Quantum interference and phonon-mediated back-action in lateral quantum-dot circuits

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Spin qubits have been successfully realized in electrostatically defined, lateral few-electron quantum-dot circuits1–4. Qubit readout typically involves spin to charge information conversion, followed by a charge measurement made using a nearby biased quantum point contact (QPC). It is critical to understand the back-action disturbances resulting from such a measurement approach5,7,8. Previous studies have indicated that QPC detectors emit phonons which are then absorbed by nearby qubits9–13. We report here the observation of a pronounced back-action effect in multiple dot circuits, where the absorption of detector-generated phonons is strongly modified by a quantum interference effect, and show that the phenomenon is well described by a theory incorporating both the QPC and coherent phonon absorption. Our combined experimental and theoretical results suggest strategies to suppress back-action during the qubit readout procedure.

The back-action process considered in this paper involves deleterious inelastic tunnelling events between two adjacent dots in a serial double or triple quantum dot (DQD, TQD). The energy difference Δ between the initial and final electronic dot states is provided by the absorption of a non-equilibrium acoustic phonon, which itself is generated by the quantum point contact (QPC) detector12. Such an absorption process between adjacent dots is constrained by the energy conservation condition Δ = ħq|vp| where vp is the sound velocity, q the phonon wave vector. More subtly, it is also sensitive to the difference in phase, Δϕ = d • q, of the associated phonon wave between the two dot positions, with d being the vector connecting the two dot centres14,15. This q-dependent (and hence Δ-dependent) phase difference controls the matrix element for phonon absorption because it determines whether the electron–phonon couplings in each of the two individual dots add constructively or destructively (Fig. 1 and ref. 16). The result is an oscillatory probability for inelastic electron-transfer events involving phonon absorption, with constructive interference occurring when Δϕ = (2n + 1)π (where n is an integer).

Data showing a pronounced back-action effect are shown in Fig. 2a, which shows the stability diagram measured in charge detection for a few-electron DQD without a voltage drop between its left and right leads. The charge configuration of the quantum-dot structures influences the conductance of a nearby QPC because of the capacitive coupling between the dots and the QPC. To serve as a charge detector it is necessary to drive a current through the detector QPC, which, in turn, leads to the observed detector back-action. Multiple gates fabricated 85 nm above a high-mobility two-dimensional electron system (2DES) are used to define two dots and two QPCs (Fig. 2d). The differential transconductance dI/QPC/dVQPC of the biased charge detector QPC (VQPC = −1.2 mV) is plotted as a function of control gates VL, VR. It shows local extrema at the boundaries between regions of different electronic ground states, yielding dark ‘charging’ and white ‘charge transfer’ lines. Specific ground state configurations are labelled (Nε, Nβ), where the integer Nε denotes the number of electrons in dot ε (left) and R (right). As our DQD is cooled to T ≈ 30 mK the unmeasured DQD is expected to be in its ground state.

Detector back-action manifests itself within a distinct triangular-shaped region of deviations from the ground state configuration (1, 2), where a pronounced pattern of repeated, parallel stripes is present. It indicates an oscillating probability to find the DQD in the excited configuration (1, 1). The excitation process sketched in Fig. 2c includes an inelastic tunnelling transition (1, 2) → (1, 1) mediated by the absorption of a phonon, followed by an elastic (and therefore quick) tunnelling process (2, 1) → (1, 1). In our measurements, the tunnel barrier between the right dot and right lead is tuned to be almost closed (Fig. 2c, d). The direct transition (1, 1) → (1, 2) back into the ground state via an elastic tunnelling process from the right lead is consequently very slow and the excited configuration (1, 1) is metastable. The associated three-level dynamics can result in average non-thermal occupations15. In this way a metastable excited state is essential to directly observe detector back-action in a low-bandwidth stability diagram measurement. It requires asymmetric dot–lead tunnel couplings in the case of a DQD (Supplementary Information).

