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Resonant flavor oscillations in electroweak baryogenesis

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Electroweak baryogenesis (EWBG) in extensions of the standard model will be tested quantitatively in upcoming nuclear and particle physics experiments, but only to the extent that theoretical computations are robust. Currently there exist orders-of-magnitude discrepancies between treatments of charge transport dynamics during EWBG performed by different groups, each relying on different sets of approximations. In this work, we introduce a consistent power-counting scheme (in ratios of length scales) for treating systematically the dynamics of EWBG: $CP$-asymmetric flavor oscillations, collisions, and diffusion. Within the context of a simplified model of EWBG, we derive the relevant Boltzmann equations using nonequilibrium field theory, and solve them exactly without ansatz for the functional form of the density matrices. We demonstrate the existence of a resonant enhancement in charge production when the flavor oscillation length is comparable to the wall thickness. We compare our results with the existing treatment of EWBG by Konstandin, Prokopec, Schmidt, and Seco (KPSS) who previously identified the importance of flavor oscillations in EWBG. We conclude: (i) the power counting of KPSS breaks down in the resonant regime, and (ii) this leads to substantial underestimation of the charge generated in the unbroken phase, and potentially of the final baryon asymmetry.

I. INTRODUCTION

Electroweak baryogenesis (EWBG) is an attractive mechanism to explain the origin of the baryon asymmetry of the Universe (BAU). Because the relevant physics is accessible at the electroweak scale, nuclear and particle physics experiments can directly test two of the three Sakharov conditions [1]. The departure from equilibrium during the electroweak phase transition (EWPT) can be addressed in collider studies (e.g., [2]), while $CP$ violation can be probed through low-energy observables such as electric dipole moments (EDM) [3].

In EWBG, electroweak symmetry breaking proceeds through a first-order EWPT, where bubbles of broken $SU(2)_L \times U(1)_Y$ symmetry nucleate and expand in a background of unbroken symmetry. $CP$-violating interactions within the bubble wall produce a $CP$-asymmetric charge density of left-handed fermions. This charge, diffusing ahead of the bubble wall into the unbroken phase, is converted into a baryon asymmetry through nonperturbative electroweak sphaleron processes [4]. Lastly, the baryon asymmetry is captured by the advancing bubble wall and freezes out. The Sakharov conditions are satisfied provided (i) the EWPT is “strongly” first-order, otherwise electroweak sphalerons are too active in the broken phase and the baryon density is washed out, and (ii) there exists sufficient $CP$ violation to generate the observed BAU. Although neither condition is met in the standard model (SM) [5], EWBG may be viable in the minimal supersymmetric standard model (MSSM) [3,6] and other scenarios beyond the SM [7].

Ultimately, the final baryon asymmetry in EWBG is roughly proportional to the total $CP$-asymmetric charge that is generated and transported, by diffusion, into the unbroken phase where weak sphalerons are active. However, within a given model, this charge transport computation is a complicated problem: one must solve a network of Boltzmann equations governing the generation, diffusion, and equilibration of charges in the vicinity of the moving bubble wall. To date, there exists no treatment of EWBG dynamics that includes all of these aspects in a consistent and theoretically robust framework. And yet, such a treatment is crucial for making a quantitative connection between EWBG and experiment. Here, a key insight was provided by Refs. [8,9] in recognizing the importance of $CP$-violating flavor oscillations. These oscillations arise through spacetime-dependent flavor mixing induced by the varying background Higgs field.

In the thick bubble wall regime ($L_w \gg T^{-1}$), flavor oscillations are formally the leading source of $CP$ violation in a gradient expansion in powers of $(L_wT)^{-1}$, arising at linear order (e.g., in the MSSM, $L_w \sim 20/T$ [10]). At order $(L_wT)^{-2}$, one finds an additional $CP$-violating source from the spin-dependent “semiclassical force” [11–15]. Aside from Refs. [8,9], all previous EWBG computations have
swept flavor oscillations under the rug: quantum coherence between states was neglected, and this $CP$-violating source was treated perturbatively in terms of scattering off the external background Higgs field or its gradient. It is unknown whether or not such prescriptions are justified. Furthermore, Ref. [8] found that $CP$-violating charge densities generated by flavor mixing are localized to the bubble wall and do not diffuse into the unbroken phase, in contrast to other treatments. On the other hand, if significant charge diffusion occurs it would lead to a substantial enhancement of baryon number generation by transporting charge outside the bubble where sphalerons are active [16–18]. Therefore, this issue is highly relevant for experimental tests of EWBG. Indeed, according to Ref. [8], EWBG in the MSSM is ruled out due to null electron and neutron electric dipole moment searches, while it is still viable (to varying degrees) according to other EWBG computations [3,6].

It is clear that quantitative EWBG computations require a consistent analysis of $CP$-violating flavor oscillations, collisions in the plasma, and diffusion, which is still missing in the literature. In Ref. [19], we performed a first step toward such a complete treatment: we studied a model of two scalar fields $\Phi_{L,R}$ that mix through a purely time-dependent $CP$-violating mass matrix and have flavor-sensitive interactions with a thermal bath of scalar bosons ($A$) in equilibrium. We derived using nonequilibrium field theory [20] quantum Boltzmann equations for the two-flavor density matrices (Wigner functions), relying on a systematic expansion scheme in ratios of time scales. Our work demonstrated—for the first time in a consistent framework—the resonant baryogenesis regime discussed in Refs. [21–27] and placed it on a more rigorous theoretical footing. Importantly, we showed that the resonance occurs when the flavor oscillation period is comparable to the variation scale of the background Higgs field.

In this work, we generalize the analysis of Ref. [19] to account for a spacetime-dependent background field geometry, as in a moving bubble wall. Nonhomogeneity of the bubble geometry is a key ingredient of EWBG, essential for generation of a $CP$-violating charge that undergoes subsequent transport by diffusion. We work within the context of the same toy model of Ref. [19]. Our work is organized as follows:

(i) In Sec. II, we present our toy model for two mixing scalars ($\Phi_{L,R}$) with a spacetime-dependent mass matrix induced by the bubble wall. We discuss how this model maps onto more “realistic” baryogenesis models.

(ii) We derive the Boltzmann equations for the occupation numbers and quantum coherence of $\Phi_{L,R}$ states in Sec. III. We present both a heuristic, intuitive derivation, and a more rigorous one using nonequilibrium field theory. We also discuss the necessary conditions for $CP$ violation.

(iii) Using numerical methods, we solve the Boltzmann equations exactly in Sec. IV, without ansatz for the form of the density matrices. Our results clearly demonstrate the existence of charge diffusion, the role of flavor oscillations in generating $CP$ asymmetries, and how charge generation is enhanced in the resonant regime $|m_1 - m_2| \approx 10 L_w^{-1}$, where $m_{1,2}$ are the mass eigenvalues of the two-scalar system. Here, we also provide a useful analogy with spin precession in a varying magnetic field.

(iv) In Sec. V, we highlight the differences between our approach and that of Refs. [8,9]. Our major disagreement stems from Refs. [8,9] power counting the solutions to the Boltzmann equations in powers of $(L_w T)^{-1}$, while we do not. We demonstrate that this power-counting argument breaks down in the resonant regime, effectively negates the possibility of diffusion, and substantially underestimates the amount of charge generated during the EWPT.

The virtue of working within our toy model is that it can be solved both exactly (with numerical techniques), as well as within various approximation schemes. This offers the possibility to study some of the key assumptions used in current approaches and to quantify the attendant uncertainties. In this work we have focused on the comparison with what has so far been considered the state-of-the-art calculation in Ref. [8]. In forthcoming work, we will study in detail the diffusion approximation, invoked in essentially all EWBG calculations to make the problem tractable. In future work we will also extend the application of our methods to fermions, which are an essential ingredient in EWBG since sphalerons couple only to fermions.

II. BARYOGENESIS TOY MODEL

Two-flavor dynamics of scalars are highly relevant in extensions of the MSSM, where top squarks ($\tilde{t}_L, \tilde{t}_R$) may account for the BAU [28]. These models are necessarily complicated by their large number of degrees of freedom ($g_s \sim 200$) and many different types of interactions. Here, we consider a much simplified model: a two-flavor scalar system, with fields $\Phi \equiv (\Phi_L, \Phi_R)$, described by the Lagrangian

$$\mathcal{L} = \partial_{\mu} \Phi^\dagger \partial^\mu \Phi - \Phi^\dagger M^2 \Phi + \mathcal{L}_{\text{int}}.$$  

(1)

The key ingredients of our model are:

(i) The mass matrix $M^2(x)$ is spacetime-dependent, assumed to be a function of the varying background Higgs field(s) associated with the expanding bubble. The variation of $M^2$ across the phase boundary generates $\Phi_{L,R}$ charge through $CP$-violating coherent flavor oscillations.

(ii) $\mathcal{L}_{\text{int}}$ describes the interactions of $\Phi$ with the remaining degrees of freedom in the plasma. These
collisions govern the damping of flavor oscillations and the effective diffusion of these charges in the plasma.

We model the plasma during the time of the EWPT as a thermal bath of real scalar bosons $A$, assumed to be in equilibrium at temperature $T$, coupled to $\Phi$ via

$$L_{\text{int}} = -\frac{1}{2} A^2 \Phi^\dagger y \Phi, \quad y = \begin{pmatrix} y_L & 0 \\ 0 & y_R \end{pmatrix}. \quad (2)$$

We take the matrix of coupling constants $y$ to be diagonal; this defines the basis of flavor eigenstate fields $\Phi_{L, R}$ ("flavor basis").

The mass matrix can be parametrized as

$$M^2(x) = \begin{pmatrix} m^2(x) & v(x) e^{-i\alpha} \\ v(x) e^{i\alpha} & m^2(x) \end{pmatrix} = U^\dagger M^2 U, \quad (3)$$

It is convenient to transform Eq. (1) into the basis of local mass eigenstates ("mass basis"). We diagonalize the mass matrix with the spacetime-dependent transformation matrix $U(x)$, such that

$$m^2(x) = \begin{pmatrix} m^2_1(x) & 0 \\ 0 & m^2_2(x) \end{pmatrix} = U^\dagger M^2 U, \quad (4)$$

with

$$m^2_{1,2} = \frac{1}{2} (m^2_L + m^2_R) \pm \frac{1}{2} \text{sign}(m^2_L - m^2_R) \sqrt{(m^2_L - m^2_R)^2 + 4 v^2},$$

$$\tan(2\theta) = \frac{2v}{m^2_L - m^2_R}. \quad (5)$$

This diagonalization defines the mass-basis fields $\phi \equiv (\phi_1, \phi_2) = U^\dagger \Phi$. The Lagrangian, in the mass basis, is

$$L = \partial_\mu \phi^\dagger \partial^\mu \phi - \phi^\dagger \frac{1}{2} m^2 \phi - \phi^\dagger \Sigma \partial_\mu \phi + \partial_\mu \phi^\dagger \Sigma^\mu \phi - \phi^\dagger \Sigma \Sigma^\mu \phi + L_{\text{int}}, \quad (6)$$

where

$$\Sigma^\mu(x) = U^\dagger(x) \partial_\mu U(x) = \begin{pmatrix} 0 & -e^{-i\alpha} \\ e^{i\alpha} & 0 \end{pmatrix} \partial^\mu \theta + \begin{pmatrix} i \sin^2 \theta & \frac{i}{2} \sin 2\theta e^{-i\alpha} \\ \frac{i}{2} \sin 2\theta e^{i\alpha} & -i \sin^2 \theta \end{pmatrix} \partial^\mu \alpha. \quad (7)$$

In this basis, the interaction becomes

$$L_{\text{int}} = -\frac{1}{2} A^2 \phi^\dagger Y \phi, \quad Y(x) = U^\dagger(x) y U(x). \quad (8)$$

During the EWPT, the background Higgs field has a bubble geometry. We assume a spherical bubble expanding in the $\hat{r}$ direction, with wall thickness $L_w \gg T^{-1}$, and velocity $v_w \ll 1$. Typically, in the MSSM, one finds $L_w \sim 20/T$ [10] and $v_w \sim 0.05$ [29]. At late time (compared to the nucleation time), the bubble profile can be approximated as planar and physical quantities depend only on the coordinate $z \equiv (r - v_w t)$, the distance to the wall. Motivated by realistic bubble wall profiles [10], we take

$$v(z) = \frac{v_0}{2} \left(1 - \frac{2z}{L_w}\right), \quad \alpha(z) = \frac{\alpha_0}{2} \left(1 - \frac{2z}{L_w}\right). \quad (9)$$

The $z < 0$ ($z > 0$) region corresponds to the (un)broken phase. Additionally, for simplicity we take constant diagonal elements $m^2_{1,2}$.

