Subclinical Kidney Injury Before and 1 Year After Bariatric Surgery Among Adolescents with Severe Obesity

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Objective: To assess subclinical kidney injury in adolescents with severe obesity by measuring biomarkers of early kidney disease and to assess changes in the levels of these biomarkers following bariatric procedures.

Methods: Twenty-two adolescents undergoing bariatric surgery with no microalbuminuria and normal kidney function were selected. Urinary NGAL, IL-18, and KIM-1 were measured at baseline, 6 and 12 months postoperatively. Biomarker levels were compared to 44 age-gender-matched lean controls.

Results: Subjects with obesity had a mean baseline BMI of 48 kg/m² that decreased by 34% at 1-year follow-up. Urine NGAL, IL-18, and KIM-1 were significantly elevated in subjects with obesity compared to lean controls at baseline. The obese cohort had a further significant increase in NGAL and KIM-1 at 6 months, followed by decline at 1 year. The overall change in levels of all three biomarkers through 1 year after surgery, however, was not significant compared to baseline.

Conclusions: Adolescent severe obesity is associated with increased urinary excretion of novel biomarkers of kidney injury, despite no microalbuminuria or decreased kidney function. This subclinical kidney injury persists 1 year after significant weight loss induced by bariatric surgery, suggesting that close, long-term follow-up of kidney status is warranted in these adolescents.

Introduction

Childhood obesity is becoming a worldwide epidemic (1-3). The prevalence of severe obesity (SO), defined as an absolute BMI ≥35 kg/m² or >120th percent of the 95th percentile (4), is increasing and now affects 4-6% of U.S. children and adolescents (5,6). It is also well documented that obesity during adolescence is associated with a higher prevalence of chronic kidney disease (CKD) in adulthood (7-9). Proposed mechanisms of obesity-induced chronic kidney injury include kidney hyperfiltration, inflammation, oxidative stress, metabolic disorder (reduced insulin sensitivity), and other comorbidities, especially cardiovascular disease (10-14). Recent analysis of research has been on understanding the role of these and other structural and inflammatory kidney injury [e.g., neutrophil gelatinase-associated lipocalin (NGAL), kidney injury molecule 1 (KIM-1), and interleukin-18 (IL-18)] have been identified and characterized, especially as markers of acute kidney injury (AKI) (16,17). Focus of current research has been on understanding the role of these and other biomarkers in high-risk populations for CKD development and...
progression. For example, recent systematic review identified 13 biomarkers independently predicting either onset or progression of diabetic nephropathy in adults (18). A report from the Chronic Renal Insufficiency Cohort (CRIC) study showed that urine NGAL was significant risk factor for progression of established CKD but it only modestly improved prediction of outcome events (19). While above studies focused on evaluation of biomarkers in older adults, their role as markers of early CKD in SO adolescents has not been extensively studied. Thus we conducted a pilot study to measure urinary NGAL, KIM-1, and IL-18 prior to bariatric surgery and at 6 months and 1 year postoperatively. We selected adolescents with normal eGFR and no microalbuminuria to test the hypothesis that in SO adolescents, urinary excretion of biomarkers of subclinical kidney injury would be increased despite otherwise normal kidney status.

Methods

Study design and patients

This analysis used specimens and data that had been collected and stored by the Pediatric Obesity Tissue Repository (POTR) at Cincinnati Children’s Hospital Medical Center (CCHMC) under an IRB approved protocol. This analysis included twenty-eight patients younger than 20 years who underwent either Roux-en-Y gastric bypass (RYGB, \(n = 6\)) or a vertical sleeve gastrectomy (VSG, \(n = 22\)) procedures at CCHMC between 2010 and 2012. These subjects had voluntarily provided spot urine and serum specimens at baseline, 6 months, and 12 months after surgery for research use. Specimens were collected in the operating room (baseline) or in the Clinical & Translational Research Center (postoperatively). Blood was processed for serum storage only; both serum and urine samples were split into 1 ml aliquots and stored at \(-80^\circ\)C until measurement in 2013.

