CausalAF: Causal Autoregressive Flow for Goal-Directed Safety-Critical Scenes Generation

Wenhao Ding  
Carnegie Mellon University  
WENHAOD@ANDREW.CMU.EDU

Haohong Lin  
Carnegie Mellon University  
HAOHONG@ANDREW.CMU.EDU

Bo Li  
University of Illinois Urbana-Champaign  
LBO@ILLINOIS.EDU

Ding Zhao  
Carnegie Mellon University  
DINGZHAO@ANDREW.CMU.EDU

Abstract
Goal-directed generation, aiming for solving downstream tasks by generating diverse data, has a potentially wide range of applications in the real world. Previous works tend to formulate goal-directed generation as a purely data-driven problem, which directly searches or approximates the distribution of samples satisfying the goal. However, the generation ability of preexisting work is heavily restricted by inefficient sampling, especially for sparse goals that rarely show up in off-the-shelf datasets. For instance, generating safety-critical traffic scenes with the goal of increasing the risk of collision is critical to evaluate autonomous vehicles, but the rareness of such scenes is the biggest resistance. In this paper, we integrate causality as a prior into the safety-critical scene generation process and propose a flow-based generative framework – Causal Autoregressive Flow (CausalAF). CausalAF encourages the generative model to uncover and follow the causal relationship among generated objects via novel causal masking operations instead of searching the sample only from observational data. By learning the cause-and-effect mechanism of how the generated scene achieves the goal rather than just learning correlations from data, CausalAF significantly improves the learning efficiency. Extensive experiments on three heterogeneous traffic scenes illustrate that CausalAF requires much fewer optimization resources to effectively generate goal-directed scenes for safety evaluation tasks.

Keywords: Causal Generative Models, Safety-critical Scene Generation, Autonomous driving

1. Introduction
Deep generative models (DGMs) have shown their powers for data generation in several domains. Recently, people have been weary of random generation and turned to generating goal-directed samples useful for downstream tasks. Standing on the top of successful DGMs, goal-directed generation demonstrates potentiality in molecule (Shi et al., 2020) and natural language (Mollaysa et al., 2020) areas, which is usually formulated as shifting the generative distribution to satisfy specific goals.

One typical application of goal-directed generation is generating traffic scenes, which is a universally acknowledged way to evaluate autonomous vehicles (Riedmaier et al., 2020). Rare but significant, safety-critical scenes are extraordinarily important for the evaluation. Taking the safety-critical scene as a goal, such a generation task is challenging since we need to simultaneously consider scene realism to avoid conjectural scenes that will never happen in the real world, as well as
Figure 1: **Left.** Diagram of goal-directed generation and *CausalAF*. **Right.** Two examples obtained by executing two Behavioral Graphs to show the causation behind scenes. (b) is safety-critical because the vision of autonomous vehicle *a* is blocked by vehicle *b*. In contrast, (c) is safe for vehicle *a* since there is no vehicle *b* blocking the vision of *a*. In general, *b* is the cause of the collision.

The safety-critical level which are indeed rare compared with ordinary scenes. In addition, generating reasonable threats to vehicles’ safety can be inefficient if the model purely relies on the correlation of observation, as the safety-critical scenes are rare and follow certain fundamental physical principles.

Existing work (Engel et al., 2017) searches in the latent space of generative model to build scenes that satisfy downstream requirements. The biggest challenge is that ordinary scenes may dominate the latent space while safety-critical samples are ignored as "outliers". Another approach (Tripp et al., 2020) is to retrain the model during the searching to avoid forgetting the high-quality but rare data. However, the efficiency could still be unacceptably low due to the sparsity of qualified samples. This problem could be even more significant for sequential settings since the observed correlation could be spurious and misleads the generation to undesired states. In contrast, humans are good at abstracting the causation beneath the observations with prior knowledge, which lights up a new direction towards causal generative models.

