Performance Analysis of Project-and-Forward Relaying in Mixed MIMO-Pinhole and Rayleigh Dual-Hop Channel

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Abstract—In this letter, we present an end-to-end performance analysis of dual-hop project-and-forward relaying in a realistic scenario, where the source-relay and the relay-destination links are experiencing MIMO-pinhole and Rayleigh channel conditions, respectively. We derive the probability density function of both the relay post-processing and the end-to-end signal-to-noise ratios, and the obtained expressions are used to derive the outage probability of the analyzed system as well as its end-to-end ergodic capacity in terms of generalized functions. Applying then the residue theory to Mellin-Barnes integrals, we infer the system asymptotic behavior for different channel parameters. As the bivariate Meijer-G function is involved in the analysis, we propose a new and fast MATLAB implementation enabling an automated definition of the complex integration contour. Extensive Monte-Carlo simulations are invoked to corroborate the analytical results.

Index Terms—Capacity, Meijer G-function, Mellin-Barnes, MIMO, outage probability, performance analysis, pinhole channel, project-and-forward, relaying, residue theory.

I. INTRODUCTION

One detrimental situation to MIMO communication benefits is the pinhole effect that usually arises when the transmit-receive range is much larger than the radius of local scatterers in both sides. In that case, the fading energy propagates through a very thin air pipe, called a pinhole (or keyhole), reducing the MIMO channel to a rank-one matrix [1].

In downlink dual-hop multi-antenna relaying systems, the pinhole scenario may practically surface in either hops. Hence, in rich-scattering dense urban fixed deployments, a carefully planned relay location ensures a full-rank source-relay channel; while the relay-destination link may endure the pinhole effect because of the large eNodeB-relay distances, and therefore the pinhole effect, eNodeB LOS coverage and the rare handover events induce nodes (MRNs) in high speed vehicles [3], where the large rural deployments, the donor eNodeB and the relay are separated by a large eNodeB-relay distances, and therefore the pinhole effect, the relay-destination link may endure the pinhole effect yielded by an orthogonal projection—instead of the signal itself. Only as few relay antennas as the rank of the source-relay MIMO channel are used, i.e., a single antenna in the unit-rank case.

While the mixed full-rank/pinhole MIMO channel has been widely studied in the literature, especially for AF-based setups (cf. [5, 6] and references therein), the MIMO-pinhole/Rayleigh channel has been rarely addressed and, to the best of our knowledge, never for the PF scheme that, in addition, turns out to be very opportune in such environments.

In this letter, we present a novel end-to-end performance analysis of dual-hop PF systems over the mixed MIMO-pinhole/Rayleigh relay channel. We derive exact expressions for the probability density functions (PDFs) of both the first hop and the end-to-end SNRs, which are then used to infer the outage probability as well as the ergodic capacity whose formula is provided in terms of the bivariate Meijer G-function [7]. The asymptotic behavior is then derived using the residue theory. While the Meijer G-function [8 Eq. (9.301)] is a built-in routine in prevalent computing softwares, the bivariate Meijer G-function is available only in MATHEMATICA with no general contour definition [9]. We therefore develop a fast MATLAB code with automated integration contour for this generalized function as a secondary contribution of this work.

In the sequel, the superscript $n$ denotes the Hermitian transpose, $\|\|_F$ and $\text{Res} \phi, [\varphi, \rho]$ represent the Frobenius norm and the residue function $\phi$ at pole $\rho$. $\Gamma ()$, $\psi^{(0)} ()$, and $K_\nu ()$ stand for the Gamma function, the digamma function, and the $\nu^{th}$ order modified Bessel function of the second kind, respectively. $G^{(\cdot)} (\cdot | \cdot)$ is the Meijer G-function, and $G^{(\cdot)} (\cdot | \cdot | \cdot | \cdot | \cdot)$ is the bivariate Meijer G-function.

