HexGen and Hex2Spline: Polycube-based Hexahedral Mesh Generation and Spline Modeling for Isogeometric Analysis Applications in LS-DYNA

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Abstract In this paper, we present two software packages, HexGen and Hex2Spline, that seamlessly integrate geometry design with isogeometric analysis (IGA) in LS-DYNA. Given a boundary representation of a solid model, HexGen creates a hexahedral mesh by utilizing a semi-automatic polycube-based mesh generation method. Hex2Spline takes the output hexahedral mesh from HexGen as the input control mesh and constructs volumetric truncated hierarchical splines. Through Bézier extraction, Hex2Spline transfers spline information to LS-DYNA and performs IGA therein. We explain the underlying algorithms in each software package and use a rod model to explain how to run the software. We also apply our software to several other complex models to test its robustness. Our goal is to provide a robust volumetric modeling tool and thus expand the boundary of IGA to volume-based industrial applications.

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1 Introduction

Isogeometric analysis (IGA) [18] is a computational technique that integrates computer aided design (CAD) with simulation methods such as finite element analysis (FEA). It adopts the idea of design-through-analysis and enables direct analysis of the designed geometry. IGA has many advantages over traditional FEA such as exact, smooth geometric representation and superior numerical performance. Many software packages have been developed for IGA and there are mainly two directions. The first direction is to incorporate IGA with commercial finite element software. For example, the user subroutine UEL in Abaqus is used to define IGA elements and perform IGA in Abaqus [20,21]. The second direction is to develop open software packages. GeoPDEs [7] and igatools [27] work on NURBS (Non-Uniform Rational B-Spline) patches and provide a general framework to implement IGA methods. PetIGA [6] is another framework for IGA based on PETSc [3]. These software packages help boost the use of IGA in engineering applications. However, these packages are analysis-oriented. Currently, there is no available toolkit from the geometric modeling side, especially for volume parameterization. Therefore, the motivation of our work is to develop a geometric modeling tool to bridge the gap between geometric design and IGA analysis.

There are two major challenges in volume parameterization, control mesh generation and volumetric spline construction. A control mesh is generally an unstructured hexahedral (hex) mesh. Various strategies have been proposed in the literature [42] for unstructured hex mesh generation, such as grid-based or octree-based [32,33], medial surface [29,28], plastering [4,36], whisker weaving [10] and vector field-based methods [26]. These methods have created hex meshes for certain geometries, but are not robust and reliable for arbitrary geometries. The polycube-based method [37,14] is another appealing approach for all-hex meshing. A smooth harmonic field [39] was used to generate polycubes for arbitrary genus geometries. Boolean operations [23] were introduced to cope with arbitrary genus geometries. In [24], polycube structure was generated based on the skeleton branches of a geometric model. For these methods, the hex mesh quality is directly affected by the polycube structure and mapping distortion. Computing the polycube structure with a low-distortion mapping remains an open problem for arbitrary geometries. It is essential to improve the mesh quality for analysis by using methods such as pillowing, smoothing and optimization [31,43,44,30]. Pillowing is a sheet insertion technique that eliminates the situations where two neighboring hex elements share more than one face. Smoothing and optimization are used to further improve mesh quality by relocating vertices. In our software, we implement all the above mentioned methods for quality improvement.

The second ingredient in volume parameterization is volumetric spline construction. Several algorithms have been developed. The initial development of IGA was based on NURBS. Since it adopts a global tensor-product structure, it does not support local refinement. T-splines were initially developed to support local refinement for surfaces [35,34]. For solid models, the rational T-spline basis functions were used to convert unstructured hex meshes to solid T-splines [40]. Boolean opera-
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Tetrahedra and skeletons are used to create hex meshes, which are later converted to T-meshes. However, local refinement using T-splines requires extensive mesh manipulation to satisfy desired properties such as linear independence. Hierarchical spline is an alternative to T-spline to avoid this issue. Several techniques were then developed based on hierarchical B-splines (HB-splines) [11, 38], such as truncated hierarchical B-splines (THB-splines) [12, 13].

In this paper, we integrate our semi-automatic polycube-based mesh generation with the volumetric truncated hierarchical spline construction (TH-spline3D) [41] to perform IGA on volumetric models in LS-DYNA. The developed software packages feature: 1) semi-automatic polycube-based all-hex mesh generation from a CAD model; 2) TH-spline3D construction on hex meshes; and 3) Bézier extraction for LS-DYNA. We first overview the entire pipeline and explain the algorithm behind each module of the pipeline. We then provide various examples to explain how to run the software package. The main objective of the software package is to make our pipeline accessible to industrial and academic communities who are interested in real-world engineering applications. Our software favors versatility over efficiency. We will use a concrete example to go through all the steps in running the software. In particular, when user intervention is needed, we will explain details of the involved manual work.

The paper is outlined as follows. In Section 2, we overview the pipeline. In Section 3, we present the HexGen software package that conducts semi-automatic polycube-based all-hex mesh generation from a CAD file. In Section 4, we talk about Hex2Spline that constructs TH-spline3D on hex meshes and performs Bézier extraction for IGA in LS-DYNA. Finally, in Section 5, we demonstrate several complex models using our software package.

2 Pipeline design

Our pipeline incorporates two software packages to bridge the gap between the input CAD model with IGA in LS-DYNA, as shown in Fig. 1. We first use the HexGen software package to build an all-hex mesh for the CAD model. With a high quality all-hex mesh generated, we then use the Hex2Spline software package to construct TH-spline3D and extract Bézier information for LS-DYNA.

As shown in Fig. 1, we first generate a triangle mesh from the CAD model by using the free software LS-PrePost, which is a pre and post-processor for LS-DYNA. Then we use centroidal Voronoi tessellation (CVT) segmentation [17] to create a polycube structure [37], which is used to generate all-hex meshes via parametric mapping [9] and octree subdivision [44]. The quality of the all-hex mesh is evaluated to ensure that the resulting volumetric spline model can be used in IGA. In case that a poor quality hex mesh is generated, the program has several quality improvement functions, including pillowing [43], smoothing, and optimization [30]. Each quality improvement function can be run independently and one can use these functions to improve the mesh quality.
Once a good quality hex mesh is obtained, one can run the Hex2Spline program to build volumetric splines. In particular, TH-spline3D is built on the unstructured hex mesh and it also supports local refinement. The Hex2Spline can output the Bézier extraction information of TH-spline3D in a format that can be imported into LS-DYNA to perform IGA. Currently, our software only has a command-line interface (CLI). Users need to specify necessary options via the command line to run the software. In Sections 3 and 4, we will explain the algorithms implemented in each software as well as how to run the software in detail.

