ESBL/pAmpC-Producing *Escherichia coli* Causing Urinary Tract Infections in Non-Related Companion Animals and Humans

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Abstract: Urinary tract infections (UTI) caused by *Escherichia coli* are frequently diagnosed in humans and companion animals. Extended-spectrum beta-lactamase (ESBL)- and cephalosporinase (pAmpC)-producing *Escherichia coli* are worldwide-disseminated and frequently multidrug-resistant, hence leading to treatment failure and public health concerns. This study aimed to characterize and compare ESBL/pAmpC-producing *E. coli* strains causing community-acquired UTI in companion animals and non-related humans. Third-generation cephalosporin (3GC)-resistant *E. coli* (companion animals *n* = 35; humans *n* = 85) isolated from patients with UTI were tested against 14 antimicrobials following CLSI guidelines. PCR-based assays were used to detect the major *E. coli* phylogenetic groups, pathogenicity associated-islands (PAIs), virulence genes, and ESBLs/pAmpC resistance genes. ESBL/pAmpC-producing *E. coli* isolates were typed by multi-locus sequence typing (MLST) and PCR. *E. coli* strains from companion animals and humans shared two MDR high-risk clonal lineages: ST131 and ST648. To the best of our knowledge, this study reports the first description of *E. coli* ST131 clade C1-M27 and the clonal lineage ST131 clade A in humans with community-acquired UTI in Portugal. Considering that companion animals with UTI are generally treated at home by the owners, measures should be implemented to avoid the spread of multidrug-resistant high-risk clones to humans and their household environment.

Keywords: *Escherichia coli*; ESBL/AmpC; pathogenicity; companion animals; humans; urinary tract infection

1. Introduction

Urinary tract infections (UTI) may be caused by the uropathogenic *Escherichia coli* (UPEC), which is one of the extraintestinal pathogenic *E. coli* pathotypes (ExPEC), and one of the most frequent etiologic agents of UTI worldwide both in humans and companion animals [1–4].

The increase in antimicrobial resistance caused by the dissemination of resistant and multidrug-resistant (MDR) bacteria, such as extended-spectrum beta-lactamase (ESBL), cephalosporinase (AmpC) and carbapenemase-producing *E. coli*, is a current global threat responsible for thousands of deaths each year [5,6]. ESBL/AmpC and carbapenemase-producing *E. coli* are frequently MDR, thus causing treatment failure due to their ability to hydrolyze third- and fourth-generation cephalosporins or carbapenems, which have been
considered critically important antimicrobials to human and veterinary medicine [5]. Moreover, in recent decades, some studies have alerted us to the emergence of MDR high-risk clonal lineages of clinically significant bacteria in companion animals, raising public-health concerns, since infected and colonized companion animals may contribute to the spread of such bacteria among humans, domestic animals, wildlife, and the environment [4,7–9]. The need for a global action plan to address the antimicrobial resistance crises requires a One Health approach supported by scientific data that can be used to raise awareness of decision-makers and the general population [6].

The production of beta-lactamase (ESBL/AmpC and carbapenemase) enzymes is an important factor that promotes the dissemination of high-risk clonal lineages that are also frequently MDR. The most prevalent of these are the ESBL CTX-M-like enzymes, although others, such as TEM and SHV enzymes and the plasmid-mediated AmpC (pAmpC) are also common. There is a large body of research showing that the distribution of ESBL/pAmpC varies geographically and according to the animal species considered. Interestingly, CTX-M-15 is one of the most frequent ESBLs detected among *E. coli* isolated from companion animals and humans in Europe and the Americas [10].

Besides the association with various antimicrobial resistance determinants, the classification as an international MDR high-risk clonal lineage is also linked with the bacterial pathogenicity, global distribution, ability to colonize and persist in the hosts for more than 6 months, capacity for transmission between hosts, and the ability to cause recurrent infections [11]. However, information about the pathogenicity and virulence of *E. coli* strains isolated from companion animals is still scarce.

The population structure of ESBL/pAmpC-producing *E. coli* is currently dominated globally by several high-risk clonal lineages, including the ST131, ST648, ST69, ST393, ST405, and ST410 lineages [11,12]. ST131 is one of the most successful *E. coli* clonal lineages, it is disseminated worldwide and is frequently a CTX-M-15 producer [8]. Although seemingly more frequent in humans, the ST131 lineage is also being increasingly detected in companion animals [8]. *E. coli* ST131 has been grouped into different clades, which are usually associated with specific *fimH* (type-1 fimbriae) alleles: clade A (*fimH*41 ST131-O16), clade B (*fimH*22 ST131-O25b), and clade C (*fimH*30 including ST131-O25b *fimH*30-R/*fimH*30-Rx). *E. coli* ST131 clade C1-M27 is associated with *bla*CTX-M-27, and C2 with *bla*CTX-M-15 [11,12]. The ST648 is also an important pandemic clonal lineage as it is frequently an ESBL producer and commonly causes infection in humans and companion animals [13]. The frequency and geographic distribution of beta-lactamase enzymes and *E. coli* clonal lineages may change over time, thus creating the need for continuous monitoring in animals and humans.

With the growing contact between companion animals and humans, the risk of animal-to-human transfer of ESBL/pAmpC-producing bacteria is a concern [14]. The risk of inter-species transfer of antimicrobial resistant bacteria is supported by previous studies that have demonstrated that companion animals may share UPEC with the remaining human household members [15–19]. Interestingly, humans may also be a reservoir of UPEC for their companion animals as seen with *E. coli* O25b:H4-B2-ST131. This clonal lineage has dramatically spread during recent decades and some of its clades, such as the H30Rx C2 (C2/H30Rx), have been linked to the dissemination of ESBLS, especially the CTX-M-15 enzyme [15–19]. The dissemination of ESBL/pAmpC-producing *E. coli* is a complex issue since their emergence, ecology, and association with virulence are still poorly understood both in human and companion animal infections. Thus, this study aimed to characterize and compare the antimicrobial resistance, virulence profile, phylogenetic distribution, and predominant clonal lineages of ESBL/pAmpC-producing *E. coli* strains causing UTI in companion animals and non-related humans.

