A Closer Look at Blind Super-Resolution: Degradation Models, Baselines, and Performance Upper Bounds

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Abstract

Degradation models play an important role in Blind super-resolution (SR). The classical degradation model, which mainly involves blur degradation, is too simple to simulate real-world scenarios. The recently proposed practical degradation model includes a full spectrum of degradation types, but only considers complex cases that use all degradation types in the degradation process, while ignoring many important corner cases that are common in the real world. To address this problem, we propose a unified gated degradation model to generate a broad set of degradation cases using a random gate controller. Based on the gated degradation model, we propose simple baseline networks that can effectively handle non-blind, classical, practical degradation cases as well as many other corner cases. To fairly evaluate the performance of our baseline networks against state-of-the-art methods and understand their limits, we introduce the performance upper bound of an SR network for every degradation type. Our empirical analysis shows that with the unified gated degradation model, the proposed baselines can achieve much better performance than existing methods in quantitative and qualitative results, which are close to the performance upper bounds.

1. Introduction

Traditional image super-resolution (SR) aims at reconstructing a high-resolution (HR) image from a low-resolution (LR) observation. In the past decade, convolutional neural networks (CNNs) have demonstrated superior performance in this task due to their powerful representation learning ability. Unlike traditional image SR, blind SR aims to generate an HR image from the counterpart one with a variety of unknown degradation types.

Recent blind SR methods can be roughly divided into two groups. The first one [39] adopts a classical degradation model, which adds a blur degradation to the non-blind degradation model. Extensive research has achieved significant progress, such as kernel estimation [6,18,19], representation learning [28], zero-shot learning [24], meta-learning [21,25], optimization method [4], real-world dataset [3,32] and unsupervised methods [17,35].

However, down-sampling with blur degradation is still an overly simple simulation since there exist many other degradation types in the real world. To address this problem, recent research introduces a practical degradation (PD) model [29,38] to mimic the degradation process from HR to LR images with various degradation types, including multiple blur types, noise types, and JPEG compression. Furthermore, BSRGAN [38] introduces a shuffle operation to expand the degradation space, and RealESRGAN [29] designs a high-order pipeline to simulate complex degradations.
Despite recent progress, blind SR remains a challenging problem. In our pilot study, we have identified three key issues not well examined in previous research: 1) the design of a general degradation model that can cover most or even all degradation cases; 2) strong baselines that can well handle most degradation cases; 3) the study of performance upper bounds that can be used to evaluate the performance of existing blind SR methods w.r.t. distinct degradation cases. For issue 1, it is a well-known fact that the degradation process of real-world images is highly random, which may involve a broad set of degradation cases. However, existing degradation models only cover limited degradation cases. The classical degradation model [19, 28] only focuses on the blur degradation type, whereas the practical degradation model [29,38] considers the most complex degradation cases and ignores many other corner cases (e.g., combinations of a subset of degradation types). This leads to issue 2. Due to the lack of a unified degradation model, existing methods can not perform well in various degradation cases, as shown in Figure 1. Hence, a strong baseline that can well handle different degradation cases is in need, which can facilitate the comparative analysis of the learning ability of a blind SR network. For issue 3, there lacks the study of quantitative performance upper bounds that an SR network trained with a specific degradation type (e.g., blur 2.0) can achieve on the test dataset. Without comparison with the upper bounds, it is difficult to evaluate whether a blind SR network is good enough in a special degradation case.

In this paper, we take a closer look at the three issues and provide simple yet effective solutions. To address issue 1, we propose a unified gated practical degradation (GD) model for blind SR. Specifically, the proposed GD model introduces a gate mechanism that can generate various combinations of degradation types to cover as many degradation cases as possible in the real-world. In the degradation process, we use a random gate controller to determine whether the HR image undergoes a certain degradation. As such, the proposed GD model can include traditional cases (non-blind SR), simple degradation cases (classical blind SR), complex degradation cases (practical blind SR), as well as many other common corner cases. The GD model leads to solutions to issue 2. Based on the GD model, we propose strong baseline networks that can well handle most degradation cases. Without additional design, our blind SR networks can surprisingly achieve consistent and significant performance gains over existing methods. To address issue 3, we introduce performance upper bounds to effectively evaluate existing methods and our proposed baselines on various degradation cases. Specifically, the performance upper bound for a certain degradation case can be obtained by training an SR network on the corresponding dataset. With the performance upper bounds, we provide a comprehensive comparative analysis of a blind SR network on the classical and practical degradation models as well as our proposed GD model (section 4). The contributions of this paper are summarized as follows.

