Forecasts for CMB $\mu$ and $i$-type spectral distortion constraints on the primordial power spectrum on scales $8 \lesssim k \lesssim 10^4 \, \text{Mpc}^{-1}$ with the future Pixie-like experiments

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Abstract. Silk damping at redshifts $1.5 \times 10^4 \lesssim z \lesssim 2 \times 10^6$ erases CMB anisotropies on scales corresponding to the comoving wavenumbers $8 \lesssim k \lesssim 10^4 \, \text{Mpc}^{-1}$ ($10^5 \lesssim \ell \lesssim 10^8$). This dissipated energy is gained by the CMB monopole, creating distortions from a blackbody in the CMB spectrum of the $\mu$-type and the $i$-type. We study, using Fisher matrices, the constraints we can get from measurements of these spectral distortions on the primordial power spectrum from future experiments such as Pixie, and how these constraints change as we change the frequency resolution and the sensitivity of the experiment. We show that the additional information in the shape of the $i$-type distortions, in combination with the $\mu$-type distortions, allows us to break the degeneracy between the amplitude and the spectral index of the power spectrum on these scales and leads to much tighter constraints. We quantify the information contained in both the $\mu$-type distortions and the $i$-type distortions taking into account the partial degeneracy with the $y$-type distortions and the temperature of the blackbody part of the CMB. We also calculate the constraints possible on the primordial power spectrum when the spectral distortion information is combined with the CMB anisotropies measured by the WMAP, SPT, ACT and Planck experiments.

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1 The spectrum of CMB

In the early Universe, at redshifts $z \gg 2 \times 10^6$, there is almost perfect thermal equilibrium between photons and electrons/baryons which maintains the spectrum of the cosmic microwave background (CMB) to be a blackbody spectrum even in the presence of enormous energy injection such as during electron-positron annihilation. This prediction of the standard big bang cosmological model was confirmed by the Far Infrared Absolute Spectrophotometer (FIRAS) instrument on the Cosmic Background Explorer satellite (COBE) [1] which found that the CMB is indeed blackbody to high precision. If there is any energy injection into (or cooling of) the primordial plasma at $z \gtrsim 2 \times 10^6$, Compton scattering is able to very quickly redistribute this excess (or deficit) of energy over the entire spectrum of photons restoring the equilibrium Bose-Einstein distribution [2] with chemical potential parameter $\mu$ and occupation number $n(x) = 1/(e^{x+\mu} - 1)$, where $x = h\nu/k_BT$, $h$ is Planck’s constant, $k_B$ is Boltzmann’s constant, $\nu$ is the photon frequency and $T$ is the temperature of photons and baryons. The chemical potential is in turn driven to zero by photon production [2] by bremsstrahlung and, more importantly for a low baryon density Universe such as ours, by double Compton scattering [3]. Electrons are also maintained at the equilibrium Maxwellian distribution by Compton scattering with the photons [4, 5] whose number density exceeds that of the electrons by a factor of $\sim 10^9$. Coulomb collisions efficiently maintain equilibrium between electrons and ions in the entire redshift range of interest to us and they can be assumed to have the same temperature, defined by the photon spectrum[4, 5], Eq. A.1.

At redshifts $z \lesssim 2 \times 10^6$, bremsstrahlung and double Compton scattering become inefficient in creating photons, however Compton scattering is still able to maintain kinetic equilibrium (Bose-Einstein spectrum) until $z \approx 2 \times 10^5$. This, therefore, defines the era where it is possible to create $\mu$-type distortions with the $\mu$ parameter related to the fractional energy $Q (\equiv \Delta E/E_\gamma$, where $\Delta E$ is the energy density going into the spectral distortions and $E_\gamma = a_R T^4$ is the energy density of radiation and $a_R$ is the radiation constant) injected into the radiation by[2, 6] $\mu = 1.4Q$. To calculate the $\mu$-type distortions it is necessary to calculate precisely the suppression of $\mu$ behind the blackbody surface at $z \approx 2 \times 10^6$. This is of course possible with the numerical codes such as CosmoTherm1 [7] and KYPRIX[8], the former code includes precise calculation of distortions arising from the energy injection due to Silk damping. Sunyaev and Zeldovich [2] found an analytic solution for the suppression factor or blackbody visibility $e^{-T(z)}$ and this solution was recently made even more precise [9], allowing a fast and accurate computation of $\mu$-type distortions. These analytic solutions

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1www.chluba.de.CosmoTherm
and the recipe for using them are given in Appendix A. COBE 95% confidence level limit on the μ parameter is μ < 9 × 10^{-5} [1].

