Implementation of a Two-dimensional Behavior Matrix to Distinguish Individuals with Differential Depression States in a Rodent Model of Depression

Jin-Young Park¹#, Tae-Kyung Kim¹#, Juli Choi¹, Jung-Eun Lee¹, Hannah Kim¹, Eun-Hwa Lee¹ and Pyung-Lim Han¹,2,3*

Departments of ¹Brain and Cognitive Sciences, ²Chemistry and Nano Science, and ³Brain Disease Research Institute, Ewha Womans University, Seoul 120-750, Korea

Animal models of depression are used to study pathophysiology of depression and to advance therapeutic strategies. Stress-induced depression models in rodents are widely used. However, amenable behavioral criteria and experimental procedures that are suitable for animal models have not been established. Given that depression is clinically diagnosed by multiple symptomatic criteria and stress effects are imposed to the brain non-specifically in stress-induced depression models, analyses of depression states in rodents using multiple symptomatic criteria may provide more power than any methods relying on a single symptomatic criterion. To address this, C57BL/6 inbred mice were restrained for 2 h daily for 14 d, and depression states of individual mice were assessed using the U-field test, behavioral assessment developed to measure animal’s sociability, and the tail suspension test and/or forced swim test, which are the typical methods that measure psychomotor withdrawal states. Although the majority of these mice showed severe depressive behaviors in both tests, a significant proportion of them, which were all inbred mice and received the same amount of restraints, expressed differential depression states in the sociability test and psychomotor withdrawal tests. To easily read out differential depression states of individuals in two different tests, a standard method and basic parameters required to construct two-way behavior matrix were introduced. The utility and features of this two-way behavior analysis method for studies of different depressive states of individuals were discussed.

Key words: depression, sociability, psychomotor retardation, U-field test

INTRODUCTION

Depression is a mood disorder characterized by depressed mood, diminished interests in daily life, and psychomotor retardation [1]. These symptoms range from emotional, cognitive to physiological signs. Depression is caused by various reasons which include genetic and environmental factors. Failure of stress coping appears to be a leading cause of depression [2]. Animal models are used to study the mechanisms of depression and to develop effective therapeutic strategies. Stress-induced depression models in rodents are widely used in recent years. However, representative symptomatic criteria and experimental procedures that are suitable for animal models of depression have not been
established.

The most widely used methods for depression states in rodent models are the forced swim test (FST) and tail suspension test (TST) [3-8]. The FST and TST have high predictive values to evaluate the pharmacological efficacy of current antidepressants. However, because both tests use immobility time as a measured variable [9, 10], it has been criticized whether they provide insights into the etiology and the pathogenesis of clinical depression [11-13]. Sociability is another behavioral marker that has been actively used to measure depression states in recent years [8, 14, 15]. Because sociability is often suppressed in people with depression [16, 17] and a neurobehavioral correlation of sociability is figurative in rodents, sociability may be chosen as a behavioral marker for rodent models. In fact, reduced sociability in rodent models of depression improved after treatment with current antidepressants [8, 14, 18, 19]. Given that depression is clinically diagnosed by multiple symptomatic criteria and stress effects are imposed to the body non-specifically in stress-induced depression models, it is necessary to analyze depression states of individuals using multiple symptomatic criteria and this approach should be more powerful than any methods relying on a single behavioral symptom.

In the present study, we developed a two-dimensional behavior analysis matrix that was constructed using the results of sociability and psychomotor withdrawal tests and their potential utilities were discussed.

**MATERIALS AND METHODS**

**Animals and restraint**

Male C57BL/6 inbred mice at seven weeks of age were purchased from Daehan BioLink, Inc. (Eumsung, Chungbuk, Korea). They were housed in pairs in standard clear plastic cages, with *ad libitum* access to food and water, in the animal room that was kept in constant temperature (23°C) and humidity (50-60%-controlled room, on a 12-h light/dark cycle (lights on at 7 am). Animals were handled in accordance with the animal care guidelines of the Ewha Womans University (IACUC 2013-01-007).

