Impact of glycemic control on capsular polysaccharide biosynthesis and opsonophagocytosis of *Klebsiella pneumoniae*: Implications for invasive syndrome in patients with diabetes mellitus

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**ABSTRACT**

*Klebsiella pneumoniae* (KP), with production of abundant capsular polysaccharide (CPS), is capable of causing invasive syndrome. Environmental glucose stimuli may increase CPS biosynthesis. We aimed to investigate the relationship between glycemic control and KP-mediated invasive syndrome in diabetic patients and the effect of glucose on CPS biosynthesis. Diabetic patients with community-acquired KP bacteremia were included to study the risk factors of invasive syndrome. KP-M1, a serotype-K1 KP clinical isolate, was used to examine the CPS biosynthesis and *cps* gene expression, and the effect of exogenous glucose on bacterial phagocytosis and killing. We found that invasive syndrome was significantly more common in diabetic patients who were infected with strains expressing the K1 serotype (adjusted odds ratio [AOR], 8.32; 95% confidence interval [CI], 1.56–44.24; *p* < 0.01), and had poor glycemic control (HbA1c ≥9%; AOR, 5.66; 95% CI, 2.01–15.92; *p* < 0.01). Pre-incubation of KP-M1 in media containing different gradient glucose concentrations enhanced CPS biosynthesis and *cps* gene expression in high glucose (0.5%) concentration, which leads to increasing bacterial resistance to phagocytosis and killing. High glucose levels reflected by poor glycemic control may stimulate CPS biosynthesis and *cps* gene expression of highly virulent KP, which increase resistance to phagocytosis and contribute to development of invasive syndrome.

**KEYWORDS**
capsular polysaccharide; diabetes mellitus; glycated hemoglobin; *K. pneumoniae*; phagocytosis

**Introduction**

*Klebsiella pneumoniae* (KP) has been identified as the predominant bacteria responsible for pyogenic liver abscess worldwide. Several bacterial virulence factors, including capsular polysaccharide (CPS), have been identified as determinants of invasive infections. CPS may help KP evade phagocytosis and impede bacterial clearance from the host. Moreover, it has been used to develop a sero-typing system for KP isolates, and currently 77 capsular serotypes have been identified. Compared to those belonging to non-K1/K2 serotypes, isolates of the serotype K1/K2 are significantly more virulent and more likely to cause invasive syndrome. Apart from the bacterial factor, the incidence of pyogenic liver abscess due to KP has been documented to be frequently associated with type 2 diabetes mellitus (DM). In the study by Chen *et al.*, DM was shown to be associated with a 7.7-fold (95% confidence interval [CI], 2.1–29) increased risk for developing invasive syndrome due to KP. An animal study also suggests that DM might provide a unique environment that allows KP strains to disseminate from the intestines into the blood.

It has been well known that the neutrophil is involved in the innate immunity against bacterial infection. Diabetic patients often have abnormalities in both cell-mediated and humoral immune responses, which result in increased susceptibility to infectious diseases. Previous studies have shown that uncontrolled glycemia plays a role in impairing neutrophil phagocytosis of KP of K1/K2 serotype. However, data on the impact of glycemic control on the biosynthesis of KP CPS are scanty. We hypothesized that a high glucose environment may inhibit the phagocytosis of KP by neutrophils as a result of increased CPS biosynthesis. In this study, we aimed to examine the effect of exogenous graded glucose on CPS biosynthesis, *cps* gene expression, phagocytosis and...
Table 1. Comparisons of characteristics between diabetic patients with community-acquired *K. pneumoniae* bacteremia who developed invasive syndrome and those who did not develop invasive syndrome.

