Dependency Parsing of Japanese Spoken Monologue
Based on Clause Boundaries

Tomohiro Ohno††, Shigeki Matsubara‡, Hideki Kashioka§
Takehiko Maruyama§ and Yasuyoshi Inagaki‡
†Graduate School of Information Science, Nagoya University, Japan
‡Information Technology Center, Nagoya University, Japan
§ATR Spoken Language Communication Research Laboratories, Japan
¶The National Institute for Japanese Language, Japan
Fuller of Information Science and Technology, Aichi Prefectural University, Japan

Abstract

Spoken monologues feature greater sentence length and structural complexity than do spoken dialogues. To achieve high parsing performance for spoken monologues, it could prove effective to simplify the structure by dividing a sentence into suitable language units. This paper proposes a method for dependency parsing of Japanese monologues based on sentence segmentation. In this method, the dependency parsing is executed in two stages: at the clause level and the sentence level. First, the dependencies within a clause are identified by dividing a sentence into clauses and executing stochastic dependency parsing for each clause. Next, the dependencies over clause boundaries are identified stochastically, and the dependency structure of the entire sentence is thus completed. An experiment using a spoken monologue corpus shows this method to be effective for efficient dependency parsing of Japanese monologue sentences.

1 Introduction

Recently, monologue data such as a lecture and commentary by a professional have been considered as human valuable intellectual property and have gathered attention. In applications, such as automatic summarization, machine translation and so on, for using these monologue data as intellectual property effectively and efficiently, it is necessary not only just to accumulate but also to structure the monologue data. However, few attempts have been made to parse spoken monologues. Spontaneously spoken monologues include a lot of grammatically ill-formed linguistic phenomena such as fillers, hesitations and self-repairs. In order to robustly deal with their extragrammaticality, some techniques for parsing of dialogue sentences have been proposed (Core and Schubert, 1999; Delmonte, 2003; Ohno et al., 2005b). On the other hand, monologues also have the characteristic feature that a sentence is generally longer and structurally more complicated than a sentence in dialogues which have been dealt with by the previous researches. Therefore, for a monologue sentence the parsing time would increase and the parsing accuracy would decrease. It is thought that more effective, high-performance spoken monologue parsing could be achieved by dividing a sentence into suitable language units for simplicity.

This paper proposes a method for dependency parsing of monologue sentences based on sentence segmentation. The method executes dependency parsing in two stages: at the clause level and at the sentence level. First, a dependency relation from one bunsetsu to another within a clause is identified by dividing a sentence into clauses and executing stochastic dependency parsing for each clause. Next, the dependency structure of the entire sentence is completed by identifying the dependencies over clause boundaries stochastically. An experiment on monologue dependency parsing showed that the parsing time can be drastically reduced.

1 A bunsetsu is the linguistic unit in Japanese that roughly corresponds to a basic phrase in English. A bunsetsu consists of one independent word and more than zero ancillary words. A dependency is a modification relation in which a dependent bunsetsu depends on a head bunsetsu. That is, the dependent bunsetsu and the head bunsetsu work as modifier and modifyee, respectively.
Figure 1: Relation between clause boundary and dependency structure.

The public opinion poll that the Prime Minister’s Office announced the other day indicates that the ratio of people advocating capital punishment is nearly 80%.

Each clause forms a dependency structure (solid arrows in Fig. 1), and a dependency relation from the final bunsetsu links the clause with another clause (dotted arrows in Fig. 1).

2 Parsing Unit of Japanese Monologues

Our method achieves an efficient parsing by adopting a shorter unit than a sentence as a parsing unit. Since the search range of a dependency relation can be narrowed by dividing a long monologue sentence into small units, we can expect the parsing time to be shortened.

2.1 Clauses and Dependencies

In Japanese, a clause basically contains one verb phrase. Therefore, a complex sentence or a compound sentence contains one or more clauses. Moreover, since a clause constitutes a syntactically sufficient and semantically meaningful language unit, it can be used as an alternative parsing unit to a sentence.

