QCD Effective Locality: A Theoretical and Phenomenological Review

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Abstract

About ten years ago the use of standard functional manipulations was demonstrated to imply an unexpected property satisfied by the fermionic Green’s functions of QCD and dubbed Effective Locality. This feature of QCD is non–perturbative as it results from a full gauge invariant integration of the gluonic degrees of freedom. In this review article, a few salient theoretical aspects and phenomenological applications of this property are summarized.

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I. INTRODUCTION

Over the past decade a number of articles has been devoted to the study of a new property concerning the non-perturbative regime of \( QCD \) \[1\]–[5]. This property, of the non-perturbative fermionic Green’s functions of \( QCD \), has been named Effective Locality, or \( EL \) for short. In words, the \( EL \) property can be phrased as follows.

For any fermionic \( 2n \)-point Green’s functions, the full gauge-fixed sum of cubic and quartic gluonic interactions, fermionic loops included, results in a local contact-type interaction. This local interaction is mediated by a tensorial field which is antisymmetric both in Lorentz and colour indices. Moreover, the resulting sum appears to be fully gauge-fixing independent.

Because the integrations of elementary degrees of freedom usually result in highly non-local effective interactions, this resulting Effective Locality is rather surprising and is suggestive of a form of duality.

In the pure euclidean Yang–Mills case in effect, and at first non trivial order of a semi-classical expansion, this same phenomenon of a resulting local effective interaction has been noticed in an attempt to find a formulation dual to the original Yang–Mills theory \[6\]. The case of \( QCD \) is different, though. Apart from a supersymmetric extension \[7\], \( QCD \) is not known to admit any dual formulation and Effective Locality calculations themselves also attest to this situation. It remains that Effective Locality calculations proceed from first principles and offer a useful means to learn about non-perturbative physics in \( QCD \).

The current paper aims at offering a concise review of the \( EL \) outputs both at theoretical and phenomenological levels. In the next Section the basics of the \( EL \) property will accordingly be recalled, while Section III will summarise its theoretical aspects. The phenomenological calculations which have been achieved out the \( EL \) property are presented in Section IV and a concise conclusion about these ongoing analyses is given in Section V.

II. EFFECTIVE LOCALITY IN SHORT

A. The 4-point fermionic Green’s function

Effective Locality is derived in the context of \( QCD \), with the help of standard functional techniques. Thus, one starts with the \( QCD \) lagrangian, to which, is added and subtracted...
one and the same covariant density of $(1/2\zeta) (\partial^\mu A^a_\mu)^2$, 

$$\mathcal{L}_{\text{QCD}} = \bar{\psi} (i \not\partial - m) \psi - \frac{1}{4} F^{a\mu\nu}_\mu F^{a\mu\nu}_\mu - \frac{1}{2\zeta} (\partial^\mu A^a_\mu)^2 + \frac{1}{2\zeta} (\partial^\mu A^a_\mu)^2 + g \bar{\psi} A^a_\mu \lambda^a \psi$$  \hspace{1cm} (1)$$

In order to keep things as simple as possible, one flavour of quark is considered only. The eight $\lambda^a$s are the $SU_c(3)$ Lie algebra generators taken in the fundamental representation: $[\lambda^a/2, \lambda^b/2] = if^{abc} \lambda^c/2$, where the totally antisymmetric structure constants $f^{abc}$ will play a key role in what follows. The gluon field tensor is $F^{a\mu\nu}_\mu \equiv \partial^\mu A^a_\nu - \partial^\nu A^a_\mu + gf^{abc} A^b_\mu A^c_\nu$. Concerning the apparent ‘gauge fixing term’ $1/2\zeta (\partial^\mu A^a_\mu)^2$, one will choose the Feynman gauge at $\zeta = 1$ for the sake of illustration.

The metric used in this article is the usual $g_{\mu\nu} = (+, -, -, -)$. There can result a few sign discrepancies, of no consequences, with the original papers [1–5], where the so-called West Coast metric was employed. In the articles [1–5], the EL property shows up at the level of fermionic Green’s functions, given by functional differentiations of the subsequent QCD generating functional $Z_{\text{QCD}}[j, \bar{\eta}, \eta]$, taken with respect to the quark sources $\bar{\eta}, \eta$, thereafter sent to zero. For configuration–space amplitudes, one has,

$$M(x_1, y_1; x_2, y_2) = \delta_{\bar{\eta}(x_1)} \delta_{\bar{\eta}(x_2)} \delta_{\eta(y_1)} \delta_{\eta(y_2)} Z_{\text{QCD}}[j, \bar{\eta}, \eta] \bigg|_{\eta = \bar{\eta} = 0; j = 0} \hspace{1cm} (2)$$

where (see [1]) :

$$Z_{\text{QCD}}[j, \bar{\eta}, \eta] = \mathcal{N}e^{-i \int d^4x d^4y j^a_\mu(x) D^{(0)ab}_{\mu\nu}(x-y) j^b_\nu(y)}$$

$$\times \frac{i}{2} \int d^4x d^4y \frac{\delta}{\delta A^a_\mu(x)} D^{(0)ab}_{\mu\nu}(x-y) \frac{\delta}{\delta A^b_\nu(y)}$$

$$\times e^{-\frac{i}{4} \int dx F^{a\mu}_\mu(x) F^{a\mu\nu}(x) - \frac{i}{2} \int dx A^a_\mu(x) \partial^2 A^a_\mu(x) - i \int d^4x d^4y \bar{\eta}(x) G_F(x, y|A) \eta(y) e^{L[A]}$$  \hspace{1cm} (3)$$

with $A^a_\mu(x) = \int d^4y D^{(0)ab}_{\mu\nu}(x-y) j^b_\nu(y)$, and where $D^{(0)ab}_{\mu\nu}$ is the free gauge field propagator in the Feynman gauge, $\partial^2 D^{(0)ab}_{\mu\nu} = \delta^{ab} g_{\mu\nu} \mathbb{1}$. The normalisation factor $\mathcal{N}$ is such that $Z_{\text{QCD}}[0, 0, 0] = 1$, and for the quark propagator $G_F(x, y|A)$, a Fradkin representation can
be used to make explicit the non-abelian gauge-field dependences ([8] chap.3):

\[ G_F(x, y|A) = -iN \int_0^\infty ds e^{-ism^2} \int d[u_\mu] e^{-i \int_0^s ds_1 ds_2 u_\mu(s_1) h^{-1}(s_1, s_2) u_\mu(s_2)} \times \left( \frac{i\gamma_\mu \delta}{\delta u'_\mu(s)} + m \right) \delta^{(4)}(x - y + u(s)) \]

\[ \times T_s e^{-ig \int_0^s ds' u'_\mu(s')} A_\mu^a(y - u(s')) \frac{\lambda^a}{2} + ig \int_0^s ds' \sigma_{\mu\nu} F_{\mu\nu}^a(y - u(s')) \frac{\lambda^a}{2} \]

In (4), \( u_\mu(s) \) stands for the Fradkin field variable, \( T_s \) indicates a prescription of proper-time ordering [9], \( \sigma_{\mu\nu} = i \frac{[\gamma_\mu, \gamma_\nu]}{4} \) are the usual Lorentz group generators for spinors.

One has also, \( h^{-1}(s_1, s_2) = \frac{\partial}{\partial s_1} \delta(s_1 - s_2) \frac{\partial}{\partial s_2} \), so that,

\[ \int_0^s ds_1 ds_2 u_\mu(s_1) h^{-1}(s_1, s_2) u_\mu(s_2) = \int_0^s ds' (u'(s'))^2 \]

The constant \( N \) is such that \( N^{-1} = \int d[u] e^{-i \frac{4}{4} \int u h^{-1} u = \int d[u] e^{-i \frac{4}{4} \int u'^2} \]

The fermionic closed loop functional \( L[A] = Tr \ln(1 - \frac{\lambda^a}{2} S_F) \) appearing in (3), with \( S_F \) the free fermion propagator, can also be endowed with a Fradkin representation similar to the propagator \( G_F[A] \), see [8] chap.3 and eq.(36) below.

To be able to compute \( M \) and carry out EL calculations one introduces for the term which appears in the right hand sides of (3) an auxiliary \( \chi - \text{field} \) [6, 10, 11] :

\[ -\frac{i}{4} \int d^4 x F_{\mu\nu}^a(x) F^{a\mu\nu}(x) = N' \int d[\chi] e^{i \frac{4}{4} \int d^4 x (\chi_\mu^a(x))^2 + i \frac{2}{2} \int d^4 x \chi_{\mu\nu}^a(x) F^{a\mu\nu}(x) \]

where \( \chi_{\mu\nu}^a(x) \) is antisymmetric in \( \mu \) and \( \nu \), like \( F_{\mu\nu}^a(x) \), and where the measure is,

\[ \int d[\chi] = \prod_z \prod_a \prod_{\mu<\nu} d[\chi_{\mu\nu}^a(z)] \]

Spacetime is taken as a collection of cells of infinitesimal size \( \delta^4 \) about each point \( z \) [12], and \( N' \) is a normalisation constant \( N' \int d[\chi] e^{\frac{i}{4} \int \chi^2} = 1 \). The generating functional (3) can
therefore be rewritten as ($N' \cdot N = N'' \equiv N$):

$$Z_{\text{QCD}}[j, \bar{\eta}, \eta] = N e^{-i \int d^4x d^4y j_\mu^a(x) D_{F\mu\nu}^{(0)ab}(x-y) j_\nu^b(y)}$$

$$\times \int d[\chi] e^{i \int d^4x (\chi_{\mu\nu}(x))^2} e^{\mathcal{D}_A^{(0)}} e^{\frac{i}{2} \int d^4x \chi_{\mu\nu}(x) F^{\mu\nu}(x)}$$

$$\times e^{-\frac{i}{2} \int dx A_\mu^a(x) \partial^2 A_\mu^a(x)} e^{-i \int d^4x d^4y \bar{\eta}(x) G_F(x,y|A) \eta(y)} e^{L[A]|_A = \int D_F^{(0)} j}$$

by using the shorthand notation,

$$\mathcal{D}_A^{(0)} = \frac{i}{2} \int d^4x d^4y \frac{\delta}{\delta A_\mu^a(x)} D_{F\mu\nu}^{(0)ab}(x-y) \frac{\delta}{\delta A_\nu^b(y)}$$

and where the functional integration on $\chi$ and the functional differentiations operated by the so-called linkage operator, $\exp \mathcal{D}_A^{(0)}$, can be permuted without prejudice.