Restraint was applied to mice as previously described [8, 20]. Briefly, mice were acclimated in the animal room for a week, after which the mice were individually restrained for 2 h per day in a 50-ml polypropylene conical tube with ventilation holes. After each session of restraint, the mice were housed in their home cage under regular housing conditions. This daily restraint was repeated for 14 consecutive days (2 h×14 d RST for short).

**Drugs**

Imipramine (10899; Sigma-Aldrich, St. Louis, MO, USA) was intraperitoneally (i.p.) administered at a dose of 20 mg/kg in 120 μl of 0.9% saline. Each injection was given at 10 A.M. and this was repeated for 14 consecutive days.

**Behavioral assessments**

The U-field test was monitored using a computerized video tracking system (SMART, Panlab, Spain). A webcam recording system (HD Webcam C210, Logitech, USA) was used to record behavioral performances in the TST and FST. Behavioral tests were carried out during the light cycle (9 A.M.–3 P.M.). The behavior testing room was lit with indirect illumination by 20 lux for the U-field Test and 250 lux for TST and FST, and sound was masked with 65 dB of white noise. After each behavioral test, all parts of the apparatus (including the U-field and grid cages) that were exposed to mice were cleaned with 70% ethanol before starting the next test. Mice were given for 20 min to adapt to the behavior testing room, prior to the start of each behavioral test.

**The U-field Test**

The U-field test was described in a previous report [8]. The U-field consisted of two symmetrical rectangular fields that were defined by partitioning an open field (40×40 cm²) with a wall (20 cm) to the central point, so that each field had closed (quadrants 1 and 3) and open (quadrants 2 and 4) squares, forming a “U-shaped field” (Fig. 1A). A circular grid cage (12 cm in diameter×33 cm height) was placed on each side of the closed square. The closed square (quadrant 1) containing a social target in a grid cage was defined as the target-zone, whereas the closed square (quadrant 3) with an unanimated cage was defined as the non-target zone (Fig. 1A). Likewise, the relevant fields were defined as the target and non-target fields, and the target zone and the target field were defined as the social interaction zone and the social interaction field, respectively. The apparatus was built using cream-colored FOAMEX (Expanded PVC; LG Ltd., Korea) to form the open field and the middle partition.

Cage-mates were housed together in a home cage for more than 1 week prior to behavioral tests, whereas non-mates had never been exposed to subject mice. Cage-mates and non-mates all had the same genetic background (C57BL/6) and were male. The mice that were used as social targets were 2 weeks older than subject. All animals were kept in pairs in normal clear plastic cages prior to the U-field test, and there was no bedding change or moving of the cages the day before the U-field test.

After adaptation to the test room for 20 min, each subject mouse was allowed to freely explore the unanimated U-field for 5 min
Two-way Matrix Analysis of Depressive Behaviors

and its behavioral performance was recorded. After 5 min, the subject mouse was returned to its home cage for 2 min. While the subject mouse was placed in the home cage, a social target was loaded in the grid cage placed in the target zone. When the target mouse was stabilized in the grid cage, the subject mouse was placed in the center of the U-field, such that it could see both zones. The subject mouse was allowed to explore for 5 min or for the indicated time in the U-field, and the time and the trajectory spent in the zones and fields were recorded. For each session, the social target was replaced with other mice, with each being exposed to the test less than 3 times in total.

**Tail Suspension Test (TST)**

The TST was performed as described previously [8]. Mice were suspended individually by their tails from a wooden shelf 50 cm height above the surface of a table in the test room. The tip of the tail was fixed using adhesive tape. Each subject was suspended by the tail for 6 min and immobility time was recorded.

**Forced Swimming Test (FST)**

The FST was performed as described previously [8]. Mice were placed in a Plexiglas cylinder (15 cm in diameter×27 cm in height) containing water at 24°C with a depth of 14 cm. Mice were individually placed in the cylinder for 6 min. Immobility time, which was defined as the sum of time during which mice remained floating with all limbs motionless, was quantified during the last 5 min.

**Statistical analysis**

Two-sample comparisons were carried out using the Student’s t-test, while multiple comparisons were made using one-way ANOVA followed by the Newman-Keuls post hoc test. GraphPad
PRISM 6.0 software (GraphPad Software, Inc., CA, USA) was used for statistical analyses. All data are presented as the mean ± SEM and statistical significance was accepted at the 5% level.

