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The effect of luseogliflozin and alpha-glucosidase inhibitor on heart failure with preserved ejection fraction in diabetic patients: rationale and design of the MUSCAT-HF randomised controlled trial

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ABSTRACT

Introduction Type 2 diabetes mellitus (T2DM) is a strong risk factor for coronary artery disease and heart failure, particularly heart failure with preserved ejection fraction (HFpEF). The aim of the ongoing MUSCAT-HF (It stands for Prospective Comparison of Luseogliflozin and Alpha-glucosidase on the Management of Diabetic Patients with Chronic Heart Failure and Preserved Ejection Fraction) trial is to evaluate the efficacy of luseogliflozin, a sodium-glucose cotransporter 2 (SGLT2) inhibitor, versus voglibose, an alpha-glucosidase inhibitor, using brain natriuretic peptide (BNP) as the index of therapeutic effect in T2DM patients with HFpEF.

Methods and analysis A total of 190 patients with T2DM and HFpEF (ejection fraction >45%) who are drug-naïve or taking any anti-diabetic agents will be randomised (1:1) to receive luseogliflozin 2.5 mg one time per day or voglibose 0.2 mg three times per day. The patients will be stratified by age (<65 years, ≥65 years), baseline haemoglobin A1c (<8.0%, ≥8.0%), baseline BNP (<100 pg/mL, ≥100 pg/mL), baseline renal function (estimated glomerular filtration rate ≥60 mL/min/1.73 m², <60 mL/min/1.73 m²), use of thiazolidine or not and presence or absence of atrial fibrillation and flutter at screening. After randomisation, participants will receive the study drug for 12 weeks in addition to their background therapy. The primary endpoint is the proportional change in baseline BNP after 12 weeks of treatment. The key secondary endpoints are the change from baseline in the ratio of early mitral inflow velocity to mitral annular early diastolic velocity, body weight and glycaemic control after 12 weeks of treatment.

Ethics and dissemination The study has been approved by the ethics committee and the patients will be included after informed consent. The results will be submitted for publication in peer-reviewed journals.

Trial registration number UMIN000018395

INTRODUCTION

Type 2 diabetes mellitus (T2DM) is a strong risk factor for coronary artery disease and heart failure, particularly, heart failure with preserved ejection fraction (HFpEF). A previous cohort study showed that the risk of heart failure was increased in the patients with T2DM. Therefore, the treatment of abnormal glucose metabolism is a promising strategy in the treatment of heart failure. However, large clinical trials have shown that intensive glucose-lowering treatment of hyperglycaemia, compared with less-intensive control treatment, did not decrease hospitalisation or mortality of heart failure. However, Kim et al reported that a alpha-glucosidase inhibitor regulated glucose metabolism and improved the pathophysiology of chronic heart failure in the patients with T2DM. The Study to Prevent Non-Insulin-Dependent Diabetes Mellitus (STOP-NIDDM) trial showed that the treatment of impaired glucose tolerance with a alpha-glucosidase inhibitor resulted in a significant reduction in the risk of cardiovascular disease. These data suggest that alpha-glucosidase inhibitors may be beneficial in the treatment of chronic heart failure.
Recently, the Empagliflozin Cardiovascular Outcome Event Trial in Type 2 Diabetes Mellitus Patients—Removing Excess Glucose (EMPA-REG) OUTCOME and Canagliflozin Cardiovascular Assessment Study (CANVAS) Program randomised controlled trials showed that sodium-glucose cotransporter 2 (SGLT2) inhibitors reduced all-cause mortality, cardiovascular mortality and hospitalisation of heart failure in T2DM compared with placebo. These results indicated that SGLT2 inhibitors may be effective in lowering glucose levels and reducing cardiovascular events, particularly in the patients with heart failure. Given that these trials were not specifically designed to investigate the effect of SGLT2 inhibitors in heart failure patients, no detailed data on their effects in heart failure were obtained.

The MUSCAT-HF (prospective comparison of luseogliflozin and alpha-glucosidase on the management of diabetic patients with chronic heart failure and preserved left-ventricular ejection fraction) trial described here is designed to evaluate the efficacy of luseogliflozin, an SGLT2 inhibitor, compared with voglibose, an alpha-glucosidase inhibitor, using brain natriuretic peptide (BNP) as the index of therapeutic effect in the patients with T2DM and HFP EF. The results of this study will support a novel strategy for the treatment of heart failure using an SGLT2 inhibitor, independent of its glucose-lowering effects.

METHODS AND ANALYSIS

Study design

The MUSCAT-HF trial is an ongoing, multi-centre, prospective, open-label, randomised controlled trial designed to assess the effect of luseogliflozin (2.5 mg one time per day) compared with voglibose (0.2 mg three times per day) on left ventricular load in the patients with T2DM and HFP EF. BNP level at 24 weeks after administration of the study drug will be used as a surrogate marker for heart failure.

