Pair-density-wave in the strong coupling limit of the Holstein-Hubbard model

A pair-density-wave (PDW) is a superconducting state with an oscillating order parameter. A microscopic mechanism that can give rise to it has been long sought but has not yet been established by any controlled calculation. Here we report a density-matrix renormalization-group (DMRG) study of an effective \( t-J-V \) model, which is equivalent to the Holstein-Hubbard model in a strong-coupling limit, on long two-, four-, and six-leg triangular cylinders. While a state with long-range PDW order is precluded in one dimension, we find strong quasi-long-range PDW order with a divergent PDW susceptibility as well as the spontaneous breaking of time-reversal and inversion symmetries. Despite the strong interactions, the underlying Fermi surfaces and electron pockets around the \( K \) and \( K' \) points in the Brillouin zone can be identified. We conclude that the state is valley-polarized and that the PDW arises from intra-pocket pairing with an incommensurate center of mass momentum. In the two-leg case, the exponential decay of spin correlations and the measured central charge \( c = 1 \) are consistent with an unusual realization of a Luther-Emery liquid.

INTRODUCTION

In a pair-density-wave (PDW) state, the Cooper pairs have a nonzero center of mass momentum\(^1\). Long-studied versions of such states are the Fulde–Ferrell–Larkin–Ovchinnikov (FFLO) phases\(^2,3\) that can arise in the context of BCS theory in the presence of a small degree of spin magnetization. However, it has been conjectured that a PDW could also arise from a strong-coupling mechanism and could be responsible for the dynamical layer decoupling phenomena observed in certain cuprate materials\(^4,6,8\). While PDW states have been shown to be energetically competitive in certain mean-field and variational calculations\(^7,10\), and evidence has been sought in numerical studies of generalized \( t-J-V \) and Hubbard models\(^11-14\), PDW long-range order has not been established in any controlled calculation in two or higher dimensions. In one-dimensional systems—which can be reliably treated using density-matrix-renormalization-group (DMRG)—the closest one can get to such order is PDW quasi-long-range order with a divergent susceptibility. However, even this has only been seen to date for the case of commensurability-two PDW order in Heisenberg-Kondo chains\(^17,18\); other reported DMRG sightings\(^14,19,20\) have found PDW correlations that fall off fast enough to yield only finite superconducting susceptibility.

Previously\(^21\), we analyzed a strong-coupling limit of the Holstein-Hubbard model, where the on-site direct electron–electron repulsion, \( U_{\text{e-e}} \), is stronger than the phonon induced attraction, \( U_{\text{e-ph}} \). We showed that the low energy physics is captured by an effective model of a form similar to the \( t-J-V \) model derived from the strong-coupling limit of the ordinary Hubbard model, but in an unfamiliar range of parameters. In the effective model, the electron hopping is exponentially suppressed by a Frank–Condon factor, \( e^{-\frac{U_{\text{e-ph}}}{k_B T}} \), relative to its bare value, \( t \), where \( k_B \) is the optical phonon frequency. Meanwhile, the nearest-neighbor magnetic exchange coupling \( J \) and density repulsion \( V \) are relatively unsuppressed. Thus one can readily access regimes in which the effective interactions are comparable to or larger than the electron kinetic energy. Specifically, we analyzed the model on the two-dimensional triangular lattice in the adiabatic limit, where the suppression is large. We found a PDW when the electrons are dilute and the bare hopping integral \( t \) is negative (i.e., the band maximum occurs at \( k = 0 \) while \( \text{minima} \) occur at the \( K \) and \( K' \) points). Unfortunately, in the adiabatic limit, the PDW is a Bose-Einstein condensate (BEC) of real-space pairs, in which the same Frank–Condon factor suppresses the condensation temperature, meaning that this order would be destroyed at exponentially small temperatures.

