Cholinergic activity and levodopa-induced dyskinesia: a multitracer molecular imaging study

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Introduction
Levodopa is still the most effective drug in the management of Parkinson’s disease (PD), but adverse events may appear along with disease progression. In particular, 40% of PD patients may develop enduring motor fluctuations1 such as levodopa-induced dyskinesias (LIDs), which may be severely incapacitating. Young age at onset and high levodopa doses are known risk factors for its onset.2 At present, few therapeutic options exist for the treatment of LIDs.

The exact mechanisms underlying the development and maintenance of LIDs in vivo are not fully understood. Extensive evidence indicates that both pre- and postsynaptic components of the dopaminergic system contribute to the development of LIDs. Presynaptic mechanisms of particular relevance include nigrostriatal dopaminergic neuronal loss3 that results in decreased dopamine buffering capacity, and increased extracellular dopamine levels that arise with levodopa treatment.4,5

Dopamine depletion and chronic levodopa create an imbalance in striatal neurotransmitter systems and glutamatergic, serotonergic, and peptidergic system changes have been noted in LIDs.6 Previous findings in animal models also support direct involvement of the nicotinic cholinergic system. In particular, elevated cholinergic

Abstract

Objective: To investigate the association between levodopa-induced dyskinesias and striatal cholinergic activity in patients with Parkinson’s disease. Methods: This study included 13 Parkinson’s disease patients with peak-of-dose levodopa-induced dyskinesias, 12 nondyskinetic patients, and 12 healthy controls. Participants underwent 5-123Iodo-3-[2(S)-2-azetidinylmethoxy]pyridine single-photon emission computed tomography, a marker of nicotinic acetylcholine receptors, 123I-N-α-fluoropropyl-2β-carbomethoxy-3β-(4-iodophenyl)nortropane single-photon emission computed tomography, to measure dopamine reuptake transporter density and 2-18F fluoro-2-deoxyglucose positron emission tomography to assess regional cerebral metabolic activity. Striatal binding potentials, uptake values at basal ganglia structures, and correlations with clinical variables were analyzed. Results: Density of nicotinic acetylcholine receptors in the caudate nucleus of dyskinetic subjects was similar to that of healthy controls and significantly higher to that of nondyskinetic patients, in particular, contralaterally to the clinically most affected side. Interpretation: Our findings support the hypothesis that the expression of dyskinesia may be related to cholinergic neuronal excitability in a dopaminergic-depleted striatum. Cholinergic signaling would play a role in maintaining striatal dopaminergic responsiveness, possibly defining disease phenotype and progression.
signaling may contribute to motor complications arising from long-term levodopa therapy in PD. Increasing evidence also suggests that nicotine and agonists of the nicotinic acetylcholine receptors (nAChRs) reduce LIDs via nAChRs desensitization.

To further examine the role of striatal cholinergic and dopaminergic interplay in LIDs expression, we investigated PD patients with and without LIDs with three different radioligands, $[^{123}\text{I}]$iodo-3-(2(S)-2-azetidinylmethoxy) pyridine ($^{123}$I-5-IA) to determine nAChRs density, $[^{123}\text{I}]$N-$(\omega$-fluoropropyl)-2$\beta$-carbomethoxy-3$\beta$-(4-iodophenyl) nortropane ($^{123}$I-FP-CIT) to measure dopamine reuptake transporter (DAT) density, and $[^{18}\text{F}]$fluoro-2-deoxyglucose ($^{18}$F-FDG) to assess regional cerebral metabolic activity.

Materials and Methods

Subjects

We enrolled consecutive patients with a diagnosis of PD according to the UK Parkinson Disease Brain Bank criteria. The PD dyskinesias group (PDSK) consisted of 13 patients with moderate to severe bilateral choreiform peak-of-dose LIDs, without off-dyskinesias or biperidid dyskinesias, as repeatedly noted by investigators at clinical visits (>3 points at part IV of the Unified Parkinson’s Disease Rating Scale (UPDRS), items 32 and 33). The PD control group (PD) consisted of 12 subjects with stable response to levodopa and who had never experienced LIDs despite four or more years of levodopa treatment.