The stripe pattern constitutes the key signature of the coherent phonon-mediated back-action effect. It indicates that the probability to be in the excited configuration (1, 1) oscillates as a function of the energy detuning Δ between the intermediate state (2,1) and the ground-state configuration (1, 2) (Fig. 2b); each stripe is thus parallel to the white charge transfer line where these states are degenerate (that is, Δ = 0; marked). The striped region is bounded by a line at Δ = Δmax indicating that there is a maximum energy available to excite the DQD. By seeing how this boundary changes with increasing VQPC (Fig. 2c, d), we find that Δmax ≃ |εVQPC|, consistent with the QPC indeed being the energy source for the initial DQD excitation.

The geometry of the back-action regions as well as the influences of temperature and the orbital excitation spectrum are discussed in the Supplementary Information. In short,

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Figure 1 | Interference in quantum dot-phonon interactions. 

a. The back-action charge fluctuations of a QPC (right) used to measure quantum dots generates locally non-equilibrium phonons (yellow and red lines); phonons emitted in the correct direction (red) can travel from the QPC to the dots and excite them from their ground state. In a semiclassical picture, the displacement wave associated with an excited phonon mode will have a maximal effect when it is exactly out of phase at the two dot sites (as shown in green), as it will cause an oscillation in the effective energy detuning between the dots. The relative phase of the wave between the dots is \( \Delta \varphi = q \cdot d \), where \( q \) is the phonon wave vector, and \( d \) is the vector connecting the two dot centres; constructive interference occurs when \( \Delta \varphi = (2n+1)\pi \), with \( n \) an integer. In contrast, a minimal effect is expected when the displacement wave is in phase at the two dot sites (as shown in black).

b. In a fully quantum description, absorption of a single phonon of wave vector \( q \) can occur via either the right or left dot; the amplitudes for each process add coherently to determine the final excitation probability. As ground and excited states can have different electronic probability distributions (indicated in grey), excitation leads to a measurable change in the current through the QPC charge detector. The right barrier is very opaque (thick vertical line) to suppress tunnelling between the right dot and the right lead. The blue arrow indicates the excitation and the grey arrow indicates the charge transfer between dots.

c. Schematic showing the two interfering processes for absorption of back-action-generated phonons (red lines) by a DQD. The relative phase between the amplitude of each process is \( \Delta \varphi \) (see equation (1)). Note that, in a given transition, the magnitude of \( q \) is determined by the energy splitting \( \Delta \) between ground and excited states, whereas the direction of \( q \) is largely determined by the placement of the QPC with respect to the DQD axis. Note that an analogous interference effect involving photon absorption is not possible in our system, as the wavelength of a resonant photon would far exceed the size of the nanostructure.

the remaining boundaries of the triangular-shaped regions of back-action correspond to energy thresholds for lead tunnelling. The width of each stripe is largely independent of temperature; this is indicative of an excitation process involving electron transfers between dots, without any involvement of lead electrons (Figs 2c and 3e,f). The regular spacing of the stripe features in both DQD and TQD (discussed below) experiments over so many stripes eliminates the possibility that they are due to resonances with orbital

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excitations of a dot, as there is no reason to expect such a uniform level spacing; furthermore, the energy spacing between the stripes is much smaller than would be expected for the average level spacing of the small dots studied here.

To quantify the interpretation of the stripe pattern in Fig. 2 in terms of interference and QPC back-action, we have developed a theoretical model that describes the generation of phonons by the non-equilibrium QPC charge fluctuations⁸ and their coherent absorption by the DQD. These fluctuations represent the fundamental back-action of the measurement—its magnitude is bounded from below by the rate at which information is obtained from the QPC via a Heisenberg-like inequality⁹. Given this, the back-action charge noise mechanism we describe must necessarily make a contribution to the observed oscillations. This mechanism is also consistent with the high visibility of the oscillations, as such visibility requires a highly localized source of hot phonons. Although we cannot completely rule out that other, less direct, back-action mechanisms also contribute (for example, generation of hot phonons in the QPC leads), it is not clear that such mechanisms would also yield such high-visibility oscillations. We

