Notes on diffeomorphisms symmetry of $f(R)$ gravity in the cosmological context

Amir Ghalee
Tafresh University, Tafresh, Iran

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Abstract We study the metric perturbations in the context of restricted $f(R)$ gravity, in which a parameter for deviation from the full diffeomorphisms of space-time is introduced. We demonstrate that one can choose the parameter to remove the induced anisotropic stress, which is present in the usual $f(R)$ gravity. Moreover, to prevent instability for the vector and tensor metric perturbations, some constraints on the restricted $f(R)$ gravity are obtained.

1 Introduction

Plank 2015 data show that the so-called $R^2$ inflation model for the early Universe is consistent with the observations [1]. Also, $f(R/M^2)$-gravity, which is described by

$$S_f = \int d^4x \sqrt{-g} \left[ \frac{M_p^2 R}{2} + \lambda M_p^2 f \left( \frac{R}{M_p^2} \right) \right] + \text{S}_{\text{Matter}}, \quad (1)$$

where $M_p^2$ is the reduced Planck mass and $\lambda$ is a dimensionless constant, have been proposed for the late-time cosmology to avoid the cosmological constant problem [2] (Also, see other work on this subject see Ref. [30] as the review paper and references therein) [3]. Such observations and theoretical speculations provide motivations to investigate the modified gravity theories in the context of cosmology.

Study of the metric perturbations provide a tool to characterize the modified gravity theories. For example, if we consider $f(R/M^2)$-gravity in the perturbed Friedmann–Robertson–Walker (FRW) Universe and using the Newtonian gauge, which is defined by

$$ds^2 = -(1 + 2\Phi(t, x))dt^2 + a(t)^2(1 + 2\Psi(t, x))dx^i dx^j \delta_{ij}, \quad (2)$$

we lead to $\Phi(t, x) \neq \Psi(t, x)$, i.e. the induced anisotropic stress arises. This effect can be used to distinguish the modified gravity theories from the observations [4].

One way to generalize (1) is to use other curvature invariant quantities in four dimensions, such as $R, R_{\mu\nu} R^{\mu\nu}, \ldots$ [5–21]. On other hand, in the cosmological context, the cosmic microwave background shows that the FRW metric is the preferred coordinate system of the Universe. Thus, to describe dynamics of the Universe, one can consider theories which are invariant just under the spatial diffeomorphisms as have been done in [22] and references therein. So, one can also apply this idea to $f(R/M^2)$-gravity and restrict the symmetry of the action to just under the spatial diffeomorphisms.

In this paper we study the relation between the induced anisotropic stress and the full diffeomorphisms symmetry of $f(R/M^2)$-gravity. As we will see, a systematic way to obtain this relation is to construct restricted $f(R/M^2)$ from the usual $f(R/M^2)$. The main motivation to report this work comes from one of the interesting results of this attempt that is given by Eq. 37. The result shows how the induced anisotropic stress is related to the full diffeomorphisms symmetry. Note that, as we argued, in the cosmological context the full diffeomorphism symmetry, is broken by using FRW metric as the preferred coordinate. For this purpose we impose the following transformation in 1, which breaks the time diffeomorphisms of (1) but saves the symmetry under the spatial diffeomorphisms,

$$R \rightarrow R_Y \equiv R + (Y - 1) \Xi, \quad (3)$$

where $Y$ is a parameter and $\Xi$ is a four-divergence term, which is appear in the decomposition of the the Ricci scalar in four dimensions as [28]

$$R = 3 \tilde{R} + (K_{ij} K_{ij} - K^2) + \Xi, \quad (4)$$

where $\tilde{R}$ is the three-dimensional Ricci scalar which is obtained from a three-dimensional metric $h_{ij}$. Also
$K = h^{ij} K_{ij}$, where $K^{ij}$ is the extrinsic curvature, which is defined as

$$K_{ij} = \frac{1}{2N} (h_{ij} - \nabla_i N_j - \nabla_j N_i),$$

(5)

where $N$ and $N^i$ are the lapse and shift, respectively.