One will deal with a 4–point fermionic Green’s function \cite{2} as, through more cumbersome expressions, the following structures easily extend to the $2n$–point general case (see \cite{13}, App. D). Then, two propagators $G_F^1(x_1, y_1|A)$ and $G_F^2(x_2, y_2|A)$ appear, represented by \cite{4}:

$$M(x_1, y_1; x_2, y_2) = \mathcal{N} \int d[\chi] \chi^2 e^{\mathcal{D}_A^{(0)}} e^{\frac{i}{2} \int \chi F - \frac{i}{2} \int A \partial^2 A}$$

$$\times G_F^1(x_1, y_1|A) G_F^2(x_2, y_2|A) e^{L[A]|_A = 0}$$

In \cite{7}, note that a direct replacement of $G_F[A]$ by the expression \cite{4} would result in an involved structure of an exponential of an exponential. Functional differentiations with respect to the Grassmannian sources $\bar{\eta}, \eta$ allow to circumvent this complication and it is therefore on fermionic Green’s functions that the $EL$ property has been first disclosed. Of course, thanks to the reconstruction theorem \cite{14}, there is no loss of information as compared to a property which would bear directly on the generating functional itself, from which the $EL$ property can be obtained also \cite{15}.
B. Effective Locality at eikonal and quenched approximation. The gluon bundle

The reduction formula being applied to (9), in order to obtain an $S$–matrix element, one is led to the following eikonal and quenched scattering amplitude,

$$ \mathbf{M}(p_1, p'_1; p_2, p'_2) \simeq \mathbf{M}(p_1; p_2) = \mathcal{N} \int d[\chi] e^{\frac{i}{4} \int \chi^2 e^{\mathbf{D}_A^{(0)}}} e^{\frac{i}{2} \int \chi F - \frac{i}{2} \int A \partial^2 A} \times G_{\text{eik}}(p_1|A) G_{\text{eik}}^2(p_2|A) \bigg|_{A = 0} $$

(10)

describing the collision of two quarks with their respective 4–momenta $p_1$ and $p_2$ being unchanged before and after the collision, $p'_1 \simeq p_1; p'_2 \simeq p_2$, neglecting the spin effects (the eikonal approximation) and the fermionic loop $L[A]$ being set equal to 0 (the quenched approximation).

In this frame, the last line of eq.(4) simplifies to ([8] chap.7&8, [16] chap.5):

$$ G_{\text{eik}}(p|A) \propto T_s e^{-i g p^\mu \int_{-\infty}^{+\infty} ds \Delta^a(y - sp) \frac{\lambda^a}{2}} $$

(11)

The $A^a_\mu$ field of (11) belongs to a time–ordered exponential. This difficulty can be dealt with by introducing extra field variables, writing for example, with $\mathcal{N}$, another constant of normalization,

$$ T_s e^{-i g p^\mu \int_{-\infty}^{+\infty} ds \Delta^a(y - sp) \frac{\lambda^a}{2}} = \mathcal{N} \int d[\alpha] \int d[\Omega] e^{\int_{-\infty}^{+\infty} ds \Delta^a(s) \lambda^a(s)} \times e^{-i g p^\mu \int_{-\infty}^{+\infty} ds \Omega^a(s) A^a_\mu(y - sp) \frac{\lambda^a}{2}} $$

(12)

so that finally, one can write for the exponential involving the $A^a_\mu$ field,

$$ e^{-i g p^\mu \int_{-\infty}^{+\infty} ds \Omega^a(s) A^a_\mu(y - sp) \frac{\lambda^a}{2}} = e^{-i \int_{-\infty}^{+\infty} d^4 z R^a_\mu(z) A^a_\mu(z) \frac{\lambda^a}{2}} $$

(13)

with,

$$ R^a_\mu(z) = g p_\mu \int_{-\infty}^{+\infty} ds \Omega^a(s) \delta^{(4)}(z - y + s p) $$

(14)

In this quark–current expression (14), the eikonal approximation for the Fradkin field variable $u_\mu(s)$ consists of a straight line relation connecting the points $z$ and $y$, $u_\mu(s) = s p_\mu$. 
The purpose of expressions (11–14) is to offer as simple as possible a derivation of the EL property. As exact integrations on the auxiliary field variables $\alpha^a$ and $\Omega^a$ and on $z$ are achieved, one is insured to deal with the proper Fradkin representation of $G_F[A]$ in the eikonal approximation physically relevant to the EL regime.

The result obtained in this way, together with the quenched approximation $L[A] = 0$, has the following form:

$$M(p_1;p_2) = \prod_{i=1}^2 \int du_i(s_i) \int d\alpha_i(s_i) \int d\Omega_i(s_i) \ldots$$

$$\times \int \mathrm{d}[\chi] e^{\frac{i}{4} \int \chi^2} e^{\mathcal{O}_A^{(0)}} e^{\frac{i}{2} \int A_\mu^a K_{ab}^\mu A^b_\nu} e^{-i \int Q^a_\mu A^a_\mu} \bigg| A = 0$$

where $K_{ab}^\mu$ and $Q^a_\mu$ factorize the quadratic and linear $A^a_\mu$ field dependences respectively:

$$K_{ab}^\mu = gf^{abc} X_{\mu\nu}^c - g_{\mu\nu} \delta^{ab} \partial^2 = (gf \cdot \chi)^{ab}_\mu - (D_F^{(0)-1})^{ab}_\mu, \quad Q^a_\mu = \partial^\nu \chi^a_\nu + (R_{1,\mu}^a + R_{2,\mu}^a)$$

and where the $R_{1,\mu}^a$ and $R_{2,\mu}^a$ are the leading parts of $Q^a_\mu$ in the strong coupling regime, $g \gg 1$. The linkage operation can operate easily now, and setting the sources $j^a_\mu$s to zero afterwards yields:

$$\int \mathrm{d}[\chi] e^{\frac{i}{4} \int \chi^2} e^{\mathcal{O}_A^{(0)}} e^{\frac{i}{2} \int A K A - i \int Q A} \bigg| A \to 0$$

$$= e^{-\frac{i}{2} \int Q \left( D_F^{(0)} \left( 1 + K D_F^{(0)} \right)^{-1} \right) Q} e^{-\frac{1}{2} \text{Tr} \ln \left( 1 + D_F^{(0)} K \right)}$$

The kernel of the quadratic term in $Q^a_\mu$ in the right hand side of (17) is:

$$D_F^{(0)} \left( 1 + K D_F^{(0)} \right)^{-1} = D_F^{(0)} \left( 1 + (gf \cdot \chi - D_F^{(0)-1}) D_F^{(0)} \right)^{-1}$$

$$= (gf \cdot \chi)^{-1}$$

and in all, the last line of (15) reads:

$$\int \frac{\mathrm{d}[\chi]}{\sqrt{\det(f \cdot \chi)}} e^{\frac{i}{4} \int \chi^2} e^{-\frac{i}{2} \int d^4z Q(z) (gf \cdot \chi)^{-1}(z) Q(z)}$$

On the right hand side, the first term is a (possibly infinite) constant to be absorbed into a redefinition of the overall normalization $\mathcal{N}$, and it is in the last term of (19) that the
Effective Locality phenomenon is finally seen. In effect, the $D_F^{(0)}$-pieces entering (16), (17) and (18) are non local but disappear from the final result leaving a structure which turns out to be \textit{local}, $\langle z | (g f \cdot \chi)^{-1} | z' \rangle = (g f \cdot \chi)^{-1}(z) \delta^{(4)}(z-z')$, and which mediates an \textit{effectively local interaction} between " gluonic " and quark currents, in the form : $Q(z) (g f \cdot \chi)^{-1}(z) Q(z)$.

This $(g f \cdot \chi)^{-1}(z)$ term stands now for the original gluon propagator $D_F(z-z')$ and has been called a \textit{gluon bundle} [2]. The $R_1(z) (g f \cdot \chi)^{-1}(z) R_2(z)$ amplitude can accordingly be represented by the diagram of Fig.1. A convenient expression deduced from $Q(z) (g f \cdot \chi)^{-1}(z) Q(z)$, and its relation to Fig.1, is given in eq.(49) and its subsequent paragraph.