3 HexGen: Polycube-based hex mesh generation

Surface segmentation, polycube construction, parametric mapping, and octree subdivision are used together in the HexGen software package to construct an all-hex
HexGen and Hex2Spline: LS-DYNA mesh from the boundary representation given by the input CAD model. Given an triangle mesh generated from the CAD model, we first use surface segmentation to divide the mesh into several surface patches that satisfy the polycube structure constraints, which will be discussed in Section 3.1. Then, the corner vertices, edges and face information of each surface patch are extracted from the surface segmentation result to construct a polycube structure. Each component of the polycube structure is topologically equivalent to a cube. Finally, we generate the all-hex mesh through parametric mapping and octree subdivision. Quality improvement techniques can be used to further improve the mesh quality.

In this section, we introduce the main algorithm for each module of the HexGen software package, namely surface segmentation, polycube construction, parametric mapping and octree subdivision, and quality improvement. We use a rod model (see Fig. 1) to explain how to run CLI for each module. We also discuss the user intervention that is involved in the semi-automatic polycube-based hex mesh generation.

3.1 Surface segmentation

The surface segmentation in the pipeline framework is implemented based on CVT segmentation [17]. CVT segmentation is used to classify vertices into different groups by minimizing an energy function. Each group is called a Voronoi region \( \{V_j\} \) and it has a corresponding center called a generator \( \{g_j\} \). The Voronoi region and its corresponding generator are updated iteratively in the minimization process. In [17], each element of the surface triangle mesh is assigned to one of the six Voronoi regions \( \{V_j\}_{j=1}^6 \) based on the normal vector \( \mathbf{n}_{T(i)} \) of the surface, where \( T(i) \) is the \( i^{th} \) element of the surface triangle mesh \( T \). The initial generators of the Voronoi regions are the three principal normal vectors and their opposite normals \( (\pm X, \pm Y, \pm Z) \). Two energy functions and their corresponding distance functions are used together in [17]. The classical energy function and its corresponding distance function provide initial Voronoi regions and generators. Then the harmonic boundary-enhanced (HBE) energy function and its corresponding distance function are applied to eliminate non-monotone boundaries. The detailed definitions of energy functions and their corresponding distance functions are described in [17]. Here, we summarize the surface segmentation process in Surface Segmentation Algorithm.
Surface Segmentation Algorithm

Input: Manifold triangular surface mesh \( T \), weighting factor \( \omega \)
Output: Manifold triangular surface mesh including segmentation information

1: Calculate the unit normal \( \bar{\nu}_T^i \) of the triangle mesh
2: Use six principal axes (\( \pm X, \pm Y, \pm Z \)) as the initial generators \( \{ g_j \}_{j=1}^6 \)

CVT step:
3: while classical energy not converge do
4: Associate \( \bar{\nu}_T^i \) with \( \{ g_j \}_{j=1}^6 \) by using classical distance functions
5: for each unit normal \( \bar{\nu}_T^i \) in group \( \{ V_j \} \) do
6: Update generators \( g_j \) based on classical energy function
7: end for
8: end while

HBE CVT step:
9: Use CVT results as the input for HBE CVT
10: while HBE energy not converge do
11: Associate \( \bar{\nu}_T^i \) with \( \{ g_j \}_{j=1}^6 \) by using the HBE distance functions controlled by weighting factor \( \omega \)
12: for each unit normal \( \bar{\nu}_T^i \) in group \( \{ V_j \} \) do
13: Update generators \( g_j \) based on HBE energy function
14: end for
15: end while

Through the above pseudocode in [Surface Segmentation Algorithm](#), we describe two energy minimization processes, which are combined together to yield a monotone segmentation. When we use the HBE distance function to define Voronoi regions, we use a weighting factor \( \omega \) to control the balance between the classical distance and the boundary-enhanced term (see Eq. 4 in [17]).

Based on [Surface Segmentation Algorithm](#), we implement and organize the code into a CLI program (Segmentation.exe), which can segment a given triangle mesh into 6 Voronoi regions. Users can give options through the command line to run Segmentation.exe. Taking the rod model as an example, we first generate a triangle mesh from its CAD model by using LS-PrePost. Then we segment the triangle mesh by running the following command:

```command
Segmentation.exe -i rod_tri.k -o rod_initial_write.k -m rod_manual.txt -l 0.1
```

There are four options used in the command:

- **-i**: Surface triangle mesh of the input geometry (rod_tri.k);
- **-o**: Output segmentation result (rod_initial_write.k);
- **-m**: Input file with user intervention (rod_manual.txt); and
- **-l**: Weighting factor \( \omega \) used in **HBE distance function**.

The input and output are .k files, which can be read by LS-PrePost. We refer readers to [25], which explains the file format. We use -l to control the balance between the distance and the boundary-enhanced term. The weighting factor \( \omega \) can be assigned any arbitrary positive value; however, to obtain the best segmentation behavior, \( \omega \) must take small value. We find that when \( \omega = 0.1 \), the segmentation result of the rod
model has fewer zig-zags and outliers. Users need to do a trial and error to obtain a good weighting factor. Note that zig-zags and outliers may still exist regardless of the choice of \( \omega \). To fix this issue, user intervention is needed to prepare a file that stores the correct segmentation result for such elements. Segmentation.exe can read this file through option -m to improve the segmentation result. The snippets of the input text file for the rod model are given in Appendix A1.

Once we get the initial segmentation result, we need to further segment each Voronoi region into several patches to satisfy the topological constraints for polycube construction (see Fig. 1(d)). The following three conditions should be satisfied during the further segmentation: 1) two patches with opposite orientations (e.g., +X and -X) cannot share a boundary; 2) each corner vertex must be shared by more than two patches; and 3) each patch must have four boundaries. Note that we define the corner vertex as a vertex locating at the corner of the cubic region in the model.