To state $\Xi$ in terms of the lapse and the shift, we use the general formula in Ref. [28], in which one finds

$$\Xi = 2\nabla_\mu (n^\mu K) - \frac{2}{N} \nabla_i \nabla^i N,$$

(6)

where $n_\mu$ can be represented as

$$n_\mu = (-N, 0, 0, 0).$$

Note that although the last term in (4) is the four-divergence term and for the Einstein–Hilbert action does not affect field equations in 3 + 1 formalism, but without it $R$ is just invariant under the spatial diffeomorphisms.

Let us urge the reader that our purpose is to find the relation between the induced anisotropic stress and the diffeomorphism symmetry in $f(R/M^2)$-gravity. It is important point because if one wants to regard this attempt as a new model, one must quest for the Hamiltonian consistency of the model. To see this approach see Ref. [23]. Also, for a different motivation to apply the above transformation in the context of Horava–Lifshitz gravity, see Refs. [24–27].

The organization of this paper is as follows: in Sect. 2 we briefly review the background cosmology of the restricted $f(R/M^2)$-gravity. Section 3 is devoted to a study of the dynamics of the model with perturbed metric.

2 Background equations

In this section, we obtain general equations for the restricted $f(R/M^2)$-gravity for the unperturbed FRW metric background. Note that in all relations and results of this work, if we take $\mathcal{Y} = 1$ the corresponding relations for the usual $f(R/M^2)$-gravity must be obtained. By applying the transformation that is shown in (3), the restricted version of any $f(R/M^2)$-gravity is obtained from (1) as

$$S_{\text{res}} = \int d^4x \sqrt{-g} \left[ M_p^2 \frac{R}{2} + \lambda M_p^4 f \left( \frac{R}{M_p^2} \right) \right] + S_{\text{Matter}},$$

(8)

We take the flat FRW metric as

$$ds^2 = -N(t)^2 dt^2 + a(t)^2 dx^i dx^j \delta_{ij},$$

where $N = N(t)$ is the lapse and $a = a(t)$ is the scale factor that from which the Hubble parameter is defined as $H \equiv \dot{a}/a$.

The energy-momentum tensor of the perfect fluid, $T_{\mu\nu}$, is obtained by

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta S_{\text{Matter}}}{\delta g_{\mu\nu}}.$$  (10)

The matter is in the form of a perfect fluid which is minimally coupled to the metric. Without any non-minimal interaction between gravity and the matter, we break the general diffeomorphism just in the gravitational sector of the action. In Ref. [29] it has been shown that if one just breaks the general diffeomorphism for the gravitational sector, the usual conservation of energy, $\nabla^\mu T_{\mu\nu} = 0$, holds. So, by using this equation for the FRW metric, it follows that

$$\dot{\rho} + 3H (\rho + p) = 0,$$

(11)

where $\rho \equiv -T_0^0$ and $p g_{ij} \equiv T_i^j$ are the density of energy and momentum of the fluid, respectively.

Using the above metric and the general relations in the ADM formalism, which are discussed in Ref. [28], one can show that

$$R_\mathcal{Y} = R + (\mathcal{Y} - 1) \Xi = A + \mathcal{Y} \Xi,$$

(12)

where

$$\Xi = -6 \frac{H \dot{N}}{N^3} + 6 \frac{\dot{H}}{N} + 18 \frac{H^2}{N^2}, \, A \equiv -6 \frac{H^2}{N^2}.$$  (13)

It is easy to check that, by integration by parts, we have

$$\int d^4x a^3 N \Xi = 0,$$

(14)

which, as we argued, shows that $\Xi$ is the four-divergence term.

From Eqs. (8) and (13), it follows that

$$S_{\text{res}} = \int d^4x \sqrt{-g} \left[ M_p^2 \frac{R}{2} + \lambda M_p^4 f \left( \frac{A + \mathcal{Y} \Xi}{M_p^2} \right) \right] + S_{\text{Matter}}.$$  (15)

Using Eqs. (12) and (13) and then varying the action with respect to the lapse, with some integration by parts and then setting $N = 1$, we have

$$3H^2 + \lambda M_p^2 f + \lambda (6H^2 - R_\mathcal{Y}) F + 6\lambda \mathcal{Y} H \dot{F} = \frac{\rho}{M_p},$$

(16)
where

\[ R_Y = -6H^2 + \mathbf{Y}(6\dot{H} + 18H^2), \quad f \equiv f \left( \frac{R_Y}{M_p^2} \right), \]

\[ F \equiv M_p^2 \frac{df}{dR_Y} \equiv f'. \]

(17)

Note that we have defined the prime as the derivative with respect to the argument of \( f \). So, \( F, f'', \ldots \) are dimensionless quantities.