To sum up, equations (15) to (19) display the \textit{EL} property at quenched and eikonal approximation.

\section*{C. Effective Locality as general, still formal a statement}

Remarkably enough, the \textit{EL} property extends to the full non approximate \textit{QCD} theory [2], at least in a formal way. On the basis of the same 4-point function, the expression equivalent to (19) reads in this case :

\begin{equation}
R.H.S \quad \rightarrow \quad e^{-\frac{1}{2} \text{Tr} \ln (D_F^{(0)})} \int d[\chi] \quad e^{\frac{i}{4} \int \chi^2} \quad -\frac{i}{2} \int \bar{Q} \tilde{K}^{-1} \tilde{Q} - \frac{1}{2} \text{Tr} \ln \tilde{K} \\
\times e^{\frac{i}{2} \int \frac{\delta}{\delta A} \tilde{K}^{-1} \frac{\delta}{\delta A} + \int \bar{Q} \tilde{K}^{-1} \frac{\delta}{\delta A} e L[A] \bigg|_{A \rightarrow 0}}
\end{equation}
with the new definitions of ‘kernel’ and ‘currents’:

\[ \langle z | \bar{K}_{\mu
u}^{ab} | z' \rangle = \left( K_{\mu
u}^{ab}(z) + g f_{abc}^{\phi} L_{\mu
u}^{c}(z) \right) \delta^{(4)}(z - z') \]  

(21)

\[ \bar{Q}_\mu(z) = \partial^\nu \lambda^\nu_{\mu
u}(z) + 2g \partial^\nu \Phi_{1,\nu\mu}(z) + g \int_{0}^{s_1} ds_1' \delta^{(4)}(z - y_1 + u_1(s_1')) u_1'_{\mu
u}(s_1') \Omega_1^2(s_1') \]

\[ + 2g \partial^\nu \Phi_{2,\nu\mu}(z) + g \int_{0}^{s_2} ds_2' \delta^{(4)}(z - y_2 + u_2(s_2')) u_2'_{\mu
u}(s_2') \Omega_2^2(s_2') \]  

(22)

Previously absent from the eikonal and quenched case, spin contributions show up now, and in [22], the new spin–related terms read:

\[ \Phi_{i,\mu\nu}(z; y_i) \equiv \Phi_{i,\mu\nu}(z) \equiv \int_{0}^{s_i} ds_i' \delta^{(4)}(z - y_i + u_i(s_i')) \Phi_{i,\mu\nu}(s_i'), \quad i = 1, 2 \]  

(23)

while, for the kernel, the new spin–related term is:

\[ K_{\mu
u}^{ab}(z) = 2g^2 \int_{0}^{s_1} ds_1' \delta^{(4)}(z - y_1 + u_1(s_1')) f_{abc}^{\phi} \Phi_{1,\mu\nu}(s_1') \]

\[ + 2g^2 \int_{0}^{s_2} ds_2' \delta^{(4)}(z - y_2 + u_2(s_2')) f_{abc}^{\phi} \Phi_{2,\mu\nu}(s_2') \]  

(24)

Because of spins, in effect, extra fields, \( \Phi_{1,\mu\nu}(s_1) \) and \( \Phi_{2,\mu\nu}(s_2) \) are necessary to extract the \( A^a_\mu(y - u(s')) \) potentials from under the full ordered exponential of \([4]\). This can be achieved by introducing extra functional integrations:

\[ T_{s'} e^{-i g \int_{0}^{s_i} ds_i' u_i^\mu(s_i') A^a_\mu(y_i - u_i(s_i')) \frac{\lambda^a}{2} + i g \int_{0}^{s_i} ds_i' \sigma^{\mu\nu} F^a_\mu(y_i - u_i(s_i')) \frac{\lambda^a}{2} } \]

\[ \times N_{\Omega} N_{\Phi} \int d[\alpha_i^a] \int d[\Xi_{i\mu\nu}^a] T_{s'} e^{-i \int_{0}^{s_i} ds_i' \left( \alpha_i^a(s_i') - \sigma^{\mu\nu} \Xi_{i\mu\nu}^a(s_i') \right) \frac{\lambda^a}{2} } \]

\[ \times \int d[\Omega_1^a] \int d[\Phi_{i\mu\nu}^a] \frac{i}{\Lambda} \int_{0}^{s_i} ds_i' \Omega_1^a(s_i') \alpha_i^a(s_i') - i \int_{0}^{s_i} ds_i' \Phi_{i\mu\nu}^a(s_i') \Xi_{i\mu\nu}^a(s_i') \]

\[ \times e^{-i g \int_{0}^{s_i} ds_i' \xi_1^a(s_i') A^a_\mu(y_i - u_i(s_i')) + i g \int_{0}^{s_i} ds_i' \Phi_{i\mu\nu}^a(s_i') F^a_\mu(y_i - u_i(s_i')) } \]  

(25)

and as shown in the Appendix, the closed loop functional \( L[A] \) can be endowed with similar functional integrations so as to take linear and quadratic \( A^a_\mu \)–gauge field dependences outside of the ordered exponentials.

Dropping \( L[A] \), the second and fourth terms on the right hand sides of \([22]\), and proceeding to the eikonal replacement of \( u_i(s_i) \) by \( s_i p_i \), one immediately recovers the eikonal and
quenched results of (16) to (19). In the case of higher number of points fermionic Green’s functions, additional terms will of course complete the $\bar{Q}^a_\mu$ and $\hat{K}^{ab}_{\mu\nu}$ functionals in exactly the same way [2, 13].

In (20) nothing ever more refers to $D^{(0)}_F$, that is to any initial gauge–fixing condition, as exactly the same result is reached whatever the gauge–field function in use, $D^{(0)}_F, D^{(c)}_F, D^{(n)}_F$, etc [17]. Assuming, as in the previous quenched situation, that integrating over the extra fields $\Xi$ and $\Phi$ can be carried through, this conclusion is non trivial.

The striking aspect of (20) is that, because the kernel $\hat{K} = K + (g \cdot f \cdot \chi)$, so as the corresponding linear and quadratic parts coming from $L[A]$, are all local functions, with non zero matrix elements $\langle z|K|z'\rangle = K(z) \delta^{(4)}(z - z')$, the contributions of (20) will depend on Fradkin, Halpern and subsidiary field variables in a specific, but local way.

That is, again, the peculiar aspect of (20) is its locality even though (20) doesn’t provide the bases of a genuine dual formulation of the full QCD theory. In particular, it is easy to see that the fundamental duality correspondence of $g \to 1/g$ cannot account for the various $g$–scaling behaviors that can be exhibited out of (20).

III. THEORETICAL ASPECTS OF EFFECTIVE LOCALITY

First of all it is important to emphasize that the EL property is non–perturbative. While for QCD in its perturbative regime, gluons are pertinent dynamical degrees of freedom and are experimentally checked, in EL calculations of fermionic Green’s functions gluons disappear to the exclusive benefit of the $\chi^a_{\mu\nu}$–fields [1]. This will be shown to be even more blatant in the pure Minkowskian Yang–Mills case [15].

A. Fradkin’s representation independence

In all these derivations, approximate or not, it would seem that the EL property is heavily dependent on the Fradkin’s expressions (4) being used to represent the quark field propagators $G_F(x, y|A)$ in a given background gauge field.

[1] In Euclidean pure Yang–Mills theory, and at leading order of a semi classical expansion, the $\chi^a_{\mu\nu}$–fields are the usual strength field tensors evaluated over instanton field configurations [6], i.e., $\chi^a_{\mu\nu} = F^{a}_{\mu\nu}(A^a_{\text{inst}})$. 

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Now, it can be shown that provided the $\chi_{\mu\nu}$-field integrations are carried through, the EL property turns out to be gauge invariant and independent of any representation used for the quark field functions $G_F(x,y|A)$ and would appear, thus, as a sound non-perturbative property of QCD [15, 17].

B. An odd term: $\delta^{(2)}(\vec{b})$

Now, as Fradkin’s representations (4), which are exact, are implemented, then the EL property comes along with a mass scale. For fermionic Green’s functions to be sensitive a mass scale must be introduced. This fate is related to the occurrence of Dirac deltas in (4) and (22): In the interaction term of (19), second line, they lead to a $\delta^{(2)}(\vec{b})$, where $\vec{b} \equiv y_{1\perp} - y_{2\perp}$ is the impact parameter of the two quark scattering process in their center of mass system.

It is important to stress that this $\delta^{(2)}(\vec{b})$ term is not related to any sort of approximation. First discovered in an eikonal approximation giving the Fradkin fields $u(s)$ the simple straight line form of $u_i(s_i) = p_i s_i$, it is possible to prove that in the much enlarged context of Fradkin’s fields taken as elements of a Wiener functional space, the same odd $\delta^{(2)}(\vec{b})$ term comes about [4].

In Ref. [1] a physical argument has been suggested to account for this situation: This factor of $\delta^{(2)}(\vec{b})$ may be a remnant of the implicit existence of asymptotic quark states, an assumption which, beyond the stage of perturbation theory cannot be maintained in QCD, neither theoretically nor experimentally [18], while it is contained in (4) [2].