Our proposed method assumes that a sentence is a sequence of one or more clauses, and every bunsetsu in a clause, except the final bunsetsu, depends on another bunsetsu in the same clause. As an example, the dependency structure of the Japanese sentence: 先日総理府が発表いたしました世論調査によりますと死刑を支持するという人が八十パーセント近くになっております (The public opinion poll that the Prime Minister’s Office announced the other day indicates that the ratio of people advocating capital punishment is nearly 80%)


2.2 Clause Boundary Unit

In adopting a clause as an alternative parsing unit, it is necessary to divide a monologue sentence into clauses as the preprocessing for the following dependency parsing. However, since some kinds of clauses are embedded in main clauses, it is fundamentally difficult to divide a monologue into clauses in one dimension (Kashioka and Maruyama, 2004).

Therefore, by using a clause boundary annotation program (Maruyama et al., 2004), we approximately achieve the clause segmentation of a monologue sentence. This program can identify units corresponding to clauses by detecting the end boundaries of clauses. Furthermore, the program can specify the positions and types of clause boundaries simply from a local morphological analysis. That is, for a sentence morphologically analyzed by ChaSen (Matsumoto et al., 1999), the positions of clause boundaries are identified and clause boundary labels are inserted there. There exist 147 labels such as “compound clause” and “adnominal clause.”

In our research, we adopt the unit sandwiched between two clause boundaries detected by clause boundary analysis, were called the clause boundary unit, as an alternative parsing unit. Here, we regard the label name provided for the end boundary of a clause boundary unit as that unit’s type.
2.3 Relation between Clause Boundary Units and Dependency Structures

To clarify the relation between clause boundary units and dependency structures, we investigated the monologue corpus “Asu-Wo-Yomu". In the investigation, we used 200 sentences for which morphological analysis, bunsetsu segmentation, clause boundary analysis, and dependency parsing were automatically performed and then modified by hand. Here, the specification of the parts-of-speech is in accordance with that of the IPA parts-of-speech used in the ChaSen morphological analyzer (Matsumoto et al., 1999), the rules of the bunsetsu segmentation with those of CSJ (Maekawa et al., 2000), the rules of the clause boundary analysis with those of Maruyama et al. (Maruyama et al., 2004), and the dependency grammar with that of the Kyoto Corpus (Kurohashi and Nagao, 1997).

Table 1 shows the results of analyzing the 200 sentences. Among the 1,479 bunsetsus in the difference set between all bunsetsus (2,430) and the final bunsetsus (951) of clause boundary units, only 94 bunsetsus depend on a bunsetsu located outside the clause boundary unit. This result means that 93.6% (1,385/1,479) of all dependency relations are within a clause boundary unit. Therefore, the results confirmed that the assumption made by our research is valid to some extent.

Table 1: 200 sentences in “Asu-Wo-Yomu”

| sentences       | 200 |
|-----------------|-----|
| clause boundary units | 951 |
| bunsetsus       | 2,430 |
| morphemes       | 6,017 |
| dependencies over clause boundaries | 94 |

3 Dependent Parsing Based on Clause Boundaries

In accordance with the assumption described in Section 2, in our method, the transcribed sentence on which morphological analysis, clause boundary detection, and bunsetsu segmentation are performed is considered the input. The dependency parsing is executed based on the following procedures:

1. **Clause-level parsing**: The internal dependency relations of clause boundary units are identified for every clause boundary unit in one sentence.

2. **Sentence-level parsing**: The dependency relations in which the dependent unit is the final bunsetsu of the clause boundary units are identified.

In this paper, we describe a sequence of clause boundary units in a sentence as $C_1 \cdots C_m$, a sequence of bunsetsus in a clause boundary unit $C_i$ as $b_1^i \cdots b_{n_i}^i$, a dependency relation in which the dependent bunsetsu is a bunsetsu $b_k^i$ as $dep(b_k^i)$, and a dependency structure of a sentence as $\{dep(b_1^i), \cdots, dep(b_{n_i-1}^i)\}$.

First, our method parses the dependency structure $\{dep(b_1^1), \cdots, dep(b_{n_1-1}^1)\}$ within the clause boundary unit whenever a clause boundary unit $C_i$ is inputted. Then, it parses the dependency structure $\{dep(b_1^{n_i}), \cdots, dep(b_{n_i-1}^{n_i})\}$, which is a set of dependency relations whose dependent bunsetsu is the final bunsetsu of each clause boundary unit in the input sentence. In addition, in both of the above procedures, our method assumes the following three syntactic constraints:

1. No dependency is directed from right to left.
2. Dependencies don’t cross each other.
3. Each bunsetsu, except the final one in a sentence, depends on only one bunsetsu.

