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Carbon nanotube based transparent conductive films: progress, challenges, and perspectives

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ABSTRACT
Developments in the manufacturing technology of low-cost, high-quality carbon nanotubes (CNTs) are leading to increased industrial applications for this remarkable material. One of the most promising applications, CNT based transparent conductive films (TCFs), are an alternative technology to replace traditional TCFs, which use indium tin oxide. Despite significant price competition among various TCFs, CNT-based TCFs have good potential for use in emerging flexible, stretchable and wearable optoelectronics. In this review, we summarize the recent progress in the fabrication, properties, stability and applications of CNT-based TCFs. The challenges of current CNT-based TCFs for industrial use, in comparison with other TCFs, are considered. We also discuss the potential of CNT-based TCFs, and give some possible strategies to reduce the production cost and improve their conductivity and transparency.

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1. Introduction
Transparent conductive films (TCFs) are films of optically transparent and electrically conductive materials. Currently, indium tin oxide (ITO) is the dominant material used for industrial-scale TCF application. ITO not only has excellent properties with a sheet resistance of 10 Ω/square at around 90% optical transmittance, but also exhibits outstanding stability and compatibility with both wet and dry device processes. However, future optoelectronics require TCF materials which are mechanically flexible, lightweight and low fabrication cost.[1–5] The growing demand for ITO due to the development of solar cells may lead to an increase in substantial cost because of the relatively rare element of indium. In addition, ITO suffers from poor mechanical flexibility, which suppresses its application for emerging flexible, stretchable and wearable electronic applications. These significant limitations of ITO have been motivating the search for alternative TCF materials for industrial use including novel metal oxides, conducting polymers, metal nanowires, metal grids and carbon nanomaterials. The alternative metal oxides can use abundant materials such as SnO$_2$:F, CuO$_2$:Al and ZnO:Al.[6–8] Similar to ITO, TCF fabrication of these oxides requires a vacuum and/or high temperature process to achieve high transmittance and low sheet resistance. Apparently the price of ITO TCFs is dominated by the cost of mass production rather than the cost of indium. Solution processed oxide TCFs have been attracting interest as a low-cost technology.[9]

TCFs can be fabricated with poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate) (PEDOT:PSS), a conducting polymer which has also been widely investigated as a hole transport layer for organic photovoltaic (OPV) and organic light-emitting diode (OLED) devices.[10,11] Solution-processed PEDOT:PSS films...
have been demonstrated to show a sheet resistance of less than 200 Ω/square at above 80% optical transmittance, while they also exhibit poor thermal stability and noticeable blue color.[12–15]

Metal nanowires are becoming a strong alternative to ITO.[16–20] Due to the high conductivity, the nanoscale network of silver nanowires (Ag NWs) can obtain a TCF with sheet resistance of 20 Ω/square at transmittance of 95%, which is even better than ITO. Although silver is more expensive than indium, Ag NWs allow a solution-based roll-to-roll technology, which significantly reduces the overall cost for mass production of TCFs. It has been reported that Ag NW TCFs are used as touch sensor for Lenovo computers (China).[20] However, the thermal stability and chemical stability of metal nanowires need to be further investigated. Copper nanowires (Cu NWs) are becoming a more promising TCF technology, because copper is 100 times cheaper than silver. The best TCFs using Cu NWs show a sheet resistance of 100 Ω/square at transmittance of 95%. The stability of Cu NWs against oxidation is a challenge.[21,22]

Graphene exhibits very good electrical and optical properties in theory, and thus it can be an ideal material for TCF application. However, the electrical properties strongly depend on the quality of graphene. [23–30] The best TCFs using four or five layers of mechanically exfoliated graphene show a low sheet resistance of 8.8 Ω/square at transmittance of 84%. [31] Solution processed graphene TCFs generally show a sheet resistance of above 1000 Ω/square at transmittance of 85%.[32–35]

Carbon nanotube (CNT) thin films with thickness in the range of 1–100 nm can exhibit high electrical conductivity and high optical transparency. Compared with other potential TCF materials to replace ITO, CNT films not only enable an easier fabrication process, but also provide a more stretchable and flexible platform with stronger mechanical strength. The continual progress in the massive production, purification, dispersion and film deposition processes of CNT are leading to a very competitive performance of CNT-based TCFs.[36–50] However, there still remain challenges to further improve the electrical conductivity and stability of CNT-based TCFs. Efforts are also being made to find new markets for CNTs and discover new and promising applications by taking advantage of their stretching and folding properties, etc. In this paper, we focus on the recent progress in fabrication and modification of CNT-based TCFs, and discuss the stability of CNT-based TCFs in detail. We also highlight some examples of CNT-based TCFs in sensing devices, OPV and OLED devices. Finally, we give a summary and analysis on the prospects of CNT-based TCFs.

2. Fabrication of CNT-based TCFs

In 2004, the first CNT-based TCFs were fabricated by Wu et al.[51] and Saran et al.[52] by using filtration-transfer and dip-coating methods, respectively. Thousands of papers have since been published on this topic. CNT-based TCFs have been considered as the most promising candidate to replace ITO. CNT-based TCFs can be fabricated by dry or wet processes, where the major difference is whether or not a dispersant is used.

