The 18-cm Thoracic-Height Threshold and Pulmonary Function in Non-Neuromuscular Early-Onset Scoliosis

A Reassessment

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Background: Thoracic spine height is cited as a crucial outcome measure in the treatment of early-onset scoliosis (EOS) because of its reported relationship to pulmonary function tests (PFTs). An 18-cm threshold has been proposed, although this single parameter might be overly simplistic for cases of different etiologies and deformity magnitude. We aimed to reevaluate pulmonary function in patients undergoing corrective surgery, assessing the role of residual scoliosis as well as spine elongation.

Methods: Patients undergoing EOS correction with a minimum of 5 years of follow-up since initial treatment were evaluated. Standard spirometry (forced vital capacity [FVC], forced expiratory volume in 1 second [FEV1]) was correlated to deformity magnitude and T1-T12 height. Patients were compared by age at first surgery (<5 or ≥5 years), final thoracic height (≤18 or >18 cm), and percentage of predicted pulmonary function (<60% or ≥60%).

Results: Twenty-nine patients (15 congenital, 11 syndromic, and 3 idiopathic cases) were tested at a mean of 8.5 years following initial surgery. Twenty-two patients (mean initial age, 4.8 years) had growth-sparing instrumentation, and 7 patients (age, 5.1 years) had definitive fusion performed. Age at initial surgery was not associated with a difference in PFT results at the time of follow-up, and both age groups had ominously low percentages of predicted pulmonary-function volumes (50% to 55%). Only 18 of the 29 patients achieved a T1-T12 height of >18 cm. Those with a thoracic height of ≤18 cm had similar percentage-of-predicted spirometry results at the time of follow-up as those with greater thoracic height, possibly because of increased deformity correction. Only 14 of 29 patients had spirometry of ≥60% of predicted volume at the time of follow-up. These 14 had slightly smaller curves and slightly greater T1-T12 heights but significantly better spirometry results than the 15 subjects with <60% of predicted volume. For those with a T1-T12 height of ≤18 cm, the residual Cobb angle negatively correlated with spirometry results. In those with a final T1-T12 height of >18 cm, spirometry did correlate with thoracic height, especially when residual deformity was ≥60°.

Conclusions: Regardless of thoracic height of ≤18 or >18 cm, with residual curves of >50°, pulmonary function was ominously low in fully half of the patients, raising doubt about the value of this threshold as an EOS outcome parameter.

Level of Evidence: Prognostic Level IV. See Instructions for Authors for a complete description of levels of evidence.

Respiratory impairment from thoracic insufficiency syndrome (TIS) is considered the most serious morbid condition affecting patients with progressive early-onset scoliosis (EOS). Such children, arguably <6 years of age, have a substantial risk of pulmonary morbidity, resulting from the spine deformity itself or from ineffective surgical management. Historically, untreated “infantile-onset” scoliosis is associated with increased mortality, compared with later-onset (e.g., adolescent) scoliosis, because of respiratory failure. However, early fusion can also be ineffective in both arresting the progression and maintaining adequate pulmonary function. Campbell et al. identified patients at risk due to spinal and thoracic malformations and due to inhibition of thoracic growth following early spinal fusion. So-called growth-sparing treatment has evolved as an attempt to elongate the spine and/or chest wall while simultaneously controlling deformity, thus mitigating the pulmonary consequences of curve progression and early growth-arresting fusion.

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A frequently cited study of respiratory consequences of early thoracic fusion has been interpreted as defining a longitudinal thoracic height (T1-T12) of 18 cm as a threshold below which long-term pulmonary function, as measured by the percentage of predicted normal volume for forced vital capacity (%FVC), would be significantly impaired and associated with TIS. This threshold was based on the normal thoracic spine height of a 5-year-old child and on outcomes for patients who had predicted FVC of <50%—an amount approaching the 43% of predicted value historically associated with pulmonary failure and early mortality. This threshold was recently investigated in a multicenter study as a minimum goal of distraction-based growth-sparing surgery.

