Behavior of Native Food Isolates of *Listeria monocytogenes* and *Listeria innocua* under the Influence of Selected Cultural Attributes and Heat and Cold Treatments

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**Abstract**

The present investigation assesses the influence of cultural attributes and heat and cold treatments on the behavior of native toxigenic *L. monocytogenes* CFR 1302 and non-toxigenic *L. innocua* CFR 1304 in selected medium. The growth responses of *L. monocytogenes* and *L. innocua* under the influencing factors of storage temperature (10-40°C), pH level (5.5-7.5), and storage period (6-48 h) in brain heart infusion and nutrient broths revealed closeness between observed and predicted populations. Response surface plots were generated for the growth behavior of the two test cultures as a function of pH level. The toxigenic *L. monocytogenes* CFR 1302 could reach a higher viable population. The effect of heat treatment on *Listeria* spp. in selected five heating menstrua showed the lowest *D*-value of 3.7 min at 60°C for *L. innocua* CFR 1304 in Milli-Q water to the highest of 8.4 min at 56°C for *L. monocytogenes* CFR 1302 in skim milk. The average *z*-value across the heating menstrua for *L. monocytogenes* was 27.3°C as against that of 22°C for *L. innocua*. In the case of cold treatment, storage of 4 and 8°C resulted in appreciable increase in counts of *L. monocytogenes* CFR 1302 from the initial inoculum introduced in selected media. At -20°C, there was a slight decrease in the viable population. The research data helps to predict the viable populations of *L. monocytogenes* as a part of risk assessment in the food chain. This is of significance in providing safe and healthy food to human population.

**Keywords:** *Listeria monocytogenes*, *Listeria innocua*, Growth responses, Influencing factors, Response surface plots, Heat treatment, Cold treatment, *D*-value

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INTRODUCTION

The changing economic scenario of a progressive society has impacted the eating habits with processed foods becoming the order of the day. Microbes tend to be present in most type of foods, either plant or animal-based, but more alarming aspect is the microbial contaminants, particularly pathogenic species entering foods that could lead to health hazards.\(^1,2\) Considering the increasing emphasis on microbial safety, one of the important public health concerns is associated with *L. monocytogenes*, a pathogen involved in the number of high-risk health hazards. The risk assessment report of *L. monocytogenes* has analyzed the causes of health hazards taking into consideration the overall food chain and documented the outlines of risk assessment.\(^3\)

A model was established in mid-2000 to monitor and assure the safety of chilled food products by using their time-temperature history and variations in characteristics like water activity, pH, and predictive models of growth.\(^4\) The research findings of studies related to effect of water activity levels, pH, incubation time-temperature, and food additives like sodium chloride, acidulants, and sodium nitrite on the growth behavior of *L. monocytogenes* was studied in different culture broths, skim milk, whey, and meat broth were analyzed and interpreted through predictive models.\(^5-8\)

In the background of food poisoning outbreaks caused by the presence of *L. monocytogenes*, the behavior of this organism under heat and cold treatments attains significance as these two factors operate in combination in the complete food chain establishment. Studies relating to heat resistance of *L. monocytogenes* in various heating menstras have been documented in literature with focus on higher temperatures and food-based substrates.\(^9-14\) At the same time, the ability of *L. monocytogenes* to survive or thrive at low temperatures, a characteristic of psychrophilic culture achieves greater significance in the food chain.\(^15\) Studies have been performed over a wide range of temperatures that includes deep freezing, frozen and refrigeration in buffered saline, culture broths and food-based substrates with constituents that could afford protection to the culture.\(^16,12,17-19\)

As the consumer focus is on microbial food safety, use of multiple barriers to prevent potential health hazards arising out of food associated *L. monocytogenes* and other *Listeria* spp., there have been a few studies on the quantitative data that could be used to estimate the relative impact of factors that interact to influence growth behavior of *Listeria* spp. The temperatures encountered during food processing do affect the survival / growth of bacterial cultures. However, it is well known that strains of *L. monocytogenes* survive under adverse conditions over a considerable time period, after which the organism could proliferate and become a risk factor with respect to human health. Studies undertaken with the behavior of cultures would give additional knowledge that enable food chain to perform effectively.\(^20\)

In the background of documented studies relating to growth kinetics of *Listeria* spp. in response to cultural attributes as well as heat and cold treatments with varying influencing factors / variables, it becomes important that studies on growth behavior need to be undertaken with cultures of *L. monocytogenes* harboring toxigenic traits and isolated from specific food habitats, as they could be a cause of risk under prevailing environmental conditions. Most of the earlier studies have been undertaken with an established toxigenic culture of *L. monocytogenes* Scott A while the behavioral pattern under the influencing factors are dealt with separately.

Considering microbial diversity in a wide range of food habits, it becomes important to assess the potential health risk that could result from the growth responses of an isolate of *L. monocytogenes* that could prevail in commonly consumed foods. Besides, it will be of great significance for food safety programmes to assess the behavior of pathogenic culture under the impact of those factors that are encountered in the food chain in total. Accordingly, the objective of the present study was to analyse the behavior of native toxigenic *L. monocytogenes* CFR 1302 and non-toxigenic *L. innocua* CFR 1304 in selected media / menstras under the influence of cultural attributes and heat / cold treatments that prevails in most of the food chain establishment. Considering the habitats of bacterial cultures in a given environment, the generated data would
provide insight into the probability of such native isolates of *L. monocytogenes* to be the cause of health hazards under situations that could be favorable for the toxigenic culture.

**MATERIALS AND METHODS**

**Pre-requisites**

Dry or wet sterilization was conducted for all glassware, media, and other materials used in the present study. Dry sterilization was carried out at 180°C for 4 h in a Hot Air Oven while wet sterilization was done at 121°C for 20 min in an autoclave. Dehydrated media was procured from Hi-Media Lab., Mumbai, India to prepare the bacteriological media for use as per manufacturer’s instructions. Milli-Q water (A10 Elix 3, Millipore Corporation, Billerica, USA) was used as water in the experimental trials.

