Data-taking strategy for the precise measurement of the $W$ boson mass with a threshold scan at circular electron positron colliders

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Abstract Circular electron positron colliders, such as the CEPC and FCC-ee, have been proposed to measure Higgs boson properties precisely, test the Standard Model, search for physics beyond the Standard Model, and so on. One of the important goals of these colliders is to measure the $W$ boson mass with great precision by taking data around the $W$-pair production threshold. In this paper, the data-taking scheme is investigated to maximize the achievable precisions of the $W$ boson mass and width with a threshold scan, when various systematic uncertainties are taken into account. The study shows that an optimal and realistic data-taking scheme is to collect data at three center-of-mass energies and that assumptions of the systematic uncertainty sources.

1 Introduction

In the Standard Model (SM) of particle physics, the electroweak (EW) interaction is mediated by the $W$ boson, the $Z$ boson, and the photon, in a gauge theory based on the $SU(2)_L \times U(1)_Y$ symmetry [1–3]. The so called symmetry-breaking mechanism is based on the interaction of the gauge bosons with a scalar doublet field and predicts the existence of a new physical state known as the Higgs boson [4–6]. The $W$ and $Z$ bosons were discovered by the UA1 and UA2 Collaborations in 1983 [7–10] and the Higgs boson was discovered by the ATLAS and CMS Collaborations in 2012 [11,12].

In the EW theory, the $W$ boson mass, $m_W$, can be expressed as a function of the $Z$ boson mass, $m_Z$; the fine-structure constant, $\alpha$; the Fermi constant, $G_F$; the top-quark mass, $m_t$; and the Higgs boson mass, $m_H$. With the measured values of these parameters, the SM predicted value of the $W$ boson mass has been calculated to be $80.358 \pm 0.008$ GeV in Ref. [13] and $80.362 \pm 0.008$ GeV in Ref. [14]. The current Particle Data Group (PDG) world average value of $m_W = 80.379 \pm 0.012$ MeV [15] is dominated by the measurements at LEP2 and Tevatron as well as the latest measurement by the ATLAS Collaboration. In the context of global fits to the SM parameters, constraints on physics beyond the SM are currently limited by the precision of $m_W$, $m_t$, and $m_H$. High precision measurements of these masses are essential to test the overall consistency of the SM and search for new physics beyond the SM.

There are several methods to measure the $m_W$, as proposed for the LEP2 program [16–20]. The first one is the direct reconstruction method, with kinematically-constrained or mass reconstructions of $W^+W^-$, which is the most used in the current experimental results of both hadron and lepton colliders. This method suffers from large systematic uncertainties such as those from hadronization modeling, radiative corrections, lepton energy scale, missing energy, and so on. The second method for $m_W$ measuring is to use the lepton end-point energy. This method encounters the lepton
energy calibration problem. The third method is that the $W$ boson mass can be determined by comparing the observed $W$-pair production cross section(s) ($\sigma_{WW}$) near their kinematic threshold. Just like the measurement of the $\tau$ lepton mass [21,22], this method has potential to measure the $m_W$ with high precision when collecting large data sample around the $W^+W^-$ threshold. Based on this strategy, LEP2 experiments have measured the $W$-pair cross section at a single energy point near 161 GeV, with a total integrated luminosity of about 10 pb$^{-1}$ for each of the four experiments. The $W$ boson mass was determined with a precision of 200 MeV [23–26], dominated by the statistical uncertainty. With much larger data samples, the precision of the $W$ boson mass using this method is expected to be improved significantly. After the discovery of the Higgs boson [4–6], several large electron positron colliders have been proposed, such as the ILC [27], FCC-ee [28,29] and CEPC [30]. One of their important physics goals is the precise measurement of the $W$ boson mass, and the related data-taking optimization strategy was introduced in the context of FCC-ee studies [33], which reveal that an optimal strategy would include measuring $\sigma_{WW}$ at the $\Gamma_W$-independent energy point $\sqrt{s} \simeq 2m_W + 1.5$ GeV, and “off-shell” at $\sqrt{s} \simeq 2m_W - (1-2)\Gamma_W$. Scenarios where systematic uncertainties would be limiting the precision have been examined separately for different sources, and provided the indication that systematic effects that are correlated at different energy points could be partially canceled by measuring $\sigma_{WW}$ at additional energy points where the differential coefficients relevant to the systematic uncertainties are equal [34–38].

In this paper we follow the same methodology, extending it to the context of the CEPC planned data-taking, and produce comparisons with current FCC-ee projections. Additional care and insight is given to a comprehensive evaluation of the impact and possible limitations of systematic uncertainties on the final measurements.

The threshold scan method is introduced in Sect. 2, together with the theoretical tools used to obtain the $W$-pair production cross section. Since the data-taking scheme, including the number of data-taking points, the center-of-mass (CM) energy ($\sqrt{s}$) of each data point, and the allocation of the integrated luminosity, directly impacts the statistical and systematic uncertainties of the measured $m_W$ and $\Gamma_W$, these uncertainties are studied firstly as described in Sect. 3.