The value of the threshold as a crucial outcome measure may have been overstated. Details of the original study suggest several limitations: the majority of the 28 cases involved congenital scoliosis, calling into question the applicability of this threshold for other etiologies since patients with congenital involvement and Cobb angle with idiopathic scoliosis with the same degree of thoracic height and arm span would be uninterpretable for comparison. Consequently, pulmonary outcomes likely reflected function in cases with long residual curves, which likely restrict lung function more profoundly in the congenital population than just the 1 dimension—thoracic height—might predict. Additionally, 5 (31%) of the 16 patients with T1-T12 height of <18 cm actually had %FVC of >60%, possibly diminishing the inviolability of this threshold.

The purpose of the current study was to reexamine the appropriateness of the threshold in a recent cohort of patients who underwent corrective procedures (definitive fusion or growth-sparing) before the age of 9 years with pulmonary function tests (PFTs) at a minimum of 5 years later. Our hypothesis was that patients with thoracic height of >18 cm would have better PFT results than those with thoracic height of ≤18 cm. A second hypothesis was that a corrected Cobb angle would correlate more closely to PFT results than thoracic height. A third hypothesis was that surgery before the age of 5 years would result in a shorter thoracic height and poorer PFTs than if surgery occurred at 5 years of age or older.

**Materials and Methods**

Following university institutional review board approval, patients <9 years of age who had corrective surgery for EOS between 2004 and 2014 with complete follow-up data (minimum of 5 years) were identified. Patients with neuromuscular diagnoses, whose PFT results might be affected by muscle weakness, and those with skeletal dysplasias, whose thoracic height and arm span would be uninterpretable for comparison with individuals without dysplasias, were excluded, as were patients with primary pulmonary diseases.

Age at initial surgery and at most recent follow-up, diagnosis, and type of surgery (growth-sparing or definitive fusion) were recorded. Radiographic parameters were measured prior to...
the index procedure and at the most recent follow-up and included coronal-plane Cobb angle, T1-T12 height, and T6 thoracic depth, measured from the dorsal edge of the sternum to the ventral edge of the T6 body. PFTs utilizing standard spirometry were performed at the most recent follow-up, at a minimum of 5 years from the initial surgery, to determine actual FVC and forced expiratory volume in 1 second (FEV1) as well as the percentage of predicted normal volume (%FVC, %FEV1) for each test. Arm span was used to calculate the percentage of predicted volumes.

The radiographic and PFT data were then compared for each of the following patient groupings: <60% or ≥60% of predicted pulmonary function, T1-T12 height of ≤18 cm or >18 cm, and age at initial surgery of <5 or ≥5 years.

Statistical Analysis
Statistical analyses were completed in SPSS Statistics (version 24; IBM). The initial analysis of continuous variables, such as Cobb angle, age at index surgery, T1-T12 height, and T6 depth, were first examined for normality with a Shapiro-Wilk test, and a t test and Mann-Whitney test were used for 2-group comparisons as appropriate. Pearson correlation coefficients were calculated to assess the relationship between postoperative pulmonary and radiographic outcomes. Contingency tables were used to describe frequency distributions of categorical variables, such as classification of EOS and index treatment. Significance was set at p < 0.05.

### Results

Twenty-nine patients (mean Cobb angle, 72°; range, 25° to 129°) met the inclusion criteria: 15 patients had a congenital diagnosis; 11, syndromic; and 3, idiopathic. Syndromic diagnoses included Marfan syndrome, neurofibromatosis, and arthrogryposis (2 cases each) and osteogenesis imperfecta, Prader-Willi syndrome, Dubowitz syndrome, Pierre Robin syndrome, and DiGeorge syndrome (1 case each). Twenty-two patients (mean age at initial surgery of 4.8 years; range, 1.3 to 8.7 years) underwent growth-sparing treatment, while 7 patients (mean age of 5.1 years; range, 3.1 to 7.5 years) had definitive fusion as the initial treatment. Mean follow-up from the time of the initial surgery was 8.5 years (minimum, 5 years; range, 5.4 to 12.8 years).