In the present work, we employ DMRG to study the effective model in a range of parameters suggested by the above-discussed analysis, but now for the case of a finite Frank–Condon factor. We perform DMRG on triangular cylinders with \( N_x \times N_y \) sites with open boundary conditions in the \( x \)-direction and periodic boundary conditions in the \( y \)-direction. The \( x \)-direction is aligned with a primitive vector, as shown in Fig. 1a. \( \hat{e}_{a=1} = (1, 0) \), \( \hat{e}_{a=2,3} = (\frac{1}{2}, \pm \frac{\sqrt{3}}{2}) \) are the three primitive vectors of the triangular lattice. The compactionification of the lattice to a cylinder restricts us to \( N_y \) even. The momentum values in the \( y \)-direction take values \( k_y = \frac{m \phi}{N_y} \), \( m \in Z \), indicated in Fig. 1b. We will primarily focus on the two-leg case but some key findings are also verified in the four- and six-leg cases. For all the cylinders we can treat in this way, we find a divergent PDW susceptibility, as shown in Fig. 2. The PDW state spontaneously breaks time-reversal and inversion symmetries, resulting in a local pattern of equilibrium currents as shown in the inset of Fig. 3a. The state is far from the BEC limit as can be seen in Fig. 3b from the existence of a sharp drop in the electron occupation at well-defined Fermi points corresponding to electron pockets around the \( K \) and \( K' \) points in the Brillouin Zone (BZ). However, the size of the pockets (i.e., the value of \( 2k_F \)) is a factor of two larger than what it would be for noninteracting electrons, which we will argue reflects spontaneous valley polarization. As shown in Figs. 2a, 3c, the PDW ordering wavevector, \( Q \), is incommensurate and density-dependent.

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static susceptibility to PDW order should vary as $\frac{1}{2}$ momenta at the electron density and is not locked to a multiple of the previous prediction in ref. 21 at a short distance. $\nu$ for the pair-pair correlator is decreased at a temperature to zero 22. Thus, the pairing order parameter is defined as $P_{ab}(r) = \frac{1}{N} \sum_{i=1}^{N} \Delta_i(r) \Delta_i(r + r_0)$. Our principle finding is that $P_{ab}(r)$ oscillates in sign and falls slowly in magnitude at a large distance, i.e.,

$$P_{ab}(r) \sim A_{ab}|x|^{-\nu_{SC}} \cos(Qx + \phi).$$ (1)

This can be seen in Fig. 2a, which is a plot of $P_{ab}(r)/A_{ab}|x|^{-\nu_{SC}}$ at large distance for a two-leg cylinder, taking $\nu_{SC} = 1.20$. Without breaking the reflection symmetry across the $x$-direction, $e_2$ and $e_3$ are equivalent, so we only show pairing correlations involving $\Delta_1$ and $\Delta_2$. The fact that all the components of $P_{ab}$ oscillate in-phase with the same wavevector, $Q$, indicates the local s-wave character of the PDW. An illustration of the pair correlation in the four-leg case can be seen in the inset of Fig. 2a, which matches our previous prediction in ref. 21 at a short distance.

Correspondingly, the Fourier transform of the correlator, i.e., the structure factor $S_{ps}^{PDW}(k) \equiv \sum_{r} e^{i k \cdot r} \langle \Delta_i(r) \Delta_i(r + Q) \rangle$, has pronounced peaks at two nonzero momenta and vanishes at zero momentum, as shown in Fig. 2b. Around each peak but outside a cutoff window of width $\delta k_r \sim \frac{2\pi}{\Delta k}$ (to avoid finite-size effects), the structure factor is well-fitted by a functional form $A - B |k_r - Q|^{-\nu_{SC}}$ as is expected, given the long-distance correlation behavior in Eq. (1). It is important to note that the wavevector of the PDW, $|Q|$, appears to be a smooth monotonic function of the electron density and is not locked to a multiple of the momenta at the $K$ points, i.e., the PDW is incommensurate with the lattice.

Since 1D systems generically exhibit emergent Lorenz invariance, we can infer from the equal time correlator that the static susceptibility to PDW order should vary as $\chi(Q) \sim \max[0, T]^{-(-\nu_{SC})}$ as the system size tends to infinity and temperature to zero 22. Thus, $\chi(Q)$ diverges at zero temperature for $\nu_{SC} < 2$, as we find is the case for a wide range of electron densities and model parameters. That the divergence of susceptibility is not restricted to the two-leg case can be seen in Fig. 2c, which shows the structure factor and the extracted exponents at the same electron density $n = 0.05$ for the four and six-leg cases.