**Bacterial cultures and inoculum preparation**

These consisted (i) two native food isolates of *Listeria monocytogenes* CFR 1302 and *Listeria innocua* CFR 1304 procured from raw whole cow milk and (ii) reference culture of *L. monocytogenes* Scott A acquired by the courtesy of Dr. A.K. Bhunia of Purdue University, USA. The cultures were maintained on brain heart infusion agar slants in the culture stock of host laboratory at 4°C. The cultures were grown successively twice in BHI broth for 24 h at 37°C, prior to use in experimental trials. From a 20 h old BHI culture broth, cell suspensions of these cultures were individually prepared by centrifugation at 7200 g for 20 min in a refrigerated centrifuge at 4°C (Superspin R-V/FM, Plasto Crafts, Mumbai, India). The harvested cells were stored until further use after resuspension in sterile 10 ml aliquots of 0.85% saline.

**Growth substrates / treatment menstra**

These included (i) Milli-Q water, (ii) brain heart infusion (BHI) broth, (iii) nutrient broth, (iv) phosphate buffer (PBS) 0.01 mol L⁻¹, (iv) skim milk and (v) whole cow milk. The BHI and nutrient broths were that of dehydrated media and prepared according to manufacturer’s guidelines. Phosphate buffer saline (0.01 mol L⁻¹) was prepared following the method of USDFA.²¹ A stock solution was prepared having a concentration of 0.1 mol L⁻¹ and for use in experimental trials, the stock solution was diluted in the ratio of 1:9 with Milli-Q water, pH adjusted to 7.5 and autoclaved for 15 min at 121°C.

Skim milk was prepared by reconstituting requisite quantity of commercially available spray dried skim milk powder at a level of 10%, filtered, dispensed in required quantities in appropriate glassware and autoclaved for 15 min at 121°C. The pasteurized whole cow milk with 3% fat content obtained from the local commercial Dairy outlet was filtered using moistened cotton pad, dispensed in requisite quantities in appropriate glassware and autoclaved for 15 min at 121°C.

**Modeling the growth behavior of *Listeria* spp. in culture broth**

In the background of environmental factors that would exist in the commercial food chain, few of these factors were selected for the experimental design. A central composite design based on three factors and five levels with storage temperatures of 10 to 40°C, pH levels of 5.5 to 7.5 and storage periods of 6 to 48 h was used for experimental design. The experimental design included 21 treatments with 7 center point repeats. The culture media used were those of nutrient and BHI broths. The individual broth medium in 10 ml quantity was inoculated with 0.1 ml inoculum of individual cultures of *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304 to obtain the desired initial population level of $2.3 \log_{10} \text{CFU mL}^{-1}$. The broth tubes containing the inoculum were vortexed and incubated at specified time-temperature combinations as per the experimental design. Aliquots of 0.1 mL of the appropriate dilutions of experimental culture broths were surface plated on *Listeria* Oxford agar pre-poured plates. The inoculated plates were incubated for 24-48 h at 37°C and characteristic colonies of *Listeria* sp. formed in the incubated plates were counted and expressed as average $\log_{10} \text{CFU mL}^{-1}$.

Multivariate analysis was performed by subjecting experimental data relating to the viable counts of the above-mentioned two cultures of *Listeria* spp. and predictions of microbial behavior was achieved by partial least square regression.²² Microsoft Excel Software Programme 2010 (Microsoft Corporation, Redmond, WA, USA)
was used to perform all calculations. The derived equation for individual cultures and culture broths studied was as follows:

\[
\text{CFU}_{\text{prd}} = X_0 + X_1(T) + X_2(P) + X_3(t) + X_4(T)^2 + X_5(T)xP + X_6(P)^2 + X_7(P)xT + X_8(t)^2 + X_9(T)xT
\]

Wherein \(X_0\) was the intercept, \(X_1 - X_9\) were the coefficients, \(T\) was storage temperature (°C), \(P\) was initial pH level of culture broth and \(t\) was the storage period (h). The significance and adequacy of the model was tested by subjecting the observed and predicted values to analysis of variance (ANOVA). The coefficient of determination (\(R^2\)) value near to 1.0 indicates a good correlation between observed and predicted values and robustness of equation to derive model fits to predict growth kinetics of \(L.\) monocytogenes and \(L.\) innocua. Statistical significance was based on Fischer (F) test. The values of F (\(P<0.05\)) indicated that model terms are significant. The coefficients derived by multivariate analysis were utilized to generate response surface plots that could provide predictions for the populations of \(Listeria\) spp. over the range of variables within the experimental design.

**Effect of heat treatment on \(Listeria\) spp.**

Milli-Q water, BHI broth, 0.01 mol L\(^{-1}\) PBS, whole milk and skim milk were used as heating menstra in these experimental trials. Milli-Q water without any nutrients served as a control medium. Brain heart infusion broth routinely used for foodborne pathogenic bacterial organisms was used as a representative complex microbiological medium. Whole milk and skim milk were selected to serve as model heating medium with milk components including fat as simulating food matrix.

