Post-ozonation in a municipal wastewater treatment plant improves water quality in the receiving stream

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Abstract

Background: Removal of organic micropollutants from wastewater by post-ozonation has been investigated in a municipal wastewater treatment plant (WWTP) temporarily upgraded with full-scale ozonation, followed by sand filtration, as an additional treatment step of the secondary effluent. Here, the SPEAR (species at risk) indicator was used to analyse macroinvertebrate abundance data that were collected in the receiving stream before, during and after ozonation to investigate whether ozonation improved the water quality.

Results: The SPEAR values indicate a better water quality downstream the WWTP during ozonation. With ozonation the relative abundance of vulnerable macroinvertebrates in the stream receiving the treated wastewater increases from 18 % (CI 15–21 %) to 30 % (CI 28–32 %). This increase of 12 % (CI 8–16 %) indicates improved ecological quality of the stream and shifts classification according to the Water Framework Directive from poor to moderate.

Conclusions: The SPEAR concept, originally developed to indicate pesticide stress, also appears to indicate toxic stress by a mixture of various micropollutants including pharmaceuticals, personal care products and pesticides. The responsiveness of the SPEAR indicator means that those macroinvertebrates that are vulnerable to pesticide pollution are also vulnerable to micropollutants from WWTPs. The change in the macroinvertebrate community downstream the WWTP indicates that toxicity by pollutants decreased by more than one order of magnitude during ozonation. Ozonation followed by sand filtration has favourable impacts on the composition of the macroinvertebrate community and can improve the water quality in the receiving stream.

Keywords: Treatment of wastewater, Good biological status, Stream macroinvertebrates, Trait-based ecological risk assessment, Micropollutant removal, Biodiversity

Background

Micropollutants, for example, pharmaceuticals, personal care products or biocides, are discharged with municipal wastewater and may be hazardous to the environment [1–3]. Ozonation is one of the techniques suggested for tertiary treatment to remove micropollutants from wastewater [1, 4, 5], but the ecotoxicological consequences of wastewater ozonation are ambiguous [6]. Formation of toxic by-products through ozonation is possible, although these can be eliminated in subsequent sand filtration [7, 8].

Removal of organic micropollutants from wastewater by post-ozonation has recently been investigated in a municipal wastewater treatment plant [9, 10]. The wastewater treatment plant (WWTP) Wüeri in Regensdorf, Switzerland was upgraded with ozonation as an additional treatment step of the secondary effluent. Ozonation followed by sand filtration was shown to remove most of the micropollutants [9]. Of those compounds that were detected in the secondary effluent, 17 compounds were reduced by more than 90 % during ozonation, another 17 compounds between 50 and 90 % and four compounds were reduced by less than 50 % [9].
complementary study using an in vitro mode-of-action-based bioassay battery also demonstrated that ozonation reduced the toxicity of the mixture of micropollutants in the effluent in this experiment [11]. The bioassay battery used enriched samples and measured mode-of-action specific toxicity. The treatment efficiency of the ozonation step was 65 and 76 % for non-specific toxicity in the bacterium Vibrio fischeri and the algae Pseudokirchneriella subcapitata, respectively, 86 % for inhibition of photosystem II in algae, 86 % for estrogenicity, 60 % for inhibition of acetylcholinesterase and complete removal of genotoxicity [11]. Consistent with chemical analysis, micropollutants which are readily oxidised by ozonation, e.g. those causing estrogenicity, showed greatest reduction of toxicity [11]. Furthermore, another complementary study using fish early life stage toxicity tests (FELST) [8] found that the ozonation step led to reduced growth and development in the FELST, although post-treatment with sand filtration eliminated such toxic effects. Altogether these three studies showed reduced micropollutant loads and toxicity in the wastewater after ozonation together with sand filtration compared to conventionally treated wastewater.

