Experimental Investigation on Micro Deep Drawing of Stainless Steel Foils with Different Microstructural Characteristics

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Abstract

In the present work, austenitic stainless steel (ASS) 304 foils with a thickness of 50 µm were first annealed at temperatures ranging from 700 to 1100 °C for 1 h to obtain different microstructural characteristics. Then the effects of microstructural characteristics on the formability of ASS 304 foils and the quality of drawn cups using micro deep drawing (MDD) were studied, and the mechanism involved was discussed. The results show that the as-received ASS 304 foil has a poor formability and cannot be used to form a cup using MDD. Serious wrinkling problem occurs on the drawn cup, and the height profile distribution on the mouth and the symmetry of the drawn cup is quite non-uniform when the annealing temperature is 700 °C. At annealing temperatures of 900 and 950 °C, the drawn cups are both characterized with very few wrinkles, and the distribution of height profile, symmetry and mouth thickness are uniform on the mouths of the drawn cups. The wrinkling becomes increasingly significant with a further increase of annealing temperature from 950 to 1100 °C. The optimal annealing temperatures obtained in this study are 900 and 950 °C for reducing the generation of wrinkling, and therefore improving the quality of drawn cups. With non-optimized microstructure, the distribution of the compressive stress in the circumferential direction of the drawn foils becomes inhomogeneous, which is thought to be the cause of the occurrence of localized deformation till wrinkling during MDD.

Keywords: Microforming, Micro deep drawing, Stainless steel foils, Wrinkling, Annealing, Microstructure

1 Introduction

Driven by ongoing miniaturization in diverse areas including medical devices, precision equipment, communication devices, micro-electromechanical systems and micro fluidics systems, the demands for micro metallic parts have been tremendously increased [1–4]. As a fundamental microforming process, micro deep drawing (MDD) has extensive applications in the forming of hollow, thin walled and cup-like micro metallic parts. However, due to the common problem of size effects existed in the forming of metals in microscale, research on MDD becomes more comprehensive as compared to those on the conventional deep drawing. As a result, a large number of studies have been conducted to identify the size effects in MDD of metals with the purpose of manufacturing high quality micro parts and promoting the development of MDD technology [5–7].

Austenitic stainless steels (ASSs) possess austenite as its primary crystalline structure, and have excellent corrosion resistance while maintaining high mechanical strength [8]. Micro cups produced with ASSs are extensively used in medical and electronic devices, and the research on MDD of ASSs has been increasing tremendously for years. Chen et al. [9] conducted experimental
and analytical studies on the MDD of ASS 304 foils annealed at 900, 950, 1000 and 1050 °C, and found that the limit drawing ratio (LDR) of ASS 304 foils increased with the increase of annealing temperature when the thickness of the foils was kept the same. Their research results showed that size effects were noticeable and significant when the thickness of the foils was equal to or less than 100 µm, and foil thickness, grain size and ratio of foil thickness (T) to grain size (D) affected significantly the value of LDR of ASS 304 foils. Ma et al. [10] established a numerical model to investigate the MDD with radial pressure, and found that appropriate radial pressure and gap distance could improve the LDR of drawn ASS 304 circular cups by reducing the friction resistance. Luo et al. [14, 15] studied the effects of hydraulic pressure on the surface quality of drawn ASS 304 cups in terms of cup height and geometry. In order to obtain a large ratio of drawn ASS 304 cup height to cup outer diameter, Gau et al. [21] proposed a combined process consisting of one MDD and two ironing stages. They recommended that ASS 304 foil annealed at 1050 °C was optimal for forming high quality cup in terms of cup height and geometry control with the least cost.

The above literature reviews indicate that the research into the deformation behavior of ASSs, especially ASS 304, during MDD has been extensively conducted. However, it is still short of investigation on the MDD of ASS 304 foils with different microstructural characteristics, even though the methods for improving the quality of drawn ASS 304 cups have been proposed by some researchers. The microstructure of an ASS 304 foil determines its mechanical response, and affects the MDD behavior and finally the quality of the drawn parts. It is therefore essential to build the relationship between the microstructural characteristics and the quality of drawn parts so that control strategies can be obtained for forming high quality micro ASS 304 cups using MDD. The objective of the current work is to investigate the MDD of ASS 304 foils undertaking annealing treatment at temperatures ranging from 700 to 1100 °C. Such a wide range of annealing temperature enables the generation of different microstructural characteristics. The effects of microstructure on the formability of ASS 304 foils and the quality of drawn cups will be investigated and discussed, and the optimal annealing temperatures for forming high quality drawn cups will be proposed. The current work will contribute to the understanding of the effects of microstructure on the MDD of ASS 304 foils and the forming of high quality drawn cups.