2. Results

2.1. Antimicrobial Resistance and Phylogenetic Group of 3GC-Resistant *E. coli*

From a total of 330 non-duplicate *E. coli* isolates included from companion animals with UTI, 10.6% (*n* = 35/330) were resistant to third-generation cephalosporin (3GC). A high pro-
portion of these 3GC-resistant *E. coli* were also resistant to ciprofloxacin (74.3%, *n* = 26/35), norfloxacin (71.4%, *n* = 25/35), trimethoprim/sulfamethoxazole (71.4%, *n* = 25/35), gentamicin (40.0%, *n* = 14/35), and tobramycin (31.4%, *n* = 11/35). Overall, 71.4% (*n* = 25/35) of these strains were MDR. However, no resistance to carbapenems was detected. Regarding 3GC-resistant *E. coli* from humans with UTI, these showed higher resistance frequencies against fluoroquinolones (88.2%, *n* = 75/85), trimethoprim/sulfamethoxazole (74.1%, *n* = 63/85), gentamicin (37.6%, *n* = 32/85), and tobramycin (49.4%, *n* = 42/85). Overall, 84.7% (*n* = 72/85) of the *E. coli* strains were MDR (Table 1).

### Table 1. Antimicrobial resistance of 3GC-resistant *E. coli* isolated from companion animals and humans with UTI.

| Antimicrobials                      | Companion Animal (N = 35) | Human-CA (N = 85) | *p* Value *
|-------------------------------------|---------------------------|-------------------|--------------
|                                     | %R (n)                    | %R (n)            |              |
| Ampicillin/amoxicillin              | 100% (n = 35)             | 100% (n = 85)     | N.s.         |
| Amoxicillin/clavulanate             | 77.1% (n = 27)            | 27.1% (n = 23)    | <0.0001      |
| Cefoxitin                           | 62.9% (n = 22)            | 8.2% (n = 7)      | <0.0001      |
| Cefotaxime                          | 91.4% (n = 32)            | 100% (n = 85)     | 0.023        |
| Ceftazidime                         | 62.8% (n = 22)            | 42.4% (n = 36)    | 0.047        |
| Imipenem                            | 0.0% (n = 0)              | 0.0% (n = 0)      | N.s.         |
| Meropenem                           | 0.0% (n = 0)              | 0.0% (n = 0)      | N.s.         |
| Ciprofloxacin                       | 74.3% (n = 26)            | 88.2% (n = 75)    | 0.096        |
| Norfloxacin                         | 71.4% (n = 25)            | 88.2% (n = 75)    | 0.033        |
| Nitrofurantoin                      | 5.7% (n = 2)              | 2.4% (n = 2)      | N.s.         |
| Gentamicin                          | 40.0% (n = 14)            | 37.6% (n = 32)    | 0.838        |
| Amikacin                            | 5.7% (n = 2)              | 10.6% (n = 9)     | 0.506        |
| Tobramycin                          | 31.4% (n = 11)            | 49.4% (n = 42)    | 0.105        |
| Trimethoprim/sulfamethoxazole       | 71.4% (n = 25)            | 74.1% (n = 63)    | 0.822        |
| Multidrug resistant                 | 71.4% (n = 25)            | 84.7% (n = 72)    | 0.125        |

%R, percentage of resistant strains; Human-CA, human community-acquired UTI; *n*, number of strains; *a* the number shown (N) is the total number of strains tested. *p* value < 0.05 was considered statistically significant. N.s. not significant to calculate.

Regarding the phylogenetic group, 3GC-resistant *E. coli* isolates from companion animals belonged mainly to group-D (48.6%, *n* = 17/35) and 3GC-resistant *E. coli* strains from humans belonged mainly to group-B2 (67.1%, *n* = 57/85) (Table 2). 

### Table 2. Phylogenetic groups of 3GC-resistant *E. coli* isolated from companion animals and humans with UTI.

| Phylogenetic Group | Companion Animal (N = 35) | Human-CA (N = 85) | *p* Value *
|--------------------|---------------------------|-------------------|--------------
|                    | % (n)                     | % (n)             |              |
| Group A            | 22.9% (n = 8)             | 12.9% (n = 11)    | 0.187        |
| Group B1           | 11.4% (n = 4)             | 14.1% (n = 12)    | 0.777        |
| Group B2           | 17.1% (n = 6)             | 67.0% (n = 57)    | <0.0001      |
| Group D            | 48.6% (n = 17)            | 5.9% (n = 5)      | <0.0001      |

Human-CA, human community-acquired UTI; *n*, number of strains; *a* the number shown (N) is the total number of strains tested. *p* value < 0.05 was considered statistically significant.

The frequency of ESBL-producing *E. coli* was significantly higher in 3GC-resistant strains from humans with UTI than in companion animals (*p* < 0.0001). ESBLs were detected in *E. coli* belonging to all phylogenetic groups, including group-A which is considered less pathogenic. Nevertheless, group-B (including group-B1 and group-B2) predominated (Table 3).

pAmpC-producing *E. coli* strains belonged mainly to group-D in both groups, and were significantly more frequent in companion animals (94.1% in *E. coli* from companion animal; 40.0% in *E. coli* from humans, *p* < 0.0001) (Table 3).
Although *bla*<sub>SHV</sub> was detected among ESBL-producing *E. coli*, *bla<sub>CTX-M-type</sub>* ESBL clearly predominated in both groups. Several types of CTX-M enzymes were found showing high diversity of these ESBLs in UPEC, especially in strains from humans. Nevertheless, *bla<sub>CTX-M-15</sub>* predominated in both groups (Table 4).