The further segmentation is done manually by using the patch ID reassigning function in LS-PrePost. The detailed operation is shown in Appendix A2.

In addition to the issue with zig-zags and outliers, the algorithm has several limitations. For example, Surface Segmentation Algorithm cannot guarantee a good quality polycube structure, which will affect the quality of hex mesh. Elements with small or negative scaled Jacobian in a hex mesh may appear. Some adjustments on the polycube structure and quality improvement are needed as a follow-up step.

### 3.2 Polycube construction

In this section, we discuss the detailed algorithm of polycube construction using the segmented triangle mesh. A polycube consisting of multiple cubes is topologically equivalent to the original geometry. Several automatic polycube construction algorithms have been proposed in the literature \[16, 22, 17\], but it is challenging to generalize these methods to general CAD models. To achieve versatility for real industrial applications, we develop a semi-automatic polycube construction software based on the segmented surface. However, for some complex geometries, it may slow down the process because of the potentially heavy user intervention.

The key information we need for a polycube is its corners and the connectivity relationship among them. For the surface of polycube, we can automatically get the corners and build their connectivity based on the segmentation result by using Poly-cube Boundary Surface Construction Algorithm. However, it is usually difficult to obtain inner vertices and their connectivity as we only have a surface input without any information about the interior volume. Indeed, this is also the place that involves user intervention, where we use LS-PrePost to manually build the interior connectivity. The detailed operation is shown in Appendix A3. As the auxiliary information for this user intervention, Poly-cube Boundary Surface Construction Algorithm will output corners and connectivity of the segmented surface patches into .k file. Finally, the generated polycube structure is the cubic regions splitting the volumetric domain of the geometry.
**Polycube Boundary Surface Construction Algorithm**

**Input:** Manifold triangular surface mesh including segmentation information  
**Output:** The boundary surface of the polycube structure  

**Computing the corners step:**  
1: for each vertex \( v_i \) do  
2: Get the number of patches \( n \) which the vertex \( v_i \) surrounding  
3: if \( n \geq 3 \) then  
4: Mark the vertex as a corner \( v_i^c \)  
5: end if  
6: end for  
7: Output file including corner coordinates  

**Computing the index array step:**  
8: for each patches \( \{S_j\} \) do  
9: Find its four corners \( v_i^c \) which define a quad \( Q_j \)  
10: end for  
11: Extract edge information from \( Q_j \)  
12: Output files of connectivity relationship including edges and faces

We implement and organize the code into a CLI program (PolyCube.exe) based on the **Polycube Boundary Surface Construction Algorithm**. For the rod model, we run the following command to extract the corners and their connectivity for the boundary surface of its polycube:

```
PolyCube.exe -i rod_initial_read.k -o rod_polycube_structure.k -c 1
```

There are four options used in the command:  
- **-i:** Surface triangle mesh with the segmentation information (rod_initial_read.k);  
- **-o:** Polycube surface connectivity (rod_polycube_structure.k) for polycube construction in LS-PrePost; and  
- **-c:** Control indicator if some additional file needs to be output  
  - **-c 0:** No output; and  
  - **-c 1:** Output corner points, edges, faces of polycube structure.

The output .k file contains the corners and their connectivity for the boundary surface of the polycube (see Fig. 2(a)). Users need to import it into LS-PrePost and manually create interior corners and corresponding connectivity (see Fig. 2(b)) to build a polycube structure (see Fig. 1(f)). We also provide option **-c** to output the corners, edges, and faces of the polycube structure if users intend to use other software to build a polycube structure. Users can find their file format in Appendix A4.

### 3.3 Parametric mapping and octree subdivision

After the polycube is constructed, we need to build a bijective mapping between the input triangle mesh and the boundary surface of the polycube structure. In our
Fig. 2: The polycube construction and the parametric mapping process. (a) The boundary surface of the polycube generated by **Polycube Boundary Surface Construction Algorithm** (b) The interior corners and connectivity manually created in LS-PrePost to generate polycube structure. We split the polycube into multiple cubes and use each individual cube as the parametric domain. $S_0$, $P_0$, and $U_0$ are used for parametric mapping. $I_1$ and $U_1$ are used for linear interpolation to create interior vertices of the mesh.

software, we implement the same idea as in [24] to use a unit cube as the parametric domain for polycube structure. As a result, we can construct a generalized polycube structure (see Fig. 2(b)) that can align with the given geometry better and generate a high quality hex mesh.

Through the pseudocode in **Parametric Mapping Algorithm**, we describe how the segmented surface mesh, the polycube structure and the unit cube are combined to create a (volume) parametric mapping and octree subdivision. Let $\{S_i\}_{i=1}^N$ be the segmented surface patches coming from the segmentation result (see Fig. 2(a)). Each segmented surface patch corresponds to one boundary surface of the polycube $P_i$ ($1 \leq i \leq N$) (see Fig. 2(b)), where $N$ is the number of the boundary surface. There are also interior surfaces, denoted by $I_j$ ($1 \leq j \leq M$), where $M$ is the number of the interior surface. The union of $\{P_i\}_{i=1}^N$ and $\{I_j\}_{j=1}^M$ is the set of surfaces of the polycube structure. For the parametric domain, let $\{U_k\}_{k=1}^6$ denote the six surface patches of the unit cube (see Fig. 2(b)).

Each cubic region in the polycube structure represents one volumetric region of the geometry and has a unit cube as its parametric domain. Fig. 2(b) shows the example of one cubic region and its corresponding volume domain of the geometry marked in the dashed rectangle. Therefore, for each cube in the polycube structure, we can find its boundary surface $P_i$ and map the segmented surface patch $S_i$ to its corresponding parametric surface $U_k$ of the unit cube. To map $S_i$ to $U_k$, we first map its corresponding boundary edges of $S_i$ to the boundary edges of $U_k$. Then we
get the parameterization of $S_i$ by using the cotangent Laplace operator to compute the harmonic function $\mathcal{H}$. Note that for an interior surface $I_j$ of the polycube structure, we skip the parametric mapping step.

