Self-Interference Channel Characterization in Underwater Acoustic In-Band Full-Duplex Communications Using OFDM

Mohammad Towliat, Zheng Guo, Leonard J. Cimini, Xiang-Gen Xia and Aijun Song

Abstract—Due to the limited available bandwidth and dynamic channel, data rates are extremely limited in underwater acoustic (UWA) communications. Addressing this concern, in-band full-duplex (IBFD) has the potential to double the efficiency in a given bandwidth. In an IBFD scheme, transmission and reception are performed simultaneously in the same frequency band. However, in UWA-IBFD, because of reflections from the surface and bottom and the inhomogeneity of the water, a significant part of the transmitted signal returns back to the IBFD receiver. This signal contaminates the desired signal from the remote end and is known as the self-interference (SI). With an estimate of the self-interference channel impulse response (SCIR), a receiver can estimate and eliminate the SI. A better understanding of the statistical characteristics of the SCIR is necessary for an accurate SI cancellation. In this article, we use an orthogonal frequency division multiplexing (OFDM) signal to characterize the SCIR in a lake water experiment. To verify the results, SCIR estimation is performed using estimators in both the frequency and time domains. We show that, in our experiment, regardless of the depth of hydrophone, the direct path of SCIR is strong, stable and easily tracked; however, the reflection paths are weaker and rapidly time-varying making SI cancellation challenging. Among the reflections, the first bounce from the water surface is the prevalent path with a short coherence time around 70 ms.

Index Terms—In-band full-duplex system, OFDM, Multipath self-interference, Underwater acoustic communication

I. INTRODUCTION

UNDERWATER acoustic (UWA) communication is an interesting research topic because of its wide applications such as monitoring the effect of human activities on marine life and forecasting disasters. However, due to limited available bandwidth [1]. UWA communication systems inherit a low data rate which cannot satisfy the rising demands in this field. Using in-band full-duplex (IBFD) transmission in UWA systems provides promise for improving the data rate, without increasing the bandwidth. Generally, the main motivation for IBFD is to provide a higher spectrum efficiency by transmitting and receiving simultaneously in the same frequency band. Unfortunately, the transmitted signal in this scenario will corrupt the received signal, causing self-interference (SI) [2]. The key challenge for IBFD schemes is the accurate cancellation of the SI.

The feasibility of radio frequency-IBFD (RF-IBFD) has been confirmed by employing advanced SI cancellation methods [3]. [4]. Even though the idea of UWA-IBFD is similar to that of RF-IBFD, the SI cancellation task in UWA-IBFD is much more challenging. Consider a node in an UWA-IBFD network transmitting and receiving signals by using a transducer and a hydrophone, respectively. In addition to the direct path between the transducer and the hydrophone, the sea surface and sea bottom reflect a considerable portion of the transmitted signal toward the hydrophone and cause strong SI. In addition, the inhomogeneity and fluctuations of the water lead to time-variations in the SI signal [5]. Accordingly, eliminating the strong and time-varying SI is the bottleneck in UWA-IBFD, especially in shallow water which naturally has more reflections.

Despite the significant number of works on RF-IBFD, few works have studied UWA-IBFD. In [6], a time-reversal transmission has been introduced to eliminate the SI. A multi-user UWA-IBFD using frequency division and code division multiple access (CDMA) are presented in [7] and [8], respectively. In [9] a joint analog and digital adaptive SI cancellation is investigated. The authors in [10] present a fully digital SI cancellation by using recursive least-squares (RLS) adaptive filters. In [11] a two-stage method is proposed, in which the relatively stable part of the SI is eliminated first, and the more rapidly time-varying residual part is separately treated at the second stage.

From the previous works, it is clear that by better understanding the self-interference channel impulse response (SCIR), one can better cancel the SI [12]. In this regard, our main concern in this paper is to study the statistical characteristics of the SCIR in UWA-IBFD. We employ orthogonal frequency division multiplexing (OFDM) [13] to estimate the SCIR in a lake water. For verifying the achieved results, estimations are performed in both the frequency domain (FD) and the time domain (TD). Using the estimated SCIR, statistical characteristics of the channel are obtained; including the power delay profile (PDP), the autocorrelation function (ACF) and the coherence time (COT). According to our measurements, regardless of the hydrophone’s depth, the direct path is relatively stable and contributes to the principal part of the SI; on the other hand, the reflected paths are rapidly time-varying. Among all reflections, the first bounce from the water surface is the dominant path with a short COT. In the lake experiment, the COT of this path is determined to be around 70 ms, which is even shorter than the OFDM block length. This means that tracking such a severe time-varying channel cannot be performed by using the UWA OFDM channel.