### III. QUANTUM BOLTZMANN EQUATIONS

#### A. Kinetic theory derivation

Kinetic theory, described by Boltzmann equations, is a useful tool to describe the dynamics of an ensemble of quantum states [30–33]. For a single species, characterized by the distribution function $f(k, x)$ of states with momentum $k^\mu = (\omega_k, \mathbf{k})$ and spacetime coordinate $x^\mu \equiv (t, x)$, the usual Boltzmann equation is (in flat spacetime)

$$(\partial_t + \mathbf{v} \cdot \nabla_x + \mathbf{F} \cdot \nabla_k) f(k, x) = C(k, x). \quad (10)$$

Here, $\mathbf{v} \equiv k/\omega_k$ is the velocity and $\mathbf{F}(k, x)$ is the force associated with the variation of an external potential over length scale $L_{\text{ext}}$. The collision term $C(k, x)$ characterizes scattering interactions, with mean free path $L_{\text{mfp}}$. The Boltzmann picture is valid only in the semiclassical limit, where $L_{\text{mfp}}, L_{\text{ext}} \gg L_{\text{int}}$, where $L_{\text{int}} = |k|^{-1}$ is the “intrinsic” de Broglie wavelength.\footnote{This statement follows from the uncertainty principle: $\Delta k \Delta x \approx 1$. By describing the system in terms of a distribution $f$, it is assumed that states have well-defined momenta, such that $\Delta k \ll |k|$. At the same time, it is assumed that the force term acts locally at $x$, while the collision term is formulated in terms of localized, single scattering interactions. Both assumptions require that states are sufficiently localized in position with respect to the relevant scales: $\Delta x \ll L_{\text{mfp}}, L_{\text{ext}}$. Thus, $L_{\text{mfp}}, L_{\text{ext}} \gg \Delta x \approx 1/\Delta k \gg L_{\text{int}}$.}

In the two-flavor case, a new effect can arise: flavor oscillations due to quantum coherence between different mass eigenstates. The relevance of flavor oscillations depends on the oscillation length scale $L_{\text{osc}} \approx 1/(\omega_1 - \omega_2) \sim |k|/|\Delta m|^2$, where $\Delta m^2 = m^2_2 - m^2_1$. Clearly, if we want to include quantum coherence in our dynamics, Eq. (10) must be generalized.

In the context of EWBG, the relevant length scales are given as follows:
(i) The typical de Broglie wavelength is \( L_{\text{int}} = |k|^{-1} \sim T^{-1} \).

(ii) The external length scale \( L_{\text{ext}} \) is set by the wall thickness \( L_w \). Previous studies have found \( L_w \sim (20-30)T^{-1} \) in the MSSM \([10]\) and \( L_w \sim (2-40)T^{-1} \) in extensions of the MSSM \([28,34]\).

(iii) The oscillation length \( L_{\text{osc}} \) is determined by the \( \Phi \) mass spectrum. In the thick wall regime, CP asymmetries are maximized for \( L_w \sim L_{\text{osc}} \) (see Ref. \([19]\) and the discussion in Sec. \( \text{IV} \)); thus, the \( L_{\text{osc}} \gg L_{\text{int}} \) case is the most interesting for EWBG.

(iv) The mean free path satisfies \( L_{\text{mfp}} \gg L_{\text{int}} \) if the couplings \( y_{L,R} \) are perturbative.

Therefore, we assume in our analysis that the following ratios are small parameters:

\[
\epsilon_{\text{wall}} \equiv \frac{L_{\text{int}}}{L_{w}}, \quad \epsilon_{\text{coll}} \equiv \frac{L_{\text{int}}}{L_{\text{mfp}}}, \quad \epsilon_{\text{osc}} \equiv \frac{L_{\text{int}}}{L_{\text{osc}}},
\]

collectively denoted as \( \epsilon \).

In the \( \epsilon \ll 1 \) limit, a Boltzmann-like description of a multiflavor system is still possible, despite the inherently quantum nature of the coherence between states. In this case, one must promote \( f(k,x) \) to a density matrix: the diagonal elements denote occupation numbers of states, while the off-diagonal elements describe coherence between those states. The Boltzmann equation becomes a matrix equation,

\[
(\partial_t + \nabla \cdot \mathbf{v} + \mathbf{F} \cdot \nabla k)f(k,x) = -i[\Omega_k, f(k,x)] + \mathcal{C}[f, \tilde{f}](k,x).
\]

(12)

The general structure is nearly identical to Eq. (10), except for two important differences:

(i) The free Hamiltonian is now a matrix, \( \Omega_k \equiv \sqrt{|k|^2 + M^2(x)} \), and gives rise to the new commutator term \( [\Omega_k, f] \).

(ii) The collision term \( \mathcal{C}[f, \tilde{f}] \), evaluated explicitly in Appendix A, has a nontrivial matrix structure involving \( \gamma \) and the density matrices for particles (\( f \)) and antiparticles (\( \tilde{f} \)). (Our notation \( \mathcal{C}[f, \tilde{f}] \) indicates that \( \mathcal{C} \) is a functional of \( f, \tilde{f} \).)

Although Eq. (12) is covariant under flavor rotations, it is most convenient to work in the mass basis, denoted by the subscript \( m \). Rotating to this basis, the density matrix and free Hamiltonian transform as

\[
f(k,x) \rightarrow f_m(k,x) = U^\dagger(x)f(k,x)U(x),
\]

\[
\Omega_k \rightarrow \omega_k = \begin{pmatrix} \omega_{1k} & 0 \\ 0 & \omega_{2k} \end{pmatrix},
\]

where \( \omega_k = \sqrt{|k|^2 + m_l^2(x)} \). The Boltzmann equation becomes

\[
(\partial_t + \mathbf{v} \cdot \nabla x + \mathbf{F} \cdot \nabla k)f_m(k,x) = -i[\mathbf{i} \omega_k + \Sigma^0 + \mathbf{v} \cdot \Sigma, f_m(k,x)] + C_m[f_m, \tilde{f}_m](k,x).
\]

(14)

A similar equation governs the evolution of the antiparticle density matrix \( \tilde{f}_m(k,x) \). The quantum Boltzmann equations for \( f_m \) and \( \tilde{f}_m \) are derived more rigorously below. The final result for our Boltzmann equations is given by Eq. (33).

B. Field theory derivation

We derive the Boltzmann equations using nonequilibrium quantum field theory in the real-time closed time path (CTP) formalism \([20]\). The arguments presented here are similar to those in our previous work, where we derived the multiflavor Boltzmann equations for purely time-dependent scalar systems \([19]\), to which we refer the reader for greater detail.

In the CTP formalism, the basic building blocks are the nonequilibrium Green’s functions, defined here for massless fields \( \phi \),

\[
G'_{ij}(y,y') = \langle \mathbb{T} \phi_i(y)\phi^\dagger_j(y') \rangle \quad G_{ij}^0(y,y') = \langle \phi_i(y)\phi^\dagger_j(y') \rangle,
\]

(15a)

\[
G''_{ij}(y,y') = \langle \phi_i(y)\phi^\dagger_j(y') \rangle \quad G''_{ij}^0(y,y') = \langle \mathbb{T} \phi_i(y)\phi^\dagger_j(y') \rangle.
\]

(15b)

where \( \mathbb{T} \langle \mathbb{T} \rangle \) denotes (anti)time-ordering. These Green’s functions obey Schwinger-Dyson equations, which along with the free field equations following from the Lagrangian Eq. (6) imply the equations of motion

\[
[\partial^2_t + m^2(y) + 2\Sigma_\mu(y)\partial^\mu + \Sigma_\mu \Sigma^\mu(y) + \partial^\mu \Sigma_\mu(y)]G^\Xi(y,y') = -i \int d^4z \langle \Pi(y,z)\tilde{G}(z,y') \rangle^\Xi,
\]

(16)

\[
G^\Xi(y,y')[\partial^2_{y'} + m^2(y') - 2\partial^\mu \Sigma_\mu(y') + \Sigma_\mu \Sigma^\mu(y') \quad -\partial^\mu \Sigma_\mu(y')] = -i \int d^4z \langle \tilde{G}(y,z)\Pi(z,y') \rangle^\Xi,
\]

(16)

where the tildes denote matrices in CTP space,

\[
\tilde{G} = \begin{pmatrix} G' & -G^0
\end{pmatrix}, \quad \Pi = \begin{pmatrix} \Pi^t & -\Pi^< \\
\Pi^> & -\Pi^\dagger \end{pmatrix}.
\]

(17)

and \( \Pi(y,y') \) is the matrix of self-energies that appears in the Schwinger-Dyson equation.

From these equations of motion, one derives the so-called constraint and kinetic equations for the Wigner-transformed Green’s functions

\( \text{footnote} \)

\( \text{footnote} \)
\[ G_{ij}(k, x) = \int d^4r e^{ik \cdot r} G_{ij}^Z(x + r/2, x - r/2), \]
where \( x \equiv (y + y')/2 \) and \( r = y - y' \). (We will let the arguments distinguish the position space Green’s function and its Wigner transform.)

The constraint equation, given by
\begin{equation}
\left( 2k^2 - \frac{\Delta^2}{2} \right) G^Z(k, x) = e^{-i\phi} \left\{ \left[m^2(x) - 2ik \cdot \Sigma(x) + \Sigma(x)^2, G^Z(k, x) \right] \\
+ i \left[ \Pi^h(k, x), G^Z(k, x) \right] + [\Pi^Z(k, x), G^h(k, x)] \\
+ \frac{i}{2} [\Pi^>(k, x), G^<(k, x)] - \frac{i}{2} [\Pi^<(k, x), G^>(k, x)] \right\},
\end{equation}
determines the shell structure of the excitations. The kinetic equation, given by

\[ 2k \cdot \partial_x G^Z(k, x) = e^{-i\phi} \left\{ \left[m^2(x) - 2ik \cdot \Sigma(x) + \Sigma(x)^2, G^Z(k, x) \right] \\
+ \left[ \Pi^h(k, x), G^Z(k, x) \right] + [\Pi^Z(k, x), G^h(k, x)] \\
+ \frac{1}{2} [\Pi^>(k, x), G^<(k, x)] - \frac{1}{2} [\Pi^<(k, x), G^>(k, x)] \right\}, \]

(20)
governs the dynamics of the system.\(^3\) The diamond operator \( \Diamond \) is defined by
\begin{equation}
\Diamond(A(k, x)B(k, x)) = \frac{1}{2} \left( \frac{\partial A}{\partial x^\mu} \frac{\partial B}{\partial k_\mu} - \frac{\partial A}{\partial k_\mu} \frac{\partial B}{\partial x^\mu} \right) \tag{21}
\end{equation}
The \( \Pi \) functions are now the Wigner-transformed self-energies and \( G^0 = (G^I - G^P)/2 \).

Working at a fixed order in a perturbative expansion in couplings \( \gamma_{L,R} \), one can express the self-energies \( \Pi(k, x) \) as functionals of \( G(k, x) \). Equations (19) and (20) then describe the quantum evolution of the Wigner functions \( G(k, x) \). However, Eqs. (19) and (20) are formidable to solve in practice. Therefore, we simplify them by working to leading nontrivial order in \( \epsilon: \mathcal{O}(\epsilon) \) in the kinetic equation and \( \mathcal{O}(\epsilon^2) \) in the constraint equation. This follows the spirit of the effective kinetic theory developed in Refs. [30–33]. Our power counting in \( \epsilon \) proceeds according to the following rules:

(i) Each derivative \( \partial_x \) acting on \( U(x) \) or \( m^2(x) \) carries one power of \( \epsilon_{\text{wall}} \); e.g., \( \Sigma^\mu \) is \( \mathcal{O}(\epsilon_{\text{wall}}) \).