At redshifts z ≤ 2 × 10^3, Compton scattering is not sufficient to maintain a Bose-Einstein spectrum in the presence of energy injection and is able to move the spectrum only partially towards the equilibrium creating intermediate or i-type distortions at redshifts z ≥ 1.5 × 10^4. The distortions in this epoch must be calculated numerically by solving the Kompaneets equation [10]. Numerical studies of Kompaneets equation have been performed by many authors [6–8, 11–13]. Recently a complete set of numerical solutions (or Green’s functions) were calculated in Ref. [14] and the results are publicly available. The recipe for using these results to calculate the i-type part of spectral distortions for a general energy injection scenario is given in Appendix A. We refer to [14] for a detailed discussion of the i-type distortions and how they can help distinguish between different energy injection scenarios, for example energy injection rate which is exponential in redshift such as particle decay, and that which is a power law such as Silk damping. We should emphasize that an i-type component is inevitable for power law energy injection such as Silk damping (which itself is unavoidable) while a particle decay can happen entirely in the μ epoch leading to negligible i-type distortions. Thus the presence of absence of i-type distortions together with the shape of the i-type distortions is a powerful discriminant for different energy injection mechanisms.

At redshifts z ≤ 1.5 × 10^4, comptonization is minimal, and the solution for the distortions is given by a y-type distortion [15], which are also created at lower redshifts when the CMB photons are scattered in the clusters of galaxies by hot electrons. The y-type distortions are expected to be dominated by low redshift contributions coming from the epoch of reionization, with y parameter (see Eqs. 3.1.A.8) given by y ~ 10^{-7}, and warm hot intergalactic medium [14, 16–23] with y ~ 10^{-6}–10^{-7}. The y-type distortions are therefore hard to predict and must be fitted as a free parameter during the Fisher matrix analysis. COBE 95% limit on the y parameter is y < 1.5 × 10^{-5} [1]. The low redshift contributions, since they originate and are dominated by the collapsed structures are naturally very inhomogeneous, unlike high redshift contributions before reionization which are homogeneous to high accuracy. Thus, a future experiment such as Cosmic Origins Explorer (COrE) [24] may be able to detect compact sources of y-type distortion and thus help estimate and clean the low redshift average y-type distortion contribution.

There are spectral distortions, other than y, μ and i-type, which are created in the CMB, for example, recombination lines from the epoch of recombination [25–30] and in CMB anisotropies from resonant scattering of the CMB in metals lines during reionization and later [31], which we will not discuss here. We refer to a recent review [32] for a more complete discussion of the various spectral distortions in the CMB.

2 Spectral distortions from Silk damping: Observing 17 e-folds of inflation

Photons diffusing through the primordial plasma erase perturbations on small scales [37–39] and this effect, known as Silk damping, has already been observed in the CMB anisotropies by the Atacama Cosmology Telescope[40] (ACT), the South Pole Telescope[41] (SPT), and now the Planck experiment [42] on scales up to k ≈ 0.2Mpc^{-1}, which is also the damping scale at z ≈ 1200, where the anisotropies are suppressed but not completely erased. Taking into account that the largest scale we can observe today is the horizon scale, k ≈ 2.2×10^{-4}, CMB anisotropies are giving us a view of inflation corresponding to ~ 6.8 e-folds. The wavenumbers corresponding to the photon diffusion length are k_D ≈ 1.1 × 10^4Mpc^{-1} (or multipole ℓ ≈ 10^8, 17.7 e-folds to horizon size today) at z = 1.95 × 10^6 and k_D ≈ 8Mpc^{-1} (ℓ ≈ 10^8) at z = 1.5 × 10^4. On these very small scales the anisotropies are almost completely erased from the CMB and are therefore unobservable in the CMB anisotropy power.
Figure 1. Power which disappears from the anisotropies appears in the monopole as spectral distortions. CMB damped and undamped power spectra were calculated using analytic approximations [33–36]. Scale range probed by the CMB anisotropy experiments such as COBE-DMR, WMAP, Planck, SPT and ACT is marked by the shaded region on the left side of the plot. Spectral distortions probe much smaller scales up to the blackbody photosphere boundary at \( \ell \sim 10^8 \).

The energy stored in the perturbations (or the sound waves in the primordial radiation pressure dominated plasma) on the dissipating scales, however, does not disappear but goes into the monopole spectrum creating \( y, \mu \) and \( i \)-type distortions, see Fig. 1. This effect was estimated initially by Sunyaev and Zeldovich [2] and later by Daly [43] and Hu, Scott and Silk [44]. Recently, the energy dissipated in Silk damping and going into the spectral distortions was calculated precisely in [45], correcting previous calculations and also giving a clear physical interpretation of the effect in terms of mixing of blackbodies [45, 46]. The calculations in [45] showed that photon diffusion just mixes blackbodies and the resulting distortion is a \( y \)-type distortion which can comptonize into \( i \)-type or \( \mu \)-type distortion, depending on the redshift. We can write down the (fractional) dissipated energy (\( Q = \Delta E/E_\gamma \)) going into the spectral distortions as [45, 46]

\[
\frac{dQ}{dt} = -2 \frac{d}{dt} \int \frac{k^2 dk}{2\pi^2} P_\gamma(k) \left[ \sum_{\ell=0}^\infty (2\ell + 1) \Theta_\ell^2 \right] \approx -2 \frac{d}{dt} \int \frac{k^2 dk}{2\pi^2} P_\gamma(k) \left[ \Theta_0^2 + 3\Theta_1^2 \right],
\]

