Deep rooting conferred by DEEPER ROOTING 1 enhances rice yield in paddy fields

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To clarify the effect of deep rooting on grain yield in rice (Oryza sativa L.) in an irrigated paddy field with or without fertilizer, we used the shallow-rooting IR64 and the deep-rooting Dro1-NIL (a near-isogenic line homozygous for the Kinandang Patong allele of DEEPER ROOTING 1 (DRO1) in the IR64 genetic background). Although total root length was similar in both lines, more roots were distributed within the lower soil layer of the paddy field in Dro1-NIL than in IR64, irrespective of fertilizer treatment. At maturity, Dro1-NIL showed approximately 10% higher grain yield than IR64, irrespective of fertilizer treatment. Higher grain yield of Dro1-NIL was mainly due to the increased 1000-kernel weight and increased percentage of ripened grains, which resulted in a higher harvest index. After heading, the uptake of nitrogen from soil and leaf nitrogen concentration were higher in Dro1-NIL than in IR64. At the mid-grain-filling stage, Dro1-NIL maintained higher cytokinin fluxes from roots to shoots than IR64. These results suggest that deep rooting by DRO1 enhances nitrogen uptake and cytokinin fluxes at late stages, resulting in better grain filling in Dro1-NIL in a paddy field in this study.

Plant roots are important organs for the uptake of water and nutrients from the surrounding soil. The physiological and morphological traits of roots affect shoot growth. In rice (Oryza sativa L.), a well-developed root system increases biomass and yield in different treatments and cultivars in paddy fields1–8. Higher uptake of nitrogen and water during the grain filling stage allows the plant to attain higher dry matter production by maintaining a higher photosynthetic rate in paddy fields4,5,7,9. High flux of cytokinins, which are synthesized in the roots and transported through the xylem to the shoot10–14, contributes to the maintenance of high photosynthetic rate owing to increasing leaf nitrogen and ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) which is a key enzyme in photosynthesis in rice5,9,15,16. High-yielding rice plants often have a larger volume of downward-growing nodal roots, which increases the proportion of roots in the lower soil layer in paddy fields15–20. However, the importance of these root ideotypes has been suggested on the basis of comparison among cultivars with different root and shoot morphologies, and it is not clear whether the deep root system contributes to high yield and dry matter production in paddy fields. To accurately evaluate the effect of deep rooting on rice grain yield, homogeneous genetic materials such as near-isogenic lines (NILs) with differences only in the root growth angle are needed.

Recently, Uga et al.21 cloned and characterized a quantitative trait locus (QTL) for root growth angle in rice, DEEPER ROOTING 1 (DRO1). DRO1 functions downstream of the auxin signaling pathway and controls the gravitropic curvature in rice roots. The authors also developed Dro1-NIL, carrying a functional allele of DRO1 derived from the deep-rooting cultivar Kinandang Patong (a traditional tropical japonica upland cultivar of Philippine origin) in the genetic background of the shallow-rooting parent variety IR64 (a modern lowland indica cultivar, widely grown in South and Southeast Asia), which has a non-functional allele of DRO1. Under upland conditions, Dro1-NIL showed deeper rooting than IR64, although the two lines did not show any marked differences in other root and shoot traits21,22. Under upland conditions with drought stress, Dro1-NIL maintained better grain filling and thus showed higher yield than IR64 because the plants avoided drought stress by collecting water from deep soil. This clearly demonstrated that deep rooting is a beneficial trait for stable rice production.
under drought conditions. Thus, we assumed that Dro1-NIL might be a useful material to clarify the effect of deep roots in paddy fields.

Lodging also affects grain yield and dry matter production23. Although previous studies examined the relationship between deep rooting and lodging resistance, they compared different cultivars or the effects of root pruning24–27. Such comparisons cannot exclude the effects of genotype differences or root injury, respectively, on the observed effects.

The objective of this study was to examine the effect of deep rooting on grain yield in paddy fields by using the deep-rooting Dro1-NIL and the shallow-rooting IR64. We evaluated not only yield and its components, but also physiological traits such as nitrogen uptake from roots and cytokinin fluxes in xylem exudates and leaves. We also investigated the effect of deep-rooting Dro1-NIL on lodging resistance.

