Usability Evaluation for Evidence-Based Psychosocial Interventions (USE-EBPI): A methodology for assessing complex intervention implementability

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Abstract

Background: Most evidence-based practices in mental health are complex psychosocial interventions, but little research has focused on assessing and addressing the characteristics of these interventions, such as design quality and packaging, that serve as intra-intervention determinants (i.e., barriers and facilitators) of implementation outcomes. Usability—the extent to which a product can be used by specified users to achieve specified goals with effectiveness, efficiency, and satisfaction—is a key indicator of design quality. Drawing from the field of human-centered design, this article presents a novel methodology for evaluating the usability of complex psychosocial interventions and describes an example “use case” application to an exposure protocol for the treatment of anxiety disorders with one user group.

Method: The Usability Evaluation for Evidence-Based Psychosocial Interventions (USE-EBPI) methodology comprises four steps: (1) identify users for testing; (2) define and prioritize EBPI components (i.e., tasks and packaging); (3) plan and conduct the evaluation; and (4) organize and prioritize usability issues. In the example, clinicians were selected for testing from among the identified user groups of the exposure protocol (e.g., clients, system administrators). Clinicians with differing levels of experience with exposure therapies (novice, n = 3; intermediate, n = 4; advanced, n = 3) were sampled. Usability evaluation included Intervention Usability Scale (IUS) ratings and individual user testing sessions with clinicians, and heuristic evaluations conducted by design experts. After testing, discrete usability issues were organized within the User Action Framework (UAF) and prioritized via independent ratings (1–3 scale) by members of the research team.

Results: Average IUS ratings (80.5; SD = 9.56 on a 100-point scale) indicated good usability and also room for improvement. Ratings for novice and intermediate participants were comparable (77.5), with higher ratings for advanced users (87.5). Heuristic evaluations suggested similar usability (mean overall rating = 7.33; SD = 0.58 on a 10-point scale). Testing with individual users revealed 13 distinct usability issues, which reflected all four phases of the UAF and a range of priority levels.

Conclusion: Findings from the current study suggested the USE-EBPI is useful for evaluating the usability of complex psychosocial interventions and informing subsequent intervention redesign (in the context of broader development frameworks) to enhance implementation. Future research goals are discussed, which include applying USE-EBPI with a broader range of interventions and user groups (e.g., clients).

Plain language abstract: Characteristics of evidence-based psychosocial interventions (EBPIs) that impact the extent to which they can be implemented in real world mental health service settings have received far less attention than the characteristics of individuals (e.g., clinicians) or settings (e.g., community mental health centers), where EBPI implementation occurs. No methods exist to evaluate the usability of EBPIs, which can be a critical barrier or facilitator for successful implementation. This study presents a novel methodology, called the Usability Evaluation for Evidence-Based Psychosocial Interventions (USE-EBPI), to evaluate the usability of EBPIs and inform subsequent intervention redesign to enhance implementation.
of implementation success. The current article describes a new method, the Usability Evaluation for Evidence-Based Psychosocial Interventions (USE-EBPI), which uses techniques drawn from the field of human-centered design to evaluate EBPI usability. An example application to an intervention protocol for anxiety problems among adults is included to illustrate the value of the new approach.

**Keywords**

Human-centered design, user-centered design, usability, complex psychosocial interventions, evidence-based psychosocial interventions

**Background**

Complex interventions (i.e., those with several interacting components) are common in contemporary health care (Craig et al., 2013). In mental health, the majority of evidence-based practices are complex psychosocial interventions, involving interpersonal or informational activities, techniques, or strategies (England et al., 2015). Hundreds of evidence-based psychosocial interventions (EBPIs) have been developed, but are applied inconsistently in routine service delivery settings (Becker et al., 2013; Garland et al., 2008).

A wealth of research has focused on identifying multi-level determinants (i.e., barriers and facilitators) of implementation (Krause et al., 2014), most often specifying factors at the individual and organization/inner setting levels. Much less frequently targeted are intervention-level determinants (i.e., characteristics of EBPIs themselves; Dopp et al., 2019). This is surprising given long-standing recognition that intervention-level determinants are critical to successful implementation (Schloemer & Schroder-Back, 2018). Classic frameworks such as Rogers’ (1962) *Diffusion of innovations* explicitly detail the importance of intervention determinants, including factors such as relative advantage and design quality and packaging. However, such frameworks have generally been too broad to articulate the specific intra-intervention characteristics that reflect good design quality. While some more recent work has articulated how characteristics of complex health innovations, such as clinical guidelines (Gagliardi et al., 2011) and genetic testing and consultation (Hamilton et al., 2014) may facilitate implementation, no efforts exist for EBPIs. Just as design problems can block uptake and use of electronic medical records and various decision support tools (Beuscart-Zephir et al., 2010), poor design is a major determinant of the extent to which EBPI users (clinicians, service recipients, others) adopt and sustain interventions (Lyon & Bruns, 2019).

**Evaluation of intervention-level determinants**

Attention to intervention-level determinants is most prominently reflected in research on intervention modification (Chambers & Norton, 2016). Extant frameworks tend to describe or document modifications (Rabin et al., 2018; Stirman et al., 2019), but no methods exist to assess intra-intervention implementation determinants or to inform prospective adaptation. Lewis et al. (2015) conducted a systematic review of implementation instruments and found only 19 that addressed the intervention level. Most instruments focused on relative advantage ($n = 7$), and none addressed design quality and packaging. Methods are needed to allow researchers and practitioners to more closely evaluate aspects of any EBPI—and especially intervention design—that impact implementation. Such methods are likely to be relevant to intervention developers (e.g., to inform iterative design of components of new interventions), implementation researchers (e.g., to test the degree to which intervention design is predictive of implementation), implementation practitioners (e.g., to determine which interventions are most likely to be fit the needs of consultees), and organizations interested in adopting EBPIs (e.g., to make adoption decisions).