2.1. Dry processes

CNTs can be produced by chemical vapor deposition (CVD), laser ablation and arc-discharge methods. Generally, for dry processes, CVD is modified to directly grow the CNT film, or transfer the CNT aerosol to film.[53–60] As a result, dry-processed CNT films exhibit higher quality with better separated individual CNTs, fewer defects and better CNT–CNT contact, compared with solution processes. It has been reported that dry-processed CNT-TCF showed a sheet resistance of 84 Ω/square at 90% transmittance.[61] Moreover, a developed CVD technology can fabricate a carbon nanobud (CNB: combining CNT and fullerene) film, which exhibited 150 Ω/square at 97% transmittance.[62] In 2010, Feng et al.[44] demonstrated a straightforward roll-to-roll process to make flexible and stretchable multi-walled CNT TCFs, as shown in Figure 1. They developed a drawing process, which converted the vertical alignment of CNTs in 200 nm silicon wafer into horizontal alignment, directly forming a freestanding, ultrathin, lightweight, transparent, and conductive CNT film. A roll-to-roll process was utilized to fabricate CNT/polymer-sheet composite film. Further doping with vacuum-evaporated metal films greatly decreased the resistance. In this work, CNT-based TCFs exhibited sheet resistances of 208 and 24 Ω/square at 90% and 83.4% transmittance, respectively. The use of special super-aligned CNT arrays limits its wide application, while this technique has been proved to be a promising route to CNT-based TCFs easily, effectively and cheaply.

2.2. Wet processes

Wet processed CNT-based TCFs are also competitive for industrial use. This technology enables a low temperature process, where a vacuum is not necessary. Thus, the production costs can be greatly reduced. Moreover, it broadens the substrate selection. Plastic or other non-traditional substrates can be used. Figure 2 gives the typical procedures for wet processes: (1) preparation of CNT dispersion; (2) film deposition; and (3) post treatments including removal of surfactant and/or doping. The properties of CNT-based TCFs depend on the quality of CNT materials, quality of CNT dispersion, morphology
of CNT film, dispersant, and doping treatment. Raw CNTs are in the form of a black powder. Due to their large aspect ratio, large surface area and strong van der Waals interaction, CNTs strongly stick together to form large bundles. There have been many research reports and reviews on the preparation of CNT dispersion. However, it remains a challenge to disperse ultra-long CNTs (above 10 μm) without damage or shortening. Three major methods can be summarized to disperse CNTs in liquid solvents: (1) direct dispersion of pristine CNTs in organic solvent; (2) dispersion of covalently functionalized CNTs; (3) dispersion of pristine CNTs with aid of dispersants such as surfactants or polymers. Although use of dispersants increases the processing steps, and residual dispersants increase the contact resistance between CNTs, dispersant-aid CNT dispersion is the most widely used method due to the significant advantages for industrial use. We mainly review dispersant-aid CNT ink for TCF applications. Among a number of studied surfactants, those most commonly used for TCF applications are ionic sodium cholate (SC), sodium dodecyl sulfate (SDS), sodium dodecyl benzene sulfonate (SDBS) and non-ionic Triton X-100. These surfactants enable high CNT concentrations of up to 20 mg ml⁻¹ in aqueous solvent. Low concentrations of the surfactants will not affect the electrical conductivity, while the viscosity of CNT ink is difficult to control. Polymers can be used to fabricate dispersion by wrapping CNTs. By choosing suitable polymers, such as cellulose derivatives, surface tension as well as viscosity of the dispersion can be widely modified for various deposition processes from spin coating to screen printing. However, polymer-assisted dispersion is not widely reported for TCF applications because it is difficult to remove the insulating polymer after film deposition. High-temperature annealing or long-term
solution process are usually needed to remove the polymer. Therefore, it may not be applicable for plastic substrate or for mass production.

Doping of CNT-based TCFs is generally essential for further performance improvement. For instance, without further chemical doping, CNT-based TCFs exhibit sheet resistances of 300–1000 Ω/square at around 85% transmittance.\cite{81–84} The poor conductivity can be attributed to the complexity in CNT films. CNTs are usually doped by oxygen or oxygen functional groups, which may lead to a serious deterioration in the electrical properties.\cite{85–88} because those covalent bonds on the CNT sidewalls cause the localization of the delocalized π electrons occupying a one-dimensional density of states. The spacing between CNTs, due to the weak van der Waals interaction, may significantly increase the overall resistance by a factor as high as 10.\cite{89,90} Moreover, CNT films generally contain 33% metallic and 67% semiconducting CNTs. Previous reports have shown that metallic CNTs conduct well to other metallic CNTs, and semiconducting CNTs also conduct well to other semiconducting CNTs, but metallic/semiconducting CNT contacts cause a Schottky barrier, which greatly suppresses the electrical transport. Topinka et al.\cite{91} investigated this phenomenon with an electronic phase diagram, as shown in Figure 3. It showed the influences of CNT type and configuration on the electrical conduction of a series of simulated CNT networks, where CNTs are varied from metallic to semiconducting in the simulation. For pure metallic or semiconducting CNTs, the current through the film is quite uniform, and the conductance is very high. However, for the film containing around 20% metallic and 80% semiconducting CNTs, no conduction can be observed.

Chemical modification or doping is a common method to enhance the electrical conductivity of CNT films. Many dopants including halogen (Br$_2$),\cite{92} acids (HNO$_3$, HCl),\cite{93,94} chlorides (FeCl$_3$, SoCl$_2$),\cite{95,96} metal oxides (MoO$_x$),\cite{97} and organic molecules\cite{98} have been investigated. These dopants encourage the charge transfer between them and CNT, and enable Fermi level tuning of CNT for the desired electronic properties. Moreover, doping treatments can reduce the CNT–CNT contact resistance and increase the carrier concentration of semiconducting CNT, which can provide more conductive films than metallic CNTs whether or not they are doped.\cite{99,100} However, most dopants are not stable to air, temperature, or humidity, resulting in unstable electrical properties in CNT films. Therefore, the reliability of doping is becoming one of the biggest challenges for practical applications.