• We propose a unified gated degradation model that can effectively handle non-blind, classical, practical degradation cases as well as many other corner cases.

• To the best of our knowledge, we are the first to provide a comprehensive analysis of blind SR with performance upper bounds on both the classical and practical blind SR paradigms.

• We show that the baseline networks with the proposed GD model can achieve superior performance close to the upper bounds.

2. Related work

Non-blind super-resolution. Since Dong et al. [5] first introduced convolutional neural networks (CNNs) to the SR task, a series of learning-based works [7, 8, 10, 10, 16, 27, 37, 41, 42] have achieved great performance. To reconstruct realistic textures, generative adversarial networks (GAN) [12] are introduced to generate visually pleasing results. A series of GAN-based methods [22,23,30,31,35] are proposed to improve the visual results and quantitative results [33,40]. However, these methods focus on the bicubic down-sampling degradation model, which is too idealistic compared with the LR image in the real-world.

Classical blind super-resolution. To enhance the reconstruction ability of the SR network in the real-world, Zhang et al. [39] proposed a classical blind degradation model consisting of Gaussian blur and noise with a range. Furthermore, Gu et al. [6] proposed a kernel estimation method with an iterative correction algorithm. Then, DAN [18,19] and DASR [28] are proposed to further improve the blind SR results. In addition, a series of methods achieved great improvements in classical blind SR, such as zero-shot learning [24], meta-learning [21,25], optimization method [4], real-world dataset [3,32], and unsupervised methods [17,35]. However, these methods only consider a part of degradation types in the real-world. The LR images in the real-world are affected by a variety of degradation types.

Practical blind super-resolution. Considering that there are multiple degradation types in the real-world, Zhang et al. [38] proposed a practical degradation model, which includes multiple blur types, down-sampling operation (bilinear and bicubic) with a scale factor, camera noise, and JPEG compression. The degradation order is not fixed but randomly shuffled. Furthermore, RealESRGAN [29] introduced a high-order operation to enhance the practical degradation model. However, these methods can achieve promising results on complex degradation while ignoring some easy cases.
3. Degradation Models

3.1. Prior Research

**Classical Degradation Model.** Blind SR is an ill-posed inverse problem which assumes the HR image is affected by multiple degradation types. Mathematically, the LR image \( I^{LR} \) is generated from the HR image \( I^{HR} \) as follows:

\[
I^{LR} = D_{k,n,j}(I^{HR}) = [(k \otimes I^{HR})_j + n]_s,
\]

(1)

where \( \otimes \) represents convolution. First, the high-resolution image \( I^{HR} \) is convolved with Gaussian blur kernel \( k \). Then, the blurred image is down-sampled (denoted by \( \downarrow_s \)) and an additive white Gaussian noise (denoted by \( n \)) is added to the degraded image. Finally, the low-resolution image \( I^{LR} \) is obtained by JPEG compression (denoted by \( j \)).

With the classical degradation model, existing blind SR methods [6, 18, 28, 34] focus on the blur degradation while using a fixed noise (e.g., \( n = 20 \)) rather than a range noise (e.g., \( n \in [1, 30] \)). JPEG compression is generally not considered. Note that without the blur, noise, and JPEG degradation, the classical degradation model is equivalent to the non-blind degradation model.

**Practical Degradation Model.** Different from the classical degradation model, the practical degradation model [29, 38] assumes the HR image undergoes a series of degradation processes to generate the LR image:

\[
I^{LR} = D_p(I^{HR}) = (D_1 \circ D_2 \circ D_3 \cdots D_m)(I^{HR}),
\]

(2)

where \( D_p \) denotes the practical degradation process and \( D_i \in \{D_k, D_n, D_j, \cdots \}, \forall i \in \{1, \ldots, m\} \), represents a base degradation type, e.g., \( D_k \) is the blur degradation, and \( D_n \) is the noise degradation.