These constraints are usually used for Japanese dependency parsing.

3.1 Clause-level Dependency Parsing

Dependency parsing within a clause boundary unit, when the sequence of bunsetsus in an input clause boundary unit $C_i$ is described as $B_i (= b_1^i \cdots b_{n_i}^i)$, identifies the dependency structure $S_i (= \{dep(b_1^i), \cdots, dep(b_{n_i-1}^i)\})$, which maximizes the conditional probability $P(S_i|B_i)$. At this level, the head bunsetsu of the final bunsetsu $b_{n_i}$ of a clause boundary unit is not identified.

Assuming that each dependency is independent of the others, $P(S_i|B_i)$ can be calculated as follows:

$$P(S_i|B_i) = \prod_{k=1}^{n_i-1} P(b_k^i \rightarrow b_l^i|B_i), \quad (1)$$
where \( P(b_k^{rel} \rightarrow b_l^i|B_i) \) is the probability that a bunsetu \( b_k^i \) depends on a bunsetu \( b_l^j \) when the sequence of bunsetus \( B_i \) is provided. Unlike the conventional stochastic sentence-by-sentence dependency parsing method, in our method, \( B_i \) is the sequence of bunsetus that constitutes not a sentence but a clause. The structure \( S_i \), which maximizes the conditional probability \( P(S_i|B_i) \), is regarded as the dependency structure of \( B_i \) and calculated by dynamic programming (DP).

Next, we explain the calculation of \( P(b_k^{rel} \rightarrow b_l^i|B_i) \). First, the basic form of independent words in a dependent bunsetus is represented by \( h_k^i \), its parts-of-speech \( t_k^i \), and type of dependency \( r_k^i \), while the basic form of the independent word in a head bunsetus is represented by \( h_l^j \), and its parts-of-speech \( t_j^l \). Furthermore, the distance between bunsetus is described as \( d_{kl}^m \). Here, if a dependent bunsetus has one or more ancillary words, the type of dependency is the lexicon, part-of-speech and conjugated form of the rightmost ancillary word, and if not so, it is the part-of-speech and conjugated form of the rightmost morpheme. The type of dependency \( r_k^i \) is the same attribute used in our stochastic method proposed for robust dependency parsing of spoken language dialogue (Ohno et al., 2005b). Then \( d_{kl}^m \) takes 1 or more than 1, that is, a binary value. Incidentally, the above attributes are the same as those used by the conventional stochastic dependency parsing methods (Collins, 1996; Ratnaparkhi, 1997; Fujio and Matsumoto, 1998; Uchimoto et al., 1999; Charniak, 2000; Kudo and Matsumoto, 2002).

Additionally, we prepared the attribute \( e_l^i \) to indicate whether \( b_l^j \) is the final bunsetu of a clause boundary unit. Since we can consider a clause boundary unit as a unit corresponding to a simple sentence, we can treat the final bunsetu of a clause boundary unit as a sentence-end bunsetu. The attribute indicates whether a head bunsetu is a sentence-end bunsetu has often been used in conventional sentence-by-sentence parsing methods (e.g. Uchimoto et al., 1999).

By using the above attributes, the conditional probability \( P(b_k^{rel} \rightarrow b_l^i|B_i) \) is calculated as follows:

\[
P(b_k^{rel} \rightarrow b_l^i|B_i) \approx P(b_k^{rel} \rightarrow b_l^i|h_k^i, h_l^j, t_k^i, t_j^l, r_k^i, r_l^j, d_{kl}^m, e_l^i)
\]

\[
= \frac{F(b_k^{rel} \rightarrow b_l^i|h_k^i, h_l^j, t_k^i, t_j^l, r_k^i, r_l^j, d_{kl}^m, e_l^i)}{F(h_k^i, h_l^j, t_k^i, t_j^l, r_k^i, r_l^j, d_{kl}^m, e_l^i)}.
\]

Note that \( F \) is a co-occurrence frequency function.