RESULTS

The U-field test was useful for measuring social behaviors in mice
Male C57BL/6 inbred mice were allowed to freely explore the U-field, individually, which contained unanimated grid cages on each side for 5 min. They preferred closed zones (quadrants 1 and 3), but explored both sides evenly in the test (Fig. 1A and B). Given the choice of mate vs. non-mate, subject mice spent 31.44% and 33.99% of the time in the mate and non-mate zones, respectively, and 37.93% and 62.07% in the mate and non-mate fields, respectively (Fig. 1C and D). Given the choice of cage mates on both sides, subject mice explored for 40.68% and 37.64% of the time in mate zone A and mate zone B, respectively, and 51.89% and 48.11% in mate field A and mate field B, respectively (Fig. 1E and F).

These results suggest that the behavioral preference of mice read-out by the U-field test was not due to the suppression of sociability to mate, but an increase in behavioral preference for unfamiliar mice.

When presented with unanimated vs. non-mate cages, subject mice explored for 25.66% and 54.0% of the time in unanimated and non-mate zones, respectively, and 34.63% and 65.37% in unanimated and non-mate fields, respectively (Fig. 1G and H). Given the choice of empty vs. non-mate cages, the subject mice explored for 14.41% and 71.12% of the time in empty and non-mate zones, respectively, and 21.19% and 78.81% in empty and non-mate fields, respectively (Fig. 1I and J).

These results suggest that quantitative levels of sociability were influenced by environmental contexts and that the U-field test can read-out differential levels of sociability. In the following experiments, we carried out the U-field test with unanimated vs. non-mate cages as a standard procedure.

The U-field test was suitable for measuring depression-like behaviors in mice
Mice were restrained for 2 h daily for 14 d and their depression states were examined using the FST, TST and the U-field test (Fig. 2A). The mice treated with 2 h×14 d RST showed increased immobility in both the FST and TST, whereas treatment with imipramine in the post-stress period reversed the increased immobility to control levels (Fig. 2B and D).

Behavioral performances of individuals in FST and TST were visualized by plotting them as a function of immobility time (Fig. 2C and E). After the 2 h×14 d RST, the % of individuals whose behavioral performance shifted over one-standard deviation (one-SD) from the mean immobility of the control were 80.65% and 76.92% in the FST and TST, respectively. In contrast, significant numbers of individuals (19.35% and 23.08%, respectively) exhibited their immobility within one-SD of the control, thus being indistinguishable from the control, in these tests (Fig. 2C and E).

Prior to the U-field test, all subject mice were allowed to freely explore the un-animated U-field for 5 min to habituate to the U-field. All three groups of mice (control, RST, and RST+imi) explored both sides of the U-field for similar amounts of time (Fig. 2F), and the locomotor activity of each group was not different (Fig. 2G).

In the U-field test, control mice spent 65.0% and 54.0% of the time in the target field and zone, respectively, whereas mice exposed to the 2 h×14 d RST stayed for 49.4% and 40.1% of the time in the target field and zone, respectively, while increasing dwelling time in the non-target field and zone (Fig. 2H and K). Conversely, post-stress treatment with imipramine reversed behavioral performance in each field and zone to the levels of the control (Fig. 2H and K). During the U-field test, the general locomotor activities of mice with 2 h×14 d RST, and mice with RST+imi were slightly reduced (Fig. 2J).

Behavioral performances in the target and non-target zones were integrated into the sociability index (SI), which was defined as [the percent time spent in the target zone minus the percent time in the non-target zone] divided by [the percent time spent in the target zone plus the percent time in the non-target zone]. The 2 h×14 d RST reduced the sociability index (SI) score from 0.36 to 0.02, but imipramine treatment increased it to 0.45 (Fig. 2L). Sociability index for behavioral performances in the field (SIF) were expressed in a similar way (Fig. 2I).

The behavioral performance of individual mice plotted as a function of the sociability index indicated that while more than half of RST individuals (55.56%) were shifted over one-SD from the mean of the control, a significant number (41.67%) positioned within one-SD from the mean of the control, thus being indistinguishable from the control, in the sociability test. On the other hand, imipramine treatment had 85.71% of the RST individuals reposition within one-SD from the mean of the control (Fig. 2M).