(ii) Each factor of the self-energy \( \Pi \) carries one power of \( \epsilon_{\text{coll}} \). This is equivalent to an expansion in coupling constants \( \gamma_{L,R} \).

(iii) Each \( \Delta m^2 \) carries one power of \( \epsilon_{\text{osc}} \). In particular, the commutators \( [m^2, G^Z(k, x)] \) and \( [\Pi^Z, G^Z] \) are both proportional to \( \Delta m^2 \) and are \( \mathcal{O}(\epsilon_{\text{osc}}) \) [19].

According to these rules, all terms on the right-hand side of Eq. (20) are at least linear in \( \epsilon \). Therefore, the kinetic equation implies one more rule:

(iv) Each derivative \( \partial_x \) acting on \( G^Z(k, x) \) carries one power of \( \epsilon \).

The dimensionality of these quantities (e.g., \( \Delta m^2, \partial_x U \), etc.) is compensated by powers of \( \omega_{ik} \) or \( |k| \), taken to be \( \mathcal{O}(T) \), to form dimensionless ratios \( \epsilon \). Our \( \epsilon \) expansion, therefore, breaks down for infrared modes \( |k| \ll T \). We neglect this complication since the density of states for these modes, \( k^2 \beta(k) \), is suppressed compared to typical thermal modes \( |k| \sim T \).

Using the above rules, the constraint equation at \( \mathcal{O}(\epsilon^0) \) becomes trivial,
\[ (k^2 - \bar{m}^2(x))G^z(k, x) = 0, \]
where \( \bar{m}^2 = (m_1^2 + m_2^2)/2 \). Therefore, \( G^Z(k, x) \) must vanish unless
\[ k^0 = \pm \bar{\omega}_k(x) = \pm \sqrt{|k|^2 + \bar{m}^2(x)}. \]

The two shells correspond to particle \( (k^0 > 0) \) and antiparticle \( (k^0 < 0) \) modes, and the two-point functions can be expressed in terms of particle \( \langle f_m \rangle \) and antiparticle \( \langle \bar{f}_m \rangle \) mass-basis density matrices as follows:

\[^4\]In general there exists a more complicated shell structure that deserves mention [35]. For free fields (setting \( \Sigma, \Pi \rightarrow 0 \)), it is straightforward to solve the constraint equation to all orders in \( \epsilon_{\text{osc}} \). In the rest frame of the wall, for a given component \( G_{ij}^Z(k, x) \), there exist not two but four shells, which can be expressed as (using overbar for quantities in the wall rest-frame)
\[ \bar{k}_i = \pm (\bar{k}_i + \bar{k}_j)/2, \quad \bar{k}_z = \pm (\bar{k}_z - \bar{k}_j)/2, \]
where \( \bar{k}_i = \sqrt{k_i^2 + k_j^2} - m_i^2 \). The \( \bar{k}_z = \pm (\bar{k}_z + \bar{k}_j)/2 \) shells describe coherence of states moving in the same direction (for \( i \neq j \) these are different eigenstates) and are expressed up to Eq. (23) for \( \epsilon_{\text{osc}} = 0 \). The other shells \( \bar{k}_z = \pm \bar{k}_z - \bar{k}_j/2 \), named “nonlocal coherence shells,” correspond to coherence between states of opposite momentum (and for \( i \neq j \) different mass eigenstates), and are interpreted in terms of quantum mechanical reflection [35]. By performing a mode expansion of \( G^Z \) in terms of free-field creation and annihilation operators, it is possible to show that the coherence shells arise from nonzero expectation values \( \langle a_i b_j \rangle \) and \( \langle a_i^\dagger b_j^\dagger \rangle \); such an effect is also known as Zitterbewegung [36]. In the thick wall regime \( (L_w \gg L_{\text{int}}) \) of EWBG, we expect on physical grounds that occupation numbers associated with reflection should be suppressed and we neglect these shells in our analysis. However, their importance in the thin wall regime \( (L_w \approx L_{\text{int}}) \) has been emphasized in Refs. [35].
\[ G^>(k, x) = 2\pi \delta(k^2 - m^2)[\theta(k^0)I + f_m(k, x)] \\
+ \theta(-k^0)(I + \tilde{f}_m(-k, x)) \\
G^<(k, x) = 2\pi \delta(k^2 - m^2)[\theta(k^0)f_m(k, x) \\
+ \theta(-k^0)(I + \tilde{f}_m(-k, x)) \] 

(24)

where \( I \) is the \( 2 \times 2 \) identity matrix.

The Boltzmann equation is obtained from the kinetic equation (20). Working to \( O(\varepsilon) \), we have

\[
2k \cdot \partial_x G^>(k, x) = -[im^2(x) + 2k \cdot \Sigma(x) - \Pi^b(k, x), G^>(k, x)] \\
+ \frac{1}{2}\{\partial_k^\alpha m^2, \partial_k^\alpha G^>(k, x)\} + \frac{1}{2}\{\Pi^>(k, x), G^>(k, x)\} \\
- \frac{1}{2}\{\Pi^<(k, x), G^<(k, x)\}. \tag{25}
\]

By taking the positive (negative) frequency integrals of \( G^>(k, x) \), we can project out the particle (antiparticle) density matrices,

\[
f_m(k, x) = \int_0^\infty \frac{dk^0}{2\pi} 2k^0 G^<(k, x), \tag{26}
\]

\[
\tilde{f}_m(-k, x) = \int_{-\infty}^0 \frac{dk^0}{2\pi} (-2k^0) G^>(k, x).
\]

Taking the positive frequency integral of Eq. (25), we arrive at the Boltzmann equations for \( f_m \). Here, a useful relation is

\[
\int_0^\infty \frac{dk^0}{2\pi} G^<(k, x) = \int_0^\infty \frac{dk^0}{2\pi} \left( \frac{2k^0}{\omega_k} \right) G^>(k, x) + O(\varepsilon) \\
= \frac{f(k, x)}{2\omega_k} + O(\varepsilon), \tag{27}
\]

using Eq. (23), according to which the factor \( (k^0/\omega_k) \) is equal to unity (restricted to \( k^0 > 0 \)), modulo \( O(\varepsilon) \) corrections. These corrections can be neglected since we are working to linear order in \( \varepsilon \) and every term in Eq. (25) is already \( O(\varepsilon) \).

We now evaluate the various terms in the Boltzmann equation. The left-hand side of Eq. (25) is

\[
\int_0^\infty \frac{dk^0}{2\pi} 2k \cdot \partial_x G^>(k, x) = (\partial_x + \varepsilon \cdot \nabla)f_m(k, x) + O(\varepsilon^2), \tag{28}
\]

with velocity \( \varepsilon = k/\omega_k \). The oscillation term is

\[
\int_0^\infty \frac{dk^0}{2\pi} [m^2(x), G^>(k, x)] = [\omega_k, f_m(k, x)] + O(\varepsilon^2), \tag{29}
\]

using the fact that \( (m_1^2 - m_2^2)/(2\omega_k) = (\omega_{1k} - \omega_{2k}) \). The \( CP \)-violating source term is

\[
\int_0^\infty \frac{dk^0}{2\pi} [2k \cdot \Sigma(x), G^<(k, x)] \\
= \{\Sigma^0(x) + \varepsilon \cdot \Sigma(x), f_m(k, x)\} + O(\varepsilon^2) \tag{30}
\]

and the force term is

\[
\int_0^\infty \frac{dk^0}{2\pi} \{\partial_k^\alpha m^2, \partial_k^\alpha G^<(k, x)\} \\
= -\varepsilon \cdot \nabla f_m(k, x) + O(\varepsilon^2), \tag{31}
\]

with force \( \varepsilon \cdot \nabla \). [The \( \partial_k^\alpha \) contribution to Eq. (31) is a total derivative and vanishes at the boundaries.]

The remaining terms in Eq. (25), arising from the self-energy \( \Pi \), give two important contributions (see Appendix A). First, the \( [\Pi^b, G^\pm] \) term yields a medium-dependent, forward-scattering correction to the mass matrix. For the interaction given in Eq. (2), assuming the A bosons are in thermal equilibrium, this correction gives the thermal mass shift \( m_{L,R}^2 \rightarrow m_{L,R}^2 + y_{L,R} T^2 / 24 \). This shift can be incorporated directly into \( m(x) \) and \( \Sigma^\mu(x) \). The remaining collision term

\[
C_m[f_m, \tilde{f}_m] = \int_0^\infty \frac{dk^0}{2\pi} \frac{1}{2} \{[\Pi^>(k, x), G^<(k, x)] \\
- \{\Pi^<(k, x), G^<(k, x)\}\} \tag{32}
\]

corresponds to scattering (\( \phi A \leftrightarrow \phi A \)) and annihilation (\( \phi \phi \leftrightarrow AA \)) processes in the plasma.

To summarize, the quantum Boltzmann equations are

\[
(u \cdot \partial_x + \varepsilon \cdot \nabla)f_m(k, x) \\
= -[i \omega_k + u \cdot \Sigma, f_m(k, x)] + C_m[f_m, \tilde{f}_m](k, x), \tag{33a}
\]

\[
(u \cdot \partial_x + \varepsilon \cdot \nabla)\tilde{f}_m(k, x) \\
= +[i \omega_k - u \cdot \Sigma, \tilde{f}_m(k, x)] + C_m[\tilde{f}_m, f_m](k, x) \tag{33b}
\]

for the (anti)particle density matrix \( f_m (\tilde{f}_m) \), with \( u^\mu \equiv (1, \varepsilon) \) and \( \partial_k^\alpha \equiv (\partial_x, \nabla) \).
straightforward to show that Eqs. (33) are consistent with the continuity equation for the total \( \Phi_L + \Phi_R \) charge, 
\[ \text{Tr} \int d^3k/(2\pi)^3(u \cdot \partial_x)(f_m - f_m) = 0. \]

**C. C and CP violation**

It is insightful to consider how C and CP violation are manifested in the Boltzmann equations (33). (See Ref. [19] for a complementary discussion at the Lagrangian level.) Under C, the density matrices transform as
\[ f_m(k, x) \rightarrow e^{i\eta} f_m^T(k, x) e^{-i\eta}, \tag{34} \]
where \( \eta \equiv \text{diag}(\eta_1, \eta_2) \) are arbitrary phases. The Boltzmann equations are C-symmetric if
\[ e^{i\eta} \Sigma^T \eta e^{-i\eta} = -\Sigma \eta \tag{35} \]
for some choice of \( \eta \). Therefore, from Eq. (7), C violation requires \( \sin \theta \neq 0 \) and \( \partial_\mu \alpha \neq 0 \).

Under P, the density matrices transform as
\[ f_m(k, x) \rightarrow f_m(-k, x') e^{-i\tilde{\eta}}, \tag{36} \]
where \( \tilde{\eta} \equiv \text{diag}(\tilde{\eta}_1, \tilde{\eta}_2) \) are arbitrary phases, and \( x' \) is the \( P \)-inverted coordinate. In general, inhomogeneous background fields break spatial symmetries, such as \( P \). However, a spherical bubble centered at \( r = 0 \) is invariant under \( P \); therefore, we have
\[ m^2(x) = m^2(x'), \quad U(x) = U(x'), \quad F(x) = -F(x'), \quad \Sigma^\mu(x) = (\Sigma^0(x'), -\Sigma(x)), \tag{37} \]
using the fact that \( \nabla_k = -\nabla_k' \). The Boltzmann equation for \( f_m \) transforms under \( P \) into
\[ \begin{align*}
(\partial_t - v \cdot \nabla_k - F \cdot \nabla_k) f_m(-k, x') &= -[i \omega_k(x') + \Sigma^0(x') - v \cdot \Sigma(x')] f_m(-k, x') \\
&\quad + C_m[f_m, \tilde{f}_m](-k, x'), \tag{38}
\end{align*} \]
\( \tilde{\eta}_{1,2} = 0 \). Therefore, setting \( k \rightarrow -k \) and relabeling \( x' \rightarrow x \), we find that Eqs. (33) are invariant under \( P \).

