Cayley Structures and Common Knowledge

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Abstract

We investigate multi-agent epistemic modal logic with common knowledge modalities for groups of agents and obtain van Benthem style model-theoretic characterisations, in terms of bisimulation invariance of classical first-order logic over the non-elementary classes of (finite or arbitrary) common knowledge Kripke frames. The technical challenges posed by the reachability and transitive closure features of the derived accessibility relations are dealt with through passage to (finite) bisimilar coverings of epistemic frames by Cayley graphs of permutation groups whose generators are associated with the agents. Epistemic frame structure is here induced by an algebraic coset structure. Cayley structures with specific acyclicity properties support a locality analysis at different levels of granularity as induced by distance measures w.r.t. various coalitions of agents.

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1 Introduction

Modal logics have diverse applications that range from specification of process behaviours in computer science to the reasoning about knowledge and the interaction of agents in all kinds of distributed settings. Across this broad conception of modal logics bisimulation invariance stands out as the crucial semantic feature uniting an extremely diverse family of logics. Bisimulation equivalence is based on an intuitive back-and-forth probing of transitions between possible instantiations of data, possibly subject to observability by individual agents. As a core notion of procedural, behavioural or cognitive equivalence it underpins the very modelling of relevant phenomena in the state- and transition-based format of transition systems or Kripke structures. In this sense, bisimulation invariance is an essential ‘sanity’ requirement for any logical system that is meant to deal with relevant phenomena rather than artefacts of the encoding. Not surprisingly, modal logics in various formats share this preservation property. Moreover, modal logics can often be characterised in relation to classical logics of reference as precisely capturing the bisimulation invariant properties of relevant structures – which turns the required preservation property into a criterion of expressive completeness. This results in a model-theoretic characterisation that casts a natural level of expressiveness in a new perspective.

For classical basic modal logic, this characterisation is the content of van Benthem’s classical theorem, which identifies basic modal logic $\mathbf{ML}$ as the bisimulation invariant fragment of first-order logic $\mathbf{FO}$ over the (elementary) class of all Kripke structures. In suggestive shorthand: $\mathbf{ML} \equiv \mathbf{FO}/\sim$, where $\mathbf{FO}/\sim$ stands for the set of those $\mathbf{FO}$-formulae whose semantics is invariant under bisimulation equivalence $\sim$; a fragment that is syntactically undecidable, but equi-expressive with $\mathbf{ML} \subseteq \mathbf{FO}$ (identified with its standard translation into $\mathbf{FO}$).

**Theorem 1.1** (van Benthem [19]). $\mathbf{ML} \equiv \mathbf{FO}/\sim$.

Of the many extensions and variations on this theme that have been found, let us just mention two explicitly.

Firstly, by a result of Rosen [18], van Benthem’s characterisation theorem $\mathbf{ML} \equiv \mathbf{FO}/\sim$ is also good as a theorem of finite model theory, where both, bisimulation-invariance and expressibility in modal logic are interpreted in restriction to the non-elementary class of all finite Kripke structures; this drastically changes the meaning and also requires a completely different proof technique. A transparent and constructive proof of expressive completeness that works in both the classical and the finite model theory settings is given in [15] and also in [12]; like many of the more challenging extensions and variations in [16, 9, 17], it relies on a model-theoretic upgrading argument that links finite approximation levels $\sim^f$ of full bisimulation equivalence $\sim$ to finite levels $\equiv_q$ of first-order equivalence. A combination of bisimulation respecting model transformations and an Ehrenfeucht–Fraïssé analysis establishes that every $\sim$-invariant first-order property must in fact be invariant under some finite level $\sim^f$ of bisimulation equivalence. This may be seen as a crucial compactness phenomenon for $\sim$-invariant $\mathbf{FO}$, despite the unavailability of compactness for $\mathbf{FO}$.
in some cases of interest.

Secondly, by a famous result of Janin and Walukiewicz, a similar characterisation is classically available for the modal $\mu$-calculus $L_\mu$ in relation to monadic second-order logic $\text{MSO}$.

**Theorem 1.2** (Janin–Walukiewicz [14]). $L_\mu \equiv \text{MSO}/\sim$.

In this case, the arguments are essentially automata-theoretic, and the status in finite model theory remains open – and a rather prominent open problem indeed.

*Epistemic modal logics* deal with information in a multi-agent setting, typically modelled by so-called S5 frames, in which accessibility relations for the individual agents are equivalence relations and reflect indistinguishability of possible worlds according to that agent’s observations. A characterisation theorem for basic modal logic $\text{ML}$ in this epistemic setting was obtained in [9], both classically and in the sense of finite model theory. Like the van Benthem–Rosen characterisation, this deals with plain first-order logic (over the elementary class of S5 frames, or over its non-elementary finite counterpart) and can uniformly use Gaifman locality in the analysis of first-order expressiveness.

In contrast, the present paper explores the situation for the epistemic modal logic $\text{ML}[\text{CK}]$ in a multi-agent setting with *common knowledge* operators. Common knowledge operators capture the essence of knowledge that is shared among a group of agents, not just as factual knowledge but also as knowledge of being shared to any iteration depth: everybody in the group also knows that everybody in the group knows that . . . ad libitum. Cf. [11] for a thorough discussion. This notion of common knowledge can be captured as a fixpoint construct, which is definable in $\text{MSO}$ and in fact in $L_\mu$. It can also be captured in plain $\text{ML}$ in terms of augmented structures, with derived accessibility relations obtained as the transitive closures of combinations of the individual accessibility relations for the relevant agents: we here call these augmented structures *common knowledge structures* or *CK-structures* for short. But be it fixpoints, $\text{MSO}$, or the non-elementary and locality-averse class of CK-frames, all these variations rule out any straightforward use of simple locality-based techniques.

Here we use, as a template for highly intricate yet regular patterns of multi-scale transitive relations, the *coset structure* of Cayley groups w.r.t. combinations of generators. We can show that *Cayley structures*, obtained as expansions of relational encodings of Cayley groups by propositional assignments, are universal representatives up to bisimulation of S5 structures – both in the general and in the finite setting. In this picture, generator combinations model coalitions of agents, cosets w.r.t. generated subgroups model islands of common knowledge or the induced accessibility relations of CK-frames. For the following cf. Definitions 2.3 and 2.6.

**Lemma 1.3** (main lemma). *Every (finite) CK-structure admits (finite) bisimilar coverings by Cayley structures (of various degrees of acyclicity w.r.t. their epistemic or coset structure).*
Cayley groups with suitable acyclicity properties for their coset structure are available from [17]; they are used here in a novel analysis of first-order expressiveness and Ehrenfeucht–Fraïssé games. This allows us to deal with the challenge of locality issues at different scales or levels of granularity as induced by reachability and transitivity phenomena for different groups of agents in CK-structures. Our main theorem is the following.

**Theorem 1.4.** $\text{ML}[\text{CK}] \equiv \text{FO}/\sim$ over CK-structures, both classically and in the sense of finite model theory.

An equivalent alternative formulation would characterise $\text{ML}[\text{CK}]$ as the $\sim$-invariant fragment of $\text{FO}[\text{CK}]$, the extension of FO that gives it access to the derived accessibility relations for common knowledge – now over all (finite) S5 structures. A preliminary discussion of the technical challenges for the expressive completeness assertion in this theorem, also in comparison to those in related approaches to e.g. Theorem 1.1, can be found in Section 2.5.

2 Basics

2.1 S5 and CK Kripke structures and modal logic

For this paper we fix a finite non-empty set $\Gamma$ of agents; individual agents are referred to by labels $a \in \Gamma$. In corresponding *S5 Kripke frames* $(W, (R_a)_{a \in \Gamma})$ the set $W$ of possible worlds is split, for each $a \in \Gamma$, into equivalence classes $[w]_a$ w.r.t. the equivalence relations $R_a$ that form the accessibility relations for the individual agents in this multi-modal Kripke frame. The epistemic reading is that agent $a$ cannot directly distinguish worlds from the same class $[w]_a$; to simplify terminology we also speak of $a$-edges and $a$-equivalence classes. An *S5 Kripke structure* is an expansion of an S5 Kripke frame by a propositional assignment for a given set of basic propositions $(P_i)_{i \in I}$. Individual formulae of the logics considered will only mention finitely many basic propositions, and we may also think of the index set $I$ for the basic propositions as a fixed finite set. The propositional assignment is encoded, in relational terms, by unary predicates $P_i$ for $i \in I$, and a typical S5 Kripke structure is specified as

$$\mathfrak{M} = (W, (R_a)_{a \in \Gamma}, (P_i)_{i \in I}).$$

Basic modal logic ML for this setting has atomic formulae $\bot, \top$ and $p_i$ for $i \in I$, and is closed under the usual boolean connectives, $\land, \lor, \neg$, as well as under the modal operators (modalities, modal quantifiers) $\Box_a$ and $\Diamond_a$ for $a \in \Gamma$. The semantics for ML is the standard one, with an intuitive epistemic reading of $\Box_a$ as “agent $a$ knows that . . . ” and, dually, $\Diamond_a$ as “agent $a$ regards it as possible that . . . ”, inductively:

- $\mathfrak{M}, w \models p_i$ if $w \in P_i$;
- $\mathfrak{M}, w \models \top$ for all and $\mathfrak{M}, w \models \bot$ for no $w \in W$;

1Where confusion is unlikely, we do not explicitly label the interpretations of the $R_a$ and $P_i$ by $\mathfrak{M}$.
boolean connectives are treated as usual;

- $M, w \models □_a \varphi$ if $M, w' \models \varphi$ for all $w' \in [w]_a$;
- $M, w \models ◁_a \varphi$ if $M, w' \models \varphi$ for some $w' \in [w]_a$.

The extension of ML to common knowledge logic $ML[CK]$ introduces further modalities $□_\alpha$ and $♦_\alpha$ for every group of agents $\alpha \subseteq \Gamma$. The intuitive epistemic reading of $□_\alpha$ is that “it is common knowledge among agents in $\alpha$ that . . . ”, and $♦_\alpha$ is treated as the dual of $□_\alpha$. The semantics of $□_\alpha$ in an S5 Kripke structure $M$ as above is given by the condition that $M, w \models □_\alpha \varphi$ if $\varphi$ is true in every world $w'$ that is reachable from $w$ on any path formed edges from the $R_a$ for $a \in \alpha$. The relevant set of worlds $w'$ is the equivalence class $[w]_\alpha$ w.r.t. the derived equivalence relation

$$R_\alpha := TC(\bigcup_{a \in \alpha} R_a),$$

where $TC$ denotes (reflexive and symmetric) transitive closure.

- $M, w \models □_\alpha \varphi$ if $M, w' \models \varphi$ for all $w' \in [w]_\alpha$;
- $M, w \models ◁_\alpha \varphi$ if $M, w' \models \varphi$ for some $w' \in [w]_\alpha$.

Note that for singleton sets $\alpha = \{a\}$, $□_\alpha$ coincides with $□_a$ just as $R_\alpha$ coincides with $R_\alpha$. The modal operators $□_\emptyset$ and $♦_\emptyset$ are eliminable: they both refer to just truth in $[w]_\emptyset = \{w\}$. We use $\tau := \mathcal{P}(\Gamma)$ for the labelling of the expanded list of modalities and the corresponding equivalence relations and classes, so $\alpha$ will range over $\tau$.

**Definition 2.1.** With any S5 Kripke frame (or structure) we associate the CK-frame (or structure) obtained as the expansion of the family $(R_a)_{a \in \Gamma}$ to the family $(R_\alpha)_{\alpha \in \tau}$ for $\tau = \mathcal{P}(\Gamma)$, where $R_\alpha = TC(\bigcup_{a \in \alpha} R_a)$.

We use notation $M^{CK}$ to indicate the passage from the S5 Kripke structure $M = (W, (R_a)_{a \in \Gamma}, (P_i)_{i \in I})$ to its associated CK-structure,

$$M^{CK} = (W, (R_\alpha)_{\alpha \in \tau}, (P_i)_{i \in I}),$$

which is again an S5 Kripke structure. The resulting class of CK-structures is non-elementary. Indeed, a simple compactness argument shows that the defining conditions for the $R_\alpha$ cannot be first-order expressible.

**Definition 2.2.** The syntax of epistemic modal logic with common knowledge, $ML[CK]$, for the set of agents $\Gamma$ is the same as the syntax of basic modal logic $ML$ with modalities $□_\alpha$ and $♦_\alpha$ for $\alpha \in \tau = \mathcal{P}(\Gamma)$. Its semantics, over S5 Kripke structures $M$ for the set of agents $\Gamma$, is the usual one, evaluated over the associated CK-structures $M^{CK}$.

We next look at a seemingly very special class of CK-structures. In these, the equivalence relations $R_\alpha$ are induced by the coset structure of an underlying
group w.r.t. designated (sets of) generators. We use the name **Cayley structures** for these special CK-structures whose epistemic structure is induced by the Cayley graph of a group, which relates its combinatorics to basic algebraic concepts as explored by Cayley in [7, 8]. As we shall see in Lemma 2.7, which is a cornerstone for the approach taken in this paper, the class of these Cayley structures is rich enough to represent any CK-structure up to bisimulation.

### 2.2 Common knowledge in Cayley structures

A **Cayley group** is a group \( G = (G, \cdot, 1) \) with a specified set of generators \( E \subseteq G \), which in our case will always be distinct, non-trivial involutions: \( e \neq 1 \) and \( e^2 = 1 \) for all \( e \in E \). \( G \) is generated by \( E \) in the sense that every \( g \in G \) can be represented as a product of generators, i.e. as a word in \( E^* \), which w.l.o.g. is reduced in the sense of not having any factors \( e^2 \). With the Cayley group \( G = (G, \cdot, 1) \) one associates its **Cayley graph**. Its vertex set is the set \( G \) of group elements; its edge relations are \( R_e := \{(g, ge) : g \in G\} \), which in our case are symmetric and indeed complete matchings on \( G \). That \( G \) is generated by \( E \) means that the edge-coloured graph \((G, (R_e)_{e \in E})\) is connected; it is also homogeneous in the sense that any two vertices \( g \) and \( h \) are related by a graph automorphism induced by left multiplication with \( hg^{-1} \) in the group.

We partition the generator set \( E \) into non-empty subsets \( E_a \) associated with the agents \( a \in \Gamma \), and consider subgroups \( G_a = \langle e : e \in E_a \rangle \subseteq G \) generated by the \( e \in E_a \). This allows us to regard left cosets w.r.t. \( G_a \) as \( a \)-equivalence classes over \( G \), turning \( G \) into the set of possible worlds of an S5 frame. Indeed, the associated equivalence relation

\[
R_a := \{(g, gh) : h \in G_a\} = \text{TC}(\bigcup \{R_e : e \in E_a\})
\]

is the (reflexive, symmetric) transitive closure of the edge relation induced by corresponding generators in the Cayley graph. This pattern naturally extends to sets of agents \( \alpha \in \tau = \mathcal{P}(\Gamma) \). Writing \( G_{\alpha} \subseteq G \) for the subgroup generated by \( E_{\alpha} := \bigcup \{E_a : a \in \alpha\} \), the equivalence relations

\[
R_\alpha := \{(g, gh) : h \in G_{\alpha}\} = \text{TC}(\bigcup \{R_a : a \in \alpha\})
\]

are the accessibility relations in the CK-expansion: their equivalence classes are the left cosets w.r.t. the subgroups \( G_\alpha \) generated by corresponding parts of the \( \Gamma \)-partitioned \( E \).

**Definition 2.3.** With any Cayley group \( G = (G, \cdot, 1) \) with generator set \( E \) of involutions that is \( \Gamma \)-partitioned according to \( E = \bigcup_{a \in \Gamma} E_a \), we associate the **Cayley CK-frame** (Cayley frame, for short) \( G^{\text{CK}} \) over the set \( G \) of possible worlds with accessibility relations \( R_\alpha \) for \( \alpha \in \tau = \mathcal{P}(\Gamma) \). A **Cayley structure** consists of a Cayley frame together with a propositional assignment.

Note that any Cayley structure is a CK-structure, so that for Cayley structures \( \mathcal{M} \), always \( \mathcal{M}^{\text{CK}} = \mathcal{M} \). In the following we simply speak of \( \alpha \)-edges, \(-classes, \(-cosets with reference to the \( R_\alpha \) or \( G_\alpha \) in any Cayley structure.
2.3 Bisimulation

We present the core ideas surrounding the notion of bisimulation equivalence in the language of model-theoretic back-and-forth games of the following format. Play is between two players, player I and II, and over two Kripke structures $\mathcal{M} = (W, (R_a)_{a \in \Gamma}, (P_i)_{i \in I})$ and $\mathcal{N} = (V, (R_a)_{a \in \Gamma}, (P_i)_{i \in I})$. A position of the game consists of a pair of worlds $(w, v) \in W \times V$, which denotes a placement of a single pair of pebbles on $w$ in $\mathcal{M}$ and on $v$ in $\mathcal{N}$.

In a round played from position $(w, v)$, player I chooses one of the structures, $\mathcal{M}$ or $\mathcal{N}$, and one of the accessibility relations, i.e. one of the labels $a \in \Gamma$, and moves the pebble in the chosen structure along some edge of the chosen accessibility relation; player II has to move the pebble along an edge of the same accessibility relation in the opposite structure; the round results in a successor position $(w', v')$.

II loses in any position $(w, v)$ that violates propositional equivalence, i.e. whenever $\{i \in I : w \in P_i\} \neq \{i \in I : v \in P_i\}$; in this case the game terminates with a loss for II. The unbounded game continues indefinitely, and any infinite play is won by II. The $\ell$-round game is played for $\ell$ rounds, it is won by II if she can play through these $\ell$ rounds without violating propositional equivalence.

**Definition 2.4.** $\mathcal{M}, w$ and $\mathcal{N}, v$ are bisimilar, $\mathcal{M}, w \sim \mathcal{N}, v$, if II has a winning strategy in the unbounded bisimulation game on $\mathcal{M}$ and $\mathcal{N}$ starting from position $(w, v)$. $\mathcal{M}, w$ and $\mathcal{N}, v$ are $\ell$-bisimilar, $\mathcal{M}, w \sim^\ell \mathcal{N}, v$, if II has a winning strategy in the $\ell$-round bisimulation game starting from position $(w, v)$.

When a common background structure $\mathcal{M}$ is clear from context we also write just $w \sim w'$ for $\mathcal{M}, w \sim \mathcal{M}, w'$, and similarly for $\sim^\ell$.

It is instructive to compare the bisimulation game on $\mathcal{M}/\mathcal{N}$ with the game on $\mathcal{M}^{CK}/\mathcal{N}^{CK}$. On one hand,

$$\mathcal{M}, w \sim \mathcal{N}, v \iff \mathcal{M}^{CK}, w \sim \mathcal{N}^{CK}, v;$$

the non-trivial implication from left to right uses the fact that every move along an $R_a$-edge can be simulated by a finite number of moves along $R_a$-edges for $a \in \alpha$. This also means that, in the terminology of classical modal logic, passage from $\mathcal{M}$ to $\mathcal{M}^{CK}$ is safe for bisimulation. On the other hand, there is no such correspondence at the level of the finite approximations $\sim^\ell$, since the finite number of rounds needed to simulate a single round played on an $R_a$-edge cannot be uniformly bounded. This illustrates the infinitary character of passage from $\mathcal{M}$ to $\mathcal{M}^{CK}$, and encapsulates central aspects of our concerns here:

the passage $\mathcal{M} \longrightarrow \mathcal{M}^{CK}$ is beyond first-order control
and breaks standard notions of locality.

Correspondingly, modal or first-order expressibility over $\mathcal{M}^{CK}$ transcends expressibility over $\mathcal{M}$, and in particular $\text{ML}[\text{CK}]$ transcends $\text{ML}$ while still being invariant under $\sim$.

The link between bisimulation and definability in modal logics is the following well-known modal analogue of the classical Ehrenfeucht–Fraïssé theorem,
Here and in the following we denote as
\[ \mathcal{M}, w \equiv_{\ell} \mathcal{N}, v \]
indistinguishability by \( \text{ML}\)-formulae of modal nesting depth (quantifier rank) up to \( \ell \), just as \( \equiv^{\text{FO}}_q \) or just \( \equiv_q \) will denote classical first-order equivalence (elementary equivalence) up to quantifier rank \( q \). Over finite relational vocabularies all of these equivalences have finite index, which is crucial for the following.\(^2\)

**Theorem 2.5.** For any finite modal vocabularies (here: finite sets of agents and basic propositions), Kripke structures \( \mathcal{M} \) and \( \mathcal{N} \) with distinguished worlds \( w \) and \( v \), and \( \ell \in \mathbb{N} \):

\[ \mathcal{M}, w \sim^\ell \mathcal{N}, v \iff \mathcal{M}, w \equiv_{\ell} \mathcal{N}, v. \]

In particular, the semantics of any modal formula (in \( \text{ML} \) or in \( \text{ML}[\text{CK}] \)) is preserved under full bisimulation equivalence (of either the underlying plain S5 structures or their CK-expansions). Any formula of \( \text{ML}[\text{CK}] \) is preserved under some level \( \sim^\ell \) over CK-expansions (but not over the underlying plain S5 structures!).