In this paper, we will build a goal-directed generative model with causal priors that are accessible in many applications. We model the causality as a directed acyclic graph (DAG) named causal graph (CG) (Pearl, 2009). To facilitate CG in the downstream tasks, we propose the Behavioral Graph (BG), which can be regarded as instances of CG (Grünbaum, 1952), for interactive and dynamic scenes representation. The graphical representation of both graphs makes it possible to use the BG to unearth the causality given by CG. Based on BG, we propose the first generative model that integrates causation into the graph generation task and name it *CausalAF*. To connect BG and CG at the graph level, we propose two types of causal masks – Causal Order Masks (COM) and Causal Visibility masks (CVM). COM modifies the node order for node generation, and CVM removes irrelevant information for edge generation.

For a better explanation, we consider a running example of a traffic scene shown in Fig 1 (b)(c). When the vision of the autonomous vehicle *a* is clear ((c) of Fig 1), *a* can easily see the pedestrian *c* crossing the road then decelerate in advance. However, if another vehicle *b* is parked in the middle between *a* and *c* ((b) of Fig 1), the vision of *a* will be blocked, making *a* have less time to brake and more likely to collide *c*. This rare example may take autonomous driving vehicles millions of
hours to collect (Feng et al., 2021), which is challenging for real-world applications. However, when we use a generative model to create such a scene, it will not consider the causality but try only to memorize the location of all objects then generate adversarial examples (Goodfellow et al., 2014b). Consequently, the generated scene may not cause any risk if the objects are slightly different.

Overall, we show the diagram of goal-directed generation with CausalAF in Fig. 1 (a) and we summarize our contributions below:

• We proposed a causal generative model named CausalAF that integrates causal graphs and temporal graphs for safety-critical scene generation.
• We designed two novel mask operators to reliably integrate causation order and causation visibility into the flow-based generation procedure.
• We showed CausalAF demonstrates dramatic improvement in efficiency and generalizability on three standard traffic settings compared with purely data-driven goal-directed baseline.

2. Representation of Causation and Scenes

Our CausalAF is built upon the relation between the CG and the BG. We start by introducing the definition of these two types of graphs and the autoregressive generation process of the BG.

2.1. Causal Graph and Behavioral Graph

The causal graph is defined over \( m \) random variables \( \{x_1, \ldots, x_m\} \). The variables in this vector forms a DAG \( G^C = (V^C, E^C) \). \( V^C \in \{0, 1\}^{m \times n} \) is the node matrix and \( E^C \in \{0, 1\}^{m \times m} \) is the adjacency matrix, where \( m \) is the number of nodes and \( n \) is the node type represented by one-hot vector. Each node \( i \) is associated with a random variable \( x_i \). Each edge \( (i,j) \) represents a causal relation from variable \( x_i \) to \( x_j \). For a DAG, there exists a (not necessarily unique) causal order of the nodes, such that the cause variable precedes the effect variable:

\[
p(x_1, \ldots, x_n) = \prod_{j=1}^n p_j(x_j | \text{pa}(x_j))
\]

where \( \text{pa}(x_j) \) represents the parent nodes for variable \( x_j \). In this work, we assume \( G^C \) is fully accessible with human knowledge and experience for certain tasks. The discovery of \( G^C \) is also a popular area but beyond the scope of this paper.

We then define the Behavioral Graph \( G^B \) to represent objects in a dynamic and interactive scene. According to Definition 1, \( G^B \) works as a high-level planner for objects and controls their behaviors in the scene. The types of nodes and edges of \( G^B \) are pre-defined object categories and behaviors, respectively. Unlike \( G^C \), the edges in \( G^B \) have physical meanings. A self-loop edge \( (i,i) \) represents that one object takes one action irrelevant to other objects (e.g., a car goes straight or turns left with no impact on other road users), while other edges \( (i,j) \) means object \( i \) takes one action related to object \( j \) (e.g., a car \( i \) moves towards a pedestrian \( j \)). The edge attributes represent the properties of actions. For instance, the attribute \([x,y,v_x,v_y]\) of one edge has the following meaning: \( x \) and \( y \) are positions, and \( v_x \) and \( v_y \) are the velocities.