\[ M = \sqrt{2}e^2[M^\nu + M^\gamma + M^Z] \Delta \sigma(-1)\Delta\sigma_{\Lambda}\Delta\lambda \]
\[ M^\nu = \frac{1}{2\sin^2 \theta_W \beta} \delta_{[\Delta \sigma],1} \left[ B^\nu_{\xi \lambda} - \frac{1 + \beta^2 - 2\beta \cos \Theta}{1 - \beta^2} C^\nu_{\lambda \lambda} \right] \]
\[ M^\gamma = -\beta \delta_{[\Delta \sigma],1} A^\gamma_{\lambda \lambda} \]
\[ M^Z = \beta \delta_{[\Delta \sigma],1} - \frac{1}{2\sin^2 \theta_W \beta} \delta_{[\Delta \sigma],1} \frac{s}{s - M_Z^2} A^Z_{\lambda \lambda} \]

where $M$ is the total amplitude of $W^+W^-$ production, $M^\nu$, $M^\gamma$, and $M^Z$ are the amplitudes for the coupling channels.
Born (on-shell)

the CC03 mode [42]. Figure 2 shows the cross section as selection efficiency and purity for the except for the signal selection efficiency. To estimate the largely the same as the ones in the FCC-ee’s paper [33], of the CM energy of the electron (positron) and $W^+ (W^-)$ spins (i.e., their polarization state), respectively; $J_0 \equiv \max(\Delta \sigma_\ell, \Delta \lambda)$, is the minimum angular momentum of the system; $\beta \equiv \sqrt{1 - (2m_W^2)/(\sqrt{s})^2}$ is the velocity of the W boson; and $\theta_W$ is the Weinberg weak mixing angle.

The production cross section of $W$-pair at $e^+e^-$ colliders, $\sigma_{WW}$, is calculated using the GENTLE package [41] with the CC03 mode [42]. Figure 2 shows the cross section as a function of $\sqrt{s}$ with $m_W$ and $f_W$ fixed to their world average values: $m_W = 80.379$ GeV and $f_W = 2.085$ GeV [15]. The Born-level cross sections are shown in black for a zero-width $W$ boson and in blue for a finite-width $W$ boson. The red curve includes the effects of both the finite width and the Initial State Radiation (ISR) contribution.

The goal of this study is to optimize the data-taking scheme for a fixed total integrated luminosity and given beam parameters with their corresponding systematic uncertainties. Table 1 summarizes the inputs and configurations used in this study. For comparisons, the configurations used by the FCC-ee study are also listed.

Among the configurations listed in Table 1, the mass and width of the $W$ boson are from the PDG [15]; the total luminosity is assumed to be $3.2 \text{ ab}^{-1}$ expected at the CEPC in one year data-taking; the parameters for beam energy and its spread are from the CEPC’s Conceptual Design Report [30]; other assumptions on the systematic uncertainties are largely the same as the ones in the FCC-ee’s paper [33], except for the signal selection efficiency. To estimate the selection efficiency and purity for the $W$-pair events, the semi-leptonic $e^+e^- \rightarrow W^+W^- \rightarrow \mu \nu qq$ process is simulated at the generator-level using the Monte Carlo (MC) package whizard [43,44] at $\sqrt{s} = 161$ GeV. The signal events are selected by requiring two jets, one muon. The energy of the muon must be larger than 30 GeV. The corresponding signal selection efficiency is about 90% with a signal purity of about 98%. Figure 3 shows the distributions of the invariant and recoil mass of the two selected jets. For the pure leptonic and hadronic processes, $e^+e^- \rightarrow W^+W^- \rightarrow l_1l_2\nu_{l_1}\nu_{l_2}/qqQ\bar{Q}$, signal event selections are more complex, thus the selection efficiency and the purity are expected to be lower than those of the semi-leptonic decays. For this study, weighted selection efficiency and purity of 80% and 90%, respectively, are assumed for selecting $W$-pair events.

For the energy calibration, resonant depolarization is the most precise method, which is successfully applied at LEP [45–47]. This method are proposed also by both FCC-ee [28,29] and CEPC [30] for $Z$ pole and $W$-pair threshold energy regions. And FCC-ee’s study shows that the precision of 500keV of the beam energy calibration at $W$-pair threshold can be achieved [48], but require a dedicated operation mode, specific hardware elements, and carefully controlling and monitoring of the operating conditions.

For what concerns the energy spread, it has been shown in FCC-ee studies [28,37] that it can be measured and monitored to a precision of $\sim 5\%$ making use of the acollinearity distribution of $\sim 10^3$ dimuon events [28]. For CEPC, the further study of the energy spread is in progress, so the 10% is taken as the uncertainty the energy spread conservatively.

**Table 1** The configurations of the data-taking assumed in this paper. Shown in the table are the world average values of the mass and width of the $W$ boson [15]; the total integrated luminosity and its relative uncertainty, $\Delta \mathcal{L}$ and $\Delta \mathcal{L}$, the means and uncertainties of beam energy and its spread, $E, E_{BS}, \Delta E$, and $\Delta E_{BS}$; the relative uncertainties of the background, and detection efficiency, $\Delta \eta_B$ and $\Delta \epsilon$. The second column is used in this study and the third one in FCC-ee’s paper [33].

| Configurations | This study | FCC-ee work |
|----------------|------------|-------------|
| $m_W$ (GeV)     | 80.379 ± 0.012 |             |
| $f_W$ (GeV)     | 2.085 ± 0.042  |             |
| $z^2$ (ab$^{-1}$) | 3.2         | 15          |
| $\sigma_E$ (%)  | 0.1         | 0.09        |
| $\epsilon$      | 0.8         | 0.75        |
| $\sigma_B$ (pb) | 0.3         | 0.3         |
| $\Delta \sigma_E$ (%) | 10         | 5           |
| $\Delta E$ (MeV) | 0.5         | 0.24        |
| $\Delta \eta_B$ (pb) | 10$^{-3}$  | 10$^{-3}$   |
| $\Delta \epsilon$ (MeV) | 10$^{-4}$  | 10$^{-4}$   |
| $\Delta \epsilon$ (MeV) | 10$^{-4}$  | 10$^{-4}$   |

