Impact of Nursing Staffing on Patient Outcomes in Intensive Care Unit

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Abstract

Background: The impact of nursing care on patient outcomes is not well understood. The objective of this study is to assess the effects of nursing care hours per patient day, nursing skill mix, and nurse turnover on central line-associated bloodstream infection (CLABSI) rates, length of stay (LOS), and mortality in the context of intensive care units (ICUs) using interventions to reduce bloodstream infections and improve patient safety, teamwork, and interdisciplinary communication.

Methods: This study uses longitudinal data from 45 ICUs from 35 hospitals in two faith-based health systems across 12 states. The 45 ICUs were divided into two groups. We analyzed 19 months of experience for the first group and a year of experience for the second group. We collected hours worked by all bedside nurses to calculate National Quality Forum (NQF) nursing measures. We used a two-level random-intercept model to account for the correlations among repeated measures for the same ICU. Regressions accounted for the influences of number of intensivists, type of ICUs, ICU bed size, which health system the unit belonged to, nursing practice environment (PES-NWI) and project phase. Models also adjusted for average patient charges, to partially account for ICU casemix.

Results: Nursing care hours per patient day greater than 20 hours was associated with lower CLABSI rates. Increasing nursing hours per patient day was also associated with shorter LOS, with the strongest relationship where nursing hours per patient day was lower (< 20 hours compared to ≥ 20 hours). A higher skill mix was associated with shorter LOS but higher CLABSI rates. We found no significant relationships of nursing turnover with any outcome, or between any nursing variables and mortality.

Conclusions: Our findings suggest that nursing care hours per patient day and nursing skill mix significantly contribute to CLABSI prevention and LOS in the ICU setting.

Keywords: Nurse staffing; Nurse turnover; Patient safety; Intensive care

Introduction

The landmark report, Crossing the Quality Chasm: A New Health System for the 21st Century highlighted the difference between the quality of care that is, and the quality of care that should be, provided in the United States [1]. In the many years since the publication of this report, clinicians and researchers have struggled to achieve what is best for our patients while allowing them to be involved in their care. However, there is much that we still do not fully understand about optimizing patient care and patient outcomes. One of these important areas is the impact of nurses on patient outcomes. Although nurses make up one of the largest clinician populations, nurses have said for years that there are simply not enough of them to provide quality patient care [2,3]. Nurses have long felt that they are underappreciated and face unrealistic workloads [3,4]. Highlighting the importance of the nurse role in health care delivery, in 2009 the National Quality Forum (NQF) endorsed several nurse-staffing related quality indicators developed by the American Nurses Association [5].

The Institute of Medicine (IOM) committee's Nursing Staff in Hospitals and Nursing Homes highlighted a major gap in research linking nursing staffing to the quality of patient care [6]. Research in this area began mostly as part of studies focusing on the effect of other organizational characteristics [7-11]. More recently, studies specifically focused on examining the relationship between nursing staffing and the patient outcome have increased markedly in number [4,12-17]. Systematic reviews and recent studies suggest that higher levels of nurse staffing, usually measured as nursing hours per patient day or nurse-to-patient ratio, may be associated with improved patient outcomes, including lower hospital mortality, failure to rescue, and adverse events, but studies continue to report mixed results [18-22]. Inconsistencies in data sources, measurement of nurse staffing, and adjustment methodologies for confounders have made it difficult to explain the mixed results and to make definitive statements about how nursing staffing affects patient outcomes. Studies with large sample size tend to obtain nursing staffing data from large administrative databases, which may introduce bias. As an example, many studies use American Hospital Association (AHA) annual survey data, which do not distinguish between inpatient and outpatient staffing and lead to attenuation bias [23]. Some studies use hospital payroll records, which usually do not include contract nurses [24]. On the other hand, studies which directly measure nurse staffing, accounting for only hours of direct patient care, tend to have other limitations, in that they are usually conducted in single hospital or state settings, or are cross-sectional in nature [4,12,15,20].