In summary, the Sakharov conditions of C and CP violation are realized if the bubble wall induces flavor mixing \( (\sin \theta \neq 0) \) and a spacetime-dependent phase \( (\partial_\mu \alpha \neq 0) \) in the two-scalar system. For a spherical bubble, C and CP violation are equivalent, since \( P \) is conserved. An aspherical bubble will in general violate \( P \), and therefore CP, but clearly this is insufficient by itself for EWBG if \( C \) is conserved.\(^7\)

\(^6\)The reason for the transpose is our convention for \( \tilde{f}_m \) given in footnote 5. In the alternate convention, one would have \( f_m \rightarrow e^{i\tilde{\eta}} f_m^T \).

\(^7\)Later we will take the planar limit of the bubble wall as given by Eq. (9), which apparently violates \( P \). However, Eq. (9) really only describes one “side” of the bubble in the planar limit, with the other side infinitely far away, hiding its true parity invariance. Under \( P \), Eq. (9) remains unchanged.

\(^8\)Furthermore, it is only by this method that one obtains solutions that equilibrate properly at late time (or far from the bubble wall). Otherwise we run into the problem of “secular terms” that grow large and spoil equilibration at late time [37]. Our procedure will not encounter any such problematic terms.
A. EWBG setup

For EWBG in a late time regime (compared to the bubble nucleation time), significant simplifications arise in solving the quantum Boltzmann equations (33). First, we neglect the wall curvature, treating the spherical bubble as a planar wall, where \( z < 0 \) corresponds to the broken phase inside the bubble, as given by Eq. (9). Second, we look for steady-state solutions in the rest frame of the moving wall (with \( v_w \ll 1 \)) for the superfluid density matrices \( \Phi(\mathbf{k}, z) \) and \( \tilde{\Phi}(\mathbf{k}, z) \), assumed to be a function only of \( z = r - v_w t \), the coordinate normal to the wall. Additionally, the force term \( F \) vanishes in our model since \( m_1^2 + m_2^2 \) is constant. Thus, Eq. (33) becomes

\[
\begin{align*}
\nu_{rel} \partial_z \Phi(\mathbf{k}, z) &= -\left[ i \omega_k + \nu_{rel} \Sigma, \Phi(\mathbf{k}, z) \right] + C[\Phi, \tilde{\Phi}](\mathbf{k}, z), \\
\nu_{rel} \partial_z \tilde{\Phi}(\mathbf{k}, z) &= \left[ i \omega_k - \nu_{rel} \Sigma, \tilde{\Phi}(\mathbf{k}, z) \right] + C[\tilde{\Phi}, \Phi](\mathbf{k}, z),
\end{align*}
\]

(39a, 39b)

with

\[
\begin{align*}
\nu_{rel}(\mathbf{k}) &= \frac{\mathbf{k} \cdot \hat{\mathbf{n}}}{\omega_k} - v_w, \\
\omega_k(z) &= \begin{pmatrix} \omega_{1k}(z) & 0 \\ 0 & \omega_{2k}(z) \end{pmatrix}, \\
\Sigma(z) &= U^\dagger(z) \partial_z U(z),
\end{align*}
\]

(40)

where \( \nu_{rel} \) is the velocity with respect to the wall and \( \hat{\mathbf{n}} \) is the unit vector normal to the wall. From now on, the collision term \( C \) includes a factor of \( g_s \) to model the true number of degrees of freedom in the electroweak plasma and is given by Eq. (A4). Given a set of input model parameters, we want to solve Eq. (39) subject to the boundary condition that far from the wall the solutions reach equilibrium,

\[
\lim_{z \to \pm \infty} \Phi(\mathbf{k}, z) = \lim_{z \to \pm \infty} \tilde{\Phi}(\mathbf{k}, z),
\]

(41)

Since Eq. (39) has azimuthal symmetry with respect to \( \hat{\mathbf{n}} \), the density matrices \( \Phi, \tilde{\Phi} \) depend only on the momentum variables \( \mathbf{k} \equiv |\mathbf{k}| \) and \( \cos \theta_k \equiv \hat{\mathbf{k}} \cdot \hat{\mathbf{n}} \), and are independent of the azimuthal \( \mathbf{k} \) angle.

After solving Eq. (39), we compute total charge asymmetries that are directly relevant for EWBG. The charge current matrix (in the mass basis) can be defined equivalently in terms of normal-ordered fields, Green’s functions, or density matrices,
The polarization vector language, Eq. (39) becomes

$$\mathbf{B}_0(k, z) = \left(0, 0, \frac{\omega_{1k}(z) - \omega_{2k}(z)}{v_{\text{rel}}} \right),$$

where the primes’ denote derivatives $d/dz$. The equations of motion are strongly suggestive of spin precession in a magnetic field. Here, flavor polarizations $\mathbf{p}, \mathbf{p}$ play the role of spin and precess around an effective magnetic field $(\mathbf{B}_0 \pm \mathbf{B}_\Sigma)$ in flavor space. This describes coherent flavor oscillations by damping the precession. The total particle/antiparticle occupation numbers obey Boltzmann-type equations with collisions

$$\begin{align*}
\partial_z p_0(k, z) &= -D_0[p, \tilde{p}](k, z), \\
\partial_z \tilde{p}_0(k, z) &= -D_0[\tilde{p}, p](k, z).
\end{align*}$$

These above concepts will serve as organizing principles for the discussion in the following sections.
Lastly, we note
\[ n_{1,2}(z) = \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} \left( p_0(k, z) \pm p_z(k, z) \right) - \bar{p}_0(k, z) \pm \bar{p}_z(k, z). \] (50)
That is, the charge densities are determined by the differences \( p_z - \bar{p}_z \) and (to a lesser extent, as it turns out) \( p_0 - \bar{p}_0 \). In equilibrium, \( p \) and \( \bar{p} \) are aligned with \( B_0 \), and \( p_0 = \bar{p}_0 = (n_B(\omega_{1k}) + n_B(\omega_{2k})) / 2 \) and \( p_z = \bar{p}_z = (n_B(\omega_{1k}) - n_B(\omega_{2k})) / 2 \).

C. Numerical approach

The Boltzmann equations, equivalently described by Eqs. (39) and (47), are a system of 8 coupled integro-differential equations, due to the collision term coupling together modes of different momenta. In order to make this problem tractable, we discretize \( k = \|k\| \) and \( \cos \theta_k \) into \( N_k \) and \( N_\theta \) bins, within the ranges
\[ 0 < k < k_{\text{max}}, \quad -1 < \cos \theta_k < 1, \] (51)
evaluating the discretized \( (k, \cos \theta_k) \) at the central value of each bin. After binning, we have a system of \( 8 \times N_k \times N_\theta \) coupled first-order ordinary differential equations with boundary conditions. We solve this system of equations using the “relaxation method” [39].

We impose the boundary conditions (41) as follows: for right-moving modes \( (v_{\text{rel}} > 0) \), we set \( f(k, z_-) = f^{\text{eq}}(k, z_-) \) with \( z_- < 0 \) far in the broken phase, and for left-moving modes \( (v_{\text{rel}} < 0) \), we set \( f(k, z_+) = f^{\text{eq}}(k, z_+) \) with \( z_+ > 0 \) far in the unbroken phase. These split boundary conditions are required on physical grounds: the collision term equilibrates the density matrix in the positive time direction, which for right(left)-going modes is the positive (negative) \( z \) direction. So we have only to impose equilibration as a boundary condition in the negative time direction, i.e. negative (positive) \( z \) for right(left)-moving modes. The fact that right(left)-going modes equilibrate again at late time, for \( z \rightarrow z_+ \) (\( z \rightarrow z_- \)), provides a nontrivial check on our numerics.

Within the baseline model parameters in Table I, we have performed a number of stability checks against different choices of \( k_{\text{max}}, N_k, N_\theta, z_\pm \). We required that quantities of physical relevance, e.g. \( n_{L,R}(z) \), remain stable at the percent level. We find that \( |z_\pm| = 5000 / T \) and \( k_{\text{max}} = 8T \) are acceptable values.\textsuperscript{11} Moreover, binning as coarse as \( N_k = 4, N_\theta = 6 \) produces stable charge density profiles. Our results below have \( N_k = N_\theta = 8 \).

Given our discretized solutions for \( f(k, z) \) and \( \tilde{f}(k, z) \), we compute the charge currents and densities in Eq. (42) by converting the continuous integrals into discretized sums in the usual way:
\[ \int d^3k / (2\pi)^3 \rightarrow k_{\text{max}} / (2\pi^2 N_k N_\theta) \sum_{k, \cos \theta} k^2. \]

D. Numerical results

I. Distribution functions

Numerical results within the baseline model defined in Table I are presented in Fig. 2. The figures show the \( z \) dependence of \( p_{0,L,L,L} \) (left panels) and \( \bar{p}_{0,L,L,L} \) (right panels). The top four panels correspond to a typical adiabatic bin with \( k/T = 0.5 \), \( \cos \theta_k = 0.875 \), and \( L_{\text{osc}}(z = 0) / L_w \approx 0.16 \), while the bottom four panels correspond to a typical nonadiabatic bin with \( k/T = 3.5 \), \( \cos \theta_k = 0.875 \), and \( L_{\text{osc}}(z = 0) / L_w \approx 1.37.\textsuperscript{12} \) In all plots the solid lines represent the full numerical solutions, while the dotted lines represent local thermal equilibrium results.

As anticipated, the qualitative behavior of Fig. 2 can be readily understood through the magnetic analogy. Let us neglect for a moment the effect of interactions with the thermal bath. In the collisionless limit different momentum bins are decoupled: \( p_0 \) and \( \bar{p}_0 \) do not evolve, while \( p \) and \( \bar{p} \) precess about effective magnetic fields as per Eqs. (47). Equilibrium boundary conditions for left- and right-moving modes imply that for \( |z| > L_w \) both \( p \) and \( \bar{p} \) point along the \( \hat{z} \) axis in flavor space. This is a stable configuration as long as \( B_\Sigma = 0 \). In proximity of the phase boundary, the nonvanishing \( B_\Sigma \) tends to push \( p \) and \( \bar{p} \) out of their stationary state, triggering the precession around the \( z \)-dependent fields \( B_0 \pm B_\Sigma \).

In the adiabatic regime \( (L_w \gg L_{\text{osc}}) \), the polarization vectors \( p \) and \( \bar{p} \) effectively track the magnetic fields \( B_0 \pm B_\Sigma \) (with a small precession amplitude that vanishes in the \( L_{\text{osc}} / L_w \rightarrow 0 \) limit). As a consequence the solution tracks very closely the local thermal equilibrium. On the other hand, in the nonadiabatic regime \( (L_w \approx L_{\text{osc}}) \), when the magnetic field changes on length scales comparable to or smaller than the oscillation scale, the polarization vector

\textsuperscript{12}In general the adiabaticity \( L_{\text{osc}} / L_w \) is controlled by \( k, \cos \theta_k, m_{\text{rel}}, \) and \( L_w \). Larger values of \( k \) and \( |\cos \theta_k| \) and smaller mass splittings increase \( L_{\text{osc}} \), thus leading to increasingly nonadiabatic evolution for fixed \( L_w \). Once the underlying model parameters have been fixed, the adiabaticity is controlled only by \( k \) and \( \cos \theta_k \).
lags behind the magnetic field and begins precessing with a large amplitude. (In absence of collisions the precession persists away from the phase boundary, $|z| \gg L_w$.) The amplitude of oscillations increases with $L_{\text{osc}}/L_w$, as is evident from Fig. 2: in the nonadiabatic regime the system is pushed out of equilibrium more efficiently by the passage of the bubble wall. Collisions and pair processes play an essential role in relaxing the density matrices back to equilibrium away from the phase boundary, as evident from the plots in Fig. 2.