Also, a useful equation is obtained by taking the time derivative of Eq. (16) and then using Eq. (13) thus:

\[ \dot{H}(1 + 2\lambda F) + \lambda H(2 - 3\mathbf{Y})\dot{F} + \mathbf{Y}\lambda \dot{F} = - \left( \frac{\rho + p}{2M_p^2} \right). \]

(18)

The presence of the term which is proportional to \( \dot{F} \) shows that in general we will deal with the fourth order differential equations for the scale factor in this model. Similar to the usual \( f(R/M_p^2) \)-gravity if we neglect the matter or impose some additional symmetry, like the de Sitter space-time, one can reduce the order of the equations.

3 Cosmological perturbations

In this section, to obtain equations for the perturbed FRW space-time, we will use the Arnowitt–Deser–Misner (ADM) formalism. For this goal, the general formula that is discussed in Ref. [28] will be used. If one takes \( \mathbf{Y} = 1 \) in all relations and results, they must approach the corresponding results for the usual \( f(R/M_p^2) \)-gravity. Our notations in this work are similar to those that have been used for the usual \( f(R/M_p^2) \) gravity by De Felice and Tsujikawa [30], which based on Ref. [31].

In the ADM formalism, a metric can be decomposed thus [28]:

\[ ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt). \]

From (3) and (6), we have

\[ R_Y = 3R + K^{ij}K_{ij} - K^2 + 2\mathbf{Y}N\mu(n^\mu K) - \frac{2\mathbf{Y}}{N}N_i N^i. \]

(20)

Note that the covariant derivative in the last term of Eq. 20 is taken with respect to \( h_{ij} \) [28].

As for the perfect fluid, we will use the following parameterization for the perturbed energy-momentum tensor:

\[ \delta^0_0 = -\delta\rho, \quad \delta T^0_0 = -\rho h_i v_i, \quad \delta T^i_i = \delta p\delta_j^i, \]

where \( v \) is the potential for the spatial velocity of the fluid.

We will write our equations in the Newtonian gauge, which is defined by \( N^i = 0 \). Also, the equations will be written in Fourier space for which the Fourier components of a general perturbation \( U(t, x) \) are given by

\[ U = \int d^3x U(t, x)e^{-ikx}. \]

(22)

Furthermore, \( F \) and \( \dot{F} \) can be decomposed into homogeneous and perturbed parts:

\[ F = \bar{F} + \delta F, \quad \dot{F} = \dot{\bar{F}} + \dot{\delta F}, \]

(23)

where \( ^\prime \) over any quantity shows the unperturbed part of the quantity.

3.1 The scalar metric perturbations

It is convenient to parameterize the scalar metric perturbations in the Newtonian gauge as follows:

\[ N = e^{\Phi(t, x)}, \quad h_{ij} = a^2 e^{-2\Psi(t, x)}\delta_{ij}. \]

(24)

From (20) and the above definitions, it follows that

\[ R_Y|_{\text{scalar}} = 3R + 6(3\mathbf{Y} - 1)e^{-2\Phi(t, x)}(H - \dot{\Psi}(t, x))^2 \]

\[ + 6\mathbf{Y}e^{-2\Phi(t, x)}(H - \dot{\Psi}(t, x)) - 2\mathbf{Y}e^{-2\Phi(t, x)}(H - \dot{\Psi}(t, x)) \]

\[ - 2\mathbf{Y}e^{-2\Phi(t, x)}\dot{\Phi}(t, x)\dot{\Phi}(t, x) \]

\[ + 2\mathbf{Y}e^{-2\Phi(t, x)}\delta_{ij}\Phi(t, x)\delta_{ij}\Psi(t, x) \]

\[ - 2\mathbf{Y}e^{-2\Phi(t, x)}\delta_{ij}\Phi(t, x)\delta_{ij}\Phi(t, x). \]

(25)

If we take \( \Phi(t, x) = \Psi(t, x) = 0 \) in the above relation, it follows that \( 3R \) is vanished and the value of \( R_Y \) is reduced to Eq. (17).

