ULTRAHEAVY ELEMENT ENRICHMENT IN IMPULSIVE SOLAR FLARES

DAVID EICHLER

Physics Department, Ben-Gurion University, Be’er-Sheva 84105, Israel; eichler.david@gmail.com

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ABSTRACT

Particle acceleration by cascading Alfvén wave turbulence was suggested as being responsible for energetic particle populations in $^3$He-rich solar flares. In particular, it was noted that the damping of the turbulence by the tail of the particle distribution in rigidity naturally leads to the dramatic enhancement of a pre-accelerated species—as $^3$He is posited to be—and superheavy elements. The subsequent detection of large enrichment of ultraheavies, relative to iron, has apparently confirmed this prediction, lending support to the original idea. It is shown here that this picture could be somewhat sharpened by progress in understanding the three-dimensional geometrical details of cascading Alfvén turbulence. The mechanism may be relevant in other astrophysical environments where the source of turbulence is nonmagnetic, such as clusters of galaxies.

Key words: acceleration of particles – Sun: flares – turbulence

1. INTRODUCTION

Nonthermal particle tails in particle energy spectra are evidence for a selective acceleration process that chooses to accelerate some particles, but not most. The question to be asked of any acceleration model is which particles are selected and which are not. Shock acceleration, while it clearly selects only a minority of particles to be accelerated to very higher energies (presumably those that already have a higher than average energy; Eichler 1979c), is remarkably equitable in regard to the different ion species. While there is modest enhancement of heavy elements, much of the differences in abundances from normal solar composition (e.g., correlation with first ionization potential (FIP)), can be attributed to the “chemical” adjustment of the underlying composition of the thermal plasma. (For example, any electromagnetic separation of ions from neutrals could differentiate low and high FIP species from each other in partially ionized plasma. In the case of anomalous cosmic rays, the bias toward high FIP species is traced to the “pre-injection” they enjoy when the solar UV suddenly ionizes them after they have penetrated the solar wind in their neutral state. When this happens, they become the most energetic particle species in the solar wind plasma, and are more easily picked up by the shock acceleration mechanism.)

In impulsive solar energetic particle (SEP) events, $^3$He is often dramatically enriched relative to $^4$He nuclei. The $^3$He nuclei are believed to also receive an initial boost—due to their resonance with ion-cyclotron waves (Fisk 1978)—but $^3$He-rich flares are not associated with shocks, and are generally smaller than shock-associated flares. Rather, it was proposed (Eichler 1979b, hereafter E79) that the $^3$He ions continue their acceleration by cyclotron damping turbulence as it cascades to smaller scales. Because the $^3$He nuclei have received a sufficient amount of pre-acceleration, they have larger gyroradii than protons (besides having a slightly smaller charge to mass ratio, which would be true of any heavy ion species). They need only a factor of $\sim 3$ increase in velocity to comfortably meet this criterion. At the scales where thermal or epithermal ions dump Alfvén wave turbulence as it cascades to smaller spatial scales, the turbulence is a steeply decreasing function of wavenumber k, so that the $^3$He ions, which cyclotron resonate at a lower k, resonate with turbulence of a much larger amplitude and are preferentially accelerated. It was noted in E79 that extremely heavy elements would also experience highly preferential acceleration because they are not completely stripped. For example, Fe$^{106}$O$^{2+}$ has a gyroradius, at a given velocity, of $5.6Q_{10}$ times that of ions and $2.8Q_{10}$ times that of $^4$He. An ultraheavy with $A \sim 200$ would have at most slightly more charge than iron, and would have a gyroradius that is more than 10 times that of protons of the same velocity. (Note that long-wavelength magnetosonic waves tend to bring all ion species to the same velocity in a collisionless plasma (Eichler 1979a), so the assumption of equal thermal velocities is reasonable.) The basic idea in E79 has since been confirmed in simulations (Miller 1998). Observations (Mason et al. 2004) indicate that ultraheavies are indeed significantly enriched, even relative to iron, in $^3$He-rich flares. On the other hand, there are also energetic protons; apparently protons are not completely shut out of the acceleration process.

Formal expressions for the rates of particle diffusion in pitch angle and in momentum have been given by Kennel & Engelmann (1966) and also by Lee & Volk (1975), Bogdan et al. (1991), and Schlickeiser & Achatz (1993). The discussion below will be self-contained, however, with an emphasis on particle diffusion in energy space. It will be assumed that particle velocities greatly exceed the Alfvén velocity, so that the energy gain rate is slower than the pitch angle diffusion rate, and pitch angle distribution is therefore nearly isotropic.

The theory of cascading Alfvén wave turbulence was revolutionized by Goldreich & Sridhar (1995), who noted that in the limit of sharp resonance the three wave process (symbolized by $k_1 + k_2 \rightarrow k_3$, $\omega_1 + \omega_2 \rightarrow \omega_3$) invoked by Kraichnan (1965), the basis for the previously popular picture of cascading turbulence, does not in fact take place. They further noted that, when interacting waves 1 and 2 are oppositely propagating, the four-wave interaction $k_1 + k_2 \rightarrow k_3 + k_4$, $\omega_1 + \omega_2 \rightarrow \omega_3 + \omega_4$ leaves the parallel components of $k_1$ and $k_2$ unchanged, i.e., $k_{1,\|} = k_{3,\|}$, $k_{2,\|} = k_{4,\|}$, and they conjectured on this basis that the turbulence would cascade more quickly in the perpendicular direction of k-space, leading to tubular Alfvén turbulence with $k_{1,\perp} \gg k_{3,\perp}$.

