Adaptation by Deletogenic Replication Slippage in a Nascent Symbiont

Adam L. Clayton,*1 D. Grant Jackson,1 Robert B. Weiss,2 and Colin Dale1
1Department of Biology, University of Utah
2Department of Human Genetics, University of Utah

*Corresponding author: E-mail: aclayto@gmail.com.
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Data deposition: The following genomes were used in this study: Sodalis praecaptivus str. HS1 (accession nos. CP006569 and CP006570), Candidatus Sodalis prierantonius str. SOPE (accession no. CP006568), Sodalis glossinidius (accession nos. AP008232, AP008233, AP008234, AP008235, and DQ785801), Secondary endosymbiont of Ctenarytaina eucalypti (accession no. CP003546), Wigglesworthia glossinidia (accession no. NC_016893), Candidatus Blochmannia pennsylvanica str. BPEN (accession no. NC_007292).

Abstract

As a consequence of population level constraints in the obligate, host-associated lifestyle, intracellular symbiotic bacteria typically exhibit high rates of molecular sequence evolution and extensive genome degeneration over the course of their host association. While the rationale for genome degeneration is well understood, little is known about the molecular mechanisms driving this change. To understand these mechanisms we compared the genome of Sodalis praecaptivus, a nonhost associated bacterium that is closely related to members of the Sodalis-allied clade of insect endosymbionts, with the very recently derived insect symbiont Candidatus Sodalis prierantonius. The characterization of indel mutations in the genome of Ca. Sodalis prierantonius shows that the replication system in this organism is highly prone to deletions resulting from polymerase slippage events in regions encoding G+C-rich repetitive sequences. This slippage-prone phenotype is mechanistically associated with the loss of certain components of the bacterial DNA recombination machinery at an early stage in symbiotic life and is expected to facilitate rapid adaptation to the novel host environment. This is analogous to the emergence of mutator strains in both natural and laboratory populations of bacteria, which tend to reach high frequencies in clonal populations due to linkage between the mutator allele and the resulting adaptive mutations.

Key words: symbiosis, sodalis, slippage, mutator, evolution.

Introduction

Obligate mutualistic symbiotic bacteria are found in an estimated 10% of all insects (Buchner 1965; Douglas 1989). These symbionts perform a wide range of functions for their insect hosts, ranging from nutritional supplementation of the host diet (Douglas 1998; Baumann 2005), to host protection from parasites (Oliver et al. 2003), pathogens (Scarborough et al. 2005; Hedges et al. 2008; Teixeira et al. 2008), and environmental stress (Russell and Moran 2006). Although the functions of symbionts vary between hosts, symbiont genome evolution generally follows the same degenerative trend. Over long periods of time symbiont genomes reduce dramatically in size compared with their free-living relatives (Andersson and Kurland 1998; Dale and Moran 2006). This process of degeneration results from a gradual erosion and elimination of genes that are nonessential in the insect host, which is a nutritionally rich and static environment (Moran 1996). Gene loss is anticipated to be accelerated by the loss of recombination and repair enzymes, that presumably increases the frequency of mutations leading to gene inactivation and deletion (Moran and Wernegreen 2000; Rocha 2003). The loss of DNA recombination machinery is also expected to prevent symbionts from acquiring novel DNA via horizontal gene transfer. As such, their isolation leads to irreversible and extensive gene loss.