![Fig. 2](image-url) The theoretical cross sections of $W$-pair production as functions of the CM energy of the $e^+e^-$ collisions. The black solid line is the Born-level cross section with the zero-width assumption, the blue dash line is the Born-level cross section including the finite width of the $W$ boson. The red dash-dot line is the cross section taking into account both the finite width of the $W$ boson and the ISR corrections. The world average values [15] of $m_W$ and $f_W$ are used in these calculations.
3 Consideration on the uncertainties

Once the configurations of the data samples described above are assumed, the data-taking scheme can be optimized. The guideline of the optimization is to obtain the highest precision of the mass (width) of the W boson based on the fixed total integrated luminosity. Thus the statistical and systematic uncertainties of the \( m_W \) and \( \Gamma_W \) measurements are investigated firstly, following with the estimations of the total uncertainties of the \( m_W \) and \( \Gamma_W \) measurements for specific data-taking schemes.

3.1 Statistical uncertainty

The W-pair cross section can be experimentally determined by counting the number of \( e^+e^- \rightarrow W^+W^- \rightarrow \mu\nuqq \) events. It should be noted that W boson’s three major decay channels (\( lvlv, lvq\bar{q}, q\bar{q}q\bar{q} \)) are all used to increase the statistical power. Although each channel has its own efficiencies and background, the global analysis and the simultaneous fit could be applied to get the total W-pair events. So the number of the total W pairs can be determined by combining all various channels with all branching ratios and efficiencies taken into account.

The W-pair cross section at a specific CM energy point is determined by:

\[
\sigma_{\text{meas}} = \frac{N_{\text{meas}}}{L} = \frac{N_{\text{obs}} - N_B}{L},
\]

where \( N_{\text{meas}} \) is the signal yield, \( N_{\text{obs}} \) and \( N_B \) the numbers of observed events and estimated background events, respectively, \( L \) the integrated luminosity, and \( \epsilon \) the signal selection efficiency. With Eq. 2, the statistical uncertainty of the \( \sigma_{\text{meas}} \) can be expressed as (assuming Poisson distribution):

\[
\Delta \sigma_{\text{meas}}(\text{stat.}) \sim \frac{\sqrt{N_{\text{meas}}}}{L} = \frac{\sqrt{\sigma_{\text{meas}}}}{\sqrt{L \epsilon P}} = \frac{\epsilon \sigma_{WW}}{\epsilon \sigma_{WW} + \epsilon_B \sigma_B},
\]

where \( P \) is the signal purity of the selected sample, \( \epsilon_B \) is the surviving rate of background events (i.e. background efficiency) and \( \sigma_B \) is the total background cross section.

If the data is taken at one single energy point, the statistical sensitivities of the W boson mass and width can be obtained individually:

\[
\Delta m_W(\text{stat.}) = \left( \frac{\partial \sigma_{\text{meas}}}{\partial m_W} \right)^{-1} \Delta \sigma_{\text{meas}} = \left( \frac{\partial \sigma_{\text{meas}}}{\partial m_W} \right)^{-1} \frac{\sqrt{\sigma_{\text{meas}}}}{\sqrt{L \epsilon P}},
\]

\[
\Delta \Gamma_W(\text{stat.}) = \left( \frac{\partial \sigma_{\text{meas}}}{\partial \Gamma_W} \right)^{-1} \Delta \sigma_{\text{meas}} = \left( \frac{\partial \sigma_{\text{meas}}}{\partial \Gamma_W} \right)^{-1} \frac{\sqrt{\sigma_{\text{meas}}}}{\sqrt{L \epsilon P}}.
\]

Figure 4 shows the statistical uncertainties of \( m_W \) and \( \Gamma_W \) as functions of \( \sqrt{s} \) of the data-taking. The distributions show minimal statistical uncertainties for \( m_W \) and \( \Gamma_W \), but at two different \( \sqrt{s} \) values. Please note, however, only one of them can be determined at one single data point, with the other one fixed to the world averaged value \([15]\).

For taking data at more than one energy point, \( m_W \) and \( \Gamma_W \) can be measured simultaneously. The statistical uncertainties can be obtained by the covariance matrix, which is the inverse of the second-order derivative matrix of the log-likelihood or \( \chi^2 \) function with respect to its free parameters, usually evaluated at their best values (the function minimum). The minimum \( \chi^2 \) method is used in this study and the \( \chi^2 \) is constructed as:

\[
\chi^2 = \sum_i \frac{(N_{\text{fit}}^i - N_{WW}^i)^2}{N_{WW}^i},
\]

which is minimized using the MINUIT package \([49]\).
Therefore the covariance matrix can be written as:

\[
V = \frac{1}{2} \left[ \begin{array}{cc}
cc & \frac{\partial^2 \chi^2}{\partial m_W \partial \Gamma_W} \\
\frac{\partial^2 \chi^2}{\partial m_W \partial \Gamma_W} & \frac{\partial^2 \chi^2}{\partial \Gamma_W \partial \Gamma_W}
\end{array} \right]^{-1}
\]

\[
= \left[ \begin{array}{cc}
cc & \sum_i \left( \frac{\partial \sigma_i}{\partial m_W} \right) \left( \frac{\partial \sigma_i}{\partial \Gamma_W} \right) \\
\sum_i \left( \frac{\partial \sigma_i}{\partial m_W} \right) \left( \frac{\partial \sigma_i}{\partial \Gamma_W} \right) & \sum_i \left( \frac{\partial \sigma_i}{\partial m_W} \right)^2
\end{array} \right]^{-1}.
\]

The diagonal elements of the second-order derivative matrix, are de-coupled from other parameter(s), but when the matrix is inverted, the diagonal elements of the inverse contain contributions from all the elements of the second derivative matrix. When the number of fit parameters is reduced to one, Eq. 6 is simplified to Eq. 4.