In QCD where both confinement and chiral symmetry breaking are realized, it is known that the inter-quark separation fluctuates and cannot be zero [19]. That is, from this point of view also, the odd $\delta^{(2)}(\vec{b})$ cannot make sense and must be modelled into a more pertinent impact parameter distribution. Compelling reasons exist to motivate the following substitution [20]:

$$\delta^{(2)}(\vec{b}) \rightarrow \varphi(b) = \frac{\mu^2}{\pi} \frac{1 + \xi/2}{\Gamma(1 + \xi/2)} e^{-(\mu b)^2 + \xi}, \quad \xi \in \mathbb{R}, \quad |\xi| \ll 1 \quad (26)$$

[2] This is because the QCD Lagrangian is written out of the short distance dynamical degrees of freedom.
where \( \mu \) is the EL property mass scale and \( \xi \) a small deformation parameter. They both will be given a value in section IV.B.

As illustrated, when it comes to chiral symmetry breaking, in a limit process, the EL mass scale or equivalent distance will prevent the two points \( x \) and \( y \) to be taken arbitrarily close to each other, and, not to be lead back to the short distance behavior of QCD where gluons, instead of \( \chi_{\mu\nu}^a \)-fields, are the relevant dynamical degrees of freedom.

C. Integration measures

In (19) and (20), a functional measure of integration remains, on \( \chi_{\mu\nu}^a \)-fields, and reads:

\[
\int d[\chi] = \prod_{w_i \in \mathcal{M}} \prod_{a=1}^{N^2-1} \prod_{\mu=\nu}^{3} \int d[\chi_{\mu\nu}^a(w_i)]
\]

but is here meant in a somewhat symbolic form so long as the measure on the infinite dimensional functional space of \( \chi_{\mu\nu}^a \)-configurations is not properly defined. In the very case of fermionic Green’s functions, though, it turns out that, besides the already discussed \( \delta^{(2)}(\vec{b}) \), products of Dirac deltas single out a unique point of interaction \( w_i \) in \( \mathcal{M} \) [1, 4]; typically in the second line of (19) one would have \( (w_i = y_i - u_i(s_i), \ i = 1, 2) \):

\[
\int d^4 z \ R(z; y_1, y_2) \cdot (gf \cdot \chi(z))^{-1} \cdot R(z; y_1, y_2) \longrightarrow R(w_i) \cdot (gf \cdot \chi(w_i))^{-1} \cdot R(w_i)
\]

This has the most favorable consequence that the measure image theorem\[3\] [21] can be invoked to translate the original and formal functional space measure (27) into a well defined measure of integration on the finite dimensional space of skew–symmetric matrices

\[
\mathfrak{M} \equiv -i \sum_{a=1}^{N^2-1} \chi_{\mu\nu}^a \otimes T^a :
\]

\[
-i \text{d}(\sum_{a=1}^{N^2-1} \chi_{\mu\nu}^a \otimes T^a) \equiv \text{d}\mathfrak{M} = \text{d}M_{11} \text{d}M_{12} \cdots \text{d}M_{NN}
\]

\[
= \left| \frac{\partial(M_{11}, \cdots, M_{NN})}{\partial(\xi_1, \cdots, \xi_N, p_1, \cdots, p_{N(N-1)/2})} \right| \text{d}\xi_1 \cdots \text{d}\xi_N \text{d}p_1 \cdots \text{d}p_{N(N-1)/2}
\]

\[
= \prod_{i=1}^N \text{d}\xi_i \prod_{1 \leq i < j} |\xi_i - \xi_j|^\kappa \text{d}p_1 \cdots \text{d}p_{N(N-1)/2} f(p)
\]

\[3\] When passing from an infinite dimensional functional space to a finite dimensional one (where Random Matrix Theory is used), this theorem can be viewed as generalizing the more customary notion of a Jacobian.
where the $\xi_i$s are the eigenvalues of $\mathcal{M}$ and where $\kappa = 1$ and where the very last factors of (29), define a Haar measure of integration on the orthogonal group $O_N(\mathbb{R})$.

To sum up, the EL property allows one to rely on the measure image theorem, to transform the initial functional measure of integration $d[\chi]$ into the product of an integration on the spectrum of $\mathcal{M}$, $\text{Sp}(\mathcal{M})$, times an integration on the orthogonal group $O_N(\mathbb{R})$; symbolically, one has:

$$d[\chi] \longrightarrow d\text{Sp}(\mathcal{M}) \times dO_N(\mathbb{R}) \quad (30)$$

D. Effective locality and Meijer special functions

In eikonal and quenched approximations, it is remarkable that the strong coupling fermionic QCD Green’s functions can be calculated without further approximations. This is due to the peculiar EL property (28) and achieved by means of a standard analytical continuation of the Random Matrix treatment [4].

Inserting (29) in (19) and integrating on $\text{Sp}(\mathcal{M})$ only, fermionic Green’s functions show up as finite sums of finite products of Meijer special functions [22]. One finds effectively:

$$M(x_1, y_1; x_2, y_2) = N \left(-\frac{16\pi^2 m^2}{E^2}\right)^N \sum_{\text{monomials} \{q_1, \ldots, q_N\}} \left\langle \prod_{i=1}^{N} \left[1 - i(-1)^{q_i}\right] \right. \times \left(\frac{\sqrt{2iN_c \sqrt{s(s - 4m^2)}}}{m^2}\right) \left(\frac{g\varphi(b)}{(\mathcal{O}\mathcal{T})_{ik}}\right)^2 \left((\mathcal{O}\mathcal{T})_{ij}\right)^{4} \left|\begin{array}{c} 1 + 2qi, \frac{3}{2} - \frac{q_i}{4}, \frac{1}{2}, \frac{1}{2}, 0 \end{array}\right.) \right\rangle_{O_N(\mathbb{R})} \quad (31)$$

in the same example of a 4–point Green’s function such as (9), and where $G_{03}^{30}$ is the Meijer special function [23].

$$\int_0^\infty d\xi \xi^p e^{-\xi^2 - \frac{b}{\xi}} = \frac{1}{2\sqrt{\pi}} G_{03}^{30} \left(\frac{b^2}{4} \left|\begin{array}{c} p + 1, 1 \end{array}\right. \right) \quad (32)$$

Equality holds for $p > 0$ and $b > 0$, whereas the point is that the right hand side, the Meijer function, is analytic in its argument, $b^2/4$, [23]. In (31) one has also $N \equiv D \times (N_c^2 - 1) = 32$, at $D = 4$ spacetime dimensions, while $s = (p_1 + p_2)^2$ and $E = s/2$ in the center of mass system of the scattering quarks of 4–momenta $p_1$ and $p_2$.

In the expression (31), $\mathcal{O}$ is an orthogonal matrix and integration on $O_N(\mathbb{R})$ remains to be done, as meant by the overall average’s brackets.
Letting aside momentarily the various elements entering (31), the point here is that, in full generality, Green’s functions and/or their generating functionals have been proven to be expressible in terms of Fox special functions, which are but mere generalisations of Meijer’s special functions.

Within the above approximations at least, EL calculations can be seen to comply with this general statement [24].

E. Color algebraic structure of fermionic Green’s functions

As observed in non relativistic quark models [25] and in a non abelian generalization of the Schwinger mechanism [26], an additional dependence on the $SU_c(3)$ cubic Casimir operator $C_{3f}$ shows up, in contradistinction with perturbation theory and other non–perturbative approaches, which only display quadratic Casimir operator, $C_{2f}$ dependences. Though numerically sub–leading, these extra $C_{3f}$ dependences account for the full algebraic content of the rank–2 Lie algebra of $SU_c(3)$ and there are a priori no super selection arguments to discard them.

With $z_i$ the argument of $G_{03}^{30}$ in (31), one has $z_i = \lambda ((\mathcal{O}T)_i)^4$ where $\mathcal{T}$ is the 32–vector of components made out of 4 copies of the full set of Gell–Mann generators, the $\{\lambda^a/2\}$. The parameter $\lambda$ can be read off (31) and turns out to be a very small parameter even at large enough coupling constant $g \sim 15$, so that an analytic expansion of $G_{03}^{30}$ can be devised. The average on the orthogonal group $O_N(\mathbb{R})$ can be carried out to get a leading contribution of:

$$\langle \sqrt{z_i} \rangle_{O_N(\mathbb{R})} = \sqrt{\frac{\lambda}{N}} DC_{2f} 1_{3\times3} \tag{33}$$

and on the fundamental representation the quadratic Casimir eigenvalue is $C_{2f} = 4/3$.

At next to leading order now, the result reads [17]:

$$\langle z_i \rangle_{O_N(\mathbb{R})} = (\sqrt{\frac{\lambda}{N}})^2 \left( (DC_{2f})^2 + (DC_{3f}) \right) 1_{3\times3} \tag{34}$$

and an extra $C_{3f}$ dependence shows up which extends presumably to all of the next to leading orders; for example:

$$\langle z_i \sqrt{z_i} \rangle_{O_N(\mathbb{R})} = \left( \sqrt{\frac{\lambda}{N}} \right)^3 \left( (2 + \frac{5}{6}) (DC_{2f})^2 + (DC_{2f})(DC_{3f}) + 3(DC_{3f}) \right) 1_{3\times3} \tag{35}$$

These averages are independent of the index $i = 1, 2, \ldots, 32$. On the fundamental representation where it must be evaluated the cubic Casimir operator has eigenvalue $C_{3f} = 10/9$. 

