Massive black hole pairs in clumpy, self-gravitating circumnuclear disks:
stochastic orbital decay

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Abstract: We study the dynamics of massive black hole pairs in clumpy gaseous circumnuclear disks. We track the orbital decay of the light, secondary black hole M •2 orbiting around the more massive primary at the center of the disk, using N-body/smoothed particle hydrodynamic simulations. We find that the gravitational interaction of M •2 with massive clumps M cl erratically perturbs the otherwise smooth orbital decay. In close encounters with massive clumps, gravitational slingshots can kick the secondary black hole out of the disk plane. The black hole moving on an inclined orbit then experiences the weaker dynamical friction of the stellar background, resulting in a longer orbital decay timescale. Interactions between clumps can also favor orbital decay when the black hole is captured by a massive clump that is segregating toward the center of the disk. The stochastic behavior of the black hole orbit emerges mainly when the ratio M •2/M cl falls below unity, with decay timescales ranging from 1 to 50 Myr. This suggests that describing the cold clumpy phase of the interstellar medium in self-consistent simulations of galaxy mergers, albeit so far neglected, is important to predict the black hole dynamics in galaxy merger remnants.

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MASSIVE BLACK HOLE PAIRS IN CLUMPY, SELF-GRAVITATING CIRCUMNUCLEAR DISKS: STOCHASTIC ORBITAL DECAY

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ABSTRACT
We study the dynamics of massive black hole pairs in clumpy gaseous circumnuclear disks. We track the orbital decay of the light, secondary black hole $M_\bullet$ orbiting around the more massive primary at the center of the disk, using N-body/smoothed particle hydrodynamic simulations. We find that the gravitational interaction of $M_\bullet$ with massive clumps $M_\Delta$ erratically perturbs the otherwise smooth orbital decay. In close encounters with massive clumps, gravitational slingshots can kick the secondary black hole out of the disk plane. The black hole moving on an inclined orbit then experiences the weaker dynamical friction of the stellar background, resulting in a longer orbital decay timescale. Interactions between clumps can also favor orbital decay when the black hole is captured by a massive clump that is segregating toward the center of the disk. The stochastic behavior of the black hole orbit emerges mainly when the ratio $M_\bullet/M_\Delta$ falls below unity, with decay timescales ranging from $\sim 1$ to $\sim 50$ Myr. This suggests that describing the cold clumpy phase of the interstellar medium in self-consistent simulations of galaxy mergers, albeit so far neglected, is important to predict the black hole dynamics in galaxy merger remnants.

Key words: black hole physics – galaxies: nuclei – hydrodynamics – methods: numerical

Online-only material: color figures

1. INTRODUCTION

Close massive black hole (MBH) pairs with separations $< 10$ pc are expected to form as a consequence of galaxy mergers during cosmic evolution (e.g., Begelman et al. 1980; Mayer et al. 2007; Chapon et al. 2013). When the galaxies involved in the merger are gas-rich, the pair is usually embedded in a gaseous circumnuclear disk (CND) guiding the BH inspiral down to (sub) parsec scales (Escala et al. 2005; Dotti et al. 2007, 2009; Mayer et al. 2007).

Massive gaseous CNDs form at the center of merging remnants, following gas inflows driven by gravitational torques (Di Matteo et al. 2007; Mayer et al. 2007, 2010; Beletsky et al. 2011). However, the same torques can also compress the gas and induce episodes of massive star formation (SF; i.e., merger-driven nuclear starbursts; Downes & Solomon 1998; Smith et al. 2007; Smirnova & Moiseev 2010). In star forming regions the interstellar medium (ISM) is expected to be clumpy on scales of a few to several tens of parsecs, these being the typical sizes of molecular clouds (MCs; Klessen et al. 2010). Gravitational fragmentation at scales of a few tens of parsecs, governed by the Toomre instability in a self-gravitating gaseous disk, is one of the processes that likely seeds MCs (e.g., Agertz et al. 2009a; Tasker & Tan 2009).

