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SU(3) and SU(4) Singlet Quantum Hall States at $\nu = 2/3$

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We report on an exact diagonalization study of fractional quantum Hall states at a filling factor of $\nu = 2/3$ in a system with a fourfold degenerate $n = 0$ Landau level and SU(4) symmetric Coulomb interactions. Our investigation reveals previously unidentified SU(3) and SU(4) singlet ground states which appear at a flux quantum shift $2$ when a spherical geometry is employed and lie outside the established composite-fermion or multicomponent Halperin state patterns. We evaluate the two-particle correlation functions of these states and discuss quantum phase transitions in graphene between singlet states with a different number of components as the magnetic field strength is increased.

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Introduction.---The presence of internal degrees of freedom in the quantum Hall regime has often provided a fertile ground for the emergence of new strongly correlated quantum liquid physics. Examples include the pioneering work of Halperin [1] in which he constructed multicomponent generalizations of the celebrated Laughlin states [2], the prediction of Skyrmion quasiparticles [3] in systems with a small Zeeman splitting, and the identification of excitonic superfluidity [4,5] in bilayer systems.

Multicomponent fractional quantum Hall systems are often experimentally relevant thanks to the rich variety of two-dimensional electron systems that possess nearly degenerate internal degrees of freedom, for example, spins [1], layers [6], and/or subbands [7,8] in GaAs quantum wells; spins and/or valleys in graphene [9]; anomalous additional orbital indices in the $N = 0$ Landau levels of few-layer graphene [10–12]; valleys in AlAs [13]; and cyclotron and Zeeman splittings that have been tuned to equality in ZnO [14,15]. In monolayer and bilayer graphene, in particular, the nearly fourfold and eightfold degenerate $N = 0$ Landau levels have recently been shown to give rise to interesting examples of ground states with competing orders [16–26].

A diverse toolkit of theoretical approaches that can be successfully applied to understand fractional quantum Hall states has accumulated over the nearly three decades of research. One of the most widely employed frameworks is that of composite fermions [27,28]. The success of the composite fermion picture stems in part from its simplicity, since it allows fractional quantum Hall states of electrons to be viewed as integer quantum Hall states of composite fermions. An important success of the composite fermion approach is that it provides explicit trial wave functions that accurately approximate the ground states computed using exact diagonalization for the Jain sequence of filling fractions $\nu = n/(2n \pm 1)$ [27,28]. The composite fermion picture can be generalized to account for a multicomponent Hilbert space, and it has been argued that it correctly captures the incompressible ground states of four-component systems with SU(4) invariant Coulomb interactions [29–31]. However, a detailed test of the composite fermion theory in the SU(3) and SU(4) cases has been absent.

In this Letter, we report on a striking deviation from the composite-fermion picture arising at a filling fraction $\nu = 2/3$ for three- and four-component electrons residing in the $n = 0$ Landau level and interacting via the Coulomb potential. This circumstance is relevant to the fractional quantum Hall effect in graphene [25,26,32,33] and also bilayer quantum wells [34,35]. Employing exact diagonalization for the torus and sphere geometries, we find that SU(3) and SU(4) singlets, in which electrons, respectively, occupy three and four components equally, have a lower energy than the known single-component state and SU(2) singlet [36,37] at the same filling factor. More specifically, we find that on the torus, the ground state for $N_e = 6$ electrons and $N_\phi = 9$ flux quanta is a SU(3) singlet, and that for $N_e = 8$ and $N_\phi = 12$, the ground state is a SU(4) singlet. There are previous exact diagonalization studies of SU(4) Landau levels [29,38,39], but to our knowledge, there is no previous report of the states we describe below.

On the sphere, a shift $S$ occurs in the finite-size relationship between flux quanta and electrons compared to the torus $N_\phi = \nu^{-1}N_e - S$. The shift is a quantum number that often distinguishes competing quantum Hall states associated with the same filling factor. In particular, under space rotational invariance, any two states that differ in their shift cannot be adiabatically connected and would thus belong to distinct quantum Hall phases [40–42]. Our SU(3) and SU(4) singlets appear on the sphere at $(N_\phi, N_e) = (7, 6)$ and at $(N_\phi, N_e) = (10, 8)$, respectively, corresponding to a shift $S = 2$ in both cases.