| Variable                        | Yes (n = 41) | No (n = 134) | p value | Age-matched controls (n = 41)† | p value† |
|--------------------------------|--------------|--------------|---------|-------------------------------|---------|
| Male                           | 17 (41.5)    | 47 (35.1)    | 0.67    | 12 (29.3)                     | 0.36    |
| Age ≥ 60 yrs                    | 19 (46.3)    | 65 (48.5)    | 0.86    | 19 (46.3)                     | >0.99   |
| Newly diagnosed DM             | 8 (19.5)     | 15 (11.2)    | 0.19    | 7 (17.1)                      | >0.99   |
| HbA1c ≤ 6%                     | 4 (9.8)      | 29 (21.6)    | 0.11    | 13 (31.7)                     | 0.02    |
| HbA1c, 6–9%                    | 5 (12.2)     | 32 (23.9)    | 0.13    | 12 (29.2)                     | 0.06    |
| HbA1c ≥ 9%                     | 32 (78.0)    | 73 (54.4)    | 0.01    | 16 (39.0)                     | <0.01†  |
| Cardiovascular diseases        | 4 (9.8)      | 4 (3.0)      | 0.09    | 2 (4.9)                       | 0.68    |
| Liver cirrhosis                | 3 (7.3)      | 5 (3.7)      | 0.39    | 4 (9.8)                       | >0.99   |
| Chronic renal failure          | 8 (19.5)     | 21 (15.7)    | 0.64    | 6 (14.6)                      | 0.77    |
| Alcoholism                     | 3 (7.3)      | 6 (4.5)      | 0.44    | 2 (4.9)                       | >0.99   |
| Chronic obstructive pulmonary disease | 3 (7.3) | 6 (4.5)      | 0.44    | 3 (7.3)                       | >0.99   |
| Malignancy                     | 3 (7.3)      | 13 (9.7)     | 0.77    | 8 (19.5)                      | 0.19    |
| Biliary tract diseases         | 1 (2.4)      | 4 (3.0)      | >0.99   | 4 (9.8)                       | 0.36    |
| High-dose steroid use          | 3 (7.3)      | 6 (4.5)      | 0.44    | 4 (9.8)                       | >0.99   |
| K1 serotype of *K. pneumoniae* | 12 (29.3)    | 2 (1.5)      | <0.01   | 2 (4.9)                       | <0.01†  |
| K2 serotype of *K. pneumoniae* | 6 (14.6)     | 2 (1.5)      | <0.01   | 1 (2.4)                       | 0.11    |

†There was adequate goodness-of-fit (Hosmer and Lemeshow test $X^2 = 0.50; p > 0.99$). ROC analysis indicated that the predictive performance of the logistic regression model was adequate (area under the curve [AUC] = 0.77).

‡Age-matched analysis. There was adequate goodness-of-fit (Hosmer and Lemeshow test $X^2 = 0.20; p > 0.99$). ROC analysis indicated that the predictive performance of the logistic regression model was adequate (area under the curve [AUC] = 0.80).

Results

Risk factors for *K. pneumoniae*-mediated invasive syndrome

During the 3-year study period, 175 diabetic patients were hospitalized with community-acquired KP bacteremia and 41 (23.4%) had invasive syndrome. Capsular serotype K1 was the most commonly encountered serotype (n = 14, 8.0%) followed by K2 (n = 8, 4.5%), K34 (n = 3, 1.7%), K50 (n = 2, 1.1%), K57 (n = 2, 1.1%), and K5 (n = 1, 0.6%). Compared with age-matched control group (Table 1), diabetic patients with KP-mediated invasive syndrome were more likely to be infected with strains expressing the K1 serotype (p < 0.01) and have poor glycemic control (p < 0.01). On the contrary, community-acquired bacteremic diabetic patients with good glycemic control (HbA1c ≤ 7%) were less likely to have invasive syndrome (p = 0.02). As demonstrated in Table 1, KP-mediated invasive syndrome was significantly more likely to occur in the patients infected with strains expressing the K1 serotype than those infected with non-K1 serotypes (AOR, 8.32; 95% CI, 1.56–44.24; p = 0.01); and in those with poor glycemic control (HbA1c ≥ 9%) than those with suboptimal or good, glycemic control (AOR, 5.66; 95% CI, 2.01–15.92; p < 0.01) in multivariate analysis.