In order to resolve the sparse data problems caused by estimating \( P(b_k^{rel} \rightarrow b_l^i|B_i) \) with formula (2), we adopted the smoothing method described by Fujio and Matsumoto (Fujio and Matsumoto, 1998): if \( F(h_k^i, h_l^j, t_k^i, t_j^l, r_k^i, r_l^j, d_{kl}^m, e_l^i) \) in formula (2) is 0, we estimate \( P(b_k^{rel} \rightarrow b_l^i|B_i) \) by using formula (3).

\[
P(b_k^{rel} \rightarrow b_l^i|B_i) \approx P(b_k^{rel} \rightarrow b_l^i|h_k^i, t_k^i, r_k^i, d_{kl}^m, e_l^i)
\]

\[
= \frac{F(b_k^{rel} \rightarrow b_l^i|h_k^i, t_k^i, r_k^i, d_{kl}^m, e_l^i)}{F(t_k^i, r_k^i, d_{kl}^m, e_l^i)}.
\]

### 3.2 Sentence-level Dependency Parsing

Here, the head bunsetu of the final bunsetu of a clause boundary unit is identified. Let \( B = B_1 \ldots B_n \) be the sequence of bunsetus of one sentence and \( S_{fin} \) be a set of dependency relations whose dependent bunsetu is the final bunsetu of a clause boundary unit, \( \{dep(b_m^1), \ldots, dep(b_{m-1}^m)\} \); then \( S_{fin} \), which makes \( P(S_{fin}|B) \) the maximum, is calculated by DP. The \( P(S_{fin}|B) \) can be calculated as follows:

\[
P(S_{fin}|B) = \prod_{i=1}^{m-1} P(b_{ni}^{rel} \rightarrow b_l^i|B_i),
\]

where \( P(b_{ni}^{rel} \rightarrow b_l^i|B_i) \) is the probability that a bunsetu \( b_{ni}^i \) depends on a bunsetu \( b_l^j \) when the sequence of the sentence’s bunsetus, \( B \), is provided. Our method parses by giving consideration to the dependency structures in each clause boundary unit, which were previously parsed. That is, the method does not consider all bunsetus located on the right-hand side as candidates for a head bunsetu but calculates only dependency relations within each clause boundary unit that do not cross any other relation in previously parsed dependency structures. In the case of Fig. 1, the method calculates by assuming that only three bunsetus “人が (the ratio of people),” or “なっとおります (is)” can be the head bunsetu of the bunsetu “指示するという (advocating).”

In addition, \( P(b_{ni}^{rel} \rightarrow b_l^i|B_i) \) is calculated as in Eq. (5). Equation (5) uses all of the attributes used in Eq. (2), in addition to the attribute \( s_l^j \), which indicates whether the head bunsetu of \( b_l^j \) is the final bunsetu of a sentence. Here, we take into
Table 2: Size of experimental data set (Asu-Wo-Yomu)

| programs | test data | learning data |
|----------|-----------|---------------|
| sentences | 500       | 5,532         |
| clause boundary units | 2,237      | 26,318        |
| bunsetsus | 5,298      | 500           |
| morphemes | 13,342     | 165,129       |

Note that the commentator of each program is different.

Table 3: Experimental results on parsing time

|                  | our method | conv. method |
|------------------|------------|--------------|
| average time (msec) | 10.9       | 51.9         |

Programming language: LISP
Computer used: Pentium 4 2.4 GHz, Linux

account the analysis result that about 70% of the final bunsetsus of clause boundary units depend on the final bunsetsu of other clause boundary units \(^5\) and also use the attribute \(e_i \) at this phase.

\[
P(b_{n_i}^{i.r} \rightarrow b_i | B) \ni P(b_{n_i}^{i.r} \rightarrow b_i | h_n, t_i, t_{i-1}, r_{i-1}, d_{n_i}, e_i, s_i) \ni F(h_n, t_i, t_{i-1}, r_{i-1}, d_{n_i}, e_i, s_i)
\]

4 Parsing Experiment

To evaluate the effectiveness of our method for Japanese spoken monologue, we conducted an experiment on dependency parsing.

