AUSONI-BÖKSTEDT DUALITY FOR TOPOLOGICAL HOCHSCHILD HOMOLOGY

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Abstract. We consider the Gorenstein condition for topological Hochschild homology, and
show that it holds remarkably often. More precisely, if $R$ is a commutative ring spectrum
and $R \to k$ is a map to a field of characteristic $p$ then, provided $k$ is small as an $R$-module,
$THH(R; k)$ is Gorenstein in the sense of [8]. In particular, this holds if $R$ is a (conventional)
regular local ring with residue field $k$ of characteristic $p$.

Using only Bökstedt’s calculation of $THH(k)$, this gives a non-calculational proof of
dualities observed by Bökstedt [7] and Ausoni [3], Lindenstrauss-Madsen [11], Angeltweit-
Rognes [4] and others.

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1. Introduction

The present work was stimulated by calculations of topological Hochschild homology by
Bökstedt [7] and Ausoni [3]. Given a map of commutative ring spectra $R \to k$, we may
view $k$ as an $R$-bimodule and hence define $THH_*(R; k)$. Identifying conventional rings
with Eilenberg-MacLane ring spectra, we may take $k$ to be a field, and the calculations
gave striking examples so that $THH_*(R; k)$ is Gorenstein. These and other calculations are
summarized in Section 5 and the reader unfamiliar with them may wish to glance at them
before proceeding.

The purpose of the present paper is to give a non-calculational explanation of this duality.
Most are instances of the following result which covers many cases where there is currently
no complete calculation. The basic definitions of $THH$ are described in Section 2 and the
Gorenstein apparatus is described in Section 4. The following theorem appears below as
Corollary [7.4]
Theorem 1.1. If \( R \) is a connective commutative ring spectrum with a map \( R \to k \) where \( k \) is a field of characteristic \( p > 0 \) then provided (i) \( k \) is small as a \( R \)-module and (ii) \( R \) is Gorenstein of shift \( a \) then \( \text{THH}(R; k) \) is Gorenstein of shift \(-a - 3\) and has Noetherian homotopy groups.

This should be contrasted with the algebraic case (i.e., working under \( k \) rather than under the sphere spectrum). In this case, if \( R \) is a \( k \)-algebra, under the same hypotheses we expect \( HH_*(R|k; k) \) to be Gorenstein a shift of \(-a\) (see Appendix [B]).

It is essential to the argument that we are working in characteristic \( p \), and the only calculational input is Bökstedt’s result that \( \text{THH}_*(\mathbb{F}_p) = \mathbb{F}_p[\mu_2] \) (this is Gorenstein of shift \(-3\), which explains the \(-3\) in the statement of the theorem). The two technical ingredients are (A) a cofibre sequence conjectured to explain the Gorenstein calculations and proved by Dundas and (B) an extension of the usual Gorenstein ascent theorem.

There is a strong precedent for calculations based on Gorenstein ascent. Indeed if \( S \to R \to Q \) is a cofibre sequence (i.e., \( Q \simeq R \otimes_S k \)) the Gorenstein property often behaves well in the sense that if \( S \) and \( Q \) are Gorenstein then so is \( R \). To illustrate its use we show how this, together with Morita invariance, lets us generate most Gorenstein rings from from 0-dimensional ones. To start with, exterior algebras \( E \) are Poincaré duality algebras (and hence 0-dimensional Gorenstein rings) and since any polynomial algebra \( P \) is Morita equivalent to an exterior algebra \( E \), polynomial algebras are Gorenstein. Next, by Noether normalization any Noetherian \( k \)-algebra \( R \) is finitely generated as a module over a polynomial subring \( P \) so that the cofibre \( Q = R \otimes_P k \) is finite dimensional. Since \( P \) is Gorenstein, \( R \) is Gorenstein if and only if \( Q \) is a Poincaré duality algebra, so Gorenstein rings are constructed from a polynomial algebra and a Poincaré duality algebra. Altogether, Gorenstein rings are constructed from Poincaré duality algebras using Morita equivalences and cofibre sequences.

Duality phenomena are also ubiquitous in topology, starting with Poincaré duality and moving on to coefficient rings of many equivariant cohomology theories [8]. Once again it seems these all come from a rather small collection of basic examples, namely the chains on a group or the cochains on a manifold. Using Morita equivalences and cofibre sequences one can generate a wide variety of further examples, perhaps most notably \( C^*(BG) \) for compact Lie groups \( G \) whose adjoint representation is orientable [8]. The present paper shows that Bökstedt’s calculation provides a new source of Gorenstein examples.

The rest of the paper is organized as follows. Sections [2, 3] and [4] provide summaries of relevant background. Section [5] gives summaries of various calculations from the literature. Section [6] proves the Gorenstein Ascent result we need, and finally in Section [7] we prove the main result, and give a further discussion of examples. There are two appendices which describe similar results. In Appendix A we consider \( \text{THH} \) of Thom spectra via the work of Blumberg-Cohen-Schlichtkrull [6], and in Appendix B we consider algebraic Hochschild homology (i.e., under \( k \) rather than under the sphere spectrum) where a result of Dwyer-Miller gives analogous duality statements.

During the genesis of the paper, I have discussed my speculations with many people, and I am grateful to V. Angeltveit, C. Ausoni, D. Benson, B. Dundas, W. G. Dwyer and A. Lindenstrauss.

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1These are often called fibre sequences in algebra because of the fact that cochains on topological fibre sequences give examples, but this would lead to confusion in the present context.
for their patience and sharing their ideas. I am particularly grateful to B. Dundas for providing a proof of the critical conjectured cofibration described in Lemma 7.1 and allowing me to publish it here. I would like to thank the University of Lille for inviting me to give lectures on duality in 2012, when these ideas started to make progress, and MSRI for providing an excellent environment for completing this account.

2. Hochschild homology and cohomology

We suppose given maps $S \to R \to k$ of ring spectra; as usual we include the case of conventional rings through the use of Eilenberg-MacLane spectra. We write $R^e = R^e_S = R \otimes_S k$, and we write $P$ for an $R^e$-module, which we refer to as an $(R, R)$-bimodule over $S$. Thus we may talk of the Hochschild homology spectrum

$$\text{HH}_\bullet(R|S; P) = R \otimes_{R^e} P$$

and the Hochschild cohomology spectrum

$$\text{HH}^\bullet(R|S; P) = \text{Hom}_{R^e}(R, P).$$

The Hochschild homology and cohomology groups are obtained by taking homotopy groups

$$\text{HH}_s(R|S; P) = \pi_s \text{HH}_\bullet(R|S; P) \text{ and } \text{HH}^s(R|S; P) = \pi_s \text{HH}^\bullet(R|S; P).$$

If $R$ and $S$ are conventional rings, $R$ is flat over $S$ and $P$ is a conventional module, this agrees with the standard definitions in algebra.

In the examples of most concern to us here, $S = S$ is the sphere spectrum, and we have we have topological Hochschild homology $\text{TTH}(R; P) := \text{HH}_\bullet(R|S; P)$.

3. Two spectral sequences

We are going to be concerned with cofibre sequences of commutative ring spectra, $S \to R \to Q$, in the sense that $Q \simeq R \otimes_S k$. In the topological context one is used to having spectral sequences as basic calculational tools. It is convenient to have them available more generally.

3.A. The connective case. This is the situation when the ring spectra $S, R$ and $Q$ are all connective. The first example of this is analogous to what happens when we have a short exact sequence of compact Lie groups $1 \to N \to G \to G/N \to 1$. We take $S = C_*(N), R = C_*(G)$ and $Q = C_*(G/N)$ and in this case we have the homological Serre spectral sequence

$$E^s_{s,t} = H_s(G/N; H_t(N)) \Rightarrow H_s(G)$$

of the fibration $N \to G \to G/N$.