Further, most studies in the past 10 years have focused on outcomes at a general inpatient level or aggregated at the hospital level and provided little guidance on staffing levels for intensive care units (ICUs) [20,25]. Reviews of the smaller subset of studies focused on ICU settings show similar methodological inconsistencies and inconclusive results [25-27]. To further knowledge on this topic, there is need for large, prospective, longitudinal, multi-center trials which use standardized nurse staffing and outcome measure definitions [18,25].
In this study we hypothesized that nursing measures would be correlated with a reduction in central line-associated bloodstream infection (CLABSI) rates, length of stay (LOS), and mortality in ICUs. The Robert Wood Johnson Foundation’s Interdisciplinary Nursing Quality Research Initiative (INQRI) provided us an opportunity to assess the National Quality Foundation (NQF) nursing quality measures against patient outcomes as part of a larger study testing a nurse-led CLABSI reduction intervention in a multisite, phased, cluster-randomized controlled trial [28].

Methods

Based on a framework adapted from Donabedian’s structure-process-outcome approach [29], we hypothesized that nursing skill mix (a structural factor), nursing hours per patient care (a process factor), and nurse turnover (which can be considered both a process and an outcome variable) would be associated with patient outcomes in the ICU (Figure 1). Other structural variables (e.g., bed size, ICU type) and process variables (e.g., program interventions implemented, activities of quality improvement teams) were expected to influence outcomes as well. Moreover, the culture of patient safety is the overarching context of care variable which is related to all three Donabedian levels. The safety culture can be accessed through climate variables such as teamwork climate and Nursing Practice Environment [30,31]. Based on this framework, the researchers developed the plans and instruments for data collection and analysis.

Data Source

Data for this study were drawn from a cluster randomized controlled trial aimed to promote a culture of patient safety and to reduce CLABSI rates [28]. The 45 participating ICUs were from 35 faith-based not-for-profit community hospitals in two affiliated systems located in 12 states. Forty-five ICUs were randomized into two intervention groups with the first group having 23 ICUs and the second 22 ICUs. The first group started the implementation of the interventions in March 2007 and the second group began 7 months later in October 2007. The project ended in September 2008. Details regarding the trial and the interventions are provided elsewhere [28]. The study was approved by the institutional review board of Johns Hopkins University School of Medicine.

Nurse Managers in each ICU reported unit-level data on nurse staffing and nurse turnover on a monthly basis. The infection preventionists at each hospital collected number of CLABSIIs and central line days according to the definitions of the Centers for Disease Control and Prevention (CDC). Other outcomes were extracted from administrative data by staff in the health system’s corporate headquarters, which forwarded all of the data, including nurse staffing, patient outcomes, and ICU and hospital characteristics, to the research team. Units sent baseline data from 2006 directly to the research team based on their own. All patient outcome variables in this study were 100% complete. The missing rate of nurse staffing variables is 3.3% at the ICU-month level.

At the beginning of the program, the Practice Environment Scale of the Nursing Workforce Index (PES-NWI) was administered to ICU nurses regardless of their involvement in the intervention. All nurses with a 50% or greater commitment to the ICU for at least the 4 consecutive weeks prior to survey administration were requested to complete the PES-NWI. We surveyed all nurses rather than a partial sample to reduce the risk of random error in the assessment of context of care. The average response rate across the participating units was 76%.

Measures of staffing, skill mix, and turnover

We used the National Quality Forum (NQF) nursing measures for this study [5]. We collected data on the number of registered nurses (RNs) and unlicensed assistive personnel (UAP) using full-time-equivalents (FTEs) on the last day of each month, the number of RN FTEs that resigned or transferred out of the unit for the month, and also unit-level number of patient days for the month. The level of nurse staffing was estimated by hours. We calculated monthly total nursing care hours by multiplying the number of FTEs on the last day of the month by 173 1/3 hours (the number of work hours in a month assuming a standard year of 2080 hours, or 52 weeks at 40 hours per week).

Overview of NQF Measures

Nursing care hours per patient day: We calculated this measure for all nursing staff, including RNs (licensed practical nurses are not included in the staff of Adventist ICU hospitals) and unlicensed assistive personnel (UAP). The numerator is the number of productive hours worked by RNs and UAP with direct patient care responsibilities. This includes all bedside nurses, regardless of their affiliation as full time or part time active staff, agency or other contractual nurses. The denominator is all patient days for the unit.

