Synthesis of Caffeoyl-Prolyl-Histidyl-Xaa Derivatives and Evaluation of Their Activities and Stability upon Long-Term Storage

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Abstract: Antioxidants play a critical role in the treatment of degenerative diseases and delaying the aging of dermal tissue. Caffeic acid (CA) is a representative example of the antioxidants found in plants. However, CA is unsuitable for long-term storage because of its poor stability under ambient conditions. Caffeoyl-Pro-His-NH$_2$ (CA-Pro-His-NH$_2$, CA-PH) exhibits the highest antioxidant activity, free radical scavenging and lipid peroxidation inhibition activity among the histidine-containing CA-conjugated dipeptides reported to date. The addition of short peptides to CA, such as Pro-His, is assumed to synergistically enhance its antioxidative activity. In this study, several caffeoyl-prolyl-histidyl-Xaa-NH$_2$ derivatives were synthesized and their antioxidative activities evaluated. CA-Pro-His-Asn-NH$_2$ showed enhanced antioxidative activity and higher structural stability than CA-PH, even after long-term storage. CA-Pro-His-Asn-NH$_2$ was stable for 3 months, its stability being evaluated by observing the changes in its NMR spectra. Moreover, the solid-phase synthetic strategy used to prepare these CA-Pro-His-Xaa-NH$_2$ derivatives was optimized for large-scale production. We envision that CA-Pro-His-Xaa-NH$_2$ derivatives can be used as potent dermal therapeutic agents and useful cosmetic ingredients.

Keywords: solid-phase synthesis; caffeic acid; caffeoyl peptide derivatives; antioxidant; stability

1. Introduction

Reactive oxygen species (ROS) include highly reactive free radicals, such as peroxide (ROO$^\cdot$) and superoxide (O$_2^\cdot$). ROS induce oxidative stress in the human body via radical chain reactions, thereby causing damage to DNA or RNA, cancer, chronic disease and aging [1,2]. In addition, oxidative stress can cause degenerative diseases such as Alzheimer’s disease [3–5].

Natural antioxidants found in various foods, including vegetables, meat, fruits and grains, inhibit the activity of ROS. They are considered suitable agents for scavenging radicals but are unsuitable for practical use as antioxidative nutrients or therapeutic agents because of their low concentration and/or activity [6,7]. Therefore, many synthetic antioxidants have been studied to prevent food corruption, delay the aging process, and treat degenerative diseases via scavenging ROS [8–11]. However, there are restrictions on the use of synthetic antioxidants, such as tertiary butylhydroquinone (TBHQ), butylated...
hydroxyanisole (BHA), butylated hydroxytoluene (BHT) and bisphenol (BP), because they are potentially cytotoxic when used in high concentrations [12].

Phenolic compounds have been extensively studied as antioxidants because they provide a relatively stable free-radical structure after losing a hydrogen atom. Previous reports have shown that the antioxidant activity of phenolic compounds increases upon increasing the number of hydroxyl and methoxy groups on the phenyl ring [13]. Caffeic acid (CA, 3,4-dihydroxycinnamic acid) is a member of the hydroxycinnamic acid (HCA) family and is often found in nature. CA exhibits high antioxidant activity because of the delocalization of non-covalent electrons in its extended side chain [14]. In addition, the ortho-dihydroxyl group in CA forms a stable hydrogen bond after dissociation of the O-H bond [15–17]. To date, various CA derivatives, such as CA-triazole, CA-tyramine and CA-amino phenol, have been studied. However, such CA derivatives have insufficient redox-active catechol moiety or participate in side reactions, which decrease the antioxidative activity [18–20].

We have previously reported that the conjugation of CA to a peptide can enhance its stability. In particular, CA-Pro-His-NH2 exhibits the highest antioxidant activity reported to date because the s-cis proline conformer contributes to the increased antioxidant activity of CA (Figure 1) [21–26]. In this study, we expected that some of the CA-tripeptide derivatives would exhibit better antioxidant activity and stability than CA-Pro-His-NH2. Therefore, several CA-Pro-His-Xaa-NH2 derivatives were synthesized as an extended library from CA-Pro-His-NH2, and their antioxidant activity and long-term storage stability were evaluated.