Lemma 3.1. If $S \to R \to Q$ is a cofibre sequence of connective commutative algebras augmented over $k$ and $\pi_0(S) = k$, and $R$ is upward finite type as an $S$-module (for example if $\pi_n(R)$ is finite dimensional for each $n$) then there is a multiplicative spectral sequence

$$E^2_{s,t} = \pi_s(Q) \otimes_k \pi_t(S) \Rightarrow \pi_{s+t}(R),$$

with differentials

$$d^r : E^r_{s,t} \to E^r_{s-r,t+r-1}.$$
Proof: We construct a tower

\[ S \rightarrow \cdots \rightarrow S^{(n)} \rightarrow S^{(n-1)} \rightarrow \cdots \rightarrow S^{(1)} \rightarrow S^{(0)} = k \]

of commutative rings by killing homotopy groups, where \( S \rightarrow S^{(n)} \) is an isomorphism of \( \pi_{\leq n} \) and \( \pi_i(S^{(n)}) = 0 \) for \( i > n \). Taking \( R^{(n)} = R \otimes_S S^{(n)} \) we obtain a corresponding multiplicative filtration of \( R \), and consider the resulting spectral sequence. In view of the cofibre sequence \( \Sigma^t \pi_t S \rightarrow S^{(t)} \rightarrow S^{(t-1)} \) of \( S \)-modules it is easy to write down an exact couple, and we grade it so that

\[ D^2_{s,t} = \pi_{s+t}(R \otimes_S S^{(t)}) \text{ and } E^2_{s,t} = \pi_{s+t}(R \otimes_S \Sigma^t \pi_t(S)). \]

Now the action of \( \pi_t S \) factors through \( S^{(0)} = k \), and hence

\[ R \otimes_S \Sigma^t \pi_t(S)) \simeq \Sigma^t \pi_t(S) \otimes_k Q. \]

The \( d^2 \) differential is induced by

\[ R \otimes_S \Sigma^t \pi_t(S) \rightarrow R \otimes_S S^{(t)} \rightarrow R \otimes_S \Sigma^{t+2} \pi_{t+1}(S). \]

The convergence of the spectral sequence is the statement that the natural map

\[ \kappa : R = R \otimes_S S \simeq R \otimes_S [\text{holim}_n S^{(n)}] \rightarrow \text{holim}_n [R \otimes_S S^{(n)}] \]

is an equivalence. Since the \( S^{(n)} \) are uniformly bounded below (by \(-1\)) the result follows (as summarized in Lemma 3.3 below). \( \square \)

3.B. The coconnective case. This is the situation when the ring spectra \( S, R \) and \( Q \) are all coconnective.

One example of this arises if we start from a fibration \( F \rightarrow E \rightarrow B \) with \( B \) simply connected and take \( S = C^*(B) \), \( R = C^*(E) \) and \( Q = C^*(F) \). The construction generalizes the Serre spectral sequence

\[ E^{s,*}_2 = H^*(B; H^*(F)) \Rightarrow H^*(E). \]

Lemma 3.2. If \( S \rightarrow R \rightarrow Q \) is a cofibre sequence of coconnective commutative algebras augmented over \( k \) and \( \pi_0(S) = k \) and \( R \) is downward finite type as an \( S \)-module (for example \( S \rightarrow R \rightarrow Q \) if \( \pi_*(S) \) is simply connected and \( \pi_n(R) \) is finite dimensional for each \( n \) then there is a multiplicative spectral sequence

\[ E^{s,t}_2 = \pi_{-s}(S) \otimes_k \pi_{-t}(Q) \Rightarrow \pi_{-s-t}(R), \]

with differentials

\[ d_r : E^{s,t}_r \rightarrow E^{s-r,t+r-1}_r. \]

Proof: We sketch the construction.

The filtration arises from a tower

\[ S \rightarrow \cdots \rightarrow S_{(n)} \rightarrow S_{(n-1)} \rightarrow \cdots \rightarrow S_{(1)} \rightarrow S_{(0)} = k \]

of commutative rings by killing homotopy groups; since \( S \) is coconnective with \( \pi_0(S) = k \), this behaves very much like the connective case. Thus the map \( S \rightarrow S_{(n)} \) is an isomorphism of \( \pi_{\geq -n} \) and we have cofibre sequences of \( S \)-modules

\[ \Sigma^{-s} \pi_{-s} S \rightarrow S_{(s)} \rightarrow S_{(s-1)}. \]
We then get a spectral sequence
\[ D_2^{s,t} = \pi_{-s-t}(R \otimes_S S_{(s)}) \] and
\[ E_2^{s,t} = \pi_{-s-t}(R \otimes_S \Sigma^{-s} \pi_{-s} S) \]
The differentials then take the familiar cohomological form
\[ d_r : E_r^{s,t} \rightarrow E_r^{s+r,t-r+1}. \]

The convergence of the spectral sequence is the statement that the natural map
\[ R = R \otimes_S S \simeq R \otimes_S \text{holim}_n S_{(n)} \rightarrow \text{holim}_n R \otimes_S S_{(n)} \]
is an equivalence, and by Lemma 6.3 below this holds if \( R \) is of downward finite type over \( S \).

4. Gorenstein ring spectra

We are considering duality phenomena modelled on those in commutative algebra of Noetherian rings, namely those associated to Gorenstein local rings. For ring spectra there is a corresponding development, starting by restricting the class of rings by a finiteness condition and then the core Gorenstein condition followed by a duality statement. We recall some definitions from [8].

4.A. Finiteness conditions. In a triangulated category if \( N \) can be finitely built from \( M \) using cofibre sequences, finite sums and retracts, we write \( M \models N \); if \( N \) can be built from \( M \) using cofibre sequences and arbitrary sums we write \( M \vdash N \).

We consider a map \( R \rightarrow k \) of rings. The terminology comes from the special case when \( R \) is a commutative local ring with residue field \( k \). The first requirement is a finiteness condition, which plays the role of the Noetherian condition from classical commutative algebra. The Auslander-Buchsbaum-Serre theorem in commutative algebra states that if \( R \) is a Noetherian local ring, \( k \) is small if and only if \( R \) is regular. This is far too strong a condition for us to assume, but there is a much weaker and more practical one in the same vein. Indeed, for commutative Noetherian local rings, we can always form the Koszul complex \( K \) associated to a finite set of generators of the maximal ideal; this has the properties (i) \( K \) is small \( (R \models K) \) (ii) \( K \) is finitely built from \( k \) \( (k \models K) \) and (iii) \( k \) is built from \( K \) \( (K \vdash k) \). In the context of more general ring objects, we say \( R \) is proxy-regular if there is an \( R \)-module \( K \) so that (i), (ii) and (iii) hold, and we think of this as a finiteness condition playing a similar role to that of being Noetherian.

4.B. The Gorenstein condition. We now say that \( S \rightarrow k \) is Gorenstein of shift \( a \) (and write shift(\( S \)) = shift(\( S|k \)) = \( a \)) if we have an equivalence
\[ \text{Hom}_S(k, S) \simeq \Sigma^a k \]
of \( R \)-modules. More generally, we say that \( S \rightarrow R \) is relatively Gorenstein of shift \( a \) (and write shift(\( S|R \)) = \( a \)) if
\[ \text{Hom}_S(R, S) \simeq \Sigma^a R. \]

Just as in the classical case, we are interested in proxy-regular rings which satisfy the Gorenstein condition.
4.C. **Gorenstein duality.** Although the Gorenstein condition itself is convenient to work with, the real reason for considering it is the duality property that it implies.

In classical local commutative algebra the Gorenstein duality property is that all local cohomology is in a single cohomological degree, where it is the injective hull \( I(k) \) of the residue field. To give a formula, we write \( \Gamma_m M \) for the \( \mathfrak{m} \)-power torsion in an \( R \)-module \( M \), and \( H^*_m(M) \) for the local cohomology of \( M \), recalling Grothendieck’s theorem that if \( R \) is Noetherian, \( H^*_m(M) = R^r \Gamma_m(M) \). The Gorenstein duality statement for a local ring of Krull dimension \( r \) therefore states

\[
H^*_m(R) = H^r_m(R) = I(k).
\]

If \( R \) is a \( k \)-algebra, \( I(k) = R^\vee = \Gamma_m \text{Hom}_k(R, k) \).

Turning to the general case, if \( R \) is a \( k \)-algebra, we may again define \( R^\vee = \text{cell}_k(\text{Hom}_k(R, k)) \) and observe this has the Matlis lifting property

\[
\text{Hom}_R(T, R^\vee) \cong \text{Hom}_k(T, k)
\]

for any \( T \) built from \( k \).