The following notion will be of special interest for our constructions; it describes a particularly neat bisimulation relationship, mediated by a homomorphism (classical modal terminology speaks of bounded morphisms). Bisimilar tree unfoldings are a well-known instance of (albeit, usually infinite) bisimilar coverings with many applications.

**Definition 2.6.** A surjective homomorphism \( \pi: \hat{\mathcal{M}} \rightarrow \mathcal{M} \) between Kripke structures is called a *bisimilar covering* if \( \hat{\mathcal{M}}, \hat{w} \sim \mathcal{M}, \pi(\hat{w}) \) for all \( \hat{w} \) from \( \hat{\mathcal{M}} \).

### 2.4 Main lemmas

Control of multiplicities and cycles in Kripke structures plays an essential rôle towards the analysis of first-order expressiveness, simply because they are not controlled by bisimulation.

Core results from [9] deal with this at the level of plain S5 Kripke structures, where products with finite Cayley groups of sufficiently large girth suffice to avoid short cycles. These constructions would not avoid the kind of cycles we have to deal with in CK-structures. Instead we will have to look to stronger acyclicity properties, viz. coset acyclicity of Cayley groups in Section 3.1.1. On the other hand, we can naturally model any CK-scenario up to bisimulation, indeed up to a bisimilar covering, in a Cayley group directly. The following Lemma 2.7, which was already stated as Lemma 1.3 in the introduction, forms a cornerstone of our approach to the analysis of the expressive power of first-order logic for \( \sim\)-invariant properties over CK-structures.

\(^2\)Finite index is crucial for the definability of the \( \sim^\ell \)-equivalence classes by so-called characteristic formulae \( \chi^\ell_{\mathcal{M}, w} \) s.t. \( \mathcal{N}, v \models \chi^\ell_{\mathcal{M}, w} \) iff \( \mathcal{M}, w \sim^\ell \mathcal{N}, v \).
More fundamentally it says that, as far as bisimulation invariant phenomena are concerned, Cayley structures can serve as representatives of arbitrary CK-structures. And this is even true not just within the class of all CK-structures but also in the more restricted setting of just finite CK-structures.

Lemma 2.7. Any connected (finite) CK-structure admits a bisimilar covering by a (finite) Cayley CK-structure.

Proof. We may concentrate on the underlying plain S5 structures with accessibility relations \(R_a\) for \(a \in \Gamma\) (bisimilar coverings are compatible with the bisimulation-safe passage to CK-structures). Indeed, for the construction of the covering, we even go below that level and decompose the given accessibility relations \(R_a\) further into constituents induced by individual \(R_a\)-edges.

For given \(\mathfrak{M} = (W, (R_a), (P_i))\) let \(E := \bigcup_{a \in \Gamma} R_a\) be the disjoint union of the edge sets \(R_a\), where we identify an edge \(e = (w, w')\) with its converse \((w', w)\) (or think of the edge relations as sets of unordered pairs, or sets of size 1 for reflexive and size 2 for irreflexive edges). Formally we may represent this disjoint union by tagged copies of the individual edge pairs from each \(R_a\) for \(a \in \Gamma\) as \(E = \{(w, w'), a): a \in \Gamma, (w, w') \in R_a\}\), which may be partitioned into subsets \(E_a = \{(w, w'), a): (w, w') \in R_a\}\) corresponding to the individual \(R_a\). Let \(\mathfrak{M} \oplus 2^\mathcal{E}\) be the undirected \(E\)-edge-labelled graph formed by the disjoint union of \(\mathfrak{M}\) with the \(|E|\)-dimensional hypercube \(2^\mathcal{E}\). The vertices of this hypercube are the \(\{0, 1\}\)-valued sequences indexed by the set \(E\), with a symmetric \(e\)-edge between any pair of such sequences whose entries differ precisely in the \(e\)-component. With \(e \in E\) we associate the involutive permutation \(\pi_e\) of the vertex set \(V\) of \(\mathfrak{M} \oplus 2^\mathcal{E}\) that precisely swaps all pairs of vertices in \(e\)-labelled edges. We note that \(W\) is closed under the action of \(\pi_e\). For \(e = (\{w, w'\}, a)\), the permutation \(\pi_e\) fixes all worlds in \(W\) other than \(w, w'\); and if \(e = (\{w, w\}, a)\) is a reflexive \(e\)-edge, then \(\pi_e \mid W = id_W\). In restriction to \(2^\mathcal{E}\) on the other hand, \(\pi_e\) has no fixed points, and \(\pi_e \neq \pi_{e'}\) whenever \(e \neq e'\) (even if \(\pi_e \mid W = \pi_{e'} \mid W\), which can occur for \(a \neq a'\) if \(e = (\{w, w\}, a)\) and \(e' = (\{w, w'\}, a')\)).

For \(\mathcal{G}\) we take the subgroup of the symmetric group on \(V\) that is generated by these \(\pi_e\), which we regard as involutive generators of \(\mathcal{G}\). This is justified since, as just observed, the \((\pi_e)_{e \in E}\) and \(1 \in \mathcal{G}\) are pairwise distinct due to the \(2^\mathcal{E}\)-component. We may thus identify \(\pi_e\) with \(e\) and regard the edge set \(E\) as the subset \(E = \{\pi_e: e \in E\}\) \(\subseteq \mathcal{G}\), which generates \(\mathcal{G}\) as a group. We let \(\mathcal{G}\) act on \(V\) in the natural fashion (from the right): for \(g = e_1 \cdots e_n\),

\[g: v \mapsto ve_1 \cdots e_n := (\pi_{e_n} \circ \cdots \circ \pi_{e_1})(v)\]

This operation is well-defined as a group action, since by definition \(e_1 \cdots e_n = 1\) in \(\mathcal{G}\) if, and only if, \(\pi_{e_n} \circ \cdots \circ \pi_{e_1}\) fixes every \(v \in V\). It also leaves \(W \subseteq V\) invariant as a set, i.e. the action can be restricted to \(W\). Then the map

\[\hat{\pi}: W \times \mathcal{G} \rightarrow W\]

\[(w, g) \mapsto wg\]

is a bisimilar covering w.r.t. the following natural S5 interpretations of edge relations \(R_a\) as \(R_a := TC(\{(w, g), (w, ge)\}: w \in W, g \in \mathcal{G}, e \in E_a)\) over \(W \times \mathcal{G}\).
This bisimilar covering directly extends to the induced S5 frames with accessibility relations $R_\alpha$ for $\alpha \in \tau$ (again obtained as transitive closures of corresponding unions). Moreover, since $\mathfrak{M}$ is connected, $\mathbb{G}$ acts transitively on $W$ and we may restrict to a single orbit, i.e. to a single connected sheet $\{w_0\} \times \mathbb{G}$ of the above multiple covering. This restriction corresponds to the identification of an (arbitrary) distinguished world $w_0 \in W$ as a base point. We obtain $\pi$ as the restriction of $\hat{\pi}$ to the subset $\{(w_0, g): g \in \mathbb{G}\}$, which is naturally isomorphic with the Cayley frame of $\mathbb{G}$. We may expand the Cayley frame $(G, (R_\alpha), (P_i))$ in a unique manner to a Cayley structure $(G, (R_\alpha), (P_i))$ for which $\pi$ becomes a homomorphism onto $\mathfrak{M}^{\text{CK}}$. This is achieved by pulling back $P_i \subseteq W$ to its pre-image $\pi^{-1}(P_i) \subseteq G$, which becomes the assignment to proposition $P_i$ on $\mathbb{G}$. The resulting

$$\pi: (G, (R_\alpha), (P_i)) \rightarrow \mathfrak{M}^{\text{CK}}$$

provides the desired bisimilar covering of the CK-structure $\mathfrak{M}^{\text{CK}}$ by a Cayley structure. Note that $\mathbb{G}$ and $(G, (R_\alpha), (P_i))$ are finite if $W$ is. \hfill \Box

We used the hypercube structure $2^E$ in the above as an auxiliary component to adapt the group to its purposes in the covering, viz. in this case, to turn the set of individual accessibility edges of $\mathfrak{M}$ into a set of non-trivial and mutually independent generators in the Cayley structure that covers $\mathfrak{M}$. Different variants of this idea are available. These allow us to adapt the group structure in order to make the bisimilar covering more amenable for specific purposes. We discuss some immediate such variants here; an even more important one will then be discussed in much greater detail in Section 3.

Firstly, the well-known tree-like bisimilar unfolding of S5 Kripke structures can be presented in a very similar fashion based on free groups and their Cayley graphs. We define the free or acyclic group with involutive generator set $E$ over the set of reduced words over the alphabet $E$. An $E$-word $w = e_1 \ldots e_n \in E^*$ is reduced if $e_{i+1} \neq e_i$, for all $1 \leq i < n$.

**Definition 2.8.** The free group $\mathbb{F}(E)$ with involutive generator set $E$ is the group that consists of all reduced words over the alphabet $E$ without any non-trivial equalities, together with the (reduced) concatenation of words as its operation and the empty word as its neutral element.

Using $\mathbb{F}(E)$ and its Cayley graph in place of the group $\mathbb{G}$ (as was abstracted from permutation group action on $\mathfrak{M} \oplus 2^E$ above), we obtain the following.

**Lemma 2.9.** Any connected CK-structure admits a bisimilar covering by a Cayley CK-structure based on the Cayley graph of a free or acyclic group with involutive generators, which itself is a tree structure.

Note that the resulting bisimilar coverings are infinite in all but the most trivial cases. Also observe that non-trivial S5- and CK-frames cannot be trees. Rather, the above bisimilar coverings result in S5- or CK-structures that are generated from actual tree structures through transitive closure operations; in a sense they are as close to trees as possible, and coset acyclic in the sense to be discussed in Section 3 (cf. Definition 3.1).
Cayley graphs of large girth have been obtained from permutation group actions in [3] and used in the construction of finite bisimilar coverings of multimodal Kripke structures [16] and of S5 structures [9]. We could here similarly obtain finite bisimilar coverings of CK-structures that are generated through transitive closures from Cayley graphs of large girth (i.e. without short generator cycles). It turns out, however, that much stronger acyclicity properties for Cayley structures are needed for our present purposes. The cyclic configurations that matter in Cayley frames are induced by $R_\alpha$-edges (which includes $R_\sigma$-edges as a special case). Arising from transitive closures, these edges stem from paths of a priori unbounded lengths in terms of the underlying generator edges; and equivalence classes for accessibility relations $R_\alpha$ are cosets w.r.t. generated subgroups. This is why levels of coset acyclicity, rather than just lower bounds on girth, will be extensively discussed in Section 3.

As another immediate variation of the main lemma, we consider richness criteria. Simple variants of the above covering construction allow us to locally boost multiplicities. The multiplicity of a bisimulation type in an $\alpha$-class is the cardinality of the set of its realisations in this class, and $k$-richness requires that this multiplicity is at least $k$ (if not 0).

**Definition 2.10.** A CK-structure $\mathfrak{M}$ is $k$-rich, for some $k \in \mathbb{N}$, if for every $\emptyset \not= \alpha \in \tau$ the multiplicity of every bisimulation type that is realised in an $\alpha$-class is at least $k$ in that class. $\mathfrak{M}$ is $\omega$-rich if all these multiplicities are infinite.

By augmenting the number of generators in the group $G$ that are associated with $e \in E$ in the basic construction or its variants we can achieve $k$-richness in (finite) bisimilar coverings as in Lemma 2.7 as well as $\omega$-richness in tree-based coverings as in Lemma 2.9. Technically it suffices to replace $E$ by $E \times \{0, \ldots, k\}$ or by $E \times \omega$, and to let the group operation $\pi_{(e, i)}$ of the copies $(e, i)$ be the same as $\pi_e$ on $W$ while separating them in the hypercube component for the new $E$. This trick boosts multiplicities by a factor of $2^k$ or $\omega$. (In fact the basic covering construction in the proof of Lemmas 2.7 and 2.9 typically already introduces quite some boost in multiplicities compared to $\mathfrak{M}$ since the operation of $\pi_e$ on $W$ is rich in fixpoints.)

**Lemma 2.11.** For all $k \in \mathbb{N}$, every connected (finite) CK-structure admits a (finite) bisimilar covering by a Cayley structure that is $k$-rich. Every connected CK-structure admits a bisimilar covering by an infinite $\omega$-rich Cayley structure based on a free or acyclic group with involutive generators whose Cayley graph is a tree.

The crucial insight of Lemma 2.7 justifies the following, since – up to bisimulation – we may now transfer any model-theoretic question about (finite) CK-structures to (finite) Cayley structures. Lemma 3.4 will actually offer (finite) representations by Cayley structures with additional acyclicity and richness properties. Those are again obtained as coverings by Cayley groups with corresponding properties from [17].

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\[ M, w \sim^\ell M, v \]
\[ M; w^* \equiv_q M; v^* \]

Figure 1: Upgrading \( \sim^\ell \) to \( \equiv_q \) in bisimilar companions.

**Proviso.** From now on consider Cayley structures as prototypical representatives of CK-structures.

### 2.5 Upgrading for expressive completeness

The key to the expressive completeness results from [15] to [9, 17] lies in establishing the following finiteness or compactness phenomenon for \( \sim \)-invariant \( \text{FO} \)-formulae \( \varphi(x) \) over the relevant classes \( \mathcal{C} \) of structures:

\[
\{ \varphi \in \text{FO}: \varphi \sim \text{-invariant over } \mathcal{C} \} = \bigcup_{\ell \in \mathbb{N}} \{ \varphi \in \text{FO}: \varphi \sim^\ell \text{-invariant over } \mathcal{C} \}.
\]

This finiteness property in turn follows if suitable levels \( \sim^\ell \) can be upgraded in bisimilar companions within \( \mathcal{C} \) so as to guarantee equivalence w.r.t. the given \( \varphi \) of quantifier rank \( q \). The argument is as follows. Assume that for suitable \( \ell = \ell(q) \), any pair of pointed structures \( M, w \sim^\ell M, v \) from \( \mathcal{C} \) admits the construction of bisimilar companion structures \( M^*, w^* \sim M, w \) and \( N^*, v^* \sim N, v \) in \( \mathcal{C} \) such that \( M^*, w^* \equiv_q N^*, v^* \), as in Figure 1. Then the detour through the lower rung of Figure 1 shows that over \( \mathcal{C} \) any \( \sim \)-invariant \( \text{FO} \)-formula of quantifier rank \( q \) is indeed \( \sim^\ell \)-invariant, and hence expressible in \( \text{ML} \) at modal nesting depth \( \ell \) over \( \mathcal{C} \) by Theorem 2.5.

**Obstructions to be overcome.** Considering Figure 1, it is clear that \( M^* \) and \( N^* \) must avoid distinguishing features that are definable in \( \text{FO}_q \) (\( \text{FO} \) at quantifier rank up to \( q \)) but cannot be controlled by \( \sim^\ell \) (for a level \( \ell = \ell(q) \) to be determined). Features of this kind that would beat any level \( \ell \) involve

- small multiplicities w.r.t. accessibility relations, like fixed but differing small cardinalities for definable properties of worlds in \( \alpha \)-classes, and
- short cycles of fixed lengths w.r.t. combinations of the accessibility relations \( R_\alpha \).

In the setting of plain Kripke structures rather than our CK-structures, and thus for many of the more immediate variations on Theorem 1.1, it turns out that both these obstacles can be eliminated in bisimilar coverings by direct products: multiplicities can be boosted above critical thresholds in products with large enough cliques, and short cycles can be eliminated in products with Cayley groups of large girth.
We have also seen first indications above how to eliminate differences involving small multiplicities in (finite) bisimilar coverings by Cayley structures that are sufficiently rich as in Lemma 2.11; and Lemma 2.9 at least allows us to focus on Cayley structures that avoid cycles as far as possible at the level of the underlying Cayley graph if finiteness does not matter.

The great challenge, however, lies with the game arguments that are typically used to establish $\equiv_q$. The classical $q$-round first-order Ehrenfeucht–Fraïssé or pebble game, which serves to establish $\equiv_q$-equivalence of two structures (cf., e.g. [13, 10]), has to be based on some useful structural analysis of the target structures $\mathfrak{M}^*$ and $\mathfrak{N}^*$. While many earlier upgrading results in this vein could rely on classical Gaifman locality arguments for this structural analysis, the situation here is different. Indeed Gaifman locality is completely trivialised in connected CK-structures, which must form a single Gaifman clique w.r.t. $R_\Gamma$. Naively it thus seems all but hopeless to use locality techniques in structures that are as dense in terms of their edge relations as CK-structures are. But despite its denseness, the highly regular edge pattern of Cayley structures will allow us to invoke notions of locality at different levels of granularity, which are based on the various intermediate $R_\alpha$ between the extremes of the individual $R_\alpha$ and the trivial $R_\Gamma$.

3 Coset acyclicity and its structure theory

This section is the technical core of this paper. Here we introduce all tools required to overcome the immediate obstructions for upgrading $\sim^\ell$ to $\equiv_q$ for suitable $\ell = \ell(q)$, over the class of (finite) Cayley structures. To overcome problems with avoidable short cycles, we introduce notions of coset acyclicity from [17] for our purposes, and show that every (finite) Cayley structure admits a bisimilar covering by a (finite) Cayley structure that is coset $n$-acyclic. We also introduce the dual hypergraph associated with a Cayley structure. This dual picture will be an important tool for our upgrading arguments later and also allows us to relate coset acyclicity to classical hypergraph acyclicity. The second part of this section, Section 3.2, then introduces freeness as the most important notion in the structure theory of suitable Cayley structures for our upgrading arguments, with core results from [6].

3.1 Coset acyclic Cayley structures

In the case of CK-frames and Cayley frames one cannot hope to avoid cycles outright.\(^3\) Since any Cayley frame is connected, any two of its worlds $w$ and $w'$ are linked by a $\Gamma$-edge in any Cayley frame. This is of no concern for the upgrading (in fact, $R_\Gamma$ is trivially FO-definable in Cayley frames). But crucial distinctions can occur w.r.t. the reducts of Cayley frames without $\Gamma$-edges: worlds $w$ and $w'$ may not be related by any single $\alpha$-edge for $\alpha \not\subseteq \Gamma$, but via a non-trivial short

\(^3\)This is even true of S5 structures, but at least those cannot have short cycles w.r.t. long-range edge relations like our $R_\alpha$..
path that uses mixed edge relations. Assume we have Cayley structures $\mathcal{M}$ and $\mathcal{N}$, and pairs of worlds $(w, v), (w', v') \in W \times V$ such that $\mathcal{M}, w \sim^\ell \mathcal{N}, v$ and $\mathcal{M}, w' \sim^\ell \mathcal{N}, v'$. It is possible to have two different non-trivial short paths from $w$ to $w'$ but essentially only one such path from $v$ to $v'$; and this difference could be expressible in $\text{FO}_q$. The solution is to find bisimilar companions for $\mathcal{M}$ and $\mathcal{N}$ that are locally acyclic w.r.t. non-trivial overlaps between $\alpha$-classes, i.e. $\alpha$-cosets $[w]_\alpha$ for various $\alpha$. Simultaneously, every such coset $[w]_\alpha$ of the structures must be locally acyclic, in the same sense, w.r.t. $\beta$-classes for $\beta \subseteq \alpha$. It turns out that the notion of \textit{coset acyclicity} from [17] is what we can use.

### 3.1.1 Coset cycles

Recall from the definition of Cayley structures that the accessibility relations $R_a$ (for individual agents $a \in \Gamma$) or $R_\alpha$ (for groups of agents $\alpha \in \tau = \mathcal{P}(\Gamma)$, of which the $R_a$ are just a special case) arise from transitive closures of sets of edge relations induced by individual generators of the underlying group structure. Cyclic configurations w.r.t. combinations of different $R_\alpha$ are cyclic configurations formed by cosets rather than by generators of the underlying group. Correspondingly we are interested in Cayley frames that avoid short coset cycles rather than just short generator cycles (i.e. large girth).

