist of large amounts of image samples but also provide scene dynamics. Recent approaches explore unlabeled video data to learn feature representation through egomotion [2, 13], order verification [24, 8], tracking [41], and future frame prediction [23]. While these surrogate tasks do not directly use semantic labels, they provide effective supervisory signals as solving these tasks requires the semantic understanding of the visual data.

In this paper, we propose a surrogate task for self-supervised learning using a large collection of unlabeled videos. Given a tuple of randomly shuffled frames, we train a neural network to sort the images into chronological or-
Can you sort these?

Figure 2: Example tuples. The examples shown here are automatically extracted tuples. Can you sort these shuffled frames? The answer is yes. By reasoning the relative poses using the knowledge of “how a person moves”, we can predict the chronological order of the frames.

der, as shown in Figure 1. The sequence sorting problem provides strong supervisory signals as the network needs to reason and understand the statistical temporal structure of image sequences. We show several examples of shuffled frames in Figure 2. Our key observation is that we often unconsciously compare all pairs of frames to reason the chronological order of the sequence (as in comparison-based sorting methods). In light of this, we propose an Order Prediction Network (OPN) architecture. Instead of extracting features from all frames in a tuple simultaneously, our network first computes features from all the pairwise frames and fuses them for order prediction.

We conduct extensive experimental validation to demonstrate the effectiveness of using sequence sorting for representation learning. When used as a pre-training module, our method outperforms state-of-the-art approaches on the UCF-101 [36] and HMDB-51 [18] action benchmark datasets. While our model learns features from human action videos, we also demonstrate the generalizability for generic object classification and detection tasks, and show competitive performance on the PASCAL VOC 2007 dataset [7] when compared with the state-of-the-arts.

We make the following contributions in this work:
1) We introduce sequence sorting as a self-supervised representation learning approach using unlabeled videos. While feature learning based on sequence order has been exploited recently [24, 8, 14], our sorting formulation is much richer than the binary verification counterparts [24].

2) We propose an Order Prediction Network architecture to solve the sequence sorting task by pairwise feature extraction. Quantitative results show that the proposed architecture provides significant performance improvement over the straightforward implementation.

3) We show that the learned representation can serve as a pre-trained model. Using less than 30,000 videos for unsupervised training, our model performs favorably against existing methods in action recognition benchmark datasets, and achieve competitive performance in classification and detection on the PASCAL VOC 2007 dataset.

2. Related Work

Unsupervised learning from static images. While CNNs have shown dominant performance in high-level recognition problems such as classification and detection, training a deep network often requires millions of manually labeled images. The inherent limitation from the fully supervised training paradigm highlights the importance of unsupervised learning to leverage vast amounts of unlabeled data. Unsupervised learning has been extensively studied over the past decades. Before the resurgence of CNNs, hand-craft features such as SIFT and HOG have been used to discover semantic classes using clustering [32, 35], or mining discriminative mid-level features [34, 5, 38]. With deep learning techniques, rich visual representations can be learned and extracted directly from images. A large body of literature focuses on reconstruction-based learning. Inspired from the original single-layer auto-encoders [27], several variants have been developed, including stack layer-by-layer restricted Boltzmann machines (RBMs), and auto encoders [3, 10, 20].

Another line of unsupervised learning is known as self-supervised learning. These methods define a supervisory signal for learning using the structure of the raw visual data. The spatial context in an image provides a rich source of supervision. Various existing approaches leverage spatial context for self-supervision, including predicting the relative patch positions [6], solving jigsaw puzzles [26], and inpainting missing regions based on their surrounding [29]. Another type of cue is through cross-channel prediction, e.g., image colorization [19, 42] and split-brain auto-encoders [43]. In addition to using only individual images, several recent directions have been explored by grouping visual entities using co-occurrence in space and time [12], using graph-based constraints [21], and cross-modal supervision from sounds [28]. Our work is similar to context-based approaches [6, 26, 29]. Instead of using spatial context of images, in this work we investigate the use of temporal context in videos.