Effect of exogenous gradient glucose on *K. pneumoniae* CPS biosynthesis

To analyze if exogenous glucose or glycerol affects KP CPS biosynthesis, KP-M1 and DT-X bacteria were grown in Luria-Bertani (LB) broth supplemented with increasing amount of glucose or glycerol (0.1%, 0.2% and 0.5%) for quantification of CPS biosynthesis. As shown in Figure 1, the addition of exogenous glucose but not glycerol concentration increased CPS biosynthesis of KP-M1 in a dose-dependent manner. The addition of exogenous glucose concentration did not influence CPS biosynthesis of DT-X.

Effect of exogenous glucose on *K. pneumoniae* cps transcription

KP-M1 strains were cultured in LB broth supplemented with 0.1%, 0.2% and 0.5% glucose or glycerol and the mRNA levels of orf1, orf3, and orf7 were measured by qRT-PCR. No statistical difference in transcription of orf1, orf3, and orf7 was observed in these growth conditions of different glycerol concentration. However, growth in 0.5% glucose increased transcription of orf1, orf3, and orf7 in comparison with growth in 0.1%, or 0.2% glucose (Fig. 2).
Effects of exogenous glucose on neutrophil phagocytosis and leukocyte bactericidal activity against *K. pneumoniae*

Growth in LB broth with 0.5% glucose significantly inhibited the percentage of phagocytosis of KP-M1 in comparison with growth in LB broth with 0.1% or 0.2% glucose (Fig. 3A and 3B; \( p < 0.01 \)). The rate of KP-M1 survive was also significant increased for bacteria growth in LB broth with 0.5% glucose in comparison with which growth in LB broth with 0.1% or 0.2% glucose at checking time (\( p < 0.05 \); Fig. 4). The results indicated that KP-M1 in high sugar condition was able to diminish significantly the neutrophils phagocytosis and whole blood leukocyte killing against this culprit.

**Discussion**

The CPS surrounding KP bacteria enables the bacteria to evade phagocytosis, and increased production of CPS by exogenous graded glucose may therefore intensify the pathogenicity of KP strains. Indeed, LB broth is almost glucose-free. The presence of glucose in these conditions...
and those from diabetic patients with good glycemic control did not show a significant reduction of phagocytosis of serotypes K$_1$/K$_2$ KP. In contrast, our study showed that neutrophils collected from healthy male volunteers demonstrated markedly reduced phagocytosis and killing of virulent K$_1$ KP pre-incubated in LB broth with a 0.5% glucose concentration, which suggests that an environmental factor such as high glucose levels might be one important factor affecting the phagocytosis and bacterial activity. Epidemiological studies have demonstrated that the majority of KP liver abscess are preceded by the colonization of the gastrointestinal tract. The poor glycemic control in DM patients causes tissue hyperglycemia, which may enhance the CPS production of KP strains that colonize the gastrointestinal tract followed by resistance to neutrophil phagocytosis and leukocyte killing. Our clinical findings also support the notion that poor glycemic control plays a role in diabetic patients with community-acquired bacteremia due to KP to develop invasive syndrome.

The biosynthesis of CPS in KP is regulated by multiple environmental stimuli and protein regulators. The complex network of multiple regulators such as Rcs system, RmpA/A2, KvgA, KvhA, and Fur has been even reported. The mechanism of increasing KP CPS biosynthesis in the presence of increased exogenous glucose concentrations was proposed to be related to the regulation of cAMP-dependent carbon catabolite repression (CCR). The cAMP-CCR signaling has been shown to regulate the expression of various genes encoding carbon metabolism enzymes and virulence factors, such as flagella, fimbriae, protease, exotoxin, and secretion systems. These studies indicated that, in response to specific environmental signals, KP bacteria may express genes encoding virulence factors that help to establish a successful infection. To investigate how glucose level affects KP CPS biosynthesis, we performed qRT-PCR analysis for the transcriptional level of orf1, orf3, orf7 and found that increase of CPS biosynthesis was regulated by glucose concentration as stimulus at 0.5% glucose (Fig. 2). Therefore, a high exogenous glucose concentration stimulates KP CPS biosynthesis to protect the bacteria from phagocytosis/neutrophyl killing and the poor glycemic control reflected by high glucose levels in the bloodstream might have a major impact on the bacterial virulence.