#### Age at Initial Surgery (<5 or ≥5 Years)

Seventeen patients (mean age, 3.5 years) were <5 years of age at the initial surgery, while 12 patients (mean age, 6.9 years) were ≥5 years of age. The older group had a greater index T1-T12 height (mean, 16.1 versus 12.4 cm; p = 0.02), although the index Cobb angles and T6 thoracic depth did not differ. Follow-up Cobb angles and thoracic depth did not differ, while T1-T12 height was again greater in the group ≥5 years of age (mean, 20.7 versus 17.9 cm; p = 0.06). This increased thoracic

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#### TABLE II Percentage of Predicted Pulmonary-Function Subgroups

| % of Predicted Pulmonary Function at Follow-up* | <60% (N = 15)       | ≥60% (N = 14)     | P Value |
|------------------------------------------------|---------------------|-------------------|---------|
| Index Cobb (deg)                               | 71.4 (24.6-129)     | 69.47 (42/102.6)  | 0.913   |
| Index T1-T12 height (cm)                       | 12.88 (8.2-19.8)    | 15.04 (8.8-22.1)  | 0.127   |
| Index T6 chest depth (cm)                      | 6.91 (4.6-10.30)    | 7.33 (5.0-10.1)   | 0.407   |
| Age at index surgery (yr)                      | 4.36 (1.34-8.06)    | 5.56 (1.55-8.71)  | 0.089   |
| Time between surgery and follow-up (yr)        | 9.61 (5.31-12.84)   | 7.35 (5.44-11.48) | 0.036   |
| Age at follow-up (yr)                          | 13.97 (8.72-18.22)  | 12.91 (8.66-15.82) | 0.169   |
| Final Cobb (deg)                               | 53.36 (23.8-79)     | 42.43 (21-64)     | 0.067   |
| Final T1-T12 height (cm)                       | 17.95 (10.2-21.8)   | 20.21 (12.8-25.0) | 0.049   |
| Final T6 chest depth (cm)                      | 8.74 (4.8-14.8)     | 8.71 (6.7-11.1)   | 0.743   |
| Final FVC, actual (L)                          | 1.23 (0.49-2.18)    | 2.01 (1.28-3.37)  | 0.002   |
| Final FVC, % of predicted                      | 39.13 (15.56)       | 69.14 (56-96)     | <0.001  |
| Final FEV1, actual (L)                         | 1.03 (0.36-2.03)    | 1.62 (1.24-2.44)  | 0.001   |
| Final FEV1, % of predicted                     | 36.93 (16.56)       | 65.93 (34-104)    | <0.001  |
| △ Cobb (final — index) (deg)                   | −17.99 (−62.2-10.8) | −27.04 (−61.6-7)  | 0.214   |
| % correction of Cobb                           | −20.7 (−68.2-23.4)  | −35.8 (−67.5-12.3) | 0.067   |
| △ T1-T12 height (final — index) (cm)           | 5.07 (0.4-8.88)     | 5.17 (−2-3-10.8)  | 0.983   |
| △ T6 chest depth (final — index) (cm)          | 1.83 (−2-4-5.4)     | 1.39 (−1-2-4.94)  | 0.284   |

*The values are given as the mean and range.
Only 14 of 29 patients had PFTs (<60% of predicted volume) at the time of follow-up (Table II). These 14 patients had significantly higher actual and percentage of predicted pulmonary-function volumes than the 15 patients with <60% of predicted pulmonary function. Unexpectedly, there was no difference between the 2 groups regarding age at initial surgery, index Cobb angle, or index thoracic height or depth. The only differences between the 2 groups at the time of follow-up were a slightly greater percentage of deformity correction, slightly smaller residual deformity, and slightly greater thoracic height in the ≥60% group. Our hypothesis that residual deformity and degree of correction would correlate with better PFT results was modestly confirmed, as was the hypothesis concerning thoracic height just mentioned. The percentages of predicted pulmonary-function volume at the time of follow-up in the <60% group were ominously low, ranging from 15% to 56% (mean, 37% to 39%).