Fig. 4 Distributions of the statistical uncertainties of \( m_W \) (a) and \( \Gamma_W \) (b) for taking data at a single energy point.

Fig. 5 (a) The dependence of the statistical uncertainties of the measured results on the statistic of data. (b) The decline rate of the statistical uncertainty to luminosity. The black (red) solid line shows the result for measuring the \( m_W \) (\( \Gamma_W \)) only, and the black and red dots show the results for measuring the \( m_W \) and \( \Gamma_W \) simultaneously. The energy 162.5 GeV is used for \( m_W \) and 158.5 GeV is used for \( \Gamma_W \), and they are both used when the mass and width are fitted simultaneously.

3.2 Systematic uncertainties

Since the \( W \) boson mass and width are determined by comparing the measured cross section(s) of \( W \)-pair with the theoretical prediction(s), there are various sources could contaminate the measured precisions, which can be separated into two categories: 1) The “X-value” (abscissa of Fig. 2) uncertainties, such as the beam energy calibration (\( E \)) and the beam energy spread (\( \sigma_E \)) measurement. Generally speaking, there will be some dedicated approaches to measure \( E \) and \( \sigma_E \), and the uncertainties after the measurements are defined as \( \Delta E \) and \( \Delta \sigma_E \), respectively; 2) The “Y-value” (ordinate of Fig. 2) or “yield uncertainties”, which are affected by the integrated luminosity, the selection efficiency and the background determinations.
3.2.1 “X-value” uncertainties

The energy and energy spread of each beam are associated with the accelerator performance, and their uncertainties are treated as point-to-point, which means that these uncertainties of different data points are independent with each other. The uncertainties of energy and energy spread of each beam are both assumed to follow Gaussian distributions associated with the accelerator performance, and their uncertainties are thought to be independent, the uncertainty of the energy and energy spread are treated as point-to-point, which means that these uncertainties of different data points are independent with each other. The uncertainties of the energy spread and energy are shown in Fig. 7, whose Y-axis is the ratio between the cross sections with different energy spread and the one without the energy spread. The central curve corresponds to the prediction obtained with $\sigma_E = 0.1\%$ (relative value), which is the design value of the CEPC. Purple and blue bands show the ratio curves obtained varying the nominal $\sigma_E$ with $[0.8, 1.2]\sigma_E$

Figure 6 shows the dependence of $\Delta m_W$ on the energy with a the $\Delta E = 0.7$ MeV. The black dots with error bars are the simulations results and the blue curve is the numerical result of Eq. 9, which are consistent with each other. It can be seen that the variation of the cross section is insensitive to the beam energy spread in the vicinity of this specific energy point. So the effects of the energy spread and its uncertainty to the cross section are both can be neglected here. Analytic way to consider the effect of the energy spread can be performed using the Taylor expansion of the $\sigma_{WW}$ [50], which reads

$$\sigma_{WW}(E_0, \sigma_E^0) = \sigma_{WW}(E_0) + \frac{1}{\sqrt{2\pi \sigma_E^0}} e^{-\frac{(E_0-E_0)^2}{2\sigma_E^0}} dE.$$  \hspace{1cm} (7)

When both $\Delta E$ and $\Delta \sigma_E$ are considered, the $\sigma_{WW}$ becomes:

$$\sigma_{WW}(E_0, \sigma_E^0) = \int \sigma_{WW}(E_0) \times \frac{1}{\sqrt{2\pi \sigma_E^0}} e^{-\frac{(E_0-E_0)^2}{2\sigma_E^0}} dE.$$  \hspace{1cm} (8)

The $\Delta m_W$ associated with the $\Delta E$ can be written as

$$\Delta m_W(\Delta E) = \frac{\partial m_W}{\partial E} \cdot \Delta E = \frac{\partial m_W}{\partial \sigma_{WW}} \cdot \frac{\partial \sigma_{WW}}{\partial E} \cdot \Delta E.$$  \hspace{1cm} (9)

The distribution of the ratio between the cross sections with different $\sigma_E$ and the one without the energy spread. The central curve corresponds to the prediction obtained with $\sigma_E = 0.1\%$ (relative value), which is the design value of the CEPC. Purple and blue bands show the ratio curves obtained varying the nominal $\sigma_E$ with $[0.8, 1.2]\sigma_E$
The third item of Eq. 11 is about two orders of magnitude smaller than the second one, therefore the high order items can be neglected safely. So the effect of the uncertainty of energy spread on the \( m_W \) can be expressed as

\[
\Delta m_W(\Delta \sigma_E^0) = \Delta \sigma_E^0 \frac{\partial m_W}{\partial \sigma_{WW}} \cdot \frac{d^2 \sigma_{WW}}{dE^2} \cdot (\sigma_E^0 \cdot E_0)^2
\]  

(13)

With \( \sigma_E^0 = 0.001, \Delta \sigma_E^0 = 0.1 \cdot \frac{\partial m_W}{\partial \sigma_{WW}} = 0.48 \) and \( \frac{d^2 \sigma_{WW}}{dE^2} = 0.16 \) at 161.2 GeV, \( \Delta m_W \) associated with \( \Delta \sigma_E^0 \) is about 0.2 MeV, which is consistent with the result obtained by simulation.