**Definition 3.1.** Let $\mathcal{M}$ be a Cayley frame.

(i) A \textit{coset cycle of length $m \geq 2$} in $\mathcal{M}$ is a cyclic tuple $(w_i, \alpha_i)_{i \in \mathbb{Z}}$, where, for all $i \in \mathbb{Z}$, $(w_i, w_{i+1}) \in R_{\alpha_i}$ and

\[
[w_i]_{\alpha_i-1} \cap [w_i]_{\alpha_i} \cap [w_{i+1}]_{\alpha_i} = \emptyset.
\]

(ii) $\mathcal{M}$ is \textit{acyclic} if it does not have any coset cycles, and \textit{$n$-acyclic} if it does not contain coset cycles of lengths up to $n$.

In Section 2.4 we showed that every Cayley structure has a bisimilar covering by an infinite Cayley structure that is based on a free group with involutive generators (cf. Lemma 2.9). It is easy to see that this covering is coset acyclic: any non-trivial coset cycle would stem from a non-trivial generator cycle. The two kinds of acyclicity coincide at the level of full acyclicity because the blowup in length in the passage from coset-steps to generator-steps is not taken into account. Together with the $\omega$-richness property from Lemma 2.11 these coverings would suffice for proving the classical version of our characterisation theorem. For the finite model theory version, we need bisimilar coverings that are finite and, at the same time, \textit{sufficiently coset acyclic} and \textit{sufficiently rich}. The richness part is already covered by Lemma 2.11. Suitable levels of coset acyclicity in finite Cayley groups were introduced in [17].

**Definition 3.2.** Let $\mathcal{G}$ be a Cayley group with generator set $E$.

(i) A \textit{coset cycle of length $m$} in $\mathcal{G}$ is a cyclic tuple $(g_i, \alpha_i)_{i \in \mathbb{Z}}$ with $g_i \in \mathcal{G}$ and $\alpha_i \subseteq E$, for all $i \in \mathbb{Z}$, where $g_i^{-1} g_{i+1} \in \mathcal{G}_{\alpha_i}$ and

\[
g_i [\mathcal{G}_{\alpha_i-1} \cap \mathcal{G}_{\alpha_i}] \cap [\mathcal{G}_{\alpha_i}] = \emptyset.
\]
(ii) $G$ is acyclic if it does not contain any coset cycles, and $n$-acyclic if it does not contain coset cycles of lengths up to $n$.

As shown in [17], every finite Cayley group can be covered by a finite, $n$-acyclic Cayley group, for arbitrary $n \in \mathbb{N}$.

**Lemma 3.3.** For every finite Cayley group $G$ with finite generator set $E$ and every $n \in \mathbb{N}$, there is a finite, $n$-acyclic Cayley group $\hat{G}$ with generator set $E$ such that there is a surjective homomorphism $\pi: \hat{G} \to G$.

Combining Lemma 3.3 with the main lemmas from Section 2.4, we obtain the desired coverings for finite CK-structures. We apply Lemma 3.3 to the Cayley group that underlies the Cayley structure that we get from Lemma 2.11 and define a bisimilar covering as in the proof of Lemma 2.7.

**Lemma 3.4.** For all $k, n \in \mathbb{N}$, every connected (finite) CK-structure admits a (finite) bisimilar covering by a Cayley structure that is $k$-rich and coset $n$-acyclic.

Here and in what follows it is important to keep in mind that $\alpha$-classes in Cayley frames and structures are nothing but left cosets w.r.t. subgroups $G_\alpha$ in the underlying Cayley group. This is clearly reflected in the parallelism of Definition 3.1 and 3.2. Absence of coset 2-cycles in a Cayley frame based on $G$, for instance, just says that $G_\alpha \cap G_\beta = G_\alpha \cap \beta$ for all $\alpha, \beta \subseteq \Gamma$.

**Observation 3.5.** Let $M$ be a Cayley frame based on the Cayley group $G$. $M$ is 2-acyclic if, and only if, for all $w \in W, \alpha, \beta \in \tau$, $[w]_\alpha \cap [w]_\beta = [w]_{\alpha \cap \beta}$, if, and only if, $G_\alpha \cap G_\beta = G_{\alpha \cap \beta}$ for all $\alpha, \beta \in \tau$.

### 3.1.2 2-acyclicity

We use Observation 3.5 to show that 2-acyclic Cayley frames display a high degree of regularity that will be essential for many of the notions to be introduced in this and the following sections. While arbitrary S5- or CK-frames impose very little structure on the overlap patterns between the equivalence classes w.r.t. various $R_\alpha$, we shall see that in 2-acyclic Cayley frames, e.g. any pair of vertices is connected by $R_\alpha$ for a unique minimal set $\alpha \in \tau$.

In the next section, we shall investigate the structure of the dual hypergraph associated with a Cayley frame (cf. Definition 3.14). We anticipate the definition of the dual hyperedge which is convenient for notational purposes here as well.

**Definition 3.6.** In a Cayley frame $W$, define the dual hyperedge induced by a world $w$ to be the set of cosets

$$[w] := \{[w]_\alpha : \alpha \in \tau\}.$$
Lemma 3.7. In a 2-acyclic Cayley frame $\mathcal{M}$ with worlds $w, w_1, \ldots, w_k$ and sets of agents $\alpha_1, \ldots, \alpha_k \in \tau$:

1. For $\beta := \bigcap_{1 \leq i \leq k} \alpha_i$: \quad $w \in \bigcap_{1 \leq i \leq k} [w_i]_{\alpha_i} \iff \bigcap_{1 \leq i \leq k} [w_i]_{\alpha_i} = [w]_{\beta}$.

2. The set $\bigcap_{1 \leq i \leq k} [w_i]$ has a least element in the sense that there is an $\alpha_0 \in \tau$ such that $[w_1]_{\alpha_0} \in \bigcap_{1 \leq i \leq k} [w_i]$ and, for any $\alpha \in \tau$ and $1 \leq i \leq k$:

$$[w_i]_{\alpha} \in \bigcap_{1 \leq i \leq k} [w_i] \iff \alpha_0 \subseteq \alpha.$$ 

We shall often blur the distinction between a finite set (of worlds) and its enumeration as a tuple, using notation like $w$ for a finite collection of worlds $w$.

Definition 3.8. In a 2-acyclic Cayley frame we denote the unique minimal set of agents that connects the worlds in $w$ by $\operatorname{agt}(w) \in \tau$.

So, for each one of the worlds $w \in w$, $\operatorname{agt}(w) = \bigcap\{\alpha \in \tau : w \subseteq [w]_{\alpha}\}$.

Intuitively, $\operatorname{agt}(w)$ sets the scale for zooming-in on the minimal substructure that connects the worlds $w$. We shall see later that, regarding distances between the worlds $w$, we only need to control cycles and paths with $\beta$-steps for $\beta \subseteq \operatorname{agt}(w)$ for $w \in w$. For intersections between dual hyperedges, Lemma 3.7 implies that every intersection can be described by the unique set of agents $\operatorname{agt}(w)$. This means, for every $w \in w$:

$$[w]_{\alpha} \in \bigcap_{w \in w} [w] \iff \alpha \supseteq \operatorname{agt}(w).$$

The following lemma will be vital for many of the constructions to come, as it allows us to control $\operatorname{agt}(w)$ in 2-acyclic frames.

Lemma 3.9. In a 2-acyclic Cayley frame for worlds $w, v$:

1. For every agent $a \notin \operatorname{agt}(w, v)$ and every $v' \in [v]_a \setminus \{v\}$:

$$\operatorname{agt}(w, v') = \operatorname{agt}(w, v) \cup \{a\}.$$ 

2. For every agent $a \in \operatorname{agt}(w, v)$ there is at most one $v' \in [v]_a$ such that

$$\operatorname{agt}(w, v') = \operatorname{agt}(w, v) \setminus \{a\}.$$ 

Proof. For (1), the inclusion $\operatorname{agt}(w, v') \subseteq \operatorname{agt}(w, v) \cup \{a\}$ is obvious; for the converse, observe that $v, a, v', (\operatorname{agt}(v', w) \cup \operatorname{agt}(w, v))$ would be a 2-cycle if $a \notin \operatorname{agt}(w, v')$, and that $w, \operatorname{agt}(w, v), v, \operatorname{agt}(w, v')$ would be a 2-cycle if $\operatorname{agt}(w, v) \not\subseteq \operatorname{agt}(w, v') = \operatorname{agt}(w, v') \cup \{a\}$.

Similarly for (2), let $a \in \alpha := \operatorname{agt}(w, v)$, $\beta := \alpha \setminus \{a\}$ and assume that $\operatorname{agt}(w, v') = \operatorname{agt}(w, v'') = \beta$ for two different worlds $v', v'' \in [v]_a \setminus \{v\}$. One checks that $v', \beta, v'', a, v'$ would form a 2-cycle. □
3.1.3 Coset acyclicity and hypergraph acyclicity

The dual hypergraph of a Cayley frame or structure will play a crucial rôle in the Ehrenfeucht–Fraïssé arguments in Section 4. We here investigate the connections between acyclicity of Cayley frames and hypergraph acyclicity, and between coset paths in Cayley frames and chordless paths in hypergraphs.

**Definition 3.10.** A hypergraph is a structure $\mathfrak{A} = (A, S)$, $A$ its vertex set and $S \subseteq \mathcal{P}(A)$ its set of hyperedges.

All hypergraphs to be considered here have finite width, where width refers to the maximal size of the hyperedges, $\max\{|s| : s \in S\}$. We denote by $S^\downarrow$ the closure of $S$ under passage to subsets, and correspondingly let $\mathfrak{A}^\downarrow := (A, S^\downarrow)$. A hypergraph $\mathfrak{A} = (A, S)$ has as its induced substructures (sub-hypergraphs) the hypergraphs $\mathfrak{A} \upharpoonright Q$ for $\emptyset \neq Q \subseteq A$ with vertex set $Q$ and hyperedges $s \cap Q$.

With a hypergraph $\mathfrak{A} = (A, S)$ we associate its Gaifman graph $G(\mathfrak{A}) = (A, G(S))$; the undirected edge relation $G(S)$ of $G(\mathfrak{A})$ links $a \neq a'$ if $a, a' \in s$ for some $s \in S$. Note that $G(\mathfrak{A}) = G(\mathfrak{A}^\downarrow)$. A hypergraph is called connected if its Gaifman graph is. An $n$-cycle in a hypergraph is a cycle of length $n$ in its Gaifman graph, and an $n$-path is a path of length $n$ in its Gaifman graph. A chord of an $n$-cycle or $n$-path is an edge between vertices that are not next neighbours along the cycle or path. The following definition of hypergraph acyclicity is the classical one from [2], also known as $\alpha$-acyclicity in [1]; $n$-acyclicity was introduced in [17].

**Definition 3.11.** A hypergraph $\mathfrak{A} = (A, S)$ is acyclic if it is conformal and chordal:

(i) conformity requires that every clique in the Gaifman graph $G(\mathfrak{A})$ is contained in some hyperedge $s \in S$;

(ii) chordality requires that every cycle in the Gaifman graph $G(\mathfrak{A})$ of length greater than 3 has a chord.

For $n \geq 3$, $\mathfrak{A} = (A, S)$ is $n$-acyclic if it is $n$-conformal and $n$-chordal:

(iii) $n$-conformality requires that every clique in $G(\mathfrak{A})$ up to size $n$ is contained in some hyperedge $s \in S$;

(iv) $n$-chordality requires that every cycle in $G(\mathfrak{A})$ of length greater than 3 and up to $n$ has a chord.

**Remark** ([17]). If a hypergraph $\mathfrak{A} = (A, S)$ is $n$-acyclic, then every induced substructure $\mathfrak{A} \upharpoonright Q$ for non-empty subsets $Q \subseteq A$ of up to $n$ vertices is acyclic.

**Definition 3.12.** A tree decomposition $\mathcal{T} = (T, \delta)$ of a hypergraph $\mathfrak{A} = (A, S)$ consists of a tree $T$ (i.e. an acyclic connected graph, often with a distinguished root node) together with a map $\delta : T \to S^\downarrow$ that associates subsets of hyperedges with every node $u$ of the tree such that

(i) $S^\downarrow = \text{image}(\delta)^\downarrow$, and

(ii) for every vertex $a \in A$, the set $\{v \in T : a \in \delta(v)\}$ is connected in $T$.
A hypergraph \( \mathfrak{A} = (A, S) \) is tree decomposable if it admits a tree decomposition.\(^4\)

A tree decomposition \( T = (T, \delta) \) organises the hyperedges of \( \mathfrak{A} \) or \( \mathfrak{A}^{\downarrow} \) in bags \( \delta(u) \subseteq S^\downarrow \) for \( u \in T \), so as to reflect the tree-like nature of their overlap pattern in \( \mathfrak{A} \). A well-known result from classical hypergraph theory ([2],[1]) is that a hypergraph is tree decomposable if, and only if, it is acyclic. For finite hypergraphs \( \mathfrak{A} \) we may moreover bound the depth of the underlying tree \( T \) of a tree decomposition just in terms of the size of the vertex set. Indeed, edges between nodes with identical bags can be contracted. Then along a simple directed path from some node \( u \) to some node \( u' \) in \( T \), any individual vertex \( a \in A \) can legitimise at most two distinct edges (by entering or exiting bags), which bounds the length of the path by \( 2|A| - |\delta(u)| - |\delta(u')| \). On the basis of further contractions one may also eliminate inclusion relationships between bags at neighbouring nodes. This yields a bound of \( |A| \) for the depth of \( T \), even after attaching an extra root node to represent some designated member of \( S^\downarrow \) (whose bag may be a subset of next neighbour bags). To tree decompositions satisfying this latter constraint we we refer to as succinct tree decompositions.

The connectivity constraint in the definition of tree decompositions, together with the fact that all bags form cliques in the underlying Gaifman graph, yields the following simple but useful insight. As bags are Gaifman cliques a simple chordless path in \( G(\mathfrak{A}) \) cannot visit the same bag twice. It follows that the edges between next neighbours along such a path must all be represented in bags that lie on the minimal connecting path between any two bags that represent the terminal nodes in the tree decomposition.

**Observation 3.13.** The edges of chordless simple paths between elements of different bags \( \delta(u) \) and \( \delta(u') \) of a tree decomposition are represented in the bags along the shortest connecting path between \( u \) and \( u' \) in the tree.

**Definition 3.14.** Let \( \mathfrak{M} = (W, (R_\alpha)_{\alpha \in \tau}) \) be a Cayley frame. Its dual hypergraph is the vertex-coloured hypergraph \( d(\mathfrak{M}) := (d(W), S, (C_\alpha)_{\alpha \in \tau}) \) where

\[
d(W) := \bigcup_{\alpha \in \tau} C_\alpha \quad \text{for} \quad C_\alpha := W/R_\alpha,
\]

\[
S := \{ [w] \subseteq d(W) : w \in W \}.
\]

Note that \( d(\mathfrak{M}) \) has width \( |\tau| = 2^{|\Gamma|} \), as \( [w] = \{ [w]_\alpha : \alpha \in \tau \} \). As \( \mathfrak{M} \) is connected, so is its dual \( d(\mathfrak{M}) \), and its diameter is bounded by \( 2 \): any two elements \( [w]_\alpha, [w']_\beta \) are linked to the universal class \( [w]_\Gamma = [w']_\Gamma = W \in d(W) \) by hyperedges \( [w] \) and \( [w'] \). Due to the uniform bound on the width, any \( d(\mathfrak{M}) \) that is \( n \)-conformal for \( n \geq |\tau| \) must be outright conformal. The notions of acyclicity for Cayley frames and hypergraph acyclicity are directly connected by the following.

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\(^4\)One can, for hypergraphs of finite width, equivalently require a map \( \delta : T \rightarrow S \) that is surjective onto the set of \( \subseteq \)-maximal members of \( S \), with analogous connectivity requirements, see [1]. While irrelevant for the resulting notion of tree-decomposability, passage to \( S^\downarrow \) simplifies considerations involving induced sub-hypergraphs, whose hyperedges are subsets of original hyperedges.
Lemma 3.15 ([17]). For \( n \geq 3 \), if \( \mathcal{M} \) is an \( n \)-acyclic Cayley frame, then \( d(\mathcal{M}) \) is an \( n \)-acyclic hypergraph.

When playing the Ehrenfeucht-Fraïssé game in Section 4 to prove the upgrading theorem over Cayley structures we use their dual hypergraphs as auxiliary structures to describe a winning strategy. For that we keep track of an invariant involving a substructure that contains the pebbled worlds. This invariant has an image in the dual hypergraph that we use to maintain and expand the invariant properly in each round. The key notion to describe this dual image is the convex \( m \)-closure, which was defined in [17] for a similar purpose.

Definition 3.16. Let \( \mathfrak{A} = (A, S) \) be a hypergraph.

(i) A subset \( Q \subseteq A \) is \( m \)-closed if every chordless path of length up to \( m \) between distinct vertices \( a, a' \in Q \) is contained in \( Q \).

(ii) For \( m \in \mathbb{N} \), the convex \( m \)-closure of a subset \( P \subseteq A \) is the minimal \( m \)-closed subset that contains \( P \): \( \text{cl}_m(P) := \bigcap \{ Q \supseteq P : Q \subseteq A \text{ \( m \)-closed} \} \).

As a direct consequence of Observation 3.13 we obtain the following.

Observation 3.17. Let \( \mathcal{T} = (T, \delta) \) be a tree decomposition of an \( m \)-closed hypergraph \( \mathfrak{A} = (A, S) \). Then the induced sub-hypergraph of \( \mathfrak{A} \) on the elements covered by \( T \upharpoonright U \) for a connected subset \( U \) of \( T \), \( \mathfrak{A} \upharpoonright \bigcup \{ \delta(u) : u \in U \} \), is \( m \)-closed.

In the analysis of the Ehrenfeucht-Fraïssé game it will be important to maintain, as part of an invariant, convex closures of the representations of the pebbled configurations, which means that such convex closures need to be updated to incorporate newly added elements. The following lemma shows that, in sufficiently acyclic hypergraphs, such extensions of convex closures are well-behaved and can be controlled. In the statement, distance \( d(P, q) = \min \{ d(p, q) : p \in P \} \) between a set and a vertex refers to distance in the Gaifman graph, and \( N^1(P) = \bigcup \{ N^1(p) : p \in P \} \) is the 1-neighbourhood of the set \( P \) in the Gaifman graph. Both the following lemmas are from [17].

Lemma 3.18 ([17]). Let \( m > 1 \), \( \mathfrak{A} = (A, S) \) be a hypergraph that is sufficiently acyclic, \( Q \subseteq A \) \( m \)-closed and \( a \in A \) some vertex with \( 1 \leq d(Q, a) \leq m \). Let \( \hat{Q} := \text{cl}_m(Q \cup \{ a \}) \) and consider the region \( D := Q \cap N^1(\hat{Q} \setminus Q) \) in which this extended closure attaches to \( Q \). Then \( \hat{Q} \setminus Q \) is connected, and \( D \) separates \( \hat{Q} \setminus Q \) from \( Q \setminus D \) (in the graph-theoretic sense in \( G(\mathfrak{A}) \)), whence

\[
\hat{Q} = Q \cup \text{cl}_m(D \cup \{ a \}).
\]

If \( Q \) is even \((2m + 1)\)-closed, then \( D = Q \cap N^1(\hat{Q} \setminus Q) \) is a clique.

As \( \hat{Q} = Q \cup \text{cl}_m(D \cup \{ a \}) \) for some clique \( D \subseteq Q \), it will be important to bound the size of the extension \( \text{cl}_m(D \cup \{ a \}) \). This is the extension that occurs, in the dual image as part of the invariant, in a single round, and a size bound will be critical for bounding the required level of \( \ell \)-bisimulation that is necessary to win the game. As pointed out above, the dual hypergraphs are of uniform width \( |\tau| \), which we regard as constant; we therefore seek functions
Lemma 3.19 ([17]). For fixed width, there are functions $f_m(k)$ such that in hypergraphs $A$ of that width that are sufficiently acyclic, $|\text{cl}_m(P)| \leq f_m(k)$, for all $P \subseteq A$ of size $|P| \leq k$.