**RESULTS**

**Pair-density-wave correlations**

We first examine the equal-time pair-pair correlations. The singlet pairing order parameter is defined on the nearest-neighbor bonds to a given site $r$, as $\Delta_1(r) = \frac{1}{N} \sum_{i=1}^{N} \langle \Delta_i(r) \Delta_i(r + r_0) \rangle$. The pair-pair correlator is defined as $P_{ab}(r) = \frac{1}{N} \sum_{i=1}^{N} \Delta_i(r) \Delta_i(r + r_0) \Delta_i(r_0)$. Our principle finding is that $P_{ab}(r)$ oscillates in sign and falls slowly in magnitude at a large distance, i.e.,

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Another prominent feature of the ground-state is that it spontaneously breaks both time-reversal and inversion symmetries.

Fig. 1 **Illustrations of the lattice studied.** a An illustration of the four-leg triangular cylinder (identifying top row of sites with bottom row of sites). Three primitive vectors are labeled on the nearest-neighbor bonds of a site. b The corresponding first BZ is contained within the black dashed lines with $K$, $K'$ points marked. The blue bands indicate allowed values of momenta for the four-leg cylinder.

Fig. 2 **Pair-density-wave correlations.** a The pair-pair correlator normalized by a power-law decay function $A_{ab}|x|^{-\nu_{SC}}$ with $\nu_{SC} = 1.20$ for a two-leg cylinder of length $N_x = 240$ and electron density $n = 0.15$. The black reference curve is $\cos(Qx + \phi_{SC})$ with $Q = 1.309\pi$ extracted from the structure factor. The inset shows the short-distance pair correlation pattern around the center of a four-leg cylinder of length $N_x = 100$ and electron density $n = 0.15$ with the reference bond colored in black. b Magnitude of structure factor $S_{ps}^{PDW}(k_x, k_y = 0)$ at various electron densities on the two-leg cylinder of length $N_x = 240$. The interval $k_x \in (-2\pi/3, 0)$ mirrors the range shown. The fitted curves with functional form $A - B|k_x - Q|^{-\nu_{SC}}$ are plotted as solid lines with the same color for each filling, and the fitted peak positions $Q$ and exponents $\nu_{SC}$ are listed. c Magnitude of structure factor for two-, four-, and six-leg cylinders with $n = 0.05$ and correspondingly $N_x = 240, 100, 60$. 

Spontaneously broken time-reversal and inversion symmetries

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symmetries. This can be directly seen from the current-current correlation $A_{\text{cc}}(\mathbf{r}) \equiv \frac{1}{N} \sum_{\mathbf{r}, \mathbf{r}'} \langle J_{\mathbf{r}}(\mathbf{r}' + \mathbf{r}) \cdot J_{\mathbf{r}}(\mathbf{r}) \rangle$, where $J_{\mathbf{r}}(\mathbf{r}) \approx -i\hbar e_{\mathbf{r}} \sum_{\alpha} \langle \phi_{\mathbf{r}, \alpha} \phi_{\mathbf{r}', \alpha} \rangle$ is the current on the bond directed in the $e_{\mathbf{r}}$ direction. (The actual current operator that we compute also receives minor contributions from $\sigma_z$ and $\tau$, see Supplementary Discussion for the full expression). As shown in Fig. 3a, the current-current correlation oscillates around a nonzero value at long distance, and we also confirm in Supplementary Fig. 3 that the peak of the structure factor of the current-current correlation scales linearly with system size. These facts signify persisting currents in the ground states. The pattern of the current flows is shown in the inset, indicating that the ground-state is an orbital anti-ferromagnet.

**Valley polarization**

We further identify the broken symmetry states by investigating the occupation number in momentum space, $n(k) \equiv \frac{1}{N} \sum_{\mathbf{r}} e^{i\mathbf{k} \cdot \mathbf{r}} \langle \phi_{\mathbf{r}, \alpha} \rangle$, for the two-leg cylinder. As shown in Fig. 3b, although the strong interactions shift a small fraction of occupation weight to the vicinity of zero momentum (far above the noninteracting Fermi surface), most of the weight is confined to narrow intervals of $k$ about the two minima of the noninteracting bands, which occur at $K = (4n/3, 0)$ and $K' = -K$. However, the width of these intervals - labeled "2$k_F$" in the figure — is twice as large as it would be for a noninteracting system. Moreover, we have ruled out the possibility of a spin-polarized ferromagnet by checking that the ground-state has spin 0 (shown in Fig. 4b), and the possibility of spin-valley locked polarization by confirming that the spin current correlations exponentially decay in space (shown in Supplementary Fig. 3). We also checked that the triplet pairing correlations are weak and extremely short-ranged, further disproving those possibilities. All the observations are rather consistent with the supposition that the ground-state is valley-polarized. We thus conclude that the presence of electrons at both $K$ and $K'$ is an artifact of the fact that, for a finite-size system, the ground-state is a superposition of states with the two possible senses of valley polarization. This is also consistent with the observation that the maximum value of $n_k$ is somewhat less than 1, while for an unpolarized noninteracting system it should equal 2 for all states below the Fermi energy. The occupancy of only one valley naturally explains the observed broken symmetries and the loop currents order, since the persisting current can be estimated as $J_\mathbf{r} \approx \pm 2t \sin(K \cdot e_\mathbf{r})$, where $\pm$ corresponds to $K$ or $K'$ valley is occupied. Note that the current pattern is
translationally invariant, and correspondingly the pattern of flux breaks point group symmetry but not translation symmetry—it is not induced by the PDW order.