2 Experimentation

2.1 Material

Cold-rolled ASS 304 foils, which are widely used in the manufacturing of micro device components, with a thickness of 50 ± 2 µm were selected as the research material in the current work. The microstructure of the as-received ASS 304 foils is presented in Figure 1, and the chemical compositions are listed in Table 1. The as-received ASS 304 foils were annealed at the temperatures of 700, 850, 900, 950, 1000 and 1100 ºC for 1 h in an argon gas protection atmosphere for obtaining different microstructural characteristics. Once the temperature reached the designated value, the temperature was maintained for 1 h and then the furnace was turned off.

Figure 1 Microstructure of the as-received ASS 304 foils
The specimens annealed at 700, 850, 900, 950, 1000 and 1100 °C are named as A700, A850, A900, A950, A1000 and A1100, respectively.

### 2.2 Tensile Tests

Tensile specimens were prepared according to the ASTM E8/E8M-11 standard (gauge length 30 mm, and gauge width 6 mm) [22]. Tensile tests were performed on an INSTRON 5566 tensile testing machine at room temperature with the stroke speed of 1 mm/min. Four specimens were used under each condition in the tensile tests.

### 2.3 Micro Deep Drawing Tests

A Desk-top servo press machine DT-3AW was used to conduct the MDD tests, as shown in Figure 2a, and the key parameters of MDD tools are presented in Figure 2b. The MDD toolset was driven by the press machine which was controlled by the PLC modulus in the control and data logging box. The press machine has a capacity of the maximum force of 30 kN and the maximum displacement of 40 mm. The surfaces of all the foils were slightly polished using a soft eraser and then cleaned by alcohol to remove surface contamination before MDD tests, by which the foils have an identical surface roughness of 0.318 µm in $R_a$. Firstly, the blanking die and the blanking holder moved downwards at a speed of 0.1 mm/s and the die stayed still as a blanking punch. Under this process, a raw blank for the following drawing process was cut at the first half stroke. Then, the punch moved down continuously and contacted with the blank, whereas the die stayed still. Finally, a micro circular cup was drawn by the punch at the end of the second half stroke. Due to this design of the MDD toolset, the press machine performing one stroke can fulfill the blanking and the MDD processes subsequently. During the drawing process, the drawing force was recorded and exported to a computer for further analysis. The data acquisition system was developed based on data conversion. After drawing process, the drawn cups were removed from the mouth of the die. Then, the cups were cleaned by ultrasonic bath with alcohol for 1 min and air-dried before microscopic observation.

### 2.4 Characterization Methodology

Metallographic specimens were etched with a solution of “15 mL HCl + 10 mL acetic acid + 5 mL HNO$_3$ + 2 drops glycerol” for 3 s to reveal the microstructure, and then were observed by a Nikon Eclipse LV100NDA optical microscope (OM). A digital microscope of VHX-1000X was used to observe the 3D profile of the drawn cups, which were further analyzed by a high-resolution VK-X100 laser scanning microscope for obtaining more detailed information. Under the laser scanning microscope, the cups were colored based on the variations of the cup heights.

### 3 Results and Discussion

#### 3.1 Microstructure

The OM microstructure of the annealed ASS 304 foils in the thickness direction is shown in Figure 3a–f, which correspond to the specimens A700, A850, A900, A950, A1000 and A1100, respectively. It can be seen from Figure 3a that the grains in the specimen A700 exhibit a deformed characteristic, which indicates no obvious recrystallization has occurred during annealing.
At 700 °C. At the temperature of 850 °C (Figure 3b), the elongated grains are being broken up, and a number of recrystallized grains are found to grow up by consuming the surrounding heavily deformed region. When the temperature is increased to 900 °C (Figure 3c), the newly recrystallized grains become more visible but deformed characteristic is still observable in some regions along the longitudinal direction, indicating that recrystallization process has not yet completed at this temperature. With a further increase of temperature to 950 °C (Figure 3d), the microstructure consists of fully recrystallized grains. The grains become coarser and the size distribution of grains becomes more uniform when the temperature is increased from 950 to 1000 °C (Figure 3e). At the highest annealing temperature of 1100 °C, the microstructure is composed of very coarse grains (Figure 3f), indicating that significant growing up process of recrystallized grains has occurred at this temperature [23].