II. SYSTEM MODEL

A. Channel Description

We consider a half-duplex dual-hop multi-antenna cooperative transmission where an $n_s$-antennas source is connected to a single antenna destination through an $n_r$-antennas relay
\((n_s, n_r > 1)\). The communication between each couple of nodes, \(i \in \{s, r\}\) and \(i' \in \{r, d\}\), place over an independent wireless link \(i - i'\) experiencing an average propagation loss \(\alpha_{ii'}\). The corresponding small scale fading effects are represented by

- A MIMO-pinhole channel matrix \(H_{sr}\) that is modelled as an outer product of two independent and uncorrelated Rayleigh fading vectors \(g_s \in \mathbb{C}^{n_s \times 1}\) and \(g_r \in \mathbb{C}^{n_r \times 1}\), i.e.,
  \[
  H_{sr} = g_s g_r^H \in \mathbb{C}^{n_r \times n_s}.
  \tag{1}
  \]
- An independent standard complex Gaussian vector \(h_{rd}\) whose coefficients \(\{h_{rd}^{m,n}\}\) are consequently Rayleigh distributed.

Both relay and destination received signals are corrupted by additive white Gaussian noise (AWGN) vectors \(w_r \sim \mathcal{N}(0_{n_r \times 1}, \sigma^2 I_{n_r})\) and \(w_d \sim \mathcal{N}(0_{n_d \times 1}, \sigma^2 I_{n_d})\), respectively. The corresponding average SNRs per hop are \(\gamma_{sr} = \sigma_{sr}^2 / \sigma^2\) and \(\gamma_{rd} = \sigma_{rd}^2 / \sigma^2\).

### B. Project-and-Forward Relaying

Let \(x \in \mathbb{C}^{n_s \times 1}\) denote a unitary precoded symbol vector transmitted by the source node. The \(s \rightarrow r\) communication model can be accordingly expressed as,

\[
y_r = \alpha_{sr} H_{sr} x + w_r \in \mathbb{C}^{n_r \times 1}.
\tag{2}
\]

The key idea of PF relaying is to extract and forward the DoFs of the received signal vector \(y_r\) via a QR-based orthogonal projection \(\text{[10]}\). Given that \(H_{sr}\) is a pinhole channel, a single degree of freedom will be conveyed by the relay to be used in the estimation of the transmit vector \(x\) at the destination.

Let \(H_{sr} = QR\) denote the QR decomposition of \(H_{sr}\), where \(Q \in \mathbb{C}^{n_r \times n_r}\) is a unitary matrix with \(q \in \mathbb{C}^{n_r \times 1}\) standing for its first column vector, and \(R \in \mathbb{C}^{n_r \times n_s}\) is an upper triangular matrix whose \((n_r - 1)\) bottom rows consist entirely of zeros, i.e.,

\[
R = \begin{bmatrix}
h_r \\
0_{(n_r - 1) \times n_s}
\end{bmatrix}.
\tag{3}
\]

The DoF \(\tilde{y}_r\) is first obtained as

\[
\tilde{y}_r = q^H y_r = \alpha_{sr} h_r x + q^H w_r \in \mathbb{C},
\tag{4}
\]

and is then normalized with a scaling factor \(\alpha_r = \left(\alpha_r^2 ||h_r||_F^2 + \sigma^2\right)^{-1/2}\) before being forwarded to the destination using only one relay antenna. The \(r \rightarrow d\) link is therefore a SISO Rayleigh channel whose fading coefficient \(h_{rd}\) is rid of the antenna index, resulting in a simpler case

\[
y_d = \alpha_{rd} h_r \tilde{y}_r + w_d \in \mathbb{C}.
\tag{5}
\]

### III. PERFORMANCE ANALYSIS

#### A. Instantaneous SNRs Characterization

By invoking communication models \(\text{(4) and (5)}\), end-to-end SNR of the PF system in the mixed MIMO-pinhole/Rayleigh channel can be expressed similarly to a dual-hop AF transmission \(\text{[11]}\), i.e.,

\[
\gamma_{sr} = \frac{\gamma_{sr} \gamma_{rd}}{\gamma_{sr} + \gamma_{rd} + 1},
\tag{6}
\]

where the conditional terms \(\gamma_{sr} = \gamma_{sr}||h_r||_F^2\) and \(\gamma_{rd} = \gamma_{rd}||h_r||_F^2\) represent the relay post-processing SNR and the destination receive SNR, respectively.