The valley polarization can be understood with a simple mean-field theory. We propose a trial Hamiltonian

\[ \hat{H}_v \equiv \sum_{i,a,\alpha} (\hat{t}_{i,a,\alpha} \hat{c}_{\pi,i,a,\alpha} \hat{c}_{\pi,i+\hat{r},a,\alpha} + \text{h.c.}) \]  

(2)

to capture the essence of physics. To simplify the problem, we neglect the constraint on no double occupancy. Further neglecting the weak \( t_1 \) and \( t_2 \) terms, we solve the mean-field equation

\[ \hat{t}_{i,a,\alpha} \approx -t_1 - \frac{\pi}{2} \left( \hat{c}_{i+\hat{r},a,\alpha} \hat{c}_{i,a,\alpha} \right) - V \left( \hat{c}_{i,a,\alpha} \hat{c}_{i,a,\alpha} \right), \]

to the leading order in \( n \). We find that the valley-polarized solution, with complex hopping elements \( \hat{t}_{i,a,\alpha} = t_i e^{\theta} \) and \( \theta \approx \pm \sqrt{2/2+1} \), is always energetically favored. The band structure is renormalized to

\[ \epsilon(k)\lvert \hat{t}_i \rvert = 2 \cos(k_x + \theta) + 4 \cos \left( \frac{\sqrt{3}}{2} q_y \right) \cos \left( \frac{k_x}{2} - \theta \right). \]  

(3)

The introduction of the complex phase \( \theta \) thus energetically distinguishes the two valleys by \( \frac{\pi}{2} (J/2 + V n) \), the amount of which is sufficiently large to fully valley-polarize the system while keeping the positions of the band minima. This mechanism is similar to that of Stoner magnetism, but here the density of states is divergent at the band bottom so the polarization always occurs in the dilute limit. (Conversely, finite critical interaction strength is necessary for dimensions \( d \geq 2 \).)

Therefore, the pairing in each ground-state with valley polarization must happen between the two Fermi points located at \( k_y = K \pm k_y \) and the pair momentum should be twice the center momentum \( 2K \). This intra-valley singlet pairing mechanism is distinct from the intra-valley triplet or the inter-valley pairing mechanism proposed for a spin-valley locked system \( 16,23 \) and is enforced by the broken symmetries. This weak-coupling, mean-field pairing mechanism is complementary to the strong-coupling, BEC-type mechanism proposed in ref. \( 21 \). Furthermore, due to the asymmetry of the noninteracting band structure around its band minima, \( K \) can be calculated to the leading order in \( n \):

\[ \frac{K}{\pi} \approx \frac{2}{\pi} \arccos \left( \frac{-1}{2 \cos \frac{\theta}{2}} \right) \approx \frac{K}{\pi} + 0.45n^2. \]  

(4)

In Fig. 3c, we see that the observed \( Q \) indeed matches \( 2K \) calculated in this way modulo a reciprocal lattice vector, \( \mathbf{G} = (4\pi, 0) \), up to an error of order \( \frac{\pi}{N_0} \). This accounts for the incommensurate nature of the PDW.

