Backbone-free duplex-stacked monomer nucleic acids exhibiting Watson–Crick selectivity

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We demonstrate that nucleic acid (NA) mononucleotide triphosphates (dNTPs and rNTPs), at sufficiently high concentration and low temperature in aqueous solution, can exhibit a phase transition in which chromonic columnar liquid crystal ordering spontaneously appears. Remarkably, this polymer-free state exhibits, in a self-assembly of NA monomers, the key structural elements of biological nucleic acids, including: long-ranged duplex stacking of base pairs, complementarity-dependent partitioning of molecules, and Watson–Crick selectivity, such that, among all solutions of adenosine, cytosine, guanine, and thymine NTPs and their binary mixtures, duplex columnar ordering is most stable in the A-T and C-G combinations.

Significance

The columnar liquid crystal phases reported here are physical associations of the smallest molecular species to self-assemble into the duplex base-paired stacked columnar double-helical structures of nucleic acids. These assemblies of monomers can provide starting states capable of partitioning appropriate molecules from solution with a high degree of selectivity, acting as pathways for the prebiotic appearance of molecular selection, self-assembly, and, ultimately, of the sequence-directed assembly of polymers.

The authors declare no conflict of interest.

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motifs that favor only pairing, although their isotropic solutions exhibit features indicative of local (short-ranged) base pairing and stacking (31–39).

**Results**

**Liquid Crystal Ordering of NTPs in Aqueous Solution.** We report here the duplex [COL chromonic HSA and LC ordering of the mononucleoside triphosphates, chosen over other mononucleoside species because of their enhanced aqueous solubility and potential for ligation provided by the triphosphate tail (40)]. The molecules investigated were the DNA mononucleoside triphosphates dATP, dCTP, dGTP, dTTP, and dUTP, and the RNA mononucleoside triphosphates rATP, rCTP, rGTP, rTTP, and rUTP. LC phase behavior in aqueous solution and the structure of the LC COL ordering was systematically studied by polarized transmission optical microscopy (PTOM) and X-ray diffraction (XRD) vs. temperature $T$, and NTP anion concentration, $c$ (mg/mL) (SI Appendix).
Typical results for dATP/dTTP (AT) mixtures at a 1:1 molar ratio are shown for PTOM in Fig. 1H and for XRD in Fig. 2 and SI Appendix, Fig. S7 D, and E. The birefringent fan textures of the AT mixtures in Fig. 1H, which appear for \( c > \sim 800 \text{ mg/mL} \) (NTP volume fraction \( \eta \sim 0.6 \)) are characteristic of the hydrotropic uniaxial COL ordering of long B-DNA (Fig. 1D) and of oligomeric B-DNA (Fig. 1F). The COL self-assembly in each case (Fig. 1 E, C, and G) allows only bend deformation of the director field \( \mathbf{n}(r) \), taken to be along the stack axis (Fig. 1F). Absence of stripes parallel to \( \mathbf{n}(r) \) indicated that the COL phase is uniaxial and therefore with the columns packed on a hexagonal lattice.

XRD is an effective probe of the structure of the partially ordered LC states of NA polymers (3, 41) and oligomers (18) in the regimes of high concentration, exhibiting peaks at wavevector \( q_U = 2\pi/L_U \), giving the molecular base-stacking periodicity of \( L_U \sim 3.3 \text{ Å} \), and wavevector \( q_h = 4\pi/\sqrt{3} a_h \), giving the intercolumn separation in the hexagonal lattice of the COL ordering (23 \( \AA < a_h < 33 \text{ Å} \)). Fig. 2 A and C shows an example of this behavior for the COL LC phase of the self-complementary nanoDNA dodecamer 5’GGCGCTTAAGGCGC3’ (dD1). Fig. 2 B and D show that in the dATP/dTTP monomer mixtures, LC ordering is heralded in XRD by (i) a peak at \( q_U \sim 1.88 \text{ Å}^{-1} \), indicating that \( L_U \sim 3.34 \text{ Å} \), independent of concentration \( c \), the same, within uncertainty, as that of B DNA; and (ii) a single peak reflection at \( q_h \sim 0.3 \text{ Å}^{-1} \), indicating that \( L_h \sim 24 \text{ Å} \), which depends on \( c \). This measurement of \( L_U \) and \( a_h \) enables calculation of effective molecular volume (42) and geometry, which, in turn, enables the determination of the multiplicity of bases in the unit cell in the COL chromonic stacks, as detailed in SI Appendix, sections S2 and S3 and Fig. S8. The X-ray results indicate that the dATP/dTTP (AT) columns are unambiguously chromonic duplex stacks, which suggests the structural analogy presented in Fig. 1 E, C, G, and I, and associates the uniaxial hexagonal COL phase textures with duplex COL ordering in long DNA, DNA oligomers, and dATP/dTTP.