FIG. 2 (color online). Numerical results for particle and antiparticle density matrix for (top) a typical adiabatic bin: $k/T = 0.5$, $\cos \theta_k = 0.875$, and (bottom) a typical nonadiabatic bin, $k/T = 3.5$, $\cos \theta_k = 0.875$. Left panels display particle density matrix in Bloch decomposition: $p_0(k, \cos \theta_k, z)$ and $p_{x,y,z}(k, \cos \theta_k, z)$. Right panels display antiparticle density matrix: $\bar{p}_0(k, \cos \theta_k, z)$ and $\bar{p}_{x,y,z}(k, \cos \theta_k, z)$. Solid lines represent full numerical solutions, while dotted lines represent local thermal equilibrium results for diagonal components. See text for additional details.
In the nonadiabatic regime, CP-violating effects show up more prominently in the evolution of the density matrices. In the CP-conserving limit ($\alpha'(z) = 0$), the effective magnetic fields $B_0 \pm B_\Sigma$ are confined to a plane defined by $\vec{z}$ and $(\sin \vec{x} \cos \vec{y})$. The evolution obeys the CP invariance condition $f(k, \cos \theta_k, z) = e^{i \eta} f^T(k, \cos \theta_k, z) e^{-i \eta}$, where $\eta = \text{diag}(\alpha, -\alpha)/2$, or

$$\bar{p}_x - i \bar{p}_y = e^{-i \alpha}(p_x + i p_y), \quad \bar{p}_z = p_z, \quad \bar{p}_0 = p_0. \quad (52)$$

In presence of CP violation ($\alpha'(z) \neq 0$), $B_0 \pm B_\Sigma$ are not confined to this plane, so that the dynamical evolution leads to an angle between $\vec{p}$ and $B_0 \pm B_\Sigma$ different from that between $\vec{p}$ and $B_0 \mp B_\Sigma$. This leads to a violation of the conditions (52) and generation of flavor-diagonal CP asymmetries $p_z - \bar{p}_z \neq 0$ (and eventually, through collisions, $p_0 - \bar{p}_0 \neq 0$). The CP asymmetries vanish in two limits: (i) $L_{osc}/L_w \ll 1$, because during the resulting adiabatic evolution the polarizations track closely the magnetic fields and so end up in CP-symmetric thermal equilibrium, and (ii) $L_{osc}/L_w \gg 1$, because, as discussed in Ref. [19], then the magnetic field varies so fast that precession becomes sensitive only to the initial and final values of $B_0 \pm B_\Sigma$, which define a plane. CP asymmetries are maximal for $L_{osc}/L_w \sim O(1)$.

In Fig. 3, we illustrate the “anatomy” of how CP asymmetries are sourced for different momentum bins. Since $L_{osc} \sim 2\pi k/|m_1^2 - m_2^2|$, we expect greater asymmetries to be generated for nonadiabatic bins corresponding to larger values of $k$. We plot the diagonal $\phi_1$ CP asymmetry $k^2(f_{11} - \bar{f}_{11})$, for three representative $(k/T, \cos \theta_k)$ bins: the long-dashed line refers to a typical adiabatic bin (0.5, 0.875), the short-dashed line to a mildly nonadiabatic bin (1.5, 0.875), and the solid line to a typical nonadiabatic bin (3.5, 0.875). We weight each asymmetry by the phase space factor $k^2$, so that Fig. 3 represents the contributions of each bin to the total charge density $n_1 = \int d^3k (f_{11} - \bar{f}_{11})/(2\pi)^3$. The plots clearly illustrate:

(i) In the vicinity of the wall ($z \leq L_w = 20/T$), the largest asymmetry is generated for nonadiabatic momentum bins.

(ii) Collisions establish kinetic equilibrium away from the wall ($L_w \approx z \approx 100/T$), by redistributing charge among bins. Far from the wall ($z \approx 100/T$), the density matrices are well-described by equilibrium distribution functions with a nonzero, spacetime-dependent chemical potential.

This picture holds as long as $L_{coll} > L_w$, which is verified in the baseline model. A qualitative difference would arise in the case in which $L_{coll} \approx L_w$. In that case we expect a suppression of the CP asymmetries [19], because collisions are so frequent that they break the coherent evolution needed for a manifestation of CP-violating effects: then flavor oscillations cannot play a significant role in generating a CP asymmetry.

2. Charge densities and currents

So far we have presented results for the mass-basis density matrices $f, \bar{f}$ (in the Bloch representation). In applications to EWBG, one is interested in the behavior of flavor-diagonal CP-violating charge densities $n_{L,R}(z)$ in the unbroken phase. In Fig. 4 we present numerical results for the densities $n_1(z)$ and $n_2(z)$. (For $z \gg L_w$ one has $n_L = n_1$ and $n_R = n_2$.) The plot in Fig. 4 clearly illustrates the existence of diffusion into the unbroken phase. Once generated by CP-violating oscillations within the bubble wall, $\Phi_{L,R}$ charge diffuses into the unbroken phase, where mass and flavor eigenstates coincide and flavor oscillations no longer occur. The smaller diffusion tail in $n_1 = n_L$...
compared to $n_2 = n_R$ is due to the fact that $y_L > y_R$ in the baseline model, and so the mean free path for $\Phi_L$, is shorter. On the other hand, in the broken phase where flavor and mass eigenstates do not coincide, flavor-sensitive collisions ($y_L \neq y_R$) lead to fast flavor equilibration ($n_L - n_R \rightarrow 0$). Since total charge conservation and causality imply $n_L + n_R \rightarrow 0$ far from the wall, flavor equilibration has the effect of driving both diagonal densities to zero.\(^{13}\)

Another interesting dynamical question involves the onset of the diffusion regime. Within our model we can evaluate the current densities and check whether there is a regime in which they satisfy the diffusion ansatz $j^i_{\nu}(z) = -D_i \nabla n_i(z)$, with diffusion constants $D_i$. We have found that this ansatz is fairly well satisfied for large $z$ far from the wall ($z \gg 300/T$) for some numerically fitted constant value for $D_i$. Of course, in principle one should calculate the diffusion coefficients from the collision terms. Nevertheless, this observation implies that a simplified treatment in terms of diffusion equations for flavor-diagonal densities with appropriate “oscillation-induced” sources might lead to satisfactory results. We leave a more detailed investigation of this issue, including calculation of the diffusion constants in this model, to a forthcoming paper.

3. Resonant enhancement of CP asymmetry

Up to this point we have presented results for one particular point in parameter space, defined by the baseline model (Table I). In phenomenological applications to baryogenesis, one would like to identify those regions of parameter space in which the CP asymmetries (and eventually the baryon asymmetry) are maximized. To this end, a useful global measure of CP violation and a proxy for the baryon asymmetry itself within the toy model is provided by the integral $I^{CP}_L$ [see Eq. (44)], which represents the total $\Phi_L$ charge asymmetry in the unbroken phase.

From the discussion in the preceding section we have learned that the size of the CP asymmetry is controlled by the ratio $L_{osc}/L_w$ of oscillation length to wall thickness. Here one should really think about $L_{osc}$ as a thermally averaged oscillation length, or the oscillation length evaluated at a typical thermal momentum $k \approx 3T$. For fixed $v_0$, $L_{osc}$ is controlled by the mass splitting $m_L - m_R$. In Fig. 5, we plot $I^{CP}_{ICP}$ versus $m_L/m_R$, for fixed $m_R/T = 2$ and all other parameters as in Table I. The dramatic resonant feature at $m_L = m_R$ is interpreted in terms of nonadiabatic dynamics discussed in the previous section: for $m_L \sim m_R$ the average oscillation length $L_{osc}$ is maximized, implying that more momentum modes evolve nonadiabatically and therefore develop larger CP asymmetries. Figure 5 demonstrates in a consistent framework of flavor mixing the resonant baryogenesis regime previously discussed in the context of perturbative mass-insertions [21,22,26,27] or perturbative insertions of mass gradients [23–25], and places the origin of this resonance on a firmer theoretical footing.

Finally, one can also study the dependence of the resonant enhancement of $I^{CP}_L$ on other model parameters, such as the wall velocity $v_w$, the coupling constant $y_L$, and the wall thickness $L_w$. Decreasing (increasing) $v_w$ and $y_L$ increases (decreases) the size of the diffusion tail in $n_{L}(z)$, and hence leads to a larger (smaller) $I^{CP}_L$. The dependence on $L_w$ is more subtle than a simple overall scaling, because it affects both the peak and width of the resonance, as illustrated in Fig. 5. The resonance width is determined by the condition $L_{osc}/L_w \simeq O(1)$. Numerically we find considerable resonant enhancement for $|m_L - m_R| \lesssim 10/L_w$ (see Fig. 5). Moreover, as $L_w$ changes, the number of momentum modes that evolve nonadiabatically also changes, thus changing the overall peak of the resonance (decreasing $L_w$ leads to larger peak value for $I^{CP}_L$).

V. COMPARISON WITH PREVIOUS APPROACHES

In attempting to obtain a more tractable, analytic solution, all previous treatments have employed certain approximations to decouple the diagonal and off-diagonal components of the kinetic equations. In this section, we provide a detailed comparison of our results with the work of Ref. [8]. That work provided the first derivation of the coupled two-flavor kinetic equations using the gradient expansion and treatment of flavor oscillations in EWBG. Earlier works neglected quantum coherence implicitly by projecting onto diagonal densities within a diffusion-type ansatz.

\(^{13}\)This has essentially the same effect as the $\Gamma_{\nu}$ rates introduced in the diffusion equation treatment of this problem [16–18], although the physical mechanisms are not identical.
Our treatment and that of Ref. [8] differ at the stage of power counting and solving these equations. The two primary differences are:

(i) **Diagonal densities:** The power counting of Ref. [8] leads one to neglect the diagonal components of the source \([\Sigma, f]\) so that \(f_{11,22}\) do not depart from equilibrium at first order in \(1/(L_u T)\). Effectively, this prevents any \(CP\)-asymmetry in the flavor-diagonal densities \(n_{L,R}\) (generated in the bubble wall) from diffusing into the unbroken phase. In contrast, in our treatment we find that in a consistent power-counting scheme deviations of \(f_{11,22}\) from equilibrium are sourced by nonzero \(f_{12}\), and then diffuse deep into the unbroken phase, where the mass and flavor bases coincide.

(ii) **Off-diagonal densities:** The off-diagonal density \(f_{12}\) in Ref. [8] is sourced only by equilibrium diagonal densities, and its approach to equilibrium is described with a phenomenological ansatz for the collision term. While in some regimes of parameter space these simplifications capture the qualitative behavior of \(f_{12}\) fairly well, quantitatively they lead to \(\mathcal{O}(1)\) deviations from the exact \(f_{12}\). In our treatment, we account for all contributions to \(f_{12}\) from the source and collision terms at leading non-trivial order in our power counting.

Ultimately, the approximations of Ref. [8], applied to our toy model, lead one to neglect diffusion and result in a substantial underestimation of charge in the unbroken phase, compared to our exact numerical treatment, as illustrated dramatically in Fig. 7. The reasons for this discrepancy are explained in detail below.

### A. Source and collision terms

The coupling of the different components of the \(2 \times 2\) distribution functions \(f(k, z)\) arises from two sets of terms. In the mass basis, one is the \(CP\)-violating source proportional to \(\Sigma(z)\). The other is the collision term \(\mathcal{C}\). Our treatment accounts for the full coupled structure of both terms, while Ref. [8] argued that the evolution of diagonal and off-diagonal densities could be decoupled in the source and collision terms.