For two-component electrons, the composite fermion picture allows two competing trial wave functions at
One is a fully spin polarized state that approximates the particle-hole conjugate of the \( \nu = 1/3 \) Laughlin state. The second is a SU(2) spin singlet, constructed from the \( \nu = -2 \) integer quantum Hall ferromagnet by flux attachment [28,44]. This state approximates the singlet ground state of the SU(2) symmetric Coulomb interaction [36,37]. No new competing states are expected at \( \nu = 2/3 \) upon increasing the number of components from two to three and four. [29–31]. Our findings indicate that this expectation breaks down.

Another way to construct multicomponent wave functions is to follow Halperin’s approach [1] in which one requires that the wave function vanishes with power \( m_s \) (\( m_d \)) when pairs of particles in the same (different) component approach each other. A four-component Halperin wave function arises naturally at \( \nu = 2/3 \) with \( m_s = m_d = 1 \). This state is not an exact singlet because it does not satisfy Fock’s cyclic condition [28]. This alone does not rule out this wave function as a legitimate trial state, because one could still imagine it to be adiabatically connected to the exact singlet when exact SU(4) symmetry is relaxed. However, this Halperin wave function has a shift \( S = 3 \), which differs from the shift \( S = 2 \) of the SU(4) singlet discovered numerically. Therefore, the two states can not be adiabatically connected in a system with rotational invariance. For the three-component case, there are no multicomponent Halperin wave functions at \( \nu = 2/3 \).

A possible strategy to construct trial wave functions for the new singlet states, detailed in the Supplemental Material [45], starts from a SU(\( n \)) singlet state \( \psi_n \) at an integer filling \( \nu = n \). \( \psi_n \) is the Slater determinant state in which \( n \)– fold degenerate lowest Landau levels are fully occupied. SU(3) and SU(4) singlets with the desired filling \( \nu = 2/3 \) and shift \( S = 2 \) are then obtained by multiplying the Slater determinant \( \psi_n \) by appropriate Jastrow-type factors. Even within this rather general strategy, we have not found fully satisfactory trial wave functions that display similar short distance correlations with the states found in exact diagonalization. We hope our work can stimulate future studies that fully elucidate these new singlet states.

Energy spectra.—We consider the Coulomb interaction Hamiltonian projected to a \( N = 4 \) component \( n = 0 \) Landau level (LL):

\[
H = \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|r_i - r_j|}.
\]

Because the Coulomb interaction is independent of flavors, the Hamiltonian is SU(4) invariant. Since SU(3) is a subgroup of SU(4), the SU(3) spectrum is embedded in the current problem. Below we use the magnetic length \( l_B = \sqrt{\hbar c/eB} \) and the Coulomb energy \( e^2/\epsilon l_B \) as length and energy units. Eigenstates of \( H \) may be grouped into SU(4) multiplets. Within a multiplet, states are connected to each other by SU(4) transformations. A multiplet can be labeled by its highest weight state \( \langle N_1 N_2 N_3 N_4 \rangle \) [46]. Here, \( N_1, \ldots, N_4 \) are the number of electrons in each component with \( N_1 \geq N_2 \geq N_3 \geq N_4 \). A SU(\( n \)) singlet \( (n \geq 2) \) has a highest weight given by \( N_1 = \cdots = N_n \) and \( N_i = 0 \) for \( i > n \), and is invariant under the SU(\( n \)) transformation within the occupied components.

By applying periodic boundary conditions on a torus, magnetic translational symmetry can be used to classify many-body states [47]. Figure 1 shows energy as a function of momentum at filling factor \( \nu = 2/3 \). In Fig. 1(a), \( N_\Phi \) and \( N_e \) are, respectively, 9 and 6, and the ground state is a SU(3) singlet that has zero momentum, implying that it is a translationally invariant quantum fluid state. The first excited state at zero momentum is the well-known SU(2) singlet [36,37] described in the introduction. The third excited state at zero momentum is the single-component particle-hole conjugate state of the \( \nu = 1/3 \) Laughlin state.

