Defining *EGFR* amplification status for clinical trial inclusion

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Abstract

**Background.** Precision medicine trials targeting the epidermal growth factor receptor (EGFR) in glioblastoma patients require selection for *EGFR*-amplified tumors. However, there is currently no gold standard in determining the amplification status of *EGFR* or variant III (*EGFRvIII*) expression. Here, we aimed to determine which technique and which cutoffs are suitable to determine *EGFR* amplification status.

**Methods.** We compared fluorescence in-situ hybridization (FISH) and real-time quantitative (RT-qPCR) data from patients screened for trial inclusion into the Intellance 2 clinical trial, with data from a panel-based next generation sequencing (NGS) platform (both DNA and RNA).

**Results.** By using data from >1000 samples, we show that at least 50% of *EGFR* amplified nuclei should be present to define *EGFR* gene amplification by FISH. Gene amplification (as determined by FISH) correlates with *EGFR* expression levels (as determined by RT-qPCR) with receiver operating characteristics analysis showing an area under the curve of up to 0.902. *EGFR* expression as assessed by RT-qPCR therefore may function as a surrogate marker for *EGFR* amplification. Our NGS data show that *EGFR* copy numbers can strongly vary between tumors, with levels ranging from 2 to more than 100 copies per cell. Levels exceeding 5 gene copies can be used to define *EGFR*-amplification by NGS; below this level, FISH detects very few (if any) *EGFR* amplified nuclei and none of the samples express *EGFRvIII*.

**Conclusion.** Our data from central laboratories and diagnostic sequencing facilities, using material from patients eligible for clinical trial inclusion, help define the optimal cutoff for various techniques to determine *EGFR* amplification for diagnostic purposes.

Key Points

1. We show which cutoffs define *EGFR* amplification for diagnostic purposes.
2. Diagnostic *EGFR* amplification cutoffs are defined for FISH, RT-qPCR, and NGS.
3. *EGFR* gene expression can serve as a surrogate marker for *EGFR* amplification.

In glioblastomas, the most common and aggressive type of primary brain tumor, the gene encoding epidermal growth factor receptor (*EGFR*) is mutated in approximately half of all tumors (Lassman et al, submitted). Initially, the *EGFR* locus is amplified to high copy number levels, sometimes exceeding 100 copies per tumor cell. Additional mutations...
**Importance of the Study**

Precision medicine trials targeting EGFR in glioblastoma patients require selection for EGFR-amplified tumors. However, there is currently no standard to determine the amplification status of EGFR or EGFRvIII expression. In this study, we used molecular data derived from central laboratory testing and diagnostic-grade sequencing facilities, using a clinically relevant patient population (ie, those eligible for clinical trial inclusion) to help determine which technique and which cutoffs are suitable to determine EGFR amplification status for diagnostic purposes. Our results are of high relevance for the selection of patients into precision medicine trials.

Materials and Methods

**Patients**

Recurrent glioblastoma patients were considered eligible for the Intellance 2 trial (clinical trial identifier NCT02343406) if they had been diagnosed with a histologically confirmed, EGFR-amplified glioblastoma at first occurrence. For the screening assay, in the majority of cases (~86%), material from first surgery was used. This is possible since EGFR amplification is a temporally stable genetic event in the large majority of patients.11–13 A total of 1094 samples were screened from which 260 patients were randomized in the Intellance 2 trial to receive either (i) TMZ or, if progressing within 16 weeks of the start of the last maintenance TMZ cycle, CCNU (n = 26 and n = 60, respectively; total n = 86); (ii) depatux-M alone (n = 86); or (iii) TMZ in combination with depatux-M (n = 88). A Consolidated Standards of Reporting Trials (CONSORT) diagram is shown in Figure 1. Eligibility criteria for molecular analysis were histologically confirmed de novo glioblastoma (primary) with unequivocal first progression after RT concurrent/adjacut chemotherapy at least 3 months post radiotherapy, age ≥18 years, and Karnofsky performance status 60–100. All centers had to obtain approval of the study design from their local ethical boards before study activation according to national and institutional regulations. All patients gave written informed consent for trial participation and molecular testing.