![Figure 1. Antioxidant mechanism of CA-PH-NH2 with peroxyl radicals based on an H-atom transfer and single-electron transfer mechanism. Reproduced from [26], with permission of Springer Nature Limited.](image_url)

### 2. Results and Discussion

#### 2.1. Synthesis of CA-PHX-NH2

Several CA-PHX-NH2 derivatives were synthesized on Rink amide aminomethyl polystyrene (AM PS) resin using Fmoc-chemistry-based solid-phase peptide synthesis (SPPS) (Scheme 1). Prior to coupling CA to the peptides on the resin, the purity of the PHX-NH2 synthesized on the resin was determined using high-performance liquid chro-
matography (HPLC) after a small-scale cleavage reaction. The HPLC analysis showed that the purity of PHX-NH$_2$ synthesized using Rink amide AM PS resin was >93%. The effect of the resin on the purity of PHX-NH$_2$ was evaluated by synthesizing PHX-NH$_2$ using Rink amide 4-methylbenzhydrylamine (MBHA) resin, which is known to result in higher purity during SPPS [27]. Unexpectedly, the Rink amide AM PS resin gave an approximately two-fold higher yield than Rink amide MBHA resin, as well as a 3–7% higher crude purity for all the compounds studied (Table 1). The Rink amide MBHA resin released the fragment of Rink amide linker as well as the tripeptide products during the final cleavage step, whereas Rink amide AM PS did not release any compound except the tripeptide products. This affected the purity and yield of the tripeptide products observed during HPLC when the peptides were synthesized on Rink amide MBHA resin.

Table 1. Yields and purities of the representative tripeptides (PHX-NH$_2$) synthesized using Rink amide MBHA resin and Rink amide AM PS resin.

| Tripeptide | Rink Amide MBHA Resin | Rink Amide AM PS Resin |
|------------|-----------------------|------------------------|
|            | Yield (%) | Purity (%) | Yield (%) | Purity (%) |
| PHS-NH$_2$ | 49.4     | 89.7     | 89.6     | 96.2    |
| PHA-NH$_2$ | 57.5     | 94.0     | 82.2     | 97.4    |
| PHN-NH$_2$ | 49.5     | 90.0     | >99      | 95.4    |
| PHD-NH$_2$ | 47.8     | 89.5     | 97.3     | 93.7    |

Thus, several CA-PHX-NH$_2$ derivatives were synthesized on Rink amide AM PS resin and obtained as white powders upon precipitation of the cleaved product using cold diethyl ether with crude purities in the range of 72–79%, as confirmed by ESI-MS (Table 2). Finally, the CA-PH-NH$_2$ and CA-PHX-NH$_2$ derivatives were obtained with >96% purity using preparative HPLC (Supplementary Figures S1–S11). The purified products were used for further investigation of their antioxidant activity.
Table 2. Purity and ESI-MS results of CA-PHX-NH₂.

| CA-PHX-NH₂ | Crude Purity (%) | Refinement Purity (%) | ESI-MS [M + H]^+ Calculated | ESI-MS [M + H]^+ Found |
|------------|------------------|-----------------------|----------------------------|------------------------|
| CA-PH-NH₂  | 74.6             | 98.2                  | 414.43                     | 414.02                 |
| CA-PHS-NH₂ | 77.6             | 98.5                  | 501.49                     | 501.07                 |
| CA-PHR-NH₂ | 76.3             | 97.4                  | 570.60                     | 570.00                 |
| CA-PHD-NH₂ | 72.6             | 99.5                  | 529.5                      | 529.04                 |
| CA-PHG-NH₂ | 73.4             | 97.1                  | 471.46                     | 471.03                 |
| CA-PHN-NH₂ | 76.9             | 98.7                  | 528.51                     | 528.09                 |
| CA-PHF-NH₂ | 79.9             | 99.2                  | 561.59                     | 561.02                 |
| CA-PHK-NH₂ | 75.9             | 97.7                  | 543.53                     | 543.04                 |
| CA-PHA-NH₂ | 73.4             | 98.1                  | 485.49                     | 485.07                 |
| CA-PHQ-NH₂ | 75.1             | 96.1                  | 542.54                     | 541.96                 |