To simulate more complex degradation cases, the degradation models in BSRGAN [38] and RealESRGAN [29] use a wide range of base degradation types including multiple blur types (e.g., generalized Gaussian blur and plateau-shaped Gaussian blur), multiple down-sampling schemes (e.g., nearest, bilinear, and bicubic), and multiple noises (e.g., Poisson noise and camera sensor noise).

3.2. Our Proposed Gated Degradation Model

The practical degradation model only considers complex degradation cases by using all (or most) base degradation types in the degradation process. However, it ignores important corner cases, i.e., combinations of different subsets of base degradation types, which are prevalent in the real world. Motivated by this, we propose a unified degradation model by introducing a gate mechanism to randomly select the base degradation types to be included in the degradation process. Formally,

\[
I^{LR} = D_g(I^{HR}) = (\sigma_g(D_1) \circ \sigma_g(D_2) \circ \sigma_g(D_3) \cdots \sigma_g(D_m))(I^{HR}),
\]

(3)

where \( D_g \) denotes the gated degradation process, and \( D_i \in \{D_k, D_n, D_j, \cdots \}, \forall i \in \{1, \ldots, m\} \), represents a base degradation type. The gate controller \( \sigma_g \) determines whether \( D_i \) is used in the degradation process, i.e.,

\[
\sigma_g(D_i)(I^d) = \begin{cases} 
D_i(I^d), & g = 1, \\
I^d, & g = 0, 
\end{cases}
\]

(4)

where \( I^d \) denotes the degraded (or input) HR image. Note that when all gates \( g = 1 \), the gated degradation model is equivalent to the practical degradation model, whereas when all the gates \( g = 0 \), it is the same as the traditional non-blind SR. The gate controller allows to generate various combinations of base degradation types, and hence our degradation model is a unified model that encompasses non-blind SR, classical blind SR, and practical blind SR.
4. A Comprehensive Analysis of Blind SR with Performance Upper Bounds

This section analyzes blind SR networks with the existing classical, practical, and proposed gated degradation model. We find that a blind SR network can achieve promising performance with our proposed gated degradation model, while the blind SR network with a practical model has a significant performance drop.

Preliminary. FAIG [34] shows a one-branch blind SR network can achieve comparable results compared with the SOTA methods DAN [18] and DASR [28]. In the BSD100 [20] validation dataset with blur degradation type, the performance of a one-branch network is higher than SOTA DAN and DASR, about 0.05 dB. So, the one-branch network is considered as a base network to analyze the blind SR problem. Similarly, RealESRGAN [29] and BSRGAN [38] adopt a powerful one-branch network RRDBNet as the blind SR network to solve the practical blind SR problem.

Performance Upper Bound. An essential issue of the practical blind SR is how to evaluate blind SR networks effectively. Based on the proposed gated degradation model, the performance upper bound can easily be introduced to clearly evaluate the blind SR network. Take a special degradation type bicubic as an example. To get the upper bound, we could train a special SR network with bicubic type and test the well-trained network on the corresponding bicubic test dataset. A similar procedure can obtain the upper bounds of other corner degradation types. The definition of the upper bound is a vital tool to evaluate blind SR.

Setting. In this section, RRDBNet is used as the primary blind SR network (BSRNet), which is trained on a representative degradation model. The degradation model includes isotropic Gaussian blur [0.1, 3.0], additive Gaussian noise [1, 30], and JPEG [40, 95]. To clearly evaluate the BSRNet, we design a validation dataset Practical8, which includes every corner degradation case - \{bic, b2.0, n20, j60, b2.0n20, b2.0j60, n20j60, b2.0n20j60\}. Then, we train 8 SR models to get the upper bound on every corner case. Therefore, we can use the PSNR distance between BSRNet and upper bound for the evaluation on Practical8. We adopt a similar setting for the classical degradation model.