In this paper, in Sections 2 and 3 (see also Chandran 2000), it is noted that the tubular feature of Alfvén turbulence would significantly affect the nature of the resonant cyclotron particle acceleration because a particle whose parallel motion is in
resonance with the wave motion would, for most pitch angles, pass through many perpendicular wavelengths, leading to a rapidly changing direction of the electric field that accelerates the particle. This causes the acceleration to be less efficient for low-ridgidity particles. On the other hand, it is also noted here that the acceleration becomes even more selective in favor of high-rigidity particles and therefore favors partially ionized heavy elements, and especially ultraheavy elements. It is shown that the acceleration time is constant in energy, leading to exponential growth in the energy content of superthermal particles, and with a faster growth time for partially ionized heavy ions. In Sections 4 and 5, comparisons with observations are made, and it is argued that observations of impulsive solar flares are consistent both with the dramatic enhancement of high-rigidity ion species and with low overall efficiency, so that cascading turbulence remains an attractive candidate for impulsive solar energetic particle events.

2. BASIC IDEA

The mathematical illustration of selective acceleration given in E79 was simplified. It was assumed that turbulent energy cascades monotonically to high $k$ at a rate $dk/dt$ that is a given function $U(k)$ of $k$, which would give a cascade time of $k/(dk/dt) = k/U$. In fact, the cascading is a nonlinear process and its rate is more correctly expressed in proportion to the dimensionless amplitude of the turbulence $VW(k)/k(B^2/8\pi)$. However, Sridhar & Goldreich (1995) have argued that the level of turbulence adjusts itself so that the cascade time is $t_{\text{cascade}} \sim 1/v_A k_0$, in which case $U(k)$ can indeed be expressed independently of $WV(k)dk$.

The condition for cyclotron resonance is that $k_0 v_\perp - \omega - n_0 \omega_c = 0$. For nonrelativistic particles, this is a condition on $v_\perp \sim [E/m]^{1/2}$. Let us focus on the $n = 1$ resonance, and, for simplicity, on any single species of ions. Highly super-Alfvénic particles $v_\perp \gg v_A = k_0^{-1} \omega$ of velocity $v$ satisfy the resonance condition so the spectral energy density can be expressed as a function of the parallel velocity of the particles that resonate with it, i.e., $W(V(k)dvk \equiv W(v)dv_\perp$. The cascade time at a given wavenumber is then naturally expressed in terms of the velocity of the resonant particles as $t_{\text{cascade}} = v_\perp/k_0 \omega_c$.

The average diffusion of particles in energy space due to mode $k$ is given by $D_{EE} = \langle (dE/dN) \rangle_{k} \eta_{k} \sim (dE/dt)^{2}$, where $d\theta \sim \omega_{c}/\Delta E$, is the correlated energy change over a correlation time $\Delta t$, and where $\eta_{k}$ is the fraction of particles that remain in phase with the wave over the correlation time. The energy change is due to electric fields in the observer frame acting along the particle velocity. For Alfvén waves, the electric field $E$ is perpendicular to the magnetic field, so $dE/dt = ev_\perp \cdot E$ and the phase correlation time, $\tau_{e}$, of the wave over which resonant particles are affected is, according to Sridhar & Goldreich, $\tau_{e} \sim 1/k_0 v_A$. The electric field $E_k$ due to the $k$th mode is given by $E_k = u_k \times B \approx u_k B_2$. The fraction $\eta_{k}$ of the $k$th mode is given by $\eta_k = u_k \cdot B \approx u_k B_0$. The equation $\eta_\perp \sim (\Delta \theta/v)$ of particles at $v_\perp \sim \omega_c/k_0$ that resonate with the wave is determined by the criterion that they gain or lose a phase of less than $\pi/2$ over the correlation time $\tau_{e}$ (i.e., $\Delta \theta/\tau_{e} = \pi/2k_0$), and, assuming $\tau_{e} \sim t_{\text{cascade}}$, $\eta_{k} \sim v_\perp/v_0$.

Of those that are within the parallel resonance, the fraction $\eta_{k} = 1 - \cos \theta$ of particles whose pitch angle $\theta$ is small enough that their gyration do not carry them across a distance of more than $1/2\pi$ of a perpendicular wavelength $2\pi/k_0$, i.e., those obeying $k_0 r_\perp \sim (k_0 v_\perp/\omega_c) \lesssim 1$, can be small when $k_0 \gg k_1$, which lends added importance to the modes $k_0 \ll k_1$. Finally, we can write

$$D_{EE} = e^2 B_0^2 \sum_k u_k^2 \overline{v}_{\perp k} \varepsilon \langle k \rangle v_1 \approx \sum_k m \overline{u}_k^2 m \overline{v}_{\perp k} \omega_c. \quad (1)$$

Below we will be concerned with nearly isotropic particle distributions, but with anisotropic wave spectra, so we have assumed above that parallel and perpendicular velocities are typically of the same order for most of the particles, and, in Equation (1), $v_\perp$ can be replaced in any pitch angle average by $2\pi^2/3 = 2v_A^2$. The factor $\eta_\perp$ essentially selects the modes $k_{\perp} \lesssim k_1$ in the sum, as will be seen below.

The acceleration time $\tau_a = E^2/D_{EE}$ is typically longer than the cascade time $\tau_{as} = v/\epsilon \omega_c$, by the factor $(v/v_0)^2(\omega_A/v_\perp)^2$. The power per thermal proton mass absorbed by a resonant particle of velocity $v_\perp$ and perpendicular velocity $v_\perp u_n n(v_\perp) v_\perp/v_\perp$ exceeds the cascade power per thermal proton mass $u^2 v_\perp \omega_c/2$ only if the ratio $n(v_\perp) / n_{th}$ of the number density of resonant particles $n(v_\perp) v_\perp$ to the total $n_{th}$ exceeds $(u_A/v/v_\perp)^2/\eta_\perp$. This condition cannot be met unless the fraction of resonant particles exceeds $v_A/v$. Unless the plasma is weakly magnetized or the resonant particles are already highly superthermal, it does not appear that the cascading turbulence could be damped until it cascades nearly down to scales where it could damp the tail of the distribution of typical thermal ions.

