A Quantum Information Theoretical Model for Quantum Secret Sharing Schemes

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Abstract

In this paper we introduce a quantum information theoretical model for quantum secret sharing schemes. We show that quantum information theory provides a unifying framework for the study of these schemes. We prove that the information theoretical requirements for a class of quantum secret sharing schemes reduce to only one requirement (the recoverability condition) as a consequence of the no-cloning principle. We give also a shorter proof of the fact that the size of the shares in a quantum secret sharing scheme must be at least as large as the secret itself.

1 Introduction

Quantum secret sharing has been an active area of research in quantum information theory [1][2][3][4]. In a quantum secret sharing protocol, a dealer shares an unknown quantum state with a set of players such that authorized subgroups of players can recover the quantum state, but unauthorized subgroups cannot get any information on it. Quantum secret sharing was first introduced in [2], where Hillery et al. proposed a scheme to share a single qubit between two players. In [1] Cleve, Gottesman and Lo presented a more general scheme where a dealer can share an unknown quantum state with a set of players in a way that only groups with more than a given number of players, t, can recover the original secret and collisions of players with less than t players have no information about it. Given that the total number of players is n, this is a quantum...
The construction in [1] was based on quantum error correcting codes. Constructions for general access structures were presented in [4] by Gottesman and in [5] by Smith.

In classical secret sharing, and in classical cryptography in general, information theory has played a major role when designing and evaluating cryptographic primitives and protocols [6][7][8][9]. It is a natural question to investigate the properties of their quantum mechanical counterparts. In this contribution, we introduce a quantum information theoretical model for quantum secret sharing schemes. We show that quantum information theory provides a unifying framework for the study of these schemes. Additionally, we prove that the information theoretical requirements for some quantum secret sharing schemes differ from the ones for their classical counterparts. Moreover, we give a shorter proof of the fact that the size of the shares in a quantum secret sharing scheme must be at least as large as the secret itself. This result was first stated in [4].

The paper is organized as follows: in Section 2, we review some important concepts of quantum information theory that are used in this paper. Section 3 introduces our model for quantum secret sharing schemes. We show that the recoverability requirement for pure state quantum secret sharing protocols implies the secrecy one in Section 4. In Section 5, we present a new and shorter proof of the fact that the sizes of the shares of a quantum secret sharing scheme are at least as large as the size of the quantum secret being shared. Finally, we conclude in Section 6.

2 Preliminaries

2.1 Quantum Information Theory

We briefly review some important concepts of quantum information theory that will be used through the paper. For a nice introduction to the subject we suggest the references [10] and [11]. We consider finite dimensional quantum systems with $m$ degrees of freedom which are modeled by the algebra of $m \times m$ matrices over the complex numbers, here denoted by $\mathcal{M}_m$. The state of a system $X$ is described by its density matrix $\rho_X \in \mathcal{M}_m$.

The quantum entropy of a quantum system $X$ with a density matrix $\rho_X \in \mathcal{M}_m$ is defined as in [11]

$$S(X) = -\text{Tr}(\rho_X \log \rho_X) = - \sum_{1 \leq j \leq m} \lambda_j \log \lambda_j,$$

where $\lambda_1, \ldots, \lambda_m$ are the eigenvalues of $\rho_X$. The quantum entropy can be interpreted as the average number of qubits necessary to describe a realization of the system $X$ [12].

Quantum entropies generalize the classical Shannon entropies. For a random variable $A$ taking values in an alphabet $\mathcal{A} = \{a_1, \ldots, a_n\}$ one has
\[ H(A) = -\sum_{a \in A} p(a) \log p(a), \]

where the random variable \( A \) takes the value \( x \) with probability \( p(x) \). When all the quantum states that compose the quantum mixture \( \rho_X \) are orthogonal, \( S(X) \) reduces to \( H(X) \).