Fluorescence In-Situ Hybridization

FISH was performed by one of 3 laboratories (Histogenex, Antwerp, Belgium, n = 801; Mosaic, Lake Forest, California, n = 100; and Peter MacCallum Cancer Institute, Melbourne, Australia, n = 233) on glioblastomas using the Vysis EGFR CDx Assay (Abbott Molecular; not on market) as described (Lassman et al, submitted). Reproducibility between labs for FISH and RT-qPCR was determined using a proficiency tissue panel that was run on all sites upon startup. The proficiency of laboratory personnel to perform the Abbott RealTime EGFR assay was evaluated during RealTime EGFR assay training. The training/proficiency runs consist of a panel (glioblastoma formalin-fixed paraffin embedded [FFPE] tissue sections), EGFR positive and negative controls processed through all steps of the EGFR assay procedure (RNA isolation, amplification/detection reaction setup, and operation of the m2000 RealTime System). The trainees were evaluated based on performance of
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Assessed for eligibility (n=1094)

Excluded (n = 59)
\begin{itemize}
\item FISH uninformative (n = 39)
\item RT-qPCR Actin uninformative (n = 2)
\item RT-qPCR EGFR uninformative (n = 27)
\end{itemize}

Analyzed for FISH and RT-qPCR (n = 1035)

EGFR amplified (n = 565)
\begin{itemize}
\item Intellance 2 included (n = 260)
\item Intellance 2 not included (n = 305)
\end{itemize}

Not EGFR amplified (n = 470)

No Informed consent (n = 21)
NGS uninformative (n = 13)

Analysed for NGS (n = 226)

RT-qPCR was performed as described (Lassman et al, submitted). Briefly, one \( \geq 5 \) \( \mu \)M section containing a minimum of 50 mm\(^2\) total tissue area from a FFPE tumor block was processed for RNA extraction using the Qiagen RNeasy FFPE Extraction Kit (Qiagen Sciences) according to the manufacturer’s instructions. FFPE sections were deparaffinized, and proteinase K was added. The nucleic acids were de-crosslinked from formalin and DNase treated to remove DNA content. Purified RNA was combined in a 96-well plate with mastermix containing primers and probes for amplification and detection of total EGFR and \( \beta \)-actin on the Abbott m2000 RealTime System (Abbott Molecular). \( \beta \)-actin served as an endogenous control and to provide relative quantitative values for total EGFR expression in the samples. The difference (\( \Delta \)Ct) between \( \beta \)-actin cycle threshold (Ct) and total EGFR Ct was calculated and reported.

Fig. 1 CONSORT diagram of the study population assessed for biomarkers.
DNA/RNA Isolation and Sequencing

Materials, either tissue sections or tissue blocks, were centrally collected at the Erasmus Medical Center in Rotterdam. Material of 226 patients included in the Intellance 2 trial was collected. Evaluation of the area with highest tumor content was done by the pathologist (J.M.K.) on a hematoxylin and eosin stained section. Ten to twenty 5-μm sections were then sent to Almac Diagnostics (Craigavon, UK) for macrodissection and subsequent DNA and RNA extraction using the Allprep DNA/RNA FFPE kit (Qiagen). Sequencing was done using the Trusight Tumor 170 panel (Illumina), which uses a combination of DNA and RNA sequencing to interrogate single nucleotide variations (SNVs) in ∼150 genes, amplification of 59 genes, and fusion and splice variant expression in 55 genes.20 Sufficient quality was obtained in 216 samples for DNA sequencing and 215 for RNA sequencing. SNV, copy number, fusion gene, and splice variant expression calling was done on the Illumina Basespace sequence hub using the TruSight Tumor 170 App.

For each sample of the 1094 patients, one FISH assessment and one RT-qPCR value for EGFR, actin, and EGFRvIII was available. For 226 of these, the additional NGS data are available with one EGFR amplification level value (derived from a combination of probes on the EGFR locus, determined by the standard TruSight170 pipelines on the Illumina Basespace sequencing hub). EGFR amplification status by FISH was initially dichotomized as per trial inclusion (ie, using a cutoff of 15% amplified nuclei per tumor unless otherwise stated). EGFR amplification status as determined by RT-qPCR was dichotomized based on the bimodal distribution of the frequency histogram using ΔCt values stated in the analysis. Mean and cutoff values of bimodal distributions were determined based on a finite mixture model using the Expectation-Maximization algorithm and calculated based on the “cutoff” R package.21 This package was also identified the cutoff point for FISH to determine EGFR amplification. The ΔCt value with highest AUC (−3.56) and with a type I error rate of 0.05 (−2.48) was used to show concordance between FISH and RT-qPCR. Comparison between frequencies was done using the chi-square test. Kappa scores were calculated using the interrater reliability and agreement “irr” package in R. Where applicable, values listed with 95% confidence intervals or ±SD.