In Fig. 1(b), \( N_\Phi \) and \( N_e \) are increased to 12 and 8, respectively, and the ground state is a SU(4) singlet at zero momentum. The first and second excited states at zero momentum, labeled by (3320) and (4400), are very close in energy. The particle-hole conjugate of the \( \nu = 1/3 \) Laughlin state has a higher energy and is buried deep in the continuum.

To determine the shift \( S \) of the \( \nu = 2/3 \) singlets on the sphere, we vary \( N_e \) while keeping \( N_e \) fixed. Figure 2 shows
the ground state energy on the sphere as a function of $N_φ$ at $N_ε = 6$ [Fig. 2(a)] and $N_ε = 8$ [Fig. 2(b)]. For $N_ε = 6$ [Fig. 2(a)], the ground state at $N_φ = 8$ is a SU(2) singlet, which is the composite-fermion singlet with $ν = 2/3$ and $S = 1$. At $N_φ = 7$, the ground state is our new SU(3) singlet at $ν = 2/3$ with $S = 2$. Note that a SU(3) singlet also appears at $N_φ = 9$, which we identify as a composite-fermion SU(3) singlet with $ν = 3/5$ and $S = 1$. The analysis of Fig. 2(b) is similar. We identify the SU(4) singlet at $N_ε = 8$ and $N_φ = 10$ to $ν = 2/3$ with shift $S = 2$.

In Table I, we compare the Coulomb energies between the SU(3) or SU(4) singlets and the SU(2) singlet at $ν = 2/3$ [48]. In graphene, Zeeman energy favors the SU(2) singlet which can have a full spin polarization. Ideally, one would observe a transition from the new singlet states discovered here as the magnetic field is increased. The absence of an apparent transition in current experiments [26] might be explained by screening [49,50] and Landau level mixing effects [51,52], which tend to weaken effective interaction strengths, reducing the critical fields to values where it is challenging to observe the fractional quantum Hall effect.

The largest system size we have attempted is on a torus with $N_ε = 2N_φ/3 = 10$. For this number of electrons, it is impossible to construct exact SU(3) or SU(4) singlets. We restricted the numerical calculation to threefold degenerate LLs, and found that a multiplet labeled by (4420) has a lower energy than the SU(2) singlet. This adds to evidence that the $ν = 2/3$ SU(2) singlet predicted by the composite fermion theory is not the ground state in LLs with more than two components. We hope that future studies will be able to extend our study to larger system sizes.

**Pair correlation functions.**—We now discuss the spatial correlation functions that describe the probability of finding two electrons at a certain distance from each other. We have found that our new SU(3) and SU(4) singlets have similar short-distance correlations to the conventional SU(2) singlet and the single component state at $ν = 2/3$, and the long-distance correlations are different. The flavor-dependent spatial correlation function $g_αβ(\vec{r})$ is defined by

\[
g_αβ(\vec{r}) = \frac{A}{N_αN_β} \sum_{i≠j} δ(\vec{r}_i - \vec{r}_j - \vec{r})(\langle χ_α | χ_i \rangle \langle χ_β | χ_j \rangle),
\]

where $A$ is the area of the 2D system, and $N_α$ is the number of electrons in the flavor state $| χ_α \rangle$.

Figures 3(a) and 3(b) plot $g_αβ(\vec{r})$ of $ν = 2/3$ states along the diagonal line of the torus, i.e., along $r_x = r_y$. As required by the Pauli exclusion principle, $g_{11}(r)$ vanishes as $r → 0$. It turns out that $g_{12}(r)$ is very small, but not exactly zero, at $r = 0$ for the singlets. In graphene, the SU(4) symmetry is weakly broken by short-range interactions that arise from lattice-scale Coulomb interactions and electron-phonon interactions. The short-range interactions are typically modeled by a $δ-$ function potential [18]. Since the probability for two electrons to spatially overlap is small in these $ν = 2/3$ singlets, the short-range interactions should have an negligible effect on these states [19–21].