A multiple-step fabrication process, including dispersing CNTs, depositing CNT films, removing surfactants and doping CNT films, has been the most used
HPC polymer. This system used flash lamps to deliver continuously adjustable, megawatt-intensity, microsecond-resolution pulses of broad-spectrum light to achieve a rapid heating and cooling process. This process enabled a high-temperature process, while the damage from such heating treatment to low-temperature plastic substrate could be avoided. After removal of the matrix polymer, HNO₃ doping resulted in highly conductive CNT-based TCFs with sheet resistance of 68 Ω/square at 89% transmittance. The negligible change in sheet resistance after 200,000 cycles of bending identified the superior flexibility of CNT-based TCFs.

However, the above multiple-step methods are too complicated and costly for mass production of TCFs. For example, as shown in Figure 2, Shim et al. [101] demonstrated a typical method to fabricate CNT-based TCFs via spray-coating SDBS-aided dispersion. After removal of the SDBS, solutions of transition metal slats including AuCl₃, IrCl₃, Ni(NO₃)₃ were utilized for doping, which resulted in the best CNT-based TCFs with sheet resistance of 92 Ω/square at 83.8% transmittance. Kim et al. [94] used hydroxypropylcellulose (HPC), a derivative of cellulose to dispersing the CNTs. The viscosity of CNT ink can be easily controlled by adjusting the concentrations of HPC or CNTs. CNT-based TCFs with different thicknesses were deposited by a doctor-blade method. They developed a photonic curing process to remove the
doping methods that satisfy the industrial standard for accelerated ageing tests.

We summarize the best CNT-based TCFs in Table 1. The performances of some CNT-based TCFs are promising for the replacement of ITO, but other ITO alternatives such as metal nanowires and graphene exhibit better performance. Figure 4 shows the best transmittance and sheet resistance reported in the literature to date for CNTs, graphene and metal nanowires. Significant progress is still required to improve the performances of CNT-based TCFs.[104–115]

### 3. Stability of CNT-based TCFs

The chemical bonding of nanotubes is composed entirely of sp² bonds, similar to graphite. These bonds are stronger than the sp³ bonds in diamond, and accordingly provide CNT with outstanding strength. The thermal stability of CNTs is estimated to be up to 2800°C in a vacuum and around 750°C in air.[116] However, it is quite different in the case of CNT-based TCFs. Doping is usually essential for further performance improvement. The conductivity of CNT films can be greatly enhanced through chemically doping treatment, which results in the enhancement of charge carrier and decrease of contact resistance of CNT–CNT junction. However, doped CNT films become sensitive to air, temperature, or humidity. Thus, most of the reported properties of CNT-based TCFs are not stable.

#### 3.1. General doping

As efficient p-type dopants, strong acids and inorganic solvent such as HNO₃ and/or SOCl₂ have been widely used to fabricate CNT-based TCFs with high conductivity. Jackson et al.[117] systematically investigated the stability of undoped and doped CNT-based TCFs. As shown in the top of Figure 5(a), HNO₃ molecules and/or NOₓ residues dope the CNTs by intercalating the CNTs within the network. The doping effects of HNO₃ have been shown to be easily reversible, resulting in a quick increase in sheet resistance of doped CNT films. On the other hand, SOCl₂ doping leads to the nucleophilic substitution of carboxylic acid groups on the sidewalls and doping methods that satisfy the industrial standard for accelerated ageing tests.

We summarize the best CNT-based TCFs in Table 1. The performances of some CNT-based TCFs are promising for the replacement of ITO, but other ITO alternatives such as metal nanowires and graphene exhibit better performance. Figure 4 shows the best transmittance and sheet resistance reported in the literature to date for CNTs, graphene and metal nanowires. Significant progress is still required to improve the performances of CNT-based TCFs. However, constructing CNT hybrid composites with graphene and/or metal nanowires may lead to a breakthrough over the conductivity limits in CNT-based TCFs.[104–115]
increasing temperatures from 20 to 80°C. However, the CNT films treated with HNO$_3$ demonstrated an increase in resistance with increasing temperature. The HNO$_3$ treated film with a layer of PEDOT:PSS has an initial sharp increase in resistance with increasing temperature in comparison to the HNO$_3$ doped film without PEDOT:PSS. This could be explained by the interaction of the HNO$_3$ molecules on the surface of the CNT film with the PEDOT:PSS layer. The films doped with a combination of HNO$_3$ plus SOCl$_2$ showed no change in sheet resistance upon heating up to 80°C when the film was capped with PEDOT:PSS, while the resistance of uncapped doped films increased by more than 13% at elevated temperatures. Thus, the capping layer showed the ability to stabilize the temperature dependence of the sheet resistance.