In particular, if \( R \) is Gorenstein of shift \( a \) we have equivalences of \( R \)-modules

\[
\text{Hom}_R(k, \text{cell}_k R) \cong \text{Hom}_R(k, R) \overset{(g)}{\cong} \Sigma^a k \overset{(m)}{\cong} \text{Hom}_R(k, \Sigma^a R^\vee),
\]

where the equivalence \((g)\) is the Gorenstein property and the equivalence \((m)\) is the Matlis lifting property. We would like to remove the \( \text{Hom}_R(k, \cdot) \) to deduce

\[
\text{cell}_k R \cong \Sigma^a R^\vee.
\]

Such an equivalence is known as Gorenstein duality, since \( \text{cell}_k(R) \) is a covariant functor of \( R \) and \( R^\vee \) is a contravariant functor of \( R \).

Morita theory [8] says that if \( R \) is proxy-regular we may make this deduction provided \( R \) is orientably Gorenstein in the sense that the right actions of \( E = \text{Hom}_R(k, k) \) on \( \Sigma^a k \) implied by the two equivalences \((g)\) and \((m)\) agree. This is automatic when the ring spectrum is both a \( k \)-algebra and connected.

**Proposition 4.1.** Suppose \( R \) is a proxy-regular, connected \( k \)-algebra and \( \pi_*(R) \) is Noetherian with \( \pi_0(R) = k \) and maximal ideal \( \mathfrak{m} \) of positive degree elements. If \( R \) is Gorenstein of shift \( a \), then \( R \) is automatically orientable and so has Gorenstein duality. Accordingly there is a local cohomology spectral sequence

\[
H^*_m(R_* \Rightarrow \Sigma^a R^\vee_*)\]

**Proof:** First we argue that if \( R \) is Gorenstein, it is automatically orientable. Indeed, we show that \( E \) has a unique action on \( k \). Since \( R \) is a \( k \)-algebra, the action of \( E \) on \( k \) factors through

\[
E = \text{Hom}_k(k, k) \rightarrow \text{Hom}_k(k, k) = k,
\]

so since \( k \) is an Eilenberg-MacLane spectrum, the action is through \( \pi_0(E) \). Now we observe that since \( R \) is connected, \( \text{Ext}^s_R(k, k) \) is in degrees \( \leq -s \), so that the spectral sequence for calculating \( \pi_*(\text{Hom}_R(k, k)) \) shows \( E \) is coconnective with \( \pi_0(E) = k \) which must act trivially on \( k \).
We note that if the coefficient ring \( \pi_*(R) \) is Gorenstein and \( R \) is connective then \( R \) is Gorenstein. Indeed, the spectral sequence

\[
\text{Ext}^{*,*}_{R^*}(k, R_*) \Rightarrow \pi_*(\text{Hom}_R(k, R))
\]
collapses, to show \( \pi_*(\text{Hom}_R(k, R) = \Sigma^a k \) for some \( a \). The \( R \)-module \( k \) is characterised by its homotopy, so \( \text{Hom}_R(k, R) \simeq \Sigma^a k \). Conversely, if \( R \) is Gorenstein, this shows that the ring \( \pi_*(R) \) has very special properties (even if it falls short of being Gorenstein).

**Corollary 4.2.** Suppose \( R \) has Gorenstein duality of shift \( a \), that \( \pi_*(R) \) is Noetherian of Krull dimension \( r \) and Hilbert series \( p(t) = \sum_s \text{dim}_k(R_s) t^s \).

If \( \pi_*(R) \) is Cohen-Macaulay it is also Gorenstein, and the Hilbert series satisfies

\[
p(1/t) = (-1)^r t^{-a} p(t).
\]

If \( \pi_*(R) \) is almost Cohen-Macaulay it is also almost Gorenstein, and the Hilbert series satisfies

\[
p(1/t) - (-1)^r t^{-a} p(t) = (-1)^{r-1}(1 + t)q(t) \text{ and } q(1/t) = (-1)^{r-1} t^{a-r+1} q(t).
\]

In any case \( \pi_*(R) \) is Gorenstein in codimension 0 and almost Gorenstein in codimension 1.

4.D. **The relatively Gorenstein case.** We make the elementary observation that for any ring map \( \theta : S \to R \)

\[
\text{Hom}_R(k, \text{Hom}_S(R, S)) \simeq \text{Hom}_R(R \otimes_S k, S) \simeq \text{Hom}_S(k, S).
\]

Thus we conclude that if \( S \to R \) is relatively Gorenstein then \( R \) is Gorenstein if and only if \( S \) is Gorenstein, and in that case

\[
\text{shift}(S|k) = \text{shift}(R|k) + \text{shift}(R|S).
\]

**Example 4.3.** (i) The ring map \( S = ko \to ku = R \) is relatively Gorenstein of shift 2. Indeed, the connective version of Wood’s theorem states \( ku \simeq ko \land (S^0 \cup \eta e^2) \), so \( \text{Hom}_{ko}(ku, ko) \simeq \Sigma^{-2} ku \).

Since \( ku_* = \mathbb{Z}[u] \) we see that \( ku \) is Gorenstein of shift \(-4\) over \( \mathbb{F}_2 \), and it follows that \( ko \) is Gorenstein of shift \(-6\) over \( \mathbb{F}_2 \).

(ii) Precisely similar statements hold for \( tmf \). This uses results of Hopkins-Mahowald [10], now written up by Matthew [15].

At the prime 3, there is a map \( tmf \to tmf_0(2) \). Here \( tmf_0(2) \simeq tmf \land (S^0 \cup \alpha_1 e^4 \cup \alpha_1 e^8) \), so that

\[
\text{Hom}_{tmf}(tmf_0(2), tmf) \simeq \Sigma^{-8} tmf_0(2).
\]

Since \( tmf_0(2)_* = \mathbb{Z}[c_2, c_4] \) (where \( |c_i| = 2i \)) we see that \( tmf_0(2) \) is Gorenstein of shift \(-15\). Hence we deduce by Gorenstein descent that \( tmf \to \mathbb{F}_3 \) is Gorenstein of shift \(-23\).

At the prime 2, there is a map \( tmf \to tmf_1(3) \). Here \( tmf_1(3) \) is a form of \( BP(2) \) and \( tmf_1(3) \simeq tmf \land DA(1) \) so that

\[
\text{Hom}_{tmf}(tmf_1(3), tmf) \simeq \Sigma^{-12} tmf_0(2).
\]

Since \( tmf_1(3)_* = \mathbb{Z}[\alpha_1, \alpha_3] \) (where \( |\alpha_i| = 2i \)) we see that \( tmf_1(3) \) is Gorenstein of shift \(-11\). Hence we deduce by Gorenstein descent that \( tmf \to \mathbb{F}_2 \) is Gorenstein of shift \(-23\).
In general, it can be difficult to decide if $S \rightarrow R$ is relatively Gorenstein, and we prefer to give conditions depending on $Q$.

4.E. Gorenstein Ascent. In effect the Gorenstein Ascent theorem will state that under suitable hypotheses (see Section 4) there is an equivalence

$$\text{Hom}_R(k, R) \simeq \text{Hom}_Q(k, \text{Hom}_S(k, S) \otimes_k Q).$$

When this holds, it follows that if $S$ and $Q$ are Gorenstein, so is $R$ and

$$\text{shift}(R) = \text{shift}(S) + \text{shift}(Q).$$

4.F. Arithmetic of shifts. We summarize the behaviour of Gorenstein shifts in the ideal situation when ascent and descent both hold. If all rings and maps are Gorenstein of the indicated shifts

$$a S \xrightarrow{\lambda} b R \xrightarrow{\mu} c Q$$

then $b = a + c$, $\lambda = -c$ and $\mu = a$.

5. SOME EXAMPLES

The paper is motivated by several calculations when $S = S$ is the sphere spectrum.

Example 5.1. (The map $R = \mathbb{F}_p \rightarrow \mathbb{F}_p = k$.) We consider the homotopy of $THH(\mathbb{F}_p)$. Bökstedt [7] has calculated $THH_*(\mathbb{F}_p) = \mathbb{F}_p[\mu_2]$. Tautologically, $\mathbb{F}_p$ is small over $\mathbb{F}_p$ and it is immediate that $\mathbb{F}_p \rightarrow \mathbb{F}_p$ is Gorenstein of shift 0. The Gorenstein shift of $THH_*(\mathbb{F}_p)$ is $-3$.