**Figure 2 | Interference in back-action in a DQD.** a, Charge stability diagram of a DQD at \( V_{QPC} = -1200 \, \mu V \), showing the differential transconductance \( \partial I_{QPC}/\partial V_L \) (in arbitrary units) numerically derived from the d.c.-current \( I_{QPC} \) as a function of the voltages applied to gates ‘L’ and ‘R’. The number of electrons occupying the left and right dots is shown as \((N_L, N_R)\) for ground state configurations. At the charging lines (dark; local transconductance minima) the overall charge \( N_L + N_R \) changes by one electron and at the charge transfer lines (white, local maxima) one electron moves between the dots. A triangle of back-action contains regular stripes which correspond to oscillations between the metastable configuration \((1,1)\) and the ground-state configuration \((1,2)\). Sketch of a possible back-action-induced excitation process \((1,2) \rightarrow (2,1) \rightarrow (1,1)\) for \((1,2)\) being the ground state configuration and the right tunnel barrier being closed (thick black vertical beam). d, Scanning electron micrograph of the sample structure, [110] direction marked by an arrow. e, Three charge stability diagrams for \( V_{QPC} = -700 \, \mu V, V_{QPC} = -900 \, \mu V, \) and \( V_{QPC} = -1400 \, \mu V \). The blue lines enclose the total striped area, which increases proportionally to \( V_{QPC} \). The dashed lines in a, b, and e correspond to \( \Delta = |eV_{QPC}| \). f, Detuning \( \Delta_{max} \) corresponding to the size of the striped triangle as a function of \( V_{QPC} \) for two different series of measurements for differently tuned DQD systems (data in e belong to the blue circles). The error bars contain both the uncertainty of determining the voltage-to-energy conversion (Methods) as well as the error in triangle size from the measured data. The straight line denotes \( \Delta_{max} = |eV_{QPC}| \).
describe bulk acoustic phonon modes of GaAs interacting with both electrons in the DQD, as well as with the fluctuating charge density of the biased QPC via a screened piezoelectric interaction. Using Keldysh perturbation theory, we can calculate the DQD state in the presence of back-action (Fig. 4 and Supplementary Information). The relevant part of the dot–phonon interaction (that is, terms that can cause transitions in the dot) take the form:

$$\hat{H}_{\text{int}} = \frac{t_i}{\Delta} \sum_{\mathbf{q}, \mu} \lambda_{\mathbf{q}, \mu} \left( e^{i \mathbf{q} \cdot \mathbf{r}_i} - e^{-i \mathbf{q} \cdot \mathbf{r}_0} \right) \left( a_{\mu, \mathbf{q}} + a_{\mu, \mathbf{q}} ^{\dagger} \right) \left( |g\rangle \langle e| + \text{h.c.} \right)$$  (1)

Here $|g\rangle$ ($|e\rangle$) denotes the DQD ground (excited) state, $t_i$ is the pertinent interdot tunnel matrix element, $a_{\mu, \mathbf{q}}$ ($a_{\mu, \mathbf{q}} ^{\dagger}$) destroys (creates) a phonon of wavevector $\mathbf{q}$ in branch $\mu$, $\lambda_{\mathbf{q}, \mu}$ is the effective matrix element (screened) for the interaction of phonons with a single dot and h.c. denotes the Hermitian conjugate. The first bracketed factor in the sum of equation (1) denotes the key interference of relevance: the two terms correspond to phonons interacting with electrons in either the left or right dot (which are centred at $\mathbf{r}_i$ and $\mathbf{r}_0$ respectively) (Fig. 1b).

Despite the explicit interference evident in equation (1), geometric averaging can still strongly suppress interference oscillations in observable quantities. Simply put, although the DQD ground-excited energy splitting fixes the magnitude of a phonon participating in an inelastic tunnelling event, it does not specify its direction; hence, the relative phase in the first term of equation (1) is not completely determined by $\Delta$. This is typically the case in situations probing the emission of acoustic phonons by biased DQDs, where interference oscillations are observed, albeit with much smaller visibilities than seen here. In contrast, the simple geometric filtering depicted in Fig. 1a suggests that this averaging need not play a role in phonon absorption, as only phonons travelling from the QPC to the dots contribute. This is supported by our theoretical calculations, which also exhibit strong oscillations for realistic parameter values, and show a pronounced enhancement of interference oscillations when the DQD and QPC are all collinear (Fig. 4a–c).