The ultimate aim of upgrading WWTPs, for example, with ozonation followed by sand filtration, is to improve the water quality in the receiving stream. Hence, I investigated if ozonation of wastewater improved the water quality in the Furtbach, the stream into which the WWTP in Regensdorf discharges its effluent. My objective is to use the macroinvertebrate data that were collected as part of the full-scale ozonation experiment [8, 9, 11] and investigate whether ozonation followed by sand filtration improved the water quality as indicated by the abundance of vulnerable macroinvertebrates. The macroinvertebrate data were analysed using the SPEAR (species at risk) indicator. Specifically, I asked: How much did the proportion of vulnerable species in the receiving stream’s macroinvertebrate community change, when the wastewater was ozonated?

Results and discussion
According to the classification of Beketov et al. [12] the macroinvertebrates indicate a poor biological status of the stream upstream and downstream of the WWTP without ozonation (Fig. 1). The poor quality of the upstream sites can be, at least partially, explained by pollution upstream of the WWTP [13]. Ozonation increases the abundance of vulnerable macroinvertebrates in the stream receiving the treated wastewater from 18 % (CI 15–21 %) to 30 % (CI 28–32 %). This increase of 12 % (CI 8–16 %) indicates improved ecological quality of the stream and shifts classification according to the WFD from poor to moderate [12].

Other researchers have found that WWTP effluents change the assemblages of macroinvertebrates in receiving stream mesocosms [14], although they attributed the effect to increased nutrients and reduced dissolved oxygen. Here, ozonation followed by sand filtration increases the relative abundance of vulnerable species present downstream of the WWTP and leads to an improved water quality classification. Ozonation even appears to improve the water quality downstream of the WWTP compared to upstream (Fig. 1). This seems plausible given the large relative volume that the wastewater contributes to the stream, although the low replication within this study and the large number of possible confounding factors requires further research on this aspect.

It is noteworthy that the effect of the ozonation treatment can be detected in the stream macroinvertebrate composition after only 8 and 16 months. The number of SPEARpesticides values in each group was small and consisted of data from different locations and sampling dates (spring and autumn), all of which can be assumed to increase variability in the macroinvertebrate community composition. The raw data of this analysis, i.e. taxa lists and abundances, are given in the Additional file 1.

Another finding is that the SPEAR concept, originally developed to indicate pesticide stress, also appears to indicate toxic stress by a mixture of various micropollutants including pharmaceuticals, personal care products and pesticides. The responsiveness of the SPEAR indicator, also known as SPEARpesticides, does not necessarily mean that the stressors are pesticides; rather it means that those macroinvertebrates that are vulnerable to pesticide pollution are also vulnerable to pollution by micropollutants from WWTPs. An improvement of the ecological status in the receiving stream due to the additional ozonation step followed by sand filtration as indicated by SPEAR is consistent with the reduction of overall micropollutant load found by chemical analysis [9] and monitoring with bioassays [11]. A differentiation of the effects of various micropollutants was not possible with SPEAR. Chemical analysis of the receiving stream water before and during ozonation also confirmed the reduction of micropollutant loads, for example, the concentrations of carbamazepine, diclofenac, clarithromycin and sulfamethoxazole were reduced by ozonation from 0.51 μg/L to below 3 ng/L, 0.41 μg/L to below 10 ng/L, 0.12 μg/L to below 3 ng/L and 0.12 μg/L to below 6 ng/L, respectively [9, 15]. Furthermore, feeding trials with leaf discs conditioned in wastewater from the same WWTP as studied here showed that Gammarus fossarum preferred the leaf discs that were conditioned in wastewater treated with high doses of ozone over those leaf discs that were conditioned in untreated wastewater [6] and in situ feeding rate trials showed that ozonation increases detritus processing in the stream [16].
Analysis of the macroinvertebrate community on the receiving water bodies downstream of WWTPs can clearly contribute to answer the question whether post-treatment technologies help achieve water quality goals, in particular when existing knowledge about vulnerability of species is built into the data analysis as, for example, with the SPEAR concept. Not all WWTPs contribute as much water volume to the stream as the one studied here, thus future studies may need to increase their power by larger sample size and improved design [17, 18].

The impact of WWTP effluents on stream macroinvertebrate assemblages has been documented before [19, 20]. The clear effects of upgrading the WWTP with ozonation, more specifically the increase in vulnerable species in downstream samples from 18 to 30 %, would correspond to a reduction of toxicant loads by approximately 1.5 toxic units (Daphnia magna) according to the regressions in [21]. In other words the change in SPEAR in the macroinvertebrate community downstream the WWTP indicates that toxicity by pollutants decreased by more than one order of magnitude during ozonation.