2.2. Antioxidative Activities of the CA-PHX-NH₂ Derivatives

2.2.1. 2,2-Diphenyl-1-picrylhydrazyl (DPPH) Test

The free-radical scavenging activity (RSA) of the purified CA-PHX-NH₂ derivatives was evaluated using a DPPH radical scavenging test. When the CA-PHX-NH₂ derivatives were in their radical form after donating a hydrogen atom to DPPH, the color of the DPPH solution in methanol (MeOH) changed from purple to yellow (Supplementary Figure S12), and the absorbance observed at 516 nm decreased. Figure 2 shows the observed RSA (%) values, which were calculated using the following equation:

\[
\text{RSA} (%) = \left(1 - \frac{\text{Abs. of sample}}{\text{Abs. of control}}\right) \times 100.
\]

Figure 2. DPPH radical scavenging activity of the CA-PHX-NH₂ derivatives. Each experiment was performed in triplicate. Results are presented as mean ± standard error.
Some of the CA-PHX-NH₂ derivatives exhibited higher RSA (%) values than CA-PH-NH₂, in the following order: CA-PHN-NH₂ (92.5) > CA-PHF-NH₂ (87.2) ≈ CA-PHA-NH₂ (85.2) ≈ CA-PHD-NH₂ (85.1) ≈ CA-PHG-NH₂ (83.5) > CA-PHQ-NH₂ (70.8) ≈ CA-PHS-NH₂ (69.2) ≈ CA-PHK-NH₂ (69.0) > CA-PH₂-NH₂ (65.5) > CA-PHE-NH₂ (62.7) > CA-PHR-NH₂ (56.1). The various side chains of the amino acids influenced the coordination with catechol moiety in CA-PHX-NH₂, which resulted in different antioxidant activities. CA-PHN-NH₂ exhibited the highest RSA (%) value when compared to CA-PHX-NH₂ and CA-PH-NH₂. The addition of asparagine further stabilized the oxidation state of CA-PH-NH₂. We presume that the antioxidant activity was improved by the amide side chain of asparagine coordinating precisely with the redox-active catechol moiety in CA-PHN-NH₂. Further research would clarify the structure of the oxidation state of CA-PHN-NH₂.

2.2.2. Lipid Peroxidation (LPO) Test

The antioxidant activity was also measured using a lipid peroxidation (LPO) inhibition assay using Tween 20-emulsified linoleic acid (Supplementary Figure S13). The percentage of lipid peroxidation inhibition (%Pi) was calculated using the following equation:

\[
% Pi = \left(1 - \frac{\text{Abs. of sample}}{\text{Abs. of control}}\right) \times 100.
\]

The %Pi values observed for the CA-PHX-NH₂ derivatives and CA-PH-NH₂ decreased in the following order after 24 h: CA-PHR-NH₂ (86.2) > CA-PHK-NH₂ (86.1) > CA-PHS-NH₂ (85.5) > CA-PHF-NH₂ (85.1) > CA-PHE-NH₂ (85.0) > CA-PHG-NH₂ (84.7) > CA-PHQ-NH₂ (84.0) > CA-PHA-NH₂ (80.2) > CA-PH₂-NH₂ (78.6) > CA-PHN-NH₂ (74.9) > CA-PHD-NH₂ (70.7). Figure 3 shows that most of the CA-PHX-NH₂ derivatives were superior to CA-PH₂-NH₂ in the LPO inhibition assay. Hydrophilic DPPH and hydrophobic LPO tests showed different patterns for the antioxidant activity among the caffeoyl peptide derivatives studied. For example, CA-PH₂-NH₂ showed the highest antioxidant activity in the DPPH test but had a lower activity than CA-PH-NH₂ in the LPO inhibition assay. These differences can be attributed to the different solubilities of the derivatives in the working solutions used.

![Figure 3](image-url)  
*Figure 3.* Antioxidant activity of the CA-PHX-NH₂ derivatives under lipid peroxidation conditions. Each experiment was performed in triplicate. Results are presented as mean ± standard error.
2.3. Cytotoxicity of the CA-PHX-NH₂ Derivatives

The MTT assay data exhibited no significant cytotoxicity for the CA-PHX-NH₂ derivatives at low concentration (1 µM). Even at concentrations as high as 100 µM, most of the CA-PHX-NH₂ derivatives were not cytotoxic, while some of the compounds, such as CA-PHA-NH₂, CA-PHR-NH₂ and CA-PHK-NH₂, showed cell viabilities of <80%. In the case of CA-PH-NH₂, CA-PHS-NH₂, CA-PHN-NH₂ and CA-PHQ-NH₂, cell viabilities exceeded 100%. We presume that those CA derivatives reduced the oxidative stress of the cells and promoted cell proliferation, overcoming cytotoxicity (Figure 4). Thus, CA-PHX-NH₂ derivatives exhibiting low cytotoxicity have potential use as cosmetic ingredients.