**Thoracic Height (≤18 or >18 cm)**

Only 18 of 29 patients achieved a T1-T12 height of >18 cm at the time of follow-up (Table I). The 11 patients with a measurement of ≤18 cm were younger at initial surgery (mean, 3.5 versus 5.8 years; p = 0.005). Although the ≤18-cm group had larger initial Cobb angles (mean, 86.7° versus 60.5°; p = 0.001) and shorter initial thoracic height (mean, 10.5 versus 16 cm; p < 0.001), there was no difference in Cobb angles at the time of follow-up (mean, 53° versus 45°), possibly because of more effective surgical correction (mean, −33.6°) for the patients in the ≤18-cm group. The difference in thoracic height at the time of follow-up remained significant (mean, 15.1 versus 21.5 cm; p < 0.001). Although actual FVC and FEV1 volumes were significantly greater in the >18-cm group, the %FVC and %FEV1 did not differ, again possibly because of better correction in the ≤18-cm group. Thus, our first hypothesis—that a T1-T12 height of >18 cm would produce better follow-up PFT results—was confirmed only for the actual FVC and FEV1 volumes.

**PFTs (<60% Versus ≥60% of Predicted Volume)**

Only 14 of 29 patients had ≥60% of predicted pulmonary function at the time of follow-up (Table II). These 14 patients had significantly higher actual and percentage of predicted pulmonary-function volumes than the 15 patients with <60% of predicted pulmonary function. Unexpectedly, there was no difference between the 2 groups regarding age at initial surgery, index Cobb angle, or index thoracic height or depth. The only differences between the 2 groups at the time of follow-up were a slightly greater percentage of deformity correction, slightly smaller residual deformity, and slightly greater thoracic height in the ≥60% group. Our hypothesis that residual deformity and degree of correction would correlate with better PFT results was modestly confirmed, as was the hypothesis concerning thoracic height just mentioned. The percentages of predicted pulmonary-function volume at the time of follow-up in the <60% group were ominously low, ranging from 15% to 56% (mean, 37% to 39%).

**Correlation of Radiographic Parameters and PFTs**

We assessed actual and percentage of predicted pulmonary function in relation to radiographic parameters at the time of final follow-up using a Pearson correlation test (Table III). For the entire cohort, the T1-T12 height demonstrated a moderate correlation (r = 0.5 to 0.63) to actual FVC and FEV1 volumes but no correlation to percentage of predicted volumes or the residual deformity. However, when the cohort was divided into those with a final curve measurement of <60° or ≥60° (Table IV), the patients with larger residual curves (n = 8) showed good correlation (0.66 to 0.80) between actual and percentage of predicted volumes and T1-T12 height. For curves of <60° (n = 21), there was no correlation between PFT values and curve magnitude or thoracic height.

When dividing the patients into groups with T1-T12 height of ≤18 or >18 cm (Table V), the actual FVC and FEV1 volumes of the ≤18-cm cohort (n = 11) were negatively correlated with residual Cobb angle, while the >18-cm cohort showed no such correlation. Furthermore, for the >18-cm cohort, there was only very modest correlation between PFT parameters and thoracic height, and no correlation with residual deformity.

**Subanalysis of Congenital and Syndromic Diagnoses**

The 15 congenital and 11 syndromic cases were analyzed separately to determine a possible effect of diagnosis on the outcomes. All congenital cases involved segmentation anomalies over at least 6 segments, with or without rib fusions. Eight patients had a T1-T12 height of ≤18 cm at the time of follow-up, and 7 had a height of >18 cm. Cases with >18-cm height had smaller index curves and greater index T1-T12 heights, and these differences were maintained at the time of follow-up, but no differences in PFT outcomes were demonstrated (Table VI).