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estimation methods proposed in [14]–[16], which assume that the channel coefficients remain constant over at least one OFDM block.

The rest of this paper is organized as follows. In Section II, we describe the OFDM system model and the methods we use to estimate PDP, ACF and COT from the experimental data. In Section III, the experimental steps and results are presented and discussed. Finally, Section VI summarizes the paper.

II. SCIR ESTIMATION USING OFDM

In this section, we first present the OFDM system model and the signal structure that is used in the experiment. Then, we explain the FD and TD methods for estimating SCIR and calculating PDP, ACF and COT.

A. System Model

Due to the fact that the carrier frequencies and bandwidths are generally small in UWA communications, there exist analog-to-digital (A/D) converters that can sample the received signal much faster than the Nyquist rate. Thus, in our formulations, we simply consider a discrete-time system model. Fig. 1 illustrates the OFDM-IBFD system that we use in this work. As shown, $s[n]$ is the SI signal at time instance $n$. Assuming that the underwater environment behaves linearly, it is reasonable to model $s[n]$ as the convolution of the transmitted signal $x[n]$ and the SCIR, which could be time-varying in general [17]. Let us denote the time-varying SCIR by $h[m,n]$, where $m$ is the delay time and $n$ is the geotime. As a consequence, the SI signal can be represented as [18]

$$s[n] = \sum_{m=0}^{M-1} h[m,n]x[n-m],$$  
(1)

where $M$ is the SCIR length. In the absence of the remote end signal, the received signal is given as

$$y[n] = s[n] + w[n],$$  
(2)

where $w[n]$ is the additive ambient noise. With an estimate of the SCIR, $\hat{h}[m,n]$, the receiver can estimate the SI as

$$\hat{s}[n] = \sum_{m=0}^{M-1} \hat{h}[m,n]x[n-m],$$

and the subtract it from the received signal, such that

$$e[n] = y[n] - \hat{s}[n] = \sum_{m=0}^{M-1} (h[m,n] - \hat{h}[m,n])x[n-m] + w[n],$$  
(3)

where $e[n]$ denotes the residual signal after interference cancellation. In UWA-IBFD, the ultimate goal is to cancel the SI down to the level of the ambient noise to make sure that its effect is eliminated. According to (3), the closer $\hat{h}[m,n]$ is to $h[m,n]$, the smaller the residual that remains. Since in UWA-IBFD the power of the SI is very high, sometimes even a relatively small deviation between $\hat{h}[m,n]$ and $h[m,n]$ can result in a large residual, significantly degrading the performance of UWA-IBFD. Based on this discussion, it is clear that the problem of accurate SI cancellation can be narrowed down to precise tracking of the SCIR, which in turn is based on a better understanding of the SCIR’s statistical characteristics.

In order to statistically characterize the SCIR, the first step is to estimate the channel during a long period of geotime. In the experiment, we use an OFDM signal for channel estimation. There is a wide range of sophisticated UWA OFDM channel estimators [14]–[16]. Since the focus of this article is channel characterization, we simply employ the well-known FD and TD methods to estimate the SCIR; then, we use this estimate to extract the relevant statistical characteristics.

B. OFDM Signal Structure

According to Fig. 1, consecutive symbol vectors $D_i = [D_i[0] \ldots D_i[K-1]]^T \in \mathbb{C}^{K \times 1}$, for $i = 0, \ldots, N - 1$ are the inputs of the OFDM system in the frequency domain, where $K$ is the number of subchannels and $N$ is the number of transmitted OFDM blocks. The corresponding vectors in the time domain are $d_i = [d_i[0], \ldots, d_i[K-1]]^T \in \mathbb{C}^{K \times 1}$, which are the IDFTs of $D_i$. For each $i$, a cyclic prefix (CP) with length $v$ is appended to $d_i$ resulting in the OFDM block $b_i = [b_i[0], \ldots, b_i[L_{blk} - 1]]^T \in \mathbb{C}^{L_{blk} \times 1}$, where $L_{blk} = K + v$ denotes the OFDM block length. We consider that the CP is the repetition of the end of the block appended to the head of the block, such that $b_i = [d_i[K-v], \ldots, d_i[K-1] \mid d_i[0]]^T$. For the purpose of studying the channel characteristics, we let $v = K$; thus, the CP is an exact copy of the data part of the block. Finally, the output of the OFDM transmitter, $x[n]$, is generated by serializing the parallel vectors $b_i$, such that