Example 5.2. (The map $R = \mathbb{Z} \rightarrow \mathbb{F}_p = k$.) We consider the mod $p$ homotopy of $THH(\mathbb{Z})$. Bökstedt [7] has calculated $THH_*(\mathbb{Z}; \mathbb{F}_p) = \mathbb{F}_p[\mu_{2p}] \otimes \Lambda_{\mathbb{F}_p}(\lambda_{2p-1})$. It is immediate that $\mathbb{F}_p$ is small over $\mathbb{Z}$ and easy to check that $\mathbb{Z} \rightarrow \mathbb{F}_p$ is Gorenstein of shift $-1$. The shift of $THH_*(\mathbb{Z}; \mathbb{F}_p)$ is $(-2p-1) + (2p-1) = -2$. The ring but not the shift depends on $p$.

The calculations of Lindenstrauss and Madsen [11] 4.4 have a similar pattern. Indeed, if $O$ is a ring of integers in a number field, which is either unramified or wildly ramified, $THH_*(O; O/p)$ is polynomial tensor exterior (over $O/p$) on generators differing in degree by 1. In the tamely ramified case the ring $THH_*(O; O/p)$ is more complicated, but it is still Gorenstein of shift $-2$.

Example 5.3. (The map $R = lu \rightarrow \mathbb{F}_p = k$.) We consider mod $v_1, p$ homotopy of $THH(lu)$ where $lu$ is the Adams summand of $p$-local connective $K$-theory with coefficients $lu_* = \mathbb{Z}(p)[v_1]$. McClure-Staffeldt [13] (see also Ausoni-Rognes [4]) have calculated $THH_*(lu; \mathbb{F}_p) = \mathbb{F}_p[\mu_{2p^2}] \otimes \Lambda_{\mathbb{F}_p}(\lambda_{2p^2-1}, \lambda_{2p^2-2})$. It is easy to check that $\mathbb{F}_p$ is small over $lu$ and that $lu \rightarrow \mathbb{F}_p$ is Gorenstein of shift $-(2p-2)-1-1 = -2p$. The shift of $THH_*(lu; \mathbb{F}_p)$ is $(-2p^2-1) + (2p-1 + 2p^2-1) = 2p-3$.

Example 5.4. (The map $R = ku \rightarrow ku/(p, v_1) = k$.) For primes $p > 2$, Ausoni calculates the mod $p, v_1$ homotopy of $THH(ku)$ and shows that $THH_*(ku; ku/(p, v_1)) = \Lambda(\lambda_{2p-1}) \otimes \mathbb{F}_p[\mu_{2p^2}] \otimes Q$, where $Q$ is Poincaré duality algebra of formal dimension $2p^2-1$ [8, 9.15]. Making due allowance for the fact that $\pi_*(ku/(p, v_1)) = \mathbb{F}_p[v]/(v^{p-1})$ is not a field, we may make similar calculations. It is easy to see that $ku/(p, v_1)$ is small over $ku$ and $ku \rightarrow ku/(p, v_1)$ is Gorenstein of shift $-2p$. The shift of $THH_*(ku; ku/(p, v_1))$ over $\mathbb{F}_p$ is $(-2p^2-1) + (2p-1) + 2p^2-1 = 2p-3$. 

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Example 5.5. (The map \( R = ko \to H\mathbb{F}_2 = k \).) Angeltveit and Rognes \[4\] show that \( THH_*(ko; \mathbb{F}_2) = \Lambda(\lambda_5, \lambda_7) \otimes \mathbb{F}_2[\mu_8] \). This is Gorenstein of shift \( 5 + 7 - 8 - 1 = 3 \).

6. GORENSTEIN ASCENT

We have begun to see the value of understanding the behaviour of the Gorenstein condition in cofibre sequences, and we turn to a more systematic discussion.

We suppose that \( S \to R \to Q \) is a cofibre sequence of commutative algebras with a map to \( k \), and we now consider the Gorenstein ascent question. When does the fact that \( S \) is Gorenstein imply that \( R \) is Gorenstein? It is natural to assume that \( Q \) is Gorenstein, but it is known this is not generally sufficient. We identify a number of circumstances in which it is sufficient, and in characteristic \( p \) we give a useful general result. Before we do this, we look at the finiteness conditions.

6.A. Proxy-regularity. We provide a tool for proxy-regular ascent. It seems that some hypothesis is necessary and the form given here applies in cases of interest here.

First, we should introduce notation for the standard Koszul complex associated to a sequence \( r_1, r_2, \ldots, r_n \) of elements of elements of \( \pi_*R \). For \( x \in \pi_*(R) \) we define \( K(R; x) \) by the cofibre sequence

\[
R \xrightarrow{x} R \to K(R; x),
\]

and now we take

\[
K(R; r_1, \ldots, r_n) = K(R; r_1) \otimes_R \cdots \otimes_R K(R; r_n).
\]

In the usual way, a concrete realization requires the choice of specific cocycle representatives, but the homotopy type does not depend on these choices. If \( R \) is a classical ring, this gives the standard construction \( K(R; x) = [R \xrightarrow{x} R] \) with the copies of \( R \) in degrees 0 and 1.

Lemma 6.1. Suppose that \( S \) is proxy-regular with Koszul complex \( K_S \) and that \( Q \) has a Koszul complex of the special form \( K_Q = K(Q; q_1, \ldots, q_n) \) where \( q_i \in \pi_*(Q) \) lifts to \( r_i \in \pi_*(R) \) for \( i = 1, \ldots, n \). Then \( R \) is proxy-regular with Koszul complex \( K_R := K_S \otimes_S K(R; r_1, \ldots, r_n) \).

Proof: There are three things to prove.

Since \( S \models K_S \) and \( R \models K(R; r_1, \ldots, r_n) \), it follows that

\[
R = S \otimes_S R \models K_S \otimes_S K(R; r_1, \ldots, r_n) = K_R.
\]

Since \( Q \simeq k \otimes_S R \), we find firstly

\[
k \models K_Q = k \otimes_S K(R; r_1, \ldots, r_n) \models K_S \otimes_S K(R; r_1, \ldots, r_n) = K_R
\]

and secondly

\[
K_R = K_S \otimes_S K(R; r_1, \ldots, r_n) \models k \otimes_S K(R; r_1, \ldots, r_n) = K_Q \models k.
\]

This completes the proof. \( \square \)
6.B. Good approximation implies ascent. The core of our results about ascent come from [8]. Indeed, the proof of [8, 8.6] gives a sufficient condition for Gorenstein ascent in the commutative context.

**Lemma 6.2.** If \( S \) and \( R \) are commutative and the natural map \( \nu : \text{Hom}_S(k, S) \otimes_S R \to \text{Hom}_S(k, R) \) is an equivalence then

\[
\text{Hom}_R(k, R) \simeq \text{Hom}_Q(k, \text{Hom}_S(k, S) \otimes_k Q).
\]

In this case, if \( S \) and \( Q \) are Gorenstein, so is \( R \), and the shifts add up: \( \text{shift} (R) = \text{shift} (S) + \text{shift} (Q) \). \( \square \)

Now that we have a sufficient condition for Gorenstein ascent, we want to identify cases in which \( \nu \) is an equivalence. The most familiar case is when \( R \) is small over \( S \) (or equivalently, when \( Q \) is finitely built from \( k \)). We emphasize that the hypothesis on \( \nu \) in Lemma 6.2 only depends on \( R \) as a module over \( S \), and we will obtain a useful generalization by approximating \( R \) by \( S \)-modules for which \( \nu \) is an equivalence. The approximation will be as an inverse limit, and to see the approximation is accurate we need to impose hypotheses to ensure inverse limits and tensor products commute.