**TABLE III Correlations: PFTs with Cobb and T1-T12 Height at Final Follow-up (N = 29)**

|                      | Final Cobb | Final T1-T12 Height |
|----------------------|------------|---------------------|
|                      | R Value    | P Value             | R Value    | P Value             |
| Final FVC, actual    | −0.351     | 0.062               | 0.634      | <0.001              |
| Final FVC, % of predicted | −0.328     | 0.083               | 0.218      | 0.256               |
| Final FEV1, actual   | −0.263     | 0.168               | 0.504      | 0.005               |
| Final FEV1, % of predicted | −0.180     | 0.351               | 0.035      | 0.858               |

**TABLE IV Correlations: PFTs with Cobb and T1-T12 Height at Final Follow-up by Cobb Subgroup**

|                      | Final Cobb | Final T1-T12 Height |
|----------------------|------------|---------------------|
|                      | N          | R Value             | P Value             |
| Final Cobb <60°      |            |                     |                     |
| Final FVC, actual    | 21         | −0.130              | 0.575               |
| Final FVC, % of predicted | 21         | −0.136              | 0.558               |
| Final FEV1, actual   | 21         | −0.020              | 0.930               |
| Final FEV1, % of predicted | 21         | 0.004               | 0.986               |
| Final Cobb ≥60°      |            |                     |                     |
| Final FVC, actual    | 8          | −0.606              | 0.111               |
| Final FVC, % of predicted | 8          | −0.468              | 0.243               |
| Final FEV1, actual   | 8          | −0.621              | 0.101               |
| Final FEV1, % of predicted | 8          | −0.472              | 0.237               |
Among the congenital cases, 9 patients had <60% of predicted pulmonary function, while 6 had ≥60% (Table VII).

In spite of the marked differences in PFT results (actual and percentage of predicted) between the groups (p = 0.001 to 0.008), the groups did not differ in terms of index Cobb angle or thoracic height, height gained, residual Cobb angle, or thoracic height at the time of follow-up.

Among the syndromic cases, there were 3 patients with a T1-T12 height of ≤18 cm and 8 patients with a height of >18 cm at the time of follow-up (Table VIII). As in the congenital group, there was a trend toward a smaller index Cobb angle and a greater thoracic height in the >18-cm group. At the time of follow-up, thoracic height remained greater, while residual Cobb angles did not differ (48°) because of greater correction in the ≤18-cm group. PFTs showed greater actual volumes in the >18-cm group, but there was no difference in the percentage of predicted values, similar to findings for the entire cohort.

### TABLE V Correlations: PFTs with Cobb and T1-T12 Height at Final Follow-Up by Thoracic-Height Subgroup

| Final Cobb | Final T1-T12 Height |
|------------|---------------------|
|            | N  | R Value | P Value | N   | R Value | P Value |
| T1-T12 height ≤18 cm | | | | | | |
| Final FVC, actual | 11 | -0.657 | **0.028** | 11 | 0.078 | 0.820 |
| Final FVC, % of predicted | 11 | -0.419 | 0.200 | 11 | -0.209 | 0.538 |
| Final FEV1, actual | 11 | -0.637 | **0.035** | 11 | 0.005 | 0.989 |
| Final FEV1, % of predicted | 11 | -0.413 | 0.207 | 11 | -0.254 | 0.451 |
| T1-T12 height >18 cm | | | | | | |
| Final FVC, actual | 18 | -0.106 | 0.677 | 18 | 0.520 | **0.027** |
| Final FVC, % of predicted | 18 | -0.178 | 0.479 | 18 | 0.450 | 0.061 |
| Final FEV1, actual | 18 | 0.069 | 0.784 | 18 | 0.402 | 0.098 |
| Final FEV1, % of predicted | 18 | 0.081 | 0.750 | 18 | 0.350 | 0.155 |