An all-hex mesh can be obtained from this surface parameterization combined with the octree subdivision. We generate the hex element for each cubic region in the following process. To obtain vertex coordinates on the segmented patch $S_i$, we first subdivide the unit cube (see Fig. 2(b)) recursively to get their parametric coordinates. The physical coordinates can be obtained by using the parametric mapping, which has a one-to-one correspondence between the parametric domain $U_i$ and the physical domain $S_i$. To obtain the vertices on the interior surface of the cubic region, we skip the parametric mapping step and directly use the linear interpolation to calculate the physical coordinates. Fig. 2 shows the example of the rod model. A composition of mappings among $S_0$, $P_0$ and $U_0$ is done to build parametric mapping and obtain vertex coordinates on the surface $S_0$. $I_1$ and $U_1$ are combined for linear interpolation to obtain the vertices on the interior surface of the cubic region. Finally, the vertices inside the cubic region are calculated by linear interpolation. The entire all-hex mesh is built by going through all the cubic regions.

Based on Parametric Mapping Algorithm, we implement and organize the code into a CLI program (ParametricMapping.exe) that can generate an all-hex mesh by combining parametric mapping with the octree subdivision. Here, we run the following command to generate an all-hex mesh for the rod model:

```plaintext
ParametricMapping.exe -i rod_indexPatch_read.k -p rod_polycube_structure.k -o rod_hex.vtk -s 2
```

There are three options used in the command:

- `-i`: Surface triangle mesh of the input geometry with segmentation information (rod_indexPatch_read.k);
- `-o`: Unstructured hex mesh (rod_hex.vtk);
- `-p`: Polycube structure (rod_polycube_structure.k); and
- `-s`: Octree level.

We use `-i` to set the segmentation file generated in Section 3.1 and use `-p` to set the polycube structure created in Section 3.2. Option `-s` is used to set the level of recursive subdivision to be applied. There is no subdivision if we set `-s` to be 0. In the rod model, we set `-s` to be 2 to create a level-2 all-hex mesh. The output all-hex mesh is stored in the VTK format (see Fig. 1(g)) and it can be visualized in Paraview [1].
**Parametric Mapping Algorithm**

**Input:** Segmented triangle mesh $\mathcal{T} = \{S_i\}_{i=1}^{N}$, polycube structure

**Output:** All-hex mesh

1: Find boundary surfaces $\{P_i\}_{i=1}^{N}$ and interior surfaces $\{I_j\}_{j=1}^{M}$ in the polycube structure

**Surface parameterization step:**
2: for each cube in the polycube structure do
3: Create a unit cube $\{U_k\}_{k=1}^{6}$ as the parametric domain
4: for each surface in the cube do
5: if it is a boundary surface $P_i$ then
6: Get the surface parameterization $f : S_i \rightarrow U_k \subset \mathbb{R}^2$
7: end if
8: end for
9: end for

**Parametric mapping and octree subdivision step:**
10: for each cube in the polycube structure do
11: Subdivide the unit cube $\{U_k\}_{k=1}^{6}$ recursively to get parametric coordinates $v_{para}$
12: for each surface in the cube do
13: if it is a boundary surface $P_i$ then
14: Obtain physical coordinates using $f^{-1}(v_{para})$
15: else if it is an interior surface $I_j$ then
16: Obtain physical coordinates using linear interpolation
17: end if
18: end for
19: Obtain interior vertices in the cubic region using linear interpolation
20: end for
21: Combine hex elements from each cubic region

### 3.4 Quality improvement

If the quality of the hex mesh is not satisfactory, quality improvement needs to be applied to the hex mesh. We integrate three quality improvement techniques in the software package, namely pillowing, smoothing, and optimization. Users can improve mesh quality through the command line options before building volumetric splines.

We first use pillowing to insert one layer around the boundary \cite{44}. By using the pillowing technique, we ensure that each element has at most one face on the boundary, which can help improve the mesh quality around the boundary. After pillowing, smoothing and optimization \cite{44} are used to further improve mesh quality. For smoothing, different relocation methods are applied to three types of vertices: vertices on sharp edges on the boundary, vertices on the boundary surface, and interior vertices. For each sharp-edge vertex, we first detect its two neighboring vertices on the curve, and then calculate their middle point. For each vertex on the boundary surface, we calculate the area center of its neighboring boundary quadrilaterals (quads). For each interior vertex, we calculate the weighted volume center of its neighboring hex elements as the new position. We relocate a vertex in an iterative way. Each time the vertex moves only a small step towards the new...
position and this movement is done only if the new location results in an improved local Jacobian.

If there are still poor quality elements after smoothing, we run the optimization whose objective function is the Jacobian. Each vertex is then moved toward an optimal position that maximizes the worst Jacobian. We present the Quality Improvement Algorithm for quality improvement. Here, we show how to improve mesh quality for the rod model. We first run the following command to perform pillowing on the rod model:

```
Quality.exe -i rod_hex.vtk -Q -m 1 -n 1 -o rod_hex_pillow.vtk
```

There are four options used in the command:

- `-i`: Unstructured hex mesh (rod_hex.vtk);
- `-o`: The hex mesh after quality improvement (rod_hex_pillow.vtk);
- `-m`: Improvement method. Pillowing when `-m 1`; and
- `-n`: Number of pillowing layer.

Option `-n` allows users to specify the number of layers to be inserted. With `-n 1`, we insert one layer around the boundary, which is enough to ensure each element have at most one face on the boundary. The result is shown in Fig. 3(a).

After pillowing, we can use the following command to smooth the mesh:

```
Quality.exe -i rod_hex_pillow.vtk -Q -m 2 -p 0.001 -n 50 -s 2 -o rod_hex_pillow_lap.vtk
```

There are seven options used in the command:

- `-i`: The input unstructured hex mesh in the vtk format (rod_hex_pillow.vtk);
- `-o`: The output hex mesh after quality improvement (rod_hex_pillow_lap.vtk);
- `-m`: Improvement method. Smoothing when `-m 2`; and
- `-s`: Sharp feature preservation
  - `-s 0`: No sharp features are preserved;
  - `-s 1`: Detect sharp features automatically, and set tolerance `-t`; and
  - `-s 2`: Manually select sharp feature points and store the indices in the "sharp.txt" file.
- `-t`: Tolerance for automatically detecting sharp features;
- `-p`: Step size for smoothing; and
- `-n`: Number of steps for smoothing.