Results

Root length density. There was no significant difference in total root length between IR64 and Dro1-NIL, irrespective of fertilizer treatment (Table 1). In both lines, root length density decreased with increasing depth. Root length density differed significantly between the lines when measured in specific soil layers, although there was no interaction between the effects of the line and fertilizer. Root length density of Dro1-NIL was 0.79 times that of IR64 in the upper layer, 1.54 times in the middle layer, and 1.24 times in the lower layer, irrespective of fertilizer treatment.

Dry matter production, yield and yield components. The dry weight of the above-ground parts was similar in both lines at heading, but was higher in Dro1-NIL at maturity, irrespective of fertilizer treatment (Table 2). Grain yield and HI were also higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment. The number of panicles per m², the number of spikelets per panicle and the number of total spikelets per m² were similarly increased by fertilizer treatment in both lines (Table 3). In contrast, the 1000-kernel weight and percentage of filled spikelets were higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment.

Nitrogen concentration in leaves and nitrogen content of plants. At heading, nitrogen concentration in flag leaves and total nitrogen content of plants were similar in the two lines (Tables 4 and 5). On the other hand, at 20 days after heading, nitrogen concentration in flag leaves was higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment (Table 4). At maturity, total nitrogen content of plants was also higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment (Table 5). As a result, nitrogen accumulated during grain filling was higher in Dro1-NIL than in IR64 with or without fertilizer treatment, although nitrogen concentration in leaves and nitrogen content of plants were increased by fertilizer treatment in both lines (Tables 4 and 5).

Xylem exudation rate, cytokinin flux in xylem exudates and cytokinin concentration in flag leaves. At 14 days after heading, the rate of xylem exudates and the flux of cytokinins (determined as trans-zeatin, cis-zeatin, trans-zeatin riboside, N⁶(Δ²-isopentenyl) adenine, N⁶(Δ²-isopentenyl)adenosine, dihydrozeatin, and dihydrozeatin riboside combined) in xylem exudates, as well as concentrations of these cytokinins in flag leaves, were higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment, although both parameters were increased by fertilizer treatment in both lines (Table 6).

Lodging resistance. At 14 days after heading, pushing resistance was higher in Dro1-NIL than in IR64 (Table 7). The difference between the two lines was no longer significant at 35 days after heading.

Discussion

The roots of Dro1-NIL were distributed in the lower soil layer than those of IR64 in a paddy field (Table 1, this study), similar to previous observations in upland fields24. Recently, we have demonstrated that Dro1-NIL produces more filled grains than IR64 under drought stress conditions, but not under non-drought conditions25. These results were obtained in upland fields. Thus, we wondered whether yield performance differs between shallow- and deep-rooting varieties in paddy fields.

In a paddy field, grain yield of the Dro1-NIL was higher than that of IR64, irrespective of fertilizer treatment (Table 2). Under drought conditions, deeper rooting caused by Dro1 led to a lower percentage of seed sterility than in shallow-rooted IR64 and thus resulted in higher yield, because plants avoided drought stress by increasing water capture from deep soil26. In a paddy field, higher grain yield of the Dro1-NIL was mainly due to the higher 1000-kernel weight and percentage of ripened grains, resulting in better grain filling and higher HI (Tables 2 and 3). This suggests that the Dro1-NIL may have greater ability to supply carbohydrates to the panicle after heading.

Plant carbohydrates are produced by photosynthesis. Nitrogen is essential for the production of photosynthetic enzymes, and there is a positive relationship between leaf nitrogen content and the rate of photosynthesis27,28. Recently, Takai et al.29 provided genetic evidence suggesting that photosynthetic rate is positively related to leaf nitrogen content through the cloning and characterization of GPS
(GREEN FOR PHOTOSYNTHESIS), a rice QTL that regulates carboxylation efficiency and thus controls the photosynthetic rate. In this study, nitrogen concentration in flag leaves (Table 4) and the other leaves (data not shown) after heading were higher in Dro1-NIL than in IR64, irrespective of fertilizer treatment, which may confer better grain filling in Dro1-NIL. We hypothesize that increase in nitrogen uptake by DRO1 enhances photosynthetic rate, resulting in higher grain filling ability. However, we did not investigate the photosynthesis of leaves in both lines of this study. Therefore, the relationship between photosynthesis and grain filling remains to be investigated.