Let us then assume the turbulence cascade proceeds as in Sridhar & Goldreich (1995) without too much energy absorption by resonant particles. The three-dimensional energy spectrum, by their arguments, is given by $WV(k,v_0)$, $k_0^{-10/3} g(k_0^{-2/3} k_0^{-1/3}) \equiv k_0^{-5} f(k_0^{-2/3} k_0^{-1/3})$ where $k_0$ is the maximum wavenumber at which the turbulence is reasonably isotropic, and $g$ and $f$ are functions that decline sharply beyond an argument of the order of unity. This means that the wave energy $\int WV(k,v_0)dvk_0dkk_0 \approx Wk_0^3 \propto k_0^{-2} v_0^2 \propto E$. In deriving this result, we have taken the integral over $k_0$ from $k_0 = 0$ only out to $k_0 = k_1$. The reason for this is that waves with $k_0 \gg k_1$ do not effectively accelerate the particles because their characteristic gyroradius $v_0/\omega_c$, as defined by the resonance condition, cuts across many perpendicular wavelengths for pitch angles of the order of unity.

Alternatively, we could have considered particles with very small pitch angle, $\theta \ll k_1/k_0 \approx 1$, but they comprise only a small fraction of the total number of particles at a given energy (i.e., $\eta_\perp < 1$) and also absorb less energy because they have such a small $v_\perp$. So in the end, most of the energy is absorbed by particles with larger pitch angles ($\eta_\perp > 1$) from the more parallel propagating waves.

The energy diffusion rate $D_{EE}$ then, by Equation (1), scales as $E^2 W(k_{\perp},0) k_{\perp}^2 \omega_c \approx Ek_{\parallel}^{-2} \omega_c$, where $k_{\parallel} = \omega_c/v_\parallel \approx \omega_c/v$. The acceleration time $E^2/D_{EE}$ is then independent of energy within any given ion species. This means that the typical particle energy grows exponentially with time in the absence of escape.

Significantly, it also implies that the acceleration time for partially ionized heavy elements is shorter than for protons because, although their cyclotron frequency is smaller by a factor $Q/M$ (where $Q$ and $M$ are in units of protons charge and proton mass, respectively), the factor $k_{\perp}^{-2}$ is larger by $(M/Q)^2$. Altogether, the acceleration rate of a partially ionized heavy atom is $M/Q$ times that of a proton. Because the energy grows exponentially in time, the enhancements of ultrarelativistic elements at high energies can be very large.
3. A FORMAL DERIVATION OF THE ACCELERATION RATE

We now present a more formal calculation justifying the above arguments. The energy diffusion rate is given by

\[
D_{EE}(v) = \frac{\langle \Delta E(t) \rangle^2}{t} = \left( \int_0^t q v \cdot \mathbf{E}(x(t'), t') dt' \right) \times \left( \int_0^t q v \cdot \mathbf{E}(x(t''), t'') dt'' / t \right),
\]

where

\[
x(t) = x_n + v_n \hat{z} + r_g \sin(\omega_n t + \phi_n) \hat{x} + r_g \cos(\omega_n t + \phi_n) \hat{y}.
\]

\[
v(x(t)) = v_n \hat{z} + r_g \omega_n \cos(\omega_n t + \phi_n) \hat{x} - r_g \omega_n \sin(\omega_n t + \phi_n) \hat{y}.
\]

\[
\mathcal{E}(x, t) = Re \int \int \int_{DEE} e^{i(k x - \omega t + \phi(k))} d^3 k
\]

\[
= Re \int \int \int -u(k) / c \times B_0 e^{i(k x - \omega t + \phi(k))} d^3 k
\]

and

\[
v \cdot \mathbf{E}_k = B_0 (u_x(k) v_y - u_y(k) v_x) e^{i(k x - \omega t + \phi(k))} / c
\]

\[= B_0 r_g \omega_n [u_x(k) \cos(\omega_n t + \phi_n)] e^{i(k x - \omega t + \phi(k))}.
\]

We assume that the Alfvén turbulence is axisymmetric around \( \hat{z} \) so that we can, without loss of generality, consider an Alfvén mode with \( k \) in the \( zx \)-plane. In the Alfvén mode, \( u \parallel \hat{y} \), and

\[
v \cdot \mathbf{E}_k = B_0 r_g \omega_n [u_x(k) \cos(\omega_n t + \phi_n)] e^{i(k x - \omega t + \phi(k))} / c
\]

Using the identities

\[e^{iz \sin \phi} = \sum_{n=-\infty}^{\infty} J_n(z) e^{in\phi},\]

and

\[\frac{2n}{z} J_n(z) = J_{n-1}(z) + J_{n+1}(z),\]

we can write

\[
v \cdot \mathbf{E}_k = B_0 r_g \omega_n [u_x(k) \cos(\omega_n t + \phi_n)]
\]

\[\times e^{i(k v_n \hat{y} + r_g \sin(\omega_n t + \phi_n) \hat{x})} / c\]

\[= \frac{1}{2} B_0 r_g \omega_n u(k) \sum_{n=-\infty}^{\infty} \left( J_{n-1}(k r_g) + J_{n+1}(k r_g) \right)
\]

\[\times e^{i(k v_n + n \omega_c - \omega) t + \phi(k)} \hat{x} / c\]

\[= B_0 \omega c / k \sum_{n=-\infty}^{\infty} n J_n(k r_g)
\]

\[\times e^{i(k v_n + n \omega_c - \omega) t + \phi(k)} \hat{x} / c.\]

We invoke the axisymmetry of \( u(k) \) around the \( z \)-direction and write

\[D_{EE}(v) = \int dk \int \int d^3 k \int d(t' + t'') / 2
\]

\[\times \int_0^t \left( t' - t'' \right) q^2 \omega^2 \left( 2 \right.
\]

\[\times e^{i(k v_n + n \omega_c - \omega) t + \phi(k)} \hat{x} / c
\]

\[\times J_n(k r_g) e^{i(n \omega_c t - im \omega_c t)}\]

\[= B_0 \omega c / k \sum_{n=-\infty}^{\infty} n J_n(k r_g)
\]

\[\times e^{i(k v_n + n \omega_c - \omega) t + \phi(k)} \hat{x} / c.\]

Note that \( t'' - m t' = (n - m) t' + m (t' - t) \), which means that for \( n \neq m \), the integrand oscillates over \( t' \) and does not contribute significantly to \( D_{EE} \). So we henceforth ignore the terms proportional to \( J_n(k r_g) J_m(k r_g) \) for \( n \neq m \).