Patients with significant comorbidity, previous history of other neurological conditions (e.g., stroke, head injury, epilepsy), with gait and balance problems, dementia, depression or sleep disorders (e.g., REM sleep behavior disorder) were not enrolled.

None of the patients were taking amantadine, antipsychotics, antidepressants, or other drugs possibly affecting the cholinergic systems (e.g., acetylcholinesterase inhibitor). Current smokers or patients with a history of smoking were excluded from this study.

Patients were assessed with the UPDRS, the Abnormal Involuntary Movement Scale (AIMS), the Mattis Dementia Rating Scale (MDRS), the Parkinson Neuropsychometric Dementia Assessment (PANDA), the Frontal Assessment Battery (FAB), and the Beck Depression Inventory (BDI). Neurological evaluations were performed about 2 h after the first daily dose of levodopa (100/25 mg of levodopa/benserazide), shortly before starting the cholinergic imaging study. We chose this protocol to investigate patients in similar clinical conditions and the PDSK subjects while presenting LIDs. All imaging studies, clinical and neuropsychological examinations were performed within 3 months.

Imaging procedures

All patients underwent a single-photon emission computed tomography (SPECT) scan with $^{123}$I-5-IA to determine nAChRs density. SPECT scans were performed 240 min after an intravenous single bolus injection of 187.3 ± 7.2 MBq of $^{123}$I-5-IA.9,10 These data were compared with a group of 12 healthy subjects (HC) age-matched (63.9 ± 11.7 years) and gender-matched (5 males).11,12 Nineteen patients (10 PDSK and 9 PD) also performed a second SPECT with $^{123}$I-FP-CIT to measure DAT density. $^{123}$I-FP-CIT scans were started 180 min after injection of 182.1 ± 3.9 MBq of $^{123}$I-FP-CIT.13 Both 40-min scans were acquired on a dual-headed integrated SPECT/CT system (Symbia T2; Siemens, Erlangen, Germany) with 60 projections of 40 sec each, photopeak window of 159 keV ± 15%, matrix 128 × 128, and zoom factor 1.23. Reconstruction was performed using OSEM 3D (8 subsets, 8 iterations, 8 mm Gaussian filtering) and CT-based attenuation correction. PET with $^{18}$F-FDG was performed in 18 subjects (nine PDSK and nine PD) to determine brain glucose metabolism. PET scans were obtained with a combined PET/CT scanner (Biograph mCT 64; Siemens Medical Solutions, Knoxville). All patients fasted overnight (>10 h) before injection and stayed in resting conditions for 30 min between the injection of 210.3 ± 24.4 MBq of $^{18}$F-FDG and the scan. PET emission data were acquired in 3D mode for 10 min/one bed position using a 400×400 matrix with an axial resolution of 2 mm and an in-plane resolution of 4.7 mm. PET data were reconstructed iteratively (24 subsets, 3 iterations, Gaussian filtering) using HD reconstruction mode and CT-based attenuation correction.

Finally, we performed high-resolution brain MRI scans (Siemens MAGNETOM Trio 3.0 T, 12 channels head coil, Erlangen, Germany) to exclude any structural brain pathologies and for anatomical co-registration. The protocol included T2/PD-weighted turbo spin echo, fluid-attenuated inversion recovery, T1-weighted gradient recalled echo and diffusion-weighted imaging with apparent diffusion coefficient maps and an isotropic high-resolution structural T1-weighted MPRAGE-Sequence (turbo-FLASH (TFL) 1.0 × 1.0 × 1.0 mm$^3$, TR: 2530 msec, TE: 3.37 msec, FA: 9°, TI: 1200 msec).