Beginning with the source term, consider the components of its matrix structure,

\[
[\Sigma, f] = \left( \begin{array}{c}
\Sigma_{12} f_{21} - \Sigma_{21} f_{12} \\
\Sigma_{21} (f_{11} - f_{22}) - (\Sigma_{11} - \Sigma_{22}) f_{21}
\end{array} \right). \tag{53}
\]

In the diagonal entries, we find that the off-diagonal distribution functions \(f_{12,21}\) source the diagonal distributions \(f_{11,22}\) through \(\Sigma_{12,21}\). In the off-diagonal entries, \(f_{11,22}\) feed back to act as sources for \(f_{12,21}\). In our work, we have not made any *a priori* assumptions about the scalings of the \(f\)'s with gradients of the external field (\(\epsilon_{\text{wall}}\)) and thus accounted for the full coupled evolution. In Ref. [8], however, all deviations of \(f_{ij}\) away from their equilibrium values were power counted as \(\mathcal{O}(\epsilon_{\text{wall}})\). That is,

\[
\text{Ref}[8] : f = \left( \begin{array}{c}
n_B(\omega_1) & 0 \\
0 & n_B(\omega_2)
\end{array} \right) + \left( \begin{array}{c}
\delta f_{11} & f_{12} \\
\delta f_{21} & \delta f_{22}
\end{array} \right). \tag{54}
\]

where \(\delta f_{11,22}\) and \(f_{12,21}\) \(\sim \mathcal{O}(\epsilon_{\text{wall}})\). According to this counting, the source term is

\[
[\Sigma, f]_{\text{Ref}[8]} = \left( \begin{array}{c}
0 & \Sigma_{12}(n_B(\omega_1) - n_B(\omega_2)) \\
\Sigma_{21}(n_B(\omega_1) - n_B(\omega_2)) & 0
\end{array} \right) + \mathcal{O}(\epsilon_{\text{wall}}^2). \tag{55}
\]

Reference [8] argued that, working at \(\mathcal{O}(\epsilon_{\text{wall}})\), the \(\mathcal{O}(\epsilon_{\text{wall}}^2)\) terms could be neglected.

Here is the crucial point: in the power counting of Ref. [8], there is *no* \(CP\)-violating source for the diagonal densities. Furthermore, although there is a source for the off-diagonal densities, the different components of \(f_{ij}\) are decoupled; \(CP\) violation in \(f_{12,21}\) does not feed into \(f_{11,22}\). By rotating to the flavor basis, one has \(n_{L,R} = \pm \sin 2\theta \int d^3 k \Re [(f_{12} - \tilde{f}_{12}) e^{i\alpha}] / (2\pi)^3\). Charges \(n_{L,R}\) vanish in the unbroken phase, since \(\theta = 0\). No diffusion exists.

Now we consider the collision term for \(f_{12}\). The coupled structure of \(\mathcal{C}\) was simplified in Ref. [8] by making a simple phenomenological ansatz

\[
\text{Ref}[8] : \mathcal{C}_{12}(k, z) = -\Gamma_{12} f_{12}(k, z) \tag{56}
\]

for some constant relaxation rate \(\Gamma_{12}\), which was estimated to be \(~\alpha T\), where \(\alpha\) is the coupling strength of the dominant interaction of the species. As we discuss in Appendix B, there is indeed a part of the full collision term that takes the form of this ansatz, although with a \(k\)-dependent rate \(\Gamma_{12}(k, z)\). We will consider in the Appendix the full set of contributions to the collision term, and the conditions under which the ansatz Eq. (56) may be justified.

### B. Power counting of off-diagonal solution

We now consider solutions for \(f, \tilde{f}\) in our toy model, following the procedure of Ref. [8], by making the assumptions described above for the source and collision terms. One obtains the decoupled equations for the off-diagonal densities,
\[ [v_{\text{rel}} \partial_z + i(\omega_1 - \omega_2) + v_{\text{rel}}(\Sigma_{11} - \Sigma_{22}) + \Gamma_{12}]f_{12}(k, z) = v_{\text{rel}} S_{12}, \quad (57a) \]
\[ [v_{\text{rel}} \partial_z - i(\omega_1 - \omega_2) + v_{\text{rel}}(\Sigma_{11} - \Sigma_{22}) + \Gamma_{12}]\tilde{f}_{12}(k, z) = v_{\text{rel}} S_{12}, \quad (57b) \]

where
\[ S_{12}(k, z) = \Sigma_{12}(z)(n_B(\omega_{1k}) - n_B(\omega_{2k})). \quad (58) \]

The effective sources for particles and antiparticles coincide, as they only involve the diagonal equilibrium densities \( f_{ii}^Q = \tilde{f}_{ii}^Q = n_B(\omega_{ik}) \). For the relaxation rates \( \Gamma_{12}, \tilde{\Gamma}_{12} \) in Eq. (57), we will adopt a constant value \( \Gamma_{12} = \tilde{\Gamma}_{12} = 0.024 T \), obtained by evaluating Eq. (B4) at \( k = 3T \) and \( \cos \theta_k = 1 \).

The simplified Eq. (57) can be solved explicitly, giving
\[ f_{12}(k; z) = \int_{-\infty}^{\infty} dz' S_{12}(k, z') \exp\left\{ -\int_{-\infty}^{z} dz'' \left[ i(\omega_{1k} - \omega_{2k}) v_{\text{rel}} \right] \right\} + (\Sigma_{11} - \Sigma_{22}) \frac{\Gamma_{12}}{v_{\text{rel}}} \left\{ e^{i\omega_{1k} z''} \right\}. \quad (59) \]

One can notice from this solution, however, that power counting \( f_{12} \) as \( O(\epsilon_{\text{wall}}) \) breaks down in the nonadiabatic regime. Now, it is true that \( \Sigma_{12} \) which explicitly appears in the integrand of Eq. (59) is \( O(\epsilon_{\text{wall}}) \). However, the integration measure also must be power counted. It is determined by the shortest length scale among the wall length \( L_w \), the oscillation length \( L_{\text{osc}} \) or the collision length \( L_{\text{coll}} \sim 1/\Gamma_{12} \), since that will determine the effective range of integration. Supposing the latter to be very long, we can just compare \( L_w \) and \( L_{\text{osc}} \). In the nonadiabatic regime \( (L_w \ll L_{\text{osc}}) \), \( L_w \) is the shortest scale; the effective range of integration in Eq. (59) is \( L_w \), since \( \Sigma_{12}(z) \) is nonzero only in the bubble wall region. However, we note that \( L_w \sim 1/\epsilon_{\text{wall}} \) and \( f_{12} \) in Eq. (59) should be power counted as

\[ f_{12} \sim \text{(range of integration)} \times \Sigma_{12} \sim \frac{1}{\epsilon_{\text{wall}}} \times \epsilon_{\text{wall}} = \epsilon_{\text{wall}}, \quad (60) \]

invalidating the estimate in Ref. [8] that \( f_{12} \sim \epsilon_{\text{wall}} \).

In the adiabatic regime, the power counting of Ref. [8] is formally consistent, as explained in Appendix C. As a result, Eq. (59), sourced only by the equilibrium diagonal distributions in Eq. (58), is actually a fairly good approximation for the true solution for \( f_{12} \), as illustrated below in the top two panels of Fig. 6.

The above observations can be easily understood from Fig. 1, which illustrated the magnetic analogy for precession of flavor polarization vectors. In equilibrium, \( |p| \) starts out proportional to \( n_B(\omega_1) - n_B(\omega_2) \sim \epsilon_{\text{osc}} \). Also the initial magnetic field \( B_0 = 0 \), \( \omega_1 - \omega_2 \sim \epsilon_{\text{osc}} \). When the wall \( B_x \sim \epsilon_{\text{wall}} \) turns on, the precession angle is thus of order \( \theta_B \sim \epsilon_{\text{wall}}/\epsilon_{\text{osc}} \). In the adiabatic regime, this ratio is small, and so \( \theta_B \ll 1 \). Then, as \( p \) precesses, the off-diagonal deviations are given by \( p_{xz} \sim \epsilon_{\text{osc}} \theta_B \sim \epsilon_{\text{wall}}/\epsilon_{\text{osc}} \). Thus we can neglect the feedback of \( \delta \rho_p \) to \( p_{xz} \), and using the decoupling approximation to solve for \( f_{12} = p_x + ip_y \) is justified.

However, in the nonadiabatic regime, \( \epsilon_{\text{wall}} \gtrsim \epsilon_{\text{osc}} \), the precession angle is order 1. Then the deviations in \( p_{x,y,z} \) are all the same order, \( \delta p_{x,y,z} \sim \epsilon_{\text{osc}} \) and none of them can be neglected or approximated as being in equilibrium. The magnetic analogy makes clear why the decoupling approximation breaks down in the nonadiabatic regime— since the entire vector \( p \) precesses with a large angle, all components change simultaneously with equal magnitudes, and there is no notion of decoupled evolution of the individual components.

### C. Power counting of diagonal solution

For the diagonal densities, we can power count deviations of \( f_{ii}, \tilde{f}_{ii} \) from equilibrium as in Eq. (60). We find in the nonadiabatic regime, \( \delta f_{ii} \sim \epsilon_{\text{wall}}^0 \), so they certainly cannot be neglected. In the adiabatic regime, \( \delta f_{ii} \sim \epsilon_{\text{wall}}^2 \). Then it appears that the power counting of [8] is justified in this regime. However, this is not the case.

First, deviations of diagonal distributions from equilibrium must have been further into the unbroken phase \( z > 0 \) than off-diagonals, as we found in Fig. 2. In Fig. 3 we found that the part surviving to very large \( z \) is well approximated by a chemical potential that survives even after kinetic equilibrium \( [f_{ii} = n_B(\omega_i - \mu_i), f_{12} = 0] \) is reached much earlier. This is because collisions drive \( f_{ij} \) to kinetic equilibrium on a length scale \( L_{\text{coll}} \), but the chemical potential is damped away on a longer diffusion length scale \( L_{\text{diff}} \sim L_{\text{coll}}/v_w \). Thus, for very large \( z \), the diagonal \( \delta f_{ii} \) should not be neglected even in the adiabatic regime, although they are formally suppressed relative to \( f_{12} \) in the region of the wall.

Second, as we argued in Sec. IV, one is ultimately interested in the total integrated charge \( f_{L,R}^P \) diffusing into the unbroken phase, and the amount of this charge is governed by the diffusion length \( L_{\text{diff}} \). In our model \( L_{\text{diff}} \) is actually the largest length scale in the problem. Thus, although in the adiabatic regime the deviations of \( f_{ii}, \tilde{f}_{ii} \)
from equilibrium are formally \( \mathcal{O}(\epsilon_w^2) \), their contributions to \( \rho_{12}^{CP} \) are order \( \epsilon_w^2/\epsilon_{\text{coll}}v_w \) due to the measure of integration, and thus parametrically larger than naively expected. In other words, even in the adiabatic regime, one should not neglect deviations from equilibrium in the diagonal densities.

D. Numerical comparisons and diffusion tail

In Fig. 6, we compare our exact solution for \( \rho_{12}(k, \cos \theta, z) \) and antiparticle density matrix \( \bar{\rho}_{12}(k, \cos \theta, z) \) (right panels). Solid lines represent solutions of the full equations. Dashed lines represent solutions of the approximate equations (57). The upper panels correspond to a typical adiabatic bin with \( k/T = 0.5 \), \( \cos \theta = 0.875 \), while the lower panels correspond to a typical nonadiabatic bin with \( k/T = 3.5 \), \( \cos \theta = 0.875 \).

VI. CONCLUSIONS

Electroweak baryogenesis is an attractive and testable explanation for the origin of the baryon asymmetry of the Universe. However, quantitative baryogenesis predictions for collider and low-energy precision measurements are obscured by orders-of-magnitude discrepancies between different treatments of the charge transport dynamics...
during the electroweak phase transition, due to different approximations. Since the final baryon asymmetry depends on how much CP-asymmetric charge is generated and transported into the phase of unbroken symmetry, a quantitative computation of these dynamics is essential.