4.1. Analysis of Classical Blind SR

Similar to FAIG [34], we train the BSRNet-FAIG on a classical degradation model with isotropic Gaussian blur [0, 3.0]. Then, we train 5 SR networks to get the corresponding upper bound on the validation dataset with bicubic (bic) and blur \{0.6, 1.2, 1.8, 2.4\}.

From Table 1, we find that BSRNet has a slight performance drop (about 0.3 dB) on PSNR compared with the corresponding upper bound. The slight performance drop is relatively acceptable on the blind SR problem since it is more challenging than the non-blind SR. This exciting observation motivates us to investigate the underlying learning ability of blind SR networks, especially on a practical degradation model.

| Method      | bic  | 0.6 | 1.2 | 1.8 | 2.4 |
|-------------|------|-----|-----|-----|-----|
| BSRNet-FAIG [34] | 27.73/0.80 | 26.69/0.81 | 25.98/0.80 | 25.06/0.79 | 24.23/0.78 |
| Upper bound  | 28.43/0.80 | 27.46/0.81 | 26.48/0.80 | 25.46/0.79 | 24.56/0.78 |

Figure 3. Comparison of PSNR (dB) of BSRNet with different degradation models.

4.2. Analysis of Practical Blind SR

Practical Degradation Model. We firstly train BSRNet with the PD model to get BSRNet-PD. Figure 3 shows that BSRNet-PD has a significant drop on corner cases bic, blur2.0, noise20, blur2.0n20 while having a minimal drop on corner cases b2.0j60 and noise20j60. Interestingly, in complex case b2.0n20j60, the PSNR distance between BSRNet-PD and the upper bound is 0.09 dB, which is a tiny drop since the PD model focuses on the combination of the blur, noise, and JPEG.

Table 1. Average PSNR (dB) of BSRNet with classical degradation models in ×4 blind SR.

| Degradation types | bic     | 0.6     | 1.2     | 1.8     | 2.4     |
|-------------------|---------|---------|---------|---------|---------|
| Upper bound       | 28.43   | 27.46   | 26.48   | 25.46   | 24.56   |

Figure 4. Visual comparisons of BSRNet-PD and the corresponding upper bound with PSNR (dB)/SSIM.

Figure 4 shows that BSRNet-PD fails to generate realistic textures on corner cases bic, b2.0, and n20, while the visual results on complex case b2.0n20j60 are promising compared with the upper bound. The PSNR value has a small drop of 0.16 dB, which is acceptable.
The analysis for the PD model presents three crucial points: 1) The blind SR Network can handle the most complex case well. 2) The performance of the blind SR network have a slight drop on a few corner cases, such as n20j60. 3) The quantitative and visual results of most corner degradation types have a significant drop compared with the upper bound.

Gated Degradation Model. To address the issue 1 described in Section 1, we apply the proposed GD model to generate all combinations of degradation types for the BSRNet (named BSRNet-GD). Interestingly, Figure 3 shows that BSRNet-GD achieves 0.82 dB and 0.63 dB improvement on the corner case b2.0 and b2.0n20, respectively. The performance of other corner cases is closer to the corresponding upper bound. The PSNR value of BSRNet-GD is 3.01 dB higher than BSRNet-PD. These results support the solutions of issue 2 in Section 1. A blind SR network with

![Figure 5. Visual comparisons of BSRNet-PD, BSRNet-GD, and the corresponding upper bound with PSNR (dB)/SSIM.](image)

Figure 5 shows that the BSRNet-GD can generate more realistic textures than BSRNet-PD on b2.0 and n20 degradation. The sacrifice of complex case b2.0n20j60 is completely acceptable because we can hardly tell the difference between BSRNet-PD and BSRNet-GD on visual results. Although the practical degradation model can handle some special cases, it is obvious that the practical degradation model cannot guarantee promising quantitative and qualitative results in all corner cases. These quantitative and qualitative comparisons confirm the effectiveness of upper bounds on the issues 3 described in Section 1.

Based on the proposed gated degradation model: 1) A blind SR network has a tiny sacrifice in the complex case. 2) The performance of corner cases can achieve obvious improvement compared with the PD model. 3) A blind SR network can handle all of the degradation types with a small performance drop compared with the upper bound.

