Dynamic simulation of the tractor HMCVT under typical working conditions based on AMESim

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Abstract: In order to study the dynamic characteristics of hydro-mechanical continuously variable transmission (HMCVT) under ploughing and sowing conditions, a complete simulation model of HMCVT is established based on AMESim software, including mechanical transmission model, pump controlled hydraulic motor speed control model and section changing hydraulic system model. In addition, the dynamic model of tractor is established. In order to verify the correctness of the simulation model, a test-bed is established. The test of tractor running speed and the test of pump controlled hydraulic motor system were carried out on the test-bed. The test results show that the simulation model of pump control hydraulic system can correctly reflect the change of transmission ratio of pump controlled hydraulic motor, and the simulation model can reflect the actual working condition change of clutch. Thus, the correctness of the previous simulation model based on AMESim is verified. Based on the simulation model established by AMESim, the dynamic characteristics of HMCVT under ploughing and sowing conditions are studied. The results show that: Under ploughing condition, the planetary plateon will have strong impact at the moment of throttle opening and changing section. Under sowing condition, the HMCVT will have a great impact at the time of variable cross section, but the variation range of rodent force decreases and the changing trend tends to be stable.

Keywords: tractor, HMCVT, AMESim, dynamics simulation, test

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1 Introduction

Tractor as the power traction device of agricultural machinery, its power presents a growing trend\(^4\). At the same time, the working environment of the tractor is harsh and the operating conditions are complex and changeable\(^2\). In order to meet the requirements of driving speed and load under various working conditions, the gearbox of high-power tractors often needs to set many working gears. For the traditional step gearbox, the increase of gear can meet the working reliability and smoothness of high-power tractor under different working conditions, but too many gears make the gearbox structure extremely complex and the production costs become higher\(^3\), and the manual shift operation is difficult, which also seriously limits the performance of high-power tractor\(^5\). In the continuously variable transmission, the hydro-mechanical continuously variable transmission (HMCVT), which realizes continuously variable transmission through parallel connection of hydraulic system and mechanical system, inherits the advantages of hydraulic continuously variable transmission and mechanical transmission with high efficiency\(^6\), and is gradually recognized in the industry and applied in European and American agricultural machinery\(^7\).

The hydro mechanical transmission system is composed of six parts: engine power input, power split mechanism, mechanical flow transmission system, hydraulic flow transmission system, power convergence mechanism and rear drive power output. The total power of the hydraulic mechanical transmission system is input from the engine, and then the mechanical power flow and hydraulic power flow are formed after the fixed shaft gear pair of the power distribution mechanism is distributed. The two power flows are coupled by the planetary gear mechanism at the power convergence mechanism and then output power through the power output device.

Figure 1 Principle diagram of the hydro-mechanical transmission system

In the design of High-power Tractor HMCVT, many foreign enterprises have carried out relevant research and put it into use. German RENK company has developed Audi100 proportional HMCVT, with a common ratio of 1.68, it has high efficiency and large starting torque\(^8\). Sundstrand has developed DMT-15 and...
DTM-25 series transmissions with power of 110 kW and 184 kW respectively\textsuperscript{[10,11]}. The University of Minnesota has designed a set of HMCVT with three-layer control system, which can maintain the engine at the best working point\textsuperscript{[12]}. Fendt company of Germany developed ML200 transmission, and realized the mass use in high-power tractors\textsuperscript{[13]}. Steyr of Austria has developed S-matic and ML75 transmissions, which are successfully installed on VARIO700, VARIO800 and VARIO900 Series tractors\textsuperscript{[14]}. ZF company of Germany has also developed ECCOM and cPOWER series transmissions\textsuperscript{[15,16]}. Compared with foreign countries, although there are some related researches in China, no HMCVT has been commercialized so far. Li et al.\textsuperscript{[17]} designed an HMCVT to meet the driving requirements of medium and large cotton pickers. Xu et al.\textsuperscript{[18]} built an HMCVT by using computational virtual test technology, which alleviated the problems of high cost, long cycle and test site limitation due to physical test. Based on AMESim software, Zhang et al.\textsuperscript{[19]} and others have carried out the modeling of HMCVT and passed the simulation test. Tai\textsuperscript{[20]} designed a 2×2 stage HMCVT, and used BP neural network to optimize the transmission.

