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Alterations in Cortical Activation Among Individuals With Chronic Ankle Instability During Single-Limb Postural Control

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Context: Chronic ankle instability (CAI) is characterized by repetitive ankle sprains and perceived instability. Whereas the underlying cause of CAI is disputed, alterations in cortical motor functioning may contribute to the perceived dysfunction.

Objective: To assess differences in cortical activity during single-limb stance among control, coper, and CAI groups.

Design: Cross-sectional study.

Setting: Biomechanics laboratory.

Patients or Other Participants: A total of 31 individuals (10 men, 21 women; age = 22.3 ± 2.4 years, height = 169.6 ± 9.7 cm, mass = 70.6 ± 11.6 kg), who were classified into control (n = 13), coper (n = 7), and CAI (n = 11) groups participated in this study.

Intervention(s): Participants performed single-limb stance on a force platform for 60 seconds while wearing a 24-channel functional near-infrared spectroscopy system. Oxyhemoglobin (HbO2) changes in the supplementary motor area (SMA), precentral gyrus, postcentral gyrus, and superior parietal lobe were measured.

Main Outcome Measure(s): Differences in averages and standard deviations of HbO2 were assessed across groups. In the CAI group, correlations were analyzed between measures of cortical activation and Cumberland Ankle Instability Tool (CAIT) scores.

Results: No differences in average HbO2 were present for any cortical areas. We observed differences in the standard deviation for the SMA across groups; specifically, the CAI group demonstrated greater variability than the control (r = 0.395, P = 0.02; 95% confidence interval = 0.34, 0.67) and coper (r = 0.38, P = 0.04; 95% confidence interval = 0.05, 0.69) groups. We demonstrated a strong correlation that was significant in the CAI group between the CAIT score and the average HbO2 of the precentral gyrus (r = 0.64, P = 0.02) and a strong correlation that was not significant between the CAIT score and the average HbO2 of the SMA (r = 0.52, P = 0.06).

Conclusions: The CAI group displayed large differences in SMA cortical-activation variability. Greater variations in cortical activation may be necessary for similar static postural-control outcomes among individuals with CAI. Consequently, variations in cortical activation for these areas provide evidence for an altered neural mechanism of postural control among populations with CAI.

Key Words: central nervous system, balance, functional near-infrared spectroscopy, stability, cortical-activation variability

Key Points
- Individuals with chronic ankle instability (CAI) demonstrated large differences in the cortical-activation variability of the supplementary motor area (SMA) compared with the control group.
- Cortical activation may be positively related to self-reported function.
- Corticomotor postural-control strategies among individuals with CAI may differ because of altered motor strategies, as indicated by modifications in SMA activation.
- For the SMA, variations in cortical activation provided evidence for an altered neural mechanism of postural control among populations with CAI.
- In future studies, researchers should investigate the effectiveness of rehabilitation strategies and their potential for moderating cortical-activation strategies among those with CAI.

Ankle sprains are one of the most common athletic injuries and may precede a debilitating condition known as chronic ankle instability (CAI).1 This condition occurs frequently in recreationally active populations, and up to 40% of those who have sprained their ankles report symptoms consistent with CAI.1 The condition is characterized by feelings of giving way and recurrent instability.2 Multiple sprains and episodes of instability substantially disrupt the ankle-joint structure, leading to early-onset osteoarthritis in up to 70% of patients with CAI.3 Individuals often do not return to previous levels of physical activity, which can influence their ability to maintain a healthy lifestyle.4 Current rehabilitation efforts may be inadequate to reduce the incidence of
recurrent sprains and CAI. Therefore, improving rehabilitation and intervention strategies is critical to maximizing outcomes and reducing the long-term pain and disability associated with CAI.