By using the above command, we relocate a vertex only if the new location will improve the local scaled Jacobian. Option `-s` is used to preserve sharp features. Here, sharp feature detection is only based on the mesh normal information. Therefore, it is not robust for complex geometries and manual work is needed to adjust sharp features. When we use automatic detection with option `-s 1`, we need to set a tolerance `-t`. There is no typical number for this option. We need to do a trial and error to get the optimal value. For the rod model, we set it to be 0.8. However, some sharp features may not be detected regardless of the tolerance. User intervention is needed with the option `-s 2` if automatic detection is not satisfactory. Through this command
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line option, Quality.exe will read an input file that includes the user-defined sharp features. The snippets of the related file is shown in Appendix A5.

Then we run the optimization step by using the command:

```
Quality.exe -i rod_hex_pillow_smooth.vtk -Q -m 3 -p 0.001 -n 15 -o rod_hex_pillow_smooth_opt.vtk
```

There are five options used in the command:

- `-i`: Unstructured hex mesh (rod_hex_pillow_smooth.vtk);
- `-o`: The hex mesh after quality improvement (rod_hex_pillow_smooth_opt.vtk);
- `-t`: Tolerance related to sharp feature preservation;
- `-m`: Improvement method. Optimization when `-m 3`;
- `-p`: Step size for optimization; and
- `-n`: Number of steps for optimization.

The quality improvement result for the rod model is shown in Fig. 3 with a boundary layer created using pillowing, followed up by smoothing and optimization.

![Fig. 3: Mesh quality improvement. (a) The original mesh before quality improvement; (b) The mesh after pillowing, smoothing and optimization. Part of the mesh is removed to show the interior. The elements on the original cross section are labeled in green color while the inserted boundary layer is labeled in red color.](image)
Quality Improvement Algorithm

Input: Hex mesh, step number $n$
Output: Hex mesh with improved quality

Pillowing:
1: Insert an outer layer to the input mesh

Smoothing:
2: while iteration < $n$ do
3: for each vertex $v_i$ do
4: if $v_i$ is on a sharp edge then
5: if improving the local Jacobian then
6: Relocate $v_i$ a small step towards the middle of its neighboring vertices
7: end if
8: else if $v_i$ is on the boundary surface then
9: if improving the local Jacobian then
10: Relocate $v_i$ a small step towards the area center of its neighboring boundary quads
11: end if
12: else if $v_i$ is an interior vertex then
13: if improving the local Jacobian then
14: Relocate $v_i$ a small step towards the weighted volume center of its neighboring elements
15: end if
16: end if
17: end for
18: end while

Optimization:
19: while iteration < $n$ do
20: for each negative Jacobian element do
21: if improving the local Jacobian then
22: Relocate $v_i$ to maximize the Jacobian
23: end if
24: end for
25: Relocate vertices where Jacobian is minimum to maximize the worst Jacobian
26: end while
4 Hex2Spline: Unstructured spline construction

With the generated hex mesh as the input control mesh, now we present the Hex2Spline software package to build TH-spline3D. TH-spline3D can define spline functions on arbitrarily unstructured hex meshes. It further supports local refinement for adaptive IGA. Hex2Spline can output the Bézier information of constructed volumetric splines, which can be easily used in LS-DYNA or any other existing IGA frameworks. In the following, we introduce the main algorithm for each component of the Hex2Spline software package, including blending functions on an unstructured hex mesh, TH-spline3D with local refinement, and Bézier extraction.

4.1 Blending functions on an unstructured hex mesh

In this section, we describe how to build blending functions on an all-hex mesh. Hex2Spline supports arbitrarily unstructured all-hex mesh. In the following, we denote \( \Omega_e \) as an hex element indexed by \( e \). There are three types of elements in the hex mesh: boundary elements, interior regular elements and interior irregular elements. The element is defined as a boundary element if it contains a boundary vertex; otherwise, it is an interior element. For an interior element, if it contains an extraordinary edge\(^1\) we call it an irregular element; otherwise, it is a regular element. In the following, we discuss the main algorithm for building blending functions on boundary elements and interior irregular elements. A regular interior element is a special case of an irregular interior element whose blending functions are merely tricubic B-splines. Note that the following construction only applies to tricubic splines with uniform knot intervals (i.e., the same knot interval for every edge).

**Blending Functions Algorithm (Interior)** shows the pseudocode to obtain the blending functions defined on an interior irregular element. They are obtained through the Bézier extraction matrix \( M \). \( M \) can be obtained by computing the 64 Bézier control points \( Q_e \) from a local spline control mesh \( \mathcal{N} \) that consists of \( \Omega_e \) and its one-ring neighborhood. Each of these Bézier points is obtained by a convex combination of the vertices in the local control mesh, and we have \( Q_e = MP \), where \( P \) is the vector of vertices in the local control mesh. Then the blending functions on \( \Omega_e \) are defined by using the transpose of \( M \), that is, \( B_e = M^T b \), where

\[
\begin{align*}
\mathbf{b} &= [N_0(u)N_0(v)N_0(w), \ldots, N_0(u)N_1(v)N_0(w), N_1(u)N_1(v)N_0(w), \ldots, N_0(u)N_0(v)N_1(w), N_1(u)N_0(v)N_1(w), \ldots, N_1(u)N_3(v)N_3(w)]^T,
\end{align*}
\]

is the vector of 64 tricubic Bernstein polynomials. Each univariate cubic Bernstein polynomial is given as \( N_k(t) = \binom{3}{k} (1-t)^{3-k}t^k \) (\( k = 0, \ldots, 3 \)). Readers can refer

\(^1\) An extraordinary edge is an interior edge shared by other than four hexahedral elements.
to [41] for the coefficients of $M$. Note that we only need $M$ to define $B_e$, and we do not actually compute Bézier points.

