Health worker acceptability of an mHealth platform to facilitate the prevention of mother-to-child transmission of HIV in Tanzania

Deborah SK Thomas¹, Kristen Daly², Elias C Nyanza³, Sospatro E Ngallaba³ and Sheana Bull²

Abstract

Objectives: Health workers (HWs) are increasingly using mobile health (mHealth) technologies in low-resource settings. Understanding HW acceptability of mHealth is critical to increasing the scale of mHealth solutions. We examined pre- and post-pilot clinical knowledge and acceptability of a tablet-based platform, the Tanzania Health Information System (T-HIT), targeting HWs delivering prevention of mother-to-child transmission (PMTCT) of HIV services in seven health facilities in Misungwi District, Tanzania.

Methods: We developed a survey based on the diffusion of innovation theory and administered it to 27 HWs before and after a 3-month pilot of T-HIT. Using a Wilcoxon signed-rank test, we analyzed changes in acceptability defined as attitudes towards and self-efficacy for system use comparing pre- and post-test assessment scores and changes in knowledge of clinical care. Using analysis of variance, we explored these changes, stratifying health facilities by level of care and by distance from the district hospital.

Results: Post-pilot scores showed statistically significant improvement from pre-test for the total survey ($Z = -2.67, p < 0.008$) and for questions concerning system attitude ($Z = -2.63, p < 0.008$). HWs in hospitals and health centers exhibited a lower initial level of system acceptability in attitude than those in dispensaries and a significant improvement in overall mean acceptability over the pilot (95% CI 0.004–0.0187). HWs working more than 20 km from the hospital had a lower initial level of both system knowledge and acceptability than their less remote counterparts, but demonstrated larger improvements in knowledge and acceptability over time, although this change was not statistically significant.

Conclusions: The pilot demonstrates that HWs in PMTCT in Misungwi have a high acceptability of mHealth solutions. Using an mHealth solution can facilitate HW delivery of PMTCT care in rural and remote settings. Consideration of acceptability is important for fostering mHealth scale and program sustainability.

Keywords

mHealth, community health workers, Tanzania, PMTCT, acceptability, HIV

Submission date: 4 July 2019; Acceptance date: 14 January 2020
Background

The global proliferation of mobile information and communication technologies (ICT) and widespread network coverage in low-resource settings has created potential for mobile health interventions to improve healthcare delivery and health outcomes. The broad term mobile health, or mHealth, has been used to describe a number of innovative interventions using mobile phones, tablets, and hand-held computers with the aim to improve health outcomes, healthcare services, and health research into care delivery in low-resource settings.1 Many of these mHealth programs have focused on the health worker (HW) as the point of intervention for new technology. HWs in remote clinical settings, such as health posts and dispensaries, are integral to improving access to care where infrastructure obstacles, like distance and difficulty of transportation, may otherwise prevent access to consistent healthcare.1

A 2016 review of HWs’ mHealth utilization by White et al. synthesized research and demonstrated the potential for the use of programs delivered via cell phone, smartphone or tablet in diverse low-resource settings targeting HWs,1 whose education and training ranged from limited to advanced and who generally worked in more remote community locales. Other research on HWs’ use of technology for care and delivery by Källander et al. suggests a widespread acceptance of, and enthusiasm for, mHealth solutions.2 Such solutions are appreciated for their potential to reach large numbers of individuals with low cost, easy to implement strategies for care delivery. Medhanyie et al. have demonstrated both the effectiveness of HWs in maternal care services and the utility of mHealth technologies in health service delivery by HWs in the East Africa setting.3,4 As the body of evidence for mHealth interventions targeting HWs in low-resource settings grows and shows increasing promise for impact and potentially large return on investment, it is critical to now consider how best to facilitate HW mHealth adoption and system implementation to ensure these programs can be scaled and sustained over time.5 Diffusion of innovations (DI) theory is a useful framework to use for considering scale and sustainability. System compatibility, observability, and relative advantage are all critical aspects of diffusion of an innovation.6