This work has provided an important step (beginning with Ref. [19]) toward investigating these discrepancies and providing a quantitatively robust formalism for baryogenesis computations. Within a generalized gradient expansion, we derived Boltzmann equations for the particle and antiparticle density matrices for a two-flavor scalar system with an inhomogeneous, CP-violating mass matrix. (One may regard the scalar species as a toy model for squarks in supersymmetric extensions of the standard model, with an inhomogeneous mass matrix arising from the spacetime-varying Higgs field during the phase transition.) Our Boltzmann equations are “flavored” in the sense that they keep track not only of the occupation numbers of individual states, but also of their coherence. These equations account for flavor oscillations in a nonhomogeneous background and interactions with a thermal bath. In contrast to previous treatments, we have kept the full matrix structure of the collision term and have not resorted to the usual diffusion approximation. However, it is clear that diffusion does emerge from our full numerical solutions. From our analysis a very simple physical picture emerges: at leading order in gradients of the mass matrix, CP-asymmetries arise from coherent flavor oscillations induced by spacetime-dependent mixing.

By virtue of our simplified model, we solved the Boltzmann equations numerically without ansatz for the form of the density matrices. We illustrated several important physics points:

(i) The largest departures from equilibrium and the largest contributions to the CP-violating asymmetries arise for states evolving nonadiabatically across the phase boundary, with momenta $k$ satisfying 

$$L_{\text{osc}} = \frac{2\pi v}{\omega_{1k} - \omega_{2k}} \approx L_{\text{w}},$$

where $L_{\text{w}}$ is the bubble wall thickness (i.e. the length over which the off-diagonal elements of the mass matrix vary).

(ii) The CP-violating flavor-diagonal charge densities, generated by flavor oscillations within the wall, diffuse into the unbroken phase and are not localized near the wall.

(iii) The enhancement of charge generation in the nonadiabatic regime manifests itself as a resonance when $m_L / m_R$. This “resonant regime” is governed by the condition $|m_L - m_R| \leq 10L_{\text{w}}^{-1}$ (i.e., the width of the resonance is controlled by $L_{\text{w}}$). In MSSM-like models, $L_{\text{w}} \sim 20/T$, so for mass differences as big as $|m_L - m_R| \sim T/2$ or about $50$ GeV, it becomes important to account consistently for modes that evolve nonadiabatically, as we have done.

These findings demonstrate the crucial importance of keeping track of full coupled evolution of all components of the density matrix $f$ to capture the dominant contributions to flavor-diagonal charge densities that diffuse into the unbroken phase.

We also compared our results, within the context of our toy model, to the formalism of Ref. [8]. All previous baryogenesis computations have relied on an implicit or explicit decoupling of the dynamics of diagonal and off-diagonal densities, whereas our results here do not and achieve exact numerical solutions for the full density matrices. We have shown that the power counting of Ref. [8] (leading to decoupled equations for diagonal and off-diagonal densities) is inadequate in the nonadiabatic regime, in which the CP-violating effects are maximal. We have solved our simple model of mixing scalars according to the procedure outlined in Ref. [8], finding dramatic differences in the charge density profiles: the full solution displays significant diffusion into the unbroken phase that
is absent in the approximate treatment. This difference can be directly traced to the approximation of decoupling the kinetic equations for diagonal and off-diagonal sources. This difference may have a potentially large impact on electroweak baryogenesis calculations. Our analysis indicates that the approach of Ref. [8] largely underestimates the CP-violating densities in the unbroken phase, which in turn might induce a large underestimation (by an order of magnitude or more) of the produced baryon asymmetry. Within the simple model of mixing scalars, however, we cannot address this in a quantitative manner, as we still need to introduce fermions. An additional CP-violating source, the semiclassical force [11–15], can arise for fermions, but not scalars. The relative magnitude between this source and the resonant, mixing-induced source studied here remains an important open question.

The resolution of current discrepancies and a more robust phenomenological analysis require the following additional steps, which are currently under investigation:

(i) Transport equations for fermions with an inhomogeneous mass matrix along the same lines as our analysis of mixing scalars, including the resonant mixing-induced source, the semiclassical force, and elastic and inelastic scattering processes.

(ii) Identification of diffusion equations with appropriate oscillation-induced sources that correctly capture the physics of the full kinetic analysis. This should be a good description of the system in the unbroken phase, where the mixing angle vanishes and flavor oscillations no longer occur.

These developments, building upon the methods we have introduced here and in Ref. [19], will make possible rigorous and tractable predictions for charge transport in realistic scenarios of EWBG.

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APPENDIX A: COLLISION TERMS

We evaluate the interaction terms in the Boltzmann equations coming from $\mathcal{L}_{\text{int}}$, given by Eq. (2). We work in the mass basis below, but omit the subscripts $m$ for brevity. We assume that the $A$ bosons (mass $m_A$) are in thermal equilibrium, with temperature $T \gg m_A$.

The self-energy functions $\Pi$ can be computed following a perturbative expansion in $\gamma_L, R$, detailed in Ref. [19]. At linear order in $\gamma_{LR}$, only the $\{H, G, H^\dagger\}$ term receives a contribution, shown by Fig. 8(a),

$$i\Pi(k, x) = Y(x) \frac{T^2}{24} + \int \frac{d^3 p}{(2\pi)^3} \frac{1}{4\epsilon_p} \left(\epsilon_p - \frac{p^2}{2m_A^2}\right). \tag{A1}$$

The first term gives a thermal mass shift, $m_{LR}^2 \rightarrow m_{LR}^2 + \gamma_{LR} T^2 / 24$. The second term ($\epsilon_p = \sqrt{p^2 + m_A^2}$) is the usual zero-temperature divergence that can be absorbed by renormalization.

At second order in $\gamma_{LR}$, scattering and annihilation processes arise from the imaginary part of Fig. 8(b) and are given by Eq. (32). The scattering term $[(\phi(k)A(p) \leftrightarrow \phi(k')A(p'))]$ is given by

$$C[f, f']_{\text{scat}} = -\frac{1}{4k^0} \int \frac{d^3 k'}{(2\pi)^3 2\epsilon_0} \int \frac{d^3 p}{(2\pi)^3 2\epsilon_p} \times \left[\frac{1}{2} \frac{d^3 p'}{(2\pi)^3 2\epsilon_p'} \delta^4(k + p - k' - p') \times [f(k), Y(1 + f(k')) Y\bar{f}_A(p)(1 + f_A(p'))] - \{1 + f(k), Yf(k') Y(1 + f_A(p)) f_A(p')\}, \right] \tag{A2}$$

where $f_A(p) = n_B(\epsilon_p) / \left(1 / (\epsilon_p / T) - 1\right)$ is the distribution function the $A$ bosons. The annihilation term $[(\phi(k)\phi^\dagger(k') \leftrightarrow A(p)A(p'))]$ is given by

$$C[f, f']_{\text{ann}} = -\frac{1}{8k^0} \int \frac{d^3 k'}{(2\pi)^3 2\epsilon_0} \int \frac{d^3 p}{(2\pi)^3 2\epsilon_p} \times \left[\frac{1}{4} \frac{d^3 p'}{(2\pi)^3 2\epsilon_p'} \delta^4(k + k' - p - p') \times [f(k), Yf(k') Y(1 + f_A(p))(1 + f_A(p'))] - \{1 + f(k), Y(1 + f(k')) Y\bar{f}_A(p) f_A(p')\}, \right] \tag{A3}$$

FIG. 8. Leading-order self-energy graphs that induce the collision terms in the Boltzmann equations, corresponding to (a) thermal mass correction from coherent forward scattering, and (b) nonforward scattering ($\phi \leftrightarrow \phi A$) and annihilation ($\phi \phi^\dagger \leftrightarrow AA$).
These expressions are strongly reminiscent of the corresponding single-flavor collision terms, except for their “non-Abelian” structure; the distributions (i.e., density matrices) and scattering/annihilation matrix elements (Y) do not commute. The total collision term is

$$C[f, \tilde{f}] = g_s \left( C[f, \tilde{f}]_{\text{cat}} + C[f, \tilde{f}]_{\text{ann}} \right),$$  \hspace{1cm} (A4)

where we include the additional factor $g_s \sim 200$ to mimic the true number of degrees of freedom in the plasma during the EWPT.

There is one important subtlety: what is the dispersion relation for $k^0$ (and $k^0$)?\textsuperscript{16} Working to linear order in $e$, it is consistent to set $k^0 = \omega_k$ in $C$, since corrections will be $O(e_{\text{coll}} e_{\text{osc}})$. However, in that approximation, the collision term relaxes the density matrices to a “false equilibrium” $\tilde{f} \to \text{diag}(n_B(\omega_k), n_B(\omega_k))$, rather than the “true equilibrium” $f \to \text{diag}(n_B(\omega_k), n_B(\omega_k))$, with the correct dispersion relations. This distinction is crucial; according to Eq. (33), the CP-violating source term vanishes if $f, \tilde{f}$ are proportional to the identity, which are when “falsely” equilibrated. Hence, the $O(e_{\text{osc}})$ collision term quenches charge generation, an unphysical effect.

To remedy this issue, when evaluating the collision term we replace the lowest order solution to the constraint equations given in Eq. (24) with

$$G^\text{cat}(k, x) = 2\pi \delta(k^2 - m_{ij}^2)[\theta(k^0)(\delta_{ij} + f_{ij}(k, x)) + \theta(-k^0)\tilde{f}_{ij}(-k, x)],$$

$$G^\text{ann}(k, x) = 2\pi \delta(k^2 - m_{ij}^2)[\theta(k^0)f_{ij}(k, x) + \theta(-k^0)(\delta_{ij} + \tilde{f}_{ij}(-k, x)).$$  \hspace{1cm} (A5)

with $m_{ij} = 1/2(m_1^2 + m_2^2)$, implying

$$k^0 = \omega_k^i \equiv \begin{cases} \omega_k & i = j \\ \omega_k & i \neq j \end{cases}.$$  \hspace{1cm} (A6)

This procedure can be viewed as the resummation of a class of $O(e_{\text{coll}} \times e_{\text{osc}})$ corrections to the collision terms, corresponding to dynamical effects over length scales $L_{\text{mp}} / e_{\text{osc}}^2$ ($n > 1$). It is physically justified to neglect these corrections for off-diagonal modes; these modes are damped to zero on a scale $L_{\text{mp}}$ and are not sensitive to longer scales $L_{\text{mp}} / e_{\text{osc}}$. In contrast, diagonal modes do not equilibrate on a scale $L_{\text{mp}}$; they approximately equilibrate to $f, \tilde{f} \to \text{diag}(n_B(\omega_k), n_B(\omega_k))$, but only reach true equilibrium over longer scales $L_{\text{mp}} / e_{\text{osc}}$. Therefore, by adopting Eq. (A6), we are evaluating collision terms involving diagonal modes to all orders in $e_{\text{osc}}$, as is required to treat equilibration properly. Progress in evaluating $C$ to all orders in $e_{\text{osc}}$ for both diagonal and off-diagonal modes has been made in Ref. [35].

