Krüppel-like factor 5 promotes the progression of oral squamous cell carcinoma via the baculoviral IAP repeat containing 5 gene

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Background: Krüppel-like factor 5 (KLF5) is highly expressed in a variety of tumors, and our study aimed to investigate the role of KLF5 in oral squamous cell carcinoma (OSCC).

Methods: To explore the differential expression of KLF5, next-generation sequencing (NGS) and further analyses were conducted in paired premalignant and tumor tissues and adjacent normal mucosa. We then analyzed the mRNA expression data from The Cancer Genome Atlas (TCGA) and performed gene set enrichment analysis (GSEA) to predict the function of KLF5. Small interfering RNA (siRNA) targeting KLF5 was used to knock down its expression in cells and further evaluate the changes in cell apoptosis, proliferation, and migration. We predicted whether baculoviral inhibitor of apoptosis protein (IAP) repeat containing 5 (BIRC5) was the potential target gene of KLF5 via the NCBI and JASPAR databases. Furthermore, we analyzed BIRC5 expression after KLF5 knockdown and explored its function in athymic BALB/c nude mice.

Results: KLF5 expression in clinical samples gradually increased from normal mucosa tissues to premalignant and then to OSCC tissues. Analysis of TCGA data and GSEA also suggested that KLF5 was expressed at higher levels in OSCC and involved apoptosis and the protein 53 (P53), transforming growth factor-β (TGF-β), and wingless/integrated (Wnt) signaling pathways. Cell apoptosis was promoted, whereas proliferation and migration were inhibited after KLF5 knockdown. Furthermore, we found KLF5 transcription binding sites on the BIRC5 promoter and BIRC5 expression was inhibited after suppressing KLF5 in vitro and in vivo.

Conclusions: Our findings indicate that KLF5 promotes the development of OSCC via BIRC5, and could be a potential diagnostic and therapeutic target for OSCC.

Keywords: Oral squamous cell carcinoma (OSCC); Krüppel-like factor 5 (KLF5); baculoviral inhibitor of apoptosis protein repeat containing 5 (BIRC5); oral potential malignant disorder; bioinformatics analysis

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Introduction

Oral squamous cell carcinoma (OSCC) occurs in the oral cavity including the tongue, mouth floor, soft palate, gums, and buccal mucosa (1). It is the most common tumor in the head and neck region, accounting for 90% of all oral malignancies and 1–2% of all human malignancies (2). Furthermore, 16–62% of OSCC cases arise from oral potentially malignant disorders (OPMDs) (3). OPMDs, such as oral leukoplakia, erythroplakia, and oral lichen planus (OLP), represent various lesions occurring in the oral mucosa that can progress to malignancies (4). Currently, the lack of accurate indicators for oral cancer screening in the general population has led to a high incidence of oral cancer (5). Therefore, identification of key factors that control the progression of OPMDs and OSCC is urgently required to facilitate early diagnosis and treatment of OSCC, which will improve the survival and prognosis of patients.

Krüppel-like factors (KLFs) are a family of transcription factors involved in cell proliferation, differentiation, growth, and development and are closely associated with various cancers (6). One of its family members, Krüppel-like factor 5 (KLF5), plays a critical role in the occurrence and development of multiple tumors. KLF5 regulates baculoviral inhibitor of apoptosis protein (IAP) repeat containing 5 (BIRC5) expression by interacting with protein 53 (P53) in acute lymphoblastic leukemia (7). Similarly, KLF5 inhibits BIRC5 expression by directly binding to the BIRC5 promoter in ovarian cancer stem-like cells (8). Previous studies have found that BIRC5, a member of the IAP family, is a central molecular and therapeutic target in cancer (9), and its high expression is associated with poor overall survival in patients with OSCC (10). It has been demonstrated that miR-375, as a tumor suppressor, can decrease KLF5 expression to inhibit OSCC development (11); however, the role of KLF5 in OSCC and OPMDs has not been further elucidated until now. Furthermore, the correlation between KLF5 and BIRC5 in OSCC and OPMDs remains unclear.

In this study, we investigated the differential expression of KLF5 in OSCC and OPMDs using bioinformatics analysis. Further experiments were performed to explore the association of KLF5 with the malignant biological behavior of OSCC and OPMD cells. We then analyzed the relationship between KLF5 and BIRC5 using bioinformatics analysis as well as the suppression of KLF5 expression in vitro and in vivo. We present the following article in accordance with the ARRIVE reporting checklist (available at https://atm.amegroups.com/article/view/10.21037/atm-22-3728/rc).