Vegetative cells of \(L.\) monocytogenes CFR 1302 and \(L.\) innocua CFR 1304 were thermally inactivated in test tubes (15 x 125 mm) containing five ml of heating medium spiked with cells of individual test cultures at a level of 8.3 \(\log_{10}\) CFU ml\(^{-1}\). These inoculated tubes were placed in a temperature-controlled water bath. The test tubes were heated at pre-selected temperature of 56, 58 and 60°C, respectively, for specific time periods of 3, 6, 9, 12, 15 and 18 min. Initially, appropriate come-up time for the contents of test tubes to attain the desired temperatures was calculated. The test tubes were removed once the specific time-temperature elapsed, after which they were cooled immediately in an ice-water bath. Appropriate dilutions of the experimental samples were enumerated for viable population of inoculated \(Listeria\) spp. as described previously. Plotting the \(\log_{10}\) number of surviving cells for each time-temperature combination separately enabled in calculating the \(D\)-values (time in min to decrease the viable cell population by 90%) using Microsoft Excel Software Programme 2010. Values in the straight position of derived curve were used for calculation. Regression analysis was used to determine the line-of-best fit for survivor plots. A regression equation was derived, of the form \(y = a + bx\), wherein \(b\) is the slope of the straight line whose inverted negative yields the \(D\)-value for a specific temperature (\(D = 1/slope\)). A semi-logarithmic graph of \(\log_{10}\) \(D\)-values against respective temperatures was plotted and the negative inverse of the slope of thermal death time curve was calculated to derive the \(z\)-value i.e. \(z = 1/slope\).

Using OriginPro 6.1 software (OriginLab Corporation, Northampton, USA), significant difference in \(D\)-values among the two strains tested was performed by Duncan’s New Multiple Range Test. One-way-analysis of variance of the average \(D\)-values of the two strains across heating menstra and temperatures as well as that with respect to strains and temperatures was performed.

**Effect of cold treatment on \(Listeria\) spp.**

In this experimental study, the cultures selected were those of \(L.\) monocytogenes CFR 1302 and \(L.\) innocua CFR 1304. The effect of treatment was assessed in BHI broth, skim milk, and whole cow milk at 4, 8 and -20°C, respectively, for specific duration of 2, 4, 6, 8 and 10 days. An initial inoculum of 5.4, 6.4, and 7.4 \(\log_{10}\) CFU ml\(^{-1}\) was obtained by inoculating pre-sterilized individual media in aliquots of 5 ml each with 0.1 ml inoculum of respective cultures of \(Listeria\) spp. The inoculated tubes were kept at the selected temperatures in a BOD incubator (M.C. Dalal Company, Chennai, India). After the specified time-temperature treatments, appropriate dilutions of the experimental culture broths were enumerated for viable population of \(Listeria\) spp. as described previously.
Statistical analysis

Experimental trials were performed independently, in triplicates and the average values with standard errors presented in Tables or Figures. Microsoft Excel Programme, 2010, was used to make all calculations and statistical analyses (Microsoft Corporation, Redmond, WA, USA).

RESULTS

Growth behavior of Listeria spp. in culture broths

Considering the health-related risk associated with cultures of L. monocytogenes, an experimental study was undertaken to assess the growth proliferation ability of native food isolates of L. monocytogenes CFR 1302 and L. innocua CFR 1304 in two commonly culture broths using lower initial inoculum of 2.3 log_{10} CFU mL⁻¹. The influencing factors and their variables were selected simulating the prevailing global conditions of food chain system. The observed and predicted populations of L. monocytogenes CFR 1302 and L. innocua CFR 1304 in the two culture broths were presented in Tables 1 and 2. In the design of 21 experimental sets, the counts reached by L. monocytogenes in BHI broth was around 9.0 log_{10} CFU mL⁻¹, except in four treatment sets, the counts ranged between 5.7 and 6.7 log_{10} CFU mL⁻¹ (Table 1). The storage period of more than 15 h did enable the culture to reach higher population. A lower temperature of 10°C was not able to support faster growth proliferating ability of the test culture. The predicted counts were quite close to that of observed counts. A similar growth profile of L. monocytogenes was observed in nutrient broth. However, the viable populations recorded were lower than those in BHI broth. The higher population was around 8.8 log_{10} CFU mL⁻¹. The lower population was in the range of 4.1-6.7 log_{10} CFU mL⁻¹ (Table 1). The predicted counts in

| Factors / Variables | Brain heart infusion broth | Nutrient broth |
|---------------------|----------------------------|---------------|
|                     | Storage Temp. (°C) | pH level | Storage period (h) | Observed Viable population | Predicted (log_{10} CFU mL⁻¹ ± SE) | Observed | Predicted |
| 16                  | 5.9                   | 15       |                | 6.2 ± 0.4 | 6.4 | 4.8 ± 0.2 | 6.6 |
| 34                  | 5.9                   | 15       |                | 9.0 ± 0.3 | 9.9 | 8.6 ± 0.4 | 19.1 |
| 16                  | 7.1                   | 15       |                | 6.7 ± 0.5 | 6.6 | 5.8 ± 0.3 | 8.2 |
| 34                  | 7.1                   | 15       |                | 9.3 ± 0.4 | 10.3 | 8.6 ± 0.7 | 18.8 |
| 16                  | 5.9                   | 42       |                | 8.8 ± 0.8 | 10.2 | 7.6 ± 0.4 | 10.4 |
| 34                  | 5.9                   | 42       |                | 8.6 ± 0.3 | 11.3 | 8.7 ± 0.6 | 20.4 |
| 16                  | 7.1                   | 42       |                | 8.9 ± 0.7 | 10.4 | 8.6 ± 0.7 | 12.1 |
| 34                  | 7.1                   | 42       |                | 9.2 ± 0.4 | 11.6 | 8.7 ± 0.3 | 20.3 |
| 10                  | 6.5                   | 27       |                | 5.7 ± 0.3 | 6.7 | 4.1 ± 0.4 | 5.7 |
| 40                  | 6.5                   | 27       |                | 8.8 ± 0.6 | 10.9 | 8.6 ± 0.7 | 23.1 |
| 25                  | 5.5                   | 27       |                | 8.9 ± 0.4 | 10.3 | 8.2 ± 0.4 | 13.9 |
| 25                  | 7.5                   | 27       |                | 9.2 ± 0.9 | 10.7 | 8.7 ± 0.6 | 15.1 |
| 25                  | 6.5                   | 06       |                | 6.2 ± 0.3 | 7.2 | 6.7 ± 0.3 | 12.1 |
| 25                  | 6.5                   | 48       |                | 9.0 ± 0.5 | 11.4 | 8.8 ± 0.8 | 16.3 |
| 25                  | 6.5                   | 27       |                | 9.0 ± 0.8 | 10.2 | 8.6 ± 0.5 | 14.6 |
| 25                  | 6.5                   | 27       |                | 9.1 ± 0.4 | 10.2 | 8.4 ± 0.7 | 14.6 |
| 25                  | 6.5                   | 27       |                | 9.0 ± 0.7 | 10.2 | 8.6 ± 0.3 | 14.6 |
| 25                  | 6.5                   | 27       |                | 9.0 ± 0.6 | 10.2 | 8.4 ± 0.4 | 14.6 |
| 25                  | 6.5                   | 27       |                | 9.1 ± 0.8 | 10.2 | 8.6 ± 0.8 | 14.6 |
| 25                  | 6.5                   | 27       |                | 9.0 ± 0.4 | 10.2 | 8.6 ± 0.7 | 14.6 |
| 25                  | 6.5                   | 27       |                | 8.8 ± 0.5 | 10.2 | 8.5 ± 0.5 | 14.6 |