Figure 4. Cytotoxicity of the CA-PHX-NH₂ derivatives measured using MTT assay. Each experiment was performed in triplicate. Results are presented as mean ± standard error.

2.4. Stability Evaluation Using ¹H-NMR Spectroscopy

¹H-NMR spectroscopy was used to evaluate the long-term structural stability of CA-PHN-NH₂. The changes in the molar ratio of s-cis Pro, which demonstrates the stability of CA-PHN-NH₂, were monitored upon storage at 25 °C under dark conditions over 3 months. The ratio of the s-cis form remained >93% for 3 months (Table 3, Supplementary Figure S14). The ¹H-NMR spectra show that CA-PHN-NH₂ exhibited limited transition between the cis and trans conformations over 3 months, which is critical for maintaining its high antioxidation activity [26]. Therefore, CA-PHN-NH₂ will likely maintain its antioxidant activity in hydrophilic solutions upon long-term storage.

Table 3. Ratio of the s-cis form of CA-PHN-NH₂.

| CA-PHN-NH₂ | Relative Integral Intensity (%)(Ratio of s-cis form) |
|------------|------------------------------------------------------|
|            | CH= Proton | His | Cε | 1-H Proton Average |
| 0 h        | 94.38      | 94.61 | 94.50 |
| 1 week     | 93.84      | 94.01 | 93.93 |
| 1 month    | 93.40      | 94.22 | 93.81 |
| 2 months   | 95.04      | 93.82 | 94.43 |
| 3 months   | 93.69      | 95.53 | 94.61 |
Table 3. Ratio of the s-cis form of CA-PHN-NH₂.

| CA-PHN-NH₂ | CH= Proton | His C\(\varepsilon\)-H Proton | Average |
|------------|------------|-----------------------------|---------|
| 0 h        | 94.38      | 94.61                       | 94.50   |
| 1 week     | 93.84      | 94.01                       | 93.93   |
| 1 month    | 93.40      | 94.22                       | 93.81   |
| 2 months   | 95.04      | 93.82                       | 94.43   |
| 3 months   | 93.69      | 95.53                       | 94.61   |

3. Materials and Methods

3.1. Materials

Trisopropylsilane (TIPS), caffeic acid (CA), 2,2-diphenyl-1-picrylhydrazyl (DPPH), linoleic acid, thiazolyl blue tetrazolium bromide (MTT), ammonium thiocyanate, Tween 20, sodium phosphate dibasic dodecahydrate, sodium phosphate monobasic dihydrate, ethanol (EtOH) and iron(II) chloride tetrahydrate (FeCl₂·4H₂O) were purchased from Sigma-Aldrich (St. Louis, MO, USA). Functionalized resins, 9-fluorenylmethoxy carbonyl (Fmoc)-Rink amide linker, Fmoc amino acids, N,N′-diisopropylcarbodiimde (DIC), N,N,N′,N′-tetramethyl-1H-benzotriazol-1-yl)uranium hexafluorophosphate (HBTU), 1-hydroxybenzotriazole (HOBr) and filtered reaction tubes (Libra tube®) were purchased from BeadTech Inc. (Ansan, Korea). Acetonitrile (ACN), dichloromethane (DCM), N,N-diisopropylethylamine (DIPEA), methanol (MeOH), N,N-dimethylformamide (DMF), dimethylsulfoxide (DMSO), acetic anhydride, hydrochloric acid (HCl), piperidine, trifluoroacetic acid (TFA) and phenol were purchased from Daejung Chemical & Metals Co. Ltd. (Siheung, Korea). NIH 3T3 mouse fibroblasts were purchased from Korea Cell Line Bank (Seoul, Korea) and cultured in 10% (v/v) fetal bovine serum (FBS) and 1% (v/v) penicillin streptomycin in RPMI 1640 media (Biowest, Nuaillé, France) at 37 ℃ in a humidified 5% CO₂ atmosphere. Trypsin-ethylenediaminetetraacetic acid (EDTA) in Hanks’ balanced salt solution (HBSS) was purchased from Biowest (Nuaillé, France).

