The MuPix Telescope: A Thin, High-Rate Tracking Telescope

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Abstract: The MuPix Telescope is a particle tracking telescope, optimized for tracking low momentum particles at high rates. It is based on the novel High-Voltage Monolithic Active Pixel Sensors (HV-MAPS), designed for the Mu3e tracking detector. The telescope represents a first application of the HV-MAPS technology and also serves as test bed of the Mu3e readout chain. The telescope consists of up to eight layers of the newest prototypes, the MuPix7 sensors, which send self-triggered data via fast serial links to FPGAs, where the data is time-ordered and sent to the PC. A particle hit rate of 1 MHz per layer could be processed. Online tracking is performed with a subset of the incoming data.

The general concept of the telescope, chip architecture, readout concept and online reconstruction are described. The performance of the sensor and of the telescope during test beam measurements are presented.

Keywords: Data acquisition concepts; Particle tracking detectors (Solid-state detectors); Performance of High Energy Physics Detectors

ArXiv ePrint: 1611.03102

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1 Introduction

The Mu3e Experiment [1] will search for the lepton flavour violating decay of a positive muon into two positrons and one electron with a target sensitivity of $1 \times 10^{16}$ decays. A high rate beam of $10^9$ muons/s will be stopped on a passive target. The momentum of the decay particles as well as the vertex will be measured with a thin four-layer pixelated detector. The high rate of low momentum particles ($p < 53$ MeV/c) of up to 1.5 MHits/cm$^2$'s in the innermost layer requires a new detector technology: High-Voltage Monolithic Active Pixel Sensors (HV-MAPS), combining the advantages of thin MAPS with the fast charge collection of classical hybrid pixel sensors, are chosen for Mu3e.

To integrate the HV-MAPS into a multilayer tracking device, test the Mu3e readout architecture and for test beam characterization of the MuPix sensor prototypes, a tracking telescope has been build which is discussed in the following.

2 Telescope Concept

2.1 General Concept

The MuPix telescope [2] consists of up to eight stacked layers of MuPix7 prototypes framed by two scintillating tiles for precise reference timing, see figure 1. A group of four sensors is controlled by an FPGA. The FPGAs receive the data stream from the sensors and transfer it via PCIe to the local memory of the PC. On the PC, data quality checks and fast online track reconstruction is performed, see section 4. The data is stored on hard disks for offline analysis.
2.2 Clock and Reset Distribution

To synchronize the data streams and time stamps from all sensors in the system, a synchronous clock running at 125 MHz is used. Due to the limited number of differential in- and outputs at the used FPGA development board (Altera Stratix IV development kit [3]), the core clock for the FPGAs is applied externally, see figure 2. A switch on the FPGA is used to switch between the external clock and the internal oscillator. A PLL on the FPGA locks to the selected clock and distributes this clock to all connected MuPix sensors. Incoming signals from the tiles are sampled and time-stamped using a synchronous 500 MHz counter derived from the selected clock. To synchronize the counters a common synchronous reset signal is used. This signal is created on the master FPGA. A loopback and a differential reset signal to the slave FPGA guarantee a synchronous reset of all counters.
2.3 Mechanical Setup

The mechanics are based on optomechanical components from Thorlabs™, providing a stable and easy-to-use base system. Two parallel rails, allowing for adjustments along the beam axis and a rotational stage for one plane allow for flexible positioning of the sensors. A fully custom, aluminium Printed Circuit Board (PCB) holder mounted on movable posts on the rails allows for fine sensor adjustments perpendicular to the beam axis with a precision of 10 µm. This precision is needed to correct for small sensor-placing variations between different PCBs and therefore maximize the in-beam overlap of the sensors. The same holder system carries the PCBs for the tiles and provides mechanical stability for the complete system.

The MuPix chips are directly glued to a PCB, which is thinned to 100 µm underneath the chip to reduce scattering effects at test beams. The resulting total radiation length is approximately 0.2 % $X_0$ per layer, assuming 50 µm of glue.