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Numerically, the result of (34) shows that at order \( (\sqrt{\lambda}/N)^2 \), the trilinear Casimir operator \( C_{3f} \) enhances the pure \( C_{2f} \) contribution a non negligible amount of 15.6\%, whereas at sub-leading order \( (\sqrt{\lambda}/N)^3 \), \( C_{2f} \) and \( C_{3f} \) contributions to (35) are identical to within .2\%.

Here and elsewhere [25][27], \( C_{3f} \) dependences may be sub-leading effects but are proposed to be viewed as hallmarks of the QCD non-perturbative fermionic sector. Within the above approximations at least, \( C_{3f} \) dependences are clear outputs of EL calculations.

F. EL calculations : non-perturbative and gauge invariant

EL calculations are gauge invariant [15] [17] [28]. Attempts at trading an \( A^a_\mu \) potential formulation of QCD for an \( F^a_{\mu\nu} \) field strength formulation exist already [6], relying on the same ‘linearization’ trick as [5]. In the quantization process though, covariant gauge conditions were chosen, ultimately transferred to the \( \chi^a_{\mu\nu} \)-field. That is, in the non-perturbative strong coupling/strong field limits, the famous Gribov copy problem is met again with, so far, no envisageable solutions and no sound control of gauge invariance.

The reason why EL calculations escape this dead end is that in the EL context, quantisation is achieved by functional differentiations, with the help of (8), rather than functional integrations with gauge-fixing terms. Both quantisations are equivalent whenever the Wick theorem holds true for time ordered products of field operators [29].

Now, as written in the Introduction for the EL statement, and as can be stared at in (18) and (19), no gauge condition has ever been fixed in an EL calculation, and to give things the more concise of all possible expression, it must be stated that there is no Gribov copy to cope with because there is no gauge-fixing condition to be copied.

By adding and subtracting adequate Lagrangian densities, any gauge-free field propagator can be generated, Feynman, general covariant, axial planar, \( D^{(0)}_F \), \( D^{(c)}_F \), \( D^{(n)}_F \), etc [4]... This is a mandatory step in order to make well defined an intermediate step [5] of an EL calculation. Though this procedure generates, by construction, any possible gauge field propagator, it is important to realise that the propagators so obtained are not related to the insertions of corresponding gauge fixing conditions, \( \delta \left( F[A^a_\mu] \right) \), in the context of functional integration; and that the theory quantized in this way [6] preserves, thereby, its original full

[4] Including forms which would correspond to any choice of non linear gauge fixing conditions.
[5] That is, inverting a previously non invertible quadratic form on the \( A^a_\mu \) fields.
gauge invariance.

G. An effective perturbative expansion for the strong coupling regime

Renormalization has been forced upon QFTs by the short distance ultra–violet singularities which are met in perturbative expansions. Since $EL$ is a priori relevant to larger distances, ultra–violet singularities should not be met in $EL$ calculations.

This expectation is correct at quenched approximation, taking the closed quark loop functional $L[A]$ to zero. In this case in effect, the effective perturbative expansions defined out of the Meijer function analyticity can be checked to be non trivial and to entail neither infrared nor any ultra–violet singularities [30].

However, as soon as the quenched approximation is relaxed and the fermionic loop functional is restored:

\[
L[A] = -\frac{1}{2} \int_0^\infty ds \frac{e^{-ism^2}}{s} \sum_{\alpha=1}^{2N} \int du \frac{\delta^{(4)}(u(s))}{u(s)} \frac{i}{4} \int_0^s ds' (u'(s'))^2 \\
\times \int d^4y \text{tr} \left( T^a e^{-ig\int_0^s ds' \left( u'(s') \hat{A}_\mu(y-u(s')) \frac{\lambda^a}{2} + ig\int_0^s ds' \sigma_{\mu\nu} F_{\mu\nu}^a(y-u(s')) \frac{\lambda^a}{2} \right) \right) - \{g = 0\}
\]

(36)

then usual ultra–violet singularities show up the usual way by the $s$ lower integration boundary, at $s = 0$. This is because in the closed quark loop functional $L[A]$, the $EL$ mass scale $\mu$ doesn’t show up to prevent short distances contributions.

For example this is obtained out of the second line of (20), as the functional translation operator has replaced the $A$ field by the $-\bar{Q} \cdot \hat{K}^{-1}$ combination, after the prescription of sending $A$ to zero is taken into account. At order $g^2$ of an expansion of $L[-\bar{Q} \cdot \hat{K}^{-1}]$, one finds expressions like [5]:

\[
g^2(q^2; \Lambda^2) = g^2 \ln \left( \frac{\Lambda^2}{m^2 + q^2 |z_1| (1 - |z_2|)} \right)
\]

(37)

where the variable $z_1 \equiv z_1 - z_2$, is introduced and where $0 \leq z_1, z_2 \leq 1$, whereas $\Lambda$ stands for an ultra–violet cut–off. This expression displays the customary steps and features of the renormalization procedure, including a form of asymptotic freedom appropriate to the $EL$

[6] The details of this quantization are given in [1].
context [5]. This should not come as too big a surprise, as asymptotic freedom has been recognised to extend beyond the very perturbative regime of QCD [31].

For the purpose of phenomenological applications relying on the eikonal and quenched approximations, this has the interesting consequence that the Lagrangian density one should start from is the renormalised one [5], with the most favorable circumstance also, that no infrared nor any ultra–violet singularities will be met in the course of EL–perturbative expansions of fermionic amplitudes [30].

H. EL and dynamical chiral symmetry breaking

Effective locality could thus exhibit the very way non–abelian gauge invariance is realized in the non–perturbative regime of QCD, avoiding the intractable and never ending issue of Gribov’s copies [32]. Now, if this property is really relevant to QCD, it should also shed some light on the fundamental issue of dynamical chiral symmetry breaking.

Calculations are involved and to simplify them somewhat, the quenched approximation is used : while it modifies the effect’s magnitude, both theoretical and numerical analyses have long shown that the quenched approximation preserves the dynamical chiral symmetry breaking phenomenon, if any [33]. An eikonal approximation is used also, but in a mild way so as to preserve chirality [7] and in order to allow for controlled calculations.

In the chiral limit of \( m \to 0 \) where the phenomenon is non–trivial, the order parameter of the chiral symmetry, \( \langle \bar{\Psi}(x)\Psi(x) \rangle \), can be obtained out of \( \langle \bar{\Psi}(x)\Psi(y) \rangle \) in the limit of \( x \to y \) [31] :

\[
\langle \bar{\Psi}(x)\Psi(x) \rangle = \lim_{x \to y} iN \delta^{(4)}(x-y) \, \text{Tr} \int d[\hat{\alpha}] \, e^{-i \frac{\delta s}{2} \hat{\alpha} \cdot \hat{\lambda}} \int d[V] \, e^{-i \frac{\delta s}{4} \hat{\alpha} \cdot V} \]

\[
N^i \frac{\delta}{\delta V^i} \int dM \, e^{\frac{8N_c}{\sqrt{\det(M(y))}}} e^{-i \frac{\mu^2 \sqrt{\Delta}}{\pi E_p} \, V \cdot M^{-1}(y) \cdot V} \tag{38}
\]

However this expression is zero for two reasons : once for a purely algebraic fact, similar to the algebraic identity \( \text{Tr} \gamma_\mu = 0 \), while a second ‘trivialization’ occurs as the average on \( O_N(\mathbb{R}) \) is performed.

[7] A strict eikonal approximation would devoid chirality of any meaning.
Fortunately, copying the massive $QED_2$ case \[35\], both trivializations are circumvented by one and the same procedure which consists in calculating the appropriate $x_1, y_1, x_2, y_2$ limits of a 4–point calculation \[8\]. For the 2–point function, one gets:

$$\frac{C^{\text{st}}}{N}(0 \times 0) \quad (39)$$

while the relevant 4–point result reads,

$$\left(\frac{C^{\text{st}}}{N}\right)^2 \left( C^{\text{st}'} \neq 0 \right) \quad (40)$$

allowing to identify the order parameter of the chiral symmetry as $\langle \overline{\Psi} \Psi(x) \rangle_{\text{partial}} \simeq C^{\text{st}}/N$,

where the sign of an approximate equality is to remind that approximations have been used. The subscript partial means that (39) and (40) are the contribution to $\langle \overline{\Psi} \Psi(x) \rangle$ of a given monomial among $2^{120}$ possible ones, alternate in signs, and coming from the Vandermonde determinant expansion of (29). Accordingly, the full result appears unattainable.