**Lemma 6.3.** Suppose \( M \) and \( N \) are \( S \)-modules and \( N \simeq \text{holim}_n N_n \), and consider the natural map

\[
\kappa : M \otimes_S [\text{holim}_n N_n] \to \text{holim}_n [M \otimes_S N_n].
\]

The map \( \kappa \) is an equivalence in either of the following circumstances

- \( S \) is connective, \( M \) is of upward finite type and the modules \( N_n \) are uniformly bounded below. The hypothesis on \( M \) holds if \( \pi_0(S) = k \), \( \pi_*(M) \) is bounded below and \( \pi_*(M) \) is finite dimensional over \( k \) for all \( n \).
- \( S \) is coconnective, \( M \) is of downward finite type and the modules \( N_n \) are uniformly bounded above. The hypothesis on \( M \) holds if \( \pi_0(S) = k \), \( \pi_{-1}(S) = 0 \), \( \pi_*(M) \) is bounded above and \( \pi_*(M) \) is finite dimensional over \( k \) for all \( n \).

**Proof:** In the first part the fact that \( M \) is of upward finite type and the \( N_n \) are uniformly bounded below is enough to see that the limit is achieved in each degree. It is proved as [8, 3.13] that the homotopy level condition ensures \( M \) is of upward finite type.

The proof of the second part is precisely similar, with a reference to [8, 3.14]. \( \square \)

**Lemma 6.4.** Suppose that \( \pi_*(S) \) is Noetherian and that \( \pi_*(\text{Hom}_S(k, S)) \) is a finitely generated module over \( \pi_*(S) \) and that \( R \simeq \text{lim}_n R_n \) for small \( S \)-modules \( R_n \). The hypothesis of Lemma 6.2 applies in either of the following circumstances

- \( S \) is connected and the \( R_n \) are uniformly bounded below
- \( S \) is simply coconnected and the \( R_n \) are uniformly bounded above

In this case,

\[
\text{Hom}_R(k, R) \simeq \text{Hom}_Q(k, \text{Hom}_S(k, S) \otimes_k Q)
\]

and Gorenstein ascent holds for the cofibre sequence \( S \to R \to Q \).
Proof: First,

\[ \text{Hom}_S(k, R) \simeq \text{Hom}_S(k, \lim_n R_n) \simeq \lim_n \text{Hom}_S(k, R_n). \]

Now, since \( R_n \) is a small \( S \)-module, \( \text{Hom}_S(k, R_n) \simeq \text{Hom}_S(k, S) \otimes_S R_n \).

It therefore remains to show that the natural map

\[ \kappa : M \otimes_S [\lim_n R_n] \longrightarrow \lim_n [M \otimes_S R_n] \]

is an equivalence when \( M = \text{Hom}_S(k, S) \) so the conclusion follows from Lemma 6.3. \( \square \)

6.C. Building good approximations. We give criteria under which \( R \) may be approximated in this way. First, we assume that \( R \) is a \( k \)-algebra, and it is convenient to introduce some further terminology.

Definition 6.5. We say that a map \( R \longrightarrow Q \) is \( \pi_\ast \)-finite if \( \pi_\ast(Q) \) is finitely generated as a module over \( k[x_1, \ldots, x_n] \) for some finite set of elements \( x_1, \ldots, x_n \) of \( \pi_\ast(R) \). A cofibration sequence \( S \longrightarrow R \longrightarrow Q \) is \( \pi_\ast \)-finite if the map \( R \longrightarrow Q \) is \( \pi_\ast \)-finite.

Remark 6.6. If \( \pi_\ast(R) \) is Noetherian, it is equivalent to ask that \( \pi_\ast(Q) \) is finitely generated over \( \pi_\ast(R) \).

Proposition 6.7. Suppose that \( \pi_\ast(S) \) is Noetherian, \( \pi_\ast(R) \) and \( \pi_\ast(\text{Hom}_S(k, S)) \) are finitely generated \( \pi_\ast(S) \)-modules, \( R \) is a \( k \)-algebra and the cofibration is \( \pi_\ast \)-finite, and suppose that either (i) \( S, R \) and \( Q \) are all connected or (ii) that \( S, R \) and \( Q \) are all coconnected and \( S \) is simply coconnected. Under these conditions,

\[ \text{Hom}_R(k, R) \simeq \text{Hom}_Q(k, \text{Hom}_S(k, S) \otimes_k Q) \]

and Gorenstein ascent holds for the cofibre sequence \( S \longrightarrow R \longrightarrow Q \).

Proof: From the \( \pi_\ast \)-finite hypothesis, by the Noether normalization argument, there is a polynomial subring \( R(1)\ast \) of \( \pi_\ast(R) \) over which \( \pi_\ast(Q) \) is finitely generated. Now let \( R(2)\ast, R(3)\ast, \ldots \) be the subrings generated by the 2nd, 4th, 8th .... powers of generators of \( R(1)\ast \).

Next we construct (non-commutative) \( k \)-algebra spectra \( R(n) \) with \( \pi_\ast R(n) = R(n)\ast \). For a polynomial ring on a single generator of degree \( d \), we can consider the James construction \( J_k(S^d) \) on a sphere over \( k \). This is the free associative \( k \)-algebra spectrum on the \( d \)-sphere and has homotopy \( k[X_d] \). If \( R(n)\ast = k[x_1, \ldots, x_s] \), we form

\[ J_k(S^{d_1}) \otimes_k \cdots \otimes_k J_k(S^{d_s}) \]

where \( x_i \) is of degree \( d_i \). If \( A \) is a commutative \( k \)-algebra then we may construct a ring map taking \( X_i \) to \( x_i \) as the composite

\[ J_k(S^{d_1}) \otimes_k \cdots \otimes_k J_k(S^{d_s}) \longrightarrow A \otimes_k \cdots \otimes_k A \longrightarrow A. \]

The first map takes \( X_i \) to \( x_i \) in the \( i \)th factor, and is a map of associative rings. The second map is multiplication in \( A \), and this is a ring map since \( A \) is commutative.

Using these ring spectra \( R(n) \), using tensor products of the single variable case as above, we may construct maps

\[ \cdots \longrightarrow R(2) \longrightarrow R(1) \longrightarrow R \longrightarrow Q \]
realizing the algebras we took in homotopy. Now by construction \(Q_n = Q \otimes_{R(n)} k\) is finitely built from \(k\) since its homotopy is a finite dimensional \(k\)-vector space. Since the polynomial generators were in increasingly large degrees, \(Q = \text{holim } Q_n\). Similarly, if we write \(R_n = R \otimes_{R(n)} k\) we have \(R \simeq \text{holim } R_n\), and \(k \otimes_S R_n \simeq k \otimes_S \hat{R} \otimes_{R(n)} k \simeq Q \otimes_{R(n)} k = Q_n\). Thus we have sequences \(S \to \hat{R}_n \to Q_n\) and \(R_n\) is small as an \(S\)-module.

The result now follows from Lemma 6.4. □

To apply this, we first note that in characteristic \(p\) the \(\pi_*\)-finite condition is automatic when \(\pi_*(S)\) is Noetherian and in a finite range of degrees.

**Lemma 6.8.** If \(S \to R \to Q\) is a cofibre sequence of \(k\)-algebras, where \(k\) is a field of characteristic \(p > 0\) and \(\pi_*(S)\) is Noetherian and in a finite range of degrees, then the cofibre sequence is \(\pi_*\)-finite.

**Proof:** Using the spectral sequence of Lemma 3.1 or Lemma 3.2 as appropriate we see that if \(x \in \pi_*(Q)\) survives to the \(r\)th page then \(d_r(x^p) = 0\), so that \(x^p\) survives to the \((r + 1)\)st page. If \(\pi_*(S)\) is in a finite range of degrees, the spectral sequence collapses at the \(N\)th stage for some \(N\) and the \(p^{N-1}\)th powers of all elements survive, and therefore lie in the image of \(\pi_*(R) \to \pi_*(Q)\) so that the cofibration is \(\pi_*\)-finite. □

We may now apply Proposition 6.7 to give a useful characteristic \(p\) Gorenstein ascent theorem.

**Corollary 6.9.** Consider a cofibre sequence \(S \to R \to Q\) of \(k\)-algebras. Suppose that \(\pi_*(S)\) Noetherian and either connected or simply coconnected and \(k\) is a field of characteristic \(p > 0\). If \(\pi_*(R)\) and \(\pi_*(\text{Hom}_S(k, S))\) are finitely generated \(\pi_*(S)\)-modules, and \(\pi_*(S)\) is concentrated in a finite range of degrees then

\[
\text{Hom}_R(k, R) \simeq \text{Hom}_Q(k, \text{Hom}_S(k, S) \otimes_k Q)
\]

and Gorenstein ascent holds for the cofibre sequence.