**Definition 1 (Behavioral Graph)** Suppose there are \( n \) types of nodes and a scene have \( m \) objects. Then the Behavioral Graph \( G^B = (V^B, E^B) \) contains a node matrix \( V^B \in \mathbb{R}^{m \times n} \) representing the categories of objects and an edge matrix \( E^B \in \mathbb{R}^{m \times m \times (h_1+h_2)} \) representing the sequential interaction between objects, where \( h_1 \) is the number of edge types and \( h_2 \) is the dimension of edge attributes.
2.2. Behavioral Graph Generation with Autoregressive Flow

In general, there are two ways to generate graphs; one is simultaneously generating all nodes and edges, the other is iteratively generating nodes and adding edges between nodes. Considering the directed acyclic nature of $G^C$, we incorporate autoregressive flow models (AF) (Huang et al., 2018), which is a type of DGMs that sequentially generate nodes based on their predecessors to generate $G^B$. It uses an invertible and differentiable transformation $f$ to convert the observations $x$ to a latent variable $z$ that follows a base distribution $p_0(z)$ (e.g., Normal distribution). According to the change of variables theorem, we can obtain

$$p_x(x) = p_0(f^{-1}(x)) \left| \frac{\partial f^{-1}(x)}{\partial x} \right|$$

To increase the representing capability, we repeatedly substitute the variable for the new variable $z_i$ and eventually obtain a probability distribution of $x$ whose log-likelihood can be written as:

$$\log p(x) = p_0(z_0) - \sum_{i=1}^{K} \log \left| \det \frac{df_i}{dz_{i-1}} \right|$$

In AF models, the transformation $f$ constructs $x$ in a sequential way similar to (1), which is naturally consistent with the construction of $G^C$.

To implement the function invertible $f$, we build a model $M_\phi$ parametrized by $\phi$. The inverse of $M_\phi$, denoted as $M_\phi^{-1}$, can be used to sample new data from Gaussian noises:

$$x = z_K = f_K^{-1} \circ f_{K-1}^{-1} \circ \cdots \circ f_0^{-1} = M_\phi^{-1}(z_0), \quad z_0 \sim \mathcal{N}(0, I)$$

where $\circ$ means the composition of two functions. Let $V^B[i, :]$ and $E^B[i, j, :]$ represent the node $x_i$ and edge $(i, j)$ of $G^B$, respectively. Then they can be sampled from two Gaussian distributions

$$V^B[i, :] \sim \mathcal{N}(\mu_i^v, (\sigma_i^v)^2) = \mu_i^v + \sigma_i^v \odot \epsilon$$
$$E^B[i, j, :] \sim \mathcal{N}(\mu_{i,j}^e, (\sigma_{i,j}^e)^2) = \mu_{i,j}^e + \sigma_{i,j}^e \odot \epsilon$$

where $\odot$ denotes the element-wise product. $\epsilon$ follows a Normal distribution $\mathcal{N}(0, I)$ and $[\cdot]$ represents all elements in one dimension. In (5), variables $\mu_i^v$, $\sigma_i^v$, $\mu_{i,j}^e$, and $\sigma_{i,j}^e$ are obtained from $M_\phi$:

$$\mu_i^v, \sigma_i^v = M_\phi(V^B[0 : i - 1, E^B[0 : i - 1, :])$$
$$\mu_{i,j}^e, \sigma_{i,j}^e = M_\phi(V^B[0 : i], E^B[0 : i, 0 : j - 1])$$

where $[0 : i]$ represents the elements from index 0 to index $i$. According to (6), the generation of the current node depends on all previous nodes and edges. After finishing the generation step of one node, we generate the edges that connect this node to all previous nodes. Finally, $E^B$ will be an upper-triangular matrix since only the latter generated nodes have edges pointed to formerly generated nodes. To illustrate this process, we provide an example in (a) of Fig. 2, where three nodes are iteratively generated as well as the edges connecting them.
Figure 2: (a) The generation process of a Behavioral Graph. (b) The causal graph and Behavioral Graph used in the example of (a). (c) The explanation of CVM when generating edges for c, where irrelevant node b is masked out in both $V^B$ and $E^B$.