3.2 Structure theory for n-acyclic Cayley structures

Section 3.1 covered the first part of the upgrading argument and especially the availability of suitable coverings. This section provides the tools for the second part, viz. for showing that $\sim\ell$-equivalence of two such suitable Cayley structures implies $\equiv_q$-equivalence, by playing the first-order Ehrenfeucht-Fraïssé game. The central notion of this subsection is freeness, a special property of sufficiently rich and acyclic Cayley structures. Essentially, freeness governs a single round in the Ehrenfeucht-Fraïssé game, in the sense that it allows II to find a suitable response to any move of I. As with richness and acyclicity, there are different degrees of freeness. The main result of this section is the freeness theorem, which states that sufficiently rich and acyclic Cayley structures are sufficiently free. Beside a formal introduction and discussion of freeness, this section introduces coset paths. They generalise graph-theoretic paths in the same way that coset cycles generalise graph-theoretic cycles and play an important part in proving the freeness theorem in Section 3.2.3. Most of the auxiliary theoretical results on coset paths come from [6].

Many of the definitions and notions that we will introduce from now on only make sense in 2-acyclic Cayley frames, because they are based on the notion of the unique minimal connecting set of agents $\text{agt}(w)$ defined in the previous section. As every Cayley structure has a 2-acyclic bisimilar covering, the following is justified.

Proviso. We assume for the remainder of this section that all Cayley frames are at least 2-acyclic.

3.2.1 Freeness

Consider playing the $i$-th round of an Ehrenfeucht-Fraïssé game on Cayley structures $\mathfrak{M}$ and $\mathfrak{N}$ with worlds $w_1, \ldots, w_{i-1} \in W$ and $v_1, \ldots, v_{i-1} \in V$ already pebbled. If player I chooses the world $w_i \in W$ in his next move, how does player II respond to this? As usual, II has to maintain a partial isomorphism between the pebbled worlds. In order to cope with player I's challenges in future rounds, she also needs to match short distances between worlds exactly and to match long distances with long distances. Since we play on Cayley structures, she has to respect these distances on multiple scales.

The special property of Cayley structures that allows us to make suitable choices is called freeness and is the topic of this section. Recall from Section 3.1.3, Definition 3.14, the dual hypergraph associated with a Cayley frame.
and the notion of Gaifman distance in hypergraphs discussed there. The following notation is useful towards the formal definition of freeness: for $t,X,Y \subseteq A$ in a hypergraph $A = (A,S)$, we denote as $d_t(X,Y)$ the distance between $X \setminus t$ and $Y \setminus t$ in the induced sub-hypergraph $A \mid t := A \upharpoonright (A \setminus t)$. For a set of worlds $z \subseteq W$, we write $[z]$ for the set $\{[z] : z \in z\}$ of associated hyperedges. A pointed set (of worlds) is a pair $(z, z_0)$, where $z$ is a set of worlds and $z_0 \in z$.

**Definition 3.20.** Let $\mathcal{M}$ be a 2-acyclic Cayley structure and $m,k \in \mathbb{N}$. For $v \in W$ and a pointed set of worlds $(z, z_0)$ we say that $(z, z_0)$ and $v$ are $m$-free, denoted as $(z, z_0) \perp_m v$, if $d_t(\bigcup[z], [v]) > m$ in $d(\mathcal{M})$, where $t = [v] \cap [z_0]$.

We say that $\mathcal{M}$ is $(m,k)$-free if, for all $v \in W$, all pointed sets $(z, z_0)$ with $|z| \leq k$, and all sets of agents $\gamma \supseteq \text{agt}(v, z_0)$, there is some $v^* \sim v$ such that $\text{agt}(v^*, z_0) = \gamma$ and $(z, z_0) \perp_{m,v^*}$.

The main result of this section (Theorem 3.31) states that sufficiently acyclic and rich Cayley structures are $(m,k)$-free. Note that the concept of freeness refers to distance in the dual hypergraph of a Cayley structure (cf. Lemma 3.26). We shall use dual hypergraphs and freeness criteria in Section 4 to describe a winning strategy for player $\mathbf{II}$ in the Ehrenfeucht-Fraïssé game. There $z$ will comprise not just the worlds pebbled so far, but a certain small substructure spanned by the pebbled worlds, and $z_0$ plays the rôle of the world in $z$ that is, in some sense, closest to a newly pebbled world $v$. Freeness was introduced in [17] to define a winning strategy for an Ehrenfeucht-Fraïssé game played on $n$-acyclic hypergraphs, in order to show a characterisation theorem for guarded logic. We adapt the idea for our purposes to use it over Cayley structures and their dual hypergraphs. Essentially, freeness is applied in the same way as in [17], but the proof that sufficiently acyclic and rich Cayley structures are $(m,k)$-free is new here. Definition 3.20 speaks about worlds in the Cayley structure and about distances in the Gaifman graph of the dual hypergraph. Our proof of the freeness theorem finds the desired world $v^*$, which is far enough away from $z$ in terms of the dual hypergraph, through constructions on the original Cayley structure.

A world $v$ and a pointed set $(z, z_0)$ are $m$-free if the distance between $[v] \setminus t$ and $\bigcup[z] \setminus t$ in $d(\mathcal{M}) \setminus t$ is strictly larger than $m$, for $t = [v] \cap [z_0]$. In other words, a minimal path between $[v] \setminus t$ and $\bigcup[z] \setminus t$ in $d(\mathcal{M}) \setminus t$ must be strictly longer than $m$. In fact we are only interested in those paths between $[v]$ and $\bigcup[z]$ that do not go through $t$: the paths that go through $t$ are all the trivial paths between $[v]$ and $\bigcup[z]$, and the goal is to find some $v^* \sim v$ such that all the non-trivial paths are long. The set $t$ is a set of equivalence classes in $\mathcal{M}$. By definition, it contains exactly those classes that contain both $v$ and $z_0$, so that some class $[v]_\beta$ is an element of $t$ if and only if $(z_0, v) \in R^\mathcal{M}_\beta$, which by 2-acyclicity is equivalently expressed as

$$t = \{[v]_\beta : \beta \supseteq \text{agt}(z_0, v)\} = \{[v]_\beta : [v]_\beta \supseteq [v]_{\text{agt}(z_0, v)}\}.$$

So the classes in $t$ represent the coset paths of length 1 from $z_0$ to $v$. These are the trivial paths, which we cannot and need not avoid. But in order to win
the Ehrenfeucht-Fraïssé game we need to be able to ensure that a response in a
given round of the game can match long paths with long paths.

In order to find a suitable \( v^* \), we will deal with each world \( z \in \) in turn.
First, we find a copy \( v_0 \) of \( v \) such that \( d_t([v_0], [z_0]) > m \), then we find a
 copy \( v_1 \) such that \( d_t([v_1], [z]) > m \), for another world \( z \in \), while maintaining
\( d_t([v_1], [z_0]) > m \), and so forth. The last of these copies will be \( v^* \). Take note
of the fact that we always need to avoid the same set \( t = [v] \cap [z_0] \) (rather than
\( [v] \cap [z] \)) when we want to increase the distance between \( [v] \) and \( [z] \).
This complicates things on a technical level. Note that \( d_t([v], [z]) > 1 \) implies
\( [v] \cap [z] \subseteq t \), which means that all the classes that directly connect \( v \) and \( z \) will
also be avoided.

To find some suitable world \( v^* \) in the Cayley structure \( \mathcal{M} \), we consider paths
in \( d(\mathcal{M}) \setminus t \) that need to be avoided as paths in \( \mathcal{M} \), as certain coset paths
to be introduced below. We close this section with a useful alternative description
of the set \( t \) that needs to be avoided. Motivated by freeness, \( t \) was defined as
\( [v] \cap [z_0] \), i.e. in terms of \( v \) and \( z_0 \). Since we assume \( \mathcal{M} \) to be 2-acyclic, \( t \) can
also be described in terms of \( v \) and the set \( \gamma := \text{agt}(z_0, v) \) as \( t = \{[v]_{\beta} : \beta \supseteq \gamma \} \),
as we saw above. This motivates the following definition.

**Definition 3.21.** For a 2-acyclic Cayley frame \( \mathcal{M} \) with dual hypergraph \( d(\mathcal{M}) \),
we define the following mapping:

\[
\rho^\mathcal{M} : W \times \tau \rightarrow \mathcal{P}(d(W))
\]

\[
(v, \gamma) \mapsto \{[v]_{\beta} : \beta \supseteq \gamma \}
\]

If it is clear from the context, we drop the superscript \( \mathcal{M} \) and just write \( \rho \) in
stead of \( \rho^\mathcal{M} \). Note that the set \( t \) to be avoided will typically be \( t = \rho(v, \text{agt}(z_0, v)) \).

The following lemma characterises the relationship of the sets \( [v] \cap [z_0] \) and
\( [v] \cap [z] \) in \( d(\mathcal{M}) \) in terms of \( \text{agt}(z_0, v) \) and \( \text{agt}(v, z) \). We can observe the usual
duality in the transition from Cayley structures to their dual hypergraphs.

**Lemma 3.22.** Let \( \mathcal{M} \) be a 2-acyclic Cayley frame, \( v, z \) two worlds and \( \gamma \) a set
of agents, then

\[
[z] \cap [v] \subseteq \rho(v, \gamma) \iff \gamma \subseteq \text{agt}(z, v).
\]

3.2.2 Coset paths

A special case of the coset paths to be considered here are the non-\( t \) coset paths
for some \( t = \rho(v, \gamma) \). Those are the coset paths that correspond to the chordless
paths that avoid \( t \) in the dual hypergraph. Based on non-\( t \) coset paths we present a multi-scale measure of distance in Cayley graphs and results from [6]
that tie it to the measure of distance that we use in hypergraphs.

**Definition 3.23.** Let \( \mathcal{M} \) be a Cayley frame. A coset path of length \( \ell \geq 1 \) is a
labelled path \( w_1, \alpha_1, w_2, \alpha_2, \ldots, \alpha_\ell, w_{\ell+1} \) such that, for \( 1 \leq i \leq \ell \),

\[
[w_1]_{\alpha_{i-1} \cap \alpha_i} \cap [w_{i+1}]_{\alpha_i \cap \alpha_{i+1}} = \emptyset,
\]

\( ^5 \)A labelled path is a path in the graph-theoretic sense with explicit account for the edge
labels; in our present notation this means that \( (w_i, w_{i+1}) \in R_{\alpha_i} \).
where we trivially supplement the path with \( \alpha_0 = \alpha_{t+1} := \emptyset \).

A coset path \( w_1, \alpha_1, \ldots, \alpha_t, w_{t+1} \) of length \( \ell \geq 2 \) with \( \alpha := \text{agt}(w_1, w_{t+1}) \) is

- non-trivial if \( [w_i]_{\alpha_i} \not\subseteq [w_1]_{\alpha} \)
- an inner path if \( [w_i]_{\alpha_i} \subseteq [w_1]_{\alpha} \)

for all \( 1 \leq i \leq \ell \). It is

- non-\( t \) for some \( t = \rho(w_{t+1}, \gamma) \) with \( \gamma \in \tau \), if \( [w_i]_{\alpha_i} \not\subseteq [w_{t+1}]_{\gamma} \)

for all \( 1 \leq i \leq \ell \). A non-\( t \) (or non-trivial) coset path from \( w \) to \( v \) is minimal if there is no shorter non-\( t \) (or non-trivial) coset path from \( w \) to \( v \).

**Remark.** A non-trivial coset path from \( w \) to \( v \) is the same as a non-\( t \) coset path for \( t = \rho(v, \text{agt}(w, v)) \). A coset path \( w_1, \alpha_1, \ldots, \alpha_t, w_{t+1} \) of length \( \ell \geq 2 \) is an inner path if \( \text{agt}(w_1, w_{t+1}) = \bigcup_i \alpha_i \), and any such inner coset path is non-trivial.

**Definition 3.24.** Let \( \mathcal{M} \) be a Cayley frame that is 2\( n \)-acyclic. We call a coset path short if its length is at most \( n \).

Defining a measure of distance in \( \mathcal{M} \) is a non-trivial matter because of its highly intricate edge pattern. Every Cayley frame is a single clique with respect to \( R_1 \), the accessibility relation induced by the set \( \Gamma \) of all agents. So the diameter of a Cayley frame is at most 1, which trivialises the usual notion of distance and renders locality techniques seemingly useless.

However, in 2-acyclic structures we can define a sensible notion of distance that is based on non-\( t \) coset paths. Essentially, a non-\( t \) coset path between \( w \) and \( v \) excludes all trivial connections between \( w \) and \( v \) and only looks at the scale that we are interested in, which is set by \( t \).

**Definition 3.25.** Let \( \mathcal{M} \) be a 2-acyclic Cayley frame, \( w \neq v \) two worlds, \( \gamma \subseteq \Gamma \) and \( t = \rho(v, \gamma) \). The \( t \)-distance \( d_t(w, v) \) between \( w \) and \( v \) is defined as the length of a minimal coset path from \( w \) to \( v \) that avoids \( t \) (\( \infty \) if there is no such path). For a set of worlds \( z \), the \( t \)-distance \( d_t(z, v) \) between \( z \neq \emptyset \) and \( v \) is defined as

\[
\begin{align*}
\min_{z \in z} d_t(z, v).
\end{align*}
\]

**Remark.** Depending on \( t \), \( t \)-distance may allow for distance 1: \( d_t(w, v) = 1 \) if, and only if, \( \text{agt}(w, v) \not\subseteq t \). However, we are usually interested in cases where \( \gamma \subseteq \text{agt}(w, v) \), which implies \( \text{agt}(w, v) \subseteq t \), for \( t = \rho(v, \gamma) \) and thus \( d_t(w, v) \geq 2 \).

As suggested by our notation, \( d_t(w, v) \) and \( d_t([w], [v]) \) are closely related. It is shown in [6] that the two measures correspond for sufficiently acyclic Cayley structures. In a sufficiently acyclic Cayley structure, a non-\( t \) coset path

\[
v_1, \alpha_1, v_2, \ldots, \alpha_t, v_{t+1}
\]

of length \( \ell \geq 1 \) induces a chordless path of length \( \ell + 1 
\]

\[
[w_1]_{\gamma}, [v_1]_{\alpha_1}, [v_2]_{\alpha_2}, \ldots, [v_{t+1}]_{\alpha_t}, [v_{t+1}]_{\emptyset}
\]

of length \( \ell \geq 1 \) induces a chordless path of length \( \ell + 1 \).
that does not visit \( t \); conversely, a chordless path of length \( \ell + 1 \geq 2 \) in the dual hypergraph of a 2-acyclic Cayley structure that does not visit \( t \), induces a non-\( t \) coset path in the Cayley structure in the same way. It follows that 
\[
d_t(w,v) + 1 = d_t([w], [v]),
\]
which implies 
\[
d_t(w,v) = d_t([w], [v]) + 1.
\]

This also means that coset paths in Cayley structures can be analysed and matched in terms of chordless paths in the dual hypergraphs. Furthermore, it is shown that in sufficiently acyclic Cayley structures the \( t \)-distance between two worlds is large if all inner non-\( t \) coset paths are long. This result is of crucial importance because it reduces the global distance between \( w \) and \( v \) in \( \mathcal{M} \) to a phenomenon on the scale of \( \text{agt}(w,v) \). This local scale involves just the substructure of \( \mathcal{M} \) on \( [w]_{\text{agt}(w,v)} \subseteq W \) w.r.t. sets of agents \( \alpha \subseteq \text{agt}(w,v) \).

**Lemma 3.26 ([6])**. Let \( \ell \geq 1 \), \( \mathcal{M} \) a sufficiently acyclic Cayley frame, \( w,v \) two worlds, \( \gamma \subseteq \Gamma \) and \( t = \rho(v, \gamma) \). If there is no inner non-\( t \) coset path of length \( \ell \) from \( w \) to \( v \), then 
\[
d_t(w,v) > \ell \quad \text{and} \quad d_t([w], [v]) > \ell - 1.
\]

Hence, given \( w, v \) and \( t \), finding some \( v^* \sim v \) such that 
\[
d_t([w], [v^*]) > \ell - 1
\]
reduces to finding some \( v^* \sim v \) such that 
\[
d_t(w,v^*) > \ell,
\]
which reduces to the local matter of eliminating, in some sense, all the short inner non-\( t \) coset paths. In the following section, we prove the freeness theorem. Lemma 3.26 from [6] is the cornerstone for this undertaking.

### 3.2.3 The freeness theorem

Let \( m \geq 1 \), \( \mathcal{M} \) be a Cayley structure, \( v \) a world, \( (z, z_0) \) a pointed set with \( v \not\in z \) and \( \gamma = \text{agt}(z_0, v) \). The challenge is to find a world \( v^* \sim v \) with \( \text{agt}(z_0, v^*) = \gamma \) such that \( v^* \) and \( (z, z_0) \) are \( m \)-free, assuming \( \mathcal{M} \) is sufficiently acyclic and sufficiently rich. The necessary levels of richness and acyclicity are determined by \( m \) and \( |z| \). Hence, we need a suitable \( v^* \) such that 
\[
d_t([z], [v^*]) > m,
\]
for \( t = [z_0] \cap [v^*] \); by Lemma 3.26 it suffices to have a \( v^* \) such that 
\[
d_t(z, v^*) > m + 1.
\]
Since we need such \( v^* \) for arbitrary \( m \), we will show how to obtain a \( v^* \) such that 
\[
d_t(z, v^*) > m
\]
in order to make the following more readable. Proving the freeness theorem involves two steps.

**The first step.** The first step is to find some \( v_1 \sim v \) with \( \text{agt}(z_0, v_1) = \gamma \) such that 
\[
d_t(z_0, v_1) > 1,
\]
for \( t = [z_0] \cap [v] = \rho(v, \gamma) = \rho(v_1, \gamma) \). The choice of \( t \) immediately implies 
\[
d_t(z_0, v) > 1,
\]
but we need to look for an appropriate bisimilar copy of \( v \) in \( [v]_\gamma \) to increase the \( t \)-distance to the other worlds of \( z \). The condition 
\[
d_t(z, v_1) > 1
\]
can be equivalently rephrased as \( \text{agt}(z_0, v_1) \subseteq \text{agt}(z, v_1) \), for all \( z \in z \). Lemma 3.7 guarantees the uniqueness of the minimal connecting sets of agents \( \text{agt}(. , .) \) in 2-acyclic \( \mathcal{M} \) and thereby implies a triangle inequality with respect to these:

\[
\text{agt}(v, z) \subseteq \text{agt}(v, z_0) \cup \text{agt}(z_0, z).
\]

If we find a bisimilar copy \( v_1 \) of \( v \) with \( \text{agt}(z_0, v_1) = \gamma \) such that 
\[
\text{agt}(v_1, z) = \text{agt}(v_1, z_0) \cup \text{agt}(z_0, z),
\]

25
Lemma 3.27. Let $v$ be a world and $(z, z_0)$ a finite pointed set in a Cayley structure $\mathfrak{M}$, with $\operatorname{agt}(v, z_0) \subseteq \operatorname{agt}(v, z)$ for all $z \in z$. Let $a \in \operatorname{agt}(v, z_0)$; if $\mathfrak{M}$ is 2-acyclic and sufficiently rich, then there is some $v' \in [v]_a \setminus \{v\}$ with $v' \sim v$ such that, for all $z \in z$,
\[
\operatorname{agt}(v', z) = \operatorname{agt}(v, z).
\]

Proof. Let $B_z = \{ \alpha \in [v]_a : a \notin \operatorname{agt}(z, u) \}$ for $z \in z$. By Lemma 3.9(2), $|B_z| \leq 1$ for all $z \in z$. Let $B = \bigcup_{z \in z} B_z$; then $|B| \leq |z|$. Since $\mathfrak{M}$ is sufficiently rich, there is some $v' \in [v]_a \setminus (B \cup \{v\})$ with $v' \sim v$. It follows $\operatorname{agt}(v', z) = \operatorname{agt}(v, z)$, for all $z \in z$, from the definition of $B$.  

In the statement of Lemma 3.28, the worlds of $z$ are the ones that have already been taken care of and $u$ is the world to be processed next.