Previous investigations probed the BH pair dynamics assuming that the self-gravitating gas in the CND is warm enough to avoid evolving into an unstable inhomogeneous phase. However, a CND undergoing a starburst generally hosts a complex and inhomogeneous multi-phase ISM. Escala et al. (2005) briefly explored, in 3D simulations, the BH dynamics in inhomogeneous CNDs excited by an isothermal equation of state and noted that violent interactions with clumps can occur, but that in most cases the BHs end up at the center. On smaller scales ($< 0.1$ pc), Lodato et al. (2009) investigated the effects of disk instability and SF onto the evolution of BH binaries using time-dependent 1D models, finding that orbital decay is very inefficient when SF depletes the disk background gas. Although different in terms of both regime and technique, these two works suggest that it is not trivial to predict the outcome of the BH binary/pair shrinking process in a gaseous environment when the complexity of the ISM is somehow taken into account.

The relevance of a clumpy ISM to galaxy formation as a whole has recently grown following the discovery that galaxies at $z > 2$ are clumpy at relatively large scales. These clumps have typical mass $\gtrsim 10^5 M_\odot$ and size of $\sim 1$ kpc and are believed to be triggered by the Toomre instability in massive, rapidly accreting disks at high redshift (Genzel et al. 2006; Agertz et al. 2009b; Dekel et al. 2009; Ceverino et al. 2012). While we do not model such high-$z$ massive clumps in this work, our results may give a hint on the decay process of MBH pairs in such larger-scale clumpy high-$z$ galactic disks.

In this Letter, we compare the evolutions of MBH pairs in smooth and highly inhomogeneous CNDs by means of N-body/smoothed particle hydrodynamic (SPH) simulations. We explore the effect of the lumpiness of the ISM in an idealized and controlled way by varying the degree of energy dissipation in the gas. We choose this approach because modeling the multi-phase ISM properly is computationally demanding as it requires including gas cooling both in the optically thin and thick regime, SF, and feedback. Relatively low BH mass ratios, between 0.05 and 0.2, are explored in order to magnify the effect of the disk lumpiness on the dynamics of the light, secondary BH. We show that the behavior can be highly stochastic, often delaying but in some cases also favoring the pairing of the BHs. In Section 2 we describe the numerical simulations, and in Section 3 we present the results. Section 4 contains the discussion and our conclusions.
2. NUMERICAL SIMULATIONS

We perform a suite of numerical simulations to study the evolution of an MBH pair embedded in a gaseous CND which, in turn, is at the center of a stellar spheroid. All the simulations were run with the TreeSPH N-body code GADGET2 (Springel 2005). Our models resemble the inner region of the remnant of a merger that involved two galaxies with a central MBH each, in a fashion similar to Escala et al. (2005) and Dotti et al. (2007, 2009). The gaseous disk has a Mestel surface density profile with a scale length $R_0 = 100$ pc and a radial extent of ~150 pc. The vertical structure is initially Gaussian, with a scale height $z_d(R) = h R$ and aspect ratio $h = 0.05$. The gas has an initial uniform temperature $T_0 = 20,000$ K. The disk is embedded in a Plummer stellar spheroid that represents the innermost part of a bulge, with scale radius $r_s = 50$ pc and radial extent of ~500 pc. Among the different runs, we vary the mass of the spheroid $M_*$ and the mass of the disk $M_d$, but we fix the ratio $M_*/M_d = 5$, in fair agreement with observations (Downes & Solomon 1998). We place the first BH of mass $M_{\bullet 1} = 10^8 M_\odot$ at the center of the disk and we let the models relax for 10 Myr toward equilibrium. We assume a polytropic equation of state for the gas with adiabatic index $\gamma = 1.4$, in agreement with previous theoretical (Klessen et al. 2007; Mayer et al. 2007, 2010) and observational (Downes & Solomon 1998) works that studied the conditions of the central gas in ongoing mergers or merger remnants.