3.2. Doping with MoO$_x$

Development of stable and reliable doping is therefore becoming the biggest challenge for practical application of CNT-based TCFs. In 2012, Hellstrom et al. [97] reported an interesting charge-transfer interaction between CNT networks and MoO$_x$ and tips of the CNT with more electronegative acyl chlorides. Although the acyl chlorides groups are covalently bonded to CNTs, the bonding structures are known to be very reactive, resulting in a poor overall stability. The best results for CNT-based TCFs using strong-acid doping are not stable. The stability problem could be more severe during the actual device process, which may contain chemical treatment, solution deposition, vacuum evaporation, and/or high-temperature annealing. Figure 5(b) shows the evolution of the stability of undoped and doped CNT-based TCFs upon exposure to air atmosphere. The sheet resistance of the undoped film was stable at 300 $\Omega$/square over 400 h, while doped films showed a significant increase in the sheet resistance. The percentage increase in the sheet resistance of HNO$_3$-doped film was larger than that of SOCl$_2$ doped films, while the sheet resistance of HNO$_3$-doped film was still lower after 400 h. Moreover, they also investigated the effects of heating on the doping stability, as shown in Figure 5(c). The sheet resistances of undoped CNT films with or without PEDOT/PSS decreased slightly with increasing temperature. The CNT films treated with SOCl$_2$ also showed a temperature dependent electrical resistance which decreased by up to 10% with increasing temperatures from 20 to 80°C. However, the CNT films treated with HNO$_3$ demonstrated an increase in resistance with increasing temperature. The HNO$_3$ treated film with a layer of PEDOT:PSS has an initial sharp increase in resistance with increasing temperature in comparison to the HNO$_3$ doped film without PEDOT:PSS. This could be explained by the interaction of the HNO$_3$ molecules on the surface of the CNT film with the PEDOT:PSS layer. The films doped with a combination of HNO$_3$ plus SOCl$_2$ showed no change in sheet resistance upon heating up to 80°C when the film was capped with PEDOT:PSS, while the resistance of uncapped doped films increased by more than 13% at elevated temperatures. Thus, the capping layer showed the ability to stabilize the temperature dependence of the sheet resistance.
developed a stable p-type doped CNT-MoO$_x$ bilayer TCFs. Figure 6(a) illustrates the basic process for fabricating CNT-MoO$_x$ hybrid films. MoO$_x$ films with a thickness of less than 10 nm were essentially transparent in the visible as initially deposited by vacuum evaporation. After annealing at 450–500°C in Ar, the CNT-MoO$_x$ hybrid films exhibited a sheet resistance of 100 Ω/square at 85% transmittance. Further depositing a PEDOT:PSS layer resulted in sheet resistances of 80 Ω/square at 83% transmittance. Scanning electron microscopy images showed that after annealing the MoO$_x$ layer dewetted from glass supporting substrates and did not remain continuous. This indicated that MoO$_x$ nanoparticles, rather than continuous film, enhanced the charge transfer doping, which led to a great improvement in the electrical conductivity. Interestingly, CNT-MoO$_x$ hybrid films exhibited good thermal stability. Figure 6(c) gives the relative variations of various stressors on the sheet resistance of CNT-MoO$_x$ hybrid films, compared with similar data on CNT films doped with 2,3,5,6-tetrafluoro-7,7,8,8-tetracyanoquinodimethane (F4-TCNQ), and to alternative dopants in the literatures. It seems that MoO$_x$ was the most stable of the strong CNT dopants. In ambient conditions over 20 days, sheet resistances changed slightly. Apparently, CNT-MoO$_x$ hybrids had good chemical stability over F4-TCNQ doped samples subject to every chemical test performed except for 1 h immersion in water. This instability is most likely due to the solubility of MoO$_x$ in water. This is an interesting work using nanoparticles of semiconductor rather than thin film or metallic nanoparticles to achieve efficient electrical transport in CNT networks. The excellent stability makes CNT-MoO$_x$ hybrids extremely attractive candidates for practical transparent electrodes.

### 3.3. Doping with copper halides

Recently, we have demonstrated a doping technology to build interconnecting nodes in CNT networks with copper-halide crystallites.[104] The technique is schematically illustrated in Figure 7(a). Thin films of copper halides can be prepared by either vacuum- or solution-based processes. A pulse photonic curing system was used to produce a rapid heating and cooling process at a microsecond timescale. This rapid heating and cooling process enabled the manipulation of copper-halide crystallites, which not only resulted in the formation of CNT-CNT interconnecting nodes, but also improved charge transfer doping. Figure 7(b–j) shows the formation mechanism, and their corresponding atomic force microscopy (AFM) images. As-evaporated CuI film exhibited polycrystalline geometry with sphere-like grains. The photonic curing process with optimized parameters was developed to remove polymer dispersant and construct halide nodes. These CuI crystallites were individually located at the cross points of two or more CNT bundles. Besides the novel structure, doping with copper halides significantly decreased the...
In order to understand such technology, we have further investigated the effects of different doping methods including HNO₃ solution, I₂ vapor and CuI solid. Figure 8 shows the surface morphology of the undoped CNT films. CuI doping led to a unique CNT-CuI hybrid film. The effects of environments such as vacuuming or heating were also investigated. For undoped films, the resistances increased by a factor of 1.2–1.4 after vacuuming, and further increased after heating. Vacuuming and heating can remove the weakly absorbed dopant molecules. Desorption of the gaseous molecules could be the reason for the increased resistance in undoped films by vacuuming. For HNO₃ doping, vacuuming processes led to a threefold increase in resistance. Further, a more than fivefold increase induced by heating suggested that CNT films lose almost all of the HNO₃ induced dopant molecules. CNT-CuI hybrid films exhibited extremely stable electrical performances; the resistance was only
MoO$_x$ are typical wide-bandgap semiconductors with deep work function of above 5.2 eV, being close to the highest occupied molecular orbital (HOMO) of organic donor molecules. MoO$_x$ is also used as an electron acceptor for doping organic semiconductor and CNTs, while CuI can act as a structural template to control the molecular growth to improve the OPV cells.\[119–121\] Those strong and stable doping technologies may push the application of CNT-based TCFs in OPV devices.

4. Application of CNT-based TCFs

TCFs are a widely used technology in daily life for various optoelectronics. Although ITO is dominating the current market in practical applications of TCFs, more and more commercial products using ITO alternatives including CNTs, metal mesh as well as metal nanowire are appearing. In this section, we review recent progress, and discuss the challenges in sensing devices (touch panel), OPV and OLED devices which use CNT-based TCFs.