Conditional entropy is a very important tool used to analyze classical systems. For two random variables \( A \) and \( B \) taking values in the alphabets \( \mathcal{A} \) and \( \mathcal{B} \) respectively, the conditional entropy is defined as:

\[ H(A|B) = \sum_{a \in \mathcal{A}, b \in \mathcal{B}} p(a, b) \log p(a|b). \]

In order to analyze quantum secret sharing schemes precisely, it is important to generalize classical conditional entropies to the quantum domain.

Let \( XY \) be a bipartite quantum system represented by a density matrix \( \rho_{XY} \) living on the Hilbert space \( \mathcal{H}_{XY} = \mathcal{H}_X \otimes \mathcal{H}_Y \). The subsystems \( X \) and \( Y \) will be represented by the partial traces \( \rho_X = \text{Tr}_Y \rho_{XY} \) and \( \rho_Y = \text{Tr}_X \rho_{XY} \). The quantum entropy of a quantum system \( X \) conditional on another quantum system \( Y \) can be defined as (see [11]):

\[ S(X|Y) = S(XY) - S(Y), \tag{1} \]

where \( S(XY) = -\text{Tr}(\rho_{XY} \log \rho_{XY}) \) and \( S(Y) = -\text{Tr}(\rho_Y \log \rho_Y) \).

One can understand the quantum conditional entropy as the ignorance about the quantum system \( X \) when having full knowledge of \( Y \).

It is important to stress that it is possible to define different versions of quantum conditional probabilities. However, a nice point about the definition used here is that several well known properties of classical conditional entropies are valid in the new scenario [11][13][14][15].

In spite of these similarities in the formulae, quantum conditional entropies are qualitatively different from their classical counterparts. For example, quantum conditional entropies can be negative while classical conditional entropies are always non-negative. It means that in quantum systems, sometimes, the entropy of the entire quantum system can be smaller than the entropy of one of its subsystems. This is the case for the so called entangled systems. Another consequence of the negativity of quantum conditional entropies is that proofs from classical information theory do not usually straightforwardly apply to the quantum scenario, since they often rely on the non-negativity of conditional entropies.

Similarly, a quantum counterpart of the classical mutual information is defined (see [11]) as follows:

\[ I(X:Y) = S(X) + S(Y) - S(XY) \geq 0. \]

It should be remarked that the quantum information does not only measure quantum correlations between two systems. It includes both quantum and classical correlations [13].
The quantum mutual information can be interpreted as the information on the quantum state $X$ that is conveyed by $Y$. Indeed, it is 0 iff the state of $XY$ is a product: $\rho_{XY} = \rho_X \otimes \rho_Y$.

The subadditivity, strong subadditivity and the Araki-Lieb inequalities of quantum entropies will be heavily used when deriving our results. For the convenience of the reader we state these results here [11].

The subadditivity of quantum entropies tells us that for a composite quantum system $XY$, the following inequality holds:

$$S(XY) \leq S(X) + S(Y).$$

The Araki-Lieb inequality is stated as:

$$S(XY) \geq |S(X) - S(Y)|$$

where $|\cdot|$ denotes the absolute value.

Finally, the strong subadditivity states that for any composite quantum system $XYZ$, the following inequality holds:

$$S(XYZ) + S(Y) \leq S(XY) + S(YZ).$$

It is easy to show that the following inequality is a consequence of the strong subadditivity of quantum entropies:

$$I(X : Y) \leq I(X : YZ)$$

for any tripartite system $XYZ$.

### 2.2 Classical Secret Sharing Schemes

As stated in Section 1, a secret sharing scheme is a protocol that enables a dealer $D$ to share a secret $S_i$ from a set of $n$ possible secrets $\Omega = \{S_1, \ldots, S_n\}$ with a set of players $P$ so that the members of an authorized group are able to recover $S_i$, but no other members can get any information about the secret $S_i$. The authorized groups will be defined by an access structure $\Gamma \subseteq 2^P$, a family where each element is an authorized group. More precisely, for a set of participants $P = \{P_1, \ldots, P_m\}$ and a dealer $D$, the access structure $\Gamma \subseteq 2^P$ is a family of subsets of $P$ containing the sets of participants qualified to recover the secret. Monotonicity is a natural requirement of an access structure, i.e. if $X \in \Gamma$ and $X \subseteq X' \in \Gamma$. There is one operation $\Lambda$ which chooses randomly with a given distribution a tuple of shares $\in \Omega_1 \times \cdots \times \Omega_m$ for a given secret $\in \Omega$. By $\Lambda_j : \Omega \rightarrow \Omega_j$ we denote the restriction of the operation $\Lambda$ to one player $P_j$ determining its share. To obtain consistent shares all $\Lambda_j$, $j \in P$ refer to the same execution of the operation $\Lambda$. Assuming a probability distribution on $\Omega$, the secret $S$ and the shares $\Lambda_j$ become random variables. A secret sharing scheme is called **perfect** if:

1. Any set of qualified participants $X \in \Gamma$ can uniquely determine the secret $S$, i.e. $H(S|\Lambda_j : j \in X) = 0$. 

2. None of the subsets \( X \subseteq \mathcal{P}, X \notin \Gamma \) can get information about the secret \( S \), i.e. \( H(S | \Lambda_j : j \in X) = H(S) \).

When \(|\mathcal{P}| = m\) and \( \Gamma = \{ B \subseteq \mathcal{P} : |B| \geq t \} \) the secret sharing scheme is called a \((t, m)\)-threshold scheme.

### 3 A Model for Quantum Secret Sharing Schemes

In this Section, we provide a formal definition of a secret sharing scheme based on quantum entropies. In a quantum secret sharing protocol, a dealer \( D \) wants to share a quantum state \(|X\rangle\) with a set of players \( \mathcal{P} \) according to a given access structure \( \Gamma \subset 2^{\mathcal{P}} \). The access structure \( \Gamma \) is a family that lists all the subsets of players that can recover the quantum secret \(|X\rangle\).

In our model, the quantum secret \(|X\rangle\) is chosen from a set of possible quantum secrets \( \mathcal{X} = \{ |X_1\rangle, |X_2\rangle, \ldots, |X_n\rangle \} \). The \textit{a priori} probability that the secret \(|X_i\rangle\) is chosen is \( p_i \). The quantum secret \( S \) can thus be represented by the quantum mixture:

\[
\rho_S = p_1|X_1\rangle\langle X_1| + p_2|X_2\rangle\langle X_2| + \ldots + p_n|X_n\rangle\langle X_n|.
\]

We assume the states \(|X_i\rangle\) to be pure states. The set of possible quantum shares given to a player \( P \in \mathcal{P} \) and any quantum state that he may possess are, for simplicity of notation, also denoted by \( P \). Its density matrix is represented by \( \rho_P \).

Each quantum secret is assumed to lie in a \( n \)-dimensional Hilbert space \( \mathcal{H}_S \). We will model the shares of the players by quantum systems. The Hilbert space in which the share of player \( i \) lives is denoted by \( \mathcal{H}_i \). If \( A \) is a subset of \( \mathcal{P} \), then we will denote the Hilbert space that describes the shares of players in \( A \) by \( \mathcal{H}_A = \bigotimes_{a \in A} \mathcal{H}_a \). Let us introduce a reference system \( R \) with Hilbert space \( \mathcal{H}_R \) and a purification, denoted \( |SR\rangle \in \mathcal{H}_S \otimes \mathcal{H}_R \): i.e., after tracing out \( R \), one recovers \( \rho_S \) [11]. A distribution of shares is given by a completely positive map

\[
\Lambda_D : S(\mathcal{H}_S) \rightarrow S(\mathcal{H}_1 \otimes \ldots \otimes \mathcal{H}_m)
\]  

(2)

where \( S(\mathcal{H}_A) \) represents the state space of the system \( A \). Note that by the Stinespring dilation theorem we can always make \( \Lambda_D \) an isometry (as we shall henceforth assume to be the case), by adding a player \( P_{m+1} \) [11] (and trivially extending the access structure). We denote by \(|RP_1\ldots P_m\rangle\) the state of the quantum system \( RP_1\ldots P_m \) after applying \( \Lambda_D \) to \( S \) (and the identity to \( R \)). We denote by \( A \), both a given subset of players \( A = \{ P_1, \ldots, P_j \} \subseteq \mathcal{P} \) and the quantum shares which are in the possession of those respective players. The set of all the quantum shares which are distributed to the players in \( \mathcal{P} \) is denoted by \( Y \).