This study has several limitations. The patients included in the cohort study were those who were hospitalized in the tertiary care center, and, therefore, our findings may not be generalizable to the patients who sought care in primary care facilities or those who were treated as outpatients. Only one strain (KP-M1; serotype K$_1$) was assessed in this study. However, epidemiological

**Figure 4.** Human leukocyte bactericidal activity assay. Human peripheral white blood cells from 5 healthy males were collected and incubated with the KP-M1 that had been previously cultured in Luria-Bertani (LB) broth with different exogenous glucose concentrations then washed and opsonized with pool human serum. The rate of survival assay was significant increased for KP-M1 growth in LB broth with 0.5% glucose in comparison with which growth in LB broth with 0.1% or 0.2% glucose at checking time. *, $P < 0.05$. Experiments are supposed to be similar to the human bloodstream concentrations; the comparison is between a healthy subject, who would have 0.1% glucose blood concentration, and diabetic one who would have 0.2–0.5% glucose blood concentrations. Hence, our study showed that addition of exogenous glucose in LB broth enhanced CPS biosynthesis and mRNA of cps gene expression in high glucose (0.5%) concentration. The KP-M1 co-incubated in LB broth with a 0.5% glucose concentration inhibited neutrophil phagocytosis and leukocyte killing of this pathogen to a significantly greater extent than those in LB broth at 0.1% or 0.2% glucose concentration. Furthermore, our prospective cohort analysis of community-acquired bacteremia due to KP also indicated that poor glycemic control in diabetic patients was a risk for developing invasive syndrome. The serotypes K$_1$ and K$_2$ of KP have been shown to be the major strains that cause KP-mediated invasive syndrome in East Asian countries, especially in Taiwan. A study that collected KP colonizing the intestinal tract of healthy Chinese and overseas Chinese adults in Asian countries showed that serotypes K$_1$/K$_2$ accounted for the majority of KP isolates from stool samples. In addition to infection with serotypes K$_1$ KP, our findings also suggest that diabetic patients with uncontrolled glycermia are at increased risks for KP-mediated invasive syndrome, which is similar to other reports. DM is a documented risk factor for Gram-negative bacteremia, including the episodes derived from abdominal foci of infection, to which impaired neutrophil function associated with DM has been shown to be contributory. However, comparisons of neutrophils isolated from normal healthy subjects...
reports have indicated that capsular serotype K1 is the most prevalent in KP-mediated invasive syndrome. As non-K1 strains did not increase CPS biosynthesis significantly in terms of different exogenous glucose stimulus (data not shown), our results derived from the representative serotype K1 strain may be generalized to the majority of KP strains that cause invasive syndrome. Last, the degree of hypermucoviscosity has been shown to positively correlate with KP-mediated invasive syndrome and KP-M1 strain also cause hypermucoviscosity. However, the degree of hypermucoviscosity in KP-M1 strains affected by exogenous glucose stimuli cannot be measured quantitatively by string test. Despite these limitations, our results provide evidence to support the role of glucose level as an environmental factor in the pathogenesis of KP-mediated invasive syndrome.

In summary, our study demonstrates that type 2 DM with poor glycemic control is an independent factor for developing KP-mediated invasive syndrome in diabetic patients with KP community-acquired bacteremia. Exogenous high glucose concentrations that reflect the poor glycemic control in diabetic patients may stimulate the increase of CPS biosynthesis and mRNA of cps gene expression of virulent KP, which become resistant to neutrophil phagocytosis/leukocyte killing and enable the evasion of host immune system.

Materials and methods

Study population

All the protocols used in the present study had been approved by the Institutional Review Board at Kaohsiung Chang Gung Memorial Hospital (KCGMH approval 103-7336C). Informed consent was waived for the observational study to evaluate the relationship between glycemic control and KP-mediated invasive syndrome among diabetic patients with community-acquired KP bacteremia. Exogenous high glucose concentrations that reflect the poor glycemic control in diabetic patients may stimulate the increase of CPS biosynthesis and mRNA of cps gene expression of virulent KP, which become resistant to neutrophil phagocytosis/leukocyte killing and enable the evasion of host immune system.