3. Causal Autoregressive Flow (CausalAF)

Transferring the prior knowledge from $G^C$ to $G^B$ can be implemented by increasing the similarity between them. However, this similarity is not easy to calculate because it includes the directions between nodes and the input information of nodes. To solve this problem, we propose the CausalAF model with two causal masks, i.e., Causal Order Masks (COM) and Causal Visible Masks (CVM), that make the generated $G^B$ follow the causal information given in $G^C$. Particularly, COM is designed for regulating the order of the node generation, and CVM dynamically masks out irrelevant information during the edge generation.

3.1. Causal Order Masks

The order is vital during the generation of $G^C$ since we must ensure the cause is generated before the effect. To achieve this, we maintain a priority queue $Q$ to store the valid node types for the current step. $Q$ is initialized with $Q = \{x_i | \text{pa}(x_i) = \emptyset\}$, which means all nodes that do not have parent nodes are valid at the beginning. Then, in each node generation step, we update $S$ by removing the generated node $x_i$ and adding the child nodes of $x_i$. Notice that one node could have multiple parents; thus, we consider one node valid only if all of its parents have been generated.

To encourage the model to generate nodes that satisfy the causal order, we use $Q$ to create a $k$-hot mask $M^o(G^C) \in \mathbb{R}^n$, where the element is set to 1 if it is corresponding to a valid node. Then, the type of next node $x_i$ will be obtain by

$$v_i = \arg \max (M^o(G^C) \odot \text{softmax}(V^B[i,:]))$$

(7)

where $V^B[i,:]$ is the original node matrix obtained from $M_o$ for node $x_i$. Intuitively, this mask reduces the probability of the invalid node types to 0 to make sure the generated node always follows the correct order.
3.2. Causal Visible Masks

Ensuring a correct causal order is still insufficient to represent the causality, which will be discussed in the later experiments. Thus, we further propose another type of mask called CVM. COM serves as a precondition for CVM in that it guarantees the existence of one node’s parents before this node is ready to be generated. Otherwise, one node may lose prior information without knowing its causes.

At the step of generating edges for node $x_i$, we maintain the current generated graph with $G^B(t) = (V^B(t), E^B(t))$, where $t$ is the index for current step. Then, CVM is implemented with $M^x(G^C) \in \mathbb{R}^{m \times n}$ and $M^e(G^C) \in \mathbb{R}^{m \times m \times (h_1 + h_2)}$ that satisfy:

$$M^x(G^C)[j, :] = 0, \quad M^e(G^C)[; j, :] = 0, \quad M^e(G^C)[j, ;] = 0, \quad \forall \{j \mid x_j \notin \text{pa}(x_i)\}$$  \hspace{1cm} (8)

With these two masks, we can update $G^B(t)$ before using it for next step by

$$V^B(t) = V^B(t) \odot M^x(G^C)$$

$$E^B(t) = E^B(t) \odot M^e(G^C)$$  \hspace{1cm} (9)

According to the autoregressive generation, one node will only consider the information from its parents. One thing to note is that the updated $G^B(t)$ in (9) cannot be directly used because of the existence of 0 elements caused by the mask $M^e$. To fix this problem, we should shift the positions of nodes in $V^B(t)$ and $E^B(t)$ to eliminate improper 0 elements.