The occurrence of recrystallization of ASS 304 depends on a number of factors, including heat treatment performed before cold working, the degree, mode and strain rate at cold working, and the heating rate and holding time at annealing temperature [24]. Recrystallization occurs at the deformation bands and in the vicinity of grain boundaries [25]. All the variables that increase the stored energy due to deformation lead to a decrease in recrystallization temperature. Sun et al. [26] reported that the start temperature for recrystallization of ASS 304 was over 850 °C, while Zheng et al. [27] found that the recrystallization of ASS 304 started at 650 °C. Therefore, it is hard to specify a recrystallization temperature for the steel grade of ASS 304 due to a large number of variables and the complexity of recrystallization phenomena involved. In the current work, linear intercept method according to the ASTM E112-13 standard [28] was used to determine the average grain size of the ASS 304 foils in their thickness directions. Six random OM images, along the longitudinal direction on the thickness section, without bias were used for grain size determination, and for each OM image five blindly drawn lines were counted. Then the grain size was averaged from the total 30 measurements. The calculated average grain sizes for the specimens A950, A1000 and A1100 are 12 µm, 20 µm and 46 µm, respectively.

### 3.2 Drawing Force

The drawing forces obtained from six MDD tests for each specimen were recorded, and the average values were used for analysis. Figure 4 shows the variations of drawing force with stroke during MDD for the as-received and annealed specimens. The drawing force of the as-received specimen is found to stop increasing at the stroke of about 0.8 mm, indicating that the punch has penetrated through the foil. For the annealed specimens, the variations of drawing force with stroke can be divided into four stages, i.e., stages 1, 2, 3 and 4, as indicated in Figure 4. In stage 1, the punch starts to contact with the blank to induce slight and fluctuated contacting force until the stroke increases to 0.13 mm, beyond which the MDD process enters stage 2. In stage 2, the drawing force has a rather slow increasing rate because at this stage the resistance of bending dominates the drawing force [29]. When the stroke is increased to around 0.35 mm, the drawing force shows a rapid increase until reaching a peak value (stage 3). Finally, the drawing force decreases...
to a non-zero value at the end of the MDD process (stage 4). Beginning from stage 3, permanent deformation of the blank (ASS 304 foil) starts, which causes increased drawing force due to strain hardening with the deformation goes on, and simultaneously friction force increases with the increase of contact force between the blank and the die [30]. As the drawn cups undergo springback and the stored strain energy in the drawn cups releases after MDD [16], the drawing force is therefore non-zero due to the counterforce from blank applied to the punch, even though the punch has stopped moving down at the end of the MDD process.

Figure 5 shows the dependence of peak drawing force on annealing temperature for the specimens A700, A850, A900, A950, A1000 and A1100. It can be seen that the peak drawing force first drops sharply, and then becomes more slowly when the temperature is increased from 700 °C to 900 °C. In the temperature range of 900 °C–950 °C, only a slight drop of peak drawing force is found. When the temperature is over 950 °C, the peak drawing force drops slowly again with the further increase of temperature.

The peak drawing force reflects the strength of the foils which is closely related to the microstructural characteristics. Figure 6 presents the typical tensile curves of the as-received, A850, A900, A950, A1000 and A1100 specimens, respectively. The tensile curves of the as-received specimens are found to exhibit rather low fracture stress and fracture strain, as shown in Figure 6a, indicating the specimens’ very poor ductility. This is consistent with the result shown in Figure 4 that the stroke of the as-received specimen stops to increase at the early stage of MDD. Annealing treatment promotes the occurrence of recrystallization, by which the strength and the strain to fracture of the as-received specimen can be improved. With the increase of temperature, recrystallization becomes easier to occur, and the deformed grains are gradually replaced by a new set of defect-free grains that nucleate and grow until the original grains have been entirely consumed. During this process, the stored energy associated with various lattice defects created by the deformation will be released with the progress of recrystallization [24], which leads to reduction of the strength of annealed foils [31, 32], as shown in Figure 6b, c and d, thereby the reduction of peak drawing force. When the temperature is over 950 °C, the grain size increases significantly with a further increase of temperature (Figure 3). As a result, the grain boundary strengthening will decrease with the coarsening of grains. When the thickness of a specimen is kept a constant, the volume fraction of surface grains will increase with an increase in grain size. During deformation, the grains located on the surface show less constrains and their strength is lower as compared to that of the inner grains, because it is easy for the moving dislocations to pile up at grain boundaries, but unable to pile up in the surface grains during deformation [33]. Therefore, the peak drawing force will be reduced due to the reduction of foil’s strength (Figure 6e and f) with the increase of grain size [1, 34].