Central nervous system (CNS) adaptations after ligamentous injury may negatively influence the recovery process and be related to self-reported function, thus affecting treatment protocols, prolonging full recovery, and altering movement or balance strategies or both. Researchers have proposed several theories to explain the impairments perceived by patients with CAI and why some patients develop CAI and others do not. These theories include poor neuromuscular control, decreased muscular strength, deficits in kinesthetic awareness and balance, and mechanical laxity. Most traditional models framed CAI as a musculoskeletal disorder with adaptations typically occurring in the periphery rather than in the brain. However, recent investigators have suggested that CNS variations (ie, alterations in somatosensory function and corticocortical excitability) are present after acute and chronic ligamentous injuries, including lateral ankle sprains and anterior cruciate ligament (ACL) ruptures. The long-term disability associated with CAI may also demonstrate some of these CNS changes, including altered neural mapping and cortical-activation changes. Individuals with CAI demonstrated smaller motor-evoked potentials, as well as deficits in motor thresholds, providing insight into how the descending pathways from the brain activate the ankle’s musculature to control movement. Similarly, Kosik et al reported deviations in cortical mapping and excitability of the fibularis longus with the use of transcranial magnetic stimulation among patients with CAI compared with healthy control participants. These studies have contributed to the concept of altered neural pathways after ligamentous injury.

Assessing cortical activation allows considerable insight into the control of stability by highlighting areas of the cerebral cortex and the supplementary motor area (SMA) have contributions during movement have important implications for populations with CAI, they have been difficult to assess because of the limited availability of advanced technology. Therefore, the primary purpose of our study was to assess cortical activation during single-limb stance using fNIRS technology in healthy control individuals, ankle-sprain copers, and individuals with CAI. Our secondary purpose was to assess the activation of the cerebral cortex and its relation to self-reported function in those with CAI. Based on these aims, we believed that, during single-limb stance, participants with CAI would demonstrate differences in cortical activation on fNIRS imaging compared with control participants and copers. Specifically, based on previous work in ACL-reconstructed knees, we believed that individuals with CAI would display greater cortical activation than control participants and copers.

METHODS

We used a cross-sectional research design to compare dependent variables across 3 groups. To determine their eligibility, we instructed volunteers to complete ankle-injury history questionnaires at our biomechanics laboratory. Eligible participants were required to be recreationally active, which was defined as participating in more than 90 minutes of physical activity per week that included any combination of running, walking, lifting weights, or playing a sport. Thirty-one individuals (10 men, 21 women) participated in this study (Table 1). Participants were entered into the control group (n = 13) if they had (1) no
history of lateral ankle sprain; (2) no history of their ankle giving way; and (3) a Cumberland Ankle Instability Tool (CAIT) score ≥28, indicating good function and no perception of instability.12 Inclusion criteria for the coper group (n = 7) were (1) a history of a moderate to severe lateral ankle sprain, including inflammatory symptoms (pain, swelling, discoloration, or non–weight bearing or partial weight bearing) and disruption of sport or physical activity; (2) ≤1 episode of giving way and no history of ankle sprain in the 12 months before the study; and (3) a CAIT score ≥28, indicating good function and no perception of instability.12,13 We defined a coper as an individual who had sustained an initial ankle sprain, fully recovered, and not developed CAI. Inclusion criteria for the CAI group (n = 11) were (1) a history of a moderate to severe lateral ankle sprain, including inflammatory symptoms (ie, pain, swelling, discoloration, non–weight bearing or partial weight bearing) and disruption of sport or physical activity; (2) ≥2 episodes of giving way at the ankle in the 12 months before the study; and (3) a CAIT score ≤24, which suggested impaired ankle function.12,14 Volunteers were excluded if they (1) had a history of lower limb surgery or fracture; (2) had a joint sprain or injury in the lower extremity at the time of the study; (3) had any other health problem that may have affected their balance or well-being; (4) were pregnant; (5) had a history of a balance or vestibular disorder; (6) had a substantial history of a condition that impaired cognitive function, such as a learning disability or concussion; or (7) were taking medications that might have affected their cognition (ie, narcotics, antidepressants, antianxiety agents).11 The researchers were not blinded to injury status before fNIRS testing.

All participants provided written informed consent, and the study was approved by the University of Nebraska Medical Center Institutional Review Board.