$$x[n] = b_i[l]; \quad n = iL_{blk} + l,$$  
(4)

where $i = 0, \ldots, N - 1$ and $l = 0, \ldots, L_{blk} - 1$. Since the main goal of this article is to provide the statistical characteristics of the SCIR, we simply assume that the entire OFDM signal is dedicated to channel estimation.

C. Frequency Domain SCIR Estimation

As shown in Fig. 1 for FD-SCIR estimation, the serial signal $y[n]$ is converted to parallel OFDM received blocks $b_i = [b_i[0], \ldots, b_i[L_{blk} - 1]]^T$, such that

$$\hat{b}_i[l] = y[n]; \quad n = iL_{blk} + l.$$  
(5)

Then, the CP is removed from $\hat{b}_i$ giving $\hat{d}_i = [\hat{d}_i[0], \ldots, \hat{d}_i[K-1]]^T$. Assuming that the channel remains constant (denoted by $h[m, iL_{blk}]$) over the $i$th OFDM block, the DFT of $\hat{d}_i$, $\hat{D}_i = [\hat{D}_i[0], \ldots, \hat{D}_i[K-1]]^T$, is the element-wise multiplication of $D_i$ by the channel frequency response [19], so that

$$\hat{D}_i[k] = H[k, iL_{blk}] D_i[k] + W_i[k],$$  
(6)

where

$$H[k, iL_{blk}] = \sum_{m=0}^{M-1} h[m, iL_{blk}] e^{-j2\pi km/K}$$

is the $k$th DFT component of $h[m, iL_{blk}]$ and $W_i[k]$ denotes the corresponding noise. According to (6), the estimation of $H[k, iL_{blk}]$, for $k = 0, \ldots, K-1$, can be achieved by the element-wise division of $\hat{D}_i[k]$ to $D_i[k]$ as

$$\hat{H}[k, iL_{blk}] = \frac{\hat{D}_i[k]}{D_i[k]}.$$  
(7)
Eq. (7) presents the estimated frequency components of the SCIR. Thus, the SCIR is obtained by taking the IDFT of \( \hat{H}[k, iL_{\text{blk}}] \):

\[
\hat{h}_{\text{FD}}[m, iL_{\text{blk}}] = \sum_{k=0}^{K-1} \hat{H}[k, iL_{\text{blk}}] e^{j2\pi km/K},
\]

where \( \hat{h}_{\text{FD}}[m, iL_{\text{blk}}] \) denotes the estimation of the SCIR using the FD method, which is separately performed for each received OFDM block.

### D. Time Domain SCIR Estimation

In the previous section, we explained SCIR estimation in the frequency domain. One can also use TD methods on the OFDM signal, to verify the FD estimation results. As shown in Fig. 1, the received signal \( y[n] \) is directly used for this purpose. We use the least squares (LS) method, in which the sliding observation window at time instance \( n \) is defined as

\[
y[n] = [y[n], \ldots, y[n-L_{\text{win}} + 1]]^T \in \mathbb{C}^{L_{\text{win}} \times 1},
\]

where \( L_{\text{win}} \) denotes the window size. Assuming that the channel remains constant over each observation window, according to (2), the observed signal vector can be presented as

\[
y[n] = \bar{X}[n]h[n] + w[n],
\]

where \( h[n] = [h[0, n], \ldots, h[M-1, n]]^T \in \mathbb{C}^{M \times 1} \) is the channel vector and \( \bar{X}[n] \in \mathbb{C}^{L_{\text{win}} \times M} \) is the transmitted signal matrix defined as

\[
\bar{X}[n] = \begin{bmatrix} x[n] & x[n-1] & \cdots & x[n-M+1] \\ x[n-1] & x[n-2] & \cdots & x[n-M] \\ \vdots & \ddots & \vdots & \vdots \\ x[n-L_{\text{win}}+1] & \cdots & x[n-L_{\text{win}}-M+2] \end{bmatrix}
\]