**Definition 1** Let \( R \) be a reference system such that \( SR \) is in a pure state. A quantum secret sharing protocol realizing an access structure \( \Gamma \) is a complete positive map which generates quantum shares \( \{ P_1, \ldots, P_m \} \) from a quantum
secret $\rho_S = p_1|X_1\rangle\langle X_1| + p_2|X_2\rangle\langle X_2| + \ldots + p_n|X_n\rangle\langle X_n|$, and distributes these shares among a set of players $\mathcal{P}$, $|\mathcal{P}| = m$ such that:

1. For all $A \in \Gamma$ we have that $I(R : A) = I(R : S)$, or equivalently, as proved in [17], for $A \in \Gamma$ there exists a completely positive map $T_A : \mathcal{H}_A \rightarrow \mathcal{H}_S$ such that

$$\text{id}_R \otimes T_A : S(\mathcal{H}_R \otimes \mathcal{H}_A) \rightarrow S(\mathcal{R} \otimes \mathcal{H}_S)$$

$$\rho_{RA} \mapsto |RS\rangle \rangle \quad (3)$$

2. For all $A \notin \Gamma$ we have that $I(R : A) = 0$.

The requirement 1 means that the entanglement between the reference state and the secret is preserved when it is recovered by authorized players (recoverability requirement). Actually, we remark that the equality $I(R : A) = I(R : S)$ is equivalent to saying that the coherent information $I_c = S(A) - S(RA)$ equals the entropy of the secret $S(S)$. In [17], it was proven that this condition is necessary and sufficient for quantum error correction. In our case, this means that an authorized group can reconstruct the secret exactly. Consequently, our requirement 1 implies a relation between quantum error correcting codes and quantum secret sharing schemes. More in particular, this means that one can see the mapping $\Lambda_D$ followed by restricting to the systems $A$ as a quantum noisy channel and the recovery process as quantum error correction.

The requirement 2 means that unauthorized groups cannot recover any state which is correlated to $R$, and consequently with $S$ (secrecy requirement). Note that monotonicity is also naturally embedded in this definition. We remind the reader that the second requirement means that the state of the system $AR$ is a product state, hence $A$ and $R$ are independent. Consequently, the relative entropy $S(S|A)$ is equal to $S(S)$.

In the next Section we prove that, in contrast to classical schemes, the recoverability requirement implies the secrecy requirement in some quantum secret sharing schemes.

Example 2 We briefly illustrate our definition for the case of the $(2, 3)$ threshold secret sharing scheme mentioned in [1]. For sake of clarity, we repeat the scheme here. The secret is an arbitrary three dimensional quantum state, i.e. $\rho_S = 1/3 \sum_{i=0}^{2} |i\rangle\langle i|$. The encoding scheme that encodes the shares for the different players is given by an isometry $U_S : \mathbb{C}^3 \rightarrow \mathbb{C}^3 \otimes \mathbb{C}^3 \otimes \mathbb{C}^3$ mapping

$$U_S : \alpha|0\rangle + \beta|1\rangle + \gamma|2\rangle \mapsto \alpha(|000\rangle + |111\rangle + |222\rangle)$$

$$+ \beta(|012\rangle + |120\rangle + |201\rangle)$$

$$+ \gamma(|021\rangle + |102\rangle + |210\rangle)$$

We note that the operator $U_S$ induces a completely positive map $\Lambda_D$ on $\mathcal{M}_3$. As $S$ is a completely mixed state, its purification on $\mathbb{C}^3 \otimes \mathbb{C}^3$ (entanglement with
the reference system) looks as follows $|RS\rangle = 1/\sqrt{3} \sum_{i=0}^{2} |i\rangle \otimes |i\rangle$. The system $RA$ (for $A = P$) can then be described as follows,

$$|RA\rangle = (1 \otimes U_S)|RS\rangle$$

$$= \frac{1}{3} (|0000\rangle + |0111\rangle + |0222\rangle + |1012\rangle + |1120\rangle + |1201\rangle + |2021\rangle + |2102\rangle + |2210\rangle)$$