**Table 3.** ESBL and pAmpC-producing *E. coli* by phylogenetic group.

| Phylogenetic Group | Phylotype (N = 35) | ESBL Genes % (n) | pAmpC Genes % (n) | Phylogenetic Group | Phylotype (N = 85) | ESBL Genes % (n) | pAmpC Genes % (n) |
|--------------------|------------------|------------------|------------------|--------------------|------------------|------------------|------------------|
| A (n = 8)          |                  |                  |                  | A (n = 11)         |                  |                  |                  |
| B1 (n = 4)         | 62.5% (n = 5)    | 0.0% (n = 0)     |                  | B1 (n = 12)        | 100% (n = 11)    | 0.0% (n = 0)     | 0.057 N.s         |
| B2 (n = 6)         | 75.0% (n = 3)    | 50.0% (n = 2)    |                  | B2 (n = 57)        | 94.7% (n = 54)   | 5.3% (n = 3)     | 0.337 0.067       |
| D (n = 17)         | 5.8% (n = 1)     | 94.1% (n = 16)   |                  | D (n = 5)          | 60.0% (n = 3)    | 40.0% (n = 2)    | 0.024 <0.0001     |
| Overall            | 40.0% (n = 14)   | 57.1% (n = 20)   | Overall          | 94.1% (n = 80)     | 5.9% (n = 5)     |                  |                  |

% percentage of strains; Human-CA, human community-acquired UTI; n, number of strains; * the number shown (N) is the total number of strains tested; ESBL, extended-spectrum β-lactamase; pAmpC, pAmpC β-lactamases. * p value < 0.05 was considered statistically significant. N.s. not significant to calculate.

**Table 4.** ESBLs and pAmpC genes in 3GC-resistant *E. coli* isolated from companion animals and humans with UTI.

| Beta-Lactamase Gene | Companion Animal (N = 35) | Human-CA (N = 85) |
|---------------------|---------------------------|-------------------|
|                     | % (n)                     |                   |
| *bla*<sub>SHV</sub>-12 | 0.0% (n = 0)                | 1.2% (n = 1)       |
| *bla*<sub>CTX-M-1</sub>-1 | 5.7% (n = 2)                | 10.6% (n = 9)      |
| *bla*<sub>CTX-M-1-type</sub> | 5.7% (n = 2)               | 0.0% (n = 0)       |
| *bla*<sub>CTX-M-15</sub>     | 20.0% (n = 7)              | 54.2% (n = 46)    |
| *bla*<sub>CTX-M-15-type</sub> | 0.0% (n = 0)               | 1.2% (n = 1)       |
| *bla*<sub>CTX-M-32</sub>     | 8.6% (n = 3)               | 5.9% (n = 5)       |
| *bla*<sub>CTX-M-9</sub>      | 2.9% (n = 1)               | 0.0% (n = 0)       |
| *bla*<sub>CTX-M-9-type</sub> | 0.0% (n = 0)               | 4.7% (n = 4)       |
| *bla*<sub>CTX-M-14</sub>     | 0.0% (n = 0)               | 8.2% (n = 7)       |
| *bla*<sub>CTX-M-27</sub>     | 0.0% (n = 0)               | 7.1% (n = 6)       |
| *bla*<sub>CTX-M-2group</sub> | 0.0% (n = 0)                | 1.2% (n = 1)       |
| *bla*<sub>CMY-2</sub>       | 57.1% (n = 20)             | 5.9% (n = 5)       |

% percentage of strains; Human-CA, human community-acquired UTI; n, number of strains; * the number shown (N) is the total number of strains tested.

Regarding pAmpC, only *bla*<sub>CMY-2</sub> was found, being the predominant antimicrobial resistance mechanisms responsible for 3GC-resistance in *E. coli* from companion animals. Interestingly, the cefoxitin resistance phenotype of four 3GC-resistant *E. coli* strains from companion animals could not be explained by any of the tested genes; thus, other mechanisms of resistance were likely involved.

Moreover, carbapenemase genes were not detected in either 3GC-resistant *E. coli* collections, which is in line with the carbapenem-susceptible phenotype of these strains.

### 2.2. Pathogenicity Island Markers and Virulence Genotyping of 3GC-Resistant *E. coli*

Eight UPEC pathogenicity islands (PAIs) were screened in all 3GC-resistant *E. coli* strains. The most prevalent PAIs among strains from humans and companion animal were PAI<sub>IV536</sub> (91.8%, n = 78/85; and 74.3%, n = 26/35, respectively), followed by PAI<sub>CFT073</sub> (78.8%, n = 67/85; and 54.3%, n = 19/35, respectively) (Table 5).

All *E. coli* strains were positive for the ecpA gene, the major pilin subunit of *E. coli* common pilus, in both groups. Furthermore, the paeB operon segment, iucD, hlyA, and cnf1 were also frequent in both groups (Table 6). However, the cytotoxic necrotizing factor-1...
and aerobactin siderophore (iucD gene) frequencies were significantly higher in E. coli strains from humans (p = 0.012 and p = 0.0002, respectively) (Table 6).

Table 5. Frequency of pathogenicity island markers (PAIs) among 3GC-resistant E. coli strains from companion animals and humans with UTI.

| Detected PAIs | Companion Animal (N = 35) a % (n) | Human-CA (N = 85) a % (n) | p Value * |
|---------------|-----------------------------------|---------------------------|-----------|
| PAI<sub>IP96</sub> | 0.0% (n = 0) | 0.0% (n = 0) | N.s. |
| PAI<sub>II96</sub> | 11.4% (n = 4) | 41.2% (n = 35) | 0.004 |
| PAI<sub>III36</sub> | 8.6% (n = 3) | 40.0% (n = 34) | 0.0005 |
| PAI<sub>IV36</sub> | 22.9% (n = 8) | 35.3% (n = 30) | 0.203 |
| PAI<sub>II536</sub> | 0.0% (n = 0) | 0.0% (n = 0) | N.s. |
| PAI<sub>III536</sub> | 74.3% (n = 26) | 91.8% (n = 78) | 0.017 |
| PAI<sub>ICFT073</sub> | 54.3% (n = 19) | 78.8% (n = 67) | 0.013 |

* p value < 0.05 was considered statistically significant. N.s. not significant to calculate.