3.2.2 “Y-value” uncertainties

From the Eq. 2 we can see that the signal yield (number of \( W^+W^- \) events), is affected by the uncertainties related to the luminosity, efficiency, and background in different ways, which can be shown from the error propagation:

\[
\Delta \sigma_{\text{meas}}^2 = \Delta \sigma_{\text{meas}}^2 (\Delta \mathcal{L}^2 + \Delta \epsilon^2) + \frac{1}{\mathcal{L}^2 \epsilon^2} \Delta N_B^2
\]  

(14)

where \( \Delta \mathcal{L} \) and \( \epsilon \) are the relative uncertainties of luminosity and efficiency, and \( \Delta N_B \) is the uncertainty of background.

For the \( W \) production above their threshold, the potential main background processes include \( e^+e^- \rightarrow \mathcal{L}_0, e^+e^- \rightarrow \mathcal{Z}_0, e^+e^- \rightarrow \mathcal{Z}_0, e^+e^- \rightarrow W^+W^- \), and \( e^+e^- \rightarrow \tau^+\tau^- \). Figure 8(b) shows the simulation results with \( \delta_e \equiv \sqrt{\Delta \mathcal{L}^2 + \Delta \epsilon^2} = 1.4 \cdot 10^{-4} \), to consider their total contributions of these two items. The \( \Delta m_W \) associated with \( \delta_e \) is

\[
\Delta m_W(\delta_e) = \frac{\partial m_W}{\partial \sigma_{WW}} \sigma_{WW} \cdot \delta_e.
\]  

(18)

With Eq. 18, one can obtain the \( \Delta m_W \) of a specific energy point, and the similar result can be applied for \( W \) width.

When there is more than one energy point, the uncertainties of luminosity and efficiency have often been treated as the non point-to-point ones in experiment. Since the same detector, signal model, and theoretical calculation (Bhabha process for determining the luminosity) are used for all the energy points. The cross sections of \( W \) pair production at different energy points are expected to vary in same unknown direction and in similar relative amount simultaneously, which means that these uncertainties of different data points are correlated.

There are two common to consider \( \delta_e \):

1) Gaussian case: \( \delta_e \) is assumed to follow Gaussian distribution, which means that the cross section can be written as

\[
\sigma_{WW} = G(\sigma_{WW}^0, \sigma_{WW}^0 \cdot \delta_e),
\]  

(19)

where \( \sigma_{WW}^0 \) is the nominal value.

2) Non-Gaussian case: the \( \delta_e \) is treated as a fixed value, so the cross section is

\[
\sigma_{WW} = \sigma_{WW}^0 \cdot (1 + \delta_e).
\]  

(20)

For the Gaussian case, the measured \( m_W \) follows the Gaussian distribution as well, and its standard deviation is \( \Delta m_W \). Figure 8(a) shows the simulation results with \( \delta_e = +1.4 \cdot 10^{-4} \) and \( -1.4 \cdot 10^{-4} \) at 161.2 GeV (the \( \delta_e \) is enlarged 10 times for demonstration). The fitted \( \Delta m_W \) are 0.24 and 2.4 MeV, respectively, which are consistent with the direct calculations from the Eq. 18.

For the non-Gaussian case, the situation is different. The measured cross section will be changed of \( \sigma_{WW} \) \cdot \delta_e, and the \( \Delta m_W \) is turned to be the shift now, as shown in Fig. 8(b).
Fig. 8 The simulation results of $m_W$ for the Gaussian (a) and non-Gaussian (b) cases, with the input $m_W$ of 80.379 GeV. The black solid and dash lines are for $\delta_c = +1.4 \times 10^{-4}$ and $\delta_c = +1.4 \times 10^{-3}$ at 161.2 GeV, respectively.

Fig. 9 The simulation results of $m_W$ for the Gaussian (a) and non-Gaussian (b) cases, the input $m_W$ is 80.379 GeV and the scale factor method is used. The black solid and dash lines are the result for $\delta_c = +1.4 \times 10^{-4}$ and $\delta_c = +1.4 \times 10^{-3}$ at 161.2 GeV and 162.5 GeV, respectively.

We can see that the fitted $m_W$ is shifted to left with positive inputs for $\delta_c$, since the $\partial m_W/\partial \sigma_{WW}$ is negative at this energy. This shifts becomes significant with the increasing $\delta_c$, so the correlation should be taken into account to reduce the contribution from $\delta_c$, especially for the non-Gaussian case.

In general, there are several ways to consider the correlation between multiple energy points in experiment, such as the covariance matrix and scale factor methods [51,52]. These two methods are discussed and compared in the Refs. [53–56] and the latter is used in this work, with the $\chi^2$ constructed as

$$\chi^2 = \sum_i \frac{(N_{\text{meas}}^i - h \cdot N_{\text{fit}}^i)^2}{\sigma_i^2} + \frac{(h - 1)^2}{\Delta^2},$$

(21)

where $\delta_i$ is the combination of the statistical and uncorrelated systematic uncertainties, $\delta_c$ is the total relative correlated systematic uncertainty, $h$ is a free parameters and $(h - 1)$ represents the potential shift of the measurement.