One specific element of compatibility identified in DI – acceptability – is a concept that has been applied in multiple health studies of mHealth innovations targeting HWs. While widely used, the measurement of the concept has been highly variable, ranging from “positive acceptance,”7–14 to “improved morale” and “work satisfaction,”15 to preference over a non-digital tool and “comfort.”11,16,17 Other aspects of acceptability of mHealth solutions include improved logistics, decreased travel, and increased work speed.9,11,15,16,18–22 Finally, the literature highlights a socio-cultural aspect to acceptability with the use of mobile devices in healthcare delivery. Social respect, “confidence” and “empowerment” are identified as important aspects of acceptability,11,15–17,23 as are perceived improvement in job knowledge and capability enhancement – aspects of self-efficacy.11,13,20,22,24

Given the lack of consistency in measuring acceptability, the DI framework was utilized to guide the measures of acceptability for this study. Sekhon et al. stress the importance of theorizing the construct of acceptability,25 and in the case of acceptability for use in mHealth interventions, the DI framework was considered to be a useful theoretical model. Based on this framework, we considered acceptability to incorporate: (1) how well the user likes the mHealth system, and (2) what the user identifies about the technology that is useful (together these comprise attitudes toward the system). Additionally, we considered the concept of self-efficacy, given the wide acceptance of this construct to support appropriate behaviors and measures to the extent of one’s belief in one’s own ability to complete tasks and reach goals.26 Lastly, we considered knowledge of PMTCT care provision guidelines as an indicator that information in the form of reminders and prompts was effective in improving job knowledge.

The Tanzania Health Information Technology (T-HIT) system was developed by researchers as a pilot tablet-based, near real-time surveillance and health communication system for use in rural health facilities that did not have other mechanisms for capturing digital individual patient data.27 It was designed as an integrated mHealth solution that linked geographic information systems (GIS), tablet-based surveillance, HW educational messages, patient reminders through text messaging, and a decision-maker dashboard summarizing testing into a single robust and responsive system to facilitate prevention of mother-to-child transmission (PMTCT) of HIV care within the context of antenatal, delivery, and postnatal patient visits.28 HWs used T-HIT during a pilot study from March 1, 2015, through June 7, 2015, in Misungwi District, Mwanza Region, in northwestern Tanzania. Thomas et al. provide more descriptive information about the system design and function.28

Methods

Similar to many sub-Saharan countries, the Tanzanian Health System is organized in a pyramid structure with dispensaries as the entry point and then health centers, district hospitals, and regional hospitals offering
respectively higher levels of care. The Misungwi District where this study took place has approximately 351,607 people,\textsuperscript{29} is comprised of 20 wards, and is part of the Mwanza Region. The Misungwi District Hospital is located centrally and in the more urban center. Residents of the district typically go to the district hospital in the district where they reside when they are referred to higher level care, and as such distance from the district hospital is a reasonable proxy for remoteness in respect to access to higher level health care.

In Misungwi District in 2015 there were 42 total health facilities: 36 dispensaries, four health centers, and two hospitals, one of these a district hospital. Tablets were introduced for digital data entry to HWs at seven health facilities in Misungwi District: Misasi Health Centre, Mbarika Health Center, Mondo Dispensary, Nguge Dispensary, Mwawile Dispensary, Gambahja Dispensary, and Misungwi District Hospital. In addition to data entry, health care reminders/prompts were built into the system (e.g., reminding women to come back for additional prenatal visits and encouragement to deliver at the hospital), as well as weekly educational texts to the HW about PMTCT care.

Feasibility was assessed in research conducted in 2014 and again immediately prior to this study by research assistants from the Catholic University of Health and Allied Sciences in Mwanza. Access to electricity, cell service and data connection was determined and the T-HIT system was designed as a tablet base system with simplicity of data entry to account for vision limitations in the HW workforce. A technical support number was provided and research assistants checked in with the HWs at periodic site visits. No tablets were lost over the course of the pilot and one was replaced for damage.

At the health centers and dispensaries, all HWs trained and working in PMTCT were invited to participate in the pilot study and all opted to do so. Eleven HWs from dispensaries and nine HWs from health centers were included in study. At the hospital, five of the nine HWs working in PMTCT were invited to and agreed to participate and two additionally opted in. The participating 27 HWs were introduced to T-HIT and the tablet technology through rigorous training in early February 2015. Preparation for the launch of the tablet intervention started with an intensive day of training, followed by a week of practice data entry, and concluded with a second intensive day-long training session.