Unsupervised learning from videos. The explosive increase of easily available videos on the web, like YouTube, presents an opportunity as well as several challenges for learning visual representations from unlabeled videos. Compared to images, videos provide the advantage of having an additional time dimension. Videos provide examples of appearance variations of objects over time. We can broadly categorize the unsupervised learning methods using...
videos into two groups. The first group focuses on frame reconstruction tasks, e.g., future frame prediction [37], frame interpolation [22], and video generation [39]. The second group learns feature representation by leveraging appearance variations presented in videos. Examples include enforcing the temporal smoothness of representation throughout a video [25, 14], applying tracking to capture appearance variation of moving objects [41], learning transformation in ego-motion videos [13, 2], verifying the order of input sequence [24, 8], and the transformation between color and optical flow [30].

The work most related to our method is that of [24, 8]. Similar to Misra et al. [24], our method makes use of the temporal order of frames as the source of supervision. However, instead of verifying correct/incorrect temporal order (i.e., binary classification), our supervisory signals are much richer: our network needs to predict \( n! \) combinations for each \( n \)-tuple of frames. The proposed Order Prediction Network architecture also differs from the simple concatenation in [24]. The Order Prediction Network first extracts pairwise features and subsequently fuse the information for final predictions. Our quantitative results demonstrate performance improvement using the proposed design. Fernando et al. [8] exploit a similar notion of order verification to learn video representation. However, their approach takes as input a stack of frame differences and does not learn image representations. In contrast, our model can be used for both video understanding (e.g., action recognition) as well as image understanding (e.g., classification and detection) problems (as we show in Section 4).

3. Feature Learning by Sequence Sorting

Our goal is to capitalize the large quantity of unlabeled videos for feature learning. We propose to use sequence sorting as a surrogate task for training a CNN. Our hypothesis is that successfully solving the sequence sorting task will allow the CNN to learn useful visual representation to recover the temporal coherence of video by observing how objects move in the scene.

Specifically, we use up to four randomly shuffled frames sampled from a video as our input. Similar to the jigsaw puzzle problem in the spatial domain [26], we formulate the sequence sorting problem as a multi-class classification task. For each tuple of four frames, there are \( 4! = 24 \) possible permutations. However, as some actions are both coherent forward and backward (e.g., opening/closing a door), we group both forward and backward permutations into the same class (e.g., 24/2 classes for four frames). This forward-backward grouping is conceptually similar to the commonly used horizontal flipping for images. In the fol-
We describe two important factors in our approach: (1) training data sampling (Section 3.1) and (2) network architecture (Section 3.2).

### 3.1. Training data sampling

Preparing training data is crucial for self-supervised representation learning. In the proposed sequence sorting task, we need to balance the level of difficulty. On the one hand, sampling tuples from static regions produces nearly impossible tasks for the network to sort the shuffled sequence. On the other hand, we need to avoid the network picking up low-level cues to achieve the task. We describe three main strategies to generate our training data in this section.

**Motion-aware tuple selection.** We use the magnitude of optical flow to select frames with large motion regions similar to [24]. In addition to using optical flow magnitude for frame selection, we further select spatial patches with large motion. Specifically, for video frames in the range \([t_{\text{min}}, t_{\text{max}}]\), we use sliding windows to mine frame tuple \(\{t_a, t_b, t_c, t_d\}\) with large motion, as illustrated in Figure 4(a).

**Spatial jittering.** As the previously selected tuples are extracted from the same spatial location, simple frame alignment could potentially be used to sort the sequence. We apply spatial jittering for each extracted patch to avoid the trivial cases (see Figure 4(b)).

**Channel splitting.** To avoid the network from learning low-level features without semantic understanding, we apply channel splitting on the selected patches, as shown Figure 4(c). For each frame in a tuple, we randomly choose one channel and duplicate the values to other two channels. The effect is similar to using a grayscale image (as done in [41]). However, the use of channel splitting imposes additional challenges for the network compared with using grayscale images because grayscale images are generated from a fixed linear combination of the three color channels. We validate all design choices in Section 4.3.

### 3.2. Order Prediction Network

The proposed OPN has three main components: (1) frame feature extraction, (2) pairwise feature extraction, (3) order prediction. Figure 3 shows the architecture in the case of 4-tuple.