### 7. Ausoni-Bökstedt Duality.

We now have the necessary ingredients to state and prove our duality result. The idea is that if we are given maps \(C \to B \to k\) of commutative ring spectra with the cofibre ring spectrum \(A = B \otimes_C k\) Gorenstein then (at least under some hypotheses on \(k\) and \(A\)) if \(\overline{T}B = THH(B; k)\) is Gorenstein then \(\overline{T}C = THH(C; k)\) is also Gorenstein. Since we are deducing the domain \(\overline{T}C\) is Gorenstein from the fact that \(\overline{T}B\) is Gorenstein, we think of this as a descent theorem, even though the principal ingredient is an ascent theorem for a suitable cofibration.

**7.A. Gorenstein descent for \(THH\).** The key to method is the existence of a suitable cofibration sequence conjectured on the basis of the examples and proved by Dundas.

**Lemma 7.1.** (Dundas) Given a cofibre sequence \(C \to B \to A\) of commutative ring spectra over \(k\) (i.e., \(B\) has a map to \(k\) and \(A = B \otimes_C k\)) there is a cofibre sequence of commutative \(k\)-algebra spectra

\[
A \to \overline{T}C \to \overline{T}B
\]
where $\overline{TC} = C \otimes_{C^e} k = \text{THH}(C; k)$ and $\overline{TB} = B \otimes_{B^e} k = \text{THH}(B; k)$.

**Remark 7.2.** Dundas’s lemma makes $\text{THH}(\cdot; k)$ remarkably computable. For example, Lindenstrauss points out that if $R$ is $k$-algebra, we may apply the Dundas Lemma to the cofibre sequence $k \longrightarrow R \longrightarrow R$ to deduce

$$\text{THH}_*(R; k) \cong \text{THH}_*(k) \otimes \text{Tor}_r^R(k, k).$$

In particular, this allows one to deduce from Bökstedt’s calculation that $\text{THH}_*(k) = k[\mu_2]$ for any field $k$ of characteristic $p$.

**Proof:** For a $C$ bimodule $M$, according to the original definition, the topological Hochschild homology $\text{THH}(C; M)$ is a realization of the Hochschild simplicial spectrum with $n$th term $M \otimes S C \otimes S C^n$, where the tensor power is for $\otimes_S$; this is natural for maps of rings and of bimodules. In particular, the map $C \longrightarrow B$ of ring spectra vertically and the map $B \otimes_S k \longrightarrow k$ of $B$-bimodules horizontally give a commutative square

$$B \otimes_S k \otimes_S C \otimes S C^n \rightarrow k \otimes_S C \otimes S C^n \quad \text{and} \quad B \otimes_S k \otimes_S B \otimes S B^n \rightarrow k \otimes_S B \otimes S B^n$$

which is evidently a pushout square of commutative ring spectra. Taking geometric realizations we obtain the pushout square

$$\text{THH}(C; B \otimes_S k) \rightarrow \text{THH}(C; k) \quad \text{and} \quad \text{THH}(B; B \otimes_S k) \rightarrow \text{THH}(B; k).$$

Now we use the fact that $\text{THH}$ with coefficients in a bimodule of the form $M = X \otimes_S Y$ simplifies:

$$\text{THH}(C; X \otimes_S Y) = Y \otimes_C X.$$

The pushout square now gives the required result. $\square$

We now want to take the cofibre sequence $A \longrightarrow \overline{TC} \longrightarrow \overline{TB}$ and deduce that when $A$ and $\overline{TB}$ are Gorenstein, so is $\overline{TC}$. We need only verify that the hypotheses of Lemma 6.1 and Corollary 6.9 are satisfied.

**Theorem 7.3.** (Gorenstein descent for $\text{THH}$) Suppose $C \longrightarrow B \longrightarrow A$ is a cofibre sequence of connective ring spectra with maps to $k$ and that

1. $A$ and $\overline{TB}$ are proxy-regular and Gorenstein
2. $\pi_*(A)$ and $\pi_*(\overline{TB})$ are Noetherian
3. the map $\overline{TC} \longrightarrow \overline{TB}$ is $\pi_*$-finite

then $\overline{TC}$ is proxy-regular and Gorenstein with

$$\text{shift}(\overline{TC}) = \text{shift}(\overline{TB}) + \text{shift}(A).$$

**Proof:** We consider the cofibration $A \longrightarrow \overline{TC} \longrightarrow \overline{TB}$ of Lemma 7.1.

By the $\pi_*$-finite hypothesis we may choose a finite number of elements of $\pi_*(\overline{TC})$ so that $\pi_*(\overline{TB})$ is finitely generated over the $k$-algebra they generate, and we may form a Koszul complex by using these generators. This verifies the hypotheses necessary to see that $\overline{TC}$ is proxy-regular by Lemma 6.1.
The hypotheses for Gorenstein ascent from \( A \) to \( TC \) are stated explicitly.

\[ \square \]

**Corollary 7.4.** If \( C \) is Gorenstein of shift \( a \) and augmented over a field \( k \) of characteristic \( p \) and if \( C \) is regular then \( THH(C; k) \to k \) is Gorenstein of shift \(-a-3\).

**Proof:** We apply the theorem to the cofibre sequence \( C \to k \to A \). Since \( C \) is regular, \( \pi_*A \) is finite dimensional and hence Noetherian. Now note that \( k \) is Gorenstein of shift 0, so by Gorenstein Ascent for \( C \to k \to A \), the ring \( A \) is Gorenstein and we have \( \text{shift}(A) = -a \).

From Bökstedt’s calculation \( TB = THH(k) \) has Noetherian homotopy \( k[\mu_2] \), so it is Gorenstein of shift \(-3\). Now observe that by Lemma \( 6.8 \), the cofibration \( A \to TC \to TB \) is \( \pi_* \)-finite. Thus the hypotheses of Theorem \( 7.3 \) are satisfied, and we may apply Gorenstein Ascent to the cofibration \( A \to TC \to TB \) to obtain the conclusion.

\[ \square \]

7.B. Examples revisited. We observe that Theorem \( 7.3 \) gives a non-calculational proof of several of the dualities we observed in Section 5 above. In each case we specify \( C \to B \) and \( k \) and then discuss the resulting cofibre sequence \( A \to THH(C; k) \to THH(B; k) \).

**Example 7.5.** If we take \( C \to B \) to be \( \mathbb{Z} \to \mathbb{F}_p \) and \( k = \mathbb{F}_p \), we find \( A \sim C_*(S^1) \) (where \( \sim \) means that the coefficient rings are isomorphic). Since \( THH(\mathbb{F}_p) \) is Gorenstein of shift \(-3\), Corollary \( 7.4 \) gives another proof of Bökstedt duality: \( THH(\mathbb{Z}; \mathbb{F}_p) \) is Gorenstein of shift \( 1 + (-3) = -2 \).

The spectral sequence \( 3.1 \) gives an alternative approach to the calculational proof. The only necessary input would be to know that the differential \( d_2(\mu_2^a) \neq 0 \). This then shows that \( d_2(\mu_2^a) \neq 0 \) unless \( n \) is a multiple of \( p \), so that the \( E_3 = E_\infty \) term is generated by \( \mu_2^p \) (giving \( \mu_{2^p} \)) and \( \mu_2^{p-1} \tau \) (giving \( \lambda_{2^p-1} \)).

The Lindenstrauss-Madsen example in the unramified case can be treated in the same way, since \( \mathcal{O}/p = k \). However, the ramified case is not covered by our analysis since \( THH_*(\mathcal{O}/p) \) is not Noetherian.

**Example 7.6.** If we take \( C \to B \) to be \( lu \to \mathbb{Z} \) and \( k = \mathbb{F}_p \), we find \( A \sim C_*(S^{2p-1}) \), and from Bökstedt duality for \( THH(\mathbb{Z}; \mathbb{F}_p) \), Theorem \( 7.3 \) shows \( THH(lu; \mathbb{F}_p) \) is Gorenstein of shift \((2p-1) + (-2) = 2p - 3\).

