Modulation of antitumor immunity contributes to the enhanced therapeutic efficacy of liposomal oxaliplatin in mouse model

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Immune modulation of the tumor microenvironment has been reported to participate in the therapeutic efficacy of many chemotherapeutic agents. Recently, we reported that liposomal encapsulation of oxaliplatin (l-OHP) within PEGylated liposomes conferred a superior antitumor efficacy to free l-OHP in murine colorectal carcinoma-bearing mice through permitting preferential accumulation of the encapsulated drug within tumor tissue. However, the contribution of the immunomodulatory properties of liposomal l-OHP and/or free l-OHP to the overall antitumor efficacy was not elucidated. In the present study, therefore, we investigated the effect of liposomal encapsulation of l-OHP within PEGylated liposomes on the antitumor immunity in both immunocompetent and immunodeficient mice. Liposomal l-OHP significantly suppressed the growth of tumors implanted in immunocompetent mice, but not in immunodeficient mice. In immunocompetent mice, liposomal l-OHP increased the tumor MHC-1 level and preserved antitumor immunity through decreasing the number of immune suppressor cells, including regulatory T cells, myeloid-derived suppressor cells, and tumor-associated macrophages, which collectively suppress CD8⁺ T cell-mediated tumor cells killing. In contrast, free l-OHP ruined antitumor immunity. These results suggest that the antitumor efficacy of liposomal l-OHP is attributed, on the one hand, to its immunomodulatory effect on tumor immune microenvironment that is superior to that of free l-OHP, and on the other hand, to its direct cytotoxic effect on tumor cells.

Oxaliplatin (1-OHP) is a third-generation platinum agent that exhibits distinct pharmacological properties compared with earlier-generation agents such as cisplatin and carboplatin.¹ Clinically, 1-OHP is frequently used as a first-line antitumor agent for the treatment of advanced colorectal cancer in conjunction with other agents. The cytotoxic effect of 1-OHP is exerted through the formation of platinum-DNA adducts. The intrastrand cross-links formed by 1-OHP inhibit the replication and transcription of DNA, which has a direct cytotoxic effect against tumor cells.² However, its clinical efficacy is limited, at least in part, by its dose-limiting side effects, including neurotoxicity.³ In addition, 1-OHP alone has shown limited antitumor efficacy in vivo because of low distribution in tumor tissues.⁴ Accordingly, overcoming these limitations requires the use of a nanocarrier system to ensure the selective and/or adequate delivery of 1-OHP to tumor tissue.

Liposomes, a bilayer liquid-filled vesicle made from phospholipids, have been reported to improve the pharmacokinetics and tumor accumulation of encapsulated drugs. Although conventional liposomes are rapidly taken up by cells of the mononuclear phagocyte system (MPS), surface modification with polyethylene glycol (PEGylation) has been proven to prevent recognition by the cells of the MPS, and consequently prolongs the circulating time of liposomes.⁵ Such long circulation characteristics confer passive tumor-targeting to PEGylated liposomes through the so-called enhanced permeability and retention (EPR) effect.⁶

In an earlier study, we reported that encapsulation of 1-OHP within PEGylated liposomes permitted the preferential accumulation of 1-OHP within the tumor tissue through the EPR effect, resulting in antitumor effects that were greater than those of free 1-OHP in murine colorectal carcinoma-bearing mice.⁷–⁹ Similarly, Yang et al.¹⁰ also report that intravenous injection of neutral PEGylated liposome encapsulating 1-OHP induced a significant apoptotic response against a human colorectal carcinoma xenograft model. These reports suggest that
the selective delivery of l-OHP by encapsulation into PEGylated liposomes resulted in enhanced antitumor activity.

Many reports have emphasized the contribution of immune modulation of the tumor microenvironment to the therapeutic efficacy of many chemotherapeutic agents. Previously, antitumor agents have been considered immunosuppressive and have been credited with ruining antitumor immunity. However, recent studies have indicated that some of these antitumor agents have demonstrated a positive effect on antitumor immunity, and their clinical outcomes partially depend on their immunomodulation properties. Anthracyclines, particularly doxorubicin, are known to cause tumor cells to undergo immunogenic death and to induce tumor-specific immune responses. In fact, the depletion of CD8+ T cells, which can kill tumor cells following the recognition of a tumor antigen, has led to a loss of the antitumor effect of doxorubicin. This suggests that part of the therapeutic efficacy of doxorubicin depends on CD8+ T cells. In addition, antitumor agents are known to suppress protumor immunity, which includes regulatory T cells (Treg), myeloid-derived suppressor cells (MDSC) and tumor-associated macrophage (TAM).