3 High Voltage Monolithic Active Pixel Sensors

![Figure 3. Schematic view of the working principle of a HV-MAPS [4].](image)

High Voltage Monolithic Active Pixel Sensors [4] are produced in a commercial 180 nm HV-CMOS process. They allow for direct circuit implants into the pixel matrix. Applying a high voltage of up to $-85$ V between the n-wells and the p-substrate creates a thin depletion zone of about 15 µm, see figure 3. In the depletion zone, ionizing particles create electron-hole pairs, which drift to the electrodes and create a fast charge signal, which is amplified in the pixel cell. The thin active depletion zone allows for removal of the inactive bulk material. Thinning of the sensors down to 50 µm leads to a material budget of 0.05 % $X_0$. The signal processing, i.e. discrimination and digitization is implemented in a small inactive part at the edge of the sensor. No further readout ASIC is needed which reduces complexity and costs of the pixel detector.

3.1 MuPix7 Prototype

The pixel sensor used in the MuPix telescope is the MuPix7 prototype [5]. It consists of a $32 \times 40$ pixel matrix with a pixel size of $103 \times 80$ µm$^2$. The total active area is $10.55$ mm$^2$. Each pixel cell features an integrated amplifier and has a point to point connection to its partner digital cell in the periphery of the sensor. In each digital cell, the signal is compared to a threshold, which can be fine-adjusted with tune digital to analog converters (TDACs) for each pixel. The cell address and an 8bit time stamp, running at 62.5 MHz are stored. An integrated finite state machine performs a
priority readout of the hits and sends them 8bit/10bit encoded over a 1.25 GBit/s serial link to the readout FPGA.

4 DAQ System

The DAQ system is designed to run on a single computer with powerful GPU and CPU (i7-3930K @ 3.2 GHz, GeForce GTX 680). The sensor control and readout are steered over two FPGA boards [3], which are connected to the computer via the PCIe bus. Each FPGA controls and receives data from four MuPix7 sensors and is able to receive signals from the scintillating tiles. The FPGAs can be controlled via a graphical user interface (GUI) on the computer. The GUI also provides access to the data quality checker and online tracking results. A block diagram of the data flow is shown in figure 4.

The incoming serial hit data from the four sensors is decoded online. Consequently, a sensor label is assigned to each hit and the four data streams are merged, sorted by their time stamps and assigned to blocks with 32 time stamps each. In parallel, blocks with tile signals are created. The blocks are copied to the local memory of the computer.

The data transfer from the FPGA to the PC was first realized using polling, where the CPU requests data from the FPGA. This introduces large overhead and delay in the copying process. Therefore, fast direct memory access (DMA) is also implemented for operation at very high rates. Using DMA, the system bottleneck is the disk writing speed, while in the polling mode, the polling request overhead limits the readout speed. Using polling, a track rate of 1 MHz is achieved without
errors. For the DMA, no rate limit is determined up to now. The theoretical limit is high enough to transfer the data from eight MuPix7 sensors at full rate of approximately 30 MHits/s per sensor.

The received data from the two FPGAs is merged into a common data stream and written to disk. The data, which is kept in the RAM of the computer is queued to a data quality checker, which executes online monitoring tasks. The time stamp distributions, hit maps, time and spatial correlation plots provide control over the system performance, ensuring timely feedback and high efficiency in test beam measurements. The sensor efficiencies and noise rates are also directly computed and displayed. Data frames with a potential track, i.e. hits in all layers are further queued to an online tracking thread, which returns tracking residuals. They are also displayed in the GUI. This allows for quick alignment and continuous performance control.

To test the performance of the GPU online tracking, the data can be copied to the GPU, which performs the same tracking operations as the CPU. Only the residuals for the calculated tracks are returned. It was found, that the GPU tracking provides exactly the results as expected [6]. In addition, online tracking performance tests with DMA from the FPGA to the RAM and then to the GPU have been successfully performed. The full available beam rate of a few kHz could be processed without any issues and no errors were detected.

For the online track reconstruction, a straight line fit is implemented. It can be analytically solved and does not require any iterative procedure. This makes the fit very fast and robust. It also has the advantage that the number of calculations is known and the workload on the GPU can be optimized.

5 Testbeam Results

Several telescopes have been used at DESY (4–6 GeV/c e+), PSI (150–250 MeV/c p+, π+, e+), MAMI (950 MeV/c e+) and CERN (180 GeV/c π+) test beam campaigns. The rates varied from several kHz to 1 MHz. The telescope performed well at the different beam conditions. The DAQ system handled track rates of up to 1 MHz and was used as a crucial tool for MuPix characterization measurements.