**Luther-Emery liquid**

All the long-distance behaviors we have observed are consistent with a Luther-Emery liquid \( 24 \), at least in the two-leg case. For instance, as shown in Fig. 4a, for electron density \( n = 0.15 \), the oscillatory piece of the charge correlator \( C(r) \equiv \frac{1}{N_0} \sum_{n} \langle n_{r+n} n_{r} \rangle \) has wavevector \( 2k_F = 2\pi n \) and exhibits a power-law decay with exponent \( v_{\text{CDW}} = 0.90 \), such that the expected relation \( v_{\text{CDW}} \cdot v_{\text{SC}} = 1.08 \approx 1 \) is approximately satisfied. The spin correlator \( S(r) \equiv \frac{1}{N_0} \sum_{n} \langle S_{r+n} \cdot S_r \rangle \) is short-ranged corresponding to a correlation length \( \xi \approx 4.36, \) as shown in Fig. 4b. To extract the central charge, we computed the von Neumann entanglement entropy \( S_E(x) \equiv -\text{tr}(\rho_x \ln \rho_x) \) where \( \rho_x \) is the reduced density matrix of the subsystem on one side of a cut at \( x \). For critical systems in \( 1+1 \) dimensions described by conformal field theory, it has been established \( 25,26 \) that for an open boundary system with length \( N_x \),

\[ S_E(x) = \frac{c}{6} \log \left[ \frac{\sin((2x+1) \frac{\pi}{N_x + 1})}{\sin(\frac{\pi}{N_x + 1})} \right] \[ \sin q \] \]

(5)

where \( c \) is the central charge, \( A \) and \( B \) are model-dependent parameters, and \( q \) is an adjustable fitting parameter that should approach the Fermi momentum \( k_F \) in the thermodynamic limit. We see in Fig. 4c that this formula fits well with the observed data. As shown in the inset, the central charge \( c \approx 1 \) and \( q = k_F = \pi n \) when \( N_x \to \infty \), which confirms that there is only one gapless mode resulting from filling only one of the two valleys.

**DISCUSSIONS**

With an increasing number of legs, the PDW correlations seem to weaken somewhat, as suggested by the growth of the exponent \( v_{\text{SC}} \). We speculate that this is an artifact in the few-leg system: at low electron densities, the Fermi pockets are small, so the bands with nonzero \( k_y \) and higher energies remain unoccupied for a range of \( n \). The effect of adding legs only leads to an increase of the filling of the \( k_F = 0 \) bands. In other words, the true scaling process to the two-dimensional limit has not started until the allowed values of \( k_y \) become sufficiently closely spaced that additional bands with nonzero \( k_y \) cross the Fermi surface. To have more than one band crossing the Fermi surface, the required number of legs scales as \( \sqrt{\frac{4n - \sqrt{3}}{n}} \) which is \( \approx 12 \) for \( n = 0.05 \). Going to larger \( n \) could in principle help improve the situation, but this will generally place the system outside of the range of \( n \) the PDW occurs—at least for ladders with more than two legs. We have not found a range of parameters amenable to DMRG in which the PDW exists and there are multiple Fermi points. Indeed, the results obtained here in the few-leg cases are essentially still one-dimensional; the main insight we obtain from them that could help to understand the 2D case is that valley polarization physics accounts well for our DMRG results in the 1D case, and this physics should apply as well in two dimensions.

More generally, our findings suggest a new route to PDW order in two or higher dimensions—one that does not rest on complicated strong-coupling physics. Consider the situation in which, either due to explicit or spontaneous time-reversal symmetry breaking, a low density of weakly interacting quasiparticles from a Fermi pocket about a band extremum at a single point in the BZ (such as the \( K \) point in the present context) about which the Fermi surface is not symmetric. Then from this starting point, it is natural to consider a pairing instability involving electrons on opposite sides of this pocket. To the extent that the dispersion relation can be treated as quadratic (i.e., in the effective mass approximation), these states would be perfectly nested, so deviations from nesting (e.g., trigonal warping) are small in proportion to the power of the electron density. Consequently, the pairing instability occurs for correspondingly weak couplings, and the resulting state is a PDW with ordering vector or vectors that are likewise continuously varying functions of \( n \), as in Eq. (4). This is, in a sense, an orbital version of the original FFLO mechanism.
METHODS