However, it is unclear whether liposomal antitumor agents such as l-OHP can modulate antitumor and protumor immunity, which would increase therapeutic efficacy in combination with its direct tumor-cell killing ability. In the present study, therefore, we compared the efficacy and toxicity of free l-OHP and liposomal l-OHP in tumor-bearing immunocompetent mice and in immunodeficient mice. We here showed that liposomal l-OHP significantly suppressed protumor immunity and preserved antitumor immunity, whereas free l-OHP disrupted both forms of immunity. It appears that the encapsulation of antitumor drugs into liposomes may modulate the immunological effect of these drugs.

Materials and Methods

Materials. Hydrogenated soy phosphatidylcholine (HSPC) and 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-n(-methoxy [polyethylene glycol]-2000) (mPEG2000-DSPG) were generously donated by NOF (Tokyo, Japan). Cholesterol (Chol) was purchased from Wako Pure Chemical (Osaka, Japan). Oxalaplatin (I-OHP) was generously donated by Taiho Pharma (Tokyo, Japan). Cholesterol (Chol) was purchased from Nacalai Tesque, Inc. (Kyoto, Japan). Polyethylene glycol (PEG) 2000 was purchased from Wako Pure Chemical (Osaka, Japan). Hydrogenated soy phosphatidylcholine (HSPC) and cholesterol (Chol) were purchased from NOF (Tokyo, Japan). Cholesterol (Chol) was purchased from Wako Pure Chemical (Osaka, Japan). Oxalaplatin (I-OHP) was generously donated by Taiho Pharmaceutical (Tokyo, Japan). All lipids were used without further purification. All other reagents were of analytical grade.

Animals and tumor cells. Male immunocompetent BALB/c mice (5 weeks old) and male immuno-deficient BALB/c nu/nu mice (nu/nu mice, 5 weeks old) were purchased from Japan SLC (Shizuoka, Japan). All animal experiments were evaluated and approved by the Animal and Ethics Review Committee of Tokushima University. Colon 26 murine colorectal carcinoma (C26) was purchased from the Cell Resource Center for Biomedical Research (Institute of Development, Aging and Cancer, Tohoku University). The C26 cell line was maintained in RPMI-1640 medium supplemented with 10% heat-inactivated FBS (Mediatech, VA, USA), 100 units/mL penicillin and 100 μg/mL streptomycin (MP Biomedicals, CA, USA) under a humidified atmosphere with 5% CO2/95% air at 37°C.

Preparation of I-OHP-containing PEGylated liposomes. I-OHP-containing PEGylated liposomes (liposomal I-OHP), composed of HSPC/Chol/mPEG2000-DSPG (2/1/0.2 molar ratio), were prepared using a reverse-phase evaporation method that was described earlier. Unencapsulated I-OHP was removed using a dialysis cassette (Slide-A-Lyzer, 10000MWCO; Thermo Fisher Scientific, MA, USA) against 5% dextrose.

The concentration of l-OHP in the liposomes was quantified by an atomic absorption photometer (Z-5700, Hitachi, Tokyo, Japan) after destroying the liposomes with 1% Triton-X solution. The phospholipid concentration of the liposomes was quantified by phosphorus assay. The mean diameter of the liposomes was approximately 100 nm, as determined using a NICOMP 380 ZLS (Particle Sizing System, CA, USA).

Treatment of tumor-bearing mice with I-OHP formulations. To develop tumor-bearing mice, C26 cells (2 × 106 cells) were subcutaneously inoculated into the left flank of either BALB/c or BALB/c nude mice. On day 0 when the tumor volume reached 50–100 mm³, the mice were divided into three groups: a control group (non-treated), a free l-OHP treatment group and a liposomal l-OHP treatment group. In the previous study, we observed that a low dose (4.2 mg/kg) of I-OHP had little therapeutic effect in a similar experimental animal model. To obtain the optimal therapeutic and immunomodulatory effect of I-OHP, in the current study, we selected 8.3 mg/kg of I-OHP as an experimental dose. On days 0, 7 and 14, free l-OHP or liposomal l-OHP (8.3 mg I-OHP/kg body) was intravenously injected into the mice. Tumor volume was measured every 3 days using a caliper. The tumor volume was calculated using the following formula: 0.5 × (length) × (width)². Body weight was measured simultaneously and was taken as a parameter of systemic toxicity.