For example, the genomes of long established symbionts are extremely small and contain few, if any inactivated genes (pseudogenes) or mobile DNA (phage or insertion sequences; IS-elements). However, the genomes of recently derived symbionts are more similar to their free-living relatives in terms of size, but often contain large numbers of pseudogenes, phage, and IS-elements (Parkhill et al. 2003; Moran and Plague 2004; Plague et al. 2008). Candidatus Sodalis prierantonius str. SOPE is the primary endosymbiont of the rice weevil, Sitophilus oryzae. Its genome is close to the size of free-living relatives (4.5 Mb), but it maintains an abundance of pseudogenes and mobile DNA in the form of phage and IS-elements, such that the functional component of its genome is actually reduced to less than half of its original coding capacity (Oakeson et al. 2014). The role of Ca. Sodalis prierantonius involves nutritional supplementation, with recent studies showing that it provides the aromatic amino acid precursors of DOPA (tyrosine and phenylalanine) that the insect incorporates into its cuticle during development (Vigneron et al. 2014).
In order to understand the state of genome degeneration in Ca. Sodalis pierantonius, we previously compared its genome to that of Sodalis praecaptivus str. HS1, which is a very close (but nondegenerate) relative, originally isolated from a human wound (Clayton et al. 2012). Since Ca. Sodalis pierantonius and S. praecaptivus differ by only 2% genome-wide nucleotide substitutions, it is possible to align their DNA sequences and determine the molecular basis of all gene-inactivating mutations that have taken place since the onset of symbiosis. Previous work showed that the most abundant mutations in the Ca. Sodalis pierantonius genome are insertions and deletions (indels; Clayton et al. 2012). In this study, we show that a large proportion of mutations in the Ca. Sodalis pierantonius genome arise from deleterious DNA polymerase slippage events involving closely spaced, G+C-rich repeat sequences. While the mechanism of replication slippage is well understood, and slippage has been identified as a source of frameshifting indels in symbionts (Williams and Wernegreen 2013), its impact on the early stages of symbiont genome evolution has not been previously described. We propose that a large number of polymerase slippage events occur due to the loss of the RecFOR and SbcCD repair enzymes that participate in repairing stalled DNA replication forks.

Results and Discussion

In this study, we compared whole-genome sequences of a recently acquired insect symbiont (Ca. Sodalis pierantonius) with a free-living progenitor (S. praecaptivus) to determine the types of mutations that result in gene inactivation and loss in the process of symbiosis. Our analysis focused primarily on coding sequences shared between these organisms, because this allows us to differentiate between frameshifting and nonframeshifting indels and to understand the effect of such events on gene function and the trajectory of degenerative evolution in the symbiosis.

The Nature of Indel Mutations in Ca. Sodalis pierantonius

Alignments were generated for 1,601 putative coding sequences shared between S. praecaptivus and Ca. Sodalis pierantonius (Oakeson et al. 2014). Alignments that were free of 3’- and 5’-terminal deletions were then examined for the presence of internal indels. The mutations were then categorized according to their context (fig. 1), as indels of < 3 bases (either unclassified in context or associated with homopolymers), or as polymerase slippage events occurring in repeat sequences (either direct or gapped) or “quasi-repeats,” which are imperfect repeats (defined here as indel termini of length ≥ 5 bases with a Hamming distance of < 0.3/base). Repeat sequences are known to facilitate indel mutations as a consequence of misalignment and slippage during replication (Lovett 2004). Numerous laboratory studies indicate that replication slippage occurs as a consequence of DNA polymerase stalling and dissociating from DNA, leading to the potential mispairing of template and nascent strands (Lovett et al. 1993). Once replication continues, either an insertion or deletion of repeat subunits can occur depending on the strand that is mispaired (Fresco and Alberts 1960; Levinson and Gutman 1987). These mutations are known to occur spontaneously at low frequency in wild type strains of Escherichia coli, however their frequency can be increased substantially by introducing mutations in numerous components of the DNA replication machinery (Saveson and Lovett 1997; Feshchenko and Lovett 1998).

DNA polymerase slippage events in homopolymeric regions of the genome are known to be a common source of small (typically frameshifting) indels, especially in organisms with compositionally biased (A+T-rich) genomes (Moran et al. 2009; Williams and Wernegreen 2012, 2013). However, the data obtained from analyses of the alignments generated from the S. praecaptivus and Ca. Sodalis pierantonius orthologs (fig. 2) shows that the majority of intragenic indel mutations in Ca. Sodalis pierantonius have occurred in regions that contain direct, gapped, and quasi-repeat sequences, resulting in deletions ranging from 2 to 100 base pairs in size (fig. 3). While repeat sequences have long been known to catalyze polymerase slippage through slip-strand mispairing, they have not previously been reported to be a strong driver of gene inactivation and genome erosion in natural populations. Alongside homopolymers, short simple sequence repeats (< 6 bases) have been identified as a source of indel mutations in the carpenter ant symbiont, Candidatus Blochmannia spp. However, very few of these indels were found to inactivate genic reading frames (Williams and Wernegreen 2012, 2013).