Assuming that the correlation time of \( \phi(k) \) is small compared to \( t \), the limits of the \( t' - t' \) integral can be taken to be \([-\infty, +\infty] \). We can view the term

\[\int_{-\infty}^{\infty} d(t' - t'') e^{i(k v_n + n \omega_c - \omega)(t' - t'')} \delta [\phi(k) (t') - \phi(k) (t'')] \]

as a Fourier component of \( e^{i(\phi(k) (t') - \phi(k) (t''))} \), and the ensemble average,

\[\int_{-\infty}^{\infty} d(t' - t'') e^{i(k v_n + n \omega_c - \omega)(t' - t'')} \delta [\phi(k) (t') - \phi(k) (t'')] \]

as a Fourier component of \( \{e^{i[\phi(k) (t') - \phi(k) (t'')]}\} \). If the randomization of phases proceeds as diffusion in \( \phi(k) \) space, then the probability distribution of \( \{\phi(k) (t') - \phi(k) (t'')\} \) is given by

\[p[\phi(k) (t') - \phi(k) (t'')] = 2 \pi / (-1)^{1/2} \exp \left[ \left( \phi(k) (t') - \phi(k) (t'') \right)^2 / 2 \phi(k) (t'') \right].\]

(19)

then

\[\{e^{i[\phi(k) (t') - \phi(k) (t'')]}\} = \int p[\phi(k) (t') - \phi(k) (t'')] e^{i[\phi(k) (t') - \phi(k) (t'')]}
\]

\[\times d[\phi(k) (t') - \phi(k) (t'')]
\]

\[= \exp \left[ - \left( \phi(k) (t') - \phi(k) (t'') \right)^2 \right],\]

(20)

where \( \Gamma = D \phi(k) / 4 \), and

\[\left\{ \int_{-\infty}^{\infty} d(t' - t'') e^{i(k v_n + n \omega_c - \omega)(t' - t'')} \delta [\phi(k) (t') - \phi(k) (t'')] \right\}
\]

\[= \frac{2 \Gamma}{(k v_n + n \omega_c - \omega)^2 + \Gamma^2}.\]

(21)

If \( \Gamma \sim k v_n \), as posited by Goldreich & Sidhar, then we can make the approximation

\[\frac{\Gamma}{(k v_n + n \omega_c - \omega)^2 + \Gamma^2} \approx \pi \delta (k v_n + n \omega_c - \omega).\]

(22)
Using the above, we now write

\[
D_{EE}(v) = 2\pi \int dk_\parallel \int 2\pi k_\perp dk_\perp k_\perp^2 \omega_c^2 \frac{u^2(k_\parallel, k_\perp) B_\perp^2}{k_\perp^2 c^2} \times \sum_{-\infty}^{\infty} n^2 f^2_{n0}(k_\perp r_g) \delta(k_\parallel v_0 + n\omega_c - \omega). \tag{23}
\]

We now simplify further by assuming that \( v \gg v_A \), so that \( \omega = k_\parallel v_A \) is negligible compared to \( k_\perp v \). Then

\[
D_{EE}(v) \simeq 2\pi v_0^{-1} \int 2\pi k_\perp dk_\perp k_\perp^2 \omega_c^2 \frac{B_\perp^2}{k_\perp^2 c^2} \times \sum_{-\infty}^{\infty} n^2 u^2(n\omega_c/v_\parallel, k_\perp) f^2_{n0}(k_\perp v_\parallel/\omega_c). \tag{24}
\]

In the theory of Sidhar & Goldreich, \( u^2(k_\perp, k_\parallel) \), which is proportional to the wave energy, declines steeply for \( k_\perp \gg k_A \), \( \equiv k^{3/2}l^{1/2} \), where \( l \) is the scale at which \( u_\perp \sim v_A \), i.e., where \( p u^2(k_\perp, k_\parallel) \rho_\perp^2 \sim B_\perp^2 /8\pi \gamma \). For \( k_\perp \leq k^{3/2}l^{1/2} \), the quantity \( u^2 \) should be roughly constant in \( k_\perp \), as it is established by mode coupling which should equalize the energy among modes, and it should scale as \( k^{-5} \). The factor \( f^2_{n0}(k_\perp v_\parallel/\omega_c) \), \( n \neq 0 \), peaks when its argument is of the order of unity, and declines asymptotically at larger arguments as \( k^{-1} \), so the integrand declines at large \( k_\perp \) at least as fast as \( k^{-2} \). For resonant ions obeying \( v_\parallel \lesssim v_\perp \sim \omega_c / k_\perp \), the decline in \( J_n \) begins at smaller \( k_\perp \) than the decline in \( u^2 \), so we can take \( u^2(k_\perp, k_\parallel) \) to be approximately constant in \( k_\perp \) over the significant range of the integral.

So

\[
u^2(l^{-1}, l^{-1})l^{-3} \simeq v_A^4 \tag{25}\]

and, for \( k_\perp \lesssim k^{3/2}l^{1/2} \),

\[
u^2(k_\perp, k_\parallel) \simeq v_A^4 l^{1/2} |k_\parallel|^{-5} \tag{26}\]

whence

\[
D_{EE}(v) \simeq 4\pi v_0^{-1} \int 2\pi k_\perp dk_\perp k_\perp^2 \omega_c^2 \frac{B_\perp^2}{c^2} \sum_{-\infty}^{\infty} n^2 \psi_n^2 u^2(n\omega_c/v_\parallel, \omega_c/v_\parallel) \times \sum_{-\infty}^{\infty} n^2 f^2_{n0}(k_\perp v_\parallel/\omega_c) \]

\[
= 4\pi v_0^{-1} \int 2\pi k_\perp dk_\perp k_\perp^2 \omega_c^2 \frac{B_\perp^2}{c^2} \sum_{-\infty}^{\infty} n^2 \psi_n^2 v_\parallel^2 |\text{ln}v_\parallel/\omega_c|^2 \omega_c \sum_{-\infty}^{\infty} n^{-3} \psi_n \]

\[
= 4\pi \text{mv}_A^4 \text{mv}_\parallel^2 |v_\parallel/\omega_c|^2 \omega_c. \tag{27}
\]

where \( \psi_n \equiv 2\pi \int_0^\infty J_n^2(x) \text{d}x \ln x \).² (In contrast to the above, Equation (9) of Chandran 2000 assumes that \( u^2(k_\perp, k_\parallel) = 0 \) for \( k_\perp \lesssim k^{3/2}l^{1/2} \). This would seem to neglect the most important modes, which obey \( k_\perp \sim k_\parallel \), and hence \( k_\perp \ll k^{3/2}l^{1/2} \).)