The two-way behavior matrix provided advanced resolving power to distinguish individuals with different depression states
The behavioral performances of individuals presented on the
Two-way Matrix Analysis of Depressive Behaviors

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Matrix of TST×FST, TST×U-field test, and FST×U-field test were reanalyzed. For easy comparisons, each of behavioral performance was converted into a z score: 
\[ z = \frac{x - \mu}{\sigma} \]
where \( x \) is an individual behavioral performance, \( \mu \) is the mean of performance of the control, and \( \sigma \) is one standard deviation of the control, and then each converted performance was then plotted on the matrix.

The two-way behavioral matrix consisting of TST×FST indicated that the overall distributions had a regression correlation coefficient of \( r^2 = 0.235 \). These moderately overlapping phenotypes suggest that the FST and TST detect somewhat different aspects

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Fig. 2. Mice treated with 2 h×14 d RST displayed depression-like behavior in the TST, FST, and U-field test. (A) Experimental design for treatment with restraint for 2 h daily for 14 day (2 h×14 d RST), post-treatment with imipramine (2 h×14 d RST+imi) and following behavioral tests. Behavioral tests were carried out in the order of the U-field test, TST and FST. (B–E) Mice treated with 2 h×14 d RST showed increases of mean immobility time in the FST (B, C) \( F_{(2,68)} = 24.35, p < 0.0001 \) and TST (D, E) \( F_{(2,52)} = 31.86, p < 0.0001 \). Post-stress treatment with imipramine restored the increased immobility in both tests. Behavioral performance of individuals was plotted over the immobility time for the FST (C) and TST (E). (F, G) Percent times spent for 5 min of habituation in the unanimated U-field (left vs. right) by control mice, mice with 2 h×14 d RST, and mice with 2 h×14 d RST+imi (F) \( F_{(2,64)} = 2.378, p = 0.1009 \); right: \( F_{(2,144)} = 2.144, p = 0.1255 \). Total horizontal locomotion (distance) in the U-field test (G) \( F_{(2,14)} = 0.7460, p = 0.4922 \). (H–J) Behavioral performances in the U-field test. Percent times spent for 5 min in target vs. non-target field of the U-field (H) \( \text{target: } F_{(2,79)} = 15.95, p < 0.0001; \text{non-target: } F_{(2,79)} = 15.91, p < 0.0001 \). Sociability index of performances in the fields (SIf; I) \( F_{(2,79)} = 15.99, p < 0.0001 \). Total locomotion in the U-field (J) \( F_{(2,24)} = 3.776, p = 0.0375 \). (K–M) Percent times spent for 5 min in target vs. non-target zone (K) of the U-field \( \text{target: } F_{(2,79)} = 13.01, p < 0.0001; \text{non-target: } F_{(2,79)} = 12.71, p < 0.0001 \). Sociability index of performances in the zones (SIz; L) \( F_{(2,79)} = 16.22, p < 0.0001 \). Behavioral performances of individuals were plotted as a function of SIf values (M). Distributions of individuals over one-SD (dark shadow) and two-SDs (light shadow) of the mean, respectively. Data are presented as the mean±SEM (n=14-36 animals). * and ** denote differences between the two groups; # and ## denoted differences from the RST group, at p<0.05 and p<0.01. One-way ANOVA and Newman-Keuls post hoc test.

http://dx.doi.org/10.5607/en.2014.23.3.215
of psychomotor withdrawals. Approximately half (14/25) of RST individuals were distributed outside one z score (one-SD) from the mean of the control in both tests, and these animals were considered to have severe psychomotor withdrawal symptoms or “deep depression (RST-DD)” (Fig. 3A and C).

Similar analyses with the two-way behavior matrix consisting of TST×U-field test or of FST×U-field test allowed us to identify (i) individuals with low sociability and near-normal psychomotor withdrawal (depression with poor sociability, RST-Ds), (ii) individuals with near-normal sociability and high psychomotor withdrawal (depression with psychomotor withdrawal, RST-Dps), and (iii) individuals with low sociability and high psychomotor withdrawal (RST-DD) (Fig. 3B).