The spectral sequence \( 3.1 \) gives an alternative approach to the proof. The only necessary input would be to know that the differential \( d_{2p}(\mu_{2^p}) \neq 0 \). This then shows that \( d_{2p}(\mu_{2^p}) \neq 0 \) unless \( n \) is a multiple of \( p \), so that the \( E_{2p+1} = E_\infty \) term is generated by \( \mu_{2^p} \) (giving \( \mu_{2^p} \)), \( \mu_{2^p}^{p-1} \tau_{2^p-1} \) (giving \( \lambda_{2^p-1} \)) and \( \lambda_{2^p-1} \) (which survives as it is).

Of course we get the same conclusion by taking \( C \to B \) to be \( lu \to \mathbb{F}_p \) and \( k = \mathbb{F}_p \). In that case we find \( A \sim C_*(S^1 \times S^{2p-1}) \) so that by Corollary \( 7.4 \) \( THH(lu; \mathbb{F}_p) \) is Gorenstein of shift \([(2p - 1) + 1] - 3 = 2p - 3 \).

**Example 7.7.** If we take \( C \to B \) to be \( ku \to \mathbb{Z} \) and \( k = \mathbb{F}_p \), we find \( A \sim C_*(S^3) \), and from Bökstedt duality we deduce \( THH(ku; \mathbb{F}_p) \) is Gorenstein of shift \( 3 + (-2) = 1 \).

The spectral sequence \( 3.1 \) gives a calculation. If \( p \) is odd, there can be no differentials and \( THH(ku; \mathbb{F}_p) = \mathbb{F}_p[\mu_{2p}] \otimes \Lambda(\lambda_{2p-1}, \lambda_3) \).
If \( p = 2 \) the only necessary input would be to know whether the differential \( d_4(\mu_3) \) is zero or not. If it is zero then \( \text{THH}(k;F_p) = F_2[\mu_4] \otimes \Lambda(\lambda_3, \lambda_5) \). If it is non-zero then \( \text{THH}(k;F_2) = F_2[\mu_8] \otimes \Lambda(\lambda_3, \lambda_7) \).

Of course we get the same conclusion by working with \( C \rightarrow B \) to be \( ku \rightarrow \mathbb{F}_p \) and \( k = \mathbb{F}_p \) we find \( A \sim C_*(S^1 \times S^3) \) so that \( \text{THH}(ku; F_p) \) is Gorenstein of shift \( [3+1] - 3 = 1 \).

**Example 7.8.** If we take \( C \rightarrow B \) to be \( ku \rightarrow ku/\langle p, v_1 \rangle \) and \( k = ku/\langle p, v_1 \rangle \) we find \( A \sim C_*(S^1 \times S^{2p-1}, ku/\langle p, v_1 \rangle) \) (a Poincaré duality algebra of formal dimension \( 4p-4 \)). In order to proceed we would need to know that \( \text{THH}(ku/\langle p, v_1 \rangle) \) is Gorenstein of shift \( x \). We would then deduce \( \text{THH}(ku; ku/\langle p, v_1 \rangle) \) is Gorenstein of shift \( 4p-4 + x \).

**Example 7.9.** We take \( C \rightarrow B \) to be \( ko \rightarrow k \) and \( k = \mathbb{F}_2 \). In view of Wood’s theorem we have the cofibre sequence \( \Sigma ko \rightarrow ko \rightarrow k \), showing that \( k \) is small over \( ko \) and \( A \sim C_*(S^2) \).

Since \( k \) is Gorenstein of shift 1, Theorem 7.3 shows \( \text{THH}(ko; \mathbb{F}_2) \) is Gorenstein of shift \( 1+2=3 \) as we saw from the calculation

\[
\text{THH}_*(ko; \mathbb{F}_2) = \Lambda(\lambda_5, \lambda_7) \otimes \mathbb{F}_2[\mu_8]
\]

of Angeltveit and Rognes [4].

More directly, using Example 4.3 we could take \( C \rightarrow \mathbb{F}_2 \) to be \( ko \rightarrow \mathbb{F}_2 \), and apply Corollary 7.4 to conclude that \( \text{THH}(ko; \mathbb{F}_2) \) is Gorenstein of shift \(-6-3 = 3 \).

**Example 7.10.** (\text{tmf} localized at 3) We take \( C \rightarrow B \) to be \( tmf \rightarrow tmf_0(3) \) at the prime 3 and \( k = \mathbb{F}_3 \). Since \( tmf_0(3) \) is \( tmf \) extended by a three cell complex, it is small. We have also seen \( tmf_0(3) \) is Gorenstein of shift \(-15 \), so that \( \text{THH}(tmf_0(3); \mathbb{F}_3) \) is Gorenstein of shift 12. Since the three cell complex is self-dual of dimension 8, \( \text{THH}(tmf; \mathbb{F}_3) \) is Gorenstein of shift 20.

More directly, using Example 4.3 we observe that since \( tmf_0(3) \) is small over \( tmf \) and \( \mathbb{F}_3 \) is small over \( tmf_0(3) \) then \( \mathbb{F}_3 \) is small over \( tmf \). Since \( tmf \) is Gorenstein of shift \(-23 \) we may apply Corollary 7.4 to deduce \( \text{THH}(tmf; \mathbb{F}_3) \) is Gorenstein of shift 20.

**Example 7.11.** (\text{tmf} localized at 2) We take \( C \rightarrow B \) to be \( tmf \rightarrow tmf_1(3) \) at the prime 2 and \( k = \mathbb{F}_2 \). Since \( tmf_1(3) \) is \( tmf \) extended by a finite complex, it is small. We have also seen \( tmf_1(3) \) is Gorenstein of shift \(-11 \), so that \( \text{THH}(tmf_1(3); \mathbb{F}_2) \) is Gorenstein of shift 8. Since the complex is self-dual of dimension 12, \( \text{THH}(tmf; \mathbb{F}_2) \) is Gorenstein of shift 20.

More directly, using Example 4.3 we observe that since \( tmf_1(3) \) is small over \( tmf \) and \( \mathbb{F}_2 \) is small over \( tmf_1(3) \) then \( \mathbb{F}_2 \) is small over \( tmf \). Since \( tmf_1(3) \) is Gorenstein of shift \(-23 \) we may apply Corollary 7.4 to deduce \( \text{THH}(tmf; \mathbb{F}_2) \) is Gorenstein of shift 20.

**Example 7.12.** We take \( C \rightarrow B \) to be \( e_n \rightarrow \mathbb{F}_p \), where \( e_n \) is the connective Lubin-Tate commutative ring spectrum with homotopy \( W(\mathbb{F}_p^\wedge[[u_1, \ldots, u_{n-1}]]\langle u \rangle) \). From its homotopy we see that it is Gorenstein of shift \(-n-3 \). From Theorem 7.3 we conclude \( \text{THH}(e_n; \mathbb{F}_p) \) is Gorenstein of shift \( n \).

These examples are iterable.

**Example 7.13.** Veen’s calculation [16] of the homotopy ring of the double \( \text{THH} \) of \( k \), as \( k[\mu_2, \mu_2'] \otimes \Lambda(\lambda_3) \) gives an example whose coefficient ring is Noetherian and of Krull dimension 2.
If we are given map $R \to k$ we may take $C \to B$ to be $\text{THH}(R; k) \to \text{THH}(k)$, and take $A$ to be its cofibre. Now apply Dundas’s Lemma to obtain a cofibre sequence

$$A \to \text{THH}(\text{THH}(R; k); \text{THH}(k)) \to \text{THH}(\text{THH}(k)).$$

Unfortunately there seems to be no obvious example for which $A$ is finite dimensional, or even Noetherian. For example, if we take $R = \mathbb{Z}$ it seems $\pi_* A = F_p[\mu_2]/(\mu_p^2) \otimes \Gamma(\gamma_{2p})$.

7.C. Discussion. The main obstacle to finding more examples is the need to ensure that the coefficient rings should be Noetherian, which seems rather rare for $\text{THH}$. In the known cases where this happens, the coefficient rings are all themselves Gorenstein. We have not yet found an example where the ring spectrum is proxy regular and Gorenstein except when the coefficients are already Gorenstein.