Lean control subjects for this investigation were identified from the Cincinnati Genomic Control Cohort (CGGCC) and were matched to the SO bariatric subjects for age (+/- 1 year) and gender. Two controls were selected for each SO subject. The control cohort excluded subjects with any of the following criteria: presence of known genetic diseases or severe chronic medical conditions, such as chromosomal abnormality, unwillingness to complete family and personal health history or allow storage or genetic testing of samples, and adopted, without full contact with biological parent(s) to be able to obtain family history information. Importantly for this analysis, we also excluded any subjects with known kidney injury or disease, including, but not limited to IgA nephropathy, kidney stones, abnormal bladder, urinary reflux, and ureteral reimplantation. Control urine samples were collected from 2007 to 2010 and stored at \(-80^\circ\)C until measurement in 2013.

Biomarker measurements

Levels of serum cystatin C, urine albumin, urine creatinine, NGAL, IL-18, and KIM-1 were tested in CCHMC nephrology biomarker laboratory. The urine NGAL ELISA was performed using a commercially available assay (NGAL ELISA Kit 036; Bioporto, Grusbakken, Denmark) that specifically detects human NGAL (20). The intra-assay coefficient of variation (CV) value was 2.1% and inter-assay variation was 9.1%. Urine IL-18 was measured using commercially available ELISA kits (Medical & Biological Laboratories, Nagoya, Japan) per manufacturer’s instructions. The inter-assay CV for IL-18 was 7.3% and intra-assay was 7.5% (21). The urine KIM-1 ELISA was constructed using commercially available reagents (Duoset DY1750, R & D Systems, Inc., Minneapolis, MN) as described previously (22). Intra and inter-assay CVs for KIM-1 were 2% and 7.8%, respectively. Cystatin C was measured by a particle-enhanced nephelometric immunoassay on a BNII clinical nephelometer (Siemens, Munich, Germany). Microalbumin urine creatinine were measured on a Dimension Xpand plus HM clinical analyzer (Siemens, Munich, Germany). We used a reference range for NGAL, IL-18, and KIM-1 from a CCHMC population of healthy children and adolescents to define abnormal biomarker levels (above 95th percentile according to gender and age 15-18 years) (23). All biomarker measurements were performed in one batch in a period of one week.

Homeostasis model assessment of insulin resistance (HOMA-IR) was calculated as: (fasting glucose (mg/dL) × insulin (uU/ml))/405. Kidney function was assessed by calculating cystatin C-based eGFR, where eGFR = 77.24 × (Cystatin-C)\(^{-1.262}\) according to the Larsson formula as recommended by the assay manufacturer (Dade Behring, Deerfield, Illinois). Microalbuminuria was defined as having a urine Albumin to Creatinine Ratio (ACR) \(≥ 30\) mg/gm and \(< 300\) mg/gm; macroalbuminuria was defined as ACR \(≥ 300\) mg/gm (24).

Normal weight was defined as BMI < 85th percentile for age and gender in subjects < 20 years and BMI < 25 kg/m\(^2\) in subjects ≥ 20 years. Overweight was defined as BMI ≥ 85th percentile and < 95th percentile for age and gender in subjects < 20 years and BMI ≥ 25 and < 30 kg/m\(^2\) in subjects ≥ 20 years. Obesity is defined as BMI ≥ 95th percentile for age and gender in subjects < 20 years and BMI ≥ 30 kg/m\(^2\) in subjects ≥ 20 years (25).

Statistical analysis

Descriptive statistics were calculated to summarize subject characteristics. Frequencies and percentages are reported for categorical measures. Data were tested for normal distribution with the Kolmogorov–Smirnov test. Median and interquartile ranges were calculated for skewed continuous variables. The data were logarithmically transformed, when appropriate. Differences between lean controls vs. obese at baseline were tested for significance using t-test or Mann-Whitney Rank Sum Test. Repeated measure ANOVA was performed to test differences in biomarkers levels over time. Statistical analysis was performed using the SAS9.4. All reported \(P\)-values are two-sided and considered statistically significant at \(≤ 0.05\).