**Human-centered design and EBPI usability**

We draw on methods from the field of human-centered design (HCD; also known as user-centered design). Most EBPIs have been developed independent from the HCD field, which has sought to clearly operationalize the concepts and metrics that reflect good design. As a result, EBPIs often have not been designed for typical end users and contexts of use, exacerbating the need for adaptations. As discussed later, EBPI users (i.e., the individuals who interact with a product) are often diverse, but primary users typically include both service providers and service recipients. HCD is focused on developing compelling and intuitive products, grounded in knowledge about the people and contexts where an innovation will be deployed (Courage & Baxter, 2005). Although the application of HCD methods has typically been limited to digital technologies, their potential for broader applications in health care is increasingly recognized (Roberts et al., 2016). Lyon and Koerner (2016) applied HCD principles to the tasks of EBPI development and redesign, suggesting that EBPI designs should demonstrate high learnability, efficiency, memorability, error reduction, a good reputation, low cognitive load, and should exploit natural constraints (i.e., incorporate or explicitly address the static properties of an intended destination.
context that limit the ways a product can be used). Collectively, these design goals reflect key drivers of EBPI usability, or the extent to which a product can be used by specified users to achieve specified goals with effectiveness, efficiency, and satisfaction (International Organization for Standardization [ISO], 1998).

Evaluation of usability is increasingly routine in digital health (National Cancer Institute [NCI], 2007), but systematic usability assessment procedures have never been applied to EBPIs. This is problematic given that EBPI usability strongly influences implementation outcomes that, in turn, drive clinical outcomes (Lyon & Bruns, 2019). Usability testing of EBPIs is critical because such assessments (1) allow for evaluation of intervention characteristics likely to be predictive of adoption (Rogers, 2010) and (2) uncover critical usability issues that could subsequently be addressed via prospective adaptation (i.e., redesign; Chambers et al., 2013). This information is relevant across multiple stages of intervention development, testing, and implementation by driving initial design, modification, and selection (e.g., of the most usable interventions) for research and practice applications. Presently, no methodologies exist to accomplish these goals.

**Current aims**

This article presents (1) a novel methodology for identifying, organizing, and prioritizing usability issues for psychosocial interventions and (2) an example application to an exposure procedure for anxiety disorders. Exposure is among the most effective interventions for disorders, such as obsessive compulsive disorder (Tryon, 2005). During exposure, clinicians support clients to approach fear-producing stimuli (exposure) while preventing fear-reducing behaviors, such as compulsions or other avoidance strategies (Himle & Franklin, 2009). Although the example used is specific to mental health and, for simplicity, incorporates only one user group (clinicians), the methodology is intended to be generalizable. Furthermore, while the feasibility of the usability testing techniques varies across settings (see Step 3, below), the methodology is intended for use by a range of professionals, including intervention developers, implementation researchers, implementation practitioners, and organizations interested in adopting EBPIs.

**Methods**

The *Usability Evaluation for Evidence-Based Psychosocial Interventions (USE-EBPI)* is a methodology for assessing the ease with which interventions are likely to be adopted and which components may impede implementation. It comprises four steps: (1) identify users/participants; (2) define and prioritize EBPI components; (3) plan and conduct the test; and (4) organize and prioritize identified usability issues (Figure 1). All the steps and techniques described borrow from the extensive literature on HCD and usability testing (e.g., Albert & Tullis, 2013; Maguire, 2001), but have been adapted to ensure relevance to psychosocial interventions. Importantly, USE-EBPI is a prospective usability evaluation method and is not intended to retrospectively assess adaptations that have occurred or to be a comprehensive framework for EBPI redesign.

**Step 1: identify users/participants**

Explicit identification of representative end users is a basic tenet of HCD (Cooper et al., 2007). Product developers tend to underestimate user diversity and base designs on people like themselves (Cooper, 1999; Kujala & Mantyla, 2000), but explicit user identification produces more usable systems (Kujala & Kauppinnen, 2004).

The USE-EBPI framework proposes a systematic user identification process (Table 1) drawn from the larger testing literature (Hackos & Redish, 1998; Kujala & Kauppinnen, 2004). As indicated by the funnel shape for Step 1 (Figure 1), each stage of identification narrows the potential participant pool. The first sub-step is brainstorming an overly-inclusive, preliminary list of potential users (e.g., clinicians, clients, system administrators, etc.). For the exposure protocol, potential users included all behavioral health clinicians and clients who treat or experience exposure-relevant anxiety, as well as supervisors who support those clinicians. Other potential user groups (e.g., implementation intermediaries, service system administrators) were considered but determined to be too distal to the study aims.

Second, the most relevant subset of user characteristics are articulated, which may include personal (e.g., prior EBPI training or attitudes toward EBPIs [clinician], expectations, or prior treatment experiences [client]), task-related (e.g., experience with the specific EBPI, frequency of usage), and setting characteristics (e.g., intervention setting). User characteristics most relevant to the test of the exposure protocol included experience delivering or supervising exposure interventions (clinicians, supervisors) and anxiety severity (consumers).

Third, primary user groups (i.e., the core group[s] expected to use a product) are described and prioritized, with potential adjective input from secondary users (Cooper et al., 2007). Primary EBPI users often include clinicians and clients, while secondary users may include caregivers (for interventions that do not target them directly), system administrators (who often make adoption decisions), implementation intermediaries (who work to enhance EBPI adoption), and paraprofessionals (who may direct clients to interventions; Lyon & Koerner, 2016). Explicitly articulated negative or nonusers may be deprioritized. In our example, clinicians and clients were primary
users. However, only clinicians were selected for testing (Table 5) given the modest goals of the USE-EBPI pilot and because the exposure protocol materials were designed to be primarily clinician facing. Clinicians interested in exposure interventions were prioritized and disinterested clinicians were identified as non-users.