3.2. Solid-Phase Synthesis of CA-PHX-NH₂

Prolyl-histidyl-Xaa-NH₂ was synthesized on two types of resin using Fmoc/t-Bu chemistry. The tripeptides were synthesized on a Rink amide resin (300 mg) by repeating the coupling and deprotection steps: (a) in the coupling step, the resins were treated with a solution of Fmoc-amino acid (3.0 equiv.), HBTU (3.0 equiv.), HOBt (3.0 equiv.) and DIPEA (6.0 equiv.) in DMF (10 mL) for 2 h; (b) in the deprotection step, each resin was treated twice with 20% piperidine/DMF (v/v) solution (2 × 10 mL) for 5 and 10 min. The resins were washed with DMF, DCM and MeOH three times after each coupling and deprotection step. The completion of each amino acid coupling reaction used in the synthesis was confirmed using Kaiser’s ninhydrin test.

The CA (3.0 equiv.) was dissolved in DMF (3 mL) in a glass vial. To this solution, HOBt (3.0 equiv.) and DIC (3.0 equiv.) were added, and the resulting mixture was stirred at room temperature for 30 min under dark conditions. The mixture was added to a reaction tube containing Pro-His-Xaa-NH₂ and DIPEA (6.0 equiv.) in DMF (10 mL) for 2 h; (b) in the deprotection step, each resin was treated twice with 20% piperidine/DMF (v/v) solution (2 × 10 mL) for 5 and 10 min. The resins were washed with DMF, DCM and MeOH three times after each coupling and deprotection step. The completion of each amino acid coupling reaction used in the synthesis was confirmed using Kaiser’s ninhydrin test.

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3.3. Antioxidant Activities of CA-PHX-NH₂

3.3.1. 2,2-Diphenyl-1-picrylhydrazyl (DPPH) Test

A DPPH/MeOH solution (0.1 mM, 1.48 mL) was placed in a microtube and treated with a solution of the CA-PHX-NH₂ derivative in MeOH (50 µM, 0.2 mL) for 10 min. The absorbance of the DPPH mixture was measured at 516 nm using a UV/Vis spectrophotometer [22].

3.3.2. LPO Test

A linoleic acid emulsion (25 mM) was prepared by mixing linoleic acid (142 mg), Tween 20 (142 mg) and sodium phosphate buffer (0.1 M, pH 7.0, 50 mL). As antioxidants of the linoleic acid emulsion, solutions of the CA-PHX-NH₂ derivatives (9, 45 and 90 µM and 0.5 mL) were prepared in a mixture of water (0.5 mL) and sodium phosphate buffer (0.1 M, 2.0 mL) in separate vials for the LPO test. Each mixture was kept at 50 °C under dark conditions for 24 h [28,29]. Each mixture (25 µL) was mixed with 75% EtOH (1.175 mL), FeCl₂·4H₂O in 3.5% hydrochloric acid (HCl) (20 mM, 25 µL) and 25 µL of 30% NH₄SCN for 3 min. The absorbance of the mixtures at 500 nm was measured using a UV/Vis spectrophotometer.

3.4. Cytotoxicity Assay for CA-PHX-NH₂

NIH 3T3 cells were seeded (100,000 cells/mL, 200 µL) in a 96-well plate and incubated for 1 day. Solutions of each CA-PHX-NH₂ derivative in RPMI 1640 media (1, 10, 50 and 100 µM) were prepared. The cell culture medium in each well was carefully aspirated, and the cells were treated with the CA-PHX-NH₂ solutions for 1 day in a CO₂ incubator. After removal of the solution, the cells were incubated in the presence of MTT solution (200 µL) for 3 h. After incubation, the MTT solution was discarded and DMSO was added to dissolve the formazan produced by the living cells. The mixtures in the 96-well plate were shaken in a shaking incubator for 20 min. The absorbance of the dissolved formazan was measured at a wavelength of 590 nm using a microplate reader. The percentage of cell viability was calculated by comparison with the number of standard cells which were not treated with the solutions of CA derivatives.