It is easy to show that the linearized part of the above relation, \( \delta R_Y|_{\text{scalar}} \), takes the following form (in terms of the Fourier components):

\[ \delta R_Y|_{\text{scalar}} = -\frac{4k^2}{a^2}\Psi + 2\mathbf{Y}k^2 \frac{a^2}{\dot{\Phi} - 12(3\mathbf{Y} - 1)\dot{\Phi} \dot{\Psi}} \]

\[ - 12\mathbf{Y} \dot{H} - 6\mathbf{Y} H \dot{\Phi} - 6\mathbf{Y} \dot{\Psi} - 12(3\mathbf{Y} - 1)H \dot{\Psi}. \]

(26)

Since the fluid is minimally coupled to the gravity, from \( \nabla^\mu T_{\mu\nu} = 0 \) (which at the background level results in Eq. (11), we can obtain two equations. They are the same as the corresponding equations for the usual \( f(R/M_p^2) \) gravity,

\[ \dot{\delta\rho} + 3H(\delta\rho + \delta p) = \frac{k^2}{a^2}\delta q + 3(\rho + p)\dot{\Psi}, \]

(27)

and

\[ \dot{\delta q} + 3H\delta q + \delta p + (\rho + p)\dot{\Phi} = 0. \]

(28)

where \( \delta q \equiv - (\rho + p) v \).
For the other equations, we must obtain the second order action by inserting (19) into the action. As we pointed out, we have used the Newtonian gauge. So, to vary the action with respect to the shift, it is sufficient to consider the terms which are proportional to \(N_i\) and \(N_i \Phi\). For example if we define \(\delta_k\) as the variation with respect to the shift, for \(S_{\text{Matter}}\) we have

\[
\delta_{N_i} S_{\text{Matter}} = -\frac{1}{2} \int d^4x \sqrt{-\gamma} T^{\mu\nu} \delta N_i g_{\mu\nu}
\]

Thus, variation with respect to the shift and then using the following relations:

\[n^{\mu} = (e^{-\Phi(t,x)}, -N^i e^{-\Phi(t,x)}), \quad \Gamma^i_{ij} = -3 \partial_j \Psi(t,x), \quad (30)\]

after some integration by parts, we lead to

\[
S = -M_p^2 \int d^4x 2a[H\Phi(t,x) + \Psi(t,x)]\delta N_i (1 + 2\lambda \dot{F}) - 4\lambda M_p^2 \int d^4x aH N_i \delta F - 2\lambda Y M_p^2 \int d^4x a[N_i \partial_t \delta F - \partial_i \Phi(t,x) N_i \dot{F} - 3H N_i \partial_t \delta F] + S_{\text{Matter}}. \tag{31}
\]

Thus, variation with respect to the shift and then using the Fourier components of the perturbations yield

\[
(H\Phi + \Psi)(1 + 2\lambda \dot{F}) = \lambda [Y \delta F - \Phi \dot{F} + (2 - 3Y)H \delta F - \frac{1}{2M_p^2} \delta q]. \tag{32}
\]

Now to vary the action with respect to \(\Phi(t,x)\), we can set \(N_i = 0\) in the action and then expand the action to the second order in \(\Phi(t,x)\) and \(\Psi(t,x)\). This procedure, after some integration by parts and using Eq. 16, leads to

\[
\delta_{\Phi} S_{\text{res}} = \int d^4x a^3 M_p^2 \delta \Phi(t,x) \left[ -12 \Phi(t,x) H^2 + \frac{4}{a^2} \partial^2 \Psi(t,x) - 12H \dot{\Psi}(t,x) \left( \frac{1}{2} + \lambda \dot{F} \right) + \lambda \left( (12 - 18Y)H^2 - 6Y \dot{H} - \frac{2Y}{a^2} \partial^2 \right) \delta F - \lambda \dot{F} (12Y H \Phi(t,x) + 6Y \dot{\Psi}(t,x)) + 6\lambda Y H \delta F - \delta_{\Phi} S_{\text{Matter}} \right]. \tag{33}
\]

