Comparison of the indentation strength and single-edge-v-notched beam methods for dental ceramic fracture toughness testing

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Abstract

**Aim:** To study influence of the cooling rate after sintering a veneering porcelain (Vita VM9) on fracture toughness by indentation strength (IS) and single-edge-v-notched beam (SEVNB) methods.

**Methods:** Vita VM9 bars were sintered according to the manufacturer’s recommendation and cooled under three conditions: Slow (inside the furnace from sintering temperature to room temperature); Normal (inside the furnace from sintering temperature to 500 °C and outside the furnace from 500 °C to room temperature); and Fast (outside the furnace from sintering temperature to room temperature). Fracture toughness was measured by IS (n=10) and SEVNB (n=10) methods. Data were analyzed by two-way ANOVA (α=0.05).

**Results:** The fracture toughness obtained from SEVNB (slow - 1.02±0.10; normal - 1.09±0.13; and fast - 1.02±0.18 MPa.m⁰⁵) was significantly lower than IS (slow - 1.19±0.13; normal - 1.17±0.07; and fast - 1.16±0.06 MPa.m⁰⁵) cooling techniques). There was no significant influence of the cooling technique (p=0.012).

**Conclusions:** The measurement technique influenced the fracture toughness values. IS method overestimated the fracture toughness values. Irrespective of the measuring method, cooling rate did not influence the Vita VM9 veneering porcelain fracture toughness.

**Keywords:** Ceramics. Mechanical Processes. Dental Materials.

Introduction

Yttrium-stabilized tetragonal zirconia polycrystals (Y-TZP) for dental prosthesis became more popular because of its high mechanical performance, but it usually demands veneering with feldspatic porcelain to improve esthetics. However, chipping the veneering porcelain in Y-TZP prosthesis is more prevalent than for porcelain fused to metal¹⁻⁵. A possible cause of porcelain chipping in Y-TZP restorations is the difference between thermal properties of Y-TZP and porcelain. Y-TZP thermal conductivity and diffusivity is lower than those of porcelain veneer, and temperature gradient may interfere in residual stress development⁴, which depends directly on the cooling rate. It is likely that slow cooling can partially release the residual stresses, but it may reduce the beneficial compressive stress on porcelain surface⁴. On the other hand, a fast cooling rate does not allow for the residual stress relaxation; conversely, it reinforces porcelain on surface by compressive tempering stress. The effects of
different cooling rates have been studied by computer simulations and mechanical tests, such as flexural strength and fracture toughness. In addition to residual stresses developed because of the different ceramic association, there is a possible effect of cooling rate on the mechanical properties of porcelain, in spite of its attachment to the substructure.

Fracture toughness, defined as the resistance to fast crack propagation at a critical stress level (KIC), is widely used for mechanical characterization of dental ceramics. Several techniques for measuring fracture toughness of ceramics have been described, such as single-edge-v-notched beam (SEVNB), indentation strength (IS) and indentation fracture (IF). These techniques differ by two different modalities, notch and indentation.

The SEVNB, considered the most reliable, accurate and reproducible method, is the test advised by ISO 6872:2008, which specifies the requirements and the test methods for dental ceramic materials. However, this technique demands caution to be performed, because it requires the preparation of large bar shaped specimens (4 mm x 3 mm x 22 mm), straight-through notch, precise notch length measurement, and determination of the critical crack tip radius. Moreover, the ceramic microstructure may affect the notch preparation, and the result may be underestimated due to the pre-cracks.

Alternatively, the IS method is simple, economical and requires fewer specimens. To calculate the fracture toughness, it is necessary to know the material’s elastic modulus and to measure Vickers hardness in a small sample. Nevertheless, for running this method, special care is required. Specimens should have a flat and polished surface with no crack clipping or branching. It is important to highlight that problems with high rejection rate of Vickers indentations may affect the reliability of fracture toughness data, due to route deviations in cracks and chipping around the indent, errors in length measurements of crack and progressive slow crack growth. Although it does not provide exact fracture toughness values, there is some agreement with other mechanical tests for dental materials. Because of these characteristics, some authors named this method as Apparent Fracture Toughness.

The SEVNB method is recommended by the ISO 6872:2008, but presents some disadvantages regarding specimen size and difficulty to prepare, while the IS method is easier and faster to perform, the purpose of this study was to compare fracture toughness of a veneering porcelain subjected to different cooling rates measured by IS and SEVNB methods.