Fourth, typical and representative users are selected for testing. For tests involving more than a small number of users (e.g., \( n = 6 + \)), it is frequently advantageous to recruit participants into at least two different strata, defined the most critical characteristics. The sample size required for user tests is debated in the HCD literature. Although there is a classic assumption that, after five users, usability tests yield diminished returns (Hwang & Salvendy, 2010; Nielsen, 2000), it is likely sample sizes between 6 and 20 users (Beyer & Holtzblatt, 1998) are appropriate for complex EBPIs. For the exposure protocol evaluation, our team was interested in how existing expertise influenced user experiences. Novice, intermediate, and advanced clinicians were all included in the evaluation regardless of other characteristics. Ten users were determined to provide a sufficient testing sample, given findings that even seven participants can be sufficient when there is substantial complexity present (Turner et al., 2006).

**Step 2: define and prioritize the EBPI’s components**

Because it is rarely feasible to conduct a usability test of the entirety of an EBPI’s features, it is essential to constrain the scope of components included. The USE-EBPI framework delineates four types of EBPI components for testing, organized into two different categories, tasks and packaging (Table 2).

**EBPI tasks.** EBPIs include critical tasks that must be accomplished to have their intended effects. First, content elements (a.k.a., practice elements) are discrete clinical tasks or strategies used in the context of an intervention session (Chorpita et al., 2005). For behavioral health interventions, these may include techniques, such as cognitive restructuring or psychoeducation. In the exposure protocol, identified content elements are given in Table 2. The completion of an actual exposure was selected as the most important content to assess given (1) procedures clinicians use to assist clients in approaching and learning in feared contexts are widely considered the most critical core component for obtaining desired clinical outcomes and (2) clinicians tend to omit and drift from those critical elements (Waller & Turner, 2016).

Second, structures are dynamic processes that guide clinicians in selecting, organizing, delivering, maintaining, altering, or discontinuing content elements (Lyon et al., 2018). Structures differ from within-session client–therapist processes (i.e., content elements) and include activities, such as measurement-based care (Scott & Lewis, 2015) and structured supervision (Dorsey et al., 2016). Structures identified in the example protocol are given in Table 2. Subjective units of distress (SUDs; a.k.a., “fear thermometer”) ratings were selected for testing as they are an integral component of most exposure protocols.

**EBPI packaging.** EBPI packaging refers to the static properties of how tasks are organized, communicated, or otherwise supported. Packaging includes both EBPI artifacts and parameters (Table 2). Artifacts reflect tangible, digital, or visual materials that support task completion (e.g., treatment manuals; Keenan et al., 1999). Identified exposure artifacts are provided in Table 2. Although all materials were provided to participants to review (see Step 3), it was determined that the brief exposure guide contained the most critical core content of the exposure procedures and would be feasible to test in its entirety.

EBPI parameters refer to any static aspect of an intervention that defines and constrains the intervention or service “space” within which tasks are completed, such as intervention modality (e.g., individual versus group). Although many parameters were embedded into the exposure protocol (e.g., sequencing fear hierarchy construction before exposure), none were explicitly selected for testing because our research team had no explicit research questions about parameters—such as testing in different practice settings or evaluating the role of language proficiency on usability—at this initial stage of evaluation.

**Prioritizing EBPI components.** Tasks and packaging can be prioritized for usability testing based on whether they represent core intervention components and whether there are known or suspected usability issues that may impact implementation. The actual exposure procedures in the example protocol above met both of these criteria. Although packaging is more likely to be the “adaptable periphery” of the EBPI, rather than a “core component” (Damschroder et al., 2009), key artifacts or parameters of an EBPI’s packaging that are critical to effectiveness (e.g., brief exposure guide) also are likely to be core components. Core components may be identified based on (1) theory or logic models that specify causal pathways, (2) empirical unpacking studies that test the necessity of components, or (3) research evaluating the mechanisms through which interventions impact outcomes (Kazdin, 2007). Known or suspected usability problems with an EBPI’s component tasks and packaging may also be prioritized (e.g., information from the literature about underuse of exposure procedures among community clinicians). Step 2 of USE-EBPI should
result in a prioritized list of components with which users most need to interact to achieve an EBPI’s desired outcomes.

**Step 3: plan and conduct the tests**

Usability tests should systematically document usability problems, confirming those already suspected (e.g., derived from the literature) and eliciting new issues. USE-EBPI provides a standard set of user research questions to drive selection of testing techniques (Table 3). Categories of testing techniques include (a) quantitative instruments; (b) heuristic evaluation; (c) cognitive walkthroughs; (d) lab-based testing; and (e) in vivo testing. Only a subset will be relevant to any particular EBPI testing process. In USE-EBPI, we suggest triangulation using complementary methods (e.g., quantitative and lab-based).

User research questions that drove the example application of USE-EBPI included: (1) What is overall level of usability for components of the exposure protocol and related materials for more experienced and less experienced users? (2) To what extent does the protocol align with established usability principles? (3) How effectively can users complete an exposure task? and (4) What specific usability issues do users experience when interacting with the protocol. Drawing from Table 3, testing methods selected to address these questions included the use of a quantitative instrument, a heuristic evaluation checklist, and lab-based usability testing (Table 4). All five USE-EBPI testing techniques are presented below to provide a comprehensive description of the USE-EBPI method.