To evaluate the PDF of \(\gamma_{sr}\), we consider the equality \(q h_r = H_{sr} = g_s g_r^H\) that stems from the aforementioned QR decomposition. Given that \(q\) is unitary, we infer that \(||h_r||_F = ||g_s||_F ||g_r||_F\), and due to the statistical independence between \(g_s\) and \(g_r\), the PDF of \(||h_r||_F^2\) can be shown to be

\[
f||h_r||_F^2(\gamma) = \int_0^{\gamma} \frac{1}{\gamma} f||g_s||_F^2(\gamma) f||g_r||_F^2(\gamma) d\gamma.
\tag{7}
\]

By recalling that both \(g_s\) and \(g_r\) are Rayleigh fading vectors, we have \(2||g_s||_F^2 \sim X^2_{2n_s} \sim 1\) \((s, r)\). After some algebraic manipulations and by making use of \(\text{(7)}\) and \(\text{(8)}\) \((6.471.9)\), we obtain the PDF of \(\gamma_{sr}\) under the form

\[
f\gamma_{sr} = \frac{2}{\Gamma(n_s)} \frac{\gamma^{n_s/2 - 1}}{\Gamma(n_s/2)} K_{n_s - n_s} \left(\frac{\gamma}{\gamma_{sr}}\right).
\tag{8}
\]

The \(r \rightarrow d\) link is experiencing Rayleigh flat fading. Hence, \(\gamma_{rd}\) is exponentially distributed with the probability density function written as \(f_{\gamma_{rd}}(\gamma) = (1/\gamma_{rd}) \exp(-\gamma/\gamma_{rd})\).

#### B. Outage Probability

In noise-limited transmissions, quality of service (QoS) is ensured by keeping the instantaneous end-to-end SNR above a threshold \(\gamma_{th}\). The probability of outage in our relay setup is expressed as

\[
P_{\text{out}} = \Pr[\gamma_{sr} < \gamma_{th}] = \Pr \left[\gamma_{sr} \gamma_{rd} < \gamma_{th} \right],
\tag{9}
\]

which is actually the cumulative distribution function (CDF) of \(\gamma_{sr}\). Marginalization over \(\gamma_{sr}\) yields

\[
P_{\text{out}}(\gamma_{th}) = 1 - \int_0^{\gamma_{th}} F_{\gamma_{sr}} \left[\gamma_{rd} + \gamma_{sr} + \gamma_{th}\right] f_{\gamma_{rd}}(\gamma) d\gamma,
\tag{10}
\]

where \(F_{\gamma_{sr}}(\cdot)\) is the complementary CDF (CCDF) of \(\gamma_{rd}\), given by \(\exp(-\gamma/\gamma_{rd})\). By plugging \(\gamma_{sr}\) into the above integral and making the change \(u = 1 + \gamma/\gamma_{rd}\) as well as a Taylor expansion of an exponential term, we infer that

\[
P_{\text{out}}(\gamma_{th}) = 1 - \frac{1}{\Gamma(n_s)} \frac{\gamma_{th}^{n_s/2} - 1}{\Gamma(n_s/2)} \times I,
\tag{11}
\]

with the term \(I\) given by

\[
I = \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \left(\frac{\gamma_{th} + 1}{\gamma_{sr}}\right)^{k} \sum_{i=0}^{\infty} a_{k,i} \frac{a_{k,i}}{I} \times K_{n_s - n_s} \left(\frac{\gamma_{th}}{\gamma_{sr}}\right),
\tag{12}
\]

where \(\alpha = (n_s + n_r)/2 - 1, \; \nu = n_r - n_s, \; a_{k,l} = \Gamma(k + 1)/\Gamma(k)\) with the particular case \(a_{0,0} = 0, \; \nu = 0\). Then, by combining \(\text{(11)}\) and \(\text{(12)}\) and using \(\text{(8)}\) \((6.592.4)\), an exact expression of \(P_{\text{out}}\) is obtained after some simplifications as shown in \(\text{(13)}\) on top of the next page.