Results

The comparison of demographic, clinical, and laboratory characteristics of lean controls and the obese subjects at baseline prior to bariatric procedure is shown in Table 1. By design, the SO and lean groups were well-matched for age, gender, and race. The SO subjects had significantly higher prevalence of hypertension than control group; one subject had type I diabetes. The SO subjects had significantly higher levels of all three studied urinary biomarkers than
controls at baseline. Twenty-three percent of SO subjects had KIM-1 and IL-18 levels above the 95th percentiles of normal value and 36% had NGAL levels above the 95th percentiles of normal values for these biomarkers prior to surgery (Figure 1).

Changes in clinical characteristics and urinary biomarkers over time in SO subjects are shown in Table 2. There was a significant decrease in BMI in all bariatric subjects. Four achieved normal weight and four subjects improved such that their BMI categorized them as overweight at 1-year follow-up. Significant improvement compared to the baseline was found for HOMA-IR. Hypertension resolved in all subjects. Cystatin C based mean eGFR remained normal and stable at 1 year after the procedure in the cohort. One female subject developed microalbuminuria (174 mg/gm) at 1 year follow-up. The BMI of this subject decreased from 41.6 kg/m² at baseline to 23.5 kg/m² at 1 year after surgery; her eGFR was however unchanged (165 ml/min/1.73 m² at baseline and 169 ml/min/1.73 m² at 1-year follow-up).

In the 16 subjects with specimens at all three timepoints, levels of all three biomarkers, especially KIM-1 and NGAL, increased from baseline to 6 months postoperatively before declining to levels similar to baseline by 1 year after surgery (Table 2). There was no significant difference in biomarker levels between subjects who underwent RYGB versus VSG at 1 year of follow-up ($P > 0.05$ for all biomarkers). No statistical difference in biomarker levels was found among subjects with normal weight, overweight, or obesity at 1 year follow-up ($P > 0.05$). A separate analysis comparing baseline pre-procedure biomarker levels with 1-year follow-up levels in subjects achieving normal weight, transitioned to overweight or whose BMI improved but remained in the obese range showed no significant decrease in the biomarker levels in either group ($P > 0.05$). The percentage of subjects with abnormally high biomarker levels remained elevated at 1 year after surgery for all studied biomarkers (Figure 1).

### Discussion

To develop SO during childhood and to carry this burden into adulthood may result in early kidney damage that is potentially greater than that seen in adult-onset obesity (9). Indeed, our data supports our hypothesis that in SO adolescents without functional impairment, there is a clinically “silent” kidney injury pattern detectable with novel biomarkers.

All three biomarkers of early kidney tubular injury (NGAL, KIM-1 and IL18) were significantly elevated in the SO group prior to and during the 1 year following weight loss surgery as compared to lean adolescents. Elevation of these markers is concerning since prior work has established that these biomarkers are clearly associated with the response to a variety of kidney insults (26-28). Mechanistic studies following acute kidney injury (AKI) showed that NGAL acts to stimulate proliferation and epithelialization and to inhibit apoptosis in tubule cells (29). Over expression of IL-18 may promote proximal tubule epithelial cell injury and activation in the process of renal tubulointerstitial fibrosis (16,17). KIM-1 is believed to participate in the regeneration process after epithelial injury through phagocytosis (30). Importantly, with the resolution of acute kidney insult, these novel subclinical biomarkers of kidney injury decline to pre-injury concentrations in the urine, demonstrating that these