In natural populations of bacteria, the expansion and contraction of short direct repeat motifs in genic and promoter sequences facilitate a phenomenon known as phase variation in which rapid and reversible genetic changes optimize survival in a constantly changing environment (van der Woude and Bäumler 2004; Bayliss and Palmer 2012). Repeat sequences involved in phase variation are typically short (< 10 bases) and exist in tandem arrays (Saunders et al. 1998; Maxon et al. 2006; Bayliss and Palmer 2012). The expansion or contraction of these repeats catalyzed by polymerase slippage, provides a means to modulate transcription or change the protein-coding sequence of a gene.
For example, in *Haemophilus influenzae*, a 5′ variable length tetramer of CAAT nucleotides is responsible for maintaining or shifting the reading frame of the licA gene, involved in modifying LPS with phosphorylcholine allowing *H. influenza* to evade immune detection (Weiser et al. 1989; Humphries and High 2002). In *Bordetella pertussis* a variable length homopolymeric tract of cytosine bases upstream of the fimbrial protein-coding gene, *fim3*, regulates the level of expression by modulating the distance between RNA polymerase and a transcriptional activator. Altered levels of *fim3* expression also provide a means of immune evasion (Willems et al. 1990; Chen et al. 2010). It is reasonable to assume that the switch to a static, obligate symbiotic lifestyle would obviate the need for a bacterium to engage in phase variation, at least at the level of providing antigenic or metabolic diversity to cope with a changing environment or host. However, polymerase slippage could be useful to introduce mutations that inactivate genes that have no benefit in the symbiotic lifestyle but might prove costly in terms of their expression. In this sense, the switch to a symbiotic lifestyle could be considered an extreme case of phase variation, albeit one in which the adaptive benefit of slippage would be to inactivate gene functions. Indeed, the high frequency of repeat-associated deletions in *Ca*. *Sodalis pierantonius* (which exceeds the frequency of nonsense mutations) is consistent with the notion that the replication complex has evolved an increased propensity to undergo deletogenic slippage in the proximity of direct, gapped, and quasi-repeats.

The Role of Polymerase Slippage in Gene Inactivation

Inspection of our data set revealed that slips in homopolymeric sequences was constrained to 1–2 bases in size and therefore always result in the disruption of the genetic reading frame. We found no examples in which two or more homopolymeric slips were located in close proximity (within 100 bases) such that they restored the genetic reading frame in a compensatory fashion. Larger indels, mediated by polymerase slippage between direct repeats, gapped repeats, and quasi-repeats only cause frameshifts when the size of the indel is not divisible by three. Thus, under a scenario of neutrality (in which a protein-coding gene has no selective benefit), the expected ratio of frameshifting to nonframeshifting indels is 2:1. However, since some proportion of the genes in the genome are beneficial or necessary for survival, cells that obtain frameshifting mutations in those genes should be removed from the population by natural selection, increasing the relative ratio of nonframeshifting to frameshifting indels. In the case of direct repeats this is difficult to test, because it is likely that some proportion of these repeats function in phase variation and are therefore “programmed” to induce indels whose size is divisible by three. However, the gapped and quasi-repeats detected in our analysis are not anticipated to function in phase variation and therefore should provide a more reliable marker for determining the action of selection on slippage. Notably, all three classes of repeat-derived slips detected in our study have a higher than expected ratio of nonframeshifting indels (fig. 2). This highlights the trade-off between adaptive benefit and deleterious consequences that is often observed with an elevated mutation rate (Giraud et al. 2001).