But at present, the research on HMCVT is still at the initial stage. The mechanical design and parameters can be optimized by simulation\textsuperscript{[21-23]}. Through the study of dynamic characteristics, we can detect whether the mechanical design is unreasonable\textsuperscript{[24]}. Although the dynamic characteristics of HMCVT have been simulated in some works, the correctness of the simulation model has not been discussed. Besides, the dynamic characteristics of HMCVT loaded on tractor are often ignored in actual working conditions. Therefore, in order to establish a simulation model which can reflect the actual working conditions correctly, and analyze the typical working conditions of HMCVT, this paper designs the simulation model of HMCVT based on AMESim, the correctness of the simulation model is verified by the test-bed. The simulation experiments of ploughing and sowing conditions are carried out.

### 2 Construction of HMCVT dynamic model based on AMESim

The object of dynamic simulation in this paper is the HMCVT independently designed by Nanjing Agricultural University, as shown in Figure 2. This transmission scheme is a constant-ratio split-moment converging speed type\textsuperscript{[25]}. The engine power is divided into two power transmissions through the fixed-axis gear pair \( i_1 \) or \( i_2 \) (\( i_3 \) works in forward gear) and the hydraulic power distribution gear pair \( i_o \). One power is transmitted to the common sun gear shafts of planetary rows \( K_1 \) and \( K_2 \) through the variable displacement pump-\( f \)ix displacement motor, which is a hydraulic flow; and the other power is transmitted to the planet carrier \( K_1 \) and the ring gear \( K_2 \) through the fixed shaft gear pair (the planet carrier of \( K_1 \) and the gear ring of \( K_2 \) are firmly connected), which is the mechanical flow. The hydraulic flow and mechanical flow converge in the planetary row \( K_1 \) and \( K_2 \), and then the combined flow force is transmitted to ring gear of \( K_1 \) or planet carrier \( K_2 \). Finally, by separately controlling the engagement of wet clutches \( C_1 \), \( C_2 \) and \( C_3 \), the power can be transmitted to the output shaft. In this process, stepless speed regulation can be realized in each section by controlling the displacement ratio of the variable pump.

The software AMESim has a standard control system model that visually reflects the model connection and it is widely applied in fluid, mechanical, and thermal analysis\textsuperscript{[26,27]}. In this chapter, AMESim software is used to model the HMCVT.

![Figure 2: Independent designed HMCVT scheme](image)

### 2.1 Model construction of mechanical system of HMCVT

The mechanical system is composed of fixed shaft gear and planetary gear\textsuperscript{[28]}. In the simulation model, the fixed shaft gear calls the rotary mechanical reducer module from the Powertrain library. The planetary gear mechanism calls the complete planetary geartrain module from the Powertrain library and the moment of inertia is set from the rotary load module of the machine library. The planet row model is shown in Figure 3.

![Figure 3: The model of gear transmission](image)

### 2.2 Model construction of pump controlled hydraulic motor system

Pump controlled hydraulic motor system of HMCVT is composed of variable displacement pump, fix displacement motor, overflow valve and other auxiliary parts\textsuperscript{[29,30]}. The pump04 \( [P0003C] \) module is selected from the Hydraulic library as the variable pump model, and motor02\([M0001C]\) model is selected from the Hydraulic library as the fix displacement motor model. Then, presscontrol01\([v00-1]\) module is selected from the Hydraulic library as the model of the overflow valve, which is used to control the oil pressure.

Based on the pump, motor and overflow valve models above, signal module is selected from ‘signal and control’ library, and continuous signal is applied instead of proportional electromagnet to control variable pump swash plate, so as to realize the change of oil supply direction and displacement. In addition, the corresponding auxiliary components are added, and finally get the hydrostatic circuit simulation model as shown in Figure 4.

![Figure 4: Simulation model of pump controlled hydraulic motor system](image)
2.3 Model construction of shifting hydraulic system

The clutch used in the HMCVT is the wet clutch, which is mainly composed of friction disc, piston, sealing ring and piston return spring[13]. For the friction disc of the clutch, the TRDC1B_501 module of the mechanical library is applied.