Textural observations of phase behavior were made on a variety of aqueous mixtures of dNTPs, as summarized in Figs. 3 and 4. Birefringent COL LC domains were observed in the 1:1 dCTP/dGTP (CG), and in 1:1:1:1 dATP/dCTP/dAGP/dTTP (ACGT) mixtures, exhibiting PTOM characteristics similar to those discussed for the AT mixtures in Fig. 1H. XRD analysis of the COL phase in 1:1 dCTP/dGTP mixtures confirm a structure of duplex columns formed by stacked pairs of bases, for the dATP/dTTP mixtures. On the other hand, the solutions of binary dNTP mixtures AC, AG, CT, GT, and the solutions of the single dNTP bases A, C, G, and T, exhibited no COL ordering under the range of conditions studied \( 100 °C > T > −20 °C \) and \( c < c_{\text{max}} = 1.350 \text{ mg/mL} \), the maximum achievable NTP anion concentrations (SI Appendix).

Among these solutions the binary mixtures AC, CT, and the single-base solutions A, C, and T exhibited only the isotropic (ISO) phase. However, all mixtures containing dGTP (those in the dGTP row and dGTP column as indicated with blue font in Fig. 3A), including the ones with only G, showed, for sufficiently large concentration \( c_{\text{GTP}} \), domains having birefringence similar to that of COL domains but with uniform LC director orientation (Fig. 3C), indicating a phase with positional ordering of neighboring columns sufficient to suppress the COL phase column curvature. This phase is denoted as COL2. The dCTP/dGTP (1:1) mixtures exhibited both the COL and COL2 phases, with only the duplex COL domains found for \( c < 800 \text{ mg/mL} \), and the COL2 domains dominating at higher concentrations \( c > 850 \text{ mg/mL} \). In addition to the COL peak, XRD of the 1:1 dCTP/dGTP mixtures show also a COL2 peak at smaller \( q \), consistent with scattering from hexagonal COL lattice having unit-cell dimension of a stacking of G-quadruplexes, as observed previously in guanosine nucleotide solutions (SI Appendix, Figs. S7 and S8) (43). The 1:1:1:1 dATP/dCTP/dAGP/dTTP mixtures also exhibited COL phase textures at temperatures comparable to those of the AT and CG (SI Appendix, Fig. S15).

Several rNTP and rNTP/dNTP binary mixtures were also investigated at temperatures \( T > −20 °C \), with the results summarized in the matrix of observations of the COL phase shown in SI Appendix, Fig. S16. Of note is the appearance of the COL phase in rCTP/rGTP and rATP/rTTP mixtures, but not rATP/rUTP mixtures. The latter absence is an observed break from the