4.1 Outline of Experiment

We used the spoken monologue corpus “Asu-Wo-Yomu,” annotated with information on morphological analysis, clause boundary detection, bunsetsu segmentation, and dependency analysis\(^6\). Table 2 shows the data used for the experiment. We used 500 sentences as the test data. Although our method assumes that a dependency relation does not cross clause boundaries, there were 152 dependency relations that contradicted this assumption. This means that the dependency accuracy of our method is not over 96.8% (4,646/4,798). On the other hand, we used 5,532 sentences as the learning data.

To carry out comparative evaluation of our method’s effectiveness, we executed parsing for the above-mentioned data by the following two methods and obtained, respectively, the parsing time and parsing accuracy.

- **Our method:** First, our method provides clause boundaries for a sequence of bunsetsus of an input sentence and identifies all clause boundary units in a sentence by performing clause boundary analysis (CBAP) (Maruyama et al., 2004). After that, our method executes the dependency parsing described in Section 3.

- **Conventional method:** This method parses a sentence at one time without dividing it into clause boundary units. Here, the probability that a bunsetsu depends on another bunsetsu, when the sequence of bunsetsus of a sentence is provided, is calculated as in Eq. (5), where the attribute \(e \) was eliminated. This conventional method has been implemented by us based on the previous research (Fujio and Matsumoto, 1998).

4.2 Experimental Results

The parsing times of both methods are shown in Table 3. The parsing speed of our method improves by about 5 times on average in comparison with the conventional method. Here, the parsing time of our method includes the time taken not only for the dependency parsing but also for the clause boundary analysis. The average time taken for clause boundary analysis was about 1.2 millisecond per sentence. Therefore, the time cost of performing clause boundary analysis as a preprocessing of dependency parsing can be considered small enough to disregard. Figure 2 shows the relation between sentence length and parsing time.
Table 4: Experimental results on parsing accuracy

|                        | our method       | conv. method     |
|------------------------|------------------|------------------|
| bunsetsu within a clause boundary unit (except final bunsetsu) | 88.2% (2,701/3,061) | 84.7% (2,592/3,061) |
| final bunsetsu of a clause boundary unit                     | 65.6% (1,140/1,737) | 63.3% (1,100/1,737) |
| total                                                              | 80.1% (3,841/4,798)  | 76.9% (3,692/4,798)  |

Table 6: Comparison of parsing accuracy between conventional method and our method (for bunsetsu within a clause boundary unit except final bunsetsu)

|                        | conv. method | our method | total |
|------------------------|--------------|------------|-------|
| correct                | 2,499        | 2,499      | 2,592 |
| incorrect              | 202          | 267        | 469   |
| total                                                              | 2,701        | 360       | 3,061 |

Table 5: Experimental results on clause boundary analysis (CBAP)

|             | recall | precision |
|-------------|--------|-----------|
|             | 95.7%  | 96.9%     |
|             | (2,140/2,237) | (2,140/2,209) |

for both methods, and it is clear from this figure that the parsing time of the conventional method begins to rapidly increase when the length of a sentence becomes 12 or more bunsetsus. In contrast, our method changes little in relation to parsing time. Here, since the sentences used in the experiment are composed of 11.8 bunsetsus on average, this result shows that our method is suitable for improving the parsing time of a monologue sentence whose length is longer than the average.

Table 4 shows the parsing accuracy of both methods. The first line of Table 4 shows the parsing accuracy for all bunsetsus within clause boundary units except the final bunsetsus of the clause boundary units. The second line shows the parsing accuracy for the final bunsetsus of all clause boundary units except the sentence-end bunsetsus. We confirmed that our method could analyze with a higher accuracy than the conventional method. Here, Table 5 shows the accuracy of the clause boundary analysis executed by CBAP. Since the precision and recall is high, we can assume that the clause boundary analysis exert almost no harmful influence on the following dependency parsing.

As mentioned above, it is clear that our method is more effective than the conventional method in shortening parsing time and increasing parsing accuracy.

5 Discussions

Our method assumes that dependency relations within a clause boundary unit do not cross clause boundaries. Due to this assumption, the method cannot correctly parse the dependency relations over clause boundaries. However, the experimental results indicated that the accuracy of our method was higher than that of the conventional method.

In this section, we first discuss the effect of our method on parsing accuracy, separately for bunsetsus within clause boundary units (except the final bunsetsus) and the final bunsetsus of clause boundary units. Next, we discuss the problem of our method’s inability to parse dependency relations over clause boundaries.