Methods

Clinical sample acquisition

Paired OSCC, OLP, and adjacent normal mucosa samples were obtained from 2 patients pathologically diagnosed with OSCC and OLP at the Peking University School and Hospital of Stomatology (Beijing, China). The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Peking University Institutional Review Board (approval No. IRB00001052–12037) and informed consent was taken from both patients. Next-generation sequencing (NGS) was used to select the differentially expressed genes, and the data are available with the Gene Expression Omnibus (GEO) series accession number GSE70666 (http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE 70666).

The Cancer Genome Atlas (TCGA) dataset

The mRNA expression data of 170 OSCC samples and 19 paracancerous tissues were obtained from TCGA dataset (https://www.cancer.gov/). The inclusion criteria for this study were patients diagnosed with OSCC by pathology and no history of other tumors. RNA-seq data were converted to transcripts per million values and then taken as log2 values, with the ‘scale’ function in the R package limma further applied for normalization.

Gene set enrichment analysis (GSEA)

The 170 OSCC samples were divided into high- and low-expression groups based on the best KLF5 cutoff value defined by X-tile software (version 3.6.1). To explore the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment of KLF5, the RNA expression data of both groups were extracted from the TCGA dataset. GSEA was performed using GSEA software (version 4.1.0). The predefined gene sets “c2.cp.kegg.v7.2.symbols.gmt” and “h.all.v7.5.1.symbols.gmt” were downloaded from the Molecular Signatures Database (MSigDB). Pathways and hallmarks with false discovery rate <25% and P<0.05 after performing 1,000 permutations were considered significantly enriched.
Human cell lines

OSCC cell lines (CAL27, SCC15) and the OPMD cell line (DOK) were cultured at 37 °C in a humidified incubator with 5% CO₂. CAL27 and SCC15 cells were maintained in Dulbecco’s modified Eagle’s medium (DMEM, Gibco, Grand Island, NY, USA) supplemented with fetal bovine serum (FBS, Gibco) and 1% penicillin/streptomycin (Solarbio, Beijing, China). DOK cells were cultured in DMEM supplemented with FBS, 1% penicillin/streptomycin, and 0.4 mM hydrocortisone (Solarbio).

Cell transfection

Cells (CAL27, SCC15, and DOK) were transfected for 48 h with small interfering RNAs (siRNAs) specifically targeting KLF5 (si-KLF5) and corresponding non-specific siRNA (si-NC) (JijiangBio, Nanjing, China). DOK cells were cultured in DMEM supplemented with FBS, 1% penicillin/streptomycin, and 0.4 mM hydrocortisone (Solarbio).

Wound-healing cell migration assay

Cells (CAL27, SCC15, and DOK) were seeded in 6-well plates and cultured to 100% confluence. Then, a linear wound was introduced across the monolayer using a sterile 200-μL pipette tip. Non-adherent cells and debris were removed, and serum-free medium was added. Percentage wound closure (%) = (width at hour 0 – width at hour 24)/ (width at hour 0) × 100. Wound areas were evaluated using ImageJ software (version 1.8.0).

Quantitative real-time PCR (qRT-PCR) analysis

Total RNA was extracted and reverse-transcribed into cDNA. Subsequently, qRT-PCR was performed using primers for amplification of human KLF5, BIRC5, and glyceraldehyde-3-phosphate dehydrogenase (GAPDH). The primer sequences used are listed in Table 1. All samples were analyzed in triplicate, and relative gene expression levels were quantified using the 2⁻ΔΔCt method.

Western blot analysis

Total protein was extracted from the cells using radiolabel precipitation assay lysis buffer (Solarbio) containing a protease inhibitor cocktail. Proteins (20 µg)
were separated using sodium dodecyl-sulfate polyacrylamide gel electrophoresis (SDS-PAGE, Solarbio) and transferred onto polyvinylidene fluoride (PVDF) membranes (Millipore, Billerica, Massachusetts, USA). Then, membranes were incubated with primary antibodies [1:2,000 KLF5, 1:3,000 BIRC5, and 1:3,000 horseradish peroxidase (HRP)-conjugated secondary antibody] at 4 °C overnight. Images were acquired using the ImageQuant Las 4000 system (GE Healthcare, Massachusetts, USA), and the density of each protein band was calculated using Image J software.