The piston is established through the MAS005 and SD0000A submodules in AMESim, and the sealing ring calls USES BAFS01 model. BAP017 model is used for piston return spring, which can be used to represent the force of piston when the clutch spring is static.

The proportional valve is established from HSV23_02 submodules, and it has directional control function. The speed regulating valve is from RV000 submodules.

Based on the models of various parts of the clutch established above, the oil circuit control[32], the corresponding signal control elements and torque output elements are also added, the simulation model of the shifting hydraulic system could be obtained, as shown in Figure 5.

![Figure 5 Simulation model of clutch shifting in HMCVT](image)

2.4 Model construction of tractor and load

In order to simulate the actual operating conditions of tractor, it is necessary to add tractor and load model to the simulation model.

Add the vehicle model and engine model from powertrain library and customize the external load and travel speed to simulate the actual operating conditions of the tractor, as shown in Figures 6a and 6b.

The tractor rear axle drive includes the main reducer, wheel side reducer and differential, etc. The tractor rear axle drive model is established as a model with a fixed transmission ratio. The gear model selects the reducer module of the machinery library, and the established tractor rear axle model is shown in Figure 6c.

![Figure 6 Tractor and load model](image)

2.5 Model construction of HMCVT

According to the mechanical system model, pump controlled hydraulic motor system model, shifting hydraulic system model, tractor and load model, the simulation model of HMCVT is obtained, as shown in Figure 7.

![Figure 7 HMCVT simulation model](image)
3 Validation of HMCVT model

In order to verify the correctness of the HMCVT simulation model based on AMESim in the previous chapter, this chapter builds the HMCVT test-bed according to the simulation model.

3.1 Construction of test bed

The test bed consists of pump control hydraulic motor system and clutch shifting system. The test bed of pump controlled hydraulic motor speed control system mainly studies the relationship between the transmission ratio of pump controlled hydraulic motor speed control system and the power on voltage of proportional electromagnet. The clutch shifting system mainly studies the charging characteristics of the clutch.

The test bed can be divided into transmission system and control system in function. The schematic diagram of the transmission system is shown in Figure 8.

![Figure 8 Transmission schematic of test-bed](image)

The engine, gearbox, speed torque sensor and dynamometer are connected by coupling. The pump controlled hydraulic motor system is controlled by a separate oil circuit, and the hydraulic oil is supplied by the hydrostatic tank. The clutch shifting system also uses a separate oil circuit control, which is mainly controlled by the electromagnetic directional valve. The test bed is shown in Figure 9. The main component hardware of the test bench is shown in Table 1.

![Figure 9 Hardware design of test-bed](image)

### Table 1 Main part parameters of test-bed

| Part name         | Model      | Main performance parameters | Place of origin |
|-------------------|------------|-----------------------------|-----------------|
| Diesel engine     | WP6T180E21 | 132.5 kW, 2200 r/min        | Weifang         |
| Speed torque sensor| JC3A       | Rated torque 5000 N·m       | Xiangyi power test instrument |
| Eddy current sensor   | GWD160  | The rated torque is 600 N·m and the absorbed power is 150 kW | Xiangyi power test instrument |
| HMCVT              | HMCVT-180 | Matching with 180 HP tractor | Independent design |

The pump controlled hydraulic motor system is shown in Figure 10. The displacement of the variable displacement pump is controlled by controlling the current change of the proportional electromagnet of the variable displacement pump and the inclination angle of the swash plate. The control current of the proportional electromagnet is 225-400 mA, and the resistance is 24 Ω. Therefore, the DC regulated power supply is used to control the proportional electromagnet voltage from 5 to 14 V. The parameter of variable pump and quantitative motor is listed in Table 2.