Results

For inclusion in the Intellance 2 trial, 1094 recurrent glioblastoma patients were screened for EGFR amplification by FISH in a central laboratory. Of these, 1072 samples yielded informative data to determine EGFR amplification (a CONSORT diagram is shown in Figure 1). Fifty nuclei were counted per sample from which the percentage of EGFR-amplified cells was calculated. For each cell, EGFR amplification was defined when the ratio of EGFR/centromere Chr 7 was >2. This would amount to a copy number of EGFR >6 per cell as most glioblastomas have gain of chromosome 7. Interestingly, and despite the fact that glioblastomas also harbor non-neoplastic stromal cells, the majority of samples either contain almost no EGFR amplified cells (<15% amplified nuclei) or consist entirely of EGFR amplified cells (>90% amplified nuclei; Figure 2).

Fig. 2 Frequency histogram of the percentage of EGFR-amplified nuclei per tumor sample by FISH (A). Note that most samples either contain almost no EGFR-amplified nuclei or almost entirely consist of EGFR-amplified nuclei. The histogram of EGFR RT-qPCR data (B) also shows a bimodal distribution suggesting that RT-qPCR data can also be used to determine EGFR amplification status. Models of the 2 distributions (dashed lines) are plotted on top of the frequency histogram. The intersect of these 2 curves at −3.56 gives highest concordance between FISH and RT-qPCR data. The cutoff value for type I errors in calling EGFR amplification was calculated at −2.48.
Relatively few samples $n = 122, 11.6\%$ had intermediate numbers of $EGFR$-amplified nuclei. The FISH results therefore indicate that glioblastomas generally are not composed of mixtures of $EGFR$-amplified and non-amplified cells.

RT-qPCR was successfully performed on 1035 tumors. The histogram of $\Delta Ct$ values, plotted in Figure 2B, shows a bimodal distribution with two peaks at $-6.1 \pm 1.9$ and $-1.4 \pm 1.4 \Delta Ct$. Ranked $\Delta Ct$ values, plotted in Figure 3A, show a wide range of $EGFR$ expression between different tumors. $EGFR$ expression was correlated to amplification: the samples with high expression of $EGFR$ almost always contained a high number of $EGFR$-amplified cells by FISH and, conversely, samples with low $EGFR$ expression contained very few (if any) $EGFR$-amplified cells (Figure 3B). A receiver operating characteristic (ROC) plot shows the strength of gene expression in determining gene amplification (Figure 3C). When $EGFR$ amplification was defined as a tumor having greater than $-3.56 \Delta Ct$ $EGFR$ versus actin expression value (ie, the intersect of 2 curves modeling the bimodal distribution), the area under the curve was 0.902 (95% CI: 0.881–0.922), with an optimum (ie, the point with the best sum of sensitivity and specificity) at sensitivity of 85.6% and specificity of 91.2% (95% CI: 88.1%–93.3%). At a sensitivity of 90% the specificity would decrease to 460 (44.4%).

The concordance between dichotomized FISH and RT-qPCR data was high: 89% using the RT-qPCR cutoff of $-3.56 \Delta Ct$ values and 86% using the cutoff optimized for type I errors of $-2.48 \Delta Ct$ values (both using a FISH cutoff of 77% amplified cells; Table 1). Of course, any increase in stringency to define $EGFR$ amplification (by increasing the percentage of FISH positive cells) would reduce the number of $EGFR$-amplified tumors. Using the criteria for clinical trial inclusion (ie, $>$15% amplified cells), 565 of the 1035 samples tested (54.6%) were defined as being $EGFR$-amplified. When increasing the stringency to define $EGFR$ amplification to $>$77% amplified cells by FISH, this number would decrease to 460 (44.4%).