5. Experiments

5.1. Datasets and Implementation Details

Datasets. Following existing blind SR methods [6, 18, 28, 29, 38, 39], we use DIV2K (800 images) [1] and Flickr2K (2650 images) [26] dataset for training. The training images are randomly cropped to 128×128 patches that are blurred, noised, and compressed (JPEG). We use benchmark datasets BSD100 [20] and Urban100 [9] for evaluation.

Degradation model. To ensure fair quantitative comparison, we adopt a light degradation model to generate the dataset. Following the setting of BSRGAN [38] and RealESRGAN [29], the light degradation model includes isotropic Gaussian blur [0.1, 3.0], additive Gaussian noise [1, 30], and JPEG [40, 95]. The down-sampling adopts ×3 in the RealESRGAN version. For the proposed GD model, the probability of every gate is set to 0.5 to generate all degradation cases.

Baselines. Based on the analysis in Section 4, the proposed GD model is applied to the representative networks as our proposed baseline network. We employ RRDBNet [29, 38] and SwinIR [13] to get the baseline networks: CNN-based RRDBNet-GD, transformer-based SwinIR-GD, and GAN-based baseline BSRGAN-GD and SwinIRGAN-GD.

Practical8. In order to quantitatively conduct evaluation, we propose Practical8 test dataset to evaluate blind SR methods. Practical8 consists of {bic, b2.0, n20, j60, b2.0n20, b2.0j60, n20j60, b2.0n20j60}. The degradation types in Practical8 are based on the combinations of degradation types in the training dataset. The evaluation metric employs PSNR to compare MSE-based methods and PSNR/NIQE for GAN-based methods.

Training. In our experiments, the Adam [11] optimization method with $\beta_1 = 0.9$ and $\beta_2 = 0.99$ is used for training. The initial learning rate is set to $2 \times 10^{-4}$, which is reduced by a half for multi-step $[25 \times 10^{4}, 50 \times 10^{4}, 75 \times 10^{4}, 100 \times 10^{4}]$. A total of $100 \times 10^{4}$ iterations are executed by PyTorch. The loss function adopts L1 loss between SR results and HR images.

5.2. Experiments on MSE-based blind SR

Networks. Here we consider a series of representative networks for quantitative comparisons, such as SRRNet which is used in prevalent kernel estimation methods, [2, 6, 14, 15, 18] and RRDB network which is used in BSRGAN [38] and RealESRGAN [29] to handle practical blind SR. In addition, the representative network RCAN [41] and SwinIR [13] are also employed for quantitative comparison. Notably, all networks are adjusted to the same setting and parameter level to ensure a fair comparison.

Comparison with the state-of-the-art. Table 2 shows
Table 2. Average PSNR (dB) of different methods in ×4 blind SR on BSD100 [20] and Urban100 [9]. The top two results are highlighted in red and blue, respectively. Note that we ensure all methods have similar model size for a fair comparison.

| Dataset   | Method                  | Degradation Types | Average |
|-----------|-------------------------|-------------------|---------|
|           |                         | bic   | b2.0 | n20   | j60   | b2.0n20 | b2.0j60 | n20j60 | b2.0n20j60 |         |
| BSD100    | Bicubic                 | 24.63 | 25.40 | 21.56 | 24.06 | 21.90   | 24.65   | 21.22 | 21.72       | 23.14   |
|           | RCAN [41]               | 25.65 | 26.77 | 24.63 | 25.16 | 24.39   | 25.36   | 24.36 | 24.15       | 25.06   |
|           | SRResNet-FAIG [34]      | 25.58 | 26.72 | 24.53 | 25.11 | 24.26   | 25.29   | 24.32 | 24.07       | 24.99   |
|           | RRDBNet [29, 38]        | 25.62 | 26.76 | 24.58 | 25.15 | 23.83   | 25.32   | 24.34 | 24.11       | 25.02   |
|           | SwinIR [13]             | 25.84 | 27.05 | 24.72 | 25.20 | 24.74   | 25.48   | 24.44 | 24.18       | 25.18   |
|           | RRDBNet-GD (ours)       | 26.25 | 27.31 | 25.13 | 25.23 | 24.96   | 25.44   | 24.44 | 23.52       | 25.15   |
|           | SwinIR-GD (ours)        | 26.61 | 27.58 | 25.64 | 25.30 | 25.30   | 25.39   | 24.44 | 24.14       | 25.55   |
|           | Upper bound (RRDBNet)   | 26.36 | 27.06 | 25.16 | 25.30 | 25.34   | 25.39   | 24.45 | 24.15       | 25.53   |