**Construction of the cell line-derived xenograft (CDX) model**

Animal experiments were performed under a project license (No. LA2019173) granted by the Institutional Animal Care and Use Committee of the Peking University, in compliance with its guidelines for the care and use of animals. A protocol was prepared before the study without registration. Male athymic BALB/c nude mice (4–6 weeks old) were housed under broken barrier-specific pathogen-free conditions, with 3 mice per cage. Considering animal welfare and economics, 3 mice were used in each group to decrease the individual differences. Animals were randomly assigned (using a random number generator) into treatment and control groups and evaluated by individuals blinded to treatment regime received. In addition, all subsequent operations were performed randomly to minimize potential confounders. All mice were maintained on a regular diurnal lighting cycle (12:12 light: dark) with ad libitum access to food (7012 Harlan Teklad LM-485 Mouse/Rat Sterilizable Diet) and water, with chopped corn cob as bedding. The CDX model was established by injecting siRNA-treated tumor cells (1×10^6 cells per mouse) into the bottom of the mouth. Considering the complex microenvironment in animals and the degradability of siRNA, ML264 (Selleck, Shanghai, China) was used to inhibit KLF5 expression in vivo. It was injected around the tumors every 2 days at 25 mg/kg and the vehicle solution was used as the negative control. Considering the oversized tumor might obstruct mice's breathing, all mice were euthanized after 3 weeks and the tumors were excised for further analysis.

**Immunofluorescence analysis**

Tumor tissues were fixed overnight with 4% paraformaldehyde, dehydrated with a 30% sucrose aqueous solution, embedded in OCT reagent (Sakura, Tokyo, Japan), and sliced to a thickness of 10 μm (Leica, Wetzlar, Germany). The sections were incubated with primary antibodies against KLF5 (1:100), BIRC5 (1:500), and HRP-conjugated secondary antibodies (1:2,000). Apoptosis of tumor tissues was assessed using a TUNEL kit (Solarbio). Representative photomicrographs were obtained using an Eclipse 90i microscope (Nikon, Tokyo, Japan).

**Statistical analysis**

All statistical analyses were performed using SPSS (version 27.0) and R Studio (version 4.0.2). All data are presented as the mean ± standard deviation of at least 3 independent experiments. The Student's t-test was used to evaluate differences between the 2 groups. Statistically significant data were presented, and P<0.05 was set as the threshold for statistical significance.

**Results**

**Functional enrichment analysis of KLF5**

As shown in Figure 1A, the results demonstrated that KLF5 expression increased from normal tissues to OLP and then to OSCC tissues in the 2 clinical samples. In addition, significant differential expression of KLF5 between normal and OSCC tissues was observed in the TCGA dataset, with higher expression in OSCC (Figure 1B). Further analysis suggested that the high-expression group was mainly enriched in apoptosis, the cell cycle, the P53 signaling pathway, pathways in cancer, and the wingless/integrated (Wnt) signaling pathway (Figure 1C), while apoptosis, E2F targets, and transforming growth factor-β (TGF-β) pathway were enriched in hallmarks (Figure 1D).

**KLF5 inhibited cell apoptosis in OPMDs and OSCC**

Cell apoptosis was detected using annexin V/PI staining in DOK, CAL27, and SCC15 cells. As shown in Figure 2A-2D, the results indicated increased cell apoptosis after si-KLF5 treatment, suggesting that high expression of KLF5 could inhibit cell apoptosis.

**KLF5 promoted cell proliferation in OPMDs and OSCC**

After siRNA-mediated knockdown of KLF5 in cells, we used CCK-8 assays to assess cell viability as a marker
of proliferation 24, 48, and 72 h after transfection (Figure 2E-2G). We observed marked suppression of cell viability after KLF5 knockdown (Figure 2H).

**KLF5 accelerated cell migration in OPMDs and OSCC**

A wound-healing assay was used to evaluate the impact of KLF5 on cell migration (Figure 2I). DOK, CAL27, and SCC15 cells exhibited significantly reduced wound-healing ability after siRNA-mediated KLF5 knockdown (Figure 2J).

**Low expression of KLF5 inhibited BIRC5 in vitro**

As shown in Figure 3A, further analysis of the NCBI and JASPAR data showed 4 binding sites on the BIRC5 promoter region, even though we set the relative profile score threshold at 95%. The higher the threshold value, the more likely it is that the KLF5 transcription factor could combine with the BIRC5 promoter region. Further analysis of the TCGA dataset indicated that the expression levels of KLF5 and BIRC5 were positively correlated (Figure 3B). BIRC5 differential expression was confirmed in paired samples from the 2 patients, with the highest expression in OSCC tissues (Figure 3C). The analysis of the transcriptome sequencing data suggested that the expression of BIRC5 also decreased when we knocked down KLF5 expression in CAL27 cells (Figure 3D). Furthermore, qRT-PCR and western blot analysis showed lower expression of BIRC5 mRNA and protein after si-KLF5 treatment in OSCC and OPMD cells (Figure 3E-3G). Thus, KLF5 knockdown decreased BIRC5 expression in vitro.