The scale factor method is adopted for both the Gaussian and non-Gaussian cases. Since an additional fit parameter, $h$, is needed for this method, the energy point at 162.5 GeV is added. Figure 9(a) shows the simulation results for the Gaussian case. We can see that even when $\delta_c$ increases by 10 times, the corresponding variation on $\Delta m_W$ is still very small. The advantage of this method is more obvious for the non-Gaussian case, as shown in Fig. 9(b). Even though the uncertainties are increased by an order of magnitude, the shift of $m_W$ is well controlled.

Apart from the uncertainties discussed above, the one on the theoretical calculated $W$-pair cross section, $\Delta \sigma_{WW}$, is an important source which may limit the precision of the measured $m_W$ ($\Gamma_W$). The contribution of $\Delta \sigma_{WW}$ is in the...
same form as Eq. 18, and will have a prominent effect if $\Delta \sigma_W$ is quite large. With the $\Delta \sigma_W$ at $10^{-3}$ level, the corresponding $\Delta m_W$ is about 1.7 MeV at 161.2 GeV, which dilutes the statistical power obviously. The precision of $\sigma_W$ at threshold in LEP2 era was at $10^{-2}$ level, so it is extremely important to improve this for the precise measurement of $m_W$ ($\Gamma_W$) in future.

4 Data-taking strategies

In the above discussion, the main sources of the uncertainties of $m_W$ ($\Delta \Gamma_W$ for data-taking at more than one point) are studied, including both the statistical and systematic ones. Generally, $\Delta m_W$ ($\Delta \Gamma_W$) depends on the energy of the data point, and the statistical part is also limited by the integrated luminosity. The optimization of the data-taking strategy is to determine the number of data-taking points, the energy of each data point, and the allocation of the integrated luminosity for a fixed total integrated luminosity. The FCC-ee has investigated data-taking at one and two energy points to measure $m_W$ and $\Gamma_W$ [33]. When the systematic uncertainties are taken into account, especially for the correlated ones, more energy points are beneficial for an optimal measurement.

MC experiment method is used to optimize the data-taking schemes. The number of $W$-pair events is compared with the theoretical predictions, and the corresponding $m_W$ ($m_W$ and $\Gamma_W$) and its (their) uncertainties can be obtained. The $\chi^2$ construction is listed in Eq. 5 for data taking at one or two energy points, and in Eq. 21 for three energy points.

For each MC experiment, the statistical and uncorrelated systematic uncertainties, are assumed to follow independent Poisson and Gaussian distributions at all energy points, respectively; and for each correlated systematic uncertainty, the Gaussian distribution is assumed. The experiments are repeated 500 times, the corresponding distributions of $m_W$ and $\Gamma_W$ are expected to follow Gaussian distribution, whose standard deviation represent the combinations of all different uncertainty sources.

4.1 Measurement of the $W$ boson mass at one energy point

For data taking at a single energy point, there is an ideal choice, $E = 2m_W + 0.4 \approx 161.2$ GeV, to measure $m_W$ with the best statistical sensitivity as shown in the Fig. 4 (a). But the contributions from systematic uncertainties need to be included for a realistic measurement. An interesting feature is the effect of the $\Gamma_W$ uncertainty on the $W$ boson mass. Figure 10 shows how the line-shape of $W$-pair cross section varies according to the $W$ boson mass and width, where the black line is the one with $m_W$ and $\Gamma_W$ fixed to the world averaged values [15], $m_W = 80.385$ GeV and $\Gamma_W = 2.085$ GeV, and bands correspond to the variations of the $W$ boson mass or width in large ranges, $\pm 1$ GeV. It can be seen that although the variation of the $W$ boson width changes the cross section line-shape, there is a common intersection of all the line-shape curves with different $\Gamma_W$, which indicates that the cross section around this energy points is insensitive to the uncertainty of the $W$ boson width.

Based on the above discussion, two specific energy points are favored for the $W$ mass measurement. The first is the most statistically sensitive one, $E = 161.2$ GeV, and the other is $E = 162.3$ GeV, where the uncertainties of $\Gamma_W$ and the $E_{BS}$ have negligible effects on the $W$ mass measurement. At 161.2 GeV, the effect of uncertainty from $\Gamma_W$ on $W$ mass can be written as $\Delta m_W = (\frac{\partial m_W}{\partial \sigma_W}) \Delta \sigma_W \approx (\frac{\partial m_W}{\partial \Gamma_W}) (\frac{\partial \Gamma_W}{\partial \sigma_W}) \Delta \Gamma_W$, which could be estimated with numerical calculation. With $\frac{\partial m_W}{\partial \sigma_W} = 0.474$ GeV/pb and $\frac{\partial \Gamma_W}{\partial \sigma_W} = 0.376$ pb/GeV at 161.2 GeV and $\Delta \Gamma_W = 42$ MeV [15], the corresponding $\Delta m_W$ is about 7.5 MeV.

Table 2 summarizes the results for the data taking at either one of the above two energy points with the configurations in Table 1. It can be seen that the dominant contribution to $\Delta m_W$ at 161.2 GeV is from the uncertainties of $\Gamma_W$, which is negligible at $E = 162.3$ GeV. Thus 162.3 GeV is a better choice when only $m_W$ is measured and the expected precision is about 0.9 $\oplus$ theory MeV.

4.2 Measurement of the $W$ boson mass and width at two energy points

In the previous section, data taking at one energy point is investigated, the best precision of $m_W$ can be obtained with $E = 162.3$ GeV. With one energy point, only $m_W$ can be measured. Alternately, both $m_W$ and $\Gamma_W$ can be determined.
simultaneously if two energy points near the $W$-pair threshold are adopted for data-taking. In this case, the statistical uncertainties of $m_W$ and $\Gamma_W$ can be obtained using Eq. 6.