**Frame feature extraction.** Features for each frame (\(f_{c6}\)) are encoded by convolutional layers. We use a Siamese architecture where all the branches share the same parameters.

**Pairwise feature extraction.** A straightforward architecture design for solving the order prediction problem is to concatenate either \(f_{c6}\) or \(f_{c7}\) features for the frames and use the concatenation as the representation of the input tuple. However, such “taking one glimpse at all frames” approach may not capture the concept of ordering well. Therefore, inspired from comparison-based sorting algorithms, we propose to perform pairwise feature extractions on extracted features. Specifically, we take the \(f_{c6}\) features from every pair of frames for extractions. For example, in Figure 3, the layer7-(1, 2) provides information of the relationship of the first and second frames.

**Order prediction.** The final order prediction is then based on the concatenation of all pairwise feature extractions after one fully connected layer and softmax function.

### 3.3. Implementation details

We implement our method and conduct all experiments using the Caffe toolbox [15]. We use the CaffeNet [15], a slight modification of AlexNet [17], as our architecture for convolutional layers. For the sake of efficiency, our network takes \(80 \times 80\) patches as inputs. It dramatically reduces the number of parameters and training time. Our network has only 5.8M parameters up to \(f_{c7}\), compared to the 58.2M parameters used in AlexNet. As the architecture using feature
concatenation have 9M parameters, the performance gain of OPN does not come from the number of parameters.

We use stochastic gradient descent with a momentum of 0.9 and a dropout rate of 0.5 on fully connected layers. We also use batch normalization [11] on all layers. We extract 280k tuples from the UCF-101 dataset as our training data. To train the network, we set the batch size as 128 and the initial learning rate as $10^{-2}$. We reduce the learning rate by a factor of 10 at 130k and 350k iterations, with a total of 200k iterations. The entire training process takes about 40 hours on one Titan X GPU. All the pre-trained models and the source code are available in the project page. 

4. Experiments

In this section, we validate the effectiveness of the learned representation. First, we treat our method as an unsupervised pre-training approach to initialize models for action recognition (Section 4.1), image classification, and object detection (Section 4.2). Second, we conduct an ablation study to quantify the contributions from individual components of our approach (Section 4.3). Third, we visualize the low-level filters and high-level activations (Section 4.4).

Below we describe the variants of our model:
- **binary**: Order verification similar to [24].
- **3-tuple**: Takes a tuple of 3 frames as input and predicts $3! / 2 = 3$ classes.
- **4-tuple**: Take a tuple of 4 frames as input and predicts $4! / 2 = 12$ classes.
- **Concat**: Prediction order from the concatenation of $fc6$ features after two fully connected layers.

### 4.1. Action recognition

We use our approach as a pre-training method on the action recognition datasets. We compare our model with Misra et al. [24] and Fernando et al. [8] which learn features by verifying the order correctness, Purushwalkam et al. [30] which views optical flows features as transformation between RGB features, and Vondrick et al. [39] which applies GAN to generate videos.

#### Datasets

We use the three splits of the UCF-101 [36] and HMDB-51 [18] action recognition datasets to evaluate the performance of our unsupervised pre-trained network. The UCF-101 dataset consists of 101 action categories with about 9.5k videos for training and 3.5k videos for testing. The HMDB-51 dataset consists of 51 action categories with about 3.4k videos for training and 1.4k videos for testing. We evaluate the classification accuracy on both datasets.

#### Results

After training with unlabeled videos from UCF-101, we fine-tune the model using the labeled videos. Table 1 and Table 2 shows the results on the UCF-101 and HMDB-51 datasets, respectively. Overall, the quantitative

| Initialization | CaffeNet | VGG-M-2048 |
|---------------|----------|------------|
| random        | 47.8     | 51.1       |
| ImageNet      | 67.7     | 70.8       |

Table 1: Mean classification accuracy over the three splits of the UCF-101 dataset. 

| Method | unsupervised | supervised | UCF | HMDB |
|--------|--------------|------------|-----|------|
| OPN    | RGB | Diff | 71.8 | 36.7 |
| OPN    | Diff | Diff | 71.4 | 37.5 |