Study population

The planned sample size of this study is 95 patients per group (190 patients in total). The recruitment of study patients is planned to take place from September 2015 to September 2018. The patients aged ≥20 years with T2DM (haemoglobin A1c [HbA1c] ≤9.0%) and HFP EF (left ventricular ejection fraction ≥45%) needing additional treatment for T2DM despite the ongoing treatment are eligible for participation. The key inclusion and exclusion criteria are detailed in box 1. Given that the definition of chronic heart failure according to European Society of Cardiology guidelines includes BNP ≥35 pg/mL, the patients with BNP <35 pg/mL will be excluded from this study. Study candidates will be assessed for eligibility within 4 weeks prior to enrolment (figure 1).

| Box 1 | Detailed inclusion and exclusion criteria |
|-------|------------------------------------------|
| **Inclusion criteria** | 1. Diagnosis of T2DM and left ventricular ejection fraction >45% with current or previous symptoms of heart failure (dyspnoea on effort, orthopnoea or leg oedema)  
2. Inadequately controlled T2DM in the patients who have received diet and exercise therapy, a lifestyle modification programme and hypoglycaemic medications based on standard guidelines of the Japan Diabetes Society  
3. Age >20 years  
4. Provision of written informed consent prior to participation |
| **Exclusion criteria** | 1. BNP <35 pg/mL  
2. Use of alpha-glucosidase inhibitors, SGLT2 inhibitors, glinides or high-dose sulfonylurea  
3. Renal insufficiency (eGFR <30 mL/min/1.73 m²)  
4. Left ventricular ejection fraction <45%  
5. History of severe ketoacidosis or diabetic coma within 6 months prior to participation  
6. Serious infection or severe trauma or perioperative patients  
7. Type 1 diabetes mellitus  
8. Poorly controlled T2DM (HbA1c >9.0%)  
9. Uncontrolled hypertension (systolic blood pressure >160 mm Hg)  
10. History of stroke, myocardial infarction or severe cardiovascular disease with hospitalisation within 6 months prior to participation  
11. Women who are pregnant or breastfeeding  
12. Allergy to either investigation product  
13. Other medical reason at the investigator’s discretion |

**Study outline and randomisation**

The patients fulfilling all criteria who provide written informed consent to participate in this study will be enrolled and subsequently randomised (1:1) to receive luseogliflozin (2.5 mg one time per day) or voglibose (0.2 mg three times per day) in addition to their background medication. Randomisation will be performed using a computer-generated random sequence web response system. The patients will be stratified by age (<65 years, ≥65 years), baseline HbA1c (<8.0%, ≥8.0%), baseline BNP (<100 pg/mL, ≥100 pg/mL), baseline renal function (estimated glomerular filtration rate [eGFR] ≥60 mL/min/1.73 m², <60 mL/min/1.73 m²), use of thiazolidine or not and presence or absence of atrial fibrillation (AF) and atrial fibrillation flutter (AFL) at screening.

Assessments during the study period are listed in figure 2. Laboratory data, ECG, echocardiography and the patients’ vital signs, body weight and waist circumference, will be evaluated at ±2 weeks (visit 29±14 days) and 12 weeks (visit 85±28 days) after initiation of study treatment. Safety and tolerability will be assessed during the treatment period. The primary outcome of change in BNP compared with baseline will be evaluated at 12 weeks.
The patients with type 2 diabetes mellitus are screened whether with heart failure with preserved ejection fraction or without (screening period, yellow arrow). One of the study drugs was administered to the patients met inclusion criteria after collection of baseline data within 1 week after randomisation (grey arrow). After administration, mandatory follow-up period is for 12 weeks (study follow-up period, blue arrow). After 12 weeks, expanding follow-up are continued in the patients agreed with (arrow with dotted line). During expanding follow-up, the change of an allocated drug was not allowed. ECG, electrocardiogram.

Figure 1  Study design. Arrows illustrate the patients’ flow and the timing of follow-up. The patients with type 2 diabetes mellitus are screened whether with heart failure with preserved ejection fraction or without (screening period, yellow arrow). One of the study drugs was administered to the patients met inclusion criteria after collection of baseline data within 1 week after randomisation (grey arrow). After administration, mandatory follow-up period is for 12 weeks (study follow-up period, blue arrow). After 12 weeks, expanding follow-up are continued in the patients agreed with (arrow with dotted line). During expanding follow-up, the change of an allocated drug was not allowed. ECG, electrocardiogram.

Factors according to the local standard of care. Under the following circumstances, the investigator must evaluate the data and the patient's vital sign: (1) discontinuation of study treatment, (2) dose increase of specific treatment for heart failure, (3) initiation of new treatment for heart failure and (4) withdrawal from the study. The permitted medications for the treatment of heart failure include angiotensin-converting enzyme inhibitors, angiotensin

Figure 2  Assessments during the study period.
receptor blockers, beta-blockers, diuretics and mineralocorticoid/aldosterone receptor antagonists.