4.1. Sensing devices

Touch panels, i.e. input devices on the top of electronic displays, are the most widely used sensing devices. Touch panels have been widely used as a direct human/
device communication tool in various digital devices such as tablets and smart phones, and demand for them is rapidly growing. Touch panels can be resistive-type or capacitive-type. A resistive touch panel contains several layers, the most important of which are two layers of TCFs separated by a thin space, while a capacitive touch panel contains an insulator and a layer of TCF. [122] Resistive touch panels usually need TCF with higher transmittance (>95%), while capacitive touch panels need TCF with smaller sheet resistance (<300 Ω/square). Touch panels have no special requirements for surface roughness or the work function of TCFs, and the requirement for sheet resistance is not strict. Touch panels may be one of the most promising practical applications for CNTs-based TCFs.[122–128] However, other low-cost technologies including metal mesh and metal nanowires are also receiving increased attention. Therefore, besides the performances of transmittance/sheet resistance, the price is becoming a crucial factor for the choice of TCFs.

In 2013, Foxconn Electronics (Hon Hai Precision Industry, China) announced that they developed a technology (CNTouch) for manufacturing touch panels. This technology, invented by Feng [44], uses a straightforward drawing process to directly transfer the vertical alignment of double walled CNTs in 200 mm silicon wafer into a substrate. CNTouch can provide a low-cost process for mass production of touch panels using CNT-based TCFs. Currently, the Tianjin production lines of CNTouch are able to produce three million 102 mm panels per month and its production lines in Guiyang, China are currently able to deliver about three million 127 mm panels per month. More and more companies are considering these low-cost touch panels, and some products such as Huawei (Shenzhen, China) and HTC (Taiwan, China) smartphones may have already used these touch panels. Also in 2013, Canatu developed a CNB technology to manufacture touch panels in Finland. The key benefits of CNB TCFs are high flexibility, cost competitiveness, and optical transmission. Interestingly, both Foxconn and Canatu use dry processes to manufacture CNT-based TCFs. Although these technologies use very special CNTs, very low-cost processes have been developed for mass production of CNT-based TCFs, which may lead to them being competitive among other TCFs.

As well as the application for touch panels, various sensing devices using CNT-based TCFs have been attracting special interest as wearable electronics. Since the electrical properties of CNT networks can be very sensitive to temperature, humidity, light, gas, pressure and strain, they can transfer the variation of the environment into electronic signals.[129–143] In particular, they can describe abstract experiences such as human action, feeling and emotion with digital signals. In 2015, Roh et al. [144] reported a stretchable, transparent strain sensor for human–machine interfaces comprising a hybrid of CNT and conductive elastomers, as shown in Figure 9. The percolating networks between conductive CNTs and PEDOT improved the tunability of strain sensitivity, stability, and optical transparency. Such wet-processed sensors exhibited enough sensitivity to detect small strains induced by emotional expressions such as laughing and crying, as well as eye movement. The sensor can become an interface between humans and robots, and can help machines read human feeling and emotion. The rapid development of robots and artificial intelligence will further motivate studies on sensing devices used CNT-based TCFs.

4.2. OPV devices

OPV cells including hybrid perovskite photovoltaics have attracted much interest in both academic and industrial fields, due to their potential as a promising source of renewable energy. In recent decades, significant progress in OPV cells has been achieved by the development of molecules and device fabrication processes. However, those works have mostly been carried out on ITO coated glass substrates. The brittle ITO film is not applicable for future OPV cells, which should be flexible on plastic substrates in order to differentiate them from conventional crystalline Si PV cells. Therefore, establishing the fabrication process on flexible electrodes is of great importance for boosting OPV cells for daily use.

As shown in Table 1, even the best CNT-based TCFs exhibit a sheet resistance of 60 Ω/square at 90.9% transmittance. Considering that commercial ITO exhibits a sheet resistance of 10 Ω/square at 90.9% transmittance, such high sheet resistance is not acceptable for efficient OPV cells. CNT-based TCFs have been widely investigated for OPV applications.[145–165] Table 2 summarizes the best OPVs fabricated on CNT-based TCFs, and the best OPV cells on ITO-free TCFs. Typically, PEDOT:PSS is deposited on CNT-based TCFs as a hole transport layer. Because the rough CNTs exhibit hydrophobic characteristics, a PEDOT:PSS coating can smoothen the surface and improve the wettability of CNT films. Moreover, high-conductivity PEDOT:PSS (such as Clevios PH 1000) is often introduced to improve the conductivity of CNT-based TCFs. Therefore, most of the reported OPV device structures are substrate/CNT (without high-conductivity PEDOT:PSS)-based TCFs / PEDOT:PSS/polymer: phenyl-C_{61}-butyric-acid-methyl ester (PCBM)/Al, where polymer includes poly(3-hexylthiophene-2,5-diy1) (P3HT) or poly[(4,8-bis[2-ethylhexyl]oxy]benzo[1,2-b:4,5-b']dithiophene-2,6-diy1] {3-fluoro-2-[(2-ethylhexyl)carbonyl]thieno[3,4-b]thiophenediy]} (PTBT), and LiF or TiO_{x} is used as an electron extraction layer. The substrate can use transparent plastic materials (PEN; polyethylene naphthalate) to realize flexible and/or stretchable OPV cells. The high sheet resistance of CNT-based TCFs usually leads to a
Figure 9. (a) Schematic illustration of stretchable transparent strain sensors. (b) Schematic illustration of the strain sensor. SWCNT stands for single-walled CNT, PDMS for polydimethylsiloxane and PU for polyurethane. (c) Top-view FE-SEM image and (d) transmittance spectra of the three-layer stacked sensor. Time-dependent responses of the sensor attached to the (e) forehead and (f) skin near the mouth when the subject was laughing and of the sensor attached on the (g) forehead and (h) skin near the mouth when the subject was crying. (Reprinted with permission from [144], copyright 2015 American Chemical Society.)
degradation in overall efficiency of OPV cells, compared with the reference cells on ITO substrate. In particular, acid-doped CNT-based TCFs exhibit unstable sheet resistances, which will degrade during the device process. Note that the best OPVs usually used thick CNT films in order to achieve smaller sheet resistance. The results imply that a sheet resistance less than 50 Ω/square is required for efficient OPV cells with a high fill factor (FF).