We illustrate an example of CVM in (c) of Fig. 2. Assume we are generating edges for node $c$. We need to remove node $b$ since node $B$ does not have edges to node $C$. After applying $M^x(G^C)$ and $M^e(G^C)$, we move the features of node $c$ to the previous position of $b$.

3.3. Goal-directed Optimization

We then discuss the training of CausalAF. The target of goal-directed generation is to create samples satisfying a given goal, which is formulated as an objective function $L_g$. Then, the optimization is to solve the following problem:

$$\min_{\phi} \mathbb{E}_{G^B \sim M^{-1}_{\phi}}[L_g(G^B)]$$  \hspace{1cm} (10)

Usually, the objective $L_g$ contains non-differentiable operators (e.g., complicated simulation and rendering), thus we have to utilize black-box optimization methods to solve the problem. We consider a policy gradient algorithm named REINFORCE (Williams, 1992), which obtains the estimation of the gradient from samples by

$$\nabla_{\phi}L_g(G^B) = \mathbb{E}_{G^B \sim M_{\phi}^{-1}}[\nabla_{\phi} \log M_{\phi}(G^B) L_g(G^B)] = \frac{1}{N} \sum_{i=1}^{N} (\nabla_{\phi} \log M_{\phi}(G^B_i) L_g(G^B_i))$$  \hspace{1cm} (11)

where $N$ is the number of samples used for each iteration. To provide proper initialization for generating $G^B$, we pre-train the generative model $M_{\phi}$ with collected (real-world) datasets. Note that we do not require the dataset to be consistent with the $G^C$. Overall, the entire training algorithm is summarized in Algorithm 1.
Algorithm 1: Training process of CausalAF

**Input:** Dataset $D$, Causal Graph $G^C$, Goal $L_g$, Learning rate $\alpha$, Maximum node number $m$

**Output:** The trained model $M_\phi$

1. Initialize $M_\phi$ by maximizing (3) on $D$

2. while not converged do

3. // Sample a BG from model $G^B \sim M_\phi^{-1} ()$

4. for $i < m$ do

5. Sample a node $V^B[i,:]$ by (5)

6. Calculate $M^\phi(G^C)$ for COM and apply (7) to get the node type $v_i$

7. Calculate $M^x(G^C)$ and $M^e(G^C)$ for CVM by (8)

8. for $j < i$ do

9. Apply CVM to node matrix $V^B$ and edge matrix $E^B$ by (9)

10. Sample an edge $E^B[i,j,:]$ by (5)

end

end

13. // Learn model parameters()

14. Calculate the likelihood $M_\phi(G^B)$ of the sample

15. Execute $G^B$ to get the goal objective $L_g(G^B)$

16. Update parameters with $\phi = \phi - \alpha \nabla_\phi L_g(G^B)$ by gradient estimated via (11)

end

3.4. Conditional Generation

Thanks to the autoregressive generation of CausalAF, we are able to conduct generation conditioned on arbitrary numbers or types of nodes. Instead of generating from the scratch, we can start from an existing $G^B_c$ for the generation with $G^B \sim M_\phi^{-1}(\cdot | G^B_c)$. The generative and optimization procedures are the same as before. The conditional generation can be used for interactive scenes, e.g., using the autonomous vehicle’s information as a condition to generate safety-critical scenes, or using the distribution of vehicles in the real world, etc.

4. Experiment

We evaluate CausalAF using three top pre-crash traffic scenes defined by U.S. Department of Transportation (Najm et al., 2013) and Euro New Car Assessment Program (Van Ratingen et al., 2016). The benefit of the experimental setting is that humans usually have good intuitions of traffic scenes to examine the results. However, our empirical results show that it may not be trivial for the generative models to learn the underlying causality given the observational data, even if such causality seems understandable to humans. Particularly, we conduct a series experiments to answer three main questions:

- Whether there is a significant benefit to integrate causation into the generative models?
- What is the influence of scene’s complexity on the generative models with or without causation?
- What is the performance of generation conditioned on a partial $G^B$?