Table 3: Comparison with O3N [8]. The baseline is not the same because O3N uses stacks of frame differences (15 channels) as inputs. To use a similar setting, we take single frame difference (Diff) as inputs and initialize the weights with models trained on RGB and Diff features.

results show that more difficult tasks provide stronger semantic supervisory signals and guide the network to learn more meaningful features. The OPN obtains 57.3% accuracy compared to 52.1% of from Vondrick et al. [39] on the UCF-101 dataset. To compare with [30], we also train

1. [http://vllab1.ucmerced.edu/~hylee/OPN/](http://vllab1.ucmerced.edu/~hylee/OPN/)
The PASCAL VOC 2007 [7] dataset has 20 object classes and contains 5,011 images for training and 4,952 images for testing. We train our model using the UCF-101, HMDB-51, and ACT [40] datasets. For both tasks we use the same fine-tuning strategy described in Krähenbühl et al. [16] without the rescaling method. We use the CaffeNet architecture and the Fast-RCNN [9] pipeline for the detection task. We evaluate all algorithms using the mean average precision (mAP) [7]. Since our fully connected layers are different from the standard network, we copy only the weights of the convolutional layers and initialize the fully connected layers from a Gaussian distribution with mean 0 and standard deviation 0.005. For a fair comparison with existing work, we train and test our models without using batch normalization layers.

### Results

Table 4 lists the summary of methods using static images and method using videos. While our performance is competitive, methods trained with ImageNet perform better than that using videos. We attribute this gap to the fact that the training images are object-centric while our training videos are human-centric (and thus may not contain diverse appearance variations of generic objects). Among the methods using videos, our method shows competitive performance to [41]. However, our method requires considerably less training time and less number of training videos.

### 4.3. Ablation analysis

We evaluate the effect of various design choices on the split 1 of the UCF-101 dataset. We first perform unsupervised pre-training using the videos from the training set. The learned weights are then used as the initialization for the supervised action recognition problem.

### Motion

We select our training tuples according to the magnitude of optical flow. To demonstrate the necessity of this step, we compare it with randomly selecting frames from a video. We also use the optical flow direction as a further restriction. Specifically, the motion in the selected interval must remain in the same direction. Table 5 shows the results of how these tuple selection methods affect the final performance. Using random selection degrades the performance because the training data contain many similar patches that are impossible to be sorted (e.g., static re-

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**Table 4: Results of the Pascal VOC2007 classification and detection datasets.**

| Method                | Pretraining time | Source       | Supervision | Classification | Detection |
|-----------------------|------------------|--------------|-------------|----------------|-----------|
| Krizhevsky et al. [17] | 3 days           | ImageNet     | labeled classes | 78.2           | 56.8      |
| Doerch et al. [6]     | 4 weeks          | ImageNet     | context     | 55.3           | 46.6      |
| Pathek et al. [29]    | 14 hours         | ImageNet+StreetView | context | 56.5           | 44.5      |
| Norrozi et al. [26]   | 2.5 days         | ImageNet     | context     | 68.6           | 51.8      |
| Zhang et al. [43]     | -                | ImageNet     | reconstruction | 67.1          | 46.7      |
| Wang and Gupta (color) [41] | 1 weeks | 100k videos, VOC2012 | motion | 58.4           | 44.0      |
| Wang and Gupta (grayscale) [41] | 1 weeks | 100k videos, VOC2012 | motion | 62.8           | **47.4** |
| Agrawal et al. [2]    | -                | KITTI, SF    | motion     | 52.9           | 41.8      |
| Misra et al. [24]     | -                | < 10k videos | motion     | 54.3           | 39.9      |
| Ours (OPN)            | < 3 days         | < 30k videos | motion     | 63.8           | **46.9** |
Table 5: Comparison of different sampling strategies. Motion uses the magnitude of optical flow for patches selection. Direction further restricts the monotonicity of optical flow direction in selected tuples. The results show that Direction oversimplifies the problems and thus degrades the performance.

| Strategy       | Action Recognition (%) |
|----------------|------------------------|
| Random         | 47.2                   |
| Motion         | 57.3                   |
| Motion+Direction | 52.6                 |

Table 6: Comparison of using different patch sizes. Using 80 × 80 patches has advantages in all aspects.

| Patch size | #Parameters | Training time | Action Recognition (%) |
|------------|-------------|---------------|------------------------|
| 80         | 5.8M        | 1x            | 57.3                   |
| 120        | 7.1M        | 1.4×          | 55.4                   |
| 224        | 14.2M       | 2.2×          | 51.9                   |

We also observe that adding the direction constraint does not help. The direction constraint eliminates many tuples with shape deformation (e.g., pitching contains motions in reverse direction). The network thus is unable to learn meaningful high-level features.