![Figure 10 Test oil circuit diagram of pump controlled hydraulic motor system](image)

### Table 2 Parameter of variable pump and quantitative motor

| Model                  | Rated displacement/cm³/rev | Rated speed/min⁻¹ | Rated pressure/bar | Torque/N·m | Power/kW |
|------------------------|-----------------------------|-------------------|-------------------|------------|----------|
| Variable pump          | 54.8                        | 3300              | 420               | 220-350    | 75       |
| Quantitative motor     | 54.8                        | 3300              | 420               | 199-366    | 75       |

3.2 Experimental verification

In this section, through the use of the above test bench, the test of tractor running speed and the test of pump controlled hydraulic motor system are carried out, and the test and simulation results are compared to verify the correctness of the HMCVT simulation model based on AMESim.

3.2.1 Test of tractor running speed

The specific experimental steps of tractor running speed test are as follows:

1. Start the diesel engine and make it idle for 15 min to ensure the stability of the operation condition;
2. Keeping the throttle opening of diesel engine unchanged, HMCVT is controlled by the control system to complete the shift operation, so that the tractor speed can change from 0-30 km/h;
3. The tractor speed data collected by the data acquisition card is processed, and the change trend of tractor speed with time is obtained, the comparison of test and simulation results is as shown in Figure 11.

![Figure 11 Tractor running speed](image)

3.2.2 Test of pump controlled hydraulic motor system

The specific experimental steps of pump controlled hydraulic motor speed regulation test are as follows:
1) Start the diesel engine and make it idle for 15 min to ensure the stability of the operation condition. Open the three-phase asynchronous motor to run for 5 min, adjust the relief valve and speed control valve to make the oil pressure of main oil circuit 5MPa and the flow of main oil circuit 5 L/min.

2) On the clutch control panel, control C1 clutch to be engaged, and then make C1 clutch to be disengaged and C2 clutch to be engaged. Repeat this step three times;

3) The data of transmission ratio of pump control hydraulic motor collected by data acquisition card are processed, and the diagram is made by Matlab to get the following transmission ratio of pump control hydraulic motor system and the change trend of electromagnet voltage. As shown in Figure 12;

4) The clutch oil pressure data collected by the data acquisition card is processed, and the following clutch oil pressure change trend is obtained through Matlab. The charging characteristics of the clutch are shown in Figure 13, and the change of oil pressure when the clutch is switched from C1 to C2 is shown in Figure 14.

![Figure 12](image1.png)

**Figure 12** Relationship between the pump control hydraulic motor system transmission ratio and the proportion of the electromagnetic shunt voltage

![Figure 13](image2.png)

**Figure 13** Oil filling characteristics of clutch

![Figure 14](image3.png)

**Figure 14** Pressure curve during shift of clutch 1 to 2 base on simulation

### 3.3 Analysis of experimental results

The conclusion can be drawn by analyzing Figure 11:

1) By comparing the simulation results with the test results, we can see that the trend of the two is basically the same, which proves the correctness of the simulation model.

2) HMCVT can achieve stepless speed regulation in the speed range, and the speed changes smoothly in the low speed section, which is suitable for tractor low speed operation in the field; The speed of high-speed section increases rapidly, which is suitable for road transportation.

It can be seen from Figure 12 that the change trend of transmission ratio and power on voltage of proportional electromagnet test bench and simulation results of pump controlled hydraulic motor is similar regardless of positive or negative bias of variable displacement pump, and basically presents a consistent linear relationship. This proves that the simulation model of pump control hydraulic system built previously can correctly reflect the working condition change of pump control hydraulic motor transmission ratio in actual work.

According to the test and simulation results in Figure 13 and Figure 14, the following conclusions can be drawn:

1) The results of oil pressure change and simulation model of clutch C1 and C2 are basically similar. The clutch engagement process is oil charging, pressure boosting, pressure maintaining and
other stages, which is consistent with the clutch engagement process in reference [33];

2) The results show that the change of oil pressure in the bench test is similar to that in the simulation model, and the change of oil pressure is faster when the clutch C1 is switched to C2;

3) Through the analysis and comparison of clutch bench test and simulation results of oil pressure change, it is found that the simulation model can reflect the actual working condition change of clutch, which verifies the correctness of the previous simulation model based on AMESim.