**Quantitative instruments.** A wide variety of quantitative instruments exist to identify usability problems. Tools, such as the robust 10-item System Usability Scale (SUS [Brooke, 1996; Sauro, 2011]) are completed directly by users. Our research team has created an adapted version of the SUS for EBPIs (i.e., IUS—Figure 2). Nevertheless, USE-EBPI de-emphasizes quantitative measures as a first line approach. They efficiently identify the presence of a usability problem, but offer few details about the nature of the problem. We recommend the use of quantitative tools only (a) when combined with other qualitative usability assessment approaches or (b) to efficiently monitor usability improvements over time. In the example, the IUS was administered to participants to assess overall usability of the exposure protocol via a secure, web-based platform following participation in a user testing session (see below).

**Heuristic evaluation.** Heuristic evaluation involves expert review of a system or interface while applying a set of guidelines that reflect good design principles (Nielsen, 1994). Within USE-EBPI, heuristic evaluation involves ratings from multiple individuals with expertise in EBPI design who independently review all relevant task and packaging components. Although these heuristics should be selected or adjusted according to the specific needs of the evaluation, the design goals articulated by Lyon and Koerner (2016) reflect USE-EBPI’s default set (i.e., learnability, efficiency, memorability, error reduction, low cognitive load, and exploit natural constraints), with the exception of reputation (see Heuristic Evaluation Rubric for EBPIs [HERE], Figure 3). Evaluation is inherently mixed methods, with quantitative ratings as well as qualitative justification of those ratings for data complementarity and expansion (Palinkas et al., 2011). While an evaluator may spend multiple hours reviewing an EBPI manual and all associated materials, heuristic evaluation remains relatively efficient. Nevertheless, drawbacks include a risk of “lumping” different usability problems together, thus creating a list of problems with suboptimal specificity (Keenan et al., 1999; Khajouei et al., 2018). Heuristic analysis is also best applied by experts in design principles, the content area, or both (Nielsen, 1994), expertise that might not be available to all research teams.

HERE was selected to evaluate the exposure protocol in part because multiple members of the study team had adequate expertise in HCD. Three experts conducted independent HERE evaluations of all available artifacts (i.e., a how-to manual for the exposure protocol, brief exposure guide, a core fear map, and fear hierarchy examples). Raters reviewed all materials twice, once to understand the overall scope of the protocol and materials, and again to rate and log-specific usability issues.

**Cognitive walkthroughs.** Cognitive walkthroughs are more resource intensive than heuristic analyses largely because they require representative users. Although there are multiple approaches, walkthroughs generally focus on leading individual users or groups of users through key aspects of a product to identify the extent to which the product aligns with their expectations or internal cognitive models (Mahatody et al., 2010). Drawing from existing walkthrough procedures (Biligård & Osvalder, 2013), USE-EBPI presents users with common use scenarios and, using a sequential, mixed-methods data collection approach (Palinkas et al., 2011), asking them to rate whether they will be able to perform the correct actions (ranging from “A very good chance of success [5]” to “No/ a very small chance of success [1]”) and then provide justifications. Average success ratings identify qualitative responses that require more in-depth review (e.g., via systematic content analysis [Hsieh & Shannon, 2005]). Despite their efficiency, cognitive walkthroughs tend to over-identify potential usability problems (Health and Human Services, n.d.). Although they were not applied in the exposure protocol example,
walkthroughs were considered as a lower-cost alternative to more intensive lab-based user testing.

**Lab-based user testing.** Individual, task-based user testing with observation is a hallmark of HCD because it captures direct interactions between users and features of a product. Typically, testing involves presenting a series of scenarios and observing how successfully and efficiently users complete a set of discrete tasks. EBPI usability tests build on established behavioral rehearsal methods (e.g., Beidas et al., 2014), but with the novel objective of evaluating the intervention instead of assessing user competence. First, participants are often instructed “think aloud” (Benbunan-Fich, 2001) when completing tasks to describe their processes and experiences as they navigate the EBPI tasks and packaging (qualitative). The pathway to completion (i.e., how the user completed the task and using which materials) is recorded for subsequent coding. Second, indicators of task effectiveness are drawn from the general usability testing literature (Hornbæk, 2006) and may include error rate (i.e., number of errors made across tasks), binary task success or failure (total percent of tasks completed), and help seeking (from the examiner) during tasks. Third, task efficiency (time to completion) may also be recorded. Lab-based testing can be done rapidly (Pawson & Greenberg, 2009), but is nevertheless a complex endeavor. Novice usability testers can struggle with categorizing and determining the severity of identified usability problems (Bruun, 2010).

In our example application, 10 representative users were recruited from an existing clinical practice network. Institutional review board approval was obtained by the second author from the Behavioral Health Research Collective. Clinicians were invited via recruiting emails. Interested participants ($n = 16$) who had interest in exposure completed an online consent form and background questionnaire that included exposure familiarity (see Step 1). Based on the extent of their training in exposure, participants were sorted into novice, intermediate, and advanced groups and recruited from these strata to ensure equal representation. Prior to testing sessions, participants reviewed all artifacts. Testing was subsequently completed remotely, using a secure web conferencing platform and included (1) a “think aloud” review of the brief exposure guide and (2) a behavioral rehearsal role-play in which participants completed an exposure with the facilitator playing the role of a 20-year-old female client with contamination fears. Facilitators used a standardized testing guide that specified passive initial refusal to complete the exposure during the role-play, as well as multiple expressions of distress during which task effectiveness—operationalized as successful completion of the exposure—was tracked. Task effectiveness was prioritized given information that critical exposure elements are infrequently adequately delivered in community practice. Finally, participants completed (3) a semi-structured interview to gather additional feedback about the exposure tasks and packaging. No incentive or compensation was provided. Two research team members were present for each session: a test facilitator and a scribe who took detailed notes for subsequent coding.