Stable dopants are of significance for CNT-based TCFs in OPV applications. MoO₃ and CuI could be promising candidates. In 2015, Jeon et al. [152] fabricated a series of OPV cells on CNT-based TCFs, as shown in Figure 10. They used dry-processed CNT-based TCFs, which was sandwich-doped with two layers of MoO₃. As a result, the sheet resistance became 84 Ω/square at 65% transmittance. Doping with MoO₃ not only greatly improved the conductivity of CNT films, but also modified the work function of CNTs for efficient hole extraction. Thus, the CNT-MoO₃ films were regarded as electron-blocking transparent electrodes. The OPV cells showed power conversion efficiencies (PCE) of 2.4% and 6.0% using P3HT:PCBM and PTB7:PCBM as active layers, respectively. Although those PCE values were 80% of those for ITO-based OPV cells, it was the most efficient OPV cells on CNT-based TCFs in literature to date. When PET substrate was used, the OPV cell exhibited a PCE of 3.9%, which was capable of withstanding a severe cyclic flex test. It showed that stable CNT-based TCFs can be used for polymer OPV cells with high efficiency, being comparable to ITO devices.

On the other hand, the stability problem could be more severe during actual device process, especially in vacuum process. Very few papers report the vacuum-evaporated OPV cells using CNT as transparent electrodes. We developed a full solution process to fabricate CNT-CuI hybrid films by utilizing a photonic curing process. OPV cells were fabricated with using tetrphenyl dibenzoperylene (DBP) as a donor and fullerene (C₆₀) as an acceptor. Diindenoperylene (DIP) was introduced to construct a cascade-type cell architecture. OPV cells on a series of CNT-CuI films were fabricated to understand the effects of transmittance and the resistance on performances in detail, as shown in Figure 11. Comparing to a reference cell fabricated on ITO, all of the cells on CNT-CuI exhibited smaller open-circuit voltage (V_OC), and FF, but larger short-circuit current (J_SC). Large leakage current and high sheet resistance of CNT-CuI electrode were the possible reasons. The increase in the external quantum efficiency (EQE) spectra, from 400 to 650 nm, identified that cascade structure was successfully established on CNT-CuI, and the DBP/C₆₀ contact area was larger on CNT-CuI film. The OPV cells on series of CNT-CuI films exhibited very similar IQE spectra in whole wavelength, indicating that the sheet resistance of CNT-CuI played a negligible role in the efficiencies in exciton dissociation, charge transfer and charge collection. As a result, the best cell fabricated on the CNT-CuI film with 80% transmittance exhibited J_SC of 7.3 mA cm⁻², V_OC of 0.77 V, FF of 0.53, leading to a PCE of 3.0%, being close to the cell (3.4%) on conventional ITO. It was the first efficient OPV cell based evaporating small molecules on CNT-based TCFs, which was comparable to other OPV cells using metal nano-wires or graphene as TCFs. Overall, constructing a nanostructured template is an efficient strategy for improving the OPV cells whether or not ITO is

### Table 2. Summary of the best OPV cells on CNT-based TCFs and other ITO-free TCFs. BCP stands for bathocuproine, GO for graphene oxide and PEI for polyethyleneimine.