Lemma 3.28. Let $v, u$ be worlds and $(z, z_0)$ a finite pointed set of worlds in a Cayley structure $\mathfrak{M}$, with $\operatorname{agt}(v, z) = \operatorname{agt}(v, z_0) \cup \operatorname{agt}(0, z)$ for all $z \in z$. If $\mathfrak{M}$ is 2-acyclic and sufficiently rich, then there is a world $v^* \sim v$ with $\operatorname{agt}(v^*, z) = \operatorname{agt}(v, z)$ for all $z \in z$, such that also
\[
\operatorname{agt}(v^*, u) = \operatorname{agt}(v^*, z_0) \cup \operatorname{agt}(0, u).
\]

Proof. Put $\alpha_1 := \operatorname{agt}(v, z_0)$, $\alpha_2 := \operatorname{agt}(0, u)$ and $\alpha_3 := \operatorname{agt}(u, v)$. By Lemma 3.7, 2-acyclicity implies $\alpha_1 \subseteq \alpha_3 \cup \alpha_2$ whenever $\{i, j, k\} = \{1, 2, 3\}$. We show that if $\alpha_3 \subseteq \alpha_1 \cup \alpha_2$, then for every agent $a \in (\alpha_1 \cup \alpha_2) \setminus \alpha_3$ there is a world $v' \in [v]_a$ with $v' \sim v$ such that
\[
\begin{align*}
- \operatorname{agt}(u, v') &= \alpha_3 \cup \{a\}; \\
- \operatorname{agt}(v', z_0) &= \alpha_1, \text{ and } \operatorname{agt}(v', z) = \operatorname{agt}(v, z), \text{ for all } z \in z; \\
- \alpha_1 \subseteq \alpha_2 \cup \operatorname{agt}(u, v'), \quad \alpha_2 \subseteq \alpha_1 \cup \operatorname{agt}(u, v'), \quad \operatorname{agt}(u, v') \subseteq \alpha_1 \cup \alpha_2.
\end{align*}
\]
Since $(\alpha_1 \cup \alpha_2) \setminus \alpha_3$ is finite, applying this argument a finite number of times leads to a suitable world $v^*$ with, in particular, $(\alpha_1 \cup \alpha_2) \setminus \operatorname{agt}(u, v^*) = \emptyset$. Let $a \in (\alpha_1 \cup \alpha_2) \setminus \alpha_3$. Then $a \in \alpha_1$ because if we assume $a \notin \alpha_1$, it follows
\[
a \notin \alpha_1 \quad \vdash \quad a \notin \alpha_1, a \in \alpha_2 \quad \vdash \quad a \notin \alpha_1 \cup \alpha_3 \quad \vdash \quad a \in \alpha_3.
\]
Since $\mathfrak{M}$ is sufficiently rich and 2-acyclic and $a \in \alpha_1 \subseteq \operatorname{agt}(v, z)$, for all $z \in z$, Lemma 3.27 gives us a world $v' \in [v]_a \setminus \{v\}$ with $v' \sim v$ and $\operatorname{agt}(v', z) = \operatorname{agt}(v, z)$, for all $z \in z$. Set $\alpha_1' := \operatorname{agt}(v', z_0)$, $\alpha_2' := \operatorname{agt}(z_0, u)$ and $\alpha_3' := \operatorname{agt}(u, v')$. Lemma 3.9 implies $\alpha_3' = \alpha_3 \cup \{a\}$ because $a \notin \alpha_3$ and $\mathfrak{M}$ is 2-acyclic, $a \in \alpha_1$ implies $\alpha_1' = \alpha_1$, and 2-acyclicity gives us $\alpha_1' \subseteq \alpha_1' \cup \alpha_3'$ whenever $\{i, j, k\} = \{1, 2, 3\}$.

\[\square\]
The second step. The second step is the more difficult one. We have to establish \( d_t(z, v^*) > m \), while maintaining \( \text{agt}(v^*, z_0) = \gamma \). By Lemma 3.26 this means that we need to eliminate short inner non-\( t \) coset paths between \( v \) and the worlds in \( z \) by moving to bisimilar copies of \( v \) within \([v]_\gamma\).

There are many possible bisimilar copies of \( v \) to choose from. The key is to find a suitable \( a \in \gamma \) such that an \( a \)-step to a bisimilar copy of \( v \) in \([v]_a\) brings us closer to \( v^* \). We define the set of “right” agents in \( \gamma \) by describing the “wrong” agents, i.e. the direction one has to take if one wants to move on a short path from \( v \) towards \( z \). If we can do that, we just move in any other direction.

Again, we resort to a result from [6]. There it is shown that the direction one has to take if one wants to move from \( v \) to \( z \) on a short non-\( t \) coset path in a sufficiently acyclic structure is unique in the following sense: there is a maximal non-empty set \( \alpha_0 \) such that if \( v, \alpha, \ldots, z \) is a short non-\( t \) coset path, then \( \alpha_0 \subseteq \alpha \) (cf. [6] Definition 4.10). We denote this set as

\[
\text{short}_t(v, z).
\]

It is shown in [6] that this set exists and is unique if there is a short non-\( t \) coset path from \( v \) to \( z \). It is our goal to use \( \text{short}_t(v, z) \) to find a suitable bisimilar copy \( v^* \) of \( v \) such that the \( t \)-distance between \( v^* \) and \( z \) increases. This means that we must take a different direction, i.e. some \( a \notin \text{short}_t(v, z) \), and move to a bisimilar copy in \([v]_a\). The idea is to repeat this procedure with different suitable agents until we reach a copy of \( v \) that has a sufficiently large \( t \)-distance to \( z \).

The agent \( a \notin \text{short}_t(v, z) \) can be chosen to be in \( \gamma \): if \( v, \text{short}_t(v, z), \ldots, z \) is a short coset path (cf. Definition 3.24) that avoids \( t \) (recall that \( t = \rho(v, \gamma) = \{[v]_\beta : \beta \supseteq \gamma \}\)), then \([v]_\gamma \not\subseteq [v]_{\text{short}_t(v, z)} \) implying \( \gamma \not\subseteq \text{short}_t(v, z) \). The case \( \gamma \subseteq \text{agt}(v, z) \) is of particular interest in the proof of the freeness theorem.

Remark ([6]). Let \( \mathfrak{M} \) be a 2-acyclic Cayley structure, \( v, z \in \mathfrak{M} \) and \( \gamma \subseteq \text{agt}(v, z) \) a set of agents. Then, for \( t = \rho(v, \gamma) \), \( \gamma \not\subseteq \text{short}_t(v, z) \).

Similar to the set \( \text{agt}(v, z) \) in 2-acyclic structures, \( \text{short}_t(v, z) \) behaves in a controlled manner in sufficiently acyclic structures.

Lemma 3.29 ([6]). Let \( m \in \mathbb{N} \), \( \mathfrak{M} \) a Cayley frame, \( z, v \) two worlds, \( \gamma \subseteq \text{agt}(v, z) \) and \( t = \rho(v, \gamma) \). Assume \( \mathfrak{M} \) is \((2m + 1)\)-acyclic, \( d_t(z, v) \leq m \), and that there are \( a \notin \text{short}_t(v, z) \) and \( v' \in [v]_a \setminus \{v\} \) such that \( d_t(v', z) \leq m \). Then \( a \in \text{short}_t(v', z) \).

The agents in \( \text{short}_t(v, z) \) are the ones that represent the direction one needs to take if one wants to move from \( v \) to \( z \) on a short non-\( t \) coset path. Lemma 3.29 makes this notion precise and tells us how to use \( \text{short}_t(v, z) \). We choose an agent \( a \notin \text{short}_t(v, z) \) and move to a world \( v' \in [v]_a \setminus \{v\} \). If the structure is sufficiently acyclic, every short non-\( t \) coset path from \( v' \) to \( z \) must start with a set that includes agent \( a \).

Lemma 3.29 is the cornerstone for the second step in the proof of the freeness theorem, which establishes \( d_t(z, v^*) > m \). It will be utilised as follows. Let
$w_1, \alpha_1, \ldots, \alpha_\ell, w_{\ell+1}$ be a short inner non-$t$ coset path from $z$ to $v$, for $t = \rho(v, \gamma)$. Then

(i) every set $\alpha_i$, $1 \leq i \leq \ell$, is a proper subset of $\text{agt}(z, v)$;

(ii) no class $[w_i]_{\alpha_i}$ contains $[v]_{\gamma}$; in other words, if $[w_i]_{\alpha_i} \cap [v]_{\gamma} \neq \emptyset$, then $\gamma \not\subseteq \alpha_i$.

In particular, the relevant sets of agents $\alpha_i$ are bounded in terms of $\text{agt}(z, v)$ and $\gamma$.

Assume that we move along an $a_1$-edge from $v$ to $v_1$, then along an $a_2$-edge from $v_1$ to $v_2$ and so forth, for suitable agents $a_i \in \gamma$ until none remain. Then the set $\text{short}_t(v_1, z)$ must contain $a_1$, the set $\text{short}_t(v_2, z)$ must contain $a_2$, etc. Let $v' \sim v$ be the final world in this sequence. If we assume that the distance $d_t(z, v')$ is still $d_t(z, v)$, then there must be a non-$t$ inner coset path $w_1, \alpha_1, \ldots, \alpha_\ell, w_{\ell+1}$ from $z$ to $v'$ of length $\ell = d_t(z, v')$. It this case it is possible to show that the set $\alpha_\ell$ contains all $a_i$ and the rest of $\gamma$, which means $\gamma \subseteq \alpha_\ell$. This contradicts the assumption that $w_1, \alpha_1, \ldots, \alpha_\ell, w_{\ell+1}$ is a non-$t$ coset path for $t = \rho(v, \gamma)$. The precise statement necessary for this argument is encapsulated in Lemma 3.30 below; its rather technical proof can be found in the appendix.

**Lemma 3.30.** Let $m \in \mathbb{N}$, $\mathfrak{M}$ be a Cayley structure, $v$ a world, $(z, z_0)$ a finite pointed set, $y \subseteq z$ a possibly empty subset and $w \in z \setminus y$; let $\gamma = \text{agt}(z_0, v)$ and $t = \rho(v, \gamma)$. Assume that $\mathfrak{M}$ is sufficiently acyclic and sufficiently rich, and

- $\gamma \subseteq \text{agt}(z, v)$, for all $z \in z$;
- $d_t(y, v) > m$.

Then there is a world $v^* \in [v]_\gamma$ with $\mathfrak{M}, v^* \sim \mathfrak{M}, v$ and $\text{agt}(z, v^*) = \text{agt}(z, v)$, for all $z \in z$, such that

$$d_t(y \cup \{w\}, v^*) > m.$$ 

The freeness theorem. This section is devoted to the proof of the freeness theorem, which is the crucial tool for choosing suitable responses in the Ehrenfeucht-Fraïssé game on Cayley structures that are sufficiently rich and acyclic. The main ingredients are Lemma 3.28 for the first step and Lemma 3.30 for the second step.

**Theorem 3.31** (freeness theorem). Let $m, k \in \mathbb{N}$. If a Cayley structure $\mathfrak{M}$ is sufficiently acyclic and sufficiently rich, then $\mathfrak{M}$ is $(m, k)$-free.

*Proof.* Let $v$ be a world, $(z, z_0)$ a pointed set of size $|z| = k$ enumerated as $(z_i)_{0 \leq i < k}$, and $\gamma \supseteq \text{agt}(v, z_0)$. We show that there is a world $v^* \sim v$ with $\text{agt}(v^*, z_0) = \gamma$ such that $v^*$ and $(z, z_0)$ are $m$-free.

Preparation: 2-acyclicity and Lemma 3.9, together with sufficient richness, imply the existence of some $v' \sim v$ with $\text{agt}(v', z_0) = \gamma$. Replace $v$ by this world $v'$ so that $\text{agt}(v, z_0) = \gamma$.

We must now find some world $v^* \sim v$ with $\text{agt}(v^*, z_0) = \gamma$ such that $d_t([z], [v^*]) > m$, for $t := \rho(v, \gamma) = [v] \cap [z_0]$. By Lemma 3.26 it suffices to show $d_t(z, v^*) > m + 1$. We do this in two steps. Step 1 ensures
agt(\(z_0, v^*\)) \subseteq agt(\(z, v^*\)) (which is equivalent to \(d_\ell(\(z, v^*\)) > 1\)) for all \(z \in z\), and step 2 ensures \(d_\ell(\(z, v^*\)) > m + 1\).

**Step 1:** we show by induction on \(0 \leq j < k\) that there are worlds \(v_j \sim v\) such that \(d_\ell(\(z_i, v_j\)) > 1\), for all \(0 \leq i \leq j\). The base case works for \(j = 0\), \(v_0 := v\). For the induction step let \(j \geq 1\) and assume there is a world \(v_{j-1} \sim v\) with \(agt(z_0, v_{j-1}) = \gamma\) such that \(agt(\(z_i, v_{j-1}\)) \subseteq agt(\(z_i, v_{j-1}\))\) for all \(0 \leq i < j\).

Together with 2-acyclicity we get

\[
agt(z_0, \gamma) \subseteq agt(z_0, v_{j-1}) \cup agt(v_{j-1}, z_i) = agt(v_{j-1}, z_i), \text{ and then} \]

\[
agt(v_{j-1}, \gamma) \subseteq agt(v_{j-1}, z_0) \cup agt(\gamma, z_i) \subseteq agt(\gamma, z_i).
\]

Hence, \(agt(v_{j-1}, z_i) = agt(v_{j-1}, z_0) \cup agt(z_0, z_i)\), for all \(0 \leq i < j\). Now Lemma 3.28 yields a world \(v_j\) with \(agt(v_j, z_j) = agt(v_j, z_0) \cup agt(z_0, z_j)\) so that \(agt(v_j, z_j) \supseteq agt(v_j, z_0)\).

In particular, we obtained a world \(v_{k-1} \sim v\) with \(agt(v_{k-1}, z_0) = \gamma\) such that \(agt(z_0, v_{k-1}) \subseteq agt(z_i, v_{k-1})\), for all \(0 \leq i \leq k - 1\), or equivalently, \(d_\ell(z, v_{k-1}) > 1\), for \(t = \rho(v_{k-1}, \gamma)\). We set the new \(v\) to be \(v_{k-1}\).

**Step 2:** we show by induction on \(0 \leq i \leq k\) that there are worlds \(v_i \in [v], \gamma\) such that

\[- v_i \sim v, \]

\[- agt(v_i, z) = agt(v, z), \text{ for all } z \in z, \text{ and} \]

\[- d_\ell(y_i, v_i) > m + 1, \text{ for } y_i := \{z_j \in z : 0 \leq j < i\}. \]

The base case works for \(v_0 := v\) with \(y_0 = \emptyset\). For the induction step let \(0 \leq i < k\) and assume there is a world \(v_i \in [v], \gamma\), with \(v_i \sim v\), \(agt(v_i, z) = agt(v, z), \text{ for all } z \in z, \text{ and } d_\ell(y_i, v_i) > m + 1\). Since \(\gamma \subseteq agt(z, v), \text{ for all } z \in z, \text{ and } z_i \in z \setminus y_i, \text{ Lemma 3.30 implies a world } v_{i+1} \in [v]_{\gamma} = [v], \gamma\), with

\[- v_{i+1} \sim v_i, \]

\[- agt(z, v_{i+1}) = agt(z, v_i) = agt(z, v), \text{ for all } z \in z, \text{ and} \]

\[- d_{\ell}(y_i \cup \{z_i\}, v_{i+1}) > m + 1. \]

We obtain the desired world \(v^* = v_k\) by induction. 

\[\square\]

## 4 Characterisation theorem

Our main result is a modal characterisation theorem for common knowledge logic \(\mathsf{ML}[\mathsf{CK}]\) over (finite) \(\mathsf{S5}\) structures. This section contains the final step of its proof. We described the strategy for the proof at the end of Section 2: if we can show that an \(\mathsf{FO}\)-formula \(\varphi\) that is \(\sim\)-invertvariant over (finite) \(\mathsf{CK}\) structures is \(\sim^\ell\)-invertvariant over (finite) \(\mathsf{CK}\) structures, for some \(\ell \in \mathbb{N}\), then \(\varphi\) must be equivalent to an \(\mathsf{ML}\)-formula over (finite) \(\mathsf{CK}\) structures by the modal Ehrenfeucht-Fraïssé theorem. This is done by upgrading \(\ell\)-bisimilarity to \(\mathsf{FO}_q\)-equivalence over (finite) Cayley structures, i.e. we show for suitable pointed Cayley structures \(\mathcal{M}, w\) and \(\mathcal{N}, v\) that

\[\mathcal{M}, w \sim^\ell \mathcal{N}, v \quad \Rightarrow \quad \mathcal{M}, w \equiv_q \mathcal{N}, v,\]

\[29\]
where $q$ is the quantifier rank of $\varphi$ and $\ell$ depends on $q$. Upgrading over Cayley structures suffices because by Lemma 2.7 (Main Lemma 1.3) Cayley structures are, up to bisimulation, the universal representatives of $\mathcal{CK}$ structures. For the upgrading, we regard a Cayley structure as suitable if it is $n$-acyclic and $k$-rich, for sufficiently large $n, k \in \mathbb{N}$ that depend on $q$. The construction of sufficiently acyclic and rich (finite) coverings for (finite) $\mathcal{CK}$ structures, for the first part of the upgrading argument, was presented in Sections 2.4 and 3.1. It remains to show that sufficiently acyclic and rich $\ell$-bisimilar Cayley structures are $\text{FO}_q$-equivalent. The necessary structure theory for playing first-order Ehrenfeucht-Fraïssé games on the non-elementary class of Cayley structures was developed in Section 3 partly based on results from [17, 6]. Its central notion of freeness will now play a crucial rôle in the analysis of the Ehrenfeucht-Fraïssé game to prove $\equiv_q$-equivalence of suitable Cayley structures on the basis of $\sim^\ell$-equivalence for sufficiently large $\ell$.

**Sketch of the core idea.** In order to win the $q$-round Ehrenfeucht-Fraïssé game on $\mathcal{M}, w$ and $\mathcal{N}, v$ player $\mathbf{II}$ needs to keep track of several features, which are built into an invariant to be maintained through the successive rounds. First and foremost we incorporate an increasing chain of partial isomorphisms $(\sigma_i)_{i \leq q}$ between $\mathcal{M}$ and $\mathcal{N}$, where $\sigma_0 = \{ w \mapsto v \}$ and, for $i < q$, $\sigma_{i+1} \supseteq \sigma_i$ covers the elements newly pebbled in the $(i+1)$-st round. As the invariant needs to be good for the remaining rounds, $\sigma_i : \mathcal{M} \upharpoonright \text{dom}(\sigma_i) =: \mathcal{M}_i \simeq \mathcal{N}_i := \mathcal{N} \upharpoonright \text{im}(\sigma_i)$ has to include worlds that lie on short paths between pebbled worlds. What 'short' means in relation to the number of rounds still to be played, is specified by a suitably chosen decreasing sequence of critical distances $(m_i)_{i \leq q}$. To guarantee extendability throughout the remaining rounds, we also need $\sigma_i$ to preserve the $\ell_i$-bisimulation type of elements for a suitably chosen decreasing sequence $(\ell_i)_{i \leq q}$ starting from $\ell_0 = \ell$, the degree of initial bisimilarity in $\mathcal{M}, w \sim^\ell \mathcal{N}, v$. The extension steps $\sigma_{i+1} \supseteq \sigma_i$ reflect $\mathbf{II}$’s response to $\mathbf{I}$’s challenge in round $i+1$, which also updates the auxiliary information in the invariant. Part of this auxiliary information resides in the dual hypergraphs $d(\mathcal{M})$ and $d(\mathcal{N})$. The invariant includes substructures of the dual hypergraphs, which essentially are the dual images of $\mathcal{M}_i$ and $\mathcal{N}_i$ (cf. Definition 3.14 for the dual hypergraph). In fact, the choice of the decreasing sequence of critical distances $(m_i)_{i \leq q}$ (for short distances in round $i$) is rooted in the dual hypergraphs, where results from [17] can be used to bound the sizes of convex $m_i$-closures (cf. Definition 3.16 and Lemma 3.19); and these bounds in turn determine the decreasing sequence $(\ell_i)_{i \leq q}$ of required bisimulation levels between elements of $\mathcal{M}$ and $\mathcal{N}$ that are linked by $\sigma_i$. The rather complex overall structure of the invariant, which is schematically presented in Figure 2, is formally presented, and shown to be maintainable through $q$ rounds, in Section 4.1. The proof of the upgrading and the characterisation theorem is then completed in Section 4.2.
4.1 The invariant

**Definition of the invariant.** Player II wins a play in the \(q\)-round Ehrenfeucht-Fraïssé game on the pointed Kripke structures \(\mathfrak{M}, w; \mathfrak{N}, v\), with pebbles on \(w = (w, w_1, \ldots, w_i)\) and \(v = (v, v_1, \ldots, v_i)\), based on isomorphic underlying tree decompositions \(T_i^{em} = (T_i, \delta_i^{em}) \simeq (T_i, \delta_i^{em}) = T_i^{em}\) of acyclic sub-hypergraphs \(d(\mathfrak{M}) | Q_i^{em} \simeq d(\mathfrak{N}) | Q_i^{em}\).