Instrumentation

A 24-channel continuous-wave fNIRS system (model ETG-4000 Optical Topography System; Hitachi Medical Corp, Tokyo, Japan) was used to record neurovascular changes (Figure 1). Specifically, we recorded oxyhemoglobin (HbO2) over the superior parietal lobe, precentral gyrus (PreCG), postcentral gyrus (PostCG), and SMA.15 The HbO2 is the amount of saturation of oxygenated hemoglobin of the local blood vessels in the superficial layers of the cortex.10 We used 2 wavelengths (approximately 695 and 740 nm) for every channel, which enable measuring of the oxygenated and deoxygenated hemoglobin simultaneously.15 The changes in HbO2 were calculated as the difference between the two wavelengths (695–740 nm).

Table 1. Demographic Data

| Characteristic                        | Control (n = 13) | Coper (n = 7) | Chronic Ankle Instability (n = 11) |
|--------------------------------------|------------------|--------------|-----------------------------------|
| **Sex, No.**                          |                  |              |                                   |
| Female                                | 8                | 4            | 9                                  |
| Male                                  | 5                | 3            | 2                                  |
| **Mean ± SD**                         |                  |              |                                   |
| **Age, y**                            | 22.6 ± 2.3       | 22.0 ± 2.7   | 22.2 ± 2.6                         |
| **Mass, kg**                          | 75.2 ± 12.2a     | 73.3 ± 9.0   | 63.4 ± 9.3                         |
| **Height, cm**                        | 171.2 ± 11.1     | 170.1 ± 10.4 | 167.4 ± 7.9                        |
| **No. of sprains**                    | 0.0 ± 0.0a       | 1.1 ± 0.4a   | 2.4 ± 1.4                          |
| **Time since most recent sprain, y**  | 0.0 ± 0.0a,b     | 3.9 ± 2.3    | 2.9 ± 2.6                          |
| **Ankle rolls, No.**                  | 0.0 ± 0.0a,b     | 0.9 ± 0.4a   | 3.6 ± 2.8                          |
| **Cumberland Ankle Instability Tool score** | 30.0 ± 0.0a     | 29.0 ± 1.0a  | 18.2 ± 5.5                         |
| **Anteroposterior center of pressure, mm** | 38.0 ± 9.2     | 43.2 ± 10.0  | 40.8 ± 15.7                        |
| **Mediolateral center of pressure, mm** | 30.6 ± 7.6      | 32.2 ± 7.2   | 30.7 ± 6.7                         |

a Different from the chronic ankle instability group (P < .05).
b Different from the coper group (P < .05).
830 nm) at 10 Hz to sample the data and measure cortical activity. The fNIRS electrode was secured on the participant’s head based on the international 10/20 system, with the vertex of the head (Cz) located beneath the center of the front 2 rows of optodes.16 The vertex of the head was found by a single researcher (not an author) who located the intersecting point of the midpoint between the left and right preauricular areas and the midpoint between the bridge of the nose and external occipital protuberance.17

Procedures

Once the fNIRS was in place, participants completed a 60-second baseline trial while sitting in a chair. After the baseline trial, they completed 5 successful trials of single-legged stance on a force platform (model Balance Master System 8.4; NeuroCom, Clackamas, OR) that collected center-of-pressure (COP) data at 100 Hz (Figure 1). Participants were instructed to maintain their balance with their eyes open and hands on their hips for 60 seconds, and they rested for approximately 1 minute between trials. Among individuals who indicated bilateral instability, the limb with the lower CAIT score was used as the test limb. Participants could remove their hands from their hips if necessary to maintain upright stance; however, falling, touching down on the opposite limb, or bracing on the force-platform surround resulted in a failed trial. If a participant failed a trial, the test was stopped, he or she was given time to rest, and the trial was reattempted until 5 successful trials were completed. Individuals were allowed to familiarize themselves with the force-platform surround and the fNIRS headgear but did not have any formal practice trials. Given the potential for artifact movement to create excessive noise within the fNIRS system, we chose single-limb stance rather than a more dynamic movement.18 The length of the trial allowed enough time for the hemodynamic response associated with the neurovascular coupling to occur.