| Device structure | CNT-Based TCFs method + doping | Rₛ (Ω/sq) | T (%) | Area (mm²) | PCE (%) | Ref. |
|------------------|-------------------------------|----------|-------|------------|---------|------|
| Glass/CNT/PEDOT:PSS/P3HT:PCBM/Cu/Al | Spray + acid | 60 | 70 | 3 | 3.1 | 145 |
| Glass/CNT/PEDOT:PSS/P3HT:PCBM/LiF/Al | Spray + acid | 128 | 90 | N/A | 2.3 | 146 |
| Glass/CNT/PEDOT:PSS/P3HT:PCBM/Cu/Al | Spray + acid | 56 | 65 | 4 | 4.1 | 147 |
| Glass/CNT/PEDOT:PSS/P3HT:PCBM/LiF/Al | Dip + acid | 188 | 92 | 4 | 2.0 | 148 |
| Glass/CNT/PEDOT:PSS/P3HT:PCBM/LiF/Al | Filtration + MoO₃ | 326 | 90 | 9 | 2.4 | 152 |
| Glass/CNT/PEDOT:PSS/PTB7:PCBM/LiF/Al | Filtration + MoO₃ | 84 | 65 | 9 | 6.0 | 152 |
| PET/CNT/PEDOT:PSS/PTB7:PCBM/LiF/Al | Filtration + MoO₃ | 84 | 65 | 9 | 3.9 | 152 |
| PET/PANI/CNT/PEDOT:PSS/F82T/C₁₁/Al | Dip + meta-cresol | 295 | 89 | N/A | 2.3 | 149 |
| PET/CNT/PEDOT:PSS/P3HT:PCBM/Al | Filtration + none | 200 | 85 | 4 | 2.5 | 150 |
| Glass/CNT/PEDOT:PSS/PEE/ZnO/PBDT:TT-C:PCBM/V₂O₅/Go/Ag | Spin + PEDOT:PSS | 40 | 85 | 19.7 | 7.5 | 154 |
| Glass/CNT/PEDOT:PSS/DIP/DDB/C₁₁/BCP/Al | Blade + CuI | 70 | 80 | 6 | 3.0 | 153 |
| Glass/CNT/PEDOT:PSS/perovskite/PCBM/Al | Filtration + acid | 25 | 70 | 9 | 6.3 | 167 |
| PET/CNT/PEDOT:PSS/perovskite/PCBM/Al | Filtration + acid | 25 | 70 | 9 | 5.4 | 167 |
| FTO/TiO₂/perovskite/spiro/CNT | Lamination + none | 2000 | 60 | 16 | 6.3 | 168 |
| Glass/CuNW/PEDOT:PSS/P₃ZnPC₆₀/BPhen/Al | Spray | 25 | 83 | 16 | 3.1 | 169 |
| Glass/AgNW/PEDOT:PSS/PEDOT:PSS/polymer (not commercial):PC₆₀/BM/TiO₂/Al | Rod | 8 | 92 | 15 | 7.4 | 170 |
| PEN/PEI/Ag/PEDOT:PSS/PEDOT:PSS/PPD/P7TTh:PC₆₀/MoOx/Ag | - | 9 | 90 | 4.6 | 9.8 | 172 |
| PET/Ag mesh/PEDOT:PSS/PEDOT:PSS/perovskite/PCBM/Al | Nano-imprinting | 3 | 85 | 10 | 14.0 | 173 |
used. In particular, by capitalizing on the structural characteristics (one-dimensional nanostructures) of non-traditional electrodes including CNT, metal nanowires may provide a new strategy for efficient OPV cells.

Beside the OPV cells, lead-based perovskite solar cells can be fabricated on CNT-based TCFs.[167,168] Apparently, for the efficiency game in OPV applications, CNT-based TCFs are not promising.[169–173] In particular, the flexible organic/inorganic perovskite cells exhibited a PCE of 14% on hybrid TCFs with metal mesh-PEDOT:PSS, which had a very small sheet resistance of 3 Ω/square at 85% transmittance.[173] It is necessary to further improve CNT-based TCFs with sheet resistances of below 50 Ω/square at transmittance of above 90%. Constructing hybrid structures with CNT and metal nanowires is a promising technology. Moreover, in order to achieve the required flexibility and stretchability, vacuum-evaporated metal electrodes should be also replaced with flexible electrodes. The issues of low-cost fabrication process and device stability should be addressed. Overall, it remains a large space for realizing the actual OPV application on CNT-based TCFs.

4.3. OLED devices

OLED is attracting more and more interest in solid-state lighting devices and digital displays in devices such as television screens, computer monitors and various portable devices. OLED is a light-emitting diode, where the emissive electroluminescent layer is an organic film that converts electrical current to light. This organic film is sandwiched with two electrodes, at least one of which is transparent.

CNT-based TCFs have been widely investigated for flexible OLED devices.[82,174–194] Generally, the requirements of sheet resistance and transmittance for OLED devices is less strict than in OPV devices. Similar to OPV, there are two main OLED devices using either small molecules or polymers. Multiple-layer architecture including hole and electron injection is usually used to improve the device performance and operation lifetimes. Here, PEDOT:PSS is usually used to modify the surface of CNT-based electrodes. In 2006, three groups [82,174,175] reported small-molecule and polymer OLED devices fabricated on CNT-based electrodes, respectively. Figure 12(a) shows a schematic of a small-molecule OLED device.[175] They deposited CNT...
films by typical filtration and transferring processes. CNT films with a thickness of 130 nm exhibited a sheet resistance of 60 Ω/square at 44% transmittance. The organic stack structure was optimized for maximum luminance efficiency and consisted in 10-nm thick copper phthalocyanine (CuPc) as a hole injection buffer layer (HIL), 50-nm thick N,N'-bis-(1-naphthyl)-N,N'-diphenyl-1,1-biphenyl-4,4'-diamine (NPB) as a hole transport layer (HTL), and 50-nm thick tris-(8-hydroxyquinoline) aluminum (Alq3) as an electron transport and emissive layer. The maximum achieved brightness is 6000 cd m–2 for the ITO-OLED compared to roughly half, 2800 cd m–2, for the CNT-OLED. Considering that CNT film exhibited a low transmittance of 44%, the CNT-OLED device had similar emission performances with ITO-OLED device.

Figure 11. (a) Device structure, AFM images of the CNT-Cul hybrid (b) before and (c) after deposition of PEDOT:PSS film. (d) J–V characteristics under 1-sun illumination and (e) EQE of the OPV cells on CNT-Cul. (Reprinted with permission from [153], copyright 2016 Royal Society of Chemistry.)

Figure 12. (a) Schematic of the OLED device on CNT-based electrode and corresponding cross sectional scanning electron microscopy image. (b) Sheet resistance as a function of the optical transmittance. Current density and luminance as a function of applied voltage for OLEDs fabricated on (c) CNT and (d) ITO anodes. (Reprinted with permission from [175], copyright 2006 American Institute of Physics.)
Deposition of a doped PEDOT:PSS film on the nanostructured CNT further homogenized the surface and enlarged the work function, which was expected to enhance the hole injection effects. By using an efficient phosphorescent ionic transition metal complex as a light emitting material, they achieved an efficacy up to 9 cd/A.