Nursing skill mix: The numerator is defined as the number of productive hours worked by all nursing staff with bedside or patient care responsibilities. Again this includes any RN workforce and any UAP. This is divided by the denominator which is the total number of productive hours worked by the RN staff with direct care responsibilities. The high values of this measure indicate larger proportion of UAP staff hours relative to RN hours. The minimum skill mix measure of 100 represents an exclusively RN staff in the unit with no help from UAP; higher values of skill mix indicate rising UAP hours relative to RN hours.

Nursing turnover: The nurse manager of each participating ICU kept and reported the number of nurses who transfer from the ICU to another inpatient unit within the hospital, defined as internal turnover. They also collected data on external turnover, the number of nurses who voluntarily leave the ICU to work in another hospital. The two determine the numerator for the measure. The denominator is the number of both full and part time nurses on the last day of the month. Both numerator and denominator are measured using FTEs. Nurses that are excluded from the sample include those absent because of death, illness, retirement, disciplinary action and permanent reductions in staff.

Measure of practice environment

The Practice Environment Scale of the Nursing Workforce Index (PES-NWI) is a validated tool designed to contain all factors associated
with job satisfaction and the quality of nursing care delivery [30]. The instrument consists of 31 items on a 4-point Likert scale measuring 5 organizational traits common in nursing-magnet hospitals: nursing participation in hospital affairs; nursing foundations for quality of care; nurse manager ability, leadership, and support of nurses; staffing and resource adequacy; and the degree of collegial nurse/physician relationships. The composite score, which is a mean of the 5 subscale scores, was used in this study to adjust for context differences.

### Measures of patient outcomes

We analyzed three measures of patient outcomes, including CLABSI rates, ICU length of stay (LOS), and in-ICU mortality. Primary CLABSIs were determined using the following criteria: bloodstream infections in ICU patients aged 18 years and older with a laboratory confirmed CLBSI who had central lines in place within the 48-hour period before the development of the infection. Non-ICU patients, patients without central lines, secondary bloodstream infections, and those present or incubating within 72 hours of admission to the unit were excluded. We calculated the monthly rate of CLBSI by dividing the number of infections by the number of central line days and expressed it as the number of CLBSIs per 1000 line days. We calculated mortality rates by dividing the number of in-ICU deaths by the total number of patients admitted to the ICU for the month and expressed them as the number of deaths per 100 cases. ICU average LOS was calculated by dividing total patient days for the month by the total number of patients admitted to the ICU for the month. Table 1 provides the formulae for the key measures described above.

### Statistical analysis

The unit of analysis was the ICU-month. We analyzed 19 months of experience for the first intervention group and a year of experience for the second intervention group. For each outcome, we conducted bivariate and multivariate regression analyses with the nursing measures and control variables. In all multivariate regression analyses, we controlled for practice environment scale, structural variables (ICU type, ICU bed size, number of Intensivists in the ICU, number of ICUs in the hospital, and the health system the hospital belonged to), and job satisfaction and the quality of nursing care delivery [30]. The second class uses a negative binomial model to specify the other zeroes and the continuous positive portion of the distribution. We adjusted for a zero rate for year 2006, ICU type, ICU bed size, number of ICUs in the hospital, the health system the hospital belonged to, and project phase, to account for the very low risk of CLBSI. An offset for the number of central line days adjusted for the risk of infection faced at each ICU-month. We also used robust clustering to account for shared variation among monthly observations from the same ICU. Nursing care hours per patient day was modeled as a binary variable in the regression indicating nursing care hours less than 20 hours or 20 hours and greater, based on the crude relationship observed. Nursing skill mix and nurse turnover were modeled as continuous variables.