Following testing, the notes from each session were analyzed using an inductive qualitative content analysis procedure (Bradley et al., 2007; Hsieh & Shannon, 2005) in which two members of the research team reviewed all notes independently, generated codes for identified usability problems, rated task completion success (i.e., effectiveness; coded “failure,” “partial success” [with 1 + errors], and “successful”), and met to compare their coding and arrive at consensus judgments through consensus coding (Hill et al., 2005). Usability issues were defined as aspects of the intervention or its components and/or a demand on the user which make it unpleasant, inefficient, onerous, or impossible for the user to achieve their goals in typical usage situations (Lavery et al., 1997).

**In vivo user testing.** Unlike lab-based testing, in vivo testing involves more extensive applications of an EBPI in a destination context over longer periods of time, which allows for evaluation of the ways in which it interacts with contextual constraints. In vivo testing has the potential to expand the traditional acceptability and feasibility goals of pilot studies (Westlund & Stuart, 2017) with usability evaluation objectives. To be completed successfully, in vivo testing inherently requires some degree of intervention implementation and, as a result, is the most expensive—and also most externally valid—method of evaluating usability. If feasible to collect, real-world adherence data may be conceptualized as an indicator of EBPI task effectiveness. A/B testing, in which two designs are implemented simultaneously (e.g., an original design and a novel, alternative design) to determine whether one is superior (Albert & Tullis, 2013) is particularly useful during in vivo testing. Due to time and resource constraints, it was not feasible for the example application of USE-EBPI to conduct in vivo user testing. Tradeoffs between costs of time, money, and expertise versus quality of information require care in selecting and balancing which usability techniques are selected.

**Step 4: organize and prioritize identified usability issues**

Regardless of the techniques used, usability problems identified are classified and prioritized with a structured method within USE-EBPI. Usability taxonomies provide a means for the consistent and accurate classification, comparison, reporting, analysis, and prioritization of usability issues (Jeffries, 1994; Keenan et al., 1999). To organize and prioritize usability issues, USE-EBPI adapts an existing taxonomy for categorizing usability problems—the UAF (Khajouei et al., 2011). The UAF was selected because it is...
theoretically driven and has demonstrated reliability among experts for categorizing usability problems (Andre et al., 2001).

**Organize.** The augmented version of the UAF is based on a theory of the interaction cycle (Norman, 1986) and states that, in any interaction, users begin with goals and intentions, and engage in (1) planning, which includes cognitive actions to determine what to do when interacting with a product to meet those goals; (2) translating, cognitive actions to determine how to carry out their intentions; (3) actions, executing behaviors to manipulate the product; and (4) feedback, understanding and interpreting information about the effects of actions. Using a consensus coding approach, usability problems in USE-EBPI are mapped to the interaction cycle to aid redesign. Table 4 displays the adapted UAF taxonomy with generic examples most relevant to classifying anticipated EBPI usability issues. For the exposure protocol, research team members assigned stages of the UAF to each usability issue. Because usability issues can often impact multiple stages of the interaction cycle, all relevant stages of the UAF were identified. Findings from the application of the UAF to the exposure protocol are given in the “Results” section.

**Prioritize.** Finally, most usability evaluation approaches include a process for determining the potential impact of each identified problem (Medlock et al., 2002; NCI, 2007). In the UAF, prioritizing based on severity and impact focuses redesign efforts on those problems that severely hinder key interactions, ensuring that only essential elements that need fixing receive attention. USE-EBPI employs revised UAF ratings in which priority (ranging from “low priority” [1] to “high priority” [3]) is assigned to each identified problem by two or more independent team members based on its (1) impact on users, (2) likelihood of a user experiencing it, and (3) criticality for an EBPI's putative change mechanisms. Ratings are averaged across reviewers. For example, two research team members independently rated each usability problem. Mean scores were calculated (see the section “Results”). Although ratings inform prioritization, all decisions about which problems to address first in an EBPI redesign effort should be made by the design team when considering all available information.

**Results**

**Quantitative ratings**

IUS ratings (scale: 0–100) ranged from 65 to 85, with a mean of 80.5 (SD = 9.56). Based on descriptors developed for the original SUS (Brooke, 1996), this range corresponds to descriptors between “below average” (2nd quartile) and “excellent” (4th quartile; Bangor et al., 2008). The mean was also in the “acceptable range” (3rd/4th quartile). A 10-point difference was observed between advanced participants (M = 87.5; SD = 8.66) and both novice (M = 77.5; SD = 10.90) and intermediate participants (M = 77.5; SD = 8.66).

**Heuristic evaluation**

HERE ratings (scale: 1–10) indicated a mean overall assessment rating of 7.33 (SD = 0.58; Table 6). The highest ratings were assigned for the efficiency of the exposure protocol (M = 8.33; SD = 0.58) and the lowest for its ability to exploit natural constraints (M = 5.00; SD = 3.61). Exploit natural constraints demonstrated the most variability.
Table 1. EBPI usability test user/participant identification process (Step 1).

| Substeps | Description | Exposure protocol example |
|----------|-------------|--------------------------|
| 1. Generate preliminary user list | • Generate an overly-inclusive list  
• Consider individuals in different roles | • Behavioral health clinicians who treat anxiety  
• Supervisors who support those clinicians  
• Adult clients who experience anxiety |
| 2. Articulate most relevant user characteristics | • Personal characteristics  
• Task-related characteristics  
• Geographic/social/setting characteristics | • Experience delivering exposure interventions (clinicians)  
• Experience supervising exposure interventions (supervisors)  
• Anxiety severity (consumers)  
• Clinicians (primary)  
• Supervisors (secondary)  
• Clinicians uninterested in providing exposure interventions (non-user) |
| 3. Describe and prioritize main user groups | • Articulate primary, secondary, and non-users | • Novice, intermediate, advanced in delivering exposure procedures  
• Recruited ten clinicians |
| 4. Select typical and representative users for testing | • Sample into user subtype strata  
• Recruit ~6–20 users per test | |

EBPI: evidence-based psychosocial intervention.