Table 6. Frequency of virulence genes among 3GC-resistant E. coli isolated from companion animals and humans with UTI.

| Target Virulence Determinant | Target Gene | Companion Animal (N = 35) a % (n) | Human-CA (N = 85) a % (n) | p Value * |
|-----------------------------|-------------|-----------------------------------|---------------------------|-----------|
| Pap fimbriae                | papEF operon segment | 45.7% (n = 16) | 49.4% (n = 42) | 0.841 |
| Sfa fimbriae                | sfa         | 20.0% (n = 7) | 20.0% (n = 17) | N.s. |
| Afa afimbrial adhesin       | afa         | 2.9% (n = 1) | 9.4% (n = 8) | 0.281 |
| Alpha-hemolysin operon      | hlyA        | 40.0% (n = 14) | 42.4% (n = 36) | 0.841 |
| Cytotoxic necrotizing factor-1 | cnf1    | 17.1% (n = 6) | 41.2% (n = 35) | 0.012 |
| Aerobactin siderophore      | iucD        | 48.6% (n = 17) | 83.5% (n = 71) | 0.0002 |
| E. coli common pilius       | ecpA        | 100% (n = 35) | 100% (n = 85) | N.s. |
| Uropathogenic specific protein | usp       | 2.9% (n = 1) | 0.0% (n = 0) | 0.292 |

* p value < 0.05 was considered statistically significant. N.s. not significant to calculate.

2.3. Clonal Lineages of ESBL/pAmpC-Producing E. coli

The companion animal strains were distributed in 15 sequence types (STs), while the human strains belonged to 19 STs (Table 7). The most common STs among ESBL/pAmpC-producing E. coli strains from animals with UTI were the ST648 (n = 11), ST131 (n = 5), ST539 (n = 2), and ST1775 (n = 2) (Table 7).

Table 7. Sequence types of ESBLs/pAmpC-producing E. coli isolated from companion animals and humans with UTI.

| Phylogroup | Sequence Type | Clonal Complex | β-Lactamase (ESBL/pAmpC) | Species (n) |
|------------|--------------|----------------|--------------------------|-------------|
| A          | ST10         | 10             | bla<sub>CTX-M-1</sub>    | Human (2)   |
| A          | ST23         | 23             | bla<sub>CTX-M-32</sub>   | Cat (1)     |
| A          | ST88         | 23             | bla<sub>CTX-M-1</sub>    | Dog (1)     |
| A          | ST90         | 23             | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST100        | 23             | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST167        | 10             | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST540        | -              | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST609        | 46             | bla<sub>CTX-M-1</sub>    | Dog (1)     |
| A          | ST617        | 10             | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST5257       | -              | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | ST6023       | -              | bla<sub>CTX-M-1</sub>    | Human (1)   |
| A          | Unassigned ST * | -            | bla<sub>CTX-M-1</sub>    | Dog (1)     |
**Table 7. Cont.**

| Phylogroup | Sequence Type | Clonal Complex | β-Lactamase (ESBL/pAmpC) | Species (n) |
|------------|---------------|----------------|--------------------------|-------------|
| B1         | ST358         | 155            | bla<sub>CTX-M-1</sub>   | Human (2)   |
| B1         | ST224         | -              | bla<sub>CTX-M-32</sub>  | Cat (1)     |
| B1         | ST453         | 86             | bla<sub>SHV-12</sub>, bla<sub>CTX-M-1</sub>, bla<sub>CTX-M-15</sub> | Human (1), human (1), human (1) |
| B1         | ST533         | -              | bla<sub>CTX-M-15</sub> + bla<sub>CMY-2</sub> | Dog (1)     |
| B1         | ST539         | -              | bla<sub>CTX-M-1-like</sub> | Dog (1)     |
| B1         | ST847         | -              | bla<sub>CTX-M-14</sub>  | Cat (1)     |
| B1         | ST1196        | -              | bla<sub>CTX-M-15</sub>  | Human (1)   |
| B1         | ST1725        | -              | bla<sub>CTX-M-15</sub>  | Human (1)   |
| B1         | ND            |                | bla<sub>CTX-M-2group</sub> | Human (1)   |
| B2         | ST131         | 131            | bla<sub>CTX-M-1</sub>, bla<sub>CTX-M-15</sub>, bla<sub>CTX-M-32</sub>, bla<sub>CTX-M-9like</sub>, bla<sub>CTX-M-14</sub>, bla<sub>CTX-M-27</sub>, bla<sub>CMY-2</sub>, bla<sub>CMY-2</sub> | Cat (1), human (2), Dog (2), cat (1), human (42), Human (1), Human (3), Human (1), Human (5), Dog (1), human (3), Dog (1) |
| B2         | ST372         | -              | bla<sub>CTX-M-15</sub>  | Dog (1)     |
| D          | ST577         | 350            | bla<sub>CMY-2</sub>     | Dog (1)     |
| D          | ST117         | 354            | bla<sub>CTX-M-15</sub>  | Human (1)   |
| D          | ST354         | 354            | bla<sub>CTX-M-14</sub>, bla<sub>CMY-2</sub> | Dog (1)     |
| D          | ST405         | 405            | bla<sub>CTX-M-15</sub>  | Dog (1)     |
| D          | ST410         |                | bla<sub>CTX-M-15</sub>  | Human (1)   |
| D          | ST648         | 648            | bla<sub>CTX-M-15</sub> + bla<sub>CMY-2</sub> | Cat (1), Dog (3), cat (7), human (1) |
| D          | ST778         | 38             | bla<sub>CMY-2</sub>     | Dog (2)     |
| D          | ST1775        | -              | bla<sub>CMY-2</sub>     | Dog (2)     |
| D          | ST3258        |                | bla<sub>CMY-2</sub>     | Cat (1)     |

ND, not done; -, not applicable; n, number of strains; * new ST allelic profile [4].

Regarding the *E. coli* ST648 clonal lineage from companion animals, the PAI<sub>IV536-PAI</sub><sub>ICFT073</sub> (n = 5) combination was the most frequently detected. Different virulence gene profiles were found, but the most prevalent was ecpA-papEF (Table S1).