**Patch size.** We experiment with different patch sizes for training the network. Due to the structure of fully connected layers, the patch size selection significantly affects the number of parameters and the training time. Table 6 shows the comparison among using patch size 80 × 80, 120 × 120, and the entire image. The results show that using 80 × 80 patches has an advantage in terms of the number of parameters, training time, and most importantly, the performance. One potential reason for the poor performance of using larger patches might be the insufficient amount of video training data.

**Spatial jittering.** Analogous to the random gap used in the context prediction task [6] and puzzle-solving task [26], we apply spatial jittering to frames in a tuple to prevent the network from learning low-level statistics. In practice, we apply random shift of [−5, 5] pixels to bounding boxes in both horizontal and vertical directions. Table 7 shows the applying spatial jittering does further help the network to learn better features.

**Channel splitting.** To further prevent the network from learning trivial features, we reduce the visual clues from color. The most intuitive way is to use the grayscale image. However, grayscale images are generated from a fixed linear combination of the three color channels. To mitigate the effect of color, we randomly choose one representative channel for every frame in a tuple, called channel splitting (Split). We also explore the other two strategies: Swap randomly swaps two channels, and Drop randomly drops one or two channels. Figure 5 shows the gains of using the proposed channel splitting over other alternative strategies.

**Pairwise feature extraction.** We show the effect of the pairwise feature extraction stage as well as the performance correlation between the sequence sorting task and action recognition. We evaluate the order prediction task on a held-out validation set from the automatically sampled data. Table 8 shows the results. For both 3-tuple and 4-tuple cases, models with the pairwise feature extraction perform better than models with simple concatenation on both order prediction and action recognition tasks. The improvement of the pairwise feature extraction over concatenation is larger on 4-tuple than on 3-tuple due to the increased level of difficulty for the order prediction task.

**Number of training videos.** We demonstrate the scalability and potential of our method by comparing the performance of using a different amount of videos for unsupervised learning.
Figure 6: **Performance comparison using a different amount of videos.** The results show a steady performance improvement when training with more videos. We also show that the unsupervised pre-training offers significant advantages over random initialization.

![Graph](image)

(a) Action recognition (b) Classification

Figure 7: **Visualization of Conv1 filters.** Filters in (a)(b) are trained on the UCF-101 dataset in an unsupervised manner. Filters in (c) are fine-tuned from filters in (a) on the UCF-101 dataset with supervision, while filters in (d) are trained from scratch. Note that those “color patch” filters are usually not desirable because they tend to make the further fine-tuning stuck at a bad initialization.

![Filters](image)

(a) With channel splitting (b) With RGB frames

(c) With channel splitting, fine-tuned on UCF-101 (d) Random initialization, trained on UCF-101

Figure 8: **Activation of Pool5 units.** Each row lists the top 5 patches that activate a specific unit from the VOC dataset. While we train the network on the UCF-101 dataset without using any manual annotations, the pool5 feature activations correspond to human head (1st and 2nd rows) and object parts (3rd and 4th rows).

![Activation](image)

5. Conclusions

In this paper, we present an unsupervised representation method through solving the sequence sorting problem (sorting a shuffled sequence into a chronological order). We propose an Order Prediction Network architecture to facilitate the training. Using our approach as pre-training, we demonstrate improved performance over state-of-the-art methods on the UCF-101 and HMDB-51 datasets. We also show the competitive generalization ability on classification and detection tasks. While promising results have been shown, there is still a performance gap between the unsupervised pre-training and the supervised pre-training methods. We believe that modeling the long-term evolution in videos (e.g., combining with a recurrent neural network) is a promising future direction.

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