### TABLE VI Thoracic-Height Subgroups: Congenital Etiology (N = 15)

| Final T1-T12 Height* |
|----------------------|
| ≤18 cm (N = 8)       | >18 cm (N = 7) |
| P Value              |               |
| Index Cobb (deg)     | 81.81 (61.6-97) | 53.46 (24.6-67.6) | **0.004** |
| Index T1-T12 height (cm) | 10.16 (8.2-13.37) | 15.45 (11.22-18) | **0.004** |
| Index T6 chest depth (cm) | 6.87 (4.6-10.1) | 6.99 (6.09-8.1) | 0.684 |
| Age at index surgery (yr) | 3.49 (1.34-6.09) | 5.2 (2.69-8.31) | 0.132 |
| Time between surgery and follow-up (yr) | 9.05 (5.44-12.84) | 8.2 (5.31-12.51) | 0.563 |
| Age at follow-up (yr) | 12.53 (8.72-14.89) | 13.39 (9.47-15.82) | 0.355 |
| Final major Cobb (deg) | 54.78 (35-75) | 37.97 (22.64) | **0.049** |
| Final T1-T12 height (cm) | 14.54 (10.2-17.2) | 21.7 (19.1-25) | **0.001** |
| Final T6 chest depth (cm) | 8.75 (4.8-12) | 8.03 (6.7-10.1) | 0.452 |
| Final FVC, actual (L) | 1.21 (0.69-2.19) | 1.64 (0.51-2.33) | 0.105 |
| Final FVC, % of predicted | 52.63 (25-96) | 53.29 (15-78) | 0.728 |
| Final FEV1, actual (L) | 1.1 (0.68-2.01) | 1.3 (0.45-2.06) | 0.643 |
| Final FEV1, % of predicted | 54.5 (21-104) | 47.43 (16-79) | 0.772 |
| Δ Cobb (final – index) (deg) | −27.04 (−43.4−8.4) | −15.49 (−45.6-7) | 0.247 |
| Δ T1-T12 height (final – index) (cm) | 4.38 (1-8.3) | 6.25 (2.68-8.88) | 0.165 |
| Δ T6 chest depth (final – index) (cm) | 1.88 (−2.47-5.4) | 1.04 (0.1-2.3) | 0.246 |

*The values are given as the mean and range.
Among the syndromic cases, 4 patients had <60% of predicted pulmonary function and 7 had ≥60%. Again, there were sharp differences between the groups in percent of predicted volumes (Table IX). However, as with the congenital cohort, there were no differences in radiographic parameters, either index or follow-up, to explain these differences.

Discussion

The 2008 study by Karol et al. is frequently quoted when discussing indications for growth-sparing surgical procedures for EOS, emphasizing the 18-cm threshold as a goal for successful treatment as measured by %FVC. This threshold was based on the finding that 16 of 28 patients in the study did not achieve this T1-T12 height—the thoracic height of a normal 5-year-old child—and that their mean %FVC was 48.2%, a figure perilously similar to the <43% of predicted vital capacity figure quoted when invoking the apparently poor prognosis of a short thoracic height was the finding that 5 (31%) of the 16 patients with a T1-T12 height of <18 cm actually had %FVC of ≥60%, thus calling into question the validity of this “threshold” as a major outcome parameter.