Treatment of tumor cells with I-OHP formulations in vitro. C26 cells (10⁵ cells) were seeded onto 12-well plates 24 h prior to drug exposure. The culture medium was replaced with fresh medium containing free l-OHP or liposomal l-OHP (15, 300 μM). After culture for 6, 24 and 48 h, the medium was removed, and the cells were collected. The cell suspension was used for flow cytometry to examine the expression levels of MHC class 1 (MHC-1) molecules.

Flow cytometry analysis. Tumor cell suspensions were prepared using a gentleMACS Dissociator (Miltenyi Biotec, Bergisch Gladbach, Germany), according to the manufacturer’s instructions. Briefly, tumors were dissected, chopped into small pieces and homogenized in RPMI-1640 medium using the gentleMACS Dissociator. After the addition of a mixture of enzymes (collagenase type I [Wako Pure Chemical] and Dispase II [Roche Diagnostic, Mannheim, Germany]), the suspensions were incubated for 40 min at 37°C. Next, the suspensions were homogenized again after the addition of DNase I (Roche Diagnostic). After digestion, the cells were filtered through a cell strainer (100 μm, Becton Dickinson, NJ, USA).

Spleen cell suspensions were prepared as described previously. Briefly, single-cell suspensions were prepared using a gentleMACS Dissociator. The cells were suspended in PBS containing 0.5 mM EDTA (EDTA-PBS) medium, and cells in the suspensions were lysed with ammonium chloride solution (0.83% NH₄Cl) for 3 min. Cells were washed with EDTA-PBS and filtered with a cell strainer to remove clumps. For in vitro re-stimulation with an antigen, spleen cells (10⁶ cells) were cultured in vitro with mitomycin C-inactivated C26 cells (2 × 10⁶ cells) in a 24-well plate for 24 h. During the last 4 h, brefeldin A (Life Technologies, NY, USA) was added (5 μg/mL) to the culture.

For extracellular staining, the prepared cells were incubated with the combinations of antibodies (CD8+ T cell: FITC-
labeled anti-mouse CD8a, Treg; PE-labeled anti-mouse CD4 and Alexa488-labeled anti-mouse CD25, MDSC; PE-labeled anti-mouse Ly-6G and FITC-labeled anti-mouse CD11b (eBioscience, CA, USA), TAM; Alexa488-labeled anti-mouse CD206 (BioLegend, CA, USA) and PE-labeled anti-F4/80 (GmbH, CA, USA). To examine the expression level of MHC-I, cells were stained with mouse anti-mouse MHC-1 (H-2Dd) antibody (AbD serotec, Oxford, UK) and Alexa647-labeled anti-mouse IgG (Life Technologies). For intracellular staining, cells were fixed with 4% paraformaldehyde for 20 min, permeabilized with 0.5% saponin for 20 min, and stained with FITC-labeled anti-mouse IFN-γ (eBioscience). The cells were analyzed using a flow cytometer, Guava EasyCyte Mini (Millipore, MA, USA) or Gallios (Beckman Coulter, CA, USA). The data were analyzed using WinMDI version 2.9 (The Scripps Research Institute, CA, USA).

Statistics. Data are expressed as the mean ± SD. Statistical analysis was performed using a two-tailed unpaired t-test and one-way ANOVA followed by the Tukey post hoc test using GraphPad InStat software (GraphPad Software, CA, USA). The level of significance was set at P < 0.05.