n = 3; Values are the mean ± SE
case of nutrient broth were quite variable with exceedingly higher counts being predicted in most of the treatment codes. In BHI broth, the culture of *L. innocua* CFR 1304 exhibited similar growth profile to that of *L. monocytogenes* with lower counts 4.2-5.6 log $10^{10}$ CFU mL$^{-1}$ in four treatment sets (Table 2). However, in nutrient broth, the predicted counts were in close proximity with those of observed counts as against the highly variable predicted counts of *L. monocytogenes* in nutrient broth (Table 1).

A polynomial regression equation was derived for the individual cultures of *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304 in culture broths by subjecting the generated experimental data to multivariate analysis. The derived coefficients in culture broths for these two respective cultures are presented in Tables 3 and 4. The $R^2$ values for the derived equations were in the range of 0.87 to 0.98 indicating the effectiveness of equation to derive response surface plots. Although these appeared to be certain good agreement under certain experimental parameters of the central composite design, it was also observed that derived predictions of few other treatment sets were variable. However, the populations recorded with the experimental trials were quite lower than the predicted counts that signifies margin of safety in generating curve fittings. It appears that growth behavior of *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304 in BHI and nutrient broths was primarily influenced by storage temperature and time period as many of the model parameters were not statistically significant ($P<0.05$).

In order to generate the response surface plots for the growth behavior of *L. monocytogenes*

### Table 2. Observed and predicted viable population of Listeria innocua CFR 1304 in brain heart infusion broth and nutrient broth.

| Factors / Variables | Brain heart infusion broth | Nutrient broth |
|---------------------|---------------------------|----------------|
| Storage Temp. (°C)  | Storage pH level | Storage period (h) | Observed Viable population | Predicted (log$_{10}$ CFU mL$^{-1}$ ± SE) | Observed Viable population | Predicted (log$_{10}$ CFU mL$^{-1}$ ± SE) |
| 16                  | 5.9 | 15 | 5.0 ± 0.3 | 5.3 | 5.0 ± 0.4 | 4.2 |
| 34                  | 5.9 | 15 | 8.6 ± 0.6 | 8.9 | 7.9 ± 0.6 | 6.8 |
| 16                  | 7.1 | 15 | 5.6 ± 0.4 | 5.9 | 5.5 ± 0.5 | 4.6 |
| 34                  | 7.1 | 15 | 9.2 ± 0.7 | 9.6 | 8.2 ± 0.3 | 7.5 |
| 16                  | 5.9 | 42 | 8.5 ± 0.6 | 10.8 | 8.2 ± 0.6 | 6.9 |
| 34                  | 5.9 | 42 | 9.0 ± 0.5 | 11.5 | 7.8 ± 0.3 | 6.6 |
| 16                  | 7.1 | 42 | 8.7 ± 0.7 | 11.4 | 7.8 ± 0.4 | 6.9 |
| 34                  | 7.1 | 42 | 9.3 ± 0.9 | 12.1 | 8.1 ± 0.7 | 6.8 |
| 10                  | 6.5 | 27 | 4.8 ± 0.3 | 6.8 | 5.0 ± 0.2 | 5.1 |
| 40                  | 6.5 | 27 | 9.0 ± 0.6 | 10.7 | 8.2 ± 0.4 | 7.5 |
| 25                  | 5.5 | 27 | 9.0 ± 0.4 | 10.5 | 7.6 ± 0.6 | 7.5 |
| 25                  | 7.5 | 27 | 9.2 ± 0.5 | 11.5 | 8.5 ± 0.5 | 8.1 |
| 25                  | 6.5 | 06 | 4.2 ± 0.2 | 5.1 | 3.5 ± 0.3 | 4.1 |
| 25                  | 6.5 | 48 | 9.2 ± 0.7 | 12.0 | 8.1 ± 0.9 | 6.3 |
| 25                  | 6.5 | 27 | 9.2 ± 0.5 | 10.8 | 7.9 ± 0.4 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.9 | 10.8 | 7.9 ± 0.7 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.6 | 10.8 | 8.2 ± 0.6 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.8 | 10.8 | 8.2 ± 0.8 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.6 | 10.8 | 8.2 ± 0.6 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.5 | 10.8 | 8.0 ± 0.5 | 7.4 |
| 25                  | 6.5 | 27 | 9.2 ± 0.7 | 10.8 | 8.1 ± 0.3 | 7.4 |

$n = 3$; Values are the mean ± SE.
CFR 1302 and *L. innocua* CFR 1304 as a function of pH level, the coefficients derived by multivariate analysis were utilized. The derived quadratic responses for the two cultures of *Listeria* spp. included in present study were as follows:

*Listeria monocytogenes* CFR 1302 in (i) BHI and (ii) nutrient broths

(i) CFU log<sub>10</sub> = 7.91 + 0.52T - 3.94P + 0.34t - 0.006T² - 0.006TxP + 0.31P² - 0.0009Pxt - 0.002t² - 0.005txT