In the current study, we reassessed the threshold in a cohort of 29 patients with EOS who had undergone corrective, rather than in situ, surgery to evaluate effects of both height and deformity correction on pulmonary outcome. T1-T12 height tended to best correlate with actual FVC or FEV1 volumes (as opposed to percentage of predicted volumes) for the entire cohort (Table III), and with most PFT measures (Table IV) in patients with curves of ≥60°. However, the most striking
TABLE VIII Thoracic-Height Subgroups: Syndromic Etiology (N = 11)

| Final T1-T12 Height* | ≤18 cm (N = 3) | >18 cm (N = 8) | P Value |
|----------------------|---------------|---------------|---------|
| Index Cobb (deg)     | 99.6 (78.6-129) | 64.94 (46.2-102.6) | 0.066 |
| Index T1-T12 height (cm) | 11.43 (10.79-11.8) | 17.03 (10.7-22.1) | 0.066 |
| Index T6 chest depth (cm) | 6.64 (6.7-5.1) | 7.18 (5.9-6.6) | 0.540 |
| Age at index surgery (yr) | 3.66 (3.1-4.39) | 6.21 (3.7-8.71) | **0.025** |
| Time between surgery and follow-up (yr) | 8.39 (5.5-11.58) | 8.31 (5.4-11.96) | 1.00 |
| Age at follow-up (yr) | 12.05 (8.6-15.96) | 14.53 (10.6-18.22) | 0.414 |
| Final Cobb (deg)     | 48.33 (29-79) | 48 (33-60) | 0.540 |
| Final T1-T12 height (cm) | 16.57 (14.7-17.8) | 21.56 (18.6-24.1) | **0.014** |
| Final T6 chest depth (cm) | 7.83 (6.7-9.4) | 8.85 (7.9-8.9) | 0.305 |
| Final FVC, actual (L) | 0.88 (0.49-1.31) | 2.23 (1.43-3.37) | **0.0014** |
| Final FVC, % of predicted | 37.33 (22-64) | 61.25 (45-74) | 0.152 |
| Final FEV1, actual (L) | 0.78 (0.36-1.26) | 1.74 (1.36-2.44) | **0.014** |
| Final FEV1, % of predicted | 37.33 (21-70) | 56.38 (34-67) | 0.413 |
| Δ Cobb (final − index) (deg) | -51.3 (−62.2−41.6) | -16.94 (−6.1-10.8) | **0.041** |
| Δ T1-T12 height (final − index) (cm) | 5.14 (2.9-6.41) | 4.53 (−2.3-10.8) | 0.540 |
| Δ T6 chest depth (final − index) (cm) | 1.2 (0.7-1.89) | 1.68 (−1.2-2.9) | 0.219 |

*The values are given as the mean and range.

TABLE IX Percentage of Predicted Pulmonary-Function Subgroups: Syndromic Etiology (N = 11)

| Final % of Predicted Pulmonary Function* | <60% (N = 4) | ≥60% (N = 7) | P Value |
|----------------------------------------|-------------|-------------|---------|
| Index Cobb (deg)                       | 78.6 (46.2-129) | 71.99 (50.4-102.6) | 0.850 |
| Index T1-T12 height (cm)               | 14.56 (10.7-19.8) | 16.04 (10.7-22.1) | 0.450 |
| Index T6 chest depth (cm)              | 6.83 (6.2-7.51) | 7.14 (5.9-6.6) | 0.850 |
| Age at index surgery (yr)              | 4.72 (3.49-6.26) | 5.97 (3.11-8.71) | 0.257 |
| Time between surgery and follow-up (yr) | 10.58 (8.03-11.96) | 7.05 (5.4-9.43) | **0.023** |
| Age at follow-up (yr)                  | 15.3 (11.5-18.22) | 13.02 (8.66-15.37) | 0.089 |
| Final Cobb (deg)                       | 52.5 (29-79) | 45.57 (33-60) | 0.571 |
| Final T1-T12 height (cm)               | 18.88 (17.2-20.3) | 20.96 (14.7-24.1) | 0.131 |
| Final T6 chest depth (cm)              | 8.6 (7.4-9.4) | 8.56 (6.7-9.8) | 0.924 |
| Final FVC, actual (L)                  | 1.4 (0.49-2.18) | 2.13 (1.31-3.37) | 0.257 |
| Final FVC, % of predicted              | 36 (22-51) | 65.43 (61-74) | **0.008** |
| Final FEV1, actual (L)                 | 1.27 (0.36-2.03) | 1.6 (1.26-2.44) | 0.705 |
| Final FEV1, % of predicted             | 37 (21-56) | 59.29 (34-70) | **0.023** |
| Δ Cobb (final − index) (deg)           | -26.1 (−62.2-10.8) | -26.41 (−61.6-4.6) | 0.850 |
| Δ T1-T12 height (final − index) (cm)   | 4.32 (0.4-6.41) | 4.92 (−2.3-10.8) | 1.000 |