The structural backbone of the invariant is a tree decomposition of match-
ing representations of relevant substructures \( M_i \subseteq \mathcal{M} \) and \( N_i \subseteq \mathcal{N} \) in their dual hypergraphs \( d(\mathcal{M}) \) and \( d(\mathcal{N}) \). These tree decompositions are formalised as \( T_i^{en} = (T_i, \delta_i^{en}) \) and \( T_i^{em} = (T_i, \delta_i^{en}) \) based on the same tree \( T_i \) but representing, as tree decompositions, acyclic induced sub-hypergraphs \( d(\mathcal{M}) \upharpoonright Q_i^{em} \subseteq d(\mathcal{M}) \) and \( d(\mathcal{N}) \upharpoonright Q_i^{en} \subseteq d(\mathcal{N}) \). These tree decompositions serve as the scaffolding for the updates and extensions that need to be performed from round to round. The common tree structure \( T_i \) in their tree decompositions, in particular, governs the structural similarity between the \( M \)- and \( N \)-parts of the current game position.

With respect to the dual hypergraphs \( d(\mathcal{M}) \) and \( d(\mathcal{N}) \) compare Definition 3.6 and discussion there. Note that the underlying frame of \( \mathcal{M} \) is FO-interpretable in \( d(\mathcal{M}) \) through identification of \( w \in W \) with the vertex \( d(w) := [w]_0 \in C_0 \subseteq d(W) \) and note that \((w,w') \in R_a \iff [w']_a \in [w]_0 \) iff there is some vertex \( a \in C_a \subseteq d(W) \) that is joined by hyperedges to both \([w]_0\) and to \([w']_0\). We also note in this connection that \([w]_0\) is the unique hyperedge of \( d(\mathcal{M}) \) that is incident on \([w]_0\); so also \((w,w') \in R_a \iff [w]_0 \) and \([w']_0\) overlap in a vertex of colour \( C_a \). In particular, \( d(\mathcal{M}), [w]_0 \) and \( d(\mathcal{N}), [v]_0 \), further augmented by the propositional assignments, determine whether \( M, w \equiv_q \mathcal{M}, v \). This justifies our focus on the dual representation of \( \mathcal{M} \) and \( \mathcal{N} \) in design and maintenance of the invariant.

The full invariant can be described as follows, assuming that the worlds \( w_0, w_1, \ldots, w_i \in W \) (where \( w_0 = w \)) and \( v_0, v_1, \ldots, v_i \in V \) (where \( v_0 = v \)) have been pebbled after the \( i \)-th round (cf. Figure 2):

1. two isomorphic induced substructures \( M_i \subseteq \mathcal{M} \) and \( N_i \subseteq \mathcal{N} \) that contain the pebbled worlds in each structure, with an isomorphism
   \[ \sigma_i : M_i, w_0, \ldots, w_i \simeq N_i, v_0, \ldots, v_i \]
   that preserves \( \sim_i \)-types: \( \mathcal{M}, w \sim_i \mathcal{M}, \sigma_i(w) \) for all \( w \in \mathcal{M}_i \);
2. \( m_i \)-closed subsets \( Q_i^{en} \subseteq d(W) \) and \( Q_i^{em} \subseteq d(V) \), that contain the dual images \([w_j]_0 = \{ w_j \} \in d(W) \) and \([v_j]_0 = \{ v_j \} \in d(V) \) for \( j \leq i \);
3. isomorphic tree decompositions \( T_i^{en} = (T_i, \delta_i^{en}) \) and \( T_i^{em} = (T_i, \delta_i^{em}) \) of the sub-hypergraphs \( d(\mathcal{M}) \upharpoonright Q_i^{en} \subseteq d(\mathcal{M}) \) and \( d(\mathcal{N}) \upharpoonright Q_i^{en} \subseteq d(\mathcal{N}) \), respectively;
4. associated maps \( \delta_i^{em} : T_i \to W \) and \( \delta_i^{en} : T_i \to V \) that pick representatives
   \[ w_u := \delta_i^{en}(u) \in \bigcap \delta_i^{en}(u) \text{ in } M_i \]
   and \( v_u := \delta_i^{en}(u) \in \bigcap \delta_i^{en}(u) \text{ in } N_i \)
   for \( u \in T_i \), such that the isomorphism \( \sigma_i : M_i \simeq N_i \) of (1) is given by
   \[ \sigma_i(w_u) = v_u, \]
   where
   \[ M_i = \mathcal{M} \upharpoonright \{ w_u : u \in T_i \} = \mathcal{M} \upharpoonright \{ \delta_i^{en}(u) : u \in T_i \} \]
   \[ N_i = \mathcal{N} \upharpoonright \{ v_u : u \in T_i \} = \mathcal{N} \upharpoonright \{ \delta_i^{en}(u) : u \in T_i \} \].

---

6Note that, as subsets of the universes \( d(W)/d(V) \) of the dual hypergraphs, \( \delta_i^{en}(u)/\delta_i^{en}(u) \)
are sets of equivalence classes, i.e. sets of subsets in \( W/V \); the maps \( \delta_i^{em}/\delta_i^{en} \) on the other hand
go to \( W/V \) (and do not in themselves constitute tree decompositions). And, e.g. \( w_u = \delta_i^{en}(u) \in \bigcap \delta_i^{en}(u) \) precisely means that \( \delta_i^{en}(u) \subseteq \delta_i^{en}(u) \).
The induced substructures $\mathcal{M}_i$ and $\mathcal{N}_i$ contain all worlds pebbled during the first $i$ rounds together with, essentially, their closure under short coset paths. These closures are induced by the $m_i$-closures $Q_{i,0}^{em}$ and $Q_{i,1}^{em}$. The worlds of $\mathcal{M}_i$ and $\mathcal{N}_i$ arise as appropriate choices of the representatives $\hat{\delta}_i^{em}(u)$ and $\hat{\delta}_i^{sm}(u)$ in $\mathcal{M}$ and $\mathcal{N}$ for the bags of their isomorphic tree decompositions of the acyclic induced sub-hypergraphs $d(\mathcal{M}) \mid Q_{i,0}^{em}$ and $d(\mathcal{N}) \mid Q_{i,1}^{em}$. The matching tree decompositions of $d(\mathcal{M}) \mid Q_{i,0}^{em}$ and $d(\mathcal{N}) \mid Q_{i,1}^{em}$ form the structural backbone of the invariant; and the careful choice of matching representatives in $\mathcal{M}$ and $\mathcal{N}$ govern player $\mathbf{II}'$s strategy to maintain the invariant in response to player $\mathbf{I}'$s move, over and above the actual placement of a single pebble. If player $\mathbf{II}$ manages to maintain the invariant throughout the $q$-round game, she wins after round $q$ since $\sigma_q$ is a partial isomorphism that matches pebble positions: $\sigma_q : \mathcal{M}_q, w_0, \ldots, w_q \simeq \mathcal{N}_q, v_0, \ldots, v_q$ for the induced substructures $\mathcal{M}_q \subseteq \mathcal{M}$ and $\mathcal{N}_q \subseteq \mathcal{N}$.

The invariant is initialised, for $i = 0$, as $\mathcal{M}_0 := \mathcal{M} \mid \{ w_0 \}$, $\mathcal{N}_0 := \mathcal{N} \mid \{ v_0 \}$ with $\sigma_0 : w_0 \mapsto v_0$ such that $\mathcal{M}, w = \mathcal{M}, w_0 \sim^{\to_0} \mathcal{N}, v_0 = \mathcal{N}, v$ is given; we let $Q_{0,0}^{em} := \{ [w_0]_0 \}$ and $Q_{0,1}^{sm} := \{ [v_0]_0 \}$, which are trivially $m_0$-closed as singleton sets; and $d(\mathcal{M}) \mid Q_{0,0}^{em}$ and $d(\mathcal{N}) \mid Q_{0,1}^{em}$ are trivially acyclic with tree decompositions $T_0^{em}, T_0^{sm}$, based on the trivial tree $T_0 = \{ \lambda \}$ consisting of just its root.

The idea behind the strategy to maintain the invariant through round $i$ can be roughly described as follows (cf. Figure 3). We assume w.l.o.g. that player $\mathbf{I}$ puts a pebble on $w_i \in \mathcal{M}$. We then set $Q_{i,0}^{em} := \text{cl}_{m_i}(Q_{i-1,0}^{em} \cup [w_i]_0)$. By our acyclicity assumptions, the substructure $d(\mathcal{M}) \mid Q_{i,0}^{em}$ is acyclic (cf. Lemma 3.19) and admits a tree decomposition $T_{i,0}^{em} = (T_i, \delta_{i,0}^{em})$ extending the tree decomposition $T_{i-1,0}^{em}$ by a single new subtree (cf. Lemmas 3.18 and 3.19). Elements $\hat{\delta}_i^{em}(u)$ representing the new bags $\delta_{i,0}^{em}(u)$ for $u \in T_i \setminus T_{i-1}$ are chosen, governed by just the condition that $\hat{\delta}_i^{em}(u) \in \bigcap \delta_{i,0}^{em}(u)$, i.e. such that $\hat{\delta}_i^{em}(u) \subseteq \big[ \delta_i^{em}(u) \big]$. It is noteworthy that already these choices on the side of $\mathcal{M}$ introduce an element of nondeterminism into $\mathbf{II}$’s strategy. In light of conditions (I1) and (I4) for $\sigma_i$, particulars of these choices (e.g. even w.r.t. their propositional assignments) will have to be matched in $\hat{\delta}_i^{em}(u)$ on the side of $\mathcal{N}$.

As $[w_i]$ is the unique hyperedge of $d(\mathcal{M})$ that is incident with $d(w_i) = [w_i]_0 = \{ w_i \} \in Q_{i,0}^{em}$, the bag $\delta_{i,0}^{em}(u)$ containing this element of $d(\mathcal{M}) \mid Q_{i,0}^{em}$ is necessarily represented by $\hat{\delta}_i^{em}(u) = w_i$. Putting $\mathcal{M}_i := \mathcal{M} \mid \text{image}(\hat{\delta}_i^{em})$, $w_i$ is part of the extension $\mathcal{M}_i \supseteq \mathcal{M}_{i-1}$ which we view as a representation in $\mathcal{M}$ of the tree decompositions $T_{i,0}^{em} \supseteq T_{i-1,0}^{em}$ of $d(\mathcal{M}) \mid Q_{i,0}^{em} \supseteq d(\mathcal{M}) \mid Q_{i-1,0}^{em}$.

The challenge for $\mathbf{II}$ lies in matching these extensions $Q_{i,0}^{em} \supseteq Q_{i-1,0}^{em}$, $T_{i,0}^{em} \supseteq T_{i-1,0}^{em}$ with $\delta_{i,0}^{em} \supseteq \delta_{i-1,0}^{em}$, and $\hat{\delta}_i^{em} \supseteq \hat{\delta}_{i-1}^{em}$ on the side of $\mathcal{N}$ and $d(\mathcal{N})$ in order to maintain the invariant with all its constraints (I1)–(I4). The following section presents a detailed discussion.

**Maintaining the invariant.** We show how to maintain the invariant through round $i$, w.l.o.g. in response to a placement of the $i$-th pebble on $w_i \in \mathcal{M}$. We assume the invariant after round $i-1$ provides sets $Q_{i-1,0}^{em} \subseteq d(W)$ and $Q_{i-1,1}^{em} \subseteq d(V)$ inducing acyclic sub-hypergraphs of $d(\mathcal{M})$ and $d(\mathcal{N})$ with tree
We assume that player I chooses to pebble an element \( w_i \) of \( \mathfrak{M} \) for which \( [w_i]_0 \not\in Q_{i-1}^{\text{em}} \): otherwise player II can directly respond with \( v_i := \sigma_{i-1}(w_i) \) and the invariant is trivially maintained.

**First stage: working on the side of \( \mathfrak{M} \).** We include \([w_i]_0\) and analyse the new configuration on the side of \( \mathfrak{M} \) in terms of extensions \( Q_i^{\text{em}}, T_i^{\text{em}}, \delta_i^{\text{em}} \). For \( Q_i^{\text{em}} := \text{cl}_{m_i}(Q_{i-1}^{\text{em}} \cup \{ [w_i]_0 \}) \) we have that

- \([w_i]_0 \not\in Q_{i-1}^{\text{em}}\) by assumption;
- \( 1 \leq d(Q_{i-1}^{\text{em}}, [w_i]_0) \leq 2 \leq m_i \) as \( \text{diam}(d(\mathfrak{M})) = 2 \).

As \( d(\mathfrak{M}) \) is sufficiently acyclic, Lemma 3.18 can be applied. Denoting as \( D^{\text{em}} := Q_i^{\text{em}} \cap N^1(Q_i^{\text{em}} \setminus Q_{i-1}^{\text{em}}) \) the region in which the extended closure attaches to \( Q_{i-1}^{\text{em}} \), we know that \( Q_i^{\text{em}} \setminus Q_{i-1}^{\text{em}} \) is connected, and that \( D^{\text{em}} \) separates \( Q_i^{\text{em}} \setminus Q_{i-1}^{\text{em}} \) from \( Q_{i-1}^{\text{em}} \setminus D^{\text{em}} \) so that \( Q_i^{\text{em}} = Q_{i-1}^{\text{em}} \cup \text{cl}_{m_i}(D^{\text{em}} \cup \{ [w_i]_0 \}) \). In fact \( D^{\text{em}} \) is a separator in the graph-theoretic sense so that every path linking \( Q_{i-1}^{\text{em}} \setminus D^{\text{em}} \) to \( Q_i^{\text{em}} \setminus Q_{i-1}^{\text{em}} \) in \( d(\mathfrak{M}) \) must go through \( D^{\text{em}} \). By Lemma 3.18, \( D^{\text{em}} \) is a clique since \( Q_{i-1}^{\text{em}} \) is \((2m_i + 1)\)-closed \((m_i - 1 = 2m_i + 1)\). By Lemma 3.19, the size of \( \text{cl}_{m_i}(D^{\text{em}} \cup \{ [w_i]_0 \}) \) is bounded by \( f_{m_i}([\tau] + 1) \), which implies that \( d(\mathfrak{M}) \) is tree decomposable, in fact with a tree decomposition extending
\( \mathcal{T}_{t-1}^{\text{im}} \) by a subtree that covers the new part. Let

\[
Q := (Q_t^{\text{im}} \setminus Q_{t-1}^{\text{im}}) \cup D_t^{\text{im}},
\]

and let \( u_0 \in T_{t-1} \) be a node of \( \mathcal{T}_{t-1}^{\text{im}} \) representing \( D_t^{\text{im}} \), i.e. with \( \delta_{t-1}^{\text{im}}(u_0) \supseteq D_t^{\text{im}} \).

The rôle of \( D_t^{\text{im}} \) as a separator in \( d(\mathcal{M}) \mid Q_t^{\text{im}} \) implies that \( d(\mathcal{M}) \mid Q_t^{\text{im}} \) admits a tree decomposition obtained as the fusion of the tree decomposition \( \mathcal{T}_{t-1}^{\text{im}} \) of \( d(\mathcal{M}) \mid Q_{t-1}^{\text{im}} \) and a tree decomposition \( \mathcal{T}_t^{\text{im}} = (T, \delta_t^{\text{im}}) \) of \( d(\mathcal{M}) \mid Q_t^{\text{im}} \) whose root node \( \lambda \) represents \( D_t^{\text{im}} \) as \( D_t^{\text{im}} = \delta(\lambda) \). Choosing \( \mathcal{T}_t^{\text{im}} \) as a succinct tree decomposition of \( d(\mathcal{M}) \mid Q_t^{\text{im}} \) rooted at \( \delta(\lambda) = D_t^{\text{im}} \), we may assume that it has no inclusion relationships between neighbouring bags (other than possibly strict inclusions at the root), and that its depth is bounded by \( |Q| \).² The resulting tree decomposition \( T_t^{\text{im}} = (T_t, \delta_t^{\text{im}}) \) extends \( T_{t-1}^{\text{im}} = (T_{t-1}, \delta_{t-1}^{\text{im}}) \) and is obtained by attaching the root \( \lambda \) of \( T \) to the node \( u_0 \) of \( T_{t-1} \) that represents \( D_t^{\text{im}} \). So \( T \) becomes the subtree of new nodes in \( T_t \) and \( \delta_t^{\text{im}} = \delta_{t-1}^{\text{im}} \cup \hat{\delta} \). We finally extend \( \delta_{t-1}^{\text{im}} : T_{t-1} \rightarrow \mathcal{M} \) to \( \hat{\delta}_t^{\text{im}} : T_t \rightarrow \mathcal{M} \).

The only relevant constraint in the choices of \( \hat{\delta}_t^{\text{im}}(u) \) for the new nodes \( u \in T \) is that \( \{ \hat{\delta}_t^{\text{im}}(u) \} \supseteq \delta_t^{\text{im}}(u) \). This condition determines the choice of \( \hat{\delta}_t^{\text{im}}(u) \) precisely up to an \( \alpha_u \)-class for \( \alpha_u = \bigcap \{ \alpha : \delta(u) \cap C_\alpha \neq \emptyset \} \). With such choices for \( u \in T \), and in particular choosing \( \delta_0(\lambda) := \delta_0^{\text{im}}(u) \in \mathcal{M}_{t-1} \) at the root node of the tree decomposition \( \mathcal{T}_t^{\text{im}} = (T, \delta_t^{\text{im}}) \) of \( d(\mathcal{M}) \mid Q_t \), we put \( \delta_t^{\text{im}} = \delta_{t-1}^{\text{im}} \cup \hat{\delta} \) and achieve \( \delta_t^{\text{im}}(u) \subseteq \{ \hat{\delta}_t^{\text{im}}(u) \} \) for all \( u \in T_t \).

The extension from \( d(\mathcal{M}) \mid Q_{t-1}^{\text{im}} \) to \( d(\mathcal{M}) \mid Q_t^{\text{im}} \), i.e. from \( Q_{t-1}^{\text{im}} \) to \( Q_t^{\text{im}} \), is directly parameterised by the succinct tree decomposition \( \mathcal{T}_t^{\text{im}} = (T, \delta_t^{\text{im}}) \):

\[
Q_t^{\text{im}} = Q_{t-1}^{\text{im}} \cup Q_t^{\text{im}} = Q_{t-1}^{\text{im}} \cup \bigcup \{ \delta_t^{\text{im}}(u) : u \in T \}.
\]

In light of Observation 3.17, the \( m_t \)-closure of \( Q_t^{\text{im}} \) guarantees that, for initial segments \( U \subseteq T \) of the tree \( T \), the interleaving extension stages

\[
Q_{t-1}^{\text{im}} \cup \bigcup \{ \delta_t^{\text{im}}(u) : u \in U \}
\]

as well as the subsets \( \bigcup \delta_t^{\text{im}}(U) = \bigcup \{ \delta_t^{\text{im}}(u) : u \in U \} \) are \( m_t \)-closed in \( d(\mathcal{M}) \).

**Observation 4.1.** For initial tree segments \( U \subseteq T \), the subsets \( \bigcup \delta_t^{\text{im}}(U) \subseteq d(W) \) and \( Q_{t-1}^{\text{im}} \cup \bigcup \delta_t^{\text{im}}(U) \subseteq d(W) \) are \( m_t \)-closed. The corresponding restrictions \( \mathcal{T}_t^{\text{im}} \mid U \) and \( \mathcal{T}_{t-1}^{\text{im}} \mid (T_{t-1} \cup U) \) form tree decompositions of the associated induced sub-hypergraphs of \( d(\mathcal{M}) \).

The extension from \( \mathcal{M}_{t-1} \) to \( \mathcal{M}_t \), on the other hand, stems from the elements \( \hat{\delta}_t^{\text{im}}(u) \) for \( u \in T \) chosen to represent the bags \( \delta_t^{\text{im}}(u) \subseteq Q_t^{\text{im}} \cap \| \delta_t^{\text{im}}(u) \| \):

\[
\mathcal{M}_t = \mathcal{M} \cup \{ \hat{\delta}_t^{\text{im}}(u) : u \in T_t \} = \mathcal{M} \cup \{ \{ \hat{\delta}_{t-1}^{\text{im}}(u) : u \in T_{t-1} \} \cup \{ \hat{\delta}_t^{\text{im}}(u) : u \in T \} \}.
\]²³

²Cf. discussion in relation with Definition 3.12.
³These two subsets overlap in \( \hat{\delta}_{t-1}^{\text{im}}(u_0) = \hat{\delta}_t^{\text{im}}(\lambda) \).

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Second stage: finding matches on the side of $\mathcal{N}$. This stage of the construction is about finding mirror images of the augmentations on the side of $\mathcal{N}$, so as to instantiate the question marks in Figure 3. These then yield the desired update of the invariant after round $i$, and in particular a response move for player II.