(ii) CFU log<sub>10</sub> = -29.76 + 1.32T + 4.26P + 0.26t - 0.009T² - 0.085TxP - 0.125P² + 0.003Pxt - 0.001t² - 0.005txT

*Listeria innocua* CFR 1304 in (i) BHI and (ii) nutrient broths

(i) CFU log<sub>10</sub> = -3.91 + 0.72T - 2.37P + 0.58t - 0.008T² + 0.002TxP + 0.218P² - 0.009Pxt - 0.005t² - 0.006txT

(ii) CFU log<sub>10</sub> = 4.44 + 0.42T - 3.87P + 0.56t - 0.004T² + 0.011TxP + 0.328P² - 0.014Pxt - 0.004t² - 0.005txT

As the response surface plots were in a similar manner, only representative surface plots at pH 6.0, 6.5 and 7.0 are presented for the two individual cultures in respective culture broths. The derived response surface plots for *L. monocytogenes* CFR 1302 in BHI and nutrient broths at selected pH levels are shown in Fig. 1 and 2, respectively.

The response surface plot generated for *L. monocytogenes* CFR 1302 in BHI broth revealed that growth proliferation was almost same at all the 3 pH levels presented. The risk population of 7.0 logs and above was evident with early storage periods of even 6 h at storage temperatures of 25°C and above. In the range of 10-20°C, the same risk population level appears between 18-30 h of storage time. The maximum population level that could be visualized was 11.0 logs (Fig. 1). The growth profile in nutrient broth was similar to the one generated in BHI broth. However, the population levels were on a higher side, which may be uncharacteristic in a given experimental design. The derived population levels were similar to the predicted counts recorded in the CCRD experiment (Fig. 2 and Table 1).

The growth profile of *L. innocua* CFR 1304 in BHI broth visualized in the generated response surface plot was not in uniform pattern (Fig. 3). At all the storage temperatures, in the storage periods of 6-30 h, the population levels were less than 1.0 log, followed by an increase and an immediate decrease and subsequently showing an increasing trend. The risk level of 7.0 log and above was evident at longer storage periods of 36-48 h at storage temperatures of 25-40°C (Fig. 3). On the other hand, *L. innocua* CFR 1304 in nutrient broth revealed lower population levels. Irrespective of 3 pH levels (6.0, 6.5 and 7.0), the risk level of 7.0 log was evident only at stipulated storage periods of 18-42 h at storage temperatures of 20-40°C (Fig. 4).

| Table 3. Coefficients derived by regression analysis for viable counts of *Listeria monocytogenes* CFR 1302 in brain heart infusion and nutrient broths, respectively |
| Source | Brain heart infusion broth | Nutrient broth |
| Coefficient | SE | P | Coefficient | SE | P |
| Intercept | 7.91 | 10.36 | 0.46 | 29.76 | 6.47 | 0.0007 |
| T | 0.52 | 0.14 | 0.003 | 1.32 | 0.08 | 1.24E-08 |
| P | -3.94 | 2.97 | 0.21 | 4.26 | 1.85 | 0.04 |
| t | 0.34 | 0.09 | 0.004 | 0.26 | 0.05 | 0.001 |
| T² | -0.006 | 0.001 | 3.58E-05 | -0.009 | 0.0006 | 7.64E-09 |
| T x P | -0.006 | 0.02 | 0.73 | -0.08 | 0.01 | 2.94E-05 |
| P² | 0.31 | 0.22 | 0.19 | -0.12 | 0.13 | 0.38 |
| P x t | -0.000 | 0.01 | 0.94 | 0.003 | 0.008 | 0.71 |
| t² | -0.002 | 0.0004 | 0.0002 | -0.001 | 0.0003 | 0.0001 |
| t x T | -0.005 | 0.0008 | 7.01E-05 | -0.005 | 0.0005 | 1.26E-06 |
| R² | 0.96 | 0.99 |

T, storage temperature (°C); P, pH level; t, storage period (h); R², Coefficient of determination
Effect of heat and cold treatments on *Listeria* spp.

In the experimental study, the viable cell numbers obtained after heat treatment in respect of *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304 in heating menstra of Milli-Q water, PBS, BHI broth, whole milk and skim milk were used to determine the $D$-values (time in min), individually. A survivor curve generated for *L. monocytogenes* CFR 1302 in Milli-Q water at 60°C is presented graphically (Fig. 5a) as a representative of determination of $D$-value. The survival curve plotted was linear with a correlation coefficient ($R^2$) of above 0.90. The 12 $D$-values of the two test cultures and their corresponding decimal reduction time in selected heating menstra are shown in Table 5. The $D$-values for these two

![Fig. 1](image_url)

*Fig. 1.* Response surface plots for population of *Listeria monocytogenes* CFR 1302 grown in brain heart infusion broth at pH levels of 6.0 (a), 6.5 (b) and 7.0 (c).
cultures ranged from a highest of 8.4 min at 56°C for *L. monocytogenes* CFR 1302 in skim milk to the lowest of 3.7 min at 60°C for *L. innocua* CFR 1304 in Milli-Q water. The commercial sterility values, also known as 12 D-values, revealed a highest of 100.8 min to a lowest of 44.4 min, all of which

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**Fig. 2.** Response surface plots for population of *Listeria monocytogenes* CFR 1302 grown in nutrient broth at pH levels of 6.0 (a), 6.5 (b) and 7.0 (c).
corresponded to the recorded D-values described previously. The average 12 D-values at 60°C (near to pasteurization temperature) from all the five different heating media for L. monocytogenes CFR 1302 was 51.4 min which appeared to be in close agreement of time-temperature combination of conventional pasteurization of low temperature long time at 63°C for 30 min. Tested across temperatures and the media, the mean D-values were 5.2 and 5.3 for L. monocytogenes CFR 1302 and L. innocua CFR 1304, respectively, which was statistically at par (Fig. 5b). There is clear indication, therefore, that the thermal resistance of these two cultures did not vary. From the derived mean D-values, it can be observed that the different heating media bore no varied influence (P<0.05) upon the behavior of these two cultures against the heat treatment affected in this experimental study (Fig. 5c). These values were statistically not significant (P<0.05), which goes to indicate that cultures of L. monocytogenes and L. innocua were not very much sensitive to heat treatments.