*The values are given as the mean and range.
finding of this study was the ominously low PFT results for fully half of the cohort (15 subjects with <60% of predicted FVC or FEV1, Table II), and the equally ominous ~50% of predicted mean FVC or FEV1 regardless of the T1-T12 height (Table I) or the age at which surgery was performed (<5 or ≥5 years). Such low values for the percentage of predicted pulmonary function would be expected to cause notable eventual pulmonary morbidity

The effect of curve correction on pulmonary outcome, however modest, was noted by the negative correlation of actual FVC and FEV1 volumes and residual Cobb angle in subjects with a T1-T12 height of ≤18 cm (Table V), and by the slightly smaller mean Cobb measurement (42°) and better percent correction (36%)—as well as greater mean T1-T12 height (20.2 cm)—in the group with ≥60% of predicted pulmonary function (Table II). In subjects with ≤18 cm of T1-T12 height, the percentages of predicted volume were no different from those with >18-cm height, possibly because of comparatively better curve correction, to ~53°, in the shorter thoracic-height group (Table I). The fact remains, however, that while better correction and greater T1-T12 height were associated with larger actual FVC and FEV1 volumes, the %FVC and % FEV1 remained ominously low, at 48% to 57%.

Recently, 16 patients from the original 2008 cohort were reexamined at an average of 23 years (minimum, 18 years) of follow-up.[5]. Pulmonary-function deterioration with the passage of time affected essentially every patient at a higher rate than expected because of aging.[6,7]. Ten of the 16 had thoracic height of ≥218 cm, but only 3 had %FVC exceeding 60%, and those 3 patients each had had >86% FVC when tested in 2008. The remaining 7 patients with a thoracic height of ≥218 cm had follow-up %FVCs varying from 38% to 50%—thus, the 18-cm threshold did not appear to be protective of what was believed to be sufficient %FVC in the original study. The remaining 6 of 16 subjects had T1-T12 height of <18 cm with ominously low %FVC of 14% to 36%, and the subsequent death of 1 patient with 14% FVC emphasized just how ominous these values are. It suggests that achieving the 18-cm threshold, even with ~50° residual curve, does not reliably stabilize the long-term pulmonary prognosis for the patient with EOS undergoing thoracic fusion before the age of 9 years.

This study’s limitations, in addition to those mentioned in the introduction reflecting limitations of the 2008 study, include being retrospective and having a small number of subjects with diverse etiologies, including few idiopathic cases, although in analyzing the congenital and syndromic subgroups separately, we found no important differences when comparing these more homogeneous groups to the overall cohort. No patients had pretreatment baseline PFTs, nor do data exist for a comparative cohort of subjects with ≤18-cm thoracic height but with minimal or no scoliosis. With regard to congenital scoliosis, others have shown the difficulty in achieving an 18-cm thoracic height[11], suggesting that deformity correction in such cases may be crucial to maintaining pulmonary function at ≥60% of predicted, a rather modest immediate goal of EOS treatment (Tables I and V).

Considering the documented deterioration in pulmonary function over a minimum of 18 years of follow-up[9], it seems likely that %FVC or %FEV1 of <60% prior to maturity is unlikely to avoid respiratory morbidity from TIS, regardless of T1-T12 height exceeding 18 cm. Thus, it is our belief that this frequently quoted threshold height cannot be invoked as a main indication for growth-sparing surgical treatment.

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