### Blending Functions Algorithm (Interior)

**Input:** An interior element $\Omega_e$ and its local control mesh $\mathcal{N}$

**Output:** The blending functions $B_e$

**Obtain the Bézier transformation matrix $M_{64 \times \mathcal{N}}$:**

1. for each Bézier point $Q_e,i$ of $\Omega_e$, where $i = 0, \ldots, 63$
2. 
   if $Q_e,i$ is body point then
3. 
   Compute its coordinates based on $P$
4. 
   else if $Q_e,i$ is face, edge, corner point then
5. 
   Compute its coordinates by averaging the nearest body points
6. 
end if
7. end for
8. Ensemble matrix $M$ such that $Q_e = MP$

**Obtain the blending functions $B_e$:**

9. $B_e = M^T b$

### Blending Functions Algorithm (Boundary)

Shows the pseudocode to define blending functions on a boundary element. The body Bézier points and the Bézier points on the interior corners, edges or faces are obtained the same way as in Blending Functions Algorithm (Interior), while the Bézier points on the boundary is defined using only the boundary quadrilateral mesh. The 16 Bézier points on a boundary face can be obtained by convex combinations of the vertices on the local quad control mesh. The detailed computation method is explained in [41]. We finally get all the Bézier points as $Q_e = MP$. The blending functions are then defined by $B_e = M^T b$. Note that Blending Functions Algorithm (Boundary) can also be used to preserve sharp features in the mesh by adjusting the Bézier extraction matrix $M$. Reader can refer to [41] for details.
Blending Functions Algorithm (Boundary)

Input: A boundary element $\Omega_e$ and its local control mesh $N$; sharp feature information

Output: The blending functions $B_e$

Obtain the Bézier transformation matrix $M_{64N}$:

1: for each Bézier point $Q_{e,i}$ of $\Omega_e$, where $i = 0, \ldots, 63$ do
2:   if $Q_{e,i}$ corresponds to a sharp corner $P_k$ then
3:     $Q_{e,i} = P_k$
4:   else if $Q_{e,i}$ is on a sharp edge then
5:     Compute its coordinates as a convex combination of the two end points of the sharp edge
6:   else if $Q_{e,i}$ is on the boundary then
7:     if $Q_{e,i}$ is a face point then
8:       Compute its coordinates based on $P_k$
9:     else if $Q_{e,i}$ is an edge or a corner point then
10:    Compute its coordinates by averaging the nearest boundary face points
11:   end if
12:   else if $Q_{e,i}$ is a body point or on an interior surface then
13:    Calculate $Q_{e,i}$ the same as Blending Functions Algorithm (Interior)
14:   end if
15: end for
16: Ensemble matrix $M$ such that $Q_e = MP$

Obtain the blending functions $B_e$:

17: $B_e = M^T b$

4.2 TH-spline3D for local refinement

The next step is to introduce local refinement to achieve computational efficiency and accuracy. TH-spline3D employs a hierarchical structure and uses the truncation mechanism to perform local refinement. Global refinement is also supported since it is a special case of local refinement, and it is done by Catmull-Clark subdivision for solids [2,5].

TH-spline3D Algorithm shows the pseudocode to construct TH-spline3D based on the blending functions developed in Section 4.1. Locally refined meshes as well as spline functions between different levels are related through Catmull-Clark subdivision for solids.

The program allows users to specify a list of target elements to be locally refined. This is enabled by reading a series of user-defined files to the program, each of which contains indices of target elements at a certain level. The input mesh is treated as the level-0 mesh by default, one needs to provide a file named "lev_rfid.txt" to refine certain level-0 elements. As a result, a level-1 mesh is generated that can be used to define multi-level local refinement. One can check the hierarchical control meshes and add more elements in the "lev_rfid.txt" file to further refine the mesh.

The remaining procedure to construct TH-spline3D is automatic and can be divided into three steps: i) refine target elements by Catmull-Clark subdivision for solids, ii) select certain blending functions from hierarchical meshes, and iii) truncate some blending functions on the coarse mesh. Then with the help of Blending...
we can construct TH-spline3D on hierarchical control meshes. Readers can refer to [41] for details.

**TH-spline3D Algorithm**

**Input:** Initial control points $P^0$ and their associated blending functions $B^0$, element $\Omega_e$ and its local control mesh $N$

**Output:** The truncated blending functions $B_{\text{TH-spline3D}}$

**Refined meshes via local refinement:**
1: if local refinement is needed then
2: Generate mesh $M^{\ell+1}$ from mesh $M^\ell$ based on Catmull-Clark subdivision [2, 5]
3: end if
4: Ensemble matrix $C$ so that $P^{\ell+1} = CP^\ell$

**Construct the hierarchical blending functions:**
5: Compute the Bézier control points on hierarchical structure $Q^{\ell+1} = MP^{\ell+1}$
6: Construct the hierarchical B-splines on the hierarchical control meshes by using **Blending Functions Algorithm (Interior)** or **Blending Functions Algorithm (Boundary)**

**TH-spline3D Construction:**
7: Select the blending function to be active
8: Truncate chosen blending functions
9: Collect all the blending functions ($B_{\text{TH-spline3D}}$) up to Level $\ell + 1$

### 4.3 Bézier extraction for LS-DYNA

After blending functions are defined based on Bernstein polynomials, the Bézier information of the constructed volumetric splines can be written in the BEXT file for LS-DYNA. The program will also output files for the visualization of Bézier mesh in Paraview [1]. The BEXT file contains all the control points and the Bézier extraction matrix $M^T$ for each Bézier element. We reduce the file size by using both sparse and dense formats to write $M^T$. The matrix is output row by row. In a sparse format, only non-zeros of a row are output, where an index is paired with each non-zero coefficient to indicate its column location in the matrix. On the other hand, an entire row is output in the dense format without additional column indices. The choice of the two formats depend on the number of non-zeros in a row. The sparse format is favored when the row only has a few non-zeros. The snippets of the BEXT format file is shown in Appendix A6.

### 4.4 Applying Hex2Spline to the rod model

Based on the above algorithms, we implement and organize the code into a CLI program (Hex2Spline.exe) that can construct TH-spline3D on an unstructured hex mesh and extract Bézier information for analysis. During the spline construction,
users can specify if refinement is needed. In the end, Hex2Spline generates the BEXT file for LS-DYNA. The program also supports sharp feature preservation. All the available options for this program are explained as follows:

- **-i**: Unstructured hex mesh (rod_hex.vtk);
- **-o**: The BEXT file for LS-DYNA (rod_hex_BEXT.txt);
- **-S**: Spline construction mode;
- **-s**: Sharp feature preservation;
  - **-s 0**: No sharp features need to be preserved;
  - **-s 1**: Detect sharp feature automatically, and set tolerance -t; and
  - **-s 2**: Manually select sharp feature points and store them in "sharp.txt".
- **-g**: Set the level of global refinement;
- **-l**: Enable local refinement; and
- **-t**: Tolerance related to sharp feature preservation.