Table 2. EBPI tasks and packaging components (Step 2).

| Definition | General examples | Exposure protocol components |
|------------|------------------|------------------------------|
| Tasks      |                  |                              |
| Content elements | Discrete clinical techniques or strategies used in a session | Exposure; cognitive restricting; psychoeducation; agenda setting | Psychoeducation about anxiety/treatment; fear hierarchy construction; exposure procedures SUDS ratings/fear thermometer to guide exposure; post-session core fear hypothesis testing to drive decision-making |
| Structures | Processes that guide the dynamic selection, organization, and maintenance of content | Team-based goal setting; measurement-based care; structured supervision; intervention algorithms | How-to manual; brief exposure guide (intended for pre- and post-session self-study and reflection by the provider); core fear map; example fear hierarchies |
| Packaging  |                  |                              |
| Artifacts  | Tangible, digital, or visual materials that exist to support task completion | Intervention manuals; informational handouts; job aids; homework sheets | Individual delivery modality; English language; hierarchy construction-exposure sequencing |
| Parameters | Static properties that define and constrain the intervention or service “space” | Modality; prescriptive content sequencing; session length or length of stay/care episode; content delivery method; dosage; language | |

EBPI: evidence-based psychosocial intervention; SUDS: subjective units of distress.
| Testing technique | Questions it can answer | Strengths/weaknesses | Exposure protocol application |
|-------------------|-------------------------|----------------------|------------------------------|
| **Lowest cost**   |                         |                      |                              |
| Quantitative instruments | How significant overall are an EBPI’s usability issues? \ Does the severity of EBPI usability issues vary across groups? | Strengths: \ • Rapid comparison of alternatives \ Weaknesses: \ • Only indicates presence of a usability problem | IUS to assess overall usability |
|                   | Heuristic evaluation | How well does an EBPI align with established usability principles? \ What is the likelihood that an EBPI user will encounter common usability issues? | Strengths: \ • No user recruitment \ Weaknesses: \ • Ratings require design expertise \ • Risk of excessive problem “lumping” | HERE to assess alignment with usability principles |
|                   | Cognitive walkthroughs | How learnable is the EBPI for new or infrequent users? \ How well does the basic structure and process of an EBPI align with users’ goals, expectations, and internal mental models? \ Which EBPI activities are likely to be most problematic for users? \ What major EBPI usability issues are readily detectable? | Strengths: \ • More efficient than traditional testing \ Weaknesses: \ • May over-identify usability problems | Not applied |
|                   | Lab-based testing | What specific usability issues do users (new or experienced) encounter when completing targeted EBPI activities? \ How effectively and efficiently do users complete EBPI activities? \ How frequently do users make errors when completing EBPI activities? \ To what extent does a user’s experience interacting with an EBPI match their expectations? | Strengths: \ • Gold standard usability assessment \ • Observational identification of usability problems \ Weaknesses: \ • Time and resource intensive \ • Requires trained usability tester | Testing exposure procedures using: \ Think aloud technique \ Behavioral rehearsal/role-play \ Evaluation of task effectiveness | Not applied |
|                   | In-vivo testing | How successfully can an EBPI operate in an intended destination context? \ How do users interact with an EBPI over time? \ What contextual variables are most influential on an EBPI’s functioning? \ In what ways do secondary and tertiary users interact with an EBPI? \ What aspects of an EBPI are commonly omitted from delivery and why? \ Which of multiple competing EBPI design options can best be delivered in the destination context? | Strengths: \ • Augments standard pilot tests \ • Greatest external validity \ Weaknesses: \ • Requires some real-world implementation \ • Most expensive | Not applied |

EBPI: evidence-based psychosocial intervention; IUS: Intervention Usability Scale; HERE: Heuristic Evaluation Rubric for EBPI.
### Table 4. Adapted User Action Framework (UAF) for organizing EBPI usability issues (Step 4).

| Step of interaction cycle | Generic descriptors of usability problems |
|---------------------------|-------------------------------------------|
| **Planning**              | Problems with:                            |
| What interferes with user understanding what to do and/or determining what to do? | A. User’s model of system (e.g., fit with beliefs and expectations; awareness and understanding of model and metaphors; idiom has to be learned to do task planning) |
| Example: An EBPI uses many specialized, complex terms, and specifies rigid sequence. User finds it difficult to determine a best sequence to accomplish clinical goals | B. Goal decomposition (e.g., ability to establish sequence of tasks to accomplish goals and determine what to do next) |
|                           | C. Memory limitations not supported        |
|                           | D. User’s knowledge of system state, modalities |
| **Translation**           | Problems with:                            |
| Can the user translate plans into actions to achieve their goals during EBPI interactions? | A. Existence of visual cues |
| Example: A mandatory procedure requires additional assessment when a patient reports symptoms above a cutoff, but the measure is difficult to score by hand | B. Conceptual and procedural clarity of core tasks (content and structures) |
|                           | C. Preferences (e.g., appropriate default values, aesthetics, poor attempts at humor; locus of control) |
|                           | D. Presentation of cognitive affordance (e.g., perceptual issues, including noticeability, legibility, quality of graphics, layout, and grouping) |
|                           | E. Efficiency (e.g., number of steps, short cuts, anticipating most likely next tasks) |
|                           | Problems with:                            |
| **Actions**               | A. Perceiving and manipulating affordances |
| Can the user successfully perform actions with respect to the elements (tasks and packaging components) within typical within clinical workflow and inner setting or daily life? | B. Timing of presentation of opportunities for actions |
| Example: A depressed patient is to complete between session assignments but no prompts or feedback is provided to remember or support new behavior | C. Feedback during actions |
|                           | D. Accommodating user differences (e.g., new and experienced users; differently abled users) |
|                           | E. Complexity or excessive workload       |
|                           | F. Inconsistency                          |
| **Feedback (assessment)** | Problems with:                            |
| Can user understand effect of actions on the system/interaction/intervention; perceive, aggregate, and interpret feedback generated by EBPI applications? | A. Information collection (e.g., efficiency; accessibility) |
| Example: Provider and patient collect no patient-reported outcomes and therefore lack data about improvement in symptoms | B. Feedback (e.g., presence; comparison of present state to goal state; interpretability) |
|                           | C. Presentation of feedback (e.g., noticeability, legibility, timing, presentation medium) |
|                           | D. Content/meaning of feedback (e.g., clarity, completeness, correctness, relevance) preferences and efficiency |
|                           | E. Information displays for results of task |