Nitrogen is supplied to rice from both fertilizer and mineralized soil nitrogen. Because nitrogen in the upper soil layer is often insufficient at the later growth stage, efficient uptake of nitrogen from the lower layer is important for vigorous growth at this stage. A significant difference in plant dry weight and nitrogen uptake between IR64 and Dro1-NIL at maturity, but not at heading, suggests that higher root density of Dro1-NIL in the lower soil layer in comparison with IR64 was probably advantageous in capturing soil nitrogen from these layers during the grain filling period. However, the rate of nitrogen uptake from the lower soil layer still needs to be accurately measured to clarify how nitrogen absorbed from the lower soil layer in rice carrying DRO1 assists increasing grain yield. We observed several roots of Dro1-NIL penetrated through the plow pan layer (data not shown), although we did not quantify roots and nitrogen existed below 20 cm in the soil layer. We also have to elucidate effect of deep roots below 20 cm of soil layer on nitrogen uptake.

Cytokinins are involved in the control of leaf senescence. The delay in leaf senescence is considered to be important for increased dry matter and grain production in paddy rice. Higher transport of cytokinins from roots to shoots during grain filling is also associated with the maintenance of higher leaf nitrogen and Rubisco, and thus higher photosynthetic rate. In this study, both cytokinin flux in exudates and cytokinin concentration in flag leaves were higher in the Dro1-NIL than in IR64, irrespective of fertilizer treatment. These results indicate that DRO1 plays an important role in elevating the cytokinin level. We suppose that this higher cytokinin level of Dro1-NIL was associated with maintenance of higher leaf nitrogen and delaying leaf senescence. How is Dro1-NIL able to maintain higher cytokinin levels during grain filling? The roots distributed in the lower layer were white and fresh in comparison with the roots distributed in the upper soil layer, which implies a non-reducing environment around the plow pan layer. We also observed that roots of Dro1-NIL penetrated through the plow pan layer were white and fresh (data not shown). Therefore, we hypothesize that elevated cytokinin synthesis in Dro1-NIL after heading was due to its vigorous roots in the lower soil layer and the layer beneath the plow pan. The mechanisms of high cytokinin flux in rice plants with roots distributed in the lower layer and its effects on photosynthesis and yield performance remain to be clarified.

Lodging reduces grain yield and dry matter production in paddy rice. In this study, Dro1-NIL showed higher pushing resistance as an index of lodging resistance than IR64 before the soil hardness increased as a result of the drainage of residual water in the paddy field, although lodging no longer occurred after heading in either line. This suggests that roots distributed in the lower layer contributed to the increased root lodging resistance in wet soil. Because the position of the shoot base of rice plants directly sown in the soil is shallower than that of transplanted rice plants, lodging easily occurs after heading in direct-sowing cultivation, resulting in a reduction in grain yield and dry matter production. The roots penetrating into the lower soil layer with a higher bulk soil density have been proposed to be an important determinant of lodging resistance in rice in direct sowing cultivation. We have now demonstrated that introduction of the deep rooting trait into shallow-rooting cultivars can form the basis of a breeding strategy for lodging resistance.

Under upland conditions, the maximum root depth of the Dro1-NIL was more than 40 cm while that of IR64 was around 20 cm. The plow pan was 20 cm below the soil surface in our paddy field. The root growth of Dro1-NIL might be affected by the plow pan but some roots penetrated through the plow pan layer. Since we did not measure a penetration ability of roots in both lines, whether DRO1 improved the penetration ability of roots is unclear. It is necessary to further investigate the effect of DRO1 on penetration ability to determine if roots that penetrate through the plow pan have some benefits with respect to nitrogen uptake and cytokinin fluxes.