Image analysis

Volume-of-interest (VOI) analysis of the basal ganglia was performed with PMOD Version 3.6 (PMOD Technologies Ltd., Zurich, Switzerland). After partial volume...
correction, individual images were spatially normalized to tracer-specific SPECT and PET brain templates and smoothed (8 mm full width half maximum). Each image was then delineated employing a whole brain VOI set with 67 predefined regions on the Automated Anatomical Labeling brain atlas. Average regional binding values were used to calculate regional estimates of the non-displaceable binding potential (BP) for caudate nucleus and putamen, using as a reference the average global brain (for BPFP-CIT) and the average occipital lobe binding value (for BP5-IA). Similarly, the standardized uptake value ratio (SUVRFDG) was calculated for each subject as the ratio of mean count per voxel of basal ganglia VOIs to mean count per voxel of the globalFDG brain uptake. Additionally, for 123I-FP-CIT the asymmetry index (AI) and the putamen-caudate ratio (PCIDX) were also calculated.

Statistical parametric mapping (SPM 8, Wellcome Department of Cognitive Neurology, University College, London) was additionally applied to compare the imaging data of 18F-FDG and 123I-5-IA between PDSK and PD. 18F-FDG PET images were co-registered and spatially normalized to each individual MRI-based template. After smoothing normalized images, significant differences in whole brain glucose metabolism were localized on a voxel-by-voxel basis applying the proportional global mean. PDSK and PD were compared using two-sample t statistics covaried by age, disease duration, and levodopa equivalent daily dose (LEDD). The SPM maps were obtained at a height threshold of \( P < 0.005 \) and an extent threshold of \( k \geq 100 \) voxels. With regard to 123I-5-IA binding, spatial normalization was performed as described above (see VOI analysis). Then, the laterality of all 123I-5-IA datasets to the patient’s side with more severe clinical motor symptoms was rearranged, so that the right side of the image referred to the patient’s ipsilateral hemisphere. Voxel-specific binding values (voxel/global cortical reference) were obtained using ImCalc in SPM. A two-sample t-test (PDSK vs. PD) was performed without global normalization or grand mean scaling, the masking threshold was set to zero. SPM maps were computed with a height threshold of \( P < 0.005 \) and an extent threshold of \( k \geq 100 \) voxels. SPM coordinates were finally converted into Talairach coordinates.

**Statistical analysis**

We used nonparametric ANOVA (Kruskal–Wallis test) and Steel-Dwass test to assess the differences in clinical and imaging measurements (BPFP-CIT, BP5-IA, and SUVRFDG) between groups and brain areas. Of note, BP5-IA and SUVRFDG were compared after rearranging to the hemisphere with more and less severe clinical motor symptoms (i.e., lower or higher BPFP-CIT). For HC, we used the mean value of left and right BP5-IA. Fisher’s exact test was used for categorical data. Correlations between BPs, SUVRFDG, and clinical data were analyzed with a multivariate analysis of variance and Spearman’s rank correlation coefficient (Spearman’s \( \rho \)) was used to measure dependencies between variables. We used JMP software version 12 (SAS Institute Inc., Car, North CA).

**Standard protocol approvals, registration, and patient consents**

The Institutional Review Board of the University Hospital Würzburg and the Governmental Radiation Protection Authority (Bundesamt für Strahlenschutz, Aktenzeichen: ZS-22463/2-2014-022) approved this study. All patients gave written informed consent prior to participation in the study. Some of the scans have been performed as part of the clinical workup.

**Results**

**Clinical and demographic characteristics**

There were no significant differences in age and sex between HC, PD, and PDSK. Clinical severity and stage, LEDD, and neuropsychological evaluations were similar in PD and PDSK (Table 1).