Assuming that \( L_{\text{win}} \geq M \), the LS estimation of the SCIR in the time domain is

\[
\hat{h}_{\text{TD}}[n] = (\bar{X}^H[n]\bar{X}[n])^{-1}\bar{X}^H[n]y[n],
\]

where \( \hat{h}_{\text{TD}}[n] = [\hat{h}_{\text{TD}}[0, n], \ldots, \hat{h}_{\text{TD}}[M-1, n]]^T \) is the estimated channel vector using the TD method. To guarantee that, in (11), the singularity of \( \bar{X}^H[n]\bar{X}[n] \) is very unlikely, we take \( L_{\text{win}} = 2M \). In contrast to the FD method, in the TD method, \( y[n] \) is a sliding observation window and (11) provides an estimation for each received sample.

### E. Power Delay Profile Estimation

One of the most relevant characters of a channel is the power delay profile (PDP) defined as \( p[m] = E(|h[m, n]|^2) \), where \( E \{ \} \) denotes the expected value of the variable \( [17] \). By transmitting \( N \) OFDM blocks (each with length \( L_{\text{blk}} \)), the TD method provides \( N \) estimations of the SCIR; however, the TD method provides \( N = NL_{\text{blk}} \) estimations by using the sliding observation window. According to the notations we have used in (8) and (11), the estimated PDPs, by using the TD and FD methods, are respectively given as

\[
\hat{p}_{\text{FD}}[m] = \frac{1}{N} \sum_{i=0}^{N-1} |\hat{h}_{\text{FD}}[m, iL_{\text{blk}}]|^2,
\]

\[
\hat{p}_{\text{TD}}[m] = \frac{1}{N} \sum_{n=0}^{N-1} |\hat{h}_{\text{TD}}[m, n]|^2.
\]

### F. Autocorrelation Function and Coherence Time Estimation

The other important statistical characteristics for the SCIR are the autocorrelation function (ACF) and the coherence time (COT). The ACF of the \( m \)th path of SCIR is defined as

\[
q[m, \eta] = E \{ |h[m, n]h^*[m, n-\eta]| \}.
\]

Since \( q[m, \eta] \) is generally a complex value with the maximum amplitude \( q[m, 0] \), usually the normalized ACF, \( \bar{q}[m, \eta] = q[m, \eta]/q[m, 0] \), is used to study the channel variation. The COT, \( \eta_{\text{COT}} \), is also an interval for which \( \bar{q}(m, \frac{2\eta_{\text{COT}}}{M}) > \mu \). In the literature, different values for \( \mu \) is considered; however, we take \( \mu = 0.8 \) \[20\].

Given the estimated SCIRs in (8) and (11), the estimations of the ACF are given as

\[
\hat{q}_{\text{FD}}[m, \eta L_{\text{blk}}] = \frac{1}{N} \sum_{i=0}^{N-1} \hat{h}_{\text{FD}}[m, iL_{\text{blk}}] \hat{h}_{\text{FD}}^*[m, (i-\eta)L_{\text{blk}}],
\]

\[
\hat{q}_{\text{TD}}[m, \eta] = \frac{1}{N} \sum_{n=0}^{N-1} \hat{h}_{\text{TD}}[m, n] \hat{h}_{\text{TD}}^*[m, n-\eta].
\]
In Fig. 4, we compare the estimated SCIRs and PDPs for Hyd-1 obtained by using the FD and TD estimators. For the TD method, the observation window size is chosen equal to the OFDM block length ($L_{blk} = 512$) and the channel length is equal to half of the window size ($M = 256$). As can be seen, both methods lead to approximately the same results. The estimated PDP has three components:

1) A principal path at 3 ms which, according to the experimental set-up and the SSP, is caused by the 5 m long direct path between the transducer and the hydrophone.

2) The second largest return at 6 ms, is the first bounce from the water surface, which travels a total of 9 m from the transducer to the hydrophone. The surface bounce path is about 9.3 dB below the direct path.

3) The remaining indirect paths between 14 ms and 35 ms are caused by reflections from the bottom.