Equation (27), valid for \( k_\parallel \gtrsim 1/l \), implies, as argued qualitatively in the previous section, that the acceleration time \( t_{\text{acc}} \), over which \( D_{EE} t_{\text{acc}} = E^2 \), is constant, so particles subjected to this acceleration gain energy exponentially in time. As argued qualitatively in the previous section, the acceleration rate at a given velocity is inversely proportional to the cyclotron frequency \( \omega_c \), so that partially ionized heavy ion species are preferentially accelerated. For the larger spatial scales, \( k_\parallel \lesssim 1/l \), on the other hand, strong, isotropic Kolmogoroff turbulence is probably the more appropriate description, and second order Fermi acceleration by cyclotron damping would proceed at a rate \( u(r_g)^2 /v^2 \tau(r_g) \), where \( u(r_g) \) is the turbulent velocity at the scale of \( r_g \), and \( 1/\tau(r_g) \) is the coherent interaction time with an “eddy” and is equal to \( \omega_c \).³ For a given \( \omega_c \), and for the Kolmogoroff spectrum \( u(r_g) \propto r_g^{1/3} \), the acceleration rate varies as \( r_g^{1/3} \omega_c/v^2 \propto v^{-4/3} \omega_c^{1/3} \). This does not favor species with velocity or large M/Q. The cascade model of Goldreich & Sidhar, on the other hand, gives an acceleration rate for test particles that is inversely proportional to \( \omega_c \), thus favoring partially ionized ultraheavies among particles over lower Ze/M ions species at a given velocity, in contrast to isotropic turbulence, in which the acceleration rate of a test particle at a given velocity is proportional to \( \omega_c^{1/3} \).³

We have not yet taken into account the backreaction of the cyclotron damping on the turbulence, which would surely result in the presence of particles that gain energy exponentially with time. This is considered in the next section.

4. BACKREACTION OF PARTICLES ON THE ALFVENIC TURBULENCE

Alfvenic turbulence without damping is difficult to solve formally, and even more difficult when damping is included. We may identify several timescales in the problem associated with wave–wave and wave–particle interactions on a given spatial scale: the cascade times \( t_{\text{cascade,} \perp} \) and \( t_{\text{cascade,} \parallel} \). In the \( k_\perp \) and \( k_\parallel \) directions, respectively, and the wave damping timescale due to particle acceleration. Goldreich & Sidhar argued that at all spatial scales smaller than \( l \) but larger than the dissipative range, the strength of the turbulence reached a level where \( t_{\text{cascade,} \perp} \sim t_{\text{cascade,} \parallel} \sim 1/k_\parallel v_\parallel \). They called this approximate equality between parallel and perpendicular cascade times “critical balance.” Their argument is that resonant three-wave coupling among Alfven waves is forbidden and the cascade in \( k_\parallel \) can come about only if there is sufficiently strong mode coupling to broaden mode coupling resonance. Cascade in the parallel direction, according to this argument, must “wait” for perpendicular cascade. A similar argument could be made in the reverse direction—that perpendicular cascade cannot proceed more rapidly than the time \( 1/k_\perp v_\perp \) required for the waves to feel the parallel dimension, because two-dimensional turbulence, having too many conserved quantities of motion, does not cascade to high wavenumbers.

In E79, where the distinction between perpendicular and parallel cascade was not made, it was argued that in steady state (what would be later called) critical balance should exist between the turbulent cascade time and the damping time, so that just enough turbulence could trickle down to small spatial scales.

¹ We assume that the strong turbulence randomizes the ion’s motion on the timescale of \( \omega_c^{1/3} \). If the particle’s velocity exceeds that of the turbulence, as we may assume for superthermal particles in subsonic turbulence that this timescale is shorter than that of the fluid motion.

² Although this integral would diverge logarithmically for \( n = 0 \), the \( n = 0 \) mode is erased by the \( n^2 \) coefficient. The integral is well defined for \( n \gtrsim 1 \).
scales to resonantly accelerate low energy ions, just enough to supply the energetic population that damps the turbulence. The simplified mathematical derivation of this is based on a simple cascade model in which there is an ordered flow of energy from low \( k \) to high \( k \), according to the spectral evolution equation

\[
\frac{\partial W(k)}{\partial t} = -\frac{\partial}{\partial k} (W(k)U(k)) - D(k). \tag{28}
\]

Here \( W(k)dk \) is the energy density in turbulence in waves between the wavenumbers \( k \) and \( k+dk \), \( U(k) \sim k/\tau \) is the \( k \)-velocity with which turbulent energy cascades through scale \( k \) (from \( \sim k \) to \( \sim 2k \) over time \( \tau(k) \), say). \( D(k) \) is the rate at which wave energy is damped by the particles. Let us assume for the purposes of analysis, as in E79, that there is a dominant ion species. Defining \( W(E)dE \equiv W(k)dk \), where \( E \) is the ion energy that cyclotron damps waves at wavenumber \( k \); i.e., \( k^{-1} = r_g \equiv mc^2/eE = (2E/m)^{1/2}\omega_c^{-1} \), we can write

\[
\frac{\partial W(E)}{\partial t} = \frac{\partial}{\partial E} [W(E)U(E)] - \left[ \frac{dW(E)}{dt} \right]_{\text{damping}}, \tag{29}
\]

where \( E/U(E) \sim \tau_{\text{cascade}} \) and the spectral evolution of the particles obeys

\[
\frac{\partial f(E)}{\partial t} = \frac{\partial}{\partial E} \left[ \frac{dW(E)}{dt} \right]_{\text{damping}}. \tag{30}
\]