$EGFRvIII$ expression was also determined by RT-qPCR and yielded informative data in 1049 samples. Almost all the 263 samples expressing $EGFRvIII$ were also $EGFR$ amplified as defined by FISH (257/263, 97.7%; $P < 0.001$; Supplementary Figure 1A), and $EGFRvIII$ expressing tumors were present mainly in samples with high levels of $EGFR$ expression (Figure 4, Supplementary Figure 1B),

| Table 1: Concordance between FISH and RT-qPCR |
|-----------------------------------------------|
| FISH Status (77%)                              |
| RT-qPCR status                                 |
| $\Delta Ct -$3.56                              |
| Negative                                       |
| 506                                           |
| Positive                                       |
| 69                                            |
| $\Delta Ct -$2.48                              |
| FISH Status (77%)                              |
| RT-qPCR status                                 |
| $\Delta Ct -$2.48                              |
| Negative                                       |
| 539                                           |
| Positive                                       |
| 36                                            |
| 353                                           |
confirming that \textit{EGFRvIII} is expressed almost exclusively in samples with \textit{EGFR} amplification.

\textbf{EGFR Amplification by NGS}

A total of 260 of the 1094 centrally screened patients were included in the Intellance 2 randomized phase II clinical trial. Patients were selected based on the presence of \textit{EGFR} amplification by FISH only, with amplification defined as >15\% of nuclei containing an \textit{EGFR}/centromere Chr 7 ratio >2. Targeted NGS using the Illumina Trusight 170 platform was successfully performed (DNA) on 226 of these 260 samples. Ranked copy number estimates from the sequencing data are plotted in Figure 5A and confirms that most samples indeed contain high copy gene amplification. However, of the 226 samples sequenced, 30 samples (13.9\%) were estimated to have fewer than 4 \textit{EGFR} copy numbers. Most of these 30 low copy number samples also contained few \textit{EGFR}-amplified cells as determined by FISH, and had low \textit{EGFR} gene expression levels (Figure 5B). The observation that samples with a low percentage of amplified nuclei often show no copy number alterations by NGS suggests that FISH should use a more stringent cutoff to molecularly determine \textit{EGFR} amplification than the threshold used for this trial. Indeed, when \textit{EGFR} amplification was redefined as having >50\% amplified nuclei, the total number of \textit{EGFR} amplified samples drops marginally (n = 199/226), but the proportion of samples containing fewer than 4 \textit{EGFR} copy numbers by NGS was reduced 29 to 7. It should be noted, however, that cutoffs used to molecularly define \textit{EGFR} amplification may not reflect clinical efficacy of targeted agents; precision medicine trials may use a different cutoff.

It is possible that these samples are actually \textit{EGFR} amplified but had a low tumor cell content. However, all sections were marked for areas of high tumor content (>70\% tumor cells) by a dedicated neuropathologist. Moreover, the ranked copy number variation data from NGS show a “shoulder” in \textit{EGFR} copy number variation between <5 and >10 gene copies (Figure 5A). This shoulder can be explained by the fact that \textit{EGFR} is present as double minutes, which facilitates rapid high copy number gains, and thus argues against incorrect estimation of tumor percentage (when a smoother line may be expected). Finally, none of the 29 samples express \textit{EGFRvIII} when \textit{EGFR} copy numbers are low (<4), whereas the percentage is markedly higher, 103 of 187 (55.1\%), in samples with >4 copy

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure4.png}
\caption{\textit{EGFRvIII} expression is found in samples with high levels of \textit{EGFR} expression. As can be seen, samples that express \textit{EGFRvIII} (y-axis) are found in samples that have high levels of \textit{EGFR} expression (x-axis). Dark-gray dots indicate those that have been classified as \textit{EGFRvIII} positive tumors (ie, Ct values of \textit{EGFRvIII} <30).}
\end{figure}
numbers \( (P < 0.0001; \text{Supplementary Figure 1C}) \). \( EGFR \) amplification precedes the rearrangement leading to \( EGFR\)vIII expression, and generally half of \( EGFR \)-amplified tumors express \( EGFR\)vIII.\(^{22} \) Therefore, the absence of \( EGFR\)vIII-expressing cells in samples with <5 copy numbers (and <50% FISH positive cells) also suggests that the majority of these samples are incorrectly classified, with the used FISH cutoff, as \( EGFR \) amplified. Our data therefore strongly suggest that the cutoff to determine \( EGFR \) gene amplification by FISH should be increased to a sample having >50% \( EGFR \)-amplified cells.