With $\sigma_{i-1}(\hat{\delta}^{\text{en}}_{i-1}(u_0)) =: \hat{\delta}^{\text{en}}(\lambda) =: v_\lambda$ the given invariant from round $i - 1$ provides an $\ell_{i-1}$-bisimilar copy of $\hat{\delta}^{\text{en}}_{i-1}(u_0) = \hat{\delta}^{\text{en}}(\lambda) =: w_\lambda \in \mathcal{N}$ on the side of $\mathcal{N}$. This also determines an isomorphic image

$$D^{\text{en}} \subseteq \delta^{\text{en}}_{i-1}(u_0)$$

of the set $D^{\text{en}} \subseteq \delta^{\text{en}}_{i-1}(u_0) \subseteq Q^{\text{en}}$ in $d(\mathcal{N})$. This plays the rôle of $\delta^{\text{en}}(\lambda)$ as a contribution to $Q^{\text{en}}$ on the side of $\mathcal{N}$, suitable to match $\delta^{\text{en}}(\lambda) = D^{\text{en}}$. Recall that local isomorphisms between $d(\mathcal{M}) \restriction [w_u]$ and $d(\mathcal{N}) \restriction [v_u]$ (for any pairing of $w_u$ with $v_u$) are uniquely determined by the $C_\alpha$-colouring of the elements $[w_u]_\alpha$ and $[v_u]_\alpha$ alone.

Starting from this image $v_\lambda$ for $\sigma_i(w_\lambda)$ we need to find suitable $v_u$ for $\sigma_i(w_u)$ that build up an isomorphic image of that part of $\mathcal{M}$, that is represented by the remainder of $Q^{\text{en}}$. This is done in an induction on the structure of the tree $T$, which represents $Q^{\text{en}}$ in the tree decomposition $T^{\text{en}} = (T, \delta^{\text{en}})$ of $d(\mathcal{M}) \restriction Q^{\text{en}}$. The idea is to build up an isomorphic image $d(\mathcal{N}) \restriction Q^{\text{en}} \subseteq d(\mathcal{N})$ with tree decomposition $T^{\text{en}} = (T, \delta^{\text{en}})$, by induction on the tree $T$, and starting at the root node $\lambda$ and from $\delta^{\text{en}}(\lambda) := D^{\text{en}} \subseteq \delta^{\text{en}}_{i-1}(u_0)$, based on choices for $v_u := \hat{\delta}^{\text{en}}(u)$ that match $w_u = \hat{\delta}^{\text{en}}(u)$. Any such choice for $\hat{\delta}^{\text{en}}(u)$ fully determines $\delta^{\text{en}}(u)$ and its contribution to $Q^{\text{en}}$, since induced local isomorphisms between $d(\mathcal{M}) \restriction [w_u]$ and $d(\mathcal{N}) \restriction [v_u]$ are uniquely determined. As for the requirements that these choices for $v_u$ must respect, compare (I1)–(I4) in relation to the question marks in Figure 3.

So, more formally, we use a subordinate inductive process to take us bottom-up through $T$, i.e. from the root $\lambda$ towards the leaves. The stages of this subordinate induction involve initial segments $U, U' \subseteq T$, starting at the root with $U := \{\lambda\}$, and successively treat a next node $u$ in $T \setminus U$ that is an immediate successor of some node already in $U$. So the induction step takes us from an initial segment $U \subseteq T$ to an initial segment $U' \subseteq T$ that just extends $U$ by one next child node $u$. Correspondingly, we think of $T_{i-1} \cup U \subseteq T_i$ and $T_{i-1} \cup U' \subseteq T_i$ as consecutive initial segments of the tree $T_i$. By Observation 4.1, these initial segments represent tree decompositions of $m_i$-closed initial segments of $d(\mathcal{M}) \restriction Q^{\text{en}}_i$, with isomorphic matches in tree decompositions of $m_i$-closed initial segments of $d(\mathcal{N}) \restriction Q^{\text{en}}_i$. Therefore the natural analogues of the constraints (I1)–(I4) are meaningful and can be maintained step by step throughout this subordinate induction that extends $d(\mathcal{N}) \restriction Q^{\text{en}}_{i-1}$ and $\mathcal{M}_{i-1}$ through

(i) bags $\delta^{\text{en}}(u) \subseteq d(V)$ to be joined to $Q^{\text{en}}_i$,

(ii) elements $\hat{\delta}^{\text{en}}(u) \in V$ to be joined to $\mathcal{M}_i$,

linked according to $\delta^{\text{en}}(u) \subseteq \hat{\delta}^{\text{en}}(u)$, so that

(i) initial segments of the desired tree decomposition $T^{\text{en}}_i$ of $d(\mathcal{N}) \restriction Q^{\text{en}}_i$ are
isomorphic to corresponding segments of \( T_i^{\text{em}} \) (cf. (I2) and (I3)),

(ii) serve as dual representations of substructures of the desired \( \mathcal{N}_i \) that are isomorphic to corresponding substructures of \( \mathcal{M}_i \) (cf. (I1) and (I4)).

These extension steps are governed by the choices of \( v_u := \hat{\delta}_i^m(u) \) in \( \mathcal{N}_i \) which then fully determine the choices for \( \delta_i^m(u) \). For the subordinate induction we require

\[
\mathcal{N}_i, v_u \sim^\ell \mathcal{M}_i, w_u \quad \text{for} \quad \ell = \ell_{i-1} - \text{depth}(u),
\]

which in particular guarantees that \( \mathcal{N}_i, v_u \sim^\ell \mathcal{M}_i, w_u \) since we made sure that \( \ell_{i-1} \geq \ell_i + \text{depth}(T) \).

At the root, for \( u = \lambda \), we use that \( \mathcal{M}_i, w_\lambda = \mathcal{M}_i, w_{u_0} \sim^{\ell_{i-1}} \mathcal{N}_i, v_{u_0} = \mathcal{N}_i, v_\lambda \). So putting \( v_\lambda := v_{u_0} = \sigma_{i-1}(w_{u_0}) = \sigma_{i-1}(w_\lambda) \) respects (I1), and \( \delta_i^m(\lambda) \subseteq \delta_{i-1}^m(u_0) \) is determined as the exact match for \( \delta_i^{\text{em}}(\lambda) \subseteq \delta_{i-1}^{\text{em}}(u_0) \), automatically in line with (I2)–(I4). This settles the base case for the subordinate induction, with initial segment \( U = \{ \lambda \} \) of \( T \), or \( T_{i-1} \cup \{ \lambda \} \) of \( T_i \).

The induction step treats some next child to extend the initial segment \( U \) of \( T \) by one new element \( u \). Due to the uniformity of pre- and post-conditions in the generic extension step, the extension step for a first child \( u \) of the root \( \lambda \) is entirely typical and immediately generalises to all further extension steps. So let \( u \in T \) be a child of \( \lambda \), \( \text{depth}(u) = 1 \) in \( T \).

We need to find a suitable world \( v_u := \hat{\delta}_i^m(u) \) in \( V \) with \( \mathcal{N}_i, v_u \sim^{\ell_{i-1}} \mathcal{M}_i, w_u \) in order to extend \( \mathcal{N}_{i-1}, Q_{i-1}^{\text{em}} \), and \( T_i^{\text{em}} \) accordingly:

- choose \( v_u \in \mathcal{N}_i \) to extend \( \hat{\delta}_i^{\text{em}} \) towards \( \hat{\delta}_i^m \) by \( u \mapsto v_u \),
- put \( [v_u]_i \in Q_i^{\text{em}} \) for every \( [w_u]_i \in Q_i^{\text{em}} \) to extend \( Q_i^{\text{em}} \) towards \( Q_{i-1}^{\text{em}} \),
- put a bag \( \delta_i^{\text{em}}(u) \) comprising these \( [v_u]_i \) to extend \( \delta_{i-1}^{\text{em}} \) towards \( \delta_i^{\text{em}} \).

The choice of \( v_u \) therefore is the crucial step, and \( v_u \) must not just be an element of the \( \alpha \)-class of \( v_\lambda \) for \( \alpha = \text{agt}(w_\lambda, w_u) \) of the right \((\ell_{i-1} - 1)\)-bisimulation type. Bad choices could still violate the \( m_i \)-closure condition for \( Q_i^{\text{em}} \) or the isomorphism condition relating \( \mathcal{N}_i \) to \( \mathcal{M}_i \). The following therefore need to be guaranteed:

(a) \( \text{agt}(v_\lambda, v_u) = \text{agt}(w_\lambda, w_u) \) and \( \text{agt}(v_s, v_u) = \text{agt}(w_s, w_u) \) for all \( s \in T_{i-1} \),

(b) \( Q_{i-1}^{\text{em}} \cup \delta_i^{\text{em}}(u) \) is \( m_i \)-closed in \( d(\mathcal{N}) \) just as \( Q_i^{\text{em}} \cup \delta_i^{\text{em}}(u) \) is in \( d(\mathcal{M}) \).

Remark. Any violation of condition (a) would immediately spoil the isomorphism condition on \( \sigma_i \) for \( \text{agt}(v_s, v_u) \)-edges. Violation of the \( m_i \)-closure condition in (b), a requirement for the invariant by (I2), could be exploited by player \( I \) in the continuation of the game if short paths in one configuration cannot be matched in the other. To make this problem more explicit, consider a choice of \( v_u \) such that \( \text{agt}(v_s, v_u) = \text{agt}(w_s, w_u) \) for all \( s \in T_{i-1} \). Since \( \mathcal{T} \) is a tree decomposition and \( u \) is a child of \( \lambda \), the bag \( \delta_i^{\text{em}}(u) \) intersects bags of \( T_i^{\text{em}} \) only within \( \delta_i^{\text{em}}(\lambda) \), i.e. \( \delta_i^{\text{em}}(s) \cap \delta_i^{\text{em}}(u) \subseteq \delta_i^{\text{em}}(\lambda) \cap \delta_i^{\text{em}}(u) \), for all \( s \in T_{i-1} \). Together with \( Q_i^{\text{em}} \) being 2-closed this implies \( [w_u] \cap [w_u] \subseteq [w_u] \cap [w_u] \).

\[ ^9 \text{A vertex } [w_u]_i \subseteq \delta_i^{\text{em}}(u) \setminus \delta_i^{\text{em}}(\lambda) \text{ cannot be directly edge-related in } G(d(\mathcal{M})) \text{ to any} \]
\[ [v_s] \cap [v_u] = \{ [v_u]_\alpha : \alpha \supset \text{agt}(v_u, v_s) \} = \{ [v_u]_\alpha : \alpha \supset \text{agt}(w_u, w_s) \} \] (and similarly for \( \lambda \) in the place of \( s \)) by assumption, this implies that \([v_s] \cap [v_u] \subseteq [v_\lambda] \cap [v_u]\) for all \( s \in T_{i-1} \cup \{ \lambda \} \). We next need to add a vertex \([v_u]_\beta \) into \( Q_i^{\text{en}} \) if and only if \([w_u]_\beta \in Q_i^{\text{en}} \). This, however, might result in a set that is not \( m_i \)-closed. Since \( Q_i^{\text{en}} \) is \( m_i \)-closed, there are no short paths of length up to \( m_i \) from \( Q_i^{\text{en}} \) to \( \delta_i^{\text{en}}(u) \) \( \setminus \delta_i^{\text{en}}(\lambda) \) that leave \( Q_i^{\text{en}} \). And all such paths need to pass through \( \delta_i^{\text{en}}(u) \cap \delta_i^{\text{en}}(\lambda) \) since \( T_i^{\text{en}} \) is a tree decomposition. Hence, for \( t = \delta_i^{\text{en}}(u) \cap \delta_i^{\text{en}}(\lambda) \), we have \( d_t(\delta_i^{\text{en}}(u), Q_i^{\text{en}}) > m_i \) on the side of \( \mathcal{M} \) and \( d(\mathcal{M}) \), which must be matched on the side of \( \mathcal{N} \) and \( d(\mathcal{N}) \).

The key to overcoming these problems, i.e. to guarantee choices satisfying (a) and (b), lies in freeness (cf. Definition 3.20). Since we assumed \( \mathcal{M} \) and \( \mathcal{N} \) to be sufficiently acyclic and rich, both structures are sufficiently free by Theorem 3.31. Let \( v' \) be some world in \([v_\lambda]_\alpha \) that is \( \ell \)-bisimilar to \( w_\ell \) for \( \ell = \ell_{i-1} - 1 \), and let \( z := \text{image}(\delta_{i-1}^{\text{en}}) = \{ v_s : s \in T_{i-1} \} \). Then freeness of \( \mathcal{N} \) implies that there is some \( v_u \sim v' \) such that

- \( \text{agt}(v_\lambda, v_u) = \alpha = \text{agt}(w_\lambda, w_u) \), and
- \( (z, v_\lambda) \Perp_{m_i} v_u, i.e. (z, v_\lambda) \) and \( v_u \) are \( m_i \)-free.

This world \( v_u \) is a suitable choice for the extension of \( \mathcal{N}_{i-1} \) towards \( \mathcal{N}_i \), with the corresponding extension of \( Q_i^{\text{en}} \) towards \( Q_i^{\text{en}} \). We put

\[
\begin{align*}
\delta_{i-1}^{\text{en}}(u) &:= v_u := \sigma_i(w_u), \\
\delta_i^{\text{en}}(u) &:= \{ [v_u]_\beta : [w_u]_\beta \in \delta_i^{\text{en}}(u) \},
\end{align*}
\]

and add the vertices from \( \delta_i^{\text{en}}(u) \) to \( Q_i^{\text{en}} \). Then we have, for \( t = [v_\lambda] \cap [v_u] \), and since \( (z, v_\lambda) \Perp_{m_i} v_u \), that

\[ d_t([v_u], \bigcup [z]) > m_i \text{ and } d_t(\delta_i^{\text{en}}(u), \bigcup \text{image}(\delta_{i-1}^{\text{en}})) > m_i, \]

because \( \delta_i^{\text{en}}(u) \subseteq [v_u] \) and \( \bigcup \text{image}(\delta_{i-1}^{\text{en}}) \subseteq \bigcup [z] \). This implies that

\[ \bigcup \text{image}(\delta_{i-1}^{\text{en}}) \cup \delta_i^{\text{en}}(u) \]

is \( m_i \)-closed. Furthermore,

\[ d_t([v_u], \bigcup [z]) > 1, \]

\[ \Rightarrow [z] \cap [v_u] \subseteq [v_\lambda] \cap [v_u], \text{ for all } z \in z, \]

\[ \Rightarrow \text{agt}(z, v_u) \supseteq \text{agt}(v_\lambda, v_u), \text{ for all } z \in z. \]

Together with \( \text{agt}(v_\lambda, v_s) = \text{agt}(w_\lambda, w_s) \), for all \( s \in T_{i-1} \), and \( \text{agt}(v_\lambda, v_u) = \text{agt}(w_\lambda, w_u) \) we obtain \( \text{agt}(v_u, v_s) = \text{agt}(w_u, w_s) \), for all \( s \in T_{i-1} \).

---

*vertex in \( \delta_{i-1}^{\text{en}}(s) \), due to the connectivity constraint in \( T_i^{\text{en}} \); so elements of \([w_\lambda] \cap [w_u] \) lie on chordless paths of length 2 between these vertices, hence are in \( q_i \), and, by connectivity in \( T_i^{\text{en}} \), in \( \delta_i^{\text{en}}(\lambda) \).*
This means that, in the subordinate induction step, here exemplified in the
passage from $U = \{\lambda\}$ to $U' = \{\lambda, u\}$ for a child $u$ of $\lambda$, we maintain the
conditions (II)–(I4) for the invariant. To summarise: joining $\delta^{em}(u) = \{[v_u]_\beta : [w_u]_\beta \in \delta_i(u)\}$ to $Q_i^{em}$ and defining $\sigma_i(w_u) := v_u = \hat{\delta}_i(u)$ conforms to the
isomorphism conditions for $\sigma_i$ on $\mathcal{M}$ and $\mathcal{N}$ and for (tree decompositions of)
initial segments of $d(\mathcal{M}) | Q_i^{em}$ and $d(\mathcal{N}) | Q_i^{em}$.

The remainder of the tree $T$ is treated in the same way. As new nodes
$\delta^{em}(u) \in d(\mathcal{N})$ for $u \in T$ are added to $Q_i^{em}$, the associated new vertices $\hat{\delta}_i(u) := v_u$ are added into $\mathcal{M}_i$ and incorporated into $z$ for the next extension treating a
new node $u \in T$. The distinguished world $z_0$ of the pointed set $(z, z_0)$ is the
world that is associated with the parent of the node in $T$ that is to be processed.
The freeness argument works for the whole tree $T$, node by node, because the size
of $Q$ and the depth of $T$ are a-priori bounded, and $\mathcal{M}$ and $\mathcal{N}$ could be guaranteed
to be sufficiently free for this argument through all $q$ rounds. In particular, the
bound $f_m(|\tau| + 1)$ on the size of $Q$ translates into a bound on the depth of $T$
(cf. discussion in connection with Definition 3.12) so that $\mathcal{M}, w_\lambda \sim_{\ell_i-1} \mathcal{N}, v_\lambda$
and $\ell_i = \ell_i + f_m(|\tau| + 1)$, guarantee that $\mathcal{M}, w \sim_{\ell_i} \mathcal{N}, \sigma_i(w)$ holds for all $w \in \mathcal{M}_i$.

Completion of this construction for round $i$ in particular yields the actual
response for player II, viz. the placement of the pebble on $v_i \in \mathcal{M}_i \subseteq \mathcal{N}$ in
response to player I’s pebble placement on $w_i \in \mathcal{N}_i \subseteq \mathcal{M}$. So player II can
maintain the invariant in the $i$-th round. The following lemma summarises this.

**Lemma 4.2.** Let $q \in \mathbb{N}$, and $\mathcal{M}, w_0$ and $\mathcal{N}, v_0$ be pointed Cayley structures
that are sufficiently acyclic and sufficiently rich. Given the invariant described
in Section 4.1 after the $(i - 1)$-th round of the $q$-round Ehrenfeucht-Fraïssé
game on $\mathcal{M}, w_0$ and $\mathcal{N}, v_0$, player II has a strategy to update and maintain this
invariant in the $i$-th round.

### 4.2 Upgrading and characterisation

This section can be regarded as the culmination of the work so far: the upgrading
theorem and the characterisation of basic modal logic over (finite) Cayley
structures. The elements of structural analysis developed so far contain all the
building blocks for proving those two theorems. We speak of two theorems because
the restriction to finite models and the unconstrained classical reading are
a priori independent. Proving either one does not entail the other even though
our specific proof method allows us to treat the two versions in parallel. In Sections 2.4 and 3.1 we showed that every (finite) CK structure can be covered by a
bisimilar (finite) Cayley structure that is arbitrarily acyclic and arbitrarily rich.
Recall that the (finite) bisimilar coverings by Cayley structures from Lemma 2.7
were boosted to (finite) bisimilar coverings by Cayley structures satisfying
additional acyclicity and richness requirements in Lemma 3.4. The main result of
Section 3.2, the freeness theorem, then further showed that sufficient degrees
of acyclicity and richness imply $(m, k)$-freeness, a special property of suitable
Cayley structures that is essential for the upgrading. In particular we see that
not just Cayley structures but even Cayley structures of any given finite degree of
acyclicity, richness and freeness can, up to bisimulation, be taken as universal
representatives of all (finite) CK structures.

Finally, the previous development in the current section has provided an
invariant that affords player II a winning strategy in the $q$-round Ehrenfeucht-
Fraïssé game on sufficiently free pointed Cayley structures that are $\ell$-bisimilar
for some sufficiently large $\ell$. The upgrading theorem follows easily from that.

**Theorem 4.3** (upgrading theorem). Let $q \in \mathbb{N}$. For some suitable choice of
$\ell = \ell(q)$, any sufficiently acyclic and sufficiently rich Cayley structures $\mathcal{M}$ and $\mathcal{N}$ satisfy

$$\mathcal{M}, w \sim^\ell \mathcal{N}, v \Rightarrow \mathcal{M}, w \equiv_q \mathcal{N}, v.$$ 

**Proof.** Let $(\ell_k)_{0 \leq k \leq q}$ be the sequence of the same name from Section 4.1. Set $\ell := \ell_0$ and let $\mathcal{M}, w, \mathcal{N}, v$ be two sufficiently acyclic and rich pointed Cayley
structures such that $\mathcal{M}, w \sim^\ell \mathcal{N}, v$. In order to prove that these structures are
$\text{FO}_q$-equivalent we provide a winning strategy for player II in the $q$-round
Ehrenfeucht-Fraïssé game on $\mathcal{M}, w$ and $\mathcal{N}, v$. Her strategy is to preserve the
invariant from Section 4.1 according to Lemma 4.2.