| Urban100  | Bicubic                 | 21.89 | 22.54 | 20.00 | 21.50 | 20.36   | 22.02   | 19.74 | 20.20       | 21.03   |
|           | RCAN [41]               | 23.65 | 24.67 | 22.93 | 23.35 | 22.59   | 23.36   | 22.77 | 23.35       | 23.21   |
|           | SRResNet-FAIG [34]      | 23.54 | 24.42 | 22.88 | 23.26 | 22.42   | 23.16   | 22.73 | 22.19       | 23.08   |
|           | RRDBNet [29, 38]        | 23.53 | 24.46 | 22.89 | 23.28 | 22.48   | 23.17   | 22.75 | 22.24       | 23.10   |
|           | SwinIR [13]             | 24.16 | 25.10 | 23.34 | 23.73 | 22.86   | 23.62   | 23.09 | 22.53       | 23.55   |
|           | RRDBNet-GD (ours)       | 24.51 | 25.39 | 23.57 | 23.67 | 23.05   | 23.18   | 22.92 | 22.13       | 23.55   |
|           | SwinIR-GD (ours)        | 25.55 | 26.12 | 24.40 | 24.11 | 23.83   | 23.56   | 23.26 | 22.42       | 24.16   |
|           | Upper bound (RRDBNet)   | 25.13 | 26.38 | 23.91 | 23.97 | 23.56   | 23.62   | 23.18 | 22.44       | 24.02   |

Figure 6. Visual comparisons of our methods and others in ×4 super-resolution. Please zoom in for a better view.

the quantitative comparisons. Firstly, we find that RRDBNet is only about 0.03 dB higher than SRResNet-FAIG on PSNR. Interestingly, the non-blind SR method RCAN achieves better performance than SRResNet-FAIG and RRDBNet. Benefit from channel attention design, RCAN outperforms RRDBNet by about 0.1 dB on all corner degradations in Practical8. Furthermore, SwinIR achieves the highest performance compared with other methods. Secondly, the average performance of the proposed baseline RRDBNet-GD and SwinIR-GD achieves significant improvement (0.3-0.6 dB) on BSD100 and urban100 datasets. Figure 6 shows that our method could generate visually pleasing results than other works.

**Upper bound.** To further evaluate the performance of the blind SR networks, we train 8 SR models with the specific degradation types in Practical8. Since RRDBNet is adopted in SOTA practical blind SR methods BSRGAN [38] and RealESRGAN [29], RRDBNet is selected as the basic network to obtain the upper bound. Table 2 shows that blind SR networks with a practical degradation model have a significant drop compared with the upper bound on some corner degradation cases. The most interesting aspect is that the quantitative difference between a specific case and the upper bound is very large. Take RRDBNet as an
Table 3. Average PSNR (dB) of networks of different capacity in ×4 blind SR with the proposed gated degradation model on Set14 [36].