#### C. Ergodic Capacity

Unlike the approximation in \(\text{(12)}\), the end-to-end ergodic capacity of the dual-hop PF system under consideration can be written as

\[
C_{\text{sr}} = \frac{1}{2} \int_0^{\gamma_{th}} \log_2(1 + \gamma) f_{\gamma_{sr}}(\gamma) d\gamma.
\tag{14}
\]
\[ P_{\text{out}} (\gamma_{th}) = 1 - \frac{e^{\frac{-\Delta th}{\Gamma(n_r)\Gamma(n_s) \gamma_{th}}} \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \left( \frac{\gamma_{th} + 1}{\gamma_{rd}} \right)^k \sum_{l=0}^{\infty} \frac{a_{k,l}}{\Gamma \left( \frac{k + l + n - 1}{\gamma_{rd}} \right)}} {\prod_{l=0}^{n_s} \Gamma \left( \frac{\gamma_{th} + 1}{\gamma_{rd}} \right)^k \sum_{l=0}^{\infty} \frac{a_{k,l}}{\Gamma \left( \frac{k + l + n - 1}{\gamma_{rd}} \right)} + 1} + \alpha (\gamma_{th})} \]  

where \( f_{\gamma_{rd}} \) is the PDF of \( \gamma_{rd} \) that is computed by firstly expanding the power \( (\gamma_{th} + 1)^k \) in [13] into a finite sum using the Binomial theorem. The resulting function is then differentiated with respect to \( \gamma_{th} \) via [13 Eq. (5)]. By rewriting the elementary functions involved in the obtained PDF as Meijer G-functions [14 Eq. (11)], i.e., \( G^2_1 (\gamma_{th}) = G^1_1 (\gamma_{th}) = \frac{1}{\rho} \Gamma (\gamma_{th}, \rho) \), and \( \ln (1+\gamma) = G^1_2 (\gamma, 1, 1, 0) \), the ergodic capacity is expressed in terms of integrals of the product of three Meijer G-functions whose expressions are given in terms of the bivariate Meijer G-function according to [15 Eq. (12)] as shown in [15].

IV. ASYMPTOTIC BEHAVIOR

To highlight the effect of channel parameters on both the outage probability and the ergodic capacity, we study their asymptotic behaviors. Invoking [16] Theorem 1.7 and Theorem 1.11, expansions of the Mellin-Barnes integrals involved in the Meijer-G and bivariate Meijer-G functions can be derived by evaluating the residue of the corresponding integrands at the pole closest to the contour; the minimum pole on the right for small Meijer-G arguments and the maximum pole on the left for large ones, as depicted in Fig. 1. Moreover, the Inside-Outside theorem [17] states that the obtained result is further multiplied by \(-1\) in the case of a clockwise-oriented contour (i.e., for small arguments).

A. Asymptotic Outage Probability

We study the asymptotic behavior of the outage probability for a low SNR threshold \( \gamma_{th} \). By keeping low order terms in [13], i.e., \( k + l \leq 1 \), and given that \( \alpha + \nu/2 = n_s - 1 \geq 1 \) and \( \alpha - \nu/2 = n_r - 1 \geq 1 \), we have \( \pm \nu/2 + \alpha - k - l \geq 0 \). Therefore, we evaluate the residue at \(-1\) (that is the smallest pole) as shown in [16]. Replacing the exponential function with its first order expansion near zero, \( \exp (-\frac{\Delta th}{\gamma_{rd}}) \approx 1 - \frac{\Delta th}{\gamma_{rd}} \), yields the following asymptotic expression:

\[ P_{\text{out}} (\gamma_{th}) = \left( 1 + \frac{1}{(n_s - 1)(n_r - 1)\gamma_{rd}} \right) \gamma_{th} \gamma_{rd}^\alpha + \alpha (\gamma_{th}) \]  