Now suppose \( [dW(E)/dt]_{\text{damping}} = W(E)dE(\partial f/\partial E), \) where the energy diffusion coefficient, \( W(E)dE \equiv D_{EE} \), is written in a form that is manifestly proportional to the wave energy density \( W(E) \). The steady state solution to Equations (29) and (30) is then

\[
W(E) = U^{-1} P(E_{\text{max}}) \exp \left( -\int_E^{E_{\text{max}}} dE' \frac{\partial f}{\partial E} U^{-1} dE' \right), \tag{31}
\]

where \( P(E_{\text{max}}) \) is the turbulent power per unit volume flowing in from the largest scale that can accommodate the particles before they escape and

\[
f(E) = \int_E^{E_{\text{max}}} \frac{U(E)}{d(E)E} dE. \tag{32}
\]

This solution is time-independent and describes a constant upward flux \( F \) of particles in energy space. Because the exponent in the right-hand side of Equation (31) is \( ln E \), the solution describes a depletion of cascade power, \( P(E) = W(E)U(E) \), as the wave energy cascades to smaller spatial scales (where it is resonant with particles of smaller \( E \) ), that is a fixed fraction of the total; i.e.,

\[
dln P(E)/dln E = 1 \tag{33}
\]

and

\[
P(E) = EF. \tag{34}
\]

The time-dependent analog of this solution is, to a fair approximation, the same as in the above, but with \( E_{\text{max}} \) replaced by \( E_{\text{max}}(t) \), where \( E_{\text{max}}(t) \) is defined by the condition that the total energy in the particles \( \int_0^{E_{\text{max}}(t)} f(E)dEdE \) and in the turbulence \( \int_0^{E_{\text{max}}(t)} W(E)dE \) equals the total amount of accumulated energy \( P(E_{\text{max}})t \) that has been introduced into the system over time \( t \).

Equation (34) implies that the damping rate of wave energy by the particles is equal to the cascade rate, i.e., that the damping and cascading are in “critical balance.” This follows from the fact that the flux removed from the turbulence at a given spatial scale by the resonant particles of corresponding energy \( E \) is proportional to \( E \), so \( P(\alpha E) = \alpha F(E) \). The resonant particle absorb much of the energy at any given scale, but they allow just enough to cascade through to smaller scales so that the acceleration of less energetic particles is, in turn, just enough to replace them as the acceleration moves them to higher energy bins.

Do we then conclude that in a three-dimensional description of wave energy cascade, parallel cascade, perpendicular cascade, and wave damping all proceed at the same rate? Unfortunately there is one complication: only waves with \( k \parallel \ll k \perp \) are efficiently damped by the particles, whereas most of the wave energy, according to Goldreich & Sridhar, cascades perpendicularly to \( k \perp \gtrsim k \parallel \). The possibility must be considered that wave energy can then make an “end run” at \( k \parallel \gg k \perp \) around the resonant particles and penetrate to high wavenumber without losing much energy to the particles.

There are, a priori, at least two possible resolutions for this.

1. Enough wave energy is backscattered to lower \( k \parallel \) because of the energy sink there, so that most of it is absorbed by the particles before cascading to high \( k \). In this case, the one-dimensional approximation may retain validity, and the above analysis would apply with \( f(E)dE = \int P(E)dE = \int \rho u^2(k_{\parallel},k_{\perp})dk_{\perp}dk_{\parallel} \), and \( U(E) = E k_{\parallel} v_A \). Using Equation (26), we can write

\[
\int W(E)dE = \int \rho u^2(k_{\parallel},k_{\perp})dk_{\perp}dk_{\parallel} \sim u^2(k_{\parallel},k_{\perp})k_{\parallel}^2 k_{\perp}^2 \sim \rho v_A^2/k_{\parallel}^4, \tag{35}
\]

where \( k_{\perp} \equiv (k_{\perp}/l)^{1/2}/l \) is the maximum perpendicular wavenumber of the energy-rich modes at a given \( k_{\parallel} \).

2. At \( k_{\parallel} \gg 1/l \), most of the energy cascades as it would in the absence of damping by particles. In this case, the question is how such turbulence eventually damps when its energy is to be found in the high \( k_{\perp}, k_{\parallel} \gg k_{\perp} \). Does it damp on thermal particles or on nonthermal ones? Electrons or ions?

For Alfvénic turbulence, it is easy to show that for \( k \ll \omega_c/c, \) most of the current, as measured in the lab frame, is borne by ions. This means that by the time the turbulence cascades down to the scale of the ion gyroradius, strong magnetic turbulence is essentially heat, as the effective collision time of a typical current-bearing ion is of the order of the gyropotential. This may be the damping scale of the Alfvénic turbulence, unless a population of ions with larger gyroradii coherently cyclotron damps the turbulence at larger spatial scales.

We may compare the rates of cascade \( \tau_{\text{cas}}^{-1} = k_{\parallel} v_A \) and of cyclotron damping. The analysis above indicates that the inverse acceleration time for individual ions participating in cyclotron damping is \( \tau_{\text{acc}}^{-1} \sim (v_A/l_{\omega_c})^2\omega_c^{-1}, \) so the particles absorb energy at a rate of \( f(E)E^2\tau_{\text{acc}}^{-1} \), and the wave-energy damps on a timescale of \( \tau_{\text{damp}} = \tau_{\text{acc}} W(E)/f(E)E \sim (v_A/l_{\omega_c})^{-2}\omega_c^{-1} \rho v_A^2/f(E)E^2 |k_{\parallel}|^{-1/2}. \) Here we have used Equation (26) to substitute for \( W(E) = u^2(k_{\parallel},k_{\perp})k_{\perp}^2 k_{\parallel} \). The ratio of cascade timescale \( \tau_{\text{cas}} \) to damping timescale is then given by

\[
\frac{\tau_{\text{cas}}}{\tau_{\text{damp}}} = \frac{[v_A/l_{\omega_c}][f(E)E^2/\rho v_A^2]}{k_{\parallel}^{-1/2}.} \tag{36}
\]
In situations where the turbulence is powered by a stirring mechanism on spatial scales much larger than a thermal ion gyroradius, the quantity \( v_A / \omega_c \) is much less than unity, so if \( f(E) E^2 / \rho v_A^2 \parallel k \) \( \lesssim 1 \), then the turbulence, even if well mixed by mode coupling into the regime \( k \parallel \lesssim k_L \), cascades to scales of thermal gyroradius before being damped by the tail of the thermal distribution.