Results

Liposomal l-OHP and free l-OHP had greater therapeutic effect in C26 tumor-bearing immunocompetent mice than in C26 tumor-bearing immunodeficient mice. C26 tumor-bearing immunocompetent BALB/c mice received three intravenous injections (once a week) of either free l-OHP or liposomal l-OHP. Non-treated mice served as the control. Free l-OHP modestly reduced the tumor growth compared with the control (P < 0.05, Fig. 1a). Compared with free l-OHP, liposomal l-OHP significantly reduced the tumor growth (P < 0.05). In addition, the treatment of free l-OHP led to a small amount of body weight loss and a significant reduction in the number of splenocytes, whereas that of liposomal l-OHP led to even less body weight loss (Fig. 1b), and the number of splenocytes (Fig. 1c) was maintained similar to that of an untreated state.

As shown in Figure 1a, liposomal l-OHP exhibited therapeutic effects that were superior to those of free l-OHP in tumor bearing-immunocompetent mice, which was consistent with the results shown in our previous study. To investigate the contribution of the immune system to the increased therapeutic efficacy, either free l-OHP or liposomal l-OHP was injected into C26 tumor-bearing immunodeficient BALB/c nude mice (Fig. 2). Surprisingly, the antitumor effect of both liposomal l-OHP and free l-OHP was diminished in immunodeficient mice; free l-OHP exhibited no tumor growth inhibition effect in C26 tumor-bearing BALB/c nude mice, while liposomal l-OHP exhibited a slight level of inhibition (Fig. 2a). In addition, the treatment with l-OHP formulations caused a slight level of weight loss (Fig. 2b). To find further direct evidence indicating that CD8+ T cells are responsible for the liposomal l-OHP-mediated antitumor effect, the antitumor effect of liposomal l-OHP was investigated in CD8+ T cell-depleted immunocompetent mice which had been treated with anti-CD8 antibody. As we expected, the therapeutic effect of liposomal l-OHP was decreased by depletion of CD8+ T cells (Fig. S1). These results indicate that host immunity, particularly CD8+ T cells, contributes to the tumor growth suppression effect of l-OHP formulations.

Liposomal l-OHP and free l-OHP suppressed protumor host immunity. We next investigated the impact of l-OHP formulations on immunosuppressive cell components in tumor tissue. After three sequential intravenous injections with l-OHP formulations into C26-bearing immunocompetent BALB/c mice, the frequency of Treg, MDSC and TAM in the tumors was determined through flow cytometry. Liposomal l-OHP significantly reduced the frequency of Treg (Fig. 3a), MDSC (Fig. 3b) and TAM (Fig. 3c). In contrast, free l-OHP significantly reduced the frequency of Treg (Fig. 3a) and MDSC (Fig. 3b), but not that of TAM (Fig. 3c). However, there was no significant difference in terms of the number of Treg, MDSC and TAM between the free l-OHP-treated group and
the liposomal l-OHP-treated group. These results indicate that both l-OHP formulations eliminated immunosuppressive cells in the tumor tissue; it was particularly clear that liposomal l-OHP had eliminated TAM.

**Liposomal l-OHP preserved CD8\(^+\) T cell-mediated antitumor immunity.** We further investigated the effect of free l-OHP or liposomal l-OHP treatment on CD8\(^+\) T cell populations in the spleen and tumor tissues. Compared with non-treated mice, the mice treated with free l-OHP showed smaller numbers of CD8\(^+\) T cells in the spleen (Fig. 4a) and a lower frequency of tumor-infiltrating CD8\(^+\) T cells (Fig. 4b). However, as expected, liposomal l-OHP preserved the number of splenic CD8\(^+\) T cells (Fig. 4a) as well as the frequency of tumor-infiltrating CD8\(^+\) T cells (Fig. 4b). To confirm the presence of activated tumor-specific CD8\(^+\) T cells, the numbers of IFN-\(\gamma\)\(+\) CD8\(^+\) T cells were determined following the incubation of splenocytes with C26 cells. Liposomal l-OHP did not increase, but did maintain, the number of activated tumor-specific CD8\(^+\) T cells, while the number of activated tumor-specific CD8\(^+\) T cells was decreased with free l-OHP (Fig. 4c). These results indicate that liposomal l-OHP treatment preserved CD8\(^+\) T cell-mediated antitumor immunity against C26 tumors in the immunocompetent BALB/c mice.