5.1 Parsing Accuracy for Bunsetsu within a Clause Boundary Unit (except final bunsetsu)

Table 6 compares parsing accuracies for bunsetsus within clause boundary units (except the final bunsetsus) between the conventional method and our method. There are 3,061 bunsetsus within clause boundary units except the final bunsetsu, among which 2,499 were correctly parsed by both methods. There were 202 dependency relations correctly parsed by our method but incorrectly parsed by the conventional method. This means that our method can correctly parse almost all of the dependency relations that the conventional method can correctly parse except for dependency relations over clause boundaries.

In contrast, 93 dependency relations were correctly parsed solely by the conventional method. Among these, 46 were dependency relations over clause boundaries, which cannot in principle be parsed by our method. This means that our method can correctly parse almost all of the dependency relations that the conventional method can correctly parse except for dependency relations over clause boundaries.

5.2 Parsing Accuracy for Final Bunsetsu of a Clause Boundary Unit

We can see from Table 4 that the parsing accuracy for the final bunsetsus of clause boundary units by both methods is much worse than that for bunsetsus within the clause boundary units (except the final bunsetsus). This means that it is difficult
Table 7: Comparison of parsing accuracy between conventional method and our method (for final bunsetsu of a clause boundary unit)

|              | conv. method | our method |
|--------------|--------------|------------|
| correct      | 1037         | 1037       |
| incorrect    | 103          | 534        |
| total        | 1140         | 597        |

Table 8: Parsing accuracy for dependency relations over clause boundaries

|              | our method   | conv. method |
|--------------|--------------|--------------|
| recall       | 1.3% (2/152) | 30.3% (46/152) |
| precision    | 11.8% (2/17) | 25.3% (46/182) |

6 Related Works

Since monologue sentences tend to be long and have complex structures, it is important to consider the features. Although there have been very few studies on parsing monologue sentences, some studies on parsing written language have dealt with long-sentence parsing. To resolve the syntactic ambiguity of a long sentence, some of them have focused attention on the “clause.”

First, there are the studies that focused attention on compound clauses (Agarwal and Boggess, 1992; Kurohashi and Nagao, 1994). These tried to improve the parsing accuracy of long sentences by identifying the boundaries of coordinate structures. Next, other research efforts utilized the three categories into which various types of subordinate clauses are hierarchically classified based on the “scope-embedding preference” of Japanese subordinate clauses (Shirai et al., 1995; Utsuro et al., 2000). Furthermore, Kim et al. (Kim and Lee, 2004) divided a sentence into “S(ubject)-clauses,” which were defined as a group of words containing several predicates and their common subject. The above studies have attempted to reduce the parsing ambiguity between specific types of clauses in order to improve the parsing accuracy of an entire sentence.

On the other hand, our method utilizes all types of clauses without limiting them to specific types of clauses. To improve the accuracy of long-sentence parsing, we thought that it would be more effective to cyclopaedically divide a sentence into all types of clauses and then parse the local dependency structure for each clause. Moreover, since our method can perform dependency parsing clause-by-clause, we can reasonably expect our method to be applicable to incremental parsing (Ohno et al., 2005a).

7 Conclusions

In this paper, we proposed a technique for dependency parsing of monologue sentences based on clause-boundary detection. The method can achieve more effective, high-performance spoken monologue parsing by dividing a sentence into clauses, which are considered as suitable language units for simplicity. To evaluate the effectiveness of our method for Japanese spoken monologue, we conducted an experiment on dependency parsing of the spoken monologue sentences recorded in the “Asu-Wo-Yomu.” From the experimental re-
sults, we confirmed that our method shortened the parsing time and increased the parsing accuracy compared with the conventional method, which parses a sentence without dividing it into clauses.

Future research will include making a thorough investigation into the relation between dependency type and the type of clause boundary unit. After that, we plan to investigate techniques for identifying the dependency relations over clause boundaries. Furthermore, as the experiment described in this paper has shown the effectiveness of our technique for dependency parsing of long sentences in spoken monologues, so our technique can be expected to be effective in written language also. Therefore, we want to examine the effectiveness by conducting the parsing experiment of long sentences in written language such as newspaper articles.

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