With the goal of providing a pilot for a test of acceptability that could be used in many different mHealth contexts, we opted for a quantitative survey to assess acceptability, while acknowledging that aspects only available in qualitative assessment might be missed. The survey was pilot-tested in Mwanza District, a separate area from this study, with HWs at the regional health center and HWs in similar roles in provision of PMTCT. The survey was revised slightly based on feedback.

Participating individuals were given the same 19-question survey immediately after the initial training and again after the 3-month pilot using the T-HIT tablet application for PMTCT. The pre-test was given after the training, but before the pilot in order to minimize potential for basic anxiety about the tablet technology. Training was conducted by research assistants from the Catholic University of Health and Allied Sciences in Mwanza using a manual developed for T-HIT users. The training focused on the use of the interface, data entry and navigation of the system, but did not include educational messages or other training specific to PMTCT. Of the 27 HWs, 25 completed both a pre- and post-test for use in the paired analysis. Two HWs from the hospital were not available for the post-test and were not counted in the study.

**Measures**

Pre- and post-tests were developed to assess three domains of acceptability of the T-HIT system: compatibility, relative advantage, and observability (see Table 1).

Seven survey questions documented system attitude, six addressed self-efficacy, and six concerned knowledge – the knowledge questions were developed to document specific awareness of care delivery protocols.

| Measure                  | Question topics Included                                                                 | Relevant DI construct |
|-------------------------|-----------------------------------------------------------------------------------------|-----------------------|
| Attitudes towards the system | Comfort with system, confidence that the use of the T-HIT system will constitute an improvement, desire to use the T-HIT system | Compatibility         |
| Self-efficacy          | Ability to better perform job and improve patient outcomes                              | Relative advantage    |
| Knowledge              | Specific PMTCT care provision guidelines                                               | Observability         |
The pre-test was delivered at the conclusion of the training at the beginning of the pilot and the post-test was administered at the conclusion of the pilot. Questions/statements related to attitudes and self-efficacy offered three response options: yes (scored as “1”), somewhat/maybe (scored as “2”), and no (scored as “3”). Knowledge questions were scored as correct (yes, scored as “1”) or incorrect (no, scored as “3”), with one exception for the item “How many pre-natal visits should a pregnant mother ideally have before delivery?” with response options 1, 2, 3, or 4. This question was recoded to 1 indicating a correct response if the participant indicated four prenatal visits as ideal and 3 if they indicated any other response. Each individual survey was given a total mean score for each domain by averaging the scores for all the questions, with a mean closer to 1 indicating greater acceptability of the system and a mean closer to 3 indicating lesser acceptability. Table 1 shows the question topics included in each domain and how they relate to the DI constructs that guided the development measures.

Analysis

Due to the non-parametric distribution of differences in pre- and post-survey means, the Wilcoxon signed-rank test was used to compare paired pre- and post-survey scores using SPSS®. A significance level of \( p < 0.05 \) was used to determine if there was a statistically significant difference between scores for each domain of questions and for the total survey before and after the pilot. Hypothesis tests were two-sided.

The level of care and distance from Misungwi Hospital were independently evaluated for changes in scores on attitudes, self-efficacy or knowledge between pre- and post-tests. Level of care was divided into two groups: (1) hospitals/health centers (Mbarika and Misasi Health Centers and Misungwi Hospital) and (2) dispensaries (Mondo, Mwawile, Nguge, and Gambajiga). Hospitals and health centers generally see more patients and offer a higher level of care (e.g., capacity for C-sections) compared to dispensaries. The distance from the district hospital was also considered by comparing sites less than 20 km from Misungwi District Hospital, including the hospital (Misasi, Misungwi, Mondo, and Nguge) against those located more than 20 km away (Gambajiga, Mbarika, and Mwawile). The pre- and post-test means were compared using analysis of variance Tukey’s Student’s test using SAS®.

Results

Table 2 is descriptive and shows an improvement in mean scores from pre- to post-test in attitudes towards T-HIT, self-efficacy for using the system, and knowledge of specific PMTCT care provision guidelines. Mean scores closer to 1 indicated a positive acceptance. Knowledge questions had scores only of 1 or 3 (right or wrong) and demonstrated less variability in scores.