**Liposomal l-OHP and free l-OHP increased the MHC-1 level of tumor cells.** Tumor cells can escape immune surveillance through the downregulation of MHC-1 on their surface, which causes a reduction in their antigenicity.\(^{18}\) We investigated the effect of both free l-OHP and liposomal l-OHP treatment on the level of MHC-1 in C26 tumor cells in vitro and in vivo. Short exposure did not change the level of MHC-1 molecules in vitro (Fig. 5a). With more time, however, free l-OHP increased the expression of MHC-1 in an exposure-dependent manner. Liposomal l-OHP also increased the expression level of MHC-1, but to a smaller extent than that of free l-OHP. Under in vivo conditions, both l-OHP formulations increased the expression of MHC-1 to a similar extent (Fig. 5b). These results indicated that both l-OHP formulations increased the MHC-1 level in vitro and in vivo, which may correspond to tumor cell antigenicity.

**Discussion**

Immune modulation of the tumor microenvironment has been reported to contribute to the antitumor activity of many anticancer drugs.\(^{11}\) In the current study, we showed that liposomal l-OHP significantly inhibited C26 tumor growth in immunocompetent mice (Fig. 1a), which is consistent with our findings.
Immunomodulation effect of liposomal oxaliplatin

Fig. 5. I-OHP formulations increased the MHC-1 level of tumor cells. (a) C26 cells were cultured for 6, 24 and 48 h in the presence of free l-OHP or Liposomal l-OHP (15, 300 μM). (b) On days 0 and 7, C26 tumor-bearing BALB/c mice received two intravenous injections of either Free l-OHP or Liposomal l-OHP. Non-treated mice served as the control. On day 10, tumors were collected. The treated cells in vitro and in vivo were stained with anti-mouse MHC-1 (H-2Dd) antibody and then analyzed using flow cytometry. Each value represents the mean ± SD (n = 3). *P < 0.05. [Correction added on 31 July 2017, after first online publication: In the figure legend of figure 5, the incubation times in the sentence “C26 cells were cultured for 6, 12 and 48 h in the presence of Free l-OHP or Liposomal l-OHP (15, 300 μM)” has been changed to “6, 24 and 48 h.”]

previous results.(7,8) However, the therapeutic effect was substantially diminished in immunodeficient nude mice, which is suggestive of the contribution of the immune system to the therapeutic effect that was obtained by liposomal l-OHP. Nude mice lack a thymus, so they cannot generate mature T lymphocytes relating to T cell-dependent antitumor immunity. As shown in Figure 4c, in the immunocompetent mice, liposomal l-OHP preserved the C26 tumor-specific activated CD8+ T cells in tumor tissue, thereby indicating the generation of a CD8+ T cell-mediated antitumor immune response. To the best of our knowledge, ours is the first study to suggest that liposomal l-OHP elicits a strong antitumor effect on tumor cells through not only the direct cytotoxic effect of l-OHP against tumor cells but modulating the antitumor immunity as well.

Conventional anticancer chemotherapy is generally thought to reduce tumor progression through direct cytotoxic effects on tumor cells. We also showed that free l-OHP and liposomal l-OHP had a direct cytotoxic effect on tumor cells in vitro and in vivo using immunocompetent mice. (8) Several recent studies have reported that antitumor activities induced by antitumor agents, such as doxorubicin, (12) cyclophosphamide, (13) bortezomib (19) and gemcitabine (20) are severely alleviated under immunocompromised conditions, indicating that their antitumor effects are partially or mainly related to the host antitumor immunity. In the same context, in the current study, free l-OHP failed to exhibit tumor growth suppression in immunodeficient nude mice (Fig. 2a). In addition, in immunocompetent mice, free l-OHP also tended to ruin protumor immunity involving regulatory T cells (Treg) and myeloid-derived suppressor cells (MDSC) (Fig. 3), which combine to suppress CD8+ T cell-mediated antitumor immune responses. Furthermore, l-OHP increased the expression of MHC-I on tumor cells (Fig. 5) and made them sensitive to CD8+ T cells, as with gemcitabine. (21) Therefore, free l-OHP has the ability to enhance CD8+ T cell-mediated antitumor immunity. However, due to the lack of T cells in the immunodeficient nude mice, l-OHP failed to induce antitumor immunity (Fig. 2a). These data suggest that free l-OHP also elicits its antitumor effect through T cell-mediated antitumor immunity along with its inherent cytotoxicity on tumor cells. Accordingly, the liposomal l-OHP-induced antitumor immune response observed in the present study was due mainly to the antitumor immune response induced by l-OHP encapsulated in the PEGylated liposome, in combination with the direct cytotoxicity effect against tumor cells.