### Table 1 Demographic and clinical Characteristics

|                      | Lean (n = 44) | Severely obese baseline (n = 22) | $P$ value |
|----------------------|--------------|---------------------------------|-----------|
| Age (years)—median (Q1,Q3) | 16.5 (14.5, 17.0) | 16.5 (15.0, 17.4) | 0.109     |
| Female—n (%)         | 34 (77.2%)   | 17 (77.2%)                      | 1.000     |
| Race/ethnicity—n (%) |              |                                |           |
| Non-Hispanic white   | 35 (79.5%)   | 17 (77.3%)                      | 0.831     |
| Non-Hispanic black   | 8 (18.2%)    | 5 (22.7%)                       | 0.662     |
| Hispanic             | 1 (2.3%)     | 0                               | 0.486     |
| Hypertension—n (%)   |              |                                 |           |
| Diabetes (type I)—n (%) | 0           | 1 (4.5%)                        | 0.154     |
| Hypertension—n (%)   | 3 (6.8%)     | 4 (18.2%)                       | 0.158     |
| BMI (kg/m²)—median (Q1,Q3) | 20.1 (19.1, 22.1) | 48.4 (42.0, 51.7) | <0.001   |
| Urine IL-18 (pg/ml)—median (Q1,Q3) | 24.5 (14.0, 46.4) | 78.3 (39.7, 246.5) | <0.001   |
| Urine NGAL (ng/ml)—median (Q1,Q3) | 17.8 (6.8, 28.0) | 31.0 (22.2, 162.3) | 0.006     |
| Urine KIM-1 (pg/ml)—median (Q1,Q3) | 370.7 (243.2, 689.5) | 849.2 (337.2, 1189.8) | 0.045     |

BMI, body mass index; IL-18, interleukin-18; NGAL, neutrophil gelatinase-associated lipocalin; KIM-1, kidney injury molecule 1.
biomarkers are not permanently altered by a kidney insult but instead may be useful for tracking the resolution of the insult (29). Interestingly, one small adult study have reported development of clinical AKI within 2-3 days after bariatric surgery which was associated with an increase in NGAL levels on the first postoperative day (31). We did not measure urinary biomarkers in the perioperative period however we did not observe clinically evident AKI in any of our subjects either.

These biomarkers have also been associated with obesity. Catalán et al. reported higher NGAL protein expression in the visceral fat depot of obese patients compared to lean subjects. They also demonstrated a significant positive association between NGAL gene expression levels and inflammatory markers. Those findings suggested NGAL’s potential involvement in the low-grade chronic inflammation accompanying obesity (32). We did not measure NGAL or other biomarkers in serum and cannot rule out that serum levels could be elevated secondary to systemic inflammation found in obesity. However, previous mechanistic studies showed that elevated urinary levels of NGAL, IL-18, and KIM-1 are directly caused by production in the liver (14,33,34). Thus, these proteins are biologically plausible urinary biomarkers for subclinical kidney injury associated with obesity. The significantly elevated levels of all three urinary biomarkers suggests that there is on-going inflammation (reflected by NGAL and IL-18) as well as more chronic fibrotic changes (suggested by KIM-1) in kidneys of SO adolescents.

Recently, Goknar et al. reported elevated urinary KIM-1 in obese children in comparison to lean children but no difference was found in urinary NGAL levels (35). The difference in the results between this study and ours is likely because of the fact that subjects in their study were younger than those in this current study (11.73 years vs. 16.5 years) and had less severe obesity and presumably a lower “pound-year” obesity burden, which might predict a lesser degree of kidney injury.

As expected, bariatric surgery led to a dramatic decrease in BMI and HOMA-IR postoperatively. Hypertension also resolved after weight loss. However, abnormally elevated NGAL, KIM-1, and IL-18 in the urine did not decrease by 1 year after surgery as compared to baseline. The fact that many subjects have increased biomarker levels at 6 months postsurgery as compared to baseline is worthy of further investigation. This finding might well represent a physiologic response to increased metabolic demands or a response to stored fat soluble toxins associated with massive mobilization of 50 kg or more adipose tissue during the period of rapid postoperative weight reduction. Indeed it is relevant that others have observed progression of liver disease associated with rapid weight loss during the initial 6-12 months after bariatric surgery, and have speculated that large scale lipolysis, with consequent mobilization of large quantities of long-chain fatty acids from visceral adipose tissue for metabolism in the liver may precipitate progressive steatohepatitis because of the stress of an acute and large metabolic load (36).