**Striatal DAT density**

Striatal BPFP-CIT did not differ between PDSK and PD (Table 2). In all patients, the putamen with lower
Table 2. Striatal dopamine reuptake transporters density and glucose metabolism.

|         | PD_PS | PD_CONTRA | PDSK_PS | PDSK_CONTRA |
|---------|-------|-----------|---------|-------------|
| BP_FP-CIT Caudate | 1.80 ± 0.70 | 1.41 ± 0.50 | 1.83 ± 0.70 | 1.60 ± 0.57 |
| BP_FP-CIT Putamen | 1.54 ± 0.57 | 1.08 ± 0.36 | 1.43 ± 0.56 | 1.20 ± 0.54 |
| SUVR FDG Caudate | 0.60 ± 0.22 | 0.60 ± 0.20 | 0.72 ± 0.10 | 0.72 ± 0.10 |
| SUVR FDG Putamen | 1.16 ± 0.13 | 1.17 ± 0.15 | 1.15 ± 0.09 | 1.15 ± 0.09 |

Data are presented as mean ± SD.
PD, PD patients; PDSK, PD patients with dyskinesia; BP, binding potential; SUVR, standardized uptake value ratio.

BP_FP-CIT was contralateral to the clinically most affected side and showed a BP_FP-CIT significantly lower than the opposite one (P < 0.001). The A1 did not differ between the two groups (AI_PUTAMEN PDSK: 17.5 ± 17.3 and PD: 31.9 ± 25.0, P = 0.23; A1_CAUDATE PDSK: 12.8 ± 16.1 and PD: 21.8 ± 24.0, P = 0.39). Also the putamen-caudate ratio did not differ between groups (PCIDX_CONTRA PDSK: −0.15 ± 0.09 and PD: −0.13 ± 0.10, P = 1.00; PCIDX_PSI PDSK: −0.13 ± 0.07 and PD: −0.08 ± 0.07, P = 0.27).

Cerebral glucose metabolism

The SUVR FDG are listed in Table 2. SUVR FDG and SPM analysis did not show any differences in brain glucose metabolism in resting state between PDSK and PD.

nAChRs measurement

PDSK showed striatal BP S-IA in the normal range and higher than nondyskinetic patients, in particular, contralaterally to the most affected side. Differences in BP S-IA between PD and PDSK were significant also when weighting for demographic parameters (i.e., age and age at onset) as well as for disease duration and LEDD (Table 3). SPM analysis confirmed higher 123I-5-IA binding values in the ipsilateral and contralateral caudate nucleus of PDSK compared to PD (Figure 1 and Table 4).

In nondyskinetic patients only, the BP S-IA of the ipsi- and the contralateral caudate nucleus negatively correlated with age (ipsilateral caudate \( \rho = -0.74, P = 0.006 \); contralateral caudate \( \rho = -0.67, P = 0.02 \)) and age at onset (ipsilateral caudate \( \rho = -0.63, P = 0.03 \); contralateral caudate \( \rho = -0.61, P = 0.03 \)) and positively correlated with SUVR FDG (ipsilateral caudate \( \rho = 0.92, P < 0.001 \); contralateral caudate \( \rho = 0.91, P < 0.001 \)).

Discussion

This is the first in vivo study to investigate cholinergic activity in patients with Parkinson’s disease with and without LIDs.

Neuronal nAChRs are pentameric ligand-gated ion channels composed of \( \alpha \)-subunits (homomeric receptors) or of \( \alpha \)- (\( \alpha 2 \) to \( \alpha 7 \)) and \( \beta \)-subunits (\( \beta 2 \) to \( \beta 4 \)) (heteromeric receptors). The \( \alpha 4/\beta 2 \)*-nAChRs are the prominent subtype in the human brain. The striatum receives dense cholinergic innervation, and although striatal cholinergic interneurons are few in number, they have widespread axonal arborizations, which can directly influence motor behavior.20,21 Among the validated ligands of nicotinic receptors, 123I-5-IA shows a high affinity for the \( \beta 2 \) subunits,22 thus providing in our case, in vivo evidence of cholinergic activity. Of note, in contrast to the general rule that chronic exposure to agonists (or a condition that increases the natural transmitter) results in downregulation of the target receptor, acetylcholine, nicotine, and other agonists (e.g., epibatidine) elicit an increase in the density of nAChRs.23

We found a normal density of nAChRs in the striatum of PDSK, which was significantly higher than nondyskinetic patients, especially in the caudate nucleus of the most dopamine-depleted hemisphere, contralateral to the clinically most affected side. This finding can be explained by an overall preserved cholinergic activity in the striatum.