Data Reduction and Analysis

Differences from the baseline (seated) condition were calculated for each trial to determine changes in HbO2 for each area of interest. The relative changes in the absorption of near-infrared light were converted to changes in the concentration of HbO2 based on the modified Beer-Lambert approach.19 The HbO2 time series was filtered using a 0.01-Hz, high-pass filter followed by principal component analyses of data from all channels to increase the signal-to-noise ratio and exclude artifacts.20 Only components with correlations greater than ±0.25 were included in further analyses. The average signal and the SD of the time series, based on the relative changes in the concentration of HbO2 during the standing postural tasks, were calculated for the superior parietal lobe, PreCG, PostCG, and SMA across both hemispheres in accordance with previous work.15,21 The average HbO2 represented the mean oxygenation in each area during the 60-second trials, whereas the SD HbO2 represented the variability of that same signal during the balance trials in each specific area. Higher values for the average HbO2 indicated greater levels of cortical activation, whereas higher values for the SD HbO2 indicated greater variation in that activation in each respective area. Maximum ranges of the COP in both the anteroposterior and mediolateral directions were calculated from the force-plate data, with higher values indicating poorer postural control in either direction.

Statistical Analysis

Normality of all data was analyzed using Levene and Shapiro-Wilks tests. Analyses of variance and Tukey post hoc tests were performed on the demographic and COP data across groups. We used Kruskal-Wallis nonparametric tests to compare differences in the average and SD HbO2 for each cortical area, as these data violated the normality assumptions of analysis of variance. Mann-Whitney U follow-up tests were calculated for results that were different. Effect sizes between groups were expressed as r with 95% confidence intervals (CIs). Spearman r correlation coefficients were calculated for the CAI group for each of the average and SD HbO2 variables and the CAIT to explore whether cortical activity was associated with self-reported ankle function. A correlational analysis was completed only for the CAI group, as little to no spread of the data was present in the control and coper groups. Combining the data from these groups also would have created a ceiling effect that was amplified in a smaller sample. Correlational coefficients were interpreted as weak (<0.3), moderate (0.3–0.5), or strong (>0.5). We set the α level for all tests a priori at .05. All statistical analyses were conducted using SPSS (version 24.0; IBM Corp, Armonk, NY).

RESULTS

The CAI group had less mass than the control group (P = .03) but not the coper group (P = .15). No differences in COP measures were found among groups in either the anteroposterior (P = .64) or mediolateral (P = .81) direction.

No differences in average HbO2 were present across groups for any cortical area (Table 2). However, we observed a difference in the SD HbO2 of the SMA across groups (P = .049; Figure 2). Specifically, the CAI group displayed greater SD HbO2 than the control (r = 0.395; 95% CI = 0.34, 0.67; P = .02) and coper (r = 0.38; 95% CI = −0.05, 0.69; P = .04) groups (Figure 3).

We noted a strong correlation between the CAIT and average HbO2 of the PreCG (r = 0.64, P = .02) in the CAI group (Figure 4). Although not significant, a strong correlation also existed between the CAIT and average HbO2 of the SMA (r = 0.52, P = .06).

DISCUSSION

The CAI group demonstrated greater variability in SMA cortical activation than the control and coper groups, suggesting a potentially altered cortical-activation strategy to maintain single-limb balance. For the CAI group, cortical activity in the PreCG and possibly the SMA was strongly correlated with the CAIT, signifying that individuals with CAI who self-reported poorer function also had lower levels of cortical activation. These differences and relationships highlight a potentially altered cortical-activation strategy among individuals with CAI.

The SMA is an important structure in motor-planning and movement strategies.25 Our results suggested that the CAI
group may have had a positive cortical adaptation with greater variations in SMA activation, resulting in similar static postural outcomes as the control and coper groups. Whereas static postural control was not different among the 3 groups in our study, the findings of a recent meta-analysis supported alterations in movement strategies during dynamic tasks relative to static balance among those with CAI. Authors of many of these studies have pointed to altered preparation for movement or feed-forward movement planning, and altered SMA activity indicates that such feed-forward control is affected. Therefore, this variation in cortical activation may be an adaptive change that plays a role in successfully negotiating dynamic tasks.