**Fig. 2.** X-ray structure factors \( f(q) \) showing the periodicities in the COL LC phase due to the packing of duplex columns of chromonic aggregates and base stacking within the columns. (A) The d11 dodecamer, included for comparison purposes, forms chromonic COL stacks of blunt-end duplexes, which, at \( c = 374 \text{ mg/mL} \) order into coexisting uniaxial hexagonal COL and uniaxial nematic (NEM) phases. (B) Coexisting COL and ISO phases versus concentration \( c \) in the dATP/dTTP (1:1) mixture. These data are taken along the black box in SI Appendix, Fig. 39C. The diffuse peak in the ISO phase indicates short-ranged aggregation and packing of columns. The ISO peak is similar to that found in chromonic phases of organic dyes (85). (C and D) X-ray structure factors \( f(q) \) at large \( q \), showing the base-stacking periodicity in the chromonic aggregates forming COL LC phases. The base-stacking peak is at \( q_s = 1.88 \text{ Å}^{-1} \) in both dD1 and the NTP mixtures, corresponding to \( L_U = 3.34 \text{ Å} \). (B and D) Both the column ordering and base-stacking peaks disappear upon entering the ISO phase (green). (D) \( \sigma_{\text{ISO}} \) indicates the shot noise of the background level.
dNTP W-C pattern of finding the COL in pairs that are complementary in oligomeric DNA and not finding it in those that are not complementary. However, this behavior was further explored in the matrix with the indicated group of ATP/UTP and ATP/TTP mixtures of dNTP and rNTPs. These results indicate that the LC COL ordering is more stable with TTP than with UTP, and is more stable with dNTPs than with rNTPs. Thus, dTTP makes COL phases with both dATP and rATP, whereas dUTP makes COL phases with dATP but not rATP, and rUTP makes COL phase with neither. This hierarchy is consistent with the temperatures $T_o$ observed for thermal unbinding of DNA/RNA W-C homopolymer pairs: $(T_o)_{dATP} > (T_o)_{dATP} > (T_o)_{dATP}$ (44, 45).

Additional evidence for selective base pairing comes from the phase behavior of binary dNTP mixtures in mixtures of unbalanced relative mole fraction $x$ and constant $c$. $SI$ Appendix, Fig. S14 shows the $x$ dependence of the ISO-COL melting temperature $T_{IC}$ for dATP/dTTP at $c = 840$ mg/mL. The depression of $T_{IC}$ that is symmetric in $\delta x = x - 1/2$, the deviation from equimolarity, can be understood as a result of the contribution of the entropy of mixing to the ISO-COL transition free-energy difference.

Using this assumption and the melting entropy (46–48) gives a reasonable estimate of this depression ($SI$ Appendix), indicating the selectivity of the phase separation between a stoichiometrically balanced 1:1 COL LC domains and an A-rich isotropic phase.

W-C Selectivity. The core observation presented here is that long-range duplex COL LC ordering, characterized by base stacking and columns of dNTP base pairs, is found only in the AT and CG combinations, among all solutions of single A, C, G, and T nucleotide triphosphates and their binary mixtures. We refer to this pattern of LC ordering as “Watson–Crick selectivity” (W-C selectivity) since it matches the occurrence of W-C base pairing and duplex COL ordering of complementary NA polymer chains in dilute solutions. W-C selectivity so defined is also a feature of mixtures of nucleoside monomers with NA homopolymers, for example in the formation of duplexes of polyU with AMP, but not with CMP or IMP (15, 17), and in the formation of duplexes of polyC with GMP (16).

W-C selectivity in the NTPs indicates that these molecules have specific modes of base pairing and/or packing despite the enhanced freedom afforded by the absence of one or both polymer backbones. The diverse modes of NA pairing and stacking in the presence of backbones (reverse W-C, Hoogsteen, sugar edge, parallel chain, antiparallel chain, A-type, Z-type, duplex, triplex, quadruplex, etc.) are well documented. If chromonic COL LC polymorphism is any indicator (6–8), there is potentially even more variety possible in the absence of backbones, for example