After being exposed to 2 h×14 d RST, approximately 36.0% (9/25) of individuals were RST-DD in the matrix of U-field×TST, and 43.3% (13/30) were RST-DD in the U-field×FST, whereas 56.0% (14/25) or 43.3% (13/30) were depressive only in the U-field test or TST/FST, respectively. Among 14 individuals marked by RST-DD on the matrix of FST×TST, fewer than half (6 or 7) were re-identified as RST-DD on the U-field×TST/FST (Fig. 3C-E).

The two-way behavioral matrix also allowed for identifying stress resilient individuals (RST-RSL) which distributed within one-SD of the mean of the control in the U-field×TST/FST (Fig. 3F). This means that RST-RSL individuals were behaviorally indistinguishable from the controls in any of the two behavioral tests.

These results suggest that the two-way behavior matrix provides advanced resolving power that can distinguish differential depression states of individuals compared to any methods that analyze animals emotional states with sociability or psychomotor withdrawal alone.

**DISCUSSION**

**The U-field test is an effective method to distinguish depressive behaviors**

The present study used the U-field test to distinguish behavioral states of depression. Sociability has many features that make it as an appropriate behavioral marker for distinguishing multiple depression states in rodent models. First, diminished sociability is a symptom of human depression [16]. Second, rodents are normally sociable so that additional positive or negative reinforcement that is often implemented in behavioral tests is not necessary.

In terms of sensitivity and utility for differentiating behavioral states of depression, the U-field test is comparable to the TST and FST, which have been predominately used to measure depression states in rodent models [5, 6]. First, the U-field test offers a methodological effectiveness for measuring depression states, as do the TST and FST. For instance, it successfully differentiated animals exposed to the 2 h×14 d RST from the control. The resolving power of the U-field test to differentiate behavioral states of CON vs. RST, and RST vs. RST+imi was comparable that of the FST or TST, when the U-field test was used to compare depression states between experimental groups (Fig. 2). Second, the U-field test can be used to validate the pharmacological efficacy of anti-depressants. The U-field test distinguished the behavioral reversion induced by the anti-depressant imipramine (Fig. 2) and the NADPH oxidase inhibitor [8] as the TST and FST did. Third, the U-field test is a low stress-evoking assay. The stress level induced by the U-field test might be as low as that induced by the open field test. This is important because many behavioral tests including the FST evoke a significant amount of stress which can influence subsequent behavioral and biochemical assays (Unpublished observation). Fourth, the U-field test is relatively easy to perform. The U-field is set up by adding a partition to an open field, and behavioral responses in the U-field test are monitored and analyzed as easily as in the open field test.

The U-field test measured sociability, a behavioral phenotype which was different from the behavioral states measured by the TST and FST, but like the TST and FST, it provided the pharmacological validity of imipramine (Fig. 2). Previously, the TST and FST had been assumed to measure behavioral despair produced by forceful experiences of hopelessness and helplessness [9, 10, 13]. However, behavioral despair is experimenter-oriented and abstractive concept, whereas what scientists actually measure by the TST and FST is immobility time. The results of the present study including the fact that the pharmacological validity of the FST and TST was reproduced by the U-field test (Fig. 3) support the envision that the TST and FST measure psychomotor retardation, which were previously proposed [21-23] and is a core symptom of depression suggested by the Diagnostic and Statistical Manual of Mental Disorders (DSM-V).