We used two-level random intercept models to analyze the impact of nurse staffing and turnover on LOS and in-ICU mortality. In these models, we used unit-level random intercepts to account for the clustering among repeated measures for the same unit. Regressions also adjusted for average charges per patient admitted in the month to partially control for ICU casemix. We used a normal linear distribution to analyze LOS, and a Poisson distribution with an offset for the number of admitted patients in the unit to analyze mortality. A spline approach was applied to model the nursing care hours per patient day, with a cut point of 20 hours, to predict LOS.

All analyses were conducted using STATA version 12.1 (Stata Corporation, College Station, Texas).

### Results

Table 2 provides participating ICUs’ key characteristics and the CLBSI as a dependent variable, we used a zero-inflated negative binomial (ZINB) model, which is a special mixture model with two classes, where the first class has a fixed value at 0 and might include observations with very low risk of CLBSI, due to a well-structured context or system. The second class uses a negative binomial model to specify the other zeroes and the continuous positive portion of the distribution. We adjusted for a zero rate for year 2006, ICU type, ICU bed size, number of ICUs in the hospital, the health system the hospital belonged to, and project phase, to account for the very low risk of CLBSI. An offset for the number of central line days adjusted for the risk of infection faced at each ICU-month. We also used robust clustering to account for shared variation among monthly observations from the same ICU. Nursing care hours per patient day was modeled as a binary variable in the regression indicating nursing care hours less than 20 hours or 20 hours and greater, based on the crude relationship observed. Nursing skill mix and nurse turnover were modeled as continuous variables.

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### Table 2: Baseline Characteristics of Participating ICUs

| Characteristics | n = 45 | % |
|------------------|-------|---|
| Type of ICUs*    |       |   |
| Coronary/Cardiovascular | 8     | 17.8 |
| Medical           | 1     | 2.2 |
| Mixed             | 34    | 75.6 |
| Neurosurgical     | 1     | 2.2 |
| Surgery           | 1     | 2.2 |
| System            |       |   |
| East              | 35    | 77.8 |
| West              | 10    | 22.2 |
| No. of ICU beds (median, IQR) | 10   | (8-18) |
| No. of registered nurses (median, IQR) | 25  | (19-45) |
| No. of Intensivists (median, IQR) | 0    | (0-2) |
| PES-NWI (median, IQR) | 2.83 | (2.63-2.98) |
| Nurse staffing at baseline, year 2006 (median, IQR) | 17.0 | (15.2-20.1) |
| Nursing skill mix | 104.5 | (100.0-111.0) |
| Nurse turnover    | 13.6  | (7.2-19.4) |
| No. of CLABSIs per 1000 central line days | 1.89 | (0.72-4.18) |
| Length of stay    | 3.44  | (3.05-3.78) |
| No. of deaths per 100 cases | 6.63 | (5.23-8.10) |

ICU: intensive care unit; CLBSI: central line-associated bloodstream infection; PES-NWI: Practice Environment Scale of the Nursing Work Index
Summary statistics for the nurse staffing, turnover, and patient outcomes in year 2006 before the units joined the study. The majority of these ICUs were of mixed specialty (76%) and 18% were coronary/cardiovascular ICUs. The bed size of these ICUs ranged from 4 to 32 with a median of 10 beds. Sixty-nine percent of them had no Intensivists in the unit. In 2006, the participating ICUs had median nursing care hours per patient day of 17. The median UAP staff hours were about 5% of the RN staff hours. The median annual nurse turnover rate was 14%. The median CLABSI rate and in-ICU mortality were 1.9 CLABSIs per 1000 central line days and 6.6 deaths per 100 cases, respectively. The median LOS across ICUs was 3.4 days.