Fig. 3. ACGT matrix summarizing the search for LC phases in aqueous solutions of dNTPs and their mixtures, showing a significant dependence on choice of base. (A) The matrix colors indicate the most ordered phase to appear for each base-pair combination for $T > -20$ °C. The COL phase appears in NTP solutions at high concentration only for pairs exhibiting W-C complementarity. Macroscopic phase ordering was evaluated by PTOM and internal structure by XRD. The general pattern of COL ordering is the self-assembly of COL chromonic stacks of H-bonded bases and the packing of the columns into two-dimensionally hexagonally ordered arrays, where the distinction between duplex and quadruplex stacking is made using XRD ($SI$ Appendix, Figs. S7 and S8). (A and B) Green shading indicates the binary solutions dATP/dTTP (AT) and dCTP/dGTP (CG), which exhibited uniaxial hexagonal duplex COL LC phases of chromonic stacks of base pairs. (A and B) Pink shading indicates the solutions which do not exhibit COL ordering, including the binary mixtures AC, AG, CT, and GT, and the single dNTP solutions A, C, G, and T. (C) Blue shading and the blue racetrack symbol indicate solutions containing dGTP, including G only, that exhibit, at higher concentrations of dGTP, domains of uniform internal orientation indicating a higher-ordered COL2 phase of chromonic stacks of H-bonded base quadruplexes. (D) Green shading indicates that the four-component equimolar mixtures, dATP/dCTP/dGTP/dTTP (ACGT), also exhibited COL domains at the concentrations studied (Fig. 4), probably due to CG phase separation and ordering. The appearance of duplex COL phases only for the binary mixtures AT and CG, which are the only mixtures of polymeric NA columns in solution to exhibit complementary W-C duplexing, is evidence for a W-C base-pairing motif in the COL LC phase. (Image width: 150 µm.)
flipping over every other molecule in a monomer stack (49), or every other pair in duplex stacks. W-C pairing is free-energetically favored in polymeric and oligomeric DNA, with the non–W-C arrangements coming into play as transient higher-energy states accessible via thermal fluctuation (50). Recalling the monomer/polymer systems (15–17), the extreme cooperativity of their hybridization transition (15) and FTIR studies show that the base-pairing selectivity of monomer/polymer mixtures that exhibit duplex stranding is W-C (16), indicating the persistence of the W-C pairing mode in the absence of one of the backbones (45). Therefore, although the freedom of organization of the stacks of monomers in the monomer/monomer systems should be greater than that of the polymer or monomer/polymer cases, W-C base pairing appears the best candidate to explain W-C selectivity in the totally backbone-free pairing and stacking condition of the NTPs, although our current structural data cannot distinguish among possible local structural motifs consistent with the measured $L_C$ and $a_h$.

A schematic of a proposed W-C arrangement of the ATP/TPP COL phase is shown in Fig. 1G. The arrangement of the hydrogen-bonded bases and the antiparallel stacking of the 5′-3′ direction of the ribose rings is similar to that of polymer B DNA. In B DNA the base stacking is helixed because of the bulkiness of the ribose and the phosphodiester bond, and the convenient length of the latter. While the phosphodiester bond is absent in the NTP duplex stacks, the triphosphate group, having a molecular volume (~400 Å$^3$ per base) that cannot be accommodated in any simple vertical stacking, drives, along with the ribose, the tendency for adjacent base pairs to a nonzero relative azimuthal orientation about the helix axis, $z$ (Fig. 1G). The ribose chirality biases this relative orientation of bases: (i) toward helixing; and (ii) toward the antiparallel orientation of the two 3′-5′ ribose directions at a given level, making them symmetrically related by a rotation about $x$ and therefore of the same handedness (Fig. 1G), which, as in polymer DNA, is required if the molecular conformations in the helical windings of the two phosphodiester/ribose chains are to be of the same average configurational structure. We thus propose a local structural organization very similar to that of the W-C of dilute polymeric duplex DNA, the double helix with triphosphates replacing the phosphodiester bonds of the polymer, as drawn schematically in Fig. 1G. In any case, whatever the details of the base-pairing geometry, the W-C selectivity indicates that the COL LC ordering is a sensitive selection tool, capable on its own of stabilizing certain base-paired duplex DNA structures and destabilizing others in both the concentrated COL LC phase of monomers and the dilute duplex polymer chains.