Our analysis is based on the cofibre sequence $A \to \overline{TC} \to \overline{TB}$ and requires that it is $\pi_*$-finite. We have relied on the fact that if $A$ is finite dimensional and $k$ is of characteristic $p$, the cofibre sequence is $\pi_*$-finite. In this case $\overline{TC}$ will have the same Krull dimension as $\overline{TB}$.

Appendices

We end with two appendices describing closely related phenomena. They do not form part of the argument, and are included for comparison.

APPENDIX A. Thom spectra

Given a 3-fold loop map $f : X \to B F$, Blumberg-Cohen-Schlichtkrull [6] prove

$$\text{THH}(Mf) \simeq Mf \wedge BX_+.$$

Since $f$ is a 3-fold loop map, $X$ is a 3-fold loop space and $BX \simeq \Omega BBX$. We expect that $\text{THH}(Mf) = C_*(BX; Mf)$ being Gorenstein over $Mf$ will be related to $C^*(BBX; Mf) = F(BBX_+, Mf)$ being Gorenstein over $Mf$.

Example A.1. ($\mathbb{F}_p$ revisited.) This example is closely related to the fact that $\text{THH}(\mathbb{F}_p)$ is Gorenstein of shift $-3$.

Mahowald [12] showed that the Eilenberg-MacLane spectrum $\mathbb{F}_p$ is the Thom spectrum of a map $\Omega^2 S^3 \to B F$. Although this is only a double loop space map, it is shown in [6, 1.3] that

$$\text{THH}(\mathbb{F}_p) \simeq \mathbb{F}_p \wedge \Omega S_+^3 =: C_*(\Omega S^3),$$

but this is not an equivalence of ring spectra, since the ring structures in homotopy groups are different.

Since $S^3$ is a 3-manifold, $C_*(S^3)$ is Gorenstein of shift $-3$, and by Morita invariance of the Gorenstein condition [8], we conclude that $C_*(\Omega S^3)$ is Gorenstein of shift $-3$. Although it is precisely parallel, this doesn’t directly imply anything about $\text{THH}(\mathbb{F}_p)$.

Example A.2. ($\mathbb{Z}$ revisited.) This is closely related to the fact that $\text{THH}(\mathbb{Z}; \mathbb{Z}/p)$ is Gorenstein of shift $-2$.

Mahowald [12] proved that the Eilenberg-MacLane spectrum $\mathbb{Z}$ is the Thom spectrum of a map $\Omega^2 S^3(3) \to B F$. Although it is only a double loop map it is shown as [6, 1.4] that $\text{THH}(\mathbb{Z}) \simeq \mathbb{Z} \wedge \Omega S^3(3)_+$, and that

$$\text{THH}(\mathbb{Z}; \mathbb{Z}/p) \simeq \mathbb{F}_p \wedge \Omega S^3(3)_+ = C_*(\Omega S^3(3)).$$
Applying cochains to the fibration

$$K(\mathbb{Z}, 2) \to S^3(3) \to S^3$$

we get a cofibre sequence of ring spectra, and a standard calculation shows this is $\pi_*$-finite. By Corollary 6.9 the cochains on the total space is Gorenstein if the cochains on the base and the cochains on the fibre are, and that the shifts add. Since $S^3$ is a 3-manifold, $C^*(S^3)$ is Gorenstein of shift $-3$. On the other hand $C^*(\Omega BU(1)) = C_*(U(1))$ is Gorenstein of shift 1 since $U(1)$ is a 1-dimensional compact Lie group, and hence by Morita invariance of the Gorenstein condition \[8\], we find $C_*(\Omega S^3(3))$ is Gorenstein of shift $-2 = -3 + 1$. By Morita invariance of the Gorenstein condition \[8\] we conclude that $C_*(\Omega S^3(3))$ is Gorenstein of shift $-2$.

### Appendix B. Dwyer-Miller and Kontsevich duality

This section describes some known dualities for Hochschild homology and cohomology with a similar flavour. The analogue to bear in mind is the case $R = k[x]$ on a polynomial generator of even degree $d$. This is Gorenstein of shift $-d - 1$. Now we calculate $HH^*(R) = k[x, \alpha]$ where $\alpha$ is of degree $-d - 1$, and $HH_*(R) = k[x, \beta]$ with $\beta$ of degree $d + 1$, so we have $HH^*(R) = \Sigma^{-d-1}HH_*(R)$.

In this section we work under $k$ rather than under the sphere spectrum $S$. In particular, we assume that our rings are $k$-algebras so the situation is very different to the one considered in the body of the paper.

**Assumption B.1.** We assume that $S = k$, so that $R^e = R \otimes_k R$ and that there is a ring map $R \to R^e$ of $R^e$-modules. Finally, we assume that the bimodule $R$ is induced from an $R$-module: $R = R^e \otimes_R k$.

**Proposition B.2.** (Dwyer-Miller) If Assumption B.1 holds, $k$ is small over $R$ and $R \to k$ is Gorenstein of shift $a$ then

$$HH^*(R; P) \cong \Sigma^a HH_*(R; P)$$

for all bimodules $P$.

**Remark B.3.** There seems no prospect of a result like this for $THH$ since $THH^*(k)$ (for example) is not bounded below.

**Proof:** We argue as follows, where the third equivalence requires $k$ to be small, and where $P^{ad}$ is the restriction of $P$ along the map $R \to R^e$.

$$HH^*(R; P) = \text{Hom}_{R^e}(R, P) = \text{Hom}_R(k, P^{ad}) \cong \text{Hom}_R(k, R) \otimes_R P^{ad} = \Sigma^a k \otimes_R P^{ad} = \Sigma^a R \otimes_{R^e} P = \Sigma^a HH_*(R; P) \square$$
**Remark B.4.** Take \( R = C_*(\Omega X) \) for a connected orientable \( d \)-manifold \( X \). By the Morita invariance of the Gorenstein condition this is Gorenstein of shift \( a = -d \). Finally, note that \( HH_*(R) = H_*(\Lambda X) \), so taking \( P = R \), we see \( \Sigma^d H_*(\Lambda X) = HH^*(R) \), showing that the shifted homology of the free loop space has a ring structure; Malm [14] shows this corresponds to the Chas-Sullivan product.

**Corollary B.5.** (Kontsevich duality) If Assumption B.1 holds, \( k \) is small over \( R \) and \( R \rightarrow k \) is Gorenstein with shift \( a \) then \( R^e \rightarrow R \) is relatively Gorenstein with shift \( a \).

**Proof:** Taking \( P = R^e \) in the above we find
\[
\text{Hom}_{R^e}(R, R^e) = HH^*(R[S]; R^e) = \Sigma^a HH_*(R[S]; R^e) = \Sigma^a R \otimes_{R^e} R^e = \Sigma^a R.
\]

Now consider the \((R|S)\)-bimodule \( P = k \), and note that if \( R \) and \( k \) are commutative rings, then so is
\[
HH_*(R[S]; k) = R \otimes_{R^e} k.
\]
Furthermore \( R^e \) is an \( R \)-algebra, so we have an algebra map
\[
R \otimes_{R^e} k \rightarrow R^e \otimes_{R^e} k \xrightarrow{\sim} k
\]

**Corollary B.6.** (Algebraic Ausoni-Bökstedt duality) If Assumption B.1 holds, \( k \) is small over \( R \) and \( R \rightarrow k \) is Gorenstein with shift \( a \) then \( HH_*(R[S]; k) \rightarrow k \) is Gorenstein with shift \(-a\).

**Proof:** Taking \( P = k \) in the above we find
\[
HH^*(R[S]; k) = \Sigma^a HH_*(R[S]; k).
\]

Now we calculate
\[
\text{Hom}_{HH_*(R[S]; k)}(k, HH_*(R[S]; k)) = \Sigma^{-a} \text{Hom}_{HH_*(R[S]; k)}(k, HH^*(R[S]; k)) = \Sigma^{-a} \text{Hom}_{HH_*(R[S]; k)}(k, \text{Hom}_{R^e}(R, k)) = \Sigma^{-a} \text{Hom}_{HH_*(R[S]; k)}(R \otimes_{R^e} k, k) = \Sigma^{-a} k
\]

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