(2.1)

where \( \Theta_\ell(k) \) are the spherical harmonic multipole moments of temperature anisotropies of the CMB, \( t \) is proper time and \( P_\gamma(k) = \frac{4}{\sqrt{\Delta R_{+1.5}}} P_\xi \approx 1.45 P_\xi, \) \( P_\xi = (A_\xi/2\pi^2/k^3)(k/k_0)^{n_s-1+\frac{1}{2}dn_s/d\ln k/(\ln k/k_0)}, \) the amplitude of comoving curvature perturbation \( A_\xi \) is equivalent to \( \Delta R^2 \) in Wilkinson Microwave
Anisotropy Probe (WMAP) papers [49], and \( R_v = \rho_{\nu}/(\rho_{\nu} + \rho_{\gamma}) \approx 0.4 \), \( \rho_{\nu} \) is the initial neutrino energy density and \( \rho_{\gamma} \) is the initial photon energy density, but after electron positron annihilation [50], \( k_0^2 \) is the pivot point, \( n_s \) is the spectral index and \( d\eta_s/d\ln k \) is its running [51, 52]. The last approximate equality is valid in the tight coupling approximation when the energy in the multipole moments \( \ell \geq 2 \) can be neglected.

It is possible to evaluate the time derivative in Eq. 2.1 explicitly using the first order Boltzmann equation for photons, yielding an exact expression in terms of photon quadrupole, dipole and higher order multipoles and baryon peculiar velocity which is valid at all times [45, 46]. But in the redshift range of interest to us, \( z \geq 1.5 \times 10^4 \), the tight coupling solutions are quite accurate [35, 53], yielding the following expression for the energy injection rate [45, 54],

\[
\frac{dQ}{dz} = \frac{9}{4} \frac{d(1/k_D^2)}{dz} \int \frac{d^3k}{(2\pi)^3} P_i(k)k^2 e^{-2k^2/k_0^2},
\]

(2.2)

where \( k_D \) is the damping wavenumber given by [37–39, 55],

\[
\frac{1}{k_D^2} = \int_z^{\infty} dz \frac{c(1+z)}{6H(1+R)n_e\sigma_T} \left( \frac{R^2}{1+R} + \frac{16}{15} \right),
\]

(2.3)

where \( R \equiv \frac{3\rho_b}{4\rho_c} \), \( \rho_b \) is the baryon energy density, \( \sigma_T \) is Thomson cross section, \( n_e \) is the number density of electrons, \( c \) is the speed of light, and \( H \) is the Hubble parameter. In general the Eqs. 2.2 and 2.3 must be evaluated numerically. However in the radiation dominated epoch, \( R \ll 1 \) and for a power law initial power spectrum, \( d\eta_s/d\ln k = 0 \), we can evaluate the integrals analytically yielding,

\[
\frac{dQ}{dz} = \frac{3.25A_c}{k_0^{n_s-1}} \frac{d(1/k_D^2)}{dz} 2^{-(3+n_s)/2} k_D^{n_s+1} \Gamma \left( \frac{n+1}{2} \right).
\]

(2.4)

Once we know the energy injection rate, it is easy to calculate the \( \mu \)-type and \( i \)-type distortions using the method given in Appendix A. We should also mention that the spectral distortions from Silk damping may also permit us to constrain the primordial local type non-gaussianity on these very small scales [56, 57].

3 Fisher forecast results for Pixie-like experiments

We will now calculate the constraints we can put on the initial power spectrum using CMB spectral distortions. Although the spectral distortions are sensitive to cosmological parameters other than the power spectrum, as can be seen from the equations, these sensitivities are relatively milder and we know the other cosmological parameters to high accuracy from CMB anisotropy and other data [40, 41, 49, 58–67]. We will therefore fix all parameters, except for the power spectrum, to the following values for flat \( \Lambda \)CDM cosmology [49]: CMB temperature \( T_{\text{CMB}} = 2.725 \) K, baryon density parameter \( \Omega_b = 0.0458 \), cold dark matter \( \Omega_{cdm} = 0.229 \), Hubble constant \( h_0 = 0.702 \), helium fraction 0.24, effective number of neutrinos [68] 3.046.