**Comparative Phase Behavior of NA Oligomers and Monomer Nucleotides.** The optical and X-ray data enable the construction of LC phase diagrams for the two stoichiometrically balanced dATP/dTTP (1:1) and dCTP/dGTP (1:1) mixtures, shown in Fig. 4 and SI Appendix, Fig. S9, and their comparison with those of the four-component mixture, dATP/dCTP/dGTP/dTTP (1:1:1:1) and of a variety of previously studied complementary nanoDNA oligomers: DNA dodecamer 5′GCGCTTAAGCGC3′ (dD1); 5′ triphosphorylated DNA dodecamer TP-5′GCGCTTAAGCGC3′ (TPdD1); and the DNA 4mers dATTA and dGCCG.
(23). Fig. 4 is a summary of these phase diagrams, with the curves indicating the upper temperature limits of LC ordering, dD1 and TPdD1 are compared, which shows that oligomeric NAs can LC order in the presence of the extra charges and steric constraint of a terminal triphosphate, although with a reduced attractive interaction energy (SI Appendix). General trends evident in these data are (i) Solutions of single-bases NTPs do not exhibit LC ordering for \( T > -20 \, ^\circ C \). (ii) Larger H bonding and stacking free energy makes the COL phase more stable (CG vs. AT). This effect is well-known in long NAs (22), has been confirmed in the short oligomers (23), and is evident in the dATTA and dGCGG curves. Remarkably, the same trend persists even in the chromonic HSA of COL stacks of dNTPs, a further, strong indication that pairing of the monobase NTPs in the COL LC phase takes place through a W-C geometry. (iii) The phase boundaries move to lower c and higher T with increasing oligomer length, indicating that LC phase stability is correspondingly enhanced by polymerization. Blunt-end self-cDNA oligomers in the length range \( 6 < n < 20 \) duplexes exhibit similar behavior (18). (iv) For a given molecule LC stability is enhanced by increasing c, the behavior typical of chromonic LCs (6–8). (v) Triphosphate on the end of duplex chains destabilizes the COL phase. (vi) H bonding and stacking free energy is substantially larger in the G-quartet columns than for the duplex columns. (vii) LC stability extends to lower c in the GCGC and ATTA tetramers, systems with base overhangs. (viii) For the oligomers stabilized by blunt-end stacking and the NTPs, there is a relatively sharp cutoff concentration that increases strongly with decreasing oligomer length, below which LC ordering at low \( T \) is not possible.

The dCTP/dGTP 1:1 mixtures form duplex COL stacks at lower concentrations, with the quadruplex COL2 stacks starting to appear at higher concentrations, for \( c > 820 \, \text{mg/mL} \). This CG behavior is a result of the stacking units of the quadruplex columns being G tetramers, in which the bases each share four mutual H bonds instead of the two or three in W-C pairing, and the stacking internal energy is \(~50\%\) larger per multibase unit than in a CG W-C pair (43). Despite this, mixtures of melted polyC and polyG single strands anneal at low c to W-C duplexes upon cooling (51, 52), in part a result of the relatively higher entropic penalty at lower c for assembling groups of multiple Gs.

Discussion

The NTPs are shown here to be a family of molecules capable of self-assembling into stacked aggregates that long-range order into chromonic COL LC phases. The resulting assemblies feature strongly cooperative intermolecular interactions producing: (i) a first-order transition to the COL phase; (ii) the physical separation of distinct molecular species by LC droplets; (iii) 1:1 stoichiometry in the binary COL mixtures; and (iv) duplex stacking, all under W-C selectivity. These features of the NTP interactions bear a strong resemblance to those that characterize monomer/polymer (15, 16) and polymer/polymer NA mixtures (1). Thus, we find that the combination of selective H bonding, molecular packing, and nanosegregation driven by chromonic amphiphilicity (53) can generate the essential structural characteristics of polymeric duplex DNA by the hierarchical self-assembly of small molecules. Notably, the NTPs are also members of a molecular class that is potentially populated in the prebiotic era (54–57).

Fig. 4 shows that, for NTP pairs that are able to form the COL phase, polymerization strongly stabilizes LC ordering, with melting temperatures increasing from near freezing to near boiling as molecular length increases from monomers to dodecamers. The combination of these observations with our previous finding for DNA dodecamers that the LC ordering can itself promote NA polymerization (28) shows that chromonics which developed polymerization would have an evolutionary advantage in a quest to develop and grow LC order. The NTP system, with its potential for polymerization, thus exhibits conditions sufficient for LC autocatalysis (LCA), in which LC ordering stabilizes and expands itself by selecting molecules and catalytically promoting their ligation, in analogy to that in refs. 28 and 29.