The theory is also able to capture other aspects of the experimental data: in particular, the size of the back-action triangle grows with $|V_{\text{QPC}}|$, and the lowest-energy stripes (that is, the smallest values of $\Delta$ corresponding to long phonon wavelengths) are suppressed because of screening effects (see Fig. 4b). Using the fact that in the experiment the QPC and DQD are approximately collinear, the measured spacing of the interference parameter $\delta \Delta = 45 \text{ meV}$ in the DQD data of Fig. 2d yields a DQD separation $d = \hbar \nu / \delta \Delta = 250 \text{ nm}$; this is in good agreement with the separation estimated from scanning electron micrographs (Fig. 2d). The theory also shows that owing to the anisotropy of the electron–phonon matrix elements $\lambda_{\mathbf{q}, \mu}$, the overall magnitude of the phonon-induced back-action is sensitive to the orientation of the dot–QPC axis with
Figure 4 | Theoretical results. a, DQD stability diagram calculated using the theory described in the main text, which describes the emission of acoustic phonons by the non-equilibrium QPC charge fluctuations, and their coherent absorption by the double dot. Plotted is the derivative of the weighted average DQD charge \( \langle n_L \rangle + e \langle n_R \rangle \) (see Methods) with respect to the gate voltage \( V_i \) in the DQD experiment, as a function of the gate voltages \( V_i \) and \( V_R \) (see Fig. 2); this is proportional to the measured transconductance of the QPC. Parameters are taken to be the same as those in the DQD experiment; in particular, the dots and QPC are taken to be collinear and aligned with the [110] crystallographic axis. For this orientation, one finds that the so-called ‘fast transverse’ acoustic phonon mode\(^{24}\) makes the dominant contribution. Pronounced stripe patterns are seen, similar to the experiment. b, A cut of the calculated stability diagram in a, along the indicated red line. The suppression of oscillations at low values of ground-state-excited state energy detuning \( \Delta \) is the result of screening, and is consistent with experiment. The oscillations are cut off at \( \Delta = \Delta_{\text{max}} \sim |eV_{\text{QPC}}| \), also in agreement with experiment. c, Calculated stability diagram for identical parameters as a, except that the QPC is not collinear with the two dots (the QPC–dot axis is rotated 70° from the dot–dot axis d). The result is a suppression of the back-action-induced stripe pattern. d, Calculated stability diagram for identical parameters as a, except that the orientation of the DQD–QPC axis is now rotated 20° from the [110] direction. The resulting suppression of interference is due to the anisotropy of electron–phonon interactions: the effective electron–phonon interactions are weaker in this direction. The change in orientation also causes the ‘slow transverse’ acoustic phonon mode to contribute, yielding a second, high-frequency oscillation. Insets in c and d show cuts through the stability diagram of the transconductance along the same line indicated in red in a.

respect to crystallographic axes. This dependence on orientation is demonstrated in Fig. 4d. More details on the theoretical treatment is provided in Methods and Supplementary Information.

Although we have focused so far on back-action in DQDs, the mechanism we describe is extremely general, and is in fact even more ubiquitous in systems with more than two dots. As discussed, a key requirement to see the effect is the existence of a long-lived metastable excited state. Such a situation occurs rather naturally in serial TQD structures\(^{19-23}\), as the centre dot is effectively decoupled from one of the leads whenever either one of the other two dots (left, right) is in Coulomb blockade. This directly yields a metastable excited state in which the charge of the middle dot is unable to relax. As a consequence deviations from the ground state configuration are often observed along the charging line of the centre dot\(^{26}\) and back-action effects occur naturally in the stability diagram. We study detector back-action in a TQD in Fig. 3 by successively increasing \( |eV_{\text{QPC}}| \). Already at relatively small bias \( |V_{\text{QPC}}| \leq 300 \mu\text{V} \) (Fig. 3a,b), a triangular-shaped region of telegraph noise is observed along the central charging line\(^{29}\). It indicates slowly fluctuating deviations from the ground state configuration, which can be caused by external noise or detector back-action\(^{19}\). The underlying excitation processes, sketched in Fig. 3c,f, are similar to the one discussed above for the DQD. Indeed, the population of the right dot does not fluctuate; it plays the same role as the closed barrier in the case of the DQD, namely to block charge exchange between the centre dot and the right lead. Further increasing \( |V_{\text{QPC}}| \) to 500 μV in (Fig. 3c) reveals the familiar pattern of equally spaced stripes, both within the \((1,0,0)\) and \((0,1,1)\) regions. As the bias is increased even more to \( |V_{\text{QPC}}| = 700 \mu\text{V} \) (Fig. 3d) the striped regions expand further, revealing the \( V_{\text{QPC}} \) dependence also observed in the case of the DQD (Fig. 2f).