It follows immediately that $I(R : S) = 2 \log 3$. When we take $A = 1, 2$, i.e. $A$ is an authorized set, it readily follows from the previous equation that $S(A) = 2\log 3$ and $S(RA) = \log 3$. Hence, as $I(R : A) = 2\log 3$, the recoverability requirement is satisfied. If on the other hand $A = 1$, i.e $A$ is not authorized, then it follows that the system $RA$ is in the product state $\frac{1}{3} 1 \otimes \frac{1}{3} 1$. Hence the secrecy condition is satisfied.

We note that the quantum entropy of the mixed state $\rho_S$ can be understood as the minimum number of qubits necessary to faithfully store the quantum secret (see [12]). The same applies for the quantum mixtures representing the quantum shares of the players.

A fundamental issue when dealing with secret sharing schemes is the amount of data that must be given to the set of players. The smaller the amount of data given to the set of players the better. This issue becomes even more important when dealing with quantum secret sharing. As quantum data is expensive and hard to deal with, it would be desirable to use as little quantum data as possible in order to share an unknown quantum state. Therefore, the analysis of the size of shares in quantum secret sharing schemes is an important research subject [18]. Based on the model introduced earlier and on classical equivalents [8], we define two important quantities related to the size of the shares in a quantum secret sharing scheme.

**Definition 3** The quantum information rate of a secret sharing scheme which shares a quantum secret state $S$ with a set of players $P$ realizing an access structure $\Gamma$ is given by the following expression:

$$r = \frac{S(S)}{\max_{X \in P} S(X)}.$$  

The average quantum information rate of a secret sharing scheme which shares a quantum secret state $S$ with a set of players $P$ realizing an access structure $\Gamma$ is given by the following expression:

$$\bar{r} = \frac{S(S)/|P|}{\sum_{X \in \Gamma} S(X)}.$$  

We shall demonstrate how quantum information theoretical tools can be used to prove lower bounds on the size of the quantum shares in a quantum secret sharing scheme.

## 4 Relation between the Recoverability and Secrecy Requirement

In this section, we prove that the recoverability requirement as stated in the last section, implies the secrecy requirement for some quantum secret sharing...
schemes. This result means that, for some access structures, if an authorized set
of players is able to recover a quantum secret at all, the unauthorized players
have no information about the secret. This is a consequence of the no-cloning
theorem and stands in sharp contrast with classical secret sharing schemes.

We first introduce the notion of coexistence. We say that a set of shares
$A$ coexists with a secret $S$ if there exists a completely positive map $T$ from
$A' = P \setminus A$ to the system $S$ such that the secret $S$ can be recovered. More
precisely, this is given by a completely positive map $T$ such that

$$\text{id}_R \otimes T : |RA'\rangle \mapsto |RS\rangle.$$ (4)

In the following proposition (which was first observed by Gottesman [4]), we
prove that if a quantum state $A$ can coexist with the quantum secret $S$, then $A$
should have no correlation with $S$, that is $A$ cannot be used to recover $S$.

**Proposition 4** Given a quantum secret $\rho_S = p_1 |X_1\rangle\langle X_1| + p_2 |X_2\rangle\langle X_2| + \ldots + p_n |X_n\rangle\langle X_n| and a reference system $R$ such that $RS$ is in a pure state. If $A$ can
coexist with the quantum secret $S$, then $I(R : A) = 0$, i.e. $RA$ is in a product
state.

**Proof.** From the strong subadditivity property of quantum entropies, it follows
that

$$I(A : R) \leq I(A : SR).$$

On the other hand we have

$$I(A : SR) = S(A) + S(SR) - S(SRA)
\leq S(A) + S(RS) - S(A) + S(RS).$$

The last inequality follows from the Araki-Lieb inequality. Since $RS$ is in a pure
state it follows that: $S(RS) = 0$, and hence that $I(A : R) \leq 0$. Since the mutual
quantum information is non-negative the proposition follows.