### Table 3 | Mean yield components in IR64 and Dro1-NIL lines as affected by line and fertilizer treatment

| Line (L) | Fertilizer treatment (F) | Panicles | Spikelets per panicle | Total spikelets | 1000-kernel weight | Filled spikelets |
|----------|-------------------------|----------|----------------------|----------------|------------------|-----------------|
|          |                         | (no. m⁻²) | (no.) | (×10⁵ m⁻²) | (g) | (%) |
| IR64 | No treatment | 280 | 106 | 29.9 | 23.1 | 0.68 |
| Dro1-NIL | No treatment | 282 | 104 | 29.5 | 23.6 | 0.73 |
| Dro1-NIL | Treatment | 261 | 91 | 23.6 | 23.4 | 0.69 |
| Dro1-NIL | Treatment | 301 | 119 | 35.7 | 23.3 | 0.72 |
| ANOVA | L | ns | ns | ns | ** | ** |
| F | ** | ** | ** | ns | ns |
| L×F | ns | ns | ns | ns | ns |

*Significant at P < 0.01; ns, not significant at P < 0.05. P values are based on ANOVA.

### Table 4 | Mean nitrogen concentration in flag leaves in IR64 and Dro1-NIL lines as affected by line and fertilizer treatment

| Line (L) | Fertilizer treatment (F) | Nitrogen concentration (g g⁻¹) | Heading | 20 DAH³ |
|----------|-------------------------|-------------------------------|---------|---------|
| IR64 | No treatment | 0.024 | 0.017 |
| Dro1-NIL | No treatment | 0.024 | 0.019 |
| Dro1-NIL | Treatment | 0.022 | 0.015 |
| Dro1-NIL | Treatment | 0.026 | 0.020 |
| ANOVA | L | ns | * |
| F | ** | ** |
| L×F | ns | ns |

*DAH, days after heading.

*Significant at P < 0.05; ** significant at P < 0.01; ns, not significant at P < 0.05. P values are based on ANOVA.
Our results suggest that deep rooting caused by the functional allele of **DRO1** improves grain yield in a paddy field by enhancing the higher 1000-kernel weight and percentage of ripened grains. **DRO1** predominantly influences root growth angle rather than other root morphological traits such as root length and number. Physiological and yield traits improved in the Dro1-NIL should be attributable to change of vertical root distribution by **DRO1**, although we have to elucidate relationships between higher nitrogen uptake and cytokinin fluxes by **DRO1** and grain yield in the Dro1-NIL. Previous studies have reported QTLs associated with photosynthesis and grain yield near the **DRO1** region on chromosome 9. Multiple QTLs for net photosynthesis rate were found around SSR marker RM410 at flowering and grain filling under both drought and watered field conditions. Dixit et al. detected promising QTL, qDTY9.1B, for grain yield under non-drought conditions. They supposed that **DRO1** shows universality for grain yield in the paddy fields because our results were obtained from the one location with relatively small plot size.