The z-values (the value of an increase in temperature required to reduce the D-value by one log) for the two test cultures are shown in Table 6. The lowest z-value of 14.2°C was recorded for L. innocua CFR 1304 in Milli-Q water and the highest of 33.3°C in whole milk was recorded for both L. monocytogenes and L. innocua. The average z-value for L. monocytogenes CFR 1302 was 27.3°C as against that of 22°C for L. innocua CFR 1304 across the heating menstra. The z-values for different heating media tested were statistically different for each of the test cultures. In the case of L. monocytogenes, the effect with PBS and skim milk was significant (P<0.05), while it was not significant (P<0.05) with Milli-Q water, BHI broth and whole milk. On the contrary, the effect with L. innocua CFR 1304 was statistically significant (P<0.05).

The behavior of two native food isolates one each of toxigenic L. monocytogenes CFR 1302 (Fig. 6) and non-toxigenic L. innocua CFR 1304 (Fig. 7) to individual low temperatures of 4, 8 and -20°C was studied in selected media of BHI broth, skim milk and whole milk. At 4 and 8°C storage temperatures, the first viable populations of L. monocytogenes CFR 1302 in BHI broth, skim milk and whole milk demonstrate a considerable increase while in the storage period of 10 d, except with an initial inoculum of 7.4 log in whole milk at 8°C. Irrespective of culture media, the highest counts recorded at 10 d storage period ranged between 6.7 and 8.9 log_{10} CFU mL^{-1}. However, there was a slight reduction in the viable population of L. monocytogenes CFR 1302 from the initial level during 10 d storage period in all three media when stored at -20°C (Fig. 6). The viable counts recorded in 10 d storage ranged from 3.8-7.2 log_{10} CFU ml^{-1} with an appreciable decrease with initial level of 5.4 log. The behavior of L. innocua CFR 1304

| Source          | Brain heart infusion broth                  | Nutrient broth                  |
|-----------------|--------------------------------------------|---------------------------------|
| Intercept       | -3.91                                      | 4.44                            |
| T               | 0.72                                       | 0.42                            |
| P               | -2.37                                      | -3.87                           |
| t               | 0.58                                       | 0.56                            |
| T^2             | -0.008                                     | -0.004                          |
| T x P           | 0.002                                      | 0.01                            |
| P^2             | 0.21                                       | 0.32                            |
| P x t           | -0.009                                     | -0.014                          |
| t^2             | -0.005                                     | -0.004                          |
| t x T           | -0.006                                     | -0.005                          |
| R^2             | 0.94                                       | 0.87                            |

T, storage temperature (°C); P, pH level; t, storage period (h); R^2, Coefficient of determination
was almost similar to that of *L. monocytogenes*, except in that the viable populations reached in 10 d storage was on a higher side at 4 and 8°C in the range of 6.8-9.4 log$_{10}$ CFU mL$^{-1}$ from their respective initial population levels. At -20°C storage, the viable populations showed a marginal decrease of almost 1.0 log in 10 d storage from their respective initial inoculum levels (Fig. 7) in all the three media.

![Graphs showing response surface plots](https://example.com)

**Fig. 3.** Response surface plots for population of *Listeria innocua* CFR 1304 grown in brain heart infusion broth at pH levels of 6.0 (a), 6.5 (b) and 7.0 (c).
DISCUSSION

Growth responses of *Listeria* spp.

Most of the studies with *L. monocytogenes* have focused on the growth behavior of *L. monocytogenes*, on *L. monocytogenes* Scott A strain in different growth media. These studies have provided data that have an impact on food safety related aspects that can find commercial

![Response surface plots for population of *Listeria innocua* CFR 1304 grown in nutrient broth at pH levels of 6.0 (a), 6.5 (b) and 7.0 (c).](image_url)

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*Fig. 4.* Response surface plots for population of *Listeria innocua* CFR 1304 grown in nutrient broth at pH levels of 6.0 (a), 6.5 (b) and 7.0 (c).
applications at different periods of time. Variation in growth responses have been attributed to the typical characteristics of specific culture influenced by environmental factors\(^{26}\). Considering the public health significance of \textit{L. monocytogenes}, it becomes important to relate their behavior under probable extrinsic and intrinsic conditions that could prevail in the food chain system.

Considering the specificity of isolated culture in relation to the natural habitat and harboring of toxigenic traits, the present study was an attempt to evaluate the behavior of two native food isolates, one each of toxigenic \textit{L. monocytogenes} CFR 1302 and a non-toxigenic \textit{L. innocua} CFR 1304 introduced at minimum inoculum levels in two commonly used culture broths under the influence of storage temperature and periods, as well as pH levels that exist in the food chain at all times. It was observed that the risk causing population levels of \textit{L. monocytogenes} were predominant under a wide range of cultural attributes generally encountered in the food chain.