To obtain the best precision of $m_W$ and $\Gamma_W$ for a given total integrated luminosity, the data-taking scheme of the energy points and luminosity allocation for each energy point are optimized. A 3-dimensional (3D) scan of the energy points $E_1$ and $E_2$ ($E_1 < E_2$), and the luminosity fraction $F$ of the energy point $E_1$ is performed, which defined as $F = \mathcal{L}_1 / \mathcal{L}$. The scan step sizes of $E_1$ and $E_2$ are 100 MeV, and 0.05 for $F$.

The best energy point for $m_W$ is above the $W$-pair threshold, while the one for $\Gamma_W$ is below the threshold, as shown in Fig. 4, making it impossible to simultaneously achieve the best precisions for both. Thus an objective function is defined to quantify the relative importance of the two measurements: $T = m_W + A \cdot \Gamma_W$, where $A$ is the weight factor to be chosen. Since the $W$ boson mass is thought to be more important than its width, $A = 0.1$ is used throughout this paper, and the goal of optimization is to minimize $\Delta T$. Figure 11 (a)-(c) show the optimization of $E_1$, $E_2$, and $F$. For the scheme of two energy points, the optimized parameter values are:

$$E_1 = 157.5 \text{ GeV}, \quad E_2 = 162.5 \text{ GeV}, \quad F = 0.3, \quad (22)$$

where $E_2 = 162.5 \text{ GeV}$ is consistent with the expectation, since $\Delta m_W$ is minimal around this energy region and has more weight to $\Delta T$. The $W$-pair cross section is not very sensitive to $m_W$ when $\sqrt{s}$ is less than 158 GeV, thus the distribution of $\Delta T$ is generally flat in this energy region. The corresponding luminosity fraction is smaller than the one around 162.5 GeV. The projected precisions for $m_W$ and $\Gamma_W$ are summarized in Table 3.

### 4.3 Measurement of the $W$ boson mass and width at three energy points

For taking data at more than two energy points near the $W$-pair threshold, the correlation in the $m_W$ and $\Gamma_W$ measurements among different energy points can be taken into account by redefining the $\chi^2$ form and introducing additional parameter(s) $\delta_i$ as shown in Eq. 21. Therefore the effects of the correlated systematic uncertainties are reduced, leading to improved precisions of the measurements.

The procedure of optimization for three energy points scheme is analogous to the case for two energy points by

| Energy/source | $\delta_{\text{stat}}$ (stat.) | $\Delta E$ | $\Delta \sigma_E$ | $\Delta \Gamma_W$ | $\delta_B$ | $\delta_c$ | Total |
|---------------|-------------------------------|-----------|------------------|-----------------|-----------|--------|-------|
| $\Delta m_W$ (MeV) | 161.2 (GeV) | 0.59 | 0.36 | 0.20 | 7.49 | 0.17 | 0.24 | 7.53 |
|               | 162.3 (GeV) | 0.65 | 0.37 | - | - | 0.17 | 0.34 | 0.84 |

Table 2 The precision of $m_W$ when taking data at $E = 161.2$ or 162.3 GeV. Shown in the table are the statistical and systematic uncertainties on $m_W$. The last column is the total uncertainty at the corresponding energy point.

![Fig. 11](image-url) The optimization results of 3D scan for taking data at two points. (a)-(c) are for $E_1$, $E_2$, and $F$, respectively. In practice, each parameter is optimized by scanning other two parameters. These three plots just shows the dependence of $\Delta T$ on one parameter, with another one float and the third one fixed.
adding another two scan parameters. The energies of the three data points, $E_1$, $E_2$, and $E_3$, as well as the two luminosity fractions $F_1$ and $F_2$ are optimized to reach the best precisions of $m_W$ and $\Gamma_W$, where $F_1 = \mathcal{L}_1/\mathcal{L}$ and $F_2 = \mathcal{L}_2/\mathcal{L}$. The scan procedure is similar to that for the two energy points, except it is over a 5-dimensional parameter space now. The optimized parameter values are:

$$E_1 = 157.5 \text{ GeV}, \ E_2 = 162.5 \text{ GeV},$$
$$E_3 = 161.5 \text{ GeV}, \ F_1 = 0.30, \ F_2 = 0.63. \tag{23}$$

With these results and the assumptions of total integrated luminosity and the systematic uncertainties, the expected $\Delta m_W$ and $\Delta \Gamma_W$ are listed in Table 3, and the total projected uncertainties would be

$$\Delta m_W \sim 1.0 \oplus \Delta^t m_W, \quad \Delta \Gamma_W \sim 3.4 \oplus \Delta^t \Gamma_W, \tag{24}$$

where $\Delta^t m_W$ and $\Delta^t \Gamma_W$ are the theoretical uncertainties of the $W$ boson mass and width due to the cross section calculation. Though the precisions of the $W$ boson mass and width for the three energy points are not improved much compared with those for the two energy points, the results for the three energy points are more realistic and robust. Since more energy points have the advantage of better background understanding and the sophisticated treatment of correlated systematic uncertainties.

### 4.4 Discussion about the data-taking plan

Three data-taking schemes are investigated above for the best measurement precisions of the $W$ boson mass and width with the threshold scan method. With the fixed total integrated luminosity and expectations on systematic uncertainty controls, the data-taking is optimized to minimize the total uncertainties on the $W$ boson mass and width measurements.