Level of care

Table 3 shows results from the Tukey’s range test comparing the survey means by level of care (hospitals/health centers to dispensaries) between pre- and post-pilot surveys. This test compares all 16 possible pairs of means and minimizes Type 1 error. The only finding of statistical significance was between pre- and post-test means for the total survey in HWs from hospitals/health centers. These HWs, surprisingly, had lower levels of acceptability in the area of attitude on the pre-tests than HWs from dispensaries.

In every means comparison post-test acceptability was higher than pre-test showing that use of the T-HIT system over the 3-month period resulted in improved acceptability. No statistically significant difference in acceptability between HWs from hospitals/health centers and those from dispensaries in either pre- or post-tests is promising in that it demonstrates that acceptability is fairly high among both groups despite differences in levels of care of the institutions where they work.

Distance

Table 4 shows the Tukey’s range test comparing the survey means by distance and pre- and post-pilot surveys. The reasoning for the use of this test is the same as above. Except for system attitude, which was equal, all the means were higher in the pre-pilot test in the health facilities that were considered “far” – greater
than 20 km from the district hospital – indicating lower initial “acceptability” of the T-HIT system.

Across all categories, HWs in facilities more than 20 km from the hospital exhibited descriptively greater improvement between pre- and post-pilot tests for the total survey and for the questions relating to HWs’ knowledge. Although the findings were not statistically significant, this trend is promising and indicates HWs may improve knowledge when educational reminders and prompts are embedded within a mHealth solution and the use of technology is viewed as more acceptable over time with use.

**Discussion**

In this study, we evaluated acceptance of a tablet-based system used for the PMTCT of HIV with a 19-question survey administered before and after a 3-month pilot.
The results showed significantly improved acceptability overall and for system attitude after use of the T-HIT system, as well as a trend of improvement in each of the other two sub-categories of self-efficacy and knowledge among HWs. Secondarily, we analyzed whether level of care or distance from the district hospital yielded variable changes in mean survey scores. Despite a significant difference in pre-pilot means versus post-pilot means in one category, comparing post-pilot results showed no significant differences between facility level of care or distance in affecting acceptability, system attitude, self-efficacy, or knowledge after a 3-month trial. When considering distance from the district hospital, the results suggest some evidence for the potential for the system to help remote HW improve their knowledge of PMTCT protocols.

Chang et al. found that, despite a growing interest by researchers in mHealth, there is “limited evidence” on the acceptability and impact of mHealth interventions. Our study is unique in separating out acceptability as a primary measure for this paper and in comparing the same survey made up of questions about acceptability and knowledge given to the same health care workers before and after a pilot session. Acceptability is often neglected, not thoroughly evaluated and/or inconsistently measured, although it is crucial to sustainability and scalability of mHealth interventions. Agarwal et al. demonstrate in a systematic review that adoption of technology for healthcare delivery in low-resource settings requires a “multidimensional evaluation approach” that includes examining the effects of a program on HWs.

We found the DI theoretical framework useful for measuring and considering acceptability. For future work it may also be relevant to include a more focused perspective that is specific to healthcare delivery, inasmuch as there are issues and factors unique to healthcare that warrant attention in the process of diffusion. To this end, we suggest Chib et al.’s ICT for healthcare development model, which considers opportunity production, capabilities enhancement, social enabling, knowledge generation, and barriers as providing additional indicators to consider in measuring acceptability.

Limitations

This work was based on findings from a small sample of HWs from seven facilities, and thus cannot be generalized to HWs throughout Tanzania or East Africa. Due to the small number of HWs serving populations from the dispensaries and health centers and high interest in participation, it was not possible in the scope of the study to have a control group. Although the survey was tested and refined prior to this study, it was not validated. Additionally, the pilot study was conducted in a single 3-month period and data may not reflect potential variations in acceptability of the T-HIT system if it were implemented over a longer period of time. Statistically, the small sample sizes when analyzing HWs separated by level of care and distance categories, limited the power of the study for these research questions. Clustering was not accounted for due to the ratio of the sample size to the number of facilities, which was considered to be too small for analysis.

Conclusion

This paper provides an important step in theorizing the construct of acceptability using the DI model and provides evidence of key acceptability domains by HWs of the T-HIT tablet-based system for PMTCT of HIV. The T-HIT pilot intervention was designed with the intention of transitioning to a larger scale efficacy trial for improvement in PMTCT and the potential scale up of the model in Tanzania and in other East African settings. Acceptability of technology used by HWs is a critical factor in sustainability and scalability of any mHealth system. Measuring and establishing acceptability is a critical part of evaluation from the inception of any mHealth pilot project that has ambitions to scale and sustainability.