ST131 *E. coli* isolated from companion animals included two *E. coli* ST131 C2/H30Rx clades harboring the bla<sub>CTX-M-15</sub> and one ST131C1/H30Rx (C1-non27) sub-clade harboring the bla<sub>CTX-M-1</sub>. The *E. coli* ST131 C1/H30Rx (C1-M27) clade harboring the bla<sub>CTX-M-27</sub> was not detected in companion animals while the remaining ST131 *E. coli* strains remained unclassified by this assay (Table S1). For ST131 *E. coli* strains from companion animals, PAI<sub>I536-PAI</sub><sub>IIJ96-PAI</sub><sub>ICFT073-PAI</sub><sub>IV536-PAI</sub><sub>ICFT073</sub> (n = 2) and PAI<sub>ICFT073-PAI</sub><sub>IV536-PAI</sub><sub>ICFT073</sub> (n = 2), were the combinations detected most frequently. However, different profiles of virulence genes were also found (Table S1). Among phylogroup-B1, *E. coli* strains from companion animals belonged to ST539 (n = 2), one harboring bla<sub>CMY-2</sub> and one harboring bla<sub>CTX-M-15</sub> and bla<sub>CMY-2</sub>; followed by ST533 (n = 1, harboring bla<sub>CTX-M-15</sub> and bla<sub>CMY-2</sub>) and ST224 (n = 1, harboring bla<sub>CTX-M-32</sub>). Regarding phylogroup-A *E. coli* strains from companion animals, these belonged to an unassigned ST (n = 1, harboring bla<sub>CTX-M-15</sub>), ST609 (n = 1, harboring bla<sub>CTX-M-32</sub>), ST88 (n = 1, harboring bla<sub>CTX-M-14</sub>), and ST23 (n = 1, harboring bla<sub>CTX-M-32</sub>) (Table 7).

The most common clonal lineages among ESBL/pAmpC-producing *E. coli* strains from humans were ST131 (n = 57) and ST453 (n = 6) (Table 7). As in companion animals, *E. coli* strains isolated from humans and from different phylogenetic groups also harbored diverse ESBLs/pAmpC genes: group-A (n = 4, bla<sub>CTX-M-32</sub>; n = 3, bla<sub>CTX-M-15</sub>; n = 1, bla<sub>CTX-M-14</sub>; n = 1, bla<sub>CTX-M-27</sub>; n = 1, bla<sub>CTX-M-15</sub>; and n = 1, bla<sub>CTX-M-9like</sub>); group-B1 (n = 1, bla<sub>SHV-12</sub>...
with respect to mobile genetic determinants of antimicrobial resistance and virulence, which is consistent with a previous study conducted in the United States \[20\].

were associated with several virulence determinants. (Figures 7, S1 and S2). The distribution of the different virulence genes showed that the most prevalent profiles in \(E. coli\) the ST131-C1-M27 prevalence of fimbrial adhesin-encoding genes (such as, \(papEF\) pathogenic, was found to cause UTI in both companion animals and humans. Furthermore, \(bla\) presence of \(hl\) also carries the toxin hemolysin \(A\) (\(hlyA\) gene), that is responsible for the creation of pores in the host cell membranes leading to cell lysis \[22\]. Notably, \(PAI_{IV536}\) and \(PAI_{ICFT073}\) were detected in 74.3% and 54.3% of \(E. coli\) strains from companion animals, respectively. Strains

\[n = 4, bla_{CTX-M-14}; n = 4, bla_{CTX-M-14}; n = 2, bla_{CTX-M-15}; and n = 1, bla_{CTX-M-2}\]; group-B2 \((n = 42, bla_{CTX-M-15}; n = 5, bla_{CTX-M-27}; n = 3, bla_{CTX-M-9\text{like} }; n = 2, bla_{CTX-M-1}; n = 1, bla_{CTX-M-14}; n = 1, bla_{CTX-M-32}; and n = 3, bla_{CMY-2}\); and group-D \((n = 2, bla_{CTX-M-15}; n = 1, bla_{CTX-M-14}; and n = 2, bla_{CMY-2}\) (Table 7). Among group-B2, \(E. coli\) strains from humans belonged mainly to the ST131-C2/\(H30Rx\) clade harboring the \(bla_{CTX-M-15}\) gene \(71.9\%, n = 41/57\). The ST131-C1-M27 \(E. coli\) sub-clade harboring the \(bla_{CTX-M-27}\) gene was detected in 8.8% \((n = 5/57)\) of ST131 strains from humans. The clonal lineage O16-H5-ST131 (clade A) was also detected \(1.8\%, n = 1/57\) and found to harbor \(bla_{CTX-M-9\text{like}}\) ESBL. Finally, three strains isolated from humans belonging to the pandemic clone O25b:H4-B2-ST131 were found to be \(pApC\)-producers due to \(bla_{CMY-2}\) (Table S2). Regarding \(PAI\), the ST131 \(E. coli\) strains from humans were frequently positive for the following combinations: \(PAI_{536a}-PAI_{9\text{like}}-PAI_{ICFT073}-PAI_{IV536}-PAI_{ICFT073}\) \((n = 27)\) and \(PAI_{ICFT073}-PAI_{IV536}-PAI_{ICFT073}\) \((n = 23)\).

The distribution of the different virulence genes showed that the most prevalent profiles in strains from humans belonging to group-B2 were \(ecpA-iucD\) \((n = 17)\) and \(ecpA-papEF-sfaDE-hlyA-csf1-iucD\) \((n = 15)\) (Table S2).

Notably, \(ESBL/p\) \(ApC\)/producing \(E. coli\) from companion animals and humans with UTI belonged to two MDR high-risk clonal lineages, namely the ST131 and ST648. Moreover, the ST88 and ST354 clonal lineages were also shared by companion animals and humans (Figures 7, S1 and S2).

3. Discussion

This study showed that \(ESBL/p\) \(ApC\)/producing \(E. coli\) from companion animals and humans with UTI may harbor a big diversity of clinically relevant beta-lactamases and were associated with several virulence determinants.