Fortunately again, Wigner’s semi–circle law can be used to circumvent the intractable task of evaluating the sum of so many monomials \[36\]. Within the following standard definitions of Random Matrix theory \[36\],

$$P_{N\kappa}(\xi_1, \ldots, \xi_N) \equiv C_{N\kappa} \prod_{i<j}^{N} |\xi_i - \xi_j|^\kappa e^{-\sum_{1}^{N} \xi_i^2} \quad (41)$$

$$\left( \prod_{j=2}^{N} \int_{-\infty}^{+\infty} d\xi_j \right) P_{N2}(\xi_1, \ldots, \xi_N) \equiv N^{-1} \sigma_N(\xi_1) \quad (42)$$

the large $N$ limit of $\sigma_N(\xi)$ turns out to be simply given by:

$$\sigma_N(\xi) \rightarrow \sqrt{2N - \xi^2}, \quad \text{for } -\sqrt{2N} \leq \xi \leq +\sqrt{2N}, \quad \sigma_N(\xi) = 0 \quad \text{otherwise} \quad (43)$$

while sub–leading corrections can be calculated in a systematic way. From (43), long but rigorous calculations can be carried out and the chiral symmetry order parameter results as:

$$\lim_{y=x} \langle \overline{\Psi}(x) \Psi(y) \rangle \simeq -g^2 \mu^3 \frac{\mu}{\sqrt{Ep}} \sqrt{\frac{E^2 - p^2}{Ep}} \frac{4^5(N_c - 1)}{\sqrt{\pi^5N^3}} \frac{I(N)}{\text{vol}(O_N(\mathbb{R}))} \quad (44)$$

\[8\] Contrary to the massive $QED_2$ case in effect, in $QCD$ one cannot rely on the property of cluster decomposition \[34\], taking the limits of $x_1(= y_1)$ and $x_2(= y_2)$ separated by an infinite spatial distance.
where \( \text{vol}(O_N(\mathbb{R})) \) is the volume of the orthogonal group \( O_N(\mathbb{R}) \), and :

\[
I(N) = \int_{-\sqrt{2N}}^{+\sqrt{2N}} \frac{d\xi}{\xi} \sqrt{2N - \xi^2} \Phi(\xi\sqrt{N}) \tag{45}
\]

entails the probability integral \( \Phi(x) \) [22].

Thus, most importantly, \( EL \) involves dynamical chiral symmetry breaking out of \( QCD \) first principles, and the chiral condensate goes like the third power of the Effective Locality mass scale \( \mu \).

Then, appears something like a partonic depleting function, here expressed in the center of mass system of a two quark scattering process, \textit{i.e.}, identified out of a 4–point Green’s function [4, 34], the function :

\[
f(E, p; \mu) \equiv \frac{\mu}{\sqrt{Ep}} \sqrt[3]{\frac{E^2 - p^2}{Ep}}
\]

If \( E \) and \( p \) are in a range of magnitude corresponding to the perturbative regime of \( QCD \), \textit{i.e.}, \( E, p > \Lambda_{QCD} \), then the particle–like character of quarks would allow \( E^2 - p^2 \) to be replaced by \( m^2 \), the squared quark mass. But the interesting point is that the chiral condensate magnitude is modulated by this non–trivial function of quarks energy and momentum variables \( E \) and \( p \), in such a way that as \( E \) and \( p \) increase, the chiral condensate magnitude is depleted down to zero. This is in agreement with the fact that chiral symmetry breaking cannot be the result of a perturbative mechanism. Not innocuous also, the necessity of a 4–point calculation : this could be related to physical peculiarities of the non–perturbative fermionic sector of \( QCD \) [34].

**IV. PHENOMENOLOGICAL APPLICATIONS**

In this section, one goes through a number of phenomenological predictions obtained by using formula (19) and its generalisations deduced from (20).

**A. Quark–quark binding potential**

In the eikonal approximation, the quark–quark scattering amplitude reads ([16], chap.8),

\[
M(p_1; p_2) \equiv T(s, \vec{q}) = \frac{is}{2m^2} \int d^2b \ e^{i\vec{q} \cdot \vec{b}} \left( 1 - e^{i\vec{X}(s, \vec{b})} \right) \tag{46}
\]
where $X(s, \vec{b})$ is the eikonal function, written below, appropriate to the scattering when $s = (p_1 + p_2)^2$, $\vec{b}$ is the impact parameter of the collision in the center of mass frame introduced in section III.B, $\vec{q}$ is the momentum transfer in that frame: $\vec{q}^2 = -(p_1 - p'_1)^2 \ll s$, and $m$ the mass of the quark/antiquark.

Starting from (15) and (19), the non trivial part of (46) reads, in the eikonal and quenched approximation:

$$e^{iX(s, \vec{b})} = \mathcal{N} \int \frac{d[\chi]}{\sqrt{\det(f \cdot \chi)}} e^{\frac{i}{4} \int \chi^2} e^{-\frac{i}{2} \int d^4z \ Q(z) \ (gf \cdot \chi)^{-1}(z) Q(z)}$$ \hspace{1cm} (47)

In the center of mass frame and in the large $g$ regime, where only the $R_{\mu}^a$ part of $Q_{\mu}^a$ is kept, this amplitude becomes,

$$e^{iX(s, \vec{b})} = \mathcal{N} \int \frac{d^8\chi}{\sqrt{\det(f \cdot \chi)}} e^{\frac{i}{4} \delta^4\chi^2} e^{ig \varphi(b)} \Omega (f \cdot \chi)^{-1} \Omega$$ \hspace{1cm} (48)

thanks to the Dirac 4–delta contained in each $R$. As a result, one can replace the functional $d[\chi]$ integral by an ordinary $d^8\chi$, replace the integral $\int d^4x \chi^2(x)$ by $\delta^4\chi^2$ where $\delta^4$ is an infinitesimal 4–volume, use eq.(28) in the last exponential and finally replace the $\delta(2)(\vec{b})$ by $\varphi(b)$.

Making the substitution $\delta^2\chi \rightarrow \chi$ so that the new $\chi$ is dimensionless, calling $\mathcal{R}$ the magnitude of $f \cdot \chi$ and averaging over the angular variables $\{1, 2, 4\}$, one obtains for (48):

$$e^{iX(s, \vec{b})} = \mathcal{N} \int_0^\infty \frac{\mathcal{R}^7 d\mathcal{R}}{\sqrt{\mathcal{R}^8}} e^{\frac{i}{4} \mathcal{R}^2} e^{ig \delta_2^q \varphi(b)} \mathcal{R}^{-1} = \mathcal{N} \int_0^\infty \frac{\mathcal{R}^3 d\mathcal{R}}{\sqrt{\mathcal{R}^4}} e^{\frac{i}{4} \mathcal{R}^2} e^{ig \delta_2^q \varphi(b)} \mathcal{R}^{-1}$$ \hspace{1cm} (49)

where the $\delta$ parameter is now called $\delta_q$ (\$ sect.4) and the normalization constant $\mathcal{N}$ is such that $e^{iX(s, \vec{b})} = 1$ when $g = 0$.

The exponent $g \delta_2^q \varphi(b) \mathcal{R}^{-1}$ can be associated to the graph of Fig.1. There is a $g \delta_q$ attached at each quark–gluon bundle vertex, a $g^{-1}$ coming from the gluon bundle $(g\mathcal{R})^{-1}$, and a $\varphi(b)$ linked with this gluon bundle.

The binding potential $V(\vec{r})$ between two quarks/antiquarks is related to the eikonal function by the formula (\$chap.8) :

$$X(s, \vec{b}) = -\int_{-\infty}^{+\infty} dz \ V(\vec{b} + z\hat{p}_L)$$ \hspace{1cm} (50)

where $\hat{p}_L$ is a unit vector in the direction of longitudinal motion.
For small $\varphi(b)$,

$$iX(b) = \ln(\varphi(b)) + \cdots \quad (51)$$

The part of the eikonal function one is interested in is purely imaginary, as it should be, in order to obtain a binding potential, which itself is the imaginary part of the total potential: $V = V_S - iV_B$ where $V_S$ is the scattering potential. And here, $V = V_B$.

Using [26], that is $\varphi(b) = \varphi(0) e^{-(\mu b)^{2+\xi}}$, one obtains,

$$iX(b) = -(\mu b)^{2+\xi} + \cdots \quad (52)$$

The binding potential can be recovered from (50) by taking the Fourier transform of $X$. For small enough $\xi$, one gets ([2], sect.7):

$$V(r) \simeq \xi \mu (\mu r)^{1+\xi} \quad (53)$$

which justifies the presence of this small $\xi$ parameter in the definition of $\varphi(b)$, so as to obtain a non zero potential. It can be shown ([2] (72)–(77)) that this binding potential has the same form for baryons. For negative $\zeta$-values, interesting relations of (53) to Lowest Landau Levels [37], to a non-commutative geometrical aspect of the transverse scattering plane, and to a Levy-flight mode of propagation of confined quarks, seem to show up.

### B. Estimation of the light quark mass

Using the confining potential $V(r)$ (53), one can give an order of magnitude of the nucleon quark mass by finding the ground state energy of a “model pion” first quantized hamiltonian ([2] sect.8) relying on Heisenberg inequality and classical arguments. The result being of course: $E_0 = m_\pi$.

The hamiltonian of this $q-\bar{q}$ system at rest is:

$$H_\pi = 2m + \frac{p^2}{m} + V(r) \quad (54)$$

where $m$ is the mass of the quark/antiquark, and $r$ and $p$ the canonical pair defining the relative position and momentum of the system. One finds the minimal eigenvalue of $H_\pi$ by using the Heisenberg inequality $p \geq 1/r$, followed by $\frac{dH_\pi}{dr} \bigg|_{r=r_0} = 0$. Replacing this $r_0$ value in the hamiltonian and assuming $\xi \ll 1$, one has:

$$E_0 = 2m + 3\mu \left( \frac{\xi^2 \mu}{4m} \right)^{1/3} \quad (55)$$
FIG. 2: A quark loop exchange through two gluon bundles (shaded ovals) between two quarks of two nucleons (solid lines).