3.3 Quality Evaluation of Drawn Cups

The as-received ASS 304 foil is found to be unable to form a cup by MDD, with the process always ending with fractures of the drawn cups. Figure 7 shows an example of a fractured cup after MDD with the as-received ASS 304 foil. The result indicates that the as-received foil has rather poor formability, and appropriate heat treatment is therefore needed for successfully forming micro cups using MDD.

Figure 8 shows the top views of the drawn cups with annealed specimens. It can be seen that serious wrinkling occurs on the drawn cup with specimen annealed at 700 °C (Figure 8a), indicating the cup’s poor quality. The wrinkling problem shows a decreasing trend with the increase of annealing temperature up to 900 °C (Figure 8b and c). The drawn cup with specimen annealed at 950 °C (Figure 8d) is found to exhibit a similar appearance as that annealed at 900 °C (Figure 8c), with both containing very few number of wrinkles. With a further increase of annealing temperature from 950 °C to 1000 °C and even 1100 °C, the number of wrinkles increases gradually (Figure 8e and f).

Figure 9 shows the height profiles of the drawn cups measured by laser scanning microscope, which correspond to the top views respectively shown in Figure 8. It should be noted that the directions of the images shown in Figure 9 may not exactly overlap with those shown.
in Figure 8 as the images in both figures were respectively taken using different facilities. The drawn cups are colored according to their height information, and the cups’ top-view profiles can be easily distinguished based on the variations of colors. For the specimen A700 (Figure 9a), the distribution of height profile on the mouth and along the direction from the edge to the central is quite non-uniform, and the mouth is not symmetrically circular. This is in consistence with the observation in Figure 8a that the quality of the drawn cup with specimen A700 is poor due to serious wrinkling. The distribution of height profile and the symmetry of the drawn
cups become increasingly uniform with the increase of annealing temperature from 700 °C to 850 °C, as shown in Figure 9b. The height profiles of the drawn cups with specimens A900 and A950 exhibit a similar characteristic, i.e. both circular cups, which contain very few defects along the cups’ mouths, are with uniformly distributed height profile, symmetry and mouth thickness, as shown in Figure 9c and d. However, such a situation becomes worse due to the increased number of wrinkles with a further increase of annealing temperature (Figure 9e and f). Therefore, the drawn cups with specimens A900 and A950 are of high quality comparing to those with specimens A700, A850, A1000 and A1100 based on Figures 8 and 9.

Figure 10 shows the side views of the drawn cups with annealed specimens. It is clear that the drawn cups with specimens A700 (Figure 10a), A850 (Figure 10b), A1000 (Figure 10c) and A1100 (Figure 10f) are all with wrinkling traces at the cups’ edges, while wrinkles are hardly seen on the surfaces of the cups with specimens A900 (Figure 10e) and A950 (Figure 10d). Based on Figures 8, 9 and 10, annealing temperatures of 900 °C and 950 °C are therefore the optimal for ASS 304 foils with the purpose of forming drawn cups with the fewest wrinkles using MDD.

Wrinkling is frequently encountered in deep drawing operations. Wrinkling is not recoverable after deep drawing, and has been regarded as a major obstacle in forming high quality drawn parts. In MDD, wrinkling has much more significant effect on the quality of drawn parts due to the microscale nature and the strict dimensional and tolerance requirements of the drawn parts comparing to those drawn by conventional deep drawing. In deep drawing, wrinkling is a negative consequence of shape change from a flat circular blank to a cylindrical cup, especially at the edge of the drawn part. In a deep drawing operation, an initially flat circular blank is drawn over a die by a cylindrical punch. The annular part of the blank is subjected to a radial tensile stress $\sigma_r$ while compressive stress $\sigma_c$ is generated in the circumferential direction during drawing, as shown in Figure 11a. When the...
compressive stress $\sigma_c$ reaches a critical point of instability, elastoplastic wave-shaped wrinkling of the blank will be generated, as schematically illustrated in Figure 11b. The onset of wrinkling in deep drawing is governed by a number of factors, including the geometry of tooling, blank holder stiffness, specifications of the blank, drawing procedure and material properties [35]. Wrinkling can be limited if a proper blank holding force is applied.
in deep drawing, which has been studied theoretically, numerically and experimentally by a large number of researchers [36–38]. In general, unsupported regions of the blank that are subjected to high compressive circumferential stress are susceptible to wrinkling [39].