Deletogenic Bias of Replication Slippage Events

Our data show that polymerase slippage events in *Ca*. *Sodalis pierantonius* have a strong deletional bias (fig. 2). Notably, deletions occur almost exclusively in the case of gapped-repeat and quasi-repeats slips, which makes sense because these slips are not anticipated to play a role in adaptive phase variation. At a mechanistic level, deletions are predicted to occur when DNA lesions or secondary structure forms in the template strand causing replication fork stalling (Levinson and Gutman 1987; Cox et al. 2000; Bikard et al. 2010). If the source of the stalling event cannot be resolved via recombination and repair pathways, then replication can only be resumed if the nascent strand pairs with a complementary...
sequence downstream. In the case of Ca. Sodalis pierantonius, it appears that those sequences do not need to be exactly complementary, given that many quasi-repeat slips were observed in our analysis. With respect to the ability to repair stalled replication forks, it is notable that Ca. Sodalis pierantonius lacks functional copies of a number of genes that are anticipated to play a role in recombination and repair, including the recFOR recombination pathway (fig. 4). This pathway...
is involved in the repair of stalled replication forks by recruiting RecA for recombination between the nascent DNA and a homologous chromosome (Kowalczykowski 2000; Morimatsu et al. 2012; Lenhart et al. 2014). The loss of the recFOR pathway in Ca. Sodalis pientorius implies that the cell no longer retains the ability to repair a stalled replication fork by homologous recombination, forcing an outcome in which replication must be reinitiated by the nonconservative single strand annealing process.

The SbcCD nuclease (fig. 5) functions to repair replication forks that stall as a result of hairpins and cruciform formation in the DNA. SbcCD cleaves the hairpin or cruciform allowing forks that stall as a result of hairpins and cruciform formation to be resolved by homologous recombination, forcing an out-
In laboratory-based studies involving \textit{E. coli} it has been observed that inverted repeats promote slippage by inducing hairpin and cruciform structures that cause the replication machinery of the cell to stall, dissociate, and slip inside the replication fork (Bzymek and Lovett 2001). It is also likely that the interstrand pairing events that facilitate the reinitiation of replication are more stable for G\textsuperscript{+}C-rich sequences.

Is Replication Slippage Adaptive at the Onset of Symbiosis?

The genomes of endosymbiotic bacteria are known to undergo severe degeneration, primarily as a consequence of a low effective population size that results from frequent population bottlenecks during host reproduction (Wernegreen 2002; Kuo et al. 2009; Batut et al. 2014). In the absence of recombination, low effective population size exacerbates the effect of Muller’s ratchet, in which irreversible deleterious mutations accumulate over time, leading ultimately to the extinction of the bacterial population as a consequence of mutational meltdown (Lynch et al. 1993). However, many extant endosymbiotic associations are known to be ancient in origin and the bacterial partners in these associations have attained extremely small genome sizes (Tamas et al. 2002; Nakabachi et al. 2006; Bennett and Moran 2013), indicating that they are functionally stable in

\begin{table}
\centering
\caption{G + C-Content of Terminal Sequences of NUCmer Predicted Deletion Fragments.}
\begin{tabular}{|c|c|c|c|c|}
\hline
Terminal Bases & 10 & 15 & 20 & 25 \\
\hline
100–1,000 bases & 67.6% & 67.1% & 67.2% & 67.1% \\
>1,000 bases & 53.6% & 53.6% & 54.0% & 54.6% \\
\hline
\end{tabular}
\end{table}
spite of such a high genetic load. This leads to the hypothesis that there is a second level of selection, imposed by hosts, validating the functionality of individual populations of symbionts (Pettersson and Berg 2007). This is anticipated to prevent the accumulation of symbiont mutations that negatively impact host fitness (O’Fallon 2008), facilitating the functional stability of symbiotic associations that is apparent in nature. This selective force should also be capable of increasing the efficiency of the symbiotic association by selecting for mutations in the symbiont genome that improve mutualistic functions. An example of this is described earlier in the current study and involves the duplication of the chromosomal region encoding shikimate kinase II (fig. 5). This amplification is expected to have occurred after the onset of genome degeneration in the symbiont because there are a number of pseudogenes in this duplicated region with shared degenerative mutations that most likely occurred prior to the duplication event.