**Animal experiments**

CAL27 cells have been used to build animal models and contribute to the formation of OSCC tumors. After euthanasia, the tumors were removed from the nude mice and imaged (Figure 4A). As shown in Figure 4B, the tumor...
Figure 2 Cell malignant behaviors were promoted after KLF5 knockdown. (A-C) The cell apoptosis rate was tested and calculated after 48 h. Cells in the lower left indicate the live cell population, while cells in the lower right and upper right represent apoptosis. (D) A significant difference was observed (n=3). (E) DOK, (F) CAL27, and (G) SCC15 cells were treated with si-NC or si-KLF5 for 24, 48, and 72 h. (H) Comparison of the differences in cell proliferation at 48 h (n=3). (I) Images of the wound healing area were captured for further comparison of wound closure differences after treatment with si-NC or si-KLF5 in DOK, CAL27, and SCC15 cells [percentage wound closure (%) = (width at hour 0 – width at hour 24)/width at hour 0) × 100]. (J) Significant differences were observed in graphs depicting the width of the wound scratch after treatment (n=3). Scale bars: 100 μm. *, P<0.05; **, P<0.01; ***, P<0.001. si-NC, non-specific siRNA; si-KLF5, siRNA specifically targeting KLF5.
Figure 3 KLF5 knockdown decreased BIRC5 expression in vitro. (A) The sequences of the KLF5 transcriptional binding sites and the predicted binding sequences on the BIRC5 promoter region. (B) The positive correlation between KLF5 and BIRC5 in TCGA dataset. (C) BIRC5 expression as determined by NGS in the normal mucosa (Nor), OLP, and OSCC tissues of 2 clinical samples. (D) Suppressed expression of BIRC5 after si-KLF5 treatment in CAL27 cells (n=3). (E) BIRC5 differential mRNA expression after treatment (n=3). (F,G) BIRC5 protein expression was tested using western blotting after si-NC (−) or si-KLF5 (+) treatment, and differential expression was observed (n=3). *, P<0.05; **, P<0.01. KLF5, Krüppel-like factor 5; BIRC5, baculoviral inhibitor of apoptosis protein repeat containing 5; RPKM, Reads Per Kilobase of exon model per Million mapped fragments; FPKM, Fragments Per Kilobase of exon model per Million mapped fragments; KEGG, Kyoto Encyclopedia of Genes and Genomes; TCGA, The Cancer Genome Atlas; NGS, next-generation sequencing; OSCC, oral squamous cell carcinoma; si-NC, non-specific siRNA; si-KLF5, specifically targeting KLF5; GAPDH, glyceraldehyde-3-phosphate dehydrogenase.
weight in the ML264 group was lower than that in the negative control group (P=0.0799). The results of the TUNEL assay showed a higher apoptosis rate in tumor tissues after ML264 treatment than in the control group (Figure 4C). Western blotting and immunohistochemical imaging of tumors dissected from nude mice showed that BIRC5 protein expression was decreased after ML264-induced inhibition of KLF5 in vivo (Figure 4D,4E). As shown in Figure 4F, the immunofluorescence signals for KLF5 and BIRC5 were darker after treatment with ML264. These results indicate that high KLF5 expression accelerates tumor growth, inhibits apoptosis, and affects
BIRC5 expression \textit{in vivo}.

\textbf{Discussion}

The current strategy for OSCC treatment is surgical excision combined with radiotherapy and chemotherapy, which not only causes large tissue defects but also damages the psychological health of the patients (12). In recent decades, targeted therapy has attracted increasing attention, and numerous potential targets have been explored for cancer therapy at the molecular level.

NGS is characterized by sequencing millions of molecules in parallel, making it possible to analyze the entire transcriptome and genome of a species in detail (13). It significantly decreases the cost and time, and it permits the quick and relatively cheap sequencing of multiple loci in the genome. Meanwhile, it minimizes the number of fragment cloning during gene hunting studies (14). Potential targets can be predicted by exploring differentially expressed genes using bioinformatics analysis. In addition, it is possible to identify potential molecular mechanisms and related pathways using enrichment clustering analysis of sequencing data (15).