| Method          | #Para. (M) | Degradation Types | Bicubic | b2.0 | n20 | j60 | b2.0n20 | b2.0j60 | n20j60 | b2.0n20j60 | Average |
|-----------------|------------|-------------------|---------|------|-----|-----|---------|---------|--------|------------|--------|
| Bicubic         | -          |                   | 25.00   | 25.34| 21.77| 24.29| 21.91   | 24.51   | 21.46  | 21.73      | 23.25  |
| SRResNet-16     | 1.52       |                   | 26.45   | 27.94| 25.17| 25.59| 25.04   | 25.56   | 24.53  | 24.04      | 25.54  |
| SRResNet-46     | 3.73       |                   | 26.49   | 28.16| 25.23| 25.67| 25.12   | 25.57   | 24.58  | 24.09      | 25.61  |
| RCAN            | 3.87       |                   | 26.62   | 28.31| 25.36| 25.75| 25.33   | 25.66   | 24.68  | 24.19      | 25.74  |
| RRDBNet-5       | 3.75       |                   | 26.53   | 28.25| 25.28| 25.68| 25.22   | 25.62   | 24.59  | 24.15      | 25.67  |
| SwinIR-v1       | 3.85       |                   | 26.94   | 28.59| 25.67| 25.83| 25.73   | 25.77   | 24.77  | 24.30      | 25.95  |
| SwinIR-v2       | 11.90      |                   | 27.21   | 28.84| 25.92| 26.07| 25.87   | 25.87   | 24.91  | 24.37      | 26.13  |
| Upper bound (RRDBNet-5) | 3.75  |                   | 26.75   | 28.74| 25.48| 25.81| 25.63   | 25.96   | 24.74  | 24.32      | 25.93  |

Table 4. Average PSNR (dB) of RRDBNet in ×4 blind SR with light and hard degradation models on Set14 [36].

| Method            | Degradation Types | bic | b2.0 | n20 | j60 | b2.0n20 | b2.0j60 | n20j60 | b2.0n20j60 |
|-------------------|-------------------|-----|------|-----|-----|---------|---------|--------|-------------|
| RRDBNet-GD-light  |                   | 26.53 | 28.25 | 25.28 | 25.68 |         |         |        |             |
| RRDBNet-GD-hard   |                   | 26.53 | 28.11 | 25.22 | 25.68 |         |         |        |             |
| RRDBNet-GD-light  |                   | 25.22 | 25.62 | 24.59 | 24.15 |         |         |        |             |
| RRDBNet-GD-hard   |                   | 25.12 | 26.65 | 25.46 | 24.08 |         |         |        |             |
| color-n20         |                   | 24.93 | 26.46 | 26.71 | 26.36 |         |         |        |             |
| Poisson-n20       |                   | 25.52 | 25.43 | 26.90 | 26.83 |         |         |        |             |

example, it is apparent that the bic, b2.0, and b2.0n20 cases have a large performance drop compared with other cases.

Based on the proposed GD model, there is a significant improvement in all corner cases, such as bicubic (non-blind SR), b2.0 (classical blind SR), and complex case b2.0n20j60 (practical blind SR). Notably, there are also great differences in the improvement of different cases. For example, the b2.0j60 case has the smallest improvement, and bic case has a great improvement compared with the upper bounds.

Network capacity. Table 3 shows the comparisons of blind SR networks with different network parameters and structures on the GD model. The SRResNet-16 with 16 residual blocks has 1.52M parameters, but it just has a 0.39 dB drop compared with the upper bound on average PSNR. Furthermore, SRResNet-46 and RRDBNet-5 get about 0.1 dB improvement compared with SRResNet-16. Benefitting from the attention mechanism, RCAN and SwinIR-v1 (version1) achieve better performance with similar parameters. Finally, SwinIR-v2 (version2) with 11.9 M can further improve the SR results. Interestingly, the degradation b2.0n20j60 only has a slight improvement (0.07 dB), while the easy corner degradations have a significant improvement (e.g., 0.27 dB in bicubic).

Light vs. hard degradation models. We further apply the proposed GD model with a hard scenario, which includes various blur types (isotropic, anisotropic, generalized isotropic/anisotropic, and plateau isotropic/anisotropic Gaussian blur), noises (additive grey/color Gaussian noise and Poisson grey/color noise) and JPEG compression. Table 4 shows that the performance of RRDBNet-GD-hard has a slight drop on the light cases, while it has a more significant improvement on the new cases.