Similar to the SMA, the HbO PostCG standard deviation demonstrated a comparable, though nonsignificant, pattern across participants. Analysis of the data spread in Figure 2 shows a similar dichotomy in the PostCG compared with the SMA in the CAI group: 4 participants demonstrated a relatively higher SD HbO than the rest of the pools. To further support this notion, calculated effect sizes for these data also displayed moderate to large differences between the CAI and the control ($r = 0.374; 95\% CI = 0.01, 0.65$) and coper ($r = 0.838; 95\% CI = 0.69, 0.92$) groups. Changes in PostCG cortical activation during single-limb stance showed that somatosensory perceptions may be affected among those with CAI compared with copers. Similarly, in a recent meta-analysis, Song et al found that use of somatosensory perceptions was altered among individuals with CAI. Specifically, individuals with CAI tended to rely on vision more than and may integrate sensory information differently from those without a history of ankle sprain. Needle et al observed that participants with CAI did not have increased somatosensory cortex activation compared with controls but had earlier somatosensory activation during joint loading. When combined with previous research, our results further contribute to the theory of

Table 2. Oxyhemoglobin in the Supplementary Motor Area, Precentral Gyrus, Postcentral Gyrus, and Superior Parietal Lobe Across Groups

|                     | Oxyhemoglobin, mmol/L | Group                  |
|---------------------|-----------------------|------------------------|
|                     | Control | Coper | Chronic Ankle Instability |
| Supplementary motor areas |       |       |                         |
| Average             | 0.012±0.160          | -0.015±0.043          | 0.132±0.322             |
| SD                  | 0.150±0.120          | 0.095±0.035           | 0.580±0.731             |
| Precentral gyrus    |       |       |                         |
| Average             | 0.013±0.006          | 0.001±0.029           | 0.081±0.156             |
| SD                  | 0.133±0.076          | 0.085±0.039           | 0.132±0.039             |
| Postcentral gyrus   |       |       |                         |
| Average             | 0.001±0.029          | 0.001±0.029           | 0.001±0.029             |
| SD                  | 0.120±0.088          | 0.078±0.033           | 0.251±0.219             |
| Superior parietal lobe |       |       |                         |
| Average             | 0.004±0.023          | 0.003±0.034           | 0.022±0.050             |
| SD                  | 0.097±0.066          | 0.065±0.036           | 0.100±0.058             |

* Different from the chronic ankle instability group ($P < .05$).

![Figure 2](http://meridian.allenpress.com/jat/article-pdf/54/6/718/2369444/1062-6050-448-17.pdf)
an altered sensorimotor strategy among individuals with CAI.

Analyzing the variability of biological signals, such as COP during stance, may provide greater detail about postural control than simply comparing the means of this time series. Humans naturally sway during static stance, resulting in an inherent variability during the COP time series.\textsuperscript{26} Variability in movement patterns, such as standing posture, used to be considered error or random noise.\textsuperscript{27} However, variability is increasingly considered to be a marker of health in biological systems.\textsuperscript{28} Therefore, if movement shows such characteristics, the neural control of such variable patterns would also demonstrate variable characteristics and thereby characterize healthy posture as separate from pathologic posture. Hence, among patients with musculoskeletal impairments, presenting the variability of cortical activation alongside more traditional linear measures may provide greater understanding of the neural control mechanisms. In future studies, researchers should investigate these signals using both linear and nonlinear analyses of variability.