Basic patient demographics (age, sex, etc) were only collected for samples that were included in the Intellance 2 trial. Within this group, demographics between RT-qPCR-high and RT-qPCR-low cohorts were similar for patients for which NGS was attempted (Supplementary Table 1).

The Trusight 170 platform also includes targeted RNA sequencing of ~60 genes (including \( EGFR \)) and was successfully performed on 215 samples. Read depth of \( EGFR \) in this cohort was high; the median number of reads (combined reference and splice supporting reads) was 37 000 (interquartile range, 23 000–60 000). Comparison in \( EGFR \) expression between RT-qPCR and RNA-seq shows a high concordance between the 2 techniques, with most samples that have low expression on both platforms also containing relatively few \( EGFR \) amplified nuclei by FISH (Figure 6A). \( EGFR\)vIII expression was detected in 107 and 93 samples by RT-qPCR and RNA-seq respectively, with 91 samples identified by both platforms, unweighted kappa score 0.829. The observation that RT-qPCR identifies more \( EGFR\)vIII-expressing samples may suggest this technique has a higher sensitivity to detect these aberrant transcripts, even despite the high read depth (Figure 6B).

**Discussion**

In the era of targeted treatments for cancer patients, identifying the target with an appropriate biomarker assay has become an essential part of both clinical studies and standard of care once shown effective. The biomarker assay used should be well validated: robust, reproducible, feasible, and predictive for outcome. The present study reviews 3 different assays to identify \( EGFR \)-amplified tumors, comparing 2 assays in the screened population and another technique for the randomized patients. In addition, the presence or absence of the \( EGFR\)vIII mutation is studied. \( EGFR\)vIII is a genomic rearrangement that occurs after \( EGFR \) amplification.\(^{22–24} \)

FISH for \( EGFR \) amplification is usually seen as a gold standard for the diagnostics of \( EGFR \) amplification, but what cutoffs (the % of positive nuclei, and the optimal ratio of \( EGFR \) vs centromere 7) should be used is unclear. For this trial, the bar to call \( EGFR \) amplification was set low, in view of the observation of a response in a patient with a relatively low level of \( EGFR \) amplification.\(^{13,14,25,26} \) An advantage of
such a low bar in a targeted treatment trial is that it allows the assessment of efficacy of the investigational compound around the borders of the assay. By using data from >1000 samples, we provide evidence that the cutoff to determine EGFR gene amplification by FISH should be increased, preferably to >50% of EGFR-amplified nuclei. Although the number of samples in the current study was large, validation of this observation in an independent cohort would strengthen our conclusions. Such cohorts are, however, at present unavailable.

Although this FISH cutoff may better determine EGFR amplification for diagnostic purposes, response prediction in precision medicine trials (ie, the predictive power of EGFR amplification) may use different cutoff points. For example, when EGFR-amplified cells exert a dominant effect on the non-amplified neoplastic cells (whereby the non-amplified neoplastic cells depend on the EGFR-amplified cells), targeting the minority of EGFR-amplified cells may already provide clinical benefit. The observation of patients with relatively low levels of EGFR amplification respond to the combination of depatux-M + TMZ supports this notion.

Our data also demonstrate that EGFR expression can serve as a surrogate marker to determine EGFR gene amplification. However, where EGFR-FISH has a bimodal distribution, EGFR expression is much more a continuum. This continuum makes it more difficult to define the optimal cutoff for EGFR amplification. Of note, the bimodal distribution observed by FISH demonstrates that most tumors either have no amplified cells or consist entirely of amplified cells. This indicates that the frequency of mosaic amplification of various oncogenes as previously reported is therefore likely relatively low.

In summary, the data described in the current study, obtained from central laboratories and diagnostic sequencing facilities and using material from patients eligible for clinical trial inclusion, help define the optimal cutoff for various techniques to determine EGFR amplification for selection into precision medicine trials. In the end, the most optimal cutoff should be established based on evidence of clinical activity in the positive versus the negative biomarker population. That analysis is currently ongoing. Regardless, although our study shows that these tests correlate, there is a clear gray zone in which samples test positive in one assay but not in another assay.

**Supplementary Material**

Supplementary data are available at Neuro-Oncology online.

**Keywords**

amplification | biomarker | EGFR | EGFRvIII | FISH | glioblastoma | screening
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