4 Simulation analysis of typical working conditions of tractor

It can be seen from reference [34] that the working speed of 4-15 km/h in the working life cycle of tractor accounts for 75%. In this life cycle, heavy load ploughing and light load sowing are two typical working conditions of tractor field operation. Because of the different loads and the different active forces, the two working conditions have different effects on the performance of tractor gearbox. The dynamic simulation analysis of planetary array under different working conditions has important guiding significance for the design of gearbox. According to the HMCVT simulation model based on AMESim, the dynamic simulation of the above two typical working conditions is carried out in this chapter.

4.1 Simulation analysis of ploughing condition

Before the simulation analysis, the load of ploughing condition is analyzed. When the tractor is working in ploughing condition, its traction resistance \( P \) can be expressed as:

\[
P = fG + k_0a - b + k_1a - bv^2
\]  

where, \( G \) is the weight of plow, kg; \( f \) is the friction coefficient; \( k_0 \) is static resistance coefficient, kg/cm²; \( a \) is tillage depth, cm; \( b \) is tillage width, cm; \( k_1 \) is dynamic resistance coefficient, kg·s²/m⁴, related to soil type, plough characteristics, bulk density and tillage speed; \( v \) is ploughing speed, m/s.

The specific parameters of the moldboard plow used in the simulation analysis are: the width is 80 cm, the mass is 1710 kg, the comprehensive friction coefficient between plow and soil is 0.4, ploughing depth is 40 cm. The ploughing conditions were simulated twice as follows:

Simulation 1: Keep the throttle opening at 1, start with Hm1, and the displacement ratio is \( e \in (-1, 1) \). The simulation time was 10 s, the initial ploughing depth was 10 cm, and then the ploughing depth was 10 cm in the second, fourth, sixth and eighth second.

Simulation 2: Through calculation and analysis, it is known that the transmission load is 47,068 kN·m when the tractor is in ploughing heavy load condition. The simulation results show that the tire load is 47,068 kN·m, starting from Hm1, the throttle opening is 0.6 and 1 respectively, and the displacement ratio range is \( e \in (-1, 1) \) and \( e \in (1, -1) \), the simulation time is 10 s, and the segment is changed in the fifth second.

After the above simulation for each 10 s, the time-varying diagrams of planet carrier speed, sun gear speed, ring gear speed and engine output speed are obtained:

The change trend of planetary frame and sun wheel speed, ring gear torque and engine output speed obtained by simulation based on AMESim software is shown in Figure 15. The engine speed changes with throttle opening, the speed of planetary carrier and solar wheel increases with the increase of engine speed, and the speed of solar wheel is also affected by displacement ratio \( e \). From the simulation 1 curve, it can be seen that the torque of the ring gear increases with the increase of the ploughshare depth.

![Simulation parameters of AMESim in pear cultivation condition](image-url)

Figure 15  Simulation parameters of AMESim in pear cultivation condition

Figures 16 and 17 show the change of planetary meshing force under ploughing condition. It can be seen from Figure 16 that with the increase of Plowschare penetration depth and load, the internal and external meshing forces of planetary gear also show a larger trend. The range of internal force was 0-11430 kN, with an average of 1305 kN; The range of external force was 0-7291 kN, with an average of 53,449 kN. It can be seen that when the plowshare reaches the specified depth from the beginning of operation, the impact force of planetary gear gradually increases, the impact of planetary gear gradually increases, the vibration of planetary gear increases, and the impact transmitted to the gearbox also increases. Figure 17 shows the change of meshing impact...
force of planetary gear when changing engine throttle and changing section during ploughing operation. The change of internal and external meshing force of planetary gear has great impact at the moment of changing section during ploughing operation. The maximum value of internal meshing force of planetary gear is 16870 kN, the minimum value is –18190 kN, and the average value is 94.448 kN; The maximum and minimum of external engagement were 5759 kN and –7261 kN, respectively, with an average of 50.783 kN. It can be seen that the segment change has a great impact on the planetary gear meshing. Based on the above simulation 1 and 2, it can be seen that the planetary row is subject to great impact force during ploughing operation. If the meshing force between the teeth is too large, the gear teeth will break, which will affect the accurate transmission of power.

4.2 Simulation analysis of seeding condition

The empirical formula of traction resistance $P_t$ under sowing condition is:

$$P_t = c \cdot k$$

(2)

where, $c$ is broadcast, m; $k$ is average resistance per mu, N/m.