CNT-based TCFs enable easy fabrication of OLED and related devices on a plastic substrate. Martinez-Sarti [186] reported a flexible light-emitting electrochemical cell using CNT-based TCFs as an anode, as shown in Figure 13. The CNTs were synthesized by an integrated aerosol method, and then dry-transferred on to the plastic substrates. Figure 14. (a) Schematic view of OLED display. (b) SEM image of parallel CNT strips with a width of 5 μm. (c) A photo showing the 36 pixels. (d) The letters “N”, “T”, and “U” are displayed sequentially on this OLED display. (Reprinted with permission from [210], copyright 2015 Nature Publishing Group.)
25 years, but only during last few years have practical applications begun. Currently, the price of commercial, research grade CNT ranges from less than 1 US dollar per gram (multi-walled CNT) to hundreds of dollars per gram (single-walled CNT). The commercialization of CNTs, which have become cheaper and cheaper, is driving their practical application at industrial scale. More and more companies are making an attempt to introduce CNTs to upgrade their products. TCFs are a very promising field for CNTs, because of the rapid growth and development of customer electronics. According to [211] the market for TCFs will reach 1.2 billion dollars in 2025. Although ITO films will still dominate various applications of TCFs for a long period in the future, ITO alternative technologies such as metal nanowires and metal mesh are expected to expand their share in the coming years. CNT-based TCFs have to face a serious competition with ITO and alternative technologies. It has been theoretically and experimentally proved that CNTs have a high intrinsic electrical conductivity close to metal, but it remains a challenge to transfer such high conductivity of individual CNTs to a network (film). Honghai and Canatu, the first two companies to manufacture CNT-based TCFs, have been developing dry processes to directly transfer their special CNTs onto substrate. They can manufacture high-quality CNT-based TCFs simply in mass production with a low-cost process. Many other studies in both academia and industry are using wet processes to fabricate CNT-based TCFs. The wet process is also promising since it not only allows the use of commercial CNTs with different qualities and prices, but also enables various deposition methods for desired applications. General wet processes contain several steps to deposit CNT films. Firstly, CNT aggregates with strong van der Waals binding energies should be dispersed or mixed in a solution. An ideal dispersion

5. Summary and prospective

CNTs are allotropes of carbon with a one-dimensional cylindrical nanostructure. These one-dimensional carbon molecules have many outstanding properties, which have attracted much interest in various fields of materials science and technology. CNTs have been studied for over 25 years, but only during last few years have practical applications begun. Currently, the price of commercial, research grade CNT ranges from less than 1 US dollar per gram (multi-walled CNT) to hundreds of dollars per gram (single-walled CNT). The commercialization of CNTs, which have become cheaper and cheaper, is driving their practical application at industrial scale. More and more companies are making an attempt to introduce CNTs to upgrade their products. TCFs are a very promising field for CNTs, because of the rapid growth and development of customer electronics. According to [211] the market for TCFs will reach 1.2 billion dollars in 2025. Although ITO films will still dominate various applications of TCFs for a long period in the future, ITO alternative technologies such as metal nanowires and metal mesh are expected to expand their share in the coming years. CNT-based TCFs have to face a serious competition with ITO and alternative technologies.

Besides acting as a transparent electrode, CNT films can act as a hole injection layer to enhance the device efficiency, and also as a transistor to drive OLED displays.[195–210] OLED displays can use either passive-matrix (PMOLED) or active-matrix (AMOLED) addressing detailed schemes. AMOLED requires a thin-film transistor to not only switch each individual pixel on or off, but also allow higher resolution and larger display sizes. Transistors using semiconducting CNTs can drive AMOLED pixels at low operating voltage. Zou et al. [210] reported CVD-grown CNT network thin film transistor (TFT) driver circuits for static and dynamic AM OLED displays with 6 × 6 pixels, as shown in Figure 14. The high device mobility of ~45 cm² V⁻¹s⁻¹ and the high channel current on/off ratio of ~10⁶ of the CNT-TFTs enabled good control of the OLED pixels. The results suggest that CNT-TFTs are promising backplane building blocks for future OLED displays.

These various applications make CNT one of the most promising materials in OLED devices. CNT-TFTs exhibit higher mobility, channel current and longer lifetime than other TFTs using either silicon or organic semiconductors. Combination with CNT-based TCFs will significantly simplify the process for OLED displays. However, both of CNT-TFTs and CNT-based TCFs require easy but stable doping to improve or modify the electronic properties, which is also a main challenge for various devices using CNT thin films.
Moreover, developing a stable modification/doping is needed. For example, multi-walled CNTs can be used. The conductivity of non-doped CNT-based TCFs should be the most promising among various TCFs, concerning the performance/price competition among various TCFs. ITO is good enough for most applications. However, we still believe that CNT-based TCFs will boost studies in both academia and industry, which will promote CNT-based TCFs in more and more products. Additionally, for the emerging flexible and wearable devices including sensing, lighting and energy harvesting devices, CNT-based TCFs can provide a platform which is more durable compared to other TCFs. CNT-based TCFs are not a final product; many processes including high-temperature treatment, acid or alkaline treatment are further required. For example, for OLED displayers, TFTs using poly-silicon or oxides need a high temperature anneal above 200°C. Moreover, for hybrid perovskite solar cells, diffusion of iodine ions could erode the metal electrodes. In those cases, CNT-based TCFs will be a better choice than metal nanowire. On the other hand, CNT-based TCFs can be very stretchable and foldable. These special properties are motivating researchers of CNT-based TCFs to make further efforts to open a new field for future wearable optoelectronics.

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