\(E. coli\) strains isolated from companion animals were frequently associated with the presence of \(bla_{CTX-M-15}\) and \(bla_{CMY-2}\) genes, while those isolated from humans were associated with \(bla_{CTX-M-15}\) and \(bla_{CTX-M-1}\). The high prevalence and disseminations of \(bla_{CTX-M-15}\) in \(E. coli\) isolated from animals and humans agrees with studies conducted worldwide \[10\]. Moreover, \(CMY-2\)-producing \(E. coli\) strains belonged mainly to the phylogenetic group-D, which is consistent with a previous study conducted in the United States \[20\].

Despite the similarities between the \(E. coli\) strains isolated from both study groups with respect to mobile genetic determinants of antimicrobial resistance and virulence, the phylogenetic group-B2 and group-D was significantly more common in humans and companion animals, respectively. This finding may point to different \(E. coli\) host species adaptations contributing both to the global dissemination of overlapping antimicrobial resistance and virulence determinants.

The \(E. coli\) phylogenetic group-A, which is usually considered commensal and less pathogenic, was found to cause UTI in both companion animals and humans. Furthermore, this phylogroup was associated with a high diversity of globally disseminated \(bla_{CTX-M}\) genes, such as \(bla_{CTX-M-15}\) and \(bla_{CTX-M-32}\). These findings highlight the high dissemination efficiency of plasmid-mediated beta-lactamases that may lead to therapeutic failure even in infections caused by less pathogenic strains.

Overall, 3GC-resistant \(E. coli\) strains belonging to the phylogenetic group-B2 had the higher number of \(PAI\) markers. This association of the group-B2 with several \(PAI\) markers is in line with previous reports of UPEC strains \[21\]. Interestingly, the most frequent \(PAI\) combination pattern was related to strains containing \(PAI_{IV536}\) and \(PAI_{ICFT073}\). These \(PAI\) markers contain fimbrial adhesins and iron-uptake-system encoding genes that seem to be important for UPEC fitness and effective host colonization of the urinary tract. A high prevalence of fimbrial adhesin-encoding genes (such as, \(papEF\) operon segment) has been described in \(E. coli\) isolated from human patients diagnosed with UTI, thus highlighting the importance of these structures in the pathogenesis of UTI \[22\]. Furthermore, \(PAI_{ICFT073}\) also carries the toxin hemolysin \(A\) (\(hlyA\) gene), that is responsible for the creation of pores in the host cell membranes leading to cell lysis \[22\]. Notably, \(PAI_{IV536}\) and \(PAI_{ICFT073}\) were detected in 74.3% and 54.3% of \(E. coli\) strains from companion animals, respectively. Strains
isolated from humans had an even higher prevalence of these PAIs (91.8% and 78.8%, respectively).

The uropathogenic-specific protein gene (usp) was detected only in one E. coli ST131 strain, which was isolated from a dog diagnosed with UTI in 2015. This protein is a genotoxin active against mammalian cells that can induce characteristics of apoptosis and has been associated with E. coli isolates from pyelonephritis, prostatitis, and bacteremia of urinary tract origin. It has been proposed that the usp gene provides immunity to its producer and enhances infectivity of the urinary tract [23,24].

Regarding the E. coli population structure, four sequence types were detected in companion animals and humans—the ST131 and ST648 MDR high-risk clonal lineages, and the ST88 and ST354 clonal lineages. The fact that the E. coli strains included in this study, from companion animals and humans, were collected in different years and from non-related patients is considered a study limitation, since it could have limited the detection of additional E. coli STs that are able to cause UTI in both groups. It should be noted that the results from this study may differ from studies including samples from a more recent timeframe as consequence of natural evolution and dissemination of beta-lactamases and E. coli clonal lineages. Nevertheless, the retrospective nature of this study is important as it contributes to the global understanding of the ecology of this common pathogen. Furthermore, this study includes data about virulence, which is still seldomly studied in strains from companion animals.

The ST131 clonal lineage harboring blaCTX-M-15 or blaCTX-M-14 has been detected in E. coli strains isolated from companion animals in many other countries [17,19,25]. However, the E. coli O25b:H4-ST131 harboring the bl ACMY-2 gene has been rarely described [26,27]. In Japan, between 2005 and 2010, the blaCTX-M-14 gene was found to be the most common, followed by blaCTX-M-15 and blaCTX-M-2 [25]. In the present study, blaCTX-M-14 was also only detected in E. coli strains isolated from humans. The blaCTX-M-27 gene, a single-nucleotide variant of blaCTX-M-14, is being increasingly detected among E. coli strains isolated from humans and companion animals with UTI in the United States, Asia, and Europe [25,28–30]. In this study, the blaCTX-M-27 gene was only detected in one strain of human origin. To the best of our knowledge, this is the first description of the ST131 C1/H30R1 E. coli subclade C1-M27 and blaCMY-2-producing E. coli O25b:H4-ST131 in humans with community-acquired UTI from Portugal. Some reports have documented an increase in the number of the ST131 C1/H30R1 E. coli clade C1-M27 since the late 2000s. Isolates of this emerging clade have been reported in clinical samples from humans of Japan, France, Germany, Berlin, Geneva, Madrid, and Utrecht [25,31–33]; and also in companion animals, birds and urban seagulls [30,34,35]. Moreover, in northern Portugal, the C1-M27 clade has been isolated from fecal samples of healthy humans [36]. It is noteworthy that the ST131 C1/H30R1 E. coli clade C1-M27 has been shown to have a higher dissemination rate than the O25b:H4-ST131-H30Rx [37,38]. This dissemination rate may be accelerated by the expression of advantageous virulence determinants directed to the intestinal tract colonization, which is of particular importance to patients receiving antimicrobials or frequently admitted to hospital settings [33]. Thus, human colonization by clade C1-M27 should be monitored to improve preventive measures against infection and colonization of companion animals.