**Theorem 5** For quantum secret sharing schemes where unauthorized sets of
players are the complement of authorized sets the recoverability requirement im-
plies the secrecy requirement.

**Proof.** In quantum secret sharing schemes where unauthorized sets of players
are the complement of authorized sets, all the quantum states in possession of
unauthorized sets of players coexist with the secret, since it can be recovered
by the authorized players. Therefore, from Proposition 4, we know that there is
no correlation between the quantum states of unauthorized sets of players and
the secret.
5 A Lower Bound on the Size of the Shares

In this section we give a proof that the size of the shares in a quantum secret sharing scheme must be as large as the size of the secret itself. This theorem was first proved in [4]. However, our proof is based on quantum entropies and it is simpler than the original one: it follows from the subadditivity property of quantum entropies [10][11].

Theorem 6 In any quantum secret sharing scheme realizing an access structure \( \Gamma \) for any subsets of players \( A \) and \( B \) such that \( A, B \notin \Gamma \) but \( A \cup B \in \Gamma \) it holds that \( S(A) \geq S(S) \) where \( S \) is the secret being shared.

Proof. From the fact that \( A \cup B \in \Gamma \) and Def. 1 we have that
\[
S(AB) - S(RAB) = S(R).
\]
Applying the Araki-Lieb inequality to \( S(RAB) \), we get
\[
S(AB) - S(RA) + S(B) \geq S(R).
\]
Since \( I(A : R) = 0 \), it follows that \( S(RA) = S(A) + S(R) \), and this together with the last inequality gives us:
\[
S(AB) - S(A) + S(B) \geq 2S(R).
\]
Using the subadditivity property and the fact that \( S(R) = S(S) \), it follows that
\[
S(B) \geq S(S)
\]
which proves the theorem.

From Definition 3 and Theorem 6, Corollary 7 follows:

Corollary 7 The quantum information rate and the average quantum information rate of a secret sharing scheme are lower bounded by 1.

Another concept closely related to quantum secret sharing is the quantum Vernam cipher, introduced by Leung in [19]. In a quantum Vernam cipher, a sender, Alice, wants to send a quantum state to a recipient, Bob, such that an eavesdropper, Eve, when intercepting this secret quantum state has no way to get any knowledge on it. To perform so, they share in advance entangled states and use it as a quantum key. So, in a quantum Vernam cipher, the key and the message are quantum states. Actually, the quantum Vernam cipher is an implementation of a quantum secret sharing scheme. If Alice’s part of the key is represented by the quantum system \( A \), Bob’s by the quantum system \( B \), the encrypted message is represented by the quantum system \( M \), and the quantum cleartext resides in the secret system \( S \), the quantum Vernam cipher can be described by the following access structure \( \Gamma = \{ (A, M), (B, M) \} \). Therefore, the following corollary holds.
Corollary 8  In a quantum Vernam cipher the size of the key is as large as the size of the message to be transmitted.

We remark that although the dimension of the quantum keys in a quantum Vernam cipher is as large as the dimension of the quantum message, the quantum scheme presents an advantage over its classical counterpart: the quantum keys can be recycled [19].

6 Conclusions

We introduced a quantum information theoretical model for quantum secret sharing schemes. This model provided new insights into the theory of quantum secret sharing. We proved that the recoverability requirement implies the secrecy requirement for a class of quantum secret sharing schemes by giving an information theoretical argument for Gottesman’s result that the complement of an authorized set is unauthorized. Also, we gave a shorter proof of his theorem that the size of the shares in a quantum secret sharing scheme must be as large as the secret itself. Additionally, we proved that the size of a key in a quantum Vernam cipher must be as large as the message itself.

It is an interesting open problem to prove better lower bounds on the information rate for specific access structures different from threshold schemes.

After this work was concluded, we were informed that the lower bound on the size of shares of quantum secret sharing schemes has been proved in [20] by using different methods.

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