In the current work, only the microstructural characteristics of the blanks are variable. As recrystallization has not obviously occurred at 700 °C, the elongated grain structure will induce inhomogeneous deformation of the specimen A700 during MDD. As a result, the distribution of the compressive stress \( \sigma_c \) in the circumferential direction becomes inhomogeneous, causing easy occurrence of localized deformation till wrinkling when the compressive stress reaches a critical value. With the increase of annealing temperature, recrystallization takes place easily, and the elongated grains are gradually broken up and replaced with recrystallized grains. Through such a process, the deformation of the recrystallized specimens will become increasingly homogeneous, contributing to the suppression of wrinkling due to the infrequently occurred local inhomogeneous deformation during MDD. Therefore, the degree of wrinkling exhibits a decreasing trend with the increase of annealing temperature from 700 °C to 900 °C. The observations of the wrinkling occurred on the drawn cups with specimens A900 and A950 indicate that both specimens exhibit a similar deformation characteristic during MDD. The size of the recrystallized grains at 900 °C is finer than those at 950 °C, but deformed characteristic is still observable in the specimen A900. Even though the specimen A950 is fully recrystallized, the grains are non-uniform in size due to abnormal growth of a number of recrystallized grains during annealing at 950 °C. As a result, the specimens A900 and A950 exhibit a similar wrinkling behavior due to the balanced microstructural effects of both specimens.

When the annealing temperature is further increased from 950 °C to 1100 °C, the average grain size is remarkably increased from 12 to 46 µm. As the thickness of all the specimens is the same, the number of grains in the specimens’ thickness direction will be reduced with the increase of grain size. When a specimen comprises of only a few grains in the thickness direction, each grain will play a significant role in the specimen’s deformation behavior. As a result, inhomogeneous and localized deformation can be easily caused because the characteristic of an individual grain will dominate the material behavior during MDD [1]. Consequently, it becomes easier for a coarse-grained specimen to generate wrinkles during MDD because the critical compressive stress value for wrinkling can be easily reached due to inhomogeneous and localized deformation, as compared to the specimen with finer grains. Therefore, wrinkling problem becomes increasingly worse with the coarsening of grains in the annealing temperature range of 950 °C–1100 °C (Figure 8d–f).

4 Conclusions

The effects of microstructural characteristics on the formability of ASS 304 foils and the quality of drawn cups were investigated and discussed, and the optimal annealing temperatures for forming high quality drawn cups were proposed. The major conclusions derived from the present work are as follows:

1) Recrystallization of ASS 304 takes place easily with the increase of annealing temperature, but the microstructure cannot be fully recrystallized when the annealing temperature is lower than 950 °C. The average grain sizes are 12 µm, 20 µm and 46 µm for the specimens annealed at 950 °C, 1000 °C and 1100 °C, respectively.

2) The drawing force of the annealed ASS 304 foils goes through four stages with stroke during MDD. The peak drawing force drops greatly when the annealing temperature is increased from 700 °C to 900 °C,
then decreases slightly in the temperature range of 900 °C–950 °C, and finally descends slowly when the temperature is over 950 °C, which are closely related to the microstructural characteristics of the annealed ASS 304 foils.

3) The as-received ASS 304 foil is unable to form a cup by MDD due to its poor formability. Significant wrinkling occurs on the drawn cup with specimen annealed at 700 °C. The drawn cups with specimens annealed at 900 °C and 950 °C contain very few wrinkles, but the number of wrinkles increases gradually with a further increase of annealing temperature from 950 °C to 1100 °C.

4) The height profile distribution and symmetry of the drawn cups become increasingly uniform with the increase of annealing temperature from 700 °C to 900 °C, but become worse when the annealing temperature is over 950 °C due to the occurrence of wrinkling. The drawn cups with specimens annealed at 900 °C and 950 °C are characterized with uniformly distributed height profile, symmetry and mouth thickness.

5) Appropriate selection of annealing temperature is very important with the purpose of reducing the generation of wrinkling, and therefore improving the quality of drawn cups. The optimal annealing temperatures obtained in the present work are 900 °C and 950 °C for ASS 304 foils for forming drawn cups with the fewest wrinkles by MDD.

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Authors’ contributions
JZ conceived the research and wrote the manuscript; JZ, FJ and ZL conducted experiments; TW, CZ, QH and ZJ revised the manuscript. All authors read and approved the final manuscript.

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Declaration
Competing interests
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