The tumor growth suppression effect of l-OHP in the current study was much higher in liposomal l-OHP treatment than in free l-OHP treatment in immunocompetent mice (Fig. 1a). It is well known that antitumor agents encapsulated in PEGylated liposomes passively accumulate in tumor tissue through the EPR effect. (10) Such preferential tumor accumulation increases the intratumor concentration of antitumor agents. Therefore, liposomal antitumor agents are believed to achieve a suppression of tumor growth that is superior to that of free antitumor agents. (7,8) In the present study, we demonstrated that liposomal l-OHP significantly reduced protumor immunity (Fig. 3) and preserved antitumor immunity (Fig. 4), while free l-OHP reduced not only protumor immunity but also antitumor immunity. Free l-OHP is distributed throughout the body following intravenous injection and thereby causes not only tumor suppression but also adverse reactions such as sensory neuropathy, nausea, vomiting, diarrhea and hematologic dyscrasias. (3) Such non-selective l-OHP distribution consequently appeared to deplete CD8+ T cells in both tumors (Fig. 4b) and the spleen (Fig. 4a), and it also depleted total splenocytes (Fig. 1c). Conservation of T cells through the liposomalization of l-OHP might be one of the reasons that liposomal l-OHP exhibited a tumor growth suppressive effect that was higher than that of free l-OHP in immunocompetent mice (Fig. 1a).

Liposomalization of l-OHP may have other advantages in l-OHP-mediated antitumor immunity; an increased reduction of protumor immune cells which have phagocytic activity and increase of the MHC-1 level of tumor cells possibly corresponding to tumor cell antigenicity. Nano-sized liposomes are preferentially taken up by phagocytic cells. Protumor immune cells such as MDSC (22) and TAM (15) have phagocytic activity, but CD8+ T cells do not. Liposomal l-OHP might lead to a remarkable reduction in the numbers of MDSC (Fig. 3b) and TAM (Fig. 3c) through phagocytosis of the liposomes accumulated in a tumor. Thus, the ratio of CD8+ T cell/MDSC and CD8+ T cell/TAM becomes higher in liposomal l-OHP-treated mice than in free l-OHP-treated mice. This might lead to a
preservation of antitumor immunity following liposomal l-OHP treatment. In our previous study, liposomal l-OHP achieved a much higher tissue concentration of l-OHP in tumors compared with free l-OHP \(^{7,23}\) and exposed its payload to the tumor cells for a longer period of time compared with free l-OHP due to their sustained release characteristics. Accordingly, the released l-OHP might promote immunogenic death in tumor cells \(^{24}\) and could increase the MHC-I level of tumor cells, possibly corresponding to tumor cell antigenicity.

Immunotherapy is a new class in cancer therapy that exploits the innate powers of the immune system to fight cancer. However, single treatments using immunotherapeutic agents has had limited efficacy in many cases due to the unfavorable immune environment in tumors. Recently, immunotherapy has been combined with both chemotherapy \(^{25,26}\) and radiotherapy \(^{27}\) with the ever-expanding knowledge of the immune-modulating ability of these therapies. Hazama et al. \(^{28}\) investigated the efficacy of peptide cancer vaccine combined with free l-OHP-based chemotherapy for the treatment of colorectal cancer in a phase II study. Unfortunately, the efficacy of their combined therapy was relatively low, suggesting that the vaccine should be combined with other agents to modulate antitumor immunity. Instead of free l-OHP, the use of liposomal l-OHP, which can modulate protumor and antitumor immunities, in combination with peptide vaccine may provide a much stronger therapeutic effect in the treatment of tumors. Liposomal l-OHP therapy has shown promise when used in combination with immunotherapy. The study of such combined treatment is in progress in our laboratory.

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Disclosure Statement

The authors have no conflict of interest to declare.

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Supporting Information

Additional Supporting Information may be found online in the supporting information tab for this article:

Fig. S1. Depletion of CD8+ T cells attenuated the antitumor effect of liposomal l-OHP in C26 tumor-bearing immunocompetent BALB/c mice.