Previous studies about clinical E. coli strains showed that the O16:H5-ST131 clonal lineage (clade A) is globally distributed [39]. In this study, an E. coli O16:H5-ST131 harboring blaCTX-9-like was detected, which, to the best of our knowledge, is the first description in a human with community-acquired UTI from Portugal. Some reports have documented an increase in the number of the ST131 C1/H30R1 E. coli clade C1-M27 since the late 2000s. Isolates of this emerging clade have been reported in clinical samples from humans of Japan, France, Germany, Berlin, Geneva, Madrid, and Utrecht [25,31–33]; and also in companion animals, birds and urban seagulls [30,34,35]. Moreover, in northern Portugal, the C1-M27 clade has been isolated from fecal samples of healthy humans [36]. It is noteworthy that the ST131 C1/H30R1 E. coli clade C1-M27 has been shown to have a higher dissemination rate than the O25b:H4-ST131-H30Rx [37,38]. This dissemination rate may be accelerated by the expression of advantageous virulence determinants directed to the intestinal tract colonization, which is of particular importance to patients receiving antimicrobials or frequently admitted to hospital settings [33]. Thus, human colonization by clade C1-M27 should be monitored to improve preventive measures against infection and colonization of companion animals.
identify ST131, while waiting for culture and antimicrobial susceptibility, could aid in a more effective initial antibiotic therapy and the reduction of its dissemination [39].

The E. coli ST648 high-risk clonal lineage is pandemic and globally reported in healthy and diseased humans and companion animals worldwide [13,20,42–48]. E. coli ST648 isolated from human infection may harbor several ESBL/pAmpC and carbapenemases, contributing to its dissemination [13,42,49]. As in ST131, the E. coli ST648 is frequently associated with CTX-M enzymes, namely CTX-M-15 [13]. The 3GC-resistant E. coli ST648 strains from this study, were all found to be CMY-2-producers. Furthermore, the CMY-2-producing ST648 clonal lineage was very common among isolates from companion animals, which is in line with previous studies [20,43]. Being a clonal lineage that is high-risk to humans, monitoring of ST648 in companion animals is of the utmost importance.

Interestingly, the E. coli ST88 and ST354 clonal lineages have been associated with poultry and broiler meat, suggesting that farm animals may be reservoirs of E. coli that are able to cause extraintestinal diseases in humans and companion animals [26,50–54]. E. coli ST354 has also been isolated from clinical samples of companion animals from Australia, and has been suggested to have a propensity to persist and circulate in animal-care facilities [55]. To the best of our knowledge, this is the first report of a CMY-2-producer E. coli ST354 in companion animals with UTI in Europe.

E. coli ST10 and ST410, other two important pandemic clonal lineages, were found in humans with UTI from this study [11,56]. E. coli ST410 seems to be a successful ExPEC clonal lineage like ST131 [57,58]. E. coli ST410 was first described, in 2016, in China [59] and since then has been reported worldwide in humans, companion animals, wildlife, and the environment [58,60–63]. Notably, in 2017, the ST410 was detected in companion animals with UTI from China [45]. Nevertheless, only a few studies have detected this clonal lineage in E. coli isolated from companion animals [45,62,63]. The E. coli ST10 clonal lineage has been reported in samples from animals (birds, swine, and sheep), in healthy human feces, and in humans with UTI. This clonal lineage is also usually associated with several 3GC-resistance genes such as bla_{CTX-M-14} and bla_{CTX-M-15} [64–67]. However, in the present study, the ST10 clone was associated with bla_{CTX-M-1}. Although not detected in this study, ST410 has been previously described in isolates from companion animals, again, highlighting their possible role in the dissemination of high-risk clonal lineages.

The results from this study have high clinical relevance since it is shown that 3GC-resistant E. coli strains causing UTI in companion animals not only may belong to MDR high-risk clonal lineages, but are also likely to harbor critically important mobile genetic determinants associated with high pathogenicity or antimicrobial resistance.

4. Materials and Methods

4.1. Bacterial Isolates

Three hundred and thirty non-duplicate uropathogenic E. coli (UPEC) were isolated, between 1999 to 2015, from companion animals with UTI at the Laboratory of Antibiotic Resistance, the Faculty of Veterinary Medicine, University of Lisbon, Portugal. Furthermore, 85 non-duplicate 3GC-resistant E. coli isolates from humans with community-acquired UTI were obtained in 2013 from a diagnostic laboratory in the Lisbon area. 3GC-resistance was determined by antimicrobial susceptibility testing using cefotaxime or ceftazidime as surrogates, as described in Section 4.2.

Identification and confirmation of the isolate species was performed by a previously described PCR targeting the E. coli gadA gene [68].

4.2. Antimicrobial Susceptibility Testing

Antimicrobial susceptibility testing was carried by the disk-diffusion method according to Clinical Laboratory Standards Institute (CLSI) guidelines [69,70]. The antimicrobial agents tested were: amoxicillin 25 µg or ampicillin 10 µg, amoxicillin/clavulanate acid 30 µg, cefotaxime 30 µg, cefazidime 30 µg, cefoxitin 30 µg, imipenem 10 µg, meropenem 10 µg, gentamicin 10 µg, tobramycin 10 µg, amikacin 30 µg, ciprofloxacin 5 µg, enrofloxacin...
5 µg, norfloxacin 10 µg, nitrofurantoin 300 µg, and trimethoprim/sulfamethoxazole 25 µg. ESBL production was confirmed in all 3GC-resistant isolates by the double-disk synergy test and the results were interpreted according to the to CLSI guidelines. E. coli ATCC (American Type Culture Collection) 25,922 was used as a reference strain for antimicrobial susceptibility quality control.

Antimicrobial categories were used to characterize multidrug resistance as previously proposed by Magiorakos et al. [71].

4.3. Molecular Detection of Antimicrobial Resistance Genes

DNA extraction was conducted using a boiling method [72]. Antimicrobial resistance genes were investigated in resistant and intermediate resistant strains.