In addition, 5 healthy male volunteers provided their written informed consent to participate in the study.

Study design

In this prospective cohort study, diabetic patients who were admitted to the KCGMH between January 1, 2008, and December 31, 2010, with community-acquired mono-microbial bacteremia caused by KP were enrolled for the investigation of risk factors for KP-mediated invasive syndrome. Only the KP isolates from the participants’ first blood samples were used in the in-vitro study. Diabetic patients were defined as those with a history of type 2 DM and/or those receiving either insulin replacement therapy and/or oral hypoglycemic agents. Cases of newly diagnosed DM were recorded. To assess the glycemic control, the glycated hemoglobin (HbA1c) was determined when patients were admitted to the hospital. The impact of glycemic control on various outcomes of interest was evaluated by categorizing all the patients into three categories on the basis of HbA1c levels: HbA1c ≤7% (good glycemic control), HbA1c 7–9% (suboptimal glycemic control) and HbA1c ≥9% (poor glycemic control). High-dose steroid use was defined as ≥20 mg prednisolone daily for more than 3 weeks.

The diagnosis of KP-mediated invasive syndrome was made when the criteria for sepsis were met plus the presence of at least one of the following complications: pyogenic liver abscess, meningitis, empyema, mycotic aneurysm, necrotizing fasciitis or endophthalmitis. To investigate the risk factors of KP-mediated invasive syndrome in diabetic patients, the following clinical variables were assessed: age, sex, and comorbidities (including liver cirrhosis, malignancy, chronic renal failure, and biliary tract disease). Subsequently, each diabetic patient with community-acquired KP bacteremia who developed invasive syndrome (invasive syndrome group) was age-matched to 1 diabetic patient with community-acquired KP bacteremia who did not develop invasive syndrome (non-invasive syndrome group). Peripheral blood samples were collected from 5 healthy male volunteers aged between 25 and 40 years for experiments of neutrophil phagocytosis and leukocyte bactericidal activity assay as described below.

Bacterial isolates and serotype determination

All KP isolates were identified using standard methods. Capsular genotyping of seven clinically significant capsular types (K1, K2, K3, K20, K54, K57, and K51) was performed with the use of polymerase-chain-reaction (PCR) assay. Briefly, PCR was performed using primers designed for the cps variable region. Genomic DNA was extracted by boiling selected colonies in distilled water for 10 minutes. The PCR conditions were 96°C for 3 min, followed by 30 temperature cycles of 96°C for 30s, 53°C for 15s, and 72°C for 30s. A KP-M1 (serotype K1) strain that was isolated from a patient with invasive syndrome presenting as pyogenic liver abscess and endophthalmitis and a acapsular K. pneumoniae mutant of DT-X was isolated by subculture of strain DT-S (biotype Edwardsii, capsular serotype K1) were used as the representing strain in the following experiments. The lack of capsular in DT-X was confirmed by staining with Indian ink. Bacteria were routinely cultured at 37°C in LB medium.