Outcomes

Primary outcome

The primary outcome of this study is the difference in BNP after 12 weeks (visit 85±28 days) of treatment between the luseogliflozin and the voglibose groups, defined as the difference in logarithmic BNP change calculated as follows:

\[
\frac{E}{*} = \text{BNP (at follow-up)/BNP (at baseline)}
\]

Furthermore, we calculated the ratio of BNP change rate

\[
\frac{\text{BNP proportional change}}{\text{BNP proportional change}} = \text{BNP (at follow-up)/BNP (at baseline)}
\]

Secondary outcomes

The key secondary outcomes of this study are the differences in the following parameters between the luseogliflozin and the voglibose groups:

1. Ratio of early mitral inflow velocity to mitral annular early diastolic velocity (E/e'),
2. Left ventricular ejection fraction,
3. Body weight,
4. HbA1c.

The difference in E/e' and HbA1c between the groups is defined as the difference in logarithmic E/e' and HbA1c using the same calculation as for BNP. Difference in body weight and left ventricular ejection fraction is defined as the difference between those parameters at follow-up and at baseline. Further exploratory analysis is listed in online supplementary file 1.

Safety outcomes: including, but not limited to

- Clinical laboratory tests, vital signs, 12-lead ECG, physical examination and the use of rescue medication.
- Adverse events including major adverse cardiovascular events (MACE), hypoglycaemic adverse events (requiring any intervention) and urinary tract infection.
- Hypertension. Adverse events are reported in online supplementary file 2. Significant adverse events (SAEs) occurring within 30 days after final administration of the study drug or after 30 days with a suspicion of association with the study drug, as well as all pregnancies, will be immediately reported to the Steering Committee and the sponsor by the investigator, in accordance with good clinical practice.

Statistical analysis

Sample size and power calculation

The primary hypothesis of this study is that the SGLT2 inhibitor luseogliflozin can reduce cardiac load in the patients with T2DM and HfPEF. Therefore, the primary outcome was the difference in change in BNP from baseline to 12 weeks between the patients receiving luseogliflozin or voglibose. As of the start of recruitment in September 2015, no interventional study of the effect of SGLT2 inhibitors on heart failure in the patients with T2DM has been reported. Therefore, we estimated that BNP change rate in the luseogliflozin group will be 30% lower as compared with that in the voglibose group according to previous studies of the effect of renin-angiotensin-aldosterone system inhibitors on heart failure.

The SD of the natural logarithmic transformation of BNP was estimated at 0.83, in reference to the Prospective comparison of ARNI with ARB on Management Of heart failUre with preserved ejectioN fracTion (PARA-MOUNT) study. A minimum of 172 patients (86 patients per group) is required to provide 80% power with a two-sided α level of 0.05 by Student’s t-test on the ratio of BNP change rate between the luseogliflozin and voglibose groups. With 10% of the patients estimated to withdraw from participation during the study period, the final enrolment target was set at 190 patients (95 patients per group).

Analysis plan

In the efficacy analysis, the primary population comprises the full analysis set (FAS), defined as all randomised patients who receive one dose of study drug and are followed up at least once. The patients with no BNP data and the patients who withdraw or discontinue treatment will be excluded from the FAS. Missing values at 4, 12 and 24 weeks will be replaced by the last observed value for that variable (last observation carried forward). In the primary outcome analysis, baseline observation carried forward analysis will also be performed. Efficacy analysis will be performed according to the treatment to which the patients are randomly assigned, based on the intention-to-treat analysis. The primary outcome analysis will be based on an analysis of covariance (ANCOVA) (α = 0.05, level of significance) for the ratio of BNP change rate in the FAS. Adjusted covariates will include the assigned treatment (luseogliflozin, voglibose), baseline age (<65 or ≥65 years), baseline HbA1c (<8.0 or ≥8.0%), baseline BNP (<100 or ≥100 pg/mL), baseline renal function (eGFR ≥60 or <60 mL/min/1.73 m²), use of thiazolidine or not at baseline and presence or absence of AF and AFL.
at baseline as stratified factors of randomisation. Furthermore, BNP change rate, ratio of BNP change rate and 95% CIs will be calculated. The same ANCOVA analysis as for the primary outcome will be performed for the ratio of BNP change rate at 4 weeks and 24 weeks between the two groups.