Acknowledgements: The authors acknowledge the Catholic University of Health and Allied Sciences in Tanzania, the University of Colorado Denver, and Misungwi District Council in Tanzania in their support of this project. Special thanks go to the research assistants, Annastazia Magongo and Sarah Temba, for their efforts on this project, and to Nicole Bumgardner who helped with survey development. We also thank LeeAnna Chapman, who provided guidance on the assessment analysis. We are especially grateful to all of the health workers who participated in this study.

Contributorship: DSKT contributed to the study design, development of the survey, data analysis, interpretation of the findings, as well as the writing of the manuscript. KD conducted the analysis and drafted the manuscript. ECN contributed in designing the study, developing the survey, supervising the data collection, analyzing the data, and reviewing of the manuscript. SEN assisted in study design and reviewed the manuscript. SB contributed to the study design, data analysis, data interpretation, and in drafting the manuscript. All authors read and approved the final manuscript.

Declaration of Conflicting Interests: The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Ethical approval: Ethical approval was obtained from the Conjoint Catholic University of Health and Allied Sciences and Bugando Medical Centre Research Review and Ethics
Committee (Ref. CREC/051/2013), the Tanzania National Institute for Medical Research (NIMR/HQ/R.8a/Vol.IX/1662) and the Ministry of Health and Social Welfare, and the Colorado Multiple Institutional Review Board (Protocol 13-2166). Permission to conduct research in Tanzania was also obtained from the Tanzania Commission for Science and Technology (COSTECH) and Regional and District authorities in Mwanza and Misungwi respectively. Participation in the study was voluntary.

Funding: The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was supported by the National Institutes of Health (NIH Project: R21 MH099942-01A1).

Guarantor: ECN

ORCID iD
Kristen Daly https://orcid.org/0000-0001-6583-3597

Peer review: This manuscript was reviewed by reviewers who have chosen to remain anonymous.