**Materials and plant cultivation.** IR64 and Dro1-NIL were cultivated in a paddy field at the NICS in Tsukubamirai (lat 36°02'N, long 140°04'E), Ibaraki, Japan, in 2010 and 2011. Dro1-NIL was developed from a cross between IR64 and Kinandang Patong (as a donor of the functional allele of **DRO1**) by repeated backcrossing with IR64 and marker-assisted selection to eliminate non-target **DRO1** regions. The Dro1-NIL had only homogygous for the Kinandang Patong allele between 15.9 Mb (SSR marker RM24386) to 18.8 Mb (SSR marker RM242) on chromosome 9, indicating that more than 99% of genome in the Dro1-NIL was derived from IR64. Plants were grown at a density of 22.2 hills (15 cm × 30 cm) per m², with one plant per hill. Germinated seeds were sown in nursery boxes in April and grown in a vinyl shelter. In May, when the fourth leaves were expanding, seedlings were transplanted into an irrigated paddy field after puddling. The experimental plants were laid out in a split-plot arrangement with or without chemical fertilizer, with three replications (9 m² in 2010 and 28 m² in 2011 for each replicate, with fertilizer treatment as the main plot and line as the sub-plot). For the fertilizer plot, chemical fertilizers were applied as a basal dressing at 9 g m⁻² of each of P₂O₅ and K₂O, and 12 g m⁻² of N (6 g LP40 and 6 g LP100); LP40 and LP100 are controlled release fertilizers releasing 80% of the total nitrogen content at a uniform rate up to 40 and 100 days after application, respectively, at 20–30°C. The soil type was the Gleyic Fluvisol, and the soil contained 5.0 mg 100 g⁻¹ available nitrogen in the upper layer (0–10 cm) and 4.4 mg 100 g⁻¹ in the lower layer (10–20 cm), as determined by hot water extraction. The plow layer was approximately 20 cm from the soil surface, and the lower layer formed a plow pan, which was approximately 10 cm thick. Daily mean, maximum and minimum air temperatures during growth period were 23.4°C, 30.4°C and 13.3°C in 2010, and 23.0°C, 29.7°C and 13.6°C in 2011, respectively. The heading dates (defined as the dates when 50% of plants were at heading) of both lines were August 16, 2010 and August 15, 2011 with fertilization, and August 14, 2010 and August 13, 2011 without fertilization. Plants were harvested on September 26, 2010 and on September 25, 2011.

**Measurements of root length density.** To evaluate root length density of rice plants in paddy field, we used core sampler method, because the core sampler can minimize destruction of the soil structure of paddy field compared to other methods such as monolith sampler. Four hills with the normal number of panicles were collected from each replicate at 20 days after heading in 2010 and 2011. A soil core (50 mm in diameter and approximately 200 mm in depth from the soil surface to the plow pan) was collected from position between the rows by using a core sampler (DIK-110C, Daiki Rika Kogyo Co., Saitama, Japan). The soil cores were divided into three segments corresponding to the upper (0–5 cm), middle (5–10 cm) and lower (10–20 cm) layers. The segments were washed carefully to separate roots from soil. The root length was determined by using a root scanner (WinRHIZO, Regent Instruments, Inc., Quebec, Canada). The root length density in each segment was calculated as root length divided by volume of each segment.

**Measurements of yield, yield components and plant dry weight.** Plants were harvested from an area of 1.1 m² in 2010 and 2.6 m² in 2011 for each replicate. Fully

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### Table 5 | Mean nitrogen content of whole plants in IR64 and Dro1-NIL lines as affected by line and fertilizer treatment

| Line (L) | Fertilizer treatment (F) | Total nitrogen content (g m⁻²) | Accumulated nitrogen* (g m⁻²) |
|----------|-------------------------|-------------------------------|-------------------------------|
| IR64     | 14 DHA                   | 1.32                          | 1.30                          |
| Dro1-NIL | 1.45                    | 1.35                          | 1.35                          |
| ANOVA    | L                       | ns                            | ns                            |
|          | F                       | ns                            | ns                            |
|          | LxF                     | ns                            | ns                            |

*Significant at P < 0.05, ns, not significant at P < 0.05. P values are based on ANOVA.

### Table 6 | Exudation rate and mean cytokinin flux in exudates, cytokinin concentration in flag leaves at 14 days after heading in IR64 and Dro1-NIL lines as affected by line and fertilizer treatment

| Line (L) | Fertilizer treatment (F) | Exudation rate (g h⁻¹ h⁻¹) | Cytokinin concentration in exudates (pmol h⁻¹ g⁻¹ f.w.) |
|----------|-------------------------|----------------------------|--------------------------------------------------------|
| IR64     | 3.70                    | 13.81                      | 2.89                                                   |
| Dro1-NIL | 4.00                    | 16.27                      | 3.48                                                   |
| No treatment | 3.47                | 11.90                      | 3.05                                                   |
| Treatment          | 4.17                 | 17.86                      | 3.32                                                   |

*The flux of cytokinins (trans-zeatin, cis-zeatin, trans-zeatin riboside, N6-[3α]-isopentenyladenine, N6-[3α]-isopentenyl adenosine, dihydrozeatin, and dihydrozeatin riboside) was calculated from the exudation rate and cytokinin concentration.