On the other hand, the ions at the thermal tail are accelerated exponentially in time, which means their energy content \( f(E) E^2 \) grows significantly over a timescale of \( (l / v_A)^2 \omega_c \). If the duration of the event responsible for the stirring and the collisional timescale are both much longer than this, and if the particles do not escape before reaching significantly larger energies, then the particle distribution can evolve to the point where \( (\tau_{\text{cas}} / \tau_{\text{damp}}) \gtrsim 1 \), and the turbulence is absorbed before it reaches scales of thermal gyroradii. Physically, the requirement for this is that the mixing is such that it introduces more turbulent energy density over time than the local magnetic energy density, so that \( f(E) E^2 / \rho v_A^2 \parallel \omega_c / v_A \gg 1 \). In a solar flare, which is powered by magnetic energy, this is a significant constraint, because one expects that the magnetic field energy would be released and fully dissipated within several Alfvén crossing times, and that enough magnetic energy would remain such that the final Alfvén velocity would be within an order of magnitude of the original one.

On the other hand, it is possible that a region of strong magnetic field annihilation stirs up the less magnetized neighboring regions. Consider, for example, a magnetic arch on the solar surface with a length scale \( L = 10^7 \) cm, an equipartition scale \( L = 10^3 \) cm, a field strength of 300 G, and an Alfvén velocity of \( 10^6 \) cm s\(^{-1} \). Then \( \omega_c = 10^6 \) and the acceleration time is \( \tau_{\text{acc}} \sim 10^{5} \) s, which is too long for a solar flare. For a neighboring region, however, take the parameters as \( L = 10^6 \) cm, \( B = 3 \) G, \( v_A = 10^6 \) cm s\(^{-1} \), but let us still assume that the kinetic energy density \( U_k \) is comparable to what it is within the strongly magnetized loop, i.e., \( 10^6 \) times the magnetic energy \( U_B \) in the less magnetized, surrounding region at scale \( L \). Assuming the kinetic energy at scale \( L \) is, as in the Kolmogoroff spectrum, \( (U/L)^{2/3} \) of what it is at scale \( L \), then \( l = (U_k / U_B)^{2/3} L = 10^7 \) cm, the dimensionless parameter \( (v_A / \omega_c)^2 \parallel \omega_c \sim 10^{-2} \), and the acceleration timescale is only about 10 gyroperiods, \( 10^{-2} \) s. This equipartition length \( l \), for a thermal velocity of \( 10^5 \) cm s\(^{-1} \), \( v_A \sim 10^7 \), is much greater than a thermal ion gyroradius, and, in fact, less than the gyroradius of a partially ionized ultrarelativistic. So it would then be reasonable to assume that the turbulence at larger scales is quasi-isotropic with \( u \sim v_A \), and that the particle acceleration and wave damping for resonant particles at these scales is described by Equations (29) and (30), and that the anisotropy predicted by Sridhar & Goldreich does not set in significantly above a thermal ion gyroradius. Given that the accelerated particles \( E \lesssim 1 \) MeV nucleon\(^{-1} \) have energies only about \( 10^3 \) above thermal energies \( \sim 1 \) KeV nucleon\(^{-1} \), there are not enough ultrarelativistic to significantly damp the turbulence, so that we need not consider their back reaction on the turbulence. The fact that rare ultrarelativistic are enhanced even relative to iron in impulsive SEP events, despite the likely conclusion that they do not monopolize the energy budget, suggests that the turbulence is a steeper function of \( k_l \) than a simple Kolmogoroff spectrum. Yet it is possible that all of the ultrarelativistic are accelerated well beyond thermal energies. So perhaps a typical impulsive SEP event is properly parameterized somewhere between each of the two extreme examples given just above. It is also possible that a large fraction of iron-like nuclei and preaccelerated species such as \(^3\)He are also picked up by the cyclotron damping mechanism and accelerated well beyond thermal energies. There are enough of them to possibly weaken the turbulence enough that it goes into the Sridhar–Goldreich regime, and at this point the situation would be sufficiently complex as to be beyond the scope of this paper.

In clusters of galaxies, the virial motion of galaxies can power Alfvénic turbulence. Considering the parameters \( n = 10^{-2} \) cm\(^{-3} \), \( B = 10^{-7} \) G, \( kT \sim 10 \) keV. Then \( v_A \sim 30 \) km s\(^{-1} \), the ion gyrofrequency is \( \omega_c \sim 10^{-3} \) s\(^{-1} \), and the gyroradius is \( r_g \sim 10^{11} \) cm. Let us choose \( u^2 = 10^{-2} kT / m_p = [10^7 \) cm s\(^{-1} \)]^2 \sim 10 v_A^2 \). The collisional mean free path is of the order of \( 10^{22} \) cm, and the collision time of the order of \( 10^{14} \) s. If turbulence can be excited on scale \( L \) much smaller than this, then the acceleration time is

\[
\tau_{\text{acc}} = \left( l / r_g \right)^2 \omega_c^{-1} \sim 10^3 (L / 10^{11}) \text{cm}^2 \text{s}.
\]

If the winds from individual stars of galaxies “rake” the intracluster medium and excite small scale turbulence at scale \( L \), then ions can be accelerated until their gyroradii are comparable to \( L \). For \( L \sim 10^{16} \) cm, this would imply that relativistic energies are attained—enough for them to generate energetic electrons in nuclear collisions.