Table 3. nAChRs measurements.

|         | PD_PS | PD_CONTRA | PDSK_PS | PDSK_CONTRA |
|---------|-------|-----------|---------|-------------|
| BP_SA Caudate | 0.80 ± 0.29** | 0.78 ± 0.22** | 1.00 ± 0.20** | 1.02 ± 0.16* |
| BP_SA Putamen | 1.52 ± 0.14 | 1.47 ± 0.15 | 1.46 ± 0.23 | 1.45 ± 0.22 |
| BP_SA Globus pallidus | 1.77 ± 0.18 | 1.73 ± 0.24 | 1.66 ± 0.40 | 1.71 ± 0.34 |
| BP_SA Thalamus | 1.88 ± 0.38 | 1.83 ± 0.42 | 1.90 ± 0.50 | 2.06 ± 0.48 |

Data are presented as mean (SD).
PD, PD patients; PDSK, PD patients with dyskinesia; BP, binding potential.
* and ** \( P < 0.01 \) Steel-Dwass all pairs, \( P < 0.05 \) Steel-Dwass all pairs, \( P < 0.05 \) Wilcoxon each pair.
of dyskinetic PD patients or by a maladaptive sprouting (regenerative overgrowth) of cholinergic axon terminals along with disease progression.24

Dopamine and acetylcholine are proposed to have opposing functions, so that there is a relative increase in striatal cholinergic activity in the face of a deficit in dopamine.25 Acetylcholine directly promotes dopamine release via activation of presynaptic nAChRs at nigrostriatal terminals,26 dopamine acting via D2 receptors inhibits the release of acetylcholine from the cholinergic interneurons.27 However, dopamine, acting via D1/D5 receptors, potentiates the excitability of cholinergic neurons and their responsiveness to glutamatergic input.28 It has been also shown that the release of endogenous acetylcholine is sufficient to promote dopamine release via nAChR activity without activation of dopaminergic neurons.29 Therefore, presynaptic nAChRs on dopamine terminals appear to have different modulatory influences on dopamine release, one via activity of dopamine neurons and the other via thalamo-striatal glutamate activity through cholinergic interneurons independent of the actual dopamine neuron activity.26,28 Increased glutamatergic transmission has been shown in the striatum of dyskinetic PD patients following levodopa administration.30 Results of animal model studies also indicated that increased striatal glutamatergic activity is implicated in the development and maintenance of LIDs.31,32 Recent studies showed that nAChR agonists (e.g., nicotine) attenuate LIDs, especially when striatal dopamine transmission is relatively intact.10,33 This effect is believed to occur through desensitization of nAChRs, with a consequent decline in nAChR-mediated function,34 and release of glutamate from cortical and thalamic afferent.35,36 Notably, in comparison to the putamen, the dopaminergic innervation of the caudate nucleus is partially preserved in mild-moderate subjects with PD, as in our study.

The importance of nondopaminergic transmitter systems that interact with dopaminergic projection in PD is apparent, and relevant to pharmacologic interventions directed not only toward acetylcholine, but also glutamate as well as serotonin, noradrenaline, and cannabinoids for potential antidyskinetic action.25,37 The serotonin (5-HT) system has received much attention as 5-HT fibers can convert levodopa into dopamine without the regulatory mechanisms required for dopaminergic transmission, resulting in dysregulated, nonphysiologic dopamine release, which may underlie LIDs.38,39 Acetylcholine, nicotine, and nicotinic receptor agonists (i.e., epibatidine) can significantly increase 5-HT release from striatal synaptosomes, an effect that was blocked by mecamylamine, a noncompetitive nicotinic receptor antagonist.40 In turn, 5-HT tonically inhibits acetylcholine release from striatal cholinergic neurons by acting on a presynaptic receptor localized on cholinergic terminals.41