Quick answers to these questions: CausalAF outperforms the baseline in both small and large scales of scenes; Increasing the complexity of the scene does not influence CausalAF much but a lot on
Figure 3: Three causal traffic scenes used in our experiments with corresponding causal graphs shown on the upper right of each scenes. Please refer to Sec. 4.1 for the description of three scenes and causal graphs.

the baselines; CausalAF also performs well on the conditional generation task, where it generates different safety-critical scenarios according to different behaviors of the autonomous vehicle. We will show that these advantages can be mainly attributed to the causation introduced by COM and CVM that eliminates irrelevant (noncausal) variables.

4.1. Simulator and Three typical Scenes

We consider three safety-critical traffic scenes (shown in Fig. 3) that have clear causation. The $G_C$ for each scenario is displayed on the upper right of the scene. These $G_C$ are not necessarily unique for the scene, while they just hypothesize the potential causation.

• **Traffic-light.** One potential safety-critical event could happen when the traffic light $T$ turns from green to yellow to give road right to an autonomous vehicle $A$. $R$ runs the red light, colliding with with $A$ perpendicularly. Here, $A$ node is the parent for both $T$ and $R$. $T$ is also a parent for $R$ because the risk vehicle follows the traffic light $T$.

• **Pedestrian.** A pedestrian $P$ and an autonomous vehicle $A$ are crossing the road in vertical directions. There also exists a static vehicle $S$ parked by the side of the road. Then a potentially risky scene could happen when $S$ blocks the vision of $A$ and $P$. In this scene, $A$ node is the parent for both $P$ and $S$. $S$ is also a parent for $P$ since $S$ determines the vision of $P$.

• **Lane-changing.** An autonomous vehicle $A$ takes a lane-changing behavior due to a static car $S$ parked in front of it. Meanwhile, a vehicle $R$ drives in the opposite lane. When $S$ blocks the vision of $A$, then $A$ is likely to collide with $R$. In this scene, we make $A$ node as the parent for both $R$ and $S$. $S$ is also a parent for $R$ since the $S$ determines the vision of $P$.

We implement these scenes in a 2D simulator, where all agents have radar sensors and dynamic models. To avoid unrealistic collisions, the agent will brake if it detects any obstacles in front of it. In this case, the collision will not happen unless the radar of one agent is blocked and the distance is smaller than the braking distance. This setting is vital in that it avoids unrealistic collisions and makes the collision as sparse as in the real world. During the experiments, the goal-directed generative model firstly samples an $G_B$. Then, the physical properties (e.g., position and velocity) defined in the generated $G_B$ is executed in the simulator to create sequential scenes. After the execution, the simulator outputs the objective function $L_g(G_B)$ as the simulation result.
Figure 4: The training objective $L_g(G^B)$ of three scenes under two settings: (a)-(c) have 1 irrelevant vehicle, while (d)-(f) have 6 irrelevant vehicles.

Notice that one node may have multiple edges pointing to other nodes. In this case, only the last generated edge is effective and will be executed in the downstream task. Also, different from $G^C$, two nodes without connection in $G^B$ do not mean there is no causation between them since $G^B$ only represents the behavior of objects.

4.2. Performance on Goal-directed Generation

Our goal is to generate risky scenarios that make collision happen for node $A$. Therefore, we set the object function to be a very sparse function:

$$L_g(G^B) = \begin{cases} 
1, & \text{if } G^B \text{ causes collision} \\
-1, & \text{else} 
\end{cases} \quad (12)$$

Since generating goal-directed scenes is a new task, there are no existing methods to compare. We implement a baseline model with exactly the same structure as CausalAF without considering the causation during generation to represent data-driven generative models. We also compare with a model without CVM to conduct ablation studies. To avoid generating invalid $G^B$, we start training three methods from the same pre-trained model on a synthetic dataset. The dataset is collected in the same simulator with random generation of all agents. We can also use the dataset collected from the real world to make generated scenes more realistic.