We can write down the spectral distortion of CMB, \( \Delta I_\nu \), which will be measured by Pixie [69] as

\[
\Delta I_\nu = h'I_\nu + yI_\nu + I_\nu^{\text{damping}}(n_s, A_c, d\eta_s/d\ln k).
\]

(3.1)

The first term is the uncertainty in the temperature of the blackbody part of the spectrum which is not known a priori and must be fit simultaneously with the spectral distortions, second term is the
y-type distortion which has contributions from low redshift intergalactic medium and is therefore a free parameter. The last term is the $i$-type + $\mu$-type distortions from the dissipation of acoustic modes and is a function of the power spectrum which we parameterize by the amplitude, the spectral index and its running. The formulae for different terms in Eq. 3.1 are given in Appendix A. If there is new physics injecting energy, there will be additional terms added to the above distortion. For example, if there is decay of particles before $z = 2 \times 10^5$, it will create additional $\mu$-type distortions and a term $\mu I^0$ should be fitted with $\mu$ as a free parameter. Adding additional parameters will of course degrade the constraints on the primordial power spectrum and we will discuss it briefly below. We should also include cooling of CMB due to energy transfer to baryons which cool faster than radiation [7, 25, 26, 54], however, it depends only on cosmological parameters which we have assumed to be constant and therefore does not affect the Fisher matrix. The cooling must be included in a precise calculation using, for example, Markov chain Monte Carlo to explore the likelihood.

If $\Delta \nu$ is the spectral resolution of the experiment and $\delta I(\nu)$ is the noise in each channel, the Fisher matrix is given by (e.g. [70–72]),

$$F_{\alpha\beta} = \sum_j \frac{1}{\delta I(v_j)^2} \frac{\partial \Delta I_{\nu}}{\partial \theta_\alpha}(v_j) \frac{\partial \Delta I_{\nu}}{\partial \theta_\beta}(v_j),$$

(3.2)

where $v_j$ is the center frequency of each channel, $\theta_{\alpha,\beta} \in (t, y, n_{s}, A_{\zeta}, d n_{s}/d \ln k)$, and the sum is over all frequency channels which we take to be from 30 GHz to 500 GHz. The upper limit of the usable frequency range will depend on how well we can remove the foregrounds which become more problematic at higher frequencies. The residual foregrounds would need to be jointly fitted and marginalized over in the data analysis [1, 73]. In the analysis below we will assume that the foregrounds have been removed at required precision ($\sim \delta I$) using the frequency channels greater than 500 GHz which is the plan for the Pixie experiment [69]. In the Pixie proposal $\Delta \nu = 15$ GHz, with a total of 400 frequency channels extending to 6 THz and the hope is that the large number of channels at high frequencies would help nail down the foregrounds to the desired accuracy.

It is extremely important to study the impact the foregrounds have on the ability of Pixie-like experiments to detect spectral distortion but which is beyond the scope of present work. This paper is only a first step in quantifying the information content and the detectability of the spectral distortions with respect to constraining the primordial power spectrum on scales $8 \mathrm{Mpc}^{-1} \leq k \leq 10^3 \mathrm{Mpc}^{-1}$.

The covariance matrix is just the inverse of the Fisher matrix, $\text{cov}_{\alpha\beta} = [F^{-1}]_{\alpha\beta}$. If we are interested in only first $n$ parameters of the parameter vector $\theta$, we can marginalize over the rest of the parameters by writing the Fisher matrix as

$$\begin{pmatrix} A & B \\ B^T & C \end{pmatrix},$$

(3.3)

where the sub-matrix $A$ spans over the parameters we are interested in. The marginalized Fisher matrix is then given by $\tilde{F} = A - BC^{-1}B^T$. It can also be obtained (if the parameters are non-degenerate) by taking the rows and columns of the parameters we are interested in from the covariance matrix and inverting the resulting sub-matrix. If we want to fix some parameter at a particular value instead of marginalizing over it, we just eliminate the row and column for that parameter from the Fisher matrix. The marginalized $\nu - \sigma$ ellipsoids are then given by $\Delta \theta^T \tilde{F} \Delta \theta = \chi^2(\nu)$ where $\Delta \theta = \theta - \theta_{\text{fiducial}}$ and the Fisher matrix is also evaluated at the fiducial values of the parameters. For two parameters, $\chi^2(1) = 2.3, \chi^2(2) = 6.17$.

Let us first consider the constraints we can obtain from spectral distortions alone. For this we take the pivot point $k_0 = 42 \mathrm{Mpc}^{-1}$, which is approximately in the middle of the $i$-type dis-
Marginalized Fisher matrix constraints on amplitude and spectral index of primordial power spectrum defined with respect to the pivot point $k_0 = 42 \, \text{Mpc}^{-1}$. Left panel shows constraints for different spectral resolutions and sensitivities of Pixie-like experiments. The labels for different contours are resolution in units of GHz and $\delta I$ in units of $10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$. Right panel demonstrates degeneracies between different parameters for resolution 1 GHz and sensitivity $10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$. The curve labeled 'free' is the normal contour we expect marginalized over $y$ and $t$. If we ignore the information in the shape of the $i$-type distortions and consider only $\mu$-type distortions, as in studies so far, we get the curve labeled '$\mu$ only'. If we add an additional free parameter, $\mu$, which may arise from new physics such as decay of particles, we get the '$\mu$-free' curve. The curve labeled 'y-fixed' is the one we get if we assume we can predict and fix the $\mu$-type distortions from low redshifts.