5.1 Alignment and Stability

The mechanical alignment precision is of the order of 100 µm and corrected offline via automated track based alignment to less than 5 µm, see figure 5. The variation of the mean value of the residuals stays below 2 µm during the displayed time period of 10 hours. This proves the mechanical stability. The MuPix7 spatial resolution, measured with the MuPix telescope is shown in figure 5 and follows the expected behavior. The spatial resolution is given by the combination of the limited pixel size and the scattering on the planes, which is not included in the fit. For the analyzed run the layer spacing is approximately 3 cm and the sensors are not rotated. Possible unintended rotations are not taken into account for the alignment. The slight difference of the residual width between x and y resolution is caused by the different dimensions of the pixels (103 × 80 µm).

5.2 Efficiency, Noise and Time Resolution

To suppress background while keeping high signal sensitivity in the Mu3e pixel tracker, stringent constraints are set on the pixel sensor. A time resolution better than 20 ns, efficiency above 99%
with less than 20 Hz noise per pixel is required. Due to cooling limitations with gaseous helium for the Mu3e detector, the power consumption has to stay below 400 mW/cm².

MuPix7 prototypes were tested at the DESY test beam using settings corresponding to a power consumption per area of 300 mW/cm². For track matching a circular search window of 800 µm around the extrapolated track intersection and a time window of ±48 ns around the track time is chosen. An efficiency above 99 % is reached for perpendicular tracks at a threshold of 730 mV, while the noise stays below the 20 Hz noise per pixel limit up to 745 mV, see figure 6. Therefore, a threshold operation range of 15 mV can be used. This can be improved by rotating the sensor, which increases the particles’ paths in the depletion zone. The higher charge deposit leads to a larger signal and better performance. A sensor rotated by 60° shows a threshold operation range from 680–745 mV, see figure 6. A similar increase in signal size as for the tilt can be achieved by using a substrate with higher resistivity, which is planned for the upcoming chip submission.

The time resolution of the MuPix7 is studied using the tile scintillators as precise reference. Events with one track and one tile signal are selected. The resulting time resolution averaged over all pixels is 14.3 ns, expressed as Gaussian σ, see figure 7.

Figure 5. Left: mean residuals of one set of runs at DESY. The time period covered is about 10 hours. Right: residuals of a MuPix7 sensor at DESY with 4 GeV positrons.

Figure 6. Efficiency and noise as function of the applied threshold for 4 GeV positrons. The statistical errors are smaller than the markers. Left: perpendicular sensor. Right: sensor rotated by 60°.
6 Conclusion and Outlook

The MuPix telescope is used for MuPix sensor characterization and provides a perfect framework for the development of the Mu3e pixel detector.

The telescope was successfully operated at different beam environments. The highest useful particle rate is of the order of 1 MHz running the full readout chain.

The MuPix telescope is used to show that the MuPix7 fulfils all performance goals, required for a successful full operation of the Mu3e detector: efficiencies above 99% at moderate noise rates below 20 Hz per pixel and time resolution of 14.3 ns. The estimated power consumption for these measurements is 300 mW/cm².

The MuPix telescope will be equipped with the new MuPix8 prototype and further used to characterize the sensors.

Acknowledgments

N. Berger, Q. Huang, A. Kozlinskiy, D. vom Bruch and F. Wauters thank the *Deutsche Forschungsgemeinschaft* for supporting them and the Mu3e project through an Emmy Noether grant. S. Dittmeier and L. Huth acknowledge support by the IMPRS-PTFS. A.-K. Perrevoort acknowledges support by the Particle Physics beyond the Standard Model research training group [GRK 1940]. H. Augustin acknowledges support by the HiPP research training group [GRK 2058]. N. Berger and A. Kozlinskiy thank the PRISMA Cluster of Excellence for support.

The measurements leading to these results have been performed at the Test Beam Facility at DESY Hamburg (Germany), a member of the Helmholtz Association (HGF). We thank the Institut für Kernphysik at the Johannes Gutenberg University Mainz for giving us the opportunity to take data at the MAMI beam. We would like to thank PSI for valuable test beam time. We owe our
SPS test beam time to the SPS team and our LHCb colleagues, especially Heinrich Schindler, Kazu Akiba and Martin van Beuzekom.

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