Quantification of CPS biosynthesis

The CPS concentration was determined with the use of a modified carbazole assay for glucoronic acid.
CPS was measured after quantitative extraction of whole bacterial cultures with zwitterionic detergent in citrate buffer.\textsuperscript{34} A 0.5-mL sample was mixed with 3 mL of 0.025 M sodium tetraborate (WWR, Radnor, PA) in sulfuric acid, and heated at 100°C for 10 min. After cooling, 0.1 mL of 0.125% carbazole (Sigma-Aldrich, St. Louis, MO) in absolute ethanol was added, and the samples were heated for another 15 min. The absorbance of the sample at 530 nm wave length was measured, and the concentration of glucoronic acid was extrapolated from a standard curve that was constructed using glucuronolactone standards (Sigma-Aldrich, St. Louis, MO). To quantify CPS after exogenous glucose treatment, KP bacteria were cultured in LB broth containing 0.1%, 0.2%, 0.5% glucose or glycercol and incubated 6 h at 37°C. The glycerol was a negative control for the glucose supplementation. All samples were assayed using the comparative threshold method.39 The KP-M1 organisms were cultured in LB broth containing 0.1%, 0.2%, or 0.5% glucose. Capsule of KP was disrupted by heat but not by ultraviolet light treatment.\textsuperscript{36} The bacterial colonies were irradiated with UV light for bacterial inactivation, followed by sub-culture in plates to confirm sterility after overnight incubation. The purpose of inactive bacteria colonies is keeping consistent MOI (10:1) for the phagocytosis assay. The irradiated cultures were resuspended in carbonate buffer containing 0.1% fluorescein isothiocyanate (FITC). The FITC-stained KP (FITC-KP) cells were counted using a bacterial cytometer and a fluorescence microscope. The FITC-KP cells were analyzed using a FACS Calibur flow cytometer (BD Biosciences, San Jose, CA) to verify that bacterial staining was uniform throughout each sample. Phagocytosis was measured using a standard assay.\textsuperscript{37} Briefly, 10 μL of FITC-KP (representing 5 × 10^6 cfu/mL) was added to each 990 μL volume containing a mixture of 100 μL of a neutrophil suspension (representing 5 × 10^5 cells/mL), 100 μL of pooled normal human serum (10% v/v for opsonization), and 790 μL of PBS. The final volume was 1.0 mL and the multiplicity of infection was 10:1. Each tube was incubated in a shaking water bath at 37°C and transferred to an ice bath at a designated time point. A 600μL of suspension was transferred into a new tube, and trypan blue was added to a final concentration of 50 mg/L before measurement. The trypan blue does not penetrate into the cells, ingested bacteria retain their green fluorescence, while membrane-bound particles display a red fluorescence to differentiate adherent bacteria of internalized bacteria.\textsuperscript{38} A FACSScan emitting an argon laser beam at 488 nm was used to detect FITC fluorescence. A total of 20,000 cells were processed using Cellquest version 1.0 software (Becton, Dickinson and Company). Green fluorescence (FL1-H) intensity data (collected using a logarithmic amplifier) were displayed as single histograms. With the technique, FITC-unstained (adherent) and FITC-stained (intracellular) bacteria can be quantitated. The percentage of ingested bacteria was counted at 60 min.

**Flow cytometric analysis of neutrophil phagocytosis**

A standard density gradient separation method to isolate human neutrophils from these 5 healthy subjects’ whole blood using commercially available separation media that is a mixture of sodium metrizoate and Dextran 500. This method has been shown to yield samples of >95% neutrophils with >95% viability. Pooled serum was obtained from these five volunteers and stored in aliquots at −20°C until required. The KP-M1 bacteria were cultured 6 h at 37°C on LB medium containing 0.1%, 0.2%, or 0.5% glucose. Capsule of KP was disrupted by heat but not by ultraviolet light treatment.\textsuperscript{36} The KP-M1 organisms were cultured in LB broth containing 0.1%, 0.2%, or 0.5% glucose,
respectively, and incubated 6 h at 37°C. The KP-M1 organisms were washed then opsonized by the addition of 10% pooled human serum. The suspension was mixed at a multiplicity of infection of 10:1 to whole blood leukocytes. Samples were collected immediately and at 1h, 4h, 6h, and 21 h separately then diluted in H2O (pH 11.0, adjusted by NaOH) to lyse the leukocytes and disperse the bacteria for the power-plate colony assay. All tests were performed in triplicate to ensure reproducibility.

**Statistical analysis**

The categorical variables were compared using the chi-square test or the Fisher exact test, as appropriate. A multivariate logistic regression model was used to evaluate risk factors for invasive syndrome by calculating the adjusted odds ratio (AOR) and 95% confidence interval (95% CI) of each clinical variable. All experimental data were expressed as the mean ± standard deviation. Quantitative data was analyzed by ANOVA followed by Tukey’s posttest. All statistical analyses were two-sided, and values of p less than 0.05 were considered significant. Goodness-of-fit was assessed by the Hosmer and Lemeshow statistic. A receiver-operating-characteristic (ROC) curve analysis was used to evaluate the predictive performance of the logistic regression model.

**Disclosure of potential conflicts of interest**

No potential conflicts of interest were disclosed.

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