5. SUMMARY AND DISCUSSION

We have gone back to an earlier work (Eichler 1979b) and reexamined it in light of the prediction of Sridhar & Goldreich (1995) that Alfvén wave turbulence becomes tubular as it cascades to higher wavenumber. Tubular eddies aligned with the magnetic field (i.e., wavenumber nearly perpendicular to the field) are less efficient at accelerating particles via cyclotron resonance because resonant particles, having resonant frequencies (i.e., many perpendicular wavelengths) during a single gyration. On the other hand, because the spectrum is a much steeper function of parallel wavenumber than in older theories (e.g., Kraichnan 1965), the partially ionized heavy elements, having large gyroradii, enjoy a stronger advantage (i.e., a shorter acceleration time) to lighter species with smaller gyroradii. We found the acceleration rate in Alfvén turbulence with Sridhar–Goldreich geometry to be constant with energy in any one ion species, but with an acceleration rate that is proportional to the mass-to-charge ratio. This means that particles gain energy exponentially with time, and that, in the initial phases, the abundance enhancement of ultrarelativistic can be exponentially larger relative to lighter species. It also suggests flat energy spectra until escape limits further acceleration so that the energy resides mostly in particles that have undergone many e-folds in energy. It remains an open question whether the nonthermal tail of the spectrum reaches steady state, but, as the observed spectra are somewhat steeper than we have calculated, perhaps it does not. Rather, it is quite possible that the spectra we observe represent the superposition of particles from many acceleration sites, each with its own time-limited maximum energy, and this would lead to dramatic enhancement of partially ionized ultrarelativistic at the higher energies.

We have shown that if strongly super-Alfvénic turbulence is stirred up by solar flares, then the Sridhar–Goldreich mode of cascade may set in at scales \( l \) that are actually smaller than the thermal gyroradii of heavy ions, under the assumption that they move at the same “thermal” velocity at the lighter species.
(Eichler 1979a) due to other collisionless dissipative processes. In this case, the left-hand side of Equation (37) can be large and some heavy ion species (e.g., oxygen and iron, which are sufficiently abundant that acceleration out to ~1 MeV nucleon\(^{-1}\) could in principle consume most of the energy budget) can damp the turbulence before it cascades to small enough scales to cyclotron resonate with protons, as discussed in E79. If such a situation was met, it would further enhance the preferential acceleration of ultraheavies relative to lighter species.

Observations suggest that impulsive SEP events do not coincide with coronal mass ejection, but rather originate at the interfaces between active regions and coronal holes (Wang et al. 2006). This is consistent with the picture that turbulent energy is exported from the strongly magnetized active region to the less magnetized coronal hole, where it is more super-Alfvénic and thus less tubular.

It is still an open question whether the heavier ion species are merely preferentially accelerated, as in a mostly perpendicular cascade of turbulent energy, or whether they actually monopolize the energy budget of the cascading turbulence, which is more likely to be true for isotropic than for tubular Alfvén turbulence. Either way, the acceleration rate is a strongly increasing function of gyroradius, and ultraheavies can thus be strongly enhanced relative to protons and other lighter species at high energies. However, the fact that rare ultraheavies (\(Z \gg 26\)) are enhanced even relative to iron suggests that monopolization of the energy budget, which is not likely in the case of rare ultraheavies, is not the only mechanism for the enhancement. This would disfavor simple isotropic Kolmogoroff or Kraichnan pictures of turbulence.

A key observational quantity that might distinguish between these two distinct scenarios for the damping of a turbulent cascade is the fraction of flare energy that goes into energetic particles, as opposed to heat and/or mass ejection. Monopolization of the energy budget by superthermal heavy ions would predict that most of the cascading turbulence went into the superthermal particles rather than heat. However, other loss mechanisms, such as acoustic losses and nonlinear Landau damping, need to be considered before this matter can be fully settled. It is clear that any dissipation mechanism steepens the turbulence spectrum as it cascades to higher wavenumber, making the acceleration less efficient but increasing preference for high-rigidity ion species.

It is therefore significant that observations of \(^3\)He-rich flares are consistent with a low-acceleration efficiency. We may estimate that a coronal mass ejection (CME) requires at least \(10^{11}\) g (Wang et al. 2006), hence at least \(10^{26}\) erg, to be detected, and CMEs that have been reported are typically more energetic than this by several orders of magnitude (Shimojo & Shibata 2000). In contrast, the fluence of SEPs, at energies of the order of 1 MeV, is of the order of \(10^3\) \(^4\)He nuclei per cm\(^2\), which at 1 A.U. corresponds to an isotropic-equivalent energy of \(<10^{25}\) erg. The impulsive SEPs are apparently observed only when the field lines associated with the boundaries of active regions intersect the ecliptic—there is no indication that they are convected out of the corona, along with ejected mass, over a broad solid angle—indicating that the particles escape only on isolated field lines, and that the true energy in escaping SEPs for these events is many orders of magnitude less than the isotropic-equivalent energy.

The presence of some protons and \(^4\)He nuclei in the impulsive SEP population is not unexpected. The enhancement is typically a sensitive but smooth function of mass to charge for all elements from He to ultraheavies, given reasonable assumptions about the charge states in a hot corona (Mason et al. 2004). The enhancement can be fitted as being proportional to \((M/Q)^{26}\). It may be that protons, which are not plotted in Mason et al. (2004), are somewhat more abundant than simple extrapolation of their formula (Reames 1999). On the other hand, it could be that there is additional heating due to other mechanisms which competes with cyclotron damping when the rate of the latter is sufficiently small.

We have suggested here that SEP populations might shed light on how Alfvén turbulence cascades and damps. At present, the picture of relatively small energy content in SEPs, together with a systematic enhancement of ion species as a strong function of their charge to mass ratio, is consistent with the Sridhar–Goldreich picture of tubular cascading of Alfvén turbulence. More observational details are needed to strengthen this conclusion.

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\[ E \ll 10^{25} \text{ erg} \]