The integrated luminosities of the CEPC and the FCC-ee at the $W$-pair threshold are expected to be much larger than that at the LEP. In the ideal case of one single energy point, both the analytic and MC simulation method have showed that a statistical precision of less than 1 MeV can be achieved for $m_W$. It indicates that the systematic uncertainties such as theoretical calculation, beam energy calibration, luminosity determination, etc. become more important. One interesting feature is that the $\Delta m_W$ due to the $W$ boson width and the beam energy spread vanishes around $\sqrt{s} = 2m_W + 1.5 \text{ GeV}$. These two systematic uncertainties can be neglected for the data taking at this energy point.

For taking data at a single energy point, the $W$ boson mass and width cannot be determined simultaneously. Moreover, the best precision of either is obtained at different energies. However, the optimized $\Delta m_W$ for the two or three energy points is only slightly larger than the one for a single energy point as shown in Table 3. In this case, $\Gamma_W$ can be measured simultaneously. Also, although the optimized precisions on $m_W$ and $\Gamma_W$ are similar for the two and three energy points, the latter is beneficial for the treatment of the correlated systematic uncertainties, especially when the effects of these uncertainties are in the absolute form, which will cause shifts to the obtained $m_W$ and $\Gamma_W$. Therefore, data taking at three different energy points is preferred, the corresponding optimal data-taking scheme is listed in Eq. 23.

Thanks to contributions from FCC-ee studies [28,33], the different types of systematic uncertainties are considered comprehensively in this work, and the numerical results of the contributions of the dominant backgrounds are estimated. In this paper, the data taking schemes are optimized for a total integrated luminosity of $3.2 \text{ ab}^{-1}$ [30]. The results of the optimization can be scaled to other integrated luminosities. Table 4 lists the precisions of $m_W$ and $\Gamma_W$ with the threshold scan method, varying the total luminosity between 1 $\text{ ab}^{-1}$ and 15 $\text{ ab}^{-1}$. The three data taking schemes in the table are the optimized results described above, and all the uncertainties are statistical only. One can obtain the total uncertainty by adding the systematic uncertainties summarized in Table 3. The results for an integrated luminosity of 15 $\text{ ab}^{-1}$ are comparable with FCC-ee’s results: (1) for the one energy point scheme, our result of $\Delta m_W = 0.31 \text{ MeV}$ at 162.3 GeV is slightly worse than that of the FCC-ee study, i.e., 0.25 MeV at 161.4 GeV. Since the uncertainty of $\Gamma_W$ has significant contribution to $\Delta m_W$ around the most statistically sensitive energy point (up to 8 MeV), so the one at 162.3 GeV is chosen.

### Table 3

| Data-taking scheme | mass or width | $\delta_{\text{stat}}$ (MeV) | $\delta_{\text{sys}}$ (MeV) | $\delta_{\text{sys}}$ (MeV) | $\delta_{B}$ | $\delta_{C}$ | Total (MeV) |
|--------------------|--------------|----------------------------|--------------------------|--------------------------|-------------|-------------|-------------|
| One point          | $\Delta m_W$ | 0.65                       | 0.37                     | –                        | 0.17        | 0.34        | 0.84        |
| Two points         | $\Delta m_W$ | 0.80                       | 0.38                     | –                        | 0.21        | 0.33        | 0.97        |
|                    | $\Delta \Gamma_W$ | 2.92                   | 0.54                     | 0.56                     | 1.38        | 0.20        | 3.32        |
| Three points       | $\Delta m_W$ | 0.81                       | 0.30                     | –                        | 0.23        | 0.29        | 0.98        |
|                    | $\Delta \Gamma_W$ | 2.93                   | 0.52                     | 0.55                     | 1.38        | 0.20        | 3.37        |
in this work, where the $W$-pair cross section is insensitive to the $\Gamma_W$ and the statistical uncertainty of the $m_W$ increases a bit. (2) for the two energy points scheme, since the $W$ mass is thought to be more important than its width, it’s reasonable to allocate more luminosity to the energy point that benefits the $m_W$ measurement. So the precision of $m_W$ is slightly better than FCC-ee’s result, contrary to the precision on $\Gamma_W$. It is worth noting that the contribution to $\Delta m_W$ from systematic uncertainties will become more important with the increasing of the luminosity, so the consideration of the systematic uncertainties is more important. With this in mind, the three energy points data-taking scheme is preferred since it allows for better control and treatment of the systematic uncertainties.

5 Summary

In this paper, different data-taking schemes are investigated for the precise measurements of the $W$ boson mass and width at further circular electron positron colliders, such as the CEPC and FCC-ee. For a fixed total integrated luminosity, $\mathcal{L} = 3.2$ $\text{ab}^{-1}$, and the expectations of the systematic uncertainties, taking data at three energy points is found to be optimal with the energies and luminosity allocations listed in Eq. 23. The corresponding projected uncertainties on the $W$ boson mass and width are $\Delta m_W \sim 1.0$ MeV and $\Delta \Gamma_W \sim 3.4$ MeV, respectively. Various systematic uncertainties are taken into account in the investigation. The one related to the theoretical calculation of the $W$-pair cross section is discussed but not included in the numerical results and listed separately. It’s critical to improve the calculation for the high precision measurement of $m_W$ ($\Gamma_W$) using the threshold scan method.

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Data Availability Statement This manuscript has associated data in a data repository. [Authors’ comment: All data generated and analysed during this study are include in this published article.]

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