Thus, variation with respect to \(\Phi(t,x)\) and then using the Fourier space leads to

\[
\lambda \left[ (6 - 9Y)H^2 - 3Y \dot{H} + \frac{Y}{a^2} \partial^2 \right] \delta F - 3Y \lambda \dot{F} (2H \Phi + \dot{\Psi}) + 3\lambda Y H \delta F - \frac{\delta \rho}{2M_p^2} = \left( 3\Phi H^2 + \frac{k^2}{a^2} \Psi + 3H \dot{\Psi} \right) (1 + 2\lambda \dot{F}). \tag{34}
\]

For reasons that will become clear, we will obtain \(\delta \rho\) in two ways. In the first way, using Eqs. (27), (34), and also Eq. (18) to eliminate \((\rho + p)\) in these formula, we are led to

\[
\frac{\delta \rho}{2M_p^2} = \lambda \left( 2\dot{\Psi} + 4H \Phi + \Psi \dot{F} - 2\lambda H \dot{F} \right) + 2\lambda Y \dot{F} - \lambda \dot{\Phi} - \frac{\lambda}{2M_p^2} \delta F + \lambda \left[ (3Y - 2) \ddot{H} + (9Y - 6)H^2 + \frac{2k^2}{3a^2} (1 - 2Y) \right] \delta F + [\dot{\Phi} H + 2\dot{H} + \dot{\Psi} + 3\Phi H^2 + 3H \dot{\Psi}] (1 + 2\lambda \dot{F}). \tag{35}
\]

Also, to obtain the above equation, we have used the fact that \(\ddot{R} \delta F = \delta_{\text{grav}} \dot{F}\).

The other relation for \(\delta \rho\) is obtained by using Eqs. (28) and (32). Again, after using Eq. (18) to eliminate \((\rho + p)\), we have

\[
\frac{\delta \rho}{2M_p^2} = \lambda \left( 2\dot{\Psi} + 4H \Phi + \Psi \dot{F} - 2\lambda H \dot{F} \right) - \lambda \dot{\Phi} - \frac{\lambda}{2M_p^2} \delta F - \lambda \left[ (3Y - 2) \ddot{H} + (9Y - 6)H^2 \right] \delta F + [\dot{\Phi} H + 2\dot{H} + \dot{\Psi} + 3\Phi H^2 + 3H \dot{\Psi}] (1 + 2\lambda \dot{F}). \tag{36}
\]

The right-hand side of Eqs. (35) and (36) are the same if

\[
(\Psi - \Phi)(1 + 2\lambda \dot{F}) = 2\lambda (2Y - 1) \delta F. \tag{37}
\]

As we pointed out if we take \(Y = 1\) in the above equations, they must approach the corresponding relations for the usual \(f(R/M_p^2)\) gravity. Note that, if we consider the perfect fluid, we have four independent variables in the model and only four of the above equations are independent.

Equation (37) reveals an advantage of our formalism. One of the features in almost all modified gravity theories is the existence of the induced anisotropic stress, which shows itself by \(\Phi \neq \Psi\). As is clear from Eq. (37) one can choose \(Y = 1/2\) to eliminate the anisotropic stress in our model.

3.2 The tensor metric perturbations

The tensor metric perturbations, \(\gamma_{ij}\), are characterized by

\[
d s^2 = -d\tilde{t}^2 + a^2 [\delta_{ij} + \gamma_{ij}] d\tilde{x}^i d\tilde{x}^j, \tag{38}
\]

where \(\delta_{ij} \gamma_{ij} = \gamma_i^i = 0\). From the above definition and the tracelessness condition on \(\gamma_{ij}\), it turns out that the terms in (20) which are proportional to \(Y\) do not contribute to the tensor metric perturbations. So, the study of this sector is very similar to the usual \(f(R/M_p^2)\) gravity. The second order action for this sector becomes
\[ \delta S_{\text{tensor}} = \frac{M_p^2}{8} \int d^4x [1 + 2\lambda F] [a\gamma_{ij}\delta^2\gamma_{ij} + a^3\gamma_{ij}^2]. \]  
\begin{align}
\text{(39)}
\end{align}