The effective Hamiltonian (with implicit projection to states with no doubly occupied sites) is:

\[ H_{\text{eff}} = \left\{ t_1 \sum_{\langle i,j \rangle} \hat{c}^\dagger_i a \hat{c}_j + t_2 \sum_{\langle\langle i,j \rangle\rangle} \hat{c}^\dagger_i a \hat{c}_j - 2m_n \hat{c}^\dagger_i a \hat{c}_j \right\} \]

\[ + (t + 2t_2) \sum_{\langle i,j \rangle} \hat{S}^z_{im} \hat{S}^z_{nj} + \text{h.c.} \]

\[ + J \sum_{\langle i,j \rangle} \left( \hat{S}^z_i \hat{S}^z_j - 1 \right) + V \sum_{\langle i,j \rangle} \hat{n}_i \hat{n}_j \]

where \( t_1 \) is the renormalized nearest-neighbor hopping, \( t_2 \) is a weak next-nearest-neighbor hopping term via an intermediate site \( m, (i, j, m) \) represents a triple of sites such that \( m \) is a nearest-neighbor of two distinct sites \( i \) and \( j \), and \((t + 2t_2)\) is a weak singlet hopping term where \( \hat{S}_i = (\hat{c}_i^\dagger \hat{c}_j^\dagger + \hat{c}_j \hat{c}_i) / \sqrt{2} \) is the annihilation operator of a singlet Cooper pair on bond \((ij)\). Explicit expressions are given in ref. \(^{21}\) for the values of these effective couplings as functions of the parameters in the original Holstein-Hubbard model. For the data shown in this study, we fix \( -t = -1, \omega_n = 3, U_n = 22, \) and \( U_{\text{on-site}} = 18 \), which leads to \( J = -0.208, V/J = 0.0229 \) and \( t_1/J = -0.0445 \) in the effective model. However, we have checked that in a broad range of parameters or setting \( t_2 = \tau = 0 \), the results we find do not change qualitatively. We include some results with different settings in the Supplementary Discussion. The range of \( V/J \) over which PDW order occurs was found to be \([0.43, 1]\) in the adiabatic limit in\(^{21}\). From DMRG we find that this range shifts somewhat with increasing Frank-Condon overlap factor. Nonetheless, the range in the adiabatic limit provided guidance in searching PDW, and indeed the data shown in this paper lies in this range.

Note that two-leg cylinders can be flattened to be a purely one-dimensional chain by simply neglecting the \( y \) coordinate of each site, so we plot the data for all sites in the two-leg case. All the DMRG data collected are obtained from the lowest energy state out of five trials with independently randomized initial states and all the results shown (unless otherwise stated) are extrapolated to zero truncation error, utilizing data collected with six truncation errors ranging from \( 3 \times 10^{-6} \) to \( 1 \times 10^{-7} \). In the two-leg case, we have checked our results do not change significantly down to truncation error \( 7 \times 10^{-14} \), corresponding to keeping bond dimensions up to \( m = 4320 \). The correlation functions shown are averaged over all legs of the ladder, taking \( N_y = 9N_x \) different reference sites \( r_0 \) centered around the middle of the system. All data involving sites within \( N_x/4 \) to the open boundary are discarded, i.e. we only retain the data on the interval \( x \in [N_x/4, 3N_x/4] \), to reduce boundary effects. Thus, each structure factor we compute utilizes the data on \( N = N_xN_y/2 \) sites.

DATA AVAILABILITY

The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

CODE AVAILABILITY

The codes implementing the calculations of this study are available from the corresponding author upon request.

Received: 7 June 2021; Accepted: 14 January 2022;
Published online: 03 February 2022

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ACKNOWLEDGEMENTS

We are grateful to Hong-Chen Jiang, Yi-Fan Jiang, and Patrick Lee for helpful discussions. The DMRG calculations were performed using the ITensors Library\(^{27}\). Part of the computational work was performed on the Sherlock cluster at Stanford. SAK was supported, in part, by the National Science Foundation (NSF) under Grant No. DMR2000987. KSH was supported, in part, by Stanford VPU E through an under-graduate major grant. HY was supported, in part, by NSFC Grant No. 11825404 at Tsinghua and by the Gordon and Betty Moore Foundations EPiQS through Grant No. GBMF4302 at Stanford.

A AUTHOR CONTRIBUTIONS

KSH and ZH contributed equally to this work. KSH performed the numerical investigation with the help from ZH. All authors analysed the data and contributed to the writing of the paper.

COMPETING INTERESTS

Author S.A.K. is Editor-in-Chief of npj Quantum Materials. The authors declare no other competing interests.
ADDITIONAL INFORMATION

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41535-022-00426-w.

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