3GC-resistant E. coli were screened for blaCTX-M-type genes by PCR [73]. Positive isolates for blaCTX-M-type were further tested by PCR for blaCTX-M-group1, blaCTX-M-group2, and blaCTX-M-group9 [74] and positive amplicons were submitted to nucleotide sequencing. Cefoxitin-resistant E. coli isolates were tested using a multiplex-PCR with specific primers targeting plasmid-borne genes encoding AmpC β-lactamases (blaCTX, blaCT, blaACT, blaMIR, blaOX, blaMOK, and blaDHA), as previously described [75]. Positive samples for the group CIT were submitted to nucleotide sequencing after PCR amplification targeting the entire blaMIV2 gene [76]. 3GC-resistant E. coli negative for blaCTX-M-type or AmpC genes were tested for the presence of blaTEM-type and blaSHV-type ESBL genes [72].

Strains were screened by PCR for the presence of common carbapenemase genes (blaAMP, blaONX, blaIMI, blaNDM, and blaKPC), as previously described [77].

4.4. Uropathogenic Escherichia coli Phylogenetic Typing, Pathogenicity Island Markers, and Virulence Genotyping

Phylogenetic typing was performed in all 3GC-resistant E. coli strains to determine the main phylogenetic groups (A, B1, B2, and D) according to the amplification of chuA gene, yjaA gene, and TspE4C2 fragment [78].

Eight UPEC PAIs were screened by multiplex PCR assays, as previously described [28,29]. PCR reactions were split in three separate multiplex assays: multiplex A for PAIiV536, PAIjV536, and PAIjCF073; multiplex B1 for PAIj96 and PAIj536; and multiplex B2 for PAIj536, PAIj96, and PAIjCF073. Negative and positive controls (E. coli CFT073, E. coli 536, and E. coli J96) were used in all PCRs [21,79].

3GC-resistant E. coli strains were screened by PCR for the presence of several virulence determinants: mediate adhesion (p-fimbrial adhesion genes papEF operon segment), Sfa fimbrial and Afa afimbrial adhesins (sfa and afa genes, respectively), toxin (α-hemolysin hlyA gene from the alpha-hemolysin operon), cytotoxic necrotizing factor 1 (cnf1 gene), aerobactin siderophore (iucD gene) [80], the major pilin subunit of E. coli common pilus (papA gene), and the bacteriocin-like genotoxin uropathogenic specific protein (usp gene) [9]. Negative and positive controls (E. coli CFT073, E. coli 536, E. coli J96, and E. coli KS52) were used in all PCRs.

4.5. ESBL/pAmpC-Producing Escherichia coli Multi-Locus Sequence Typing

The ST131 clonal lineage, O16/O25b types, and the ST131-H30Rx clade were identified, as previously described by PCR [39,81,82].

E. coli strains not belonging to the ST131 clonal lineage were typed by MLST. Briefly, the seven housekeeping genes of the E. coli MLST scheme (adk, famC, gyrB, icd, mdh, purA, and recA) were amplified by PCR using the primers and amplification conditions previously described in https://enterobase.warwick.ac.uk/ (accessed on 10 February 2020) [83]. PCR products were purified using the NZYTech Gel Pure Kit (NZYTech-Genes and Enzymes, Lisbon, Portugal) and sequencing was performed by Stabvida (Caparica, Portugal). Sequence quality was confirmed using Ugene Unipro software (Unipro, Novosibirsk, Russia) and the respective alleles and sequence types were retrieved using the publicly available E. coli MLST database.
4.6. Statistical Analysis

The SAS statistical software package for Windows v. 9.4 (SAS Institute Inc., Cary, NC, USA) was used for statistical analysis. The Fisher’s exact test was used for comparisons between groups (two by two analysis of contingency tables) with a $p$ value of 0.05.

5. Conclusions

This study showed that 3GC-resistant *E. coli* from companion animals and humans with community-acquired UTI frequently belong to important pandemic high-risk clonal lineages and harbor clinically relevant antimicrobial resistance and virulence determinants that are easily disseminated. Considering the close contact between companion animals and humans in modern society, the dissemination of pandemic *E. coli* clones, such as ST131-C2/*H30Rx (blaCTX-M-15) and ST648, in patients with UTI requires the joint action of human and veterinary medicine. Although the degree of inter-species transmission and zoonotic/zooanthroponic potential of such bacteria is complex to evaluate, the high frequency of common PAI markers and CTX-M enzymes reported in this study, highlights that the link between humans and companion animals goes beyond sharing specific *E. coli* clones. As appraised by the scientific community, a One Health approach is required to fully grasp the dissemination dynamics of such bacterial clones and/or their antimicrobial resistance and virulence mobile genetic determinants.

Supplementary Materials: The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/antibiotics11050559/s1, Table S1: Genotypic characteristics of ESBLs/pAmpC-producing *E. coli* strains from companion animals with UTI ($N = 31$) from 1999–2015; Table S2: Genotypic characteristics of ESBLs/pAmpC-producing *E. coli* strains from humans with UTI ($N = 85$) from 2013.

Author Contributions: Conceptualization, C.P. and A.B.; supervision, C.P.; methodology, A.B. and C.P.; formal analysis, A.B., C.M. and L.t.d.G.; investigation, A.B., C.M., J.M. and P.C.-S.; writing—original draft preparation, A.B.; writing—review and editing, A.B., C.M., J.M. and C.P. All authors have read and agreed to the published version of the manuscript.

Funding: This work was supported by Fundo Europeu de Desenvolvimento Regional (FEDER) funds through the Programa Operacional Factores de Competitividade (COMPETE) and by National funds through the Fundação para a Ciência e a Tecnologia (FCT) (Interdisciplinary Centre for Research in Animal Health Project UID/CVT/00276/2020 and PET-Risk Consortium Project JPIAMR/0002/2016). Adriana Belas was supported by FCT PhD grant SFRH/BD/113142/2015 and Juliana Menezes was supported by FCT PhD grant 2020.07562.BD.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data sharing is not applicable to this article.

Acknowledgments: The authors are grateful to Laurent Poirel for the carbapenemase-positive strains.

Conflicts of Interest: The authors declare no conflict of interest.

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