Then, we finally added the second BH of mass $M_{\bullet 2} = q M_{\bullet 1}$ at the initial separation $a_0 = 60$ pc from the central one. Both BHs are treated as collisionless particles. The orbit of the secondary BH is specified by the ratio $f$ between the radial and the azimuthal components of the initial velocity $v_0$, with the constraint $|v_0| = V_\text{c}(a_0)$, where $V_\text{c}(a_0)$ is the circular velocity in $a_0$. $f$ also specifies the initial eccentricity $e_0$ of the orbit, $e_0 \sim \sqrt{1 - 1/(1 + f^2)}$. All the models are composed of $2 \times 10^5$ SPH particles and $1 \times 10^6$ collisionless particles for the gaseous disk and the stellar spheroid, respectively. This corresponds to a mass resolution $m_p$ that varies between 500 and 2500 $M_\odot$, depending on the mass of the disk and the spheroid. The force resolution set by the gravitational softening is $\epsilon_g = 0.5$ pc for all the particles.

For each choice of parameters $M_d, q,$ and $f$, we initialize three sets of initial conditions. In the first one, which we will refer to as the “smooth” set, the secondary BH is added immediately after the 10 Myr relaxation phase. In the other two, we added the secondary BH after we forcefully make the disk Toomre unstable by cooling it during an additional relaxation phase via the phenomenological cooling term:

$$\Lambda_{\text{cool}} = -\frac{u}{t_{\text{cool}}}, \quad (1)$$

where $u$ is the specific internal energy of the gas and $t_{\text{cool}}$ is a constant cooling timescale. We chose different parameters to create two different environments: in the first case (“clumpy 1”), we further relaxed the disk for 2 Myr with $t_{\text{cool}} = 0.2$ Myr. The disk becomes violently unstable and fragments in many small and dense clumps that account for $\gtrsim M_d/2$. In the second case (“clumpy 2”) we used $t_{\text{cool}} = 1$ Myr for 10 additional Myr and we turn off the cooling locally for densities $> 5 \times 10^5$ H cm$^{-3}$. The disk has a more developed background component compared to “clumpy 1” models, with fewer, less concentrated clumps.

Table 1 lists all the simulations and summarizes the adopted parameters. The values of $t_{\text{cool}}$ for both “clumpy 1” and

| Label          | $M_d$ ($M_\odot$) | $q$ | $f$ | $e_0$ | $t_{\text{cool}}$ (Myr) |
|----------------|------------------|----|----|------|----------------------|
| q005f02LM      | $10^8$           | 0.05 | 0.2 | 0.2  | 1.0                  |
| q005f1LM       | $10^8$           | 0.05 | 1.0 | 0.7  | 1.0                  |
| q02f02SLM      | $10^8$           | 0.2  | 0.25| 0.25 | 1.0                  |
| q02f1LM        | $10^8$           | 0.2  | 0.2 | 0.9  | 1.0                  |
| q01f02HM       | $5 \times 10^8$  | 0.1  | 0.2 | 0.2  | 0.5                  |
| q01f2HM        | $5 \times 10^8$  | 0.1  | 0.2 | 0.9  | 0.5                  |
| q02f02HM       | $5 \times 10^8$  | 0.2  | 0.2 | 0.2  | 0.5                  |
| q02f2HM        | $5 \times 10^8$  | 0.2  | 0.2 | 0.9  | 0.5                  |

Notes.

a $q = M_{\bullet 2}/M_{\bullet 1}$, $M_{\bullet 1} = 10^7 M_\odot$.

b $e_0 \sim \sqrt{1 - 1/(1 + f^2)}$.

“clumpy 2” models are chosen to be comparable to the dynamical time of the disks at $\sim R_0$ to allow gravoturbulent fragmentation to set in and sustain. We keep the density switch for the cooling during “clumpy 2” simulations even after we inserted the secondary BH. We stress that Equation (1) represents a phenomenological way to create and maintain strong inhomogeneities in the CND.