EBPI: evidence-based psychosocial intervention.
Lyon et al.

across raters. Qualitative reasons given for low ratings for that domain indicated that, aside from some references to what types of exposures can be accomplished in a clinician’s office, the exposure protocol materials did not speak to the context of use in any identifiable way.

**Lab-based testing**

**Task effectiveness.** Successful task completion during the behavioral rehearsal was coded for nine of the 10 participants (one participant did not attempt it). Two novice participants (66%) and one intermediate participant (25%) failed the exposure task. No advanced participants failed the task. Reasons for failure included engaging in contraindicated behaviors, such as providing reassurance to the client during the exposure and unilaterally selecting the easiest trigger from a fear hierarchy (rather than collaboratively choosing something mid-range). No participants were coded as achieving partial success.

**Usability problem prioritization.** Consensus coding yielded 13 distinct usability problems. In Table 7, usability problems

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### Intervention Usability Scale (IUS)

*(Adapted from the System Usability Scale)*

| Strongly Disagree | Strongly Agree |
|-------------------|---------------|
| 1                 | 5             |
| 2                 | 4             |
| 3                 | 3             |
| 4                 | 2             |
| 5                 | 1             |

1. I think I would like to use this intervention frequently
2. I found the intervention unnecessarily complex
3. I thought the intervention was easy to use
4. I think that I would need the support of an expert consultant to be able to use this intervention
5. I found the various components of this intervention were well integrated
6. I thought there was too much inconsistency in this intervention
7. I would imagine that most people would learn to use this intervention very quickly
8. I found this intervention very cumbersome to use
9. I felt very confident using this intervention
10. I needed to learn a lot of things before I could get going with this intervention

Adapted from the System Usability Scale: Brooke, J. (1996). SUS-A quick and dirty usability scale. *Usability evaluation in industry, 189*(194), 4-7.

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Figure 2. The IUS, as applied in the current project.
Heuristic Evaluation Rubric for EBPIs (HERE)

Criteria: Scale (1-10; 1=not at all; 10=extremely)

1. **Learnability**
   
   The EBPI provides users with opportunities to rapidly build understanding of, or facility in, its use.

2. **Efficiency**
   
   The EBPI can be applied by users to resolve identified problems with minimal time, effort, and cost.

3. **Memorability**
   
   Users of the EBPI can remember and successfully apply important elements of the EBPI protocol without many added supports.

4. **Error reduction**
   
   The EBPI explicitly prevents or allows rapid recovery from errors or misapplications of content.

5. **Low cognitive load**
   
   The EBPI task structure is sufficiently simple so that amount of thinking required to complete a task minimized.

6. **Exploit natural constraints**
   
   The EBPI incorporates or explicitly addresses the static properties of the intended destination context, which may affect the ways it can be used.

7. **Overall assessment**

   Notes / explanation of ratings:

   Guidelines for application of HERE:
   - Always use more than one evaluator
   - Evaluators should ideally have “double expertise” (usability + subject domain)
   - Evaluators should review all relevant/available EBPI materials (including training materials and other implementation supports)

Developed by Aaron Lyon & Kelly Koerner. Based on design goals for EBPIs articulated in:
Lyon, A. R., & Koerner, K. (2016). User-centered design for psychosocial intervention development and implementation. *Clinical Psychology: Science and Practice, 23*(2), 180-200.

**Figure 3.** The HERE, as applied in the current project.

are organized based on priority scores, as these account for both likelihood of occurrence and anticipated impact. Usability problem priority scores from the UAF across the two raters were correlated at $r=.65$. Problems receiving the highest average priority ratings included ambiguity about contraindicated behaviors listed in the brief exposure guide ($M=3.0$) and the procedures failing to block the use of these contraindicated behaviors during the behavioral rehearsal ($M=3.0$). In general, usability problems receiving the highest priority scores were also experienced by the greatest number of users ($r=.66$).

**Usability problem organization.** Application of the UAF interaction cycle to the usability issues indicated that most impacted more than one step of that cycle (Table 7). Seven of the usability issues interfered with the planning phase, seven negatively impacted translation of plans into actions, five issues interfered with performance of actions, and seven problems related feedback. Only one usability issue—confusing, non-intuitive formatting or labeling in the brief exposure guide—was determined to impact all four steps of the UAF interaction cycle.

**Discussion**

Complex psychosocial interventions are common in contemporary health care services. Their usability is a critical, but understudied, determinant of implementation outcomes.
Evaluation of usability provides insights to drive adoption decisions as well as proactive adaptation to improve intervention implementability (Lyon et al., 2019). USE-EBPI is the first method developed to directly assess the usability of complex psychosocial interventions.

**USE-EBPI application to exposure protocol components**

IUS results indicated that overall clinician-rated usability of the exposure protocol components tested was good, based on established SUS norms. For comparison, mean ratings were comparable with SUS ratings of the iPhone, but lower than a typical microwave oven (Kortum & Bangor, 2013). This indicates that, while the materials could be improved, the current state is likely acceptable for many users. Nevertheless, differences in IUS ratings by clinician experience level illustrate the value in stratifying by experience. Advanced clinicians viewed the materials near the “excellent” range, whereas practitioners less experienced with exposure were more impacted by usability issues. IUS ratings were largely consistent with HERE rubric ratings by experts, which independently suggested moderate to good usability. However, HERE evaluation also yielded unique information about the protocol’s difficulty exploiting natural constraints, which provides potential direction for subsequent intervention adaptations.