### Material and methods

Monolayer bar shaped specimens of Vita VM9 (Vita Zahnfabrik, Bad Säckingen, Baden-Württemberg, Germany) feldspathic porcelain were prepared by mixing the powder and liquid (VITA Modeling Liquid, Vita Zahnfabrik). The slurry was inserted into a polyether mold (Impregum F, 3M ESPE, Seefeld, Baviera, Germany) of 4.5 mm x 5.5 mm x 25 mm for SEVNB or 2.4 mm x 6 mm x 14.5 mm for IS, 20% larger than the expected final dimensions because of the sintering shrinkage. The excess liquid was blotted with absorbent paper before the specimen’s removal from the mold. The specimens were sintered in an oven (EDG, São Carlos, SP, Brazil) according to the manufacturer’s instructions and cooled at three different rates: fast, slow and regular (Table 1).

### Table 1 - Cooling methods applied on the specimens, according to the group.

| GROUPS | METHOD OF COOLING | APPROXIMATE TIME TO THE COOLING |
|--------|-------------------|----------------------------------|
| Slow   | Samples were left inside the closed, turned-off furnace until they reached room temperature. | 8 h |
| Normal | The elevator of the furnace was lowered, and when the temperature inside the furnace reached 500 ºC, the samples were removed and cooled at room temperature. | 20 min |
| Fast   | Samples were removed from the furnace immediately after the holding time and blasted by compressed air. | 5 s |

### Fracture toughness by SEVNB

After cooling, the specimens (n=10) were bonded on a metal plate and rectified by a grinding machine (Rectifier flat tangential model TA42; FERDIMAT, São Paulo, SP, Brazil) until reaching the final dimensions of 4 ± 0.25 mm width, 1.2 mm thickness and 22 mm length. A cut was made across the surface of the specimens, with a diamond cutting disc (0.3 mm thick), perpendicular to the length of the bars, as a starter notch 0.5 mm deep. The produced notches were filled with diamond polishing pastes (3 µm) and it was finished using a razor blade attached to a custom machine with an uniform back-and-forth movements to achieve a controlled and smooth notch. The notches were examined in an optical microscope (M80; Leica Microsystems Ltd., Heerbrugg, St. Gallen, Switzerland) to check the depth, which ranged from 0.8 mm to 1.2 mm. The specimens were cleaned with acetone in an ultrasonic bath.

The specimens were placed with the V-shaped notch down and loaded at the speed of 0.5 mm/min, at room temperature, in a universal testing machine (MTS 810 - Material Test System, Eden Prairie, MN, USA). The thickness (b), and width (w) of each specimen were measured with a caliper. The depths of the V-shaped notches were measured in three positions (a1, a2, a3) using a stereomicroscope (M80, Leica) at 50x magnification. To accept each test, an optical microscope (M80, Leica Microsystems) verified if the fracture started at the bottom of the V-shaped notch and continued for the entire extent. The fracture toughness, $K_{IC}$, was calculated by the following equations:

\[
K_{IC} = \frac{P}{b^{3/2}} \left( 1 - \frac{a_{2}}{a_{1}} \right) F \left( \frac{a_{2}}{a_{1}} \right)
\]

where $P$ is the indentation load, $b$ is the specimen thickness, $a_{1}$ and $a_{2}$ are the depths of the V-shaped notch, and $F\left(\frac{a_{2}}{a_{1}}\right)$ is a correction factor.
Fracture Toughness by Indentation Strength (IS)

For Indentation Strength (IS) test, the bars (12 mm × 5 mm × 2 mm) were embedded along their axis in acrylic resin (Jet - Artigos Odontológicos Clássico Ltda., São Paulo, SP, Brazil), and ground by 120- to 1500-grit wet SiC paper discs for 3 min each at 400 rpm speed. The polish was made by monocrystalline diamond suspension in an aqueous base, particle size of 3 µm (MetaDi; Buehler, Lake Bluff, IL, USA) with polishing cloth (Microcloth; Buehler) for 10 min. The specimens were cleaned by ultrasound in distilled water for 12 min (n=10).

Vickers hardness was measured in the Buehler model 1600-6300 (Buehler) hardness tester with a 9.8 N load for 20 s on a 5 mm × 2 mm cross-sectional area. Cracks resulting from the Vickers indentation were measured immediately to avoid slow crack growth after printing, started by the stress field that acts upon loading. The images of the cracks were made with a digital video camera model TK-C1380U (JVC, Tokyo, Honshu, Japan) coupled to the hardness tester. The crack extent was measured by an image analysis software (Leica Application Suite EZ; Leica Microsystems Ltd).