3. RESULTS

3.1. Orbital Decay in a Smooth Disk: Overview

The secondary BH of all the “smooth” simulations moves toward the disk center on a typical timescale of ~10 Myr. This is shown in Figure 1, which compares the time evolution of the separation between the two BHs for all the corresponding “smooth,” “clumpy 1,” and “clumpy 2” simulations. The orbital decay of the secondary BH in the “smooth” disks is characterized by two phases. Initially, the BH induces a trailing hydrodynamical wake that, in turn, makes the orbit circularize (Dotti et al. 2007) because of conventional dynamical friction (DF; Chandrasekhar 1943; Ostriker 1999; Colpi et al. 1999). When the orbit is close to circular, the relative velocity between the BH and the gas becomes low. This causes a change in the orbital decay timescale. The BH induces a density wave perturbation, eventually amplified by the self-gravity of the disk that exerts a global torque on the perturber itself, initiating its rapid sinking toward the center. The latter phase resembles the regime of standard Type I planet migration (Lin & Papaloizou 1986). An aspect that has not been appreciated in the literature is that the secondary BH’s angular momentum loss occurs on an intrinsically shorter timescale during the latter phase, as shown in Figure 2.

Furthermore, while DF weakens at separations such that the enclosed mass within the pair’s orbit is $\sim M_{\bullet 1} + M_{\bullet 2}$, global torques continue to act as long as a sufficiently massive gaseous disk is present at large radii and the BH does not open a gap (del Valle & Escala 2012; Chapon et al. 2013). Finally, in addition to the disk contribution to the orbital decay, the secondary BH also suffers DF exerted by the stellar spheroid. However, the stellar torque is weaker than the torque that comes from the gaseous disk, which mainly drives the dynamical evolution of the pair.

3.2. BH Pair Evolution in a Clumpy Disk

The orbital evolution of the secondary BH in a clumpy disk is affected by the dynamical interaction with clumps acting as massive perturbers. Figure 3 shows the gas surface density
Figure 1. Time evolution of the BH separation for all the corresponding “smooth,” “clumpy 1,” and “clumpy 2” models. The top two rows show runs with $M_d = 10^8 \ M_\odot$, whereas the bottom two with $M_d = 5 \times 10^8 \ M_\odot$. For each pair of rows, the first and the second show runs with lower and higher $q$, respectively. The left and the right columns show runs with lower and higher $e_0$, respectively. Black continuous lines, red dashed lines, and blue dot–dashed lines show the BH separation of the “smooth,” “clumpy 1,” and “clumpy 2” models, respectively.

(A color version of this figure is available in the online journal.)

of the disk for the “clumpy 1” model of run q02f2HM. The disk is sprinkled with massive clumps and non-axisymmetric features that exert torques on the secondary BH. Figure 4 shows the normalized initial mass function (just after the relaxation phase) and the mass-size relation of the clumps of “clumpy 1” and “clumpy 2” models. We compare our data with the observed sample of MCs in the Galactic center taken from Oka et al. (2001). Although the Galactic center likely represents a less extreme environment than the inner region of a merger remnant that we aim at modeling, our models are in fair agreement with the observational data and are only weakly influenced by the value of $t_{cool}$ adopted in the relaxation phase.

Close encounters (at separation of $\sim 3$–$10$ pc) between the secondary BH and the massive clumps occur in both “clumpy 1” and “clumpy 2” models. We compare our data with the observed sample of MCs in the Galactic center taken from Oka et al. (2001). Although the Galactic center likely represents a less extreme environment than the inner region of a merger remnant that we aim at modeling, our models are in fair agreement with the observational data and are only weakly influenced by the value of $t_{cool}$ adopted in the relaxation phase.

Close encounters (at separation of $\sim 3$–$10$ pc) between the secondary BH and the massive clumps occur in both “clumpy 1” and “clumpy 2” models. BH–clump interactions act as gravitational slingshots, causing an exchange of orbital energy and angular momentum. These impulsive perturbations make the BH deviate from its original orbit, shifting its mean orbital radius either inward or outward. Gravitational slingshots delay the sinking of the secondary BH when they cause a temporary displacement of the BH orbit out of the disk. This happens both with the dense clumps of “clumpy 1” models and with the more diffuse ones of “clumpy 2” models, but preferentially for high-$e_0$ runs (e.g., run q005f01LM, q02f2LM, and q01f2HM).

After the interaction, the secondary BH moves on an eccentric orbit, tilted up to $\sim 15^\circ$–$40^\circ$ with respect to the original plane for both “clumpy 1” and “clumpy 2” models. The BH then spends most of the orbital period moving outside the disk, where it is mainly subjected to DF against the stellar background and the decay timescale becomes longer, in agreement with Escala et al. (2005). The actual value of the decay timescale can depend substantially on the density profile of the stellar spheroid. Sinking BHs can stall in constant density cores when they approach the core radius, as shown by Read et al. (2006).