There are a few possible explanations why biomarker levels remained elevated at 1 year post-bariatric procedure. First, it is possible that recovery from obesity-induced kidney injury will take longer than 1 year post-surgery, and measurements taken at 18 or 24 months may demonstrate lower biomarker values. Second, despite dramatic weight loss over a relatively short period, a majority of these patients remained obese leaving open the possibility that ongoing obesity-related kidney injury could be responsible for persistently elevated biomarker values at 1 year. Sugerman et al. reported that about two third of 30 extremely obese adolescents with mean BMI of 52 kg/m² continued to experience weight loss between 1 and 5 years post-bariatric procedure. Thus with continued weight loss, further changes may be occurring in the kidney (37). Finally, and least desirable, it is possible that kidney injury that occurred pre-operatively may not be fully reversible, even with major weight reduction as was seen in our study subjects who have improved from severe obesity to either normal weight or overweight category but have continued to have elevated biomarker levels at 1 year after surgery. Thus, to better understand the effect of severe obesity and changes in kidney status associated with weight loss, longer follow-up is needed.

Recent analysis of kidney status from one of the largest cohorts of adults after bariatric surgery enrolled in the Swedish Obese Subjects

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**TABLE 2 Changes in clinical characteristics and urinary biomarkers in obese subjects after bariatric procedure**

|                        | Severe obesity (n = 22) |
|------------------------|-------------------------|
|                        | Baseline | 6 months<sup>a</sup> | 12 months<sup>b</sup> | P for trend |
| BMI (kg/m²)—median (Q1,Q3) | 48.4 (42.0, 51.7)<sup>c,d</sup> | 34.3 (29.5, 39.2)<sup>a</sup> | 32.2 (28.6, 37.1) | <0.001 |
| HOMA-IR—median (Q1,Q3)   | 6.6 (5.1, 10.0)<sup>c,d</sup> | 1.5 (1.2, 2.2) | 1.3 (1.1, 1.9) | <0.001 |
| eGFR (ml/min/1.73 m²)—median (Q1,Q3) | 113.7 (102.1, 136.0) | 109.2 (98.3, 121.0) | 111.4 (96.2, 161.5) | 0.346 |
| Urine albumin to creatinine ratio (mg/gm)—median (Q1,Q3) | 6.3 (4.6, 8.4) | 8.1 (5.2, 12.8) | 7.9 (5.5, 13.5) | 0.176 |
| Urine IL-18 (pg/ml)—median (Q1,Q3) | 78 (40, 247) | 143 (78, 278) | 53 (39, 143) | 0.344 |
| Urine NGAL (ng/ml)—median (Q1,Q3) | 31 (22, 162)<sup>c</sup> | 106 (55, 251)<sup>a</sup> | 43 (23, 95) | 0.092 |
| Urine KIM-1 (pg/ml)—median (Q1,Q3) | 849 (337, 1190)<sup>c</sup> | 2690 (1346, 3169) | 1048 (667, 2497) | 0.009 |

<sup>a</sup>n = 8 missing.  
<sup>b</sup>n = 3 missing.  
<sup>c</sup>Significant difference between baseline and 6 months.  
<sup>d</sup>Significant difference between baseline and 12 months.  
<sup>e</sup>Significant difference between 6 months and 12 months.  
BMI, body mass index; HOMA-IR, homeostasis model assessment of insulin resistance; eGFR, estimated glomerular filtration rate; IL-18, interleukin-18; NGAL, neutrophil gelatinase-associated lipocalin; KIM-1, kidney injury molecule 1.
In conclusion, despite pilot nature and short follow-up of a relatively small cohort, this study indicates that substantial number of SO adolescents have increased urinary excretion of novel biomarkers of structural and inflammatory kidney injury, despite the absence of microalbuminuria or decreased eGFR. This is concerning since progression to overt renal impairment (low eGFR and/or proteinuria) is insidious and often not detected until late stage. In addition, persistence of subclinical kidney injury in some patients 1 year after procedure despite significant weight loss suggests that close long-term follow-up of kidney status is warranted in SO adolescents irrespective of whether or not bariatric surgery has been used for weight management. The follow-up studies should focus on confirming the results of this pilot study in a large cohort of SO adolescents and on assessing the role of urinary biomarkers in predicting development of clinical CKD with proteinuria and decreased kidney function.

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