$E_0$ can be minimized again when considered as a function of $x = \frac{\mu}{m}$:

$$E_0 = \mu \left(\frac{2}{x} + 3 \left(\frac{\xi}{2}\right)^{2/3} x^{1/3}\right)$$  \hspace{1cm} (56)

The minimal value is obtained for $x = \frac{25/4}{\sqrt[3]{\xi}}$ and one then simply gets,

$$E_0 \simeq 8m$$  \hspace{1cm} (57)

It follows that $m \simeq \frac{m_\pi}{8} \simeq 15 \text{ MeV}/c^2$ to be compared to the “current” mass \[38\], $m_u \simeq m_d \simeq 4 - 5 \text{ MeV}/c^2$.

One can now give a more precise meaning to the $EL-\mu$ parameter by assuming it is related to the pion mass, $\mu \simeq m_\pi$. This value, used in the following sections, leads to a small value of the deformation parameter, $\xi = 0.088$.

C. Nucleon–nucleon binding potential

One can’t expect to find a nucleon–nucleon binding potential using the same eikonal as in the previous section. For, first, the distance between nucleons in a nucleus must be larger than that of quarks within a hadron, which means that $\varphi(b)$ must be replaced by a function with a larger $b$ range. And secondly, one would expect to find a link between this nuclear potential and the pion exchange mechanism inside nucleus, which a single gluon
bundle exchange between quarks can’t achieve. Taking the fermionic determinant $L[A]$ into account, (20), will lead to a potential satisfying these two requirements.

Due to the relative complexity of the computations for arriving at useful formulas, the results are given without details, those details being supplied in [3], [5], [39] chap.12, and [40].

To begin with, the nucleon–nucleon binding process will be described as a two body interaction as can be shown in [3], Eqs.(5) to (12).

Then, one assumes that the eikonal amplitude leading to the nuclear potential relies on the $L[A]$ contribution only. Writing (20) in the eikonal approximation and neglecting the action of the linkage operator on the fermionic determinant, one obtains:

$$e^{iX(s, \vec{b})} = \mathcal{N} \int \frac{d[\chi]}{\sqrt{\det(f \cdot \chi)}} e^{\frac{i}{4} \int \chi^2 L[(gf \cdot \chi)^{-1}(R_1 + R_2)]}$$  \hspace{1cm} (58)

where $R_{1,2}$ are the quark–currents [14] involved in the binding and where $L[A]$ is given by (36), its ordered exponential being coped with the help of (25). Neglecting spin effects, it follows that the “useful” part of $L[A]$ is:

$$e^{-ig \int_0^s ds' u^\mu(s') \Omega^a(s) A^a_\mu (y_i - u_i(s))} - 1$$  \hspace{1cm} (59)

Keeping only the first term of the exponential (one fermion loop) in the eikonal approximation, and integrating over the angular variables, one finds (3, Eqs.(40), and 40 Eq.(19)),

$$e^{iX(s, \vec{b})} = N \int_{0}^{\infty} \mathcal{R}_1^3 d\mathcal{R}_1 e^{\frac{i}{4} \mathcal{R}_1^2} \int_{0}^{\infty} \mathcal{R}_2^3 d\mathcal{R}_2 e^{\frac{i}{4} \mathcal{R}_2^2} e^{iC(s, \vec{b}) \mathcal{R}_1^{-1} \mathcal{R}_2^{-1}}$$  \hspace{1cm} (60)

with:

$$C(s, \vec{b}) = g^2 \delta_q^2 \left( \frac{K}{\mu^2} \right) \Delta \bar{\varphi}(b)$$  \hspace{1cm} (61)

A few words of explanation are appropriate:

The $C \mathcal{R}_1^{-1} \mathcal{R}_2^{-1}$ factor can be diagrammatically depicted by Fig.2. There is a $g \delta_q$ attached to each “physical” quark–gluon bundle vertex, a $g \delta$ attached to each end of the “loop” quark–gluon bundle vertex, this $\delta$ tending to 0, together with a logarithmically divergent quantity $l$ associated to the quark loop diagram, such that $\delta^2 l = \frac{K}{\mu^2}$ is finite ([3], Sect.4) and each of the two gluon bundles gives a $(g \mathcal{R}_i)^{-1}$ where $\mathcal{R}_i$ is the magnitude of $f \chi_i$. Concerning the transverse fluctuation function $\bar{\varphi}(b)$, it is obtained as the convolution product of the two
\( \varphi(b) \), one for each gluon bundle, the result being a function with a larger dispersion \( [3, \text{ Eq.(26)}] \). The \( \bar{\mu} \) mass needs not being the same as in \( \varphi \). The last ingredient in \( (61) \) is the laplacian in front of \( \varphi \), induced by the quark loop \( [3, \text{ Eqs.(30) to (40)}] \).

As a last comment, Fig.2 can be seen as the exchange of a virtual \( \pi \) between the nucleons, via two gluon bundles.

From this, one can compute a (very qualitative) binding potential following a similar path as in Subsection A above. The difference being that one expands to first order the left hand side of eq.(49) and in its right hand side the exponential factor containing \( C(s, \bar{b}) \), as \( X \) and \( C \) are both small quantities in this binding process; moreover, and the small \( \xi \) parameter of \( \varphi \) is no longer essential and can be neglected. One finds \( [3, \text{ Eq.(48)}] \),

\[
V(r) \simeq g^2 \bar{\mu} (2 - \bar{\mu}^2 r^2) e^{-\bar{\mu}^2 r^2 / 2} \quad (62)
\]

This potential can not be expected to be reliable at small \( \bar{\mu} r \) values, where the approximation of one quark loop exchange is no more valid.

\section{D. Estimation of the size of the deuteron}

Using the potential \( (62) \), a deuteron model can be built, starting from the classical two equal-mass nucleon hamiltonian:

\[
\mathcal{H}_D = \frac{p^2}{m_N} + V_0 (1 - \frac{\bar{\mu}^2 r^2}{2}) e^{-\frac{\bar{\mu}^2 r^2}{2}} \quad (63)
\]

With the help of the Heisenberg inequality \( p \geq \frac{1}{r} \), one can infer the size of the deuteron in its fundamental state.

Defining the dimensionless variable \( x = \bar{\mu} r \), the hamiltonian can be rewritten:

\[
\mathcal{H}_D = \frac{\bar{\mu}^2}{m_N x^2} + V_0 (1 - \frac{x^2}{2}) e^{-\frac{x^2}{2}} \quad (64)
\]

If \( \bar{\mu} \) can be taken as the pion mass \( m_\pi \simeq 140 \text{ MeV}/c^2 \). With \( m_N \simeq 1 \text{ GeV}/c^2 \), that leads to \( M = \frac{\mu^2}{m_N} \simeq 20 \text{ MeV}/c^2 \).

The minimum of \( \mathcal{H}_D \) is found by solving:

\[
\frac{d\mathcal{H}_D}{dx} \bigg|_{x=x_0} = 0
\]
FIG. 3: A linear chain of gluon bundles and quark loops exchange between two quarks of two nucleons. No more than two bundles can be attached to a quark loop [40].

That leads to the equation:

$$0 = -\frac{2M}{x_0^3} + \frac{V_0}{2} (x_0^3 - 4x_0) e^{-\frac{x_0^2}{2}}$$

Taking out the exponential and bringing it back in $\mathcal{H}_D$, one finds:

$$E_0 = \frac{x_0^4 - 6x_0^2 + 4}{x_0^5 (x_0^2 - 4)} M$$

Solving this equation for $x_0$ using $E_0 \simeq -2$ MeV, one finds $x_0 \simeq 0.85$ that leads to an unphysical negative $V_0$ and $x_0 \simeq 2.2$ that leads to a size for the deuteron of $r_0 \simeq 3$ fm, which is a correct order of magnitude.

E. Application to $pp$ elastic scattering

The two physical ingredients of our eikonal non–perturbative QCD theory, the exchange of gluon bundles, schematized in Fig.1 for one bundle exchange and the exchange of quark loop chains schematized in Fig.2 for one quark loop chain exchange, that have been used in binding contexts, can also be employed for the description of proton–proton elastic scattering, where the eikonal approximation is justified.

Before putting forward a theoretical cross–section for these processes, one has to recall a major result of these Effective Locality induced dynamics: only a few amplitudes – in the eikonal context – involving quarks, gluon bundles and linear quark loop chains (see Fig.3) are non–zero. Only exchanges between two different quarks are allowed. They take the shape of ladders if several of these “building blocks” are involved (see Fig.4). In particular, no exchanges of bundles and chains can occur on a single quark line: there are no self–energy graphs for quarks in this non–perturbative QCD regime, all of these features established in Ref.[5].
FIG. 4: One and two gluon bundles exchange between two quarks of two nucleons.

Turning to the elastic scattering between nucleons, the aim is to produce QCD curves to compare to the old ISR [41–44] and the recent LHC TOTEM [45] pp elastic scattering data.

The appropriate starting point is again the eikonal amplitude [46]:

$$M(p_1;p_2) \equiv T^{pp}(s,\vec{q}) = \frac{is}{2M^2} \int d^2b \, e^{i\vec{q} \cdot \vec{b}} \left( 1 - e^{iX^{pp}(s,\vec{b})} \right)$$

(67)

with $s = 4E^2$ where $E$ is the center of mass energy of each proton, $M$ the proton mass and $\vec{q}$ the momentum transfer in that frame: $\vec{q}^2 = |t|$.