By considering experimental data on both DQD and TQD systems, we have demonstrated that interference can strongly affect the phonon-mediated back-action generated by a QPC in quantum-dot circuits. Furthermore, we have shown that this effect is well described by a basic theoretical model incorporating the generation of phonons by the QPC detector and their coherent absorption by the dots. Our study suggests the possibility of
mitigating back-action effects by making use of this interference. One could, for example, endeavour to first tune the DQD/TQD to an operating point where destructive interference suppresses phonon absorption, and only then energize the QPC to make a measurement. More complex schemes that also incorporate the anisotropy of the electron–phonon interaction with respect to crystallographic axes could potentially yield even greater back-action reduction. Because the piezoelectric coupling to in-plane phonons is maximized in the (110) directions\(^2\), by aligning the QPC–DQD axis away from these directions, one could appreciably decrease the phonon-mediated back-action excitation discussed here (for example, Fig. 4a versus Fig. 4d).

Methods

Experiment. The samples were fabricated from GaAs/AlGaAs heterostructures containing 2DEGs 100 nm (TQD) and 85 nm (DQD) below the surface, respectively. The 2DEGs are characterized at cryogenic temperatures by carrier densities of \(n_\text{C} = 2.1 \times 10^{11} \text{ cm}^{-2}\) and \(n_\text{C} = 1.9 \times 10^{11} \text{ cm}^{-2}\) with mobilities of \(\mu = 1.72 \times 10^5 \text{ cm}^2 \text{Vs}^{-1}\) and \(\mu = 1.9 \times 10^5 \text{ cm}^2 \text{Vs}^{-1}\) for the TQD and DQD, respectively. Metallic gate electrodes were fabricated on the sample surface by electron-beam lithography and standard evaporation/lift-off techniques. Negative voltages applied to these electrodes are used to locally deplete the 2DEGs and thereby define the quantum dot and QPC structures. All measurements were performed in dilution refrigerators at cryogenic temperatures below 100 mK. To detect the charge configuration of the TQD, the voltage of one gate of the TQD was slightly modulated, and the detector differential transconductance was measured in linear response (a.c. set-up). A constant voltage was also applied across the QPC to enhance detector back-action. In the case of the DQD only a constant voltage was applied across the QPC and the direct current \(I_\text{DQD}\), flowing through the QPC was measured to detect the charge configuration of the DQD (d.c. set-up). The differential transconductance \(dI_\text{DQD}/dV_\text{DQD}\) was then computed numerically. Both methods result in the differential transconductance and their interpretation is identical.

To interpret the observed back-action in terms of the energy detuning \(\Delta\) between different charge configurations an accurate conversion from gate voltages to units of energy is necessary. Such a linear transformation has been performed, following the methods described elsewhere\(^3\). The conversion relation reads \(\Delta = (\alpha_{\text{K}}^L - \alpha_{\text{K}}^R) V_L + (\alpha_{\text{R}}^L - \alpha_{\text{R}}^L) V_R\), with the following set of conversion factors determined for the red symbols in Fig. 2f: \(\alpha_{\text{K}}^L = (105 \pm 4) \text{meV}\), \(\alpha_{\text{K}}^R = (62 \pm 4) \text{meV}\), \(\alpha_{\text{R}}^L = (90 \pm 5) \text{meV}\). The conversion factors related to the blue symbols in Fig. 2f read \(\alpha_{\text{K}}^L = (65 \pm 6) \text{meV}\), \(\alpha_{\text{K}}^R = (109 \pm 7) \text{meV}\), \(\alpha_{\text{R}}^L = (61 \pm 6) \text{meV}\), \(\alpha_{\text{R}}^R = (97 \pm 7) \text{meV}\).