The fracture toughness (KIC) was calculated by the following equation:

\[
K_{IC} = \frac{P}{b\sqrt{w}} \times \frac{S_1 - S_2}{w} \times \frac{3\sqrt{\alpha}}{2(1-\alpha)^{3/2}} Y
\]

\[
Y = 1.988 \cdot 7 - 1.326\alpha - \frac{(3.49-0.68\alpha+1.35\alpha^2)(1-\alpha)}{(1+\alpha)^2}
\]

Where:
- \(K_{IC}\) = SEVNB fracture toughness (MPa.m\(^{1/2}\))
- \(\sigma\) = fracture strength (MPa)
- \(P\) = fracture load (MN)
- \(b\) = Specimen thickness (mm)
- \(w\) = Specimen width (mm)
- \(S_1 - S_2\) = difference between the span’s upper and lower support (mm)
- \(Y\) = form factor of stress intensity

Statistics

Statistical analyzes were performed using two-way ANOVA and Tukey’s post hoc test (\(\alpha<0.05\)).

Results

The IS method showed significantly higher values of fracture toughness compared to the SEVNB method (\(p=0.012\)). However, two-way ANOVA was not significant for cooling rate (\(p=0.144\)) and interactions between cooling rate and fracture toughness method (\(p=0.091\)) (Table 2).

| Method  | Cooling Protocol |
|---------|-----------------|
|        | Slow            | Normal | Fast     |
| IS      | 1.19 ± 0.13    | 1.17 ± 0.07 | 1.16 ± 0.06 |
| SEVNB   | 1.02 ± 0.13    | 1.09 ± 0.02 | 1.01 ± 0.32 |

Different uppercase letters indicate significant differences in columns (\(p<0.05\)) - Different lowercase letters indicate significant differences in rows (\(p<0.05\)).

Discussion

Fracture toughness is a useful property to assess the reliability of a material subjected to stresses of mechanical nature\(^{20}\). The value of fracture toughness can be affected by factors such as the type of tested dental materials and chosen technique\(^{12,15}\). The IS and SEVNB methods were chosen to compare the fracture toughness of dental ceramics because they are the most widely used in the literature. In this study, it was found that there was difference between SEVNB and IS, but cooling rate was not significant irrespective of the measurement method.

SEVNB method is considered the “golden standard” to determine fracture toughness values in ceramics\(^{12,15}\). Our SEVNB data are in agreement with other studies\(^{11,22}\), indicating that this method may reflect the actual material properties due to precise and controlled fracture induced by the V-notch in the specimens\(^{22}\). The SEVNB method has been considered as more reliable and accurate, because it provides reproducible toughness values\(^{14}\). On the other hand, the SEVNB method is quite sensitive, especially because it requires meticulous notch preparation\(^9\). If the critical notch length is underestimated; the toughness will be lower than the actual fracture toughness\(^{14}\) and if the notch root radius is larger, it may produce overestimated results\(^3\).

With regard to IS technique, overestimated fracture toughness values were found. Chai and Lawn\(^{23}\) suggested that IS method could be a simple and fast way to evaluate the fracture toughness of glassy materials, as few specimens are required for the test\(^7\). However, according to Fisher and Marx\(^{14}\), this technique is not an appropriate tool to determine exactly the fracture toughness of an unknown ceramic material, because the term \(E = 0.018\) is a constant value in the equation, but it should not be, since it depends on specific characteristics of each tested ceramic material. In
addition, Scherrer et al.\textsuperscript{8} pointed out that there is greater dispersion of data by IS method, for being a superficial measure that may be influenced by residual stresses on the surface. Moreover, if the indenter produces chipping, the measurement of hardness mark and resulting cracks may produce inaccurate parameters to introduce in the equation. Actually, it is difficult to measure precisely the crack length\textsuperscript{24} and the crack measurement may vary because of slow crack growth\textsuperscript{8}. Conversely, our results exhibited low standard deviation. This may be due to the close finishing protocol that allowed clear visualization of the mark and cracks, as well as the time standardization for measuring the cracks. Regardless of this, IS is an affordable alternative method\textsuperscript{4} and it should be used only when the standard SEVNB method cannot be performed, such as for specimens obtained from small dental ceramic blocks.

Additionally, the manufacturers recommended slow cooling to reduce clinical failure rates and there is no standardization in the different cooling methods\textsuperscript{25}. The ideal cooling protocol is not yet established. The effect of thermal properties of zirconia and feldspathic porcelain on veneer chipping has been addressed extensively in recent years, but the possible effect solely on the porcelain was not studied, in spite of being applied on zirconia. In theory, cooling rate may potentially induce phase transformation in leucite, and it may interfere on the mechanical properties\textsuperscript{13,26,27}. However, in the present study, different methods of cooling did not differ from each other and there was no interaction with the fracture toughness techniques.

In summary, cooling rates did not influence fracture toughness of the studied porcelain, irrespective of the measurement method, but the IS method overestimates the fracture toughness values.

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