References
1. White A, Thomas DS, Ezeanochie N, et al. Health worker mHealth utilization: a systematic review. Comput Inf Nurs CIN 2016; 34: 206.
2. Källander K, Tibenderana JK, Akpogheneta OJ, et al. Mobile health (mHealth) approaches and lessons for increased performance and retention of community health workers in low-and-middle-income countries: a review. J Med Internet Res 2013; 15: e17.
3. Medhanyie A, Spigt M, Kifle Y, et al. The role of health extension workers in improving utilization of maternal health services in rural areas in Ethiopia: a cross sectional study. BMC Health Serv Res 2012; 12: 352.
4. Little A, Medhanyie A, Yebyo H, et al. Meeting community health worker needs for maternal health care service delivery using appropriate mobile technologies in Ethiopia. PLoS One 2013; 8: e77563.
5. King DK, Glasgow RE and Leeman-Castillo B. Reaiming RE-AIM: using the model to plan, implement, and evaluate the effects of environmental change approaches to enhancing population health. Am J Public Health 2010 Nov; 100(11): 2076–2084.
6. Rogers EM. Diffusion of innovations. 5th ed. Free Press, 2003.
7. Chaiyachati KH, Loveday M, Lorenz S, et al. A pilot study of an mHealth application for healthcare workers: poor uptake despite high reported acceptability at a rural South African community-based MDR-TB treatment program. PLoS One 2013; 8: e64662.
8. Surka S, Edrippulige S, Steyn K, et al. Evaluating the use of mobile phone technology to enhance cardiovascular disease screening by community health workers. Int J Med Inf 2014; 83: 648–654.
9. Raghu A, Praveen D, Peiris D, et al. Engineering a mobile health tool for resource-poor settings to assess and manage cardiovascular disease risk: SMARThealth study. BMC Med Inf Decis Making 2015; 15: 1.
10. Modi D, Gopalan R, Shah S, et al. Development and formative evaluation of an innovative mHealth intervention for improving coverage of community-based maternal, newborn and child health services in rural areas of India. Glob. Health Action 2015; 8: 26769.
11. Palazuelos D, Diallo AB, Palazuelos L, et al. User perceptions of an mHealth medicine dosing tool for community health workers. JMIR mHealth uHealth 2013; 1: e2.
12. Jones CO, Wasunna B, Soudi R, et al. “Even if you know everything you can forget”: health worker perceptions of mobile phone text-messaging to improve malaria case-management in Kenya. PLoS One 2012; 7: e38636.
13. Kurumop SF, Bullen C, Whittaker R, et al. Improving health worker adherence to malaria treatment guidelines in Papua New Guinea: feasibility and acceptability of a text message reminder service. PLoS One 2013; 8: e76578.
14. Van Heerden A, Norris S, Tollman S, et al. Collecting maternal health information from HIV-positive pregnant women using mobile phone-assisted face-to-face interviews in Southern Africa. J Med Internet Res 2013; 15: e116.
15. Chang LW, Kagaayi J, Arem H, et al. Impact of a mHealth intervention for peer health workers on AIDS care in rural Uganda: a mixed methods evaluation of a cluster-randomized trial. AIDS Behav 2011; 15: 1776–1784.
16. Medhi I, Jain M, Tewari A., Combating rural child malnutrition through inexpensive mobile phones. In: Proceedings of the 7th Nordic conference on human-computer interaction: making sense through design, Copenhagen, Denmark, 14 October 2012, pp.635–644. New York: ACM.
17. Wan X, Raymond HF, Wen T, et al. Acceptability and adoption of handheld computer data collection for public health research in China: a case study. BMC Med Inf Decis Making 2013; 13: 1.
18. Chang LW, Njie-Carr V, Kalenge S, et al. Perceptions and acceptability of mHealth interventions for improving patient care at a community-based HIV/AIDS clinic in Uganda: a mixed methods study. AIDS Care 2013; 25: 874–880.
19. Mahmud N, Rodriguez J and Nesbit J. A text message-based intervention to bridge the healthcare communication gap in the rural developing world. Technol Health Care 2010; 18: 137–144.
20. Chib A, Lwin MO, Ang J, et al. Midwives and mobiles: using ICTs to improve healthcare in Aceh Besar, Indonesia 1. Asian J Commun 2008; 18: 348–364.
21. Lori JR, Munro ML, Boyd CJ, et al. Cell phones to collect pregnancy data from remote areas in Liberia. J Nurs Scholarship 2012; 44: 294–301.
22. Schoenberger YM, Phillips J, Mohiuddin MO, et al. Acceptability of delivering and accessing health information through text messaging among community health advisors. JMIR mHealth uHealth 2012; 1: e22.
23. Chib A. The Aceh Besar midwives with mobile phones project: design and evaluation perspectives using the information and communication technologies for healthcare development model. *J Comput Mediated Commun* 2010; 15: 500–525.

24. Praveen D, Patel A, Raghu A, et al. SMARTHealth India: development and field evaluation of a mobile clinical decision support system for cardiovascular diseases in rural India. *JMIR mHealth uHealth* 2014; 2: e54.

25. Sekhon M, Cartwright M and Francis JJ. Acceptability of healthcare interventions: an overview of reviews and development of a theoretical framework. *BMC Health Serv Res* 2017; 17: 88.

26. Bandura A. *Self-efficacy*. New York: W.H. Freeman & Company, 1997.

27. WHO. *Programmatic update: use of antiretroviral drugs for treating pregnant women and preventing HIV in infants*. Geneva: World Health Organization, 2012.

28. Thomas DS, Bull S, Nyanza EC, et al. An mHealth pilot designed to increase the reach of prevention of mother-to-child transmission of HIV (PMTCT) across the treatment cascade in a resource-constrained setting in Tanzania. *PLoS One* 2019; 14: e0212305.

29. Tanzania National Bureau of Statistics. *2012 Population and housing census: population distribution by administrative areas*. Tanzania National Bureau of Statistics, 2013.

30. Agarwal S, Perry HB, Long LA, et al. Evidence on feasibility and effective use of mHealth strategies by frontline health workers in developing countries: systematic review. *Trop Med Int Health* 2015; 20: 1003–1014.

31. Dolnicar S. *A review of unquestioned standards in using cluster analysis for data-driven market segmentation*. Wollongong, Australia: University of Wollongong, 2002.