**The two-way behavior matrix is useful for measuring differential depressive states in individuals**

The two-way behavioral matrix can be used not only to measure behavioral performance of a group of animals, but also to differentiate different depression states of individuals. For example, the two-way matrix differentiates behavioral states with normal sociability and psychomotor withdrawal, which we referred to (i) RST-RSL individuals, (ii) behavioral states with normal sociability and high psychomotor withdrawal, (iii) behavioral states with low sociability and normal psychomotor withdrawal, and (iv) behavioral states with low sociability and high psychomotor withdrawal alone.
Fig. 3. The two-way behavioral matrix constructed with individual performances of control and mice with 2 h×14 d RST in the TST, FST and U-field test. (A, B) Hypothetical two-way matrix made by the FST/TST and U-field test was presented with zones proposed to distinguish behavioral states of individuals. The distribution of one-SD and two-SD ranges of presumed control and RST groups are depicted with light blue and pink shadows, respectively. CON, control; RST-DD, depression with poor sociability and high psychomotor withdrawal; RST-Dps, depression with normal sociability and high psychomotor withdrawal; RST-Ds, depression with poor sociability and normal psychomotor withdrawal; RST-RSL, stress resilient. (C-E) Two-way behavioral matrix constructed with behavioral performance of individuals in the matrix of TST×FST (C), TST×U-field test (D) or FST×U-field test (E). Distributions of control individuals (white), 2 h×14 d RST (black), and 2 h×14 d RST+Imi (green) were projected onto each matrix. Squares with dark and light shadows represent one-SD and two-SD ranges of the control (light blue) or RST (pink), respectively. Individuals showing severe depression in both tests were marked by red squares (RST-DD) and individuals showing stress resiliency in both tests by purple squares (RST-RSL). (F) Individual lines marked with RST-DD (red), RST-Dps/Ds (pink), or RST-RSL (purple) on the two-way matrix of TST×FST, TST×U-field test, and FST×U-field test. White means no data.
withdrawal, referred to "RST-DD" (Fig. 3A and B). The RST-DD and RST-RSL individuals may have some similarity to susceptible and resilient mice, respectively, which were isolated on the basis of sociability alone [15].

Individual animals determined to be depressive by the TST and FST are not necessarily defective in psychomotor activity alone, but presumably in other symptoms as well. Similarly, individuals determined to be depressive by the U-field test are not necessarily defective in sociability alone, but presumably in other symptoms as well. Based on the same rationale, the two-way behavioral matrix may be expanded to the analyses of any two different behaviors, such as sociability vs. anhedonia, sociability vs. anxiety, sociability vs. cognition, or psychomotor withdrawal vs. anxiety, although these lines of inquiry were not perused in the present study. In any cases, behavioral analysis with the two-way behavioral matrix should have advanced resolving power to distinguish individuals with different depression states compared with those measured by a single behavioral symptomatic criterion.

The present study showed that one-SD from the mean value of the control can be used as a criterion to differentiate depression states on the two-way behavior matrix. When behavioral responses in the U-field test, TST or FST were significantly different between control and RST animals, the mean performance value for RST animals was usually distributed outside of one-SD (one unit of the z score) from the respective mean value of the control. The results of the TST and FST are the cases (Fig. 2). After being treated with 2 h×14 d RST, approximately 30-50% of individuals were distributed within one-SD of the control in the U-field test, TST or FST. The individuals distributed within one-SD of the control were behaviorally indistinguishable from the controls. The usefulness of one-SD as a criterion to differentiate depression states of individuals may be supported by identifying genes whose expressions are changed in parallel to the behavioral states of RST-DD and RST-RSL individuals, and this attempt is in progress in our laboratory.

The C57BL/6 strain is an inbred line of mice, and therefore genetically homogeneous. After being exposed to the 2 h×14 d RST, some C57BL/6 individuals (RST-RSL in Fig. 3) behaved like the untreated control mice in the U-field test and in the FST and TST, suggesting that a subset of C57BL/6 mice successfully coped with the 2 h×14 d RST at the behavioral level. These results suggest that differential distributions of individuals with the 2 h×14 d RST on the two-way behavioral matrix may represent the occurrence of differential genomic responses at the molecular level.

Various epigenetic mechanisms have been suggested to be associated with depression [24-26]. Differential behavioral and molecular responses to the 2 h×14 d RST might be attributed to epigenetic predispositions of anti-depression signatures in the brain before birth, after birth, or long before behavioral tests were performed. Alternatively, it is possible that differential behavioral and molecular responses were induced and deposited stochastically among individuals during the stress coping period, possibly as a result of the interaction between the current brain states and stress imposed.

ACKNOWLEDGMENTS

This research was supported by a grant (2012R1A2A1A03010177) from the Ministry of Science, ICT and Future Planning, Republic of Korea.

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