Here, we apply local refinement to create a hierarchical mesh of the rod model (see Fig. 1(g)) by using the command:

```bash
Hex2Spline.exe -i rod_hex.vtk -S -s 2 -l -o rod_hex.BEXT
```

In the command, we use the option -l to switch on the local refinement mode and construct TH-spline3D with local refinement. Unlike global refinement, users need to prepare a "lev_rfid.txt" file to specify indices of target elements. Fig. 4(b) shows the spline construction with one level local refinement. Users can perform further refinements level by level. For example, users can edit the "lev_rfid.txt" file to include more elements and use the same command to perform two levels of local refinement and the result is shown in Fig. 4(c):

```bash
Hex2Spline.exe -i rod_hex.vtk -S -s 2 -l 2
```

Here we use the option -g to switch on global refinement mode and set the argument to 1 to construct spline with one level global refinement.

### 5 Applications using HexGen and Hex2Spline

The algorithms discussed in Sections 3 and 4 are implemented in C++. The Eigen library [15] and Intel MKL [19] are used for matrix and vector operations and numerical linear algebra. We also take advantage of openMP to support multi-threading computation. We use a compiler-independent building system (CMake) and a version-control system (Git) to support software development. We have compiled the source code into two software packages,
Fig. 4: The visualization of the output Bézier mesh with: (a) global refinement; (b) one level local refinement; and (c) two levels of local refinement.

- **Hex2Gen software package:**
  - Segmentation module (Segmentation.exe);
  - Polycube construction module (Polycube.exe);
  - All-hex mesh generation module (ParametricMapping.exe); and
  - Quality improvement module (Quality.exe).

- **Hex2Spline software package:**
  - Volumetric spline construction module (Hex2Spline.exe).

The software is open-source and can be found in the following Github link (https://github.com/yu-yuxuan/HexGen_Hex2Spline).

We have applied the software packages to several models and generated all-hex meshes with good quality. For each model, we show the HBECVT based segmentation result, further segmentation result, corresponding polycube structure, and the all-hex mesh. These models include: two types of mount and hepta models (Fig. 5); engine mount and lower arm from Honda Co. along with rockerarm (Fig. 6); ant, bust, and fertility models (Fig. 7); and the joint model from Honda Co. (Fig. 8). Table 1 shows the statistics of all testing models. We use the scaled Jacobian to evaluate the quality of all-hex meshes. From Table 1 we can observe that the obtained all-hex meshes have good quality (minimal Jacobian > 0.1). Figs. 5-8(a) show HBECVT segmentation results of testing models, we can observe that the initial segmentation results generated by the HBECVT do not satisfy the topological constraints for polycube construction. We need to further segment each Voronoi region into several patches. The generated polycubes (Figs. 5-8(b)) align with the given geometry better, which in turn induces less mesh distortion and yields a mesh of better quality.
After generating all-hex meshes (Figs. 5-8(c)), we tested all the models for IGA by using TH-spline3D. Bézier elements are extracted for the IGA analysis (Figs. 5-8(d)). For each testing model in Figs. 5-7, we use LS-DYNA to perform eigenvalue analysis and show the first mode result. For the testing model in Fig. 8, we show the result of solving a Poisson problem in LS-DYNA. From the results we can observe that our algorithm yields valid TH-spline3D for IGA applications in LS-DYNA.

| Model           | Input triangle mesh (vertices, elements) | Octree levels | Output hex mesh (vertices, elements) | Jacobian worst |
|-----------------|------------------------------------------|---------------|--------------------------------------|----------------|
| rod (Fig. 1)    | (2,238, 4,480)                           | 2             | (1,815, 1,280)                      | 0.32           |
| mount1 (Fig. 5) | (886, 1,782)                             | 2             | (5,849, 4,480)                      | 0.16           |
| mount2 (Fig. 5) | (895, 1,802)                             | 2             | (7,945, 6,208)                      | 0.14           |
| hepta (Fig. 5)  | (676, 1,348)                             | 1             | (1,259, 944)                        | 0.24           |
| engine mount (Fig. 6) | (57,487, 114,982) | 2 | (7,338, 5,888) | 0.11 |
| lower arm (Fig. 6) | (165,201, 330,410) | 1 | (1,996, 1,328) | 0.10 |
| rockerarm (Fig. 5) | (11,655, 23,310) | 2 | (6,880, 5,696) | 0.10 |
| ant (Fig. 7)    | (7,216, 14,428)                           | 2             | (7,711, 6,176)                      | 0.17           |
| bust (Fig. 7)   | (12,596, 25,188)                         | 4             | (103,299, 98,816)                   | 0.11           |
| fertility (Fig. 7) | (6,622, 13,256)  | 2             | (4,983, 4,000)                      | 0.20           |
| joint (Fig. 5)  | (3,806, 7,612)                           | 2             | (7,512, 6,144)                      | 0.10           |

6 Conclusion and future work

In this paper, we present two software packages (HexGen and Hex2Spline) for IGA applications in LS-DYNA. The main goal of HexGen and Hex2Spline is to make our pipeline accessible to industrial and academic communities who are interested in real-world engineering applications. The all-hex mesh generation program (HexGen) can generate all-hex meshes. It consists of four executable files, namely segmentation module (Segmentation.exe), polycube construction module (Polycube.exe), all-hex mesh generation module (ParametricMapping.exe) and quality improvement module (Quality.exe). The volumetric spline construction program (Hex2Spline.exe) is developed based on the spline construction method in [41]. Users can generate a volumetric spline model given any unstructured hex mesh and output a BEXT file to perform IGA in LS-DYNA. Both programs are compiled in executable files and can be easily run in the Command Prompt (cmd) in platform. The rod model is used to explain how to use these two programs in detail. We also tested our software package using several other models.