A description of the elastic data involves exchanges of both gluon bundles and quark loop chains. Obviously, this description will be crude, due to all the approximations made along the computations, the neglect of spin effects being one of them. Still one will get curves whose shapes qualitively reproduce the data features. Needless to recall that this approach is strictly based on the QCD lagangian [1], from which Pomerons are absent.

Recalling the gluon bundle exchange amplitude [49],

$$e^{iX^{(GB)}(s,\vec{b})} = N \int_0^\infty \mathcal{R}^3 \, d\mathcal{R} \, e^{\frac{i}{4} \mathcal{R}^2} \, e^{ig\delta_q^2 \varphi(b)} \mathcal{R}^{-1}$$

(68)

and the quark loop chain exchange amplitude, Eqs.(60) and (61),

$$e^{iX^{(QLC)}(s,\vec{b})} = N \int_0^\infty \mathcal{R}_1^3 \, d\mathcal{R}_1 \, e^{\frac{i}{4} \mathcal{R}_1^2} \int_0^\infty \mathcal{R}_2^3 \, d\mathcal{R}_2 \, e^{\frac{i}{4} \mathcal{R}_2^2} \, e^{ig^2\delta_q^2 \left( \frac{\kappa}{\mu^2} \right) \Delta \varphi(b)} \mathcal{R}_1^{-1} \mathcal{R}_2^{-1}$$

(69)

and integrating over the $\mathcal{R}$s ([40], Eqs.(20)–(23)), one obtains:

$$e^{iX^{(GB)}(s,\vec{b})} = e^{\frac{\sqrt{i}}{2} \, g \delta_q^2 \varphi(b)}$$

(70)

and

$$e^{iX^{(QLC)}(s,\vec{b})} = e^{\frac{1}{4} \, g^2 \delta_q^2 \left( \frac{\kappa}{\mu^2} \right) \Delta \varphi(b)}$$

(71)
That leads to,
\[
T^{pp}(s, \vec{q}) = \frac{is}{2M^2} \int d^2 b \ e^{i\vec{q} \cdot \vec{b}} \left( 1 - e^{i\mathcal{X}^{(GB)}(s, \vec{b})} e^{i\mathcal{X}^{(QLC)}(s, \vec{b})} \right)
\]
\[
= \frac{is}{2M^2} \int d^2 b \ e^{i\vec{q} \cdot \vec{b}} \left( 1 - e^{\sqrt{i} \frac{g}{2} \delta_q^2 \varphi(b) + \frac{1}{4} g^2 \delta_q^2 \frac{\kappa}{\bar{\mu}^2} \Delta \bar{\varphi}(b)} \right)
\]  
(72)

The elastic differential cross-section is given by ([16], Chaps. 8 and 10),
\[
\frac{d\sigma^{pp}}{dt} = \frac{M^4}{\pi s^2} |T^{pp}|^2
\]  
(73)

Before computing $|T^{pp}|^2$, one has to specify first the transverse fluctuation functions:
\[
\varphi(b) = \frac{m^2}{\pi} e^{-m^2 b^2}, \quad \bar{\varphi}(b) = \frac{\bar{m}^2}{2\pi} e^{-\frac{\bar{m}^2}{2} b^2}
\]  
(74)

where $\varphi$ and $\bar{\varphi}$ are normalized, second the values of $m$ and $\bar{m}$ that depend on the energy of the reaction but should be close to the pion mass, and finally give a physical definition of $\delta_q$ that was not necessary in the previous sub-sections but is now, in this scattering frame where the energy of the reaction is a fundamental parameter:
\[
\delta_q = \left( \frac{\lambda}{m} \right) \left( \frac{m}{E} \right)^p
\]  
(75)

with $E$ the energy of each quark involved in the scattering, $E = \mathcal{E}/3$, $p$ is a small positive parameter accounting for the decrease of the cross-section with increasing energy, and $\lambda$ dimensionless and small. All these quantities depend smoothly on $E$.

If one considers an amplitude where one gluon bundle and one quark loop chain is exchanged between quarks, then one has to expand each exponential to first order only and from (70), (71) and (72) one obtains,
\[
T^{pp}_1(s, \vec{q}) = -\frac{is}{2M^2} \int d^2 b \ e^{i\vec{q} \cdot \vec{b}} \left( \frac{\sqrt{i}}{2} g \delta_q^2 \varphi(b) + \frac{1}{4} g^2 \delta_q^2 \left( \frac{\kappa}{\bar{\mu}^2} \right) \Delta \bar{\varphi}(b) \right)
\]  
(76)

Adding to this $T_1$ amplitude a two gluon bundle exchange (see Fig.4) by expanding $\mathcal{X}^{(GB)}$ to second order, one gets:
\[
T^{pp}_1(s, \vec{q}) = -\frac{is}{2M^2} \int d^2 b \ e^{i\vec{q} \cdot \vec{b}} \left( \frac{\sqrt{i}}{2} g \delta_q^2 \varphi(b) + \frac{1}{2} g^2 \delta_q^4 \varphi^2(b) + \frac{1}{4} g^2 \delta_q^2 \left( \frac{\kappa}{\bar{\mu}^2} \right) \Delta \bar{\varphi}(b) \right)
\]  
(77)

That leads to two cross-sections, $\frac{d\sigma_1^{pp}}{dt}$ and $\frac{d\sigma_2^{pp}}{dt}$ whose expressions are not too illuminating, and are given in [40] eq.(35) and (36).
FIG. 5: Elastic $pp$ scattering differential cross-section at $\sqrt{s} = 44.7$ GeV. Black dots are experimental data, dashed line is the result of [40], Eq.(35), solid line comes from [40] eq.(36).

FIG. 6: Elastic $pp$ scattering differential cross-section at $\sqrt{s} = 8$ TeV. Black dots are experimental data, dashed line is the result of [40] eq.(35), solid line comes from [40], Eq.(36).

Two examples of the curves obtained using (76) and (77) are given in Fig.5 for the ISR data and Fig.6 for TOTEM data.

A necessary comment on those QCD results is that the gluon bundle contribution to the cross-section (one or two exchanges) comes out as an $e^{-\frac{q^2}{4m^2}}$ that gives the low $q^2$
part of the curves, below the “dip” shown by the data, while the one quark loop chain, thanks to the laplacian in front of $\varphi(b)$ leads to a term proportionnal to $q^2 e^{-q^2/4m^2}$ that gives the major contribution for the cross-section for larger $q^2$, above the “dip”, the sum of the two (bundles and loop) qualitatively accounting for this experimental dip. It is remarkable that just the two or three first terms of the eikonal exponential are sufficient to give a qualitatively fair description of data covering a range of energy between 30 GeV to 10 TeV.

V. CONCLUSION

Effective Locality has been derived some years ago in the context of Lagrangian quantum field theory for the sake of exploring the non-perturbative sector of $QCD$. Its fundamental step consists in ‘integrating out’ the gluonic degrees of freedom of the original generating functional of $QCD$. Quantization is carried out by relying on functional differentiations rather than functional integrations, the two procedures of quantization being equivalent whenever the Wick theorem applies to time-ordered products of quantum field operators.

By adding and subtracting Lagrangian density terms ordinarily used as gauge-fixing conditions in the functional integration context, invertible gauge field- functions (i.e. propagators) of any sort are generated which render perfectly well-defined all of the standard operations of functional differentiation which complete the quantization procedure.

In the end, it is really remarkable that gluonic degrees disappear to the exclusive benefit of rank 2 covariant tensor fields endowed with color indices, the $\chi^a_{\mu\nu}$-terms, which are introduced in order to ‘linearize’ the original $F^2$-non abelian field-strength tensor of the $QCD$ Lagrangian density.

Somewhat similar in form, an astounding result was derived 30 years ago in the pure Yang Mills theory through an instanton calculation, that is, in the euclidean case. At leading order of a semi-classical expansion, the $\chi^a_{\mu\nu}$-fields could consistently be understood as the original field strength tensors evaluated on instanton $A^a_{\mu}$-gauge field configurations, $\chi^a_{\mu\nu} = F^a_{\mu\nu}(A^b_{inst.})$. Now, besides the euclidean framework of this derivation, functional integration was used to quantize the Yang Mills generating functional, with, as a consequence gauge-fixing conditions transferred from the original $A^a_{\mu}$-gauge fields to the new $\chi^a_{\mu\nu}$-field variables, with ultimately, a Gribov’s copies issue met again.
On the contrary, effective locality calculations take place in Minkowski spacetime right from the onset, avoid any gauge-fixing procedure and related Gribov’s copy problem, and by construction, preserve the full original non-abelian gauge invariance of $QCD$. This certainly stands for the most striking and physically interesting aspect of Effective Locality.

In this article, a summarized review of eight, among the theoretical aspects and consequences of the Effective Locality property have been presented out of an ongoing list, and likewise, five phenomenological applications are also given. If the latter are often obtained on the bases of rough approximations to the exact expressions, it remains that most of them can be motivated and that they are found in line with the expected features of the non-perturbative regime of $QCD$. If, as Wisdom claims, it is true that *When an idea is a good one, it is fecund at tree-level*, then these first results could be considered as encouraging.

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