We show the training objectives of three scenes in Fig 4 (a)-(c). Notice that there are two temperatures $T = 0.5$ and $T = 1.0$ for all methods, which is use to control the sampling variance $\epsilon \sim \mathcal{N}(0, T)$. A large temperature provides strong exploration but also causes slow convergence. We can see that in all three scenes, CausalAF outperforms baseline, and the gap is more significant under $T = 1.0$ setting than $T = 0.5$. The reason could be that the new node heavily depends on previously
4.3. Influence of Scene Complexity

Intuitively, generating a complex scene will make the model much harder to achieve goals since there is too much influence and noise during the learning. To test the scalability of CausalAF, we conduct experiments on the three scenes again with 6 irrelevant vehicles to match the real-world cases. The example of the Pedestrian scene is shown in Fig. 5 (c). In Fig 4 (d)-(f), we can see that adding more irrelevant vehicles enlarges the gap between CausalAF and baseline for both temperature settings. Specifically, CausalAF almost keeps the same performance in all scenes, while the baseline has significant performance degradation. Again, results show CausalAF without CVM cannot match the performance of CausalAF under this setting.

To explore the gap between Causal and baseline caused by the number of irrelevant vehicles, we gradually add the number of irrelevant vehicles (I node) in the Pedestrian scene and plot the
objectives in Fig. 5 (a)(b). The results show that the influence of complexity of traffic scenes on CausalAF caused by irrelevant information is negligible.

The above results can be explained by the usage of COM and CVM in CausalAF. With these masks, CausalAF is able to diminish the impact of irrelevant information. On the contrary, as the number of nodes increases, it becomes very challenging for the baseline method to generate satisfied samples from a long generation sequence.

4.4. Conditional Generation for More General Settings

In the previous experiments, we fixed the position and velocity of the autonomous vehicle A for simplicity. To test the performance under a more generalized setting, we randomly sample the position and velocity of the autonomous vehicle (A node) and use this node as a condition instead of generating it. We plot both the training and testing objectives with $T = 1.0$ in Fig. 6. The testing objective is obtained by deterministic samples with setting $T = 0.001$. We observe that both CausalAF baseline requires more time to solve the problem (testing objective reaches 1.0). However, CausalAF still show large advantages over baseline on all three scenes, especially on the Pedestrian scene where baseline fails to solve the problem.

These results demonstrate that CausalAF can quickly generate conditional scenes that are safety-critical to autonomous vehicles with different positions and velocities. This conditional generation makes it possible to generalize the generative model to evaluate unseen autonomous vehicles during the training.

5. Related Work

5.1. Goal-directed Generative Models

DGMs, such as Generative Adversarial Networks (Goodfellow et al., 2014a) and Variational Autoencoder (Kingma and Welling, 2013), have shown powerful capability in randomly data generation tasks (Brock et al., 2018). Thanks to the boom of diverse DGMs, goal-directed generation methods are widely used in many applications (Mollaysa et al., 2020). One line of research leverages conditional GAN (Mirza and Osindero, 2014) and conditional VAE (Sohn et al., 2015), which take as input the conditions or labels during the training stage. Another line of research injects the goal into the model after the training. (Engel et al., 2017) proposes a latent space optimization framework that finds the samples by searching in the latent space. This spirit is also adopted in other fields: (Mollaysa et al., 2019) finds the molecules that satisfy specific chemical properties, (Abdal et al., 2020) searches in the latent space of StyleGAN (Karras et al., 2019) to obtain targeted images.