*Significant at P < 0.05, ** significant at P < 0.01; ns, not significant at P < 0.05. P values are based on ANOVA.

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*Accumulated nitrogen was calculated as the difference between the total content at heading and maturity.*

*Significant at P < 0.05, ** significant at P < 0.01, ns, not significant at P < 0.05. P values are based on ANOVA.*
ripened grains were selected by sieving through a 1.6-mm mesh, and the moisture content was adjusted to 15%. The harvest index (HI) was calculated as the panicle dry weight divided by the total plant dry weight. To determine plant dry weight, three (in content was adjusted to 15%. The harvest index (HI) was calculated as the panicle dry weight divided by the total plant dry weight. To determine plant dry weight, three (in

Measurement of nitrogen in flag leaves and whole plants. Measurements were performed in 2011. Flag leaves were collected from 8 hills per replicate at heading and at 20 days after heading. Whole plants with the normal number of panicles were collected from 8 hills per replicate at heading and at maturity. Samples were dried in an oven at 80°C for 5 days and weighed.

Measurement of xylem exudation rate and cytokinins in xylem exudate and flag leaves. Five hills with the normal number of panicles were collected per replicate at 14 days after heading in 2011, and flag leaves were collected from the main tillers. To obtain exudates, shoots were cut 10 cm above the soil surface, and a polyethylene bag containing cotton wool was attached to each cut end with tape. Bags were detached 3 h later, sealed, and weighed. The xylem of the exudate was calculated by subtracting the weight of the bag from the total weight. Exudates were collected by squeezing the cotton wool using syringe and immediately stored at −80°C. Cytokinins were extracted using an automatic liquid handling system (MICROLAB STAR, Hamilton, NV, USA) for solid phase extraction and quantified by ultra-performance liquid chromatography (UPLC) equipped with an electrospray interface (ESI; UPLC-ESI-qMS/MS, UPLC-Xevo TQ-S, Waters, MA, USA) as described previously. Cytokinin flux was calculated from the exudation rate and cytokinin concentration.

Measurement of the pushing resistance of hills. Pushing resistance can be used as an index of lodging resistance of rice plants. A prostrate tester (DK17400; Duiki Rika Kogyo Co., Saitama, Japan) was set perpendicularly to the shoot at 15 cm above the soil surface, and pushing resistance was measured by pushing the plants to an angle of 45° from the vertical. Eight hills (with fertilization only) with the normal number of panicles per replicate were selected at 14 and 35 days after heading in 2011. The average number of panicles was 13.5 ± 1.1 for IR64 and 13.6 ± 1.1 for Droi-Nil at 14 days after heading, and 13.8 ± 1.1 for IR64 and 13.7 ± 1.1 for Droi-Nil at 35 days after heading. Water in the paddy field was drained starting from 25 days after heading.

Statistical analysis. Statistical analyses were performed using a general linear model in SPSS version 17.0 (IBM, Tokyo, Japan). Analysis of variance (ANOVA) was used to test the effects of line and fertilizer treatment on root traits, yield, yield components, dry weight of the above-ground parts, and parameters of nitrogen and cytokinin. Line and fertilizer treatment were considered as fixed effects. Year and replication were considered as random effects for the former four parameters. ANOVA was also used to test the effects of line on pushing resistance. Replications were considered as random effects. Significant treatment effects (P < 0.05 or 0.01) were explored by using Fisher’s protected least significant difference test.
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**Author contributions**
Y.A.-S., Y.U. and T.T. designed the experiments and wrote the manuscript. Y.U. developed Dro1-NIL. Y.A.-S., Y.U. and T.T. performed yield, biomass and root trails. Y.A.-S. performed nitrogen and exudate trails. H.S. and M.K. performed cytokinin analysis. Y.A.-S. and Y.U. performed lodging resistance. Y.A.-S. and H.N. performed statistical analysis. M.K., Y.S. and H.N. provided advice on the experiments.

**Additional information**
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