Figure 2. Marginalized Fisher matrix constraints on amplitude and spectral index of primordial power spectrum defined with respect to the pivot point $k_0 = 42 \, \text{Mpc}^{-1}$. Left panel shows constraints for different spectral resolutions and sensitivities of Pixie-like experiments. The labels for different contours are resolution in units of GHz and $\delta I$ in units of $10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$, ($\Delta \nu, \delta I$). Right panel demonstrates degeneracies between different parameters for resolution 1 GHz and sensitivity $10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$. The curve labeled 'free' is the normal contour we expect marginalized over $y$ and $t$. If we ignore the information in the shape of the $i$-type distortions and consider only $\mu$-type distortions, as in studies so far, we get the curve labeled '$\mu$ only'. If we add an additional free parameter, $\mu$, which may arise from new physics such as decay of particles, we get the '$\mu$-free' curve. The curve labeled 'y-fixed' is the one we get if we assume we can predict and fix the $\mu$-type distortions from low redshifts.

tortions epoch, and constrain the amplitude and the spectral index on small scales (without running and large scale power spectrum constraints). We thus have the parameter vector $\theta = (n_s(k_0 = 42 \, \text{Mpc}^{-1}), A_s(k_0 = 42 \, \text{Mpc}^{-1}), t, y)$. We take the fiducial model $n_s(k_0 = 42 \, \text{Mpc}^{-1}) = 0.96, A_s(k_0 = 42 \, \text{Mpc}^{-1}) = 1.61 \times 10^{-9}$ and marginalize over $t$ and $y$. The resulting 68% contours are shown in Fig. 2 for Pixie [69] spectral resolution $\Delta \nu = 15\,\text{GHz}$ and sensitivity $\delta I(\nu) = 5 \times 10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$. We also show the contours obtained by increasing the spectral resolution to 1 GHz or/and sensitivity to $\delta I(\nu) = 10^{-26} \text{Wm}^{-2}\text{Sr}^{-1}\text{Hz}^{-1}$.

example, as a result of new physics such as decaying particles at $z \gtrsim 2 \times 10^5$. Note that we already have $y$ and $t$ free, so the constraints in the ’$\mu$ free’ contours are coming solely from the shape of the $i$-type distortions. The fact that we can get any constraints at all in this last case demonstrates that the $i$-type distortions are not completely degenerate with and cannot be mimicked by a combination of $y$, $\mu$ distortions and $t$ parameter. Finally the curve labeled ’$y$ fixed’ is obtained by eliminating the row and column corresponding to $y$ parameter from the Fisher matrix, thus fixing $y$. Comparing with ’$y$ free’ curve, this tells us how the presence of stars and galaxies (responsible for reionization, WHIM which give low redshift $y$-distortions) in the Universe limits our ability to measure the primordial power spectrum with the CMB spectral distortions.

The model considered above is perhaps the most general model we can hope to constrain with the spectral distortions. A very restrictive model on the other hand is a model with running spectral index, which applies to both the large scale anisotropies and the spectral distortion. For this model, we take the pivot point at $k = 0.002$ Mpc$^{-1}$ as in the WMAP papers. So we can use the Markov chains provided by the WMAP team and combine the CMB anisotropy data with the spectral distortions to see how the spectral distortions improve the constraints on the spectral index and its running. We use the Markov chain with the running spectral index and including ACT [40, 59] and SPT [41, 58] data provided by the WMAP team$^3$ [49] and use CosmoMC [74] to extract the covariance matrix for $n_s$, $d n_s/d \ln k$, $A_\zeta$ from it. We use two fiducial models, one with best fit WMAP values for the running model $n_s = 1.018$, $d n_s/d \ln k = -0.022$, $10^9 A_\zeta = 2.345$ and a second one with $n_s = 0.965$, $d n_s/d \ln k = 0.109 A_\zeta = 2.43$ to investigate how the change in fiducial model affects the constraints. We marginalize over $y$, $t$ and $A_\zeta$ and give the $1-\sigma$ contours for $n_s$, $d n_s/d \ln k$ for different spectral resolution and sensitivities for the Pixie-like experiments in Fig. 3. It is clear from this figure that spectral distortion detection would be able to improve the constraints in this simple but restrictive model. The constraints are sensitive to the fiducial model. This is expected since a fiducial model with negative running will give much smaller distortions compared to a model with zero running and the effect is amplified because of the long separation of scales between the pivot point a $k = 0.002$ Mpc$^{-1}$ and the relevant damping scales at $k \gtrsim 8$ Mpc$^{-1}$.