In Fig. 5, we present the results for Hyd-2. The estimated SCIRs and PDPs are exactly what we expect based on the position of Hyd-2. It is obvious that the second main return is from the first bounce off the surface, which arrives after the bottom reflections because Hyd-2 is closer to the bottom. This result indicates that, although the first surface bounce has more delay than the bottom reflections, it is still the dominant indirect path of the SCIR. As a result, one can conclude that, regardless of the hydrophone’s depth, the most prevalent indirect path is the first bounce from the surface.
C. The Estimated ACF and COT

In this section, we use the estimated SCIRs to obtain the ACF and COT of the channel during the experiment. For Hyd-1, Fig. 6 indicates the normalized ACF for the two main paths in Fig. 4, including the direct path (at 3 ms) and the first surface bounce path (at 6 ms). Notice that the estimated ACFs for each method are identical; however, as discussed in Section II-F, the ACF obtained from the TD method is more accurate (for the FD method, the accuracy is $L_{\text{blk}}/B = 104.4$ ms; while, for the TD method it is $1/B = 0.2$ ms). Based on these results, the direct path is stable and almost constant over the observation period; on the other hand, the surface bounce path is changing very rapidly. Since the ACF achieved by using the TD method is more accurate, it can be used to calculate the precise COT. Thus, by looking at Fig. 6b, the COT for the surface bounce is $\eta_{\text{co}}/B \approx 72$ ms. For Hyd-2, the normalized ACFs are given in Fig. 7. In this case, again the direct path is stable and the surface bounce path is rapidly time-varying, with the COT equal to $\eta_{\text{co}}/B \approx 74$ ms.

In summary, the direct path is relatively stable because the transmitted signal travels a straight and short way to the hydrophone; however, the surface bounce path travels a longer way and is affected by surface fluctuations and the inhomogeneity of the water. To obtain more intuition about the rates of change, Fig. 8 gives a polar plot of the direct and surface bounce paths in Hyd-1 and Hyd-2, over about 2 s. Clearly, the direct path changes very slowly; in contrast, the surface bounce is changing very rapidly.

D. Discussion

There are two important points about the results that require some discussion:

1) As mentioned before, the COT of the surface bounce is very short, even shorter than the OFDM block length in the FD method and the window size in the TD method (note that we chose $L_{\text{win}} = L_{\text{blk}}$). This means that the assumption of a constant channel over $L_{\text{blk}}$ and $L_{\text{win}}$, made in Section II-C and D, is not accurate. However, recall that we employed these methods just to estimate the statistical characteristics of the SCIR. Thus, because of long-term averaging, this inaccuracy does not affect the estimation of PDP, ACF and COT. To show that the estimated characteristics are not significantly affected by the aforementioned issue, we shortened the window size in the
To investigate the contribution of the SCIR delay paths to the total SI power, as in (14), we define the accumulated power of SI as

\[ p_{\text{acc}}[j] = \frac{1}{M} \sum_{m=0}^{j-1} p[m] \]  

(15)

which denotes the power of SI corresponding to the delay paths from \( m = 0 \) to \( m = j - 1 \). In this regard, Fig. 10 shows \( p_{\text{acc}}[j] \) for Hyd-1 using the estimated PDP from Fig. 4. As can be seen, about 72% of the SI power is from the direct path. In other words, 72% of the SI is easily eliminated. On the other hand, the surface bounce path includes 16% of the SI power, which is difficult to track. These results indicate that, if the UWA-IBFD system can accurately track just the direct and surface bounce paths, it can eliminate 88% of the SI. For Hyd-2, this percentage is also about 88%. It is noteworthy that because of the rapid changes, the well-designed half-duplex UWA OFDM channel estimators in [14]–[16], [23] may not lead to an accurate SCIR estimation in UWA-IBFD because in these methods the channel is assumed constant over one OFDM block, which we have shown is not correct.

IV. SUMMARY

In this paper, we characterize the self-interference channel impulse response of UWA-IBFD system, using an OFDM signal in both the frequency and time domains. We use the experimental data recorded in a lake water and determine the power delay profile, the autocorrelation function and the coherence time. According to our results, regardless of the depth of the hydrophones, there are two principal paths in the self-interference channel, including the direct and surface bounce paths. These two paths contain about 88% of the self-interference power. We show that the direct path is powerful and stable and easily eliminated; however, the surface bounce

Fig. 8: Polar plot of the direct and surface bounce paths for the SCIR estimated by the FD method (a) Hyd-1 and (b) Hyd-2.

Fig. 9: (a) Estimated PDP and (b) ACF of direct and surface bounce paths using the TD method with window size \( L_{\text{win}}/B = 36 \) ms.
path is weaker and changing rapidly. Having a very short coherence time, the surface bounce path requires more efforts for cancellation.

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