The NTPs exemplify a class of trifunctional molecules (selective H bonding, chromonically amphiphilic, polymerizable) achievable via efficient synthetic pathways of relevant prebiotic chemistry (54–57). It is likely, however, that in a rich prebiotic synthetic environment, the available molecular species would be broadly distributed in structure and interaction characteristics. Narrowing such distributions requires molecular selection and positive feedback as the essential features that drive evolution in the presence of chemical processing. In LCA, the overall LC self-assembly itself is the “growing hand” (30), with the LC ordered domains providing the selection and templating. The ACGT texture of Fig. 3D emphasizes the role of collectivity in this scenario. Cooperativity drives selection by phase separation, dividing space into sharply defined (phase-separated) volumes of ISO and COL LC order that exhibit differential molecular solubility, and therefore selection. If the COL phase can promote chemistry that enhances its stability, either from within as in ref. 28, or by enhancing other modes of catalysis, such as templating provided by inorganic (58) or lipid interfaces (59) for example, then the COL phase itself generates the positive feedback that drives enhanced H bonding and stacking, the essential requirement of LCA.

The molecular structures of the NA monomers and their multiplex COL ordering suggests in the context of LCA that the prebiotic emergence was via populations of one- and two-ring molecules (60, 61, 54–57), the most readily synthesized of the aromatic hydrocarbons and the most readily solubilized and functionalized by H bonding or polar groups. Such molecules do not form chromonic LCs in water as stacks of individuals, but, as the NTPs show, can be sufficiently amphiphilic to do so as multiplex columns. W-C selectivity shows unambiguously that such stacking is sensitive to subtle differences in the pairing energetics within a family of molecules that are relatively homogeneous in structure and suited to stack. However, for LCA to be a viable evolutionary process, or for that matter even to get started, chromonic COL LCs of multiplex stacking must be achievable in diverse multicomponent mixtures having molecular structural variations well beyond those of the realm of the nucleotides, a step that remains to be demonstrated.

LCA, as the basis of an “LC world” in which a combination of chromonic COL LC ordering and chemical activity selects and evolves molecular populations, has the potential to support the onset of an RNA world (62–64), by providing a feedstock of chains with diverse side groups capable of stacking and sequence-directed self-assembly, from both monomeric components and recycled deteriorated products.

Materials and Methods

LC phases of the dNTPs (dATP, dCTP, dGTP, dTTP) were produced from PCR-grade DNA nucleoside triphosphate solutions. DNA Dodecamers dD1 (5′-GCCCTTAAAGCGG3′), dD2 (5′-CGCGAAATTCGGG3′), and 5′-Triphosphate (TPdD1, TP-5′-CGGGAATTCCGG3′), were synthesized using an Äkta Oligopilot 100. PTOM was carried out on Nikon research microscopes equipped with polarizer and analyzer for the visualization of optic axis orientational textures. XRD experiments were performed on the SAXS/WAXS beamline 7.3.3 at the Advanced Light Source of Lawrence Berkeley National Laboratory in Berkeley, California. Observations were made using several different sample-cell preparations: (i) Evaporative cells, in which aqueous mixtures of NTPs were spotted on a glass substrate and covered with a glass slip or filled by capillarity between spaced plates, then allowed to evaporate while being monitored for the appearance of birefringent textures; (ii) Free-surface contact cells, in which water and vacuum-dried NTPs were brought into physical contact at a free surface under an oil seal; (iii) Flame-sealed capillaries, equilibrated and homogenized in the ISO phase by thermal cycling and centrifugal mixing; and (iv) Planar sealed cells of two glass slides spaced by a known, \(~\sim 20-\mu m\) gap and filled with the sample of specified...
concentration and surrounded by oil. Details of sample preparation and observation are available in SI Appendix.

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