Planck CMB experiment’s cosmology results$^4$ are now available [42]. To estimate how an improvement in the large scale constraints on the primordial power spectrum affect the information coming from spectral distortions, we use the covariance matrix for baseline $\Lambda$CDM + running model provided by the Planck team[42] which in addition uses polarization data from WMAP [75] and high $\ell$ data from SPT [76] and ACT [77]. For combining Planck results with spectral distortions, we use the Planck mean fit parameters, $\Omega_0 h^2 = 0.02225$, $\Omega_{cdm} h^2 = 0.1205$, $h = 0.672$ and fiducial model with $n_s = 0.955$, $d n_s/d \ln k = -0.015$, $\ln(10^9 A_\zeta) = 3.12$, $k_0 = 0.05$ Mpc$^{-1}$. The results are plotted in Fig. 4. We again demonstrate the effect of fiducial model in the right panel of Fig 4 where the fiducial model is taken to be $n_s = 0.958$, $d n_s/d \ln k = 0$, $\ln(10^9 A_\zeta) = 3.1$, $k_0 = 0.05$ Mpc$^{-1}$ but the same Planck covariance matrix and other parameters as the left panel. By comparing Figs. 3 and 4 it is clear that there is additional and complementary information coming from the spectral distortions irrespective of the improvements in the large scale constraints from the CMB anisotropies.

4 Concluding remarks

We have calculated the Fisher matrix forecasts for the possible constraints on the primordial power spectrum using CMB spectral distortions arising from Silk damping. These results, of course, come

$^3$http://lambda.gsfc.nasa.gov/product/map/current/

$^4$Based on observations obtained with Planck (http://www.esa.int/Planck), an ESA science mission with instruments and contributions directly funded by ESA Member States, NASA, and Canada.
Figure 3. Constraints (68% confidence level) by combining WMAP+SPT+ACT [40, 41, 49, 58, 59] with spectral distortions from Pixie-like experiments for two different fiducial models. Labels are same as in Fig. 2. A fiducial model with zero running has more power on small scales and therefore gives tighter constraints.

Figure 4. Constraints (68% confidence level) obtained by combining the Planck+SPT+ACT+WMAP polarization [42, 49, 76, 77] with spectral distortions from Pixie-like experiments. Labels are same as in Fig. 2. Planck mean fit parameters are used for the fiducial model in the left panel of the plot. The right panel uses a fiducial model with zero running leading to stronger spectral distortions and tighter constraints.

with the usual caveats associated with the Fisher matrix analysis. In particular, the spectral distortions are a non-linear function of the primordial power spectrum parameters and are most likely non-Gaussian. In addition, adiabatic cooling of baryons [7, 54] reduces the signal and determines the smallest positive distortions that can be detected. Our analysis does not take this into account,
since the constant terms drop out in the derivatives used to calculate Fisher matrices. For the cosmological parameters preferred by Planck, the adiabatic cooling dominates over the Silk damping for $dn_{s}/d\ln k < -0.08$, for the total $i$-type + $\mu$-type distortion, which is ruled out at high significance and our Fisher matrix calculations are a good approximation within the allowed region. Our calculation represents the first step in quantifying the information stored in the spectral distortions, in particular the $i$-type distortions and paves the way for a more careful analysis using Markov chain Monte Carlo techniques in the future. Fisher matrix analysis, in particular, shows approximately how much improvement in constraints can be expected if the spectral resolution or the sensitivity of Pixie is made better and also shows how these constraints are sensitive to the choice of fiducial models. We should point out that since we are looking at broad features, which are already resolved at 15GHz resolution, the improvement in just the frequency resolution but keeping the total sensitivity summed over all channels constant does not help. However by adding more channels but maintaining the same sensitivity in each channel improves the constraints just because we have more data. Thus the contours marked (1,5) add 15 times more channels and are equivalent to an improvement in sensitivity of $\sqrt{15} \sim 4$ over Pixie fiducial proposal. The Pixie contours assume the sensitivity achieved for a 4-year mission specified in the Pixie proposal [69] when 30% of the time is used in doing absolute measurements of the CMB spectrum. An improvement in sensitivity of factor of 2–3 can be achieved by observing for more time (5–11 years). Additional improvement may be possible by using more detectors. The constraints in the curves marked (1,5) and (15,1) correspond to a 4 and 5 times more sensitivity respectively compared to Pixie proposal and are thus in principle achievable. Even the curves labeled (1,1), corresponding to 20 times more sensitivity compared to Pixie, are possible with present technology [24, 69, 78].