Theory. The fluctuating non-equilibrium QPC charge density operator \(\hat{\rho}(\tau)\) is modelled as \(\hat{\rho}(\tau) = f(\tau)\hat{Q}\), where the total charge operator \(\hat{Q}\) is described by scattering theory (see refs 8,26). Note that as we are interested in a single-channel QPC, the spatial profile \(f(\tau)\) of the fluctuating QPC charge density is fixed; for simplicity, we take it to be a Gaussian of width \(\tau_{\text{QPC}}\). This fluctuating QPC charge density is coupled to acoustic phonons via the standard piezoelectric interaction (using parameters appropriate for GaAs (ref. 24)). We calculate the Keldysh Green functions of the acoustic phonons in the presence of this coupling to the QPC, working to leading order in the electron–phonon interaction, and using scattering theory to calculate the QPC Keldysh Green functions. We then use these ‘dressed’ phonon Green functions to calculate the Fermi golden rule excitation rate of the DQD via the coupling described in equation (1). This excitation rate is finally incorporated into a master equation describing the occupation probability of the three relevant DQD states (Fig. 2c). In addition to the excitation rate (top panel of Fig. 2c), there is a rate \(I_\text{DQD}\) describing the tunnelling from the excited state to the metastable auxiliary state (middle panel of Fig. 2c) and a rate \(I_\text{DQD}^{\text{slow}}\) describing the slow decay back to the true ground state (bottom panel of Fig. 2c). We take \(I_\text{DQD} = 1\) GHz and \(I_\text{DQD}^{\text{slow}} = 10\) kHz; in this regime of \(I_\text{DQD} \gg I_\text{DQD}^{\text{slow}}\), the non-ground state population of the DQD is independent of \(I_\text{DQD}\), whereas \(I_\text{DQD}^{\text{slow}}\) determines the overall magnitude of the interference oscillations. By using the master equation to calculate the stability diagram as a function of gate voltages, one can obtain the DQD charge susceptibility \(d\langle n_\text{R}\rangle/d\langle n_\text{L}\rangle/dV_\text{DQD}\), which is proportional to the measured transconductance. The parameter \(\epsilon \approx 0.4\) characterizes the QPCs asymmetric response to charge in the R versus L dot. Further details about the explicit form of \(\alpha_{\text{K}}\) (including the role of screening and dimensionality) are provided in the Supplementary Information.

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Author contributions

D.T. fabricated the DQD samples, performed the DQD experiments and analysed the data. D.H. performed preliminary experiments on another DQD sample. A.K. fabricated the TQD sample. L.G., G.G., and S.S. performed the TQD experiments and analysed the data. P.Z. assisted in these experiments. D.S. and W.W. grew the heterostructures for the DQD samples; Z.R.W. optimized and grew the heterostructure for the TQD sample. D.T., L.G., C.E.Y., A.A.C., A.S.S. and S.L. wrote the paper. C.E.Y. and A.A.C. developed the theoretical model and supported both experimental groups. A.S.S. and S.L. fabricated the DQD samples, performed the DQD experiments and analysed the data. D.T. fabricated the DQD samples, performed the DQD experiments and analysed the data. D.H. performed preliminary experiments on another DQD sample. A.K. fabricated the TQD sample. L.G., G.G., and S.S. performed the TQD experiments and analysed the data. P.Z. assisted in these experiments. D.S. and W.W. grew the heterostructures for the DQD samples; Z.R.W. optimized and grew the heterostructure for the TQD sample. D.T., L.G., C.E.Y., A.A.C., A.S.S. and S.L. wrote the paper. C.E.Y. and A.A.C. developed the theoretical model and supported both experimental groups. A.S.S. and S.L. supervised the experimental collaboration from Ottawa and Munich. The experiments have been supervised collaboratively by A.S.S. and S.L.; the theoretical modelling was supervised by A.A.C.

Additional information

The authors declare no competing financial interests. Supplementary information is available online at www.nature.com/reprints. Correspondence and requests for materials should be addressed to S.L.