Gene inactivation and deletion have the potential to increase fitness (Hottes et al. 2013) by streamlining the gene inventory and removing functions that have a high cost to benefit ratio (Koskinemi et al. 2012). In a symbiotic relationship it is conceivable that hosts might select for populations of symbionts with elevated mutation rates that undergo more rapid streamlining at the onset of the association, when the symbiont has the largest number of dispensable genes. This could be achieved by selecting mutant strains of bacteria that have elevated mutation rates (designated as mutators), as a consequence of the loss of genes involved in DNA repair. While these mutator strains have no intrinsic adaptive value, they can fix or reach high frequencies in populations as a consequence of the adaptive mutations that they generate, which become linked to the mutator allele (Wiegoss et al. 2013). Notably, mutator phenotypes often arise when bacteria encounter a new environment in which the potential for adaptive mutations is high and mutation rate is a limiting factor in adaptation (Arjan et al. 1999). The loss of genes encoding DNA recombination and repair machinery often occurs at an early stage following the onset of a symbiotic relationship (Moran et al. 2008), as evidenced in this study with the loss of the RecFOR recombination system (Dale et al. 2003). Such loss is anticipated to be irreversible in the asexual lifestyle in which symbionts are isolated from opportunities to engage in lateral gene transfer.

The Fate of $G + C$-Rich DNA in Insect Endosymbionts

Our data clearly show that polymerase slippage events in $G + C$-rich repeat regions of the genome are responsible for numerous deletions and gene inactivating mutations in Ca. Sodalis pierantonius. Left unchecked, this process would be expected to progressively erode these repeats until only those genes that are essential remain. In order to determine the fate of such repeats in the genomes of Sodalis-allied symbionts, we analyzed the frequencies of direct repeats in symbionts that are at different stages in the process of genome degeneration (fig. 8). As expected, both Ca. Sodalis pierantonius and Sodalis glossinidius (another recently derived symbiont) have substantially fewer $G + C$-rich repeats than S. praecaptivus, despite having very similar genomic $G + C$-content (fig. 8 and table 2). In addition, the mean copy number of direct repeat units that remain in each genome is reduced in Ca. Sodalis pierantonius (3.8 copies/kb) relative to S. praecaptivus (5.02 copies/kb), supporting the notion that slippage has a deleterious bias in Ca. Sodalis pierantonius. This is consistent with the results of our study, indicating that $G + C$-rich repeats are a hotspot for deletions. A + T-rich repeats were found to be much less frequent in all three genomes and notably, the frequencies of these repeats are very similar between S. praecaptivus, Ca. Sodalis pierantonius, and S. glossinidius (Table 2), with the caveat that the number of A + T-rich repeats in Ca. Sodalis pierantonius is elevated by the presence of an A + T-rich repeat in an IS-element that is only abundant in this symbiont (Oakeson et al. 2014).

The genome of the secondary symbiont of the psyllid, Ctenarytaina eucalypti, which has a reduced $G + C$-content (43.3%) and genome size (1.4-Mb), contains very few $G + C$-rich or A + T-rich direct repeats. The highly reduced genomes of Ca. Blochmannia pennsylvanicus and Wigglesworthia glossinidius, also have no $G + C$-rich direct repeats, which can readily be explained by their low $G + C$-content (<30%).
However, both of these genomes maintain substantial numbers of A + T-rich repeats, indicating that when A + T-rich repeats arise as a consequence of the A + T-bias that is common in ancient symbionts, they appear to be stable. Taken together this data suggest that G + C-rich repeats, but not A + T-rich repeats, are essentially mutagenic because they cause slip-induced deletions in the absence of the RecFOR repair system.

The collapse of G + C-rich repeats cannot itself be responsible for the A + T-bias that often occurs in the genomes of ancient symbionts because the composition bias exists genome wide. However, the emergence of an A + T-bias is expected to ameliorate the effects of deleterious mutations arising from slippage in G + C-rich regions. The adaptive value of a mutator phenotype is predicted to be greatest at the onset of the symbiotic association when a large proportion of genes are evolving under relaxed selection and there is great potential for adaptive mutations (Sniegowski et al. 1997; Giraud et al. 2001; Elena and Lenski 2003; Wielgoss et al. 2013). In addition, mutators are predicted to be beneficial (and therefore most likely to achieve fixation) in environments that are static and therefore amenable to extreme specialization (Giraud et al. 2001).