**Conclusion**

The previously reported reduction in chemical loads and reduced toxicity measured by an in vitro bio-test battery during ozonation followed by sand filtration in the WWTP has favourable impacts on the composition of the macroinvertebrate community and water quality in the receiving stream.

**Methods**

**Study site**

The WWTP discharges into a small stream (Furtbach) with a catchment of 12 km² consisting of 24 % forest, 42 % agriculture and 29 % urban use (5 % other uses). The Furtbach stream has an average slope of 0.1 %, holds water all year round and the substrate consists mostly of large (fist to nut size) to small (nut to pea size) gravel with 10–20 % sand and 10 % or less silt at all four macroinvertebrate sampling sites [22]. The Furtbach originates from a small lake approximately 5 km upstream of the WWTP and discharges into the river Limmat approximately 9 km downstream. More details about the sampling site characteristics can be found in [22].

The WWTP approximately doubles the discharge in the stream (WWTP treats 5500 m³ d⁻¹ on average under dry conditions, WWTP discharge ranges from 30 to 120 L s⁻¹ and constitutes ca. 60 % of the water in the stream under dry weather conditions [9]). The WWTP consists of primary sedimentation, activated
sludge treatment (nitrifying and denitrifying) and second-
yary clarification followed by sand filtration. The ozon-
atation step was added after the secondary clarifier and
before the sand filtration. The ozonation reactor had a
retention time of 8–15 min during dry conditions and
3 min during storm water (which was judged not suf-
icient under stormwater conditions [9]). Ozona-
tion followed by sand filtration was in operation, with
short breaks, from July 2007 until the end of October
2008 [15]. Then the ozonation equipment was removed
from the WWTP. Ozone dose and residence time in the
 reactor varied between 357 and 1157 gO3/kgDOC and
4–10 min, respectively [11] and were regulated based
on online measurements of dissolved organic carbon.
More details on the treatment processes, chemistry of
the wastewater and operation of the ozonation can be
found in [9] and [15], including a wide range of addi-
tional parameters measured.

Various sources of pollution upstream of the WWTP
exist, for example, several storm water overflow channels
discharge in the stream (Fig. 2), in June 2007 there was
a contamination incident with an unspecified fungicide
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an unspecified fungicide discharge in the stream (Fig. 2),
in June 2007 there was a contamination incident with
an unspecified fungicide [15] and chemical analysis of
the upstream water in June 2007 found several pesticides
or biocides and their bio-
transformation products, as well as some pharmaceuticals
in the ng/L range [13].

**Macroinvertebrate data**
There were three sampling sites upstream and three
downstream of the WWTP (see Table 1; Fig. 2). Before,
during and after ozonation followed by sand filtration
was installed at the wastewater treatment plant, the
macroinvertebrates in the receiving stream were sam-
pled, identified to species or family level (according to
[23]) and abundances recorded [22]. There were two
sampling dates before (5 October 2006, 26 February
2007), two during the period with ozonation followed
by sand filtration (26 February 2008, 20 October 2008)
and two sampling dates after the ozonation treatment
was dismantled (10 March 2014, 14 October 2014). Thus,
the ozonation was in operation already for 8 and
16 months when the macroinvertebrates were sampled
to measure effects of the ozonation treatment in 2008
and the ozonation treatment had been dismantled for
over 5 years before the sampling in 2014. The 2006–
2008 macroinvertebrate data were collected by Aqua-
Plus, Zug, Switzerland [22] on behalf of AWEL (Amt für
Abfall, Wasser, Energie und Luft; Zürich, Switzerland).
The macroinvertebrate data from the year 2014 were
provided by AWEL (Patrick Steinmann, pers. comm.).
More details and raw data can be found in [15, 22], as
well as on the website of AWEL (http://www.gewaesser-
qualitaet.zh.ch).