Brain networks are known to be coupled in a highly nonlinear manner. Analyzing the means of time series is therefore unlikely to provide valuable insight into the neural control of posture.\textsuperscript{29} When an individual performs a postural task with additional cognitive load, the synchronization among different cortical sites undergoes a major shift anteriorly to use frontal cognitive resources and reduce

Figure 3. Raw data from a single channel over the supplementary motor area of representative participants from the A, control, B, coper, and C, chronic ankle instability groups.
reliance on the temporal-parietal-occipital network. Such alterations in the dynamics of brain activity also appear to be affected by age, which may have important implications for disease states and highlights the different cortical strategies used to negotiate static postural-control tasks. Behavioral variability, such as that observed in our study, has been proposed to stem from the intrinsic dynamics of neuronal oscillations in the brain. As a complex self-organizing system, the brain has demonstrated complex activity, especially during postural tasks. Given that neuronal oscillations in the brain are strongly related to the modulation of proprioceptive feedback, it is intuitive to hypothesize that such neural control of posture would be affected among individuals with CAI.

The correlation analysis also revealed a positive relationship between the average cortical activation in the PreCG and the CAIT score, suggesting that cortical activity in the motor cortex may affect function and perceived instability in participants with CAI. Levels of cortical activity in the motor cortex may influence function among participants with CAI who have lower CAIT scores. The SMA showed a similar trend in demonstrating a relationship between ankle function and cortical activation. Whereas this is a preliminary study and no researchers have directly observed cortical activation among participants with CAI, previous studies of alternative populations may provide interesting comparisons. For example, in a cohort of children with cerebral palsy, participants who displayed higher levels of somatosensory cortical activity during fNIRS evaluation also displayed greater function and mobility. The authors believed that this relationship potentially existed because of poorer sensorimotor integration. Individuals with CAI have also shown alterations in peripheral sensorimotor information, and our study provides additional evidence for centrally mediated influences.

Given that injury appears to induce a functional reorganization of the cortex, traditional rehabilitation programs may need to foster neuroplasticity-related changes, which may result in positive adaptations that reduce episodes of instability. Therefore, integrating different types of stimuli into rehabilitation via sensory-targeted rehabilitation may be necessary to improve dynamic-balance deficits. More advanced balance-training protocols involving increased task complexity may also yield greater benefits in those with CAI compared with traditional programs. For example, visuomotor training has been suggested for patients with a history of ACL injury and used as part of an injury-prevention program in football athletes, but its efficacy for CAI-related rehabilitation requires investigation. Donovan et al also advocated for relatively short-term movement retraining or motor-planning protocols using ankle-destabilization devices or gait retraining. Regardless of the rehabilitation type, further investigation is necessary to determine the level of cortical reorganization and improvement in functional outcomes that may occur among individuals with CAI. Whereas little guidance is available for orthopaedic conditions, promising results have been found in other populations, such as patients with strokes, in whom improvements in gait function were associated with increases in cortical activation. Therefore, as function and outcomes improve, long-term neuroplastic adaptations may occur and facilitate cortical-activation strategies.

**CONCLUSIONS**

Individuals with CAI demonstrated large differences in the variability of SMA cortical activation. Cortical activation may also be positively related to self-reported function. Corticomotor postural-control strategies in individuals with CAI may differ because of altered motor strategies, as indicated by modifications in SMA activation. Consequently, for the SMA, variations in cortical activation provide evidence for an altered neural mechanism of postural control in populations with CAI.

Because this was a preliminary study with a relatively small sample size, the results should be confirmed among larger samples. Correspondingly, the CAI group was predominantly female (n = 9), which was likely the reason for the lower mass in this group. Thus, further studies should be aimed at a more diverse sample to improve the generalizability of our results and outcomes. More experimental control, such as blinding, would also improve the design. Researchers should examine the effectiveness of rehabilitation strategies and their ability to moderate cortical-activation strategies among those with CAI. In subsequent work, investigators may also want to explore data using more advanced nonlinear analyses, such as sample entropy and detrended fluctuation analysis, to provide greater insight into the complexity of the timeseries signals.

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Figure 4. Scatter plot of the Cumberland Ankle Instability Tool score and precentral gyrus average oxyhemoglobin in the chronic ankle instability group with the line of best fit. The data points for the control and coper groups are displayed for visual comparison.
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