Clumps have also an indirect retarding effect on the BH orbital decay, especially for eccentric orbits. They produce spiral arms that exert torques on the secondary BH and perturb the background component, stifling the coherence of the BH hydrodynamical wake that would lead to the circularization of the orbit and the subsequent efficient decay phase described in Section 3.1.

On the other hand, either the BH can be captured inside a massive clump, or a clump can be tidally heated and then disrupted and accreted by the orbiting BH during a close passage at low relative velocity. In both cases, the BH ends up embedded in a gaseous cloud bound to it. Although this cloud cannot be
actually accreted due to the lack of an accretion prescription in our simulations, it provides an higher effective mass that reduces the orbital decay timescale up to $\sim 2$ Myr (e.g., “clumpy 2” model of run q01f02HM and q02f02HM).

4. DISCUSSION AND CONCLUSIONS

We compared the orbital decay of an MBH pair in clumpy and inhomogeneous gaseous CNDs with that in smooth disks by means of idealized N-body/SPH simulations. We found that gravitational interactions between the BH and clumps do play a role in the dynamics of these pairs. Gravitational slingshots frequently perturb the orbit of the moving BH, stochastically increasing or decreasing the separation of the pair. Scattering between massive clumps and the secondary BH can eject the latter from the disk plane, having a net retarding effect on its orbital decay since in this case the dominant source of drag on the BH is the low density stellar background. We can estimate this orbital decay timescale $t_{\text{decay}}$ for a BH of mass $M_*$ in circular motion inside a Plummer bulge of total mass $M_\odot$ and scale radius $b$ using standard DF (Chandrasekhar 1943) as

$$t_{\text{decay}} \approx t_{\text{decay}}^{(\text{ext})} + t_{\text{decay}}^{(\text{int})},$$

where

$$t_{\text{decay}}^{(\text{ext})} \approx \frac{0.5305}{\ln \Lambda} \left( \frac{M_\odot}{M_*} \right) \left( \frac{r_{\text{in}}/b}{7/2} - 1 \right) t_{\text{dyn}},$$

$$t_{\text{decay}}^{(\text{int})} \approx \frac{0.2172}{\ln \Lambda} \left( \frac{M_\odot}{M_*} \right) t_{\text{dyn}},$$

is the decay time inside the core. As usual, $\ln \Lambda$ is the Coulomb logarithm and $t_{\text{dyn}} = 1/\sqrt{G\rho_0}$ is the dynamical time associated with central density $\rho_0$ of the Plummer profile. We estimate that $t_{\text{decay}}^{(\text{ext})}$ would vary typically between $\sim 20$ and $\sim 50$ Myr depending on the secondary-BH mass and the properties of the stellar spheroid that we assumed for our simulations. We used $\ln \Lambda \sim 5$ and $r_{\text{in}} = 60$ pc. We also checked that the decay timescale would vary in the range $\sim 20$–$80$ Myr if we adopted a (de-projected) Sérsic profile for the bulge with structural parameters (mass, effective radius, Sérsic index) ranging from those typical of massive classical bulges (e.g., Andromeda galaxy, Widrow et al. 2003; Courteau et al. 2011) to those of pseudobulges in late-type spirals (Fisher & Drory 2008; Fisher et al. 2009).

At the same time, the BH pairing can be accelerated either when the secondary is scattered toward the disk center or it manages to accrete a bound gaseous cloud around it. The second case occurs when the orbiting BH is captured inside a massive clump or when it rips mass off of a clump. Then, the higher total mass of the BH+cloud system, compared to $M_*$, can reduce the orbital decay timescale by up to $\sim 2$ Myr. However, BH accretion/feedback may influence the local distribution of mass around the secondary and, in turn, affect its sinking toward the center.