At a small electron separation, $g_{11}(r)$ is similar in all singlet states, and, likewise, $g_{12}(r)$, with $g_{13}(r)$ smaller than $g_{11}(r)$ as shown in Figs. 3(a) and 3(b). We note that the four-component Halperin wave function with $m_s = 3$ and $m_d = 1$ has the opposite behavior, i.e., $g_{12}(r) > g_{11}(r)$ for small $r$. This is another distinct feature between the Halperin wave function and the exact SU(4) singlet, besides the difference in the shift.

The similarities between the pair correlation functions of different singlet states at small $r$ do not extend to larger

---

**TABLE I.** Energy difference per electron between SU(3) or SU(4) and SU(2) singlet states on a torus at $ν = 2/3$. $ΔE_C$ is the energy difference for a pure Coulomb interaction. $ΔE_Z$ is the Zeeman coupling energy difference between states in graphene with a $\delta$ factor of 2. $μ_μ$ is the Bohr magneton. For comparison, $|μ_μB| / |e| = \sqrt{\frac{10^{-3} e \sqrt{B}}{T}}$. The critical field $B_ε$ is obtained by setting $ΔE_C + ΔE_Z$ to 0.

| $N_e$ | $N_φ$ | $ΔE_C/N_e |e|^2 / (|e|B)$ | $ΔE_Z/N_e |μ_μB|$ | $B_ε[T]$ |
|-------|-------|-----------------|----------------|---------|
| 6     | 3     | 2.7203 × 10^{-3} | 2/3            | 16.65/e^2 |
| 8     | 4     | 2.3015 × 10^{-3} | 1              | 5.30/e^2  |
The approximation in the second line of Eq. (5) follows from the fact that \( \mathcal{L}_{\alpha \beta}(0) = 4g_{\alpha \beta}(0) \) is always extremely small for the states we consider. Values of \( \mathcal{L}_{\alpha \beta}(1) \) are displayed in Fig. 3(c). Like the pair correlation functions, \( \mathcal{L}_{\alpha \beta}(1) \) has similar values in all singlet states for both \( \alpha = \beta \) and \( \alpha \neq \beta \). As proved in the Supplemental Material [45], 
\[
\langle L_{11}(1) \rangle_s = 2\langle L_{12}(1) \rangle_s
\]
in any singlet state. This property explains why \( g_{12}(r) \) is smaller than \( g_{11}(r) \) at small \( r \).

The energy per electron of a SU\((n)\) singlet can be decomposed into contributions from interactions in different angular momenta channel:

\[
\langle H/N e \rangle_s = \sum_m V_m [\epsilon_m(n) - (N e - 1)/N \Phi],
\]

\[
\epsilon_m(n) = \frac{\nu}{4} \left[ \langle L_{12}(m) \rangle_s + \frac{1}{n} \langle L_{11}(m) - L_{12}(m) \rangle_s \right],
\]

where \( V_m \) is the \( m \)th Haldane pseudopotential of the Coulomb interaction [28], and the term \((N e - 1)/N \Phi\) takes into account the contribution from the neutralizing background. For the \( \nu = 2/3 \) SU\((n)\) singlets described above, \( \epsilon_0(n) \) is approximately zero, while \( \epsilon_1(n) \) decreases as \( n \) increases from 2 to 3 or 4. This analysis sheds light on why SU\((3)\) and SU\((4)\) singlets have a lower energy than the SU\((2)\) singlet at \( \nu = 2/3 \).

Summary.—By diagonalizing the Coulomb interaction Hamiltonian for electrons in multicomponent \( n = 0 \) Landau levels, we have discovered translationally invariant SU\((3)\) and SU\((4)\) singlet ground states at a filling factor \( \nu = 2/3 \). We have found these states in systems containing six and eight electrons, respectively, on both sphere and torus geometries. Both states on the sphere have shift \( S = 2 \). The pair correlation function of these states is similar to that of the composite fermion SU\((2)\) singlet state at a short electron separation and becomes different at large distances.

Our findings are striking because the states we have discovered do not fit into either the composite fermion or the multicomponent Halperin state patterns. These singlets are candidates to join the handful of important states that do not fit the simple composite fermion paradigm, such as the Pfaffian state [53] and Read-Rezayi states [54]. It is remarkable that this novel physics occurs in the lowest Landau level where past experience has suggested that composite fermions best describe Coulomb interaction incompressible states.

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