**The SPEAR indicator and micropollutants**
The SPEAR concept was developed as a tool to reveal
impacts on stream macroinvertebrate communities
related to chemical stress by pesticides [21, 24]. Species
are classified according to their vulnerability into species
at risk and species not at risk. Vulnerability classification
takes into account ecological and physiological traits of
the species, more specifically the toxicological sensitivity
to organic pollutants including pesticides [25] as the
only physiological trait, as well as the generation time,
migration ability and time of emergence as ecological
traits [21, 24]. Although some methodical aspects of the
SPEAR approach such as the sensitivity ranking relative
to D. magna and the neglect of mode-of-action specific
sensitivity differences can be criticised [26], SPEAR val-
ues were shown to correlate with pesticide contamina-
tion in several catchments throughout Europe [24, 27],
also when family-level data were used [12]. The approach
taken here, using the SPEAR concept, assumes that the
species traits that make SPEAR indicative of chemical
stress by pesticides are also defining the vulnerability
of macroinvertebrates to micropollutants present in
WWTP effluent.

**Calculation of species at risk (SPEAR)**
The 2006 to 2008 taxa lists and their abundance [22]
were entered into the SPEAR web calculator (http://www.sys-
temecology.eu/SPEAR/index.php, accessed 7 June 2010),
whereas the 2014 macroinvertebrate data were analysed
using the SPEAR calculator v0.9.0 (http://www.system-
ecology.eu/spearcalc/, accessed 6 November 2015, using
family-level taxa, default traits and no recovery areas).
Four taxa [Ostracoda (1 entry), Collembola (3 entries),
Gordius aquaticus (4 entries), Podura (1 entry)] were
deleted because they could not be found in the SPEAR
database. In the web calculator Central Europe was
selected as region, SPEARPesticides was calculated and
absence or presence of recovery areas was not assessed
(no values assigned). The explanatory power of the
SPEAR indicator does not suffer significantly if family-
level data are used instead of species-level data [12],
the taxonomic resolution of the macroinvertebrate data used
here is sufficient.

The relative abundance of species at risk (vulnerable
species) is calculated as [27]

\[
\% \text{SPEAR} = \frac{\sum_{i=1}^{n} \log(x_i + 1) \times y}{\sum_{i=1}^{n} \log(x_i + 1)},
\]

where \( n \) is the number of taxa, \( x_i \) is the abundance
of taxon \( i \) and \( y \) is 1 if the taxon \( i \) is classified as species at
risk (vulnerable), otherwise \( y \) is 0.
Statistical analysis

The SPEAR data can be grouped into four groups A, B, C and D (Table 1, Fig. 1) to better illustrate the analysis. These groups are (A) without ozonation upstream the WWTP, (B) during ozonation upstream the WWTP, (C) without ozonation below the WWTP and (D) with ozonation below the WWTP (Table 1). As the macroinvertebrate samples consist of only few replicates I followed recent developments in statistical reasoning and calculated the confidence interval (CI) of the difference that ozonation makes [28, 29]. Thus, I answered one question with the analysis of the SPEAR data: How much of a difference did ozonation make for the proportion of vulnerable species in the macroinvertebrate community in the receiving stream? The difference was calculated assuming normally distributed errors and equal variances and was carried out using GraphPad Prism version 6.03 (http://www.graphpad.com). All CIs are 95 % confidence intervals.

My analysis assumes that there is no effect of season and distance to the WWTP in the SPEAR data. Alternatively one can carry out a paired analysis, which reduces the number of data points to four in each group and results in larger confidence intervals. However, the
results are very similar to those given above: ozonation increases the abundance of vulnerable macroinvertebrates downstream the WWTP from 17 % (CI 12–22 %) to 30 % (CI 28–32 %). This increase of 13 % (CI 7–19 %) also shifts classification according to the WFD from poor to moderate [12].