Lab-based testing revealed additional detail about the specific usability problems and further underscored the utility of including users with varying experience levels. Interestingly, novice users attempted and correctly performed many aspects of the exposure, but were not able to rapidly identify and avoid *proscribed* behaviors, and three ultimately failed the exposure task. These findings signal one critical usability problem experienced by novice users (i.e., that the intervention failed to block contraindicated behaviors) that is ripe to be addressed in future proactive adaptations (see below). This, and the 12 additional usability problems, provide insight into potential reasons for lower ratings or task failure and can be used to identify redesign directions.

**Implications for adaptation and redesign**

Although it is beyond the scope of this article to detail the full EBPI adaptation or redesign processes that may result

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| Table 5. Demographics of participants. |
|---------------------------------------|
| Characteristic | N  | %  |
|----------------|----|----|
| Gender         |    |    |
| Male           | 4  | 40 |
| Female         | 6  | 60 |
| Race           |    |    |
| Aboriginal (First Nations, Metis, Inuit) | 0  |    |
| Asian          | 0  |    |
| Native Hawaiian or other Pacific Islander | 0  |    |
| Black or African American          | 1  | 10 |
| White/non-Hispanic                  | 8  | 80 |
| More than one race or other         | 1  | 10 |
| Highest degree earned               |    |    |
| MA                                  | 2  | 20 |
| MSW                                 | 4  | 40 |
| PhD                                 | 3  | 30 |
| Other                               | 1  | 10 |
| Age                                 |    |    |
| 20–29                                | 1  | 10 |
| 30–39                                | 3  | 30 |
| 50–59                                | 2  | 20 |
| 60–69                                | 4  | 40 |

| Table 6. HERE evaluation ratings. |
|------------------------------------|
| Item                               | M   | SD  |
|------------------------------------|-----|-----|
| Learnability                       | 7.33| 1.155|
| The EBPI provides users with opportunities to rapidly build understanding of, or facility in, its use |     |
| Efficiency                         | 8.33| 0.577|
| The EBPI can be applied by users to resolve identified problems with minimal time, effort, and cost |     |
| Memorability                       | 6.33| 0.577|
| Users of the EBPI can remember and successfully apply important elements of the EBPI protocol without many added supports |     |
| Error reduction                    | 7.67| 0.577|
| The EBPI explicitly prevents or allows rapid recovery from errors or misapplications of content |     |
| Low cognitive load                 | 6.33| 0.577|
| The EBPI task structure is sufficiently simple so that the amount of thinking required to complete a task is minimized |     |
| Exploit natural constraints        | 5.00| 3.606|
| The EBPI incorporates or explicitly addresses the static properties of the intended destination context, which may affect the ways it can be used |     |
| Overall assessment                 | 7.33| 0.577|

HERE: Heuristic Evaluation Rubric for EBPI; EBPI: evidence-based psychosocial intervention.
from the assessment described, results suggested how the intervention’s implementability may be enhanced. While usability testing is necessarily problem-focused, redesign decisions should be sure to retain known strengths and positive aspects of the intervention. Focusing redesign on the highest priority problems is intended to help avoid excessive adaptations that may not be critical to ensuring implementability.

Although any adaptation must ultimately be codified in the written intervention protocol (an artifact), adaptations informed by USE-EBPI might include those made to any aspects of the intervention (e.g., content, structures). As indicated above, the most critical usability issues had to do with clearer and understandable signaling about behaviors that undermine the purported active mechanism of exposure (e.g., excessive reassurance). The exposure protocol may benefit from the following proactive adaptations: First, overall usability of the intervention might be improved by clearer labeling in the brief exposure guide. Second, the intervention could provide strategic and timely
delivery of instruction to clinicians on how to use the guide before, during, and after a session for self-supervision. Third more novice-friendly idioms and additional supports (e.g., example scripts) in ambiguous areas (e.g., “exposure processing”) would reduce confusion, especially for less experienced clinicians. Fourth, clearer visual grouping of content presented in select artifacts (e.g., the brief exposure guide) may enhance ease of comprehension. In addition, assignment UAF interaction cycle steps to each usability problem further facilitates redesign decisions. Top priority issues (i.e., those receiving a 2.5 or above) were least likely to involve the planning step, suggesting that appropriate adaptations might be focused less on communicating concepts in understandable ways, and more on their applications.

**Limitations**

The current application of USE-EBPI has a number of limitations. First, the method was only applied with one intervention. Future research should broaden its applications to a wider range of evidence-based programs and practices. Second, the study applied the method to only one of the identified primary user groups (clinicians). As described previously, USE-EBPI is intended to be applicable to a wide range of primary and secondary users. Future research should examine the extent to which EBPI usability testing with clinicians and clients surfaces unique problems to be considered during redesign. Finally, we did not collect explicit information about the extent to which the various USE-EBPI techniques were feasible for use by different stakeholders. Nevertheless, we expect that lower-cost approaches (e.g., quantitative instruments) may be readily applied by community-based stakeholders, whereas more detailed and time-intensive techniques will require expert support and/or more resources (see Table 3).

**Conclusion**

Intervention-level determinants of successful implementation are understood in contemporary implementation research and few methods exist to identify EBPI components for prospective adaptation (Lyon & Bruns, 2019). The USE-EBPI methodology allows for evaluation of a critical intra-intervention determinant—intervention usability—for complex psychosocial interventions in health care. The current study provides preliminary evidence for its utility in generating information about the implementability of specific interventions as well as informing subsequent intervention redesign.

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