Prespecified subgroup analyses will be performed on the primary outcome using ANCOVA (covariates: assigned treatment and BNP at screening) in the following subgroups: baseline age (<65 or ≥65 years), baseline HbA1c (<8.0 or ≥8.0%), baseline BNP (<100 or ≥100 pg/mL), baseline renal function (eGFR ≥60 or <60 mL/min/1.73 m²), use of thiazolidine or not at baseline, baseline body weight (<60 kg, ≥60 kg) and presence or absence of AF and AFL at baseline. Furthermore, exploratory analysis on the primary outcome will be performed in subgroups based on blood pressure, heart rate, waist circumference, cardiovascular risk factors (hypertension, T2DM, hyperuricaemia, family history and smoking), alcohol consumption, regular medication and serum lipid levels (details listed in online supplementary file 3).

The key secondary outcomes, difference in E/e’, left ventricular ejection fraction, body weight and HbA1C at 12 weeks between the luseogliflozin and voglibose groups, will be analysed using the same ANCOVA as for the primary outcome. Subgroup analysis for the key secondary outcomes will be performed in the same subgroups as for the primary outcome analysis. The following secondary outcomes will be also analysed using the same analysis plan: E/e’, left ventricular ejection fraction, body weight and HbA1C at 4 and 24 weeks and exploratory parameters at 4, 12, and 24 weeks.

For the safety analysis, the primary population is the safety analysis set (SAFETY), defined as all the patients who receive at least one dose of study drug. Although the patients who withdraw without receiving study drug will be excluded from SAFETY, other patients who withdraw for any other reason will be included. The safety analysis will be performed according to the treatment administered to the patients in practice, based on the as-treated analysis. Analysis of SAEs (MACE, hypoglycaemia and urinary tract infection) will be performed using the Cochran–Mantel–Haenszel test with stratification factors of age (<65 or ≥65 years), baseline HbA1c (<8.0 or ≥8.0%), baseline BNP (<100 or ≥100 pg/mL), baseline renal function (eGFR ≥60 or <60 mL/min/1.73 m²), use of thiazolidine or not at baseline, body weight (<60 kg, ≥60 kg) and presence or absence of AF and AFL at screening.

All comparisons are planned, and the analyses will be two-sided with P values <0.05 considered statistically significant. All statistical analyses will be performed using IBM SPSS Statistics 24 (IBM, Armonk, NY) and Stata/SE 15.1 for Mac (StataCorp, College Station, TX). The statistical analysis plan will be developed by the principal investigator and a biostatistician prior to the completion of the patient recruitment and database lock.

Discussions

The MUSCAT-HF trial is an ongoing, multi-centre, randomised controlled trial designed to investigate the drug efficacy of luseogliflozin to reduce BNP in T2DM patients with HFpEF. Eligible participants will be randomised to receive luseogliflozin or voglibose in addition to their background medication for 24 weeks. The primary endpoint is the percentage change from baseline in BNP level after 12 weeks of treatment. This trial has the potential to provide novel clinical evidence regarding the treatment of HFpEF in the patients with T2DM. The EMPA-REG OUTCOME and CANVAS trials showed that the treatment of empagliflozin and canagliflozin, respectively, significantly reduced cardiovascular events in T2DM patients with higher cardiovascular risk.6 8 Specifically, a 35% and 33% relative risk reduction in hospitalisation for heart failure was observed in the EMPA-REG OUTCOME and CANVAS trials, respectively. Although a significant reduction in hospitalisation for heart failure was clearly documented, the proportion of the patients with heart failure and reduced or preserved ejection fraction was not reported precisely in either trials. Therefore, the therapeutic effect of SGLT2 inhibitors specifically in the patients with heart failure has yet to be established. At present, HFpEF prognosis cannot be improved with the use of conventional drugs such as an angiotensin-converting enzyme inhibitor, angiotensin receptor blocker, beta blocker or mineralocorticoid receptor blocker.14–17 SGLT2 inhibitors therefore represent a promising strategy for the prevention of HFpEF and improvement of HFpEF outcome by improving left ventricular diastolic function.
in the patients with T2DM. A recent small prospective cohort study in 37 patients showed that canagliflozin improved left ventricular diastolic function within 3 months, although the data in terms of prognosis were limited. Further, several clinical trials to investigate the effect of SGLT2 inhibitors in cardiovascular clinical hard endpoints in HfPEF patients with T2DM are ongoing (EMPEROR-Preserved; ClinicalTrials.gov Identifier: NCT03057951 and DELIVER; ClinicalTrials.gov Identifier: NCT0361921). Although our study focused on BNP as surrogate endpoint for worsening of heart failure, the results will provide the evidence for the drug efficacy of SGLT2 inhibitor on pathophysiological aspects in those patients.

In summary, emerging evidence suggests that SGLT2 inhibitors exert protective effects against cardiovascular events beyond their glucose-lowering capabilities, although further investigation of the mechanisms underlying these effects is warranted. The MUSCAT-HF trial, the results of which are expected to be published in 2019, will provide novel clinical insights into the treatment of the patients with T2DM and HfPEF.

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