Earlier studies were undertaken on similar lines of present study to assess growth behavior of \textit{L. monocytogenes} as influenced by cultural attributes and environmental factors. Response surface models generated using regression analysis revealed reasonable impact of influencing factors on the prolific growth of \textit{L. monocytogenes}.\(^5\) Further, studies indicated that behavior of \textit{L. monocytogenes} was more dependent on the type of strain and interactions of influencing factors, wherein growth responses varied among strains and this variation was mainly due to the type of culture and temperature effects.\(^{26,27}\)

The present study did highlight that inoculum size was important in growth initiation. Under the effect of pH water activity, temperature, and the inoculum size in tryptic soy broth, the model revealed the importance of inoculum size for microbial growth initiation.\(^6\) A model proposed to predict the individual cell lag time of \textit{L. monocytogenes} in different growth conditions was of importance in developing risk free food safety programme.\(^{28}\)

Studies have been undertaken on other species of \textit{Listeria} apart from \textit{L. monocytogenes}, namely on \textit{L. seeligeri}, \textit{L. innocua}, \textit{L. ivanovi} and \textit{L. welshimeri} to generate growth estimates in response to variables of food and environment.\(^{29}\)

| Table 5. Decimal reduction time (D-values in min) for cultures of \textit{Listeria monocytogenes} CFR 1302 and \textit{Listeria innocua} CFR 1304 |
|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Culture          | Mill-Q. water    | PBS              | BHI broth        | Skim milk        | Whole milk       |
|                  | 56°C             | 58°C             | 60°C             | 56°C             | 58°C             | 60°C             | 56°C             | 58°C             | 60°C             | 56°C             | 58°C             | 60°C             | 56°C             | 58°C             | 60°C             |
| \textit{L. monocytogenes} CFR 1302 | (56.4)           | (58.8)           | (60.0)           | (66.0)           | (60.0)           | (48.0)           | (63.6)           | (60.0)           | (48.0)           | (63.6)           | (60.0)           | (48.0)           | (63.6)           | (60.0)           | (48.0)           |
|                     | 5.8±0.2 a        | 5.2±0.2 a        | 4.2±0.3 a        | 5.9±0.7 a        | 5.1±0.3 a        | 4.4±0.1 a        | 5.0±0.3 a        | 4.9±0.2 a        | 4.0±0.2 a        |
| \textit{L. innocua} CFR 1304 | (56.4)           | (58.8)           | (60.0)           | (66.0)           | (60.0)           | (48.0)           | (63.6)           | (60.0)           | (48.0)           |
|                     | 7.2±0.2 b        | 5.3±0.3 a        | 3.7±0.2 a        | 6.4±0.1 a        | 7.0±0.2 a        | 5.4±0.2 a        | 6.3±0.1 a        | 4.9±0.2 a        | 4.4±0.2 a        |
| D-values are the means of 3 experimental trials, each performed in duplicates. Mean values in the same column followed by different superscripts differ significantly (P<0.05). Figures in parenthesis indicate 12 D-values.
It was quite evident that effectiveness of response surface models those generated in present study as well as those of earlier researchers was more predominantly dependent on closeness of predicted data with that of experimental values. The predictions and surface plots generated in this study do indicate a safety margin, wherein such population levels could be attained by bacterial cultures under extreme abuse conditions of post-processing contamination and handling practices in the food chain. The developed models could be more useful in describing growth limits of pathogens like <em>L. monocytogenes</em> in formulating food safety programmes.

**Effect of heat and cold treatments on <em>Listeria</em> spp.**

As <em>L. monocytogenes</em> is involved in several food poisoning outbreaks, the behaviour of this organism becomes very significant to both heat and cold treatments that form an integral part of food chain. Earlier studies have shown that <em>L. monocytogenes</em> is completely destroyed when food is cooked for upto and more than 2 mins to an internal temperature of 70°C. It was also reported that cultures of <em>L. monocytogenes</em> exhibited heat resistance and HTST pasteurization process for liquid egg products may not ensure a product free from <em>Listeria</em> 31.

The <em>D</em>-values recorded in present study (Table 5) were lower to those reported in earlier studies 32. In the case of whole milk, the values ranged from 4.6 to 5.0 at 58°C, a trend that was comparable with an earlier study that recorded a <em>D</em>-value of 4.8. 33 Contrastingly, in skim milk, the <em>D</em>-values at 56°C across the test cultures of <em>Listeria</em> spp. ranged from 6.3 to 8.4, which was slightly lower to those values reported in earlier studies. 34 On the other hand, in BHI broth, the <em>D</em>-values at 60°C ranged between 4.1 and 7.0 that were higher to the values of 0.83 to 1.28 at 60°C reported by Juneja et al. 9 There have been two insights from the heat resistance of <em>L. monocytogenes</em> in discrete heating media as influenced by growth temperatures that the highest heat resistance was of a greater value at higher incubation temperatures and that the value of these differences is determined by the composition and pH of heating menstra. 35

The variations in <em>D</em>-values observed between present study and those of earlier researchers can be associated with either one or many of these mentioned reasons: (i) phenotypic and genotypic differences in the species and strains of <em>Listeria</em> spp. included in studies, (ii) nature of experimental design, (iii) physiology of the cells when subjected to heat treatments, (iv) qualitative and quantitative constituents of heating media and (v) extrinsic and intrinsic factors and variables.

The survivor curve in this study followed a linear pattern. Although <em>Listeria</em> are known to survive pasteurization conditions, the findings of present study did indicate that a hypothetical pasteurization process of 62.8°C for 30 min for any of the heating menstrum studied including skim milk and whole milk would be sufficient to eliminate the microorganism with a wide safety margin. Heat resistance of <em>L. monocytogenes</em> studied in culture broth at 55, 60 and 65°C using three physiological states of the culture revealed that thermal tolerance could be increased with a sub-lethal heat shock resulting in heat resistant cells. 36

It is of general opinion that matrix of the heating medium would greatly influence thermal resistance of the cultures being studied and more so act as insulators of heat. However, in the present study, no variation in thermal resistance was evidenced across the media and

### Table 6. <em>z</em>-values of <em>Listeria monocytogenes</em> CFR 1302 and <em>Listeria innocua</em> CFR 1304 in different heating menstrums

| Culture Heating menstra | Milli-Q water (Mean value ± SE) | Phosphate buffered saline in °C ± SE | BHI broth | Skim milk | Whole milk |
|-------------------------|---------------------------------|-------------------------------------|-----------|-----------|------------|
| <em>L. monocytogenes</em> CFR 1302 | 33.3 ± 0.8 | 20.0 ± 0.9 | 33.0 ± 0.7 | 16.6 ± 1.2 | 33.3 ± 0.9 |
| <em>L. innocua</em> CFR 1304 | 14.2 ± 1.1 | 25.0 ± 1.7 | 16.6 ± 1.1 | 21.2 ± 0.9 | 33.3 ± 1.7 |

Values across the media were statistically varied (<em>P</em>&lt;0.05)
cultures tested. It was evident in an earlier study that diversity among strains of *L. monocytogenes* should be considered by food processors by taking into account risk assessment and process calculations.\(^{37}\) In assessing the risk involved due to *L. monocytogenes* in the food chain, it becomes very much necessary to evaluate the nature of prevailing strains and the constituents of food that could influence the thermal resistance of microorganisms.