Varying (39) with respect to \( \gamma_{ij} \) and using the following Fourier representation:

\[ \gamma_{ij} = \int \frac{d^3k}{(2\pi)^{3/2}} \sum_{\pm} \epsilon^i_{\pm}(k)\gamma^j_{\pm}(t) \epsilon^j_{\pm} \hat{k} \cdot \hat{x}. \]  
\begin{align}
\text{(40)}
\end{align}

where \( \epsilon_{ij} = k^i\epsilon_{ij} = 0 \) and \( \epsilon^i_{\pm}(k)\epsilon_{ij}^j(k) = 2\delta_{\epsilon'} \), lead to

\[ \ddot{\gamma}^k + \gamma^k_i \frac{d}{dt} [\ln(a^3(1 + 2\lambda F))] + \left( \frac{k}{a} \right)^2 \gamma^k_i = 0. \]  
\begin{align}
\text{(41)}
\end{align}

The second term in Eq. (41) must be positive to act as a dissipative force. Otherwise, \( \gamma^k_i \) grows without bound and we will be confronted with an instability in this sector. Also, similar to the discussion for the usual \( f(R/M_p^2) \)-gravity in Ref. [30], to avoid the ghost instability, we must impose the following condition:

\[ 1 + 2\lambda F > 0. \]  
\begin{align}
\text{(42)}
\end{align}

Thus, from the above discussion and Eq. (43), we have

\[ \lambda F' > 0. \]  
\begin{align}
\text{(43)}
\end{align}

Again, the above conditions are similar to the corresponding relation in the usual \( f(R/M_p^2) \)-gravity.

3.3 The vector metric perturbations

As regards the perfect fluid, we consider the following form for the perturbed stress tensor in the vector sector:

\[ \delta T^0_{\text{vector}} = \delta q^V_i. \]  
\begin{align}
\text{(44)}
\end{align}

So, from \( \nabla \mu T_{\nu}^{\mu} = 0 \) it follows that

\[ \ddot{\delta q}^V_i + 3H\delta q^V_i = 0. \]  
\begin{align}
\text{(45)}
\end{align}

As for the metric perturbations, the favorite gauge in this sector is the so-called vector gauge, which is defined by

\[ ds^2 = -dt^2 + 2aS_i dx^i dt + a^2\delta_{ij}dx^i dx^j, \]  
\begin{align}
\text{(46)}
\end{align}

where \( \partial_i S_i = 0 \). Again, from the above definition and the condition on \( S_i \), it turns out that the terms in (20) which are proportional to \( \Gamma_i \) do not have any effect in this sector. Also, the second order action takes the following form:

\[ \delta S_{\text{vector}} = -\frac{M_p^2}{2} \int d^4x aS_i\delta^2S_i(1 + 2\lambda F) - 2 \int d^4x a^2S_i\delta q^V_i. \]  
\begin{align}
\text{(47)}
\end{align}

Thus, in Fourier space the equation for \( S_i \) is

\[ M_p^2 k^2(1 + 2\lambda F) \frac{\delta q_i^V}{a} = 2\delta q^V_i. \]  
\begin{align}
\text{(48)}
\end{align}

Also, from Eq. (47), to avoid the ghost instability in the vector metric perturbations, it is sufficient to take the condition which we have in Eq. (43).

4 Summary

We have studied the consequences of the systematic way to break the time diffeomorphisms of the usual \( f(R/M_p^2) \)-gravity. By investigating the cosmological perturbation of the restricted \( f(R/M_p^2) \)-gravity, we have shown that one can relate this symmetry to the induced anisotropic stress that arises in the usual case of \( f(R/M_p^2) \). We have shown that one can choose \( \gamma_i \), which is defined in (3), to eliminate the induced anisotropic stress. So, even if one is not interested in the phenomenological consequences of the model, this work provides a tool to address the modified gravity within the dynamics of the metric perturbations of the usual case of \( f(R/M_p^2) \). Also, we have obtained some constraints on the model by demanding the absence of the ghost instability in the tensor and vector metric perturbations.

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