With this prescription, a compact matrix expression for $C$ is no longer possible and we must write all mass-basis indices explicitly. The collision terms are

$$C_{ij}^\text{cat}(f, \tilde{f}) = \int \frac{d^3k}{(2\pi)^3} \left( \delta_{ia}Y_{bc}Y_{dj} + Y_{ic}Y_{da}\delta_{bj} \right) \times \left( R_{abcd}^{\text{cat,in}}(k, k')(1 + f(k))_{ab}(k) + f(k')_{cd}) \right. - R_{abcd}^{\text{cat,out}}(k, k')f_{ab}(k)(1 + f(k'))_{cd}, \hspace{1cm} (A7)$$

$$C_{ij}^\text{ann}(f, \tilde{f}) = \int \frac{d^3k}{(2\pi)^3} \left( \delta_{ia}Y_{bc}Y_{dj} + Y_{ic}Y_{da}\delta_{bj} \right) \times \left( R_{abcd}^{\text{ann,in}}(k, k')(1 + f(k))_{ab}(k) + f(k')_{cd}) \right. - R_{abcd}^{\text{ann,out}}(k, k')f_{ab}(k)(1 + f(k'))_{cd}. \hspace{1cm} (A8)$$

The scattering kernels are

$$R_{abcd}^{\text{cat,in}}(k, k') = \frac{Tn_B(t_0)}{64\pi t_0^2 \omega_k^a \omega_k^{*b}} \theta(t^2 - t_0^2)\log \left( \frac{1 + n_B(t_-)}{1 + n_B(t_+)} \right), \hspace{1cm} (A9)$$

and the annihilation kernels are

$$R_{abcd}^{\text{ann,in}}(k, k') = \frac{T(1 + n_B(t_0))}{128\pi s \omega_k^a \omega_k^{*b}} \theta(t^2 - t_0^2)\log \left( \frac{1 + n_B(t_-)}{1 + n_B(t_+)} \right), \hspace{1cm} (A10)$$

$$R_{abcd}^{\text{ann,out}}(k, k') = \frac{T(1 + n_B(t_0))}{128\pi s \omega_k^a \omega_k^{*b}} \theta(t^2 - t_0^2)\log \left( \frac{1 + n_B(t_-)}{1 + n_B(t_+)} \right), \hspace{1cm} (A12)$$

where

$$t = |k - k'|, \hspace{1cm} t_0 = \omega_k^{ab} - \omega_k^{cd},$$

$$t_\perp = \frac{t_0}{2} + \frac{t}{2}\sqrt{1 + 4m_\Lambda^2/(t^2 - t_0^2)},$$

$$s = |k + k'|, \hspace{1cm} s_0 = \omega_k^{ab} + \omega_k^{cd},$$

$$s_\perp = \frac{s_0}{2} + \frac{s}{2}\sqrt{1 + 4m_\Lambda^2/(s^2 - s_0^2)}.$$  \hspace{1cm} (A14)

From these expressions, one can verify several facts. First, detailed balance is satisfied since

$$R_{abcd}^{\text{cat, out}}(k, k') = e^{t/2}R_{abcd}^{\text{cat, in}}(k, k'),$$

$$R_{abcd}^{\text{ann, out}}(k, k') = e^{t/2}R_{abcd}^{\text{ann, in}}(k, k').$$  \hspace{1cm} (A15)
Second, $C$ vanishes for
\[
f(f) = \begin{pmatrix}
  n_B(\omega_{1k} - \mu_1) & 0 \\
  0 & n_B(\omega_{2k} - \mu_2)
\end{pmatrix}
\]
with chemical potentials $\mu_{12}$. (If $Y$ is diagonal in the mass basis, $\phi_{12}$ charges are separately conserved; otherwise only total charge $\phi_1 + \phi_2$ is conserved and $\mu_1 = \mu_2$.)

Third, the continuity equation is satisfied provided $\text{Tr} \int d^3k/(2\pi)^3 (C[f, \tilde{f}] - C[f, f]) = 0$, which follows from the relations
\[
\begin{align*}
R_{\text{scat out}}^{\text{out}}(k, k') &= R_{\text{scat in}}(k', k), \\
R_{\text{ann out}}^{\text{out}}(k, k') &= R_{\text{ann in}}(k', k), \\
\text{(in} & \leftrightarrow \text{out).}
\end{align*}
\]

### APPENDIX B: DECOUPLING IN THE COLLISION TERM

In Ref. [8], the ansatz Eq. (56), $C_{12} = -\Gamma_{12} f_{12}$, was made for the off-diagonal collision term. Here we consider corrections to this ansatz and when it may be justified.

In general the collision terms given by Eqs. (A7) and (A8) have much more complicated structure than this simple ansatz. We can simplify them somewhat by working to linear order in deviations from equilibrium, taking
\[
f_{ij} = f_{ij}^{\text{eq}} + n_B(\omega_{ij}) (1 + n_B(\omega_{ij})) \delta f_{ij},
\]
where $f_{ij}^{\text{eq}} = n_B(\omega_{ij}) \delta f_{ij}$, and $\delta f_{ij} \sim \epsilon$ for some small $\epsilon$. We have factored out $n_B(1 + n_B)$ in the $O(\epsilon)$ term for later notational convenience (cf. [33]). Then, we linearize the collision terms in $\delta f$. At $O(\epsilon^0)$, the collision terms vanish (as required for the equilibrium distributions). Now, consider the off-diagonal collision terms $C_{12}$ linearized in $\delta f$.

We will study the structure of the diagonal collision terms in future work.) It can be organized into three sets of terms,
\[
C_{12} = C_{12}^{\text{loss}} + C_{12}^{\text{gain}} + C_{12}^{\text{source}}.
\]

The loss term takes the form of the ansatz Eq. (56) but with a $k$ dependent relaxation rate,
\[
C_{12}^{\text{loss}}(k, z) = -\Gamma_{12}(k, z) f_{12}(k, z)
\]
\[
= -\Gamma_{12}(k, z) n_B(\omega_{12}) (1 + n_B(\omega_{12})) \delta f_{12}(k, z),
\]
where
\[
\Gamma_{12}(k, z) = \frac{g_*}{1 + n_B(\omega_{12})} \int \frac{d^3k'}{(2\pi)^3} \times \left\{ (Y_{11}^1 + Y_{12} Y_{21}) [R_{1211}^{\text{out}}(k, k')(1 + n_B(\omega_{k'}^1)) + R_{1211}^{\text{out}}(k, k') n_B(\omega_{k'}^1)] \\
+ (Y_{22}^2 + Y_{12} Y_{21}) [R_{1222}^{\text{out}}(k, k')(1 + n_B(\omega_{k'}^2)) + R_{1222}^{\text{out}}(k, k') n_B(\omega_{k'}^2)] \right\}.
\]

Meanwhile, the “gain” term is
\[
C_{12}^{\text{gain}}(k, z) = g_* \int \frac{d^3k'}{(2\pi)^3} \sum_{i=1}^{2} \times \left\{ R_{1212}^{\text{out}}(k, k') n_B(\omega_{k'}^i) [1 + n_B(\omega_{k'}^i)] \left[ \frac{Y_{11} Y_{22}}{1 + n_B(\omega_{k'}^i)} \delta f_{12}(k') + Y_{12}^2 \delta f_{21}(k') \right] \\
- R_{1212}^{\text{ann out}}(k, k') n_B(\omega_{k'}^i) n_B(\omega_{k'}^i) \left[ \frac{Y_{11} Y_{22}}{1 + n_B(\omega_{k'}^i)} \delta f_{12}(k') + Y_{12}^2 \delta f_{21}(k') \right] \right\}.
\]

and the “source” term is
\[
C_{12}^{\text{source}}(k, z) = g_* Y_{12} \int \frac{d^3k'}{(2\pi)^3} \sum_{j=1}^{2} \left\{ \delta f_{jj}(k') \right\} \times \left\{ R_{1212}^{\text{out}}(k, k') n_B(\omega_{k'}^i) [1 + n_B(\omega_{k'}^i)] \left[ \frac{Y_{11} Y_{22}}{1 + n_B(\omega_{k'}^i)} \delta f_{12}(k') - \delta f_{1i}(k) \right] \\
- R_{1212}^{\text{ann out}}(k, k') n_B(\omega_{k'}^i) n_B(\omega_{k'}^i) \left[ \frac{Y_{11} Y_{22}}{1 + n_B(\omega_{k'}^i)} \delta f_{12}(k') + \delta f_{ji}(k) \right] \right\}.
\]

so named since deviations of diagonal distributions of equilibrium act as a source for $f_{12}$ through a nonzero off-diagonal coupling $Y_{12}$.

The ansatz Eq. (56) misses the effects of both the collisional gain and source terms. In the limit of flavor-blind interactions, $y_L = y_R = y$, we have $Y_{12} = 0$ and $Y_{11,22} = y$, so the source terms vanish. However, a part of the gain term still remains. The remaining terms (so-called “noise terms” in [12]) are often neglected by assuming $\delta f(k')$ to be a randomly fluctuating variable, causing the integral over $k'$ to be suppressed relative to the loss term. Here a similar suppression may happen because of oscillations of $\delta f_{12}$ in

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both $k'$ and $z$ with frequency $\omega_1 - \omega_2$, but there is otherwise no a priori reason to drop these terms.

In the comparison shown in Fig. 7 which uses the baseline parameters of Table I, it is the case that $Y_{12} < Y_{11,22}$, but still nonzero. Thus the approximate solution using the ansatz Eq. (56) for the collision term misses the collision-induced source Eq. (B6) for $f_{12}$. This is one likely cause of the smaller normalization of the approximate solution even in the adiabatic regime where Eq. (59) is otherwise valid.

**APPENDIX C: POWER COUNTING THE OFF-DIAGONAL DISTRIBUTION**

In Sec. V B, we argued that the $f_{12}$ in Eq. (59) is actually $O(\epsilon_{\text{wall}}^0)$, not $O(\epsilon_{\text{wall}})$ as argued in [8], and therefore could not be neglected in the source term Eq. (53). However, since in our power counting $f_{12}$ is still $O(\epsilon_{\text{osc}})$ [see Eq. (58)], it is fair to ask why we still do not neglect the terms containing $f_{12,21}$ in Eq. (53) since they become $O(\epsilon^2)$. The reason is that, by power counting $f_{ij}$ this way, every component of the source term Eq. (53) becomes $O(\epsilon^2)$. The diagonal and off-diagonal components are all the same order and should all be kept. Counting consistently, the leading nontrivial terms in the kinetic equation become $O(\epsilon^2)$. Deviations of $f$ from equilibrium can be counted as one power of $\epsilon$. Then the oscillation term is $\epsilon_{\text{osc}} \epsilon$, the source term is $\epsilon_{\text{wall}} \epsilon$, and the collision term is $\epsilon_{\text{int}} \epsilon$. So the derivative $\partial_x$ on the left-hand side of the kinetic equation always brings down at least one $\epsilon$ when acting on $f$, and the whole kinetic equation begins (nontrivially) at $O(\epsilon^2)$. The upshot is that, counting consistently at this order, the full coupled matrix structure of the kinetic equation must be kept.

This exercise in power counting also tells us that there is a regime in which the solution, Eq. (59), from Ref. [8] is a relatively good approximation for $f_{12}$. In the adiabatic regime, when $L_w \gg L_{\text{osc}}$, the factor $\exp(-i\Delta \omega z''')$ cuts off the range of integration to be of order $L_{\text{osc}}$ (over a larger region, oscillations average $f_{12}$ out to zero). Then $f_{12}$ in Eq. (59) is order

$$f_{12} \sim \text{[range of integration]} \times \Sigma_{12} \times [n_B(\omega_1) - n_B(\omega_2)]$$

$$\sim \frac{1}{\epsilon_{\text{osc}}} \times \epsilon_{\text{wall}} \times \epsilon_{\text{osc}}$$

$$= \epsilon_{\text{wall}},$$

which is consistent with Ref. [8]. This is just the part of $f_{12}$ sourced by the equilibrium diagonal distributions (call it $f_{12}[n_B]$). One can show the deviations $\delta f_{11,22}$ of the diagonal distributions from equilibrium sourced by $f_{12}[n_B]$ are then order $\epsilon_{\text{wall}}(\epsilon_{\text{wall}}/\epsilon_{\text{osc}})$, which then feeds back to source an additional part of $f_{12}$ (call it $f_{12}[\delta f]$) of order $\epsilon_{\text{wall}}(\epsilon_{\text{wall}}/\epsilon_{\text{osc}})^2$. Since $\epsilon_{\text{wall}} \ll \epsilon_{\text{osc}}$ in the adiabatic regime, these additional deviations are suppressed relative to $f_{12}[n_B]$. So Eq. (59) should be a good approximation for $f_{12}$ in the adiabatic regime. However, as explained in Sec. V B, one should not decouple $f_{12}$ from the evolution of the diagonal densities in the source Eq. (53), even though $\delta f_{11,22}$ are formally $O(\epsilon_{\text{wall}})$ in this regime. Neglecting this source, and thereby neglecting deviations from equilibrium in $f_{ii}$, precludes the existence of diffusion and therefore grossly underestimates the total charge in the unbroken phase.

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