We have also shown that there is important information in the shape of the spectral distortions coming from the $i$-type distortions, which has so far been ignored in constraint calculations, although this information was available from the numerical computations of the spectral distortions. In particular, the $i$-type distortions are very important in breaking the degeneracy between the amplitude and the spectral index of the primordial power spectrum on the scales $8 \lesssim k \lesssim 10^{4} \text{ Mpc}^{-1}$. More important than the error bars on different power spectrum parameters is the fact that with spectral distortions we will be able to extend our knowledge of initial conditions to completely new scales separated by many orders of magnitude from the information available from CMB anisotropy and large scale structure data. In inflationary terms, this amounts to extending our view of inflation from $\sim 6 - 7$ e-folds at present to $\sim 17$ e-folds, which might well be a significant fraction of the full inflationary epoch.

A Recipe for the calculation of CMB spectral distortions for a general energy injection scenario

To calculate the spectral distortions arising from a given energy injection rate, $dQ/dz$, where $Q = \Delta E/E_{\gamma}$ is the fractional energy injected into the CMB, we must solve Kompaneets equation[10] including photon production and absorption due to bremsstrahlung and double Compton scattering [2]. The problem is however complicated by the fact that the electron temperature enters the partial differential equation describing the evolution of photon intensity $I_{\nu}$, or equivalently the photon occupation number $n(x) = c^{2}/(2h\nu^{3})I_{\nu}$, itself depends on the photon spectrum [4, 5]

$$T_{e}/T = \frac{\int (n + n^{2})x^{4}dx}{4 \int nx^{3}dx} \quad (A.1)$$
At high redshifts, when Compton scattering is able to establish Bose-Einstein spectrum, an analytic solution for the evolution of \( \mu \) parameter accurate to \( \sim 1\% \) can be found and is given by [2, 9]

\[
\mu = 1.4 \int_{z_{\text{max}}}^{z_{\mu}} dz \left( \frac{dQ}{dz} - \frac{dQ^{\text{cooling}}}{dz} \right) e^{-\mathcal{T}(z)}
\]  

(A.2)

where

\[
\mathcal{T}(z) = 1.007 \left[ \left( \frac{1 + z}{1 + z_{\text{dc}}} \right)^5 + \left( \frac{1 + z}{1 + z_{\text{br}}} \right)^{5/2} \right]^{1/2} + 1.007 \epsilon \ln \left[ \frac{1 + z}{1 + z_{\epsilon}} \right] + \sqrt{1 + \left( \frac{1 + z}{1 + z_{\epsilon}} \right)^{5/2}}
\]

(A.3)

\[
z_{\text{dc}} = \left[ \frac{25\Omega_c H(0)^2}{4C^2aC a_{\text{dc}}} \right]^{1/5}, \quad z_{\text{br}} = \left[ \frac{25\Omega_c H(0)^2}{4C^2aC a_{\text{br}}} \right]^{2/5}
\]

\[
z_{\epsilon} = \left[ \frac{a_{\text{br}}}{a_{\text{dc}}} \right] \left[ \frac{25\Omega_c H(0)}{2.958a_{\text{dc}}} \right]^{2/5}, \quad \epsilon = \left[ \frac{4C^2a_{\text{br}}a_{\text{dc}}}{25a_{\text{dc}}\Omega_c H(0)^2} \right]^{1/2}
\]

\[
z_{\text{dc}}' = \left[ \frac{3\Omega_c^{1/2} H(0)}{2.958a_{\text{dc}}} \right]^{1/3}, \quad z_{\text{br}}' = \left[ \frac{\Omega_c^{1/2} H(0)^2}{5.916a_{\text{dc}}} \right]^{3/5}
\]

\[
a_c = n_{e0}\sigma_T c \frac{k_B T_{\text{CMB}}}{m_e c^2}, \quad a_{\text{dc}} = n_{e0}\sigma_T c \frac{4\alpha_{\text{fs}}}{3\pi} \left( \frac{k_B T_{\text{CMB}}}{m_e c^2} \right)^2 g_{\text{dc}}(x_e) I_{\text{dc}}
\]

\[
a_{\text{br}} = n_{e0}\sigma_T c \frac{\alpha_{\text{fs}} n_{\text{B0}}}{(2\pi)^{1/2}} \left( \frac{k_B T_{\text{CMB}}}{m_e c^2} \right)^{-7/2} \left( \frac{h}{m_e c} \right)^3 g_{\text{br}}(x_e, T_e)
\]

\[
C = 0.7768, \quad I_{\text{dc}} \approx 25.976, \quad g_{\text{dc}} = 1.005 \quad \text{and} \quad g_{\text{br}} = 2.999,
\]

all quantities with subscript zero are evaluated at redshift \( z = 0 \), \( \alpha_{\text{fs}} \) is the fine structure constant and \( \Omega_c \) is the total radiation energy density parameter with relativistic neutrinos. The above equations assume that there is injection of only energy. If there is also significant injection of photons (other than bremsstrahlung and double Compton) then an additional term, \( -2.404 \frac{N}{z} \), must be added in the brackets in Eq. A.2, where \( N \) is the fractional change in the number density of photons. \( z_{\text{max}} \) should be taken to be sufficiently behind blackbody surface at \( z_{\text{dc}} \approx 1.96 \times 10^6 \), \( z_{\text{max}} = 5 \times 10^6 \) should be sufficient for most energy injection scenarios. \( z_{\mu} \) is the boundary of transition from \( \mu \)-type to \( i \)-type distortions and is discussed below. We have also accounted for the cooling of radiation because of energy transfer to baryons which cool faster than radiation with the expansion of the Universe and which has a simple expression before the start of the recombination [7, 25, 26, 54],

\[
\frac{dQ^{\text{cooling}}}{dz} = \frac{3}{2} \frac{k_B(n_{\text{H}} + n_{\text{He}} + n_e)}{a_{R}T^3(1 + z)}
\]