Table 1 Sampling sites, dates and SPEAR values

| Ozonation | Sampling date | Sampling site (site number in brackets, see Fig. 1) | Coordinatesa | SPEAR (% relative abundance of species at risk)b |
|-----------|---------------|--------------------------------------------------|--------------|---------------------------------|
| Group A   |               |                                                  |              |                                 |
| No        | 5 October 2006 | 600 m upstream of WWTP (1)                       | 2°67′819″/1°256′070″ | 16.20 |
| No        | 5 October 2006 | 200 m upstream of WWTP (2)                       | 2°67′457″/1°256′202″ | 21.27 |
| No        | 26 February 2007 | 600 m upstream of WWTP (1)                       | 2°67′098″/1°256′159″ | 21.52 |
| No        | 26 February 2007 | 200 m upstream of WWTP (2)                       | 2°67′322″/1°256′133″ | 27.15 |
| No        | 10 March 2014  | 40 m upstream of WWTP (3)                        | 2°67′296″/1°256′225″ | 20.42 |
| No        | 14 October 2014 | 40 m upstream of WWTP (3)                        | 2°67′296″/1°256′225″ | 15.03 |
| Mean (95 % confidence intervals) | | | | 20.27 (15.72, 24.81) |
| Group B   |               |                                                  |              |                                 |
| Yes       | 20 October 2008 | 600 m upstream of WWTP (1)                       | 2°67′819″/1°256′070″ | 16.38 |
| Yes       | 20 October 2008 | 200 m upstream of WWTP (2)                       | 2°67′457″/1°256′202″ | 27.75 |
| Yes       | 26 February 2008 | 600 m upstream of WWTP (1)                       | 2°67′098″/1°256′159″ | 17.20 |
| Yes       | 26 February 2008 | 200 m upstream of WWTP (2)                       | 2°67′322″/1°256′133″ | 20.70 |
| Mean (95 % confidence intervals) | | | | 20.51 (12.27, 28.75) |
| Group C   |               |                                                  |              |                                 |
| No        | 5 October 2006  | 200 m downstream of WWTP (5)                     | 2°67′819″/1°256′070″ | 13.31 |
| No        | 5 October 2006  | 1000 m downstream of WWTP (6)                    | 2°67′457″/1°256′202″ | 20.71 |
| No        | 26 February 2007 | 200 m downstream of WWTP (5)                     | 2°67′098″/1°256′159″ | 16.07 |
| No        | 26 February 2007 | 1000 m downstream of WWTP (6)                    | 2°67′322″/1°256′133″ | 17.58 |
| No        | 10 March 2014   | 40 m downstream of WWTP (4)                      | 2°67′211″/1°256′205″ | 22.03 |
| No        | 14 October 2014  | 40 m downstream of WWTP (4)                      | 2°67′211″/1°256′205″ | 17.64 |
| Mean (95 % confidence intervals) | | | | 17.89 (14.59, 21.19) |
| Group D   |               |                                                  |              |                                 |
| Yes       | 20 October 2008 | 200 m downstream of WWTP (5)                     | 2°67′819″/1°256′070″ | 30.57 |
| Yes       | 20 October 2008 | 1000 m downstream of WWTP (6)                    | 2°67′457″/1°256′202″ | 29.84 |
| Yes       | 26 February 2008 | 200 m downstream of WWTP (5)                     | 2°67′098″/1°256′159″ | 31.01 |
| Yes       | 26 February 2008 | 1000 m downstream of WWTP (6)                    | 2°67′322″/1°256′133″ | 28.01 |
| Mean (95 % confidence intervals) | | | | 29.86 (27.75, 31.96) |

| a Geographic coordinates: North/East |
| b See Eq. (1), indicator also known as SPEARpesticides |

Abbreviations
WWTP: wastewater treatment plant; SPEAR: species at risk (SPEARpesticides); FELST: fish early life stage toxicity test; AWEL: Amt für Abfall, Wasser, Energie und Luft; Zürich, Switzerland (local authority).

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RA works as senior lecturer in the Environment Department at the University of York. His area of research is ecotoxicology and environmental risk assessment of chemicals. From 2007 to 2012 he worked at Eawag, the Swiss Federal Institute of Aquatic Science and Technology which conducted the full-scale ozonation experiment, but RA was not involved in that.

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Competing interests
The author declares that he has no competing interests.

Additional file
Additional file 1. Lists of taxa and abundances in each macroinvertebrate sample are available on-line.
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