There are many clinicopathological factors to influence the prognosis of patients with OSCC, and Tumor Node Metastasis (TNM) staging system is internationally accepted (16). Some of KLFs are identified as critical molecules in OSCC by involving in above factors. In OSCC cells, high-expression KLF16 (17) and low-expression KLF2 (18) markedly promote cell malignant behavior, including cell proliferation, apoptosis, migration, invasion, and epithelial-mesenchymal transition (EMT). In clinical samples, KLF4 (19), KLF7 (20) and KLF8 (21) overexpression accelerate OSCC invasiveness, lymph node metastasis or distant metastasis. In addition, KLF4 is highly expressed in the cut margins in recurrent OSCC, indicating it serves as a marker to predict recurrence (22). KLF5 functions as a cancer promoter in other tumors and has been reported to affect cell stemness, proliferation, apoptosis, autophagy, and migration (23). KLF5 is markedly upregulated in gastric cancer and its expression is positively correlated to TNM staging (24). KLF5 promotes TNFα-induced apoptosis and facilitates the initiation and progression of prostate cancer (25). KLF5 has also been identified as an oncogene in breast cancer and hepatocellular carcinoma, and its expression is directly associated with a poor prognosis (26,27). Interestingly, there remain a few controversies regarding KLF5 function in esophageal squamous cell carcinoma (28). However, KLF5 has emerged as an oncogene that promotes OSCC occurrence and development.

The accuracy of NGS is associated with tissue availability, handling and processing, nucleic acid yield, and quality may considerably influence the results (29). In our previous research, NGS technology and further analysis were applied to paired tumorous and premalignant tissues and adjacent normal mucosa from 2 patients diagnosed with OSCC and OLP to reduce the individual differences and all samples were treated in same condition. The results showed that KLF5 expression gradually increased from normal mucosa tissue to OLP and then to OSCC tissue. We found that the expression of KLF5 in OSCC was also significantly increased in the TCGA data. GSEA showed that it was closely related to the P53, E2F, and TGF-β signaling pathways, and all of them were closely related to the occurrence and development of tumors as central molecules. P53 and E2F are identified as tumor suppressors, whereas the dual function of TGF-β is also strongly associated with the development of various malignant tumors, including OSCC (30-32). In OSCC, TP53 mutations and high expression of E2F7 play carcinogenic roles and indicate worse survival and resistance to chemotherapy and radiotherapy (33,34). Analysis of clinical samples suggests that patients with high TGF-β expression in the tumor center have a higher recurrence rate and worse extent of invasion (35). Our bioinformatics analysis showed that high KLF5 expression was closely related to the initiation and progression of OSCC. Further results demonstrated that it could accelerate carcinogenesis by promoting cell proliferation and migration, while inhibiting apoptosis. ML264 inhibits KLF5 expression by directly targeting its transcription in colorectal cancer (36). Animal experiments showed that the tumor weight decreased after inhibiting KLF5 expression with ML264 in athymic BALB/c nude mice.

To further explore the oncogenic mechanism of KLF5, we found that BIRC5 expression also gradually increased from normal mucosa tissues to OLP and then to OSCC tissues. Its expression was positively correlated with KLF5 in clinical samples and the TCGA dataset. In previous studies, BIRC5 is a tumor-specific gene involved in cell apoptosis, accelerating tumor-associated angiogenesis, and resisting anticancer therapies (37,38). BIRC5 expression is higher in prostate cancer and its high expression is associated with greater tumor aggressiveness and progression (39). High expression of BIRC5 protein also indicates lower 5-year
survival rates in patients with colorectal cancer (40).

Further exploration was performed to reveal the potential relationship between KLF5 and BIRC5. We searched the promoter sequence of BIRC5 on NCBI (https://www.ncbi.nlm.nih.gov/) and further analyzed whether there was a binding site for the KLF5 transcription factor in the promoter region of BIRC5 in the JASPAR database (https://jaspar.genereg.net/). We predicted 4 binding sites in which the KLF5 transcription factor could combine with the BIRC5 promoter region at a 95% relative profile score threshold. The results of qRT-PCR and western blotting showed that BIRC5 expression decreased after KLF5 inhibition in vitro and in vivo.

Overall, our results indicated that KLF5 expression has increased in OSCC, and its high expression could inhibit cell apoptosis while promoting cell proliferation and migration. KLF5 may be a potential diagnostic and therapeutic target in OSCC, likely by regulating BIRC5. However, further research is needed to explore the detailed mechanism by which KLF5 regulates BIRC5 expression.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Peking University Institutional Review Board (approval No. IRB00001052–12037) and informed consent was taken from both patients. Animal experiments were performed under a project license (No. LA2019173) granted by the Institutional Animal Care and Use Committee of the Peking University, in compliance with its guidelines for the care and use of animals.

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