(A.5)

where \( n_{\text{H}} \) and \( n_{\text{He}} \) are the number densities of hydrogen and helium nuclei.

For the \( i \)-type distortions we must solve the Kompaneets equation numerically. It turns out that the Kompaneets equation can be cast entirely in terms of dimensionless variables using \( y_{\gamma} \) as the time variable,

\[
y_{\gamma}(z, z_{\text{inf}}) = -\int_{z_{\text{inf}}}^{z} \frac{k_B \sigma_T}{m_e c} n_e T \frac{dz}{H(1 + z)}
\]

(A.6)
Solving Kompaneets equation with the initial spectrum corresponding to a $y$-type distortion, it was found[14] that the spectrum starts deviating from $y$-type distortion at 1% level at $y_γ = 0.01$ and is with 1% of a $μ$-type distortion at $y_γ = 2$. We thus define the boundaries of the $i$-type epoch by $y_γ(0, z_{inj}) = 2$ and $y_γ(0, z_{inj}) = 0.01$. At $z > z_{μ} \approx 2 \times 10^5$ we have $μ$-type distortions and at $z < z_{γ} \approx 1.5 \times 10^4$ we have $y$-type distortions.

The total distortion, excluding $y$-type, is now given by,

$$\Delta I_c = \left[ \sum_i \frac{n_i}{Q_{ref}} \left( \frac{dQ}{dz} - \frac{dQ^{\text{cooling}}}{dz} \right) \frac{dz}{dy_i} \right] \frac{\delta y_i + \mu n_μ}{\delta y_{ref} + \mu n_μ},$$  \hspace{1cm} (A.7)

where all terms are evaluated at redshift $z_i^{inj}$ related to $y_γ = y_γ(0, z_{inj})$, the sum is over values of $y_γ$ finely sampled between 0.01 and 2, $n_i(y_γ)$ is the intermediate spectrum obtained by evolving $y$-type distortion with energy $Q_{ref}$ from $y_γ = 0$ to $y_γ$, with Kompaneets equation, $\delta y_{i+1} = \left( y_{i+1} - y_{i-1} \right)/2$. The $i$-type spectra, $n_i$, sampled at intervals $\delta y_i = 0.001$ for $y_γ < 1$ and $\delta y_i = 0.01$ for $1 < y_γ < 10$ for $Q_{ref} = 4 \times 10^{-5}$ are available at [http://www.mpa-garching.mpg.de/~khatri/idistort.html](http://www.mpa-garching.mpg.de/~khatri/idistort.html) along with a Mathematica code which implements the above recipe. The above formula simply calculates the energy injected in each small redshift interval and adds the appropriate distortion to the total. With the redshift/y$_γ$ bins defined above the accuracy of the final spectrum is $\sim 1\%$. The most time consuming part of the above calculation is the calculation of $y_γ(0, z_{inj})$ as a function of $z_{inj}$, but it can be stored and reused if the normal cosmological parameters are not changing significantly.

Finally, $y$-type, $μ$-type and $t$-type occupation numbers are$^5$ [2, 6, 15]

$$n_y = \frac{xe^x}{(e^x - 1)^2} \left[ x \left( \frac{e^x + 1}{e^x - 1} \right) - 4 \right]$$

$$n_μ = \frac{μe^x}{(e^x - 1)^2} \left[ x \left( \frac{e^x + 1}{e^x - 1} \right) - 4 \right]$$

$$n_t = \frac{xe^x}{(e^x - 1)^2} \left[ x \left( \frac{e^x + 1}{e^x - 1} \right) - 4 \right] \hspace{1cm} (A.8)$$

The recipe listed above is accurate to better than a 1% at $x \geq 0.4 - 0.5, ν > 25$. The corrections because of the cooling the baryons start becoming important and dominating at low frequencies ($x < 0.1, ν \leq 5$GHz) where bremsstrahlung tries to bring CMB spectrum in equilibrium with the slightly colder baryons [7]. This effect is not accounted for in the above recipe. For proposals like Pixie, which cover a frequency range of $\geq 30$GHz, the above algorithm is therefore adequate.

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$^5$These definitions are with respect to a reference blackbody which is defined by the photon number density, see [14, 46] for details.
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