We estimate a threshold mass $M_*$ below which an $M_*$ BH orbiting in a disk with mass $M_\odot$ and scale radius $R$ will likely be scattered by clumps with $M_{\text{cl}} > M_*$. The BH and the clumps both migrate toward the center on a timescale $\tau_{\text{cl}}$ and $\tau_{\text{d}}$, respectively. We can envision that the BH moves in an almost steady-state environment in which massive clumps that dissolve close to the center are continuously replaced by new clumps on the same timescale, so that the number and the spatial distribution of massive clumps remain almost constant in time, on average. The BH moves radially with an effective relative velocity $v_\bullet \sim R/\tau_{\text{d}} \sim 10$ km s$^{-1}$ with respect to the clumps. This is a reasonable assumption when we focus on massive clumps only since they form because of the merging of smaller clumps during their migration on the timescale $\tau_{\text{cl}}$ and dissolve when they reach the center on the same timescale. Under these crude assumptions, we can imagine that the BH moves radially...
by a random walk and the condition for the scattering by clumps to be sizable reads:

\[ 1 \ll N \sim R^2 n_{cl} \sigma_{cl}^2, \]  

where \( n_{cl} \sim N_{cl}/V_{\text{disk}} \) is the number density of clumps in the disk volume \( V_{\text{disk}} \sim h R^3 \) with aspect ratio \( h \), and \( \sigma_{cl} \) is the cross section for a gravitational interaction with a clump. Massive clumps have a size \( \sim 5–10 \text{ pc} \), whereas the influence radius for interaction with the BH \( r_g \sim G M_{cl}/v_g^2 \gtrsim 10 \text{ pc} \) for \( M_{cl} \gtrsim 10^6 \ M_\odot \), therefore we can estimate \( \sigma_{cl} \sim \pi r_g^2 \). If we assume that \( \tau_{*} \) scales as for DF, \( \tau \sim (\Theta/\ln \Lambda)(M_r/M_\bullet) t_{\text{dyn}} \), where \( \Theta \sim 1 \), we can rewrite Equation (4) in terms of the BH mass \( M_\bullet \) under which scatterings are relevant:

\[ M_\bullet \lesssim M_\sim \left( \frac{N_{cl}}{4} \right)^{1/4} \left( \frac{\eta}{0.4} \right) \left( \frac{M_g}{10^9 M_\odot} \right) M_\odot, \]  

where we assumed \( h = 0.2 \) and \( \ln \Lambda = 5 \). For massive systems with \( M_g \sim 10^{10} M_\odot \), as observed at \( z \gtrsim 2 \) (Genzel et al. 2006, 2010), this would lead to a threshold mass even a factor of \( \gtrsim 10 \) higher, suggesting that, at least at high-\( z \), clump–BH interactions can be important for massive BHs, up to the mass of some of the largest found today in early-type galaxies (\( \sim 10^9 M_\odot \)).

Despite the fact that this is only an order-of-magnitude calculation, it is significant to note that the dynamics of virtually all the MBH binaries that space-born gravitational wave detectors such as eLISA would probe at \( z \gtrsim 3 \) (e.g., Amaro-Seoane et al. 2013) could be in principle affected by the clumpy environment in the early stages of pairing. Indeed, although our controlled experiments do not change the overall scenario according to which MBH pairs in gaseous environments tend to evolve toward tight binary, the even higher inhomogeneity of the ISM in gas-rich, high-redshift (\( z \gtrsim 2–3 \)) galaxies may reasonably lead to stronger interactions and ejections of MBHs outside the CND into the bulge, slowing down the decay by a factor \( \gtrsim 10 \) (R. Roškar et al., in preparation).

If ejections causing delayed decay are common even at low redshift, close MBH pairs at separations in the range 10–100 pc...
should not be rare. A first example of such a system might be the Seyfert galaxy NGC 3393 (Fabbiano et al. 2011).

Clearly, apart from being highly idealized, our models miss important physical ingredients (i.e., SF/feedback, BH accretion/feedback) that likely play a role in shaping the structure of the ISM. Forthcoming simulations incorporating such mechanisms will allow us to better assess the magnitude of the effects illustrated in this Letter. However, our work represents a first step toward elucidating the role of the structure of the ISM in the orbital decay of MBH pairs and binaries, these being pivotal sources of gravitational waves that will be targeted by future gravitational wave experiments.

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