While mutators are often selected in response to a change in environment, their fitness advantage is expected to decline over time as the population adapts to its new environment. At some point, presumably when the ratio of dispensable to deleterious mutations drops below some critical threshold, it becomes selectively advantageous to reduce the deleterious burden of mutation. In simple terms this could be achieved by restoring the status of a dysfunctional gene by mutational reversion or lateral gene transfer (Denamur and Matic 2006). However, in practice, a complete reversion is not likely to be selectively advantageous given that a partial reduction in the mutation rate might suffice to generate a more favorable equilibrium between adaptive potential and deleterious consequences. In this case, a mutator lineage might be partially “rescued” by compensatory mutation(s) that reduce the severity of the mutator phenotype. For example, Wielgoss et al. (2013) documented the fixation of a mutT mutator strain in an experimental system that had a point mutation rate approximately 150-fold higher than wild type. This strain was later complemented by a mutation in mutY that reduced the mutation rate by 40–60%.

One of the most striking degenerative changes in the genomes of insect symbionts involves the loss of many genes encoding DNA repair and recombination functions (Moran et al. 2008). These genes appear to be lost progressively over the course of the symbiotic association (fig. 4), and this may be a consequence of epistatic (complementary) effects. It is also conceivable that the effects of a mutator phenotype could be mitigated by changes in the biology of the symbiosis. One possibility is a change in the transmission dynamics of the symbiont to lessen the potency of Muller’s ratchet. Another is a reduction in the frequency of symbiont replication and an increase in cellular longevity, thereby reducing the number of mutations that arise during replication. Yet another option is for the host to provide novel replication, recombination, and repair functions, potentially rescuing the hapless situation that ensues in ancient symbionts, which, notably, also lack many vital components of the DNA polymerase III machinery (Moran et al. 2008).

### Materials and Methods

#### Ortholog Identification and Analysis

Sequence alignments of all orthologous genes in S. praecaptivus and Ca. Sodalis prierantonius were generated using a Smith–Waterman alignment algorithm implemented in cross_match (Gordon et al. 1998). Alignments were analyzed both computationally (using custom processing scripts) and manually to identify indels. Each indel was classified according to its sequence context and analyzed using custom Java scripts designed to detect direct and gapped repeat sequences.

The coordinates of duplicated regions of the Ca. Sodalis prierantonius genome were identified in a previous study (Oakeson et al. 2014). Mutations were identified in each copy of the Ca. Sodalis prierantonius sbcC and sbcD genes by alignment with the intact S. praecaptivus orthologs using Geneious version 8.1.7 (Kease et al. 2012).

#### G + C-Content of Large Deletions

Large deletions were identified in the Ca. Sodalis prierantonius genome as “GAP” mutations using the NUCmer algorithm of the MUMmer software package (Delcher et al. 2002). The resulting output file was then curated to include only deletions > 100 bases in size. The G + C-content of each deletion and its 10 base termini was calculated using custom perl scripts.

#### Direct Repeat Comparisons

Genome-wide direct repeats were identified in each genome using Tandem Repeats Finder version 4.07b (Benson 1999) using the following settings: Alignment parameters (match, mismatch, indels) = (2, 7, 7), minimum alignment score = 50, maximum period size = 500. The resulting output was manually curated to remove nested repeats. The complete data set is available in supplementary table S1, Supplementary Material online. The genomic context and G + C-content of each repeat were determined using custom perl scripts. Direct repeats from S. praecaptivus were used to query the Ca. Sodalis prierantonius genome using a combination of custom perl scripts, BLASTn (Camacho et al. 2008), and the Mauve

| Genus          | G + C-rich repeats/kb | A + T-rich repeats/kb | Genomic G + C (%) |
|----------------|-----------------------|-----------------------|-------------------|
| Sodalis praecaptivus | 0.075                 | 0.011                 | 57.1              |
| Ca. Sodalis prierantonius | 0.019               | 0.032                 | 56.1              |
| Sodalis glossinidius  | 0.017                 | 0.007                 | 54.5              |
| SE Cenaraytina eucalypti | 0.004               | 0.003                 | 43.3              |
| Wigglesworthia glossinidia | 0.000               | 0.160                 | 25.2              |
| Ca. Blochmannia pennsylvanica  | 0.000               | 0.035                 | 29.6              |
plugin (Darling et al. 2010) as implemented in Geneious version 8.1.7 (Kearse et al. 2012).

**Supplementary Material**

Supplementary table S1 is available at *Molecular Biology and Evolution* online (http://www.mbe.oxfordjournals.org/).

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