In vitro experiments have also demonstrated that the
activation of z4/2 nAChRs by acetylcholine or nicotine provokes a long-lasting enhancement of the glutamatergic input to 5-HT neurons.42

We advance the hypothesis that, in predisposed PD patients, the cholinergic system might be involved in inducing serotoninergic neurons toward a dopamine-dependent activity. Such an acetylcholine-dependent dopamine release from serotonin neurons would also explain the selective finding of higher nAChRs in PDSK than nondyskinetic patients in the caudate nucleus. Indeed, in PD the caudate nucleus presents the combination of mild to moderate dopamine loss and scarce serotoninergic fibers. Postmortem as well as recent in vivo molecular imaging studies have suggested a more marked serotonin deficiency in the caudate nucleus than in the putamen.38,39,43

A sustained cholinergic signaling in the caudate nucleus would therefore be necessary to maintain a levodopa-derived dopamine release from the remaining striatal serotonin neurons.

An alternative explanation for such a predominant cholinergic activity in the caudate nucleus could imply a specific role of this region in the onset of dyskinesias. Experimental findings in the rat show that a serotonin loss of 20–50% in the caudate nucleus is associated with abnormal behavior (increased spontaneous locomotor activity).44

Besides the serotonin system, a vast pharmacological literature points also to a causal involvement of the noradrenaline system in motor complications of PD therapy5, and a compensatory effect of the cholinergic system could be also confined within the catecholaminergic pathways.45–47

In agreement with previous findings,48 DAT binding measurements did not differ between PDSK and PD, thus further supporting that LIDs expression does not rely on the dopaminergic tone per se, but rather on compensatory attempts and derangements of different aminergic pathways and possibly on a disproportionate in their degeneration.5,38,39 This finding, together with the usual young disease onset of dyskinetic patients2, might support the notion of a neuroprotective effect of the cholinergic system on nigrostriatal dopamine-containing neurons.49–51

In this study, we were not able to properly address a putative neuroprotective effect of the cholinergic system on nigrostriatal dopamine-containing neurons and disease progression. To this end, besides a clinical evaluation of medication, multiple FP-CIT scans would have been required as DAT binding is influenced by age and do not follow a linear decline along with disease progression.52,53

In this study, we also found a comparable resting state metabolism between PDSK and PD. However, PET measurements might have not captured subtle metabolic abnormalities or relative metabolic changes in small striatal areas. Still, in the caudate nucleus of nondyskinetic patients, we described a positive correlation between BP5IA and SUVRFDG, thus suggesting that within the caudate nucleus, cholinergic activity might enhance resting state metabolism. With regard to dyskinetic patients, a ceiling effect could be envisioned.

This study has several limitations. In particular, the lack of arterial input function prevented a quantitative assessment of z4/2*-nAChRs. Patients were investigated only while on medication, but we were mostly interested in the cholinergic status in the dyskinetic state. Furthermore, none of the dyskinetic patients would have tolerated a prolonged suspension of all dopaminergic drugs.

In conclusion, this study supports the hypothesis that the expression of dyskinesias may result from a sustained cholinergic neuronal excitability in a dopaminergic-depleted striatum. Further in vivo investigation into the role of acetylcholine, including different subtypes of receptors,59 is crucial for future development of new strategies for the pharmacological treatment of dyskinesias in patients with Parkinson’s disease.

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Author Contribution

The concept of the study was designed by IUI, KH, AKB, JV, and SS. Fundings were obtained by IUI and KH. EAM and SS took part in the development of the radioligands. The acquisition of the data was supervised by JB, GAH, KPC, CVD, and IUI. JB, SK, GM, GP, and IUI analyzed and interpreted the datasets. Statistical analysis was performed by JB, GM, and IUI. All authors contributed to drafting and critically revising the manuscript.

Conflicts of Interest

JV received grants and personal fees from Medtronic Inc., grants and personal fees from Boston Scientific, and personal fees from St. Jude, outside the submitted work. IUI received grants and personal fees from Medtronic Inc. outside the submitted work.

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