In the background of global emphasis on microbial food safety, the behavioral aspects related to *L. monocytogenes* need to be studied at low temperatures, particularly from the point of contamination in food industry.\(^16\) The present study revealed a significant increase in the

**Fig. 5.** Effect of heat treatment on native food isolates of *Listeria monocytogenes* and *Listeria innocua*. (a), representative survivor curve for *L. monocytogenes* CFR 1302 in Milli-Q water at 60°C; (b), mean D-values for *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304; (c), mean D-values obtained for *L. monocytogenes* CFR 1302 and *L. innocua* CFR 1304 in five heating menstrum at 60°C.
viable numbers of native toxigenic culture of *L. monocytogenes* CFR 1302 at 4 and 8°C during storage period, while it was only a marginal increase at -20°C (Fig. 6). Even the non-toxigenic culture of *L. innocua* CFR 1304 showed almost same behavioral pattern under the influence of cold treatments (Fig. 7). An observation similar to that of present study with an increase in viable population of *L. monocytogenes* was recorded at 4 and 8°C in an earlier study. The increased

![Graphs showing the behavioral pattern of *Listeria monocytogenes* CFR 1302 during storage at 4°C (a), 8°C (b) and -20°C (c) in brain heart infusion broth, skim milk and whole milk introduced at initial population levels of 5.4, 6.4 and 7.4 log CFU ml⁻¹. -◊-, 5.4 log in BHI broth; -♦-, 6.4 log in BHI broth; - x-, 7.4 log in BHI broth; -□-, 5.4 log in skim milk; -■-, 6.4 log in skim milk; -●-, 7.4 log in skim milk; -○-, 5.4 log in whole milk; -●-, 6.4 log in whole milk and -+-, 7.4 log in whole milk.](image)

*Fig. 6.* Behavioral pattern of *Listeria monocytogenes* CFR 1302 during storage at 4°C (a), 8°C (b) and -20°C (c) in brain heart infusion broth, skim milk and whole milk introduced at initial population levels of 5.4, 6.4 and 7.4 log CFU ml⁻¹. -◊-, 5.4 log in BHI broth; -♦-, 6.4 log in BHI broth; - x-, 7.4 log in BHI broth; -□-, 5.4 log in skim milk; -■-, 6.4 log in skim milk; -●-, 7.4 log in skim milk; -○-, 5.4 log in whole milk; -●-, 6.4 log in whole milk and -+-, 7.4 log in whole milk.
population remained to be unaltered for almost 22 d of storage. 

As it was in case of heat treatment studies, even the effectiveness of cold treatment in causing injury and death of *L. monocytogenes* appear to be dependent upon the type of cultures and suspending menstra. The behavior of *L. monocytogenes* in the range of low temperatures used commonly for storage revealed a faster growth rate below 10°C. 

Fig. 7. Behavioral pattern of Listeria innocua CFR 1304 during storage at 4°C (a), 8°C (b) and -20°C (c) in brain heart infusion broth, skim milk and whole milk introduced at initial population levels of 5.4, 6.4 and 7.4 log\_10 CFU ml\(^{-1}\). -◊-, 5.4 log in BHI broth; -●-, 6.4 log in BHI broth; -×-, 7.4 log in BHI broth; -○-, 5.4 log in skim milk; -■-, 6.4 log in skim milk; -□-, 7.4 log in skim milk; -○-, 5.4 log in whole milk; -●-, 6.4 log in whole milk and -+-, 7.4 log in whole milk.
temperatures: a degree of unsaturated fatty acids enhances the membrane fluidity at low temperatures. *L. monocytogenes* also creates cold shock proteins at lower temperatures by synthesizing cold acclimation proteins at a phase of balanced growth. There is much evidence to suggest that *L. monocytogenes* accumulates convenient solutes like carnitine, betaine, and glycine that act as cryoprotectants and enables growth of the culture at low temperature. Being a psychrotroph in its habitat, *L. monocytogenes* as a foodborne pathogen has developed mechanisms to survive low temperature.

Based on the findings of this study and those reports from earlier studies, it could be inferred that refrigeration storage (0 to 10°C) does not ensure a complete growth inhibition of *L. monocytogenes*. However, refrigerated storage enables to extend the lag period and in turn reduce the growth rate. These effects may achieve significance with lower storage temperatures.

**CONCLUSION**

The native toxigenic *L. monocytogenes* CFR 1302 has the potential to reach higher viable population in selected culture broths as well as prevail in heat and cold treatments. Assessing the influencing factors as well as heat and cold treatments that could predict viable populations of *L. monocytogenes* as a cause of risk of health hazard in the food chain is of significance in providing safe and healthy food to human population.

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**CONFLICT OF INTEREST**

All authors declare that there is no conflict of interest.

**AUTHORS’ CONTRIBUTION**

JSH carried out experiment, wrote and edited the manuscript. VMC Supervised, designed and reviewed the manuscript.

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**DATA AVAILABILITY**

The datasets generated and/or analysed during the current study are available from the corresponding author on reasonable request.

**ETHICS STATEMENT**

Not applicable.

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