3.5. Long-Term Stability Test Using ¹H-NMR Spectroscopy

The sample of CA-PHN-NH₂ for ¹H-NMR spectroscopy was prepared by dissolving 10 mg of compound (±0.01 mg) in 1 mL of methanol-d₆ (MeOD). The resulting solution was transferred to a 5 mm standard NMR tube. The ¹H-NMR spectra were obtained on a Bruker AVANCE III-HD500 spectrometer operated at 500 MHz. Tetramethylsilane (TMS) was used as an internal standard for the analysis of the chemical shifts.

4. Conclusions

A library of caffeoyl tripeptides (CA-PHX-NH₂) was synthesized, and their antioxidant activities were evaluated to develop new CA-peptide derivatives with enhanced antioxidant capacity compared to CA-PH-NH₂. The DPPH assay showed that CA-PHN-NH₂ exhibited the highest free RSA (92.5%), compared to CA-PHX-NH₂ and CA-PH-NH₂. None of the CA derivatives exhibited significant cytotoxicity at concentrations up to 100 µM. ¹H-NMR spectroscopy showed that CA-PHN-NH₂ maintained its structural integrity for up to 3 months. Therefore, CA-PHN-NH₂ is expected to be used as a raw material for pharmaceutical or cosmetic ingredients because of its superior antioxidant ability and long-term stability.

Supplementary Materials: Supplementary materials can be found at https://www.mdpi.com/article/10.3390/ijms22126301/s1. Figure S1. HPLC chromatograms of (a) crude CA-PH-NH₂, (b) purified CA-PH-NH₂, and (c) ESI-MS data of CA-PH-NH₂, Figure S2. HPLC chromatograms of (a) crude CA-PHS-NH₂, (b) purified CA-PHS-NH₂, and (c) ESI-MS data of CA-PHS-NH₂, Figure S3. HPLC chromatograms of (a) crude CA-PHR-NH₂, (b) purified CA-PHR-NH₂, and (c) ESI-MS data
of CA-PHR-NH$_2$, Figure S4. HPLC chromatograms of (a) crude CA-PHD-NH$_2$, (b) purified CA-PHD-NH$_2$, and (c) ESI-MS data of CA-PHD-NH$_2$, Figure S5. HPLC chromatograms of (a) crude CA-PHN-NH$_2$, (b) purified CA-PHN-NH$_2$, and (c) ESI-MS data of CA-PHN-NH$_2$, Figure S7. HPLC chromatograms of (a) crude CA-PHF-NH$_2$, (b) purified CA-PHF-NH$_2$, and (c) ESI-MS of CA-PHF-NH$_2$, Figure S8. HPLC chromatograms of (a) crude CA-PHK-NH$_2$, (b) purified CA-PHK-NH$_2$, and (c) ESI-MS data of CA-PHK-NH$_2$, Figure S9. HPLC chromatograms of (a) crude CA-PHE-NH$_2$, (b) purified CA-PHE-NH$_2$, and (c) ESI-MS data of CA-PHE-NH$_2$, Figure S10. HPLC chromatograms of (a) crude CA-PHQ-NH$_2$, (b) purified CA-PHQ-NH$_2$, and (c) ESI-MS of CA-PHQ-NH$_2$, Figure S12. Mechanism of the DPPH radical scavenging test, Figure S13. Mechanism of lipid peroxidation inhibition test, Figure S14. $^1$H-NMR spectra of CA-PHN-NH$_2$ tripeptides for 3 months at room temperature in the dark.

**Author Contributions:** H.J. and Y.-E.J. designed and performed the experiments and analyzed the data. Y.-S.L. and D.-S.S. designed the experiments and analyzed the data. H.J., Y.-E.J., Y.-S.L. and D.-S.S. wrote the manuscript. J.-K.Y. and J.K. performed the experiments and analyzed the data. W.-J.C. analyzed the data and edited the manuscript. All authors have read and agreed to the published version of the manuscript.

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**Abbreviations**

- CA-PHX-NH$_2$: Caffeoyl-prolyl-histidyl-X amino acid amide
- AMPS: Aminomethyl polystyrene
- MBHA: 4-Methylbenzhydrylamine
- DPPH: 2,2-Diphenyl-1-picrylhydrazyl
- LPO: Lipid peroxidation

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