The resistance per unit width is set as 1350 N and the seeding amplitude is 4 m. The output load is 5400 N·m under the seeding condition. The seeding conditions were simulated twice as follows:

Simulation 1: Drag the tire, set the load 5400 N·m, start with HM1, the throttle opening is 0.3 and 0.6 respectively, displacement ratio range is $e \in (-1, 1)$, the simulation time is 5 s, and the throttle opening is changed at 2.5 s;

Simulation 2: The tractor tire is set with 5400 N·m load, starting with HM1, the throttle opening is 0.3 and 0.6 respectively, and the displacement ratio range is $e \in (-1, 1)$ and $e \in (1, -1)$, the simulation time is 5 s, change the throttle opening at 2.5 s and change the section at the same time.

The planetary carrier speed, sun gear speed, ring gear torque and engine speed obtained by AMESim system dynamics software simulation are shown in Figure 18. The results show that the planetary carrier speed and sun gear speed have impact when the engine throttle opening changes, and the ring gear torque also fluctuates when the engine throttle opening changes.

![Figure 16](image1.png)  
**Figure 16** Internal and external meshing force of planet gear in pear cultivation condition simulation 1

![Figure 17](image2.png)  
**Figure 17** Internal and external meshing force of planet gear in pear cultivation condition simulation 2

![Figure 18](image3.png)  
**Figure 18** Simulation parameters of AMESim in sowing condition

![Figure 19](image4.png)  
**Figure 19** Simulation parameters of AMESim in sowing condition

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Figures 19 and 20 show the changes in the internal and external meshing forces of the planetary gear. It can be seen from Figure 19 that the internal and external meshing forces of the planetary gear have a great impact at the moment when the throttle opening of the engine changes. The maximum value of the internal meshing force is 16010 kN, the minimum value is –20010 kN, and the average value is 92,969 kN; The maximum value of external meshing force is 4034 kN, the minimum value is –496.1 kN, the average was 56.407 kN; The internal and external engagement force increases with the increase of throttle opening. Figure 20 shows the impact of planetary gear teeth during changing sections under seeding condition. At the moment of changing sections, the internal and external meshing forces of planetary gear are in 2.5–3.5 s, which has a great impact, and then the change amplitude of meshing force decreases and the change trend tends to be stable.

Based on AMESim software, the dynamic simulation model of HMCVT is established, and the test bench is built according to the model. The experimental data show that the dynamic simulation model of HMCVT based on AMESim software can correctly reflect the real operation condition of HMCVT.

Based on the above model, the dynamic simulation of tractor ploughing and sowing conditions was carried out. The results show that:

1) When the engine throttle opening increases, the engine speed increases, and the planetary carrier and sun gear speed also increases. When the engine throttle opening changes instantaneously, the planetary carrier speed and the sun gear speed fluctuate, the planetary carrier and the sun gear impact, and the ring gear torque also fluctuates when the engine throttle opening changes.

2) When HMCVT is in ploughing condition, with the increase of plowshare depth, the torque of ring gear increases gradually, the impact force of planetary gear increases gradually, the vibration of planetary gear increases, and the impact transmitted to gearbox also increases. During the ploughing process, the internal and external meshing forces of the planetary gear change instantaneously at the moment of changing section, which has a great impact on the HMCVT planetary array.

3) When HMCVT is in seeding condition, with the instantaneous change of engine throttle opening, the impact of planetary gear increases gradually, the impact of planetary gear increases gradually, the vibration of planetary gear increases, and the impact transmitted to gearbox also increases. The change in internal and external meshing forces of the planetary gear change instantaneously, and the change amplitude of meshing force decreased and the change trend tended to be stable.

In this study, the change trend of meshing force and the location and timing of impact of HMCVT internal gear under typical working conditions are proved. It is conducive to the optimal design of HMCVT in the future, and can also provide a theoretical basis for the design of HMCVT control system.

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5 Conclusions

Based on AMESim software, the dynamic simulation model of HMCVT is established, and the test bench is built according to the theoretical basis for the design of HMCVT control system.

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