5.3. Experiments on GAN-based Blind SR

Experimental setup. Similar to Section 5.1, we adopt the same settings to train the GAN-based networks. We train three representative models, SRGAN [12], BSRGAN [38] (RealESRGAN [29]), and SwinIRGAN [13] by the same light degradation model in Section 5.1. The loss function combines L1 loss, perceptual loss, and GAN loss, with weights [1, 1, 0.1], respectively. The baseline BSRGAN-GD and SwinIRGAN-GD are trained on the proposed GD model. The discriminator adopts a U-Net structure in RealESRGAN [29]. The upper bound of Practical8 is also provided to evaluate the performance of different GAN models quantitatively.

Comparison with the stat-of-the-arts. Table 5 shows SRGAN tends to sacrifice PSNR performance to generate perceptual textures while BSRGAN and SwinIRGAN can achieve higher reconstructive performance when generating texture details. Based on our proposed GD model, the reconstructive performance achieves further improvement compared with the practical degradation model. Interestingly, SwinIRGAN pays more attention to reconstruction performance PSNR while the perceptual metric NIQE value is higher than BSRGAN-GD. Figure 7 shows that our methods can generate realistic visual results compared with existing methods. We validate our method on a real-world dataset RealSRSet used in BSRGAN [38], which consists of real images downloaded from the Internet. BSRGAN-GD achieves 5.11 in NIQE, much better than BSRGAN with a light practical degradation model, which is 6.06.
Table 5. Average NIQE/PSNR (dB) of different GAN-based methods in $\times 4$ blind SR on Urban100 [9]. The top two results are highlighted in red and blue, respectively. Note that we ensure all methods have similar model size for a fair comparison.

| Method            | Metric | Degradation Types | Average |
|-------------------|--------|-------------------|---------|
|                   | NIQE   | PSNR              |         |
| Bicubic           | 7.08   | 21.89             | 8.13    |
|                   | 7.89   | 22.54             | 21.03   |
| SRGAN [12]        | 4.25   | 21.75             | 4.00    |
|                   | 5.00   | 23.16             | 21.76   |
| BSRGAN [29, 38]   | 4.51   | 22.18             | 4.55    |
|                   | 5.77   | 23.39             | 22.04   |
| SwinIRGAN [13]    | 4.39   | 22.92             | 4.49    |
|                   | 5.01   | 24.10             | 22.53   |
| BSRGAN-GD (ours)  | 4.04   | 23.31             | 4.18    |
|                   | 4.27   | 24.43             | 4.23    |
| SwinIRGAN-GD (ours)| 4.01  | 24.24             | 4.29    |
|                   | 4.38   | 25.20             | 4.29    |
| Upper bound (BSRGAN) | 3.79  | 23.66             | 3.89    |
|                   | 4.10   | 25.17             | 3.87    |

Figure 7. Visual comparisons of our methods and others in $\times 4$ Blind SR. Lower NIQE score indicates better perceptual quality, and higher PSNR indicates less distortion. Please zoom in for a better view.

5.4. Discussion

To further adapt the proposed GD model for the real-world scenario, an intuitive way is to enlarge the degradation space. We apply this simple scheme in Section 5.2. Table 4 shows the potential ability to handle complex cases in real-world scenarios. Different from BSRGAN [38] and RealESRGAN [29] that tends to provide a powerful degradation model, our work focuses on how to fairly and quantitatively evaluate blind SR networks. In addition, our proposed degradation model is complement to that of BSRGAN and addresses the important corner cases that were not considered in BSRGAN. We can easily apply the proposed GD strategy to a complex degradation model, such as the degradation model in BSRGAN and RealESRGAN. In summary, as the degradations are extremely complex in real-world applications, the degradation model, baseline, and upper bound would be an important topic for future blind SR research.

6. Conclusion

In this paper, we have proposed a gated degradation model to unify the non-blind SR, classical SR, and practical SR. Based on the proposed degradation model, we provide a detailed, quantitative, and comprehensive analysis to evaluate the learning ability of a blind SR network with classical and practical degradation models. Further, we introduce a practical validation dataset to evaluate the blind SR network with the performance upper bounds quantitatively. Moreover, we establish a series of strong baselines, including CNN-based, transformer-based and GAN-based. Experimental results show that our proposed baselines achieve state-of-the-art performance for practical blind SR on most degradation cases, which can facilitate future research.

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