Recent works combine the advantages of the above two lines by retraining the generative model during the search. To expand the area of the desired region in the latent space, (Tripp et al., 2020) iteratively updates the high-quality samples and retrain the model weights. (Shi et al., 2020) pre-trains the generative model and optimize the sample distribution with reinforcement learning algorithms. This paper enhances the generalizability and efficiency by leveraging causation graphs so that it is applicable to rare safety-critical scenes.

5.2. Safety-critical Traffic Scene Generation

Traditional traffic scene generation algorithms sample from pre-defined rules and grammars, such as probabilistic scene graphs (Prakash et al., 2019) and heuristic rules (Dosovitskiy et al., 2017).
In contrast, DGMs (Devaranjan et al., 2020; Tan et al., 2021; Ding et al., 2018, 2020) are recently used to learn the distribution of objects to construct diverse scenes. There are two lines of work. One is to directly search for the adversarial scenes. (Zeng et al., 2019) modifies the light condition. (Alcorn et al., 2019; Xiao et al., 2019; Jain et al., 2019) manipulate the pose of objects in traffic scenes. (Tu et al., 2020; Abdelfattah et al., 2021) adds objects on the top of existing vehicles to make them disappear, (Sun et al., 2020) creates a ghost vehicle by adding an ignorable number of points, and (Ding et al., 2021b) generates the layout of the traffic scene with a tree structure integrated with human knowledge. Another line of research generates the risky scenes while also considering the likelihood of occurring of the scenes in the real world, which requires a probabilistic model of the environment. (Zhao et al., 2017; O’Kelly et al., 2018; Arief et al., 2021) used various importance sampling approaches to generate risky but probable scenes. (Ding et al., 2020) merges the naturalistic and collision datasets with conditional VAE to generate near-misses. (Ding et al., 2021a) uses reinforcement learning to search for risky cyclist encounters for victim cars with a penalty of rarity. Compared with purely probabilistic methods, CausalAF method may have better generalization, data efficiency, and statistically robust against sparse data as it not only learns Bayesian models but also capture the causation of collisions.

5.3. Causal Generative Models and Representation Learning

The research of causality, mainly described with probabilistic graphical models-based language (Pearl, 2009), is usually divided into two aspects: causal discovery tries to find the underlying mechanism from the observational and interventional data. In contrast, causal inference extrapolates the given causality to solve new problems. Discovering the causal graph has been prevalent for several decades. (Zhu et al., 2019) proposed a flexible and efficient RL-based method to search over the DAGs space for the best causal graph that fits the dataset. A toolbox named NOTEARs is proposed in (Zheng et al., 2018) to learn causal structure in a fully differentiable way, which drastically reduces the complexity caused by combinatorial optimization. (Heckerman et al., 1995) show the identifiability of learned causal structure from interventional data, which is obtained by manipulating the causal system under interventions.

Recently, causality has been introduced into DGMs to learn the cause and effect with representation learning. CausalGAN (Kocaoglu et al., 2017) captures the causation between labels by training the generator with the causal graph as a prior, which is very similar to our setting. In CausalVAE (Yang et al., 2021), the authors disentangle latent factors by learning a causal graph from data and corresponding labels. Previous work CAREFL (Khemakhem et al., 2021) also explored the combination of causation and autoregressive flow-based model and is used for causal discovery and prediction tasks.

6. Conclusion

This paper proposes a causal generative model that generates sequential scenes with causal graphs obtained from humans prior. To incorporate the graphical structure of causal graphs, we design a novel scene representation called the Behavioral Graph. The autoregressive generation process of BG makes it possible to inject the causation via regulating the generating order and modifying the graph connection. By introducing causation into generative models, we are able to efficiently create rare scenes that might be difficult to find, such as safety-critical traffic scenes. We evaluate our CausalAF model on three scenes that have clear causation. The experiment results demonstrate that CausalAF
outperforms the baseline in terms of efficiency and performance. One limitation of this work is that
the causal graph, usually summarized by humans, is assumed to be always correct. We will explore
methods robust to potential human errors or bias when generating the causal graph.

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