In conclusion, we integrate our hex mesh generation and volumetric spline construction techniques and develop a software platform to create IGA models for LS-DYNA. Our software also has limitations that we will address in our future work. First, the hex mesh generation module is semi-automatic and needs user intervention to create polycube structure. We will improve the underneath algorithm and make polycube construction more automatic. In addition, our software cannot guarantee
Fig. 5: Results of two types of mount and hepta models. (a) Surface triangle meshes and segmentation results; (b) Polycube structures; (c) All-hex control meshes; (d) Volumetric splines with IGA results of eigenvalue analysis in LS-DYNA.
Fig. 6: Results of engine mount, lower arm, and rocker arm model. (a) Surface triangle meshes and segmentation results; (b) Polycube structures; (c) All-hex control meshes; (d) Volumetric splines with IGA results of eigenvalue analysis in LS-DYNA.
Fig. 7: Results of ant, bust, and fertility model. (a) Surface triangle meshes and segmentation results; (b) Polycube structures; (c) All-hex control meshes; (d) Volumetric splines with IGA results of eigenvalue analysis in LS-DYNA.
Fig. 8: Results of joint model. (a) Surface triangle meshes and segmentation results; (b) Polycube structures; (c) All-hex control meshes; (d) Volumetric splines with IGA results of solving Poisson equation in LS-DYNA.

to generate good quality hex mesh for complex geometry. Therefore, in the future we will expand our software package to use hex-dominant meshing methods to create hybrid meshes for IGA applications.

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Appendix

A1 Input text file to correct segmentation result from Segmentation.exe

In this section, we describe the data format of the input text file used in Segmentation.exe to correct the segmentation result. One can prepare this file to move elements on the wrong patch to the desired patch. In this text file, each row has two values to define this modification of one element (see List 1). The first value indicates the element index in the triangular mesh and the second value is the desired patch index. Segmentation.exe can read this file through option -m to improve the segmentation result.

|   |   |   |
|---|---|---|
| 1 | 355 | 1 |
| 2 | 356 | 1 |
List 1: Snippets of the input text file

A2 Further segmentation in LS-PrePost

In this section, we introduce how to perform further segmentation in LS-PrePost and obtain an admissible segmentation for polycube construction. It mainly involves reassigning elements to different patches and there are four steps to achieve this (see Fig. A1): 1) click move/copy tab; 2) select elements; 3) reassign the patch ID; and 4) click the Apply button to finish.

Fig. A1: The detailed operations for further segmentation.
A3 Building the interior connectivity of polycube structure in LS-PrePost

In this section, we use the rod model to introduce how to build the interior connectivity of polycube structure in LS-PrePost. There are four steps to create one cubic region (see Fig. A2): 1) click **EleEdt** tab; 2) select **Elem Type** as Hexa; 3) select eight nodes to define cubic regions, you can also check the selection on the float box; and 4) click the **Accept** button to finish. By repeating the same operation, we generate the polycube structure with multiple cubic regions to split the volumetric domain of the geometry.

![Fig. A2: The detailed operations to build the interior connectivity of polycube structure.](image)

A4 Output text file from Polycube.exe

In CLI program (PolyCube.exe), we output a .k file which contains the corners and their connectivity for the boundary surface of the polycube. It can be directly opened by LS-PrePost. If one intends to use other software to build a polycube structure, we also provide option to output the corners, edges, and faces of the polycube in three separate text files (see Lists 2-4). In the corner file, each row depicts the associated vertex ($v_i$) information. The first value indicates the index of the vertex in the triangles mesh, the last three values are its x, y, z coordinates ($x_i$, $y_i$, $z_i$). In the edge file, each row uses the indices of two corners to define the edge between them. These indices should agree with the corner file. The face file stores the information
of boundary faces on polycube structure. Each row contains four vertex indices in counter-clockwise order to define the connectivity of one face.

|   |   |   |   |
|---|---|---|---|
| 21 | 4.06622 | 0.0052 | 2.31336 |
| 143 | 16.4588 | 0.7317 | 2.29912 |
| 153 | 4.0543 | 3.3803 | 2.31406 |
| 371 | 16.404 | 2.6740 | 4.12666 |
| 391 | 13.5206 | 0.65268 | 2.07416 |

List 2: Snippets of the corner file

|   |   |   |   |
|---|---|---|---|
| 71,446 |   |   |   |
| 436,446 |   |   |   |
| 436,442 |   |   |   |
| 371,442 |   |   |   |
| 1464,1639 |   |   |   |
| 1601,1639 |   |   |   |

List 3: Snippets of the edge file

|   |   |   |   |
|---|---|---|---|
| 71,446,436,442 |   |   |   |
| 1464,1639,1601,1503 |   |   |   |
| 1439,1664,1639,1464 |   |   |   |
| 1744,1917,1877,1784 |   |   |   |
| 1246,1784,1877,153 |   |   |   |

List 4: Snippets of the face file

A5 Sharp feature file for Quality.exe and Hex2Spline.exe

This section describes how to manually define sharp features for Quality.exe and Hex2Spline.exe. One can prepare an input file including the sharp feature information with the help of Paraview. There are four steps (see Fig. A3): 1) select the points along the sharp feature; 2) use Extract selection function to extract the indices of these nodes; 3) check the selected points information under "Properties"; and 4) copy the index to "sharp.txt" file and use it as the input sharp feature file for Quality.exe and Hex2Spline.exe.

A6 Input BEXT file for LS-DYNA

In this section, we describe the data format of BEXT file for IGA in LS-DYNA. The BEXT file consists of two parts to store the spline information: 1) control point (Fig. A4A); and 2) Bézier element including the indices of control points supported by this Bézier element (Fig. A4B) and the Bézier extraction matrix (Fig. A4C). The Bézier extraction matrix is output row by row and the format of each row depends
on the number of non-zero values in this row. If this row has less than 20 non-zeros, a sparse format is used to store their column indices and values; otherwise, a dense format is used to store all values in this row. To distinguish between two formats, a sparse row begins with $s$ while a dense row begins with $d$. 

Fig. A3: The detailed operations to create user-defined sharp features.

Fig. A4: Snippets of the BEXT file.
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