Tables 3-5 indicate that nursing care hours per patient day is one of the most influential explanatory variables in both bivariate and multivariate regressions. Controlling for other influences, nursing care hours per patient day greater than 20 was associated with a near 60% reduction in the CLABSI rate compared to ICU-months with nursing care hours per patient day less than 20 (IRR=0.44; 95% CI=0.25-0.77; p=0.004). A higher nursing care hour per patient day was also associated with shorter LOS, and the association was stronger when nursing hours per patient day was less than 20. When we modeled nursing care hours per patient day using a spline approach, a one-hour increase in nursing care hours per patient day was associated with a near 60% (IRR=0.44; 95% CI=0.25-0.77; p<0.001), compared with the reduction in LOS when the hours per patient day was less than 20 (coef.=-0.05; p<0.001), compared with the reduction in LOS when the hours per patient day was less than 20 (coef.=-0.048; p<0.001). A higher nursing care hour per patient day was also associated with a four-fold reduction in LOS when the hours per patient day was less than 20 (coef.=-0.046; p<0.001), compared with the reduction in LOS when the hours per patient day was less than 20 (coef.=-0.046; p<0.001). The difference in the magnitude of the association between less than 20 and 20 or more nursing care hours per patient day was statistically significant (p<0.001).

For skill mix, a higher proportion of UAP hours was associated with slightly longer LOS (coef.=0.006; p=0.002) and somewhat lower CLABSI rates (IRR=0.96; 95% CI=0.94-0.99; p=0.009), other things equal. Nurse turnover was not found to be associated with any of the patient outcomes examined. None of the nursing measures tested were significantly related to in-ICU mortality in this study.

Discussion
Recent reviews of studies examining nursing staffing and patient outcomes in the ICU context have shown mixed results [25-27]. This study seeks to extend the current literature in this area by testing the association of the NQF-endorsed nurse staffing measures on ICU outcome measures, directly matching staffing and outcome measures for skill mix, a higher proportion of UAP hours was associated with slightly longer LOS (coef.=0.006; p=0.002) and somewhat lower CLABSI rates (IRR=0.96; 95% CI=0.94-0.99; p=0.009), other things equal. Nurse turnover was not found to be associated with any of the patient outcomes examined. None of the nursing measures tested were significantly related to in-ICU mortality in this study.

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The relationships between nursing skill mix and patient outcomes are not consistent in this study. We anticipated that lower skill mix, indicating a preponderance of RNs, would lead to shorter LOS, lower CLABSI, and reduced mortality. However, we found that higher skill mix, indicating high run licensed assistant hours, was associated with longer LOS as predicted, but also with lower CLABSI, contrary to our hypothesis. Because of the lack of LPNs in the hospitals in this dataset, middle and higher ranges of skill mix likely indicate the addition of UAPs, not the replacement of RNs by other types of nurses. These results suggest that at any given level of nursing hours per patient day, having the assistance of UAPs can lead to better care, perhaps by allowing RNs to concentrate on care provision rather than on tasks that do not require a nursing degree. Additional staff assistance may have permitted more time to implement, or better overall adoption of, the interventions used in the collaborative (i.e., CLABSI prevention bundle, checklist, daily goals sheet, etc.).

The lack of association of nurse turnover with any of these outcomes was contrary to our hypotheses. Prior literature may have used different definitions of turn over [37,38]. Further, nursing turnover does not automatically imply dilution of experience; often it may be the new nurse, fresh out of school, which leaves the ICU setting after deciding it is not his cup of tea. The lack of association of nurse staffing measures with mortality is somewhat puzzling. Previous studies show a trend of lower mortality with higher nurse staffing, although results were inconclusive [24,25]. It may be that there was insufficient variation in mortality to detect a relationship with nursing measures. None of the intervention periods showed significant reductions in mortality until more than 15 months post implementation; only half of the ICUs had this much experience in the study. Infections were reduced dramatically, but infections are not just one type of adverse event amongst the many types of illnesses and co-morbidities in the ICU patient population.

This study is subject to several limitations. First, only 45 ICUs in two faith-based healthcare systems were studied. The results may not be generalizable to all the hospitals in the US. Moreover, we did not collect patient-level data; thus, the patient casemix in the ICU was not adjusted in the models. However, in the length of stay and mortality regression, we included average charges per patient to partially account for the severity of patient status at the unit level. We also assume that patients in the ICU are generally in serious condition and the cross-sectional variation in patient severity is likely to be moderate.

Conclusions

Nursing makes important contributions to health care quality and patient outcomes in ICUs. Appropriate levels of nurse staffing, particularly greater nursing hours and the availability of support staff can play key roles in preventing infections and reducing length of stay.

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