B. Asymptotic Ergodic Capacity

Based on [15], the asymptotic behavior of the ergodic capacity is derived for different scenarios of the balance parameter \( \beta = \frac{n_r - 1}{n_s - 1} \) and the SNR \( \gamma_{rd} \) as summarized in Table I. Let \( s \) and \( t \) denote the integration variables in the bivariate Meijer-G function. In the case \( \beta \to +\infty \), we evaluate the residue of the first and second bivariate Meijer-G terms in [15] at the highest poles on the left of the contour, i.e., \( t = -(k + l + n + 2) \) and \( t = -(k + l + n + 1) \), respectively. Keeping only 0-th orders on 1/\( \beta \) results in the expression (18). Expression (20) is inferred by computing the residue of the integrand of the Meijer-G term in (18) at \( s = 0 \) as shown in [19]. The remaining cases are obtained using the same approach.

### Table I

| Scenario | Asymptotic \( \bar{C}_{\text{av,rd}} \) |
|----------|--------------------------------------|
| \( \beta \to +\infty \) | \( G_{(v,0,n_r)}^2 (\gamma_{rd}) \left[ \Gamma (\gamma_{rd}, 1/2) \right] \) |
| \( \gamma_{rd} \to +\infty \) | \( G_{(v,0,n_r)}^2 (\gamma_{rd}) \left[ \Gamma (\gamma_{rd}, 1/2) \right] \) |
| \( \beta \to 0 \) | \( \gamma_{rd} \to 0 \) | 0 |

V. NUMERICAL RESULTS

In this section, we present a few numerical results to illustrate the theoretical analysis. For different antenna and SNR setups, Fig. 2 and 3 show the exact and asymptotic results of both the end-to-end outage probability and the ergodic capacity, respectively. Throughout our numerical experiments, we found out that regardless of the average SNRs and antennas settings, accurate analytical curves can be obtained by truncating the infinite sums at \( K = 50 \) and \( L = 5 \) terms. The exact match with Monte-Carlo simulation results confirms the precision of
theoretical analysis. As the PF scheme is a variant of AF, also operating at the signal-level, per antenna CSI-assisted AF simulations are provided for comparison. The bivariate Meijer G-function with automated contour—presented in the Appendix—was developed to enable the numerical evaluation of the Bivariate Meijer G-function with automated contour—presented in the Appendix—was developed to enable the numerical evaluation of the Bivariate Meijer G-function with automated contour—presented in the Appendix—was developed to enable the numerical evaluation of the bivariate Meijer-G function. Exact and asymptotic results are in total agreement with Monte-Carlo simulations, and can be used by system designers to define SNR thresholds for switching between PF and other relaying schemes in pinhole conditions.

VI. CONCLUSION

In this letter, we have presented a performance evaluation of dual-hop PF systems over the practical mixed MIMO-pinhole/Rayleigh channel. For numerical evaluation purposes, we have proposed a novel and fast MATLAB implementation of the bivariate Meijer-G function. Exact and asymptotic results are in total agreement with Monte-Carlo simulations, and can be used by system designers to define SNR thresholds for switching between PF and other relaying schemes in pinhole conditions.

APPENDIX

BIVARIATE MEIJER G-FUNCTION’S MATLAB CODE

```matlab
function out = Bivariate_Meijer_G(am1, ap1, bn1, bq1, cm2, ... cp2, dn2, dq2, em3, ep3, fn3, fp3, x, y)

% Integral definition
F = @(s,t) GammaProd(am1,s+t).*GammaProd(1-cm2,s) ... *GammaProd(dp2,-s) .* GammaProd(1-em3,t) ... *GammaProd(dp3,-t).* (x.^s).* (y.^t) ... ./(GammaProd(1-ap1,-(s+t)).*GammaProd(bq1,s+t) ... .*GammaProd(cm2,-t).*GammaProd(1-bn1,s) ... .*GammaProd(fp2,-s).*GammaProd(1-fn3,t) ... .*GammaProd(fp3,-t).*GammaProd(1-fn3,t));

% Contour definition
Sup = min([dn2]); Inf = max([am1-cm3-1,1]); % t>-am1-s,s=cs Sup = Supt - (Supt - Inf); %t>-am1-s,s=cs ct = Supt - (Supt - Inf); % t between Supt and Inf

end
```

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