We need to check that the invariant can be set up before the first round. That
$\mathcal{M}, w \sim^\ell \mathcal{N}, v$ implies that the substructures $\mathcal{M}_0 := \mathcal{M} \mid \{w\}$ and $\mathcal{N}_0 := \mathcal{N} \mid \{v\}$ are
isomorphic via $\sigma_0 = \{w \mapsto v\}$ ($w$ and $v$ are atomically equivalent and all
accessibility relations are reflexive), and that $\sigma_0$ respects $\sim^{\ell_0}$. The singleton sub-
nets $Q^0_0 := \{[w]_0\}$ in $d(\mathcal{M})$ and $Q^0_0 := \{[v]_0\}$ in $d(\mathcal{N})$ are $m_0$-closed because the
Cayley structures, and with them their dual hypergraphs by Lemma 3.15, are
sufficiently acyclic. The induced sub-hypergraphs $d(\mathcal{M}) \mid Q^0_0$ and $d(\mathcal{N}) \mid Q^0_0$
are trivially isomorphic and tree decomposable. By Lemma 4.2 player II can
therefore maintain the invariant through all $q$ rounds, which implies that she
wins the game. In the end, no matter what the moves of player I, the peb-
ble placements are related by the isomorphism $\sigma_q$ between induced substructures $\mathcal{M}_q$ and $\mathcal{N}_q$. 

The upgrading theorem, together with the existence of suitable bisimilar cov-
erings, implies our main result, the characterisation of ML as the bisimulation-
invariant fragment of $\text{FO}$ over the non-elementary classes of all CK-structures
and of all finite CK-structures, respectively.

**Theorem 4.4** (main theorem). Over the class of (finite) Cayley structures, and
hence over the class of (finite) CK-structures:

$$\text{ML}[\text{CK}] \equiv \text{ML} \equiv \text{FO}/\sim$$

**Proof.** The standard translation (cf. Section 1) implies $\text{ML} \subseteq \text{FO}/\sim$. For the
crucial converse direction, establishing *expressive completeness*, let $\varphi$ be an $\text{FO}$-
formula with $\text{qr}(\varphi) = q$ that is bisimulation-invariant over (finite) Cayley
structures. If we can show that $\varphi$ is $\sim^\ell$-invariant for some $\ell \in \mathbb{N}$ over (finite) Cayley
structures, then there is an $\text{ML}$ formula of modal depth $\ell$ that is logically equiv-
alent to $\varphi$ over (finite) Cayley structures (cf. Theorem 2.5).
We choose \( \ell = \ell(q) \) from Theorem 4.3 above, and let \( \mathcal{M}, w \) and \( \mathcal{N}, v \) be pointed Cayley structures that are \( \ell \)-bisimilar (compare Figure 4). By Lemma 2.11 (for the unrestricted reading) and Lemma 3.4 (to cover the restriction to finite structures) there are bisimilar coverings \( \hat{\mathcal{M}}, \hat{w} \sim \mathcal{M}, w \) and \( \hat{\mathcal{N}}, \hat{v} \sim \mathcal{N}, v \) that are sufficiently acyclic and rich such that Theorem 4.3 applies. In particular, Lemma 3.4 gives us such coverings that are finite if \( \mathcal{M} \) and \( \mathcal{N} \) are finite. Since in particular \( \hat{\mathcal{M}}, \hat{w} \sim \ell \hat{\mathcal{N}}, \hat{v} \), Theorem 4.3 implies \( \hat{\mathcal{M}}, \hat{w} \equiv q \hat{\mathcal{N}}, \hat{v} \), hence

\[
\mathcal{M}, w \models \varphi \iff \hat{\mathcal{M}}, \hat{w} \models \varphi \quad (\varphi \sim \text{inv.})
\]

\[
\iff \mathcal{N}, v \models \varphi \quad (qr(\varphi) \leq q)
\]

\[
\iff \hat{\mathcal{N}}, \hat{v} \models \varphi \quad (\varphi \sim \text{inv.})
\]

which implies \( \sim \)-invariance of \( \varphi \) over (finite) Cayley structures, as desired. \( \square \)

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Appendix

Lemma 3.30. Let \( m \in \mathbb{N} \), \( \mathcal{M} \) be a Cayley structure, \( v \) a world, \((z, z_0)\) a finite pointed set, \( y \subseteq z \) a possibly empty subset and \( w \in z \setminus y \); set \( \gamma = \text{agt}(z_0, v) \) and \( t = \rho(v, \gamma) \). Assume that \( \mathcal{M} \) is sufficiently acyclic and sufficiently rich, and

- \( \gamma \subseteq \text{agt}(z, v) \), for all \( z \in z \);
- \( d_t(y, v) > m \).

Then there is a world \( v^* \in [v]_\gamma \) with \( \mathcal{M}, v^* \sim \mathcal{M}, v \) and \( \text{agt}(z, v^*) = \text{agt}(z, v) \), for all \( z \in z \), such that

\[ d_t(y \cup \{w\}, v^*) > m. \]

Proof. If \( d_t(w, v) > m \), simply set \( v^* = v \). Otherwise there is some \( 1 < \ell \leq m \) such that \( d_t(w, v) \leq \ell \). Since \( \mathcal{M} \) is sufficiently acyclic there is an inner coset path of length \( \ell \) from \( w \) to \( v \) that avoids \( t \) but no such path of length \( < \ell \). We need to show that there is a suitable world \( v^* \) such that there is no inner coset path of length up to \( \ell \) from \( w \) to \( v^* \) that avoids \( t \). Then the statement follows from repeated application of the same argument.

Proof outline. We inductively find a sequence of worlds \((v_n)_{n \geq 1}\) in \([v]_\gamma\) that are bisimilar to \( v \), along with three auxiliary sequences: two sequences of sets of agents \((\beta_n)_{n \geq 1}\), \((\gamma_n)_{n \geq 1}\) and a sequence of agents \((a_n)_{n \geq 1}\) in \( \gamma = \text{agt}(z_0, v) \).

We show that these sequences terminate after finitely many steps and that the last one of the \( v_n \) can serve as the desired world \( v^* \). Intuitively, every \( v_n \) will be, in some sense, further away from \( v \) than its predecessor \( v_{n-1} \); \( \beta_n \) describes the direction back to \( w \) on short paths that avoid \( t \); \( \gamma_n \) the steps that still have to be taken to get far enough away from \( w \); and \( a_n \) is the direction we take to go from \( v_{n-1} \) to \( v_n \).

To construct the sequences we need one more auxiliary statement that says that, as long as there is a short path from \( v \) to \( w \), we can move in a suitable direction to a copy \( v' \sim v \) without decreasing the distance to \( y \), i.e. that we can move away from several worlds simultaneously. It is similar in spirit to Lemma 3.27.

Claim 1. Let \( \mathcal{M} \) be a Cayley structure, \( v \) a world, \((z, z_0)\) a finite pointed set, \( y \subseteq z \) a possibly empty subset and \( w \in z \setminus y \); set \( \gamma = \text{agt}(z_0, v) \), \( t = \rho(v, \gamma) \) and \( m \geq 2 \). Assume that \( \mathcal{M} \) is sufficiently acyclic and sufficiently rich, and

- \( \gamma \subseteq \text{agt}(z, v) \), for all \( z \in z \);
- \( d_t(y, v) > m \) and \( d_t(w, v) = \ell \leq m \).

Then \( \gamma \setminus \text{short}_t(v, w) \neq \emptyset \), and for every \( a \in \gamma \setminus \text{short}_t(v, w) \) there is some \( v' \in [v]_a \setminus \{v\} \) such that \( \mathcal{M}, v' \sim \mathcal{M}, v \), and

\[ d_t(y, v') > m. \]

Proof of claim 1. Let \( w, a_1, \ldots, a_\ell, v \) be an inner non-\( t \) coset path. In particular, this means \( \gamma \not\subseteq a_\ell \) and also \( \gamma \not\subseteq \text{short}_t(v, w) \) since \( \emptyset \neq \text{short}_t(v, w) \subseteq a_\ell \). Thus, we obtain the first statement: \( \gamma \setminus \text{short}_t(v, w) \neq \emptyset \).
For the second statement, let \( a \in \gamma \setminus \short_t(v, w), z \in y \) and assume there is some \( u \in [v]_a \) and \( k \leq m \) such that there is a non-\( t \) coset path \( z, \beta_1, \ldots, \beta_k, u \). We claim that \( d_t(z, u') > m \) for \( u' \in [v]_a \setminus \{u\} \). Firstly, we show \( a \not\in \short_t(u, z) \).

Assume \( a \in \short_t(u, z) \), then \( a \in \beta_k \) because \( u, \beta_k, \ldots, \beta_1, z \) is a short non-\( t \) coset path. It follows \( v \in [u]_a \subseteq [u]_{\beta_k} = [v]_{\beta_k} \), which means that \( z, \beta_1, \ldots, \beta_k, v \) or \( z, \beta_1, \ldots, \beta_{k-1}, v \) is a short non-\( t \) coset path. This implies \( d_t(z, v) \leq m \) contrary to \( d_t(y, v) > m \), so \( a \not\in \short_t(u, z) \). Secondly, \( d_t(z, u') \leq m \) implies \( a \in \short_t(u', z) \) (Lemma 3.29), which again implies \( d_t(z, v) \leq m \), contrary to assumption.

Thus, for any \( z \in y \) there is at most one \( u_z \in [v]_a \) such that \( d_t(z, u_z) \leq m \). Since \( \mathcal{M} \) is sufficiently rich, there remains a world \( v' \in [v]_a \setminus \{v\} \) such that \( v' \sim v \) and \( d_t(y, v') > m \).

*End of proof of claim 1.*

The construction. For \( n = 1 \), Claim 1 implies \( \gamma \setminus \short_t(v, w) \neq \emptyset \); let \( a_1 \in \gamma \setminus \short_t(v, w) \). As \( \mathcal{M} \) is sufficiently rich, there is a world \( v_1 \in [v]_{a_1} \setminus \{v\} \) that is bisimilar to \( v \) such that \( \agt(v_1, z) = \agt(v, z) \), for all \( z \in z \), and \( d_t(y, v_1) > m \) (cf. Lemma 3.27 and Claim 1). If \( d_t(w, v_1) \leq \ell \), set \( \beta_1 := \short_t(v_1, w) \) and \( \gamma_1 := (\gamma \setminus \short_t(v, w)) \setminus \beta_1 \). If \( d_t(w, v_1) > \ell \) or \( \gamma_1 = \emptyset \), then the sequence terminates in \( v_1 \).

For \( n > 1 \), assume that the worlds \( v_1, \ldots, v_{n-1} \) and the sets \( \beta_1, \ldots, \beta_{n-1}, \gamma_1, \ldots, \gamma_{n-1} \) have been defined and that the sets are non-empty. Let \( a_n \in \gamma_{n-1} \). Since \( \mathcal{M} \) is sufficiently rich, by Lemma 3.27 and Claim 1 there is again a \( v_n \in [v_{n-1}]_{a_n} \setminus \{v_{n-1}\} \), bisimilar to \( v_{n-1} \) such that \( \agt(v_n, z) = \agt(v_{n-1}, z) \), for all \( z \in z \), and \( d_t(y, v_n) > m \). If \( d_t(w, v_n) \leq \ell \), set \( \beta_n := \short_t(v_n, w) \), and \( \gamma_n := \gamma_{n-1} \setminus \beta_n \). If \( d_t(w, v_n) > \ell \) or \( \gamma_n = \emptyset \), then \( v_n \) is the last world and the sequence terminates in \( v_n \).

We have constructed these four finite sequences:

\[
(v_n)_{n \geq 1} \subseteq [v]_{\agt(w, v)} \quad \text{all bisimilar to } v;
\]

\[
(a_n)_{n \geq 1} \subseteq \Gamma;
\]

\[
(\beta_n)_{n \geq 1} \subseteq \tau;
\]

\[
(\gamma_n)_{n \geq 1} \subseteq \tau.
\]

Additionally set \( v_0 := v \), \( \beta_0 := \short_t(v, w) \) and \( \gamma_0 := \gamma \setminus \beta_0 \).

Correctness. We show the following properties of the sequences by induction on \( n \geq 1 \).

1. \( \beta_n = \{a_j, a_{j+1}, \ldots, a_n\} \), for some \( 1 \leq j \leq n \), or \( \beta_n \supseteq \beta_0 \cup \{a_1, \ldots, a_n\} \).

2. The worlds \( v_1, \ldots, v_n \) occur on every short inner coset path that avoids \( t \) from \( w \) to \( v_n \) in the order of their indices; let \( w_1, a_1, w_2, \ldots, w_k, a_k, w_{k+1} \) be such a path, and \( 0 \leq i < j \leq n \). If \( 1 \leq k, k_j \leq k \) are minimal such that \( v_i \in [w_k]_{a_k} \) and \( v_j \in [w_{k_j}]_{a_{k_j}} \), then \( k_i \leq k_j \).

3. \( \gamma_n \subseteq \gamma_{n-1} \).
Lemma 3.29 implies a short inner coset path from $w$ to $v_1 \in [v_0]_{a_1}$ that avoids $t$ we have $v_0 \in [v_1]_{\alpha_k}$ because $\alpha_k \supseteq \beta_1 \ni a_1$. Furthermore, since $k$ is the minimal index such that $v_1 \in [w_k]_{\alpha_k}$, the minimal index for $v_0$ can only be smaller or equal. If there is one such path with $v_0 \in [w_k]_{\alpha_k}$, we have $\beta_1 = \{a_1\}$, because

$$w = w_1, a_1, w_2, \ldots, w_k, \alpha_k, w_{k+1} = v_1$$

from $w$ to $v_1 \in [v_0]_{a_1}$ that avoids $t$ we have $v_0 \in [v_1]_{\alpha_k}$ because $\alpha_k \supseteq \beta_1 \ni a_1$. Furthermore, since $k$ is the minimal index such that $v_1 \in [w_k]_{\alpha_k}$, the minimal index for $v_0$ can only be smaller or equal. If there is one such path with $v_0 \in [w_k]_{\alpha_k}$, we have $\beta_1 = \{a_1\}$, because

$$w = w_1, a_1, w_2, \ldots, v_0, \{a_1\}, w_{k+1} = v_1$$

would be a short inner coset path from $w$ to $v_1$. If $v_0 \in [w_k]_{\alpha_k} \setminus [w_{k-1}]_{\alpha_{k-1}}$, for all short inner coset paths from $w$ to $v_1$, then $\beta_0 = \text{short}_t(v_0, w) \subseteq \alpha_k$ since every such path is a short inner coset path from $w$ to $v_0$ that avoids $t$. Thus, $\beta_1 = \{a_1\}$ or $\beta_0 \cup \{a_1\} \subseteq \beta_1$.

For $n = 1$, ad (3), note that $\gamma_1 = (\gamma_0 \setminus \beta_0) \setminus \beta_1 = \gamma_0 \setminus (\beta_0 \cup \beta_1)$ implies $\gamma_1 \subseteq \gamma_0$, which together with $a_1 \in \gamma_0 \cap \beta_1$ implies $\gamma_1 \nsubseteq \gamma_0$.

For $n > 1$ inductively assume that properties (1)--(3) hold for $1, \ldots, n - 1$. For $n$, ad (1): we chose $a_n \in \gamma_{n-1} = \gamma_{n-2} \setminus \beta_{n-1} = \gamma_{n-2} \setminus \text{short}(v_{n-1}, w)$ and $v_n \in [v_{n-1}]_{a_n} \setminus \{v_{n-1}\}$.

Lemma 3.29 implies that $a_n \in \beta_n = \text{short}_t(v_n, w)$. If $\{a_1, \ldots, a_n\} \subseteq \beta_n$, then $\beta_n = \{a_1, \ldots, a_n\}$ or $\beta_0 \cup \{a_1, \ldots, a_n\} \subseteq \beta_n$, similar to the base case. If there is a $1 \leq j < n$ such that $a_j \notin \beta_n$, let $j$ be the largest such index. Thus, there is a short inner coset path

$$w = w_1, a_1, w_2, \ldots, v_j, \{a_{j+1}, \ldots, a_n\}, v_n$$

from $w$ to $v_n$ that avoids $t$, which implies $\beta_n = \{a_{j+1}, \ldots, a_n\}$.

For $n$, ad (2): let $w_1, a_1, w_2, \ldots, w_k, \alpha_k, w_{k+1}$ be a short inner coset path from $w$ to $v_n$ that avoids $t$. We showed $a_n \in \beta_n \subseteq \alpha_k$ which implies $v_{n-1} \in [w_{k+1}]_{\alpha_k}$. So

$$w = w_1, a_1, w_2, \ldots, w_k, \alpha_k, v_{n-1} \quad \text{or} \quad w = w_1, a_1, w_2, \ldots, w_{k-1}, \alpha_{k-1}, v_{n-1}$$

is a short inner coset path from $w$ to $v_{n-1}$ that avoids $t$. By induction hypothesis the worlds $v_0, \ldots, v_{n-1}$ must occur on such a path in order of their indices. The smallest index $i$ such that $v_n \in [w_i]_{a_i}$ is $k$. Thus, all worlds $v_0, \ldots, v_{n-1}$ occur in equivalence classes $[w_i]_{a_i}$ with $i \leq k$.

For $n$, property (3) follows from $\gamma_n = \gamma_{n-1} \setminus \beta_n$ and the fact that $a_n \in \gamma_{n-1} \cap \beta_n$.

First of all, property (3) implies that the four sequences as constructed terminate after finitely many steps, since there are only finitely many agents. If $v_k$ is the terminal world in the first sequence, we claim that $d_t(w, v_k) > \ell$.

There cannot be an inner coset path that avoids $t$ of length $< \ell$ from $w$ to $v_k$ because that would imply an inner coset path from $w$ to $v$ that avoids $t$ of
length \(< \ell \) by property (2), which cannot exist by assumption. Hence, for the sake of contradiction, we assume that there is an inner coset path of length \( \ell \)

\[ w = w_1, \alpha_1, w_2, \ldots, w_{\ell}, \alpha_{\ell}, w_{\ell+1} = v_k \]

from \( w = w_1 \) to \( v_k = w_{\ell+1} \) that avoids \( t \). Again, property (2) implies that \( v \) occurs somewhere on this path. Furthermore, the smallest index \( i \) such that \( v \in [w_i]_{\alpha_i} \) must be \( \ell \), otherwise there would be an inner coset path from \( w \) to \( v \) that avoids \( t \) of length \(< \ell \). In particular, \( v = v_0 \in [w_\ell]_{\alpha_\ell} \setminus [w_{\ell-1}]_{\alpha_{\ell-1}} \).

Property (2) states that all worlds \( v_1, \ldots, v_k \) must occur after \( v_0 \) on all short inner coset paths from \( w \) to \( v_k \) that avoid \( t \), hence \( v_i \in [w_\ell]_{\alpha_\ell} \setminus [w_{\ell-1}]_{\alpha_{\ell-1}} \), for all \( 1 \leq i \leq k \). This implies \( \bigcup_{i=0}^{k} \beta_i \subseteq \alpha_\ell \) because \( \beta_i = \text{short}_t(v_i, w) \), for all \( 0 \leq i \leq k \). Furthermore,

\[ \emptyset = \gamma_k = \gamma \setminus \bigcup_{i=0}^{k} \beta_i \quad \Rightarrow \quad \gamma \subseteq \bigcup_{i=0}^{k} \beta_i \subseteq \alpha_\ell. \]

But we also have \( \gamma \not\subseteq \alpha_\ell \) because we assumed that the coset path

\[ w = w_1, \alpha_1, \ldots, \alpha_{\ell}, w_{\ell+1} = v_k \]

avoids \( t = \rho(v, \gamma) = \rho(v_k, \gamma) \), contradicting the assumption \( d_t(w, v_k) \leq \ell \).

Thus, since each agent \( a_i, 1 \leq i \leq k \), is an element of \( \gamma \) and each \( v_i, 1 \leq i \leq k \), was chosen such that \( \text{agt}(z, v_i) = \text{agt}(z, v) \), for all \( z \in z \), \( d_t(y, v_i) > m \) and \( \mathcal{M}, v \sim \mathcal{M}, v_i \), the world \( v_k =: v^\ast \) is as desired. \( \square \)